

# Formalization of Nested Multisets, Hereditary Multisets, and Syntactic Ordinals

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## Abstract

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna’s nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

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# 1 Introduction

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna's nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

In addition, signed (or hybrid) multisets are provided (i.e., multisets with potentially negative multiplicities), as well as signed hereditary multisets and signed ordinals (e.g.,  $\omega^2 - 2\omega + 1$ ).

## 2 More about Multisets

```
theory Multiset_More
imports HOL-Library.Multiset_Order
begin
```

Isabelle's theory of finite multisets is not as developed as other areas, such as lists and sets. The present theory introduces some missing concepts and lemmas. Some of it is expected to move to Isabelle's library.

### 2.1 Basic Setup

```
declare
  diff_single_trivial [simp]
  in_image_mset [iff]
  image_mset.compositionality [simp]

  mset_subset_eqD [dest, intro?]

  Multiset.in_multiset_in_set [simp]
  inter_add_left1 [simp]
  inter_add_left2 [simp]
  inter_add_right1 [simp]
  inter_add_right2 [simp]

  sum_mset_sum_list [simp]
```

### 2.2 Lemmas about Intersection, Union and Pointwise Inclusion

```
lemma subset_add_mset_notin_subset_mset:  $\langle A \subseteq\# \text{ add\_mset } b \ B \implies b \notin\# A \implies A \subseteq\# B \rangle$ 
  <proof>
```

```
lemma subset_msetE [elim!]:  $\llbracket A \subset\# B; \llbracket A \subseteq\# B; \neg B \subseteq\# A \rrbracket \implies R \rrbracket \implies R$ 
  <proof>
```

```
lemma Diff_triv_mset:  $M \cap\# N = \{\#\} \implies M - N = M$ 
  <proof>
```

```
lemma diff_intersect_sym_diff:  $(A - B) \cap\# (B - A) = \{\#\}$ 
  <proof>
```

### 2.3 Lemmas about Filter and Image

```
lemma count_image_mset_ge_count:  $\text{count } (\text{image\_mset } f \ A) \ (f \ b) \geq \text{count } A \ b$ 
  <proof>
```

```
lemma count_image_mset_inj:
  assumes <inj f>
  shows <count (image_mset f M) (f x) = count M x>
  <proof>
```

```
lemma count_image_mset_le_count_inj_on:
```

$\text{inj\_on } f \text{ (set\_mset } M) \implies \text{count (image\_mset } f M) y \leq \text{count } M \text{ (inv\_into (set\_mset } M) f y)$   
 ⟨proof⟩

**lemma** *mset\_filter\_compl*:  $\text{mset (filter } p \text{ } xs) + \text{mset (filter (Not } \circ p) \text{ } xs) = \text{mset } xs$   
 ⟨proof⟩

Near duplicate of *filter\_eq\_replicate\_mset*:  $\{\#y \in \# ?D. y = ?x\# \} = \text{replicate\_mset (count } ?D \text{ } ?x) ?x$ .

**lemma** *filter\_mset\_eq*:  $\text{filter\_mset (op = } L) A = \text{replicate\_mset (count } A \text{ } L) L$   
 ⟨proof⟩

See *filter\_cong* for the set version. Mark as [*fundef\_cong*] too?

**lemma** *filter\_mset\_cong*:  
**assumes** [*simp*]:  $M = M'$  **and** [*simp*]:  $\bigwedge a. a \in \# M \implies P a = Q a$   
**shows**  $\text{filter\_mset } P M = \text{filter\_mset } Q M$   
 ⟨proof⟩

**lemma** *image\_mset\_filter\_swap*:  $\text{image\_mset } f \{ \# x \in \# M. P (f x) \# \} = \{ \# x \in \# \text{image\_mset } f M. P x \# \}$   
 ⟨proof⟩

**lemma** *image\_mset\_cong2*[*cong*]:  
 $(\bigwedge x. x \in \# M \implies f x = g x) \implies M = N \implies \text{image\_mset } f M = \text{image\_mset } g N$   
 ⟨proof⟩

**lemma** *filter\_mset\_empty\_conv*:  $\langle \text{filter\_mset } P M = \{ \# \} \rangle = \langle \forall L \in \# M. \neg P L \rangle$   
 ⟨proof⟩

**lemma** *multiset\_filter\_mono2*:  $\langle \text{filter\_mset } P A \subseteq \# \text{filter\_mset } Q A \longleftrightarrow \langle \forall a \in \# A. P a \longrightarrow Q a \rangle \rangle$   
 ⟨proof⟩

**lemma** *image\_filter\_cong*:  
**assumes**  $\langle \bigwedge C. C \in \# M \implies P C \implies f C = g C \rangle$   
**shows**  $\langle \{ \# f C. C \in \# \{ \# C \in \# M. P C \# \} \# \} = \{ \# g C \mid C \in \# M. P C \# \} \rangle$   
 ⟨proof⟩

**lemma** *image\_mset\_filter\_swap2*:  $\langle \{ \# C \in \# \{ \# P x. x \in \# D \# \}. Q C \# \} = \{ \# P x. x \in \# \{ \# C \mid C \in \# D. Q (P C) \# \} \# \} \rangle$   
 ⟨proof⟩

## 2.4 Lemmas about Sum

**lemma** *sum\_image\_mset\_mono*:  
**fixes**  $f :: 'a \Rightarrow 'b :: \text{canonically\_ordered\_monoid\_add}$   
**assumes**  $\text{sub: } A \subseteq \# B$   
**shows**  $(\sum m \in \# A. f m) \leq (\sum m \in \# B. f m)$   
 ⟨proof⟩

**lemma** *sum\_image\_mset\_mono\_mem*:  
 $n \in \# M \implies f n \leq (\sum m \in \# M. f m)$  **for**  $f :: 'a \Rightarrow 'b :: \text{canonically\_ordered\_monoid\_add}$   
 ⟨proof⟩

**lemma** *count\_sum\_mset\_if\_1\_0*:  $\langle \text{count } M a = (\sum x \in \# M. \text{if } x = a \text{ then } 1 \text{ else } 0) \rangle$   
 ⟨proof⟩

**lemma** *sum\_mset\_dvd*:  
**fixes**  $k :: 'a :: \text{comm\_semiring\_1\_cancel}$   
**assumes**  $\forall m \in \# M. k \text{ dvd } f m$   
**shows**  $k \text{ dvd } (\sum m \in \# M. f m)$   
 ⟨proof⟩

**lemma** *sum\_mset\_distrib\_div\_if\_dvd*:  
**fixes**  $k :: 'a :: \text{semiring\_div}$   
**assumes**  $\forall m \in \# M. k \text{ dvd } f m$   
**shows**  $(\sum m \in \# M. f m) \text{ div } k = (\sum m \in \# M. f m \text{ div } k)$   
 ⟨proof⟩

## 2.5 Lemmas about Remove

**lemma** *set\_mset\_minus\_replicate\_mset*[simp]:

$n \geq \text{count } A \ a \implies \text{set\_mset } (A - \text{replicate\_mset } n \ a) = \text{set\_mset } A - \{a\}$   
 $n < \text{count } A \ a \implies \text{set\_mset } (A - \text{replicate\_mset } n \ a) = \text{set\_mset } A$   
 ⟨proof⟩

**abbreviation** *removeAll\_mset* :: 'a ⇒ 'a multiset ⇒ 'a multiset **where**  
*removeAll\_mset* C M ≡ M - replicate\_mset (count M C) C

**lemma** *mset\_removeAll*[simp, code]: *removeAll\_mset* C (mset L) = mset (removeAll C L)  
 ⟨proof⟩

**lemma** *removeAll\_mset\_filter\_mset*: *removeAll\_mset* C M = filter\_mset (op ≠ C) M  
 ⟨proof⟩

**abbreviation** *remove1\_mset* :: 'a ⇒ 'a multiset ⇒ 'a multiset **where**  
*remove1\_mset* C M ≡ M - {#C#}

**lemma** *removeAll\_subseteq\_remove1\_mset*: *removeAll\_mset* x M ⊆# *remove1\_mset* x M  
 ⟨proof⟩

**lemma** *in\_remove1\_mset\_neq*:  
**assumes** *ab*: a ≠ b  
**shows** a ∈# *remove1\_mset* b C ↔ a ∈# C  
 ⟨proof⟩

**lemma** *size\_mset\_removeAll\_mset\_le\_iff*: size (*removeAll\_mset* x M) < size M ↔ x ∈# M  
 ⟨proof⟩

**lemma** *size\_remove1\_mset>If*: (size (*remove1\_mset* x M) = size M - (if x ∈# M then 1 else 0))  
 ⟨proof⟩

**lemma** *size\_mset\_remove1\_mset\_le\_iff*: size (*remove1\_mset* x M) < size M ↔ x ∈# M  
 ⟨proof⟩

**lemma** *single\_remove1\_mset\_eq*:  
*add\_mset* a (*remove1\_mset* a M) = M ↔ a ∈# M  
 ⟨proof⟩

**lemma** *remove\_1\_mset\_id\_iff\_notin*:  
*remove1\_mset* a M = M ↔ a ∉# M  
 ⟨proof⟩

**lemma** *id\_remove\_1\_mset\_iff\_notin*:  
M = *remove1\_mset* a M ↔ a ∉# M  
 ⟨proof⟩

**lemma** *remove1\_mset\_eqE*:  
*remove1\_mset* L x1 = M ⇒  
 (L ∈# x1 ⇒ x1 = M + {#L#} ⇒ P) ⇒  
 (L ∉# x1 ⇒ x1 = M ⇒ P) ⇒  
 P  
 ⟨proof⟩

**lemma** *image\_filter\_ne\_mset*[simp]:  
*image\_mset* f {#x ∈# M. f x ≠ y#} = *removeAll\_mset* y (*image\_mset* f M)  
 ⟨proof⟩

**lemma** *image\_mset\_remove1\_mset\_if*:  
*image\_mset* f (*remove1\_mset* a M) =  
 (if a ∈# M then *remove1\_mset* (f a) (*image\_mset* f M) else *image\_mset* f M)  
 ⟨proof⟩

**lemma** *filter\_mset\_neq*:  $\{\#x \in\# M. x \neq y\# \} = \text{removeAll\_mset } y \ M$   
 ⟨proof⟩

**lemma** *filter\_mset\_neq\_cond*:  $\{\#x \in\# M. P \ x \wedge x \neq y\# \} = \text{removeAll\_mset } y \ \{\#x \in\# M. P \ x\# \}$   
 ⟨proof⟩

**lemma** *remove1\_mset\_add\_mset\_If*:  
 $\text{remove1\_mset } L \ (\text{add\_mset } L' \ C) = (\text{if } L = L' \ \text{then } C \ \text{else } \text{remove1\_mset } L \ C + \{\#L'\# \})$   
 ⟨proof⟩

**lemma** *minus\_remove1\_mset\_if*:  
 $A - \text{remove1\_mset } b \ B = (\text{if } b \in\# B \wedge b \in\# A \wedge \text{count } A \ b \geq \text{count } B \ b \ \text{then } \{\#b\# \} + (A - B) \ \text{else } A - B)$   
 ⟨proof⟩

**lemma** *add\_mset\_eq\_add\_mset\_ne*:  
 $a \neq b \implies \text{add\_mset } a \ A = \text{add\_mset } b \ B \longleftrightarrow a \in\# B \wedge b \in\# A \wedge A = \text{add\_mset } b \ (B - \{\#a\# \})$   
 ⟨proof⟩

**lemma** *add\_mset\_eq\_add\_mset*:  $\langle \text{add\_mset } a \ M = \text{add\_mset } b \ M' \longleftrightarrow (a = b \wedge M = M') \vee (a \neq b \wedge b \in\# M \wedge \text{add\_mset } a \ (M - \{\#b\# \}) = M' \rangle$   
 ⟨proof⟩

**lemma** *add\_mset\_remove\_trivial\_iff*:  $\langle N = \text{add\_mset } a \ (N - \{\#b\# \}) \longleftrightarrow a \in\# N \wedge a = b \rangle$   
 ⟨proof⟩

**lemma** *trivial\_add\_mset\_remove\_iff*:  $\langle \text{add\_mset } a \ (N - \{\#b\# \}) = N \longleftrightarrow a \in\# N \wedge a = b \rangle$   
 ⟨proof⟩

**lemma** *remove1\_single\_empty\_iff[simp]*:  $\langle \text{remove1\_mset } L \ \{\#L'\# \} = \{\#\} \longleftrightarrow L = L' \rangle$   
 ⟨proof⟩

**lemma** *add\_mset\_less\_imp\_less\_remove1\_mset*:  
**assumes**  $xM\_lt\_N$ :  $\text{add\_mset } x \ M < N$   
**shows**  $M < \text{remove1\_mset } x \ N$   
 ⟨proof⟩

## 2.6 Lemmas about Replicate

**lemma** *replicate\_mset\_minus\_replicate\_mset\_same[simp]*:  
 $\text{replicate\_mset } m \ x - \text{replicate\_mset } n \ x = \text{replicate\_mset } (m - n) \ x$   
 ⟨proof⟩

**lemma** *replicate\_mset\_subset\_iff\_lt[simp]*:  $\text{replicate\_mset } m \ x \subset\# \text{replicate\_mset } n \ x \longleftrightarrow m < n$   
 ⟨proof⟩

**lemma** *replicate\_mset\_subseteq\_iff\_le[simp]*:  $\text{replicate\_mset } m \ x \subseteq\# \text{replicate\_mset } n \ x \longleftrightarrow m \leq n$   
 ⟨proof⟩

**lemma** *replicate\_mset\_lt\_iff\_lt[simp]*:  $\text{replicate\_mset } m \ x < \text{replicate\_mset } n \ x \longleftrightarrow m < n$   
 ⟨proof⟩

**lemma** *replicate\_mset\_le\_iff\_le[simp]*:  $\text{replicate\_mset } m \ x \leq \text{replicate\_mset } n \ x \longleftrightarrow m \leq n$   
 ⟨proof⟩

**lemma** *replicate\_mset\_eq\_iff[simp]*:  
 $\text{replicate\_mset } m \ x = \text{replicate\_mset } n \ y \longleftrightarrow m = n \wedge (m \neq 0 \longrightarrow x = y)$   
 ⟨proof⟩

**lemma** *replicate\_mset\_plus*:  $\text{replicate\_mset } (a + b) \ C = \text{replicate\_mset } a \ C + \text{replicate\_mset } b \ C$   
 ⟨proof⟩

**lemma** *mset\_replicate\_replicate\_mset*:  $\text{mset } (\text{replicate } n \ L) = \text{replicate\_mset } n \ L$   
 ⟨proof⟩

**lemma** *set\_mset\_single\_iff\_replicate\_mset*:  $\text{set\_mset } U = \{a\} \longleftrightarrow (\exists n > 0. U = \text{replicate\_mset } n \ a)$   
 ⟨proof⟩

**lemma** *ex\_replicate\_mset\_if\_all\_elems\_eq*:  
**assumes**  $\forall x \in \# M. x = y$   
**shows**  $\exists n. M = \text{replicate\_mset } n \ y$   
 ⟨proof⟩

## 2.7 Multiset and Set Conversions

**lemma** *count\_mset\_set\_if*:  $\text{count } (\text{mset\_set } A) \ a = (\text{if } a \in A \wedge \text{finite } A \text{ then } 1 \text{ else } 0)$   
 ⟨proof⟩

**lemma** *mset\_set\_set\_mset\_empty\_mempty*[iff]:  $\text{mset\_set } (\text{set\_mset } D) = \{\#\} \longleftrightarrow D = \{\#\}$   
 ⟨proof⟩

**lemma** *count\_mset\_set\_le\_one*:  $\text{count } (\text{mset\_set } A) \ x \leq 1$   
 ⟨proof⟩

**lemma** *mset\_set\_set\_mset\_subseteq*[simp]:  $\text{mset\_set } (\text{set\_mset } A) \subseteq_{\#} A$   
 ⟨proof⟩

**lemma** *mset\_sorted\_list\_of\_set*[simp]:  $\text{mset } (\text{sorted\_list\_of\_set } A) = \text{mset\_set } A$   
 ⟨proof⟩

**lemma** *sorted\_sorted\_list\_of\_multiset*[simp]:  
 $\text{sorted } (\text{sorted\_list\_of\_multiset } (M :: 'a::\text{linorder multiset}))$   
 ⟨proof⟩

**lemma** *mset\_take\_subseteq*:  $\text{mset } (\text{take } n \ xs) \subseteq_{\#} \text{mset } xs$   
 ⟨proof⟩

**lemma** *sorted\_list\_of\_multiset\_eq\_Nil*[simp]:  $\text{sorted\_list\_of\_multiset } M = [] \longleftrightarrow M = \{\#\}$   
 ⟨proof⟩

## 2.8 Duplicate Removal

**definition** *remdups\_mset* ::  $'v \text{ multiset} \Rightarrow 'v \text{ multiset}$  **where**  
 $\text{remdups\_mset } S = \text{mset\_set } (\text{set\_mset } S)$

**lemma** *set\_mset\_remdups\_mset*[simp]:  $\text{set\_mset } (\text{remdups\_mset } A) = \text{set\_mset } A$   
 ⟨proof⟩

**lemma** *count\_remdups\_mset\_eq\_1*:  $a \in \# \text{remdups\_mset } A \longleftrightarrow \text{count } (\text{remdups\_mset } A) \ a = 1$   
 ⟨proof⟩

**lemma** *remdups\_mset\_empty*[simp]:  $\text{remdups\_mset } \{\#\} = \{\#\}$   
 ⟨proof⟩

**lemma** *remdups\_mset\_singleton*[simp]:  $\text{remdups\_mset } \{\#a\} = \{\#a\}$   
 ⟨proof⟩

**lemma** *remdups\_mset\_eq\_empty*[iff]:  $\text{remdups\_mset } D = \{\#\} \longleftrightarrow D = \{\#\}$   
 ⟨proof⟩

**lemma** *remdups\_mset\_singleton\_sum*[simp]:  
 $\text{remdups\_mset } (\text{add\_mset } a \ A) = (\text{if } a \in \# A \text{ then } \text{remdups\_mset } A \text{ else } \text{add\_mset } a \ (\text{remdups\_mset } A))$   
 ⟨proof⟩

**lemma** *mset\_remdups\_remdups\_mset*[simp]:  $\text{mset } (\text{remdups } D) = \text{remdups\_mset } (\text{mset } D)$   
 ⟨proof⟩

**declare** *mset\_remdups\_remdups\_mset*[symmetric, code]

**definition** *distinct\_mset* :: 'a multiset  $\Rightarrow$  bool **where**  
*distinct\_mset*  $S \longleftrightarrow (\forall a. a \in\# S \longrightarrow \text{count } S \ a = 1)$

**lemma** *distinct\_mset\_count\_less\_1*: *distinct\_mset*  $S \longleftrightarrow (\forall a. \text{count } S \ a \leq 1)$   
 ⟨proof⟩

**lemma** *distinct\_mset\_empty[simp]*: *distinct\_mset*  $\{\#\}$   
 ⟨proof⟩

**lemma** *distinct\_mset\_singleton*: *distinct\_mset*  $\{\#a\#\}$   
 ⟨proof⟩

**lemma** *distinct\_mset\_union*:  
**assumes** *dist*: *distinct\_mset*  $(A + B)$   
**shows** *distinct\_mset*  $A$   
 ⟨proof⟩

**lemma** *distinct\_mset\_minus[simp]*: *distinct\_mset*  $A \Longrightarrow \text{distinct\_mset } (A - B)$   
 ⟨proof⟩

**lemma** *count\_remdups\_mset>If*:  $\langle \text{count } (\text{remdups\_mset } A) \ a = (\text{if } a \in\# A \text{ then } 1 \text{ else } 0) \rangle$   
 ⟨proof⟩

**lemma** *distinct\_mset\_remdups\_union\_mset*:  
**assumes** *distinct\_mset*  $A$  **and** *distinct\_mset*  $B$   
**shows**  $A \cup\# B = \text{remdups\_mset } (A + B)$   
 ⟨proof⟩

**lemma** *distinct\_mset\_add\_mset[simp]*: *distinct\_mset*  $(\text{add\_mset } a \ L) \longleftrightarrow a \notin\# L \wedge \text{distinct\_mset } L$   
 ⟨proof⟩

**lemma** *distinct\_mset\_size\_eq\_card*: *distinct\_mset*  $C \Longrightarrow \text{size } C = \text{card } (\text{set\_mset } C)$   
 ⟨proof⟩

**lemma** *distinct\_mset\_add*:  
*distinct\_mset*  $(L + L') \longleftrightarrow \text{distinct\_mset } L \wedge \text{distinct\_mset } L' \wedge L \cap\# L' = \{\#\}$   
 ⟨proof⟩

**lemma** *distinct\_mset\_set\_mset\_ident[simp]*: *distinct\_mset*  $M \Longrightarrow \text{mset\_set } (\text{set\_mset } M) = M$   
 ⟨proof⟩

**lemma** *distinct\_finite\_set\_mset\_subseteq\_iff*[iff]:  
**assumes** *distinct\_mset*  $M$  *finite*  $N$   
**shows**  $\text{set\_mset } M \subseteq N \longleftrightarrow M \subseteq\# \text{mset\_set } N$   
 ⟨proof⟩

**lemma** *distinct\_mem\_diff\_mset*:  
**assumes** *dist*: *distinct\_mset*  $M$  **and** *mem*:  $x \in \text{set\_mset } (M - N)$   
**shows**  $x \notin \text{set\_mset } N$   
 ⟨proof⟩

**lemma** *distinct\_set\_mset\_eq*:  
**assumes** *distinct\_mset*  $M$  *distinct\_mset*  $N$   $\text{set\_mset } M = \text{set\_mset } N$   
**shows**  $M = N$   
 ⟨proof⟩

**lemma** *distinct\_mset\_union\_mset[simp]*:  
 $\langle \text{distinct\_mset } (D \cup\# C) \longleftrightarrow \text{distinct\_mset } D \wedge \text{distinct\_mset } C \rangle$   
 ⟨proof⟩

**lemma** *distinct\_mset\_inter\_mset*:  
*distinct\_mset*  $C \Longrightarrow \text{distinct\_mset } (C \cap\# D)$



*distinct\_mset*  $D \implies \text{distinct\_mset } (C \cap\# D)$   
 ⟨proof⟩

**lemma** *distinct\_mset\_remove1\_All*: *distinct\_mset*  $C \implies \text{remove1\_mset } L C = \text{removeAll\_mset } L C$   
 ⟨proof⟩

**lemma** *distinct\_mset\_size\_2*: *distinct\_mset*  $\{\#a, b\# \} \longleftrightarrow a \neq b$   
 ⟨proof⟩

**lemma** *distinct\_mset\_filter*: *distinct\_mset*  $M \implies \text{distinct\_mset } \{\# L \in\# M. P L\# \}$   
 ⟨proof⟩

**lemma** *distinct\_mset\_mset\_distinct[simp]*:  $\langle \text{distinct\_mset } (\text{mset } xs) = \text{distinct } xs \rangle$   
 ⟨proof⟩

**lemma** *distinct\_image\_mset\_inj*:  
 $\langle \text{inj\_on } f (\text{set\_mset } M) \implies \text{distinct\_mset } (\text{image\_mset } f M) \longleftrightarrow \text{distinct\_mset } M \rangle$   
 ⟨proof⟩

## 2.9 Repeat Operation

**lemma** *repeat\_mset\_compower*: *repeat\_mset*  $n A = ((op + A) \wedge\wedge n) \{\#\}$   
 ⟨proof⟩

**lemma** *repeat\_mset\_prod*: *repeat\_mset*  $(m * n) A = ((op + (\text{repeat\_mset } n A)) \wedge\wedge m) \{\#\}$   
 ⟨proof⟩

## 2.10 Cartesian Product

Definition of the cartesian products over multisets. The construction mimics of the cartesian product on sets and use the same theorem names (adding only the suffix *\_mset* to *Sigma* and *Times*). See file `~/src/HOL/Product_Type.thy`

**definition** *Sigma\_mset* :: *'a multiset*  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b multiset*)  $\Rightarrow$  (*'a*  $\times$  *'b*) *multiset* **where**  
*Sigma\_mset*  $A B \equiv \bigcup\# \{\#\{\#(a, b). b \in\# B a\#\}. a \in\# A \#\}$

**abbreviation** *Times\_mset* :: *'a multiset*  $\Rightarrow$  *'b multiset*  $\Rightarrow$  (*'a*  $\times$  *'b*) *multiset* (**infixr**  $\times\#$  80) **where**  
*Times\_mset*  $A B \equiv \text{Sigma\_mset } A (\lambda_. B)$

**hide-const (open)** *Times\_mset*

Contrary to the set version  $A \times B$ , we use the non-ASCII symbol  $\in\#$ .

