

p-adic Fields

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March 17, 2025

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Abstract

We formalize the fields \mathbb{Q}_p of p -adic numbers within the framework of the HOL-Algebra library. The p -adic field is defined simply as the fraction field of the ring of p -adic integers. The valuation, and basic topological properties of \mathbb{Q}_p are developed, including deducing Hensel's Lemma for \mathbb{Q}_p from the same theorem for \mathbb{Z}_p . The theory of semialgebraic subsets of \mathbb{Q}_p^n and semialgebraic functions is also developed, as outlined in [1]. In order to formulate these results, general theory about multivariable polynomial rings and cartesian powers of a ring must also be developed. This work is done with a view to formalizing the proof in [1] of Macintyre's quantifier elimination theorem for semialgebraic subsets of \mathbb{Q}_p^n .

```

theory Fraction-Field
imports HOL-Algebra.UnivPoly
  Localization-Ring.Localization
  HOL-Algebra.Subrings
  Padic-Ints.Supplementary-Ring-Facts
begin

```

1 The Field of Fractions of a Ring

This theory defines the fraction field of a domain and establishes its basic properties. The fraction field is defined in the standard way as the localization of a domain at its nonzero elements. This is done by importing the AFP session `Localization_Ring`. Choice functions for numerator and denominators of fractions are introduced, and the inclusion of a domain into its ring of fractions is defined.

1.1 The Monoid of Nonzero Elements in a Domain

locale *domain-frac* = *domain*

lemma *zero-not-in-nonzero*: $\mathbf{0}_R \notin \text{nonzero } R$
⟨*proof*⟩

lemma(in *domain*) *nonzero-is-submonoid*: *submonoid R (nonzero R)*
⟨*proof*⟩

lemma(in *domain*) *nonzero-closed*:
assumes *a* ∈ *nonzero R*
shows *a* ∈ *carrier R*
⟨*proof*⟩

lemma(in *domain*) *nonzero-mult-closed*:
assumes *a* ∈ *nonzero R*
assumes *b* ∈ *nonzero R*
shows *a* ⊗ *b* ∈ *carrier R*
⟨*proof*⟩

lemma(in *domain*) *nonzero-one-closed*:
 $\mathbf{1} \in \text{nonzero } R$
⟨*proof*⟩

lemma(in *domain*) *nonzero-memI*:
assumes *a* ∈ *carrier R*
assumes *a* ≠ $\mathbf{0}$
shows *a* ∈ *nonzero R*
⟨*proof*⟩

lemma(in *domain*) *nonzero-memE*:
assumes *a* ∈ *nonzero R*
shows *a* ∈ *carrier R* *a* ≠ $\mathbf{0}$
⟨*proof*⟩

lemma(in *domain*) *not-nonzero-memE*:
assumes *a* ∉ *nonzero R*
assumes *a* ∈ *carrier R*

```

shows  $a = \mathbf{0}$ 
⟨proof⟩

lemma(in domain) not-zero-memI:
assumes  $a = \mathbf{0}$ 
shows  $a \notin \text{nonzero } R$ 
⟨proof⟩

lemma(in domain) one-nonzero:
 $\mathbf{1} \in \text{nonzero } R$ 
⟨proof⟩

lemma(in domain-frac) eq-obj-rng-of-fractional-nonzero:
 $\text{eq-obj-rng-of-frac } R \ (\text{nonzero } R)$ 
⟨proof⟩

```

1.2 Numerator and Denominator Choice Functions

```

definition(in eq-obj-rng-of-frac) denom where
 $\text{denom } a = (\text{if } (a = \mathbf{0}_{\text{rec-rng-of-frac}}) \text{ then } \mathbf{1} \text{ else } (\text{snd } (\text{SOME } x. x \in a)))$ 

```

The choice function for numerators must be compatible with denom:

```

definition(in eq-obj-rng-of-frac) numer where
 $\text{numer } a = (\text{if } (a = \mathbf{0}_{\text{rec-rng-of-frac}}) \text{ then } \mathbf{0} \text{ else } (\text{fst } (\text{SOME } x. x \in a) \wedge (\text{snd } x = \text{denom } a)))$ 

```

Basic properties of numer and denom:

```

lemma(in eq-obj-rng-of-frac) numer-denom-facts0:
assumes  $\text{domain } R$ 
assumes  $\mathbf{0} \notin S$ 
assumes  $a \in \text{carrier rec-rng-of-frac}$ 
assumes  $a \neq \mathbf{0}_{\text{rec-rng-of-frac}}$ 
shows  $a = ((\text{numer } a) \mid_{\text{rel}} (\text{denom } a))$ 
 $(\text{numer } a) \in \text{carrier } R$ 
 $(\text{denom } a) \in S$ 
 $\text{numer } a = \mathbf{0} \implies a = \mathbf{0}_{\text{rec-rng-of-frac}}$ 
 $a \otimes_{\text{rec-rng-of-frac}} (\text{rng-to-rng-of-frac}(\text{denom } a)) = \text{rng-to-rng-of-frac} (\text{numer } a)$ 
 $(\text{rng-to-rng-of-frac}(\text{denom } a)) \otimes_{\text{rec-rng-of-frac}} a = \text{rng-to-rng-of-frac} (\text{numer } a)$ 
⟨proof⟩

```

```

lemma(in eq-obj-rng-of-frac) frac-zero:
assumes  $\text{domain } R$ 
assumes  $\mathbf{0} \notin S$ 
assumes  $a \in \text{carrier } R$ 
assumes  $b \in S$ 
assumes  $(a \mid_{\text{rel}} b) = \mathbf{0}_{\text{rec-rng-of-frac}}$ 
shows  $a = \mathbf{0}$ 

```

$\langle proof \rangle$

When S does not contain 0, and R is a domain, the localization is a domain.

```
lemma(in eq-obj-rng-of-frac) rec-rng-of-frac-is-domain:  
  assumes domain R  
  assumes 0notin S  
  shows domain rec-rng-of-frac  
 $\langle proof \rangle$   
  
lemma(in eq-obj-rng-of-frac) numer-denom-facts:  
  assumes domain R  
  assumes 0notin S  
  assumes a in carrier rec-rng-of-frac  
  shows a = (numer a |rel denom a)  
    (numer a) in carrier R  
    (denom a) in S  
    a neq 0rec-rng-of-frac => (numer a) neq 0  
    a  $\otimes_{rec-rng-of-frac}$  (rng-to-rng-of-frac(denom a)) = rng-to-rng-of-frac (numer a)  
    (rng-to-rng-of-frac(denom a))  $\otimes_{rec-rng-of-frac}$  a = rng-to-rng-of-frac (numer a)  
 $\langle proof \rangle$   
  
lemma(in eq-obj-rng-of-frac) numer-denom-closed:  
  assumes domain R  
  assumes 0notin S  
  assumes a in carrier rec-rng-of-frac  
  shows (numer a, denom a) in carrier rel  
 $\langle proof \rangle$ 
```

Fraction function which suppresses the "rel" argument.

```
definition(in eq-obj-rng-of-frac) fraction where  
  fraction x y = (x |rel y)  
  
lemma(in eq-obj-rng-of-frac) a-is-fraction:  
  assumes domain R  
  assumes 0notin S  
  assumes a in carrier rec-rng-of-frac  
  shows a = fraction (numer a) (denom a)  
 $\langle proof \rangle$   
  
lemma(in eq-obj-rng-of-frac) add-fraction:  
  assumes domain R  
  assumes 0notin S  
  assumes a in carrier R  
  assumes b in S  
  assumes c in carrier R  
  assumes d in S
```

```

shows (fraction a b)  $\oplus_{rec\text{-}rng\text{-}of\text{-}frac}$  (fraction c d) = (fraction ((a  $\otimes$  d)  $\oplus$  (b  $\otimes$  c)) (b  $\otimes$  d))
⟨proof⟩

lemma(in eq-obj-rng-of-fraction) mult-fraction:
assumes domain R
assumes 0  $\notin$  S
assumes a  $\in$  carrier R
assumes b  $\in$  S
assumes c  $\in$  carrier R
assumes d  $\in$  S
shows (fraction a b)  $\otimes_{rec\text{-}rng\text{-}of\text{-}frac}$  (fraction c d) = (fraction (a  $\otimes$  c) (b  $\otimes$  d))
⟨proof⟩

lemma(in eq-obj-rng-of-fraction) fraction-zero:
0rec-rng-of-fraction = fraction 0 1
⟨proof⟩

lemma(in eq-obj-rng-of-fraction) fraction-zero':
assumes a  $\in$  S
assumes 0  $\notin$  S
shows 0rec-rng-of-fraction = fraction 0 a
⟨proof⟩

lemma(in eq-obj-rng-of-fraction) fraction-one:
1rec-rng-of-fraction = fraction 1 1
⟨proof⟩

lemma(in eq-obj-rng-of-fraction) fraction-one':
assumes domain R
assumes 0  $\notin$  S
assumes a  $\in$  S
shows fraction a a = 1rec-rng-of-fraction
⟨proof⟩

lemma(in eq-obj-rng-of-fraction) fraction-closed:
assumes domain R
assumes 0  $\notin$  S
assumes a  $\in$  carrier R
assumes b  $\in$  S
shows fraction a b  $\in$  carrier rec-rng-of-fraction
⟨proof⟩

```

1.3 Defining the Field of Fractions

definition Frac **where**
 $Frac\ R\ =\ eq\text{-}obj\text{-}rng\text{-}of\text{-}frac.rec\text{-}rng\text{-}of\text{-}frac\ R\ (nonzero\ R)$

lemma(in domain-fraction) fraction-field-is-domain:

domain (*Frac R*)
⟨*proof*⟩

1.3.1 Numerator and Denominator Choice Functions for `domain_frac`

definition *numerator* **where**
numerator R = *eq-obj-rng-of-frc.numer R* (*nonzero R*)

abbreviation(in *domain-frc*)(*input*) *numer* **where**
numer ≡ *numerator R*

definition *denominator* **where**
denominator R = *eq-obj-rng-of-frc.denom R* (*nonzero R*)

abbreviation(in *domain-frc*)(*input*) *denom* **where**
denom ≡ *denominator R*

definition *fraction* **where**
fraction R = *eq-obj-rng-of-frc.fraction R* (*nonzero R*)

abbreviation(in *domain-frc*)(*input*) *frac* **where**
frac ≡ *fraction R*

1.3.2 The inclusion of *R* into its fraction field

definition *Frac-inc* **where**
Frac-inc R = *eq-obj-rng-of-frc.rng-to-rng-of-frc R* (*nonzero R*)

abbreviation(in *domain-frc*)(*input*) *inc* (⟨*ι*⟩) **where**
inc ≡ *Frac-inc R*

lemma(in *domain-frc*) *inc-equation*:
assumes *a* ∈ *carrier R*
shows *ι a* = *frac a* **1**
⟨*proof*⟩

lemma(in *domain-frc*) *inc-is-hom*:
inc ∈ *ring-hom R* (*Frac R*)
⟨*proof*⟩

lemma(in *domain-frc*) *inc-is-hom1*:
ring-hom-ring R (*Frac R*) *inc*
⟨*proof*⟩

Inclusion map is injective:

lemma(in *domain-frc*) *inc-inj0*:
a-kernel R (*Frac R*) *inc* = {0}
⟨*proof*⟩

lemma(in *domain-frc*) *inc-inj1*:

```

assumes  $a \in \text{carrier } R$ 
assumes  $\text{inc } a = \mathbf{0}_{\text{Frac } R}$ 
shows  $a = \mathbf{0}$ 
⟨proof⟩

lemma(in domain-frac) inc-inj2:
assumes  $a \in \text{carrier } R$ 
assumes  $b \in \text{carrier } R$ 
assumes  $\text{inc } a = \text{inc } b$ 
shows  $a = b$ 
⟨proof⟩

```

Image of inclusion map is a subring:

```

lemma(in domain-frac) inc-im-is-subring:
subring ( $\iota^{-1}(\text{carrier } R)$ ) ( $\text{Frac } R$ )
⟨proof⟩

```

1.3.3 Basic Properties of numer, denom, and frac

```

lemma(in domain-frac) numer-denom-facts:
assumes  $a \in \text{carrier } (\text{Frac } R)$ 
shows  $a = \text{frac}(\text{numer } a)(\text{denom } a)$ 
 $(\text{numer } a) \in \text{carrier } R$ 
 $(\text{denom } a) \in \text{nonzero } R$ 
 $a \neq \mathbf{0}_{\text{Frac } R} \implies \text{numer } a \neq \mathbf{0}$ 
 $a \otimes_{\text{Frac } R} (\text{inc } (\text{denom } a)) = \text{inc } (\text{numer } a)$ 
⟨proof⟩

```

```

lemma(in domain-frac) frac-add:
assumes  $a \in \text{carrier } R$ 
assumes  $b \in \text{nonzero } R$ 
assumes  $c \in \text{carrier } R$ 
assumes  $d \in \text{nonzero } R$ 
shows  $(\text{frac } a b) \oplus_{\text{Frac } R} (\text{frac } c d) = (\text{frac } ((a \otimes d) \oplus (b \otimes c)) (b \otimes d))$ 
⟨proof⟩

```

```

lemma(in domain-frac) frac-mult:
assumes  $a \in \text{carrier } R$ 
assumes  $b \in \text{nonzero } R$ 
assumes  $c \in \text{carrier } R$ 
assumes  $d \in \text{nonzero } R$ 
shows  $(\text{frac } a b) \otimes_{\text{Frac } R} (\text{frac } c d) = (\text{frac } (a \otimes c) (b \otimes d))$ 
⟨proof⟩

```

```

lemma(in domain-frac) frac-one:
assumes  $a \in \text{nonzero } R$ 
shows  $\text{frac } a a = \mathbf{1}_{\text{Frac } R}$ 
⟨proof⟩

```

```

lemma(in domain-frac) frac-closed:
  assumes  $a \in \text{carrier } R$ 
  assumes  $b \in \text{nonzero } R$ 
  shows  $\text{frac } a \ b \in \text{carrier } (\text{Frac } R)$ 
   $\langle \text{proof} \rangle$ 

lemma(in domain-frac) nonzero-fraction:
  assumes  $a \in \text{nonzero } R$ 
  assumes  $b \in \text{nonzero } R$ 
  shows  $\text{frac } a \ b \neq \mathbf{0}_{\text{Frac } R}$ 
   $\langle \text{proof} \rangle$ 

lemma(in comm-monoid) UnitsI:
  assumes  $a \in \text{carrier } G$ 
  assumes  $b \in \text{carrier } G$ 
  assumes  $a \otimes b = \mathbf{1}$ 
  shows  $a \in \text{Units } G \ b \in \text{Units } G$ 
   $\langle \text{proof} \rangle$ 

lemma(in comm-monoid) is-invI:
  assumes  $a \in \text{carrier } G$ 
  assumes  $b \in \text{carrier } G$ 
  assumes  $a \otimes b = \mathbf{1}$ 
  shows  $\text{inv}_G b = a \ \text{inv}_G a = b$ 
   $\langle \text{proof} \rangle$ 

lemma(in ring) ring-in-Units-imp-not-zero:
  assumes  $\mathbf{1} \neq \mathbf{0}$ 
  assumes  $a \in \text{Units } R$ 
  shows  $a \neq \mathbf{0}$ 
   $\langle \text{proof} \rangle$ 

lemma(in domain-frac) in-Units-imp-not-zero:
  assumes  $a \in \text{Units } R$ 
  shows  $a \neq \mathbf{0}$ 
   $\langle \text{proof} \rangle$ 

lemma(in domain-frac) units-of-fraction-field0:
  assumes  $a \in \text{carrier } (\text{Frac } R)$ 
  assumes  $a \neq \mathbf{0}_{\text{Frac } R}$ 
  shows  $\text{inv}_{\text{Frac } R} a = \text{frac } (\text{denom } a) (\text{numer } a)$ 
     $a \otimes_{\text{Frac } R} (\text{inv}_{\text{Frac } R} a) = \mathbf{1}_{\text{Frac } R}$ 
     $(\text{inv}_{\text{Frac } R} a) \otimes_{\text{Frac } R} a = \mathbf{1}_{\text{Frac } R}$ 
   $\langle \text{proof} \rangle$ 

lemma(in domain-frac) units-of-fraction-field:
   $\text{Units } (\text{Frac } R) = \text{carrier } (\text{Frac } R) - \{\mathbf{0}_{\text{Frac } R}\}$ 
   $\langle \text{proof} \rangle$ 

```

1.4 The Fraction Field as a Field

```

lemma(in domain-frac) fraction-field-is-field:
field (Frac R)
 $\langle proof \rangle$ 

lemma(in domain-frac) frac-inv:
assumes  $a \in \text{nonzero } R$ 
assumes  $b \in \text{nonzero } R$ 
shows  $\text{inv}_{\text{Frac } R} (\text{frac } a b) = (\text{frac } b a)$ 
 $\langle proof \rangle$ 

lemma(in domain-frac) frac-inv-id:
assumes  $a \in \text{nonzero } R$ 
assumes  $b \in \text{nonzero } R$ 
assumes  $c \in \text{nonzero } R$ 
assumes  $d \in \text{nonzero } R$ 
assumes  $\text{frac } a b = \text{frac } c d$ 
shows  $\text{frac } b a = \text{frac } d c$ 
 $\langle proof \rangle$ 

lemma(in domain-frac) frac-uminus:
assumes  $a \in \text{carrier } R$ 
assumes  $b \in \text{nonzero } R$ 
shows  $\ominus_{\text{Frac } R} (\text{frac } a b) = \text{frac } (\ominus a) b$ 
 $\langle proof \rangle$ 

lemma(in domain-frac) frac-eqI:
assumes  $a \in \text{carrier } R$ 
assumes  $b \in \text{nonzero } R$ 
assumes  $c \in \text{carrier } R$ 
assumes  $d \in \text{nonzero } R$ 
assumes  $a \otimes d \ominus b \otimes c = \mathbf{0}$ 
shows  $\text{frac } a b = \text{frac } c d$ 
 $\langle proof \rangle$ 

lemma(in domain-frac) frac-eqI':
assumes  $a \in \text{carrier } R$ 
assumes  $b \in \text{nonzero } R$ 
assumes  $c \in \text{carrier } R$ 
assumes  $d \in \text{nonzero } R$ 
assumes  $a \otimes d = b \otimes c$ 
shows  $\text{frac } a b = \text{frac } c d$ 
 $\langle proof \rangle$ 

lemma(in domain-frac) frac-cancel-l:
assumes  $a \in \text{nonzero } R$ 
assumes  $b \in \text{nonzero } R$ 
assumes  $c \in \text{carrier } R$ 
shows  $\text{frac } (a \otimes c) (a \otimes b) = \text{frac } c b$ 

```

$\langle proof \rangle$

```
lemma(in domain-frac) frac-cancel-r:  
assumes a ∈ nonzero R  
assumes b ∈ nonzero R  
assumes c ∈ carrier R  
shows frac (c ⊗ a) (b ⊗ a) = frac c b  
 $\langle proof \rangle$ 
```

```
lemma(in domain-frac) frac-cancel-lr:  
assumes a ∈ nonzero R  
assumes b ∈ nonzero R  
assumes c ∈ carrier R  
shows frac (a ⊗ c) (b ⊗ a) = frac c b  
 $\langle proof \rangle$ 
```

```
lemma(in domain-frac) frac-cancel-rl:  
assumes a ∈ nonzero R  
assumes b ∈ nonzero R  
assumes c ∈ carrier R  
shows frac (c ⊗ a) (a ⊗ b) = frac c b  
 $\langle proof \rangle$ 
```

```
lemma(in domain-frac) i-mult:  
assumes a ∈ carrier R  
assumes c ∈ carrier R  
assumes d ∈ nonzero R  
shows (ι a) ⊗Frac R (frac c d) = frac (a ⊗ c) d  
 $\langle proof \rangle$ 
```

```
lemma(in domain-frac) frac-eqE:  
assumes a ∈ carrier R  
assumes b ∈ nonzero R  
assumes c ∈ carrier R  
assumes d ∈ nonzero R  
assumes frac a b = frac c d  
shows a ⊗ d = b ⊗ c  
 $\langle proof \rangle$ 
```

```
lemma(in domain-frac) frac-add-common-denom:  
assumes a ∈ carrier R  
assumes b ∈ carrier R  
assumes c ∈ nonzero R  
shows (frac a c) ⊕Frac R (frac b c) = frac (a ⊕ b) c  
 $\langle proof \rangle$ 
```

```
lemma(in domain-frac) common-denominator:  
assumes x ∈ carrier (Frac R)  
assumes y ∈ carrier (Frac R)
```

```

obtains a b c where
  a ∈ carrier R
  b ∈ carrier R
  c ∈ nonzero R
  x = frac a c
  y = frac b c
  ⟨proof⟩

sublocale domain-frac < F: field Frac R
  ⟨proof⟩

Inclusions of natural numbers into (Frac R):

lemma(in domain-frac) nat-0:
  [(0::nat)]·1 = 0
  ⟨proof⟩

lemma(in domain-frac) nat-suc:
  [Suc n]·1 = 1 ⊕ [n]·1
  ⟨proof⟩

lemma(in domain-frac) nat-inc-rep:
  fixes n::nat
  shows [n]·Frac R 1_Frac R = frac ([n]·1) 1
  ⟨proof⟩

lemma(in domain-frac) pow-0:
  assumes a ∈ nonzero R
  shows a[⌜(0::nat) = 1
  ⟨proof⟩

lemma(in domain-frac) pow-suc:
  assumes a ∈ carrier R
  shows a[⌜(Suc n) = a ⊗(a[⌜n)
  ⟨proof⟩

lemma(in domain-frac) nat-inc-add:
  [((n::nat) + (m::nat))]·1 = [n]·1 ⊕ [m]·1
  ⟨proof⟩

lemma(in domain-frac) int-inc-add:
  [((n::int) + (m::int))]·1 = [n]·1 ⊕ [m]·1
  ⟨proof⟩

lemma(in domain-frac) nat-inc-mult:
  [((n::nat) * (m::nat))]·1 = [n]·1 ⊗ [m]·1
  ⟨proof⟩

lemma(in domain-frac) int-inc-mult:
  [((n::int) * (m::int))]·1 = [n]·1 ⊗ [m]·1
  ⟨proof⟩

```

$\langle proof \rangle$

1.5 Facts About Ring Units

lemma(in ring) Units-nonzero:

assumes $u \in \text{Units } R$

assumes $1_R \neq 0_R$

shows $u \in \text{nonzero } R$

$\langle proof \rangle$

lemma(in ring) Units-inverse:

assumes $u \in \text{Units } R$

shows $\text{inv } u \in \text{Units } R$

$\langle proof \rangle$

lemma(in cring) invI:

assumes $x \in \text{carrier } R$

assumes $y \in \text{carrier } R$

assumes $x \otimes_R y = 1_R$

shows $y = \text{inv}_R x$

$x = \text{inv}_R y$

$\langle proof \rangle$

lemma(in cring) inv-cancelR:

assumes $x \in \text{Units } R$

assumes $y \in \text{carrier } R$

assumes $z \in \text{carrier } R$

assumes $y = x \otimes_R z$

shows $\text{inv}_R x \otimes_R y = z$

$y \otimes_R (\text{inv}_R x) = z$

$\langle proof \rangle$

lemma(in cring) inv-cancelL:

assumes $x \in \text{Units } R$

assumes $y \in \text{carrier } R$

assumes $z \in \text{carrier } R$

assumes $y = z \otimes_R x$

shows $\text{inv}_R x \otimes_R y = z$

$y \otimes_R (\text{inv}_R x) = z$

$\langle proof \rangle$

lemma(in domain-frac) nat-pow-nonzero:

assumes $x \in \text{nonzero } R$

shows $x[\lceil](n::nat) \in \text{nonzero } R$

$\langle proof \rangle$

lemma(in monoid) Units-int-pow-closed:

assumes $x \in \text{Units } G$

shows $x[\lceil](n::int) \in \text{Units } G$

$\langle proof \rangle$

1.6 Facts About Fraction Field Units

lemma(in domain-frac) frac-nonzero-Units:
nonzero ($\text{Frac } R$) = Units ($\text{Frac } R$)
 $\langle proof \rangle$

lemma(in domain-frac) frac-nonzero-inv-Unit:
assumes $b \in \text{nonzero}(\text{Frac } R)$
shows $\text{inv}_{\text{Frac } R} b \in \text{Units}(\text{Frac } R)$
 $\langle proof \rangle$

lemma(in domain-frac) frac-nonzero-inv-closed:
assumes $b \in \text{nonzero}(\text{Frac } R)$
shows $\text{inv}_{\text{Frac } R} b \in \text{carrier}(\text{Frac } R)$
 $\langle proof \rangle$

lemma(in domain-frac) frac-nonzero-inv:
assumes $b \in \text{nonzero}(\text{Frac } R)$
shows $b \otimes_{\text{Frac } R} \text{inv}_{\text{Frac } R} b = \mathbf{1}_{\text{Frac } R}$
 $\text{inv}_{\text{Frac } R} b \otimes_{\text{Frac } R} b = \mathbf{1}_{\text{Frac } R}$
 $\langle proof \rangle$

lemma(in domain-frac) fract-cancel-right[simp]:
assumes $a \in \text{carrier}(\text{Frac } R)$
assumes $b \in \text{nonzero}(\text{Frac } R)$
shows $b \otimes_{\text{Frac } R} (a \otimes_{\text{Frac } R} \text{inv}_{\text{Frac } R} b) = a$
 $\langle proof \rangle$

lemma(in domain-frac) fract-cancel-left[simp]:
assumes $a \in \text{carrier}(\text{Frac } R)$
assumes $b \in \text{nonzero}(\text{Frac } R)$
shows $(a \otimes_{\text{Frac } R} \text{inv}_{\text{Frac } R} b) \otimes_{\text{Frac } R} b = a$
 $\langle proof \rangle$

lemma(in domain-frac) fract-mult-inv:
assumes $b \in \text{nonzero}(\text{Frac } R)$
assumes $d \in \text{nonzero}(\text{Frac } R)$
shows $(\text{inv}_{\text{Frac } R} b) \otimes_{\text{Frac } R} (\text{inv}_{\text{Frac } R} d) = (\text{inv}_{\text{Frac } R} (b \otimes_{\text{Frac } R} d))$
 $\langle proof \rangle$

lemma(in domain-frac) fract-mult:
assumes $a \in \text{carrier}(\text{Frac } R)$
assumes $b \in \text{nonzero}(\text{Frac } R)$
assumes $c \in \text{carrier}(\text{Frac } R)$
assumes $d \in \text{nonzero}(\text{Frac } R)$
shows $(a \otimes_{\text{Frac } R} \text{inv}_{\text{Frac } R} b) \otimes_{\text{Frac } R} (c \otimes_{\text{Frac } R} \text{inv}_{\text{Frac } R} d) = ((a \otimes_{\text{Frac } R} c) \otimes_{\text{Frac } R} \text{inv}_{\text{Frac } R} (b \otimes_{\text{Frac } R} d))$

```

⟨proof⟩

lemma(in domain-frac) Frac-nat-pow-nonzero:
  assumes  $x \in \text{nonzero}(\text{Frac } R)$ 
  shows  $x[\lceil]_{\text{Frac } R}(n:\text{nat}) \in \text{nonzero}(\text{Frac } R)$ 
  ⟨proof⟩

lemma(in domain-frac) Frac-nonzero-nat-pow:
  assumes  $x \in \text{carrier}(\text{Frac } R)$ 
  assumes  $n > 0$ 
  assumes  $x[\lceil]_{\text{Frac } R}(n:\text{nat}) \in \text{nonzero}(\text{Frac } R)$ 
  shows  $x \in \text{nonzero}(\text{Frac } R)$ 
  ⟨proof⟩

lemma(in domain-frac) Frac-int-pow-nonzero:
  assumes  $x \in \text{nonzero}(\text{Frac } R)$ 
  shows  $x[\lceil]_{\text{Frac } R}(n:\text{int}) \in \text{nonzero}(\text{Frac } R)$ 
  ⟨proof⟩

lemma(in domain-frac) Frac-nonzero-int-pow:
  assumes  $x \in \text{carrier}(\text{Frac } R)$ 
  assumes  $n > 0$ 
  assumes  $x[\lceil]_{\text{Frac } R}(n:\text{int}) \in \text{nonzero}(\text{Frac } R)$ 
  shows  $x \in \text{nonzero}(\text{Frac } R)$ 
  ⟨proof⟩

lemma(in domain-frac) numer-denom-frac[simp]:
  assumes  $a \in \text{nonzero } R$ 
  assumes  $b \in \text{nonzero } R$ 
  shows  $\text{frac}(\text{numer}(\text{frac } a \ b)) (\text{denom}(\text{frac } a \ b)) = \text{frac } a \ b$ 
  ⟨proof⟩

lemma(in domain-frac) numer-denom-swap:
  assumes  $a \in \text{nonzero } R$ 
  assumes  $b \in \text{nonzero } R$ 
  shows  $a \otimes (\text{denom}(\text{frac } a \ b)) = b \otimes (\text{numer}(\text{frac } a \ b))$ 
  ⟨proof⟩

lemma(in domain-frac) numer-nonzero:
  assumes  $a \in \text{nonzero}(\text{Frac } R)$ 
  shows  $\text{numer } a \in \text{nonzero } R$ 
  ⟨proof⟩

lemma(in domain-frac) fraction-zero[simp]:
  assumes  $b \in \text{nonzero } R$ 
  shows  $\text{frac } \mathbf{0} \ b = \mathbf{0}_{\text{Frac } R}$ 
  ⟨proof⟩

end

```

```

theory Cring-Multivariable-Poly
imports HOL-Algebra.Indexed-Polynomials Padic-Ints.Cring-Poly
begin

```

2 Multivariable Polynomials Over a Commutative Ring

This theory extends the content of `HOL-Algebra.Indexed_Polynomials`, mainly in the context of a commutative base ring. In particular, the ring of polynomials over an arbitrary variable set is explicitly witnessed as a ring itself, which is commutative if the base is commutative, and a domain if the base ring is a domain. A universal property for polynomial evaluation is proved, which allows us to embed polynomial rings in a ring of functions over the base ring, as well as construe multivariable polynomials as univariate polynomials over a small base polynomial ring.

```

type-synonym 'a monomial = 'a multiset
type-synonym ('b,'a) mvar-poly = 'a multiset ⇒ 'b
type-synonym ('a,'b) ring-hom = 'a ⇒ 'b
type-synonym 'a u-poly = nat ⇒ 'a

```

2.1 Lemmas about multisets

Since multisets function as monomials in this formalization, we'll need some simple lemmas about multisets in order to define degree functions and certain lemmas about factorizations. Those are provided in this section.

```

lemma count-size:
  assumes size m ≤ K
  shows count m i ≤ K
  ⟨proof⟩

```

The following defines the set of monomials with nonzero coefficients for a given polynomial:

```

definition monomials-of :: ('a,'c) ring-scheme ⇒ ('a, 'b) mvar-poly ⇒ ('b monomial) set where
  monomials-of R P = {m. P m ≠ 0_R}

```

```

context ring
begin

lemma monomials-of-index-free:
  assumes index-free P i
  assumes m ∈ monomials-of R P
  shows count m i = 0
  ⟨proof⟩

```

lemma *index-freeI*:
assumes $\bigwedge m. m \in \text{monomials-of } R P \implies \text{count } m i = 0$
shows *index-free P i*
{proof}

monomials_of R is subadditive

lemma *monomials-of-add*:
 $\text{monomials-of } R (P \oplus Q) \subseteq (\text{monomials-of } R P) \cup (\text{monomials-of } R Q)$
{proof}

lemma *monomials-of-add-finite*:
assumes finite (*monomials-of R P*)
assumes finite (*monomials-of R Q*)
shows finite (*monomials-of R (P \oplus Q)*)
{proof}

lemma *monomials-ofE*:
assumes $m \in \text{monomials-of } R p$
shows $p m \neq \mathbf{0}$
{proof}

lemma *complement-of-monomials-of*:
assumes $m \notin \text{monomials-of } R P$
shows $P m = \mathbf{0}$
{proof}

Multiplication by an indexed variable corresponds to adding that index to each monomial:

lemma *monomials-of-p-mult*:
 $\text{monomials-of } R (P \otimes i) = \{m. \exists n \in (\text{monomials-of } R P). m = \text{add-mset } i n\}$
{proof}

lemma *monomials-of-p-mult'*:
 $\text{monomials-of } R (p \otimes i) = \text{add-mset } i ` (\text{monomials-of } R p)$
{proof}

lemma *monomials-of-p-mult-finite*:
assumes finite (*monomials-of R P*)
shows finite (*monomials-of R (P \otimes i)*)
{proof}

Monomials of a constant either consist of the empty multiset, or nothing:

lemma *monomials-of-const*:
 $(\text{monomials-of } R (\text{indexed-const } k)) = (\text{if } (k = \mathbf{0}) \text{ then } \{\} \text{ else } \{\#\})$
{proof}

lemma *monomials-of-const-finite*:
finite (*monomials-of R (indexed-const k)*)
{proof}

A polynomial always has finitely many monomials:

```
lemma monomials-finite:
  assumes  $P \in \text{indexed-pset } K I$ 
  shows finite (monomials-of  $R P$ )
   $\langle\text{proof}\rangle$ 
end
```

2.2 Turning monomials into polynomials

Constructor for turning a monomial into a polynomial

```
definition mset-to-IP :: ('a, 'b) ring-scheme  $\Rightarrow$  'c monomial  $\Rightarrow$  ('a,'c) mvar-poly
where
mset-to-IP  $R m n =$  (if ( $n = m$ ) then  $\mathbf{1}_R$  else  $\mathbf{0}_R$ )
```

```
definition var-to-IP :: ('a, 'b) ring-scheme  $\Rightarrow$  'c  $\Rightarrow$  ('a,'c) mvar-poly ( $\langle pvar \rangle$ )
where
var-to-IP  $R i =$  mset-to-IP  $R \{\#i\}$ 
```

```
context ring
begin
```

```
lemma mset-to-IP-simp[simp]:
mset-to-IP  $R m m = \mathbf{1}$ 
 $\langle\text{proof}\rangle$ 
```

```
lemma mset-to-IP-simp'[simp]:
assumes  $n \neq m$ 
shows mset-to-IP  $R m n = \mathbf{0}$ 
 $\langle\text{proof}\rangle$ 
```

```
lemma(in cring) monomials-of-mset-to-IP:
assumes  $\mathbf{1} \neq \mathbf{0}$ 
shows monomials-of  $R$  (mset-to-IP  $R m$ ) =  $\{m\}$ 
 $\langle\text{proof}\rangle$ 
```

```
end
```

The set of monomials of a fixed P which include a given variable:

```
definition monomials-with :: ('a, 'b) ring-scheme  $\Rightarrow$  'c  $\Rightarrow$  ('a,'c) mvar-poly  $\Rightarrow$  ('c monomial) set where
monomials-with  $R i P = \{m. m \in \text{monomials-of } R P \wedge i \in \# m\}$ 
```

```
context ring
begin
```

```
lemma monomials-withE:
assumes  $m \in \text{monomials-with } R i P$ 
shows  $i \in \# m$ 
```

$m \in \text{monomials-of } R P$
 $\langle \text{proof} \rangle$

```
lemma monomials-withI:
  assumes  $i \in \# m$ 
  assumes  $m \in \text{monomials-of } R P$ 
  shows  $m \in \text{monomials-with } R i P$ 
  ⟨proof⟩
```

end

Restricting a polynomial to be zero outside of a given monomial set:

```
definition restrict-poly-to-monom-set ::  

  ('a, 'b) ring-scheme  $\Rightarrow$  ('a, 'c) mvar-poly  $\Rightarrow$  ('c monomial) set  $\Rightarrow$  ('a, 'c) mvar-poly
where
restrict-poly-to-monom-set  $R P m\text{-set } m = (\text{if } m \in m\text{-set} \text{ then } P m \text{ else } \mathbf{0}_R)$ 
```

```
context ring
begin
```

```
lemma restrict-poly-to-monom-set-coeff:
  assumes carrier-coeff  $P$ 
  shows carrier-coeff (restrict-poly-to-monom-set  $R P Ms$ )
  ⟨proof⟩
```

```
lemma restrict-poly-to-monom-set-coeff':
  assumes  $P \in \text{indexed-pset } K I$ 
  assumes  $I \neq \{\}$ 
  assumes  $\bigwedge m. P m \in S$ 
  assumes  $\mathbf{0} \in S$ 
  shows  $\bigwedge m. (\text{restrict-poly-to-monom-set } R P m\text{-set } m) \in S$ 
  ⟨proof⟩
```

```
lemma restrict-poly-to-monom-set-monoms:
  assumes  $P \in \text{indexed-pset } I K$ 
  assumes  $m\text{-set} \subseteq \text{monomials-of } R P$ 
  shows monomials-of  $R$  (restrict-poly-to-monom-set  $R P m\text{-set}$ ) =  $m\text{-set}$ 
  ⟨proof⟩
end
```

2.3 Degree Functions

2.3.1 Total Degree Function

```
lemma multi-set-size-count:
  fixes  $m :: 'c monomial$ 
  shows size  $m \geq \text{count } m i$ 
  ⟨proof⟩
```

Total degree function

```

definition total-degree :: ('a, 'b) ring-scheme  $\Rightarrow$  ('a, 'c) mvar-poly  $\Rightarrow$  nat where
total-degree R P = (if (monomials-of R P = {}) then 0 else Max (size ` (monomials-of
R P)))

context ring
begin

lemma total-degree-ineq:
assumes m  $\in$  monomials-of R P
assumes finite (monomials-of R P)
shows total-degree R P  $\geq$  size m
⟨proof⟩

lemma total-degree-in-monomials-of:
assumes monomials-of R P  $\neq$  {}
assumes finite (monomials-of R P)
obtains m where m  $\in$  monomials-of R P  $\wedge$  size m = total-degree R P
⟨proof⟩

lemma total-degreeI:
assumes finite (monomials-of R P)
assumes  $\exists$  m. m  $\in$  monomials-of R P  $\wedge$  size m = n
assumes  $\bigwedge$  m. m  $\in$  monomials-of R P  $\implies$  size m  $\leq$  n
shows n = total-degree R P
⟨proof⟩
end

```

2.3.2 Degree in One Variable

```

definition degree-in-var :: 
('a, 'b) ring-scheme  $\Rightarrow$  ('a, 'c) mvar-poly  $\Rightarrow$  'c  $\Rightarrow$  nat where
degree-in-var R P i = (if (monomials-of R P = {}) then 0 else Max (( $\lambda$ m. count
m i) ` (monomials-of R P)))

context ring
begin

lemma degree-in-var-ineq:
assumes m  $\in$  monomials-of R P
assumes finite (monomials-of R P)
shows degree-in-var R P i  $\geq$  count m i
⟨proof⟩

lemma degree-in-var-in-monomials-of:
assumes monomials-of R P  $\neq$  {}
assumes finite (monomials-of R P)
obtains m where m  $\in$  monomials-of R P  $\wedge$  count m i = degree-in-var R P i
⟨proof⟩

```

```

lemma degree-in-varI:
  assumes finite (monomials-of R P)
  assumes  $\exists m. m \in \text{monomials-of } R P \wedge \text{count } m i = n$ 
  assumes  $\bigwedge c. c \in \text{monomials-of } R P \implies \text{count } c i \leq n$ 
  shows  $n = \text{degree-in-var } R P i$ 
  ⟨proof⟩

```

Total degree bounds degree in a single variable:

```

lemma total-degree-degree-in-var:
  assumes finite (monomials-of R P)
  shows total-degree R P  $\geq \text{degree-in-var } R P i$ 
  ⟨proof⟩
end

```

The set of monomials of maximal degree in variable i :

```

definition max-degree-monoms-in-var :: 
  ('a, 'b) ring-scheme  $\Rightarrow$  ('a, 'c) mvar-poly  $\Rightarrow$  'c  $\Rightarrow$  ('c monomial) set where
  max-degree-monoms-in-var R P i = {m. m  $\in$  monomials-of R P  $\wedge$  count m i = degree-in-var R P i}

```

```

context ring
begin

lemma max-degree-monoms-in-var-memI:
  assumes m  $\in$  monomials-of R P
  assumes count m i = degree-in-var R P i
  shows m  $\in$  max-degree-monoms-in-var R P i
  ⟨proof⟩

```

```

lemma max-degree-monoms-in-var-memE:
  assumes m  $\in$  max-degree-monoms-in-var R P i
  shows m  $\in$  monomials-of R P
    count m i = degree-in-var R P i
  ⟨proof⟩
end

```

The set of monomials of P of fixed degree in variable i :

```

definition fixed-degree-in-var :: 
  ('a, 'b) ring-scheme  $\Rightarrow$  ('a, 'c) mvar-poly  $\Rightarrow$  'c  $\Rightarrow$  nat  $\Rightarrow$  ('c monomial) set where
  fixed-degree-in-var R P i n = {m. m  $\in$  monomials-of R P  $\wedge$  count m i = n}

```

```

context ring
begin

lemma fixed-degree-in-var-subset:
  fixed-degree-in-var R P i n  $\subseteq$  monomials-of R P
  ⟨proof⟩

```

```

lemma fixed-degree-in-var-max-degree-monoms-in-var:

```

```

max-degree-monomoms-in-var R P i = fixed-degree-in-var R P i (degree-in-var R P i)
⟨proof⟩

lemma fixed-degree-in-varI:
assumes m ∈ monomials-of R P
assumes count m i = n
shows m ∈ fixed-degree-in-var R P i n
⟨proof⟩

lemma fixed-degree-in-varE:
assumes m ∈ fixed-degree-in-var R P i n
shows m ∈ monomials-of R P
count m i = n
⟨proof⟩

definition restrict-to-var-deg :: ('a,'c) mvar-poly ⇒ 'c ⇒ nat ⇒ 'c monomial ⇒ 'a where
restrict-to-var-deg P i n = restrict-poly-to-monom-set R P (fixed-degree-in-var R P i n)

lemma restrict-to-var-deg-var-deg:
assumes finite (monomials-of R P)
assumes Q = restrict-to-var-deg P i n
assumes monomials-of R Q ≠ {}
shows n = degree-in-var R Q i
⟨proof⟩

lemma index-free-degree-in-var[simp]:
assumes index-free P i
shows degree-in-var R P i = 0
⟨proof⟩

lemma degree-in-var-index-free:
assumes degree-in-var R P i = 0
assumes finite (monomials-of R P)
shows index-free P i
⟨proof⟩

end

```

2.4 Constructing the Multiplication Operation on the Ring of Indexed Polynomials

2.4.1 The Set of Factors of a Fixed Monomial

The following function maps a monomial to the set of monomials which divide it:

```

definition mset-factors :: 'c monomial ⇒ ('c monomial) set where
mset-factors m = {n. n ⊆# m}

```

```

context ring
begin

lemma monom-divides-factors:
   $n \in (\text{mset-factors } m) \longleftrightarrow n \subseteq\# m$ 
   $\langle \text{proof} \rangle$ 

lemma mset-factors-mono:
  assumes  $n \subseteq\# m$ 
  shows mset-factors  $n \subseteq \text{mset-factors } m$ 
   $\langle \text{proof} \rangle$ 

lemma mset-factors-size-bound:
  assumes  $n \in \text{mset-factors } m$ 
  shows size  $n \leq \text{size } m$ 
   $\langle \text{proof} \rangle$ 

lemma sets-to-inds-finite:
  assumes finite  $I$ 
  shows finite  $S \implies \text{finite } (\text{Pi}_E S (\lambda\_. I))$ 
   $\langle \text{proof} \rangle$ 

end

```

2.4.2 Finiteness of the Factor Set of a Monomial

This section shows that any monomial m has only finitely many factors. This is done by mapping the set of factors injectively into a finite extensional function set. Explicitly, a monomial is just mapped to its count function, restricted to the set of indices where the count is nonzero.

```

definition mset-factors-to-fun :: 
  ('a,'b) ring-scheme  $\Rightarrow$  'c monomial  $\Rightarrow$  'c monomial  $\Rightarrow$  ('c  $\Rightarrow$  nat) where
  mset-factors-to-fun  $R$   $m$   $n$  = (if ( $n \in \text{mset-factors } m$ ) then
    (restrict (count  $n$ ) (set-mset  $m$ )) else undefined)
context ring
begin

lemma mset-factors-to-fun-prop:
  assumes size  $m = n$ 
  shows mset-factors-to-fun  $R$   $m \in (\text{mset-factors } m) \rightarrow (\text{Pi}_E (\text{set-mset } m) (\lambda\_. \{0.. n\}))$ 
   $\langle \text{proof} \rangle$ 

lemma mset-factors-to-fun-inj:
  shows inj-on (mset-factors-to-fun  $R$   $m$ ) (mset-factors  $m$ )
   $\langle \text{proof} \rangle$ 

```

```

lemma finite-target:
finite (Pi_E (set-mset m) (λ-. {0..(n::nat)}))
⟨proof⟩

```

A multiset has only finitely many factors:

```

lemma mset-factors-finite[simp]:
finite (mset-factors m)
⟨proof⟩

```

end

2.4.3 Definition of Indexed Polynomial Multiplication.

```

context ring
begin

```

Monomial division:

```

lemma monom-divide:
assumes n ∈ mset-factors m
shows (THE k. n + k = m) = m - n
⟨proof⟩

```

A monomial is a factor of itself:

```

lemma m-factor[simp]:
m ∈ mset-factors m
⟨proof⟩

```

end

The definition of polynomial multiplication:

```

definition P-ring-mult :: ('a, 'b) ring-scheme ⇒ ('a, 'c) mvar-poly ⇒ ('a, 'c) mvar-poly
⇒ 'c monomial ⇒ 'a
where
P-ring-mult R P Q m = (finsum R (λx. (P x) ⊗_R (Q (m - x))) (mset-factors m))

```

```

abbreviation(in ring) P-ring-mult-in-ring (infixl ⟨⊗_p⟩ 65)where
P-ring-mult-in-ring ≡ P-ring-mult R

```

2.4.4 Distributivity Laws for Polynomial Multiplication

```

context ring
begin

```

```

lemma P-ring-rdistr:
assumes carrier-coeff a
assumes carrier-coeff b
assumes carrier-coeff c
shows a ⊗_p (b ⊕ c) = (a ⊗_p b) ⊕ (a ⊗_p c)
⟨proof⟩

```

```

lemma P-ring-ldistr:
  assumes carrier-coeff a
  assumes carrier-coeff b
  assumes carrier-coeff c
  shows (b ⊕ c) ⊗p a = (b ⊗p a) ⊕ (c ⊗p a)
  ⟨proof⟩
end

```

2.4.5 Multiplication Commutes with indexed_pmultip

```

context ring
begin

```

This lemma shows how we can write the factors of a monomial m times a variable i in terms of the factors of m :

```

lemma mset-factors-add-mset:
  mset-factors (add-mset i m) = mset-factors m ∪ add-mset i ` (mset-factors m)
  ⟨proof⟩
end

```

2.4.6 Associativity of Polynomial Multiplication.

```

context ring
begin

```

```

lemma finsum-eq:
  assumes f ∈ S → carrier R
  assumes g ∈ S → carrier R
  assumes (λ x ∈ S. f x) = (λ x ∈ S. g x)
  shows finsum R f S = finsum R g S
  ⟨proof⟩

lemma finsum-eq-induct:
  assumes f ∈ S → carrier R
  assumes g ∈ T → carrier R
  assumes finite S
  assumes finite T
  assumes bij-betw h S T
  assumes ⋀ s. s ∈ S ⇒ f s = g (h s)
  shows finite U ⇒ U ⊆ S ⇒ finsum R f U = finsum R g (h ` U)
  ⟨proof⟩

lemma finsum-bij-eq:
  assumes f ∈ S → carrier R
  assumes g ∈ T → carrier R
  assumes finite S
  assumes bij-betw h S T

```

```

assumes  $\bigwedge s. s \in S \implies f s = g (h s)$ 
shows  $\text{finsum } R f S = \text{finsum } R g T$ 
⟨proof⟩

```

lemma monom-comp:

```

assumes  $x \subseteq\# m$ 
assumes  $y \subseteq\# m - x$ 
shows  $x \subseteq\# m - y$ 
⟨proof⟩

```

lemma monom-comp':

```

assumes  $x \subseteq\# m$ 
assumes  $y = m - x$ 
shows  $x = m - y$ 
⟨proof⟩

```

This lemma turns iterated sums into sums over a product set. The first lemma is only a technical phrasing of `double_finsum'` to facilitate an inductive proof, and likely can and should be simplified.

lemma double-finsum-induct:

```

assumes finite  $S$ 
assumes  $\bigwedge s. s \in S \implies \text{finite } (F s)$ 
assumes  $P = (\lambda S. \{(s, t). s \in S \wedge t \in (F s)\})$ 
assumes  $\bigwedge s y. s \in S \implies y \in (F s) \implies g s y \in \text{carrier } R$ 
shows  $\text{finite } T \implies T \subseteq S \implies \text{finsum } R (\lambda s. \text{finsum } R (g s) (F s)) T =$ 
 $\text{finsum } R (\lambda c. g (\text{fst } c) (\text{snd } c)) (P \setminus T)$ 
⟨proof⟩

```

lemma double-finsum:

```

assumes finite  $S$ 
assumes  $\bigwedge s. s \in S \implies \text{finite } (F s)$ 
assumes  $P = \{(s, t). s \in S \wedge t \in (F s)\}$ 
assumes  $\bigwedge s y. s \in S \implies y \in (F s) \implies g s y \in \text{carrier } R$ 
shows  $\text{finsum } R (\lambda s. \text{finsum } R (g s) (F s)) S =$ 
 $\text{finsum } R (\lambda p. g (\text{fst } p) (\text{snd } p)) P$ 
⟨proof⟩

```

end

The product index set for the double sums in the coefficients of the $((a \otimes_p b) \otimes_p c)$. It is the set of pairs (x, y) of monomials, such that x is a factor of monomial m , and y is a factor of monomial x .

definition right-cuts :: 'c monomial \Rightarrow ('c monomial \times 'c monomial) set **where**
 $\text{right-cuts } m = \{(x, y). x \subseteq\# m \wedge y \subseteq\# x\}$

context ring

begin

lemma right-cuts-alt-def:

right-cuts $m = \{(x, y) \mid x \in \text{mset-factors } m \wedge y \in \text{mset-factors } x\}$
 $\langle \text{proof} \rangle$

lemma *right-cuts-finite*:

finite (*right-cuts* m)
 $\langle \text{proof} \rangle$

lemma *assoc-aux0*:

assumes *carrier-coeff* a
assumes *carrier-coeff* b
assumes *carrier-coeff* c
assumes $g = (\lambda x y. a x \otimes (b y \otimes c (m - x - y)))$
shows $\bigwedge s. s \in \text{mset-factors } m \implies y \in \text{mset-factors } (m - x)$
 $\qquad \implies g s y \in \text{carrier } R$
 $\langle \text{proof} \rangle$

lemma *assoc-aux1*:

assumes *carrier-coeff* a
assumes *carrier-coeff* b
assumes *carrier-coeff* c
assumes $g = (\lambda x y. (a y \otimes b (x - y)) \otimes c (m - x))$
shows $\bigwedge s. s \in \text{mset-factors } m \implies y \in \text{mset-factors } x \implies g s y \in \text{carrier } R$
 $\langle \text{proof} \rangle$

end

The product index set for the double sums in the coefficients of the $(a \otimes_p (b \otimes_p c))$. It is the set of pairs (x, y) such that x is a factor of m and y is a factor of m/x .

definition *left-cuts* :: '*c monomial* \Rightarrow ('*c monomial* \times '*c monomial*) set **where**
left-cuts $m = \{(x, y) \mid x \subseteq \#m \wedge y \subseteq \#(m - x)\}$

context *ring*

begin

lemma *left-cuts-alt-def*:

left-cuts $m = \{(x, y) \mid x \in \text{mset-factors } m \wedge y \in \text{mset-factors } (m - x)\}$
 $\langle \text{proof} \rangle$

This lemma witnesses the bijection between left and right cuts for the proof of associativity:

lemma *left-right-cuts-bij*:

bij-betw $(\lambda (x,y). (x + y, x))$ (*left-cuts* m) (*right-cuts* m)
 $\langle \text{proof} \rangle$

lemma *left-cuts-sum*:

assumes *carrier-coeff* a
assumes *carrier-coeff* b
assumes *carrier-coeff* c

```

shows ( $a \otimes_p (b \otimes_p c)$ )  $m = (\bigoplus p \in \text{left-cuts } m. a (\text{fst } p) \otimes (b (\text{snd } p) \otimes c (m - (\text{fst } p) - (\text{snd } p))))$ 
⟨proof⟩

```

lemma right-cuts-sum:

```

assumes carrier-coeff a
assumes carrier-coeff b
assumes carrier-coeff c
shows ( $a \otimes_p b \otimes_p c$ )  $m = (\bigoplus p \in \text{right-cuts } m. a (\text{snd } p) \otimes (b ((\text{fst } p) - (\text{snd } p)) \otimes c (m - (\text{fst } p))))$ 
⟨proof⟩

```

The Associativity of Polynomial Multiplication:

lemma P-ring-mult-assoc:

```

assumes carrier-coeff a
assumes carrier-coeff b
assumes carrier-coeff c
shows  $a \otimes_p (b \otimes_p c) = (a \otimes_p b) \otimes_p c$ 
⟨proof⟩

```

end

2.4.7 Commutativity of Polynomial Multiplication

```

context ring
begin

```

lemma mset-factors-bij:

```

bij-betw ( $\lambda x. m - x$ ) (mset-factors m) (mset-factors m)
⟨proof⟩

```

lemma(in cring) P-ring-mult-comm:

```

assumes carrier-coeff a
assumes carrier-coeff b
shows  $a \otimes_p b = b \otimes_p a$ 
⟨proof⟩

```

2.4.8 Closure properties for multiplication

Building monomials from polynomials:

lemma indexed-const-P-mult-eq:

```

assumes  $a \in \text{carrier } R$ 
assumes  $b \in \text{carrier } R$ 
shows ( $\text{indexed-const } a$ )  $\otimes_p$  ( $\text{indexed-const } b$ ) =  $\text{indexed-const } (a \otimes b)$ 
⟨proof⟩

```

lemma indexed-const-P-multE:

```

assumes  $P \in \text{indexed-pset } I$  ( $\text{carrier } R$ )
assumes  $c \in \text{carrier } R$ 

```

```

shows ( $P \otimes_p (\text{indexed-const } c)$ )  $m = (P m) \otimes c$ 
 $\langle \text{proof} \rangle$ 

lemma indexed-const-var-mult:
assumes  $P \in \text{indexed-pset } I$  (carrier R)
assumes  $c \in \text{carrier } R$ 
assumes  $i \in I$ 
shows  $P \otimes i \otimes_p \text{indexed-const } c = (P \otimes_p (\text{indexed-const } c)) \otimes i$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma indexed-const-P-mult-closed:
assumes  $a \in \text{indexed-pset } I$  (carrier R)
assumes  $c \in \text{carrier } R$ 
shows  $a \otimes_p (\text{indexed-const } c) \in \text{indexed-pset } I$  (carrier R)
 $\langle \text{proof} \rangle$ 

```

```

lemma monom-add-mset:
 $mset\text{-to-IP } R (\text{add-mset } i m) = mset\text{-to-IP } R m \otimes i$ 
 $\langle \text{proof} \rangle$ 

```

Monomials are closed under multiplication:

```

lemma monom-mult:
 $mset\text{-to-IP } R m \otimes_p mset\text{-to-IP } R n = mset\text{-to-IP } R (m + n)$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma poly-index-mult:
assumes  $a \in \text{indexed-pset } I$  (carrier R)
assumes  $i \in I$ 
shows  $a \otimes i = a \otimes_p mset\text{-to-IP } R \{\#i\#}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma mset-to-IP-mult-closed:
assumes  $a \in \text{indexed-pset } I$  (carrier R)
shows  $\text{set-mset } m \subseteq I \implies a \otimes_p mset\text{-to-IP } R m \in \text{indexed-pset } I$  (carrier R)
 $\langle \text{proof} \rangle$ 

```

A predicate which identifies when the variables used in a given polynomial P are only drawn from a fixed variable set I .

```

abbreviation monoms-in where
 $\text{monoms-in } I P \equiv (\forall m \in \text{monomials-of } R P. \text{ set-mset } m \subseteq I)$ 

```

```

lemma monoms-of-const:
 $\text{monomials-of } R (\text{indexed-const } k) = (\text{if } k = \mathbf{0} \text{ then } \{\} \text{ else } \{\#\})$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma const-monoms-in:
 $\text{monoms-in } I (\text{indexed-const } k)$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma mset-to-IP-indices:
  shows  $P \in \text{indexed-pset } I \text{ (carrier } R\text{)} \implies \text{monoms-in } I P$ 
   $\langle \text{proof} \rangle$ 

lemma mset-to-IP-indices':
  assumes  $P \in \text{indexed-pset } I \text{ (carrier } R\text{)}$ 
  assumes  $m \in \text{monomials-of } R P$ 
  shows  $\text{set-mset } m \subseteq I$ 
   $\langle \text{proof} \rangle$ 

lemma one-mset-to-IP:
   $mset\text{-to-IP } R \{ \# \} = \text{indexed-const } \mathbf{1}$ 
   $\langle \text{proof} \rangle$ 

lemma mset-to-IP-closed:
  shows  $\text{set-mset } m \subseteq I \implies mset\text{-to-IP } R m \in \text{indexed-pset } I \text{ (carrier } R\text{)}$ 
   $\langle \text{proof} \rangle$ 

lemma mset-to-IP-closed':
  assumes  $P \in \text{indexed-pset } I \text{ (carrier } R\text{)}$ 
  assumes  $m \in \text{monomials-of } R P$ 
  shows  $mset\text{-to-IP } R m \in \text{indexed-pset } I \text{ (carrier } R\text{)}$ 
   $\langle \text{proof} \rangle$ 

```

This lemma expresses closure under multiplication for indexed polynomials.

```

lemma P-ring-mult-closed:
  assumes carrier-coeff  $P$ 
  assumes carrier-coeff  $Q$ 
  shows carrier-coeff  $(P\text{-ring-mult } R P Q)$ 
   $\langle \text{proof} \rangle$ 

```

2.5 Multivariable Polynomial Induction

```

lemma mpoly-induct:
  assumes  $\bigwedge Q. Q \in \text{indexed-pset } I \text{ (carrier } R\text{)} \wedge \text{card } (\text{monomials-of } R Q) = 0$ 
   $\implies P Q$ 
  assumes  $\bigwedge n. (\bigwedge Q. Q \in \text{indexed-pset } I \text{ (carrier } R\text{)} \wedge \text{card } (\text{monomials-of } R Q)$ 
   $\leq n \implies P Q)$ 
   $\implies (\bigwedge Q. Q \in \text{indexed-pset } I \text{ (carrier } R\text{)} \wedge \text{card } (\text{monomials-of } R Q)$ 
   $\leq (\text{Suc } n) \implies P Q)$ 
  assumes  $Q \in \text{indexed-pset } I \text{ (carrier } R\text{)}$ 
  shows  $P Q$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma monomials-of-card-zero:
  assumes  $Q \in \text{indexed-pset } I \text{ (carrier } R\text{)} \wedge \text{card } (\text{monomials-of } R Q) = 0$ 
  shows  $Q = \text{indexed-const } \mathbf{0}$ 
   $\langle \text{proof} \rangle$ 

```

Polynomial induction on the number of monomials with nonzero coefficient:

```

lemma mpoly-induct':
  assumes P (indexed-const 0)
  assumes  $\bigwedge n. (\bigwedge Q. Q \in \text{indexed-pset } I \text{ (carrier } R) \wedge \text{card}(\text{monomials-of } R Q)$ 
 $\leq n \implies P Q)$ 
 $\implies (\bigwedge Q. Q \in \text{indexed-pset } I \text{ (carrier } R) \wedge \text{card}(\text{monomials-of } R Q)$ 
 $= (\text{Suc } n) \implies P Q)$ 
  assumes Q  $\in \text{indexed-pset } I \text{ (carrier } R)$ 
  shows P Q
  ⟨proof⟩

lemma monomial-poly-split:
  assumes P  $\in \text{indexed-pset } I \text{ (carrier } R)$ 
  assumes m  $\in \text{monomials-of } R P$ 
  shows ( $\text{restrict-poly-to-monom-set } R P ((\text{monomials-of } R P) - \{m\})$ )  $\oplus ((\text{mset-to-IP}$ 
 $R m) \otimes_p (\text{indexed-const } (P m))) = P$ 
  ⟨proof⟩

lemma restrict-not-in-monoms:
  assumes a  $\notin \text{monomials-of } R P$ 
  shows  $\text{restrict-poly-to-monom-set } R P A = \text{restrict-poly-to-monom-set } R P (\text{insert}$ 
 $a A)$ 
  ⟨proof⟩

lemma restriction-closed':
  assumes P  $\in \text{indexed-pset } I \text{ (carrier } R)$ 
  assumes finite ms
  shows ( $\text{restrict-poly-to-monom-set } R P ms$ )  $\in \text{indexed-pset } I \text{ (carrier } R)$ 
  ⟨proof⟩

lemma restriction-restrict:
   $\text{restrict-poly-to-monom-set } R P ms = \text{restrict-poly-to-monom-set } R P (ms \cap \text{monomials-of } R P)$ 
  ⟨proof⟩

lemma restriction-closed:
  assumes P  $\in \text{indexed-pset } I \text{ (carrier } R)$ 
  assumes Q =  $\text{restrict-poly-to-monom-set } R P ms$ 
  shows Q  $\in \text{indexed-pset } I \text{ (carrier } R)$ 
  ⟨proof⟩

lemma monomial-split-card:
  assumes P  $\in \text{indexed-pset } I \text{ (carrier } R)$ 
  assumes m  $\in \text{monomials-of } R P$ 
  shows  $\text{card}(\text{monomials-of } R (\text{restrict-poly-to-monom-set } R P ((\text{monomials-of } R$ 
 $P) - \{m\}))) =$ 
 $\text{card}(\text{monomials-of } R P) - 1$ 
  ⟨proof⟩

lemma P-ring-mult-closed':

```

```

assumes  $a \in \text{indexed-pset } I \ (\text{carrier } R)$ 
assumes  $b \in \text{indexed-pset } I \ (\text{carrier } R)$ 
shows  $a \otimes_p b \in \text{indexed-pset } I \ (\text{carrier } R)$ 
⟨proof⟩

```

end

2.6 Subtraction of Polynomials

```

definition  $P\text{-ring-uminus} :: ('a,'b) \ \text{ring-scheme} \Rightarrow ('a,'c) \ \text{mvar-poly} \Rightarrow ('a,'c) \ \text{mvar-poly}$  where
 $P\text{-ring-uminus } R \ P = (\lambda m. \ominus_R (P \ m))$ 

```

context $ring$

begin

```

lemma  $P\text{-ring-uminus-eq}:$ 
assumes  $a \in \text{indexed-pset } I \ (\text{carrier } R)$ 
shows  $P\text{-ring-uminus } R \ a = a \otimes_p (\text{indexed-const } (\ominus \mathbf{1}))$ 
⟨proof⟩

```

```

lemma  $P\text{-ring-uminus-closed}:$ 
assumes  $a \in \text{indexed-pset } I \ (\text{carrier } R)$ 
shows  $P\text{-ring-uminus } R \ a \in \text{indexed-pset } I \ (\text{carrier } R)$ 
⟨proof⟩

```

```

lemma  $P\text{-ring-uminus-add}:$ 
assumes  $a \in \text{indexed-pset } I \ (\text{carrier } R)$ 
shows  $P\text{-ring-uminus } R \ a \oplus a = \text{indexed-const } \mathbf{0}$ 
⟨proof⟩

```

multiplication by 1

```

lemma  $\text{one-mult-left}:$ 
assumes  $a \in \text{indexed-pset } I \ (\text{carrier } R)$ 
shows  $(\text{indexed-const } \mathbf{1}) \otimes_p a = a$ 
⟨proof⟩

```

end

2.7 The Carrier of the Ring of Indexed Polynomials

```

abbreviation(input)  $Pring\text{-set}$  where
 $Pring\text{-set } R \ I \equiv ring.\text{indexed-pset } R \ I \ (\text{carrier } R)$ 

```

context $ring$

begin

```

lemma Pring-set-zero:
  assumes  $f \in \text{Pring-set } R I$ 
  assumes  $\neg \text{set-mset } m \subseteq I$ 
  shows  $f m = \mathbf{0}_R$ 
   $\langle \text{proof} \rangle$ 

lemma(in ring) Pring-cfs-closed:
  assumes  $P \in \text{Pring-set } R I$ 
  shows  $P m \in \text{carrier } R$ 
   $\langle \text{proof} \rangle$ 

lemma indexed-pset-mono-aux:
  assumes  $P \in \text{indexed-pset } I S$ 
  shows  $S \subseteq T \implies P \in \text{indexed-pset } I T$ 
   $\langle \text{proof} \rangle$ 

lemma indexed-pset-mono:
  assumes  $S \subseteq T$ 
  shows  $\text{indexed-pset } I S \subseteq \text{indexed-pset } I T$ 
   $\langle \text{proof} \rangle$ 

end

```

2.8 Scalar Multiplication

```

definition poly-scalar-mult :: ('a, 'b) ring-scheme  $\Rightarrow$  'a  $\Rightarrow$  ('a,'c) mvar-poly  $\Rightarrow$  ('a,'c) mvar-poly where
  poly-scalar-mult  $R c P = (\lambda m. c \otimes_R P m)$ 

lemma(in cring) poly-scalar-mult-eq:
  assumes  $c \in \text{carrier } R$ 
  shows  $P \in \text{Pring-set } R (I :: 'c \text{ set}) \implies \text{poly-scalar-mult } R c P = \text{indexed-const}$ 
   $c \otimes_p P$ 
   $\langle \text{proof} \rangle$ 

lemma(in cring) poly-scalar-mult-const:
  assumes  $c \in \text{carrier } R$ 
  assumes  $k \in \text{carrier } R$ 
  shows  $\text{poly-scalar-mult } R k (\text{indexed-const } c) = \text{indexed-const } (k \otimes c)$ 
   $\langle \text{proof} \rangle$ 

lemma(in cring) poly-scalar-mult-closed:
  assumes  $c \in \text{carrier } R$ 
  assumes  $P \in \text{Pring-set } R I$ 
  shows  $\text{poly-scalar-mult } R c P \in \text{Pring-set } R I$ 
   $\langle \text{proof} \rangle$ 

lemma(in cring) poly-scalar-mult-zero:

```

```

assumes  $P \in \text{Pring-set } R I$ 
shows  $\text{poly-scalar-mult } R \mathbf{0} P = \text{indexed-const } \mathbf{0}$ 
⟨proof⟩

lemma(in cring) poly-scalar-mult-one:
assumes  $P \in \text{Pring-set } R I$ 
shows  $\text{poly-scalar-mult } R \mathbf{1} P = P$ 
⟨proof⟩

lemma(in cring) times-poly-scalar-mult:
assumes  $P \in \text{Pring-set } R I$ 
assumes  $Q \in \text{Pring-set } R I$ 
assumes  $k \in \text{carrier } R$ 
shows  $P \otimes_p (\text{poly-scalar-mult } R k Q) = \text{poly-scalar-mult } R k (P \otimes_p Q)$ 
⟨proof⟩

lemma(in cring) poly-scalar-mult-times:
assumes  $P \in \text{Pring-set } R I$ 
assumes  $Q \in \text{Pring-set } R I$ 
assumes  $k \in \text{carrier } R$ 
shows  $\text{poly-scalar-mult } R k (Q \otimes_p P) = (\text{poly-scalar-mult } R k Q) \otimes_p P$ 
⟨proof⟩

```

2.9 Defining the Ring of Indexed Polynomials

```

definition Pring :: ('b, 'e) ring-scheme  $\Rightarrow$  'a set  $\Rightarrow$  ('b, ('b,'a) mvar-poly) module
where

```

```

Pring R I  $\equiv$  () carrier = Pring-set R I,
Group.monoid.mult = P-ring-mult R ,
one = ring.indexed-const R  $\mathbf{1}_R$ ,
zero = ring.indexed-const R  $\mathbf{0}_R$ ,
add = ring.indexed-padd R,
smult = poly-scalar-mult R()

```

```

context ring

```

```

begin

```

```

lemma Pring-car:
carrier (Pring R I) = Pring-set R I
⟨proof⟩

```

Definitions of the operations and constants:

```

lemma Pring-mult:
 $a \otimes_{\text{Pring } R I} b = a \otimes_p b$ 
⟨proof⟩

```

```

lemma Pring-add:

```

$$a \oplus_{\text{Pring } R I} b = a \oplus b$$

$\langle \text{proof} \rangle$

lemma *Pring-zero*:

0*Pring R I* = indexed-const **0**
 $\langle \text{proof} \rangle$

lemma *Pring-one*:

1*Pring R I* = indexed-const **1**
 $\langle \text{proof} \rangle$

lemma *Pring-smult*:

$(\odot_{\text{Pring } R I}) = (\text{poly-scalar-mult } R)$
 $\langle \text{proof} \rangle$

lemma *Pring-carrier-coeff*:

assumes $a \in \text{carrier } (\text{Pring } R I)$
shows $\text{carrier-coeff } a$
 $\langle \text{proof} \rangle$

lemma *Pring-carrier-coeff' [simp]*:

assumes $a \in \text{carrier } (\text{Pring } R I)$
shows $a m \in \text{carrier } R$
 $\langle \text{proof} \rangle$

lemma *Pring-add-closed*:

assumes $a \in \text{carrier } (\text{Pring } R I)$
assumes $b \in \text{carrier } (\text{Pring } R I)$
shows $a \oplus_{\text{Pring } R I} b \in \text{carrier } (\text{Pring } R I)$
 $\langle \text{proof} \rangle$

lemma *Pring-mult-closed*:

assumes $a \in \text{carrier } (\text{Pring } R I)$
assumes $b \in \text{carrier } (\text{Pring } R I)$
shows $a \otimes_{\text{Pring } R I} b \in \text{carrier } (\text{Pring } R I)$
 $\langle \text{proof} \rangle$

lemma *Pring-one-closed*:

1*Pring R I* ∈ carrier (*Pring R I*)
 $\langle \text{proof} \rangle$

lemma *Pring-zero-closed*:

0*Pring R I* ∈ carrier (*Pring R I*)
 $\langle \text{proof} \rangle$

lemma *Pring-var-closed*:

assumes $i \in I$
shows var-to-IP $R i \in \text{carrier } (\text{Pring } R I)$
 $\langle \text{proof} \rangle$

Properties of addition:

lemma *Pring-add-assoc*:

assumes $a \in \text{carrier}(\text{Pring } R I)$
assumes $b \in \text{carrier}(\text{Pring } R I)$
assumes $c \in \text{carrier}(\text{Pring } R I)$
shows $a \oplus_{\text{Pring } R I} (b \oplus_{\text{Pring } R I} c) = (a \oplus_{\text{Pring } R I} b) \oplus_{\text{Pring } R I} c$
 $\langle \text{proof} \rangle$

lemma *Pring-add-comm*:

assumes $a \in \text{carrier}(\text{Pring } R I)$
assumes $b \in \text{carrier}(\text{Pring } R I)$
shows $a \oplus_{\text{Pring } R I} b = b \oplus_{\text{Pring } R I} a$
 $\langle \text{proof} \rangle$

lemma *Pring-add-zero*:

assumes $a \in \text{carrier}(\text{Pring } R I)$
shows $a \oplus_{\text{Pring } R I} \mathbf{0}_{\text{Pring } R I} = a$
 $\mathbf{0}_{\text{Pring } R I} \oplus_{\text{Pring } R I} a = a$
 $\langle \text{proof} \rangle$

Properties of multiplication

lemma *Pring-mult-assoc*:

assumes $a \in \text{carrier}(\text{Pring } R I)$
assumes $b \in \text{carrier}(\text{Pring } R I)$
assumes $c \in \text{carrier}(\text{Pring } R I)$
shows $a \otimes_{\text{Pring } R I} (b \otimes_{\text{Pring } R I} c) = (a \otimes_{\text{Pring } R I} b) \otimes_{\text{Pring } R I} c$
 $\langle \text{proof} \rangle$

lemma *Pring-mult-comm*:

assumes *cring R*
assumes $a \in \text{carrier}(\text{Pring } R I)$
assumes $b \in \text{carrier}(\text{Pring } R I)$
shows $a \otimes_{\text{Pring } R I} b = b \otimes_{\text{Pring } R I} a$
 $\langle \text{proof} \rangle$

lemma *Pring-mult-one*:

assumes $a \in \text{carrier}(\text{Pring } R I)$
shows $a \otimes_{\text{Pring } R I} \mathbf{1}_{\text{Pring } R I} = a$
 $\langle \text{proof} \rangle$

lemma *Pring-mult-one'*:

assumes $a \in \text{carrier}(\text{Pring } R I)$
shows $\mathbf{1}_{\text{Pring } R I} \otimes_{\text{Pring } R I} a = a$
 $\langle \text{proof} \rangle$

Distributive laws

lemma *Pring-mult-rdistr*:

assumes $a \in \text{carrier}(\text{Pring } R I)$

```

assumes  $b \in \text{carrier}(\text{Pring } R I)$ 
assumes  $c \in \text{carrier}(\text{Pring } R I)$ 
shows  $a \otimes_{\text{Pring } R I} (b \oplus_{\text{Pring } R I} c) = (a \otimes_{\text{Pring } R I} b) \oplus_{\text{Pring } R I} (a \otimes_{\text{Pring } R I} c)$ 
 $\langle proof \rangle$ 

```

```

lemma Pring-mult-ldistr:
assumes  $a \in \text{carrier}(\text{Pring } R I)$ 
assumes  $b \in \text{carrier}(\text{Pring } R I)$ 
assumes  $c \in \text{carrier}(\text{Pring } R I)$ 
shows  $(b \oplus_{\text{Pring } R I} c) \otimes_{\text{Pring } R I} a = (b \otimes_{\text{Pring } R I} a) \oplus_{\text{Pring } R I} (c \otimes_{\text{Pring } R I} a)$ 
 $\langle proof \rangle$ 

```

Properties of subtraction:

```

lemma Pring-uminus:
assumes  $a \in \text{carrier}(\text{Pring } R I)$ 
shows  $P\text{-ring-uminus } R a \in \text{carrier}(\text{Pring } R I)$ 
 $\langle proof \rangle$ 

```

```

lemma Pring-subtract:
assumes  $a \in \text{carrier}(\text{Pring } R I)$ 
shows  $P\text{-ring-uminus } R a \oplus_{\text{Pring } R I} a = \mathbf{0}_{\text{Pring } R I}$ 
 $a \oplus_{\text{Pring } R I} P\text{-ring-uminus } R a = \mathbf{0}_{\text{Pring } R I}$ 
 $\langle proof \rangle$ 

```

$\text{Pring } R I$ is a ring

```

lemma Pring-is-abelian-group:
shows abelian-group ( $\text{Pring } R I$ )
 $\langle proof \rangle$ 

```

```

lemma Pring-is-monoid:
Group.monoid ( $\text{Pring } R I$ )
 $\langle proof \rangle$ 

```

```

lemma Pring-is-ring:
shows ring ( $\text{Pring } R I$ )
 $\langle proof \rangle$ 

```

```

lemma Pring-is-cring:
assumes cring  $R$ 
shows cring ( $\text{Pring } R I$ )
 $\langle proof \rangle$ 

```

```

lemma Pring-a-inv:
assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
shows  $\ominus_{\text{Pring } R I} P = P\text{-ring-uminus } R P$ 
 $\langle proof \rangle$ 

```

end

2.10 Defining the R-Algebra of Indexed Polynomials

context *cring*
begin

lemma *Pring-smult-cfs*:

assumes $a \in \text{carrier } R$
assumes $P \in \text{carrier } (\text{Pring } R I)$
shows $(a \odot_{\text{Pring } R I} P) m = a \otimes (P m)$
 $\langle \text{proof} \rangle$

lemma *Pring-smult-closed*:

$\bigwedge a x. [\mid a \in \text{carrier } R; x \in \text{carrier } (\text{Pring } R I) \mid] \implies a \odot_{(\text{Pring } R I)} x \in \text{carrier } (\text{Pring } R I)$
 $\langle \text{proof} \rangle$

lemma *Pring-smult-l-distr*:

$\forall a b x. [\mid a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } (\text{Pring } R I) \mid] \implies (a \oplus b) \odot_{(\text{Pring } R I)} x = (a \odot_{(\text{Pring } R I)} x) \oplus_{(\text{Pring } R I)} (b \odot_{(\text{Pring } R I)} x)$
 $\langle \text{proof} \rangle$

lemma *Pring-smult-r-distr*:

$\forall a x y. [\mid a \in \text{carrier } R; x \in \text{carrier } (\text{Pring } R I); y \in \text{carrier } (\text{Pring } R I) \mid] \implies a \odot_{(\text{Pring } R I)} (x \oplus_{(\text{Pring } R I)} y) = (a \odot_{(\text{Pring } R I)} x) \oplus_{(\text{Pring } R I)} (a \odot_{(\text{Pring } R I)} y)$
 $\langle \text{proof} \rangle$

lemma *Pring-smult-assoc1*:

$\forall a b x. [\mid a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } (\text{Pring } R I) \mid] \implies (a \otimes b) \odot_{\text{Pring } R I} x = a \odot_{\text{Pring } R I} (b \odot_{\text{Pring } R I} x)$
 $\langle \text{proof} \rangle$

lemma *Pring-smult-one*:

$\forall x. x \in \text{carrier } (\text{Pring } R I) \implies (\text{one } R) \odot_{\text{Pring } R I} x = x$
 $\langle \text{proof} \rangle$

lemma *Pring-smult-assoc2*:

$\forall a x y. [\mid a \in \text{carrier } R; x \in \text{carrier } (\text{Pring } R I); y \in \text{carrier } (\text{Pring } R I) \mid] \implies (a \odot_{\text{Pring } R I} x) \otimes_{\text{Pring } R I} y = a \odot_{\text{Pring } R I} (x \otimes_{\text{Pring } R I} y)$
 $\langle \text{proof} \rangle$

lemma *Pring-algebra*:

algebra R (Pring R I)
(proof)

end

2.11 Evaluation of Polynomials and Subring Structure

In this section the aim is to define the evaluation of a polynomial over its base ring. We define both total evaluation of a polynomial at all variables, and partial evaluation at only a subset of variables. The basic input for evaluation is a variable assignment function mapping variables to ring elements. Once we can evaluate a polynomial P in variables I over a ring R at an assignment $f : I \rightarrow R$, this can be generalized to evaluation of P in some other ring S , given a variable assignment $f : I \rightarrow S$ and a ring homomorphism $\phi : R \rightarrow S$. We chose to define this by simply applying ϕ to the coefficients of P , and then using the first evaluation function over S . This could also have been done the other way around: define general polynomial evaluation over any ring, given a ring hom ϕ , and then defining evaluation over the base ring R as the specialization of this function to the case there $\phi = id_R$.

```

definition remove-monom ::  

('a,'b) ring-scheme  $\Rightarrow$  'c monomial  $\Rightarrow$  ('a, 'c) mvar-poly  $\Rightarrow$  ('a, 'c) mvar-poly  

where  

remove-monom R m P = ring.indexed-padd R P (poly-scalar-mult R ( $\ominus_R$  P m)  

(mset-to-IP R m))  

  

context cring  

begin  

  

lemma remove-monom-alt-def:  

assumes P ∈ Pring-set R I  

shows remove-monom R m P n = (if n = m then 0 else P n)  

(proof)  

  

lemma remove-monom-zero:  

assumes m ∉ monomials-of R P  

assumes P ∈ Pring-set R I  

shows remove-monom R m P = P  

(proof)  

  

lemma remove-monom-closed:  

assumes P ∈ Pring-set R I  

shows remove-monom R m P ∈ Pring-set R I  

(proof)
```

```

lemma remove-monom-monomials:
  assumes  $P \in \text{Pring-set } R I$ 
  shows monomials-of  $R$  ( $\text{remove-monom } R m P$ ) = monomials-of  $R P - \{m\}$ 
   $\langle proof \rangle$ 

The additive decomposition of a polynomial by a monomial

lemma remove-monom-eq:
  assumes  $P \in \text{Pring-set } R I$ 
  shows  $P = (\text{remove-monom } R a P) \oplus \text{poly-scalar-mult } R (P a) (\text{mset-to-IP } R a)$ 
   $\langle proof \rangle$ 

lemma remove-monom-restrict-poly-to-monom-set:
  assumes  $P \in \text{Pring-set } R I$ 
  assumes monomials-of  $R P = \text{insert } a M$ 
  assumes  $a \notin M$ 
  shows ( $\text{remove-monom } R a P$ ) = restrict-poly-to-monom-set  $R P M$ 
   $\langle proof \rangle$ 

end

```

2.11.1 Nesting of Polynomial Rings According to Nesting of Index Sets

```

lemma(in ring) Pring-carrier-subset:
  assumes  $J \subseteq I$ 
  shows ( $\text{Pring-set } R J$ )  $\subseteq$  ( $\text{Pring-set } R I$ )
   $\langle proof \rangle$ 

lemma(in cring) Pring-set-restrict-induct:
  shows finite  $S \implies \forall P. \text{monomials-of } R P = S \wedge P \in \text{Pring-set } R I \wedge (\forall m \in \text{monomials-of } R P. \text{set-mset } m \subseteq J) \implies P \in \text{Pring-set } R J$ 
   $\langle proof \rangle$ 

lemma(in cring) Pring-set-restrict:
  assumes  $P \in \text{Pring-set } R I$ 
  assumes  $(\bigwedge m. m \in \text{monomials-of } R P \implies \text{set-mset } m \subseteq J)$ 
  shows  $P \in \text{Pring-set } R J$ 
   $\langle proof \rangle$ 

lemma(in ring) Pring-mult-eq:
  fixes  $I:: 'c set$ 
  fixes  $J:: 'c set$ 
  shows  $(\otimes_{\text{Pring}} R I) = (\otimes_{\text{Pring}} R J)$ 
   $\langle proof \rangle$ 

lemma(in ring) Pring-add-eq:
  fixes  $I:: 'c set$ 
  fixes  $J:: 'c set$ 

```

```

shows ( $\oplus_{\text{Pring } R} I$ ) = ( $\oplus_{\text{Pring } R} J$ )
⟨proof⟩

lemma(in ring) Pring-one-eq:
  fixes  $I:: 'c \text{ set}$ 
  fixes  $J:: 'c \text{ set}$ 
  shows ( $\mathbf{1}_{\text{Pring } R} I$ ) = ( $\mathbf{1}_{\text{Pring } R} J$ )
  ⟨proof⟩

lemma(in ring) Pring-zero-eq:
  fixes  $I:: 'c \text{ set}$ 
  fixes  $J:: 'c \text{ set}$ 
  shows ( $\mathbf{0}_{\text{Pring } R} I$ ) = ( $\mathbf{0}_{\text{Pring } R} J$ )
  ⟨proof⟩

lemma(in ring) index-subset-Pring-subring:
  assumes  $J \subseteq I$ 
  shows subring (carrier ( $\text{Pring } R J$ )) ( $\text{Pring } R I$ )
  ⟨proof⟩

```

2.11.2 Inclusion Maps

```

definition Pring-inc ::  $('a, 'c) \text{ mvar-poly} \Rightarrow ('a, 'c) \text{ mvar-poly}$  where
Pring-inc  $\equiv (\lambda P. P)$ 

