

Formalization of Nested Multisets, Hereditary Multisets, and Syntactic Ordinals

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Abstract

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna’s nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

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1 Introduction

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna’s nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

In addition, signed (or hybrid) multisets are provided (i.e., multisets with possibly negative multiplicities), as well as signed hereditary multisets and signed ordinals (e.g., $\omega^2 - 2\omega + 1$).

We refer to the following conference paper for details:

Jasmin Christian Blanchette, Mathias Fleury, Dmitriy Traytel:
Nested Multisets, Hereditary Multisets, and Syntactic Ordinals in Isabelle/HOL.
FSCD 2017: 11:1-11:18
<https://hal.inria.fr/hal-01599176/document>

2 More about Multisets

```
theory Multiset_More
  imports
    HOL-Library.Multiset_Order
    HOL-Library.Sublist
begin
```

Isabelle’s theory of finite multisets is not as developed as other areas, such as lists and sets. The present theory introduces some missing concepts and lemmas. Some of it is expected to move to Isabelle’s library.

2.1 Basic Setup

```
declare
  diff_single_trivial [simp]
  in_image_mset [iff]
  image_mset.compositionality [simp]

  mset_subset_eqD[dest, intro?]

  Multiset.in_multiset_in_set[simp]
  inter_add_left1 [simp]
  inter_add_left2 [simp]
  inter_add_right1 [simp]
  inter_add_right2 [simp]

  sum_mset_sum_list [simp]
```

2.2 Lemmas about Intersection, Union and Pointwise Inclusion

```
lemma subset_mset_imp_subset_add_mset:  $A \subseteq\# B \implies A \subseteq\# \text{add\_mset } x B$ 
  <proof>
```

```
lemma subset_add_mset_notin_subset_mset:  $\langle A \subseteq\# \text{add\_mset } b B \implies b \notin\# A \implies A \subseteq\# B \rangle$ 
  <proof>
```

```
lemma subset_msetE [elim!]:  $\llbracket A \subset\# B; \llbracket A \subseteq\# B; \neg B \subseteq\# A \rrbracket \implies R \rrbracket \implies R$ 
  <proof>
```

```
lemma Diff_triv_mset:  $M \cap\# N = \{\#\} \implies M - N = M$ 
  <proof>
```

```
lemma diff_intersect_sym_diff:  $(A - B) \cap\# (B - A) = \{\#\}$ 
  <proof>
```

lemma *subseq_mset_subseteq_mset*: $\text{subseq } xs \ ys \implies \text{mset } xs \subseteq\# \text{mset } ys$
 ⟨proof⟩

lemma *finite_mset_set_inter*:
 ⟨finite $A \implies$ finite $B \implies \text{mset_set } (A \cap B) = \text{mset_set } A \cap\# \text{mset_set } B$ ⟩
 ⟨proof⟩

2.3 Lemmas about Filter and Image

lemma *count_image_mset_ge_count*: $\text{count } (\text{image_mset } f \ A) \ (f \ b) \geq \text{count } A \ b$
 ⟨proof⟩

lemma *count_image_mset_inj*:
assumes ⟨inj f ⟩
shows ⟨ $\text{count } (\text{image_mset } f \ M) \ (f \ x) = \text{count } M \ x$ ⟩
 ⟨proof⟩

lemma *count_image_mset_le_count_inj_on*:
 inj_on $f \ (\text{set_mset } M) \implies \text{count } (\text{image_mset } f \ M) \ y \leq \text{count } M \ (\text{inv_into } (\text{set_mset } M) \ f \ y)$
 ⟨proof⟩

lemma *mset_filter_compl*: $\text{mset } (\text{filter } p \ xs) + \text{mset } (\text{filter } (\text{Not } \circ \ p) \ xs) = \text{mset } xs$
 ⟨proof⟩

Near duplicate of *filter_eq_replicate_mset*: $\{\#y \in\# \ ?D. \ y = \ ?x\#\} = \text{replicate_mset } (\text{count } \ ?D \ \ ?x) \ \ ?x$.

lemma *filter_mset_eq*: $\text{filter_mset } ((=) \ L) \ A = \text{replicate_mset } (\text{count } A \ L) \ L$
 ⟨proof⟩

lemma *filter_mset_cong[fundef_cong]*:
assumes $M = M' \ \wedge \ a. \ a \in\# \ M \implies P \ a = Q \ a$
shows $\text{filter_mset } P \ M = \text{filter_mset } Q \ M$
 ⟨proof⟩

lemma *image_mset_filter_swap*: $\text{image_mset } f \ \{\#x \in\# \ M. \ P \ (f \ x)\#\} = \{\#x \in\# \ \text{image_mset } f \ M. \ P \ x\#\}$
 ⟨proof⟩

lemma *image_mset_cong2*:
 $(\wedge x. \ x \in\# \ M \implies f \ x = g \ x) \implies M = N \implies \text{image_mset } f \ M = \text{image_mset } g \ N$
 ⟨proof⟩

lemma *filter_mset_empty_conv*: $\langle \text{filter_mset } P \ M = \{\#\} \rangle = \langle \forall L \in\# \ M. \ \neg \ P \ L \rangle$
 ⟨proof⟩

lemma *multiset_filter_mono2*: $\langle \text{filter_mset } P \ A \subseteq\# \ \text{filter_mset } Q \ A \longleftrightarrow (\forall a \in\# \ A. \ P \ a \longrightarrow Q \ a) \rangle$
 ⟨proof⟩

lemma *image_filter_cong*:
assumes ⟨ $\wedge C. \ C \in\# \ M \implies P \ C \implies f \ C = g \ C$ ⟩
shows $\langle \{\#f \ C. \ C \in\# \ \{\#C \in\# \ M. \ P \ C\#\}\#\} = \{\#g \ C \mid C \in\# \ M. \ P \ C\#\} \rangle$
 ⟨proof⟩

lemma *image_mset_filter_swap2*: $\langle \{\#C \in\# \ \{\#P \ x. \ x \in\# \ D\#\}. \ Q \ C \ \#\} = \{\#P \ x. \ x \in\# \ \{\#C \mid C \in\# \ D. \ Q \ (P \ C)\#\}\#\} \rangle$
 ⟨proof⟩

declare *image_mset_cong2* [cong]

lemma *filter_mset_empty_if_finite_and_filter_set_empty*:
assumes
 { $x \in X. \ P \ x$ } = {} and
 finite X
shows $\{\#x \in\# \ \text{mset_set } X. \ P \ x\#\} = \{\#\}$
 ⟨proof⟩

2.4 Lemmas about Sum

lemma *sum_image_mset_sum_map[simp]*: $\text{sum_mset } (\text{image_mset } f \text{ (mset } xs)) = \text{sum_list } (\text{map } f \text{ } xs)$
 ⟨proof⟩

lemma *sum_image_mset_mono*:
fixes $f :: 'a \Rightarrow 'b::\text{canonically_ordered_monoid_add}$
assumes $sub: A \subseteq\# B$
shows $(\sum m \in\# A. f \ m) \leq (\sum m \in\# B. f \ m)$
 ⟨proof⟩

lemma *sum_image_mset_mono_mem*:
 $n \in\# M \implies f \ n \leq (\sum m \in\# M. f \ m)$ **for** $f :: 'a \Rightarrow 'b::\text{canonically_ordered_monoid_add}$
 ⟨proof⟩

lemma *count_sum_mset_if_1_0*: $\langle \text{count } M \ a = (\sum x \in\# M. \text{if } x = a \text{ then } 1 \text{ else } 0) \rangle$
 ⟨proof⟩

lemma *sum_mset_dvd*:
fixes $k :: 'a::\text{comm_semiring_1_cancel}$
assumes $\forall m \in\# M. k \ \text{dvd} \ f \ m$
shows $k \ \text{dvd} \ (\sum m \in\# M. f \ m)$
 ⟨proof⟩

lemma *sum_mset_distrib_div_if_dvd*:
fixes $k :: 'a::\text{unique_euclidean_semiring}$
assumes $\forall m \in\# M. k \ \text{dvd} \ f \ m$
shows $(\sum m \in\# M. f \ m) \ \text{div} \ k = (\sum m \in\# M. f \ m \ \text{div} \ k)$
 ⟨proof⟩

2.5 Lemmas about Remove

lemma *set_mset_minus_replicate_mset[simp]*:
 $n \geq \text{count } A \ a \implies \text{set_mset } (A - \text{replicate_mset } n \ a) = \text{set_mset } A - \{a\}$
 $n < \text{count } A \ a \implies \text{set_mset } (A - \text{replicate_mset } n \ a) = \text{set_mset } A$
 ⟨proof⟩

abbreviation *removeAll_mset* :: $'a \Rightarrow 'a \ \text{multiset} \Rightarrow 'a \ \text{multiset}$ **where**
 $\text{removeAll_mset } C \ M \equiv M - \text{replicate_mset } (\text{count } M \ C) \ C$

lemma *mset_removeAll[simp, code]*: $\text{removeAll_mset } C \ (\text{mset } L) = \text{mset } (\text{removeAll } C \ L)$
 ⟨proof⟩

lemma *removeAll_mset_filter_mset*: $\text{removeAll_mset } C \ M = \text{filter_mset } ((\neq) \ C) \ M$
 ⟨proof⟩

abbreviation *remove1_mset* :: $'a \Rightarrow 'a \ \text{multiset} \Rightarrow 'a \ \text{multiset}$ **where**
 $\text{remove1_mset } C \ M \equiv M - \{\#C\#\}$

lemma *removeAll_subseteq_remove1_mset*: $\text{removeAll_mset } x \ M \subseteq\# \text{remove1_mset } x \ M$
 ⟨proof⟩

lemma *in_remove1_mset_neq*:
assumes $ab: a \neq b$
shows $a \in\# \text{remove1_mset } b \ C \longleftrightarrow a \in\# C$
 ⟨proof⟩

lemma *size_mset_removeAll_mset_le_iff*: $\text{size } (\text{removeAll_mset } x \ M) < \text{size } M \longleftrightarrow x \in\# M$
 ⟨proof⟩

lemma *size_remove1_mset>If*: $\langle \text{size } (\text{remove1_mset } x \ M) = \text{size } M - (\text{if } x \in\# M \text{ then } 1 \text{ else } 0) \rangle$
 ⟨proof⟩

lemma *size_mset_remove1_mset_le_iff*: $\text{size } (\text{remove1_mset } x \ M) < \text{size } M \longleftrightarrow x \in\# M$

<proof>

lemma *remove_1_mset_id_iff_notin*: $\text{remove1_mset } a \ M = M \longleftrightarrow a \notin \# \ M$
<proof>

lemma *id_remove_1_mset_iff_notin*: $M = \text{remove1_mset } a \ M \longleftrightarrow a \notin \# \ M$
<proof>

lemma *remove1_mset_eqE*:
 $\text{remove1_mset } L \ x1 = M \implies$
 $(L \in \# \ x1 \implies x1 = M + \{\#L\# \} \implies P) \implies$
 $(L \notin \# \ x1 \implies x1 = M \implies P) \implies$
 P
<proof>

lemma *image_filter_ne_mset[simp]*:
 $\text{image_mset } f \ \{\#x \in \# \ M. f \ x \neq y\# \} = \text{removeAll_mset } y \ (\text{image_mset } f \ M)$
<proof>

lemma *image_mset_remove1_mset_if*:
 $\text{image_mset } f \ (\text{remove1_mset } a \ M) =$
 $(\text{if } a \in \# \ M \text{ then } \text{remove1_mset } (f \ a) \ (\text{image_mset } f \ M) \text{ else } \text{image_mset } f \ M)$
<proof>

lemma *filter_mset_neq*: $\{\#x \in \# \ M. x \neq y\# \} = \text{removeAll_mset } y \ M$
<proof>

lemma *filter_mset_neq_cond*: $\{\#x \in \# \ M. P \ x \wedge x \neq y\# \} = \text{removeAll_mset } y \ \{\#x \in \# \ M. P \ x\# \}$
<proof>

lemma *remove1_mset_add_mset>If*:
 $\text{remove1_mset } L \ (\text{add_mset } L' \ C) = (\text{if } L = L' \text{ then } C \text{ else } \text{remove1_mset } L \ C + \{\#L'\# \})$
<proof>

lemma *minus_remove1_mset_if*:
 $A - \text{remove1_mset } b \ B = (\text{if } b \in \# \ B \wedge b \in \# \ A \wedge \text{count } A \ b \geq \text{count } B \ b \text{ then } \{\#b\# \} + (A - B) \text{ else } A - B)$
<proof>

lemma *add_mset_eq_add_mset_ne*:
 $a \neq b \implies \text{add_mset } a \ A = \text{add_mset } b \ B \longleftrightarrow a \in \# \ B \wedge b \in \# \ A \wedge A = \text{add_mset } b \ (B - \{\#a\# \})$
<proof>

lemma *add_mset_eq_add_mset*: $\langle \text{add_mset } a \ M = \text{add_mset } b \ M' \longleftrightarrow$
 $(a = b \wedge M = M') \vee (a \neq b \wedge b \in \# \ M \wedge \text{add_mset } a \ (M - \{\#b\# \}) = M' \rangle$
<proof>

lemma *add_mset_remove_trivial_iff*: $\langle N = \text{add_mset } a \ (N - \{\#b\# \}) \longleftrightarrow a \in \# \ N \wedge a = b \rangle$
<proof>

lemma *trivial_add_mset_remove_iff*: $\langle \text{add_mset } a \ (N - \{\#b\# \}) = N \longleftrightarrow a \in \# \ N \wedge a = b \rangle$
<proof>

lemma *remove1_single_empty_iff[simp]*: $\langle \text{remove1_mset } L \ \{\#L'\# \} = \{\#\} \longleftrightarrow L = L' \rangle$
<proof>

lemma *add_mset_less_imp_less_remove1_mset*:
assumes xM_lt_N : $\text{add_mset } x \ M < N$
shows $M < \text{remove1_mset } x \ N$
<proof>

lemma *remove_diff_multiset[simp]*: $\langle x13 \notin \# \ A \implies A - \text{add_mset } x13 \ B = A - B \rangle$
<proof>

lemma *removeAll_notin*: $\langle a \notin \# A \implies \text{removeAll_mset } a A = A \rangle$
 ⟨proof⟩

lemma *mset_drop_upto*: $\langle \text{mset } (\text{drop } a N) = \{\#N!i. i \in \# \text{mset_set } \{a..<\text{length } N\}\#\} \rangle$
 ⟨proof⟩

2.6 Lemmas about Replicate

lemma *replicate_mset_minus_replicate_mset_same*[simp]:
 $\text{replicate_mset } m x - \text{replicate_mset } n x = \text{replicate_mset } (m - n) x$
 ⟨proof⟩

lemma *replicate_mset_subset_iff_lt*[simp]: $\text{replicate_mset } m x \subset \# \text{replicate_mset } n x \longleftrightarrow m < n$
 ⟨proof⟩

lemma *replicate_mset_subseteq_iff_le*[simp]: $\text{replicate_mset } m x \subseteq \# \text{replicate_mset } n x \longleftrightarrow m \leq n$
 ⟨proof⟩

lemma *replicate_mset_lt_iff_lt*[simp]: $\text{replicate_mset } m x < \text{replicate_mset } n x \longleftrightarrow m < n$
 ⟨proof⟩

lemma *replicate_mset_le_iff_le*[simp]: $\text{replicate_mset } m x \leq \text{replicate_mset } n x \longleftrightarrow m \leq n$
 ⟨proof⟩

lemma *replicate_mset_eq_iff*[simp]:
 $\text{replicate_mset } m x = \text{replicate_mset } n y \longleftrightarrow m = n \wedge (m \neq 0 \longrightarrow x = y)$
 ⟨proof⟩

lemma *replicate_mset_plus*: $\text{replicate_mset } (a + b) C = \text{replicate_mset } a C + \text{replicate_mset } b C$
 ⟨proof⟩

lemma *mset_replicate_replicate_mset*: $\text{mset } (\text{replicate } n L) = \text{replicate_mset } n L$
 ⟨proof⟩

lemma *set_mset_single_iff_replicate_mset*: $\text{set_mset } U = \{a\} \longleftrightarrow (\exists n > 0. U = \text{replicate_mset } n a)$
 ⟨proof⟩

lemma *ex_replicate_mset_if_all_elems_eq*:
assumes $\forall x \in \# M. x = y$
shows $\exists n. M = \text{replicate_mset } n y$
 ⟨proof⟩

2.7 Multiset and Set Conversions

lemma *count_mset_set_if*: $\text{count } (\text{mset_set } A) a = (\text{if } a \in A \wedge \text{finite } A \text{ then } 1 \text{ else } 0)$
 ⟨proof⟩

lemma *mset_set_set_mset_empty_mempty*[iff]: $\text{mset_set } (\text{set_mset } D) = \{\#\} \longleftrightarrow D = \{\#\}$
 ⟨proof⟩

lemma *count_mset_set_le_one*: $\text{count } (\text{mset_set } A) x \leq 1$
 ⟨proof⟩

lemma *mset_set_set_mset_subseteq*[simp]: $\text{mset_set } (\text{set_mset } A) \subseteq \# A$
 ⟨proof⟩

lemma *mset_sorted_list_of_set*[simp]: $\text{mset } (\text{sorted_list_of_set } A) = \text{mset_set } A$
 ⟨proof⟩

lemma *sorted_sorted_list_of_multiset*[simp]:
 $\text{sorted } (\text{sorted_list_of_multiset } (M :: 'a::\text{linorder multiset}))$
 ⟨proof⟩

lemma *mset_take_subseteq*: $mset (take\ n\ xs) \subseteq_{\#} mset\ xs$
 ⟨proof⟩

lemma *sorted_list_of_multiset_eq_Nil[simp]*: $sorted_list_of_multiset\ M = [] \longleftrightarrow M = \{\#\}$
 ⟨proof⟩

2.8 Duplicate Removal

definition *remdups_mset* :: $'v\ multiset \Rightarrow 'v\ multiset$ **where**
remdups_mset $S = mset_set (set_mset\ S)$

lemma *set_mset_remdups_mset[simp]*: $\langle set_mset (remdups_mset\ A) = set_mset\ A \rangle$
 ⟨proof⟩

lemma *count_remdups_mset_eq_1*: $a \in_{\#} remdups_mset\ A \longleftrightarrow count (remdups_mset\ A)\ a = 1$
 ⟨proof⟩

lemma *remdups_mset_empty[simp]*: $remdups_mset\ \{\#\} = \{\#\}$
 ⟨proof⟩

lemma *remdups_mset_singleton[simp]*: $remdups_mset\ \{\#a\} = \{\#a\}$
 ⟨proof⟩

lemma *remdups_mset_eq_empty[iff]*: $remdups_mset\ D = \{\#\} \longleftrightarrow D = \{\#\}$
 ⟨proof⟩

lemma *remdups_mset_singleton_sum[simp]*:
 $remdups_mset (add_mset\ a\ A) = (if\ a \in_{\#} A\ then\ remdups_mset\ A\ else\ add_mset\ a (remdups_mset\ A))$
 ⟨proof⟩

lemma *mset_remdups_remdups_mset[simp]*: $mset (remdups\ D) = remdups_mset (mset\ D)$
 ⟨proof⟩

declare *mset_remdups_remdups_mset[symmetric, code]*

lemma *count_remdups_mset_If*: $\langle count (remdups_mset\ A)\ a = (if\ a \in_{\#} A\ then\ 1\ else\ 0) \rangle$
 ⟨proof⟩

lemma *notin_add_mset_remdups_mset*:
 $\langle a \notin_{\#} A \implies add_mset\ a (remdups_mset\ A) = remdups_mset (add_mset\ a\ A) \rangle$
 ⟨proof⟩

2.9 Repeat Operation

lemma *repeat_mset_compower*: $repeat_mset\ n\ A = (((+) A) \overset{\sim}{\sim} n) \{\#\}$
 ⟨proof⟩

lemma *repeat_mset_prod*: $repeat_mset (m * n) A = (((+) (repeat_mset\ n\ A)) \overset{\sim}{\sim} m) \{\#\}$
 ⟨proof⟩

2.10 Cartesian Product

Definition of the cartesian products over multisets. The construction mimics of the cartesian product on sets and use the same theorem names (adding only the suffix *_mset* to Sigma and Times). See file `~/src/HOL/Product_Type.thy`

definition *Sigma_mset* :: $'a\ multiset \Rightarrow ('a \Rightarrow 'b\ multiset) \Rightarrow ('a \times 'b)\ multiset$ **where**
Sigma_mset $A\ B \equiv \sum_{\#} \{\#\{\#\{a, b\}. b \in_{\#} B\ a\#\}. a \in_{\#} A\ \#\}$

abbreviation *Times_mset* :: $'a\ multiset \Rightarrow 'b\ multiset \Rightarrow ('a \times 'b)\ multiset$ (**infixr** $\langle \times_{\#} \rangle$ 80) **where**
Times_mset $A\ B \equiv Sigma_mset\ A (\lambda_ . B)$

hide-const (**open**) *Times_mset*

Contrary to the set version $A \times B$, we use the non-ASCII symbol $\in\#$.

