

# Formalization of Nested Multisets, Hereditary Multisets, and Syntactic Ordinals

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## Abstract

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna’s nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

## Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
<b>2</b>	<b>More about Multisets</b>	<b>3</b>
2.1	Basic Setup	3
2.2	Lemmas about Intersection, Union and Pointwise Inclusion	3
2.3	Lemmas about Filter and Image	3
2.4	Lemmas about Sum	4
2.5	Lemmas about Remove	5
2.6	Lemmas about Replicate	6
2.7	Multiset and Set Conversions	7
2.8	Duplicate Removal	7
2.9	Repeat Operation	9
2.10	Cartesian Product	9
2.11	Transfer Rules	12
2.12	Even More about Multisets	13
2.12.1	Multisets and functions	13
2.12.2	Multisets and lists	13
2.12.3	More on multisets and functions	13
<b>3</b>	<b>Signed (Finite) Multisets</b>	<b>14</b>
3.1	Definition of Signed Multisets	14
3.2	Basic Operations on Signed Multisets	14
3.2.1	Conversion to Set and Membership	16
3.2.2	Union	17
3.2.3	Difference	17
3.2.4	Equality of Signed Multisets	18
3.3	Conversions from and to Multisets	18
3.3.1	Pointwise Ordering Induced by <i>zcount</i>	19
3.3.2	Subset is an Order	21
3.4	Replicate and Repeat Operations	21
3.4.1	Filter (with Comprehension Syntax)	22
3.5	Uncategorized	22
3.6	Image	23
3.7	Multiset Order	23

<b>4</b>	<b>Nested Multisets</b>	<b>25</b>
4.1	Type Definition . . . . .	25
4.2	Dershowitz and Manna’s Nested Multiset Order . . . . .	25
<b>5</b>	<b>Hereditar(i)ly (Finite) Multisets</b>	<b>27</b>
5.1	Type Definition . . . . .	27
5.2	Restriction of Dershowitz and Manna’s Nested Multiset Order . . . . .	28
5.3	Disjoint Union and Truncated Difference . . . . .	28
5.4	Infimum and Supremum . . . . .	30
5.5	Inequalities . . . . .	30
<b>6</b>	<b>Signed Hereditar(i)ly (Finite) Multisets</b>	<b>31</b>
6.1	Type Definition . . . . .	31
6.2	Multiset Order . . . . .	31
6.3	Embedding and Projections of Syntactic Ordinals . . . . .	31
6.4	Disjoint Union and Difference . . . . .	32
6.5	Infimum and Supremum . . . . .	33
<b>7</b>	<b>Syntactic Ordinals in Cantor Normal Form</b>	<b>33</b>
7.1	Natural (Hessenberg) Product . . . . .	33
7.2	Inequalities . . . . .	34
7.3	Embedding of Natural Numbers . . . . .	36
7.4	Embedding of Extended Natural Numbers . . . . .	36
7.5	Head Omega . . . . .	37
7.6	More Inequalities and Some Equalities . . . . .	37
7.7	Conversions to Natural Numbers . . . . .	40
7.8	An Example . . . . .	40
<b>8</b>	<b>Signed Syntactic Ordinals in Cantor Normal Form</b>	<b>40</b>
8.1	Natural (Hessenberg) Product . . . . .	40
8.2	Embedding of Natural Numbers . . . . .	41
8.3	Embedding of Extended Natural Numbers . . . . .	41
8.4	Inequalities and Some (Dis)equalities . . . . .	42
8.5	An Example . . . . .	44
<b>9</b>	<b>Bridge between Huffman’s Ordinal Library and the Syntactic Ordinals</b>	<b>45</b>
9.1	Missing Lemmas about Huffman’s Ordinals . . . . .	45
9.2	Embedding of Syntactic Ordinals into Huffman’s Ordinals . . . . .	45
<b>10</b>	<b>Termination of McCarthy’s 91 Function</b>	<b>46</b>
<b>11</b>	<b>Termination of the Hydra Battle</b>	<b>46</b>
<b>12</b>	<b>Termination of the Goodstein Sequence</b>	<b>47</b>
12.1	Lemmas about Division . . . . .	47
12.2	Hereditary and Nonhereditary Base- $n$ Systems . . . . .	47
12.3	Encoding of Natural Numbers into Ordinals . . . . .	48
12.4	Decoding of Natural Numbers from Ordinals . . . . .	48
12.5	The Goodstein Sequence and Goodstein’s Theorem . . . . .	50
<b>13</b>	<b>Towards Decidability of Behavioral Equivalence for Unary PCF</b>	<b>50</b>
13.1	Preliminaries . . . . .	50
13.2	Types . . . . .	50
13.3	Terms . . . . .	51
13.4	Substitution . . . . .	53
13.5	Typing . . . . .	54
13.6	Definition 10 and Lemma 11 from Schmidt-Schauß’s paper . . . . .	55

# 1 Introduction

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna's nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

In addition, signed (or hybrid) multisets are provided (i.e., multisets with potentially negative multiplicities), as well as signed hereditary multisets and signed ordinals (e.g.,  $\omega^2 - 2\omega + 1$ ).

## 2 More about Multisets

```
theory Multiset_More
imports HOL-Library.Multiset_Order
begin
```

Isabelle's theory of finite multisets is not as developed as other areas, such as lists and sets. The present theory introduces some missing concepts and lemmas. Some of it is expected to move to Isabelle's library.

### 2.1 Basic Setup

```
declare
  diff_single_trivial [simp]
  in_image_mset [iff]
  image_mset.compositionality [simp]

  mset_subset_eqD [dest, intro?]

  Multiset.in_multiset_in_set [simp]
  inter_add_left1 [simp]
  inter_add_left2 [simp]
  inter_add_right1 [simp]
  inter_add_right2 [simp]

  sum_mset_sum_list [simp]
```

### 2.2 Lemmas about Intersection, Union and Pointwise Inclusion

```
lemma subset_add_mset_notin_subset_mset:  $\langle A \subseteq\# \text{ add\_mset } b \ B \implies b \notin\# A \implies A \subseteq\# B \rangle$ 
  <proof>
```

```
lemma subset_msetE:  $\llbracket A \subseteq\# B; \llbracket A \subseteq\# B; \neg B \subseteq\# A \rrbracket \implies R \rrbracket \implies R$ 
  <proof>
```

```
lemma Diff_triv_mset:  $M \cap\# N = \{\#\} \implies M - N = M$ 
  <proof>
```

```
lemma diff_intersect_sym_diff:  $(A - B) \cap\# (B - A) = \{\#\}$ 
  <proof>
```

```
declare subset_msetE [elim!]
```

### 2.3 Lemmas about Filter and Image

```
lemma count_image_mset_ge_count:  $\text{count } (\text{image\_mset } f \ A) \ (f \ b) \geq \text{count } A \ b$ 
  <proof>
```

```
lemma count_image_mset_inj:
  assumes <inj f>
  shows <count (image_mset f M) (f x) = count M x>
  <proof>
```

**lemma** *count\_image\_mset\_le\_count\_inj\_on*:

*inj\_on*  $f$  (*set\_mset*  $M$ )  $\implies$  *count* (*image\_mset*  $f$   $M$ )  $y \leq$  *count*  $M$  (*inv\_into* (*set\_mset*  $M$ )  $f$   $y$ )  
 ⟨*proof*⟩

**lemma** *mset\_filter\_compl*: *mset* (*filter*  $p$   $xs$ ) + *mset* (*filter* (*Not*  $\circ$   $p$ )  $xs$ ) = *mset*  $xs$   
 ⟨*proof*⟩

Near duplicate of *filter\_eq\_replicate\_mset*:  $\{\#y \in \# ?D. y = ?x\# \} =$  *replicate\_mset* (*count*  $?D$   $?x$ )  $?x$ .

**lemma** *filter\_mset\_eq*: *filter\_mset* ( $(=)$   $L$ )  $A =$  *replicate\_mset* (*count*  $A$   $L$ )  $L$   
 ⟨*proof*⟩

**lemma** *filter\_mset\_cong*[*fundef\_cong*]:  
**assumes**  $M = M' \wedge a. a \in \# M \implies P a = Q a$   
**shows** *filter\_mset*  $P$   $M =$  *filter\_mset*  $Q$   $M$   
 ⟨*proof*⟩

**lemma** *image\_mset\_filter\_swap*: *image\_mset*  $f$   $\{\#x \in \# M. P (f x)\# \} = \{\#x \in \#$  *image\_mset*  $f$   $M. P x\# \}$   
 ⟨*proof*⟩

**lemma** *image\_mset\_cong2*:  
 $(\wedge x. x \in \# M \implies f x = g x) \implies M = N \implies$  *image\_mset*  $f$   $M =$  *image\_mset*  $g$   $N$   
 ⟨*proof*⟩

**lemma** *filter\_mset\_empty\_conv*:  $\langle$ *filter\_mset*  $P$   $M = \{\#\} \rangle = \langle \forall L \in \# M. \neg P L \rangle$   
 ⟨*proof*⟩

**lemma** *multiset\_filter\_mono2*:  $\langle$ *filter\_mset*  $P$   $A \subseteq \#$  *filter\_mset*  $Q$   $A \longleftrightarrow (\forall a \in \# A. P a \longrightarrow Q a) \rangle$   
 ⟨*proof*⟩

**lemma** *image\_filter\_cong*:  
**assumes**  $\langle \wedge C. C \in \# M \implies P C \implies f C = g C \rangle$   
**shows**  $\langle \{\#f C. C \in \# \{\#C \in \# M. P C\}\#\} = \{\#g C \mid C \in \# M. P C\#\} \rangle$   
 ⟨*proof*⟩

**lemma** *image\_mset\_filter\_swap2*:  $\langle \{\#C \in \# \{\#P x. x \in \# D\#\}. Q C \#\} = \{\#P x. x \in \# \{\#C \mid C \in \# D. Q (P C)\#\}\#\} \rangle$   
 ⟨*proof*⟩

**declare** *image\_mset\_cong2* [*cong*]

## 2.4 Lemmas about Sum

**lemma** *sum\_image\_mset\_sum\_map*[*simp*]: *sum\_mset* (*image\_mset*  $f$  (*mset*  $xs$ )) = *sum\_list* (*map*  $f$   $xs$ )  
 ⟨*proof*⟩

**lemma** *sum\_image\_mset\_mono*:  
**fixes**  $f :: 'a \Rightarrow 'b::$ *canonically\_ordered\_monoid\_add*  
**assumes**  $sub: A \subseteq \# B$   
**shows**  $(\sum m \in \# A. f m) \leq (\sum m \in \# B. f m)$   
 ⟨*proof*⟩

**lemma** *sum\_image\_mset\_mono\_mem*:  
 $n \in \# M \implies f n \leq (\sum m \in \# M. f m)$  **for**  $f :: 'a \Rightarrow 'b::$ *canonically\_ordered\_monoid\_add*  
 ⟨*proof*⟩

**lemma** *count\_sum\_mset\_if\_1\_0*:  $\langle$ *count*  $M$   $a = (\sum x \in \# M. \text{if } x = a \text{ then } 1 \text{ else } 0) \rangle$   
 ⟨*proof*⟩

**lemma** *sum\_mset\_dvd*:  
**fixes**  $k :: 'a::$ *comm\_semiring\_1\_cancel*  
**assumes**  $\forall m \in \# M. k \text{ dvd } f m$   
**shows**  $k \text{ dvd } (\sum m \in \# M. f m)$   
 ⟨*proof*⟩

**lemma** *sum\_mset\_distrib\_div\_if\_dvd*:  
**fixes**  $k :: 'a :: \text{unique\_euclidean\_semiring}$   
**assumes**  $\forall m \in \# M. k \text{ dvd } f m$   
**shows**  $(\sum m \in \# M. f m) \text{ div } k = (\sum m \in \# M. f m \text{ div } k)$   
*<proof>*

## 2.5 Lemmas about Remove

**lemma** *set\_mset\_minus\_replicate\_mset[simp]*:  
 $n \geq \text{count } A \ a \implies \text{set\_mset } (A - \text{replicate\_mset } n \ a) = \text{set\_mset } A - \{a\}$   
 $n < \text{count } A \ a \implies \text{set\_mset } (A - \text{replicate\_mset } n \ a) = \text{set\_mset } A$   
*<proof>*

**abbreviation** *removeAll\_mset* ::  $'a \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$  **where**  
 $\text{removeAll\_mset } C \ M \equiv M - \text{replicate\_mset } (\text{count } M \ C) \ C$

**lemma** *mset\_removeAll[simp, code]*:  $\text{removeAll\_mset } C \ (\text{mset } L) = \text{mset } (\text{removeAll } C \ L)$   
*<proof>*

**lemma** *removeAll\_mset\_filter\_mset*:  $\text{removeAll\_mset } C \ M = \text{filter\_mset } ((\neq) \ C) \ M$   
*<proof>*

**abbreviation** *remove1\_mset* ::  $'a \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$  **where**  
 $\text{remove1\_mset } C \ M \equiv M - \{\#C\# \}$

**lemma** *removeAll\_subseteq\_remove1\_mset*:  $\text{removeAll\_mset } x \ M \subseteq \# \text{remove1\_mset } x \ M$   
*<proof>*

**lemma** *in\_remove1\_mset\_neq*:  
**assumes**  $ab: a \neq b$   
**shows**  $a \in \# \text{remove1\_mset } b \ C \longleftrightarrow a \in \# C$   
*<proof>*

**lemma** *size\_mset\_removeAll\_mset\_le\_iff*:  $\text{size } (\text{removeAll\_mset } x \ M) < \text{size } M \longleftrightarrow x \in \# M$   
*<proof>*

**lemma** *size\_remove1\_mset\_If*:  $\langle \text{size } (\text{remove1\_mset } x \ M) = \text{size } M - (\text{if } x \in \# M \text{ then } 1 \text{ else } 0) \rangle$   
*<proof>*

**lemma** *size\_mset\_remove1\_mset\_le\_iff*:  $\text{size } (\text{remove1\_mset } x \ M) < \text{size } M \longleftrightarrow x \in \# M$   
*<proof>*

**lemma** *remove\_1\_mset\_id\_iff\_notin*:  $\text{remove1\_mset } a \ M = M \longleftrightarrow a \notin \# M$   
*<proof>*

**lemma** *id\_remove\_1\_mset\_iff\_notin*:  $M = \text{remove1\_mset } a \ M \longleftrightarrow a \notin \# M$   
*<proof>*

**lemma** *remove1\_mset\_eqE*:  
 $\text{remove1\_mset } L \ x1 = M \implies$   
 $(L \in \# x1 \implies x1 = M + \{\#L\# \} \implies P) \implies$   
 $(L \notin \# x1 \implies x1 = M \implies P) \implies$   
 $P$   
*<proof>*

**lemma** *image\_filter\_ne\_mset[simp]*:  
 $\text{image\_mset } f \ \{\#x \in \# M. f \ x \neq y\#\} = \text{removeAll\_mset } y \ (\text{image\_mset } f \ M)$   
*<proof>*

**lemma** *image\_mset\_remove1\_mset\_if*:  
 $\text{image\_mset } f \ (\text{remove1\_mset } a \ M) =$   
 $(\text{if } a \in \# M \text{ then } \text{remove1\_mset } (f \ a) \ (\text{image\_mset } f \ M) \text{ else } \text{image\_mset } f \ M)$   
*<proof>*

**lemma** *filter\_mset\_neq*:  $\{\#x \in\# M. x \neq y\# \} = \text{removeAll\_mset } y \ M$   
 ⟨proof⟩

**lemma** *filter\_mset\_neq\_cond*:  $\{\#x \in\# M. P \ x \wedge x \neq y\# \} = \text{removeAll\_mset } y \ \{\#x \in\# M. P \ x\# \}$   
 ⟨proof⟩

**lemma** *remove1\_mset\_add\_mset\_if*:  
 $\text{remove1\_mset } L \ (\text{add\_mset } L' \ C) = (\text{if } L = L' \ \text{then } C \ \text{else } \text{remove1\_mset } L \ C + \{\#L'\# \})$   
 ⟨proof⟩

**lemma** *minus\_remove1\_mset\_if*:  
 $A - \text{remove1\_mset } b \ B = (\text{if } b \in\# B \wedge b \in\# A \wedge \text{count } A \ b \geq \text{count } B \ b \ \text{then } \{\#b\# \} + (A - B) \ \text{else } A - B)$   
 ⟨proof⟩

**lemma** *add\_mset\_eq\_add\_mset\_ne*:  
 $a \neq b \implies \text{add\_mset } a \ A = \text{add\_mset } b \ B \iff a \in\# B \wedge b \in\# A \wedge A = \text{add\_mset } b \ (B - \{\#a\# \})$   
 ⟨proof⟩

**lemma** *add\_mset\_eq\_add\_mset*:  $\langle \text{add\_mset } a \ M = \text{add\_mset } b \ M' \iff (a = b \wedge M = M') \vee (a \neq b \wedge b \in\# M \wedge \text{add\_mset } a \ (M - \{\#b\# \}) = M' \rangle$   
 ⟨proof⟩

**lemma** *add\_mset\_remove\_trivial\_iff*:  $\langle N = \text{add\_mset } a \ (N - \{\#b\# \}) \iff a \in\# N \wedge a = b \rangle$   
 ⟨proof⟩

**lemma** *trivial\_add\_mset\_remove\_iff*:  $\langle \text{add\_mset } a \ (N - \{\#b\# \}) = N \iff a \in\# N \wedge a = b \rangle$   
 ⟨proof⟩

**lemma** *remove1\_single\_empty\_iff[simp]*:  $\langle \text{remove1\_mset } L \ \{\#L'\# \} = \{\#\} \iff L = L' \rangle$   
 ⟨proof⟩

**lemma** *add\_mset\_less\_imp\_less\_remove1\_mset*:  
**assumes**  $xM\_lt\_N$ :  $\text{add\_mset } x \ M < N$   
**shows**  $M < \text{remove1\_mset } x \ N$   
 ⟨proof⟩

## 2.6 Lemmas about Replicate

**lemma** *replicate\_mset\_minus\_replicate\_mset\_same[simp]*:  
 $\text{replicate\_mset } m \ x - \text{replicate\_mset } n \ x = \text{replicate\_mset } (m - n) \ x$   
 ⟨proof⟩

**lemma** *replicate\_mset\_subset\_iff\_lt[simp]*:  $\text{replicate\_mset } m \ x \subset\# \text{replicate\_mset } n \ x \iff m < n$   
 ⟨proof⟩

**lemma** *replicate\_mset\_subseteq\_iff\_le[simp]*:  $\text{replicate\_mset } m \ x \subseteq\# \text{replicate\_mset } n \ x \iff m \leq n$   
 ⟨proof⟩

**lemma** *replicate\_mset\_lt\_iff\_lt[simp]*:  $\text{replicate\_mset } m \ x < \text{replicate\_mset } n \ x \iff m < n$   
 ⟨proof⟩

**lemma** *replicate\_mset\_le\_iff\_le[simp]*:  $\text{replicate\_mset } m \ x \leq \text{replicate\_mset } n \ x \iff m \leq n$   
 ⟨proof⟩

**lemma** *replicate\_mset\_eq\_iff[simp]*:  
 $\text{replicate\_mset } m \ x = \text{replicate\_mset } n \ y \iff m = n \wedge (m \neq 0 \implies x = y)$   
 ⟨proof⟩

**lemma** *replicate\_mset\_plus*:  $\text{replicate\_mset } (a + b) \ C = \text{replicate\_mset } a \ C + \text{replicate\_mset } b \ C$   
 ⟨proof⟩

**lemma** *mset\_replicate\_replicate\_mset*:  $\text{mset } (\text{replicate } n \ L) = \text{replicate\_mset } n \ L$

*<proof>*

**lemma** *set\_mset\_single\_iff\_replicate\_mset*:  $\text{set\_mset } U = \{a\} \longleftrightarrow (\exists n > 0. U = \text{replicate\_mset } n \ a)$   
*<proof>*

**lemma** *ex\_replicate\_mset\_if\_all\_elems\_eq*:  
**assumes**  $\forall x \in \# M. x = y$   
**shows**  $\exists n. M = \text{replicate\_mset } n \ y$   
*<proof>*

## 2.7 Multiset and Set Conversions

**lemma** *count\_mset\_set\_if*:  $\text{count } (\text{mset\_set } A) \ a = (\text{if } a \in A \wedge \text{finite } A \text{ then } 1 \text{ else } 0)$   
*<proof>*

**lemma** *mset\_set\_set\_mset\_empty\_mempty*[*iff*]:  $\text{mset\_set } (\text{set\_mset } D) = \{\#\} \longleftrightarrow D = \{\#\}$   
*<proof>*

**lemma** *count\_mset\_set\_le\_one*:  $\text{count } (\text{mset\_set } A) \ x \leq 1$   
*<proof>*

**lemma** *mset\_set\_set\_mset\_subseteq*[*simp*]:  $\text{mset\_set } (\text{set\_mset } A) \subseteq \# A$   
*<proof>*

**lemma** *mset\_sorted\_list\_of\_set*[*simp*]:  $\text{mset } (\text{sorted\_list\_of\_set } A) = \text{mset\_set } A$   
*<proof>*

**lemma** *sorted\_sorted\_list\_of\_multiset*[*simp*]:  
 $\text{sorted } (\text{sorted\_list\_of\_multiset } (M :: 'a::\text{linorder multiset}))$   
*<proof>*

**lemma** *mset\_take\_subseteq*:  $\text{mset } (\text{take } n \ xs) \subseteq \# \text{mset } xs$   
*<proof>*

**lemma** *sorted\_list\_of\_multiset\_eq\_Nil*[*simp*]:  $\text{sorted\_list\_of\_multiset } M = [] \longleftrightarrow M = \{\#\}$   
*<proof>*

## 2.8 Duplicate Removal

**definition** *remdups\_mset* ::  $'v \text{ multiset} \Rightarrow 'v \text{ multiset}$  **where**  
 $\text{remdups\_mset } S = \text{mset\_set } (\text{set\_mset } S)$

**lemma** *set\_mset\_remdups\_mset*[*simp*]:  $\text{set\_mset } (\text{remdups\_mset } A) = \text{set\_mset } A$   
*<proof>*

**lemma** *count\_remdups\_mset\_eq\_1*:  $a \in \# \text{remdups\_mset } A \longleftrightarrow \text{count } (\text{remdups\_mset } A) \ a = 1$   
*<proof>*

**lemma** *remdups\_mset\_empty*[*simp*]:  $\text{remdups\_mset } \{\#\} = \{\#\}$   
*<proof>*

**lemma** *remdups\_mset\_singleton*[*simp*]:  $\text{remdups\_mset } \{\#a\# \} = \{\#a\# \}$   
*<proof>*

**lemma** *remdups\_mset\_eq\_empty*[*iff*]:  $\text{remdups\_mset } D = \{\#\} \longleftrightarrow D = \{\#\}$   
*<proof>*

**lemma** *remdups\_mset\_singleton\_sum*[*simp*]:  
 $\text{remdups\_mset } (\text{add\_mset } a \ A) = (\text{if } a \in \# A \text{ then } \text{remdups\_mset } A \text{ else } \text{add\_mset } a \ (\text{remdups\_mset } A))$   
*<proof>*

**lemma** *mset\_remdups\_remdups\_mset*[*simp*]:  $\text{mset } (\text{remdups } D) = \text{remdups\_mset } (\text{mset } D)$   
*<proof>*

**declare** *mset\_remdups\_remdups\_mset*[*symmetric, code*]

**definition** *distinct\_mset* :: 'a multiset  $\Rightarrow$  bool **where**  
*distinct\_mset* *S*  $\longleftrightarrow (\forall a. a \in\# S \longrightarrow \text{count } S \ a = 1)$

**lemma** *distinct\_mset\_count\_less\_1*: *distinct\_mset* *S*  $\longleftrightarrow (\forall a. \text{count } S \ a \leq 1)$   
 ⟨*proof*⟩