```

```

lemma(in ring) Princ-inc-is-ring-hom:
  assumes  $J \subseteq I$ 
  shows ring-hom-ring ( $\text{Pring } R J$ ) ( $\text{Pring } R I$ ) Pring-inc
  ⟨proof⟩

```

2.11.3 Restricting a Multiset to a Subset of Variables

```

definition restrict-to-indices ::  $'c \text{ monomial} \Rightarrow 'c \text{ set} \Rightarrow 'c \text{ monomial}$  where
restrict-to-indices  $m S = \text{filter-mset} (\lambda i. i \in S) m$ 

```

```

lemma restrict-to-indicesE:
  assumes  $i \in \# \text{restrict-to-indices } m S$ 
  shows  $i \in S$ 
  ⟨proof⟩

```

```

lemma restrict-to-indicesI[simp]:
  assumes  $i \in \# m$ 
  assumes  $i \in S$ 
  shows  $i \in \# \text{restrict-to-indices } m S$ 
  ⟨proof⟩

```

```

lemma restrict-to-indices-not-in[simp]:
  assumes  $i \in \# m$ 
  assumes  $i \notin S$ 

```


2.11.4 Total evaluation of a monomial

We define total evaluation of a monomial first, and then define the partial evaluation of a monomial in terms of this.

```

abbreviation(input) closed-fun where
closed-fun  $R$   $g \equiv g \in \text{UNIV} \rightarrow \text{carrier } R$ 

definition monom-eval :: (' $a$ , ' $b$ ) ring-scheme  $\Rightarrow$  ' $c$  monomial  $\Rightarrow$  (' $c \Rightarrow$  ' $a$ )  $\Rightarrow$  ' $a$ 
where
monom-eval  $R$  ( $m::$  ' $c$  monomial)  $g = \text{fold-mset} (\lambda x . \lambda y. \text{if } y \in \text{carrier } R \text{ then}$ 
 $(g x) \otimes_R y \text{ else } \mathbf{0}_R)$   $\mathbf{1}_R m$ 

context cring
begin

lemma closed-fun-simp:
assumes closed-fun  $R$   $g$ 
shows  $g n \in \text{carrier } R$ 
 $\langle \text{proof} \rangle$ 

lemma closed-funI:
assumes  $\bigwedge x. g x \in \text{carrier } R$ 
shows closed-fun  $R$   $g$ 
 $\langle \text{proof} \rangle$ 
```

The following are necessary technical lemmas to prove properties of about folds over multisets:

```

lemma monom-eval-comp-fun:
fixes  $g::$  ' $c \Rightarrow$  ' $a$ 
assumes closed-fun  $R$   $g$ 
shows comp-fun-commute ( $\lambda x . \lambda y. \text{if } y \in \text{carrier } R \text{ then } (g x) \otimes y \text{ else } \mathbf{0}$ )
 $\langle \text{proof} \rangle$ 

lemma monom-eval-car:
assumes closed-fun  $R$   $g$ 
shows monom-eval  $R$  ( $m::$  ' $c$  monomial)  $g \in \text{carrier } R$ 
 $\langle \text{proof} \rangle$ 
```

Formula for recursive (total) evaluation of a monomial:

```

lemma monom-eval-add:
assumes closed-fun  $R$   $g$ 
shows monom-eval  $R$  (add-mset  $x M$ )  $g = (g x) \otimes (\text{monom-eval } R M g)$ 
 $\langle \text{proof} \rangle$ 

end
```

This function maps a polynomial P to the set of monomials in P which, after evaluating all variables in the set S to values in the ring R , reduce to the monomial n .

```

definition monomials-reducing-to ::  

  ('a,'b) ring-scheme  $\Rightarrow$  'c monomial  $\Rightarrow$  ('a,'c) mvar-poly  $\Rightarrow$  'c set  $\Rightarrow$  ('c monomial)  

  set where  

  monomials-reducing-to R n P S = {m ∈ monomials-of R P. remove-indices m S  

  = n}

lemma monomials-reducing-to-subset[simp]:  

  monomials-reducing-to R n P s  $\subseteq$  monomials-of R P  

  ⟨proof⟩

context cring
begin

lemma monomials-reducing-to-finite:  

assumes P ∈ Pring-set R I
shows finite (monomials-reducing-to R n P s)
⟨proof⟩

lemma monomials-reducing-to-disjoint:  

assumes n1 ≠ n2
shows monomials-reducing-to R n1 P S ∩ monomials-reducing-to R n2 P S =  

{ }
⟨proof⟩

lemma monomials-reducing-to-submset:  

assumes n ⊂# m
shows n ∉ monomials-reducing-to R m P S
⟨proof⟩

end

```

2.11.5 Partial Evaluation of a Polynomial

This function takes as input a set S of variables, an evaluation function g , and a polynomial to evaluate P . The output is a polynomial which is the result of evaluating the variables from the set S which occur in P , according to the evaluation function g .

```

definition poly-eval ::  

  ('a,'b) ring-scheme  $\Rightarrow$  'c set  $\Rightarrow$  ('c ⇒ 'a)  $\Rightarrow$  ('a, 'c) mvar-poly  $\Rightarrow$  ('a, 'c)  

  mvar-polywhere  

  poly-eval R S g P m = (finsum R (λn. monom-eval R (restrict-to-indices n S) g  

  ⊗_R (P n)) (monomials-reducing-to R m P S))

context cring
begin

lemma finsum-singleton:  

assumes S = {s}

```

```

assumes  $f s \in \text{carrier } R$ 
shows  $\text{finsum } R f S = f s$ 
⟨proof⟩

lemma poly-eval-constant:
assumes  $k \in \text{carrier } R$ 
shows  $\text{poly-eval } R S g (\text{indexed-const } k) = (\text{indexed-const } k)$ 
⟨proof⟩

lemma finsum-partition:
assumes  $\text{finite } S$ 
assumes  $f \in S \rightarrow \text{carrier } R$ 
assumes  $T \subseteq S$ 
shows  $\text{finsum } R f S = \text{finsum } R f T \oplus \text{finsum } R f (S - T)$ 
⟨proof⟩

lemma finsum-eq-parition:
assumes  $\text{finite } S$ 
assumes  $f \in S \rightarrow \text{carrier } R$ 
assumes  $T \subseteq S$ 
assumes  $\bigwedge x. x \in S - T \implies f x = \mathbf{0}$ 
shows  $\text{finsum } R f S = \text{finsum } R f T$ 
⟨proof⟩

lemma poly-eval-scalar-mult:
assumes  $k \in \text{carrier } R$ 
assumes  $\text{closed-fun } R g$ 
assumes  $P \in \text{Pring-set } R I$ 
shows  $\text{poly-eval } R S g (\text{poly-scalar-mult } R k P) =$ 
 $(\text{poly-scalar-mult } R k (\text{poly-eval } R S g P))$ 
⟨proof⟩

lemma poly-eval-monomial:
assumes  $\text{closed-fun } R g$ 
assumes  $\mathbf{1} \neq \mathbf{0}$ 
shows  $\text{poly-eval } R S g (\text{mset-to-IP } R m) =$ 
 $\text{poly-scalar-mult } R (\text{monom-eval } R (\text{restrict-to-indices } m S) g)$ 
 $(\text{mset-to-IP } R (\text{remove-indices } m S))$ 
⟨proof⟩

lemma(in cring) poly-eval-monomial-closed:
assumes  $\text{closed-fun } R g$ 
assumes  $\mathbf{1} \neq \mathbf{0}$ 
assumes  $\text{set-mset } m \subseteq I$ 
shows  $\text{poly-eval } R S g (\text{mset-to-IP } R m) \in \text{Pring-set } R (I - S)$ 
⟨proof⟩

lemma poly-scalar-mult-iter:

```

```

assumes 1 ≠0
assumes P ∈ Pring-set R I
assumes k ∈ carrier R
assumes n ∈ carrier R
shows poly-scalar-mult R k (poly-scalar-mult R n P) = poly-scalar-mult R (k ⊗
n) P
⟨proof⟩

lemma poly-scalar-mult-comm:
assumes 1 ≠0
assumes P ∈ Pring-set R I
assumes a ∈ carrier R
assumes b ∈ carrier R
shows poly-scalar-mult R a (poly-scalar-mult R b P) = poly-scalar-mult R b
(poly-scalar-mult R a P)
⟨proof⟩

lemma poly-eval-monomial-term:
assumes closed-fun R g
assumes 1 ≠0
assumes set-mset m ⊆ I
assumes k ∈ carrier R
shows poly-eval R S g (poly-scalar-mult R k (mset-to-IP R m)) = poly-scalar-mult
R (k⊗(monom-eval R (restrict-to-indices m S) g))
(mset-to-IP R (remove-indices m S))
⟨proof⟩

lemma poly-eval-monomial-term-closed:
assumes closed-fun R g
assumes 1 ≠0
assumes set-mset m ⊆ I
assumes k ∈ carrier R
shows poly-eval R S g (poly-scalar-mult R k (mset-to-IP R m)) ∈ Pring-set R (I
– S)
⟨proof⟩

lemma finsum-split:
assumes finite S
assumes f ∈ S → carrier R
assumes g ∈ S → carrier R
assumes k ∈ carrier R
assumes c ∈ S
assumes ⋀s. s ∈ S ∧ s ≠ c ⇒ f s = g s
assumes g c = f c ⊕ k
shows finsum R g S = k ⊕ finsum R f S
⟨proof⟩

lemma poly-monom-induction:
assumes P (indexed-const 0)

```

assumes $\bigwedge m k. \text{set-mset } m \subseteq I \wedge k \in \text{carrier } R \implies P (\text{poly-scalar-mult } R k (\text{mset-to-IP } R m))$
assumes $\bigwedge Q m k. Q \in \text{Pring-set } R I \wedge (P Q) \wedge \text{set-mset } m \subseteq I \wedge k \in \text{carrier } R \implies P (Q \oplus (\text{poly-scalar-mult } R k (\text{mset-to-IP } R m)))$
shows $\bigwedge Q. Q \in \text{Pring-set } R I \implies P Q$
(proof)

lemma *Pring-car-induct*:

assumes $q \in \text{carrier } (\text{Pring } R I)$
assumes $P \mathbf{0}_{\text{Pring } R I}$
assumes $\bigwedge m k. \text{set-mset } m \subseteq I \wedge k \in \text{carrier } R \implies P (k \odot_{\text{Pring } R I} (\text{mset-to-IP } R m))$
assumes $\bigwedge Q m k. Q \in \text{carrier } (\text{Pring } R I) \wedge (P Q) \wedge \text{set-mset } m \subseteq I \wedge k \in \text{carrier } R \implies P (Q \oplus (k \odot_{\text{Pring } R I} (\text{mset-to-IP } R m)))$
shows $P q$
(proof)

lemma *poly-monom-induction2*:

assumes $P (\text{indexed-const } \mathbf{0})$
assumes $\bigwedge m k. \text{set-mset } m \subseteq I \wedge k \in \text{carrier } R \implies P (\text{poly-scalar-mult } R k (\text{mset-to-IP } R m))$
assumes $\bigwedge Q m k. Q \in \text{Pring-set } R I \wedge (P Q) \wedge \text{set-mset } m \subseteq I \wedge k \in \text{carrier } R \implies P (Q \oplus (\text{poly-scalar-mult } R k (\text{mset-to-IP } R m)))$
assumes $Q \in \text{Pring-set } R I$
shows $P Q$
(proof)

lemma *poly-monom-induction3*:

assumes $Q \in \text{Pring-set } R I$
assumes $P (\text{indexed-const } \mathbf{0})$
assumes $\bigwedge m k. \text{set-mset } m \subseteq I \wedge k \in \text{carrier } R \implies P (\text{poly-scalar-mult } R k (\text{mset-to-IP } R m))$
assumes $\bigwedge p q. p \in \text{Pring-set } R I \implies (P p) \implies q \in \text{Pring-set } R I \implies (P q) \implies P (p \oplus q)$
shows $P Q$
(proof)

lemma *Pring-car-induct'*:

assumes $Q \in \text{carrier } (\text{Pring } R I)$
assumes $P \mathbf{0}_{\text{Pring } R I}$
assumes $\bigwedge m k. \text{set-mset } m \subseteq I \wedge k \in \text{carrier } R \implies P (k \odot_{\text{Pring } R I} (\text{mset-to-IP } R m))$
assumes $\bigwedge p q. p \in \text{carrier } (\text{Pring } R I) \implies (P p) \implies q \in \text{carrier } (\text{Pring } R I) \implies (P q) \implies P (p \oplus_{\text{Pring } R I} q)$
shows $P Q$
(proof)

lemma *poly-eval-mono*:

```

assumes  $P \in \text{Pring-set } R I$ 
assumes  $\text{closed-fun } R g$ 
assumes  $\text{finite } F$ 
assumes  $\text{monomials-reducing-to } R m P S \subseteq F$ 
assumes  $\bigwedge n. n \in F \implies \text{remove-indices } n S = m$ 
shows  $\text{poly-eval } R S g P m = (\bigoplus_{n \in F} \text{monom-eval } R (\text{restrict-to-indices } n S)$ 
 $g \otimes P n)$ 
{proof}

```

```

lemma finsum-group:
assumes  $\bigwedge n. f n \in \text{carrier } R$ 
assumes  $\bigwedge n. g n \in \text{carrier } R$ 
shows  $\text{finite } S \implies \text{finsum } R f S \oplus \text{finsum } R g S = \text{finsum } R (\lambda n. f n \oplus g n) S$ 
{proof}

```

```

lemma poly-eval-add:
assumes  $P \in \text{Pring-set } R I$ 
assumes  $Q \in \text{Pring-set } R I$ 
assumes  $\text{closed-fun } R g$ 
shows  $\text{poly-eval } R S g (P \oplus Q) = \text{poly-eval } R S g P \oplus \text{poly-eval } R S g Q$ 
{proof}

```

```

lemma poly-eval-Pring-add:
assumes  $P \in \text{carrier } (\text{Pring } R I)$ 
assumes  $Q \in \text{carrier } (\text{Pring } R I)$ 
assumes  $\text{closed-fun } R g$ 
shows  $\text{poly-eval } R S g (P \oplus_{\text{Pring } R I} Q) = \text{poly-eval } R S g P \oplus_{\text{Pring } R I}$ 
 $\text{poly-eval } R S g Q$ 
{proof}

```

Closure of partial evaluation maps:

```

lemma(in cring) poly-eval-closed:
assumes  $\text{closed-fun } R g$ 
assumes  $P \in \text{Pring-set } R I$ 
shows  $\text{poly-eval } R S g P \in \text{Pring-set } R (I - S)$ 
{proof}

```

```

lemma poly-scalar-mult-indexed-pmult:
assumes  $P \in \text{Pring-set } R I$ 
assumes  $k \in \text{carrier } R$ 
shows  $\text{poly-scalar-mult } R k (P \otimes i) = (\text{poly-scalar-mult } R k P) \otimes i$ 
{proof}

```

```

lemma remove-indices-add-mset:
assumes  $i \notin S$ 
shows  $\text{remove-indices } (\text{add-mset } i m) S = \text{add-mset } i (\text{remove-indices } m S)$ 
{proof}

```

```

lemma poly-eval-monom-insert:

```

```

assumes closed-fun R g
assumes 1 ≠ 0
assumes i ∈ S
shows poly-eval R S g (mset-to-IP R (add-mset i m))
= poly-scalar-mult R (g i)
  (poly-eval R S g (mset-to-IP R m))
⟨proof⟩

```

```

lemma poly-eval-monom-insert':
assumes closed-fun R g
assumes 1 ≠ 0
assumes i ∉ S
shows poly-eval R S g (mset-to-IP R (add-mset i m))
= (poly-eval R S g (mset-to-IP R m)) ⊗ i
⟨proof⟩

```

```

lemma poly-eval-indexed-pmult-monomial:
assumes closed-fun R g
assumes k ∈ carrier R
assumes i ∈ S
assumes 1 ≠ 0
shows poly-eval R S g (poly-scalar-mult R k (mset-to-IP R m) ⊗ i) =
  poly-scalar-mult R (g i) (poly-eval R S g (poly-scalar-mult R k (mset-to-IP
R m)))
⟨proof⟩

```

```

lemma poly-eval-indexed-pmult-monomial':
assumes closed-fun R g
assumes k ∈ carrier R
assumes i ∉ S
assumes 1 ≠ 0
shows poly-eval R S g (poly-scalar-mult R k (mset-to-IP R m) ⊗ i) =
  (poly-eval R S g (poly-scalar-mult R k (mset-to-IP R m))) ⊗ i
⟨proof⟩

```

```

lemma indexed-pmult-add:
assumes p ∈ Pring-set R I
assumes q ∈ Pring-set R I
shows p ⊕ q ⊗ i = (p ⊗ i) ⊕ (q ⊗ i)
⟨proof⟩

```

```

lemma poly-eval-indexed-pmult:
assumes P ∈ Pring-set R I
assumes closed-fun R g
shows poly-eval R S g (P ⊗ i) = (if i ∈ S then poly-scalar-mult R (g i)
  (poly-eval R S g P) else (poly-eval R S g P) ⊗ i )
⟨proof⟩

```

```

lemma poly-eval-index:

```

```

assumes 1 ≠0
assumes closed-fun R g
shows poly-eval R S g (mset-to-IP R {#i#}) = (if i ∈ S then (indexed-const (g i)) else mset-to-IP R {#i#})
⟨proof⟩

lemma poly-eval-indexed-pmult':
assumes P ∈ Pring-set R I
assumes closed-fun R g
assumes i ∈ I
shows poly-eval R S g (P ⊗p (mset-to-IP R {#i#})) = poly-eval R S g P
⊗p poly-eval R S g (mset-to-IP R {#i#})
⟨proof⟩

lemma poly-eval-monom-mult:
assumes P ∈ Pring-set R I
assumes closed-fun R g
shows poly-eval R S g (P ⊗p (mset-to-IP R m)) = poly-eval R S g P ⊗p
poly-eval R S g (mset-to-IP R m)
⟨proof⟩

abbreviation mon-term (⟨Mt⟩) where
Mt k m ≡ poly-scalar-mult R k (mset-to-IP R m)

lemma poly-eval-monom-term-mult:
assumes P ∈ Pring-set R I
assumes closed-fun R g
assumes k ∈ carrier R
shows poly-eval R S g (P ⊗p (Mt k m)) = poly-eval R S g P ⊗p poly-eval
R S g (Mt k m)
⟨proof⟩

lemma poly-eval-mult:
assumes P ∈ Pring-set R I
assumes Q ∈ Pring-set R I
assumes closed-fun R g
shows poly-eval R S g (P ⊗p Q) = poly-eval R S g P ⊗p poly-eval R S g Q
⟨proof⟩

lemma poly-eval-Pring-mult:
assumes P ∈ Pring-set R I
assumes Q ∈ Pring-set R I
assumes closed-fun R g
shows poly-eval R S g (P ⊗Pring R I Q) = poly-eval R S g P ⊗Pring R I poly-eval
R S g Q
⟨proof⟩

lemma poly-eval-smult:
assumes P ∈ Pring-set R I

```

```

assumes  $a \in \text{carrier } R$ 
assumes  $\text{closed-fun } R g$ 
shows  $\text{poly-eval } R S g (a \odot_{\text{Pring}} R I P) = a \odot_{\text{Pring}} R I \text{ poly-eval } R S g P$ 
 $\langle \text{proof} \rangle$ 

```

2.11.6 Partial Evaluation is a Homomorphism

```

lemma  $\text{poly-eval-ring-hom}:$ 
assumes  $I \subseteq J$ 
assumes  $\text{closed-fun } R g$ 
assumes  $J - S \subseteq I$ 
shows  $\text{ring-hom-ring } (\text{Pring } R J) (\text{Pring } R I) (\text{poly-eval } R S g)$ 
 $\langle \text{proof} \rangle$ 

```

`poly_eval` R at the zero function is an inverse to the inclusion of polynomial rings

```

lemma  $\text{poly-eval-zero-function}:$ 
assumes  $g = (\lambda n. \mathbf{0})$ 
assumes  $J - S = I$ 
shows  $P \in \text{Pring-set } R I \implies \text{poly-eval } R S g P = P$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma  $\text{poly-eval-eval-function-eq}:$ 
assumes  $\text{closed-fun } R g$ 
assumes  $\text{closed-fun } R g'$ 
assumes  $\text{restrict } g S = \text{restrict } g' S$ 
assumes  $P \in \text{Pring-set } R I$ 
shows  $\text{poly-eval } R S g P = \text{poly-eval } R S g' P$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma  $\text{poly-eval-eval-set-eq}:$ 
assumes  $\text{closed-fun } R g$ 
assumes  $S \cap I = S' \cap I$ 
assumes  $P \in \text{Pring-set } R I$ 
assumes  $\mathbf{1} \neq \mathbf{0}$ 
shows  $\text{poly-eval } R S g P = \text{poly-eval } R S' g P$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma  $\text{poly-eval-trivial}:$ 
assumes  $\text{closed-fun } R g$ 
assumes  $P \in \text{Pring-set } R (I - S)$ 
shows  $\text{poly-eval } R S g P = P$ 
 $\langle \text{proof} \rangle$ 

```

2.11.7 Total Evaluation of a Polynomial

```

lemma  $\text{zero-fun-closed}:$ 
closed-fun  $R (\lambda n. \mathbf{0})$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma deg-zero-cf-eval:
  shows  $P \in \text{Pring-set } R I \implies \text{poly-eval } R I (\lambda n. \mathbf{0}) P = \text{indexed-const } (P \{\#\})$ 
   $\langle \text{proof} \rangle$ 

lemma deg-zero-cf-mult:
  assumes  $P \in \text{Pring-set } R I$ 
  assumes  $Q \in \text{Pring-set } R I$ 
  shows  $(P \otimes_p Q) \{\#\} = P \{\#\} \otimes Q \{\#\}$ 
   $\langle \text{proof} \rangle$ 

definition deg-zero-cf :: ('a, 'c) mvar-poly  $\Rightarrow$  'a where
deg-zero-cf  $P = P \{\#\}$ 

lemma deg-zero-cf-ring-hom:
  shows ring-hom-ring (Pring R I) R (deg-zero-cf)
   $\langle \text{proof} \rangle$ 

end

definition eval-in-ring :: 
  ('a,'b) ring-scheme  $\Rightarrow$  'c set  $\Rightarrow$  ('c  $\Rightarrow$  'a)  $\Rightarrow$  ('a, 'c) mvar-poly  $\Rightarrow$  'a where
eval-in-ring  $R S g P = (\text{poly-eval } R S g P) \{\#\}$ 

definition total-eval :: 
  ('a,'b) ring-scheme  $\Rightarrow$  ('c  $\Rightarrow$  'a)  $\Rightarrow$  ('a, 'c) mvar-poly  $\Rightarrow$  'a where
total-eval  $R g P = \text{eval-in-ring } R \text{ UNIV } g P$ 

context cring
begin

lemma eval-in-ring-ring-hom:
  assumes closed-fun R g
  shows ring-hom-ring (Pring R I) R (eval-in-ring R S g)
   $\langle \text{proof} \rangle$ 

lemma eval-in-ring-smult:
  assumes  $P \in \text{carrier } (\text{Pring } R I)$ 
  assumes  $a \in \text{carrier } R$ 
  assumes closed-fun R g
  shows eval-in-ring R S g  $(a \odot_{\text{Pring } R I} P) = a \otimes \text{eval-in-ring } R S g P$ 
   $\langle \text{proof} \rangle$ 

lemma total-eval-ring-hom:
  assumes closed-fun R g
  shows ring-hom-ring (Pring R I) R (total-eval R g)
   $\langle \text{proof} \rangle$ 

```

```

lemma total-eval-smult:
  assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
  assumes  $a \in \text{carrier } R$ 
  assumes  $\text{closed-fun } R g$ 
  shows  $\text{total-eval } R g (a \odot_{\text{Pring } R I} P) = a \otimes \text{total-eval } R g P$ 
   $\langle \text{proof} \rangle$ 

lemma total-eval-const:
  assumes  $k \in \text{carrier } R$ 
  shows  $\text{total-eval } R g (\text{indexed-const } k) = k$ 
   $\langle \text{proof} \rangle$ 

lemma total-eval-var:
  assumes  $\text{closed-fun } R g$ 
  shows  $(\text{total-eval } R g (\text{mset-to-IP } R \{\#i\#})) = g i$ 
   $\langle \text{proof} \rangle$ 

lemma total-eval-indexed-pmult:
  assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
  assumes  $i \in I$ 
  assumes  $\text{closed-fun } R g$ 
  shows  $\text{total-eval } R g (P \otimes i) = \text{total-eval } R g P \otimes_R g i$ 
   $\langle \text{proof} \rangle$ 

lemma total-eval-mult:
  assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
  assumes  $Q \in \text{carrier}(\text{Pring } R I)$ 
  assumes  $\text{closed-fun } R g$ 
  shows  $\text{total-eval } R g (P \otimes_{\text{Pring } R I} Q) = (\text{total-eval } R g P) \otimes_R (\text{total-eval } R g Q)$ 
   $\langle \text{proof} \rangle$ 

lemma total-eval-add:
  assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
  assumes  $Q \in \text{carrier}(\text{Pring } R I)$ 
  assumes  $\text{closed-fun } R g$ 
  shows  $\text{total-eval } R g (P \oplus_{\text{Pring } R I} Q) = (\text{total-eval } R g P) \oplus_R (\text{total-eval } R g Q)$ 
   $\langle \text{proof} \rangle$ 

lemma total-eval-one:
  assumes  $\text{closed-fun } R g$ 
  shows  $\text{total-eval } R g \mathbf{1}_{\text{Pring } R I} = \mathbf{1}$ 
   $\langle \text{proof} \rangle$ 

lemma total-eval-zero:
  assumes  $\text{closed-fun } R g$ 
  shows  $\text{total-eval } R g \mathbf{0}_{\text{Pring } R I} = \mathbf{0}$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma total-eval-closed:
  assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
  assumes  $\text{closed-fun } R g$ 
  shows  $\text{total-eval } R g P \in \text{carrier } R$ 
   $\langle \text{proof} \rangle$ 

```

2.12 Constructing Homomorphisms from Indexed Polynomial Rings and a Universal Property

The inclusion of R into its polynomial ring

```

lemma indexed-const-ring-hom:
   $\text{ring-hom-ring } R (\text{Pring } R I) (\text{indexed-const})$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma indexed-const-inj-on:
   $\text{inj-on } (\text{indexed-const}) (\text{carrier } R)$ 
   $\langle \text{proof} \rangle$ 

```

end

2.12.1 Mapping $R[x] \rightarrow S[x]$ along a homomorphism $R \rightarrow S$

```

definition ring-hom-to-IP-ring-hom :: 
   $('a, 'e) \text{ ring-hom} \Rightarrow ('a, 'c) \text{ mvar-poly} \Rightarrow 'c \text{ monomial} \Rightarrow 'e \text{ where}$ 
   $\text{ring-hom-to-IP-ring-hom } \varphi P m = \varphi (P m)$ 

```

```

context cring
begin

```

```

lemma ring-hom-to-IP-ring-hom-one:
  assumes cring  $S$ 
  assumes ring-hom-ring  $R S \varphi$ 
  shows ring-hom-to-IP-ring-hom  $\varphi \mathbf{1}_{\text{Pring } R I} = \mathbf{1}_{\text{Pring } S I}$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma ring-hom-to-IP-ring-hom-constant:
  assumes cring  $S$ 
  assumes ring-hom-ring  $R S \varphi$ 
  assumes  $a \in \text{carrier } R$ 
  shows ring-hom-to-IP-ring-hom  $\varphi ((\text{indexed-const } a):: 'c \text{ monomial} \Rightarrow 'a) =$ 
   $\text{ring.indexed-const } S (\varphi a)$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma ring-hom-to-IP-ring-hom-add:
  assumes cring  $S$ 
  assumes ring-hom-ring  $R S \varphi$ 
  assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
  assumes  $Q \in \text{carrier}(\text{Pring } R I)$ 

```

shows *ring-hom-to-IP-ring-hom* $\varphi (P \oplus_{\text{Pring } R I} Q) =$
 $(\text{ring-hom-to-IP-ring-hom } \varphi P) \oplus_{\text{Pring } S I} (\text{ring-hom-to-IP-ring-hom } \varphi Q)$
 $\langle \text{proof} \rangle$

lemma *ring-hom-to-IP-ring-hom-closed*:
assumes *cring* S
assumes *ring-hom-ring* $R S \varphi$
assumes $P \in \text{carrier} (\text{Pring } R I)$
shows *ring-hom-to-IP-ring-hom* $\varphi P \in \text{carrier} (\text{Pring } S I)$
 $\langle \text{proof} \rangle$

lemma *ring-hom-to-IP-ring-hom-monom*:
assumes *cring* S
assumes *ring-hom-ring* $R S \varphi$
shows *ring-hom-to-IP-ring-hom* $\varphi (\text{mset-to-IP } R m) = \text{mset-to-IP } S m$
 $\langle \text{proof} \rangle$

lemma *Pring-morphism*:
assumes *cring* S
assumes $\varphi \in (\text{carrier} (\text{Pring } R I)) \rightarrow (\text{carrier } S)$
assumes $\varphi \mathbf{1}_{\text{Pring } R I} = \mathbf{1}_S$
assumes $\varphi \mathbf{0}_{\text{Pring } R I} = \mathbf{0}_S$
assumes $\bigwedge P Q. P \in \text{carrier} (\text{Pring } R I) \implies Q \in \text{carrier} (\text{Pring } R I) \implies$
 $\varphi (P \oplus_{\text{Pring } R I} Q) = (\varphi P) \oplus_S (\varphi Q)$
assumes $\bigwedge i. \bigwedge P. i \in I \implies P \in \text{carrier} (\text{Pring } R I) \implies \varphi (P \otimes i) = (\varphi P) \otimes_S (\varphi (\text{mset-to-IP } R \{\#i\#}))$
assumes $\bigwedge k Q. k \in \text{carrier } R \implies Q \in \text{carrier} (\text{Pring } R I) \implies \varphi (\text{poly-scalar-mult } R k Q) =$
 $(\varphi (\text{indexed-const } k)) \otimes_S (\varphi Q)$
shows *ring-hom-ring* $(\text{Pring } R I) S \varphi$
 $\langle \text{proof} \rangle$

lemma(in cring) *indexed-const-Pring-mult*:
assumes $k \in \text{carrier } R$
assumes $P \in \text{carrier} (\text{Pring } R I)$
shows $(\text{indexed-const } k \otimes_{\text{Pring } R I} P) m = k \otimes_R (P m)$
 $(P \otimes_{\text{Pring } R I} \text{indexed-const } k) m = k \otimes_R (P m)$
 $\langle \text{proof} \rangle$

lemma(in cring) *ring-hom-to-IP-ring-hom-is-hom*:
assumes *cring* S
assumes *ring-hom-ring* $R S \varphi$
shows *ring-hom-ring* $(\text{Pring } R I) (\text{Pring } S I) (\text{ring-hom-to-IP-ring-hom } \varphi)$
 $\langle \text{proof} \rangle$

lemma *ring-hom-to-IP-ring-hom-smult*:
assumes *cring* S
assumes *ring-hom-ring* $R S \varphi$
assumes $P \in \text{carrier} (\text{Pring } R I)$

```

assumes  $a \in \text{carrier } R$ 
shows  $\text{ring-hom-to-IP-ring-hom } \varphi (a \odot_{\text{Pring } R} I^P) =$ 
 $\varphi a \odot_{\text{Pring } S} I (\text{ring-hom-to-IP-ring-hom } \varphi P)$ 
⟨proof⟩

```

2.12.2 A Universal Property for Indexed Polynomial Rings

```

lemma  $\text{Pring-universal-prop-0}$ :
assumes  $a\text{-cring: cring } S$ 
assumes  $\text{index-map: closed-fun } S g$ 
assumes  $\text{ring-hom: ring-hom-ring } R S \varphi$ 
assumes  $\psi = (\text{total-eval } S g) \circ (\text{ring-hom-to-IP-ring-hom } \varphi)$ 
shows  $(\text{ring-hom-ring } (\text{Pring } R I) S \psi)$ 
 $(\forall i \in I. \psi (\text{mset-to-IP } R \{\#i\}) = g i)$ 
 $(\forall a \in \text{carrier } R. \psi (\text{indexed-const } a) = \varphi a)$ 
 $\forall \varrho. (\text{ring-hom-ring } (\text{Pring } R I) S \varrho) \wedge$ 
 $(\forall i \in I. \varrho (\text{mset-to-IP } R \{\#i\}) = g i) \wedge$ 
 $(\forall a \in \text{carrier } R. \varrho (\text{indexed-const } a) = \varphi a) \longrightarrow$ 
 $(\forall x \in \text{carrier } (\text{Pring } R I). \varrho x = \psi x)$ 
⟨proof⟩

end

definition  $\text{close-fun} :: 'c \text{ set} \Rightarrow ('e, 'f) \text{ ring-scheme} \Rightarrow ('c \Rightarrow 'e) \Rightarrow ('c \Rightarrow 'e)$ 
where
 $\text{close-fun } I S g = (\lambda i. (\text{if } i \in I \text{ then } g i \text{ else } \mathbf{0}_S))$ 

context  $\text{cring}$ 
begin

lemma  $\text{close-funE}$ :
assumes  $\text{cring } S$ 
assumes  $g \in I \rightarrow \text{carrier } S$ 
shows  $\text{closed-fun } S (\text{close-fun } I S g)$ 
⟨proof⟩

end

definition  $\text{indexed-poly-induced-morphism} ::$ 
 $'c \text{ set} \Rightarrow ('e, 'f) \text{ ring-scheme} \Rightarrow ('a, 'e) \text{ ring-hom} \Rightarrow ('c \Rightarrow 'e) \Rightarrow (('a, 'c) \text{ mvar-poly},$ 
 $'e) \text{ ring-hom}$  where
 $\text{indexed-poly-induced-morphism } I S \varphi g = (\text{total-eval } S (\text{close-fun } I S g)) \circ (\text{ring-hom-to-IP-ring-hom } \varphi)$ 

context  $\text{cring}$ 
begin

lemma  $\text{Pring-universal-prop}$ :
assumes  $a\text{-cring: cring } S$ 

```

```

assumes index-map:  $g \in I \rightarrow \text{carrier } S$ 
assumes ring-hom:  $\text{ring-hom-ring } R \ S \ \varphi$ 
assumes  $\psi = \text{indexed-poly-induced-morphism } I \ S \ \varphi \ g$ 
shows  $(\text{ring-hom-ring } (\text{Pring } R \ I) \ S \ \psi)$ 
   $(\forall i \in I. \psi(\text{mset-to-IP } R \ \{\#i\#}) = g \ i)$ 
   $(\forall a \in \text{carrier } R. \psi(\text{indexed-const } a) = \varphi \ a)$ 
   $\forall \varrho. (\text{ring-hom-ring } (\text{Pring } R \ I) \ S \ \varrho) \wedge$ 
     $(\forall i \in I. \varrho(\text{mset-to-IP } R \ \{\#i\#}) = g \ i) \wedge$ 
     $(\forall a \in \text{carrier } R. \varrho(\text{indexed-const } a) = \varphi \ a) \longrightarrow$ 
     $(\forall x \in \text{carrier } (\text{Pring } R \ I). \varrho \ x = \psi \ x)$ 

```

$\langle \text{proof} \rangle$

2.13 Mapping Multivariate Polynomials over a Single Variable to Univariate Polynomials

Constructor for multisets which have one distinct element

```

definition nat-to-mset :: ' $c \Rightarrow \text{nat} \Rightarrow 'c \text{ monomial}$  where
  nat-to-mset  $i \ n = \text{Abs-mmultiset } (\lambda j. \text{if } (j = i) \text{ then } n \text{ else } 0)$ 

```

```

lemma nat-to-msetE:  $\text{count } (\text{nat-to-mset } i \ n) \ i = n$ 

```

$\langle \text{proof} \rangle$

```

lemma nat-to-msetE':

```

```

assumes  $j \neq i$ 
shows  $\text{count } (\text{nat-to-mset } i \ n) \ j = 0$ 

```

$\langle \text{proof} \rangle$

```

lemma nat-to-mset-add:  $\text{nat-to-mset } i \ (n + m) = (\text{nat-to-mset } i \ n) + (\text{nat-to-mset } i \ m)$ 

```

$\langle \text{proof} \rangle$

```

lemma nat-to-mset-inj:

```

```

assumes  $n \neq m$ 
shows  $(\text{nat-to-mset } i \ n) \neq (\text{nat-to-mset } i \ m)$ 

```

$\langle \text{proof} \rangle$

```

lemma nat-to-mset-zero:  $\text{nat-to-mset } i \ 0 = \{\#\}$ 

```

$\langle \text{proof} \rangle$

```

lemma nat-to-mset-Suc:  $\text{nat-to-mset } i \ (\text{Suc } n) = \text{add-mset } i \ (\text{nat-to-mset } i \ n)$ 

```

$\langle \text{proof} \rangle$

```

lemma nat-to-mset-Pring-singleton:

```

```

assumes cring  $R$ 
assumes  $P \in \text{carrier } (\text{Pring } R \ \{i\})$ 
assumes  $m \in \text{monomials-of } R \ P$ 
shows  $m = \text{nat-to-mset } i \ (\text{count } m \ i)$ 

```

$\langle \text{proof} \rangle$

```

definition IP-to-UP :: 'd  $\Rightarrow$  ('e, 'd) mvar-poly  $\Rightarrow$  'e u-poly where
IP-to-UP i P = ( $\lambda$  (n::nat). P (nat-to-mset i n))

lemma IP-to-UP-closed:
assumes cring R
assumes P  $\in$  carrier (Pring R {i::'c})
shows IP-to-UP i P  $\in$  carrier (UP R)
⟨proof⟩

lemma IP-to-UP-var:
shows IP-to-UP i (mset-to-IP R {#i#}) = X-poly R
⟨proof⟩

end

context UP-cring
begin

lemma IP-to-UP-monom:
shows IP-to-UP i (mset-to-IP R (nat-to-mset i n)) = ((X-poly R)[ $\uparrow$ ]_R^n)
⟨proof⟩

lemma IP-to-UP-one:
IP-to-UP i 1_{Pring R {i}} = 1_{UP R}
⟨proof⟩

lemma IP-to-UP-zero:
IP-to-UP i 0_{Pring R {i}} = 0_{UP R}
⟨proof⟩

lemma IP-to-UP-add:
assumes x  $\in$  carrier (Pring R {i})
assumes y  $\in$  carrier (Pring R {i})
shows IP-to-UP i (x  $\oplus$ _{Pring R {i}} y) =
IP-to-UP i x  $\oplus$ _{UP R} IP-to-UP i y
⟨proof⟩

lemma IP-to-UP-indexed-const:
assumes k  $\in$  carrier R
shows IP-to-UP i (ring.indexed-const R k) = to-polynomial R k
⟨proof⟩

lemma IP-to-UP-indexed-pmult:
assumes p  $\in$  carrier (Pring R {i})
shows IP-to-UP i (ring.indexed-pmult R p i) = (IP-to-UP i p)  $\otimes$ _{UP R} (X-poly R)
⟨proof⟩

lemma IP-to-UP-ring-hom:

```

```

shows ring-hom-ring ( $\text{Pring } R \{i\}$ ) ( $\text{UP } R$ ) ( $\text{IP-to-UP } i$ )
⟨proof⟩

lemma IP-to-UP-ring-hom-inj:
shows inj-on ( $\text{IP-to-UP } i$ ) ( $\text{carrier } (\text{Pring } R \{i\})$ )
⟨proof⟩

lemma IP-to-UP-scalar-mult:
assumes  $a \in \text{carrier } R$ 
assumes  $p \in \text{carrier } (\text{Pring } R \{i\})$ 
shows ( $\text{IP-to-UP } i (a \odot_{\text{Pring } R \{i\}} p)$ ) =  $a \odot_{\text{UP } R} (\text{IP-to-UP } i p)$ 
⟨proof⟩

```

end

Evaluation of indexed polynomials commutes with evaluation of univariate polynomials:

```

lemma pvar-closed:
assumes cring  $R$ 
assumes  $i \in I$ 
shows ( $\text{pvar } R i$ )  $\in \text{carrier } (\text{Pring } R I)$ 
⟨proof⟩

context UP-cring
begin

lemma pvar-mult:
assumes  $i \in I$ 
assumes  $j \in I$ 
shows ( $\text{pvar } R i$ )  $\otimes_{\text{Pring } R I} (\text{pvar } R j)$  = mset-to-IP  $R \{\#i, j\#}$ 
⟨proof⟩

lemma pvar-pow:
assumes  $i \in I$ 
shows ( $\text{pvar } R i$ ) [ $\uparrow_{\text{Pring } R I(n::nat)}$ ] = mset-to-IP  $R (\text{nat-to-mset } i n)$ 
⟨proof⟩

lemma IP-to-UP-poly-eval:
assumes  $p \in \text{Pring-set } R \{i\}$ 
assumes closed-fun  $R g$ 
shows total-eval  $R g p$  = to-function  $R (\text{IP-to-UP } i p) (g i)$ 
⟨proof⟩

```

end

2.14 Mapping Univariate Polynomials to Multivariate Polynomials over a Singleton Variable Set

definition *UP-to-IP* :: ('a, 'b) ring-scheme \Rightarrow 'c \Rightarrow 'a u-poly \Rightarrow ('a, 'c) mvar-poly

where

UP-to-IP R i P = ($\lambda m. \text{if } (\text{set-mset } m) \subseteq \{i\} \text{ then } P (\text{count } m i) \text{ else } \mathbf{0}_R$)

context *UP-cring*

begin

lemma *UP-to-IP-inv*:

assumes $p \in \text{Pring-set } R \{i\}$

shows *UP-to-IP R i (IP-to-UP i p)* = p

$\langle \text{proof} \rangle$

lemma *UP-to-IP-const*:

assumes $a \in \text{carrier } R$

shows *UP-to-IP R i (to-polynomial R a)* = *ring.indexed-const R a*

$\langle \text{proof} \rangle$

lemma *UP-to-IP-add*:

assumes $p \in \text{carrier } (\text{UP } R)$

assumes $Q \in \text{carrier } (\text{UP } R)$

shows *UP-to-IP R i (p $\oplus_{\text{UP } R} Q$)* = *UP-to-IP R i p $\oplus_{\text{Pring } R \{i\}}$ UP-to-IP R i Q*

$\langle \text{proof} \rangle$

lemma *UP-to-IP-var*:

shows *UP-to-IP R i (X-poly R)* = *pvar R i*

$\langle \text{proof} \rangle$

lemma *UP-to-IP-var-pow*:

shows *UP-to-IP R i ((X-poly R)[\uparrow]_{UP R} (n::nat))* = *(pvar R i)[\uparrow]_{Pring R {i}} n*

$\langle \text{proof} \rangle$

lemma *one-var-indexed-poly-monom-simp*:

assumes $a \in \text{carrier } R$

shows $(a \odot_{\text{Pring } R \{i\}} ((\text{pvar } R i)[\uparrow]_{\text{Pring } R \{i\}} n)) x = (\text{if } x = (\text{nat-to-mset } i n) \text{ then } a \text{ else } \mathbf{0})$

$\langle \text{proof} \rangle$

lemma *UP-to-IP-monom*:

assumes $a \in \text{carrier } R$

shows *UP-to-IP R i (up-ring.monom (UP R) a n)* = $a \odot_{\text{Pring } R \{i\}} ((\text{pvar } R i)[\uparrow]_{\text{Pring } R \{i\}} n)$

$\langle \text{proof} \rangle$

lemma *UP-to-IP-monom'*:

```

assumes  $a \in \text{carrier } R$ 
shows  $\text{UP-to-IP } R i (\text{up-ring.monom } (\text{UP } R) a n) = a \odot_{\text{Pring } R \{i\}} ((\text{pvar } R$ 
 $i)[\lceil]_{\text{Pring } R \{i\}}^n)$ 
 $\langle proof \rangle$ 

lemma UP-to-IP-closed:
assumes  $p \in \text{carrier } P$ 
shows  $(\text{UP-to-IP } R i p) \in \text{carrier } (\text{Pring } R \{i\})$ 
 $\langle proof \rangle$ 

lemma IP-to-UP-inv:
assumes  $p \in \text{carrier } P$ 
shows  $\text{IP-to-UP } i (\text{UP-to-IP } R i p) = p$ 
 $\langle proof \rangle$ 

lemma UP-to-IP-mult:
assumes  $p \in \text{carrier } (\text{UP } R)$ 
assumes  $Q \in \text{carrier } (\text{UP } R)$ 
shows  $\text{UP-to-IP } R i (p \otimes_{\text{UP } R} Q) =$ 
 $\text{UP-to-IP } R i p \otimes_{\text{Pring } R \{i\}} \text{UP-to-IP } R i Q$ 
 $\langle proof \rangle$ 

lemma UP-to-IP-ring-hom:
shows  $\text{ring-hom-ring } (\text{UP } R) (\text{Pring } R \{i\}) (\text{UP-to-IP } R i)$ 
 $\langle proof \rangle$ 

end

```

2.14.1 The isomorphism $R[I \cup J] \sim R[I][J]$, where I and J are disjoint variable sets

Given a ring R and variable sets I and J , we'd like to construct the canonical (iso)morphism $R[I \cup J] \rightarrow R[I][J]$. This can be done with the univeral property of the previous section. Let $\phi : R \rightarrow R[J]$ be the inclusion of constants, and $f : J \rightarrow R[I]$ be the map which sends the variable i to the polynomial variable i over the ring $R[I][J]$. Then these are the two basic pieces of input required to give us a canonical homomoprhism $R[I \cup J] \rightarrow R[I][J]$ with the universal property. The first map ϕ will be "dist_varset_morpshim" below, and the second map will be "dist_varset_var_ass". The desired induced isomorphism will be called "var_factor".

```

definition(in ring) dist-varset-morphism
:: 'd set  $\Rightarrow$  'd set  $\Rightarrow$ 
('a, (('a, 'd) mvar-poly, 'd) mvar-poly) ring-hom where
dist-varset-morphism (I:: 'd set) (J:: 'd set) =
(ring.indexed-const (Pring R J) :: ('d multiset  $\Rightarrow$  'a)  $\Rightarrow$  'd multiset  $\Rightarrow$  ('d
multiset  $\Rightarrow$  'a))  $\circ$  (ring.indexed-const R :: 'a  $\Rightarrow$  'd multiset  $\Rightarrow$  'a)

```

```

definition(in ring) dist-varset-var-ass
  :: 'd set  $\Rightarrow$  'd set  $\Rightarrow$  'd  $\Rightarrow$  (('a, 'd) mvar-poly, 'd) mvar-poly
  where
    dist-varset-var-ass (I:: 'd set) (J:: 'd set) = ( $\lambda i.$  if  $i \in J$  then ring.indexed-const
    (Pring R J) (pvar R i) else
      pvar (Pring R J) i )
context cring
begin

lemma dist-varset-morphism-is-morphism:
  assumes (I:: 'd set)  $\subseteq$  J0  $\cup$  J1
  assumes J1  $\subseteq$  I
  assumes  $\varphi$  = dist-varset-morphism I J0
  shows ring-hom-ring R (Pring (Pring R J0) J1)  $\varphi$ 
   $\langle proof \rangle$ 

definition var-factor :: 
  'd set  $\Rightarrow$  'd set  $\Rightarrow$  'd set  $\Rightarrow$ 
    (('a, 'd) mvar-poly, (('a, 'd) mvar-poly, 'd) mvar-poly) ring-hom where
  var-factor (I:: 'd set) (J0:: 'd set) (J1:: 'd set) = indexed-poly-induced-morphism
  I (Pring (Pring R J0) J1)
    (dist-varset-morphism I J0)
  (dist-varset-var-ass I J0)

lemma indexed-const-closed:
  assumes  $x \in carrier R$ 
  shows indexed-const  $x \in carrier$  (Pring R I)
   $\langle proof \rangle$ 

lemma var-factor-morphism:
  assumes (I:: 'd set)  $\subseteq$  J0  $\cup$  J1
  assumes J1  $\subseteq$  I
  assumes J1  $\cap$  J0 = {}
  assumes  $g$  = dist-varset-var-ass I J0
  assumes  $\varphi$  = dist-varset-morphism I J0
  assumes  $\psi$  = (var-factor I J0 J1)
  shows ring-hom-ring (Pring R I) (Pring (Pring R J0) J1)  $\psi$ 
     $\wedge i. i \in J0 \cap I \implies \psi (\text{pvar } R \ i) = \text{ring.indexed-const} (\text{Pring } R \ J0) (\text{pvar } R \ i)$ 
     $\wedge i. i \in J1 \implies \psi (\text{pvar } R \ i) = \text{pvar} (\text{Pring } R \ J0) \ i$ 
     $\wedge a. a \in carrier (\text{Pring } R (J0 \cap I)) \implies \psi a = \text{ring.indexed-const} (\text{Pring } R \ J0) \ a$ 
   $\langle proof \rangle$ 

lemma var-factor-morphism':
  assumes I = J0  $\cup$  J1
  assumes J1  $\subseteq$  I
  assumes J1  $\cap$  J0 = {}

```

```

assumes  $\psi = (\text{var-factor } I \ J0 \ J1)$ 
shows  $\text{ring-hom-ring } (\text{Pring } R \ I) \ (\text{Pring } (\text{Pring } R \ J0) \ J1) \ \psi$ 
 $\quad \wedge i. \ i \in J1 \implies \psi (\text{pvar } R \ i) = \text{pvar } (\text{Pring } R \ J0) \ i$ 
 $\quad \wedge a. \ a \in \text{carrier } (\text{Pring } R \ (J0 \cap I)) \implies \psi a = \text{ring.indexed-const } (\text{Pring } R$ 
 $J0) \ a$ 
 $\langle \text{proof} \rangle$ 

```

Constructing the inverse morphism for `var_factor_morphism`

```

lemma  $\text{pvar-ass-closed}:$ 
assumes  $J1 \subseteq I$ 
shows  $\text{pvar } R \in J1 \rightarrow \text{carrier } (\text{Pring } R \ I)$ 
 $\langle \text{proof} \rangle$ 

```

The following function gives us the inverse morphism $R[I][J] \rightarrow R[I \cup J]$:

```

definition  $\text{var-factor-inv} :: 'd \text{ set} \Rightarrow 'd \text{ set} \Rightarrow 'd \text{ set} \Rightarrow$ 
 $((('a, 'd) \text{ mvar-poly}, 'd) \text{ mvar-poly}, ('a, 'd) \text{ mvar-poly}) \text{ ring-hom where}$ 
 $\text{var-factor-inv } (I :: 'd \text{ set}) \ (J0 :: 'd \text{ set}) \ (J1 :: 'd \text{ set}) = \text{indexed-poly-induced-morphism}$ 
 $J1 \ (\text{Pring } R \ I)$ 
 $\quad (\text{id} :: ('d \text{ multiset} \Rightarrow 'a) \Rightarrow 'd \text{ multiset}$ 
 $\Rightarrow 'a)$ 
 $\quad (\text{pvar } R)$ 

```

```

lemma  $\text{var-factor-inv-morphism}:$ 
assumes  $I = J0 \cup J1$ 
assumes  $J1 \subseteq I$ 
assumes  $J1 \cap J0 = \{\}$ 
assumes  $\psi = (\text{var-factor-inv } I \ J0 \ J1)$ 
shows  $\text{ring-hom-ring } (\text{Pring } (\text{Pring } R \ J0) \ J1) \ (\text{Pring } R \ I) \ \psi$ 
 $\quad \wedge i. \ i \in J1 \implies \psi (\text{pvar } (\text{Pring } R \ J0) \ i) = \text{pvar } R \ i$ 
 $\quad \wedge a. \ a \in \text{carrier } (\text{Pring } R \ J0) \implies \psi (\text{ring.indexed-const } (\text{Pring } R \ J0) \ a) =$ 
 $a$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma  $\text{var-factor-inv-inverse}:$ 
assumes  $I = J0 \cup J1$ 
assumes  $J1 \subseteq I$ 
assumes  $J1 \cap J0 = \{\}$ 
assumes  $\psi_1 = (\text{var-factor-inv } I \ J0 \ J1)$ 
assumes  $\psi_0 = (\text{var-factor } I \ J0 \ J1)$ 
assumes  $P \in \text{carrier } (\text{Pring } R \ I)$ 
shows  $\psi_1 (\psi_0 P) = P$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma  $\text{var-factor-total-eval}:$ 
assumes  $I = J0 \cup J1$ 
assumes  $J1 \subseteq I$ 
assumes  $J1 \cap J0 = \{\}$ 
assumes  $\psi = (\text{var-factor } I \ J0 \ J1)$ 
assumes  $\text{closed-fun } R \ g$ 

```

```

assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
shows  $\text{total-eval } R g P = \text{total-eval } R g (\text{total-eval } (\text{Pring } R J0) (\text{indexed-const}$ 
 $\circ g) (\psi P))$ 
 $\langle \text{proof} \rangle$ 

lemma var-factor-closed:
assumes  $I = J0 \cup J1$ 
assumes  $J1 \subseteq I$ 
assumes  $J1 \cap J0 = \{\}$ 
assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
shows  $\text{var-factor } I J0 J1 P \in \text{carrier}(\text{Pring}(\text{Pring } R J0) J1)$ 
 $\langle \text{proof} \rangle$ 

lemma var-factor-add:
assumes  $I = J0 \cup J1$ 
assumes  $J1 \subseteq I$ 
assumes  $J1 \cap J0 = \{\}$ 
assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
assumes  $Q \in \text{carrier}(\text{Pring } R I)$ 
shows  $\text{var-factor } I J0 J1 (P \oplus \text{Pring } R I Q) = \text{var-factor } I J0 J1 P \oplus \text{Pring}(\text{Pring } R J0) J1$ 
 $\text{var-factor } I J0 J1 Q$ 
 $\langle \text{proof} \rangle$ 

lemma var-factor-mult:
assumes  $I = J0 \cup J1$ 
assumes  $J1 \subseteq I$ 
assumes  $J1 \cap J0 = \{\}$ 
assumes  $P \in \text{carrier}(\text{Pring } R I)$ 
assumes  $Q \in \text{carrier}(\text{Pring } R I)$ 
shows  $\text{var-factor } I J0 J1 (P \otimes \text{Pring } R I Q) = \text{var-factor } I J0 J1 P \otimes \text{Pring}(\text{Pring } R J0) J1$ 
 $\text{var-factor } I J0 J1 Q$ 
 $\langle \text{proof} \rangle$ 

```

2.14.2 Viewing a Multivariable Polynomial as a Univariate Polynomial over a Multivariate Polynomial Base Ring

```

definition multivar-poly-to-univ-poly :: 
 $'c \text{ set} \Rightarrow 'c \Rightarrow ('a,'c) \text{ mvar-poly} \Rightarrow$ 
 $(('a,'c) \text{ mvar-poly}) \text{ u-poly} \text{ where}$ 
 $\text{multivar-poly-to-univ-poly } I i P = ((\text{IP-to-UP } i) \circ (\text{var-factor } I (I - \{i\}) \{i\})) P$ 

definition univ-poly-to-multivar-poly :: 
 $'c \text{ set} \Rightarrow 'c \Rightarrow (('a,'c) \text{ mvar-poly}) \text{ u-poly} \Rightarrow$ 
 $(('a,'c) \text{ mvar-poly}) \text{ mvar-poly where}$ 
 $\text{univ-poly-to-multivar-poly } I i P = ((\text{var-factor-inv } I (I - \{i\}) \{i\}) \circ (\text{UP-to-IP}$ 
 $(\text{Pring } R (I - \{i\})) i)) P$ 

lemma multivar-poly-to-univ-poly-is-hom:
assumes  $i \in I$ 

```

```

shows multivar-poly-to-univ-poly I i ∈ ring-hom (Pring R I) (UP (Pring R (I
– {i})))
⟨proof⟩

```

```

lemma multivar-poly-to-univ-poly-inverse:
assumes i ∈ I
assumes ψ₀ = multivar-poly-to-univ-poly I i
assumes ψ₁ = univ-poly-to-multivar-poly I i
assumes P ∈ carrier (Pring R I)
shows ψ₁ (ψ₀ P) = P
⟨proof⟩

```

```

lemma multivar-poly-to-univ-poly-total-eval:
assumes i ∈ I
assumes ψ = multivar-poly-to-univ-poly I i
assumes P ∈ carrier (Pring R I)
assumes closed-fun R g
shows total-eval R g P = total-eval R g (to-function (Pring R (I – {i})) (ψ P))
(indexed-const (g i)))
⟨proof⟩

```

Induction for polynomial rings. Basically just `indexed_pset.induct` with some boilerplate translations removed

```

lemma(in ring) Pring-car-induct'':
assumes Q ∈ carrier (Pring R I)
assumes ⋀c. c ∈ carrier R ⇒ P (indexed-const c)
assumes ⋀p q. p ∈ carrier (Pring R I) ⇒ q ∈ carrier (Pring R I) ⇒ P p
⇒ P q ⇒ P (p ⊕Pring R I q)
assumes ⋀p i. p ∈ carrier (Pring R I) ⇒ i ∈ I ⇒ P p ⇒ P (p ⊗Pring R I
pvar R i)
shows P Q
⟨proof⟩

```

2.14.3 Application: A Polynomial Ring over a Domain is a Domain

In this section, we use the fact the UP R is a domain when R is a domain to show the analogous result for indexed polynomial rings. We first prove it inductively for rings with a finite variable set, and then generalize to infinite variable sets using the fact that any two multivariable polynomials over an indexed polynomial ring must also lie in a finitely indexed polynomial subring.

```

lemma indexed-const-mult:
assumes a ∈ carrier R
assumes b ∈ carrier R
shows indexed-const a ⊗ Pring R I indexed-const b = indexed-const (a ⊗ b)
⟨proof⟩

```

```

lemma(in domain) Pring-fin-vars-is-domain:
  assumes finite ( $I :: 'c set$ )
  shows domain ( $\text{Pring } R I$ )
   $\langle proof \rangle$ 

lemma locally-finite:
  assumes  $a \in \text{carrier } (\text{Pring } R I)$ 
  shows  $\exists J. J \subseteq I \wedge \text{finite } J \wedge a \in \text{carrier } (\text{Pring } R J)$ 
   $\langle proof \rangle$ 

lemma(in domain) Pring-is-domain:
  domain ( $\text{Pring } R I$ )
   $\langle proof \rangle$ 

```

2.14.4 Relabelling of Variables for Indexed Polynomial Rings

```

definition relabel-vars :: ' $d$  set  $\Rightarrow$  ' $e$  set  $\Rightarrow$  (' $d \Rightarrow$  ' $e$ )  $\Rightarrow$ 
  (' $a, 'd$ ) mvar-poly  $\Rightarrow$  (' $a, 'e$ ) mvar-poly where
  relabel-vars  $I J g = \text{indexed-poly-induced-morphism } I (\text{Pring } R J) \text{ indexed-const}$ 
   $(\lambda i. \text{pvar } R (g i))$ 

lemma relabel-vars-is-morphism:
  assumes  $g \in I \rightarrow J$ 
  shows ring-hom-ring ( $\text{Pring } R I$ ) ( $\text{Pring } R J$ ) (relabel-vars  $I J g$ )
     $\bigwedge i. i \in I \implies \text{relabel-vars } I J g (\text{pvar } R i) = \text{pvar } R (g i)$ 
     $\bigwedge c. c \in \text{carrier } R \implies \text{relabel-vars } I J g (\text{indexed-const } c) = \text{indexed-const } c$ 
   $\langle proof \rangle$ 

lemma relabel-vars-add:
  assumes  $g \in I \rightarrow J$ 
  assumes  $P \in \text{carrier } (\text{Pring } R I)$ 
  assumes  $Q \in \text{carrier } (\text{Pring } R I)$ 
  shows  $\text{relabel-vars } I J g (P \oplus_{\text{Pring } R I} Q) = \text{relabel-vars } I J g P \oplus_{\text{Pring } R J}$ 
  relabel-vars  $I J g Q$ 
   $\langle proof \rangle$ 

lemma relabel-vars-mult:
  assumes  $g \in I \rightarrow J$ 
  assumes  $P \in \text{carrier } (\text{Pring } R I)$ 
  assumes  $Q \in \text{carrier } (\text{Pring } R I)$ 
  shows  $\text{relabel-vars } I J g (P \otimes_{\text{Pring } R I} Q) = \text{relabel-vars } I J g P \otimes_{\text{Pring } R J}$ 
  relabel-vars  $I J g Q$ 
   $\langle proof \rangle$ 

lemma relabel-vars-closed:
  assumes  $g \in I \rightarrow J$ 
  assumes  $P \in \text{carrier } (\text{Pring } R I)$ 
  shows  $\text{relabel-vars } I J g P \in \text{carrier } (\text{Pring } R J)$ 

```

```

⟨proof⟩

lemma relabel-vars-smult:
  assumes  $g \in I \rightarrow J$ 
  assumes  $P \in \text{carrier}(\text{Pring } R \ I)$ 
  assumes  $a \in \text{carrier } R$ 
  shows  $\text{relabel-vars } I \ J \ g \ (a \odot_{\text{Pring } R \ I} P) = a \odot_{\text{Pring } R \ J} \text{relabel-vars } I \ J \ g \ P$ 
  ⟨proof⟩

lemma relabel-vars-inverse:
  assumes  $g \in I \rightarrow J$ 
  assumes  $h \in J \rightarrow I$ 
  assumes  $\bigwedge i. i \in I \implies h(g i) = i$ 
  assumes  $P \in \text{carrier}(\text{Pring } R \ I)$ 
  shows  $\text{relabel-vars } J \ I \ h \ (\text{relabel-vars } I \ J \ g \ P) = P$ 
  ⟨proof⟩

lemma relabel-vars-total-eval:
  assumes  $g \in I \rightarrow J$ 
  assumes  $P \in \text{carrier}(\text{Pring } R \ I)$ 
  assumes  $\text{closed-fun } R \ f$ 
  shows  $\text{total-eval } R \ (f \circ g) \ P = \text{total-eval } R \ f \ (\text{relabel-vars } I \ J \ g \ P)$ 
  ⟨proof⟩

end

end
theory Indices
imports Main
begin

```

3 Basic Lemmas for Manipulating Indices and Lists

```

fun index-list where
  index-list 0 = []
  index-list (Suc n) = index-list n @ [n]

lemma index-list-length:
  length(index-list n) = n
  ⟨proof⟩

lemma index-list-indices:
   $k < n \implies (\text{index-list } n)!k = k$ 
  ⟨proof⟩

lemma index-list-set:
  set(index-list n) = {..<n}
  ⟨proof⟩

```

```

fun flat-map :: ('a => 'b list) => 'a list => 'b list where
  flat-map f [] = []
  | flat-map f (h#t) = (f h)@(flat-map f t)

abbreviation(input) project-at-indices ( $\langle \pi_{\cdot} \rangle$ ) where
  project-at-indices S as  $\equiv$  nths as S

fun insert-at-index :: 'a list  $\Rightarrow$  'a  $\Rightarrow$  nat  $\Rightarrow$  'a list where
  insert-at-index as a n = (take n as) @ (a#(drop n as))

lemma insert-at-index-length:
  shows length (insert-at-index as a n) = length as + 1
   $\langle proof \rangle$ 

lemma insert-at-index-eq[simp]:
  assumes n  $\leq$  length as
  shows (insert-at-index as a n)!n = a
   $\langle proof \rangle$ 

lemma insert-at-index-eq'[simp]:
  assumes n  $\leq$  length as
  assumes k < n
  shows (insert-at-index as a n)!k = as ! k
   $\langle proof \rangle$ 

lemma insert-at-index-eq''[simp]:
  assumes n < length as
  assumes k  $\leq$  n
  shows (insert-at-index as a k)!(Suc n) = as ! n
   $\langle proof \rangle$ 

Correctness of project_at_indices

definition indices-of :: 'a list  $\Rightarrow$  nat set where
  indices-of as = {..<(length as)}

lemma proj-at-index-list-length[simp]:
  assumes S  $\subseteq$  indices-of as
  shows length (project-at-indices S as) = card S
   $\langle proof \rangle$ 

A function which enumerates finite sets

abbreviation(input) set-to-list :: nat set  $\Rightarrow$  nat list where
  set-to-list S  $\equiv$  sorted-list-of-set S

lemma set-to-list-set:
  assumes finite S
  shows set (set-to-list S) = S
   $\langle proof \rangle$ 

```

```

lemma set-to-list-length:
  assumes finite S
  shows length (set-to-list S) = card S
  ⟨proof⟩

lemma set-to-list-empty:
  assumes card S = 0
  shows set-to-list S = []
  ⟨proof⟩

lemma set-to-list-first:
  assumes card S > 0
  shows Min S = set-to-list S ! 0
  ⟨proof⟩

lemma set-to-list-last:
  assumes card S > 0
  shows Max S = last (set-to-list S)
  ⟨proof⟩

lemma set-to-list-insert-Max:
  assumes finite S
  assumes ⋀s. s ∈ S ⇒ a > s
  shows set-to-list (insert a S) = set-to-list S @[a]
  ⟨proof⟩

lemma set-to-list-insert-Min:
  assumes finite S
  assumes ⋀s. s ∈ S ⇒ a < s
  shows set-to-list (insert a S) = a#set-to-list S
  ⟨proof⟩

fun nth-elem where
  nth-elem S n = set-to-list S ! n

lemma nth-elem-closed:
  assumes i < card S
  shows nth-elem S i ∈ S
  ⟨proof⟩

lemma nth-elem-Min:
  assumes card S > 0
  shows nth-elem S 0 = Min S
  ⟨proof⟩

lemma nth-elem-Max:
  assumes card S > 0
  shows nth-elem S (card S - 1) = Max S

```

$\langle proof \rangle$

```
lemma nth-elem-Suc:  
  assumes card S > Suc n  
  shows nth-elem S (Suc n) > nth-elem S n  
  ⟨proof⟩  
  
lemma nth-elem-insert-Min:  
  assumes card S > 0  
  assumes a < Min S  
  shows nth-elem (insert a S) (Suc i) = nth-elem S i  
  ⟨proof⟩  
  
lemma set-to-list-Suc-map:  
  assumes finite S  
  shows set-to-list (Suc ` S) = map Suc (set-to-list S)  
  ⟨proof⟩  
  
lemma nth-elem-Suc-im:  
  assumes i < card S  
  shows nth-elem (Suc ` S) i = Suc (nth-elem S i)  
  ⟨proof⟩  
  
lemma set-to-list-upto:  
  set-to-list {..<n} = [0..<n]  
  ⟨proof⟩  
  
lemma nth-elem-upto:  
  assumes i < n  
  shows nth-elem {..<n} i = i  
  ⟨proof⟩
```

Characterizing the entries of project_at_indices

```
lemma project-at-indices-append:  
  project-at-indices S (as@bs) = project-at-indices S as @ project-at-indices {j. j +  
  length as ∈ S} bs  
  ⟨proof⟩  
  
lemma project-at-indices-nth:  
  assumes S ⊆ indices-of as  
  assumes card S > i  
  shows project-at-indices S as ! i = as ! (nth-elem S i)  
  ⟨proof⟩
```

An inverse for nth_elem

```
definition set-rank where  
  set-rank S x = (THE i. i < card S ∧ x = nth-elem S i)
```

```
lemma set-rank-exist:
```

```

assumes finite S
assumes x ∈ S
shows ∃ i. i < card S ∧ x = nth-elem S i
⟨proof⟩

lemma set-rank-unique:
assumes finite S
assumes x ∈ S
assumes i < card S ∧ x = nth-elem S i
assumes j < card S ∧ x = nth-elem S j
shows i = j
⟨proof⟩

lemma nth-elem-set-rank-inv:
assumes finite S
assumes x ∈ S
shows nth-elem S (set-rank S x) = x
⟨proof⟩

lemma set-rank-nth-elem-inv:
assumes finite S
assumes i < card S
shows set-rank S (nth-elem S i) = i
⟨proof⟩

lemma set-rank-range:
assumes finite S
assumes x ∈ S
shows set-rank S x < card S
⟨proof⟩

lemma project-at-indices-nth':
assumes S ⊆ indices-of as
assumes i ∈ S
shows as ! i = project-at-indices S as ! (set-rank S i)
⟨proof⟩

fun proj-away-from-index :: nat ⇒ 'a list ⇒ 'a list (⟨π≠-⟩)where
proj-away-from-index n as = (take n as)@(drop (Suc n) as)

proj_away_from_index is an inverse to insert_at_index

lemma insert-at-index-project-away[simp]:
assumes k < length as
assumes bs = (insert-at-index as a k)
shows π≠ k bs = as
⟨proof⟩

definition fibred-cell :: 'a list set ⇒ ('a list ⇒ 'a ⇒ bool) ⇒ 'a list set where
fibred-cell C P = {as . ∃ x t. as = (t#x) ∧ x ∈ C ∧ (P x t)}

```

```

definition fibred-cell-at-ind :: nat  $\Rightarrow$  'a list set  $\Rightarrow$  ('a list  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a list set where
  fibred-cell-at-ind n C P = {as .  $\exists$  x t. as = (insert-at-index x t n)  $\wedge$  x  $\in$  C  $\wedge$  (P x t)}
```

lemma fibred-cell-lengths:
assumes $\bigwedge k. k \in C \implies \text{length } k = n$
shows $k \in (\text{fibred-cell } C P) \implies \text{length } k = \text{Suc } n$
 $\langle\text{proof}\rangle$

lemma fibred-cell-at-ind-lengths:
assumes $\bigwedge k. k \in C \implies \text{length } k = n$
assumes $k \leq n$
shows $c \in (\text{fibred-cell-at-ind } k C P) \implies \text{length } c = \text{Suc } n$
 $\langle\text{proof}\rangle$

lemma project-fibred-cell:
assumes $\bigwedge k. k \in C \implies \text{length } k = n$
assumes $k < n$
assumes $\forall x \in C. \exists t. P x t$
shows $\pi_{\neq k}^{\text{c}} (\text{fibred-cell-at-ind } k C P) = C$
 $\langle\text{proof}\rangle$

definition list-segment **where**
list-segment i j as = map (nth as) [i..<j]

lemma list-segment-length:
assumes $i \leq j$
assumes $j \leq \text{length as}$
shows $\text{length} (\text{list-segment } i j \text{ as}) = j - i$
 $\langle\text{proof}\rangle$

lemma list-segment-drop:
assumes $i < \text{length as}$
shows $(\text{list-segment } i (\text{length as}) \text{ as}) = \text{drop } i \text{ as}$
 $\langle\text{proof}\rangle$

lemma list-segment-concat:
assumes $j \leq k$
assumes $i \leq j$
shows $(\text{list-segment } i j \text{ as}) @ (\text{list-segment } j k \text{ as}) = (\text{list-segment } i k \text{ as})$
 $\langle\text{proof}\rangle$

lemma list-segment-subset:
assumes $j \leq k$
shows $\text{set} (\text{list-segment } i j \text{ as}) \subseteq \text{set} (\text{list-segment } i k \text{ as})$
 $\langle\text{proof}\rangle$

```

lemma list-segment-subset-list-set:
  assumes  $j \leq \text{length}$  as
  shows set (list-segment  $i j$  as)  $\subseteq$  set as
  ⟨proof⟩

definition fun-inv where
  fun-inv = inv

end

theory Ring-Powers
  imports HOL-Algebra.Chinese-Remainder HOL-Combinatorics.List-Permutation
    Padic-Ints.Function-Ring HOL-Algebra.Generated-Rings Cring-Multivariable-Poly
    Indices
  begin

    type-synonym arity = nat
    type-synonym 'a tuple = 'a list

```

4 Cartesian Powers of a Ring

4.1 Constructing the Cartesian Power of a Ring

Powers of a ring

```

R_list n R produces the list  $[R, \dots, R]$  of length n
fun R-list :: nat  $\Rightarrow$  ('a, 'b) ring-scheme  $\Rightarrow$  (('a, 'b) ring-scheme) list where
  R-list n R = map ( $\lambda\_. R$ ) (index-list n)

```

Cartesian powers of a ring

```

definition cartesian-power :: ('a, 'b) ring-scheme  $\Rightarrow$  nat  $\Rightarrow$  ('a list) ring (‐ 80)
where
   $R^n \equiv RDirProd-list (R-list n R)$ 

```

```

lemma R-list-length:
  length (R-list n R) = n
  ⟨proof⟩

```

```

lemma R-list-nth:
   $i < n \implies R-list n R ! i = R$ 
  ⟨proof⟩

```

```

lemma cartesian-power-car-memI:
  assumes length as = n
  assumes set as  $\subseteq$  carrier R
  shows as  $\in$  carrier ( $R^n$ )
  ⟨proof⟩

```

```

lemma cartesian-power-car-memI':
  assumes length as = n
  assumes  $\bigwedge i. i < n \implies as ! i \in \text{carrier } R$ 
  shows as  $\in \text{carrier } (R^n)$ 
   $\langle proof \rangle$ 

lemma cartesian-power-car-memE:
  assumes as  $\in \text{carrier } (R^n)$ 
  shows length as = n
   $\langle proof \rangle$ 

lemma cartesian-power-car-memE':
  assumes as  $\in \text{carrier } (R^n)$ 
  assumes i < n
  shows as ! i  $\in \text{carrier } R$ 
   $\langle proof \rangle$ 

lemma cartesian-power-car-memE'':
  assumes as  $\in \text{carrier } (R^n)$ 
  shows set as  $\subseteq \text{carrier } R$ 
   $\langle proof \rangle$ 

lemma cartesian-power-car-memI'':
  assumes length as = n + k
  assumes take n as  $\in \text{carrier } (R^n)$ 
  assumes drop n as  $\in \text{carrier } (R^k)$ 
  shows as  $\in \text{carrier } (R^{n+k})$ 
   $\langle proof \rangle$ 

lemma cartesian-power-cons:
  assumes as  $\in \text{carrier } (R^n)$ 
  assumes a  $\in \text{carrier } R$ 
  shows a#as  $\in \text{carrier } (R^{n+1})$ 
   $\langle proof \rangle$ 

lemma cartesian-power-append:
  assumes as  $\in \text{carrier } (R^n)$ 
  assumes a  $\in \text{carrier } R$ 
  shows as@[a]  $\in \text{carrier } (R^{n+1})$ 
   $\langle proof \rangle$ 

lemma cartesian-power-head:
  assumes as  $\in \text{carrier } (R^{\text{Suc } n})$ 
  shows hd as  $\in \text{carrier } R$ 
   $\langle proof \rangle$ 

lemma cartesian-power-tail:
  assumes as  $\in \text{carrier } (R^{\text{Suc } n})$ 

```

```

shows tl as  $\in \text{carrier } (R^n)$ 
<proof>

lemma insert-at-index-closed:
assumes length as = n
assumes as  $\in \text{carrier } (R^n)$ 
assumes a  $\in \text{carrier } R$ 
assumes k ≤ n
shows (insert-at-index as a k)  $\in \text{carrier } (R^{\text{Suc } n})$ 
<proof>

lemma insert-at-index-pow-not-car:
assumes k ≤ n
assumes length x = n
assumes (insert-at-index x a k)  $\in \text{carrier } (R^{\text{Suc } n})$ 
shows x  $\in \text{carrier } (R^n)$ 
<proof>

lemma insert-at-index-pow-not-car':
assumes k ≤ n
assumes length x = n
assumes x  $\notin \text{carrier } (R^n)$ 
shows (insert-at-index x a n)  $\notin \text{carrier } (R^{\text{Suc } n})$ 
<proof>

lemma take-closed:
assumes k ≤ n
assumes x  $\in \text{carrier } (R^n)$ 
shows take k x  $\in \text{carrier } (R^k)$ 
<proof>

lemma drop-closed:
assumes k < n
assumes x  $\in \text{carrier } (R^n)$ 
shows drop k x  $\in \text{carrier } (R^{n - k})$ 
<proof>

lemma last-closed:
assumes n > 0
assumes x  $\in \text{carrier } (R^n)$ 
shows last x  $\in \text{carrier } R$ 
<proof>

lemma cartesian-power-concat:
assumes a  $\in \text{carrier } (R^n)$ 
assumes b  $\in \text{carrier } (R^k)$ 
shows a@b  $\in \text{carrier } (R^{n+k})$ 
assumes b@a  $\in \text{carrier } (R^{n+k})$ 
<proof>
```

```

lemma cartesian-power-decomp:
  assumes  $a \in \text{carrier } (R^{n+k})$ 
  obtains  $a_0 \ a_1$  where  $a_0 \in \text{carrier } (R^n) \wedge a_1 \in \text{carrier } (R^k) \wedge a_0 @ a_1 = a$ 
   $\langle proof \rangle$ 

lemma list-segment-pow:
  assumes  $as \in \text{carrier } (R^n)$ 
  assumes  $j \leq n$ 
  assumes  $i \leq j$ 
  shows  $\text{list-segment } i \ j \ as \in \text{carrier } (R^{j-i})$ 
   $\langle proof \rangle$ 

lemma nth-list-segment:
  assumes  $as \in \text{carrier } (R^n)$ 
  assumes  $j \leq n$ 
  assumes  $i \leq j$ 
  assumes  $k < j - i$ 
  shows  $(\text{list-segment } i \ j \ as) ! k = as ! (i + k)$ 
   $\langle proof \rangle$ 

```

4.2 Mapping the Carrier of a Ring to its 1-Dimensional Cartesian Power.

```

context cring
begin

```

```

lemma R1-carI:
  assumes  $\text{length } as = 1$ 
  assumes  $as!0 \in \text{carrier } R$ 
  shows  $as \in \text{carrier } (R^1)$ 
   $\langle proof \rangle$ 

```

```

abbreviation(input) to-R1 where
  to-R1  $a \equiv [a]$ 

```

```

abbreviation(input) to-R :: 'a list  $\Rightarrow$  'a where
  to-R  $as \equiv as!0$ 

```

```

lemma to-R1-to-R:
  assumes  $a \in \text{carrier } (R^1)$ 
  shows  $\text{to-R1 } (\text{to-R } a) = a$ 
   $\langle proof \rangle$ 

```

```

lemma to-R-to-R1:
  shows  $\text{to-R } (\text{to-R1 } a) = a$ 
   $\langle proof \rangle$ 

```

```

lemma to-R1-closed:

```

```

assumes  $a \in \text{carrier } R$ 
shows  $\text{to-}R1\ a \in \text{carrier } (R^1)$ 
⟨proof⟩

lemma  $\text{to-}R\text{-pow-closed}:$ 
assumes  $a \in \text{carrier } (R^1)$ 
shows  $\text{to-}R\ a \in \text{carrier } R$ 
⟨proof⟩

lemma  $\text{to-}R1\text{-intersection}:$ 
assumes  $A \subseteq \text{carrier } R$ 
assumes  $B \subseteq \text{carrier } R$ 
shows  $\text{to-}R1` (A \cap B) = \text{to-}R1` A \cap \text{to-}R1` B$ 
⟨proof⟩

lemma  $\text{to-}R1\text{-finite}:$ 
assumes  $\text{finite } A$ 
shows  $\text{finite } (\text{to-}R1` A)$ 
 $\text{card } A = \text{card } (\text{to-}R1` A)$ 
⟨proof⟩

lemma  $\text{to-}R1\text{-carrier}:$ 
 $\text{to-}R1` (\text{carrier } R) = \text{carrier } (R^1)$ 
⟨proof⟩

lemma  $\text{to-}R1\text{-diff}:$ 
 $\text{to-}R1` (A - B) = \text{to-}R1` A - \text{to-}R1` B$ 
⟨proof⟩

lemma  $\text{to-}R1\text{-complement}:$ 
shows  $\text{to-}R1` (\text{carrier } R - A) = \text{carrier } (R^1) - \text{to-}R1` A$ 
⟨proof⟩

lemma  $\text{to-}R1\text{-subset}:$ 
assumes  $A \subseteq B$ 
shows  $\text{to-}R1` A \subseteq \text{to-}R1` B$ 
⟨proof⟩

lemma  $\text{to-}R1\text{-car-subset}:$ 
assumes  $A \subseteq \text{carrier } R$ 
shows  $\text{to-}R1` A \subseteq \text{carrier } (R^1)$ 
⟨proof⟩
end

```

4.3 Simple Cartesian Products

definition $\text{cartesian-product} :: ('a list) set \Rightarrow ('a list) set \Rightarrow ('a list) set$ **where**
 $\text{cartesian-product } A\ B \equiv \{xs. \exists as \in A. \exists bs \in B. xs = as @ bs\}$

```

lemma cartesian-product-closed:
  assumes  $A \subseteq \text{carrier } (R^n)$ 
  assumes  $B \subseteq \text{carrier } (R^m)$ 
  shows cartesian-product  $A B \subseteq \text{carrier } (R^{n+m})$ 
  ⟨proof⟩

lemma cartesian-product-closed':
  assumes  $a \in \text{carrier } (R^n)$ 
  assumes  $b \in \text{carrier } (R^m)$ 
  shows  $(a @ b) \in \text{carrier } (R^{n+m})$ 
  ⟨proof⟩

lemma cartesian-product-carrier:
  cartesian-product ( $\text{carrier } (R^n)$ ) ( $\text{carrier } (R^m)$ ) =  $\text{carrier } (R^{n+m})$ 
  ⟨proof⟩

lemma cartesian-product-memI:
  assumes  $A \subseteq \text{carrier } (R^n)$ 
  assumes  $B \subseteq \text{carrier } (R^m)$ 
  assumes take  $n a \in A$ 
  assumes drop  $n a \in B$ 
  shows  $a \in \text{cartesian-product } A B$ 
  ⟨proof⟩

lemma cartesian-product-memI':
  assumes  $A \subseteq \text{carrier } (R^n)$ 
  assumes  $B \subseteq \text{carrier } (R^m)$ 
  assumes  $a \in A$ 
  assumes  $b \in B$ 
  shows  $a @ b \in \text{cartesian-product } A B$ 
  ⟨proof⟩

lemma cartesian-product-memE:
  assumes  $a \in \text{cartesian-product } A B$ 
  assumes  $A \subseteq \text{carrier } (R^n)$ 
  shows take  $n a \in A$ 
    drop  $n a \in B$ 
  ⟨proof⟩

lemma cartesian-product-intersection:
  assumes  $A \subseteq \text{carrier } (R^n)$ 
  assumes  $B \subseteq \text{carrier } (R^m)$ 
  assumes  $C \subseteq \text{carrier } (R^n)$ 
  assumes  $D \subseteq \text{carrier } (R^m)$ 
  shows cartesian-product  $A B \cap \text{cartesian-product } C D = \text{cartesian-product } (A \cap C) (B \cap D)$ 
  ⟨proof⟩

lemma cartesian-product-subsetI:

