

Polynomial Interpolation*

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Abstract

We formalized three algorithms for polynomial interpolation over arbitrary fields: Lagrange’s explicit expression, the recursive algorithm of Neville and Aitken, and the Newton interpolation in combination with an efficient implementation of divided differences. Variants of these algorithms for integer polynomials are also available, where sometimes the interpolation can fail; e.g., there is no linear integer polynomial p such that $p(0) = 0$ and $p(2) = 1$. Moreover, for the Newton interpolation for integer polynomials, we proved that all intermediate results that are computed during the algorithm must be integers. This admits an early failure detection in the implementation. Finally, we proved the uniqueness of polynomial interpolation.

The development also contains improved code equations to speed up the division of integers in target languages.

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1 Introduction

We formalize three basic algorithms for interpolation for univariate field polynomials and integer polynomials which can be found in various textbooks or on Wikipedia. However, this formalization covers only basic results, e.g., compared to a specialized textbook on interpolation [1], we only cover results of the first of the eight chapters.

Given distinct inputs x_0, \dots, x_n and corresponding outputs y_0, \dots, y_n , *polynomial interpolation* is to provide a polynomial p (of degree at most n) such that $p(x_i) = y_i$ for every $i < n$.

The first solution we formalize is Lagrange's explicit expression:

$$p(x) = \sum_{i < n} \left(y_i \cdot \prod_{\substack{j < n \\ j \neq i}} \frac{x - x_j}{x_i - x_j} \right)$$

which is however expensive since the computation involves a number of multiplications and additions of polynomials. Hence we formalize other

algorithms, namely, the recursive algorithms of Neville and Aitken, and the Newton interpolation. We also show that a polynomial interpolation of degree at most n is unique.

Further, we consider a variant of the interpolation problem where the base type is restricted to *int*. In this case the result must be an integer polynomial (i.e., the coefficients are integers), which does not necessarily exist even if the specified inputs and outputs are integers. For instance, there exists no linear integer polynomial p such that $p(0) = 0$ and $p(2) = 1$.

We prove that, for the Newton interpolation to produce integer polynomials, the intermediate coefficients computed in the procedure must be always integers. This result, in practice allows the implementation to detect failure as early as possible, and in theory shows that there is no integer polynomial p satisfying $p(0) = 0$ and $p(2) = 1$, regardless of the degree of the polynomial.

The formalization also contains an improved code equations for integer division.

2 Conversions to Rational Numbers

We define a class which provides tests whether a number is rational, and a conversion from to rational numbers. These conversion functions are principle the inverse functions of *of-rat*, but they can be implemented for individual types more efficiently.

Similarly, we define tests and conversions between integer and rational numbers.

theory *Is-Rat-To-Rat*

imports

Sqrt-Babylonian.Sqrt-Babylonian-Auxiliary

begin

class *is-rat* = *field-char-0* +

fixes *is-rat* :: 'a \Rightarrow bool

and *to-rat* :: 'a \Rightarrow rat

assumes *is-rat[simp]*: *is-rat* $x = (x \in \mathbb{Q})$

and *to-rat*: *to-rat* $x = (if\ x \in \mathbb{Q}\ then\ (THE\ y.\ x = of-rat\ y)\ else\ 0)$

lemma *of-rat-to-rat[simp]*: $x \in \mathbb{Q} \implies of-rat\ (to-rat\ x) = x$
<proof>

lemma *to-rat-of-rat[simp]*: *to-rat* (*of-rat* x) = x *<proof>*

instantiation *rat* :: *is-rat*

begin

definition *is-rat-rat* ($x :: rat$) = *True*

definition *to-rat-rat* ($x :: rat$) = x

instance

<proof>
end

The definition for reals at the moment is not executable, but it will become executable after loading the real algebraic numbers theory.

instantiation *real :: is-rat*
begin
definition *is-rat-real* ($x :: \text{real}$) = ($x \in \mathbf{Q}$)
definition *to-rat-real* ($x :: \text{real}$) = (if $x \in \mathbf{Q}$ then (THE y . $x = \text{of-rat } y$) else 0)
instance *<proof>*
end

lemma *of-nat-complex*: $\text{of-nat } n = \text{Complex } (\text{of-nat } n) 0$
<proof>

lemma *of-int-complex*: $\text{of-int } z = \text{Complex } (\text{of-int } z) 0$
<proof>

lemma *of-rat-complex*: $\text{of-rat } q = \text{Complex } (\text{of-rat } q) 0$
<proof>

lemma *complex-of-real-of-rat[simp]*: $\text{complex-of-real } (\text{real-of-rat } q) = \text{of-rat } q$
<proof>

lemma *is-rat-complex-iff*: $x \in \mathbf{Q} \longleftrightarrow \text{Re } x \in \mathbf{Q} \wedge \text{Im } x = 0$
<proof>

instantiation *complex :: is-rat*
begin
definition *is-rat-complex* ($x :: \text{complex}$) = ($\text{is-rat } (\text{Re } x) \wedge \text{Im } x = 0$)
definition *to-rat-complex* ($x :: \text{complex}$) = (if $\text{is-rat } (\text{Re } x) \wedge \text{Im } x = 0$ then *to-rat* ($\text{Re } x$) else 0)
end

instance *<proof>*
end

lemma *in-rats-code-unfold[code-unfold]*: $(x \in \mathbf{Q}) = (\text{is-rat } x)$ *<proof>*

definition *is-int-rat :: rat \Rightarrow bool* **where**
is-int-rat $x \equiv \text{snd } (\text{quotient-of } x) = 1$

definition *int-of-rat :: rat \Rightarrow int* **where**
int-of-rat $x \equiv \text{fst } (\text{quotient-of } x)$

lemma *is-int-rat[simp]*: $\text{is-int-rat } x = (x \in \mathbf{Z})$
<proof>

lemma *in-ints-code-unfold[code-unfold]*: $(x \in \mathbf{Z}) = \text{is-int-rat } x$

<proof>

lemma *int-of-rat[simp]*: *int-of-rat (rat-of-int x) = x z ∈ ℤ ⇒ rat-of-int (int-of-rat z) = z*
<proof>

lemma *int-of-rat-0[simp]*: *(int-of-rat x = 0) = (x = 0)* *<proof>*

end

3 Divmod-Int

We provide the divmod-operation on type *int* for efficiency reasons.

theory *Divmod-Int*
imports *Main*
begin

definition *divmod-int* :: *int ⇒ int ⇒ int × int* **where**
divmod-int n m = (n div m, n mod m)

We implement *divmod-int* via *divmod-integer* instead of invoking both division and modulo separately.

context
includes *integer.lifting*
begin

lemma *divmod-int-code[code]*: *divmod-int m n = map-prod int-of-integer int-of-integer*

(divmod-integer (integer-of-int m) (integer-of-int n))
<proof>

end

end

4 Improved Code Equations

This theory contains improved code equations for certain algorithms.

theory *Improved-Code-Equations*
imports
HOL-Computational-Algebra.Polynomial
HOL-Library.Code-Target-Nat
begin

4.1 *divmod-integer*.

We improve *divmod-integer* *?k ?l = (if ?k = 0 then (0, 0) else if 0 < ?l then if 0 < ?k then Code-Numeral.divmod-abs ?k ?l else case Code-Numeral.divmod-abs*

$?k ?l$ of $(r, s) \Rightarrow$ if $s = 0$ then $(-r, 0)$ else $(-r - 1, ?l - s)$ else if $?l = 0$ then $(0, ?k)$ else *apsnd uminus* (if $?k < 0$ then *Code-Numeral.divmod-abs* $?k ?l$ else case *Code-Numeral.divmod-abs* $?k ?l$ of $(r, s) \Rightarrow$ if $s = 0$ then $(-r, 0)$ else $(-r - 1, - ?l - s))$) by deleting *sgn*-expressions.

We guard the application of *divmod-abs'* with the condition $(0::'a) \leq x \wedge (0::'b) \leq y$, so that application can be ensured on non-negative values. Hence, one can drop "abs" in target language setup.

definition *divmod-abs'* where

$x \geq 0 \Rightarrow y \geq 0 \Rightarrow \text{divmod-abs}' x y = \text{Code-Numeral.divmod-abs } x y$

lemma *divmod-integer-code'*[code]: *divmod-integer* $k l =$

(if $k = 0$ then $(0, 0)$
 else if $l > 0$ then
 (if $k > 0$ then *divmod-abs'* $k l$
 else case *divmod-abs'* $(-k) l$ of $(r, s) \Rightarrow$
 if $s = 0$ then $(-r, 0)$ else $(-r - 1, l - s)$)
 else if $l = 0$ then $(0, k)$
 else *apsnd uminus*
 (if $k < 0$ then *divmod-abs'* $(-k) (-l)$
 else case *divmod-abs'* $k (-l)$ of $(r, s) \Rightarrow$
 if $s = 0$ then $(-r, 0)$ else $(-r - 1, -l - s))$)

<proof>

code-printing — FIXME illusion of partiality

constant *divmod-abs'* \rightarrow
 (*SML*) *IntInf.divMod* / $(-, / -)$
and (*Eval*) *Integer.div'-mod* / $(-)/ (-)$
and (*OCaml*) *Z.div'-rem*
and (*Haskell*) *divMod* / $(-)/ (-)$
and (*Scala*) $!(k: \text{BigInt}) => (l: \text{BigInt}) => l == 0$ match { case true =>
 (*BigInt*(0), k) case false => (k ' / % l) }

4.2 Euclidean-Rings.divmod-nat.

We implement *Euclidean-Rings.divmod-nat* via *divmod-integer* instead of invoking both division and modulo separately, and we further simplify the case-analysis which is performed in *divmod-integer* $?k ?l =$ (if $?k = 0$ then $(0, 0)$ else if $0 < ?l$ then if $0 < ?k$ then *divmod-abs'* $?k ?l$ else case *divmod-abs'* $(- ?k) ?l$ of $(r, s) \Rightarrow$ if $s = 0$ then $(-r, 0)$ else $(-r - 1, ?l - s)$ else if $?l = 0$ then $(0, ?k)$ else *apsnd uminus* (if $?k < 0$ then *divmod-abs'* $(- ?k) (- ?l)$ else case *divmod-abs'* $?k (- ?l)$ of $(r, s) \Rightarrow$ if $s = 0$ then $(-r, 0)$ else $(-r - 1, - ?l - s))$).

lemma *divmod-nat-code'*[code]: *Euclidean-Rings.divmod-nat* $m n = ($

let $k = \text{integer-of-nat } m; l = \text{integer-of-nat } n$

```

in map-prod nat-of-integer nat-of-integer
  (if k = 0 then (0, 0)
   else if l = 0 then (0,k) else
     divmod-abs' k l)
⟨proof⟩

```

4.3 (choose)

```

lemma binomial-code[code]:
  n choose k = (if k ≤ n then fact n div (fact k * fact (n - k)) else 0)
⟨proof⟩

```

end

5 Several Locales for Homomorphisms Between Types.

```

theory Ring-Hom
imports
  HOL.Complex
  Main
  HOL-Library.Multiset
  HOL-Computational-Algebra.Factorial-Ring
begin

```

```

hide-const (open) mult

```

Many standard operations can be interpreted as homomorphisms in some sense. Since declaring some lemmas as [simp] will interfere with existing simplification rules, we introduce named theorems that would be added to the simp set when necessary.

The following collects distribution lemmas for homomorphisms. Its symmetric version can often be useful.

```

named-theorems hom-distrib

```

5.1 Basic Homomorphism Locales

```

locale zero-hom =
  fixes hom :: 'a :: zero ⇒ 'b :: zero
  assumes hom-zero[simp]: hom 0 = 0

```

```

locale one-hom =
  fixes hom :: 'a :: one ⇒ 'b :: one
  assumes hom-one[simp]: hom 1 = 1

```

```

locale times-hom =
  fixes hom :: 'a :: times ⇒ 'b :: times
  assumes hom-mult[hom-distrib]: hom (x * y) = hom x * hom y

```

```

locale plus-hom =
  fixes hom :: 'a :: plus  $\Rightarrow$  'b :: plus
  assumes hom-add[hom-distrib]: hom (x + y) = hom x + hom y

locale semigroup-mult-hom =
  times-hom hom for hom :: 'a :: semigroup-mult  $\Rightarrow$  'b :: semigroup-mult

locale semigroup-add-hom =
  plus-hom hom for hom :: 'a :: semigroup-add  $\Rightarrow$  'b :: semigroup-add

locale monoid-mult-hom = one-hom hom + semigroup-mult-hom hom
  for hom :: 'a :: monoid-mult  $\Rightarrow$  'b :: monoid-mult
begin
  Homomorphism distributes over product:
  lemma hom-prod-list: hom (prod-list xs) = prod-list (map hom xs)
    <proof>
  but since it introduces unapplied hom, the reverse direction would be
  simp.
  lemmas prod-list-map-hom[simp] = hom-prod-list[symmetric]
  lemma hom-power[hom-distrib]: hom (x ^ n) = hom x ^ n
    <proof>
end

locale monoid-add-hom = zero-hom hom + semigroup-add-hom hom
  for hom :: 'a :: monoid-add  $\Rightarrow$  'b :: monoid-add
begin
  lemma hom-sum-list: hom (sum-list xs) = sum-list (map hom xs)
    <proof>
  lemmas sum-list-map-hom[simp] = hom-sum-list[symmetric]
  lemma hom-add-eq-zero: assumes x + y = 0 shows hom x + hom y = 0
    <proof>
end

locale group-add-hom = monoid-add-hom hom
  for hom :: 'a :: group-add  $\Rightarrow$  'b :: group-add
begin
  lemma hom-uminus[hom-distrib]: hom (-x) = - hom x
    <proof>
  lemma hom-minus [hom-distrib]: hom (x - y) = hom x - hom y
    <proof>
end

```

5.2 Commutativity

```

locale ab-semigroup-mult-hom = semigroup-mult-hom hom
  for hom :: 'a :: ab-semigroup-mult  $\Rightarrow$  'b :: ab-semigroup-mult

```



```

locale ab-semigroup-add-hom = semigroup-add-hom hom
  for hom :: 'a :: ab-semigroup-add  $\Rightarrow$  'b :: ab-semigroup-add

locale comm-monoid-mult-hom = monoid-mult-hom hom
  for hom :: 'a :: comm-monoid-mult  $\Rightarrow$  'b :: comm-monoid-mult
begin
  sublocale ab-semigroup-mult-hom  $\langle$ proof $\rangle$ 
  lemma hom-prod[hom-distrib]: hom (prod f X) = ( $\prod$  x  $\in$  X. hom (f x))
     $\langle$ proof $\rangle$ 
  lemma hom-prod-mset: hom (prod-mset X) = prod-mset (image-mset hom X)
     $\langle$ proof $\rangle$ 
  lemmas prod-mset-image[simp] = hom-prod-mset[symmetric]
  lemma hom-dvd[intro, simp]: assumes p dvd q shows hom p dvd hom q
     $\langle$ proof $\rangle$ 
  lemma hom-dvd-1[simp]: x dvd 1  $\implies$  hom x dvd 1  $\langle$ proof $\rangle$ 
end

locale comm-monoid-add-hom = monoid-add-hom hom
  for hom :: 'a :: comm-monoid-add  $\Rightarrow$  'b :: comm-monoid-add
begin
  sublocale ab-semigroup-add-hom  $\langle$ proof $\rangle$ 
  lemma hom-sum[hom-distrib]: hom (sum f X) = ( $\sum$  x  $\in$  X. hom (f x))
     $\langle$ proof $\rangle$ 
  lemma hom-sum-mset[hom-distrib, simp]: hom (sum-mset X) = sum-mset (image-mset
hom X)
     $\langle$ proof $\rangle$ 
end

locale ab-group-add-hom = group-add-hom hom
  for hom :: 'a :: ab-group-add  $\Rightarrow$  'b :: ab-group-add
begin
  sublocale comm-monoid-add-hom  $\langle$ proof $\rangle$ 
end

locale semiring-hom = comm-monoid-add-hom hom + monoid-mult-hom hom
  for hom :: 'a :: semiring-1  $\Rightarrow$  'b :: semiring-1
begin
  lemma hom-mult-eq-zero: assumes x * y = 0 shows hom x * hom y = 0
     $\langle$ proof $\rangle$ 
end

locale ring-hom = semiring-hom hom
  for hom :: 'a :: ring-1  $\Rightarrow$  'b :: ring-1
begin
  sublocale ab-group-add-hom hom  $\langle$ proof $\rangle$ 
end

locale comm-semiring-hom = semiring-hom hom

