

# Formalization of Nested Multisets, Hereditary Multisets, and Syntactic Ordinals

Jasmin Christian Blanchette, Mathias Fleury, and Dmitriy Traytel

December 17, 2016

## Abstract

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna’s nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

## Contents

|          |  |           |
|----------|--|-----------|
| <b>1</b> | <b>Introduction</b>                                      | <b>2</b>  |
| <b>2</b> | <b>More about Multisets</b>                              | <b>2</b>  |
| 2.1      | Basic Setup  | 2         |
| 2.2      | Lemmas about the Multiset Order                          | 3         |
| 2.3      | Lemmas about Intersection, Union and Pointwise Inclusion | 4         |
| 2.4      | Lemmas about Size  | 5         |
| 2.5      | Lemmas about Filter and Image                            | 5         |
| 2.6      | Lemma about Sum  | 6         |
| 2.7      | Lemmas about Remove                                      | 7         |
| 2.8      | Lemmas about Replicate                                   | 8         |
| 2.9      | Multiset and Set Conversions                             | 9         |
| 2.10     | Duplicate Removal  | 10        |
| 2.11     | Repeat Operation   | 12        |
| 2.12     | Cartesian Product  | 12        |
| 2.13     | Transfer Rules   | 16        |
| <b>3</b> | <b>Signed (Finite) Multisets</b>                         | <b>17</b> |
| 3.1      | Definition of Signed Multisets                           | 17        |
| 3.2      | Basic Operations on Signed Multisets                     | 17        |
| 3.2.1    | Conversion to Set and Membership                         | 18        |
| 3.2.2    | Union  | 20        |
| 3.2.3    | Difference   | 20        |
| 3.2.4    | Equality of Signed Multisets                             | 21        |
| 3.3      | Conversions from and to Multisets                        | 21        |
| 3.3.1    | Pointwise Ordering Induced by <i>zcount</i>              | 23        |
| 3.3.2    | Subset is an Order                                       | 24        |
| 3.4      | Replicate and Repeat Operations                          | 24        |
| 3.4.1    | Filter (with Comprehension Syntax)                       | 25        |
| 3.5      | Uncategorized  | 26        |
| 3.6      | Image  | 26        |
| 3.7      | Multiset Order   | 26        |
| <b>4</b> | <b>Nested Multisets</b>                                  | <b>29</b> |
| 4.1      | Type Definition  | 29        |
| 4.2      | Dershowitz and Manna’s Nested Multiset Order             | 29        |

|          |   |           |
|----------|---|-----------|
| <b>5</b> | <b>Hereditar(il)y (Finite) Multisets</b>                    | <b>35</b> |
| 5.1      | Type Definition   | 35        |
| 5.2      | Restriction of Dershowitz and Manna’s Nested Multiset Order | 36        |
| 5.3      | Disjoint Union and Truncated Difference                     | 37        |
| 5.4      | Infimum and Supremum  | 39        |
| <b>6</b> | <b>Signed Hereditar(il)y (Finite) Multisets</b>             | <b>39</b> |
| 6.1      | Type Definition   | 39        |
| 6.2      | Multiset Order  | 39        |
| 6.3      | Embedding and Projections of Syntactic Ordinals             | 40        |
| 6.4      | Disjoint Union and Difference                               | 40        |
| 6.5      | Infimum and Supremum  | 41        |
| <b>7</b> | <b>Syntactic Ordinals in Cantor Normal Form</b>             | <b>42</b> |
| 7.1      | Natural (Hessenberg) Product                                | 42        |
| 7.2      | Inequalities  | 43        |
| 7.3      | Omega   | 47        |
| 7.4      | Embedding of Natural Numbers                                | 47        |
| 7.5      | Embedding of Extended Natural Numbers                       | 47        |
| 7.6      | Head Omega  | 48        |
| 7.7      | More Inequalities and Some Equalities                       | 49        |
| 7.8      | Conversions to Natural Numbers                              | 54        |
| 7.9      | An Example  | 55        |
| <b>8</b> | <b>Signed Syntactic Ordinals in Cantor Normal Form</b>      | <b>56</b> |
| 8.1      | Natural (Hessenberg) Product                                | 56        |
| 8.2      | Omega   | 57        |
| 8.3      | Embedding of Natural Numbers                                | 57        |
| 8.4      | Embedding of Extended Natural Numbers                       | 58        |
| 8.5      | Inequalities and Some (Dis)equalities                       | 58        |
| 8.6      | An Example  | 61        |

## 1 Introduction

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna’s nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

In addition, signed (or hybrid) multisets are provided (i.e., multisets with potentially negative multiplicities), as well as signed hereditary multisets and signed ordinals (e.g.,  $\omega^2 - 2\omega + 1$ ).

## 2 More about Multisets

```
theory Multiset_More
imports ~~/src/HOL/Library/Multiset_Order
begin
```

Isabelle’s theory of finite multisets is not as developed as other areas, such as lists and sets. The present theory introduces some missing concepts and lemmas. Some of it is expected to move to Isabelle’s library.

### 2.1 Basic Setup

```
declare
  diff_single_trivial [simp]
  in_image_mset [iff]
  image_mset_compositionality [simp]
```

*mset\_subset\_eqD*[*dest*, *intro?*]

*Multiset.in\_multiset\_in\_set*[*simp*]

*inter\_add\_left1*[*simp*]

*inter\_add\_left2*[*simp*]

*inter\_add\_right1*[*simp*]

*inter\_add\_right2*[*simp*]

*sum\_mset\_sum\_list*[*simp*]

**lemma** *add\_mset\_in\_multiset'*:

**assumes** *M*:  $M \in \text{multiset}$

**shows**  $(\lambda b. \text{if } b = a \text{ then } \text{Suc } (M a) \text{ else } M b) \in \text{multiset}$

**proof** –

**have** *if\_eq*:  $(\lambda b. \text{if } b = a \text{ then } \text{Suc } (M a) \text{ else } M b) = (\lambda b. \text{if } b = a \text{ then } \text{Suc } (M b) \text{ else } M b)$

**by** *force*

**show** *?thesis*

**by** (*auto simp: if\_eq intro!: add\_mset\_in\_multiset[OF M, of a]*)

**qed**

## 2.2 Lemmas about the Multiset Order

**instantiation** *multiset* :: (*linorder*) *distrib\_lattice*

**begin**

**definition** *inf\_multiset* :: '*a multiset*  $\Rightarrow$  '*a multiset*  $\Rightarrow$  '*a multiset* **where**

*inf\_multiset* *A B* = (*if*  $A < B$  *then* *A* *else* *B*)

**definition** *sup\_multiset* :: '*a multiset*  $\Rightarrow$  '*a multiset*  $\Rightarrow$  '*a multiset* **where**

*sup\_multiset* *A B* = (*if*  $B > A$  *then* *B* *else* *A*)

**instance**

**by** *intro\_classes* (*auto simp: inf\_multiset\_def sup\_multiset\_def*)

**end**

**lemma** *all\_lt\_Max\_imp\_lt\_multiset*:  $N \neq \{\#\} \Longrightarrow (\forall a \in \# M. a < \text{Max } (\text{set\_mset } N)) \Longrightarrow M < N$

**by** (*meson Max\_in[OF finite\_set\_mset] ex\_gt\_imp\_less\_multiset set\_mset\_eq\_empty\_iff*)

**lemma** *lt\_imp\_ex\_count\_lt*:  $M < N \Longrightarrow \exists y. \text{count } M y < \text{count } N y$

**by** (*meson less\_eq\_multiset\_HO less\_le\_not\_le*)

**lemma** *subset\_imp\_less\_multiset*:

**fixes** *A*

**assumes** *a\_sub\_b*:  $A \subseteq \# B$

**shows**  $A < B$

**proof** –

**have** *a\_subeq\_b*:  $A \subseteq \# B$

**using** *a\_sub\_b* **by** (*rule subset\_mset.less\_imp\_le*)

**hence**  $A \leq B$

**by** (*rule subset\_eq\_imp\_le\_multiset*)

**moreover** **have**  $A \neq B$

**using** *a\_sub\_b* **by** *simp*

**ultimately show**  $A < B$

**by** (*rule order\_neq\_le\_trans[rotated 1]*)

**qed**

**lemma** *image\_mset\_mono*:

**assumes**

*mono\_f*:  $\forall x \in \text{set\_mset } M. \forall y \in \text{set\_mset } N. x < y \longrightarrow f x < f y$  **and**

*less*:  $M < N$

**shows**  $\text{image\_mset } f M < \text{image\_mset } f N$

**proof** –

**obtain**  $Y X$  **where**  
 $y\_nemp: Y \neq \{\#\}$  **and**  $y\_sub\_N: Y \subseteq\# N$  **and**  $M\_eq: M = N - Y + X$  **and**  
 $ex\_y: \forall x. x \in\# X \longrightarrow (\exists y. y \in\# Y \wedge x < y)$   
**using**  $less[unfolding\ less\_multiset_{DM}]$  **by**  $blast$

**have**  $x\_sub\_M: X \subseteq\# M$   
**using**  $M\_eq$  **by**  $simp$

**let**  $?fY = image\_mset\ f\ Y$   
**let**  $?fX = image\_mset\ f\ X$

**show**  $?thesis$

**unfolding**  $less\_multiset_{DM}$

**proof** ( $intro\ exI\ conjI$ )

**show**  $image\_mset\ f\ M = image\_mset\ f\ N - ?fY + ?fX$   
**using**  $M\_eq[THEN\ arg\_cong, of\ image\_mset\ f]$   $y\_sub\_N$   
**by** ( $metis\ image\_mset\_Diff\ image\_mset\_union$ )

**next**

**obtain**  $y$  **where**  $y: \forall x. x \in\# X \longrightarrow y\ x \in\# Y \wedge x < y\ x$   
**using**  $ex\_y$  **by**  $moura$

**show**  $\forall fx. fx \in\# ?fX \longrightarrow (\exists fy. fy \in\# ?fY \wedge fx < fy)$

**proof** ( $intro\ allI\ impI$ )

**fix**  $fx$

**assume**  $fx \in\# ?fX$

**then obtain**  $x$  **where**  $fx: fx = f\ x$  **and**  $x\_in: x \in\# X$

**by**  $auto$

**hence**  $y\_in: y\ x \in\# Y$  **and**  $y\_gt: x < y\ x$

**using**  $y[rule\_format, OF\ x\_in]$  **by**  $blast+$

**hence**  $f\ (y\ x) \in\# ?fY \wedge f\ x < f\ (y\ x)$

**using**  $mono\_f\ y\_sub\_N\ x\_sub\_M\ x\_in$

**by** ( $metis\ image\_eqI\ in\_image\_mset\ mset\_subset\_eqD$ )

**thus**  $\exists fy. fy \in\# ?fY \wedge fx < fy$

**unfolding**  $fx$  **by**  $auto$

**qed**

**qed** ( $auto\ simp: y\_nemp\ y\_sub\_N\ image\_mset\_subsequeq\_mono$ )

**qed**

## 2.3 Lemmas about Intersection, Union and Pointwise Inclusion

**lemma**  $mset\_inter\_single: x \in\# \Sigma \implies \Sigma \cap\# \{\#x\} = \{\#x\}$   
**by**  $simp$

**lemma**  $subset\_add\_mset\_notin\_subset\_mset: \langle A \subseteq\# add\_mset\ b\ B \implies b \notin\# A \implies A \subseteq\# B \rangle$   
**by** ( $simp\ add: subset\_mset.sup.absorb\_iff2$ )

$psubsetE$  is the set counterpart

**lemma**  $subset\_msetE$  [ $elim!$ ]:

$\llbracket A \subset\# B; \llbracket A \subseteq\# B; \sim (B \subseteq\# A) \rrbracket \implies R \rrbracket \implies R$

**unfolding**  $subsequeq\_mset\_def\ subset\_mset\_def$  **by** ( $meson\ mset\_subset\_eqI\ subset\_mset.eq\_iff$ )

**lemma**  $Diff\_triv\_mset: M \cap\# N = \{\#\} \implies M - N = M$   
**by** ( $metis\ diff\_intersect\_left\_idem\ diff\_zero$ )

**lemma**  $diff\_intersect\_sym\_diff: (A - B) \cap\# (B - A) = \{\#\}$   
**unfolding**  $multiset\_inter\_def$

**proof** -

**have**  $A - (B - (B - A)) = A - B$

**by** ( $metis\ (full\_types)\ diff\_intersect\_right\_idem\ multiset\_inter\_def$ )

**then show**  $A - B - (A - B - (B - A)) = \{\#\}$

**by** ( $metis\ add\_diff\_cancel\_right'\ cancel\_ab\_semigroup\_add\_class.diff\_right\_commute$

$cancel\_comm\_monoid\_add\_class.diff\_cancel\ diff\_subset\_eq\_self\ diff\_zero\ subset\_mset.diff\_add$

$subset\_mset.diff\_diff\_right$ )

**qed**

## 2.4 Lemmas about Size

This sections adds various lemmas about size. Most lemmas have a finite set equivalent.

**lemma** *size\_mset\_SucE*:  $size\ A = Suc\ n \implies (\bigwedge a\ B. A = \{\#a\# \} + B \implies size\ B = n \implies P) \implies P$   
**by** (*cases A*) (*auto simp add: ac\_simps*)

**lemma** *size\_Suc\_Diff1*:  $x \in \# \Sigma \implies Suc\ (size\ (\Sigma - \{\#x\# \})) = size\ \Sigma$   
**using** *arg\_cong[OF insert\_DiffM, of \_ \_ size]* **by** *simp*

**lemma** *size\_Diff\_singleton*:  $x \in \# \Sigma \implies size\ (\Sigma - \{\#x\# \}) = size\ \Sigma - 1$   
**by** (*simp add: size\_Suc\_Diff1 [symmetric]*)

**lemma** *size\_Diff\_singleton\_if*:  $size\ (A - \{\#x\# \}) = (if\ x \in \# A\ then\ size\ A - 1\ else\ size\ A)$   
**by** (*simp add: size\_Diff\_singleton*)

**lemma** *size\_Un\_Int*:  $size\ A + size\ B = size\ (A \cup \# B) + size\ (A \cap \# B)$

**proof** –

**have** \*:  $A + B = B + (A - B + (A - (A - B)))$

**by** (*simp add: subset\_mset.add\_diff\_inverse union\_commute*)

**have**  $size\ B + size\ (A - B) = size\ A + size\ (B - A)$

**unfolding** *size\_union[symmetric] sup\_subset\_mset\_def[symmetric]*

**by** (*subst subset\_mset.sup\_commute*) (*rule refl*)

**then show** *?thesis* **unfolding** *multiset\_inter\_def size\_union[symmetric]* \*

**by** (*simp add: sup\_subset\_mset\_def del: subset\_mset.add\_diff\_assoc*)

**qed**

**lemma** *size\_Un\_disjoint*:

**assumes**  $A \cap \# B = \{\#\}$

**shows**  $size\ (A \cup \# B) = size\ A + size\ B$

**using** *assms size\_Un\_Int [of A B]* **by** *simp*

**lemma** *size\_Diff\_subset\_Int*:

**shows**  $size\ (\Sigma - \Sigma') = size\ \Sigma - size\ (\Sigma \cap \# \Sigma')$

**proof** –

**have** \*:  $\Sigma - \Sigma' = \Sigma - \Sigma \cap \# \Sigma'$  **by** (*auto simp add: multiset\_eq\_iff*)

**show** *?thesis* **unfolding** \* **using** *size\_Diff\_subset subset\_mset.inf.cobounded1* **by** *blast*

**qed**

**lemma** *diff\_size\_le\_size\_Diff*:  $size\ (\Sigma :: \_ multiset) - size\ \Sigma' \leq size\ (\Sigma - \Sigma')$

**proof**–

**have**  $size\ \Sigma - size\ \Sigma' \leq size\ \Sigma - size\ (\Sigma \cap \# \Sigma')$

**using** *size\_mset\_mono diff\_le\_mono2 subset\_mset.inf\_le2* **by** *metis*

**also have**  $\dots = size\ (\Sigma - \Sigma')$  **by** (*simp add: size\_Diff\_subset\_Int*)

**finally show** *?thesis* .

**qed**

**lemma** *size\_Diff1\_less*:  $x \in \# \Sigma \implies size\ (\Sigma - \{\#x\# \}) < size\ \Sigma$

**by** (*rule Suc\_less\_SucD*) (*simp add: size\_Suc\_Diff1*)

**lemma** *size\_Diff2\_less*:  $x \in \# \Sigma \implies y \in \# \Sigma \implies size\ (\Sigma - \{\#x\# \} - \{\#y\# \}) < size\ \Sigma$