**syntax**

*\_Sigma\_mset* :: [*p*trn, *'a multiset*, *'b multiset*]  $\Rightarrow$  (*'a*  $*$  *'b*) *multiset*  
 ((3SIGMAMSET  $\_ \in\# \_ \_$ ) [0, 0, 10] 10)

**translations**

*SIGMAMSET*  $x \in\# A. B \equiv \text{CONST } \text{Sigma\_mset } A (\lambda x. B)$

Link between the multiset and the set cartesian product:

**lemma** *Times\_mset\_Times*: *set\_mset*  $(A \times\# B) = \text{set\_mset } A \times \text{set\_mset } B$   
 ⟨proof⟩

**lemma** *Sigma\_msetI [intro!]*:  $\llbracket a \in\# A; b \in\# B a \rrbracket \implies (a, b) \in\# \text{Sigma\_mset } A B$   
 ⟨proof⟩

**lemma** *Sigma\_msetE [elim!]*:  $\llbracket c \in\# \text{Sigma\_mset } A B; \bigwedge x y. \llbracket x \in\# A; y \in\# B x; c = (x, y) \rrbracket \implies P \rrbracket \implies P$   
 ⟨proof⟩

Elimination of  $(a, b) \in\# A \times\# B$  – introduces no eigenvariables.

**lemma** *Sigma\_msetD1*:  $(a, b) \in\# \text{Sigma\_mset } A B \implies a \in\# A$   
 ⟨proof⟩

**lemma** *Sigma\_msetD2*:  $(a, b) \in\# \text{Sigma\_mset } A B \implies b \in\# B a$

*<proof>*

**lemma** *Sigma\_msetE2*:  $\llbracket (a, b) \in\# \text{Sigma\_mset } A \ B; \llbracket a \in\# A; b \in\# B \ a \rrbracket \implies P \rrbracket \implies P$   
*<proof>*

**lemma** *Sigma\_mset\_cong*:  
 $\llbracket A = B; \bigwedge x. x \in\# B \implies C \ x = D \ x \rrbracket \implies (\text{SIGMAMSET } x \in\# A. C \ x) = (\text{SIGMAMSET } x \in\# B. D \ x)$   
*<proof>*

**lemma** *count\_sum\_mset*:  $\text{count } (\bigcup\# M) \ b = (\sum P \in\# M. \text{count } P \ b)$   
*<proof>*

**lemma** *Sigma\_mset\_plus\_distrib1[simp]*:  $\text{Sigma\_mset } (A + B) \ C = \text{Sigma\_mset } A \ C + \text{Sigma\_mset } B \ C$   
*<proof>*

**lemma** *Sigma\_mset\_plus\_distrib2[simp]*:  
 $\text{Sigma\_mset } A \ (\lambda i. B \ i + C \ i) = \text{Sigma\_mset } A \ B + \text{Sigma\_mset } A \ C$   
*<proof>*

**lemma** *Times\_mset\_single\_left*:  $\{\#a\# \} \times\# B = \text{image\_mset } (\text{Pair } a) \ B$   
*<proof>*

**lemma** *Times\_mset\_single\_right*:  $A \times\# \{\#b\# \} = \text{image\_mset } (\lambda a. \text{Pair } a \ b) \ A$   
*<proof>*

**lemma** *Times\_mset\_single\_single[simp]*:  $\{\#a\# \} \times\# \{\#b\# \} = \{\#(a, b)\# \}$   
*<proof>*

**lemma** *count\_image\_mset\_Pair*:  
 $\text{count } (\text{image\_mset } (\text{Pair } a) \ B) \ (x, b) = (\text{if } x = a \ \text{then } \text{count } B \ b \ \text{else } 0)$   
*<proof>*

**lemma** *count\_Sigma\_mset*:  $\text{count } (\text{Sigma\_mset } A \ B) \ (a, b) = \text{count } A \ a * \text{count } (B \ a) \ b$   
*<proof>*

**lemma** *Sigma\_mset\_empty1[simp]*:  $\text{Sigma\_mset } \{\#\} \ B = \{\#\}$   
*<proof>*

**lemma** *Sigma\_mset\_empty2[simp]*:  $A \times\# \{\#\} = \{\#\}$   
*<proof>*

**lemma** *Sigma\_mset\_mono*:  
**assumes**  $A \subseteq\# C$  **and**  $\bigwedge x. x \in\# A \implies B \ x \subseteq\# D \ x$   
**shows**  $\text{Sigma\_mset } A \ B \subseteq\# \text{Sigma\_mset } C \ D$   
*<proof>*

**lemma** *mem\_Sigma\_mset\_iff*:  $((a, b) \in\# \text{Sigma\_mset } A \ B) = (a \in\# A \wedge b \in\# B \ a)$   
*<proof>*

**lemma** *mem\_Times\_mset\_iff*:  $x \in\# A \times\# B \longleftrightarrow \text{fst } x \in\# A \wedge \text{snd } x \in\# B$   
*<proof>*

**lemma** *Sigma\_mset\_empty\_iff*:  $(\text{SIGMAMSET } i \in\# I. X \ i) = \{\#\} \longleftrightarrow (\forall i \in\# I. X \ i = \{\#\})$   
*<proof>*

**lemma** *Times\_mset\_subset\_mset\_cancel1*:  $x \in\# A \implies (A \times\# B \subseteq\# A \times\# C) = (B \subseteq\# C)$   
*<proof>*

**lemma** *Times\_mset\_subset\_mset\_cancel2*:  $x \in\# C \implies (A \times\# C \subseteq\# B \times\# C) = (A \subseteq\# B)$   
*<proof>*

**lemma** *Times\_mset\_eq\_cancel2*:  $x \in\# C \implies (A \times\# C = B \times\# C) = (A = B)$   
*<proof>*

**lemma** *split\_paired\_Ball\_mset\_Sigma\_mset[simp]*:  
 $(\forall z \in \#Sigma\_mset\ A\ B. P\ z) \longleftrightarrow (\forall x \in \#A. \forall y \in \#B\ x. P\ (x, y))$   
 ⟨proof⟩

**lemma** *split\_paired\_Bex\_mset\_Sigma\_mset[simp]*:  
 $(\exists z \in \#Sigma\_mset\ A\ B. P\ z) \longleftrightarrow (\exists x \in \#A. \exists y \in \#B\ x. P\ (x, y))$   
 ⟨proof⟩

**lemma** *sum\_mset\_if\_eq\_constant*:  
 $(\sum x \in \#M. \text{if } a = x \text{ then } (f\ x) \text{ else } 0) = ((op + (f\ a)) \wedge \wedge (count\ M\ a))\ 0$   
 ⟨proof⟩

**lemma** *iterate\_op\_plus*:  $((op + k) \wedge \wedge m)\ 0 = k * m$   
 ⟨proof⟩

**lemma** *untion\_image\_mset\_Pair\_distribute*:  
 $\bigcup \# \{ \#image\_mset\ (Pair\ x)\ (C\ x). x \in \#J - I \# \} =$   
 $\bigcup \# \{ \#image\_mset\ (Pair\ x)\ (C\ x). x \in \#J \# \} - \bigcup \# \{ \#image\_mset\ (Pair\ x)\ (C\ x). x \in \#I \# \}$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_Un\_distrib1*:  $Sigma\_mset\ (I \cup \#J)\ C = Sigma\_mset\ I\ C \cup \#Sigma\_mset\ J\ C$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_Un\_distrib2*:  $(SIGMAMSET\ i \in \#I. A\ i \cup \#B\ i) = Sigma\_mset\ I\ A \cup \#Sigma\_mset\ I\ B$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_Int\_distrib1*:  $Sigma\_mset\ (I \cap \#J)\ C = Sigma\_mset\ I\ C \cap \#Sigma\_mset\ J\ C$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_Int\_distrib2*:  $(SIGMAMSET\ i \in \#I. A\ i \cap \#B\ i) = Sigma\_mset\ I\ A \cap \#Sigma\_mset\ I\ B$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_Diff\_distrib1*:  $Sigma\_mset\ (I - J)\ C = Sigma\_mset\ I\ C - Sigma\_mset\ J\ C$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_Diff\_distrib2*:  $(SIGMAMSET\ i \in \#I. A\ i - B\ i) = Sigma\_mset\ I\ A - Sigma\_mset\ I\ B$   
 ⟨proof⟩

**lemma** *Sigma\_mset\_Union*:  $Sigma\_mset\ (\bigcup \#X)\ B = (\bigcup \#(image\_mset\ (\lambda A. Sigma\_mset\ A\ B)\ X))$   
 ⟨proof⟩

**lemma** *Times\_mset\_Un\_distrib1*:  $(A \cup \#B) \times \#C = A \times \#C \cup \#B \times \#C$   
 ⟨proof⟩

**lemma** *Times\_mset\_Int\_distrib1*:  $(A \cap \#B) \times \#C = A \times \#C \cap \#B \times \#C$   
 ⟨proof⟩

**lemma** *Times\_mset\_Diff\_distrib1*:  $(A - B) \times \#C = A \times \#C - B \times \#C$   
 ⟨proof⟩

**lemma** *Times\_mset\_empty[simp]*:  $A \times \#B = \{ \# \} \longleftrightarrow A = \{ \# \} \vee B = \{ \# \}$   
 ⟨proof⟩

**lemma** *Times\_insert\_left*:  $A \times \#add\_mset\ x\ B = A \times \#B + image\_mset\ (\lambda a. Pair\ a\ x)\ A$   
 ⟨proof⟩

**lemma** *Times\_insert\_right*:  $add\_mset\ a\ A \times \#B = A \times \#B + image\_mset\ (Pair\ a)\ B$   
 ⟨proof⟩

**lemma** *fst\_image\_mset\_times\_mset[simp]*:  
 $image\_mset\ fst\ (A \times \#B) = (\text{if } B = \{ \# \} \text{ then } \{ \# \} \text{ else } repeat\_mset\ (size\ B)\ A)$   
 ⟨proof⟩

**lemma** *snd\_image\_mset\_times\_mset* [simp]:  
*image\_mset snd (A ×# B) = (if A = {#} then {#} else repeat\_mset (size A) B)*  
 ⟨proof⟩

**lemma** *product\_swap\_mset*: *image\_mset prod.swap (A ×# B) = B ×# A*  
 ⟨proof⟩

**context**  
**begin**

**qualified definition** *product\_mset* :: 'a multiset ⇒ 'b multiset ⇒ ('a × 'b) multiset **where**  
 [code\_abbrev]: *product\_mset A B = A ×# B*

**lemma** *member\_product\_mset*: *x ∈# Multiset\_More.product\_mset A B ⟷ x ∈# A ×# B*  
 ⟨proof⟩

**end**

**lemma** *count\_Sigma\_mset\_abs\_def*: *count (Sigma\_mset A B) = (λ(a, b) ⇒ count A a \* count (B a) b)*  
 ⟨proof⟩

**lemma** *Times\_mset\_image\_mset1*: *image\_mset f A ×# B = image\_mset (λ(a, b). (f a, b)) (A ×# B)*  
 ⟨proof⟩

**lemma** *Times\_mset\_image\_mset2*: *A ×# image\_mset f B = image\_mset (λ(a, b). (a, f b)) (A ×# B)*  
 ⟨proof⟩

**lemma** *sum\_le\_singleton*: *A ⊆ {x} ⟹ sum f A = (if x ∈ A then f x else 0)*  
 ⟨proof⟩

**lemma** *Times\_mset\_assoc*: *(A ×# B) ×# C = image\_mset (λ(a, b, c). ((a, b), c)) (A ×# B ×# C)*  
 ⟨proof⟩

## 2.11 Transfer Rules

**lemma** *plus\_multiset\_transfer*[*transfer\_rule*]:  
 (*rel\_fun (rel\_mset R) (rel\_fun (rel\_mset R) (rel\_mset R))*) (*op +*) (*op +*)  
 ⟨proof⟩

**lemma** *minus\_multiset\_transfer*[*transfer\_rule*]:  
**assumes** [*transfer\_rule*]: *bi\_unique R*  
**shows** (*rel\_fun (rel\_mset R) (rel\_fun (rel\_mset R) (rel\_mset R))*) (*op -*) (*op -*)  
 ⟨proof⟩

**declare** *rel\_mset\_Zero*[*transfer\_rule*]

**lemma** *count\_transfer*[*transfer\_rule*]:  
**assumes** *bi\_unique R*  
**shows** (*rel\_fun (rel\_mset R) (rel\_fun R op =)*) *count count*  
 ⟨proof⟩

**lemma** *subsetq\_multiset\_transfer*[*transfer\_rule*]:  
**assumes** [*transfer\_rule*]: *bi\_unique R right\_total R*  
**shows** (*rel\_fun (rel\_mset R) (rel\_fun (rel\_mset R) (op =))*)  
 (*λM N. filter\_mset (Domainp R) M ⊆# filter\_mset (Domainp R) N*) (*op ⊆#*)  
 ⟨proof⟩

**lemma** *sum\_mset\_transfer*[*transfer\_rule*]:  
*R 0 0 ⟹ rel\_fun R (rel\_fun R R) op + op + ⟹ (rel\_fun (rel\_mset R) R) sum\_mset sum\_mset*  
 ⟨proof⟩

**lemma** *Sigma\_mset\_transfer*[*transfer\_rule*]:  
 (*rel\_fun (rel\_mset R) (rel\_fun (rel\_fun R (rel\_mset S)) (rel\_mset (rel\_prod R S)))*)

*Sigma\_mset Sigma\_mset*  
 ⟨proof⟩

## 2.12 Even More about Multisets

### 2.12.1 Multisets and functions

**lemma** *range\_image\_mset*:  
 assumes  $set\_mset\ Ds \subseteq range\ f$   
 shows  $Ds \in range\ (image\_mset\ f)$   
 ⟨proof⟩

### 2.12.2 Multisets and lists

**definition** *list\_of\_mset* :: 'a multiset  $\Rightarrow$  'a list **where**  
*list\_of\_mset*  $m = (SOME\ l.\ m = mset\ l)$

**lemma** *list\_of\_mset\_exi*:  $\exists l.\ m = mset\ l$   
 ⟨proof⟩

**lemma** [*simp*]:  $mset\ (list\_of\_mset\ m) = m$   
 ⟨proof⟩

**lemma** *range\_mset\_map*:  
 assumes  $set\_mset\ Ds \subseteq range\ f$   
 shows  $Ds \in range\ (\lambda Cl.\ mset\ (map\ f\ Cl))$   
 ⟨proof⟩

**lemma** *list\_of\_mset\_empty[iff]*:  $list\_of\_mset\ m = [] \iff m = \{\#\}$   
 ⟨proof⟩

**lemma** *in\_mset\_conv\_nth*:  $(x \in\# mset\ xs) = (\exists i < length\ xs.\ xs\ !\ i = x)$   
 ⟨proof⟩

**lemma** *in\_mset\_sum\_list*:  
 assumes  $L \in\# LL$   
 assumes  $LL \in set\ Ci$   
 shows  $L \in\# sum\_list\ Ci$   
 ⟨proof⟩

**lemma** *in\_mset\_sum\_list2*:  
 assumes  $L \in\# sum\_list\ Ci$   
 obtains  $LL$  **where**  
 $LL \in set\ Ci$   
 $L \in\# LL$   
 ⟨proof⟩

**lemma** *subsetq\_list\_Union\_mset*:  
 assumes  $length\ Ci = n$   
 assumes  $length\ CAi = n$   
 assumes  $\forall i < n.\ Ci\ !\ i \subseteq\# CAi\ !\ i$   
 shows  $\bigcup\#mset\ Ci \subseteq\# \bigcup\#mset\ CAi$   
 ⟨proof⟩

### 2.12.3 More on multisets and functions

**lemma** *image\_mset\_of\_subset\_list*:  
 assumes  $image\_mset\ \eta\ C' = mset\ lC$   
 shows  $\exists qC'.\ map\ \eta\ qC' = lC \wedge mset\ qC' = C'$   
 ⟨proof⟩

**lemma** *image\_mset\_of\_subset*:  
 assumes  $A \subseteq\# image\_mset\ \eta\ C'$   
 shows  $\exists A'.\ image\_mset\ \eta\ A' = A \wedge A' \subseteq\# C'$   
 ⟨proof⟩

**lemma** *all\_the\_same*:  $\forall x \in\# X. x = y \implies \text{card}(\text{set\_mset } X) \leq \text{Suc } 0$   
 ⟨proof⟩

**lemma** *Melem\_subseteq\_Union\_mset*[simp]:  
**assumes**  $x \in\# T$   
**shows**  $x \subseteq\# \bigcup\# T$   
 ⟨proof⟩

**lemma** *Melem\_subset\_eq\_sum\_list* [simp]:  
**assumes**  $x \in\# \text{mset } T$   
**shows**  $x \subseteq\# \text{sum\_list } T$   
 ⟨proof⟩

**lemma** *less\_subset\_eq\_Union\_mset*[simp]:  
**assumes**  $i < \text{length } CAi$   
**shows**  $CAi ! i \subseteq\# \bigcup\# \text{mset } CAi$   
 ⟨proof⟩

**lemma** *less\_subset\_eq\_sum\_list*[simp]:  
**assumes**  $i < \text{length } CAi$   
**shows**  $CAi ! i \subseteq\# \text{sum\_list } CAi$   
 ⟨proof⟩

end

### 3 Signed (Finite) Multisets

**theory** *Signed\_Multiset*  
**imports** *Multiset\_More*  
**abbrevs**  
 ! $z = z$   
**begin**

#### 3.1 Definition of Signed Multisets

**definition** *equiv\_zmset* ::  $'a \text{ multiset} \times 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \times 'a \text{ multiset} \Rightarrow \text{bool}$  **where**  
*equiv\_zmset* =  $(\lambda(Mp, Mn) (Np, Nn). Mp + Nn = Np + Mn)$

**quotient-type**  $'a \text{ zmset} = 'a \text{ multiset} \times 'a \text{ multiset} / \text{equiv\_zmset}$   
 ⟨proof⟩

#### 3.2 Basic Operations on Signed Multisets

**instantiation** *zmset* ::  $(\text{type}) \text{ cancel\_comm\_monoid\_add}$   
**begin**

**lift-definition** *zero\_zmset* ::  $'a \text{ zmset}$  **is**  $(\{\#\}, \{\#\})$  ⟨proof⟩

**abbreviation** *empty\_zmset* ::  $'a \text{ zmset}$   $(\{\#\}_z)$  **where**  
*empty\_zmset*  $\equiv 0$

**lift-definition** *minus\_zmset* ::  $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow 'a \text{ zmset}$  **is**  
 $\lambda(Mp, Mn) (Np, Nn). (Mp + Nn, Mn + Np)$   
 ⟨proof⟩

**lift-definition** *plus\_zmset* ::  $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow 'a \text{ zmset}$  **is**  
 $\lambda(Mp, Mn) (Np, Nn). (Mp + Np, Mn + Nn)$   
 ⟨proof⟩

**instance**  
 ⟨proof⟩

end

**instantiation** *zmultiset* :: (type) group\_add  
**begin**

**lift-definition** *uminus\_zmultiset* :: 'a *zmultiset*  $\Rightarrow$  'a *zmultiset* **is**  $\lambda(Mp, Mn). (Mn, Mp)$   
(*proof*)

**instance**  
(*proof*)

end

**lift-definition** *zcount* :: 'a *zmultiset*  $\Rightarrow$  'a  $\Rightarrow$  int **is**  
 $\lambda(Mp, Mn) x. \text{int } (\text{count } Mp \ x) - \text{int } (\text{count } Mn \ x)$   
(*proof*)

**lemma** *zcount\_inject*:  $zcount \ M = zcount \ N \longleftrightarrow M = N$   
(*proof*)

**lemma** *zmultiset\_eq\_iff*:  $M = N \longleftrightarrow (\forall a. zcount \ M \ a = zcount \ N \ a)$   
(*proof*)

**lemma** *zmultiset\_eqI*:  $(\bigwedge x. zcount \ A \ x = zcount \ B \ x) \Longrightarrow A = B$   
(*proof*)

**lemma** *zcount\_uminus[simp]*:  $zcount \ (- \ A) \ x = - \ zcount \ A \ x$   
(*proof*)

**lift-definition** *add\_zmset* :: 'a  $\Rightarrow$  'a *zmultiset*  $\Rightarrow$  'a *zmultiset* **is**  
 $\lambda x \ (Mp, Mn). (\text{add\_mset } x \ Mp, Mn)$   
(*proof*)

**syntax**

*\_zmultiset* :: args  $\Rightarrow$  'a *zmultiset* ( $\{\#\_(\_)\#\}_z$ )

**translations**

$\{\#x, xs\}_z == \text{CONST } \text{add\_zmset } x \ \{\#xs\}_z$   
 $\{\#x\}_z == \text{CONST } \text{add\_zmset } x \ \{\#\}_z$

**lemma** *zcount\_empty[simp]*:  $zcount \ \{\#\}_z \ a = 0$   
(*proof*)

**lemma** *zcount\_add\_zmset[simp]*:  
 $zcount \ (\text{add\_zmset } b \ A) \ a = (\text{if } b = a \ \text{then } zcount \ A \ a + 1 \ \text{else } zcount \ A \ a)$   
(*proof*)

**lemma** *zcount\_single*:  $zcount \ \{\#b\}_z \ a = (\text{if } b = a \ \text{then } 1 \ \text{else } 0)$   
(*proof*)

**lemma** *add\_add\_same\_iff\_zmset[simp]*:  $\text{add\_zmset } a \ A = \text{add\_zmset } a \ B \longleftrightarrow A = B$   
(*proof*)

**lemma** *add\_zmset\_commute*:  $\text{add\_zmset } x \ (\text{add\_zmset } y \ M) = \text{add\_zmset } y \ (\text{add\_zmset } x \ M)$   
(*proof*)