```

```

for hom :: 'a :: comm-semiring-1 ⇒ 'b :: comm-semiring-1
begin
  sublocale comm-monoid-mult-hom⟨proof⟩
end

```

```

locale comm-ring-hom = ring-hom hom
  for hom :: 'a :: comm-ring-1 ⇒ 'b :: comm-ring-1
begin
  sublocale comm-semiring-hom⟨proof⟩
end

```

```

locale idom-hom = comm-ring-hom hom
  for hom :: 'a :: idom ⇒ 'b :: idom

```

5.3 Division

```

locale idom-divide-hom = idom-hom hom
  for hom :: 'a :: idom-divide ⇒ 'b :: idom-divide +
  assumes hom-div[hom-distrib]: hom (x div y) = hom x div hom y
begin

```

```

end

```

```

locale field-hom = idom-hom hom
  for hom :: 'a :: field ⇒ 'b :: field
begin

```

```

  lemma hom-inverse[hom-distrib]: hom (inverse x) = inverse (hom x)
    ⟨proof⟩

```

```

  sublocale idom-divide-hom hom
    ⟨proof⟩

```

```

end

```

```

locale field-char-0-hom = field-hom hom
  for hom :: 'a :: field-char-0 ⇒ 'b :: field-char-0

```

5.4 (Partial) Injectivity

```

locale zero-hom-0 = zero-hom +
  assumes hom-0:  $\bigwedge x. \text{hom } x = 0 \implies x = 0$ 
begin
  lemma hom-0-iff[iff]: hom x = 0  $\longleftrightarrow$  x = 0 ⟨proof⟩
end

```

```

locale one-hom-1 = one-hom +
  assumes hom-1:  $\bigwedge x. \text{hom } x = 1 \implies x = 1$ 
begin
  lemma hom-1-iff[iff]: hom x = 1  $\longleftrightarrow$  x = 1 ⟨proof⟩

```

end

Next locales are at this point not interesting. They will retain some results when we think of polynomials.

locale *monoid-mult-hom-1* = *monoid-mult-hom* + *one-hom-1*

locale *monoid-add-hom-0* = *monoid-add-hom* + *zero-hom-0*

locale *comm-monoid-mult-hom-1* = *monoid-mult-hom-1* *hom*
for *hom* :: 'a :: *comm-monoid-mult* \Rightarrow 'b :: *comm-monoid-mult*

locale *comm-monoid-add-hom-0* = *monoid-add-hom-0* *hom*
for *hom* :: 'a :: *comm-monoid-add* \Rightarrow 'b :: *comm-monoid-add*

locale *injective* =

fixes *f* :: 'a \Rightarrow 'b **assumes** *injectivity*: $\bigwedge x y. f x = f y \implies x = y$

begin

lemma *eq-iff[simp]*: $f x = f y \longleftrightarrow x = y$ *<proof>*

lemma *inj-f*: *inj f* *<proof>*

lemma *inv-f-f[simp]*: *inv f (f x) = x* *<proof>*

end

locale *inj-zero-hom* = *zero-hom* + *injective hom*

begin

sublocale *zero-hom-0* *<proof>*

end

locale *inj-one-hom* = *one-hom* + *injective hom*

begin

sublocale *one-hom-1* *<proof>*

end

locale *inj-semigroup-mult-hom* = *semigroup-mult-hom* + *injective hom*

locale *inj-semigroup-add-hom* = *semigroup-add-hom* + *injective hom*

locale *inj-monoid-mult-hom* = *monoid-mult-hom* + *inj-semigroup-mult-hom*

begin

sublocale *inj-one-hom* *<proof>*

sublocale *monoid-mult-hom-1* *<proof>*

end

locale *inj-monoid-add-hom* = *monoid-add-hom* + *inj-semigroup-add-hom*

begin

sublocale *inj-zero-hom* *<proof>*

sublocale *monoid-add-hom-0* *<proof>*

end

```

locale inj-comm-monoid-mult-hom = comm-monoid-mult-hom + inj-monoid-mult-hom
begin
  sublocale comm-monoid-mult-hom-1 ⟨proof⟩
end

```

```

locale inj-comm-monoid-add-hom = comm-monoid-add-hom + inj-monoid-add-hom
begin
  sublocale comm-monoid-add-hom-0 ⟨proof⟩
end

```

```

locale inj-semiring-hom = semiring-hom + injective hom
begin
  sublocale inj-comm-monoid-add-hom + inj-monoid-mult-hom ⟨proof⟩
end

```

```

locale inj-comm-semiring-hom = comm-semiring-hom + inj-semiring-hom
begin
  sublocale inj-comm-monoid-mult-hom ⟨proof⟩
end

```

For groups, injectivity is easily ensured.

```

locale inj-group-add-hom = group-add-hom + zero-hom-0
begin
  sublocale injective hom
  ⟨proof⟩
  sublocale inj-monoid-add-hom ⟨proof⟩
end

```

```

locale inj-ab-group-add-hom = ab-group-add-hom + inj-group-add-hom
begin
  sublocale inj-comm-monoid-add-hom ⟨proof⟩
end

```

```

locale inj-ring-hom = ring-hom + zero-hom-0
begin
  sublocale inj-ab-group-add-hom ⟨proof⟩
  sublocale inj-semiring-hom ⟨proof⟩
end

```

```

locale inj-comm-ring-hom = comm-ring-hom + zero-hom-0
begin
  sublocale inj-ring-hom ⟨proof⟩
  sublocale inj-comm-semiring-hom ⟨proof⟩
end

```

```

locale inj-idom-hom = idom-hom + zero-hom-0
begin
  sublocale inj-comm-ring-hom ⟨proof⟩
end

```

Field homomorphism is always injective.

```

context field-hom begin
  sublocale zero-hom-0
    ⟨proof⟩
  sublocale inj-idom-hom⟨proof⟩
end

```

5.5 Surjectivity and Isomorphisms

```

locale surjective =
  fixes f :: 'a ⇒ 'b
  assumes surj: surj f
begin
  lemma f-inv-f[simp]: f (inv f x) = x
    ⟨proof⟩
end

```

```

locale bijjective = injective + surjective

```

```

lemma bijjective-eq-bij: bijjective f = bij f
  ⟨proof⟩

```

```

context bijjective
begin
  lemmas bij = bijjective-axioms[unfolded bijjective-eq-bij]
  interpretation inv: bijjective inv f
    ⟨proof⟩
  sublocale inv: surjective inv f⟨proof⟩
  sublocale inv: injective inv f⟨proof⟩
  lemma inv-inv-f-eq[simp]: inv (inv f) = f ⟨proof⟩
  lemma f-eq-iff[simp]: f x = y ⟷ x = inv f y ⟨proof⟩
  lemma inv-f-eq-iff[simp]: inv f x = y ⟷ x = f y ⟨proof⟩
end

```

```

locale monoid-mult-isom = inj-monoid-mult-hom + bijjective hom
begin
  sublocale inv: bijjective inv hom⟨proof⟩
  sublocale inv: inj-monoid-mult-hom inv hom
    ⟨proof⟩
end

```

```

locale monoid-add-isom = inj-monoid-add-hom + bijjective hom
begin
  sublocale inv: bijjective inv hom⟨proof⟩
  sublocale inv: inj-monoid-add-hom inv hom
    ⟨proof⟩
end

```

```

locale comm-monoid-mult-isom = monoid-mult-isom hom

```

```

for hom :: 'a :: comm-monoid-mult  $\Rightarrow$  'b :: comm-monoid-mult
begin
  sublocale inv: monoid-mult-isom inv hom <proof>
  sublocale inj-comm-monoid-mult-hom <proof>

  lemma hom-dvd-hom[simp]: hom x dvd hom y  $\longleftrightarrow$  x dvd y
  <proof>

  lemma hom-dvd-simp[simp]:
    shows hom x dvd y'  $\longleftrightarrow$  x dvd inv hom y'
    <proof>

end

locale comm-monoid-add-isom = monoid-add-isom hom
  for hom :: 'a :: comm-monoid-add  $\Rightarrow$  'b :: comm-monoid-add
begin
  sublocale inv: monoid-add-isom inv hom <proof>
  sublocale inj-comm-monoid-add-hom <proof>
end

locale semiring-isom = inj-semiring-hom hom + bijjective hom for hom
begin
  sublocale inv: inj-semiring-hom inv hom <proof>
  sublocale inv: bijjective inv hom <proof>
  sublocale monoid-mult-isom <proof>
  sublocale comm-monoid-add-isom <proof>
end

locale comm-semiring-isom = semiring-isom hom
  for hom :: 'a :: comm-semiring-1  $\Rightarrow$  'b :: comm-semiring-1
begin
  sublocale inv: semiring-isom inv hom <proof>
  sublocale comm-monoid-mult-isom <proof>
  sublocale inj-comm-semiring-hom <proof>
end

locale ring-isom = inj-ring-hom + surjective hom
begin
  sublocale semiring-isom <proof>
  sublocale inv: inj-ring-hom inv hom <proof>
end

locale comm-ring-isom = ring-isom hom
  for hom :: 'a :: comm-ring-1  $\Rightarrow$  'b :: comm-ring-1
begin
  sublocale comm-semiring-isom <proof>
  sublocale inj-comm-ring-hom <proof>
  sublocale inv: ring-isom inv hom <proof>

```

end

locale *idom-isom* = *comm-ring-isom* + *inj-idom-hom*
begin
 sublocale *inv*: *comm-ring-isom inv hom* ⟨*proof*⟩
 sublocale *inv*: *inj-idom-hom inv hom*⟨*proof*⟩
end

locale *field-isom* = *field-hom* + *surjective hom*
begin
 sublocale *idom-isom*⟨*proof*⟩
 sublocale *inv*: *field-hom inv hom* ⟨*proof*⟩
end

locale *inj-idom-divide-hom* = *idom-divide-hom hom* + *inj-idom-hom hom*
 for *hom* :: 'a :: *idom-divide* ⇒ 'b :: *idom-divide*
begin
 lemma *hom-dvd-iff*[*simp*]: (*hom p dvd hom q*) = (*p dvd q*)
 ⟨*proof*⟩
end

context *field-hom*
begin
 sublocale *inj-idom-divide-hom* ⟨*proof*⟩
end

5.6 Example Interpretations

interpretation *of-int-hom*: *ring-hom of-int* ⟨*proof*⟩
interpretation *of-int-hom*: *comm-ring-hom of-int* ⟨*proof*⟩
interpretation *of-int-hom*: *idom-hom of-int* ⟨*proof*⟩
interpretation *of-int-hom*: *inj-ring-hom of-int* :: *int* ⇒ 'a :: {*ring-1,ring-char-0*}
 ⟨*proof*⟩
interpretation *of-int-hom*: *inj-comm-ring-hom of-int* :: *int* ⇒ 'a :: {*comm-ring-1,ring-char-0*}
 ⟨*proof*⟩
interpretation *of-int-hom*: *inj-idom-hom of-int* :: *int* ⇒ 'a :: {*idom,ring-char-0*}
 ⟨*proof*⟩

Somehow *of-rat* is defined only on *char-0*.

interpretation *of-rat-hom*: *field-char-0-hom of-rat*
 ⟨*proof*⟩

interpretation *of-real-hom*: *inj-ring-hom of-real* ⟨*proof*⟩
interpretation *of-real-hom*: *inj-comm-ring-hom of-real* ⟨*proof*⟩
interpretation *of-real-hom*: *inj-idom-hom of-real* ⟨*proof*⟩
interpretation *of-real-hom*: *field-hom of-real* ⟨*proof*⟩
interpretation *of-real-hom*: *field-char-0-hom of-real* ⟨*proof*⟩

Constant multiplication in a semiring is only a monoid homomorphism.

interpretation *mult-hom: comm-monoid-add-hom* $\lambda x. c * x$ **for** $c :: 'a :: \text{semiring-1}$

<proof>

end

6 Missing Unsorted

This theory contains several lemmas which might be of interest to the Isabelle distribution. For instance, we prove that $b^n \cdot n^k$ is bounded by a constant whenever $0 < b < 1$.

theory *Missing-Unsorted*

imports

HOL.Complex HOL-Computational-Algebra.Factorial-Ring

begin

lemma *bernoulli-inequality*: **assumes** $x: -1 \leq (x :: 'a :: \text{linordered-field})$

shows $1 + \text{of-nat } n * x \leq (1 + x) ^ n$

<proof>

context

fixes $b :: 'a :: \text{archimedean-field}$

assumes $b: 0 < b \ b < 1$

begin

private lemma *pow-one*: $b ^ x \leq 1$ *<proof>* **lemma** *pow-zero*: $0 < b ^ x$ *<proof>*

lemma *exp-tends-to-zero*: **assumes** $c: c > 0$

shows $\exists x. b ^ x \leq c$

<proof>

lemma *linear-exp-bound*: $\exists p. \forall x. b ^ x * \text{of-nat } x \leq p$

<proof>

lemma *poly-exp-bound*: $\exists p. \forall x. b ^ x * \text{of-nat } x ^ \text{deg} \leq p$

<proof>

end

lemma *prod-list-replicate[simp]*: $\text{prod-list } (\text{replicate } n \ a) = a ^ n$

<proof>

lemma *prod-list-power*: **fixes** $xs :: 'a :: \text{comm-monoid-mult list}$

shows $\text{prod-list } xs ^ n = (\prod x \leftarrow xs. x ^ n)$

<proof>

lemma *set-upt-Suc*: $\{0 ..< \text{Suc } i\} = \text{insert } i \ \{0 ..< i\}$

<proof>

lemma *prod-pow[simp]*: $(\prod i = 0..<n. p) = (p :: 'a :: \text{comm-monoid-mult}) ^ n$