**by** (*metis less\_imp\_diff\_less size\_Diff1\_less size\_Diff\_subset\_Int*)

**lemma** *size\_Diff1\_le*:  $size\ (\Sigma - \{\#x\# \}) \leq size\ \Sigma$

**by** (*cases x \in \# \Sigma*) (*simp\_all add: size\_Diff1\_less less\_imp\_le*)

**lemma** *size\_psubset*:  $\Sigma \subset \# \Sigma' \implies size\ \Sigma < size\ \Sigma' \implies \Sigma \subset \# \Sigma'$

**using** *less\_irrefl subset\_mset\_def* **by** *blast*

## 2.5 Lemmas about Filter and Image

**lemma** *count\_image\_mset\_ge\_count*:

*count (image\_mset f A) (f b) \geq count A b*

**by** (*induction A*) *auto*

**lemma** *count\_image\_mset\_inj*:  
**assumes**  $\langle inj\ f \rangle$   
**shows**  $\langle count\ (image\_mset\ f\ M)\ (f\ x) = count\ M\ x \rangle$   
**by** (*induction*  $M$ ) (*use* *assms* **in**  $\langle auto\ simp: inj\_on\_def \rangle$ )

**lemma** *mset\_filter\_compl*:  $mset\ (filter\ p\ xs) + mset\ (filter\ (Not\ \circ\ p)\ xs) = mset\ xs$   
**by** (*induction*  $xs$ ) (*auto* *simp*: *mset\_filter\_ac\_simps*)

**lemma** *comprehension\_mset\_False*[*simp*]:  $\{\# L \in\# A.\ False\#\} = \{\#\}$   
**by** (*auto* *simp*: *multiset\_eq\_iff*)

Near duplicate of *filter\_eq\_replicate\_mset*:  $\{\#y \in\# ?D.\ y = ?x\#\} = replicate\_mset\ (count\ ?D\ ?x)\ ?x$ .

**lemma** *filter\_mset\_eq*:  $filter\_mset\ (op = L)\ A = replicate\_mset\ (count\ A\ L)\ L$   
**by** (*auto* *simp*: *multiset\_eq\_iff*)

See *filter\_cong* for the set version. Mark as [*fundef\_cong*] too?

**lemma** *filter\_mset\_cong*:  
**assumes** [*simp*]:  $M = M'$  **and** [*simp*]:  $\bigwedge a.\ a \in\# M \implies P\ a = Q\ a$   
**shows**  $filter\_mset\ P\ M = filter\_mset\ Q\ M$

**proof** –

**have**  $M - filter\_mset\ Q\ M = filter\_mset\ (\lambda a.\ \neg Q\ a)\ M$   
**by** (*subst* *multiset\_partition[of \_ Q]*) *simp*  
**then show** *?thesis*  
**by** (*auto* *simp*: *filter\_mset\_eq\_conv*)

**qed**

**lemma** *filter\_mset\_filter\_mset*:  $filter\_mset\ Q\ (filter\_mset\ P\ M) = \{\#x \in\# M.\ P\ x \wedge Q\ x\#\}$   
**by** (*auto* *simp*: *multiset\_eq\_iff*)

**lemma** *image\_mset\_const*:  $\{\#c.\ x \in\# M\#\} = replicate\_mset\ (size\ M)\ c$   
**by** (*induction*  $M$ ) *auto*

**lemma** *image\_mset\_filter\_swap*:  $image\_mset\ f\ \{\#x \in\# M.\ P\ (f\ x)\#\} = \{\#x \in\# image\_mset\ f\ M.\ P\ x\#\}$   
**by** (*induction*  $M$ ) *auto*

**lemma** *image\_mset\_cong2*[*cong*]:  
 $(\bigwedge x.\ x \in\# M \implies f\ x = g\ x) \implies M = N \implies image\_mset\ f\ M = image\_mset\ g\ N$   
**by** (*hypsubst*, *rule* *image\_mset\_cong*)

**lemma** *filter\_mset\_empty\_conv*:  $\langle filter\_mset\ P\ M = \{\#\} \rangle = \langle \forall L \in\# M.\ \neg P\ L \rangle$   
**by** (*induction*  $M$ ) *auto*

**lemma** *multiset\_filter\_mono2*:  $\langle filter\_mset\ P\ A \subseteq\# filter\_mset\ Q\ A \longleftrightarrow (\forall a \in\# A.\ P\ a \longrightarrow Q\ a) \rangle$   
**by** (*induction*  $A$ ) (*auto* *intro*: *subset\_mset.order.trans*)

**lemma** *image\_filter\_cong*:  
**assumes**  $\langle \bigwedge C.\ C \in\# M \implies P\ C \implies f\ C = g\ C \rangle$   
**shows**  
 $\langle \{\#f\ C.\ C \in\# \{\#C \in\# M.\ P\ C\#\}\#\} = \{\#g\ C \mid C \in\# M.\ P\ C\#\} \rangle$   
**using** *assms* **by** (*induction*  $M$ ) *auto*

**lemma** *image\_mset\_filter\_swap2*:  $\langle \{\#C \in\# \{\#P\ x.\ x \in\# D\#\}.\ Q\ C\#\} = \{\#P\ x.\ x \in\# \{\#C \mid C \in\# D.\ Q\ (P\ C)\#\}\#\} \rangle$   
**by** (*simp* *add*: *image\_mset\_filter\_swap*)

## 2.6 Lemma about Sum

**lemma** *count\_sum\_mset\_if\_1\_0*:  $\langle count\ M\ a = (\sum x \in\# M.\ if\ x = a\ then\ 1\ else\ 0) \rangle$   
**by** (*induction*  $M$ ) *auto*

## 2.7 Lemmas about Remove

**lemma** *set\_mset\_minus\_replicate\_mset*[simp]:

$n \geq \text{count } A \ a \implies \text{set\_mset } (A - \text{replicate\_mset } n \ a) = \text{set\_mset } A - \{a\}$   
 $n < \text{count } A \ a \implies \text{set\_mset } (A - \text{replicate\_mset } n \ a) = \text{set\_mset } A$   
**unfolding** *set\_mset\_def* **by** (*auto split: if\_split simp: not\_in\_iff*)

**abbreviation** *removeAll\_mset* :: 'a  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset **where**  
*removeAll\_mset* C M  $\equiv$  M - replicate\_mset (count M C) C

**lemma** *mset\_removeAll*[simp, code]:

*removeAll\_mset* C (mset L) = mset (removeAll C L)  
**by** (*induction L*) (*auto simp: ac\_simps multiset\_eq\_iff split: if\_split\_asm*)

**lemma** *removeAll\_mset\_filter\_mset*:

*removeAll\_mset* C M = filter\_mset (op  $\neq$  C) M  
**by** (*induction M*) (*auto simp: ac\_simps multiset\_eq\_iff*)

**abbreviation** *remove1\_mset* :: 'a  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset **where**  
*remove1\_mset* C M  $\equiv$  M - {#C#}

**lemma** *in\_remove1\_mset\_neq*:

**assumes** *ab*: a  $\neq$  b  
**shows** a  $\in\#$  remove1\_mset b C  $\longleftrightarrow$  a  $\in\#$  C

**proof** -

**have** count {#b#} a = 0  
**using** *ab* **by** *simp*  
**then show** ?thesis  
**by** (*metis (no\_types) count\_diff diff\_zero mem\_Collect\_eq set\_mset\_def*)

**qed**

**lemma** *size\_mset\_removeAll\_mset\_le\_iff*: size (removeAll\_mset x M) < size M  $\longleftrightarrow$  x  $\in\#$  M

**apply** (*rule iffI*)  
**apply** (*force intro: count\_inI*)  
**apply** (*rule mset\_subset\_size*)  
**apply** (*auto simp: subset\_mset\_def multiset\_eq\_iff*)  
**done**

**lemma** *size\_remove1\_mset>If*: (size (remove1\_mset x M) = size M - (if x  $\in\#$  M then 1 else 0))

**by** (*auto simp: size\_Diff\_subset\_Int mset\_inter\_single*)

**lemma** *size\_mset\_remove1\_mset\_le\_iff*: size (remove1\_mset x M) < size M  $\longleftrightarrow$  x  $\in\#$  M

**apply** (*rule iffI*)  
**using** *less\_irrefl* **apply** *fastforce*  
**apply** (*rule mset\_subset\_size*)  
**by** (*auto elim: in\_countE simp: subset\_mset\_def multiset\_eq\_iff*)

**lemma** *single\_remove1\_mset\_eq*:

*add\_mset* a (remove1\_mset a M) = M  $\longleftrightarrow$  a  $\in\#$  M  
**by** (*cases count M a*) (*auto simp: multiset\_eq\_iff count\_eq\_zero\_iff count\_inI*)

**lemma** *remove\_1\_mset\_id\_iff\_notin*:

*remove1\_mset* a M = M  $\longleftrightarrow$  a  $\notin\#$  M  
**by** (*meson diff\_single\_trivial multi\_drop\_mem\_not\_eq*)

**lemma** *id\_remove\_1\_mset\_iff\_notin*:

M = remove1\_mset a M  $\longleftrightarrow$  a  $\notin\#$  M  
**using** *remove\_1\_mset\_id\_iff\_notin* **by** *metis*

**lemma** *remove1\_mset\_eqE*:

*remove1\_mset* L x1 = M  $\implies$   
(L  $\in\#$  x1  $\implies$  x1 = M + {#L#}  $\implies$  P)  $\implies$   
(L  $\notin\#$  x1  $\implies$  x1 = M  $\implies$  P)  $\implies$   
P

by (cases  $L \in \# x1$ ) auto

**lemma** *image\_filter\_ne\_mset*[simp]:  
 $image\_mset\ f\ \{\#x \in \# M.\ f\ x \neq y\#\} = removeAll\_mset\ y\ (image\_mset\ f\ M)$   
 by (induction  $M$ ) simp\_all

**lemma** *image\_mset\_remove1\_mset\_if*:  
 $image\_mset\ f\ (remove1\_mset\ a\ M) =$   
 (if  $a \in \# M$  then  $remove1\_mset\ (f\ a)\ (image\_mset\ f\ M)$  else  $image\_mset\ f\ M$ )  
 by (auto simp: *image\_mset\_Diff*)

**lemma** *filter\_mset\_neq*:  $\{\#x \in \# M.\ x \neq y\#\} = removeAll\_mset\ y\ M$   
 by (metis *add\_diff\_cancel\_left' filter\_eq\_replicate\_mset multiset\_partition*)

**lemma** *filter\_mset\_neq\_cond*:  $\{\#x \in \# M.\ P\ x \wedge x \neq y\#\} = removeAll\_mset\ y\ \{\#x \in \# M.\ P\ x\#\}$   
 by (metis *add\_diff\_cancel\_left' filter\_eq\_replicate\_mset filter\_mset\_filter\_mset multiset\_partition*)

**lemma** *remove1\_mset\_add\_mset>If*:  
 $remove1\_mset\ L\ (add\_mset\ L'\ C) = (if\ L = L'$  then  $C$  else  $remove1\_mset\ L\ C + \{\#L'\#\})$   
 by (auto simp: *multiset\_eq\_iff*)

**lemma** *minus\_remove1\_mset\_if*:  
 $A - remove1\_mset\ b\ B = (if\ b \in \# B \wedge b \in \# A \wedge count\ A\ b \geq count\ B\ b$  then  $\{\#b\#\} + (A - B)$  else  $A - B$ )  
 by (auto simp: *multiset\_eq\_iff count\_greater\_zero\_iff*[symmetric]  
*simp del: count\_greater\_zero\_iff*)

**lemma** *add\_mset\_eq\_add\_mset\_ne*:  
 $a \neq b \implies add\_mset\ a\ A = add\_mset\ b\ B \iff a \in \# B \wedge b \in \# A \wedge A = add\_mset\ b\ (B - \{\#a\#\})$   
 by (metis (*no\_types, lifting*) *diff\_single\_eq\_union diff\_union\_swap multi\_self\_add\_other\_not\_self remove\_1\_mset\_id\_iff notin union\_single\_eq\_diff*)

This lemma allows to split equality like  $\{\#K, K'\#\} = \{\#L, L'\#\}$  into  $K = L \wedge L = L' \vee K \neq L \wedge K = L' \wedge K' = L$ . It can lead to a explosion of the numbers of cases.

**lemma** *add\_mset\_eq\_add\_mset*:  $\langle add\_mset\ a\ M = add\_mset\ b\ M' \iff$   
 $(a = b \wedge M = M') \vee (a \neq b \wedge b \in \# M \wedge add\_mset\ a\ (M - \{\#b\#\}) = M' \rangle$   
 by (metis *add\_mset\_eq\_add\_mset\_ne add\_mset\_remove\_trivial union\_single\_eq\_member*)

**lemma** *add\_mset\_remove\_trivial\_iff*:  $\langle N = add\_mset\ a\ (N - \{\#b\#\}) \iff a \in \# N \wedge a = b \rangle$   
 by (metis *add\_left\_cancel add\_mset\_remove\_trivial insert\_DiffM2 single\_eq\_single size\_mset\_remove1\_mset\_le\_iff union\_single\_eq\_member*)

**lemma** *trivial\_add\_mset\_remove\_iff*:  $\langle add\_mset\ a\ (N - \{\#b\#\}) = N \iff a \in \# N \wedge a = b \rangle$   
 by (*subst eq\_commute*) (*fact add\_mset\_remove\_trivial\_iff*)

**lemma** *remove1\_single\_empty\_iff*[simp]:  $\langle remove1\_mset\ L\ \{\#L'\#\} = \{\#\} \iff L = L' \rangle$   
 using *add\_mset\_remove\_trivial\_iff* by fastforce

## 2.8 Lemmas about Replicate

**lemma** *replicate\_mset\_minus\_replicate\_mset\_same*[simp]:  
 $replicate\_mset\ m\ x - replicate\_mset\ n\ x = replicate\_mset\ (m - n)\ x$

**proof** (*induct m arbitrary: n, simp\_all*)

fix  $ma :: nat$  and  $na :: nat$

assume  $a1: \bigwedge n.\ replicate\_mset\ ma\ x - replicate\_mset\ n\ x = replicate\_mset\ (ma - n)\ x$

have  $f2: \bigwedge n\ a.\ 0 < n \implies add\_mset\ a\ (replicate\_mset\ (n - Suc\ 0)\ a) = replicate\_mset\ n\ a$

by (metis (*full\_types*) *Suc\_pred replicate\_mset\_Suc*)

have  $replicate\_mset\ (Suc\ ma)\ x = add\_mset\ x\ (replicate\_mset\ ma\ x)$

by auto

then show  $add\_mset\ x\ (replicate\_mset\ ma\ x) - replicate\_mset\ na\ x = replicate\_mset\ (Suc\ ma - na)\ x$

using  $f2\ a1$  by (metis (*no\_types*) *Suc\_pred add\_mset\_diff\_bothsides*

*cancel\_comm\_monoid\_add\_class.diff\_cancel diff\_Suc\_Suc diff\_zero neq0\_conv*)



qed

**lemma** *replicate\_mset\_subset\_iff\_lt[simp]*:  $\text{replicate\_mset } m \ x \subseteq\# \text{ replicate\_mset } n \ x \longleftrightarrow m < n$   
by (induct n m rule: diff\_induct) (auto intro: subset\_mset.gr\_zeroI)

