

# Formalization of Nested Multisets, Hereditary Multisets, and Syntactic Ordinals

Jasmin Christian Blanchette, Mathias Fleury, and Dmitriy Traytel

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## Abstract

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna’s nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

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# 1 Introduction

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna's nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

In addition, signed (or hybrid) multisets are provided (i.e., multisets with possibly negative multiplicities), as well as signed hereditary multisets and signed ordinals (e.g.,  $\omega^2 - 2\omega + 1$ ).

We refer to the following conference paper for details:

Jasmin Christian Blanchette, Mathias Fleury, Dmitriy Traytel:  
Nested Multisets, Hereditary Multisets, and Syntactic Ordinals in Isabelle/HOL.  
FSCD 2017: 11:1-11:18  
<https://hal.inria.fr/hal-01599176/document>

## 2 More about Multisets

```
theory Multiset_More
  imports
    HOL-Library.Multiset_Order
    HOL-Library.Sublist
begin
```

Isabelle's theory of finite multisets is not as developed as other areas, such as lists and sets. The present theory introduces some missing concepts and lemmas. Some of it is expected to move to Isabelle's library.

### 2.1 Basic Setup

```
declare
  diff_single_trivial [simp]
  in_image_mset [iff]
  image_mset.compositionality [simp]

  mset_subset_eqD[dest, intro?]

  Multiset.in_multiset_in_set[simp]
  inter_add_left1 [simp]
  inter_add_left2 [simp]
  inter_add_right1 [simp]
  inter_add_right2 [simp]

  sum_mset_sum_list [simp]
```

### 2.2 Lemmas about Intersection, Union and Pointwise Inclusion

```
lemma subset_mset_imp_subset_add_mset:  $A \subseteq\# B \implies A \subseteq\# \text{add\_mset } x B$ 
  <proof>
```

```
lemma subset_add_mset_notin_subset_mset:  $\langle A \subseteq\# \text{add\_mset } b B \implies b \notin\# A \implies A \subseteq\# B \rangle$ 
  <proof>
```

```
lemma subset_msetE:  $\llbracket A \subset\# B; \llbracket A \subseteq\# B; \neg B \subseteq\# A \rrbracket \implies R \rrbracket \implies R$ 
  <proof>
```

```
lemma Diff_triv_mset:  $M \cap\# N = \{\#\} \implies M - N = M$ 
  <proof>
```

```
lemma diff_intersect_sym_diff:  $(A - B) \cap\# (B - A) = \{\#\}$ 
  <proof>
```

**declare** *subset\_msetE* [elim!]

**lemma** *subseq\_mset\_subseteq\_mset*:  $\text{subseq } xs \ ys \implies \text{mset } xs \subseteq\# \text{mset } ys$   
<proof>

## 2.3 Lemmas about Filter and Image

**lemma** *count\_image\_mset\_ge\_count*:  $\text{count } (\text{image\_mset } f \ A) \ (f \ b) \geq \text{count } A \ b$   
<proof>

**lemma** *count\_image\_mset\_inj*:  
**assumes** <inj f>  
**shows**  $\text{count } (\text{image\_mset } f \ M) \ (f \ x) = \text{count } M \ x$   
<proof>

**lemma** *count\_image\_mset\_le\_count\_inj\_on*:  
*inj\_on* f (set\_mset M)  $\implies \text{count } (\text{image\_mset } f \ M) \ y \leq \text{count } M \ (\text{inv\_into } (\text{set\_mset } M) \ f \ y)$   
<proof>

**lemma** *mset\_filter\_compl*:  $\text{mset } (\text{filter } p \ xs) + \text{mset } (\text{filter } (\text{Not } \circ \ p) \ xs) = \text{mset } xs$   
<proof>

Near duplicate of *filter\_eq\_replicate\_mset*:  $\{\#y \in\# \ ?D. \ y = \ ?x\#\} = \text{replicate\_mset } (\text{count } \ ?D \ \ ?x) \ \ ?x$ .

**lemma** *filter\_mset\_eq*:  $\text{filter\_mset } ((=) \ L) \ A = \text{replicate\_mset } (\text{count } A \ L) \ L$   
<proof>

**lemma** *filter\_mset\_cong[fundef\_cong]*:  
**assumes**  $M = M' \ \wedge \ a. \ a \in\# \ M \implies P \ a = Q \ a$   
**shows**  $\text{filter\_mset } P \ M = \text{filter\_mset } Q \ M$   
<proof>

**lemma** *image\_mset\_filter\_swap*:  $\text{image\_mset } f \ \{\#x \in\# \ M. \ P \ (f \ x)\#\} = \{\#x \in\# \ \text{image\_mset } f \ M. \ P \ x\#\}$   
<proof>

**lemma** *image\_mset\_cong2*:  
 $(\wedge x. \ x \in\# \ M \implies f \ x = g \ x) \implies M = N \implies \text{image\_mset } f \ M = \text{image\_mset } g \ N$   
<proof>

**lemma** *filter\_mset\_empty\_conv*:  $\langle \text{filter\_mset } P \ M = \{\#\} \rangle = \langle \forall L \in\# M. \ \neg \ P \ L \rangle$   
<proof>

**lemma** *multiset\_filter\_mono2*:  $\langle \text{filter\_mset } P \ A \subseteq\# \text{filter\_mset } Q \ A \longleftrightarrow \langle \forall a \in\# A. \ P \ a \longrightarrow Q \ a \rangle \rangle$   
<proof>

**lemma** *image\_filter\_cong*:  
**assumes**  $\langle \wedge C. \ C \in\# \ M \implies P \ C \implies f \ C = g \ C \rangle$   
**shows**  $\langle \{\#f \ C. \ C \in\# \ \{\#C \in\# \ M. \ P \ C\#\}\#\} = \{\#g \ C \mid C \in\# \ M. \ P \ C\#\} \rangle$   
<proof>

**lemma** *image\_mset\_filter\_swap2*:  $\langle \{\#C \in\# \ \{\#P \ x. \ x \in\# \ D\#\}. \ Q \ C \ \#\} = \{\#P \ x. \ x \in\# \ \{\#C \mid C \in\# \ D. \ Q \ (P \ C)\#\}\#\} \rangle$   
<proof>

**declare** *image\_mset\_cong2* [cong]

**lemma** *filter\_mset\_empty\_if\_finite\_and\_filter\_set\_empty*:  
**assumes**  
   $\{x \in X. \ P \ x\} = \{\}$  **and**  
  *finite* X  
**shows**  $\{\#x \in\# \ \text{mset\_set } X. \ P \ x\#\} = \{\#\}$   
<proof>

## 2.4 Lemmas about Sum

**lemma** *sum\_image\_mset\_sum\_map[simp]*:  $\text{sum\_mset } (\text{image\_mset } f \text{ (mset } xs)) = \text{sum\_list } (\text{map } f \text{ } xs)$   
 ⟨proof⟩

**lemma** *sum\_image\_mset\_mono*:  
**fixes**  $f :: 'a \Rightarrow 'b::\text{canonically\_ordered\_monoid\_add}$   
**assumes**  $sub: A \subseteq\# B$   
**shows**  $(\sum m \in\# A. f \ m) \leq (\sum m \in\# B. f \ m)$   
 ⟨proof⟩

**lemma** *sum\_image\_mset\_mono\_mem*:  
 $n \in\# M \implies f \ n \leq (\sum m \in\# M. f \ m)$  **for**  $f :: 'a \Rightarrow 'b::\text{canonically\_ordered\_monoid\_add}$   
 ⟨proof⟩

**lemma** *count\_sum\_mset\_if\_1\_0*:  $\langle \text{count } M \ a = (\sum x \in\# M. \text{if } x = a \text{ then } 1 \text{ else } 0) \rangle$   
 ⟨proof⟩

**lemma** *sum\_mset\_dvd*:  
**fixes**  $k :: 'a::\text{comm\_semiring\_1\_cancel}$   
**assumes**  $\forall m \in\# M. k \ \text{dvd} \ f \ m$   
**shows**  $k \ \text{dvd} \ (\sum m \in\# M. f \ m)$   
 ⟨proof⟩

**lemma** *sum\_mset\_distrib\_div\_if\_dvd*:  
**fixes**  $k :: 'a::\text{unique\_euclidean\_semiring}$   
**assumes**  $\forall m \in\# M. k \ \text{dvd} \ f \ m$   
**shows**  $(\sum m \in\# M. f \ m) \ \text{div} \ k = (\sum m \in\# M. f \ m \ \text{div} \ k)$   
 ⟨proof⟩

## 2.5 Lemmas about Remove

**lemma** *set\_mset\_minus\_replicate\_mset[simp]*:  
 $n \geq \text{count } A \ a \implies \text{set\_mset } (A - \text{replicate\_mset } n \ a) = \text{set\_mset } A - \{a\}$   
 $n < \text{count } A \ a \implies \text{set\_mset } (A - \text{replicate\_mset } n \ a) = \text{set\_mset } A$   
 ⟨proof⟩

**abbreviation** *removeAll\_mset* ::  $'a \Rightarrow 'a \ \text{multiset} \Rightarrow 'a \ \text{multiset}$  **where**  
 $\text{removeAll\_mset } C \ M \equiv M - \text{replicate\_mset } (\text{count } M \ C) \ C$

**lemma** *mset\_removeAll[simp, code]*:  $\text{removeAll\_mset } C \ (\text{mset } L) = \text{mset } (\text{removeAll } C \ L)$   
 ⟨proof⟩

**lemma** *removeAll\_mset\_filter\_mset*:  $\text{removeAll\_mset } C \ M = \text{filter\_mset } ((\neq) \ C) \ M$   
 ⟨proof⟩

**abbreviation** *remove1\_mset* ::  $'a \Rightarrow 'a \ \text{multiset} \Rightarrow 'a \ \text{multiset}$  **where**  
 $\text{remove1\_mset } C \ M \equiv M - \{\#C\#\}$

**lemma** *removeAll\_subseteq\_remove1\_mset*:  $\text{removeAll\_mset } x \ M \subseteq\# \text{remove1\_mset } x \ M$   
 ⟨proof⟩

**lemma** *in\_remove1\_mset\_neq*:  
**assumes**  $ab: a \neq b$   
**shows**  $a \in\# \text{remove1\_mset } b \ C \longleftrightarrow a \in\# C$   
 ⟨proof⟩

**lemma** *size\_mset\_removeAll\_mset\_le\_iff*:  $\text{size } (\text{removeAll\_mset } x \ M) < \text{size } M \longleftrightarrow x \in\# M$   
 ⟨proof⟩

**lemma** *size\_remove1\_mset>If*:  $\langle \text{size } (\text{remove1\_mset } x \ M) = \text{size } M - (\text{if } x \in\# M \text{ then } 1 \text{ else } 0) \rangle$   
 ⟨proof⟩

**lemma** *size\_mset\_remove1\_mset\_le\_iff*:  $\text{size } (\text{remove1\_mset } x \ M) < \text{size } M \longleftrightarrow x \in\# M$

*<proof>*

**lemma** *remove\_1\_mset\_id\_iff\_notin*:  $\text{remove1\_mset } a \ M = M \longleftrightarrow a \notin \# \ M$   
*<proof>*

**lemma** *id\_remove\_1\_mset\_iff\_notin*:  $M = \text{remove1\_mset } a \ M \longleftrightarrow a \notin \# \ M$   
*<proof>*

**lemma** *remove1\_mset\_eqE*:  
 $\text{remove1\_mset } L \ x1 = M \implies$   
 $(L \in \# \ x1 \implies x1 = M + \{\#L\# \} \implies P) \implies$   
 $(L \notin \# \ x1 \implies x1 = M \implies P) \implies$   
 $P$   
*<proof>*

**lemma** *image\_filter\_ne\_mset[simp]*:  
 $\text{image\_mset } f \ \{\#x \in \# \ M. \ f \ x \neq y\# \} = \text{removeAll\_mset } y \ (\text{image\_mset } f \ M)$   
*<proof>*

**lemma** *image\_mset\_remove1\_mset\_if*:  
 $\text{image\_mset } f \ (\text{remove1\_mset } a \ M) =$   
 $(\text{if } a \in \# \ M \text{ then } \text{remove1\_mset } (f \ a) \ (\text{image\_mset } f \ M) \text{ else } \text{image\_mset } f \ M)$   
*<proof>*

**lemma** *filter\_mset\_neq*:  $\{\#x \in \# \ M. \ x \neq y\# \} = \text{removeAll\_mset } y \ M$   
*<proof>*

**lemma** *filter\_mset\_neq\_cond*:  $\{\#x \in \# \ M. \ P \ x \wedge x \neq y\# \} = \text{removeAll\_mset } y \ \{\#x \in \# \ M. \ P \ x\# \}$   
*<proof>*

**lemma** *remove1\_mset\_add\_mset>If*:  
 $\text{remove1\_mset } L \ (\text{add\_mset } L' \ C) = (\text{if } L = L' \text{ then } C \text{ else } \text{remove1\_mset } L \ C + \{\#L'\# \})$   
*<proof>*

**lemma** *minus\_remove1\_mset\_if*:  
 $A - \text{remove1\_mset } b \ B = (\text{if } b \in \# \ B \wedge b \in \# \ A \wedge \text{count } A \ b \geq \text{count } B \ b \text{ then } \{\#b\# \} + (A - B) \text{ else } A - B)$   
*<proof>*

**lemma** *add\_mset\_eq\_add\_mset\_ne*:  
 $a \neq b \implies \text{add\_mset } a \ A = \text{add\_mset } b \ B \longleftrightarrow a \in \# \ B \wedge b \in \# \ A \wedge A = \text{add\_mset } b \ (B - \{\#a\# \})$   
*<proof>*

**lemma** *add\_mset\_eq\_add\_mset*:  $\langle \text{add\_mset } a \ M = \text{add\_mset } b \ M' \longleftrightarrow$   
 $(a = b \wedge M = M') \vee (a \neq b \wedge b \in \# \ M \wedge \text{add\_mset } a \ (M - \{\#b\# \}) = M' \rangle$   
*<proof>*

**lemma** *add\_mset\_remove\_trivial\_iff*:  $\langle N = \text{add\_mset } a \ (N - \{\#b\# \}) \longleftrightarrow a \in \# \ N \wedge a = b \rangle$   
*<proof>*

**lemma** *trivial\_add\_mset\_remove\_iff*:  $\langle \text{add\_mset } a \ (N - \{\#b\# \}) = N \longleftrightarrow a \in \# \ N \wedge a = b \rangle$   
*<proof>*

**lemma** *remove1\_single\_empty\_iff[simp]*:  $\langle \text{remove1\_mset } L \ \{\#L'\# \} = \{\#\} \longleftrightarrow L = L' \rangle$   
*<proof>*

**lemma** *add\_mset\_less\_imp\_less\_remove1\_mset*:  
**assumes**  $xM\_lt\_N$ :  $\text{add\_mset } x \ M < N$   
**shows**  $M < \text{remove1\_mset } x \ N$   
*<proof>*

## 2.6 Lemmas about Replicate

**lemma** *replicate\_mset\_minus\_replicate\_mset\_same[simp]*:

$\text{replicate\_mset } m \ x - \text{replicate\_mset } n \ x = \text{replicate\_mset } (m - n) \ x$   
 ⟨proof⟩

**lemma**  $\text{replicate\_mset\_subset\_iff\_lt[simp]}$ :  $\text{replicate\_mset } m \ x \subset\# \text{replicate\_mset } n \ x \longleftrightarrow m < n$   
 ⟨proof⟩

**lemma**  $\text{replicate\_mset\_subseq\_iff\_le[simp]}$ :  $\text{replicate\_mset } m \ x \subseteq\# \text{replicate\_mset } n \ x \longleftrightarrow m \leq n$   
 ⟨proof⟩

**lemma**  $\text{replicate\_mset\_lt\_iff\_lt[simp]}$ :  $\text{replicate\_mset } m \ x < \text{replicate\_mset } n \ x \longleftrightarrow m < n$   
 ⟨proof⟩

**lemma**  $\text{replicate\_mset\_le\_iff\_le[simp]}$ :  $\text{replicate\_mset } m \ x \leq \text{replicate\_mset } n \ x \longleftrightarrow m \leq n$   
 ⟨proof⟩

**lemma**  $\text{replicate\_mset\_eq\_iff[simp]}$ :  
 $\text{replicate\_mset } m \ x = \text{replicate\_mset } n \ y \longleftrightarrow m = n \wedge (m \neq 0 \longrightarrow x = y)$   
 ⟨proof⟩

**lemma**  $\text{replicate\_mset\_plus}$ :  $\text{replicate\_mset } (a + b) \ C = \text{replicate\_mset } a \ C + \text{replicate\_mset } b \ C$   
 ⟨proof⟩

**lemma**  $\text{mset\_replicate\_replicate\_mset}$ :  $\text{mset } (\text{replicate } n \ L) = \text{replicate\_mset } n \ L$   
 ⟨proof⟩

**lemma**  $\text{set\_mset\_single\_iff\_replicate\_mset}$ :  $\text{set\_mset } U = \{a\} \longleftrightarrow (\exists n > 0. U = \text{replicate\_mset } n \ a)$   
 ⟨proof⟩

**lemma**  $\text{ex\_replicate\_mset\_if\_all\_elems\_eq}$ :  
**assumes**  $\forall x \in\# M. x = y$   
**shows**  $\exists n. M = \text{replicate\_mset } n \ y$   
 ⟨proof⟩

## 2.7 Multiset and Set Conversions

**lemma**  $\text{count\_mset\_set\_if}$ :  $\text{count } (\text{mset\_set } A) \ a = (\text{if } a \in A \wedge \text{finite } A \text{ then } 1 \text{ else } 0)$   
 ⟨proof⟩

**lemma**  $\text{mset\_set\_set\_mset\_empty\_mempty[iff]}$ :  $\text{mset\_set } (\text{set\_mset } D) = \{\#\} \longleftrightarrow D = \{\#\}$   
 ⟨proof⟩

**lemma**  $\text{count\_mset\_set\_le\_one}$ :  $\text{count } (\text{mset\_set } A) \ x \leq 1$   
 ⟨proof⟩

**lemma**  $\text{mset\_set\_set\_mset\_subseq[simp]}$ :  $\text{mset\_set } (\text{set\_mset } A) \subseteq\# A$   
 ⟨proof⟩

**lemma**  $\text{mset\_sorted\_list\_of\_set[simp]}$ :  $\text{mset } (\text{sorted\_list\_of\_set } A) = \text{mset\_set } A$   
 ⟨proof⟩

**lemma**  $\text{sorted\_sorted\_list\_of\_multiset[simp]}$ :  
 $\text{sorted } (\text{sorted\_list\_of\_multiset } (M :: 'a::\text{linorder multiset}))$   
 ⟨proof⟩

**lemma**  $\text{mset\_take\_subseq}$ :  $\text{mset } (\text{take } n \ xs) \subseteq\# \text{mset } xs$   
 ⟨proof⟩

**lemma**  $\text{sorted\_list\_of\_multiset\_eq\_Nil[simp]}$ :  $\text{sorted\_list\_of\_multiset } M = [] \longleftrightarrow M = \{\#\}$   
 ⟨proof⟩

## 2.8 Duplicate Removal

**definition**  $\text{remdups\_mset} :: 'v \text{ multiset} \Rightarrow 'v \text{ multiset}$  **where**  
 $\text{remdups\_mset } S = \text{mset\_set } (\text{set\_mset } S)$

**lemma** *set\_mset\_remdups\_mset[simp]*:  $\langle \text{set\_mset } (\text{remdups\_mset } A) = \text{set\_mset } A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *count\_remdups\_mset\_eq\_1*:  $a \in \# \text{ remdups\_mset } A \longleftrightarrow \text{count } (\text{remdups\_mset } A) \ a = 1$   
 $\langle \text{proof} \rangle$

**lemma** *remdups\_mset\_empty[simp]*:  $\text{remdups\_mset } \{\#\} = \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *remdups\_mset\_singleton[simp]*:  $\text{remdups\_mset } \{\#a\# \} = \{\#a\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *remdups\_mset\_eq\_empty[iff]*:  $\text{remdups\_mset } D = \{\#\} \longleftrightarrow D = \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *remdups\_mset\_singleton\_sum[simp]*:  
 $\text{remdups\_mset } (\text{add\_mset } a \ A) = (\text{if } a \in \# \ A \ \text{then } \text{remdups\_mset } \ A \ \text{else } \text{add\_mset } \ a \ (\text{remdups\_mset } \ A))$   
 $\langle \text{proof} \rangle$

**lemma** *mset\_remdups\_remdups\_mset[simp]*:  $\text{mset } (\text{remdups } \ D) = \text{remdups\_mset } (\text{mset } \ D)$   
 $\langle \text{proof} \rangle$

**declare** *mset\_remdups\_remdups\_mset[symmetric, code]*

**definition** *distinct\_mset* :: 'a multiset  $\Rightarrow$  bool **where**  
 $\text{distinct\_mset } \ S \longleftrightarrow (\forall a. \ a \in \# \ S \longrightarrow \text{count } \ S \ a = 1)$

**lemma** *distinct\_mset\_count\_less\_1*:  $\text{distinct\_mset } \ S \longleftrightarrow (\forall a. \ \text{count } \ S \ a \leq 1)$   
 $\langle \text{proof} \rangle$

**lemma** *distinct\_mset\_empty[simp]*:  $\text{distinct\_mset } \ \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *distinct\_mset\_singleton*:  $\text{distinct\_mset } \ \{\#a\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *distinct\_mset\_union*:  
**assumes** *dist*:  $\text{distinct\_mset } \ (A + B)$   
**shows**  $\text{distinct\_mset } \ A$   
 $\langle \text{proof} \rangle$

**lemma** *distinct\_mset\_minus[simp]*:  $\text{distinct\_mset } \ A \Longrightarrow \text{distinct\_mset } \ (A - B)$   
 $\langle \text{proof} \rangle$

**lemma** *count\_remdups\_mset>If*:  $\langle \text{count } (\text{remdups\_mset } \ A) \ a = (\text{if } a \in \# \ A \ \text{then } 1 \ \text{else } 0) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *distinct\_mset\_remdups\_union\_mset*:  
**assumes**  $\text{distinct\_mset } \ A$  **and**  $\text{distinct\_mset } \ B$   
**shows**  $A \cup \# \ B = \text{remdups\_mset } \ (A + B)$   
 $\langle \text{proof} \rangle$

**lemma** *distinct\_mset\_add\_mset[simp]*:  $\text{distinct\_mset } \ (\text{add\_mset } \ a \ L) \longleftrightarrow a \notin \# \ L \wedge \text{distinct\_mset } \ L$   
 $\langle \text{proof} \rangle$

**lemma** *distinct\_mset\_size\_eq\_card*:  $\text{distinct\_mset } \ C \Longrightarrow \text{size } \ C = \text{card } (\text{set\_mset } \ C)$   
 $\langle \text{proof} \rangle$

**lemma** *distinct\_mset\_add*:  
 $\text{distinct\_mset } \ (L + L') \longleftrightarrow \text{distinct\_mset } \ L \wedge \text{distinct\_mset } \ L' \wedge L \cap \# \ L' = \{\#\}$   
 $\langle \text{proof} \rangle$



**lemma** *distinct\_mset\_set\_mset\_ident[simp]*:  $\text{distinct\_mset } M \implies \text{mset\_set } (\text{set\_mset } M) = M$   
 ⟨proof⟩

**lemma** *distinct\_finite\_set\_mset\_subseteq\_iff[iff]*:  
**assumes** *distinct\_mset*  $M$  *finite*  $N$   
**shows**  $\text{set\_mset } M \subseteq N \iff M \subseteq\# \text{mset\_set } N$   
 ⟨proof⟩

**lemma** *distinct\_mem\_diff\_mset*:  
**assumes** *dist*: *distinct\_mset*  $M$  **and** *mem*:  $x \in \text{set\_mset } (M - N)$   
**shows**  $x \notin \text{set\_mset } N$   
 ⟨proof⟩

**lemma** *distinct\_set\_mset\_eq*:  
**assumes** *distinct\_mset*  $M$  *distinct\_mset*  $N$   $\text{set\_mset } M = \text{set\_mset } N$   
**shows**  $M = N$   
 ⟨proof⟩

**lemma** *distinct\_mset\_union\_mset[simp]*:  
 ⟨*distinct\_mset*  $(D \cup\# C) \iff \text{distinct\_mset } D \wedge \text{distinct\_mset } C$ ⟩  
 ⟨proof⟩

**lemma** *distinct\_mset\_inter\_mset*:  
 $\text{distinct\_mset } C \implies \text{distinct\_mset } (C \cap\# D)$   
 $\text{distinct\_mset } D \implies \text{distinct\_mset } (C \cap\# D)$   
 ⟨proof⟩

**lemma** *distinct\_mset\_remove1\_All*:  $\text{distinct\_mset } C \implies \text{remove1\_mset } L C = \text{removeAll\_mset } L C$   
 ⟨proof⟩

**lemma** *distinct\_mset\_size\_2*:  $\text{distinct\_mset } \{\#a, b\} \iff a \neq b$   
 ⟨proof⟩

**lemma** *distinct\_mset\_filter*:  $\text{distinct\_mset } M \implies \text{distinct\_mset } \{\# L \in\# M. P L\}$   
 ⟨proof⟩

**lemma** *distinct\_mset\_mset\_distinct[simp]*: ⟨ $\text{distinct\_mset } (\text{mset } xs) = \text{distinct } xs$ ⟩  
 ⟨proof⟩

**lemma** *distinct\_image\_mset\_inj*:  
 ⟨ $\text{inj\_on } f (\text{set\_mset } M) \implies \text{distinct\_mset } (\text{image\_mset } f M) \iff \text{distinct\_mset } M$ ⟩  
 ⟨proof⟩

## 2.9 Repeat Operation

**lemma** *repeat\_mset\_compower*:  $\text{repeat\_mset } n A = (((+) A) \text{^^ } n) \{\#\}$   
 ⟨proof⟩

**lemma** *repeat\_mset\_prod*:  $\text{repeat\_mset } (m * n) A = (((+) (\text{repeat\_mset } n A)) \text{^^ } m) \{\#\}$   
 ⟨proof⟩

## 2.10 Cartesian Product

Definition of the cartesian products over multisets. The construction mimics of the cartesian product on sets and use the same theorem names (adding only the suffix *\_mset* to *Sigma* and *Times*). See file `~/src/HOL/Product_Type.thy`

**definition** *Sigma\_mset* ::  $'a \text{ multiset} \Rightarrow ('a \Rightarrow 'b \text{ multiset}) \Rightarrow ('a \times 'b) \text{ multiset}$  **where**  
 $\text{Sigma\_mset } A B \equiv \sum \# \{\#\{ \#(a, b). b \in\# B a\#\}. a \in\# A \#\}$

**abbreviation** *Times\_mset* ::  $'a \text{ multiset} \Rightarrow 'b \text{ multiset} \Rightarrow ('a \times 'b) \text{ multiset}$  (**infixr**  $\times\#$  80) **where**  
 $\text{Times\_mset } A B \equiv \text{Sigma\_mset } A (\lambda_. B)$

**hide-const** (**open**) *Times\_mset*

Contrary to the set version  $A \times B$ , we use the non-ASCII symbol  $\in\#$ .

