

# Optics in Isabelle/HOL

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## Abstract

Lenses provide an abstract interface for manipulating data types through spatially-separated views. They are defined abstractly in terms of two functions, *get*, the return a value from the source type, and *put* that updates the value. We mechanise the underlying theory of lenses, in terms of an algebraic hierarchy of lenses, including well-behaved and very well-behaved lenses, each lens class being characterised by a set of lens laws. We also mechanise a lens algebra in Isabelle that enables their composition and comparison, so as to allow construction of complex lenses. This is accompanied by a large library of algebraic laws. Moreover we also show how the lens classes can be applied by instantiating them with a number of Isabelle data types. This theory development is based on our recent papers [6, 5], which show how lenses can be used to unify heterogeneous representations of state-spaces in formalised programs.

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# 1 Interpretation Tools

```
theory Interp
imports Main
begin
```

## 1.1 Interpretation Locale

```
locale interp =
fixes  $f :: 'a \Rightarrow 'b$ 
assumes  $f\text{-inj} : \text{inj } f$ 
begin
lemma meta-interp-law:
 $(\bigwedge P. \text{PROP } Q P) \equiv (\bigwedge P. \text{PROP } Q (P \circ f))$ 
  <proof>
```

```
lemma all-interp-law:
 $(\forall P. Q P) = (\forall P. Q (P \circ f))$ 
  <proof>
```

```
lemma exists-interp-law:
 $(\exists P. Q P) = (\exists P. Q (P \circ f))$ 
  <proof>
end
end
```

# 2 Types of Cardinality 2 or Greater

```
theory Two
imports HOL.Real
begin
```

The two class states that a type's carrier is either infinite, or else it has a finite cardinality of at least 2. It is needed when we depend on having at least two distinguishable elements.

```
class two =
  assumes card-two:  $\text{infinite } (UNIV :: 'a \text{ set}) \vee \text{card } (UNIV :: 'a \text{ set}) \geq 2$ 
begin
lemma two-diff:  $\exists x y :: 'a. x \neq y$ 
  <proof>
end
```

```
instance bool :: two
  <proof>
```

```
instance nat :: two
  <proof>
```

```
instance int :: two
  <proof>
```

```
instance rat :: two
  <proof>
```

```
instance real :: two
  <proof>
```

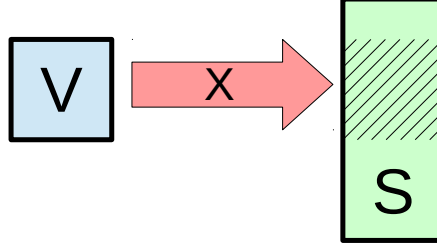


Figure 1: Visualisation of a simple lens

```
instance list :: (type) two
  <proof>
```

```
end
```

### 3 Core Lens Laws

```
theory Lens-Laws
imports
  Two Interp
begin
```

#### 3.1 Lens Signature

This theory introduces the signature of lenses and identifies the core algebraic hierarchy of lens classes, including laws for well-behaved, very well-behaved, and bijective lenses [4, 2, 8].

```
record ('a, 'b) lens =
  lens-get :: 'b => 'a (getl)
  lens-put :: 'b => 'a => 'b (putl)
```

```
type-notation
  lens (infixr ==> 0)
```

Alternative parameters ordering, inspired by Back and von Wright’s refinement calculus [1], which similarly uses two functions to characterise updates to variables.

```
abbreviation (input) lens-set :: ('a ==> 'b) => 'a => 'b => 'b (lsetl) where
  lens-set ≡ (λ X v s. putX s v)
```

A lens  $X : V \Longrightarrow S$ , for source type  $S$  and view type  $V$ , identifies  $V$  with a subregion of  $S$  [4, 3], as illustrated in Figure 1. The arrow denotes  $X$  and the hatched area denotes the subregion  $V$  it characterises. Transformations on  $V$  can be performed without affecting the parts of  $S$  outside the hatched area. The lens signature consists of a pair of functions  $get_X : S \Rightarrow V$  that extracts a view from a source, and  $put_X : S \Rightarrow V \Rightarrow S$  that updates a view within a given source.

```
named-theorems lens-defs
```

*lens-source* gives the set of constructible sources; that is those that can be built by putting a value into an arbitrary source.

```
definition lens-source :: ('a ==> 'b) => 'b set (Sl) where
  lens-source X = {s. ∃ v s'. s = putX s' v}
```

**abbreviation** *some-source* :: ('a  $\implies$  'b)  $\Rightarrow$  'b (*src1*) **where**  
*some-source* X  $\equiv$  (SOME s. s  $\in$  S<sub>X</sub>)

**definition** *lens-create* :: ('a  $\implies$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'b (*create1*) **where**  
[*lens-defs*]: *create*<sub>X</sub> v = *put*<sub>X</sub> (*src*<sub>X</sub>) v

Function *create*<sub>X</sub> v creates an instance of the source type of X by injecting v as the view, and leaving the remaining context arbitrary.

**definition** *lens-update* :: ('a  $\implies$  'b)  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  ('b  $\Rightarrow$  'b) (*update1*) **where**  
[*lens-defs*]: *lens-update* X f  $\sigma$  = *put*<sub>X</sub>  $\sigma$  (f (*get*<sub>X</sub>  $\sigma$ ))

The update function is analogous to the record update function which lifts a function on a view type to one on the source type.

**definition** *lens-obs-eq* :: ('b  $\implies$  'a)  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  bool (**infix**  $\simeq_1$  50) **where**  
[*lens-defs*]: *s*<sub>1</sub>  $\simeq_X$  *s*<sub>2</sub> = (*s*<sub>1</sub> = *put*<sub>X</sub> *s*<sub>2</sub> (*get*<sub>X</sub> *s*<sub>1</sub>))

This relation states that two sources are equivalent outside of the region characterised by lens X.

**definition** *lens-override* :: ('b  $\implies$  'a)  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixl**  $\triangleleft_1$  95) **where**  
[*lens-defs*]: *S*<sub>1</sub>  $\triangleleft_X$  *S*<sub>2</sub> = *put*<sub>X</sub> *S*<sub>1</sub> (*get*<sub>X</sub> *S*<sub>2</sub>)

**abbreviation** (*input*) *lens-override'* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  ('b  $\implies$  'a)  $\Rightarrow$  'a (-  $\oplus_L$  - on - [95,0,96] 95) **where**  
*S*<sub>1</sub>  $\oplus_L$  *S*<sub>2</sub> on X  $\equiv$  *S*<sub>1</sub>  $\triangleleft_X$  *S*<sub>2</sub>

Lens override uses a lens to replace part of a source type with a given value for the corresponding view.

### 3.2 Weak Lenses

Weak lenses are the least constrained class of lenses in our algebraic hierarchy. They simply require that the PutGet law [3, 2] is satisfied, meaning that *get* is the inverse of *put*.

**locale** *weak-lens* =

**fixes** x :: 'a  $\implies$  'b (**structure**)

**assumes** *put-get*: *get* (*put*  $\sigma$  v) = v

**begin**

**lemma** *source-nonempty*:  $\exists$  s. s  $\in$  S  
 $\langle$ *proof* $\rangle$

**lemma** *put-closure*: *put*  $\sigma$  v  $\in$  S  
 $\langle$ *proof* $\rangle$

**lemma** *create-closure*: *create* v  $\in$  S  
 $\langle$ *proof* $\rangle$

**lemma** *src-source* [*simp*]: *src*  $\in$  S  
 $\langle$ *proof* $\rangle$

**lemma** *create-get*: *get* (*create* v) = v  
 $\langle$ *proof* $\rangle$

**lemma** *create-inj*: *inj* *create*  
 $\langle$ *proof* $\rangle$

**lemma** *get-update*:  $get (update f \sigma) = f (get \sigma)$   
*<proof>*

**lemma** *view-determination*:  
**assumes**  $put \sigma u = put \rho v$   
**shows**  $u = v$   
*<proof>*

**lemma** *put-inj*:  $inj (put \sigma)$   
*<proof>*

**end**

**declare** *weak-lens.put-get* [*simp*]  
**declare** *weak-lens.create-get* [*simp*]

### 3.3 Well-behaved Lenses

Well-behaved lenses add to weak lenses that requirement that the GetPut law [3, 2] is satisfied, meaning that *put* is the inverse of *get*.

**locale** *wb-lens* = *weak-lens* +  
**assumes** *get-put*:  $put \sigma (get \sigma) = \sigma$   
**begin**

**lemma** *put-twice*:  $put (put \sigma v) v = put \sigma v$   
*<proof>*

**lemma** *put-surjectivity*:  $\exists \rho v. put \rho v = \sigma$   
*<proof>*

**lemma** *source-stability*:  $\exists v. put \sigma v = \sigma$   
*<proof>*

**lemma** *source-UNIV* [*simp*]:  $\mathcal{S} = UNIV$   
*<proof>*

**end**

**declare** *wb-lens.get-put* [*simp*]

**lemma** *wb-lens-weak* [*simp*]:  $wb-lens x \implies weak-lens x$   
*<proof>*

### 3.4 Mainly Well-behaved Lenses

Mainly well-behaved lenses extend weak lenses with the PutPut law that shows how one put override a previous one.

**locale** *mwb-lens* = *weak-lens* +  
**assumes** *put-put*:  $put (put \sigma v) u = put \sigma u$   
**begin**

**lemma** *update-comp*:  $update f (update g \sigma) = update (f \circ g) \sigma$   
*<proof>*

Mainly well-behaved lenses give rise to a weakened version of the *get* – *put* law, where the source must be within the set of constructible sources.

**lemma** *weak-get-put*:  $\sigma \in \mathcal{S} \implies \text{put } \sigma (\text{get } \sigma) = \sigma$   
 ⟨*proof*⟩

**lemma** *weak-source-determination*:  
**assumes**  $\sigma \in \mathcal{S} \ \varrho \in \mathcal{S} \ \text{get } \sigma = \text{get } \varrho \ \text{put } \sigma \ v = \text{put } \varrho \ v$   
**shows**  $\sigma = \varrho$   
 ⟨*proof*⟩

**lemma** *weak-put-eq*:  
**assumes**  $\sigma \in \mathcal{S} \ \text{get } \sigma = k \ \text{put } \sigma \ u = \text{put } \varrho \ v$   
**shows**  $\text{put } \varrho \ k = \sigma$   
 ⟨*proof*⟩

Provides  $s$  is constructible, then *get* can be uniquely determined from *put*

**lemma** *weak-get-via-put*:  $s \in \mathcal{S} \implies \text{get } s = (\text{THE } v. \text{put } s \ v = s)$   
 ⟨*proof*⟩

**end**

**abbreviation** (*input*) *partial-lens*  $\equiv$  *mwb-lens*

**declare** *mwb-lens.put-put* [*simp*]  
**declare** *mwb-lens.weak-get-put* [*simp*]

**lemma** *mwb-lens-weak* [*simp*]:  
*mwb-lens*  $x \implies$  *weak-lens*  $x$   
 ⟨*proof*⟩

### 3.5 Very Well-behaved Lenses

Very well-behaved lenses combine all three laws, as in the literature [3, 2]. The same set of axioms can be found in Back and von Wright’s refinement calculus [1], though with different names for the functions.

**locale** *vwb-lens* = *wb-lens* + *mwb-lens*  
**begin**

**lemma** *source-determination*:  
**assumes**  $\text{get } \sigma = \text{get } \varrho \ \text{put } \sigma \ v = \text{put } \varrho \ v$   
**shows**  $\sigma = \varrho$   
 ⟨*proof*⟩

**lemma** *put-eq*:  
**assumes**  $\text{get } \sigma = k \ \text{put } \sigma \ u = \text{put } \varrho \ v$   
**shows**  $\text{put } \varrho \ k = \sigma$   
 ⟨*proof*⟩

*get* can be uniquely determined from *put*

**lemma** *get-via-put*:  $\text{get } s = (\text{THE } v. \text{put } s \ v = s)$   
 ⟨*proof*⟩

**lemma** *get-surj*: *surj* *get*  
 ⟨*proof*⟩

Observation equivalence is an equivalence relation.

**lemma** *lens-obs-equiv*: *equivp* ( $\simeq$ )  
 $\langle$ *proof* $\rangle$

**end**

**abbreviation** (*input*) *total-lens*  $\equiv$  *vwb-lens*

**lemma** *vwb-lens-wb* [*simp*]: *vwb-lens*  $x \implies$  *wb-lens*  $x$   
 $\langle$ *proof* $\rangle$

**lemma** *vwb-lens-mwb* [*simp*]: *vwb-lens*  $x \implies$  *mwb-lens*  $x$   
 $\langle$ *proof* $\rangle$

**lemma** *mwb-UNIV-src-is-vwb-lens*:  
 $\llbracket$  *mwb-lens*  $X$ ;  $\mathcal{S}_X = UNIV$   $\rrbracket \implies$  *vwb-lens*  $X$   
 $\langle$ *proof* $\rangle$

Alternative characterisation: a very well-behaved (i.e. total) lens is a mainly well-behaved (i.e. partial) lens whose source is the universe set.

**lemma** *vwb-lens-iff-mwb-UNIV-src*:  
*vwb-lens*  $X \longleftrightarrow$  (*mwb-lens*  $X \wedge \mathcal{S}_X = UNIV$ )  
 $\langle$ *proof* $\rangle$

### 3.6 Ineffectual Lenses

Ineffectual lenses can have no effect on the view type – application of the *put* function always yields the same source. They are thus, trivially, very well-behaved lenses.

**locale** *ief-lens* = *weak-lens* +  
**assumes** *put-inef*: *put*  $\sigma$   $v = \sigma$   
**begin**

**sublocale** *vwb-lens*  
 $\langle$ *proof* $\rangle$

**lemma** *ineffectual-const-get*:  
 $\exists v. \forall \sigma \in \mathcal{S}. \text{get } \sigma = v$   
 $\langle$ *proof* $\rangle$

**end**

**abbreviation** *eff-lens*  $X \equiv$  (*weak-lens*  $X \wedge (\neg$  *ief-lens*  $X)$ )

### 3.7 Partially Bijective Lenses

**locale** *pbij-lens* = *weak-lens* +  
**assumes** *put-det*: *put*  $\sigma$   $v = \text{put } \rho$   $v$   
**begin**

**sublocale** *mwb-lens*  
 $\langle$ *proof* $\rangle$

**lemma** *put-is-create*: *put*  $\sigma$   $v = \text{create } v$   
 $\langle$ *proof* $\rangle$



**lemma** *partial-get-put*:  $\varrho \in \mathcal{S} \implies \text{put } \sigma (\text{get } \varrho) = \varrho$   
⟨*proof*⟩

**end**

**lemma** *pbij-lens-weak* [*simp*]:  
 $\text{pbij-lens } x \implies \text{weak-lens } x$   
⟨*proof*⟩

**lemma** *pbij-lens-mwb* [*simp*]:  $\text{pbij-lens } x \implies \text{mwb-lens } x$   
⟨*proof*⟩

**lemma** *pbij-alt-intro*:  
⟦  $\text{weak-lens } X; \bigwedge s. s \in \mathcal{S}_X \implies \text{create}_X (\text{get}_X s) = s$  ⟧  $\implies \text{pbij-lens } X$   
⟨*proof*⟩

### 3.8 Bijective Lenses

Bijective lenses characterise the situation where the source and view type are equivalent: in other words the view type fully characterises the whole source type. It is often useful when the view type and source type are syntactically different, but nevertheless correspond precisely in terms of what they observe. Bijective lenses are formulated using the strong GetPut law [3, 2].