**lemma**

*singleton\_ne\_empty\_zmset[simp]*:  $\{\#x\}_z \neq \{\#\}_z$  **and**  
*empty\_ne\_singleton\_zmset[simp]*:  $\{\#\}_z \neq \{\#x\}_z$   
(*proof*)

**lemma**

*singleton\_ne\_uminus\_singleton\_zmset[simp]*:  $\{\#x\}_z \neq - \ \{\#y\}_z$  **and**  
*uminus\_singleton\_ne\_singleton\_zmset[simp]*:  $- \ \{\#x\}_z \neq \{\#y\}_z$

*<proof>*

### 3.2.1 Conversion to Set and Membership

**definition**  $set\_zmset :: 'a\ zmultiset \Rightarrow 'a\ set$  **where**  
 $set\_zmset\ M = \{x. zcount\ M\ x \neq 0\}$

**abbreviation**  $elem\_zmset :: 'a \Rightarrow 'a\ zmultiset \Rightarrow bool$  **where**  
 $elem\_zmset\ a\ M \equiv a \in set\_zmset\ M$

**notation**

$elem\_zmset\ (op \in\#_z)$  **and**  
 $elem\_zmset\ ((\_ / \in\#_z \_)\ [51, 51]\ 50)$

**notation** (ASCII)

$elem\_zmset\ (op :\#_z)$  **and**  
 $elem\_zmset\ ((\_ / :\#_z \_)\ [51, 51]\ 50)$

**abbreviation**  $not\_elem\_zmset :: 'a \Rightarrow 'a\ zmultiset \Rightarrow bool$  **where**

$not\_elem\_zmset\ a\ M \equiv a \notin set\_zmset\ M$

**notation**

$not\_elem\_zmset\ (op \notin\#_z)$  **and**  
 $not\_elem\_zmset\ ((\_ / \notin\#_z \_)\ [51, 51]\ 50)$

**notation** (ASCII)

$not\_elem\_zmset\ (op \sim\#_z)$  **and**  
 $not\_elem\_zmset\ ((\_ / \sim\#_z \_)\ [51, 51]\ 50)$

**context**

**begin**

**qualified abbreviation**  $Ball :: 'a\ zmultiset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$  **where**

$Ball\ M \equiv Set.Ball\ (set\_zmset\ M)$

**qualified abbreviation**  $Bex :: 'a\ zmultiset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$  **where**

$Bex\ M \equiv Set.Bex\ (set\_zmset\ M)$

**end**

**syntax**

$\_ MBall :: ptnr \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool\ ((\exists\forall \_ \in\#_z \_ / \_)\ [0, 0, 10]\ 10)$   
 $\_ MBex :: ptnr \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool\ ((\exists\exists \_ \in\#_z \_ / \_)\ [0, 0, 10]\ 10)$

**syntax** (ASCII)

$\_ MBall :: ptnr \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool\ ((\exists\forall \_ :\#_z \_ / \_)\ [0, 0, 10]\ 10)$   
 $\_ MBex :: ptnr \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool\ ((\exists\exists \_ :\#_z \_ / \_)\ [0, 0, 10]\ 10)$

**translations**

$\forall x \in\#_z A. P \equiv CONST\ Signed\_Multiset.Ball\ A\ (\lambda x. P)$   
 $\exists x \in\#_z A. P \equiv CONST\ Signed\_Multiset.Bex\ A\ (\lambda x. P)$

**lemma**  $zcount\_eq\_zero\_iff: zcount\ M\ x = 0 \longleftrightarrow x \notin\#_z\ M$

*<proof>*

**lemma**  $not\_in\_iff\_zmset: x \notin\#_z\ M \longleftrightarrow zcount\ M\ x = 0$

*<proof>*

**lemma**  $zcount\_ne\_zero\_iff[simp]: zcount\ M\ x \neq 0 \longleftrightarrow x \in\#_z\ M$

*<proof>*

**lemma**  $zcount\_inI:$

**assumes**  $zcount\ M\ x = 0 \implies False$

**shows**  $x \in\#_z\ M$



*<proof>*

**lemma** *set\_zmset\_empty[simp]*:  $\text{set\_zmset } \{\#\}_z = \{\}$   
*<proof>*

**lemma** *set\_zmset\_single*:  $\text{set\_zmset } \{\#b\#}_z = \{b\}$   
*<proof>*

**lemma** *set\_zmset\_eq\_empty\_iff[simp]*:  $\text{set\_zmset } M = \{\} \longleftrightarrow M = \{\#\}_z$   
*<proof>*

**lemma** *finite\_count\_ne*:  $\text{finite } \{x. \text{count } M x \neq \text{count } N x\}$   
*<proof>*

**lemma** *finite\_set\_zmset[iff]*:  $\text{finite } (\text{set\_zmset } M)$   
*<proof>*

**lemma** *zmultiset\_nonemptyE[elim]*:  
 **assumes**  $A \neq \{\#\}_z$   
 **obtains**  $x$  **where**  $x \in \#_z A$   
*<proof>*

### 3.2.2 Union

**lemma** *zcount\_union[simp]*:  $\text{zcount } (M + N) a = \text{zcount } M a + \text{zcount } N a$   
*<proof>*

**lemma** *union\_add\_left\_zmset[simp]*:  $\text{add\_zmset } a A + B = \text{add\_zmset } a (A + B)$   
*<proof>*

**lemma** *union\_zmset\_add\_zmset\_right[simp]*:  $A + \text{add\_zmset } a B = \text{add\_zmset } a (A + B)$   
*<proof>*

**lemma** *add\_zmset\_add\_single*:  $\langle \text{add\_zmset } a A = A + \{\#a\#}_z \rangle$   
*<proof>*

### 3.2.3 Difference

**lemma** *zcount\_diff[simp]*:  $\text{zcount } (M - N) a = \text{zcount } M a - \text{zcount } N a$   
*<proof>*

**lemma** *add\_zmset\_diff\_bosides*:  $\langle \text{add\_zmset } a M - \text{add\_zmset } a A = M - A \rangle$   
*<proof>*

**lemma** *in\_diff\_zcount*:  $a \in \#_z M - N \longleftrightarrow \text{zcount } N a \neq \text{zcount } M a$   
*<proof>*

**lemma** *diff\_add\_zmset*:  
 **fixes**  $M N Q :: 'a \text{ zmultiset}$   
 **shows**  $M - (N + Q) = M - N - Q$   
*<proof>*

**lemma** *insert\_Diff\_zmset[simp]*:  $\text{add\_zmset } x (M - \{\#x\#}_z) = M$   
*<proof>*

**lemma** *diff\_union\_swap\_zmset*:  $\text{add\_zmset } b (M - \{\#a\#}_z) = \text{add\_zmset } b M - \{\#a\#}_z$   
*<proof>*

**lemma** *diff\_add\_zmset\_swap[simp]*:  $\text{add\_zmset } b M - A = \text{add\_zmset } b (M - A)$   
*<proof>*

**lemma** *diff\_diff\_add\_zmset[simp]*:  $(M :: 'a \text{ zmultiset}) - N - P = M - (N + P)$   
*<proof>*

**lemma** *zmset\_add*[*elim?*]:  
 obtains  $B$  where  $A = \text{add\_zmset } a \ B$   
 ⟨*proof*⟩

### 3.2.4 Equality of Signed Multisets

**lemma** *single\_eq\_single\_zmset*[*simp*]:  $\{\#a\# \}_z = \{\#b\# \}_z \longleftrightarrow a = b$   
 ⟨*proof*⟩

**lemma** *multi\_self\_add\_other\_not\_self\_zmset*[*simp*]:  $M = \text{add\_zmset } x \ M \longleftrightarrow \text{False}$   
 ⟨*proof*⟩

**lemma** *add\_zmset\_remove\_trivial*:  $(\text{add\_zmset } x \ M - \{\#x\# \}_z = M)$   
 ⟨*proof*⟩

**lemma** *diff\_single\_eq\_union\_zmset*:  $M - \{\#x\# \}_z = N \longleftrightarrow M = \text{add\_zmset } x \ N$   
 ⟨*proof*⟩

**lemma** *union\_single\_eq\_diff\_zmset*:  $\text{add\_zmset } x \ M = N \implies M = N - \{\#x\# \}_z$   
 ⟨*proof*⟩

**lemma** *add\_zmset\_eq\_conv\_diff*:  
 $\text{add\_zmset } a \ M = \text{add\_zmset } b \ N \longleftrightarrow$   
 $M = N \wedge a = b \vee M = \text{add\_zmset } b \ (N - \{\#a\# \}_z) \wedge N = \text{add\_zmset } a \ (M - \{\#b\# \}_z)$   
 ⟨*proof*⟩

**lemma** *add\_zmset\_eq\_conv\_ex*:  
 $(\text{add\_zmset } a \ M = \text{add\_zmset } b \ N) =$   
 $(M = N \wedge a = b \vee (\exists K. M = \text{add\_zmset } b \ K \wedge N = \text{add\_zmset } a \ K))$   
 ⟨*proof*⟩

**lemma** *multi\_member\_split*:  $\exists A. M = \text{add\_zmset } x \ A$   
 ⟨*proof*⟩

## 3.3 Conversions from and to Multisets

**lift-definition** *zmset\_of* ::  $'a \ \text{multiset} \Rightarrow 'a \ \text{zmultiset}$  is  $\lambda f. (\text{Abs\_multiset } f, \{\#\})$  ⟨*proof*⟩

**lemma** *zmset\_of\_inject*[*simp*]:  $\text{zmset\_of } M = \text{zmset\_of } N \longleftrightarrow M = N$   
 ⟨*proof*⟩

**lemma** *zmset\_of\_empty*[*simp*]:  $\text{zmset\_of } \{\#\} = \{\#\}_z$   
 ⟨*proof*⟩

**lemma** *zmset\_of\_add\_mset*[*simp*]:  $\text{zmset\_of } (\text{add\_mset } x \ M) = \text{add\_zmset } x \ (\text{zmset\_of } M)$   
 ⟨*proof*⟩

**lemma** *zcount\_of\_mset*[*simp*]:  $\text{zcount } (\text{zmset\_of } M) \ x = \text{int } (\text{count } M \ x)$   
 ⟨*proof*⟩

**lemma** *zmset\_of\_plus*:  $\text{zmset\_of } (M + N) = \text{zmset\_of } M + \text{zmset\_of } N$   
 ⟨*proof*⟩

**lift-definition** *mset\_pos* ::  $'a \ \text{zmultiset} \Rightarrow 'a \ \text{multiset}$  is  $\lambda(Mp, Mn). \text{count } (Mp - Mn)$   
 ⟨*proof*⟩

**lift-definition** *mset\_neg* ::  $'a \ \text{zmultiset} \Rightarrow 'a \ \text{multiset}$  is  $\lambda(Mp, Mn). \text{count } (Mn - Mp)$   
 ⟨*proof*⟩

**lemma**  
 $\text{zmset\_of\_inverse}$ [*simp*]:  $\text{mset\_pos } (\text{zmset\_of } M) = M$  **and**  
 $\text{minus\_zmset\_of\_inverse}$ [*simp*]:  $\text{mset\_neg } (- \text{zmset\_of } M) = M$   
 ⟨*proof*⟩

**lemma** *neg\_zmset\_pos*[simp]:  $mset\_neg (zmset\_of M) = \{\#\}$   
 ⟨proof⟩

**lemma**  
*count\_mset\_pos*[simp]:  $count (mset\_pos M) x = nat (zcount M x)$  **and**  
*count\_mset\_neg*[simp]:  $count (mset\_neg M) x = nat (- zcount M x)$   
 ⟨proof⟩

**lemma**  
*mset\_pos\_empty*[simp]:  $mset\_pos \{\#\}_z = \{\#\}$  **and**  
*mset\_neg\_empty*[simp]:  $mset\_neg \{\#\}_z = \{\#\}$   
 ⟨proof⟩

**lemma**  
*mset\_pos\_singleton*[simp]:  $mset\_pos \{\#x\# \}_z = \{\#x\# \}$  **and**  
*mset\_neg\_singleton*[simp]:  $mset\_neg \{\#x\# \}_z = \{\#\}$   
 ⟨proof⟩

**lemma**  
*mset\_pos\_neg\_partition*:  $M = zmset\_of (mset\_pos M) - zmset\_of (mset\_neg M)$  **and**  
*mset\_pos\_as\_neg*:  $zmset\_of (mset\_pos M) = zmset\_of (mset\_neg M) + M$  **and**  
*mset\_neg\_as\_pos*:  $zmset\_of (mset\_neg M) = zmset\_of (mset\_pos M) - M$   
 ⟨proof⟩

**lemma** *mset\_pos\_uminus*[simp]:  $mset\_pos (- A) = mset\_neg A$   
 ⟨proof⟩

**lemma** *mset\_neg\_uminus*[simp]:  $mset\_neg (- A) = mset\_pos A$   
 ⟨proof⟩

**lemma** *mset\_pos\_plus*[simp]:  
 $mset\_pos (A + B) = (mset\_pos A - mset\_neg B) + (mset\_pos B - mset\_neg A)$   
 ⟨proof⟩

**lemma** *mset\_neg\_plus*[simp]:  
 $mset\_neg (A + B) = (mset\_neg A - mset\_pos B) + (mset\_neg B - mset\_pos A)$   
 ⟨proof⟩

**lemma** *mset\_pos\_diff*[simp]:  
 $mset\_pos (A - B) = (mset\_pos A - mset\_pos B) + (mset\_neg B - mset\_neg A)$   
 ⟨proof⟩

**lemma** *mset\_neg\_diff*[simp]:  
 $mset\_neg (A - B) = (mset\_neg A - mset\_neg B) + (mset\_pos B - mset\_pos A)$   
 ⟨proof⟩

**lemma** *mset\_pos\_neg\_dual*:  
 $mset\_pos a + mset\_pos b + (mset\_neg a - mset\_pos b) + (mset\_neg b - mset\_pos a) =$   
 $mset\_neg a + mset\_neg b + (mset\_pos a - mset\_neg b) + (mset\_pos b - mset\_neg a)$   
 ⟨proof⟩

**lemma** *decompose\_zmset\_of2*:  
**obtains**  $A B C$  **where**  
 $M = zmset\_of A + C$  **and**  
 $N = zmset\_of B + C$   
 ⟨proof⟩

### 3.3.1 Pointwise Ordering Induced by *zcount*

**definition** *subteq\_zmset* :: 'a *zmultiset*  $\Rightarrow$  'a *zmultiset*  $\Rightarrow$  bool (**infix**  $\subseteq\#_z$  50) **where**  
 $A \subseteq\#_z B \iff (\forall a. zcount A a \leq zcount B a)$

**definition** *subset\_zmset* :: 'a *zmultiset*  $\Rightarrow$  'a *zmultiset*  $\Rightarrow$  bool (**infix**  $\subset\#_z$  50) **where**  
 $A \subset\#_z B \iff A \subseteq\#_z B \wedge A \neq B$

**abbreviation** (*input*)

$\text{supseteq\_zmset} :: 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  (**infix**  $\supseteq\#_z$  50)

**where**

$\text{supseteq\_zmset } A B \equiv B \subseteq\#_z A$

**abbreviation** (*input*)

$\text{supset\_zmset} :: 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  (**infix**  $\supset\#_z$  50)

**where**

$\text{supset\_zmset } A B \equiv B \subset\#_z A$

**notation** (*input*)

$\text{subteq\_zmset}$  (**infix**  $\subseteq\#_z$  50) **and**

$\text{supseteq\_zmset}$  (**infix**  $\supseteq\#_z$  50)

**notation** (*ASCII*)

$\text{subteq\_zmset}$  (**infix**  $\subseteq\#_z$  50) **and**

$\text{subset\_zmset}$  (**infix**  $\subset\#_z$  50) **and**

$\text{supseteq\_zmset}$  (**infix**  $\supseteq\#_z$  50) **and**

$\text{supset\_zmset}$  (**infix**  $\supset\#_z$  50)

**interpretation**  $\text{subset\_zmset}$ :  $\text{ordered\_ab\_semigroup\_add\_imp\_le } op + op - op \subseteq\#_z op \subset\#_z$   
(*proof*)

**interpretation**  $\text{subset\_zmset}$ :

$\text{ordered\_ab\_semigroup\_monoid\_add\_imp\_le } op + 0 op - op \subseteq\#_z op \subset\#_z$   
(*proof*)

**lemma**  $\text{zmset\_subset\_eqI}$ :  $(\bigwedge a. \text{zcount } A a \leq \text{zcount } B a) \Longrightarrow A \subseteq\#_z B$   
(*proof*)

**lemma**  $\text{zmset\_subset\_eq\_zcount}$ :  $A \subseteq\#_z B \Longrightarrow \text{zcount } A a \leq \text{zcount } B a$   
(*proof*)

**lemma**  $\text{zmset\_subset\_eq\_add\_zmset\_cancel}$ :  $(\text{add\_zmset } a A \subseteq\#_z \text{add\_zmset } a B \longleftrightarrow A \subseteq\#_z B)$   
(*proof*)

**lemma**  $\text{zmset\_subset\_eq\_zmultiset\_union\_diff\_commute}$ :

$A - B + C = A + C - B$  **for**  $A B C :: 'a \text{ zmultiset}$

(*proof*)

**lemma**  $\text{zmset\_subset\_eq\_insertD}$ :  $\text{add\_zmset } x A \subseteq\#_z B \Longrightarrow A \subset\#_z B$   
(*proof*)

**lemma**  $\text{zmset\_subset\_insertD}$ :  $\text{add\_zmset } x A \subset\#_z B \Longrightarrow A \subset\#_z B$   
(*proof*)

**lemma**  $\text{subset\_eq\_diff\_conv\_zmset}$ :  $A - C \subseteq\#_z B \longleftrightarrow A \subseteq\#_z B + C$   
(*proof*)

**lemma**  $\text{multi\_psub\_of\_add\_self\_zmset[simp]}$ :  $A \subset\#_z \text{add\_zmset } x A$   
(*proof*)

**lemma**  $\text{multi\_psub\_self\_zmset}$ :  $A \subset\#_z A = \text{False}$   
(*proof*)

**lemma**  $\text{zmset\_subset\_add\_zmset[simp]}$ :  $\text{add\_zmset } x N \subset\#_z \text{add\_zmset } x M \longleftrightarrow N \subset\#_z M$   
(*proof*)

**lemma**  $\text{zmset\_of\_subteq\_iff[simp]}$ :  $\text{zmset\_of } M \subseteq\#_z \text{zmset\_of } N \longleftrightarrow M \subseteq\# N$   
(*proof*)

**lemma**  $\text{zmset\_of\_subset\_iff[simp]}$ :  $\text{zmset\_of } M \subset\#_z \text{zmset\_of } N \longleftrightarrow M \subset\# N$

*<proof>*

**lemma**

*mset\_pos\_supset*:  $A \subseteq_{\#z} \text{zmset\_of } (\text{mset\_pos } A)$  **and**

*mset\_neg\_supset*:  $- A \subseteq_{\#z} \text{zmset\_of } (\text{mset\_neg } A)$

*<proof>*

**lemma** *subset\_mset\_zmsetE*:

**assumes**  $M \subseteq_{\#z} N$

**obtains**  $A B C$  **where**

$M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \subseteq_{\#} B$

*<proof>*

**lemma** *subteq\_mset\_zmsetE*:

**assumes**  $M \subseteq_{\#z} N$

**obtains**  $A B C$  **where**

$M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \subseteq_{\#} B$

*<proof>*

### 3.3.2 Subset is an Order

**interpretation** *subset\_zmset*: *order op*  $\subseteq_{\#z}$  *op*  $\subseteq_{\#z}$

*<proof>*

## 3.4 Replicate and Repeat Operations

**definition** *replicate\_zmset* ::  $\text{nat} \Rightarrow 'a \Rightarrow 'a \text{ zmultiset}$  **where**

*replicate\_zmset*  $n x = (\text{add\_zmset } x \wedge n) \{\#\}_z$

**lemma** *replicate\_zmset\_0[simp]*: *replicate\_zmset* 0  $x = \{\#\}_z$

*<proof>*

**lemma** *replicate\_zmset\_Suc[simp]*: *replicate\_zmset* (Suc  $n$ )  $x = \text{add\_zmset } x (\text{replicate\_zmset } n x)$

*<proof>*

**lemma** *count\_replicate\_zmset[simp]*:

*zcount* (*replicate\_zmset*  $n x$ )  $y = (\text{if } y = x \text{ then of\_nat } n \text{ else } 0)$

*<proof>*

**fun** *repeat\_zmset* ::  $\text{nat} \Rightarrow 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset}$  **where**

*repeat\_zmset* 0  $\_ = \{\#\}_z$  |

*repeat\_zmset* (Suc  $n$ )  $A = A + \text{repeat\_zmset } n A$

**lemma** *count\_repeat\_zmset[simp]*: *zcount* (*repeat\_zmset*  $i A$ )  $a = \text{of\_nat } i * \text{zcount } A a$

*<proof>*

**lemma** *repeat\_zmset\_right[simp]*: *repeat\_zmset*  $a (\text{repeat\_zmset } b A) = \text{repeat\_zmset } (a * b) A$

*<proof>*

**lemma** *left\_diff\_repeat\_zmset\_distrib'*:

$i \geq j \implies \text{repeat\_zmset } (i - j) u = \text{repeat\_zmset } i u - \text{repeat\_zmset } j u$

*<proof>*

**lemma** *left\_add\_mult\_distrib\_zmset*:

*repeat\_zmset*  $i u + (\text{repeat\_zmset } j u + k) = \text{repeat\_zmset } (i+j) u + k$

*<proof>*

**lemma** *repeat\_zmset\_distrib*: *repeat\_zmset*  $(m + n) A = \text{repeat\_zmset } m A + \text{repeat\_zmset } n A$

*<proof>*

**lemma** *repeat\_zmset\_distrib2[simp]*:

*repeat\_zmset*  $n (A + B) = \text{repeat\_zmset } n A + \text{repeat\_zmset } n B$

*<proof>*

**lemma** *repeat\_zmset\_replicate\_zmset*[simp]:  $\text{repeat\_zmset } n \{ \# a \# \}_z = \text{replicate\_zmset } n a$   
 ⟨proof⟩

**lemma** *repeat\_zmset\_distrib\_add\_zmset*[simp]:  
 $\text{repeat\_zmset } n (\text{add\_zmset } a A) = \text{replicate\_zmset } n a + \text{repeat\_zmset } n A$   
 ⟨proof⟩

**lemma** *repeat\_zmset\_empty*[simp]:  $\text{repeat\_zmset } n \{ \# \}_z = \{ \# \}_z$   
 ⟨proof⟩

### 3.4.1 Filter (with Comprehension Syntax)

**lift-definition** *filter\_zmset* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ zmset} \Rightarrow 'a \text{ zmset}$  is  
 $\lambda P (Mp, Mn). (\text{filter\_mset } P Mp, \text{filter\_mset } P Mn)$   
 ⟨proof⟩

**syntax** (ASCII)

$\_MCollect :: p\text{trn} \Rightarrow 'a \text{ zmset} \Rightarrow \text{bool} \Rightarrow 'a \text{ zmset} ((1 \{ \# \_ : \# z \_ / \_ \# \}))$

**syntax**

$\_MCollect :: p\text{trn} \Rightarrow 'a \text{ zmset} \Rightarrow \text{bool} \Rightarrow 'a \text{ zmset} ((1 \{ \# \_ \in \# z \_ / \_ \# \}))$