<proof>

lemma *dvd-abs-mult-left-int* [simp]:
 $|a| * y \text{ dvd } x \longleftrightarrow a * y \text{ dvd } x$ **for** $x \ y \ a :: \text{int}$
<proof>

lemma *gcd-abs-mult-right-int* [simp]:
 $\text{gcd } x \ (|a| * y) = \text{gcd } x \ (a * y)$ **for** $x \ y \ a :: \text{int}$
<proof>

lemma *lcm-abs-mult-right-int* [simp]:
 $\text{lcm } x \ (|a| * y) = \text{lcm } x \ (a * y)$ **for** $x \ y \ a :: \text{int}$
<proof>

lemma *gcd-abs-mult-left-int* [simp]:
 $\text{gcd } x \ (a * |y|) = \text{gcd } x \ (a * y)$ **for** $x \ y \ a :: \text{int}$
<proof>

lemma *lcm-abs-mult-left-int* [simp]:
 $\text{lcm } x \ (a * |y|) = \text{lcm } x \ (a * y)$ **for** $x \ y \ a :: \text{int}$
<proof>

abbreviation (*input*) *list-gcd* :: 'a :: semiring-gcd list \Rightarrow 'a **where**
list-gcd \equiv *gcd-list*

abbreviation (*input*) *list-lcm* :: 'a :: semiring-gcd list \Rightarrow 'a **where**
list-lcm \equiv *lcm-list*

lemma *list-gcd-simps*: $\text{list-gcd } [] = 0$ $\text{list-gcd } (x \# \ xs) = \text{gcd } x \ (\text{list-gcd } \ xs)$
<proof>

lemma *list-gcd*: $x \in \text{set } \ xs \Longrightarrow \text{list-gcd } \ xs \ \text{dvd } x$
<proof>

lemma *list-gcd-greatest*: $(\bigwedge x. x \in \text{set } \ xs \Longrightarrow y \ \text{dvd } x) \Longrightarrow y \ \text{dvd } (\text{list-gcd } \ xs)$
<proof>

lemma *list-gcd-mult-int* [simp]:
fixes $\ xs :: \text{int list}$
shows $\text{list-gcd } (\text{map } (\text{times } a) \ xs) = |a| * \text{list-gcd } \ xs$
<proof>

lemma *list-lcm-simps*: $\text{list-lcm } [] = 1$ $\text{list-lcm } (x \# \ xs) = \text{lcm } x \ (\text{list-lcm } \ xs)$
<proof>

lemma *list-lcm*: $x \in \text{set } xs \implies x \text{ dvd } \text{list-lcm } xs$

<proof>

lemma *list-lcm-least*: $(\bigwedge x. x \in \text{set } xs \implies x \text{ dvd } y) \implies \text{list-lcm } xs \text{ dvd } y$

<proof>

lemma *lcm-mult-distrib-nat*: $(k :: \text{nat}) * \text{lcm } m \ n = \text{lcm } (k * m) \ (k * n)$

<proof>

lemma *lcm-mult-distrib-int*: $\text{abs } (k :: \text{int}) * \text{lcm } m \ n = \text{lcm } (k * m) \ (k * n)$

<proof>

lemma *list-lcm-mult-int* [*simp*]:

fixes $xs :: \text{int list}$

shows $\text{list-lcm } (\text{map } (\text{times } a) \ xs) = (\text{if } xs = [] \text{ then } 1 \text{ else } |a| * \text{list-lcm } xs)$

<proof>

lemma *list-lcm-pos*:

$\text{list-lcm } xs \geq (0 :: \text{int})$

$0 \notin \text{set } xs \implies \text{list-lcm } xs \neq 0$

$0 \notin \text{set } xs \implies \text{list-lcm } xs > 0$

<proof>

lemma *quotient-of-nonzero*: $\text{snd } (\text{quotient-of } r) > 0 \ \text{snd } (\text{quotient-of } r) \neq 0$

<proof>

lemma *quotient-of-int-div*: **assumes** $q: \text{quotient-of } (\text{of-int } x / \text{of-int } y) = (a, b)$

and $y: y \neq 0$

shows $\exists z. z \neq 0 \wedge x = a * z \wedge y = b * z$

<proof>

fun *max-list-non-empty* :: $('a :: \text{linorder}) \text{ list} \Rightarrow 'a$ **where**

$\text{max-list-non-empty } [x] = x$

| $\text{max-list-non-empty } (x \# xs) = \text{max } x \ (\text{max-list-non-empty } xs)$

lemma *max-list-non-empty*: $x \in \text{set } xs \implies x \leq \text{max-list-non-empty } xs$

<proof>

lemma *cnj-reals*[*simp*]: $(\text{cnj } c \in \mathbb{R}) = (c \in \mathbb{R})$

<proof>

lemma *sgn-real-mono*: $x \leq y \implies \text{sgn } x \leq \text{sgn } (y :: \text{real})$

<proof>

lemma *sgn-minus-rat*: $\text{sgn } (- (x :: \text{rat})) = - \text{sgn } x$

<proof>

lemma *real-of-rat-sgn*: $\text{sgn } (\text{of-rat } x) = \text{real-of-rat } (\text{sgn } x)$

<proof>

lemma *inverse-le-iff-sgn*: **assumes** *sgn*: $sgn\ x = sgn\ y$
shows $(inverse\ (x :: real) \leq inverse\ y) = (y \leq x)$
<proof>

lemma *inverse-le-sgn*: **assumes** *sgn*: $sgn\ x = sgn\ y$ **and** *xy*: $x \leq (y :: real)$
shows $inverse\ y \leq inverse\ x$
<proof>

lemma *set-list-update*: $set\ (xs\ [i := k]) =$
 $(if\ i < length\ xs\ then\ insert\ k\ (set\ (take\ i\ xs) \cup set\ (drop\ (Suc\ i)\ xs))\ else\ set\ xs)$
<proof>

lemma *prod-list-dvd*: **assumes** $(x :: 'a :: comm-monoid-mult) \in set\ xs$
shows $x\ dvd\ prod-list\ xs$
<proof>

lemma *dvd-prod*:
fixes $A :: 'b\ set$
assumes $\exists b \in A.\ a\ dvd\ f\ b\ finite\ A$
shows $a\ dvd\ prod\ f\ A$
<proof>

context

fixes $xs :: 'a :: comm-monoid-mult\ list$

begin

lemma *prod-list-filter*: $prod-list\ (filter\ f\ xs) * prod-list\ (filter\ (\lambda x.\ \neg f\ x)\ xs) =$
 $prod-list\ xs$
<proof>

lemma *prod-list-partition*: **assumes** $partition\ f\ xs = (ys,\ zs)$
shows $prod-list\ xs = prod-list\ ys * prod-list\ zs$
<proof>

end

lemma *dvd-imp-mult-div-cancel-left[simp]*:
assumes $(a :: 'a :: semidom-divide)\ dvd\ b$
shows $a * (b\ div\ a) = b$
<proof>

lemma **(in** *semidom*) *prod-list-zero-iff[simp]*:
 $prod-list\ xs = 0 \iff 0 \in set\ xs$ *<proof>*

context *comm-monoid-mult* **begin**

lemma *unit-prod [intro]*:
shows $a\ dvd\ 1 \implies b\ dvd\ 1 \implies (a * b)\ dvd\ 1$

<proof>

lemma *is-unit-mult-iff[simp]*:
 shows $(a * b) \text{ dvd } 1 \longleftrightarrow a \text{ dvd } 1 \wedge b \text{ dvd } 1$
 <proof>

end

context *comm-semiring-1*

begin

lemma *irreducibleE[elim]*:
 assumes *irreducible* *p*
 and $p \neq 0 \implies \neg p \text{ dvd } 1 \implies (\bigwedge a b. p = a * b \implies a \text{ dvd } 1 \vee b \text{ dvd } 1) \implies$
 thesis
 shows *thesis* *<proof>*

lemma *not-irreducibleE*:

assumes $\neg \text{irreducible } x$
 and $x = 0 \implies \text{thesis}$
 and $x \text{ dvd } 1 \implies \text{thesis}$
 and $\bigwedge a b. x = a * b \implies \neg a \text{ dvd } 1 \implies \neg b \text{ dvd } 1 \implies \text{thesis}$
 shows *thesis* *<proof>*

lemma *prime-elem-dvd-prod-list*:

assumes *p*: *prime-elem* *p* **and** *pA*: *p dvd prod-list A* **shows** $\exists a \in \text{set } A. p \text{ dvd } a$
 <proof>

lemma *prime-elem-dvd-prod-mset*:

assumes *p*: *prime-elem* *p* **and** *pA*: *p dvd prod-mset A* **shows** $\exists a \in \# A. p \text{ dvd } a$
 <proof>

lemma *mult-unit-dvd-iff[simp]*:

assumes *b dvd 1*
 shows $a * b \text{ dvd } c \longleftrightarrow a \text{ dvd } c$
 <proof>

lemma *mult-unit-dvd-iff'[simp]*: $a \text{ dvd } 1 \implies (a * b) \text{ dvd } c \longleftrightarrow b \text{ dvd } c$
 <proof>

lemma *irreducibleD'*:

assumes *irreducible* *a b dvd a*
 shows $a \text{ dvd } b \vee b \text{ dvd } 1$
 <proof>

end

context *idom*

begin

Following lemmas are adapted and generalized so that they don't use "algebraic" classes.

lemma *dvd-times-left-cancel-iff* [simp]:
 assumes $a \neq 0$
 shows $a * b \text{ dvd } a * c \iff b \text{ dvd } c$
 (**is** $?lhs \iff ?rhs$)
<proof>

lemma *dvd-times-right-cancel-iff* [simp]:
 assumes $a \neq 0$
 shows $b * a \text{ dvd } c * a \iff b \text{ dvd } c$
<proof>

lemma *irreducibleI'*:
 assumes $a \neq 0 \wedge a \text{ dvd } 1 \wedge b \text{ dvd } a \implies a \text{ dvd } b \vee b \text{ dvd } 1$
 shows *irreducible* a
<proof>

lemma *irreducible-altdef*:
 shows $\text{irreducible } x \iff x \neq 0 \wedge \neg x \text{ dvd } 1 \wedge (\forall b. b \text{ dvd } x \longrightarrow x \text{ dvd } b \vee b \text{ dvd } 1)$
<proof>

lemma *dvd-mult-unit-iff*:
 assumes $b \text{ dvd } 1$
 shows $a \text{ dvd } c * b \iff a \text{ dvd } c$
<proof>

lemma *dvd-mult-unit-iff'*: $b \text{ dvd } 1 \implies a \text{ dvd } b * c \iff a \text{ dvd } c$
<proof>

lemma *irreducible-mult-unit-left*:
 shows $a \text{ dvd } 1 \implies \text{irreducible } (a * p) \iff \text{irreducible } p$
<proof>

lemma *irreducible-mult-unit-right*:
 shows $a \text{ dvd } 1 \implies \text{irreducible } (p * a) \iff \text{irreducible } p$
<proof>

lemma *prime-elim-imp-irreducible*:
 assumes *prime-elim* p
 shows *irreducible* p
<proof>

lemma *unit-imp-dvd* [dest]: $b \text{ dvd } 1 \implies b \text{ dvd } a$
<proof>

lemma *unit-mult-left-cancel*: $a \text{ dvd } 1 \implies a * b = a * c \longleftrightarrow b = c$
<proof>

lemma *unit-mult-right-cancel*: $a \text{ dvd } 1 \implies b * a = c * a \longleftrightarrow b = c$
<proof>

New parts from here

lemma *irreducible-multD*:
assumes l : *irreducible* $(a*b)$
shows $a \text{ dvd } 1 \wedge \text{irreducible } b \vee b \text{ dvd } 1 \wedge \text{irreducible } a$
<proof>

end

lemma (**in** *field*) *irreducible-field[simp]*:
irreducible $x \longleftrightarrow \text{False}$ *<proof>*

lemma (**in** *idom*) *irreducible-mult*:
shows *irreducible* $(a*b) \longleftrightarrow a \text{ dvd } 1 \wedge \text{irreducible } b \vee b \text{ dvd } 1 \wedge \text{irreducible } a$
<proof>

end

7 Missing Polynomial

The theory contains some basic results on polynomials which have not been detected in the distribution, especially on linear factors and degrees.

theory *Missing-Polynomial*
imports
 HOL-Computational-Algebra.Polynomial-Factorial
 Missing-Unsorted
begin

7.1 Basic Properties

lemma *degree-0-id*: **assumes** $\text{degree } p = 0$
shows $[: \text{coeff } p \ 0 :] = p$
<proof>

lemma *degree0-coeffs*: $\text{degree } p = 0 \implies$
 $\exists a. p = [: a :]$
<proof>

lemma *degree1-coeffs*: $\text{degree } p = 1 \implies$
 $\exists a \ b. p = [: b, a :] \wedge a \neq 0$
<proof>

lemma *degree2-coeffs*: $\text{degree } p = 2 \implies$
 $\exists a b c. p = [: c, b, a :] \wedge a \neq 0$
 $\langle \text{proof} \rangle$

lemma *poly-zero*:
fixes $p :: 'a :: \text{comm-ring-1 poly}$
assumes $x: \text{poly } p x = 0$ **shows** $p = 0 \longleftrightarrow \text{degree } p = 0$
 $\langle \text{proof} \rangle$

lemma *coeff-monom-Suc*: $\text{coeff } (\text{monom } a (\text{Suc } d) * p) (\text{Suc } i) = \text{coeff } (\text{monom } a d * p) i$
 $\langle \text{proof} \rangle$

lemma *coeff-sum-monom*:
assumes $n: n \leq d$
shows $\text{coeff } (\sum_{i \leq d}. \text{monom } (f i) i) n = f n$ (**is ?!** = -)
 $\langle \text{proof} \rangle$

lemma *linear-poly-root*: $(a :: 'a :: \text{comm-ring-1}) \in \text{set } as \implies \text{poly } (\prod a \leftarrow as. [: - a, 1:]) a = 0$
 $\langle \text{proof} \rangle$

lemma *degree-lcoeff-sum*: **assumes** $\text{deg}: \text{degree } (f q) = n$
and fin: *finite* S **and** $q: q \in S$ **and** $\text{degle}: \bigwedge p. p \in S - \{q\} \implies \text{degree } (f p) < n$
and cong: $\text{coeff } (f q) n = c$
shows $\text{degree } (\text{sum } f S) = n \wedge \text{coeff } (\text{sum } f S) n = c$
 $\langle \text{proof} \rangle$

lemma *degree-sum-list-le*: $(\bigwedge p. p \in \text{set } ps \implies \text{degree } p \leq n) \implies \text{degree } (\text{sum-list } ps) \leq n$
 $\langle \text{proof} \rangle$

lemma *degree-prod-list-le*: $\text{degree } (\text{prod-list } ps) \leq \text{sum-list } (\text{map } \text{degree } ps)$
 $\langle \text{proof} \rangle$

lemma *smult-sum*: $\text{smult } (\sum i \in S. f i) p = (\sum i \in S. \text{smult } (f i) p)$
 $\langle \text{proof} \rangle$

lemma *range-coeff*: $\text{range } (\text{coeff } p) = \text{insert } 0 (\text{set } (\text{coeffs } p))$
 $\langle \text{proof} \rangle$

lemma *smult-power*: $(\text{smult } a p) \wedge n = \text{smult } (a \wedge n) (p \wedge n)$
 $\langle \text{proof} \rangle$

lemma *poly-sum-list*: $\text{poly } (\text{sum-list } ps) x = \text{sum-list } (\text{map } (\lambda p. \text{poly } p x) ps)$
 $\langle \text{proof} \rangle$

lemma *poly-prod-list*: $\text{poly} (\text{prod-list } ps) x = \text{prod-list} (\text{map } (\lambda p. \text{poly } p x) ps)$
 ⟨proof⟩

lemma *sum-list-neutral*: $(\bigwedge x. x \in \text{set } xs \implies x = 0) \implies \text{sum-list } xs = 0$
 ⟨proof⟩

lemma *prod-list-neutral*: $(\bigwedge x. x \in \text{set } xs \implies x = 1) \implies \text{prod-list } xs = 1$
 ⟨proof⟩

lemma (in *comm-monoid-mult*) *prod-list-map-remove1*:
 $x \in \text{set } xs \implies \text{prod-list} (\text{map } f xs) = f x * \text{prod-list} (\text{map } f (\text{remove1 } x xs))$
 ⟨proof⟩

lemma *poly-as-sum*:
fixes $p :: 'a::\text{comm-semiring-1}$ *poly*
shows $\text{poly } p x = (\sum_{i \leq \text{degree } p} x^i * \text{coeff } p i)$
 ⟨proof⟩

lemma *poly-prod-0*: $\text{finite } ps \implies \text{poly} (\text{prod } f ps) x = (0 :: 'a :: \text{field}) \iff (\exists p \in ps. \text{poly} (f p) x = 0)$
 ⟨proof⟩

lemma *coeff-monom-mult*:
shows $\text{coeff} (\text{monom } a d * p) i =$
 (if $d \leq i$ then $a * \text{coeff } p (i-d)$ else 0) (is ?l = ?r)
 ⟨proof⟩

lemma *poly-eqI2*:
assumes $\text{degree } p = \text{degree } q$ and $\bigwedge i. i \leq \text{degree } p \implies \text{coeff } p i = \text{coeff } q i$
shows $p = q$
 ⟨proof⟩

