

A Partition Theorem for the Ordinal ω^ω

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March 17, 2025

Abstract

The theory of partition relations concerns generalisations of Ramsey's theorem. For any ordinal α , write $\alpha \rightarrow (\alpha, m)^2$ if for each function f from unordered pairs of elements of α into $\{0, 1\}$, either there is a subset $X \subseteq \alpha$ order-isomorphic to α such that $f\{x, y\} = 0$ for all $\{x, y\} \subseteq X$, or there is an m element set $Y \subseteq \alpha$ such that $f\{x, y\} = 1$ for all $\{x, y\} \subseteq Y$. (In both cases, with $\{x, y\}$ we require $x \neq y$.) In particular, the infinite Ramsey theorem can be written in this notation as $\omega \rightarrow (\omega, \omega)^2$, or if we restrict m to the positive integers as above, then $\omega \rightarrow (\omega, m)^2$ for all m [3].

This entry formalises Larson's proof of $\omega^\omega \rightarrow (\omega^\omega, m)^2$ along with a similar proof of a result due to Specker: $\omega^2 \rightarrow (\omega^2, m)^2$. Also proved is a necessary result by Erdős and Milner [1, 2]: $\omega^{1+\alpha \cdot n} \rightarrow (\omega^{1+\alpha}, 2^n)^2$.

These examples demonstrate the use of Isabelle/HOL to formalise advanced results that combine ZF set theory with basic concepts like lists and natural numbers.

Contents

1 Library additions	2
1.1 Other material	3
1.2 The list-of function	4
1.3 Monotonic enumeration of a countably infinite set	4
2 Ordinal Partitions	6
2.1 Ordinal Partitions: Definitions	6
2.2 Relating partition properties on VWF to the general case . .	8
2.3 Simple consequences of the definitions	8
2.4 Specker's theorem	8
2.5 Erds-Milner theorem	10
3 An ordinal partition theorem by Jean A. Larson	11
3.1 Cantor normal form for ordinals below $\omega \uparrow \omega$	11
3.2 Larson's set $W(n)$	13
3.3 Definitions required for the lemmas	15

3.3.1	Larson's " $<$ "-relation on ordered lists	15
3.4	Nash Williams for lists	16
3.4.1	Thin sets of lists	16
3.5	Specialised functions on lists	17
3.6	Forms and interactions	19
3.6.1	Forms	19
3.6.2	Interactions	20
3.6.3	Injectivity of interactions	21
3.7	For Lemma 3.8 AND PROBABLY 3.7	22
3.8	Larson's Lemma 3.11	23
3.9	Larson's Lemma 3.6	23
3.10	Larson's Lemma 3.7	23
3.10.1	Preliminaries	23
3.10.2	Lemma 3.7 of Jean A. Larson, <i>ibid.</i>	24
3.11	Larson's Lemma 3.8	24
3.11.1	Primitives needed for the inductive construction of b .	24
3.11.2	Special primitives for the ordertype proof	25
3.11.3	The final part of 3.8, where two sequences are merged	25
3.11.4	Actual proof of Larson's Lemma 3.8	27
3.12	The main partition theorem for $\omega \uparrow \omega$	28

4 Acknowledgements 28

1 Library additions

```

theory Library-Additions
imports ZFC-in-HOL.Ordinal-Exp HOL-Library.Ramsey Nash-Williams.Nash-Williams

begin

lemma finite-enumerate-Diff-singleton:
  fixes S :: 'a::wellorder set
  assumes finite S and i: i < card S enumerate S i < x
  shows enumerate (S - {x}) i = enumerate S i
  ⟨proof⟩

lemma hd-lex: [|hd ms < hd ns; length ms = length ns; ns ≠ []|] ==> (ms, ns) ∈
  lex less-than
  ⟨proof⟩

lemma sorted-hd-le:
  assumes sorted xs x ∈ list.set xs
  shows hd xs ≤ x
  ⟨proof⟩

lemma sorted-le-last:

```

```

assumes sorted xs  $x \in \text{list.set } xs$ 
shows  $x \leq \text{last } xs$ 
⟨proof⟩

lemma hd-list-of:
assumes finite A  $A \neq \{\}$ 
shows hd (sorted-list-of-set A) = Min A
⟨proof⟩

lemma sorted-hd-le-last:
assumes sorted xs  $xs \neq []$ 
shows hd xs  $\leq \text{last } xs$ 
⟨proof⟩

lemma sorted-list-of-set-set-of [simp]: strict-sorted l  $\implies$  sorted-list-of-set (list.set l) = l
⟨proof⟩

lemma range-strict-mono-ext:
fixes f::nat  $\Rightarrow$  'a::linorder
assumes eq: range f = range g
and sm: strict-mono f strict-mono g
shows f = g
⟨proof⟩

```

1.1 Other material

```

definition strict-mono-sets :: ['a::order set, 'a::order  $\Rightarrow$  'b::order set]  $\Rightarrow$  bool where
strict-mono-sets A f  $\equiv \forall x \in A. \forall y \in A. x < y \longrightarrow \text{less-sets } (f x) (f y)$ 

lemma strict-mono-setsD:
assumes strict-mono-sets A f  $x < y \ x \in A \ y \in A$ 
shows less-sets (f x) (f y)
⟨proof⟩

lemma strict-mono-sets-imp-disjoint:
fixes A :: 'a::linorder set
assumes strict-mono-sets A f
shows pairwise ( $\lambda x y. \text{disjnt } (f x) (f y)$ ) A
⟨proof⟩

lemma strict-mono-sets-subset:
assumes strict-mono-sets B f  $A \subseteq B$ 
shows strict-mono-sets A f
⟨proof⟩

lemma strict-mono-less-sets-Min:
assumes strict-mono-sets I f finite I  $I \neq \{\}$ 
shows less-sets (f (Min I)) ( $\bigcup (f' (I - \{\text{Min } I\}))$ )

```

(proof)

lemma *pair-less-iff1* [simp]: $((x,y), (x,z)) \in \text{pair-less} \longleftrightarrow y < z$
(proof)

lemma *infinite-finite-Inter*:
 assumes *finite A A ≠ {} ∧ A. A ∈ A ⇒ infinite A*
 and $\bigwedge A B. [A \in \mathcal{A}; B \in \mathcal{A}] \Rightarrow A \cap B \in \mathcal{A}$
 shows *infinite (∩ A)*
(proof)

lemma *atLeast-less-sets*: $[\text{less-sets } A \{x\}; B \subseteq \{x..\}] \Rightarrow \text{less-sets } A B$
(proof)

1.2 The list-of function

lemma *sorted-list-of-set-insert-remove-cons*:
 assumes *finite A less-sets {a} A*
 shows *sorted-list-of-set (insert a A) = a # sorted-list-of-set A*
(proof)

lemma *sorted-list-of-set-Un*:
 assumes *AB: less-sets A B and fin: finite A finite B*
 shows *sorted-list-of-set (A ∪ B) = sorted-list-of-set A @ sorted-list-of-set B*
(proof)

lemma *sorted-list-of-set-UN-lessThan*:
 fixes *k:nat*
 assumes *sm: strict-mono-sets {..<k} A and ∏i. i < k ⇒ finite (A i)*
 shows *sorted-list-of-set (∪ i<k. A i) = concat (map (sorted-list-of-set ∘ A) (sorted-list-of-set {..<k}))*
(proof)

lemma *sorted-list-of-set-UN-atMost*:
 fixes *k:nat*
 assumes *strict-mono-sets {..k} A and ∏i. i ≤ k ⇒ finite (A i)*
 shows *sorted-list-of-set (∪ i≤k. A i) = concat (map (sorted-list-of-set ∘ A) (sorted-list-of-set {..k}))*
(proof)