```

```

assumes  $C \subseteq A$ 
assumes  $D \subseteq B$ 
shows cartesian-product  $C D \subseteq$  cartesian-product  $A B$ 
⟨proof⟩

lemma cartesian-product-binary-union-right:
assumes  $C \subseteq \text{carrier } (R^n)$ 
assumes  $D \subseteq \text{carrier } (R^n)$ 
shows cartesian-product  $A (C \cup D) = (\text{cartesian-product } A C) \cup (\text{cartesian-product } A D)$ 
⟨proof⟩

lemma cartesian-product-binary-union-left:
assumes  $C \subseteq \text{carrier } (R^n)$ 
assumes  $D \subseteq \text{carrier } (R^n)$ 
shows cartesian-product  $(C \cup D) A = (\text{cartesian-product } C A) \cup (\text{cartesian-product } D A)$ 
⟨proof⟩

lemma cartesian-product-binary-intersection-right:
assumes  $C \subseteq \text{carrier } (R^n)$ 
assumes  $D \subseteq \text{carrier } (R^n)$ 
assumes  $A \subseteq \text{carrier } (R^m)$ 
shows cartesian-product  $A (C \cap D) = (\text{cartesian-product } A C) \cap (\text{cartesian-product } A D)$ 
⟨proof⟩

lemma cartesian-product-binary-intersection-left:
assumes  $C \subseteq \text{carrier } (R^n)$ 
assumes  $D \subseteq \text{carrier } (R^n)$ 
assumes  $A \subseteq \text{carrier } (R^m)$ 
shows cartesian-product  $(C \cap D) A = (\text{cartesian-product } C A) \cap (\text{cartesian-product } D A)$ 
⟨proof⟩

lemma cartesian-product-car-complement-right:
assumes  $A \subseteq \text{carrier } (R^m)$ 
shows carrier  $(R^{n+m}) - \text{cartesian-product } (\text{carrier } (R^n)) A =$ 
 $\text{cartesian-product } (\text{carrier } (R^n)) ((\text{carrier } (R^m)) - A)$ 
⟨proof⟩

lemma cartesian-product-car-complement-left:
assumes  $A \subseteq \text{carrier } (R^n)$ 
shows carrier  $(R^{n+m}) - \text{cartesian-product } A (\text{carrier } (R^m)) =$ 
 $\text{cartesian-product } ((\text{carrier } (R^n)) - A) (\text{carrier } (R^m))$ 
⟨proof⟩

lemma cartesian-product-complement-right:
assumes  $B \subseteq \text{carrier } (R^m)$ 

```

```

assumes  $A \subseteq \text{carrier } (R^n)$ 
shows  $\text{cartesian-product } A (\text{carrier } (R^m)) - (\text{cartesian-product } A B) =$ 
 $\text{cartesian-product } A ((\text{carrier } (R^m)) - B)$ 
⟨proof⟩

```

```

lemma cartesian-product-complement-left:
assumes  $B \subseteq \text{carrier } (R^m)$ 
assumes  $A \subseteq \text{carrier } (R^n)$ 
shows  $\text{cartesian-product } (\text{carrier } (R^m)) A - (\text{cartesian-product } B A) =$ 
 $\text{cartesian-product } ((\text{carrier } (R^m)) - B) A$ 
⟨proof⟩

```

```

lemma cartesian-product-empty-right:
assumes  $A \subseteq \text{carrier } (R^n)$ 
assumes  $B = \{\}$ 
shows  $\text{cartesian-product } A B = A$ 
⟨proof⟩

```

```

lemma cartesian-product-empty-left:
assumes  $B \subseteq \text{carrier } (R^n)$ 
assumes  $A = \{\}$ 
shows  $\text{cartesian-product } A B = B$ 
⟨proof⟩

```

4.4 Cartesian Products at Arbitrary Indices

```

definition(in ring) ring-pow-proj ::  $\text{nat} \Rightarrow (\text{nat set}) \Rightarrow ('a list) \Rightarrow ('a list)$ 
 $(\langle \pi_-, - \rangle)$  where
ring-pow-proj  $n S \equiv \text{restrict } (\text{project-at-indices } S) (\text{carrier } (R^n))$ 

```

The projection at an arbitrary index set

```

lemma project-at-indices-closed:
assumes  $a \in \text{carrier } (R^n)$ 
assumes  $S \subseteq \text{indices-of } a$ 
shows  $\pi_S a \in \text{carrier } (R^{\text{card } S})$ 
⟨proof⟩

```

```

lemma(in ring) ring-pow-proj-is-map:
assumes  $S \subseteq \{\dots < n\}$ 
shows  $\pi_{n,S} \in \text{struct-maps } (R^n) (R^{\text{card } S})$ 
⟨proof⟩

```

```

lemma(in ring) project-at-indices-ring-pow-proj:
assumes  $x \in \text{carrier } (R^n)$ 
shows  $\pi_S x = \pi_{n,S} x$ 
⟨proof⟩

```

Cartesian products where the first factor A occurs at the entries of some arbitrary index set. Note that this product isn't completely arbitrary because

the entries of the factor of A still occurs in ascending order.

definition *twisted-cartesian-product* ($\langle Prod_{-, -} \rangle$) **where**
twisted-cartesian-product $S S' A B = \{a . \text{length } a = \text{card } S + \text{card } S' \wedge \pi_S a \in A \wedge \pi_{S'} a \in B\}$

lemma *twisted-cartesian-product-mem-length*:

assumes $\text{card } S = n$
assumes $\text{card } S' = m$
assumes $a \in \text{Prod}_{S,S'} A B$
shows $\text{length } a = n + m$
 $\langle proof \rangle$

lemma *twisted-cartesian-product-closed*:

assumes $A \subseteq \text{carrier } (R^n)$
assumes $B \subseteq \text{carrier } (R^m)$
assumes $\text{card } S = n$
assumes $\text{card } S' = m$
assumes $S \cup S' = \{.. < n + m\}$
shows *twisted-cartesian-product* $S S' A B \subseteq \text{carrier } (R^{n+m})$
 $\langle proof \rangle$

lemma *twisted-cartesian-product-memE*:

assumes $a \in \text{twisted-cartesian-product } S S' A B$
shows $\pi_S a \in A \pi_{S'} a \in B$
 $\langle proof \rangle$

lemma *twisted-cartesian-product-memI*:

assumes $\pi_S a \in A$
assumes $\pi_{S'} a \in B$
assumes $\text{length } a = \text{card } S + \text{card } S'$
shows $a \in \text{twisted-cartesian-product } S S' A B$
 $\langle proof \rangle$

lemma *twisted-cartesian-product-empty-left-factor*:

assumes $A = \{\}$
shows *twisted-cartesian-product* $S S' A B = \{\}$
 $\langle proof \rangle$

lemma *twisted-cartesian-product-empty-right-factor*:

assumes $B = \{\}$
shows *twisted-cartesian-product* $S S' A B = \{\}$
 $\langle proof \rangle$

lemma *twisted-cartesian-project-left*:

assumes $A \subseteq \text{carrier } (R^n)$
assumes $B \subseteq \text{carrier } (R^m)$
assumes $A \neq \{\}$
assumes $B \neq \{\}$
assumes $\text{card } S = n$

```

assumes card  $S' = m$ 
assumes  $S \cup S' = \{\ldots < n + m\}$ 
shows  $\pi_S`(\text{Prod}_{S,S'} A B) = A$ 
⟨proof⟩

lemma twisted-cartesian-product-swap:
shows  $(\text{Prod}_{S,S'} A B) = (\text{Prod}_{S',S} B A)$ 
⟨proof⟩

```

```

lemma twisted-cartesian-project-right:
assumes  $A \subseteq \text{carrier } (R^n)$ 
assumes  $B \subseteq \text{carrier } (R^m)$ 
assumes  $A \neq \{\}$ 
assumes  $B \neq \{\}$ 
assumes card  $S = n$ 
assumes card  $S' = m$ 
assumes  $S \cup S' = \{\ldots < n + m\}$ 
shows  $\pi_{S'}`(\text{Prod}_{S,S'} A B) = B$ 
⟨proof⟩

```

Cartesian products which send points $a = (a_1, \dots, a_m)$ and $b = (b_1, \dots, b_n)$ to the point $(a_1, \dots, a_i, b_1, \dots, b_n, a_{i+1}, \dots, a_m)$

```

definition splitting-permutation :: nat ⇒ nat ⇒ nat ⇒
                           nat ⇒ nat where
splitting-permutation l1 l2 i j = (if  $j < i$  then  $j$  else
                                         (if  $i \leq j \wedge j < l1$  then  $(l2 + j)$  else
                                         (if  $j < l1 + l2$  then  $j - l1 + i$  else  $j$ )))

```

```

lemma splitting-permutation-case-1-unique:
assumes  $i \leq l1$ 
assumes  $y < i$ 
assumes splitting-permutation l1 l2 i j = y
shows  $j = y$ 
⟨proof⟩

```

```

lemma splitting-permutation-case-1-exists:
assumes  $i \leq l1$ 
assumes  $y < i$ 
shows splitting-permutation l1 l2 i y = y
⟨proof⟩

```

```

lemma splitting-permutation-case-2-unique:
assumes  $i \leq l1$ 
assumes  $i \leq y \wedge y < l2 + i$ 
assumes splitting-permutation l1 l2 i j = y
shows  $j = y + l1 - i$ 
⟨proof⟩

```

```

lemma splitting-permutation-case-2-exists:

```

```

assumes  $i \leq l1$ 
assumes  $i \leq y \wedge y < l2 + i$ 
shows splitting-permutation  $l1\ l2\ i\ (y + l1 - i) = y$ 
⟨proof⟩

```

```

lemma splitting-permutation-case-3-unique:
assumes  $i \leq l1$ 
assumes  $l2 + i \leq y \wedge y < l1 + l2$ 
assumes splitting-permutation  $l1\ l2\ i\ j = y$ 
shows  $j = y - l2$ 
⟨proof⟩

```

```

lemma splitting-permutation-case-3-exists:
assumes  $i \leq l1$ 
assumes  $l2 + i \leq y \wedge y < l1 + l2$ 
shows splitting-permutation  $l1\ l2\ i\ (y - l2) = y$ 
⟨proof⟩

```

```

lemma splitting-permutation-case-4-unique:
assumes  $i \leq l1$ 
assumes  $l1 + l2 \leq y$ 
assumes splitting-permutation  $l1\ l2\ i\ j = y$ 
shows  $j = y$ 
⟨proof⟩

```

```

lemma splitting-permutation-case-4-exists:
assumes  $i \leq l1$ 
assumes  $l1 + l2 \leq y$ 
shows splitting-permutation  $l1\ l2\ i\ y = y$ 
⟨proof⟩

```

```

lemma splitting-permutation-permutes:
assumes  $i \leq l1$ 
shows (splitting-permutation  $l1\ l2\ i$ ) permutes  $\{.. < l1 + l2\}$ 
⟨proof⟩

```

```

lemma splitting-permutation-action:
assumes  $i \leq l1$ 
assumes length  $a1 = l1$ 
assumes length  $a2 = l2$ 
shows permute-list (splitting-permutation  $l1\ l2\ i$ ) ((take  $i\ a1$ ) @  $a2$  @ (drop  $i\ a1$ )) =

$$a1 @ a2$$

⟨proof⟩

```

```

definition scp-permutation where
scp-permutation  $l1\ l2\ i = \text{fun-inv}(\text{splitting-permutation } l1\ l2\ i)$ 

```

```

lemma scp-permutation-action:

```

```

assumes  $i \leq l1$ 
assumes  $\text{length } a1 = l1$ 
assumes  $\text{length } a2 = l2$ 
shows  $\text{permute-list} (\text{scp-permutation } l1\ l2\ i) (a1 @ a2) = ((\text{take } i\ a1) @ a2 @ (\text{drop } i\ a1))$ 
⟨proof⟩

lemma  $\text{scp-permutes}$ :
assumes  $i \leq l1$ 
shows  $(\text{scp-permutation } l1\ l2\ i) \text{ permutes } \{\dots < l1 + l2\}$ 
⟨proof⟩

definition  $\text{split-cartesian-product}$  where
 $\text{split-cartesian-product } l1\ l2\ i\ A\ B = \text{permute-list} (\text{scp-permutation } l1\ l2\ i) ` (\text{cartesian-product } A\ B)$ 

lemma  $\text{split-cartesian-product-memI}$ :
assumes  $a1 @ a2 \in A$ 
assumes  $b \in B$ 
assumes  $A \subseteq \text{carrier } (R^{l1})$ 
assumes  $B \subseteq \text{carrier } (R^{l2})$ 
assumes  $\text{length } a1 = i$ 
shows  $a1 @ b @ a2 \in \text{split-cartesian-product } l1\ l2\ i\ A\ B$ 
⟨proof⟩

lemma  $\text{split-cartesian-product-memI}'$ :
assumes  $a \in A$ 
assumes  $b \in B$ 
assumes  $A \subseteq \text{carrier } (R^{l1})$ 
assumes  $B \subseteq \text{carrier } (R^{l2})$ 
assumes  $i \leq l1$ 
shows  $(\text{take } i\ a) @ b @ (\text{drop } i\ a) \in \text{split-cartesian-product } l1\ l2\ i\ A\ B$ 
⟨proof⟩

lemma  $\text{split-cartesian-product-memE}$ :
assumes  $a \in \text{split-cartesian-product } l1\ l2\ i\ A\ B$ 
assumes  $A \subseteq \text{carrier } (R^{l1})$ 
assumes  $B \subseteq \text{carrier } (R^{l2})$ 
assumes  $i \leq l1$ 
shows  $(\text{take } i\ a) @ (\text{drop } (i + l2)\ a) \in A$ 
 $(\text{drop } i\ (\text{take } (i + l2)\ a)) \in B$ 
⟨proof⟩

lemma  $\text{split-cartesian-product-mem-length}$ :
assumes  $a \in \text{split-cartesian-product } l1\ l2\ i\ A\ B$ 
assumes  $A \subseteq \text{carrier } (R^{l1})$ 
assumes  $B \subseteq \text{carrier } (R^{l2})$ 
assumes  $i \leq l1$ 
shows  $\text{length } a = l1 + l2$ 

```

$\langle proof \rangle$

```
lemma split-cartesian-product-memE':  
  assumes a1@a2@a2 ∈ split-cartesian-product l1 l2 i A B  
  assumes A ⊆ carrier (Rl1)  
  assumes B ⊆ carrier (Rl2)  
  assumes i ≤ l1  
  assumes length a1 = i  
  assumes length b = l2  
  assumes length as = (l1 - i)  
  shows a1@a2 ∈ A  
    b ∈ B  
 $\langle proof \rangle$ 
```

```
lemma split-cartesian-product-closed:  
  assumes A ⊆ carrier (Rl1)  
  assumes B ⊆ carrier (Rl2)  
  assumes i ≤ l1  
  shows split-cartesian-product l1 l2 i A B ⊆ carrier (Rl1 + l2)  
 $\langle proof \rangle$ 
```

General function for permuting the elements of a simple cartesian product:

```
definition intersperse :: (nat ⇒ nat) ⇒ 'a tuple ⇒ 'a tuple where  
  intersperse σ as bs = permute-list σ (as@bs)
```

```
lemma intersperseE:  
  assumes σ permutes ({}.. $n$ )  
  assumes length as + length bs = n  
  shows length (intersperse σ as bs) = n  
 $\langle proof \rangle$ 
```

```
lemma intersperseE':  
  assumes σ permutes ({}.. $n$ )  
  assumes length as + length bs = n  
  assumes length as = k  
  assumes σ i < k  
  shows (intersperse σ as bs)! i = as ! σ i  
 $\langle proof \rangle$ 
```

```
lemma intersperseE'':  
  assumes σ permutes ({}.. $n$ )  
  assumes length as + length bs = n  
  assumes length as = k  
  assumes i < n  
  assumes σ i ≥ k  
  shows (intersperse σ as bs)! i = bs ! ((σ i) - k)  
 $\langle proof \rangle$ 
```

Some more lemmas about the project_at_indices function.

```

lemma project-at-indices-consecutive-ind-length:
  assumes (i::nat) < j
  assumes j ≤ n
  assumes length a = n
  shows length (project-at-indices {i..<j} a) = j - i
  ⟨proof⟩

lemma project-at-indices-consecutive-ind-length':
  assumes (i::nat) < j
  assumes j ≤ n
  assumes a ∈ carrier (Rn)
  shows length (project-at-indices {i..<j} a) = j - i
  ⟨proof⟩

lemma sorted-list-of-set-from-up-to:
  assumes (i::nat) < j
  assumes k < j - i
  shows sorted-list-of-set {i..<j} ! k = i + k
  ⟨proof⟩

lemma nth-elem-consecutive-indices:
  assumes (i::nat) < j
  assumes k < j - i
  shows nth-elem {i..<j} k = i + k
  ⟨proof⟩

lemma project-at-indices-consecutive-indices:
  assumes (i::nat) < j
  assumes j ≤ n
  assumes length a = n
  assumes k < j - i
  shows (project-at-indices {i..<j} a) ! k = a! (i + k)
  ⟨proof⟩

lemma project-at-indices-consecutive-indices':
  assumes (i::nat) < j
  assumes j ≤ n
  assumes a ∈ carrier (Rn)
  assumes k < j - i
  shows (project-at-indices {i..<j} a) ! k = a! (i + k)
  ⟨proof⟩

lemma tl-as-projection:
  assumes a ∈ carrier (Rn)
  shows tl a = project-at-indices {1::nat..<n} a
  ⟨proof⟩

```

4.5 Function Rings on Cartesian Powers

Complement operator

definition *ring-pow-comp* :: ('a, 'b) ring-scheme \Rightarrow arity \Rightarrow 'a tuple set \Rightarrow 'a tuple set
where
ring-pow-comp R n S \equiv carrier (Rⁿ) – S

lemma *ring-pow-comp-closed*:
ring-pow-comp R n S \subseteq carrier (Rⁿ)
{proof}

lemma *ring-pow-comp-disjoint*:
ring-pow-comp R n S \cap S = {}
{proof}

lemma *ring-pow-comp-union*:
assumes S \subseteq carrier (Rⁿ)
shows (*ring-pow-comp* R n S) \cup S = carrier (Rⁿ)
{proof}

lemma *ring-pow-comp-carrier*:
ring-pow-comp R n (carrier (Rⁿ)) = {}
{proof}

lemma *ring-pow-comp-empty*:
ring-pow-comp R n {} = (carrier (Rⁿ))
{proof}

lemma *ring-pow-comp-demorgans*:
assumes A \subseteq carrier (Rⁿ)
assumes B \subseteq carrier (Rⁿ)
shows *ring-pow-comp* R n (A \cup B) = (*ring-pow-comp* R n A) \cap (*ring-pow-comp* R n B)
{proof}

lemma *ring-pow-comp-demorgans'*:
assumes A \subseteq carrier (Rⁿ)
assumes B \subseteq carrier (Rⁿ)
shows *ring-pow-comp* R n (A \cap B) = (*ring-pow-comp* R n A) \cup (*ring-pow-comp* R n B)
{proof}

lemma *ring-pow-comp-inv*:
assumes A \subseteq carrier (Rⁿ)
shows *ring-pow-comp* R n (*ring-pow-comp* R n A) = A
{proof}

The function ring defined on the powers of a ring:

abbreviation(*input*) *ring-pow-function-ring* (*<Fun_ ->*) **where**

ring-pow-function-ring n $R \equiv \text{function-ring}(\text{carrier}(R^n)) R$

Partial function application. Given a function $f(x_1, \dots, x_{n+1})$, an index i and a point $a \in \text{carrier } R$ returns the function $(x_1, \dots, x_n) \mapsto f(x_1, \dots, x_{i-1}, a, x_i, \dots, x_n)$

```
lemma ring-pow-function-ring-car-memE:
  assumes f ∈ carrier (Funn R)
  shows f ∈ extensional (carrier (Rn))
    f ∈ carrier (Rn) → carrier R
  ⟨proof⟩
```

```
definition partial-eval :: ('a, 'b) ring-scheme ⇒ arity ⇒ nat ⇒ ('a list ⇒ 'a) ⇒
  'a ⇒ ('a list ⇒ 'a) where
  partial-eval R m n f c = restrict (λ as. f (insert-at-index as c n)) (carrier (Rm))
```

```
context ring
begin
```

```
lemma function-ring-car-mem-closed:
  assumes f ∈ carrier (function-ring S R)
  assumes s ∈ S
  shows f s ∈ carrier R
  ⟨proof⟩
```

```
lemma function-ring-car-mem-closed':
  assumes f ∈ carrier (FunSuc k R)
  assumes s ∈ carrier (RSuc k)
  shows f s ∈ carrier R
  ⟨proof⟩
```

```
lemma(in ring) partial-eval-domain:
  assumes f ∈ carrier (FunSuc k R)
  assumes a ∈ carrier R
  assumes n ≤ k
  shows (partial-eval R k n f a) ∈ carrier (Funk R)
  ⟨proof⟩
```

Pullbacks preserve ring power functions

```
lemma fun-struct-maps:
  struct-maps (Rn) R = carrier (Funn R)
  ⟨proof⟩
```

```
lemma pullback-fun-closed:
  assumes f ∈ struct-maps (Rn) (Rm)
  assumes g ∈ carrier (Funm R)
  shows pullback (Rn) f g ∈ carrier (Funn R)
  ⟨proof⟩
```

```
end
```

Includes $R^{|S|}$ into R^n by pulling back along the projection $R^n \mapsto R^{|S|}$ at indices S

```
context ring
begin

definition(in ring) ring-pow-inc :: (nat set)  $\Rightarrow$  arity  $\Rightarrow$  ('a tuple  $\Rightarrow$  'a)  $=>$  ('a tuple  $\Rightarrow$  'a) where
ring-pow-inc S n f = pullback (Rn) ( $\pi_{n,S}$ ) f
```

```
lemma ring-pow-inc-is-fun:
assumes S  $\subseteq$  {..<n}
assumes f  $\in$  carrier (Funcard S R)
shows ring-pow-inc S n f  $\in$  carrier (Funn R)
⟨proof⟩
```

The "standard" inclusion of powers of function rings into one another

```
abbreviation(input) std-proj:: nat  $\Rightarrow$  nat  $\Rightarrow$  ('a list)  $\Rightarrow$  ('a list) where
std-proj n m  $\equiv$  ring-pow-proj n ({}..<m})
```

```
lemma std-proj-id:
assumes m  $\leq$  n
assumes as  $\in$  carrier (Rn)
assumes i < m
shows std-proj n m as ! i = as ! i
⟨proof⟩
```

```
abbreviation(input) std-inc:: nat  $\Rightarrow$  nat  $\Rightarrow$  ('a list  $\Rightarrow$  'a)  $=>$  ('a list  $\Rightarrow$  'a)
where
std-inc n m f  $\equiv$  ring-pow-inc ({}..<m}) n f
```

```
lemma std-proj-is-map[simp]:
assumes m  $\leq$  n
shows std-proj n m  $\in$  struct-maps (Rn) (Rm)
⟨proof⟩
```

end

4.6 Coordinate Functions

```
definition var :: ('a, 'b) ring-scheme  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  ('a list  $\Rightarrow$  'a) where
var R n i = restrict ( $\lambda x. x!i$ ) (carrier (Rn))
```

```
context ring
begin

lemma var-in-car:
assumes i < n
shows var R n i  $\in$  carrier (Funn R)
⟨proof⟩
```

```

lemma varE[simp]:
  assumes i < n
  assumes x ∈ carrier (Rn)
  shows var R n i x = x ! i
  ⟨proof⟩

lemma std-inc-of-var:
  assumes i < n
  assumes n ≤ m
  shows std-inc m n (var R n i) = (var R m i)
  ⟨proof⟩

abbreviation variable (⟨v_⟩) where
variable n i ≡ var R n i

end

definition var-set :: ('a, 'b) ring-scheme ⇒ nat ⇒ ('a list ⇒ 'a) set where
var-set R n = var R n ‘{..<n}

lemma var-setE:
  assumes f ∈ var-set R n
  obtains i where f = var R n i ∧ i ∈ {..<n}
  ⟨proof⟩

lemma var-setI:
  assumes i ∈ {..<n}
  assumes f = var R n i
  shows f ∈ var-set R n
  ⟨proof⟩

context ring
begin

lemma var-set-in-fun-ring-car:
  shows var-set R n ⊆ carrier Funn R
  ⟨proof⟩

end

```

4.7 Graphs of functions

```

definition fun-graph:: ('a, 'b) ring-scheme ⇒ nat ⇒ ('a list ⇒ 'a) ⇒ 'a list set
where
fun-graph R n f = {as. (∃x ∈ carrier (Rn). as = x @ [f x])}

```

```

context ring
begin

lemma function-ring-car-memE:
  assumes f ∈ carrier (Funn R)
  assumes a ∈ carrier (Rn)
  shows f a ∈ carrier R
  ⟨proof⟩

lemma graph-range:
  assumes f ∈ carrier (Funn R)
  shows fun-graph R n f ⊆ carrier (RSuc n)
  ⟨proof⟩

lemma fun-graph-memE:
  assumes f ∈ carrier (Funn R)
  assumes p ∈ fun-graph R n f
  shows (take n p) ∈ carrier (Rn)
  ⟨proof⟩

lemma fun-graph-memE':
  assumes f ∈ carrier (Funn R)
  assumes p ∈ fun-graph R n f
  shows f (take n p) = p!n
  ⟨proof⟩

```

apply a function f to the tuple consisting of the first n indices, leaving the remaining indices unchanged

```

definition partial-image :: arity ⇒ ('c list ⇒ 'c) ⇒ 'c list ⇒ 'c list where
partial-image n f as = (f (take n as)) # (drop n as)

```

```

lemma partial-image-range:
  assumes f ∈ carrier (Funn R)
  assumes m ≥ n
  assumes as ∈ carrier (Rm)
  shows partial-image n f as ∈ carrier (Rm - n + 1)
  ⟨proof⟩

```

end

5 Coordinate Rings on Cartesian Powers

5.1 Basic Facts and Definitions

```

locale cring-coord-rings = UP-cring +
  assumes one-neq-zero: 1 ≠ 0

```

coordinate polynomial ring in n variables over a commutative ring

```

definition coord-ring :: ('a, 'b) ring-scheme  $\Rightarrow$  nat  $\Rightarrow$  ('a, ('a, nat) mvar-poly)
module
( $\langle\langle$  [X-]  $\rangle\rangle$  80) where R[Xn]  $\equiv$  Pring R {..< n::nat}

sublocale cring-coord-rings < cring-functions R carrier (Rn) Funn R
 $\langle proof \rangle$ 

sublocale cring-coord-rings < MP?: cring R[Xn]
 $\langle proof \rangle$ 

sublocale cring-coord-rings < F?: cring Funn R
 $\langle proof \rangle$ 

context cring-coord-rings
begin

lemma coord-cring-cring:
cring (R[Xn])  $\langle proof \rangle$ 

coordinate constant functions

abbreviation(input) coord-const :: 'a  $\Rightarrow$  ('a, nat) mvar-poly where
coord-const k  $\equiv$  ring.indexed-const R k

lemma coord-const-ring-hom:
ring-hom-ring R (R[Xn]) coord-const
 $\langle proof \rangle$ 

coordinate functions

lemma pvar-closed:
assumes i < n
shows pvar R i  $\in$  carrier (R[Xn])
 $\langle proof \rangle$ 

relationship between multiplication by a variable and index multiplication

lemma pvar-indexed-pmult:
assumes p  $\in$  carrier (R[Xn])
shows (p  $\otimes$  i) = p  $\otimes_{R[X_n]}$  pvar R i
 $\langle proof \rangle$ 

lemma coord-ring-cfs-closed:
assumes p  $\in$  carrier (R[Xn])
shows p m  $\in$  carrier R
 $\langle proof \rangle$ 

lemma coord-ring-plus:
assumes p  $\in$  carrier (R[Xn])
assumes Q  $\in$  carrier (R[Xn])
shows (p  $\oplus_{R[X_n]}$  Q) m = p m  $\oplus$  Q m

```

$\langle proof \rangle$

lemma *coord-ring-uminus*:

assumes $p \in \text{carrier } (R[\mathcal{X}_n])$
shows $(\ominus_{R[\mathcal{X}_n]} p) m = \ominus (p m)$
 $\langle proof \rangle$

lemma *coord-ring-minus*:

assumes $p \in \text{carrier } (R[\mathcal{X}_n])$
assumes $Q \in \text{carrier } (R[\mathcal{X}_n])$
shows $(p \ominus_{R[\mathcal{X}_n]} Q) m = p m \ominus Q m$
 $\langle proof \rangle$

lemma *coord-ring-one*:

$\mathbf{1}_{R[\mathcal{X}_n]} m = (\text{if } m = \{\#\} \text{ then } \mathbf{1} \text{ else } \mathbf{0})$
 $\langle proof \rangle$

lemma *coord-ring-zero*:

$\mathbf{0}_{R[\mathcal{X}_n]} m = \mathbf{0}$
 $\langle proof \rangle$

Evaluation of a polynomial at a point

end

abbreviation (*input*) *point-to-eval-map* **where**

point-to-eval-map R *as* $\equiv (\lambda i. (\text{if } i < \text{length as} \text{ then as ! } i \text{ else } \mathbf{0}_R))$

definition *eval-at-point* :: ('*a*, '*b*) *ring-scheme* \Rightarrow '*a* *list* \Rightarrow ('*a*, *nat*) *mvar-poly* \Rightarrow '*a* **where**

eval-at-point R *as* $p \equiv \text{total-eval } R (\lambda i. (\text{if } i < \text{length as} \text{ then as ! } i \text{ else } \mathbf{0}_R)) p$

lemma(in cring-coord-rings) *eval-at-point-factored*:

eval-at-point R *as* $p = \text{total-eval } R (\text{point-to-eval-map } R \text{ as}) p$
 $\langle proof \rangle$

5.2 Total Evaluation of a Polynomial

abbreviation (*input*) *eval-at-poly* **where**

eval-at-poly R *p as* $\equiv \text{eval-at-point } R \text{ as } p$

evaluation of coordinate polynomials

context *cring-coord-rings*

begin

lemma *eval-at-point-closed*:

assumes $a \in \text{carrier } (R^n)$
assumes $p \in \text{carrier } (R[\mathcal{X}_n])$

```

shows eval-at-point R a p ∈ carrier R
⟨proof⟩

lemma eval-pvar:
assumes i < (n::nat)
assumes a ∈ carrier (Rn)
shows eval-at-point R a (pvar R i) = a!i
⟨proof⟩

lemma eval-at-point-const:
assumes k ∈ carrier R
assumes a ∈ carrier (Rn)
shows eval-at-point R a (R.indexed-const k) = k
⟨proof⟩

lemma eval-at-point-add:
assumes a ∈ carrier (Rn)
assumes A ∈ carrier (R[ $\mathcal{X}_n$ ])
assumes B ∈ carrier (coord-ring R n)
shows eval-at-point R a (A  $\oplus_{\text{coord-ring } R \ n}$  B) =
eval-at-point R a A  $\oplus_R$  eval-at-point R a B
⟨proof⟩

lemma eval-at-point-mult:
assumes a ∈ carrier (Rn)
assumes A ∈ carrier (R[ $\mathcal{X}_n$ ])
assumes B ∈ carrier ((R[ $\mathcal{X}_n$ ]))
shows eval-at-point R a (A  $\otimes_{R[\mathcal{X}_n]}$  B) =
eval-at-point R a A  $\otimes_R$  eval-at-point R a B
⟨proof⟩

lemma eval-at-point-indexed-pmult:
assumes a ∈ carrier (Rn)
assumes A ∈ carrier (R[ $\mathcal{X}_n$ ])
assumes i < n
shows eval-at-point R a (A  $\otimes$  i) =
eval-at-point R a A  $\otimes_R$  (a!i)
⟨proof⟩

lemma eval-at-point-ring-hom:
assumes a ∈ carrier (Rn)
shows ring-hom-ring (coord-ring R I) R (eval-at-point R a)
⟨proof⟩

lemma eval-at-point-scalar-mult:
assumes a ∈ carrier (Rn)
assumes A ∈ carrier (R[ $\mathcal{X}_n$ ])
assumes k ∈ carrier R
shows eval-at-point R a (poly-scalar-mult R k A) = k  $\otimes_R$  (eval-at-point R a A)

```

$\langle proof \rangle$

lemma eval-at-point-smult:

assumes $a \in \text{carrier } (R^n)$
assumes $A \in \text{carrier } (R[\mathcal{X}_n])$
assumes $k \in \text{carrier } R$
shows eval-at-point $R a (k \odot_{R[\mathcal{X}_n]} A) = k \otimes_R (\text{eval-at-point } R a A)$

$\langle proof \rangle$

lemma eval-at-point-subtract:

assumes $a \in \text{carrier } (R^n)$
assumes $A \in \text{carrier } (R[\mathcal{X}_n])$
assumes $B \in \text{carrier } (\text{coord-ring } R \ n)$
shows eval-at-point $R a (A \ominus_{\text{coord-ring } R \ n} B) = \text{eval-at-point } R a A \ominus_R \text{eval-at-point } R a B$

$\langle proof \rangle$

lemma eval-at-point-a-inv:

assumes $a \in \text{carrier } (R^n)$
assumes $B \in \text{carrier } (\text{coord-ring } R \ n)$
shows eval-at-point $R a (\ominus_{R[\mathcal{X}_n]} B) = \ominus_R \text{eval-at-point } R a B$

$\langle proof \rangle$

lemma eval-at-point-nat-pow:

assumes $a \in \text{carrier } (R^n)$
assumes $A \in \text{carrier } (R[\mathcal{X}_n])$
shows eval-at-point $R a (A[\wedge]_{R[\mathcal{X}_n]}(k::nat)) = (\text{eval-at-point } R a A)[\wedge]k$

$\langle proof \rangle$

end

5.3 Partial Evaluation of a Polynomial

definition coord-partial-eval ::

$('a, 'b) \text{ ring-scheme} \Rightarrow \text{nat set} \Rightarrow 'a \text{ list} \Rightarrow ('a, \text{nat}) \text{ mvar-poly} \Rightarrow ('a, \text{nat}) \text{ mvar-poly}$ where
coord-partial-eval $R S as = \text{poly-eval } R S (\text{point-to-eval-map } R as)$

context cring-coord-rings
begin

lemma point-to-eval-map-closed:

assumes $as \in \text{carrier } (R^n)$
shows closed-fun $R (\text{point-to-eval-map } R as)$

$\langle proof \rangle$

lemma coord-partial-eval-hom:

assumes $as \in \text{carrier } (R^n)$
shows coord-partial-eval $R S as \in \text{ring-hom } (R[\mathcal{X}_n]) (R[\mathcal{X}_n])$

$\langle proof \rangle$

lemma *coord-partial-eval-hom'*:
 assumes *as* \in carrier (R^n)
 shows *coord-partial-eval R S as* \in ring-hom ($R[\mathcal{X}_n]$) (*Pring R* ($\{\ldots < n\} - S$))
 $\langle proof \rangle$

lemma *coord-partial-eval-closed*:
 assumes *S* \subseteq $\{\ldots < n\}$
 assumes $\{\ldots < n\} - S \subseteq I$
 assumes *as* \in carrier (R^n)
 assumes *p* \in carrier ($R[\mathcal{X}_n]$)
 shows *coord-partial-eval R S as p* \in carrier (*Pring R I*)
 $\langle proof \rangle$

lemma *coord-partial-eval-add*:
 assumes *as* \in carrier (R^n)
 assumes *p* \in carrier ($R[\mathcal{X}_n]$)
 assumes *Q* \in carrier ($R[\mathcal{X}_n]$)
 shows *coord-partial-eval R S as (p $\oplus_{(R[\mathcal{X}_n])}$ Q) =*
 (*coord-partial-eval R S as p*) $\oplus_{(R[\mathcal{X}_n])}$ (*coord-partial-eval R S as Q*)
 $\langle proof \rangle$

lemma *coord-partial-eval-mult*:
 assumes *as* \in carrier (R^n)
 assumes *p* \in carrier ($R[\mathcal{X}_n]$)
 assumes *Q* \in carrier ($R[\mathcal{X}_n]$)
 shows *coord-partial-eval R S as (p $\otimes_{(R[\mathcal{X}_n])}$ Q) =*
 (*coord-partial-eval R S as p*) $\otimes_{(R[\mathcal{X}_n])}$ (*coord-partial-eval R S as Q*)
 $\langle proof \rangle$

lemma *coord-partial-eval-pvar*:
 assumes **1** \neq **0**
 assumes *as* \in carrier (R^n)
 assumes *i* \in *S* \cap $\{\ldots < n\}$
 shows *coord-partial-eval R S as (pvar R i) = coord-const (as!i)*
 $\langle proof \rangle$

lemma *coord-partial-eval-pvar'*:
 assumes **1** \neq **0**
 assumes *as* \in carrier (R^n)
 assumes *i* \notin *S*
 shows *coord-partial-eval R S as (pvar R i) = (pvar R i)*
 $\langle proof \rangle$

5.4 An induction rule for coordinate rings

lemma *coord-ring-induct*:
 assumes *A* \in carrier ($R[\mathcal{X}_n]$)

```

assumes  $\bigwedge a. a \in \text{carrier } R \Rightarrow p$  (coord-const a)
assumes  $\bigwedge i Q. Q \in \text{carrier } (R[\mathcal{X}_n]) \Rightarrow p$   $Q \Rightarrow i < n \Rightarrow p$   $(Q \otimes_{R[\mathcal{X}_n]} p\text{var}$   

 $R i)$ 
assumes  $\bigwedge Q_0 Q_1. Q_0 \in \text{carrier } (R[\mathcal{X}_n]) \Rightarrow Q_1 \in \text{carrier } (R[\mathcal{X}_n]) \Rightarrow p$   $Q_0$   

 $\Rightarrow p$   $Q_1 \Rightarrow p$   $(Q_0 \oplus_{R[\mathcal{X}_n]} Q_1)$ 
shows  $p A$ 
⟨proof⟩

end

```

5.5 Algebraic Sets in Cartesian Powers

5.5.1 The Zero Set of a Single Polynomial

```

definition zero-set :: ('a, 'b) ring-scheme  $\Rightarrow$  nat  $\Rightarrow$  ('a, nat) mvar-poly  $\Rightarrow$  'a list
set
(⟨V1⟩) where

```

```

zero-set  $R n p = \{a \in \text{carrier } (R^n). \text{eval-at-point } R a p = \mathbf{0}_R\}$ 

```

```

context cring-coord-rings
begin

```

```

lemma zero-setI:

```

```

assumes  $a \in \text{carrier } (R^n)$ 
assumes eval-at-point  $R a p = \mathbf{0}_R$ 
shows  $a \in \text{zero-set } R n p$ 
⟨proof⟩

```

```

lemma zero-setE:

```

```

assumes  $a \in \text{zero-set } R n p$ 
shows  $a \in \text{carrier } (R^n)$ 
eval-at-point  $R a p = \mathbf{0}_R$ 
⟨proof⟩

```

```

lemma zero-set-closed:

```

```

zero-set  $R n p \subseteq \text{carrier } (R^n)$ 
⟨proof⟩

```

```

end

```

5.5.2 The Zero Set of a Collection of Polynomials

```

definition affine-alg-set :: ('a, 'b) ring-scheme  $\Rightarrow$  nat  $\Rightarrow$  ('a, nat) mvar-poly set
 $\Rightarrow$  'a list set

```

```

where affine-alg-set  $R n as = \{a \in \text{carrier } (R^n). \forall b \in as. a \in (\text{zero-set } R n b)\}$ 

```

```

context cring-coord-rings
begin

```

```

lemma affine-alg-set-empty:
  affine-alg-set R n {} = carrier (Rn)
  ⟨proof⟩

lemma affine-alg-set-subset-zero-set:
  assumes b ∈ as
  shows affine-alg-set R n as ⊆ (zero-set R n b)
  ⟨proof⟩

lemma(in cring-coord-rings) affine-alg-set-memE:
  assumes b ∈ as
  assumes a ∈ affine-alg-set R n as
  shows eval-at-poly R b a = 0
  ⟨proof⟩

lemma affine-alg-set-subset:
  assumes as ⊆ bs
  shows affine-alg-set R n bs ⊆ affine-alg-set R n as
  ⟨proof⟩

lemma affine-alg-set-empty-set:
  assumes as = {}
  shows affine-alg-set R n as = carrier (Rn)
  ⟨proof⟩

lemma affine-alg-set-closed:
  shows affine-alg-set R n as ⊆ carrier (Rn)
  ⟨proof⟩

lemma affine-alg-set-singleton:
  affine-alg-set R n {a} = zero-set R n a
  ⟨proof⟩

lemma affine-alg-set-insert:
  affine-alg-set R n (insert a A) = zero-set R n a ∩ (affine-alg-set R n A)
  ⟨proof⟩

lemma affine-alg-set-intersect:
  affine-alg-set R n (A ∪ B) = (affine-alg-set R n A) ∩ (affine-alg-set R n B)
  ⟨proof⟩

lemma affine-alg-set-memI:
  assumes a ∈ carrier (Rn)
  assumes ⋀ p. p ∈ B ⇒ eval-at-point R a p = 0
  shows a ∈ (affine-alg-set R n B)
  ⟨proof⟩

lemma affine-alg-set-not-memE:
  assumes a ∈ carrier (Rn)

```

```

assumes  $a \notin (\text{affine-alg-set } R \ n \ B)$ 
shows  $\exists b \in B. \text{eval-at-poly } R \ b \ a \neq \mathbf{0}$ 
⟨proof⟩

```

5.5.3 Finite Unions and Intersections of Algebraic Sets are Algebraic

The product set of two sets in an arbitrary ring. That is, the set $\{xy \mid x \in A \wedge y \in B\}$ for two sets A, B .

```

definition(in ring) prod-set :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  'a set where
prod-set as bs = ( $\lambda x. \text{fst } x \otimes \text{snd } x$ ) ` (as  $\times$  bs)

```

```

lemma(in ring) prod-setI:
assumes  $c \in \text{prod-set as bs}$ 
shows  $\exists a \in \text{as}. \exists b \in \text{bs}. c = a \otimes b$ 
⟨proof⟩

```

```

lemma(in ring) prod-set-closed:
assumes  $\text{as} \subseteq \text{carrier } R$ 
assumes  $\text{bs} \subseteq \text{carrier } R$ 
shows  $\text{prod-set as bs} \subseteq \text{carrier } R$ 
⟨proof⟩

```

The set of products of elements from two finite sets is again finite.

```

lemma(in ring) prod-set-finite:
assumes finite as
assumes finite bs
shows finite (prod-set as bs) card (prod-set as bs)  $\leq$  card as * card bs
⟨proof⟩

```

```

definition poly-prod-set where
poly-prod-set n as bs = ring.prod-set (R[Xn]) as bs

```

```

lemma poly-prod-setE:
assumes  $c \in \text{poly-prod-set } n \text{ as bs}$ 
shows  $\exists a \in \text{as}. \exists b \in \text{bs}. c = a \otimes_{R[X_n]} b$ 
⟨proof⟩

```

```

lemma poly-prod-setI:
assumes  $a \in \text{as}$ 
assumes  $b \in \text{bs}$ 
shows  $a \otimes_{R[X_n]} b \in \text{poly-prod-set } n \text{ as bs}$ 
⟨proof⟩

```

```

lemma poly-prod-set-closed:
assumes  $\text{as} \subseteq \text{carrier } (R[X_n])$ 
assumes  $\text{bs} \subseteq \text{carrier } (R[X_n])$ 
shows  $\text{poly-prod-set } n \text{ as bs} \subseteq \text{carrier } (R[X_n])$ 

```

```

⟨proof⟩

lemma poly-prod-set-finite:
  assumes finite as
  assumes finite bs
  shows finite (poly-prod-set n as bs) card (poly-prod-set n as bs) ≤ card as * card
  bs

⟨proof⟩

end

locale domain-coord-rings = cring-coord-rings + domain

lemma(in domain-coord-rings) poly-prod-set-algebraic-set:
  assumes as ⊆ carrier (R[ $\mathcal{X}_n$ ])
  assumes bs ⊆ carrier (R[ $\mathcal{X}_n$ ])
  shows affine-alg-set R n as ∪ affine-alg-set R n bs = affine-alg-set R n (poly-prod-set
  n as bs)
⟨proof⟩

definition is-algebraic :: ('a, 'b) ring-scheme ⇒ nat ⇒ 'a list set ⇒ bool where
is-algebraic R n S = (exists ps. finite ps ∧ ps ⊆ carrier (R[ $\mathcal{X}_n$ ]) ∧ S = affine-alg-set
R n ps)

context cring-coord-rings
begin

lemma is-algebraicE:
  assumes is-algebraic R n S
  obtains ps where finite ps ps ⊆ carrier (R[ $\mathcal{X}_n$ ]) S = affine-alg-set R n ps
⟨proof⟩

lemma is-algebraicI:
  assumes finite ps
  assumes ps ⊆ carrier (R[ $\mathcal{X}_n$ ])
  assumes S = affine-alg-set R n ps
  shows is-algebraic R n S
⟨proof⟩

lemma is-algebraicI':
  assumes p ∈ carrier (R[ $\mathcal{X}_n$ ])
  assumes S = zero-set R n p
  shows is-algebraic R n S
⟨proof⟩

end

definition alg-sets :: arity ⇒ ('a, 'b) ring-scheme ⇒ ('a list set) set where

```

alg-sets n R = {S. is-algebraic R n S}

context *cring-coord-rings*
begin

lemma *intersection-is-alg*:
 assumes *is-algebraic R n A*
 assumes *is-algebraic R n B*
 shows *is-algebraic R n (A ∩ B)*
 {proof}

lemma(in domain-coord-rings) *union-is-alg*:
 assumes *is-algebraic R n A*
 assumes *is-algebraic R n B*
 shows *is-algebraic R n (A ∪ B)*
 {proof}

lemma *zero-set-zero*:
 zero-set R n 0_{R[X_n]} = carrier (R^n)
 {proof}

lemma *affine-alg-set-set*:
 affine-alg-set R n {0_{R[X_n]}} = carrier (R^n)
 {proof}

lemma *car-is-alg*:
 is-algebraic R n (carrier (R^n))
 {proof}

lemma *zero-set-nonzero-constant*:
 assumes *a ≠ 0*
 assumes *a ∈ carrier R*
 shows *zero-set R n (coord-const a) = {}*
 {proof}

lemma *zero-set-one*:
 assumes *a ≠ 0*
 assumes *a ∈ carrier R*
 shows *zero-set R n 1_{R[X_n]} = {}*
 {proof}

lemma *empty-set-as-affine-alg-set*:
 affine-alg-set R n {1_{R[X_n]}} = {}
 {proof}

lemma *empty-is-alg*:
 is-algebraic R n {}
 {proof}

5.5.4 Finite Sets Are Algebraic

the function mapping a point in R^n to the unique linear polynomial vanishing exclusively at that point

```
definition pvar-trans :: nat  $\Rightarrow$  nat  $\Rightarrow$  'a  $\Rightarrow$  ('a, nat) mvar-poly where
pvar-trans n i a = (pvar R i)  $\ominus_{R[\mathcal{X}_n]}$  coord-const a
```

```
lemma pvar-trans-closed:
```

```
assumes a  $\in$  carrier R
assumes i < n
shows pvar-trans n i a  $\in$  carrier (R[ $\mathcal{X}_n$ ])
⟨proof⟩
```

```
lemma pvar-trans-eval:
```

```
assumes a  $\in$  carrier R
assumes b  $\in$  carrier ( $R^n$ )
assumes i < n
shows eval-at-point R b (pvar-trans n i a) = (b!i)  $\ominus$  a
⟨proof⟩
```

```
definition point-to-polys :: 'a list  $\Rightarrow$  ('a, nat) mvar-poly list where
```

```
point-to-polys as = map ( $\lambda x$ . pvar-trans (length as) (snd x) (fst x)) (zip as (index-list (length as)))
```

```
lemma point-to-polys-length:
```

```
length (point-to-polys as) = length as
⟨proof⟩
```

```
lemma point-to-polysE:
```

```
assumes i < length as
shows (point-to-polys as) ! i = (pvar-trans (length as) i (as ! i))
⟨proof⟩
```

```
lemma point-to-polysE':
```

```
assumes as  $\in$  carrier ( $R^n$ )
assumes i < n
shows eval-at-point R as ((point-to-polys as) ! i) = 0
⟨proof⟩
```

```
lemma point-to-polysE'':
```

```
assumes as  $\in$  carrier ( $R^n$ )
assumes b  $\in$  set (point-to-polys as)
shows eval-at-point R as b = 0
⟨proof⟩
```

```
lemma point-to-polys-zero-set:
```

```
assumes as  $\in$  carrier ( $R^n$ )
assumes b  $\in$  set (point-to-polys as)
shows as  $\in$  zero-set R n b
```

$\langle proof \rangle$

lemma *point-to-polys-closed*:
 assumes $as \in carrier (R^n)$
 shows $set (point-to-polys as) \subseteq carrier (R[\mathcal{X}_n])$
 $\langle proof \rangle$

lemma *point-to-polys-affine-alg-set*:
 assumes $as \in carrier (R^n)$
 shows $affine-alg-set R n (set (point-to-polys as)) = \{as\}$
 $\langle proof \rangle$

lemma *singleton-is-algebraic*:
 assumes $as \in carrier (R^n)$
 shows $is-algebraic R n \{as\}$
 $\langle proof \rangle$

lemma(in domain-coord-rings) *finite-sets-are-algebraic*:
 assumes $finite F$
 shows $F \subseteq carrier (R^n) \longrightarrow is-algebraic R n F$
 $\langle proof \rangle$

5.6 Polynomial Maps

5.7 The Action of Index Permutations on Polynomials

definition *permute-poly-args* ::
 $nat \Rightarrow (nat \Rightarrow nat) \Rightarrow ('a, nat) mvar-poly \Rightarrow ('a, nat) mvar-poly$ **where**
 permute-poly-args ($n::nat$) σ $p = indexed-poly-induced-morphism \{..<n\} (R[\mathcal{X}_n])$
 coord-const ($\lambda i. pvar R (\sigma i)$) p

lemma *permute-poly-args-characterization*:
 assumes σ permutes $\{..<n\}$
 shows $(ring-hom-ring (R[\mathcal{X}_n]) (R[\mathcal{X}_n])) (permute-poly-args (n::nat) \sigma))$
 $(\forall i \in \{..<n\}. (permute-poly-args (n::nat) \sigma) (pvar R i) = pvar R (\sigma i))$
 $(\forall a \in carrier R. permute-poly-args (n::nat) \sigma (coord-const a) = (coord-const a))$
 $\langle proof \rangle$

lemma *permute-poly-args-closed*:
 assumes σ permutes $\{..<n\}$
 assumes $p \in carrier (R[\mathcal{X}_n])$
 shows $permute-poly-args n \sigma p \in carrier (R[\mathcal{X}_n])$
 $\langle proof \rangle$

lemma *permute-poly-args-constant*:
 assumes $a \in carrier R$
 assumes σ permutes $\{..<n\}$
 shows $permute-poly-args n \sigma (coord-const a) = (coord-const a)$

$\langle proof \rangle$

```
lemma permute-poly-args-add:
  assumes  $\sigma$  permutes  $\{.. < n\}$ 
  assumes  $p \in \text{carrier } (R[\mathcal{X}_n])$ 
  assumes  $q \in \text{carrier } (R[\mathcal{X}_n])$ 
  shows  $\text{permute-poly-args } n \sigma (p \oplus_{R[\mathcal{X}_n]} q) = (\text{permute-poly-args } n \sigma p) \oplus_{R[\mathcal{X}_n]} (permute-poly-args n \sigma q)$ 
  ⟨proof⟩

lemma permute-poly-args-mult:
  assumes  $\sigma$  permutes  $\{.. < n\}$ 
  assumes  $p \in \text{carrier } (R[\mathcal{X}_n])$ 
  assumes  $q \in \text{carrier } (R[\mathcal{X}_n])$ 
  shows  $\text{permute-poly-args } n \sigma (p \otimes_{R[\mathcal{X}_n]} q) = (\text{permute-poly-args } n \sigma p) \otimes_{R[\mathcal{X}_n]} (permute-poly-args n \sigma q)$ 
  ⟨proof⟩

lemma permute-poly-args-indexed-pmult:
  assumes  $\sigma$  permutes  $\{.. < n\}$ 
  assumes  $p \in \text{carrier } (R[\mathcal{X}_n])$ 
  assumes  $i \in \{.. < n\}$ 
  shows  $(\text{permute-poly-args } n \sigma (p \otimes i)) = (\text{permute-poly-args } n \sigma p) \otimes (\sigma i)$ 
  ⟨proof⟩

lemma permute-list-closed:
  assumes  $a \in \text{carrier } (R^n)$ 
  assumes  $\sigma$  permutes  $\{.. < n\}$ 
  shows  $(\text{permute-list } \sigma a) \in \text{carrier } (R^n)$ 
  ⟨proof⟩

lemma permute-list-set:
  assumes  $a \in \text{carrier } (R^n)$ 
  assumes  $\sigma$  permutes  $\{.. < n\}$ 
  shows  $\text{set } (\text{permute-list } \sigma a) = \text{set } a$ 
  ⟨proof⟩

end

definition perm-map :: ('a, 'b) ring-scheme  $\Rightarrow$  nat  $\Rightarrow$  (nat  $\Rightarrow$  nat)  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  perm-map R n σ = restrict (permute-list σ) (carrier (R^n))

context cring-coord-rings
begin

lemma perm-map-is-struct-map:
  assumes  $\sigma$  permutes  $\{.. < n\}$ 
  shows  $\text{perm-map } R n \sigma \in \text{struct-maps } (R^n) (R^n)$ 
```

$\langle proof \rangle$

```
lemma permute-poly-args-eval:  
  assumes a ∈ carrier (Rn)  
  assumes σ permutes {..<n}  
  assumes p ∈ carrier (R[Xn])  
  shows eval-at-point R a (permute-poly-args n σ p) = eval-at-point R (permute-list  
σ a) p  
 $\langle proof \rangle$ 
```

5.8 Inverse Images of Sets by Tuples of Polynomials

```
definition is-poly-tuple :: nat ⇒ ('a, nat) mvar-poly list ⇒ bool where  
is-poly-tuple (n::nat) fs = (set (fs) ⊆ carrier (R[Xn]))
```

```
lemma is-poly-tupleE:  
  assumes is-poly-tuple n fs  
  assumes j < length fs  
  shows fs ! j ∈ carrier (R[Xn])  
 $\langle proof \rangle$ 
```

```
lemma is-poly-tuple-Cons:  
  assumes is-poly-tuple n fs  
  assumes f ∈ carrier (R[Xn])  
  shows is-poly-tuple n (f#fs)  
 $\langle proof \rangle$ 
```

```
lemma is-poly-tuple-append:  
  assumes is-poly-tuple n fs  
  assumes f ∈ carrier (R[Xn])  
  shows is-poly-tuple n (fs@[f])  
 $\langle proof \rangle$ 
```

```
definition poly-tuple-eval :: ('a, nat) mvar-poly list ⇒ 'a list ⇒ 'a list where  
poly-tuple-eval fs as = map (λ f. eval-at-poly R f as) fs
```

```
lemma poly-tuple-evalE:  
  assumes is-poly-tuple n fs  
  assumes length fs = m  
  assumes as ∈ carrier (Rn)  
  assumes j < m  
  shows (poly-tuple-eval fs as)!j ∈ carrier R  
 $\langle proof \rangle$ 
```

```
lemma poly-tuple-evalE':  
  shows length (poly-tuple-eval fs as) = length fs  
 $\langle proof \rangle$ 
```

```
lemma poly-tuple-evalE'':
```

```

assumes is-poly-tuple n fs
assumes length fs = m
assumes as ∈ carrier (Rn)
assumes j < m
shows (poly-tuple-eval fs as)!j = (eval-at-poly R (fs!j) as)
⟨proof⟩

lemma poly-tuple-eval-closed:
assumes is-poly-tuple n fs
assumes length fs = m
assumes as ∈ carrier (Rn)
shows (poly-tuple-eval fs as) ∈ carrier (Rm)
⟨proof⟩

lemma poly-tuple-eval-Cons:
assumes is-poly-tuple n fs
assumes length fs = m
assumes as ∈ carrier (Rn)
assumes f ∈ carrier (R[Xn])
shows (poly-tuple-eval (f#fs) as) = (eval-at-point R as f) #(poly-tuple-eval fs as)
⟨proof⟩

definition poly-tuple-pullback :: 
nat ⇒ 'a list set ⇒ ('a, nat) mvar-poly list ⇒ 'a list set where
poly-tuple-pullback n S fs = ((poly-tuple-eval fs) - 'S) ∩ (carrier (Rn))

lemma poly-pullbackE:
assumes is-poly-tuple n fs
assumes length fs = m
assumes S ⊆ carrier (Rm)
shows poly-tuple-pullback n S fs ⊆ carrier (Rn)
⟨proof⟩

lemma poly-pullbackE':
assumes is-poly-tuple n fs
assumes length fs = m
assumes S ⊆ carrier (Rm)
assumes as ∈ poly-tuple-pullback n S fs
shows as ∈ carrier (Rn)
    poly-tuple-eval fs as ∈ S
⟨proof⟩

lemma poly-pullbackI:
assumes is-poly-tuple n fs
assumes length fs = m
assumes S ⊆ carrier (Rm)
assumes as ∈ carrier (Rn)
assumes poly-tuple-eval fs as ∈ S
shows as ∈ poly-tuple-pullback n S fs

```

$\langle proof \rangle$

end

coordinate permutations as pullbacks. The point here is to realize that permutations of indices are just pullbacks (or pushforwards) by particular polynomial maps

abbreviation *pvar-list* **where**
pvar-list R n \equiv *map (pvar R) (index-list n)*

lemma *pvar-list-elements*:

assumes *i < n*
shows *pvar-list R n ! i = pvar R i*
 $\langle proof \rangle$

lemma *pvar-list-length*:

length (pvar-list R n) = n
 $\langle proof \rangle$

context *cring-coord-rings*

begin

lemma *pvar-list-is-poly-tuple*:

shows *is-poly-tuple n (pvar-list R n)*
 $\langle proof \rangle$

lemma *permutation-of-poly-list-is-poly-list*:

assumes *is-poly-tuple k fs*
assumes σ permutes $\{.. < \text{length } fs\}$
shows *is-poly-tuple k (permute-list σ fs)*
 $\langle proof \rangle$

lemma *permutation-of-poly-listE*:

assumes *is-poly-tuple k fs*
assumes σ permutes $\{.. < \text{length } fs\}$
assumes *i < length fs*
shows *(permute-list σ fs) ! i = fs ! (σ i)*
 $\langle proof \rangle$

lemma *pushforward-by-permutation-of-poly-list*:

assumes *is-poly-tuple k fs*
assumes σ permutes $\{.. < \text{length } fs\}$
assumes *as ∈ carrier (R^k)*
shows *poly-tuple-eval (permute-list σ fs) as = permute-list σ (poly-tuple-eval fs as)*
 $\langle proof \rangle$

```

lemma pushforward-by-pvar-list:
  assumes as ∈ carrier (Rn)
  shows poly-tuple-eval (pvar-list R n) as = as
  ⟨proof⟩

lemma pushforward-by-permuted-pvar-list:
  assumes σ permutes {.. < n}
  assumes as ∈ carrier (Rn)
  shows poly-tuple-eval (permute-list σ (pvar-list R n)) as = permute-list σ as
  ⟨proof⟩

lemma pullback-by-permutation-of-poly-list:
  assumes σ permutes {.. < n}
  assumes S ⊆ carrier (Rn)
  shows poly-tuple-pullback n S (permute-list σ (pvar-list R n)) =
    permute-list (fun-inv σ) ` S
  ⟨proof⟩

lemma pullback-by-permutation-of-poly-list':
  assumes σ permutes {.. < n}
  assumes S ⊆ carrier (Rn)
  shows poly-tuple-pullback n S (permute-list (fun-inv σ) (pvar-list R n)) =
    permute-list σ ` S
  ⟨proof⟩

```

5.9 Composing Polynomial Tuples With Polynomials

composition of a multivaribale polynomial by a list of polynomials

```

definition poly-compose :: 
  nat ⇒ nat ⇒ ('a, nat) mvar-poly list ⇒ ('a, nat) mvar-poly ⇒ ('a, nat) mvar-poly
where
  poly-compose n m fs = indexed-poly-induced-morphism {.. < n} (coord-ring R m) (λ
    s. R.indexed-const s) (λ i. fs!i)

```

```

lemma poly-compose-var:
  assumes is-poly-tuple m fs
  assumes length fs = n
  assumes j < n
  shows poly-compose n m fs (pvar R j) = (fs!j)
  ⟨proof⟩

```

```

lemma Pring-universal-prop-assms:
  assumes is-poly-tuple m fs
  assumes length fs = n
  shows (λ i. fs!i) ∈ {.. < n} → carrier (coord-ring R m)
    ring-hom-ring R (coord-ring R m) coord-const
  ⟨proof⟩

```

```

lemma poly-compose-ring-hom:

```

```

assumes is-poly-tuple m fs
assumes length fs = n
shows (ring-hom-ring (R[Xn]) (coord-ring R m) (poly-compose n m fs))
⟨proof⟩

lemma poly-compose-closed:
assumes is-poly-tuple m fs
assumes length fs = n
assumes f ∈ carrier (R[Xn])
shows (poly-compose n m fs f) ∈ carrier (coord-ring R m)
⟨proof⟩

lemma poly-compose-add:
assumes is-poly-tuple m fs
assumes length fs = n
assumes f ∈ carrier (R[Xn])
assumes g ∈ carrier (R[Xn])
shows poly-compose n m fs (f ⊕R[Xn] g) = (poly-compose n m fs f) ⊕coord-ring R m
(poly-compose n m fs g)
⟨proof⟩

lemma poly-compose-mult:
assumes is-poly-tuple m fs
assumes length fs = n
assumes f ∈ carrier (R[Xn])
assumes g ∈ carrier (R[Xn])
shows poly-compose n m fs (f ⊗R[Xn] g) = (poly-compose n m fs f) ⊗coord-ring R m
(poly-compose n m fs g)
⟨proof⟩

lemma poly-compose-indexed-pmult:
assumes is-poly-tuple m fs
assumes length fs = n
assumes f ∈ carrier (R[Xn])
assumes i < n
shows poly-compose n m fs (f ⊗ i) = (poly-compose n m fs f) ⊗coord-ring R m
(fs!i)
⟨proof⟩

lemma poly-compose-const:
assumes is-poly-tuple m fs
assumes length fs = n
assumes a ∈ carrier R
shows poly-compose n m fs (coord-const a) = coord-const a
⟨proof⟩

```

evaluating polynomial compositions

```

lemma poly-compose-eval:
assumes is-poly-tuple m fs

```

```

assumes length fs = n
assumes f ∈ carrier (R[Xn])
assumes as ∈ carrier (Rm)
shows eval-at-point R (poly-tuple-eval fs as) f = eval-at-point R as (poly-compose
n m fs f)
⟨proof⟩

```

5.10 Extensional Polynomial Maps

Polynomial Maps between powers of a ring

```

definition poly-map :: nat ⇒ ('a, nat) mvar-poly list ⇒ 'a list ⇒ 'a list where
poly-map n fs = (λa ∈ carrier (Rn). poly-tuple-eval fs a)

```

lemma poly-map-is-struct-map:

```

assumes is-poly-tuple n fs
assumes length fs = m
shows poly-map n fs ∈ struct-maps (Rn) (Rm)
⟨proof⟩

```

lemma poly-map-closed:

```

assumes is-poly-tuple n fs
assumes length fs = m
assumes as ∈ carrier (Rn)
shows poly-map n fs as ∈ carrier (Rm)
⟨proof⟩

```

definition poly-maps :: nat ⇒ nat ⇒ ('a list ⇒ 'a list) set **where**

```

poly-maps n m = {F. (exists fs. length fs = m ∧ is-poly-tuple n fs ∧ F = poly-map n
fs)}

```

lemma poly-maps-memE:

```

assumes F ∈ poly-maps n m
obtains fs where length fs = m ∧ is-poly-tuple n fs ∧ F = poly-map n fs
⟨proof⟩

```

lemma poly-maps-memI:

```

assumes is-poly-tuple n fs
assumes length fs = m
assumes F = poly-map n fs
shows F ∈ poly-maps n m
⟨proof⟩

```

lemma poly-map-compose-closed:

```

assumes is-poly-tuple n fs
assumes length fs = m
assumes is-poly-tuple k gs
assumes length gs = n
shows is-poly-tuple k (map (poly-compose n k gs) fs)
⟨proof⟩

```

```

lemma poly-map-compose-closed':
  assumes is-poly-tuple n fs
  assumes length fs = m
  assumes is-poly-tuple k gs
  assumes length gs = n
  shows poly-map k (map (poly-compose n k gs) fs) ∈ poly-maps k m
  ⟨proof⟩

lemma poly-map-pullback-char:
  assumes is-poly-tuple n fs
  assumes length fs = m
  assumes is-poly-tuple k gs
  assumes length gs = n
  shows (pullback (Rk) (poly-map k gs) (poly-map n fs)) =
    poly-map k (map (poly-compose n k gs) fs)
  ⟨proof⟩

lemma poly-map-pullback-closed:
  assumes F ∈ poly-maps n m
  assumes G ∈ poly-maps k n
  shows (pullback (Rk) G F) ∈ poly-maps k m
  ⟨proof⟩

lemma poly-map-cons:
  assumes a ∈ carrier (Rn)
  shows poly-map n (f#fs) a = (eval-at-point R a f) # poly-map n fs a
  ⟨proof⟩

lemma poly-map-append:
  assumes a ∈ carrier (Rn)
  shows poly-map n (fs@gs) a = (poly-map n fs a) @ (poly-map n gs a)
  ⟨proof⟩

```

6 Nesting of Polynomial Rings

```

lemma poly-ring-car-mono:
  assumes n ≤ m
  shows carrier (R[ $\mathcal{X}_n$ ]) ⊆ carrier (coord-ring R m)
  ⟨proof⟩

lemma poly-ring-car-mono'[simp]:
  shows carrier (R[ $\mathcal{X}_n$ ]) ⊆ carrier (R[ $\mathcal{X}_{Suc\ n}$ ])
    carrier (R[ $\mathcal{X}_n$ ]) ⊆ carrier (R[ $\mathcal{X}_{n+m}$ ])
  ⟨proof⟩

lemma poly-ring-add-mono:
  assumes n ≤ m
  assumes A ∈ carrier (R[ $\mathcal{X}_n$ ])

```

assumes $B \in \text{carrier } (R[\mathcal{X}_n])$
shows $A \oplus_{R[\mathcal{X}_n]} B = A \oplus_{\text{coord-ring } R[m]} B$
 $\langle \text{proof} \rangle$

lemma *poly-ring-add-mono'*:
assumes $A \in \text{carrier } (R[\mathcal{X}_n])$
assumes $B \in \text{carrier } (R[\mathcal{X}_n])$
shows $A \oplus_{R[\mathcal{X}_n]} B = A \oplus_{R[\mathcal{X}_{\text{Suc } n}]} B$
 $A \oplus_{R[\mathcal{X}_n]} B = A \oplus_{R[\mathcal{X}_{n+m}]} B$
 $\langle \text{proof} \rangle$

lemma *poly-ring-times-mono*:
assumes $n \leq m$
assumes $A \in \text{carrier } (R[\mathcal{X}_n])$
assumes $B \in \text{carrier } (R[\mathcal{X}_n])$
shows $A \otimes_{R[\mathcal{X}_n]} B = A \otimes_{\text{coord-ring } R[m]} B$
 $\langle \text{proof} \rangle$

lemma *poly-ring-times-mono'*:
assumes $A \in \text{carrier } (R[\mathcal{X}_n])$
assumes $B \in \text{carrier } (R[\mathcal{X}_n])$
shows $A \otimes_{R[\mathcal{X}_n]} B = A \otimes_{R[\mathcal{X}_{\text{Suc } n}]} B$
 $A \otimes_{R[\mathcal{X}_n]} B = A \otimes_{R[\mathcal{X}_{n+m}]} B$
 $\langle \text{proof} \rangle$

lemma *poly-ring-one-mono*:
assumes $n \leq m$
shows $\mathbf{1}_{R[\mathcal{X}_n]} = \mathbf{1}_{\text{coord-ring } R[m]}$
 $\langle \text{proof} \rangle$

lemma *poly-ring-zero-mono*:
assumes $n \leq m$
shows $\mathbf{0}_{R[\mathcal{X}_n]} = \mathbf{0}_{\text{coord-ring } R[m]}$
 $\langle \text{proof} \rangle$

replacing the variables in a polynomial with new variables

definition *shift-vars* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow ('a, \text{nat}) \text{mvar-poly} \Rightarrow ('a, \text{nat}) \text{mvar-poly}$
where
 $\text{shift-vars } n \ m \ p = \text{indexed-poly-induced-morphism } \{\dots < n\} (R[\mathcal{X}_{n+m}]) \text{ coord-const}$
 $(\lambda i. \text{pvar } R (i + m)) \ p$

lemma *shift-vars-char*:
shows $(\text{ring-hom-ring } (R[\mathcal{X}_n]) (R[\mathcal{X}_{n+m}])) (\text{shift-vars } n \ m)$
 $(\forall i \in \{\dots < n\}. (\text{shift-vars } n \ m) (\text{pvar } R \ i) = \text{pvar } R (i + m))$
 $(\forall a \in \text{carrier } R. (\text{shift-vars } n \ m) (R.\text{indexed-const } a) = (\text{coord-const } a))$
 $\langle \text{proof} \rangle$

lemma *shift-vars-constant*:

```

assumes  $a \in \text{carrier } R$ 
shows  $\text{shift-vars } n \ m \ (\text{coord-const } a) = \text{coord-const } a$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{shift-vars-pvar}:$ 
assumes  $i \in \{\dots < n\}$ 
shows  $\text{shift-vars } n \ m \ (\text{pvar } R \ i) = \text{pvar } R \ (i + m)$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{shift-vars-add}:$ 
assumes  $p \in \text{carrier } (R[\mathcal{X}_n])$ 
assumes  $Q \in \text{carrier } (R[\mathcal{X}_n])$ 
shows  $\text{shift-vars } n \ m \ (p \oplus_{R[\mathcal{X}_n]} Q) = \text{shift-vars } n \ m \ p \oplus_{R[\mathcal{X}_{n+m}]} \text{shift-vars } n \ m \ Q$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{shift-vars-mult}:$ 
assumes  $p \in \text{carrier } (R[\mathcal{X}_n])$ 
assumes  $Q \in \text{carrier } (R[\mathcal{X}_n])$ 
shows  $\text{shift-vars } n \ m \ (p \otimes_{R[\mathcal{X}_n]} Q) = \text{shift-vars } n \ m \ p \otimes_{R[\mathcal{X}_{n+m}]} \text{shift-vars } n \ m \ Q$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{shift-vars-indexed-pmult}:$ 
assumes  $p \in \text{carrier } (R[\mathcal{X}_n])$ 
assumes  $i \in \{\dots < n\}$ 
shows  $\text{shift-vars } n \ m \ (p \otimes \ i) = (\text{shift-vars } n \ m \ p) \otimes_{R[\mathcal{X}_{n+m}]} (\text{pvar } R \ (i + m))$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{shift-vars-closed}:$ 
assumes  $p \in \text{carrier } (R[\mathcal{X}_n])$ 
shows  $\text{shift-vars } n \ m \ p \in \text{carrier } (R[\mathcal{X}_{n+m}])$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{shift-vars-eval}:$ 
assumes  $p \in \text{carrier } (R[\mathcal{X}_n])$ 
assumes  $a \in \text{carrier } (R^m)$ 
assumes  $b \in \text{carrier } (R^n)$ 
shows  $\text{eval-at-point } R \ (a @ b) \ (\text{shift-vars } n \ m \ p) = \text{eval-at-point } R \ b \ p$ 
 $\langle \text{proof} \rangle$ 
```

Evaluating a polynomial from a lower poly ring in a higher power:

```

lemma  $\text{poly-eval-cartesian-prod}:$ 
assumes  $a \in \text{carrier } (R^n)$ 
assumes  $b \in \text{carrier } (R^m)$ 
assumes  $p \in \text{carrier } (R[\mathcal{X}_n])$ 
shows  $\text{eval-at-point } R \ a \ p = \text{eval-at-point } R \ (a @ b) \ p$ 
 $\langle \text{proof} \rangle$ 
```

Evaluating polynomials at points in higher powers:

```
lemma eval-at-points-higher-pow:
  assumes  $p \in \text{carrier } (R[\mathcal{X}_n])$ 
  assumes  $k \geq n$ 
  assumes  $a \in \text{carrier } (R^k)$ 
  shows eval-at-point  $R a p = \text{eval-at-point } R (\text{take } n a) p$ 
  ⟨proof⟩
```

6.1 Diagonal sets in even powers of R

In this section, by a diagonal set in $R^{(2m)}$ we will mean the set of points (x, x) , where $x \in R^m$. This is slightly different from the standard definition. Introducing these sets will be useful for reasoning about multiplicative inverses of functions later on.

```
definition diagonal :: nat  $\Rightarrow$  'a list set where
  diagonal  $m = \{x \in \text{carrier } (R^{m+m}). \text{take } m x = \text{drop } m x\}$ 
```

```
lemma diagonalE:
  assumes  $x \in \text{diagonal } m$ 
  shows  $x = (\text{take } m x) @ (\text{take } m x)$ 
     $x \in \text{carrier } (R^{m+m})$ 
     $\text{take } m x \in \text{carrier } (R^m)$ 
     $\bigwedge i. i < m \implies x!i = x!(i + m)$ 
  ⟨proof⟩
```

```
lemma diagonalI:
  assumes  $x = (\text{take } m x) @ (\text{take } m x)$ 
  assumes  $\text{take } m x \in \text{carrier } (R^m)$ 
  shows  $x \in \text{diagonal } m$ 
  ⟨proof⟩
```

```
definition diag-def-poly :: nat  $\Rightarrow$  nat  $\Rightarrow$  ('a, nat) mvar-poly where
  diag-def-poly  $n i = \text{pvar } R i \ominus_{\text{coord-ring } R} (n + n) \text{ pvar } R (i + n)$ 
```

```
lemma diag-def-poly-closed:
  assumes  $i < n$ 
  shows  $\text{diag-def-poly } n i \in \text{carrier } (\text{coord-ring } R (n + n))$ 
  ⟨proof⟩
```

```
lemma diag-def-poly-eval:
  assumes  $i < n$ 
  assumes  $x \in \text{carrier } (R^{n+n})$ 
  shows eval-at-point  $R x (\text{diag-def-poly } n i) = (x!i) \ominus (x!(i + n))$ 
  ⟨proof⟩
```

```
definition diag-def-poly-set :: nat  $\Rightarrow$  ('a, nat) mvar-poly set where
  diag-def-poly-set  $n = \text{diag-def-poly } n ` \{.. < n\}$ 
```

```

lemma diag-def-poly-set-in-coord-ring:
  shows diag-def-poly-set n ⊆ carrier (coord-ring R (n + n))
  ⟨proof⟩

lemma diag-def-poly-set-finite:
  finite (diag-def-poly-set n)
  ⟨proof⟩

lemma diag-def-poly-eval-at-diagonal:
  assumes x ∈ diagonal n
  assumes i < n
  shows eval-at-point R x (diag-def-poly n i) = 0
  ⟨proof⟩

lemma diagonal-as-affine-alg-set:
  shows diagonal n = affine-alg-set R (n + n) (diag-def-poly-set n)
  ⟨proof⟩

lemma diagonal-is-algebraic:
  shows is-algebraic R (n + n) (diagonal n)
  ⟨proof⟩

end

```

6.2 Tuples of Functions

```

definition is-function-tuple :: ('a, 'b) ring-scheme ⇒ nat ⇒ ('a list ⇒ 'a) list ⇒
  bool where
  is-function-tuple R n fs = (set fs ⊆ carrier (Rn) → carrier R)

lemma is-function-tupleI:
  assumes (set fs ⊆ carrier (Rn) → carrier R)
  shows is-function-tuple R n fs
  ⟨proof⟩

lemma is-function-tuple-append:
  assumes is-function-tuple R n fs
  assumes is-function-tuple R n gs
  shows is-function-tuple R n (fs @ gs)
  ⟨proof⟩

lemma is-function-tuple-Cons:
  assumes is-function-tuple R n fs
  assumes f ∈ carrier (Rn) → carrier R
  shows is-function-tuple R n (f # fs)
  ⟨proof⟩

lemma is-function-tuple-snoc:

```

```

assumes is-function-tuple R n fs
assumes f ∈ carrier (Rn) → carrier R
shows is-function-tuple R n (fs@[f])
⟨proof⟩

lemma is-function-tuple-list-update:
assumes is-function-tuple R n fs
assumes f ∈ carrier (Rn) → carrier R
assumes i < n
shows is-function-tuple R n (fs[i := f])
⟨proof⟩

definition function-tuple-eval :: 'b ⇒ 'c ⇒ ('d ⇒ 'a) list ⇒ 'd ⇒ 'a list where
function-tuple-eval R n fs x = map (λf. f x) fs

lemma function-tuple-eval-closed:
assumes is-function-tuple R n fs
assumes x ∈ carrier (Rn)
shows function-tuple-eval R n fs x ∈ carrier (Rlength fs)
⟨proof⟩

definition coord-fun :: 
('a, 'c) ring-scheme ⇒ nat ⇒ ('a list ⇒ 'b list) ⇒ nat ⇒ 'a list ⇒ 'b where
coord-fun R n g i = (λx ∈ carrier (Rn). (g x) ! i)

lemma(in cring) map-is-coord-fun-tuple:
assumes g ∈ carrier (Rn) →E carrier (Rm)
shows g = (λx ∈ carrier (Rn). function-tuple-eval R n (map (coord-fun R n g)
[0..<m]) x)
⟨proof⟩

definition function-tuple-comp :: 
'c ⇒ ('a ⇒ 'd) list ⇒ ('d list ⇒ 'b) ⇒ 'a ⇒ 'b where
function-tuple-comp R fs f = f ∘ (function-tuple-eval R (0::nat) fs)

lemma function-tuple-comp-closed:
assumes f ∈ carrier (Rn) → carrier R
assumes length fs = n
assumes is-function-tuple R m fs
shows function-tuple-comp R fs f ∈ carrier (Rm) → carrier R
⟨proof⟩

fun id-function-tuple where
id-function-tuple (R:('a,'b) partial-object-scheme) 0 = []
id-function-tuple R (Suc n) = id-function-tuple R n @ [(λ(x:'a list). x! n)] 

lemma id-function-tuple-is-function-tuple:
 $\wedge k. k \geq n \implies$  is-function-tuple R k (id-function-tuple R n)
⟨proof⟩

```

```

lemma id-function-tuple-is-function-tuple':
  is-function-tuple R n (id-function-tuple R n)
  ⟨proof⟩

lemma id-function-tuple-eval-is-take:
  assumes a ∈ carrier (Rn)
  shows k ≤ n ⇒ function-tuple-eval R n (id-function-tuple R k) a = take k a
  ⟨proof⟩

lemma id-function-tuple-eval-is-id:
  assumes a ∈ carrier (Rn)
  shows function-tuple-eval R n (id-function-tuple R n) a = a
  ⟨proof⟩

Composing a function tuple with a polynomial

definition poly-function-tuple-comp :: 
  ('a, 'b) ring-scheme ⇒ nat ⇒ ('a list ⇒ 'a) list ⇒ ('a, nat) mvar-poly ⇒ 'a list
  ⇒ 'a where
    poly-function-tuple-comp R n fs f = eval-at-poly R f ∘ function-tuple-eval R n fs

context cring-coord-rings
begin

lemma poly-function-tuple-comp-closed:
  assumes is-function-tuple R n fs
  assumes f ∈ carrier (coord-ring R (length fs))
  shows poly-function-tuple-comp R n fs f ∈ carrier (Rn) → carrier R
  ⟨proof⟩

lemma poly-function-tuple-comp-eq:
  assumes is-function-tuple R n fs
  assumes f ∈ carrier (coord-ring R (length fs))
  assumes a ∈ carrier (Rn)
  shows poly-function-tuple-comp R n fs f a = eval-at-poly R f (function-tuple-eval
  R n fs a)
  ⟨proof⟩

lemma poly-function-tuple-comp-constant:
  assumes is-function-tuple R n fs
  assumes a ∈ carrier R
  assumes x ∈ carrier (Rn)
  shows poly-function-tuple-comp R n fs (coord-const a) x = a
  ⟨proof⟩

lemma poly-function-tuple-comp-add:
  assumes is-function-tuple R n fs
  assumes k ≤ length fs
  assumes p ∈ carrier (coord-ring R k)

```

```

assumes  $Q \in \text{carrier}(\text{coord-ring } R \ k)$ 
assumes  $x \in \text{carrier}(R^n)$ 
shows  $\text{poly-function-tuple-comp } R \ n \ fs \ (p \oplus_{R[\mathcal{X}_n]} Q) \ x =$ 
       $(\text{poly-function-tuple-comp } R \ n \ fs \ p \ x) \oplus (\text{poly-function-tuple-comp } R \ n \ fs$ 
 $Q \ x)$ 
⟨proof⟩

lemma  $\text{poly-function-tuple-comp-mult:}$ 
assumes  $\text{is-function-tuple } R \ n \ fs$ 
assumes  $k \leq \text{length } fs$ 
assumes  $p \in \text{carrier}(\text{coord-ring } R \ k)$ 
assumes  $Q \in \text{carrier}(\text{coord-ring } R \ k)$ 
assumes  $x \in \text{carrier}(R^n)$ 
shows  $\text{poly-function-tuple-comp } R \ n \ fs \ (p \otimes_{R[\mathcal{X}_n]} Q) \ x =$ 
       $(\text{poly-function-tuple-comp } R \ n \ fs \ p \ x) \otimes (\text{poly-function-tuple-comp } R \ n \ fs$ 
 $Q \ x)$ 
⟨proof⟩

lemma  $\text{poly-function-tuple-comp-pvar:}$ 
assumes  $\text{is-function-tuple } R \ n \ fs$ 
assumes  $k < \text{length } fs$ 
assumes  $x \in \text{carrier}(R^n)$ 
shows  $\text{poly-function-tuple-comp } R \ n \ fs \ (\text{pvar } R \ k) \ x = (fs ! k) \ x$ 
⟨proof⟩

end

The coordinate ring of polynomials indexed by natural numbers
definition  $\text{Coord-ring} :: ('a, 'b) \text{ ring-scheme} \Rightarrow ('a, ('a, nat) \text{ mvar-poly}) \text{ module}$ 
where
 $\text{Coord-ring } R = \text{Pring } R \ (\text{UNIV} :: \text{nat set})$ 

Some general closure lemmas for coordinate rings
context  $\text{cring-coord-rings}$ 
begin
lemma  $\text{coord-ring-monom-term-closed:}$ 
assumes  $a \in \text{carrier}(R[\mathcal{X}_n])$ 
assumes  $b \in \text{carrier}(R[\mathcal{X}_n])$ 
shows  $a \otimes_{(R[\mathcal{X}_n])} b[\triangleright]_{(R[\mathcal{X}_n])}(n::nat) \in \text{carrier}(R[\mathcal{X}_n])$ 
⟨proof⟩

lemma  $\text{coord-ring-monom-term-plus-closed:}$ 
assumes  $a \in \text{carrier}(R[\mathcal{X}_n])$ 
assumes  $b \in \text{carrier}(R[\mathcal{X}_n])$ 
assumes  $c \in \text{carrier}(R[\mathcal{X}_n])$ 
shows  $c \oplus_{(R[\mathcal{X}_n])} a \otimes_{(R[\mathcal{X}_n])} b[\triangleright]_{(R[\mathcal{X}_n])}(n::nat) \in \text{carrier}(R[\mathcal{X}_n])$ 
⟨proof⟩

end