**lemma** *replicate\_mset\_subseteq\_iff\_le[simp]*:  $\text{replicate\_mset } m \ x \subseteq\# \text{ replicate\_mset } n \ x \longleftrightarrow m \leq n$   
by (induct n m rule: diff\_induct) auto

**lemma** *replicate\_mset\_lt\_iff\_lt[simp]*:  $\text{replicate\_mset } m \ x < \text{ replicate\_mset } n \ x \longleftrightarrow m < n$   
by (induct n m rule: diff\_induct) (auto intro: subset\_mset.gr\_zeroI gr\_zeroI)

**lemma** *replicate\_mset\_le\_iff\_le[simp]*:  $\text{replicate\_mset } m \ x \leq \text{ replicate\_mset } n \ x \longleftrightarrow m \leq n$   
by (induct n m rule: diff\_induct) auto

**lemma** *replicate\_mset\_eq\_iff[simp]*:  
 $\text{replicate\_mset } m \ x = \text{ replicate\_mset } n \ y \longleftrightarrow m = n \wedge (m \neq 0 \longrightarrow x = y)$   
by (cases m; cases n; simp)  
(metis in\_replicate\_mset insert\_noteq\_member size\_replicate\_mset union\_single\_eq\_diff)

**lemma** *replicate\_mset\_plus*:  $\text{replicate\_mset } (a + b) \ C = \text{ replicate\_mset } a \ C + \text{ replicate\_mset } b \ C$   
by (induct a) (auto simp: ac\_simps)

**lemma** *mset\_replicate\_replicate\_mset*:  $\text{mset } (\text{replicate } n \ L) = \text{ replicate\_mset } n \ L$   
by (induction n) auto

**lemma** *set\_mset\_single\_iff\_replicate\_mset*:  
 $\text{set\_mset } U = \{a\} \longleftrightarrow (\exists n > 0. U = \text{ replicate\_mset } n \ a)$  (is ?S  $\longleftrightarrow$  ?R)

**proof**

assume ?S

show ?R

**proof** (rule ccontr)

assume  $\neg ?R$

have  $\forall n. U \neq \text{ replicate\_mset } n \ a$

using  $\langle ?S \rangle (\neg ?R)$  by (metis gr\_zeroI insert\_not\_empty set\_mset\_replicate\_mset\_subset)

then obtain b where  $b \in\# U$  and  $b \neq a$

by (metis count\_replicate\_mset mem\_Collect\_eq multiset\_eqI neq0\_conv set\_mset\_def)

then show False

using  $\langle ?S \rangle$  by auto

qed

qed auto

**lemma** *ex\_replicate\_mset\_if\_all\_elems\_eq*:

assumes  $\forall x \in\# M. x = y$

shows  $\exists n. M = \text{ replicate\_mset } n \ y$

using assms by (metis count\_replicate\_mset mem\_Collect\_eq multiset\_eqI neq0\_conv set\_mset\_def)

## 2.9 Multiset and Set Conversions

**lemma** *count\_mset\_set\_if*:  $\text{count } (\text{mset\_set } A) \ a = (\text{if } a \in A \wedge \text{finite } A \text{ then } 1 \text{ else } 0)$   
by auto

**lemma** *mset\_set\_set\_mset\_empty\_mempty[iff]*:  $\text{mset\_set } (\text{set\_mset } D) = \{\#\} \longleftrightarrow D = \{\#\}$   
by (auto dest: arg\_cong[of \_ \_ set\_mset])

**lemma** *count\_mset\_set\_le\_one*:  $\text{count } (\text{mset\_set } A) \ x \leq 1$   
by (simp add: count\_mset\_set\_if)

**lemma** *mset\_set\_subseteq\_mset\_set[iff]*:  
assumes finite A finite B  
shows  $\text{mset\_set } A \subseteq\# \text{ mset\_set } B \longleftrightarrow A \subseteq B$   
by (metis assms contra\_subsetD count\_mset\_set(1,3) count\_mset\_set\_le\_one finite\_set\_mset\_set\_less\_eq\_nat.simps(1) mset\_subset\_eqI set\_mset\_mono)

**lemma** *mset\_set\_set\_mset\_subseteq[simp]*:  $\text{mset\_set } (\text{set\_mset } A) \subseteq\# A$

by (simp add: subseteq\_mset\_def count\_mset\_set\_if)

**lemma** *mset\_sorted\_list\_of\_set*[simp]:  $mset (sorted\_list\_of\_set A) = mset\_set A$   
 by (metis *mset\_sorted\_list\_of\_multiset sorted\_list\_of\_mset\_set*)

**lemma** *mset\_take\_subseteq*:  $mset (take n xs) \subseteq\# mset xs$   
 apply (induct xs arbitrary: n)  
 apply simp  
 by (case\_tac n) simp\_all

## 2.10 Duplicate Removal

**definition** *remdups\_mset* :: 'v multiset  $\Rightarrow$  'v multiset **where**  
*remdups\_mset* S = *mset\_set (set\_mset S)*

**lemma** *set\_mset\_remdups\_mset*[simp]:  $\langle set\_mset (remdups\_mset A) = set\_mset A \rangle$   
 unfolding *remdups\_mset\_def* by auto

**lemma** *count\_remdups\_mset\_eq\_1*:  $a \in\# remdups\_mset A \iff count (remdups\_mset A) a = 1$   
 unfolding *remdups\_mset\_def* by (auto simp: *count\_eq\_zero\_iff intro: count\_inI*)

**lemma** *remdups\_mset\_empty*[simp]:  $remdups\_mset \{\#\} = \{\#\}$   
 unfolding *remdups\_mset\_def* by auto

**lemma** *remdups\_mset\_singleton*[simp]:  $remdups\_mset \{\#a\# \} = \{\#a\# \}$   
 unfolding *remdups\_mset\_def* by auto

**lemma** *remdups\_mset\_eq\_empty*[iff]:  $remdups\_mset D = \{\#\} \iff D = \{\#\}$   
 unfolding *remdups\_mset\_def* by blast

**lemma** *remdups\_mset\_singleton\_sum*[simp]:  
*remdups\_mset (add\_mset a A) = (if a  $\in\#$  A then *remdups\_mset A* else *add\_mset a (remdups\_mset A)*)*  
 unfolding *remdups\_mset\_def* by (simp\_all add: *insert\_absorb*)

**lemma** *mset\_remdups\_remdups\_mset*[simp]:  $mset (remdups D) = remdups\_mset (mset D)$   
 by (induction D) (auto simp add: *ac\_simps*)

**declare** *mset\_remdups\_remdups\_mset*[*symmetric, code*]

**definition** *distinct\_mset* :: 'a multiset  $\Rightarrow$  bool **where**  
*distinct\_mset* S  $\iff (\forall a. a \in\# S \longrightarrow count S a = 1)$

Another characterisation of *distinct\_mset*:

**lemma** *distinct\_mset\_count\_less\_1*:  $distinct\_mset S \iff (\forall a. count S a \leq 1)$   
 using *eq\_iff\_nat\_le\_linear* unfolding *distinct\_mset\_def* by fastforce

**lemma** *distinct\_mset\_empty*[simp]:  $distinct\_mset \{\#\}$   
 unfolding *distinct\_mset\_def* by auto

**lemma** *distinct\_mset\_singleton*:  $distinct\_mset \{\#a\# \}$   
 unfolding *distinct\_mset\_def* by auto

**lemma** *distinct\_mset\_union*:  
 assumes *dist*:  $distinct\_mset (A + B)$   
 shows  $distinct\_mset A$   
 unfolding *distinct\_mset\_count\_less\_1*  
**proof** (rule *allI*)  
 fix a  
 have  $\langle count A a \leq count (A + B) a \rangle$  by auto  
 moreover have  $\langle count (A + B) a \leq 1 \rangle$   
 using *dist* unfolding *distinct\_mset\_count\_less\_1* by auto  
 ultimately show  $\langle count A a \leq 1 \rangle$   
 by simp

qed

**lemma** *distinct\_mset\_minus*[simp]:  
*distinct\_mset*  $A \implies \text{distinct\_mset } (A - B)$   
**by** (*metis* *diff\_subset\_eq\_self* *mset\_subset\_eq\_exists\_conv* *distinct\_mset\_union*)

**lemma** *count\_remdups\_mset>If*:  $\langle \text{count } (\text{remdups\_mset } A) \ a = (\text{if } a \in\# A \text{ then } 1 \text{ else } 0) \rangle$   
**unfolding** *remdups\_mset\_def* **by** *auto*

**lemma** *distinct\_mset\_remdups\_union\_mset*:  
**assumes** *distinct\_mset*  $A$  **and** *distinct\_mset*  $B$   
**shows**  $A \cup\# B = \text{remdups\_mset } (A + B)$   
**using** *assms* *nat\_le\_linear* **unfolding** *remdups\_mset\_def*  
**by** (*force* *simp* *add: multiset\_eq\_iff\_max\_def* *count\_mset\_set\_if* *distinct\_mset\_def* *not\_in\_iff*)

**lemma** *distinct\_mset\_add\_mset*[simp]:  $\text{distinct\_mset } (\text{add\_mset } a \ L) \longleftrightarrow a \notin\# L \wedge \text{distinct\_mset } L$   
**unfolding** *distinct\_mset\_def*  
**apply** (*rule* *iffI*)  
**apply** (*auto* *split: if\_split\_asm*; *fail*)[]  
**by** (*auto* *simp: not\_in\_iff*; *fail*)

**lemma** *distinct\_mset\_size\_eq\_card*:  $\text{distinct\_mset } C \implies \text{size } C = \text{card } (\text{set\_mset } C)$   
**by** (*induction*  $C$ ) *auto*

**lemma** *distinct\_mset\_add*:  
 $\text{distinct\_mset } (L + L') \longleftrightarrow \text{distinct\_mset } L \wedge \text{distinct\_mset } L' \wedge L \cap\# L' = \{\#\}$  (**is**  $?A \longleftrightarrow ?B$ )  
**by** (*induction*  $L$  *arbitrary: L'*) *auto*

**lemma** *distinct\_mset\_set\_mset\_ident*[simp]:  $\text{distinct\_mset } M \implies \text{mset\_set } (\text{set\_mset } M) = M$   
**by** (*induction*  $M$ ) *auto*

**lemma** *distinct\_finite\_set\_mset\_subseteq\_iff*[iff]:  
**assumes** *dist*: *distinct\_mset*  $M$  **and** *fin*: *finite*  $N$   
**shows**  $\text{set\_mset } M \subseteq N \longleftrightarrow M \subseteq\# \text{mset\_set } N$   
**proof**  
**assume**  $\text{set\_mset } M \subseteq N$   
**then show**  $M \subseteq\# \text{mset\_set } N$   
**by** (*metis* *dist* *distinct\_mset\_set\_mset\_ident* *fin* *finite\_subset* *mset\_set\_subseteq\_mset\_set*)  
**next**  
**assume**  $M \subseteq\# \text{mset\_set } N$   
**then show**  $\text{set\_mset } M \subseteq N$   
**by** (*metis* *contra\_subsetD* *empty\_iff\_finite\_set\_mset\_set* *infinite\_set\_mset\_set* *set\_mset\_mono* *subsetI*)  
**qed**

**lemma** *distinct\_mem\_diff\_mset*:  
**assumes** *dist*: *distinct\_mset*  $M$  **and** *mem*:  $x \in \text{set\_mset } (M - N)$   
**shows**  $x \notin \text{set\_mset } N$   
**proof** –  
**have**  $\text{count } M \ x = 1$   
**using** *dist* *mem* **by** (*meson* *distinct\_mset\_def* *in\_diffD*)  
**then show** *?thesis*  
**using** *mem* **by** (*metis* *count\_greater\_eq\_one\_iff\_in\_diff\_count\_not\_less*)  
**qed**

**lemma** *distinct\_set\_mset\_eq*:  
**assumes**  
*dist\_m*: *distinct\_mset*  $M$  **and**  
*dist\_n*: *distinct\_mset*  $N$  **and**  
*set\_eq*:  $\text{set\_mset } M = \text{set\_mset } N$   
**shows**  $M = N$   
**proof** –  
**have**  $\text{mset\_set } (\text{set\_mset } M) = \text{mset\_set } (\text{set\_mset } N)$   
**using** *set\_eq* **by** *simp*

**thus** *?thesis*  
**using** *dist\_m dist\_n* **by** *auto*  
**qed**

**lemma** *distinct\_mset\_union\_mset[simp]*:  
 $\langle \text{distinct\_mset } (D \cup\# C) \longleftrightarrow \text{distinct\_mset } D \wedge \text{distinct\_mset } C \rangle$   
**unfolding** *distinct\_mset\_count\_less\_1* **by** *force*

**lemma** *distinct\_mset\_inter\_mset*:  
**assumes**  
*distinct\_mset D* **and**  
*distinct\_mset C*  
**shows** *distinct\_mset (D  $\cap$ \# C)*  
**using** *assms* **unfolding** *distinct\_mset\_count\_less\_1*  
**by** (*meson dual\_order.trans subset\_mset.inf\_le2 subseq\_mset\_def*)

**lemma** *distinct\_mset\_remove1\_All*: *distinct\_mset C*  $\implies$  *remove1\_mset L C = removeAll\_mset L C*  
**by** (*auto simp: multiset\_eq\_iff distinct\_mset\_count\_less\_1*)

**lemma** *distinct\_mset\_size\_2*: *distinct\_mset {#a, b#}*  $\longleftrightarrow$   $a \neq b$   
**by** *auto*

**lemma** *distinct\_mset\_filter*: *distinct\_mset M*  $\implies$  *distinct\_mset {# L  $\in$ \# M. P L#}*  
**by** (*simp add: distinct\_mset\_def*)

**lemma** *distinct\_mset\_mset\_distinct[simp]*:  $\langle \text{distinct\_mset } (\text{mset } xs) = \text{distinct } xs \rangle$   
**by** (*induction xs*) *auto*

**lemma** *distinct\_image\_mset\_inj*:  
 $\langle \text{inj\_on } f (\text{set\_mset } M) \implies \text{distinct\_mset } (\text{image\_mset } f M) \longleftrightarrow \text{distinct\_mset } M \rangle$   
**by** (*induction M*) (*auto simp: inj\_on\_def*)

## 2.11 Repeat Operation

**lemma** *repeat\_mset\_compower*: *repeat\_mset n A = ((op + A) ^^ n) {#}*  
**by** (*induction n*) *auto*

**lemma** *repeat\_mset\_prod*: *repeat\_mset (m \* n) A = ((op + (repeat\_mset n A)) ^^ m) {#}*  
**by** (*induction m*) (*auto simp: repeat\_mset\_distrib*)

## 2.12 Cartesian Product

Definition of the cartesian products over multisets. The construction mimics of the cartesian product on sets and use the same theorem names (adding only the suffix *\_mset* to *Sigma* and *Times*). See file *~/src/HOL/Product\_Type.thy*

**definition** *Sigma\_mset* :: *'a multiset  $\Rightarrow$  ('a  $\Rightarrow$  'b multiset)  $\Rightarrow$  ('a  $\times$  'b) multiset* **where**  
*Sigma\_mset A B*  $\equiv$   $\bigcup\# \{ \# \{ \#(a, b). b \in\# B \ a\# \}. a \in\# A \# \}$

**abbreviation** *Times\_mset* :: *'a multiset  $\Rightarrow$  'b multiset  $\Rightarrow$  ('a  $\times$  'b) multiset* (**infixr**  $\times$  *mset 80*) **where**  
*Times\_mset A B*  $\equiv$  *Sigma\_mset A* ( $\lambda\_ . B$ )

**hide-const** (**open**) *Times\_mset*

Contrary to the set version  $A \times B$ , we use the non-ASCII symbol  $\in\#$ .