A nice extension rule for polynomials.

lemma *poly-ext[intro]*:
fixes $p q :: 'a :: \{\text{ring-char-0}, \text{idom}\}$ *poly*
assumes $\bigwedge x. \text{poly } p x = \text{poly } q x$ **shows** $p = q$
 ⟨proof⟩

Copied from non-negative variants.

lemma *coeff-linear-power-neg[simp]*:
fixes $a :: 'a::\text{comm-ring-1}$
shows $\text{coeff} ([:a, -1:]^n) n = (-1)^n$
 ⟨proof⟩

lemma *degree-linear-power-neg[simp]*:
fixes $a :: 'a::\{\text{idom}, \text{comm-ring-1}\}$
shows $\text{degree} ([:a, -1:]^n) = n$
 ⟨proof⟩

7.2 Polynomial Composition

lemmas $[simp] = pcompose-pCons$

lemma $pcompose-eq-0$: **fixes** $q :: 'a :: idom\ poly$
assumes q : $degree\ q \neq 0$
shows $p \circ_p q = 0 \iff p = 0$
 $\langle proof \rangle$

declare $degree-pcompose[simp]$

7.3 Monic Polynomials

abbreviation $monic\ where\ monic\ p \equiv coeff\ p\ (degree\ p) = 1$

lemma $unit-factor-field\ [simp]$:
 $unit-factor\ (x :: 'a :: \{field,normalization-semidom\}) = x$
 $\langle proof \rangle$

lemma $poly-gcd-monic$:
fixes $p :: 'a :: \{field,factorial-ring-gcd,semiring-gcd-mult-normalize\}\ poly$
assumes $p \neq 0 \vee q \neq 0$
shows $monic\ (gcd\ p\ q)$
 $\langle proof \rangle$

lemma $normalize-monic$: $monic\ p \implies normalize\ p = p$
 $\langle proof \rangle$

lemma $lcoeff-monic-mult$: **assumes** $monic$: $monic\ (p :: 'a :: comm-semiring-1\ poly)$
shows $coeff\ (p * q)\ (degree\ p + degree\ q) = coeff\ q\ (degree\ q)$
 $\langle proof \rangle$

lemma $degree-monic-mult$: **assumes** $monic$: $monic\ (p :: 'a :: comm-semiring-1\ poly)$
and q : $q \neq 0$
shows $degree\ (p * q) = degree\ p + degree\ q$
 $\langle proof \rangle$

lemma $degree-prod-sum-monic$: **assumes**
 S : $finite\ S$
and nzd : $0 \notin (degree\ o\ f)\ 'S$
and $monic$: $(\bigwedge a . a \in S \implies monic\ (f\ a))$
shows $degree\ (prod\ f\ S) = (sum\ (degree\ o\ f)\ S) \wedge coeff\ (prod\ f\ S)\ (sum\ (degree\ o\ f)\ S) = 1$
 $\langle proof \rangle$

lemma $degree-prod-monic$:
assumes $\bigwedge i . i < n \implies degree\ (f\ i :: 'a :: comm-semiring-1\ poly) = 1$
and $\bigwedge i . i < n \implies coeff\ (f\ i)\ 1 = 1$

shows $\text{degree } (\text{prod } f \{0 \dots n\}) = n \wedge \text{coeff } (\text{prod } f \{0 \dots n\}) n = 1$
 ⟨proof⟩

lemma *degree-prod-sum-lt-n*: **assumes** $\bigwedge i. i < n \implies \text{degree } (f i :: 'a :: \text{comm-semiring-1 poly}) \leq 1$

and $i: i < n$ **and** $f i: \text{degree } (f i) = 0$

shows $\text{degree } (\text{prod } f \{0 \dots n\}) < n$

⟨proof⟩

lemma *degree-linear-factors*: $\text{degree } (\prod a \leftarrow as. [: f a, 1:]) = \text{length } as$

⟨proof⟩

lemma *monic-mult*:

fixes $p q :: 'a :: \text{idom poly}$

assumes $\text{monic } p \text{ monic } q$

shows $\text{monic } (p * q)$

⟨proof⟩

lemma *monic-factor*:

fixes $p q :: 'a :: \text{idom poly}$

assumes $\text{monic } (p * q) \text{ monic } p$

shows $\text{monic } q$

⟨proof⟩

lemma *monic-prod*:

fixes $f :: 'a \Rightarrow 'b :: \text{idom poly}$

assumes $\bigwedge a. a \in as \implies \text{monic } (f a)$

shows $\text{monic } (\text{prod } f as)$ ⟨proof⟩

lemma *monic-prod-list*:

fixes $as :: 'a :: \text{idom poly list}$

assumes $\bigwedge a. a \in \text{set } as \implies \text{monic } a$

shows $\text{monic } (\text{prod-list } as)$ ⟨proof⟩

lemma *monic-power*:

assumes $\text{monic } (p :: 'a :: \text{idom poly})$

shows $\text{monic } (p \hat{=} n)$

⟨proof⟩

lemma *monic-prod-list-pow*: $\text{monic } (\prod (x :: 'a :: \text{idom}, i) \leftarrow xis. [:- x, 1:] \hat{=} \text{Suc } i)$

⟨proof⟩

lemma *monic-degree-0*: $\text{monic } p \implies (\text{degree } p = 0) = (p = 1)$

⟨proof⟩

7.4 Roots

The following proof structure is completely similar to the one of $?p \neq 0 \implies \text{finite } \{x. \text{poly } ?p x = (0 :: ?'a)\}$.

lemma *poly-roots-degree*:

fixes $p :: 'a :: idom$ *poly*

shows $p \neq 0 \implies \text{card } \{x. \text{poly } p \ x = 0\} \leq \text{degree } p$

<proof>

lemma *poly-root-factor*: $(\text{poly } ([: r, 1:] * q) (k :: 'a :: idom) = 0) = (k = -r \vee \text{poly } q \ k = 0)$ (**is** *?one*)

$(\text{poly } (q * [: r, 1:] k = 0) = (k = -r \vee \text{poly } q \ k = 0)$ (**is** *?two*)

$(\text{poly } [: r, 1:] k = 0) = (k = -r)$ (**is** *?three*)

<proof>

lemma *poly-root-constant*: $c \neq 0 \implies (\text{poly } (p * [:c:]) (k :: 'a :: idom) = 0) = (\text{poly } p \ k = 0)$

<proof>

lemma *poly-linear-exp-linear-factors-rev*:

$([:b,1:]) \wedge (\text{length } (\text{filter } ((=) \ b) \ as)) \ \text{dvd} \ (\prod (a :: 'a :: \text{comm-ring-1}) \leftarrow \text{as. } [: a, 1:])$

<proof>

lemma *order-max*: **assumes** $\text{dvd}: [: -a, 1:] \wedge k \ \text{dvd} \ p$ **and** $p: p \neq 0$

shows $k \leq \text{order } a \ p$

<proof>

7.5 Divisibility

context

assumes *SORT-CONSTRAINT*('a :: idom)

begin

lemma *poly-linear-linear-factor*: **assumes**

$\text{dvd}: [:b,1:] \ \text{dvd} \ (\prod (a :: 'a) \leftarrow \text{as. } [: a, 1:])$

shows $b \in \text{set } as$

<proof>

lemma *poly-linear-exp-linear-factors*:

assumes $\text{dvd}: ([:b,1:]) \wedge^n \ \text{dvd} \ (\prod (a :: 'a) \leftarrow \text{as. } [: a, 1:])$

shows $\text{length } (\text{filter } ((=) \ b) \ as) \geq n$

<proof>

end

lemma *const-poly-dvd*: $([:a:] \ \text{dvd} \ [:b:]) = (a \ \text{dvd} \ b)$

<proof>

lemma *const-poly-dvd-1* [*simp*]:

$[:a:] \ \text{dvd} \ 1 \iff a \ \text{dvd} \ 1$

<proof>

lemma *poly-dvd-1*:

fixes $p :: 'a :: \{comm\text{-semiring-1}, semiring\text{-no-zero-divisors}\}$ *poly*
shows $p \text{ dvd } 1 \iff degree\ p = 0 \wedge coeff\ p\ 0 \text{ dvd } 1$
 $\langle proof \rangle$

Degree based version of irreducibility.

definition $irreducible_d :: 'a :: comm\text{-semiring-1}\ \text{poly} \Rightarrow bool$ **where**
 $irreducible_d\ p = (degree\ p > 0 \wedge (\forall\ q\ r. degree\ q < degree\ p \longrightarrow degree\ r < degree\ p \longrightarrow p \neq q * r))$

lemma $irreducible_dI$ [*intro*]:
assumes 1: $degree\ p > 0$
and 2: $\bigwedge q\ r. degree\ q > 0 \implies degree\ q < degree\ p \implies degree\ r > 0 \implies degree\ r < degree\ p \implies p = q * r \implies False$
shows $irreducible_d\ p$
 $\langle proof \rangle$

lemma $irreducible_dI2$:
fixes $p :: 'a :: \{comm\text{-semiring-1}, semiring\text{-no-zero-divisors}\}$ *poly*
assumes deg : $degree\ p > 0$ **and** $ndvd$: $\bigwedge q. degree\ q > 0 \implies degree\ q \leq degree\ p \text{ div } 2 \implies \neg q \text{ dvd } p$
shows $irreducible_d\ p$
 $\langle proof \rangle$

lemma $reducible_dI$:
assumes $degree\ p > 0 \implies \exists q\ r. degree\ q < degree\ p \wedge degree\ r < degree\ p \wedge p = q * r$
shows $\neg irreducible_d\ p$
 $\langle proof \rangle$

lemma $irreducible_dE$ [*elim*]:
assumes $irreducible_d\ p$
and $degree\ p > 0 \implies (\bigwedge q\ r. degree\ q < degree\ p \implies degree\ r < degree\ p \implies p \neq q * r) \implies thesis$
shows $thesis$
 $\langle proof \rangle$

lemma $reducible_dE$ [*elim*]:
assumes red : $\neg irreducible_d\ p$
and 1: $degree\ p = 0 \implies thesis$
and 2: $\bigwedge q\ r. degree\ q > 0 \implies degree\ q < degree\ p \implies degree\ r > 0 \implies degree\ r < degree\ p \implies p = q * r \implies thesis$
shows $thesis$
 $\langle proof \rangle$

lemma $irreducible_dD$:
assumes $irreducible_d\ p$
shows $degree\ p > 0 \bigwedge q\ r. degree\ q < degree\ p \implies degree\ r < degree\ p \implies p \neq q * r$
 $\langle proof \rangle$

theorem *irreducible_d-factorization-exists*:

assumes *degree* $p > 0$

shows $\exists fs. fs \neq [] \wedge (\forall f \in set\ fs. irreducible_d\ f \wedge degree\ f \leq degree\ p) \wedge p = prod_list\ fs$

and $\neg irreducible_d\ p \implies \exists fs. length\ fs > 1 \wedge (\forall f \in set\ fs. irreducible_d\ f \wedge degree\ f < degree\ p) \wedge p = prod_list\ fs$

<proof>

lemma *irreducible_d-factor*:

fixes $p :: 'a :: \{comm_semiring-1, semiring-no-zero-divisors\}$ *poly*

assumes *degree* $p > 0$

shows $\exists q\ r. irreducible_d\ q \wedge p = q * r \wedge degree\ r < degree\ p$ *<proof>*

context *mult-zero begin*

definition *zero-divisor where zero-divisor* $a \equiv \exists b. b \neq 0 \wedge a * b = 0$

lemma *zero-divisorI[intro]*:

assumes $b \neq 0$ **and** $a * b = 0$ **shows** *zero-divisor* a

<proof>

lemma *zero-divisorE[elim]*:

assumes *zero-divisor* a

and $\bigwedge b. b \neq 0 \implies a * b = 0 \implies thesis$

shows *thesis*

<proof>

end

lemma *zero-divisor-0[simp]*:

zero-divisor $(0 :: 'a :: \{mult-zero, zero-neq-one\})$

<proof>

lemma *not-zero-divisor-1*:

$\neg zero_divisor\ (1 :: 'a :: \{monoid-mult, mult-zero\})$

<proof>

lemma *zero-divisor-iff-eq-0[simp]*:

fixes $a :: 'a :: \{semiring-no-zero-divisors, zero-neq-one\}$

shows *zero-divisor* $a \longleftrightarrow a = 0$ *<proof>*

lemma *mult-eq-0-not-zero-divisor-left[simp]*:

fixes $a\ b :: 'a :: mult-zero$

assumes $\neg zero_divisor\ a$

shows $a * b = 0 \longleftrightarrow b = 0$

<proof>

lemma *mult-eq-0-not-zero-divisor-right[simp]*:

fixes $a\ b :: 'a :: \{ab\text{-semigroup-mult, mult-zero}\}$
assumes $\neg \text{zero-divisor } b$
shows $a * b = 0 \longleftrightarrow a = 0$
 $\langle \text{proof} \rangle$

lemma *degree-smult-not-zero-divisor-left[simp]*:
assumes $\neg \text{zero-divisor } c$
shows $\text{degree } (smult\ c\ p) = \text{degree } p$
 $\langle \text{proof} \rangle$

lemma *degree-smult-not-zero-divisor-right[simp]*:
assumes $\neg \text{zero-divisor } (\text{lead-coeff } p)$
shows $\text{degree } (smult\ c\ p) = (\text{if } c = 0 \text{ then } 0 \text{ else } \text{degree } p)$
 $\langle \text{proof} \rangle$

lemma *irreducible_a-smult-not-zero-divisor-left*:
assumes $c0: \neg \text{zero-divisor } c$
assumes $L: \text{irreducible}_a (smult\ c\ p)$
shows $\text{irreducible}_a\ p$
 $\langle \text{proof} \rangle$

lemmas *irreducible_a-smultI =*
irreducible_a-smult-not-zero-divisor-left
[where $'a = 'a :: \{\text{comm-semiring-1, semiring-no-zero-divisors}\}$, *simplified]*

lemma *irreducible_a-smult-not-zero-divisor-right*:
assumes $p0: \neg \text{zero-divisor } (\text{lead-coeff } p)$ **and** $L: \text{irreducible}_a (smult\ c\ p)$
shows $\text{irreducible}_a\ p$
 $\langle \text{proof} \rangle$

lemma *zero-divisor-mult-left*:
fixes $a\ b :: 'a :: \{ab\text{-semigroup-mult, mult-zero}\}$
assumes $\text{zero-divisor } a$
shows $\text{zero-divisor } (a * b)$
 $\langle \text{proof} \rangle$

lemma *zero-divisor-mult-right*:
fixes $a\ b :: 'a :: \{\text{semigroup-mult, mult-zero}\}$
assumes $\text{zero-divisor } b$
shows $\text{zero-divisor } (a * b)$
 $\langle \text{proof} \rangle$

lemma *not-zero-divisor-mult*:
fixes $a\ b :: 'a :: \{ab\text{-semigroup-mult, mult-zero}\}$
assumes $\neg \text{zero-divisor } (a * b)$
shows $\neg \text{zero-divisor } a$ **and** $\neg \text{zero-divisor } b$
 $\langle \text{proof} \rangle$

lemma *zero-divisor-smult-left*:
assumes *zero-divisor a*
shows *zero-divisor (smult a f)*
 \langle *proof* \rangle

lemma *unit-not-zero-divisor*:
fixes $a :: 'a :: \{comm-monoid-mult, mult-zero\}$
assumes $a \text{ dvd } 1$
shows $\neg zero-divisor\ a$
 \langle *proof* \rangle

lemma *linear-irreducible_d*: **assumes** $degree\ p = 1$
shows $irreducible_d\ p$
 \langle *proof* \rangle

lemma *irreducible_d-dvd-smult*:
fixes $p :: 'a :: \{comm-semiring-1, semiring-no-zero-divisors\}$ *poly*
assumes $degree\ p > 0$ $irreducible_d\ q\ p\ \text{dvd}\ q$
shows $\exists\ c. c \neq 0 \wedge q = smult\ c\ p$
 \langle *proof* \rangle

7.6 Map over Polynomial Coefficients

lemma *map-poly-simps*:
shows $map-poly\ f\ (pCons\ c\ p) =$
 $(if\ c = 0 \wedge p = 0\ then\ 0\ else\ pCons\ (f\ c)\ (map-poly\ f\ p))$
 \langle *proof* \rangle

lemma *map-poly-pCons[simp]*:
assumes $c \neq 0 \vee p \neq 0$
shows $map-poly\ f\ (pCons\ c\ p) = pCons\ (f\ c)\ (map-poly\ f\ p)$
 \langle *proof* \rangle

lemma *map-poly-map-poly*:
assumes $f0: f\ 0 = 0$
shows $map-poly\ f\ (map-poly\ g\ p) = map-poly\ (f \circ g)\ p$
 \langle *proof* \rangle

lemma *map-poly-zero*:
assumes $f: \forall\ c. f\ c = 0 \longrightarrow c = 0$
shows $[simp]: map-poly\ f\ p = 0 \longleftrightarrow p = 0$
 \langle *proof* \rangle

lemma *map-poly-add*:
assumes $h0: h\ 0 = 0$
and $h-add: \forall\ p\ q. h\ (p + q) = h\ p + h\ q$
shows $map-poly\ h\ (p + q) = map-poly\ h\ p + map-poly\ h\ q$
 \langle *proof* \rangle

7.7 Morphismic properties of $pCons$ ($0::'a$)

lemma *monom-pCons-0-monom*:

$monom (pCons\ 0\ (monom\ a\ n))\ d = map\ poly\ (pCons\ 0)\ (monom\ (monom\ a\ n)\ d)$
 $\langle proof \rangle$

lemma *pCons-0-add*: $pCons\ 0\ (p + q) = pCons\ 0\ p + pCons\ 0\ q$ $\langle proof \rangle$

lemma *sum-pCons-0-commute*:

$sum\ (\lambda i. pCons\ 0\ (f\ i))\ S = pCons\ 0\ (sum\ f\ S)$
 $\langle proof \rangle$

lemma *pCons-0-as-mult*:

fixes $p:: 'a :: comm\ semiring\ 1\ poly$
shows $pCons\ 0\ p = [:0,1:] * p$ $\langle proof \rangle$

7.8 Misc

fun *expand-powers* :: $(nat \times 'a)list \Rightarrow 'a\ list$ **where**

$expand\ powers\ [] = []$
 $| expand\ powers\ ((Suc\ n, a) \# ps) = a \# expand\ powers\ ((n,a) \# ps)$
 $| expand\ powers\ ((0,a) \# ps) = expand\ powers\ ps$

lemma *expand-powers*: **fixes** $f :: 'a \Rightarrow 'b :: comm\ ring\ 1$

shows $(\prod (n,a) \leftarrow n\ as. f\ a \wedge n) = (\prod a \leftarrow expand\ powers\ n\ as. f\ a)$
 $\langle proof \rangle$

lemma *poly-smult-zero-iff*: **fixes** $x :: 'a :: idom$

shows $(poly\ (smult\ a\ p)\ x = 0) = (a = 0 \vee poly\ p\ x = 0)$
 $\langle proof \rangle$

lemma *poly-prod-list-zero-iff*: **fixes** $x :: 'a :: idom$

shows $(poly\ (prod\ list\ ps)\ x = 0) = (\exists p \in set\ ps. poly\ p\ x = 0)$
 $\langle proof \rangle$

lemma *poly-mult-zero-iff*: **fixes** $x :: 'a :: idom$

shows $(poly\ (p * q)\ x = 0) = (poly\ p\ x = 0 \vee poly\ q\ x = 0)$
 $\langle proof \rangle$

lemma *poly-power-zero-iff*: **fixes** $x :: 'a :: idom$

shows $(poly\ (p \wedge n)\ x = 0) = (n \neq 0 \wedge poly\ p\ x = 0)$
 $\langle proof \rangle$

lemma *sum-monom-0-iff*: **assumes** $fin: finite\ S$

and $g: \bigwedge i\ j. g\ i = g\ j \implies i = j$
shows $sum\ (\lambda i. monom\ (f\ i)\ (g\ i))\ S = 0 \iff (\forall i \in S. f\ i = 0)$ (**is** $?l = ?r$)
 $\langle proof \rangle$

lemma *degree-prod-list-eq*: **assumes** $\bigwedge p. p \in \text{set } ps \implies (p :: 'a :: \text{idom poly}) \neq 0$
shows $\text{degree } (\text{prod-list } ps) = \text{sum-list } (\text{map } \text{degree } ps)$ *<proof>*

lemma *degree-power-eq*: **assumes** $p: p \neq 0$
shows $\text{degree } (p \wedge^n) = \text{degree } (p :: 'a :: \text{idom poly}) * n$
<proof>

lemma *coeff-Poly*: $\text{coeff } (\text{Poly } xs) i = (\text{nth-default } 0 \ xs \ i)$
<proof>

lemma *rsquarefree-def'*: $\text{rsquarefree } p = (p \neq 0 \wedge (\forall a. \text{order } a \ p \leq 1))$
<proof>

lemma *order-prod-list*: $(\bigwedge p. p \in \text{set } ps \implies p \neq 0) \implies \text{order } x \ (\text{prod-list } ps) =$
 $\text{sum-list } (\text{map } (\text{order } x) \ ps)$
<proof>

lemma *irreducible_a-dvd-eq*:
fixes $a \ b :: 'a :: \{\text{comm-semiring-1, semiring-no-zero-divisors}\}$ *poly*
assumes $\text{irreducible}_a \ a$ **and** $\text{irreducible}_a \ b$
and $a \ \text{dvd} \ b$
and $\text{monic } a$ **and** $\text{monic } b$
shows $a = b$
<proof>

lemma *monic-gcd-dvd*:
assumes $fg: f \ \text{dvd} \ g$ **and** $mon: \text{monic } f$ **and** $gcd: \text{gcd } g \ h \in \{1, f\}$
shows $\text{gcd } f \ h \in \{1, f\}$
<proof>

lemma *monom-power*: $(\text{monom } a \ b) \wedge^n = \text{monom } (a \wedge^n) \ (b * n)$
<proof>

lemma *poly-const-pow*: $[:a:] \wedge b = [:a \wedge b:]$
<proof>

lemma *degree-pderiv-le*: $\text{degree } (\text{pderiv } f) \leq \text{degree } f - 1$
<proof>

lemma *map-div-is-smult-inverse*: $\text{map-poly } (\lambda x. x / (a :: 'a :: \text{field})) \ p = \text{smult}$
 $(\text{inverse } a) \ p$
<proof>

lemma *normalize-poly-old-def*:
 $\text{normalize } (f :: 'a :: \{\text{normalization-semidom, field}\} \ \text{poly}) = \text{smult } (\text{inverse } (\text{unit-factor}$
 $(\text{lead-coeff } f))) \ f$
<proof>

lemma *poly-dvd-antisym*:

fixes $p\ q :: 'b::\text{idom poly}$

assumes *coeff*: $\text{coeff } p (\text{degree } p) = \text{coeff } q (\text{degree } q)$

assumes *dvd1*: $p \text{ dvd } q$ **and** *dvd2*: $q \text{ dvd } p$ **shows** $p = q$

<proof>

lemma *coeff-f-0-code*[*code-unfold*]: $\text{coeff } f\ 0 = (\text{case } \text{coeffs } f \text{ of } [] \Rightarrow 0 \mid x \# - \Rightarrow x)$

<proof>

lemma *poly-compare-0-code*[*code-unfold*]: $(f = 0) = (\text{case } \text{coeffs } f \text{ of } [] \Rightarrow \text{True} \mid - \Rightarrow \text{False})$

<proof>

Getting more efficient code for abbreviation *lead-coeff*"

definition *leading-coeff*

where [*code-abbrev*, *simp*]: $\text{leading-coeff} = \text{lead-coeff}$

lemma *leading-coeff-code* [*code*]:

$\text{leading-coeff } f = (\text{let } xs = \text{coeffs } f \text{ in if } xs = [] \text{ then } 0 \text{ else last } xs)$

<proof>

lemma *nth-coeffs-coeff*: $i < \text{length } (\text{coeffs } f) \Longrightarrow \text{coeffs } f ! i = \text{coeff } f\ i$

<proof>

definition *monom-mult* :: $\text{nat} \Rightarrow 'a :: \text{comm-semiring-1 poly} \Rightarrow 'a \text{ poly}$

where $\text{monom-mult } n\ f = \text{monom } 1\ n * f$

lemma *monom-mult-unfold* [*code-unfold*]:

$\text{monom } 1\ n * f = \text{monom-mult } n\ f$

$f * \text{monom } 1\ n = \text{monom-mult } n\ f$

<proof>

lemma *monom-mult-code* [*code abstract*]:

$\text{coeffs } (\text{monom-mult } n\ f) = (\text{let } xs = \text{coeffs } f \text{ in}$

$\text{if } xs = [] \text{ then } xs \text{ else replicate } n\ 0 @ xs)$

<proof>

lemma *coeff-pcompose-monom*: **fixes** $f :: 'a :: \text{comm-ring-1 poly}$

assumes $n: j < n$

shows $\text{coeff } (f \circ_p \text{monom } 1\ n) (n * i + j) = (\text{if } j = 0 \text{ then } \text{coeff } f\ i \text{ else } 0)$

<proof>

lemma *coeff-pcompose-x-pow-n*: **fixes** $f :: 'a :: \text{comm-ring-1 poly}$

assumes $n: n \neq 0$

shows $\text{coeff } (f \circ_p \text{monom } 1\ n) (n * i) = \text{coeff } f\ i$

<proof>

lemma *dvd-dvd-smult*: $a \text{ dvd } b \Longrightarrow f \text{ dvd } g \Longrightarrow \text{smult } a\ f \text{ dvd } \text{smult } b\ g$

<proof>

definition *sdiv-poly* :: 'a :: idom-divide poly \Rightarrow 'a \Rightarrow 'a poly **where**
sdiv-poly p a = (map-poly (λ c. c div a) p)

lemma *smult-map-poly*: *smult* a = map-poly ((*) a)
<proof>

lemma *smult-exact-sdiv-poly*: **assumes** \bigwedge c. c \in set (coeffs p) \implies a dvd c
shows *smult* a (sdiv-poly p a) = p
<proof>

lemma *coeff-sdiv-poly*: coeff (sdiv-poly f a) n = coeff f n div a
<proof>

lemma *poly-pinfty-ge*:
fixes p :: real poly
assumes lead-coeff p > 0 degree p \neq 0
shows \exists n. \forall x \geq n. poly p x \geq b
<proof>

lemma *pderiv-sum*: pderiv (sum f I) = sum (λ i. (pderiv (f i))) I
<proof>

lemma *smult-sum2*: *smult* m (\sum i \in S. f i) = (\sum i \in S. *smult* m (f i))
<proof>

lemma *degree-mult-not-eq*:
degree (f * g) \neq degree f + degree g \implies lead-coeff f * lead-coeff g = 0
<proof>

lemma *irreducible_d-multD*:
fixes a b :: 'a :: {comm-semiring-1, semiring-no-zero-divisors} poly
assumes l: irreducible_d (a*b)
shows degree a = 0 \wedge a \neq 0 \wedge irreducible_d b \vee degree b = 0 \wedge b \neq 0 \wedge
irreducible_d a
<proof>

lemma *irreducible-connect-field[simp]*:
fixes f :: 'a :: field poly
shows irreducible_d f = irreducible f (is ?l = ?r)
<proof>

lemma *is-unit-field-poly[simp]*:
fixes p :: 'a::field poly
shows is-unit p \iff p \neq 0 \wedge degree p = 0
<proof>

lemma *irreducible-smult-field[simp]*:

fixes $c :: 'a :: field$
shows $irreducible (smult\ c\ p) \longleftrightarrow c \neq 0 \wedge irreducible\ p$ (**is** $?L \longleftrightarrow ?R$)
 $\langle proof \rangle$

lemma *irreducible-monic-factor*: **fixes** $p :: 'a :: field\ poly$
assumes $degree\ p > 0$
shows $\exists\ q\ r. irreducible\ q \wedge p = q * r \wedge monic\ q$
 $\langle proof \rangle$

lemma *monic-irreducible-factorization*: **fixes** $p :: 'a :: field\ poly$
shows $monic\ p \implies$
 $\exists\ as\ f. finite\ as \wedge p = prod\ (\lambda\ a. a \wedge Suc\ (f\ a))\ as \wedge as \subseteq \{q. irreducible\ q \wedge monic\ q\}$
 $\langle proof \rangle$

lemma *monic-irreducible-gcd*:
 $monic\ (f :: 'a :: \{field, euclidean-ring-gcd, semiring-gcd-mult-normalize, normalization-euclidean-semiring-multiplicative\}\ poly) \implies$
 $irreducible\ f \implies gcd\ f\ u \in \{1, f\}$
 $\langle proof \rangle$
end

8 Connecting Polynomials with Homomorphism Locales

theory *Ring-Hom-Poly*

imports

HOL-Computational-Algebra.Euclidean-Algorithm

Ring-Hom

Missing-Polynomial

begin

$poly$ as a homomorphism. Note that types differ.