syntax

$_Sigma_mset :: [pttrn, 'a\ multiset, 'b\ multiset] \Rightarrow ('a * 'b)\ multiset$
 $\langle \langle (3SIGMAMSET _ \in\# _ / _) \rangle [0, 0, 10] 10 \rangle$

syntax-consts

$_Sigma_mset \Rightarrow Sigma_mset$

translations

$SIGMAMSET\ x \in\# A. B \Rightarrow CONST\ Sigma_mset\ A\ (\lambda x. B)$

Link between the multiset and the set cartesian product:

lemma $Times_mset_Times: set_mset\ (A \times\# B) = set_mset\ A \times set_mset\ B$
 $\langle proof \rangle$

lemma $Sigma_msetI\ [intro!]: [a \in\# A; b \in\# B\ a] \Rightarrow (a, b) \in\# Sigma_mset\ A\ B$
 $\langle proof \rangle$

lemma $Sigma_msetE[elim!]: [c \in\# Sigma_mset\ A\ B; \wedge x\ y. [x \in\# A; y \in\# B\ x; c = (x, y)] \Rightarrow P] \Rightarrow P$
 $\langle proof \rangle$

Elimination of $(a, b) \in\# A \times\# B$ – introduces no eigenvariables.

lemma $Sigma_msetD1: (a, b) \in\# Sigma_mset\ A\ B \Rightarrow a \in\# A$
 $\langle proof \rangle$

lemma $Sigma_msetD2: (a, b) \in\# Sigma_mset\ A\ B \Rightarrow b \in\# B\ a$
 $\langle proof \rangle$

lemma $Sigma_msetE2: [(a, b) \in\# Sigma_mset\ A\ B; [a \in\# A; b \in\# B\ a] \Rightarrow P] \Rightarrow P$
 $\langle proof \rangle$

lemma $Sigma_mset_cong:$

$[A = B; \wedge x. x \in\# B \Rightarrow C\ x = D\ x] \Rightarrow (SIGMAMSET\ x \in\# A. C\ x) = (SIGMAMSET\ x \in\# B. D\ x)$
 $\langle proof \rangle$

lemma $count_sum_mset: count\ (\sum\# M)\ b = (\sum P \in\# M. count\ P\ b)$
 $\langle proof \rangle$

lemma $Sigma_mset_plus_distrib1[simp]: Sigma_mset\ (A + B)\ C = Sigma_mset\ A\ C + Sigma_mset\ B\ C$
 $\langle proof \rangle$

lemma $Sigma_mset_plus_distrib2[simp]:$

$Sigma_mset\ A\ (\lambda i. B\ i + C\ i) = Sigma_mset\ A\ B + Sigma_mset\ A\ C$
 $\langle proof \rangle$

lemma $Times_mset_single_left: \{\#a\#\} \times\# B = image_mset\ (Pair\ a)\ B$
 $\langle proof \rangle$

lemma $Times_mset_single_right: A \times\# \{\#b\#\} = image_mset\ (\lambda a. Pair\ a\ b)\ A$
 $\langle proof \rangle$

lemma $Times_mset_single_single[simp]: \{\#a\#\} \times\# \{\#b\#\} = \{\#(a, b)\#\}$
 $\langle proof \rangle$

lemma $count_image_mset_Pair:$

$count\ (image_mset\ (Pair\ a)\ B)\ (x, b) = (if\ x = a\ then\ count\ B\ b\ else\ 0)$
 $\langle proof \rangle$

lemma $count_Sigma_mset: count\ (Sigma_mset\ A\ B)\ (a, b) = count\ A\ a * count\ (B\ a)\ b$
 $\langle proof \rangle$

lemma $Sigma_mset_empty1[simp]: Sigma_mset\ \{\#\}\ B = \{\#\}$
 $\langle proof \rangle$

lemma $Sigma_mset_empty2[simp]: A \times\# \{\#\} = \{\#\}$

<proof>

lemma *Sigma_mset_mono*:

assumes $A \subseteq\# C$ **and** $\bigwedge x. x \in\# A \implies B x \subseteq\# D x$

shows $\text{Sigma_mset } A B \subseteq\# \text{Sigma_mset } C D$

<proof>

lemma *mem_Sigma_mset_iff*[*iff*]: $((a,b) \in\# \text{Sigma_mset } A B) = (a \in\# A \wedge b \in\# B a)$

<proof>

lemma *mem_Times_mset_iff*: $x \in\# A \times\# B \longleftrightarrow \text{fst } x \in\# A \wedge \text{snd } x \in\# B$

<proof>

lemma *Sigma_mset_empty_iff*: $(\text{SIGMAMSET } i \in\# I. X i) = \{\#\} \longleftrightarrow (\forall i \in\# I. X i = \{\#\})$

<proof>

lemma *Times_mset_subset_mset_cancel1*: $x \in\# A \implies (A \times\# B \subseteq\# A \times\# C) = (B \subseteq\# C)$

<proof>

lemma *Times_mset_subset_mset_cancel2*: $x \in\# C \implies (A \times\# C \subseteq\# B \times\# C) = (A \subseteq\# B)$

<proof>

lemma *Times_mset_eq_cancel2*: $x \in\# C \implies (A \times\# C = B \times\# C) = (A = B)$

<proof>

lemma *split_paired_Ball_mset_Sigma_mset*[*simp*]:

$(\forall z \in\# \text{Sigma_mset } A B. P z) \longleftrightarrow (\forall x \in\# A. \forall y \in\# B x. P (x, y))$

<proof>

lemma *split_paired_Bex_mset_Sigma_mset*[*simp*]:

$(\exists z \in\# \text{Sigma_mset } A B. P z) \longleftrightarrow (\exists x \in\# A. \exists y \in\# B x. P (x, y))$

<proof>

lemma *sum_mset_if_eq_constant*:

$(\sum x \in\# M. \text{if } a = x \text{ then } (f x) \text{ else } 0) = (((+) (f a)) \frown (\text{count } M a)) 0$

<proof>

lemma *iterate_op_plus*: $((+) k) \frown m = k * m$

<proof>

lemma *untion_image_mset_Pair_distribute*:

$\sum\# \{\#\text{image_mset } (\text{Pair } x) (C x). x \in\# J - I\#\} =$

$\sum\# \{\#\text{image_mset } (\text{Pair } x) (C x). x \in\# J\#\} - \sum\# \{\#\text{image_mset } (\text{Pair } x) (C x). x \in\# I\#\}$

<proof>

lemma *Sigma_mset_Un_distrib1*: $\text{Sigma_mset } (I \cup\# J) C = \text{Sigma_mset } I C \cup\# \text{Sigma_mset } J C$

<proof>

lemma *Sigma_mset_Un_distrib2*: $(\text{SIGMAMSET } i \in\# I. A i \cup\# B i) = \text{Sigma_mset } I A \cup\# \text{Sigma_mset } I B$

<proof>

lemma *Sigma_mset_Int_distrib1*: $\text{Sigma_mset } (I \cap\# J) C = \text{Sigma_mset } I C \cap\# \text{Sigma_mset } J C$

<proof>

lemma *Sigma_mset_Int_distrib2*: $(\text{SIGMAMSET } i \in\# I. A i \cap\# B i) = \text{Sigma_mset } I A \cap\# \text{Sigma_mset } I B$

<proof>

lemma *Sigma_mset_Diff_distrib1*: $\text{Sigma_mset } (I - J) C = \text{Sigma_mset } I C - \text{Sigma_mset } J C$

<proof>

lemma *Sigma_mset_Diff_distrib2*: $(\text{SIGMAMSET } i \in\# I. A i - B i) = \text{Sigma_mset } I A - \text{Sigma_mset } I B$

<proof>

lemma *Sigma_mset_Union*: $\text{Sigma_mset } (\sum \# X) B = (\sum \# (\text{image_mset } (\lambda A. \text{Sigma_mset } A B) X))$
 ⟨proof⟩

lemma *Times_mset_Un_distrib1*: $(A \cup \# B) \times \# C = A \times \# C \cup \# B \times \# C$
 ⟨proof⟩

lemma *Times_mset_Int_distrib1*: $(A \cap \# B) \times \# C = A \times \# C \cap \# B \times \# C$
 ⟨proof⟩

lemma *Times_mset_Diff_distrib1*: $(A - B) \times \# C = A \times \# C - B \times \# C$
 ⟨proof⟩

lemma *Times_mset_empty[simp]*: $A \times \# B = \{\#\} \longleftrightarrow A = \{\#\} \vee B = \{\#\}$
 ⟨proof⟩

lemma *Times_insert_left*: $A \times \# \text{add_mset } x B = A \times \# B + \text{image_mset } (\lambda a. \text{Pair } a x) A$
 ⟨proof⟩

lemma *Times_insert_right*: $\text{add_mset } a A \times \# B = A \times \# B + \text{image_mset } (\text{Pair } a) B$
 ⟨proof⟩

lemma *fst_image_mset_times_mset [simp]*:
 $\text{image_mset } \text{fst } (A \times \# B) = (\text{if } B = \{\#\} \text{ then } \{\#\} \text{ else } \text{repeat_mset } (\text{size } B) A)$
 ⟨proof⟩

lemma *snd_image_mset_times_mset [simp]*:
 $\text{image_mset } \text{snd } (A \times \# B) = (\text{if } A = \{\#\} \text{ then } \{\#\} \text{ else } \text{repeat_mset } (\text{size } A) B)$
 ⟨proof⟩

lemma *product_swap_mset*: $\text{image_mset } \text{prod.swap } (A \times \# B) = B \times \# A$
 ⟨proof⟩

context
begin

qualified definition *product_mset* :: 'a multiset \Rightarrow 'b multiset \Rightarrow ('a \times 'b) multiset **where**
 [code_abbrev]: $\text{product_mset } A B = A \times \# B$

lemma *member_product_mset*: $x \in \# \text{product_mset } A B \longleftrightarrow x \in \# A \times \# B$
 ⟨proof⟩

end

lemma *count_Sigma_mset_abs_def*: $\text{count } (\text{Sigma_mset } A B) = (\lambda(a, b) \Rightarrow \text{count } A a * \text{count } (B a) b)$
 ⟨proof⟩

lemma *Times_mset_image_mset1*: $\text{image_mset } f A \times \# B = \text{image_mset } (\lambda(a, b). (f a, b)) (A \times \# B)$
 ⟨proof⟩

lemma *Times_mset_image_mset2*: $A \times \# \text{image_mset } f B = \text{image_mset } (\lambda(a, b). (a, f b)) (A \times \# B)$
 ⟨proof⟩

lemma *sum_le_singleton*: $A \subseteq \{x\} \Longrightarrow \text{sum } f A = (\text{if } x \in A \text{ then } f x \text{ else } 0)$
 ⟨proof⟩

lemma *Times_mset_assoc*: $(A \times \# B) \times \# C = \text{image_mset } (\lambda(a, b, c). ((a, b), c)) (A \times \# B \times \# C)$
 ⟨proof⟩

2.11 Transfer Rules

lemma *plus_multiset_transfer[transfer_rule]*:
 $(\text{rel_fun } (\text{rel_mset } R) (\text{rel_fun } (\text{rel_mset } R) (\text{rel_mset } R))) (+) (+)$
 ⟨proof⟩

lemma *minus_multiset_transfer*[transfer_rule]:
assumes [transfer_rule]: *bi_unique R*
shows (*rel_fun (rel_mset R) (rel_fun (rel_mset R) (rel_mset R))*) (−) (−)
⟨proof⟩

declare *rel_mset_Zero*[transfer_rule]

lemma *count_transfer*[transfer_rule]:
assumes *bi_unique R*
shows (*rel_fun (rel_mset R) (rel_fun R (=))*) *count count*
⟨proof⟩

lemma *subseq_multiset_transfer*[transfer_rule]:
assumes [transfer_rule]: *bi_unique R right_total R*
shows (*rel_fun (rel_mset R) (rel_fun (rel_mset R) (=))*)
($\lambda M N. \text{filter_mset (Domainp R) } M \subseteq\# \text{filter_mset (Domainp R) } N$) ($\subseteq\#$)
⟨proof⟩

lemma *sum_mset_transfer*[transfer_rule]:
 $R \ 0 \ 0 \implies \text{rel_fun } R \ (\text{rel_fun } R \ R) \ (+) \ (+) \implies (\text{rel_fun (rel_mset } R) \ R) \ \text{sum_mset } \text{sum_mset}$
⟨proof⟩

lemma *Sigma_mset_transfer*[transfer_rule]:
(*rel_fun (rel_mset R) (rel_fun (rel_fun R (rel_mset S)) (rel_mset (rel_prod R S)))*)
Sigma_mset Sigma_mset
⟨proof⟩

2.12 Even More about Multisets

2.12.1 Multisets and Functions

lemma *range_image_mset*:
assumes *set_mset Ds \subseteq range f*
shows *Ds \in range (image_mset f)*
⟨proof⟩

2.12.2 Multisets and Lists

lemma *length_sorted_list_of_multiset[simp]*: *length (sorted_list_of_multiset A) = size A*
⟨proof⟩

definition *list_of_mset* :: 'a multiset \Rightarrow 'a list **where**
list_of_mset m = (SOME l. m = mset l)

lemma *list_of_mset_exi*: $\exists l. m = \text{mset } l$
⟨proof⟩

lemma *mset_list_of_mset[simp]*: *mset (list_of_mset m) = m*
⟨proof⟩

lemma *length_list_of_mset[simp]*: *length (list_of_mset A) = size A*
⟨proof⟩

lemma *range_mset_map*:
assumes *set_mset Ds \subseteq range f*
shows *Ds \in range ($\lambda Cl. \text{mset (map f Cl)}$)*
⟨proof⟩

lemma *list_of_mset_empty[iff]*: *list_of_mset m = [] \longleftrightarrow m = {#}*
⟨proof⟩

lemma *in_mset_conv_nth*: *(x $\in\#$ mset xs) = ($\exists i < \text{length } xs. xs ! i = x$)*
⟨proof⟩

lemma *in_mset_sum_list*:

assumes $L \in\# LL$

assumes $LL \in \text{set } Ci$

shows $L \in\# \text{sum_list } Ci$

<proof>

lemma *in_mset_sum_list2*:

assumes $L \in\# \text{sum_list } Ci$

obtains LL **where**

$LL \in \text{set } Ci$

$L \in\# LL$

<proof>

lemma *in_mset_sum_list_iff*: $a \in\# \text{sum_list } \mathcal{A} \longleftrightarrow (\exists A \in \text{set } \mathcal{A}. a \in\# A)$

<proof>

lemma *subsetq_list_Union_mset*:

assumes $\text{length } Ci = n$

assumes $\text{length } CAi = n$

assumes $\forall i < n. Ci ! i \subseteq\# CAi ! i$

shows $\sum\# (\text{mset } Ci) \subseteq\# \sum\# (\text{mset } CAi)$

<proof>

lemma *same_mset_distinct_iff*:

$\langle \text{mset } M = \text{mset } M' \implies \text{distinct } M \longleftrightarrow \text{distinct } M' \rangle$

<proof>

2.12.3 More on Multisets and Functions

lemma *subsetq_mset_size_eq1*: $X \subseteq\# Y \implies \text{size } Y = \text{size } X \implies X = Y$

<proof>

lemma *image_mset_of_subset_list*:

assumes $\text{image_mset } \eta C' = \text{mset } lC$

shows $\exists qC'. \text{map } \eta qC' = lC \wedge \text{mset } qC' = C'$

<proof>

lemma *image_mset_of_subset*:

assumes $A \subseteq\# \text{image_mset } \eta C'$

shows $\exists A'. \text{image_mset } \eta A' = A \wedge A' \subseteq\# C'$

<proof>

lemma *all_the_same*: $\forall x \in\# X. x = y \implies \text{card } (\text{set_mset } X) \leq \text{Suc } 0$

<proof>

lemma *Melem_subsetq_Union_mset[simp]*:

assumes $x \in\# T$

shows $x \subseteq\# \sum\# T$

<proof>

lemma *Melem_subset_eq_sum_list[simp]*:

assumes $x \in\# \text{mset } T$

shows $x \subseteq\# \text{sum_list } T$

<proof>

lemma *less_subset_eq_Union_mset[simp]*:

assumes $i < \text{length } CAi$

shows $CAi ! i \subseteq\# \sum\# (\text{mset } CAi)$

<proof>

lemma *less_subset_eq_sum_list[simp]*:

assumes $i < \text{length } CAi$

shows $CAi ! i \subseteq\# \text{sum_list } CAi$

<proof>

2.12.4 More on Multiset Order

lemma *less_multiset_doubletons*:

assumes

$y < t \vee y < s$

$x < t \vee x < s$

shows

$\{\#y, x\# \} < \{\#t, s\# \}$

<proof>

end

3 Signed (Finite) Multisets

theory *Signed_Multiset*

imports *Multiset_More*

abbrevs

$!z = z$

begin

unbundle *multiset.lifting*

3.1 Definition of Signed Multisets

definition *equiv_zmset* :: $'a \text{ multiset} \times 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \times 'a \text{ multiset} \Rightarrow \text{bool}$ **where**

$\text{equiv_zmset} = (\lambda(Mp, Mn) (Np, Nn). Mp + Nn = Np + Mn)$

quotient-type $'a \text{ zmset} = 'a \text{ multiset} \times 'a \text{ multiset} / \text{equiv_zmset}$

<proof>

3.2 Basic Operations on Signed Multisets

instantiation *zmset* :: *(type)* *cancel_comm_monoid_add*

begin

lift-definition *zero_zmset* :: $'a \text{ zmset}$ **is** $(\{\#\}, \{\#\})$ *<proof>*

abbreviation *empty_zmset* :: $'a \text{ zmset}$ $(\langle\{\#\}_z\rangle)$ **where**

$\text{empty_zmset} \equiv 0$

lift-definition *minus_zmset* :: $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow 'a \text{ zmset}$ **is**

$\lambda(Mp, Mn) (Np, Nn). (Mp + Nn, Mn + Np)$

<proof>

lift-definition *plus_zmset* :: $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow 'a \text{ zmset}$ **is**

$\lambda(Mp, Mn) (Np, Nn). (Mp + Np, Mn + Nn)$

<proof>

instance

<proof>

end

instantiation *zmset* :: *(type)* *group_add*

begin

lift-definition *uminus_zmset* :: $'a \text{ zmset} \Rightarrow 'a \text{ zmset}$ **is** $\lambda(Mp, Mn). (Mn, Mp)$

<proof>

instance

<proof>

end

lift-definition $zcount :: 'a\ zmultiset \Rightarrow 'a \Rightarrow int$ **is**
 $\lambda(Mp, Mn)\ x.\ int\ (count\ Mp\ x) - int\ (count\ Mn\ x)$
(proof)

lemma $zcount_inject: zcount\ M = zcount\ N \longleftrightarrow M = N$
(proof)

lemma $zmultiset_eq_iff: M = N \longleftrightarrow (\forall a.\ zcount\ M\ a = zcount\ N\ a)$
(proof)

lemma $zmultiset_eqI: (\bigwedge x.\ zcount\ A\ x = zcount\ B\ x) \Longrightarrow A = B$
(proof)

lemma $zcount_uminus[simp]: zcount\ (-\ A)\ x = -\ zcount\ A\ x$
(proof)

lift-definition $add_zmset :: 'a \Rightarrow 'a\ zmultiset \Rightarrow 'a\ zmultiset$ **is**
 $\lambda x\ (Mp, Mn).\ (add_mset\ x\ Mp, Mn)$
(proof)

syntax

$_zmultiset :: args \Rightarrow 'a\ zmultiset\ (\langle \{ \#(_) \# \}_z \rangle)$

syntax-consts

$_zmultiset == add_zmset$

translations

$\{ \#x, xs \# \}_z == CONST\ add_zmset\ x\ \{ \#xs \# \}_z$

$\{ \#x \# \}_z == CONST\ add_zmset\ x\ \{ \# \}_z$

lemma $zcount_empty[simp]: zcount\ \{ \# \}_z\ a = 0$
(proof)

lemma $zcount_add_zmset[simp]:$
 $zcount\ (add_zmset\ b\ A)\ a = (if\ b = a\ then\ zcount\ A\ a + 1\ else\ zcount\ A\ a)$
(proof)

lemma $zcount_single: zcount\ \{ \#b \# \}_z\ a = (if\ b = a\ then\ 1\ else\ 0)$
(proof)

lemma $add_add_same_iff_zmset[simp]: add_zmset\ a\ A = add_zmset\ a\ B \longleftrightarrow A = B$
(proof)

lemma $add_zmset_commute: add_zmset\ x\ (add_zmset\ y\ M) = add_zmset\ y\ (add_zmset\ x\ M)$
(proof)

lemma

$singleton_ne_empty_zmset[simp]: \{ \#x \# \}_z \neq \{ \# \}_z$ **and**

$empty_ne_singleton_zmset[simp]: \{ \# \}_z \neq \{ \#x \# \}_z$

(proof)

lemma

$singleton_ne_uminus_singleton_zmset[simp]: \{ \#x \# \}_z \neq -\ \{ \#y \# \}_z$ **and**

$uminus_singleton_ne_singleton_zmset[simp]: -\ \{ \#x \# \}_z \neq \{ \#y \# \}_z$

(proof)

