

Open Induction

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Abstract

A proof of the open induction schema based on [1].

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1 Binary Predicates Restricted to Elements of a Given Set

```
theory Restricted-Predicates
imports Main
begin
```

A subset C of A is a *chain* on A (w.r.t. P) iff for all pairs of elements of C , one is less than or equal to the other one.

```
abbreviation chain-on P C A ≡ pred-on.chain A P C
lemmas chain-on-def = pred-on.chain-def
```

```
lemma chain-on-subset:
  A ⊆ B ⟹ chain-on P C A ⟹ chain-on P C B
```

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$\langle proof \rangle$

lemma *chain-on-imp-subset*:
 chain-on P C A $\implies C \subseteq A$
 $\langle proof \rangle$

lemma *subchain-on*:
 assumes $C \subseteq D$ **and** *chain-on P D A*
 shows *chain-on P C A*
 $\langle proof \rangle$

definition *restrict-to* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool})$ **where**
 restrict-to P A = $(\lambda x y. x \in A \wedge y \in A \wedge P x y)$

abbreviation *strict P* $\equiv \lambda x y. P x y \wedge \neg (P y x)$

abbreviation *incomparable P* $\equiv \lambda x y. \neg P x y \wedge \neg P y x$

abbreviation *antichain-on P f A* $\equiv \forall (i::\text{nat}) j. f i \in A \wedge (i < j \longrightarrow \text{incomparable } P (f i) (f j))$

lemma *strict-reflclp-conv* [*simp*]:
 strict (P⁼⁼) = *strict P* $\langle proof \rangle$

lemma *reflp-on-reflclp-simp* [*simp*]:
 assumes *reflp-on A P* **and** $a \in A$ **and** $b \in A$
 shows $P^{==} a b = P a b$
 $\langle proof \rangle$

lemmas *reflp-on-converse-simp* = *reflp-on-conversp*
lemmas *irreflp-on-converse-simp* = *irreflp-on-converse*
lemmas *transp-on-converse-simp* = *transp-on-conversep*

lemma *transp-on-strict*:
 transp-on A P $\implies \text{transp-on } A (\text{strict } P)$
 $\langle proof \rangle$

definition *wfp-on* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$
where
 wfp-on P A $\longleftrightarrow \neg (\exists f. \forall i. f i \in A \wedge P (f (\text{Suc } i)) (f i))$

definition *inductive-on* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**
 inductive-on P A $\longleftrightarrow (\forall Q. (\forall y \in A. (\forall x \in A. P x y \longrightarrow Q x) \longrightarrow Q y) \longrightarrow (\forall x \in A. Q x))$

lemma *inductive-onI* [*Pure.intro*]:
 assumes $\bigwedge Q x. \llbracket x \in A; (\bigwedge y. \llbracket y \in A; \bigwedge x. \llbracket x \in A; P x y \rrbracket \implies Q x \rrbracket \implies Q y) \rrbracket$
 $\implies Q x$
 shows *inductive-on P A*

$\langle proof \rangle$

If P is well-founded on A then every non-empty subset Q of A has a minimal element z w.r.t. P , i.e., all elements that are P -smaller than z are not in Q .

lemma *wfp-on-imp-minimal*:

assumes *wfp-on P A*

shows $\forall Q x. x \in Q \wedge Q \subseteq A \longrightarrow (\exists z \in Q. \forall y. P y z \longrightarrow y \notin Q)$