**lemma** *distinct\_mset\_empty*[*simp*]: *distinct\_mset*  $\{\#\}$   
 ⟨*proof*⟩

**lemma** *distinct\_mset\_singleton*: *distinct\_mset*  $\{\#a\#\}$   
 ⟨*proof*⟩

**lemma** *distinct\_mset\_union*:  
**assumes** *dist*: *distinct\_mset* (*A* + *B*)  
**shows** *distinct\_mset* *A*  
 ⟨*proof*⟩

**lemma** *distinct\_mset\_minus*[*simp*]: *distinct\_mset* *A*  $\Longrightarrow$  *distinct\_mset* (*A* - *B*)  
 ⟨*proof*⟩

**lemma** *count\_remdups\_mset\_If*:  $\langle \text{count } (\text{remdups\_mset } A) \ a = (\text{if } a \in\# A \text{ then } 1 \text{ else } 0) \rangle$   
 ⟨*proof*⟩

**lemma** *distinct\_mset\_remdups\_union\_mset*:  
**assumes** *distinct\_mset* *A* **and** *distinct\_mset* *B*  
**shows**  $A \cup\# B = \text{remdups\_mset } (A + B)$   
 ⟨*proof*⟩

**lemma** *distinct\_mset\_add\_mset*[*simp*]: *distinct\_mset* (*add\_mset* *a* *L*)  $\longleftrightarrow a \notin\# L \wedge \text{distinct\_mset } L$   
 ⟨*proof*⟩

**lemma** *distinct\_mset\_size\_eq\_card*: *distinct\_mset* *C*  $\Longrightarrow \text{size } C = \text{card } (\text{set\_mset } C)$   
 ⟨*proof*⟩

**lemma** *distinct\_mset\_add*:  
*distinct\_mset* (*L* + *L'*)  $\longleftrightarrow \text{distinct\_mset } L \wedge \text{distinct\_mset } L' \wedge L \cap\# L' = \{\#\}$   
 ⟨*proof*⟩

**lemma** *distinct\_mset\_set\_mset\_ident*[*simp*]: *distinct\_mset* *M*  $\Longrightarrow \text{mset\_set } (\text{set\_mset } M) = M$   
 ⟨*proof*⟩

**lemma** *distinct\_finite\_set\_mset\_subseteq\_iff*[*iff*]:  
**assumes** *distinct\_mset* *M* *finite* *N*  
**shows**  $\text{set\_mset } M \subseteq N \longleftrightarrow M \subseteq\# \text{mset\_set } N$   
 ⟨*proof*⟩

**lemma** *distinct\_mem\_diff\_mset*:  
**assumes** *dist*: *distinct\_mset* *M* **and** *mem*:  $x \in \text{set\_mset } (M - N)$   
**shows**  $x \notin \text{set\_mset } N$   
 ⟨*proof*⟩

**lemma** *distinct\_set\_mset\_eq*:  
**assumes** *distinct\_mset* *M* *distinct\_mset* *N*  $\text{set\_mset } M = \text{set\_mset } N$   
**shows**  $M = N$   
 ⟨*proof*⟩

**lemma** *distinct\_mset\_union\_mset*[*simp*]:  
 $\langle \text{distinct\_mset } (D \cup\# C) \longleftrightarrow \text{distinct\_mset } D \wedge \text{distinct\_mset } C \rangle$   
 ⟨*proof*⟩

**lemma** *distinct\_mset\_inter\_mset*:



$distinct\_mset\ C \implies distinct\_mset\ (C \cap\# D)$   
 $distinct\_mset\ D \implies distinct\_mset\ (C \cap\# D)$   
 <proof>

**lemma** *distinct\_mset\_remove1\_All*:  $distinct\_mset\ C \implies remove1\_mset\ L\ C = removeAll\_mset\ L\ C$   
 <proof>

**lemma** *distinct\_mset\_size\_2*:  $distinct\_mset\ \{\#a, \#b\} \longleftrightarrow a \neq b$   
 <proof>

**lemma** *distinct\_mset\_filter*:  $distinct\_mset\ M \implies distinct\_mset\ \{\# L \in\# M. P\ L\#\}$   
 <proof>

**lemma** *distinct\_mset\_mset\_distinct[simp]*:  $\langle distinct\_mset\ (mset\ xs) = distinct\ xs \rangle$   
 <proof>

**lemma** *distinct\_image\_mset\_inj*:  
 $\langle inj\_on\ f\ (set\_mset\ M) \implies distinct\_mset\ (image\_mset\ f\ M) \longleftrightarrow distinct\_mset\ M \rangle$   
 <proof>

## 2.9 Repeat Operation

**lemma** *repeat\_mset\_compower*:  $repeat\_mset\ n\ A = (((+)\ A) \wedge\wedge n)\ \{\#\}$   
 <proof>

**lemma** *repeat\_mset\_prod*:  $repeat\_mset\ (m * n)\ A = (((+)\ (repeat\_mset\ n\ A)) \wedge\wedge m)\ \{\#\}$   
 <proof>

## 2.10 Cartesian Product

Definition of the cartesian products over multisets. The construction mimics of the cartesian product on sets and use the same theorem names (adding only the suffix `_mset` to `Sigma` and `Times`). See file `~/src/HOL/Product_Type.thy`

**definition** *Sigma\_mset* ::  $'a\ multiset \Rightarrow ('a \Rightarrow 'b\ multiset) \Rightarrow ('a \times 'b)\ multiset$  **where**  
 $Sigma\_mset\ A\ B \equiv \bigcup\# \{\#\{ \#(a, b). b \in\# B\ a\#\}. a \in\# A\ \#\}$

**abbreviation** *Times\_mset* ::  $'a\ multiset \Rightarrow 'b\ multiset \Rightarrow ('a \times 'b)\ multiset$  (**infixr**  $\times\#$  80) **where**  
 $Times\_mset\ A\ B \equiv Sigma\_mset\ A\ (\lambda_. B)$

**hide-const (open)** *Times\_mset*

Contrary to the set version  $A \times B$ , we use the non-ASCII symbol  $\in\#$ .

**syntax**

$Sigma\_mset :: [ptrn, 'a\ multiset, 'b\ multiset] \Rightarrow ('a * 'b)\ multiset$   
 $((3SIGMAMSET\_ \in\#\_ ./ \_) [0, 0, 10] 10)$

**translations**

$SIGMAMSET\ x \in\# A. B == CONST\ Sigma\_mset\ A\ (\lambda x. B)$

Link between the multiset and the set cartesian product:

**lemma** *Times\_mset\_Times*:  $set\_mset\ (A \times\# B) = set\_mset\ A \times set\_mset\ B$   
 <proof>

**lemma** *Sigma\_msetI [intro!]*:  $\llbracket a \in\# A; b \in\# B\ a \rrbracket \implies (a, b) \in\# Sigma\_mset\ A\ B$   
 <proof>

**lemma** *Sigma\_msetE[elim!]*:  $\llbracket c \in\# Sigma\_mset\ A\ B; \bigwedge x\ y. \llbracket x \in\# A; y \in\# B\ x; c = (x, y) \rrbracket \implies P \rrbracket \implies P$   
 <proof>

Elimination of  $(a, b) \in\# A \times\# B$  – introduces no eigenvariables.

**lemma** *Sigma\_msetD1*:  $(a, b) \in\# Sigma\_mset\ A\ B \implies a \in\# A$   
 <proof>

**lemma** *Sigma\_msetD2*:  $(a, b) \in\# \text{Sigma\_mset } A \ B \implies b \in\# B \ a$   
 ⟨proof⟩

**lemma** *Sigma\_msetE2*:  $\llbracket (a, b) \in\# \text{Sigma\_mset } A \ B; \llbracket a \in\# A; b \in\# B \ a \rrbracket \implies P \rrbracket \implies P$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_cong*:  
 $\llbracket A = B; \bigwedge x. x \in\# B \implies C \ x = D \ x \rrbracket \implies (\text{SIGMAMSET } x \in\# A. C \ x) = (\text{SIGMAMSET } x \in\# B. D \ x)$   
 ⟨proof⟩

**lemma** *count\_sum\_mset*:  $\text{count } (\bigcup\# M) \ b = (\sum P \in\# M. \text{count } P \ b)$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_plus\_distrib1[simp]*:  $\text{Sigma\_mset } (A + B) \ C = \text{Sigma\_mset } A \ C + \text{Sigma\_mset } B \ C$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_plus\_distrib2[simp]*:  
 $\text{Sigma\_mset } A \ (\lambda i. B \ i + C \ i) = \text{Sigma\_mset } A \ B + \text{Sigma\_mset } A \ C$   
 ⟨proof⟩

**lemma** *Times\_mset\_single\_left*:  $\{\#a\#\} \times\# B = \text{image\_mset } (\text{Pair } a) \ B$   
 ⟨proof⟩

**lemma** *Times\_mset\_single\_right*:  $A \times\# \{\#b\#\} = \text{image\_mset } (\lambda a. \text{Pair } a \ b) \ A$   
 ⟨proof⟩

**lemma** *Times\_mset\_single\_single[simp]*:  $\{\#a\#\} \times\# \{\#b\#\} = \{\#(a, b)\#\}$   
 ⟨proof⟩

**lemma** *count\_image\_mset\_Pair*:  
 $\text{count } (\text{image\_mset } (\text{Pair } a) \ B) \ (x, b) = (\text{if } x = a \ \text{then } \text{count } B \ b \ \text{else } 0)$   
 ⟨proof⟩

**lemma** *count\_Sigma\_mset*:  $\text{count } (\text{Sigma\_mset } A \ B) \ (a, b) = \text{count } A \ a * \text{count } (B \ a) \ b$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_empty1[simp]*:  $\text{Sigma\_mset } \{\#\} \ B = \{\#\}$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_empty2[simp]*:  $A \times\# \{\#\} = \{\#\}$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_mono*:  
 assumes  $A \subseteq\# C$  and  $\bigwedge x. x \in\# A \implies B \ x \subseteq\# D \ x$   
 shows  $\text{Sigma\_mset } A \ B \subseteq\# \text{Sigma\_mset } C \ D$   
 ⟨proof⟩

**lemma** *mem\_Sigma\_mset\_iff*:  $((a, b) \in\# \text{Sigma\_mset } A \ B) = (a \in\# A \wedge b \in\# B \ a)$   
 ⟨proof⟩

**lemma** *mem\_Times\_mset\_iff*:  $x \in\# A \times\# B \longleftrightarrow \text{fst } x \in\# A \wedge \text{snd } x \in\# B$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_empty\_iff*:  $(\text{SIGMAMSET } i \in\# I. X \ i) = \{\#\} \longleftrightarrow (\forall i \in\# I. X \ i = \{\#\})$   
 ⟨proof⟩

**lemma** *Times\_mset\_subset\_mset\_cancel1*:  $x \in\# A \implies (A \times\# B \subseteq\# A \times\# C) = (B \subseteq\# C)$   
 ⟨proof⟩

**lemma** *Times\_mset\_subset\_mset\_cancel2*:  $x \in\# C \implies (A \times\# C \subseteq\# B \times\# C) = (A \subseteq\# B)$   
 ⟨proof⟩

**lemma** *Times\_mset\_eq\_cancel2*:  $x \in\# C \implies (A \times\# C = B \times\# C) = (A = B)$

*<proof>*

**lemma** *split\_paired\_Ball\_mset\_Sigma\_mset[simp]*:  
 $(\forall z \in \#Sigma\_mset\ A\ B. P\ z) \longleftrightarrow (\forall x \in \#A. \forall y \in \#B\ x. P\ (x, y))$   
*<proof>*

**lemma** *split\_paired\_Bex\_mset\_Sigma\_mset[simp]*:  
 $(\exists z \in \#Sigma\_mset\ A\ B. P\ z) \longleftrightarrow (\exists x \in \#A. \exists y \in \#B\ x. P\ (x, y))$   
*<proof>*

**lemma** *sum\_mset\_if\_eq\_constant*:  
 $(\sum x \in \#M. \text{if } a = x \text{ then } (f\ x) \text{ else } 0) = (((+) (f\ a)) \wedge \wedge (\text{count } M\ a))\ 0$   
*<proof>*

**lemma** *iterate\_op\_plus*:  $((+) k) \wedge \wedge m = k * m$   
*<proof>*

**lemma** *untion\_image\_mset\_Pair\_distribute*:  
 $\bigcup \# \{ \#image\_mset\ (Pair\ x)\ (C\ x). x \in \#J - I\# \} =$   
 $\bigcup \# \{ \#image\_mset\ (Pair\ x)\ (C\ x). x \in \#J\# \} - \bigcup \# \{ \#image\_mset\ (Pair\ x)\ (C\ x). x \in \#I\# \}$   
*<proof>*

**lemma** *Sigma\_mset\_Un\_distrib1*:  $Sigma\_mset\ (I \cup \#J)\ C = Sigma\_mset\ I\ C \cup \#Sigma\_mset\ J\ C$   
*<proof>*

**lemma** *Sigma\_mset\_Un\_distrib2*:  $(SIGMAMSET\ i \in \#I. A\ i \cup \#B\ i) = Sigma\_mset\ I\ A \cup \#Sigma\_mset\ I\ B$   
*<proof>*

**lemma** *Sigma\_mset\_Int\_distrib1*:  $Sigma\_mset\ (I \cap \#J)\ C = Sigma\_mset\ I\ C \cap \#Sigma\_mset\ J\ C$   
*<proof>*

**lemma** *Sigma\_mset\_Int\_distrib2*:  $(SIGMAMSET\ i \in \#I. A\ i \cap \#B\ i) = Sigma\_mset\ I\ A \cap \#Sigma\_mset\ I\ B$   
*<proof>*

**lemma** *Sigma\_mset\_Diff\_distrib1*:  $Sigma\_mset\ (I - J)\ C = Sigma\_mset\ I\ C - Sigma\_mset\ J\ C$   
*<proof>*

**lemma** *Sigma\_mset\_Diff\_distrib2*:  $(SIGMAMSET\ i \in \#I. A\ i - B\ i) = Sigma\_mset\ I\ A - Sigma\_mset\ I\ B$   
*<proof>*

**lemma** *Sigma\_mset\_Union*:  $Sigma\_mset\ (\bigcup \#X)\ B = (\bigcup \#(image\_mset\ (\lambda A. Sigma\_mset\ A\ B)\ X))$   
*<proof>*

**lemma** *Times\_mset\_Un\_distrib1*:  $(A \cup \#B) \times \#C = A \times \#C \cup \#B \times \#C$   
*<proof>*

**lemma** *Times\_mset\_Int\_distrib1*:  $(A \cap \#B) \times \#C = A \times \#C \cap \#B \times \#C$   
*<proof>*

**lemma** *Times\_mset\_Diff\_distrib1*:  $(A - B) \times \#C = A \times \#C - B \times \#C$   
*<proof>*

**lemma** *Times\_mset\_empty[simp]*:  $A \times \#B = \{\#\} \longleftrightarrow A = \{\#\} \vee B = \{\#\}$   
*<proof>*

**lemma** *Times\_insert\_left*:  $A \times \#add\_mset\ x\ B = A \times \#B + image\_mset\ (\lambda a. Pair\ a\ x)\ A$   
*<proof>*

**lemma** *Times\_insert\_right*:  $add\_mset\ a\ A \times \#B = A \times \#B + image\_mset\ (Pair\ a)\ B$   
*<proof>*

**lemma** *fst\_image\_mset\_times\_mset[simp]*:  
 $image\_mset\ fst\ (A \times \#B) = (\text{if } B = \{\#\} \text{ then } \{\#\} \text{ else } repeat\_mset\ (\text{size } B)\ A)$

*<proof>*

**lemma** *snd\_image\_mset\_times\_mset* [*simp*]:  
 $image\_mset\ snd\ (A \times\# B) = (if\ A = \{\#\}\ then\ \{\#\}\ else\ repeat\_mset\ (size\ A)\ B)$   
*<proof>*

**lemma** *product\_swap\_mset*:  $image\_mset\ prod.swap\ (A \times\# B) = B \times\# A$   
*<proof>*

**context**  
**begin**

**qualified definition** *product\_mset* :: 'a multiset  $\Rightarrow$  'b multiset  $\Rightarrow$  ('a  $\times$  'b) multiset **where**  
[*code\_abbrev*]:  $product\_mset\ A\ B = A \times\# B$

**lemma** *member\_product\_mset*:  $x \in\# product\_mset\ A\ B \iff x \in\# A \times\# B$   
*<proof>*

**end**

**lemma** *count\_Sigma\_mset\_abs\_def*:  $count\ (Sigma\_mset\ A\ B) = (\lambda(a, b) \Rightarrow count\ A\ a * count\ (B\ a)\ b)$   
*<proof>*

**lemma** *Times\_mset\_image\_mset1*:  $image\_mset\ f\ A \times\# B = image\_mset\ (\lambda(a, b). (f\ a, b))\ (A \times\# B)$   
*<proof>*

**lemma** *Times\_mset\_image\_mset2*:  $A \times\# image\_mset\ f\ B = image\_mset\ (\lambda(a, b). (a, f\ b))\ (A \times\# B)$   
*<proof>*

**lemma** *sum\_le\_singleton*:  $A \subseteq \{x\} \implies sum\ f\ A = (if\ x \in A\ then\ f\ x\ else\ 0)$   
*<proof>*

**lemma** *Times\_mset\_assoc*:  $(A \times\# B) \times\# C = image\_mset\ (\lambda(a, b, c). ((a, b), c))\ (A \times\# B \times\# C)$   
*<proof>*

## 2.11 Transfer Rules

**lemma** *plus\_multiset\_transfer*[*transfer\_rule*]:  
 $(rel\_fun\ (rel\_mset\ R)\ (rel\_fun\ (rel\_mset\ R)\ (rel\_mset\ R)))\ (+)\ (+)$   
*<proof>*

**lemma** *minus\_multiset\_transfer*[*transfer\_rule*]:  
**assumes** [*transfer\_rule*]: *bi\_unique* *R*  
**shows**  $(rel\_fun\ (rel\_mset\ R)\ (rel\_fun\ (rel\_mset\ R)\ (rel\_mset\ R)))\ (-)\ (-)$   
*<proof>*

**declare** *rel\_mset\_Zero*[*transfer\_rule*]

**lemma** *count\_transfer*[*transfer\_rule*]:  
**assumes** *bi\_unique* *R*  
**shows**  $(rel\_fun\ (rel\_mset\ R)\ (rel\_fun\ R\ (=)))\ count\ count$   
*<proof>*

**lemma** *subsetq\_multiset\_transfer*[*transfer\_rule*]:  
**assumes** [*transfer\_rule*]: *bi\_unique* *R* *right\_total* *R*  
**shows**  $(rel\_fun\ (rel\_mset\ R)\ (rel\_fun\ (rel\_mset\ R)\ (=)))$   
 $(\lambda M\ N. filter\_mset\ (Domainp\ R)\ M \subseteq\# filter\_mset\ (Domainp\ R)\ N)\ (\subseteq\#)$   
*<proof>*

**lemma** *sum\_mset\_transfer*[*transfer\_rule*]:  
 $R\ 0\ 0 \implies rel\_fun\ R\ (rel\_fun\ R\ R)\ (+)\ (+) \implies (rel\_fun\ (rel\_mset\ R)\ R)\ sum\_mset\ sum\_mset$   
*<proof>*

**lemma** *Sigma\_mset\_transfer*[*transfer\_rule*]:

(*rel\_fun* (*rel\_mset* *R*) (*rel\_fun* (*rel\_fun* *R* (*rel\_mset* *S*)) (*rel\_mset* (*rel\_prod* *R* *S*))))  
*Sigma\_mset Sigma\_mset*  
 ⟨*proof*⟩

## 2.12 Even More about Multisets

### 2.12.1 Multisets and functions

**lemma** *range\_image\_mset*:  
**assumes** *set\_mset Ds*  $\subseteq$  *range f*  
**shows** *Ds*  $\in$  *range (image\_mset f)*  
 ⟨*proof*⟩

### 2.12.2 Multisets and lists

**definition** *list\_of\_mset* :: 'a *mset*  $\Rightarrow$  'a *list* **where**  
*list\_of\_mset m* = (*SOME l. m = mset l*)

**lemma** *list\_of\_mset\_exi*:  $\exists l. m = mset l$   
 ⟨*proof*⟩

**lemma** [*simp*]: *mset (list\_of\_mset m)* = *m*  
 ⟨*proof*⟩

**lemma** *range\_mset\_map*:  
**assumes** *set\_mset Ds*  $\subseteq$  *range f*  
**shows** *Ds*  $\in$  *range* ( $\lambda Cl. mset (map f Cl)$ )  
 ⟨*proof*⟩

**lemma** *list\_of\_mset\_empty*[*iff*]: *list\_of\_mset m* = []  $\longleftrightarrow$  *m* = {#}  
 ⟨*proof*⟩

**lemma** *in\_mset\_conv\_nth*: (*x*  $\in$  {# *mset xs*}) = ( $\exists i < length\ xs. xs ! i = x$ )  
 ⟨*proof*⟩

**lemma** *in\_mset\_sum\_list*:  
**assumes** *L*  $\in$  {# *LL*  
**assumes** *LL*  $\in$  *set Ci*  
**shows** *L*  $\in$  {# *sum\_list Ci*  
 ⟨*proof*⟩

**lemma** *in\_mset\_sum\_list2*:  
**assumes** *L*  $\in$  {# *sum\_list Ci*  
**obtains** *LL* **where**  
*LL*  $\in$  *set Ci*  
*L*  $\in$  {# *LL*  
 ⟨*proof*⟩

**lemma** *subseq\_list\_Union\_mset*:  
**assumes** *length Ci* = *n*  
**assumes** *length CAi* = *n*  
**assumes**  $\forall i < n. Ci ! i \subseteq \# CAi ! i$   
**shows**  $\bigcup \#mset Ci \subseteq \# \bigcup \#mset CAi$   
 ⟨*proof*⟩

### 2.12.3 More on multisets and functions

**lemma** *subseq\_mset\_size\_eq*: *X*  $\subseteq \# Y \Longrightarrow size\ Y = size\ X \Longrightarrow X = Y$   
 ⟨*proof*⟩

**lemma** *image\_mset\_of\_subset\_list*:  
**assumes** *image\_mset*  $\eta\ C' = mset\ lC$   
**shows**  $\exists qC'. map\ \eta\ qC' = lC \wedge mset\ qC' = C'$   
 ⟨*proof*⟩

**lemma** *image\_mset\_of\_subset*:  
**assumes**  $A \subseteq_{\#} \text{image\_mset } \eta \ C'$   
**shows**  $\exists A'. \text{image\_mset } \eta \ A' = A \wedge A' \subseteq_{\#} C'$   
 $\langle \text{proof} \rangle$

**lemma** *all\_the\_same*:  $\forall x \in_{\#} X. x = y \implies \text{card } (\text{set\_mset } X) \leq \text{Suc } 0$   
 $\langle \text{proof} \rangle$

**lemma** *Melem\_subseteq\_Union\_mset*[simp]:  
**assumes**  $x \in_{\#} T$   
**shows**  $x \subseteq_{\#} \bigcup_{\#} T$   
 $\langle \text{proof} \rangle$

**lemma** *Melem\_subset\_eq\_sum\_list*[simp]:  
**assumes**  $x \in_{\#} \text{mset } T$   
**shows**  $x \subseteq_{\#} \text{sum\_list } T$   
 $\langle \text{proof} \rangle$

**lemma** *less\_subset\_eq\_Union\_mset*[simp]:  
**assumes**  $i < \text{length } CAi$   
**shows**  $CAi \ ! \ i \subseteq_{\#} \bigcup_{\#} \text{mset } CAi$   
 $\langle \text{proof} \rangle$

**lemma** *less\_subset\_eq\_sum\_list*[simp]:  
**assumes**  $i < \text{length } CAi$   
**shows**  $CAi \ ! \ i \subseteq_{\#} \text{sum\_list } CAi$   
 $\langle \text{proof} \rangle$