3.2.1 Conversion to Set and Membership

definition $set_zmset :: 'a\ zmultiset \Rightarrow 'a\ set$ **where**
 $set_zmset\ M = \{ x.\ zcount\ M\ x \neq 0 \}$

abbreviation $elem_zmset :: 'a \Rightarrow 'a\ zmultiset \Rightarrow bool$ **where**
 $elem_zmset\ a\ M \equiv a \in set_zmset\ M$

notation

$elem_zmset$ ($\langle'(\in\#_z)'\rangle$) **and**
 $elem_zmset$ ($\langle'(_ / \in\#_z _)\rangle$ [51, 51] 50)

notation (ASCII)

$elem_zmset$ ($\langle'(\#z)'\rangle$) **and**
 $elem_zmset$ ($\langle'(_ / \#z _)\rangle$ [51, 51] 50)

abbreviation $not_elem_zmset :: 'a \Rightarrow 'a\ zmset \Rightarrow bool$ **where**

$not_elem_zmset\ a\ M \equiv a \notin set_zmset\ M$

notation

not_elem_zmset ($\langle'(\notin\#_z)'\rangle$) **and**
 not_elem_zmset ($\langle'(_ / \notin\#_z _)\rangle$ [51, 51] 50)

notation (ASCII)

not_elem_zmset ($\langle'(\sim\#z)'\rangle$) **and**
 not_elem_zmset ($\langle'(_ / \sim\#z _)\rangle$ [51, 51] 50)

context**begin****qualified abbreviation** $Ball :: 'a\ zmset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$ **where**

$Ball\ M \equiv Set.Ball\ (set_zmset\ M)$

qualified abbreviation $Bex :: 'a\ zmset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$ **where**

$Bex\ M \equiv Set.Bex\ (set_zmset\ M)$

end**syntax**

$_ZMBall :: ptrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool$ ($\langle'(\exists\forall_ \in\#_z _ / _)\rangle$ [0, 0, 10] 10)
 $_ZMBex :: ptrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool$ ($\langle'(\exists\exists_ \in\#_z _ / _)\rangle$ [0, 0, 10] 10)

syntax (ASCII)

$_ZMBall :: ptrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool$ ($\langle'(\exists\forall_ \#z _ / _)\rangle$ [0, 0, 10] 10)
 $_ZMBex :: ptrn \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool$ ($\langle'(\exists\exists_ \#z _ / _)\rangle$ [0, 0, 10] 10)

syntax-consts

$_ZMBall \equiv Signed_Multiset.Ball$ **and**
 $_ZMBex \equiv Signed_Multiset.Bex$

translations

$\forall x \in \#_z A. P \equiv CONST\ Signed_Multiset.Ball\ A\ (\lambda x. P)$
 $\exists x \in \#_z A. P \equiv CONST\ Signed_Multiset.Bex\ A\ (\lambda x. P)$

lemma $zcount_eq_zero_iff: zcount\ M\ x = 0 \longleftrightarrow x \notin \#_z\ M$

$\langle proof \rangle$

lemma $not_in_iff_zmset: x \notin \#_z\ M \longleftrightarrow zcount\ M\ x = 0$

$\langle proof \rangle$

lemma $zcount_ne_zero_iff[simp]: zcount\ M\ x \neq 0 \longleftrightarrow x \in \#_z\ M$

$\langle proof \rangle$

lemma $zcount_inI:$

assumes $zcount\ M\ x = 0 \implies False$

shows $x \in \#_z\ M$

$\langle proof \rangle$

lemma $set_zmset_empty[simp]: set_zmset\ \{\#\}_z = \{\}$

$\langle proof \rangle$

lemma *set_zmset_single*: $\text{set_zmset } \{ \#b\# \}_z = \{b\}$
⟨proof⟩

lemma *set_zmset_eq_empty_iff[simp]*: $\text{set_zmset } M = \{ \} \longleftrightarrow M = \{ \# \}_z$
⟨proof⟩

lemma *finite_count_ne*: $\text{finite } \{x. \text{count } M \ x \neq \text{count } N \ x\}$
⟨proof⟩

lemma *finite_set_zmset[iff]*: $\text{finite } (\text{set_zmset } M)$
⟨proof⟩

lemma *zmultiset_nonemptyE[elim]*:
 assumes $A \neq \{ \# \}_z$
 obtains x **where** $x \in \#_z A$
⟨proof⟩

3.2.2 Union

lemma *zcount_union[simp]*: $\text{zcount } (M + N) \ a = \text{zcount } M \ a + \text{zcount } N \ a$
⟨proof⟩

lemma *union_add_left_zmset[simp]*: $\text{add_zmset } a \ A + B = \text{add_zmset } a \ (A + B)$
⟨proof⟩

lemma *union_zmset_add_zmset_right[simp]*: $A + \text{add_zmset } a \ B = \text{add_zmset } a \ (A + B)$
⟨proof⟩

lemma *add_zmset_add_single*: $\langle \text{add_zmset } a \ A = A + \{ \#a\# \}_z \rangle$
⟨proof⟩

3.2.3 Difference

lemma *zcount_diff[simp]*: $\text{zcount } (M - N) \ a = \text{zcount } M \ a - \text{zcount } N \ a$
⟨proof⟩

lemma *add_zmset_diff_bothersides*: $\langle \text{add_zmset } a \ M - \text{add_zmset } a \ A = M - A \rangle$
⟨proof⟩

lemma *in_diff_zcount*: $a \in \#_z M - N \longleftrightarrow \text{zcount } N \ a \neq \text{zcount } M \ a$
⟨proof⟩

lemma *diff_add_zmset*:
 fixes $M \ N \ Q :: 'a \ \text{zmultiset}$
 shows $M - (N + Q) = M - N - Q$
⟨proof⟩

lemma *insert_Diff_zmset[simp]*: $\text{add_zmset } x \ (M - \{ \#x\# \}_z) = M$
⟨proof⟩

lemma *diff_union_swap_zmset*: $\text{add_zmset } b \ (M - \{ \#a\# \}_z) = \text{add_zmset } b \ M - \{ \#a\# \}_z$
⟨proof⟩

lemma *diff_add_zmset_swap[simp]*: $\text{add_zmset } b \ M - A = \text{add_zmset } b \ (M - A)$
⟨proof⟩

lemma *diff_diff_add_zmset[simp]*: $(M :: 'a \ \text{zmultiset}) - N - P = M - (N + P)$
⟨proof⟩

lemma *zmset_add[elim?]*:
 obtains B **where** $A = \text{add_zmset } a \ B$
⟨proof⟩

3.2.4 Equality of Signed Multisets

lemma *single_eq_single_zmset[simp]*: $\{\#a\# \}_z = \{\#b\# \}_z \longleftrightarrow a = b$
 ⟨proof⟩

lemma *multi_self_add_other_not_self_zmset[simp]*: $M = \text{add_zmset } x \ M \longleftrightarrow \text{False}$
 ⟨proof⟩

lemma *add_zmset_remove_trivial*: $\langle \text{add_zmset } x \ M - \{\#x\# \}_z = M \rangle$
 ⟨proof⟩

lemma *diff_single_eq_union_zmset*: $M - \{\#x\# \}_z = N \longleftrightarrow M = \text{add_zmset } x \ N$
 ⟨proof⟩

lemma *union_single_eq_diff_zmset*: $\text{add_zmset } x \ M = N \implies M = N - \{\#x\# \}_z$
 ⟨proof⟩

lemma *add_zmset_eq_conv_diff*:
 $\text{add_zmset } a \ M = \text{add_zmset } b \ N \longleftrightarrow$
 $M = N \wedge a = b \vee M = \text{add_zmset } b \ (N - \{\#a\# \}_z) \wedge N = \text{add_zmset } a \ (M - \{\#b\# \}_z)$
 ⟨proof⟩

lemma *add_zmset_eq_conv_ex*:
 $(\text{add_zmset } a \ M = \text{add_zmset } b \ N) =$
 $(M = N \wedge a = b \vee (\exists K. M = \text{add_zmset } b \ K \wedge N = \text{add_zmset } a \ K))$
 ⟨proof⟩

lemma *multi_member_split*: $\exists A. M = \text{add_zmset } x \ A$
 ⟨proof⟩

3.3 Conversions from and to Multisets

lift-definition *zmset_of* :: $'a \ \text{multiset} \Rightarrow 'a \ \text{zmultiset}$ **is** $\lambda f. (\text{Abs_multiset } f, \{\#\})$ ⟨proof⟩

lemma *zmset_of_inject[simp]*: $\text{zmset_of } M = \text{zmset_of } N \longleftrightarrow M = N$
 ⟨proof⟩

lemma *zmset_of_empty[simp]*: $\text{zmset_of } \{\#\} = \{\#\}_z$
 ⟨proof⟩

lemma *zmset_of_add_mset[simp]*: $\text{zmset_of } (\text{add_mset } x \ M) = \text{add_zmset } x \ (\text{zmset_of } M)$
 ⟨proof⟩

lemma *zcount_of_mset[simp]*: $\text{zcount } (\text{zmset_of } M) \ x = \text{int } (\text{count } M \ x)$
 ⟨proof⟩

lemma *zmset_of_plus*: $\text{zmset_of } (M + N) = \text{zmset_of } M + \text{zmset_of } N$
 ⟨proof⟩

lift-definition *mset_pos* :: $'a \ \text{zmultiset} \Rightarrow 'a \ \text{multiset}$ **is** $\lambda(Mp, Mn). \text{count } (Mp - Mn)$
 ⟨proof⟩

lift-definition *mset_neg* :: $'a \ \text{zmultiset} \Rightarrow 'a \ \text{multiset}$ **is** $\lambda(Mp, Mn). \text{count } (Mn - Mp)$
 ⟨proof⟩

lemma
zmset_of_inverse[simp]: $\text{mset_pos } (\text{zmset_of } M) = M$ **and**
minus_zmset_of_inverse[simp]: $\text{mset_neg } (- \text{zmset_of } M) = M$
 ⟨proof⟩

lemma *neg_zmset_pos[simp]*: $\text{mset_neg } (\text{zmset_of } M) = \{\#\}$
 ⟨proof⟩

lemma

count_mset_pos[simp]: $\text{count } (\text{mset_pos } M) x = \text{nat } (\text{zcount } M x)$ **and**
count_mset_neg[simp]: $\text{count } (\text{mset_neg } M) x = \text{nat } (- \text{zcount } M x)$
 ⟨proof⟩

lemma

mset_pos_empty[simp]: $\text{mset_pos } \{\#\}_z = \{\#\}$ **and**
mset_neg_empty[simp]: $\text{mset_neg } \{\#\}_z = \{\#\}$
 ⟨proof⟩

lemma

mset_pos_singleton[simp]: $\text{mset_pos } \{\#x\#}_z = \{\#x\#}$ **and**
mset_neg_singleton[simp]: $\text{mset_neg } \{\#x\#}_z = \{\#\}$
 ⟨proof⟩

lemma

mset_pos_neg_partition: $M = \text{zmset_of } (\text{mset_pos } M) - \text{zmset_of } (\text{mset_neg } M)$ **and**
mset_pos_as_neg: $\text{zmset_of } (\text{mset_pos } M) = \text{zmset_of } (\text{mset_neg } M) + M$ **and**
mset_neg_as_pos: $\text{zmset_of } (\text{mset_neg } M) = \text{zmset_of } (\text{mset_pos } M) - M$
 ⟨proof⟩

lemma *mset_pos_uminus[simp]*: $\text{mset_pos } (- A) = \text{mset_neg } A$

⟨proof⟩

lemma *mset_neg_uminus[simp]*: $\text{mset_neg } (- A) = \text{mset_pos } A$

⟨proof⟩

lemma *mset_pos_plus[simp]*:

$\text{mset_pos } (A + B) = (\text{mset_pos } A - \text{mset_neg } B) + (\text{mset_pos } B - \text{mset_neg } A)$
 ⟨proof⟩

lemma *mset_neg_plus[simp]*:

$\text{mset_neg } (A + B) = (\text{mset_neg } A - \text{mset_pos } B) + (\text{mset_neg } B - \text{mset_pos } A)$
 ⟨proof⟩

lemma *mset_pos_diff[simp]*:

$\text{mset_pos } (A - B) = (\text{mset_pos } A - \text{mset_pos } B) + (\text{mset_neg } B - \text{mset_neg } A)$
 ⟨proof⟩

lemma *mset_neg_diff[simp]*:

$\text{mset_neg } (A - B) = (\text{mset_neg } A - \text{mset_neg } B) + (\text{mset_pos } B - \text{mset_pos } A)$
 ⟨proof⟩

lemma *mset_pos_neg_dual*:

$\text{mset_pos } a + \text{mset_pos } b + (\text{mset_neg } a - \text{mset_pos } b) + (\text{mset_neg } b - \text{mset_pos } a) =$
 $\text{mset_neg } a + \text{mset_neg } b + (\text{mset_pos } a - \text{mset_neg } b) + (\text{mset_pos } b - \text{mset_neg } a)$
 ⟨proof⟩

lemma *decompose_zmset_of2*:

obtains $A B C$ **where**

$M = \text{zmset_of } A + C$ **and**

$N = \text{zmset_of } B + C$

⟨proof⟩

3.3.1 Pointwise Ordering Induced by *zcount*

definition *subseteq_zmset* :: $'a \text{ zmixmap} \Rightarrow 'a \text{ zmixmap} \Rightarrow \text{bool}$ (**infix** $\langle \subseteq\#_z \rangle$ 50) **where**

$A \subseteq\#_z B \longleftrightarrow (\forall a. \text{zcount } A a \leq \text{zcount } B a)$

definition *subset_zmset* :: $'a \text{ zmixmap} \Rightarrow 'a \text{ zmixmap} \Rightarrow \text{bool}$ (**infix** $\langle \subset\#_z \rangle$ 50) **where**

$A \subset\#_z B \longleftrightarrow A \subseteq\#_z B \wedge A \neq B$

abbreviation (*input*)

supseteq_zmset :: $'a \text{ zmixmap} \Rightarrow 'a \text{ zmixmap} \Rightarrow \text{bool}$ (**infix** $\langle \supseteq\#_z \rangle$ 50)

where

$\text{supseteq_zmset } A B \equiv B \subseteq\#_z A$

abbreviation (*input*)

$\text{supset_zmset} :: 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$ (**infix** $\langle \supset\#_z \rangle$ 50)

where

$\text{supset_zmset } A B \equiv B \subset\#_z A$

notation (*input*)

subseq_zmset (**infix** $\langle \subseteq\#_z \rangle$ 50) **and**

supseq_zmset (**infix** $\langle \supseteq\#_z \rangle$ 50)

notation (*ASCII*)

subseq_zmset (**infix** $\langle \subseteq\#_z \rangle$ 50) **and**

subset_zmset (**infix** $\langle \subset\#_z \rangle$ 50) **and**

supseq_zmset (**infix** $\langle \supseteq\#_z \rangle$ 50) **and**

supset_zmset (**infix** $\langle \supset\#_z \rangle$ 50)

interpretation subset_zmset : *ordered_ab_semigroup_add_imp_le* (+) (-) ($\subseteq\#_z$) ($\subset\#_z$)

<proof>

interpretation subset_zmset :

ordered_ab_semigroup_monoid_add_imp_le (+) 0 (-) ($\subseteq\#_z$) ($\subset\#_z$)

<proof>

lemma zmset_subset_eqI : $(\bigwedge a. \text{zcount } A a \leq \text{zcount } B a) \implies A \subseteq\#_z B$

<proof>

lemma $\text{zmset_subset_eq_zcount}$: $A \subseteq\#_z B \implies \text{zcount } A a \leq \text{zcount } B a$

<proof>

lemma $\text{zmset_subset_eq_add_zmset_cancel}$: $\langle \text{add_zmset } a A \subseteq\#_z \text{ add_zmset } a B \longleftrightarrow A \subseteq\#_z B \rangle$

<proof>

lemma $\text{zmset_subset_eq_zmultiset_union_diff_commute}$:

$A - B + C = A + C - B$ **for** $A B C :: 'a \text{ zmultiset}$

<proof>

lemma $\text{zmset_subset_eq_insertD}$: $\text{add_zmset } x A \subseteq\#_z B \implies A \subset\#_z B$

<proof>

lemma $\text{zmset_subset_insertD}$: $\text{add_zmset } x A \subset\#_z B \implies A \subset\#_z B$

<proof>

lemma $\text{subset_eq_diff_conv_zmset}$: $A - C \subseteq\#_z B \longleftrightarrow A \subseteq\#_z B + C$

<proof>

lemma $\text{multi_psub_of_add_self_zmset[simp]}$: $A \subset\#_z \text{ add_zmset } x A$

<proof>

lemma $\text{multi_psub_self_zmset}$: $A \subset\#_z A = \text{False}$

<proof>

lemma $\text{zmset_subset_add_zmset[simp]}$: $\text{add_zmset } x N \subset\#_z \text{ add_zmset } x M \longleftrightarrow N \subset\#_z M$

<proof>

lemma $\text{zmset_of_subseq_iff[simp]}$: $\text{zmset_of } M \subseteq\#_z \text{ zmset_of } N \longleftrightarrow M \subseteq\# N$

<proof>

lemma $\text{zmset_of_subset_iff[simp]}$: $\text{zmset_of } M \subset\#_z \text{ zmset_of } N \longleftrightarrow M \subset\# N$

<proof>

lemma

mset_pos_supset : $A \subseteq\#_z \text{ zmset_of } (\text{mset_pos } A)$ **and**

mset_neg_supset: $- A \subseteq_{\#z} \text{zmset_of } (\text{mset_neg } A)$
 ⟨proof⟩

lemma *subset_mset_zmsetE*:
assumes $M \subseteq_{\#z} N$
obtains $A B C$ **where**
 $M = \text{zmset_of } A + C$ **and** $N = \text{zmset_of } B + C$ **and** $A \subseteq_{\#} B$
 ⟨proof⟩

lemma *subsetq_mset_zmsetE*:
assumes $M \subseteq_{\#z} N$
obtains $A B C$ **where**
 $M = \text{zmset_of } A + C$ **and** $N = \text{zmset_of } B + C$ **and** $A \subseteq_{\#} B$
 ⟨proof⟩

3.3.2 Subset is an Order

interpretation *subset_zmset*: *order* $(\subseteq_{\#z}) (\subseteq_{\#z})$
 ⟨proof⟩

3.4 Replicate and Repeat Operations

definition *replicate_zmset* :: $\text{nat} \Rightarrow 'a \Rightarrow 'a \text{zmultiset}$ **where**
 $\text{replicate_zmset } n x = (\text{add_zmset } x \overset{\sim}{\sim} n) \{\#\}_z$

lemma *replicate_zmset_0[simp]*: $\text{replicate_zmset } 0 x = \{\#\}_z$
 ⟨proof⟩

lemma *replicate_zmset_Suc[simp]*: $\text{replicate_zmset } (\text{Suc } n) x = \text{add_zmset } x (\text{replicate_zmset } n x)$
 ⟨proof⟩

lemma *count_replicate_zmset[simp]*:
 $\text{zcount } (\text{replicate_zmset } n x) y = (\text{if } y = x \text{ then } \text{of_nat } n \text{ else } 0)$
 ⟨proof⟩

fun *repeat_zmset* :: $\text{nat} \Rightarrow 'a \text{zmultiset} \Rightarrow 'a \text{zmultiset}$ **where**
 $\text{repeat_zmset } 0 _ = \{\#\}_z$ |
 $\text{repeat_zmset } (\text{Suc } n) A = A + \text{repeat_zmset } n A$

lemma *count_repeat_zmset[simp]*: $\text{zcount } (\text{repeat_zmset } i A) a = \text{of_nat } i * \text{zcount } A a$
 ⟨proof⟩

lemma *repeat_zmset_right[simp]*: $\text{repeat_zmset } a (\text{repeat_zmset } b A) = \text{repeat_zmset } (a * b) A$
 ⟨proof⟩

lemma *left_diff_repeat_zmset_distrib'*:
 $\langle i \geq j \implies \text{repeat_zmset } (i - j) u = \text{repeat_zmset } i u - \text{repeat_zmset } j u \rangle$
 ⟨proof⟩

lemma *left_add_mult_distrib_zmset*:
 $\text{repeat_zmset } i u + (\text{repeat_zmset } j u + k) = \text{repeat_zmset } (i+j) u + k$
 ⟨proof⟩

lemma *repeat_zmset_distrib*: $\text{repeat_zmset } (m + n) A = \text{repeat_zmset } m A + \text{repeat_zmset } n A$
 ⟨proof⟩

lemma *repeat_zmset_distrib2[simp]*:
 $\text{repeat_zmset } n (A + B) = \text{repeat_zmset } n A + \text{repeat_zmset } n B$
 ⟨proof⟩

lemma *repeat_zmset_replicate_zmset[simp]*: $\text{repeat_zmset } n \{\#a\# \}_z = \text{replicate_zmset } n a$
 ⟨proof⟩

lemma *repeat_zmset_distrib_add_zmset[simp]*:

$repeat_zmset\ n\ (add_zmset\ a\ A) = replicate_zmset\ n\ a + repeat_zmset\ n\ A$
 ⟨proof⟩

lemma $repeat_zmset_empty[simp]$: $repeat_zmset\ n\ \{\#\}_z = \{\#\}_z$
 ⟨proof⟩

