

# Open Induction

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## Abstract

A proof of the open induction schema based on [1].

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## 1 Binary Predicates Restricted to Elements of a Given Set

```
theory Restricted-Predicates
imports Main
begin
```

A subset  $C$  of  $A$  is a *chain* on  $A$  (w.r.t.  $P$ ) iff for all pairs of elements of  $C$ , one is less than or equal to the other one.

**abbreviation**  $\text{chain-on } P \ C \ A \equiv \text{pred-on.chain } A \ P \ C$

**lemmas**  $\text{chain-on-def} = \text{pred-on.chain-def}$

**lemma** *chain-on-subset*:

$A \subseteq B \implies \text{chain-on } P \ C \ A \implies \text{chain-on } P \ C \ B$

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*<proof>*

**lemma** *chain-on-imp-subset*:  
 $chain\text{-}on\ P\ C\ A \implies C \subseteq A$   
*<proof>*

**lemma** *subchain-on*:  
**assumes**  $C \subseteq D$  **and** *chain-on*  $P\ D\ A$   
**shows** *chain-on*  $P\ C\ A$   
*<proof>*

**definition** *restrict-to* ::  $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ set \Rightarrow ('a \Rightarrow 'a \Rightarrow bool)$  **where**  
 $restrict\text{-}to\ P\ A = (\lambda x\ y. x \in A \wedge y \in A \wedge P\ x\ y)$

**definition** *reflp-on* ::  $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ set \Rightarrow bool$  **where**  
 $reflp\text{-}on\ P\ A \longleftrightarrow (\forall a \in A. P\ a\ a)$

**definition** *transp-on* ::  $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ set \Rightarrow bool$  **where**  
 $transp\text{-}on\ P\ A \longleftrightarrow (\forall x \in A. \forall y \in A. \forall z \in A. P\ x\ y \wedge P\ y\ z \longrightarrow P\ x\ z)$

**definition** *total-on* ::  $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ set \Rightarrow bool$  **where**  
 $total\text{-}on\ P\ A \longleftrightarrow (\forall x \in A. \forall y \in A. x = y \vee P\ x\ y \vee P\ y\ x)$

**abbreviation** *strict*  $P \equiv \lambda x\ y. P\ x\ y \wedge \neg (P\ y\ x)$

**abbreviation** *incomparable*  $P \equiv \lambda x\ y. \neg P\ x\ y \wedge \neg P\ y\ x$

**abbreviation** *antichain-on*  $P\ f\ A \equiv \forall (i::nat)\ j. f\ i \in A \wedge (i < j \longrightarrow incomparable\ P\ (f\ i)\ (f\ j))$

**lemma** *strict-reflclp-conv* [*simp*]:  
 $strict\ (P==) = strict\ P$  *<proof>*

**lemma** *reflp-onI* [*Pure.intro*]:  
 $(\bigwedge a. a \in A \implies P\ a\ a) \implies reflp\text{-}on\ P\ A$   
*<proof>*

**lemma** *transp-onI* [*Pure.intro*]:  
 $(\bigwedge x\ y\ z. \llbracket x \in A; y \in A; z \in A; P\ x\ y; P\ y\ z \rrbracket \implies P\ x\ z) \implies transp\text{-}on\ P\ A$   
*<proof>*

**lemma** *total-onI* [*Pure.intro*]:  
 $(\bigwedge x\ y. \llbracket x \in A; y \in A \rrbracket \implies x = y \vee P\ x\ y \vee P\ y\ x) \implies total\text{-}on\ P\ A$   
*<proof>*

**lemma** *reflp-on-reflclp-simp* [*simp*]:  
**assumes** *reflp-on*  $P\ A$  **and**  $a \in A$  **and**  $b \in A$   
**shows**  $P==\ a\ b = P\ a\ b$   
*<proof>*

**lemma** *reflp-on-reflclp*:

*reflp-on* ( $P^{==}$ )  $A$   
*<proof>*

**lemma** *reflp-on-converse-simp* [*simp*]:

*reflp-on*  $P^{-1-1}$   $A \longleftrightarrow$  *reflp-on*  $P$   $A$   
*<proof>*