**translations**

$\{ \# x \in \# z M. P \# \} == \text{CONST filter\_zmset } (\lambda x. P) M$

**lemma** *count\_filter\_zmset*[simp]:  
 $z\text{count } (\text{filter\_zmset } P M) a = (\text{if } P a \text{ then } z\text{count } M a \text{ else } 0)$   
 ⟨proof⟩

**lemma** *filter\_empty\_zmset*[simp]:  $\text{filter\_zmset } P \{ \# \}_z = \{ \# \}_z$   
 ⟨proof⟩

**lemma** *filter\_single\_zmset*:  $\text{filter\_zmset } P \{ \# x \# \}_z = (\text{if } P x \text{ then } \{ \# x \# \}_z \text{ else } \{ \# \}_z)$   
 ⟨proof⟩

**lemma** *filter\_union\_zmset*[simp]:  $\text{filter\_zmset } P (M + N) = \text{filter\_zmset } P M + \text{filter\_zmset } P N$   
 ⟨proof⟩

**lemma** *filter\_diff\_zmset*[simp]:  $\text{filter\_zmset } P (M - N) = \text{filter\_zmset } P M - \text{filter\_zmset } P N$   
 ⟨proof⟩

**lemma** *filter\_add\_zmset*[simp]:  
 $\text{filter\_zmset } P (\text{add\_zmset } x A) =$   
 $(\text{if } P x \text{ then } \text{add\_zmset } x (\text{filter\_zmset } P A) \text{ else } \text{filter\_zmset } P A)$   
 ⟨proof⟩

**lemma** *zmset\_filter\_mono*:  
**assumes**  $A \subseteq \# z B$   
**shows**  $\text{filter\_zmset } f A \subseteq \# z \text{filter\_zmset } f B$   
 ⟨proof⟩

**lemma** *filter\_filter\_zmset*:  $\text{filter\_zmset } P (\text{filter\_zmset } Q M) = \{ \# x \in \# M. Q x \wedge P x \# \}$   
 ⟨proof⟩

**lemma**

$\text{filter\_zmset\_True}$ [simp]:  $\{ \# y \in \# z M. \text{True} \# \} = M$  **and**  
 $\text{filter\_zmset\_False}$ [simp]:  $\{ \# y \in \# z M. \text{False} \# \} = \{ \# \}_z$   
 ⟨proof⟩

## 3.5 Uncategorized

**lemma** *multi\_drop\_mem\_not\_eq\_zmset*:  $B - \{ \# c \# \}_z \neq B$   
 ⟨proof⟩

**lemma** *zmset\_partition*:  $M = \{ \# x \in \# z M. P x \# \} + \{ \# x \in \# z M. \neg P x \# \}$   
 ⟨proof⟩

### 3.6 Image

**definition** *image\_zmset* :: ('a ⇒ 'b) ⇒ 'a zmset ⇒ 'b zmset **where**  
 *image\_zmset* f M =  
 zmset\_of (fold\_mset (add\_mset ∘ f) {#} (mset\_pos M)) -  
 zmset\_of (fold\_mset (add\_mset ∘ f) {#} (mset\_neg M))

### 3.7 Multiset Order

**instantiation** *zmset* :: (preorder) order  
**begin**

**lift-definition** *less\_zmset* :: 'a zmset ⇒ 'a zmset ⇒ bool **is**  
 λ(Mp, Mn) (Np, Nn). Mp + Nn < Mn + Np  
 ⟨proof⟩

**definition** *less\_eq\_zmset* :: 'a zmset ⇒ 'a zmset ⇒ bool **where**  
 *less\_eq\_zmset* M' M ⇔ M' < M ∨ M' = M

**instance**  
 ⟨proof⟩

**end**

**instance** *zmset* :: (preorder) ordered\_cancel\_comm\_monoid\_add  
 ⟨proof⟩

**instance** *zmset* :: (preorder) ordered\_ab\_group\_add  
 ⟨proof⟩

**instantiation** *zmset* :: (linorder) distrib\_lattice  
**begin**

**definition** *inf\_zmset* :: 'a zmset ⇒ 'a zmset ⇒ 'a zmset **where**  
 *inf\_zmset* A B = (if A < B then A else B)

**definition** *sup\_zmset* :: 'a zmset ⇒ 'a zmset ⇒ 'a zmset **where**  
 *sup\_zmset* A B = (if B > A then B else A)

**lemma** *not\_lt\_iff\_ge\_zmset*: ¬ x < y ⇔ x ≥ y **for** x y :: 'a zmset  
 ⟨proof⟩

**instance**  
 ⟨proof⟩

**end**

**lemma** *zmset\_of\_less*: zmset\_of M < zmset\_of N ⇔ M < N  
 ⟨proof⟩

**lemma** *zmset\_of\_le*: zmset\_of M ≤ zmset\_of N ⇔ M ≤ N  
 ⟨proof⟩

**instance** *zmset* :: (preorder) ordered\_ab\_semigroup\_add  
 ⟨proof⟩

**lemma** *uminus\_add\_conv\_diff\_mset*[cancelation\_simproc\_pre]: (-a + b = b - a) **for** a :: 'a zmset  
 ⟨proof⟩

**lemma** *uminus\_add\_add\_uminus*[cancelation\_simproc\_pre]: (b - a + c = b + c - a) **for** a :: 'a zmset  
 ⟨proof⟩

**lemma** *add\_zmset\_eq\_add\_NO\_MATCH*[cancelation\_simproc\_pre]:

$\langle NO\_MATCH \{ \# \}_z H \implies add\_zmset\ a\ H = \{ \#a\# \}_z + H \rangle$   
 $\langle proof \rangle$

**lemma** *repeat\_zmset\_iterate\_add*:  $\langle repeat\_zmset\ n\ M = iterate\_add\ n\ M \rangle$   
 $\langle proof \rangle$

**declare** *repeat\_zmset\_iterate\_add*[*cancelation\_simproc\_pre*]

**declare** *repeat\_zmset\_iterate\_add*[*symmetric, cancelation\_simproc\_post*]

$\langle ML \rangle$

**lemma** *zmset\_subseteq\_add\_iff1*:  
 $\langle j \leq i \implies (repeat\_zmset\ i\ u + m \subseteq_{\#z} repeat\_zmset\ j\ u + n) = (repeat\_zmset\ (i - j)\ u + m \subseteq_{\#z} n) \rangle$   
 $\langle proof \rangle$

**lemma** *zmset\_subseteq\_add\_iff2*:  
 $\langle i \leq j \implies (repeat\_zmset\ i\ u + m \subseteq_{\#z} repeat\_zmset\ j\ u + n) = (m \subseteq_{\#z} repeat\_zmset\ (j - i)\ u + n) \rangle$   
 $\langle proof \rangle$

**lemma** *zmset\_subset\_add\_iff1*:  
 $\langle j \leq i \implies (repeat\_zmset\ i\ u + m \subset_{\#z} repeat\_zmset\ j\ u + n) = (repeat\_zmset\ (i - j)\ u + m \subset_{\#z} n) \rangle$   
 $\langle proof \rangle$

**lemma** *zmset\_subset\_add\_iff2*:  
 $\langle i \leq j \implies (repeat\_zmset\ i\ u + m \subset_{\#z} repeat\_zmset\ j\ u + n) = (m \subset_{\#z} repeat\_zmset\ (j - i)\ u + n) \rangle$   
 $\langle proof \rangle$

$\langle ML \rangle$

**instance** *zmultiset* :: (*preorder*) *ordered\_ab\_semigroup\_add\_imp\_le*  
 $\langle proof \rangle$

$\langle ML \rangle$

**instance** *zmultiset* :: (*linorder*) *linordered\_cancel\_ab\_semigroup\_add*  
 $\langle proof \rangle$

**lemma** *less\_mset\_zmsetE*:  
**assumes**  $M < N$   
**obtains**  $A\ B\ C$  **where**  
 $M = zmset\_of\ A + C$  **and**  $N = zmset\_of\ B + C$  **and**  $A < B$   
 $\langle proof \rangle$

**lemma** *less\_eq\_mset\_zmsetE*:  
**assumes**  $M \leq N$   
**obtains**  $A\ B\ C$  **where**  
 $M = zmset\_of\ A + C$  **and**  $N = zmset\_of\ B + C$  **and**  $A \leq B$   
 $\langle proof \rangle$

**lemma** *subset\_eq\_imp\_le\_zmset*:  $M \subseteq_{\#z} N \implies M \leq N$   
 $\langle proof \rangle$

**lemma** *subset\_imp\_less\_zmset*:  $M \subset_{\#z} N \implies M < N$   
 $\langle proof \rangle$

**lemma** *lt\_imp\_ex\_zcount\_lt*:  
**assumes**  $m\_lt\_n: M < N$   
**shows**  $\exists y. zcount\ M\ y < zcount\ N\ y$   
 $\langle proof \rangle$

**instance** *zmultiset* :: (*preorder*) *no\_top*  
 $\langle proof \rangle$



end

## 4 Nested Multisets

**theory** *Nested\_Multiset*  
**imports** *HOL-Library.Multiset\_Order*  
**begin**

**declare** *multiset.map\_comp* [*simp*]  
**declare** *multiset.map\_cong* [*cong*]

### 4.1 Type Definition

**datatype** *'a nmultiset* =  
 | *Elem 'a*  
 | *MSet 'a nmultiset multiset*

**inductive** *no\_elem* :: *'a nmultiset*  $\Rightarrow$  *bool* **where**  
 ( $\wedge X. X \in\# M \Rightarrow \text{no\_elem } X$ )  $\Rightarrow$  *no\_elem* (*MSet* *M*)

**inductive-set** *sub\_nmultiset* :: (*'a nmultiset*  $\times$  *'a nmultiset*) *set* **where**  
 $X \in\# M \Rightarrow (X, \text{MSet } M) \in \text{sub\_nmultiset}$

**lemma** *wf\_sub\_nmultiset*[*simp*]: *wf* *sub\_nmultiset*  
*<proof>*

**primrec** *depth\_nmultiset* :: *'a nmultiset*  $\Rightarrow$  *nat* ( $|\_$ ) **where**  
 | *Elem a* = 0  
 | *MSet* *M* = (let *X* = *set\_mset* (*image\_mset* *depth\_nmultiset* *M*) in if *X* = {} then 0 else *Suc* (*Max* *X*))

**lemma** *depth\_nmultiset\_MSet*:  $x \in\# M \Rightarrow |x| < |\text{MSet } M|$   
*<proof>*

**declare** *depth\_nmultiset.simps*(2)[*simp del*]

### 4.2 Dershowitz and Manna's Nested Multiset Order

The Dershowitz–Manna extension:

**definition** *less\_multiset\_ext<sub>DM</sub>* :: (*'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a multiset*  $\Rightarrow$  *'a multiset*  $\Rightarrow$  *bool* **where**  
 $\text{less\_multiset\_ext}_{DM} R M N \longleftrightarrow$   
 $(\exists X Y. X \neq \{\#\} \wedge X \subseteq\# N \wedge M = (N - X) + Y \wedge (\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge R k a)))$

**lemma** *less\_multiset\_ext<sub>DM</sub>\_imp\_mult*:  
**assumes**  
 $N\_A: \text{set\_mset } N \subseteq A$  **and**  $M\_A: \text{set\_mset } M \subseteq A$  **and** *less*: *less\_multiset\_ext<sub>DM</sub>* *R M N*  
**shows**  $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$   
*<proof>*

**lemma** *mult\_imp\_less\_multiset\_ext<sub>DM</sub>*:  
**assumes**  
 $N\_A: \text{set\_mset } N \subseteq A$  **and**  $M\_A: \text{set\_mset } M \subseteq A$  **and**  
*trans*:  $\forall x \in A. \forall y \in A. \forall z \in A. R x y \longrightarrow R y z \longrightarrow R x z$  **and**  
*in\_mult*:  $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$   
**shows** *less\_multiset\_ext<sub>DM</sub>* *R M N*  
*<proof>*

**lemma** *less\_multiset\_ext<sub>DM</sub>\_iff\_mult*:  
**assumes**  
 $N\_A: \text{set\_mset } N \subseteq A$  **and**  $M\_A: \text{set\_mset } M \subseteq A$  **and**  
*trans*:  $\forall x \in A. \forall y \in A. \forall z \in A. R x y \longrightarrow R y z \longrightarrow R x z$   
**shows** *less\_multiset\_ext<sub>DM</sub>* *R M N*  $\longleftrightarrow (M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$

```

⟨proof⟩

instantiation nmultiset :: (preorder) preorder
begin

lemma less_multiset_ext_DM_cong[fundef_cong]:
  (∧ X Y k a. X ≠ {#} ⇒ X ⊆# N ⇒ M = (N - X) + Y ⇒ k ∈# Y ⇒ R k a = S k a) ⇒
  less_multiset_ext_DM R M N = less_multiset_ext_DM S M N
  ⟨proof⟩

function less_nmultiset :: 'a nmultiset ⇒ 'a nmultiset ⇒ bool where
  less_nmultiset (Elem a) (Elem b) ↔ a < b
| less_nmultiset (Elem a) (MSet M) ↔ True
| less_nmultiset (MSet M) (Elem a) ↔ False
| less_nmultiset (MSet M) (MSet N) ↔ less_multiset_ext_DM less_nmultiset M N
  ⟨proof⟩

termination
  ⟨proof⟩

lemmas less_nmultiset_induct =
  less_nmultiset.induct[case_names Elem_Elem Elem_MSet MSet_Elem MSet_MSet]

lemmas less_nmultiset_cases =
  less_nmultiset.cases[case_names Elem_Elem Elem_MSet MSet_Elem MSet_MSet]

lemma trans_less_nmultiset: X < Y ⇒ Y < Z ⇒ X < Z for X Y Z :: 'a nmultiset
  ⟨proof⟩

lemma irrefl_less_nmultiset:
  fixes X :: 'a nmultiset
  shows X < X ⇒ False
  ⟨proof⟩

lemma antisym_less_nmultiset:
  fixes X Y :: 'a nmultiset
  shows X < Y ⇒ Y < X ⇒ False
  ⟨proof⟩

definition less_eq_nmultiset :: 'a nmultiset ⇒ 'a nmultiset ⇒ bool where
  less_eq_nmultiset X Y = (X < Y ∨ X = Y)

instance
  ⟨proof⟩

lemma less_multiset_ext_DM_less: less_multiset_ext_DM op < = (op <)
  ⟨proof⟩

end

instantiation nmultiset :: (order) order
begin

instance
  ⟨proof⟩

end

instantiation nmultiset :: (linorder) linorder
begin

lemma total_less_nmultiset:
  fixes X Y :: 'a nmultiset
  shows ¬ X < Y ⇒ Y ≠ X ⇒ Y < X

```

*<proof>*

**instance**

*<proof>*

**end**

**lemma** *less\_depth\_nmsset\_imp\_less\_nmultiset*:  $|X| < |Y| \implies X < Y$

*<proof>*

**lemma** *less\_nmultiset\_imp\_le\_depth\_nmsset*:  $X < Y \implies |X| \leq |Y|$

*<proof>*

**lemma** *eq\_mlex\_I*:

**fixes**  $f :: 'a \Rightarrow \text{nat}$  **and**  $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

**assumes**  $\bigwedge X Y. f X < f Y \implies R X Y$  **and** *antisymp*  $R$

**shows**  $\{(X, Y). R X Y\} = f < *mlex* > \{(X, Y). f X = f Y \wedge R X Y\}$

*<proof>*

**instantiation** *nmultiset* :: (*wellorder*) *wellorder*

**begin**

**lemma** *depth\_nmsset\_eq\_0[simp]*:  $|X| = 0 \iff (X = \text{MSet } \{\#\} \vee (\exists x. X = \text{Elem } x))$

*<proof>*

**lemma** *depth\_nmsset\_eq\_Suc[simp]*:  $|X| = \text{Suc } n \iff$

$(\exists N. X = \text{MSet } N \wedge (\exists Y \in \# N. |Y| = n) \wedge (\forall Y \in \# N. |Y| \leq n))$

*<proof>*

**lemma** *wf\_less\_nmultiset\_depth*:

*wf*  $\{(X :: 'a \text{ nmultiset}, Y). |X| = i \wedge |Y| = i \wedge X < Y\}$

*<proof>*

**lemma** *wf\_less\_nmultiset*: *wf*  $\{(X :: 'a \text{ nmultiset}, Y :: 'a \text{ nmultiset}). X < Y\}$  (**is** *wf ?R*)

*<proof>*

**instance** *<proof>*

**end**

**end**

## 5 Hereditar(il)y (Finite) Multisets

**theory** *Hereditary\_Multiset*

**imports** *Multiset\_More\_Nested\_Multiset*

**begin**

### 5.1 Type Definition

**datatype** *hmultiset* =

*HMSet* (*hmsetmset*: *hmultiset multiset*)

**lemma** *hmsetmset\_inject[simp]*:  $\text{hmsetmset } A = \text{hmsetmset } B \iff A = B$

*<proof>*

**primrec** *Rep\_hmultiset* :: *hmultiset*  $\Rightarrow$  *unit nmultiset* **where**

*Rep\_hmultiset* (*HMSet*  $M$ ) = *MSet* (*image\_mset* *Rep\_hmultiset*  $M$ )

**primrec** (*nonexhaustive*) *Abs\_hmultiset* :: *unit nmultiset*  $\Rightarrow$  *hmultiset* **where**

*Abs\_hmultiset* (*MSet*  $M$ ) = *HMSet* (*image\_mset* *Abs\_hmultiset*  $M$ )

**lemma** *type\_definition\_hmultiset*: *type\_definition* *Rep\_hmultiset* *Abs\_hmultiset*  $\{X. \text{no\_elem } X\}$

*<proof>*

**setup-lifting** *type\_definition\_hmultiset*

**lemma** *HMSet\_alt*:  $HMSet = Abs\_hmultiset \circ MSet \circ image\_mset \ Rep\_hmultiset$   
*<proof>*

**lemma** *HMSet\_transfer*[*transfer\_rule*]:  $rel\_fun (rel\_mset \ pcr\_hmultiset) \ pcr\_hmultiset \ MSet \ HMSet$   
*<proof>*

## 5.2 Restriction of Dershowitz and Manna's Nested Multiset Order

**instantiation** *hmultiset* :: *linorder*

**begin**

**lift-definition** *less\_hmultiset* ::  $hmultiset \Rightarrow hmultiset \Rightarrow bool$  **is** *op* < *<proof>*

**lift-definition** *less\_eq\_hmultiset* ::  $hmultiset \Rightarrow hmultiset \Rightarrow bool$  **is** *op* ≤ *<proof>*

**instance**

*<proof>*

**end**

**lemma** *less\_HMSet\_iff\_less\_multiset\_extDM*:  $HMSet \ M < HMSet \ N \longleftrightarrow less\_multiset\_extDM \ (op \ <) \ M \ N$   
*<proof>*

**lemma** *hmsetmset\_less[simp]*:  $hmsetmset \ M < hmsetmset \ N \longleftrightarrow M < N$   
*<proof>*

**lemma** *hmsetmset\_le[simp]*:  $hmsetmset \ M \leq hmsetmset \ N \longleftrightarrow M \leq N$   
*<proof>*

**lemma** *wf\_less\_hmultiset*:  $wf \ \{(X :: hmultiset, Y :: hmultiset). X < Y\}$   
*<proof>*

**instance** *hmultiset* :: *wellorder*

*<proof>*

**lemma** *HMSet\_less[simp]*:  $HMSet \ M < HMSet \ N \longleftrightarrow M < N$   
*<proof>*

**lemma** *HMSet\_le[simp]*:  $HMSet \ M \leq HMSet \ N \longleftrightarrow M \leq N$   
*<proof>*

**lemma** *mem\_imp\_less\_HMSet*:  $k \in\# \ L \Longrightarrow k < HMSet \ L$   
*<proof>*

**lemma** *mem\_hmsetmset\_imp\_less*:  $M \in\# \ hmsetmset \ N \Longrightarrow M < N$   
*<proof>*

## 5.3 Disjoint Union and Truncated Difference

**instantiation** *hmultiset* :: *cancel\_comm\_monoid\_add*

**begin**

**definition** *zero\_hmultiset* :: *hmultiset* **where**

$0 = HMSet \ \{\#\}$

**lemma** *hmsetmset\_empty\_iff[simp]*:  $hmsetmset \ n = \{\#\} \longleftrightarrow n = 0$   
*<proof>*

**lemma** *hmsetmset\_0[simp]*:  $hmsetmset \ 0 = \{\#\}$   
*<proof>*

**lemma**

*HMSet\_eq\_0\_iff*[simp]:  $HMSet\ m = 0 \iff m = \{\#\}$  **and**  
*zero\_eq\_HMSet*[simp]:  $0 = HMSet\ m \iff m = \{\#\}$   
(proof)

**definition** *plus\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *hmultiset* **where**  
 $A + B = HMSet\ (hmssetmset\ A + hmssetmset\ B)$

**definition** *minus\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *hmultiset* **where**  
 $A - B = HMSet\ (hmssetmset\ A - hmssetmset\ B)$

**instance**  
(proof)

**end**

**lemma** *HMSet\_plus*:  $HMSet\ (A + B) = HMSet\ A + HMSet\ B$   
(proof)

**lemma** *HMSet\_diff*:  $HMSet\ (A - B) = HMSet\ A - HMSet\ B$   
(proof)

**lemma** *hmssetmset\_plus*:  $hmssetmset\ (M + N) = hmssetmset\ M + hmssetmset\ N$   
(proof)

**lemma** *hmssetmset\_diff*:  $hmssetmset\ (M - N) = hmssetmset\ M - hmssetmset\ N$   
(proof)

**lemma** *diff\_diff\_add\_hmsset*[simp]:  $a - b - c = a - (b + c)$  **for**  $a\ b\ c :: hmultiset$   
(proof)

**instance** *hmultiset* :: *comm\_monoid\_diff*  
(proof)

(ML)

**instance** *hmultiset* :: *ordered\_cancel\_comm\_monoid\_add*  
(proof)

**instance** *hmultiset* :: *ordered\_ab\_semigroup\_add\_imp\_le*  
(proof)

**instantiation** *hmultiset* :: *order\_bot*  
**begin**

**definition** *bot\_hmultiset* :: *hmultiset* **where**  
 $bot\_hmultiset = 0$

**instance**  
(proof)

**end**

**instance** *hmultiset* :: *no\_top*  
(proof)

**lemma** *le\_minus\_plus\_same\_hmsset*:  $m \leq m - n + n$  **for**  $m\ n :: hmultiset$   
(proof)

## 5.4 Infimum and Supremum

**instantiation** *hmultiset* :: *distrib\_lattice*  
**begin**

**definition** *inf\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *hmultiset* **where**  
*inf\_hmultiset* A B = (if A < B then A else B)

**definition** *sup\_hmultiset* :: *hmultiset*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *hmultiset* **where**  
*sup\_hmultiset* A B = (if B > A then B else A)