1.3 Monotonic enumeration of a countably infinite set

abbreviation *enum ≡ enumerate*

Could be generalised to infinite countable sets of any type

lemma *nat-infinite-iff*:
 fixes *N :: nat set*
 shows *infinite N ↔ (∃f::nat⇒nat. N = range f ∧ strict-mono f)*
(proof)

```

lemma enum-works:
  fixes N :: nat set
  assumes infinite N
  shows N = range (enum N)  $\wedge$  strict-mono (enum N)
  {proof}

lemma range-enum: range (enum N) = N and strict-mono-enum: strict-mono
  (enum N)
  if infinite N for N :: nat set
  {proof}

lemma enum-0-eq-Inf:
  fixes N :: nat set
  assumes infinite N
  shows enum N 0 = Inf N
  {proof}

lemma enum-works-finite:
  fixes N :: nat set
  assumes finite N
  shows N = enum N ‘ {.. $< \text{card } N$ }  $\wedge$  strict-mono-on {.. $< \text{card } N$ } (enum N)
  {proof}

lemma enum-obtain-index-finite:
  fixes N :: nat set
  assumes  $x \in N$  finite N
  obtains i where  $i < \text{card } N$   $x = \text{enum } N i$ 
  {proof}

lemma enum-0-eq-Inf-finite:
  fixes N :: nat set
  assumes finite N  $N \neq \{\}$ 
  shows enum N 0 = Inf N
  {proof}

lemma greaterThan-less-enum:
  fixes N :: nat set
  assumes  $N \subseteq \{x <..\}$  infinite N
  shows  $x < \text{enum } N i$ 
  {proof}

lemma atLeast-le-enum:
  fixes N :: nat set
  assumes  $N \subseteq \{x..\}$  infinite N
  shows  $x \leq \text{enum } N i$ 
  {proof}

lemma less-sets-empty1 [simp]: less-sets {} A and less-sets-empty2 [simp]: less-sets
  A {}

```

$\langle proof \rangle$

lemma less-sets-singleton1 [simp]: less-sets {a} A $\longleftrightarrow (\forall x \in A. a < x)$
and less-sets-singleton2 [simp]: less-sets A {a} $\longleftrightarrow (\forall x \in A. x < a)$
 $\langle proof \rangle$

lemma less-sets-atMost [simp]: less-sets {..a} A $\longleftrightarrow (\forall x \in A. a < x)$
and less-sets-alLeast [simp]: less-sets A {a..} $\longleftrightarrow (\forall x \in A. x < a)$
 $\langle proof \rangle$

lemma less-sets-imp-strict-mono-sets:
assumes $\bigwedge i. \text{less-sets}(A i) (A (\text{Suc } i)) \wedge i > 0 \implies A i \neq \{\}$
shows strict-mono-sets UNIV A
 $\langle proof \rangle$

lemma less-sets-Suc-Max:
assumes finite A
shows less-sets A {Suc (Max A)..}
 $\langle proof \rangle$

lemma infinite-nat-greaterThan:
fixes m::nat
assumes infinite N
shows infinite ($N \cap \{m < ..\}$)
 $\langle proof \rangle$

end

2 Ordinal Partitions

Material from Jean A. Larson, A short proof of a partition theorem for the ordinal ω^ω . *Annals of Mathematical Logic*, 6:129–145, 1973. Also from “Partition Relations” by A. Hajnal and J. A. Larson, in *Handbook of Set Theory*, edited by Matthew Foreman and Akihiro Kanamori (Springer, 2010).

theory Partitions
imports Library-Additions ZFC-in-HOL.ZFC-Typeclasses ZFC-in-HOL.Cantor-NF

begin

abbreviation tp :: V set \Rightarrow V
where tp A \equiv ordertype A VWF

2.1 Ordinal Partitions: Definitions

definition partn-lst :: [$'a \times 'a$ set, ' a set, V list, nat] \Rightarrow bool
where partn-lst r B α n \equiv $\forall f \in [B]^n \rightarrow \{.. < \text{length } \alpha\}.$
 $\exists i < \text{length } \alpha. \exists H. H \subseteq B \wedge \text{ordertype } H r = (\alpha!i) \wedge f`(\text{nsets } H n)$
 $\subseteq \{i\}$

```

abbreviation partn-lst-VWF ::  $V \Rightarrow V \text{ list} \Rightarrow \text{nat} \Rightarrow \text{bool}$ 
where partn-lst-VWF  $\beta \equiv$  partn-lst VWF (elts  $\beta$ )

lemma partn-lst-E:
assumes partn-lst  $r B \alpha n f \in \text{nsets } B n \rightarrow \{\dots < l\} l = \text{length } \alpha$ 
obtains  $i H$  where  $i < l H \subseteq B$ 
ordertype  $H r = \alpha!i f`(\text{nsets } H n) \subseteq \{i\}$ 
⟨proof⟩

lemma partn-lst-VWF-nontriv:
assumes partn-lst-VWF  $\beta \alpha n l = \text{length } \alpha \text{ Ord } \beta l > 0$ 
obtains  $i$  where  $i < l \alpha!i \leq \beta$ 
⟨proof⟩

lemma partn-lst-triv0:
assumes  $\alpha!i = 0 i < \text{length } \alpha n \neq 0$ 
shows partn-lst  $r B \alpha n$ 
⟨proof⟩

lemma partn-lst-triv1:
assumes  $\alpha!i \leq 1 i < \text{length } \alpha n > 1 B \neq \{\} \text{ wf } r$ 
shows partn-lst  $r B \alpha n$ 
⟨proof⟩

lemma partn-lst-two-swap:
assumes partn-lst  $r B [x,y] n$  shows partn-lst  $r B [y,x] n$ 
⟨proof⟩

lemma partn-lst-greater-resource:
assumes  $M: \text{partn-lst } r B \alpha n \text{ and } B \subseteq C$ 
shows partn-lst  $r C \alpha n$ 
⟨proof⟩

lemma partn-lst-less:
assumes  $M: \text{partn-lst } r B \alpha n \text{ and eq: } \text{length } \alpha' = \text{length } \alpha \text{ and } \text{List.set } \alpha' \subseteq ON$ 
and  $le: \bigwedge i. i < \text{length } \alpha \implies \alpha'!i \leq \alpha!i$ 
and  $r: \text{wf } r \text{ trans } r \text{ total-on } B r \text{ and small } B$ 
shows partn-lst  $r B \alpha' n$ 
⟨proof⟩

Holds because no  $n$ -sets exist!

lemma partn-lst-VWF-degenerate:
assumes  $k < n$ 
shows partn-lst-VWF  $\omega (\text{ord-of-nat } k \# \alpha s) n$ 
⟨proof⟩

```

```

lemma partn-lst-VWF- $\omega$ -2:
  assumes Ord  $\alpha$ 
  shows partn-lst-VWF ( $\omega \uparrow (1+\alpha)$ ) [2,  $\omega \uparrow (1+\alpha)$ ] 2 (is partn-lst-VWF ? $\beta$  - -)
  ⟨proof⟩

```

2.2 Relating partition properties on VWF to the general case

Two very similar proofs here!

```

lemma partn-lst-imp-partn-lst-VWF-eq:
  assumes part: partn-lst r U  $\alpha$  n and  $\beta$ : ordertype U r =  $\beta$  and small U
    and r: wf r trans r total-on U r
  shows partn-lst-VWF  $\beta$   $\alpha$  n
  ⟨proof⟩

```

```

lemma partn-lst-imp-partn-lst-VWF:
  assumes part: partn-lst r U  $\alpha$  n and  $\beta$ : ordertype U r  $\leq \beta$  small U
    and r: wf r trans r total-on U r
  shows partn-lst-VWF  $\beta$   $\alpha$  n
  ⟨proof⟩

```

```

lemma partn-lst-VWF-imp-partn-lst-eq:
  assumes part: partn-lst-VWF  $\beta$   $\alpha$  n and  $\beta$ : ordertype U r =  $\beta$  small U
    and r: wf r trans r total-on U r
  shows partn-lst r U  $\alpha$  n
  ⟨proof⟩