**lemma** *transp-on-converse*:

*transp-on*  $P$   $A \implies$  *transp-on*  $P^{-1-1}$   $A$   
*<proof>*

**lemma** *transp-on-converse-simp* [*simp*]:

*transp-on*  $P^{-1-1}$   $A \longleftrightarrow$  *transp-on*  $P$   $A$   
*<proof>*

**lemma** *transp-on-reflclp*:

*transp-on*  $P$   $A \implies$  *transp-on*  $P^{==}$   $A$   
*<proof>*

**lemma** *transp-on-strict*:

*transp-on*  $P$   $A \implies$  *transp-on* (*strict*  $P$ )  $A$   
*<proof>*

**lemma** *reflp-on-subset*:

$A \subseteq B \implies$  *reflp-on*  $P$   $B \implies$  *reflp-on*  $P$   $A$   
*<proof>*

**lemma** *transp-on-subset*:

$A \subseteq B \implies$  *transp-on*  $P$   $B \implies$  *transp-on*  $P$   $A$   
*<proof>*

**definition** *wfp-on* :: ( $'a \Rightarrow 'a \Rightarrow \text{bool}$ )  $\Rightarrow 'a \text{ set} \Rightarrow \text{bool}$

**where**

*wfp-on*  $P$   $A \longleftrightarrow \neg (\exists f. \forall i. f i \in A \wedge P (f (Suc i)) (f i))$

**definition** *inductive-on* :: ( $'a \Rightarrow 'a \Rightarrow \text{bool}$ )  $\Rightarrow 'a \text{ set} \Rightarrow \text{bool}$  **where**

*inductive-on*  $P$   $A \longleftrightarrow (\forall Q. (\forall y \in A. (\forall x \in A. P x y \longrightarrow Q x) \longrightarrow Q y) \longrightarrow (\forall x \in A. Q x))$

**lemma** *inductive-onI* [*Pure.intro*]:

**assumes**  $\bigwedge Q x. \llbracket x \in A; (\bigwedge y. \llbracket y \in A; \bigwedge x. \llbracket x \in A; P x y \rrbracket \implies Q x \rrbracket \implies Q y \rrbracket$   
 $\implies Q x$

**shows** *inductive-on*  $P$   $A$

*<proof>*

If  $P$  is well-founded on  $A$  then every non-empty subset  $Q$  of  $A$  has a minimal element  $z$  w.r.t.  $P$ , i.e., all elements that are  $P$ -smaller than  $z$  are not in  $Q$ .

**lemma** *wfp-on-imp-minimal*:

**assumes** *wfp-on*  $P A$

**shows**  $\forall Q x. x \in Q \wedge Q \subseteq A \longrightarrow (\exists z \in Q. \forall y. P y z \longrightarrow y \notin Q)$

*<proof>*

**lemma** *minimal-imp-inductive-on*:

**assumes**  $\forall Q x. x \in Q \wedge Q \subseteq A \longrightarrow (\exists z \in Q. \forall y. P y z \longrightarrow y \notin Q)$

**shows** *inductive-on*  $P A$

*<proof>*

**lemmas** *wfp-on-imp-inductive-on* =

*wfp-on-imp-minimal* [*THEN* *minimal-imp-inductive-on*]

**lemma** *inductive-on-induct* [*consumes 2, case-names less, induct pred: inductive-on*]:

**assumes** *inductive-on*  $P A$  **and**  $x \in A$

**and**  $\bigwedge y. \llbracket y \in A; \bigwedge x. \llbracket x \in A; P x y \rrbracket \Longrightarrow Q x \rrbracket \Longrightarrow Q y$

**shows**  $Q x$

*<proof>*

**lemma** *inductive-on-imp-wfp-on*:

**assumes** *inductive-on*  $P A$

**shows** *wfp-on*  $P A$

*<proof>*

**definition** *antisymp-on* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$  **where**

*antisymp-on*  $P A \longleftrightarrow (\forall a \in A. \forall b \in A. P a b \wedge P b a \longrightarrow a = b)$