**end**

### 3 Signed (Finite) Multisets

**theory** *Signed\_Multiset*  
**imports** *Multiset\_More*  
**abbrevs**  
 $!z = z$   
**begin**

#### 3.1 Definition of Signed Multisets

**definition** *equiv\_zmset* ::  $'a \text{ multiset} \times 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \times 'a \text{ multiset} \Rightarrow \text{bool}$  **where**  
 $\text{equiv\_zmset} = (\lambda(Mp, Mn) (Np, Nn). Mp + Nn = Np + Mn)$

**quotient-type**  $'a \text{ zmset} = 'a \text{ multiset} \times 'a \text{ multiset} / \text{equiv\_zmset}$   
 $\langle \text{proof} \rangle$

#### 3.2 Basic Operations on Signed Multisets

**instantiation** *zmultiset* :: *(type)* *cancel\_comm\_monoid\_add*  
**begin**

**lift-definition** *zero\_zmultiset* ::  $'a \text{ zmset}$  **is**  $(\{\#\}, \{\#\})$   $\langle \text{proof} \rangle$

**abbreviation** *empty\_zmset* ::  $'a \text{ zmset}$   $(\{\#\}_z)$  **where**  
 $\text{empty\_zmset} \equiv 0$

**lift-definition** *minus\_zmultiset* ::  $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow 'a \text{ zmset}$  **is**  
 $\lambda(Mp, Mn) (Np, Nn). (Mp + Nn, Mn + Np)$   
 $\langle \text{proof} \rangle$

**lift-definition** *plus\_zmultiset* ::  $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow 'a \text{ zmset}$  **is**  
 $\lambda(Mp, Mn) (Np, Nn). (Mp + Np, Mn + Nn)$   
 $\langle \text{proof} \rangle$

**instance**

*<proof>*

**end**

**instantiation** *zmultiset* :: (type) group\_add

**begin**

**lift-definition** *uminus\_zmultiset* :: 'a *zmultiset*  $\Rightarrow$  'a *zmultiset* **is**  $\lambda(Mp, Mn). (Mn, Mp)$

*<proof>*

**instance**

*<proof>*

**end**

**lift-definition** *zcount* :: 'a *zmultiset*  $\Rightarrow$  'a  $\Rightarrow$  int **is**

$\lambda(Mp, Mn) x. \text{int } (\text{count } Mp \ x) - \text{int } (\text{count } Mn \ x)$

*<proof>*

**lemma** *zcount\_inject*:  $zcount \ M = zcount \ N \longleftrightarrow M = N$

*<proof>*

**lemma** *zmultiset\_eq\_iff*:  $M = N \longleftrightarrow (\forall a. zcount \ M \ a = zcount \ N \ a)$

*<proof>*

**lemma** *zmultiset\_eqI*:  $(\bigwedge x. zcount \ A \ x = zcount \ B \ x) \Longrightarrow A = B$

*<proof>*

**lemma** *zcount\_uminus[simp]*:  $zcount \ (- \ A) \ x = - \ zcount \ A \ x$

*<proof>*

**lift-definition** *add\_zmset* :: 'a  $\Rightarrow$  'a *zmultiset*  $\Rightarrow$  'a *zmultiset* **is**

$\lambda x \ (Mp, Mn). (\text{add\_mset } x \ Mp, Mn)$

*<proof>*

**syntax**

*\_zmultiset* :: args  $\Rightarrow$  'a *zmultiset* ( $\{\#(\_) \#\}_z$ )

**translations**

$\{\#x, xs\# \}_z == \text{CONST } \text{add\_zmset } x \ \{\#xs\# \}_z$

$\{\#x\# \}_z == \text{CONST } \text{add\_zmset } x \ \{\#\}_z$

**lemma** *zcount\_empty[simp]*:  $zcount \ \{\#\}_z \ a = 0$

*<proof>*

**lemma** *zcount\_add\_zmset[simp]*:

$zcount \ (\text{add\_zmset } b \ A) \ a = (\text{if } b = a \ \text{then } zcount \ A \ a + 1 \ \text{else } zcount \ A \ a)$

*<proof>*

**lemma** *zcount\_single*:  $zcount \ \{\#b\# \}_z \ a = (\text{if } b = a \ \text{then } 1 \ \text{else } 0)$

*<proof>*

**lemma** *add\_add\_same\_iff\_zmset[simp]*:  $\text{add\_zmset } a \ A = \text{add\_zmset } a \ B \longleftrightarrow A = B$

*<proof>*

**lemma** *add\_zmset\_commute*:  $\text{add\_zmset } x \ (\text{add\_zmset } y \ M) = \text{add\_zmset } y \ (\text{add\_zmset } x \ M)$

*<proof>*

**lemma**

*singleton\_ne\_empty\_zmset[simp]*:  $\{\#x\# \}_z \neq \{\#\}_z$  **and**

*empty\_ne\_singleton\_zmset[simp]*:  $\{\#\}_z \neq \{\#x\# \}_z$

*<proof>*

**lemma**

$\text{singleton\_ne\_uminus\_singleton\_zmset}[simp]: \{\#x\}_z \neq -\{\#y\}_z$  and  
 $\text{uminus\_singleton\_ne\_singleton\_zmset}[simp]: -\{\#x\}_z \neq \{\#y\}_z$   
(proof)

### 3.2.1 Conversion to Set and Membership

**definition**  $\text{set\_zmset} :: 'a \text{ zmultiset} \Rightarrow 'a \text{ set}$  where

$\text{set\_zmset } M = \{x. \text{zcount } M x \neq 0\}$

**abbreviation**  $\text{elem\_zmset} :: 'a \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  where

$\text{elem\_zmset } a M \equiv a \in \text{set\_zmset } M$

**notation**

$\text{elem\_zmset } ('(\in\#_z'))$  and  
 $\text{elem\_zmset } ((\_ / \in\#_z \_)) [51, 51] 50)$

**notation (ASCII)**

$\text{elem\_zmset } ('(:\#z'))$  and  
 $\text{elem\_zmset } ((\_ / :\#z \_)) [51, 51] 50)$

**abbreviation**  $\text{not\_elem\_zmset} :: 'a \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  where

$\text{not\_elem\_zmset } a M \equiv a \notin \text{set\_zmset } M$

**notation**

$\text{not\_elem\_zmset } ('(\notin\#_z'))$  and  
 $\text{not\_elem\_zmset } ((\_ / \notin\#_z \_)) [51, 51] 50)$

**notation (ASCII)**

$\text{not\_elem\_zmset } ('(\sim\#z'))$  and  
 $\text{not\_elem\_zmset } ((\_ / \sim\#z \_)) [51, 51] 50)$

**context**

**begin**

**qualified abbreviation**  $\text{Ball} :: 'a \text{ zmultiset} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  where

$\text{Ball } M \equiv \text{Set.Ball } (\text{set\_zmset } M)$

**qualified abbreviation**  $\text{Bex} :: 'a \text{ zmultiset} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  where

$\text{Bex } M \equiv \text{Set.Bex } (\text{set\_zmset } M)$

**end**

**syntax**

$\_ \text{MBall} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool } ((\exists \forall \_ \in\#_z \_ / \_) [0, 0, 10] 10)$   
 $\_ \text{MBex} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool } ((\exists \exists \_ \in\#_z \_ / \_) [0, 0, 10] 10)$

**syntax (ASCII)**

$\_ \text{MBall} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool } ((\exists \forall \_ :\#z \_ / \_) [0, 0, 10] 10)$   
 $\_ \text{MBex} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool } ((\exists \exists \_ :\#z \_ / \_) [0, 0, 10] 10)$

**translations**

$\forall x \in\#_z A. P \Rightarrow \text{CONST Signed\_Multiset.Ball } A (\lambda x. P)$   
 $\exists x \in\#_z A. P \Rightarrow \text{CONST Signed\_Multiset.Bex } A (\lambda x. P)$

**lemma**  $\text{zcount\_eq\_zero\_iff}: \text{zcount } M x = 0 \longleftrightarrow x \notin\#_z M$

(proof)

**lemma**  $\text{not\_in\_iff\_zmset}: x \notin\#_z M \longleftrightarrow \text{zcount } M x = 0$

(proof)

**lemma**  $\text{zcount\_ne\_zero\_iff}[simp]: \text{zcount } M x \neq 0 \longleftrightarrow x \in\#_z M$

(proof)



**lemma** *zcount\_inI*:  
**assumes**  $zcount\ M\ x = 0 \implies False$   
**shows**  $x \in \#_z\ M$   
 $\langle proof \rangle$

**lemma** *set\_zmset\_empty[simp]*:  $set\_zmset\ \{\#\}_z = \{\}$   
 $\langle proof \rangle$

**lemma** *set\_zmset\_single*:  $set\_zmset\ \{\#b\#_z = \{b\}$   
 $\langle proof \rangle$

**lemma** *set\_zmset\_eq\_empty\_iff[simp]*:  $set\_zmset\ M = \{\} \longleftrightarrow M = \{\#\}_z$   
 $\langle proof \rangle$

**lemma** *finite\_count\_ne*:  $finite\ \{x.\ count\ M\ x \neq count\ N\ x\}$   
 $\langle proof \rangle$

**lemma** *finite\_set\_zmset[iff]*:  $finite\ (set\_zmset\ M)$   
 $\langle proof \rangle$

**lemma** *zmultiset\_nonemptyE[elim]*:  
**assumes**  $A \neq \{\#\}_z$   
**obtains**  $x$  **where**  $x \in \#_z\ A$   
 $\langle proof \rangle$

### 3.2.2 Union

**lemma** *zcount\_union[simp]*:  $zcount\ (M + N)\ a = zcount\ M\ a + zcount\ N\ a$   
 $\langle proof \rangle$

**lemma** *union\_add\_left\_zmset[simp]*:  $add\_zmset\ a\ A + B = add\_zmset\ a\ (A + B)$   
 $\langle proof \rangle$

**lemma** *union\_zmset\_add\_zmset\_right[simp]*:  $A + add\_zmset\ a\ B = add\_zmset\ a\ (A + B)$   
 $\langle proof \rangle$

**lemma** *add\_zmset\_add\_single*:  $\langle add\_zmset\ a\ A = A + \{\#a\#_z \rangle$   
 $\langle proof \rangle$

### 3.2.3 Difference

**lemma** *zcount\_diff[simp]*:  $zcount\ (M - N)\ a = zcount\ M\ a - zcount\ N\ a$   
 $\langle proof \rangle$

**lemma** *add\_zmset\_diff\_bothersides*:  $\langle add\_zmset\ a\ M - add\_zmset\ a\ A = M - A \rangle$   
 $\langle proof \rangle$

**lemma** *in\_diff\_zcount*:  $a \in \#_z\ M - N \longleftrightarrow zcount\ N\ a \neq zcount\ M\ a$   
 $\langle proof \rangle$

**lemma** *diff\_add\_zmset*:  
**fixes**  $M\ N\ Q :: 'a\ zmultiset$   
**shows**  $M - (N + Q) = M - N - Q$   
 $\langle proof \rangle$

**lemma** *insert\_Diff\_zmset[simp]*:  $add\_zmset\ x\ (M - \{\#x\#_z) = M$   
 $\langle proof \rangle$

**lemma** *diff\_union\_swap\_zmset*:  $add\_zmset\ b\ (M - \{\#a\#_z) = add\_zmset\ b\ M - \{\#a\#_z$   
 $\langle proof \rangle$

**lemma** *diff\_add\_zmset\_swap[simp]*:  $add\_zmset\ b\ M - A = add\_zmset\ b\ (M - A)$   
 $\langle proof \rangle$

**lemma** *diff\_diff\_add\_zmset[simp]*:  $(M :: 'a\ zmset) - N - P = M - (N + P)$   
 ⟨proof⟩

**lemma** *zmset\_add[elim?]*:  
 obtains  $B$  where  $A = \text{add\_zmset } a\ B$   
 ⟨proof⟩

### 3.2.4 Equality of Signed Multisets

**lemma** *single\_eq\_single\_zmset[simp]*:  $\{\#a\}_z = \{\#b\}_z \longleftrightarrow a = b$   
 ⟨proof⟩

**lemma** *multi\_self\_add\_other\_not\_self\_zmset[simp]*:  $M = \text{add\_zmset } x\ M \longleftrightarrow \text{False}$   
 ⟨proof⟩

**lemma** *add\_zmset\_remove\_trivial*:  $(\text{add\_zmset } x\ M - \{\#x\}_z = M)$   
 ⟨proof⟩

**lemma** *diff\_single\_eq\_union\_zmset*:  $M - \{\#x\}_z = N \longleftrightarrow M = \text{add\_zmset } x\ N$   
 ⟨proof⟩

**lemma** *union\_single\_eq\_diff\_zmset*:  $\text{add\_zmset } x\ M = N \implies M = N - \{\#x\}_z$   
 ⟨proof⟩

**lemma** *add\_zmset\_eq\_conv\_diff*:  
 $\text{add\_zmset } a\ M = \text{add\_zmset } b\ N \longleftrightarrow$   
 $M = N \wedge a = b \vee M = \text{add\_zmset } b\ (N - \{\#a\}_z) \wedge N = \text{add\_zmset } a\ (M - \{\#b\}_z)$   
 ⟨proof⟩

**lemma** *add\_zmset\_eq\_conv\_ex*:  
 $(\text{add\_zmset } a\ M = \text{add\_zmset } b\ N) =$   
 $(M = N \wedge a = b \vee (\exists K. M = \text{add\_zmset } b\ K \wedge N = \text{add\_zmset } a\ K))$   
 ⟨proof⟩

**lemma** *multi\_member\_split*:  $\exists A. M = \text{add\_zmset } x\ A$   
 ⟨proof⟩

### 3.3 Conversions from and to Multisets

**lift-definition** *zmset\_of* ::  $'a\ multiset \Rightarrow 'a\ zmset$  is  $\lambda f. (\text{Abs\_multiset } f, \{\#\})$  ⟨proof⟩

**lemma** *zmset\_of\_inject[simp]*:  $\text{zmset\_of } M = \text{zmset\_of } N \longleftrightarrow M = N$   
 ⟨proof⟩

**lemma** *zmset\_of\_empty[simp]*:  $\text{zmset\_of } \{\#\} = \{\#\}_z$   
 ⟨proof⟩

**lemma** *zmset\_of\_add\_mset[simp]*:  $\text{zmset\_of } (\text{add\_mset } x\ M) = \text{add\_zmset } x\ (\text{zmset\_of } M)$   
 ⟨proof⟩

**lemma** *zcount\_of\_mset[simp]*:  $\text{zcount } (\text{zmset\_of } M)\ x = \text{int } (\text{count } M\ x)$   
 ⟨proof⟩

**lemma** *zmset\_of\_plus*:  $\text{zmset\_of } (M + N) = \text{zmset\_of } M + \text{zmset\_of } N$   
 ⟨proof⟩

**lift-definition** *mset\_pos* ::  $'a\ zmset \Rightarrow 'a\ multiset$  is  $\lambda(Mp, Mn). \text{count } (Mp - Mn)$   
 ⟨proof⟩

**lift-definition** *mset\_neg* ::  $'a\ zmset \Rightarrow 'a\ multiset$  is  $\lambda(Mp, Mn). \text{count } (Mn - Mp)$   
 ⟨proof⟩

**lemma**

*zmsset\_of\_inverse*[simp]:  $mset\_pos (zmsset\_of M) = M$  **and**  
*minus\_zmsset\_of\_inverse*[simp]:  $mset\_neg (- zmsset\_of M) = M$   
 ⟨proof⟩

**lemma** *neg\_zmsset\_pos*[simp]:  $mset\_neg (zmsset\_of M) = \{\#\}$   
 ⟨proof⟩

**lemma**  
*count\_mset\_pos*[simp]:  $count (mset\_pos M) x = nat (zcount M x)$  **and**  
*count\_mset\_neg*[simp]:  $count (mset\_neg M) x = nat (- zcount M x)$   
 ⟨proof⟩

**lemma**  
*mset\_pos\_empty*[simp]:  $mset\_pos \{\#\}_z = \{\#\}$  **and**  
*mset\_neg\_empty*[simp]:  $mset\_neg \{\#\}_z = \{\#\}$   
 ⟨proof⟩

**lemma**  
*mset\_pos\_singleton*[simp]:  $mset\_pos \{\#x\#}_z = \{\#x\#}$  **and**  
*mset\_neg\_singleton*[simp]:  $mset\_neg \{\#x\#}_z = \{\#\}$   
 ⟨proof⟩

**lemma**  
*mset\_pos\_neg\_partition*:  $M = zmsset\_of (mset\_pos M) - zmsset\_of (mset\_neg M)$  **and**  
*mset\_pos\_as\_neg*:  $zmsset\_of (mset\_pos M) = zmsset\_of (mset\_neg M) + M$  **and**  
*mset\_neg\_as\_pos*:  $zmsset\_of (mset\_neg M) = zmsset\_of (mset\_pos M) - M$   
 ⟨proof⟩

**lemma** *mset\_pos\_uminus*[simp]:  $mset\_pos (- A) = mset\_neg A$   
 ⟨proof⟩

**lemma** *mset\_neg\_uminus*[simp]:  $mset\_neg (- A) = mset\_pos A$   
 ⟨proof⟩

**lemma** *mset\_pos\_plus*[simp]:  
 $mset\_pos (A + B) = (mset\_pos A - mset\_neg B) + (mset\_pos B - mset\_neg A)$   
 ⟨proof⟩

**lemma** *mset\_neg\_plus*[simp]:  
 $mset\_neg (A + B) = (mset\_neg A - mset\_pos B) + (mset\_neg B - mset\_pos A)$   
 ⟨proof⟩

**lemma** *mset\_pos\_diff*[simp]:  
 $mset\_pos (A - B) = (mset\_pos A - mset\_pos B) + (mset\_neg B - mset\_neg A)$   
 ⟨proof⟩

**lemma** *mset\_neg\_diff*[simp]:  
 $mset\_neg (A - B) = (mset\_neg A - mset\_neg B) + (mset\_pos B - mset\_pos A)$   
 ⟨proof⟩

**lemma** *mset\_pos\_neg\_dual*:  
 $mset\_pos a + mset\_pos b + (mset\_neg a - mset\_pos b) + (mset\_neg b - mset\_pos a) =$   
 $mset\_neg a + mset\_neg b + (mset\_pos a - mset\_neg b) + (mset\_pos b - mset\_neg a)$   
 ⟨proof⟩

**lemma** *decompose\_zmsset\_of2*:  
**obtains**  $A B C$  **where**  
 $M = zmsset\_of A + C$  **and**  
 $N = zmsset\_of B + C$   
 ⟨proof⟩

### 3.3.1 Pointwise Ordering Induced by *zcount*

**definition** *subseteq\_zmsset* ::  $'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow bool$  (**infix**  $\subseteq\#_z$  50) **where**

$$A \subseteq_{\#z} B \longleftrightarrow (\forall a. zcount A a \leq zcount B a)$$

**definition** *subset\_zmset* :: 'a zmixmap ⇒ 'a zmixmap ⇒ bool (**infix**  $\subseteq_{\#z}$  50) **where**  
 $A \subseteq_{\#z} B \longleftrightarrow A \subseteq_{\#z} B \wedge A \neq B$

**abbreviation** (*input*)  
*supseteq\_zmset* :: 'a zmixmap ⇒ 'a zmixmap ⇒ bool (**infix**  $\supseteq_{\#z}$  50)  
**where**  
*supseteq\_zmset* A B ≡ B  $\subseteq_{\#z}$  A

**abbreviation** (*input*)  
*supset\_zmset* :: 'a zmixmap ⇒ 'a zmixmap ⇒ bool (**infix**  $\supset_{\#z}$  50)  
**where**  
*supset\_zmset* A B ≡ B  $\subset_{\#z}$  A

**notation** (*input*)  
*subseq\_zmset* (**infix**  $\subseteq_{\#z}$  50) **and**  
*supseq\_zmset* (**infix**  $\supseteq_{\#z}$  50)

**notation** (*ASCII*)  
*subseq\_zmset* (**infix**  $\subseteq_{\#z}$  50) **and**  
*subset\_zmset* (**infix**  $\subset_{\#z}$  50) **and**  
*supseq\_zmset* (**infix**  $\supseteq_{\#z}$  50) **and**  
*supset\_zmset* (**infix**  $\supset_{\#z}$  50)

**interpretation** *subset\_zmset*: *ordered\_ab\_semigroup\_add\_imp\_le* (+) (-) ( $\subseteq_{\#z}$ ) ( $\subset_{\#z}$ )  
⟨proof⟩

**interpretation** *subset\_zmset*:  
*ordered\_ab\_semigroup\_monoid\_add\_imp\_le* (+) 0 (-) ( $\subseteq_{\#z}$ ) ( $\subset_{\#z}$ )  
⟨proof⟩

**lemma** *zmset\_subset\_eqI*:  $(\bigwedge a. zcount A a \leq zcount B a) \implies A \subseteq_{\#z} B$   
⟨proof⟩

**lemma** *zmset\_subset\_eq\_zcount*:  $A \subseteq_{\#z} B \implies zcount A a \leq zcount B a$   
⟨proof⟩

**lemma** *zmset\_subset\_eq\_add\_zmset\_cancel*:  $(add\_zmset\ a\ A \subseteq_{\#z}\ add\_zmset\ a\ B \longleftrightarrow A \subseteq_{\#z} B)$   
⟨proof⟩

**lemma** *zmset\_subset\_eq\_zmixmap\_union\_diff\_commute*:  
 $A - B + C = A + C - B$  **for** A B C :: 'a zmixmap  
⟨proof⟩

**lemma** *zmset\_subset\_eq\_insertD*:  $add\_zmset\ x\ A \subseteq_{\#z} B \implies A \subset_{\#z} B$   
⟨proof⟩

**lemma** *zmset\_subset\_insertD*:  $add\_zmset\ x\ A \subset_{\#z} B \implies A \subset_{\#z} B$   
⟨proof⟩

**lemma** *subset\_eq\_diff\_conv\_zmset*:  $A - C \subseteq_{\#z} B \longleftrightarrow A \subseteq_{\#z} B + C$   
⟨proof⟩

**lemma** *multi\_psub\_of\_add\_self\_zmset[simp]*:  $A \subset_{\#z} add\_zmset\ x\ A$   
⟨proof⟩

**lemma** *multi\_psub\_self\_zmset*:  $A \subset_{\#z} A = False$   
⟨proof⟩

**lemma** *zmset\_subset\_add\_zmset[simp]*:  $add\_zmset\ x\ N \subset_{\#z} add\_zmset\ x\ M \longleftrightarrow N \subset_{\#z} M$   
⟨proof⟩

**lemma** *zmset\_of\_subseteq\_iff[simp]*:  $zmset\_of\ M \subseteq\#_z\ zmset\_of\ N \longleftrightarrow M \subseteq\# N$   
 ⟨proof⟩

**lemma** *zmset\_of\_subset\_iff[simp]*:  $zmset\_of\ M \subset\#_z\ zmset\_of\ N \longleftrightarrow M \subset\# N$   
 ⟨proof⟩

**lemma**  
*mset\_pos\_supset*:  $A \subseteq\#_z\ zmset\_of\ (mset\_pos\ A)$  **and**  
*mset\_neg\_supset*:  $- A \subseteq\#_z\ zmset\_of\ (mset\_neg\ A)$   
 ⟨proof⟩

**lemma** *subset\_mset\_zmsetE*:  
**assumes**  $M \subset\#_z\ N$   
**obtains**  $A\ B\ C$  **where**  
 $M = zmset\_of\ A + C$  **and**  $N = zmset\_of\ B + C$  **and**  $A \subset\# B$   
 ⟨proof⟩

**lemma** *subteq\_mset\_zmsetE*:  
**assumes**  $M \subseteq\#_z\ N$   
**obtains**  $A\ B\ C$  **where**  
 $M = zmset\_of\ A + C$  **and**  $N = zmset\_of\ B + C$  **and**  $A \subseteq\# B$   
 ⟨proof⟩

### 3.3.2 Subset is an Order

**interpretation** *subset\_zmset*: order  $(\subseteq\#_z)$   $(\subset\#_z)$   
 ⟨proof⟩

## 3.4 Replicate and Repeat Operations

**definition** *replicate\_zmset* ::  $nat \Rightarrow 'a \Rightarrow 'a\ zmset$  **where**  
 $replicate\_zmset\ n\ x = (add\_zmset\ x\ \wedge\wedge\ n)\ \{\#\}_z$