```

```

corollary partn-lst-VWF-imp-partn-lst:
  assumes partn-lst-VWF  $\beta$   $\alpha$  n and  $\beta$ : ordertype U r  $\geq \beta$  small U
    wf r trans r total-on U r
  shows partn-lst r U  $\alpha$  n
  ⟨proof⟩

```

2.3 Simple consequences of the definitions

A restatement of the infinite Ramsey theorem using partition notation

```

lemma Ramsey-partn: partn-lst-VWF  $\omega$  [ $\omega, \omega$ ] 2
  ⟨proof⟩

```

This is the counterexample sketched in Hajnal and Larson, section 9.1.

```

proposition omega-basic-counterexample:
  assumes Ord  $\alpha$ 
  shows  $\neg$  partn-lst-VWF  $\alpha$  [succ (vcard  $\alpha$ ),  $\omega$ ] 2
  ⟨proof⟩

```

2.4 Specker's theorem

```

definition form-split :: [nat, nat, nat, nat, nat]  $\Rightarrow$  bool where
  form-split a b c d i  $\equiv$  a  $\leq$  c  $\wedge$  (i=0  $\wedge$  a < b  $\wedge$  b < c  $\wedge$  c < d  $\vee$ 
    i=1  $\wedge$  a < c  $\wedge$  c < b  $\wedge$  b < d  $\vee$ 

```

$$\begin{aligned} i=2 \wedge a < c \wedge c < d \wedge d < b \vee \\ i=3 \wedge a = c \wedge b \neq d) \end{aligned}$$

definition *form* :: $[(nat * nat) set, nat] \Rightarrow bool$ **where**
form u i $\equiv \exists a b c d. u = \{(a,b),(c,d)\} \wedge form\text{-split } a b c d i$

definition *scheme* :: $[(nat * nat) set] \Rightarrow nat set$ **where**
scheme u $\equiv fst' u \cup snd' u$

definition *UU* :: $(nat * nat)$ set
where *UU* $\equiv \{(a,b). a < b\}$

lemma *ordertype-UNIV-ω2*: *ordertype UNIV pair-less* = $\omega \uparrow 2$
⟨proof⟩

lemma *ordertype-UU-ge-ω2*: *ordertype UNIV pair-less* \leq *ordertype UU pair-less*
⟨proof⟩

lemma *ordertype-UU-ω2*: *ordertype UU pair-less* = $\omega \uparrow 2$
⟨proof⟩

Lemma 2.3 of Jean A. Larson, A short proof of a partition theorem for the ordinal ω^ω . *Annals of Mathematical Logic*, 6:129–145, 1973.

lemma *lemma-2-3*:
fixes *f* :: $(nat \times nat)$ set $\Rightarrow nat$
assumes *f* $\in [UU]^2 \rightarrow \{\dots < Suc (Suc 0)\}$
obtains *N js* **where** *infinite N and* $\bigwedge k u. \llbracket k < 4; u \in [UU]^2; form u k; scheme u \subseteq N \rrbracket \implies f u = js!k$
⟨proof⟩

Lemma 2.4 of Jean A. Larson, ibid.

lemma *lemma-2-4*:
assumes *infinite N k < 4*
obtains *M* **where** *M* $\in [UU]^m \wedge u. u \in [M]^2 \implies form u k \wedge u. u \in [M]^2 \implies scheme u \subseteq N$
⟨proof⟩

Lemma 2.5 of Jean A. Larson, ibid.

lemma *lemma-2-5*:
assumes *infinite N*
obtains *X* **where** *X* $\subseteq UU$ *ordertype X pair-less* = $\omega \uparrow 2$
 $\bigwedge u. u \in [X]^2 \implies (\exists k < 4. form u k) \wedge scheme u \subseteq N$
⟨proof⟩

Theorem 2.1 of Jean A. Larson, ibid.

lemma *Specker-aux*:
assumes $\alpha \in elts \omega$
shows *partn-lst pair-less UU* [$\omega \uparrow 2, \alpha$] 2
⟨proof⟩

theorem Specker: $\alpha \in \text{elts } \omega \implies \text{partn-lst-VWF } (\omega \uparrow 2) [\omega \uparrow 2, \alpha] 2$
 $\langle \text{proof} \rangle$

end
theory Erdos-Milner
imports Partitions

begin

2.5 Erds-Milner theorem

P. Erds and E. C. Milner, A Theorem in the Partition Calculus. Canadian Math. Bull. 15:4 (1972), 501-505. Corrigendum, Canadian Math. Bull. 17:2 (1974), 305.

The paper defines strong types as satisfying the criteria below. It remarks that ordinals satisfy those criteria. Here is a (too complicated) proof.

proposition strong-ordertype-eq:

assumes $D: D \subseteq \text{elts } \beta$ **and** $\text{Ord } \beta$

obtains L **where** $\bigcup(\text{List.set } L) = D \wedge \forall X. X \in \text{List.set } L \implies \text{indecomposable}(tp X)$

and $\bigwedge M. [M \subseteq D; \bigwedge X. X \in \text{List.set } L \implies tp(M \cap X) \geq tp X] \implies tp M = tp D$

$\langle \text{proof} \rangle$

The “remark” of Erds and E. C. Milner, Canad. Math. Bull. Vol. 17 (2), 1974

proposition indecomposable-imp-Ex-less-sets:

assumes indec: indecomposable α **and** $\alpha \geq \omega$

and $A: tp A = \alpha$ small A $A \subseteq ON$

and $x \in A$ **and** $A1: tp A1 = \alpha$ $A1 \subseteq A$

obtains $A2$ **where** $tp A2 = \alpha$ $A2 \subseteq A1 \setminus \{x\} \ll A2$

$\langle \text{proof} \rangle$

the main theorem, from which they derive the headline result

theorem Erdos-Milner-aux:

assumes part: partn-lst-VWF $\alpha [k, \gamma] 2$

and indec: indecomposable α **and** $k > 1$ $\text{Ord } \gamma$ **and** $\beta: \beta \in \text{elts } \omega 1$

shows partn-lst-VWF $(\alpha * \beta) [\text{ord-of-nat } (2 * k), \min \gamma (\omega * \beta)] 2$

$\langle \text{proof} \rangle$

theorem Erdos-Milner:

assumes $\nu: \nu \in \text{elts } \omega 1$

shows partn-lst-VWF $(\omega \uparrow (1 + \nu * n)) [\text{ord-of-nat } (2 \hat{n}), \omega \uparrow (1 + \nu)] 2$

$\langle \text{proof} \rangle$

corollary *remark-3: partn-lst-VWF* ($\omega \uparrow (Suc(4*k))$) [4, $\omega \uparrow (Suc(2*k))$] 2
(proof)

Theorem 3.2 of Jean A. Larson, ibid.

corollary *Theorem-3-2:*

fixes $k n::nat$

shows *partn-lst-VWF* ($\omega \uparrow (n*k)$) [$\omega \uparrow n$, *ord-of-nat k*] 2

(proof)

end

3 An ordinal partition theorem by Jean A. Larson

Jean A. Larson, A short proof of a partition theorem for the ordinal ω^ω .
Annals of Mathematical Logic, 6:129–145, 1973.

theory *Omega-Omega*

imports *HOL-Library.Product-Lexorder Erdos-Milner*

begin

abbreviation *list-of* \equiv *sorted-list-of-set*

3.1 Cantor normal form for ordinals below $\omega \uparrow \omega$

Unlike *Cantor-sum*, there is no list of ordinal exponents, which are instead taken as consecutive. We obtain an order-isomorphism between $\omega \uparrow \omega$ and increasing lists of natural numbers (ordered lexicographically).