```

6.3 Generic Univariate Polynomials

By a generic univariate polynomial, we mean a polynomial in one variable whose coefficients are coordinate functions over a ring. That is, a polynomial of the form:

$$f(t) = x_0 + x_1 t + \cdots + x_n t^n$$

Such a polynomial can be construed as an element of $R[x_0, \dots, x_n](t)$, or as an element of $R[x_0, \dots, x_n, x_n n + 1]$. We will initially define the latter version, and show that it can easily be cast to the former using the function “IP_to_UP”. Such a polynomial can be cast to a univariate polynomial over the ring R by substituting a tuple of ring elements for the coefficients.

```
definition generic-poly-lt :: ('a, 'b) ring-scheme ⇒ nat ⇒ ('a, nat) mvar-poly
where
generic-poly-lt R n = (pvar R (Suc n)) ⊗ coord-ring R (Suc (Suc n)) (pvar R 0)[ ]coord-ring R (Suc (Suc n))
n

fun generic-poly where
generic-poly R (0::nat) = pvar R 1|
generic-poly R (Suc n) = (generic-poly R n) ⊕(coord-ring R (n+3)) generic-poly-lt
R (Suc n)

context cring-coord-rings
begin

lemma generic-poly-lt-closed:
generic-poly-lt R n ∈ carrier (coord-ring R (Suc (Suc n)))
⟨proof⟩

lemma generic-poly-lt-eval:
assumes a ∈ carrier (Rn+2)
shows eval-at-point R a (generic-poly-lt R n) = a!(Suc n) ⊗ (a!0)[ ]n
⟨proof⟩

lemma generic-poly-closed:
generic-poly R n ∈ carrier (coord-ring R (Suc (Suc n)))
⟨proof⟩

lemma generic-poly-closed':
assumes k ≤ n
shows generic-poly R k ∈ carrier (coord-ring R (Suc (Suc n)))
⟨proof⟩

lemma generic-poly-eval-at-point:
assumes a ∈ carrier (Rn+3)
shows eval-at-point R a (generic-poly R (Suc n)) = (eval-at-point R a (generic-poly
R n)) ⊕
```

(a!(n + 2)) \otimes (a!0)[\triangleright](Suc n)
 $\langle proof \rangle$

end

We can turn points in R^{n+1} into univariate polynomials with the associated coefficients via partial evaluation of the generic polynomials of degree n .

definition *ring-cfs-to-poly* ::

$('a, 'b) ring-scheme \Rightarrow nat \Rightarrow 'a list \Rightarrow ('a, nat) mvar-poly$ **where**
ring-cfs-to-poly R n as = coord-partial-eval R {1..<n+2} (0_R#as) (generic-poly R n)

context *cring-coord-rings*
begin

lemma *ring-cfs-to-poly-closed*:

assumes *as* \in carrier ($R^{Suc n}$)
shows *ring-cfs-to-poly R n as* \in carrier (*coord-ring R 1*)
 $\langle proof \rangle$

Variant which maps to the univariate polynomial ring

definition *ring-cfs-to-univ-poly* :: *nat* \Rightarrow *'a list* \Rightarrow *nat* \Rightarrow *'a* **where**
ring-cfs-to-univ-poly n as = IP-to-UP (0::nat) (ring-cfs-to-poly R n as)

lemma *ring-cfs-to-univ-poly-closed*:

assumes *as* \in carrier ($R^{Suc n}$)
shows *ring-cfs-to-univ-poly n as* \in carrier (*UP R*)
 $\langle proof \rangle$

lemma *ring-cfs-to-poly-eq*:

assumes *as* \in carrier ($R^{Suc n}$)
assumes *k* \leq *n*
shows *ring-cfs-to-poly R k as = ring-cfs-to-poly R k (take (Suc k) as)*
 $\langle proof \rangle$

lemma *coord-partial-eval-generic-poly-lt*:

assumes *as* \in carrier ($R^{Suc n}$)
shows *coord-partial-eval R {1..<n+2} (0_R#as) (generic-poly-lt R n) = poly-scalar-mult R (as!n) ((pvar R 0)[\triangleright]_{coord-ring R (n+2)} n)*
 $\langle proof \rangle$

lemma *coord-partial-eval-generic-poly-lt'*:

assumes *as* \in carrier ($R^{Suc n}$)
shows *coord-partial-eval R {1..<n+2} (0_R#as) (generic-poly-lt R n) = poly-scalar-mult R (as!n) ((pvar R 0)[\triangleright]_{coord-ring R 1} n)*
 $\langle proof \rangle$

lemma *ring-cfs-to-poly-decomp*:

assumes *as* \in carrier ($R^{Suc (Suc n)}$)

shows *ring-cfs-to-poly R (Suc n) as = ring-cfs-to-poly R n as* $\oplus_{\text{coord-ring } R} R_1$
poly-scalar-mult R (as!(Suc n)) ((pvar R 0)[\]_{\text{coord-ring } R} R_1 (\Suc n))

(proof)

lemma *ring-cfs-to-poly-decomp'*:

assumes *as* \in *carrier (R^{Suc (Suc n)})*

shows *ring-cfs-to-poly R (Suc n) as =*

ring-cfs-to-poly R n (take (Suc n) as) $\oplus_{\text{coord-ring } R} R_1$

poly-scalar-mult R (as!(Suc n)) ((pvar R 0)[\]_{\text{coord-ring } R} R_1 (\Suc n))

(proof)

lemma *ring-cfs-to-univ-poly-decomp'*:

assumes *as* \in *carrier (R^{Suc (Suc n)})*

shows *ring-cfs-to-univ-poly (Suc n) as =*

ring-cfs-to-univ-poly n (take (Suc n) as) $\oplus_{UP R}$

(as!(Suc n)) \odot_{UP R} (X-poly R [\]_{UP R} (\Suc n))

(proof)

lemma *ring-cfs-to-univ-poly-decomp:*

assumes *as* \in *carrier (R^{Suc n})*

assumes *k < n*

shows *ring-cfs-to-univ-poly (Suc k) (take (Suc (Suc k)) as) = ring-cfs-to-univ-poly k (take (Suc k) as)*

$\oplus_{UP R} (as!(Suc k)) \odot_{UP R} (X-poly R)[\]_{UP R} (\Suc k)$

(proof)

lemma *ring-cfs-to-univ-poly-degree:*

assumes *as* \in *carrier (R^{Suc n})*

shows *deg R (ring-cfs-to-univ-poly n as) $\leq n$*

as!n $\neq \mathbf{0} \implies \deg R (\ring-cfs-to-univ-poly n as) = n$

(proof)

lemma *ring-cfs-to-univ-poly-constant:*

assumes *as* \in *carrier (R¹)*

shows *ring-cfs-to-univ-poly 0 as = to-polynomial R (as!0)*

(proof)

lemma *ring-cfs-to-univ-poly-top-coeff:*

assumes *as* \in *carrier (R^{Suc n})*

shows *(ring-cfs-to-univ-poly n as) n = as ! n*

(proof)

lemma(in UP-crng) monom-plus-lower-degree-top-coeff:

assumes *degree p < n*

assumes *p \in carrier (UP R)*

assumes *a \in carrier R*

shows *(p $\oplus_{UP R}$ (a $\odot_{UP R}$ (X-poly R)[\]_{UP R} n)) n = a*

(proof)

```

lemma(in UP-cring) monom-closed:
  assumes a ∈ carrier R
  shows a ⊕UP R ((X-poly R)[↑UP R (n::nat)]) ∈ carrier (UP R)
  ⟨proof⟩

lemma(in UP-cring) monom-bottom-coeff:
  assumes a ∈ carrier R
  assumes n > 0
  shows (a ⊕UP R ((X-poly R)[↑UP R (n::nat)])) 0 = 0
  ⟨proof⟩

lemma(in UP-cring) monom-plus-lower-degree-bottom-coeff:
  assumes 0 < n
  assumes p ∈ carrier (UP R)
  assumes a ∈ carrier R
  shows (p ⊕UP R (a ⊕UP R (X-poly R)[↑UP R (n::nat)])) 0 = p 0
  ⟨proof⟩

lemma ring-cfs-to-univ-poly-bottom-coeff:
  assumes as ∈ carrier (RSuc n)
  shows (ring-cfs-to-univ-poly n as) 0 = as ! 0
  ⟨proof⟩

lemma ring-cfs-to-univ-poly-chain:
  assumes as ∈ carrier (RSuc n)
  assumes l ≤ n
  shows l ≤ k ∧ k ≤ n ⇒ (ring-cfs-to-univ-poly k (take (Suc k) as)) l =
  (ring-cfs-to-univ-poly l (take (Suc l) as)) l
  ⟨proof⟩

lemma ring-cfs-to-univ-poly-coeffs:
  assumes as ∈ carrier (RSuc n)
  assumes l ≤ n
  shows (ring-cfs-to-univ-poly n as) l = (ring-cfs-to-univ-poly l (take (Suc l) as)) l
  ⟨proof⟩

lemma ring-cfs-to-univ-poly-coeffs':
  assumes as ∈ carrier (RSuc n)
  assumes l ≤ n
  shows (ring-cfs-to-univ-poly n as) l = as! l
  ⟨proof⟩

lemma ring-cfs-to-univ-poly-coeffs'':
  assumes as ∈ carrier (RSuc n)
  shows (ring-cfs-to-univ-poly n as) l = (if l ≤ n then as! l else 0)
  ⟨proof⟩
end

definition fun-tuple-to-univ-poly where

```

```
fun-tuple-to-univ-poly R n m fs x = cring-coord-rings.ring-cfs-to-univ-poly R m
(function-tuple-eval R n fs x)
```

```
context cring-coord-rings
begin
```

```
lemma fun-tuple-to-univ-poly-closed:
assumes is-function-tuple R n fs
assumes x ∈ carrier (Rn)
assumes length fs = Suc m
shows fun-tuple-to-univ-poly R n m fs x ∈ carrier (UP R)
⟨proof⟩
```

```
lemma fun-tuple-to-univ-poly-degree-bound:
assumes is-function-tuple R n fs
assumes x ∈ carrier (Rn)
assumes length fs = Suc m
shows deg R (fun-tuple-to-univ-poly R n m fs x) ≤ m
⟨proof⟩
```

```
lemma fun-tuple-to-univ-poly-degree:
assumes is-function-tuple R n fs
assumes x ∈ carrier (Rn)
assumes length fs = Suc m
assumes (fs!m) x ≠ 0
shows deg R (fun-tuple-to-univ-poly R n m fs x) = m
⟨proof⟩
```

6.4 Factoring a Polynomial as a Univariate Polynomial over a Multivariable Polynomial Ring

```
definition pre-to-univ-poly-hom :: nat ⇒ nat ⇒ ('a, (('a, nat) mvar-poly, nat)
mvar-poly) ring-hom where
pre-to-univ-poly-hom n i = MP.indexed-const (n-1) ∘
R.indexed-const
```

```
lemma pre-to-univ-poly-hom-is-hom:
assumes i < n
shows ring-hom-ring R (Pring (coord-ring R (n-1)) {i}) (pre-to-univ-poly-hom
n i)
⟨proof⟩
```

```
definition pre-to-univ-poly-var-ass :: nat ⇒ nat ⇒ nat ⇒ (('a, nat) mvar-poly, nat) mvar-poly where
pre-to-univ-poly-var-ass n i j = (if j < i then MP.indexed-const (n-1) (pvar R j)
else
(if j = i then pvar (coord-ring R (n-1)) i else
(if j < n then MP.indexed-const (n-1) (pvar R (j - 1)) else
```

```

 $\mathbf{0}_{Pring \ (coord-ring \ R \ (n-1)) \ \{i\})})$ 

lemma pre-to-univ-poly-var-ass-closed:
  assumes  $i < n$ 
  shows closed-fun ( $Pring \ (coord-ring \ R \ (n-1)) \ \{i\}$ ) (pre-to-univ-poly-var-ass  $n$   $i$ )
  ⟨proof⟩

lemma pre-to-univ-poly-var-ass-closed':
  assumes  $i < n$ 
  shows (pre-to-univ-poly-var-ass  $n$   $i$ )  $\in \{\dots < n\} \rightarrow carrier \ (Pring \ (coord-ring \ R \ (n-1)) \ \{i\})$ 
  ⟨proof⟩

definition pre-to-univ-poly :: 
  nat  $\Rightarrow$  nat  $\Rightarrow$  (('a, nat) mvar-poly, (('a, nat) mvar-poly, nat) mvar-poly) ring-hom
where
  pre-to-univ-poly ( $n::nat$ ) ( $i::nat$ ) = indexed-poly-induced-morphism  $\{\dots < n\}$  ( $Pring \ (coord-ring \ R \ (n-1)) \ \{i\}$ )
    (pre-to-univ-poly-hom  $n$   $i$ )
    (pre-to-univ-poly-var-ass  $n$   $i$ )

lemma pre-to-univ-poly-is-hom:
  assumes  $i < n$ 
  assumes  $\psi = pre-to-univ-poly \ n \ i$ 
  shows ring-hom-ring ( $R[\mathcal{X}_n]$ ) ( $Pring \ (coord-ring \ R \ (n-1)) \ \{i\}$ )  $\psi$ 
     $\wedge j. \ j < i \implies \psi(pvar \ R \ j) = MP.indexed-const \ (n-1) \ (pvar \ R \ j)$ 
     $\psi(pvar \ R \ i) = pvar \ (coord-ring \ R \ (n-1)) \ i$ 
     $\wedge j. \ i < j \wedge j < n \implies \psi(pvar \ R \ j) = MP.indexed-const \ (n-1) \ (pvar \ R \ (j-1))$ 
     $\wedge a. \ a \in carrier \ R \implies \psi(coord-const \ a) = MP.indexed-const \ (n-1) \ (coord-const \ a)$ 
     $\wedge p. \ p \in carrier \ (R[\mathcal{X}_n]) \implies pre-to-univ-poly \ n \ i \ p \in carrier \ (Pring \ (coord-ring \ R \ (n-1)) \ \{i\})$ 
  ⟨proof⟩

lemma insert-at-index-closed:
  assumes  $a \in carrier \ (R^n)$ 
  assumes  $x \in carrier \ R$ 
  assumes  $i \leq n$ 
  shows insert-at-index  $a \ x \ i \in carrier \ (R^{Suc \ n})$ 
  ⟨proof⟩

lemma pre-to-univ-poly-eval:
  assumes  $i < Suc \ n$ 
  assumes  $p \in carrier \ (R[\mathcal{X}_{Suc \ n}])$ 
  assumes  $a \in carrier \ (R^n)$ 
  assumes  $x \in carrier \ R$ 
  assumes  $as = insert-at-index \ a \ x \ i$ 

```

shows eval-at-point R as $p = \text{eval-at-point } R \ a \ (\text{total-eval } (R[\mathcal{X}_n]) \ (\lambda i. \text{co-ord-const } x) \ (\text{pre-to-univ-poly } (\text{Suc } n) \ i \ p))$
 $\langle \text{proof} \rangle$

definition pre-to-univ-poly-inv-hom ::

$\text{nat} \Rightarrow \text{nat} \Rightarrow (('a, \text{nat}) \text{ mvar-poly}, ('a, \text{nat}) \text{ mvar-poly}) \text{ ring-hom}$ **where**
 $\text{pre-to-univ-poly-inv-hom } n \ i = R.\text{relabel-vars } \{\dots < (n-1)\} \ \{\dots < n\} \ (\lambda j. \text{if } j < i \text{ then } j \text{ else } j + 1)$

lemma pre-to-univ-poly-inv-hom-is-hom:

assumes $i < \text{Suc } n$

shows ring-hom-ring $(R[\mathcal{X}_n]) \ (R[\mathcal{X}_{\text{Suc } n}])$ (pre-to-univ-poly-inv-hom $(\text{Suc } n) \ i$)
 $\langle \text{proof} \rangle$

lemma pre-to-univ-poly-inv-hom-const:

assumes $i < \text{Suc } n$

assumes $k \in \text{carrier } R$

shows (pre-to-univ-poly-inv-hom $(\text{Suc } n) \ i$) $(R.\text{indexed-const } k) = R.\text{indexed-const}$

k

$\langle \text{proof} \rangle$

lemma pre-to-univ-poly-inv-hom-pvar-0:

assumes $i < \text{Suc } n$

assumes $j < i$

shows pre-to-univ-poly-inv-hom $(\text{Suc } n) \ i \ (pvar R j) = pvar R j$

$\langle \text{proof} \rangle$

lemma pre-to-univ-poly-inv-hom-pvar-1:

assumes $i < \text{Suc } n$

assumes $i \leq j$

assumes $j < n$

shows pre-to-univ-poly-inv-hom $(\text{Suc } n) \ i \ (pvar R j) = pvar R (j + 1)$

$\langle \text{proof} \rangle$

definition pre-to-univ-poly-inv-var-ass ::

$\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow ('a, \text{nat}) \text{ mvar-poly}$ **where**

$\text{pre-to-univ-poly-inv-var-ass } n \ i \ j = pvar R i$

lemma pre-to-univ-poly-inv-var-ass-closed:

assumes $i < \text{Suc } n$

shows pre-to-univ-poly-inv-var-ass $(\text{Suc } n) \ i \in \{i\} \rightarrow \text{carrier } (R[\mathcal{X}_{\text{Suc } n}])$

$\langle \text{proof} \rangle$

definition pre-to-univ-poly-inv ::

$\text{nat} \Rightarrow \text{nat} \Rightarrow (((('a, \text{nat}) \text{ mvar-poly}, \text{nat}) \text{ mvar-poly}, ('a, \text{nat}) \text{ mvar-poly}) \text{ ring-hom}$

where

$\text{pre-to-univ-poly-inv } n \ i = \text{indexed-poly-induced-morphism } \{i\} \ (R[\mathcal{X}_n])$

$(\text{pre-to-univ-poly-inv-hom } n \ i) \ (\text{pre-to-univ-poly-inv-var-ass } n \ i)$

lemma *pre-to-univ-poly-inv-is-hom*:

assumes $i < \text{Suc } n$

shows *ring-hom-ring* (*Ring* ($R[\mathcal{X}_n]$) $\{i\}$) ($R[\mathcal{X}_{\text{Suc } n}]$) (*pre-to-univ-poly-inv* ($\text{Suc } n$) i)

$\langle \text{proof} \rangle$

lemma *pre-to-univ-poly-inv-pvar*:

assumes $i < \text{Suc } n$

shows (*pre-to-univ-poly-inv* ($\text{Suc } n$) i) (*pvar* ($R[\mathcal{X}_n]$) i) = *pvar R i*

$\langle \text{proof} \rangle$

lemma *pre-to-univ-poly-inv-const*:

assumes $i < \text{Suc } n$

assumes $p \in \text{carrier } (R[\mathcal{X}_n])$

shows (*pre-to-univ-poly-inv* ($\text{Suc } n$) i) (*ring.indexed-const* ($R[\mathcal{X}_n]$) p) = *pre-to-univ-poly-inv-hom* ($\text{Suc } n$) $i \ p$

$\langle \text{proof} \rangle$

lemma *pre-to-univ-poly-inverse*:

assumes $i < \text{Suc } n$

assumes $p \in \text{carrier } (R[\mathcal{X}_{\text{Suc } n}])$

shows *pre-to-univ-poly-inv* ($\text{Suc } n$) i (*pre-to-univ-poly* ($\text{Suc } n$) $i \ p$) = p

$\langle \text{proof} \rangle$

lemma *coord-ring-car-induct*:

assumes $Q \in \text{carrier } (R[\mathcal{X}_n])$

assumes $\bigwedge c. \ c \in \text{carrier } R \implies A \ (\text{R.indexed-const } c)$

assumes $\bigwedge p \ q. \ p \in \text{carrier } (R[\mathcal{X}_n]) \implies q \in \text{carrier } (R[\mathcal{X}_n]) \implies A \ p \implies A \ q$

$\implies A \ (p \oplus_{R[\mathcal{X}_n]} q)$

assumes $\bigwedge p \ i. \ p \in \text{carrier } (R[\mathcal{X}_n]) \implies i < n \implies A \ p \implies A \ (p \otimes_{R[\mathcal{X}_n]} \text{pvar } R \ i)$

shows $A \ Q$

$\langle \text{proof} \rangle$

lemma *pre-to-univ-poly-inverse'*:

assumes $i < \text{Suc } n$

assumes $p \in \text{carrier } (R[\mathcal{X}_n])$

shows *pre-to-univ-poly* ($\text{Suc } n$) i (*pre-to-univ-poly-inv* ($\text{Suc } n$) i (*MP.indexed-const* $n \ p$)) = *MP.indexed-const* $n \ p$

$\langle \text{proof} \rangle$

definition *to-univ-poly* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow$

$(('a, \text{nat}) \text{mvar-poly}, ('a, \text{nat}) \text{mvar-poly u-poly}) \text{ring-hom}$ **where**
 $\text{to-univ-poly } n \ i = \text{IP-to-UP } i \circ (\text{pre-to-univ-poly } n \ i)$

definition *from-univ-poly* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow$

(('a, nat) mvar-poly u-poly , ('a, nat) mvar-poly) ring-hom **where**
 from-univ-poly n i = pre-to-univ-poly-inv n i o (UP-to-IP (coord-ring R (n-1))
 i)

lemma to-univ-poly-is-hom:

assumes i ≤ n
shows (to-univ-poly (Suc n) i) ∈ ring-hom (R[X_{Suc n}]) (UP (R[X_n]))
 ⟨proof⟩

lemma from-univ-poly-is-hom:

assumes i ≤ n
shows (from-univ-poly (Suc n) i) ∈ ring-hom (UP (R[X_n])) (R[X_{Suc n}])
 ⟨proof⟩

lemma to-univ-poly-inverse:

assumes i ≤ n
assumes p ∈ carrier (R[X_{Suc n}])
shows from-univ-poly (Suc n) i (to-univ-poly (Suc n) i p) = p
 ⟨proof⟩

lemma to-univ-poly-closed:

assumes i ≤ n
assumes p ∈ carrier (R[X_{Suc n}])
shows to-univ-poly (Suc n) i p ∈ carrier (UP (R[X_n]))
 ⟨proof⟩

lemma to-univ-poly-add:

assumes i ≤ n
assumes p ∈ carrier (R[X_{Suc n}])
assumes Q ∈ carrier (R[X_{Suc n}])
shows to-univ-poly (Suc n) i (p ⊕_{R[X_{Suc n}]} Q) =
 to-univ-poly (Suc n) i p ⊕_{UP (R[X_n])} to-univ-poly (Suc n) i Q
 ⟨proof⟩

lemma to-univ-poly-mult:

assumes i ≤ n
assumes p ∈ carrier (R[X_{Suc n}])
assumes Q ∈ carrier (R[X_{Suc n}])
shows to-univ-poly (Suc n) i (p ⊗_{R[X_{Suc n}]} Q) =
 to-univ-poly (Suc n) i p ⊗_{UP (R[X_n])} to-univ-poly (Suc n) i Q
 ⟨proof⟩

lemma from-univ-poly-closed:

assumes i ≤ n
assumes p ∈ carrier (UP (R[X_n]))
shows from-univ-poly (Suc n) i p ∈ carrier (R[X_{Suc n}])
 ⟨proof⟩

lemma *from-univ-poly-add*:

- assumes** $i \leq n$
- assumes** $p \in \text{carrier}(\text{UP}(R[\mathcal{X}_n]))$
- assumes** $Q \in \text{carrier}(\text{UP}(R[\mathcal{X}_n]))$
- shows** $\text{from-univ-poly}(\text{Suc } n) i (p \oplus_{\text{UP}(R[\mathcal{X}_n])} Q) =$
 $\text{from-univ-poly}(\text{Suc } n) i p \oplus_{R[\mathcal{X}_{\text{Suc } n}]} \text{from-univ-poly}(\text{Suc } n) i Q$

(proof)

lemma *from-univ-poly-mult*:

- assumes** $i \leq n$
- assumes** $p \in \text{carrier}(\text{UP}(R[\mathcal{X}_n]))$
- assumes** $Q \in \text{carrier}(\text{UP}(R[\mathcal{X}_n]))$
- shows** $\text{from-univ-poly}(\text{Suc } n) i (p \otimes_{\text{UP}(R[\mathcal{X}_n])} Q) =$
 $\text{from-univ-poly}(\text{Suc } n) i p \otimes_{R[\mathcal{X}_{\text{Suc } n}]} \text{from-univ-poly}(\text{Suc } n) i Q$

(proof)

lemma(in UP-cring) monom-as-mult:

- assumes** $a \in \text{carrier } R$
- shows** $\text{up-ring.monom}(\text{UP } R) a n = \text{to-poly } a \otimes \text{UP } R \text{ up-ring.monom}(\text{UP } R)$

1 n

(proof)

lemma *cring-coord-rings-coord-ring*:

- cring-coord-rings** ($R[\mathcal{X}_n]$)

(proof)

lemma *from-univ-poly-monom-inverse*:

- assumes** $i < \text{Suc } n$
- assumes** $a \in \text{carrier}(R[\mathcal{X}_n])$
- shows** $\text{to-univ-poly}(\text{Suc } n) i (\text{from-univ-poly}(\text{Suc } n) i (\text{up-ring.monom}(\text{UP}(R[\mathcal{X}_n])) a m)) = \text{up-ring.monom}(\text{UP}(R[\mathcal{X}_n])) a m$

(proof)

lemma *from-univ-poly-inverse*:

- assumes** $i \leq n$
- assumes** $p \in \text{carrier}(\text{UP}(R[\mathcal{X}_n]))$
- shows** $\text{to-univ-poly}(\text{Suc } n) i (\text{from-univ-poly}(\text{Suc } n) i p) = p$

(proof)

lemma *to-univ-poly-eval*:

- assumes** $i < \text{Suc } n$
- assumes** $p \in \text{carrier}(R[\mathcal{X}_{\text{Suc } n}])$
- assumes** $a \in \text{carrier}(R^n)$
- assumes** $x \in \text{carrier } R$
- assumes** $as = \text{insert-at-index } a \ x \ i$
- shows** $\text{eval-at-point } R \ as \ p = \text{eval-at-point } R \ a (\text{to-function}(R[\mathcal{X}_n]) (\text{to-univ-poly}(\text{Suc } n) i p) (\text{coord-const } x))$

(proof)

The function `one_over_poly`, introduced in the theory `Cring_Poly`, maps a polynomial $p(x)$ to the unique polynomial $q(x)$ which satisfies the relation $q(x) = x^n p(1/x)$. This will be used later to show that the function $f(x) = 1/x$ is semialgebraic over the field \mathbb{Q}_p .

```

lemma to-univ-poly-one-over-poly:
  assumes field R
  assumes i < (Suc n)
  assumes p ∈ carrier (R[XSuc n])
  assumes Q = from-univ-poly (Suc n) i (UP-crинг.one-over-poly (R[Xn]) (to-univ-poly (Suc n) i p))
  assumes a ∈ carrier (Rn)
  assumes x ∈ carrier R
  assumes x ≠ 0
  assumes b = insert-at-index a x i
  assumes c = insert-at-index a (inv x) i
  assumes N = UP-ring.degree (R[Xn]) (to-univ-poly (Suc n) i p)
  shows Q ∈ carrier (R[XSuc n])
    eval-at-point R b Q = (x[ ]N) ⊗ (eval-at-point R c p)
  ⟨proof⟩

lemma to-univ-poly-one-over-poly':
  assumes field R
  assumes i < (Suc n)
  assumes p ∈ carrier (R[XSuc n])
  assumes Q = from-univ-poly (Suc n) i (UP-crинг.one-over-poly (R[Xn]) (to-univ-poly (Suc n) i p))
  assumes a ∈ carrier (Rn)
  assumes x ∈ carrier R
  assumes x ≠ 0
  assumes b = insert-at-index a x i
  assumes c = insert-at-index a (inv x) i
  assumes N = UP-ring.degree (R[Xn]) (to-univ-poly (Suc n) i p)
  assumes q = (pvar R i)[ ]R[XSuc n](k::nat)⊗R[XSuc n] Q
  shows q ∈ carrier (R[XSuc n])
    eval-at-point R b q = (x[ ](N + k)) ⊗ (eval-at-point R c p)
  ⟨proof⟩

lemma to-univ-poly-one-over-poly'':
  assumes field R
  assumes i < (Suc n)
  assumes p ∈ carrier (R[XSuc n])
  assumes N ≥ UP-ring.degree (R[Xn]) (to-univ-poly (Suc n) i p)
  shows ∃ q ∈ carrier (R[XSuc n]). (∀ x ∈ carrier R – {0}. (∀ a ∈ carrier (Rn). eval-at-point R (insert-at-index a x i) q = (x[ ]N) ⊗ (eval-at-point R (insert-at-index a (inv x) i p))))
  ⟨proof⟩

```

7 Restricted Inverse Images and Complements

This section introduces some versions of basic set operations for extensional functions and sets. We would like a version of the inverse image which intersects the inverse image of a function with the set `carrier` (R^n), and a version of the complement of a set which takes the comeplement relative to `carrier` (R^n). These will have to be defined in parametrized families, with one such object for each natural number n .

definition `evimage` (infixr \cdot^{-1}_n 90) **where**

$$\text{evimage } n f S = ((f \cdot^{-1} S) \cap \text{carrier } (R^n))$$

definition `euminus-set` :: $\text{nat} \Rightarrow \text{'a list set} \Rightarrow \text{'a list set}$ ($\cdot^{-c_1}_n$ 70) **where**

$$S^c_n = \text{carrier } (R^n) - S$$

lemma *extensional-vimage-closed*:

$$f^{-1}_n S \subseteq \text{carrier } (R^n)$$

$$\langle \text{proof} \rangle$$

7.1 Inverse image of a function

lemma `evimage-eq` [*simp*]: $a \in f^{-1}_n B \longleftrightarrow a \in \text{carrier } (R^n) \wedge f a \in B$

$$\langle \text{proof} \rangle$$

lemma `evimage-singleton-eq`: $a \in f^{-1}_n \{b\} \longleftrightarrow a \in \text{carrier } (R^n) \wedge f a = b$

$$\langle \text{proof} \rangle$$

lemma `evimageI` [*intro*]: $a \in \text{carrier } (R^n) \implies f a = b \implies b \in B \implies a \in f^{-1}_n B$

$$\langle \text{proof} \rangle$$

lemma `evimageI2`: $a \in \text{carrier } (R^n) \implies f a \in A \implies a \in f^{-1}_n A$

$$\langle \text{proof} \rangle$$

lemma `evimageE` [*elim!*]: $a \in f^{-1}_n B \implies (\bigwedge x. f a = x \implies x \in B \implies p) \implies p$

$$\langle \text{proof} \rangle$$

lemma `evimageD`: $a \in f^{-1}_n A \implies f a \in A$

$$\langle \text{proof} \rangle$$

lemma `evimage-empty` [*simp*]: $f^{-1}_n \{\} = \{\}$

$$\langle \text{proof} \rangle$$

lemma `evimage-Compl`:
assumes $f \in \text{carrier } (R^n) \rightarrow \text{carrier } (R^m)$
shows $(f^{-1}_n(A^c_m)) = ((f \cdot^{-1} A)^c_n)$

$$\langle \text{proof} \rangle$$

lemma `evimage-Un` [*simp*]: $f^{-1}_n (A \cup B) = (f^{-1}_n A) \cup (f^{-1}_n B)$

$\langle proof \rangle$

lemma evimage-Int [simp]: $f^{-1}_n (A \cap B) = (f^{-1}_n A) \cap (f^{-1}_n B)$
 $\langle proof \rangle$

lemma evimage-Collect-eq [simp]: $f^{-1}_n \text{Collect } p = \{y \in \text{carrier } (R^n). p(f y)\}$
 $\langle proof \rangle$

lemma evimage-Collect: $(\bigwedge x. x \in \text{carrier } (R^n) \implies p(f x) = Q x) \implies f^{-1}_n (\text{Collect } p) = \text{Collect } Q \cap \text{carrier } (R^n)$
 $\langle proof \rangle$

lemma evimage-insert: $f^{-1}_n (\text{insert } a B) = (f^{-1}_n \{a\}) \cup (f^{-1}_n B)$
— NOT suitable for rewriting because of the recurrence of $\{a\}$.
 $\langle proof \rangle$

lemma evimage-Diff: $f^{-1}_n (A - B) = (f^{-1}_n A) - (f^{-1}_n B)$
 $\langle proof \rangle$

lemma evimage-UNIV [simp]: $f^{-1}_n \text{UNIV} = \text{carrier } (R^n)$
 $\langle proof \rangle$

lemma evimage-mono: $A \subseteq B \implies f^{-1}_n A \subseteq f^{-1}_n B$
— monotonicity
 $\langle proof \rangle$

lemma evimage-image-eq: $(f^{-1}_n (f^{\cdot} A)) = \{y \in \text{carrier } (R^n). \exists x \in A. f x = f y\}$
 $\langle proof \rangle$

lemma image-evimage-subset: $f^{\cdot} (f^{-1}_n A) \subseteq A$
 $\langle proof \rangle$

lemma image-evimage-eq [simp]: $f^{\cdot} (f^{-1}_n A) = A \cap (f^{\cdot} \text{carrier } (R^n))$
 $\langle proof \rangle$

lemma image-subset-iff-subset-evimage: $A \subseteq \text{carrier } (R^n) \implies f^{\cdot} A \subseteq B \longleftrightarrow A \subseteq f^{-1}_n B$
 $\langle proof \rangle$

lemma evimage-const [simp]: $((\lambda x. c)^{-1}_n A) = (\text{if } c \in A \text{ then carrier } (R^n) \text{ else } \{\})$
 $\langle proof \rangle$

lemma evimage-if [simp]: $((\lambda x. \text{if } x \in B \text{ then } c \text{ else } d)^{-1}_n A) =$
 $(\text{if } c \in A \text{ then } (\text{if } d \in A \text{ then carrier } (R^n) \text{ else } B \cap \text{carrier } (R^n))$
 $\text{else if } d \in A \text{ then } B^c_n \text{ else } \{\})$
 $\langle proof \rangle$

lemma evimage-inter-cong: $(\bigwedge w. w \in S \implies f w = g w) \implies f^{-1}_n y \cap S = g$

```


$$^{-1}_n y \cap S$$

⟨proof⟩

lemma evimage-ident [simp]:  $(\lambda x. x) ^{-1}_n Y = Y \cap carrier (R^n)$ 
⟨proof⟩

```

end

```

end
theory Padic-Fields
  imports Fraction-Field Padic-Ints.Hensels-Lemma

```

begin

8 Constructing the p -adic Valued Field

As a field, we can define the field \mathbb{Q}_p immediately as the fraction field of \mathbb{Z}_p . The valuation can then be extended from \mathbb{Z}_p to \mathbb{Q}_p by defining $\text{val}(a/b) = \text{val } a - \text{val } b$ where $a, b \in \mathbb{Z}_p$.

8.1 A Locale for p -adic Fields

This section builds a locale for reasoning about general p -adic fields for a fixed p . The locale fixes constants for the ring of p -adic integers (\mathbb{Z}_p) and the inclusion map $\iota : \mathbb{Z}_p \rightarrow \mathbb{Q}_p$.

```

type-synonym padic-number = ((nat ⇒ int) × (nat ⇒ int)) set
locale padic-fields=
  fixes  $Q_p :: \text{-ring}$  (structure)
  fixes  $Z_p :: \text{-ring}$  (structure)
  fixes  $p$ 
  fixes  $\iota$ 
  defines  $Z_p \equiv \text{padic-int } p$ 
  defines  $Q_p \equiv \text{Frac } Z_p$ 
  defines  $\iota \equiv \text{domain-frc.inc } Z_p$ 
  assumes prime: prime  $p$ 

sublocale padic-fields <  $Zp ?: \text{domain-frc } Z_p$ 
  ⟨proof⟩

sublocale padic-fields <  $Qp ?: \text{ring } Q_p$ 
  ⟨proof⟩

sublocale padic-fields <  $Qp ?: \text{cring } Q_p$ 

```

$\langle proof \rangle$

sublocale *padic-fields* < *Qp?*: field Q_p
 $\langle proof \rangle$

sublocale *padic-fields* < *Qp?*: domain Q_p
 $\langle proof \rangle$

sublocale *padic-fields* < *padic-integers* Z_p
 $\langle proof \rangle$

sublocale *padic-fields* < *UPQ?*: *UP-cring* Q_p *UP* Q_p
 $\langle proof \rangle$

8.2 The Valuation Ring in \mathbb{Q}_p

The valuation ring \mathcal{O}_p is the subring of elements in \mathbb{Q}_p with positive valuation. It is an isomorphic copy of \mathbb{Z}_p .

context *padic-fields*
begin

abbreviation \mathcal{O}_p **where**
 $\mathcal{O}_p \equiv \iota`carrier Z_p$

lemma *inc-closed*:
assumes $a \in carrier Z_p$
shows $\iota a \in carrier Q_p$
 $\langle proof \rangle$

lemma *inc-is-hom*:
 $\iota \in ring-hom Z_p Q_p$
 $\langle proof \rangle$

An alternate formula of the map ι

lemma *inc-def*:
assumes $a \in carrier Z_p$
shows $\iota a = \frac{a}{1_{Z_p}}$
 $\langle proof \rangle$

lemma *inc-of-nonzero*:
assumes $a \in nonzero Z_p$
shows $\iota a \in nonzero Q_p$
 $\langle proof \rangle$

lemma *inc-of-one*:
 $\iota 1_{Z_p} = 1$
 $\langle proof \rangle$

lemma *inc-of-zero*:

$\iota \mathbf{0}_{Z_p} = \mathbf{0}$

$\langle proof \rangle$

lemma *inc-of-sum*:

assumes $a \in carrier Z_p$

assumes $b \in carrier Z_p$

shows $\iota(a \oplus_{Z_p} b) = (\iota a) \oplus (\iota b)$

$\langle proof \rangle$

lemma *inc-of-prod*:

assumes $a \in carrier Z_p$

assumes $b \in carrier Z_p$

shows $\iota(a \otimes_{Z_p} b) = (\iota a) \otimes (\iota b)$

$\langle proof \rangle$

lemma *inc-pow*:

assumes $a \in nonzero Z_p$

shows $\iota(a[\lceil]_{Z_p}(n::nat)) = (\iota a)[\lceil] n$

$\langle proof \rangle$

lemma *inc-of-diff*:

assumes $a \in carrier Z_p$

assumes $b \in carrier Z_p$

shows $\iota(a \ominus_{Z_p} b) = (\iota a) \ominus (\iota b)$

$\langle proof \rangle$

lemma *Units-nonzero-Qp*:

assumes $u \in Units Q_p$

shows $u \in nonzero Q_p$

$\langle proof \rangle$

lemma *Units-eq-nonzero*:

$Units Q_p = nonzero Q_p$

$\langle proof \rangle$

lemma *Units-inverse-Qp*:

assumes $u \in Units Q_p$

shows $inv_{Q_p} u \in Units Q_p$

$\langle proof \rangle$

lemma *nonzero-inverse-Qp*:

assumes $u \in nonzero Q_p$

shows $inv_{Q_p} u \in nonzero Q_p$

$\langle proof \rangle$

lemma *frac-add*:

assumes $a \in carrier Z_p$

assumes $b \in \text{nonzero } Z_p$
assumes $c \in \text{carrier } Z_p$
assumes $d \in \text{nonzero } Z_p$
shows $(\text{frac } a b) \oplus (\text{frac } c d) = (\text{frac } ((a \otimes_{Z_p} d) \oplus_{Z_p} (b \otimes_{Z_p} c)) (b \otimes_{Z_p} d))$
 $\langle \text{proof} \rangle$

lemma *frac-add-common-denom*:

assumes $a \in \text{carrier } Z_p$
assumes $b \in \text{carrier } Z_p$
assumes $c \in \text{nonzero } Z_p$
shows $(\text{frac } a c) \oplus (\text{frac } b c) = \text{frac } (a \oplus_{Z_p} b) c$
 $\langle \text{proof} \rangle$

lemma *frac-mult*:

assumes $a \in \text{carrier } Z_p$
assumes $b \in \text{nonzero } Z_p$
assumes $c \in \text{carrier } Z_p$
assumes $d \in \text{nonzero } Z_p$
shows $(\text{frac } a b) \otimes (\text{frac } c d) = (\text{frac } (a \otimes_{Z_p} c) (b \otimes_{Z_p} d))$
 $\langle \text{proof} \rangle$

lemma *frac-one*:

assumes $a \in \text{nonzero } Z_p$
shows $\text{frac } a a = 1$
 $\langle \text{proof} \rangle$

lemma *frac-closed*:

assumes $a \in \text{carrier } Z_p$
assumes $b \in \text{nonzero } Z_p$
shows $\text{frac } a b \in \text{carrier } Q_p$
 $\langle \text{proof} \rangle$

lemma *inv-in-fraction*:

assumes $a \in \text{carrier } Q_p$
assumes $a \neq 0$
shows $\text{inv}_{Q_p} a \in \text{carrier } Q_p$
 $\text{inv}_{Q_p} a \neq 0$
 $\text{inv}_{Q_p} a \in \text{nonzero } Q_p$
 $\langle \text{proof} \rangle$

lemma *nonzero-numer-imp-nonzero-fraction*:

assumes $a \in \text{nonzero } Z_p$
assumes $b \in \text{nonzero } Z_p$
shows $\text{frac } a b \neq 0$
 $\langle \text{proof} \rangle$

lemma *nonzero-fraction-imp-numer-not-zero*:

assumes $a \in \text{carrier } Z_p$
assumes $b \in \text{nonzero } Z_p$

```

assumes  $\text{frac } a \ b \neq \mathbf{0}$ 
shows  $a \neq \mathbf{0}_{Z_p}$ 
 $\langle proof \rangle$ 

lemma nonzero-fraction-imp-nonzero-numer:
assumes  $a \in \text{carrier } Z_p$ 
assumes  $b \in \text{nonzero } Z_p$ 
assumes  $\text{frac } a \ b \neq \mathbf{0}$ 
shows  $a \in \text{nonzero } Z_p$ 
 $\langle proof \rangle$ 

lemma(in padic-fields) frac-inv-id:
assumes  $a \in \text{nonzero } Z_p$ 
assumes  $b \in \text{nonzero } Z_p$ 
assumes  $c \in \text{nonzero } Z_p$ 
assumes  $d \in \text{nonzero } Z_p$ 
assumes  $\text{frac } a \ b = \text{frac } c \ d$ 
shows  $\text{frac } b \ a = \text{frac } d \ c$ 
 $\langle proof \rangle$ 

lemma(in padic-fields) frac-uminus:
assumes  $a \in \text{carrier } Z_p$ 
assumes  $b \in \text{nonzero } Z_p$ 
shows  $\ominus (\text{frac } a \ b) = \text{frac } (\ominus_{Z_p} a) \ b$ 
 $\langle proof \rangle$ 

lemma(in padic-fields) i-mult:
assumes  $a \in \text{carrier } Z_p$ 
assumes  $c \in \text{carrier } Z_p$ 
assumes  $d \in \text{nonzero } Z_p$ 
shows  $(\iota a) \otimes (\text{frac } c \ d) = \text{frac } (a \otimes_{Z_p} c) \ d$ 
 $\langle proof \rangle$ 

lemma numer-denom-facts:
assumes  $a \in \text{carrier } Q_p$ 
shows  $(\text{numer } a) \in \text{carrier } Z_p$ 
 $(\text{denom } a) \in \text{nonzero } Z_p$ 
 $a \neq \mathbf{0} \implies \text{numer } a \neq \mathbf{0}_{Z_p}$ 
 $a \otimes (\iota (\text{denom } a)) = \iota (\text{numer } a)$ 
 $a = \text{frac } (\text{numer } a) (\text{denom } a)$ 
 $\langle proof \rangle$ 

lemma get-common-denominator:
assumes  $x \in \text{carrier } Q_p$ 
assumes  $y \in \text{carrier } Q_p$ 
obtains  $a \ b \ c$  where
 $a \in \text{carrier } Z_p$ 
 $b \in \text{carrier } Z_p$ 
 $c \in \text{nonzero } Z_p$ 

```

```

 $x = \text{frac } a c$ 
 $y = \text{frac } b c$ 
 $\langle proof \rangle$ 

```

abbreviation $\text{frac} :: - \Rightarrow - \Rightarrow -$ (**infixl** $\langle \div \rangle$ 50) **where**
 $(\text{frac } a b) \equiv (a \otimes (\text{inv}_{Q_p} b))$

frac generalizes frac

lemma $\text{frac-frac}:$

```

assumes  $a \in \text{carrier } Z_p$ 
assumes  $b \in \text{nonzero } Z_p$ 
shows  $(\text{frac } a b) = (\iota a \div \iota b)$ 
 $\langle proof \rangle$ 

```

lemma $\text{frac-eq}:$

```

assumes  $a \in \text{nonzero } Z_p$ 
assumes  $b \in \text{nonzero } Z_p$ 
assumes  $\text{frac } a b = 1$ 
shows  $a = b$ 
 $\langle proof \rangle$ 

```

lemma $\text{frac-cancel-right}:$

```

assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{nonzero } Q_p$ 
shows  $b \otimes (a \div b) = a$ 
 $\langle proof \rangle$ 

```

lemma $\text{frac-cancel-left}:$

```

assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{nonzero } Q_p$ 
shows  $(a \div b) \otimes b = a$ 
 $\langle proof \rangle$ 

```

lemma $\text{frac-mult}:$

```

assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{nonzero } Q_p$ 
assumes  $c \in \text{carrier } Q_p$ 
assumes  $d \in \text{nonzero } Q_p$ 
shows  $(a \div b) \otimes (c \div d) = ((a \otimes c) \div (b \otimes d))$ 
 $\langle proof \rangle$ 

```

lemma $Qp\text{-nat}\text{-pow}\text{-nonzero}:$

```

assumes  $x \in \text{nonzero } Q_p$ 
shows  $x[\lceil](n::\text{nat}) \in \text{nonzero } Q_p$ 
 $\langle proof \rangle$ 

```

lemma $Qp\text{-nonzero}\text{-nat}\text{-pow}:$

```

assumes  $x \in \text{carrier } Q_p$ 
assumes  $n > 0$ 

```

```

assumes  $x[\lceil](n::nat) \in \text{nonzero } Q_p$ 
shows  $x \in \text{nonzero } Q_p$ 
 $\langle proof \rangle$ 

lemma  $Qp\text{-int-pow-nonzero}:$ 
assumes  $x \in \text{nonzero } Q_p$ 
shows  $x[\lceil](n::int) \in \text{nonzero } Q_p$ 
 $\langle proof \rangle$ 

lemma  $Qp\text{-nonzero-int-pow}:$ 
assumes  $x \in \text{carrier } Q_p$ 
assumes  $n > 0$ 
assumes  $x[\lceil](n::int) \in \text{nonzero } Q_p$ 
shows  $x \in \text{nonzero } Q_p$ 
 $\langle proof \rangle$ 

lemma  $pow\text{-p-frac-0}:$ 
assumes  $(m::int) \geq n$ 
assumes  $n \geq 0$ 
shows  $(\text{frac } (\text{p}[\lceil]_{Z_p} m) (\text{p}[\lceil]_{Z_p} n)) = \iota (\text{p}[\lceil]_{Z_p} (m-n))$ 
 $\langle proof \rangle$ 

lemma  $pow\text{-p-frac}:$ 
assumes  $(m::int) \leq n$ 
assumes  $m \geq 0$ 
shows  $(\text{frac } (\text{p}[\lceil]_{Z_p} m) (\text{p}[\lceil]_{Z_p} n)) = \text{frac } \mathbf{1}_{Z_p} (\text{p}[\lceil]_{Z_p} (n-m))$ 
 $\langle proof \rangle$ 

The copy of the prime  $p$  living in  $\mathbb{Q}_p$ :
abbreviation  $\mathfrak{p}$  where
 $\mathfrak{p} \equiv [p] \cdot_{Q_p} \mathbf{1}$ 

lemma(in domain-frac)  $\text{frac-inc-of-nat}:$ 
 $\text{Frac-inc } R ([n::nat]) \cdot \mathbf{1} = [n] \cdot \text{Frac } R \mathbf{1} \text{Frac } R$ 
 $\langle proof \rangle$ 

lemma  $inc\text{-of-nat}:$ 
 $(\iota ([n::nat]) \cdot_{Z_p} \mathbf{1}_{Z_p})) = [n] \cdot_{Q_p} \mathbf{1}$ 
 $\langle proof \rangle$ 

lemma(in domain-frac)  $\text{frac-inc-of-int}:$ 
 $\text{Frac-inc } R ([n::int]) \cdot \mathbf{1} = [n] \cdot \text{Frac } R \mathbf{1} \text{Frac } R$ 
 $\langle proof \rangle$ 

lemma  $inc\text{-of-int}:$ 
 $(\iota ([n::int]) \cdot_{Z_p} \mathbf{1}_{Z_p})) = [n] \cdot_{Q_p} \mathbf{1}$ 
 $\langle proof \rangle$ 

lemma  $p\text{-inc}:$ 

```

```

 $\mathfrak{p} = \iota \ p$ 
 $\langle proof \rangle$ 

lemma p-nonzero:
 $\mathfrak{p} \in \text{nonzero } Q_p$ 
 $\langle proof \rangle$ 

lemma p-natpow-inc:
fixes  $n::nat$ 
shows  $\mathfrak{p}[\lceil]n = \iota(p[\lceil]_{Z_p} n)$ 
 $\langle proof \rangle$ 

lemma p-intpow-inc:
fixes  $n::int$ 
assumes  $n \geq 0$ 
shows  $\mathfrak{p}[\lceil]n = \iota(p[\lceil]_{Z_p} n)$ 
 $\langle proof \rangle$ 

lemma p-intpow:
fixes  $n::int$ 
assumes  $n < 0$ 
shows  $\mathfrak{p}[\lceil]n = (\frac{1}{Z_p}(p[\lceil]_{Z_p} (-n)))$ 
 $\langle proof \rangle$ 

lemma p-natpow-closed[simp]:
fixes  $n::nat$ 
shows  $(\mathfrak{p}[\lceil]n) \in (\text{carrier } Q_p)$ 
 $(\mathfrak{p}[\lceil]n) \in (\text{nonzero } Q_p)$ 
 $\langle proof \rangle$ 

lemma nonzero-int-pow-distrib:
assumes  $a \in \text{nonzero } Q_p$ 
assumes  $b \in \text{nonzero } Q_p$ 
shows  $(a \otimes b)[\lceil](k::int) = a[\lceil]k \otimes b[\lceil]k$ 
 $\langle proof \rangle$ 

lemma val-ring-subring:
subring  $\mathcal{O}_p$   $Q_p$ 
 $\langle proof \rangle$ 

lemma val-ring-closed:
 $\mathcal{O}_p \subseteq \text{carrier } Q_p$ 
 $\langle proof \rangle$ 

lemma p-pow-diff:
fixes  $n::int$ 
fixes  $m::int$ 
assumes  $n \geq 0$ 
assumes  $m \geq 0$ 

```

shows $\mathbf{p}[\lceil](n - m) = \text{frac}(\mathbf{p}[\lceil]_{Z_p} n)(\mathbf{p}[\lceil]_{Z_p} m)$
 $\langle proof \rangle$

lemma $Qp\text{-int-pow-add}$:

fixes $n::int$
fixes $m::int$
assumes $a \in \text{nonzero } Q_p$
shows $a[\lceil](n + m) = (a[\lceil]n) \otimes (a[\lceil]m)$
 $\langle proof \rangle$

lemma $Qp\text{-nat-pow-pow}$:

fixes $n::nat$
fixes $m::nat$
assumes $a \in \text{carrier } Q_p$
shows $(a[\lceil](n*m)) = ((a[\lceil]n)[\lceil]m)$
 $\langle proof \rangle$

lemma $Qp\text{-p-nat-pow-pow}$:

fixes $n::nat$
fixes $m::nat$
shows $(\mathbf{p}[\lceil](n*m)) = ((\mathbf{p}[\lceil]n)[\lceil]m)$
 $\langle proof \rangle$

lemma $Qp\text{-units-int-pow}$:

fixes $n::int$
assumes $a \in \text{nonzero } Q_p$
shows $a[\lceil]n = a[\lceil]_{\text{units-of } Q_p} n$
 $\langle proof \rangle$

lemma $Qp\text{-int-pow-pow}$:

fixes $n::int$
fixes $m::int$
assumes $a \in \text{nonzero } Q_p$
shows $(a[\lceil](n*m)) = ((a[\lceil]n)[\lceil]m)$
 $\langle proof \rangle$

lemma $Qp\text{-p-int-pow-pow}$:

fixes $n::int$
fixes $m::int$
shows $(\mathbf{p}[\lceil](n*m)) = ((\mathbf{p}[\lceil]n)[\lceil]m)$
 $\langle proof \rangle$

lemma $Qp\text{-int-nat-pow-pow}$:

fixes $n::int$
fixes $m::nat$
assumes $a \in \text{nonzero } Q_p$
shows $(a[\lceil](n*m)) = ((a[\lceil]n)[\lceil]m)$
 $\langle proof \rangle$

```

lemma Qp-p-int-nat-pow-pow:
  fixes n::int
  fixes m::nat
  shows ( $\mathfrak{p}[\lceil](n*m)$ ) = (( $\mathfrak{p}[\lceil]n$ )[ $\lceil]m$ )
   $\langle proof \rangle$ 

lemma Qp-nat-int-pow-pow:
  fixes n::nat
  fixes m::int
  assumes a ∈ nonzero  $Q_p$ 
  shows (a[ $\lceil](n*m)$ ) = ((a[ $\lceil]n$ )[ $\lceil]m$ )
   $\langle proof \rangle$ 

lemma Qp-p-nat-int-pow-pow:
  fixes n::nat
  fixes m::int
  shows ( $\mathfrak{p}[\lceil](n*m)$ ) = (( $\mathfrak{p}[\lceil]n$ )[ $\lceil]m$ )
   $\langle proof \rangle$ 

lemma p-intpow-closed:
  fixes n::int
  shows ( $\mathfrak{p}[\lceil]n$ ) ∈ (carrier  $Q_p$ )
    ( $\mathfrak{p}[\lceil]n$ ) ∈ (nonzero  $Q_p$ )
   $\langle proof \rangle$ 

lemma p-intpow-add:
  fixes n::int
  fixes m::int
  shows  $\mathfrak{p}[\lceil](n + m) = (\mathfrak{p}[\lceil]n) \otimes (\mathfrak{p}[\lceil]m)$ 
   $\langle proof \rangle$ 

lemma p-intpow-inv:
  fixes n::int
  shows ( $\mathfrak{p}[\lceil]n$ ) ⊗ ( $\mathfrak{p}[\lceil]-n$ ) = 1
   $\langle proof \rangle$ 

lemma p-intpow-inv':
  fixes n::int
  shows ( $\mathfrak{p}[\lceil]-n$ ) ⊗ ( $\mathfrak{p}[\lceil]n$ ) = 1
   $\langle proof \rangle$ 

lemma p-intpow-inv'':
  fixes n::int
  shows ( $\mathfrak{p}[\lceil]-n$ ) = inv $_{Q_p}$  ( $\mathfrak{p}[\lceil]n$ )
   $\langle proof \rangle$ 

lemma p-int-pow-factor-int-pow:
  assumes a ∈ nonzero  $Q_p$ 
  shows ( $\mathfrak{p}[\lceil](n::int) \otimes a$ )[ $\lceil](k::int) = \mathfrak{p}[\lceil](n*k) \otimes a[\lceil]k$ 

```

$\langle proof \rangle$

```
lemma p-nat-pow-factor-int-pow:  
  assumes a ∈ nonzero Qp  
  shows (p[ ](n::nat) ⊗ a)[ ](k::int) = p[ ](n*k) ⊗ a[ ]k  
  ⟨proof⟩  
  
lemma p-pow-factor:  
  p[ ]((int N)*l + k) = (p[ ]l)[ ](N::nat) ⊗ p[ ] k  
  ⟨proof⟩  
  
lemma p-nat-pow-factor-nat-pow:  
  assumes a ∈ carrier Qp  
  shows (p[ ](n::nat) ⊗ a)[ ](k::nat) = p[ ](n*k) ⊗ a[ ]k  
  ⟨proof⟩  
  
lemma p-int-pow-factor-nat-pow:  
  assumes a ∈ carrier Qp  
  shows (p[ ](n::int) ⊗ a)[ ](k::nat) = p[ ](n*k) ⊗ a[ ]k  
  ⟨proof⟩  
  
lemma(in ring) r-minus-distr:  
  assumes a ∈ carrier R  
  assumes b ∈ carrier R  
  assumes c ∈ carrier R  
  shows a ⊖ b ⊕ a ⊖ c = a ⊖ (b ⊕ c)  
  ⟨proof⟩
```

8.3 The Valuation on \mathbb{Q}_p

8.3.1 Extending the Valuation from \mathbb{Z}_p to \mathbb{Q}_p

The valuation of a p -adic number can be defined as the difference of the valuations of an arbitrary choice of numerator and denominator.

```
definition ord where  
  ord x = (ord-Zp (numer x)) - (ord-Zp (denom x))
```

```
definition val where  
  val x = (if x = 0 then (∞::eint) else eint (ord x))
```

```
lemma val-ord[simp]:  
  assumes a ∈ nonzero Qp  
  shows val a = ord a  
  ⟨proof⟩
```

8.3.2 Properties of the Valuation

```
lemma ord-of-frc:  
  assumes a ∈ nonzero Zp
```

```

assumes  $b \in \text{nonzero } Z_p$ 
shows  $\text{ord}(\text{frac } a b) = (\text{ord-Zp } a) - (\text{ord-Zp } b)$ 
⟨proof⟩

lemma val-zero:
 $\text{val } \mathbf{0} = \infty$  ⟨proof⟩

lemma ord-one[simp]:
 $\text{ord } \mathbf{1} = 0$ 
⟨proof⟩

lemma val-one[simp]:
 $\text{val } (\mathbf{1}) = 0$ 
⟨proof⟩

lemma val-of-frc:
assumes  $a \in \text{carrier } Z_p$ 
assumes  $b \in \text{nonzero } Z_p$ 
shows  $\text{val}(\text{frac } a b) = (\text{val-Zp } a) - (\text{val-Zp } b)$ 
⟨proof⟩

lemma  $Z_p$ -division-Qp-0[simp]:
assumes  $u \in \text{Units } Z_p$ 
assumes  $v \in \text{Units } Z_p$ 
shows  $\text{frac}(u \otimes_{Z_p} (\text{inv}_{Z_p} v)) \mathbf{1}_{Z_p} = \text{frac } u v$ 
⟨proof⟩

lemma  $Z_p$ -division-Qp-1:
assumes  $u \in \text{Units } Z_p$ 
assumes  $v \in \text{Units } Z_p$ 
obtains  $w$  where  $w \in \text{Units } Z_p$ 
 $\iota w = \text{frac } u v$ 
⟨proof⟩

lemma val-ring-ord-criterion:
assumes  $a \in \text{carrier } Q_p$ 
assumes  $a \neq \mathbf{0}$ 
assumes  $\text{ord } a \geq 0$ 
shows  $a \in \mathcal{O}_p$ 
⟨proof⟩

lemma val-ring-val-criterion:
assumes  $a \in \text{carrier } Q_p$ 
assumes  $\text{val } a \geq 0$ 
shows  $a \in \mathcal{O}_p$ 
⟨proof⟩

lemma ord-of-inv:
assumes  $a \in \text{carrier } Q_p$ 

```

assumes $a \neq 0$
shows $\text{ord}(\text{inv}_{Q_p} a) = -(\text{ord } a)$
 $\langle\text{proof}\rangle$

lemma $\text{val-of-inv}:$
assumes $a \in \text{carrier } Q_p$
assumes $a \neq 0$
shows $\text{val}(\text{inv}_{Q_p} a) = -(\text{val } a)$
 $\langle\text{proof}\rangle$

Z_p is a valuation ring in Q_p

lemma $Z_p\text{-mem}:$
assumes $a \in \text{carrier } Q_p$
shows $a \in \mathcal{O}_p \vee (\text{inv}_{Q_p} a \in \mathcal{O}_p)$
 $\langle\text{proof}\rangle$

lemma $Q_p\text{-val-ringI}:$
assumes $a \in \text{carrier } Q_p$
assumes $\text{val } a \geq 0$
shows $a \in \mathcal{O}_p$
 $\langle\text{proof}\rangle$

Criterion for determining when an element in Q_p is zero

lemma $\text{val-nonzero}:$
assumes $a \in \text{carrier } Q_p$
assumes $s > \text{val } a$
shows $a \in \text{nonzero } Q_p$
 $\langle\text{proof}\rangle$

lemma $\text{val-ineq}:$
assumes $a \in \text{carrier } Q_p$
assumes $\text{val } 0 \leq \text{val } a$
shows $a = 0$
 $\langle\text{proof}\rangle$

lemma $\text{ord-minus}:$
assumes $a \in \text{nonzero } Q_p$
shows $\text{ord } a = \text{ord } (\ominus a)$
 $\langle\text{proof}\rangle$

lemma $\text{val-minus}:$
assumes $a \in \text{carrier } Q_p$
shows $\text{val } a = \text{val } (\ominus a)$
 $\langle\text{proof}\rangle$

The valuation is multiplicative:

lemma $\text{ord-mult}:$
assumes $x \in \text{nonzero } Q_p$

assumes $y \in \text{nonzero } Q_p$
shows $(\text{ord } (x \otimes y)) = (\text{ord } x) + (\text{ord } y)$
 $\langle \text{proof} \rangle$

lemma *val-mult0*:
assumes $x \in \text{nonzero } Q_p$
assumes $y \in \text{nonzero } Q_p$
shows $(\text{val } (x \otimes y)) = (\text{val } x) + (\text{val } y)$
 $\langle \text{proof} \rangle$

val is multiplicative everywhere

lemma *val-mult*:
assumes $x \in \text{carrier } Q_p$
assumes $y \in \text{carrier } Q_p$
shows $(\text{val } (x \otimes y)) = (\text{val } x) + (\text{val } y)$
 $\langle \text{proof} \rangle$

val and *ord* are compatible with inclusion

lemma *ord-of-inc*:
assumes $x \in \text{nonzero } Z_p$
shows $\text{ord-}Z_p x = \text{ord}(\iota x)$
 $\langle \text{proof} \rangle$

lemma *val-of-inc*:
assumes $x \in \text{carrier } Z_p$
shows $\text{val-}Z_p x = \text{val } (\iota x)$
 $\langle \text{proof} \rangle$

lemma *Qp-inc-id*:
assumes $a \in \text{nonzero } Q_p$
assumes $\text{ord } a \geq 0$
obtains b **where** $b \in \text{nonzero } Z_p$ **and** $a = \iota b$
 $\langle \text{proof} \rangle$

lemma *val-ring-memI*:
assumes $a \in \text{carrier } Q_p$
assumes $\text{val } a \geq 0$
shows $a \in \mathcal{O}_p$
 $\langle \text{proof} \rangle$

lemma *val-ring-memE*:
assumes $a \in \mathcal{O}_p$
shows $\text{val } a \geq 0$ $a \in \text{carrier } Q_p$
 $\langle \text{proof} \rangle$

lemma *val-ring-add-closed*:
assumes $a \in \mathcal{O}_p$
assumes $b \in \mathcal{O}_p$
shows $a \oplus b \in \mathcal{O}_p$

$\langle proof \rangle$

lemma *val-ring-times-closed*:

assumes $a \in \mathcal{O}_p$

assumes $b \in \mathcal{O}_p$

shows $a \otimes b \in \mathcal{O}_p$

$\langle proof \rangle$

lemma *val-ring-ainv-closed*:

assumes $a \in \mathcal{O}_p$

shows $\ominus a \in \mathcal{O}_p$

$\langle proof \rangle$

lemma *val-ring-minus-closed*:

assumes $a \in \mathcal{O}_p$

assumes $b \in \mathcal{O}_p$

shows $a \ominus b \in \mathcal{O}_p$

$\langle proof \rangle$

lemma *one-in-val-ring*:

$1 \in \mathcal{O}_p$

$\langle proof \rangle$

lemma *zero-in-val-ring*:

$0 \in \mathcal{O}_p$

$\langle proof \rangle$

lemma *ord-p*:

$ord \ p = 1$

$\langle proof \rangle$

lemma *ord-p-pow-nat*:

$ord (\mathbf{p} [\lceil] (n::nat)) = n$

$\langle proof \rangle$

lemma *ord-p-pow-int*:

$ord (\mathbf{p} [\lceil] (n::int)) = n$

$\langle proof \rangle$

lemma *ord-nonneg*:

assumes $x \in \mathcal{O}_p$

assumes $x \neq 0$

shows $ord x \geq 0$

$\langle proof \rangle$

lemma *val-p*:

$val \ p = 1$

$\langle proof \rangle$

```

lemma val-p-int-pow:
  val ( $\mathfrak{p}[\lceil](k:\text{int})$ ) =  $k$ 
   $\langle\text{proof}\rangle$ 

lemma val-p-int-pow-neg:
  val ( $\mathfrak{p}[\lceil](-k:\text{int})$ ) =  $- \text{eint } k$ 
   $\langle\text{proof}\rangle$ 

lemma nonzero-nat-pow-ord:
  assumes  $a \in \text{nonzero } Q_p$ 
  shows  $\text{ord } (a [\lceil] (n:\text{nat})) = n * \text{ord } a$ 
   $\langle\text{proof}\rangle$ 

lemma add-cancel-eint-geq:
  assumes  $(\text{eint } a) + x \geq (\text{eint } a) + y$ 
  shows  $x \geq y$ 
   $\langle\text{proof}\rangle$ 

lemma(in padic-fields) prod-equal-val-imp-equal-val:
  assumes  $a \in \text{nonzero } Q_p$ 
  assumes  $b \in \text{carrier } Q_p$ 
  assumes  $c \in \text{carrier } Q_p$ 
  assumes  $\text{val } (a \otimes b) = \text{val } (a \otimes c)$ 
  shows  $\text{val } b = \text{val } c$ 
   $\langle\text{proof}\rangle$ 

lemma two-times-eint:
  shows  $2*(x:\text{eint}) = x + x$ 
   $\langle\text{proof}\rangle$ 

lemma times-cfs-val-mono:
  assumes  $u \in \text{Units } Q_p$ 
  assumes  $a \in \text{carrier } Q_p$ 
  assumes  $b \in \text{carrier } Q_p$ 
  assumes  $\text{val } (u \otimes a) \leq \text{val } (u \otimes b)$ 
  shows  $\text{val } a \leq \text{val } b$ 
   $\langle\text{proof}\rangle$ 

lemma times-cfs-val-mono':
  assumes  $u \in \text{Units } Q_p$ 
  assumes  $a \in \text{carrier } Q_p$ 
  assumes  $b \in \text{carrier } Q_p$ 
  assumes  $\text{val } (u \otimes a) \leq \text{val } (u \otimes b) + \alpha$ 
  shows  $\text{val } a \leq \text{val } b + \alpha$ 
   $\langle\text{proof}\rangle$ 

lemma times-cfs-val-mono'':

```

```

assumes  $u \in \text{Units } Q_p$ 
assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
assumes  $\text{val } a \leq \text{val } b + \alpha$ 
shows  $\text{val } (u \otimes a) \leq \text{val } (u \otimes b) + \alpha$ 
<proof>

```

```

lemma val-ineq-cancel-leq:
assumes  $a \in \text{nonzero } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
assumes  $c \in \text{carrier } Q_p$ 
assumes  $\text{val } (a \otimes b) \leq \text{val } (a \otimes c)$ 
shows  $\text{val } b \leq \text{val } c$ 
<proof>

```

```

lemma val-ineq-cancel-leq':
assumes  $a \in \text{nonzero } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
assumes  $c \in \text{carrier } Q_p$ 
assumes  $\text{val } b \leq \text{val } c$ 
shows  $\text{val } (a \otimes b) \leq \text{val } (a \otimes c)$ 
<proof>

```

```

lemma val-ineq-cancel-le:
assumes  $a \in \text{nonzero } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
assumes  $c \in \text{carrier } Q_p$ 
assumes  $\text{val } (a \otimes b) < \text{val } (a \otimes c)$ 
shows  $\text{val } b < \text{val } c$ 
<proof>

```

```

lemma val-ineq-cancel-le':
assumes  $a \in \text{nonzero } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
assumes  $c \in \text{carrier } Q_p$ 
assumes  $\text{val } b < \text{val } c$ 
shows  $\text{val } (a \otimes b) < \text{val } (a \otimes c)$ 
<proof>

```

```

lemma finite-val-imp-nonzero:
assumes  $a \in \text{carrier } Q_p$ 
assumes  $\text{val } a \neq \infty$ 
shows  $a \in \text{nonzero } Q_p$ 
<proof>

```

```

lemma val-ineq-cancel-leq'':
assumes  $a \in \text{nonzero } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
assumes  $c \in \text{carrier } Q_p$ 

```

assumes $\text{val } b \leq \text{val } c + \text{eint } N$
shows $\text{val } (a \otimes b) \leq \text{val } (a \otimes c) + \text{eint } N$
 $\langle proof \rangle$

8.3.3 The Ultrametric Inequality on \mathbb{Q}_p

lemma *ord-ultrametric*:

assumes $x \in \text{nonzero } Q_p$
assumes $y \in \text{nonzero } Q_p$
assumes $x \oplus y \in \text{nonzero } Q_p$
shows $\text{ord } (x \oplus y) \geq \min (\text{ord } x) (\text{ord } y)$
 $\langle proof \rangle$

lemma *ord-ultrametric'*:

assumes $x \in \text{nonzero } Q_p$
assumes $y \in \text{nonzero } Q_p$
assumes $x \ominus_{Q_p} y \in \text{nonzero } Q_p$
shows $\text{ord } (x \ominus_{Q_p} y) \geq \min (\text{ord } x) (\text{ord } y)$
 $\langle proof \rangle$

lemma *val-ultrametric0*:

assumes $x \in \text{nonzero } Q_p$
assumes $y \in \text{nonzero } Q_p$
assumes $x \oplus y \in \text{nonzero } Q_p$
shows $\min (\text{val } x) (\text{val } y) \leq \text{val } (x \oplus y)$
 $\langle proof \rangle$

lemma *val-ultrametric*:

assumes $x \in \text{carrier } Q_p$
assumes $y \in \text{carrier } Q_p$
shows $\min (\text{val } x) (\text{val } y) \leq \text{val } (x \oplus y)$
 $\langle proof \rangle$

lemma *val-ultrametric'*:

assumes $x \in \text{carrier } Q_p$
assumes $y \in \text{carrier } Q_p$
shows $\min (\text{val } x) (\text{val } y) \leq \text{val } (x \ominus y)$
 $\langle proof \rangle$

lemma *diff-ord-nonzero*:

assumes $x \in \text{nonzero } Q_p$
assumes $y \in \text{nonzero } Q_p$
assumes $\text{ord } x \neq \text{ord } y$
shows $x \oplus y \in \text{nonzero } Q_p$
 $\langle proof \rangle$

lemma *ord-ultrametric-noteq*:

assumes $x \in \text{nonzero } Q_p$
assumes $y \in \text{nonzero } Q_p$

```

assumes ord x > ord y
shows ord (x ⊕ y) = (ord y)
⟨proof⟩

```

```

lemma ord-ultrametric-noteq':
assumes x ∈ nonzero Qp
assumes y ∈ nonzero Qp
assumes ord x > ord y
shows ord (x ⊕ y) = (ord y)
⟨proof⟩

```

```

lemma ord-ultrametric-noteq'':
assumes x ∈ nonzero Qp
assumes y ∈ nonzero Qp
assumes ord y > ord x
shows ord (x ⊕ y) = (ord x)
⟨proof⟩

```

```

lemma val-ultrametric-noteq:
assumes x ∈ carrier Qp
assumes y ∈ carrier Qp
assumes val x > val y
shows val (x ⊕ y) = val y
⟨proof⟩

```

```

lemma val-ultrametric-noteq':
assumes x ∈ carrier Qp
assumes y ∈ carrier Qp
assumes val x > val y
shows val (x ⊕ y) = val y
⟨proof⟩

```

```

lemma ultrametric-equal-eq:
assumes x ∈ carrier Qp
assumes y ∈ carrier Qp
assumes val (y ⊕ x) > val x
shows val x = val y
⟨proof⟩

```

```

lemma ultrametric-equal-eq'':
assumes x ∈ carrier Qp
assumes y ∈ carrier Qp
assumes val (x ⊕ y) > val x
shows val x = val y
⟨proof⟩

```

```

lemma val-ultrametric-noteq'':
assumes x ∈ carrier Qp
assumes y ∈ carrier Qp

```

```

assumes val x > val y
shows val (y ⊖ x) = val y
⟨proof⟩

```

Ultrametric over finite sums:

lemma Min-mono:

```

assumes finite A
assumes A ≠ {}
assumes ⋀ a. a ∈ A ⇒ f a ≤ a
shows Min (f‘A) ≤ Min A
⟨proof⟩

```

lemma Min-mono':

```

assumes finite A
assumes ⋀ (a::'a). a ∈ A ⇒ (f::'a ⇒ eint) a ≤ g a
shows Min (f‘A) ≤ Min (g ‘A)
⟨proof⟩

```

lemma eint-ord-trans:

```

assumes (a::eint) ≤ b
assumes b ≤ c
shows a ≤ c
⟨proof⟩

```

lemma eint-Min-geq:

```

assumes finite (A::eint set)
assumes ⋀ x. x ∈ A ⇒ x ≥ c
assumes A ≠ {}
shows Min A ≥ c
⟨proof⟩

```

lemma eint-Min-gr:

```

assumes finite (A::eint set)
assumes ⋀ x. x ∈ A ⇒ x > c
assumes A ≠ {}
shows Min A > c
⟨proof⟩

```

lemma finsum-val-ultrametric:

```

assumes g ∈ A → carrier Qp
assumes finite A
assumes A ≠ {}
shows val (finsum Qp g A) ≥ Min (val ‘ (g‘A))
⟨proof⟩

```

lemma (in padic-fields) finsum-val-ultrametric':

```

assumes g ∈ A → carrier Qp
assumes finite A
assumes ⋀ i. i ∈ A ⇒ val (g i) ≥ c

```

shows $\text{val}(\text{finsum } Q_p \ g \ A) \geq c$
 $\langle \text{proof} \rangle$

lemma (in *padic-fields*) *finsum-val-ultrametric''*:
assumes $g \in A \rightarrow \text{carrier } Q_p$
assumes *finite* A
assumes $\bigwedge i. i \in A \implies \text{val}(g i) > c$
assumes $c < \infty$
shows $\text{val}(\text{finsum } Q_p \ g \ A) > c$
 $\langle \text{proof} \rangle$

lemma *Qp-diff-diff*:
assumes $x \in \text{carrier } Q_p$
assumes $c \in \text{carrier } Q_p$
assumes $d \in \text{carrier } Q_p$
shows $(x \ominus c) \ominus (d \ominus c) = x \ominus d$
 $\langle \text{proof} \rangle$

This variant of the ultrametric identity formalizes the common saying that "all triangles in \mathbb{Q}_p are isosceles":

lemma *Qp-isosceles*:
assumes $x \in \text{carrier } Q_p$
assumes $c \in \text{carrier } Q_p$
assumes $d \in \text{carrier } Q_p$
assumes $\text{val}(x \ominus c) \geq v$
assumes $\text{val}(d \ominus c) \geq v$
shows $\text{val}(x \ominus d) \geq v$
 $\langle \text{proof} \rangle$

More variants on the ultrametric inequality

lemma *MinE*:
assumes *finite* ($A::\text{eint set}$)
assumes $a = \text{Min } A$
assumes $b \in A$
shows $a \leq b$
 $\langle \text{proof} \rangle$

lemma *MinE'*:
assumes *finite* ($A::\text{eint set}$)
assumes $a = \text{Min } A$
assumes $b \in A - \{a\}$
shows $a < b$
 $\langle \text{proof} \rangle$

lemma *MinE''*:
assumes *finite* A
assumes $f \in A \rightarrow (\text{UNIV} :: \text{eint set})$
assumes $a = \text{Min } (f ` A)$
assumes $b \in A$

shows $a \leq f b$

$\langle proof \rangle$

lemma *finsum-val-ultrametric-diff*:

assumes $g \in A \rightarrow \text{carrier } Q_p$

assumes *finite A*

assumes $A \neq \{\}$

assumes $\bigwedge a b. a \in A \implies b \in A \implies a \neq b \implies \text{val}(g a) \neq \text{val}(g b)$

shows $\text{val}(\text{finsum } Q_p g A) = \text{Min}(\text{val}' g^A)$

$\langle proof \rangle$

lemma *finsum-val-ultrametric-diff'*:

assumes $g \in A \rightarrow \text{carrier } Q_p$

assumes *finite A*

assumes $A \neq \{\}$

assumes $\bigwedge a b. a \in A \implies b \in A \implies a \neq b \implies \text{val}(g a) \neq \text{val}(g b)$

shows $\text{val}(\text{finsum } Q_p g A) = (\text{MIN } a \in A. (\text{val}(g a)))$

$\langle proof \rangle$

8.4 Constructing the Angular Component Maps on \mathbb{Q}_p

8.4.1 Unreduced Angular Component Map

While one can compute the residue of a p -adic integer mod p^n , this operation does not generalize to the p -adic field unless we restrict our attention to the valuation ring. However, we can still define the angular component maps on the field \mathbb{Q}_p , which allows us to take a sort of residue for any element $x \in \mathbb{Q}_p$. Given a nonzero element $x \in \mathbb{Q}_p^\times$, we can normalize it to obtain $p^{-\text{ord}(x)}x$ which has of valuation zero, and then computes its residue (viewed as an element of \mathbb{Z}_p). The resulting map agrees with the standard residue map on elements of \mathbb{Q}_p of valuation zero, but not on terms of positive or negative valuation. For example, the element p^2 has an order 1 residue of 0, but its order 1 angular component is 1. In the formalism below, we will use the term "angular_component" to refer to the unreduced normalization map $x \mapsto p^{-\text{ord}(x)}x$, and use the notation "ac n" to refer to the angular component which has been reduced mod p^n . This is line with the terminology used in [1].

definition *angular-component where*

angular-component a = (ac-Zp (numer a)) \otimes_{Z_p} (inv_{Z_p} ac-Zp (denom a))

lemma *ac-fract*:

assumes $c \in \text{carrier } Q_p$

assumes $a \in \text{nonzero } Z_p$

assumes $b \in \text{nonzero } Z_p$

assumes $c = \text{frac } a b$

shows $\text{angular-component } c = (\text{ac-Zp } a) \otimes_{Z_p} \text{inv}_{Z_p}(\text{ac-Zp } b)$

$\langle proof \rangle$

```

lemma angular-component-closed:
  assumes  $a \in \text{nonzero } Q_p$ 
  shows angular-component  $a \in \text{carrier } Z_p$ 
   $\langle \text{proof} \rangle$ 

lemma angular-component-unit:
  assumes  $a \in \text{nonzero } Q_p$ 
  shows angular-component  $a \in \text{Units } Z_p$ 
   $\langle \text{proof} \rangle$ 

lemma angular-component-factors-x:
  assumes  $x \in \text{nonzero } Q_p$ 
  shows  $x = (\mathbf{p}[\lceil](\text{ord } x)) \otimes \iota \ (\text{angular-component } x)$ 
   $\langle \text{proof} \rangle$ 

lemma angular-component-mult:
  assumes  $x \in \text{nonzero } Q_p$ 
  assumes  $y \in \text{nonzero } Q_p$ 
  shows angular-component  $(x \otimes y) = (\text{angular-component } x) \otimes_{Z_p} (\text{angular-component } y)$ 
   $\langle \text{proof} \rangle$ 

lemma angular-component-inv:
  assumes  $x \in \text{nonzero } Q_p$ 
  shows angular-component  $(\text{inv}_{Q_p} x) = \text{inv}_{Z_p} (\text{angular-component } x)$ 
   $\langle \text{proof} \rangle$ 

lemma angular-component-one:
  angular-component  $\mathbf{1} = \mathbf{1}_{Z_p}$ 
   $\langle \text{proof} \rangle$ 

lemma angular-component-ord-zero:
  assumes  $\text{ord } x = 0$ 
  assumes  $x \in \text{nonzero } Q_p$ 
  shows  $\iota \ (\text{angular-component } x) = x$ 
   $\langle \text{proof} \rangle$ 

lemma angular-component-of-inclusion:
  assumes  $x \in \text{nonzero } Z_p$ 
  assumes  $y = \iota x$ 
  shows angular-component  $y = \text{ac-Zp } x$ 
   $\langle \text{proof} \rangle$ 

lemma res-uminus:
  assumes  $k > 0$ 
  assumes  $f \in \text{carrier } Z_p$ 
  assumes  $c \in \text{carrier } (\text{Zp-res-ring } k)$ 
  assumes  $c = \ominus_{\text{Zp-res-ring } k} (f \ k)$ 

```

shows $c = ((\ominus_{Z_p} f) k)$
 $\langle proof \rangle$

lemma *ord-fract*:

assumes $a \in \text{nonzero } Q_p$
assumes $b \in \text{nonzero } Q_p$
shows $\text{ord}(a \div b) = \text{ord } a - \text{ord } b$
 $\langle proof \rangle$

lemma *val-fract*:

assumes $a \in \text{carrier } Q_p$
assumes $b \in \text{nonzero } Q_p$
shows $\text{val}(a \div b) = \text{val } a - \text{val } b$
 $\langle proof \rangle$

lemma *zero-fract*:

assumes $a \in \text{nonzero } Q_p$
shows $\mathbf{0} \div a = \mathbf{0}$
 $\langle proof \rangle$

lemma *fract-closed*:

assumes $a \in \text{carrier } Q_p$
assumes $b \in \text{nonzero } Q_p$
shows $(a \div b) \in \text{carrier } Q_p$
 $\langle proof \rangle$

lemma *val-of-power*:

assumes $a \in \text{nonzero } Q_p$
shows $\text{val}(a[\lceil](n::nat)) = n * (\text{val } a)$
 $\langle proof \rangle$

lemma *val-zero-imp-val-pow-zero*:

assumes $a \in \text{carrier } Q_p$
assumes $\text{val } a = 0$
shows $\text{val}(a[\lceil](n::nat)) = 0$
 $\langle proof \rangle$

val and ord of powers of p

lemma *val-p-nat-pow*:

$\text{val}(\mathbf{p}[\lceil](k::nat)) = \text{eint } k$
 $\langle proof \rangle$

lemma *ord-p-int-pow*:

$\text{ord}(\mathbf{p}[\lceil](k::int)) = k$
 $\langle proof \rangle$

lemma *ord-p-nat-pow*:

$\text{ord}(\mathbf{p}[\lceil](k::nat)) = k$
 $\langle proof \rangle$

```

lemma val-nonzero-frac:
  assumes a ∈ nonzero Qp
  assumes b ∈ nonzero Qp
  assumes val (a ÷ b) = c
  shows val a = val b + c
  ⟨proof⟩

lemma val-nonzero-frac':
  assumes a ∈ nonzero Qp
  assumes b ∈ nonzero Qp
  assumes val (a ÷ b) = 0
  shows val a = val b
  ⟨proof⟩

lemma equal-val-imp-equal-ord:
  assumes a ∈ nonzero Qp
  assumes b ∈ carrier Qp
  assumes val a = val b
  shows ord a = ord b b ∈ nonzero Qp
  ⟨proof⟩

lemma int-pow-ord:
  assumes a ∈ nonzero Qp
  shows ord (a[⌜(i:int)]) = i* (ord a)
  ⟨proof⟩

lemma int-pow-val:
  assumes a ∈ nonzero Qp
  shows val (a[⌜(i:int)]) = i* (val a)
  ⟨proof⟩

lemma neg-int-pow-val:
  assumes a ∈ nonzero Qp
  shows val (a[⌜-(i:int)]) = - (val (a[⌜i]))
  ⟨proof⟩

lemma int-pow-sum-val:
  assumes a ∈ nonzero Qp
  shows val (a[⌜((i:int) + j)]) = (val (a[⌜i])) + val (a[⌜j])
  ⟨proof⟩

lemma int-pow-diff-val:
  assumes a ∈ nonzero Qp
  shows val (a[⌜((i:int) - j)]) = (val (a[⌜i])) - val (a[⌜j])
  ⟨proof⟩

lemma nat-add-pow-mult-assoc:
  assumes a ∈ carrier Qp