$\langle proof \rangle$

lemma *minimal-imp-inductive-on*:

assumes $\forall Q x. x \in Q \wedge Q \subseteq A \longrightarrow (\exists z \in Q. \forall y. P y z \longrightarrow y \notin Q)$

shows *inductive-on P A*

$\langle proof \rangle$

lemmas *wfp-on-imp-inductive-on* =

wfp-on-imp-minimal [*THEN minimal-imp-inductive-on*]

lemma *inductive-on-induct* [consumes 2, case-names less, induct pred: *inductive-on*]:

assumes *inductive-on P A* and $x \in A$

and $\bigwedge y. [\![y \in A; \bigwedge x. [\![x \in A; P x y]\!] \implies Q x]\!] \implies Q y$

shows $Q x$

$\langle proof \rangle$

lemma *inductive-on-imp-wfp-on*:

assumes *inductive-on P A*

shows *wfp-on P A*

$\langle proof \rangle$

definition *qo-on* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**

$\text{qo-on } P A \longleftrightarrow \text{reflp-on } A P \wedge \text{transp-on } A P$

definition *po-on* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**

$\text{po-on } P A \longleftrightarrow (\text{irreflp-on } A P \wedge \text{transp-on } A P)$

lemma *po-onI* [*Pure.intro*]:

$[\![\text{irreflp-on } A P; \text{transp-on } A P]\!] \implies \text{po-on } P A$

$\langle proof \rangle$

lemma *po-on-converse-simp* [*simp*]:

$\text{po-on } P^{-1-1} A \longleftrightarrow \text{po-on } P A$

$\langle proof \rangle$

lemma *po-on-imp-qo-on*:

$\text{po-on } P A \implies \text{qo-on } (P^{==}) A$

$\langle proof \rangle$

lemma *po-on-imp-irreflp-on*:

$\text{po-on } P A \implies \text{irreflp-on } A P$

$\langle proof \rangle$

```

lemma po-on-imp-transp-on:
  po-on P A  $\implies$  transp-on A P
  ⟨proof⟩

lemma po-on-subset:
  assumes A ⊆ B and po-on P B
  shows po-on P A
  ⟨proof⟩

lemma transp-on-irreflp-on-imp-antisymp-on:
  assumes transp-on A P and irreflp-on A P
  shows antisymp-on A (P $^{==}$ )
  ⟨proof⟩

lemma po-on-imp-antisymp-on:
  assumes po-on P A
  shows antisymp-on A P
  ⟨proof⟩

lemma strict-reflclp [simp]:
  assumes x ∈ A and y ∈ A
  and transp-on A P and irreflp-on A P
  shows strict (P $^{==}$ ) x y = P x y
  ⟨proof⟩

lemma qo-on-imp-reflp-on:
  qo-on P A  $\implies$  reflp-on A P
  ⟨proof⟩

lemma qo-on-imp-transp-on:
  qo-on P A  $\implies$  transp-on A P
  ⟨proof⟩

lemma qo-on-subset:
  A ⊆ B  $\implies$  qo-on P B  $\implies$  qo-on P A
  ⟨proof⟩

```

Quasi-orders are instances of the *preorder* class.

```

lemma qo-on-UNIV-conv:
  qo-on P UNIV  $\longleftrightarrow$  class.preorder P (strict P) (is ?lhs = ?rhs)
  ⟨proof⟩

lemma wfp-on-iff-inductive-on:
  wfp-on P A  $\longleftrightarrow$  inductive-on P A
  ⟨proof⟩

lemma wfp-on-iff-minimal:
  wfp-on P A  $\longleftrightarrow$  ( $\forall$  Q x.

```

$x \in Q \wedge Q \subseteq A \longrightarrow$
 $(\exists z \in Q. \forall y. P y z \longrightarrow y \notin Q))$
 $\langle proof \rangle$

Every non-empty well-founded set A has a minimal element, i.e., an element that is not greater than any other element.