fun *omega-sum-aux* **where**

Nil: *omega-sum-aux* 0 - = 0

| *Suc*: *omega-sum-aux* (*Suc n*) [] = 0

| *Cons*: *omega-sum-aux* (*Suc n*) (*m#ms*) = ($\omega \uparrow n$) * (*ord-of-nat m*) + *omega-sum-aux* n *ms*

abbreviation *omega-sum* **where** *omega-sum ms* \equiv *omega-sum-aux* (*length ms*)
ms

A normal expansion has no leading zeroes

inductive *normal*:: *nat list* \Rightarrow *bool* **where**

normal-Nil[*iff*]: *normal* []

| *normal-Cons*: m > 0 \implies *normal* (*m#ms*)

inductive-simps *normal-Cons-iff* [*simp*]: *normal* (*m#ms*)

lemma *omega-sum-0-iff* [*simp*]: *normal ns* \implies *omega-sum ns* = 0 \longleftrightarrow *ns* = []
(proof)

lemma *Ord-omega-sum-aux* [*simp*]: *Ord* (*omega-sum-aux k ms*)

$\langle proof \rangle$

lemma *Ord-omega-sum*: *Ord* (*omega-sum* *ms*)
 $\langle proof \rangle$

lemma *omega-sum-less-ww* [*intro*]: *omega-sum* *ms* < $\omega \uparrow \omega$
 $\langle proof \rangle$

lemma *omega-sum-aux-less*: *omega-sum-aux* *k* *ms* < $\omega \uparrow k$
 $\langle proof \rangle$

lemma *omega-sum-less*: *omega-sum* *ms* < $\omega \uparrow (\text{length } ms)$
 $\langle proof \rangle$

lemma *omega-sum-ge*: $m \neq 0 \implies \omega \uparrow (\text{length } ms) \leq \text{omega-sum} (m \# ms)$
 $\langle proof \rangle$

lemma *omega-sum-length-less*:
 assumes *normal ns length ms* < *length ns*
 shows *omega-sum ms* < *omega-sum ns*
 $\langle proof \rangle$

lemma *omega-sum-length-leD*:
 assumes *omega-sum ms* ≤ *omega-sum ns normal ms*
 shows *length ms* ≤ *length ns*
 $\langle proof \rangle$

lemma *omega-sum-less-eqlen-iff-cases* [*simp*]:
 assumes *length ms* = *length ns*
 shows *omega-sum (m # ms)* < *omega-sum (n # ns)* $\longleftrightarrow m < n \vee m = n \wedge \text{omega-sum}
ms < *omega-sum ns*
 $\langle proof \rangle$$

lemma *omega-sum-less-iff-cases*:
 assumes $m > 0$ $n > 0$
 shows *omega-sum (m # ms)* < *omega-sum (n # ns)*
 $\longleftrightarrow \text{length ms} < \text{length ns}$
 $\vee \text{length ms} = \text{length ns} \wedge m < n$
 $\vee \text{length ms} = \text{length ns} \wedge m = n \wedge \text{omega-sum ms} < \text{omega-sum ns}$
 $\langle proof \rangle$

lemma *omega-sum-less-iff*:
 $((\text{length } ms, \text{omega-sum } ms), (\text{length } ns, \text{omega-sum } ns)) \in \text{less-than } \langle *lex* \rangle$
 VWF
 $\longleftrightarrow (ms, ns) \in \text{lenlex less-than}$
 $\langle proof \rangle$

lemma *eq-omega-sum-less-iff*:
 assumes *length ms* = *length ns*

shows $(\text{omega-sum } ms, \text{omega-sum } ns) \in VWF \longleftrightarrow (ms, ns) \in \text{lenlex less-than}$
 $\langle \text{proof} \rangle$

lemma $\text{eq-omega-sum-eq-iff}:$

assumes $\text{length } ms = \text{length } ns$
shows $\text{omega-sum } ms = \text{omega-sum } ns \longleftrightarrow ms = ns$
 $\langle \text{proof} \rangle$

lemma $\text{inj-omega-sum: inj-on omega-sum } \{l. \text{length } l = n\}$
 $\langle \text{proof} \rangle$

lemma $\text{Ex-omega-sum: } \gamma \in \text{elts } (\omega \uparrow n) \implies \exists ns. \gamma = \text{omega-sum } ns \wedge \text{length } ns = n$
 $\langle \text{proof} \rangle$

lemma $\text{omega-sum-drop [simp]: omega-sum } (\text{dropWhile } (\lambda n. n=0) ns) = \text{omega-sum } ns$
 $\langle \text{proof} \rangle$

lemma $\text{normal-drop [simp]: normal } (\text{dropWhile } (\lambda n. n=0) ns)$
 $\langle \text{proof} \rangle$

lemma $\text{omega-sum-}\omega\omega:$
assumes $\gamma \in \text{elts } (\omega \uparrow \omega)$
obtains ns **where** $\gamma = \text{omega-sum } ns \text{ normal } ns$
 $\langle \text{proof} \rangle$

definition $\text{Cantor-}\omega\omega :: V \Rightarrow \text{nat list}$
where $\text{Cantor-}\omega\omega \equiv \lambda x. \text{SOME } ns. x = \text{omega-sum } ns \wedge \text{normal } ns$

lemma

assumes $\gamma \in \text{elts } (\omega \uparrow \omega)$
shows $\text{Cantor-}\omega\omega: \text{omega-sum } (\text{Cantor-}\omega\omega \gamma) = \gamma$
and $\text{normal-Cantor-}\omega\omega: \text{normal } (\text{Cantor-}\omega\omega \gamma)$
 $\langle \text{proof} \rangle$

3.2 Larson's set $W(n)$

definition $WW :: \text{nat list set}$
where $WW \equiv \{l. \text{strict-sorted } l\}$

fun $\text{into-WW :: nat} \Rightarrow \text{nat list} \Rightarrow \text{nat list}$ **where**
 $\text{into-WW } k [] = []$
 $| \text{into-WW } k (n \# ns) = (k+n) \# \text{into-WW } (\text{Suc } (k+n)) ns$

fun $\text{from-WW :: nat} \Rightarrow \text{nat list} \Rightarrow \text{nat list}$ **where**
 $\text{from-WW } k [] = []$
 $| \text{from-WW } k (n \# ns) = (n - k) \# \text{from-WW } (\text{Suc } n) ns$

lemma *from-into- WW* [*simp*]: *from- WW* k (*into- WW* k ns) = ns
⟨proof⟩

lemma *inj-into- WW* : *inj* (*into- WW* k)
⟨proof⟩

lemma *into-from- WW -aux*:
 $\llbracket \text{strict-sorted } ns; \forall n \in \text{list.set } ns. k \leq n \rrbracket \implies \text{into-}\text{WW} k (\text{from-}\text{WW} k ns) = ns$
⟨proof⟩

lemma *into-from- WW* [*simp*]: *strict-sorted* $ns \implies \text{into-}\text{WW} 0 (\text{from-}\text{WW} 0 ns)$
 $= ns$
⟨proof⟩

lemma *into- WW -imp-ge*: $y \in \text{List.set} (\text{into-}\text{WW} x ns) \implies x \leq y$
⟨proof⟩

lemma *strict-sorted-into- WW* : *strict-sorted* (*into- WW* x ns)
⟨proof⟩

lemma *length-into- WW* : *length* (*into- WW* x ns) = *length* ns
⟨proof⟩

lemma *WW-eq-range-into*: *WW* = *range* (*into- WW* 0)
⟨proof⟩

lemma *into- WW -lenlex-iff*: (*into- WW* k ms , *into- WW* k ns) ∈ *lenlex less-than*
 $\longleftrightarrow (ms, ns) \in \text{lenlex less-than}$
⟨proof⟩

lemma *wf-llt* [*simp*]: *wf* (*lenlex less-than*) **and** *trans-llt* [*simp*]: *trans* (*lenlex less-than*)
⟨proof⟩

lemma *total-llt* [*simp*]: *total-on* A (*lenlex less-than*)
⟨proof⟩

lemma *omega-sum-1-less*:
assumes $(ms, ns) \in \text{lenlex less-than}$ **shows** *omega-sum* ($1 \# ms$) < *omega-sum* ($1 \# ns$)
⟨proof⟩

lemma *ordertype- WW -1*: *ordertype* *WW* (*lenlex less-than*) ≤ *ordertype* *UNIV*
(*lenlex less-than*)
⟨proof⟩

lemma *ordertype- WW -2*: *ordertype* *UNIV* (*lenlex less-than*) ≤ $\omega \uparrow \omega$
⟨proof⟩

lemma *ordertype- WW -3*: $\omega \uparrow \omega \leq \text{ordertype} \text{ WW}$ (*lenlex less-than*)