3.4.1 Filter (with Comprehension Syntax)

lift-definition $filter_zmset :: ('a \Rightarrow bool) \Rightarrow 'a\ zmset \Rightarrow 'a\ zmset$ is
 $\lambda P\ (Mp,\ Mn). (filter_mset\ P\ Mp,\ filter_mset\ P\ Mn)$
 ⟨proof⟩

syntax (ASCII)

$_ZMCollect :: ptrn \Rightarrow 'a\ zmset \Rightarrow bool \Rightarrow 'a\ zmset$ ($\langle (1\ \{\#_ : \#z\ _ / _ \#\}) \rangle$)

syntax

$_ZMCollect :: ptrn \Rightarrow 'a\ zmset \Rightarrow bool \Rightarrow 'a\ zmset$ ($\langle (1\ \{\#_ \in \#z\ _ / _ \#\}) \rangle$)

translations

$\{\#x \in \#z\ M.\ P\#\} == CONST\ filter_zmset\ (\lambda x.\ P)\ M$

lemma $count_filter_zmset[simp]$:
 $zcount\ (filter_zmset\ P\ M)\ a = (if\ P\ a\ then\ zcount\ M\ a\ else\ 0)$
 ⟨proof⟩

lemma $filter_empty_zmset[simp]$: $filter_zmset\ P\ \{\#\}_z = \{\#\}_z$
 ⟨proof⟩

lemma $filter_single_zmset$: $filter_zmset\ P\ \{\#x\#\}_z = (if\ P\ x\ then\ \{\#x\#\}_z\ else\ \{\#\}_z)$
 ⟨proof⟩

lemma $filter_union_zmset[simp]$: $filter_zmset\ P\ (M + N) = filter_zmset\ P\ M + filter_zmset\ P\ N$
 ⟨proof⟩

lemma $filter_diff_zmset[simp]$: $filter_zmset\ P\ (M - N) = filter_zmset\ P\ M - filter_zmset\ P\ N$
 ⟨proof⟩

lemma $filter_add_zmset[simp]$:
 $filter_zmset\ P\ (add_zmset\ x\ A) =$
 $(if\ P\ x\ then\ add_zmset\ x\ (filter_zmset\ P\ A)\ else\ filter_zmset\ P\ A)$
 ⟨proof⟩

lemma $zmset_filter_mono$:
assumes $A \subseteq \#z\ B$
shows $filter_zmset\ f\ A \subseteq \#z\ filter_zmset\ f\ B$
 ⟨proof⟩

lemma $filter_filter_zmset$: $filter_zmset\ P\ (filter_zmset\ Q\ M) = \{\#x \in \#z\ M.\ Q\ x \wedge P\ x\#\}$
 ⟨proof⟩

lemma
 $filter_zmset_True[simp]$: $\{\#y \in \#z\ M.\ True\#\} = M$ **and**
 $filter_zmset_False[simp]$: $\{\#y \in \#z\ M.\ False\#\} = \{\#\}_z$
 ⟨proof⟩

3.5 Uncategorized

lemma $multi_drop_mem_not_eq_zmset$: $B - \{\#c\#\}_z \neq B$
 ⟨proof⟩

lemma $zmset_partition$: $M = \{\#x \in \#z\ M.\ P\ x\ \#\} + \{\#x \in \#z\ M.\ \neg\ P\ x\ \#\}$
 ⟨proof⟩

3.6 Image

definition $image_zmset :: ('a \Rightarrow 'b) \Rightarrow 'a\ zmset \Rightarrow 'b\ zmset$ **where**

$image_zmsset\ f\ M =$
 $zmsset_of\ (fold_mset\ (add_mset\ \circ\ f)\ \{\#\}\ (mset_pos\ M)) -$
 $zmsset_of\ (fold_mset\ (add_mset\ \circ\ f)\ \{\#\}\ (mset_neg\ M))$

3.7 Multiset Order

instantiation $zmultiset :: (preorder)\ order$
begin

lift-definition $less_zmultiset :: 'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow bool$ **is**
 $\lambda(Mp, Mn)\ (Np, Nn). Mp + Nn < Mn + Np$
 $\langle proof \rangle$

definition $less_eq_zmultiset :: 'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow bool$ **where**
 $less_eq_zmultiset\ M'\ M \longleftrightarrow M' < M \vee M' = M$

instance
 $\langle proof \rangle$

end

instance $zmultiset :: (preorder)\ ordered_cancel_comm_monoid_add$
 $\langle proof \rangle$

instance $zmultiset :: (preorder)\ ordered_ab_group_add$
 $\langle proof \rangle$

instantiation $zmultiset :: (linorder)\ distrib_lattice$
begin

definition $inf_zmultiset :: 'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow 'a\ zmultiset$ **where**
 $inf_zmultiset\ A\ B = (if\ A < B\ then\ A\ else\ B)$

definition $sup_zmultiset :: 'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow 'a\ zmultiset$ **where**
 $sup_zmultiset\ A\ B = (if\ B > A\ then\ B\ else\ A)$

lemma $not_lt_iff_ge_zmsset: \neg\ x < y \longleftrightarrow x \geq y$ **for** $x\ y :: 'a\ zmultiset$
 $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

lemma $zmsset_of_less: zmsset_of\ M < zmsset_of\ N \longleftrightarrow M < N$
 $\langle proof \rangle$

lemma $zmsset_of_le: zmsset_of\ M \leq zmsset_of\ N \longleftrightarrow M \leq N$
 $\langle proof \rangle$

instance $zmultiset :: (preorder)\ ordered_ab_semigroup_add$
 $\langle proof \rangle$

lemma $uminus_add_conv_diff_mset[cancelation_simproc_pre]: \langle -a + b = b - a \rangle$ **for** $a :: \langle 'a\ zmultiset \rangle$
 $\langle proof \rangle$

lemma $uminus_add_add_uminus[cancelation_simproc_pre]: \langle b - a + c = b + c - a \rangle$ **for** $a :: \langle 'a\ zmultiset \rangle$
 $\langle proof \rangle$

lemma $add_zmsset_eq_add_NO_MATCH[cancelation_simproc_pre]:$
 $\langle NO_MATCH\ \{\#\}_z\ H \Longrightarrow add_zmsset\ a\ H = \{\#a\#\}_z + H \rangle$
 $\langle proof \rangle$

lemma $repeat_zmsset_iterate_add: \langle repeat_zmsset\ n\ M = iterate_add\ n\ M \rangle$

⟨proof⟩

declare *repeat_zmset_iterate_add*[*cancelation_simproc_pre*]

declare *repeat_zmset_iterate_add*[*symmetric, cancelation_simproc_post*]

⟨ML⟩

lemma *zmset_subseteq_add_iff1*:

⟨ $j \leq i \implies (\text{repeat_zmset } i \ u + m \subseteq\#_z \text{ repeat_zmset } j \ u + n) = (\text{repeat_zmset } (i - j) \ u + m \subseteq\#_z n)$ ⟩
⟨proof⟩

lemma *zmset_subseteq_add_iff2*:

⟨ $i \leq j \implies (\text{repeat_zmset } i \ u + m \subseteq\#_z \text{ repeat_zmset } j \ u + n) = (m \subseteq\#_z \text{ repeat_zmset } (j - i) \ u + n)$ ⟩
⟨proof⟩

lemma *zmset_subset_add_iff1*:

⟨ $j \leq i \implies (\text{repeat_zmset } i \ u + m \subset\#_z \text{ repeat_zmset } j \ u + n) = (\text{repeat_zmset } (i - j) \ u + m \subset\#_z n)$ ⟩
⟨proof⟩

lemma *zmset_subset_add_iff2*:

⟨ $i \leq j \implies (\text{repeat_zmset } i \ u + m \subset\#_z \text{ repeat_zmset } j \ u + n) = (m \subset\#_z \text{ repeat_zmset } (j - i) \ u + n)$ ⟩
⟨proof⟩

⟨ML⟩

instance *zmultiset* :: (*preorder*) *ordered_ab_semigroup_add_imp_le*

⟨proof⟩

⟨ML⟩

instance *zmultiset* :: (*linorder*) *linordered_cancel_ab_semigroup_add*

⟨proof⟩

lemma *less_mset_zmsetE*:

assumes $M < N$

obtains $A \ B \ C$ **where**

$M = \text{zmset_of } A + C$ **and** $N = \text{zmset_of } B + C$ **and** $A < B$

⟨proof⟩

lemma *less_eq_mset_zmsetE*:

assumes $M \leq N$

obtains $A \ B \ C$ **where**

$M = \text{zmset_of } A + C$ **and** $N = \text{zmset_of } B + C$ **and** $A \leq B$

⟨proof⟩

lemma *subset_eq_imp_le_zmset*: $M \subseteq\#_z N \implies M \leq N$

⟨proof⟩

lemma *subset_imp_less_zmset*: $M \subset\#_z N \implies M < N$

⟨proof⟩

lemma *lt_imp_ex_zcount_lt*:

assumes m_lt_n : $M < N$

shows $\exists y. \text{zcount } M \ y < \text{zcount } N \ y$

⟨proof⟩

instance *zmultiset* :: (*preorder*) *no_top*

⟨proof⟩

lifting-update *multiset.lifting*

lifting-forget *multiset.lifting*

end

4 Nested Multisets

```
theory Nested_Multiset
imports HOL-Library.Multiset_Order
begin
```

```
declare multiset.map_comp [simp]
declare multiset.map_cong [cong]
```

4.1 Type Definition

```
datatype 'a nmultiset =
  Elem 'a
| MSet 'a nmultiset multiset
```

```
inductive no_elem :: 'a nmultiset  $\Rightarrow$  bool where
( $\wedge X. X \in\# M \Rightarrow no\_elem X \Rightarrow no\_elem (MSet M)$ )
```

```
inductive-set sub_nmset :: ('a nmultiset  $\times$  'a nmultiset) set where
 $X \in\# M \Rightarrow (X, MSet M) \in sub\_nmset$ 
```

```
lemma wf_sub_nmset[simp]: wf sub_nmset
<proof>
```

```
primrec depth_nmset :: 'a nmultiset  $\Rightarrow$  nat ( $\langle |\_| \rangle$ ) where
|Elem a| = 0
|MSet M| = (let X = set_mset (image_mset depth_nmset M) in if X = {} then 0 else Suc (Max X))
```

```
lemma depth_nmset_MSet:  $x \in\# M \Rightarrow |x| < |MSet M|$ 
<proof>
```

```
declare depth_nmset.simps(2)[simp del]
```

4.2 Dershowitz and Manna's Nested Multiset Order

The Dershowitz–Manna extension:

```
definition less_multiset_extDM :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool where
less_multiset_extDM R M N  $\longleftrightarrow$ 
( $\exists X Y. X \neq \{\#\} \wedge X \subseteq\# N \wedge M = (N - X) + Y \wedge (\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge R k a))$ )
```

```
lemma less_multiset_extDM_imp_mult:
```

```
assumes
N_A: set_mset N  $\subseteq$  A and M_A: set_mset M  $\subseteq$  A and less: less_multiset_extDM R M N
shows (M, N)  $\in$  mult  $\{(x, y). x \in A \wedge y \in A \wedge R x y\}$ 
<proof>
```

```
lemma mult_imp_less_multiset_extDM:
```

```
assumes
N_A: set_mset N  $\subseteq$  A and M_A: set_mset M  $\subseteq$  A and
trans:  $\forall x \in A. \forall y \in A. \forall z \in A. R x y \longrightarrow R y z \longrightarrow R x z$  and
in_mult: (M, N)  $\in$  mult  $\{(x, y). x \in A \wedge y \in A \wedge R x y\}$ 
shows less_multiset_extDM R M N
<proof>
```

```
lemma less_multiset_extDM_iff_mult:
```

```
assumes
N_A: set_mset N  $\subseteq$  A and M_A: set_mset M  $\subseteq$  A and
trans:  $\forall x \in A. \forall y \in A. \forall z \in A. R x y \longrightarrow R y z \longrightarrow R x z$ 
shows less_multiset_extDM R M N  $\longleftrightarrow$  (M, N)  $\in$  mult  $\{(x, y). x \in A \wedge y \in A \wedge R x y\}$ 
<proof>
```

instantiation *nmultiset* :: (preorder) preorder
begin

lemma *less_multiset_ext_DM_cong*[*fundef_cong*]:

$(\bigwedge X Y k a. X \neq \{\#\} \implies X \subseteq_{\#} N \implies M = (N - X) + Y \implies k \in_{\#} Y \implies R k a = S k a) \implies$
 $less_multiset_ext_{DM} R M N = less_multiset_ext_{DM} S M N$
 ⟨proof⟩

function *less_nmultiset* :: 'a nmultiset \Rightarrow 'a nmultiset \Rightarrow bool **where**

less_nmultiset (Elem a) (Elem b) \longleftrightarrow a < b
 | *less_nmultiset* (Elem a) (MSet M) \longleftrightarrow True
 | *less_nmultiset* (MSet M) (Elem a) \longleftrightarrow False
 | *less_nmultiset* (MSet M) (MSet N) \longleftrightarrow *less_multiset_ext_DM less_nmultiset* M N
 ⟨proof⟩

termination

⟨proof⟩

lemmas *less_nmultiset_induct* =

less_nmultiset.induct[*case_names Elem_Elem Elem_MSet MSet_Elem MSet_MSet*]

lemmas *less_nmultiset_cases* =

less_nmultiset.cases[*case_names Elem_Elem Elem_MSet MSet_Elem MSet_MSet*]

lemma *trans_less_nmultiset*: X < Y \implies Y < Z \implies X < Z **for** X Y Z :: 'a nmultiset

⟨proof⟩

lemma *irrefl_less_nmultiset*:

fixes X :: 'a nmultiset

shows X < X \implies False

⟨proof⟩

lemma *antisym_less_nmultiset*:

fixes X Y :: 'a nmultiset

shows X < Y \implies Y < X \implies False

⟨proof⟩

definition *less_eq_nmultiset* :: 'a nmultiset \Rightarrow 'a nmultiset \Rightarrow bool **where**

less_eq_nmultiset X Y = (X < Y \vee X = Y)

instance

⟨proof⟩

lemma *less_multiset_ext_DM_less*: *less_multiset_ext_DM* (<) = (<)

⟨proof⟩

end

instantiation *nmultiset* :: (order) order

begin

instance

⟨proof⟩

end

instantiation *nmultiset* :: (linorder) linorder

begin

lemma *total_less_nmultiset*:

fixes X Y :: 'a nmultiset

shows \neg X < Y \implies Y \neq X \implies Y < X

⟨proof⟩

instance

<proof>

end

lemma *less_depth_nmultiset_imp_less_nmultiset*: $|X| < |Y| \implies X < Y$

<proof>

lemma *less_nmultiset_imp_le_depth_nmultiset*: $X < Y \implies |X| \leq |Y|$

<proof>

lemma *eq_mlex_I*:

fixes $f :: 'a \Rightarrow \text{nat}$ **and** $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes $\bigwedge X Y. f X < f Y \implies R X Y$ **and** *antisymp* R

shows $\{(X, Y). R X Y\} = f <*\text{mlex}*\> \{(X, Y). f X = f Y \wedge R X Y\}$

<proof>

instantiation *nmultiset* :: (*wellorder*) *wellorder*

begin

lemma *depth_nmultiset_eq_0[simp]*: $|X| = 0 \longleftrightarrow (X = \text{MSet } \{\#\} \vee (\exists x. X = \text{Elem } x))$

<proof>

lemma *depth_nmultiset_eq_Suc[simp]*: $|X| = \text{Suc } n \longleftrightarrow$

$(\exists N. X = \text{MSet } N \wedge (\exists Y \in\# N. |Y| = n) \wedge (\forall Y \in\# N. |Y| \leq n))$

<proof>

lemma *wf_less_nmultiset_depth*:

wf $\{(X :: 'a \text{ nmultiset}, Y). |X| = i \wedge |Y| = i \wedge X < Y\}$

<proof>

lemma *wf_less_nmultiset*: *wf* $\{(X :: 'a \text{ nmultiset}, Y :: 'a \text{ nmultiset}). X < Y\}$ (**is** *wf ?R*)

<proof>

instance *<proof>*

end

end

5 Hereditar(il)y (Finite) Multisets

theory *Hereditary_Multiset*

imports *Multiset_More Nested_Multiset*

begin

5.1 Type Definition

datatype *hmultiset* =

HMSet (*hmsetmset*: *hmultiset multiset*)

lemma *hmsetmset_inject[simp]*: $\text{hmsetmset } A = \text{hmsetmset } B \longleftrightarrow A = B$

<proof>

primrec *Rep_hmultiset* :: *hmultiset* \Rightarrow *unit nmultiset* **where**

Rep_hmultiset (*HMSet* M) = *MSet* (*image_mset* *Rep_hmultiset* M)

primrec (*nonexhaustive*) *Abs_hmultiset* :: *unit nmultiset* \Rightarrow *hmultiset* **where**

Abs_hmultiset (*MSet* M) = *HMSet* (*image_mset* *Abs_hmultiset* M)

lemma *type_definition_hmultiset*: *type_definition* *Rep_hmultiset* *Abs_hmultiset* $\{X. \text{no_elem } X\}$

<proof>

setup-lifting *type_definition_hmultiset*

lemma *HMSet_alt*: $HMSet = Abs_hmultiset \circ MSet \circ image_mset \ Rep_hmultiset$
<proof>

lemma *HMSet_transfer*[*transfer_rule*]: $rel_fun (rel_mset \ pcr_hmultiset) \ pcr_hmultiset \ MSet \ HMSet$
<proof>

5.2 Restriction of Dershowitz and Manna's Nested Multiset Order

instantiation *hmultiset* :: *linorder*
begin

lift-definition *less_hmultiset* :: $hmultiset \Rightarrow hmultiset \Rightarrow bool$ **is** ($<$) *<proof>*

lift-definition *less_eq_hmultiset* :: $hmultiset \Rightarrow hmultiset \Rightarrow bool$ **is** (\leq) *<proof>*

instance
<proof>

end

lemma *less_HMSet_iff_less_multiset_ext_DM*: $HMSet \ M < HMSet \ N \longleftrightarrow less_multiset_ext_{DM} (<) \ M \ N$
<proof>

lemma *hmsetmset_less[simp]*: $hmsetmset \ M < hmsetmset \ N \longleftrightarrow M < N$
<proof>

lemma *hmsetmset_le[simp]*: $hmsetmset \ M \leq hmsetmset \ N \longleftrightarrow M \leq N$
<proof>

lemma *wf_less_hmultiset*: $wf \ \{(X :: hmultiset, Y :: hmultiset). X < Y\}$
<proof>

instance *hmultiset* :: *wellorder*
<proof>

lemma *HMSet_less[simp]*: $HMSet \ M < HMSet \ N \longleftrightarrow M < N$
<proof>

lemma *HMSet_le[simp]*: $HMSet \ M \leq HMSet \ N \longleftrightarrow M \leq N$
<proof>

lemma *mem_imp_less_HMSet*: $k \in \# \ L \Longrightarrow k < HMSet \ L$
<proof>

lemma *mem_hmsetmset_imp_less*: $M \in \# \ hmsetmset \ N \Longrightarrow M < N$
<proof>

5.3 Disjoint Union and Truncated Difference

instantiation *hmultiset* :: *cancel_comm_monoid_add*
begin

definition *zero_hmultiset* :: *hmultiset* **where**
 $0 = HMSet \ \{\#\}$

lemma *hmsetmset_empty_iff[simp]*: $hmsetmset \ n = \{\#\} \longleftrightarrow n = 0$
<proof>

lemma *hmsetmset_0[simp]*: $hmsetmset \ 0 = \{\#\}$
<proof>

lemma

*HMSet_eq_0_iff[simp]: HMSet m = 0 \longleftrightarrow m = {#} and
 zero_eq_HMSet[simp]: 0 = HMSet m \longleftrightarrow m = {#}*
 ⟨proof⟩

definition *plus_hmultiset* :: *hmultiset* \Rightarrow *hmultiset* \Rightarrow *hmultiset* **where**
 $A + B = \text{HMSet } (\text{hmsetmset } A + \text{hmsetmset } B)$

definition *minus_hmultiset* :: *hmultiset* \Rightarrow *hmultiset* \Rightarrow *hmultiset* **where**
 $A - B = \text{HMSet } (\text{hmsetmset } A - \text{hmsetmset } B)$

instance
 ⟨proof⟩

end

lemma *HMSet_plus*: $\text{HMSet } (A + B) = \text{HMSet } A + \text{HMSet } B$
 ⟨proof⟩

lemma *HMSet_diff*: $\text{HMSet } (A - B) = \text{HMSet } A - \text{HMSet } B$
 ⟨proof⟩

lemma *hmsetmset_plus*: $\text{hmsetmset } (M + N) = \text{hmsetmset } M + \text{hmsetmset } N$
 ⟨proof⟩

lemma *hmsetmset_diff*: $\text{hmsetmset } (M - N) = \text{hmsetmset } M - \text{hmsetmset } N$
 ⟨proof⟩

lemma *diff_diff_add_hmset[simp]*: $a - b - c = a - (b + c)$ **for** $a\ b\ c :: \text{hmultiset}$
 ⟨proof⟩

instance *hmultiset* :: *comm_monoid_diff*
 ⟨proof⟩

⟨ML⟩

instance *hmultiset* :: *ordered_cancel_comm_monoid_add*
 ⟨proof⟩

instance *hmultiset* :: *ordered_ab_semigroup_add_imp_le*
 ⟨proof⟩

instantiation *hmultiset* :: *order_bot*
begin

definition *bot_hmultiset* :: *hmultiset* **where**
 $\text{bot_hmultiset} = 0$

instance
 ⟨proof⟩

end

instance *hmultiset* :: *no_top*
 ⟨proof⟩

lemma *le_minus_plus_same_hmset*: $m \leq m - n + n$ **for** $m\ n :: \text{hmultiset}$
 ⟨proof⟩