**syntax**  
 $\_Sigma\_mset :: [pttrn, 'a\ multiset, 'b\ multiset] => ('a * 'b)\ multiset$   
 $((3SIGMAMSET \_ \in\# \_ / \_) [0, 0, 10] 10)$

**translations**  
*SIGMAMSET*  $x \in\# A. B == CONST$  *Sigma\_mset A* ( $\lambda x. B$ )

Link between the multiset and the set cartesian product:

**lemma** *Times\_mset\_Times*: *set\_mset (A  $\times$  mset B) = set\_mset A  $\times$  set\_mset B*

**unfolding** *Sigma\_mset\_def* **by** *auto*

**lemma** *Sigma\_msetI* [*intro!*]:  $\llbracket a \in\# A; b \in\# B \ a \rrbracket \implies (a, b) \in\# \text{Sigma\_mset } A \ B$   
**by** (*unfold Sigma\_mset\_def*) *auto*

**lemma** *Sigma\_msetE* [*elim!*]:  
 $\llbracket c \in\# \text{Sigma\_mset } A \ B;$   
 $\quad \bigwedge x \ y. \llbracket x \in\# A; y \in\# B(x); c = (x, y) \rrbracket \implies P$   
 $\rrbracket \implies P$   
— The general elimination rule.  
**by** (*unfold Sigma\_mset\_def*) *auto*

Elimination of  $(a, b) \in\# A \times\text{mset } B$  – introduces no eigenvariables.

**lemma** *Sigma\_msetD1*:  $(a, b) \in\# \text{Sigma\_mset } A \ B \implies a \in\# A$   
**by** *blast*

**lemma** *Sigma\_msetD2*:  $(a, b) \in\# \text{Sigma\_mset } A \ B \implies b \in\# B \ a$   
**by** *blast*

**lemma** *Sigma\_msetE2*:  
 $\llbracket (a, b) \in\# \text{Sigma\_mset } A \ B;$   
 $\quad \llbracket a \in\# A; b \in\# B(a) \rrbracket \implies P$   
 $\rrbracket \implies P$   
**by** *blast*

**lemma** *Sigma\_mset\_cong*:  
 $\llbracket A = B; \bigwedge x. x \in\# B \implies C \ x = D \ x \rrbracket$   
 $\implies (\text{SIGMAMSET } x \in\# A. C \ x) = (\text{SIGMAMSET } x \in\# B. D \ x)$   
**by** (*metis (mono\_tags, lifting) Sigma\_mset\_def image\_mset\_cong*)

**lemma** *count\_sum\_mset*:  $\text{count } (\bigcup\#M) \ b = (\sum P \in\# M. \text{count } P \ b)$   
**by** (*induction M*) *auto*

**lemma** *Sigma\_mset\_plus\_distrib1*[*simp*]:  $\text{Sigma\_mset } (A + B) \ C = \text{Sigma\_mset } A \ C + \text{Sigma\_mset } B \ C$   
**unfolding** *Sigma\_mset\_def* **by** *auto*

**lemma** *Sigma\_mset\_plus\_distrib2*[*simp*]:  
 $\text{Sigma\_mset } A \ (\lambda i. B \ i + C \ i) = \text{Sigma\_mset } A \ B + \text{Sigma\_mset } A \ C$   
**unfolding** *Sigma\_mset\_def* **by** (*induction A*) (*auto simp: multiset\_eq\_iff*)

**lemma** *Times\_mset\_single\_left*:  $\{\#a\# \} \times\text{mset } B = \text{image\_mset } (\text{Pair } a) \ B$   
**unfolding** *Sigma\_mset\_def* **by** *auto*

**lemma** *Times\_mset\_single\_right*:  $A \times\text{mset } \{\#b\# \} = \text{image\_mset } (\lambda a. \text{Pair } a \ b) \ A$   
**unfolding** *Sigma\_mset\_def* **by** (*induction A*) *auto*

**lemma** *Times\_mset\_single\_single*[*simp*]:  $\{\#a\# \} \times\text{mset } \{\#b\# \} = \{\#(a, b)\# \}$   
**unfolding** *Sigma\_mset\_def* **by** *simp*

**lemma** *count\_image\_mset\_Pair*:  
 $\text{count } (\text{image\_mset } (\text{Pair } a) \ B) \ (x, b) = (\text{if } x = a \ \text{then } \text{count } B \ b \ \text{else } 0)$   
**by** (*induction B*) *auto*

**lemma** *count\_Sigma\_mset*:  $\text{count } (\text{Sigma\_mset } A \ B) \ (a, b) = \text{count } A \ a * \text{count } (B \ a) \ b$   
**by** (*induction A*) (*auto simp: Sigma\_mset\_def count\_image\_mset\_Pair*)

**lemma** *Sigma\_mset\_empty1* [*simp*]:  $\text{Sigma\_mset } \{\#\} \ B = \{\#\}$   
**unfolding** *Sigma\_mset\_def* **by** *auto*

**lemma** *Sigma\_mset\_empty2* [*simp*]:  $A \times\text{mset } \{\#\} = \{\#\}$   
**by** (*auto simp: multiset\_eq\_iff count\_Sigma\_mset*)

**lemma** *Sigma\_mset\_mono*:

**assumes**  $A \subseteq\# C$  **and**  $\bigwedge x. x \in\# A \implies B x \subseteq\# D$   
**shows**  $\text{Sigma\_mset } A B \subseteq\# \text{Sigma\_mset } C D$   
**proof** –  
**have**  $\text{count } A a * \text{count } (B a) b \leq \text{count } C a * \text{count } (D a) b$  **for**  $a b$   
**using** *assms* **unfolding** *subseteq\_mset\_def* **by** (*metis count\_inI eq\_iff mult\_eq\_0\_iff mult\_le\_mono*)  
**then show** *?thesis*  
**by** (*auto simp: subseteq\_mset\_def count\_Sigma\_mset*)  
**qed**

**lemma** *mem\_Sigma\_mset\_iff*[*iff*]:  $((a,b) \in\# \text{Sigma\_mset } A B) = (a \in\# A \wedge b \in\# B a)$   
**by** *blast*

**lemma** *mem\_Times\_mset\_iff*:  $x \in\# A \times\text{mset } B \iff \text{fst } x \in\# A \wedge \text{snd } x \in\# B$   
**by** (*induct x*) *simp*

**lemma** *Sigma\_mset\_empty\_iff*:  $(\text{SIGMAMSET } i \in\# I. X i) = \{\#\} \iff (\forall i \in\# I. X i = \{\#\})$   
**by** (*auto simp: Sigma\_mset\_def*)

**lemma** *Times\_mset\_subset\_mset\_cancel1*:  $x \in\# A \implies (A \times\text{mset } B \subseteq\# A \times\text{mset } C) = (B \subseteq\# C)$   
**by** (*auto simp: subseteq\_mset\_def count\_Sigma\_mset*)

**lemma** *Times\_mset\_subset\_mset\_cancel2*:  $x \in\# C \implies (A \times\text{mset } C \subseteq\# B \times\text{mset } C) = (A \subseteq\# B)$   
**by** (*auto simp: subseteq\_mset\_def count\_Sigma\_mset*)

**lemma** *Times\_mset\_eq\_cancel2*:  $x \in\# C \implies (A \times\text{mset } C = B \times\text{mset } C) = (A = B)$   
**by** (*auto simp: multiset\_eq\_iff count\_Sigma\_mset dest!: in\_countE*)

**lemma** *split\_paired\_Ball\_mset\_Sigma\_mset* [*simp, no\_atp*]:  
 $(\forall z \in\# \text{Sigma\_mset } A B. P z) \iff (\forall x \in\# A. \forall y \in\# B x. P (x, y))$   
**by** *blast*

**lemma** *split\_paired\_Bex\_mset\_Sigma\_mset* [*simp, no\_atp*]:  
 $(\exists z \in\# \text{Sigma\_mset } A B. P z) \iff (\exists x \in\# A. \exists y \in\# B x. P (x, y))$   
**by** *blast*

**lemma** *sum\_mset\_if\_eq\_constant*:  
 $(\sum x \in\# M. \text{if } a = x \text{ then } (f x) \text{ else } 0) = ((\text{op } + (f a)) \wedge\wedge (\text{count } M a)) 0$   
**by** (*induction M*) (*auto simp: ac\_simps*)

**lemma** *iterate\_op\_plus*:  $((\text{op } + k) \wedge\wedge m) 0 = k * m$   
**by** (*induction m*) *auto*

**lemma** *union\_image\_mset\_Pair\_distribute*:  
 $\bigcup\#\{\#\text{image\_mset } (\text{Pair } x) (C x). x \in\# J - I\#\} = \bigcup\#\{\#\text{image\_mset } (\text{Pair } x) (C x). x \in\# J\#\} - \bigcup\#\{\#\text{image\_mset } (\text{Pair } x) (C x). x \in\# I\#\}$   
**by** (*auto simp: multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant iterate\_op\_plus diff\_mult\_distrib2*)

**lemma** *Sigma\_mset\_Un\_distrib1*:  $\text{Sigma\_mset } (I \cup\# J) C = \text{Sigma\_mset } I C \cup\# \text{Sigma\_mset } J C$   
**by** (*auto simp: Sigma\_mset\_def sup\_subset\_mset\_def union\_image\_mset\_Pair\_distribute*)

**lemma** *Sigma\_mset\_Un\_distrib2*:  $(\text{SIGMAMSET } i \in\# I. A i \cup\# B i) = \text{Sigma\_mset } I A \cup\# \text{Sigma\_mset } I B$   
**by** (*auto simp: multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def diff\_mult\_distrib2 iterate\_op\_plus max\_def not\_in\_iff*)

**lemma** *Sigma\_mset\_Int\_distrib1*:  $\text{Sigma\_mset } (I \cap\# J) C = \text{Sigma\_mset } I C \cap\# \text{Sigma\_mset } J C$   
**by** (*auto simp: multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def iterate\_op\_plus min\_def not\_in\_iff*)

**lemma** *Sigma\_mset\_Int\_distrib2*:  $(\text{SIGMAMSET } i \in\# I. A i \cap\# B i) = \text{Sigma\_mset } I A \cap\# \text{Sigma\_mset } I B$   
**by** (*auto simp: multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def iterate\_op\_plus min\_def not\_in\_iff*)

**lemma** *Sigma\_mset\_Diff\_distrib1*:  $\text{Sigma\_mset } (I - J) C = \text{Sigma\_mset } I C - \text{Sigma\_mset } J C$   
**by** (*auto simp*: *multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def iterate\_op\_plus min\_def not\_in\_iff diff\_mult\_distrib2*)

**lemma** *Sigma\_mset\_Diff\_distrib2*:  $(\text{SIGMAMSET } i \in \#I. A i - B i) = \text{Sigma\_mset } I A - \text{Sigma\_mset } I B$   
**by** (*auto simp*: *multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def iterate\_op\_plus min\_def not\_in\_iff diff\_mult\_distrib*)

**lemma** *Sigma\_mset\_Union*:  $\text{Sigma\_mset } (\bigcup \#X) B = (\bigcup \# (\text{image\_mset } (\lambda A. \text{Sigma\_mset } A B) X))$   
**by** (*auto simp*: *multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def iterate\_op\_plus min\_def not\_in\_iff sum\_mset\_distrib\_left*)

**lemma** *Times\_mset\_Un\_distrib1*:  $(A \cup \# B) \times \text{mset } C = A \times \text{mset } C \cup \# B \times \text{mset } C$   
**by** (*fact Sigma\_mset\_Un\_distrib1*)

**lemma** *Times\_mset\_Int\_distrib1*:  $(A \cap \# B) \times \text{mset } C = A \times \text{mset } C \cap \# B \times \text{mset } C$   
**by** (*fact Sigma\_mset\_Int\_distrib1*)

**lemma** *Times\_mset\_Diff\_distrib1*:  $(A - B) \times \text{mset } C = A \times \text{mset } C - B \times \text{mset } C$   
**by** (*fact Sigma\_mset\_Diff\_distrib1*)

**lemma** *Times\_mset\_empty[simp]*:  $A \times \text{mset } B = \{\#\} \longleftrightarrow A = \{\#\} \vee B = \{\#\}$   
**by** (*auto simp*: *Sigma\_mset\_empty\_iff*)

**lemma** *Times\_insert\_left*:  $A \times \text{mset } \text{add\_mset } x B = A \times \text{mset } B + \text{image\_mset } (\lambda a. \text{Pair } a x) A$   
**unfolding** *add\_mset\_add\_single[of x B]* *Sigma\_mset\_plus\_distrib2*  
**by** (*simp add*: *Times\_mset\_single\_right*)

**lemma** *Times\_insert\_right*:  $\text{add\_mset } a A \times \text{mset } B = A \times \text{mset } B + \text{image\_mset } (\text{Pair } a) B$   
**unfolding** *add\_mset\_add\_single[of a A]* *Sigma\_mset\_plus\_distrib1*  
**by** (*simp add*: *Times\_mset\_single\_left*)

**lemma** *fst\_image\_mset\_times\_mset [simp]*:  
 $\text{image\_mset } \text{fst } (A \times \text{mset } B) = (\text{if } B = \{\#\} \text{ then } \{\#\} \text{ else } \text{repeat\_mset } (\text{size } B) A)$   
**by** (*induction B*) (*auto simp*: *Times\_mset\_single\_right ac\_simps Times\_insert\_left*)

**lemma** *snd\_image\_mset\_times\_mset [simp]*:  
 $\text{image\_mset } \text{snd } (A \times \text{mset } B) = (\text{if } A = \{\#\} \text{ then } \{\#\} \text{ else } \text{repeat\_mset } (\text{size } A) B)$   
**by** (*induction B*) (*auto simp add*: *Times\_mset\_single\_right image\_mset\_const Times\_insert\_left*)

**lemma** *product\_swap\_mset*:  
 $\text{image\_mset } \text{prod.swap } (A \times \text{mset } B) = B \times \text{mset } A$   
**by** (*induction A*) (*auto simp add*: *Times\_mset\_single\_left Times\_mset\_single\_right Times\_insert\_right Times\_insert\_left*)

**context**  
**begin**

**qualified definition** *product\_mset* ::  $'a \text{ multiset} \Rightarrow 'b \text{ multiset} \Rightarrow ('a \times 'b) \text{ multiset}$  **where**  
 $[\text{code\_abbrev}]: \text{product\_mset } A B = A \times \text{mset } B$

**lemma** *member\_product\_mset*:  $x \in \# \text{Multiset\_More.product\_mset } A B \longleftrightarrow x \in \# A \times \text{mset } B$   
**by** (*simp add*: *Multiset\\_More.product\_mset\_def*)

**end**

**lemma** *count\_Sigma\_mset\_abs\_def*:  $\text{count } (\text{Sigma\_mset } A B) = (\lambda(a, b) \Rightarrow \text{count } A a * \text{count } (B a) b)$   
**by** (*auto simp*: *fun\_eq\_iff count\_Sigma\_mset*)

**lemma** *Times\_mset\_image\_mset1*:  $\text{image\_mset } f A \times \text{mset } B = \text{image\_mset } (\lambda(a, b). (f a, b)) (A \times \text{mset } B)$   
**by** (*induct B*) (*auto simp*: *Times\_insert\_left*)

**lemma** *Times\_mset\_image\_mset2*:  $A \times \text{mset } \text{image\_mset } f B = \text{image\_mset } (\lambda(a, b). (a, f b)) (A \times \text{mset } B)$

by (induct A) (auto simp: Times\_insert\_right)

**lemma** *sum\_le\_singleton*:  $A \subseteq \{x\} \implies \text{sum } f A = (\text{if } x \in A \text{ then } f x \text{ else } 0)$   
 by (auto simp: subset\_singleton\_iff elim: finite\_subset)

**lemma** *Times\_mset\_assoc*:  
 $(A \times \text{mset } B) \times \text{mset } C = \text{image\_mset } (\lambda(a, b, c). ((a, b), c)) (A \times \text{mset } B \times \text{mset } C)$   
 by (auto simp: multiset\_eq\_iff count\_Sigma\_mset count\_image\_mset vimage\_def Times\_mset\_Times  
 Int\_commute count\_eq\_zero\_iff  
 intro!: trans[OF \_ sym[OF sum\_le\_singleton[of \_ (\_, \_, \_)]]]  
 cong: sum.cong if\_cong)