$\langle proof \rangle$

lemma *ordertype- WW* : *ordertype* WW (*lenlex less-than*) = $\omega \uparrow \omega$
and *ordertype- $UNIV-\omega\omega$* : *ordertype* $UNIV$ (*lenlex less-than*) = $\omega \uparrow \omega$
 $\langle proof \rangle$

lemma *ordertype- $\omega\omega$* :
 fixes $F :: nat \Rightarrow nat list set$
 assumes $\bigwedge j :: nat. ordertype (F j) (\text{lenlex less-than}) = \omega \uparrow j$
 shows *ordertype* $(\bigcup j. F j)$ (*lenlex less-than*) = $\omega \uparrow \omega$
 $\langle proof \rangle$

definition *WW-seg* :: $nat \Rightarrow nat list set$
 where *WW-seg* $n \equiv \{l \in WW. \text{length } l = n\}$

lemma *WW-seg-subset-WW*: *WW-seg* $n \subseteq WW$
 $\langle proof \rangle$

lemma *WW-eq-UN-WW-seg*: $WW = (\bigcup n. WW\text{-seg } n)$
 $\langle proof \rangle$

lemma *ordertype-list-seg*: *ordertype* $\{l. \text{length } l = n\}$ (*lenlex less-than*) = $\omega \uparrow n$
 $\langle proof \rangle$

lemma *ordertype-WW-seg*: *ordertype* $(WW\text{-seg } n)$ (*lenlex less-than*) = $\omega \uparrow n$
(**is** *ordertype* ? W ? R = $\omega \uparrow n$)
 $\langle proof \rangle$

3.3 Definitions required for the lemmas

3.3.1 Larson's " $<$ "-relation on ordered lists

instantiation *list* :: $(ord)ord$
begin

definition $xs < ys \equiv xs \neq [] \wedge ys \neq [] \longrightarrow \text{last } xs < \text{hd } ys$ **for** xs $ys :: 'a list$
definition $xs \leq ys \equiv xs < ys \vee xs = ys$ **for** xs $ys :: 'a list$

instance
 $\langle proof \rangle$

end

lemma *less-Nil [simp]*: $xs < [] \quad [] < xs$
 $\langle proof \rangle$

lemma *less-sets-imp-list-less*:

```

assumes list.set xs ≪ list.set ys
shows xs < ys
⟨proof⟩

lemma less-sets-imp-sorted-list-of-set:
assumes A ≪ B finite A finite B
shows list.of A < list.of B
⟨proof⟩

lemma sorted-list-of-set-imp-less-sets:
assumes xs < ys sorted xs sorted ys
shows list.set xs ≪ list.set ys
⟨proof⟩

lemma less-list-iff-less-sets:
assumes sorted xs sorted ys
shows xs < ys ↔ list.set xs ≪ list.set ys
⟨proof⟩

lemma strict-sorted-append-iff:
strict-sorted (xs @ ys) ↔ xs < ys ∧ strict-sorted xs ∧ strict-sorted ys
⟨proof⟩

lemma singleton-less-list-iff: sorted xs ⇒ [n] < xs ↔ {..n} ∩ list.set xs = {}
⟨proof⟩

lemma less-hd-imp-less: xs < [hd ys] ⇒ xs < ys
⟨proof⟩

lemma strict-sorted-concat-I:
assumes ⋀x. x ∈ list.set xs ⇒ strict-sorted x
          ⋀n. Suc n < length xs ⇒ xs!n < xs!Suc n
          xs ∈ lists (‐{[]})
shows strict-sorted (concat xs)
⟨proof⟩

```

3.4 Nash Williams for lists

3.4.1 Thin sets of lists

```

inductive initial-segment :: 'a list ⇒ 'a list ⇒ bool
where initial-segment xs (xs@ys)

definition thin :: 'a list set ⇒ bool
where thin A ≡ ¬ (Ǝx y. x ∈ A ∧ y ∈ A ∧ x ≠ y ∧ initial-segment x y)

lemma initial-segment-ne:
assumes initial-segment xs ys xs ≠ []
shows ys ≠ [] ∧ hd ys = hd xs
⟨proof⟩

```

```

lemma take-initial-segment:
  assumes initial-segment xs ys k ≤ length xs
  shows take k xs = take k ys
  ⟨proof⟩

lemma initial-segment-length-eq:
  assumes initial-segment xs ys length xs = length ys
  shows xs = ys
  ⟨proof⟩

lemma initial-segment-Nil [simp]: initial-segment [] ys
  ⟨proof⟩

lemma initial-segment-Cons [simp]: initial-segment (x#xs) (y#ys) ←→ x=y ∧
initial-segment xs ys
  ⟨proof⟩

lemma init-segment-iff-initial-segment:
  assumes strict-sorted xs strict-sorted ys
  shows init-segment (list.set xs) (list.set ys) ←→ initial-segment xs ys (is ?lhs =
?rhs)
  ⟨proof⟩

theorem Nash-Williams-WW:
  fixes h :: nat list ⇒ nat
  assumes infinite M and h: h ` {l ∈ A. List.set l ⊆ M} ⊆ {..<2} and thin A A
  ⊆ WW
  obtains i N where i < 2 infinite N N ⊆ M h ` {l ∈ A. List.set l ⊆ N} ⊆ {i}
  ⟨proof⟩

```

3.5 Specialised functions on lists

```

lemma mem-lists-non-Nil: xss ∈ lists (− {[]}) ←→ (∀ x ∈ list.set xss. x ≠ [])
  ⟨proof⟩

fun acc-lengths :: nat ⇒ 'a list list ⇒ nat list
  where acc-lengths acc [] = []
    | acc-lengths acc (l#ls) = (acc + length l) # acc-lengths (acc + length l) ls

lemma length-acc-lengths [simp]: length (acc-lengths acc ls) = length ls
  ⟨proof⟩

lemma acc-lengths-eq-Nil-iff [simp]: acc-lengths acc ls = [] ←→ ls = []
  ⟨proof⟩

lemma set-acc-lengths:
  assumes ls ∈ lists (− {[]}) shows list.set (acc-lengths acc ls) ⊆ {acc<..}
  ⟨proof⟩

```

Useful because `acc-lengths.simps` will sometimes be deleted from the simpset.