**lemma** *antisymp-onI* [*Pure.intro*]:

$(\bigwedge a b. \llbracket a \in A; b \in A; P a b; P b a \rrbracket \Longrightarrow a = b) \Longrightarrow \text{antisymp-on } P A$

*<proof>*

**lemma** *antisymp-on-reflclp* [*simp*]:

*antisymp-on*  $P \stackrel{==}{=} A = \text{antisymp-on } P A$

*<proof>*

**definition** *go-on* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$  **where**

*go-on*  $P A \longleftrightarrow \text{reflp-on } P A \wedge \text{transp-on } P A$

**definition** *irreflp-on* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$  **where**

*irreflp-on*  $P A \longleftrightarrow (\forall a \in A. \neg P a a)$

**definition** *po-on* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$  **where**

*po-on*  $P A \longleftrightarrow (\text{irreflp-on } P A \wedge \text{transp-on } P A)$

**lemma** *po-onI* [*Pure.intro*]:

$\llbracket \text{irreflp-on } P A; \text{transp-on } P A \rrbracket \Longrightarrow \text{po-on } P A$

*<proof>*

**lemma** *irreflp-onI* [*Pure.intro*]:

$(\bigwedge a. a \in A \implies \neg P a a) \implies \text{irreflp-on } P A$   
 $\langle \text{proof} \rangle$

**lemma** *irreflp-on-converse*:  
 $\text{irreflp-on } P A \implies \text{irreflp-on } P^{-1-1} A$   
 $\langle \text{proof} \rangle$

**lemma** *irreflp-on-converse-simp* [simp]:  
 $\text{irreflp-on } P^{-1-1} A \longleftrightarrow \text{irreflp-on } P A$   
 $\langle \text{proof} \rangle$

**lemma** *po-on-converse-simp* [simp]:  
 $\text{po-on } P^{-1-1} A \longleftrightarrow \text{po-on } P A$   
 $\langle \text{proof} \rangle$

**lemma** *po-on-imp-qp-on*:  
 $\text{po-on } P A \implies \text{qp-on } (P==) A$   
 $\langle \text{proof} \rangle$

**lemma** *po-on-imp-irreflp-on*:  
 $\text{po-on } P A \implies \text{irreflp-on } P A$   
 $\langle \text{proof} \rangle$

**lemma** *po-on-imp-transp-on*:  
 $\text{po-on } P A \implies \text{transp-on } P A$   
 $\langle \text{proof} \rangle$

**lemma** *irreflp-on-subset*:  
**assumes**  $A \subseteq B$  **and**  $\text{irreflp-on } P B$   
**shows**  $\text{irreflp-on } P A$   
 $\langle \text{proof} \rangle$

**lemma** *po-on-subset*:  
**assumes**  $A \subseteq B$  **and**  $\text{po-on } P B$   
**shows**  $\text{po-on } P A$   
 $\langle \text{proof} \rangle$

**lemma** *transp-on-irreflp-on-imp-antisym-on*:  
**assumes**  $\text{transp-on } P A$  **and**  $\text{irreflp-on } P A$   
**shows**  $\text{antisym-on } (P==) A$   
 $\langle \text{proof} \rangle$

**lemma** *po-on-imp-antisym-on*:  
**assumes**  $\text{po-on } P A$   
**shows**  $\text{antisym-on } P A$   
 $\langle \text{proof} \rangle$

**lemma** *strict-reflclp* [simp]:  
**assumes**  $x \in A$  **and**  $y \in A$

**and** *transp-on*  $P A$  **and** *irreflp-on*  $P A$   
**shows** *strict*  $(P^{==}) x y = P x y$   
 $\langle proof \rangle$

**lemma** *qo-on-imp-reflp-on*:  
*qo-on*  $P A \implies$  *reflp-on*  $P A$   
 $\langle proof \rangle$

**lemma** *qo-on-imp-transp-on*:  
*qo-on*  $P A \implies$  *transp-on*  $P A$   
 $\langle proof \rangle$

**lemma** *qo-on-subset*:  
 $A \subseteq B \implies$  *qo-on*  $P B \implies$  *qo-on*  $P A$   
 $\langle proof \rangle$

Quasi-orders are instances of the *preorder* class.