**lemma** *replicate\_zmset\_0[simp]*:  $replicate\_zmset\ 0\ x = \{\#\}_z$   
 ⟨proof⟩

**lemma** *replicate\_zmset\_Suc[simp]*:  $replicate\_zmset\ (Suc\ n)\ x = add\_zmset\ x\ (replicate\_zmset\ n\ x)$   
 ⟨proof⟩

**lemma** *count\_replicate\_zmset[simp]*:  
 $zcount\ (replicate\_zmset\ n\ x)\ y = (if\ y = x\ then\ of\_nat\ n\ else\ 0)$   
 ⟨proof⟩

**fun** *repeat\_zmset* ::  $nat \Rightarrow 'a\ zmset \Rightarrow 'a\ zmset$  **where**  
 $repeat\_zmset\ 0\ _ = \{\#\}_z$  |  
 $repeat\_zmset\ (Suc\ n)\ A = A + repeat\_zmset\ n\ A$

**lemma** *count\_repeat\_zmset[simp]*:  $zcount\ (repeat\_zmset\ i\ A)\ a = of\_nat\ i * zcount\ A\ a$   
 ⟨proof⟩

**lemma** *repeat\_zmset\_right[simp]*:  $repeat\_zmset\ a\ (repeat\_zmset\ b\ A) = repeat\_zmset\ (a * b)\ A$   
 ⟨proof⟩

**lemma** *left\_diff\_repeat\_zmset\_distrib'*:  
 $\langle i \geq j \implies repeat\_zmset\ (i - j)\ u = repeat\_zmset\ i\ u - repeat\_zmset\ j\ u \rangle$   
 ⟨proof⟩

**lemma** *left\_add\_mult\_distrib\_zmset*:  
 $repeat\_zmset\ i\ u + (repeat\_zmset\ j\ u + k) = repeat\_zmset\ (i+j)\ u + k$   
 ⟨proof⟩

**lemma** *repeat\_zmset\_distrib*:  $repeat\_zmset\ (m + n)\ A = repeat\_zmset\ m\ A + repeat\_zmset\ n\ A$   
 ⟨proof⟩

**lemma** *repeat\_zmset\_distrib2*[simp]:  
 $\text{repeat\_zmset } n (A + B) = \text{repeat\_zmset } n A + \text{repeat\_zmset } n B$   
 ⟨proof⟩

**lemma** *repeat\_zmset\_replicate\_zmset*[simp]:  $\text{repeat\_zmset } n \{ \# a \# \}_z = \text{replicate\_zmset } n a$   
 ⟨proof⟩

**lemma** *repeat\_zmset\_distrib\_add\_zmset*[simp]:  
 $\text{repeat\_zmset } n (\text{add\_zmset } a A) = \text{replicate\_zmset } n a + \text{repeat\_zmset } n A$   
 ⟨proof⟩

**lemma** *repeat\_zmset\_empty*[simp]:  $\text{repeat\_zmset } n \{ \# \}_z = \{ \# \}_z$   
 ⟨proof⟩

### 3.4.1 Filter (with Comprehension Syntax)

**lift-definition** *filter\_zmset* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset}$  is  
 $\lambda P (Mp, Mn). (\text{filter\_mset } P Mp, \text{filter\_mset } P Mn)$   
 ⟨proof⟩

**syntax** (ASCII)

$\_M\text{Collect} :: \text{pttrn} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ zmultiset} ((1\{ \# \_ : \#z \_ / \_ \# \}))$

**syntax**

$\_M\text{Collect} :: \text{pttrn} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ zmultiset} ((1\{ \# \_ \in \#z \_ / \_ \# \}))$

**translations**

$\{ \# x \in \#z M. P \# \} == \text{CONST } \text{filter\_zmset } (\lambda x. P) M$

**lemma** *count\_filter\_zmset*[simp]:  
 $\text{zcount } (\text{filter\_zmset } P M) a = (\text{if } P a \text{ then } \text{zcount } M a \text{ else } 0)$   
 ⟨proof⟩

**lemma** *filter\_empty\_zmset*[simp]:  $\text{filter\_zmset } P \{ \# \}_z = \{ \# \}_z$   
 ⟨proof⟩

**lemma** *filter\_single\_zmset*:  $\text{filter\_zmset } P \{ \# x \# \}_z = (\text{if } P x \text{ then } \{ \# x \# \}_z \text{ else } \{ \# \}_z)$   
 ⟨proof⟩

**lemma** *filter\_union\_zmset*[simp]:  $\text{filter\_zmset } P (M + N) = \text{filter\_zmset } P M + \text{filter\_zmset } P N$   
 ⟨proof⟩

**lemma** *filter\_diff\_zmset*[simp]:  $\text{filter\_zmset } P (M - N) = \text{filter\_zmset } P M - \text{filter\_zmset } P N$   
 ⟨proof⟩

**lemma** *filter\_add\_zmset*[simp]:  
 $\text{filter\_zmset } P (\text{add\_zmset } x A) =$   
 $(\text{if } P x \text{ then } \text{add\_zmset } x (\text{filter\_zmset } P A) \text{ else } \text{filter\_zmset } P A)$   
 ⟨proof⟩

**lemma** *zmultiset\_filter\_mono*:  
**assumes**  $A \subseteq_{\#z} B$   
**shows**  $\text{filter\_zmset } f A \subseteq_{\#z} \text{filter\_zmset } f B$   
 ⟨proof⟩

**lemma** *filter\_filter\_zmset*:  $\text{filter\_zmset } P (\text{filter\_zmset } Q M) = \{ \# x \in \# M. Q x \wedge P x \# \}$   
 ⟨proof⟩

**lemma**

*filter\_zmset\_True*[simp]:  $\{ \# y \in \#z M. \text{True} \# \} = M$  **and**  
*filter\_zmset\_False*[simp]:  $\{ \# y \in \#z M. \text{False} \# \} = \{ \# \}_z$   
 ⟨proof⟩

### 3.5 Uncategorized

**lemma** *multi\_drop\_mem\_not\_eq\_zmset*:  $B - \{ \# c \# \}_z \neq B$

*<proof>*

**lemma** *zmultiset\_partition*:  $M = \{\#x \in \#_z M. P x \#\} + \{\#x \in \#_z M. \neg P x \#\}$   
*<proof>*

### 3.6 Image

**definition** *image\_zmset* ::  $('a \Rightarrow 'b) \Rightarrow 'a \text{ zmultiset} \Rightarrow 'b \text{ zmultiset}$  **where**  
*image\_zmset* *f* *M* =  
  *zmset\_of* (*fold\_mset* (*add\_mset*  $\circ$  *f*)  $\{\#\}$  (*mset\_pos* *M*)) -  
  *zmset\_of* (*fold\_mset* (*add\_mset*  $\circ$  *f*)  $\{\#\}$  (*mset\_neg* *M*))

### 3.7 Multiset Order

**instantiation** *zmultiset* :: (*preorder*) *order*  
**begin**

**lift-definition** *less\_zmultiset* ::  $'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  **is**  
   $\lambda(Mp, Mn) (Np, Nn). Mp + Nn < Mn + Np$   
*<proof>*

**definition** *less\_eq\_zmultiset* ::  $'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  **where**  
  *less\_eq\_zmultiset* *M' M*  $\longleftrightarrow M' < M \vee M' = M$

**instance**  
*<proof>*

**end**

**instance** *zmultiset* :: (*preorder*) *ordered\_cancel\_comm\_monoid\_add*  
*<proof>*

**instance** *zmultiset* :: (*preorder*) *ordered\_ab\_group\_add*  
*<proof>*

**instantiation** *zmultiset* :: (*linorder*) *distrib\_lattice*  
**begin**

**definition** *inf\_zmultiset* ::  $'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset}$  **where**  
  *inf\_zmultiset* *A B* = (*if* *A* < *B* *then A* *else B*)

**definition** *sup\_zmultiset* ::  $'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset}$  **where**  
  *sup\_zmultiset* *A B* = (*if* *B* > *A* *then B* *else A*)

**lemma** *not\_lt\_iff\_ge\_zmset*:  $\neg x < y \longleftrightarrow x \geq y$  **for**  $x y :: 'a \text{ zmultiset}$   
*<proof>*

**instance**  
*<proof>*

**end**

**lemma** *zmset\_of\_less*:  $zmset\_of\ M < zmset\_of\ N \longleftrightarrow M < N$   
*<proof>*

**lemma** *zmset\_of\_le*:  $zmset\_of\ M \leq zmset\_of\ N \longleftrightarrow M \leq N$   
*<proof>*

**instance** *zmultiset* :: (*preorder*) *ordered\_ab\_semigroup\_add*  
*<proof>*

**lemma** *uminus\_add\_conv\_diff\_mset*[*cancelation\_simproc\_pre*]:  $\langle -a + b = b - a \rangle$  **for**  $a :: 'a \text{ zmultiset}$   
*<proof>*

**lemma** *uminus\_add\_add\_uminus*[*cancelation\_simproc\_pre*]:  $\langle b - a + c = b + c - a \rangle$  **for**  $a :: \langle 'a \text{ zmultiset} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *add\_zmset\_eq\_add\_NO\_MATCH*[*cancelation\_simproc\_pre*]:  
 $\langle \text{NO\_MATCH } \{\#\}_z H \implies \text{add\_zmset } a H = \{\#a\}_z + H \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *repeat\_zmset\_iterate\_add*:  $\langle \text{repeat\_zmset } n M = \text{iterate\_add } n M \rangle$   
 $\langle \text{proof} \rangle$

**declare** *repeat\_zmset\_iterate\_add*[*cancelation\_simproc\_pre*]

**declare** *repeat\_zmset\_iterate\_add*[*symmetric, cancelation\_simproc\_post*]

$\langle ML \rangle$

**lemma** *zmset\_subseteq\_add\_iff1*:  
 $\langle j \leq i \implies (\text{repeat\_zmset } i u + m \subseteq_{\#_z} \text{repeat\_zmset } j u + n) = (\text{repeat\_zmset } (i - j) u + m \subseteq_{\#_z} n) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *zmset\_subseteq\_add\_iff2*:  
 $\langle i \leq j \implies (\text{repeat\_zmset } i u + m \subseteq_{\#_z} \text{repeat\_zmset } j u + n) = (m \subseteq_{\#_z} \text{repeat\_zmset } (j - i) u + n) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *zmset\_subset\_add\_iff1*:  
 $\langle j \leq i \implies (\text{repeat\_zmset } i u + m \subset_{\#_z} \text{repeat\_zmset } j u + n) = (\text{repeat\_zmset } (i - j) u + m \subset_{\#_z} n) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *zmset\_subset\_add\_iff2*:  
 $\langle i \leq j \implies (\text{repeat\_zmset } i u + m \subset_{\#_z} \text{repeat\_zmset } j u + n) = (m \subset_{\#_z} \text{repeat\_zmset } (j - i) u + n) \rangle$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**instance** *zmultiset* :: (*preorder*) *ordered\_ab\_semigroup\_add\_imp\_le*  
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**instance** *zmultiset* :: (*linorder*) *linordered\_cancel\_ab\_semigroup\_add*  
 $\langle \text{proof} \rangle$

**lemma** *less\_mset\_zmsetE*:  
**assumes**  $M < N$   
**obtains**  $A B C$  **where**  
 $M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A < B$   
 $\langle \text{proof} \rangle$

**lemma** *less\_eq\_mset\_zmsetE*:  
**assumes**  $M \leq N$   
**obtains**  $A B C$  **where**  
 $M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \leq B$   
 $\langle \text{proof} \rangle$

**lemma** *subset\_eq\_imp\_le\_zmset*:  $M \subseteq_{\#_z} N \implies M \leq N$   
 $\langle \text{proof} \rangle$

**lemma** *subset\_imp\_less\_zmset*:  $M \subset_{\#_z} N \implies M < N$   
 $\langle \text{proof} \rangle$

**lemma** *lt\_imp\_ex\_zcount\_lt*:  
**assumes**  $m\_lt\_n: M < N$   
**shows**  $\exists y. \text{zcount } M y < \text{zcount } N y$



*<proof>*

**instance** *zmultiset* :: (preorder) no\_top  
*<proof>*

**end**

## 4 Nested Multisets

**theory** *Nested\_Multiset*  
**imports** *HOL-Library.Multiset\_Order*  
**begin**

**declare** *multiset.map\_comp* [*simp*]  
**declare** *multiset.map\_cong* [*cong*]

### 4.1 Type Definition

**datatype** 'a *nmultiset* =  
 *Elem* 'a  
| *MSet* 'a *nmultiset multiset*

**inductive** *no\_elem* :: 'a *nmultiset*  $\Rightarrow$  bool **where**  
 ( $\bigwedge X. X \in\# M \Rightarrow \text{no\_elem } X$ )  $\Rightarrow$  *no\_elem* (*MSet* *M*)

**inductive-set** *sub\_nmset* :: ('a *nmultiset*  $\times$  'a *nmultiset*) set **where**  
  $X \in\# M \Rightarrow (X, \text{MSet } M) \in \text{sub\_nmset}$

**lemma** *wf\_sub\_nmset*[*simp*]: *wf sub\_nmset*  
*<proof>*

**primrec** *depth\_nmset* :: 'a *nmultiset*  $\Rightarrow$  nat (*|\_*) **where**  
 *|Elem a|* = 0  
| *MSet M* | = (let *X* = *set\_mset* (*image\_mset depth\_nmset M*) in if *X* = {} then 0 else *Suc* (*Max X*))

**lemma** *depth\_nmset\_MSet*:  $x \in\# M \Rightarrow |x| < |\text{MSet } M|$   
*<proof>*

**declare** *depth\_nmset.simps*(2)[*simp del*]

### 4.2 Dershowitz and Manna's Nested Multiset Order

The Dershowitz–Manna extension:

**definition** *less\_multiset\_ext<sub>DM</sub>* :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a *multiset*  $\Rightarrow$  'a *multiset*  $\Rightarrow$  bool **where**  
 *less\_multiset\_ext<sub>DM</sub> R M N*  $\iff$   
 ( $\exists X Y. X \neq \{\#\} \wedge X \subseteq\# N \wedge M = (N - X) + Y \wedge (\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge R k a))$ )

**lemma** *less\_multiset\_ext<sub>DM</sub>\_imp\_mult*:  
**assumes**  
 *N\_A*: *set\_mset N*  $\subseteq$  *A* **and** *M\_A*: *set\_mset M*  $\subseteq$  *A* **and** *less*: *less\_multiset\_ext<sub>DM</sub> R M N*  
**shows**  $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$   
*<proof>*

**lemma** *mult\_imp\_less\_multiset\_ext<sub>DM</sub>*:  
**assumes**  
 *N\_A*: *set\_mset N*  $\subseteq$  *A* **and** *M\_A*: *set\_mset M*  $\subseteq$  *A* **and**  
 *trans*:  $\forall x \in A. \forall y \in A. \forall z \in A. R x y \longrightarrow R y z \longrightarrow R x z$  **and**  
 *in\_mult*:  $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$   
**shows** *less\_multiset\_ext<sub>DM</sub> R M N*  
*<proof>*

**lemma** *less\_multiset\_ext<sub>DM</sub>\_iff\_mult*:

```

assumes
  N_A: set_mset N ⊆ A and M_A: set_mset M ⊆ A and
  trans: ∀ x ∈ A. ∀ y ∈ A. ∀ z ∈ A. R x y ⟶ R y z ⟶ R x z
shows less_multiset_ext_DM R M N ⟷ (M, N) ∈ mult {(x, y). x ∈ A ∧ y ∈ A ∧ R x y}
⟨proof⟩

instantiation nmultiset :: (preorder) preorder
begin

lemma less_multiset_ext_DM_cong[fundef_cong]:
  (∧ X Y k a. X ≠ {#} ⟹ X ⊆# N ⟹ M = (N - X) + Y ⟹ k ∈# Y ⟹ R k a = S k a) ⟹
  less_multiset_ext_DM R M N = less_multiset_ext_DM S M N
⟨proof⟩

function less_nmultiset :: 'a nmultiset ⇒ 'a nmultiset ⇒ bool where
  less_nmultiset (Elem a) (Elem b) ⟷ a < b
| less_nmultiset (Elem a) (MSet M) ⟷ True
| less_nmultiset (MSet M) (Elem a) ⟷ False
| less_nmultiset (MSet M) (MSet N) ⟷ less_multiset_ext_DM less_nmultiset M N
⟨proof⟩
termination
⟨proof⟩

lemmas less_nmultiset_induct =
  less_nmultiset.induct[case_names Elem_ Elem Elem_MSet MSet_ Elem MSet_ MSet]

lemmas less_nmultiset_cases =
  less_nmultiset.cases[case_names Elem_ Elem Elem_MSet MSet_ Elem MSet_ MSet]

lemma trans_less_nmultiset: X < Y ⟹ Y < Z ⟹ X < Z for X Y Z :: 'a nmultiset
⟨proof⟩

lemma irrefl_less_nmultiset:
  fixes X :: 'a nmultiset
  shows X < X ⟹ False
⟨proof⟩

lemma antisym_less_nmultiset:
  fixes X Y :: 'a nmultiset
  shows X < Y ⟹ Y < X ⟹ False
⟨proof⟩

definition less_eq_nmultiset :: 'a nmultiset ⇒ 'a nmultiset ⇒ bool where
  less_eq_nmultiset X Y = (X < Y ∨ X = Y)

instance
⟨proof⟩

lemma less_multiset_ext_DM_less: less_multiset_ext_DM (<) = (<)
⟨proof⟩

end

instantiation nmultiset :: (order) order
begin

instance
⟨proof⟩

end

instantiation nmultiset :: (linorder) linorder
begin

```

```

lemma total_less_nmultiset:
  fixes X Y :: 'a nmultiset
  shows  $\neg X < Y \implies Y \neq X \implies Y < X$ 
  <proof>

instance
  <proof>

end

lemma less_depth_nmset_imp_less_nmultiset:  $|X| < |Y| \implies X < Y$ 
  <proof>

lemma less_nmultiset_imp_le_depth_nmset:  $X < Y \implies |X| \leq |Y|$ 
  <proof>

lemma eq_mlex_I:
  fixes f :: 'a  $\Rightarrow$  nat and R :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
  assumes  $\bigwedge X Y. f X < f Y \implies R X Y$  and antisymp R
  shows  $\{(X, Y). R X Y\} = f < *mlex* \{(X, Y). f X = f Y \wedge R X Y\}$ 
  <proof>

instantiation nmultiset :: (wellorder) wellorder
begin

lemma depth_nmset_eq_0[simp]:  $|X| = 0 \longleftrightarrow (X = MSet \{\#\} \vee (\exists x. X = Elem x))$ 
  <proof>

lemma depth_nmset_eq_Suc[simp]:  $|X| = Suc n \longleftrightarrow$ 
   $(\exists N. X = MSet N \wedge (\exists Y \in \# N. |Y| = n) \wedge (\forall Y \in \# N. |Y| \leq n))$ 
  <proof>

lemma wf_less_nmultiset_depth:
  wf  $\{(X :: 'a nmultiset, Y). |X| = i \wedge |Y| = i \wedge X < Y\}$ 
  <proof>

lemma wf_less_nmultiset: wf  $\{(X :: 'a nmultiset, Y :: 'a nmultiset). X < Y\}$  (is wf ?R)
  <proof>

instance <proof>

end

end

```

## 5 Hereditarily (Finite) Multisets

```

theory Hereditary_Multiset
imports Multiset_More Nested_Multiset
begin

```

### 5.1 Type Definition

```

datatype hmultiset =
  HMSet (hmsetmset: hmultiset multiset)

lemma hmsetmset_inject[simp]: hmsetmset A = hmsetmset B  $\longleftrightarrow$  A = B
  <proof>

primrec Rep_hmultiset :: hmultiset  $\Rightarrow$  unit nmultiset where
  Rep_hmultiset (HMSet M) = MSet (image_mset Rep_hmultiset M)

```

**primrec** (*nonexhaustive*) *Abs\_hmultiset* :: unit *nmultiset*  $\Rightarrow$  *hmultiset* **where**  
*Abs\_hmultiset* (*MSet* *M*) = *HMSet* (*image\_mset* *Abs\_hmultiset* *M*)

**lemma** *type\_definition\_hmultiset*: *type\_definition* *Rep\_hmultiset* *Abs\_hmultiset* {*X*. *no\_elem* *X*}  
 $\langle$ *proof* $\rangle$

**setup-lifting** *type\_definition\_hmultiset*

**lemma** *HMSet\_alt*: *HMSet* = *Abs\_hmultiset* o *MSet* o *image\_mset* *Rep\_hmultiset*  
 $\langle$ *proof* $\rangle$

**lemma** *HMSet\_transfer*[*transfer\_rule*]: *rel\_fun* (*rel\_mset* *pcr\_hmultiset*) *pcr\_hmultiset* *MSet* *HMSet*  
 $\langle$ *proof* $\rangle$

## 5.2 Restriction of Dershowitz and Manna's Nested Multiset Order

**instantiation** *hmultiset* :: *linorder*  
**begin**

**lift-definition** *less\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  bool **is** (*<*)  $\langle$ *proof* $\rangle$

**lift-definition** *less\_eq\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  bool **is** (*\le*)  $\langle$ *proof* $\rangle$

**instance**  
 $\langle$ *proof* $\rangle$

**end**

**lemma** *less\_HMSet\_iff\_less\_multiset\_ext\_DM*: *HMSet* *M* < *HMSet* *N*  $\longleftrightarrow$  *less\_multiset\_ext\_DM* (*<*) *M* *N*  
 $\langle$ *proof* $\rangle$

**lemma** *hmsetmset\_less[simp]*: *hmsetmset* *M* < *hmsetmset* *N*  $\longleftrightarrow$  *M* < *N*  
 $\langle$ *proof* $\rangle$

**lemma** *hmsetmset\_le[simp]*: *hmsetmset* *M*  $\leq$  *hmsetmset* *N*  $\longleftrightarrow$  *M*  $\leq$  *N*  
 $\langle$ *proof* $\rangle$

**lemma** *wf\_less\_hmultiset*: *wf* {(*X* :: *hmultiset*, *Y* :: *hmultiset*). *X* < *Y*}  
 $\langle$ *proof* $\rangle$

**instance** *hmultiset* :: *wellorder*  
 $\langle$ *proof* $\rangle$

**lemma** *HMSet\_less[simp]*: *HMSet* *M* < *HMSet* *N*  $\longleftrightarrow$  *M* < *N*  
 $\langle$ *proof* $\rangle$

**lemma** *HMSet\_le[simp]*: *HMSet* *M*  $\leq$  *HMSet* *N*  $\longleftrightarrow$  *M*  $\leq$  *N*  
 $\langle$ *proof* $\rangle$

**lemma** *mem\_imp\_less\_HMSet*: *k*  $\in$  # *L*  $\Longrightarrow$  *k* < *HMSet* *L*  
 $\langle$ *proof* $\rangle$

**lemma** *mem\_hmsetmset\_imp\_less*: *M*  $\in$  # *hmsetmset* *N*  $\Longrightarrow$  *M* < *N*  
 $\langle$ *proof* $\rangle$

## 5.3 Disjoint Union and Truncated Difference

**instantiation** *hmultiset* :: *cancel\_comm\_monoid\_add*  
**begin**

**definition** *zero\_hmultiset* :: *hmultiset* **where**  
*0* = *HMSet* {#}

**lemma** *hmsetmset\_empty\_iff[simp]*: *hmsetmset* *n* = {#}  $\longleftrightarrow$  *n* = *0*  
 $\langle$ *proof* $\rangle$

**lemma** *hmsetmset\_0[simp]*:  $hmsetmset\ 0 = \{\#\}$   
*<proof>*

**lemma**  
*HMSet\_eq\_0\_iff[simp]*:  $HMSet\ m = 0 \iff m = \{\#\}$  **and**  
*zero\_eq\_HMSet[simp]*:  $0 = HMSet\ m \iff m = \{\#\}$   
*<proof>*