lemma `hd-acc-lengths [simp]`: $\text{hd}(\text{acc-lengths acc}(l\#ls)) = \text{acc} + \text{length } l$
 $\langle \text{proof} \rangle$

lemma `last-acc-lengths [simp]`:
 $ls \neq [] \implies \text{last}(\text{acc-lengths acc } ls) = \text{acc} + \text{sum-list}(\text{map length } ls)$
 $\langle \text{proof} \rangle$

lemma `nth-acc-lengths [simp]`:
 $[\![ls \neq []; k < \text{length } ls]\!] \implies \text{acc-lengths acc } ls ! k = \text{acc} + \text{sum-list}(\text{map length } (\text{take}(\text{Suc } k) ls))$
 $\langle \text{proof} \rangle$

lemma `acc-lengths-plus`: $\text{acc-lengths}(m+n) as = \text{map}((+)m)(\text{acc-lengths } n as)$
 $\langle \text{proof} \rangle$

lemma `acc-lengths-shift`: *NO-MATCH* $0 \text{ acc} \implies \text{acc-lengths acc as} = \text{map}((+)acc)(\text{acc-lengths } 0 as)$
 $\langle \text{proof} \rangle$

lemma `length-concat-acc-lengths`:
 $ls \neq [] \implies k + \text{length}(\text{concat } ls) \in \text{list.set}(\text{acc-lengths } k ls)$
 $\langle \text{proof} \rangle$

lemma `strict-sorted-acc-lengths`:
assumes $ls \in \text{lists}(-\{\})$ **shows** $\text{strict-sorted}(\text{acc-lengths acc } ls)$
 $\langle \text{proof} \rangle$

lemma `acc-lengths-append`:
 $\text{acc-lengths acc } (xs @ ys) = \text{acc-lengths acc } xs @ \text{acc-lengths } (\text{acc} + \text{sum-list}(\text{map length } xs)) ys$
 $\langle \text{proof} \rangle$

lemma `length-concat-ge`:
assumes $as \in \text{lists}(-\{\})$
shows $\text{length}(\text{concat } as) \geq \text{length } as$
 $\langle \text{proof} \rangle$

fun `interact :: 'a list list \Rightarrow 'a list list \Rightarrow 'a list`
where
 $\text{interact } [] ys = \text{concat } ys$
 $| \text{interact } xs [] = \text{concat } xs$
 $| \text{interact } (x\#xs) (y\#ys) = x @ y @ \text{interact } xs ys$

lemma (**in** `monoid-add`) `length-interact`:
 $\text{length}(\text{interact } xs ys) = \text{sum-list}(\text{map length } xs) + \text{sum-list}(\text{map length } ys)$

$\langle proof \rangle$

lemma *length-interact-ge*:

assumes $xs \in lists(-\{\})$ $ys \in lists(-\{\})$
shows $length(interact xs ys) \geq length xs + length ys$
 $\langle proof \rangle$

lemma *set-interact [simp]*:

shows $list.set(interact xs ys) = list.set(concat xs) \cup list.set(concat ys)$
 $\langle proof \rangle$

lemma *interact-eq-Nil-iff [simp]*:

assumes $xs \in lists(-\{\})$ $ys \in lists(-\{\})$
shows $interact xs ys = [] \longleftrightarrow xs = [] \wedge ys = []$
 $\langle proof \rangle$

lemma *interact-sing [simp]: interact [x] ys = x @ concat ys*

$\langle proof \rangle$

lemma *hd-interact: [xs ≠ []; hd xs ≠ []] ⇒ hd(interact xs ys) = hd(hd xs)*

$\langle proof \rangle$

lemma *acc-lengths-concat-injective*:

assumes $concat as' = concat as$ $acc-lengths n as' = acc-lengths n as$
shows $as' = as$
 $\langle proof \rangle$

lemma *acc-lengths-interact-injective*:

assumes $interact as' bs' = interact as bs$ $acc-lengths a as' = acc-lengths a as$
 $acc-lengths b bs' = acc-lengths b bs$
shows $as' = as \wedge bs' = bs$
 $\langle proof \rangle$

lemma *strict-sorted-interact-I*:

assumes $length ys \leq length xs$ $length xs \leq Suc(length ys)$

$\wedge x. x \in list.set xs \Rightarrow strict-sorted x$
 $\wedge y. y \in list.set ys \Rightarrow strict-sorted y$
 $\wedge n. n < length ys \Rightarrow xs!n < ys!n$
 $\wedge n. Suc n < length xs \Rightarrow ys!n < xs!Suc n$
assumes $xs \in lists(-\{\})$ $ys \in lists(-\{\})$
shows $strict-sorted(interact xs ys)$
 $\langle proof \rangle$

3.6 Forms and interactions

3.6.1 Forms

inductive *Form-Body* :: $[nat, nat, nat list, nat list, nat list] \Rightarrow bool$
where *Form-Body* $ka kb xs ys zs$

```

if length xs < length ys xs = concat (a#as) ys = concat (b#bs)
    a#as ∈ lists (– {[]}) b#bs ∈ lists (– {[]})
    length (a#as) = ka length (b#bs) = kb
    c = acc-lengths 0 (a#as)
    d = acc-lengths 0 (b#bs)
    zs = concat [c, a, d, b] @ interact as bs
    strict-sorted zs

```

```

inductive Form :: [nat, nat list set] ⇒ bool
where Form 0 {xs,ys} if length xs = length ys xs ≠ ys
    | Form (2*k–1) {xs,ys} if Form-Body k k xs ys zs k > 0
    | Form (2*k) {xs,ys} if Form-Body (Suc k) k xs ys zs k > 0

```

inductive-cases Form-0-cases-raw: Form 0 u

```

lemma Form-elim-upair:
assumes Form l U
obtains xs ys where xs ≠ ys U = {xs,ys} length xs ≤ length ys
⟨proof⟩

```

```

lemma assumes Form-Body ka kb xs ys zs
shows Form-Body-WW: zs ∈ WW
    and Form-Body-nonempty: length zs > 0
    and Form-Body-length: length xs < length ys
⟨proof⟩

```

```

lemma form-cases:
fixes l::nat
obtains (zero) l = 0 | (nz) ka kb where l = ka+kb – 1 0 < kb kb ≤ ka ka ≤
Suc kb
⟨proof⟩

```

3.6.2 Interactions

```

lemma interact:
assumes Form l U l>0
obtains ka kb xs ys zs where l = ka+kb – 1 U = {xs,ys} Form-Body ka kb xs
ys zs 0 < kb kb ≤ ka ka ≤ Suc kb
⟨proof⟩

```

```

definition inter-scheme :: nat ⇒ nat list set ⇒ nat list
where inter-scheme l U ≡
    SOME zs. ∃ k xs ys. U = {xs,ys} ∧
        (l = 2*k–1 ∧ Form-Body k k xs ys zs ∨ l = 2*k ∧ Form-Body (Suc k)
k xs ys zs)

```

```

lemma inter-scheme:
  assumes Form l U l>0
  obtains ka kb xs ys where l = ka+kb - 1 U = {xs,ys} Form-Body ka kb xs ys
  (inter-scheme l U) 0 < kb kb ≤ ka ka ≤ Suc kb
  ⟨proof⟩

```

```

lemma inter-scheme-strict-sorted:
  assumes Form l U l>0
  shows strict-sorted (inter-scheme l U)
  ⟨proof⟩

```

```

lemma inter-scheme-simple:
  assumes Form l U l>0
  shows inter-scheme l U ∈ WW ∧ length (inter-scheme l U) > 0
  ⟨proof⟩

```

3.6.3 Injectivity of interactions

```

proposition inter-scheme-injective:
  assumes Form l U Form l U' l > 0 and eq: inter-scheme l U' = inter-scheme l U
  shows U' = U
  ⟨proof⟩

```

```

lemma strict-sorted-interact-imp-concat:
  strict-sorted (interact as bs) ⇒ strict-sorted (concat as) ∧ strict-sorted (concat bs)
  ⟨proof⟩

```

```

lemma strict-sorted-interact-hd:
  [strict-sorted (interact cs ds); cs ≠ []; ds ≠ []; hd cs ≠ []; hd ds ≠ []]
    ⇒ hd (hd cs) < hd (hd ds)
  ⟨proof⟩

```

the lengths of the two lists can differ by one

```

proposition interaction-scheme-unique-aux:
  assumes concat as = concat as' and ys': concat bs = concat bs'
  and as ∈ lists (−{[]}) bs ∈ lists (−{[]})
  and strict-sorted (interact as bs)
  and length bs ≤ length as length as ≤ Suc (length bs)
  and as' ∈ lists (−{[]}) bs' ∈ lists (−{[]})
  and strict-sorted (interact as' bs')
  and length bs' ≤ length as' length as' ≤ Suc (length bs')
  and length as = length as' length bs = length bs'
  shows as = as' ∧ bs = bs'
  ⟨proof⟩