**lemma** *qo-on-UNIV-conv*:  
*qo-on*  $P UNIV \longleftrightarrow$  *class.preorder*  $P$  (*strict*  $P$ ) (**is** *?lhs = ?rhs*)  
 $\langle proof \rangle$

**lemma** *wfp-on-iff-inductive-on*:  
*wfp-on*  $P A \longleftrightarrow$  *inductive-on*  $P A$   
 $\langle proof \rangle$

**lemma** *wfp-on-iff-minimal*:  
*wfp-on*  $P A \longleftrightarrow$   $(\forall Q x.$   
 $x \in Q \wedge Q \subseteq A \longrightarrow$   
 $(\exists z \in Q. \forall y. P y z \longrightarrow y \notin Q))$   
 $\langle proof \rangle$

Every non-empty well-founded set  $A$  has a minimal element, i.e., an element that is not greater than any other element.

**lemma** *wfp-on-imp-has-min-elt*:  
**assumes** *wfp-on*  $P A$  **and**  $A \neq \{\}$   
**shows**  $\exists x \in A. \forall y \in A. \neg P y x$   
 $\langle proof \rangle$

**lemma** *wfp-on-induct* [*consumes 2, case-names less, induct pred: wfp-on*]:  
**assumes** *wfp-on*  $P A$  **and**  $x \in A$   
**and**  $\bigwedge y. [y \in A; \bigwedge x. [x \in A; P x y] \implies Q x] \implies Q y$   
**shows**  $Q x$   
 $\langle proof \rangle$

**lemma** *wfp-on-UNIV* [*simp*]:  
*wfp-on*  $P UNIV \longleftrightarrow$  *wfP*  $P$   
 $\langle proof \rangle$

## 1.1 Measures on Sets (Instead of Full Types)

**definition**

*inv-image-betw* ::

$(\text{'b} \Rightarrow \text{'b} \Rightarrow \text{bool}) \Rightarrow (\text{'a} \Rightarrow \text{'b}) \Rightarrow \text{'a set} \Rightarrow \text{'b set} \Rightarrow (\text{'a} \Rightarrow \text{'a} \Rightarrow \text{bool})$

**where**

$\text{inv-image-betw } P f A B = (\lambda x y. x \in A \wedge y \in A \wedge f x \in B \wedge f y \in B \wedge P (f x) (f y))$

**definition**

*measure-on* ::  $(\text{'a} \Rightarrow \text{nat}) \Rightarrow \text{'a set} \Rightarrow \text{'a} \Rightarrow \text{'a} \Rightarrow \text{bool}$

**where**

$\text{measure-on } f A = \text{inv-image-betw } (<) f A \text{ UNIV}$

**lemma** *in-inv-image-betw* [*simp*]:

$\text{inv-image-betw } P f A B x y \longleftrightarrow x \in A \wedge y \in A \wedge f x \in B \wedge f y \in B \wedge P (f x) (f y)$   
{*proof*}

**lemma** *in-measure-on* [*simp*, *code-unfold*]:

$\text{measure-on } f A x y \longleftrightarrow x \in A \wedge y \in A \wedge f x < f y$   
{*proof*}

**lemma** *wfp-on-inv-image-betw* [*simp*, *intro!*]:

**assumes** *wfp-on*  $P B$

**shows** *wfp-on*  $(\text{inv-image-betw } P f A B) A$  (**is** *wfp-on*  $?P A$ )  
{*proof*}

**lemma** *wfp-less*:

*wfp-on*  $(<) (UNIV :: \text{nat set})$   
{*proof*}

**lemma** *wfp-on-measure-on* [*iff*]:

*wfp-on*  $(\text{measure-on } f A) A$   
{*proof*}

**lemma** *wfp-on-mono*:

$A \subseteq B \Longrightarrow (\bigwedge x y. x \in A \Longrightarrow y \in A \Longrightarrow P x y \Longrightarrow Q x y) \Longrightarrow \text{wfp-on } Q B \Longrightarrow \text{wfp-on } P A$   
{*proof*}

