

No Faster-Than-Light Observers (GenRel)

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Abstract

We have previously verified, in the first order theory `SpecRel` of Special Relativity, that inertial observers cannot travel faster than light [1, 2]. We now prove the corresponding result for `GenRel`, the first-order theory of General Relativity. Specifically, we prove that whenever an observer m encounters another observer k (so that m and k are both present at some spacetime location x), k will necessarily be observed by m to be traveling at less than light speed.

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1 Sorts

GenRel is a 2-sorted first-order logic. This theory introduces the two sorts and proves a number of basic arithmetical results. The two sorts are Bodies (things that can move) and Quantities (used to specify coordinates, masses, etc).

```
theory Sorts
  imports Main
begin
```

1.1 Bodies

There are two types of Body: photons and observers. We do not assume a priori that these sorts are disjoint.

```
record Body =
  Ph :: bool
  Ob :: bool
```

1.2 Quantities

The quantities are assumed to form a linearly ordered field. We may sometimes need to assume that the field is also Euclidean, i.e. that square roots exist, but this is not a general requirement so it will be added later using a separate axiom class, AxEField.

```
class Quantities = linordered-field
begin
```

```
abbreviation inRangeOO :: 'a ⇒ 'a ⇒ 'a ⇒ bool (⟨- < - < -⟩)
  where (a < b < c) ≡ (a < b) ∧ (b < c)
```

```
abbreviation inRangeOC :: 'a ⇒ 'a ⇒ 'a ⇒ bool (⟨- < - ≤ -⟩)
  where (a < b ≤ c) ≡ (a < b) ∧ (b ≤ c)
```

```
abbreviation inRangeCO :: 'a ⇒ 'a ⇒ 'a ⇒ bool (⟨- ≤ - < -⟩)
  where (a ≤ b < c) ≡ (a ≤ b) ∧ (b < c)
```

```
abbreviation inRangeCC :: 'a ⇒ 'a ⇒ 'a ⇒ bool (⟨- ≤ - ≤ -⟩)
```

where $(a \leq b \leq c) \equiv (a \leq b) \wedge (b \leq c)$

lemma *lemLEPlus*: $a \leq b + c \longrightarrow c \geq a - b$
 $\langle proof \rangle$

lemma *lemMultPosLT1*:
assumes $(a > 0) \wedge (b \geq 0) \wedge (b < 1)$
shows $(a * b) < a$
 $\langle proof \rangle$

lemma *lemAbsRange*: $e > 0 \longrightarrow ((a-e) < b < (a+e)) \longleftrightarrow (abs(b-a) < e)$
 $\langle proof \rangle$

lemma *lemAbsNeg*: $abs x = abs (-x)$
 $\langle proof \rangle$

lemma *lemAbsNegNeg*: $abs (-a-b) = abs (a+b)$
 $\langle proof \rangle$

lemma *lemGENZGT*: $(x \geq 0) \wedge (x \neq 0) \longrightarrow x > 0$
 $\langle proof \rangle$

lemma *lemLENZLT*: $(x \leq 0) \wedge (x \neq 0) \longrightarrow x < 0$
 $\langle proof \rangle$

lemma *lemSumOfNonNegAndPos*: $x \geq 0 \wedge y > 0 \longrightarrow x+y > 0$
 $\langle proof \rangle$

lemma *lemSumOfTwoHalves*: $x = x/2 + x/2$
 $\langle proof \rangle$

lemma *lemDiffDiffAdd*: $(b-a)+(c-b) = (c-a)$
 $\langle proof \rangle$

lemma *lemSumDiffCancelMiddle*: $(a - b) + (b - c) = (a - c)$
 $\langle proof \rangle$

lemma *lemDiffSumCancelMiddle*: $(a - b) + (b + c) = (a + c)$
 $\langle proof \rangle$

lemma *lemMultPosLT*: $((0 < a) \wedge (b < c)) \longrightarrow (a*b < a*c)$
 $\langle proof \rangle$

lemma *lemMultPosLE*: $((0 < a) \wedge (b \leq c)) \longrightarrow (a*b \leq a*c)$
 $\langle proof \rangle$

```

lemma lemNonNegLT: ((0 ≤ a) ∧ (b < c)) —→ (a*b ≤ a*c)
  ⟨proof⟩

lemma lemMultNonNegLE: ((0 ≤ a) ∧ (b ≤ c)) —→ (a*b ≤ a*c)
  ⟨proof⟩

abbreviation sqr :: 'a ⇒ 'a
  where sqr x ≡ x*x

abbreviation hasRoot :: 'a ⇒ bool
  where hasRoot x ≡ ∃ r . x = sqr r

abbreviation isNonNegRoot :: 'a ⇒ 'a ⇒ bool
  where isNonNegRoot x r ≡ (r ≥ 0) ∧ (x = sqr r)

abbreviation hasUniqueRoot :: 'a ⇒ bool
  where hasUniqueRoot x ≡ ∃! r . isNonNegRoot x r

abbreviation sqrt :: 'a ⇒ 'a
  where sqrt x ≡ THE r . isNonNegRoot x r

lemma lemAbsIsRootOfSquare: isNonNegRoot (sqr x) (abs x)
  ⟨proof⟩

lemma lemSqrt:
  assumes hasRoot x
  shows hasUniqueRoot x
  ⟨proof⟩

lemma lemSqrMonoStrict: assumes (0 ≤ u) ∧ (u < v)
  shows (sqr u) < (sqr v)
  ⟨proof⟩

lemma lemSqrMono: (0 ≤ u) ∧ (u ≤ v) —→ (sqr u) ≤ (sqr v)
  ⟨proof⟩

lemma lemSqrOrderedStrict: (v > 0) ∧ (sqr u < sqr v) —→ (u < v)

lemma lemSqrOrdered: (v ≥ 0) ∧ (sqr u ≤ sqr v) —→ (u ≤ v)
  ⟨proof⟩

```

```

lemma lemSquaredNegative:  $sqr x = sqr (-x)$ 
   $\langle proof \rangle$ 

lemma lemSqrDiffSymmetrical:  $sqr (x - y) = sqr (y - x)$ 
   $\langle proof \rangle$ 

lemma lemSquaresPositive:  $x \neq 0 \longrightarrow sqr x > 0$ 
   $\langle proof \rangle$ 

lemma lemZeroRoot:  $(sqr x = 0) \longleftrightarrow (x = 0)$ 
   $\langle proof \rangle$ 

lemma lemSqrMult:  $sqr (a * b) = (sqr a) * (sqr b)$ 
   $\langle proof \rangle$ 

lemma lemEqualSquares:  $sqr u = sqr v \longrightarrow abs u = abs v$ 
   $\langle proof \rangle$ 

lemma lemSqrtOfSquare:
  assumes  $b = sqr a$ 
  shows  $sqr b = abs a$ 
   $\langle proof \rangle$ 

lemma lemSquareOfSqrt:
  assumes  $hasRoot b$ 
  and  $a = sqrt b$ 
  shows  $sqr a = b$ 
   $\langle proof \rangle$ 

lemma lemSqrt1:  $sqrt 1 = 1$ 
   $\langle proof \rangle$ 

lemma lemSqrt0:  $sqrt 0 = 0$ 
   $\langle proof \rangle$ 

lemma lemSqrSum:  $sqr (x + y) = (x*x) + (2*x*y) + (y*y)$ 
   $\langle proof \rangle$ 

lemma lemQuadraticGEZero:
  assumes  $\forall x. a*(sqr x) + b*x + c \geq 0$ 
  and  $a > 0$ 
  shows  $(sqr b) \leq 4*a*c$ 

```

$\langle proof \rangle$

```
lemma lemSquareExistsAbove:  
  shows  $\exists x > 0 . (\text{sqr } x) > y$   
 $\langle proof \rangle$ 
```

```
lemma lemSmallSquares:  
  assumes  $x > 0$   
  shows  $\exists y > 0. (\text{sqr } y < x)$   
 $\langle proof \rangle$ 
```

```
lemma lemSqrLT1:  
  assumes  $0 < x < 1$   
  shows  $0 < (\text{sqr } x) < x$   
 $\langle proof \rangle$ 
```

```
lemma lemReducedBound:  
  assumes  $x > 0$   
  shows  $\exists y > 0 . (y < x) \wedge (\text{sqr } y < y) \wedge (y < 1)$   
 $\langle proof \rangle$ 
```

end

end

2 Points

This theory defines (1+3)-dimensional spacetime points. The first coordinate is the time coordinate, and the remaining three coordinates give the spatial component.

```
theory Points  
  imports Sorts  
begin
```

```
record 'a Point =  
  tval :: 'a  
  xval :: 'a  
  yval :: 'a  
  zval :: 'a
```

```

record 'a Space =
  svalx :: 'a
  svaly :: 'a
  svalz :: 'a

abbreviation tComponent :: 'a Point  $\Rightarrow$  'a where
  tComponent p  $\equiv$  tval p

abbreviation sComponent :: 'a Point  $\Rightarrow$  'a Space where
  sComponent p  $\equiv$  () svalx = xval p, svaly = yval p, svalz = zval p ()

abbreviation mkPoint :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a Point where
  mkPoint t x y z  $\equiv$  () tval = t, xval = x, yval = y, zval = z ()

abbreviation stPoint :: 'a  $\Rightarrow$  'a Space  $\Rightarrow$  'a Point where
  stPoint t s  $\equiv$  mkPoint t (svalx s) (svaly s) (svalz s)

abbreviation mkSpace :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a Space where
  mkSpace x y z  $\equiv$  () svalx = x, svaly = y, svalz = z ()

Points have coordinates in the field of quantities, and can be thought of as the end-points of vectors pinned to the origin. We can translate and scale them, define accumulation points, etc.

class Points = Quantities
begin

abbreviation moveBy :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  'a Point ( $\langle - \oplus - \rangle$ )
where
  (p  $\oplus$  q)  $\equiv$  () tval = tval p + tval q,
    xval = xval p + xval q,
    yval = yval p + yval q,
    zval = zval p + zval q ()

abbreviation movebackBy :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  'a Point ( $\langle - \ominus - \rangle$ ) where
  (p  $\ominus$  q)  $\equiv$  () tval = tval p - tval q,
    xval = xval p - xval q,
    yval = yval p - yval q,
    zval = zval p - zval q ()

abbreviation sMoveBy :: 'a Space  $\Rightarrow$  'a Space  $\Rightarrow$  'a Space ( $\langle - \oplus s - \rangle$ )
where
  (p  $\oplus s$  q)  $\equiv$  () svalx = svalx p + svalx q,
    svaly = svaly p + svaly q,
    svalz = svalz p + svalz q,

```

$$svalz = svalz p + svalz q \emptyset$$

abbreviation *sMovebackBy* :: '*a Space* \Rightarrow '*a Space* \Rightarrow '*a Space* ($\langle - \ominus s \rightarrow \rangle$) **where**
 $(p \ominus s q) \equiv \emptyset \ svalx = svalx p - svalx q,$
 $svaly = svaly p - svaly q,$
 $svalz = svalz p - svalz q \emptyset$

abbreviation *scaleBy* :: '*a* \Rightarrow '*a Point* \Rightarrow '*a Point* ($\langle - \otimes - \rangle$) **where**
 $scaleBy a p \equiv \emptyset \ tval = a*tval p, xval = a*xval p,$
 $yval = a*yval p, zval = a*zval p \emptyset$

abbreviation *sScaleBy* :: '*a* \Rightarrow '*a Space* \Rightarrow '*a Space* ($\langle - \otimes s \rightarrow \rangle$) **where**
 $sScaleBy a p \equiv \emptyset \ svalx = a*svalx p,$
 $svaly = a*svaly p,$
 $svalz = a*svalz p \emptyset$

abbreviation *sOrigin* :: '*a Space* **where**
 $sOrigin \equiv \emptyset \ svalx = 0, svaly = 0, svalz = 0 \emptyset$

abbreviation *origin* :: '*a Point* **where**
 $origin \equiv \emptyset \ tval = 0, xval = 0, yval = 0, zval = 0 \emptyset$

abbreviation *tUnit* :: '*a Point* **where**
 $tUnit \equiv \emptyset \ tval = 1, xval = 0, yval = 0, zval = 0 \emptyset$

abbreviation *xUnit* :: '*a Point* **where**
 $xUnit \equiv \emptyset \ tval = 0, xval = 1, yval = 0, zval = 0 \emptyset$

abbreviation *yUnit* :: '*a Point* **where**
 $yUnit \equiv \emptyset \ tval = 0, xval = 0, yval = 1, zval = 0 \emptyset$

abbreviation *zUnit* :: '*a Point* **where**
 $zUnit \equiv \emptyset \ tval = 0, xval = 0, yval = 0, zval = 1 \emptyset$

abbreviation *timeAxis* :: '*a Point set* **where**
 $timeAxis \equiv \{ p . xval p = 0 \wedge yval p = 0 \wedge zval p = 0 \}$

abbreviation *onTimeAxis* :: '*a Point* \Rightarrow *bool*
where *onTimeAxis p* \equiv (*p* \in *timeAxis*)