**instance**

*<proof>*

**end**

## 5.5 Inequalities

**lemma** *zero\_le\_hmset[simp]*:  $0 \leq M$  **for**  $M :: \text{hmultiset}$   
*<proof>*

**lemma**

*le\_add1\_hmset*:  $n \leq n + m$  **and**

*le\_add2\_hmset*:  $n \leq m + n$  **for**  $n :: \text{hmultiset}$

*<proof>*

**lemma** *le\_zero\_eq\_hmset[simp]*:  $M \leq 0 \iff M = 0$  **for**  $M :: \text{hmultiset}$   
*<proof>*

**lemma** *not\_less\_zero\_hmset[simp]*:  $\neg M < 0$  **for**  $M :: \text{hmultiset}$   
*<proof>*

**lemma** *not\_gr\_zero\_hmset[simp]*:  $\neg 0 < M \iff M = 0$  **for**  $M :: \text{hmultiset}$   
*<proof>*

**lemma** *zero\_less\_iff\_neq\_zero\_hmset*:  $0 < M \iff M \neq 0$  **for**  $M :: \text{hmultiset}$   
*<proof>*

**lemma** *zero\_less\_HMSet\_iff[simp]*:  $0 < \text{HMSet } M \iff M \neq \{\#\}$   
*<proof>*

**lemma** *gr\_zeroI\_hmset*:  $(M = 0 \implies \text{False}) \implies 0 < M$  **for**  $M :: \text{hmultiset}$   
*<proof>*

**lemma** *gr\_implies\_not\_zero\_hmset*:  $M < N \implies N \neq 0$  **for**  $M N :: \text{hmultiset}$   
*<proof>*

**lemma** *add\_eq\_0\_iff\_both\_eq\_0\_hmset[simp]*:  $M + N = 0 \iff M = 0 \wedge N = 0$  **for**  $M N :: \text{hmultiset}$   
*<proof>*

**lemma** *trans\_less\_add1\_hmset*:  $i < j \implies i < j + m$  **for**  $i j m :: \text{hmultiset}$   
*<proof>*

**lemma** *trans\_less\_add2\_hmset*:  $i < j \implies i < m + j$  **for**  $i j m :: \text{hmultiset}$   
*<proof>*

**lemma** *trans\_le\_add1\_hmset*:  $i \leq j \implies i \leq j + m$  **for**  $i j m :: \text{hmultiset}$   
*<proof>*

**lemma** *trans\_le\_add2\_hmset*:  $i \leq j \implies i \leq m + j$  **for**  $i j m :: \text{hmultiset}$   
*<proof>*

**lemma** *diff\_le\_self\_hmset*:  $m - n \leq m$  **for**  $m n :: \text{hmultiset}$   
*<proof>*

**end**

## 6 Signed Hereditar(il)y (Finite) Multisets

```
theory Signed_Hereditary_Multiset
imports Signed_Multiset Hereditary_Multiset
begin
```

### 6.1 Type Definition

```
typedef zhmultiset = UNIV :: hmultiset zmultiset set
  morphisms zhmssetmset ZHMSet
  ⟨proof⟩
```

```
lemmas ZHMSet_inverse[simp] = ZHMSet_inverse[OF UNIV_I]
lemmas ZHMSet_inject[simp] = ZHMSet_inject[OF UNIV_I UNIV_I]
```

```
declare
  zhmssetmset_inverse [simp]
  zhmssetmset_inject [simp]
```

```
setup-lifting type_definition_zhmultiset
```

### 6.2 Multiset Order

```
instantiation zhmultiset :: linorder
begin
```

```
lift-definition less_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  bool is op < ⟨proof⟩
lift-definition less_eq_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  bool is op  $\leq$  ⟨proof⟩
```

```
instance
  ⟨proof⟩
```

```
end
```

```
lemmas ZHMSet_less[simp] = less_zhmultiset.abs_eq
lemmas ZHMSet_le[simp] = less_eq_zhmultiset.abs_eq
lemmas zhmssetmset_less[simp] = less_zhmultiset.rep_eq[symmetric]
lemmas zhmssetmset_le[simp] = less_eq_zhmultiset.rep_eq[symmetric]
```

### 6.3 Embedding and Projections of Syntactic Ordinals

```
abbreviation zhmsset_of :: hmultiset  $\Rightarrow$  zhmultiset where
  zhmsset_of M  $\equiv$  ZHMSet (zmset_of (hmssetmset M))
```

```
lemma zhmsset_of_inject[simp]: zhmsset_of M = zhmsset_of N  $\longleftrightarrow$  M = N
  ⟨proof⟩
```

```
lemma zhmsset_of_less: zhmsset_of M < zhmsset_of N  $\longleftrightarrow$  M < N
  ⟨proof⟩
```

```
lemma zhmsset_of_le: zhmsset_of M  $\leq$  zhmsset_of N  $\longleftrightarrow$  M  $\leq$  N
  ⟨proof⟩
```

```
abbreviation hmsset_pos :: zhmultiset  $\Rightarrow$  hmultiset where
  hmsset_pos M  $\equiv$  HMSset (mset_pos (zhmssetmset M))
```

```
abbreviation hmsset_neg :: zhmultiset  $\Rightarrow$  hmultiset where
  hmsset_neg M  $\equiv$  HMSset (mset_neg (zhmssetmset M))
```

### 6.4 Disjoint Union and Difference

```
instantiation zhmultiset :: cancel_comm_monoid_add
begin
```

**lift-definition** `zero_zhmultiset` :: `zhmultiset` **is**  $\{\#\}_z$   $\langle$ proof $\rangle$

**lift-definition** `plus_zhmultiset` :: `zhmultiset`  $\Rightarrow$  `zhmultiset`  $\Rightarrow$  `zhmultiset` **is**  
 $\lambda A B. A + B$   $\langle$ proof $\rangle$

**lift-definition** `minus_zhmultiset` :: `zhmultiset`  $\Rightarrow$  `zhmultiset`  $\Rightarrow$  `zhmultiset` **is**  
 $\lambda A B. A - B$   $\langle$ proof $\rangle$

**lemmas** `ZHMSet_plus` = `plus_zhmultiset.abs_eq[symmetric]`

**lemmas** `ZHMSet_diff` = `minus_zhmultiset.abs_eq[symmetric]`

**lemmas** `zhmsetmset_plus` = `plus_zhmultiset.rep_eq`

**lemmas** `zhmsetmset_diff` = `minus_zhmultiset.rep_eq`

**lemma** `zhmset_of_plus`: `zhmset_of`  $(A + B) = \text{zhmset\_of } A + \text{zhmset\_of } B$   
 $\langle$ proof $\rangle$

**lemma** `hmsetmset_0[simp]`: `hmsetmset`  $0 = \{\#\}$   
 $\langle$ proof $\rangle$

**instance**  
 $\langle$ proof $\rangle$

**end**

**lemma** `zhmset_of_0`: `zhmset_of`  $0 = 0$   
 $\langle$ proof $\rangle$

**lemma** `hmset_pos_plus`:  
`hmset_pos`  $(A + B) = (\text{hmset\_pos } A - \text{hmset\_neg } B) + (\text{hmset\_pos } B - \text{hmset\_neg } A)$   
 $\langle$ proof $\rangle$

**lemma** `hmset_neg_plus`:  
`hmset_neg`  $(A + B) = (\text{hmset\_neg } A - \text{hmset\_pos } B) + (\text{hmset\_neg } B - \text{hmset\_pos } A)$   
 $\langle$ proof $\rangle$

**lemma** `zhmset_pos_neg_partition`: `M` = `zhmset_of`  $(\text{hmset\_pos } M) - \text{zhmset\_of } (\text{hmset\_neg } M)$   
 $\langle$ proof $\rangle$

**lemma** `zhmset_pos_as_neg`: `zhmset_of`  $(\text{hmset\_pos } M) = \text{zhmset\_of } (\text{hmset\_neg } M) + M$   
 $\langle$ proof $\rangle$

**lemma** `zhmset_neg_as_pos`: `zhmset_of`  $(\text{hmset\_neg } M) = \text{zhmset\_of } (\text{hmset\_pos } M) - M$   
 $\langle$ proof $\rangle$

**lemma** `hmset_pos_neg_dual`:  
`hmset_pos`  $a + \text{hmset\_pos } b + (\text{hmset\_neg } a - \text{hmset\_pos } b) + (\text{hmset\_neg } b - \text{hmset\_pos } a) =$   
`hmset_neg`  $a + \text{hmset\_neg } b + (\text{hmset\_pos } a - \text{hmset\_neg } b) + (\text{hmset\_pos } b - \text{hmset\_neg } a)$   
 $\langle$ proof $\rangle$

**lemma** `zhmset_of_sum_list`: `zhmset_of`  $(\text{sum\_list } Ms) = \text{sum\_list } (\text{map } \text{zhmset\_of } Ms)$   
 $\langle$ proof $\rangle$

**lemma** `less_hmset_zhmsetE`:  
**assumes**  $m\_lt\_n$ :  $M < N$   
**obtains**  $A \ B \ C$  **where**  $M = \text{zhmset\_of } A + C$  **and**  $N = \text{zhmset\_of } B + C$  **and**  $A < B$   
 $\langle$ proof $\rangle$

**lemma** `less_eq_hmset_zhmsetE`:  
**assumes**  $m\_le\_n$ :  $M \leq N$   
**obtains**  $A \ B \ C$  **where**  $M = \text{zhmset\_of } A + C$  **and**  $N = \text{zhmset\_of } B + C$  **and**  $A \leq B$   
 $\langle$ proof $\rangle$

**instantiation** `zhmultiset` :: `ab_group_add`



**begin**

**lift-definition**  $uminus\_zhmultiset :: zhmultiset \Rightarrow zhmultiset$  **is**  $\lambda A. - A$   $\langle proof \rangle$

**lemmas**  $ZHMSet\_uminus = uminus\_zhmultiset.abs\_eq[symmetric]$

**lemmas**  $hmsetmset\_uminus = uminus\_zhmultiset.rep\_eq$

**instance**

$\langle proof \rangle$

**end**

## 6.5 Infimum and Supremum

**instance**  $zhmultiset :: ordered\_cancel\_comm\_monoid\_add$

$\langle proof \rangle$

**instance**  $zhmultiset :: ordered\_ab\_group\_add$

$\langle proof \rangle$

**instantiation**  $zhmultiset :: distrib\_lattice$

**begin**

**definition**  $inf\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset$  **where**

$inf\_zhmultiset A B = (if A < B then A else B)$

**definition**  $sup\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset$  **where**

$sup\_zhmultiset A B = (if B > A then B else A)$

**instance**

$\langle proof \rangle$

**end**

**end**

## 7 Syntactic Ordinals in Cantor Normal Form

**theory** *Syntactic\_Ordinal*

**imports** *Hereditary\_Multiset HOL-Library.Product\_Order HOL-Library.Extended\_Nat*

**begin**

### 7.1 Natural (Hessenberg) Product

**instantiation**  $hmultiset :: comm\_semiring\_1$

**begin**

**abbreviation**  $\omega\_exp :: hmultiset \Rightarrow hmultiset (\omega^\wedge)$  **where**

$\omega^\wedge \equiv \lambda m. HMSet \{\#m\# \}$

**definition**  $one\_hmultiset :: hmultiset$  **where**

$1 = \omega^\wedge 0$

**abbreviation**  $\omega :: hmultiset$  **where**

$\omega \equiv \omega^\wedge 1$

**definition**  $times\_hmultiset :: hmultiset \Rightarrow hmultiset \Rightarrow hmultiset$  **where**

$A * B = HMSet (image\_mset (case\_prod (op +)) (hmsetmset A \times\# hmsetmset B))$

**lemma**  $hmsetmset\_times:$

$hmsetmset (m * n) = image\_mset (case\_prod (op +)) (hmsetmset m \times\# hmsetmset n)$

$\langle proof \rangle$

**instance**

*<proof>*

**end**

**lemma** *empty\_times\_left\_hmset[simp]*:  $HMSet \{\#\} * M = 0$   
*<proof>*

**lemma** *empty\_times\_right\_hmset[simp]*:  $M * HMSet \{\#\} = 0$   
*<proof>*

**lemma** *singleton\_times\_left\_hmset[simp]*:  $\omega^M * N = HMSet (image\_mset ((op +) M) (hmsetmset N))$   
*<proof>*

**lemma** *singleton\_times\_right\_hmset[simp]*:  $N * \omega^M = HMSet (image\_mset ((op +) M) (hmsetmset N))$   
*<proof>*

## 7.2 Inequalities

**definition** *plus\_nmultiset* :: *unit nmultiset*  $\Rightarrow$  *unit nmultiset*  $\Rightarrow$  *unit nmultiset* **where**  
*plus\_nmultiset*  $X Y = Rep\_hmultiset (Abs\_hmultiset X + Abs\_hmultiset Y)$

**lemma** *plus\_nmultiset\_mono*:

**assumes** *less*:  $(X, Y) < (X', Y')$  **and** *no\_elem*: *no\_elem*  $X$  *no\_elem*  $Y$  *no\_elem*  $X'$  *no\_elem*  $Y'$

**shows** *plus\_nmultiset*  $X Y < plus\_nmultiset X' Y'$

*<proof>*

**lemma** *plus\_hmultiset\_transfer[transfer\_rule]*:

*(rel\_fun pcr\_hmultiset (rel\_fun pcr\_hmultiset pcr\_hmultiset)) plus\_nmultiset op +*

*<proof>*

**lemma** *Times\_mset\_monoL*:

**assumes** *less*:  $M < N$  **and** *Z\_nemp*:  $Z \neq \{\#\}$

**shows**  $M \times\# Z < N \times\# Z$

*<proof>*

**lemma** *times\_hmultiset\_monoL*:

$a < b \implies 0 < c \implies a * c < b * c$  **for**  $a b c :: hmultiset$

*<proof>*

**instance** *hmultiset* :: *linordered\_semiring\_strict*

*<proof>*

**lemma** *mult\_le\_mono1\_hmset*:  $i \leq j \implies i * k \leq j * k$  **for**  $i j k :: hmultiset$

*<proof>*

**lemma** *mult\_le\_mono2\_hmset*:  $i \leq j \implies k * i \leq k * j$  **for**  $i j k :: hmultiset$

*<proof>*

**lemma** *mult\_le\_mono\_hmset*:  $i \leq j \implies k \leq l \implies i * k \leq j * l$  **for**  $i j k l :: hmultiset$

*<proof>*

**lemma** *less\_iff\_add1\_le\_hmset*:  $m < n \iff m + 1 \leq n$  **for**  $m n :: hmultiset$

*<proof>*

**lemma** *zero\_less\_iff\_1\_le\_hmset*:  $0 < n \iff 1 \leq n$  **for**  $n :: hmultiset$

*<proof>*

**lemma** *less\_add\_1\_iff\_le\_hmset*:  $m < n + 1 \iff m \leq n$  **for**  $m n :: hmultiset$

*<proof>*

**instance** *hmultiset* :: *ordered\_cancel\_comm\_semiring*

*<proof>*

**instance** *hmultiset* :: *linordered\_semiring\_1\_strict*  
 ⟨*proof*⟩

**instance** *hmultiset* :: *bounded\_lattice\_bot*  
 ⟨*proof*⟩

**instance** *hmultiset* :: *zero\_less\_one*  
 ⟨*proof*⟩

**instance** *hmultiset* :: *linordered\_nonzero\_semiring*  
 ⟨*proof*⟩

**instance** *hmultiset* :: *semiring\_no\_zero\_divisors*  
 ⟨*proof*⟩

**lemma** *lt\_1\_iff\_eq\_0\_hmset*:  $M < 1 \longleftrightarrow M = 0$  **for**  $M :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *zero\_less\_mult\_iff\_hmset[simp]*:  $0 < m * n \longleftrightarrow 0 < m \wedge 0 < n$  **for**  $m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *one\_le\_mult\_iff\_hmset[simp]*:  $1 \leq m * n \longleftrightarrow 1 \leq m \wedge 1 \leq n$  **for**  $m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_less\_cancel2\_hmset[simp]*:  $m * k < n * k \longleftrightarrow 0 < k \wedge m < n$  **for**  $k\ m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_less\_cancel1\_hmset[simp]*:  $k * m < k * n \longleftrightarrow 0 < k \wedge m < n$  **for**  $k\ m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel1\_hmset[simp]*:  $k * m \leq k * n \longleftrightarrow (0 < k \longrightarrow m \leq n)$  **for**  $k\ m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel2\_hmset[simp]*:  $m * k \leq n * k \longleftrightarrow (0 < k \longrightarrow m \leq n)$  **for**  $k\ m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel\_left1\_hmset*:  $y > 0 \implies x \leq x * y$  **for**  $x\ y :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel\_left2\_hmset*:  $y \leq 1 \implies x * y \leq x$  **for**  $x\ y :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel\_right1\_hmset*:  $y > 0 \implies x \leq y * x$  **for**  $x\ y :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *mult\_le\_cancel\_right2\_hmset*:  $y \leq 1 \implies y * x \leq x$  **for**  $x\ y :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *le\_square\_hmset*:  $m \leq m * m$  **for**  $m :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *le\_cube\_hmset*:  $m \leq m * (m * m)$  **for**  $m :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma**  
*less\_imp\_minus\_plus\_hmset*:  $m < n \implies k < k - m + n$  **and**  
*le\_imp\_minus\_plus\_hmset*:  $m \leq n \implies k \leq k - m + n$  **for**  $k\ m\ n :: \text{hmultiset}$   
 ⟨*proof*⟩

**lemma** *gt\_0\_lt\_mult\_gt\_1\_hmset*:  
**fixes**  $m\ n :: \text{hmultiset}$   
**assumes**  $m > 0$  **and**  $n > 1$   
**shows**  $m < m * n$

*<proof>*

**instance** *hmultiset* :: *linordered\_comm\_semiring\_strict*  
*<proof>*

### 7.3 Embedding of Natural Numbers

**lemma** *of\_nat\_hmset*:  $of\_nat\ n = HMSet\ (replicate\_mset\ n\ 0)$   
*<proof>*

**lemma** *of\_nat\_inject\_hmset[simp]*:  $(of\_nat\ m :: hmultiset) = of\_nat\ n \iff m = n$   
*<proof>*

**lemma** *of\_nat\_minus\_hmset*:  $of\_nat\ (m - n) = (of\_nat\ m :: hmultiset) - of\_nat\ n$   
*<proof>*

**lemma** *plus\_of\_nat\_plus\_of\_nat\_hmset*:  
 $k + of\_nat\ m + of\_nat\ n = k + of\_nat\ (m + n)$  for  $k :: hmultiset$   
*<proof>*

**lemma** *plus\_of\_nat\_minus\_of\_nat\_hmset*:  
**fixes**  $k :: hmultiset$   
**assumes**  $n \leq m$   
**shows**  $k + of\_nat\ m - of\_nat\ n = k + of\_nat\ (m - n)$   
*<proof>*

**lemma** *of\_nat\_lt\_omega[simp]*:  $of\_nat\ n < \omega$   
*<proof>*

**lemma** *of\_nat\_ne\_omega[simp]*:  $of\_nat\ n \neq \omega$   
*<proof>*

**lemma** *of\_nat\_less\_hmset[simp]*:  $(of\_nat\ M :: hmultiset) < of\_nat\ N \iff M < N$   
*<proof>*

**lemma** *of\_nat\_le\_hmset[simp]*:  $(of\_nat\ M :: hmultiset) \leq of\_nat\ N \iff M \leq N$   
*<proof>*

**lemma** *of\_nat\_times\_omega\_exp*:  $of\_nat\ n * \omega^m = HMSet\ (replicate\_mset\ n\ m)$   
*<proof>*

**lemma** *omega\_exp\_times\_of\_nat*:  $\omega^m * of\_nat\ n = HMSet\ (replicate\_mset\ n\ m)$   
*<proof>*

### 7.4 Embedding of Extended Natural Numbers

**primrec** *hmset\_of\_enat* :: *enat*  $\Rightarrow$  *hmultiset* **where**  
 $hmset\_of\_enat\ (enat\ n) = of\_nat\ n$   
 $hmset\_of\_enat\ \infty = \omega$

**lemma** *hmset\_of\_enat\_0[simp]*:  $hmset\_of\_enat\ 0 = 0$   
*<proof>*

**lemma** *hmset\_of\_enat\_1[simp]*:  $hmset\_of\_enat\ 1 = 1$   
*<proof>*

**lemma** *hmset\_of\_enat\_of\_nat[simp]*:  $hmset\_of\_enat\ (of\_nat\ n) = of\_nat\ n$   
*<proof>*

**lemma** *hmset\_of\_enat\_numeral[simp]*:  $hmset\_of\_enat\ (numeral\ n) = numeral\ n$   
*<proof>*

**lemma** *hmset\_of\_enat\_le\_omega[simp]*:  $hmset\_of\_enat\ n \leq \omega$   
*<proof>*

**lemma** *hmset\_of\_enat\_eq\_omega\_iff[simp]*:  $hmset\_of\_enat\ n = \omega \iff n = \infty$   
 ⟨proof⟩

## 7.5 Head Omega

**definition** *head\_omega* ::  $hmultiset \Rightarrow hmultiset$  **where**  
 $head\_omega\ M = (if\ M = 0\ then\ 0\ else\ \omega^{(Max\ (set\_mset\ (hmsetmset\ M))}))$

**lemma** *head\_omega\_subseteq*:  $hmsetmset\ (head\_omega\ M) \subseteq\# hmsetmset\ M$   
 ⟨proof⟩

**lemma** *head\_omega\_eq\_0\_iff[simp]*:  $head\_omega\ m = 0 \iff m = 0$   
 ⟨proof⟩

**lemma** *head\_omega\_0[simp]*:  $head\_omega\ 0 = 0$   
 ⟨proof⟩

**lemma** *head\_omega\_1[simp]*:  $head\_omega\ 1 = 1$   
 ⟨proof⟩

**lemma** *head\_omega\_of\_nat[simp]*:  $head\_omega\ (of\_nat\ n) = (if\ n = 0\ then\ 0\ else\ 1)$   
 ⟨proof⟩

**lemma** *head\_omega\_numeral[simp]*:  $head\_omega\ (numeral\ n) = 1$   
 ⟨proof⟩

**lemma** *head\_omega\_omega[simp]*:  $head\_omega\ \omega = \omega$   
 ⟨proof⟩

**lemma** *le\_imp\_head\_omega\_le*:  
**assumes**  $m\ le\ n$ :  $m \leq n$   
**shows**  $head\_omega\ m \leq head\_omega\ n$   
 ⟨proof⟩