**lemma** *wfp-on-subset*:

$A \subseteq B \Longrightarrow \text{wfp-on } P B \Longrightarrow \text{wfp-on } P A$   
{*proof*}

**lemma** *restrict-to-iff* [*iff*]:

$\text{restrict-to } P A x y \longleftrightarrow x \in A \wedge y \in A \wedge P x y$   
{*proof*}

**lemma** *wfp-on-restrict-to* [*simp*]:

*wfp-on* (*restrict-to*  $P A$ )  $A = \text{wfp-on } P A$   
*<proof>*

**lemma** *irreflp-on-strict* [*simp*, *intro*]:  
*irreflp-on* (*strict*  $P$ )  $A$   
*<proof>*

**lemma** *transp-on-map'*:  
**assumes** *transp-on*  $Q B$   
**and**  $g ' A \subseteq B$   
**and**  $h ' A \subseteq B$   
**and**  $\bigwedge x. x \in A \implies Q == (h x) (g x)$   
**shows** *transp-on* ( $\lambda x y. Q (g x) (h y)$ )  $A$   
*<proof>*

**lemma** *transp-on-map*:  
**assumes** *transp-on*  $Q B$   
**and**  $h ' A \subseteq B$   
**shows** *transp-on* ( $\lambda x y. Q (h x) (h y)$ )  $A$   
*<proof>*

**lemma** *irreflp-on-map*:  
**assumes** *irreflp-on*  $Q B$   
**and**  $h ' A \subseteq B$   
**shows** *irreflp-on* ( $\lambda x y. Q (h x) (h y)$ )  $A$   
*<proof>*

**lemma** *po-on-map*:  
**assumes** *po-on*  $Q B$   
**and**  $h ' A \subseteq B$   
**shows** *po-on* ( $\lambda x y. Q (h x) (h y)$ )  $A$   
*<proof>*

**lemma** *chain-transp-on-less*:  
**assumes**  $\forall i. f i \in A \wedge P (f i) (f (Suc i))$  **and** *transp-on*  $P A$  **and**  $i < j$   
**shows**  $P (f i) (f j)$   
*<proof>*

**lemma** *wfp-on-imp-irreflp-on*:  
**assumes** *wfp-on*  $P A$   
**shows** *irreflp-on*  $P A$   
*<proof>*

**inductive**  
*accessible-on* :: ( $'a \Rightarrow 'a \Rightarrow \text{bool}$ )  $\Rightarrow 'a \text{ set} \Rightarrow 'a \Rightarrow \text{bool}$   
**for**  $P$  **and**  $A$

**where**  
*accessible-onI* [*Pure.intro*]:  
 $\llbracket x \in A; \bigwedge y. \llbracket y \in A; P y x \rrbracket \implies \text{accessible-on } P A y \rrbracket \implies \text{accessible-on } P A x$

**lemma** *accessible-on-imp-mem*:

**assumes** *accessible-on P A a*

**shows**  $a \in A$

*<proof>*

**lemma** *accessible-on-induct* [*consumes 1, induct pred: accessible-on*]:

**assumes** \*: *accessible-on P A a*

**and** *IH*:  $\bigwedge x. \llbracket \text{accessible-on } P \ A \ x; \bigwedge y. \llbracket y \in A; P \ y \ x \rrbracket \implies Q \ y \rrbracket \implies Q \ x$

**shows**  $Q \ a$

*<proof>*

**lemma** *accessible-on-downward*:

*accessible-on P A b*  $\implies a \in A \implies P \ a \ b \implies \text{accessible-on } P \ A \ a$