interpretation $poly-hom: comm-semiring-hom\ \lambda p. poly\ p\ a$ $\langle proof \rangle$

interpretation $poly-hom: comm-ring-hom\ \lambda p. poly\ p\ a$ $\langle proof \rangle$

interpretation $poly-hom: idom-hom\ \lambda p. poly\ p\ a$ $\langle proof \rangle$

(\circ_p) as a homomorphism.

interpretation $pcompose-hom: comm-semiring-hom\ \lambda q. q \circ_p\ p$
 $\langle proof \rangle$

interpretation $pcompose-hom: comm-ring-hom\ \lambda q. q \circ_p\ p$ $\langle proof \rangle$

interpretation $pcompose-hom: idom-hom\ \lambda q. q \circ_p\ p$ $\langle proof \rangle$

definition *eval-poly* :: ('a ⇒ 'b :: comm-semiring-1) ⇒ 'a :: zero poly ⇒ 'b ⇒ 'b
where

[code del]: *eval-poly* h p = *poly* (*map-poly* h p)

lemma *eval-poly-code*[code]: *eval-poly* h p x = *fold-coeffs* (λ a b. h a + x * b) p 0
⟨proof⟩

lemma *eval-poly-as-sum*:

fixes h :: 'a :: zero ⇒ 'b :: comm-semiring-1

assumes h 0 = 0

shows *eval-poly* h p x = (∑ i ≤ degree p. xⁱ * h (coeff p i))

⟨proof⟩

lemma *coeff-const*: *coeff* [: a :] i = (if i = 0 then a else 0)
⟨proof⟩

lemma *x-as-monom*: [:0,1:] = *monom* 1 1
⟨proof⟩

lemma *x-pow-n*: *monom* 1 1 ^ n = *monom* 1 n
⟨proof⟩

lemma *map-poly-eval-poly*: **assumes** h0: h 0 = 0

shows *map-poly* h p = *eval-poly* (λ a. [: h a :]) p [:0,1:] (**is** ?mp = ?ep)
⟨proof⟩

lemma *smult-as-map-poly*: *smult* a = *map-poly* ((* a)
⟨proof⟩

8.1 map-poly of Homomorphisms

context *zero-hom* **begin**

We will consider *hom* is always simpler than *map-poly hom*.

lemma *map-poly-hom-monom*[simp]: *map-poly hom* (*monom* a i) = *monom* (*hom* a) i

⟨proof⟩

lemma *coeff-map-poly-hom*[simp]: *coeff* (*map-poly hom* p) i = *hom* (*coeff* p i)

⟨proof⟩

end

locale *map-poly-zero-hom* = *base*: *zero-hom*

begin

sublocale *zero-hom* *map-poly hom* ⟨proof⟩

end

map-poly preserves homomorphisms over addition.

context *comm-monoid-add-hom*

begin

```

lemma map-poly-hom-add[hom-distrib]:
  map-poly hom (p + q) = map-poly hom p + map-poly hom q
  ⟨proof⟩
end

```

```

locale map-poly-comm-monoid-add-hom = base: comm-monoid-add-hom
begin
  sublocale comm-monoid-add-hom map-poly hom ⟨proof⟩
end

```

To preserve homomorphisms over multiplication, it demands commutative ring homomorphisms.

```

context comm-semiring-hom begin
  lemma map-poly-pCons-hom[hom-distrib]: map-poly hom (pCons a p) = pCons
  (hom a) (map-poly hom p)
  ⟨proof⟩
  lemma map-poly-hom-smult[hom-distrib]:
    map-poly hom (smult c p) = smult (hom c) (map-poly hom p)
    ⟨proof⟩
  lemma poly-map-poly[simp]: poly (map-poly hom p) (hom x) = hom (poly p x)
  ⟨proof⟩
end

```

```

locale map-poly-comm-semiring-hom = base: comm-semiring-hom
begin
  sublocale map-poly-comm-monoid-add-hom ⟨proof⟩
  sublocale comm-semiring-hom map-poly hom
  ⟨proof⟩
end

```

```

locale map-poly-comm-ring-hom = base: comm-ring-hom
begin
  sublocale map-poly-comm-semiring-hom ⟨proof⟩
  sublocale comm-ring-hom map-poly hom ⟨proof⟩
end

```

```

locale map-poly-idom-hom = base: idom-hom
begin
  sublocale map-poly-comm-ring-hom ⟨proof⟩
  sublocale idom-hom map-poly hom ⟨proof⟩
end

```

8.1.1 Injectivity

```

locale map-poly-inj-zero-hom = base: inj-zero-hom
begin
  sublocale inj-zero-hom map-poly hom
  ⟨proof⟩
end

```

locale *map-poly-inj-comm-monoid-add-hom* = base: *inj-comm-monoid-add-hom*
begin
 sublocale *map-poly-comm-monoid-add-hom*⟨proof⟩
 sublocale *map-poly-inj-zero-hom*⟨proof⟩
 sublocale *inj-comm-monoid-add-hom map-poly hom*⟨proof⟩
end

locale *map-poly-inj-comm-semiring-hom* = base: *inj-comm-semiring-hom*
begin
 sublocale *map-poly-comm-semiring-hom*⟨proof⟩
 sublocale *map-poly-inj-zero-hom*⟨proof⟩
 sublocale *inj-comm-semiring-hom map-poly hom*⟨proof⟩
end

locale *map-poly-inj-comm-ring-hom* = base: *inj-comm-ring-hom*
begin
 sublocale *map-poly-inj-comm-semiring-hom*⟨proof⟩
 sublocale *inj-comm-ring-hom map-poly hom*⟨proof⟩
end

locale *map-poly-inj-idom-hom* = base: *inj-idom-hom*
begin
 sublocale *map-poly-inj-comm-ring-hom*⟨proof⟩
 sublocale *inj-idom-hom map-poly hom*⟨proof⟩
end

lemma *degree-map-poly-le*: $\text{degree} (\text{map-poly } f \ p) \leq \text{degree } p$
 ⟨proof⟩

lemma *coeffs-map-poly*:
 assumes $f (\text{lead-coeff } p) = 0 \longleftrightarrow p = 0$
 shows $\text{coeffs} (\text{map-poly } f \ p) = \text{map } f (\text{coeffs } p)$
 ⟨proof⟩

lemma *degree-map-poly*:
 assumes $f (\text{lead-coeff } p) = 0 \longleftrightarrow p = 0$
 shows $\text{degree} (\text{map-poly } f \ p) = \text{degree } p$
 ⟨proof⟩

context *zero-hom-0* **begin**

lemma *degree-map-poly-hom[simp]*: $\text{degree} (\text{map-poly } \text{hom } p) = \text{degree } p$
 ⟨proof⟩

lemma *coeffs-map-poly-hom[simp]*: $\text{coeffs} (\text{map-poly } \text{hom } p) = \text{map } \text{hom} (\text{coeffs } p)$
 ⟨proof⟩

lemma *hom-lead-coeff[simp]*: $\text{lead-coeff } (\text{map-poly hom } p) = \text{hom } (\text{lead-coeff } p)$
 ⟨proof⟩
end

context *comm-semiring-hom* **begin**

interpretation *map-poly-hom*: *map-poly-comm-semiring-hom*⟨proof⟩

lemma *poly-map-poly-0[simp]*:
 $\text{poly } (\text{map-poly hom } p) 0 = \text{hom } (\text{poly } p 0)$ (**is** ?l = ?r)
 ⟨proof⟩

lemma *poly-map-poly-1[simp]*:
 $\text{poly } (\text{map-poly hom } p) 1 = \text{hom } (\text{poly } p 1)$ (**is** ?l = ?r)
 ⟨proof⟩

lemma *map-poly-hom-as-monom-sum*:
 $(\sum j \leq \text{degree } p. \text{monom } (\text{hom } (\text{coeff } p j)) j) = \text{map-poly hom } p$
 ⟨proof⟩

lemma *map-poly-pcompose[hom-distrib]*:
 $\text{map-poly hom } (f \circ_p g) = \text{map-poly hom } f \circ_p \text{map-poly hom } g$
 ⟨proof⟩

end

context *comm-semiring-hom* **begin**

lemma *eval-poly-0[simp]*: $\text{eval-poly hom } 0 x = 0$ ⟨proof⟩
lemma *eval-poly-monom*: $\text{eval-poly hom } (\text{monom } a n) x = \text{hom } a * x ^ n$
 ⟨proof⟩

lemma *poly-map-poly-eval-poly*: $\text{poly } (\text{map-poly hom } p) = \text{eval-poly hom } p$
 ⟨proof⟩

lemma *map-poly-eval-poly*:
 $\text{map-poly hom } p = \text{eval-poly } (\lambda a. [: \text{hom } a :]) p [: 0, 1 :]$
 ⟨proof⟩

lemma *degree-extension*: **assumes** $\text{degree } p \leq n$
shows $(\sum i \leq \text{degree } p. x ^ i * \text{hom } (\text{coeff } p i))$
 $= (\sum i \leq n. x ^ i * \text{hom } (\text{coeff } p i))$ (**is** ?l = ?r)
 ⟨proof⟩

lemma *eval-poly-add[simp]*: $\text{eval-poly hom } (p + q) x = \text{eval-poly hom } p x + \text{eval-poly hom } q x$
 ⟨proof⟩

lemma *eval-poly-sum*: $\text{eval-poly hom } (\sum k \in A. p k) x = (\sum k \in A. \text{eval-poly hom } (p k) x)$

k) x
 $\langle \text{proof} \rangle$

lemma *eval-poly-poly*: $\text{eval-poly hom } p (\text{hom } x) = \text{hom } (\text{poly } p x)$
 $\langle \text{proof} \rangle$

end

context *comm-ring-hom* **begin**

interpretation *map-poly-hom*: $\text{map-poly-comm-ring-hom}$ $\langle \text{proof} \rangle$

lemma *pseudo-divmod-main-hom*:

$\text{pseudo-divmod-main } (\text{hom } lc) (\text{map-poly hom } q) (\text{map-poly hom } r) (\text{map-poly hom } d) \text{ dr } i =$
 $\text{map-prod } (\text{map-poly hom}) (\text{map-poly hom}) (\text{pseudo-divmod-main } lc \ q \ r \ d \ \text{dr } i)$
 $\langle \text{proof} \rangle$

end

lemma(**in** *inj-comm-ring-hom*) *pseudo-divmod-hom*:

$\text{pseudo-divmod } (\text{map-poly hom } p) (\text{map-poly hom } q) =$
 $\text{map-prod } (\text{map-poly hom}) (\text{map-poly hom}) (\text{pseudo-divmod } p \ q)$
 $\langle \text{proof} \rangle$

lemma(**in** *inj-idom-hom*) *pseudo-mod-hom*:

$\text{pseudo-mod } (\text{map-poly hom } p) (\text{map-poly hom } q) = \text{map-poly hom } (\text{pseudo-mod } p \ q)$
 $\langle \text{proof} \rangle$

lemma(**in** *idom-hom*) *map-poly-pderiv[hom-distrib]*:

$\text{map-poly hom } (\text{pderiv } p) = \text{pderiv } (\text{map-poly hom } p)$
 $\langle \text{proof} \rangle$

lemma(**in** *idom-hom*) *map-poly-higher-pderiv[hom-distrib]*:

$\text{map-poly hom } ((\text{pderiv } \widehat{\widehat{n}}) \ p) = (\text{pderiv } \widehat{\widehat{n}}) (\text{map-poly hom } p)$
 $\langle \text{proof} \rangle$

context *field-hom*

begin

lemma *dvd-map-poly-hom-imp-dvd*: $\langle \text{map-poly hom } x \ \text{dvd} \ \text{map-poly hom } y \implies x \ \text{dvd} \ y \rangle$
 $\langle \text{proof} \rangle$

lemma *map-poly-pdivmod [hom-distrib]*:

$\langle \text{map-prod } (\text{map-poly hom}) (\text{map-poly hom}) (p \ \text{div} \ q, \ p \ \text{mod} \ q) =$
 $(\text{map-poly hom } p \ \text{div} \ \text{map-poly hom } q, \ \text{map-poly hom } p \ \text{mod} \ \text{map-poly hom } q) \rangle$
 $\langle \text{proof} \rangle$

lemma *map-poly-div[hom-distrib]*: $\text{map-poly hom } (p \ \text{div} \ q) = \text{map-poly hom } p \ \text{div}$

map-poly hom q
⟨proof⟩

lemma *map-poly-mod[hom-distrib]: map-poly hom (p mod q) = map-poly hom p mod map-poly hom q*
⟨proof⟩

end

locale *field-hom' = field-hom hom*
for *hom :: 'a :: {field-gcd} ⇒ 'b :: {field-gcd}*
begin

lemma *map-poly-normalize[hom-distrib]: map-poly hom (normalize p) = normalize (map-poly hom p)*
⟨proof⟩

lemma *map-poly-gcd[hom-distrib]: map-poly hom (gcd p q) = gcd (map-poly hom p) (map-poly hom q)*
⟨proof⟩

end

definition *div-poly :: 'a :: euclidean-semiring ⇒ 'a poly ⇒ 'a poly where*
div-poly a p = map-poly (λ c. c div a) p

lemma *smult-div-poly: assumes* $\bigwedge c. c \in \text{set } (\text{coeffs } p) \implies a \text{ dvd } c$
shows *smult a (div-poly a p) = p*
⟨proof⟩

lemma *coeff-div-poly: coeff (div-poly a f) n = coeff f n div a*
⟨proof⟩

locale *map-poly-inj-idom-divide-hom = base: inj-idom-divide-hom*
begin

sublocale *map-poly-idom-hom* ⟨proof⟩

sublocale *map-poly-inj-zero-hom* ⟨proof⟩

sublocale *inj-idom-hom map-poly hom* ⟨proof⟩

lemma *divide-poly-main-hom: defines* $hh \equiv \text{map-poly hom}$

shows *hh (divide-poly-main lc f g h i j) = divide-poly-main (hom lc) (hh f) (hh g) (hh h) i j*
⟨proof⟩

sublocale *inj-idom-divide-hom map-poly hom*
⟨proof⟩

lemma *order-hom: order (hom x) (map-poly hom f) = order x f*
⟨proof⟩

end

8.2 Example Interpretations

abbreviation *of-int-poly* \equiv *map-poly of-int*

interpretation *of-int-poly-hom*: *map-poly-comm-semiring-hom of-int* \langle proof \rangle

interpretation *of-int-poly-hom*: *map-poly-comm-ring-hom of-int* \langle proof \rangle

interpretation *of-int-poly-hom*: *map-poly-idom-hom of-int* \langle proof \rangle

interpretation *of-int-poly-hom*:

map-poly-inj-comm-ring-hom of-int :: *int* \Rightarrow 'a :: {*comm-ring-1*, *ring-char-0*}
 \langle proof \rangle

interpretation *of-int-poly-hom*:

map-poly-inj-idom-hom of-int :: *int* \Rightarrow 'a :: {*idom*, *ring-char-0*} \langle proof \rangle

The following operations are homomorphic w.r.t. only *monoid-add*.

interpretation *pCons-0-hom*: *injective pCons 0* \langle proof \rangle

interpretation *pCons-0-hom*: *zero-hom-0 pCons 0* \langle proof \rangle

interpretation *pCons-0-hom*: *inj-comm-monoid-add-hom pCons 0* \langle proof \rangle

interpretation *pCons-0-hom*: *inj-ab-group-add-hom pCons 0* \langle proof \rangle

interpretation *monom-hom*: *injective $\lambda x. monom x d$* \langle proof \rangle

interpretation *monom-hom*: *inj-monoid-add-hom $\lambda x. monom x d$* \langle proof \rangle

interpretation *monom-hom*: *inj-comm-monoid-add-hom $\lambda x. monom x d$* \langle proof \rangle

end

9 Newton Interpolation

We proved the soundness of the Newton interpolation, i.e., a method to interpolate a polynomial p from a list of points $(x_1, p(x_1)), (x_2, p(x_2)), \dots$. In experiments it performs much faster than the Lagrange interpolation.

theory *Newton-Interpolation*

imports

HOL-Library.Monad-Syntax

Ring-Hom-Poly

Divmod-Int

Is-Rat-To-Rat

begin

For the Newton interpolation, we start with an efficient implementation (which in prior examples we used as an uncertified oracle). Later on, a more abstract definition of the algorithm is described for which soundness is proven, and which is provably equivalent to the efficient implementation.