lemma *wfp-on-imp-has-min-elt*:
assumes *wfp-on P A and A ≠ {}*
shows $\exists x \in A. \forall y \in A. \neg P y x$
 $\langle proof \rangle$

lemma *wfp-on-induct* [consumes 2, case-names less, induct pred: *wfp-on*]:
assumes *wfp-on P A and x ∈ A*
and $\bigwedge y. [\![y \in A; \bigwedge x. [\![x \in A; P x y]\!] \implies Q x]\!] \implies Q y$
shows $Q x$
 $\langle proof \rangle$

lemma *wfp-on-UNIV* [simp]:
wfp-on P UNIV ↔ wfP P
 $\langle proof \rangle$

1.1 Measures on Sets (Instead of Full Types)

definition

inv-image-betw ::
 $('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool})$

where

$\text{inv-image-betw } P f A B = (\lambda x y. x \in A \wedge y \in A \wedge f x \in B \wedge f y \in B \wedge P(f x)(f y))$

definition

measure-on :: $('a \Rightarrow \text{nat}) \Rightarrow 'a \text{ set} \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$

where

$\text{measure-on } f A = \text{inv-image-betw } (<) f A \text{ UNIV}$

lemma *in-inv-image-betw* [simp]:

$\text{inv-image-betw } P f A B x y \longleftrightarrow x \in A \wedge y \in A \wedge f x \in B \wedge f y \in B \wedge P(f x)(f y)$
 $\langle proof \rangle$

lemma *in-measure-on* [simp, code-unfold]:

$\text{measure-on } f A x y \longleftrightarrow x \in A \wedge y \in A \wedge f x < f y$
 $\langle proof \rangle$

lemma *wfp-on-inv-image-betw* [simp, intro!]:

assumes *wfp-on P B*
shows *wfp-on (inv-image-betw P f A B) A* (**is** *wfp-on ?P A*)
 $\langle proof \rangle$

lemma *wfp-less*:

wfp-on ($<$) (UNIV :: nat set)
 {proof}

lemma *wfp-on-measure-on* [iff]:

wfp-on (measure-on $f A$) A
 {proof}

lemma *wfp-on-mono*:

$A \subseteq B \Rightarrow (\forall x y. x \in A \Rightarrow y \in A \Rightarrow P x y \Rightarrow Q x y) \Rightarrow wfp\text{-}on Q B \Rightarrow$
 wfp-on $P A$
 {proof}

lemma *wfp-on-subset*:

$A \subseteq B \Rightarrow wfp\text{-}on P B \Rightarrow wfp\text{-}on P A$
 {proof}

lemma *restrict-to-iff* [iff]:

restrict-to $P A x y \longleftrightarrow x \in A \wedge y \in A \wedge P x y$
 {proof}

lemma *wfp-on-restrict-to* [simp]:

wfp-on (*restrict-to* $P A$) $A = wfp\text{-}on P A$
 {proof}

lemma *irreflp-on-strict* [simp, intro]:

irreflp-on A (*strict* P)
 {proof}

lemma *transp-on-map'*:

assumes *transp-on* $B Q$
 and $g : A \subseteq B$
 and $h : A \subseteq B$
 and $\lambda x. x \in A \Rightarrow Q(x) = (h x) (g x)$
 shows *transp-on* $A (\lambda x y. Q(g x) (h y))$
 {proof}

lemma *transp-on-map*:

assumes *transp-on* $B Q$
 and $h : A \subseteq B$
 shows *transp-on* $A (\lambda x y. Q(h x) (h y))$
 {proof}

lemma *irreflp-on-map*:

assumes *irreflp-on* $B Q$
 and $h : A \subseteq B$
 shows *irreflp-on* $A (\lambda x y. Q(h x) (h y))$
 {proof}

```

lemma po-on-map:
  assumes po-on Q B
  and h ` A ⊆ B
  shows po-on (λx y. Q (h x) (h y)) A
  ⟨proof⟩

lemma chain-transp-on-less:
  assumes ∀ i. f i ∈ A ∧ P (f i) (f (Suc i)) and transp-on A P and i < j
  shows P (f i) (f j)
  ⟨proof⟩

lemma wfp-on-imp-irreflp-on:
  assumes wfp-on P A
  shows irreflp-on A P
  ⟨proof⟩

inductive
  accessible-on :: ('a ⇒ 'a ⇒ bool) ⇒ 'a set ⇒ 'a ⇒ bool
  for P and A
where
  accessible-onI [Pure.intro]:
    [x ∈ A; ∀y. [y ∈ A; P y x] ⇒ accessible-on P A y] ⇒ accessible-on P A x

lemma accessible-on-imp-mem:
  assumes accessible-on P A a
  shows a ∈ A
  ⟨proof⟩

lemma accessible-on-induct [consumes 1, induct pred: accessible-on]:
  assumes *: accessible-on P A a
  and IH: ∀x. [accessible-on P A x; ∀y. [y ∈ A; P y x] ⇒ Q y] ⇒ Q x
  shows Q a
  ⟨proof⟩

lemma accessible-on-downward:
  accessible-on P A b ⇒ a ∈ A ⇒ P a b ⇒ accessible-on P A a
  ⟨proof⟩

lemma accessible-on-restrict-to-downwards:
  assumes (restrict-to P A)++ a b and accessible-on P A b
  shows accessible-on P A a
  ⟨proof⟩

lemma accessible-on-imp-inductive-on:
  assumes ∀x∈A. accessible-on P A x
  shows inductive-on P A
  ⟨proof⟩

lemmas accessible-on-imp-wfp-on = accessible-on-imp-inductive-on [THEN induc-

```

```

tive-on-imp-wfp-on]
```

lemma *wfp-on-tranclp-imp-wfp-on*:

assumes *wfp-on* (P^{++}) A

shows *wfp-on* $P A$

$\langle proof \rangle$

lemma *inductive-on-imp-accessible-on*:

assumes *inductive-on* $P A$

shows $\forall x \in A.$ *accessible-on* $P A x$

$\langle proof \rangle$

lemma *inductive-on-accessible-on-conv*:

inductive-on $P A \longleftrightarrow (\forall x \in A.$ *accessible-on* $P A x)$

$\langle proof \rangle$

lemmas *wfp-on-imp-accessible-on* =
wfp-on-imp-inductive-on [*THEN inductive-on-imp-accessible-on*]

lemma *wfp-on-accessible-on-iff*:

wfp-on $P A \longleftrightarrow (\forall x \in A.$ *accessible-on* $P A x)$

$\langle proof \rangle$

lemma *accessible-on-tranclp*:

assumes *accessible-on* $P A x$

shows *accessible-on* ((restrict-to $P A$) $^{++}$) $A x$

(is *accessible-on* ? $P A x$)

$\langle proof \rangle$

lemma *wfp-on-restrict-to-tranclp*:

assumes *wfp-on* $P A$

shows *wfp-on* ((restrict-to $P A$) $^{++}$) A

$\langle proof \rangle$

lemma *wfp-on-restrict-to-tranclp'*:

assumes *wfp-on* (restrict-to $P A$) $^{++}$ A

shows *wfp-on* $P A$

$\langle proof \rangle$

lemma *wfp-on-restrict-to-tranclp-wfp-on-conv*:

wfp-on (restrict-to $P A$) $^{++}$ $A \longleftrightarrow wfp-on P A$

$\langle proof \rangle$

lemma *tranclp-idemp [simp]*:

$(P^{++})^{++} = P^{++}$ (is ? $l = ?r$)

$\langle proof \rangle$

lemma *stepfun-imp-tranclp*:

assumes $f 0 = x$ **and** $f (\text{Suc } n) = z$
and $\forall i \leq n. P (f i) (f (\text{Suc } i))$
shows $P^{++} x z$
 $\langle proof \rangle$

lemma *tranclp-imp-stepfun*:
assumes $P^{++} x z$
shows $\exists f n. f 0 = x \wedge f (\text{Suc } n) = z \wedge (\forall i \leq n. P (f i) (f (\text{Suc } i)))$
(is $\exists f n. ?P x z f n$)
 $\langle proof \rangle$

lemma *tranclp-stepfun-conv*:
 $P^{++} x y \longleftrightarrow (\exists f n. f 0 = x \wedge f (\text{Suc } n) = y \wedge (\forall i \leq n. P (f i) (f (\text{Suc } i))))$
 $\langle proof \rangle$