*<proof>*

**lemma** *accessible-on-restrict-to-downwards*:

**assumes**  $(\text{restrict-to } P \ A)^{++} \ a \ b$  **and** *accessible-on P A b*

**shows** *accessible-on P A a*

*<proof>*

**lemma** *accessible-on-imp-inductive-on*:

**assumes**  $\forall x \in A. \text{accessible-on } P \ A \ x$

**shows** *inductive-on P A*

*<proof>*

**lemmas** *accessible-on-imp-wfp-on = accessible-on-imp-inductive-on* [*THEN inductive-on-imp-wfp-on*]

**lemma** *wfp-on-tranclp-imp-wfp-on*:

**assumes** *wfp-on (P<sup>++</sup>) A*

**shows** *wfp-on P A*

*<proof>*

**lemma** *inductive-on-imp-accessible-on*:

**assumes** *inductive-on P A*

**shows**  $\forall x \in A. \text{accessible-on } P \ A \ x$

*<proof>*

**lemma** *inductive-on-accessible-on-conv*:

*inductive-on P A*  $\longleftrightarrow (\forall x \in A. \text{accessible-on } P \ A \ x)$

*<proof>*

**lemmas** *wfp-on-imp-accessible-on =*

*wfp-on-imp-inductive-on* [*THEN inductive-on-imp-accessible-on*]

**lemma** *wfp-on-accessible-on-iff*:

*wfp-on P A*  $\longleftrightarrow (\forall x \in A. \text{accessible-on } P \ A \ x)$

*<proof>*

**lemma** *accessible-on-tranclp*:  
**assumes** *accessible-on P A x*  
**shows** *accessible-on ((restrict-to P A)<sup>++</sup>) A x*  
*(is accessible-on ?P A x)*  
 $\langle$ *proof* $\rangle$

**lemma** *wfp-on-restrict-to-tranclp*:  
**assumes** *wfp-on P A*  
**shows** *wfp-on ((restrict-to P A)<sup>++</sup>) A*  
 $\langle$ *proof* $\rangle$

**lemma** *wfp-on-restrict-to-tranclp'*:  
**assumes** *wfp-on (restrict-to P A)<sup>++</sup> A*  
**shows** *wfp-on P A*  
 $\langle$ *proof* $\rangle$

**lemma** *wfp-on-restrict-to-tranclp-wfp-on-conv*:  
*wfp-on (restrict-to P A)<sup>++</sup> A  $\longleftrightarrow$  wfp-on P A*  
 $\langle$ *proof* $\rangle$

**lemma** *tranclp-idemp [simp]*:  
*(P<sup>++</sup>)<sup>++</sup> = P<sup>++</sup> (is ?l = ?r)*  
 $\langle$ *proof* $\rangle$

**lemma** *stepfun-imp-tranclp*:  
**assumes** *f 0 = x and f (Suc n) = z*  
**and**  $\forall i \leq n. P (f i) (f (Suc i))$   
**shows** *P<sup>++</sup> x z*  
 $\langle$ *proof* $\rangle$

**lemma** *tranclp-imp-stepfun*:  
**assumes** *P<sup>++</sup> x z*  
**shows**  $\exists f n. f 0 = x \wedge f (Suc n) = z \wedge (\forall i \leq n. P (f i) (f (Suc i)))$   
*(is  $\exists f n. ?P x z f n$ )*  
 $\langle$ *proof* $\rangle$

**lemma** *tranclp-stepfun-conv*:  
*P<sup>++</sup> x y  $\longleftrightarrow$  ( $\exists f n. f 0 = x \wedge f (Suc n) = y \wedge (\forall i \leq n. P (f i) (f (Suc i)))$ )*  
 $\langle$ *proof* $\rangle$

## 1.2 Facts About Predecessor Sets

**lemma** *go-on-predecessor-subset-conv'*:  
**assumes** *go-on P A and B  $\subseteq$  A and C  $\subseteq$  A*  
**shows**  $\{x \in A. \exists y \in B. P x y\} \subseteq \{x \in A. \exists y \in C. P x y\} \longleftrightarrow (\forall x \in B. \exists y \in C. P x y)$   
 $\langle$ *proof* $\rangle$