**syntax**

$\_Sigma\_mset :: [pttrn, 'a\ multiset, 'b\ multiset] \Rightarrow ('a * 'b)\ multiset$   
 $((3SIGMAMSET \_ \in\# \_ / \_) [0, 0, 10] 10)$

**translations**

$SIGMAMSET\ x \in\# A. B == CONST\ Sigma\_mset\ A\ (\lambda x. B)$

Link between the multiset and the set cartesian product:

**lemma**  $Times\_mset\_Times: set\_mset\ (A \times\# B) = set\_mset\ A \times set\_mset\ B$   
 $\langle proof \rangle$

**lemma**  $Sigma\_msetI\ [intro!]: \llbracket a \in\# A; b \in\# B\ a \rrbracket \Longrightarrow (a, b) \in\# Sigma\_mset\ A\ B$   
 $\langle proof \rangle$

**lemma**  $Sigma\_msetE\ [elim!]: \llbracket c \in\# Sigma\_mset\ A\ B; \bigwedge x\ y. \llbracket x \in\# A; y \in\# B\ x; c = (x, y) \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$   
 $\langle proof \rangle$

Elimination of  $(a, b) \in\# A \times\# B$  – introduces no eigenvariables.

**lemma**  $Sigma\_msetD1: (a, b) \in\# Sigma\_mset\ A\ B \Longrightarrow a \in\# A$   
 $\langle proof \rangle$

**lemma**  $Sigma\_msetD2: (a, b) \in\# Sigma\_mset\ A\ B \Longrightarrow b \in\# B\ a$   
 $\langle proof \rangle$

**lemma**  $Sigma\_msetE2: \llbracket (a, b) \in\# Sigma\_mset\ A\ B; \llbracket a \in\# A; b \in\# B\ a \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$   
 $\langle proof \rangle$

**lemma**  $Sigma\_mset\_cong:$

$\llbracket A = B; \bigwedge x. x \in\# B \Longrightarrow C\ x = D\ x \rrbracket \Longrightarrow (SIGMAMSET\ x \in\# A. C\ x) = (SIGMAMSET\ x \in\# B. D\ x)$   
 $\langle proof \rangle$

**lemma**  $count\_sum\_mset: count\ (\sum\# M)\ b = (\sum P \in\# M. count\ P\ b)$   
 $\langle proof \rangle$

**lemma**  $Sigma\_mset\_plus\_distrib1\ [simp]: Sigma\_mset\ (A + B)\ C = Sigma\_mset\ A\ C + Sigma\_mset\ B\ C$   
 $\langle proof \rangle$

**lemma**  $Sigma\_mset\_plus\_distrib2\ [simp]:$

$Sigma\_mset\ A\ (\lambda i. B\ i + C\ i) = Sigma\_mset\ A\ B + Sigma\_mset\ A\ C$   
 $\langle proof \rangle$

**lemma**  $Times\_mset\_single\_left: \{\#a\#\} \times\# B = image\_mset\ (Pair\ a)\ B$   
 $\langle proof \rangle$

**lemma**  $Times\_mset\_single\_right: A \times\# \{\#b\#\} = image\_mset\ (\lambda a. Pair\ a\ b)\ A$   
 $\langle proof \rangle$

**lemma**  $Times\_mset\_single\_single\ [simp]: \{\#a\#\} \times\# \{\#b\#\} = \{\#(a, b)\#\}$   
 $\langle proof \rangle$

**lemma**  $count\_image\_mset\_Pair:$

$count\ (image\_mset\ (Pair\ a)\ B)\ (x, b) = (if\ x = a\ then\ count\ B\ b\ else\ 0)$   
 $\langle proof \rangle$

**lemma**  $count\_Sigma\_mset: count\ (Sigma\_mset\ A\ B)\ (a, b) = count\ A\ a * count\ (B\ a)\ b$   
 $\langle proof \rangle$

**lemma**  $Sigma\_mset\_empty1\ [simp]: Sigma\_mset\ \{\#\}\ B = \{\#\}$   
 $\langle proof \rangle$

**lemma**  $Sigma\_mset\_empty2\ [simp]: A \times\# \{\#\} = \{\#\}$   
 $\langle proof \rangle$

**lemma** *Sigma\_mset\_mono*:

**assumes**  $A \subseteq_{\#} C$  **and**  $\bigwedge x. x \in_{\#} A \implies B x \subseteq_{\#} D x$

**shows**  $\text{Sigma\_mset } A B \subseteq_{\#} \text{Sigma\_mset } C D$

*<proof>*

**lemma** *mem\_Sigma\_mset\_iff*[*iff*]:  $((a,b) \in_{\#} \text{Sigma\_mset } A B) = (a \in_{\#} A \wedge b \in_{\#} B a)$

*<proof>*

**lemma** *mem\_Times\_mset\_iff*:  $x \in_{\#} A \times_{\#} B \longleftrightarrow \text{fst } x \in_{\#} A \wedge \text{snd } x \in_{\#} B$

*<proof>*

**lemma** *Sigma\_mset\_empty\_iff*:  $(\text{SIGMAMSET } i \in_{\#} I. X i) = \{\#\} \longleftrightarrow (\forall i \in_{\#} I. X i = \{\#\})$

*<proof>*

**lemma** *Times\_mset\_subset\_mset\_cancel1*:  $x \in_{\#} A \implies (A \times_{\#} B \subseteq_{\#} A \times_{\#} C) = (B \subseteq_{\#} C)$

*<proof>*

**lemma** *Times\_mset\_subset\_mset\_cancel2*:  $x \in_{\#} C \implies (A \times_{\#} C \subseteq_{\#} B \times_{\#} C) = (A \subseteq_{\#} B)$

*<proof>*

**lemma** *Times\_mset\_eq\_cancel2*:  $x \in_{\#} C \implies (A \times_{\#} C = B \times_{\#} C) = (A = B)$

*<proof>*

**lemma** *split\_paired\_Ball\_mset\_Sigma\_mset*[*simp*]:

$(\forall z \in_{\#} \text{Sigma\_mset } A B. P z) \longleftrightarrow (\forall x \in_{\#} A. \forall y \in_{\#} B x. P (x, y))$

*<proof>*

**lemma** *split\_paired\_Bex\_mset\_Sigma\_mset*[*simp*]:

$(\exists z \in_{\#} \text{Sigma\_mset } A B. P z) \longleftrightarrow (\exists x \in_{\#} A. \exists y \in_{\#} B x. P (x, y))$

*<proof>*

**lemma** *sum\_mset\_if\_eq\_constant*:

$(\sum x \in_{\#} M. \text{if } a = x \text{ then } (f x) \text{ else } 0) = (((+) (f a)) \overset{\sim}{\sim} (\text{count } M a)) 0$

*<proof>*

**lemma** *iterate\_op\_plus*:  $((+) k) \overset{\sim}{\sim} m) 0 = k * m$

*<proof>*

**lemma** *untion\_image\_mset\_Pair\_distribute*:

$\sum_{\#} \{\#\text{image\_mset } (\text{Pair } x) (C x). x \in_{\#} J - I\#\} =$

$\sum_{\#} \{\#\text{image\_mset } (\text{Pair } x) (C x). x \in_{\#} J\#\} - \sum_{\#} \{\#\text{image\_mset } (\text{Pair } x) (C x). x \in_{\#} I\#\}$

*<proof>*

**lemma** *Sigma\_mset\_Un\_distrib1*:  $\text{Sigma\_mset } (I \cup_{\#} J) C = \text{Sigma\_mset } I C \cup_{\#} \text{Sigma\_mset } J C$

*<proof>*

**lemma** *Sigma\_mset\_Un\_distrib2*:  $(\text{SIGMAMSET } i \in_{\#} I. A i \cup_{\#} B i) = \text{Sigma\_mset } I A \cup_{\#} \text{Sigma\_mset } I B$

*<proof>*

**lemma** *Sigma\_mset\_Int\_distrib1*:  $\text{Sigma\_mset } (I \cap_{\#} J) C = \text{Sigma\_mset } I C \cap_{\#} \text{Sigma\_mset } J C$

*<proof>*

**lemma** *Sigma\_mset\_Int\_distrib2*:  $(\text{SIGMAMSET } i \in_{\#} I. A i \cap_{\#} B i) = \text{Sigma\_mset } I A \cap_{\#} \text{Sigma\_mset } I B$

*<proof>*

**lemma** *Sigma\_mset\_Diff\_distrib1*:  $\text{Sigma\_mset } (I - J) C = \text{Sigma\_mset } I C - \text{Sigma\_mset } J C$

*<proof>*

**lemma** *Sigma\_mset\_Diff\_distrib2*:  $(\text{SIGMAMSET } i \in_{\#} I. A i - B i) = \text{Sigma\_mset } I A - \text{Sigma\_mset } I B$

*<proof>*

**lemma** *Sigma\_mset\_Union*:  $\text{Sigma\_mset } (\sum_{\#} X) B = (\sum_{\#} (\text{image\_mset } (\lambda A. \text{Sigma\_mset } A B) X))$

*<proof>*

**lemma** *Times\_mset\_Un\_distrib1*:  $(A \cup\# B) \times\# C = A \times\# C \cup\# B \times\# C$   
 ⟨proof⟩

**lemma** *Times\_mset\_Int\_distrib1*:  $(A \cap\# B) \times\# C = A \times\# C \cap\# B \times\# C$   
 ⟨proof⟩

**lemma** *Times\_mset\_Diff\_distrib1*:  $(A - B) \times\# C = A \times\# C - B \times\# C$   
 ⟨proof⟩

**lemma** *Times\_mset\_empty[simp]*:  $A \times\# B = \{\#\} \longleftrightarrow A = \{\#\} \vee B = \{\#\}$   
 ⟨proof⟩

**lemma** *Times\_insert\_left*:  $A \times\# \text{add\_mset } x B = A \times\# B + \text{image\_mset } (\lambda a. \text{Pair } a x) A$   
 ⟨proof⟩

**lemma** *Times\_insert\_right*:  $\text{add\_mset } a A \times\# B = A \times\# B + \text{image\_mset } (\text{Pair } a) B$   
 ⟨proof⟩

**lemma** *fst\_image\_mset\_times\_mset [simp]*:  
 $\text{image\_mset } \text{fst } (A \times\# B) = (\text{if } B = \{\#\} \text{ then } \{\#\} \text{ else } \text{repeat\_mset } (\text{size } B) A)$   
 ⟨proof⟩

**lemma** *snd\_image\_mset\_times\_mset [simp]*:  
 $\text{image\_mset } \text{snd } (A \times\# B) = (\text{if } A = \{\#\} \text{ then } \{\#\} \text{ else } \text{repeat\_mset } (\text{size } A) B)$   
 ⟨proof⟩

**lemma** *product\_swap\_mset*:  $\text{image\_mset } \text{prod.swap } (A \times\# B) = B \times\# A$   
 ⟨proof⟩

**context**  
**begin**

**qualified definition** *product\_mset* ::  $'a \text{ multiset} \Rightarrow 'b \text{ multiset} \Rightarrow ('a \times 'b) \text{ multiset}$  **where**  
 [code\_abbrev]:  $\text{product\_mset } A B = A \times\# B$

**lemma** *member\_product\_mset*:  $x \in\# \text{product\_mset } A B \longleftrightarrow x \in\# A \times\# B$   
 ⟨proof⟩

**end**

**lemma** *count\_Sigma\_mset\_abs\_def*:  $\text{count } (\text{Sigma\_mset } A B) = (\lambda(a, b) \Rightarrow \text{count } A a * \text{count } (B a) b)$   
 ⟨proof⟩

**lemma** *Times\_mset\_image\_mset1*:  $\text{image\_mset } f A \times\# B = \text{image\_mset } (\lambda(a, b). (f a, b)) (A \times\# B)$   
 ⟨proof⟩

**lemma** *Times\_mset\_image\_mset2*:  $A \times\# \text{image\_mset } f B = \text{image\_mset } (\lambda(a, b). (a, f b)) (A \times\# B)$   
 ⟨proof⟩

**lemma** *sum\_le\_singleton*:  $A \subseteq \{x\} \Longrightarrow \text{sum } f A = (\text{if } x \in A \text{ then } f x \text{ else } 0)$   
 ⟨proof⟩

**lemma** *Times\_mset\_assoc*:  $(A \times\# B) \times\# C = \text{image\_mset } (\lambda(a, b, c). ((a, b), c)) (A \times\# B \times\# C)$   
 ⟨proof⟩

## 2.11 Transfer Rules

**lemma** *plus\_multiset\_transfer[transfer\_rule]*:  
 $(\text{rel\_fun } (\text{rel\_mset } R) (\text{rel\_fun } (\text{rel\_mset } R) (\text{rel\_mset } R))) (+) (+)$   
 ⟨proof⟩

**lemma** *minus\_multiset\_transfer[transfer\_rule]*:  
**assumes** [transfer\_rule]:  $\text{bi\_unique } R$

**shows**  $(rel\_fun (rel\_mset R) (rel\_fun (rel\_mset R) (rel\_mset R))) (-) (-)$   
 ⟨proof⟩

**declare**  $rel\_mset\_Zero[transfer\_rule]$

**lemma**  $count\_transfer[transfer\_rule]$ :  
**assumes**  $bi\_unique R$   
**shows**  $(rel\_fun (rel\_mset R) (rel\_fun R (=))) count count$   
 ⟨proof⟩

**lemma**  $subsetq\_multiset\_transfer[transfer\_rule]$ :  
**assumes**  $[transfer\_rule]: bi\_unique R right\_total R$   
**shows**  $(rel\_fun (rel\_mset R) (rel\_fun (rel\_mset R) (=)))$   
 $(\lambda M N. filter\_mset (Domainp R) M \subseteq\# filter\_mset (Domainp R) N) (\subseteq\#)$   
 ⟨proof⟩

**lemma**  $sum\_mset\_transfer[transfer\_rule]$ :  
 $R\ 0\ 0 \implies rel\_fun R (rel\_fun R R) (+) (+) \implies (rel\_fun (rel\_mset R) R) sum\_mset sum\_mset$   
 ⟨proof⟩

**lemma**  $Sigma\_mset\_transfer[transfer\_rule]$ :  
 $(rel\_fun (rel\_mset R) (rel\_fun (rel\_fun R (rel\_mset S)) (rel\_mset (rel\_prod R S))))$   
 $Sigma\_mset Sigma\_mset$   
 ⟨proof⟩

## 2.12 Even More about Multisets

### 2.12.1 Multisets and Functions

**lemma**  $range\_image\_mset$ :  
**assumes**  $set\_mset Ds \subseteq range f$   
**shows**  $Ds \in range (image\_mset f)$   
 ⟨proof⟩

### 2.12.2 Multisets and Lists

**lemma**  $length\_sorted\_list\_of\_multiset[simp]$ :  $length (sorted\_list\_of\_multiset A) = size A$   
 ⟨proof⟩

**definition**  $list\_of\_mset :: 'a multiset \Rightarrow 'a list$  **where**  
 $list\_of\_mset m = (SOME l. m = mset l)$

**lemma**  $list\_of\_mset\_exi: \exists l. m = mset l$   
 ⟨proof⟩

**lemma**  $mset\_list\_of\_mset[simp]$ :  $mset (list\_of\_mset m) = m$   
 ⟨proof⟩

**lemma**  $length\_list\_of\_mset[simp]$ :  $length (list\_of\_mset A) = size A$   
 ⟨proof⟩

**lemma**  $range\_mset\_map$ :  
**assumes**  $set\_mset Ds \subseteq range f$   
**shows**  $Ds \in range (\lambda Cl. mset (map f Cl))$   
 ⟨proof⟩

**lemma**  $list\_of\_mset\_empty[iff]$ :  $list\_of\_mset m = [] \longleftrightarrow m = \{\#\}$   
 ⟨proof⟩

**lemma**  $in\_mset\_conv\_nth$ :  $(x \in\# mset xs) = (\exists i < length xs. xs ! i = x)$   
 ⟨proof⟩

**lemma**  $in\_mset\_sum\_list$ :  
**assumes**  $L \in\# LL$

**assumes**  $LL \in \text{set } Ci$   
**shows**  $L \in\# \text{sum\_list } Ci$   
 $\langle \text{proof} \rangle$

**lemma** *in\_mset\_sum\_list2*:  
**assumes**  $L \in\# \text{sum\_list } Ci$   
**obtains**  $LL$  **where**  
 $LL \in \text{set } Ci$   
 $L \in\# LL$   
 $\langle \text{proof} \rangle$

**lemma** *in\_mset\_sum\_list\_iff*:  $a \in\# \text{sum\_list } \mathcal{A} \iff (\exists A \in \text{set } \mathcal{A}. a \in\# A)$   
 $\langle \text{proof} \rangle$

**lemma** *subsetq\_list\_Union\_mset*:  
**assumes**  $\text{length } Ci = n$   
**assumes**  $\text{length } CAi = n$   
**assumes**  $\forall i < n. Ci ! i \subseteq\# CAi ! i$   
**shows**  $\sum\# (\text{mset } Ci) \subseteq\# \sum\# (\text{mset } CAi)$   
 $\langle \text{proof} \rangle$

### 2.12.3 More on Multisets and Functions

**lemma** *subsetq\_mset\_size\_eq1*:  $X \subseteq\# Y \implies \text{size } Y = \text{size } X \implies X = Y$   
 $\langle \text{proof} \rangle$

**lemma** *image\_mset\_of\_subset\_list*:  
**assumes**  $\text{image\_mset } \eta C' = \text{mset } lC$   
**shows**  $\exists qC'. \text{map } \eta qC' = lC \wedge \text{mset } qC' = C'$   
 $\langle \text{proof} \rangle$

**lemma** *image\_mset\_of\_subset*:  
**assumes**  $A \subseteq\# \text{image\_mset } \eta C'$   
**shows**  $\exists A'. \text{image\_mset } \eta A' = A \wedge A' \subseteq\# C'$   
 $\langle \text{proof} \rangle$

**lemma** *all\_the\_same*:  $\forall x \in\# X. x = y \implies \text{card } (\text{set\_mset } X) \leq \text{Suc } 0$   
 $\langle \text{proof} \rangle$

**lemma** *Melem\_subsetq\_Union\_mset[simp]*:  
**assumes**  $x \in\# T$   
**shows**  $x \subseteq\# \sum\# T$   
 $\langle \text{proof} \rangle$

**lemma** *Melem\_subset\_eq\_sum\_list[simp]*:  
**assumes**  $x \in\# \text{mset } T$   
**shows**  $x \subseteq\# \text{sum\_list } T$   
 $\langle \text{proof} \rangle$

**lemma** *less\_subset\_eq\_Union\_mset[simp]*:  
**assumes**  $i < \text{length } CAi$   
**shows**  $CAi ! i \subseteq\# \sum\# (\text{mset } CAi)$   
 $\langle \text{proof} \rangle$

**lemma** *less\_subset\_eq\_sum\_list[simp]*:  
**assumes**  $i < \text{length } CAi$   
**shows**  $CAi ! i \subseteq\# \text{sum\_list } CAi$   
 $\langle \text{proof} \rangle$

### 2.12.4 More on Multiset Order

**lemma** *less\_multiset\_doubletons*:  
**assumes**

```

    y < t ∨ y < s
    x < t ∨ x < s
shows
    {#y, x#} < {#t, s#}
    ⟨proof⟩

```

end

### 3 Signed (Finite) Multisets

```

theory Signed_Multiset
imports Multiset_More
abbrevs
    !z = z
begin

```

#### 3.1 Definition of Signed Multisets

```

definition equiv_zmset :: 'a multiset × 'a multiset ⇒ 'a multiset × 'a multiset ⇒ bool where
    equiv_zmset = (λ(Mp, Mn) (Np, Nn). Mp + Nn = Np + Mn)

```

```

quotient-type 'a zmset = 'a multiset × 'a multiset / equiv_zmset
    ⟨proof⟩

```

#### 3.2 Basic Operations on Signed Multisets

```

instantiation zmset :: (type) cancel_comm_monoid_add
begin

```

```

lift-definition zero_zmset :: 'a zmset is ({#}, {#}) ⟨proof⟩

```

```

abbreviation empty_zmset :: 'a zmset ({#}_z) where
    empty_zmset ≡ 0

```

```

lift-definition minus_zmset :: 'a zmset ⇒ 'a zmset ⇒ 'a zmset is
    λ(Mp, Mn) (Np, Nn). (Mp + Nn, Mn + Np)
    ⟨proof⟩

```

```

lift-definition plus_zmset :: 'a zmset ⇒ 'a zmset ⇒ 'a zmset is
    λ(Mp, Mn) (Np, Nn). (Mp + Np, Mn + Nn)
    ⟨proof⟩