**definition** *plus\_hmultiset* ::  $hmultiset \Rightarrow hmultiset \Rightarrow hmultiset$  **where**  
 $A + B = HMSet\ (hmsetmset\ A + hmsetmset\ B)$

**definition** *minus\_hmultiset* ::  $hmultiset \Rightarrow hmultiset \Rightarrow hmultiset$  **where**  
 $A - B = HMSet\ (hmsetmset\ A - hmsetmset\ B)$

**instance**  
*<proof>*

**end**

**lemma** *HMSet\_plus*:  $HMSet\ (A + B) = HMSet\ A + HMSet\ B$   
*<proof>*

**lemma** *HMSet\_diff*:  $HMSet\ (A - B) = HMSet\ A - HMSet\ B$   
*<proof>*

**lemma** *hmsetmset\_plus*:  $hmsetmset\ (M + N) = hmsetmset\ M + hmsetmset\ N$   
*<proof>*

**lemma** *hmsetmset\_diff*:  $hmsetmset\ (M - N) = hmsetmset\ M - hmsetmset\ N$   
*<proof>*

**lemma** *diff\_diff\_add\_hmset[simp]*:  $a - b - c = a - (b + c)$  **for**  $a\ b\ c :: hmultiset$   
*<proof>*

**instance** *hmultiset* :: *comm\_monoid\_diff*  
*<proof>*

*<ML>*

**instance** *hmultiset* :: *ordered\_cancel\_comm\_monoid\_add*  
*<proof>*

**instance** *hmultiset* :: *ordered\_ab\_semigroup\_add\_imp\_le*  
*<proof>*

**instantiation** *hmultiset* :: *order\_bot*  
**begin**

**definition** *bot\_hmultiset* :: *hmultiset* **where**  
 $bot\_hmultiset = 0$

**instance**  
*<proof>*

**end**

**instance** *hmultiset* :: *no\_top*  
*<proof>*

**lemma** *le\_minus\_plus\_same\_hmset*:  $m \leq m - n + n$  **for**  $m\ n :: hmultiset$   
*<proof>*

## 5.4 Infimum and Supremum

**instantiation** *hmultiset* :: *distrib\_lattice*  
**begin**

**definition** *inf\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *hmultiset* **where**  
*inf\_hmultiset* *A B* = (if *A* < *B* then *A* else *B*)

**definition** *sup\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *hmultiset* **where**  
*sup\_hmultiset* *A B* = (if *B* > *A* then *B* else *A*)

**instance**  
(*proof*)

**end**

## 5.5 Inequalities

**lemma** *zero\_le\_hmset[simp]*:  $0 \leq M$  **for** *M* :: *hmultiset*  
(*proof*)

**lemma**  
*le\_add1\_hmset*:  $n \leq n + m$  **and**  
*le\_add2\_hmset*:  $n \leq m + n$  **for** *n* :: *hmultiset*  
(*proof*)

**lemma** *le\_zero\_eq\_hmset[simp]*:  $M \leq 0 \iff M = 0$  **for** *M* :: *hmultiset*  
(*proof*)

**lemma** *not\_less\_zero\_hmset[simp]*:  $\neg M < 0$  **for** *M* :: *hmultiset*  
(*proof*)

**lemma** *not\_gr\_zero\_hmset[simp]*:  $\neg 0 < M \iff M = 0$  **for** *M* :: *hmultiset*  
(*proof*)

**lemma** *zero\_less\_iff\_neq\_zero\_hmset*:  $0 < M \iff M \neq 0$  **for** *M* :: *hmultiset*  
(*proof*)

**lemma** *zero\_less\_HMSet\_iff[simp]*:  $0 < HMSet\ M \iff M \neq \{\#\}$   
(*proof*)

**lemma** *gr\_zeroI\_hmset*:  $(M = 0 \implies False) \implies 0 < M$  **for** *M* :: *hmultiset*  
(*proof*)

**lemma** *gr\_implies\_not\_zero\_hmset*:  $M < N \implies N \neq 0$  **for** *M N* :: *hmultiset*  
(*proof*)

**lemma** *add\_eq\_0\_iff\_both\_eq\_0\_hmset[simp]*:  $M + N = 0 \iff M = 0 \wedge N = 0$  **for** *M N* :: *hmultiset*  
(*proof*)

**lemma** *trans\_less\_add1\_hmset*:  $i < j \implies i < j + m$  **for** *i j m* :: *hmultiset*  
(*proof*)

**lemma** *trans\_less\_add2\_hmset*:  $i < j \implies i < m + j$  **for** *i j m* :: *hmultiset*  
(*proof*)

**lemma** *trans\_le\_add1\_hmset*:  $i \leq j \implies i \leq j + m$  **for** *i j m* :: *hmultiset*  
(*proof*)

**lemma** *trans\_le\_add2\_hmset*:  $i \leq j \implies i \leq m + j$  **for** *i j m* :: *hmultiset*  
(*proof*)

**lemma** *diff\_le\_self\_hmset*:  $m - n \leq m$  **for** *m n* :: *hmultiset*  
(*proof*)

end

## 6 Signed Hereditar(il)y (Finite) Multisets

```
theory Signed_Hereditary_Multiset
imports Signed_Multiset Hereditary_Multiset
begin
```

### 6.1 Type Definition

```
typedef zhmultiset = UNIV :: hmultiset zmultiset set
  morphisms zhmssetmset ZHMSet
  <proof>
```

```
lemmas ZHMSet_inverse[simp] = ZHMSet_inverse[OF UNIV_I]
lemmas ZHMSet_inject[simp] = ZHMSet_inject[OF UNIV_I UNIV_I]
```

```
declare
  zhmssetmset_inverse [simp]
  zhmssetmset_inject [simp]
```

```
setup-lifting type_definition_zhmultiset
```

### 6.2 Multiset Order

```
instantiation zhmultiset :: linorder
begin
```

```
lift-definition less_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  bool is (<) <proof>
lift-definition less_eq_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  bool is ( $\leq$ ) <proof>
```

```
instance
  <proof>
```

end

```
lemmas ZHMSet_less[simp] = less_zhmultiset.abs_eq
lemmas ZHMSet_le[simp] = less_eq_zhmultiset.abs_eq
lemmas zhmssetmset_less[simp] = less_zhmultiset.rep_eq[symmetric]
lemmas zhmssetmset_le[simp] = less_eq_zhmultiset.rep_eq[symmetric]
```

### 6.3 Embedding and Projections of Syntactic Ordinals

```
abbreviation zhmsset_of :: hmultiset  $\Rightarrow$  zhmultiset where
  zhmsset_of M  $\equiv$  ZHMSet (zmsset_of (hmssetmset M))
```

```
lemma zhmsset_of_inject[simp]: zhmsset_of M = zhmsset_of N  $\longleftrightarrow$  M = N
  <proof>
```

```
lemma zhmsset_of_less: zhmsset_of M < zhmsset_of N  $\longleftrightarrow$  M < N
  <proof>
```

```
lemma zhmsset_of_le: zhmsset_of M  $\leq$  zhmsset_of N  $\longleftrightarrow$  M  $\leq$  N
  <proof>
```

```
abbreviation hmsset_pos :: zhmultiset  $\Rightarrow$  hmultiset where
  hmsset_pos M  $\equiv$  HMSet (msset_pos (zhmssetmset M))
```

```
abbreviation hmsset_neg :: zhmultiset  $\Rightarrow$  hmultiset where
  hmsset_neg M  $\equiv$  HMSet (msset_neg (zhmssetmset M))
```

## 6.4 Disjoint Union and Difference

**instantiation** *zhmultiset* :: *cancel\_comm\_monoid\_add*  
**begin**

**lift-definition** *zero\_zhmultiset* :: *zhmultiset* is  $\{\#\}_z$   $\langle$ *proof* $\rangle$

**lift-definition** *plus\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset*  $\Rightarrow$  *zhmultiset* is  
 $\lambda A B. A + B$   $\langle$ *proof* $\rangle$

**lift-definition** *minus\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset*  $\Rightarrow$  *zhmultiset* is  
 $\lambda A B. A - B$   $\langle$ *proof* $\rangle$

**lemmas** *ZHMSset\_plus* = *plus\_zhmultiset.abs\_eq[symmetric]*

**lemmas** *ZHMSset\_diff* = *minus\_zhmultiset.abs\_eq[symmetric]*

**lemmas** *zhmsetmset\_plus* = *plus\_zhmultiset.rep\_eq*

**lemmas** *zhmsetmset\_diff* = *minus\_zhmultiset.rep\_eq*

**lemma** *zhmset\_of\_plus*: *zhmset\_of*  $(A + B) = \text{zhmset\_of } A + \text{zhmset\_of } B$   
 $\langle$ *proof* $\rangle$

**lemma** *hmsetmset\_0[simp]*: *hmsetmset*  $0 = \{\#\}$   
 $\langle$ *proof* $\rangle$

**instance**  
 $\langle$ *proof* $\rangle$

**end**

**lemma** *zhmset\_of\_0*: *zhmset\_of*  $0 = 0$   
 $\langle$ *proof* $\rangle$

**lemma** *hmset\_pos\_plus*:  
*hmset\_pos*  $(A + B) = (\text{hmset\_pos } A - \text{hmset\_neg } B) + (\text{hmset\_pos } B - \text{hmset\_neg } A)$   
 $\langle$ *proof* $\rangle$

**lemma** *hmset\_neg\_plus*:  
*hmset\_neg*  $(A + B) = (\text{hmset\_neg } A - \text{hmset\_pos } B) + (\text{hmset\_neg } B - \text{hmset\_pos } A)$   
 $\langle$ *proof* $\rangle$

**lemma** *zhmset\_pos\_neg\_partition*:  $M = \text{zhmset\_of } (\text{hmset\_pos } M) - \text{zhmset\_of } (\text{hmset\_neg } M)$   
 $\langle$ *proof* $\rangle$

**lemma** *zhmset\_pos\_as\_neg*:  $\text{zhmset\_of } (\text{hmset\_pos } M) = \text{zhmset\_of } (\text{hmset\_neg } M) + M$   
 $\langle$ *proof* $\rangle$

**lemma** *zhmset\_neg\_as\_pos*:  $\text{zhmset\_of } (\text{hmset\_neg } M) = \text{zhmset\_of } (\text{hmset\_pos } M) - M$   
 $\langle$ *proof* $\rangle$

**lemma** *hmset\_pos\_neg\_dual*:  
 $\text{hmset\_pos } a + \text{hmset\_pos } b + (\text{hmset\_neg } a - \text{hmset\_pos } b) + (\text{hmset\_neg } b - \text{hmset\_pos } a) =$   
 $\text{hmset\_neg } a + \text{hmset\_neg } b + (\text{hmset\_pos } a - \text{hmset\_neg } b) + (\text{hmset\_pos } b - \text{hmset\_neg } a)$   
 $\langle$ *proof* $\rangle$

**lemma** *zhmset\_of\_sum\_list*:  $\text{zhmset\_of } (\text{sum\_list } Ms) = \text{sum\_list } (\text{map } \text{zhmset\_of } Ms)$   
 $\langle$ *proof* $\rangle$

**lemma** *less\_hmset\_zhmsetE*:  
**assumes**  $m\_lt\_n$ :  $M < N$   
**obtains**  $A B C$  **where**  $M = \text{zhmset\_of } A + C$  **and**  $N = \text{zhmset\_of } B + C$  **and**  $A < B$   
 $\langle$ *proof* $\rangle$

**lemma** *less\_eq\_hmset\_zhmsetE*:  
**assumes**  $m\_le\_n$ :  $M \leq N$



obtains  $A B C$  where  $M = \text{zhmset\_of } A + C$  and  $N = \text{zhmset\_of } B + C$  and  $A \leq B$   
 ⟨proof⟩

instantiation  $\text{zhmultiset} :: \text{ab\_group\_add}$   
 begin

lift-definition  $\text{uminus\_zhmultiset} :: \text{zhmultiset} \Rightarrow \text{zhmultiset}$  is  $\lambda A. - A$  ⟨proof⟩

lemmas  $\text{ZHMSet\_uminus} = \text{uminus\_zhmultiset.abs\_eq}[\text{symmetric}]$   
 lemmas  $\text{zhmsetmset\_uminus} = \text{uminus\_zhmultiset.rep\_eq}$

instance  
 ⟨proof⟩

end

## 6.5 Infimum and Supremum

instance  $\text{zhmultiset} :: \text{ordered\_cancel\_comm\_monoid\_add}$   
 ⟨proof⟩

instance  $\text{zhmultiset} :: \text{ordered\_ab\_group\_add}$   
 ⟨proof⟩

instantiation  $\text{zhmultiset} :: \text{distrib\_lattice}$   
 begin

definition  $\text{inf\_zhmultiset} :: \text{zhmultiset} \Rightarrow \text{zhmultiset} \Rightarrow \text{zhmultiset}$  where  
 $\text{inf\_zhmultiset } A B = (\text{if } A < B \text{ then } A \text{ else } B)$

definition  $\text{sup\_zhmultiset} :: \text{zhmultiset} \Rightarrow \text{zhmultiset} \Rightarrow \text{zhmultiset}$  where  
 $\text{sup\_zhmultiset } A B = (\text{if } B > A \text{ then } B \text{ else } A)$

instance  
 ⟨proof⟩

end

end

## 7 Syntactic Ordinals in Cantor Normal Form

theory *Syntactic\_Ordinal*  
 imports *Hereditary\_Multiset HOL-Library.Product\_Order HOL-Library.Extended\_Nat*  
 begin

### 7.1 Natural (Hessenberg) Product

instantiation  $\text{hmultiset} :: \text{comm\_semiring\_1}$   
 begin

abbreviation  $\omega\_exp :: \text{hmultiset} \Rightarrow \text{hmultiset}$  ( $\omega^\wedge$ ) where  
 $\omega^\wedge \equiv \lambda m. \text{HMSet } \{\#m\# \}$

definition  $\text{one\_hmultiset} :: \text{hmultiset}$  where  
 $1 = \omega^\wedge 0$

abbreviation  $\omega :: \text{hmultiset}$  where  
 $\omega \equiv \omega^\wedge 1$

definition  $\text{times\_hmultiset} :: \text{hmultiset} \Rightarrow \text{hmultiset} \Rightarrow \text{hmultiset}$  where  
 $A * B = \text{HMSet } (\text{image\_mset } (\text{case\_prod } (+)) (\text{hmssetmset } A \times\# \text{hmssetmset } B))$

**lemma** *hmsetmset\_times*:

$hmsetmset (m * n) = image\_mset (case\_prod (+)) (hmsetmset m \times\# hmsetmset n)$   
*<proof>*

**instance**

*<proof>*

**end**

**lemma** *empty\_times\_left\_hmset[simp]*:  $HMSet \{\#\} * M = 0$   
*<proof>*

**lemma** *empty\_times\_right\_hmset[simp]*:  $M * HMSet \{\#\} = 0$   
*<proof>*

**lemma** *singleton\_times\_left\_hmset[simp]*:  $\omega^M * N = HMSet (image\_mset ((+) M) (hmsetmset N))$   
*<proof>*

**lemma** *singleton\_times\_right\_hmset[simp]*:  $N * \omega^M = HMSet (image\_mset ((+) M) (hmsetmset N))$   
*<proof>*

## 7.2 Inequalities

**definition** *plus\_nmultipset* ::  $unit\ nmultipset \Rightarrow unit\ nmultipset \Rightarrow unit\ nmultipset$  **where**  
 $plus\_nmultipset\ X\ Y = Rep\_hmultipset (Abs\_hmultipset\ X + Abs\_hmultipset\ Y)$

**lemma** *plus\_nmultipset\_mono*:

**assumes** *less*:  $(X, Y) < (X', Y')$  **and** *no\_elem*:  $no\_elem\ X\ no\_elem\ Y\ no\_elem\ X'\ no\_elem\ Y'$   
**shows**  $plus\_nmultipset\ X\ Y < plus\_nmultipset\ X'\ Y'$

*<proof>*

**lemma** *plus\_hmultipset\_transfer[transfer\_rule]*:

$(rel\_fun\ pcr\_hmultipset (rel\_fun\ pcr\_hmultipset\ pcr\_hmultipset))\ plus\_nmultipset\ (+)$   
*<proof>*

**lemma** *Times\_mset\_monoL*:

**assumes** *less*:  $M < N$  **and** *Z\_nemp*:  $Z \neq \{\#\}$   
**shows**  $M \times\# Z < N \times\# Z$

*<proof>*

**lemma** *times\_hmultipset\_monoL*:

$a < b \Longrightarrow 0 < c \Longrightarrow a * c < b * c$  **for**  $a\ b\ c :: hmultipset$   
*<proof>*

**instance** *hmultipset* :: *linordered\_semiring\_strict*

*<proof>*

**lemma** *mult\_le\_mono1\_hmset*:  $i \leq j \Longrightarrow i * k \leq j * k$  **for**  $i\ j\ k :: hmultipset$

*<proof>*

**lemma** *mult\_le\_mono2\_hmset*:  $i \leq j \Longrightarrow k * i \leq k * j$  **for**  $i\ j\ k :: hmultipset$

*<proof>*

**lemma** *mult\_le\_mono\_hmset*:  $i \leq j \Longrightarrow k \leq l \Longrightarrow i * k \leq j * l$  **for**  $i\ j\ k\ l :: hmultipset$

*<proof>*

**lemma** *less\_iff\_add1\_le\_hmset*:  $m < n \longleftrightarrow m + 1 \leq n$  **for**  $m\ n :: hmultipset$

*<proof>*

**lemma** *zero\_less\_iff\_1\_le\_hmset*:  $0 < n \longleftrightarrow 1 \leq n$  **for**  $n :: hmultipset$

*<proof>*

**lemma** *less\_add\_1\_iff\_le\_hmset*:  $m < n + 1 \longleftrightarrow m \leq n$  **for**  $m\ n :: hmultipset$

*<proof>*

**instance** *hmultiset* :: *ordered\_cancel\_comm\_semiring*  
 ⟨*proof*⟩

**instance** *hmultiset* :: *zero\_less\_one*  
 ⟨*proof*⟩

**instance** *hmultiset* :: *linordered\_semiring\_1\_strict*  
 ⟨*proof*⟩

**instance** *hmultiset* :: *bounded\_lattice\_bot*  
 ⟨*proof*⟩

**instance** *hmultiset* :: *linordered\_nonzero\_semiring*  
 ⟨*proof*⟩

**instance** *hmultiset* :: *semiring\_no\_zero\_divisors*  
 ⟨*proof*⟩

**lemma** *lt\_1\_iff\_eq\_0\_hmset*:  $M < 1 \longleftrightarrow M = 0$  **for**  $M :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *zero\_less\_mult\_iff\_hmset[simp]*:  $0 < m * n \longleftrightarrow 0 < m \wedge 0 < n$  **for**  $m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *one\_le\_mult\_iff\_hmset[simp]*:  $1 \leq m * n \longleftrightarrow 1 \leq m \wedge 1 \leq n$  **for**  $m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_less\_cancel2\_hmset[simp]*:  $m * k < n * k \longleftrightarrow 0 < k \wedge m < n$  **for**  $k\ m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_less\_cancel1\_hmset[simp]*:  $k * m < k * n \longleftrightarrow 0 < k \wedge m < n$  **for**  $k\ m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel1\_hmset[simp]*:  $k * m \leq k * n \longleftrightarrow (0 < k \longrightarrow m \leq n)$  **for**  $k\ m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel2\_hmset[simp]*:  $m * k \leq n * k \longleftrightarrow (0 < k \longrightarrow m \leq n)$  **for**  $k\ m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel\_left1\_hmset*:  $y > 0 \implies x \leq x * y$  **for**  $x\ y :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel\_left2\_hmset*:  $y \leq 1 \implies x * y \leq x$  **for**  $x\ y :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel\_right1\_hmset*:  $y > 0 \implies x \leq y * x$  **for**  $x\ y :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel\_right2\_hmset*:  $y \leq 1 \implies y * x \leq x$  **for**  $x\ y :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *le\_square\_hmset*:  $m \leq m * m$  **for**  $m :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *le\_cube\_hmset*:  $m \leq m * (m * m)$  **for**  $m :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma**  
*less\_imp\_minus\_plus\_hmset*:  $m < n \implies k < k - m + n$  **and**  
*le\_imp\_minus\_plus\_hmset*:  $m \leq n \implies k \leq k - m + n$  **for**  $k\ m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *gt\_0\_lt\_mult\_gt\_1\_hmset*:  
**fixes**  $m\ n :: \text{hmultiset}$   
**assumes**  $m > 0$  **and**  $n > 1$   
**shows**  $m < m * n$   
 $\langle \text{proof} \rangle$

**instance** *hmultiset* :: *linordered\_comm\_semiring\_strict*  
 $\langle \text{proof} \rangle$

### 7.3 Embedding of Natural Numbers

**lemma** *of\_nat\_hmset*:  $\text{of\_nat } n = \text{HMSet } (\text{replicate\_mset } n\ 0)$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_inject\_hmset[simp]*:  $(\text{of\_nat } m :: \text{hmultiset}) = \text{of\_nat } n \iff m = n$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_minus\_hmset*:  $\text{of\_nat } (m - n) = (\text{of\_nat } m :: \text{hmultiset}) - \text{of\_nat } n$   
 $\langle \text{proof} \rangle$

**lemma** *plus\_of\_nat\_plus\_of\_nat\_hmset*:  
 $k + \text{of\_nat } m + \text{of\_nat } n = k + \text{of\_nat } (m + n)$  **for**  $k :: \text{hmultiset}$   
 $\langle \text{proof} \rangle$

**lemma** *plus\_of\_nat\_minus\_of\_nat\_hmset*:  
**fixes**  $k :: \text{hmultiset}$   
**assumes**  $n \leq m$   
**shows**  $k + \text{of\_nat } m - \text{of\_nat } n = k + \text{of\_nat } (m - n)$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_lt\_omega[simp]*:  $\text{of\_nat } n < \omega$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_ne\_omega[simp]*:  $\text{of\_nat } n \neq \omega$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_less\_hmset[simp]*:  $(\text{of\_nat } M :: \text{hmultiset}) < \text{of\_nat } N \iff M < N$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_le\_hmset[simp]*:  $(\text{of\_nat } M :: \text{hmultiset}) \leq \text{of\_nat } N \iff M \leq N$   
 $\langle \text{proof} \rangle$

**lemma** *of\_nat\_times\_omega\_exp*:  $\text{of\_nat } n * \omega^m = \text{HMSet } (\text{replicate\_mset } n\ m)$   
 $\langle \text{proof} \rangle$

**lemma** *omega\_exp\_times\_of\_nat*:  $\omega^m * \text{of\_nat } n = \text{HMSet } (\text{replicate\_mset } n\ m)$   
 $\langle \text{proof} \rangle$

### 7.4 Embedding of Extended Natural Numbers

**primrec** *hmset\_of\_enat* ::  $\text{enat} \Rightarrow \text{hmultiset}$  **where**  
 $\text{hmset\_of\_enat } (\text{enat } n) = \text{of\_nat } n$   
 $|\ \text{hmset\_of\_enat } \infty = \omega$

**lemma** *hmset\_of\_enat\_0[simp]*:  $\text{hmset\_of\_enat } 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *hmset\_of\_enat\_1[simp]*:  $\text{hmset\_of\_enat } 1 = 1$   
 $\langle \text{proof} \rangle$

**lemma** *hmset\_of\_enat\_of\_nat[simp]*:  $\text{hmset\_of\_enat } (\text{of\_nat } n) = \text{of\_nat } n$   
 $\langle \text{proof} \rangle$

**lemma** *hmset\_of\_enat\_numeral[simp]*:  $\text{hmset\_of\_enat } (\text{numeral } n) = \text{numeral } n$

*<proof>*

**lemma** *hmsset\_of\_enat\_le\_omega[simp]*:  $\text{hmsset\_of\_enat } n \leq \omega$   
*<proof>*

**lemma** *hmsset\_of\_enat\_eq\_omega\_iff[simp]*:  $\text{hmsset\_of\_enat } n = \omega \longleftrightarrow n = \infty$   
*<proof>*