**lemma** *head\_omega\_lt\_imp\_lt*:  $head\_omega\ m < head\_omega\ n \implies m < n$   
 ⟨proof⟩

**lemma** *head\_omega\_plus[simp]*:  $head\_omega\ (m + n) = sup\ (head\_omega\ m)\ (head\_omega\ n)$   
 ⟨proof⟩

**lemma** *head\_omega\_times[simp]*:  $head\_omega\ (m * n) = head\_omega\ m * head\_omega\ n$   
 ⟨proof⟩

## 7.6 More Inequalities and Some Equalities

**lemma** *zero\_lt\_omega[simp]*:  $0 < \omega$   
 ⟨proof⟩

**lemma** *one\_lt\_omega[simp]*:  $1 < \omega$   
 ⟨proof⟩

**lemma** *numeral\_lt\_omega[simp]*:  $numeral\ n < \omega$   
 ⟨proof⟩

**lemma** *one\_le\_omega[simp]*:  $1 \leq \omega$   
 ⟨proof⟩

**lemma** *of\_nat\_le\_omega[simp]*:  $of\_nat\ n \leq \omega$   
 ⟨proof⟩

**lemma** *numeral\_le\_omega[simp]*:  $numeral\ n \leq \omega$   
 ⟨proof⟩

**lemma** *not\_ω\_lt\_1[simp]*:  $\neg \omega < 1$   
⟨proof⟩

**lemma** *not\_ω\_lt\_of\_nat[simp]*:  $\neg \omega < \text{of\_nat } n$   
⟨proof⟩

**lemma** *not\_ω\_lt\_numeral[simp]*:  $\neg \omega < \text{numeral } n$   
⟨proof⟩

**lemma** *not\_ω\_le\_1[simp]*:  $\neg \omega \leq 1$   
⟨proof⟩

**lemma** *not\_ω\_le\_of\_nat[simp]*:  $\neg \omega \leq \text{of\_nat } n$   
⟨proof⟩

**lemma** *not\_ω\_le\_numeral[simp]*:  $\neg \omega \leq \text{numeral } n$   
⟨proof⟩

**lemma** *zero\_ne\_ω[simp]*:  $0 \neq \omega$   
⟨proof⟩

**lemma** *one\_ne\_ω[simp]*:  $1 \neq \omega$   
⟨proof⟩

**lemma** *numeral\_ne\_ω[simp]*:  $\text{numeral } n \neq \omega$   
⟨proof⟩

**lemma**  
*ω\_ne\_0[simp]*:  $\omega \neq 0$  **and**  
*ω\_ne\_1[simp]*:  $\omega \neq 1$  **and**  
*ω\_ne\_of\_nat[simp]*:  $\omega \neq \text{of\_nat } m$  **and**  
*ω\_ne\_numeral[simp]*:  $\omega \neq \text{numeral } n$   
⟨proof⟩

**lemma**  
*hmset\_of\_enat\_inject[simp]*:  $\text{hmset\_of\_enat } m = \text{hmset\_of\_enat } n \iff m = n$  **and**  
*hmset\_of\_enat\_less[simp]*:  $\text{hmset\_of\_enat } m < \text{hmset\_of\_enat } n \iff m < n$  **and**  
*hmset\_of\_enat\_le[simp]*:  $\text{hmset\_of\_enat } m \leq \text{hmset\_of\_enat } n \iff m \leq n$   
⟨proof⟩

**lemma** *lt\_ω\_imp\_ex\_of\_nat*:  
**assumes** *M\_lt\_ω*:  $M < \omega$   
**shows**  $\exists n. M = \text{of\_nat } n$   
⟨proof⟩

**lemma** *le\_ω\_imp\_ex\_hmset\_of\_enat*:  
**assumes** *M\_le\_ω*:  $M \leq \omega$   
**shows**  $\exists n. M = \text{hmset\_of\_enat } n$   
⟨proof⟩

**lemma** *lt\_ω\_lt\_ω\_imp\_times\_lt\_ω*:  $M < \omega \implies N < \omega \implies M * N < \omega$   
⟨proof⟩

**lemma** *times\_ω\_minus\_of\_nat[simp]*:  $m * \omega - \text{of\_nat } n = m * \omega$   
⟨proof⟩

**lemma** *times\_ω\_minus\_numeral[simp]*:  $m * \omega - \text{numeral } n = m * \omega$   
⟨proof⟩

**lemma** *ω\_minus\_of\_nat[simp]*:  $\omega - \text{of\_nat } n = \omega$   
⟨proof⟩

**lemma** *ω\_minus\_1[simp]*:  $\omega - 1 = \omega$

*<proof>*

**lemma**  $\omega\_minus\_numeral[simp]$ :  $\omega - numeral\ n = \omega$   
*<proof>*

**lemma**  $hmset\_of\_enat\_minus\_enat[simp]$ :  $hmset\_of\_enat\ (m - enat\ n) = hmset\_of\_enat\ m - of\_nat\ n$   
*<proof>*

**lemma**  $of\_nat\_lt\_hmset\_of\_enat\_iff$ :  $of\_nat\ m < hmset\_of\_enat\ n \longleftrightarrow enat\ m < n$   
*<proof>*

**lemma**  $of\_nat\_le\_hmset\_of\_enat\_iff$ :  $of\_nat\ m \leq hmset\_of\_enat\ n \longleftrightarrow enat\ m \leq n$   
*<proof>*

**lemma**  $hmset\_of\_enat\_lt\_iff\_ne\_infinity$ :  $hmset\_of\_enat\ x < \omega \longleftrightarrow x \neq \infty$   
*<proof>*

**lemma**  $minus\_diff\_sym\_hmset$ :  $m - (m - n) = n - (n - m)$  **for**  $m\ n :: hmultiset$   
*<proof>*

**lemma**  $diff\_plus\_sym\_hmset$ :  $(c - b) + b = (b - c) + c$  **for**  $b\ c :: hmultiset$   
*<proof>*

**lemma**  $times\_diff\_plus\_sym\_hmset$ :  $a * (c - b) + a * b = a * (b - c) + a * c$  **for**  $a\ b\ c :: hmultiset$   
*<proof>*

**lemma**  $times\_of\_nat\_minus\_left$ :  
 $(of\_nat\ m - of\_nat\ n) * l = of\_nat\ m * l - of\_nat\ n * l$  **for**  $l :: hmultiset$   
*<proof>*

**lemma**  $times\_of\_nat\_minus\_right$ :  
 $l * (of\_nat\ m - of\_nat\ n) = l * of\_nat\ m - l * of\_nat\ n$  **for**  $l :: hmultiset$   
*<proof>*

**lemma**  $lt\_omega\_imp\_times\_minus\_left$ :  $m < \omega \implies n < \omega \implies (m - n) * l = m * l - n * l$   
*<proof>*

**lemma**  $lt\_omega\_imp\_times\_minus\_right$ :  $m < \omega \implies n < \omega \implies l * (m - n) = l * m - l * n$   
*<proof>*

**lemma**  $hmset\_pair\_decompose$ :  
 $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (head\_omega\ n1 \neq head\_omega\ n2 \vee n1 = 0 \wedge n2 = 0)$   
*<proof>*

**lemma**  $hmset\_pair\_decompose\_less$ :  
**assumes**  $m1\_lt\_m2$ :  $m1 < m2$   
**shows**  $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge head\_omega\ n1 < head\_omega\ n2$   
*<proof>*

**lemma**  $hmset\_pair\_decompose\_less\_eq$ :  
**assumes**  $m1 \leq m2$   
**shows**  $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (head\_omega\ n1 < head\_omega\ n2 \vee n1 = 0 \wedge n2 = 0)$   
*<proof>*

**lemma**  $mono\_cross\_mult\_less\_hmset$ :  
**fixes**  $Aa\ A\ Ba\ B :: hmultiset$   
**assumes**  $A\_lt$ :  $A < Aa$  **and**  $B\_lt$ :  $B < Ba$   
**shows**  $A * Ba + B * Aa < A * B + Aa * Ba$   
*<proof>*

**lemma**  $triple\_cross\_mult\_hmset$ :  
 $An * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp))$   
 $+ (Cn * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp)))$

```

+ (Ap * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp))
  + Cp * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap))) =
An * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp))
+ (Cn * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap))
  + (Ap * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp))
    + Cp * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp))))
for Ap An Bp Bn Cp Cn Dp Dn :: hmultiset
⟨proof⟩

```

## 7.7 Conversions to Natural Numbers

**definition** `offset_hmset` :: `hmultiset`  $\Rightarrow$  `nat` **where**  
`offset_hmset`  $M = \text{count } (\text{hmsetmset } M) \ 0$

**lemma** `offset_hmset_of_nat[simp]`: `offset_hmset` (`of_nat`  $n$ ) =  $n$   
⟨proof⟩

**lemma** `offset_hmset_numeral[simp]`: `offset_hmset` (`numeral`  $n$ ) = `numeral`  $n$   
⟨proof⟩

**definition** `sum_coefs` :: `hmultiset`  $\Rightarrow$  `nat` **where**  
`sum_coefs`  $M = \text{size } (\text{hmsetmset } M)$

**lemma** `sum_coefs_distrib_plus[simp]`: `sum_coefs` ( $M + N$ ) = `sum_coefs`  $M$  + `sum_coefs`  $N$   
⟨proof⟩

**lemma** `sum_coefs_gt_0`: `sum_coefs`  $M > 0 \iff M > 0$   
⟨proof⟩

## 7.8 An Example

The following proof is based on an informal proof by Uwe Waldmann, inspired by a similar argument by Michel Ludwig.

**lemma** `ludwig_waldmann_less`:  
**fixes**  $\alpha 1 \ \alpha 2 \ \beta 1 \ \beta 2 \ \gamma \ \delta$  :: `hmultiset`  
**assumes**  
 $\alpha \beta 2 \gamma \_lt \ \alpha \beta 1 \gamma$ :  $\alpha 2 + \beta 2 * \gamma < \alpha 1 + \beta 1 * \gamma$  **and**  
 $\beta 2 \_le \ \beta 1$ :  $\beta 2 \leq \beta 1$  **and**  
 $\gamma \_lt \ \delta$ :  $\gamma < \delta$   
**shows**  $\alpha 2 + \beta 2 * \delta < \alpha 1 + \beta 1 * \delta$   
⟨proof⟩

end

## 8 Signed Syntactic Ordinals in Cantor Normal Form

**theory** `Signed_Syntactic_Ordinal`  
**imports** `Signed_Hereditary_Multiset` `Syntactic_Ordinal`  
**begin**

### 8.1 Natural (Hessenberg) Product

**instantiation** `zhmultiset` :: `comm_ring_1`  
**begin**

**abbreviation**  $\omega_z \_exp$  :: `hmultiset`  $\Rightarrow$  `zhmultiset` ( $\omega_z \wedge$ ) **where**  
 $\omega_z \wedge \equiv \lambda m. \text{ZHMSet } \{\#m\}_z$

**lift-definition** `one_zhmultiset` :: `zhmultiset` **is**  $\{\#0\}_z$  ⟨proof⟩

**abbreviation**  $\omega_z$  :: `zhmultiset` **where**  
 $\omega_z \equiv \omega_z \wedge 1$



**lemma**  $\omega_z\_as\_ \omega$ :  $\omega_z = zhmsset\_of\ \omega$   
 ⟨proof⟩

**lift-definition**  $times\_zhmultiset$  ::  $zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset$  is  
 $\lambda M\ N.$

$zmsset\_of\ (hmssetmset\ (HMSet\ (mset\_pos\ M) * HMSet\ (mset\_pos\ N)))$   
 $- zmsset\_of\ (hmssetmset\ (HMSet\ (mset\_pos\ M) * HMSet\ (mset\_neg\ N)))$   
 $+ zmsset\_of\ (hmssetmset\ (HMSet\ (mset\_neg\ M) * HMSet\ (mset\_neg\ N)))$   
 $- zmsset\_of\ (hmssetmset\ (HMSet\ (mset\_neg\ M) * HMSet\ (mset\_pos\ N)))$  ⟨proof⟩

**lemmas**  $zhmssetmset\_times = times\_zhmultiset.rep\_eq$

**instance**  
 ⟨proof⟩

**end**

**lemma**  $zhmsset\_of\_1$ :  $zhmsset\_of\ 1 = 1$   
 ⟨proof⟩

**lemma**  $zhmsset\_of\_times$ :  $zhmsset\_of\ (A * B) = zhmsset\_of\ A * zhmsset\_of\ B$   
 ⟨proof⟩

**lemma**  $zhmsset\_of\_prod\_list$ :  
 $zhmsset\_of\ (prod\_list\ Ms) = prod\_list\ (map\ zhmsset\_of\ Ms)$   
 ⟨proof⟩

## 8.2 Embedding of Natural Numbers

**lemma**  $of\_nat\_zhmsset$ :  $of\_nat\ n = zhmsset\_of\ (of\_nat\ n)$   
 ⟨proof⟩

**lemma**  $of\_nat\_inject\_zhmsset[simp]$ :  $(of\_nat\ m :: zhmultiset) = of\_nat\ n \longleftrightarrow m = n$   
 ⟨proof⟩

**lemma**  $plus\_of\_nat\_plus\_of\_nat\_zhmsset$ :  
 $k + of\_nat\ m + of\_nat\ n = k + of\_nat\ (m + n)$  for  $k :: zhmultiset$   
 ⟨proof⟩

**lemma**  $plus\_of\_nat\_minus\_of\_nat\_zhmsset$ :  
**fixes**  $k :: zhmultiset$   
**assumes**  $n \leq m$   
**shows**  $k + of\_nat\ m - of\_nat\ n = k + of\_nat\ (m - n)$   
 ⟨proof⟩

**lemma**  $of\_nat\_lt\_ \omega_z[simp]$ :  $of\_nat\ n < \omega_z$   
 ⟨proof⟩

**lemma**  $of\_nat\_ne\_ \omega_z[simp]$ :  $of\_nat\ n \neq \omega_z$   
 ⟨proof⟩

## 8.3 Embedding of Extended Natural Numbers

**primrec**  $zhmsset\_of\_enat$  ::  $enat \Rightarrow zhmultiset$  where  
 $zhmsset\_of\_enat\ (enat\ n) = of\_nat\ n$   
 $| zhmsset\_of\_enat\ \infty = \omega_z$

**lemma**  $zhmsset\_of\_enat\_0[simp]$ :  $zhmsset\_of\_enat\ 0 = 0$   
 ⟨proof⟩

**lemma**  $zhmsset\_of\_enat\_1[simp]$ :  $zhmsset\_of\_enat\ 1 = 1$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_of\_nat[simp]*:  $zhmset\_of\_enat (of\_nat\ n) = of\_nat\ n$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_numeral[simp]*:  $zhmset\_of\_enat (numeral\ n) = numeral\ n$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_le\_omega\_z[simp]*:  $zhmset\_of\_enat\ n \leq \omega_z$   
 ⟨proof⟩

**lemma** *zhmset\_of\_enat\_eq\_omega\_z\_iff[simp]*:  $zhmset\_of\_enat\ n = \omega_z \longleftrightarrow n = \infty$   
 ⟨proof⟩

## 8.4 Inequalities and Some (Dis)equalities

**instance** *zhmultiset* :: *zero\_less\_one*  
 ⟨proof⟩

**instantiation** *zhmultiset* :: *linordered\_idom*  
**begin**

**definition** *sgn\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset* **where**  
*sgn\_zhmultiset*  $M = (if\ M = 0\ then\ 0\ else\ if\ M > 0\ then\ 1\ else\ -1)$

**definition** *abs\_zhmultiset* :: *zhmultiset*  $\Rightarrow$  *zhmultiset* **where**  
*abs\_zhmultiset*  $M = (if\ M < 0\ then\ -M\ else\ M)$

**lemma** *gt\_0\_times\_gt\_0\_imp*:  
**fixes**  $a\ b :: zhmultiset$   
**assumes**  $a\_gt0: a > 0$  **and**  $b\_gt0: b > 0$   
**shows**  $a * b > 0$   
 ⟨proof⟩

**instance**  
 ⟨proof⟩

**end**

**lemma** *le\_zhmset\_of\_pos*:  $M \leq zhmset\_of (hmset\_pos\ M)$   
 ⟨proof⟩

**lemma** *minus\_zhmset\_of\_pos\_le*:  $- zhmset\_of (hmset\_neg\ M) \leq M$   
 ⟨proof⟩

**lemma** *zhmset\_of\_nonneg[simp]*:  $zhmset\_of\ M \geq 0$   
 ⟨proof⟩

**lemma**  
**fixes**  $n :: zhmultiset$   
**assumes**  $0 \leq m$   
**shows**  
*le\_add1\_hmset*:  $n \leq n + m$  **and**  
*le\_add2\_hmset*:  $n \leq m + n$   
 ⟨proof⟩

**lemma** *less\_iff\_add1\_le\_zhmset*:  $m < n \longleftrightarrow m + 1 \leq n$  **for**  $m\ n :: zhmultiset$   
 ⟨proof⟩

**lemma** *gt\_0\_lt\_mult\_gt\_1\_zhmset*:  
**fixes**  $m\ n :: zhmultiset$   
**assumes**  $m > 0$  **and**  $n > 1$   
**shows**  $m < m * n$   
 ⟨proof⟩

**lemma** *zero\_less\_iff\_1\_le\_zhmset*:  $0 < n \longleftrightarrow 1 \leq n$  **for**  $n :: zhmultiset$

*<proof>*

**lemma** *less\_add\_1\_iff\_le\_hmset*:  $m < n + 1 \longleftrightarrow m \leq n$  **for**  $m\ n :: \text{zhmultiset}$   
*<proof>*

**lemma** *nonneg\_le\_mult\_right\_mono\_zhmset*:  
**fixes**  $x\ y\ z :: \text{zhmultiset}$   
**assumes**  $x: 0 \leq x$  **and**  $y: 0 < y$  **and**  $z: x \leq z$   
**shows**  $x \leq y * z$   
*<proof>*

**instance** *hmultiset* :: *ordered\_cancel\_comm\_semiring*  
*<proof>*

**instance** *hmultiset* :: *linordered\_semiring\_1\_strict*  
*<proof>*

**instance** *hmultiset* :: *bounded\_lattice\_bot*  
*<proof>*

**instance** *hmultiset* :: *zero\_less\_one*  
*<proof>*

**instance** *hmultiset* :: *linordered\_nonzero\_semiring*  
*<proof>*

**instance** *hmultiset* :: *semiring\_no\_zero\_divisors*  
*<proof>*

**lemma** *zero\_lt\_omega\_z[simp]*:  $0 < \omega_z$   
*<proof>*

**lemma** *one\_lt\_omega\_z[simp]*:  $1 < \omega_z$   
*<proof>*

**lemma** *numeral\_lt\_omega\_z[simp]*: *numeral*  $n < \omega_z$   
*<proof>*

**lemma** *one\_le\_omega\_z[simp]*:  $1 \leq \omega_z$   
*<proof>*

**lemma** *of\_nat\_le\_omega\_z[simp]*: *of\_nat*  $n \leq \omega_z$   
*<proof>*

**lemma** *numeral\_le\_omega\_z[simp]*: *numeral*  $n \leq \omega_z$   
*<proof>*

**lemma** *not\_omega\_z\_lt\_1[simp]*:  $\neg \omega_z < 1$   
*<proof>*

**lemma** *not\_omega\_z\_lt\_of\_nat[simp]*:  $\neg \omega_z < \text{of\_nat } n$   
*<proof>*

**lemma** *not\_omega\_z\_lt\_numeral[simp]*:  $\neg \omega_z < \text{numeral } n$   
*<proof>*

**lemma** *not\_omega\_z\_le\_1[simp]*:  $\neg \omega_z \leq 1$   
*<proof>*

**lemma** *not\_omega\_z\_le\_of\_nat[simp]*:  $\neg \omega_z \leq \text{of\_nat } n$   
*<proof>*

**lemma** *not\_omega\_z\_le\_numeral[simp]*:  $\neg \omega_z \leq \text{numeral } n$

*<proof>*

**lemma** *zero\_ne\_omega\_z[simp]: 0 ≠ ω<sub>z</sub>*  
*<proof>*

**lemma** *one\_ne\_omega\_z[simp]: 1 ≠ ω<sub>z</sub>*  
*<proof>*

**lemma** *numeral\_ne\_omega\_z[simp]: numeral n ≠ ω<sub>z</sub>*  
*<proof>*

**lemma**  
*omega\_z\_ne\_0[simp]: ω<sub>z</sub> ≠ 0 and*  
*omega\_z\_ne\_1[simp]: ω<sub>z</sub> ≠ 1 and*  
*omega\_z\_ne\_of\_nat[simp]: ω<sub>z</sub> ≠ of\_nat m and*  
*omega\_z\_ne\_numeral[simp]: ω<sub>z</sub> ≠ numeral n*  
*<proof>*

**lemma**  
*zhmset\_of\_enat\_inject[simp]: zhmset\_of\_enat m = zhmset\_of\_enat n ↔ m = n and*  
*zhmset\_of\_enat\_lt\_iff\_lt[simp]: zhmset\_of\_enat m < zhmset\_of\_enat n ↔ m < n and*  
*zhmset\_of\_enat\_le\_iff\_le[simp]: zhmset\_of\_enat m ≤ zhmset\_of\_enat n ↔ m ≤ n*  
*<proof>*

**lemma** *of\_nat\_lt\_zhmset\_of\_enat\_iff: of\_nat m < zhmset\_of\_enat n ↔ enat m < n*  
*<proof>*

**lemma** *of\_nat\_le\_zhmset\_of\_enat\_iff: of\_nat m ≤ zhmset\_of\_enat n ↔ enat m ≤ n*  
*<proof>*

**lemma** *zhmset\_of\_enat\_lt\_iff\_ne\_infinity: zhmset\_of\_enat x < ω<sub>z</sub> ↔ x ≠ ∞*  
*<proof>*

## 8.5 An Example

A new proof of  $[[\alpha 2.0 + \beta 2.0 * \gamma < \alpha 1.0 + \beta 1.0 * \gamma; \beta 2.0 \leq \beta 1.0; \gamma < \delta]] \implies \alpha 2.0 + \beta 2.0 * \delta < \alpha 1.0 + \beta 1.0 * \delta$ :

**lemma**  
**fixes**  $\alpha 1 \alpha 2 \beta 1 \beta 2 \gamma \delta :: \text{hmultiset}$   
**assumes**  
 $\alpha \beta 2 \gamma \text{ lt } \alpha \beta 1 \gamma: \alpha 2 + \beta 2 * \gamma < \alpha 1 + \beta 1 * \gamma$  **and**  
 $\beta 2 \text{ le } \beta 1: \beta 2 \leq \beta 1$  **and**  
 $\gamma \text{ lt } \delta: \gamma < \delta$   
**shows**  $\alpha 2 + \beta 2 * \delta < \alpha 1 + \beta 1 * \delta$   
*<proof>*

**end**

**theory** *Syntactic\_Ordinal\_Bridge*  
**imports** *HOL-Library.Sublist Ordinal.OrdinalOmega Syntactic\_Ordinal*  
**abbrevs**  
 $!h = h$   
**begin**

## 9 Bridge between Huffman's Ordinal Library and the Syntactic Ordinals

### 9.1 Missing Lemmas about Huffman's Ordinals

**instantiation** *ordinal* :: *order\_bot*  
**begin**

**definition** *bot\_ordinal* :: ordinal where  
*bot\_ordinal* = 0

**instance**  
 ⟨proof⟩

**end**

**lemma** *insert\_bot[simp]*: *insert bot xs = bot # xs* **for** *xs* :: 'a::{order\_bot,linorder} list  
 ⟨proof⟩

**lemmas** *insert\_0\_ordinal[simp]* = *insert\_bot[of xs :: ordinal list for xs, unfolded bot\_ordinal\_def]*

**lemma** *from\_cnf\_less\_omega\_exp*:  
**assumes**  $\forall k \in \text{set } ks. k < l$   
**shows** *from\_cnf ks < omega \*\* l*  
 ⟨proof⟩

**lemma** *from\_cnf\_0\_iff[simp]*: *from\_cnf ks = 0*  $\longleftrightarrow$  *ks = []*  
 ⟨proof⟩

**lemma** *from\_cnf\_append[simp]*: *from\_cnf (ks @ ls) = from\_cnf ks + from\_cnf ls*  
 ⟨proof⟩

**lemma** *subseq\_from\_cnf\_less\_eq*: *Sublist.subseq ks ls*  $\implies$  *from\_cnf ks*  $\leq$  *from\_cnf ls*  
 ⟨proof⟩