The implementation is based on divided differences and the Horner schema.

fun *horner-composition* :: 'a :: *comm-ring-1* list \Rightarrow 'a list \Rightarrow 'a *poly* **where**

horner-composition [cn] *xis* = [:cn:]

| *horner-composition* (ci # cs) (xi # xis) = *horner-composition* cs *xis* * [:- xi, 1:]
+ [:ci:]

| *horner-composition* - - = 0

lemma (in *map-poly-comm-ring-hom*) *horner-composition-hom*:

horner-composition (map hom cs) (map hom xs) = map-poly hom (horner-composition cs xs)
<proof>

lemma *horner-coeffs-ints*: **assumes** len: length cs ≤ Suc (length ys)

shows (set (coeffs (horner-composition cs (map rat-of-int ys))) ⊆ ℤ) = (set cs ⊆ ℤ)
<proof>

context

fixes

ty :: 'a :: field itself

and *xs* :: 'a list

and *fs* :: 'a list

begin

fun *divided-differences-impl* :: 'a list ⇒ 'a ⇒ 'a ⇒ 'a list ⇒ 'a list **where**

divided-differences-impl (xi-j1 # x-j1s) fj xj (xi # xis) = (let

x-js = *divided-differences-impl* x-j1s fj xj xis;

new = (hd *x-js* - xi-j1) / (xj - xi)

in *new* # *x-js*)

| *divided-differences-impl* [] fj xj xis = [fj]

fun *newton-coefficients-main* :: 'a list ⇒ 'a list ⇒ 'a list list **where**

newton-coefficients-main [fj] xjs = [[fj]]

| *newton-coefficients-main* (fj # fjs) (xj # xjs) = (

let *rec* = *newton-coefficients-main* fjs xjs; *row* = hd *rec*;

new-row = *divided-differences-impl* *row* fj xj *xs*

in *new-row* # *rec*)

| *newton-coefficients-main* - - = []

definition *newton-coefficients* :: 'a list **where**

newton-coefficients = map hd (*newton-coefficients-main* (rev fs) (rev xs))

definition *newton-poly-impl* :: 'a poly **where**

newton-poly-impl = *horner-composition* (rev *newton-coefficients*) *xs*

qualified definition *x i* = *xs* ! *i*

qualified definition *f i* = *fs* ! *i*

private definition *xd i j* = *x i* - *x j*

lemma [*simp*]: *xd i i* = 0 *xd i j* + *xd j k* = *xd i k* *xd i j* + *xd k i* = *xd k j*

<proof> **function** *xij-f* :: nat ⇒ nat ⇒ 'a **where**

xij-f i j = (if *i* < *j* then (*xij-f* (*i* + 1) *j* - *xij-f i* (*j* - 1)) / *xd j i* else *f i*)

$\langle \text{proof} \rangle$

termination $\langle \text{proof} \rangle$ **definition** $c :: \text{nat} \Rightarrow 'a$ **where**
 $c \ i = \text{xij-f } 0 \ i$

private definition $X \ j = [:- \ x \ j, 1:]$

private function $b :: \text{nat} \Rightarrow \text{nat} \Rightarrow 'a$ **poly where**
 $b \ i \ n = (\text{if } i \geq n \text{ then } [:c \ n:] \text{ else } b \ (\text{Suc } i) \ n * X \ i + [:c \ i:])$
 $\langle \text{proof} \rangle$

termination $\langle \text{proof} \rangle$

declare $b.\text{simps}[\text{simp del}]$

definition $\text{newton-poly} :: \text{nat} \Rightarrow 'a$ **poly where**
 $\text{newton-poly } n = b \ 0 \ n$

private definition $Xij \ i \ j = \text{prod-list } (\text{map } X \ [i \ ..< \ j])$

private definition $N \ i = Xij \ 0 \ i$

lemma $Xii-1[\text{simp}]$: $Xij \ i \ i = 1$ $\langle \text{proof} \rangle$

lemma $\text{smult-1}[\text{simp}]$: $\text{smult } d \ 1 = [:d:]$
 $\langle \text{proof} \rangle$ **lemma** newton-poly-sum :
 $\text{newton-poly } n = \text{sum-list } (\text{map } (\lambda \ i. \ \text{smult } (c \ i) \ (N \ i)) \ [0 \ ..< \ \text{Suc } n])$
 $\langle \text{proof} \rangle$ **lemma** poly-newton-poly : $\text{poly } (\text{newton-poly } n) \ y = \text{sum-list } (\text{map } (\lambda \ i. \ c \ i * \ \text{poly } (N \ i) \ y) \ [0 \ ..< \ \text{Suc } n])$
 $\langle \text{proof} \rangle$ **definition** $\text{pprod } k \ i \ j = (\prod l \leftarrow [i..<j]. \ \text{xd } k \ l)$

private lemma poly-N-xi : $\text{poly } (N \ i) \ (x \ j) = \text{pprod } j \ 0 \ i$
 $\langle \text{proof} \rangle$ **lemma** poly-N-xi-cond : $\text{poly } (N \ i) \ (x \ j) = (\text{if } j < i \text{ then } 0 \text{ else } \text{pprod } j \ 0 \ i)$
 $\langle \text{proof} \rangle$ **lemma** $\text{poly-newton-poly-xj}$: **assumes** $j \leq n$
shows $\text{poly } (\text{newton-poly } n) \ (x \ j) = \text{sum-list } (\text{map } (\lambda \ i. \ c \ i * \ \text{poly } (N \ i) \ (x \ j)) \ [0 \ ..< \ \text{Suc } j])$
 $\langle \text{proof} \rangle$

declare $\text{xij-f}.\text{simps}[\text{simp del}]$

context
fixes n
assumes dist : $\bigwedge \ i \ j. \ i < j \implies j \leq n \implies x \ i \neq x \ j$
begin

private lemma xd-diff : $i < j \implies j \leq n \implies \text{xd } i \ j \neq 0$
 $i < j \implies j \leq n \implies \text{xd } j \ i \neq 0$ $\langle \text{proof} \rangle$

This is the key technical lemma for soundness of Newton interpolation.

private lemma $\text{divided-differences-main}$: **assumes** $k \leq n \ i < k$
shows $\text{sum-list } (\text{map } (\lambda \ j. \ \text{xij-f } i \ (i + j) * \ \text{pprod } k \ i \ (i + j)) \ [0..<\text{Suc } k - i]) =$

$sum\text{-}list\ (map\ (\lambda\ j.\ xij\text{-}f\ (Suc\ i)\ (Suc\ i + j))\ * \ pprod\ k\ (Suc\ i)\ (Suc\ i + j))$
 $[0..<Suc\ k - Suc\ i]$
 <proof> **lemma** *divided-differences*: **assumes** $kn: k \leq n$ **and** $ik: i \leq k$
shows $sum\text{-}list\ (map\ (\lambda\ j.\ xij\text{-}f\ i\ (i + j))\ * \ pprod\ k\ i\ (i + j))\ [0..<Suc\ k - i] =$
 $f\ k$
 <proof>

lemma *newton-poly-sound*: **assumes** $k \leq n$
shows $poly\ (newton\text{-}poly\ n)\ (x\ k) = f\ k$
 <proof>
end

lemma *newton-poly-degree*: $degree\ (newton\text{-}poly\ n) \leq n$
 <proof>

context

fixes n
assumes $xs: length\ xs = n$
and $fs: length\ fs = n$

begin

lemma *newton-coefficients-main*:

$k < n \implies newton\text{-}coefficients\text{-}main\ (rev\ (map\ f\ [0..<Suc\ k]))\ (rev\ (map\ x$
 $[0..<Suc\ k]))$
 $= rev\ (map\ (\lambda\ i.\ map\ (\lambda\ j.\ xij\text{-}f\ j\ i)\ [0..<Suc\ i])\ [0..<Suc\ k])$
 <proof>

lemma *newton-coefficients*: $newton\text{-}coefficients = rev\ (map\ c\ [0 ..< n])$
 <proof>

lemma *newton-poly-impl*: **assumes** $n = Suc\ nn$

shows $newton\text{-}poly\text{-}impl = newton\text{-}poly\ nn$
 <proof>
end
end

context

fixes $xs\ fs :: int\ list$

begin

fun *divided-differences-impl-int* :: $int\ list \Rightarrow int \Rightarrow int \Rightarrow int\ list \Rightarrow int\ list\ option$

where

$divided\text{-}differences\text{-}impl\text{-}int\ (xi\text{-}j1\ \#\ x\text{-}j1s)\ fj\ xj\ (xi\ \#\ xis) = ($
 $case\ divided\text{-}differences\text{-}impl\text{-}int\ x\text{-}j1s\ fj\ xj\ xis\ of\ None \Rightarrow None$
 $| Some\ x\text{-}js \Rightarrow let\ (new,m) = divmod\text{-}int\ (hd\ x\text{-}js - xi\text{-}j1)\ (xj - xi)$
 $in\ if\ m = 0\ then\ Some\ (new\ \#\ x\text{-}js)\ else\ None)$
 $| divided\text{-}differences\text{-}impl\text{-}int\ []\ fj\ xj\ xis = Some\ [fj]$

fun *newton-coefficients-main-int* :: $int\ list \Rightarrow int\ list \Rightarrow int\ list\ list\ option$ **where**
 $newton\text{-}coefficients\text{-}main\text{-}int\ [fj]\ xjs = Some\ [[fj]]$

| $\text{newton-coefficients-main-int } (fj \# fjs) (xj \# xjs) = (\text{do } \{$
 $\text{rec} \leftarrow \text{newton-coefficients-main-int } fjs \ xjs;$
 $\text{let row} = \text{hd rec};$
 $\text{new-row} \leftarrow \text{divided-differences-impl-int row } fj \ xj \ xjs;$
 $\text{Some } (\text{new-row} \# \text{rec})\}$)
| $\text{newton-coefficients-main-int } - - = \text{Some } []$

definition $\text{newton-coefficients-int} :: \text{int list option where}$

$\text{newton-coefficients-int} = \text{map-option } (\text{map hd}) (\text{newton-coefficients-main-int } (\text{rev fs}) (\text{rev xs}))$

lemma $\text{divided-differences-impl-int-Some:}$

$\text{length gs} \leq \text{length ys}$
 $\implies \text{divided-differences-impl-int } gs \ g \ x \ ys = \text{Some res}$
 $\implies \text{divided-differences-impl } (\text{map rat-of-int } gs) (\text{rat-of-int } g) (\text{rat-of-int } x) (\text{map rat-of-int } ys) = \text{map rat-of-int res}$
 $\wedge \text{length res} = \text{Suc } (\text{length gs})$
 $\langle \text{proof} \rangle$

lemma $\text{div-Ints-mod-0: assumes rat-of-int } a / \text{rat-of-int } b \in \mathbb{Z} \ b \neq 0$

shows $a \bmod b = 0$

$\langle \text{proof} \rangle$

lemma $\text{divided-differences-impl-int-None:}$

$\text{length gs} \leq \text{length ys}$
 $\implies \text{divided-differences-impl-int } gs \ g \ x \ ys = \text{None}$
 $\implies x \notin \text{set } (\text{take } (\text{length gs}) \ ys)$
 $\implies \text{hd } (\text{divided-differences-impl } (\text{map rat-of-int } gs) (\text{rat-of-int } g) (\text{rat-of-int } x) (\text{map rat-of-int } ys)) \notin \mathbb{Z}$
 $\langle \text{proof} \rangle$

lemma $\text{newton-coefficients-main-int-Some:}$

$\text{length gs} = \text{length ys} \implies \text{length ys} \leq \text{length xs}$
 $\implies \text{newton-coefficients-main-int } gs \ ys = \text{Some res}$
 $\implies \text{newton-coefficients-main } (\text{map rat-of-int } xs) (\text{map rat-of-int } gs) (\text{map rat-of-int } ys) = \text{map } (\text{map rat-of-int}) \text{ res}$
 $\wedge (\forall x \in \text{set res. } x \neq [] \wedge \text{length } x \leq \text{length } ys) \wedge \text{length res} = \text{length gs}$
 $\langle \text{proof} \rangle$

lemma $\text{newton-coefficients-main-int-None: assumes dist: distinct xs}$

shows $\text{length gs} = \text{length ys} \implies \text{length ys} \leq \text{length xs}$
 $\implies \text{newton-coefficients-main-int } gs \ ys = \text{None}$
 $\implies ys = \text{drop } (\text{length xs} - \text{length ys}) (\text{rev xs})$
 $\implies \exists \text{row} \in \text{set } (\text{newton-coefficients-main } (\text{map rat-of-int } xs) (\text{map rat-of-int } gs) (\text{map rat-of-int } ys)). \text{hd row} \notin \mathbb{Z}$
 $\langle \text{proof} \rangle$

lemma $\text{newton-coefficients-int: assumes dist: distinct xs}$

and *len*: $\text{length } xs = \text{length } fs$
shows $\text{newton-coefficients-int} = (\text{let } cs = \text{newton-coefficients } (\text{map } \text{rat-of-int } xs)$
 $(\text{map } \text{of-int } fs)$
in if set $cs \subseteq \mathbb{Z}$ *then* $\text{Some } (\text{map } \text{int-of-rat } cs)$ *else* None)
 $\langle \text{proof} \rangle$

definition $\text{newton-poly-impl-int} :: \text{int poly option where}$
 $\text{newton-poly-impl-int} \equiv \text{case } \text{newton-coefficients-int} \text{ of } \text{None} \Rightarrow \text{None}$
 $| \text{Some } nc \Rightarrow \text{Some } (\text{horner-composition } (\text{rev } nc) \text{ } xs)$

lemma $\text{newton-poly-impl-int}$: **assumes** *len*: $\text{length } xs = \text{length } fs$
and *dist*: $\text{distinct } xs$
shows $\text{newton-poly-impl-int} = (\text{let } p = \text{newton-poly-impl } (\text{map } \text{rat-of-int } xs) (\text{map}$
 $\text{of-int } fs)$
in if set $(\text{coeffs } p) \subseteq \mathbb{Z}$ *then* $\text{Some } (\text{map-poly } \text{int-of-rat } p)$ *else* None)
 $\langle \text{proof} \rangle$
end

definition $\text{newton-interpolation-poly} :: ('a :: \text{field} \times 'a)\text{list} \Rightarrow 'a \text{ poly where}$
 $\text{newton-interpolation-poly } x\text{-fs} = (\text{let}$
 $xs = \text{map } \text{fst } x\text{-fs}; fs = \text{map } \text{snd } x\text{-fs}$ *in*
 $\text{newton-poly-impl } xs \text{ } fs)$

definition $\text{newton-interpolation-poly-int} :: (\text{int} \times \text{int})\text{list} \Rightarrow \text{int poly option where}$
 $\text{newton-interpolation-poly-int } x\text{-fs} = (\text{let}$
 $xs = \text{map } \text{fst } x\text{-fs}; fs = \text{map } \text{snd } x\text{-fs}$ *in*
 $\text{newton-poly-impl-int } xs \text{ } fs)$

lemma $\text{newton-interpolation-poly}$: **assumes** *dist*: $\text{distinct } (\text{map } \text{fst } xs\text{-ys})$
and *p*: $p = \text{newton-interpolation-poly } xs\text{-ys}$
and *xy*: $(x,y) \in \text{set } xs\text{-ys}$
shows $\text{poly } p \text{ } x = y$
 $\langle \text{proof} \rangle$

lemma $\text{degree-newton-interpolation-poly}$:
shows $\text{degree } (\text{newton-interpolation-poly } xs\text{-ys}) \leq \text{length } xs\text{-ys} - 1$
 $\langle \text{proof} \rangle$