**locale** *bij-lens* = *weak-lens* +  
**assumes** *strong-get-put*:  $\text{put } \sigma (\text{get } \varrho) = \varrho$   
**begin**

**sublocale** *pbij-lens*  
⟨*proof*⟩

**sublocale** *vwb-lens*  
⟨*proof*⟩

**lemma** *put-bij*:  $\text{bij-betw } (\text{put } \sigma) \text{ UNIV UNIV}$   
⟨*proof*⟩

**lemma** *get-create*:  $\text{create } (\text{get } \sigma) = \sigma$   
⟨*proof*⟩

**end**

**declare** *bij-lens.strong-get-put* [*simp*]  
**declare** *bij-lens.get-create* [*simp*]

**lemma** *bij-lens-weak* [*simp*]:  
 $\text{bij-lens } x \implies \text{weak-lens } x$   
⟨*proof*⟩

**lemma** *bij-lens-pbij* [*simp*]:  
 $\text{bij-lens } x \implies \text{pbij-lens } x$   
⟨*proof*⟩

**lemma** *bij-lens-vwb* [*simp*]:  $\text{bij-lens } x \implies \text{vwb-lens } x$   
⟨*proof*⟩

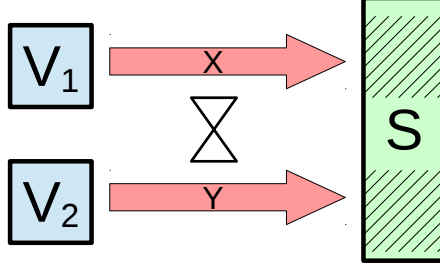


Figure 2: Lens Independence

Alternative characterisation: a bijective lens is a partial bijective lens that is also very well-behaved (i.e. total).

**lemma** *pbij-vwb-is-bij-lens*:

$\llbracket \text{pbij-lens } X; \text{vwb-lens } X \rrbracket \implies \text{bij-lens } X$   
 $\langle \text{proof} \rangle$

**lemma** *bij-lens-iff-pbij-vwb*:

$\text{bij-lens } X \iff (\text{pbij-lens } X \wedge \text{vwb-lens } X)$   
 $\langle \text{proof} \rangle$

### 3.9 Lens Independence

Lens independence shows when two lenses  $X$  and  $Y$  characterise disjoint regions of the source type, as illustrated in Figure 2. We specify this by requiring that the *put* functions of the two lenses commute, and that the *get* function of each lens is unaffected by application of *put* from the corresponding lens.

**locale** *lens-indep* =

**fixes**  $X :: 'a \implies 'c$  **and**  $Y :: 'b \implies 'c$   
**assumes** *lens-put-comm*:  $\text{put}_X (\text{put}_Y \sigma v) u = \text{put}_Y (\text{put}_X \sigma u) v$   
**and** *lens-put-irr1*:  $\text{get}_X (\text{put}_Y \sigma v) = \text{get}_X \sigma$   
**and** *lens-put-irr2*:  $\text{get}_Y (\text{put}_X \sigma u) = \text{get}_Y \sigma$

**notation** *lens-indep* (**infix**  $\bowtie$  50)

**lemma** *lens-indepI*:

$\llbracket \bigwedge u v \sigma. \text{put}_x (\text{put}_y \sigma v) u = \text{put}_y (\text{put}_x \sigma u) v;$   
 $\bigwedge v \sigma. \text{get}_x (\text{put}_y \sigma v) = \text{get}_x \sigma;$   
 $\bigwedge u \sigma. \text{get}_y (\text{put}_x \sigma u) = \text{get}_y \sigma \rrbracket \implies x \bowtie y$   
 $\langle \text{proof} \rangle$

Lens independence is symmetric.

**lemma** *lens-indep-sym*:  $x \bowtie y \implies y \bowtie x$

$\langle \text{proof} \rangle$

**lemma** *lens-indep-comm*:

$x \bowtie y \implies \text{put}_x (\text{put}_y \sigma v) u = \text{put}_y (\text{put}_x \sigma u) v$   
 $\langle \text{proof} \rangle$

**lemma** *lens-indep-get [simp]*:

**assumes**  $x \bowtie y$   
**shows**  $\text{get}_x (\text{put}_y \sigma v) = \text{get}_x \sigma$   
 $\langle \text{proof} \rangle$

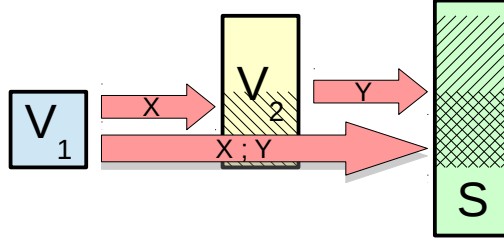


Figure 3: Lens Composition

Characterisation of independence for two very well-behaved lenses

**lemma** *lens-indep-vwb-iff*:

**assumes** *vwb-lens x vwb-lens y*

**shows**  $x \bowtie y \iff (\forall u v \sigma. \text{put}_x (\text{put}_y \sigma v) u = \text{put}_y (\text{put}_x \sigma u) v)$

*<proof>*

### 3.10 Lens Compatibility

Lens compatibility is a weaker notion than independence. It allows that two lenses can overlap so long as they manipulate the source in the same way in that region. It is most easily defined in terms of a function for copying a region from one source to another using a lens.

**definition** *lens-compat* (**infix**  $\#\#_L$  50) **where**

[*lens-defs*]:  $\text{lens-compat } X Y = (\forall s_1 s_2. s_1 \triangleleft_X s_2 \triangleleft_Y s_2 = s_1 \triangleleft_Y s_2 \triangleleft_X s_2)$

**lemma** *lens-compat-idem* [*simp*]:  $x \#\#_L x$

*<proof>*

**lemma** *lens-compat-sym*:  $x \#\#_L y \implies y \#\#_L x$

*<proof>*

**lemma** *lens-indep-compat* [*simp*]:  $x \bowtie y \implies x \#\#_L y$

*<proof>*

**end**

## 4 Lens Algebraic Operators

**theory** *Lens-Algebra*

**imports** *Lens-Laws*

**begin**

### 4.1 Lens Composition, Plus, Unit, and Identity

We introduce the algebraic lens operators; for more information please see our paper [6]. Lens composition, illustrated in Figure 3, constructs a lens by composing the source of one lens with the view of another.

**definition** *lens-comp* ::  $('a \implies 'b) \implies ('b \implies 'c) \implies ('a \implies 'c)$  (**infixl**  $;$ <sub>L</sub> 80) **where**

[*lens-defs*]:  $\text{lens-comp } Y X = (\text{ lens-get } = \text{get}_Y \circ \text{lens-get } X$   
 $, \text{lens-put } = (\lambda \sigma v. \text{lens-put } X \sigma (\text{lens-put } Y (\text{lens-get } X \sigma) v)) )$

Lens plus, as illustrated in Figure 4 parallel composes two independent lenses, resulting in a lens whose view is the product of the two underlying lens views.

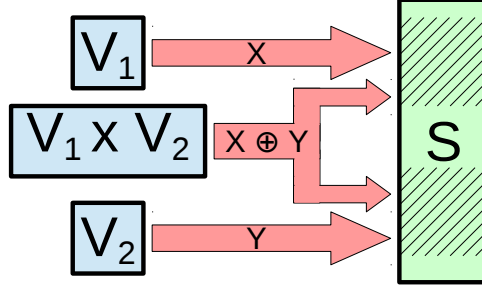


Figure 4: Lens Sum

**definition**  $lens-plus :: ('a \implies 'c) \Rightarrow ('b \implies 'c) \Rightarrow 'a \times 'b \implies 'c$  (**infixr**  $+_L$  75) **where**

[*lens-defs*]:  $X +_L Y = (\mid lens-get = (\lambda \sigma. (lens-get\ X\ \sigma, lens-get\ Y\ \sigma))$   
 $, lens-put = (\lambda \sigma\ (u, v). lens-put\ X\ (lens-put\ Y\ \sigma\ v)\ u) \mid)$

The product functor lens similarly parallel composes two lenses, but in this case the lenses have different sources and so the resulting source is also a product.

**definition**  $lens-prod :: ('a \implies 'c) \Rightarrow ('b \implies 'd) \Rightarrow ('a \times 'b \implies 'c \times 'd)$  (**infixr**  $\times_L$  85) **where**

[*lens-defs*]:  $lens-prod\ X\ Y = (\mid lens-get = map-prod\ get_X\ get_Y$   
 $, lens-put = \lambda\ (u, v)\ (x, y). (put_X\ u\ x, put_Y\ v\ y) \mid)$

The ***fst*** and ***snd*** lenses project the first and second elements, respectively, of a product source type.

**definition**  $fst-lens :: 'a \implies 'a \times 'b$  ( $fst_L$ ) **where**

[*lens-defs*]:  $fst_L = (\mid lens-get = fst, lens-put = (\lambda\ (\sigma, \varrho)\ u. (u, \varrho)) \mid)$

**definition**  $snd-lens :: 'b \implies 'a \times 'b$  ( $snd_L$ ) **where**

[*lens-defs*]:  $snd_L = (\mid lens-get = snd, lens-put = (\lambda\ (\sigma, \varrho)\ u. (\sigma, u)) \mid)$

**lemma**  $get-fst-lens$  [*simp*]:  $get_{fst_L}\ (x, y) = x$

$\langle proof \rangle$

**lemma**  $get-snd-lens$  [*simp*]:  $get_{snd_L}\ (x, y) = y$

$\langle proof \rangle$

The swap lens is a bijective lens which swaps over the elements of the product source type.

**abbreviation**  $swap-lens :: 'a \times 'b \implies 'b \times 'a$  ( $swap_L$ ) **where**

$swap_L \equiv snd_L +_L fst_L$

The zero lens is an ineffectual lens whose view is a unit type. This means the zero lens cannot distinguish or change the source type.

**definition**  $zero-lens :: unit \implies 'a$  ( $0_L$ ) **where**

[*lens-defs*]:  $0_L = (\mid lens-get = (\lambda\ -. \ ()), lens-put = (\lambda\ \sigma\ x. \ \sigma) \mid)$

The identity lens is a bijective lens where the source and view type are the same.

**definition**  $id-lens :: 'a \implies 'a$  ( $1_L$ ) **where**

[*lens-defs*]:  $1_L = (\mid lens-get = id, lens-put = (\lambda\ -. \ id) \mid)$

The quotient operator  $X /_L Y$  shortens lens  $X$  by cutting off  $Y$  from the end. It is thus the dual of the composition operator.

**definition**  $lens-quotient :: ('a \implies 'c) \Rightarrow ('b \implies 'c) \Rightarrow 'a \implies 'b$  (**infixr**  $'/_L$  90) **where**

[*lens-defs*]:  $X /_L Y = (\mid lens-get = \lambda\ \sigma. get_X\ (create_Y\ \sigma)$

,  $lens\text{-}put = \lambda \sigma v. get_Y (put_X (create_Y \sigma) v) \Downarrow$

Lens inverse take a bijective lens and swaps the source and view types.

**definition**  $lens\text{-}inv :: ('a \Longrightarrow 'b) \Rightarrow ('b \Longrightarrow 'a) (inv_L)$  **where**  
 $[lens\text{-}defs]: lens\text{-}inv\ x = \Downarrow lens\text{-}get = create_x, lens\text{-}put = \lambda \sigma. get_x \Downarrow$

## 4.2 Closure Properties

We show that the core lenses combinators defined above are closed under the key lens classes.

**lemma**  $id\text{-}wb\text{-}lens: wb\text{-}lens\ 1_L$   
 $\langle proof \rangle$

**lemma**  $source\text{-}id\text{-}lens: \mathcal{S}_{1_L} = UNIV$   
 $\langle proof \rangle$

**lemma**  $unit\text{-}wb\text{-}lens: wb\text{-}lens\ 0_L$   
 $\langle proof \rangle$

**lemma**  $source\text{-}zero\text{-}lens: \mathcal{S}_{0_L} = UNIV$   
 $\langle proof \rangle$

**lemma**  $comp\text{-}weak\text{-}lens: \llbracket weak\text{-}lens\ x; weak\text{-}lens\ y \rrbracket \Longrightarrow weak\text{-}lens\ (x ;_L y)$   
 $\langle proof \rangle$

**lemma**  $comp\text{-}wb\text{-}lens: \llbracket wb\text{-}lens\ x; wb\text{-}lens\ y \rrbracket \Longrightarrow wb\text{-}lens\ (x ;_L y)$   
 $\langle proof \rangle$

**lemma**  $comp\text{-}mwb\text{-}lens: \llbracket mwb\text{-}lens\ x; mwb\text{-}lens\ y \rrbracket \Longrightarrow mwb\text{-}lens\ (x ;_L y)$   
 $\langle proof \rangle$

**lemma**  $source\text{-}lens\text{-}comp: \llbracket mwb\text{-}lens\ x; mwb\text{-}lens\ y \rrbracket \Longrightarrow \mathcal{S}_{x ;_L y} = \{s \in \mathcal{S}_y. get_y\ s \in \mathcal{S}_x\}$   
 $\langle proof \rangle$

**lemma**  $id\text{-}vwb\text{-}lens\ [simp]: vwb\text{-}lens\ 1_L$   
 $\langle proof \rangle$

**lemma**  $unit\text{-}vwb\text{-}lens\ [simp]: vwb\text{-}lens\ 0_L$   
 $\langle proof \rangle$

**lemma**  $comp\text{-}vwb\text{-}lens: \llbracket vwb\text{-}lens\ x; vwb\text{-}lens\ y \rrbracket \Longrightarrow vwb\text{-}lens\ (x ;_L y)$   
 $\langle proof \rangle$

**lemma**  $unit\text{-}ief\text{-}lens: ief\text{-}lens\ 0_L$   
 $\langle proof \rangle$

Lens plus requires that the lenses be independent to show closure.