## 2.13 Transfer Rules

**lemma** *plus\_multiset\_transfer*[transfer\_rule]:  
 $(\text{rel\_fun } (\text{rel\_mset } R) (\text{rel\_fun } (\text{rel\_mset } R) (\text{rel\_mset } R))) (\text{op } +) (\text{op } +)$   
 by (unfold rel\_fun\_def rel\_mset\_def)  
 (force dest: list\_all2\_appendI intro: exI[of \_ \_ @ \_] conjI[rotated])

**lemma** *minus\_multiset\_transfer*[transfer\_rule]:  
 assumes [transfer\_rule]: *bi\_unique* R  
 shows  $(\text{rel\_fun } (\text{rel\_mset } R) (\text{rel\_fun } (\text{rel\_mset } R) (\text{rel\_mset } R))) (\text{op } -) (\text{op } -)$   
**proof** (unfold rel\_fun\_def rel\_mset\_def, safe)  
 fix *xs ys xs' ys'*  
 assume [transfer\_rule]: *list\_all2* R *xs ys list\_all2* R *xs' ys'*  
 have *list\_all2* R (fold remove1 *xs' xs*) (fold remove1 *ys' ys*)  
 by transfer\_prover  
**moreover**  
 have *mset* (fold remove1 *xs' xs*) = *mset xs* - *mset xs'*  
 by (induct *xs'* arbitrary: *xs*) auto  
**moreover**  
 have *mset* (fold remove1 *ys' ys*) = *mset ys* - *mset ys'*  
 by (induct *ys'* arbitrary: *ys*) auto  
 ultimately show  $\exists \text{xs'' ys''}.$   
 $\text{mset } \text{xs''} = \text{mset } \text{xs} - \text{mset } \text{xs}' \wedge \text{mset } \text{ys''} = \text{mset } \text{ys} - \text{mset } \text{ys}' \wedge \text{list\_all2 } R \text{xs'' ys''}$   
 by blast

qed

**declare** *rel\_mset\_Zero*[transfer\_rule]

**lemma** *count\_transfer*[transfer\_rule]:  
 assumes *bi\_unique* R  
 shows  $(\text{rel\_fun } (\text{rel\_mset } R) (\text{rel\_fun } R \text{op } =)) \text{count count}$   
**unfolding** *rel\_fun\_def rel\_mset\_def* **proof** *safe*  
 fix *x y xs ys*  
 assume *list\_all2* R *xs ys R x y*  
 then show *count* (*mset xs*) *x* = *count* (*mset ys*) *y*  
**proof** (induct *xs ys* rule: *list.rel\_induct*)  
 case (Cons *x' xs y' ys*)  
 then show ?case using *assms* **unfolding** *bi\_unique\_alt\_def2*  
 by (auto simp: *rel\_fun\_def*)  
 qed *simp*

qed

**lemma** *subseteq\_multiset\_transfer*[transfer\_rule]:  
 assumes [transfer\_rule]: *bi\_unique* R *right\_total* R  
 shows  $(\text{rel\_fun } (\text{rel\_mset } R) (\text{rel\_fun } (\text{rel\_mset } R) (\text{op } =)))$   
 $(\lambda M N. \text{filter\_mset } (\text{Domainp } R) M \subseteq\# \text{filter\_mset } (\text{Domainp } R) N) (\text{op } \subseteq\#)$   
**proof** -  
 have *count\_filter\_mset\_less*:  
 $(\forall a. \text{count } (\text{filter\_mset } (\text{Domainp } R) M) a \leq \text{count } (\text{filter\_mset } (\text{Domainp } R) N) a) \iff$   
 $(\forall a \in \{x. \text{Domainp } R x\}. \text{count } M a \leq \text{count } N a)$  **for** *M* **and** *N* **by** *auto*  
 show ?thesis **unfolding** *subseteq\_mset\_def count\_filter\_mset\_less*  
 by transfer\_prover



qed

**lemma** *sum\_mset\_transfer*[*transfer\_rule*]:  $R\ 0\ 0 \implies \text{rel\_fun } R\ (\text{rel\_fun } R\ R)\ \text{op} + \text{op} + \implies$   
 $(\text{rel\_fun } (\text{rel\_mset } R)\ R)\ \text{sum\_mset } \text{sum\_mset}$   
**using** *sum\_list\_transfer*[*of R*] **unfolding** *rel\_fun\_def rel\_mset\_def* **by** *auto*

**lemma** *Sigma\_mset\_transfer*[*transfer\_rule*]:  
 $(\text{rel\_fun } (\text{rel\_mset } R)\ (\text{rel\_fun } (\text{rel\_fun } R\ (\text{rel\_mset } S)))\ (\text{rel\_mset } (\text{rel\_prod } R\ S))))$   
 $\text{Sigma\_mset } \text{Sigma\_mset}$   
**by** (*unfold Sigma\_mset\_def*) *transfer\_prover*

end

### 3 Signed (Finite) Multisets

**theory** *Signed\_Multiset*  
**imports** *Multiset\_More*  
**abbrevs**  
!z = z  
**begin**

#### 3.1 Definition of Signed Multisets

**definition** *equiv\_zmset* ::  $'a\ \text{multiset} \times 'a\ \text{multiset} \Rightarrow 'a\ \text{multiset} \times 'a\ \text{multiset} \Rightarrow \text{bool}$  **where**  
 $\text{equiv\_zmset} = (\lambda(Mp, Mn)\ (Np, Nn).\ Mp + Nn = Np + Mn)$

**quotient-type**  $'a\ \text{zmultiset} = 'a\ \text{multiset} \times 'a\ \text{multiset} / \text{equiv\_zmset}$   
**by** (*rule equivpI, simp\_all add: equiv\_zmset\_def reflp\_def symp\_def transp\_def*)  
(*metis multi\_union\_self\_other\_eq union\_lcomm*)

#### 3.2 Basic Operations on Signed Multisets

**instantiation** *zmultiset* :: (*type*) *cancel\_comm\_monoid\_add*  
**begin**

**lift-definition** *zero\_zmultiset* ::  $'a\ \text{zmultiset}$  **is**  $(\{\#\}, \{\#\})$  .

**abbreviation** *empty\_zmset* ::  $'a\ \text{zmultiset}$   $(\{\#\}_z)$  **where**  
 $\text{empty\_zmset} \equiv 0$

**lift-definition** *minus\_zmultiset* ::  $'a\ \text{zmultiset} \Rightarrow 'a\ \text{zmultiset} \Rightarrow 'a\ \text{zmultiset}$  **is**  
 $\lambda(Mp, Mn)\ (Np, Nn).\ (Mp + Nn, Mn + Np)$   
**by** (*auto simp: equiv\_zmset\_def union\_commute union\_lcomm*)

**lift-definition** *plus\_zmultiset* ::  $'a\ \text{zmultiset} \Rightarrow 'a\ \text{zmultiset} \Rightarrow 'a\ \text{zmultiset}$  **is**  
 $\lambda(Mp, Mn)\ (Np, Nn).\ (Mp + Np, Mn + Nn)$   
**by** (*auto simp: equiv\_zmset\_def union\_commute union\_lcomm*)

**instance**  
**by** (*intro\_classes; transfer*) (*auto simp: equiv\_zmset\_def*)

end

**instantiation** *zmultiset* :: (*type*) *group\_add*  
**begin**

**lift-definition** *uminus\_zmultiset* ::  $'a\ \text{zmultiset} \Rightarrow 'a\ \text{zmultiset}$  **is**  $\lambda(Mp, Mn).\ (Mn, Mp)$   
**by** (*auto simp: equiv\_zmset\_def add.commute*)

**instance**  
**by** (*intro\_classes; transfer*) (*auto simp: equiv\_zmset\_def*)

end

**lift-definition** `zcount` :: 'a zmultiset  $\Rightarrow$  'a  $\Rightarrow$  int **is**  
 $\lambda(Mp, Mn) x. \text{int} (\text{count } Mp \ x) - \text{int} (\text{count } Mn \ x)$   
**by** (auto simp del: of\_nat\_add simp: equiv\_zmset\_def fun\_eq\_iff multiset\_eq\_iff diff\_eq\_eq  
diff\_add\_eq eq\_diff\_eq of\_nat\_add[symmetric])

**lemma** `zcount_inject`:  $zcount \ M = zcount \ N \iff M = N$   
**by** transfer (auto simp del: of\_nat\_add simp: equiv\_zmset\_def fun\_eq\_iff multiset\_eq\_iff  
diff\_eq\_eq diff\_add\_eq eq\_diff\_eq of\_nat\_add[symmetric])

**lemma** `zmultiset_eq_iff`:  $M = N \iff (\forall a. zcount \ M \ a = zcount \ N \ a)$   
**by** (simp only: zcount\_inject[symmetric] fun\_eq\_iff)

**lemma** `zmultiset_eqI`:  $(\bigwedge x. zcount \ A \ x = zcount \ B \ x) \implies A = B$   
**using** `zmultiset_eq_iff` **by** auto

**lemma** `zcount_uminus`[simp]:  $zcount \ (- \ A) \ x = - \ zcount \ A \ x$   
**by** transfer auto

**lift-definition** `add_zmset` :: 'a  $\Rightarrow$  'a zmultiset  $\Rightarrow$  'a zmultiset **is**  
 $\lambda x \ (Mp, Mn). (\text{add\_mset } x \ Mp, Mn)$   
**by** (auto simp: equiv\_zmset\_def)

**syntax**  
`_zmultiset` :: args  $\Rightarrow$  'a zmultiset  $\{\#\_(\_) \#\}_z$

**translations**  
 $\{\#x, xs\# \}_z == \text{CONST } \text{add\_zmset } x \ \{\#xs\# \}_z$   
 $\{\#x\# \}_z == \text{CONST } \text{add\_zmset } x \ \{\#\}_z$

**lemma** `zcount_empty`[simp]:  $zcount \ \{\#\}_z \ a = 0$   
**by** transfer auto

**lemma** `zcount_add_zmset`[simp]:  
 $zcount \ (\text{add\_zmset } b \ A) \ a = (\text{if } b = a \ \text{then } zcount \ A \ a + 1 \ \text{else } zcount \ A \ a)$   
**by** transfer auto

**lemma** `zcount_single`:  $zcount \ \{\#b\# \}_z \ a = (\text{if } b = a \ \text{then } 1 \ \text{else } 0)$   
**by** simp

**lemma** `add_add_same_iff_zmset`[simp]:  $\text{add\_zmset } a \ A = \text{add\_zmset } a \ B \iff A = B$   
**by** (auto simp: zmultiset\_eq\_iff)

**lemma** `add_zmset_commute`:  $\text{add\_zmset } x \ (\text{add\_zmset } y \ M) = \text{add\_zmset } y \ (\text{add\_zmset } x \ M)$   
**by** (auto simp: zmultiset\_eq\_iff)

**lemma**  
`singleton_ne_empty_zmset`[simp]:  $\{\#x\# \}_z \neq \{\#\}_z$  **and**  
`empty_ne_singleton_zmset`[simp]:  $\{\#\}_z \neq \{\#x\# \}_z$   
**by** (auto dest!: arg\_cong2[of \_ \_ x \_ zcount])

**lemma**  
`singleton_ne_uminus_singleton_zmset`[simp]:  $\{\#x\# \}_z \neq - \ \{\#y\# \}_z$  **and**  
`uminus_singleton_ne_singleton_zmset`[simp]:  $- \ \{\#x\# \}_z \neq \{\#y\# \}_z$   
**by** (auto dest!: arg\_cong2[of \_ \_ x x zcount] split: if\_splits)

### 3.2.1 Conversion to Set and Membership

**definition** `set_zmset` :: 'a zmultiset  $\Rightarrow$  'a set **where**  
`set_zmset`  $M = \{x. zcount \ M \ x \neq 0\}$

**abbreviation** `elem_zmset` :: 'a  $\Rightarrow$  'a zmultiset  $\Rightarrow$  bool **where**  
`elem_zmset`  $a \ M \equiv a \in \text{set\_zmset } M$

**notation**

*elem\_zmset* (*op*  $\in\#_z$ ) **and**  
*elem\_zmset* ((*\_* /  $\in\#_z$  *\_*) [51, 51] 50)

**notation** (*ASCII*)

*elem\_zmset* (*op*  $:\#_{hy}$ ) **and**  
*elem\_zmset* ((*\_* /  $:\#_{hy}$  *\_*) [51, 51] 50)

**abbreviation** *not\_elem\_zmset* :: '*a*  $\Rightarrow$  '*a* *zmultiset*  $\Rightarrow$  *bool* **where**

*not\_elem\_zmset* *a* *M*  $\equiv$  *a*  $\notin$  *set\_zmset* *M*

**notation**

*not\_elem\_zmset* (*op*  $\notin\#_z$ ) **and**  
*not\_elem\_zmset* ((*\_* /  $\notin\#_z$  *\_*) [51, 51] 50)

**notation** (*ASCII*)

*not\_elem\_zmset* (*op*  $\sim\#_{hy}$ ) **and**  
*not\_elem\_zmset* ((*\_* /  $\sim\#_{hy}$  *\_*) [51, 51] 50)

**context**

**begin**

**qualified abbreviation** *Ball* :: '*a* *zmultiset*  $\Rightarrow$  ('*a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *bool* **where**

*Ball* *M*  $\equiv$  *Set.Ball* (*set\_zmset* *M*)

**qualified abbreviation** *Bex* :: '*a* *zmultiset*  $\Rightarrow$  ('*a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *bool* **where**

*Bex* *M*  $\equiv$  *Set.Bex* (*set\_zmset* *M*)

**end**

**syntax**

*\_MBall* :: *pttrn*  $\Rightarrow$  '*a* *set*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* (( $\exists\forall$  *\_*  $\in\#_z$  *\_* / *\_*) [0, 0, 10] 10)  
*\_MBex* :: *pttrn*  $\Rightarrow$  '*a* *set*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* (( $\exists\exists$  *\_*  $\in\#_z$  *\_* / *\_*) [0, 0, 10] 10)

**syntax** (*ASCII*)

*\_MBall* :: *pttrn*  $\Rightarrow$  '*a* *set*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* (( $\exists\forall$  *\_*  $\in\#_z$  *\_* / *\_*) [0, 0, 10] 10)  
*\_MBex* :: *pttrn*  $\Rightarrow$  '*a* *set*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* (( $\exists\exists$  *\_*  $\in\#_z$  *\_* / *\_*) [0, 0, 10] 10)

**translations**

$\forall x \in \#_z A. P \equiv \text{CONST Signed\_Multiset.Ball } A (\lambda x. P)$   
 $\exists x \in \#_z A. P \equiv \text{CONST Signed\_Multiset.Bex } A (\lambda x. P)$

**lemma** *zcount\_eq\_zero\_iff*: *zcount* *M* *x* = 0  $\longleftrightarrow$  *x*  $\notin\#_z$  *M*

**by** (*auto simp add: set\_zmset\_def*)

**lemma** *not\_in\_iff\_zmset*: *x*  $\notin\#_z$  *M*  $\longleftrightarrow$  *zcount* *M* *x* = 0

**by** (*auto simp add: zcount\_eq\_zero\_iff*)

**lemma** *zcount\_ne\_zero\_iff[simp]*: *zcount* *M* *x*  $\neq$  0  $\longleftrightarrow$  *x*  $\in\#_z$  *M*

**by** (*auto simp add: set\_zmset\_def*)

**lemma** *zcount\_inI*:

**assumes** *zcount* *M* *x* = 0  $\implies$  *False*

**shows** *x*  $\in\#_z$  *M*

**proof** (*rule ccontr*)

**assume** *x*  $\notin\#_z$  *M*

**with** *assms* **show** *False* **by** (*simp add: not\_in\_iff\_zmset*)

**qed**

**lemma** *set\_zmset\_empty[simp]*: *set\_zmset*  $\{\#\}_z = \{\}$

**by** (*simp add: set\_zmset\_def*)

**lemma** *set\_zmset\_single*: *set\_zmset*  $\{\#b\#}_z = \{b\}$

**by** (*simp add: set\_zmset\_def*)

**lemma** *set\_zmset\_eq\_empty\_iff*[simp]:  $\text{set\_zmset } M = \{\} \longleftrightarrow M = \{\#\}_z$   
**by** (*auto simp add: zmultiset\_eq\_iff zcount\_eq\_zero\_iff*)