2.1 Squared norms and separation functions

This theory defines squared norms and separations. We do not yet define unsquared norms because we are not assuming here that quantities necessarily have square roots.

```

abbreviation norm2 :: 'a Point  $\Rightarrow$  'a where
  norm2 p  $\equiv$  sqr (tval p) + sqr (xval p) + sqr (yval p) + sqr (zval p)

abbreviation sep2 :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  'a where
  sep2 p q  $\equiv$  norm2 (p  $\ominus$  q)

abbreviation sNorm2 :: 'a Space  $\Rightarrow$  'a where
  sNorm2 s  $\equiv$  sqr (svalx s)
    + sqr (svaly s)
    + sqr (svalz s)

abbreviation sSep2 :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  'a where
  sSep2 p q  $\equiv$  sqr (xval p - xval q)
    + sqr (yval p - yval q)
    + sqr (zval p - zval q)

abbreviation mNorm2 :: 'a Point  $\Rightarrow$  'a ( $\langle \parallel - \parallel m \rangle$ )
  where  $\parallel p \parallel m \equiv$  sqr (tval p) - sNorm2 (sComponent p)

```

2.2 Topological concepts

We will need to define topological concepts like continuity and affine approximation later, so here we define open balls and accumulation points.

```

abbreviation inBall :: 'a Point  $\Rightarrow$  'a  $\Rightarrow$  'a Point  $\Rightarrow$  bool
  ( $\langle - \text{within} - \text{of} - \rangle$ )
  where inBall q  $\varepsilon$  p  $\equiv$  sep2 q p  $<$  sqr  $\varepsilon$ 

abbreviation ball :: 'a Point  $\Rightarrow$  'a  $\Rightarrow$  'a Point set
  where ball q  $\varepsilon$   $\equiv$  { p . inBall q  $\varepsilon$  p }

abbreviation accPoint :: 'a Point  $\Rightarrow$  'a Point set  $\Rightarrow$  bool
  where accPoint p s  $\equiv$   $\forall \varepsilon > 0. \exists q \in s. (p \neq q) \wedge (\text{inBall } q \varepsilon p)$ 

```

2.3 Lines

A line is specified by giving a point on the line, and a point (thought of as a vector) giving its direction. For these purposes it doesn't matter whether the direction is "positive" or "negative".

```

abbreviation line :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  'a Point set
  where line base drtn  $\equiv$  { p .  $\exists \alpha . p = (\text{base} \oplus (\alpha \otimes \text{drtn}))$  }

```

```
abbreviation lineJoining :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  'a Point set
  where lineJoining p q  $\equiv$  line p (q  $\ominus$  p)
```

```
abbreviation isLine :: 'a Point set  $\Rightarrow$  bool
  where isLine l  $\equiv$   $\exists$  b d . (l = line b d)
```

```
abbreviation sameLine :: 'a Point set  $\Rightarrow$  'a Point set  $\Rightarrow$  bool
  where sameLine l1 l2  $\equiv$  ((isLine l1)  $\vee$  (isLine l2))  $\wedge$  (l1 = l2)
```

```
abbreviation onLine :: 'a Point  $\Rightarrow$  'a Point set  $\Rightarrow$  bool
  where onLine p l  $\equiv$  (isLine l)  $\wedge$  (p  $\in$  l)
```

2.4 Directions

Given any two distinct points on a line, the vector joining them can be used to specify the line's direction. The direction of a line is therefore a *set* of points/vectors. By lemDrtn these are all parallel

```
fun drtn :: 'a Point set  $\Rightarrow$  'a Point set
  where drtn l = { d .  $\exists$  p q . (p  $\neq$  q)  $\wedge$  (onLine p l)  $\wedge$  (onLine q l)
     $\wedge$  (d = (q  $\ominus$  p)) }
```

```
abbreviation parallelLines :: 'a Point set  $\Rightarrow$  'a Point set  $\Rightarrow$  bool
  where parallelLines l1 l2  $\equiv$  (drtn l1)  $\cap$  (drtn l2)  $\neq$  {}
```

```
abbreviation parallel :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool ( $\langle$  -  $\parallel$  -  $\rangle$ )
  where parallel p q  $\equiv$  ( $\exists$   $\alpha \neq 0$  . p = ( $\alpha \otimes$  q))
```

The "slope" of a line can be either finite or infinite. We will often need to consider these two cases separately.

```
abbreviation slopeFinite :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool
  where slopeFinite p q  $\equiv$  (tval p  $\neq$  tval q)
```

```
abbreviation slopeInfinite :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool
  where slopeInfinite p q  $\equiv$  (tval p = tval q)
```

```
abbreviation lineSlopeFinite :: 'a Point set  $\Rightarrow$  bool
  where lineSlopeFinite l  $\equiv$  ( $\exists$  x y . (onLine x l)  $\wedge$  (onLine y l)
     $\wedge$  (x  $\neq$  y)  $\wedge$  (slopeFinite x y))
```

2.5 Slopes and slopers

We specify the slope of a line by giving the spatial component ("sloper") of the point on the line at time 1. This is defined if and only if the slope is finite. If the slope is infinite (the

line is "horizontal") we return the spatial origin. This avoids using "option" but means we need to consider carefully whether a sloper with value sOrigin indicates a truly zero slope or an infinite one.

```
fun sloper :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  'a Point
  where sloper p q = (if (slopeFinite p q) then ((1 / (tval p - tval q))  $\otimes$  (p  $\ominus$  q))
    else origin)

fun velocityJoining :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  'a Space
  where velocityJoining p q = sComponent (sloper p q)

fun lineVelocity :: 'a Point set  $\Rightarrow$  'a Space set
  where lineVelocity l = { v .  $\exists$  d  $\in$  drtn l . v = velocityJoining origin d }
```

lemma lemNorm2Decomposition:

shows norm2 u = sqr (tval u) + sNorm2 (sComponent u)
 $\langle proof \rangle$

lemma lemPointDecomposition:

shows p = (((tval p) \otimes tUnit) \oplus (((xval p) \otimes xUnit)
 \oplus (((yval p) \otimes yUnit) \oplus ((zval p) \otimes zUnit)))
 $\langle proof \rangle$

lemma lemScaleLeftSumDistrib: $((a + b) \otimes p) = ((a \otimes p) \oplus (b \otimes p))$
 $\langle proof \rangle$

lemma lemScaleLeftDiffDistrib: $((a - b) \otimes p) = ((a \otimes p) \ominus (b \otimes p))$
 $\langle proof \rangle$

lemma lemScaleAssoc: $(\alpha \otimes (\beta \otimes p)) = ((\alpha * \beta) \otimes p)$
 $\langle proof \rangle$

```

lemma lemScaleCommute:  $(\alpha \otimes (\beta \otimes p)) = (\beta \otimes (\alpha \otimes p))$ 
   $\langle proof \rangle$ 

lemma lemScaleDistribSum:  $(\alpha \otimes (p \oplus q)) = ((\alpha \otimes p) \oplus (\alpha \otimes q))$ 
   $\langle proof \rangle$ 

lemma lemScaleDistribDiff:  $(\alpha \otimes (p \ominus q)) = ((\alpha \otimes p) \ominus (\alpha \otimes q))$ 
   $\langle proof \rangle$ 

lemma lemScaleOrigin:  $(\alpha \otimes origin) = origin$ 
   $\langle proof \rangle$ 

lemma lemMNorm2OfScaled:  $mNorm2 (scaleBy \alpha p) = (sqr \alpha) * mNorm2 p$ 
   $\langle proof \rangle$ 

lemma lemSNorm2OfScaled:  $sNorm2 (sScaleBy \alpha p) = (sqr \alpha) * sNorm2 p$ 
   $\langle proof \rangle$ 

lemma lemNorm2OfScaled:  $norm2 (\alpha \otimes p) = (sqr \alpha) * norm2 p$ 
   $\langle proof \rangle$ 

lemma lemScaleSep2:  $(sqr a) * (sep2 p q) = sep2 (a \otimes p) (a \otimes q)$ 
   $\langle proof \rangle$ 

lemma lemSScaleAssoc:  $(\alpha \otimes s (\beta \otimes s p)) = ((\alpha * \beta) \otimes s p)$ 
   $\langle proof \rangle$ 

lemma lemScaleBall:
  assumes  $x$  within  $e$  of  $y$ 
  and  $a \neq 0$ 
  shows  $(a \otimes x)$  within  $(a * e)$  of  $(a \otimes y)$ 
   $\langle proof \rangle$ 

lemma lemScaleBallAndBoundary:
  assumes  $sep2 x y \leq sqr e$ 
  and  $a \neq 0$ 
  shows  $sep2 (a \otimes x) (a \otimes y) \leq sqr (a * e)$ 
   $\langle proof \rangle$ 

```

```
lemma lemTimeAxisIsLine: isLine timeAxis
  <proof>
```

```
lemma lemSameLine:
  assumes p ∈ line b d
  shows   sameLine (line b d) (line p d)
  <proof>
```

```
lemma lemSSep2Symmetry: sSep2 p q = sSep2 q p
  <proof>
```

```
lemma lemSep2Symmetry: sep2 p q = sep2 q p
  <proof>
```

```
lemma lemSpatialNullImpliesSpatialOrigin:
  assumes sNorm2 s = 0
  shows s = sOrigin
  <proof>
```

```
lemma lemNorm2NonNeg: norm2 p ≥ 0
  <proof>
```

```
lemma lemNullImpliesOrigin:
  assumes norm2 p = 0
  shows p = origin
  <proof>
```

```
lemma lemNotOriginImpliesPosNorm2:
  assumes p ≠ origin
  shows norm2 p > 0
  <proof>
```

```
lemma lemNotEqualImpliesSep2Pos:
  assumes y ≠ x
  shows sep2 y x > 0
  <proof>
```

```

lemma lemBallContainsCentre:
  assumes  $\varepsilon > 0$ 
  shows  $x$  within  $\varepsilon$  of  $x$ 
  (proof)

lemma lemPointLimit:
  assumes  $\forall \varepsilon > 0 . (v \text{ within } \varepsilon \text{ of } u)$ 
  shows  $v = u$ 
  (proof)

lemma lemBallPopulated:
  assumes  $e > 0$ 
  shows  $\exists y . (y \text{ within } e \text{ of } x) \wedge (y \neq x)$ 
  (proof)

lemma lemBallInBall:
  assumes  $p \text{ within } x \text{ of } q$ 
  and  $0 < x \leq y$ 
  shows  $p \text{ within } y \text{ of } q$ 
  (proof)

lemma lemSmallPoints:
  assumes  $e > 0$ 
  shows  $\exists a > 0 . \text{norm2}(a \otimes p) < \text{sqr } e$ 
  (proof)

lemma lemLineJoiningContainsEndPoints:
  assumes  $l = \text{lineJoining } x \ p$ 
  shows  $\text{onLine } x \ l \wedge \text{onLine } p \ l$ 
  (proof)

lemma lemLineAndPoints:
  assumes  $p \neq q$ 
  shows  $(\text{onLine } p \ l \wedge \text{onLine } q \ l) \longleftrightarrow (l = \text{lineJoining } p \ q)$ 
  (proof)

lemma lemLineDefinedByPair:
  assumes  $x \neq p$ 
  and  $(\text{onLine } p \ l1) \wedge (\text{onLine } x \ l1)$ 