**lemma**  $plus\text{-}mwb\text{-}lens:$   
**assumes**  $mwb\text{-}lens\ x\ mwb\text{-}lens\ y\ x \bowtie y$   
**shows**  $mwb\text{-}lens\ (x +_L y)$   
 $\langle proof \rangle$

**lemma**  $plus\text{-}wb\text{-}lens:$   
**assumes**  $wb\text{-}lens\ x\ wb\text{-}lens\ y\ x \bowtie y$   
**shows**  $wb\text{-}lens\ (x +_L y)$   
 $\langle proof \rangle$

**lemma** *plus-vwb-lens* [*simp*]:  
**assumes** *vwb-lens*  $x$  *vwb-lens*  $y$   $x \bowtie y$   
**shows** *vwb-lens*  $(x +_L y)$   
 $\langle$ *proof* $\rangle$

**lemma** *source-plus-lens*:  
**assumes** *mwb-lens*  $x$  *mwb-lens*  $y$   $x \bowtie y$   
**shows**  $\mathcal{S}_{x +_L y} = \mathcal{S}_x \cap \mathcal{S}_y$   
 $\langle$ *proof* $\rangle$

**lemma** *prod-mwb-lens*:  
 $\llbracket$  *mwb-lens*  $X$ ; *mwb-lens*  $Y$   $\rrbracket \implies$  *mwb-lens*  $(X \times_L Y)$   
 $\langle$ *proof* $\rangle$

**lemma** *prod-wb-lens*:  
 $\llbracket$  *wb-lens*  $X$ ; *wb-lens*  $Y$   $\rrbracket \implies$  *wb-lens*  $(X \times_L Y)$   
 $\langle$ *proof* $\rangle$

**lemma** *prod-vwb-lens*:  
 $\llbracket$  *vwb-lens*  $X$ ; *vwb-lens*  $Y$   $\rrbracket \implies$  *vwb-lens*  $(X \times_L Y)$   
 $\langle$ *proof* $\rangle$

**lemma** *prod-bij-lens*:  
 $\llbracket$  *bij-lens*  $X$ ; *bij-lens*  $Y$   $\rrbracket \implies$  *bij-lens*  $(X \times_L Y)$   
 $\langle$ *proof* $\rangle$

**lemma** *fst-vwb-lens*: *vwb-lens*  $\text{fst}_L$   
 $\langle$ *proof* $\rangle$

**lemma** *snd-vwb-lens*: *vwb-lens*  $\text{snd}_L$   
 $\langle$ *proof* $\rangle$

**lemma** *id-bij-lens*: *bij-lens*  $1_L$   
 $\langle$ *proof* $\rangle$

**lemma** *inv-id-lens*: *inv* $_L$   $1_L = 1_L$   
 $\langle$ *proof* $\rangle$

**lemma** *inv-inv-lens*: *bij-lens*  $X \implies$  *inv* $_L$  (*inv* $_L$   $X$ ) =  $X$   
 $\langle$ *proof* $\rangle$

**lemma** *lens-inv-bij*: *bij-lens*  $X \implies$  *bij-lens* (*inv* $_L$   $X$ )  
 $\langle$ *proof* $\rangle$

**lemma** *swap-bij-lens*: *bij-lens*  $\text{swap}_L$   
 $\langle$ *proof* $\rangle$

### 4.3 Composition Laws

Lens composition is monoidal, with unit  $1_L$ , as the following theorems demonstrate. It also has  $0_L$  as a right annihilator.

**lemma** *lens-comp-assoc*:  $X ;_L (Y ;_L Z) = (X ;_L Y) ;_L Z$   
 $\langle$ *proof* $\rangle$

**lemma** *lens-comp-left-id* [*simp*]:  $1_L ;_L X = X$   
 ⟨*proof*⟩

**lemma** *lens-comp-right-id* [*simp*]:  $X ;_L 1_L = X$   
 ⟨*proof*⟩

**lemma** *lens-comp-anhil* [*simp*]:  $wb\text{-}lens\ X \implies 0_L ;_L X = 0_L$   
 ⟨*proof*⟩

**lemma** *lens-comp-anhil-right* [*simp*]:  $wb\text{-}lens\ X \implies X ;_L 0_L = 0_L$   
 ⟨*proof*⟩

## 4.4 Independence Laws

The zero lens  $0_L$  is independent of any lens. This is because nothing can be observed or changed using  $0_L$ .

**lemma** *zero-lens-indep* [*simp*]:  $0_L \bowtie X$   
 ⟨*proof*⟩

**lemma** *zero-lens-indep'* [*simp*]:  $X \bowtie 0_L$   
 ⟨*proof*⟩

Lens independence is irreflexive, but only for effectual lenses as otherwise nothing can be observed.

**lemma** *lens-indep-quasi-irrefl*:  $\llbracket wb\text{-}lens\ x; eff\text{-}lens\ x \rrbracket \implies \neg (x \bowtie x)$   
 ⟨*proof*⟩

Lens independence is a congruence with respect to composition, as the following properties demonstrate.

**lemma** *lens-indep-left-comp* [*simp*]:  
 $\llbracket mwb\text{-}lens\ z; x \bowtie y \rrbracket \implies (x ;_L z) \bowtie (y ;_L z)$   
 ⟨*proof*⟩

**lemma** *lens-indep-right-comp*:  
 $y \bowtie z \implies (x ;_L y) \bowtie (x ;_L z)$   
 ⟨*proof*⟩

**lemma** *lens-indep-left-ext* [*intro*]:  
 $y \bowtie z \implies (x ;_L y) \bowtie z$   
 ⟨*proof*⟩

**lemma** *lens-indep-right-ext* [*intro*]:  
 $x \bowtie z \implies x \bowtie (y ;_L z)$   
 ⟨*proof*⟩

**lemma** *lens-comp-indep-cong-left*:  
 $\llbracket mwb\text{-}lens\ Z; X ;_L Z \bowtie Y ;_L Z \rrbracket \implies X \bowtie Y$   
 ⟨*proof*⟩

**lemma** *lens-comp-indep-cong*:  
 $mwb\text{-}lens\ Z \implies (X ;_L Z) \bowtie (Y ;_L Z) \longleftrightarrow X \bowtie Y$   
 ⟨*proof*⟩

The first and second lenses are independent since they view different parts of a product source.

**lemma** *fst-snd-lens-indep* [simp]:

$fst_L \bowtie snd_L$   
 $\langle proof \rangle$

**lemma** *snd-fst-lens-indep* [simp]:

$snd_L \bowtie fst_L$   
 $\langle proof \rangle$

**lemma** *split-prod-lens-indep*:

**assumes** *mwb-lens*  $X$   
**shows**  $(fst_L ;_L X) \bowtie (snd_L ;_L X)$   
 $\langle proof \rangle$

Lens independence is preserved by summation.

**lemma** *plus-pres-lens-indep* [simp]:  $\llbracket X \bowtie Z; Y \bowtie Z \rrbracket \implies (X +_L Y) \bowtie Z$

$\langle proof \rangle$

**lemma** *plus-pres-lens-indep'* [simp]:

$\llbracket X \bowtie Y; X \bowtie Z \rrbracket \implies X \bowtie Y +_L Z$   
 $\langle proof \rangle$

Lens independence is preserved by product.

**lemma** *lens-indep-prod*:

$\llbracket X_1 \bowtie X_2; Y_1 \bowtie Y_2 \rrbracket \implies X_1 \times_L Y_1 \bowtie X_2 \times_L Y_2$   
 $\langle proof \rangle$

## 4.5 Compatibility Laws

**lemma** *zero-lens-compat* [simp]:  $0_L \#\#_L X$

$\langle proof \rangle$

**lemma** *id-lens-compat* [simp]:  $vwb\text{-}lens\ X \implies 1_L \#\#_L X$

$\langle proof \rangle$

## 4.6 Algebraic Laws

Lens plus distributes to the right through composition.

**lemma** *plus-lens-distr*:  $mwb\text{-}lens\ Z \implies (X +_L Y) ;_L Z = (X ;_L Z) +_L (Y ;_L Z)$

$\langle proof \rangle$

The first lens projects the first part of a summation.

**lemma** *fst-lens-plus*:

$wb\text{-}lens\ y \implies fst_L ;_L (x +_L y) = x$   
 $\langle proof \rangle$

The second law requires independence as we have to apply x first, before y

**lemma** *snd-lens-plus*:

$\llbracket wb\text{-}lens\ x; x \bowtie y \rrbracket \implies snd_L ;_L (x +_L y) = y$   
 $\langle proof \rangle$

The swap lens switches over a summation.

**lemma** *lens-plus-swap*:

$X \bowtie Y \implies swap_L ;_L (X +_L Y) = (Y +_L X)$   
 $\langle proof \rangle$



The first, second, and swap lenses are all closely related.

**lemma** *fst-snd-id-lens*:  $\text{fst}_L +_L \text{snd}_L = 1_L$   
 ⟨proof⟩

**lemma** *swap-lens-idem*:  $\text{swap}_L ;_L \text{swap}_L = 1_L$   
 ⟨proof⟩

**lemma** *swap-lens-fst*:  $\text{fst}_L ;_L \text{swap}_L = \text{snd}_L$   
 ⟨proof⟩

**lemma** *swap-lens-snd*:  $\text{snd}_L ;_L \text{swap}_L = \text{fst}_L$   
 ⟨proof⟩

The product lens can be rewritten as a sum lens.

**lemma** *prod-as-plus*:  $X \times_L Y = X ;_L \text{fst}_L +_L Y ;_L \text{snd}_L$   
 ⟨proof⟩

**lemma** *prod-lens-id-equiv*:  
 $1_L \times_L 1_L = 1_L$   
 ⟨proof⟩

**lemma** *prod-lens-comp-plus*:  
 $X_2 \bowtie Y_2 \implies ((X_1 \times_L Y_1) ;_L (X_2 +_L Y_2)) = (X_1 ;_L X_2) +_L (Y_1 ;_L Y_2)$   
 ⟨proof⟩

The following laws about quotient are similar to their arithmetic analogues. Lens quotient reverse the effect of a composition.

**lemma** *lens-comp-quotient*:  
 $\text{weak-lens } Y \implies (X ;_L Y) /_L Y = X$   
 ⟨proof⟩

**lemma** *lens-quotient-id [simp]*:  $\text{weak-lens } X \implies (X /_L X) = 1_L$   
 ⟨proof⟩

**lemma** *lens-quotient-id-denom*:  $X /_L 1_L = X$   
 ⟨proof⟩

**lemma** *lens-quotient-unit*:  $\text{weak-lens } X \implies (0_L /_L X) = 0_L$   
 ⟨proof⟩

**lemma** *lens-obs-eq-zero*:  $s_1 \simeq_{0_L} s_2 = (s_1 = s_2)$   
 ⟨proof⟩

**lemma** *lens-obs-eq-one*:  $s_1 \simeq_{1_L} s_2$   
 ⟨proof⟩

**lemma** *lens-obs-eq-as-override*:  $\text{vwb-lens } X \implies s_1 \simeq_X s_2 \iff (s_2 = s_1 \oplus_L s_2 \text{ on } X)$   
 ⟨proof⟩

end

## 5 Order and Equivalence on Lenses

theory *Lens-Order*

**imports** *Lens-Algebra*  
**begin**

## 5.1 Sub-lens Relation

A lens  $X$  is a sub-lens of  $Y$  if there is a well-behaved lens  $Z$  such that  $X = Z;_L Y$ , or in other words if  $X$  can be expressed purely in terms of  $Y$ .

**definition** *sublens* :: ( $'a \implies 'c$ )  $\implies$  ( $'b \implies 'c$ )  $\implies$  *bool* (**infix**  $\subseteq_L$  55) **where**  
*[lens-defs]*: *sublens*  $X Y = (\exists Z :: ('a, 'b) \text{ lens. } vwb\text{-lens } Z \wedge X = Z ;_L Y)$

Various lens classes are downward closed under the sublens relation.

**lemma** *sublens-pres-mwb*:

$\llbracket mwb\text{-lens } Y; X \subseteq_L Y \rrbracket \implies mwb\text{-lens } X$   
 $\langle \text{proof} \rangle$

**lemma** *sublens-pres-wb*:

$\llbracket wb\text{-lens } Y; X \subseteq_L Y \rrbracket \implies wb\text{-lens } X$   
 $\langle \text{proof} \rangle$

**lemma** *sublens-pres-vwb*:

$\llbracket vwb\text{-lens } Y; X \subseteq_L Y \rrbracket \implies vwb\text{-lens } X$   
 $\langle \text{proof} \rangle$

Sublens is a preorder as the following two theorems show.

**lemma** *sublens-refl* [*simp*]:

$X \subseteq_L X$   
 $\langle \text{proof} \rangle$

**lemma** *sublens-trans* [*trans*]:

$\llbracket X \subseteq_L Y; Y \subseteq_L Z \rrbracket \implies X \subseteq_L Z$   
 $\langle \text{proof} \rangle$

Sublens has a least element –  $0_L$  – and a greatest element –  $1_L$ . Intuitively, this shows that sublens orders how large a portion of the source type a particular lens views, with  $0_L$  observing the least, and  $1_L$  observing the most.

**lemma** *sublens-least*:  $wb\text{-lens } X \implies 0_L \subseteq_L X$   
 $\langle \text{proof} \rangle$

**lemma** *sublens-greatest*:  $vwb\text{-lens } X \implies X \subseteq_L 1_L$   
 $\langle \text{proof} \rangle$

If  $Y$  is a sublens of  $X$  then any put using  $X$  will necessarily erase any put using  $Y$ . Similarly, any two source types are observationally equivalent by  $Y$  when performed following a put using  $X$ .

**lemma** *sublens-put-put*:

$\llbracket mwb\text{-lens } X; Y \subseteq_L X \rrbracket \implies put_X (put_Y \sigma v) u = put_X \sigma u$   
 $\langle \text{proof} \rangle$

**lemma** *sublens-obs-get*:

$\llbracket mwb\text{-lens } X; Y \subseteq_L X \rrbracket \implies get_Y (put_X \sigma v) = get_Y (put_X \varrho v)$   
 $\langle \text{proof} \rangle$

Sublens preserves independence; in other words if  $Y$  is independent of  $Z$ , then also any  $X$  smaller than  $Y$  is independent of  $Z$ .

**lemma** *sublens-pres-indep*:

$$\llbracket X \subseteq_L Y; Y \bowtie Z \rrbracket \Longrightarrow X \bowtie Z$$

*<proof>*

**lemma** *sublens-pres-indep'*:

$$\llbracket X \subseteq_L Y; Z \bowtie Y \rrbracket \Longrightarrow Z \bowtie X$$

*<proof>*

**lemma** *sublens-compat*:  $\llbracket \text{vwb-lens } X; \text{vwb-lens } Y; X \subseteq_L Y \rrbracket \Longrightarrow X \#\#_L Y$

*<proof>*

Well-behavedness of lens quotient has sublens as a proviso. This is because we can only remove  $X$  from  $Y$  if  $X$  is smaller than  $Y$ .

**lemma** *lens-quotient-mwb*:

$$\llbracket \text{mwb-lens } Y; X \subseteq_L Y \rrbracket \Longrightarrow \text{mwb-lens } (X /_L Y)$$

*<proof>*

## 5.2 Lens Equivalence

Using our preorder, we can also derive an equivalence on lenses as follows. It should be noted that this equality, like sublens, is heterogeneously typed – it can compare lenses whose view types are different, so long as the source types are the same. We show that it is reflexive, symmetric, and transitive.