```

```

shows [( $n::nat$ )]. $\mathbf{1} = [n].\mathbf{1} \otimes a$ 
⟨proof⟩

lemma(in padic-integers) equal-res-imp-equal-ord-Zp:
assumes  $N > 0$ 
assumes  $a \in carrier Zp$ 
assumes  $b \in carrier Zp$ 
assumes  $a N = b N$ 
assumes  $a N \neq 0$ 
shows ord-Zp  $a = ord-Zp b$ 
⟨proof⟩

lemma(in padic-integers) equal-res-mod:
assumes  $N > k$ 
assumes  $a \in carrier Zp$ 
assumes  $b \in carrier Zp$ 
assumes  $a N = b N$ 
shows  $a k = b k$ 
⟨proof⟩

lemma Qp-char-0:
assumes ( $n::nat$ )  $\neq 0$ 
shows  $[n].\mathbf{1} \neq \mathbf{0}$ 
⟨proof⟩

lemma Qp-char-0-int:
assumes ( $n::int$ )  $\neq 0$ 
shows  $[n].\mathbf{1} \neq \mathbf{0}$ 
⟨proof⟩

lemma add-int-pow-inject:
assumes [( $k::int$ )]. $\mathbf{1} = [(j::int)].\mathbf{1}$ 
shows  $k = j$ 
⟨proof⟩

lemma val-ord-nat-inc:
assumes ( $n::nat$ )  $> 0$ 
shows ord ([ $n$ ]. $\mathbf{1}) = val([n].\mathbf{1})$ 
⟨proof⟩

lemma val-ord-int-inc:
assumes ( $n::int$ )  $\neq 0$ 
shows ord ([ $n$ ]. $\mathbf{1}) = val([n].\mathbf{1})$ 
⟨proof⟩

```

8.4.2 Reduced Angular Component Maps

```

definition ac :: nat ⇒ padic-number ⇒ int where
ac  $n x = (\text{if } x = \mathbf{0} \text{ then } 0 \text{ else } (\text{angular-component } x) n)$ 

```

```

lemma ac-in-res-ring:
  assumes  $x \in \text{nonzero } Q_p$ 
  shows  $\text{ac } n \ x \in \text{carrier } (\text{Zp-res-ring } n)$ 
   $\langle \text{proof} \rangle$ 

lemma ac-in-res-ring'[simp]:
  assumes  $x \in \text{carrier } Q_p$ 
  shows  $\text{ac } n \ x \in \text{carrier } (\text{Zp-res-ring } n)$ 
   $\langle \text{proof} \rangle$ 

lemma ac-mult':
  assumes  $x \in \text{nonzero } Q_p$ 
  assumes  $y \in \text{nonzero } Q_p$ 
  shows  $\text{ac } n \ (x \otimes y) = (\text{ac } n \ x) \otimes_{\text{Zp-res-ring } n} (\text{ac } n \ y)$ 
   $\langle \text{proof} \rangle$ 

lemma ac-mult:
  assumes  $x \in \text{carrier } Q_p$ 
  assumes  $y \in \text{carrier } Q_p$ 
  shows  $\text{ac } n \ (x \otimes y) = (\text{ac } n \ x) \otimes_{\text{Zp-res-ring } n} (\text{ac } n \ y)$ 
   $\langle \text{proof} \rangle$ 

lemma ac-one[simp]:
  assumes  $n \geq 1$ 
  shows  $\text{ac } n \ \mathbf{1} = 1$ 
   $\langle \text{proof} \rangle$ 

lemma ac-one':
  assumes  $n > 0$ 
  shows  $\text{ac } n \ \mathbf{1} = 1_{\text{Zp-res-ring } n}$ 
   $\langle \text{proof} \rangle$ 

lemma ac-units:
  assumes  $x \in \text{nonzero } Q_p$ 
  assumes  $n > 0$ 
  shows  $\text{ac } n \ x \in \text{Units } (\text{Zp-res-ring } n)$ 
   $\langle \text{proof} \rangle$ 

lemma ac-inv:
  assumes  $x \in \text{nonzero } Q_p$ 
  assumes  $n > 0$ 
  shows  $\text{ac } n \ (\text{inv } x) = \text{inv}_{\text{Zp-res-ring } n} (\text{ac } n \ x)$ 
   $\langle \text{proof} \rangle$ 

lemma ac-inv':
  assumes  $x \in \text{nonzero } Q_p$ 
  assumes  $n > 0$ 
  shows  $\text{ac } n \ (\text{inv } x) \otimes_{\text{Zp-res-ring } n} (\text{ac } n \ x) = \mathbf{1}_{\text{Zp-res-ring } n}$ 

```

$\langle proof \rangle$

lemma *ac-inv''*:

assumes $x \in \text{nonzero } Q_p$

assumes $n > 0$

shows $(ac n x) \otimes_{Zp\text{-res-ring}} n ac n (inv x) = \mathbf{1}_{Zp\text{-res-ring}} n$

$\langle proof \rangle$

lemma *ac-inv'''*:

assumes $x \in \text{nonzero } Q_p$

assumes $n > 0$

shows $(ac n x) \otimes_{Zp\text{-res-ring}} n ac n (inv x) = 1$

$ac n (inv x) \otimes_{Zp\text{-res-ring}} n (ac n x) = 1$

$\langle proof \rangle$

lemma *ac-val*:

assumes $a \in \text{nonzero } Q_p$

assumes $b \in \text{nonzero } Q_p$

assumes $\text{val } a = \text{val } b$

assumes $\text{val } (a \ominus b) \geq \text{val } a + n$

shows $ac n a = ac n b$

$\langle proof \rangle$

lemma *angular-component-nat-pow*:

assumes $a \in \text{nonzero } Q_p$

shows $\text{angular-component } (a \lceil (k::nat)) = (\text{angular-component } a) \lceil_{Z_p} k$

$\langle proof \rangle$

lemma *angular-component-int-pow*:

assumes $a \in \text{nonzero } Q_p$

shows $\text{angular-component } (a \lceil (k::int)) = (\text{angular-component } a) \lceil_{Z_p} k$

$\langle proof \rangle$

lemma *ac-nat-pow*:

assumes $a \in \text{nonzero } Q_p$

shows $ac n (a \lceil (k::nat)) = (ac n a) \wedge k \bmod (p \wedge n)$

$\langle proof \rangle$

lemma *ac-nat-pow'*:

assumes $a \in \text{nonzero } Q_p$

assumes $n \neq 0$

shows $ac n (a \lceil (k::nat)) = (ac n a) \lceil_{Zp\text{-res-ring}} n k$

$\langle proof \rangle$

lemma *ac-int-pow*:

assumes $a \in \text{nonzero } Q_p$

assumes $n > 0$

shows $ac n (a \lceil (k::int)) = (ac n a) \lceil_{Zp\text{-res-ring}} n k$

$\langle proof \rangle$

lemma *angular-component-p*:

angular-component $\mathbf{p} = \mathbf{1}_{Z_p}$

$\langle proof \rangle$

lemma *angular-component-p-nat-pow*:

angular-component $(\mathbf{p} [\uparrow] (n::nat)) = \mathbf{1}_{Z_p}$

$\langle proof \rangle$

lemma *angular-component-p-int-pow*:

angular-component $(\mathbf{p} [\uparrow] (n::int)) = \mathbf{1}_{Z_p}$

$\langle proof \rangle$

lemma *ac-p-nat-pow*:

assumes $k > 0$

shows *ac k* $(\mathbf{p} [\uparrow] (n::nat)) = 1$

$\langle proof \rangle$

lemma *ac-p*:

assumes $k > 0$

shows *ac k* $\mathbf{p} = 1$

$\langle proof \rangle$

lemma *ac-p-int-pow*:

assumes $k > 0$

shows *ac k* $(\mathbf{p} [\uparrow] (n::int)) = 1$

$\langle proof \rangle$

lemma *angular-component-p-nat-pow-factor*:

assumes $a \in \text{nonzero } Q_p$

shows *angular-component* $((\mathbf{p} [\uparrow] (n::nat)) \otimes a) = \text{angular-component } a$

$\langle proof \rangle$

lemma *ac-p-nat-pow-factor*:

assumes $m > 0$

assumes $a \in \text{nonzero } Q_p$

shows *ac m* $((\mathbf{p} [\uparrow] (n::nat)) \otimes a) = \text{ac m } a$

$\langle proof \rangle$

lemma *angular-component-p-nat-pow-factor-right*:

assumes $a \in \text{nonzero } Q_p$

shows *angular-component* $(a \otimes (\mathbf{p} [\uparrow] (n::nat))) = \text{angular-component } a$

$\langle proof \rangle$

lemma *ac-p-nat-pow-factor-right*:

assumes $m > 0$

assumes $a \in \text{carrier } Q_p$

shows *ac m* $(a \otimes (\mathbf{p} [\uparrow] (n::nat))) = \text{ac m } a$

$\langle proof \rangle$

```

lemma angular-component-p-int-pow-factor:
  assumes  $a \in \text{carrier } Q_p$ 
  shows angular-component  $((\mathfrak{p} [\lceil] (n::int)) \otimes a) = \text{angular-component } a$ 
   $\langle proof \rangle$ 

lemma ac-p-int-pow-factor:
  assumes  $a \in \text{nonzero } Q_p$ 
  shows  $\text{ac } m ((\mathfrak{p} [\lceil] (n::int)) \otimes a) = \text{ac } m a$ 
   $\langle proof \rangle$ 

lemma angular-component-p-int-pow-factor-right:
  assumes  $a \in \text{carrier } Q_p$ 
  shows angular-component  $(a \otimes (\mathfrak{p} [\lceil] (n::int))) = \text{angular-component } a$ 
   $\langle proof \rangle$ 

lemma ac-p-int-pow-factor-right:
  assumes  $a \in \text{carrier } Q_p$ 
  shows  $\text{ac } m (a \otimes (\mathfrak{p} [\lceil] (n::int))) = \text{ac } m a$ 
   $\langle proof \rangle$ 

```

8.5 An Inverse for the inclusion map ι

```

definition to-Zp where
  to-Zp  $a = (\text{if } (a \in \mathcal{O}_p) \text{ then } (\text{SOME } x. x \in \text{carrier } Z_p \wedge \iota x = a) \text{ else } \mathbf{0}_{Z_p})$ 

lemma to-Zp-closed:
  assumes  $a \in \text{carrier } Q_p$ 
  shows to-Zp  $a \in \text{carrier } Z_p$ 
   $\langle proof \rangle$ 

lemma to-Zp-inc:
  assumes  $a \in \mathcal{O}_p$ 
  shows  $\iota (\text{to-Zp } a) = a$ 
   $\langle proof \rangle$ 

lemma inc-to-Zp:
  assumes  $b \in \text{carrier } Z_p$ 
  shows to-Zp  $(\iota b) = b$ 
   $\langle proof \rangle$ 

lemma to-Zp-add:
  assumes  $a \in \mathcal{O}_p$ 
  assumes  $b \in \mathcal{O}_p$ 
  shows to-Zp  $(a \oplus b) = \text{to-Zp } a \oplus_{Z_p} (\text{to-Zp } b)$ 
   $\langle proof \rangle$ 

lemma to-Zp-mult:
  assumes  $a \in \mathcal{O}_p$ 

```

```

assumes  $b \in \mathcal{O}_p$ 
shows  $\text{to-Zp } (a \otimes b) = \text{to-Zp } a \otimes_{Z_p} (\text{to-Zp } b)$ 
⟨proof⟩

lemma  $\text{to-Zp-minus:}$ 
assumes  $a \in \mathcal{O}_p$ 
assumes  $b \in \mathcal{O}_p$ 
shows  $\text{to-Zp } (a \ominus b) = \text{to-Zp } a \ominus_{Z_p} (\text{to-Zp } b)$ 
⟨proof⟩

lemma  $\text{to-Zp-one:}$ 
shows  $\text{to-Zp } \mathbf{1} = \mathbf{1}_{Z_p}$ 
⟨proof⟩

lemma  $\text{to-Zp-zero:}$ 
shows  $\text{to-Zp } \mathbf{0} = \mathbf{0}_{Z_p}$ 
⟨proof⟩

lemma  $\text{to-Zp-ominus:}$ 
assumes  $a \in \mathcal{O}_p$ 
shows  $\text{to-Zp } (\ominus a) = \ominus_{Z_p} (\text{to-Zp } a)$ 
⟨proof⟩

lemma  $\text{to-Zp-val:}$ 
assumes  $a \in \mathcal{O}_p$ 
shows  $\text{val-Zp } (\text{to-Zp } a) = \text{val } a$ 
⟨proof⟩

lemma  $\text{val-of-nat-inc:}$ 
 $\text{val } ([k:\text{nat}]) \cdot \mathbf{1} \geq 0$ 
⟨proof⟩

lemma  $\text{val-of-int-inc:}$ 
 $\text{val } ([k:\text{int}]) \cdot \mathbf{1} \geq 0$ 
⟨proof⟩

lemma  $\text{to-Zp-nat-inc:}$ 
 $\text{to-Zp } ([a:\text{nat}]) \cdot \mathbf{1} = [a] \cdot_{Z_p} \mathbf{1}_{Z_p}$ 
⟨proof⟩

lemma  $\text{to-Zp-int-neg:}$ 
 $\text{to-Zp } ([-\text{int } (a:\text{nat})]) \cdot \mathbf{1} = \ominus_{Z_p} ([\text{int } a] \cdot_{Z_p} \mathbf{1}_{Z_p})$ 
⟨proof⟩

lemma(in ring)  $\text{int-add-pow:}$ 
 $[n] \cdot \mathbf{1} = [n] \cdot \mathbf{1}$ 
⟨proof⟩

```

```

lemma int-add-pow:
[int n] · 1 = [n]·1
⟨proof⟩

lemma Zp-int-add-pow:
[int n] ·Zp 1Zp = [n]·Zp 1Zp
⟨proof⟩

lemma to-Zp-int-inc:
to-Zp ((a::int)·1) = ([a]·Zp 1Zp)
⟨proof⟩

lemma to-Zp-nat-add-pow:
assumes a ∈  $\mathcal{O}_p$ 
shows to-Zp ((n::nat)·a) = [n]·Zp to-Zp a
⟨proof⟩

lemma val-ring-res:
assumes a ∈  $\mathcal{O}_p$ 
assumes b ∈  $\mathcal{O}_p$ 
shows to-Zp (a ⊕ b) N = to-Zp a N ⊕Zp-res-ring N to-Zp b N
⟨proof⟩

lemma res-diff-in-val-ring-imp-in-val-ring:
assumes a ∈  $\mathcal{O}_p$ 
assumes b ∈ carrier  $Q_p$ 
assumes a ⊕ b ∈  $\mathcal{O}_p$ 
shows b ∈  $\mathcal{O}_p$ 
⟨proof⟩

lemma(in padic-fields) equal-res-imp-res-diff-zero:
assumes a ∈  $\mathcal{O}_p$ 
assumes b ∈  $\mathcal{O}_p$ 
assumes to-Zp a N = to-Zp b N
shows to-Zp (a ⊕ b) N = 0
⟨proof⟩

lemma(in padic-fields) equal-res-imp-val-diff-bound:
assumes a ∈  $\mathcal{O}_p$ 
assumes b ∈  $\mathcal{O}_p$ 
assumes to-Zp a N = to-Zp b N
shows val (a ⊕ b) ≥ N
⟨proof⟩

lemma(in padic-fields) equal-res-equal-val:
assumes a ∈  $\mathcal{O}_p$ 
assumes b ∈  $\mathcal{O}_p$ 
assumes val a < N
assumes to-Zp a N = to-Zp b N

```

```

shows val a = val b
⟨proof⟩

lemma(in padic-fields) val-ring-equal-res-imp-equal-val:
  assumes a ∈  $\mathcal{O}_p$ 
  assumes b ∈  $\mathcal{O}_p$ 
  assumes val a < eint N
  assumes val b < eint N
  assumes to-Zp a N = to-Zp b N
  shows val a = val b
  ⟨proof⟩

end
end
theory Padic-Field-Polynomials
  imports Padic-Fields

begin

```

9 p -adic Univariate Polynomials and Hensel’s Lemma

type-synonym padic-field-poly = nat ⇒ padic-number

type-synonym padic-field-fun = padic-number ⇒ padic-number

9.1 Gauss Norms of Polynomials

The Gauss norm of a polynomial is defined to be the minimum valuation of a coefficient of that polynomial. This induces a valuation on the ring of polynomials, and in particular it satisfies the ultrametric inequality. In addition, the Gauss norm of a polynomial $f(x)$ gives a lower bound for the value val ($f(a)$) in terms of val (a), for a point $a \in \mathbb{Q}_p$. We introduce Gauss norms here as a useful tool for stating and proving Hensel’s Lemma for the field \mathbb{Q}_p . We are abusing terminology slightly in calling this the Gauss norm, rather than the Gauss valuation, but this is just to conform with our decision to work exclusively with the p -adic valuation and not discuss the equivalent real-valued p -adic norm. For a detailed treatment of Gauss norms one can see, for example [2].

```

context padic-fields
begin

no-notation Zp.to-fun (infixl $\cdot\cdot$  70)

abbreviation(input)  $Q_p$ -x where
   $Q_p$ -x ≡ UP  $Q_p$ 

definition gauss-norm where

```

gauss-norm $g = \text{Min} (\text{val} ` g ` \{\text{..degree } g\})$

lemma *gauss-normE*:

assumes $g \in \text{carrier } Q_p$ - x
shows *gauss-norm* $g \leq \text{val} (g k)$
 $\langle \text{proof} \rangle$

lemma *gauss-norm-geqI*:

assumes $g \in \text{carrier} (\text{UP } Q_p)$
assumes $\bigwedge n. \text{val} (g n) \geq \alpha$
shows *gauss-norm* $g \geq \alpha$
 $\langle \text{proof} \rangle$

lemma *gauss-norm-eqI*:

assumes $g \in \text{carrier} (\text{UP } Q_p)$
assumes $\bigwedge n. \text{val} (g n) \geq \alpha$
assumes $\text{val} (g i) = \alpha$
shows *gauss-norm* $g = \alpha$
 $\langle \text{proof} \rangle$

lemma *nonzero-poly-nonzero-coeff*:

assumes $g \in \text{carrier } Q_p$ - x
assumes $g \neq \mathbf{0}_{Q_p}$ - x
shows $\exists k. k \leq \text{degree } g \wedge g k \neq \mathbf{0}_{Q_p}$
 $\langle \text{proof} \rangle$

lemma *gauss-norm-prop*:

assumes $g \in \text{carrier } Q_p$ - x
assumes $g \neq \mathbf{0}_{Q_p}$ - x
shows *gauss-norm* $g \neq \infty$
 $\langle \text{proof} \rangle$

lemma *gauss-norm-coeff-norm*:

$\exists n \leq \text{degree } g. (\text{gauss-norm } g) = \text{val} (g n)$
 $\langle \text{proof} \rangle$

lemma *gauss-norm-smult-cfs*:

assumes $g \in \text{carrier } Q_p$ - x
assumes $a \in \text{carrier } Q_p$
assumes *gauss-norm* $g = \text{val} (g k)$
shows *gauss-norm* $(a \odot_{Q_p}$ - $x g) = \text{val } a + \text{val} (g k)$
 $\langle \text{proof} \rangle$

lemma *gauss-norm-smult*:

assumes $g \in \text{carrier } Q_p$ - x
assumes $a \in \text{carrier } Q_p$
shows *gauss-norm* $(a \odot_{Q_p}$ - $x g) = \text{val } a + \text{gauss-norm } g$
 $\langle \text{proof} \rangle$

lemma gauss-norm-ultrametric:
assumes $g \in \text{carrier } Q_p\text{-}x$
assumes $h \in \text{carrier } Q_p\text{-}x$
shows gauss-norm $(g \oplus_{Q_p\text{-}x} h) \geq \min (\text{gauss-norm } g) (\text{gauss-norm } h)$
 $\langle \text{proof} \rangle$

lemma gauss-norm-a-inv:
assumes $f \in \text{carrier } (\text{UP } Q_p)$
shows gauss-norm $(\ominus_{\text{UP } Q_p} f) = \text{gauss-norm } f$
 $\langle \text{proof} \rangle$

lemma gauss-norm-ultrametric':
assumes $f \in \text{carrier } (\text{UP } Q_p)$
assumes $g \in \text{carrier } (\text{UP } Q_p)$
shows gauss-norm $(f \ominus_{\text{UP } Q_p} g) \geq \min (\text{gauss-norm } f) (\text{gauss-norm } g)$
 $\langle \text{proof} \rangle$

lemma gauss-norm-finsum:
assumes $f \in A \rightarrow \text{carrier } Q_p\text{-}x$
assumes finite A
assumes $A \neq \{\}$
shows gauss-norm $(\bigoplus_{Q_p\text{-}x} i \in A. f i) \geq \text{Min } (\text{gauss-norm } ` (f`A))$
 $\langle \text{proof} \rangle$

lemma gauss-norm-monom:
assumes $a \in \text{carrier } Q_p$
shows gauss-norm $(\text{monom } Q_p\text{-}x a n) = \text{val } a$
 $\langle \text{proof} \rangle$

lemma val-val-ring-prod:
assumes $a \in \mathcal{O}_p$
assumes $b \in \text{carrier } Q_p$
shows val $(a \otimes_{Q_p} b) \geq \text{val } b$
 $\langle \text{proof} \rangle$

lemma val-val-ring-prod':
assumes $a \in \mathcal{O}_p$
assumes $b \in \text{carrier } Q_p$
shows val $(b \otimes_{Q_p} a) \geq \text{val } b$
 $\langle \text{proof} \rangle$

lemma val-ring-nat-pow-closed:
assumes $a \in \mathcal{O}_p$
shows $(a[\lceil](n::\text{nat})) \in \mathcal{O}_p$
 $\langle \text{proof} \rangle$

lemma val-ringI:
assumes $a \in \text{carrier } Q_p$
assumes val $a \geq 0$

```

shows  $a \in \mathcal{O}_p$ 
⟨proof⟩

notation UPQ.to-fun (infixl `·` 70)

lemma val-gauss-norm-eval:
assumes  $g \in \text{carrier } Q_p$ - $x$ 
assumes  $a \in \mathcal{O}_p$ 
shows  $\text{val}(g \cdot a) \geq \text{gauss-norm } g$ 
⟨proof⟩

lemma positive-gauss-norm-eval:
assumes  $g \in \text{carrier } Q_p$ - $x$ 
assumes  $\text{gauss-norm } g \geq 0$ 
assumes  $a \in \mathcal{O}_p$ 
shows  $(g \cdot a) \in \mathcal{O}_p$ 
⟨proof⟩

lemma positive-gauss-norm-valuation-ring-coeffs:
assumes  $g \in \text{carrier } Q_p$ - $x$ 
assumes  $\text{gauss-norm } g \geq 0$ 
shows  $g \cdot n \in \mathcal{O}_p$ 
⟨proof⟩

lemma val-ring-cfs-imp-nonneg-gauss-norm:
assumes  $g \in \text{carrier } (\text{UP } Q_p)$ 
assumes  $\bigwedge n. g \cdot n \in \mathcal{O}_p$ 
shows  $\text{gauss-norm } g \geq 0$ 
⟨proof⟩

lemma val-of-add-pow:
assumes  $a \in \text{carrier } Q_p$ 
shows  $\text{val}([(n::\text{nat}) \cdot a]) \geq \text{val } a$ 
⟨proof⟩

lemma gauss-norm-pderiv:
assumes  $g \in \text{carrier } (\text{UP } Q_p)$ 
shows  $\text{gauss-norm } g \leq \text{gauss-norm } (\text{pderiv } g)$ 
⟨proof⟩

```

9.2 Mapping Polynomials with Value Ring Coefficients to Polynomials over \mathbb{Z}_p

definition to-Zp-poly **where**
 $\text{to-Zp-poly } g = (\lambda n. \text{to-Zp } (g \cdot n))$

lemma to-Zp-poly-closed:
assumes $g \in \text{carrier } Q_p$ - x
assumes $\text{gauss-norm } g \geq 0$

shows *to-Zp-poly* $g \in \text{carrier} (\text{UP } Z_p)$
 $\langle \text{proof} \rangle$

definition *poly-inc* **where**
 $\text{poly-inc } g = (\lambda n::\text{nat}. \iota (g n))$

lemma *poly-inc-closed*:
assumes $g \in \text{carrier} (\text{UP } Z_p)$
shows *poly-inc* $g \in \text{carrier } Q_p$ - x
 $\langle \text{proof} \rangle$

lemma *poly-inc-inverse-right*:
assumes $g \in \text{carrier} (\text{UP } Z_p)$
shows *to-Zp-poly* (*poly-inc* g) = g
 $\langle \text{proof} \rangle$

lemma *poly-inc-inverse-left*:
assumes $g \in \text{carrier } Q_p$ - x
assumes *gauss-norm* $g \geq 0$
shows *poly-inc* (*to-Zp-poly* g) = g
 $\langle \text{proof} \rangle$

lemma *poly-inc-plus*:
assumes $f \in \text{carrier} (\text{UP } Z_p)$
assumes $g \in \text{carrier} (\text{UP } Z_p)$
shows *poly-inc* ($f \oplus_{\text{UP } Z_p} g$) = *poly-inc* $f \oplus_{\text{UP } Q_p} *poly-inc* g
 $\langle \text{proof} \rangle$$

lemma *poly-inc-monom*:
assumes $a \in \text{carrier } Z_p$
shows *poly-inc* (*monom* (*UP* Z_p) $a m$) = *monom* (*UP* Q_p) (ιa) m
 $\langle \text{proof} \rangle$

lemma *poly-inc-times*:
assumes $f \in \text{carrier} (\text{UP } Z_p)$
assumes $g \in \text{carrier} (\text{UP } Z_p)$
shows *poly-inc* ($f \otimes_{\text{UP } Z_p} g$) = *poly-inc* $f \otimes_{\text{UP } Q_p} *poly-inc* g
 $\langle \text{proof} \rangle$$

lemma *poly-inc-one*:
poly-inc ($\mathbf{1}_{\text{UP } Z_p}$) = $\mathbf{1}_{\text{UP } Q_p}$
 $\langle \text{proof} \rangle$

lemma *poly-inc-zero*:
poly-inc ($\mathbf{0}_{\text{UP } Z_p}$) = $\mathbf{0}_{\text{UP } Q_p}$
 $\langle \text{proof} \rangle$

lemma *poly-inc-hom*:
poly-inc $\in \text{ring-hom} (\text{UP } Z_p) (\text{UP } Q_p)$

$\langle proof \rangle$

lemma *poly-inc-as-poly-lift-hom*:
 assumes $f \in \text{carrier}(\text{UP } Z_p)$
 shows $\text{poly-inc } f = \text{poly-lift-hom } Z_p Q_p \iota f$
 $\langle proof \rangle$

lemma *poly-inc-eval*:
 assumes $g \in \text{carrier}(\text{UP } Z_p)$
 assumes $a \in \text{carrier } Z_p$
 shows $\text{to-function } Q_p (\text{poly-inc } g) (\iota a) = \iota (\text{to-function } Z_p g a)$
 $\langle proof \rangle$

lemma *val-ring-poly-eval*:
 assumes $f \in \text{carrier}(\text{UP } Q_p)$
 assumes $\bigwedge i. f i \in \mathcal{O}_p$
 shows $\bigwedge x. x \in \mathcal{O}_p \implies f \cdot x \in \mathcal{O}_p$
 $\langle proof \rangle$

lemma *Zp-res-of-pow*:
 assumes $a \in \text{carrier } Z_p$
 assumes $b \in \text{carrier } Z_p$
 assumes $a n = b n$
 shows $(a[\lceil]_{Z_p}(k::nat)) n = (b[\lceil]_{Z_p}(k::nat)) n$
 $\langle proof \rangle$

lemma *to-Zp-nat-pow*:
 assumes $a \in \mathcal{O}_p$
 shows $\text{to-Zp } (a[\lceil](n::nat)) = (\text{to-Zp } a)[\lceil]_{Z_p}(n::nat)$
 $\langle proof \rangle$

lemma *to-Zp-res-of-pow*:
 assumes $a \in \mathcal{O}_p$
 assumes $b \in \mathcal{O}_p$
 assumes $\text{to-Zp } a n = \text{to-Zp } b n$
 shows $\text{to-Zp } (a[\lceil](k::nat)) n = \text{to-Zp } (b[\lceil](k::nat)) n$
 $\langle proof \rangle$

lemma *poly-eval-cong*:
 assumes $g \in \text{carrier}(\text{UP } Q_p)$
 assumes $\bigwedge i. g i \in \mathcal{O}_p$
 assumes $a \in \mathcal{O}_p$
 assumes $b \in \mathcal{O}_p$
 assumes $\text{to-Zp } a k = \text{to-Zp } b k$
 shows $\text{to-Zp } (g \cdot a) k = \text{to-Zp } (g \cdot b) k$
 $\langle proof \rangle$

lemma *to-Zp-poly-eval*:
 assumes $g \in \text{carrier } Q_p$

```

assumes gauss-norm  $g \geq 0$ 
assumes  $a \in \mathcal{O}_p$ 
shows to-Zp (to-function  $Q_p g a$ ) = to-function  $Z_p$  (to-Zp-poly  $g$ ) (to-Zp  $a$ )
⟨proof⟩

lemma poly-eval-equal-val:
assumes  $g \in \text{carrier } (\text{UP } Q_p)$ 
assumes  $\bigwedge x. g x \in \mathcal{O}_p$ 
assumes  $a \in \mathcal{O}_p$ 
assumes  $b \in \mathcal{O}_p$ 
assumes val  $(g \cdot a) < \text{eint } n$ 
assumes to-Zp  $a n =$  to-Zp  $b n$ 
shows val  $(g \cdot b) = \text{val } (g \cdot a)$ 
⟨proof⟩

lemma to-Zp-poly-monom:
assumes  $a \in \mathcal{O}_p$ 
shows to-Zp-poly (monom  $(\text{UP } Q_p) a n$ ) = monom  $(\text{UP } Z_p)$  (to-Zp  $a$ )  $n$ 
⟨proof⟩

lemma to-Zp-poly-add:
assumes  $f \in \text{carrier } (\text{UP } Q_p)$ 
assumes gauss-norm  $f \geq 0$ 
assumes  $g \in \text{carrier } (\text{UP } Q_p)$ 
assumes gauss-norm  $g \geq 0$ 
shows to-Zp-poly  $(f \oplus_{\text{UP } Q_p} g) = \text{to-Zp-poly } f \oplus_{\text{UP } Z_p} \text{to-Zp-poly } g$ 
⟨proof⟩

lemma to-Zp-poly-zero:
to-Zp-poly  $(\mathbf{0}_{\text{UP } Q_p}) = \mathbf{0}_{\text{UP } Z_p}$ 
⟨proof⟩

lemma to-Zp-poly-one:
to-Zp-poly  $(\mathbf{1}_{\text{UP } Q_p}) = \mathbf{1}_{\text{UP } Z_p}$ 
⟨proof⟩

lemma val-ring-add-pow:
assumes  $a \in \text{carrier } Q_p$ 
assumes val  $a \geq 0$ 
shows val  $([(n:\text{nat})] \cdot a) \geq 0$ 
⟨proof⟩

lemma to-Zp-poly-pderiv:
assumes  $g \in \text{carrier } (\text{UP } Q_p)$ 
assumes gauss-norm  $g \geq 0$ 
shows to-Zp-poly (pderiv  $g$ ) = Zp.pderiv (to-Zp-poly  $g$ )
⟨proof⟩

lemma val-p-int-pow:

```

```

val ( $\mathbf{p}[\lceil k \rceil] = eint(k)$ )
     $\langle proof \rangle$ 

definition int-gauss-norm where
int-gauss-norm  $g = (\text{SOME } n::\text{int}. eint n = \text{gauss-norm } g)$ 

lemma int-gauss-norm-eq:
assumes  $g \in \text{carrier}(\text{UP } Q_p)$ 
assumes  $g \neq \mathbf{0}_{\text{UP } Q_p}$ 
shows  $eint(\text{int-gauss-norm } g) = \text{gauss-norm } g$ 
 $\langle proof \rangle$ 

lemma int-gauss-norm-smult:
assumes  $g \in \text{carrier}(\text{UP } Q_p)$ 
assumes  $g \neq \mathbf{0}_{\text{UP } Q_p}$ 
assumes  $a \in \text{nonzero } Q_p$ 
shows  $\text{int-gauss-norm}(a \odot_{\text{UP } Q_p} g) = \text{ord } a + \text{int-gauss-norm } g$ 
 $\langle proof \rangle$ 

definition normalize-poly where
normalize-poly  $g = (\text{if } g = \mathbf{0}_{\text{UP } Q_p} \text{ then } g \text{ else } (\mathbf{p}[\lceil (- \text{int-gauss-norm } g)] \odot_{Q_p-x} g))$ 

lemma normalize-poly-zero:
normalize-poly  $\mathbf{0}_{\text{UP } Q_p} = \mathbf{0}_{\text{UP } Q_p}$ 
 $\langle proof \rangle$ 

lemma normalize-poly-nonzero-eq:
assumes  $g \neq \mathbf{0}_{\text{UP } Q_p}$ 
assumes  $g \in \text{carrier}(\text{UP } Q_p)$ 
shows  $\text{normalize-poly } g = (\mathbf{p}[\lceil (- \text{int-gauss-norm } g)] \odot_{\text{UP } Q_p} g)$ 
 $\langle proof \rangle$ 

lemma int-gauss-norm-normalize-poly:
assumes  $g \neq \mathbf{0}_{\text{UP } Q_p}$ 
assumes  $g \in \text{carrier}(\text{UP } Q_p)$ 
shows  $\text{int-gauss-norm}(\text{normalize-poly } g) = 0$ 
 $\langle proof \rangle$ 

lemma normalize-poly-closed:
assumes  $g \in \text{carrier}(\text{UP } Q_p)$ 
shows  $\text{normalize-poly } g \in \text{carrier}(\text{UP } Q_p)$ 
 $\langle proof \rangle$ 

lemma normalize-poly-nonzero:
assumes  $g \neq \mathbf{0}_{\text{UP } Q_p}$ 
assumes  $g \in \text{carrier}(\text{UP } Q_p)$ 
shows  $\text{normalize-poly } g \neq \mathbf{0}_{\text{UP } Q_p}$ 

```

$\langle proof \rangle$

lemma gauss-norm-normalize-poly:

assumes $g \neq \mathbf{0}_{UP Q_p}$
assumes $g \in carrier(UP Q_p)$
shows gauss-norm(normalize-poly g) = 0

$\langle proof \rangle$

lemma taylor-term-eval-eq:

assumes $f \in carrier(UP Q_p)$
assumes $x \in carrier Q_p$
assumes $t \in carrier Q_p$
assumes $\bigwedge j. i \neq j \implies val(UPQ.taylor-term x f i \cdot t) < val(UPQ.taylor-term x f j \cdot t)$
shows $val(f \cdot t) = val(UPQ.taylor-term x f i \cdot t)$

$\langle proof \rangle$

9.3 Hensel's Lemma for p -adic fields

theorem hensels-lemma:

assumes $f \in carrier(UP Q_p)$
assumes $a \in \mathcal{O}_p$
assumes gauss-norm $f \geq 0$
assumes $val(f \cdot a) > 2 * val((pderiv f) \cdot a)$
shows $\exists! \alpha \in \mathcal{O}_p. f \cdot \alpha = \mathbf{0} \wedge val(a \ominus \alpha) > val((pderiv f) \cdot a)$

$\langle proof \rangle$

lemma nth-root-poly-root-fixed:

assumes $(n::nat) > 1$
assumes $a \in \mathcal{O}_p$
assumes $val(\mathbf{1} \ominus_{Q_p} a) > 2 * val([n] \cdot \mathbf{1})$
shows $(\exists! b \in \mathcal{O}_p. (b \lceil n) = a \wedge val(b \ominus \mathbf{1}) > val([n] \cdot \mathbf{1}))$

$\langle proof \rangle$

lemma mod-zeroE:

assumes $(a::int) \bmod k = 0$
shows $\exists l. a = l*k$

$\langle proof \rangle$

lemma to-Zp-poly-closed':

assumes $g \in carrier(UP Q_p)$
assumes $\bigwedge i. g i \in \mathcal{O}_p$
shows $to-Zp-poly g \in carrier(UP Z_p)$

$\langle proof \rangle$

lemma to-Zp-poly-eval-to-Zp:

assumes $g \in carrier(UP Q_p)$
assumes $\bigwedge i. g i \in \mathcal{O}_p$
assumes $a \in \mathcal{O}_p$

shows *to-function* Z_p (*to-Zp-poly* g) (*to-Zp* a) = *to-Zp* ($g \cdot a$)
(proof)

lemma *inc-nat-pow*:

assumes $a \in \text{carrier } Z_p$
shows $\iota([(n:\text{nat})] \cdot_{Z_p} a) = [n] \cdot (\iota a)$
(proof)

lemma *poly-inc-pderiv*:

assumes $g \in \text{carrier } (\text{UP } Z_p)$
shows *poly-inc* ($Z_p.pderiv g$) = $\text{UPQ.pderiv} (\text{poly-inc } g)$
(proof)

lemma *Zp-hensels-lemma*:

assumes $f \in \text{carrier } Z_p$

assumes $a \in \text{carrier } Z_p$

assumes $Zp.\text{to-fun} (Zp.pderiv f) a \neq \mathbf{0}_{Z_p}$

assumes $Zp.\text{to-fun } f a \neq \mathbf{0}_{Z_p}$

assumes $\text{val-Zp} (Zp.\text{to-fun } f a) > \text{eint } 2 * \text{val-Zp} (Zp.\text{to-fun} (Zp.pderiv f) a)$

obtains α **where**

$Zp.\text{to-fun } f \alpha = \mathbf{0}_{Z_p}$ **and** $\alpha \in \text{carrier } Z_p$

$\text{val-Zp} (a \ominus_{Z_p} \alpha) > \text{val-Zp} (Zp.\text{to-fun} (Zp.pderiv f) a)$

$\text{val-Zp} (a \ominus_{Z_p} \alpha) = \text{val-Zp} (\text{divide} (Zp.\text{to-fun } f a) (Zp.\text{to-fun} (Zp.pderiv f)$

$a))$

$\text{val-Zp} (Zp.\text{to-fun} (Zp.pderiv f) \alpha) = \text{val-Zp} (Zp.\text{to-fun} (Zp.pderiv f) a)$

(proof)

end

end

theory *Padic-Field-Topology*

imports *Padic-Fields*

begin

10 Topology of p -adic Fields

In this section we develop some basic properties of the topology on the p -adics. Open and closed sets are defined, convex subsets of the value group are characterized.

type-synonym *padic-univ-poly* = *nat* \Rightarrow *padic-number*

10.1 p -adic Balls

context *padic-fields*

begin

definition *c-ball* :: *int* \Rightarrow *padic-number* \Rightarrow *padic-number set* ($\langle B_-[-] \rangle$) **where**
c-ball $n c = \{x \in \text{carrier } Q_p. \text{val } (x \ominus c) \geq n\}$

```

lemma c-ballI:
  assumes  $x \in \text{carrier } Q_p$ 
  assumes  $\text{val } (x \ominus c) \geq n$ 
  shows  $x \in c\text{-ball } n c$ 
   $\langle \text{proof} \rangle$ 

lemma c-ballE:
  assumes  $x \in c\text{-ball } n c$ 
  shows  $x \in \text{carrier } Q_p$ 
     $\text{val } (x \ominus c) \geq n$ 
   $\langle \text{proof} \rangle$ 

lemma c-ball-in-Qp:
   $B_n[c] \subseteq \text{carrier } Q_p$ 
   $\langle \text{proof} \rangle$ 

definition
 $q\text{-ball} :: \text{nat} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{padic-number} \Rightarrow \text{padic-number set}$  where
 $q\text{-ball } n k m c = \{x \in \text{carrier } Q_p. (ac n (x \ominus c) = k \wedge (\text{ord } (x \ominus c)) = m)\}$ 

lemma q-ballI:
  assumes  $x \in \text{carrier } Q_p$ 
  assumes  $ac n (x \ominus c) = k$ 
  assumes  $(\text{ord } (x \ominus c)) = m$ 
  shows  $x \in q\text{-ball } n k m c$ 
   $\langle \text{proof} \rangle$ 

lemma q-ballE:
  assumes  $x \in q\text{-ball } n k m c$ 
  shows  $x \in \text{carrier } Q_p$ 
   $\langle \text{proof} \rangle$ 

lemma q-ballE':
  assumes  $x \in q\text{-ball } n k m c$ 
  shows  $ac n (x \ominus c) = k$ 
     $(\text{ord } (x \ominus c)) = m$ 
   $\langle \text{proof} \rangle$ 

lemma q-ball-in-Qp:
   $q\text{-ball } n k m c \subseteq \text{carrier } Q_p$ 
   $\langle \text{proof} \rangle$ 

lemma ac-ord-prop:
  assumes  $a \in \text{nonzero } Q_p$ 
  assumes  $b \in \text{nonzero } Q_p$ 
  assumes  $\text{ord } a = \text{ord } b$ 
  assumes  $\text{ord } a = n$ 

```

```

assumes ac m a = ac m b
assumes m > 0
shows val (a ⊕ b) ≥ m + n
⟨proof⟩

lemma c-ball-q-ball:
assumes b ∈ nonzero Qp
assumes n > 0
assumes k = ac n b
assumes c ∈ carrier Qp
assumes d ∈ q-ball n k m c
shows q-ball n k m c = c-ball (m + n) d
⟨proof⟩

definition is-ball :: padic-number set ⇒ bool where
is-ball B = (exists (m::int). ∃ c ∈ carrier Qp. (B = Bm[c]))

lemma is-ball-imp-in-Qp:
assumes is-ball B
shows B ⊆ carrier Qp
⟨proof⟩

lemma c-ball-centers:
assumes is-ball B
assumes B = Bn[c]
assumes d ∈ B
assumes c ∈ carrier Qp
shows B = Bn[d]
⟨proof⟩

lemma c-ball-center-in:
assumes is-ball B
assumes B = Bn[c]
assumes c ∈ carrier Qp
shows c ∈ B
⟨proof⟩

Every point a has a point b of distance exactly n away from it.

lemma dist-nonempty:
assumes a ∈ carrier Qp
shows ∃ b ∈ carrier Qp. val (b ⊖ a) = eint n
⟨proof⟩

lemma dist-nonempty':
assumes a ∈ carrier Qp
shows ∃ b ∈ carrier Qp. val (b ⊖ a) = α
⟨proof⟩

lemma ball-rad-θ:

```

```

assumes is-ball B
assumes Bm[c] ⊆ Bn[c]
assumes c ∈ carrier Qp
shows n ≤ m
⟨proof⟩

lemma ball-rad:
assumes is-ball B
assumes B = Bn[c]
assumes B = Bm[c]
assumes c ∈ carrier Qp
shows n = m
⟨proof⟩

definition radius :: padic-number set ⇒ int (⟨rad⟩) where
radius B = (SOME n. (∃ c ∈ carrier Qp . B = Bn[c]))

lemma radius-of-ball:
assumes is-ball B
assumes c ∈ B
shows B = Brad B[c]
⟨proof⟩

lemma ball-rad':
assumes is-ball B
assumes B = Bn[c]
assumes B = Bm[d]
assumes c ∈ carrier Qp
assumes d ∈ carrier Qp
shows n = m
⟨proof⟩

lemma nested-balls:
assumes is-ball B
assumes B = Bn[c]
assumes B' = Bm[c]
assumes c ∈ carrier Qp
assumes d ∈ carrier Qp
shows n ≥ m ⟷ B ⊆ B'
⟨proof⟩

lemma nested-balls':
assumes is-ball B
assumes is-ball B'
assumes B ∩ B' ≠ {}
shows B ⊆ B' ∨ B' ⊆ B
⟨proof⟩

definition is-bounded:: padic-number set ⇒ bool where

```

is-bounded $S = (\exists n. \exists c \in \text{carrier } Q_p. S \subseteq B_n[c])$

lemma *empty-is-bounded*:
is-bounded {}
⟨proof⟩

10.2 p -adic Open Sets

definition *is-open*:: *padic-number set* \Rightarrow *bool* **where**
is-open $U \equiv (U \subseteq \text{carrier } Q_p) \wedge (\forall c \in U. \exists n. B_n[c] \subseteq U)$

lemma *is-openI*:
assumes $U \subseteq \text{carrier } Q_p$
assumes $\bigwedge c. c \in U \implies \exists n. B_n[c] \subseteq U$
shows *is-open* U
⟨proof⟩

lemma *ball-is-open*:
assumes *is-ball* B
shows *is-open* B
⟨proof⟩

lemma *is-open-imp-in-Qp*:
assumes *is-open* U
shows $U \subseteq \text{carrier } Q_p$
⟨proof⟩

lemma *is-open-imp-in-Qp'*:
assumes *is-open* U
assumes $x \in U$
shows $x \in \text{carrier } Q_p$
⟨proof⟩

Owing to the total disconnectedness of the p -adic field, every open set can be decomposed into a disjoint union of balls which are maximal with respect to containment in that set. This unique decomposition is occasionally useful.

definition *is-max-ball-of*:: *padic-number set* \Rightarrow *padic-number set* \Rightarrow *bool* **where**
is-max-ball-of $U B \equiv (\text{is-ball } B) \wedge (B \subseteq U) \wedge (\forall B'. ((\text{is-ball } B') \wedge (B' \subseteq U) \wedge B \subseteq B') \longrightarrow B' \subseteq B)$

lemma *is-max-ball-ofI*:
assumes $U \subseteq \text{carrier } Q_p$
assumes $(B_m[c]) \subseteq U$
assumes $c \in \text{carrier } Q_p$
assumes $\forall m'. m' < m \longrightarrow \neg B_{m'}[c] \subseteq U$
shows *is-max-ball-of* $U (B_m[c])$
⟨proof⟩

lemma *int-prop*:

```

fixes P:: int  $\Rightarrow$  bool
assumes P n
assumes  $\forall m. m \leq N \longrightarrow \neg P m$ 
shows  $\exists n. P n \wedge (\forall n'. P n' \longrightarrow n' \geq n)$ 
⟨proof⟩

lemma open-max-ball:
assumes is-open U
assumes U ≠ carrier Qp
assumes c ∈ U
shows  $\exists B. \text{is-max-ball-of } U B \wedge c \in B$ 
⟨proof⟩

definition interior where
interior U = {a.  $\exists B. \text{is-open } B \wedge B \subseteq U \wedge a \in B$ }

lemma interior-subset:
assumes U ⊆ carrier Qp
shows interior U ⊆ U
⟨proof⟩

lemma interior-open:
assumes U ⊆ carrier Qp
shows is-open (interior U)
⟨proof⟩

lemma interiorI:
assumes W ⊆ U
assumes is-open W
shows W ⊆ interior U
⟨proof⟩

lemma max-ball-interior:
assumes U ⊆ carrier Qp
assumes is-max-ball-of (interior U) B
shows is-max-ball-of U B
⟨proof⟩

lemma ball-in-max-ball:
assumes U ⊆ carrier Qp
assumes U ≠ carrier Qp
assumes c ∈ U
assumes  $\exists B. B \subseteq U \wedge \text{is-ball } B \wedge c \in B$ 
shows  $\exists B'. \text{is-max-ball-of } U B' \wedge c \in B'$ 
⟨proof⟩

lemma ball-in-max-ball':
assumes U ⊆ carrier Qp
assumes U ≠ carrier Qp

```

assumes $B \subseteq U \wedge \text{is-ball } B$
shows $\exists B'. \text{is-max-ball-of } U B' \wedge B \subseteq B'$
 $\langle proof \rangle$

lemma *max-balls-disjoint*:

assumes $U \subseteq \text{carrier } Q_p$
assumes *is-max-ball-of* $U B$
assumes *is-max-ball-of* $U B'$
assumes $B \neq B'$
shows $B \cap B' = \{\}$
 $\langle proof \rangle$

definition *max-balls* :: *padic-number set* \Rightarrow *padic-number set set* **where**
max-balls $U = \{B. \text{is-max-ball-of } U B\}$

lemma *max-balls-interior*:

assumes $U \subseteq \text{carrier } Q_p$
assumes $U \neq \text{carrier } Q_p$
shows *interior* $U = \{x \in \text{carrier } Q_p. (\exists B \in (\text{max-balls } U). x \in B)\}$
 $\langle proof \rangle$

lemma *max-balls-interior'*:

assumes $U \subseteq \text{carrier } Q_p$
assumes $U \neq \text{carrier } Q_p$
assumes $B \in \text{max-balls } U$
shows $B \subseteq \text{interior } U$
 $\langle proof \rangle$

lemma *max-balls-interior''*:

assumes $U \subseteq \text{carrier } Q_p$
assumes $U \neq \text{carrier } Q_p$
assumes $a \in \text{interior } U$
shows $\exists B \in \text{max-balls } U. a \in B$
 $\langle proof \rangle$

lemma *open-interior*:

assumes *is-open* U
shows *interior* $U = U$
 $\langle proof \rangle$

lemma *interior-idempotent*:

assumes $U \subseteq \text{carrier } Q_p$
shows *interior* (*interior* U) = *interior* U
 $\langle proof \rangle$

10.3 Convex Subsets of the Value Group

The content of this section will be useful for defining and reasoning about p -adic cells in the proof of Macintyre's theorem. It is proved that every

convex set in the extended integers is either an open ray, a closed ray, a closed interval, or a left-closed interval.

definition *is-convex* :: *eint set* \Rightarrow *bool where*

is-convex A = ($\forall x \in A. \forall y \in A. \forall c. x \leq c \wedge c \leq y \rightarrow c \in A$)

lemma *is-convexI*:

assumes $\bigwedge x y c. x \in A \Rightarrow y \in A \Rightarrow x \leq c \wedge c \leq y \Rightarrow c \in A$

shows *is-convex A*

{proof}

lemma *is-convexE*:

assumes *is-convex A*

assumes $x \in A$

assumes $y \in A$

assumes $x \leq a$

assumes $a \leq y$

shows $a \in A$

{proof}

lemma *empty-convex*:

is-convex {}

{proof}

lemma *UNIV-convex*:

is-convex UNIV

{proof}

definition *closed-interval* ($\langle I[-\cdot] \rangle$) **where**

closed-interval $\alpha \beta = \{a . \alpha \leq a \wedge a \leq \beta\}$

lemma *closed-interval-is-convex*:

assumes $A = \text{closed-interval } \alpha \beta$

shows *is-convex A*

{proof}

lemma *empty-closed-interval*:

$\{\} = \text{closed-interval } \infty (\text{eint } 1)$

{proof}

definition *left-closed-interval* **where**

left-closed-interval $\alpha \beta = \{a . \alpha \leq a \wedge a < \beta\}$

lemma *left-closed-interval-is-convex*:

assumes $A = \text{left-closed-interval } \alpha \beta$

shows *is-convex A*

{proof}

definition *closed-ray* **where**

closed-ray $\alpha \beta = \{a . a \leq \beta\}$

```

lemma closed-ray-is-convex:
  assumes A = closed-ray α β
  shows is-convex A
  ⟨proof⟩

lemma UNIV-closed-ray:
  (UNIV::eint set) = closed-ray α ∞
  ⟨proof⟩

definition open-ray :: eint ⇒ eint ⇒ eint set where
  open-ray α β = {a . a < β}

lemma open-ray-is-convex:
  assumes A = open-ray α β
  shows is-convex A
  ⟨proof⟩

lemma open-rayE:
  assumes a < β
  shows a ∈ open-ray α β
  ⟨proof⟩

lemma value-group-is-open-ray:
  UNIV - {∞} = open-ray α ∞
  ⟨proof⟩

```

This is a predicate which identifies a certain kind of set-valued function on the extended integers. Convex conditions will be important in the definition of p -adic cells later, and it will be proved that every convex set is induced by a convex condition.

```

definition is-convex-condition :: (eint ⇒ eint ⇒ eint set) ⇒ bool
  where is-convex-condition I ≡
    I = closed-interval ∨ I = left-closed-interval ∨ I = closed-ray ∨ I =
    open-ray

```

```

lemma convex-condition-imp-convex:
  assumes is-convex-condition I
  shows is-convex (I α β)
  ⟨proof⟩

```

```

lemma bounded-order:
  assumes (a::eint) < ∞
  assumes b ≤ a
  obtains k::nat where a = b + k
  ⟨proof⟩

```

Every convex set is given by a convex condition

```

lemma convex-imp-convex-condition:

```

```

assumes is-convex A
shows  $\exists I \alpha \beta. \text{is-convex-condition } I \wedge A = (I \alpha \beta)$ 
<proof>

lemma ex-val-less:
shows  $\exists (\alpha::\text{eint}). \alpha < \beta$ 
<proof>

lemma ex-dist-less:
assumes  $c \in \text{carrier } Q_p$ 
shows  $\exists a \in \text{carrier } Q_p. \text{val } (a \ominus c) < \beta$ 
<proof>
end
end
theory Generated-Boolean-Algebra
imports Main
begin

```

11 Generated Boolean Algebras of Sets

11.1 Definitions and Basic Lemmas

```

lemma equalityI':
assumes  $\bigwedge x. x \in A \implies x \in B$ 
assumes  $\bigwedge x. x \in B \implies x \in A$ 
shows  $A = B$ 
<proof>

```

```

lemma equalityI'':
assumes  $\bigwedge x. A x \implies B x$ 
assumes  $\bigwedge x. B x \implies A x$ 
shows  $\{x. A x\} = \{x. B x\}$ 
<proof>

```

```

lemma SomeE:
assumes  $a = (\text{SOME } x. P x)$ 
assumes  $P c$ 
shows  $P a$ 
<proof>

```

```

lemma SomeE':
assumes  $a = (\text{SOME } x. P x)$ 
assumes  $\exists x. P x$ 
shows  $P a$ 
<proof>

```

12 Basic notions about boolean algebras over a set S , generated by a set of generators B

Note that the generators B need not be subsets of the set S

inductive-set *gen-boolean-algebra*

for S and B where

universe: $S \in \text{gen-boolean-algebra } S B$

| generator: $A \in B \implies A \cap S \in \text{gen-boolean-algebra } S B$

| union: $\llbracket A \in \text{gen-boolean-algebra } S B; C \in \text{gen-boolean-algebra } S B \rrbracket \implies A \cup C \in \text{gen-boolean-algebra } S B$

| complement: $A \in \text{gen-boolean-algebra } S B \implies S - A \in \text{gen-boolean-algebra } S B$

lemma *gen-boolean-algebra-subset*:

shows $A \in \text{gen-boolean-algebra } S B \implies A \subseteq S$

(proof)

lemma *gen-boolean-algebra-intersect*:

assumes $A \in \text{gen-boolean-algebra } S B$

assumes $C \in \text{gen-boolean-algebra } S B$

shows $A \cap C \in \text{gen-boolean-algebra } S B$

(proof)

lemma *gen-boolean-algebra-diff*:

assumes $A \in \text{gen-boolean-algebra } S B$

assumes $C \in \text{gen-boolean-algebra } S B$

shows $A - C \in \text{gen-boolean-algebra } S B$

(proof)

lemma *gen-boolean-algebra-diff-eq*:

assumes $A \in \text{gen-boolean-algebra } S B$

assumes $C \in \text{gen-boolean-algebra } S B$

shows $A - C = A \cap (S - C)$

(proof)

lemma *gen-boolean-algebra-finite-union*:

assumes $\bigwedge a. a \in A \implies a \in \text{gen-boolean-algebra } S B$

assumes *finite A*

shows $\bigcup A \in \text{gen-boolean-algebra } S B$

(proof)

lemma *gen-boolean-algebra-finite-intersection*:

assumes $\bigwedge a. a \in A \implies a \in \text{gen-boolean-algebra } S B$

assumes *finite A*

assumes $A \neq \{\}$

shows $\bigcap A \in \text{gen-boolean-algebra } S B$

(proof)

lemma *gen-boolean-algebra-generators*:

assumes $\bigwedge b. b \in B \implies b \subseteq S$

assumes $b \in B$

shows $b \in \text{gen-boolean-algebra } S B$

(proof)

lemma *gen-boolean-algebra-generator-subset*:

assumes $A \in \text{gen-boolean-algebra } S As$

assumes $As \subseteq Bs$

shows $A \in \text{gen-boolean-algebra } S Bs$

(proof)

lemma *gen-boolean-algebra-generators-union*:

assumes $A \in \text{gen-boolean-algebra } S As$

assumes $C \in \text{gen-boolean-algebra } S Cs$

shows $A \cup C \in \text{gen-boolean-algebra } S (As \cup Cs)$

(proof)

lemma *gen-boolean-algebra-finite-gen-wits*:

assumes $A \in \text{gen-boolean-algebra } S B$

shows $\exists Bs. \text{finite } Bs \wedge Bs \subseteq B \wedge A \in \text{gen-boolean-algebra } S Bs$

(proof)

lemma *gen-boolean-algebra-univ-mono*:

assumes $A \in \text{gen-boolean-algebra } S B$

shows $\text{gen-boolean-algebra } A B \subseteq \text{gen-boolean-algebra } S B$

(proof)

The boolean algebra generated by a collection of elements in another algebra is contained in the original algebra:

lemma *gen-boolean-algebra-subalgebra*:

assumes $Xs \subseteq \text{gen-boolean-algebra } S B$

shows $\text{gen-boolean-algebra } S Xs \subseteq \text{gen-boolean-algebra } S B$

(proof)

lemma *gen-boolean-algebra-idempotent*:

assumes $S = \bigcup Xs$

shows $\text{gen-boolean-algebra } S (\text{gen-boolean-algebra } S Xs) = (\text{gen-boolean-algebra } S Xs)$

(proof)

We can always replace the set of generators Xs with their intersections with the universe set S , and obtain the same algebra.

lemma *gen-boolean-algebra-restrict-generators*:

$\text{gen-boolean-algebra } S Xs = \text{gen-boolean-algebra } S ((\cap) S ' Xs)$

(proof)

Adding a generator to a generated boolean algebra is redundant if the generator already lies in the algebra.

```

lemma add-generators:
  assumes  $A \in \text{gen-boolean-algebra } S Xs$ 
  shows  $\text{gen-boolean-algebra } S Xs = \text{gen-boolean-algebra } S (\text{insert } A Xs)$ 
   $\langle\text{proof}\rangle$ 

```

12.1 Turning a Family of Sets into a Family of Disjoint Sets

This section outlines the standard construction where sets A_0, \dots, A_n are replaced by sets $A_0, A_1 - A_0, A_2 - (A_0 \cup A_1), \dots, A_n - (\bigcup_{i=0}^{n-1} A_i)$ to obtain a disjoint family of the same cardinality.

```

fun rec-disjointify where
  rec-disjointify 0 f = {}
  rec-disjointify (Suc m) f = insert (f m - ∪ (rec-disjointify m f)) (rec-disjointify m f)

```

```

lemma card-of-rec-disjointify:
  card (rec-disjointify m f) ≤ m
   $\langle\text{proof}\rangle$ 

```

```

lemma rec-disjointify-finite:
  finite (rec-disjointify m f)
   $\langle\text{proof}\rangle$ 

```

```

lemma rec-disjointify-in-gen-boolean-algebra:
  assumes  $f`\{\dots < m\} \subseteq \text{gen-boolean-algebra } S B$ 
  shows rec-disjointify m f ⊆ gen-boolean-algebra S B
   $\langle\text{proof}\rangle$ 

```

```

lemma rec-disjointify-union:
   $\bigcup (\text{rec-disjointify } m f) = (\bigcup i \in \{\dots < m\}. f i)$ 
   $\langle\text{proof}\rangle$ 

```

```

definition enum-rec-disjointify where
  enum-rec-disjointify f m = f m - ∪ (rec-disjointify m f)

```

```

lemma rec-disjointify-as-enum-rec-disjointify-image:
  rec-disjointify m f = enum-rec-disjointify f`\{\dots < m\}
   $\langle\text{proof}\rangle$ 

```

```

lemma enum-rec-disjointify-subset:
  enum-rec-disjointify f m ⊆ f m
   $\langle\text{proof}\rangle$ 

```

```

lemma enum-rec-disjointify-disjoint:
  assumes  $k < m$ 
  shows enum-rec-disjointify f m ∩ enum-rec-disjointify f k = {}
   $\langle\text{proof}\rangle$ 

```

```

lemma enum-rec-disjointify-disjoint':
  assumes  $k \neq m$ 
  shows enum-rec-disjointify  $f m \cap$  enum-rec-disjointify  $f k = \{\}$ 
   $\langle proof \rangle$ 

lemma rec-disjointify-is-disjoint:
  assumes  $A \in$  rec-disjointify  $m f$ 
  assumes  $B \in$  rec-disjointify  $m f$ 
  assumes  $A \neq B$ 
  shows  $A \cap B = \{\}$ 
   $\langle proof \rangle$ 

definition enumerates where
  enumerates  $A f \equiv$  finite  $A \wedge A = f` \{.. < (card A)\} \wedge$  inj-on  $f \{.. < (card A)\}$ 

lemma finite-imp-exists-enumeration:
  assumes finite  $A$ 
  shows  $\exists f.$  enumerates  $A f$ 
   $\langle proof \rangle$ 

lemma enumeratesE:
  assumes enumerates  $A f$ 
  shows finite  $A$   $A = f` \{.. < card A\}$  inj-on  $f \{.. < card A\}$ 
   $\langle proof \rangle$ 

lemma rec-disjointify-finite-set:
  assumes enumerates  $A f$ 
  shows  $\bigcup$  (rec-disjointify  $(card A) f$ ) =  $\bigcup A$ 
   $\langle proof \rangle$ 

definition enumerate where
  enumerate  $A = (\text{SOME } f. \text{ enumerates } A f)$ 

lemma enumerate-enumerates:
  assumes finite  $A$ 
  shows enumerates  $A$  (enumerate  $A$ )
   $\langle proof \rangle$ 

lemma enumerateE:
  assumes finite  $A$ 
  assumes  $a \in A$ 
  shows  $\exists i < card A.$   $a = (\text{enumerate } A) i$ 
   $\langle proof \rangle$ 

definition disjointify where
  disjointify  $As =$  rec-disjointify  $(card As)$  (enumerate  $As$ )

lemma disjointify-is-disjoint:

```

```

assumes finite As
assumes A ∈ disjointify As
assumes B ∈ disjointify As
assumes A ≠ B
shows A ∩ B = {}
⟨proof⟩

lemma disjointify-union:
assumes finite As
shows ⋃ (disjointify As) = ⋃ As
⟨proof⟩

lemma disjointify-gen-boolean-algebra:
assumes finite As
assumes As ⊆ gen-boolean-algebra S B
shows disjointify As ⊆ gen-boolean-algebra S B
⟨proof⟩

lemma disjointify-finite:
assumes finite As
shows finite (disjointify As)
⟨proof⟩

lemma disjointify-card:
assumes finite As
shows card (disjointify As) ≤ card As
⟨proof⟩

lemma disjointify-subset:
assumes finite As
assumes A ∈ disjointify As
shows ∃ B ∈ As. A ⊆ B
⟨proof⟩

```

12.2 The Atoms Generated by Collections of Sets

We can also turn a family of sets into a disjoint family by taking the atoms of the boolean algebra generated by these sets. This will still yield a finite family if the initial family is finite, but in general will be much larger in size.

12.2.1 Defining the Atoms of a Family of Sets

Here we intend that As is a subset of the collection of sets Xs . This function associate to each subset $As \subseteq Xs$ a set which is contained in each element of As , and is disjoint from each element of $Xs - As$. Note that in general this may yield the empty set, but we will ultimately be interested in the cases where the result is nonempty.

definition subset-to-atom **where**

$$\text{subset-to-atom } Xs\ As = \bigcap\ As - \bigcup\ (Xs - As)$$

lemma *subset-to-atom-memI*:

assumes $\bigwedge A. A \in As \implies x \in A$
assumes $\bigwedge A. A \in Xs \implies A \notin As \implies x \notin A$
shows $x \in \text{subset-to-atom } Xs\ As$
(proof)

lemma *subset-to-atom-memE*:

assumes $x \in \text{subset-to-atom } Xs\ As$
shows $\bigwedge A. A \in As \implies x \in A$
 $\bigwedge A. A \in Xs \implies A \notin As \implies x \notin A$
(proof)

lemma *subset-to-atom-closed*:

assumes $As \neq \{\}$
assumes $As \subseteq Xs$
shows $\text{subset-to-atom } Xs\ As \subseteq \bigcup\ Xs$
(proof)

lemma *subset-to-atom-as-intersection*:

assumes $As \neq \{\}$
assumes $As \subseteq Xs$
assumes $S = \bigcup\ Xs$
shows $\text{subset-to-atom } Xs\ As = \bigcap\ As \cap (\bigcap\ X \in Xs - As. S - X)$
(proof)

definition *atoms-of* where

$$\text{atoms-of } Xs = (\text{subset-to-atom } Xs \cdot ((\text{Pow } Xs) - \{\{\}\})) - \{\{\}\}$$

lemma *atoms-nonempty*:

assumes $A \in \text{atoms-of } Xs$
shows $A \neq \{\}$
(proof)

lemma *atoms-of-disjoint*:

assumes $A \in \text{atoms-of } Xs$
assumes $B \in \text{atoms-of } Xs$
assumes $A \neq B$
shows $A \cap B = \{\}$
(proof)

The atoms of a family of sets Xs are minimal in the sense that they are either contained in or disjoint from each element of Xs .

lemma *atoms-are-minimal*:

assumes $A \in \text{atoms-of } Xs$
assumes $X \in Xs$
shows $X \cap A = \{\} \vee A \subseteq X$
(proof)

12.2.2 Atoms Induced by Types of Points

The set of sets in Xs which contain some point x . In the case where Xs is some collection of first order formulas, this is just the type of x over these formulas.

definition *point-to-type* **where**

$$\text{point-to-type } Xs\ x = \{X \in Xs. x \in X\}$$

The type of a point x induces the unique atom of Xs which contains x .

lemma *point-in-atom-of-type*:

assumes $x \in \bigcup Xs$

shows $x \in \text{subset-to-atom } Xs (\text{point-to-type } Xs\ x)$

$\langle \text{proof} \rangle$

lemma *point-to-type-nonempty*:

assumes $x \in \bigcup Xs$

shows $\text{point-to-type } Xs\ x \neq \{\}$

$\langle \text{proof} \rangle$

lemma *point-to-type-closed*:

$\text{point-to-type } Xs\ x \subseteq \text{Pow}(\bigcup Xs)$

$\langle \text{proof} \rangle$

lemma *atoms-of-covers*:

assumes $X = \bigcup Xs$

shows $\bigcup (\text{atoms-of } Xs) = X$

$\langle \text{proof} \rangle$

lemma *atoms-of-covers'*:

shows $\bigcup (\text{atoms-of } Xs) = \bigcup Xs$

$\langle \text{proof} \rangle$

Every atom of a collection Xs of sets is realized as the atom generated by the type of an element in that atom.

lemma *nonempty-atom-from-point-to-type*:

assumes $A \in \text{atoms-of } Xs$

assumes $a \in A$

shows $A = \text{subset-to-atom } Xs (\text{point-to-type } Xs\ a)$

$\langle \text{proof} \rangle$

In light of the previous theorem, a point a and a collection of sets Xs is enough to recover the the unique atom of Xs which contains a .

definition *point-to-atom* **where**

$$\text{point-to-atom } Xs\ a = \text{subset-to-atom } Xs (\text{point-to-type } Xs\ a)$$

lemma *point-to-atom-closed*:

assumes $x \in \bigcup Xs$

shows $\text{point-to-atom } Xs\ x \in \text{atoms-of } Xs$

(proof)

All atoms of Xs are the atom induced by some point in the union of Xs .

lemma *atoms-induced-by-points*:

atoms-of Xs = *point-to-atom* $Xs`(\bigcup Xs)$
(proof)

12.2.3 Atoms of Generated Boolean Algebras

lemma *atoms-of-gen-boolean-algebra*:

assumes $Xs \subseteq \text{gen-boolean-algebra } S B$
assumes *finite* Xs
shows *atoms-of* $Xs \subseteq \text{gen-boolean-algebra } S B$
(proof)

If the generators of a boolean algebra are contained in the universe, the atoms induced by the generators alone are minimal elements of the entire algebra.

lemma *finite-algebra-atoms-are-minimal*:

assumes *finite* Xs
assumes $\bigcup Xs \subseteq S$
assumes $A \in \text{atoms-of } Xs$
assumes $X \in \text{gen-boolean-algebra } S Xs$
shows $X \cap A = \{\} \vee A \subseteq X$
(proof)

lemma *finite-set-imp-finite-atoms*:

assumes *finite* Xs
shows *finite* (*atoms-of* Xs)
(proof)

Every element in the boolean algebra generated by Xs over S is a (disjoint) union of atoms of generators:

lemma *gen-boolean-algebra-elem-uni-of-atoms*:

assumes *finite* Xs
assumes $S = \bigcup Xs$
assumes $X \in \text{gen-boolean-algebra } S Xs$
shows $X = \bigcup \{a \in \text{atoms-of } Xs. a \subseteq X\}$
(proof)

In fact, every generated boolean algebra is the power set of the atoms of its generators:

lemma *gen-boolean-algebra-generated-by-atoms*:

assumes *finite* Xs
assumes $S = \bigcup Xs$
shows $\text{gen-boolean-algebra } S Xs = \bigcup `(\text{Pow} (\text{atoms-of } Xs))$
(proof)

Finitely generated boolean algebras are finite

```

lemma fin-gens-imp-fin-algebra:
  assumes finite Xs
  assumes S =  $\bigcup$  Xs
  shows finite (gen-boolean-algebra S Xs)
  ⟨proof⟩

lemma point-to-atom-equal:
  assumes finite Xs
  assumes S =  $\bigcup$  Xs
  assumes x ∈ S
  shows point-to-atom Xs x = point-to-atom (gen-boolean-algebra S Xs) x
  ⟨proof⟩

```

When the set Xs of generators covers the universe set S , the atoms of Xs in the above sense are the same as the atoms of the boolean algebra they generate over S .

```

lemma atoms-of-sets-eq-atoms-of-algebra:
  assumes finite Xs
  assumes S =  $\bigcup$  Xs
  shows atoms-of Xs = atoms-of (gen-boolean-algebra S Xs)
  ⟨proof⟩

lemma atoms-closed:
  assumes finite Xs
  assumes A ∈ atoms-of (gen-boolean-algebra S Xs)
  assumes S =  $\bigcup$  Xs
  shows A ∈ (gen-boolean-algebra S Xs)
  ⟨proof⟩

lemma atoms-finite:
  assumes finite Xs
  shows finite ((atoms-of (gen-boolean-algebra S Xs)))
  ⟨proof⟩

```

We can distinguish atoms of a set of generators Cs by finding some element of Cs which includes one and excludes the other.

```

lemma distinct-atoms:
  assumes Cs ≠ {}
  assumes a ∈ atoms-of Cs
  assumes b ∈ atoms-of Cs
  assumes a ≠ b
  shows ( $\exists B \in Cs. b \subseteq B \wedge a \cap B = \{\}$ )  $\vee$  ( $\exists A \in Cs. a \subseteq A \wedge b \cap A = \{\}$ )
  ⟨proof⟩

```

12.3 Partitions of a Set

```

definition disjoint :: 'a set set ⇒ bool where
disjoint Ss = ( $\forall A \in Ss. \forall B \in Ss. A \neq B \longrightarrow A \cap B = \{\}$ )

```

```

lemma disjointE:
  assumes disjoint Ss
  assumes A ∈ Ss
  assumes B ∈ Ss
  assumes A ≠ B
  shows A ∩ B = {}
  ⟨proof⟩

lemma disjointI:
  assumes ⋀ A B. A ∈ Ss ⇒ B ∈ Ss ⇒ A ≠ B ⇒ A ∩ B = {}
  shows disjoint Ss
  ⟨proof⟩

definition is-partition :: 'a set set ⇒ 'a set ⇒ bool (infixl <partitions> 75) where
S partitions A = (disjoint S ∧ ⋃ S = A)

lemma is-partitionE:
  assumes S partitions A
  shows disjoint S
    ⋃ S = A
  ⟨proof⟩

lemma is-partitionI:
  assumes disjoint S
  assumes ⋃ S = A
  shows S partitions A
  ⟨proof⟩

```

If we start with a finite partition of a set A , and each element in that partition has a finite partition with some property P , then A itself has a finite partition where each element has property P .

```

lemma iter-partition:
  assumes As partitions A
  assumes finite As
  assumes ⋀ a. a ∈ As ⇒ ∃ Bs. finite Bs ∧ Bs partitions a ∧ (∀ b ∈ Bs. P b)
  shows ∃ Bs. finite Bs ∧ Bs partitions A ∧ (∀ b ∈ Bs. P b)
  ⟨proof⟩

```

12.4 Intersections of Families of Sets

```

definition pairwise-intersect where
pairwise-intersect As Bs = {c. ∃ a ∈ As. ∃ b ∈ Bs. c = a ∩ b}

```

```

lemma partition-intersection:
  assumes As partitions A
  assumes Bs partitions B
  shows (pairwise-intersect As Bs) partitions (A ∩ B)
  ⟨proof⟩

```

```

lemma pairwise-intersect-finite:
  assumes finite As
  assumes finite Bs
  shows finite (pairwise-intersect As Bs)
  ⟨proof⟩

definition family-intersect where
  family-intersect parts = atoms-of (⋃ parts)

lemma family-intersect-partitions:
  assumes ⋀Ps. Ps ∈ parts ==> Ps partitions A
  assumes ⋀Ps. Ps ∈ parts ==> finite Ps
  assumes finite parts
  assumes parts ≠ {}
  shows family-intersect parts partitions A
  ⟨proof⟩

lemma family-intersect-memE:
  assumes ⋀Ps. Ps ∈ parts ==> Ps partitions A
  assumes ⋀Ps. Ps ∈ parts ==> finite Ps
  assumes finite parts
  assumes parts ≠ {}
  shows ⋀Ps a. a ∈ family-intersect parts ==> Ps ∈ parts ==> ∃P ∈ Ps. a ⊆ P
  ⟨proof⟩

lemma family-intersect-mem-inter:
  assumes ⋀Ps. Ps ∈ (parts:: 'a set set set) ==> Ps partitions A
  assumes ⋀Ps. Ps ∈ parts ==> finite Ps
  assumes finite parts
  assumes parts ≠ {}
  assumes a ∈ family-intersect parts
  shows ∃f. ∀ Ps ∈ parts. f Ps ∈ Ps ∧ a = (⋂ Ps ∈ parts. f Ps)
  ⟨proof⟩

```

If we take a finite family of partitions in a particular generated boolean algebra, where each partition itself is finite, then their induced partition is also in the algebra.

```

lemma family-intersect-in-gen-boolean-algebra:
  assumes A ∈ gen-boolean-algebra S B
  assumes ⋀Ps. Ps ∈ parts ==> Ps partitions A
  assumes ⋀Ps. Ps ∈ parts ==> finite Ps
  assumes ⋀Ps P. Ps ∈ parts ==> P ∈ Ps ==> P ∈ gen-boolean-algebra S B
  assumes finite parts
  assumes parts ≠ {}
  shows ⋀P. P ∈ family-intersect parts ==> P ∈ gen-boolean-algebra S B
  ⟨proof⟩

```

```

end
theory Padic-Field-Powers
imports Ring-Powers Padic-Field-Polynomials Generated-Boolean-Algebra
          Padic-Field-Topology

```

```
begin
```

This theory is intended to develop the necessary background on subsets of powers of a p -adic field to prove Macintyre's quantifier elimination theorem. In particular, we define semi-algebraic subsets of \mathbb{Q}_p^n , semi-algebraic functions $\mathbb{Q}_p^n \rightarrow \mathbb{Q}_p$, and semi-algebraic mappings $\mathbb{Q}_p^n \rightarrow \mathbb{Q}_p^m$ for arbitrary $n, m \in \mathbb{N}$. In addition we prove that many common sets and functions are semi-algebraic. We are closely following the paper [1] by Denef, where an algebraic proof of Mactinyre's theorem is developed.