```

```

and      (onLine p l2)  $\wedge$  (onLine x l2)
shows l1 = l2
{proof}

```

```

lemma lemDrtn:
assumes { d1, d2 }  $\subseteq$  drtn l
shows  $\exists \alpha \neq 0 . d2 = (\alpha \otimes d1)$ 
{proof}

```

```

lemma lemLineDeterminedByPointAndDrtn:
assumes (x  $\neq$  p)  $\wedge$  (p  $\in$  l1)  $\wedge$  (onLine x l1)  $\wedge$  (onLine x l2)
and      drtn l1 = drtn l2
shows    l1 = l2
{proof}

```

```
end
```

```
end
```

3 WorldView

This theory defines worldview transformations. These form the ultimate foundation for all of GenRel's axioms.

```

theory WorldView
  imports Points
begin

```

```

class WorldView = Points +
fixes

```

```

  W :: Body  $\Rightarrow$  Body  $\Rightarrow$  'a Point  $\Rightarrow$  bool ( $\leftarrow$  sees - at  $\rightarrow$ )
begin

```

```

abbreviation ev :: Body  $\Rightarrow$  'a Point  $\Rightarrow$  Body set
  where ev h x  $\equiv$  { b . h sees b at x }

```

```

fun wvt :: Body  $\Rightarrow$  Body  $\Rightarrow$  'a Point  $\Rightarrow$  'a Point set
  where wvt m k p = { q. ( $\exists b . (m \text{ sees } b \text{ at } p)$ )  $\wedge$  (ev m p = ev k q) }

```

```

abbreviation wvtFunc :: Body  $\Rightarrow$  Body  $\Rightarrow$  ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)
  where wvtFunc m k  $\equiv$  ( $\lambda p q . q \in wvt m k p$ )

```

```

abbreviation wvtLine :: Body  $\Rightarrow$  Body  $\Rightarrow$  'a Point set  $\Rightarrow$  'a Point
set  $\Rightarrow$  bool
  where wvtLine m k l l'  $\equiv$   $\exists p q p' q' . ($ 
    (wvtFunc m k p p')  $\wedge$  (wvtFunc m k q q')  $\wedge$ 
    (l = lineJoining p q)  $\wedge$  (l' = lineJoining
    p' q'))
  end
end

```

4 Functions

This theory characterises the various types of function (injective, bijective, etc).

```

theory Functions
  imports Points
begin

```

We do not assume a priori that all of the functions we define are well-defined or total. We therefore need to allow for functions which are only partially defined, and also for "functions" which might be multi-valued. For example, we cannot say in advance whether one observer might see another's worldline as a bifurcating structure rather than a basic single-valued trajectory.

To achieve this we'll often think of functions as relations and write " $f x y = \text{true}$ " instead of " $f x = y$ ". Similarly, a spacetime set S will be sometimes be expressed as its characteristic function.

```

class Functions = Points
begin

abbreviation bounded :: ('a Point  $\Rightarrow$  'a Point)  $\Rightarrow$  bool
  where bounded f  $\equiv$   $\exists bnd > 0 . (\forall p . (norm2 (f p) \leq bnd * (norm2 p)))$ 

```

```

abbreviation composeRel :: 
  ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)
   $\Rightarrow$  ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)
   $\Rightarrow$  ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)
  where (composeRel g f) p r  $\equiv$  ( $\exists q . ((f p q) \wedge (g q r)))$ 

```

```

abbreviation injective :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  bool
  where injective f  $\equiv$   $\forall$  x1 x2 y1 y2.
    (f x1 y1  $\wedge$  f x2 y2)  $\wedge$  (x1  $\neq$  x2)  $\longrightarrow$  (y1  $\neq$  y2)

abbreviation definedAt :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  'a Point
 $\Rightarrow$  bool
  where definedAt f x  $\equiv$   $\exists$  y . f x y

abbreviation domain :: ('a Point  $=>$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  'a Point
set
  where domain f  $\equiv$  { x . definedAt f x }

abbreviation total :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  bool
  where total f  $\equiv$   $\forall$  x . (definedAt f x)

abbreviation surjective :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  bool
  where surjective f  $\equiv$   $\forall$  y .  $\exists$  x . f x y

abbreviation bijective :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  bool
  where bijective f  $\equiv$  (injective f)  $\wedge$  (surjective f)

abbreviation invertible :: ('a Point  $\Rightarrow$  'a Point)  $\Rightarrow$  bool
  where invertible f  $\equiv$   $\forall$  q . ( $\exists$  p . (f p = q)  $\wedge$  ( $\forall$  x . f x = q  $\longrightarrow$  x = p))

fun applyToSet :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  'a Point set  $\Rightarrow$  'a
Point set
  where applyToSet f s = { q .  $\exists$  p  $\in$  s . f p q }

abbreviation singleValued :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  'a Point
 $\Rightarrow$  bool
  where singleValued f x  $\equiv$   $\forall$  y z . (((f x y)  $\wedge$  (f x z))  $\longrightarrow$  (y = z))

abbreviation isFunction :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  bool
  where isFunction f  $\equiv$   $\forall$  x . singleValued f x

abbreviation isTotalFunction :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  bool
  where isTotalFunction f  $\equiv$  (total f)  $\wedge$  (isFunction f)

fun toFunc:: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  'a Point  $\Rightarrow$  'a Point
  where toFunc f x = (SOME y . f x y)

fun asFunc :: ('a Point  $\Rightarrow$  'a Point)  $\Rightarrow$  ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)
  where (asFunc f) x y = (y = f x)

```

4.1 Differentiable approximation

Here we define differentiable approximation. This will be used later when we define what it means for a worldview transformation to be "approximated" by an affine transformation.

```
abbreviation diffApprox :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$ 
    ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$ 
        'a Point  $\Rightarrow$  bool
where diffApprox g f x  $\equiv$  (definedAt f x)  $\wedge$ 
    ( $\forall \varepsilon > 0 . (\exists \delta > 0 . (\forall y .$ 
        ( $(y \text{ within } \delta \text{ of } x)$ 
         $\longrightarrow$ 
        ( $(\text{definedAt } f y) \wedge (\forall u v . (f y u \wedge g y v) \longrightarrow$ 
            ( $\text{sep2 } v u \leq (\text{sqr } \varepsilon) * \text{sep2 } y x)))$ )
    ))
```



```
abbreviation cts :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  'a Point  $\Rightarrow$  bool
where cts f x  $\equiv$   $\forall y . (f x y) \longrightarrow (\forall \varepsilon > 0 . \exists \delta > 0 .$ 
    ( $\text{applyToSet } f (\text{ball } x \delta)) \subseteq \text{ball } y \varepsilon$ )
```



```
fun invFunc :: ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$  ('a Point  $\Rightarrow$  'a Point
 $\Rightarrow$  bool)
where (invFunc f) p q = f q p
```

lemma lemBijInv: bijective (asFunc f) \longleftrightarrow invertible f
 $\langle \text{proof} \rangle$

4.2 lemApproxEqualAtBase

The following lemma shows (as one would expect) that when one function differentiably approximates another at a point, they take equal values at that point.

```
lemma lemApproxEqualAtBase:
assumes diffApprox g f x
shows ( $f x y \wedge g x z) \longrightarrow (y = z)$ 
 $\langle \text{proof} \rangle$ 
```

```
lemma lemCtsOfCtsIsCts:
assumes cts f x
and  $\forall y . (f x y) \longrightarrow (cts g y)$ 
shows cts (composeRel g f) x
```

```
<proof>
```

```
lemma lemInjOfInjsIsInj:  
  assumes injective f  
  and      injective g  
  shows    injective (composeRel g f)  
<proof>
```

```
lemma lemInverseComposition:  
  assumes h = composeRel g f  
  shows   (invFunc h) = composeRel (invFunc f) (invFunc g)  
<proof>
```

```
lemma lemToFuncAsFunc:  
  assumes isFunction f  
  and      total f  
  shows    asFunc (toFunc f) = f  
<proof>
```

```
lemma lemAsFuncToFunc: toFunc (asFunc f) = f  
<proof>
```

```
end
```

```
end
```

5 WorldLine

This theory defines worldlines.

```
theory WorldLine  
  imports WorldView Functions  
begin
```

```
class WorldLine = WorldView + Functions  
begin
```

```
abbreviation wline :: Body  $\Rightarrow$  Body  $\Rightarrow$  'a Point set  
  where wline m k  $\equiv$  { p . m sees k at p }
```

```

lemma lemWorldLineUnderWVT:
  shows applyToSet (wvtFunc m k) (wline m b) ⊆ wline k b
  ⟨proof⟩

lemma lemFiniteLineVelocityUnique:
  assumes (u ∈ lineVelocity l) ∧ (v ∈ lineVelocity l)
  and      lineSlopeFinite l
  shows   u = v
  ⟨proof⟩

end

end

```

6 Translations

This theory describes translation maps.

```

theory Translations
  imports Functions
begin

class Translations = Functions
begin

abbreviation mkTranslation :: 'a Point ⇒ ('a Point ⇒ 'a Point)
  where (mkTranslation t) ≡ (λ p . (p ⊕ t))

abbreviation translation :: ('a Point ⇒ 'a Point) ⇒ bool
  where translation T ≡ ∃ q . ∀ p . ((T p) = (p ⊕ q))

lemma lemMkTrans: ∀ t . translation (mkTranslation t)
  ⟨proof⟩

lemma lemInverseTranslation:
  assumes (T = mkTranslation t) ∧ (T' = mkTranslation (origin ⊕ t))
  shows    (T' ∘ T = id) ∧ (T ∘ T' = id)
  ⟨proof⟩

```

```
lemma lemTranslationSum:  
  assumes translation T  
  shows   T (u ⊕ v) = ((T u) ⊕ v)  
{proof}
```

```
lemma lemIdIsTranslation: translation id  
{proof}
```

```
lemma lemTranslationCancel:  
  assumes translation T  
  shows   ((T p) ⊖ (T q)) = (p ⊖ q)  
{proof}
```

```
lemma lemTranslationSwap:  
  assumes translation T  
  shows   (p ⊕ (T q)) = ((T p) ⊕ q)  
{proof}
```

```
lemma lemTranslationPreservesSep2:  
  assumes translation T  
  shows   sep2 p q = sep2 (T p) (T q)  
{proof}
```

```
lemma lemTranslationInjective:  
  assumes translation T  
  shows   injective (asFunc T)  
{proof}
```

```
lemma lemTranslationSurjective:  
  assumes translation T  
  shows   surjective (asFunc T)  
{proof}
```

```
lemma lemTranslationTotalFunction:  
  assumes translation T  
  shows   isTotalFunction (asFunc T)  
{proof}
```

```

lemma lemTranslationOfLine:
  assumes translation T
  shows  (applyToSet (asFunc T) (line B D)) = line (T B) D
  {proof}

```

```

lemma lemOnLineTranslation:
  assumes (translation T)  $\wedge$  (onLine p l)
  shows   onLine (T p) (applyToSet (asFunc T) l)
  {proof}

```

```

lemma lemLineJoiningTranslation:
  assumes translation T
  shows  applyToSet (asFunc T) (lineJoining p q) = lineJoining (T
p) (T q)
  {proof}

```

```

lemma lemBallTranslation:
  assumes translation T
  and      x within e of y
  shows  (T x) within e of (T y)
  {proof}

```

```

lemma lemBallTranslationWithBoundary:
  assumes translation T
  and      sep2 x y  $\leq$  sqr e
  shows  sep2 (T x) (T y)  $\leq$  sqr e
  {proof}

```

```

lemma lemTranslationIsCts:
  assumes translation T
  shows cts (asFunc T) x
  {proof}

```

```

lemma lemAccPointTranslation:
  assumes translation T
  and      accPoint x s