5.4 Infimum and Supremum

instantiation *hmultiset* :: *distrib_lattice*
begin

definition *inf_hmultiset* :: *hmultiset* \Rightarrow *hmultiset* \Rightarrow *hmultiset* **where**
inf_hmultiset A B = (if A < B then A else B)

definition *sup_hmultiset* :: *hmultiset* \Rightarrow *hmultiset* \Rightarrow *hmultiset* **where**
sup_hmultiset A B = (if B > A then B else A)

instance
 <proof>

end

5.5 Inequalities

lemma *zero_le_hmset[simp]*: $0 \leq M$ **for** $M :: \text{hmultiset}$
 <proof>

lemma
le_add1_hmset: $n \leq n + m$ **and**
le_add2_hmset: $n \leq m + n$ **for** $n :: \text{hmultiset}$
 <proof>

lemma *le_zero_eq_hmset[simp]*: $M \leq 0 \iff M = 0$ **for** $M :: \text{hmultiset}$
 <proof>

lemma *not_less_zero_hmset[simp]*: $\neg M < 0$ **for** $M :: \text{hmultiset}$
 <proof>

lemma *not_gr_zero_hmset[simp]*: $\neg 0 < M \iff M = 0$ **for** $M :: \text{hmultiset}$
 <proof>

lemma *zero_less_iff_neq_zero_hmset*: $0 < M \iff M \neq 0$ **for** $M :: \text{hmultiset}$
 <proof>

lemma *zero_less_HMSet_iff[simp]*: $0 < \text{HMSet } M \iff M \neq \{\#\}$
 <proof>

lemma *gr_zeroI_hmset*: $(M = 0 \implies \text{False}) \implies 0 < M$ **for** $M :: \text{hmultiset}$
 <proof>

lemma *gr_implies_not_zero_hmset*: $M < N \implies N \neq 0$ **for** $M N :: \text{hmultiset}$
 <proof>

lemma *add_eq_0_iff_both_eq_0_hmset[simp]*: $M + N = 0 \iff M = 0 \wedge N = 0$ **for** $M N :: \text{hmultiset}$
 <proof>

lemma *trans_less_add1_hmset*: $i < j \implies i < j + m$ **for** $i j m :: \text{hmultiset}$
 <proof>

lemma *trans_less_add2_hmset*: $i < j \implies i < m + j$ **for** $i j m :: \text{hmultiset}$
 <proof>

lemma *trans_le_add1_hmset*: $i \leq j \implies i \leq j + m$ **for** $i j m :: \text{hmultiset}$
 <proof>

lemma *trans_le_add2_hmset*: $i \leq j \implies i \leq m + j$ **for** $i j m :: \text{hmultiset}$
 <proof>

lemma *diff_le_self_hmset*: $m - n \leq m$ **for** $m n :: \text{hmultiset}$
 <proof>

end

6 Signed Hereditar(il)y (Finite) Multisets

```
theory Signed_Hereditary_Multiset
imports Signed_Multiset Hereditary_Multiset
begin
```

6.1 Type Definition

```
typedef zhmultiset = UNIV :: hmultiset zmultiset set
morphisms zhmssetmset ZHMSet
⟨proof⟩
```

```
lemmas ZHMSet_inverse[simp] = ZHMSet_inverse[OF UNIV_I]
lemmas ZHMSet_inject[simp] = ZHMSet_inject[OF UNIV_I UNIV_I]
```

```
declare
  zhmssetmset_inverse [simp]
  zhmssetmset_inject [simp]
```

```
setup-lifting type_definition_zhmultiset
```

6.2 Multiset Order

```
instantiation zhmultiset :: linorder
begin
```

```
lift-definition less_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  bool is (<) ⟨proof⟩
lift-definition less_eq_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  bool is (≤) ⟨proof⟩
```

```
instance
⟨proof⟩
```

```
end
```

```
lemmas ZHMSet_less[simp] = less_zhmultiset.abs_eq
lemmas ZHMSet_le[simp] = less_eq_zhmultiset.abs_eq
lemmas zhmssetmset_less[simp] = less_zhmultiset.rep_eq[symmetric]
lemmas zhmssetmset_le[simp] = less_eq_zhmultiset.rep_eq[symmetric]
```

6.3 Embedding and Projections of Syntactic Ordinals

```
abbreviation zhmsset_of :: hmultiset  $\Rightarrow$  zhmultiset where
  zhmsset_of M  $\equiv$  ZHMSet (zmsset_of (hmssetmset M))
```

```
lemma zhmsset_of_inject[simp]: zhmsset_of M = zhmsset_of N  $\longleftrightarrow$  M = N
⟨proof⟩
```

```
lemma zhmsset_of_less: zhmsset_of M < zhmsset_of N  $\longleftrightarrow$  M < N
⟨proof⟩
```

```
lemma zhmsset_of_le: zhmsset_of M  $\leq$  zhmsset_of N  $\longleftrightarrow$  M  $\leq$  N
⟨proof⟩
```

```
abbreviation hmsset_pos :: zhmultiset  $\Rightarrow$  hmultiset where
  hmsset_pos M  $\equiv$  HMSet (mset_pos (zhmssetmset M))
```

```
abbreviation hmsset_neg :: zhmultiset  $\Rightarrow$  hmultiset where
  hmsset_neg M  $\equiv$  HMSet (mset_neg (zhmssetmset M))
```

6.4 Disjoint Union and Difference

```
instantiation zhmultiset :: cancel_comm_monoid_add
begin
```

lift-definition $zero_zhmultiset :: zhmultiset \text{ is } \{\#\}_z \langle proof \rangle$

lift-definition $plus_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset \text{ is } \lambda A B. A + B \langle proof \rangle$

lift-definition $minus_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset \text{ is } \lambda A B. A - B \langle proof \rangle$

lemmas $ZHMSset_plus = plus_zhmultiset.abs_eq[symmetric]$

lemmas $ZHMSset_diff = minus_zhmultiset.abs_eq[symmetric]$

lemmas $hmsetmset_plus = plus_zhmultiset.rep_eq$

lemmas $hmsetmset_diff = minus_zhmultiset.rep_eq$

lemma $zhmset_of_plus: zhmset_of (A + B) = zhmset_of A + zhmset_of B \langle proof \rangle$

lemma $hmsetmset_0: hmsetmset 0 = \{\#\} \langle proof \rangle$

instance
 $\langle proof \rangle$

end

lemma $zhmset_of_0: zhmset_of 0 = 0 \langle proof \rangle$

lemma $hmset_pos_plus: hmset_pos (A + B) = (hmset_pos A - hmset_neg B) + (hmset_pos B - hmset_neg A) \langle proof \rangle$

lemma $hmset_neg_plus: hmset_neg (A + B) = (hmset_neg A - hmset_pos B) + (hmset_neg B - hmset_pos A) \langle proof \rangle$

lemma $zhmset_pos_neg_partition: M = zhmset_of (hmset_pos M) - zhmset_of (hmset_neg M) \langle proof \rangle$

lemma $zhmset_pos_as_neg: zhmset_of (hmset_pos M) = zhmset_of (hmset_neg M) + M \langle proof \rangle$

lemma $zhmset_neg_as_pos: zhmset_of (hmset_neg M) = zhmset_of (hmset_pos M) - M \langle proof \rangle$

lemma $hmset_pos_neg_dual: hmset_pos a + hmset_pos b + (hmset_neg a - hmset_pos b) + (hmset_neg b - hmset_pos a) = hmset_neg a + hmset_neg b + (hmset_pos a - hmset_neg b) + (hmset_pos b - hmset_neg a) \langle proof \rangle$

lemma $zhmset_of_sum_list: zhmset_of (sum_list Ms) = sum_list (map zhmset_of Ms) \langle proof \rangle$

lemma $less_hmset_zhmsetE: \text{assumes } m_lt_n: M < N \text{ obtains } A B C \text{ where } M = zhmset_of A + C \text{ and } N = zhmset_of B + C \text{ and } A < B \langle proof \rangle$

lemma $less_eq_hmset_zhmsetE: \text{assumes } m_le_n: M \leq N \text{ obtains } A B C \text{ where } M = zhmset_of A + C \text{ and } N = zhmset_of B + C \text{ and } A \leq B \langle proof \rangle$

instantiation $zhmultiset :: ab_group_add$

begin

lift-definition *uminus_zhmultiset* :: *zhmultiset* \Rightarrow *zhmultiset* **is** $\lambda A. - A$ \langle *proof* \rangle

lemmas *ZHMSet_uminus* = *uminus_zhmultiset.abs_eq[symmetric]*

lemmas *hmsetmset_uminus* = *uminus_zhmultiset.rep_eq*

instance

\langle *proof* \rangle

end

6.5 Infimum and Supremum

instance *zhmultiset* :: *ordered_cancel_comm_monoid_add*

\langle *proof* \rangle

instance *zhmultiset* :: *ordered_ab_group_add*

\langle *proof* \rangle

instantiation *zhmultiset* :: *distrib_lattice*

begin

definition *inf_zhmultiset* :: *zhmultiset* \Rightarrow *zhmultiset* \Rightarrow *zhmultiset* **where**

inf_zhmultiset *A B* = (if *A* < *B* then *A* else *B*)

definition *sup_zhmultiset* :: *zhmultiset* \Rightarrow *zhmultiset* \Rightarrow *zhmultiset* **where**

sup_zhmultiset *A B* = (if *B* > *A* then *B* else *A*)

instance

\langle *proof* \rangle

end

end

7 Syntactic Ordinals in Cantor Normal Form

theory *Syntactic_Ordinal*

imports *Hereditary_Multiset* *HOL-Library.Product_Order* *HOL-Library.Extended_Nat*

begin

7.1 Natural (Hessenberg) Product

instantiation *hmultiset* :: *comm_semiring_1*

begin

abbreviation *ω_exp* :: *hmultiset* \Rightarrow *hmultiset* (ω^\wedge) **where**

$\omega^\wedge \equiv \lambda m. HMSet \{\#m\# \}$

definition *one_hmultiset* :: *hmultiset* **where**

1 = ω^0

abbreviation *ω* :: *hmultiset* **where**

$\omega \equiv \omega^1$

definition *times_hmultiset* :: *hmultiset* \Rightarrow *hmultiset* \Rightarrow *hmultiset* **where**

A * *B* = *HMSet* (*image_mset* (*case_prod* (+)) (*hmsetmset* *A* $\times\#$ *hmsetmset* *B*))

lemma *hmsetmset_times*:

hmsetmset (*m* * *n*) = *image_mset* (*case_prod* (+)) (*hmsetmset* *m* $\times\#$ *hmsetmset* *n*)

\langle *proof* \rangle

instance

<proof>

end

lemma *empty_times_left_hmset[simp]*: $HMSet \{\#\} * M = 0$
<proof>

lemma *empty_times_right_hmset[simp]*: $M * HMSet \{\#\} = 0$
<proof>

lemma *singleton_times_left_hmset[simp]*: $\omega^{\wedge}M * N = HMSet (image_mset ((+) M) (hmsetmset N))$
<proof>

lemma *singleton_times_right_hmset[simp]*: $N * \omega^{\wedge}M = HMSet (image_mset ((+) M) (hmsetmset N))$
<proof>

7.2 Inequalities

definition *plus_nmultipset* :: *unit nmultipset* \Rightarrow *unit nmultipset* \Rightarrow *unit nmultipset* **where**
plus_nmultipset $X Y = Rep_hmultipset (Abs_hmultipset X + Abs_hmultipset Y)$

lemma *plus_nmultipset_mono*:

assumes *less*: $(X, Y) < (X', Y')$ **and** *no_elem*: *no_elem* X *no_elem* Y *no_elem* X' *no_elem* Y'

shows *plus_nmultipset* $X Y < plus_nmultipset X' Y'$

<proof>

lemma *plus_hmultipset_transfer[transfer_rule]*:

(rel_fun pcr_hmultipset (rel_fun pcr_hmultipset pcr_hmultipset)) plus_nmultipset (+)

<proof>

lemma *Times_mset_monoL*:

assumes *less*: $M < N$ **and** *Z_nemp*: $Z \neq \{\#\}$

shows $M \times\# Z < N \times\# Z$

<proof>

lemma *times_hmultipset_monoL*:

$a < b \implies 0 < c \implies a * c < b * c$ **for** $a b c :: hmultipset$

<proof>

instance *hmultipset* :: *linordered_semiring_strict*

<proof>

lemma *mult_le_mono1_hmset*: $i \leq j \implies i * k \leq j * k$ **for** $i j k :: hmultipset$

<proof>

lemma *mult_le_mono2_hmset*: $i \leq j \implies k * i \leq k * j$ **for** $i j k :: hmultipset$

<proof>

lemma *mult_le_mono_hmset*: $i \leq j \implies k \leq l \implies i * k \leq j * l$ **for** $i j k l :: hmultipset$

<proof>

lemma *less_iff_add1_le_hmset*: $m < n \iff m + 1 \leq n$ **for** $m n :: hmultipset$

<proof>

lemma *zero_less_iff_1_le_hmset*: $0 < n \iff 1 \leq n$ **for** $n :: hmultipset$

<proof>

lemma *less_add_1_iff_le_hmset*: $m < n + 1 \iff m \leq n$ **for** $m n :: hmultipset$

<proof>

instance *hmultipset* :: *ordered_cancel_comm_semiring*

<proof>

instance *hmultiset* :: *zero_less_one*
 ⟨*proof*⟩

instance *hmultiset* :: *linordered_semiring_1_strict*
 ⟨*proof*⟩

instance *hmultiset* :: *bounded_lattice_bot*
 ⟨*proof*⟩

instance *hmultiset* :: *linordered_nonzero_semiring*
 ⟨*proof*⟩

instance *hmultiset* :: *semiring_no_zero_divisors*
 ⟨*proof*⟩

lemma *lt_1_iff_eq_0_hmset*: $M < 1 \longleftrightarrow M = 0$ **for** $M :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *zero_less_mult_iff_hmset[simp]*: $0 < m * n \longleftrightarrow 0 < m \wedge 0 < n$ **for** $m\ n :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *one_le_mult_iff_hmset[simp]*: $1 \leq m * n \longleftrightarrow 1 \leq m \wedge 1 \leq n$ **for** $m\ n :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *mult_less_cancel2_hmset[simp]*: $m * k < n * k \longleftrightarrow 0 < k \wedge m < n$ **for** $k\ m\ n :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *mult_less_cancel1_hmset[simp]*: $k * m < k * n \longleftrightarrow 0 < k \wedge m < n$ **for** $k\ m\ n :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *mult_le_cancel1_hmset[simp]*: $k * m \leq k * n \longleftrightarrow (0 < k \longrightarrow m \leq n)$ **for** $k\ m\ n :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *mult_le_cancel2_hmset[simp]*: $m * k \leq n * k \longleftrightarrow (0 < k \longrightarrow m \leq n)$ **for** $k\ m\ n :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *mult_le_cancel_left1_hmset*: $y > 0 \implies x \leq x * y$ **for** $x\ y :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *mult_le_cancel_left2_hmset*: $y \leq 1 \implies x * y \leq x$ **for** $x\ y :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *mult_le_cancel_right1_hmset*: $y > 0 \implies x \leq y * x$ **for** $x\ y :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *mult_le_cancel_right2_hmset*: $y \leq 1 \implies y * x \leq x$ **for** $x\ y :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *le_square_hmset*: $m \leq m * m$ **for** $m :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *le_cube_hmset*: $m \leq m * (m * m)$ **for** $m :: \text{hmultiset}$
 ⟨*proof*⟩

lemma
less_imp_minus_plus_hmset: $m < n \implies k < k - m + n$ **and**
le_imp_minus_plus_hmset: $m \leq n \implies k \leq k - m + n$ **for** $k\ m\ n :: \text{hmultiset}$
 ⟨*proof*⟩

lemma *gt_0_lt_mult_gt_1_hmset*:
fixes $m\ n :: \text{hmultiset}$
assumes $m > 0$ **and** $n > 1$
shows $m < m * n$

<proof>

instance *hmultiset* :: *linordered_comm_semiring_strict*
<proof>

7.3 Embedding of Natural Numbers

lemma *of_nat_hmset*: $of_nat\ n = HSet\ (replicate_mset\ n\ 0)$
<proof>

lemma *of_nat_inject_hmset[simp]*: $(of_nat\ m :: hmultiset) = of_nat\ n \longleftrightarrow m = n$
<proof>

lemma *of_nat_minus_hmset*: $of_nat\ (m - n) = (of_nat\ m :: hmultiset) - of_nat\ n$
<proof>

lemma *plus_of_nat_plus_of_nat_hmset*:
 $k + of_nat\ m + of_nat\ n = k + of_nat\ (m + n)$ **for** $k :: hmultiset$
<proof>

lemma *plus_of_nat_minus_of_nat_hmset*:
fixes $k :: hmultiset$
assumes $n \leq m$
shows $k + of_nat\ m - of_nat\ n = k + of_nat\ (m - n)$
<proof>

lemma *of_nat_lt_omega[simp]*: $of_nat\ n < \omega$
<proof>

lemma *of_nat_ne_omega[simp]*: $of_nat\ n \neq \omega$
<proof>

lemma *of_nat_less_hmset[simp]*: $(of_nat\ M :: hmultiset) < of_nat\ N \longleftrightarrow M < N$
<proof>

lemma *of_nat_le_hmset[simp]*: $(of_nat\ M :: hmultiset) \leq of_nat\ N \longleftrightarrow M \leq N$
<proof>

lemma *of_nat_times_omega_exp*: $of_nat\ n * \omega^{\wedge}m = HSet\ (replicate_mset\ n\ m)$
<proof>

lemma *omega_exp_times_of_nat*: $\omega^{\wedge}m * of_nat\ n = HSet\ (replicate_mset\ n\ m)$
<proof>

7.4 Embedding of Extended Natural Numbers

primrec *hmset_of_enat* :: *enat* \Rightarrow *hmultiset* **where**
 $hmset_of_enat\ (enat\ n) = of_nat\ n$
 $hmset_of_enat\ \infty = \omega$

lemma *hmset_of_enat_0[simp]*: $hmset_of_enat\ 0 = 0$
<proof>

lemma *hmset_of_enat_1[simp]*: $hmset_of_enat\ 1 = 1$
<proof>

lemma *hmset_of_enat_of_nat[simp]*: $hmset_of_enat\ (of_nat\ n) = of_nat\ n$
<proof>

lemma *hmset_of_enat_numeral[simp]*: $hmset_of_enat\ (numeral\ n) = numeral\ n$
<proof>

lemma *hmset_of_enat_le_omega[simp]*: $hmset_of_enat\ n \leq \omega$
<proof>

lemma *hmset_of_enat_eq_omega_iff[simp]*: $hmset_of_enat\ n = \omega \longleftrightarrow n = \infty$
 ⟨proof⟩

7.5 Head Omega

definition *head_omega* :: $hmultiset \Rightarrow hmultiset$ **where**
head_omega $M = (if\ M = 0\ then\ 0\ else\ \omega \wedge (Max\ (set_mset\ (hmsetmset\ M))))$

lemma *head_omega_subseteq*: $hmsetmset\ (head_omega\ M) \subseteq\# hmsetmset\ M$
 ⟨proof⟩

lemma *head_omega_eq_0_iff[simp]*: $head_omega\ m = 0 \longleftrightarrow m = 0$
 ⟨proof⟩

lemma *head_omega_0[simp]*: $head_omega\ 0 = 0$
 ⟨proof⟩

lemma *head_omega_1[simp]*: $head_omega\ 1 = 1$
 ⟨proof⟩

lemma *head_omega_of_nat[simp]*: $head_omega\ (of_nat\ n) = (if\ n = 0\ then\ 0\ else\ 1)$
 ⟨proof⟩

lemma *head_omega_numeral[simp]*: $head_omega\ (numeral\ n) = 1$
 ⟨proof⟩

lemma *head_omega_omega[simp]*: $head_omega\ \omega = \omega$
 ⟨proof⟩

lemma *le_imp_head_omega_le*:
assumes m_le_n : $m \leq n$
shows $head_omega\ m \leq head_omega\ n$
 ⟨proof⟩

lemma *head_omega_lt_imp_lt*: $head_omega\ m < head_omega\ n \implies m < n$
 ⟨proof⟩

lemma *head_omega_plus[simp]*: $head_omega\ (m + n) = sup\ (head_omega\ m)\ (head_omega\ n)$
 ⟨proof⟩

lemma *head_omega_times[simp]*: $head_omega\ (m * n) = head_omega\ m * head_omega\ n$
 ⟨proof⟩