**lemma** *finite\_count\_ne*:  $\text{finite } \{x. \text{count } M \ x \neq \text{count } N \ x\}$   
**proof** –  
**have**  $\{x. \text{count } M \ x \neq \text{count } N \ x\} \subseteq \text{set\_mset } M \cup \text{set\_mset } N$   
**by** (*auto simp: not\_in\_iff*)  
**moreover have**  $\text{finite } (\text{set\_mset } M \cup \text{set\_mset } N)$   
**by** (*rule finite\_UnI[OF finite\_set\_mset finite\_set\_mset]*)  
**ultimately show** *?thesis*  
**by** (*rule finite\_subset*)  
**qed**

**lemma** *finite\_set\_zmset*[iff]:  $\text{finite } (\text{set\_zmset } M)$   
**unfolding** *set\_zmset\_def* **by** *transfer* (*auto intro: finite\_count\_ne*)

**lemma** *zmultiset\_nonemptyE*[elim]:  
**assumes**  $A \neq \{\#\}_z$   
**obtains**  $x$  **where**  $x \in \#\_z \ A$   
**proof** –  
**have**  $\exists x. x \in \#\_z \ A$   
**by** (*rule ccontr*) (*insert assms, auto*)  
**with that show** *?thesis*  
**by** *blast*  
**qed**

### 3.2.2 Union

**lemma** *zcount\_union*[simp]:  $\text{zcount } (M + N) \ a = \text{zcount } M \ a + \text{zcount } N \ a$   
**by** *transfer auto*

**lemma** *union\_add\_left\_zmset*[simp]:  $\text{add\_zmset } a \ A + B = \text{add\_zmset } a \ (A + B)$   
**by** (*auto simp: zmultiset\_eq\_iff*)

**lemma** *union\_zmset\_add\_zmset\_right*[simp]:  $A + \text{add\_zmset } a \ B = \text{add\_zmset } a \ (A + B)$   
**by** (*auto simp: zmultiset\_eq\_iff*)

**lemma** *add\_zmset\_add\_single*:  $\langle \text{add\_zmset } a \ A = A + \{\#a\#\}_z \rangle$   
**by** (*subst union\_zmset\_add\_zmset\_right, subst add.comm\_neutral*) (*rule refl*)

### 3.2.3 Difference

**lemma** *zcount\_diff*[simp]:  $\text{zcount } (M - N) \ a = \text{zcount } M \ a - \text{zcount } N \ a$   
**by** *transfer auto*

**lemma** *add\_zmset\_diff\_bthsides*:  $\langle \text{add\_zmset } a \ M - \text{add\_zmset } a \ A = M - A \rangle$   
**by** (*auto simp: zmultiset\_eq\_iff*)

**lemma** *in\_diff\_zcount*:  $a \in \#\_z \ M - N \longleftrightarrow \text{zcount } N \ a \neq \text{zcount } M \ a$   
**by** (*fastforce simp: set\_zmset\_def*)

**lemma** *diff\_add\_zmset*:  
**fixes**  $M \ N \ Q :: 'a \ \text{zmultiset}$   
**shows**  $M - (N + Q) = M - N - Q$   
**by** (*rule sym*) (*fact diff\_diff\_add*)

**lemma** *insert\_Diff\_zmset*[simp]:  $\text{add\_zmset } x \ (M - \{\#x\#\}_z) = M$   
**by** (*clarsimp simp: zmultiset\_eq\_iff*)

**lemma** *diff\_union\_swap\_zmset*:  $\text{add\_zmset } b \ (M - \{\#a\#\}_z) = \text{add\_zmset } b \ M - \{\#a\#\}_z$   
**by** (*auto simp add: zmultiset\_eq\_iff*)

**lemma** *diff\_add\_zmset\_swap*[simp]:  $\text{add\_zmset } b \ M - A = \text{add\_zmset } b \ (M - A)$

by (auto simp add: zmultiset\_eq\_iff)

**lemma** *diff\_diff\_add\_zmset*[simp]:  $(M :: 'a \text{ zmultiset}) - N - P = M - (N + P)$   
by (rule *diff\_diff\_add*)

**lemma** *zmset\_add*[*elim?*]:  
obtains *B* where  $A = \text{add\_zmset } a \ B$   
**proof** –  
have  $A = \text{add\_zmset } a \ (A - \{\#a\}_z)$   
by *simp*  
with *that show thesis* .  
**qed**

### 3.2.4 Equality of Signed Multisets

**lemma** *single\_eq\_single\_zmset*[simp]:  $\{\#a\}_z = \{\#b\}_z \longleftrightarrow a = b$   
by (auto simp add: zmultiset\_eq\_iff)

**lemma** *multi\_self\_add\_other\_not\_self\_zmset*[simp]:  $M = \text{add\_zmset } x \ M \longleftrightarrow \text{False}$   
by (auto simp add: zmultiset\_eq\_iff)

**lemma** *add\_zmset\_remove\_trivial*:  $(\text{add\_zmset } x \ M - \{\#x\}_z = M)$   
by *simp*

**lemma** *diff\_single\_eq\_union\_zmset*:  $M - \{\#x\}_z = N \longleftrightarrow M = \text{add\_zmset } x \ N$   
by *auto*

**lemma** *union\_single\_eq\_diff\_zmset*:  $\text{add\_zmset } x \ M = N \implies M = N - \{\#x\}_z$   
**unfolding** *add\_zmset\_add\_single*[of  $\_ M$ ] **by** (fact *add\_implies\_diff*)

**lemma** *add\_zmset\_eq\_conv\_diff*:  
 $\text{add\_zmset } a \ M = \text{add\_zmset } b \ N \longleftrightarrow$   
 $M = N \wedge a = b \vee M = \text{add\_zmset } b \ (N - \{\#a\}_z) \wedge N = \text{add\_zmset } a \ (M - \{\#b\}_z)$   
by (simp add: zmultiset\_eq\_iff) *fastforce*

**lemma** *add\_zmset\_eq\_conv\_ex*:  
 $(\text{add\_zmset } a \ M = \text{add\_zmset } b \ N) =$   
 $(M = N \wedge a = b \vee (\exists K. M = \text{add\_zmset } b \ K \wedge N = \text{add\_zmset } a \ K))$   
by (auto simp add: add\_zmset\_eq\_conv\_diff)

**lemma** *multi\_member\_split*:  $\exists A. M = \text{add\_zmset } x \ A$   
by (rule *exI*[*where*  $x = M - \{\#x\}_z$ ]) *simp*

## 3.3 Conversions from and to Multisets

**lift-definition** *zmset\_of* ::  $'a \ \text{multiset} \Rightarrow 'a \ \text{zmultiset}$  **is**  $\lambda f. (\text{Abs\_multiset } f, \{\#\})$  .

**lemma** *zmset\_of\_inject*[simp]:  $\text{zmset\_of } M = \text{zmset\_of } N \longleftrightarrow M = N$   
by (simp add: zmset\_of\_def, transfer, auto simp: equiv\_zmset\_def)

**lemma** *zmset\_of\_empty*[simp]:  $\text{zmset\_of } \{\#\} = \{\#\}_z$   
by (simp add: zmset\_of\_def zero\_zmultiset\_def)

**lemma** *zmset\_of\_add\_mset*[simp]:  $\text{zmset\_of } (\text{add\_mset } x \ M) = \text{add\_zmset } x \ (\text{zmset\_of } M)$   
by transfer (auto simp: equiv\_zmset\_def add\_mset\_def cong: if\_cong)

**lemma** *zcount\_of\_mset*[simp]:  $\text{zcount } (\text{zmset\_of } M) \ x = \text{int } (\text{count } M \ x)$   
by (induct *M*) *auto*

**lemma** *zmset\_of\_plus*:  $\text{zmset\_of } (M + N) = \text{zmset\_of } M + \text{zmset\_of } N$   
by (transfer, auto simp: equiv\_zmset\_def eq\_onp\_same\_args plus\_multiset.abs\_eq)+

**lift-definition** *mset\_pos* ::  $'a \ \text{zmultiset} \Rightarrow 'a \ \text{multiset}$  **is**  $\lambda(Mp, Mn). \text{count } (Mp - Mn)$   
by (clarsimp simp: equiv\_zmset\_def intro!: arg\_cong[of  $\_ \_ \text{count}$ ])

(metis add.commute add\_diff\_cancel\_right)

**lift-definition**  $mset\_neg :: 'a\ zmultiset \Rightarrow 'a\ multiset$  is  $\lambda(Mp, Mn). count (Mn - Mp)$   
by (clarsimp simp: equiv\_zmset\_def intro!: arg\_cong[of \_ \_ count])  
(metis add.commute add\_diff\_cancel\_right)

**lemma**

$zmset\_of\_inverse[simp]: mset\_pos (zmset\_of M) = M$  and  
 $minus\_zmset\_of\_inverse[simp]: mset\_neg (- zmset\_of M) = M$   
by (transfer, simp)+

**lemma**  $neg\_zmset\_pos[simp]: mset\_neg (zmset\_of M) = \{\#\}$   
by (rule zmset\_of\_inject[THEN iffD1], simp, transfer, auto simp: equiv\_zmset\_def)+

**lemma**

$count\_mset\_pos[simp]: count (mset\_pos M) x = nat (zcount M x)$  and  
 $count\_mset\_neg[simp]: count (mset\_neg M) x = nat (- zcount M x)$   
by (transfer; auto)+

**lemma**

$mset\_pos\_empty[simp]: mset\_pos \{\#\}_z = \{\#\}$  and  
 $mset\_neg\_empty[simp]: mset\_neg \{\#\}_z = \{\#\}$   
by (rule multiset\_eqI, simp)+

**lemma**

$mset\_pos\_singleton[simp]: mset\_pos \{\#x\#}_z = \{\#x\#}$  and  
 $mset\_neg\_singleton[simp]: mset\_neg \{\#x\#}_z = \{\#\}$   
by (rule multiset\_eqI, simp)+

**lemma**

$mset\_pos\_neg\_partition: M = zmset\_of (mset\_pos M) - zmset\_of (mset\_neg M)$  and  
 $mset\_pos\_as\_neg: zmset\_of (mset\_pos M) = zmset\_of (mset\_neg M) + M$  and  
 $mset\_neg\_as\_pos: zmset\_of (mset\_neg M) = zmset\_of (mset\_pos M) - M$   
by (rule zmultiset\_eqI, simp)+

**lemma**  $mset\_pos\_uminus[simp]: mset\_pos (- A) = mset\_neg A$   
by (rule multiset\_eqI) simp

**lemma**  $mset\_neg\_uminus[simp]: mset\_neg (- A) = mset\_pos A$   
by (rule multiset\_eqI) simp

**lemma**  $mset\_pos\_plus[simp]:$

$mset\_pos (A + B) = (mset\_pos A - mset\_neg B) + (mset\_pos B - mset\_neg A)$   
by (rule multiset\_eqI) simp

**lemma**  $mset\_neg\_plus[simp]:$

$mset\_neg (A + B) = (mset\_neg A - mset\_pos B) + (mset\_neg B - mset\_pos A)$   
by (rule multiset\_eqI) simp

**lemma**  $mset\_pos\_diff[simp]:$

$mset\_pos (A - B) = (mset\_pos A - mset\_pos B) + (mset\_neg B - mset\_neg A)$   
by (rule mset\_pos\_plus[of A - B, simplified])

**lemma**  $mset\_neg\_diff[simp]:$

$mset\_neg (A - B) = (mset\_neg A - mset\_neg B) + (mset\_pos B - mset\_pos A)$   
by (rule mset\_neg\_plus[of A - B, simplified])

**lemma**  $mset\_pos\_neg\_dual:$

$mset\_pos a + mset\_pos b + (mset\_neg a - mset\_pos b) + (mset\_neg b - mset\_pos a) =$   
 $mset\_neg a + mset\_neg b + (mset\_pos a - mset\_neg b) + (mset\_pos b - mset\_neg a)$   
using [[linarith\_split\_limit = 20]] by (rule multiset\_eqI) simp

**lemma**  $decompose\_zmset\_of2:$

obtains  $A B C$  where  
 $M = \text{zmsset\_of } A + C$  and  
 $N = \text{zmsset\_of } B + C$   
**proof**  
**let**  $?A = \text{zmsset\_of } (\text{mset\_pos } M + \text{mset\_neg } N)$   
**let**  $?B = \text{zmsset\_of } (\text{mset\_pos } N + \text{mset\_neg } M)$   
**let**  $?C = - (\text{zmsset\_of } (\text{mset\_neg } M) + \text{zmsset\_of } (\text{mset\_neg } N))$   
**show**  $M = ?A + ?C$   
**by** (*simp add: zmsset\_of\_plus mset\_pos\_neg\_partition*)  
**show**  $N = ?B + ?C$   
**by** (*simp add: zmsset\_of\_plus diff\_add\_zmsset mset\_pos\_neg\_partition*)  
**qed**

### 3.3.1 Pointwise Ordering Induced by $\text{zcount}$

**definition**  $\text{subseteq\_zmsset} :: 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  (**infix**  $\subseteq\#_z$  50) **where**  
 $A \subseteq\#_z B \longleftrightarrow (\forall a. \text{zcount } A \ a \leq \text{zcount } B \ a)$

**definition**  $\text{subset\_zmsset} :: 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  (**infix**  $\subset\#_z$  50) **where**  
 $A \subset\#_z B \longleftrightarrow A \subseteq\#_z B \wedge A \neq B$

**abbreviation** (*input*)  
 $\text{supseteq\_zmsset} :: 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  (**infix**  $\supseteq\#_z$  50)  
**where**  
 $\text{supseteq\_zmsset } A \ B \equiv B \subseteq\#_z A$

**abbreviation** (*input*)  
 $\text{supset\_zmsset} :: 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$  (**infix**  $\supset\#_z$  50)  
**where**  
 $\text{supset\_zmsset } A \ B \equiv B \subset\#_z A$

**notation** (*input*)  
 $\text{subseteq\_zmsset}$  (**infix**  $\leq\#_z$  50) **and**  
 $\text{supseteq\_zmsset}$  (**infix**  $\geq\#_z$  50)

**notation** (*ASCII*)  
 $\text{subseteq\_zmsset}$  (**infix**  $\leq\#_z$  50) **and**  
 $\text{subset\_zmsset}$  (**infix**  $<\#_z$  50) **and**  
 $\text{supseteq\_zmsset}$  (**infix**  $\geq\#_z$  50) **and**  
 $\text{supset\_zmsset}$  (**infix**  $>\#_z$  50)

**interpretation**  $\text{subset\_zmsset}$ :  $\text{ordered\_ab\_semigroup\_add\_imp\_le } op + op - op \subseteq\#_z op \subset\#_z$   
**by**  $\text{unfold\_locales}$  (*auto simp add: subset\_zmsset\_def subseteq\_zmsset\_def zmultiset\_eq\_iff*  
*intro: order\_trans antisym*)

**interpretation**  $\text{subset\_zmsset}$ :  
 $\text{ordered\_ab\_semigroup\_monoid\_add\_imp\_le } op + 0 \ op - op \leq\#_z op <\#_z$   
**by**  $\text{unfold\_locales}$

**lemma**  $\text{zmsset\_subset\_eqI}$ :  $(\bigwedge a. \text{zcount } A \ a \leq \text{zcount } B \ a) \Longrightarrow A \subseteq\#_z B$   
**by** (*simp add: subseteq\_zmsset\_def*)

**lemma**  $\text{zmsset\_subset\_eq\_zcount}$ :  $A \subseteq\#_z B \Longrightarrow \text{zcount } A \ a \leq \text{zcount } B \ a$   
**by** (*simp add: subseteq\_zmsset\_def*)