```

```

shows      accPoint (T x) (applyToSet (asFunc T) s)
⟨proof⟩

lemma lemInverseOfTransIsTrans:
  assumes translation T
  and      T' = invFunc (asFunc T)
  shows    translation (toFunc T')
  ⟨proof⟩

lemma lemInverseTrans:
  assumes translation T
  shows   ∃ T'. (translation T') ∧ (∀ p q . T p = q ↔ T' q = p)
  ⟨proof⟩

end

end

```

7 AXIOM: AxSelfMinus

This theory declares the axiom AxSelfMinus.

```

theory AxSelfMinus
  imports WorldView
begin

AxSelfMinus: The worldline of an observer is a subset of the time
axis in their own worldview.

class axSelfMinus = WorldView
begin
  abbreviation axSelfMinus :: Body ⇒ 'a Point ⇒ bool
  where axSelfMinus m p ≡ (m sees m at p) —> onTimeAxis p
end

class AxSelfMinus = axSelfMinus +
  assumes AxSelfMinus : ∀ m p . axSelfMinus m p
begin
end

end

```

8 TangentLines

This theory defines tangent lines and establishes their key properties.

```
theory TangentLines
  imports Translations AxSelfMinus
begin
```

At each point along the worldline of a body, we can ask what its instantaneous direction of motion is. Unfortunately we do not know a priori that the "worldline" actually has tangents. Dealing with tangent lines is one of the more complicated aspects of the main proof.

```
class TangentLines = Translations + AxSelfMinus
begin
```

```
abbreviation tangentLine :: 'a Point set ⇒ 'a Point set ⇒ 'a Point
⇒ bool
  where tangentLine l s x ≡
    (x ∈ s) ∧ (onLine x l) ∧ (accPoint x s)
    ∧
    (∃ p . ( (onLine p l) ∧ (p ≠ x) ∧
      (∀ ε > 0 . ∃ δ > 0 . ∀ y ∈ s. (
        (y within δ of x) ∧ (y ≠ x) )
      →
        ( ∃ r . ((onLine r (lineJoining x y)) ∧ (r within ε of p)))))
    ))
```

```
abbreviation tangentLineA :: 'a Point set ⇒ 'a Point set ⇒ 'a Point
⇒ bool
  where tangentLineA l s x ≡
    (x ∈ s) ∧ (onLine x l) ∧ (accPoint x s)
    ∧
    (∀ p . ( ((onLine p l) ∧ (p ≠ x)) →
      (∀ ε > 0 . ∃ δ > 0 . ∀ y ∈ s. (
        (y within δ of x) ∧ (y ≠ x) )
      →
        ( ∃ r . ((onLine r (lineJoining x y)) ∧ (r within ε of p)))))
    ))
```

```
abbreviation hasTangent :: 'a Point set ⇒ 'a Point ⇒ bool
  where hasTangent s p ≡ ∃ l . tangentLine l s p
```

The instantaneous velocity of a body is defined to be the velocity

of a co-moving body moving along the tangent line (assuming a tangent line exists).

```
fun vel :: 'a Point set  $\Rightarrow$  'a Point  $\Rightarrow$  'a Space  $\Rightarrow$  bool
  where vel wl p v = (  $\exists$  l . ( (tangentLine l wl p)  $\wedge$  (v  $\in$  lineVelocity l) ))
```

```
lemma lemTangentLineTranslation:
  assumes translation T
  and      tangentLine l s x
  shows    tangentLine (applyToSet (asFunc T) l)
            (applyToSet (asFunc T) s) (T x)
  ⟨proof⟩
```

```
lemma lemTangentLineA:
  assumes tangentLine l s x
  shows    tangentLineA l s x
  ⟨proof⟩
```

```
lemma lemTangentLineE:
  assumes tangentLineA l s x
  and       $\exists p \neq x . onLine p l$ 
  shows    tangentLine l s x
  ⟨proof⟩
```

```
end
```

```
end
```

9 Cones

This theory defines (light)cones, regular cones, and their properties.

```
theory Cones
  imports WorldLine TangentLines
begin
```

```
class Cones = WorldLine + TangentLines
begin
```

```
abbreviation tl :: 'a Point set  $\Rightarrow$  Body  $\Rightarrow$  Body  $\Rightarrow$  'a Point  $\Rightarrow$  bool
where tl l m b x  $\equiv$  tangentLine l (wline m b) x
```

The cone of a body at a point comprises the set of points that lie on tangent lines of photons emitted by the body at that point.

```
abbreviation cone :: Body  $\Rightarrow$  'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool
where cone m x p
 $\equiv \exists l . (onLine p l) \wedge (onLine x l) \wedge (\exists ph . Ph ph \wedge tl l$ 
 $m ph x)$ 
```

```
abbreviation regularCone :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool
where regularCone x p  $\equiv \exists l . (onLine p l) \wedge (onLine x l)$ 
 $\wedge (\exists v \in lineVelocity l . sNorm2 v = 1)$ 
```

```
abbreviation coneSet :: Body  $\Rightarrow$  'a Point  $\Rightarrow$  'a Point set
where coneSet m x  $\equiv \{ p . cone m x p \}$ 
```

```
abbreviation regularConeSet :: 'a Point  $\Rightarrow$  'a Point set
where regularConeSet x  $\equiv \{ p . regularCone x p \}$ 
```

end

end

10 AXIOM: AxLightMinus

This theory declares the axiom AxLightMinus.

```
theory AxLightMinus
  imports WorldLine TangentLines
begin
```

AxLightMinus: If an observer sends out a light signal, then the speed of the light signal is 1 according to the observer. Moreover it is possible to send out a light signal in any direction.

```
class axLightMinus = WorldLine + TangentLines
begin
```

The definition of AxLightMinus used in this Isabelle proof is slightly different to the one used in the paper-based proof on which it is based. We have established elsewhere, however, that each entails the other in all relevant contexts.

```

abbreviation axLightMinusOLD :: Body  $\Rightarrow$  'a Point  $\Rightarrow$  'a Space  $\Rightarrow$  bool
where axLightMinusOLD m p v  $\equiv$  (m sees m at p)  $\longrightarrow$  (
    ( $\exists$  ph . (Ph ph  $\wedge$  (vel (wline m ph) p v)))  $\longleftrightarrow$  (sNorm2 v = 1)
)

abbreviation axLightMinus :: Body  $\Rightarrow$  'a Point  $\Rightarrow$  'a Space  $\Rightarrow$  bool
where axLightMinus m p v  $\equiv$  (m sees m at p)
     $\longrightarrow$  (  $\forall$  l .  $\forall$  v  $\in$  lineVelocity l .
        ( $\exists$  ph . (Ph ph  $\wedge$  (tangentLine l (wline m ph) p)))  $\longleftrightarrow$ 
        (sNorm2 v = 1))

end

class AxLightMinus = axLightMinus +
    assumes AxLightMinus:  $\forall$  m p v . axLightMinus m p v
begin
end

end

```

11 Proposition1

This theory shows that observers consider their own lightcones to be upright.

```

theory Proposition1
    imports Cones AxLightMinus
begin

class Proposition1 = Cones + AxLightMinus
begin

lemma lemProposition1:
    assumes x  $\in$  wline m m
    shows cone m x p = regularCone x p
    ⟨proof⟩

end
end

```

12 AXIOM: AxEFIELD

This theory defines the axiom AxEFIELD, which states that the linearly ordered field of quantities is Euclidean, i.e. that all non-negative values have square roots in the field.

```
theory AxEFIELD
  imports Sorts
begin

  class axEFIELD = Quantities
  begin
    abbreviation axEFIELD :: 'a ⇒ bool
      where axEFIELD x ≡ (x ≥ 0) ⟶ hasRoot x
  end

  class AxEFIELD = axEFIELD +
    assumes AxEFIELD: ∀ x . axEFIELD x
  begin
  end

end
```

13 Norms

This theory defines norms, assuming that roots exist.

```
theory Norms
  imports Points AxEFIELD
begin

  class Norms = Points + AxEFIELD
  begin

    abbreviation norm :: 'a Point ⇒ 'a (⟨|| - ||⟩)
      where norm p ≡ sqrt (norm2 p)

    abbreviation sNorm :: 'a Space ⇒ 'a
      where sNorm p ≡ sqrt (sNorm2 p)
  end
```

13.1 axTriangleInequality

Given that norms exist, we can define the triangle inequality for specific cases. This will be asserted more generally as an axiom later.

```

abbreviation axTriangleInequality :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool
  where axTriangleInequality p q  $\equiv$  (norm (p $\oplus$ q)  $\leq$  norm p + norm q)

```

```

lemma lemNormSqrIsNorm2: norm2 p = sqr (norm p)
  (proof)

```

```

lemma lemZeroNorm:
  shows (p = origin)  $\longleftrightarrow$  (norm p = 0)
  (proof)

```

```

lemma lemNormNonNegative: norm p  $\geq$  0
  (proof)

```

```

lemma lemNotOriginImpliesPositiveNorm:
  assumes p  $\neq$  origin
  shows (norm p  $>$  0)
  (proof)

```

```

lemma lemNormSymmetry: norm (p $\oplus$ q) = norm (q $\oplus$ p)
  (proof)

```

```

lemma lemNormOfScaled: norm ( $\alpha \otimes p$ ) = (abs  $\alpha$ ) * (norm p)
  (proof)

```

```

lemma lemDistancesAdd:
  assumes triangle: axTriangleInequality (q $\oplus$ p) (r $\oplus$ q)
  and      distances: (x  $>$  0)  $\wedge$  (y  $>$  0)  $\wedge$  (sep2 p q  $<$  sqr x)  $\wedge$  (sep2 r q  $<$  sqr y)
  shows r within (x+y) of p
  (proof)

```

```

lemma lemDistancesAddStrictR:

```

```

assumes triangle: axTriangleInequality ( $q \ominus p$ ) ( $r \ominus q$ )
and distances: ( $x > 0$ )  $\wedge$  ( $y > 0$ )  $\wedge$  ( $\text{sep2 } p \text{ } q \leq \text{sqr } x$ )  $\wedge$  ( $\text{sep2 } r \text{ } q < \text{sqr } y$ )
shows  $r$  within ( $x+y$ ) of  $p$ 
⟨proof⟩

```

end

end

14 AxTriangleInequality

This theory declares the Triangle Inequality as an axiom.

```

theory AxTriangleInequality
  imports Norms
begin

```

Although *AxTriangleInequality* can be proven rather than asserted we have left it as an axiom to illustrate the flexibility of using Isabelle for mathematical physics: well-known mathematical results can be asserted, leaving the researcher free to concentrate on the physics. We can return later to prove the mathematical results when time permits.

```

class AxTriangleInequality = Norms +
  assumes AxTriangleInequality:  $\forall p \text{ } q . \text{ } \text{axTriangleInequality } p \text{ } q$ 
begin
end

```

end

15 Sublemma3

This theory establishes how closely tangent lines approximate world lines.

```

theory Sublemma3
  imports WorldLine AxTriangleInequality TangentLines
begin

```

```

class Sublemma3 = WorldLine + AxTriangleInequality + TangentLines
begin

```

```

lemma sublemma3:
assumes onLine p l
and norm2 p = 1
and tangentLine l wl origin
shows
 $\forall \varepsilon > 0 . \exists \delta > 0 . \forall y ny . ($ 
 $((y \text{ within } \delta \text{ of } origin) \wedge (y \neq origin) \wedge (y \in wl) \wedge (\text{norm } y = ny))$ 
 $\longrightarrow$ 
 $((((1/ny)\otimes y) \text{ within } \varepsilon \text{ of } p) \vee (((-1/ny)\otimes y) \text{ within } \varepsilon \text{ of } p))$ 
)
⟨proof⟩

```

```

lemma sublemma3Translation:
assumes onLine p l
and norm2 (p ⊖ x) = 1
and tangentLine l wl x
shows  $\forall \varepsilon > 0 . \exists \delta > 0 . \forall y nyx .$ 
 $((y \text{ within } \delta \text{ of } x) \wedge (y \neq x) \wedge (y \in wl) \wedge (\text{norm } (y \ominus x) = nyx))$ 
 $\longrightarrow$ 
 $((((1/nyx)\otimes(y \ominus x)) \text{ within } \varepsilon \text{ of } (p \ominus x))$ 
 $\vee (((-1/nyx)\otimes(y \ominus x)) \text{ within } \varepsilon \text{ of } (p \ominus x))$ 
⟨proof⟩