```

```

instance
    ⟨proof⟩

```

end

```

instantiation zmset :: (type) group_add
begin

```

```

lift-definition uminus_zmset :: 'a zmset ⇒ 'a zmset is λ(Mp, Mn). (Mn, Mp)
    ⟨proof⟩

```

```

instance
    ⟨proof⟩

```

end

```

lift-definition zcount :: 'a zmset ⇒ 'a ⇒ int is
    λ(Mp, Mn) x. int (count Mp x) - int (count Mn x)
    ⟨proof⟩

```

```

lemma zcount_inject: zcount M = zcount N ⟷ M = N

```

*<proof>*

**lemma** *zmultiset\_eq\_iff*:  $M = N \iff (\forall a. \text{zcount } M \ a = \text{zcount } N \ a)$   
*<proof>*

**lemma** *zmultiset\_eqI*:  $(\bigwedge x. \text{zcount } A \ x = \text{zcount } B \ x) \implies A = B$   
*<proof>*

**lemma** *zcount\_uminus[simp]*:  $\text{zcount } (- A) \ x = - \text{zcount } A \ x$   
*<proof>*

**lift-definition** *add\_zmset* ::  $'a \Rightarrow 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset}$  **is**  
 $\lambda x \ (Mp, Mn). \ (\text{add\_mset } x \ Mp, Mn)$   
*<proof>*

**syntax**

*\_zmultiset* ::  $\text{args} \Rightarrow 'a \text{ zmultiset} \ (\{\#\_ \#\}_z)$

**translations**

$\{\#x, xs\}_z == \text{CONST } \text{add\_zmset } x \ \{\#xs\}_z$   
 $\{\#x\}_z == \text{CONST } \text{add\_zmset } x \ \{\#\}_z$

**lemma** *zcount\_empty[simp]*:  $\text{zcount } \{\#\}_z \ a = 0$   
*<proof>*

**lemma** *zcount\_add\_zmset[simp]*:  
 $\text{zcount } (\text{add\_zmset } b \ A) \ a = (\text{if } b = a \ \text{then } \text{zcount } A \ a + 1 \ \text{else } \text{zcount } A \ a)$   
*<proof>*

**lemma** *zcount\_single*:  $\text{zcount } \{\#b\}_z \ a = (\text{if } b = a \ \text{then } 1 \ \text{else } 0)$   
*<proof>*

**lemma** *add\_add\_same\_iff\_zmset[simp]*:  $\text{add\_zmset } a \ A = \text{add\_zmset } a \ B \iff A = B$   
*<proof>*

**lemma** *add\_zmset\_commute*:  $\text{add\_zmset } x \ (\text{add\_zmset } y \ M) = \text{add\_zmset } y \ (\text{add\_zmset } x \ M)$   
*<proof>*

**lemma**

*singleton\_ne\_empty\_zmset[simp]*:  $\{\#x\}_z \neq \{\#\}_z$  **and**  
*empty\_ne\_singleton\_zmset[simp]*:  $\{\#\}_z \neq \{\#x\}_z$   
*<proof>*

**lemma**

*singleton\_ne\_uminus\_singleton\_zmset[simp]*:  $\{\#x\}_z \neq - \{\#y\}_z$  **and**  
*uminus\_singleton\_ne\_singleton\_zmset[simp]*:  $- \{\#x\}_z \neq \{\#y\}_z$   
*<proof>*

### 3.2.1 Conversion to Set and Membership

**definition** *set\_zmset* ::  $'a \text{ zmultiset} \Rightarrow 'a \text{ set}$  **where**  
 $\text{set\_zmset } M = \{x. \text{zcount } M \ x \neq 0\}$

**abbreviation** *elem\_zmset* ::  $'a \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  **where**  
 $\text{elem\_zmset } a \ M \equiv a \in \text{set\_zmset } M$

**notation**

*elem\_zmset* ( $'(\in\#_z')$ ) **and**  
*elem\_zmset* ( $(\_ / \in\#_z \_)$  [51, 51] 50)

**notation** (*ASCII*)

*elem\_zmset* ( $'(:\#z')$ ) **and**  
*elem\_zmset* ( $(\_ / :\#z \_)$  [51, 51] 50)

**abbreviation** *not\_elem\_zmset* ::  $'a \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  **where**



$not\_elem\_zmset\ a\ M \equiv a \notin set\_zmset\ M$

**notation**

$not\_elem\_zmset\ ('(\notin\#_z\ '))$  and  
 $not\_elem\_zmset\ ((\_/\notin\#_z\ \_)\ [51, 51]\ 50)$

**notation (ASCII)**

$not\_elem\_zmset\ ('(\sim\#\#_z\ '))$  and  
 $not\_elem\_zmset\ ((\_/\sim\#\#_z\ \_)\ [51, 51]\ 50)$

**context**

**begin**

**qualified abbreviation**  $Ball :: 'a\ zmultiset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$  **where**  
 $Ball\ M \equiv Set.Ball\ (set\_zmset\ M)$

**qualified abbreviation**  $Bex :: 'a\ zmultiset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$  **where**  
 $Bex\ M \equiv Set.Bex\ (set\_zmset\ M)$

**end**

**syntax**

$\_ZMBall :: pttrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool\ ((\exists\forall\_\in\#_z\_\./\ \_)\ [0, 0, 10]\ 10)$   
 $\_ZMBex :: pttrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool\ ((\exists\exists\_\in\#_z\_\./\ \_)\ [0, 0, 10]\ 10)$

**syntax (ASCII)**

$\_ZMBall :: pttrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool\ ((\exists\forall\_\#\#_z\_\./\ \_)\ [0, 0, 10]\ 10)$   
 $\_ZMBex :: pttrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool\ ((\exists\exists\_\#\#_z\_\./\ \_)\ [0, 0, 10]\ 10)$

**translations**

$\forall x \in \#_z A. P \equiv CONST\ Signed\_Multiset.Ball\ A\ (\lambda x. P)$   
 $\exists x \in \#_z A. P \equiv CONST\ Signed\_Multiset.Bex\ A\ (\lambda x. P)$

**lemma**  $zcount\_eq\_zero\_iff: zcount\ M\ x = 0 \longleftrightarrow x \notin \#_z\ M$   
(proof)

**lemma**  $not\_in\_iff\_zmset: x \notin \#_z\ M \longleftrightarrow zcount\ M\ x = 0$   
(proof)

**lemma**  $zcount\_ne\_zero\_iff[simp]: zcount\ M\ x \neq 0 \longleftrightarrow x \in \#_z\ M$   
(proof)

**lemma**  $zcount\_inI:$   
**assumes**  $zcount\ M\ x = 0 \implies False$   
**shows**  $x \in \#_z\ M$   
(proof)

**lemma**  $set\_zmset\_empty[simp]: set\_zmset\ \{\#\}_z = \{\}$   
(proof)

**lemma**  $set\_zmset\_single: set\_zmset\ \{\#b\#_z\} = \{b\}$   
(proof)

**lemma**  $set\_zmset\_eq\_empty\_iff[simp]: set\_zmset\ M = \{\} \longleftrightarrow M = \{\#\}_z$   
(proof)

**lemma**  $finite\_count\_ne: finite\ \{x. count\ M\ x \neq count\ N\ x\}$   
(proof)

**lemma**  $finite\_set\_zmset[iff]: finite\ (set\_zmset\ M)$   
(proof)

**lemma**  $zmultiset\_nonemptyE[elim]:$

**assumes**  $A \neq \{\#\}_z$   
**obtains**  $x$  **where**  $x \in \#_z A$   
 $\langle$ proof $\rangle$

### 3.2.2 Union

**lemma** `zcount_union[simp]`:  $zcount (M + N) a = zcount M a + zcount N a$   
 $\langle$ proof $\rangle$

**lemma** `union_add_left_zmset[simp]`:  $add\_zmset a A + B = add\_zmset a (A + B)$   
 $\langle$ proof $\rangle$

**lemma** `union_zmset_add_zmset_right[simp]`:  $A + add\_zmset a B = add\_zmset a (A + B)$   
 $\langle$ proof $\rangle$

**lemma** `add_zmset_add_single`:  $\langle add\_zmset a A = A + \{\#a\#}_z \rangle$   
 $\langle$ proof $\rangle$

### 3.2.3 Difference

**lemma** `zcount_diff[simp]`:  $zcount (M - N) a = zcount M a - zcount N a$   
 $\langle$ proof $\rangle$

**lemma** `add_zmset_diff_bothsides`:  $\langle add\_zmset a M - add\_zmset a A = M - A \rangle$   
 $\langle$ proof $\rangle$

**lemma** `in_diff_zcount`:  $a \in \#_z M - N \longleftrightarrow zcount N a \neq zcount M a$   
 $\langle$ proof $\rangle$

**lemma** `diff_add_zmset`:  
**fixes**  $M N Q :: 'a\ zmset$   
**shows**  $M - (N + Q) = M - N - Q$   
 $\langle$ proof $\rangle$

**lemma** `insert_Diff_zmset[simp]`:  $add\_zmset x (M - \{\#x\#}_z) = M$   
 $\langle$ proof $\rangle$

**lemma** `diff_union_swap_zmset`:  $add\_zmset b (M - \{\#a\#}_z) = add\_zmset b M - \{\#a\#}_z$   
 $\langle$ proof $\rangle$

**lemma** `diff_add_zmset_swap[simp]`:  $add\_zmset b M - A = add\_zmset b (M - A)$   
 $\langle$ proof $\rangle$

**lemma** `diff_diff_add_zmset[simp]`:  $(M :: 'a\ zmset) - N - P = M - (N + P)$   
 $\langle$ proof $\rangle$

**lemma** `zmset_add[elim?]`:  
**obtains**  $B$  **where**  $A = add\_zmset a B$   
 $\langle$ proof $\rangle$

### 3.2.4 Equality of Signed Multisets

**lemma** `single_eq_single_zmset[simp]`:  $\{\#a\#}_z = \{\#b\#}_z \longleftrightarrow a = b$   
 $\langle$ proof $\rangle$

**lemma** `multi_self_add_other_not_self_zmset[simp]`:  $M = add\_zmset x M \longleftrightarrow False$   
 $\langle$ proof $\rangle$

**lemma** `add_zmset_remove_trivial`:  $\langle add\_zmset x M - \{\#x\#}_z = M \rangle$   
 $\langle$ proof $\rangle$

**lemma** `diff_single_eq_union_zmset`:  $M - \{\#x\#}_z = N \longleftrightarrow M = add\_zmset x N$   
 $\langle$ proof $\rangle$

**lemma** *union\_single\_eq\_diff\_zmset*:  $\text{add\_zmset } x \ M = N \implies M = N - \{\#x\}_z$   
 ⟨proof⟩

**lemma** *add\_zmset\_eq\_conv\_diff*:  
 $\text{add\_zmset } a \ M = \text{add\_zmset } b \ N \longleftrightarrow$   
 $M = N \wedge a = b \vee M = \text{add\_zmset } b \ (N - \{\#a\}_z) \wedge N = \text{add\_zmset } a \ (M - \{\#b\}_z)$   
 ⟨proof⟩

**lemma** *add\_zmset\_eq\_conv\_ex*:  
 $(\text{add\_zmset } a \ M = \text{add\_zmset } b \ N) =$   
 $(M = N \wedge a = b \vee (\exists K. M = \text{add\_zmset } b \ K \wedge N = \text{add\_zmset } a \ K))$   
 ⟨proof⟩

**lemma** *multi\_member\_split*:  $\exists A. M = \text{add\_zmset } x \ A$   
 ⟨proof⟩

### 3.3 Conversions from and to Multisets

**lift-definition** *zmset\_of* :: 'a multiset  $\Rightarrow$  'a zmset is  $\lambda f. (\text{Abs\_multiset } f, \{\#\})$  ⟨proof⟩

**lemma** *zmset\_of\_inject[simp]*:  $\text{zmset\_of } M = \text{zmset\_of } N \longleftrightarrow M = N$   
 ⟨proof⟩

**lemma** *zmset\_of\_empty[simp]*:  $\text{zmset\_of } \{\#\} = \{\#\}_z$   
 ⟨proof⟩

**lemma** *zmset\_of\_add\_mset[simp]*:  $\text{zmset\_of } (\text{add\_mset } x \ M) = \text{add\_zmset } x \ (\text{zmset\_of } M)$   
 ⟨proof⟩

**lemma** *zcount\_of\_mset[simp]*:  $\text{zcount } (\text{zmset\_of } M) \ x = \text{int } (\text{count } M \ x)$   
 ⟨proof⟩

**lemma** *zmset\_of\_plus*:  $\text{zmset\_of } (M + N) = \text{zmset\_of } M + \text{zmset\_of } N$   
 ⟨proof⟩

**lift-definition** *mset\_pos* :: 'a zmset  $\Rightarrow$  'a multiset is  $\lambda(Mp, Mn). \text{count } (Mp - Mn)$   
 ⟨proof⟩

**lift-definition** *mset\_neg* :: 'a zmset  $\Rightarrow$  'a multiset is  $\lambda(Mp, Mn). \text{count } (Mn - Mp)$   
 ⟨proof⟩

**lemma**  
*zmset\_of\_inverse[simp]*:  $\text{mset\_pos } (\text{zmset\_of } M) = M$  **and**  
*minus\_zmset\_of\_inverse[simp]*:  $\text{mset\_neg } (- \text{zmset\_of } M) = M$   
 ⟨proof⟩

**lemma** *neg\_zmset\_pos[simp]*:  $\text{mset\_neg } (\text{zmset\_of } M) = \{\#\}$   
 ⟨proof⟩

**lemma**  
*count\_mset\_pos[simp]*:  $\text{count } (\text{mset\_pos } M) \ x = \text{nat } (\text{zcount } M \ x)$  **and**  
*count\_mset\_neg[simp]*:  $\text{count } (\text{mset\_neg } M) \ x = \text{nat } (- \text{zcount } M \ x)$   
 ⟨proof⟩

**lemma**  
*mset\_pos\_empty[simp]*:  $\text{mset\_pos } \{\#\}_z = \{\#\}$  **and**  
*mset\_neg\_empty[simp]*:  $\text{mset\_neg } \{\#\}_z = \{\#\}$   
 ⟨proof⟩

**lemma**  
*mset\_pos\_singleton[simp]*:  $\text{mset\_pos } \{\#x\}_z = \{\#x\}$  **and**  
*mset\_neg\_singleton[simp]*:  $\text{mset\_neg } \{\#x\}_z = \{\#\}$   
 ⟨proof⟩

**lemma**

*mset\_pos\_neg\_partition*:  $M = \text{zmset\_of } (\text{mset\_pos } M) - \text{zmset\_of } (\text{mset\_neg } M)$  **and**  
*mset\_pos\_as\_neg*:  $\text{zmset\_of } (\text{mset\_pos } M) = \text{zmset\_of } (\text{mset\_neg } M) + M$  **and**  
*mset\_neg\_as\_pos*:  $\text{zmset\_of } (\text{mset\_neg } M) = \text{zmset\_of } (\text{mset\_pos } M) - M$   
 ⟨proof⟩

**lemma** *mset\_pos\_uminus[simp]*:  $\text{mset\_pos } (- A) = \text{mset\_neg } A$   
 ⟨proof⟩

**lemma** *mset\_neg\_uminus[simp]*:  $\text{mset\_neg } (- A) = \text{mset\_pos } A$   
 ⟨proof⟩

**lemma** *mset\_pos\_plus[simp]*:  
 $\text{mset\_pos } (A + B) = (\text{mset\_pos } A - \text{mset\_neg } B) + (\text{mset\_pos } B - \text{mset\_neg } A)$   
 ⟨proof⟩

**lemma** *mset\_neg\_plus[simp]*:  
 $\text{mset\_neg } (A + B) = (\text{mset\_neg } A - \text{mset\_pos } B) + (\text{mset\_neg } B - \text{mset\_pos } A)$   
 ⟨proof⟩

**lemma** *mset\_pos\_diff[simp]*:  
 $\text{mset\_pos } (A - B) = (\text{mset\_pos } A - \text{mset\_pos } B) + (\text{mset\_neg } B - \text{mset\_neg } A)$   
 ⟨proof⟩

**lemma** *mset\_neg\_diff[simp]*:  
 $\text{mset\_neg } (A - B) = (\text{mset\_neg } A - \text{mset\_neg } B) + (\text{mset\_pos } B - \text{mset\_pos } A)$   
 ⟨proof⟩

**lemma** *mset\_pos\_neg\_dual*:  
 $\text{mset\_pos } a + \text{mset\_pos } b + (\text{mset\_neg } a - \text{mset\_pos } b) + (\text{mset\_neg } b - \text{mset\_pos } a) =$   
 $\text{mset\_neg } a + \text{mset\_neg } b + (\text{mset\_pos } a - \text{mset\_neg } b) + (\text{mset\_pos } b - \text{mset\_neg } a)$   
 ⟨proof⟩

**lemma** *decompose\_zmset\_of2*:

**obtains**  $A B C$  **where**

$$M = \text{zmset\_of } A + C \text{ and}$$

$$N = \text{zmset\_of } B + C$$

⟨proof⟩

### 3.3.1 Pointwise Ordering Induced by *zcount*

**definition** *subseteq\_zmset* ::  $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow \text{bool}$  (**infix**  $\subseteq\#_z$  50) **where**  
 $A \subseteq\#_z B \longleftrightarrow (\forall a. \text{zcount } A \ a \leq \text{zcount } B \ a)$

**definition** *subset\_zmset* ::  $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow \text{bool}$  (**infix**  $\subset\#_z$  50) **where**  
 $A \subset\#_z B \longleftrightarrow A \subseteq\#_z B \wedge A \neq B$

**abbreviation** (*input*)

$$\text{supseteq\_zmset} :: 'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow \text{bool} \text{ (infix } \supseteq\#_z \text{ 50)}$$

**where**

$$\text{supseteq\_zmset } A \ B \equiv B \subseteq\#_z A$$

**abbreviation** (*input*)

$$\text{supset\_zmset} :: 'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow \text{bool} \text{ (infix } \supset\#_z \text{ 50)}$$

**where**

$$\text{supset\_zmset } A \ B \equiv B \subset\#_z A$$

**notation** (*input*)

*subseqeq\_zmset* (**infix**  $\subseteq\#_z$  50) **and**

*supseqeq\_zmset* (**infix**  $\supseteq\#_z$  50)

**notation** (*ASCII*)

*subseqeq\_zmset* (**infix**  $\subseteq\#_z$  50) **and**

*subseq\_zmset* (**infix**  $\subset\#_z$  50) **and**

*supseteq\_zmset* (**infix**  $\supseteq_{\#z}$  50) **and**  
*supset\_zmset* (**infix**  $>_{\#z}$  50)

**interpretation** *subset\_zmset*: *ordered\_ab\_semigroup\_add\_imp\_le* (+) (-) ( $\subseteq_{\#z}$ ) ( $\subset_{\#z}$ )  
 ⟨proof⟩

**interpretation** *subset\_zmset*:  
*ordered\_ab\_semigroup\_monoid\_add\_imp\_le* (+) 0 (-) ( $\subseteq_{\#z}$ ) ( $\subset_{\#z}$ )  
 ⟨proof⟩

**lemma** *zmset\_subset\_eqI*:  $(\bigwedge a. \text{zcount } A \ a \leq \text{zcount } B \ a) \implies A \subseteq_{\#z} B$   
 ⟨proof⟩

**lemma** *zmset\_subset\_eq\_zcount*:  $A \subseteq_{\#z} B \implies \text{zcount } A \ a \leq \text{zcount } B \ a$   
 ⟨proof⟩

**lemma** *zmset\_subset\_eq\_add\_zmset\_cancel*:  $\langle \text{add\_zmset } a \ A \subseteq_{\#z} \text{add\_zmset } a \ B \longleftrightarrow A \subseteq_{\#z} B \rangle$   
 ⟨proof⟩

**lemma** *zmset\_subset\_eq\_zmultiset\_union\_diff\_commute*:  
 $A - B + C = A + C - B$  **for**  $A \ B \ C :: 'a \ \text{zmultiset}$   
 ⟨proof⟩

**lemma** *zmset\_subset\_eq\_insertD*:  $\text{add\_zmset } x \ A \subseteq_{\#z} B \implies A \subset_{\#z} B$   
 ⟨proof⟩

**lemma** *zmset\_subset\_insertD*:  $\text{add\_zmset } x \ A \subset_{\#z} B \implies A \subseteq_{\#z} B$   
 ⟨proof⟩

**lemma** *subset\_eq\_diff\_conv\_zmset*:  $A - C \subseteq_{\#z} B \longleftrightarrow A \subseteq_{\#z} B + C$   
 ⟨proof⟩

**lemma** *multi\_psub\_of\_add\_self\_zmset[simp]*:  $A \subset_{\#z} \text{add\_zmset } x \ A$   
 ⟨proof⟩

**lemma** *multi\_psub\_self\_zmset*:  $A \subset_{\#z} A = \text{False}$   
 ⟨proof⟩

**lemma** *zmset\_subset\_add\_zmset[simp]*:  $\text{add\_zmset } x \ N \subset_{\#z} \text{add\_zmset } x \ M \longleftrightarrow N \subset_{\#z} M$   
 ⟨proof⟩

**lemma** *zmset\_of\_subseteq\_iff[simp]*:  $\text{zmset\_of } M \subseteq_{\#z} \text{zmset\_of } N \longleftrightarrow M \subseteq_{\#} N$   
 ⟨proof⟩

**lemma** *zmset\_of\_subset\_iff[simp]*:  $\text{zmset\_of } M \subset_{\#z} \text{zmset\_of } N \longleftrightarrow M \subset_{\#} N$   
 ⟨proof⟩

**lemma**  
*mset\_pos\_supset*:  $A \subseteq_{\#z} \text{zmset\_of } (\text{mset\_pos } A)$  **and**  
*mset\_neg\_supset*:  $- A \subseteq_{\#z} \text{zmset\_of } (\text{mset\_neg } A)$   
 ⟨proof⟩

**lemma** *subset\_mset\_zmsetE*:  
**assumes**  $M \subset_{\#z} N$   
**obtains**  $A \ B \ C$  **where**  
 $M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \subset_{\#} B$   
 ⟨proof⟩

**lemma** *subseq\_mset\_zmsetE*:  
**assumes**  $M \subseteq_{\#z} N$   
**obtains**  $A \ B \ C$  **where**  
 $M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \subseteq_{\#} B$   
 ⟨proof⟩

### 3.3.2 Subset is an Order

**interpretation** *subset\_zmset*: order ( $\subseteq\#_z$ ) ( $\subset\#_z$ )  
 ⟨proof⟩

## 3.4 Replicate and Repeat Operations

**definition** *replicate\_zmset* :: nat  $\Rightarrow$  'a  $\Rightarrow$  'a zmultipset **where**  
*replicate\_zmset* n x = (add\_zmset x  $\overset{\sim}{\sim}$  n)  $\{\#\}_z$

**lemma** *replicate\_zmset\_0[simp]*: *replicate\_zmset* 0 x =  $\{\#\}_z$   
 ⟨proof⟩

**lemma** *replicate\_zmset\_Suc[simp]*: *replicate\_zmset* (Suc n) x = add\_zmset x (*replicate\_zmset* n x)  
 ⟨proof⟩

**lemma** *count\_replicate\_zmset[simp]*:  
 zcount (*replicate\_zmset* n x) y = (if y = x then of\_nat n else 0)  
 ⟨proof⟩

**fun** *repeat\_zmset* :: nat  $\Rightarrow$  'a zmultipset  $\Rightarrow$  'a zmultipset **where**  
*repeat\_zmset* 0 \_ =  $\{\#\}_z$  |  
*repeat\_zmset* (Suc n) A = A + *repeat\_zmset* n A

**lemma** *count\_repeat\_zmset[simp]*: zcount (*repeat\_zmset* i A) a = of\_nat i \* zcount A a  
 ⟨proof⟩

**lemma** *repeat\_zmset\_right[simp]*: *repeat\_zmset* a (*repeat\_zmset* b A) = *repeat\_zmset* (a \* b) A  
 ⟨proof⟩

**lemma** *left\_diff\_repeat\_zmset\_distrib'*:  
 $\langle i \geq j \implies \text{repeat\_zmset } (i - j) u = \text{repeat\_zmset } i u - \text{repeat\_zmset } j u \rangle$   
 ⟨proof⟩