7.6 More Inequalities and Some Equalities

lemma *zero_lt_omega[simp]*: $0 < \omega$
 ⟨proof⟩

lemma *one_lt_omega[simp]*: $1 < \omega$
 ⟨proof⟩

lemma *numeral_lt_omega[simp]*: $numeral\ n < \omega$
 ⟨proof⟩

lemma *one_le_omega[simp]*: $1 \leq \omega$
 ⟨proof⟩

lemma *of_nat_le_omega[simp]*: $of_nat\ n \leq \omega$
 ⟨proof⟩

lemma *numeral_le_omega[simp]*: $numeral\ n \leq \omega$
 ⟨proof⟩

lemma *not_ω_lt_1[simp]*: $\neg \omega < 1$
⟨proof⟩

lemma *not_ω_lt_of_nat[simp]*: $\neg \omega < \text{of_nat } n$
⟨proof⟩

lemma *not_ω_lt_numeral[simp]*: $\neg \omega < \text{numeral } n$
⟨proof⟩

lemma *not_ω_le_1[simp]*: $\neg \omega \leq 1$
⟨proof⟩

lemma *not_ω_le_of_nat[simp]*: $\neg \omega \leq \text{of_nat } n$
⟨proof⟩

lemma *not_ω_le_numeral[simp]*: $\neg \omega \leq \text{numeral } n$
⟨proof⟩

lemma *zero_ne_ω[simp]*: $0 \neq \omega$
⟨proof⟩

lemma *one_ne_ω[simp]*: $1 \neq \omega$
⟨proof⟩

lemma *numeral_ne_ω[simp]*: $\text{numeral } n \neq \omega$
⟨proof⟩

lemma
ω_ne_0[simp]: $\omega \neq 0$ **and**
ω_ne_1[simp]: $\omega \neq 1$ **and**
ω_ne_of_nat[simp]: $\omega \neq \text{of_nat } m$ **and**
ω_ne_numeral[simp]: $\omega \neq \text{numeral } n$
⟨proof⟩

lemma
hmset_of_enat_inject[simp]: $\text{hmset_of_enat } m = \text{hmset_of_enat } n \iff m = n$ **and**
hmset_of_enat_less[simp]: $\text{hmset_of_enat } m < \text{hmset_of_enat } n \iff m < n$ **and**
hmset_of_enat_le[simp]: $\text{hmset_of_enat } m \leq \text{hmset_of_enat } n \iff m \leq n$
⟨proof⟩

lemma *lt_ω_imp_ex_of_nat*:
assumes *M_lt_ω*: $M < \omega$
shows $\exists n. M = \text{of_nat } n$
⟨proof⟩

lemma *le_ω_imp_ex_hmset_of_enat*:
assumes *M_le_ω*: $M \leq \omega$
shows $\exists n. M = \text{hmset_of_enat } n$
⟨proof⟩

lemma *lt_ω_lt_ω_imp_times_lt_ω*: $M < \omega \implies N < \omega \implies M * N < \omega$
⟨proof⟩

lemma *times_ω_minus_of_nat[simp]*: $m * \omega - \text{of_nat } n = m * \omega$
⟨proof⟩

lemma *times_ω_minus_numeral[simp]*: $m * \omega - \text{numeral } n = m * \omega$
⟨proof⟩

lemma *ω_minus_of_nat[simp]*: $\omega - \text{of_nat } n = \omega$
⟨proof⟩

lemma *ω_minus_1[simp]*: $\omega - 1 = \omega$

<proof>

lemma $\omega_minus_numeral[simp]$: $\omega - numeral\ n = \omega$
<proof>

lemma $hmset_of_enat_minus_enat[simp]$: $hmset_of_enat\ (m - enat\ n) = hmset_of_enat\ m - of_nat\ n$
<proof>

lemma $of_nat_lt_hmset_of_enat_iff$: $of_nat\ m < hmset_of_enat\ n \longleftrightarrow enat\ m < n$
<proof>

lemma $of_nat_le_hmset_of_enat_iff$: $of_nat\ m \leq hmset_of_enat\ n \longleftrightarrow enat\ m \leq n$
<proof>

lemma $hmset_of_enat_lt_iff_ne_infinity$: $hmset_of_enat\ x < \omega \longleftrightarrow x \neq \infty$
<proof>

lemma $minus_diff_sym_hmset$: $m - (m - n) = n - (n - m)$ **for** $m\ n :: hmultiset$
<proof>

lemma $diff_plus_sym_hmset$: $(c - b) + b = (b - c) + c$ **for** $b\ c :: hmultiset$
<proof>

lemma $times_diff_plus_sym_hmset$: $a * (c - b) + a * b = a * (b - c) + a * c$ **for** $a\ b\ c :: hmultiset$
<proof>

lemma $times_of_nat_minus_left$:
 $(of_nat\ m - of_nat\ n) * l = of_nat\ m * l - of_nat\ n * l$ **for** $l :: hmultiset$
<proof>

lemma $times_of_nat_minus_right$:
 $l * (of_nat\ m - of_nat\ n) = l * of_nat\ m - l * of_nat\ n$ **for** $l :: hmultiset$
<proof>

lemma $lt_omega_imp_times_minus_left$: $m < \omega \implies n < \omega \implies (m - n) * l = m * l - n * l$
<proof>

lemma $lt_omega_imp_times_minus_right$: $m < \omega \implies n < \omega \implies l * (m - n) = l * m - l * n$
<proof>

lemma $hmset_pair_decompose$:
 $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (head_omega\ n1 \neq head_omega\ n2 \vee n1 = 0 \wedge n2 = 0)$
<proof>

lemma $hmset_pair_decompose_less$:
assumes $m1_lt_m2$: $m1 < m2$
shows $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge head_omega\ n1 < head_omega\ n2$
<proof>

lemma $hmset_pair_decompose_less_eq$:
assumes $m1 \leq m2$
shows $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (head_omega\ n1 < head_omega\ n2 \vee n1 = 0 \wedge n2 = 0)$
<proof>

lemma $mono_cross_mult_less_hmset$:
fixes $Aa\ A\ Ba\ B :: hmultiset$
assumes A_lt : $A < Aa$ **and** B_lt : $B < Ba$
shows $A * Ba + B * Aa < A * B + Aa * Ba$
<proof>

lemma $triple_cross_mult_hmset$:
 $An * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp))$
 $+ (Cn * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp)))$

```

+ (Ap * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp))
+ Cp * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap))) =
An * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp))
+ (Cn * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap))
+ (Ap * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp))
+ Cp * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp))))
for Ap An Bp Bn Cp Cn Dp Dn :: hmultiset
⟨proof⟩

```

7.7 Conversions to Natural Numbers

definition *offset_hmset* :: *hmultiset* \Rightarrow *nat* **where**
offset_hmset M = *count* (*hmsetmset* M) 0

lemma *offset_hmset_of_nat[simp]*: *offset_hmset* (*of_nat* n) = n
⟨proof⟩

lemma *offset_hmset_numeral[simp]*: *offset_hmset* (*numeral* n) = *numeral* n
⟨proof⟩

definition *sum_coefs* :: *hmultiset* \Rightarrow *nat* **where**
sum_coefs M = *size* (*hmsetmset* M)

lemma *sum_coefs_distrib_plus[simp]*: *sum_coefs* (M + N) = *sum_coefs* M + *sum_coefs* N
⟨proof⟩

lemma *sum_coefs_gt_0*: *sum_coefs* M > 0 \longleftrightarrow M > 0
⟨proof⟩

7.8 An Example

The following proof is based on an informal proof by Uwe Waldmann, inspired by a similar argument by Michel Ludwig.

lemma *ludwig_waldmann_less*:
fixes $\alpha 1 \alpha 2 \beta 1 \beta 2 \gamma \delta :: \text{hmultiset}$
assumes
 $\alpha \beta 2 \gamma _lt_ \alpha \beta 1 \gamma$: $\alpha 2 + \beta 2 * \gamma < \alpha 1 + \beta 1 * \gamma$ **and**
 $\beta 2 _le_ \beta 1$: $\beta 2 \leq \beta 1$ **and**
 $\gamma _lt_ \delta$: $\gamma < \delta$
shows $\alpha 2 + \beta 2 * \delta < \alpha 1 + \beta 1 * \delta$
⟨proof⟩

end

8 Signed Syntactic Ordinals in Cantor Normal Form

theory *Signed_Syntactic_Ordinal*
imports *Signed_Hereditary_Multiset Syntactic_Ordinal*
begin

8.1 Natural (Hessenberg) Product

instantiation *zhmultiset* :: *comm_ring_1*
begin

abbreviation $\omega_z_exp :: \text{hmultiset} \Rightarrow \text{zhmultiset}$ ($\omega_z \hat{\ } \cdot$) **where**
 $\omega_z \hat{\ } \equiv \lambda m. \text{ZHMSet } \{\#m\}_z$

lift-definition *one_zhmultiset* :: *zhmultiset* **is** $\{\#0\}_z$ ⟨proof⟩

abbreviation $\omega_z :: \text{zhmultiset}$ **where**
 $\omega_z \equiv \omega_z \hat{\ } 1$

lemma $\omega_z_as_ \omega$: $\omega_z = zhmsset_of\ \omega$
 ⟨proof⟩

lift-definition $times_zhmultiset$:: $zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset$ is
 $\lambda M N.$

$zhmsset_of\ (hmsetmset\ (HMSet\ (mset_pos\ M) * HMSet\ (mset_pos\ N)))$
 $- zhmsset_of\ (hmsetmset\ (HMSet\ (mset_pos\ M) * HMSet\ (mset_neg\ N)))$
 $+ zhmsset_of\ (hmsetmset\ (HMSet\ (mset_neg\ M) * HMSet\ (mset_neg\ N)))$
 $- zhmsset_of\ (hmsetmset\ (HMSet\ (mset_neg\ M) * HMSet\ (mset_pos\ N)))$ ⟨proof⟩

lemmas $zhmssetmset_times = times_zhmultiset.rep_eq$

instance
 ⟨proof⟩

end

lemma $zhmsset_of_1$: $zhmsset_of\ 1 = 1$
 ⟨proof⟩

lemma $zhmsset_of_times$: $zhmsset_of\ (A * B) = zhmsset_of\ A * zhmsset_of\ B$
 ⟨proof⟩

lemma $zhmsset_of_prod_list$:
 $zhmsset_of\ (prod_list\ Ms) = prod_list\ (map\ zhmsset_of\ Ms)$
 ⟨proof⟩

8.2 Embedding of Natural Numbers

lemma $of_nat_zhmsset$: $of_nat\ n = zhmsset_of\ (of_nat\ n)$
 ⟨proof⟩

lemma $of_nat_inject_zhmsset[simp]$: $(of_nat\ m :: zhmultiset) = of_nat\ n \longleftrightarrow m = n$
 ⟨proof⟩

lemma $plus_of_nat_plus_of_nat_zhmsset$:
 $k + of_nat\ m + of_nat\ n = k + of_nat\ (m + n)$ **for** $k :: zhmultiset$
 ⟨proof⟩

lemma $plus_of_nat_minus_of_nat_zhmsset$:
fixes $k :: zhmultiset$
assumes $n \leq m$
shows $k + of_nat\ m - of_nat\ n = k + of_nat\ (m - n)$
 ⟨proof⟩

lemma $of_nat_lt_ \omega_z[simp]$: $of_nat\ n < \omega_z$
 ⟨proof⟩

lemma $of_nat_ne_ \omega_z[simp]$: $of_nat\ n \neq \omega_z$
 ⟨proof⟩

8.3 Embedding of Extended Natural Numbers

primrec $zhmsset_of_enat$:: $enat \Rightarrow zhmultiset$ **where**
 $zhmsset_of_enat\ (enat\ n) = of_nat\ n$
 $| zhmsset_of_enat\ \infty = \omega_z$

lemma $zhmsset_of_enat_0[simp]$: $zhmsset_of_enat\ 0 = 0$
 ⟨proof⟩

lemma $zhmsset_of_enat_1[simp]$: $zhmsset_of_enat\ 1 = 1$
 ⟨proof⟩

lemma *zhmset_of_enat_of_nat[simp]*: $zhmset_of_enat (of_nat\ n) = of_nat\ n$
 ⟨proof⟩

lemma *zhmset_of_enat_numeral[simp]*: $zhmset_of_enat (numeral\ n) = numeral\ n$
 ⟨proof⟩

lemma *zhmset_of_enat_le_omega_z[simp]*: $zhmset_of_enat\ n \leq \omega_z$
 ⟨proof⟩

lemma *zhmset_of_enat_eq_omega_z_iff[simp]*: $zhmset_of_enat\ n = \omega_z \longleftrightarrow n = \infty$
 ⟨proof⟩

8.4 Inequalities and Some (Dis)equalities

instance *zhmultiset :: zero_less_one*
 ⟨proof⟩

instantiation *zhmultiset :: linordered_idom*
begin

definition *sgn_zhmultiset :: zhmultiset \Rightarrow zhmultiset* **where**
sgn_zhmultiset $M = (if\ M = 0\ then\ 0\ else\ if\ M > 0\ then\ 1\ else\ -1)$

definition *abs_zhmultiset :: zhmultiset \Rightarrow zhmultiset* **where**
abs_zhmultiset $M = (if\ M < 0\ then\ -M\ else\ M)$

lemma *gt_0_times_gt_0_imp*:
fixes $a\ b :: zhmultiset$
assumes $a_gt0: a > 0$ **and** $b_gt0: b > 0$
shows $a * b > 0$
 ⟨proof⟩

instance
 ⟨proof⟩

end

lemma *le_zhmset_of_pos*: $M \leq zhmset_of (hmset_pos\ M)$
 ⟨proof⟩

lemma *minus_zhmset_of_pos_le*: $- zhmset_of (hmset_neg\ M) \leq M$
 ⟨proof⟩

lemma *zhmset_of_nonneg[simp]*: $zhmset_of\ M \geq 0$
 ⟨proof⟩

lemma
fixes $n :: zhmultiset$
assumes $0 \leq m$
shows
le_add1_hmset: $n \leq n + m$ **and**
le_add2_hmset: $n \leq m + n$
 ⟨proof⟩

lemma *less_iff_add1_le_zhmset*: $m < n \longleftrightarrow m + 1 \leq n$ **for** $m\ n :: zhmultiset$
 ⟨proof⟩

lemma *gt_0_lt_mult_gt_1_zhmset*:
fixes $m\ n :: zhmultiset$
assumes $m > 0$ **and** $n > 1$
shows $m < m * n$
 ⟨proof⟩

lemma *zero_less_iff_1_le_zhmset*: $0 < n \longleftrightarrow 1 \leq n$ **for** $n :: zhmultiset$

<proof>

lemma *less_add_1_iff_le_hmset*: $m < n + 1 \leftrightarrow m \leq n$ **for** $m\ n :: \text{zhmultiset}$
<proof>

lemma *nonneg_le_mult_right_mono_zhmultiset*:
fixes $x\ y\ z :: \text{zhmultiset}$
assumes $x: 0 \leq x$ **and** $y: 0 < y$ **and** $z: x \leq z$
shows $x \leq y * z$
<proof>

instance *hmultiset* :: *ordered_cancel_comm_semiring*
<proof>

instance *hmultiset* :: *linordered_semiring_1_strict*
<proof>

instance *hmultiset* :: *bounded_lattice_bot*
<proof>

instance *hmultiset* :: *zero_less_one*
<proof>

instance *hmultiset* :: *linordered_nonzero_semiring*
<proof>

instance *hmultiset* :: *semiring_no_zero_divisors*
<proof>

lemma *zero_lt_omega[simp]*: $0 < \omega_z$
<proof>

lemma *one_lt_omega[simp]*: $1 < \omega_z$
<proof>

lemma *numeral_lt_omega[simp]*: *numeral* $n < \omega_z$
<proof>

lemma *one_le_omega[simp]*: $1 \leq \omega_z$
<proof>

lemma *of_nat_le_omega[simp]*: *of_nat* $n \leq \omega_z$
<proof>

lemma *numeral_le_omega[simp]*: *numeral* $n \leq \omega_z$
<proof>

lemma *not_omega_lt_1[simp]*: $\neg \omega_z < 1$
<proof>

lemma *not_omega_lt_of_nat[simp]*: $\neg \omega_z < \text{of_nat } n$
<proof>

lemma *not_omega_lt_numeral[simp]*: $\neg \omega_z < \text{numeral } n$
<proof>

lemma *not_omega_le_1[simp]*: $\neg \omega_z \leq 1$
<proof>

lemma *not_omega_le_of_nat[simp]*: $\neg \omega_z \leq \text{of_nat } n$
<proof>

lemma *not_omega_le_numeral[simp]*: $\neg \omega_z \leq \text{numeral } n$

<proof>

lemma *zero_ne_omega_z[simp]: 0 ≠ ω_z*
<proof>

lemma *one_ne_omega_z[simp]: 1 ≠ ω_z*
<proof>

lemma *numeral_ne_omega_z[simp]: numeral n ≠ ω_z*
<proof>

lemma
omega_z_ne_0[simp]: ω_z ≠ 0 and
omega_z_ne_1[simp]: ω_z ≠ 1 and
omega_z_ne_of_nat[simp]: ω_z ≠ of_nat m and
omega_z_ne_numeral[simp]: ω_z ≠ numeral n
<proof>

lemma
zhmset_of_enat_inject[simp]: zhmset_of_enat m = zhmset_of_enat n ↔ m = n and
zhmset_of_enat_lt_iff_lt[simp]: zhmset_of_enat m < zhmset_of_enat n ↔ m < n and
zhmset_of_enat_le_iff_le[simp]: zhmset_of_enat m ≤ zhmset_of_enat n ↔ m ≤ n
<proof>

lemma *of_nat_lt_zhmset_of_enat_iff: of_nat m < zhmset_of_enat n ↔ enat m < n*
<proof>

lemma *of_nat_le_zhmset_of_enat_iff: of_nat m ≤ zhmset_of_enat n ↔ enat m ≤ n*
<proof>

lemma *zhmset_of_enat_lt_iff_ne_infinity: zhmset_of_enat x < ω_z ↔ x ≠ ∞*
<proof>

8.5 An Example

A new proof of $[[?α2.0 + ?β2.0 * ?γ < ?α1.0 + ?β1.0 * ?γ; ?β2.0 ≤ ?β1.0; ?γ < ?δ]] \implies ?α2.0 + ?β2.0 * ?δ < ?α1.0 + ?β1.0 * ?δ$:

lemma
fixes *α1 α2 β1 β2 γ δ :: hmultiset*
assumes
*αβ2γ_lt_αβ1γ: α2 + β2 * γ < α1 + β1 * γ and*
β2_le_β1: β2 ≤ β1 and
γ_lt_δ: γ < δ
shows *α2 + β2 * δ < α1 + β1 * δ*
<proof>

end

theory *Syntactic_Ordinal_Bridge*
imports *HOL-Library.Sublist Ordinal.OrdinalOmega Syntactic_Ordinal*
abbrevs
!h = h
begin

9 Bridge between Huffman's Ordinal Library and the Syntactic Ordinals

9.1 Missing Lemmas about Huffman's Ordinals

instantiation *ordinal :: order_bot*
begin

definition *bot_ordinal* :: *ordinal* **where**
bot_ordinal = 0

instance
 ⟨*proof*⟩

end

lemma *insort_bot[simp]*: *insort bot xs = bot # xs* **for** *xs* :: '*a*::{*order_bot*,*linorder*} *list*
 ⟨*proof*⟩

lemmas *insort_0_ordinal[simp]* = *insort_bot[of xs :: ordinal list for xs, unfolded bot_ordinal_def]*

lemma *from_cnf_less_ω_exp*:
assumes $\forall k \in \text{set } ks. k < l$
shows *from_cnf ks < ω ** l*
 ⟨*proof*⟩

lemma *from_cnf_0_iff[simp]*: *from_cnf ks = 0* \longleftrightarrow *ks = []*
 ⟨*proof*⟩

lemma *from_cnf_append[simp]*: *from_cnf (ks @ ls) = from_cnf ks + from_cnf ls*
 ⟨*proof*⟩

lemma *subseq_from_cnf_less_eq*: *Sublist.subseq ks ls* \implies *from_cnf ks* \leq *from_cnf ls*
 ⟨*proof*⟩