```

end

end

16 Vectors

In this theory we define dot-products, and explain what we mean by timelike, lightlike (null), causal and spacelike vectors.

```

theory Vectors
  imports Norms
begin

class Vectors = Norms
begin

fun dot :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  'a ( $\langle - \odot - \rangle$ )
  where dot u v = (tval u)*(tval v) + (xval u)*(xval v) +
    (yval u)*(yval v) + (zval u)*(zval v)

```

```

fun sdot :: 'a Space  $\Rightarrow$  'a Space  $\Rightarrow$  'a ( $\langle - \odot s - \rangle$ )
  where sdot u v = (svalx u)*(svalx v) + (svaly u)*(svaly v) + (svalz
u)*(svalz v)

fun mdot :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  'a ( $\langle - \odot m - \rangle$ )
  where mdot u v = (tval u)*(tval v) - ((sComponent u)  $\odot s$  (sComponent
v))

abbreviation timelike :: 'a Point  $\Rightarrow$  bool
  where timelike p  $\equiv$  mNorm2 p  $> 0$ 

abbreviation lightlike :: 'a Point  $\Rightarrow$  bool
  where lightlike p  $\equiv$  (p  $\neq$  origin  $\wedge$  mNorm2 p  $= 0$ )

abbreviation spacelike :: 'a Point  $\Rightarrow$  bool
  where spacelike p  $\equiv$  mNorm2 p  $< 0$ 

abbreviation causal :: 'a Point  $\Rightarrow$  bool
  where causal p  $\equiv$  timelike p  $\vee$  lightlike p

abbreviation orthog :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool
  where orthog p q  $\equiv$  (p  $\odot$  q)  $= 0$ 

abbreviation orthogs :: 'a Space  $\Rightarrow$  'a Space  $\Rightarrow$  bool
  where orthogs p q  $\equiv$  (p  $\odot s$  q)  $= 0$ 

abbreviation orthogm :: 'a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool
  where orthogm p q  $\equiv$  (p  $\odot m$  q)  $= 0$ 

```

lemma lemDotDecomposition:
shows (*u* \odot *v*) $=$ (tval *u* * tval *v*) + ((sComponent *u*) $\odot s$ (sComponent
v))
 $\langle proof \rangle$

lemma lemDotCommute: dot *u v* $=$ dot *v u*
 $\langle proof \rangle$

lemma lemDotScaleLeft: dot (*a* \otimes *u*) *v* $=$ *a* * (dot *u v*)
 $\langle proof \rangle$

lemma lemDotScaleRight: dot *u* (*a* \otimes *v*) $=$ *a* * (dot *u v*)
 $\langle proof \rangle$

lemma *lemDotSumLeft*: $\text{dot}(u \oplus v) w = (\text{dot} u w) + (\text{dot} v w)$
(proof)

lemma *lemDotSumRight*: $\text{dot} u (v \oplus w) = (\text{dot} u v) + (\text{dot} u w)$
(proof)

lemma *lemDotDiffLeft*: $\text{dot}(u \ominus v) w = (\text{dot} u w) - (\text{dot} v w)$
(proof)

lemma *lemDotDiffRight*: $\text{dot} u (v \ominus w) = (\text{dot} u v) - (\text{dot} u w)$
(proof)

lemma *lemNorm2OfSum*: $\text{norm2}(u \oplus v) = \text{norm2} u + 2*(u \odot v)$
 $+ \text{norm2} v$
(proof)

lemma *lemSDotCommute*: $s\text{dot} u v = s\text{dot} v u$
(proof)

lemma *lemSDotScaleLeft*: $s\text{dot}(a \otimes s u) v = a * (s\text{dot} u v)$
(proof)

lemma *lemSDotScaleRight*: $s\text{dot} u (a \otimes s v) = a * (s\text{dot} u v)$
(proof)

lemma *lemSDotSumLeft*: $s\text{dot}(u \oplus s v) w = (s\text{dot} u w) + (s\text{dot} v w)$
(proof)

lemma *lemSDotSumRight*: $s\text{dot} u (v \oplus s w) = (s\text{dot} u v) + (s\text{dot} u w)$
(proof)

lemma *lemSDotDiffLeft*: $s\text{dot}(u \ominus s v) w = (s\text{dot} u w) - (s\text{dot} v w)$
(proof)

lemma *lemSDotDiffRight*: $s\text{dot} u (v \ominus s w) = (s\text{dot} u v) - (s\text{dot} u w)$
(proof)

lemma *lemMDotDiffLeft*: $m\text{dot}(u \ominus v) w = (m\text{dot} u w) - (m\text{dot} v w)$
(proof)

lemma *lemMDotSumLeft*: $m\text{dot}(u \oplus v) w = (m\text{dot} u w) + (m\text{dot} v w)$
(proof)

```

lemma lemMDotScaleLeft:  $m\text{dot} (a \otimes u) v = a * (m\text{dot} u v)$ 
 $\langle proof \rangle$ 

lemma lemMDotScaleRight:  $m\text{dot} u (a \otimes v) = a * (m\text{dot} u v)$ 
 $\langle proof \rangle$ 

lemma lemSNorm2OfSum:  $s\text{Norm2} (u \oplus s v) = s\text{Norm2} u + 2*(u \odot s v) + s\text{Norm2} v$ 
 $\langle proof \rangle$ 

lemma lemSNormNonNeg:
  shows  $s\text{Norm } v \geq 0$ 
 $\langle proof \rangle$ 

lemma lemMNorm2OfSum:  $m\text{Norm2} (u \oplus v) = m\text{Norm2} u + 2*(u \odot m v) + m\text{Norm2} v$ 
 $\langle proof \rangle$ 

lemma lemMNorm2OfDiff:  $m\text{Norm2} (u \ominus v) = m\text{Norm2} u - 2*(u \odot m v) + m\text{Norm2} v$ 
 $\langle proof \rangle$ 

lemma lemMNorm2Decomposition:  $m\text{Norm2} p = (p \odot m p)$ 
 $\langle proof \rangle$ 

lemma lemMDecomposition:
  assumes  $(u \odot m v) \neq 0$ 
  and  $m\text{Norm2} v \neq 0$ 
  and  $a = (u \odot m v) / (m\text{Norm2} v)$ 
  and  $up = (a \otimes v)$ 
  and  $uo = (u \ominus up)$ 
  shows  $u = (up \oplus uo) \wedge \text{parallel } up v \wedge \text{orthogm } uo v \wedge (up \odot m v) = (u \odot m v)$ 
 $\langle proof \rangle$ 

end

```

```
end
```

17 CauchySchwarz

This theory defines and proves the Cauchy-Schwarz inequality for both spatial and spacetime vectors.

```
theory CauchySchwarz
  imports Vectors
begin
```

We essentially prove the same result twice, once for 3-dimensional spatial points, and once for 4-dimensional spacetime points. While this is clearly inefficient, it keeps things straightforward for non-Isabelle experts.

```
class CauchySchwarz = Vectors
begin
```

```
lemma lemCauchySchwarz4:
  shows abs (dot u v) ≤ (norm u)*(norm v)
  ⟨proof⟩
```

```
lemma lemCauchySchwarzSqr4:
  shows sqr(dot u v) ≤ (norm2 u)*(norm2 v)
  ⟨proof⟩
```

```
lemma lemCauchySchwarz:
  shows abs (sdot u v) ≤ (sNorm u)*(sNorm v)
  ⟨proof⟩
```

```
lemma lemCauchySchwarzSqr:
  shows sqr(sdot u v) ≤ (sNorm2 u)*(sNorm2 v)
  ⟨proof⟩
```

```
lemma lemCauchySchwarzEquality:
  assumes sqr (sdot u v) = (sNorm2 u)*(sNorm2 v)
  and      u ≠ sOrigin ∧ v ≠ sOrigin
```

```

shows  $\exists a \neq 0 . u = (a \otimes s v)$ 
⟨proof⟩

```

```

lemma lemCauchySchwarzEqualityInUnitSphere:
  assumes (sNorm2 u ≤ 1) ∧ (sNorm2 v ≤ 1)
  and      sdot u v = 1
  shows    u = v
  ⟨proof⟩

```

```

lemma lemCausalOrthogmToLightlikeImpliesParallel:
  assumes causal p
  and      lightlike q
  and      orthogm p q
  shows    parallel p q
  ⟨proof⟩

```

```
end
```

```
end
```

18 Matrices

This theory defines 4×4 matrices.

```

theory Matrices
  imports Vectors
begin

record 'a Matrix =
  trow :: 'a Point
  xrow :: 'a Point
  yrow :: 'a Point
  zrow :: 'a Point

class Matrices = Vectors
begin

fun applyMatrix :: 'a Matrix ⇒ 'a Point ⇒ 'a Point
  where applyMatrix m p = () tval = dot (trow m) p, xval = dot (xrow m) p,
          yval = dot (yrow m) p, zval = dot (zrow m) p ()

fun tcol :: 'a Matrix ⇒ 'a Point
  where tcol m = () tval = tval (trow m), xval = tval (xrow m),
          yval = tval (yrow m), zval = tval (zrow m)

```

```

 $yval = tval(yrow m), zval = tval(zrow m) \parallel$ 

fun xcol :: 'a Matrix  $\Rightarrow$  'a Point
  where xcol m = ()  $tval = xval(trow m), xval = xval(xrow m),$ 
         $yval = xval(yrow m), zval = xval(zrow m) \parallel$ 

fun ycol :: 'a Matrix  $\Rightarrow$  'a Point
  where ycol m = ()  $tval = yval(trow m), xval = yval(xrow m),$ 
         $yval = yval(yrow m), zval = yval(zrow m) \parallel$ 

fun zcol :: 'a Matrix  $\Rightarrow$  'a Point
  where zcol m = ()  $tval = zval(trow m), xval = zval(xrow m),$ 
         $yval = zval(yrow m), zval = zval(zrow m) \parallel$ 

fun transpose :: 'a Matrix  $\Rightarrow$  'a Matrix
  where transpose m = ()  $trow = (tcol m), xrow = (xcol m),$ 
         $yrow = (ycol m), zrow = (zcol m) \parallel$ 

fun mprod :: 'a Matrix  $\Rightarrow$  'a Matrix  $\Rightarrow$  'a Matrix
  where mprod m1 m2 =
    transpose ()  $trow = applyMatrix m1(tcol m2), xrow =$ 
    applyMatrix m1 (xcol m2),
    yrow = applyMatrix m1 (ycol m2), zrow =
    applyMatrix m1 (zcol m2) \parallel

end

end

```

19 LinearMaps

This theory defines linear maps and establishes their main properties.

```

theory LinearMaps
  imports Functions CauchySchwarz Matrices
begin

```

```

class LinearMaps = Functions + CauchySchwarz + Matrices
begin

```

```

abbreviation linear :: ('a Point  $\Rightarrow$  'a Point)  $\Rightarrow$  bool where

```

$$\begin{aligned} \text{linear } L \equiv & (L \text{ origin} = \text{origin}) \\ & \wedge (\forall a p . L(a \otimes p) = (a \otimes (L p))) \\ & \wedge (\forall p q . L(p \oplus q) = ((L p) \oplus (L q))) \\ & \wedge (\forall p q . L(p \ominus q) = ((L p) \ominus (L q))) \end{aligned}$$

```
lemma lemLinearProps:
  assumes linear L
  shows (L origin = origin)  $\wedge$  (L(a  $\otimes$  p) = (a  $\otimes$  (L p)))
     $\wedge$  (L(p  $\oplus$  q) = ((L p)  $\oplus$  (L q)))
     $\wedge$  (L(p  $\ominus$  q) = ((L p)  $\ominus$  (L q)))
  (proof)
```

```
lemma lemMatrixApplicationIsLinear: linear (applyMatrix m)
(proof)
```

```
lemma lemLinearIsMatrixApplication:
  assumes linear L
  shows  $\exists m . L = (\text{applyMatrix } m)$ 
(proof)
```

```
lemma lemLinearIffMatrix: linear L  $\longleftrightarrow$  ( $\exists M . L = \text{applyMatrix } M$ )
(proof)
```

```
lemma lemIdIsLinear: linear id
(proof)
```

```
lemma lemLinearIsBounded:
  assumes linear L
  shows bounded L
(proof)
```

```
lemma lemLinearIsCts:
```

```

assumes linear L
shows cts (asFunc L) x
⟨proof⟩

lemma lemLinOfLinIsLin:
assumes (linear A) ∧ (linear B)
shows linear (B ∘ A)
⟨proof⟩

lemma lemInverseLinear:
assumes linear A
and invertible A
shows ∃ A'. (linear A') ∧ (∀ p q. A p = q ↔ A' q = p)
⟨proof⟩

end

end