```

proposition *Form-Body-unique*:

assumes *Form-Body ka kb xs ys zs Form-Body ka kb xs ys zs'* **and** $kb \leq ka$ $ka \leq Suc kb$
 shows $zs' = zs$
 ⟨*proof*⟩

lemma *Form-Body-imp-inter-scheme*:

assumes *FB: Form-Body ka kb xs ys zs* **and** $0 < kb$ $kb \leq ka$ $ka \leq Suc kb$
 shows $zs = inter\text{-}scheme ((ka+kb) - Suc 0) \{xs,ys\}$
 ⟨*proof*⟩

3.7 For Lemma 3.8 AND PROBABLY 3.7

definition *grab :: nat set \Rightarrow nat \Rightarrow nat set \times nat set*
 where $grab N n \equiv (N \cap \text{enumerate } N ' \{.. < n\}, N \cap \{\text{enumerate } N n..\})$

lemma *grab-0 [simp]: grab N 0 = ({}, N)*
 ⟨*proof*⟩

lemma *less-sets-grab*:
 infinite N \implies fst (grab N n) << snd (grab N n)
 ⟨*proof*⟩

lemma *finite-grab [iff]: finite (fst (grab N n))*
 ⟨*proof*⟩

lemma *card-grab [simp]*:
 assumes *infinite N shows card (fst (grab N n)) = n*
 ⟨*proof*⟩

lemma *fst-grab-subset: fst (grab N n) \subseteq N*
 ⟨*proof*⟩

lemma *snd-grab-subset: snd (grab N n) \subseteq N*
 ⟨*proof*⟩

lemma *grab-Un-eq*:
 assumes *infinite N shows fst (grab N n) \cup snd (grab N n) = N*
 ⟨*proof*⟩

lemma *finite-grab-iff [simp]: finite (snd (grab N n)) \longleftrightarrow finite N*
 ⟨*proof*⟩

lemma *grab-eqD*:
 $\llbracket \text{grab } N n = (A, M); \text{ infinite } N \rrbracket$
 $\implies A \ll M \wedge \text{finite } A \wedge \text{card } A = n \wedge \text{infinite } M \wedge A \subseteq N \wedge M \subseteq N$
 ⟨*proof*⟩

lemma *less-sets-fst-grab*: $A \ll N \implies A \ll \text{fst}(\text{grab } N n)$
 $\langle \text{proof} \rangle$

Possibly redundant, given *grab*

definition *nxt where* $\text{nxt} \equiv \lambda N. \lambda n::\text{nat}. N \cap \{n <..\}$

lemma *infinite-nxtN*: $\text{infinite } N \implies \text{infinite}(\text{nxt } N n)$
 $\langle \text{proof} \rangle$

lemma *nxt-subset*: $\text{nxt } N n \subseteq N$
 $\langle \text{proof} \rangle$

lemma *nxt-subset-greaterThan*: $m \leq n \implies \text{nxt } N n \subseteq \{m <..\}$
 $\langle \text{proof} \rangle$

lemma *nxt-subset-atLeast*: $m \leq n \implies \text{nxt } N n \subseteq \{m..\}$
 $\langle \text{proof} \rangle$

lemma *enum-nxt-ge*: $\text{infinite } N \implies a \leq \text{enum}(\text{nxt } N a) n$
 $\langle \text{proof} \rangle$

lemma *inj-enum-nxt*: $\text{infinite } N \implies \text{inj-on}(\text{enum}(\text{nxt } N a)) A$
 $\langle \text{proof} \rangle$

3.8 Larson's Lemma 3.11

Again from Jean A. Larson, A short proof of a partition theorem for the ordinal ω^ω . *Annals of Mathematical Logic*, 6:129–145, 1973.

lemma *lemma-3-11*:
assumes $l > 0$
shows *thin* (*inter-scheme* $l` \{U. \text{Form } l U\}$)
 $\langle \text{proof} \rangle$

3.9 Larson's Lemma 3.6

proposition *lemma-3-6*:
fixes $g :: \text{nat list set} \Rightarrow \text{nat}$
assumes $g: g \in [WW]^2 \rightarrow \{0,1\}$
obtains $N j$ **where** *infinite* N
and $\bigwedge k u. [k > 0; u \in [WW]^2; \text{Form } k u; [\text{enum } N k] < \text{inter-scheme } k u;$
 $\text{List.set}(\text{inter-scheme } k u) \subseteq N] \implies g u = j k$
 $\langle \text{proof} \rangle$

3.10 Larson's Lemma 3.7

3.10.1 Preliminaries

Analogous to *ordered-nsets-2-eq*, but without type classes

```

lemma total-order-nsets-2-eq:
  assumes tot: total-on A r and irr: irrefl r
  shows nsets A 2 = {{x,y} | x y. x ∈ A ∧ y ∈ A ∧ (x,y) ∈ r}
    (is - = ?rhs)
  ⟨proof⟩

lemma lenlex-nsets-2-eq: nsets A 2 = {{x,y} | x y. x ∈ A ∧ y ∈ A ∧ (x,y) ∈
lenlex less-than}
  ⟨proof⟩

lemma sum-sorted-list-of-set-map: finite I  $\implies$  sum-list (map f (list-of I)) = sum
f I
⟨proof⟩

lemma sorted-list-of-set-UN-eq-concat:
  assumes I: strict-mono-sets I f finite I and fin:  $\bigwedge i$ . finite (f i)
  shows list-of ( $\bigcup i \in I. f i$ ) = concat (map (list-of ∘ f) (list-of I))
  ⟨proof⟩

```

3.10.2 Lemma 3.7 of Jean A. Larson, ibid.

```

proposition lemma-3-7:
  assumes infinite N l > 0
  obtains M where M ∈ [WW]m
     $\bigwedge U. U \in [M]^2 \implies \text{Form } l U \wedge \text{List.set } (\text{inter-scheme } l U) \subseteq N$ 
  ⟨proof⟩

```

3.11 Larson's Lemma 3.8

3.11.1 Primitives needed for the inductive construction of b

definition IJ **where** IJ ≡ λk. Sigma {..k} (λj::nat. {..<j})

lemma IJ-iff: u ∈ IJ k \longleftrightarrow (exists j i. u = (j,i) \wedge i < j \wedge j ≤ k)
 ⟨proof⟩

lemma finite-IJ: finite (IJ k)
 ⟨proof⟩

fun prev **where**
 prev 0 0 = None
 | prev (Suc 0) 0 = None
 | prev (Suc j) 0 = Some (j, j - Suc 0)
 | prev j (Suc i) = Some (j,i)

lemma prev-eq-None-iff: prev j i = None \longleftrightarrow j ≤ Suc 0 \wedge i = 0
 ⟨proof⟩

lemma prev-pair-less:

prev j i = Some ji' \implies (ji', (j,i)) \in pair-less
 $\langle proof \rangle$

lemma *prev-Some-less:* $\llbracket \text{prev } j \ i = \text{Some } (j', i'); i \leq j \rrbracket \implies i' < j'$
 $\langle proof \rangle$

lemma *prev-maximal:*

$\llbracket \text{prev } j \ i = \text{Some } (j', i'); (ji'', (j,i)) \in \text{pair-less}; ji'' \in IJ \ k \rrbracket$
 $\implies (ji'', (j', i')) \in \text{pair-less} \vee ji'' = (j', i')$
 $\langle proof \rangle$

lemma *pair-less-prev:*

assumes $(u, (j,i)) \in \text{pair-less}$ $u \in IJ \ k$
shows $\text{prev } j \ i = \text{Some } u \vee (\exists x. (u, x) \in \text{pair-less} \wedge \text{prev } j \ i = \text{Some } x)$
 $\langle proof \rangle$