**lemma** *qo-on-predecessor-subset-conv*:

$\llbracket \text{qo-on } P \ A; \ x \in A; \ y \in A \rrbracket \implies \{z \in A. P \ z \ x\} \subseteq \{z \in A. P \ z \ y\} \longleftrightarrow P \ x \ y$   
*<proof>*

**lemma** *po-on-predecessors-eq-conv*:

**assumes** *po-on*  $P \ A$  **and**  $x \in A$  **and**  $y \in A$   
**shows**  $\{z \in A. P^{==} \ z \ x\} = \{z \in A. P^{==} \ z \ y\} \longleftrightarrow x = y$   
*<proof>*

**lemma** *restrict-to-rtranclp*:

**assumes** *transp-on*  $P \ A$   
**and**  $x \in A$  **and**  $y \in A$   
**shows**  $(\text{restrict-to } P \ A)^{**} \ x \ y \longleftrightarrow P^{==} \ x \ y$   
*<proof>*

**lemma** *reflp-on-restrict-to-rtranclp*:

**assumes** *reflp-on*  $P \ A$  **and** *transp-on*  $P \ A$   
**and**  $x \in A$  **and**  $y \in A$   
**shows**  $(\text{restrict-to } P \ A)^{**} \ x \ y \longleftrightarrow P \ x \ y$   
*<proof>*

end

## 2 Open Induction

**theory** *Open-Induction*

**imports** *Restricted-Predicates*

**begin**

### 2.1 (Greatest) Lower Bounds and Chains

A set  $B$  has the *lower bound*  $x$  iff  $x$  is less than or equal to every element of  $B$ .

**definition**  $lb \ P \ B \ x \longleftrightarrow (\forall y \in B. P^{==} \ x \ y)$

**lemma** *lbI* [*Pure.intro*]:

$(\bigwedge y. y \in B \implies P^{==} \ x \ y) \implies lb \ P \ B \ x$   
*<proof>*

A set  $B$  has the *greatest lower bound*  $x$  iff  $x$  is a lower bound of  $B$  and less than or equal to every other lower bound of  $B$ .

**definition**  $glb \ P \ B \ x \longleftrightarrow lb \ P \ B \ x \wedge (\forall y. lb \ P \ B \ y \longrightarrow P^{==} \ y \ x)$

**lemma** *glbI* [*Pure.intro*]:

$lb \ P \ B \ x \implies (\bigwedge y. lb \ P \ B \ y \implies P^{==} \ y \ x) \implies glb \ P \ B \ x$   
*<proof>*

Antisymmetric relations have unique glbs.

**lemma** *glb-unique*:

*antisymp-on*  $P A \implies x \in A \implies y \in A \implies \text{glb } P B x \implies \text{glb } P B y \implies x = y$   
*<proof>*

**context** *pred-on*

**begin**

**lemma** *chain-glb*:

**assumes** *transp-on*  $(\sqsubset) A$   
**shows**  $\text{chain } C \implies \text{glb } (\sqsubset) C x \implies x \in A \implies y \in A \implies y \sqsubset x \implies \text{chain } (\{y\} \cup C)$   
*<proof>*

## 2.2 Open Properties

**definition** *open*  $Q \iff (\forall C. \text{chain } C \wedge C \neq \{\} \wedge (\exists x \in A. \text{glb } (\sqsubset) C x \wedge Q x) \longrightarrow (\exists y \in C. Q y))$

**lemma** *openI* [*Pure.intro*]:

$(\bigwedge C. \text{chain } C \implies C \neq \{\} \implies \exists x \in A. \text{glb } (\sqsubset) C x \wedge Q x \implies \exists y \in C. Q y) \implies \text{open } Q$   
*<proof>*

**lemma** *open-glb*:

$\llbracket \text{chain } C; C \neq \{\}; \text{open } Q; \forall x \in C. \neg Q x; x \in A; \text{glb } (\sqsubset) C x \rrbracket \implies \neg Q x$   
*<proof>*

## 2.3 Downward Completeness

A relation  $\sqsubset$  is *downward-complete* iff every non-empty  $\sqsubset$ -chain has a greatest lower bound.