13 Cartesian Powers of p -adic Fields

```
lemma list-tl:
```

```
tl (t#x) = x
⟨proof⟩
```

```
lemma list-hd:
```

```
hd (t#x) = t
⟨proof⟩
```

```
sublocale padic-fields < cring-coord-rings Q_p UP Q_p
⟨proof⟩
```

```
sublocale padic-fields < Qp: domain-coord-rings Q_p UP Q_p
⟨proof⟩
```

```
context padic-fields
```

```
begin
```

```
no-notation Zp.to-fun (infixl `..` 70)
no-notation ideal-prod (infixl `..₁` 80)
```

```
notation
```

```
evimage (infixr `⁻¹₁` 90) and
euminus-set (⟨- c₁⟩ 70)
```

type-synonym padic-tuple = padic-number list

type-synonym padic-function = padic-number \Rightarrow padic-number

type-synonym padic-nary-function = padic-tuple \Rightarrow padic-number

type-synonym padic-function-tuple = padic-nary-function list

type-synonym $\text{padic-nary-function-poly} = \text{nat} \Rightarrow \text{padic-nary-function}$

13.1 Polynomials over \mathbb{Q}_p and Polynomial Maps

lemma *last-closed'*:

assumes $x@[t] \in \text{carrier } (\mathbb{Q}_p^n)$
shows $t \in \text{carrier } \mathbb{Q}_p$
(proof)

lemma *segment-in-car'*:

assumes $x@[t] \in \text{carrier } (\mathbb{Q}_p^{\text{Suc } n})$
shows $x \in \text{carrier } (\mathbb{Q}_p^n)$
(proof)

lemma *Qp-zero*:

$\mathbb{Q}_p^0 = \text{nil-ring}$
(proof)

lemma *Qp-zero-carrier*:

$\text{carrier } (\mathbb{Q}_p^0) = \{\emptyset\}$
(proof)

Abbreviation for constant polynomials

abbreviation (*input*) *Qp-to-IP* where
 $\text{Qp-to-IP } k \equiv \text{Qp.indexed-const } k$

lemma *Qp-to-IP-car*:

assumes $k \in \text{carrier } \mathbb{Q}_p$
shows $\text{Qp-to-IP } k \in \text{carrier } (\mathbb{Q}_p[\mathcal{X}_n])$
(proof)

lemma (*in cring-coord-rings*) *smult-closed*:

assumes $a \in \text{carrier } R$
assumes $q \in \text{carrier } (R[\mathcal{X}_n])$
shows $a \odot_{R[\mathcal{X}_n]} q \in \text{carrier } (R[\mathcal{X}_n])$
(proof)

lemma *Qp-poly-smult-cfs*:

assumes $a \in \text{carrier } \mathbb{Q}_p$
assumes $P \in \text{carrier } (\mathbb{Q}_p[\mathcal{X}_n])$
shows $(a \odot_{\mathbb{Q}_p[\mathcal{X}_n]} P) m = a \otimes (P m)$
(proof)

lemma *Qp-smult-r-distr*:

assumes $a \in \text{carrier } \mathbb{Q}_p$
assumes $P \in \text{carrier } (\mathbb{Q}_p[\mathcal{X}_n])$
assumes $q \in \text{carrier } (\mathbb{Q}_p[\mathcal{X}_n])$
shows $a \odot_{\mathbb{Q}_p[\mathcal{X}_n]} (P \oplus_{\mathbb{Q}_p[\mathcal{X}_n]} q) = (a \odot_{\mathbb{Q}_p[\mathcal{X}_n]} P) \oplus_{\mathbb{Q}_p[\mathcal{X}_n]} (a \odot_{\mathbb{Q}_p[\mathcal{X}_n]} q)$

$\langle proof \rangle$

lemma *Qp-smult-l-distr*:

assumes $a \in carrier Q_p$

assumes $b \in carrier Q_p$

assumes $P \in carrier (Q_p[\mathcal{X}_n])$

shows $(a \oplus b) \odot_{Q_p[\mathcal{X}_n]} P = (a \odot_{Q_p[\mathcal{X}_n]} P) \oplus_{Q_p[\mathcal{X}_n]} (b \odot_{Q_p[\mathcal{X}_n]} P)$

$\langle proof \rangle$

abbreviation (*input*) *Qp-funs* **where**

Qp-funs n \equiv *Fun_n Q_p*

13.2 Evaluation of Polynomials in \mathbb{Q}_p

abbreviation (*input*) *Qp-ev* **where**

Qp-ev P q \equiv (*eval-at-point Q_p q P*)

lemma *Qp-ev-one*:

assumes $a \in carrier (Q_p^n)$

shows *Qp-ev 1_{Q_p[X_n] a = 1}* $\langle proof \rangle$

lemma *Qp-ev-zero*:

assumes $a \in carrier (Q_p^n)$

shows *Qp-ev 0_{Q_p[X_n] a = 0}* $\langle proof \rangle$

lemma *Qp-eval-pvar-pow*:

assumes $a \in carrier (Q_p^n)$

assumes $k < n$

assumes $(m::nat) \neq 0$

shows *Qp-ev ((pvar Q_p k)[^]_{Q_p[X_n] m} a = ((a!k)[^]m)*

$\langle proof \rangle$

composition of polynomials over \mathbb{Q}_p

definition *Qp-poly-comp* **where**

Qp-poly-comp m fs $=$ *poly-compose (length fs) m fs*

lemmas about polynomial maps

lemma *Qp-is-poly-tupleI*:

assumes $\bigwedge i. i < length fs \implies fs!i \in carrier (Q_p[\mathcal{X}_m])$

shows *is-poly-tuple m fs*

$\langle proof \rangle$

lemma *Qp-is-poly-tuple-append*:

assumes *is-poly-tuple m fs*

assumes *is-poly-tuple m gs*

shows *is-poly-tuple m (fs@gs)*

$\langle proof \rangle$

lemma *Qp-poly-mapE*:

```

assumes is-poly-tuple n fs
assumes length fs = m
assumes as ∈ carrier ( $Q_p^n$ )
assumes j < m
shows (poly-map n fs as)!j ∈ carrier  $Q_p$ 
⟨proof⟩

lemma Qp-poly-mapE':
assumes as ∈ carrier ( $Q_p^n$ )
shows length (poly-map n fs as) = length fs
⟨proof⟩

lemma Qp-poly-mapE'':
assumes is-poly-tuple n fs
assumes length fs = m
assumes n ≠ 0
assumes as ∈ carrier ( $Q_p^n$ )
assumes j < m
shows (poly-map n fs as)!j = (Qp-ev (fs!j) as)
⟨proof⟩

lemma poly-map-apply:
assumes as ∈ carrier ( $Q_p^n$ )
shows poly-map n fs as = poly-tuple-eval fs as
⟨proof⟩

lemma poly-map-pullbackI:
assumes is-poly-tuple n fs
assumes as ∈ carrier ( $Q_p^n$ )
assumes poly-map n fs as ∈ S
shows as ∈ poly-map n fs  $^{-1}_n S$ 
⟨proof⟩

lemma poly-map-pullbackI':
assumes is-poly-tuple n fs
assumes as ∈ carrier ( $Q_p^n$ )
assumes poly-map n fs as ∈ S
shows as ∈ ((poly-map n fs)  $-^c S$ )
⟨proof⟩

lemmas about polynomial composition

lemma poly-compose-ring-hom:
assumes is-poly-tuple m fs
assumes length fs = n
shows (ring-hom-ring ( $Q_p[\mathcal{X}_n]$ ) ( $Q_p[\mathcal{X}_m]$ ) (Qp-poly-comp m fs))
⟨proof⟩

lemma poly-compose-closed:
assumes is-poly-tuple m fs

```

```

assumes length fs = n
assumes f ∈ carrier (Qp[Xn])
shows (Qp-poly-comp m fs f) ∈ carrier (Qp[Xm])
⟨proof⟩

lemma poly-compose-add:
assumes is-poly-tuple m fs
assumes length fs = n
assumes f ∈ carrier (Qp[Xn])
assumes g ∈ carrier (Qp[Xn])
shows Qp-poly-comp m fs (f ⊕Qp[Xn] g) = (Qp-poly-comp m fs f) ⊕Qp[Xm]
(Qp-poly-comp m fs g)
⟨proof⟩

lemma poly-compose-mult:
assumes is-poly-tuple m fs
assumes length fs = n
assumes f ∈ carrier (Qp[Xn])
assumes g ∈ carrier (Qp[Xn])
shows Qp-poly-comp m fs (f ⊗Qp[Xn] g) = (Qp-poly-comp m fs f) ⊗Qp[Xm]
(Qp-poly-comp m fs g)
⟨proof⟩

lemma poly-compose-const:
assumes is-poly-tuple m fs
assumes length fs = n
assumes a ∈ carrier Qp
shows Qp-poly-comp m fs (Qp-to-IP a) = Qp-to-IP a
⟨proof⟩

lemma Qp-poly-comp-eval:
assumes is-poly-tuple m fs
assumes length fs = n
assumes f ∈ carrier (Qp[Xn])
assumes as ∈ carrier (Qpm)
shows Qp-ev (Qp-poly-comp m fs f) as = Qp-ev f (poly-map m fs as)
⟨proof⟩

```

13.3 Mapping Univariate Polynomials to Multivariable Polynomials in One Variable

abbreviation(input) to-Q_p-x **where**
 $to\text{-}Qp\text{-}x \equiv (IP\text{-}to\text{-}UP (0::nat) :: (nat multiset \Rightarrow padic\text{-}number) \Rightarrow nat \Rightarrow padic\text{-}number)$

abbreviation(input) from-Q_p-x **where**
 $from\text{-}Qp\text{-}x \equiv UP\text{-}to\text{-}IP Q_p (0::nat)$

lemma from-Q_p-x-closed:

assumes $q \in \text{carrier } Q_p\text{-}x$
shows $\text{from-}Qp\text{-}x q \in \text{carrier } (Q_p[\mathcal{X}_1])$
 $\langle proof \rangle$

lemma $\text{to-}Qp\text{-}x\text{-closed}:$

assumes $q \in \text{carrier } (Q_p[\mathcal{X}_1])$
shows $\text{to-}Qp\text{-}x q \in \text{carrier } Q_p\text{-}x$
 $\langle proof \rangle$

lemma $\text{to-}Qp\text{-}x\text{-from-}Qp\text{-}x:$

assumes $q \in \text{carrier } (Q_p[\mathcal{X}_1])$
shows $\text{from-}Qp\text{-}x (\text{to-}Qp\text{-}x q) = q$
 $\langle proof \rangle$

lemma $\text{from-}Qp\text{-}x\text{-to-}Qp\text{-}x:$

assumes $q \in \text{carrier } Q_p\text{-}x$
shows $\text{to-}Qp\text{-}x (\text{from-}Qp\text{-}x q) = q$
 $\langle proof \rangle$

ring hom properties of these maps

lemma $\text{to-}Qp\text{-}x\text{-ring-hom}:$

$\text{to-}Qp\text{-}x \in \text{ring-hom } (Q_p[\mathcal{X}_1]) \text{ } Q_p\text{-}x$
 $\langle proof \rangle$

lemma $\text{from-}Qp\text{-}x\text{-ring-hom}:$

$\text{from-}Qp\text{-}x \in \text{ring-hom } Q_p\text{-}x (Q_p[\mathcal{X}_1])$
 $\langle proof \rangle$

lemma $\text{from-}Qp\text{-}x\text{-add}:$

assumes $a \in \text{carrier } Q_p\text{-}x$
assumes $b \in \text{carrier } Q_p\text{-}x$
shows $\text{from-}Qp\text{-}x (a \oplus_{Q_p\text{-}x} b) = \text{from-}Qp\text{-}x a \oplus_{Q_p[\mathcal{X}_1]} \text{from-}Qp\text{-}x b$
 $\langle proof \rangle$

lemma $\text{from-}Qp\text{-}x\text{-mult}:$

assumes $a \in \text{carrier } Q_p\text{-}x$
assumes $b \in \text{carrier } Q_p\text{-}x$
shows $\text{from-}Qp\text{-}x (a \otimes_{Q_p\text{-}x} b) = \text{from-}Qp\text{-}x a \otimes_{Q_p[\mathcal{X}_1]} \text{from-}Qp\text{-}x b$
 $\langle proof \rangle$

equivalence of evaluation maps

lemma $Qp\text{-poly-}Qp\text{-}x\text{-eval}:$

assumes $P \in \text{carrier } (Q_p[\mathcal{X}_1])$
assumes $a \in \text{carrier } (Q_p^1)$
shows $\text{Qp-ev } P a = (\text{to-}Qp\text{-}x P) \cdot (Qp.\text{to-}R a)$
 $\langle proof \rangle$

lemma $Qp\text{-}x\text{-}Qp\text{-poly-eval}:$

assumes $P \in \text{carrier } Q_p$ - x
assumes $a \in \text{carrier } Q_p$
shows $P \cdot a = Qp\text{-ev} (\text{from-}Qp\text{-}x P) (\text{to-}R1 a)$
 $\langle proof \rangle$

13.4 n^{th} -Power Sets over \mathbb{Q}_p

definition P -set where

P -set $(n::nat) = \{a \in \text{nonzero } Q_p . (\exists y \in \text{carrier } Q_p . (y[\lceil n) = a)\}$

lemma P -set-carrier:

P -set $n \subseteq \text{carrier } Q_p$
 $\langle proof \rangle$

lemma P -set-memI:

assumes $a \in \text{carrier } Q_p$
assumes $a \neq 0$
assumes $b \in \text{carrier } Q_p$
assumes $b[\lceil (n::nat) = a$
shows $a \in P$ -set n
 $\langle proof \rangle$

lemma P -set-nonzero:

P -set $n \subseteq \text{nonzero } Q_p$
 $\langle proof \rangle$

lemma P -set-nonzero':

assumes $a \in P$ -set n
shows $a \in \text{nonzero } Q_p$
 $a \in \text{carrier } Q_p$
 $\langle proof \rangle$

lemma P -set-one:

assumes $n \neq 0$
shows $1 \in P$ -set $(n::nat)$
 $\langle proof \rangle$

lemma zeroth- P -set:

P -set $0 = \{1\}$
 $\langle proof \rangle$

lemma P -set-mult-closed:

assumes $n \neq 0$
assumes $a \in P$ -set n
assumes $b \in P$ -set n
shows $a \otimes b \in P$ -set n
 $\langle proof \rangle$

```
lemma P-set-inv-closed:
```

```
  assumes a ∈ P-set n
```

```
  shows inv a ∈ P-set n
```

```
⟨proof⟩
```

```
lemma P-set-val:
```

```
  assumes a ∈ P-set (n::nat)
```

```
  shows (ord a) mod n = 0
```

```
⟨proof⟩
```

```
lemma P-set-pow:
```

```
  assumes n > 0
```

```
  assumes s ∈ P-set n
```

```
  shows s[⊸]k ∈ P-set (n*k)
```

```
⟨proof⟩
```

13.5 Semialgebraic Sets

In this section we introduce the notion of a p -adic semialgebraic set. Intuitively, these are the subsets of \mathbb{Q}_p^n which are definable by first order quantifier-free formulas in the standard first-order language of rings, with an additional relation symbol included for the relation $\text{val}(x) \leq \text{val}(y)$, interpreted according to the definition of the p -adic valuation on \mathbb{Q}_p . In fact, by Macintyre's quantifier elimination theorem for the first-order theory of \mathbb{Q}_p in this language, one can equivalently remove the "quantifier-free" clause from the latter definition. The definition we give here is also equivalent, and due to Denef in [1]. The given definition here is desirable mainly for its utility in producing a proof of Macintyre's theorem, which is our overarching goal.

13.5.1 Defining Semialgebraic Sets

```
definition basic-semialg-set where
```

```
basic-semialg-set (m::nat) (n::nat) P = {q ∈ carrier (Q_p^m). ∃ y ∈ carrier Q_p. Qp-ev P q = (y[⊸]n)}
```

```
lemma basic-semialg-set-zero-set:
```

```
  assumes P ∈ carrier (Q_p[X_m])
```

```
  assumes q ∈ carrier (Q_p^m)
```

```
  assumes Qp-ev P q = 0
```

```
  assumes n ≠ 0
```

```
  shows q ∈ basic-semialg-set (m::nat) (n::nat) P
```

```
⟨proof⟩
```

```
lemma basic-semialg-set-def':
```

```
  assumes n ≠ 0
```

```
  assumes P ∈ carrier (Q_p[X_m])
```

shows *basic-semialg-set* ($m::nat$) ($n::nat$) $P = \{q \in carrier (Q_p^m). Qp\text{-}ev P q = 0 \vee Qp\text{-}ev P q \in (P\text{-}set n)\}$
 $\langle proof \rangle$

lemma *basic-semialg-set-memI*:
assumes $q \in carrier (Q_p^m)$
assumes $y \in carrier Q_p$
assumes $Qp\text{-}ev P q = (y[\lceil n)$
shows $q \in basic\text{-}semialg\text{-}set m n P$
 $\langle proof \rangle$

lemma *basic-semialg-set-memE*:
assumes $q \in basic\text{-}semialg\text{-}set m n P$
shows $q \in carrier (Q_p^m)$
 $\exists y \in carrier Q_p. Qp\text{-}ev P q = (y[\lceil n)$
 $\langle proof \rangle$

definition *is-basic-semialg* :: $nat \Rightarrow ((nat \Rightarrow int) \times (nat \Rightarrow int))$ set list set \Rightarrow bool **where**
 $is\text{-}basic\text{-}semialg m S \equiv (\exists (n::nat) \neq 0. (\exists P \in carrier (Q_p[\mathcal{X}_m]). S = basic\text{-}semialg\text{-}set m n P))$

abbreviation(*input*) *basic-semialgs* **where**
 $basic\text{-}semialgs m \equiv \{S. (is\text{-}basic\text{-}semialg m S)\}$

definition *semialg-sets* **where**
 $semialg\text{-}sets n = gen\text{-}boolean\text{-}algebra (carrier (Q_p^n)) (basic\text{-}semialgs n)$

lemma *carrier-is-semialg*:
 $(carrier (Q_p^n)) \in semialg\text{-}sets n$
 $\langle proof \rangle$

lemma *empty-set-is-semialg*:
 $\{\} \in semialg\text{-}sets n$
 $\langle proof \rangle$

lemma *semialg-intersect*:
assumes $A \in semialg\text{-}sets n$
assumes $B \in semialg\text{-}sets n$
shows $(A \cap B) \in semialg\text{-}sets n$
 $\langle proof \rangle$

lemma *semialg-union*:
assumes $A \in semialg\text{-}sets n$
assumes $B \in semialg\text{-}sets n$
shows $(A \cup B) \in semialg\text{-}sets n$
 $\langle proof \rangle$

lemma *semialg-complement*:

```

assumes  $A \in \text{semialg-sets } n$ 
shows  $(\text{carrier } (Q_p^n) - A) \in \text{semialg-sets } n$ 
<proof>

lemma semialg-zero:
assumes  $A \in \text{semialg-sets } 0$ 
shows  $A = \{\} \vee A = \{\}$ 
<proof>

lemma basic-semialg-is-semialg:
assumes is-basic-semialg  $n$   $A$ 
shows  $A \in \text{semialg-sets } n$ 
<proof>

lemma basic-semialg-is-semialg':
assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
assumes  $m \neq 0$ 
assumes  $A = \text{basic-semialg-set } n m f$ 
shows  $A \in \text{semialg-sets } n$ 
<proof>

definition is-semialgebraic where
is-semialgebraic  $n$   $S = (S \in \text{semialg-sets } n)$ 

lemma is-semialgebraicE:
assumes is-semialgebraic  $n$   $S$ 
shows  $S \in \text{semialg-sets } n$ 
<proof>

lemma is-semialgebraic-closed:
assumes is-semialgebraic  $n$   $S$ 
shows  $S \subseteq \text{carrier } (Q_p^n)$ 
<proof>

lemma is-semialgebraicI:
assumes  $S \in \text{semialg-sets } n$ 
shows is-semialgebraic  $n$   $S$ 
<proof>

lemma basic-semialg-is-semialgebraic:
assumes is-basic-semialg  $n$   $A$ 
shows is-semialgebraic  $n$   $A$ 
<proof>

lemma basic-semialg-is-semialgebraic':
assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
assumes  $m \neq 0$ 
assumes  $A = \text{basic-semialg-set } n m f$ 
shows is-semialgebraic  $n$   $A$ 

```

$\langle proof \rangle$

13.5.2 Algebraic Sets over p -adic Fields

lemma *p-times-square-not-square*:

assumes $a \in \text{nonzero } Q_p$
shows $\mathfrak{p} \otimes (a \lceil (2::nat)) \notin P\text{-set } (2::nat)$
 $\langle proof \rangle$

lemma *p-times-square-not-square'*:

assumes $a \in \text{carrier } Q_p$
shows $\mathfrak{p} \otimes (a \lceil (2::nat)) = \mathbf{0} \implies a = \mathbf{0}$
 $\langle proof \rangle$

lemma *zero-set-semialg-set*:

assumes $q \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $a \in \text{carrier } (Q_p^n)$
shows $Qp\text{-ev } q a = \mathbf{0} \iff (\exists y \in \text{carrier } Q_p. \mathfrak{p} \otimes ((Qp\text{-ev } q a) \lceil (2::nat)) = y \lceil (2::nat))$
 $\langle proof \rangle$

lemma *alg-as-semialg*:

assumes $P \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $q = \mathfrak{p} \odot_{Q_p[\mathcal{X}_n]} (P \lceil)_{Q_p[\mathcal{X}_n]} (2::nat))$
shows $\text{zero-set } Q_p n P = \text{basic-semialg-set } n (2::nat) q$
 $\langle proof \rangle$

lemma *is-zero-set-imp-basic-semialg*:

assumes $P \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $S = \text{zero-set } Q_p n P$
shows $\text{is-basic-semialg } n S$
 $\langle proof \rangle$

lemma *is-zero-set-imp-semialg*:

assumes $P \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $S = \text{zero-set } Q_p n P$
shows $\text{is-semialgebraic } n S$
 $\langle proof \rangle$

Algebraic sets are semialgebraic

lemma *is-algebraic-imp-is-semialg*:

assumes $\text{is-algebraic } Q_p n S$
shows $\text{is-semialgebraic } n S$
 $\langle proof \rangle$

13.5.3 Basic Lemmas about the Semialgebraic Predicate

Finite and cofinite sets are semialgebraic

lemma *finite-is-semialg*:

```

assumes  $F \subseteq \text{carrier } (Q_p^n)$ 
assumes  $\text{finite } F$ 
shows  $\text{is-semialgebraic } n F$ 
 $\langle \text{proof} \rangle$ 

definition  $\text{is-cofinite where}$ 
 $\text{is-cofinite } n F = \text{finite } (\text{ring-pow-comp } Q_p \ n F)$ 

lemma  $\text{is-cofiniteE:}$ 
assumes  $F \subseteq \text{carrier } (Q_p^n)$ 
assumes  $\text{is-cofinite } n F$ 
shows  $\text{finite } (\text{carrier } (Q_p^n) - F)$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{complement-is-semialg:}$ 
assumes  $\text{is-semialgebraic } n F$ 
shows  $\text{is-semialgebraic } n ((\text{carrier } (Q_p^n)) - F)$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{cofinite-is-semialgebraic:}$ 
assumes  $F \subseteq \text{carrier } (Q_p^n)$ 
assumes  $\text{is-cofinite } n F$ 
shows  $\text{is-semialgebraic } n F$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{diff-is-semialgebraic:}$ 
assumes  $\text{is-semialgebraic } n A$ 
assumes  $\text{is-semialgebraic } n B$ 
shows  $\text{is-semialgebraic } n (A - B)$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{intersection-is-semialg:}$ 
assumes  $\text{is-semialgebraic } n A$ 
assumes  $\text{is-semialgebraic } n B$ 
shows  $\text{is-semialgebraic } n (A \cap B)$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{union-is-semialgebraic:}$ 
assumes  $\text{is-semialgebraic } n A$ 
assumes  $\text{is-semialgebraic } n B$ 
shows  $\text{is-semialgebraic } n (A \cup B)$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{carrier-is-semialgebraic:}$ 
 $\text{is-semialgebraic } n (\text{carrier } (Q_p^n))$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{empty-is-semialgebraic:}$ 
 $\text{is-semialgebraic } n \{\}$ 

```

$\langle proof \rangle$

13.5.4 One-Dimensional Semialgebraic Sets

definition *one-var-semialg* **where**

one-var-semialg S = ((to-R1 ` S) ∈ (semialg-sets 1))

definition *univ-basic-semialg-set* **where**

univ-basic-semialg-set (m::nat) P = {a ∈ carrier Q_p. (∃ y ∈ carrier Q_p. (P · a = (y[`m])))}

Equivalence of *univ_basic_semialg_sets* and *semialgebraic* subsets of \mathbb{Q}^1

lemma *univ-basic-semialg-set-to-semialg-set*:

assumes $P \in \text{carrier } Q_p$ - x

assumes $m \neq 0$

shows $\text{to-R1} ` (\text{univ-basic-semialg-set } m \ P) = \text{basic-semialg-set } 1 \ m \ (\text{from-}Q_p\text{-}x \ P)$

$\langle proof \rangle$

definition *is-univ-semialgebraic* **where**

is-univ-semialgebraic S = (S ⊆ carrier Q_p ∧ is-semialgebraic 1 (to-R1 ` S))

lemma *is-univ-semialgebraicE*:

assumes *is-univ-semialgebraic S*

shows *is-semialgebraic 1 (to-R1 ` S)*

$\langle proof \rangle$

lemma *is-univ-semialgebraicI*:

assumes *is-semialgebraic 1 (to-R1 ` S)*

shows *is-univ-semialgebraic S*

$\langle proof \rangle$

lemma *univ-basic-semialg-set-is-univ-semialgebraic*:

assumes $P \in \text{carrier } Q_p$ - x

assumes $m \neq 0$

shows *is-univ-semialgebraic (univ-basic-semialg-set m P)*

$\langle proof \rangle$

lemma *intersection-is-univ-semialgebraic*:

assumes *is-univ-semialgebraic A*

assumes *is-univ-semialgebraic B*

shows *is-univ-semialgebraic (A ∩ B)*

$\langle proof \rangle$

lemma *union-is-univ-semialgebraic*:

assumes *is-univ-semialgebraic A*

assumes *is-univ-semialgebraic B*

shows *is-univ-semialgebraic (A ∪ B)*

$\langle proof \rangle$

```

lemma diff-is-univ-semialgebraic:
  assumes is-univ-semialgebraic A
  assumes is-univ-semialgebraic B
  shows is-univ-semialgebraic (A - B)
  ⟨proof⟩

```

```

lemma finite-is-univ-semialgebraic:
  assumes A ⊆ carrier Qp
  assumes finite A
  shows is-univ-semialgebraic A
  ⟨proof⟩

```

13.5.5 Defining the p -adic Valuation Semialgebraically

```

lemma Qp-square-root-criterion0:
  assumes p ≠ 2
  assumes a ∈ carrier Qp
  assumes b ∈ carrier Qp
  assumes val a ≤ val b
  assumes a ≠ 0
  assumes b ≠ 0
  assumes val a ≥ 0
  shows ∃ y ∈ carrier Qp. a[ceil](2::nat) ⊕Qp p⊗b[ceil](2::nat) = (y [ceil] (2::nat))
  ⟨proof⟩

```

```

lemma eint-minus-ineq':
  assumes (a::eint) ≥ b
  shows a - b ≥ 0
  ⟨proof⟩

```

```

lemma Qp-square-root-criterion:
  assumes p ≠ 2
  assumes a ∈ carrier Qp
  assumes b ∈ carrier Qp
  assumes ord b ≥ ord a
  assumes a ≠ 0
  assumes b ≠ 0
  shows ∃ y ∈ carrier Qp. a[ceil](2::nat) ⊕Qp p⊗b[ceil](2::nat) = (y [ceil] (2::nat))
  ⟨proof⟩

```

```

lemma Qp-val-ring-alt-def0:
  assumes a ∈ nonzero Qp
  assumes ord a ≥ 0
  shows ∃ y ∈ carrier Qp. 1 ⊕Qp (p[ceil](3::nat))⊗ (a[ceil](4::nat)) = (y[ceil](2::nat))
  ⟨proof⟩

```

Defining the valuation semialgebraically for odd primes

```

lemma P-set-ord-semialg-odd-p:

```

```

assumes  $p \neq 2$ 
assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
shows  $\text{val } a \leq \text{val } b \longleftrightarrow (\exists y \in \text{carrier } Q_p. (a[\lceil](2::nat)) \oplus_{Q_p} (\mathbf{p} \otimes (b[\lceil](2::nat))) = (y[\lceil](2::nat)))$ 
 $\langle \text{proof} \rangle$ 

```

Defining the valuation ring semialgebraically for all primes

lemma $Qp\text{-val-ring-alt-def}$:

```

assumes  $a \in \text{carrier } Q_p$ 
shows  $a \in \mathcal{O}_p \longleftrightarrow (\exists y \in \text{carrier } Q_p. \mathbf{1} \oplus_{Q_p} (\mathbf{p}[\lceil](3::nat)) \otimes (a[\lceil](4::nat)) = (y[\lceil](2::nat)))$ 
 $\langle \text{proof} \rangle$ 

```

lemma $Qp\text{-val-alt-def}$:

```

assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
shows  $\text{val } b \leq \text{val } a \longleftrightarrow (\exists y \in \text{carrier } Q_p. (b[\lceil](4::nat)) \oplus_{Q_p} (\mathbf{p}[\lceil](3::nat)) \otimes (a[\lceil](4::nat)) = (y[\lceil](2::nat)))$ 
 $\langle \text{proof} \rangle$ 

```

The polynomial in two variables which semialgebraically defines the valuation relation

definition $Qp\text{-val-poly where}$

$$Qp\text{-val-poly} = (\text{pvar } Q_p \ 1)[\lceil]_{Q_p[\mathcal{X}_2]}(4::nat) \oplus_{Q_p[\mathcal{X}_2]} (\mathbf{p}[\lceil](3::nat) \odot_{Q_p[\mathcal{X}_2]} ((\text{pvar } Q_p \ 0)[\lceil]_{Q_p[\mathcal{X}_2]}(4::nat)))$$

lemma $Qp\text{-val-poly-closed}$:

$$Qp\text{-val-poly} \in \text{carrier } (Q_p[\mathcal{X}_2])$$
 $\langle \text{proof} \rangle$

lemma $Qp\text{-val-poly-eval}$:

```

assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
shows  $Qp\text{-ev } Qp\text{-val-poly } [a, b] = (b[\lceil](4::nat)) \oplus_{Q_p} (\mathbf{p}[\lceil](3::nat)) \otimes (a[\lceil](4::nat))$ 
 $\langle \text{proof} \rangle$ 

```

lemma $Qp\text{-2I}$:

```

assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
shows  $[a, b] \in \text{carrier } (Q_p^2)$ 
 $\langle \text{proof} \rangle$ 

```

lemma $pair\text{-id}$:

```

assumes  $\text{length } as = 2$ 
shows  $as = [as!0, as!1]$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma Qp-val-semialg:
  assumes a ∈ carrier Qp
  assumes b ∈ carrier Qp
  shows val b ≤ val a ←→ [a,b] ∈ basic-semialg-set 2 (2::nat) Qp-val-poly
  ⟨proof⟩

```

```

definition val-relation-set where
  val-relation-set = {as ∈ carrier (Qp)2. val (as!1) ≤ val (as!0)}

```

```

lemma val-relation-setE:
  assumes as ∈ val-relation-set
  shows as!0 ∈ carrier Qp ∧ as!1 ∈ carrier Qp ∧ as = [as!0,as!1] ∧ val (as!1) ≤
  val (as!0)
  ⟨proof⟩

```

```

lemma val-relation-setI:
  assumes as!0 ∈ carrier Qp
  assumes as!1 ∈ carrier Qp
  assumes length as = 2
  assumes val (as!1) ≤ val(as!0)
  shows as ∈ val-relation-set
  ⟨proof⟩

```

```

lemma val-relation-semialg:
  val-relation-set = basic-semialg-set 2 (2::nat) Qp-val-poly
  ⟨proof⟩

```

```

lemma val-relation-is-semialgebraic:
  is-semialgebraic 2 val-relation-set
  ⟨proof⟩

```

```

lemma Qp-val-ring-is-semialg:
  obtains P where P ∈ carrier Qp-x ∧ Op = univ-basic-semialg-set 2 P
  ⟨proof⟩

```

```

lemma Qp-val-ring-is-univ-semialgebraic:
  is-univ-semialgebraic Op
  ⟨proof⟩

```

```

lemma Qp-val-ring-is-semialgebraic:
  is-semialgebraic 1 (to-R1` Op)
  ⟨proof⟩

```

13.5.6 Inverse Images of Semialgebraic Sets by Polynomial Maps

```

lemma basic-semialg-pullback:
  assumes f ∈ carrier (Qp[Xk])
  assumes is-poly-tuple n fs
  assumes length fs = k

```

```

assumes  $S = \text{basic-semialg-set } k m f$ 
assumes  $m \neq 0$ 
shows  $\text{poly-map } n fs^{-1} n S = \text{basic-semialg-set } n m (\text{Qp-poly-comp } n fs f)$ 
⟨proof⟩

lemma  $\text{basic-semialg-pullback}'$ :
assumes  $\text{is-poly-tuple } n fs$ 
assumes  $\text{length } fs = k$ 
assumes  $A \in \text{basic-semialgs } k$ 
shows  $\text{poly-map } n fs^{-1} n A \in (\text{basic-semialgs } n)$ 
⟨proof⟩

lemma  $\text{semialg-pullback}$ :
assumes  $\text{is-poly-tuple } n fs$ 
assumes  $\text{length } fs = k$ 
assumes  $S \in \text{semialg-sets } k$ 
shows  $\text{poly-map } n fs^{-1} n S \in \text{semialg-sets } n$ 
⟨proof⟩

lemma  $\text{pullback-is-semialg}$ :
assumes  $\text{is-poly-tuple } n fs$ 
assumes  $\text{length } fs = k$ 
assumes  $S \in \text{semialg-sets } k$ 
shows  $\text{is-semialgebraic } n (\text{poly-map } n fs^{-1} n S)$ 
⟨proof⟩

Equality and inequality sets for a pair of polynomials

definition  $\text{val-ineq-set where}$ 
 $\text{val-ineq-set } n f g = \{x \in \text{carrier } (Q_p^n). \text{val } (\text{Qp-ev } f x) \leq \text{val } (\text{Qp-ev } g x)\}$ 

lemma  $\text{poly-map-length}$ :
assumes  $\text{length } fs = m$ 
assumes  $as \in \text{carrier } (Q_p^n)$ 
shows  $\text{length } (\text{poly-map } n fs as) = m$ 
⟨proof⟩

lemma  $\text{val-ineq-set-pullback}$ :
assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
assumes  $g \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
shows  $\text{val-ineq-set } n f g = \text{poly-map } n [g,f]^{-1} n \text{val-relation-set}$ 
⟨proof⟩

lemma  $\text{val-ineq-set-is-semialg}$ :
assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
assumes  $g \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
shows  $\text{val-ineq-set } n f g \in \text{semialg-sets } n$ 
⟨proof⟩

lemma  $\text{val-ineq-set-is-semialgebraic}$ :

```

assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $g \in \text{carrier } (Q_p[\mathcal{X}_n])$
shows $\text{is-semialgebraic } n (\text{val-ineq-set } n f g)$
 $\langle \text{proof} \rangle$

lemma $\text{val-ineq-setI}:$
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $g \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $x \in (\text{val-ineq-set } n f g)$
shows $x \in \text{carrier } (Q_p^n)$
 $\text{val } (Qp\text{-ev } f x) \leq \text{val } (Qp\text{-ev } g x)$
 $\langle \text{proof} \rangle$

lemma $\text{val-ineq-setE}:$
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $g \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $x \in \text{carrier } (Q_p^n)$
assumes $\text{val } (Qp\text{-ev } f x) \leq \text{val } (Qp\text{-ev } g x)$
shows $x \in (\text{val-ineq-set } n f g)$
 $\langle \text{proof} \rangle$

lemma $\text{val-ineq-set-is-semialgebraic}':$
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $g \in \text{carrier } (Q_p[\mathcal{X}_n])$
shows $\text{is-semialgebraic } n \{x \in \text{carrier } (Q_p^n). \text{val } (Qp\text{-ev } f x) \leq \text{val } (Qp\text{-ev } g x)\}$
 $\langle \text{proof} \rangle$

lemma $\text{val-eq-set-is-semialgebraic}:$
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $g \in \text{carrier } (Q_p[\mathcal{X}_n])$
shows $\text{is-semialgebraic } n \{x \in \text{carrier } (Q_p^n). \text{val } (Qp\text{-ev } f x) = \text{val } (Qp\text{-ev } g x)\}$
 $\langle \text{proof} \rangle$

lemma $\text{equalityI}'':$
assumes $\bigwedge x. A x \implies B x$
assumes $\bigwedge x. B x \implies A x$
shows $\{x. A x\} = \{x. B x\}$
 $\langle \text{proof} \rangle$

lemma $\text{val-strict-ineq-set-is-semialgebraic}:$
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $g \in \text{carrier } (Q_p[\mathcal{X}_n])$
shows $\text{is-semialgebraic } n \{x \in \text{carrier } (Q_p^n). \text{val } (Qp\text{-ev } f x) < \text{val } (Qp\text{-ev } g x)\}$
 $\langle \text{proof} \rangle$

lemma $\text{constant-poly-val-exists}:$
shows $\exists g \in \text{carrier } (Q_p[\mathcal{X}_n]). (\forall x \in \text{carrier } (Q_p^n). \text{val } (Qp\text{-ev } g x) = c)$
 $\langle \text{proof} \rangle$

```

lemma val-ineq-set-is-semialgebraic'':
  assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
  shows is-semialgebraic  $n \{x \in \text{carrier } (Q_p^n). \text{val } (Qp\text{-}ev f x) \leq c\}$ 
  ⟨proof⟩

lemma val-ineq-set-is-semialgebraic''':
  assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
  shows is-semialgebraic  $n \{x \in \text{carrier } (Q_p^n). c \leq \text{val } (Qp\text{-}ev f x)\}$ 
  ⟨proof⟩

lemma val-eq-set-is-semialgebraic':
  assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
  shows is-semialgebraic  $n \{x \in \text{carrier } (Q_p^n). \text{val } (Qp\text{-}ev f x) = c\}$ 
  ⟨proof⟩

lemma val-strict-ineq-set-is-semialgebraic':
  assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
  shows is-semialgebraic  $n \{x \in \text{carrier } (Q_p^n). \text{val } (Qp\text{-}ev f x) < c\}$ 
  ⟨proof⟩

lemma val-strict-ineq-set-is-semialgebraic'':
  assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
  shows is-semialgebraic  $n \{x \in \text{carrier } (Q_p^n). c < \text{val } (Qp\text{-}ev f x)\}$ 
  ⟨proof⟩

lemma(in cring) R1-memE:
  assumes  $x \in \text{carrier } (R^1)$ 
  shows  $x = [(\text{hd } x)]$ 
  ⟨proof⟩

lemma(in cring) R1-memE':
  assumes  $x \in \text{carrier } (R^1)$ 
  shows  $\text{hd } x \in \text{carrier } R$ 
  ⟨proof⟩

lemma univ-val-ineq-set-is-univ-semialgebraic:
  is-univ-semialgebraic  $\{x \in \text{carrier } Q_p. \text{val } x \leq c\}$ 
  ⟨proof⟩

lemma univ-val-strict-ineq-set-is-univ-semialgebraic:
  is-univ-semialgebraic  $\{x \in \text{carrier } Q_p. \text{val } x < c\}$ 
  ⟨proof⟩

lemma univ-val-eq-set-is-univ-semialgebraic:
  is-univ-semialgebraic  $\{x \in \text{carrier } Q_p. \text{val } x = c\}$ 
  ⟨proof⟩

```

13.5.7 One Dimensional p -adic Balls are Semialgebraic

lemma *coord-ring-one-def*:
 $\text{Pring } Q_p \{(0::nat)\} = (Q_p[\mathcal{X}_1])$
 $\langle proof \rangle$

lemma *times-p-pow-val*:
assumes $a \in \text{carrier } Q_p$
assumes $b = \mathfrak{p}[\lceil n \otimes a$
shows $\text{val } b = \text{val } a + n$
 $\langle proof \rangle$

lemma *times-p-pow-neg-val*:
assumes $a \in \text{carrier } Q_p$
assumes $b = \mathfrak{p}[\lceil -n \otimes a$
shows $\text{val } b = \text{val } a - n$
 $\langle proof \rangle$

lemma *eint-minus-int-pos*:
assumes $a - \text{eint } n \geq 0$
shows $a \geq n$
 $\langle proof \rangle$

p -adic balls as pullbacks of polynomial maps

lemma *balls-as-pullbacks*:
assumes $c \in \text{carrier } Q_p$
shows $\exists P \in \text{carrier } (Q_p[\mathcal{X}_1]). \text{to-}R1^c B_n[c] = \text{poly-map } 1 [P]^{-1} 1 (\text{to-}R1^c \mathcal{O}_p)$
 $\langle proof \rangle$

lemma *ball-is-semialgebraic*:
assumes $c \in \text{carrier } Q_p$
shows *is-semialgebraic* 1 ($\text{to-}R1^c B_n[c]$)
 $\langle proof \rangle$

lemma *ball-is-univ-semialgebraic*:
assumes $c \in \text{carrier } Q_p$
shows *is-univ-semialgebraic* ($B_n[c]$)
 $\langle proof \rangle$

abbreviation *Qp-to-R1-set* where
 $Qp\text{-to-}R1\text{-set } S \equiv \text{to-}R1^c S$

13.5.8 Finite Unions and Intersections of Semialgebraic Sets

definition *are-semialgebraic* where
 $\text{are-semialgebraic } n Xs = (\forall x. x \in Xs \longrightarrow \text{is-semialgebraic } n x)$

lemma *are-semialgebraicI*:
assumes $\bigwedge x. x \in Xs \implies \text{is-semialgebraic } n x$
shows *are-semialgebraic* $n Xs$

$\langle proof \rangle$

lemma *are-semialgebraicE*:
 assumes *are-semialgebraic n Xs*
 assumes $x \in Xs$
 shows *is-semialgebraic n x*
 $\langle proof \rangle$

definition *are-univ-semialgebraic* **where**
 are-univ-semialgebraic Xs = $(\forall x. x \in Xs \longrightarrow \text{is-univ-semialgebraic } x)$

lemma *are-univ-semialgebraicI*:
 assumes $\bigwedge x. x \in Xs \implies \text{is-univ-semialgebraic } x$
 shows *are-univ-semialgebraic Xs*
 $\langle proof \rangle$

lemma *are-univ-semialgebraicE*:
 assumes *are-univ-semialgebraic Xs*
 assumes $x \in Xs$
 shows *is-univ-semialgebraic x*
 $\langle proof \rangle$

lemma *are-univ-semialgebraic-semialgebraic*:
 assumes *are-univ-semialgebraic Xs*
 shows *are-semialgebraic 1 (Qp-to-R1-set ` Xs)*
 $\langle proof \rangle$

lemma *to-R1-set-union*:
 to-R1 ` (Union Xs) = Union (Qp-to-R1-set ` Xs)
 $\langle proof \rangle$

lemma *to-R1-inter*:
 assumes $Xs \neq \{\}$
 shows *to-R1 ` (Intersection Xs) = Intersection (Qp-to-R1-set ` Xs)*
 $\langle proof \rangle$

lemma *finite-union-is-semialgebraic*:
 assumes *finite Xs*
 shows $Xs \subseteq \text{semialg-sets } n \longrightarrow \text{is-semialgebraic } n (\bigcup Xs)$
 $\langle proof \rangle$

lemma *finite-union-is-semialgebraic'*:
 assumes *finite Xs*
 assumes $Xs \subseteq \text{semialg-sets } n$
 shows *is-semialgebraic n (Union Xs)*
 $\langle proof \rangle$

lemma(in padic-fields) *finite-union-is-semialgebraic''*:
 assumes *finite S*

```

assumes  $\bigwedge x. x \in S \implies \text{is-semialgebraic } m (F x)$ 
shows  $\text{is-semialgebraic } m (\bigcup x \in S. F x)$ 
⟨proof⟩

lemma finite-union-is-univ-semialgebraic':
assumes finite Xs
assumes are-univ-semialgebraic Xs
shows is-univ-semialgebraic ( $\bigcup Xs$ )
⟨proof⟩

lemma finite-intersection-is-semialgebraic':
assumes finite Xs
shows  $Xs \subseteq \text{semialg-sets } n \wedge Xs \neq \{\} \longrightarrow \text{is-semialgebraic } n (\bigcap Xs)$ 
⟨proof⟩

lemma finite-intersection-is-semialgebraic':
assumes finite Xs
assumes  $Xs \subseteq \text{semialg-sets } n \wedge Xs \neq \{\}$ 
shows is-semialgebraic n ( $\bigcap Xs$ )
⟨proof⟩

lemma finite-intersection-is-semialgebraic'':
assumes finite Xs
assumes are-semialgebraic n Xs  $\wedge Xs \neq \{\}$ 
shows is-semialgebraic n ( $\bigcap Xs$ )
⟨proof⟩

lemma finite-intersection-is-univ-semialgebraic':
assumes finite Xs
assumes are-univ-semialgebraic Xs
assumes Xs ≠ {}
shows is-univ-semialgebraic ( $\bigcap Xs$ )
⟨proof⟩

```

13.6 Cartesian Products of Semialgebraic Sets

```

lemma Qp-times-basic-semialg-right:
assumes  $a \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
shows cartesian-product (basic-semialg-set n k a) ( $\text{carrier } (Q_p^m)$ ) = basic-semialg-set
( $n + m$ ) k a
⟨proof⟩

lemma Qp-times-basic-semialg-right-is-semialgebraic:
assumes  $k > 0$ 
assumes  $a \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
shows is-semialgebraic ( $n + m$ ) (cartesian-product (basic-semialg-set n k a)
( $\text{carrier } (Q_p^m)$ ))
⟨proof⟩

```

```

lemma Qp-times-basic-semialg-right-is-semialgebraic':
  assumes  $A \in \text{basic-semialgs } n$ 
  shows is-semialgebraic ( $n + m$ ) (cartesian-product  $A$  (carrier ( $Q_p^m$ )))
   $\langle\text{proof}\rangle$ 

lemma cartesian-product-memE':
  assumes  $x \in \text{cartesian-product } A \ B$ 
  obtains  $a \ b$  where  $a \in A \wedge b \in B \wedge x = a@b$ 
   $\langle\text{proof}\rangle$ 

lemma Qp-times-basic-semialg-left:
  assumes  $a \in \text{carrier} (Q_p[\mathcal{X}_n])$ 
  shows cartesian-product (carrier ( $Q_p^m$ )) (basic-semialg-set  $n \ k \ a$ ) = basic-semialg-set ( $n+m$ )  $k$  (shift-vars  $n \ m \ a$ )
   $\langle\text{proof}\rangle$ 

lemma Qp-times-basic-semialg-left-is-semialgebraic:
  assumes  $k > 0$ 
  assumes  $a \in \text{carrier} (Q_p[\mathcal{X}_n])$ 
  shows is-semialgebraic ( $n + m$ ) (cartesian-product (carrier ( $Q_p^m$ ))) (basic-semialg-set  $n \ k \ a$ )
   $\langle\text{proof}\rangle$ 

lemma Qp-times-basic-semialg-left-is-semialgebraic':
  assumes  $A \in \text{basic-semialgs } n$ 
  shows is-semialgebraic ( $n + m$ ) (cartesian-product (carrier ( $Q_p^m$ )))  $A$ 
   $\langle\text{proof}\rangle$ 

lemma product-of-basic-semialgs-is-semialg:
  assumes  $k > 0$ 
  assumes  $l > 0$ 
  assumes  $a \in \text{carrier} (Q_p[\mathcal{X}_n])$ 
  assumes  $b \in \text{carrier} (Q_p[\mathcal{X}_m])$ 
  shows is-semialgebraic ( $n + m$ ) (cartesian-product (basic-semialg-set  $n \ k \ a$ ) (basic-semialg-set  $m \ l \ b$ ))
   $\langle\text{proof}\rangle$ 

lemma product-of-basic-semialgs-is-semialg':
  assumes  $A \in (\text{basic-semialgs } n)$ 
  assumes  $B \in (\text{basic-semialgs } m)$ 
  shows is-semialgebraic ( $n + m$ ) (cartesian-product  $A \ B$ )
   $\langle\text{proof}\rangle$ 

lemma car-times-semialg-is-semialg:
  assumes is-semialgebraic  $m \ B$ 
  shows is-semialgebraic ( $n + m$ ) (cartesian-product (carrier ( $Q_p^n$ )))  $B$ 
   $\langle\text{proof}\rangle$ 

lemma basic-semialg-times-semialg-is-semialg:

```

```

assumes  $A \in \text{basic-semialgs } n$ 
assumes  $\text{is-semialgebraic } m B$ 
shows  $\text{is-semialgebraic } (n + m) (\text{cartesian-product } A B)$ 
⟨proof⟩

```

Semialgebraic sets are closed under cartesian products

```

lemma  $\text{cartesian-product-is-semialgebraic}:$ 
assumes  $\text{is-semialgebraic } n A$ 
assumes  $\text{is-semialgebraic } m B$ 
shows  $\text{is-semialgebraic } (n + m) (\text{cartesian-product } A B)$ 
⟨proof⟩

```

13.7 N^{th} Power Residues

```

definition  $n^{\text{th-root-poly}}$  where
 $n^{\text{th-root-poly}} (n::\text{nat}) a = ((X\text{-poly } Q_p) [\lceil_{Q_p-x} n] \ominus_{Q_p-x} (\text{to-poly } a))$ 

```

```

lemma  $n^{\text{th-root-poly-closed}}:$ 
assumes  $a \in \text{carrier } Q_p$ 
shows  $n^{\text{th-root-poly}} n a \in \text{carrier } Q_p$ 
⟨proof⟩

```

```

lemma  $n^{\text{th-root-poly-eval}}:$ 
assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
shows  $(n^{\text{th-root-poly}} n a) \cdot b = (b[\lceil n] \ominus_{Q_p} a)$ 
⟨proof⟩

```

Hensel's lemma gives us this criterion for the existence of n -th roots

```

lemma  $n^{\text{th-root-poly-root}}:$ 
assumes  $(n::\text{nat}) > 1$ 
assumes  $a \in \mathcal{O}_p$ 
assumes  $a \neq 1$ 
assumes  $\text{val } (1 \ominus_{Q_p} a) > 2 * \text{val } ([n] \cdot 1)$ 
shows  $(\exists b \in \mathcal{O}_p. ((b[\lceil n] = a)))$ 
⟨proof⟩

```

All points sufficiently close to 1 have nth roots

```

lemma  $eint-nat-times-2:$ 
 $2 * (n::\text{nat}) = 2 * eint n$ 
⟨proof⟩

```

```

lemma  $P\text{-set-of-one}:$ 
 $P\text{-set } 1 = \text{nonzero } Q_p$ 
⟨proof⟩

```

```

lemma  $n^{\text{th-power-fact}}:$ 
assumes  $(n::\text{nat}) \geq 1$ 

```

shows $\exists (m::nat) > 0. \forall u \in carrier Q_p. ac m u = 1 \wedge val u = 0 \longrightarrow u \in P\text{-set } n$
 $\langle proof \rangle$

definition pow-res **where**

pow-res ($n::nat$) $x = \{a. a \in carrier Q_p \wedge (\exists y \in nonzero Q_p. (a = x \otimes (y[\lceil n])))\}$

lemma nonzero-pow-res:

assumes $x \in nonzero Q_p$
shows pow-res ($n::nat$) $x \subseteq nonzero Q_p$
 $\langle proof \rangle$

lemma pow-res-of-zero:

shows pow-res $n \mathbf{0} = \{\mathbf{0}\}$
 $\langle proof \rangle$

lemma equal-pow-resI:

assumes $x \in carrier Q_p$
assumes $y \in pow\text{-res } n x$
shows pow-res $n x = pow\text{-res } n y$
 $\langle proof \rangle$

lemma zeroth-pow-res:

assumes $x \in carrier Q_p$
shows pow-res $0 x = \{x\}$
 $\langle proof \rangle$

lemma Zp-car-zero-res: **assumes** $x \in carrier Z_p$
shows $x 0 = 0$
 $\langle proof \rangle$

lemma zeroth-ac:

assumes $x \in carrier Q_p$
shows ac $0 x = 0$
 $\langle proof \rangle$

lemma nonzero-ac-imp-nonzero:

assumes $x \in carrier Q_p$
assumes ac $m x \neq 0$
shows $x \in nonzero Q_p$
 $\langle proof \rangle$

lemma nonzero-ac-val-ord:

assumes $x \in carrier Q_p$
assumes ac $m x \neq 0$
shows val $x = ord x$
 $\langle proof \rangle$

lemma pow-res-equal-ord:

```

assumes  $n > 0$ 
shows  $\exists m > 0. \forall x y. x \in \text{nonzero } Q_p \wedge y \in \text{nonzero } Q_p \wedge ac m x = ac m y$ 
 $\wedge ord x = ord y \longrightarrow \text{pow-res } n x = \text{pow-res } n y$ 
⟨proof⟩

lemma pow-res-equal:
assumes  $n > 0$ 
shows  $\exists m > 0. \forall x y. x \in \text{nonzero } Q_p \wedge y \in \text{nonzero } Q_p \wedge ac m x = ac m y \wedge$ 
 $ord x = (ord y \text{ mod } n) \longrightarrow \text{pow-res } n x = \text{pow-res } n y$ 
⟨proof⟩

definition pow-res-classes where
pow-res-classes  $n = \{S. \exists x \in \text{nonzero } Q_p. S = \text{pow-res } n x\}$ 

lemma pow-res-semialg-def:
assumes  $x \in \text{nonzero } Q_p$ 
assumes  $n \geq 1$ 
shows  $\exists P \in \text{carrier } Q_p. \text{pow-res } n x = (\text{univ-basic-semialg-set } n P) - \{\mathbf{0}\}$ 
⟨proof⟩

lemma pow-res-is-univ-semialgebraic:
assumes  $x \in \text{carrier } Q_p$ 
shows is-univ-semialgebraic (pow-res n x)
⟨proof⟩

lemma pow-res-is-semialg:
assumes  $x \in \text{carrier } Q_p$ 
shows is-semialgebraic 1 (to-R1 ‘(pow-res n x))
⟨proof⟩

lemma pow-res-refl:
assumes  $x \in \text{carrier } Q_p$ 
shows  $x \in \text{pow-res } n x$ 
⟨proof⟩

lemma equal-pow-resE:
assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
assumes  $n > 0$ 
assumes  $\text{pow-res } n a = \text{pow-res } n b$ 
shows  $\exists s \in P\text{-set } n. a = b \otimes s$ 
⟨proof⟩

lemma pow-res-one:
assumes  $x \in \text{nonzero } Q_p$ 
shows  $\text{pow-res } 1 x = \text{nonzero } Q_p$ 
⟨proof⟩

```

```

lemma pow-res-zero:
  assumes n > 0
  shows pow-res n 0 = {0}
  ⟨proof⟩

lemma equal-pow-resI':
  assumes a ∈ carrier Qp
  assumes b ∈ carrier Qp
  assumes c ∈ P-set n
  assumes a = b ⊗ c
  assumes n > 0
  shows pow-res n a = pow-res n b
  ⟨proof⟩

lemma equal-pow-resI'':
  assumes n > 0
  assumes a ∈ nonzero Qp
  assumes b ∈ nonzero Qp
  assumes a ⊗ inv b ∈ P-set n
  shows pow-res n a = pow-res n b
  ⟨proof⟩

lemma equal-pow-resI''':
  assumes n > 0
  assumes a ∈ nonzero Qp
  assumes b ∈ nonzero Qp
  assumes c ∈ nonzero Qp
  assumes pow-res n (c ⊗ a) = pow-res n (c ⊗ b)
  shows pow-res n a = pow-res n b
  ⟨proof⟩

lemma equal-pow-resI'''':
  assumes n > 0
  assumes a ∈ carrier Qp
  assumes b ∈ carrier Qp
  assumes a = b ⊗ u
  assumes u ∈ P-set n
  shows pow-res n a = pow-res n b
  ⟨proof⟩

lemma Zp-Units-ord-zero:
  assumes a ∈ Units Zp
  shows ord-Zp a = 0
  ⟨proof⟩

lemma pow-res-nth-pow:
  assumes a ∈ nonzero Qp

```

```

assumes  $n > 0$ 
shows  $\text{pow-res } n (a[\lceil]n) = \text{pow-res } n \mathbf{1}$ 
⟨proof⟩

lemma  $\text{pow-res-of-p-pow}:$ 
assumes  $n > 0$ 
shows  $\text{pow-res } n (\mathbf{p}[\lceil](l::\text{int}) * n)) = \text{pow-res } n \mathbf{1}$ 
⟨proof⟩

lemma  $\text{pow-res-nonzero}:$ 
assumes  $n > 0$ 
assumes  $a \in \text{nonzero } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
assumes  $\text{pow-res } n a = \text{pow-res } n b$ 
shows  $b \in \text{nonzero } Q_p$ 
⟨proof⟩

lemma  $\text{pow-res-mult}:$ 
assumes  $n > 0$ 
assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{carrier } Q_p$ 
assumes  $c \in \text{carrier } Q_p$ 
assumes  $d \in \text{carrier } Q_p$ 
assumes  $\text{pow-res } n a = \text{pow-res } n c$ 
assumes  $\text{pow-res } n b = \text{pow-res } n d$ 
shows  $\text{pow-res } n (a \otimes b) = \text{pow-res } n (c \otimes d)$ 
⟨proof⟩

lemma  $\text{pow-res-p-pow-factor}:$ 
assumes  $n > 0$ 
assumes  $a \in \text{carrier } Q_p$ 
shows  $\text{pow-res } n a = \text{pow-res } n (\mathbf{p}[\lceil](l::\text{int}) * n) \otimes a$ 
⟨proof⟩

lemma  $\text{pow-res-classes-finite}:$ 
assumes  $n \geq 1$ 
shows  $\text{finite } (\text{pow-res-classes } n)$ 
⟨proof⟩

lemma  $\text{pow-res-classes-univ-semialg}:$ 
assumes  $S \in \text{pow-res-classes } n$ 
shows  $\text{is-univ-semialgebraic } S$ 
⟨proof⟩

lemma  $\text{pow-res-classes-semialg}:$ 
assumes  $S \in \text{pow-res-classes } n$ 
shows  $\text{is-semialgebraic } 1 (\text{to-}R1^{\mathbf{c}} S)$ 
⟨proof⟩

```

definition *nth-pow-wits* **where**
 $\text{nth-pow-wits } n = (\lambda S. (\text{SOME } x. x \in (S \cap \mathcal{O}_p)))^c (\text{pow-res-classes } n)$

lemma *nth-pow-wits-finite*:
assumes $n > 0$
shows *finite (nth-pow-wits n)*
(proof)

lemma *nth-pow-wits-covers*:
assumes $n > 0$
assumes $x \in \text{nonzero } Q_p$
shows $\exists y \in (\text{nth-pow-wits } n). y \in \text{nonzero } Q_p \wedge y \in \mathcal{O}_p \wedge x \in \text{pow-res } n y$
(proof)

lemma *nth-pow-wits-closed*:
assumes $n > 0$
assumes $x \in \text{nth-pow-wits } n$
shows $x \in \text{carrier } Q_p \ x \in \mathcal{O}_p \ x \in \text{nonzero } Q_p \ \exists y \in \text{pow-res-classes } n. y = \text{pow-res } n x$
(proof)

lemma *finite-extensional-funcset*:
assumes *finite A*
assumes *finite (B:'b set)*
shows *finite (A →_E B)*
(proof)

lemma *nth-pow-wits-exists*:
assumes $m > 0$
assumes $c \in \text{pow-res-classes } m$
shows $\exists x. x \in c \cap \mathcal{O}_p$
(proof)

lemma *pow-res-classes-mem-eq*:
assumes $m > 0$
assumes $a \in \text{pow-res-classes } m$
assumes $x \in a$
shows $a = \text{pow-res } m x$
(proof)

lemma *nth-pow-wits-neq-pow-res*:
assumes $m > 0$
assumes $x \in \text{nth-pow-wits } m$
assumes $y \in \text{nth-pow-wits } m$
assumes $x \neq y$
shows $\text{pow-res } m x \neq \text{pow-res } m y$
(proof)

lemma *nth-pow-wits-disjoint-pow-res*:

```

assumes m > 0
assumes x ∈ nth-pow-wits m
assumes y ∈ nth-pow-wits m
assumes x ≠ y
shows pow-res m x ∩ pow-res m y = {}
⟨proof⟩

lemma nth-power-fact':
assumes 0 < (n::nat)
shows ∃m>0. ∀u∈carrier Qp. ac m u = 1 ∧ val u = 0 → u ∈ P-set n
⟨proof⟩

lemma equal-pow-res-criterion:
assumes N > 0
assumes n > 0
assumes ∀ u ∈ carrier Qp. ac N u = 1 ∧ val u = 0 → u ∈ P-set n
assumes a ∈ carrier Qp
assumes b ∈ carrier Qp
assumes c ∈ carrier Qp
assumes a = b ⊗ (1 ⊕ c)
assumes val c ≥ N
shows pow-res n a = pow-res n b
⟨proof⟩

lemma pow-res-nat-pow:
assumes n > 0
assumes a ∈ carrier Qp
assumes b ∈ carrier Qp
assumes pow-res n a = pow-res n b
shows pow-res n (a[ ](k::nat)) = pow-res n (b[ ]k)
⟨proof⟩

lemma pow-res-mult':
assumes n > 0
assumes a ∈ carrier Qp
assumes b ∈ carrier Qp
assumes c ∈ carrier Qp
assumes d ∈ carrier Qp
assumes e ∈ carrier Qp
assumes f ∈ carrier Qp
assumes pow-res n a = pow-res n d
assumes pow-res n b = pow-res n e
assumes pow-res n c = pow-res n f
shows pow-res n (a ⊗ b ⊗ c) = pow-res n (d ⊗ e ⊗ f)
⟨proof⟩

lemma pow-res-disjoint:

```

```

assumes  $n > 0$ 
assumes  $a \in \text{nonzero } Q_p$ 
assumes  $a \notin \text{pow-res } n \mathbf{1}$ 
shows  $\neg (\exists y \in \text{nonzero } Q_p. a = y[\lceil]n)$ 
⟨proof⟩

lemma pow-res-disjoint':
assumes  $n > 0$ 
assumes  $a \in \text{nonzero } Q_p$ 
assumes  $\text{pow-res } n a \neq \text{pow-res } n \mathbf{1}$ 
shows  $\neg (\exists y \in \text{nonzero } Q_p. a = y[\lceil]n)$ 
⟨proof⟩

lemma pow-res-one-imp-nth-pow:
assumes  $n > 0$ 
assumes  $a \in \text{pow-res } n \mathbf{1}$ 
shows  $\exists y \in \text{nonzero } Q_p. a = y[\lceil]n$ 
⟨proof⟩

lemma pow-res-eq:
assumes  $n > 0$ 
assumes  $a \in \text{carrier } Q_p$ 
assumes  $b \in \text{pow-res } n a$ 
shows  $\text{pow-res } n b = \text{pow-res } n a$ 
⟨proof⟩

lemma pow-res-classes-n-eq-one:
pow-res-classes 1 = {nonzero }  $Q_p$ 
⟨proof⟩

lemma nth-pow-wits-closed':
assumes  $n > 0$ 
assumes  $x \in \text{nth-pow-wits } n$ 
shows  $x \in \mathcal{O}_p \wedge x \in \text{nonzero } Q_p$  ⟨proof⟩

```

13.8 Semialgebraic Sets Defined by Congruences

13.8.1 p -adic ord Congruence Sets

```

lemma carrier-is-univ-semialgebraic:
is-univ-semialgebraic (carrier  $Q_p$ )
⟨proof⟩

lemma nonzero-is-univ-semialgebraic:
is-univ-semialgebraic (nonzero  $Q_p$ )
⟨proof⟩

definition ord-congruence-set where
ord-congruence-set  $n a = \{x \in \text{nonzero } Q_p. \text{ord } x \bmod n = a\}$ 

```

lemma *ord-congruence-set-nonzero*:
ord-congruence-set n a \subseteq *nonzero Q_p*
{proof}

lemma *ord-congruence-set-closed*:
ord-congruence-set n a \subseteq *carrier Q_p*
{proof}

lemma *ord-congruence-set-memE*:
assumes *x* \in *ord-congruence-set n a*
shows *x* \in *nonzero Q_p*
ord x mod n = a
{proof}

lemma *ord-congruence-set-memI*:
assumes *x* \in *nonzero Q_p*
assumes *ord x mod n = a*
shows *x* \in *ord-congruence-set n a*
{proof}

We want to prove that *ord_congruence_set* is a finite union of semialgebraic sets, hence is also semialgebraic.

lemma *pow-res-ord-cong*:
assumes *x* \in *carrier Q_p*
assumes *x* \in *ord-congruence-set n a*
shows *pow-res n x* \subseteq *ord-congruence-set n a*
{proof}

lemma *pow-res-classes-are-univ-semialgebraic*:
shows *are-univ-semialgebraic (pow-res-classes n)*
{proof}

lemma *ord-congruence-set-univ-semialg*:
assumes *n* ≥ 0
shows *is-univ-semialgebraic (ord-congruence-set n a)*
{proof}

lemma *ord-congruence-set-is-semialg*:
assumes *n* ≥ 0
shows *is-semialgebraic 1 (Qp-to-R1-set (ord-congruence-set n a))*
{proof}

13.8.2 Congruence Sets for the order of the Evaluation of a Polynomial

lemma *poly-map-singleton*:
assumes *f* \in *carrier (Q_p[X_n])*
assumes *x* \in *carrier (Q_pⁿ)*
shows *poly-map n [f] x = [(Qp-ev f x)]*

$\langle proof \rangle$

definition *poly-cong-set where*

poly-cong-set n f m a = {x ∈ carrier (Q_pⁿ). (Q_p-ev f x) ≠ 0 ∧ (ord (Q_p-ev f x) mod m = a)}

lemma *poly-cong-set-as-pullback:*

assumes *f ∈ carrier (Q_p[X_n])*

shows *poly-cong-set n f m a = poly-map n [f] $^{-1}_n(Qp\text{-to-}R1\text{-set} (\text{ord-congruence-set } m a))$*

$\langle proof \rangle$

lemma *singleton-poly-tuple:*

is-poly-tuple n [f] $\longleftrightarrow f \in carrier (Q_p[\mathcal{X}_n])$

$\langle proof \rangle$

lemma *poly-cong-set-is-semialgebraic:*

assumes *m ≥ 0*

assumes *f ∈ carrier (Q_p[X_n])*

shows *is-semialgebraic n (poly-cong-set n f m a)*

$\langle proof \rangle$

13.8.3 Congruence Sets for Angular Components

If a set is a union of *n*-th power residues, then it is semialgebraic.

lemma *pow-res-union-imp-semialg:*

assumes *n ≥ 1*

assumes *S ⊆ nonzero Q_p*

assumes $\bigwedge x. x \in S \implies \text{pow-res } n x \subseteq S$

shows *is-univ-semialgebraic S*

$\langle proof \rangle$

definition *ac-cong-set1 where*

ac-cong-set1 n y = {x ∈ carrier Q_p. x ≠ 0 ∧ ac n x = ac n y}

lemma *ac-cong-set1-is-univ-semialg:*

assumes *n > 0*

assumes *b ∈ nonzero Q_p*

assumes *b ∈ O_p*

shows *is-univ-semialgebraic (ac-cong-set1 n b)*

$\langle proof \rangle$

definition *ac-cong-set where*

ac-cong-set n k = {x ∈ carrier Q_p. x ≠ 0 ∧ ac n x = k}

lemma *ac-cong-set-is-univ-semialg:*

assumes *n > 0*

assumes *k ∈ Units (Zp-res-ring n)*

shows *is-univ-semialgebraic (ac-cong-set n k)*

$\langle proof \rangle$

definition *val-ring-constant-ac-set* **where**

val-ring-constant-ac-set n k = { $a \in \mathcal{O}_p. val a = 0 \wedge ac n a = k$ }

lemma *val-nonzero'*:

assumes $a \in carrier Q_p$

assumes $val a = eint k$

shows $a \in nonzero Q_p$

$\langle proof \rangle$

lemma *val-ord'*:

assumes $a \in carrier Q_p$

assumes $a \neq 0$

shows $val a = ord a$

$\langle proof \rangle$

lemma *val-ring-constant-ac-set-is-univ-semialgebraic*:

assumes $n > 0$

assumes $k \neq 0$

shows *is-univ-semialgebraic (val-ring-constant-ac-set n k)*

$\langle proof \rangle$

definition *val-ring-constant-ac-sets* **where**

val-ring-constant-ac-sets n = *val-ring-constant-ac-set n* ‘ (*Units (Zp-res-ring n)*)

lemma *val-ring-constant-ac-sets-are-univ-semialgebraic*:

assumes $n > 0$

shows *are-univ-semialgebraic (val-ring-constant-ac-sets n)*

$\langle proof \rangle$

definition *ac-cong-set3* **where**

ac-cong-set3 n = { $as. \exists a b. a \in nonzero Q_p \wedge b \in \mathcal{O}_p \wedge val b = 0 \wedge (ac n a = ac n b) \wedge as = [a, b]$ }

definition *ac-cong-set2* **where**

ac-cong-set2 n k = { $as. \exists a b. a \in nonzero Q_p \wedge b \in \mathcal{O}_p \wedge val b = 0 \wedge (ac n a = k) \wedge (ac n b) = k \wedge as = [a, b]$ }

lemma *ac-cong-set2-cartesian-product*:

assumes $k \in Units (Zp-res-ring n)$

assumes $n > 0$

shows *ac-cong-set2 n k* = *cartesian-product (to-R1‘ (ac-cong-set n k)) (to-R1‘ (val-ring-constant-ac-set n k))*

$\langle proof \rangle$

lemma *ac-cong-set2-is-semialg*:

assumes $k \in Units (Zp-res-ring n)$

assumes $n > 0$

```

shows is-semialgebraic 2 (ac-cong-set2 n k)
⟨proof⟩

lemma ac-cong-set3-as-union:
assumes n > 0
shows ac-cong-set3 n = ⋃ (ac-cong-set2 n ` (Units (Zp-res-ring n)) )
⟨proof⟩

lemma ac-cong-set3-is-semialgebraic:
assumes n > 0
shows is-semialgebraic 2 (ac-cong-set3 n)
⟨proof⟩

```

13.9 Permutations of indices of semialgebraic sets

```

lemma fun-inv-permute:
assumes σ permutes {..<n}
shows fun-inv σ permutes {..<n}
    σ ∘ (fun-inv σ) = id
    (fun-inv σ) ∘ σ = id
⟨proof⟩

lemma poly-tuple-pullback-eq-poly-map-vimage:
assumes is-poly-tuple n fs
assumes length fs = m
assumes S ⊆ carrier (Qpm)
shows poly-map n fs -1n S = poly-tuple-pullback n S fs
⟨proof⟩

lemma permutation-is-semialgebraic:
assumes is-semialgebraic n S
assumes σ permutes {..<n}
shows is-semialgebraic n (permute-list σ ` S)
⟨proof⟩

lemma permute-list-closed:
assumes a ∈ carrier (Qpn)
assumes σ permutes {..<n}
shows permute-list σ a ∈ carrier (Qpn)
⟨proof⟩

lemma permute-list-closed':
assumes σ permutes {..<n}
assumes permute-list σ a ∈ carrier (Qpn)
shows a ∈ carrier (Qpn)
⟨proof⟩

lemma permute-list-compose-inv:
assumes σ permutes {..<n}

```

```

assumes  $a \in \text{carrier } (Q_p^n)$ 
shows  $\text{permute-list } \sigma (\text{permute-list } (\text{fun-inv } \sigma) a) = a$ 
       $\text{permute-list } (\text{fun-inv } \sigma) (\text{permute-list } \sigma a) = a$ 
(proof)

lemma permutation-is-semialgebraic-imp-is-semialgebraic:
assumes is-semialgebraic  $n (\text{permute-list } \sigma ` S)$ 
assumes  $\sigma \text{ permutes } \{.. < n\}$ 
shows is-semialgebraic  $n S$ 
(proof)

lemma split-cartesian-product-is-semialgebraic:
assumes  $i \leq n$ 
assumes is-semialgebraic  $n A$ 
assumes is-semialgebraic  $m B$ 
shows is-semialgebraic  $(n + m) (\text{split-cartesian-product } n m i A B)$ 
(proof)

definition reverse-val-relation-set where
reverse-val-relation-set = { $as \in \text{carrier } (Q_p^2)$ .  $\text{val } (as ! 0) \leq \text{val } (as ! 1)$ }

lemma Qp-2-car-memE:
assumes  $x \in \text{carrier } (Q_p^2)$ 
shows  $x = [x!0, x!1]$ 
(proof)

definition flip where
flip =  $(\lambda i::nat. (\text{if } i = 0 \text{ then } 1 \text{ else } (\text{if } i = 1 \text{ then } 0 \text{ else } i)))$ 

lemma flip-permutes:
flip permutes {0,1}
(proof)

lemma flip-eval:
flip 0 = 1
flip 1 = 0
(proof)

lemma flip-x:
assumes  $x \in \text{carrier } (Q_p^2)$ 
shows  $\text{permute-list } \text{flip } x = [x!1, x!0]$ 
(proof)

lemma permute-with-flip-closed:
assumes  $x \in \text{carrier } (Q_p^{2::nat})$ 
shows  $\text{permute-list } \text{flip } x \in \text{carrier } (Q_p^{2::nat})$ 
(proof)

lemma reverse-val-relation-set-semialg:

```

```

is-semialgebraic 2 reverse-val-relation-set
⟨proof⟩

definition strict-val-relation-set where
strict-val-relation-set = {as ∈ carrier ( $Q_p^2$ ). val (as ! 0) < val (as ! 1)}

definition val-diag where
val-diag = {as ∈ carrier ( $Q_p^2$ ). val (as ! 0) = val (as ! 1)}

lemma val-diag-semialg:
is-semialgebraic 2 val-diag
⟨proof⟩

lemma strict-val-relation-set-is-semialg:
is-semialgebraic 2 strict-val-relation-set
⟨proof⟩

lemma singleton-length:
length [a] = 1
⟨proof⟩

lemma take-closed':
assumes m > 0
assumes x ∈ carrier ( $Q_p^{m+l}$ )
shows take m x ∈ carrier ( $Q_p^m$ )
⟨proof⟩

lemma triple-val-ineq-set-semialg:
shows is-semialgebraic 3 {as ∈ carrier ( $Q_p^3$ ). val (as!0) ≤ val (as!1) ∧ val (as!1) ≤ val (as!2)}
⟨proof⟩

lemma triple-val-ineq-set-semialg':
shows is-semialgebraic 3 {as ∈ carrier ( $Q_p^3$ ). val (as!0) ≤ val (as!1) ∧ val (as!1) < val (as!2)}
⟨proof⟩

lemma triple-val-ineq-set-semialg'':
shows is-semialgebraic 3 {as ∈ carrier ( $Q_p^3$ ). val (as!1) < val (as!2)}
⟨proof⟩

lemma triple-val-ineq-set-semialg''':
shows is-semialgebraic 3 {as ∈ carrier ( $Q_p^3$ ). val (as!1) ≤ val (as!2)}
⟨proof⟩

```

13.10 Semialgebraic Functions

The most natural way to define a semialgebraic function $f : \mathbb{Q}_p^n \rightarrow \mathbb{Q}_p$ is a function whose graph is a semialgebraic subset of \mathbb{Q}_p^{n+1} . However,

the definition given here is slightly different, and devised by Denef in [1] in order to prove Macintyre's theorem. As Denef notes, we can use Macintyre's theorem to deduce that the given definition perfectly aligns with the intuitive one.

13.10.1 Defining Semialgebraic Functions

Apply a function f to the tuple consisting of the first n indices, leaving the remaining indices unchanged

definition *partial-image* **where**
 $\text{partial-image } m f xs = (f (\text{take } m xs)) \# (\text{drop } m xs)$

definition *partial-pullback* **where**
 $\text{partial-pullback } m f l S = (\text{partial-image } m f)^{-1}_{m+l} S$

lemma *partial-pullback-memE*:
assumes $as \in \text{partial-pullback } m f l S$
shows $as \in \text{carrier } (Q_p^m + l) \text{ partial-image } m f as \in S$
 $\langle \text{proof} \rangle$

lemma *partial-pullback-closed*:
 $\text{partial-pullback } m f l S \subseteq \text{carrier } (Q_p^m + l)$
 $\langle \text{proof} \rangle$

lemma *partial-pullback-memI*:
assumes $as \in \text{carrier } (Q_p^m + k)$
assumes $(f (\text{take } m as)) \# (\text{drop } m as) \in S$
shows $as \in \text{partial-pullback } m f k S$
 $\langle \text{proof} \rangle$

lemma *partial-image-eq*:
assumes $as \in \text{carrier } (Q_p^n)$
assumes $bs \in \text{carrier } (Q_p^k)$
assumes $x = as @ bs$
shows $\text{partial-image } n f x = (f as) \# bs$
 $\langle \text{proof} \rangle$

lemma *partial-pullback-memE'*:
assumes $as \in \text{carrier } (Q_p^n)$
assumes $bs \in \text{carrier } (Q_p^k)$
assumes $x = as @ bs$
assumes $x \in \text{partial-pullback } n f k S$
shows $(f as) \# bs \in S$
 $\langle \text{proof} \rangle$

Partial pullbacks have the same algebraic properties as pullbacks

lemma *partial-pullback-intersect*:

partial-pullback $m f l (S1 \cap S2) = (\text{partial-pullback } m f l S1) \cap (\text{partial-pullback } m f l S2)$
⟨proof⟩

lemma *partial-pullback-union*:

partial-pullback $m f l (S1 \cup S2) = (\text{partial-pullback } m f l S1) \cup (\text{partial-pullback } m f l S2)$
⟨proof⟩

lemma *cartesian-power-drop*:

assumes $x \in \text{carrier } (Q_p^{n+l})$
shows $\text{drop } n x \in \text{carrier } (Q_p^l)$
⟨proof⟩

lemma *partial-pullback-complement*:

assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p$
shows $\text{partial-pullback } m f l (\text{carrier } (Q_p^{\text{Suc } l}) - S) = \text{carrier } (Q_p^{m+l}) - (\text{partial-pullback } m f l S)$
⟨proof⟩

lemma *partial-pullback-carrier*:

assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p$
shows $\text{partial-pullback } m f l (\text{carrier } (Q_p^{\text{Suc } l})) = \text{carrier } (Q_p^{m+l})$
⟨proof⟩

Definition 1.4 from Denef

definition *is-semialg-function where*

is-semialg-function $m f = ((f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p) \wedge (\forall l \geq 0. \forall S \in \text{semialg-sets } (1+l). \text{is-semialgebraic } (m+l) (\text{partial-pullback } m f l S)))$

lemma *is-semialg-function-closed*:

assumes *is-semialg-function* $m f$
shows $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p$
⟨proof⟩

lemma *is-semialg-functionE*:

assumes *is-semialg-function* $m f$
assumes *is-semialgebraic* $(1+k) S$
shows *is-semialgebraic* $(m+k) (\text{partial-pullback } m f k S)$
⟨proof⟩

lemma *is-semialg-functionI*:

assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p$
assumes $\bigwedge k. S. S \in \text{semialg-sets } (1+k) \implies \text{is-semialgebraic } (m+k) (\text{partial-pullback } m f k S)$
shows *is-semialg-function* $m f$
⟨proof⟩

Semialgebraicity for functions can be verified on basic semialgebraic sets

lemma *is-semialg-functionI'*:
assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p$
assumes $\bigwedge k \ S. S \in \text{basic-semialgs } (1 + k) \implies \text{is-semialgebraic } (m + k)$
(partial-pullback m f k S)
shows *is-semialg-function m f*
 $\langle proof \rangle$

Graphs of semialgebraic functions are semialgebraic

abbreviation *graph* **where**
graph \equiv *fun-graph* Q_p

lemma *graph-memE*:
assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p$
assumes $x \in \text{graph } m f$
shows $f(\text{take } m x) = x!m$
 $x = (\text{take } m x) @ [f(\text{take } m x)]$
 $\text{take } m x \in \text{carrier } (Q_p^m)$
 $\langle proof \rangle$

lemma *graph-memI*:
assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p$
assumes $f(\text{take } m x) = x!m$
assumes $x \in \text{carrier } (Q_p^{m+1})$
shows $x \in \text{graph } m f$
 $\langle proof \rangle$

lemma *graph-mem-closed*:
assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p$
assumes $x \in \text{graph } m f$
shows $x \in \text{carrier } (Q_p^{m+1})$
 $\langle proof \rangle$

lemma *graph-closed*:
assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p$
shows $\text{graph } m f \subseteq \text{carrier } (Q_p^{m+1})$
 $\langle proof \rangle$

The m -dimensional diagonal set is semialgebraic

notation *diagonal* ($\langle \Delta \rangle$)

lemma *diag-is-algebraic*:
shows *is-algebraic* $Q_p(n + n)(\Delta n)$
 $\langle proof \rangle$

lemma *diag-is-semialgebraic*:
shows *is-semialgebraic* $(n + n)(\Delta n)$
 $\langle proof \rangle$

Transposition permutations

definition transpose where
 $\text{transpose } i \ j = (\text{Fun.swap } i \ j \ \text{id})$

lemma transpose-permutes:
assumes $i < n$
assumes $j < n$
shows $\text{transpose } i \ j \text{ permutes } \{.. < n\}$
 $\langle \text{proof} \rangle$

lemma transpose-alt-def:
 $\text{transpose } a \ b \ x = (\text{if } x = a \text{ then } b \text{ else if } x = b \text{ then } a \text{ else } x)$
 $\langle \text{proof} \rangle$

definition last-to-first where
 $\text{last-to-first } n = (\lambda i. \text{if } i = (n - 1) \text{ then } 0 \text{ else if } i < n - 1 \text{ then } i + 1 \text{ else } i)$

definition first-to-last where
 $\text{first-to-last } n = \text{fun-inv}(\text{last-to-first } n)$

lemma last-to-first-permutes:
assumes $(n : \text{nat}) > 0$
shows $\text{last-to-first } n \text{ permutes } \{.. < n\}$
 $\langle \text{proof} \rangle$

definition graph-swap where
 $\text{graph-swap } n \ f = \text{permute-list}((\text{first-to-last } (n + 1))) \ ^\circ (\text{graph } n \ f)$

lemma last-to-first-eq:
assumes $\text{length as} = n$
shows $\text{permute-list}(\text{last-to-first } (n + 1)) \ (a \# as) = (as @ [a])$
 $\langle \text{proof} \rangle$

lemma first-to-last-eq:
assumes $as \in \text{carrier}((Q_p)^n)$
assumes $a \in \text{carrier } Q_p$
shows $\text{permute-list}(\text{first-to-last } (n + 1)) \ (as @ [a]) = (a \# as)$
 $\langle \text{proof} \rangle$

lemma graph-swapI:
assumes $as \in \text{carrier}((Q_p)^n)$
assumes $f \in \text{carrier}((Q_p)^n) \rightarrow \text{carrier } Q_p$
shows $(f \ as) \# as \in \text{graph-swap } n \ f$
 $\langle \text{proof} \rangle$

lemma graph-swapE:
assumes $x \in \text{graph-swap } n \ f$
assumes $f \in \text{carrier}((Q_p)^n) \rightarrow \text{carrier } Q_p$
shows $\text{hd } x = f \ (\text{tl } x)$

$\langle proof \rangle$

Semialgebraic functions have semialgebraic graphs

lemma *graph-as-partial-pullback*:
 assumes $f \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
 shows $\text{partial-pullback } n f 1 (\Delta 1) = \text{graph } n f$
 $\langle proof \rangle$

lemma *semialg-graph*:
 assumes *is-semialg-function* $n f$
 shows *is-semialgebraic* $(n + 1)$ ($\text{graph } n f$)
 $\langle proof \rangle$

Functions induced by polynomials are semialgebraic

definition *var-list-segment* **where**
 $\text{var-list-segment } i j = \text{map } (\lambda i. \text{pvar } Q_p i) [i..< j]$

lemma *var-list-segment-length*:
 assumes $i \leq j$
 shows $\text{length } (\text{var-list-segment } i j) = j - i$
 $\langle proof \rangle$

lemma *var-list-segment-entry*:
 assumes $k < j - i$
 assumes $i \leq j$
 shows $\text{var-list-segment } i j ! k = \text{pvar } Q_p (i + k)$
 $\langle proof \rangle$

lemma *var-list-segment-is-poly-tuple*:
 assumes $i \leq j$
 assumes $j \leq n$
 shows *is-poly-tuple* n ($\text{var-list-segment } i j$)
 $\langle proof \rangle$

lemma *map-by-var-list-segment*:
 assumes $as \in \text{carrier } (Q_p^n)$
 assumes $j \leq n$
 assumes $i \leq j$
 shows $\text{poly-map } n (\text{var-list-segment } i j) as = \text{list-segment } i j as$
 $\langle proof \rangle$

lemma *map-by-var-list-segment-to-length*:
 assumes $as \in \text{carrier } (Q_p^n)$
 assumes $i \leq n$
 shows $\text{poly-map } n (\text{var-list-segment } i n) as = \text{drop } i as$
 $\langle proof \rangle$

lemma *map-tail-by-var-list-segment*:
 assumes $as \in \text{carrier } (Q_p^n)$

```

assumes  $a \in \text{carrier } Q_p$ 
assumes  $i < n$ 
shows  $\text{poly-map } (n+1) (\text{var-list-segment } 1 (n+1)) (a \# as) = as$ 
⟨proof⟩

```

```

lemma  $Qp\text{-poly-tuple-Cons}:$ 
assumes  $\text{is-poly-tuple } n fs$ 
assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_k])$ 
assumes  $k \leq n$ 
shows  $\text{is-poly-tuple } n (f \# fs)$ 
⟨proof⟩

```

```

lemma  $\text{poly-map-Cons}:$ 
assumes  $\text{is-poly-tuple } n fs$ 
assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
assumes  $a \in \text{carrier } (Q_p^n)$ 
shows  $\text{poly-map } n (f \# fs) a = (Qp\text{-ev } f a) \# \text{poly-map } n fs a$ 
⟨proof⟩

```

```

lemma  $\text{poly-map-append}':$ 
assumes  $\text{is-poly-tuple } n fs$ 
assumes  $\text{is-poly-tuple } n gs$ 
assumes  $a \in \text{carrier } (Q_p^n)$ 
shows  $\text{poly-map } n (fs @ gs) a = \text{poly-map } n fs a @ \text{poly-map } n gs a$ 
⟨proof⟩

```

```

lemma  $\text{partial-pullback-by-poly}:$ 
assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
assumes  $S \subseteq \text{carrier } (Q_p^{1+k})$ 
shows  $\text{partial-pullback } n (Qp\text{-ev } f) k S = \text{poly-tuple-pullback } (n+k) S (f \# (\text{var-list-segment } n (n+k)))$ 
⟨proof⟩

```

```

lemma  $\text{poly-is-semialg}:$ 
assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_n])$ 
shows  $\text{is-semialg-function } n (Qp\text{-ev } f)$ 
⟨proof⟩

```

Families of polynomials defined by semialgebraic coefficient functions

```

lemma  $\text{semialg-function-on-carrier}:$ 
assumes  $\text{is-semialg-function } n f$ 
assumes  $\text{restrict } f (\text{carrier } (Q_p^n)) = \text{restrict } g (\text{carrier } (Q_p^n))$ 
shows  $\text{is-semialg-function } n g$ 
⟨proof⟩

```

```

lemma  $\text{semialg-function-on-carrier}':$ 
assumes  $\text{is-semialg-function } n f$ 
assumes  $\bigwedge a. a \in \text{carrier } (Q_p^n) \implies f a = g a$ 
shows  $\text{is-semialg-function } n g$ 

```

$\langle proof \rangle$

lemma *constant-function-is-semialg*:

assumes $n > 0$

assumes $x \in \text{carrier } Q_p$

assumes $\bigwedge a. a \in \text{carrier } (Q_p^n) \implies f a = x$

shows *is-semialg-function* $n f$

$\langle proof \rangle$

lemma *cartesian-product-singleton-factor-projection-is-semialg*:

assumes $A \subseteq \text{carrier } (Q_p^m)$

assumes $b \in \text{carrier } (Q_p^n)$

assumes *is-semialgebraic* $(m+n)$ (*cartesian-product* $A \{b\}$)

shows *is-semialgebraic* $m A$

$\langle proof \rangle$

lemma *cartesian-product-factor-projection-is-semialg*:

assumes $A \subseteq \text{carrier } (Q_p^m)$

assumes $B \subseteq \text{carrier } (Q_p^n)$

assumes $B \neq \{\}$

assumes *is-semialgebraic* $(m+n)$ (*cartesian-product* $A B$)

shows *is-semialgebraic* $m A$

$\langle proof \rangle$

lemma *partial-pullback-cartesian-product*:

assumes $\xi \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } Q_p$

assumes $S \subseteq \text{carrier } (Q_p^1)$

shows *cartesian-product* (*partial-pullback* $m \xi 0 S$) (*carrier* (Q_p^1)) = *partial-pullback* $m \xi 1$ (*cartesian-product* S (*carrier* (Q_p^1)))

$\langle proof \rangle$

lemma *cartesian-product-swap*:

assumes $A \subseteq \text{carrier } (Q_p^n)$

assumes $B \subseteq \text{carrier } (Q_p^m)$

assumes *is-semialgebraic* $(m+n)$ (*cartesian-product* $A B$)

shows *is-semialgebraic* $(m+n)$ (*cartesian-product* $B A$)

$\langle proof \rangle$

lemma *Qp-zero-subset-is-semialg*:

assumes $S \subseteq \text{carrier } (Q_p^0)$

shows *is-semialgebraic* $0 S$

$\langle proof \rangle$

lemma *cartesian-product-empty-list*:

cartesian-product $A \{\} = A$

cartesian-product $\{\} A = A$

$\langle proof \rangle$

lemma *cartesian-product-singleton-factor-projection-is-semialg'*:

```

assumes  $A \subseteq \text{carrier } (Q_p^m)$ 
assumes  $b \in \text{carrier } (Q_p^n)$ 
assumes  $\text{is-semialgebraic } (m+n) \text{ (cartesian-product } A \{b\})$ 
shows  $\text{is-semialgebraic } m \text{ } A$ 
⟨proof⟩