**lemma** *left\_add\_mult\_distrib\_zmset*:  
*repeat\_zmset* i u + (*repeat\_zmset* j u + k) = *repeat\_zmset* (i+j) u + k  
 ⟨proof⟩

**lemma** *repeat\_zmset\_distrib*: *repeat\_zmset* (m + n) A = *repeat\_zmset* m A + *repeat\_zmset* n A  
 ⟨proof⟩

**lemma** *repeat\_zmset\_distrib2[simp]*:  
*repeat\_zmset* n (A + B) = *repeat\_zmset* n A + *repeat\_zmset* n B  
 ⟨proof⟩

**lemma** *repeat\_zmset\_replicate\_zmset[simp]*: *repeat\_zmset* n  $\{\#a\#\}_z = \text{replicate\_zmset } n a$   
 ⟨proof⟩

**lemma** *repeat\_zmset\_distrib\_add\_zmset[simp]*:  
*repeat\_zmset* n (add\_zmset a A) = *replicate\_zmset* n a + *repeat\_zmset* n A  
 ⟨proof⟩

**lemma** *repeat\_zmset\_empty[simp]*: *repeat\_zmset* n  $\{\#\}_z = \{\#\}_z$   
 ⟨proof⟩

#### 3.4.1 Filter (with Comprehension Syntax)

**lift-definition** *filter\_zmset* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a zmultipset  $\Rightarrow$  'a zmultipset **is**  
 $\lambda P (Mp, Mn). (\text{filter\_zmset } P Mp, \text{filter\_zmset } P Mn)$   
 ⟨proof⟩

**syntax** (ASCII)  
 \_ZMCollect :: ptrn  $\Rightarrow$  'a zmultipset  $\Rightarrow$  bool  $\Rightarrow$  'a zmultipset ((1  $\{\#\}_z$  :#z \_ / \_#))  
**syntax**

$\_ZMCollect :: ptrn \Rightarrow 'a\ zmultiset \Rightarrow bool \Rightarrow 'a\ zmultiset ((1\{\#\_ \in\#_z \_./ \_#\})$ )

**translations**

$\{\#x \in\#_z M. P\#\} == CONST\ filter\_zmset (\lambda x. P)\ M$

**lemma** *count\_filter\_zmset[simp]*:

$zcount (filter\_zmset\ P\ M)\ a = (if\ P\ a\ then\ zcount\ M\ a\ else\ 0)$   
 $\langle proof \rangle$

**lemma** *filter\_empty\_zmset[simp]*:  $filter\_zmset\ P\ \{\#\}_z = \{\#\}_z$

$\langle proof \rangle$

**lemma** *filter\_single\_zmset*:  $filter\_zmset\ P\ \{\#x\#\}_z = (if\ P\ x\ then\ \{\#x\#\}_z\ else\ \{\#\}_z)$

$\langle proof \rangle$

**lemma** *filter\_union\_zmset[simp]*:  $filter\_zmset\ P\ (M + N) = filter\_zmset\ P\ M + filter\_zmset\ P\ N$

$\langle proof \rangle$

**lemma** *filter\_diff\_zmset[simp]*:  $filter\_zmset\ P\ (M - N) = filter\_zmset\ P\ M - filter\_zmset\ P\ N$

$\langle proof \rangle$

**lemma** *filter\_add\_zmset[simp]*:

$filter\_zmset\ P\ (add\_zmset\ x\ A) =$   
 $(if\ P\ x\ then\ add\_zmset\ x\ (filter\_zmset\ P\ A)\ else\ filter\_zmset\ P\ A)$   
 $\langle proof \rangle$

**lemma** *zmultiset\_filter\_mono*:

**assumes**  $A \subseteq\#_z B$

**shows**  $filter\_zmset\ f\ A \subseteq\#_z filter\_zmset\ f\ B$

$\langle proof \rangle$

**lemma** *filter\_filter\_zmset*:  $filter\_zmset\ P\ (filter\_zmset\ Q\ M) = \{\#x \in\#_z M. Q\ x \wedge P\ x\#\}$

$\langle proof \rangle$

**lemma**

*filter\_zmset\_True[simp]*:  $\{\#y \in\#_z M. True\#\} = M$  **and**

*filter\_zmset\_False[simp]*:  $\{\#y \in\#_z M. False\#\} = \{\#\}_z$

$\langle proof \rangle$

### 3.5 Uncategorized

**lemma** *multi\_drop\_mem\_not\_eq\_zmset*:  $B - \{\#c\#\}_z \neq B$

$\langle proof \rangle$

**lemma** *zmultiset\_partition*:  $M = \{\#x \in\#_z M. P\ x\#\} + \{\#x \in\#_z M. \neg P\ x\#\}$

$\langle proof \rangle$

### 3.6 Image

**definition** *image\_zmset* ::  $('a \Rightarrow 'b) \Rightarrow 'a\ zmultiset \Rightarrow 'b\ zmultiset$  **where**

$image\_zmset\ f\ M =$   
 $zmset\_of (fold\_mset (add\_mset \circ f)\ \{\#\} (mset\_pos\ M)) -$   
 $zmset\_of (fold\_mset (add\_mset \circ f)\ \{\#\} (mset\_neg\ M))$

### 3.7 Multiset Order

**instantiation** *zmultiset* ::  $(preorder)\ order$

**begin**

**lift-definition** *less\_zmultiset* ::  $'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow bool$  **is**

$\lambda(Mp, Mn)\ (Np, Nn). Mp + Nn < Mn + Np$

$\langle proof \rangle$

**definition** *less\_eq\_zmultiset* ::  $'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow bool$  **where**

$less\_eq\_zmultiset\ M'\ M \longleftrightarrow M' < M \vee M' = M$

**instance**

$\langle$ proof $\rangle$

**end**

**instance** *zmultiset* :: (preorder) ordered\_cancel\_comm\_monoid\_add

$\langle$ proof $\rangle$

**instance** *zmultiset* :: (preorder) ordered\_ab\_group\_add

$\langle$ proof $\rangle$

**instantiation** *zmultiset* :: (linorder) distrib\_lattice

**begin**

**definition** *inf\_zmultiset* :: 'a *zmultiset*  $\Rightarrow$  'a *zmultiset*  $\Rightarrow$  'a *zmultiset* **where**

*inf\_zmultiset* A B = (if A < B then A else B)

**definition** *sup\_zmultiset* :: 'a *zmultiset*  $\Rightarrow$  'a *zmultiset*  $\Rightarrow$  'a *zmultiset* **where**

*sup\_zmultiset* A B = (if B > A then B else A)

**lemma** *not\_lt\_iff\_ge\_zmset*:  $\neg x < y \longleftrightarrow x \geq y$  **for**  $x\ y :: 'a\ \textit{zmultiset}$

$\langle$ proof $\rangle$

**instance**

$\langle$ proof $\rangle$

**end**

**lemma** *zmset\_of\_less*: *zmset\_of* M < *zmset\_of* N  $\longleftrightarrow$  M < N

$\langle$ proof $\rangle$

**lemma** *zmset\_of\_le*: *zmset\_of* M  $\leq$  *zmset\_of* N  $\longleftrightarrow$  M  $\leq$  N

$\langle$ proof $\rangle$

**instance** *zmultiset* :: (preorder) ordered\_ab\_semigroup\_add

$\langle$ proof $\rangle$

**lemma** *uminus\_add\_conv\_diff\_mset*[cancelation\_simproc\_pre]:  $\langle -a + b = b - a \rangle$  **for**  $a :: 'a\ \textit{zmultiset}$

$\langle$ proof $\rangle$

**lemma** *uminus\_add\_add\_uminus*[cancelation\_simproc\_pre]:  $\langle b - a + c = b + c - a \rangle$  **for**  $a :: 'a\ \textit{zmultiset}$

$\langle$ proof $\rangle$

**lemma** *add\_zmset\_eq\_add\_NO\_MATCH*[cancelation\_simproc\_pre]:

$\langle \textit{NO\_MATCH}\ \{\#\}_z\ H \implies \textit{add\_zmset}\ a\ H = \{\#a\#\}_z + H \rangle$

$\langle$ proof $\rangle$

**lemma** *repeat\_zmset\_iterate\_add*:  $\langle \textit{repeat\_zmset}\ n\ M = \textit{iterate\_add}\ n\ M \rangle$

$\langle$ proof $\rangle$

**declare** *repeat\_zmset\_iterate\_add*[cancelation\_simproc\_pre]

**declare** *repeat\_zmset\_iterate\_add*[symmetric, cancelation\_simproc\_post]

$\langle$ ML $\rangle$

**lemma** *zmset\_subseteq\_add\_iff1*:

$\langle j \leq i \implies (\textit{repeat\_zmset}\ i\ u + m \subseteq_{\#\_z} \textit{repeat\_zmset}\ j\ u + n) = (\textit{repeat\_zmset}\ (i - j)\ u + m \subseteq_{\#\_z} n) \rangle$

$\langle$ proof $\rangle$

**lemma** *zmset\_subseteq\_add\_iff2*:

$\langle i \leq j \implies (\textit{repeat\_zmset}\ i\ u + m \subseteq_{\#\_z} \textit{repeat\_zmset}\ j\ u + n) = (m \subseteq_{\#\_z} \textit{repeat\_zmset}\ (j - i)\ u + n) \rangle$



*<proof>*

**lemma** *zmset\_subset\_add\_iff1*:

$\langle j \leq i \implies (\text{repeat\_zmset } i \ u + m \subseteq\#_z \text{ repeat\_zmset } j \ u + n) = (\text{repeat\_zmset } (i - j) \ u + m \subseteq\#_z n) \rangle$   
*<proof>*

**lemma** *zmset\_subset\_add\_iff2*:

$\langle i \leq j \implies (\text{repeat\_zmset } i \ u + m \subseteq\#_z \text{ repeat\_zmset } j \ u + n) = (m \subseteq\#_z \text{ repeat\_zmset } (j - i) \ u + n) \rangle$   
*<proof>*

*<ML>*

**instance** *zmultiset* :: (*preorder*) *ordered\_ab\_semigroup\_add\_imp\_le*

*<proof>*

*<ML>*

**instance** *zmultiset* :: (*linorder*) *linordered\_cancel\_ab\_semigroup\_add*

*<proof>*

**lemma** *less\_mset\_zmsetE*:

**assumes**  $M < N$

**obtains**  $A \ B \ C$  **where**

$M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A < B$   
*<proof>*

**lemma** *less\_eq\_mset\_zmsetE*:

**assumes**  $M \leq N$

**obtains**  $A \ B \ C$  **where**

$M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \leq B$   
*<proof>*

**lemma** *subset\_eq\_imp\_le\_zmset*:  $M \subseteq\#_z N \implies M \leq N$

*<proof>*

**lemma** *subset\_imp\_less\_zmset*:  $M \subset\#_z N \implies M < N$

*<proof>*

**lemma** *lt\_imp\_ex\_zcount\_lt*:

**assumes**  $m\_lt\_n$ :  $M < N$

**shows**  $\exists y. \text{zcount } M \ y < \text{zcount } N \ y$

*<proof>*

**instance** *zmultiset* :: (*preorder*) *no\_top*

*<proof>*

**end**

## 4 Nested Multisets

**theory** *Nested\_Multiset*

**imports** *HOL-Library.Multiset\_Order*

**begin**

**declare** *multiset.map\_comp* [*simp*]

**declare** *multiset.map\_cong* [*cong*]

### 4.1 Type Definition

**datatype**  $'a \ nmultiset =$

$\text{Elem } 'a$

$| \text{MSet } 'a \ nmultiset \ multiset$

**inductive** *no\_elem* :: 'a *nmultiset*  $\Rightarrow$  *bool* **where**  
 $(\bigwedge X. X \in \# M \Rightarrow \text{no\_elem } X) \Rightarrow \text{no\_elem } (MSet M)$

**inductive-set** *sub\_nmset* :: ('a *nmultiset*  $\times$  'a *nmultiset*) *set* **where**  
 $X \in \# M \Rightarrow (X, MSet M) \in \text{sub\_nmset}$

**lemma** *wf\_sub\_nmset*[*simp*]: *wf sub\_nmset*  
 $\langle \text{proof} \rangle$

**primrec** *depth\_nmset* :: 'a *nmultiset*  $\Rightarrow$  *nat* ( $|\_$ ) **where**  
 $|Elem\ a| = 0$   
 $|MSet\ M| = (\text{let } X = \text{set\_mset } (\text{image\_mset } \text{depth\_nmset } M) \text{ in if } X = \{\} \text{ then } 0 \text{ else } \text{Suc } (\text{Max } X))$

**lemma** *depth\_nmset\_MSet*:  $x \in \# M \Rightarrow |x| < |MSet\ M|$   
 $\langle \text{proof} \rangle$

**declare** *depth\_nmset.simps*(2)[*simp del*]

## 4.2 Dershowitz and Manna's Nested Multiset Order

The Dershowitz–Manna extension:

**definition** *less\_multiset\_ext<sub>DM</sub>* :: ('a  $\Rightarrow$  'a  $\Rightarrow$  *bool*)  $\Rightarrow$  'a *multiset*  $\Rightarrow$  'a *multiset*  $\Rightarrow$  *bool* **where**  
 $\text{less\_multiset\_ext}_{DM}\ R\ M\ N \longleftrightarrow$   
 $(\exists X\ Y. X \neq \{\#\} \wedge X \subseteq \# N \wedge M = (N - X) + Y \wedge (\forall k. k \in \# Y \longrightarrow (\exists a. a \in \# X \wedge R\ k\ a)))$

**lemma** *less\_multiset\_ext<sub>DM</sub>\_imp\_mult*:

**assumes**  
 $N\_A: \text{set\_mset } N \subseteq A$  **and**  $M\_A: \text{set\_mset } M \subseteq A$  **and** *less*: *less\_multiset\_ext<sub>DM</sub>* *R* *M* *N*  
**shows**  $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R\ x\ y\}$   
 $\langle \text{proof} \rangle$

**lemma** *mult\_imp\_less\_multiset\_ext<sub>DM</sub>*:

**assumes**  
 $N\_A: \text{set\_mset } N \subseteq A$  **and**  $M\_A: \text{set\_mset } M \subseteq A$  **and**  
*trans*:  $\forall x \in A. \forall y \in A. \forall z \in A. R\ x\ y \longrightarrow R\ y\ z \longrightarrow R\ x\ z$  **and**  
*in\_mult*:  $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R\ x\ y\}$   
**shows** *less\_multiset\_ext<sub>DM</sub>* *R* *M* *N*  
 $\langle \text{proof} \rangle$

**lemma** *less\_multiset\_ext<sub>DM</sub>\_iff\_mult*:

**assumes**  
 $N\_A: \text{set\_mset } N \subseteq A$  **and**  $M\_A: \text{set\_mset } M \subseteq A$  **and**  
*trans*:  $\forall x \in A. \forall y \in A. \forall z \in A. R\ x\ y \longrightarrow R\ y\ z \longrightarrow R\ x\ z$   
**shows** *less\_multiset\_ext<sub>DM</sub>* *R* *M* *N*  $\longleftrightarrow (M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R\ x\ y\}$   
 $\langle \text{proof} \rangle$

**instantiation** *nmultiset* :: (*preorder*) *preorder*

**begin**

**lemma** *less\_multiset\_ext<sub>DM</sub>\_cong*[*fundef\_cong*]:

$(\bigwedge X\ Y\ k\ a. X \neq \{\#\} \Rightarrow X \subseteq \# N \Rightarrow M = (N - X) + Y \Rightarrow k \in \# Y \Rightarrow R\ k\ a = S\ k\ a) \Rightarrow$   
 $\text{less\_multiset\_ext}_{DM}\ R\ M\ N = \text{less\_multiset\_ext}_{DM}\ S\ M\ N$   
 $\langle \text{proof} \rangle$

**function** *less\_nmultiset* :: 'a *nmultiset*  $\Rightarrow$  'a *nmultiset*  $\Rightarrow$  *bool* **where**

$\text{less\_nmultiset } (Elem\ a) (Elem\ b) \longleftrightarrow a < b$   
 $\text{less\_nmultiset } (Elem\ a) (MSet\ M) \longleftrightarrow \text{True}$   
 $\text{less\_nmultiset } (MSet\ M) (Elem\ a) \longleftrightarrow \text{False}$   
 $\text{less\_nmultiset } (MSet\ M) (MSet\ N) \longleftrightarrow \text{less\_multiset\_ext}_{DM}\ \text{less\_nmultiset } M\ N$   
 $\langle \text{proof} \rangle$

**termination**

$\langle \text{proof} \rangle$

```

lemmas less_nmultiset_induct =
  less_nmultiset.induct[case_names Elem_Elem Elem_MSet MSet_Elem MSet_MSet]

lemmas less_nmultiset_cases =
  less_nmultiset.cases[case_names Elem_Elem Elem_MSet MSet_Elem MSet_MSet]

lemma trans_less_nmultiset:  $X < Y \implies Y < Z \implies X < Z$  for  $X Y Z :: 'a\ nmultiset$ 
⟨proof⟩

lemma irrefl_less_nmultiset:
  fixes  $X :: 'a\ nmultiset$ 
  shows  $X < X \implies False$ 
⟨proof⟩

lemma antisym_less_nmultiset:
  fixes  $X Y :: 'a\ nmultiset$ 
  shows  $X < Y \implies Y < X \implies False$ 
⟨proof⟩

definition less_eq_nmultiset ::  $'a\ nmultiset \Rightarrow 'a\ nmultiset \Rightarrow bool$  where
  less_eq_nmultiset  $X Y = (X < Y \vee X = Y)$ 

instance
⟨proof⟩

lemma less_multiset_extDM_less: less_multiset_extDM (<) = (<)
⟨proof⟩

end

instantiation nmultiset :: (order) order
begin

instance
⟨proof⟩

end

instantiation nmultiset :: (linorder) linorder
begin

lemma total_less_nmultiset:
  fixes  $X Y :: 'a\ nmultiset$ 
  shows  $\neg X < Y \implies Y \neq X \implies Y < X$ 
⟨proof⟩

instance
⟨proof⟩

end

lemma less_depth_nmultiset_imp_less_nmultiset:  $|X| < |Y| \implies X < Y$ 
⟨proof⟩

lemma less_nmultiset_imp_le_depth_nmultiset:  $X < Y \implies |X| \leq |Y|$ 
⟨proof⟩

lemma eq_mlex_I:
  fixes  $f :: 'a \Rightarrow nat$  and  $R :: 'a \Rightarrow 'a \Rightarrow bool$ 
  assumes  $\bigwedge X Y. f X < f Y \implies R X Y$  and antisym R
  shows  $\{(X, Y). R X Y\} = f < *mlex* > \{(X, Y). f X = f Y \wedge R X Y\}$ 
⟨proof⟩

```

**instantiation** *nmultiset* :: (*wellorder*) *wellorder*  
**begin**

**lemma** *depth\_nmset\_eq\_0[simp]*:  $|X| = 0 \longleftrightarrow (X = \text{MSet } \{\#\} \vee (\exists x. X = \text{Elem } x))$   
 ⟨*proof*⟩

**lemma** *depth\_nmset\_eq\_Suc[simp]*:  $|X| = \text{Suc } n \longleftrightarrow$   
 $(\exists N. X = \text{MSet } N \wedge (\exists Y \in \# N. |Y| = n) \wedge (\forall Y \in \# N. |Y| \leq n))$   
 ⟨*proof*⟩

**lemma** *wf\_less\_nmultiset\_depth*:  
 $wf \{(X :: 'a \text{ nmultiset}, Y). |X| = i \wedge |Y| = i \wedge X < Y\}$   
 ⟨*proof*⟩

**lemma** *wf\_less\_nmultiset*:  $wf \{(X :: 'a \text{ nmultiset}, Y :: 'a \text{ nmultiset}). X < Y\}$  (**is** *wf ?R*)  
 ⟨*proof*⟩

**instance** ⟨*proof*⟩

**end**

**end**

## 5 Hereditar(il)y (Finite) Multisets

**theory** *Hereditary\_Multiset*  
**imports** *Multiset\_More Nested\_Multiset*  
**begin**

### 5.1 Type Definition

**datatype** *hmultiset* =  
*HMSet* (*hmsetmset*: *hmultiset multiset*)

**lemma** *hmsetmset\_inject[simp]*:  $\text{hmsetmset } A = \text{hmsetmset } B \longleftrightarrow A = B$   
 ⟨*proof*⟩

**primrec** *Rep\_hmultiset* :: *hmultiset*  $\Rightarrow$  *unit nmultiset* **where**  
 $\text{Rep\_hmultiset } (\text{HMSet } M) = \text{MSet } (\text{image\_mset } \text{Rep\_hmultiset } M)$

**primrec** (*nonexhaustive*) *Abs\_hmultiset* :: *unit nmultiset*  $\Rightarrow$  *hmultiset* **where**  
 $\text{Abs\_hmultiset } (\text{MSet } M) = \text{HMSet } (\text{image\_mset } \text{Abs\_hmultiset } M)$

**lemma** *type\_definition\_hmultiset*: *type\_definition* *Rep\_hmultiset* *Abs\_hmultiset*  $\{X. \text{no\_elem } X\}$   
 ⟨*proof*⟩

**setup-lifting** *type\_definition\_hmultiset*

**lemma** *HMSet\_alt*:  $\text{HMSet} = \text{Abs\_hmultiset } \circ \text{MSet } \circ \text{image\_mset } \text{Rep\_hmultiset}$   
 ⟨*proof*⟩

**lemma** *HMSet\_transfer[transfer\_rule]*: *rel\_fun* (*rel\_mset* *pcr\_hmultiset*) *pcr\_hmultiset* *MSet HMSet*  
 ⟨*proof*⟩

### 5.2 Restriction of Dershowitz and Manna's Nested Multiset Order

**instantiation** *hmultiset* :: *linorder*  
**begin**

**lift-definition** *less\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *bool* **is** (*<*) ⟨*proof*⟩

**lift-definition** *less\_eq\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *bool* **is** (*≤*) ⟨*proof*⟩

**instance**

*<proof>*

**end**

**lemma** *less\_HMSet\_iff\_less\_multiset\_ext\_DM*:  $HMSet\ M < HMSet\ N \longleftrightarrow less\_multiset\_ext_{DM}\ (<) M\ N$   
*<proof>*

**lemma** *hmsetmset\_less[simp]*:  $hmsetmset\ M < hmsetmset\ N \longleftrightarrow M < N$   
*<proof>*

**lemma** *hmsetmset\_le[simp]*:  $hmsetmset\ M \leq hmsetmset\ N \longleftrightarrow M \leq N$   
*<proof>*

**lemma** *wf\_less\_hmultiset*:  $wf\ \{(X :: hmultiset, Y :: hmultiset). X < Y\}$   
*<proof>*

**instance** *hmultiset :: wellorder*  
*<proof>*

**lemma** *HMSet\_less[simp]*:  $HMSet\ M < HMSet\ N \longleftrightarrow M < N$   
*<proof>*

**lemma** *HMSet\_le[simp]*:  $HMSet\ M \leq HMSet\ N \longleftrightarrow M \leq N$   
*<proof>*

**lemma** *mem\_imp\_less\_HMSet*:  $k \in\# L \implies k < HMSet\ L$   
*<proof>*

**lemma** *mem\_hmsetmset\_imp\_less*:  $M \in\# hmsetmset\ N \implies M < N$   
*<proof>*

### 5.3 Disjoint Union and Truncated Difference

**instantiation** *hmultiset :: cancel\_comm\_monoid\_add*  
**begin**

**definition** *zero\_hmultiset :: hmultiset where*  
 $0 = HMSet\ \{\#\}$

**lemma** *hmsetmset\_empty\_iff[simp]*:  $hmsetmset\ n = \{\#\} \longleftrightarrow n = 0$   
*<proof>*

**lemma** *hmsetmset\_0[simp]*:  $hmsetmset\ 0 = \{\#\}$   
*<proof>*

**lemma**  
*HMSet\_eq\_0\_iff[simp]*:  $HMSet\ m = 0 \longleftrightarrow m = \{\#\}$  **and**  
*zero\_eq\_HMSet[simp]*:  $0 = HMSet\ m \longleftrightarrow m = \{\#\}$   
*<proof>*