## 9.2 Embedding of Syntactic Ordinals into Huffman's Ordinals

**abbreviation**  $\omega_h$  :: *hmultiset* where  
 $\omega_h \equiv \text{Syntactic\_Ordinal}.\omega$

**abbreviation**  $\omega_h\_exp$  :: *hmultiset*  $\Rightarrow$  *hmultiset* ( $\omega_h \wedge$ ) where  
 $\omega_h \wedge \equiv \text{Syntactic\_Ordinal}.\omega\_exp$

**primrec** *ordinal\_of\_hmset* :: *hmultiset*  $\Rightarrow$  ordinal where  
*ordinal\_of\_hmset* (HMSet M) =  
*from\_cnf* (rev (sorted\_list\_of\_multiset (image\_mset *ordinal\_of\_hmset* M)))

**lemma** *ordinal\_of\_hmset\_0[simp]*: *ordinal\_of\_hmset 0 = 0*  
 ⟨proof⟩

**lemma** *ordinal\_of\_hmset\_suc[simp]*: *ordinal\_of\_hmset (k + 1) = ordinal\_of\_hmset k + 1*  
 ⟨proof⟩

**lemma** *ordinal\_of\_hmset\_1[simp]*: *ordinal\_of\_hmset 1 = 1*  
 ⟨proof⟩

**lemma** *ordinal\_of\_hmset\_omega[simp]*: *ordinal\_of\_hmset*  $\omega_h = \omega$   
 ⟨proof⟩

**lemma** *ordinal\_of\_hmset\_singleton[simp]*: *ordinal\_of\_hmset* ( $\omega \wedge k$ ) =  $\omega ** \text{ordinal\_of\_hmset } k$   
 ⟨proof⟩

**lemma** *ordinal\_of\_hmset\_iff[simp]*: *ordinal\_of\_hmset k = 0*  $\longleftrightarrow$  *k = 0*  
 ⟨proof⟩

**lemma** *less\_imp\_ordinal\_of\_hmset\_less*: *k < l*  $\implies$  *ordinal\_of\_hmset k* < *ordinal\_of\_hmset l*  
 ⟨proof⟩

**lemma** *ordinal\_of\_hmset\_less[simp]*: *ordinal\_of\_hmset k* < *ordinal\_of\_hmset l*  $\longleftrightarrow$  *k < l*  
 ⟨proof⟩

end

## 10 Termination of McCarthy's 91 Function

```
theory McCarthy_91
imports HOL-Library.Multiset_Order
begin
```

```
lemma funpow_rec:  $f^{^^} n = (\text{if } n = 0 \text{ then id else } f \circ f^{^^} (n - 1))$ 
  <proof>
```

The  $f$  function captures the semantics of McCarthy's 91 function. The  $g$  function is a tail-recursive implementation of the function, whose termination is established using the multiset order. The definitions follow Dershowitz and Manna.

```
definition f :: int  $\Rightarrow$  int where
  f x = (if x > 100 then x - 10 else 91)
```

```
definition  $\tau$  :: nat  $\Rightarrow$  int  $\Rightarrow$  int multiset where
   $\tau$  n z = mset (map ( $\lambda i.$  ( $f^{^^} \text{ nat } i$ )) z) [0..int n - 1]
```

```
function g :: nat  $\Rightarrow$  int  $\Rightarrow$  int where
  g n z = (if n = 0 then z else if z > 100 then g (n - 1) (z - 10) else g (n + 1) (z + 11))
  <proof>
```

```
termination
  <proof>
```

```
declare g.simps [simp del]
```

end

## 11 Termination of the Hydra Battle

```
theory Hydra_Battle
imports Syntactic_Ordinal
begin
```

```
hide-const (open) Nil Cons
```

The  $h$  function and its auxiliaries  $f$  and  $d$  represent the hydra battle. The  $encode$  function converts a hydra (represented as a Lisp-like tree) to a syntactic ordinal. The definitions follow Dershowitz and Moser.

```
datatype lisp =
  Nil
| Cons (car: lisp) (cdr: lisp)
```

```
where
  car Nil = Nil
| cdr Nil = Nil
```

```
primrec encode :: lisp  $\Rightarrow$  hmultiset where
  encode Nil = 0
| encode (Cons l r) =  $\omega^{(encode\ l)} + encode\ r$ 
```

```
primrec f :: nat  $\Rightarrow$  lisp  $\Rightarrow$  lisp  $\Rightarrow$  lisp where
  f 0 y x = x
| f (Suc m) y x = Cons y (f m y x)
```

```
lemma encode_f:  $encode\ (f\ n\ y\ x) = of\_nat\ n * \omega^{(encode\ y)} + encode\ x$ 
  <proof>
```

```
function d :: nat  $\Rightarrow$  lisp  $\Rightarrow$  lisp where
  d n x =
```

```

    (if car x = Nil then cdr x
     else if car (car x) = Nil then f n (cdr (car x)) (cdr x)
     else Cons (d n (car x)) (cdr x))
  ⟨proof⟩
termination
  ⟨proof⟩

declare d.simps[simp del]

function h :: nat ⇒ lisp ⇒ lisp where
  h n x = (if x = Nil then Nil else h (n + 1) (d n x))
  ⟨proof⟩
termination
  ⟨proof⟩

declare h.simps[simp del]

end

```

## 12 Termination of the Goodstein Sequence

```

theory Goodstein_Sequence
imports Multiset_More Syntactic_Ordinal
begin

```

The *goodstein* function returns the successive values of the Goodstein sequence. It is defined in terms of *encode* and *decode* functions, which convert between natural numbers and ordinals. The development culminates with a proof of Goodstein's theorem.

### 12.1 Lemmas about Division

```

lemma div_mult_le: m div n * n ≤ m for m n :: nat
  ⟨proof⟩

lemma power_div_same_base:
  b ^ y ≠ 0 ⇒ x ≥ y ⇒ b ^ x div b ^ y = b ^ (x - y) for b :: 'a::semidom_divide
  ⟨proof⟩

```

### 12.2 Hereditary and Nonhereditary Base-*n* Systems

```

context
  fixes base :: nat
  assumes base_ge_2: base ≥ 2
begin

inductive well_base :: 'a multiset ⇒ bool where
  (∀ n. count M n < base) ⇒ well_base M

lemma well_base_filter: well_base M ⇒ well_base {#m ∈# M. p m#}
  ⟨proof⟩

lemma well_base_image_inj: well_base M ⇒ inj_on f (set_mset M) ⇒ well_base (image_mset f M)
  ⟨proof⟩

lemma well_base_bound:
  assumes
    well_base M and
    ∀ m ∈# M. m < n
  shows (∑ m ∈# M. base ^ m) < base ^ n
  ⟨proof⟩

inductive well_base_h :: hmultiset ⇒ bool where
  (∀ N ∈# hmsetmset M. well_base_h N) ⇒ well_base (hmsetmset M) ⇒ well_base_h M

```

**lemma** *well\_base\_h\_mono\_hmset*:  $well\_base_h M \implies hmsetmset N \subseteq\# hmsetmset M \implies well\_base_h N$   
 ⟨proof⟩

**lemma** *well\_base\_h\_imp\_well\_base*:  $well\_base_h M \implies well\_base (hmsetmset M)$   
 ⟨proof⟩

### 12.3 Encoding of Natural Numbers into Ordinals

**function** *encode* ::  $nat \Rightarrow nat \Rightarrow hmultiset$  **where**  
*encode e n* =  
 (if  $n = 0$  then 0 else  $of\_nat (n \bmod base) * \omega^{(encode\ 0\ e)} + encode\ (e + 1)\ (n \div base)$ )  
 ⟨proof⟩

**termination**  
 ⟨proof⟩

**declare** *encode.simps*[*simp del*]

**lemma** *encode\_0[simp]*:  $encode\ e\ 0 = 0$   
 ⟨proof⟩

**lemma** *encode\_Suc*:  
*encode e (Suc n) = of\_nat (Suc n mod base) \*  $\omega^{(encode\ 0\ e)}$  + encode (e + 1) (Suc n div base)*  
 ⟨proof⟩

**lemma** *encode\_0\_iff*:  $encode\ e\ n = 0 \longleftrightarrow n = 0$   
 ⟨proof⟩

**lemma** *encode\_Suc\_exp*:  $encode\ (Suc\ e)\ n = encode\ e\ (base * n)$   
 ⟨proof⟩

**lemma** *encode\_exp\_0*:  $encode\ e\ n = encode\ 0\ (base \wedge e * n)$   
 ⟨proof⟩

**lemma** *mem\_hmsetmset\_encodeD*:  $M \in\# hmsetmset (encode\ e\ n) \implies \exists e' \geq e. M = encode\ 0\ e'$   
 ⟨proof⟩

**lemma** *less\_imp\_encode\_less*:  $n < p \implies encode\ e\ n < encode\ e\ p$   
 ⟨proof⟩

**inductive** *aligned<sub>e</sub>* ::  $nat \Rightarrow hmultiset \Rightarrow bool$  **where**  
 ( $\forall m \in\# hmsetmset M. m \geq encode\ 0\ e$ )  $\implies aligned_e\ e\ M$

**lemma** *aligned\_e\_encode*:  $aligned_e\ e\ (encode\ e\ M)$   
 ⟨proof⟩

**lemma** *well\_base\_h\_encode*:  $well\_base_h (encode\ e\ n)$   
 ⟨proof⟩

### 12.4 Decoding of Natural Numbers from Ordinals

**primrec** *decode* ::  $nat \Rightarrow hmultiset \Rightarrow nat$  **where**  
*decode e (HMSet M) = ( $\sum m \in\# M. base \wedge decode\ 0\ m$ ) div  $base \wedge e$*

**lemma** *decode\_unfold*:  $decode\ e\ M = ( $\sum m \in\# hmsetmset M. base \wedge decode\ 0\ m$ ) div  $base \wedge e$$   
 ⟨proof⟩

**lemma** *decode\_0[simp]*:  $decode\ e\ 0 = 0$   
 ⟨proof⟩

**inductive** *aligned<sub>d</sub>* ::  $nat \Rightarrow hmultiset \Rightarrow bool$  **where**  
 ( $\forall m \in\# hmsetmset M. decode\ 0\ m \geq e$ )  $\implies aligned_d\ e\ M$

**lemma** *aligned\_d\_0[simp]*:  $aligned_d\ 0\ M$



*<proof>*

**lemma** *aligned<sub>d</sub>\_mono\_exp\_Suc*:  $\text{aligned}_d (\text{Suc } e) M \implies \text{aligned}_d e M$   
*<proof>*

**lemma** *aligned<sub>d</sub>\_mono\_hmset*:  
**assumes**  $\text{aligned}_d e M$  **and**  $\text{hmsetmset } M' \subseteq\# \text{hmsetmset } M$   
**shows**  $\text{aligned}_d e M'$   
*<proof>*

**lemma** *decode\_exp\_shift\_Suc*:  
**assumes**  $\text{align}_d: \text{aligned}_d (\text{Suc } e) M$   
**shows**  $\text{decode } e M = \text{base} * \text{decode } (\text{Suc } e) M$   
*<proof>*

**lemma** *decode\_exp\_shift*:  
**assumes**  $\text{aligned}_d e M$   
**shows**  $\text{decode } 0 M = \text{base} ^ e * \text{decode } e M$   
*<proof>*

**lemma** *decode\_plus*:  
**assumes**  $\text{align}_d M: \text{aligned}_d e M$   
**shows**  $\text{decode } e (M + N) = \text{decode } e M + \text{decode } e N$   
*<proof>*

**lemma** *less\_imp\_decode\_less*:  
**assumes**  
   $\text{well\_base}_h M$  **and**  
   $\text{aligned}_d e M$  **and**  
   $\text{aligned}_d e N$  **and**  
   $M < N$   
**shows**  $\text{decode } e M < \text{decode } e N$   
*<proof>*

**lemma** *inj\_decode*:  $\text{inj\_on } (\text{decode } e) \{M. \text{well\_base}_h M \wedge \text{aligned}_d e M\}$   
*<proof>*

**lemma** *decode\_0\_iff*:  $\text{well\_base}_h M \implies \text{aligned}_d e M \implies \text{decode } e M = 0 \longleftrightarrow M = 0$   
*<proof>*

**lemma** *decode\_encode*:  $\text{decode } e (\text{encode } e n) = n$   
*<proof>*

**lemma** *encode\_decode\_exp\_0*:  $\text{well\_base}_h M \implies \text{encode } 0 (\text{decode } 0 M) = M$   
*<proof>*

**end**

**lemma** *well\_base\_h\_mono\_base*:  
**assumes**  
   $\text{well}_h: \text{well\_base}_h \text{ base } M$  **and**  
   $\text{two}: 2 \leq \text{base}$  **and**  
   $\text{bases}: \text{base} \leq \text{base}'$   
**shows**  $\text{well\_base}_h \text{ base}' M$   
*<proof>*

## 12.5 The Goodstein Sequence and Goodstein's Theorem

**context**

**fixes**  $\text{start} :: \text{nat}$

**begin**

**primrec**  $\text{goodstein} :: \text{nat} \Rightarrow \text{nat}$  **where**  
   $\text{goodstein } 0 = \text{start}$

|  $goodstein (Suc\ i) = decode\ (i + 3)\ 0\ (encode\ (i + 2)\ 0\ (goodstein\ i)) - 1$

**lemma** *goodstein\_step*:

assumes  $gi\_gt\_0: goodstein\ i > 0$

shows  $encode\ (i + 2)\ 0\ (goodstein\ i) > encode\ (i + 3)\ 0\ (goodstein\ (i + 1))$

*<proof>*

**theorem** *goodsteins\_theorem*:  $\exists i. goodstein\ i = 0$

*<proof>*

**end**

**end**

## 13 Towards Decidability of Behavioral Equivalence for Unary PCF

**theory** *Unary\_PCF*

**imports**

*HOL-Library.FSet*

*HOL-Library.Countable\_Set\_Type*

*HOL-Library.Nat\_Bijection*

*Hereditary\_Multiset*

*List-Index.List\_Index*

**begin**

### 13.1 Preliminaries

**lemma** *prod\_UNIV*:  $UNIV = UNIV \times UNIV$

*<proof>*

**lemma** *infinite\_cartesian\_productI1*:  $infinite\ A \implies B \neq \{\} \implies infinite\ (A \times B)$

*<proof>*

### 13.2 Types

**datatype** *type* =  $\mathcal{B}(\mathcal{B}) \mid Fun\ type\ type\ (infixr\ \rightarrow\ 65)$

**definition** *mk\_fun* (*infixr*  $\rightarrow\rightarrow\ 65$ ) **where**

$Ts\ \rightarrow\rightarrow\ T = fold\ op\ \rightarrow\ (rev\ Ts)\ T$

**primrec** *dest\_fun* **where**

$dest\_fun\ \mathcal{B} = []$

|  $dest\_fun\ (T \rightarrow U) = T \# dest\_fun\ U$

**definition** *arity* **where**

$arity\ T = length\ (dest\_fun\ T)$

**lemma** *mk\_fun\_dest\_fun[simp]*:  $dest\_fun\ T\ \rightarrow\rightarrow\ \mathcal{B} = T$

*<proof>*

**lemma** *dest\_fun\_mk\_fun[simp]*:  $dest\_fun\ (Ts\ \rightarrow\rightarrow\ T) = Ts\ @\ dest\_fun\ T$

*<proof>*

**primrec**  $\delta$  **where**

$\delta\ \mathcal{B} = HMSet\ \{\#\}$

|  $\delta\ (T \rightarrow U) = HMSet\ (add\_mset\ (\delta\ T)\ (hmsetmset\ (\delta\ U)))$

**lemma**  $\delta\_mk\_fun$ :  $\delta\ (Ts\ \rightarrow\rightarrow\ T) = HMSet\ (hmsetmset\ (\delta\ T) + mset\ (map\ \delta\ Ts))$

*<proof>*

**lemma** *type\_induct* [*case\_names Fun*]:

**assumes**

$(\bigwedge T. (\bigwedge T1\ T2. T = T1 \rightarrow T2 \implies P\ T1) \implies$

$(\wedge T1\ T2. T = T1 \rightarrow T2 \implies P\ T2) \implies P\ T$   
**shows**  $P\ T$   
 $\langle proof \rangle$

### 13.3 Terms

**type-synonym**  $name = string$

**type-synonym**  $idx = nat$

**datatype**  $expr =$

$Var\ name\ * type\ (\langle \_ \rangle) \mid Bound\ idx \mid B\ bool$   
 $\mid Seq\ expr\ expr\ (\mathbf{infixr}\ ?\ 75) \mid App\ expr\ expr\ (\mathbf{infixl}\ \cdot\ 75)$   
 $\mid Abs\ type\ expr\ (\Lambda \langle \_ \rangle\ \_ [100, 100] 800)$

**declare**  $[[coercion\_enabled]]$

**declare**  $[[coercion\ B]]$

**declare**  $[[coercion\ Bound]]$

**notation** (output)  $B\ (\_)$

**notation** (output)  $Bound\ (\_)$

**primrec**  $open :: idx \Rightarrow expr \Rightarrow expr \Rightarrow expr\ \mathbf{where}$

$open\ i\ t\ (j :: idx) = (if\ i = j\ then\ t\ else\ j)$   
 $open\ i\ t\ \langle yU \rangle = \langle yU \rangle$   
 $open\ i\ t\ (b :: bool) = b$   
 $open\ i\ t\ (e1\ ?\ e2) = open\ i\ t\ e1\ ?\ open\ i\ t\ e2$   
 $open\ i\ t\ (e1\ \cdot\ e2) = open\ i\ t\ e1\ \cdot\ open\ i\ t\ e2$   
 $open\ i\ t\ (\Lambda \langle U \rangle\ e) = \Lambda \langle U \rangle\ (open\ (i + 1)\ t\ e)$

**abbreviation**  $open0 \equiv open\ 0$

**abbreviation**  $open\_Var\ i\ xT \equiv open\ i\ \langle xT \rangle$

**abbreviation**  $open0\_Var\ xT \equiv open\ 0\ \langle xT \rangle$

**primrec**  $close\_Var :: idx \Rightarrow name \times type \Rightarrow expr \Rightarrow expr\ \mathbf{where}$

$close\_Var\ i\ xT\ (j :: idx) = j$   
 $close\_Var\ i\ xT\ \langle yU \rangle = (if\ xT = yU\ then\ i\ else\ \langle yU \rangle)$   
 $close\_Var\ i\ xT\ (b :: bool) = b$   
 $close\_Var\ i\ xT\ (e1\ ?\ e2) = close\_Var\ i\ xT\ e1\ ?\ close\_Var\ i\ xT\ e2$   
 $close\_Var\ i\ xT\ (e1\ \cdot\ e2) = close\_Var\ i\ xT\ e1\ \cdot\ close\_Var\ i\ xT\ e2$   
 $close\_Var\ i\ xT\ (\Lambda \langle U \rangle\ e) = \Lambda \langle U \rangle\ (close\_Var\ (i + 1)\ xT\ e)$

**abbreviation**  $close0\_Var \equiv close\_Var\ 0$

**primrec**  $fv :: expr \Rightarrow (name \times type)\ fset\ \mathbf{where}$

$fv\ (j :: idx) = \{\{\}\}$   
 $fv\ \langle yU \rangle = \{\{yU\}\}$   
 $fv\ (b :: bool) = \{\{\}\}$   
 $fv\ (e1\ ?\ e2) = fv\ e1\ \mid \cup \mid fv\ e2$   
 $fv\ (e1\ \cdot\ e2) = fv\ e1\ \mid \cup \mid fv\ e2$   
 $fv\ (\Lambda \langle U \rangle\ e) = fv\ e$

**abbreviation**  $fresh\ x\ e \equiv x\ \notin\ fv\ e$

**lemma**  $ex\_fresh: \exists x. (x :: char\ list, T) \notin A$

$\langle proof \rangle$

**inductive**  $lc\ \mathbf{where}$

$lc\_Var[simp]: lc\ \langle xT \rangle$   
 $lc\_B[simp]: lc\ (b :: bool)$   
 $lc\_Seq: lc\ e1 \implies lc\ e2 \implies lc\ (e1\ ?\ e2)$   
 $lc\_App: lc\ e1 \implies lc\ e2 \implies lc\ (e1\ \cdot\ e2)$   
 $lc\_Abs: (\forall x. (x, T) \notin X \longrightarrow lc\ (open0\_Var\ (x, T)\ e)) \implies lc\ (\Lambda \langle T \rangle\ e)$

**declare**  $lc.intros[intro]$

**definition**  $body\ T\ t \equiv (\exists X. \forall x. (x, T) \notin X \longrightarrow lc\ (open0\_Var\ (x, T)\ t))$

**lemma**  $lc\_Abs\_iff\_body: lc\ (\Lambda\langle T \rangle\ t) \longleftrightarrow body\ T\ t$   
 $\langle proof \rangle$

**lemma**  $fv\_open\_Var: fresh\ xT\ t \Longrightarrow fv\ (open\_Var\ i\ xT\ t) \subseteq\ finsert\ xT\ (fv\ t)$   
 $\langle proof \rangle$

**lemma**  $fv\_close\_Var[simp]: fv\ (close\_Var\ i\ xT\ t) = fv\ t \mid - \mid \{xT\}$   
 $\langle proof \rangle$

**lemma**  $close\_Var\_open\_Var[simp]: fresh\ xT\ t \Longrightarrow close\_Var\ i\ xT\ (open\_Var\ i\ xT\ t) = t$   
 $\langle proof \rangle$

**lemma**  $open\_Var\_inj: fresh\ xT\ t \Longrightarrow fresh\ xT\ u \Longrightarrow open\_Var\ i\ xT\ t = open\_Var\ i\ xT\ u \Longrightarrow t = u$   
 $\langle proof \rangle$

**context begin**

**private lemma**  $open\_Var\_open\_Var\_close\_Var: i \neq j \Longrightarrow xT \neq yU \Longrightarrow fresh\ yU\ t \Longrightarrow$   
 $open\_Var\ i\ yU\ (open\_Var\ j\ zV\ (close\_Var\ j\ xT\ t)) = open\_Var\ j\ zV\ (close\_Var\ j\ xT\ (open\_Var\ i\ yU\ t))$   
 $\langle proof \rangle$

**lemma**  $open\_Var\_close\_Var[simp]: lc\ t \Longrightarrow open\_Var\ i\ xT\ (close\_Var\ i\ xT\ t) = t$   
 $\langle proof \rangle$

**end**

**lemma**  $close\_Var\_inj: lc\ t \Longrightarrow lc\ u \Longrightarrow close\_Var\ i\ xT\ t = close\_Var\ i\ xT\ u \Longrightarrow t = u$   
 $\langle proof \rangle$