## 7.5 Head Omega

**definition** *head\_omega* ::  $\text{hmultiset} \Rightarrow \text{hmultiset}$  **where**  
*head\_omega*  $M = (\text{if } M = 0 \text{ then } 0 \text{ else } \omega \wedge (\text{Max } (\text{set\_mset } (\text{hmssetmset } M))))$

**lemma** *head\_omega\_subseteq*:  $\text{hmssetmset } (\text{head\_omega } M) \subseteq\# \text{hmssetmset } M$   
*<proof>*

**lemma** *head\_omega\_eq\_0\_iff[simp]*:  $\text{head\_omega } m = 0 \longleftrightarrow m = 0$   
*<proof>*

**lemma** *head\_omega\_0[simp]*:  $\text{head\_omega } 0 = 0$   
*<proof>*

**lemma** *head\_omega\_1[simp]*:  $\text{head\_omega } 1 = 1$   
*<proof>*

**lemma** *head\_omega\_of\_nat[simp]*:  $\text{head\_omega } (\text{of\_nat } n) = (\text{if } n = 0 \text{ then } 0 \text{ else } 1)$   
*<proof>*

**lemma** *head\_omega\_numeral[simp]*:  $\text{head\_omega } (\text{numeral } n) = 1$   
*<proof>*

**lemma** *head\_omega\_omega[simp]*:  $\text{head\_omega } \omega = \omega$   
*<proof>*

**lemma** *le\_imp\_head\_omega\_le*:  
**assumes** *m\_le\_n*:  $m \leq n$   
**shows**  $\text{head\_omega } m \leq \text{head\_omega } n$   
*<proof>*

**lemma** *head\_omega\_lt\_imp\_lt*:  $\text{head\_omega } m < \text{head\_omega } n \implies m < n$   
*<proof>*

**lemma** *head\_omega\_plus[simp]*:  $\text{head\_omega } (m + n) = \text{sup } (\text{head\_omega } m) (\text{head\_omega } n)$   
*<proof>*

**lemma** *head\_omega\_times[simp]*:  $\text{head\_omega } (m * n) = \text{head\_omega } m * \text{head\_omega } n$   
*<proof>*

## 7.6 More Inequalities and Some Equalities

**lemma** *zero\_lt\_omega[simp]*:  $0 < \omega$   
*<proof>*

**lemma** *one\_lt\_omega[simp]*:  $1 < \omega$   
*<proof>*

**lemma** *numeral\_lt\_omega[simp]*:  $\text{numeral } n < \omega$   
*<proof>*

**lemma** *one\_le\_omega[simp]*:  $1 \leq \omega$   
*<proof>*

**lemma** *of\_nat\_le\_omega[simp]*:  $\text{of\_nat } n \leq \omega$   
*<proof>*

**lemma** *numeral\_le\_omega*[simp]: numeral  $n \leq \omega$   
(proof)

**lemma** *not\_omega\_lt\_1*[simp]:  $\neg \omega < 1$   
(proof)

**lemma** *not\_omega\_lt\_of\_nat*[simp]:  $\neg \omega < \text{of\_nat } n$   
(proof)

**lemma** *not\_omega\_lt\_numeral*[simp]:  $\neg \omega < \text{numeral } n$   
(proof)

**lemma** *not\_omega\_le\_1*[simp]:  $\neg \omega \leq 1$   
(proof)

**lemma** *not\_omega\_le\_of\_nat*[simp]:  $\neg \omega \leq \text{of\_nat } n$   
(proof)

**lemma** *not\_omega\_le\_numeral*[simp]:  $\neg \omega \leq \text{numeral } n$   
(proof)

**lemma** *zero\_ne\_omega*[simp]:  $0 \neq \omega$   
(proof)

**lemma** *one\_ne\_omega*[simp]:  $1 \neq \omega$   
(proof)

**lemma** *numeral\_ne\_omega*[simp]: numeral  $n \neq \omega$   
(proof)

**lemma**  
*omega\_ne\_0*[simp]:  $\omega \neq 0$  and  
*omega\_ne\_1*[simp]:  $\omega \neq 1$  and  
*omega\_ne\_of\_nat*[simp]:  $\omega \neq \text{of\_nat } m$  and  
*omega\_ne\_numeral*[simp]:  $\omega \neq \text{numeral } n$   
(proof)

**lemma**  
*hmset\_of\_enat\_inject*[simp]:  $\text{hmset\_of\_enat } m = \text{hmset\_of\_enat } n \iff m = n$  and  
*hmset\_of\_enat\_less*[simp]:  $\text{hmset\_of\_enat } m < \text{hmset\_of\_enat } n \iff m < n$  and  
*hmset\_of\_enat\_le*[simp]:  $\text{hmset\_of\_enat } m \leq \text{hmset\_of\_enat } n \iff m \leq n$   
(proof)

**lemma** *lt\_omega\_imp\_ex\_of\_nat*:  
assumes  $M_{lt\_omega}$ :  $M < \omega$   
shows  $\exists n. M = \text{of\_nat } n$   
(proof)

**lemma** *le\_omega\_imp\_ex\_hmset\_of\_enat*:  
assumes  $M_{le\_omega}$ :  $M \leq \omega$   
shows  $\exists n. M = \text{hmset\_of\_enat } n$   
(proof)

**lemma** *lt\_omega\_lt\_omega\_imp\_times\_lt\_omega*:  $M < \omega \implies N < \omega \implies M * N < \omega$   
(proof)

**lemma** *times\_omega\_minus\_of\_nat*[simp]:  $m * \omega - \text{of\_nat } n = m * \omega$   
(proof)

**lemma** *times\_omega\_minus\_numeral*[simp]:  $m * \omega - \text{numeral } n = m * \omega$   
(proof)

**lemma**  $\omega\_minus\_of\_nat[simp]$ :  $\omega - of\_nat\ n = \omega$   
 ⟨proof⟩

**lemma**  $\omega\_minus\_1[simp]$ :  $\omega - 1 = \omega$   
 ⟨proof⟩

**lemma**  $\omega\_minus\_numeral[simp]$ :  $\omega - numeral\ n = \omega$   
 ⟨proof⟩

**lemma**  $hmset\_of\_enat\_minus\_enat[simp]$ :  $hmset\_of\_enat\ (m - enat\ n) = hmset\_of\_enat\ m - of\_nat\ n$   
 ⟨proof⟩

**lemma**  $of\_nat\_lt\_hmset\_of\_enat\_iff$ :  $of\_nat\ m < hmset\_of\_enat\ n \longleftrightarrow enat\ m < n$   
 ⟨proof⟩

**lemma**  $of\_nat\_le\_hmset\_of\_enat\_iff$ :  $of\_nat\ m \leq hmset\_of\_enat\ n \longleftrightarrow enat\ m \leq n$   
 ⟨proof⟩

**lemma**  $hmset\_of\_enat\_lt\_iff\_ne\_infinity$ :  $hmset\_of\_enat\ x < \omega \longleftrightarrow x \neq \infty$   
 ⟨proof⟩

**lemma**  $minus\_diff\_sym\_hmset$ :  $m - (m - n) = n - (n - m)$  **for**  $m\ n :: hmultiset$   
 ⟨proof⟩

**lemma**  $diff\_plus\_sym\_hmset$ :  $(c - b) + b = (b - c) + c$  **for**  $b\ c :: hmultiset$   
 ⟨proof⟩

**lemma**  $times\_diff\_plus\_sym\_hmset$ :  $a * (c - b) + a * b = a * (b - c) + a * c$  **for**  $a\ b\ c :: hmultiset$   
 ⟨proof⟩

**lemma**  $times\_of\_nat\_minus\_left$ :  
 $(of\_nat\ m - of\_nat\ n) * l = of\_nat\ m * l - of\_nat\ n * l$  **for**  $l :: hmultiset$   
 ⟨proof⟩

**lemma**  $times\_of\_nat\_minus\_right$ :  
 $l * (of\_nat\ m - of\_nat\ n) = l * of\_nat\ m - l * of\_nat\ n$  **for**  $l :: hmultiset$   
 ⟨proof⟩

**lemma**  $lt\_omega\_imp\_times\_minus\_left$ :  $m < \omega \implies n < \omega \implies (m - n) * l = m * l - n * l$   
 ⟨proof⟩

**lemma**  $lt\_omega\_imp\_times\_minus\_right$ :  $m < \omega \implies n < \omega \implies l * (m - n) = l * m - l * n$   
 ⟨proof⟩

**lemma**  $hmset\_pair\_decompose$ :  
 $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (head\_omega\ n1 \neq head\_omega\ n2 \vee n1 = 0 \wedge n2 = 0)$   
 ⟨proof⟩

**lemma**  $hmset\_pair\_decompose\_less$ :  
**assumes**  $m1\_lt\_m2$ :  $m1 < m2$   
**shows**  $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge head\_omega\ n1 < head\_omega\ n2$   
 ⟨proof⟩

**lemma**  $hmset\_pair\_decompose\_less\_eq$ :  
**assumes**  $m1 \leq m2$   
**shows**  $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (head\_omega\ n1 < head\_omega\ n2 \vee n1 = 0 \wedge n2 = 0)$   
 ⟨proof⟩

**lemma**  $mono\_cross\_mult\_less\_hmset$ :  
**fixes**  $Aa\ A\ Ba\ B :: hmultiset$   
**assumes**  $A\_lt$ :  $A < Aa$  **and**  $B\_lt$ :  $B < Ba$   
**shows**  $A * Ba + B * Aa < A * B + Aa * Ba$   
 ⟨proof⟩

**lemma** *triple\_cross\_mult\_hmset*:  
 $An * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp))$   
 $+ (Cn * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp)))$   
 $+ (Ap * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp)))$   
 $+ Cp * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap))))) =$   
 $An * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp))$   
 $+ (Cn * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap)))$   
 $+ (Ap * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp)))$   
 $+ Cp * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp)))))$   
**for**  $Ap An Bp Bn Cp Cn Dp Dn :: hmultiset$   
*<proof>*

## 7.7 Conversions to Natural Numbers

**definition** *offset\_hmset* ::  $hmultiset \Rightarrow nat$  **where**  
 $offset\_hmset\ M = count\ (hmsetmset\ M)\ 0$

**lemma** *offset\_hmset\_of\_nat[simp]*:  $offset\_hmset\ (of\_nat\ n) = n$   
*<proof>*

**lemma** *offset\_hmset\_numeral[simp]*:  $offset\_hmset\ (numeral\ n) = numeral\ n$   
*<proof>*

**definition** *sum\_coefs* ::  $hmultiset \Rightarrow nat$  **where**  
 $sum\_coefs\ M = size\ (hmsetmset\ M)$

**lemma** *sum\_coefs\_distrib\_plus[simp]*:  $sum\_coefs\ (M + N) = sum\_coefs\ M + sum\_coefs\ N$   
*<proof>*

**lemma** *sum\_coefs\_gt\_0*:  $sum\_coefs\ M > 0 \longleftrightarrow M > 0$   
*<proof>*

## 7.8 An Example

The following proof is based on an informal proof by Uwe Waldmann, inspired by a similar argument by Michel Ludwig.

**lemma** *ludwig\_waldmann\_less*:  
**fixes**  $\alpha1\ \alpha2\ \beta1\ \beta2\ \gamma\ \delta :: hmultiset$   
**assumes**  
 $\alpha\beta2\gamma\_lt\_alpha\beta1\gamma: \alpha2 + \beta2 * \gamma < \alpha1 + \beta1 * \gamma$  **and**  
 $\beta2\_le\_beta1: \beta2 \leq \beta1$  **and**  
 $\gamma\_lt\_delta: \gamma < \delta$   
**shows**  $\alpha2 + \beta2 * \delta < \alpha1 + \beta1 * \delta$   
*<proof>*

**end**

## 8 Signed Syntactic Ordinals in Cantor Normal Form

**theory** *Signed\_Syntactic\_Ordinal*  
**imports** *Signed\_Hereditary\_Multiset Syntactic\_Ordinal*  
**begin**

### 8.1 Natural (Hessenberg) Product

**instantiation** *zhmultiset* ::  $comm\_ring\_1$   
**begin**

**abbreviation**  $\omega_z\_exp :: hmultiset \Rightarrow zhmultiset\ (\omega_z \wedge)$  **where**  
 $\omega_z \wedge \equiv \lambda m. ZHMSet\ \{\#m\# \}_z$



**lift-definition**  $one\_zhmultiset :: zhmultiset \text{ is } \{\#0\# \}_z \langle proof \rangle$

**abbreviation**  $\omega_z :: zhmultiset \text{ where}$   
 $\omega_z \equiv \omega_z \wedge 1$

**lemma**  $\omega_z\_as\_ \omega: \omega_z = zhmset\_of \ \omega$   
 $\langle proof \rangle$

**lift-definition**  $times\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset \text{ is}$   
 $\lambda M \ N.$   
 $zhmset\_of (hmsetmset (HMSet (mset\_pos M) * HMSet (mset\_pos N)))$   
 $- zhmset\_of (hmsetmset (HMSet (mset\_pos M) * HMSet (mset\_neg N)))$   
 $+ zhmset\_of (hmsetmset (HMSet (mset\_neg M) * HMSet (mset\_neg N)))$   
 $- zhmset\_of (hmsetmset (HMSet (mset\_neg M) * HMSet (mset\_pos N))) \langle proof \rangle$

**lemmas**  $zhmsetmset\_times = times\_zhmultiset.rep\_eq$

**instance**  
 $\langle proof \rangle$

**end**

**lemma**  $zhmset\_of\_1: zhmset\_of \ 1 = 1$   
 $\langle proof \rangle$

**lemma**  $zhmset\_of\_times: zhmset\_of (A * B) = zhmset\_of A * zhmset\_of B$   
 $\langle proof \rangle$

**lemma**  $zhmset\_of\_prod\_list:$   
 $zhmset\_of (prod\_list Ms) = prod\_list (map zhmset\_of Ms)$   
 $\langle proof \rangle$

## 8.2 Embedding of Natural Numbers

**lemma**  $of\_nat\_zhmset: of\_nat \ n = zhmset\_of (of\_nat \ n)$   
 $\langle proof \rangle$

**lemma**  $of\_nat\_inject\_zhmset[simp]: (of\_nat \ m :: zhmultiset) = of\_nat \ n \longleftrightarrow m = n$   
 $\langle proof \rangle$

**lemma**  $plus\_of\_nat\_plus\_of\_nat\_zhmset:$   
 $k + of\_nat \ m + of\_nat \ n = k + of\_nat (m + n) \text{ for } k :: zhmultiset$   
 $\langle proof \rangle$

**lemma**  $plus\_of\_nat\_minus\_of\_nat\_zhmset:$   
**fixes**  $k :: zhmultiset$   
**assumes**  $n \leq m$   
**shows**  $k + of\_nat \ m - of\_nat \ n = k + of\_nat (m - n)$   
 $\langle proof \rangle$

**lemma**  $of\_nat\_lt\_ \omega_z[simp]: of\_nat \ n < \omega_z$   
 $\langle proof \rangle$

**lemma**  $of\_nat\_ne\_ \omega_z[simp]: of\_nat \ n \neq \omega_z$   
 $\langle proof \rangle$

## 8.3 Embedding of Extended Natural Numbers

**primrec**  $zhmset\_of\_enat :: enat \Rightarrow zhmultiset \text{ where}$   
 $zhmset\_of\_enat (enat \ n) = of\_nat \ n$   
 $| zhmset\_of\_enat \ \infty = \omega_z$

**lemma**  $zhmset\_of\_enat\_0[simp]: zhmset\_of\_enat \ 0 = 0$   
 $\langle proof \rangle$

**lemma** *zhmset\_of\_enat\_1[simp]*: *zhmset\_of\_enat 1 = 1*  
 ⟨*proof*⟩

**lemma** *zhmset\_of\_enat\_of\_nat[simp]*: *zhmset\_of\_enat (of\_nat n) = of\_nat n*  
 ⟨*proof*⟩

**lemma** *zhmset\_of\_enat\_numeral[simp]*: *zhmset\_of\_enat (numeral n) = numeral n*  
 ⟨*proof*⟩

**lemma** *zhmset\_of\_enat\_le\_omega\_z[simp]*: *zhmset\_of\_enat n ≤ ω<sub>z</sub>*  
 ⟨*proof*⟩

**lemma** *zhmset\_of\_enat\_eq\_omega\_z\_iff[simp]*: *zhmset\_of\_enat n = ω<sub>z</sub> ↔ n = ∞*  
 ⟨*proof*⟩

## 8.4 Inequalities and Some (Dis)equalities

**instance** *zhmultiset* :: *zero\_less\_one*  
 ⟨*proof*⟩

**instantiation** *zhmultiset* :: *linordered\_idom*  
**begin**

**definition** *sgn\_zhmultiset* :: *zhmultiset* ⇒ *zhmultiset* **where**  
*sgn\_zhmultiset M = (if M = 0 then 0 else if M > 0 then 1 else -1)*

**definition** *abs\_zhmultiset* :: *zhmultiset* ⇒ *zhmultiset* **where**  
*abs\_zhmultiset M = (if M < 0 then - M else M)*

**lemma** *gt\_0\_times\_gt\_0\_imp*:  
**fixes** *a b* :: *zhmultiset*  
**assumes** *a\_gt0*: *a > 0* **and** *b\_gt0*: *b > 0*  
**shows** *a \* b > 0*  
 ⟨*proof*⟩

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *le\_zhmset\_of\_pos*: *M ≤ zhmset\_of (hmset\_pos M)*  
 ⟨*proof*⟩

**lemma** *minus\_zhmset\_of\_pos\_le*: *- zhmset\_of (hmset\_neg M) ≤ M*  
 ⟨*proof*⟩

**lemma** *zhmset\_of\_nonneg[simp]*: *zhmset\_of M ≥ 0*  
 ⟨*proof*⟩

**lemma**  
**fixes** *n* :: *zhmultiset*  
**assumes** *0 ≤ m*  
**shows**  
*le\_add1\_hmset*: *n ≤ n + m* **and**  
*le\_add2\_hmset*: *n ≤ m + n*  
 ⟨*proof*⟩

**lemma** *less\_iff\_add1\_le\_zhmset*: *m < n ↔ m + 1 ≤ n* **for** *m n* :: *zhmultiset*  
 ⟨*proof*⟩

**lemma** *gt\_0\_lt\_mult\_gt\_1\_zhmset*:  
**fixes** *m n* :: *zhmultiset*  
**assumes** *m > 0* **and** *n > 1*

**shows**  $m < m * n$   
 ⟨proof⟩

**lemma** *zero\_less\_iff\_1\_le\_zhmsset*:  $0 < n \longleftrightarrow 1 \leq n$  **for**  $n :: \text{zhmultiset}$   
 ⟨proof⟩

**lemma** *less\_add\_1\_iff\_le\_hmsset*:  $m < n + 1 \longleftrightarrow m \leq n$  **for**  $m\ n :: \text{zhmultiset}$   
 ⟨proof⟩

**lemma** *nonneg\_le\_mult\_right\_mono\_zhmsset*:  
**fixes**  $x\ y\ z :: \text{zhmultiset}$   
**assumes**  $x: 0 \leq x$  **and**  $y: 0 < y$  **and**  $z: x \leq z$   
**shows**  $x \leq y * z$   
 ⟨proof⟩

**instance** *hmultiset* :: *ordered\_cancel\_comm\_semiring*  
 ⟨proof⟩

**instance** *hmultiset* :: *linordered\_semiring\_1\_strict*  
 ⟨proof⟩

**instance** *hmultiset* :: *bounded\_lattice\_bot*  
 ⟨proof⟩

**instance** *hmultiset* :: *zero\_less\_one*  
 ⟨proof⟩

**instance** *hmultiset* :: *linordered\_nonzero\_semiring*  
 ⟨proof⟩

**instance** *hmultiset* :: *semiring\_no\_zero\_divisors*  
 ⟨proof⟩

**lemma** *zero\_lt\_omega[simp]*:  $0 < \omega_z$   
 ⟨proof⟩

**lemma** *one\_lt\_omega[simp]*:  $1 < \omega_z$   
 ⟨proof⟩

**lemma** *numeral\_lt\_omega[simp]*: *numeral*  $n < \omega_z$   
 ⟨proof⟩

**lemma** *one\_le\_omega[simp]*:  $1 \leq \omega_z$   
 ⟨proof⟩

**lemma** *of\_nat\_le\_omega[simp]*: *of\_nat*  $n \leq \omega_z$   
 ⟨proof⟩

**lemma** *numeral\_le\_omega[simp]*: *numeral*  $n \leq \omega_z$   
 ⟨proof⟩

**lemma** *not\_omega\_lt\_1[simp]*:  $\neg \omega_z < 1$   
 ⟨proof⟩

**lemma** *not\_omega\_lt\_of\_nat[simp]*:  $\neg \omega_z < \text{of\_nat } n$   
 ⟨proof⟩

**lemma** *not\_omega\_lt\_numeral[simp]*:  $\neg \omega_z < \text{numeral } n$   
 ⟨proof⟩

**lemma** *not\_omega\_le\_1[simp]*:  $\neg \omega_z \leq 1$   
 ⟨proof⟩

**lemma** *not\_omega\_z\_le\_of\_nat*[simp]:  $\neg \omega_z \leq \text{of\_nat } n$   
 ⟨proof⟩

**lemma** *not\_omega\_z\_le\_numeral*[simp]:  $\neg \omega_z \leq \text{numeral } n$   
 ⟨proof⟩

**lemma** *zero\_ne\_omega\_z*[simp]:  $0 \neq \omega_z$   
 ⟨proof⟩

**lemma** *one\_ne\_omega\_z*[simp]:  $1 \neq \omega_z$   
 ⟨proof⟩

**lemma** *numeral\_ne\_omega\_z*[simp]:  $\text{numeral } n \neq \omega_z$   
 ⟨proof⟩

**lemma**  
 $\omega_z \neq 0$  [simp]:  $\omega_z \neq 0$  **and**  
 $\omega_z \neq 1$  [simp]:  $\omega_z \neq 1$  **and**  
 $\omega_z \neq \text{of\_nat } m$  [simp]:  $\omega_z \neq \text{of\_nat } m$  **and**  
 $\omega_z \neq \text{numeral } n$  [simp]:  $\omega_z \neq \text{numeral } n$   
 ⟨proof⟩

**lemma**  
 $\text{zhmset\_of\_enat\_inject}$ [simp]:  $\text{zhmset\_of\_enat } m = \text{zhmset\_of\_enat } n \iff m = n$  **and**  
 $\text{zhmset\_of\_enat\_lt\_iff\_lt}$ [simp]:  $\text{zhmset\_of\_enat } m < \text{zhmset\_of\_enat } n \iff m < n$  **and**  
 $\text{zhmset\_of\_enat\_le\_iff\_le}$ [simp]:  $\text{zhmset\_of\_enat } m \leq \text{zhmset\_of\_enat } n \iff m \leq n$   
 ⟨proof⟩

**lemma** *of\_nat\_lt\_zhmset\_of\_enat\_iff*:  $\text{of\_nat } m < \text{zhmset\_of\_enat } n \iff \text{enat } m < n$   
 ⟨proof⟩

**lemma** *of\_nat\_le\_zhmset\_of\_enat\_iff*:  $\text{of\_nat } m \leq \text{zhmset\_of\_enat } n \iff \text{enat } m \leq n$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_lt\_iff\_ne\_infinity*:  $\text{zhmset\_of\_enat } x < \omega_z \iff x \neq \infty$   
 ⟨proof⟩

## 8.5 An Example

A new proof of  $[[? \alpha 2.0 + ? \beta 2.0 * ? \gamma < ? \alpha 1.0 + ? \beta 1.0 * ? \gamma; ? \beta 2.0 \leq ? \beta 1.0; ? \gamma < ? \delta]] \implies ? \alpha 2.0 + ? \beta 2.0 * ? \delta < ? \alpha 1.0 + ? \beta 1.0 * ? \delta$ :