```

13.11 More on graphs of functions

This section lays the groundwork for showing that semialgebraic functions are closed under various algebraic operations

The take and drop functions on lists are polynomial maps

lemma *function-restriction*:

```

assumes  $g \in \text{carrier } (Q_p^n) \rightarrow S$ 
assumes  $n \leq k$ 
shows  $(g \circ (\text{take } n)) \in \text{carrier } (Q_p^k) \rightarrow S$ 
⟨proof⟩

```

lemma *partial-pullback-restriction*:

```

assumes  $g \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$ 
assumes  $n < k$ 
shows  $\text{partial-pullback } k (g \circ \text{take } n) m S =$ 
 $\text{split-cartesian-product } (n+m) (k-n) n (\text{partial-pullback } n g m S) (\text{carrier}$ 
 $(Q_p^{k-n}))$ 
⟨proof⟩

```

lemma *comp-take-is-semialg*:

```

assumes  $\text{is-semialg-function } n g$ 
assumes  $n < k$ 
assumes  $0 < n$ 
shows  $\text{is-semialg-function } k (g \circ (\text{take } n))$ 
⟨proof⟩

```

Restriction of a graph to a semialgebraic domain

lemma *graph-formula*:

```

assumes  $g \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$ 
shows  $\text{graph } n g = \{as \in \text{carrier } (Q_p^{\text{Suc } n}). g (\text{take } n as) = as!n\}$ 
⟨proof⟩

```

definition *restricted-graph where*

```

restricted-graph  $n g S = \{as \in \text{carrier } (Q_p^{\text{Suc } n}). \text{take } n as \in S \wedge g (\text{take } n as) = as!n\}$ 

```

lemma *restricted-graph-closed*:

```

restricted-graph  $n g S \subseteq \text{carrier } (Q_p^{\text{Suc } n})$ 
⟨proof⟩

```

lemma *restricted-graph-memE*:

assumes $a \in \text{restricted-graph } n \ g \ S$
shows $a \in \text{carrier } (Q_p^{\text{Suc } n}) \ \text{take } n \ a \in S \ g \ (\text{take } n \ a) = a!n$
 $\langle \text{proof} \rangle$

lemma *restricted-graph-mem-formula*:
assumes $a \in \text{restricted-graph } n \ g \ S$
shows $a = (\text{take } n \ a)@[\mathbf{g} \ (\text{take } n \ a)]$
 $\langle \text{proof} \rangle$

lemma *restricted-graph-memI*:
assumes $a \in \text{carrier } (Q_p^{\text{Suc } n})$
assumes $\text{take } n \ a \in S$
assumes $g \ (\text{take } n \ a) = a!n$
shows $a \in \text{restricted-graph } n \ g \ S$
 $\langle \text{proof} \rangle$

lemma *restricted-graph-memI'*:
assumes $a \in S$
assumes $g \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
assumes $S \subseteq \text{carrier } (Q_p^n)$
shows $(a@[\mathbf{g} \ a]) \in \text{restricted-graph } n \ g \ S$
 $\langle \text{proof} \rangle$

lemma *restricted-graph-subset*:
assumes $g \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
assumes $S \subseteq \text{carrier } (Q_p^n)$
shows $\text{restricted-graph } n \ g \ S \subseteq \text{graph } n \ g$
 $\langle \text{proof} \rangle$

lemma *restricted-graph-subset'*:
assumes $g \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
assumes $S \subseteq \text{carrier } (Q_p^n)$
shows $\text{restricted-graph } n \ g \ S \subseteq \text{cartesian-product } S \ (\text{carrier } (Q_p^1))$
 $\langle \text{proof} \rangle$

lemma *restricted-graph-intersection*:
assumes $g \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
assumes $S \subseteq \text{carrier } (Q_p^n)$
shows $\text{restricted-graph } n \ g \ S = \text{graph } n \ g \cap (\text{cartesian-product } S \ (\text{carrier } (Q_p^1)))$
 $\langle \text{proof} \rangle$

lemma *restricted-graph-is-semialgebraic*:
assumes *is-semialg-function* $n \ g$
assumes *is-semialgebraic* $n \ S$
shows *is-semialgebraic* $(n+1) \ (\text{restricted-graph } n \ g \ S)$
 $\langle \text{proof} \rangle$

lemma *take-closed*:
assumes $n \leq k$

assumes $x \in \text{carrier } (Q_p^k)$
shows $\text{take } n \ x \in \text{carrier } (Q_p^n)$
 $\langle \text{proof} \rangle$

lemma *take-compose-closed*:

assumes $g \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
assumes $n < k$
shows $g \circ \text{take } n \in \text{carrier } (Q_p^k) \rightarrow \text{carrier } Q_p$
 $\langle \text{proof} \rangle$

lemma *take-graph-formula*:

assumes $g \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
assumes $n < k$
assumes $0 < n$
shows $\text{graph } k (g \circ (\text{take } n)) = \{as \in \text{carrier } (Q_p^{k+1}) . g (\text{take } n as) = as!k\}$
 $\langle \text{proof} \rangle$

lemma *graph-memI'*:

assumes $a \in \text{carrier } (Q_p^{\text{Suc } n})$
assumes $\text{take } n \ a \in \text{carrier } (Q_p^n)$
assumes $g (\text{take } n \ a) = a!n$
shows $a \in \text{graph } n \ g$
 $\langle \text{proof} \rangle$

lemma *graph-memI''*:

assumes $a \in \text{carrier } (Q_p^n)$
assumes $g \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
shows $(a@[g \ a]) \in \text{graph } n \ g$
 $\langle \text{proof} \rangle$

lemma *graph-as-restricted-graph*:

assumes $f \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
shows $\text{graph } n \ f = \text{restricted-graph } n \ f (\text{carrier } (Q_p^n))$
 $\langle \text{proof} \rangle$

definition *double-graph where*

$\text{double-graph } n \ f \ g = \{as \in \text{carrier } (Q_p^{n+2}) . f (\text{take } n \ as) = as!n \wedge g (\text{take } n \ as) = as!(n + 1)\}$

lemma *double-graph-rep*:

assumes $g \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
assumes $f \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
shows $\text{double-graph } n \ f \ g = \text{restricted-graph } (n + 1) (g \circ \text{take } n) (\text{graph } n \ f)$
 $\langle \text{proof} \rangle$

lemma *double-graph-is-semialg*:

assumes $n > 0$
assumes *is-semialg-function* $n \ f$
assumes *is-semialg-function* $n \ g$

```

shows is-semialgebraic (n+2) (double-graph n f g)
⟨proof⟩

definition add-vars :: nat ⇒ nat ⇒ padic-tuple ⇒ padic-number where
add-vars i j as = as!i ⊕Qp as!j

lemma add-vars-rep:
assumes as ∈ carrier (Qpn)
assumes i < n
assumes j < n
shows add-vars i j as = Qp-ev ((pvar Qp i) ⊕Qp[Xn] (pvar Qp j)) as
⟨proof⟩

lemma add-vars-is-semialg:
assumes i < n
assumes j < n
assumes a ∈ carrier (Qpn)
shows is-semialg-function n (add-vars i j)
⟨proof⟩

definition mult-vars :: nat ⇒ nat ⇒ padic-tuple ⇒ padic-number where
mult-vars i j as = as!i ⊗ as!j

lemma mult-vars-rep:
assumes as ∈ carrier (Qpn)
assumes i < n
assumes j < n
shows mult-vars i j as = Qp-ev ((pvar Qp i) ⊗Qp[Xn] (pvar Qp j)) as
⟨proof⟩

lemma mult-vars-is-semialg:
assumes i < n
assumes j < n
assumes a ∈ carrier (Qpn)
shows is-semialg-function n (mult-vars i j)
⟨proof⟩

definition minus-vars :: nat ⇒ padic-tuple ⇒ padic-number where
minus-vars i as = ⊖Qp as!i

lemma minus-vars-rep:
assumes as ∈ carrier (Qpn)
assumes i < n
shows minus-vars i as = Qp-ev (⊖Qp[Xn] (pvar Qp i)) as
⟨proof⟩

lemma minus-vars-is-semialg:
assumes i < n
assumes a ∈ carrier (Qpn)

```

```

shows is-semialg-function n (minus-vars i)
⟨proof⟩

definition extended-graph where
extended-graph n f g h = {as ∈ carrier (Qpn+3) .
f (take n as) = as! n ∧ g (take n as) = as! (n + 1) ∧ h [(f (take
n as)),(g (take n as))] = as! (n + 2) }

lemma extended-graph-rep:
extended-graph n f g h = restricted-graph (n + 2) (h ∘ (drop n)) (double-graph n f
g)
⟨proof⟩

lemma function-tuple-eval-closed:
assumes is-function-tuple Qp n fs
assumes x ∈ carrier (Qpn)
shows function-tuple-eval Qp n fs x ∈ carrier (Qplength fs)
⟨proof⟩

definition k-graph where
k-graph n fs = {x ∈ carrier (Qpn + length fs). x = (take n x)@ (function-tuple-eval
Qp n fs (take n x)) }

lemma k-graph-memI:
assumes is-function-tuple Qp n fs
assumes x = as@function-tuple-eval Qp n fs as
assumes as ∈ carrier (Qpn)
shows x ∈ k-graph n fs
⟨proof⟩

```

composing a function with a function tuple

```

lemma Qp-function-tuple-comp-closed:
assumes f ∈ carrier (Qpn) → carrier Qp
assumes length fs = n
assumes is-function-tuple Qp m fs
shows function-tuple-comp Qp fs f ∈ carrier (Qpm) → carrier Qp
⟨proof⟩

```

13.11.1 Tuples of Semialgebraic Functions

Predicate for a tuple of semialgebraic functions

```

definition is-semialg-function-tuple where
is-semialg-function-tuple n fs = (forall f ∈ set fs. is-semialg-function n f)

```

```

lemma is-semialg-function-tupleI:
assumes ∀ f. f ∈ set fs ==> is-semialg-function n f
shows is-semialg-function-tuple n fs
⟨proof⟩

```

```

lemma is-semialg-function-tupleE:
  assumes is-semialg-function-tuple n fs
  assumes i < length fs
  shows is-semialg-function n (fs ! i)
  ⟨proof⟩

lemma is-semialg-function-tupleE':
  assumes is-semialg-function-tuple n fs
  assumes f ∈ set fs
  shows is-semialg-function n f
  ⟨proof⟩

lemma semialg-function-tuple-is-function-tuple:
  assumes is-semialg-function-tuple n fs
  shows is-function-tuple Qp n fs
  ⟨proof⟩

lemma const-poly-function-tuple-comp-is-semialg:
  assumes n > 0
  assumes is-semialg-function-tuple n fs
  assumes a ∈ carrier Qp
  shows is-semialg-function n (poly-function-tuple-comp Qp n fs (Qp-to-IP a))
  ⟨proof⟩

lemma pvar-poly-function-tuple-comp-is-semialg:
  assumes n > 0
  assumes is-semialg-function-tuple n fs
  assumes i < length fs
  shows is-semialg-function n (poly-function-tuple-comp Qp n fs (pvar Qp i))
  ⟨proof⟩

```

Polynomial functions with semialgebraic coefficients

```

definition point-to-univ-poly :: nat ⇒ padic-tuple ⇒ padic-univ-poly where
point-to-univ-poly n a = ring-cfs-to-univ-poly n a

```

```

definition tuple-partial-image where
tuple-partial-image m fs x = (function-tuple-eval Qp m fs (take m x))@(drop m x)

```

```

lemma tuple-partial-image-closed:
  assumes length fs > 0
  assumes is-function-tuple Qp n fs
  assumes x ∈ carrier (Qpn+l)
  shows tuple-partial-image n fs x ∈ carrier (Qplength fs + l)
  ⟨proof⟩

```

```

lemma tuple-partial-image-indices:
  assumes length fs > 0
  assumes is-function-tuple Qp n fs

```

```

assumes  $x \in \text{carrier } (Q_p^{n+l})$ 
assumes  $i < \text{length } fs$ 
shows  $(\text{tuple-partial-image } n \text{ } fs \text{ } x) ! \text{ } i = (fs!i) \text{ } (\text{take } n \text{ } x)$ 
⟨proof⟩

lemma tuple-partial-image-indices':
assumes  $\text{length } fs > 0$ 
assumes  $\text{is-function-tuple } Q_p \text{ } n \text{ } fs$ 
assumes  $x \in \text{carrier } (Q_p^{n+l})$ 
assumes  $i < l$ 
shows  $(\text{tuple-partial-image } n \text{ } fs \text{ } x) ! (\text{length } fs + i) = x!(n + i)$ 
⟨proof⟩

definition tuple-partial-pullback where
tuple-partial-pullback  $n \text{ } fs \text{ } l \text{ } S = ((\text{tuple-partial-image } n \text{ } fs) - 'S) \cap \text{carrier } (Q_p^{n+l})$ 

lemma tuple-partial-pullback-memE:
assumes  $as \in \text{tuple-partial-pullback } m \text{ } fs \text{ } l \text{ } S$ 
shows  $as \in \text{carrier } (Q_p^{m+l}) \text{ tuple-partial-image } m \text{ } fs \text{ } as \in S$ 
⟨proof⟩

lemma tuple-partial-pullback-closed:
tuple-partial-pullback  $m \text{ } fs \text{ } l \text{ } S \subseteq \text{carrier } (Q_p^{m+l})$ 
⟨proof⟩

lemma tuple-partial-pullback-memI:
assumes  $as \in \text{carrier } (Q_p^{m+k})$ 
assumes  $\text{is-function-tuple } Q_p \text{ } m \text{ } fs$ 
assumes  $((\text{function-tuple-eval } Q_p \text{ } m \text{ } fs) \text{ (take } m \text{ } as)) @ (\text{drop } m \text{ } as) \in S$ 
shows  $as \in \text{tuple-partial-pullback } m \text{ } fs \text{ } k \text{ } S$ 
⟨proof⟩

lemma tuple-partial-image-eq:
assumes  $as \in \text{carrier } (Q_p^n)$ 
assumes  $bs \in \text{carrier } (Q_p^k)$ 
assumes  $x = as @ bs$ 
shows  $\text{tuple-partial-image } n \text{ } fs \text{ } x = ((\text{function-tuple-eval } Q_p \text{ } n \text{ } fs) \text{ } as) @ bs$ 
⟨proof⟩

lemma tuple-partial-pullback-memE':
assumes  $as \in \text{carrier } (Q_p^n)$ 
assumes  $bs \in \text{carrier } (Q_p^k)$ 
assumes  $x = as @ bs$ 
assumes  $x \in \text{tuple-partial-pullback } n \text{ } fs \text{ } k \text{ } S$ 
shows  $(\text{function-tuple-eval } Q_p \text{ } n \text{ } fs \text{ } as) @ bs \in S$ 
⟨proof⟩

```

tuple partial pullbacks have the same algebraic properties as pullbacks

lemma *tuple-partial-pullback-intersect*:

tuple-partial-pullback $m f l (S1 \cap S2) = (\text{tuple-partial-pullback } m f l S1) \cap (\text{tuple-partial-pullback } m f l S2)$
 $\langle \text{proof} \rangle$

lemma *tuple-partial-pullback-union*:

tuple-partial-pullback $m f l (S1 \cup S2) = (\text{tuple-partial-pullback } m f l S1) \cup (\text{tuple-partial-pullback } m f l S2)$
 $\langle \text{proof} \rangle$

lemma *tuple-partial-pullback-complement*:

assumes *is-function-tuple* $Q_p m fs$
shows *tuple-partial-pullback* $m fs l ((\text{carrier } (Q_p^{\text{length } fs + l})) - S) = \text{carrier } (Q_p^m + l) - (\text{tuple-partial-pullback } m fs l S)$
 $\langle \text{proof} \rangle$

lemma *tuple-partial-pullback-carrier*:

assumes *is-function-tuple* $Q_p m fs$
shows *tuple-partial-pullback* $m fs l (\text{carrier } (Q_p^{\text{length } fs + l})) = \text{carrier } (Q_p^m + l)$
 $\langle \text{proof} \rangle$

definition *is-semialg-map-tuple* **where**

is-semialg-map-tuple $m fs = (\text{is-function-tuple } Q_p m fs \wedge$
 $(\forall l \geq 0. \forall S \in \text{semialg-sets } ((\text{length } fs) + l). \text{is-semialgebraic } (m + l) (\text{tuple-partial-pullback } m fs l S)))$

lemma *is-semialg-map-tuple-closed*:

assumes *is-semialg-map-tuple* $m fs$
shows *is-function-tuple* $Q_p m fs$
 $\langle \text{proof} \rangle$

lemma *is-semialg-map-tupleE*:

assumes *is-semialg-map-tuple* $m fs$
assumes *is-semialgebraic* $((\text{length } fs) + l) S$
shows *is-semialgebraic* $(m + l) (\text{tuple-partial-pullback } m fs l S)$
 $\langle \text{proof} \rangle$

lemma *is-semialg-map-tupleI*:

assumes *is-function-tuple* $Q_p m fs$
assumes $\bigwedge k S. S \in \text{semialg-sets } ((\text{length } fs) + k) \implies \text{is-semialgebraic } (m + k) (\text{tuple-partial-pullback } m fs k S)$
shows *is-semialg-map-tuple* $m fs$
 $\langle \text{proof} \rangle$

Semialgebraicity for maps can be verified on basic semialgebraic sets

lemma *is-semialg-map-tupleI'*:

assumes *is-function-tuple* $Q_p m fs$
assumes $\bigwedge k S. S \in \text{basic-semialgs } ((\text{length } fs) + k) \implies \text{is-semialgebraic } (m + k) (\text{tuple-partial-pullback } m fs k S)$
shows *is-semialg-map-tuple* $m fs$

$\langle proof \rangle$

The goal of this section is to show that tuples of semialgebraic functions are semialgebraic maps.

The function $(x_0, x, y) \mapsto (x_0, f(x), y)$

definition *twisted-partial-image* **where**

twisted-partial-image $n m f xs = (\text{take } n xs) @ \text{partial-image } m f (\text{drop } n xs)$

The set $(x_0, x, y) \mid (x_0, f(x), y) \in S$

Convention: a function which produces a subset of $(Q_p(i + j + k))$ will receive the 3 arity parameters in sequence, at the very beginning of the function

definition *twisted-partial-pullback* **where**

twisted-partial-pullback $n m l f S = ((\text{twisted-partial-image } n m f) - 'S) \cap \text{carrier} (Q_p^{n+m+l})$

lemma *twisted-partial-pullback-memE*:

assumes $as \in \text{twisted-partial-pullback } n m l f S$

shows $as \in \text{carrier} (Q_p^{n+m+l}) \text{ twisted-partial-image } n m f as \in S$

$\langle proof \rangle$

lemma *twisted-partial-pullback-closed*:

twisted-partial-pullback $n m l f S \subseteq \text{carrier} (Q_p^{n+m+l})$

$\langle proof \rangle$

lemma *twisted-partial-pullback-memI*:

assumes $as \in \text{carrier} (Q_p^{n+m+l})$

assumes $(\text{take } n as) @ ((f (\text{take } m (\text{drop } n as))) \# (\text{drop } (n + m) as)) \in S$

shows $as \in \text{twisted-partial-pullback } n m l f S$

$\langle proof \rangle$

lemma *twisted-partial-image-eq*:

assumes $as \in \text{carrier} (Q_p^n)$

assumes $bs \in \text{carrier} (Q_p^m)$

assumes $cs \in \text{carrier} (Q_p^l)$

assumes $x = as @ bs @ cs$

shows $\text{twisted-partial-image } n m f x = as @ ((f bs) \# cs)$

$\langle proof \rangle$

lemma *twisted-partial-pullback-memE'*:

assumes $as \in \text{carrier} (Q_p^n)$

assumes $bs \in \text{carrier} (Q_p^m)$

assumes $cs \in \text{carrier} (Q_p^l)$

assumes $x = as @ bs @ cs$

assumes $x \in \text{twisted-partial-pullback } n m l f S$

shows $as @ ((f bs) \# cs) \in S$

$\langle proof \rangle$

partial pullbacks have the same algebraic properties as pullbacks

permutation which moves the entry at index i to 0

definition *twisting-permutation where*

twisting-permutation ($i::nat$) = $(\lambda j. \text{if } j < i \text{ then } j + 1 \text{ else}$
 $(\text{if } j = i \text{ then } 0 \text{ else } j))$

lemma *twisting-permutation-permutes*:

assumes $i < n$
shows *twisting-permutation* i permutes $\{.. < n\}$
 $\langle proof \rangle$

lemma *twisting-permutation-action*:

assumes length $as = i$
shows *permute-list* (*twisting-permutation* i) ($b \# (as @ bs)$) = $as @ (b \# bs)$
 $\langle proof \rangle$

lemma *twisting-permutation-action'*:

assumes length $as = i$
shows *permute-list* (*fun-inv* (*twisting-permutation* i)) ($as @ (b \# bs)$) = $(b \# (as @ bs))$

$\langle proof \rangle$

lemma *twisting-semialg*:

assumes *is-semialgebraic* $n S$
assumes $n > i$
shows *is-semialgebraic* $n ((\text{permute-list} ((\text{twisting-permutation} i)) \cdot S))$
 $\langle proof \rangle$

lemma *twisting-semialg'*:

assumes *is-semialgebraic* $n S$
assumes $n > i$
shows *is-semialgebraic* $n ((\text{permute-list} (\text{fun-inv} (\text{twisting-permutation} i)) \cdot S))$
 $\langle proof \rangle$

Defining a permutation that does: $(x_0, x_1, y) \mapsto (x_1, x_0, y)$

definition *tp-1 where*

tp-1 $i j = (\lambda n. (\text{if } n < i \text{ then } j + n \text{ else}$
 $(\text{if } i \leq n \wedge n < i + j \text{ then } n - i \text{ else}$
 $n)))$

lemma *permutes-I*:

assumes $\bigwedge x. x \notin S \implies f x = x$
assumes $\bigwedge y. y \in S \implies \exists! x \in S. f x = y$
assumes $\bigwedge x. x \in S \implies f x \in S$
shows f permutes S
 $\langle proof \rangle$

lemma *tp-1-permutes*:

(tp-1 (i::nat) j) permutes $\{.. < i + j\}$

$\langle proof \rangle$

lemma *tp-1-permutes'*:

$(tp-1\ (i::nat)\ j)\ permutes\ \{.. < i + j + k\}$

$\langle proof \rangle$

lemma *tp-1-permutation-action*:

assumes $a \in carrier\ (Q_p^i)$

assumes $b \in carrier\ (Q_p^j)$

assumes $c \in carrier\ (Q_p^n)$

shows $permute-list\ (tp-1\ i\ j)\ (b@a@c) = a@b@c$

$\langle proof \rangle$

definition *tw* **where**

$tw\ i\ j = permute-list\ (tp-1\ j\ i)$

lemma *tw-is-semialg*:

assumes $n > 0$

assumes *is-semialgebraic* $n\ S$

assumes $n \geq i + j$

shows *is-semialgebraic* $n\ ((tw\ i\ j)`S)$

$\langle proof \rangle$

lemma *twisted-partial-pullback-factored*:

assumes $f \in (carrier\ (Q_p^m)) \rightarrow carrier\ Q_p$

assumes $S \subseteq carrier\ (Q_p^{n+1+l})$

assumes $Y = partial-pullback\ m\ f\ (n + l)\ (permute-list\ (fun-inv\ (twisting-permutation\ n))`S)$

shows $twisted-partial-pullback\ n\ m\ l\ f\ S = (tw\ m\ n)`Y$

$\langle proof \rangle$

lemma *twisted-partial-pullback-is-semialgebraic*:

assumes *is-semialg-function* $m\ f$

assumes *is-semialgebraic* $(n + 1 + l)\ S$

shows *is-semialgebraic* $(n + m + l)(twisted-partial-pullback\ n\ m\ l\ f\ S)$

$\langle proof \rangle$

definition *augment* **where**

$augment\ n\ x = take\ n\ x @ take\ n\ x @ drop\ n\ x$

lemma *augment-closed*:

assumes $x \in carrier\ (Q_p^{n+l})$

shows $augment\ n\ x \in carrier\ (Q_p^{n+n+l})$

$\langle proof \rangle$

lemma *tuple-partial-image-factor*:

assumes *is-function-tuple* $Q_p\ m\ fs$

assumes $f \in carrier\ (Q_p^m) \rightarrow carrier\ Q_p$

assumes *length* $fs = n$

```

assumes  $x \in \text{carrier } (Q_p^m + l)$ 
shows  $\text{tuple-partial-image } m (fs@[f]) x = \text{twisted-partial-image } n m f (\text{tuple-partial-image } m fs (\text{augment } m x))$ 
⟨proof⟩

definition diagonalize where
diagonalize  $n m S = S \cap \text{cartesian-product } (\Delta n) (\text{carrier } (Q_p^m))$ 

lemma diagaonlize-is-semialgebraic:
assumes is-semialgebraic  $(n + n + m) S$ 
shows is-semialgebraic  $(n + n + m) (\text{diagonalize } n m S)$ 
⟨proof⟩

lemma list-segment-take:
assumes length  $a \geq n$ 
shows list-segment  $0 n a = \text{take } n a$ 
⟨proof⟩

lemma augment-inverse-is-semialgebraic:
assumes is-semialgebraic  $(n+n+l) S$ 
shows is-semialgebraic  $(n+l) ((\text{augment } n -` S) \cap \text{carrier } (Q_p^{n+l}))$ 
⟨proof⟩

lemma tuple-partial-pullback-is-semialg-map-tuple-induct:
assumes is-semialg-map-tuple  $m fs$ 
assumes is-semialg-function  $m f$ 
assumes length  $fs = n$ 
shows is-semialg-map-tuple  $m (fs@[f])$ 
⟨proof⟩

lemma singleton-tuple-partial-pullback-is-semialg-map-tuple:
assumes is-semialg-function-tuple  $m fs$ 
assumes length  $fs = 1$ 
shows is-semialg-map-tuple  $m fs$ 
⟨proof⟩

lemma empty-tuple-partial-pullback-is-semialg-map-tuple:
assumes is-semialg-function-tuple  $m fs$ 
assumes length  $fs = 0$ 
shows is-semialg-map-tuple  $m fs$ 
⟨proof⟩

lemma tuple-partial-pullback-is-semialg-map-tuple:
assumes is-semialg-function-tuple  $m fs$ 
shows is-semialg-map-tuple  $m fs$ 
⟨proof⟩

```

13.11.2 Semialgebraic Functions are Closed under Composition with Semialgebraic Tuples

```

lemma function-tuple-comp-partial-pullback:
  assumes is-semialg-function-tuple m fs
  assumes length fs = n
  assumes is-semialg-function n f
  assumes S ⊆ carrier (Qp1+k)
  shows partial-pullback m (function-tuple-comp Qp fs f) k S =
    tuple-partial-pullback m fs k (partial-pullback n f k S)
⟨proof⟩

lemma semialg-function-tuple-comp:
  assumes is-semialg-function-tuple m fs
  assumes length fs = n
  assumes is-semialg-function n f
  shows is-semialg-function m (function-tuple-comp Qp fs f)
⟨proof⟩

```

13.11.3 Algebraic Operations on Semialgebraic Functions

Defining the set of extensional semialgebraic functions

```

definition Qp-add-fun where
  Qp-add-fun xs = xs!0 ⊕Qp xs!1

definition Qp-mult-fun where
  Qp-mult-fun xs = xs!0 ⊗ xs!1

```

Inversion function on first coordinates of Qp tuples. Arbitrarily redefined at 0 to map to 0

```

definition Qp-invert where
  Qp-invert xs = (if ((xs!0) = 0) then 0 else (inv (xs!0)))

```

Addition is semialgebraic

```

lemma addition-is-semialg:
  is-semialg-function 2 Qp-add-fun
⟨proof⟩

```

Multiplication is semialgebraic:

```

lemma multiplication-is-semialg:
  is-semialg-function 2 Qp-mult-fun
⟨proof⟩

```

Inversion is semialgebraic:

```

lemma(in field) field-nat-pow-inv:
  assumes a ∈ carrier R
  assumes a ≠ 0
  shows inv (a [↑] (n::nat)) = (inv a) [↑] (n :: nat)

```

$\langle proof \rangle$

lemma *Qp-invert-basic-semialg*:

assumes *is-basic-semialg* ($1 + k$) S

shows *is-semialgebraic* ($1 + k$) (*partial-pullback* 1 *Qp-invert* $k S$)

$\langle proof \rangle$

lemma *Qp-invert-is-semialg*:

is-semialg-function 1 *Qp-invert*

$\langle proof \rangle$

lemma *Taylor-deg-1-expansion''*:

assumes $f \in carrier Q_p$ - x

assumes $\bigwedge n. f n \in \mathcal{O}_p$

assumes $a \in \mathcal{O}_p$

assumes $b \in \mathcal{O}_p$

shows $\exists c c' c''. c = to\text{-}fun f a \wedge c' = deriv f a \wedge c \in \mathcal{O}_p \wedge c' \in \mathcal{O}_p \wedge c'' \in \mathcal{O}_p$

\wedge

$to\text{-}fun f (b) = c \oplus c' \otimes (b \ominus a) \oplus (c'' \otimes (b \ominus a)[\lceil](2::nat))$

$\langle proof \rangle$

end

13.12 Sets Defined by Residues of Valuation Ring Elements

sublocale *padic-fields* < *Res: cring Zp-res-ring* (*Suc n*)

$\langle proof \rangle$

context *padic-fields*

begin

definition *Qp-res* **where**

$Qp\text{-}res x n = to\text{-}Zp x n$

lemma *Qp-res-closed*:

assumes $x \in \mathcal{O}_p$

shows *Qp-res* $x n \in carrier (Zp\text{-}res\text{-}ring n)$

$\langle proof \rangle$

lemma *Qp-res-add*:

assumes $x \in \mathcal{O}_p$

assumes $y \in \mathcal{O}_p$

shows *Qp-res* $(x \oplus y) n = Qp\text{-}res x n \oplus_{Zp\text{-}res\text{-}ring n} Qp\text{-}res y n$

$\langle proof \rangle$

lemma *Qp-res-mult*:

assumes $x \in \mathcal{O}_p$

assumes $y \in \mathcal{O}_p$

shows *Qp-res* $(x \otimes y) n = Qp\text{-}res x n \otimes_{Zp\text{-}res\text{-}ring n} Qp\text{-}res y n$

$\langle proof \rangle$

lemma *Qp-res-diff*:

assumes $x \in \mathcal{O}_p$

assumes $y \in \mathcal{O}_p$

shows $Qp\text{-res} (x \ominus y) n = Qp\text{-res} x n \ominus_{Zp\text{-res-ring}} Qp\text{-res} y n$

$\langle proof \rangle$

lemma *Qp-res-zero*:

shows $Qp\text{-res } \mathbf{0} n = 0$

$\langle proof \rangle$

lemma *Qp-res-one*:

assumes $n > 0$

shows $Qp\text{-res } \mathbf{1} n = (1::int)$

$\langle proof \rangle$

lemma *Qp-res-nat-inc*:

shows $Qp\text{-res} ((n::nat) \cdot \mathbf{1}) n = n \bmod p \wedge n$

$\langle proof \rangle$

lemma *Qp-res-int-inc*:

shows $Qp\text{-res} ((k::int) \cdot \mathbf{1}) n = k \bmod p \wedge n$

$\langle proof \rangle$

lemma *Qp-poly-res-monom*:

assumes $a \in \mathcal{O}_p$

assumes $x \in \mathcal{O}_p$

assumes $Qp\text{-res } a n = 0$

assumes $k > 0$

shows $Qp\text{-res} (\text{up-ring.monom } (\text{UP } Q_p) a k \cdot x) n = 0$

$\langle proof \rangle$

lemma *Qp-poly-res-zero*:

assumes $q \in \text{carrier } (\text{UP } Q_p)$

assumes $\bigwedge i. q i \in \mathcal{O}_p$

assumes $\bigwedge i. Qp\text{-res } (q i) n = 0$

assumes $x \in \mathcal{O}_p$

shows $Qp\text{-res } (q \cdot x) n = 0$

$\langle proof \rangle$

lemma *Qp-poly-res-eval-0*:

assumes $f \in \text{carrier } (\text{UP } Q_p)$

assumes $g \in \text{carrier } (\text{UP } Q_p)$

assumes $x \in \mathcal{O}_p$

assumes $\bigwedge i. f i \in \mathcal{O}_p$

assumes $\bigwedge i. g i \in \mathcal{O}_p$

assumes $\bigwedge i. Qp\text{-res } (f i) n = Qp\text{-res } (g i) n$

shows $Qp\text{-res } (f \cdot x) n = Qp\text{-res } (g \cdot x) n$

$\langle proof \rangle$

lemma *Qp-poly-res-eval-1*:
assumes $f \in carrier (UP Q_p)$
assumes $x \in \mathcal{O}_p$
assumes $y \in \mathcal{O}_p$
assumes $\bigwedge i. f i \in \mathcal{O}_p$
assumes $Qp\text{-res } x n = Qp\text{-res } y n$
shows $Qp\text{-res } (f \cdot x) n = Qp\text{-res } (f \cdot y) n$
 $\langle proof \rangle$

lemma *Qp-poly-res-eval-2*:
assumes $f \in carrier (UP Q_p)$
assumes $g \in carrier (UP Q_p)$
assumes $x \in \mathcal{O}_p$
assumes $y \in \mathcal{O}_p$
assumes $\bigwedge i. f i \in \mathcal{O}_p$
assumes $\bigwedge i. g i \in \mathcal{O}_p$
assumes $\bigwedge i. Qp\text{-res } (f i) n = Qp\text{-res } (g i) n$
assumes $Qp\text{-res } x n = Qp\text{-res } y n$
shows $Qp\text{-res } (f \cdot x) n = Qp\text{-res } (g \cdot y) n$
 $\langle proof \rangle$

definition *poly-res-class* **where**
 $poly\text{-res-class } n d f = \{q \in carrier (UP Q_p). \deg Q_p q \leq d \wedge (\forall i. q i \in \mathcal{O}_p \wedge Qp\text{-res } (f i) n = Qp\text{-res } (q i) n)\}$

lemma *poly-res-class-closed*:
assumes $f \in carrier (UP Q_p)$
assumes $g \in carrier (UP Q_p)$
assumes $\deg Q_p f \leq d$
assumes $\deg Q_p g \leq d$
assumes $g \in poly\text{-res-class } n d f$
shows $poly\text{-res-class } n d f = poly\text{-res-class } n d g$
 $\langle proof \rangle$

lemma *poly-res-class-memE*:
assumes $f \in poly\text{-res-class } n d g$
shows $f \in carrier (UP Q_p)$
 $\deg Q_p f \leq d$
 $f i \in \mathcal{O}_p$
 $Qp\text{-res } (g i) n = Qp\text{-res } (f i) n$
 $\langle proof \rangle$

definition *val-ring-polys* **where**
 $val\text{-ring-polys} = \{f \in carrier (UP Q_p). (\forall i. f i \in \mathcal{O}_p)\}$

lemma *val-ring-polys-closed*:
 $val\text{-ring-polys} \subseteq carrier (UP Q_p)$

$\langle proof \rangle$

lemma *val-ring-polys-memI*:
 assumes $f \in \text{carrier}(\text{UP } Q_p)$
 assumes $\bigwedge i. f_i \in \mathcal{O}_p$
 shows $f \in \text{val-ring-polys}$
 $\langle proof \rangle$

lemma *val-ring-polys-memE*:
 assumes $f \in \text{val-ring-polys}$
 shows $f \in \text{carrier}(\text{UP } Q_p)$
 $f_i \in \mathcal{O}_p$
 $\langle proof \rangle$

definition *val-ring-polys-grad* **where**
val-ring-polys-grad $d = \{f \in \text{val-ring-polys}. \deg Q_p f \leq d\}$

lemma *val-ring-polys-grad-closed*:
val-ring-polys-grad $d \subseteq \text{val-ring-polys}$
 $\langle proof \rangle$

lemma *val-ring-polys-grad-closed'*:
val-ring-polys-grad $d \subseteq \text{carrier}(\text{UP } Q_p)$
 $\langle proof \rangle$

lemma *val-ring-polys-grad-memI*:
 assumes $f \in \text{carrier}(\text{UP } Q_p)$
 assumes $\bigwedge i. f_i \in \mathcal{O}_p$
 assumes $\deg Q_p f \leq d$
 shows $f \in \text{val-ring-polys-grad } d$
 $\langle proof \rangle$

lemma *val-ring-polys-grad-memE*:
 assumes $f \in \text{val-ring-polys-grad } d$
 shows $f \in \text{carrier}(\text{UP } Q_p)$
 $\deg Q_p f \leq d$
 $f_i \in \mathcal{O}_p$
 $\langle proof \rangle$

lemma *poly-res-classes-in-val-ring-polys-grad*:
 assumes $f \in \text{val-ring-polys-grad } d$
 shows *poly-res-class* $n d f \subseteq \text{val-ring-polys-grad } d$
 $\langle proof \rangle$

lemma *poly-res-class-disjoint*:
 assumes $f \in \text{val-ring-polys-grad } d$
 assumes $f \notin \text{poly-res-class } n d g$
 shows $\text{poly-res-class } n d f \cap \text{poly-res-class } n d g = \{\}$
 $\langle proof \rangle$

```

lemma poly-res-class-refl:
  assumes  $f \in \text{val-ring-polys-grad } d$ 
  shows  $f \in \text{poly-res-class } n \ d \ f$ 
   $\langle \text{proof} \rangle$ 

lemma poly-res-class-memI:
  assumes  $f \in \text{carrier } (\text{UP } Q_p)$ 
  assumes  $\deg_{Q_p} f \leq d$ 
  assumes  $\bigwedge i. f i \in \mathcal{O}_p$ 
  assumes  $\bigwedge i. Qp\text{-res } (f i) \ n = Qp\text{-res } (g i) \ n$ 
  shows  $f \in \text{poly-res-class } n \ d \ g$ 
   $\langle \text{proof} \rangle$ 

definition poly-res-classes where
  poly-res-classes  $n \ d = \text{poly-res-class } n \ d \ ` \text{val-ring-polys-grad } d$ 

lemma poly-res-classes-disjoint:
  assumes  $A \in \text{poly-res-classes } n \ d$ 
  assumes  $B \in \text{poly-res-classes } n \ d$ 
  assumes  $g \in A - B$ 
  shows  $A \cap B = \{\}$ 
   $\langle \text{proof} \rangle$ 

definition int-fun-to-poly where
  int-fun-to-poly  $(f :: \text{nat} \Rightarrow \text{int}) \ i = [(f i)] \cdot \mathbf{1}$ 

lemma int-fun-to-poly-closed:
  assumes  $\bigwedge i. i > d \implies f i = 0$ 
  shows  $\text{int-fun-to-poly } f \in \text{carrier } (\text{UP } Q_p)$ 
   $\langle \text{proof} \rangle$ 

lemma int-fun-to-poly-deg:
  assumes  $\bigwedge i. i > d \implies f i = 0$ 
  shows  $\deg_{Q_p} (\text{int-fun-to-poly } f) \leq d$ 
   $\langle \text{proof} \rangle$ 

lemma Qp-res-mod-triv:
  assumes  $a \in \mathcal{O}_p$ 
  shows  $Qp\text{-res } a \ n \ \text{mod } p \ ^\wedge n = Qp\text{-res } a \ n$ 
   $\langle \text{proof} \rangle$ 

lemma int-fun-to-poly-is-class-wit:
  assumes  $f \in \text{poly-res-class } n \ d \ g$ 
  shows  $(\text{int-fun-to-poly } (\lambda i :: \text{nat}. Qp\text{-res } (f i) \ n)) \in \text{poly-res-class } n \ d \ g$ 
   $\langle \text{proof} \rangle$ 

lemma finite-support-funs-finite:
  finite  $((\{..d\} \rightarrow \text{carrier } (\text{Zp-res-ring } n)) \cap \{(f :: \text{nat} \Rightarrow \text{int}). \forall i > d. f i = 0\})$ 

```

$\langle proof \rangle$

lemma *poly-res-classes-finite*:
finite (*poly-res-classes* *n d*)
 $\langle proof \rangle$

lemma *Qp-res-eq-zeroI*:
assumes *a* $\in \mathcal{O}_p$
assumes *val a* $\geq n$
shows *Qp-res a n* = 0
 $\langle proof \rangle$

lemma *Qp-res-eqI*:
assumes *a* $\in \mathcal{O}_p$
assumes *b* $\in \mathcal{O}_p$
assumes *Qp-res (a ⊕ b) n* = 0
shows *Qp-res a n* = *Qp-res b n*
 $\langle proof \rangle$

lemma *Qp-res-eqI'*:
assumes *a* $\in \mathcal{O}_p$
assumes *b* $\in \mathcal{O}_p$
assumes *val (a ⊕ b)* $\geq n$
shows *Qp-res a n* = *Qp-res b n*
 $\langle proof \rangle$

lemma *Qp-res-eqE*:
assumes *a* $\in \mathcal{O}_p$
assumes *b* $\in \mathcal{O}_p$
assumes *Qp-res a n* = *Qp-res b n*
shows *val (a ⊕ b)* $\geq n$
 $\langle proof \rangle$

lemma *notin-closed*:
 $(\neg ((c::eint) \leq x \wedge x \leq d)) = (x < c \vee d < x)$
 $\langle proof \rangle$

lemma *Qp-res-neqI*:
assumes *a* $\in \mathcal{O}_p$
assumes *b* $\in \mathcal{O}_p$
assumes *val (a ⊕ b)* $< n$
shows *Qp-res a n* ≠ *Qp-res b n*
 $\langle proof \rangle$

lemma *Qp-res-equal*:
assumes *a* $\in \mathcal{O}_p$
assumes *l* = *Qp-res a n*
shows *Qp-res a n* = *Qp-res ([l]·1) n*
 $\langle proof \rangle$

```

definition Qp-res-class where
Qp-res-class n b = {a ∈ Op. Qp-res a n = Qp-res b n}

definition Qp-res-classes where
Qp-res-classes n = Qp-res-class n ` Op

lemma val-ring-int-inc-closed:
[(k::int)]·1 ∈ Op
⟨proof⟩

lemma val-ring-nat-inc-closed:
[(k::nat)]·1 ∈ Op
⟨proof⟩

lemma Qp-res-classes-wits:
Qp-res-classes n = (λl::int. Qp-res-class n ([l]·1)) ` (carrier (Zp-res-ring n))
⟨proof⟩

lemma Qp-res-classes-finite:
finite (Qp-res-classes n)
⟨proof⟩

definition Qp-cong-set where
Qp-cong-set α a = {x ∈ Op. to-Zp x α = a α}

lemma Qp-cong-set-as-ball:
assumes a ∈ carrier Zp
assumes a α = 0
shows Qp-cong-set α a = Bα[0]
⟨proof⟩

lemma Qp-cong-set-as-ball':
assumes a ∈ carrier Zp
assumes val-Zp a < eint (int α)
shows Qp-cong-set α a = Bα[(ι a)]
⟨proof⟩

lemma Qp-cong-set-is-univ-semialgebraic:
assumes a ∈ carrier Zp
shows is-univ-semialgebraic (Qp-cong-set α a)
⟨proof⟩

lemma constant-res-set-semialg:
assumes l ∈ carrier (Zp-res-ring n)
shows is-univ-semialgebraic {x ∈ Op. Qp-res x n = l}
⟨proof⟩

end

```

```

end
theory Padic-Semialgebraic-Function-Ring
  imports Padic-Field-Powers
begin

```

14 Rings of Semialgebraic Functions

In order to efficiently formalize Denev's proof of Macintyre's theorem, it is necessary to be able to reason about semialgebraic functions algebraically. For example, we need to consider polynomials in one variable whose coefficients are semialgebraic functions, and take their Taylor expansions centered at a semialgebraic function. To facilitate this kind of reasoning, it is necessary to construct, for each arity m , a ring $\text{SA}(m)$ of semialgebraic functions in m variables. These functions must be extensional functions which are undefined outside of the carrier set of \mathbb{Q}_p^m .

The developments in this theory are mainly lemmas and definitions which build the necessary theory to prove the cell decomposition theorems of [1], and finally Macintyre's Theorem, which says that semi-algebraic sets are closed under projections.

14.1 Some eint Arithmetic

```

context padic-fields
begin

lemma eint-minus-ineq':
  assumes a ≤ eint N
  assumes b - a ≤ c
  shows b - eint N ≤ c
  ⟨proof⟩

lemma eint-minus-plus':
  a - (eint b + eint c) = a - eint b - eint c
  ⟨proof⟩

lemma eint-minus-plus'':
  a - (eint b + eint c) = a - eint c - eint b
  ⟨proof⟩

lemma eint-minus-plus''':
  a - eint c - eint b = eint f
  shows a - eint c - eint f = eint b
  ⟨proof⟩

lemma uminus-involutive[simp]:
  -(-x::eint) = x

```

$\langle proof \rangle$

lemma *eint-minus*:

$(a::eint) - (b::eint) = a + (-b)$

$\langle proof \rangle$

lemma *eint-mult-Suc*:

$eint (Suc k) * a = eint k * a + a$

$\langle proof \rangle$

lemma *eint-mult-Suc-mono*:

assumes $a \leq eint b \rightarrow eint (int k) * a \leq eint (int k) * eint b$

shows $a \leq eint b \rightarrow eint (int (Suc k)) * a \leq eint (int (Suc k)) * eint b$

$\langle proof \rangle$

lemma *eint-nat-mult-mono*:

assumes $(a::eint) \leq b$

shows $eint (k::nat)*a \leq eint k*b$

$\langle proof \rangle$

lemma *eint-Suc-zero*:

$eint (int (Suc 0)) * a = a$

$\langle proof \rangle$

lemma *eint-add-mono*:

assumes $(a::eint) \leq b$

assumes $(c::eint) \leq d$

shows $a + c \leq b + d$

$\langle proof \rangle$

lemma *eint-nat-mult-mono-rev*:

assumes $k > 0$

assumes $eint (k::nat)*a \leq eint k*b$

shows $(a::eint) \leq b$

$\langle proof \rangle$

14.2 Lemmas on Function Ring Operations

lemma *Qp-funs-is-cring*:

cring (*Fun_n Q_p*)

$\langle proof \rangle$

lemma *Qp-funs-is-monoid*:

monoid (*Fun_n Q_p*)

$\langle proof \rangle$

lemma *Qp-funs-car-memE*:

assumes $f \in carrier (\text{Fun}_n Q_p)$

shows $f \in (carrier (Q_p^n)) \rightarrow (carrier Q_p)$

$\langle proof \rangle$

lemma $Qp\text{-}fun\text{-}car\text{-}memI$:

assumes $g \in carrier (Q_p^n) \rightarrow carrier Q_p$
assumes $\bigwedge x. x \notin (carrier (Q_p^n)) \implies g x = undefined$
shows $g \in carrier (Fun_n Q_p)$

$\langle proof \rangle$

lemma $Qp\text{-}fun\text{-}car\text{-}memI'$:

assumes $g \in carrier (Q_p^n) \rightarrow carrier Q_p$
assumes $restrict g (carrier (Q_p^n)) = g$
shows $g \in carrier (Fun_n Q_p)$

$\langle proof \rangle$

lemma $Qp\text{-}fun\text{-}car\text{-}memI''$:

assumes $f \in carrier (Q_p^n) \rightarrow carrier Q_p$
assumes $g = (\lambda x \in (carrier (Q_p^n)). f x)$
shows $g \in carrier (Fun_n Q_p)$

$\langle proof \rangle$

lemma $Qp\text{-}fun\text{-}one$:

$1_{Fun_n Q_p} = (\lambda x \in carrier (Q_p^n). 1)$

$\langle proof \rangle$

lemma $Qp\text{-}fun\text{-}zero$:

$0_{Fun_n Q_p} = (\lambda x \in carrier (Q_p^n). 0_{Q_p})$

$\langle proof \rangle$

lemma $Qp\text{-}fun\text{-}add$:

assumes $x \in carrier (Q_p^n)$
assumes $f \in (carrier (Q_p^n)) \rightarrow carrier Q_p$
assumes $g \in (carrier (Q_p^n)) \rightarrow carrier Q_p$
shows $(f \oplus_{Fun_n Q_p} g) x = f x \oplus_{Q_p} g x$

$\langle proof \rangle$

lemma $Qp\text{-}fun\text{-}add'$:

assumes $x \in carrier (Q_p^n)$
assumes $f \in (carrier (Fun_n Q_p))$
assumes $g \in (carrier (Fun_n Q_p))$
shows $(f \oplus_{Fun_n Q_p} g) x = f x \oplus_{Q_p} g x$

$\langle proof \rangle$

lemma $Qp\text{-}fun\text{-}add''$:

assumes $f \in (carrier (Fun_n Q_p))$
assumes $g \in (carrier (Fun_n Q_p))$
shows $(f \oplus_{Fun_n Q_p} g) = (\lambda x \in carrier (Q_p^n). f x \oplus_{Q_p} g x)$

$\langle proof \rangle$

lemma $Qp\text{-}fun\text{-}add'''$:

assumes $x \in \text{carrier } (Q_p^n)$
shows $(f \oplus_{\text{Fun}_n Q_p} g) x = f x \oplus_{Q_p} g x$
 $\langle proof \rangle$

lemma $Qp\text{-fun}s\text{-mult}$:

assumes $x \in \text{carrier } (Q_p^n)$
assumes $f \in (\text{carrier } (Q_p^n)) \rightarrow \text{carrier } Q_p$
assumes $g \in (\text{carrier } (Q_p^n)) \rightarrow \text{carrier } Q_p$
shows $(f \otimes_{\text{Fun}_n Q_p} g) x = f x \otimes g x$
 $\langle proof \rangle$

lemma $Qp\text{-fun}s\text{-mult}'$:

assumes $x \in \text{carrier } (Q_p^n)$
assumes $f \in (\text{carrier } (\text{Fun}_n Q_p))$
assumes $g \in (\text{carrier } (\text{Fun}_n Q_p))$
shows $(f \otimes_{\text{Fun}_n Q_p} g) x = f x \otimes g x$
 $\langle proof \rangle$

lemma $Qp\text{-fun}s\text{-mult}''$:

assumes $f \in (\text{carrier } (\text{Fun}_n Q_p))$
assumes $g \in (\text{carrier } (\text{Fun}_n Q_p))$
shows $(f \otimes_{\text{Fun}_n Q_p} g) = (\lambda x \in \text{carrier } (Q_p^n). f x \otimes g x)$
 $\langle proof \rangle$

lemma $Qp\text{-fun}s\text{-mult}'''$:

assumes $x \in \text{carrier } (Q_p^n)$
shows $(f \otimes_{\text{Fun}_n Q_p} g) x = f x \otimes g x$
 $\langle proof \rangle$

lemma $Qp\text{-fun}s\text{-a-inv}$:

assumes $x \in \text{carrier } (Q_p^n)$
assumes $f \in (\text{carrier } (\text{Fun}_n Q_p))$
shows $(\ominus_{\text{Fun}_n Q_p} f) x = \ominus (f x)$
 $\langle proof \rangle$

lemma $Qp\text{-fun}s\text{-a-inv}'$:

assumes $f \in (\text{carrier } (\text{Fun}_n Q_p))$
shows $(\ominus_{\text{Fun}_n Q_p} f) = (\lambda x \in \text{carrier } (Q_p^n). \ominus (f x))$
 $\langle proof \rangle$

abbreviation (*input*) $Qp\text{-const } (\langle c \dashv \rangle)$ **where**

$Qp\text{-const } n \ c \equiv \text{constant-function } (\text{carrier } (Q_p^n)) \ c$

lemma $Qp\text{-constE}$:

assumes $c \in \text{carrier } Q_p$
assumes $x \in \text{carrier } (Q_p^n)$
shows $Qp\text{-const } n \ c \ x = c$
 $\langle proof \rangle$

```

lemma Qp-funs-Units-memI:
  assumes  $f \in (\text{carrier } (\text{Fun}_n Q_p))$ 
  assumes  $\bigwedge x. x \in \text{carrier } (Q_p^n) \implies f x \neq \mathbf{0}_{Q_p}$ 
  shows  $f \in (\text{Units } (\text{Fun}_n Q_p))$ 
     $\text{inv}_{\text{Fun}_n Q_p} f = (\lambda x \in \text{carrier } (Q_p^n). \text{inv}_{Q_p} (f x))$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma Qp-funs-Units-memE:
  assumes  $f \in (\text{Units } (\text{Fun}_n Q_p))$ 
  shows  $f \otimes_{\text{Fun}_n Q_p} \text{inv}_{\text{Fun}_n Q_p} f = \mathbf{1}_{\text{Fun}_n Q_p}$ 
     $\text{inv}_{\text{Fun}_n Q_p} f \otimes_{\text{Fun}_n Q_p} f = \mathbf{1}_{\text{Fun}_n Q_p}$ 
     $\bigwedge x. x \in \text{carrier } (Q_p^n) \implies f x \neq \mathbf{0}_{Q_p}$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma Qp-funs-m-inv:
  assumes  $x \in \text{carrier } (Q_p^n)$ 
  assumes  $f \in (\text{Units } (\text{Fun}_n Q_p))$ 
  shows  $(\text{inv}_{\text{Fun}_n Q_p} f) x = \text{inv}_{Q_p} (f x)$ 
   $\langle \text{proof} \rangle$ 

```

14.3 Defining the Rings of Semialgebraic Functions

```

definition semialg-functions where
  semialg-functions  $n = \{f \in (\text{carrier } (Q_p^n)) \rightarrow \text{carrier } Q_p. \text{is-semialg-function } n f$ 
   $\wedge f = \text{restrict } f (\text{carrier } (Q_p^n))\}$ 

```

```

lemma semialg-functions-memE:
  assumes  $f \in \text{semialg-functions } n$ 
  shows  $\text{is-semialg-function } n f$ 
     $f \in \text{carrier } (\text{Fun}_n Q_p)$ 
     $f \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma semialg-functions-in-Qp-funs:
  semialg-functions  $n \subseteq \text{carrier } (\text{Fun}_n Q_p)$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma semialg-functions-memI:
  assumes  $f \in \text{carrier } (\text{Fun}_n Q_p)$ 
  assumes  $\text{is-semialg-function } n f$ 
  shows  $f \in \text{semialg-functions } n$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma restrict-is-semialg:
  assumes  $\text{is-semialg-function } n f$ 
  shows  $\text{is-semialg-function } n (\text{restrict } f (\text{carrier } (Q_p^n)))$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma restrict-in-semialg-functions:
  assumes is-semialg-function n f
  shows (restrict f (carrier (Qpn))) ∈ semialg-functions n
  ⟨proof⟩

lemma constant-function-is-semialg:
  assumes a ∈ carrier Qp
  shows is-semialg-function n (constant-function (carrier (Qpn)) a)
  ⟨proof⟩

lemma constant-function-in-semialg-functions:
  assumes a ∈ carrier Qp
  shows Qp-const n a ∈ semialg-functions n
  ⟨proof⟩

lemma function-one-as-constant:
  1Funn Qp = Qp-const n 1
  ⟨proof⟩

lemma function-zero-as-constant:
  0Funn Qp = Qp-const n 0Qp
  ⟨proof⟩

lemma sum-in-semialg-functions:
  assumes f ∈ semialg-functions n
  assumes g ∈ semialg-functions n
  shows f ⊕Funn Qp g ∈ semialg-functions n
  ⟨proof⟩

lemma prod-in-semialg-functions:
  assumes f ∈ semialg-functions n
  assumes g ∈ semialg-functions n
  shows f ⊗Funn Qp g ∈ semialg-functions n
  ⟨proof⟩

lemma inv-in-semialg-functions:
  assumes f ∈ semialg-functions n
  assumes ⋀ x. x ∈ carrier (Qpn) ⇒ f x ≠ 0Qp
  shows invFunn Qp f ∈ semialg-functions n
  ⟨proof⟩

lemma a-inv-in-semialg-functions:
  assumes f ∈ semialg-functions n
  shows ⊖Funn Qp f ∈ semialg-functions n
  ⟨proof⟩

lemma semialg-functions-subring:
  shows subring (semialg-functions n) (Funn Qp)
  ⟨proof⟩

```

```

lemma semialg-functions-subring:
  shows subring (semialg-functions n) (Funn Qp)
  ⟨proof⟩

definition SA where
  SA n = (Funn Qp)⟨ carrier := semialg-functions n ⟩

lemma SA-is-ring:
  shows ring (SA n)
  ⟨proof⟩

lemma SA-is-cring:
  shows cring (SA n)
  ⟨proof⟩

lemma SA-is-monoid:
  shows monoid (SA n)
  ⟨proof⟩

lemma SA-is-abelian-monoid:
  shows abelian-monoid (SA n)
  ⟨proof⟩

lemma SA-car:
  carrier (SA n) = semialg-functions n
  ⟨proof⟩

lemma SA-car-in-Qp-funs-car:
  carrier (SA n) ⊆ carrier (Funn Qp)
  ⟨proof⟩

lemma SA-car-memI:
  assumes f ∈ carrier (Funn Qp)
  assumes is-semialg-function n f
  shows f ∈ carrier (SA n)
  ⟨proof⟩

lemma SA-car-memE:
  assumes f ∈ carrier (SA n)
  shows is-semialg-function n f
    f ∈ carrier (Funn Qp)
    f ∈ carrier (Qpn) → carrier Qp
  ⟨proof⟩

lemma SA-plus:
  (⊕SA n) = (⊕Funn Qp)
  ⟨proof⟩

```

lemma *SA-times*:

$$(\otimes_{SA} n) = (\otimes_{Fun_n Q_p})$$

{proof}

lemma *SA-one*:

$$(\mathbf{1}_{SA} n) = (\mathbf{1}_{Fun_n Q_p})$$

{proof}

lemma *SA-zero*:

$$(\mathbf{0}_{SA} n) = (\mathbf{0}_{Fun_n Q_p})$$

{proof}

lemma *SA-zero-is-function-ring*:

$$(Fun_0 Q_p) = SA \ 0$$

{proof}

lemma *constant-fun-closed*:

assumes $c \in carrier Q_p$

shows *constant-function* (*carrier* (Q_p^m)) $c \in carrier (SA m)$

{proof}

lemma *SA-0-car-memI*:

assumes $\xi \in carrier (Q_p^0) \rightarrow carrier Q_p$

assumes $\bigwedge x. x \notin carrier (Q_p^0) \implies \xi x = undefined$

shows $\xi \in carrier (SA 0)$

{proof}

lemma *car-SA-0-mem-imp-const*:

assumes $a \in carrier (SA 0)$

shows $\exists c \in carrier Q_p. a = Q_p\text{-const } 0 c$

{proof}

lemma *SA-zeroE*:

assumes $a \in carrier (Q_p^n)$

shows $\mathbf{0}_{SA n} a = \mathbf{0}$

{proof}

lemma *SA-oneE*:

assumes $a \in carrier (Q_p^n)$

shows $\mathbf{1}_{SA n} a = \mathbf{1}$

{proof}

end

sublocale *padic-fields* < *UPSA*? : *UP-cring* *SA m UP* (*SA m*)

{proof}

context *padic-fields*

begin

```

lemma SA-add:
  assumes  $x \in \text{carrier } (Q_p^n)$ 
  shows  $(f \oplus_{SA} n g) x = fx \oplus_{Q_p} g x$ 
   $\langle proof \rangle$ 

lemma SA-add':
  assumes  $x \notin \text{carrier } (Q_p^n)$ 
  shows  $(f \oplus_{SA} n g) x = \text{undefined}$ 
   $\langle proof \rangle$ 

lemma SA-mult:
  assumes  $x \in \text{carrier } (Q_p^n)$ 
  shows  $(f \otimes_{SA} n g) x = fx \otimes g x$ 
   $\langle proof \rangle$ 

lemma SA-mult':
  assumes  $x \notin \text{carrier } (Q_p^n)$ 
  shows  $(f \otimes_{SA} n g) x = \text{undefined}$ 
   $\langle proof \rangle$ 

lemma SA-u-minus-eval:
  assumes  $f \in \text{carrier } (SA n)$ 
  assumes  $x \in \text{carrier } (Q_p^n)$ 
  shows  $(\ominus_{SA} n f) x = \ominus(f x)$ 
   $\langle proof \rangle$ 

lemma SA-a-inv-eval:
  assumes  $f \in \text{carrier } (SA n)$ 
  assumes  $x \in \text{carrier } (Q_p^n)$ 
  shows  $(\ominus_{SA} n f) x = \ominus(f x)$ 
   $\langle proof \rangle$ 

lemma SA-nat-pow:
  assumes  $x \in \text{carrier } (Q_p^n)$ 
  shows  $(f [\lceil_{SA} n (k::nat)]) x = (fx) [\lceil_{Q_p} k$ 
   $\langle proof \rangle$ 

lemma SA-nat-pow':
  assumes  $x \notin \text{carrier } (Q_p^n)$ 
  shows  $(f [\lceil_{SA} n (k::nat)]) x = \text{undefined}$ 
   $\langle proof \rangle$ 

lemma SA-add-closed-id:
  assumes  $\text{is-semialg-function } n f$ 
  assumes  $\text{is-semialg-function } n g$ 
  shows  $\text{restrict } f (\text{carrier } (Q_p^n)) \oplus_{SA} n \text{restrict } g (\text{carrier } (Q_p^n)) = f \oplus_{SA} n g$ 
   $\langle proof \rangle$ 

lemma SA-mult-closed-id:

```

```

assumes is-semialg-function n f
assumes is-semialg-function n g
shows restrict f (carrier (Qpn)) ⊗SA n restrict g (carrier (Qpn)) = f ⊗SA n g
⟨proof⟩

lemma SA-add-closed:
assumes is-semialg-function n f
assumes is-semialg-function n g
shows f ⊕SA n g ∈ carrier (SA n)
⟨proof⟩

lemma SA-mult-closed:
assumes is-semialg-function n f
assumes is-semialg-function n g
shows f ⊗SA n g ∈ carrier (SA n)
⟨proof⟩

lemma SA-add-closed-right:
assumes is-semialg-function n f
assumes g ∈ carrier (SA n)
shows f ⊕SA n g ∈ carrier (SA n)
⟨proof⟩

lemma SA-mult-closed-right:
assumes is-semialg-function n f
assumes g ∈ carrier (SA n)
shows f ⊗SA n g ∈ carrier (SA n)
⟨proof⟩

lemma SA-add-closed-left:
assumes f ∈ carrier (SA n)
assumes is-semialg-function n g
shows f ⊕SA n g ∈ carrier (SA n)
⟨proof⟩

lemma SA-mult-closed-left:
assumes f ∈ carrier (SA n)
assumes is-semialg-function n g
shows f ⊗SA n g ∈ carrier (SA n)
⟨proof⟩

lemma SA-nat-pow-closed:
assumes is-semialg-function n f
shows f [↑]SA n (k::nat) ∈ carrier (SA n)
⟨proof⟩

lemma SA-imp-semialg:
assumes f ∈ carrier (SA n)
shows is-semialg-function n f

```

$\langle proof \rangle$

lemma *SA-minus-closed*:

assumes $f \in \text{carrier } (\text{SA } n)$
assumes $g \in \text{carrier } (\text{SA } n)$
shows $(f \ominus_{\text{SA } n} g) \in \text{carrier } (\text{SA } n)$
 $\langle proof \rangle$

lemma(in ring) *add-pow-closed* :

assumes $b \in \text{carrier } R$
shows $[(m::nat)] \cdot_R b \in \text{carrier } R$
 $\langle proof \rangle$

lemma(in ring) *add-pow-Suc*:

assumes $b \in \text{carrier } R$
shows $[(\text{Suc } m)] \cdot b = [m] \cdot b \oplus b$
 $\langle proof \rangle$

lemma(in ring) *add-pow-zero*:

assumes $b \in \text{carrier } R$
shows $[(0::nat)] \cdot b = \mathbf{0}$
 $\langle proof \rangle$

lemma *Fun-add-pow-apply*:

assumes $b \in \text{carrier } (\text{Fun}_n Q_p)$
assumes $a \in \text{carrier } (Q_p^n)$
shows $[(m::nat)] \cdot_{\text{Fun}_n Q_p} b \ a = [m] \cdot (b \ a)$
 $\langle proof \rangle$

lemma *SA-add-pow-apply*:

assumes $b \in \text{carrier } (\text{SA } n)$
assumes $a \in \text{carrier } (Q_p^n)$
shows $[(m::nat)] \cdot_{\text{SA } n} b \ a = [m] \cdot (b \ a)$
 $\langle proof \rangle$

lemma *Qp-funs-Units-SA-Units*:

assumes $f \in \text{Units } (\text{Fun}_n Q_p)$
assumes *is-semialg-function* $n f$
shows $f \in \text{Units } (\text{SA } n)$
 $\langle proof \rangle$

lemma *SA-Units-memE*:

assumes $f \in (\text{Units } (\text{SA } n))$
shows $f \otimes_{\text{SA } n} \text{inv}_{\text{SA } n} f = \mathbf{1}_{\text{SA } n}$
 $\text{inv}_{\text{SA } n} f \otimes_{\text{SA } n} f = \mathbf{1}_{\text{SA } n}$
 $\langle proof \rangle$

lemma *SA-Units-closed*:

assumes $f \in (\text{Units } (\text{SA } n))$

shows $f \in \text{carrier}(\text{SA } n)$
 $\langle \text{proof} \rangle$

lemma $\text{SA-Units-inv-closed}$:
assumes $f \in (\text{Units}(\text{SA } n))$
shows $\text{inv}_{\text{SA } n} f \in \text{carrier}(\text{SA } n)$
 $\langle \text{proof} \rangle$

lemma $\text{SA-Units-Qp-funs-Units}$:
assumes $f \in (\text{Units}(\text{SA } n))$
shows $f \in (\text{Units}(\text{Fun}_n Q_p))$
 $\langle \text{proof} \rangle$

lemma $\text{SA-Units-Qp-funs-inv}$:
assumes $f \in (\text{Units}(\text{SA } n))$
shows $\text{inv}_{\text{SA } n} f = \text{inv}_{\text{Fun}_n Q_p} f$
 $\langle \text{proof} \rangle$

lemma SA-Units-memI :
assumes $f \in (\text{carrier}(\text{SA } n))$
assumes $\bigwedge x. x \in \text{carrier}(Q_p^n) \implies f x \neq \mathbf{0}_{Q_p}$
shows $f \in (\text{Units}(\text{SA } n))$
 $\langle \text{proof} \rangle$

lemma $\text{SA-Units-memE}'$:
assumes $f \in (\text{Units}(\text{SA } n))$
shows $\bigwedge x. x \in \text{carrier}(Q_p^n) \implies f x \neq \mathbf{0}_{Q_p}$
 $\langle \text{proof} \rangle$

lemma Qp-n-nonempty :
shows $\text{carrier}(Q_p^n) \neq \{\}$
 $\langle \text{proof} \rangle$

lemma SA-one-not-zero :
shows $\mathbf{1}_{\text{SA } n} \neq \mathbf{0}_{\text{SA } n}$
 $\langle \text{proof} \rangle$

lemma SA-units-not-zero :
assumes $f \in \text{Units}(\text{SA } n)$
shows $f \neq \mathbf{0}_{\text{SA } n}$
 $\langle \text{proof} \rangle$

lemma SA-Units-nonzero :
assumes $f \in \text{Units}(\text{SA } m)$
assumes $x \in \text{carrier}(Q_p^m)$
shows $f x \in \text{nonzero } Q_p$
 $\langle \text{proof} \rangle$

lemma SA-car-closed :

assumes $f \in \text{carrier } (\text{SA } m)$
assumes $x \in \text{carrier } (Q_p^m)$
shows $f x \in \text{carrier } Q_p$
 $\langle proof \rangle$

lemma *SA-Units-closed-fun*:
assumes $f \in \text{Units } (\text{SA } m)$
assumes $x \in \text{carrier } (Q_p^m)$
shows $f x \in \text{carrier } Q_p$
 $\langle proof \rangle$

lemma *SA-inv-eval*:
assumes $f \in \text{Units } (\text{SA } n)$
assumes $x \in \text{carrier } (Q_p^n)$
shows $(\text{inv}_{\text{SA } n} f) x = \text{inv } (f x)$
 $\langle proof \rangle$

lemma *SA-div-eval*:
assumes $f \in \text{Units } (\text{SA } n)$
assumes $h \in \text{carrier } (\text{SA } n)$
assumes $x \in \text{carrier } (Q_p^n)$
shows $(h \otimes_{\text{SA } n} (\text{inv}_{\text{SA } n} f)) x = h x \otimes \text{inv } (f x)$
 $\langle proof \rangle$

lemma *SA-unit-int-pow*:
assumes $f \in \text{Units } (\text{SA } m)$
assumes $x \in \text{carrier } (Q_p^m)$
shows $(f[\lceil]_{\text{SA } m}(i:\text{int})) x = (f x)[\lceil i$
 $\langle proof \rangle$

lemma *restrict-in-SA-car*:
assumes *is-semialg-function n f*
shows $\text{restrict } f \left(\text{carrier } (Q_p^n) \right) \in \text{carrier } (\text{SA } n)$
 $\langle proof \rangle$

lemma *SA-smult*:
 $(\odot_{\text{SA } n}) = (\odot_{\text{Fun}_n Q_p})$
 $\langle proof \rangle$

lemma *SA-smult-formula*:
assumes $h \in \text{carrier } (\text{SA } n)$
assumes $q \in \text{carrier } Q_p$
assumes $a \in \text{carrier } (Q_p^n)$
shows $(q \odot_{\text{SA } n} h) a = q \otimes (h a)$
 $\langle proof \rangle$

lemma *SA-smult-closed*:
assumes $h \in \text{carrier } (\text{SA } n)$
assumes $q \in \text{carrier } Q_p$

shows $q \odot_{SA} n h \in \text{carrier } (SA\ n)$
 $\langle proof \rangle$

lemma $p\text{-mult-function-val}:$

assumes $f \in \text{carrier } (SA\ m)$
assumes $x \in \text{carrier } (Q_p^m)$
shows $\text{val } ((\mathbf{p} \odot_{SA} mf) x) = \text{val } (f x) + 1$
 $\langle proof \rangle$

lemma $Qp\text{-char-0}'':$

assumes $a \in \text{carrier } Q_p$
assumes $a \neq \mathbf{0}$
assumes $(k::nat) > 0$
shows $[k] \cdot a \neq \mathbf{0}$
 $\langle proof \rangle$

lemma $SA\text{-char-zero}:$

assumes $f \in \text{carrier } (SA\ m)$
assumes $f \neq \mathbf{0}_{SA\ m}$
assumes $n > 0$
shows $[(n::nat)] \cdot_{SA\ m} f \neq \mathbf{0}_{SA\ m}$
 $\langle proof \rangle$

14.4 Defining Semialgebraic Maps

We can define a semialgebraic map in essentially the same way that Denef defines semialgebraic functions. As for functions, we can define the partial pullback of a set $S \subseteq \mathbb{Q}_p^{n+l}$ by a map $g : \mathbb{Q}_p^m \rightarrow \mathbb{Q}_p^n$ to be the set

$$\{(x, y) \in \mathbb{Q}_p^m \times \mathbb{Q}_p^l \mid (f(x), y) \in S\}$$

and say that g is a semialgebraic map if for every l , and every semialgebraic $S \subseteq \mathbb{Q}_p^{n+l}$, the partial pullback of S by g is also semialgebraic. On this definition, it is immediate that the composition $f \circ g$ of a semialgebraic function $f : \mathbb{Q}_p^n \rightarrow \mathbb{Q}$ and a semialgebraic map $g : \mathbb{Q}_p^m \rightarrow \mathbb{Q}_p^n$ is semialgebraic. It is also not hard to show that a map is semialgebraic if and only if all of its coordinate functions are semialgebraic functions. This allows us to build new semialgebraic functions out of old ones via composition.