**definition** *lens-equiv* ::  $('a \Longrightarrow 'c) \Rightarrow ('b \Longrightarrow 'c) \Rightarrow \text{bool}$  (**infix**  $\approx_L$  51) **where**

*[lens-defs]*:  $\text{lens-equiv } X \ Y = (X \subseteq_L Y \wedge Y \subseteq_L X)$

**lemma** *lens-equivI* [*intro*]:

$$\llbracket X \subseteq_L Y; Y \subseteq_L X \rrbracket \Longrightarrow X \approx_L Y$$

*<proof>*

**lemma** *lens-equiv-refl*:

$$X \approx_L X$$

*<proof>*

**lemma** *lens-equiv-sym*:

$$X \approx_L Y \Longrightarrow Y \approx_L X$$

*<proof>*

**lemma** *lens-equiv-trans* [*trans*]:

$$\llbracket X \approx_L Y; Y \approx_L Z \rrbracket \Longrightarrow X \approx_L Z$$

*<proof>*

**lemma** *lens-equiv-pres-indep*:

$$\llbracket X \approx_L Y; Y \bowtie Z \rrbracket \Longrightarrow X \bowtie Z$$

*<proof>*

**lemma** *lens-equiv-pres-indep'*:

$$\llbracket X \approx_L Y; Z \bowtie Y \rrbracket \Longrightarrow Z \bowtie X$$

*<proof>*

**lemma** *lens-comp-cong-1*:  $X \approx_L Y \Longrightarrow X ;_L Z \approx_L Y ;_L Z$

*<proof>*

### 5.3 Further Algebraic Laws

This law explains the behaviour of lens quotient.

**lemma** *lens-quotient-comp*:

$$\llbracket \text{weak-lens } Y; X \subseteq_L Y \rrbracket \Longrightarrow (X /_L Y) ;_L Y = X$$

*<proof>*

Plus distributes through quotient.

**lemma** *lens-quotient-plus*:

$$\llbracket \text{mwb-lens } Z; X \subseteq_L Z; Y \subseteq_L Z \rrbracket \Longrightarrow (X +_L Y) /_L Z = (X /_L Z) +_L (Y /_L Z)$$

*<proof>*

Laws for for lens plus on the denominator. These laws allow us to extract compositions of  $\text{fst}_L$  and  $\text{snd}_L$  terms.

**lemma** *lens-quotient-plus-den1*:

$$\llbracket \text{weak-lens } x; \text{weak-lens } y; x \bowtie y \rrbracket \Longrightarrow x /_L (x +_L y) = \text{fst}_L$$

*<proof>*

**lemma** *lens-quotient-plus-den2*:  $\llbracket \text{weak-lens } x; \text{weak-lens } z; x \bowtie z; y \subseteq_L z \rrbracket \Longrightarrow y /_L (x +_L z) = (y /_L z) ;_L \text{snd}_L$

*<proof>*

There follows a number of laws relating sublens and summation. Firstly, sublens is preserved by summation.

**lemma** *plus-pred-sublens*:  $\llbracket \text{mwb-lens } Z; X \subseteq_L Z; Y \subseteq_L Z; X \bowtie Y \rrbracket \Longrightarrow (X +_L Y) \subseteq_L Z$

*<proof>*

Intuitively, lens plus is associative. However we cannot prove this using HOL equality due to monomorphic typing of this operator. But since sublens and lens equivalence are both heterogeneous we can now prove this in the following three lemmas.

**lemma** *lens-plus-sub-assoc-1*:

$$X +_L Y +_L Z \subseteq_L (X +_L Y) +_L Z$$

*<proof>*

**lemma** *lens-plus-sub-assoc-2*:

$$(X +_L Y) +_L Z \subseteq_L X +_L Y +_L Z$$

*<proof>*

**lemma** *lens-plus-assoc*:

$$(X +_L Y) +_L Z \approx_L X +_L Y +_L Z$$

*<proof>*

We can similarly show that it is commutative.

**lemma** *lens-plus-sub-comm*:  $X \bowtie Y \Longrightarrow X +_L Y \subseteq_L Y +_L X$

*<proof>*

**lemma** *lens-plus-comm*:  $X \bowtie Y \Longrightarrow X +_L Y \approx_L Y +_L X$

*<proof>*

Any composite lens is larger than an element of the lens, as demonstrated by the following four laws.

**lemma** *lens-plus-ub [simp]*:  $\text{wb-lens } Y \Longrightarrow X \subseteq_L X +_L Y$

*<proof>*

**lemma** *lens-plus-right-sublens*:

$$\llbracket \text{vwb-lens } Y; Y \bowtie Z; X \subseteq_L Z \rrbracket \Longrightarrow X \subseteq_L Y +_L Z$$

*<proof>*

**lemma** *lens-plus-mono-left*:

$$\llbracket Y \bowtie Z; X \subseteq_L Y \rrbracket \Longrightarrow X +_L Z \subseteq_L Y +_L Z$$

*<proof>*

**lemma** *lens-plus-mono-right*:

$$\llbracket X \bowtie Z; Y \subseteq_L Z \rrbracket \Longrightarrow X +_L Y \subseteq_L X +_L Z$$

*<proof>*

If we compose a lens  $X$  with lens  $Y$  then naturally the resulting lens must be smaller than  $Y$ , as  $X$  views a part of  $Y$ .

**lemma** *lens-comp-lb [simp]*: *vwb-lens*  $X \Longrightarrow X ;_L Y \subseteq_L Y$   
*<proof>*

**lemma** *sublens-comp [simp]*:

**assumes** *vwb-lens*  $b \subseteq_L a$   
**shows**  $(b ;_L c) \subseteq_L a$   
*<proof>*

We can now also show that  $0_L$  is the unit of lens plus

**lemma** *lens-unit-plus-sublens-1*:  $X \subseteq_L 0_L +_L X$   
*<proof>*

**lemma** *lens-unit-prod-sublens-2*:  $0_L +_L X \subseteq_L X$   
*<proof>*

**lemma** *lens-plus-left-unit*:  $0_L +_L X \approx_L X$   
*<proof>*

**lemma** *lens-plus-right-unit*:  $X +_L 0_L \approx_L X$   
*<proof>*

We can also show that both sublens and equivalence are congruences with respect to lens plus and lens product.

**lemma** *lens-plus-subcong*:  $\llbracket Y_1 \bowtie Y_2; X_1 \subseteq_L Y_1; X_2 \subseteq_L Y_2 \rrbracket \Longrightarrow X_1 +_L X_2 \subseteq_L Y_1 +_L Y_2$   
*<proof>*

**lemma** *lens-plus-eq-left*:  $\llbracket X \bowtie Z; X \approx_L Y \rrbracket \Longrightarrow X +_L Z \approx_L Y +_L Z$   
*<proof>*

**lemma** *lens-plus-eq-right*:  $\llbracket X \bowtie Y; Y \approx_L Z \rrbracket \Longrightarrow X +_L Y \approx_L X +_L Z$   
*<proof>*

**lemma** *lens-plus-cong*:

**assumes**  $X_1 \bowtie X_2 \ X_1 \approx_L Y_1 \ X_2 \approx_L Y_2$   
**shows**  $X_1 +_L X_2 \approx_L Y_1 +_L Y_2$   
*<proof>*

**lemma** *prod-lens-sublens-cong*:

$$\llbracket X_1 \subseteq_L X_2; Y_1 \subseteq_L Y_2 \rrbracket \Longrightarrow (X_1 \times_L Y_1) \subseteq_L (X_2 \times_L Y_2)$$

*<proof>*

**lemma** *prod-lens-equiv-cong*:

$$\llbracket X_1 \approx_L X_2; Y_1 \approx_L Y_2 \rrbracket \implies (X_1 \times_L Y_1) \approx_L (X_2 \times_L Y_2)$$

*<proof>*

We also have the following "exchange" law that allows us to switch over a lens product and plus.

**lemma** *lens-plus-prod-exchange*:

$$(X_1 +_L X_2) \times_L (Y_1 +_L Y_2) \approx_L (X_1 \times_L Y_1) +_L (X_2 \times_L Y_2)$$

*<proof>*

**lemma** *lens-get-put-quasi-commute*:

$$\llbracket \text{vwb-lens } Y; X \subseteq_L Y \rrbracket \implies \text{get}_Y (\text{put}_X s v) = \text{put}_{X /_L Y} (\text{get}_Y s) v$$

*<proof>*

**lemma** *lens-put-of-quotient*:

$$\llbracket \text{vwb-lens } Y; X \subseteq_L Y \rrbracket \implies \text{put}_Y s (\text{put}_{X /_L Y} v_2 v_1) = \text{put}_X (\text{put}_Y s v_2) v_1$$

*<proof>*

## 5.4 Bijective Lens Equivalences

A bijective lens, like a bijective function, is its own inverse. Thus, if we compose its inverse with itself we get  $1_L$ .

**lemma** *bij-lens-inv-left*:

$$\text{bij-lens } X \implies \text{inv}_L X ;_L X = 1_L$$

*<proof>*

**lemma** *bij-lens-inv-right*:

$$\text{bij-lens } X \implies X ;_L \text{inv}_L X = 1_L$$

*<proof>*

The following important results shows that bijective lenses are precisely those that are equivalent to identity. In other words, a bijective lens views all of the source type.

**lemma** *bij-lens-equiv-id*:

$$\text{bij-lens } X \iff X \approx_L 1_L$$

*<proof>*

For this reason, by transitivity, any two bijective lenses with the same source type must be equivalent.

**lemma** *bij-lens-equiv*:

$$\llbracket \text{bij-lens } X; X \approx_L Y \rrbracket \implies \text{bij-lens } Y$$

*<proof>*

**lemma** *bij-lens-cong*:

$$X \approx_L Y \implies \text{bij-lens } X = \text{bij-lens } Y$$

*<proof>*

We can also show that the identity lens  $1_L$  is unique. That is to say it is the only lens which when compose with  $Y$  will yield  $Y$ .

**lemma** *lens-id-unique*:

$$\text{weak-lens } Y \implies Y = X ;_L Y \implies X = 1_L$$

*<proof>*

Consequently, if composition of two lenses  $X$  and  $Y$  yields  $1_L$ , then both of the composed lenses must be bijective.

**lemma** *bij-lens-via-comp-id-left*:

$\llbracket \text{wb-lens } X; \text{wb-lens } Y; X ;_L Y = 1_L \rrbracket \implies \text{bij-lens } X$   
 $\langle \text{proof} \rangle$

**lemma** *bij-lens-via-comp-id-right*:

$\llbracket \text{wb-lens } X; \text{wb-lens } Y; X ;_L Y = 1_L \rrbracket \implies \text{bij-lens } Y$   
 $\langle \text{proof} \rangle$

Importantly, an equivalence between two lenses can be demonstrated by showing that one lens can be converted to the other by application of a suitable bijective lens  $Z$ . This  $Z$  lens converts the view type of one to the view type of the other.

**lemma** *lens-equiv-via-bij*:

**assumes** *bij-lens*  $Z X = Z ;_L Y$   
**shows**  $X \approx_L Y$   
 $\langle \text{proof} \rangle$

Indeed, we actually have a stronger result than this – the equivalent lenses are precisely those than can be converted to one another through a suitable bijective lens. Bijective lenses can thus be seen as a special class of "adapter" lenses.

**lemma** *lens-equiv-iff-bij*:

**assumes** *weak-lens*  $Y$   
**shows**  $X \approx_L Y \iff (\exists Z. \text{bij-lens } Z \wedge X = Z ;_L Y)$   
 $\langle \text{proof} \rangle$

**lemma** *pbij-plus-commute*:

$\llbracket a \bowtie b; \text{mwb-lens } a; \text{mwb-lens } b; \text{pbij-lens } (b +_L a) \rrbracket \implies \text{pbij-lens } (a +_L b)$   
 $\langle \text{proof} \rangle$

## 5.5 Lens Override Laws

The following laws are analogous to the equivalent laws for functions.

**lemma** *lens-override-id* [*simp*]:

$S_1 \oplus_L S_2 \text{ on } 1_L = S_2$   
 $\langle \text{proof} \rangle$

**lemma** *lens-override-unit* [*simp*]:

$S_1 \oplus_L S_2 \text{ on } 0_L = S_1$   
 $\langle \text{proof} \rangle$

**lemma** *lens-override-overshadow*:

**assumes** *mwb-lens*  $Y X \subseteq_L Y$   
**shows**  $(S_1 \oplus_L S_2 \text{ on } X) \oplus_L S_3 \text{ on } Y = S_1 \oplus_L S_3 \text{ on } Y$   
 $\langle \text{proof} \rangle$

**lemma** *lens-override-irr*:

**assumes**  $X \bowtie Y$   
**shows**  $S_1 \oplus_L (S_2 \oplus_L S_3 \text{ on } Y) \text{ on } X = S_1 \oplus_L S_2 \text{ on } X$   
 $\langle \text{proof} \rangle$

**lemma** *lens-override-overshadow-left*:

**assumes** *mwb-lens*  $X$

**shows**  $(S_1 \oplus_L S_2 \text{ on } X) \oplus_L S_3 \text{ on } X = S_1 \oplus_L S_3 \text{ on } X$   
 ⟨proof⟩

**lemma** *lens-override-overshadow-right*:

**assumes** *mwb-lens*  $X$

**shows**  $S_1 \oplus_L (S_2 \oplus_L S_3 \text{ on } X) \text{ on } X = S_1 \oplus_L S_3 \text{ on } X$   
 ⟨proof⟩

**lemma** *lens-override-plus*:

$X \bowtie Y \implies S_1 \oplus_L S_2 \text{ on } (X +_L Y) = (S_1 \oplus_L S_2 \text{ on } X) \oplus_L S_2 \text{ on } Y$   
 ⟨proof⟩

**lemma** *lens-override-idem* [*simp*]:

*vwb-lens*  $X \implies S \oplus_L S \text{ on } X = S$   
 ⟨proof⟩

**lemma** *lens-override-mwb-idem* [*simp*]:

$\llbracket \text{mwb-lens } X; S \in \mathcal{S}_X \rrbracket \implies S \oplus_L S \text{ on } X = S$   
 ⟨proof⟩

**lemma** *lens-override-put-right-in*:

$\llbracket \text{vwb-lens } A; X \subseteq_L A \rrbracket \implies S_1 \oplus_L (\text{put}_X S_2 v) \text{ on } A = \text{put}_X (S_1 \oplus_L S_2 \text{ on } A) v$   
 ⟨proof⟩

**lemma** *lens-override-put-right-out*:

$\llbracket \text{vwb-lens } A; X \bowtie A \rrbracket \implies S_1 \oplus_L (\text{put}_X S_2 v) \text{ on } A = (S_1 \oplus_L S_2 \text{ on } A)$   
 ⟨proof⟩

**lemma** *lens-indep-overrideI*:

**assumes** *vwb-lens*  $X$  *vwb-lens*  $Y$   $(\bigwedge s_1 s_2 s_3. s_1 \oplus_L s_2 \text{ on } X \oplus_L s_3 \text{ on } Y = s_1 \oplus_L s_3 \text{ on } Y \oplus_L s_2 \text{ on } X)$

**shows**  $X \bowtie Y$   
 ⟨proof⟩

**lemma** *lens-indep-override-def*:

**assumes** *vwb-lens*  $X$  *vwb-lens*  $Y$

**shows**  $X \bowtie Y \iff (\forall s_1 s_2 s_3. s_1 \oplus_L s_2 \text{ on } X \oplus_L s_3 \text{ on } Y = s_1 \oplus_L s_3 \text{ on } Y \oplus_L s_2 \text{ on } X)$   
 ⟨proof⟩

Alternative characterisation of very-well behaved lenses: override is idempotent.