**lemma**  $\text{zmsset\_subset\_eq\_add\_zmsset\_cancel}$ :  $(\text{add\_zmsset } a \ A \subseteq\#_z \text{add\_zmsset } a \ B \longleftrightarrow A \subseteq\#_z B)$   
**unfolding**  $\text{add\_zmsset\_add\_single}$ [*of*  $\_ A$ ]  $\text{add\_zmsset\_add\_single}$ [*of*  $\_ B$ ]  
**by** (*rule subset\_zmsset.add\_le\_cancel\_right*)

**lemma**  $\text{zmsset\_subset\_eq\_zmultiset\_union\_diff\_commute}$ :  
 $A - B + C = A + C - B$  **for**  $A \ B \ C :: 'a \text{ zmultiset}$   
**by** (*simp add: add commute add\_diff\_eq*)

**lemma** *zmset\_subset\_eq\_insertD*:  $\text{add\_zmset } x \ A \subseteq\#_z \ B \implies A \subset\#_z \ B$   
**unfolding** *subset\_zmset\_def subseteq\_zmset\_def*  
**by** (*metis* (*no\_types*) *add.commute add\_le\_same\_cancel2 zcount\_add\_zmset dual\_order.trans le\_cases le\_numeral\_extra*(2))

**lemma** *zmset\_subset\_insertD*:  $\text{add\_zmset } x \ A \subset\#_z \ B \implies A \subset\#_z \ B$   
**by** (*rule zmset\_subset\_eq\_insertD*) (*rule subset\_zmset.less\_imp\_le*)

**lemma** *subset\_eq\_diff\_conv\_zmset*:  $A - C \subseteq\#_z \ B \iff A \subseteq\#_z \ B + C$   
**by** (*simp add: subseteq\_zmset\_def ordered\_ab\_group\_add\_class.diff\_le\_eq*)

**lemma** *multi\_psub\_of\_add\_self\_zmset*[*simp*]:  $A \subset\#_z \ \text{add\_zmset } x \ A$   
**by** (*auto simp: subset\_zmset\_def subseteq\_zmset\_def*)

**lemma** *multi\_psub\_self\_zmset*:  $A \subset\#_z \ A = \text{False}$   
**by** *simp*

**lemma** *zmset\_subset\_add\_zmset*[*simp*]:  $\text{add\_zmset } x \ N \subset\#_z \ \text{add\_zmset } x \ M \iff N \subset\#_z \ M$   
**unfolding** *add\_zmset\_add\_single*[*of \_ N*] *add\_zmset\_add\_single*[*of \_ M*]  
**by** (*fact subset\_zmset.add\_less\_cancel\_right*)

**lemma** *zmset\_of\_subseteq\_iff*[*simp*]:  $\text{zmset\_of } M \subseteq\#_z \ \text{zmset\_of } N \iff M \subseteq\# \ N$   
**by** (*simp add: subseteq\_zmset\_def subseteq\_mset\_def*)

**lemma** *zmset\_of\_subset\_iff*[*simp*]:  $\text{zmset\_of } M \subset\#_z \ \text{zmset\_of } N \iff M \subset\# \ N$   
**by** (*simp add: subset\_zmset\_def subset\_mset\_def*)

**lemma**  
*mset\_pos\_supset*:  $A \subseteq\#_z \ \text{zmset\_of } (\text{mset\_pos } A)$  **and**  
*mset\_neg\_supset*:  $- A \subseteq\#_z \ \text{zmset\_of } (\text{mset\_neg } A)$   
**by** (*auto intro: zmset\_subset\_eqI*)

**lemma** *subset\_mset\_zmsetE*:  
**assumes**  $M \subset\#_z \ N$   
**obtains**  $A \ B \ C$  **where**  
 $M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \subset\# \ B$   
**by** (*metis assms decompose\_zmset\_of2 subset\_zmset.add\_less\_cancel\_right zmset\_of\_subset\_iff*)

**lemma** *subseteq\_mset\_zmsetE*:  
**assumes**  $M \subseteq\#_z \ N$   
**obtains**  $A \ B \ C$  **where**  
 $M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \subseteq\# \ B$   
**by** (*metis assms add.commute add.right\_neutral subset\_mset.order\_refl subset\_mset\_def subset\_mset\_zmsetE subset\_zmset\_def zmset\_of\_empty*)

### 3.3.2 Subset is an Order

**interpretation** *subset\_zmset*: *order op*  $\subseteq\#_z$  *op*  $\subset\#_z$   
**by** *unfold\_locales*

## 3.4 Replicate and Repeat Operations

**definition** *replicate\_zmset* ::  $\text{nat} \Rightarrow 'a \Rightarrow 'a \ \text{zmset}$  **where**  
 $\text{replicate\_zmset } n \ x = (\text{add\_zmset } x \ \wedge\wedge \ n) \ \{\#\}_z$

**lemma** *replicate\_zmset\_0*[*simp*]:  $\text{replicate\_zmset } 0 \ x = \{\#\}_z$   
**unfolding** *replicate\_zmset\_def* **by** *simp*

**lemma** *replicate\_zmset\_Suc*[*simp*]:  $\text{replicate\_zmset } (\text{Suc } n) \ x = \text{add\_zmset } x \ (\text{replicate\_zmset } n \ x)$   
**unfolding** *replicate\_zmset\_def* **by** (*induct n*) (*auto intro: add.commute*)

**lemma** *count\_replicate\_zmset*[*simp*]:  
 $\text{zcount } (\text{replicate\_zmset } n \ x) \ y = (\text{if } y = x \ \text{then } \text{of\_nat } n \ \text{else } 0)$   
**unfolding** *replicate\_zmset\_def* **by** (*induct n*) *auto*



**fun** *repeat\_zmset* ::  $\text{nat} \Rightarrow 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset}$  **where**  
*repeat\_zmset* 0 =  $\{\#\}_z$  |  
*repeat\_zmset* (Suc *n*) *A* = *A* + *repeat\_zmset* *n* *A*

**lemma** *count\_repeat\_zmset[simp]*:  $\text{zcount} (\text{repeat\_zmset } i \ A) \ a = \text{of\_nat } i * \text{zcount } A \ a$   
**by** (*induct* *i*) (*auto simp: semiring\_normalization\_rules*(3))

**lemma** *repeat\_zmset\_right[simp]*:  $\text{repeat\_zmset } a (\text{repeat\_zmset } b \ A) = \text{repeat\_zmset } (a * b) \ A$   
**by** (*auto simp: zmultiset\_eq\_iff left\_diff\_distrib*^)

**lemma** *left\_diff\_repeat\_zmset\_distrib'*:  
 $\langle i \geq j \implies \text{repeat\_zmset } (i - j) \ u = \text{repeat\_zmset } i \ u - \text{repeat\_zmset } j \ u \rangle$   
**by** (*auto simp: zmultiset\_eq\_iff int\_distrib*(3) *of\_nat\_diff*)

**lemma** *left\_add\_mult\_distrib\_zmset*:  
 $\text{repeat\_zmset } i \ u + (\text{repeat\_zmset } j \ u + k) = \text{repeat\_zmset } (i+j) \ u + k$   
**by** (*auto simp: zmultiset\_eq\_iff add\_mult\_distrib int\_distrib*(1))

**lemma** *repeat\_zmset\_distrib*:  $\text{repeat\_zmset } (m + n) \ A = \text{repeat\_zmset } m \ A + \text{repeat\_zmset } n \ A$   
**by** (*auto simp: zmultiset\_eq\_iff Nat.add\_mult\_distrib int\_distrib*(1))

**lemma** *repeat\_zmset\_distrib2[simp]*:  
 $\text{repeat\_zmset } n \ (A + B) = \text{repeat\_zmset } n \ A + \text{repeat\_zmset } n \ B$   
**by** (*auto simp: zmultiset\_eq\_iff add\_mult\_distrib2 int\_distrib*(2))

**lemma** *repeat\_zmset\_replicate\_zmset[simp]*:  $\text{repeat\_zmset } n \ \{\#a\#_z = \text{replicate\_zmset } n \ a$   
**by** (*auto simp: zmultiset\_eq\_iff*)

**lemma** *repeat\_zmset\_distrib\_add\_zmset[simp]*:  
 $\text{repeat\_zmset } n \ (\text{add\_zmset } a \ A) = \text{replicate\_zmset } n \ a + \text{repeat\_zmset } n \ A$   
**by** (*auto simp: zmultiset\_eq\_iff int\_distrib*(2))

**lemma** *repeat\_zmset\_empty[simp]*:  $\text{repeat\_zmset } n \ \{\#\}_z = \{\#\}_z$   
**by** (*induct* *n*) *simp\_all*

### 3.4.1 Filter (with Comprehension Syntax)

**lift-definition** *filter\_zmset* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset}$  **is**  
 $\lambda P \ (Mp, Mn). (\text{filter\_mset } P \ Mp, \text{filter\_mset } P \ Mn)$   
**by** (*auto simp del: filter\_union\_mset simp: equiv\_zmset\_def filter\_union\_mset*[*symmetric*])

**syntax** (*ASCII*)  
 $\_M\text{Collect} :: \text{pttrn} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ zmultiset} \ ((1\{\#\_ : \#hy \_./ \_ \#\})$

**syntax**  
 $\_M\text{Collect} :: \text{pttrn} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ zmultiset} \ ((1\{\#\_ \in \#z \_./ \_ \#\})$

**translations**  
 $\{\#x \in \#z \ M. P\#\} == \text{CONST } \text{filter\_zmset} \ (\lambda x. P) \ M$

**lemma** *count\_filter\_zmset[simp]*:  
 $\text{zcount} (\text{filter\_zmset } P \ M) \ a = (\text{if } P \ a \ \text{then } \text{zcount } M \ a \ \text{else } 0)$   
**by** *transfer auto*

**lemma** *filter\_empty\_zmset[simp]*:  $\text{filter\_zmset } P \ \{\#\}_z = \{\#\}_z$   
**by** (*rule zmultiset\_eqI*) *simp*

**lemma** *filter\_single\_zmset*:  $\text{filter\_zmset } P \ \{\#x\#_z = (\text{if } P \ x \ \text{then } \{\#x\#_z \ \text{else } \{\#\}_z)$   
**by** (*rule zmultiset\_eqI*) *simp*

**lemma** *filter\_union\_zmset[simp]*:  $\text{filter\_zmset } P \ (M + N) = \text{filter\_zmset } P \ M + \text{filter\_zmset } P \ N$   
**by** (*rule zmultiset\_eqI*) *simp*

**lemma** *filter\_diff\_zmset[simp]*:  $\text{filter\_zmset } P \ (M - N) = \text{filter\_zmset } P \ M - \text{filter\_zmset } P \ N$   
**by** (*rule zmultiset\_eqI*) *simp*

**lemma** *filter\_add\_zmset*[simp]:  
*filter\_zmset*  $P$  (*add\_zmset*  $x$   $A$ ) =  
 (if  $P$   $x$  then *add\_zmset*  $x$  (*filter\_zmset*  $P$   $A$ ) else *filter\_zmset*  $P$   $A$ )  
**by** (auto simp: *zmultiset\_eq\_iff*)

**lemma** *zmultiset\_filter\_mono*:  
**assumes**  $A \subseteq_{\#z} B$   
**shows** *filter\_zmset*  $f$   $A \subseteq_{\#z}$  *filter\_zmset*  $f$   $B$   
**using** *assms* **by** (simp add: *subseq\_zmset\_def*)

**lemma** *filter\_filter\_zmset*: *filter\_zmset*  $P$  (*filter\_zmset*  $Q$   $M$ ) =  $\{\#x \in\# M. Q\ x \wedge P\ x\#\}$   
**by** (auto simp: *zmultiset\_eq\_iff*)

**lemma**  
*filter\_zmset\_True*[simp]:  $\{\#y \in\#_z M. True\#\} = M$  **and**  
*filter\_zmset\_False*[simp]:  $\{\#y \in\#_z M. False\#\} = \{\#\}_z$   
**by** (auto simp: *zmultiset\_eq\_iff*)

### 3.5 Uncategorized

**lemma** *multi\_drop\_mem\_not\_eq\_zmset*:  $B - \{\#c\#_z \neq B$   
**by** (simp add: *diff\_single\_eq\_union\_zmset*)

**lemma** *zmultiset\_partition*:  $M = \{\#x \in\#_z M. P\ x\#\} + \{\#x \in\#_z M. \neg P\ x\#\}$   
**by** (subst *zmultiset\_eq\_iff*) auto

### 3.6 Image

**definition** *image\_zmset* :: ( $'a \Rightarrow 'b$ )  $\Rightarrow$   $'a$  *zmultiset*  $\Rightarrow$   $'b$  *zmultiset* **where**  
*image\_zmset*  $f$   $M =$   
*zmset\_of* (*fold\_mset* (*add\_mset*  $\circ f$ )  $\{\#\}$  (*mset\_pos*  $M$ )) -  
*zmset\_of* (*fold\_mset* (*add\_mset*  $\circ f$ )  $\{\#\}$  (*mset\_neg*  $M$ ))

### 3.7 Multiset Order

**instantiation** *zmultiset* :: (*preorder*) *order*  
**begin**

**lift-definition** *less\_zmultiset* ::  $'a$  *zmultiset*  $\Rightarrow$   $'a$  *zmultiset*  $\Rightarrow$  *bool* **is**  
 $\lambda(Mp, Mn) (Np, Nn). Mp + Nn < Mn + Np$

**proof** (*clarsimp* simp: *equiv\_zmset\_def*)  
**fix**  $A1\ B2\ B1\ A2\ C1\ D2\ D1\ C2$  ::  $'a$  *multiset*  
**assume**  
 $ab: A1 + A2 = B1 + B2$  **and**  
 $cd: C1 + C2 = D1 + D2$

**have**  $A1 + D2 < B2 + C1 \iff A1 + A2 + D2 < A2 + B2 + C1$   
**by** *simp*

**also have**  $\dots \iff B1 + B2 + D2 < A2 + B2 + C1$   
**unfolding**  $ab$  **by** (*rule refl*)

**also have**  $\dots \iff B1 + D2 < A2 + C1$   
**by** *simp*

**also have**  $\dots \iff B1 + D1 + D2 < A2 + C1 + D1$   
**by** *simp*

**also have**  $\dots \iff B1 + C1 + C2 < A2 + C1 + D1$   
**using**  $cd$  **by** (*simp* add: *add.assoc*)

**also have**  $\dots \iff B1 + C2 < A2 + D1$   
**by** *simp*

**finally show**  $A1 + D2 < B2 + C1 \iff B1 + C2 < A2 + D1$   
**by** *assumption*

**qed**

**definition** *less\_eq\_zmultiset* ::  $'a$  *zmultiset*  $\Rightarrow$   $'a$  *zmultiset*  $\Rightarrow$  *bool* **where**

$less\_eq\_zmultiset\ M'\ M \longleftrightarrow M' < M \vee M' = M$

**instance**

**proof** ((*intro\_classes*; *unfold less\_eq\_zmultiset\_def*; *transfer*),  
*auto simp: equiv\_zmset\_def union\_commute*)