Generalizing the notion of partial image partial pullbacks from functions to maps:

definition $map\text{-partial-image}$ **where**
 $map\text{-partial-image } m f xs = (f \ (take\ m\ xs)) @ (drop\ m\ xs)$

definition $map\text{-partial-pullback}$ **where**
 $map\text{-partial-pullback } m f l S = (map\text{-partial-image } m f)^{-1}_{m+l} S$

lemma $map\text{-partial-pullback-memE}:$

assumes $as \in \text{map-partial-pullback } m f l S$
shows $as \in \text{carrier } (Q_p^{m+l}) \text{ map-partial-image } m f as \in S$
 $\langle proof \rangle$

lemma *map-partial-pullback-closed*:
 $\text{map-partial-pullback } m f l S \subseteq \text{carrier } (Q_p^{m+l})$
 $\langle proof \rangle$

lemma *map-partial-pullback-memI*:
assumes $as \in \text{carrier } (Q_p^{m+k})$
assumes $(f (\text{take } m as)) @ (\text{drop } m as) \in S$
shows $as \in \text{map-partial-pullback } m f k S$
 $\langle proof \rangle$

lemma *map-partial-image-eq*:
assumes $as \in \text{carrier } (Q_p^n)$
assumes $bs \in \text{carrier } (Q_p^k)$
assumes $x = as @ bs$
shows $\text{map-partial-image } n f x = (f as) @ bs$
 $\langle proof \rangle$

lemma *map-partial-pullback-memE'*:
assumes $as \in \text{carrier } (Q_p^n)$
assumes $bs \in \text{carrier } (Q_p^k)$
assumes $x = as @ bs$
assumes $x \in \text{map-partial-pullback } n f k S$
shows $(f as) @ bs \in S$
 $\langle proof \rangle$

Partial pullbacks have the same algebraic properties as pullbacks.

lemma *map-partial-pullback-intersect*:
 $\text{map-partial-pullback } m f l (S1 \cap S2) = (\text{map-partial-pullback } m f l S1) \cap (\text{map-partial-pullback } m f l S2)$
 $\langle proof \rangle$

lemma *map-partial-pullback-union*:
 $\text{map-partial-pullback } m f l (S1 \cup S2) = (\text{map-partial-pullback } m f l S1) \cup (\text{map-partial-pullback } m f l S2)$
 $\langle proof \rangle$

lemma *map-partial-pullback-complement*:
assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } (Q_p^n)$
shows $\text{map-partial-pullback } m f l (\text{carrier } (Q_p^{n+l}) - S) = \text{carrier } (Q_p^{m+l}) - (\text{map-partial-pullback } m f l S)$
 $\langle proof \rangle$

lemma *map-partial-pullback-carrier*:
assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } (Q_p^n)$
shows $\text{map-partial-pullback } m f l (\text{carrier } (Q_p^{n+l})) = \text{carrier } (Q_p^{m+l})$

$\langle proof \rangle$

definition *is-semialg-map* **where**

is-semialg-map $m\ n\ f = (f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } (Q_p^n)) \wedge$
 $(\forall l \geq 0. \forall S \in \text{semialg-sets } (n + l). \text{is-semialgebraic } (m + l)$
 $(\text{map-partial-pullback } m\ f\ l\ S))$

lemma *is-semialg-map-closed*:

assumes *is-semialg-map* $m\ n\ f$
shows $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } (Q_p^n)$
 $\langle proof \rangle$

lemma *is-semialg-map-closed'*:

assumes *is-semialg-map* $m\ n\ f\ x \in \text{carrier } (Q_p^m)$
shows $f\ x \in \text{carrier } (Q_p^n)$
 $\langle proof \rangle$

lemma *is-semialg-mapE*:

assumes *is-semialg-map* $m\ n\ f$
assumes *is-semialgebraic* $(n + k)\ S$
shows *is-semialgebraic* $(m + k)$ (*map-partial-pullback* $m\ f\ k\ S$)
 $\langle proof \rangle$

lemma *is-semialg-mapE'*:

assumes *is-semialg-map* $m\ n\ f$
assumes *is-semialgebraic* $(n + k)\ S$
shows *is-semialgebraic* $(m + k)$ (*map-partial-image* $m\ f^{-1}_{m+k}\ S$)
 $\langle proof \rangle$

lemma *is-semialg-mapI*:

assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } (Q_p^n)$
assumes $\bigwedge k\ S. S \in \text{semialg-sets } (n + k) \implies \text{is-semialgebraic } (m + k)$ (*map-partial-pullback*
 $m\ f\ k\ S$)
shows *is-semialg-map* $m\ n\ f$
 $\langle proof \rangle$

lemma *is-semialg-mapI'*:

assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } (Q_p^n)$
assumes $\bigwedge k\ S. S \in \text{semialg-sets } (n + k) \implies \text{is-semialgebraic } (m + k)$ (*map-partial-image*
 $m\ f^{-1}_{m+k}\ S$)
shows *is-semialg-map* $m\ n\ f$
 $\langle proof \rangle$

Semialgebraicity for functions can be verified on basic semialgebraic sets.

lemma *is-semialg-mapI''*:

assumes $f \in \text{carrier } (Q_p^m) \rightarrow \text{carrier } (Q_p^n)$
assumes $\bigwedge k\ S. S \in \text{basic-semialgs } (n + k) \implies \text{is-semialgebraic } (m + k)$
(*map-partial-pullback* $m\ f\ k\ S$)
shows *is-semialg-map* $m\ n\ f$

$\langle proof \rangle$

```
lemma is-semialg-mapI'':  
  assumes f ∈ carrier (Qpm) → carrier (Qpn)  
  assumes ⋀k S. S ∈ basic-semialgs (n + k) ⟹ is-semialgebraic (m + k)  
(map-partial-image m f -1m+k S)  
  shows is-semialg-map m n f  
  ⟨proof⟩  
  
lemma id-is-semialg-map:  
  is-semialg-map n n (λ x. x)  
  ⟨proof⟩  
  
lemma map-partial-pullback-comp:  
  assumes is-semialg-map m n f  
  assumes is-semialg-map k m g  
  shows (map-partial-pullback k (f ∘ g) l S) = (map-partial-pullback k g l (map-partial-pullback  
m f l S))  
  ⟨proof⟩  
  
lemma semialg-map-comp-closed:  
  assumes is-semialg-map m n f  
  assumes is-semialg-map k m g  
  shows is-semialg-map k n (f ∘ g)  
  ⟨proof⟩  
  
lemma partial-pullback-comp:  
  assumes is-semialg-function m f  
  assumes is-semialg-map k m g  
  shows (partial-pullback k (f ∘ g) l S) = (map-partial-pullback k g l (partial-pullback  
m f l S))  
  ⟨proof⟩  
  
lemma semialg-function-comp-closed:  
  assumes is-semialg-function m f  
  assumes is-semialg-map k m g  
  shows is-semialg-function k (f ∘ g)  
  ⟨proof⟩  
  
lemma semialg-map-evimage-is-semialg:  
  assumes is-semialg-map k m g  
  assumes is-semialgebraic m S  
  shows is-semialgebraic k (g -1k S)  
  ⟨proof⟩
```

14.5 Examples of Semialgebraic Maps

```
lemma semialg-map-on-carrier:  
  assumes is-semialg-map n m f
```

assumes $\text{restrict } f \ (\text{carrier } (Q_p^n)) = \text{restrict } g \ (\text{carrier } (Q_p^n))$
shows $\text{is-semialg-map } n \ m \ g$
 $\langle proof \rangle$

lemma $\text{semialg-function-tuple-is-semialg-map}:$
assumes $\text{is-semialg-function-tuple } m \ fs$
assumes $\text{length } fs = n$
shows $\text{is-semialg-map } m \ n \ (\text{function-tuple-eval } Q_p \ m \ fs)$
 $\langle proof \rangle$

lemma $\text{index-is-semialg-function}:$
assumes $n > k$
shows $\text{is-semialg-function } n \ (\lambda \text{as. as!k})$
 $\langle proof \rangle$

definition $Qp\text{-ith}$ **where**
 $Qp\text{-ith } m \ i = (\lambda x \in \text{carrier } (Q_p^m). \ x!i)$

lemma $Qp\text{-ith-closed}:$
assumes $i < m$
shows $Qp\text{-ith } m \ i \in \text{carrier } (SA \ m)$
 $\langle proof \rangle$

lemma $\text{take-is-semialg-map}:$
assumes $n \geq k$
shows $\text{is-semialg-map } n \ k \ (\text{take } k)$
 $\langle proof \rangle$

lemma $\text{drop-is-semialg-map}:$
shows $\text{is-semialg-map } (k + n) \ n \ (\text{drop } k)$
 $\langle proof \rangle$

lemma $\text{project-at-indices-is-semialg-map}:$
assumes $S \subseteq \{\dots < n\}$
shows $\text{is-semialg-map } n \ (\text{card } S) \ \pi_S$
 $\langle proof \rangle$

lemma $\text{tl-is-semialg-map}:$
shows $\text{is-semialg-map } (\text{Suc } n) \ n \ \text{tl}$
 $\langle proof \rangle$

Coordinate functions are semialgebraic maps.

lemma $\text{coord-fun-is-SA}:$
assumes $\text{is-semialg-map } n \ m \ g$
assumes $i < m$
shows $\text{coord-fun } Q_p \ n \ g \ i \in \text{carrier } (SA \ n)$
 $\langle proof \rangle$

lemma $\text{coord-fun-map-is-semialg-tuple}:$

```

assumes is-semialg-map n m g
shows is-semialg-function-tuple n (map (coord-fun Qp n g) [0..<m])
⟨proof⟩

```

```

lemma semialg-map-cons:
assumes is-semialg-map n m g
assumes f ∈ carrier (SA n)
shows is-semialg-map n (Suc m) ( $\lambda x \in \text{carrier} (Q_p^n). f x \# g x$ )
⟨proof⟩

```

Extensional Semialgebraic Maps:

```

definition semialg-maps where
semialg-maps n m ≡ {f. is-semialg-map n m f ∧ f ∈ struct-maps (Qpn) (Qpm)} 

```

```

lemma semialg-mapsE:
assumes f ∈ (semialg-maps n m)
shows is-semialg-map n m f
f ∈ struct-maps (Qpn) (Qpm)
f ∈ carrier (Qpn) → carrier (Qpm)
⟨proof⟩

```

```

definition to-semialg-map where
to-semialg-map n m f = restrict f (carrier (Qpn))

```

```

lemma to-semialg-map-is-semialg-map:
assumes is-semialg-map n m f
shows to-semialg-map n m f ∈ semialg-maps n m
⟨proof⟩

```

14.6 Application of Functions to Segments of Tuples

```

definition take-apply where
take-apply m n f = restrict (f ∘ take n) (carrier (Qpm))

```

```

definition drop-apply where
drop-apply m n f = restrict (f ∘ drop n) (carrier (Qpm))

```

```

lemma take-apply-closed:
assumes f ∈ carrier (Funn Qp)
assumes k ≥ n
shows take-apply k n f ∈ carrier (Funk Qp)
⟨proof⟩

```

```

lemma take-apply-SA-closed:
assumes f ∈ carrier (SA n)
assumes k ≥ n
shows take-apply k n f ∈ carrier (SA k)
⟨proof⟩

```

```

lemma drop-apply-closed:
  assumes  $f \in \text{carrier}(\text{Fun}_k - n Q_p)$ 
  assumes  $k \geq n$ 
  shows drop-apply  $k n f \in \text{carrier}(\text{Fun}_k Q_p)$ 
  ⟨proof⟩

lemma drop-apply-SA-closed:
  assumes  $f \in \text{carrier}(\text{SA}(k-n))$ 
  assumes  $k \geq n$ 
  shows drop-apply  $k n f \in \text{carrier}(\text{SA } k)$ 
  ⟨proof⟩

lemma take-apply-apply:
  assumes  $f \in \text{carrier}(\text{SA } n)$ 
  assumes  $a \in \text{carrier}(Q_p^n)$ 
  assumes  $b \in \text{carrier}(Q_p^k)$ 
  shows take-apply  $(n+k) n f (a @ b) = f a$ 
  ⟨proof⟩

lemma drop-apply-apply:
  assumes  $f \in \text{carrier}(\text{SA } k)$ 
  assumes  $a \in \text{carrier}(Q_p^n)$ 
  assumes  $b \in \text{carrier}(Q_p^k)$ 
  shows drop-apply  $(n+k) n f (a @ b) = f b$ 
  ⟨proof⟩

lemma drop-apply-add:
  assumes  $f \in \text{carrier}(\text{SA } n)$ 
  assumes  $g \in \text{carrier}(\text{SA } n)$ 
  shows drop-apply  $(n+k) k (f \oplus_{\text{SA}} n g) = \text{drop-apply} (n+k) k f \oplus_{\text{SA}} (n + k) g$ 
  drop-apply  $(n+k) k g$ 
  ⟨proof⟩

lemma drop-apply-mult:
  assumes  $f \in \text{carrier}(\text{SA } n)$ 
  assumes  $g \in \text{carrier}(\text{SA } n)$ 
  shows drop-apply  $(n+k) k (f \otimes_{\text{SA}} n g) = \text{drop-apply} (n+k) k f \otimes_{\text{SA}} (n + k) g$ 
  drop-apply  $(n+k) k g$ 
  ⟨proof⟩

lemma drop-apply-one:
  shows drop-apply  $(n+k) k \mathbf{1}_{\text{SA } n} = \mathbf{1}_{\text{SA } (n+k)}$ 
  ⟨proof⟩

lemma drop-apply-is-hom:
  shows drop-apply  $(n + k) k \in \text{ring-hom}(\text{SA } n)(\text{SA } (n + k))$ 
  ⟨proof⟩

```

```

lemma take-apply-add:
  assumes  $f \in \text{carrier } (\text{SA } k)$ 
  assumes  $g \in \text{carrier } (\text{SA } k)$ 
  shows  $\text{take-apply } (n+k) \ k \ (f \oplus_{\text{SA}} k \ g) = \text{take-apply } (n+k) \ k \ f \oplus_{\text{SA}} (n+k) \ g$ 
   $\text{take-apply } (n+k) \ k \ g$ 
   $\langle \text{proof} \rangle$ 

lemma take-apply-mult:
  assumes  $f \in \text{carrier } (\text{SA } k)$ 
  assumes  $g \in \text{carrier } (\text{SA } k)$ 
  shows  $\text{take-apply } (n+k) \ k \ (f \otimes_{\text{SA}} k \ g) = \text{take-apply } (n+k) \ k \ f \otimes_{\text{SA}} (n+k) \ g$ 
   $\text{take-apply } (n+k) \ k \ g$ 
   $\langle \text{proof} \rangle$ 

lemma take-apply-one:
  shows  $\text{take-apply } (n+k) \ k \ \mathbf{1}_{\text{SA}} \ k = \mathbf{1}_{\text{SA}} \ (n+k)$ 
   $\langle \text{proof} \rangle$ 

lemma take-apply-is-hom:
  shows  $\text{take-apply } (n+k) \ k \in \text{ring-hom } (\text{SA } k) \ (\text{SA } (n+k))$ 
   $\langle \text{proof} \rangle$ 

lemma drop-apply-units:
  assumes  $f \in \text{Units } (\text{SA } n)$ 
  shows  $\text{drop-apply } (n+k) \ k \ f \in \text{Units } (\text{SA } (n+k))$ 
   $\langle \text{proof} \rangle$ 

lemma take-apply-units:
  assumes  $f \in \text{Units } (\text{SA } k)$ 
  shows  $\text{take-apply } (n+k) \ k \ f \in \text{Units } (\text{SA } (n+k))$ 
   $\langle \text{proof} \rangle$ 

```

14.7 Level Sets of Semialgebraic Functions

```

lemma evimage-is-semialg:
  assumes  $h \in \text{carrier } (\text{SA } n)$ 
  assumes  $S \text{ is-univ-semialgebraic}$ 
  shows  $\text{is-semialgebraic } n \ (h^{-1} n \ S)$ 
   $\langle \text{proof} \rangle$ 

lemma semialg-val-ineq-set-is-semialg:
  assumes  $g \in \text{carrier } (\text{SA } k)$ 
  assumes  $f \in \text{carrier } (\text{SA } k)$ 
  shows  $\text{is-semialgebraic } k \ \{x \in \text{carrier } (Q_p^k). \text{ val } (g x) \leq \text{ val } (f x)\}$ 
   $\langle \text{proof} \rangle$ 

lemma semialg-val-ineq-set-is-semialg':
  assumes  $f \in \text{carrier } (\text{SA } k)$ 
  shows  $\text{is-semialgebraic } k \ \{x \in \text{carrier } (Q_p^k). \text{ val } (f x) \leq C\}$ 

```

$\langle proof \rangle$

lemma *semialg-val-ineq-set-is-semialg''*:
 assumes $f \in carrier (SA k)$
 shows *is-semialgebraic* $k \{x \in carrier (Q_p^k). val (f x) \geq C\}$
 $\langle proof \rangle$

lemma *semialg-level-set-is-semialg*:
 assumes $f \in carrier (SA k)$
 assumes $c \in carrier Q_p$
 shows *is-semialgebraic* $k \{x \in carrier (Q_p^k). f x = c\}$
 $\langle proof \rangle$

lemma *semialg-val-eq-set-is-semialg'*:
 assumes $f \in carrier (SA k)$
 shows *is-semialgebraic* $k \{x \in carrier (Q_p^k). val (f x) = C\}$
 $\langle proof \rangle$

lemma *semialg-val-eq-set-is-semialg*:
 assumes $g \in carrier (SA k)$
 assumes $f \in carrier (SA k)$
 shows *is-semialgebraic* $k \{x \in carrier (Q_p^k). val (g x) = val (f x)\}$
 $\langle proof \rangle$

lemma *semialg-val-strict-ineq-set-formula*:
 $\{x \in carrier (Q_p^k). val (g x) < val (f x)\} = \{x \in carrier (Q_p^k). val (g x) \leq val (f x)\} - \{x \in carrier (Q_p^k). val (g x) = val (f x)\}$
 $\langle proof \rangle$

lemma *semialg-val-ineq-set-complement*:
 $carrier (Q_p^k) - \{x \in carrier (Q_p^k). val (g x) \leq val (f x)\} = \{x \in carrier (Q_p^k). val (f x) < val (g x)\}$
 $\langle proof \rangle$

lemma *semialg-val-strict-ineq-set-complement*:
 $carrier (Q_p^k) - \{x \in carrier (Q_p^k). val (g x) < val (f x)\} = \{x \in carrier (Q_p^k). val (f x) \leq val (g x)\}$
 $\langle proof \rangle$

lemma *semialg-val-strict-ineq-set-is-semialg*:
 assumes $g \in carrier (SA k)$
 assumes $f \in carrier (SA k)$
 shows *is-semialgebraic* $k \{x \in carrier (Q_p^k). val (g x) < val (f x)\}$
 $\langle proof \rangle$

lemma *semialg-val-strict-ineq-set-is-semialg'*:
 assumes $f \in carrier (SA k)$
 shows *is-semialgebraic* $k \{x \in carrier (Q_p^k). val (f x) < C\}$

$\langle proof \rangle$

lemma *semialg-val-strict-ineq-set-is-semialg''*:

assumes $f \in \text{carrier}(\text{SA } k)$

shows *is-semialgebraic* $k \{x \in \text{carrier}(\text{Q}_p^k). \text{val}(f x) > C\}$

$\langle proof \rangle$

lemma *semialg-val-ineq-set-plus*:

assumes $N > 0$

assumes $c \in \text{carrier}(\text{SA } N)$

assumes $a \in \text{carrier}(\text{SA } N)$

shows *is-semialgebraic* $N \{x \in \text{carrier}(\text{Q}_p^N). \text{val}(c x) \leq \text{val}(a x) + \text{eint } n\}$

$\langle proof \rangle$

lemma *semialg-val-eq-set-plus*:

assumes $N > 0$

assumes $c \in \text{carrier}(\text{SA } N)$

assumes $a \in \text{carrier}(\text{SA } N)$

shows *is-semialgebraic* $N \{x \in \text{carrier}(\text{Q}_p^N). \text{val}(c x) = \text{val}(a x) + \text{eint } n\}$

$\langle proof \rangle$

definition *SA-zero-set* **where**

SA-zero-set $n f = \{x \in \text{carrier}(\text{Q}_p^n). f x = \mathbf{0}\}$

lemma *SA-zero-set-is-semialgebraic*:

assumes $f \in \text{carrier}(\text{SA } n)$

shows *is-semialgebraic* $n (\text{SA-zero-set } n f)$

$\langle proof \rangle$

lemma *SA-zero-set-memE*:

assumes $f \in \text{carrier}(\text{SA } n)$

assumes $x \in \text{SA-zero-set } n f$

shows $f x = \mathbf{0}$

$\langle proof \rangle$

lemma *SA-zero-set-memI*:

assumes $f \in \text{carrier}(\text{SA } n)$

assumes $x \in \text{carrier}(\text{Q}_p^n)$

assumes $f x = \mathbf{0}$

shows $x \in \text{SA-zero-set } n f$

$\langle proof \rangle$

lemma *SA-zero-set-of-zero*:

SA-zero-set $m (\mathbf{0}_{\text{SA } m}) = \text{carrier}(\text{Q}_p^m)$

$\langle proof \rangle$

definition *SA-nonzero-set* **where**

SA-nonzero-set $n f = \{x \in \text{carrier}(\text{Q}_p^n). f x \neq \mathbf{0}\}$

lemma nonzero-evimage-closed:
assumes $f \in \text{carrier } (\text{SA } n)$
shows $\text{is-semialgebraic } n \{x \in \text{carrier } (Q_p^n). f x \neq \mathbf{0}\}$
 $\langle \text{proof} \rangle$

lemma SA-nonzero-set-is-semialgebraic:
assumes $f \in \text{carrier } (\text{SA } n)$
shows $\text{is-semialgebraic } n (\text{SA-nonzero-set } n f)$
 $\langle \text{proof} \rangle$

lemma SA-nonzero-set-memE:
assumes $f \in \text{carrier } (\text{SA } n)$
assumes $x \in \text{SA-nonzero-set } n f$
shows $f x \neq \mathbf{0}$
 $\langle \text{proof} \rangle$

lemma SA-nonzero-set-memI:
assumes $f \in \text{carrier } (\text{SA } n)$
assumes $x \in \text{carrier } (Q_p^n)$
assumes $f x \neq \mathbf{0}$
shows $x \in \text{SA-nonzero-set } n f$
 $\langle \text{proof} \rangle$

lemma SA-nonzero-set-of-zero:
 $\text{SA-nonzero-set } m (\mathbf{0}_{\text{SA } m}) = \{\}$
 $\langle \text{proof} \rangle$

lemma SA-car-memI':
assumes $\bigwedge x. x \in \text{carrier } (Q_p^m) \implies f x \in \text{carrier } Q_p$
assumes $\bigwedge x. x \notin \text{carrier } (Q_p^m) \implies f x = \text{undefined}$
assumes $\bigwedge k n P. n > 0 \implies P \in \text{carrier } (Q_p [\mathcal{X}_1 + k]) \implies \text{is-semialgebraic } (m+k) (\text{partial-pullback } m f k (\text{basic-semialg-set } (1+k) n P))$
shows $f \in \text{carrier } (\text{SA } m)$
 $\langle \text{proof} \rangle$

lemma(in padic-fields) SA-zero-set-is-semialg:
assumes $a \in \text{carrier } (\text{SA } m)$
shows $\text{is-semialgebraic } m \{x \in \text{carrier } (Q_p^m). a x = \mathbf{0}\}$
 $\langle \text{proof} \rangle$

lemma(in padic-fields) SA-nonzero-set-is-semialg:
assumes $a \in \text{carrier } (\text{SA } m)$
shows $\text{is-semialgebraic } m \{x \in \text{carrier } (Q_p^m). a x \neq \mathbf{0}\}$
 $\langle \text{proof} \rangle$

lemma zero-set-nonzero-set-covers:
 $\text{carrier } (Q_p^n) = \text{SA-zero-set } n f \cup \text{SA-nonzero-set } n f$
 $\langle \text{proof} \rangle$

```

lemma zero-set-nonzero-set-covers':
  assumes  $S \subseteq \text{carrier } (Q_p^n)$ 
  shows  $S = (S \cap \text{SA-zero-set } n f) \cup (S \cap \text{SA-nonzero-set } n f)$ 
   $\langle\text{proof}\rangle$ 

lemma zero-set-nonzero-set-covers-semialg-set:
  assumes  $\text{is-semialgebraic } n S$ 
  shows  $S = (S \cap \text{SA-zero-set } n f) \cup (S \cap \text{SA-nonzero-set } n f)$ 
   $\langle\text{proof}\rangle$ 

```

14.8 Partitioning Semialgebraic Sets According to Valuations of Functions

Given a semialgebraic set A and a finite set of semialgebraic functions Fs , a common construction is to simplify one's understanding of the behaviour of the functions Fs on A by finitely partitioning A into subsets where the element $f \in F$ for which $\text{val}(fx)$ is minimal is constant as x ranges over each piece of the partition. The function `Min_set` helps construct this by picking out the subset of a set A where the valuation of a particular element of Fs is minimal. Such a set will always be semialgebraic.

```

lemma disjointify-semialg:
  assumes  $\text{finite } As$ 
  assumes  $As \subseteq \text{semialg-sets } n$ 
  shows  $\text{disjointify } As \subseteq \text{semialg-sets } n$ 
   $\langle\text{proof}\rangle$ 

```

```

lemma semialgebraic-subalgebra:
  assumes  $\text{finite } Xs$ 
  assumes  $Xs \subseteq \text{semialg-sets } n$ 
  shows  $\text{atoms-of } Xs \subseteq \text{semialg-sets } n$ 
   $\langle\text{proof}\rangle$ 

```

```

lemma(in padic-fields) finite-intersection-is-semialg:
  assumes  $\text{finite } Xs$ 
  assumes  $Xs \neq \{\}$ 
  assumes  $F ` Xs \subseteq \text{semialg-sets } m$ 
  shows  $\text{is-semialgebraic } m (\bigcap i \in Xs. F i)$ 
   $\langle\text{proof}\rangle$ 

```

definition `Min-set` **where**
 $\text{Min-set } m As a = \text{carrier } (Q_p^m) \cap (\bigcap f \in As. \{x \in \text{carrier } (Q_p^m). \text{val } (a x) \leq \text{val } (f x)\})$

```

lemma Min-set-memE:
  assumes  $x \in \text{Min-set } m As a$ 
  assumes  $f \in As$ 
  shows  $\text{val } (a x) \leq \text{val } (f x)$ 

```

$\langle proof \rangle$

lemma *Min-set-closed*:

Min-set m As a \subseteq *carrier* (Q_p^m)
 $\langle proof \rangle$

lemma *Min-set-semialg0*:

assumes *As* \subseteq *carrier* (*SA m*)
assumes *finite As*
assumes *a* \in *As*
assumes *As* $\neq \{\}$
shows *is-semialgebraic m* (*Min-set m As a*)
 $\langle proof \rangle$

lemma *Min-set-semialg*:

assumes *As* \subseteq *carrier* (*SA m*)
assumes *finite As*
assumes *a* \in *As*
shows *is-semialgebraic m* (*Min-set m As a*)
 $\langle proof \rangle$

lemma *Min-sets-cover*:

assumes *As* $\neq \{\}$
assumes *finite As*
shows *carrier* (Q_p^m) = ($\bigcup a \in As. Min-set m As a$)
 $\langle proof \rangle$

The sets defined by the function **Min_set** for a fixed set of functions may not all be disjoint, but we can easily refine them to obtain a finite partition via the function "disjointify".

definition *Min-set-partition where*

Min-set-partition m As B = *disjointify* ((\cap)*B* ‘ (*Min-set m As* ‘ *As*))

lemma *Min-set-partition-finite*:

assumes *finite As*
shows *finite* (*Min-set-partition m As B*)
 $\langle proof \rangle$

lemma *Min-set-partition-semialg0*:

assumes *finite As*
assumes *As* \subseteq *carrier* (*SA m*)
assumes *is-semialgebraic m B*
assumes *S* \in ((\cap)*B* ‘ (*Min-set m As* ‘ *As*))
shows *is-semialgebraic m S*
 $\langle proof \rangle$

lemma *Min-set-partition-semialg*:

assumes *finite As*
assumes *As* \subseteq *carrier* (*SA m*)

```

assumes is-semialgebraic m B
assumes S ∈ (Min-set-partition m As B)
shows is-semialgebraic m S
⟨proof⟩

```

```

lemma Min-set-partition-covers0:
assumes finite As
assumes As ≠ {}
assumes As ⊆ carrier (SA m)
assumes is-semialgebraic m B
shows  $\bigcup ((\cap)B \setminus (\text{Min-set } m \text{ As } As)) = B$ 
⟨proof⟩

```

```

lemma Min-set-partition-covers:
assumes finite As
assumes As ⊆ carrier (SA m)
assumes As ≠ {}
assumes is-semialgebraic m B
shows  $\bigcup (\text{Min-set-partition } m \text{ As } B) = B$ 
⟨proof⟩

```

```

lemma Min-set-partition-disjoint:
assumes finite As
assumes As ⊆ carrier (SA m)
assumes As ≠ {}
assumes is-semialgebraic m B
assumes s ∈ Min-set-partition m As B
assumes s' ∈ Min-set-partition m As B
assumes s ≠ s'
shows s ∩ s' = {}
⟨proof⟩

```

```

lemma Min-set-partition-memE:
assumes finite As
assumes As ⊆ carrier (SA m)
assumes As ≠ {}
assumes is-semialgebraic m B
assumes s ∈ Min-set-partition m As B
shows  $\exists f \in As. (\forall x \in s. (\forall g \in As. val(f x) \leq val(g x)))$ 
⟨proof⟩

```

14.9 Valuative Congruence Sets for Semialgebraic Functions

The set of points x where the values $ord f(x)$ satisfy a congruence are important basic examples of semialgebraic sets, and will be vital in the proof of Macintyre's Theorem. The lemma below is essentially the content of Denef's Lemma 2.1.3 from his cell decomposition paper.

```

lemma pre-SA-unit-cong-set-is-semialg:

```

```

assumes  $k \geq 0$ 
assumes  $f \in \text{Units } (\text{SA } n)$ 
shows is-semialgebraic  $n \{x \in \text{carrier } (Q_p^n). \text{ord } (f x) \bmod k = a\}$ 
⟨proof⟩

```

```

lemma SA-unit-cong-set-is-semialg:
assumes  $f \in \text{Units } (\text{SA } n)$ 
shows is-semialgebraic  $n \{x \in \text{carrier } (Q_p^n). \text{ord } (f x) \bmod k = a\}$ 
⟨proof⟩

```

14.10 Gluing Functions Along Semialgebraic Sets

Semialgebraic functions have the useful property that they are closed under piecewise definitions. That is, if f, g are semialgebraic and $C \subseteq \mathbb{Q}_p^m$ is a semialgebraic set, then the function:

$$h(x) = \begin{cases} f(x) & \text{if } x \in C \\ g(x) & \text{if } x \in \mathbb{Q}_p^m - C \\ \text{undefined} & \text{otherwise} \end{cases}$$

is again semialgebraic. The function h can be obtained by the definition

```
h = fun_glue m C f g
```

which is defined below. This closure property means that we can avoid having to define partial semialgebraic functions which are undefined outside of some proper subset of \mathbb{Q}_p^m , since it usually suffices to just define the function as some arbitrary constant outside of the desired domain. This is useful for defining partial multiplicative inverses of arbitrary functions. If f is semialgebraic, then its nonzero set $\{x \in \mathbb{Q}_p^m \mid fx \neq 0\}$ is semialgebraic. By gluing f to the constant function 1 outside of its nonzero set, we obtain an invertible element in the ring $\text{SA}(m)$ which evaluates to a multiplicative inverse of $f(x)$ on the largest domain possible.

14.10.1 Defining Piecewise Semialgebraic Functions

An important property that will be repeatedly used is that we can define piecewise semialgebraic functions, which will themselves be semialgebraic as long as the pieces are semialgebraic sets. An important application of this principle will be that a function f which is always nonzero on some semialgebraic set A can be replaced with a global unit in the ring of semialgebraic functions. This global unit admits a global multiplicative inverse that inverts f pointwise on A , and allows us to avoid having to consider localizations of function rings to locally invert such functions.

definition *fun-glue where*

fun-glue n S f g = ($\lambda x \in \text{carrier } (Q_p^n)$. if $x \in S$ then $f x$ else $g x$)

lemma *fun-glueE*:

assumes $f \in \text{carrier } (\text{SA } n)$
assumes $g \in \text{carrier } (\text{SA } n)$
assumes $S \subseteq \text{carrier } (Q_p^n)$
assumes $x \in S$
shows *fun-glue n S f g x = f x*
(proof)

lemma *fun-glueE'*:

assumes $f \in \text{carrier } (\text{SA } n)$
assumes $g \in \text{carrier } (\text{SA } n)$
assumes $S \subseteq \text{carrier } (Q_p^n)$
assumes $x \in \text{carrier } (Q_p^n) - S$
shows *fun-glue n S f g x = g x*
(proof)

lemma *fun-glue-evimage*:

assumes $f \in \text{carrier } (\text{SA } n)$
assumes $g \in \text{carrier } (\text{SA } n)$
assumes $S \subseteq \text{carrier } (Q_p^n)$
shows *fun-glue n S f g ${}^{-1}n T = ((f {}^{-1}n T) \cap S) \cup ((g {}^{-1}n T) - S)$*
(proof)

lemma *fun-glue-partial-pullback*:

assumes $f \in \text{carrier } (\text{SA } k)$
assumes $g \in \text{carrier } (\text{SA } k)$
assumes $S \subseteq \text{carrier } (Q_p^k)$
shows *partial-pullback k (fun-glue k S f g) n T = ((cartesian-product S (carrier (Q_p^n))) \cap partial-pullback k f n T) \cup ((partial-pullback k g n T) - (cartesian-product S (carrier (Q_p^n))))*
(proof)

lemma *fun-glue-eval-closed*:

assumes $f \in \text{carrier } (\text{SA } n)$
assumes $g \in \text{carrier } (\text{SA } n)$
assumes *is-semialgebraic n S*
assumes $x \in \text{carrier } (Q_p^n)$
shows *fun-glue n S f g x \in carrier Q_p*
(proof)

lemma *fun-glue-closed*:

assumes $f \in \text{carrier } (\text{SA } n)$
assumes $g \in \text{carrier } (\text{SA } n)$
assumes *is-semialgebraic n S*
shows *fun-glue n S f g \in carrier (SA n)*
(proof)

```

lemma fun-glue-unit:
  assumes  $f \in \text{carrier } (\text{SA } n)$ 
  assumes  $\text{is-semialgebraic } n S$ 
  assumes  $\bigwedge x. x \in S \implies f x \neq \mathbf{0}$ 
  shows  $\text{fun-glue } n S f \mathbf{1}_{\text{SA } n} \in \text{Units } (\text{SA } n)$ 
  ⟨proof⟩

definition parametric-fun-glue where
   $\text{parametric-fun-glue } n Xs fs = (\lambda x \in \text{carrier } (Q_p^n). \text{let } S = (\text{THE } S. S \in Xs \wedge x \in S) \text{ in } (fs S x))$ 

lemma parametric-fun-glue-formula:
  assumes  $Xs \text{ partitions } (\text{carrier } (Q_p^n))$ 
  assumes  $x \in S$ 
  assumes  $S \in Xs$ 
  shows  $\text{parametric-fun-glue } n Xs fs x = fs S x$ 
  ⟨proof⟩

definition char-fun where
   $\text{char-fun } n S = (\lambda x \in \text{carrier } (Q_p^n). \text{if } x \in S \text{ then } \mathbf{1} \text{ else } \mathbf{0})$ 

lemma char-fun-is-semialg:
  assumes  $\text{is-semialgebraic } n S$ 
  shows  $\text{char-fun } n S \in \text{carrier } (\text{SA } n)$ 
  ⟨proof⟩

lemma SA-finsum-apply:
  assumes  $\text{finite } S$ 
  assumes  $x \in \text{carrier } (Q_p^n)$ 
  shows  $F \in S \rightarrow \text{carrier } (\text{SA } n) \longrightarrow \text{finsum } (\text{SA } n) F S x = (\bigoplus_{s \in S} F s x)$ 
  ⟨proof⟩

lemma SA-finsum-apply-zero:
  assumes  $\text{finite } S$ 
  assumes  $F \in S \rightarrow \text{carrier } (\text{SA } n)$ 
  assumes  $x \in \text{carrier } (Q_p^n)$ 
  assumes  $\bigwedge s. s \in S \implies F s x = \mathbf{0}$ 
  shows  $\text{finsum } (\text{SA } n) F S x = \mathbf{0}$ 
  ⟨proof⟩

lemma parametric-fun-glue-is-SA:
  assumes  $\text{finite } Xs$ 
  assumes  $Xs \text{ partitions } (\text{carrier } (Q_p^n))$ 
  assumes  $fs \in Xs \rightarrow \text{carrier } (\text{SA } n)$ 
  assumes  $\forall S \in Xs. \text{is-semialgebraic } n S$ 
  shows  $\text{parametric-fun-glue } n Xs fs \in \text{carrier } (\text{SA } n)$ 
  ⟨proof⟩

```

14.10.2 Turning Functions into Units Via Gluing

By gluing a function to the multiplicative unit on its zero set, we can get a canonical choice of local multiplicative inverse of a function f . Denef's proof frequently reasons about functions of the form $\frac{f(x)}{g(x)}$ with the tacit understanding that they are meant to be defined on the largest domain of definition possible. This technical tool allows us to replicate this kind of reasoning in our formal proofs.

definition *to-fun-unit* **where**

to-fun-unit $n f = \text{fun-glue } n \{x \in \text{carrier } (Q_p^n). f x \neq 0\} f \mathbf{1}_{SA n}$

lemma *to-fun-unit-is-unit*:

assumes $f \in \text{carrier } (SA n)$

shows *to-fun-unit* $n f \in \text{Units } (SA n)$

$\langle \text{proof} \rangle$

lemma *to-fun-unit-closed*:

assumes $f \in \text{carrier } (SA n)$

shows *to-fun-unit* $n f \in \text{carrier } (SA n)$

$\langle \text{proof} \rangle$

lemma *to-fun-unit-eq*:

assumes $f \in \text{carrier } (SA n)$

assumes $x \in \text{carrier } (Q_p^n)$

assumes $f x \neq 0$

shows *to-fun-unit* $n f x = f x$

$\langle \text{proof} \rangle$

lemma *to-fun-unit-eq'*:

assumes $f \in \text{carrier } (SA n)$

assumes $x \in \text{carrier } (Q_p^n)$

assumes $f x = 0$

shows *to-fun-unit* $n f x = 1$

$\langle \text{proof} \rangle$

definition *one-over-fun* **where**

one-over-fun $n f = \text{inv}_{SA n} (\text{to-fun-unit } n f)$

lemma *one-over-fun-closed*:

assumes $f \in \text{carrier } (SA n)$

shows *one-over-fun* $n f \in \text{carrier } (SA n)$

$\langle \text{proof} \rangle$

lemma *one-over-fun-eq*:

assumes $f \in \text{carrier } (SA n)$

assumes $x \in \text{carrier } (Q_p^n)$

assumes $f x \neq 0$

shows *one-over-fun* $n f x = \text{inv } (f x)$

$\langle proof \rangle$

lemma one-over-fun-smult-eval:
assumes $f \in \text{carrier } (SA n)$
assumes $a \neq \mathbf{0}$
assumes $a \in \text{carrier } Q_p$
assumes $x \in \text{carrier } (Q_p^n)$
assumes $f x \neq \mathbf{0}$
shows one-over-fun $n (a \odot_{SA} n f) x = \text{inv } (a \otimes (f x))$
 $\langle proof \rangle$

lemma one-over-fun-smult-eval':
assumes $f \in \text{carrier } (SA n)$
assumes $a \neq \mathbf{0}$
assumes $a \in \text{carrier } Q_p$
assumes $x \in \text{carrier } (Q_p^n)$
assumes $f x \neq \mathbf{0}$
shows one-over-fun $n (a \odot_{SA} n f) x = \text{inv } a \otimes \text{inv } (f x)$
 $\langle proof \rangle$

lemma SA-add-pow-closed:
assumes $f \in \text{carrier } (SA n)$
shows $([k::nat] \cdot_{SA} n f) \in \text{carrier } (SA n)$
 $\langle proof \rangle$

lemma one-over-fun-add-pow-eval:
assumes $f \in \text{carrier } (SA n)$
assumes $x \in \text{carrier } (Q_p^n)$
assumes $f x \neq \mathbf{0}$
assumes $(k::nat) > 0$
shows one-over-fun $n ([k] \cdot_{SA} n f) x = \text{inv } ([k] \cdot f x)$
 $\langle proof \rangle$

lemma one-over-fun-pow-closed:
assumes $f \in \text{carrier } (SA n)$
shows one-over-fun $n (f[\lceil]_{SA} n (k::nat)) \in \text{carrier } (SA n)$
 $\langle proof \rangle$

lemma one-over-fun-pow-eval:
assumes $f \in \text{carrier } (SA n)$
assumes $x \in \text{carrier } (Q_p^n)$
assumes $f x \neq \mathbf{0}$
shows one-over-fun $n (f[\lceil]_{SA} n (k::nat)) x = \text{inv } ((f x) [\lceil] k)$
 $\langle proof \rangle$

14.11 Inclusions of Lower Dimensional Function Rings

definition *fun-inc* where

$$\text{fun-inc } m \ n \ f = (\lambda x \in \text{carrier } (Q_p^m). \ f \ (\text{take } n \ x))$$

lemma *fun-inc-closed*:

assumes $f \in \text{carrier } (SA \ n)$

assumes $m \geq n$

shows $\text{fun-inc } m \ n \ f \in \text{carrier } (SA \ m)$

$\langle proof \rangle$

lemma *fun-inc-eval*:

assumes $x \in \text{carrier } (Q_p^m)$

shows $\text{fun-inc } m \ n \ f \ x = f \ (\text{take } n \ x)$

$\langle proof \rangle$

lemma *ord-congruence-set-univ-semialg-fixed*:

assumes $n \geq 0$

shows *is-univ-semialgebraic* (*ord-congruence-set* $n \ a$)

$\langle proof \rangle$

lemma *ord-congruence-set-SA-function*:

assumes $n \geq 0$

assumes $c \in \text{carrier } (SA \ (m+l))$

shows *is-semialgebraic* ($m+l$) $\{x \in \text{carrier } (Q_p^{m+l}). \ c \ x \in \text{nonzero } Q_p \wedge \text{ord } (c \ x) \ \text{mod } n = a\}$

$\langle proof \rangle$

lemma *ac-cong-set-SA*:

assumes $n > 0$

assumes $k \in \text{Units } (Zp\text{-res-ring } n)$

assumes $c \in \text{carrier } (SA \ (m+l))$

shows *is-semialgebraic* ($m+l$) $\{x \in \text{carrier } (Q_p^{m+l}). \ c \ x \in \text{nonzero } Q_p \wedge ac \ n \ (c \ x) = k\}$

$\langle proof \rangle$

lemma *ac-cong-set-SA'*:

assumes $n > 0$

assumes $k \in \text{Units } (Zp\text{-res-ring } n)$

assumes $c \in \text{carrier } (SA \ m)$

shows *is-semialgebraic* $m \ \{x \in \text{carrier } (Q_p^m). \ c \ x \in \text{nonzero } Q_p \wedge ac \ n \ (c \ x) = k\}$

$\langle proof \rangle$

lemma *ac-cong-set-SA''*:

assumes $n > 0$

assumes $m > 0$

assumes $k \in \text{Units } (Zp\text{-res-ring } n)$

assumes $c \in \text{carrier } (SA \ m)$

```

assumes  $\bigwedge x. x \in \text{carrier } (Q_p^m) \implies c x \neq \mathbf{0}$ 
shows is-semialgebraic  $m \{x \in \text{carrier } (Q_p^m). ac n (c x) = k\}$ 
⟨proof⟩

```

14.12 Miscellaneous

lemma *nth-pow-wits-SA-fun-prep*:

```

assumes  $n > 0$ 
assumes  $h \in \text{carrier } (SA m)$ 
assumes  $\varrho \in \text{nth-pow-wits } n$ 
shows is-semialgebraic  $m (h^{-1} m \text{pow-res } n \varrho)$ 
⟨proof⟩

```

definition *kth-rt where*

```

kth-rt  $m (k::nat) f x = (\text{if } x \in \text{carrier } (Q_p^m) \text{ then } (\text{THE } b. b \in \text{carrier } Q_p \wedge b[\lceil k$ 
 $= (f x) \wedge ac (\text{nat } (\text{ord } ([k] \cdot \mathbf{1})) + 1) b = 1)$ 
 $\text{else undefined })$ 

```

Normalizing a semialgebraic function to have a constant angular component

lemma *ac-res-Unit-inc*:

```

assumes  $n > 0$ 
assumes  $t \in \text{Units } (Zp\text{-res-ring } n)$ 
shows  $ac n ([t] \cdot \mathbf{1}) = t$ 
⟨proof⟩

```

lemma *val-of-res-Unit*:

```

assumes  $n > 0$ 
assumes  $t \in \text{Units } (Zp\text{-res-ring } n)$ 
shows  $val ([t] \cdot \mathbf{1}) = 0$ 
⟨proof⟩

```

lemma(in padic-integers) *res-map-is-hom*:

```

assumes  $N > 0$ 
shows ring-hom-ring  $Zp (Zp\text{-res-ring } N) (\lambda x. x N)$ 
⟨proof⟩

```

lemma *ac-of-fraction*:

```

assumes  $N > 0$ 
assumes  $a \in \text{nonzero } Q_p$ 
assumes  $b \in \text{nonzero } Q_p$ 
shows  $ac N (a \div b) = ac N a \otimes_{Zp\text{-res-ring } N} \text{inv } Zp\text{-res-ring } N ac N b$ 
⟨proof⟩

```

lemma *pow-res-eq-rel*:

```

assumes  $n > 0$ 
assumes  $b \in \text{carrier } Q_p$ 
shows  $\{x \in \text{carrier } Q_p. pow-res n x = pow-res n b\} = pow-res n b$ 
⟨proof⟩

```

```

lemma pow-res-is-univ-semialgebraic':
  assumes  $n > 0$ 
  assumes  $b \in \text{carrier } Q_p$ 
  shows is-univ-semialgebraic  $\{x \in \text{carrier } Q_p. \text{pow-res } n x = \text{pow-res } n b\}$ 
   $\langle \text{proof} \rangle$ 

lemma evimage-eqI:
  assumes  $c \in \text{carrier } (\text{SA } n)$ 
  shows  $c^{-1}n \{x \in \text{carrier } Q_p. P x\} = \{x \in \text{carrier } (Q_p^n). P (c x)\}$ 
   $\langle \text{proof} \rangle$ 

lemma SA-pow-res-is-semialgebraic:
  assumes  $n > 0$ 
  assumes  $b \in \text{carrier } Q_p$ 
  assumes  $c \in \text{carrier } (\text{SA } N)$ 
  shows is-semialgebraic  $N \{x \in \text{carrier } (Q_p^N). \text{pow-res } n (c x) = \text{pow-res } n b\}$ 
   $\langle \text{proof} \rangle$ 

lemma eint-diff-imp-eint:
  assumes  $a \in \text{nonzero } Q_p$ 
  assumes  $b \in \text{carrier } Q_p$ 
  assumes  $\text{val } a = \text{val } b + \text{eint } i$ 
  shows  $b \in \text{nonzero } Q_p$ 
   $\langle \text{proof} \rangle$ 

lemma SA-minus-eval:
  assumes  $f \in \text{carrier } (\text{SA } n)$ 
  assumes  $g \in \text{carrier } (\text{SA } n)$ 
  assumes  $x \in \text{carrier } (Q_p^n)$ 
  shows  $(f \ominus_{\text{SA}} n g) x = f x \ominus g x$ 
   $\langle \text{proof} \rangle$ 

lemma Qp-cong-set-evimage:
  assumes  $f \in \text{carrier } (\text{SA } n)$ 
  assumes  $a \in \text{carrier } Z_p$ 
  shows is-semialgebraic  $n (f^{-1}n (\text{Qp-cong-set } \alpha a))$ 
   $\langle \text{proof} \rangle$ 

lemma SA-constant-res-set-semialg:
  assumes  $l \in \text{carrier } (\text{Zp-res-ring } n)$ 
  assumes  $f \in \text{carrier } (\text{SA } m)$ 
  shows is-semialgebraic  $m \{x \in \text{carrier } (Q_p^m). f x \in \mathcal{O}_p \wedge \text{Qp-res } (f x) n = l\}$ 
   $\langle \text{proof} \rangle$ 

lemma val-ring-cong-set:
  assumes  $f \in \text{carrier } (\text{SA } k)$ 
  assumes  $\bigwedge x. x \in \text{carrier } (Q_p^k) \implies f x \in \mathcal{O}_p$ 
  assumes  $t \in \text{carrier } (\text{Zp-res-ring } n)$ 

```

shows *is-semialgebraic* $k \{x \in \text{carrier } (Q_p^k). \text{to-Zp } (f x) n = t\}$
 $\langle \text{proof} \rangle$

lemma *val-ring-pullback-SA*:

assumes $N > 0$

assumes $c \in \text{carrier } (\text{SA } N)$

shows *is-semialgebraic* $N \{x \in \text{carrier } (Q_p^N). c x \in \mathcal{O}_p\}$

$\langle \text{proof} \rangle$

lemma(in padic-fields) *res-eq-set-is-semialg*:

assumes $k > 0$

assumes $c \in \text{carrier } (\text{SA } k)$

assumes $s \in \text{carrier } (\text{Zp-res-ring } n)$

shows *is-semialgebraic* $k \{x \in \text{carrier } (Q_p^k). c x \in \mathcal{O}_p \wedge \text{to-Zp } (c x) n = s\}$

$\langle \text{proof} \rangle$

lemma *SA-constant-res-set-semialg'*:

assumes $f \in \text{carrier } (\text{SA } m)$

assumes $C \in \text{Qp-res-classes } n$

shows *is-semialgebraic* $m (f^{-1}m C)$

$\langle \text{proof} \rangle$

14.13 Semialgebraic Polynomials

lemma *UP-SA-n-is-ring*:

shows *ring* ($\text{UP } (\text{SA } n)$)

$\langle \text{proof} \rangle$

lemma *UP-SA-n-is-cring*:

shows *cring* ($\text{UP } (\text{SA } n)$)

$\langle \text{proof} \rangle$

The evaluation homomorphism from $\text{Qp_fun}s$ to Qp

definition *eval-hom* **where**

$\text{eval-hom } a = (\lambda f. f a)$

lemma *eval-hom-is-hom*:

assumes $a \in \text{carrier } (Q_p^n)$

shows *ring-hom-ring* ($\text{Fun}_n Q_p$) Q_p ($\text{eval-hom } a$)

$\langle \text{proof} \rangle$

the homomorphism from $\text{Fun } n \text{ Qp } [x]$ to $\text{Qp } [x]$ induced by evaluation of coefficients

definition *Qp-fpoly-to-Qp-poly* **where**

$\text{Qp-fpoly-to-Qp-poly } n a = \text{poly-lift-hom } (\text{Fun}_n Q_p) Q_p$ ($\text{eval-hom } a$)

lemma *Qp-fpoly-to-Qp-poly-is-hom*:

assumes $a \in \text{carrier } (Q_p^n)$

shows ($\text{Qp-fpoly-to-Qp-poly } n a$) $\in \text{ring-hom } (\text{UP } (\text{Fun}_n Q_p)) (Q_p x)$

$\langle proof \rangle$

lemma *Qp-fpoly-to-Qp-poly-extends-apply*:

assumes $a \in carrier (Q_p^n)$

assumes $f \in carrier (Fun_n Q_p)$

shows $Qp\text{-fpoly-to-}Qp\text{-poly } n \ a \ (\text{to-polynomial } (Fun_n Q_p) \ f) = \text{to-polynomial } Q_p (f \ a)$

$\langle proof \rangle$

lemma *Qp-fpoly-to-Qp-poly-X-var*:

assumes $a \in carrier (Q_p^n)$

shows $Qp\text{-fpoly-to-}Qp\text{-poly } n \ a \ (X\text{-poly } (Fun_n Q_p)) = X\text{-poly } Q_p$

$\langle proof \rangle$

lemma *Qp-fpoly-to-Qp-poly-monom*:

assumes $a \in carrier (Q_p^n)$

assumes $f \in carrier (Fun_n Q_p)$

shows $Qp\text{-fpoly-to-}Qp\text{-poly } n \ a \ (\text{up-ring.monom } (UP (Fun_n Q_p)) \ f \ m) = \text{up-ring.monom } Q_p\text{-x } (f \ a) \ m$

$\langle proof \rangle$

lemma *Qp-fpoly-to-Qp-poly-coeff*:

assumes $a \in carrier (Q_p^n)$

assumes $f \in carrier (UP (Fun_n Q_p))$

shows $Qp\text{-fpoly-to-}Qp\text{-poly } n \ a \ f \ k = (f \ k) \ a$

$\langle proof \rangle$

lemma *Qp-fpoly-to-Qp-poly-eval*:

assumes $a \in carrier (Q_p^n)$

assumes $P \in carrier (UP (Fun_n Q_p))$

assumes $f \in carrier (Fun_n Q_p)$

shows $(UP\text{-cring.to-fun } (Fun_n Q_p) \ P \ f) \ a = UP\text{-cring.to-fun } Q_p \ (Qp\text{-fpoly-to-}Qp\text{-poly } n \ a \ P) \ (f \ a)$

$\langle proof \rangle$

lemma *Qp-fpoly-to-Qp-poly-sub*:

assumes $f \in carrier (UP (Fun_n Q_p))$

assumes $g \in carrier (UP (Fun_n Q_p))$

assumes $a \in carrier (Q_p^n)$

shows $Qp\text{-fpoly-to-}Qp\text{-poly } n \ a \ (\text{compose } (Fun_n Q_p) \ f \ g) = \text{compose } Q_p \ (Qp\text{-fpoly-to-}Qp\text{-poly } n \ a \ f) \ (Qp\text{-fpoly-to-}Qp\text{-poly } n \ a \ g)$

$\langle proof \rangle$

lemma *Qp-fpoly-to-Qp-poly-taylor-poly*:

assumes $F \in carrier (UP (Fun_n Q_p))$

assumes $c \in carrier (Fun_n Q_p)$

assumes $a \in carrier (Q_p^n)$

shows $Qp\text{-fpoly-to-}Qp\text{-poly } n \ a \ (\text{taylor-expansion } (Fun_n Q_p) \ c \ F) = \text{taylor-expansion } Q_p (c \ a) \ (Qp\text{-fpoly-to-}Qp\text{-poly } n \ a \ F)$

$\langle proof \rangle$

lemma *SA-is-UP-crng*:

shows *UP-crng* (*SA n*)
 $\langle proof \rangle$

lemma *eval-hom-is-SA-hom*:

assumes $a \in carrier (Q_p^n)$
shows *ring-hom-ring* (*SA n*) Q_p (*eval-hom a*)
 $\langle proof \rangle$

the homomorphism from (*SA n*) [x] to Q_p [x] induced by evaluation of coefficients

definition *SA-poly-to-Qp-poly where*

SA-poly-to-Qp-poly n a = poly-lift-hom (*SA n*) Q_p (*eval-hom a*)

lemma *SA-poly-to-Qp-poly-is-hom*:

assumes $a \in carrier (Q_p^n)$
shows (*SA-poly-to-Qp-poly n a*) $\in ring-hom (UP (SA n)) (Q_p\text{-}x)$
 $\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-closed*:

assumes $a \in carrier (Q_p^n)$
assumes $P \in carrier (UP (SA n))$
shows *SA-poly-to-Qp-poly n a P* $\in carrier Q_p\text{-}x$
 $\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-add*:

assumes $a \in carrier (Q_p^n)$
assumes $f \in carrier (UP (SA n))$
assumes $g \in carrier (UP (SA n))$
shows *SA-poly-to-Qp-poly n a (f $\oplus_{UP (SA n)} g$) = SA-poly-to-Qp-poly n a f*
 $\oplus_{Q_p\text{-}x} SA\text{-}poly\text{-}to\text{-}Qp\text{-}poly n a g$
 $\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-minus*:

assumes $a \in carrier (Q_p^n)$
assumes $f \in carrier (UP (SA n))$
assumes $g \in carrier (UP (SA n))$
shows *SA-poly-to-Qp-poly n a (f $\ominus_{UP (SA n)} g$) = SA-poly-to-Qp-poly n a f*
 $\ominus_{Q_p\text{-}x} SA\text{-}poly\text{-}to\text{-}Qp\text{-}poly n a g$
 $\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-mult*:

assumes $a \in carrier (Q_p^n)$
assumes $f \in carrier (UP (SA n))$
assumes $g \in carrier (UP (SA n))$
shows *SA-poly-to-Qp-poly n a (f $\otimes_{UP (SA n)} g$) = SA-poly-to-Qp-poly n a f*
 $\otimes_{Q_p\text{-}x} SA\text{-}poly\text{-}to\text{-}Qp\text{-}poly n a g$

$\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-extends-apply*:

assumes $a \in \text{carrier } (Q_p^n)$

assumes $f \in \text{carrier } (SA n)$

shows *SA-poly-to-Qp-poly n a (to-polynomial (SA n) f) = to-polynomial Q_p (f a)*

$\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-X-var*:

assumes $a \in \text{carrier } (Q_p^n)$

shows *SA-poly-to-Qp-poly n a (X-poly (SA n)) = X-poly Q_p*

$\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-X-plus*:

assumes $a \in \text{carrier } (Q_p^n)$

assumes $c \in \text{carrier } (SA n)$

shows *SA-poly-to-Qp-poly n a (X-poly-plus (SA n) c) = UPQ.X-plus (c a)*

$\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-X-minus*:

assumes $a \in \text{carrier } (Q_p^n)$

assumes $c \in \text{carrier } (SA n)$

shows *SA-poly-to-Qp-poly n a (X-poly-minus (SA n) c) = UPQ.X-minus (c a)*

$\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-monom*:

assumes $a \in \text{carrier } (Q_p^n)$

assumes $f \in \text{carrier } (SA n)$

shows *SA-poly-to-Qp-poly n a (up-ring.monom (UP (SA n)) f m) = up-ring.monom Q_p-x (f a) m*

$\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-coeff*:

assumes $a \in \text{carrier } (Q_p^n)$

assumes $f \in \text{carrier } (UP (SA n))$

shows *SA-poly-to-Qp-poly n a f k = (f k) a*

$\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-eval*:

assumes $a \in \text{carrier } (Q_p^n)$

assumes $P \in \text{carrier } (UP (SA n))$

assumes $f \in \text{carrier } (SA n)$

shows *(UP-cring.to-fun (SA n) P f) a = UP-cring.to-fun Q_p (SA-poly-to-Qp-poly n a P) (f a)*

$\langle proof \rangle$

lemma *SA-poly-to-Qp-poly-sub*:

assumes $f \in \text{carrier } (UP (SA n))$

assumes $g \in \text{carrier}(\text{UP}(SA\ n))$
assumes $a \in \text{carrier}(Q_p^n)$
shows $\text{SA-poly-to-Qp-poly } n\ a (\text{compose } (SA\ n)\ f\ g) = \text{compose } Q_p (\text{SA-poly-to-Qp-poly } n\ a\ f) (\text{SA-poly-to-Qp-poly } n\ a\ g)$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-Qp-poly-deg-bound}:$
assumes $g \in \text{carrier}(\text{UP}(SA\ m))$
assumes $x \in \text{carrier}(Q_p^m)$
shows $\deg Q_p (\text{SA-poly-to-Qp-poly } m\ x\ g) \leq \deg (\text{SA } m)\ g$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-Qp-poly-taylor-poly}:$
assumes $F \in \text{carrier}(\text{UP}(SA\ n))$
assumes $c \in \text{carrier}(SA\ n)$
assumes $a \in \text{carrier}(Q_p^n)$
shows $\text{SA-poly-to-Qp-poly } n\ a (\text{taylor-expansion } (SA\ n)\ c\ F) =$
 $\quad \text{taylor-expansion } Q_p (c\ a) (\text{SA-poly-to-Qp-poly } n\ a\ F)$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-Qp-poly-comm-taylor-term}:$
assumes $F \in \text{carrier}(\text{UP}(SA\ n))$
assumes $c \in \text{carrier}(SA\ n)$
assumes $a \in \text{carrier}(Q_p^n)$
shows $\text{SA-poly-to-Qp-poly } n\ a (\text{UP-creng.taylor-term } (SA\ n)\ c\ F\ i) =$
 $\quad \text{UP-creng.taylor-term } Q_p (c\ a) (\text{SA-poly-to-Qp-poly } n\ a\ F)\ i$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-Qp-poly-comm-pderiv}:$
assumes $F \in \text{carrier}(\text{UP}(SA\ n))$
assumes $a \in \text{carrier}(Q_p^n)$
shows $\text{SA-poly-to-Qp-poly } n\ a (\text{UP-creng.pderiv } (SA\ n)\ F) =$
 $\quad \text{UP-creng.pderiv } Q_p (\text{SA-poly-to-Qp-poly } n\ a\ F)$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-Qp-poly-pderiv}:$
assumes $g \in \text{carrier}(\text{UP}(SA\ m))$
assumes $x \in \text{carrier}(Q_p^m)$
shows $\text{UPQ.pderiv } (\text{SA-poly-to-Qp-poly } m\ x\ g) = (\text{SA-poly-to-Qp-poly } m\ x\ (\text{pderiv } m\ g))$
 $\langle \text{proof} \rangle$

lemma(in UP-creng) pderiv-deg-lt:
assumes $f \in \text{carrier}(\text{UP } R)$
assumes $\deg R\ f > 0$
shows $\deg R\ (\text{pderiv } f) < \deg R\ f$
 $\langle \text{proof} \rangle$

lemma $\text{deg-pderiv}:$

assumes $f \in \text{carrier}(\text{UP}(\text{SA } m))$
assumes $\deg(\text{SA } m) f > 0$
shows $\deg(\text{SA } m) (\text{pderiv } m f) = \deg(\text{SA } m) f - 1$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-Qp-poly-smult}$:
assumes $a \in \text{carrier}(\text{SA } m)$
assumes $f \in \text{carrier}(\text{UP}(\text{SA } m))$
assumes $x \in \text{carrier}(\mathbb{Q}_p^m)$
shows $\text{SA-poly-to-Qp-poly } m x (a \odot_{\text{UP}(\text{SA } m)} f) = a x \odot_{\text{UP}(\mathbb{Q}_p)} \text{SA-poly-to-Qp-poly } m x f$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-constant-res-class-semialg}$:
assumes $f \in \text{carrier}(\text{UP}(\text{SA } m))$
assumes $\bigwedge i. x \in \text{carrier}(\mathbb{Q}_p^m) \implies f i x \in \mathcal{O}_p$
assumes $\deg(\text{SA } m) f \leq d$
assumes $C \in \text{poly-res-classes } n d$
shows $\text{is-semialgebraic } m \{x \in \text{carrier}(\mathbb{Q}_p^m). \text{SA-poly-to-Qp-poly } m x f \in C\}$
 $\langle \text{proof} \rangle$

Maps a polynomial $F(t) \in \text{UP}(\text{SAn})$ to a function sending $(t, a) \in (\mathbb{Q}_p(n+1) \mapsto F(a)(t) \in \mathbb{Q}_p$

definition SA-poly-to-SA-fun where
 $\text{SA-poly-to-SA-fun } n P = (\lambda a \in \text{carrier}(\mathbb{Q}_p^{\text{Suc } n}). \text{UP-cring.to-fun } \mathbb{Q}_p (\text{SA-poly-to-Qp-poly } n (\text{tl } a) P) (\text{hd } a))$

lemma $\text{SA-poly-to-SA-fun-is-fun}$:
assumes $P \in \text{carrier}(\text{UP}(\text{SA } n))$
shows $\text{SA-poly-to-SA-fun } n P \in (\text{carrier}(\mathbb{Q}_p^{\text{Suc } n}) \rightarrow \text{carrier } \mathbb{Q}_p)$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-SA-fun-formula}$:
assumes $P \in \text{carrier}(\text{UP}(\text{SA } n))$
assumes $x \in \text{carrier}(\mathbb{Q}_p^n)$
assumes $t \in \text{carrier } \mathbb{Q}_p$
shows $\text{SA-poly-to-SA-fun } n P (t \# x) = (\text{SA-poly-to-Qp-poly } n x P) \cdot t$
 $\langle \text{proof} \rangle$

lemma $\text{semialg-map-comp-in-SA}$:
assumes $f \in \text{carrier}(\text{SA } n)$
assumes $\text{is-semialg-map } m n g$
shows $(\lambda a \in \text{carrier}(\mathbb{Q}_p^m). f(g a)) \in \text{carrier}(\text{SA } m)$
 $\langle \text{proof} \rangle$

lemma tl-comp-in-SA :
assumes $f \in \text{carrier}(\text{SA } n)$
shows $(\lambda a \in \text{carrier}(\mathbb{Q}_p^{\text{Suc } n}). f(\text{tl } a)) \in \text{carrier}(\text{SA } (\text{Suc } n))$
 $\langle \text{proof} \rangle$

lemma *SA-poly-to-SA-fun-add-eval*:

- assumes** $f \in \text{carrier}(\text{UP}(\text{SA } n))$
- assumes** $g \in \text{carrier}(\text{UP}(\text{SA } n))$
- assumes** $a \in \text{carrier}(Q_p^{\text{Suc } n})$
- shows** $\text{SA-poly-to-SA-fun } n (f \oplus_{\text{UP}(\text{SA } n)} g) a = \text{SA-poly-to-SA-fun } n f a \oplus_{Q_p} \text{SA-poly-to-SA-fun } n g a$

$\langle \text{proof} \rangle$

lemma *SA-poly-to-SA-fun-add*:

- assumes** $f \in \text{carrier}(\text{UP}(\text{SA } n))$
- assumes** $g \in \text{carrier}(\text{UP}(\text{SA } n))$
- shows** $\text{SA-poly-to-SA-fun } n (f \oplus_{\text{UP}(\text{SA } n)} g) = \text{SA-poly-to-SA-fun } n f \oplus_{\text{SA}(\text{Suc } n)} \text{SA-poly-to-SA-fun } n g$

$\langle \text{proof} \rangle$

lemma *SA-poly-to-SA-fun-monom*:

- assumes** $f \in \text{carrier}(\text{SA } n)$
- assumes** $a \in \text{carrier}(Q_p^{\text{Suc } n})$
- shows** $\text{SA-poly-to-SA-fun } n (\text{up-ring.monom}(\text{UP}(\text{SA } n)) f k) a = (f(\text{tl } a)) \otimes (\text{hd } a)[\lceil]_{Q_p} k$

$\langle \text{proof} \rangle$

lemma *SA-poly-to-SA-fun-monom'*:

- assumes** $f \in \text{carrier}(\text{SA } n)$
- assumes** $x \in \text{carrier}(Q_p^n)$
- assumes** $t \in \text{carrier } Q_p$
- shows** $\text{SA-poly-to-SA-fun } n (\text{up-ring.monom}(\text{UP}(\text{SA } n)) f k) (t \# x) = (f x) \otimes t[\lceil]_{Q_p} k$

$\langle \text{proof} \rangle$

lemma *hd-is-semialg-function*:

- assumes** $n > 0$
- shows** *is-semialg-function* $n \text{ hd}$

$\langle \text{proof} \rangle$

lemma *SA-poly-to-SA-fun-monom-closed*:

- assumes** $f \in \text{carrier}(\text{SA } n)$
- shows** $\text{SA-poly-to-SA-fun } n (\text{up-ring.monom}(\text{UP}(\text{SA } n)) f k) \in \text{carrier}(\text{SA}(\text{Suc } n))$

$\langle \text{proof} \rangle$

lemma *SA-poly-to-SA-fun-is-SA*:

- assumes** $P \in \text{carrier}(\text{UP}(\text{SA } n))$
- shows** $\text{SA-poly-to-SA-fun } n P \in \text{carrier}(\text{SA}(\text{Suc } n))$

$\langle \text{proof} \rangle$

lemma *SA-poly-to-SA-fun-mult*:

- assumes** $f \in \text{carrier}(\text{UP}(\text{SA } n))$

assumes $g \in \text{carrier}(\text{UP}(\text{SA } n))$
shows $\text{SA-poly-to-SA-fun } n(f \otimes_{\text{UP}(\text{SA } n)} g) = \text{SA-poly-to-SA-fun } n f \otimes_{\text{SA}(\text{Suc } n)} g$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-SA-fun-one}:$
shows $\text{SA-poly-to-SA-fun } n(\mathbf{1}_{\text{UP}(\text{SA } n)}) = \mathbf{1}_{\text{SA}(\text{Suc } n)}$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-SA-fun-ring-hom}:$
shows $\text{SA-poly-to-SA-fun } n \in \text{ring-hom}(\text{UP}(\text{SA } n), \text{SA}(\text{Suc } n))$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-SA-fun-taylor-term}:$
assumes $F \in \text{carrier}(\text{UP}(\text{SA } n))$
assumes $c \in \text{carrier}(\text{SA } n)$
assumes $x \in \text{carrier}(\mathbb{Q}_p^n)$
assumes $t \in \text{carrier } Q_p$
assumes $f = \text{SA-poly-to-Qp-poly } n x F$
shows $\text{SA-poly-to-SA-fun } n(\text{UP-cring.taylor-term } (\text{SA } n) c F k)(t \# x) = (\text{taylor-expansion } Q_p(c x) f k) \otimes (t \ominus c x)[\lceil]_{Q_p} k$
 $\langle \text{proof} \rangle$

lemma $\text{SA-finsum-eval}:$
assumes $\text{finite } I$
assumes $F \in I \rightarrow \text{carrier}(\text{SA } m)$
assumes $x \in \text{carrier}(\mathbb{Q}_p^m)$
shows $(\bigoplus_{m \in I} F i) x = (\bigoplus_{i \in I} F i x)$
 $\langle \text{proof} \rangle$

lemma(in ring) finsum-ring-hom:
assumes $\text{ring } S$
assumes $h \in \text{ring-hom } R S$
assumes $F \in I \rightarrow \text{carrier } R$
assumes $\text{finite } I$
shows $h(\bigoplus_{i \in I} F i) = (\bigoplus_{i \in I} h(F i))$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-SA-fun-finsum}:$
assumes $\text{finite } I$
assumes $F \in I \rightarrow \text{carrier}(\text{UP}(\text{SA } m))$
assumes $f = (\bigoplus_{m \in I} \text{UP}(\text{SA } m) i \in I. F i)$
assumes $x \in \text{carrier}(\mathbb{Q}_p^{\text{Suc } m})$
shows $\text{SA-poly-to-SA-fun } m f x = (\bigoplus_{i \in I} \text{SA-poly-to-SA-fun } m(F i) x)$
 $\langle \text{proof} \rangle$

lemma $\text{SA-poly-to-SA-fun-taylor-expansion}:$
assumes $f \in \text{carrier}(\text{UP}(\text{SA } m))$

```

assumes  $c \in \text{carrier } (\text{SA } m)$ 
assumes  $x \in \text{carrier } (Q_p^{\text{Suc } m})$ 
shows  $\text{SA-poly-to-SA-fun } m f x = (\bigoplus_{i \in \{\dots \deg(\text{SA } m) f\}} taylor-expansion(\text{SA } m) c f i (tl x) \otimes (hd x \ominus c (tl x)) [^\gamma i])$ 
 $\langle proof \rangle$ 

lemma  $\text{SA-deg-one-eval}:$ 
assumes  $g \in \text{carrier } (\text{UP } (\text{SA } m))$ 
assumes  $\deg(\text{SA } m) g = 1$ 
assumes  $\xi \in \text{carrier } (\text{Fun}_m Q_p)$ 
assumes  $\text{UP-ring.lcf } (\text{SA } m) g \in \text{Units } (\text{SA } m)$ 
assumes  $\forall x \in \text{carrier } (Q_p^m). (\text{SA-poly-to-SA-fun } m g) (\xi x \# x) = \mathbf{0}$ 
shows  $\xi = \ominus_{\text{SA } m}(g 0) \otimes_{\text{SA } m} (\text{inv}_{\text{SA } m}(g 1))$ 
 $\langle proof \rangle$ 

lemma  $\text{SA-deg-one-eval}':$ 
assumes  $g \in \text{carrier } (\text{UP } (\text{SA } m))$ 
assumes  $\deg(\text{SA } m) g = 1$ 
assumes  $\xi \in \text{carrier } (\text{Fun}_m Q_p)$ 
assumes  $\text{UP-ring.lcf } (\text{SA } m) g \in \text{Units } (\text{SA } m)$ 
assumes  $\forall x \in \text{carrier } (Q_p^m). (\text{SA-poly-to-SA-fun } m g) (\xi x \# x) = \mathbf{0}$ 
shows  $\xi \in \text{carrier } (\text{SA } m)$ 
 $\langle proof \rangle$ 

lemma  $\text{Qp-pow-ConsI}:$ 
assumes  $t \in \text{carrier } Q_p$ 
assumes  $x \in \text{carrier } (Q_p^m)$ 
shows  $t \# x \in \text{carrier } (Q_p^{\text{Suc } m})$ 
 $\langle proof \rangle$ 

lemma  $\text{Qp-pow-ConsE}:$ 
assumes  $x \in \text{carrier } (Q_p^{\text{Suc } m})$ 
shows  $tl x \in \text{carrier } (Q_p^m)$ 
 $hd x \in \text{carrier } Q_p$ 
 $\langle proof \rangle$ 

lemma(in ring)  $\text{add-monoid-one}:$ 
 $\mathbf{1}_{\text{add-monoid } R} = \mathbf{0}$ 
 $\langle proof \rangle$ 

lemma(in ring)  $\text{add-monoid-carrier}:$ 
 $\text{carrier } (\text{add-monoid } R) = \text{carrier } R$ 
 $\langle proof \rangle$ 

lemma(in ring)  $\text{finsum-mono-neutral-cong}:$ 
assumes  $F \in I \rightarrow \text{carrier } R$ 
assumes  $\text{finite } I$ 
assumes  $\bigwedge i. i \notin J \implies F i = \mathbf{0}$ 
assumes  $J \subseteq I$ 

```

shows $\text{finsum } R \ F \ I = \text{finsum } R \ F \ J$
 $\langle \text{proof} \rangle$

This lemma helps to formalize statements like "by passing to a partition, we can assume the Taylor coefficients are either always zero or never zero"

lemma $SA\text{-poly-to-}SA\text{-fun-taylor-on-refined-set}:$
assumes $f \in \text{carrier } (\text{UP } (SA \ m))$
assumes $c \in \text{carrier } (SA \ m)$
assumes $\text{is-semialgebraic } m \ A$
assumes $\bigwedge i. A \subseteq SA\text{-zero-set } m \ (\text{taylor-expansion } (SA \ m) \ c \ f \ i) \vee A \subseteq SA\text{-nonzero-set } m \ (\text{taylor-expansion } (SA \ m) \ c \ f \ i)$
assumes $a = \text{to-fun-unit } m \circ \text{taylor-expansion } (SA \ m) \ c \ f$
assumes $\text{inds} = \{i. i \leq \deg (SA \ m) \ f \wedge A \subseteq SA\text{-nonzero-set } m \ (\text{taylor-expansion } (SA \ m) \ c \ f \ i)\}$
assumes $x \in A$
assumes $t \in \text{carrier } Q_p$
shows $SA\text{-poly-to-}SA\text{-fun } m \ f \ (t \# x) = (\bigoplus_{i \in \text{inds}.} (a \ i \ x) \otimes (t \ominus c \ x)[\lceil i])$
 $\langle \text{proof} \rangle$

lemma $SA\text{-poly-to-Qp-poly-taylor-cfs}:$
assumes $f \in \text{carrier } (\text{UP } (SA \ m))$
assumes $x \in \text{carrier } (Q_p^m)$
assumes $c \in \text{carrier } (SA \ m)$
shows $\text{taylor-expansion } (SA \ m) \ c \ f \ i \ x =$
 $\text{taylor-expansion } Q_p \ (c \ x) \ (SA\text{-poly-to-Qp-poly } m \ x \ f) \ i$
 $\langle \text{proof} \rangle$

14.13.1 Common Morphisms on Polynomial Rings

Evaluation homomorphism from multivariable polynomials to semialgebraic functions

definition $Qp\text{-ev-hom where}$
 $Qp\text{-ev-hom } n \ P = \text{restrict } (Qp\text{-ev } P) \ (\text{carrier } (Q_p^n))$

lemma $Qp\text{-ev-hom-ev}:$
assumes $a \in \text{carrier } (Q_p^n)$
shows $Qp\text{-ev-hom } n \ P \ a = Qp\text{-ev } P \ a$
 $\langle \text{proof} \rangle$

lemma $Qp\text{-ev-hom-closed}:$
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
shows $Qp\text{-ev-hom } n \ f \in \text{carrier } (Q_p^n) \rightarrow \text{carrier } Q_p$
 $\langle \text{proof} \rangle$

lemma $Qp\text{-ev-hom-is-semialg-function}:$
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
shows $\text{is-semialg-function } n \ (Qp\text{-ev-hom } n \ f)$
 $\langle \text{proof} \rangle$

lemma *Qp-ev-hom-closed'*:
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
shows $\text{Qp-ev-hom } n f \in \text{carrier } (\text{Fun}_n Q_p)$
<proof>

lemma *Qp-ev-hom-in-SA*:
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
shows $\text{Qp-ev-hom } n f \in \text{carrier } (\text{SA } n)$
<proof>

lemma *Qp-ev-hom-add*:
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $g \in \text{carrier } (Q_p[\mathcal{X}_n])$
shows $\text{Qp-ev-hom } n (f \oplus_{Q_p[\mathcal{X}_n]} g) = (\text{Qp-ev-hom } n f) \oplus_{\text{SA } n} (\text{Qp-ev-hom } n g)$
<proof>

lemma *Qp-ev-hom-mult*:
assumes $f \in \text{carrier } (Q_p[\mathcal{X}_n])$
assumes $g \in \text{carrier } (Q_p[\mathcal{X}_n])$
shows $\text{Qp-ev-hom } n (f \otimes_{Q_p[\mathcal{X}_n]} g) = (\text{Qp-ev-hom } n f) \otimes_{\text{SA } n} (\text{Qp-ev-hom } n g)$
<proof>

lemma *Qp-ev-hom-one*:
shows $\text{Qp-ev-hom } n \mathbf{1}_{Q_p[\mathcal{X}_n]} = \mathbf{1}_{\text{SA } n}$
<proof>

lemma *Qp-ev-hom-is-hom*:
shows $\text{Qp-ev-hom } n \in \text{ring-hom } (Q_p[\mathcal{X}_n]) (\text{SA } n)$
<proof>

lemma *Qp-ev-hom-constant*:
assumes $c \in \text{carrier } Q_p$
shows $\text{Qp-ev-hom } n (\text{Qp.indexed-const } c) = \mathbf{c}_n c$
<proof>

notation *Qp.variable* ($\langle \mathbf{v}_-, _ \rangle$)

lemma *Qp-ev-hom-pvar*:
assumes $i < n$
shows $\text{Qp-ev-hom } n (\text{pvar } Q_p i) = \mathbf{v}_{n, i}$
<proof>

definition *ext-hd* **where**
 $\text{ext-hd } m = (\lambda x \in \text{carrier } (Q_p^m). \text{hd } x)$

lemma *hd-zeroth*:
 $\text{length } x > 0 \implies x!0 = \text{hd } x$
<proof>

```

lemma ext-hd-pvar:
  assumes m > 0
  shows ext-hd m = ( $\lambda x \in \text{carrier } (Q_p[m])$ . eval-at-point  $Q_p x (pvar Q_p 0)$  )
  ⟨proof⟩

lemma ext-hd-closed:
  assumes m > 0
  shows ext-hd m ∈ carrier (SA m)
  ⟨proof⟩

lemma UP-Qp-poly-to-UP-SA-is-hom:
  shows poly-lift-hom (Qp[Xn]) (SA n) (Qp-ev-hom n) ∈ ring-hom (UP (Qp[Xn])) (UP (SA n))
  ⟨proof⟩

definition coord-ring-to-UP-SA where
  coord-ring-to-UP-SA n = poly-lift-hom (Qp[Xn]) (SA n) (Qp-ev-hom n) ∘ to-univ-poly (Suc n) 0

lemma coord-ring-to-UP-SA-is-hom:
  shows coord-ring-to-UP-SA n ∈ ring-hom (Qp[XSuc n]) (UP (SA n))
  ⟨proof⟩

lemma coord-ring-to-UP-SA-add:
  assumes f ∈ carrier (Qp[XSuc n])
  assumes g ∈ carrier (Qp[XSuc n])
  shows coord-ring-to-UP-SA n (f  $\oplus_{Qp[XSuc n]}$  g) = coord-ring-to-UP-SA n f
   $\oplus_{UP(SA n)}$  coord-ring-to-UP-SA n g
  ⟨proof⟩

lemma coord-ring-to-UP-SA-mult:
  assumes f ∈ carrier (Qp[XSuc n])
  assumes g ∈ carrier (Qp[XSuc n])
  shows coord-ring-to-UP-SA n (f  $\otimes_{Qp[XSuc n]}$  g) = coord-ring-to-UP-SA n f
   $\otimes_{UP(SA n)}$  coord-ring-to-UP-SA n g
  ⟨proof⟩

lemma coord-ring-to-UP-SA-one:
  shows coord-ring-to-UP-SA n 1 $_{Qp[XSuc n]}$  = 1 $_{UP(SA n)}$ 
  ⟨proof⟩

lemma coord-ring-to-UP-SA-closed:
  assumes f ∈ carrier (Qp[XSuc n])
  shows coord-ring-to-UP-SA n f ∈ carrier (UP (SA n))
  ⟨proof⟩

lemma coord-ring-to-UP-SA-constant:

```

```

assumes  $c \in \text{carrier } Q_p$ 
shows  $\text{coord-ring-to-UP-SA } n (Qp.\text{indexed-const } c) = \text{to-polynomial } (SA \ n) (\mathbf{c}_n$ 
 $c)$ 
 $\langle proof \rangle$ 

lemma  $\text{coord-ring-to-UP-SA-pvar-0:}$ 
shows  $\text{coord-ring-to-UP-SA } n (\text{pvar } Q_p \ 0) = \text{up-ring.monom } (\text{UP } (SA \ n)) \mathbf{1}_{SA \ n}$ 
 $1$ 
 $\langle proof \rangle$ 

lemma  $\text{coord-ring-to-UP-SA-pvar-Suc:}$ 
assumes  $i > 0$ 
assumes  $i < \text{Suc } n$ 
shows  $\text{coord-ring-to-UP-SA } n (\text{pvar } Q_p \ i) = \text{to-polynomial } (SA \ n) (\mathbf{v}_{n, \ i-1})$ 
 $\langle proof \rangle$ 

lemma  $\text{coord-ring-to-UP-SA-eval:}$ 
assumes  $f \in \text{carrier } (Q_p[\mathcal{X}_{\text{Suc } n}])$ 
assumes  $a \in \text{carrier } (Q_p^n)$ 
assumes  $t \in \text{carrier } Q_p$ 
shows  $\text{Qp-ev } f (t \# a) = ((SA\text{-poly-to-Qp-poly } n \ a \ (\text{coord-ring-to-UP-SA } n \ f))) \cdot$ 
 $t$ 
 $\langle proof \rangle$ 

```

14.13.2 Gluing Semialgebraic Polynomials

definition $SA\text{-poly-glue where}$
 $SA\text{-poly-glue } m \ S \ f \ g = (\lambda n. \text{fun-glue } m \ S \ (f \ n) \ (g \ n))$

```

lemma  $SA\text{-poly-glue-closed:}$ 
assumes  $f \in \text{carrier } (\text{UP } (SA \ m))$ 
assumes  $g \in \text{carrier } (\text{UP } (SA \ m))$ 
assumes  $\text{is-semialgebraic } m \ S$ 
shows  $SA\text{-poly-glue } m \ S \ f \ g \in \text{carrier } (\text{UP } (SA \ m))$ 
 $\langle proof \rangle$ 

```

```

lemma  $SA\text{-poly-glue-deg:}$ 
assumes  $f \in \text{carrier } (\text{UP } (SA \ m))$ 
assumes  $g \in \text{carrier } (\text{UP } (SA \ m))$ 
assumes  $\text{is-semialgebraic } m \ S$ 
assumes  $\text{deg } (SA \ m) \ f \leq d$ 
assumes  $\text{deg } (SA \ m) \ g \leq d$ 
shows  $\text{deg } (SA \ m) \ (SA\text{-poly-glue } m \ S \ f \ g) \leq d$ 
 $\langle proof \rangle$ 

```

```

lemma  $UP\text{-SA-cfs-closed:}$ 
assumes  $g \in \text{carrier } (\text{UP } (SA \ m))$ 
shows  $g \ k \in \text{carrier } (SA \ m)$ 
 $\langle proof \rangle$ 

```

```

lemma SA-poly-glue-cfs1:
  assumes f ∈ carrier (UP (SA m))
  assumes g ∈ carrier (UP (SA m))
  assumes is-semialgebraic m S
  assumes x ∈ S
  shows (SA-poly-glue m S f g) n x = f n x
  ⟨proof⟩

lemma SA-poly-glue-cfs2:
  assumes f ∈ carrier (UP (SA m))
  assumes g ∈ carrier (UP (SA m))
  assumes is-semialgebraic m S
  assumes x ∉ S
  assumes x ∈ carrier (Qpm)
  shows (SA-poly-glue m S f g) n x = g n x
  ⟨proof⟩

lemma SA-poly-glue-to-Qp-poly1:
  assumes f ∈ carrier (UP (SA m))
  assumes g ∈ carrier (UP (SA m))
  assumes is-semialgebraic m S
  assumes x ∈ S
  shows SA-poly-to-Qp-poly m x (SA-poly-glue m S f g) = SA-poly-to-Qp-poly m x
  f
  ⟨proof⟩

lemma SA-poly-glue-to-Qp-poly2:
  assumes f ∈ carrier (UP (SA m))
  assumes g ∈ carrier (UP (SA m))
  assumes is-semialgebraic m S
  assumes x ∉ S
  assumes x ∈ carrier (Qpm)
  shows SA-poly-to-Qp-poly m x (SA-poly-glue m S f g) = SA-poly-to-Qp-poly m x
  g
  ⟨proof⟩

```

14.13.3 Polynomials over the Valuation Ring

definition integral-on where
 $\text{integral-on } m B = \{f \in \text{carrier} (\text{UP} (\text{SA } m)). (\forall x \in B. \forall i. \text{SA-poly-to-Qp-poly } m x f i \in \mathcal{O}_p)\}$

```

lemma integral-on-memI:
  assumes f ∈ carrier (UP (SA m))
  assumes ⋀x i. x ∈ B ==> SA-poly-to-Qp-poly m x f i ∈ Op
  shows f ∈ integral-on m B
  ⟨proof⟩

```

```

lemma integral-on-memE:
  assumes  $f \in \text{integral-on } m B$ 
  shows  $f \in \text{carrier} (\text{UP} (\text{SA } m))$ 
     $\wedge x. x \in B \implies \text{SA-poly-to-Qp-poly } m x f i \in \mathcal{O}_p$ 
   $\langle \text{proof} \rangle$ 

lemma one-integral-on:
  assumes  $B \subseteq \text{carrier} (Q_p^m)$ 
  shows  $\mathbf{1} \text{ UP} (\text{SA } m) \in \text{integral-on } m B$ 
   $\langle \text{proof} \rangle$ 

lemma integral-on-plus:
  assumes  $B \subseteq \text{carrier} (Q_p^m)$ 
  assumes  $f \in \text{integral-on } m B$ 
  assumes  $g \in \text{integral-on } m B$ 
  shows  $f \oplus_{\text{UP} (\text{SA } m)} g \in \text{integral-on } m B$ 
   $\langle \text{proof} \rangle$ 

lemma integral-on-times:
  assumes  $B \subseteq \text{carrier} (Q_p^m)$ 
  assumes  $f \in \text{integral-on } m B$ 
  assumes  $g \in \text{integral-on } m B$ 
  shows  $f \otimes_{\text{UP} (\text{SA } m)} g \in \text{integral-on } m B$ 
   $\langle \text{proof} \rangle$ 

lemma integral-on-a-minus:
  assumes  $B \subseteq \text{carrier} (Q_p^m)$ 
  assumes  $f \in \text{integral-on } m B$ 
  shows  $\ominus_{\text{UP} (\text{SA } m)} f \in \text{integral-on } m B$ 
   $\langle \text{proof} \rangle$ 

lemma integral-on-subring:
  assumes  $B \subseteq \text{carrier} (Q_p^m)$ 
  shows  $\text{subring} (\text{integral-on } m B) (\text{UP} (\text{SA } m))$ 
   $\langle \text{proof} \rangle$ 

lemma val-ring-add-pow:
  assumes  $a \in \text{carrier } Q_p$ 
  assumes  $\text{val } a \geq 0$ 
  shows  $\text{val } ([n::nat] \cdot a) \geq 0$ 
   $\langle \text{proof} \rangle$ 

lemma val-ring-poly-eval:
  assumes  $f \in \text{carrier} (\text{UP } Q_p)$ 
  assumes  $\bigwedge i. f i \in \mathcal{O}_p$ 
  shows  $\bigwedge x. x \in \mathcal{O}_p \implies f \cdot x \in \mathcal{O}_p$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma SA-poly-constant-res-class-semialg':
  assumes  $f \in \text{carrier}(\text{UP}(SA\ m))$ 
  assumes  $\bigwedge i. x \in B \implies f i x \in \mathcal{O}_p$ 
  assumes  $\deg(SA\ m)\ f \leq d$ 
  assumes  $C \in \text{poly-res-classes}\ n\ d$ 
  assumes is-semialgebraic  $m\ B$ 
  shows is-semialgebraic  $m\ \{x \in B. SA\text{-poly-to-}Qp\text{-poly}\ m\ x\ f \in C\}$ 
   $\langle proof \rangle$ 

lemma SA-poly-constant-res-class-decomp:
  assumes  $f \in \text{carrier}(\text{UP}(SA\ m))$ 
  assumes  $\bigwedge i. x \in B \implies f i x \in \mathcal{O}_p$ 
  assumes  $\deg(SA\ m)\ f \leq d$ 
  assumes is-semialgebraic  $m\ B$ 
  shows  $B = (\bigcup C \in \text{poly-res-classes}\ n\ d. \{x \in B. SA\text{-poly-to-}Qp\text{-poly}\ m\ x\ f \in C\})$ 
   $\langle proof \rangle$ 

end

context UP-cring
begin

lemma pderiv-deg-bound:
  assumes  $p \in \text{carrier}\ P$ 
  assumes  $\deg R\ p \leq (\text{Suc}\ d)$ 
  shows  $\deg R\ (\text{pderiv}\ p) \leq d$ 
   $\langle proof \rangle$ 

lemma(in cring) minus-zero:
   $a \in \text{carrier}\ R \implies a \ominus \mathbf{0} = a$ 
   $\langle proof \rangle$ 

lemma (in UP-cring) taylor-expansion-at-zero:
  assumes  $g \in \text{carrier}\ (\text{UP}\ R)$ 
  shows taylor-expansion  $R\ \mathbf{0}\ g = g$ 
   $\langle proof \rangle$ 
end

```

14.14 Partitioning Semialgebraic Sets By Zero Sets of Function

```

context padic-fields
begin

definition SA-funs-to-SA-decomp where
   $SA\text{-funs-to-}SA\text{-decomp}\ n\ Fs\ S = \text{atoms-of}\ ((\cap)\ S\ '((SA\text{-zero-set}\ n\ 'Fs) \cup (SA\text{-nonzero-set}\ n\ 'Fs)))$ 

```

```

lemma SA-funs-to-SA-decomp-closed-0:
  assumes Fs ⊆ carrier (SA n)
  assumes is-semialgebraic n S
  shows ((∩) S ‘((SA-zero-set n ‘ Fs) ∪ (SA-nonzero-set n ‘ Fs))) ⊆ semialg-sets n
  ⟨proof⟩

lemma SA-funs-to-SA-decomp-closed:
  assumes finite Fs
  assumes Fs ⊆ carrier (SA n)
  assumes is-semialgebraic n S
  shows SA-funs-to-SA-decomp n Fs S ⊆ semialg-sets n
  ⟨proof⟩

lemma SA-funs-to-SA-decomp-finite:
  assumes finite Fs
  assumes Fs ⊆ carrier (SA n)
  assumes is-semialgebraic n S
  shows finite (SA-funs-to-SA-decomp n Fs S)
  ⟨proof⟩

lemma SA-funs-to-SA-decomp-disjoint:
  assumes finite Fs
  assumes Fs ⊆ carrier (SA n)
  assumes is-semialgebraic n S
  shows disjoint (SA-funs-to-SA-decomp n Fs S)
  ⟨proof⟩

lemma pre-SA-funs-to-SA-decomp-in-algebra:
  shows ((∩) S ‘(SA-zero-set n ‘ Fs ∪ SA-nonzero-set n ‘ Fs)) ⊆ gen-boolean-algebra
  S (SA-zero-set n ‘ Fs ∪ SA-nonzero-set n ‘ Fs)
  ⟨proof⟩

lemma SA-funs-to-SA-decomp-in-algebra:
  assumes finite Fs
  shows SA-funs-to-SA-decomp n Fs S ⊆ gen-boolean-algebra S (SA-zero-set n ‘
  Fs ∪ SA-nonzero-set n ‘ Fs)
  ⟨proof⟩

lemma SA-funs-to-SA-decomp-subset:
  assumes finite Fs
  assumes Fs ⊆ carrier (SA n)
  assumes is-semialgebraic n S
  assumes A ∈ SA-funs-to-SA-decomp n Fs S
  shows A ⊆ S
  ⟨proof⟩

lemma SA-funs-to-SA-decomp-memE:
  assumes finite Fs
  assumes Fs ⊆ carrier (SA n)

```

```

assumes is-semialgebraic n S
assumes A ∈ (SA-funs-to-SA-decomp n Fs S)
assumes f ∈ Fs
shows A ⊆ SA-zero-set n f ∨ A ⊆ SA-nonzero-set n f
⟨proof⟩

lemma SA-funs-to-SA-decomp-covers:
assumes finite Fs
assumes Fs ≠ {}
assumes Fs ⊆ carrier (SA n)
assumes is-semialgebraic n S
shows S = ∪ (SA-funs-to-SA-decomp n Fs S)
⟨proof⟩

end
end

```

References

- [1] J. Denef. p-adic semi-algebraic sets and cell decomposition. *Journal für die reine und angewandte Mathematik*, 369:154–166, 1986.
- [2] A. Engler. *Valued fields*. Springer, Berlin New York, 2005.