For $\text{newton-interpolation-poly-int}$ at this point we just prove that it is equivalent to perform an interpolation on the rational numbers, and then check whether all resulting coefficients are integers. That this corresponds to a sound and complete interpolation algorithm on the integers is proven in the theory Polynomial-Interpolation, cf. lemmas $\text{newton-interpolation-poly-int-Some/None}$.

lemma $\text{newton-interpolation-poly-int}$: **assumes** *dist*: $\text{distinct } (\text{map } \text{fst } xs\text{-ys})$
shows $\text{newton-interpolation-poly-int } xs\text{-ys} = (\text{let}$
 $rxs\text{-ys} = \text{map } (\lambda (x,y). (\text{rat-of-int } x, \text{rat-of-int } y)) \text{ } xs\text{-ys};$
 $rp = \text{newton-interpolation-poly } rxs\text{-ys}$
in if $(\forall x \in \text{set } (\text{coeffs } rp). \text{is-int-rat } x)$ *then*

Some (map-poly int-of-rat rp) else None)
 ⟨proof⟩

hide-const
 Newton-Interpolation.x
 Newton-Interpolation.f
end

10 Lagrange Interpolation

We formalized the Lagrange interpolation, i.e., a method to interpolate a polynomial p from a list of points $(x_1, p(x_1)), (x_2, p(x_2)), \dots$. The interpolation algorithm is proven to be sound and complete.

theory Lagrange-Interpolation
imports
 Missing-Polynomial
begin

definition lagrange-basis-poly :: 'a :: field list \Rightarrow 'a \Rightarrow 'a poly **where**
 lagrange-basis-poly xs xj \equiv let ys = filter ($\lambda x. x \neq xj$) xs
 in prod-list (map ($\lambda xi. smult (inverse (xj - xi)) [: - xi, 1 :]$) ys)

definition lagrange-interpolation-poly :: ('a :: field \times 'a)list \Rightarrow 'a poly **where**
 lagrange-interpolation-poly xs-ys \equiv let
 xs = map fst xs-ys
 in sum-list (map ($\lambda (xj, yj). smult yj (lagrange-basis-poly xs xj)$) xs-ys)

lemma [code]:
 lagrange-basis-poly xs xj = (let ys = filter ($\lambda x. x \neq xj$) xs
 in prod-list (map ($\lambda xi. let ii = inverse (xj - xi)$ in $[- ii * xi, ii :]$) ys))
 ⟨proof⟩

lemma degree-lagrange-basis-poly: degree (lagrange-basis-poly xs xj) \leq length (filter ($\lambda x. x \neq xj$) xs)
 ⟨proof⟩

lemma degree-lagrange-interpolation-poly:
shows degree (lagrange-interpolation-poly xs-ys) \leq length xs-ys - 1
 ⟨proof⟩

lemma lagrange-basis-poly-1:
 poly (lagrange-basis-poly (map fst xs-ys) x) x = 1
 ⟨proof⟩

lemma lagrange-basis-poly-0: **assumes** $x' \in \text{set (map fst xs-ys)}$ **and** $x' \neq x$
shows poly (lagrange-basis-poly (map fst xs-ys) x) $x' = 0$
 ⟨proof⟩

```

lemma lagrange-interpolation-poly: assumes dist: distinct (map fst xs-ys)
  and p: p = lagrange-interpolation-poly xs-ys
  shows  $\bigwedge x y. (x,y) \in \text{set } xs-ys \implies \text{poly } p x = y$ 
  <proof>

end

```

11 Neville Aitken Interpolation

We prove soundness of Neville-Aitken's polynomial interpolation algorithm using the recursive formula directly. We further provide an implementation which avoids the exponential branching in the recursion.

```

theory Neville-Aitken-Interpolation
imports
  HOL-Computational-Algebra.Polynomial
begin

context
  fixes x :: nat  $\Rightarrow$  'a :: field
  and f :: nat  $\Rightarrow$  'a
begin

private definition X :: nat  $\Rightarrow$  'a poly where [code-unfold]: X i = [:-x i, 1:]

function neville-aitken-main :: nat  $\Rightarrow$  nat  $\Rightarrow$  'a poly where
  neville-aitken-main i j = (if i < j then
    (smult (inverse (x j - x i)) (X i * neville-aitken-main (i + 1) j -
      X j * neville-aitken-main i (j - 1)))
    else [:f i:])
  <proof>

termination <proof>

definition neville-aitken :: nat  $\Rightarrow$  'a poly where
  neville-aitken = neville-aitken-main 0

declare neville-aitken-main.simps[simp del]

lemma neville-aitken-main: assumes dist:  $\bigwedge i j. i < j \implies j \leq n \implies x\ i \neq x\ j$ 
  shows  $i \leq k \implies k \leq j \implies j \leq n \implies \text{poly } (\text{neville-aitken-main } i\ j) (x\ k) = (f\ k)$ 
  <proof>

lemma degree-neville-aitken-main: degree (neville-aitken-main i j)  $\leq j - i$ 
  <proof>

lemma degree-neville-aitken: degree (neville-aitken n)  $\leq n$ 

```

<proof>

fun *neville-aitken-merge* :: ('a × 'a × 'a poly) list ⇒ ('a × 'a × 'a poly) list **where**
 neville-aitken-merge ((*xi,xj,p-ij*) # (*ksi,xsj,p-sisj*) # *rest*) =
 (*xi,xsj*, *smult* (*inverse* (*xsj - xi*)) ([:-*xi*,1:] * *p-sisj*
 + [:-*xsj*,-1:] * *p-ij*)) # *neville-aitken-merge* ((*ksi,xsj,p-sisj*) # *rest*)
| *neville-aitken-merge* [-] = []
| *neville-aitken-merge* [] = []

lemma *length-neville-aitken-merge*[*termination-simp*]: *length* (*neville-aitken-merge* *xs*) = *length* *xs* - 1
<proof>

fun *neville-aitken-impl-main* :: ('a × 'a × 'a poly) list ⇒ 'a poly **where**
 neville-aitken-impl-main (*e1* # *e2* # *es*) =
 neville-aitken-impl-main (*neville-aitken-merge* (*e1* # *e2* # *es*))
| *neville-aitken-impl-main* [(-,-,*p*)] = *p*
| *neville-aitken-impl-main* [] = 0

lemma *neville-aitken-merge*:
 xs = *map* (λ *i*. (*x i*, *x (i + j)*, *neville-aitken-main i (i + j)*)) [*l* ..< *Suc (l + k)*]

 ⇒ *neville-aitken-merge* *xs*
 = (*map* (λ *i*. (*x i*, *x (i + Suc j)*, *neville-aitken-main i (i + Suc j)*)) [*l* ..< *l*
+ *k*])
<proof>

lemma *neville-aitken-impl-main*:
 xs = *map* (λ *i*. (*x i*, *x (i + j)*, *neville-aitken-main i (i + j)*)) [*l* ..< *Suc (l + k)*]

 ⇒ *neville-aitken-impl-main* *xs* = *neville-aitken-main l (l + j + k)*
<proof>

lemma *neville-aitken-impl*:
 xs = *map* (λ *i*. (*x i*, *x i*, [:-*f i*:])) [*0* ..< *Suc k*]
 ⇒ *neville-aitken-impl-main* *xs* = *neville-aitken k*
<proof>
end

lemma *neville-aitken*: **assumes** $\bigwedge i j. i < j \implies j \leq n \implies x i \neq x j$
shows $j \leq n \implies \text{poly} (\text{neville-aitken } x f n) (x j) = (f j)$
<proof>

definition *neville-aitken-interpolation-poly* :: ('a :: field × 'a)list ⇒ 'a poly **where**
 neville-aitken-interpolation-poly *x-fs* = (*let*
 start = *map* (λ (*xi*,*fi*). (*xi*,*xi*,[:-*fi*:])) *x-fs* *in*
 neville-aitken-impl-main *start*)

lemma *neville-aitken-interpolation-impl*: **assumes** *x-fs* ≠ []

```

shows neville-aitken-interpolation-poly x-fs =
  neville-aitken ( $\lambda$  i. fst (x-fs ! i)) ( $\lambda$  i. snd (x-fs ! i)) (length x-fs - 1)
<proof>

```

```

lemma neville-aitken-interpolation-poly: assumes dist: distinct (map fst xs-ys)
and p: p = neville-aitken-interpolation-poly xs-ys
and xy: (x,y)  $\in$  set xs-ys
shows poly p x = y
<proof>

```

```

lemma degree-neville-aitken-interpolation-poly:
shows degree (neville-aitken-interpolation-poly xs-ys)  $\leq$  length xs-ys - 1
<proof>

```

```

end

```

12 Polynomial Interpolation

We combine Newton's, Lagrange's, and Neville-Aitken's interpolation algorithms to a combined interpolation algorithm which is parametric. This parametric algorithm is then further extend from fields to also perform interpolation of integer polynomials.

In experiments it is revealed that Newton's algorithm performs better than the one of Lagrange. Moreover, on the integer numbers, only Newton's algorithm has been optimized with fast failure capabilities.

```

theory Polynomial-Interpolation

```

```

imports

```

```

  Improved-Code-Equations
  Newton-Interpolation
  Lagrange-Interpolation
  Neville-Aitken-Interpolation

```

```

begin

```

```

datatype interpolation-algorithm = Newton | Lagrange | Neville-Aitken

```

```

fun interpolation-poly :: interpolation-algorithm  $\Rightarrow$  ('a :: field  $\times$  'a)list  $\Rightarrow$  'a poly

```

```

where

```

```

  interpolation-poly Newton = newton-interpolation-poly
| interpolation-poly Lagrange = lagrange-interpolation-poly
| interpolation-poly Neville-Aitken = neville-aitken-interpolation-poly

```

```

fun interpolation-poly-int :: interpolation-algorithm  $\Rightarrow$  (int  $\times$  int)list  $\Rightarrow$  int poly

```

```

option where

```

```

  interpolation-poly-int Newton xs-ys = newton-interpolation-poly-int xs-ys
| interpolation-poly-int alg xs-ys = (let
  rxs-ys = map ( $\lambda$  (x,y). (of-int x, of-int y)) xs-ys;
  rp = interpolation-poly alg rxs-ys

```

in if $(\forall x \in \text{set } (\text{coeffs } rp). \text{is-int-rat } x)$ then
 Some (map-poly int-of-rat rp) else None)

lemma interpolation-poly-int-def: *distinct (map fst xs-ys) \implies*
interpolation-poly-int alg xs-ys = (let
rxs-ys = map $(\lambda (x,y). (\text{of-int } x, \text{of-int } y))$ xs-ys;
rp = interpolation-poly alg rxs-ys
in if $(\forall x \in \text{set } (\text{coeffs } rp). \text{is-int-rat } x)$ then
Some (map-poly int-of-rat rp) else None)
 ⟨proof⟩

lemma interpolation-poly: assumes *dist: distinct (map fst xs-ys)*
and *p: p = interpolation-poly alg xs-ys*
and *xy: (x,y) \in set xs-ys*
shows *poly p x = y*
 ⟨proof⟩

lemma degree-interpolation-poly:
shows *degree (interpolation-poly alg xs-ys) \leq length xs-ys - 1*
 ⟨proof⟩

lemma uniqueness-of-interpolation: fixes *p :: 'a :: idom poly*
assumes *cS: card S = Suc n*
and *degree p \leq n and degree q \leq n and*
id: $\bigwedge x. x \in S \implies \text{poly } p \ x = \text{poly } q \ x$
shows *p = q*
 ⟨proof⟩

lemma uniqueness-of-interpolation-point-list: fixes *p :: 'a :: idom poly*
assumes *dist: distinct (map fst xs-ys)*
and *p: $\bigwedge x \ y. (x,y) \in \text{set } xs-ys \implies \text{poly } p \ x = y$ degree p < length xs-ys*
and *q: $\bigwedge x \ y. (x,y) \in \text{set } xs-ys \implies \text{poly } q \ x = y$ degree q < length xs-ys*
shows *p = q*
 ⟨proof⟩

lemma exactly-one-poly-interpolation: assumes *xs: xs-ys \neq [] and dist: distinct*
(map fst xs-ys)
shows *$\exists!$ p. degree p < length xs-ys \wedge $(\forall x \ y. (x,y) \in \text{set } xs-ys \longrightarrow \text{poly } p \ x =$*
(y :: 'a :: field))
 ⟨proof⟩

lemma interpolation-poly-int-Some: assumes *dist': distinct (map fst xs-ys)*
and *p: interpolation-poly-int alg xs-ys = Some p*
shows *$\bigwedge x \ y. (x,y) \in \text{set } xs-ys \implies \text{poly } p \ x = y$ degree p \leq length xs-ys - 1*
 ⟨proof⟩

lemma interpolation-poly-int-None: assumes *dist: distinct (map fst xs-ys)*

and p : *interpolation-poly-int alg xs-ys = None*
and q : $\bigwedge x y. (x,y) \in \text{set } xs-ys \implies \text{poly } q x = y$
and dq : *degree* $q < \text{length } xs-ys$
shows *False*
 <proof>

lemmas *newton-interpolation-poly-int-Some =*
interpolation-poly-int-Some[**where** $\text{alg} = \text{Newton}$, *unfolded interpolation-poly-int.simps*]

lemmas *newton-interpolation-poly-int-None =*
interpolation-poly-int-None[**where** $\text{alg} = \text{Newton}$, *unfolded interpolation-poly-int.simps*]

We can also use Newton's improved algorithm for integer polynomials to show that there is no polynomial p over the integers such that $p(0) = 0$ and $p(2) = 1$. The reason is that the intermediate result for computing the linear interpolant for these two point fails, and so adding further points (which corresponds to increasing the degree) will also fail. Of course, this can be generalized, showing that whenever you cannot interpolate a set of n points with an integer polynomial of degree $n - 1$, then you cannot interpolate this set of points with any integer polynomial. However, we did not formally prove this more general fact.

lemma *impossible-p-0-is-0-and-p-2-is-1*: $\neg (\exists p. \text{poly } p 0 = 0 \wedge \text{poly } p 2 = (1 :: \text{int}))$
 <proof>

end

References

- [1] G. M. Phillips. *Interpolation and Approximation by Polynomials*. Springer, 2003.