**definition** *plus\_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where*  
 $A + B = HMSet\ (hmsetmset\ A + hmsetmset\ B)$

**definition** *minus\_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where*  
 $A - B = HMSet\ (hmsetmset\ A - hmsetmset\ B)$

**instance**  
*<proof>*

**end**

**lemma** *HMSet\_plus*:  $HMSet\ (A + B) = HMSet\ A + HMSet\ B$   
*<proof>*

**lemma** *HMSet\_diff*:  $HMSet (A - B) = HMSet A - HMSet B$   
⟨proof⟩

**lemma** *hmsetmset\_plus*:  $hmsetmset (M + N) = hmsetmset M + hmsetmset N$   
⟨proof⟩

**lemma** *hmsetmset\_diff*:  $hmsetmset (M - N) = hmsetmset M - hmsetmset N$   
⟨proof⟩

**lemma** *diff\_diff\_add\_hmset[simp]*:  $a - b - c = a - (b + c)$  **for**  $a b c :: hmultiset$   
⟨proof⟩

**instance** *hmultiset* :: *comm\_monoid\_diff*  
⟨proof⟩

⟨ML⟩

**instance** *hmultiset* :: *ordered\_cancel\_comm\_monoid\_add*  
⟨proof⟩

**instance** *hmultiset* :: *ordered\_ab\_semigroup\_add\_imp\_le*  
⟨proof⟩

**instantiation** *hmultiset* :: *order\_bot*  
**begin**

**definition** *bot\_hmultiset* :: *hmultiset* **where**  
*bot\_hmultiset* = 0

**instance**  
⟨proof⟩

**end**

**instance** *hmultiset* :: *no\_top*  
⟨proof⟩

**lemma** *le\_minus\_plus\_same\_hmset*:  $m \leq m - n + n$  **for**  $m n :: hmultiset$   
⟨proof⟩

## 5.4 Infimum and Supremum

**instantiation** *hmultiset* :: *distrib\_lattice*  
**begin**

**definition** *inf\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *hmultiset* **where**  
*inf\_hmultiset* A B = (if A < B then A else B)

**definition** *sup\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *hmultiset* **where**  
*sup\_hmultiset* A B = (if B > A then B else A)

**instance**  
⟨proof⟩

**end**

## 5.5 Inequalities

**lemma** *zero\_le\_hmset[simp]*:  $0 \leq M$  **for**  $M :: hmultiset$   
⟨proof⟩

**lemma**  
*le\_add1\_hmset*:  $n \leq n + m$  **and**

```

le_add2_hmset:  $n \leq m + n$  for  $n :: \text{hmultiset}$ 
⟨proof⟩

lemma le_zero_eq_hmset[simp]:  $M \leq 0 \iff M = 0$  for  $M :: \text{hmultiset}$ 
⟨proof⟩

lemma not_less_zero_hmset[simp]:  $\neg M < 0$  for  $M :: \text{hmultiset}$ 
⟨proof⟩

lemma not_gr_zero_hmset[simp]:  $\neg 0 < M \iff M = 0$  for  $M :: \text{hmultiset}$ 
⟨proof⟩

lemma zero_less_iff_neq_zero_hmset:  $0 < M \iff M \neq 0$  for  $M :: \text{hmultiset}$ 
⟨proof⟩

lemma zero_less_HMSet_iff[simp]:  $0 < \text{HMSet } M \iff M \neq \{\#\}$ 
⟨proof⟩

lemma gr_zeroI_hmset:  $(M = 0 \implies \text{False}) \implies 0 < M$  for  $M :: \text{hmultiset}$ 
⟨proof⟩

lemma gr_implies_not_zero_hmset:  $M < N \implies N \neq 0$  for  $M N :: \text{hmultiset}$ 
⟨proof⟩

lemma add_eq_0_iff_both_eq_0_hmset[simp]:  $M + N = 0 \iff M = 0 \wedge N = 0$  for  $M N :: \text{hmultiset}$ 
⟨proof⟩

lemma trans_less_add1_hmset:  $i < j \implies i < j + m$  for  $i j m :: \text{hmultiset}$ 
⟨proof⟩

lemma trans_less_add2_hmset:  $i < j \implies i < m + j$  for  $i j m :: \text{hmultiset}$ 
⟨proof⟩

lemma trans_le_add1_hmset:  $i \leq j \implies i \leq j + m$  for  $i j m :: \text{hmultiset}$ 
⟨proof⟩

lemma trans_le_add2_hmset:  $i \leq j \implies i \leq m + j$  for  $i j m :: \text{hmultiset}$ 
⟨proof⟩

lemma diff_le_self_hmset:  $m - n \leq m$  for  $m n :: \text{hmultiset}$ 
⟨proof⟩

end

```

## 6 Signed Hereditar(il)y (Finite) Multisets

```

theory Signed_Hereditary_Multiset
imports Signed_Multiset Hereditary_Multiset
begin

```

### 6.1 Type Definition

```

typedef zhmultiset = UNIV :: hmultiset zmultiset set
morphisms zhmssetmset ZHMSet
⟨proof⟩

lemmas ZHMSet_inverse[simp] = ZHMSet_inverse[OF UNIV_I]
lemmas ZHMSet_inject[simp] = ZHMSet_inject[OF UNIV_I UNIV_I]

declare
  zhmssetmset_inverse [simp]
  zhmssetmset_inject [simp]

```

setup-lifting *type\_definition\_zhmultiset*

## 6.2 Multiset Order

**instantiation** *zhmultiset* :: *linorder*  
**begin**

**lift-definition** *less\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset*  $\Rightarrow$  *bool* **is** ( $<$ )  $\langle$ *proof* $\rangle$

**lift-definition** *less\_eq\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset*  $\Rightarrow$  *bool* **is** ( $\leq$ )  $\langle$ *proof* $\rangle$

**instance**  
 $\langle$ *proof* $\rangle$

**end**

**lemmas** *ZHMSet\_less[simp]* = *less\_zhmultiset.abs\_eq*

**lemmas** *ZHMSet\_le[simp]* = *less\_eq\_zhmultiset.abs\_eq*

**lemmas** *zhmsetmset\_less[simp]* = *less\_zhmultiset.rep\_eq[symmetric]*

**lemmas** *zhmsetmset\_le[simp]* = *less\_eq\_zhmultiset.rep\_eq[symmetric]*

## 6.3 Embedding and Projections of Syntactic Ordinals

**abbreviation** *zhmset\_of* :: *hmultiset*  $\Rightarrow$  *zhmultiset* **where**  
*zhmset\_of* *M*  $\equiv$  *ZHMSet* (*zmset\_of* (*hmssetmset* *M*))

**lemma** *zhmset\_of\_inject[simp]*: *zhmset\_of* *M* = *zhmset\_of* *N*  $\longleftrightarrow$  *M* = *N*  
 $\langle$ *proof* $\rangle$

**lemma** *zhmset\_of\_less*: *zhmset\_of* *M*  $<$  *zhmset\_of* *N*  $\longleftrightarrow$  *M*  $<$  *N*  
 $\langle$ *proof* $\rangle$

**lemma** *zhmset\_of\_le*: *zhmset\_of* *M*  $\leq$  *zhmset\_of* *N*  $\longleftrightarrow$  *M*  $\leq$  *N*  
 $\langle$ *proof* $\rangle$

**abbreviation** *hmsset\_pos* :: *zhmultiset*  $\Rightarrow$  *hmultiset* **where**  
*hmsset\_pos* *M*  $\equiv$  *HMSet* (*mset\_pos* (*zhmsetmset* *M*))

**abbreviation** *hmsset\_neg* :: *zhmultiset*  $\Rightarrow$  *hmultiset* **where**  
*hmsset\_neg* *M*  $\equiv$  *HMSet* (*mset\_neg* (*zhmsetmset* *M*))

## 6.4 Disjoint Union and Difference

**instantiation** *zhmultiset* :: *cancel\_comm\_monoid\_add*  
**begin**

**lift-definition** *zero\_zhmultiset* :: *zhmultiset* **is**  $\{\#\}_z$   $\langle$ *proof* $\rangle$

**lift-definition** *plus\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset*  $\Rightarrow$  *zhmultiset* **is**  
 $\lambda A B. A + B$   $\langle$ *proof* $\rangle$

**lift-definition** *minus\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset*  $\Rightarrow$  *zhmultiset* **is**  
 $\lambda A B. A - B$   $\langle$ *proof* $\rangle$

**lemmas** *ZHMSet\_plus* = *plus\_zhmultiset.abs\_eq[symmetric]*

**lemmas** *ZHMSet\_diff* = *minus\_zhmultiset.abs\_eq[symmetric]*

**lemmas** *zhmsetmset\_plus* = *plus\_zhmultiset.rep\_eq*

**lemmas** *zhmsetmset\_diff* = *minus\_zhmultiset.rep\_eq*

**lemma** *zhmset\_of\_plus*: *zhmset\_of* (*A* + *B*) = *zhmset\_of* *A* + *zhmset\_of* *B*  
 $\langle$ *proof* $\rangle$

**lemma** *hmssetmset\_0[simp]*: *hmssetmset* 0 =  $\{\#\}$   
 $\langle$ *proof* $\rangle$



**instance**

*<proof>*

**end**

**lemma** *zhmset\_of\_0*:  $zhmset\_of\ 0 = 0$

*<proof>*

**lemma** *hmset\_pos\_plus*:

$hmset\_pos\ (A + B) = (hmset\_pos\ A - hmset\_neg\ B) + (hmset\_pos\ B - hmset\_neg\ A)$

*<proof>*

**lemma** *hmset\_neg\_plus*:

$hmset\_neg\ (A + B) = (hmset\_neg\ A - hmset\_pos\ B) + (hmset\_neg\ B - hmset\_pos\ A)$

*<proof>*

**lemma** *zhmset\_pos\_neg\_partition*:  $M = zhmset\_of\ (hmset\_pos\ M) - zhmset\_of\ (hmset\_neg\ M)$

*<proof>*

**lemma** *zhmset\_pos\_as\_neg*:  $zhmset\_of\ (hmset\_pos\ M) = zhmset\_of\ (hmset\_neg\ M) + M$

*<proof>*

**lemma** *zhmset\_neg\_as\_pos*:  $zhmset\_of\ (hmset\_neg\ M) = zhmset\_of\ (hmset\_pos\ M) - M$

*<proof>*

**lemma** *hmset\_pos\_neg\_dual*:

$hmset\_pos\ a + hmset\_pos\ b + (hmset\_neg\ a - hmset\_pos\ b) + (hmset\_neg\ b - hmset\_pos\ a) =$   
 $hmset\_neg\ a + hmset\_neg\ b + (hmset\_pos\ a - hmset\_neg\ b) + (hmset\_pos\ b - hmset\_neg\ a)$

*<proof>*

**lemma** *zhmset\_of\_sum\_list*:  $zhmset\_of\ (sum\_list\ Ms) = sum\_list\ (map\ zhmset\_of\ Ms)$

*<proof>*

**lemma** *less\_hmset\_zhmsetE*:

**assumes**  $m\_lt\_n$ :  $M < N$

**obtains**  $A\ B\ C$  **where**  $M = zhmset\_of\ A + C$  **and**  $N = zhmset\_of\ B + C$  **and**  $A < B$

*<proof>*

**lemma** *less\_eq\_hmset\_zhmsetE*:

**assumes**  $m\_le\_n$ :  $M \leq N$

**obtains**  $A\ B\ C$  **where**  $M = zhmset\_of\ A + C$  **and**  $N = zhmset\_of\ B + C$  **and**  $A \leq B$

*<proof>*

**instantiation** *zhmultiset* :: *ab\_group\_add*

**begin**

**lift-definition** *uminus\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset* **is**  $\lambda A. - A$  *<proof>*

**lemmas** *ZHMSet\_uminus* = *uminus\_zhmultiset.abs\_eq[symmetric]*

**lemmas** *zhmsetmset\_uminus* = *uminus\_zhmultiset.rep\_eq*

**instance**

*<proof>*

**end**

## 6.5 Infimum and Supremum

**instance** *zhmultiset* :: *ordered\_cancel\_comm\_monoid\_add*

*<proof>*

**instance** *zhmultiset* :: *ordered\_ab\_group\_add*

*<proof>*

**instantiation** *zhmultiset* :: *distrib\_lattice*  
**begin**

**definition** *inf\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset*  $\Rightarrow$  *zhmultiset* **where**  
*inf\_zhmultiset* *A B* = (*if A < B then A else B*)

**definition** *sup\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset*  $\Rightarrow$  *zhmultiset* **where**  
*sup\_zhmultiset* *A B* = (*if B > A then B else A*)

**instance**  
 ⟨*proof*⟩

**end**

**end**

## 7 Syntactic Ordinals in Cantor Normal Form

**theory** *Syntactic\_Ordinal*  
**imports** *Hereditary\_Multiset HOL-Library.Product\_Order HOL-Library.Extended\_Nat*  
**begin**

### 7.1 Natural (Hessenberg) Product

**instantiation** *hmultiset* :: *comm\_semiring\_1*  
**begin**

**abbreviation**  $\omega\_exp$  :: *hmultiset*  $\Rightarrow$  *hmultiset* ( $\omega^\wedge$ ) **where**  
 $\omega^\wedge \equiv \lambda m. HMSet \{\#m\#$

**definition** *one\_hmultiset* :: *hmultiset* **where**  
 $1 = \omega^\wedge 0$

**abbreviation**  $\omega$  :: *hmultiset* **where**  
 $\omega \equiv \omega^\wedge 1$

**definition** *times\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *hmultiset* **where**  
 $A * B = HMSet (image\_mset (case\_prod (+)) (hmsetmset A \times\# hmsetmset B))$

**lemma** *hmsetmset\_times*:  
 $hmsetmset (m * n) = image\_mset (case\_prod (+)) (hmsetmset m \times\# hmsetmset n)$   
 ⟨*proof*⟩

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *empty\_times\_left\_hmset[simp]*:  $HMSet \{\#\} * M = 0$   
 ⟨*proof*⟩

**lemma** *empty\_times\_right\_hmset[simp]*:  $M * HMSet \{\#\} = 0$   
 ⟨*proof*⟩

**lemma** *singleton\_times\_left\_hmset[simp]*:  $\omega^\wedge M * N = HMSet (image\_mset ((+) M) (hmsetmset N))$   
 ⟨*proof*⟩

**lemma** *singleton\_times\_right\_hmset[simp]*:  $N * \omega^\wedge M = HMSet (image\_mset ((+) M) (hmsetmset N))$   
 ⟨*proof*⟩

### 7.2 Inequalities

**definition** *plus\_nmultiset* :: *unit\_nmultiset*  $\Rightarrow$  *unit\_nmultiset*  $\Rightarrow$  *unit\_nmultiset* **where**

$plus\_nmultiset\ X\ Y = Rep\_hmultiset\ (Abs\_hmultiset\ X + Abs\_hmultiset\ Y)$

**lemma** *plus\_nmultiset\_mono*:

**assumes** *less*:  $(X, Y) < (X', Y')$  **and** *no\_elem*:  $no\_elem\ X\ no\_elem\ Y\ no\_elem\ X'\ no\_elem\ Y'$   
**shows**  $plus\_nmultiset\ X\ Y < plus\_nmultiset\ X'\ Y'$

*<proof>*

**lemma** *plus\_hmultiset\_transfer*[*transfer\_rule*]:

$(rel\_fun\ pcr\_hmultiset\ (rel\_fun\ pcr\_hmultiset\ pcr\_hmultiset))\ plus\_nmultiset\ (+)$

*<proof>*

**lemma** *Times\_mset\_monoL*:

**assumes** *less*:  $M < N$  **and** *Z\_nemp*:  $Z \neq \{\#\}$

**shows**  $M \times\# Z < N \times\# Z$

*<proof>*

**lemma** *times\_hmultiset\_monoL*:

$a < b \implies 0 < c \implies a * c < b * c$  **for**  $a\ b\ c :: hmultiset$

*<proof>*

**instance** *hmultiset* :: *linordered\_semiring\_strict*

*<proof>*

**lemma** *mult\_le\_mono1\_hmset*:  $i \leq j \implies i * k \leq j * k$  **for**  $i\ j\ k :: hmultiset$

*<proof>*

**lemma** *mult\_le\_mono2\_hmset*:  $i \leq j \implies k * i \leq k * j$  **for**  $i\ j\ k :: hmultiset$

*<proof>*

**lemma** *mult\_le\_mono\_hmset*:  $i \leq j \implies k \leq l \implies i * k \leq j * l$  **for**  $i\ j\ k\ l :: hmultiset$

*<proof>*

**lemma** *less\_iff\_add1\_le\_hmset*:  $m < n \iff m + 1 \leq n$  **for**  $m\ n :: hmultiset$

*<proof>*

**lemma** *zero\_less\_iff\_1\_le\_hmset*:  $0 < n \iff 1 \leq n$  **for**  $n :: hmultiset$

*<proof>*

**lemma** *less\_add\_1\_iff\_le\_hmset*:  $m < n + 1 \iff m \leq n$  **for**  $m\ n :: hmultiset$

*<proof>*

**instance** *hmultiset* :: *ordered\_cancel\_comm\_semiring*

*<proof>*

**instance** *hmultiset* :: *zero\_less\_one*

*<proof>*

**instance** *hmultiset* :: *linordered\_semiring\_1\_strict*

*<proof>*

**instance** *hmultiset* :: *bounded\_lattice\_bot*

*<proof>*

**instance** *hmultiset* :: *linordered\_nonzero\_semiring*

*<proof>*

**instance** *hmultiset* :: *semiring\_no\_zero\_divisors*

*<proof>*

**lemma** *lt\_1\_iff\_eq\_0\_hmset*:  $M < 1 \iff M = 0$  **for**  $M :: hmultiset$

*<proof>*

**lemma** *zero\_less\_mult\_iff\_hmset*[*simp*]:  $0 < m * n \iff 0 < m \wedge 0 < n$  **for**  $m\ n :: hmultiset$

*<proof>*

**lemma** *one\_le\_mult\_iff\_hmset[simp]*:  $1 \leq m * n \longleftrightarrow 1 \leq m \wedge 1 \leq n$  **for**  $m\ n :: \text{hmultiset}$   
*<proof>*

**lemma** *mult\_less\_cancel2\_hmset[simp]*:  $m * k < n * k \longleftrightarrow 0 < k \wedge m < n$  **for**  $k\ m\ n :: \text{hmultiset}$   
*<proof>*

**lemma** *mult\_less\_cancel1\_hmset[simp]*:  $k * m < k * n \longleftrightarrow 0 < k \wedge m < n$  **for**  $k\ m\ n :: \text{hmultiset}$   
*<proof>*

**lemma** *mult\_le\_cancel1\_hmset[simp]*:  $k * m \leq k * n \longleftrightarrow (0 < k \longrightarrow m \leq n)$  **for**  $k\ m\ n :: \text{hmultiset}$   
*<proof>*

**lemma** *mult\_le\_cancel2\_hmset[simp]*:  $m * k \leq n * k \longleftrightarrow (0 < k \longrightarrow m \leq n)$  **for**  $k\ m\ n :: \text{hmultiset}$   
*<proof>*

**lemma** *mult\_le\_cancel\_left1\_hmset*:  $y > 0 \implies x \leq x * y$  **for**  $x\ y :: \text{hmultiset}$   
*<proof>*

**lemma** *mult\_le\_cancel\_left2\_hmset*:  $y \leq 1 \implies x * y \leq x$  **for**  $x\ y :: \text{hmultiset}$   
*<proof>*

**lemma** *mult\_le\_cancel\_right1\_hmset*:  $y > 0 \implies x \leq y * x$  **for**  $x\ y :: \text{hmultiset}$   
*<proof>*

**lemma** *mult\_le\_cancel\_right2\_hmset*:  $y \leq 1 \implies y * x \leq x$  **for**  $x\ y :: \text{hmultiset}$   
*<proof>*

**lemma** *le\_square\_hmset*:  $m \leq m * m$  **for**  $m :: \text{hmultiset}$   
*<proof>*

**lemma** *le\_cube\_hmset*:  $m \leq m * (m * m)$  **for**  $m :: \text{hmultiset}$   
*<proof>*

**lemma**  
*less\_imp\_minus\_plus\_hmset*:  $m < n \implies k < k - m + n$  **and**  
*le\_imp\_minus\_plus\_hmset*:  $m \leq n \implies k \leq k - m + n$  **for**  $k\ m\ n :: \text{hmultiset}$   
*<proof>*

**lemma** *gt\_0\_lt\_mult\_gt\_1\_hmset*:  
**fixes**  $m\ n :: \text{hmultiset}$   
**assumes**  $m > 0$  **and**  $n > 1$   
**shows**  $m < m * n$   
*<proof>*

**instance** *hmultiset* :: *linordered\_comm\_semiring\_strict*  
*<proof>*

### 7.3 Embedding of Natural Numbers

**lemma** *of\_nat\_hmset*:  $\text{of\_nat } n = \text{HMSet } (\text{replicate\_mset } n\ 0)$   
*<proof>*

**lemma** *of\_nat\_inject\_hmset[simp]*:  $(\text{of\_nat } m :: \text{hmultiset}) = \text{of\_nat } n \longleftrightarrow m = n$   
*<proof>*

**lemma** *of\_nat\_minus\_hmset*:  $\text{of\_nat } (m - n) = (\text{of\_nat } m :: \text{hmultiset}) - \text{of\_nat } n$   
*<proof>*

**lemma** *plus\_of\_nat\_plus\_of\_nat\_hmset*:  
 $k + \text{of\_nat } m + \text{of\_nat } n = k + \text{of\_nat } (m + n)$  **for**  $k :: \text{hmultiset}$   
*<proof>*

**lemma** *plus\_of\_nat\_minus\_of\_nat\_hmset*:  
**fixes**  $k :: \text{hmultiset}$   
**assumes**  $n \leq m$   
**shows**  $k + \text{of\_nat } m - \text{of\_nat } n = k + \text{of\_nat } (m - n)$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_lt\_omega[simp]*:  $\text{of\_nat } n < \omega$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_ne\_omega[simp]*:  $\text{of\_nat } n \neq \omega$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_less\_hmset[simp]*:  $(\text{of\_nat } M :: \text{hmultiset}) < \text{of\_nat } N \longleftrightarrow M < N$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_le\_hmset[simp]*:  $(\text{of\_nat } M :: \text{hmultiset}) \leq \text{of\_nat } N \longleftrightarrow M \leq N$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_times\_omega\_exp*:  $\text{of\_nat } n * \omega^{\hat{m}} = \text{HMSet } (\text{replicate\_mset } n \ m)$   
 $\langle \text{proof} \rangle$

**lemma** *omega\_exp\_times\_of\_nat*:  $\omega^{\hat{m}} * \text{of\_nat } n = \text{HMSet } (\text{replicate\_mset } n \ m)$   
 $\langle \text{proof} \rangle$

## 7.4 Embedding of Extended Natural Numbers

**primrec** *hmset\_of\_enat* ::  $\text{enat} \Rightarrow \text{hmultiset}$  **where**  
 $\text{hmset\_of\_enat } (\text{enat } n) = \text{of\_nat } n$   
 $|\ \text{hmset\_of\_enat } \infty = \omega$

**lemma** *hmset\_of\_enat\_0[simp]*:  $\text{hmset\_of\_enat } 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *hmset\_of\_enat\_1[simp]*:  $\text{hmset\_of\_enat } 1 = 1$   
 $\langle \text{proof} \rangle$