**definition** *downward-complete*  $\iff (\forall C. \text{chain } C \wedge C \neq \{\} \longrightarrow (\exists x \in A. \text{glb } (\sqsubset) C x))$

**lemma** *downward-completeI* [*Pure.intro*]:

**assumes**  $\bigwedge C. \text{chain } C \implies C \neq \{\} \implies \exists x \in A. \text{glb } (\sqsubset) C x$   
**shows** *downward-complete*  
*<proof>*

**end**

**abbreviation** *open-on*  $P Q A \equiv \text{pred-on.open } A P Q$

**abbreviation** *dc-on*  $P A \equiv \text{pred-on.downward-complete } A P$

**lemmas** *open-on-def* = *pred-on.open-def*

**and** *dc-on-def* = *pred-on.downward-complete-def*

**lemma** *dc-onI* [*Pure.intro*]:

**assumes**  $\bigwedge C. \text{chain-on } P C A \implies C \neq \{\} \implies \exists x \in A. \text{glb } P C x$   
**shows** *dc-on*  $P A$

*<proof>*

**lemma** *open-onI* [*Pure.intro*]:

$(\bigwedge C. \text{chain-on } P \ C \ A \implies C \neq \{\} \implies \exists x \in A. \text{glb } P \ C \ x \wedge Q \ x \implies \exists y \in C. Q \ y) \implies \text{open-on } P \ Q \ A$

*<proof>*

**lemma** *chain-on-reflclp*:

$\text{chain-on } P^{==} \ A \ C \longleftrightarrow \text{chain-on } P \ A \ C$

*<proof>*

**lemma** *lb-reflclp*:

$\text{lb } P^{==} \ B \ x \longleftrightarrow \text{lb } P \ B \ x$

*<proof>*

**lemma** *glb-reflclp*:

$\text{glb } P^{==} \ B \ x \longleftrightarrow \text{glb } P \ B \ x$

*<proof>*

**lemma** *dc-on-reflclp*:

$\text{dc-on } P^{==} \ A \longleftrightarrow \text{dc-on } P \ A$

*<proof>*

## 2.4 The Open Induction Principle

**lemma** *open-induct-on* [*consumes 4, case-names less*]:

**assumes** *qo*: *qo-on* *P* *A* **and** *dc-on* *P* *A* **and** *open-on* *P* *Q* *A*

**and**  $x \in A$

**and** *ind*:  $\bigwedge x. [x \in A; \bigwedge y. [y \in A; \text{strict } P \ y \ x]] \implies Q \ y] \implies Q \ x$

**shows**  $Q \ x$

*<proof>*

## 2.5 Open Induction on Universal Domains

Open induction on quasi-orders (i.e., *preorder*).

**lemma** (**in** *preorder*) *dc-open-induct* [*consumes 2, case-names less*]:

**assumes** *dc-on*  $(\leq)$  *UNIV*

**and** *open-on*  $(\leq)$  *Q* *UNIV*

**and**  $\bigwedge x. (\bigwedge y. y < x \implies Q \ y) \implies Q \ x$

**shows**  $Q \ x$

*<proof>*

## 2.6 Type Class of Downward Complete Orders

**class** *dcorder* = *preorder* +

**assumes** *dc-on-UNIV*: *dc-on*  $(\leq)$  *UNIV*

**begin**

Open induction on downward-complete orders.

**lemmas** *open-induct* [*consumes 1, case-names less*] = *dc-open-induct* [*OF dc-on-UNIV*]

**end**

**end**

## References

- [1] J.-C. Raoult. Proving open properties by induction. *Information Processing Letters*, 29(1):19–23, 1988. doi:10.1016/0020-0190(88)90126-3.