```

20 Affine

This theory defines affine transformations and established their key properties.

```

theory Affine
  imports Translations LinearMaps
begin

  class Affine = Translations + LinearMaps
  begin

    abbreviation affine :: ('a Point ⇒ 'a Point) ⇒ bool
    where affine A ≡ ∃ L T . (linear L) ∧ (translation T) ∧ (A = T ∘ L)

    abbreviation affInvertible :: ('a Point ⇒ 'a Point) ⇒ bool
    where affInvertible A ≡ affine A ∧ invertible A

```

```

abbreviation isLinearPart :: ('a Point  $\Rightarrow$  'a Point)  $\Rightarrow$  ('a Point  $\Rightarrow$  'a Point)  $\Rightarrow$  bool
where isLinearPart A L  $\equiv$  (affine A)  $\wedge$  (linear L)  $\wedge$ 
      ( $\exists$  T. (translation T  $\wedge$  A = T  $\circ$  L))

abbreviation isTranslationPart :: ('a Point  $\Rightarrow$  'a Point)  $\Rightarrow$  ('a Point  $\Rightarrow$  'a Point)  $\Rightarrow$  bool
where isTranslationPart A T  $\equiv$  (affine A)  $\wedge$  (translation T)  $\wedge$ 
      ( $\exists$  L. (linear L  $\wedge$  A = T  $\circ$  L))

```

20.1 Affine approximation

A key concept in the proof is affine approximation. We will eventually assert that worldview transformation can be approximated by invertible affine transformations.

```

abbreviation affineApprox :: ('a Point  $\Rightarrow$  'a Point)  $\Rightarrow$ 
                  ('a Point  $\Rightarrow$  'a Point  $\Rightarrow$  bool)  $\Rightarrow$ 
                  'a Point  $\Rightarrow$  bool
where affineApprox A f x  $\equiv$  (isFunction f)  $\wedge$ 
        (affInvertible A)  $\wedge$  (diffApprox (asFunc A) f x)

```

```

fun applyAffineToLine :: ('a Point  $\Rightarrow$  'a Point)
                     $\Rightarrow$  'a Point set  $\Rightarrow$  'a Point set  $\Rightarrow$  bool
where applyAffineToLine A l l'  $\longleftrightarrow$  (affine A)  $\wedge$ 
        ( $\exists$  T L b d . ((linear L)  $\wedge$  (translation T)  $\wedge$  (A = T  $\circ$  L)  $\wedge$ 
        (l = line b d)  $\wedge$  (l' = (line (A b) (L d)))))

```

```

abbreviation affConstantOn :: ('a Point  $\Rightarrow$  'Point)  $\Rightarrow$  'a Point  $\Rightarrow$ 
                    'a Point set  $\Rightarrow$  bool
where affConstantOn A x s  $\equiv$  ( $\exists$   $\varepsilon > 0$ .  $\forall$  y  $\in$  s. (y within  $\varepsilon$  of x)  $\longrightarrow$ 
        ((A y) = (A x)))

```

```

lemma lemTranslationPartIsUnique:
  assumes isTranslationPart A T1
  and      isTranslationPart A T2
  shows    T1 = T2
  {proof}

```

```

lemma lemLinearPartIsUnique:

```

```

assumes isLinearPart A L1
and      isLinearPart A L2
shows    L1 = L2
{proof}

```

```

lemma lemLinearImpliesAffine:
assumes linear L
shows   affine L
{proof}

```

```

lemma lemTranslationImpliesAffine:
assumes translation T
shows   affine T
{proof}

```

```

lemma lemAffineDiff:
assumes linear L
and        $\exists T . ((\text{translation } T) \wedge (A = T \circ L))$ 
shows    $((A p) \ominus (A q)) = L(p \ominus q)$ 
{proof}

```

```

lemma lemAffineImpliesTotalFunction:
assumes affine A
shows   isTotalFunction (asFunc A)
{proof}

```

```

lemma lemAffineEqualAtBase:
assumes affineApprox A f x
shows    $\forall y. (f x y) \longleftrightarrow (y = A x)$ 
{proof}

```

```

lemma lemAffineOfPointOnLine:
assumes (linear L) \wedge (translation T) \wedge (A = T \circ L)
and        $x = (b \oplus (a \otimes d))$ 
shows    $A x = ((A b) \oplus (a \otimes (L d)))$ 
{proof}

```

```

lemma lemAffineOfLineIsLine:
assumes isLine l
shows    $(\text{applyAffineToLine } A l l') \longleftrightarrow (\text{affine } A \wedge l' = \text{applyToSet}$ 

```

```
(asFunc A) l
⟨proof⟩
```

```
lemma lemOnLineUnderAffine:
  assumes (affine A) ∧ (onLine p l)
  shows   onLine (A p) (applyToSet (asFunc A) l)
  ⟨proof⟩
```

```
lemma lemLineJoiningUnderAffine:
  assumes affine A
  shows   applyToSet (asFunc A) (lineJoining p q) = lineJoining (A
  p) (A q)
  ⟨proof⟩
```

```
lemma lemAffineIsCts:
  assumes affine A
  shows   cts (asFunc A) x
  ⟨proof⟩
```

```
lemma lemAffineContinuity:
  assumes affine A
  shows ∀ x. ∀ ε>0. ∃ δ>0 . ∀ p. (p within δ of x) → ((A p) within
  ε of (A x))
  ⟨proof⟩
```

```
lemma lemAffOfAffIsAff:
  assumes (affine A) ∧ (affine B)
  shows   affine (B ∘ A)
  ⟨proof⟩
```

```
lemma lemInverseAffine:
  assumes affInvertible A
  shows   ∃ A'. (affine A') ∧ (∀ p q . A p = q ↔ A' q = p)
  ⟨proof⟩
```

```
lemma lemAffineApproxDomainTranslation:
```

```

assumes translation T
and      affineApprox A f x
and       $\forall p q . T p = q \longleftrightarrow T' q = p$ 
shows    affineApprox (A o T) (composeRel f (asFunc T)) (T' x)
⟨proof⟩

```

```

lemma lemAffineApproxRangeTranslation:
assumes translation T
and      affineApprox A f x
shows    affineApprox (T o A) (composeRel (asFunc T) f) x
⟨proof⟩

```

```

lemma lemAffineIdentity:
assumes affine A
and      e > 0
and       $\forall y . (y \text{ within } e \text{ of } x) \longrightarrow (A y = y)$ 
shows    A = id
⟨proof⟩

```

end

end

21 Sublemma4

This theory shows that functions with affine approximations are continuous where approximated.

```

theory Sublemma4
  imports Affine AxTriangleInequality
begin

```

Our naming of lemmas, propositions, etc., is sometimes counterintuitive. This is because the proof follows a hand-written proof, and we need to maintain the link between the paper-based and Isabelle versions. We will specifically be discussing how we translated from one to the other in a forthcoming paper (under construction). In fact, sublemmas 1 and 2 were eventually found to be unnecessary during construction of the Isabelle proof, and so do not appear in this documentation.

```
class Sublemma4 = Affine + AxTriangleInequality
```

```

begin

lemma sublemma4:
  assumes affineApprox A f x
  shows ( $\exists \delta > 0. \forall p. (p \text{ within } \delta \text{ of } x) \longrightarrow (\text{definedAt } f p)) \wedge (\text{cts } f x)$ 
  ⟨proof⟩

end

end

```

22 MainLemma

This theory establishes conditions under which a function maps tangent lines to tangent lines.

```

theory MainLemma
  imports Sublemma3 Sublemma4
begin

class MainLemma = Sublemma3 + Sublemma4
begin

lemma lemMainLemmaBasic:
  assumes tgt:   tangentLine l wl origin
  and   injf:   injective f
  and   affapp: affineApprox A f origin
  and   f00:    f origin origin
  and   ctsf'0: cts (invFunc f) origin
  and   affine: applyAffineToLine A l l'
  shows   tangentLine l' (applyToSet f wl) origin
  ⟨proof⟩

lemma lemMainLemmaOrigin:
  assumes tgtx:   tangentLine l wl x
  and   injf:   injective f
  and   affappx: affineApprox A f x
  and   fx0:    f x origin
  and   ctsf'0: cts (invFunc f) origin
  and   affine: applyAffineToLine A l l'
  shows   tangentLine l' (applyToSet f wl) origin
  ⟨proof⟩

```

$\langle proof \rangle$

```

lemma lemMainLemma:
  assumes tgtx: tangentLine l wl x
  and     injf: injective f
  and     affappx: affineApprox A f x
  and     fxy: f x y
  and     ctsf'y: cts (invFunc f) y
  and     affine: applyAffineToLine A l l'
  shows   tangentLine l' (applyToSet f wl) y
   $\langle proof \rangle$ 

```

end

end

23 AXIOM: AxDiff

This theory declares the axiom AxDiff.

```

theory AxDiff
  imports Affine WorldView
begin

```

AxDiff: Worldview transformations are differentiable wherever they are defined - they can be approximated locally by affine transformations.

```

class axDiff = Affine + WorldView
begin
  abbreviation axDiff :: Body  $\Rightarrow$  Body  $\Rightarrow$  'a Point  $\Rightarrow$  bool
    where axDiff m k p  $\equiv$  (definedAt (wvtFunc m k) p)
            $\longrightarrow$  ( $\exists$  A . (affineApprox A (wvtFunc m k) p ))
end

```

```

class AxDiff = axDiff +
  assumes AxDiff:  $\forall$  m k p . axDiff m k p
begin
end

end

```

24 TangentLineLemma

This theory shows that affine approximations map tangent lines to tangent lines.

```
theory TangentLineLemma
  imports MainLemma AxDiff Cones
begin

  class TangentLineLemma = MainLemma + AxDiff + Cones
  begin

    lemma lemWVTImpliesFunction: isFunction (wvtFunc k h)
    ⟨proof⟩

    lemma lemWVTCts:
      assumes definedAt (wvtFunc h k) p
      shows cts (wvtFunc h k) p
    ⟨proof⟩

    lemma lemWVTInverse: invFunc (wvtFunc k h) = wvtFunc h k
    ⟨proof⟩

    lemma lemWVTInverseCts:
      assumes wvtFunc k h p q
      shows cts (wvtFunc h k) q
    ⟨proof⟩

    lemma lemWVTInjective: injective (wvtFunc k h)
    ⟨proof⟩

    lemma lemPresentation:
      assumes x ∈ wline m b
      and   tangentLine l (wline m b) x
      and   affineApprox A (wvtFunc m k) x
      and   wvtFunc m k x y
      and   applyAffineToLine A l l'
      shows  tangentLine l' (wline k b) y
    ⟨proof⟩
```

```

lemma lemTangentLines:
  assumes affineApprox A (wvtFunc m k) x
  and      tl l m b x
  and      applyAffineToLine A l l'
  and      wvtFunc m k x y
  shows   tl l' k b y
  ⟨proof⟩

```

```

lemma lemSelfTangentIsTimeAxis:
  assumes tangentLine l (wline k k) x
  shows   l = timeAxis
  ⟨proof⟩

```

```

lemma lemTangentLineUnique:
  assumes tl l1 m k x
  and      tl l2 m k x
  and      affineApprox A (wvtFunc m k) x
  and      wvtFunc m k x y
  and      x ∈ wline m k
  shows   l1 = l2
  ⟨proof⟩