**lemma** *override-idem-implies-vwb*:

$\llbracket \text{mwb-lens } X; \bigwedge s. s \oplus_L s \text{ on } X = s \rrbracket \implies \text{vwb-lens } X$   
 ⟨proof⟩

## 5.6 Alternative Sublens Characterisation

The following definition is equivalent to the above when the two lenses are very well behaved.

**definition** *sublens'* ::  $('a \implies 'c) \Rightarrow ('b \implies 'c) \Rightarrow \text{bool}$  (**infix**  $\subseteq_L''$  55) **where**

[*lens-defs*]:  $\text{sublens}' X Y = (\forall s_1 s_2 s_3. s_1 \oplus_L s_2 \text{ on } Y \oplus_L s_3 \text{ on } X = s_1 \oplus_L s_2 \oplus_L s_3 \text{ on } X \text{ on } Y)$

We next prove some characteristic properties of our alternative definition of sublens.

**lemma** *sublens'-prop1*:

**assumes** *vwb-lens*  $X$   $X \subseteq_L' Y$

**shows**  $\text{put}_X (\text{put}_Y s_1 (\text{get}_Y s_2)) s_3 = \text{put}_Y s_1 (\text{get}_Y (\text{put}_X s_2 s_3))$   
 ⟨proof⟩



**lemma** *sublens'-prop2*:  
**assumes** *vwb-lens*  $X$   $X \subseteq_{L'} Y$   
**shows**  $get_X (put_Y s_1 (get_Y s_2)) = get_X s_2$   
 $\langle proof \rangle$

**lemma** *sublens'-prop3*:  
**assumes** *vwb-lens*  $X$  *vwb-lens*  $Y$   $X \subseteq_{L'} Y$   
**shows**  $put_Y \sigma (get_Y (put_X (put_Y \varrho (get_Y \sigma)) v)) = put_X \sigma v$   
 $\langle proof \rangle$

Finally we show our two definitions of sublens are equivalent, assuming very well behaved lenses.

**lemma** *sublens'-implies-sublens*:  
**assumes** *vwb-lens*  $X$  *vwb-lens*  $Y$   $X \subseteq_{L'} Y$   
**shows**  $X \subseteq_L Y$   
 $\langle proof \rangle$

**lemma** *sublens-implies-sublens'*:  
**assumes** *vwb-lens*  $Y$   $X \subseteq_L Y$   
**shows**  $X \subseteq_{L'} Y$   
 $\langle proof \rangle$

**lemma** *sublens-iff-sublens'*:  
**assumes** *vwb-lens*  $X$  *vwb-lens*  $Y$   
**shows**  $X \subseteq_L Y \longleftrightarrow X \subseteq_{L'} Y$   
 $\langle proof \rangle$

## 5.7 Alternative Equivalence Characterisation

**definition** *lens-equiv'* ::  $('a \implies 'c) \Rightarrow ('b \implies 'c) \Rightarrow bool$  (**infix**  $\approx_L''$  51) **where**  
 $[lens-defs]: lens-equiv' X Y = (\forall s_1 s_2. (s_1 \oplus_L s_2 \text{ on } X = s_1 \oplus_L s_2 \text{ on } Y))$

**lemma** *lens-equiv-iff-lens-equiv'*:  
**assumes** *vwb-lens*  $X$  *vwb-lens*  $Y$   
**shows**  $X \approx_L Y \longleftrightarrow X \approx_{L'} Y$   
 $\langle proof \rangle$

**end**

## 6 Symmetric Lenses

**theory** *Lens-Symmetric*  
**imports** *Lens-Order*  
**begin**

A characterisation of Hofmann’s “Symmetric Lenses” [7], where a lens is accompanied by its complement.

**record**  $('a, 'b, 's)$  *slens* =  
*view* ::  $'a \implies 's$  ( $\mathcal{V}_1$ ) — The region characterised  
*coview* ::  $'b \implies 's$  ( $\mathcal{C}_1$ ) — The complement of the region

**type-notation**  
 $slens \langle -, - \rangle \iff - [0, 0, 0] 0$

**declare** *slens.defs* [*lens-defs*]

**definition** *slens-compl* :: ( $\langle 'a, 'c \rangle \iff 'b \Rightarrow \langle 'c, 'a \rangle \iff 'b$  ( $-_L$  - [81] 80) **where**  
*[lens-defs]*: *slens-compl*  $a = \langle \text{view} = \text{coview } a, \text{coview} = \text{view } a \rangle$

**lemma** *view-slens-compl* [*simp*]:  $\mathcal{V}_{-L} a = \mathcal{C} a$   
 $\langle \text{proof} \rangle$

**lemma** *coview-slens-compl* [*simp*]:  $\mathcal{C}_{-L} a = \mathcal{V} a$   
 $\langle \text{proof} \rangle$

## 6.1 Partial Symmetric Lenses

**locale** *psym-lens* =  
**fixes**  $S :: \langle 'a, 'b \rangle \iff 's$  (**structure**)  
**assumes**  
*mwb-region* [*simp*]: *mwb-lens*  $\mathcal{V}$  **and**  
*mwb-coreregion* [*simp*]: *mwb-lens*  $\mathcal{C}$  **and**  
*indep-region-coreregion* [*simp*]:  $\mathcal{V} \boxtimes \mathcal{C}$  **and**  
*pbij-region-coreregion* [*simp*]: *pbij-lens*  $(\mathcal{V} +_L \mathcal{C})$

**declare** *psym-lens.mwb-region* [*simp*]  
**declare** *psym-lens.mwb-coreregion* [*simp*]  
**declare** *psym-lens.indep-region-coreregion* [*simp*]

**lemma** *psym-lens-compl* [*simp*]: *psym-lens*  $a \implies \text{psym-lens } (-_L a)$   
 $\langle \text{proof} \rangle$

## 6.2 Symmetric Lenses

**locale** *sym-lens* =  
**fixes**  $S :: \langle 'a, 'b \rangle \iff 's$  (**structure**)  
**assumes**  
*vwb-region*: *vwb-lens*  $\mathcal{V}$  **and**  
*vwb-coreregion*: *vwb-lens*  $\mathcal{C}$  **and**  
*indep-region-coreregion*:  $\mathcal{V} \boxtimes \mathcal{C}$  **and**  
*bij-region-coreregion*: *bij-lens*  $(\mathcal{V} +_L \mathcal{C})$   
**begin**

**sublocale** *psym-lens*  
 $\langle \text{proof} \rangle$

**lemma** *put-region-coreregion-cover*:  
 $\text{put}_{\mathcal{V}} (\text{put}_{\mathcal{C}} s_1 (\text{get}_{\mathcal{C}} s_2)) (\text{get}_{\mathcal{V}} s_2) = s_2$   
 $\langle \text{proof} \rangle$

**end**

**declare** *sym-lens.vwb-region* [*simp*]  
**declare** *sym-lens.vwb-coreregion* [*simp*]  
**declare** *sym-lens.indep-region-coreregion* [*simp*]

**lemma** *sym-lens-psym* [*simp*]: *sym-lens*  $x \implies \text{psym-lens } x$   
 $\langle \text{proof} \rangle$

**lemma** *sym-lens-compl* [*simp*]: *sym-lens*  $a \implies \text{sym-lens } (-_L a)$   
 $\langle \text{proof} \rangle$

end

## 7 Lens Instances

```
theory Lens-Instances
  imports Lens-Order Lens-Symmetric HOL-Eisbach.Eisbach
  keywords alphabet statespace :: thy-defn
begin
```

In this section we define a number of concrete instantiations of the lens locales, including functions lenses, list lenses, and record lenses.

### 7.1 Function Lens

A function lens views the valuation associated with a particular domain element  $'a$ . We require that range type of a lens function has cardinality of at least 2; this ensures that properties of independence are provable.

**definition** *fun-lens* ::  $'a \Rightarrow ('b::two \Longrightarrow ('a \Rightarrow 'b))$  **where**  
*[lens-defs]*: *fun-lens*  $x = (\text{ lens-get} = (\lambda f. f\ x), \text{ lens-put} = (\lambda f\ u. f(x := u)))$   $\Downarrow$

**lemma** *fun-vwb-lens*: *vwb-lens* (*fun-lens*  $x$ )  
 $\langle$ *proof* $\rangle$

Two function lenses are independent if and only if the domain elements are different.

**lemma** *fun-lens-indep*:  
 $\text{fun-lens } x \bowtie \text{fun-lens } y \iff x \neq y$   
 $\langle$ *proof* $\rangle$

### 7.2 Function Range Lens

The function range lens allows us to focus on a particular region of a function's range.

**definition** *fun-ran-lens* ::  $('c \Longrightarrow 'b) \Rightarrow (('a \Rightarrow 'b) \Longrightarrow 'a) \Rightarrow (('a \Rightarrow 'c) \Longrightarrow 'a)$  **where**  
*[lens-defs]*: *fun-ran-lens*  $X\ Y = (\text{ lens-get} = \lambda s. \text{get}_X \circ \text{get}_Y\ s$   
 $\text{ , lens-put} = \lambda s\ v. \text{put}_Y\ s (\lambda x::'a. \text{put}_X (\text{get}_Y\ s\ x) (v\ x))$ )  $\Downarrow$

**lemma** *fun-ran-mwb-lens*:  $\llbracket \text{mwb-lens } X; \text{mwb-lens } Y \rrbracket \Longrightarrow \text{mwb-lens } (\text{fun-ran-lens } X\ Y)$   
 $\langle$ *proof* $\rangle$

**lemma** *fun-ran-wb-lens*:  $\llbracket \text{wb-lens } X; \text{wb-lens } Y \rrbracket \Longrightarrow \text{wb-lens } (\text{fun-ran-lens } X\ Y)$   
 $\langle$ *proof* $\rangle$

**lemma** *fun-ran-vwb-lens*:  $\llbracket \text{vwb-lens } X; \text{vwb-lens } Y \rrbracket \Longrightarrow \text{vwb-lens } (\text{fun-ran-lens } X\ Y)$   
 $\langle$ *proof* $\rangle$

### 7.3 Map Lens

The map lens allows us to focus on a particular region of a partial function's range. It is only a mainly well-behaved lens because it does not satisfy the PutGet law when the view is not in the domain.

**definition** *map-lens* ::  $'a \Rightarrow ('b \Longrightarrow ('a \mapsto 'b))$  **where**  
*[lens-defs]*: *map-lens*  $x = (\text{ lens-get} = (\lambda f. \text{the } (f\ x)), \text{ lens-put} = (\lambda f\ u. f(x \mapsto u)))$   $\Downarrow$

**lemma** *map-mwb-lens*:  $mwb\text{-}lens (map\text{-}lens\ x)$   
 ⟨*proof*⟩

**lemma** *source-map-lens*:  $\mathcal{S}_{map\text{-}lens\ x} = \{f. x \in dom(f)\}$   
 ⟨*proof*⟩

## 7.4 List Lens

The list lens allows us to view a particular element of a list. In order to show it is mainly well-behaved we need to define to additional list functions. The following function adds a number undefined elements to the end of a list.

**definition** *list-pad-out* ::  $'a\ list \Rightarrow nat \Rightarrow 'a\ list$  **where**  
*list-pad-out*  $xs\ k = xs @ replicate (k + 1 - length\ xs)\ undefined$

The following function is like *list-update* but it adds additional elements to the list if the list isn't long enough first.

**definition** *list-augment* ::  $'a\ list \Rightarrow nat \Rightarrow 'a \Rightarrow 'a\ list$  **where**  
*list-augment*  $xs\ k\ v = (list\text{-}pad\text{-}out\ xs\ k)[k := v]$

The following function is like (!) but it expressly returns *undefined* when the list isn't long enough.

**definition** *nth'* ::  $'a\ list \Rightarrow nat \Rightarrow 'a$  **where**  
*nth'*  $xs\ i = (if (length\ xs > i) then\ xs\ !\ i\ else\ undefined)$

We can prove some additional laws about list update and append.

**lemma** *list-update-append-lemma1*:  $i < length\ xs \Longrightarrow xs[i := v] @ ys = (xs @ ys)[i := v]$   
 ⟨*proof*⟩

**lemma** *list-update-append-lemma2*:  $i < length\ ys \Longrightarrow xs @ ys[i := v] = (xs @ ys)[i + length\ xs := v]$   
 ⟨*proof*⟩

We can also prove some laws about our new operators.

**lemma** *nth'-0 [simp]*:  $nth'\ (x \# xs)\ 0 = x$   
 ⟨*proof*⟩

**lemma** *nth'-Suc [simp]*:  $nth'\ (x \# xs)\ (Suc\ n) = nth'\ xs\ n$   
 ⟨*proof*⟩

**lemma** *list-augment-0 [simp]*:  
*list-augment*  $(x \# xs)\ 0\ y = y \# xs$   
 ⟨*proof*⟩

**lemma** *list-augment-Suc [simp]*:  
*list-augment*  $(x \# xs)\ (Suc\ n)\ y = x \# list\text{-}augment\ xs\ n\ y$   
 ⟨*proof*⟩

**lemma** *list-augment-twice*:  
*list-augment*  $(list\text{-}augment\ xs\ i\ u)\ j\ v = (list\text{-}pad\text{-}out\ xs\ (max\ i\ j))[i:=u, j:=v]$   
 ⟨*proof*⟩

**lemma** *list-augment-last [simp]*:  
*list-augment*  $(xs @ [y])\ (length\ xs)\ z = xs @ [z]$

$\langle \textit{proof} \rangle$

**lemma** *list-augment-idem* [*simp*]:

$$i < \textit{length } xs \implies \textit{list-augment } xs \ i \ (xs \ ! \ i) = xs$$

$\langle \textit{proof} \rangle$

We can now prove that *list-augment* is commutative for different (arbitrary) indices.

**lemma** *list-augment-commute*:

$$i \neq j \implies \textit{list-augment } (\textit{list-augment } \sigma \ j \ v) \ i \ u = \textit{list-augment } (\textit{list-augment } \sigma \ i \ u) \ j \ v$$

$\langle \textit{proof} \rangle$

We can also prove that we can always retrieve an element we have added to the list, since *list-augment* extends the list when necessary. This isn't true of *list-update*.

**lemma** *nth-list-augment*:  $\textit{list-augment } xs \ k \ v \ ! \ k = v$

$\langle \textit{proof} \rangle$

**lemma** *nth'-list-augment*:  $\textit{nth}' (\textit{list-augment } xs \ k \ v) \ k = v$

$\langle \textit{proof} \rangle$

The length is expanded if not already long enough, or otherwise left as it is.

**lemma** *length-list-augment-1*:  $k \geq \textit{length } xs \implies \textit{length } (\textit{list-augment } xs \ k \ v) = \textit{Suc } k$

$\langle \textit{proof} \rangle$

**lemma** *length-list-augment-2*:  $k < \textit{length } xs \implies \textit{length } (\textit{list-augment } xs \ k \ v) = \textit{length } xs$

$\langle \textit{proof} \rangle$

We also have it that *list-augment* cancels itself.