**lemma** *hmset\_of\_enat\_of\_nat[simp]*:  $\text{hmset\_of\_enat } (\text{of\_nat } n) = \text{of\_nat } n$   
 $\langle \text{proof} \rangle$

**lemma** *hmset\_of\_enat\_numeral[simp]*:  $\text{hmset\_of\_enat } (\text{numeral } n) = \text{numeral } n$   
 $\langle \text{proof} \rangle$

**lemma** *hmset\_of\_enat\_le\_omega[simp]*:  $\text{hmset\_of\_enat } n \leq \omega$   
 $\langle \text{proof} \rangle$

**lemma** *hmset\_of\_enat\_eq\_omega\_iff[simp]*:  $\text{hmset\_of\_enat } n = \omega \longleftrightarrow n = \infty$   
 $\langle \text{proof} \rangle$

## 7.5 Head Omega

**definition** *head\_omega* ::  $\text{hmultiset} \Rightarrow \text{hmultiset}$  **where**  
 $\text{head\_omega } M = (\text{if } M = 0 \text{ then } 0 \text{ else } \omega^{\wedge}(\text{Max } (\text{set\_mset } (\text{hmsetmset } M))))$

**lemma** *head\_omega\_subseteq*:  $\text{hmsetmset } (\text{head\_omega } M) \subseteq\# \text{hmsetmset } M$   
 $\langle \text{proof} \rangle$

**lemma** *head\_omega\_eq\_0\_iff[simp]*:  $\text{head\_omega } m = 0 \longleftrightarrow m = 0$   
 $\langle \text{proof} \rangle$

**lemma** *head\_omega\_0[simp]*:  $\text{head\_omega } 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *head\_omega\_1[simp]*:  $\text{head\_omega } 1 = 1$   
 $\langle \text{proof} \rangle$

**lemma** *head\_ω\_of\_nat[simp]*:  $\text{head}_\omega (\text{of\_nat } n) = (\text{if } n = 0 \text{ then } 0 \text{ else } 1)$   
⟨proof⟩

**lemma** *head\_ω\_numeral[simp]*:  $\text{head}_\omega (\text{numeral } n) = 1$   
⟨proof⟩

**lemma** *head\_ω\_ω[simp]*:  $\text{head}_\omega \omega = \omega$   
⟨proof⟩

**lemma** *le\_imp\_head\_ω\_le*:  
  **assumes** *m\_le\_n*:  $m \leq n$   
  **shows**  $\text{head}_\omega m \leq \text{head}_\omega n$   
⟨proof⟩

**lemma** *head\_ω\_lt\_imp\_lt*:  $\text{head}_\omega m < \text{head}_\omega n \implies m < n$   
⟨proof⟩

**lemma** *head\_ω\_plus[simp]*:  $\text{head}_\omega (m + n) = \text{sup} (\text{head}_\omega m) (\text{head}_\omega n)$   
⟨proof⟩

**lemma** *head\_ω\_times[simp]*:  $\text{head}_\omega (m * n) = \text{head}_\omega m * \text{head}_\omega n$   
⟨proof⟩

## 7.6 More Inequalities and Some Equalities

**lemma** *zero\_lt\_ω[simp]*:  $0 < \omega$   
⟨proof⟩

**lemma** *one\_lt\_ω[simp]*:  $1 < \omega$   
⟨proof⟩

**lemma** *numeral\_lt\_ω[simp]*:  $\text{numeral } n < \omega$   
⟨proof⟩

**lemma** *one\_le\_ω[simp]*:  $1 \leq \omega$   
⟨proof⟩

**lemma** *of\_nat\_le\_ω[simp]*:  $\text{of\_nat } n \leq \omega$   
⟨proof⟩

**lemma** *numeral\_le\_ω[simp]*:  $\text{numeral } n \leq \omega$   
⟨proof⟩

**lemma** *not\_ω\_lt\_1[simp]*:  $\neg \omega < 1$   
⟨proof⟩

**lemma** *not\_ω\_lt\_of\_nat[simp]*:  $\neg \omega < \text{of\_nat } n$   
⟨proof⟩

**lemma** *not\_ω\_lt\_numeral[simp]*:  $\neg \omega < \text{numeral } n$   
⟨proof⟩

**lemma** *not\_ω\_le\_1[simp]*:  $\neg \omega \leq 1$   
⟨proof⟩

**lemma** *not\_ω\_le\_of\_nat[simp]*:  $\neg \omega \leq \text{of\_nat } n$   
⟨proof⟩

**lemma** *not\_ω\_le\_numeral[simp]*:  $\neg \omega \leq \text{numeral } n$   
⟨proof⟩

**lemma** *zero\_ne\_ω[simp]*:  $0 \neq \omega$   
⟨proof⟩

**lemma** *one\_ne\_omega*[simp]:  $1 \neq \omega$   
(proof)

**lemma** *numeral\_ne\_omega*[simp]: numeral  $n \neq \omega$   
(proof)

**lemma**  
*omega\_ne\_0*[simp]:  $\omega \neq 0$  and  
*omega\_ne\_1*[simp]:  $\omega \neq 1$  and  
*omega\_ne\_of\_nat*[simp]:  $\omega \neq \text{of\_nat } m$  and  
*omega\_ne\_numeral*[simp]:  $\omega \neq \text{numeral } n$   
(proof)

**lemma**  
*hmultiset\_of\_enat\_inject*[simp]:  $\text{hmultiset\_of\_enat } m = \text{hmultiset\_of\_enat } n \longleftrightarrow m = n$  and  
*hmultiset\_of\_enat\_less*[simp]:  $\text{hmultiset\_of\_enat } m < \text{hmultiset\_of\_enat } n \longleftrightarrow m < n$  and  
*hmultiset\_of\_enat\_le*[simp]:  $\text{hmultiset\_of\_enat } m \leq \text{hmultiset\_of\_enat } n \longleftrightarrow m \leq n$   
(proof)

**lemma** *lt\_omega\_imp\_ex\_of\_nat*:  
assumes *M\_lt\_omega*:  $M < \omega$   
shows  $\exists n. M = \text{of\_nat } n$   
(proof)

**lemma** *le\_omega\_imp\_ex\_hmultiset\_of\_enat*:  
assumes *M\_le\_omega*:  $M \leq \omega$   
shows  $\exists n. M = \text{hmultiset\_of\_enat } n$   
(proof)

**lemma** *lt\_omega\_lt\_omega\_imp\_times\_lt\_omega*:  $M < \omega \implies N < \omega \implies M * N < \omega$   
(proof)

**lemma** *times\_omega\_minus\_of\_nat*[simp]:  $m * \omega - \text{of\_nat } n = m * \omega$   
(proof)

**lemma** *times\_omega\_minus\_numeral*[simp]:  $m * \omega - \text{numeral } n = m * \omega$   
(proof)

**lemma** *omega\_minus\_of\_nat*[simp]:  $\omega - \text{of\_nat } n = \omega$   
(proof)

**lemma** *omega\_minus\_1*[simp]:  $\omega - 1 = \omega$   
(proof)

**lemma** *omega\_minus\_numeral*[simp]:  $\omega - \text{numeral } n = \omega$   
(proof)

**lemma** *hmultiset\_of\_enat\_minus\_enat*[simp]:  $\text{hmultiset\_of\_enat } (m - \text{enat } n) = \text{hmultiset\_of\_enat } m - \text{of\_nat } n$   
(proof)

**lemma** *of\_nat\_lt\_hmultiset\_of\_enat\_iff*:  $\text{of\_nat } m < \text{hmultiset\_of\_enat } n \longleftrightarrow \text{enat } m < n$   
(proof)

**lemma** *of\_nat\_le\_hmultiset\_of\_enat\_iff*:  $\text{of\_nat } m \leq \text{hmultiset\_of\_enat } n \longleftrightarrow \text{enat } m \leq n$   
(proof)

**lemma** *hmultiset\_of\_enat\_lt\_iff\_ne\_infinity*:  $\text{hmultiset\_of\_enat } x < \omega \longleftrightarrow x \neq \infty$   
(proof)

**lemma** *minus\_diff\_sym\_hmultiset*:  $m - (m - n) = n - (n - m)$  for  $m \ n :: \text{hmultiset}$   
(proof)

**lemma** *diff\_plus\_sym\_hmset*:  $(c - b) + b = (b - c) + c$  **for**  $b\ c :: \text{hmultiset}$   
 ⟨proof⟩

**lemma** *times\_diff\_plus\_sym\_hmset*:  $a * (c - b) + a * b = a * (b - c) + a * c$  **for**  $a\ b\ c :: \text{hmultiset}$   
 ⟨proof⟩

**lemma** *times\_of\_nat\_minus\_left*:  
 $(\text{of\_nat } m - \text{of\_nat } n) * l = \text{of\_nat } m * l - \text{of\_nat } n * l$  **for**  $l :: \text{hmultiset}$   
 ⟨proof⟩

**lemma** *times\_of\_nat\_minus\_right*:  
 $l * (\text{of\_nat } m - \text{of\_nat } n) = l * \text{of\_nat } m - l * \text{of\_nat } n$  **for**  $l :: \text{hmultiset}$   
 ⟨proof⟩

**lemma** *lt\_omega\_imp\_times\_minus\_left*:  $m < \omega \implies n < \omega \implies (m - n) * l = m * l - n * l$   
 ⟨proof⟩

**lemma** *lt\_omega\_imp\_times\_minus\_right*:  $m < \omega \implies n < \omega \implies l * (m - n) = l * m - l * n$   
 ⟨proof⟩

**lemma** *hmset\_pair\_decompose*:  
 $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (\text{head\_}\omega\ n1 \neq \text{head\_}\omega\ n2 \vee n1 = 0 \wedge n2 = 0)$   
 ⟨proof⟩

**lemma** *hmset\_pair\_decompose\_less*:  
**assumes**  $m1\ \text{lt}\ m2$ :  $m1 < m2$   
**shows**  $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge \text{head\_}\omega\ n1 < \text{head\_}\omega\ n2$   
 ⟨proof⟩

**lemma** *hmset\_pair\_decompose\_less\_eq*:  
**assumes**  $m1 \leq m2$   
**shows**  $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (\text{head\_}\omega\ n1 < \text{head\_}\omega\ n2 \vee n1 = 0 \wedge n2 = 0)$   
 ⟨proof⟩

**lemma** *mono\_cross\_mult\_less\_hmset*:  
**fixes**  $Aa\ A\ Ba\ B :: \text{hmultiset}$   
**assumes**  $A\ \text{lt}$ :  $A < Aa$  **and**  $B\ \text{lt}$ :  $B < Ba$   
**shows**  $A * Ba + B * Aa < A * B + Aa * Ba$   
 ⟨proof⟩

**lemma** *triple\_cross\_mult\_hmset*:  
 $An * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp))$   
 $+ (Cn * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp)))$   
 $+ (Ap * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp)))$   
 $+ Cp * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap)) =$   
 $An * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp))$   
 $+ (Cn * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap)))$   
 $+ (Ap * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp)))$   
 $+ Cp * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp))$   
**for**  $Ap\ An\ Bp\ Bn\ Cp\ Cn\ Dp\ Dn :: \text{hmultiset}$   
 ⟨proof⟩

## 7.7 Conversions to Natural Numbers

**definition** *offset\_hmset* ::  $\text{hmultiset} \Rightarrow \text{nat}$  **where**  
 $\text{offset\_hmset } M = \text{count } (\text{hmsetmset } M) 0$

**lemma** *offset\_hmset\_of\_nat[simp]*:  $\text{offset\_hmset } (\text{of\_nat } n) = n$   
 ⟨proof⟩

**lemma** *offset\_hmset\_numeral[simp]*:  $\text{offset\_hmset } (\text{numeral } n) = \text{numeral } n$   
 ⟨proof⟩

**definition** *sum\_coefs* ::  $\text{hmultiset} \Rightarrow \text{nat}$  **where**



$sum\_coefs\ M = size\ (hmsetmset\ M)$

**lemma**  $sum\_coefs\_distrib\_plus[simp]$ :  $sum\_coefs\ (M + N) = sum\_coefs\ M + sum\_coefs\ N$   
 ⟨proof⟩

**lemma**  $sum\_coefs\_gt\_0$ :  $sum\_coefs\ M > 0 \longleftrightarrow M > 0$   
 ⟨proof⟩

## 7.8 An Example

The following proof is based on an informal proof by Uwe Waldmann, inspired by a similar argument by Michel Ludwig.

**lemma**  $ludwig\_waldmann\_less$ :  
**fixes**  $\alpha 1\ \alpha 2\ \beta 1\ \beta 2\ \gamma\ \delta :: hmultiset$   
**assumes**  
 $\alpha\beta 2\gamma\_lt\_alpha\beta 1\gamma$ :  $\alpha 2 + \beta 2 * \gamma < \alpha 1 + \beta 1 * \gamma$  **and**  
 $\beta 2\_le\_beta 1$ :  $\beta 2 \leq \beta 1$  **and**  
 $\gamma\_lt\_delta$ :  $\gamma < \delta$   
**shows**  $\alpha 2 + \beta 2 * \delta < \alpha 1 + \beta 1 * \delta$   
 ⟨proof⟩

**end**

## 8 Signed Syntactic Ordinals in Cantor Normal Form

**theory**  $Signed\_Syntactic\_Ordinal$   
**imports**  $Signed\_Hereditary\_Multiset\ Syntactic\_Ordinal$   
**begin**

### 8.1 Natural (Hessenberg) Product

**instantiation**  $zhmultiset :: comm\_ring\_1$   
**begin**

**abbreviation**  $\omega_z\_exp :: hmultiset \Rightarrow zhmultiset\ (\omega_z \wedge)$  **where**  
 $\omega_z \wedge \equiv \lambda m. ZHMSet\ \{\#m\#}_z$

**lift-definition**  $one\_zhmultiset :: zhmultiset\ is\ \{\#0\#}_z$  ⟨proof⟩

**abbreviation**  $\omega_z :: zhmultiset$  **where**  
 $\omega_z \equiv \omega_z \wedge 1$

**lemma**  $\omega_z\_as\_omega$ :  $\omega_z = zhmsset\_of\ \omega$   
 ⟨proof⟩

**lift-definition**  $times\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset\ is$   
 $\lambda M\ N.$   
 $zmset\_of\ (hmsetmset\ (HMSet\ (mset\_pos\ M) * HMSet\ (mset\_pos\ N)))$   
 $-\ zmset\_of\ (hmsetmset\ (HMSet\ (mset\_pos\ M) * HMSet\ (mset\_neg\ N)))$   
 $+\ zmset\_of\ (hmsetmset\ (HMSet\ (mset\_neg\ M) * HMSet\ (mset\_neg\ N)))$   
 $-\ zmset\_of\ (hmsetmset\ (HMSet\ (mset\_neg\ M) * HMSet\ (mset\_pos\ N)))$  ⟨proof⟩

**lemmas**  $zhmssetmset\_times = times\_zhmultiset.rep\_eq$

**instance**  
 ⟨proof⟩

**end**

**lemma**  $zhmsset\_of\_1$ :  $zhmsset\_of\ 1 = 1$   
 ⟨proof⟩

**lemma** *zhmset\_of\_times*:  $zhmset\_of (A * B) = zhmset\_of A * zhmset\_of B$   
 ⟨proof⟩

**lemma** *zhmset\_of\_prod\_list*:  
 $zhmset\_of (prod\_list Ms) = prod\_list (map zhmset\_of Ms)$   
 ⟨proof⟩

## 8.2 Embedding of Natural Numbers

**lemma** *of\_nat\_zhmset*:  $of\_nat n = zhmset\_of (of\_nat n)$   
 ⟨proof⟩

**lemma** *of\_nat\_inject\_zhmset[simp]*:  $(of\_nat m :: zhmultiset) = of\_nat n \longleftrightarrow m = n$   
 ⟨proof⟩

**lemma** *plus\_of\_nat\_plus\_of\_nat\_zhmset*:  
 $k + of\_nat m + of\_nat n = k + of\_nat (m + n)$  **for**  $k :: zhmultiset$   
 ⟨proof⟩

**lemma** *plus\_of\_nat\_minus\_of\_nat\_zhmset*:  
**fixes**  $k :: zhmultiset$   
**assumes**  $n \leq m$   
**shows**  $k + of\_nat m - of\_nat n = k + of\_nat (m - n)$   
 ⟨proof⟩

**lemma** *of\_nat\_lt\_omega\_z[simp]*:  $of\_nat n < \omega_z$   
 ⟨proof⟩

**lemma** *of\_nat\_ne\_omega\_z[simp]*:  $of\_nat n \neq \omega_z$   
 ⟨proof⟩

## 8.3 Embedding of Extended Natural Numbers

**primrec** *zhmset\_of\_enat* ::  $enat \Rightarrow zhmultiset$  **where**  
 $zhmset\_of\_enat (enat n) = of\_nat n$   
 $| zhmset\_of\_enat \infty = \omega_z$

**lemma** *zhmset\_of\_enat\_0[simp]*:  $zhmset\_of\_enat 0 = 0$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_1[simp]*:  $zhmset\_of\_enat 1 = 1$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_of\_nat[simp]*:  $zhmset\_of\_enat (of\_nat n) = of\_nat n$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_numeral[simp]*:  $zhmset\_of\_enat (numeral n) = numeral n$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_le\_omega\_z[simp]*:  $zhmset\_of\_enat n \leq \omega_z$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_eq\_omega\_z\_iff[simp]*:  $zhmset\_of\_enat n = \omega_z \longleftrightarrow n = \infty$   
 ⟨proof⟩

## 8.4 Inequalities and Some (Dis)equalities

**instance** *zhmultiset* :: *zero\_less\_one*  
 ⟨proof⟩

**instantiation** *zhmultiset* :: *linordered\_idom*  
**begin**

**definition** *sgn\_zhmultiset* ::  $zhmultiset \Rightarrow zhmultiset$  **where**

$sgn\_zhmultiset\ M = (if\ M = 0\ then\ 0\ else\ if\ M > 0\ then\ 1\ else\ -1)$

**definition**  $abs\_zhmultiset :: zhmultiset \Rightarrow zhmultiset$  **where**  
 $abs\_zhmultiset\ M = (if\ M < 0\ then\ -\ M\ else\ M)$

**lemma**  $gt\_0\_times\_gt\_0\_imp$ :  
**fixes**  $a\ b :: zhmultiset$   
**assumes**  $a\_gt0: a > 0$  **and**  $b\_gt0: b > 0$   
**shows**  $a * b > 0$   
(proof)

**instance**  
(proof)

**end**

**lemma**  $le\_zhmset\_of\_pos: M \leq zhmset\_of\ (hmset\_pos\ M)$   
(proof)

**lemma**  $minus\_zhmset\_of\_pos\_le: -\ zhmset\_of\ (hmset\_neg\ M) \leq M$   
(proof)

**lemma**  $zhmset\_of\_nonneg[simp]: zhmset\_of\ M \geq 0$   
(proof)

**lemma**  
**fixes**  $n :: zhmultiset$   
**assumes**  $0 \leq m$   
**shows**  
 $le\_add1\_hmset: n \leq n + m$  **and**  
 $le\_add2\_hmset: n \leq m + n$   
(proof)

**lemma**  $less\_iff\_add1\_le\_zhmset: m < n \longleftrightarrow m + 1 \leq n$  **for**  $m\ n :: zhmultiset$   
(proof)

**lemma**  $gt\_0\_lt\_mult\_gt\_1\_zhmset$ :  
**fixes**  $m\ n :: zhmultiset$   
**assumes**  $m > 0$  **and**  $n > 1$   
**shows**  $m < m * n$   
(proof)

**lemma**  $zero\_less\_iff\_1\_le\_zhmset: 0 < n \longleftrightarrow 1 \leq n$  **for**  $n :: zhmultiset$   
(proof)

**lemma**  $less\_add\_1\_iff\_le\_hmset: m < n + 1 \longleftrightarrow m \leq n$  **for**  $m\ n :: zhmultiset$   
(proof)

**lemma**  $nonneg\_le\_mult\_right\_mono\_zhmset$ :  
**fixes**  $x\ y\ z :: zhmultiset$   
**assumes**  $x: 0 \leq x$  **and**  $y: 0 < y$  **and**  $z: x \leq z$   
**shows**  $x \leq y * z$   
(proof)

**instance**  $hmultiset :: ordered\_cancel\_comm\_semiring$   
(proof)

**instance**  $hmultiset :: linordered\_semiring_1\_strict$   
(proof)

**instance**  $hmultiset :: bounded\_lattice\_bot$   
(proof)

**instance** *hmultiset* :: *zero\_less\_one*  
⟨*proof*⟩

**instance** *hmultiset* :: *linordered\_nonzero\_semiring*  
⟨*proof*⟩

**instance** *hmultiset* :: *semiring\_no\_zero\_divisors*  
⟨*proof*⟩

**lemma** *zero\_lt\_omega\_z[simp]*:  $0 < \omega_z$   
⟨*proof*⟩

**lemma** *one\_lt\_omega\_z[simp]*:  $1 < \omega_z$   
⟨*proof*⟩

**lemma** *numeral\_lt\_omega\_z[simp]*: *numeral*  $n < \omega_z$   
⟨*proof*⟩

**lemma** *one\_le\_omega\_z[simp]*:  $1 \leq \omega_z$   
⟨*proof*⟩

**lemma** *of\_nat\_le\_omega\_z[simp]*: *of\_nat*  $n \leq \omega_z$   
⟨*proof*⟩

**lemma** *numeral\_le\_omega\_z[simp]*: *numeral*  $n \leq \omega_z$   
⟨*proof*⟩

**lemma** *not\_omega\_z\_lt\_1[simp]*:  $\neg \omega_z < 1$   
⟨*proof*⟩

**lemma** *not\_omega\_z\_lt\_of\_nat[simp]*:  $\neg \omega_z < \text{of\_nat } n$   
⟨*proof*⟩

**lemma** *not\_omega\_z\_lt\_numeral[simp]*:  $\neg \omega_z < \text{numeral } n$   
⟨*proof*⟩

**lemma** *not\_omega\_z\_le\_1[simp]*:  $\neg \omega_z \leq 1$   
⟨*proof*⟩

**lemma** *not\_omega\_z\_le\_of\_nat[simp]*:  $\neg \omega_z \leq \text{of\_nat } n$   
⟨*proof*⟩

**lemma** *not\_omega\_z\_le\_numeral[simp]*:  $\neg \omega_z \leq \text{numeral } n$   
⟨*proof*⟩

**lemma** *zero\_ne\_omega\_z[simp]*:  $0 \neq \omega_z$   
⟨*proof*⟩

**lemma** *one\_ne\_omega\_z[simp]*:  $1 \neq \omega_z$   
⟨*proof*⟩

**lemma** *numeral\_ne\_omega\_z[simp]*: *numeral*  $n \neq \omega_z$   
⟨*proof*⟩

**lemma**  
*omega\_z\_ne\_0[simp]*:  $\omega_z \neq 0$  **and**  
*omega\_z\_ne\_1[simp]*:  $\omega_z \neq 1$  **and**  
*omega\_z\_ne\_of\_nat[simp]*:  $\omega_z \neq \text{of\_nat } m$  **and**  
*omega\_z\_ne\_numeral[simp]*:  $\omega_z \neq \text{numeral } n$   
⟨*proof*⟩

**lemma**  
*zhmset\_of\_enat\_inject[simp]*: *zhmset\_of\_enat*  $m = \text{zhmset\_of\_enat } n \iff m = n$  **and**

*zhmset\_of\_enat\_lt\_iff\_lt[simp]*:  $zhmset\_of\_enat\ m < zhmset\_of\_enat\ n \longleftrightarrow m < n$  **and**  
*zhmset\_of\_enat\_le\_iff\_le[simp]*:  $zhmset\_of\_enat\ m \leq zhmset\_of\_enat\ n \longleftrightarrow m \leq n$   
 ⟨proof⟩

**lemma** *of\_nat\_lt\_zhmset\_of\_enat\_iff*:  $of\_nat\ m < zhmset\_of\_enat\ n \longleftrightarrow enat\ m < n$   
 ⟨proof⟩