```

end

end

25 Proposition2

This theory shows that affine approximations map surfaces of cones to (subsets of) surfaces of cones.

```

theory Proposition2
  imports TangentLineLemma
begin

```

```

class Proposition2 = TangentLineLemma
begin

lemma lemProposition2:
  assumes affineApprox A (wvtFunc m k) x
  shows   applyToSet (asFunc A) (coneSet m x) ⊆ coneSet k (A x)

```

$\langle proof \rangle$

end

end

26 AXIOM: AxEventMinus

This theory declares the axiom AxEventMinus

```
theory AxEventMinus
  imports WorldView
begin
```

AxEventMinus: An observer encounters the events in which they are observed.

```
class axEventMinus = WorldView
begin

  abbreviation axEventMinus :: Body  $\Rightarrow$  Body  $\Rightarrow$  'a Point  $\Rightarrow$  bool
    where axEventMinus m k p  $\equiv$  (m sees k at p)
       $\longrightarrow$  ( $\exists$  q .  $\forall$  b . ( (m sees b at p)  $\longleftrightarrow$  (k sees b at q)))
```

end

```
class AxEventMinus = axEventMinus +
  assumes AxEventMinus:  $\forall$  m k p . axEventMinus m k p
begin
end
```

end

27 Proposition3

This theory collects together earlier results to show that world-view transformations can be approximated by affine transformations that have various useful properties.

```
theory Proposition3
  imports Proposition1 Proposition2 AxEventMinus
begin
```

```

class Proposition3 = Proposition1 + Proposition2 + AxEventMinus
begin

lemma lemProposition3:
  assumes m sees k at x
  shows  $\exists A y . (wvtFunc m k x y)$ 
          $\wedge (affineApprox A (wvtFunc m k) x)$ 
          $\wedge (applyToSet (asFunc A) (coneSet m x) \subseteq coneSet k y)$ 
          $\wedge (coneSet k y = regularConeSet y)$ 
  {proof}

end
end

```

28 ObserverConeLemma

This theory gives sufficient conditions for an observed observer's cone to appear upright to that observer.

```

theory ObserverConeLemma
  imports Proposition3
begin

class ObserverConeLemma = Proposition3
begin

lemma lemConeOfObserved:
  assumes affineApprox A (wvtFunc m k) x
  and      m sees k at x
  shows    coneSet k (A x) = regularConeSet (A x)
  {proof}

end
end

```

29 Quadratics

This theory shows how to find the roots of a quadratic, assuming that roots exist (AxEFField).

```

theory Quadratics
  imports Functions AxEFField
begin

  class Quadratics = Functions + AxEFField
  begin

    abbreviation quadratic :: 'a ⇒ 'a ⇒ 'a ⇒ ('a ⇒ 'a)
      where quadratic a b c ≡ λ x . a*(sqr x) + b*x + c

    abbreviation qroot :: 'a ⇒ 'a ⇒ 'a ⇒ bool
      where qroot a b c r ≡ (quadratic a b c) r = 0

    abbreviation qroots :: 'a ⇒ 'a ⇒ 'a ⇒ 'a set
      where qroots a b c ≡ { r . qroot a b c r }

    abbreviation discriminant :: 'a ⇒ 'a ⇒ 'a ⇒ 'a
      where discriminant a b c ≡ (sqr b) - 4*a*c

    abbreviation qcase1 :: 'a ⇒ 'a ⇒ 'a ⇒ bool
      where qcase1 a b c ≡ (a = 0 ∧ b = 0 ∧ c = 0)
    abbreviation qcase2 :: 'a ⇒ 'a ⇒ 'a ⇒ bool
      where qcase2 a b c ≡ (a = 0 ∧ b = 0 ∧ c ≠ 0)
    abbreviation qcase3 :: 'a ⇒ 'a ⇒ 'a ⇒ bool
      where qcase3 a b c ≡ (a = 0 ∧ b ≠ 0 ∧ (c = 0 ∨ c ≠ 0))
    abbreviation qcase4 :: 'a ⇒ 'a ⇒ 'a ⇒ bool
      where qcase4 a b c ≡ (a ≠ 0 ∧ discriminant a b c < 0)
    abbreviation qcase5 :: 'a ⇒ 'a ⇒ 'a ⇒ bool
      where qcase5 a b c ≡ (a ≠ 0 ∧ discriminant a b c = 0)
    abbreviation qcase6 :: 'a ⇒ 'a ⇒ 'a ⇒ bool
      where qcase6 a b c ≡ (a ≠ 0 ∧ discriminant a b c > 0)

  lemma lemQuadRootCondition:
    assumes a ≠ 0
    shows (sqr (2*a*r + b) = discriminant a b c) ←→ qroot a b c r
  ⟨proof⟩

  lemma lemQuadraticCasesComplete:
    shows qcase1 a b c ∨ qcase2 a b c ∨ qcase3 a b c ∨ qcase4 a b c ∨
           qcase5 a b c ∨ qcase6 a b c
  ⟨proof⟩

```

```

lemma lemQCase1:
  assumes qcase1 a b c
  shows  $\forall r . \text{qroot } a b c r$ 
   $\langle proof \rangle$ 

lemma lemQCase2:
  assumes qcase2 a b c
  shows  $\neg (\exists r . \text{qroot } a b c r)$ 
   $\langle proof \rangle$ 

lemma lemQCase3:
  assumes qcase3 a b c
  shows  $\text{qroot } a b c r \longleftrightarrow r = -c/b$ 
   $\langle proof \rangle$ 

lemma lemQCase4:
  assumes qcase4 a b c
  shows  $\neg (\exists r . \text{qroot } a b c r)$ 
   $\langle proof \rangle$ 

lemma lemQCase5:
  assumes qcase5 a b c
  shows  $\text{qroot } a b c r \longleftrightarrow r = -b/(2*a)$ 
   $\langle proof \rangle$ 

lemma lemQCase6:
  assumes qcase6 a b c
  and rd = sqrt (discriminant a b c)
  and rp =  $((-b) + rd) / (2*a)$ 
  and rm =  $((-b) - rd) / (2*a)$ 
  shows  $(rp \neq rm) \wedge \text{qroots } a b c = \{ rp, rm \}$ 
   $\langle proof \rangle$ 

lemma lemQuadraticRootCount:
  assumes  $\neg(\text{qcase1 } a b c)$ 
  shows finite (qroots a b c)  $\wedge \text{card } (\text{qroots } a b c) \leq 2$ 
   $\langle proof \rangle$ 

```

```
end
```

```
end
```

30 Classification

This theory explains how to establish whether a point lies inside, on or outside a cone.

```
theory Classification
  imports Cones Quadratics CauchySchwarz
begin
```

We want to establish where a point lies in relation to a cone, and will later show that this relationship is preserved under relevant affine transformations. We therefore need a classification scheme that relies on purely affine concepts. To do this we consider lines that can be drawn through the point, and ask how many points lie in the intersection of such a line and the cone.

```
class Classification = Cones + Quadratics + CauchySchwarz
begin
```

```
abbreviation vertex :: 'a Point ⇒ 'a Point ⇒ bool
  where vertex x p ≡ (x = p)
```

```
abbreviation insideRegularCone :: 'a Point ⇒ 'a Point ⇒ bool
  where insideRegularCone x p ≡
    (slopeFinite x p) ∧ (∃ v ∈ lineVelocity (lineJoining x p) . sNorm2
    v < 1)
```

```
abbreviation outsideRegularCone :: 'a Point ⇒ 'a Point ⇒ bool
  where outsideRegularCone x p ≡
    (x ≠ p) ∧
    ((slopeInfinite x p) ∨ (∃ v ∈ lineVelocity (lineJoining x p) .
    sNorm2 v > 1))
```

```
abbreviation onRegularCone :: 'a Point ⇒ 'a Point ⇒ bool
  where onRegularCone x p ≡ (x = p) ∨ (∃ v ∈ lineVelocity (lineJoining
  x p) . sNorm2 v = 1)
```

```

lemma lemDrtnLineJoining:
  assumes l = lineJoining x p
  and      x ≠ p
  shows   (p ⊕ x) ∈ drtn l
  ⟨proof⟩

lemma lemVelocityLineJoining:
  assumes l = lineJoining x p
  and      v = velocityJoining origin (p ⊕ x)
  and      x ≠ p
  shows   v ∈ lineVelocity l
  ⟨proof⟩

lemma lemSlopeLineJoining:
  assumes l = lineJoining p q
  and      p ≠ q
  shows   lineSlopeFinite l ↔ slopeFinite p q
  ⟨proof⟩

lemma lemVelocityJoiningUsingPoints:
  assumes p ≠ q
  shows   velocityJoining p q = velocityJoining origin (q⊕p)
  ⟨proof⟩

lemma lemLineVelocityNonZeroImpliesFinite:
  assumes u ∈ lineVelocity l
  and      sNorm2 u ≠ 0
  shows   lineSlopeFinite l
  ⟨proof⟩

lemma lemLineVelocityUsingPoints:
  assumes slopeFinite p q
  and      onLine p l ∧ onLine q l
  shows   lineVelocity l = { velocityJoining p q }
  ⟨proof⟩

```

```

lemma lemSNorm2VelocityJoining:
  assumes slopeFinite x p
  and      v = velocityJoining x p
  shows   sqr (tval p - tval x) * sNorm2 v = sNorm2 (sComponent
(p ⊕ x))
⟨proof⟩

lemma lemOrthogonalSpaceVectorExists:
  shows   ∃ w . (w ≠ sOrigin) ∧ (w ⊙ s v) = 0
⟨proof⟩

lemma lemNonParallelVectorsExist:
  shows   ∃ w . ((w ≠ origin) ∧ (tval v = tval w)) ∧ (¬ (∃ α . (α ≠
0) ∧ v = (α ⊗ w)))
⟨proof⟩

lemma lemConeContainsVertex:
  shows regularCone x x
⟨proof⟩

lemma lemConesExist:
  shows regularConeSet x ≠ {}
⟨proof⟩

lemma lemRegularCone:
  shows   ((x = p) ∨ onRegularCone x p) ←→ regularCone x p
⟨proof⟩

lemma lemSlopeInfiniteImpliesOutside:
  assumes x ≠ p
  and      slopeInfinite x p
  shows   ∃ l p' . (p' ≠ p) ∧ onLine p' l ∧ onLine p l
                           ∧ (l ∩ regularConeSet x = {})
⟨proof⟩

lemma lemClassification:
  shows (insideRegularCone x p) ∨ (vertex x p ∨ outsideRegularCone
x p ∨ onRegularCone x p)
⟨proof⟩

```

```

lemma lemQuadCoordinates:
  assumes  $p = (B \oplus (\alpha \otimes D))$ 
  and  $a = mNorm2 D$ 
  and  $b = 2*(tval(B \ominus x))*(tval D) - 2*((sComponent D) \odot s (sComponent(B \ominus x)))$ 
  and  $c = mNorm2 (B \ominus x)$ 
  shows  $sqr(tval(p \ominus x)) - sNorm2(sComponent(p \ominus x)) = a * (sqr \alpha)$ 
  +  $b * \alpha + c$ 
  ⟨proof⟩

lemma lemConeCoordinates:
  shows  $(onRegularCone x p \longleftrightarrow sqr(tval p - tval x) = sNorm2(sComponent(p \ominus x)))$ 
     $\wedge (insideRegularCone x p \longleftrightarrow sqr(tval p - tval x) > sNorm2(sComponent(p \ominus x)))$ 
     $\wedge (outsideRegularCone x p \longleftrightarrow sqr(tval p - tval x) < sNorm2(sComponent(p \ominus x)))$ 
  ⟨proof⟩

lemma lemConeCoordinates1:
  shows  $p \in regularConeSet x \longleftrightarrow norm2(p \ominus x) = 2 * sqr(tval p - tval x)$ 
  ⟨proof⟩

lemma lemWhereLineMeetsCone:
  assumes  $a = mNorm2 D$ 
  and  $b = 2*(tval(B \ominus x))*(tval D) - 2*((sComponent D) \odot s (sComponent(B \ominus x)))$ 
  and  $c = mNorm2 (B \ominus x)$ 
  shows  $qroot a b c \alpha \longleftrightarrow regularCone x (B \oplus (\alpha \otimes D))$ 
  ⟨proof⟩

lemma lemLineMeetsCone1:
  assumes  $\neg(x \in l)$ 
  and  $isLine l$ 
  and  $S = l \cap regularConeSet x$ 
  and  $l: l = line B D$ 
  and  $X: X = (B \ominus x)$ 
  and  $a: a = mNorm2 D$ 
  and  $b: b = 2*(tval X)*(tval D) - 2*((sComponent D) \odot s (sComponent X))$ 
  and  $c: c = mNorm2 X$ 
  shows  $(qcase1 a b c \longrightarrow S = \{B\})$ 