3.11.2 Special primitives for the ordertype proof

definition *USigma :: 'a set set \Rightarrow ('a set \Rightarrow 'a set) \Rightarrow 'a set set*
where $USigma \mathcal{A} B \equiv \bigcup_{X \in \mathcal{A}} \bigcup_{y \in B} \{ \text{insert } y \ X \}$

definition *usplit*

where $usplit f A \equiv f (A - \{\text{Max } A\}) (\text{Max } A)$

lemma *USigma-empty [simp]:* $USigma \{\} B = \{\}$
 $\langle proof \rangle$

lemma *USigma-iff:*

assumes $\bigwedge I j. I \in \mathcal{I} \implies I \ll J I \wedge \text{finite } I$
shows $x \in USigma \mathcal{I} J \longleftrightarrow usplit (\lambda I j. I \in \mathcal{I} \wedge j \in J I \wedge x = \text{insert } j \ I) x$
 $\langle proof \rangle$

proposition *ordertype-append-image-IJ:*

assumes $\text{lenB} [\text{simp}]: \bigwedge i j. i \in \mathcal{I} \implies j \in J i \implies \text{length } (B j) = c$
and $AB: \bigwedge i j. i \in \mathcal{I} \implies j \in J i \implies A i < B j$
and $IJ: \bigwedge i. i \in \mathcal{I} \implies i \ll J i \wedge \text{finite } i$
and $\beta: \bigwedge i. i \in \mathcal{I} \implies \text{ordertype } (B ' J i) (\text{lenlex less-than}) = \beta$
and $A: \text{inj-on } A \mathcal{I}$
shows $\text{ordertype } (usplit (\lambda i j. A i @ B j) ' USigma \mathcal{I} J) (\text{lenlex less-than})$
 $= \beta * \text{ordertype } (A ' \mathcal{I}) (\text{lenlex less-than})$
 $(\text{is } \text{ordertype } ?AB ?R = - * ?\alpha)$
 $\langle proof \rangle$

3.11.3 The final part of 3.8, where two sequences are merged

inductive *merge :: [nat list list, nat list list, nat list list, nat list list] \Rightarrow bool*
where *NullNull: merge [] [] [] []*
 $| \text{ Null: } as \neq [] \implies \text{merge } as [] [\text{concat } as] []$
 $| \text{ App: } [as1 \neq []; bs1 \neq [];$

```

concat as1 < concat bs1; concat bs1 < concat as2; merge as2 bs2 as
bs]
===== merge (as1@as2) (bs1@bs2) (concat as1 # as) (concat bs1 # bs)

inductive-simps Null1 [simp]: merge [] bs us vs
inductive-simps Null2 [simp]: merge as [] us vs

lemma merge-single:
  [[concat as < concat bs; concat as ≠ []; concat bs ≠ []] ==> merge as bs [concat
  as] [concat bs]]
  ⟨proof⟩

lemma merge-length1-nonempty:
  assumes merge as bs us vs as ∈ lists (– {[]})
  shows us ∈ lists (– {[]})
  ⟨proof⟩

lemma merge-length2-nonempty:
  assumes merge as bs us vs bs ∈ lists (– {[]})
  shows vs ∈ lists (– {[]})
  ⟨proof⟩

lemma merge-length1-gt-0:
  assumes merge as bs us vs as ≠ []
  shows length us > 0
  ⟨proof⟩

lemma merge-length-le:
  assumes merge as bs us vs
  shows length vs ≤ length us
  ⟨proof⟩

lemma merge-length-le-Suc:
  assumes merge as bs us vs
  shows length us ≤ Suc (length vs)
  ⟨proof⟩

lemma merge-length-less2:
  assumes merge as bs us vs
  shows length vs ≤ length as
  ⟨proof⟩

lemma merge-preserves:
  assumes merge as bs us vs
  shows concat as = concat us ∧ concat bs = concat vs
  ⟨proof⟩

lemma merge-interact:
  assumes merge as bs us vs strict-sorted (concat as) strict-sorted (concat bs)

```

```

 $bs \in lists(-\{\})$ 
shows strict-sorted (interact us vs)
⟨proof⟩

lemma acc-lengths-merge1:
assumes merge as bs us vs
shows list.set (acc-lengths k us) ⊆ list.set (acc-lengths k as)
⟨proof⟩

lemma acc-lengths-merge2:
assumes merge as bs us vs
shows list.set (acc-lengths k vs) ⊆ list.set (acc-lengths k bs)
⟨proof⟩

lemma length-hd-le-concat:
assumes as ≠ [] shows length (hd as) ≤ length (concat as)
⟨proof⟩

lemma length-hd-merge2:
assumes merge as bs us vs
shows length (hd bs) ≤ length (hd vs)
⟨proof⟩

lemma merge-less-sets-hd:
assumes merge as bs us vs strict-sorted (concat as) strict-sorted (concat bs) bs
∈ lists(-\{})
shows list.set (hd us) ≪ list.set (concat vs)
⟨proof⟩

lemma set-takeWhile:
assumes strict-sorted (concat as) as ∈ lists(-\{})
shows list.set (takeWhile (λx. x < y) as) = {x ∈ list.set as. x < y}
⟨proof⟩

proposition merge-exists:
assumes strict-sorted (concat as) strict-sorted (concat bs)
as ∈ lists(-\{}) bs ∈ lists(-\{})
hd as < hd bs as ≠ [] bs ≠ []
and disj:  $\bigwedge a b. [a \in list.set as; b \in list.set bs] \implies a < b \vee b < a$ 
shows ∃ us vs. merge as bs us vs
⟨proof⟩

```

3.11.4 Actual proof of Larson's Lemma 3.8

```

proposition lemma-3-8:
assumes infinite N
obtains X where X ⊆ WW ordertype X (lenlex less-than) = ω↑ω
 $\bigwedge u. u \in [X]^2 \implies$ 

```

$\exists l. \text{Form } l u \wedge (l > 0 \longrightarrow [\text{enum } N l] < \text{inter-scheme } l u \wedge \text{List.set}$
 $(\text{inter-scheme } l u) \subseteq N)$
 $\langle \text{proof} \rangle$

3.12 The main partition theorem for $\omega \uparrow \omega$

definition *iso-ll* **where** *iso-ll A B* \equiv *iso* (*lenlex less-than* \cap (*A* \times *A*)) (*lenlex less-than* \cap (*B* \times *B*))

corollary *ordertype-eq-ordertype-iso-ll*:

assumes *Field* (*Restr* (*lenlex less-than*) *A*) = *A* *Field* (*Restr* (*lenlex less-than*) *B*) = *B*
shows (*ordertype A* (*lenlex less-than*) = *ordertype B* (*lenlex less-than*))
 $\longleftrightarrow (\exists f. \text{iso-ll } A B f)$
 $\langle \text{proof} \rangle$

theorem *partition- $\omega\omega$ -aux*:

assumes $\alpha \in \text{elts } \omega$
shows *partn-lst* (*lenlex less-than*) *WW* [$\omega \uparrow \omega, \alpha$] 2 (**is** *partn-lst* ?*R* *WW* [$\omega \uparrow \omega, \alpha$])
 $\langle \text{proof} \rangle$

Theorem 3.1 of Jean A. Larson, ibid.

theorem *partition- $\omega\omega$: $\alpha \in \text{elts } \omega \implies \text{partn-lst-VWF } (\omega \uparrow \omega) [\omega \uparrow \omega, \alpha]$ 2*
 $\langle \text{proof} \rangle$

end

4 Acknowledgements

The author was supported by the ERC Advanced Grant ALEXANDRIA (Project 742178) funded by the European Research Council. Many thanks to Mirna Džamonja (who suggested the project) and Angeliki Koutsoukou-Argyraiki for assistance at tricky moments.

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