9.2 Embedding of Syntactic Ordinals into Huffman's Ordinals

abbreviation ω_h :: *hmultiset* **where**
 $\omega_h \equiv \text{Syntactic_Ordinal}.\omega$

abbreviation $\omega_h \hat{\ } \text{exp}$:: *hmultiset* \Rightarrow *hmultiset* ($\omega_h \hat{\ }$) **where**
 $\omega_h \hat{\ } \equiv \text{Syntactic_Ordinal}.\omega \text{exp}$

primrec *ordinal_of_hmset* :: *hmultiset* \Rightarrow *ordinal* **where**
ordinal_of_hmset (*HMSet* *M*) =
from_cnf (*rev* (*sorted_list_of_multiset* (*image_mset ordinal_of_hmset M*)))

lemma *ordinal_of_hmset_0[simp]*: *ordinal_of_hmset 0 = 0*
 ⟨*proof*⟩

lemma *ordinal_of_hmset_suc[simp]*: *ordinal_of_hmset (k + 1) = ordinal_of_hmset k + 1*
 ⟨*proof*⟩

lemma *ordinal_of_hmset_1[simp]*: *ordinal_of_hmset 1 = 1*
 ⟨*proof*⟩

lemma *ordinal_of_hmset_ω[simp]*: *ordinal_of_hmset ω_h = ω*
 ⟨*proof*⟩

lemma *ordinal_of_hmset_singleton[simp]*: *ordinal_of_hmset (ω[^]*k*) = ω ** ordinal_of_hmset k*
 ⟨*proof*⟩

lemma *ordinal_of_hmset_iff[simp]*: *ordinal_of_hmset k = 0* \longleftrightarrow *k = 0*
 ⟨*proof*⟩

lemma *less_imp_ordinal_of_hmset_less*: *k < l* \implies *ordinal_of_hmset k < ordinal_of_hmset l*
 ⟨*proof*⟩

lemma *ordinal_of_hmset_less[simp]*: *ordinal_of_hmset k < ordinal_of_hmset l* \longleftrightarrow *k < l*
 ⟨*proof*⟩

end

10 Termination of McCarthy's 91 Function

```
theory McCarthy_91
imports HOL-Library.Multiset_Order
begin
```

```
lemma funpow_rec:  $f \hat{\sim} n = (\text{if } n = 0 \text{ then id else } f \circ f \hat{\sim} (n - 1))$ 
  <proof>
```

The f function captures the semantics of McCarthy's 91 function. The g function is a tail-recursive implementation of the function, whose termination is established using the multiset order. The definitions follow Dershowitz and Manna.

```
definition f :: int  $\Rightarrow$  int where
  f x = (if x > 100 then x - 10 else 91)
```

```
definition  $\tau$  :: nat  $\Rightarrow$  int  $\Rightarrow$  int multiset where
   $\tau$  n z = mset (map ( $\lambda i. (f \hat{\sim} \text{nat } i)$  z) [0..int n - 1])
```

```
function g :: nat  $\Rightarrow$  int  $\Rightarrow$  int where
  g n z = (if n = 0 then z else if z > 100 then g (n - 1) (z - 10) else g (n + 1) (z + 11))
  <proof>
```

```
termination
  <proof>
```

```
declare g.simps [simp del]
```

end

11 Termination of the Hydra Battle

```
theory Hydra_Battle
imports Syntactic_Ordinal
begin
```

```
hide-const (open) Nil Cons
```

The h function and its auxiliaries f and d represent the hydra battle. The $encode$ function converts a hydra (represented as a Lisp-like tree) to a syntactic ordinal. The definitions follow Dershowitz and Moser.

```
datatype lisp =
  Nil
| Cons (car: lisp) (cdr: lisp)
where
  car Nil = Nil
| cdr Nil = Nil
```

```
primrec encode :: lisp  $\Rightarrow$  hmultiset where
  encode Nil = 0
| encode (Cons l r) =  $\omega^{\sim}(\text{encode } l) + \text{encode } r$ 
```

```
primrec f :: nat  $\Rightarrow$  lisp  $\Rightarrow$  lisp  $\Rightarrow$  lisp where
  f 0 y x = x
| f (Suc m) y x = Cons y (f m y x)
```

```
lemma encode_f:  $\text{encode } (f \text{ n } y \text{ x}) = \text{of\_nat } n * \omega^{\sim}(\text{encode } y) + \text{encode } x$ 
  <proof>
```

```
function d :: nat  $\Rightarrow$  lisp  $\Rightarrow$  lisp where
  d n x =
```

```

    (if car x = Nil then cdr x
     else if car (car x) = Nil then f n (cdr (car x)) (cdr x)
     else Cons (d n (car x)) (cdr x))
  ⟨proof⟩
termination
  ⟨proof⟩

declare d.simps[simp del]

function h :: nat ⇒ lisp ⇒ lisp where
  h n x = (if x = Nil then Nil else h (n + 1) (d n x))
  ⟨proof⟩
termination
  ⟨proof⟩

declare h.simps[simp del]

end

```

12 Termination of the Goodstein Sequence

```

theory Goodstein_Sequence
imports Multiset_More Syntactic_Ordinal
begin

```

The *goodstein* function returns the successive values of the Goodstein sequence. It is defined in terms of *encode* and *decode* functions, which convert between natural numbers and ordinals. The development culminates with a proof of Goodstein's theorem.

12.1 Lemmas about Division

```

lemma div_mult_le: m div n * n ≤ m for m n :: nat
  ⟨proof⟩

lemma power_div_same_base:
  b ^ y ≠ 0 ⇒ x ≥ y ⇒ b ^ x div b ^ y = b ^ (x - y) for b :: 'a::semidom_divide
  ⟨proof⟩

```

12.2 Hereditary and Nonhereditary Base-*n* Systems

```

context
  fixes base :: nat
  assumes base_ge_2: base ≥ 2
begin

inductive well_base :: 'a multiset ⇒ bool where
  (∀ n. count M n < base) ⇒ well_base M

lemma well_base_filter: well_base M ⇒ well_base {#m ∈# M. p m#}
  ⟨proof⟩

lemma well_base_image_inj: well_base M ⇒ inj_on f (set_mset M) ⇒ well_base (image_mset f M)
  ⟨proof⟩

lemma well_base_bound:
  assumes
    well_base M and
    ∀ m ∈# M. m < n
  shows (∑ m ∈# M. base ^ m) < base ^ n
  ⟨proof⟩

inductive well_base_h :: hmultiset ⇒ bool where
  (∀ N ∈# hmsetmset M. well_base_h N) ⇒ well_base (hmsetmset M) ⇒ well_base_h M

```

lemma *well_base_h_mono_hmset*: $well_base_h\ M \implies hmsetmset\ N \subseteq\# hmsetmset\ M \implies well_base_h\ N$
 ⟨proof⟩

lemma *well_base_h_imp_well_base*: $well_base_h\ M \implies well_base\ (hmsetmset\ M)$
 ⟨proof⟩

12.3 Encoding of Natural Numbers into Ordinals

function *encode* :: $nat \Rightarrow nat \Rightarrow hmultiset$ **where**

encode e n =

*(if n = 0 then 0 else of_nat (n mod base) * $\omega^{\wedge}(\text{encode } 0\ e) + \text{encode } (e + 1)\ (n \text{ div } base)$)*

⟨proof⟩

termination

⟨proof⟩

declare *encode.simps*[*simp del*]

lemma *encode_0*[*simp*]: $encode\ e\ 0 = 0$
 ⟨proof⟩

lemma *encode_Suc*:

*encode e (Suc n) = of_nat (Suc n mod base) * $\omega^{\wedge}(\text{encode } 0\ e) + \text{encode } (e + 1)\ (Suc\ n\ \text{div } base)$*

⟨proof⟩

lemma *encode_0_iff*: $encode\ e\ n = 0 \longleftrightarrow n = 0$
 ⟨proof⟩

lemma *encode_Suc_exp*: $encode\ (Suc\ e)\ n = encode\ e\ (base * n)$
 ⟨proof⟩

lemma *encode_exp_0*: $encode\ e\ n = encode\ 0\ (base^{\wedge} e * n)$
 ⟨proof⟩

lemma *mem_hmsetmset_encodeD*: $M \in\# hmsetmset\ (encode\ e\ n) \implies \exists e' \geq e. M = encode\ 0\ e'$
 ⟨proof⟩

lemma *less_imp_encode_less*: $n < p \implies encode\ e\ n < encode\ e\ p$
 ⟨proof⟩

inductive *aligned_e* :: $nat \Rightarrow hmultiset \Rightarrow bool$ **where**

$(\forall m \in\# hmsetmset\ M. m \geq encode\ 0\ e) \implies aligned_e\ e\ M$

lemma *aligned_e_encode*: $aligned_e\ e\ (encode\ e\ M)$
 ⟨proof⟩

lemma *well_base_h_encode*: $well_base_h\ (encode\ e\ n)$
 ⟨proof⟩

12.4 Decoding of Natural Numbers from Ordinals

primrec *decode* :: $nat \Rightarrow hmultiset \Rightarrow nat$ **where**

decode e (HMSet M) = $(\sum m \in\# M. base^{\wedge} decode\ 0\ m) \text{ div } base^{\wedge} e$

lemma *decode_unfold*: $decode\ e\ M = (\sum m \in\# hmsetmset\ M. base^{\wedge} decode\ 0\ m) \text{ div } base^{\wedge} e$
 ⟨proof⟩

lemma *decode_0*[*simp*]: $decode\ e\ 0 = 0$
 ⟨proof⟩

inductive *aligned_d* :: $nat \Rightarrow hmultiset \Rightarrow bool$ **where**

$(\forall m \in\# hmsetmset\ M. decode\ 0\ m \geq e) \implies aligned_d\ e\ M$

lemma *aligned_d_0*[*simp*]: $aligned_d\ 0\ M$

<proof>

lemma *aligned_d_mono_exp_Suc*: $\text{aligned}_d (\text{Suc } e) M \implies \text{aligned}_d e M$
<proof>

lemma *aligned_d_mono_hmset*:
assumes $\text{aligned}_d e M$ **and** $\text{hmsetmset } M' \subseteq\# \text{hmsetmset } M$
shows $\text{aligned}_d e M'$
<proof>

lemma *decode_exp_shift_Suc*:
assumes $\text{align}_d: \text{aligned}_d (\text{Suc } e) M$
shows $\text{decode } e M = \text{base} * \text{decode } (\text{Suc } e) M$
<proof>

lemma *decode_exp_shift*:
assumes $\text{aligned}_d e M$
shows $\text{decode } 0 M = \text{base} ^ e * \text{decode } e M$
<proof>

lemma *decode_plus*:
assumes $\text{align}_d M: \text{aligned}_d e M$
shows $\text{decode } e (M + N) = \text{decode } e M + \text{decode } e N$
<proof>

lemma *less_imp_decode_less*:
assumes
 $\text{well_base}_h M$ **and**
 $\text{aligned}_d e M$ **and**
 $\text{aligned}_d e N$ **and**
 $M < N$
shows $\text{decode } e M < \text{decode } e N$
<proof>

lemma *inj_decode*: $\text{inj_on } (\text{decode } e) \{M. \text{well_base}_h M \wedge \text{aligned}_d e M\}$
<proof>

lemma *decode_0_iff*: $\text{well_base}_h M \implies \text{aligned}_d e M \implies \text{decode } e M = 0 \longleftrightarrow M = 0$
<proof>

lemma *decode_encode*: $\text{decode } e (\text{encode } e n) = n$
<proof>

lemma *encode_decode_exp_0*: $\text{well_base}_h M \implies \text{encode } 0 (\text{decode } 0 M) = M$
<proof>

end

lemma *well_base_h_mono_base*:
assumes
 $\text{well}_h: \text{well_base}_h \text{base } M$ **and**
 $\text{two}: 2 \leq \text{base}$ **and**
 $\text{bases}: \text{base} \leq \text{base}'$
shows $\text{well_base}_h \text{base}' M$
<proof>

12.5 The Goodstein Sequence and Goodstein's Theorem

context

fixes $\text{start} :: \text{nat}$

begin

primrec $\text{goodstein} :: \text{nat} \Rightarrow \text{nat}$ **where**
 $\text{goodstein } 0 = \text{start}$

| $goodstein (Suc i) = decode (i + 3) 0 (encode (i + 2) 0 (goodstein i)) - 1$

lemma *goodstein_step*:

assumes *gi_gt_0*: $goodstein i > 0$

shows $encode (i + 2) 0 (goodstein i) > encode (i + 3) 0 (goodstein (i + 1))$

<proof>

theorem *goodsteins_theorem*: $\exists i. goodstein i = 0$

<proof>

end

end

13 Towards Decidability of Behavioral Equivalence for Unary PCF

theory *Unary_PCF*

imports

HOL-Library.FSet

HOL-Library.Countable_Set_Type

HOL-Library.Nat_Bijection

Hereditary_Multiset

List-Index.List_Index

begin

13.1 Preliminaries

lemma *prod_UNIV*: $UNIV = UNIV \times UNIV$

<proof>

lemma *infinite_cartesian_productI1*: $infinite A \implies B \neq \{\} \implies infinite (A \times B)$

<proof>

13.2 Types

datatype *type* = $\mathcal{B} \langle \mathcal{B} \rangle$ | *Fun type type* (**infixr** $\langle \rightarrow \rangle$ 65)

definition *mk_fun* (**infixr** $\langle \rightarrow \rightarrow \rangle$ 65) **where**

$Ts \rightarrow \rightarrow T = fold (\rightarrow) (rev Ts) T$

primrec *dest_fun* **where**

$dest_fun \mathcal{B} = []$

| $dest_fun (T \rightarrow U) = T \# dest_fun U$

definition *arity* **where**

$arity T = length (dest_fun T)$

lemma *mk_fun_dest_fun[simp]*: $dest_fun T \rightarrow \rightarrow \mathcal{B} = T$

<proof>

lemma *dest_fun_mk_fun[simp]*: $dest_fun (Ts \rightarrow \rightarrow T) = Ts @ dest_fun T$

<proof>

primrec δ **where**

$\delta \mathcal{B} = HMSet \{\#\}$

| $\delta (T \rightarrow U) = HMSet (add_mset (\delta T) (hmsetmset (\delta U)))$

lemma δ_mk_fun : $\delta (Ts \rightarrow \rightarrow T) = HMSet (hmsetmset (\delta T) + mset (map \delta Ts))$

<proof>

lemma *type_induct* [*case_names Fun*]:

assumes

$(\bigwedge T. (\bigwedge T1 T2. T = T1 \rightarrow T2 \implies P T1) \implies$

$(\bigwedge T1\ T2. T = T1 \rightarrow T2 \implies P\ T2) \implies P\ T$
shows $P\ T$
 $\langle proof \rangle$

13.3 Terms

type-synonym $name = string$

type-synonym $idx = nat$

datatype $expr =$

$Var\ name * type\ (\langle _ \rangle) \mid Bound\ idx \mid B\ bool$
 $\mid Seq\ expr\ expr\ (\mathbf{infixr}\ \langle ? \rangle\ 75) \mid App\ expr\ expr\ (\mathbf{infixl}\ \langle \cdot \rangle\ 75)$
 $\mid Abs\ type\ expr\ (\langle \Lambda _ \rangle\ _ \rightarrow [100, 100]\ 800)$

declare $[[coercion_enabled]]$

declare $[[coercion\ B]]$

declare $[[coercion\ Bound]]$

notation (output) $B\ (\langle _ \rangle)$

notation (output) $Bound\ (\langle _ \rangle)$

primrec $open :: idx \Rightarrow expr \Rightarrow expr \Rightarrow expr\ \mathbf{where}$

$open\ i\ t\ (j :: idx) = (if\ i = j\ then\ t\ else\ j)$
 $\mid open\ i\ t\ \langle yU \rangle = \langle yU \rangle$
 $\mid open\ i\ t\ (b :: bool) = b$
 $\mid open\ i\ t\ (e1\ ?\ e2) = open\ i\ t\ e1\ ?\ open\ i\ t\ e2$
 $\mid open\ i\ t\ (e1 \cdot e2) = open\ i\ t\ e1 \cdot open\ i\ t\ e2$
 $\mid open\ i\ t\ (\Lambda \langle U \rangle\ e) = \Lambda \langle U \rangle\ (open\ (i + 1)\ t\ e)$

abbreviation $open0 \equiv open\ 0$

abbreviation $open_Var\ i\ xT \equiv open\ i\ \langle xT \rangle$

abbreviation $open0_Var\ xT \equiv open\ 0\ \langle xT \rangle$

primrec $close_Var :: idx \Rightarrow name \times type \Rightarrow expr \Rightarrow expr\ \mathbf{where}$

$close_Var\ i\ xT\ (j :: idx) = j$
 $\mid close_Var\ i\ xT\ \langle yU \rangle = (if\ xT = yU\ then\ i\ else\ \langle yU \rangle)$
 $\mid close_Var\ i\ xT\ (b :: bool) = b$
 $\mid close_Var\ i\ xT\ (e1\ ?\ e2) = close_Var\ i\ xT\ e1\ ?\ close_Var\ i\ xT\ e2$
 $\mid close_Var\ i\ xT\ (e1 \cdot e2) = close_Var\ i\ xT\ e1 \cdot close_Var\ i\ xT\ e2$
 $\mid close_Var\ i\ xT\ (\Lambda \langle U \rangle\ e) = \Lambda \langle U \rangle\ (close_Var\ (i + 1)\ xT\ e)$

abbreviation $close0_Var \equiv close_Var\ 0$

primrec $fv :: expr \Rightarrow (name \times type)\ fset\ \mathbf{where}$

$fv\ (j :: idx) = \{\mid\}$
 $\mid fv\ \langle yU \rangle = \{\mid yU \mid\}$
 $\mid fv\ (b :: bool) = \{\mid\}$
 $\mid fv\ (e1\ ?\ e2) = fv\ e1 \mid \cup \mid fv\ e2$
 $\mid fv\ (e1 \cdot e2) = fv\ e1 \mid \cup \mid fv\ e2$
 $\mid fv\ (\Lambda \langle U \rangle\ e) = fv\ e$

abbreviation $fresh\ x\ e \equiv x \notin fv\ e$

lemma $ex_fresh: \exists x. (x :: char\ list, T) \notin A$

$\langle proof \rangle$

inductive $lc\ \mathbf{where}$

$lc_Var[simp]: lc\ \langle xT \rangle$
 $\mid lc_B[simp]: lc\ (b :: bool)$
 $\mid lc_Seq: lc\ e1 \implies lc\ e2 \implies lc\ (e1\ ?\ e2)$
 $\mid lc_App: lc\ e1 \implies lc\ e2 \implies lc\ (e1 \cdot e2)$
 $\mid lc_Abs: (\forall x. (x, T) \notin X \longrightarrow lc\ (open0_Var\ (x, T)\ e)) \implies lc\ (\Lambda \langle T \rangle\ e)$

declare $lc.intros[intro]$

definition $body\ T\ t \equiv (\exists X. \forall x. (x, T) \notin X \longrightarrow lc\ (open0_Var\ (x, T)\ t))$

lemma $lc_Abs_iff_body: lc\ (\Lambda\langle T \rangle\ t) \longleftrightarrow body\ T\ t$
 $\langle proof \rangle$

lemma $fv_open_Var: fresh\ xT\ t \Longrightarrow fv\ (open_Var\ i\ xT\ t) \subseteq\ finsert\ xT\ (fv\ t)$
 $\langle proof \rangle$

lemma $fv_close_Var[simp]: fv\ (close_Var\ i\ xT\ t) = fv\ t \ -\ \{xT\}$
 $\langle proof \rangle$

lemma $close_Var_open_Var[simp]: fresh\ xT\ t \Longrightarrow close_Var\ i\ xT\ (open_Var\ i\ xT\ t) = t$
 $\langle proof \rangle$

lemma $open_Var_inj: fresh\ xT\ t \Longrightarrow fresh\ xT\ u \Longrightarrow open_Var\ i\ xT\ t = open_Var\ i\ xT\ u \Longrightarrow t = u$
 $\langle proof \rangle$

context begin

private lemma $open_Var_open_Var_close_Var: i \neq j \Longrightarrow xT \neq yU \Longrightarrow fresh\ yU\ t \Longrightarrow$
 $open_Var\ i\ yU\ (open_Var\ j\ zV\ (close_Var\ j\ xT\ t)) = open_Var\ j\ zV\ (close_Var\ j\ xT\ (open_Var\ i\ yU\ t))$
 $\langle proof \rangle$

lemma $open_Var_close_Var[simp]: lc\ t \Longrightarrow open_Var\ i\ xT\ (close_Var\ i\ xT\ t) = t$
 $\langle proof \rangle$

end

lemma $close_Var_inj: lc\ t \Longrightarrow lc\ u \Longrightarrow close_Var\ i\ xT\ t = close_Var\ i\ xT\ u \Longrightarrow t = u$
 $\langle proof \rangle$

primrec $Apps$ (**infixl** $\langle \cdot \rangle$ 75) **where**

$f \cdot [] = f$
 $| f \cdot (x \# xs) = f \cdot x \cdot xs$

lemma $Apps_snoc: f \cdot (xs @ [x]) = f \cdot xs \cdot x$
 $\langle proof \rangle$

lemma $Apps_append: f \cdot (xs @ ys) = f \cdot xs \cdot ys$
 $\langle proof \rangle$

lemma $Apps_inj[simp]: f \cdot ts = g \cdot ts \longleftrightarrow f = g$
 $\langle proof \rangle$

lemma $eq_Apps_conv[simp]:$

fixes $i :: idx$ **and** $b :: bool$ **and** $f :: expr$ **and** $ts :: expr\ list$