```

$\langle proof \rangle$

```
lemma lemLineMeetsCone2:  
  assumes  $\neg (x \in l)$   
  and       $isLine l$   
  and       $S = l \cap regularConeSet x$   
  and       $l: l = line B D$   
  and       $X: X = (B \ominus x)$   
  and       $a = mNorm2 D$   
  and       $b = 2*(tval(B \ominus x))*(tval D) - 2*((sComponent D) \odot s(sComponent(B \ominus x)))$   
  and       $c = mNorm2(B \ominus x)$   
  shows   $qcase2 a b c \longrightarrow S = \{\}$   
 $\langle proof \rangle$ 
```

```
lemma lemLineMeetsCone3:  
  assumes  $\neg (x \in l)$   
  and       $isLine l$   
  and       $S = l \cap regularConeSet x$   
  and       $l: l = line B D$   
  and       $X: X = (B \ominus x)$   
  and       $a: a = mNorm2 D$   
  and       $b: b = 2*(tval X)*(tval D) - 2*((sComponent D) \odot s(sComponent X))$   
  and       $c: c = sqr(tval X) - sNorm2(sComponent X)$   
  and       $y3: y3 = (B \oplus ((-c/b) \otimes D))$   
  shows   $qcase3 a b c \longrightarrow S = \{y3\}$   
 $\langle proof \rangle$ 
```

```
lemma lemLineMeetsCone4:  
  assumes  $\neg (x \in l)$   
  and       $isLine l$   
  and       $S = l \cap regularConeSet x$   
  and       $l: l = line B D$   
  and       $X: X = (B \ominus x)$   
  and       $a: a = mNorm2 D$   
  and       $b: b = 2*(tval X)*(tval D) - 2*((sComponent D) \odot s(sComponent X))$   
  and       $c: c = sqr(tval X) - sNorm2(sComponent X)$   
  shows   $(qcase4 a b c \longrightarrow S = \{\})$   
 $\langle proof \rangle$ 
```

```

lemma lemLineMeetsCone5:
  assumes  $\neg (x \in l)$ 
  and       $isLine l$ 
  and       $S = l \cap regularConeSet x$ 
  and       $l: l = line B D$ 
  and       $X: X = (B \ominus x)$ 
  and       $a: a = mNorm2 D$ 
  and       $b: b = 2*(tval X)*(tval D) - 2*((sComponent D) \odot s (sComponent X))$ 
  and       $c: c = sqr (tval X) - sNorm2 (sComponent X)$ 
  and       $y5: y5 = (B \oplus ((-b/(2*a)) \otimes D))$ 
  shows    $(qcase5 a b c \longrightarrow S = \{y5\})$ 
   $\langle proof \rangle$ 

```

```

lemma lemLineMeetsCone6:
  assumes  $\neg (x \in l)$ 
  and       $isLine l$ 
  and       $S = l \cap regularConeSet x$ 
  and       $l: l = line B D$ 
  and       $X: X = (B \ominus x)$ 
  and       $a: a = mNorm2 D$ 
  and       $b: b = 2*(tval X)*(tval D) - 2*((sComponent D) \odot s (sComponent X))$ 
  and       $c: c = sqr (tval X) - sNorm2 (sComponent X)$ 
  and       $ym: ym = (B \oplus (((-b - (sqrt (discriminant a b c))) / (2*a)) \otimes D))$ 
  and       $yp: yp = (B \oplus (((-b + (sqrt (discriminant a b c))) / (2*a)) \otimes D))$ 
  shows    $(qcase6 a b c \longrightarrow (ym \neq yp) \wedge S = \{ym, yp\})$ 
   $\langle proof \rangle$ 

```

```

lemma lemConeLemma1:
  assumes  $\neg (x \in l)$ 
  and       $isLine l$ 
  and       $S = l \cap regularConeSet x$ 
  shows    $finite S \wedge card S \leq 2$ 
   $\langle proof \rangle$ 

```

```

lemma lemConeLemma2:
  assumes  $\neg (regularCone x w)$ 
  shows    $\exists l . (onLine w l) \wedge (\neg (x \in l)) \wedge (card (l \cap (regularConeSet x)) = 2)$ 

```

(proof)

```
lemma lemLineInsideRegularConeHasFiniteSlope:  
  assumes insideRegularCone x p  
and      l = lineJoining x p  
shows    lineSlopeFinite l  
(proof)
```

```
lemma lemInvertibleOnMeet:  
  assumes invertible f  
and      S = A ∩ B  
shows    applyToSet (asFunc f) S = (applyToSet (asFunc f) A) ∩  
              (applyToSet (asFunc f) B)  
(proof)
```

```
lemma lemInsideCone:  
  shows insideRegularCone x p  $\longleftrightarrow$   
           $\neg(\text{vertex } x \text{ } p \vee \text{outsideRegularCone } x \text{ } p \vee \text{onRegularCone } x$   
          p)  
(proof)
```

```
lemma lemOnRegularConeIff:  
  assumes l = lineJoining x p  
  shows onRegularCone x p  $\longleftrightarrow$  (l ∩ regularConeSet x = l)  
(proof)
```

```
lemma lemOutsideRegularConeImplies:  
  shows outsideRegularCone x p  
          $\longrightarrow (\exists l \text{ } p' . (p' \neq p) \wedge \text{onLine } p' \text{ } l \wedge \text{onLine } p \text{ } l$   
          $\wedge (l \cap \text{regularConeSet } x = \{\}))$   
(proof)
```

```
lemma lemTimelikeInsideCone:  
  assumes insideRegularCone x p  
  shows timelike (p ⊕ x)  
(proof)
```

```
end  
end
```

31 ReverseCauchySchwarz

This theory defines and proves the "reverse" Cauchy-Schwarz inequality for timelike vectors in the Minkowski metric.

```
theory ReverseCauchySchwarz
  imports CauchySchwarz
begin
```

Rather than construct the proof, one could simply have asserted the claim as an axiom. We did this during development of the main proof, and then returned to this section later. In practice the axiom we chose to assert contained far more information than required, because we eventually found a proof that only required consideration of timelike vectors (our axiom considered lightlike vectors as well).

```
class ReverseCauchySchwarz = CauchySchwarz
begin
```

```
lemma lemTimelikeNotZeroTime:
  assumes timelike v
  shows tval v ≠ 0
  ⟨proof⟩
```

```
lemma lemOrthogmToTimelike:
  assumes timelike u
  and orthogm u v
  and v ≠ origin
  shows spacelike v
  ⟨proof⟩
```

```
lemma lemNormaliseTimelike:
  assumes timelike v
  and s = sComponent ((1/tval v)⊗v)
  shows (0 ≤ sNorm2 s < 1) ∧ (tval ((1/tval v)⊗v) = 1)
  ⟨proof⟩
```

```
lemma lemReverseCauchySchwarz:
  assumes timelike X ∧ timelike D
  shows sqr (X ⊕m D) ≥ (mNorm2 X)*(mNorm2 D)
  ⟨proof⟩
```

```
end
```

```
end
```

32 KeyLemma

This theory establishes a "key lemma": if you draw a line through a point inside a cone, that line will intersect the cone in no fewer than 1 and no more than 2 points.

```
theory KeyLemma
  imports Classification ReverseCauchySchwarz
begin

  class KeyLemma = Classification + ReverseCauchySchwarz
begin
```

```
lemma lemInsideRegularConeImplies:
  assumes insideRegularCone x p
  and   D ≠ origin
  and   l = line p D
  shows 0 < card (l ∩ regularConeSet x) ≤ 2
  ⟨proof⟩

end
end
```

33 Cardinalities

For our purposes the only relevant cardinalities are 0, 1, 2 and more-than-2 (a proxy for "infinite"). We will use these cardinalities when looking at how lines intersect cones, using the size of the intersection set to characterise whether points are inside, on or outside of lightcones.

```
theory Cardinalities
  imports Functions
begin

  class Cardinalities = Functions
begin

  lemma lemInjectiveValueUnique:
```

```

assumes injective f
and      isFunction f
and      f x y
shows    { q. f x q } = { y }
<proof>

```

```

lemma lemBijectionOnTwo:
assumes bijective f
and      isFunction f
and      s ⊆ domain f
and      card s = 2
shows    card (applyToSet f s) = 2
<proof>

```

```

lemma lemElementsOfSet2:
assumes card S = 2
shows   ∃ p q . (p ≠ q) ∧ p ∈ S ∧ q ∈ S
<proof>

```

```

lemma lemThirdElementOfSet2:
assumes (p ≠ q) ∧ p ∈ S ∧ q ∈ S ∧ (card S = 2)
and      r ∈ S
shows   p = r ∨ q = r
<proof>

```

```

lemma lemSmallCardUnderInvertible:
assumes invertible f
and      0 < card S ≤ 2
shows   card S = card (applyToSet (asFunc f) S)
<proof>

```

```

lemma lemCardOfLineIsBig:
assumes x ≠ p
and      onLine x l ∧ onLine p l
shows   ∃ p1 p2 p3 . (onLine p1 l ∧ onLine p2 l ∧ onLine p3 l)
                  ∧ (p1 ≠ p2 ∧ p2 ≠ p3 ∧ p3 ≠ p1)
<proof>

```

```

end
end

```

34 AffineConeLemma

This theory shows that affine approximations preserve "inside-ness" of points relative to cones.

```
theory AffineConeLemma
  imports KeyLemma TangentLineLemma Cardinalities
begin

  class AffineConeLemma = KeyLemma + TangentLineLemma + Cardinalities
  begin

    lemma lemInverseOfAffInvertibleIsAffInvertible:
      assumes affInvertible A
      and   ∀ x y . A x = y ↔ A' y = x
      shows affInvertible A'
    ⟨proof⟩

    lemma lemInsideRegularConeUnderAffInvertible:
      assumes affInvertible A
      and   insideRegularCone x p
      and   regularConeSet (A x) = applyToSet (asFunc A) (regularConeSet x)
      shows   insideRegularCone (A x) (A p)
    ⟨proof⟩

  end
end
```

35 NoFTLGR

This theory completes the proof of NoFTLGR.

```
theory NoFTLGR
  imports ObserverConeLemma AffineConeLemma
begin

  class NoFTLGR = ObserverConeLemma + AffineConeLemma
begin
```

The theorem says: if observer m encounters observer k (so that they are both present at the same spacetime point x), then k

is moving at sub-light speed relative to m. In other words, no observer ever encounters another observer who appears to be moving at or above lightspeed.

```
theorem lemNoFTLGR:
  assumes ass1:  $x \in wline\ m\ m \cap wline\ m\ k$ 
  and      ass2:  $tl\ l\ m\ k\ x$ 
  and      ass3:  $v \in lineVelocity\ l$ 
  and      ass4:  $\exists p . (p \neq x) \wedge (p \in l)$ 
  shows     $(lineSlopeFinite\ l) \wedge (sNorm2\ v < 1)$ 
   $\langle proof \rangle$ 

end
end
```

References

- [1] M. Stannett and I. Németi. Using Isabelle/HOL to verify first-order relativity theory. *Journal of Automated Reasoning*, 52(4):361–378, 2014.
- [2] M. Stannett and I. Németi. No faster-than-light observers. *Archive of Formal Proofs*, April 2016. https://isa-afp.org/entries/No_FTL_observers.html, Formal proof development.