**lemma** *list-augment-same-twice*:  $\textit{list-augment } (\textit{list-augment } xs \ k \ u) \ k \ v = \textit{list-augment } xs \ k \ v$

$\langle \textit{proof} \rangle$

**lemma** *nth'-list-augment-diff*:  $i \neq j \implies \textit{nth}' (\textit{list-augment } \sigma \ i \ v) \ j = \textit{nth}' \sigma \ j$

$\langle \textit{proof} \rangle$

Finally we can create the list lenses, of which there are three varieties. One that allows us to view an index, one that allows us to view the head, and one that allows us to view the tail. They are all mainly well-behaved lenses.

**definition** *list-lens* ::  $\textit{nat} \Rightarrow ('a :: \textit{two} \implies 'a \ \textit{list})$  **where**

$$\begin{aligned} [\textit{lens-defs}]: \textit{list-lens } i = (& \ \textit{lens-get} = (\lambda \ xs. \ \textit{nth}' \ xs \ i) \\ & , \ \textit{lens-put} = (\lambda \ xs \ x. \ \textit{list-augment } \ xs \ i \ x) \ ) \end{aligned}$$

**abbreviation** *hd-lens* ( $\textit{hd}_L$ ) **where**  $\textit{hd-lens} \equiv \textit{list-lens } 0$

**definition** *tl-lens* ::  $'a \ \textit{list} \implies 'a \ \textit{list} \ (\textit{tl}_L)$  **where**

$$\begin{aligned} [\textit{lens-defs}]: \textit{tl-lens} = (& \ \textit{lens-get} = (\lambda \ xs. \ \textit{tl } \ xs) \\ & , \ \textit{lens-put} = (\lambda \ xs \ xs'. \ \textit{hd } \ xs \ \# \ xs') \ ) \end{aligned}$$

**lemma** *list-mwb-lens*:  $\textit{mwb-lens} (\textit{list-lens } x)$

$\langle \textit{proof} \rangle$

The set of constructible sources is precisely those where the length is greater than the given index.

**lemma** *source-list-lens*:  $\mathcal{S}_{\textit{list-lens } i} = \{xs. \ \textit{length } xs > i\}$

$\langle \textit{proof} \rangle$

**lemma** *tail-lens-mwb*:

*mwb-lens*  $tl_L$

$\langle proof \rangle$

**lemma** *source-tail-lens*:  $\mathcal{S}_{tl_L} = \{xs. xs \neq []\}$

$\langle proof \rangle$

Independence of list lenses follows when the two indices are different.

**lemma** *list-lens-indep*:

$i \neq j \implies list\text{-}lens\ i \bowtie list\text{-}lens\ j$

$\langle proof \rangle$

**lemma** *hd-tl-lens-indep* [*simp*]:

$hd_L \bowtie tl_L$

$\langle proof \rangle$

**lemma** *hd-tl-lens-pbij*: *pbij-lens* ( $hd_L +_L tl_L$ )

$\langle proof \rangle$

## 7.5 Record Field Lenses

We also add support for record lenses. Every record created can yield a lens for each field. These cannot be created generically and thus must be defined case by case as new records are created. We thus create a new Isabelle outer syntax command **alphabet** which enables this. We first create syntax that allows us to obtain a lens from a given field using the internal record syntax translations.

**abbreviation** (*input*) *fld-put*  $f \equiv (\lambda \sigma u. f (\lambda \cdot. u) \sigma)$

**syntax**

*-FLDLENS*  $:: id \Rightarrow logic\ (FLDLENS\ -)$

**translations**

$FLDLENS\ x \Rightarrow (\mid lens\text{-}get = x, lens\text{-}put = CONST\ fld\text{-}put\ (-update\text{-}name\ x) \mid)$

We also allow the extraction of the "base lens", which characterises all the fields added by a record without the extension.

**syntax**

*-BASELENS*  $:: id \Rightarrow logic\ (BASELENS\ -)$

**abbreviation** (*input*) *base-lens*  $t\ e\ m \equiv (\mid lens\text{-}get = t, lens\text{-}put = \lambda\ s\ v. e\ v\ (m\ s) \mid)$

$\langle ML \rangle$

We also introduce the **alphabet** command that creates a record with lenses for each field. For each field a lens is created together with a proof that it is very well-behaved, and for each pair of lenses an independence theorem is generated. Alphabets can also be extended which yields sublens proofs between the extension field lens and record extension lenses.

$\langle ML \rangle$

The following theorem attribute stores splitting theorems for alphabet types which which is useful for proof automation.

**named-theorems** *alpha-splits*

## 7.6 Locale State Spaces

Alternative to the alphabet command, we also introduce the statespace command, which implements Schirmer and Wenzel’s locale-based approach to state space modelling [9].

It has the advantage of allowing multiple inheritance of state spaces, and also variable names are fully internalised with the locales. The approach is also far simpler than record-based state spaces.

It has the disadvantage that variables may not be fully polymorphic, unlike for the alphabet command. This makes it in general unsuitable for UTP theory alphabets.

$\langle ML \rangle$

## 7.7 Type Definition Lens

Every type defined by a **typedef** command induces a partial bijective lens constructed using the abstraction and representation functions.

**context** *type-definition*  
**begin**

**definition** *typedef-lens* :: 'b  $\Rightarrow$  'a (*typedef<sub>L</sub>*) **where**  
[*lens-defs*]: *typedef<sub>L</sub>* = ( $\lambda$  lens-get = Abs, lens-put = ( $\lambda$  s. Rep) )

**lemma** *pbij-typedef-lens [simp]*: *pbij-lens typedef<sub>L</sub>*  
 $\langle proof \rangle$

**lemma** *source-typedef-lens*:  $\mathcal{S}_{typedef_L} = A$   
 $\langle proof \rangle$

**lemma** *bij-typedef-lens-UNIV*:  $A = UNIV \Rightarrow$  *bij-lens typedef<sub>L</sub>*  
 $\langle proof \rangle$

**end**

## 7.8 Mapper Lenses

**definition** *lmap-lens* ::  
((('α  $\Rightarrow$  'β)  $\Rightarrow$  ('γ  $\Rightarrow$  'δ))  $\Rightarrow$   
 (('β  $\Rightarrow$  'α)  $\Rightarrow$  'δ  $\Rightarrow$  'γ)  $\Rightarrow$   
 ('γ  $\Rightarrow$  'α)  $\Rightarrow$   
 ('β  $\Rightarrow$  'α)  $\Rightarrow$   
 ('δ  $\Rightarrow$  'γ) **where**  
[*lens-defs*]:  
*lmap-lens* f g h l = ( $\lambda$   
 lens-get = f (get<sub>l</sub>),  
 lens-put = g o (put<sub>l</sub>) o h )

The parse translation below yields a heterogeneous mapping lens for any record type. This is achieved through the utility function above that constructs a functorial lens. This takes as input a heterogeneous mapping function that lifts a function on a record’s extension type to an update on the entire record, and also the record’s “more” function. The first input is given twice as it has different polymorphic types, being effectively a type functor construction which are not explicitly supported by HOL. We note that the *more-update* function does something similar to the extension lifting, but is not precisely suitable here since it only considers homogeneous functions, namely of type 'a  $\Rightarrow$  'a rather than 'a  $\Rightarrow$  'b.

```
syntax
  -lmap :: id ⇒ logic (lmap[-])
```

⟨ML⟩

## 7.9 Lens Interpretation

```
named-theorems lens-interp-laws
```

```
locale lens-interp = interp
begin
declare meta-interp-law [lens-interp-laws]
declare all-interp-law [lens-interp-laws]
declare exists-interp-law [lens-interp-laws]
```

```
end
```

## 7.10 Tactic

A simple tactic for simplifying lens expressions

```
declare split-paired-all [alpha-splits]

method lens-simp = (simp add: alpha-splits lens-defs prod.case-eq-if)
```

```
end
```

## 8 Lenses

```
theory Lenses
imports
  Lens-Laws
  Lens-Algebra
  Lens-Order
  Lens-Symmetric
  Lens-Instances
begin end
```

## 9 Prisms

```
theory Prisms
imports Lenses
begin
```

### 9.1 Signature and Axioms

Prisms are like lenses, but they act on sum types rather than product types [8]. See <https://hackage.haskell.org/package/lens-4.15.2/docs/Control-Lens-Prism.html> for more information.

```
record ('v, 's) prism =
  prism-match :: 's ⇒ 'v option (match!)
  prism-build :: 'v ⇒ 's (build!)
```

```
type-notation
  prism (infixr ⇒△ 0)
```



```

locale wb-prism =
  fixes  $x :: 'v \implies_{\Delta} 's$  (structure)
  assumes match-build:  $\text{match } (\text{build } v) = \text{Some } v$ 
  and build-match:  $\text{match } s = \text{Some } v \implies s = \text{build } v$ 
begin

  lemma build-match-iff:  $\text{match } s = \text{Some } v \longleftrightarrow s = \text{build } v$ 
     $\langle \text{proof} \rangle$ 

  lemma range-build:  $\text{range } \text{build} = \text{dom } \text{match}$ 
     $\langle \text{proof} \rangle$ 
end

declare wb-prism.match-build [simp]
declare wb-prism.build-match [simp]

```

## 9.2 Co-dependence

The relation states that two prisms construct disjoint elements of the source. This can occur, for example, when the two prisms characterise different constructors of an algebraic datatype.

**definition** *prism-diff* ::  $('a \implies_{\Delta} 's) \Rightarrow ('b \implies_{\Delta} 's) \Rightarrow \text{bool}$  (**infix**  $\nabla$  50) **where**  
*prism-diff*  $X Y = (\text{range } \text{build}_X \cap \text{range } \text{build}_Y = \{\})$

**lemma** *prism-diff-intro*:  
 $(\bigwedge s_1 s_2. \text{build}_X s_1 = \text{build}_Y s_2 \implies \text{False}) \implies X \nabla Y$   
 $\langle \text{proof} \rangle$

**lemma** *prism-diff-irrefl*:  $\neg X \nabla X$   
 $\langle \text{proof} \rangle$

**lemma** *prism-diff-sym*:  $X \nabla Y \implies Y \nabla X$   
 $\langle \text{proof} \rangle$

**lemma** *prism-diff-build*:  $X \nabla Y \implies \text{build}_X u \neq \text{build}_Y v$   
 $\langle \text{proof} \rangle$

## 9.3 Summation

**definition** *prism-plus* ::  $('a \implies_{\Delta} 's) \Rightarrow ('b \implies_{\Delta} 's) \Rightarrow 'a + 'b \implies_{\Delta} 's$  (**infixl**  $+\Delta$  85)  
**where**

$X +_{\Delta} Y = (\mid \text{prism-match} = (\lambda s. \text{case } (\text{match}_X s, \text{match}_Y s) \text{ of}$   
 $\quad (\text{Some } u, -) \Rightarrow \text{Some } (\text{Inl } u) \mid$   
 $\quad (\text{None}, \text{Some } v) \Rightarrow \text{Some } (\text{Inr } v) \mid$   
 $\quad (\text{None}, \text{None}) \Rightarrow \text{None}),$   
 $\text{prism-build} = (\lambda v. \text{case } v \text{ of } \text{Inl } x \Rightarrow \text{build}_X x \mid \text{Inr } y \Rightarrow \text{build}_Y y) \mid)$

## 9.4 Instances

**definition** *prism-suml* ::  $('a, 'a + 'b) \text{ prism } (\text{Inl}_{\Delta})$  **where**  
 $[\text{lens-defs}]$ :  $\text{prism-suml} = (\mid \text{prism-match} = (\lambda v. \text{case } v \text{ of } \text{Inl } x \Rightarrow \text{Some } x \mid - \Rightarrow \text{None}), \text{prism-build} = \text{Inl } \mid)$

**definition** *prism-sumr* ::  $('b, 'a + 'b) \text{ prism } (\text{Inr}_{\Delta})$  **where**

[*lens-defs*]: *prism-sumr* = ( $\lfloor$  *prism-match* = ( $\lambda v. \text{case } v \text{ of } \text{Inr } x \Rightarrow \text{Some } x \mid - \Rightarrow \text{None}$ ), *prism-build* = *Inr*  $\rfloor$ )

**lemma** *wb-prim-suml*: *wb-prism Inl* $\Delta$   
 $\langle$ *proof* $\rangle$

**lemma** *wb-prim-sumr*: *wb-prism Inr* $\Delta$   
 $\langle$ *proof* $\rangle$

**lemma** *prism-suml-indep-sumr* [*simp*]: *Inl* $\Delta \nabla$  *Inr* $\Delta$   
 $\langle$ *proof* $\rangle$

## 9.5 Lens correspondence

Every well-behaved prism can be represented by a partial bijective lens. We prove this by exhibiting conversion functions and showing they are (almost) inverses.

**definition** *prism-lens* :: (*'a*, *'s*) *prism*  $\Rightarrow$  (*'a*  $\Longrightarrow$  *'s*) **where**  
*prism-lens* *X* = ( $\lfloor$  *lens-get* = ( $\lambda s. \text{the } (\text{match}_X s)$ ), *lens-put* = ( $\lambda s v. \text{build}_X v$ )  $\rfloor$ )

**definition** *lens-prism* :: (*'a*  $\Longrightarrow$  *'s*)  $\Rightarrow$  (*'a*, *'s*) *prism* **where**  
*lens-prism* *X* = ( $\lfloor$  *prism-match* = ( $\lambda s. \text{if } (s \in \mathcal{S}_X) \text{ then } \text{Some } (\text{get}_X s) \text{ else } \text{None}$ ),  
*prism-build* = *create* $_X$   $\rfloor$ )

**lemma** *get-prism-lens*: *get* $_{\text{prism-lens } X}$  = *the*  $\circ$  *match* $_X$   
 $\langle$ *proof* $\rangle$

**lemma** *src-prism-lens*:  $\mathcal{S}_{\text{prism-lens } X}$  = *range* (*build* $_X$ )  
 $\langle$ *proof* $\rangle$

**lemma** *create-prism-lens*: *create* $_{\text{prism-lens } X}$  = *build* $_X$   
 $\langle$ *proof* $\rangle$

**lemma** *prism-lens-inverse*:  
*wb-prism* *X*  $\Longrightarrow$  *lens-prism* (*prism-lens* *X*) = *X*  
 $\langle$ *proof* $\rangle$

Function *lens-prism* is almost inverted by *prism-lens*. The *put* functions are identical, but the *get* functions differ when applied to a source where the prism *X* is undefined.