**lemma**  
**fixes**  $\alpha 1 \alpha 2 \beta 1 \beta 2 \gamma \delta :: \text{hmultiset}$   
**assumes**  
 $\alpha \beta 2 \gamma \text{\_lt\_} \alpha \beta 1 \gamma$ :  $\alpha 2 + \beta 2 * \gamma < \alpha 1 + \beta 1 * \gamma$  **and**  
 $\beta 2 \text{\_le\_} \beta 1$ :  $\beta 2 \leq \beta 1$  **and**  
 $\gamma \text{\_lt\_} \delta$ :  $\gamma < \delta$   
**shows**  $\alpha 2 + \beta 2 * \delta < \alpha 1 + \beta 1 * \delta$   
 ⟨proof⟩

**end**

**theory** *Syntactic\_Ordinal\_Bridge*  
**imports** *HOL-Library.Sublist Ordinal.OrdinalOmega Syntactic\_Ordinal*  
**abbrevs**  
 $!h = h$   
**begin**

## 9 Bridge between Huffman's Ordinal Library and the Syntactic Ordinals

### 9.1 Missing Lemmas about Huffman's Ordinals

**instantiation** *ordinal* :: *order\_bot*  
**begin**

**definition** *bot\_ordinal* :: *ordinal* **where**  
*bot\_ordinal* = 0

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *insort\_bot[simp]*: *insort bot xs = bot # xs* **for** *xs* :: '*a*::{*order\_bot*,*linorder*} *list*  
 ⟨*proof*⟩

**lemmas** *insort\_0\_ordinal[simp]* = *insort\_bot[of xs :: ordinal list for xs, unfolded bot\_ordinal\_def]*

**lemma** *from\_cnf\_less\_ω\_exp*:  
**assumes**  $\forall k \in \text{set } ks. k < l$   
**shows** *from\_cnf ks < ω \*\* l*  
 ⟨*proof*⟩

**lemma** *from\_cnf\_0\_iff[simp]*: *from\_cnf ks = 0*  $\longleftrightarrow$  *ks = []*  
 ⟨*proof*⟩

**lemma** *from\_cnf\_append[simp]*: *from\_cnf (ks @ ls) = from\_cnf ks + from\_cnf ls*  
 ⟨*proof*⟩

**lemma** *subseq\_from\_cnf\_less\_eq*: *Sublist.subseq ks ls*  $\implies$  *from\_cnf ks*  $\leq$  *from\_cnf ls*  
 ⟨*proof*⟩

### 9.2 Embedding of Syntactic Ordinals into Huffman's Ordinals

**abbreviation**  $\omega_h$  :: *hmultiset* **where**  
 $\omega_h \equiv \text{Syntactic\_Ordinal}.\omega$

**abbreviation**  $\omega_h \text{ exp}$  :: *hmultiset*  $\Rightarrow$  *hmultiset* ( $\omega_h \hat{\ }^$ ) **where**  
 $\omega_h \hat{\ }^ \equiv \text{Syntactic\_Ordinal}.\omega \text{ exp}$

**primrec** *ordinal\_of\_hmset* :: *hmultiset*  $\Rightarrow$  *ordinal* **where**  
*ordinal\_of\_hmset* (*HMSet* *M*) =  
*from\_cnf* (*rev* (*sorted\_list\_of\_multiset* (*image\_mset* *ordinal\_of\_hmset* *M*))))

**lemma** *ordinal\_of\_hmset\_0[simp]*: *ordinal\_of\_hmset 0 = 0*  
 ⟨*proof*⟩

**lemma** *ordinal\_of\_hmset\_suc[simp]*: *ordinal\_of\_hmset (k + 1) = ordinal\_of\_hmset k + 1*  
 ⟨*proof*⟩

**lemma** *ordinal\_of\_hmset\_1[simp]*: *ordinal\_of\_hmset 1 = 1*  
 ⟨*proof*⟩

**lemma** *ordinal\_of\_hmset\_ω[simp]*: *ordinal\_of\_hmset ω<sub>h</sub> = ω*  
 ⟨*proof*⟩

**lemma** *ordinal\_of\_hmset\_singleton[simp]*: *ordinal\_of\_hmset (ω<sup>^</sup>k) = ω \*\* ordinal\_of\_hmset k*  
 ⟨*proof*⟩

**lemma** *ordinal\_of\_hmset\_iff[simp]*: *ordinal\_of\_hmset k = 0*  $\longleftrightarrow$  *k = 0*

*<proof>*

**lemma** *less\_imp\_ordinal\_of\_hmset\_less*:  $k < l \implies \text{ordinal\_of\_hmset } k < \text{ordinal\_of\_hmset } l$   
*<proof>*

**lemma** *ordinal\_of\_hmset\_less[simp]*:  $\text{ordinal\_of\_hmset } k < \text{ordinal\_of\_hmset } l \iff k < l$   
*<proof>*

**end**

## 10 Termination of McCarthy's 91 Function

**theory** *McCarthy\_91*  
**imports** *HOL-Library.Multiset\_Order*  
**begin**

**lemma** *funpow\_rec*:  $f \hat{\ } n = (\text{if } n = 0 \text{ then } \text{id} \text{ else } f \circ f \hat{\ } (n - 1))$   
*<proof>*

The  $f$  function captures the semantics of McCarthy's 91 function. The  $g$  function is a tail-recursive implementation of the function, whose termination is established using the multiset order. The definitions follow Dershowitz and Manna.

**definition**  $f :: \text{int} \Rightarrow \text{int}$  **where**  
 $f \ x = (\text{if } x > 100 \text{ then } x - 10 \text{ else } 91)$

**definition**  $\tau :: \text{nat} \Rightarrow \text{int} \Rightarrow \text{int multiset}$  **where**  
 $\tau \ n \ z = \text{mset } (\text{map } (\lambda i. (f \hat{\ } \text{nat } i) \ z) [0.. \text{int } n - 1])$

**function**  $g :: \text{nat} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
 $g \ n \ z = (\text{if } n = 0 \text{ then } z \text{ else if } z > 100 \text{ then } g \ (n - 1) \ (z - 10) \text{ else } g \ (n + 1) \ (z + 11))$   
*<proof>*

**termination**  
*<proof>*

**declare**  $g.\text{simps}$  [*simp del*]

**end**

## 11 Termination of the Hydra Battle

**theory** *Hydra\_Battle*  
**imports** *Syntactic\_Ordinal*  
**begin**

**hide-const (open)** *Nil Cons*

The  $h$  function and its auxiliaries  $f$  and  $d$  represent the hydra battle. The *encode* function converts a hydra (represented as a Lisp-like tree) to a syntactic ordinal. The definitions follow Dershowitz and Moser.

**datatype** *lisp* =  
*Nil*  
| *Cons* (*car*: *lisp*) (*cdr*: *lisp*)  
**where**  
*car Nil* = *Nil*  
| *cdr Nil* = *Nil*

**primrec** *encode* :: *lisp*  $\Rightarrow$  *hmultiset* **where**  
*encode Nil* = 0  
| *encode (Cons l r)* =  $\omega^{\text{encode } l} + \text{encode } r$

**primrec**  $f :: \text{nat} \Rightarrow \text{lisp} \Rightarrow \text{lisp} \Rightarrow \text{lisp}$  **where**

```

  f 0 y x = x
| f (Suc m) y x = Cons y (f m y x)

```

```

lemma encode_f: encode (f n y x) = of_nat n * ω^(encode y) + encode x
  <proof>

```

```

function d :: nat ⇒ lisp ⇒ lisp where
  d n x =
    (if car x = Nil then cdr x
     else if car (car x) = Nil then f n (cdr (car x)) (cdr x)
     else Cons (d n (car x)) (cdr x))
  <proof>

```

```

termination
  <proof>

```

```

declare d.simps[simp del]

```

```

function h :: nat ⇒ lisp ⇒ lisp where
  h n x = (if x = Nil then Nil else h (n + 1) (d n x))
  <proof>

```

```

termination
  <proof>

```

```

declare h.simps[simp del]

```

```

end

```

## 12 Termination of the Goodstein Sequence

```

theory Goodstein_Sequence
imports Multiset_More Syntactic_Ordinal
begin

```

The *goodstein* function returns the successive values of the Goodstein sequence. It is defined in terms of *encode* and *decode* functions, which convert between natural numbers and ordinals. The development culminates with a proof of Goodstein's theorem.

### 12.1 Lemmas about Division

```

lemma div_mult_le: m div n * n ≤ m for m n :: nat
  <proof>

```

```

lemma power_div_same_base:
  b ^ y ≠ 0 ⇒ x ≥ y ⇒ b ^ x div b ^ y = b ^ (x - y) for b :: 'a::semidom_divide
  <proof>

```

### 12.2 Hereditary and Nonhereditary Base-*n* Systems

```

context
  fixes base :: nat
  assumes base_ge_2: base ≥ 2
begin

```

```

inductive well_base :: 'a multiset ⇒ bool where
  (∀ n. count M n < base) ⇒ well_base M

```

```

lemma well_base_filter: well_base M ⇒ well_base {#m ∈# M. p m#}
  <proof>

```

```

lemma well_base_image_inj: well_base M ⇒ inj_on f (set_mset M) ⇒ well_base (image_mset f M)
  <proof>

```

```

lemma well_base_bound:

```

**assumes**

$well\_base\ M$  **and**

$\forall m \in\# M. m < n$

**shows**  $(\sum m \in\# M. base \wedge m) < base \wedge n$

$\langle proof \rangle$

**inductive**  $well\_base_h :: hmultiset \Rightarrow bool$  **where**

$(\forall N \in\# hmsetmset\ M. well\_base_h\ N) \Longrightarrow well\_base\ (hmsetmset\ M) \Longrightarrow well\_base_h\ M$

**lemma**  $well\_base_h\_mono\_hmset: well\_base_h\ M \Longrightarrow hmsetmset\ N \subseteq\# hmsetmset\ M \Longrightarrow well\_base_h\ N$

$\langle proof \rangle$

**lemma**  $well\_base_h\_imp\_well\_base: well\_base_h\ M \Longrightarrow well\_base\ (hmsetmset\ M)$

$\langle proof \rangle$

## 12.3 Encoding of Natural Numbers into Ordinals

**function**  $encode :: nat \Rightarrow nat \Rightarrow hmultiset$  **where**

$encode\ e\ n =$

$(if\ n = 0\ then\ 0\ else\ of\_nat\ (n\ mod\ base) * \omega^{(encode\ 0\ e)} + encode\ (e + 1)\ (n\ div\ base))$

$\langle proof \rangle$

**termination**

$\langle proof \rangle$

**declare**  $encode.simps[simp\ del]$

**lemma**  $encode\_0[simp]: encode\ e\ 0 = 0$

$\langle proof \rangle$

**lemma**  $encode\_Suc:$

$encode\ e\ (Suc\ n) = of\_nat\ (Suc\ n\ mod\ base) * \omega^{(encode\ 0\ e)} + encode\ (e + 1)\ (Suc\ n\ div\ base)$

$\langle proof \rangle$

**lemma**  $encode\_0\_iff: encode\ e\ n = 0 \longleftrightarrow n = 0$

$\langle proof \rangle$

**lemma**  $encode\_Suc\_exp: encode\ (Suc\ e)\ n = encode\ e\ (base * n)$

$\langle proof \rangle$

**lemma**  $encode\_exp\_0: encode\ e\ n = encode\ 0\ (base \wedge e * n)$

$\langle proof \rangle$

**lemma**  $mem\_hmsetmset\_encodeD: M \in\# hmsetmset\ (encode\ e\ n) \Longrightarrow \exists e' \geq e. M = encode\ 0\ e'$

$\langle proof \rangle$

**lemma**  $less\_imp\_encode\_less: n < p \Longrightarrow encode\ e\ n < encode\ e\ p$

$\langle proof \rangle$

**inductive**  $aligned_e :: nat \Rightarrow hmultiset \Rightarrow bool$  **where**

$(\forall m \in\# hmsetmset\ M. m \geq encode\ 0\ e) \Longrightarrow aligned_e\ e\ M$

**lemma**  $aligned_e\_encode: aligned_e\ e\ (encode\ e\ M)$

$\langle proof \rangle$

**lemma**  $well\_base_h\_encode: well\_base_h\ (encode\ e\ n)$

$\langle proof \rangle$

## 12.4 Decoding of Natural Numbers from Ordinals

**primrec**  $decode :: nat \Rightarrow hmultiset \Rightarrow nat$  **where**

$decode\ e\ (HMSet\ M) = (\sum m \in\# M. base \wedge decode\ 0\ m) \div base \wedge e$

**lemma**  $decode\_unfold: decode\ e\ M = (\sum m \in\# hmsetmset\ M. base \wedge decode\ 0\ m) \div base \wedge e$

$\langle proof \rangle$



**lemma** *decode\_0[simp]*:  $\text{decode } e \ 0 = 0$   
*<proof>*

**inductive** *aligned<sub>d</sub>* ::  $\text{nat} \Rightarrow \text{hmultiset} \Rightarrow \text{bool}$  **where**  
 $(\forall m \in \# \text{hmsetmset } M. \text{decode } 0 \ m \geq e) \Longrightarrow \text{aligned}_d \ e \ M$

**lemma** *aligned<sub>d</sub>\_0[simp]*:  $\text{aligned}_d \ 0 \ M$   
*<proof>*

**lemma** *aligned<sub>d</sub>\_mono\_exp\_Suc*:  $\text{aligned}_d \ (\text{Suc } e) \ M \Longrightarrow \text{aligned}_d \ e \ M$   
*<proof>*

**lemma** *aligned<sub>d</sub>\_mono\_hmset*:  
**assumes**  $\text{aligned}_d \ e \ M$  **and**  $\text{hmsetmset } M' \subseteq \# \text{hmsetmset } M$   
**shows**  $\text{aligned}_d \ e \ M'$   
*<proof>*

**lemma** *decode\_exp\_shift\_Suc*:  
**assumes**  $\text{align}_d: \text{aligned}_d \ (\text{Suc } e) \ M$   
**shows**  $\text{decode } e \ M = \text{base} * \text{decode } (\text{Suc } e) \ M$   
*<proof>*

**lemma** *decode\_exp\_shift*:  
**assumes**  $\text{aligned}_d \ e \ M$   
**shows**  $\text{decode } 0 \ M = \text{base} \wedge e * \text{decode } e \ M$   
*<proof>*

**lemma** *decode\_plus*:  
**assumes**  $\text{align}_d \ M: \text{aligned}_d \ e \ M$   
**shows**  $\text{decode } e \ (M + N) = \text{decode } e \ M + \text{decode } e \ N$   
*<proof>*

**lemma** *less\_imp\_decode\_less*:  
**assumes**  
   $\text{well\_base}_h \ M$  **and**  
   $\text{aligned}_d \ e \ M$  **and**  
   $\text{aligned}_d \ e \ N$  **and**  
   $M < N$   
**shows**  $\text{decode } e \ M < \text{decode } e \ N$   
*<proof>*

**lemma** *inj\_decode*:  $\text{inj\_on } (\text{decode } e) \ \{M. \text{well\_base}_h \ M \wedge \text{aligned}_d \ e \ M\}$   
*<proof>*

**lemma** *decode\_0\_iff*:  $\text{well\_base}_h \ M \Longrightarrow \text{aligned}_d \ e \ M \Longrightarrow \text{decode } e \ M = 0 \longleftrightarrow M = 0$   
*<proof>*

**lemma** *decode\_encode*:  $\text{decode } e \ (\text{encode } e \ n) = n$   
*<proof>*

**lemma** *encode\_decode\_exp\_0*:  $\text{well\_base}_h \ M \Longrightarrow \text{encode } 0 \ (\text{decode } 0 \ M) = M$   
*<proof>*

**end**

**lemma** *well\_base<sub>h</sub>\_mono\_base*:  
**assumes**  
   $\text{well}_h: \text{well\_base}_h \ \text{base } M$  **and**  
   $\text{two}: 2 \leq \text{base}$  **and**  
   $\text{bases}: \text{base} \leq \text{base}'$   
**shows**  $\text{well\_base}_h \ \text{base}' \ M$   
*<proof>*

## 12.5 The Goodstein Sequence and Goodstein's Theorem

```

context
  fixes start :: nat
begin

primrec goodstein :: nat ⇒ nat where
  goodstein 0 = start
| goodstein (Suc i) = decode (i + 3) 0 (encode (i + 2) 0 (goodstein i)) - 1

lemma goodstein_step:
  assumes gi_gt_0: goodstein i > 0
  shows encode (i + 2) 0 (goodstein i) > encode (i + 3) 0 (goodstein (i + 1))
⟨proof⟩

theorem goodsteins_theorem: ∃i. goodstein i = 0
⟨proof⟩

end

end

```

## 13 Towards Decidability of Behavioral Equivalence for Unary PCF

```

theory Unary_PCF
  imports
    HOL-Library.FSet
    HOL-Library.Countable_Set_Type
    HOL-Library.Nat_Bijection
    Hereditary_Multiset
    List-Index.List_Index
begin

```

### 13.1 Preliminaries

```

lemma prod_UNIV: UNIV = UNIV × UNIV
⟨proof⟩

lemma infinite_cartesian_productII: infinite A ⇒ B ≠ {} ⇒ infinite (A × B)
⟨proof⟩

```

### 13.2 Types

```

datatype type = B (B) | Fun type type (infixr → 65)

definition mk_fun (infixr →→ 65) where
  Ts →→ T = fold (→) (rev Ts) T

primrec dest_fun where
  dest_fun B = []
| dest_fun (T → U) = T # dest_fun U

definition arity where
  arity T = length (dest_fun T)

lemma mk_fun_dest_fun[simp]: dest_fun T →→ B = T
⟨proof⟩

lemma dest_fun_mk_fun[simp]: dest_fun (Ts →→ T) = Ts @ dest_fun T
⟨proof⟩

primrec δ where
  δ B = HMSet {#}
| δ (T → U) = HMSet (add_mset (δ T) (hmsetmset (δ U)))

```

**lemma**  $\delta\_mk\_fun$ :  $\delta (Ts \rightarrow T) = HMSet (hmsetmset (\delta T) + mset (map \delta Ts))$   
 $\langle proof \rangle$

**lemma**  $type\_induct$  [ $case\_names$   $Fun$ ]:  
**assumes**  
 $(\bigwedge T. (\bigwedge T1 T2. T = T1 \rightarrow T2 \implies P T1) \implies$   
 $(\bigwedge T1 T2. T = T1 \rightarrow T2 \implies P T2) \implies P T)$   
**shows**  $P T$   
 $\langle proof \rangle$

### 13.3 Terms

**type-synonym**  $name = string$

**type-synonym**  $idx = nat$

**datatype**  $expr =$

$Var\ name * type (\langle \_ \rangle) \mid Bound\ idx \mid B\ bool$   
 $\mid Seq\ expr\ expr\ (\mathbf{infixr}\ ?\ 75) \mid App\ expr\ expr\ (\mathbf{infixl}\ \cdot\ 75)$   
 $\mid Abs\ type\ expr\ (\bigwedge \langle \_ \rangle\ \_ [100, 100]\ 800)$

**declare**  $[[coercion\ enabled]]$

**declare**  $[[coercion\ B]]$

**declare**  $[[coercion\ Bound]]$

**notation** (output)  $B (\_)$

**notation** (output)  $Bound (\_)$

**primrec**  $open :: idx \Rightarrow expr \Rightarrow expr \Rightarrow expr$  **where**

$open\ i\ t\ (j :: idx) = (if\ i = j\ then\ t\ else\ j)$   
 $\mid open\ i\ t\ \langle yU \rangle = \langle yU \rangle$   
 $\mid open\ i\ t\ (b :: bool) = b$   
 $\mid open\ i\ t\ (e1\ ?\ e2) = open\ i\ t\ e1\ ?\ open\ i\ t\ e2$   
 $\mid open\ i\ t\ (e1 \cdot e2) = open\ i\ t\ e1 \cdot open\ i\ t\ e2$   
 $\mid open\ i\ t\ (\bigwedge \langle U \rangle\ e) = \bigwedge \langle U \rangle (open\ (i + 1)\ t\ e)$

**abbreviation**  $open0 \equiv open\ 0$

**abbreviation**  $open\_Var\ i\ xT \equiv open\ i\ \langle xT \rangle$

**abbreviation**  $open0\_Var\ xT \equiv open\ 0\ \langle xT \rangle$

**primrec**  $close\_Var :: idx \Rightarrow name \times type \Rightarrow expr \Rightarrow expr$  **where**

$close\_Var\ i\ xT\ (j :: idx) = j$   
 $\mid close\_Var\ i\ xT\ \langle yU \rangle = (if\ xT = yU\ then\ i\ else\ \langle yU \rangle)$   
 $\mid close\_Var\ i\ xT\ (b :: bool) = b$   
 $\mid close\_Var\ i\ xT\ (e1\ ?\ e2) = close\_Var\ i\ xT\ e1\ ?\ close\_Var\ i\ xT\ e2$   
 $\mid close\_Var\ i\ xT\ (e1 \cdot e2) = close\_Var\ i\ xT\ e1 \cdot close\_Var\ i\ xT\ e2$   
 $\mid close\_Var\ i\ xT\ (\bigwedge \langle U \rangle\ e) = \bigwedge \langle U \rangle (close\_Var\ (i + 1)\ xT\ e)$

**abbreviation**  $close0\_Var \equiv close\_Var\ 0$

**primrec**  $fv :: expr \Rightarrow (name \times type)\ fset$  **where**

$fv\ (j :: idx) = \{\mid\}$   
 $\mid fv\ \langle yU \rangle = \{\mid yU \mid\}$   
 $\mid fv\ (b :: bool) = \{\mid\}$   
 $\mid fv\ (e1\ ?\ e2) = fv\ e1 \mid \cup \mid fv\ e2$   
 $\mid fv\ (e1 \cdot e2) = fv\ e1 \mid \cup \mid fv\ e2$   
 $\mid fv\ (\bigwedge \langle U \rangle\ e) = fv\ e$

**abbreviation**  $fresh\ x\ e \equiv x \notin fv\ e$

**lemma**  $ex\_fresh$ :  $\exists x. (x :: char\ list, T) \notin A$

$\langle proof \rangle$

**inductive**  $lc$  **where**

$lc\_Var[simp]: lc\ \langle xT \rangle$

|  $lc\_B[simp]: lc (b :: bool)$   
|  $lc\_Seq: lc e1 \implies lc e2 \implies lc (e1 ? e2)$   
|  $lc\_App: lc e1 \implies lc e2 \implies lc (e1 \cdot e2)$   
|  $lc\_Abs: (\forall x. (x, T) \notin X \longrightarrow lc (open0\_Var (x, T) e)) \implies lc (\Lambda\langle T \rangle e)$

**declare**  $lc.intros[intro]$

**definition**  $body T t \equiv (\exists X. \forall x. (x, T) \notin X \longrightarrow lc (open0\_Var (x, T) t))$

**lemma**  $lc\_Abs\_iff\_body: lc (\Lambda\langle T \rangle t) \longleftrightarrow body T t$   
 $\langle proof \rangle$

**lemma**  $fv\_open\_Var: fresh xT t \implies fv (open\_Var i xT t) \subseteq\_{\subseteq} finsert xT (fv t)$   
 $\langle proof \rangle$

**lemma**  $fv\_close\_Var[simp]: fv (close\_Var i xT t) = fv t \setminus \{|xT|\}$   
 $\langle proof \rangle$

**lemma**  $close\_Var\_open\_Var[simp]: fresh xT t \implies close\_Var i xT (open\_Var i xT t) = t$   
 $\langle proof \rangle$

**lemma**  $open\_Var\_inj: fresh xT t \implies fresh xT u \implies open\_Var i xT t = open\_Var i xT u \implies t = u$   
 $\langle proof \rangle$

**context begin**

**private lemma**  $open\_Var\_open\_Var\_close\_Var: i \neq j \implies xT \neq yU \implies fresh yU t \implies$   
 $open\_Var i yU (open\_Var j zV (close\_Var j xT t)) = open\_Var j zV (close\_Var j xT (open\_Var i yU t))$   
 $\langle proof \rangle$