**lemma** *of\_nat\_le\_zhmset\_of\_enat\_iff*:  $of\_nat\ m \leq zhmset\_of\_enat\ n \longleftrightarrow enat\ m \leq n$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_lt\_iff\_ne\_infinity*:  $zhmset\_of\_enat\ x < \omega_z \longleftrightarrow x \neq \infty$   
 ⟨proof⟩

## 8.5 An Example

A new proof of  $[[?α2.0 + ?β2.0 * ?γ < ?α1.0 + ?β1.0 * ?γ; ?β2.0 \leq ?β1.0; ?γ < ?δ]] \implies ?α2.0 + ?β2.0 * ?δ < ?α1.0 + ?β1.0 * ?δ$ :

**lemma**  
**fixes**  $\alpha1\ \alpha2\ \beta1\ \beta2\ \gamma\ \delta :: hmultiset$   
**assumes**  
 $\alpha\beta2\gamma\_lt\_αβ1\gamma$ :  $\alpha2 + \beta2 * \gamma < \alpha1 + \beta1 * \gamma$  **and**  
 $\beta2\_le\_β1$ :  $\beta2 \leq \beta1$  **and**  
 $\gamma\_lt\_δ$ :  $\gamma < \delta$   
**shows**  $\alpha2 + \beta2 * \delta < \alpha1 + \beta1 * \delta$   
 ⟨proof⟩

**end**

**theory** *Syntactic\_Ordinal\_Bridge*  
**imports** *HOL-Library.Sublist Ordinal.OrdinalOmega Syntactic\_Ordinal*  
**abbrevs**  
 $!h =_h$   
**begin**

# 9 Bridge between Huffman's Ordinal Library and the Syntactic Ordinals

## 9.1 Missing Lemmas about Huffman's Ordinals

**instantiation** *ordinal* :: *order\_bot*  
**begin**

**definition** *bot\_ordinal* :: *ordinal* **where**  
 $bot\_ordinal = 0$

**instance**  
 ⟨proof⟩

**end**

**lemma** *insort\_bot[simp]*:  $insort\ bot\ xs = bot \# xs$  **for**  $xs :: 'a::\{order\_bot,linorder\}\ list$   
 ⟨proof⟩

**lemmas** *insort\_0\_ordinal[simp]* = *insort\_bot[of xs :: ordinal list for xs, unfolded bot\_ordinal\_def]*

**lemma** *from\_cnf\_less\_ω\_exp*:  
**assumes**  $\forall k \in set\ ks.\ k < l$   
**shows**  $from\_cnf\ ks < \omega ** l$   
 ⟨proof⟩

**lemma** *from\_cnf\_0\_iff[simp]*:  $from\_cnf\ ks = 0 \longleftrightarrow ks = []$

*<proof>*

**lemma** *from\_cnf\_append[simp]: from\_cnf (ks @ ls) = from\_cnf ks + from\_cnf ls*  
*<proof>*

**lemma** *subseq\_from\_cnf\_less\_eq: Sublist.subseq ks ls  $\implies$  from\_cnf ks  $\leq$  from\_cnf ls*  
*<proof>*

## 9.2 Embedding of Syntactic Ordinals into Huffman's Ordinals

**abbreviation**  $\omega_h :: \text{hmultiset}$  **where**  
 $\omega_h \equiv \text{Syntactic\_Ordinal}.\omega$

**abbreviation**  $\omega_h\_exp :: \text{hmultiset} \Rightarrow \text{hmultiset}$  ( $\omega_h \hat{\ }^$ ) **where**  
 $\omega_h \hat{\ }^ \equiv \text{Syntactic\_Ordinal}.\omega\_exp$

**primrec** *ordinal\_of\_hmset :: hmultiset  $\Rightarrow$  ordinal* **where**  
*ordinal\_of\_hmset (HMSet M) =*  
*from\_cnf (rev (sorted\_list\_of\_multiset (image\_mset ordinal\_of\_hmset M)))*

**lemma** *ordinal\_of\_hmset\_0[simp]: ordinal\_of\_hmset 0 = 0*  
*<proof>*

**lemma** *ordinal\_of\_hmset\_suc[simp]: ordinal\_of\_hmset (k + 1) = ordinal\_of\_hmset k + 1*  
*<proof>*

**lemma** *ordinal\_of\_hmset\_1[simp]: ordinal\_of\_hmset 1 = 1*  
*<proof>*

**lemma** *ordinal\_of\_hmset\_omega[simp]: ordinal\_of\_hmset  $\omega_h = \omega$*   
*<proof>*

**lemma** *ordinal\_of\_hmset\_singleton[simp]: ordinal\_of\_hmset ( $\omega \hat{\ }^k$ ) =  $\omega ** \text{ordinal\_of\_hmset } k$*   
*<proof>*

**lemma** *ordinal\_of\_hmset\_iff[simp]: ordinal\_of\_hmset k = 0  $\longleftrightarrow$  k = 0*  
*<proof>*

**lemma** *less\_imp\_ordinal\_of\_hmset\_less: k < l  $\implies$  ordinal\_of\_hmset k < ordinal\_of\_hmset l*  
*<proof>*

**lemma** *ordinal\_of\_hmset\_less[simp]: ordinal\_of\_hmset k < ordinal\_of\_hmset l  $\longleftrightarrow$  k < l*  
*<proof>*

**end**

## 10 Termination of McCarthy's 91 Function

**theory** *McCarthy\_91*  
**imports** *HOL-Library.Multiset\_Order*  
**begin**

**lemma** *funpow\_rec: f  $\hat{\ }^n = (\text{if } n = 0 \text{ then id else } f \circ f \hat{\ }^{(n - 1)})$*   
*<proof>*

The  $f$  function captures the semantics of McCarthy's 91 function. The  $g$  function is a tail-recursive implementation of the function, whose termination is established using the multiset order. The definitions follow Dershowitz and Manna.

**definition**  $f :: \text{int} \Rightarrow \text{int}$  **where**  
 $f x = (\text{if } x > 100 \text{ then } x - 10 \text{ else } 91)$

**definition**  $\tau :: \text{nat} \Rightarrow \text{int} \Rightarrow \text{int multiset}$  **where**

$\tau n z = mset (map (\lambda i. (f \sim nat i) z) [0..int n - 1])$

**function**  $g :: nat \Rightarrow int \Rightarrow int$  **where**  
 $g n z = (if n = 0 then z else if z > 100 then g (n - 1) (z - 10) else g (n + 1) (z + 11))$   
 ⟨proof⟩  
**termination**  
 ⟨proof⟩

**declare**  $g.simps [simp del]$

**end**

## 11 Termination of the Hydra Battle

**theory** *Hydra\_Battle*  
**imports** *Syntactic\_Ordinal*  
**begin**

**hide-const (open)** *Nil Cons*

The  $h$  function and its auxiliaries  $f$  and  $d$  represent the hydra battle. The  $encode$  function converts a hydra (represented as a Lisp-like tree) to a syntactic ordinal. The definitions follow Dershowitz and Moser.

**datatype**  $lisp =$   
 $Nil$   
 $| Cons (car: lisp) (cdr: lisp)$   
**where**  
 $car Nil = Nil$   
 $cdr Nil = Nil$

**primrec**  $encode :: lisp \Rightarrow hmultiset$  **where**  
 $encode Nil = 0$   
 $| encode (Cons l r) = \omega^\sim(encode l) + encode r$

**primrec**  $f :: nat \Rightarrow lisp \Rightarrow lisp \Rightarrow lisp$  **where**  
 $f 0 y x = x$   
 $| f (Suc m) y x = Cons y (f m y x)$

**lemma**  $encode\_f: encode (f n y x) = of\_nat n * \omega^\sim(encode y) + encode x$   
 ⟨proof⟩

**function**  $d :: nat \Rightarrow lisp \Rightarrow lisp$  **where**  
 $d n x =$   
 $(if car x = Nil then cdr x$   
 $else if car (car x) = Nil then f n (cdr (car x)) (cdr x)$   
 $else Cons (d n (car x)) (cdr x))$   
 ⟨proof⟩

**termination**  
 ⟨proof⟩

**declare**  $d.simps[simp del]$

**function**  $h :: nat \Rightarrow lisp \Rightarrow lisp$  **where**  
 $h n x = (if x = Nil then Nil else h (n + 1) (d n x))$   
 ⟨proof⟩

**termination**  
 ⟨proof⟩

**declare**  $h.simps[simp del]$

**end**

## 12 Termination of the Goodstein Sequence

```
theory Goodstein_Sequence
imports Multiset_More Syntactic_Ordinal
begin
```

The *goodstein* function returns the successive values of the Goodstein sequence. It is defined in terms of *encode* and *decode* functions, which convert between natural numbers and ordinals. The development culminates with a proof of Goodstein's theorem.

### 12.1 Lemmas about Division

```
lemma div_mult_le: m div n * n ≤ m for m n :: nat
⟨proof⟩
```

```
lemma power_div_same_base:
  b ^ y ≠ 0 ⇒ x ≥ y ⇒ b ^ x div b ^ y = b ^ (x - y) for b :: 'a::semidom_divide
⟨proof⟩
```

### 12.2 Hereditary and Nonhereditary Base-*n* Systems

```
context
  fixes base :: nat
  assumes base_ge_2: base ≥ 2
begin
```

```
inductive well_base :: 'a multiset ⇒ bool where
  (∀ n. count M n < base) ⇒ well_base M
```

```
lemma well_base_filter: well_base M ⇒ well_base {#m ∈# M. p m#}
⟨proof⟩
```

```
lemma well_base_image_inj: well_base M ⇒ inj_on f (set_mset M) ⇒ well_base (image_mset f M)
⟨proof⟩
```

```
lemma well_base_bound:
  assumes
    well_base M and
    ∀ m ∈# M. m < n
  shows (∑ m ∈# M. base ^ m) < base ^ n
⟨proof⟩
```

```
inductive well_base_h :: hmultiset ⇒ bool where
  (∀ N ∈# hmsetmset M. well_base_h N) ⇒ well_base (hmsetmset M) ⇒ well_base_h M
```

```
lemma well_base_h_mono_hmset: well_base_h M ⇒ hmsetmset N ⊆# hmsetmset M ⇒ well_base_h N
⟨proof⟩
```

```
lemma well_base_h_imp_well_base: well_base_h M ⇒ well_base (hmsetmset M)
⟨proof⟩
```

### 12.3 Encoding of Natural Numbers into Ordinals

```
function encode :: nat ⇒ nat ⇒ hmultiset where
  encode e n =
    (if n = 0 then 0 else of_nat (n mod base) * ω ^ (encode 0 e) + encode (e + 1) (n div base))
⟨proof⟩
```

```
termination
⟨proof⟩
```

```
declare encode.simps[simp del]
```

```
lemma encode_0[simp]: encode e 0 = 0
⟨proof⟩
```



**lemma** *encode\_Suc*:  
 $encode\ e\ (Suc\ n) = of\_nat\ (Suc\ n\ mod\ base) * \omega^{(encode\ 0\ e) + encode\ (e + 1)\ (Suc\ n\ div\ base)}$   
 ⟨proof⟩

**lemma** *encode\_0\_iff*:  $encode\ e\ n = 0 \longleftrightarrow n = 0$   
 ⟨proof⟩

**lemma** *encode\_Suc\_exp*:  $encode\ (Suc\ e)\ n = encode\ e\ (base * n)$   
 ⟨proof⟩

**lemma** *encode\_exp\_0*:  $encode\ e\ n = encode\ 0\ (base^\wedge e * n)$   
 ⟨proof⟩

**lemma** *mem\_hmsetmset\_encodeD*:  $M \in\# hmsetmset\ (encode\ e\ n) \implies \exists e' \geq e. M = encode\ 0\ e'$   
 ⟨proof⟩

**lemma** *less\_imp\_encode\_less*:  $n < p \implies encode\ e\ n < encode\ e\ p$   
 ⟨proof⟩

**inductive** *aligned<sub>e</sub>* ::  $nat \Rightarrow hmsetmset \Rightarrow bool$  **where**  
 $(\forall m \in\# hmsetmset\ M. m \geq encode\ 0\ e) \implies aligned_e\ e\ M$

**lemma** *aligned\_e\_encode*:  $aligned_e\ e\ (encode\ e\ M)$   
 ⟨proof⟩

**lemma** *well\_base\_h\_encode*:  $well\_base_h\ (encode\ e\ n)$   
 ⟨proof⟩

## 12.4 Decoding of Natural Numbers from Ordinals

**primrec** *decode* ::  $nat \Rightarrow hmsetmset \Rightarrow nat$  **where**  
 $decode\ e\ (HMSet\ M) = (\sum m \in\# M. base^\wedge decode\ 0\ m) div\ base^\wedge e$

**lemma** *decode\_unfold*:  $decode\ e\ M = (\sum m \in\# hmsetmset\ M. base^\wedge decode\ 0\ m) div\ base^\wedge e$   
 ⟨proof⟩

**lemma** *decode\_0[simp]*:  $decode\ e\ 0 = 0$   
 ⟨proof⟩

**inductive** *aligned<sub>d</sub>* ::  $nat \Rightarrow hmsetmset \Rightarrow bool$  **where**  
 $(\forall m \in\# hmsetmset\ M. decode\ 0\ m \geq e) \implies aligned_d\ e\ M$

**lemma** *aligned\_d\_0[simp]*:  $aligned_d\ 0\ M$   
 ⟨proof⟩

**lemma** *aligned\_d\_mono\_exp\_Suc*:  $aligned_d\ (Suc\ e)\ M \implies aligned_d\ e\ M$   
 ⟨proof⟩

**lemma** *aligned\_d\_mono\_hmset*:  
**assumes**  $aligned_d\ e\ M$  **and**  $hmsetmset\ M' \subseteq\# hmsetmset\ M$   
**shows**  $aligned_d\ e\ M'$   
 ⟨proof⟩

**lemma** *decode\_exp\_shift\_Suc*:  
**assumes**  $align_d: aligned_d\ (Suc\ e)\ M$   
**shows**  $decode\ e\ M = base * decode\ (Suc\ e)\ M$   
 ⟨proof⟩

**lemma** *decode\_exp\_shift*:  
**assumes**  $aligned_d\ e\ M$   
**shows**  $decode\ 0\ M = base^\wedge e * decode\ e\ M$   
 ⟨proof⟩

**lemma** *decode\_plus*:  
**assumes** *align\_d\_M*: *aligned\_d e M*  
**shows** *decode e (M + N) = decode e M + decode e N*  
 ⟨*proof*⟩

**lemma** *less\_imp\_decode\_less*:  
**assumes**  
   *well\_base\_h M* **and**  
   *aligned\_d e M* **and**  
   *aligned\_d e N* **and**  
   *M < N*  
**shows** *decode e M < decode e N*  
 ⟨*proof*⟩

**lemma** *inj\_decode*: *inj\_on (decode e) {M. well\_base\_h M ∧ aligned\_d e M}*  
 ⟨*proof*⟩

**lemma** *decode\_0\_iff*: *well\_base\_h M ⇒ aligned\_d e M ⇒ decode e M = 0 ↔ M = 0*  
 ⟨*proof*⟩

**lemma** *decode\_encode*: *decode e (encode e n) = n*  
 ⟨*proof*⟩

**lemma** *encode\_decode\_exp\_0*: *well\_base\_h M ⇒ encode 0 (decode 0 M) = M*  
 ⟨*proof*⟩

**end**

**lemma** *well\_base\_h\_mono\_base*:  
**assumes**  
   *well\_h*: *well\_base\_h base M* **and**  
   *two*:  $2 \leq \text{base}$  **and**  
   *bases*:  $\text{base} \leq \text{base}'$   
**shows** *well\_base\_h base' M*  
 ⟨*proof*⟩

## 12.5 The Goodstein Sequence and Goodstein's Theorem

**context**

**fixes** *start* :: *nat*

**begin**

**primrec** *goodstein* :: *nat* ⇒ *nat* **where**

*goodstein 0 = start*

| *goodstein (Suc i) = decode (i + 3) 0 (encode (i + 2) 0 (goodstein i)) - 1*

**lemma** *goodstein\_step*:

**assumes** *gi\_gt\_0*: *goodstein i > 0*

**shows** *encode (i + 2) 0 (goodstein i) > encode (i + 3) 0 (goodstein (i + 1))*

⟨*proof*⟩

**theorem** *goodsteins\_theorem*:  $\exists i. \text{goodstein } i = 0$

⟨*proof*⟩

**end**

**end**

## 13 Towards Decidability of Behavioral Equivalence for Unary PCF

**theory** *Unary\_PCF*

**imports**

*HOL-Library.FSet*

*HOL-Library.Countable\_Set\_Type*  
*HOL-Library.Nat\_Bijection*  
*Hereditary\_Multiset*  
*List-Index.List\_Index*

**begin**

### 13.1 Preliminaries

**lemma** *prod\_UNIV*:  $UNIV = UNIV \times UNIV$   
 ⟨*proof*⟩

**lemma** *infinite\_cartesian\_productI1*:  $infinite\ A \implies B \neq \{\} \implies infinite\ (A \times B)$   
 ⟨*proof*⟩

### 13.2 Types

**datatype** *type* =  $\mathcal{B}(\mathcal{B})$  | *Fun type type* (**infixr**  $\rightarrow$  65)

**definition** *mk\_fun* (**infixr**  $\rightarrow\rightarrow$  65) **where**  
 $Ts \rightarrow\rightarrow T = fold\ (\rightarrow)\ (rev\ Ts)\ T$

**primrec** *dest\_fun* **where**  
 $dest\_fun\ \mathcal{B} = []$   
 |  $dest\_fun\ (T \rightarrow U) = T \# dest\_fun\ U$

**definition** *arity* **where**  
 $arity\ T = length\ (dest\_fun\ T)$

**lemma** *mk\_fun\_dest\_fun[simp]*:  $dest\_fun\ T \rightarrow\rightarrow \mathcal{B} = T$   
 ⟨*proof*⟩

**lemma** *dest\_fun\_mk\_fun[simp]*:  $dest\_fun\ (Ts \rightarrow\rightarrow T) = Ts @ dest\_fun\ T$   
 ⟨*proof*⟩

**primrec**  $\delta$  **where**  
 $\delta\ \mathcal{B} = HMSet\ \{\#\}$   
 |  $\delta\ (T \rightarrow U) = HMSet\ (add\_mset\ (\delta\ T)\ (hmsetmset\ (\delta\ U)))$

**lemma**  $\delta\_mk\_fun$ :  $\delta\ (Ts \rightarrow\rightarrow T) = HMSet\ (hmsetmset\ (\delta\ T) + mset\ (map\ \delta\ Ts))$   
 ⟨*proof*⟩

**lemma** *type\_induct* [*case\_names Fun*]:  
**assumes**  
 $(\bigwedge T. (\bigwedge T1\ T2. T = T1 \rightarrow T2 \implies P\ T1) \implies$   
 $(\bigwedge T1\ T2. T = T1 \rightarrow T2 \implies P\ T2) \implies P\ T)$   
**shows**  $P\ T$   
 ⟨*proof*⟩

### 13.3 Terms

**type-synonym** *name* = *string*  
**type-synonym** *idx* = *nat*  
**datatype** *expr* =  
 $Var\ name * type\ (\langle \_ \rangle) | Bound\ idx | B\ bool$   
 |  $Seq\ expr\ expr\ (\mathbf{infixr}\ ?\ 75) | App\ expr\ expr\ (\mathbf{infixl}\ \cdot\ 75)$   
 |  $Abs\ type\ expr\ (\mathbf{\Lambda}\ \langle \_ \rangle\ \_ [100, 100] 800)$

**declare** [[*coercion\_enabled*]]  
**declare** [[*coercion B*]]  
**declare** [[*coercion Bound*]]

**notation** (**output**)  $B\ (\_)$   
**notation** (**output**)  $Bound\ (\_)$

**primrec**  $open :: idx \Rightarrow expr \Rightarrow expr \Rightarrow expr$  **where**

$open\ i\ t\ (j :: idx) = (if\ i = j\ then\ t\ else\ j)$   
|  $open\ i\ t\ \langle yU \rangle = \langle yU \rangle$   
|  $open\ i\ t\ (b :: bool) = b$   
|  $open\ i\ t\ (e1\ ?\ e2) = open\ i\ t\ e1\ ?\ open\ i\ t\ e2$   
|  $open\ i\ t\ (e1 \cdot e2) = open\ i\ t\ e1 \cdot open\ i\ t\ e2$   
|  $open\ i\ t\ (\Lambda\langle U \rangle\ e) = \Lambda\langle U \rangle\ (open\ (i + 1)\ t\ e)$

**abbreviation**  $open0 \equiv open\ 0$

**abbreviation**  $open\_Var\ i\ xT \equiv open\ i\ \langle xT \rangle$

**abbreviation**  $open0\_Var\ xT \equiv open\ 0\ \langle xT \rangle$

**primrec**  $close\_Var :: idx \Rightarrow name \times type \Rightarrow expr \Rightarrow expr$  **where**

$close\_Var\ i\ xT\ (j :: idx) = j$   
|  $close\_Var\ i\ xT\ \langle yU \rangle = (if\ xT = yU\ then\ i\ else\ \langle yU \rangle)$   
|  $close\_Var\ i\ xT\ (b :: bool) = b$   
|  $close\_Var\ i\ xT\ (e1\ ?\ e2) = close\_Var\ i\ xT\ e1\ ?\ close\_Var\ i\ xT\ e2$   
|  $close\_Var\ i\ xT\ (e1 \cdot e2) = close\_Var\ i\ xT\ e1 \cdot close\_Var\ i\ xT\ e2$   
|  $close\_Var\ i\ xT\ (\Lambda\langle U \rangle\ e) = \Lambda\langle U \rangle\ (close\_Var\ (i + 1)\ xT\ e)$

**abbreviation**  $close0\_Var \equiv close\_Var\ 0$

**primrec**  $fv :: expr \Rightarrow (name \times type)\ fset$  **where**

$fv\ (j :: idx) = \{\}\}$   
|  $fv\ \langle yU \rangle = \{\{yU\}\}$   
|  $fv\ (b :: bool) = \{\}\}$   
|  $fv\ (e1\ ?\ e2) = fv\ e1\ \cup\ fv\ e2$   
|  $fv\ (e1 \cdot e2) = fv\ e1\ \cup\ fv\ e2$   
|  $fv\ (\Lambda\langle U \rangle\ e) = fv\ e$

**abbreviation**  $fresh\ x\ e \equiv x \notin fv\ e$

**lemma**  $ex\_fresh: \exists x. (x :: char\ list, T) \notin A$

*<proof>*

**inductive**  $lc$  **where**

$lc\_Var[simp]: lc\ \langle xT \rangle$   
|  $lc\_B[simp]: lc\ (b :: bool)$   
|  $lc\_Seq: lc\ e1 \implies lc\ e2 \implies lc\ (e1\ ?\ e2)$   
|  $lc\_App: lc\ e1 \implies lc\ e2 \implies lc\ (e1 \cdot e2)$   
|  $lc\_Abs: (\forall x. (x, T) \notin X \longrightarrow lc\ (open0\_Var\ (x, T)\ e)) \implies lc\ (\Lambda\langle T \rangle\ e)$

**declare**  $lc.intros[intro]$

**definition**  $body\ T\ t \equiv (\exists X. \forall x. (x, T) \notin X \longrightarrow lc\ (open0\_Var\ (x, T)\ t))$

**lemma**  $lc\_Abs\_iff\_body: lc\ (\Lambda\langle T \rangle\ t) \longleftrightarrow body\ T\ t$

*<proof>*

**lemma**  $fv\_open\_Var: fresh\ xT\ t \implies fv\ (open\_Var\ i\ xT\ t) \subseteq\ finsert\ xT\ (fv\ t)$

*<proof>*

**lemma**  $fv\_close\_Var[simp]: fv\ (close\_Var\ i\ xT\ t) = fv\ t\ -\ \{\{xT\}\}$

*<proof>*

**lemma**  $close\_Var\_open\_Var[simp]: fresh\ xT\ t \implies close\_Var\ i\ xT\ (open\_Var\ i\ xT\ t) = t$

*<proof>*

**lemma**  $open\_Var\_inj: fresh\ xT\ t \implies fresh\ xT\ u \implies open\_Var\ i\ xT\ t = open\_Var\ i\ xT\ u \implies t = u$