**lemma** *lens-prism-put-inverse*:  
*pbij-lens* *X*  $\Longrightarrow$  *put* $_{\text{prism-lens } (\text{lens-prism } X)}$  = *put* $_X$   
 $\langle$ *proof* $\rangle$

**lemma** *wb-prism-implies-pbij-lens*:  
*wb-prism* *X*  $\Longrightarrow$  *pbij-lens* (*prism-lens* *X*)  
 $\langle$ *proof* $\rangle$

**lemma** *pbij-lens-implies-wb-prism*:  
**assumes** *pbij-lens* *X*  
**shows** *wb-prism* (*lens-prism* *X*)  
 $\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**end**

## 10 Channel Types

```
theory Channel-Type
imports Prisms
keywords chantype :: thy-defn
begin
```

A channel type is a simplified algebraic datatype where each constructor has exactly one parameter, and it is wrapped up as a prism. It is a dual of an alphabet type.

```
definition ctor-prism :: ('a ⇒ 'd) ⇒ ('d ⇒ bool) ⇒ ('d ⇒ 'a) ⇒ ('a ⇒Δ 'd) where
[lens-defs]:
ctor-prism ctor disc sel = (| prism-match = (λ d. if (disc d) then Some (sel d) else None)
, prism-build = ctor |)
```

```
lemma wb-ctor-prism-intro:
assumes
  ∧ v. disc (ctor v)
  ∧ v. sel (ctor v) = v
  ∧ s. disc s ⇒ ctor (sel s) = s
shows wb-prism (ctor-prism ctor disc sel)
⟨proof⟩
```

```
lemma ctor-codep-intro:
assumes ∧ x y. ctor1 x ≠ ctor2 y
shows ctor-prism ctor1 disc1 sel1 ∇ ctor-prism ctor2 disc2 sel2
⟨proof⟩
```

⟨ML⟩

**end**

## 11 Data spaces

```
theory Dataspace
imports Lenses Prisms
keywords dataspace :: thy-defn and constants variables channels
begin
```

A data space is like a more sophisticated version of a locale-based state space. It allows us to introduce both variables, modelled by lenses, and channels, modelled by prisms. It also allows local constants, and assumptions over them.

⟨ML⟩

**end**

## 12 Scenes

```
theory Scenes
imports Lens-Instances
begin
```

Like lenses, scenes characterise a region of a source type. However, unlike lenses, scenes do not explicitly assign a view type to this region, and consequently they have just one type parameter. This means they can be more flexibly composed, and in particular it is possible to show they

form nice algebraic structures in Isabelle/HOL. They are mainly of use in characterising sets of variables, where, of course, we do not care about the types of those variables and therefore representing them as lenses is inconvenient.

## 12.1 Overriding Functions

Overriding functions provide an abstract way of replacing a region of an existing source with the corresponding region of another source.

```

locale overrider =
  fixes  $F :: 's \Rightarrow 's \Rightarrow 's$  (infixl  $\triangleright$  65)
  assumes
    ovr-overshadow-left:  $x \triangleright y \triangleright z = x \triangleright z$  and
    ovr-overshadow-right:  $x \triangleright (y \triangleright z) = x \triangleright z$ 
begin
  lemma ovr-assoc:  $x \triangleright (y \triangleright z) = x \triangleright y \triangleright z$ 
     $\langle$ proof $\rangle$ 
end

```

```

locale idem-overrider = overrider +
  assumes ovr-idem:  $x \triangleright x = x$ 

```

```

declare overrider.ovr-overshadow-left [simp]
declare overrider.ovr-overshadow-right [simp]
declare idem-overrider.ovr-idem [simp]

```

## 12.2 Scene Type

```

typedef  $'s$  scene =  $\{F :: 's \Rightarrow 's \Rightarrow 's. \text{overrider } F\}$ 
   $\langle$ proof $\rangle$ 

```

```

setup-lifting type-definition-scene

```

```

lift-definition idem-scene ::  $'s$  scene  $\Rightarrow$  bool is idem-overrider  $\langle$ proof $\rangle$ 

```

```

lift-definition region ::  $'s$  scene  $\Rightarrow$   $'s$  rel
is  $\lambda F. \{(s_1, s_2). (\forall s. F s s_1 = F s s_2)\}$   $\langle$ proof $\rangle$ 

```

```

lift-definition coregion ::  $'s$  scene  $\Rightarrow$   $'s$  rel
is  $\lambda F. \{(s_1, s_2). (\forall s. F s_1 s = F s_2 s)\}$   $\langle$ proof $\rangle$ 

```

```

lemma equiv-region: equiv UNIV (region  $X$ )
   $\langle$ proof $\rangle$ 

```

```

lemma equiv-coregion: equiv UNIV (coregion  $X$ )
   $\langle$ proof $\rangle$ 

```

```

lemma region-coregion-Id:
  idem-scene  $X \Longrightarrow$  region  $X \cap$  coregion  $X =$  Id
   $\langle$ proof $\rangle$ 

```

```

lemma state-eq-iff: idem-scene  $S \Longrightarrow x = y \longleftrightarrow (x, y) \in$  region  $S \wedge (x, y) \in$  coregion  $S$ 
   $\langle$ proof $\rangle$ 

```

```

lift-definition scene-override ::  $'a \Rightarrow 'a \Rightarrow ('a$  scene)  $\Rightarrow 'a$  ( $- \oplus_S -$  on - [95,0,96] 95)

```

is  $\lambda s_1 s_2 F. F s_1 s_2 \langle proof \rangle$

**abbreviation** (*input*) *scene-copy* :: 'a scene  $\Rightarrow$  'a  $\Rightarrow$  ('a  $\Rightarrow$  'a) (*cp.*) **where**  
 $cp_A s \equiv (\lambda s'. s' \oplus_S s \text{ on } A)$

**lemma** *scene-override-idem* [*simp*]: *idem-scene*  $X \Longrightarrow s \oplus_S s \text{ on } X = s$   
 $\langle proof \rangle$

**lemma** *scene-override-overshadow-left* [*simp*]:  
 $S_1 \oplus_S S_2 \text{ on } X \oplus_S S_3 \text{ on } X = S_1 \oplus_S S_3 \text{ on } X$   
 $\langle proof \rangle$

**lemma** *scene-override-overshadow-right* [*simp*]:  
 $S_1 \oplus_S (S_2 \oplus_S S_3 \text{ on } X) \text{ on } X = S_1 \oplus_S S_3 \text{ on } X$   
 $\langle proof \rangle$

**definition** *scene-equiv* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  ('a scene)  $\Rightarrow$  bool (*-  $\approx_S$  - on -* [65,0,66] 65) **where**  
[*lens-defs*]:  $S_1 \approx_S S_2 \text{ on } X = (S_1 \oplus_S S_2 \text{ on } X = S_1)$

**lemma** *scene-equiv-region*: *idem-scene*  $X \Longrightarrow \text{region } X = \{(S_1, S_2). S_1 \approx_S S_2 \text{ on } X\}$   
 $\langle proof \rangle$

**lift-definition** *scene-indep* :: 'a scene  $\Rightarrow$  'a scene  $\Rightarrow$  bool (**infix**  $\bowtie_S$  50)  
is  $\lambda F G. (\forall s_1 s_2 s_3. G (F s_1 s_2) s_3 = F (G s_1 s_3) s_2) \langle proof \rangle$

**lemma** *scene-indep-override*:  
 $X \bowtie_S Y = (\forall s_1 s_2 s_3. s_1 \oplus_S s_2 \text{ on } X \oplus_S s_3 \text{ on } Y = s_1 \oplus_S s_3 \text{ on } Y \oplus_S s_2 \text{ on } X)$   
 $\langle proof \rangle$

**lemma** *scene-indep-copy*:  
 $X \bowtie_S Y = (\forall s_1 s_2. cp_X s_1 \circ cp_Y s_2 = cp_Y s_2 \circ cp_X s_1)$   
 $\langle proof \rangle$

**lemma** *scene-indep-sym*:  
 $X \bowtie_S Y \Longrightarrow Y \bowtie_S X$   
 $\langle proof \rangle$

Compatibility is a weaker notion than independence; the scenes can overlap but they must agree when they do.

**lift-definition** *scene-compact* :: 'a scene  $\Rightarrow$  'a scene  $\Rightarrow$  bool (**infix**  $\#\#_S$  50)  
is  $\lambda F G. (\forall s_1 s_2. G (F s_1 s_2) s_2 = F (G s_1 s_2) s_2) \langle proof \rangle$

**lemma** *scene-compact-copy*:  
 $X \#\#_S Y = (\forall s. cp_X s \circ cp_Y s = cp_Y s \circ cp_X s)$   
 $\langle proof \rangle$

**lemma** *scene-indep-compact* [*simp*]:  $X \bowtie_S Y \Longrightarrow X \#\#_S Y$   
 $\langle proof \rangle$

**lemma** *scene-compact-refl*:  $X \#\#_S X$   
 $\langle proof \rangle$

**lemma** *scene-compact-sym*:  $X \#\#_S Y \Longrightarrow Y \#\#_S X$   
 $\langle proof \rangle$

**lemma** *scene-override-commute-indep*:  
**assumes**  $X \bowtie_S Y$   
**shows**  $S_1 \oplus_S S_2$  on  $X \oplus_S S_3$  on  $Y = S_1 \oplus_S S_3$  on  $Y \oplus_S S_2$  on  $X$   
 $\langle \text{proof} \rangle$

**instantiation** *scene* :: (type) {bot, top, uminus, sup, inf}  
**begin**  
**lift-definition** *bot-scene* :: 's scene **is**  $\lambda x y. x$   $\langle \text{proof} \rangle$   
**lift-definition** *top-scene* :: 's scene **is**  $\lambda x y. y$   $\langle \text{proof} \rangle$   
**lift-definition** *uminus-scene* :: 's scene  $\Rightarrow$  's scene **is**  $\lambda F x y. F y x$   
 $\langle \text{proof} \rangle$

Scene union requires that the two scenes are at least compatible. If they are not, the result is the bottom scene.

**lift-definition** *sup-scene* :: 's scene  $\Rightarrow$  's scene  $\Rightarrow$  's scene  
**is**  $\lambda F G. \text{if } (\forall s_1 s_2. G (F s_1 s_2) s_2 = F (G s_1 s_2) s_2) \text{ then } (\lambda s_1 s_2. G (F s_1 s_2) s_2) \text{ else } (\lambda s_1 s_2. s_1)$   
 $\langle \text{proof} \rangle$   
**definition** *inf-scene* :: 's scene  $\Rightarrow$  's scene  $\Rightarrow$  's scene **where**  
[lens-defs]: *inf-scene*  $X Y = - (sup (- X) (- Y))$   
**instance**  $\langle \text{proof} \rangle$   
**end**

**abbreviation** *union-scene* :: 's scene  $\Rightarrow$  's scene  $\Rightarrow$  's scene (**infixl**  $\sqcup_S$  65)  
**where** *union-scene*  $\equiv sup$

**abbreviation** *inter-scene* :: 's scene  $\Rightarrow$  's scene  $\Rightarrow$  's scene (**infixl**  $\sqcap_S$  70)  
**where** *inter-scene*  $\equiv inf$

**abbreviation** *top-scene* :: 's scene ( $\top_S$ )  
**where** *top-scene*  $\equiv top$

**abbreviation** *bot-scene* :: 's scene ( $\perp_S$ )  
**where** *bot-scene*  $\equiv bot$

**lemma** *uminus-scene-twice*:  $- (- (X :: 's scene)) = X$   
 $\langle \text{proof} \rangle$

**lemma** *scene-override-id* [simp]:  $S_1 \oplus_S S_2$  on  $\top_S = S_2$   
 $\langle \text{proof} \rangle$

**lemma** *scene-override-unit* [simp]:  $S_1 \oplus_S S_2$  on  $\perp_S = S_1$   
 $\langle \text{proof} \rangle$

**lemma** *scene-override-commute*:  $S_2 \oplus_S S_1$  on  $(- X) = S_1 \oplus_S S_2$  on  $X$   
 $\langle \text{proof} \rangle$

**lemma** *scene-union-incompat*:  $\neg X \#\#_S Y \Longrightarrow X \sqcup_S Y = \perp_S$   
 $\langle \text{proof} \rangle$

**lemma** *scene-override-union*:  $X \#\#_S Y \Longrightarrow S_1 \oplus_S S_2$  on  $(X \sqcup_S Y) = (S_1 \oplus_S S_2$  on  $X) \oplus_S S_2$  on  $Y$   
 $\langle \text{proof} \rangle$

**lemma** *scene-union-unit*:  $X \sqcup_S \perp_S = X$   
 $\langle \text{proof} \rangle$

**lemma** *idem-scene-union* [*simp*]:  $\llbracket \text{idem-scene } A; \text{idem-scene } B \rrbracket \Longrightarrow \text{idem-scene } (A \sqcup_S B)$   
 $\langle \text{proof} \rangle$

**lemma** *scene-union-annhil*:  $\text{idem-scene } X \Longrightarrow X \sqcup_S \top_S = \top_S$   
 $\langle \text{proof} \rangle$

**lemma** *scene-union-pres-compat*:  $\llbracket A \#\#_S B; A \#\#_S C \rrbracket \Longrightarrow A \#\#_S (B \sqcup_S C)$   
 $\langle \text{proof} \rangle$

**lemma** *scene-indep-self-compl*:  $A \bowtie_S \neg A$   
 $\langle \text{proof} \rangle$

**lemma** *scene-compat-self-compl*:  $A \#\#_S \neg A$   
 $\langle \text{proof} \rangle$

**lemma** *scene-union-assoc*:  
**assumes**  $X \#\#_S Y \ X \#\#_S Z \ Y \#\#_S Z$   
**shows**  $X \sqcup_S (Y \sqcup_S Z) = (X \sqcup_S Y) \sqcup_S Z$   
 $\langle \text{proof} \rangle$

**lemma** *scene-inter-indep*:  
**assumes**  $\text{idem-scene } X \ \text{idem-scene } Y \ X \bowtie_S Y$   
**shows**  $X \sqcap_S Y = \perp_S$   
 $\langle \text{proof} \rangle$

**lemma** *scene-union-idem*:  $X \sqcup_S X = X$   
 $\langle \text{proof} \rangle$

**lemma** *scene-union-compl*:  $\text{idem-scene } X \Longrightarrow X \sqcup_S \neg X = \top_S$   
 $\langle \text{proof} \rangle$

**lemma** *scene-inter-idem*:  $X \sqcap_S X = X$   
 $\langle \text{proof} \rangle$

**lemma** *scene-union-commute*:  $X \sqcup_S Y = Y \sqcup_S X$   
 $\langle \text{proof} \rangle$

**lemma** *scene-inter-compl*:  $\text{idem-scene } X \Longrightarrow X \sqcap_S \neg X = \perp_S$   
 $\langle \text{proof} \rangle$

**lemma** *scene-demorgan1*:  $\neg(X \sqcup_S Y) = \neg X \sqcap_S \neg Y$   
 $\langle \text{proof} \rangle$

**lemma** *scene-demorgan2*:  $\neg(X \sqcap_S Y) = \neg X \sqcup_S \neg Y$   
 $\langle \text{proof} \rangle$

**lemma** *scene-compat-top*:  $\text{idem-scene } X \Longrightarrow X \#\#_S \top_S$   
 $\langle \text{proof} \rangle$

**instantiation** *scene* :: (type) ord  
**begin**

$X$  is a subsce of  $Y$  provided that overriding with first  $Y$  and then  $X$  can be rewritten using the complement of  $X$ .