**lemma**  $open\_Var\_close\_Var[simp]: lc t \implies open\_Var i xT (close\_Var i xT t) = t$   
 $\langle proof \rangle$

**end**

**lemma**  $close\_Var\_inj: lc t \implies lc u \implies close\_Var i xT t = close\_Var i xT u \implies t = u$   
 $\langle proof \rangle$

**primrec**  $Apps$  (**infixl**  $\cdot$  75) **where**

$f \cdot [] = f$   
|  $f \cdot (x \# xs) = f \cdot x \cdot xs$

**lemma**  $Apps\_snoc: f \cdot (xs @ [x]) = f \cdot xs \cdot x$   
 $\langle proof \rangle$

**lemma**  $Apps\_append: f \cdot (xs @ ys) = f \cdot xs \cdot ys$   
 $\langle proof \rangle$

**lemma**  $Apps\_inj[simp]: f \cdot ts = g \cdot ts \longleftrightarrow f = g$   
 $\langle proof \rangle$

**lemma**  $eq\_Apps\_conv[simp]:$

**fixes**  $i :: idx$  **and**  $b :: bool$  **and**  $f :: expr$  **and**  $ts :: expr list$

**shows**

$\langle m \rangle = f \cdot ts = (\langle m \rangle = f \wedge ts = [])$   
 $(f \cdot ts = \langle m \rangle) = (\langle m \rangle = f \wedge ts = [])$   
 $(i = f \cdot ts) = (i = f \wedge ts = [])$   
 $(f \cdot ts = i) = (i = f \wedge ts = [])$   
 $(b = f \cdot ts) = (b = f \wedge ts = [])$   
 $(f \cdot ts = b) = (b = f \wedge ts = [])$   
 $(e1 ? e2 = f \cdot ts) = (e1 ? e2 = f \wedge ts = [])$   
 $(f \cdot ts = e1 ? e2) = (e1 ? e2 = f \wedge ts = [])$   
 $(\Lambda\langle T \rangle t = f \cdot ts) = (\Lambda\langle T \rangle t = f \wedge ts = [])$

$(f \cdot ts = \Lambda\langle T \rangle t) = (\Lambda\langle T \rangle t = f \wedge ts = \square)$   
 ⟨proof⟩

**lemma** *Apps\_Var\_eq[simp]*:  $\langle xT \rangle \cdot ss = \langle yU \rangle \cdot ts \iff xT = yU \wedge ss = ts$   
 ⟨proof⟩

**lemma** *Apps\_Abs\_neq\_Apps[simp, symmetric, simp]*:

$\Lambda\langle T \rangle r \cdot t \neq \langle xT \rangle \cdot ss$   
 $\Lambda\langle T \rangle r \cdot t \neq (i :: idx) \cdot ss$   
 $\Lambda\langle T \rangle r \cdot t \neq (b :: bool) \cdot ss$   
 $\Lambda\langle T \rangle r \cdot t \neq (e1 \ ? \ e2) \cdot ss$   
 ⟨proof⟩

**lemma** *App\_Abs\_eq\_Apps\_Abs[simp]*:  $\Lambda\langle T \rangle r \cdot t = \Lambda\langle T' \rangle r' \cdot ss \iff T = T' \wedge r = r' \wedge ss = [t]$   
 ⟨proof⟩

**lemma** *Apps\_Var\_neq\_Apps\_Abs[simp, symmetric, simp]*:  $\langle xT \rangle \cdot ss \neq \Lambda\langle T \rangle r \cdot ts$   
 ⟨proof⟩

**lemma** *Apps\_Var\_neq\_Apps\_beta[simp, THEN not\_sym, simp]*:

$\langle xT \rangle \cdot ss \neq \Lambda\langle T \rangle r \cdot s \cdot ts$   
 ⟨proof⟩

**lemma** *[simp]*:

$(\Lambda\langle T \rangle r \cdot ts = \Lambda\langle T' \rangle r' \cdot s' \cdot ts') = (T = T' \wedge r = r' \wedge ts = s' \# ts')$   
 ⟨proof⟩

**lemma** *fold\_eq\_Bool\_iff[simp]*:

$fold (\rightarrow) (rev Ts) T = \mathcal{B} \iff Ts = \square \wedge T = \mathcal{B}$   
 $\mathcal{B} = fold (\rightarrow) (rev Ts) T \iff Ts = \square \wedge T = \mathcal{B}$   
 ⟨proof⟩

**lemma** *fold\_eq\_Fun\_iff[simp]*:

$fold (\rightarrow) (rev Ts) T = U \rightarrow V \iff$   
 $(Ts = \square \wedge T = U \rightarrow V \vee (\exists Us. Ts = U \# Us \wedge fold (\rightarrow) (rev Us) T = V))$   
 ⟨proof⟩

## 13.4 Substitution

**primrec** *subst where*

$subst \ xT \ t \ \langle yU \rangle = (if \ xT = yU \ then \ t \ else \ \langle yU \rangle)$   
 $| \ subst \ xT \ t \ (i :: idx) = i$   
 $| \ subst \ xT \ t \ (b :: bool) = b$   
 $| \ subst \ xT \ t \ (e1 \ ? \ e2) = subst \ xT \ t \ e1 \ ? \ subst \ xT \ t \ e2$   
 $| \ subst \ xT \ t \ (e1 \cdot e2) = subst \ xT \ t \ e1 \cdot subst \ xT \ t \ e2$   
 $| \ subst \ xT \ t \ (\Lambda\langle T \rangle e) = \Lambda\langle T \rangle (subst \ xT \ t \ e)$

**lemma** *fv\_subst*:

$fv (subst \ xT \ t \ u) = fv \ u \ -| \ \{xT\} \ \cup \ (if \ xT \in | \ fv \ u \ then \ fv \ t \ else \ \{\})$   
 ⟨proof⟩

**lemma** *subst\_fresh*:  $fresh \ xT \ u \implies subst \ xT \ t \ u = u$   
 ⟨proof⟩

**context begin**

**private lemma** *open\_open\_id*:  $i \neq j \implies open \ i \ t \ (open \ j \ t' \ u) = open \ j \ t' \ u \implies open \ i \ t \ u = u$   
 ⟨proof⟩

**lemma** *lc\_open\_id*:  $lc \ u \implies open \ k \ t \ u = u$   
 ⟨proof⟩

**lemma** *subst\_open*:  $lc \ u \implies subst \ xT \ u \ (open \ i \ t \ v) = open \ i \ (subst \ xT \ u \ t) \ (subst \ xT \ u \ v)$   
 ⟨proof⟩

**lemma** *subst\_open\_Var*:

$xT \neq yU \implies lc\ u \implies subst\ xT\ u\ (open\_Var\ i\ yU\ v) = open\_Var\ i\ yU\ (subst\ xT\ u\ v)$   
*<proof>*

**lemma** *subst\_Apps[simp]*:

$subst\ xT\ u\ (f \cdot xs) = subst\ xT\ u\ f \cdot map\ (subst\ xT\ u)\ xs$   
*<proof>*

**end**

**context begin**

**private lemma** *fresh\_close\_Var\_id*:  $fresh\ xT\ t \implies close\_Var\ k\ xT\ t = t$

*<proof>*

**lemma** *subst\_close\_Var*:

$xT \neq yU \implies fresh\ yU\ u \implies subst\ xT\ u\ (close\_Var\ i\ yU\ t) = close\_Var\ i\ yU\ (subst\ xT\ u\ t)$   
*<proof>*

**end**

**lemma** *subst\_intro*:  $fresh\ xT\ t \implies lc\ u \implies open0\ u\ t = subst\ xT\ u\ (open0\_Var\ xT\ t)$

*<proof>*

**lemma** *lc\_subst[simp]*:  $lc\ u \implies lc\ t \implies lc\ (subst\ xT\ t\ u)$

*<proof>*

**lemma** *body\_subst[simp]*:  $body\ U\ u \implies lc\ t \implies body\ U\ (subst\ xT\ t\ u)$

*<proof>*

**lemma** *lc\_open\_Var*:  $lc\ u \implies lc\ (open\_Var\ i\ xT\ u)$

*<proof>*

**lemma** *lc\_open[simp]*:  $body\ U\ u \implies lc\ t \implies lc\ (open0\ t\ u)$

*<proof>*

## 13.5 Typing

**inductive** *welltyped* :: *expr*  $\Rightarrow$  *type*  $\Rightarrow$  *bool* (**infix** :: 60) **where**

*welltyped\_Var[intro!]*:  $\langle(x, T)\rangle \::\ T$   
*welltyped\_B[intro!]*:  $(b \::\ bool) \::\ \mathcal{B}$   
*welltyped\_Seq[intro!]*:  $e1 \::\ \mathcal{B} \implies e2 \::\ \mathcal{B} \implies e1\ ?\ e2 \::\ \mathcal{B}$   
*welltyped\_App[intro]*:  $e1 \::\ T \rightarrow U \implies e2 \::\ T \implies e1 \cdot e2 \::\ U$   
*welltyped\_Abs[intro]*:  $(\forall x. (x, T) \notin X \longrightarrow open0\_Var\ (x, T)\ e \::\ U) \implies \Lambda(T)\ e \::\ T \rightarrow U$

**inductive-cases** *welltypedE[elim!]*:

$\langle x \rangle \::\ T$   
 $(i \::\ idx) \::\ T$   
 $(b \::\ bool) \::\ T$   
 $e1\ ?\ e2 \::\ T$   
 $e1 \cdot e2 \::\ T$   
 $\Lambda(T)\ e \::\ U$

**lemma** *welltyped\_unique*:  $t \::\ T \implies t \::\ U \implies T = U$

*<proof>*

**lemma** *welltyped\_lc[simp]*:  $t \::\ T \implies lc\ t$

*<proof>*

**lemma** *welltyped\_subst[intro]*:

$u \::\ U \implies t \::\ snd\ xT \implies subst\ xT\ t\ u \::\ U$   
*<proof>*

**lemma** *rename\_welltyped*:  $u :: U \implies \text{subst } (x, T) \langle (y, T) \rangle u :: U$   
 ⟨proof⟩

**lemma** *welltyped\_Abs\_fresh*:  
 assumes *fresh*  $(x, T) u \text{ open0\_Var } (x, T) u :: U$   
 shows  $\Lambda \langle T \rangle u :: T \rightarrow U$   
 ⟨proof⟩

**lemma** *Apps\_alt*:  $f \cdot ts :: T \longleftrightarrow$   
 $(\exists Ts. f :: \text{fold } (\rightarrow) (\text{rev } Ts) T \wedge \text{list\_all2 } (::) ts Ts)$   
 ⟨proof⟩

### 13.6 Definition 10 and Lemma 11 from Schmidt-Schauß's paper

**abbreviation** *closed*  $t \equiv \text{fv } t = \{\}\}$

**primrec** *constant0* **where**  
 $\text{constant0 } \mathcal{B} = \text{Var } ("bool", \mathcal{B})$   
 $\text{constant0 } (T \rightarrow U) = \Lambda \langle T \rangle (\text{constant0 } U)$

**definition** *constant*  $T = \Lambda \langle \mathcal{B} \rangle (\text{close0\_Var } ("bool", \mathcal{B}) (\text{constant0 } T))$

**lemma** *fv\_constant0[simp]*:  $\text{fv } (\text{constant0 } T) = \{("bool", \mathcal{B})\}$   
 ⟨proof⟩

**lemma** *closed\_constant[simp]*:  $\text{closed } (\text{constant } T)$   
 ⟨proof⟩

**lemma** *welltyped\_constant0[simp]*:  $\text{constant0 } T :: T$   
 ⟨proof⟩

**lemma** *lc\_constant0[simp]*:  $\text{lc } (\text{constant0 } T)$   
 ⟨proof⟩

**lemma** *welltyped\_constant[simp]*:  $\text{constant } T :: \mathcal{B} \rightarrow T$   
 ⟨proof⟩

**definition** *nth\_drop* **where**  
 $\text{nth\_drop } i xs \equiv \text{take } i xs @ \text{drop } (\text{Suc } i) xs$

**definition** *nth\_arg* (*infixl*  $!- 100$ ) **where**  
 $\text{nth\_arg } T i \equiv \text{nth } (\text{dest\_fun } T) i$

**abbreviation** *ar* **where**  
 $\text{ar } T \equiv \text{length } (\text{dest\_fun } T)$

**lemma** *size\_nth\_arg[simp]*:  $i < \text{ar } T \implies \text{size } (T !- i) < \text{size } T$   
 ⟨proof⟩

**fun**  $\pi :: \text{type} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{type}$  **where**  
 $\pi T i 0 = (\text{if } i < \text{ar } T \text{ then } \text{nth\_drop } i (\text{dest\_fun } T) \rightarrow \mathcal{B} \text{ else } \mathcal{B})$   
 $\pi T i (\text{Suc } j) = (\text{if } i < \text{ar } T \wedge j < \text{ar } (T !- i)$   
 then  $\pi (T !- i) j 0 \rightarrow$   
 $\text{map } (\pi (T !- i) j \circ \text{Suc}) [0 .. < \text{ar } (T !- i) - j] \rightarrow \pi T i 0$  else  $\mathcal{B})$

**theorem**  $\pi\_induct[\text{rotated } -2, \text{consumes } 2, \text{case\_names } 0 \text{ Suc}]$ :  
 assumes  $\bigwedge T i. i < \text{ar } T \implies P T i 0$   
 and  $\bigwedge T i j. i < \text{ar } T \implies j < \text{ar } (T !- i) \implies P (T !- i) j 0 \implies$   
 $(\forall x < \text{ar } (T !- i) - j. P (T !- i) j (x + 1)) \implies P T i (j + 1)$   
 shows  $i < \text{ar } T \implies j \leq \text{ar } (T !- i) \implies P T i j$   
 ⟨proof⟩

**definition**  $\varepsilon :: \text{type} \Rightarrow \text{nat} \Rightarrow \text{type}$  **where**  
 $\varepsilon T i = \pi T i 0 \rightarrow \text{map } (\pi T i \circ \text{Suc}) [0 .. < \text{ar } (T !- i)] \rightarrow T$

**definition** *Abss* ( $\Lambda[_]_ [100, 100] 800$ ) **where**  
 $\Lambda[xTs] b = \text{fold } (\lambda x T t. \Lambda\langle \text{snd } xT \rangle \text{ close0\_Var } xT t) (\text{rev } xTs) b$

**definition** *Seqs* (**infixr**  $??$  75) **where**  
 $ts ?? t = \text{fold } (\lambda u t. u ? t) (\text{rev } ts) t$

**definition** *variant k base* =  $\text{base} @ \text{replicate } k \text{ CHR } ''*''$

**lemma** *variant\_inj*:  $\text{variant } i \text{ base} = \text{variant } j \text{ base} \implies i = j$   
 $\langle \text{proof} \rangle$

**lemma** *variant\_inj2*:  
 $\text{CHR } ''*'' \notin \text{set } b1 \implies \text{CHR } ''*'' \notin \text{set } b2 \implies \text{variant } i \text{ b1} = \text{variant } j \text{ b2} \implies b1 = b2$   
 $\langle \text{proof} \rangle$

**fun** *E* ::  $\text{type} \Rightarrow \text{nat} \Rightarrow \text{expr}$  **and** *P* ::  $\text{type} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{expr}$  **where**

$E T i = (\text{if } i < \text{ar } T \text{ then } (\text{let}$   
 $Ti = T!-i;$   
 $x = \lambda k. (\text{variant } k \text{ ''x''}, T!-k);$   
 $xs = \text{map } x [0 ..< \text{ar } T];$   
 $xx\_var = \langle \text{nth } xs \ i \rangle;$   
 $x\_vars = \text{map } (\lambda x. \langle x \rangle) (\text{nth\_drop } i \ xs);$   
 $yy = (''z'', \pi \ T \ i \ 0);$   
 $yy\_var = \langle yy \rangle;$   
 $y = \lambda j. (\text{variant } j \text{ ''y''}, \pi \ T \ i \ (j + 1));$   
 $ys = \text{map } y [0 ..< \text{ar } Ti];$   
 $e = \lambda j. \langle y \ j \rangle \cdot (P \ Ti \ j \ 0 \cdot xx\_var \ \# \ \text{map } (\lambda k. P \ Ti \ j \ (k + 1) \cdot xx\_var) [0 ..< \text{ar } (Ti!-j)]);$   
 $\text{guards} = \text{map } (\lambda i. xx\_var \cdot$   
 $\text{map } (\lambda j. \text{constant } (Ti!-j) \cdot (\text{if } i = j \text{ then } e \ i \cdot x\_vars \ \text{else } \text{True})) [0 ..< \text{ar } Ti])$   
 $[0 ..< \text{ar } Ti]$   
 $\text{in } \Lambda[(yy \ \# \ ys \ @ \ xs)] (\text{guards } ?? (yy\_var \cdot x\_vars)) \ \text{else } \text{constant } (\varepsilon \ T \ i) \cdot \text{False})$   
 $| P \ T \ i \ 0 =$   
 $(\text{if } i < \text{ar } T \text{ then } (\text{let}$   
 $f = (''f'', T);$   
 $f\_var = \langle f \rangle;$   
 $x = \lambda k. (\text{variant } k \text{ ''x''}, T!-k);$   
 $xs = \text{nth\_drop } i (\text{map } x [0 ..< \text{ar } T]);$   
 $x\_vars = \text{insert\_nth } i (\text{constant } (T!-i) \cdot \text{True}) (\text{map } (\lambda x. \langle x \rangle) \ xs)$   
 $\text{in } \Lambda[(f \ \# \ xs)] (f\_var \cdot x\_vars) \ \text{else } \text{constant } (T \rightarrow \pi \ T \ i \ 0) \cdot \text{False})$   
 $| P \ T \ i \ (\text{Suc } j) = (\text{if } i < \text{ar } T \wedge j < \text{ar } (T!-i) \text{ then } (\text{let}$   
 $Ti = T!-i;$   
 $Tij = Ti!-j;$   
 $f = (''f'', T);$   
 $f\_var = \langle f \rangle;$   
 $x = \lambda k. (\text{variant } k \text{ ''x''}, T!-k);$   
 $xs = \text{nth\_drop } i (\text{map } x [0 ..< \text{ar } T]);$   
 $yy = (''z'', \pi \ Ti \ j \ 0);$   
 $yy\_var = \langle yy \rangle;$   
 $y = \lambda k. (\text{variant } k \text{ ''y''}, \pi \ Ti \ j \ (k + 1));$   
 $ys = \text{map } y [0 ..< \text{ar } Tij];$   
 $y\_vars = yy\_var \ \# \ \text{map } (\lambda x. \langle x \rangle) \ ys;$   
 $x\_vars = \text{insert\_nth } i (E \ Ti \ j \cdot y\_vars) (\text{map } (\lambda x. \langle x \rangle) \ xs)$   
 $\text{in } \Lambda[(f \ \# \ yy \ \# \ ys \ @ \ xs)] (f\_var \cdot x\_vars) \ \text{else } \text{constant } (T \rightarrow \pi \ T \ i \ (j + 1)) \cdot \text{False})$

**lemma** *Abss\_Nil[simp]*:  $\Lambda[[]] b = b$   
 $\langle \text{proof} \rangle$

**lemma** *Abss\_Cons[simp]*:  $\Lambda[(x\#xs)] b = \Lambda\langle \text{snd } x \rangle (\text{close0\_Var } x (\Lambda[xs] b))$   
 $\langle \text{proof} \rangle$

**lemma** *welltyped\_Abss*:  $b :: U \implies T = \text{map } \text{snd } xTs \rightarrow U \implies \Lambda[xTs] b :: T$   
 $\langle \text{proof} \rangle$



**lemma** *welltyped\_Apps*: *list\_all2* (*::*) *ts Ts*  $\implies f :: Ts \rightarrow U \implies f \cdot ts :: U$   
 ⟨*proof*⟩

**lemma** *welltyped\_open\_Var\_close\_Var*[*intro!*]:  
*t*  $:: T \implies \text{open0\_Var } xT \ (\text{close0\_Var } xT t) :: T$   
 ⟨*proof*⟩

**lemma** *welltyped\_Var\_iff*[*simp*]:  
 $\langle (x, T) \rangle :: U \longleftrightarrow T = U$   
 ⟨*proof*⟩

**lemma** *welltyped\_bool\_iff*[*simp*]: (*b :: bool*)  $:: T \longleftrightarrow T = \mathcal{B}$   
 ⟨*proof*⟩

**lemma** *welltyped\_constant0\_iff*[*simp*]: *constant0 T*  $:: U \longleftrightarrow (U = T)$   
 ⟨*proof*⟩

**lemma** *welltyped\_constant\_iff*[*simp*]: *constant T*  $:: U \longleftrightarrow (U = \mathcal{B} \rightarrow T)$   
 ⟨*proof*⟩

**lemma** *welltyped\_Seq\_iff*[*simp*]: *e1 ? e2*  $:: T \longleftrightarrow (T = \mathcal{B} \wedge e1 :: \mathcal{B} \wedge e2 :: \mathcal{B})$   
 ⟨*proof*⟩

**lemma** *welltyped\_Seqs\_iff*[*simp*]: *es ?? e*  $:: T \longleftrightarrow$   
 $((es \neq [] \rightarrow T = \mathcal{B}) \wedge (\forall e \in \text{set } es. e :: \mathcal{B}) \wedge e :: T)$   
 ⟨*proof*⟩

**lemma** *welltyped\_App\_iff*[*simp*]: *f · t*  $:: U \longleftrightarrow (\exists T. f :: T \rightarrow U \wedge t :: T)$   
 ⟨*proof*⟩

**lemma** *welltyped\_Apps\_iff*[*simp*]: *f · ts*  $:: U \longleftrightarrow (\exists Ts. f :: Ts \rightarrow U \wedge \text{list\_all2 } (::) ts Ts)$   
 ⟨*proof*⟩

**lemma** *eq\_mk\_fun\_iff*[*simp*]:  $T = Ts \rightarrow \mathcal{B} \longleftrightarrow Ts = \text{dest\_fun } T$   
 ⟨*proof*⟩

**lemma** *map\_nth\_eq\_drop\_take*[*simp*]:  $j \leq \text{length } xs \implies \text{map } (\text{nth } xs) [i ..< j] = \text{drop } i \ (\text{take } j \ xs)$   
 ⟨*proof*⟩

**lemma** *dest\_fun\_pi\_0*:  $i < \text{ar } T \implies \text{dest\_fun } (\pi T i 0) = \text{nth\_drop } i \ (\text{dest\_fun } T)$   
 ⟨*proof*⟩

**lemma** *welltyped\_E*:  $E T i :: \varepsilon T i$  **and** *welltyped\_P*:  $P T i j :: T \rightarrow \pi T i j$   
 ⟨*proof*⟩

**lemma** *delta\_gt\_0*[*simp*]:  $T \neq \mathcal{B} \implies \text{HMSet } \{\#\} < \delta T$   
 ⟨*proof*⟩

**lemma** *mset\_nth\_drop\_less*:  $i < \text{length } xs \implies \text{mset } (\text{nth\_drop } i \ xs) < \text{mset } xs$   
 ⟨*proof*⟩

**lemma** *map\_nth\_drop*:  $i < \text{length } xs \implies \text{map } f \ (\text{nth\_drop } i \ xs) = \text{nth\_drop } i \ (\text{map } f \ xs)$   
 ⟨*proof*⟩

**lemma** *empty\_less\_mset*:  $\{\#\} < \text{mset } xs \longleftrightarrow xs \neq []$   
 ⟨*proof*⟩

**lemma** *dest\_fun\_alt*:  $\text{dest\_fun } T = \text{map } (\lambda i. T !- i) [0 ..< \text{ar } T]$   
 ⟨*proof*⟩

**context notes**  $\pi.\text{simps}[simp \ del]$  **notes** *One\_nat\_def*[*simp del*] **begin**

```
lemma  $\delta\_pi$ :  
  assumes  $i < ar\ T\ j \leq ar\ (T\ !- i)$   
  shows  $\delta\ (\pi\ T\ i\ j) < \delta\ T$   
<proof>  
  
end  
  
end
```