1.2 Facts About Predecessor Sets

lemma *qo-on-predecessor-subset-conv'*:
assumes *qo-on P A and B ⊆ A and C ⊆ A*
shows $\{x \in A. \exists y \in B. P x y\} \subseteq \{x \in A. \exists y \in C. P x y\} \longleftrightarrow (\forall x \in B. \exists y \in C. P x y)$
 $\langle proof \rangle$

lemma *qo-on-predecessor-subset-conv*:
 $[\![\text{qo-on } P A; x \in A; y \in A]\!] \implies \{z \in A. P z x\} \subseteq \{z \in A. P z y\} \longleftrightarrow P x y$
 $\langle proof \rangle$

lemma *po-on-predecessors-eq-conv*:
assumes *po-on P A and x ∈ A and y ∈ A*
shows $\{z \in A. P^{==} z x\} = \{z \in A. P^{==} z y\} \longleftrightarrow x = y$
 $\langle proof \rangle$

lemma *restrict-to-rtranclp*:
assumes *transp-on A P*
and $x \in A$ **and** $y \in A$
shows $(\text{restrict-to } P A)^{**} x y \longleftrightarrow P^{==} x y$
 $\langle proof \rangle$

lemma *reflp-on-restrict-to-rtranclp*:
assumes *reflp-on A P and transp-on A P*
and $x \in A$ **and** $y \in A$
shows $(\text{restrict-to } P A)^{**} x y \longleftrightarrow P x y$
 $\langle proof \rangle$

end

2 Open Induction

theory *Open-Induction*
imports *Restricted-Predicates*

begin

2.1 (Greatest) Lower Bounds and Chains

A set B has the *lower bound* x iff x is less than or equal to every element of B .

definition $lb\ P\ B\ x \longleftrightarrow (\forall y \in B. P^{==} x\ y)$

lemma lbI [Pure.intro]:

$(\bigwedge y. y \in B \implies P^{==} x\ y) \implies lb\ P\ B\ x$
 $\langle proof \rangle$

A set B has the *greatest lower bound* x iff x is a lower bound of B and less than or equal to every other lower bound of B .

definition $glb\ P\ B\ x \longleftrightarrow lb\ P\ B\ x \wedge (\forall y. lb\ P\ B\ y \longrightarrow P^{==} y\ x)$

lemma $glbI$ [Pure.intro]:

$lb\ P\ B\ x \implies (\bigwedge y. lb\ P\ B\ y \implies P^{==} y\ x) \implies glb\ P\ B\ x$
 $\langle proof \rangle$

Antisymmetric relations have unique glbs.

lemma $glb\text{-unique}$:

$\text{antisymp-on } A\ P \implies x \in A \implies y \in A \implies glb\ P\ B\ x \implies glb\ P\ B\ y \implies x = y$
 $\langle proof \rangle$

context pred-on

begin

lemma chain-glb :

assumes $\text{transp-on } A\ (\sqsubset)$
shows $\text{chain } C \implies glb\ (\sqsubset)\ C\ x \implies x \in A \implies y \in A \implies y \sqsubset x \implies \text{chain } (\{y\} \cup C)$
 $\langle proof \rangle$

2.2 Open Properties

definition $open\ Q \longleftrightarrow (\forall C. \text{chain } C \wedge C \neq \{\} \wedge (\exists x \in A. glb\ (\sqsubset)\ C\ x \wedge Q\ x) \longrightarrow (\exists y \in C. Q\ y))$

lemma $openI$ [Pure.intro]:

$(\bigwedge C. \text{chain } C \implies C \neq \{\}) \implies \exists x \in A. glb\ (\sqsubset)\ C\ x \wedge Q\ x \implies \exists y \in C. Q\ y \implies open\ Q$
 $\langle proof \rangle$

lemma $open\text{-glb}$:

$[\text{chain } C; C \neq \{\}; open\ Q; \forall x \in C. \neg Q\ x; x \in A; glb\ (\sqsubset)\ C\ x] \implies \neg Q\ x$
 $\langle proof \rangle$

2.3 Downward Completeness

A relation \sqsubset is *downward-complete* iff every non-empty \sqsubset -chain has a greatest lower bound.

definition *downward-complete* $\longleftrightarrow (\forall C. \text{chain } C \wedge C \neq \{\} \longrightarrow (\exists x \in A. \text{glb } (\sqsubset) C x))$

lemma *downward-completeI* [Pure.intro]:
assumes $\bigwedge C. \text{chain } C \implies C \neq \{\} \implies \exists x \in A. \text{glb } (\sqsubset) C x$
shows *downward-complete*
{proof}

end

abbreviation *open-on* $P Q A \equiv \text{pred-on.open } A P Q$
abbreviation *dc-on* $P A \equiv \text{pred-on.downward-complete } A P$
lemmas *open-on-def* = *pred-on.open-def*
and *dc-on-def* = *pred-on.downward-complete-def*

lemma *dc-onI* [Pure.intro]:
assumes $\bigwedge C. \text{chain-on } P C A \implies C \neq \{\} \implies \exists x \in A. \text{glb } P C x$
shows *dc-on* $P A$
{proof}

lemma *open-onI* [Pure.intro]:
 $(\bigwedge C. \text{chain-on } P C A \implies C \neq \{\} \implies \exists x \in A. \text{glb } P C x \wedge Q x \implies \exists y \in C. Q y) \implies \text{open-on } P Q A$
{proof}

lemma *chain-on-reflclp*:
 $\text{chain-on } P == A C \longleftrightarrow \text{chain-on } P A C$
{proof}

lemma *lb-reflclp*:
 $\text{lb } P == B x \longleftrightarrow \text{lb } P B x$
{proof}

lemma *glb-reflclp*:
 $\text{glb } P == B x \longleftrightarrow \text{glb } P B x$
{proof}

lemma *dc-on-reflclp*:
 $\text{dc-on } P == A \longleftrightarrow \text{dc-on } P A$
{proof}

2.4 The Open Induction Principle

lemma *open-induct-on* [*consumes 4, case-names less*]:
assumes *qo*: *qo-on* $P A$ **and** *dc-on* $P A$ **and** *open-on* $P Q A$

```

and  $x \in A$ 
and  $\text{ind}: \bigwedge x. [\![x \in A; \bigwedge y. [\![y \in A; \text{strict } P y x]\!] \implies Q y]\!] \implies Q x$ 
shows  $Q x$ 
⟨proof⟩

```

2.5 Open Induction on Universal Domains

Open induction on quasi-orders (i.e., *preorder*).

```

lemma (in preorder)  $\text{dc-open-induct}$  [consumes 2, case-names less]:
  assumes  $\text{dc-on } (\leq) \text{ UNIV}$ 
  and  $\text{open-on } (\leq) \text{ Q UNIV}$ 
  and  $\bigwedge x. (\bigwedge y. y < x \implies Q y) \implies Q x$ 
  shows  $Q x$ 
⟨proof⟩

```

2.6 Type Class of Downward Complete Orders

```

class  $\text{dcorder} = \text{preorder} +$ 
  assumes  $\text{dc-on-UNIV: dc-on } (\leq) \text{ UNIV}$ 
begin

```

Open induction on downward-complete orders.

```
lemmas  $\text{open-induct}$  [consumes 1, case-names less] =  $\text{dc-open-induct}$  [OF dc-on-UNIV]
```

```
end
```

```
end
```

References

- [1] J.-C. Raoult. Proving open properties by induction. *Information Processing Letters*, 29(1):19–23, 1988. doi:[10.1016/0020-0190\(88\)90126-3](https://doi.org/10.1016/0020-0190(88)90126-3).