**definition** *less-eq-scene* :: 'a scene  $\Rightarrow$  'a scene  $\Rightarrow$  bool **where**  
 [lens-defs]: *less-eq-scene*  $X Y = (\forall s_1 s_2 s_3. s_1 \oplus_S s_2 \text{ on } Y \oplus_S s_3 \text{ on } X = s_1 \oplus_S (s_2 \oplus_S s_3 \text{ on } X) \text{ on } Y)$

**definition** *less-scene* :: 'a scene  $\Rightarrow$  'a scene  $\Rightarrow$  bool **where**  
 [lens-defs]: *less-scene*  $x y = (x \leq y \wedge \neg y \leq x)$

**instance**  $\langle$ proof $\rangle$   
**end**

**abbreviation** *subscene* :: 'a scene  $\Rightarrow$  'a scene  $\Rightarrow$  bool (**infix**  $\subseteq_S$  55)  
**where** *subscene*  $X Y \equiv X \leq Y$

**lemma** *subscene-refl*:  $X \subseteq_S X$   
 $\langle$ proof $\rangle$

**lemma** *subscene-trans*:  $\llbracket \text{idem-scene } Y; X \subseteq_S Y; Y \subseteq_S Z \rrbracket \Longrightarrow X \subseteq_S Z$   
 $\langle$ proof $\rangle$

**lemma** *subscene-antisym*:  $\llbracket \text{idem-scene } Y; X \subseteq_S Y; Y \subseteq_S X \rrbracket \Longrightarrow X = Y$   
 $\langle$ proof $\rangle$

**lemma** *subscene-copy-def*:  
**assumes** *idem-scene*  $X$  *idem-scene*  $Y$   
**shows**  $X \subseteq_S Y = (\forall s_1 s_2. cp_X s_1 \circ cp_Y s_2 = cp_Y (cp_X s_1 s_2))$   
 $\langle$ proof $\rangle$

**lemma** *subscene-eliminate*:  
 $\llbracket \text{idem-scene } Y; X \leq Y \rrbracket \Longrightarrow s_1 \oplus_S s_2 \text{ on } X \oplus_S s_3 \text{ on } Y = s_1 \oplus_S s_3 \text{ on } Y$   
 $\langle$ proof $\rangle$

**lemma** *scene-bot-least*:  $\perp_S \leq X$   
 $\langle$ proof $\rangle$

**lemma** *scene-top-greatest*:  $X \leq \top_S$   
 $\langle$ proof $\rangle$

**lemma** *scene-union-ub*:  $\llbracket \text{idem-scene } Y; X \bowtie_S Y \rrbracket \Longrightarrow X \leq (X \sqcup_S Y)$   
 $\langle$ proof $\rangle$

**lemma** *scene-le-then-compat*:  $\llbracket \text{idem-scene } X; \text{idem-scene } Y; X \leq Y \rrbracket \Longrightarrow X \#\#_S Y$   
 $\langle$ proof $\rangle$

**lemma** *indep-then-compl-in*:  $A \bowtie_S B \Longrightarrow A \leq -B$   
 $\langle$ proof $\rangle$

**lift-definition** *scene-comp* :: 'a scene  $\Rightarrow$  ('a  $\Longrightarrow$  'b)  $\Rightarrow$  'b scene (**infixl** ;<sub>S</sub> 80)  
**is**  $\lambda S X a b. \text{if } (vwb\text{-lens } X) \text{ then } put_X a (S (get_X a) (get_X b)) \text{ else } a$   
 $\langle$ proof $\rangle$

**lemma** *scene-comp-idem* [simp]: *idem-scene*  $S \Longrightarrow \text{idem-scene } (S ;_S X)$   
 $\langle$ proof $\rangle$

**lemma** *scene-comp-lens-indep* [simp]:  $X \bowtie Y \Longrightarrow (A ;_S X) \bowtie_S (A ;_S Y)$   
 $\langle$ proof $\rangle$

**lemma** *scene-comp-indep* [simp]:  $A \bowtie_S B \Longrightarrow (A ;_S X) \bowtie_S (B ;_S X)$



*<proof>*

### 12.3 Linking Scenes and Lenses

The following function extracts a scene from a very well behaved lens

**lift-definition** *lens-scene* :: ( $'v \implies 's$ )  $\Rightarrow$  *'s scene* ( $\llbracket - \rrbracket_{\sim}$ ) **is**

$\lambda X s_1 s_2$ . *if* (*mwb-lens*  $X$ ) *then*  $s_1 \oplus_L s_2$  *on*  $X$  *else*  $s_1$

*<proof>*

**lemma** *vwb-impl-idem-scene* [*simp*]:

*vwb-lens*  $X \implies$  *idem-scene*  $\llbracket X \rrbracket_{\sim}$

*<proof>*

**lemma** *idem-scene-impl-vwb*:

$\llbracket$  *mwb-lens*  $X$ ; *idem-scene*  $\llbracket X \rrbracket_{\sim}$   $\rrbracket \implies$  *vwb-lens*  $X$

*<proof>*

**lemma** *lens-compat-scene*:  $\llbracket$  *mwb-lens*  $X$ ; *mwb-lens*  $Y$   $\rrbracket \implies X \#\#_L Y \longleftrightarrow \llbracket X \rrbracket_{\sim} \#\#_S \llbracket Y \rrbracket_{\sim}$

*<proof>*

Next we show some important congruence properties

**lemma** *zero-lens-scene*:  $\llbracket 0_L \rrbracket_{\sim} = \perp_S$

*<proof>*

**lemma** *one-lens-scene*:  $\llbracket 1_L \rrbracket_{\sim} = \top_S$

*<proof>*

**lemma** *lens-scene-override*:

*mwb-lens*  $X \implies s_1 \oplus_S s_2$  *on*  $\llbracket X \rrbracket_{\sim} = s_1 \oplus_L s_2$  *on*  $X$

*<proof>*

**lemma** *lens-indep-scene*:

**assumes** *vwb-lens*  $X$  *vwb-lens*  $Y$

**shows**  $(X \bowtie Y) \longleftrightarrow \llbracket X \rrbracket_{\sim} \bowtie_S \llbracket Y \rrbracket_{\sim}$

*<proof>*

**lemma** *lens-indep-impl-scene-indep* [*simp*]:

$(X \bowtie Y) \implies \llbracket X \rrbracket_{\sim} \bowtie_S \llbracket Y \rrbracket_{\sim}$

*<proof>*

**lemma** *lens-plus-scene*:

$\llbracket$  *vwb-lens*  $X$ ; *vwb-lens*  $Y$ ;  $X \bowtie Y$   $\rrbracket \implies \llbracket X +_L Y \rrbracket_{\sim} = \llbracket X \rrbracket_{\sim} \sqcup_S \llbracket Y \rrbracket_{\sim}$

*<proof>*

**lemma** *subscene-implies-sublens'*:  $\llbracket$  *vwb-lens*  $X$ ; *vwb-lens*  $Y$   $\rrbracket \implies \llbracket X \rrbracket_{\sim} \leq \llbracket Y \rrbracket_{\sim} \longleftrightarrow X \subseteq_{L'} Y$

*<proof>*

**lemma** *sublens'-implies-subscene*:  $\llbracket$  *vwb-lens*  $X$ ; *vwb-lens*  $Y$ ;  $X \subseteq_{L'} Y$   $\rrbracket \implies \llbracket X \rrbracket_{\sim} \leq \llbracket Y \rrbracket_{\sim}$

*<proof>*

**lemma** *sublens-iff-subscene*:

**assumes** *vwb-lens*  $X$  *vwb-lens*  $Y$

**shows**  $X \subseteq_L Y \longleftrightarrow \llbracket X \rrbracket_{\sim} \leq \llbracket Y \rrbracket_{\sim}$

*<proof>*

Equality on scenes is sound and complete with respect to lens equivalence.

**lemma** *lens-equiv-scene*:

**assumes** *vwb-lens*  $X$  *vwb-lens*  $Y$

**shows**  $X \approx_L Y \iff \llbracket X \rrbracket_{\sim} = \llbracket Y \rrbracket_{\sim}$

*<proof>*

**definition** *lens-insert* ::  $('a \implies 'b) \Rightarrow 'b \text{ scene} \Rightarrow 'b \text{ scene} (\text{insert}_S)$  **where**

*lens-insert*  $x A = (\text{if } (\llbracket x \rrbracket_{\sim} \leq A) \text{ then } \llbracket x \rrbracket_{\sim} \sqcup_S A \text{ else } A)$

**lemma** *lens-insert-idem*:  $\text{insert}_S x (\text{insert}_S x A) = \text{insert}_S x A$

*<proof>*

Membership operations. These have slightly hacky definitions at the moment in order to cater for *mwb-lens*. See if they can be generalised?

**definition** *lens-member* ::  $('a \implies 'b) \Rightarrow 'b \text{ scene} \Rightarrow \text{bool} (\text{infix } \in_S 50)$  **where**

[*lens-defs*]:

*lens-member*  $x A = ((\forall s_1 s_2 s_3. s_1 \oplus_S s_2 \text{ on } A \oplus_L s_3 \text{ on } x = s_1 \oplus_S (s_2 \oplus_L s_3 \text{ on } x) \text{ on } A) \wedge$   
 $(\forall b b'. \text{get}_x (b \oplus_S b' \text{ on } A) = \text{get}_x b'))$

**lemma** *lens-member-override*:  $x \in_S A \implies s_1 \oplus_S s_2 \text{ on } A \oplus_L s_3 \text{ on } x = s_1 \oplus_S (s_2 \oplus_L s_3 \text{ on } x) \text{ on } A$

*<proof>*

**lemma** *lens-member-put*:

**assumes** *vwb-lens*  $x$  *idem-scene*  $a$   $x \in_S a$

**shows**  $\text{put}_x s v \oplus_S s \text{ on } a = s$

*<proof>*

**lemma** *lens-member-top*:  $x \in_S \top_S$

*<proof>*

**abbreviation** *lens-not-member* ::  $('a \implies 'b) \Rightarrow 'b \text{ scene} \Rightarrow \text{bool} (\text{infix } \notin_S 50)$  **where**

$x \notin_S A \equiv (x \in_S - A)$

**lemma** *lens-member-get-override* [*simp*]:  $x \in_S a \implies \text{get}_x (b \oplus_S b' \text{ on } a) = \text{get}_x b'$

*<proof>*

**lemma** *lens-not-member-get-override* [*simp*]:  $x \notin_S a \implies \text{get}_x (b \oplus_S b' \text{ on } a) = \text{get}_x b$

*<proof>*

## 12.4 Function Domain Scene

**lift-definition** *fun-dom-scene* ::  $'a \text{ set} \Rightarrow ('a \Rightarrow 'b::\text{two}) \text{ scene} (\text{fds})$  **is**

$\lambda A f g. \text{override-on } f g A$  *<proof>*

**lemma** *fun-dom-scene-empty*:  $\text{fds}(\{\}) = \perp_S$

*<proof>*

**lemma** *fun-dom-scene-union*:  $\text{fds}(A \cup B) = \text{fds}(A) \sqcup_S \text{fds}(B)$

*<proof>*

**lemma** *fun-dom-scene-compl*:  $\text{fds}(- A) = - \text{fds}(A)$

*<proof>*

**lemma** *fun-dom-scene-inter*:  $\text{fds}(A \cap B) = \text{fds}(A) \sqcap_S \text{fds}(B)$

*<proof>*

**lemma** *fun-dom-scene-UNIV*:  $fds(UNIV) = \top_S$   
*<proof>*

**lemma** *fun-dom-scene-indep* [*simp*]:  
 $fds(A) \bowtie_S fds(B) \longleftrightarrow A \cap B = \{\}$   
*<proof>*

**lemma** *fun-dom-scene-always-compat* [*simp*]:  $fds(A) \#\#_S fds(B)$   
*<proof>*

**lemma** *fun-dom-scene-le* [*simp*]:  $fds(A) \subseteq_S fds(B) \longleftrightarrow A \subseteq B$   
*<proof>*

Hide implementation details for scenes

**lifting-update** *scene.lifting*

**lifting-forget** *scene.lifting*

end

## 13 Optics Meta-Theory

**theory** *Optics*

**imports** *Lenses Prisms Scenes Dataspace Channel-Type*

**begin** end

## 14 State and Lens integration

**theory** *Lens-State*

**imports**

*HOL-Library.State-Monad*

*Lens-Algebra*

**begin**

Inspired by Haskell's lens package

**definition** *zoom* ::  $('a \Longrightarrow 'b) \Rightarrow ('a, 'c) \text{ state} \Rightarrow ('b, 'c) \text{ state}$  **where**  
 $zoom\ l\ m = State\ (\lambda b. case\ run\_state\ m\ (lens\_get\ l\ b)\ of\ (c, a) \Rightarrow (c, lens\_put\ l\ b\ a))$

**definition** *use* ::  $('a \Longrightarrow 'b) \Rightarrow ('b, 'a) \text{ state}$  **where**  
 $use\ l = zoom\ l\ State\_Monad.get$

**definition** *modify* ::  $('a \Longrightarrow 'b) \Rightarrow ('a \Rightarrow 'a) \Rightarrow ('b, unit) \text{ state}$  **where**  
 $modify\ l\ f = zoom\ l\ (State\_Monad.update\ f)$

**definition** *assign* ::  $('a \Longrightarrow 'b) \Rightarrow 'a \Rightarrow ('b, unit) \text{ state}$  **where**  
 $assign\ l\ b = zoom\ l\ (State\_Monad.set\ b)$

**context** **begin**

**qualified abbreviation**  $add\ l\ n \equiv modify\ l\ (\lambda x. x + n)$

**qualified abbreviation**  $sub\ l\ n \equiv modify\ l\ (\lambda x. x - n)$

**qualified abbreviation**  $mul\ l\ n \equiv modify\ l\ (\lambda x. x * n)$

**qualified abbreviation**  $inc\ l \equiv add\ l\ 1$

**qualified abbreviation**  $dec\ l \equiv sub\ l\ 1$

end

```
bundle lens-state-notation begin
  notation zoom (infixr ▷ 80)
  notation modify (infix %= 80)
  notation assign (infix .= 80)
  notation Lens-State.add (infix += 80)
  notation Lens-State.sub (infix -= 80)
  notation Lens-State.mul (infix *= 80)
  notation Lens-State.inc (- ++ )
  notation Lens-State.dec (- -- )
end
```

context includes lens-state-notation begin

lemma zoom-comp1:  $l1 \triangleright l2 \triangleright s = (l2 ;_L l1) \triangleright s$   
*<proof>*

lemma zoom-zero[simp]:  $zero\text{-}lens \triangleright s = s$   
*<proof>*

lemma zoom-id[simp]:  $id\text{-}lens \triangleright s = s$   
*<proof>*

end

lemma (in mwb-lens) zoom-comp2[simp]:  $zoom\ x\ m \ggg (\lambda a. zoom\ x\ (n\ a)) = zoom\ x\ (m \ggg n)$   
*<proof>*

lemma (in wb-lens) use-alt-def:  $use\ x = map\text{-}state\ (lens\text{-}get\ x)\ State\text{-}Monad.get$   
*<proof>*

lemma (in wb-lens) modify-alt-def:  $modify\ x\ f = State\text{-}Monad.update\ (update\ f)$   
*<proof>*

lemma (in wb-lens) modify-id[simp]:  $modify\ x\ (\lambda x. x) = State\text{-}Monad.return\ ()$   
*<proof>*

lemma (in mwb-lens) modify-comp[simp]:  $bind\ (modify\ x\ f)\ (\lambda -. modify\ x\ g) = modify\ x\ (g \circ f)$   
*<proof>*

end

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