**primrec**  $Apps\ (infixl\ \cdot\ 75)\ \mathbf{where}$

$f \cdot [] = f$   
 $f \cdot (x \# xs) = f \cdot x \cdot xs$

**lemma**  $Apps\_snoc: f \cdot (xs @ [x]) = f \cdot xs \cdot x$   
 $\langle proof \rangle$

**lemma**  $Apps\_append: f \cdot (xs @ ys) = f \cdot xs \cdot ys$   
 $\langle proof \rangle$

**lemma**  $Apps\_inj[simp]: f \cdot ts = g \cdot ts \longleftrightarrow f = g$   
 $\langle proof \rangle$

**lemma**  $eq\_Apps\_conv[simp]:$

**fixes**  $i :: idx$  **and**  $b :: bool$  **and**  $f :: expr$  **and**  $ts :: expr\ list$

**shows**

$\langle\langle m \rangle = f \cdot ts \rangle = \langle\langle m \rangle = f \wedge ts = [] \rangle$   
 $\langle f \cdot ts = \langle m \rangle \rangle = \langle\langle m \rangle = f \wedge ts = [] \rangle$   
 $\langle i = f \cdot ts \rangle = \langle i = f \wedge ts = [] \rangle$   
 $\langle f \cdot ts = i \rangle = \langle i = f \wedge ts = [] \rangle$   
 $\langle b = f \cdot ts \rangle = \langle b = f \wedge ts = [] \rangle$   
 $\langle f \cdot ts = b \rangle = \langle b = f \wedge ts = [] \rangle$   
 $\langle e1\ ?\ e2 = f \cdot ts \rangle = \langle e1\ ?\ e2 = f \wedge ts = [] \rangle$   
 $\langle f \cdot ts = e1\ ?\ e2 \rangle = \langle e1\ ?\ e2 = f \wedge ts = [] \rangle$   
 $\langle \Lambda\langle T \rangle\ t = f \cdot ts \rangle = \langle \Lambda\langle T \rangle\ t = f \wedge ts = [] \rangle$   
 $\langle f \cdot ts = \Lambda\langle T \rangle\ t \rangle = \langle \Lambda\langle T \rangle\ t = f \wedge ts = [] \rangle$   
 $\langle proof \rangle$

**lemma**  $Apps\_Var\_eq[simp]: \langle xT \rangle \cdot ss = \langle yU \rangle \cdot ts \longleftrightarrow xT = yU \wedge ss = ts$   
 $\langle proof \rangle$

**lemma**  $Apps\_Abs\_neq\_Apps[simp, symmetric, simp]:$

$\Lambda\langle T \rangle r \cdot t \neq \langle xT \rangle \cdot ss$   
 $\Lambda\langle T \rangle r \cdot t \neq (i :: idx) \cdot ss$   
 $\Lambda\langle T \rangle r \cdot t \neq (b :: bool) \cdot ss$   
 $\Lambda\langle T \rangle r \cdot t \neq (e1 ? e2) \cdot ss$   
 $\langle proof \rangle$

**lemma** *App\_Abs\_eq\_Apps\_Abs[simp]*:  $\Lambda\langle T \rangle r \cdot t = \Lambda\langle T' \rangle r' \cdot ss \iff T = T' \wedge r = r' \wedge ss = [t]$   
 $\langle proof \rangle$

**lemma** *Apps\_Var\_neq\_Apps\_Abs[simp, symmetric, simp]*:  $\langle xT \rangle \cdot ss \neq \Lambda\langle T \rangle r \cdot ts$   
 $\langle proof \rangle$

**lemma** *Apps\_Var\_neq\_Apps\_beta[simp, THEN not\_sym, simp]*:  
 $\langle xT \rangle \cdot ss \neq \Lambda\langle T \rangle r \cdot s \cdot ts$   
 $\langle proof \rangle$

**lemma** *[simp]*:  
 $(\Lambda\langle T \rangle r \cdot ts = \Lambda\langle T' \rangle r' \cdot s' \cdot ts') = (T = T' \wedge r = r' \wedge ts = s' \# ts')$   
 $\langle proof \rangle$

**lemma** *fold\_eq\_Bool\_iff[simp]*:  
 $fold\ op \rightarrow (rev\ Ts)\ T = \mathcal{B} \iff Ts = [] \wedge T = \mathcal{B}$   
 $\mathcal{B} = fold\ op \rightarrow (rev\ Ts)\ T \iff Ts = [] \wedge T = \mathcal{B}$   
 $\langle proof \rangle$

**lemma** *fold\_eq\_Fun\_iff[simp]*:  
 $fold\ op \rightarrow (rev\ Ts)\ T = U \rightarrow V \iff$   
 $(Ts = [] \wedge T = U \rightarrow V \vee (\exists Us.\ Ts = U \# Us \wedge fold\ op \rightarrow (rev\ Us)\ T = V))$   
 $\langle proof \rangle$

## 13.4 Substitution

**primrec** *subst where*

$subst\ xT\ t\ \langle yU \rangle = (if\ xT = yU\ then\ t\ else\ \langle yU \rangle)$   
 $| subst\ xT\ t\ (i :: idx) = i$   
 $| subst\ xT\ t\ (b :: bool) = b$   
 $| subst\ xT\ t\ (e1 ? e2) = subst\ xT\ t\ e1 ? subst\ xT\ t\ e2$   
 $| subst\ xT\ t\ (e1 \cdot e2) = subst\ xT\ t\ e1 \cdot subst\ xT\ t\ e2$   
 $| subst\ xT\ t\ (\Lambda\langle T \rangle e) = \Lambda\langle T \rangle (subst\ xT\ t\ e)$

**lemma** *fv\_subst*:  
 $fv\ (subst\ xT\ t\ u) = fv\ u \mid - \mid \{|xT|\} \mid \cup \mid (if\ xT \in |fv\ u\ then\ fv\ t\ else\ \{||\})$   
 $\langle proof \rangle$

**lemma** *subst\_fresh*:  $fresh\ xT\ u \implies subst\ xT\ t\ u = u$   
 $\langle proof \rangle$

**context begin**

**private lemma** *open\_open\_id*:  $i \neq j \implies open\ i\ t\ (open\ j\ t'\ u) = open\ j\ t'\ u \implies open\ i\ t\ u = u$   
 $\langle proof \rangle$

**lemma** *lc\_open\_id*:  $lc\ u \implies open\ k\ t\ u = u$   
 $\langle proof \rangle$

**lemma** *subst\_open*:  $lc\ u \implies subst\ xT\ u\ (open\ i\ t\ v) = open\ i\ (subst\ xT\ u\ t)\ (subst\ xT\ u\ v)$   
 $\langle proof \rangle$

**lemma** *subst\_open\_Var*:  
 $xT \neq yU \implies lc\ u \implies subst\ xT\ u\ (open\_Var\ i\ yU\ v) = open\_Var\ i\ yU\ (subst\ xT\ u\ v)$   
 $\langle proof \rangle$

**lemma** *subst\_Apps[simp]*:  
 $subst\ xT\ u\ (f \cdot xs) = subst\ xT\ u\ f \cdot map\ (subst\ xT\ u)\ xs$

*<proof>*

**end**

**context begin**

**private lemma** *fresh\_close\_Var\_id*:  $\text{fresh } xT \ t \implies \text{close\_Var } k \ xT \ t = t$   
*<proof>*

**lemma** *subst\_close\_Var*:  
 $xT \neq yU \implies \text{fresh } yU \ u \implies \text{subst } xT \ u \ (\text{close\_Var } i \ yU \ t) = \text{close\_Var } i \ yU \ (\text{subst } xT \ u \ t)$   
*<proof>*

**end**

**lemma** *subst\_intro*:  $\text{fresh } xT \ t \implies \text{lc } u \implies \text{open0 } u \ t = \text{subst } xT \ u \ (\text{open0\_Var } xT \ t)$   
*<proof>*

**lemma** *lc\_subst[simp]*:  $\text{lc } u \implies \text{lc } t \implies \text{lc } (\text{subst } xT \ t \ u)$   
*<proof>*

**lemma** *body\_subst[simp]*:  $\text{body } U \ u \implies \text{lc } t \implies \text{body } U \ (\text{subst } xT \ t \ u)$   
*<proof>*

**lemma** *lc\_open\_Var*:  $\text{lc } u \implies \text{lc } (\text{open\_Var } i \ xT \ u)$   
*<proof>*

**lemma** *lc\_open[simp]*:  $\text{body } U \ u \implies \text{lc } t \implies \text{lc } (\text{open0 } t \ u)$   
*<proof>*

## 13.5 Typing

**inductive** *welltyped* ::  $\text{expr} \Rightarrow \text{type} \Rightarrow \text{bool}$  (**infix** ::: 60) **where**  
| *welltyped\_Var[intro!]*:  $\langle(x, T)\rangle \text{ ::: } T$   
| *welltyped\_B[intro!]*:  $(b \text{ ::: } \text{bool}) \text{ ::: } \mathcal{B}$   
| *welltyped\_Seq[intro!]*:  $e1 \text{ ::: } \mathcal{B} \implies e2 \text{ ::: } \mathcal{B} \implies e1 \ ? \ e2 \text{ ::: } \mathcal{B}$   
| *welltyped\_App[intro]*:  $e1 \text{ ::: } T \rightarrow U \implies e2 \text{ ::: } T \implies e1 \cdot e2 \text{ ::: } U$   
| *welltyped\_Abs[intro]*:  $(\forall x. (x, T) \notin X \longrightarrow \text{open0\_Var } (x, T) \ e \text{ ::: } U) \implies \Lambda\langle T \rangle \ e \text{ ::: } T \rightarrow U$

**inductive-cases** *welltypedE[elim!]*:

$\langle x \rangle \text{ ::: } T$   
 $(i \text{ ::: } \text{id}x) \text{ ::: } T$   
 $(b \text{ ::: } \text{bool}) \text{ ::: } T$   
 $e1 \ ? \ e2 \text{ ::: } T$   
 $e1 \cdot e2 \text{ ::: } T$   
 $\Lambda\langle T \rangle \ e \text{ ::: } U$

**lemma** *welltyped\_unique*:  $t \text{ ::: } T \implies t \text{ ::: } U \implies T = U$   
*<proof>*

**lemma** *welltyped\_lc[simp]*:  $t \text{ ::: } T \implies \text{lc } t$   
*<proof>*

**lemma** *welltyped\_subst[intro]*:  
 $u \text{ ::: } U \implies t \text{ ::: } \text{snd } xT \implies \text{subst } xT \ t \ u \text{ ::: } U$   
*<proof>*

**lemma** *rename\_welltyped*:  $u \text{ ::: } U \implies \text{subst } (x, T) \ \langle(y, T)\rangle \ u \text{ ::: } U$   
*<proof>*

**lemma** *welltyped\_Abs\_fresh*:  
**assumes**  $\text{fresh } (x, T) \ u \ \text{open0\_Var } (x, T) \ u \text{ ::: } U$   
**shows**  $\Lambda\langle T \rangle \ u \text{ ::: } T \rightarrow U$   
*<proof>*

**lemma** *Apps\_alt*:  $f \cdot ts \text{ ::: } T \longleftrightarrow$   
 $(\exists Ts. f \text{ ::: fold } op \rightarrow (rev Ts) T \wedge list\_all2 (op \text{ :::}) ts Ts)$   
 $\langle proof \rangle$

### 13.6 Definition 10 and Lemma 11 from Schmidt-Schauß's paper

**abbreviation** *closed*  $t \equiv fv t = \{\}\}$

**primrec** *constant0* **where**  
 $constant0 \mathcal{B} = Var ("bool", \mathcal{B})$   
 $| constant0 (T \rightarrow U) = \Lambda\langle T \rangle (constant0 U)$

**definition** *constant*  $T = \Lambda\langle \mathcal{B} \rangle (close0\_Var ("bool", \mathcal{B}) (constant0 T))$

**lemma** *fv\_constant0[simp]*:  $fv (constant0 T) = \{("bool", \mathcal{B})\}$   
 $\langle proof \rangle$

**lemma** *closed\_constant[simp]*:  $closed (constant T)$   
 $\langle proof \rangle$

**lemma** *welltyped\_constant0[simp]*:  $constant0 T \text{ ::: } T$   
 $\langle proof \rangle$

**lemma** *lc\_constant0[simp]*:  $lc (constant0 T)$   
 $\langle proof \rangle$

**lemma** *welltyped\_constant[simp]*:  $constant T \text{ ::: } \mathcal{B} \rightarrow T$   
 $\langle proof \rangle$

**definition** *nth\_drop* **where**  
 $nth\_drop i xs \equiv take i xs @ drop (Suc i) xs$

**definition** *nth\_arg* (**infixl**  $!- 100$ ) **where**  
 $nth\_arg T i \equiv nth (dest\_fun T) i$

**abbreviation** *ar* **where**  
 $ar T \equiv length (dest\_fun T)$

**lemma** *size\_nth\_arg[simp]*:  $i < ar T \implies size (T !- i) < size T$   
 $\langle proof \rangle$

**fun**  $\pi \text{ ::: } type \Rightarrow nat \Rightarrow nat \Rightarrow type$  **where**  
 $\pi T i 0 = (if i < ar T then nth\_drop i (dest\_fun T) \rightarrow\rightarrow \mathcal{B} else \mathcal{B})$   
 $| \pi T i (Suc j) = (if i < ar T \wedge j < ar (T !- i)$   
 $then \pi (T !- i) j 0 \rightarrow$   
 $map (\pi (T !- i) j o Suc) [0 ..< ar (T !- i) - j]) \rightarrow\rightarrow \pi T i 0 else \mathcal{B})$

**theorem**  *$\pi\_induct$* [*rotated -2, consumes 2, case\_names 0 Suc*]:  
**assumes**  $\bigwedge T i. i < ar T \implies P T i 0$   
**and**  $\bigwedge T i j. i < ar T \implies j < ar (T !- i) \implies P (T !- i) j 0 \implies$   
 $(\forall x < ar (T !- i) - j. P (T !- i) j (x + 1)) \implies P T i (j + 1)$   
**shows**  $i < ar T \implies j \leq ar (T !- i) \implies P T i j$   
 $\langle proof \rangle$

**definition**  $\varepsilon \text{ ::: } type \Rightarrow nat \Rightarrow type$  **where**  
 $\varepsilon T i = \pi T i 0 \rightarrow map (\pi T i o Suc) [0 ..< ar (T !- i)] \rightarrow\rightarrow T$

**definition** *Abss* ( $\Lambda[_] \_ [100, 100] 800$ ) **where**  
 $\Lambda[xTs] b = fold (\lambda xT t. \Lambda\langle snd xT \rangle close0\_Var xT t) (rev xTs) b$

**definition** *Seqs* (**infixr**  $?? 75$ ) **where**  
 $ts ?? t = fold (\lambda u t. u ? t) (rev ts) t$

**definition** *variant k base = base @ replicate k CHR "\*"'*

**lemma** *variant\_inj*: *variant i base = variant j base  $\implies i = j$*   
 ⟨proof⟩

**lemma** *variant\_inj2*:

*CHR "\*"  $\notin$  set b1  $\implies$  CHR "\*"  $\notin$  set b2  $\implies$  variant i b1 = variant j b2  $\implies$  b1 = b2*  
 ⟨proof⟩

**fun** *E* :: *type*  $\Rightarrow$  *nat*  $\Rightarrow$  *expr* **and** *P* :: *type*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *expr* **where**

*E T i* = (if *i* < ar *T* then (let  
   *Ti* = *T!*-*i*;  
   *x* =  $\lambda k$ . (variant *k* "x", *T!*-*k*);  
   *xs* = map *x* [0 ..< ar *T*];  
   *xx\_var* = (nth *xs* *i*);  
   *x\_vars* = map ( $\lambda x$ . ⟨*x*⟩) (nth\_drop *i* *xs*);  
   *yy* = ("z",  $\pi$  *T i* 0);  
   *yy\_var* = ⟨*yy*⟩;  
   *y* =  $\lambda j$ . (variant *j* "y",  $\pi$  *T i* (*j* + 1));  
   *ys* = map *y* [0 ..< ar *Ti*];  
   *e* =  $\lambda j$ . ⟨*y j*⟩ · (*P Ti j* 0 · *xx\_var* # map ( $\lambda k$ . *P Ti j* (*k* + 1) · *xx\_var*) [0 ..< ar (*Ti!*-*j*)]);  
   *guards* = map ( $\lambda i$ . *xx\_var* ·  
     map ( $\lambda j$ . constant (*Ti!*-*j*) · (if *i* = *j* then *e i* · *x\_vars* else *True*)) [0 ..< ar *Ti*])  
     [0 ..< ar *Ti*]  
 in  $\Lambda$ [(*yy* # *ys* @ *xs*)] (*guards* ?? (*yy\_var* · *x\_vars*)) else constant ( $\varepsilon$  *T i*) · *False*)  
| *P T i* 0 =  
 (if *i* < ar *T* then (let  
   *f* = ("f", *T*);  
   *f\_var* = ⟨*f*⟩;  
   *x* =  $\lambda k$ . (variant *k* "x", *T!*-*k*);  
   *xs* = nth\_drop *i* (map *x* [0 ..< ar *T*]);  
   *x\_vars* = insert\_nth *i* (constant (*T!*-*i*) · *True*) (map ( $\lambda x$ . ⟨*x*⟩) *xs*)  
 in  $\Lambda$ [(*f* # *xs*)] (*f\_var* · *x\_vars*) else constant (*T*  $\rightarrow$   $\pi$  *T i* 0) · *False*)  
| *P T i* (*Suc j*) = (if *i* < ar *T*  $\wedge$  *j* < ar (*T!*-*i*) then (let  
   *Ti* = *T!*-*i*;  
   *Tij* = *Ti!*-*j*;  
   *f* = ("f", *T*);  
   *f\_var* = ⟨*f*⟩;  
   *x* =  $\lambda k$ . (variant *k* "x", *T!*-*k*);  
   *xs* = nth\_drop *i* (map *x* [0 ..< ar *T*]);  
   *yy* = ("z",  $\pi$  *Ti j* 0);  
   *yy\_var* = ⟨*yy*⟩;  
   *y* =  $\lambda k$ . (variant *k* "y",  $\pi$  *Ti j* (*k* + 1));  
   *ys* = map *y* [0 ..< ar *Tij*];  
   *y\_vars* = *yy\_var* # map ( $\lambda x$ . ⟨*x*⟩) *ys*;  
   *x\_vars* = insert\_nth *i* (*E Ti j* · *y\_vars*) (map ( $\lambda x$ . ⟨*x*⟩) *xs*)  
 in  $\Lambda$ [(*f* # *yy* # *ys* @ *xs*)] (*f\_var* · *x\_vars*) else constant (*T*  $\rightarrow$   $\pi$  *T i* (*j* + 1)) · *False*)

**lemma** *Abss\_Nil[simp]*:  $\Lambda[\square] b = b$   
 ⟨proof⟩

**lemma** *Abss\_Cons[simp]*:  $\Lambda[(x \# xs)] b = \Lambda(\text{snd } x) (\text{close0\_Var } x (\Lambda[xs] b))$   
 ⟨proof⟩

**lemma** *welltyped\_Abss*: *b* :: *U*  $\implies T = \text{map snd } xTs \rightarrow \rightarrow U \implies \Lambda[xTs] b :: *T*  
 ⟨proof⟩$

**lemma** *welltyped\_Apps*: *list\_all2* (*op* ::) *ts* *Ts*  $\implies f$  :: *Ts*  $\rightarrow \rightarrow U \implies f \cdot ts$  :: *U*  
 ⟨proof⟩

**lemma** *welltyped\_open\_Var\_close\_Var[intro]*:  
*t* :: *T*  $\implies \text{open0\_Var } xT (\text{close0\_Var } xT t)$  :: *T*  
 ⟨proof⟩



**lemma** *welltyped\_Var\_iff*[simp]:  
 $\langle (x, T) \rangle :: U \longleftrightarrow T = U$   
 ⟨proof⟩

**lemma** *welltyped\_bool\_iff*[simp]:  $(b :: \mathcal{B}) :: T \longleftrightarrow T = \mathcal{B}$   
 ⟨proof⟩

**lemma** *welltyped\_constant0\_iff*[simp]:  $\text{constant0 } T :: U \longleftrightarrow (U = T)$   
 ⟨proof⟩

**lemma** *welltyped\_constant\_iff*[simp]:  $\text{constant } T :: U \longleftrightarrow (U = \mathcal{B} \rightarrow T)$   
 ⟨proof⟩

**lemma** *welltyped\_Seq\_iff*[simp]:  $e1 \text{ ? } e2 :: T \longleftrightarrow (T = \mathcal{B} \wedge e1 :: \mathcal{B} \wedge e2 :: \mathcal{B})$   
 ⟨proof⟩

**lemma** *welltyped\_Seqs\_iff*[simp]:  $es \text{ ?? } e :: T \longleftrightarrow$   
 $((es \neq [] \rightarrow T = \mathcal{B}) \wedge (\forall e \in \text{set } es. e :: \mathcal{B}) \wedge e :: T)$   
 ⟨proof⟩

**lemma** *welltyped\_App\_iff*[simp]:  $f \cdot t :: U \longleftrightarrow (\exists T. f :: T \rightarrow U \wedge t :: T)$   
 ⟨proof⟩

**lemma** *welltyped\_Apps\_iff*[simp]:  $f \cdot ts :: U \longleftrightarrow (\exists Ts. f :: Ts \rightarrow U \wedge \text{list\_all2 } (op \text{ :::}) \text{ } ts \ Ts)$   
 ⟨proof⟩

**lemma** *eq\_mk\_fun\_iff*[simp]:  $T = Ts \rightarrow \mathcal{B} \longleftrightarrow Ts = \text{dest\_fun } T$   
 ⟨proof⟩

**lemma** *map\_nth\_eq\_drop\_take*[simp]:  $j \leq \text{length } xs \implies \text{map } (nth \ xs) \ [i \ ..< j] = \text{drop } i \ (\text{take } j \ xs)$   
 ⟨proof⟩

**lemma** *dest\_fun\_pi\_0*:  $i < \text{ar } T \implies \text{dest\_fun } (\pi \ T \ i \ 0) = \text{nth\_drop } i \ (\text{dest\_fun } T)$   
 ⟨proof⟩

**lemma** *welltyped\_E*:  $E \ T \ i :: \varepsilon \ T \ i$  **and** *welltyped\_P*:  $P \ T \ i \ j :: T \rightarrow \pi \ T \ i \ j$   
 ⟨proof⟩

**lemma**  $\delta\_gt\_0$ [simp]:  $T \neq \mathcal{B} \implies \text{HMSet } \{\#\} < \delta \ T$   
 ⟨proof⟩

**lemma** *mset\_nth\_drop\_less*:  $i < \text{length } xs \implies \text{mset } (\text{nth\_drop } i \ xs) < \text{mset } xs$   
 ⟨proof⟩

**lemma** *map\_nth\_drop*:  $i < \text{length } xs \implies \text{map } f \ (\text{nth\_drop } i \ xs) = \text{nth\_drop } i \ (\text{map } f \ xs)$   
 ⟨proof⟩

**lemma** *empty\_less\_mset*:  $\{\#\} < \text{mset } xs \longleftrightarrow xs \neq []$   
 ⟨proof⟩

**lemma** *dest\_fun\_alt*:  $\text{dest\_fun } T = \text{map } (\lambda i. T \ !- \ i) \ [0 \ ..< \text{ar } T]$   
 ⟨proof⟩

**context notes**  $\pi.\text{simps}$ [simp del] **notes** *One\_nat\_def*[simp del] **begin**

**lemma**  $\delta\_pi$ :  
**assumes**  $i < \text{ar } T \ j \leq \text{ar } (T \ !- \ i)$   
**shows**  $\delta \ (\pi \ T \ i \ j) < \delta \ T$   
 ⟨proof⟩

**end**

end