shows

$\langle\langle m \rangle\rangle = f \cdot ts = \langle\langle m \rangle\rangle = f \wedge ts = []$
 $(f \cdot ts = \langle m \rangle) = (\langle m \rangle = f \wedge ts = [])$
 $(i = f \cdot ts) = (i = f \wedge ts = [])$
 $(f \cdot ts = i) = (i = f \wedge ts = [])$
 $(b = f \cdot ts) = (b = f \wedge ts = [])$
 $(f \cdot ts = b) = (b = f \wedge ts = [])$
 $(e1\ ?\ e2 = f \cdot ts) = (e1\ ?\ e2 = f \wedge ts = [])$
 $(f \cdot ts = e1\ ?\ e2) = (e1\ ?\ e2 = f \wedge ts = [])$
 $(\Lambda\langle T \rangle\ t = f \cdot ts) = (\Lambda\langle T \rangle\ t = f \wedge ts = [])$
 $(f \cdot ts = \Lambda\langle T \rangle\ t) = (\Lambda\langle T \rangle\ t = f \wedge ts = [])$
 $\langle proof \rangle$

lemma $Apps_Var_eq[simp]: \langle xT \rangle \cdot ss = \langle yU \rangle \cdot ts \longleftrightarrow xT = yU \wedge ss = ts$
 $\langle proof \rangle$

lemma $Apps_Abs_neq_Apps[simp, symmetric, simp]:$

$\Lambda\langle T \rangle r \cdot t \neq \langle xT \rangle \cdot ss$
 $\Lambda\langle T \rangle r \cdot t \neq (i :: idx) \cdot ss$
 $\Lambda\langle T \rangle r \cdot t \neq (b :: bool) \cdot ss$
 $\Lambda\langle T \rangle r \cdot t \neq (e1 ? e2) \cdot ss$
 $\langle proof \rangle$

lemma *App_Abs_eq_Apps_Abs*[simp]: $\Lambda\langle T \rangle r \cdot t = \Lambda\langle T' \rangle r' \cdot ss \iff T = T' \wedge r = r' \wedge ss = [t]$
 $\langle proof \rangle$

lemma *Apps_Var_neq_Apps_Abs*[simp, symmetric, simp]: $\langle xT \rangle \cdot ss \neq \Lambda\langle T \rangle r \cdot ts$
 $\langle proof \rangle$

lemma *Apps_Var_neq_Apps_beta*[simp, THEN not_sym, simp]:
 $\langle xT \rangle \cdot ss \neq \Lambda\langle T \rangle r \cdot s \cdot ts$
 $\langle proof \rangle$

lemma [simp]:
 $(\Lambda\langle T \rangle r \cdot ts = \Lambda\langle T' \rangle r' \cdot s' \cdot ts') = (T = T' \wedge r = r' \wedge ts = s' \# ts')$
 $\langle proof \rangle$

lemma *fold_eq_Bool_iff*[simp]:
 $fold (\rightarrow) (rev Ts) T = \mathcal{B} \iff Ts = [] \wedge T = \mathcal{B}$
 $\mathcal{B} = fold (\rightarrow) (rev Ts) T \iff Ts = [] \wedge T = \mathcal{B}$
 $\langle proof \rangle$

lemma *fold_eq_Fun_iff*[simp]:
 $fold (\rightarrow) (rev Ts) T = U \rightarrow V \iff$
 $(Ts = [] \wedge T = U \rightarrow V \vee (\exists Us. Ts = U \# Us \wedge fold (\rightarrow) (rev Us) T = V))$
 $\langle proof \rangle$

13.4 Substitution

primrec *subst where*

$subst\ xT\ t\ \langle yU \rangle = (if\ xT = yU\ then\ t\ else\ \langle yU \rangle)$
 $| subst\ xT\ t\ (i :: idx) = i$
 $| subst\ xT\ t\ (b :: bool) = b$
 $| subst\ xT\ t\ (e1 ? e2) = subst\ xT\ t\ e1 ? subst\ xT\ t\ e2$
 $| subst\ xT\ t\ (e1 \cdot e2) = subst\ xT\ t\ e1 \cdot subst\ xT\ t\ e2$
 $| subst\ xT\ t\ (\Lambda\langle T \rangle e) = \Lambda\langle T \rangle (subst\ xT\ t\ e)$

lemma *fv_subst*:
 $fv\ (subst\ xT\ t\ u) = fv\ u\ |- \{|xT|\} \cup \{|if\ xT\ |\in\| fv\ u\ then\ fv\ t\ else\ \{\|\}\}$
 $\langle proof \rangle$

lemma *subst_fresh*: $fresh\ xT\ u \implies subst\ xT\ t\ u = u$
 $\langle proof \rangle$

context begin

private lemma *open_open_id*: $i \neq j \implies open\ i\ t\ (open\ j\ t'\ u) = open\ j\ t'\ u \implies open\ i\ t\ u = u$
 $\langle proof \rangle$

lemma *lc_open_id*: $lc\ u \implies open\ k\ t\ u = u$
 $\langle proof \rangle$

lemma *subst_open*: $lc\ u \implies subst\ xT\ u\ (open\ i\ t\ v) = open\ i\ (subst\ xT\ u\ t)\ (subst\ xT\ u\ v)$
 $\langle proof \rangle$

lemma *subst_open_Var*:
 $xT \neq yU \implies lc\ u \implies subst\ xT\ u\ (open_Var\ i\ yU\ v) = open_Var\ i\ yU\ (subst\ xT\ u\ v)$
 $\langle proof \rangle$

lemma *subst_Apps*[simp]:
 $subst\ xT\ u\ (f \cdot xs) = subst\ xT\ u\ f \cdot map\ (subst\ xT\ u)\ xs$

<proof>

end

context begin

private lemma *fresh_close_Var_id*: $\text{fresh } xT \ t \implies \text{close_Var } k \ xT \ t = t$
<proof>

lemma *subst_close_Var*:
 $xT \neq yU \implies \text{fresh } yU \ u \implies \text{subst } xT \ u \ (\text{close_Var } i \ yU \ t) = \text{close_Var } i \ yU \ (\text{subst } xT \ u \ t)$
<proof>

end

lemma *subst_intro*: $\text{fresh } xT \ t \implies \text{lc } u \implies \text{open0 } u \ t = \text{subst } xT \ u \ (\text{open0_Var } xT \ t)$
<proof>

lemma *lc_subst[simp]*: $\text{lc } u \implies \text{lc } t \implies \text{lc } (\text{subst } xT \ t \ u)$
<proof>

lemma *body_subst[simp]*: $\text{body } U \ u \implies \text{lc } t \implies \text{body } U \ (\text{subst } xT \ t \ u)$
<proof>

lemma *lc_open_Var*: $\text{lc } u \implies \text{lc } (\text{open_Var } i \ xT \ u)$
<proof>

lemma *lc_open[simp]*: $\text{body } U \ u \implies \text{lc } t \implies \text{lc } (\text{open0 } t \ u)$
<proof>

13.5 Typing

inductive *welltyped* :: $\text{expr} \Rightarrow \text{type} \Rightarrow \text{bool}$ (**infix** <::> 60) **where**
 welltyped_Var[intro!]: $\langle(x, T)\rangle \text{:: } T$
 welltyped_B[intro!]: $(b \text{:: } \text{bool}) \text{:: } \mathcal{B}$
 welltyped_Seq[intro!]: $e1 \text{:: } \mathcal{B} \implies e2 \text{:: } \mathcal{B} \implies e1 \ ? \ e2 \text{:: } \mathcal{B}$
 welltyped_App[intro!]: $e1 \text{:: } T \rightarrow U \implies e2 \text{:: } T \implies e1 \cdot e2 \text{:: } U$
 welltyped_Abs[intro!]: $(\forall x. (x, T) \notin X \longrightarrow \text{open0_Var } (x, T) \ e \text{:: } U) \implies \Lambda\langle T \rangle \ e \text{:: } T \rightarrow U$

inductive-cases *welltypedE[elim!]*:

$\langle x \rangle \text{:: } T$
 $(i \text{:: } \text{id}x) \text{:: } T$
 $(b \text{:: } \text{bool}) \text{:: } T$
 $e1 \ ? \ e2 \text{:: } T$
 $e1 \cdot e2 \text{:: } T$
 $\Lambda\langle T \rangle \ e \text{:: } U$

lemma *welltyped_unique*: $t \text{:: } T \implies t \text{:: } U \implies T = U$
<proof>

lemma *welltyped_lc[simp]*: $t \text{:: } T \implies \text{lc } t$
<proof>

lemma *welltyped_subst[intro]*:
 $u \text{:: } U \implies t \text{:: } \text{snd } xT \implies \text{subst } xT \ t \ u \text{:: } U$
<proof>

lemma *rename_welltyped*: $u \text{:: } U \implies \text{subst } (x, T) \ \langle(y, T)\rangle \ u \text{:: } U$
<proof>

lemma *welltyped_Abs_fresh*:
 assumes $\text{fresh } (x, T) \ u \ \text{open0_Var } (x, T) \ u \text{:: } U$
 shows $\Lambda\langle T \rangle \ u \text{:: } T \rightarrow U$
<proof>

lemma *Apps_alt*: $f \cdot ts \text{ :: } T \longleftrightarrow$
 $(\exists Ts. f \text{ :: } fold (\rightarrow) (rev Ts) T \wedge list_all2 (\text{::}) ts Ts)$
 $\langle proof \rangle$

13.6 Definition 10 and Lemma 11 from Schmidt-Schauß's paper

abbreviation *closed* $t \equiv fv\ t = \{\}\}$

primrec *constant0* **where**
 $constant0\ \mathcal{B} = Var\ ("bool", \mathcal{B})$
 $| constant0\ (T \rightarrow U) = \Lambda(T)\ (constant0\ U)$

definition $constant\ T = \Lambda(\mathcal{B})\ (close0_Var\ ("bool", \mathcal{B})\ (constant0\ T))$

lemma *fv_constant0[simp]*: $fv\ (constant0\ T) = \{|("bool", \mathcal{B})|\}$
 $\langle proof \rangle$

lemma *closed_constant[simp]*: $closed\ (constant\ T)$
 $\langle proof \rangle$

lemma *welltyped_constant0[simp]*: $constant0\ T \text{ :: } T$
 $\langle proof \rangle$

lemma *lc_constant0[simp]*: $lc\ (constant0\ T)$
 $\langle proof \rangle$

lemma *welltyped_constant[simp]*: $constant\ T \text{ :: } \mathcal{B} \rightarrow T$
 $\langle proof \rangle$

definition *nth_drop* **where**
 $nth_drop\ i\ xs \equiv take\ i\ xs\ @\ drop\ (Suc\ i)\ xs$

definition *nth_arg* (**infixl** $\langle!-\rangle$ 100) **where**
 $nth_arg\ T\ i \equiv nth\ (dest_fun\ T)\ i$

abbreviation *ar* **where**
 $ar\ T \equiv length\ (dest_fun\ T)$

lemma *size_nth_arg[simp]*: $i < ar\ T \implies size\ (T\ !-\ i) < size\ T$
 $\langle proof \rangle$

fun $\pi \text{ :: } type \Rightarrow nat \Rightarrow nat \Rightarrow type$ **where**
 $\pi\ T\ i\ 0 = (if\ i < ar\ T\ then\ nth_drop\ i\ (dest_fun\ T)\ \rightarrow\rightarrow\ \mathcal{B}\ else\ \mathcal{B})$
 $| \pi\ T\ i\ (Suc\ j) = (if\ i < ar\ T \wedge j < ar\ (T\ !-\ i)$
 $then\ \pi\ (T\ !-\ i)\ j\ 0 \rightarrow$
 $map\ (\pi\ (T\ !-\ i)\ j\ o\ Suc)\ [0 ..< ar\ (T\ !-\ i) - j] \rightarrow\rightarrow\ \pi\ T\ i\ 0\ else\ \mathcal{B})$

theorem *π_induct* [*rotated -2, consumes 2, case_names 0 Suc*]:
assumes $\bigwedge T\ i. i < ar\ T \implies P\ T\ i\ 0$
and $\bigwedge T\ i\ j. i < ar\ T \implies j < ar\ (T\ !-\ i) \implies P\ (T\ !-\ i)\ j\ 0 \implies$
 $(\forall x < ar\ (T\ !-\ i) - j. P\ (T\ !-\ i)\ j\ (x + 1)) \implies P\ T\ i\ (j + 1)$
shows $i < ar\ T \implies j \leq ar\ (T\ !-\ i) \implies P\ T\ i\ j$
 $\langle proof \rangle$

definition $\varepsilon \text{ :: } type \Rightarrow nat \Rightarrow type$ **where**
 $\varepsilon\ T\ i = \pi\ T\ i\ 0 \rightarrow map\ (\pi\ T\ i\ o\ Suc)\ [0 ..< ar\ (T\ !-\ i)] \rightarrow\rightarrow\ T$

definition *Abss* ($\langle\Lambda[_]_ \rangle$ [100, 100] 800) **where**
 $\Lambda[xTs]\ b = fold\ (\lambda xT\ t. \Lambda\langle snd\ xT \rangle\ close0_Var\ xT\ t)\ (rev\ xTs)\ b$

definition *Seqs* (**infixr** $\langle??\rangle$ 75) **where**
 $ts\ ??\ t = fold\ (\lambda u\ t. u\ ?\ t)\ (rev\ ts)\ t$

definition *variant k base = base @ replicate k CHR "*"'*

lemma *variant_inj*: *variant i base = variant j base $\implies i = j$*
 ⟨proof⟩

lemma *variant_inj2*:

CHR ""'* \notin *set b1* \implies *CHR "*"'* \notin *set b2* \implies *variant i b1 = variant j b2 $\implies b1 = b2$*
 ⟨proof⟩

fun *E* :: *type* \Rightarrow *nat* \Rightarrow *expr* **and** *P* :: *type* \Rightarrow *nat* \Rightarrow *nat* \Rightarrow *expr* **where**

E T i = (if *i* < ar *T* then (let
 Ti = *T!*-*i*;
 x = λk . (*variant k "x"*, *T!*-*k*);
 xs = *map x* [*0* ..< ar *T*];
 xx_var = ⟨*nth xs i*⟩;
 x_vars = *map* (λx . ⟨*x*⟩) (*nth_drop i xs*);
 yy = ("*z*", π *T i 0*);
 yy_var = ⟨*yy*⟩;
 y = λj . (*variant j "y"*, π *T i* (*j* + 1));
 ys = *map y* [*0* ..< ar *Ti*];
 e = λj . ⟨*y j*⟩ · (*P Ti j 0* · *xx_var* # *map* (λk . *P Ti j* (*k* + 1) · *xx_var*) [*0* ..< ar (*Ti!*-*j*)]);
 guards = *map* (λi . *xx_var* ·
 map (λj . *constant* (*Ti!*-*j*) · (if *i* = *j* then *e i* · *x_vars* else *True*)) [*0* ..< ar *Ti*])
 [*0* ..< ar *Ti*]
 in Λ [(*yy* # *ys* @ *xs*)] (*guards* ?? (*yy_var* · *x_vars*)))] else *constant* (ε *T i*) · *False*)
| *P T i 0* =
 (if *i* < ar *T* then (let
 f = ("*f*", *T*);
 f_var = ⟨*f*⟩;
 x = λk . (*variant k "x"*, *T!*-*k*);
 xs = *nth_drop i* (*map x* [*0* ..< ar *T*]);
 x_vars = *insert_nth i* (*constant* (*T!*-*i*) · *True*) (*map* (λx . ⟨*x*⟩) *xs*)
 in Λ [(*f* # *xs*)] (*f_var* · *x_vars*)] else *constant* (*T* \rightarrow π *T i 0*) · *False*)
| *P T i* (*Suc j*) = (if *i* < ar *T* \wedge *j* < ar (*T!*-*i*) then (let
 Ti = *T!*-*i*;
 Tij = *Ti!*-*j*;
 f = ("*f*", *T*);
 f_var = ⟨*f*⟩;
 x = λk . (*variant k "x"*, *T!*-*k*);
 xs = *nth_drop i* (*map x* [*0* ..< ar *T*]);
 yy = ("*z*", π *Ti j 0*);
 yy_var = ⟨*yy*⟩;
 y = λk . (*variant k "y"*, π *Ti j* (*k* + 1));
 ys = *map y* [*0* ..< ar *Tij*];
 y_vars = *yy_var* # *map* (λx . ⟨*x*⟩) *ys*;
 x_vars = *insert_nth i* (*E Ti j* · *y_vars*) (*map* (λx . ⟨*x*⟩) *xs*)
 in Λ [(*f* # *yy* # *ys* @ *xs*)] (*f_var* · *x_vars*)] else *constant* (*T* \rightarrow π *T i* (*j* + 1)) · *False*)

lemma *Abss_Nil[simp]*: $\Lambda[\square] b = b$
 ⟨proof⟩

lemma *Abss_Cons[simp]*: $\Lambda[(x\#xs)] b = \Lambda\langle\text{snd } x\rangle$ (*close0_Var x* ($\Lambda[xs]$ *b*))
 ⟨proof⟩

lemma *welltyped_Abss*: *b* :: *U* $\implies T = \text{map snd } xTs \rightarrow\rightarrow U \implies \Lambda[xTs] b$:: *T*
 ⟨proof⟩

lemma *welltyped_Apps*: *list_all2* (:::) *ts Ts* $\implies f$:: *Ts* $\rightarrow\rightarrow U \implies f \cdot ts$:: *U*
 ⟨proof⟩

lemma *welltyped_open_Var_close_Var[intro!]*:
t :: *T* $\implies \text{open0_Var } xT$ (*close0_Var xT t*) :: *T*
 ⟨proof⟩

lemma *welltyped_Var_iff[simp]*: $\langle (x, T) \rangle ::= U \longleftrightarrow T = U$
 ⟨proof⟩

lemma *welltyped_bool_iff[simp]*: $(b :: \text{bool}) ::= T \longleftrightarrow T = \mathcal{B}$
 ⟨proof⟩

lemma *welltyped_constant0_iff[simp]*: $\text{constant0 } T ::= U \longleftrightarrow (U = T)$
 ⟨proof⟩

lemma *welltyped_constant_iff[simp]*: $\text{constant } T ::= U \longleftrightarrow (U = \mathcal{B} \rightarrow T)$
 ⟨proof⟩

lemma *welltyped_Seq_iff[simp]*: $e1 \text{ ? } e2 ::= T \longleftrightarrow (T = \mathcal{B} \wedge e1 ::= \mathcal{B} \wedge e2 ::= \mathcal{B})$
 ⟨proof⟩

lemma *welltyped_Seqs_iff[simp]*: $es \text{ ?? } e ::= T \longleftrightarrow$
 $((es \neq [] \rightarrow T = \mathcal{B}) \wedge (\forall e \in \text{set } es. e ::= \mathcal{B}) \wedge e ::= T)$
 ⟨proof⟩

lemma *welltyped_App_iff[simp]*: $f \cdot t ::= U \longleftrightarrow (\exists T. f ::= T \rightarrow U \wedge t ::= T)$
 ⟨proof⟩

lemma *welltyped_Apps_iff[simp]*: $f \cdot ts ::= U \longleftrightarrow (\exists Ts. f ::= Ts \rightarrow U \wedge \text{list_all2 } (::) ts Ts)$
 ⟨proof⟩

lemma *eq_mk_fun_iff[simp]*: $T = Ts \rightarrow \mathcal{B} \longleftrightarrow Ts = \text{dest_fun } T$
 ⟨proof⟩

lemma *map_nth_eq_drop_take[simp]*: $j \leq \text{length } xs \implies \text{map } (nth \ xs) [i ..< j] = \text{drop } i (\text{take } j \ xs)$
 ⟨proof⟩

lemma *dest_fun_pi_0*: $i < \text{ar } T \implies \text{dest_fun } (\pi \ T \ i \ 0) = \text{nth_drop } i (\text{dest_fun } T)$
 ⟨proof⟩

lemma *welltyped_E*: $E \ T \ i ::= \varepsilon \ T \ i$ **and** *welltyped_P*: $P \ T \ i \ j ::= T \rightarrow \pi \ T \ i \ j$
 ⟨proof⟩

lemma *delta_gt_0[simp]*: $T \neq \mathcal{B} \implies \text{HMSet } \{\#\} < \delta \ T$
 ⟨proof⟩

lemma *mset_nth_drop_less*: $i < \text{length } xs \implies \text{mset } (\text{nth_drop } i \ xs) < \text{mset } xs$
 ⟨proof⟩

lemma *map_nth_drop*: $i < \text{length } xs \implies \text{map } f (\text{nth_drop } i \ xs) = \text{nth_drop } i (\text{map } f \ xs)$
 ⟨proof⟩

lemma *empty_less_mset*: $\{\#\} < \text{mset } xs \longleftrightarrow xs \neq []$
 ⟨proof⟩

lemma *dest_fun_alt*: $\text{dest_fun } T = \text{map } (\lambda i. T \ !- \ i) [0 ..< \text{ar } T]$
 ⟨proof⟩

context notes $\pi.\text{simps}[simp \ del]$ **notes** $\text{One_nat_def}[simp \ del]$ **begin**

lemma δ_π :
assumes $i < \text{ar } T \ j \leq \text{ar } (T \ !- \ i)$
shows $\delta (\pi \ T \ i \ j) < \delta \ T$
 ⟨proof⟩

end

end