*<proof>*

**context** **begin**

**private lemma** *open\_Var\_open\_Var\_close\_Var*:  $i \neq j \implies xT \neq yU \implies \text{fresh } yU \ t \implies$   
 $\text{open\_Var } i \ yU \ (\text{open\_Var } j \ zV \ (\text{close\_Var } j \ xT \ t)) = \text{open\_Var } j \ zV \ (\text{close\_Var } j \ xT \ (\text{open\_Var } i \ yU \ t))$   
 $\langle \text{proof} \rangle$

**lemma** *open\_Var\_close\_Var[simp]*:  $lc \ t \implies \text{open\_Var } i \ xT \ (\text{close\_Var } i \ xT \ t) = t$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *close\_Var\_inj*:  $lc \ t \implies lc \ u \implies \text{close\_Var } i \ xT \ t = \text{close\_Var } i \ xT \ u \implies t = u$   
 $\langle \text{proof} \rangle$

**primrec** *Apps* (*infixl*  $\cdot$  75) **where**

$f \cdot [] = f$   
 $| f \cdot (x \# xs) = f \cdot x \cdot xs$

**lemma** *Apps\_snoc*:  $f \cdot (xs @ [x]) = f \cdot xs \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *Apps\_append*:  $f \cdot (xs @ ys) = f \cdot xs \cdot ys$   
 $\langle \text{proof} \rangle$

**lemma** *Apps\_inj[simp]*:  $f \cdot ts = g \cdot ts \iff f = g$   
 $\langle \text{proof} \rangle$

**lemma** *eq\_Apps\_conv[simp]*:

**fixes**  $i :: \text{idx}$  **and**  $b :: \text{bool}$  **and**  $f :: \text{expr}$  **and**  $ts :: \text{expr list}$   
**shows**

$\langle m \rangle = f \cdot ts = \langle m \rangle = f \wedge ts = []$   
 $(f \cdot ts = \langle m \rangle) = (\langle m \rangle = f \wedge ts = [])$   
 $(i = f \cdot ts) = (i = f \wedge ts = [])$   
 $(f \cdot ts = i) = (i = f \wedge ts = [])$   
 $(b = f \cdot ts) = (b = f \wedge ts = [])$   
 $(f \cdot ts = b) = (b = f \wedge ts = [])$   
 $(e1 \ ? \ e2 = f \cdot ts) = (e1 \ ? \ e2 = f \wedge ts = [])$   
 $(f \cdot ts = e1 \ ? \ e2) = (e1 \ ? \ e2 = f \wedge ts = [])$   
 $(\Lambda \langle T \rangle \ t = f \cdot ts) = (\Lambda \langle T \rangle \ t = f \wedge ts = [])$   
 $(f \cdot ts = \Lambda \langle T \rangle \ t) = (\Lambda \langle T \rangle \ t = f \wedge ts = [])$   
 $\langle \text{proof} \rangle$

**lemma** *Apps\_Var\_eq[simp]*:  $\langle xT \rangle \cdot ss = \langle yU \rangle \cdot ts \iff xT = yU \wedge ss = ts$   
 $\langle \text{proof} \rangle$

**lemma** *Apps\_Abs\_neq\_Apps[simp, symmetric, simp]*:

$\Lambda \langle T \rangle \ r \cdot t \neq \langle xT \rangle \cdot ss$   
 $\Lambda \langle T \rangle \ r \cdot t \neq (i :: \text{idx}) \cdot ss$   
 $\Lambda \langle T \rangle \ r \cdot t \neq (b :: \text{bool}) \cdot ss$   
 $\Lambda \langle T \rangle \ r \cdot t \neq (e1 \ ? \ e2) \cdot ss$   
 $\langle \text{proof} \rangle$

**lemma** *App\_Abs\_eq\_Apps\_Abs[simp]*:  $\Lambda \langle T \rangle \ r \cdot t = \Lambda \langle T' \rangle \ r' \cdot ss \iff T = T' \wedge r = r' \wedge ss = [t]$   
 $\langle \text{proof} \rangle$

**lemma** *Apps\_Var\_neq\_Apps\_Abs[simp, symmetric, simp]*:  $\langle xT \rangle \cdot ss \neq \Lambda \langle T \rangle \ r \cdot ts$   
 $\langle \text{proof} \rangle$

**lemma** *Apps\_Var\_neq\_Apps\_beta[simp, THEN not\_sym, simp]*:

$\langle xT \rangle \cdot ss \neq \Lambda \langle T \rangle \ r \cdot s \cdot ts$   
 $\langle \text{proof} \rangle$

**lemma** [*simp*]:

$(\Lambda \langle T \rangle \ r \cdot ts = \Lambda \langle T' \rangle \ r' \cdot s' \cdot ts') = (T = T' \wedge r = r' \wedge ts = s' \# ts')$   
 $\langle \text{proof} \rangle$

**lemma** *fold\_eq\_Bool\_iff[simp]*:  
 $fold (\rightarrow) (rev Ts) T = \mathcal{B} \longleftrightarrow Ts = [] \wedge T = \mathcal{B}$   
 $\mathcal{B} = fold (\rightarrow) (rev Ts) T \longleftrightarrow Ts = [] \wedge T = \mathcal{B}$   
*<proof>*

**lemma** *fold\_eq\_Fun\_iff[simp]*:  
 $fold (\rightarrow) (rev Ts) T = U \rightarrow V \longleftrightarrow$   
 $(Ts = [] \wedge T = U \rightarrow V \vee (\exists Us. Ts = U \# Us \wedge fold (\rightarrow) (rev Us) T = V))$   
*<proof>*

## 13.4 Substitution

**primrec** *subst* **where**  
 $subst\ xT\ t\ \langle yU \rangle = (if\ xT = yU\ then\ t\ else\ \langle yU \rangle)$   
 $| subst\ xT\ t\ (i :: idx) = i$   
 $| subst\ xT\ t\ (b :: bool) = b$   
 $| subst\ xT\ t\ (e1\ ?\ e2) = subst\ xT\ t\ e1\ ?\ subst\ xT\ t\ e2$   
 $| subst\ xT\ t\ (e1 \cdot e2) = subst\ xT\ t\ e1 \cdot subst\ xT\ t\ e2$   
 $| subst\ xT\ t\ (\Lambda\langle T \rangle\ e) = \Lambda\langle T \rangle\ (subst\ xT\ t\ e)$

**lemma** *fv\_subst*:  
 $fv\ (subst\ xT\ t\ u) = fv\ u\ |-| \{|xT|\} \cup| (if\ xT\ |\in|\ fv\ u\ then\ fv\ t\ else\ \{||\})$   
*<proof>*

**lemma** *subst\_fresh*:  $fresh\ xT\ u \implies subst\ xT\ t\ u = u$   
*<proof>*

**context** **begin**

**private lemma** *open\_open\_id*:  $i \neq j \implies open\ i\ t\ (open\ j\ t'\ u) = open\ j\ t'\ u \implies open\ i\ t\ u = u$   
*<proof>*

**lemma** *lc\_open\_id*:  $lc\ u \implies open\ k\ t\ u = u$   
*<proof>*

**lemma** *subst\_open*:  $lc\ u \implies subst\ xT\ u\ (open\ i\ t\ v) = open\ i\ (subst\ xT\ u\ t)\ (subst\ xT\ u\ v)$   
*<proof>*

**lemma** *subst\_open\_Var*:  
 $xT \neq yU \implies lc\ u \implies subst\ xT\ u\ (open\_Var\ i\ yU\ v) = open\_Var\ i\ yU\ (subst\ xT\ u\ v)$   
*<proof>*

**lemma** *subst\_Apps[simp]*:  
 $subst\ xT\ u\ (f \cdot xs) = subst\ xT\ u\ f \cdot map\ (subst\ xT\ u)\ xs$   
*<proof>*

**end**

**context** **begin**

**private lemma** *fresh\_close\_Var\_id*:  $fresh\ xT\ t \implies close\_Var\ k\ xT\ t = t$   
*<proof>*

**lemma** *subst\_close\_Var*:  
 $xT \neq yU \implies fresh\ yU\ u \implies subst\ xT\ u\ (close\_Var\ i\ yU\ t) = close\_Var\ i\ yU\ (subst\ xT\ u\ t)$   
*<proof>*

**end**

**lemma** *subst\_intro*:  $fresh\ xT\ t \implies lc\ u \implies open0\ u\ t = subst\ xT\ u\ (open0\_Var\ xT\ t)$   
*<proof>*

**lemma** *lc\_subst[simp]*:  $lc\ u \implies lc\ t \implies lc\ (subst\ xT\ t\ u)$

*<proof>*

**lemma** *body\_subst[simp]*:  $body\ U\ u \implies lc\ t \implies body\ U\ (subst\ xT\ t\ u)$   
*<proof>*

**lemma** *lc\_open\_Var*:  $lc\ u \implies lc\ (open\_Var\ i\ xT\ u)$   
*<proof>*

**lemma** *lc\_open[simp]*:  $body\ U\ u \implies lc\ t \implies lc\ (open0\ t\ u)$   
*<proof>*

## 13.5 Typing

**inductive** *welltyped* ::  $expr \Rightarrow type \Rightarrow bool$  (**infix** :: 60) **where**  
  *welltyped\_Var[intro!]*:  $\langle(x, T)\rangle \::\ T$   
  *welltyped\_B[intro!]*:  $(b \::\ bool) \::\ \mathcal{B}$   
  *welltyped\_Seq[intro!]*:  $e1 \::\ \mathcal{B} \implies e2 \::\ \mathcal{B} \implies e1\ ?\ e2 \::\ \mathcal{B}$   
  *welltyped\_App[intro!]*:  $e1 \::\ T \rightarrow U \implies e2 \::\ T \implies e1 \cdot e2 \::\ U$   
  *welltyped\_Abs[intro!]*:  $(\forall x. (x, T) \notin X \longrightarrow open0\_Var\ (x, T)\ e \::\ U) \implies \Lambda\langle T\rangle\ e \::\ T \rightarrow U$

**inductive-cases** *welltypedE[elim!]*:

$\langle x \rangle \::\ T$   
 $(i \::\ idx) \::\ T$   
 $(b \::\ bool) \::\ T$   
 $e1\ ?\ e2 \::\ T$   
 $e1 \cdot e2 \::\ T$   
 $\Lambda\langle T\rangle\ e \::\ U$

**lemma** *welltyped\_unique*:  $t \::\ T \implies t \::\ U \implies T = U$   
*<proof>*

**lemma** *welltyped\_lc[simp]*:  $t \::\ T \implies lc\ t$   
*<proof>*

**lemma** *welltyped\_subst[intro]*:  
 $u \::\ U \implies t \::\ snd\ xT \implies subst\ xT\ t\ u \::\ U$   
*<proof>*

**lemma** *rename\_welltyped*:  $u \::\ U \implies subst\ (x, T)\ \langle(y, T)\rangle\ u \::\ U$   
*<proof>*

**lemma** *welltyped\_Abs\_fresh*:  
  **assumes** *fresh*  $(x, T)\ u\ open0\_Var\ (x, T)\ u \::\ U$   
  **shows**  $\Lambda\langle T\rangle\ u \::\ T \rightarrow U$   
*<proof>*

**lemma** *Apps\_alt*:  $f \cdot ts \::\ T \iff (\exists Ts. f \::\ fold\ (\rightarrow)\ (rev\ Ts)\ T \wedge list\_all2\ (\::)\ ts\ Ts)$   
*<proof>*

## 13.6 Definition 10 and Lemma 11 from Schmidt-Schauß's paper

**abbreviation** *closed*  $t \equiv fv\ t = \{\}\}$

**primrec** *constant0* **where**  
  *constant0*  $\mathcal{B} = Var\ (\"bool\", \mathcal{B})$   
  *constant0*  $(T \rightarrow U) = \Lambda\langle T\rangle\ (constant0\ U)$

**definition** *constant*  $T = \Lambda\langle \mathcal{B}\rangle\ (close0\_Var\ (\"bool\", \mathcal{B})\ (constant0\ T))$

**lemma** *fv\_constant0[simp]*:  $fv\ (constant0\ T) = \{\}(\"bool\", \mathcal{B})\}$   
*<proof>*

**lemma** *closed\_constant[simp]*:  $closed\ (constant\ T)$

*<proof>*

**lemma** *welltyped\_constant0*[simp]: *constant0*  $T :: T$   
*<proof>*

**lemma** *lc\_constant0*[simp]: *lc* (*constant0*  $T$ )  
*<proof>*

**lemma** *welltyped\_constant*[simp]: *constant*  $T :: \mathcal{B} \rightarrow T$   
*<proof>*

**definition** *nth\_drop* **where**  
*nth\_drop*  $i$   $xs \equiv take\ i\ xs\ @\ drop\ (Suc\ i)\ xs$

**definition** *nth\_arg* (**infixl**  $!-$  100) **where**  
*nth\_arg*  $T\ i \equiv nth\ (dest\_fun\ T)\ i$

**abbreviation** *ar* **where**  
*ar*  $T \equiv length\ (dest\_fun\ T)$

**lemma** *size\_nth\_arg*[simp]:  $i < ar\ T \implies size\ (T\ !-\ i) < size\ T$   
*<proof>*

**fun**  $\pi :: type \Rightarrow nat \Rightarrow nat \Rightarrow type$  **where**  
 $\pi\ T\ i\ 0 = (if\ i < ar\ T\ then\ nth\_drop\ i\ (dest\_fun\ T)\ \rightarrow\rightarrow\ \mathcal{B}\ else\ \mathcal{B})$   
 $|\ \pi\ T\ i\ (Suc\ j) = (if\ i < ar\ T\ \wedge\ j < ar\ (T\ !-\ i)$   
 $\quad then\ \pi\ (T\ !-\ i)\ j\ 0\ \rightarrow$   
 $\quad map\ (\pi\ (T\ !-\ i)\ j\ o\ Suc)\ [0\ ..<\ ar\ (T\ !-\ i)\ j])\ \rightarrow\rightarrow\ \pi\ T\ i\ 0\ else\ \mathcal{B})$

**theorem**  $\pi\_induct$ [rotated -2, consumes 2, case\_names 0 Suc]:  
**assumes**  $\bigwedge T\ i.\ i < ar\ T \implies P\ T\ i\ 0$   
**and**  $\bigwedge T\ i\ j.\ i < ar\ T \implies j < ar\ (T\ !-\ i) \implies P\ (T\ !-\ i)\ j\ 0 \implies$   
 $(\forall x < ar\ (T\ !-\ i)\ j.\ P\ (T\ !-\ i)\ j\ (x + 1)) \implies P\ T\ i\ (j + 1)$   
**shows**  $i < ar\ T \implies j \leq ar\ (T\ !-\ i) \implies P\ T\ i\ j$   
*<proof>*

**definition**  $\varepsilon :: type \Rightarrow nat \Rightarrow type$  **where**  
 $\varepsilon\ T\ i = \pi\ T\ i\ 0\ \rightarrow\ map\ (\pi\ T\ i\ o\ Suc)\ [0\ ..<\ ar\ (T\ !-\ i)]\ \rightarrow\rightarrow\ T$

**definition** *Abss* ( $\Lambda[\_]\_ [100, 100] 800$ ) **where**  
 $\Lambda[xTs]\ b = fold\ (\lambda xT\ t.\ \Lambda\langle snd\ xT \rangle\ close0\_Var\ xT\ t)\ (rev\ xTs)\ b$

**definition** *Seqs* (**infixr**  $??$  75) **where**  
 $ts\ ??\ t = fold\ (\lambda u\ t.\ u\ ?\ t)\ (rev\ ts)\ t$

**definition** *variant*  $k\ base = base\ @\ replicate\ k\ CHR\ "x"$

**lemma** *variant\_inj*: *variant*  $i\ base = variant\ j\ base \implies i = j$   
*<proof>*

**lemma** *variant\_inj2*:  
 $CHR\ "x" \notin set\ b1 \implies CHR\ "x" \notin set\ b2 \implies variant\ i\ b1 = variant\ j\ b2 \implies b1 = b2$   
*<proof>*

**fun**  $E :: type \Rightarrow nat \Rightarrow expr$  **and**  $P :: type \Rightarrow nat \Rightarrow nat \Rightarrow expr$  **where**  
 $E\ T\ i = (if\ i < ar\ T\ then\ (let$   
 $\quad Ti = T\ !-\ i;$   
 $\quad x = \lambda k.\ (variant\ k\ "x",\ T\ !-\ k);$   
 $\quad xs = map\ x\ [0\ ..<\ ar\ T];$   
 $\quad xx\_var = \langle nth\ xs\ i \rangle;$   
 $\quad x\_vars = map\ (\lambda x.\ \langle x \rangle)\ (nth\_drop\ i\ xs);$   
 $\quad yy = ("z",\ \pi\ T\ i\ 0);$   
 $\quad yy\_var = \langle yy \rangle;$



```

y = λj. (variant j "y", π T i (j + 1));
ys = map y [0 ..< ar Ti];
e = λj. ⟨y j⟩ · (P Ti j 0 · xx_var # map (λk. P Ti j (k + 1) · xx_var) [0 ..< ar (Ti!-j)]);
guards = map (λi. xx_var ·
  map (λj. constant (Ti!-j) · (if i = j then e i · x_vars else True)) [0 ..< ar Ti])
  [0 ..< ar Ti]
in Λ[(yy # ys @ xs)] (guards ?? (yy_var · x_vars)) else constant (ε T i) · False
| P T i 0 =
  (if i < ar T then (let
    f = ("f", T);
    f_var = ⟨f⟩;
    x = λk. (variant k "x", T!-k);
    xs = nth_drop i (map x [0 ..< ar T]);
    x_vars = insert_nth i (constant (T!-i) · True) (map (λx. ⟨x⟩) xs)
  in Λ[(f # xs)] (f_var · x_vars)) else constant (T → π T i 0) · False)
| P T i (Suc j) = (if i < ar T ∧ j < ar (T!-i) then (let
  Ti = T!-i;
  Tij = Ti!-j;
  f = ("f", T);
  f_var = ⟨f⟩;
  x = λk. (variant k "x", T!-k);
  xs = nth_drop i (map x [0 ..< ar T]);
  yy = ("z", π Ti j 0);
  yy_var = ⟨yy⟩;
  y = λk. (variant k "y", π Ti j (k + 1));
  ys = map y [0 ..< ar Tij];
  y_vars = yy_var # map (λx. ⟨x⟩) ys;
  x_vars = insert_nth i (E Ti j · y_vars) (map (λx. ⟨x⟩) xs)
in Λ[(f # yy # ys @ xs)] (f_var · x_vars)) else constant (T → π T i (j + 1)) · False)

```

**lemma** *Abss\_Nil[simp]*:  $\Lambda[\square] b = b$   
 ⟨proof⟩

**lemma** *Abss\_Cons[simp]*:  $\Lambda[(x\#xs)] b = \Lambda\langle\text{snd } x\rangle (\text{close0\_Var } x (\Lambda[xs] b))$   
 ⟨proof⟩

**lemma** *welltyped\_Abss*:  $b :: U \Longrightarrow T = \text{map snd } xTs \rightarrow \rightarrow U \Longrightarrow \Lambda[xTs] b :: T$   
 ⟨proof⟩

**lemma** *welltyped\_Apps*:  $\text{list\_all2 } (:::) ts Ts \Longrightarrow f :: Ts \rightarrow \rightarrow U \Longrightarrow f \cdot ts :: U$   
 ⟨proof⟩

**lemma** *welltyped\_open\_Var\_close\_Var[intro!]*:  
 $t :: T \Longrightarrow \text{open0\_Var } xT (\text{close0\_Var } xT t) :: T$   
 ⟨proof⟩

**lemma** *welltyped\_Var\_iff[simp]*:  
 $\langle(x, T)\rangle :: U \longleftrightarrow T = U$   
 ⟨proof⟩

**lemma** *welltyped\_bool\_iff[simp]*:  $(b :: \text{bool}) :: T \longleftrightarrow T = \mathcal{B}$   
 ⟨proof⟩

**lemma** *welltyped\_constant0\_iff[simp]*:  $\text{constant0 } T :: U \longleftrightarrow (U = T)$   
 ⟨proof⟩

**lemma** *welltyped\_constant\_iff[simp]*:  $\text{constant } T :: U \longleftrightarrow (U = \mathcal{B} \rightarrow T)$   
 ⟨proof⟩

**lemma** *welltyped\_Seq\_iff[simp]*:  $e1 ? e2 :: T \longleftrightarrow (T = \mathcal{B} \wedge e1 :: \mathcal{B} \wedge e2 :: \mathcal{B})$   
 ⟨proof⟩

**lemma** *welltyped\_Seqs\_iff[simp]*:  $e s ?? e :: T \longleftrightarrow$

$((es \neq [] \rightarrow T = \mathcal{B}) \wedge (\forall e \in set\ es.\ e ::: \mathcal{B}) \wedge e ::: T)$   
 $\langle proof \rangle$

**lemma** *welltyped\_App\_iff[simp]*:  $f \cdot t ::: U \longleftrightarrow (\exists T.\ f ::: T \rightarrow U \wedge t ::: T)$   
 $\langle proof \rangle$

**lemma** *welltyped\_Apps\_iff[simp]*:  $f \cdot ts ::: U \longleftrightarrow (\exists Ts.\ f ::: Ts \rightarrow U \wedge list\_all2\ (\:::) ts Ts)$   
 $\langle proof \rangle$

**lemma** *eq\_mk\_fun\_iff[simp]*:  $T = Ts \rightarrow \mathcal{B} \longleftrightarrow Ts = dest\_fun\ T$   
 $\langle proof \rangle$

**lemma** *map\_nth\_eq\_drop\_take[simp]*:  $j \leq length\ xs \implies map\ (nth\ xs)\ [i \dots j] = drop\ i\ (take\ j\ xs)$   
 $\langle proof \rangle$

**lemma** *dest\_fun\_pi\_0*:  $i < ar\ T \implies dest\_fun\ (\pi\ T\ i\ 0) = nth\_drop\ i\ (dest\_fun\ T)$   
 $\langle proof \rangle$

**lemma** *welltyped\_E*:  $E\ T\ i ::: \varepsilon\ T\ i$  **and** *welltyped\_P*:  $P\ T\ i\ j ::: T \rightarrow \pi\ T\ i\ j$   
 $\langle proof \rangle$

**lemma** *delta\_gt\_0[simp]*:  $T \neq \mathcal{B} \implies HMSet\ \{\#\} < \delta\ T$   
 $\langle proof \rangle$

**lemma** *mset\_nth\_drop\_less*:  $i < length\ xs \implies mset\ (nth\_drop\ i\ xs) < mset\ xs$   
 $\langle proof \rangle$

**lemma** *map\_nth\_drop*:  $i < length\ xs \implies map\ f\ (nth\_drop\ i\ xs) = nth\_drop\ i\ (map\ f\ xs)$   
 $\langle proof \rangle$

**lemma** *empty\_less\_mset*:  $\{\#\} < mset\ xs \longleftrightarrow xs \neq []$   
 $\langle proof \rangle$

**lemma** *dest\_fun\_alt*:  $dest\_fun\ T = map\ (\lambda i.\ T\ !-\ i)\ [0 \dots ar\ T]$   
 $\langle proof \rangle$

**context notes**  $\pi.simps[simp\ del]$  **notes** *One\_nat\_def[simp\ del]* **begin**

**lemma**  $\delta\_pi$ :  
**assumes**  $i < ar\ T\ j \leq ar\ (T\ !-\ i)$   
**shows**  $\delta\ (\pi\ T\ i\ j) < \delta\ T$   
 $\langle proof \rangle$

**end**

**end**