**fix**  $A1\ B1\ D\ C\ B2\ A2 :: 'a\ multiset$

**assume**  $ab: A1 + A2 \neq B1 + B2$

{

**assume**  $ab1: A1 + C < B1 + D$

{

**assume**  $ab2: D + A2 < C + B2$

**show**  $A1 + A2 < B1 + B2$

**proof** -

**have**  $f1: \bigwedge m. D + A2 + m < C + B2 + m$

**using**  $ab2\ add\_less\_cancel\_right$  **by** *blast*

**have**  $\bigwedge m. C + (A1 + m) < D + (B1 + m)$

**by** (*simp add: ab1 add.commute*)

**then have**  $D + (A2 + A1) < D + (B1 + B2)$

**using**  $f1$  **by** (*metis add.assoc add.commute mset\_le\_trans*)

**then show** *?thesis*

**by** (*simp add: add.commute*)

**qed**

}

{

**assume**  $ab2: D + A2 = C + B2$

**show**  $A1 + A2 < B1 + B2$

**proof** -

**have**  $\bigwedge m. C + A1 + m < D + B1 + m$

**by** (*simp add: ab1 add.commute*)

**then have**  $D + (A2 + A1) < D + (B1 + B2)$

**by** (*metis (no\_types) ab2 add.assoc add.commute*)

**then show** *?thesis*

**by** (*simp add: add.commute*)

**qed**

}

}

{

**assume**  $ab1: A1 + C = B1 + D$

{

**assume**  $ab2: D + A2 < C + B2$

**show**  $A1 + A2 < B1 + B2$

**proof** -

**have**  $A1 + (D + A2) < B1 + (D + B2)$

**by** (*metis (no\_types) ab1 ab2 add.assoc add\_less\_cancel\_left*)

**then show** *?thesis*

**by** *simp*

**qed**

}

{

**assume**  $ab2: D + A2 = C + B2$

**have** *False*

**by** (*metis (no\_types) ab ab1 ab2 add.assoc add.commute add\_diff\_cancel\_right'*)

**thus**  $A1 + A2 < B1 + B2$

**by** *sat*

}

}

**qed**

**end**

```

instance zmultiset :: (preorder) ordered_cancel_comm_monoid_add
  by (intro_classes, unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def)

instance zmultiset :: (preorder) ordered_ab_group_add
  by (intro_classes; transfer; auto simp: equiv_zmset_def)

instantiation zmultiset :: (linorder) distrib_lattice
begin

definition inf_zmultiset :: 'a zmultiset  $\Rightarrow$  'a zmultiset  $\Rightarrow$  'a zmultiset where
  inf_zmultiset A B = (if A < B then A else B)

definition sup_zmultiset :: 'a zmultiset  $\Rightarrow$  'a zmultiset  $\Rightarrow$  'a zmultiset where
  sup_zmultiset A B = (if B > A then B else A)

lemma not_lt_iff_ge_zmset:  $\neg x < y \iff x \geq y$  for  $x\ y :: 'a$  zmultiset
  by (unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def algebra_simps)

instance
  by intro_classes (auto simp: less_eq_zmultiset_def inf_zmultiset_def sup_zmultiset_def
    dest!: not_lt_iff_ge_zmset[THEN iffD1])

end

lemma zmset_of_less: zmset_of M < zmset_of N  $\iff$  M < N
  by (clarsimp simp: zmset_of_def, transfer, simp)+

lemma zmset_of_le: zmset_of M  $\leq$  zmset_of N  $\iff$  M  $\leq$  N
  by (simp_all add: less_eq_zmultiset_def zmset_of_def; transfer; auto simp: equiv_zmset_def)

instance zmultiset :: (preorder) ordered_ab_semigroup_add
  by (intro_classes, unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def)

instance zmultiset :: (preorder) ordered_ab_semigroup_add_imp_le
  by (intro_classes; unfold less_eq_zmultiset_def; transfer; auto simp: equiv_zmset_def)

instance zmultiset :: (linorder) linordered_cancel_ab_semigroup_add
  by (intro_classes, unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def add.commute)

lemma less_mset_zmsetE:
  assumes M < N
  obtains A B C where
    M = zmset_of A + C and N = zmset_of B + C and A < B
  by (metis add_less_imp_less_right assms decompose_zmset_of2 zmset_of_less)

lemma less_eq_mset_zmsetE:
  assumes M  $\leq$  N
  obtains A B C where
    M = zmset_of A + C and N = zmset_of B + C and A  $\leq$  B
  by (metis add.commute add.right_neutral assms le_neq_trans less_imp_le less_mset_zmsetE order_refl
    zmset_of_empty)

lemma subset_eq_imp_le_zmset: M  $\subseteq_{\#z}$  N  $\implies$  M  $\leq$  N
  by (metis (no_types) add_mono_thms_linordered_semiring(3) subset_eq_imp_le_multiset
    subteq_mset_zmsetE zmset_of_le)

lemma subset_imp_less_zmset: M  $\subset_{\#z}$  N  $\implies$  M < N
  by (metis le_neq_trans subset_eq_imp_le_zmset subset_zmset_def)

lemma lt_imp_ex_zcount_lt:
  assumes m_lt_n: M < N
  shows  $\exists y.$  zcount M y < zcount N y

```

```

proof (rule ccontr, clarsimp)
  assume  $\forall y. \neg \text{zcount } M y < \text{zcount } N y$ 
  hence  $\forall y. \text{zcount } M y \geq \text{zcount } N y$ 
    by (simp add: leI)
  hence  $M \supseteq_{\#z} N$ 
    by (simp add: zmultiset_subset_eqI)
  hence  $M \geq N$ 
    by (simp add: subset_eq_imp_le_zmultiset)
  thus False
    using m_lt_n by simp
qed

end

```

## 4 Nested Multisets

```

theory Nested_Multiset
imports  $\sim\sim$ /src/HOL/Library/Multiset_Order
begin

```

```

declare multiset.map_comp [simp]
declare multiset.map_cong [cong]

```

### 4.1 Type Definition

```

datatype 'a nmultiset =
  Elem 'a
| MSet 'a nmultiset multiset

```

```

inductive no_elem where
  ( $\bigwedge X. X \in\# M \implies \text{no\_elem } X$ )  $\implies \text{no\_elem } (\text{MSet } M)$ 

```

```

inductive-set nmultiset_sub where
   $X \in\# M \implies (X, \text{MSet } M) \in \text{nmultiset\_sub}$ 

```

```

lemma wf_nmultiset_sub[simp]: wf nmultiset_sub
proof (rule wfUNIVI)
  fix P :: 'a nmultiset  $\Rightarrow$  bool and M :: 'a nmultiset
  assume IH:  $\forall M. (\forall N. (N, M) \in \text{nmultiset\_sub} \longrightarrow P N) \longrightarrow P M$ 
  show P M
    by (induct M; rule IH[rule_format]) (auto simp: nmultiset_sub.simps)
qed

```

```

primrec depth_nmultiset (|_|) where
  |Elem a| = 0
|MSet M| =
  (let X = set_mset (image_mset depth_nmultiset M)
   in if X = {} then 0 else Suc (Max X))

```

```

lemma depth_nmultiset_MSet:  $x \in\# M \implies |x| < |\text{MSet } M|$ 
by (auto simp: less_Suc_eq_le)

```

```

declare depth_nmultiset.simps(2)[simp del]

```

### 4.2 Dershowitz and Manna's Nested Multiset Order

The Dershowitz–Manna extension:

```

definition less_multiset_ext_DM where
  less_multiset_ext_DM R M N  $\longleftrightarrow$ 
  ( $\exists X Y. X \neq \{\#\} \wedge X \leq\# N \wedge M = (N - X) + Y \wedge (\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge R k a))$ )

```

```

lemma less_multiset_ext_DM_imp_mult:

```

**assumes**  
 $N\_A: \text{set\_mset } N \subseteq A$  **and**  $M\_A: \text{set\_mset } M \subseteq A$  **and**  $\text{less: less\_multiset\_ext}_{DM} R M N$   
**shows**  $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$   
**proof** –  
**from less obtain X Y where**  
 $X \neq \{\#\}$  **and**  $X \leq\# N$  **and**  $M = N - X + Y$  **and**  $\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge R k a)$   
**unfolding less\\_multiset\\_ext}\_{DM}\_def by blast**  
**with N\_A M\_A have**  $(N - X + Y, N - X + X) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$   
**by** (*intro one\_step\_implies\_mult, blast,*  
*metis (mono\_tags, lifting) case\_prodI mem\_Collect\_eq mset\_subset\_eqD mset\_subset\_eq\_add\_right subsetCE*)  
**with**  $(M = N - X + Y) (X \leq\# N)$  **show**  $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$   
**by** (*simp add: subset\_mset.diff\_add*)  
**qed**

**lemma mult\_imp\_less\_multiset\_ext}\_{DM}:**

**assumes**  
 $N\_A: \text{set\_mset } N \subseteq A$  **and**  $M\_A: \text{set\_mset } M \subseteq A$  **and**  
 $\text{trans: } \forall x \in A. \forall y \in A. \forall z \in A. R x y \longrightarrow R y z \longrightarrow R x z$  **and**  
 $\text{in\_mult: } (M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$   
**shows less\\_multiset\\_ext}\_{DM} R M N**  
**using in\_mult N\_A M\_A unfolding mult\_def less\\_multiset\\_ext}\_{DM}\_def**  
**proof induct**  
**case (base N)**  
**then obtain y M0 X where**  $N = \text{add\_mset } y M0$  **and**  $M = M0 + X$  **and**  $\forall a. a \in\# X \longrightarrow R a y$   
**unfolding mult1\_def by auto**  
**thus ?case**  
**by** (*auto intro: exI[of \_ {\#y\#}]*)  
**next**  
**case (step N N')**  
**note**  $N\_N'\_in\_mult1 = \text{this}(2)$  **and**  $ih = \text{this}(3)$  **and**  $N'\_A = \text{this}(4)$  **and**  $M\_A = \text{this}(5)$

**have**  $N\_A: \text{set\_mset } N \subseteq A$   
**using**  $N\_N'\_in\_mult1 N'\_A$  **unfolding mult1\_def by auto**

**obtain Y X where**  $y\_nemp: Y \neq \{\#\}$  **and**  $y\_sub\_N: Y \subseteq\# N$  **and**  $M\_eq: M = N - Y + X$  **and**  
 $ex\_y: \forall x. x \in\# X \longrightarrow (\exists y. y \in\# Y \wedge R x y)$   
**using**  $ih[OF N\_A M\_A]$  **by blast**

**obtain z M0 Ya where**  $N'\_eq: N' = M0 + \{\#z\#\}$  **and**  $N\_eq: N = M0 + Ya$  **and**  
 $z\_gt: \forall y. y \in\# Ya \longrightarrow y \in A \wedge z \in A \wedge R y z$   
**using**  $N\_N'\_in\_mult1[\text{unfolded mult1\_def}]$  **by auto**

**let**  $?Za = Y - Ya + \{\#z\#\}$   
**let**  $?Xa = X + Ya + (Y - Ya) - Y$

**have**  $x\_sub\_x\_ya: \text{set\_mset } ?Xa \subseteq \text{set\_mset } (X + Ya)$   
**by** (*metis diff\_subset\_eq\_self in\_diffD subsetI subset\_mset.diff\_diff\_right*)

**have**  $x\_A: \text{set\_mset } X \subseteq A$   
**using**  $M\_A M\_eq$  **by auto**  
**have**  $ya\_A: \text{set\_mset } Ya \subseteq A$   
**by** (*simp add: subsetI z\_gt*)

**have**  $ex\_y': \exists y. y \in\# Y - Ya + \{\#z\#\} \wedge R x y$  **if**  $x\_in: x \in\# X + Ya$  **for**  $x$   
**proof** (*cases x \in\# X*)  
**case True**  
**then obtain y where**  $y\_in: y \in\# Y$  **and**  $y\_gt\_x: R x y$   
**using**  $ex\_y$  **by blast**  
**show**  $?thesis$   
**proof** (*cases y \in\# Ya*)  
**case False**  
**hence**  $y \in\# Y - Ya + \{\#z\#\}$

```

    using y_in count_greater_zero_iff in_diff_count by fastforce
  thus ?thesis
    using y_gt_x by blast
next
  case True
  hence y ∈ A and z ∈ A and R y z
    using z_gt by blast+
  hence R x z
    using trans y_gt_x x_A ya_A x_in by (meson subsetCE union_iff)
  thus ?thesis
    by auto
qed
next
  case False
  hence x ∈# Ya
    using x_in by auto
  hence x ∈ A and z ∈ A and R x z
    using z_gt by blast+
  thus ?thesis
    by auto
qed

show ?case
proof (rule exI[of _ ?Za], rule exI[of _ ?Xa], intro conjI)
  show Y - Ya + {#z#} ⊆# N'
    using mset_subset_eq_mono_add subset_eq_diff_conv y_sub_N N_eq N'_eq
    by (simp add: subset_eq_diff_conv)
next
  show M = N' - (Y - Ya + {#z#}) + (X + Ya + (Y - Ya) - Y)
    unfolding M_eq N_eq N'_eq by (auto simp: multiset_eq_iff)
next
  show ∀ x. x ∈# X + Ya + (Y - Ya) - Y ⟶ (∃ y. y ∈# Y - Ya + {#z#} ∧ R x y)
    using ex_y' xa_sub_x_ya by blast
qed auto
qed

lemma less_multiset_ext_DM_iff_mult:
  assumes
    N_A: set_mset N ⊆ A and M_A: set_mset M ⊆ A and
    trans: ∀ x ∈ A. ∀ y ∈ A. ∀ z ∈ A. R x y ⟶ R y z ⟶ R x z
  shows less_multiset_ext_DM R M N ⟷ (M, N) ∈ mult {(x, y). x ∈ A ∧ y ∈ A ∧ R x y}
  using mult_imp_less_multiset_ext_DM[OF assms] less_multiset_ext_DM_imp_mult[OF N_A M_A] by blast

instantiation nmultiset :: (preorder) preorder
begin

lemma less_multiset_ext_DM_cong[fundef_cong]:
  (⋀ X Y k a. X ≠ {#} ⟹ X ≤# N ⟹ M = (N - X) + Y ⟹ k ∈# Y ⟹ R k a = S k a) ⟹
  less_multiset_ext_DM R M N = less_multiset_ext_DM S M N
  unfolding less_multiset_ext_DM_def by metis

function (sequential) less_nmultiset :: 'a nmultiset ⇒ 'a nmultiset ⇒ bool where
  less_nmultiset (Elem a) (Elem b) = (a < b)
| less_nmultiset (Elem a) (MSet M) = True
| less_nmultiset (MSet M) (Elem a) = False
| less_nmultiset (MSet M) (MSet N) = less_multiset_ext_DM less_nmultiset M N
  by pat_completeness auto

termination
  by (relation nmultiset_sub <lex*> nmultiset_sub, fastforce,
    metis nmultiset_sub.simps in_lex_prod mset_subset_eqD mset_subset_eq_add_right)

lemmas less_nmultiset_induct =
  less_nmultiset.induct[case_names Elem_Elem Elem_MSet MSet_Elem MSet_MSet]

```

**lemmas** *less\_nmultiset\_cases* =  
*less\_nmultiset.cases*[*case\_names Elem Elem Elem\_MSet MSet Elem MSet MSet*]

**lemma** *trans\_less\_nmultiset*:  
**fixes**  $X Y Z :: 'a\ nmultiset$   
**shows**  $X < Y \implies Y < Z \implies X < Z$   
**proof** (*induct*  $Max\ \{|X|, |Y|, |Z|\}$  *arbitrary*:  $X Y Z$   
*rule*: *less\_induct*)  
**case** *less*  
**from** *less*(2,3) **show** ?*case*  
**proof** (*cases*  $X$ ; *cases*  $Y$ ; *cases*  $Z$ )  
**fix**  $M N N' :: 'a\ nmultiset\ multiset$   
**define**  $A$  **where**  $A = set\_mset\ M \cup set\_mset\ N \cup set\_mset\ N'$   
**assume**  $XYZ: X = MSet\ M\ Y = MSet\ N\ Z = MSet\ N'$   
**then have** *trans*:  $\forall x \in A. \forall y \in A. \forall z \in A. x < y \longrightarrow y < z \longrightarrow x < z$   
**by** (*auto elim!*: *less*(1)[*rotated -1*] *dest!*: *depth\_nmultiset\_MSet simp add: A\_def*)  
**have**  $set\_mset\ M \subseteq A\ set\_mset\ N \subseteq A\ set\_mset\ N' \subseteq A$   
**unfolding**  $A\_def$  **by** *auto*  
**with** *less*(2,3)  $XYZ$  **show**  $X < Z$   
**by** (*auto simp: less\_multiset\_extDM\_iff\_mult[OF \_ \_ trans] mult\_def*)  
**qed** (*auto elim: less\_trans*)  
**qed**

**lemma** *irrefl\_less\_nmultiset*:  
**fixes**  $X :: 'a\ nmultiset$   
**shows**  $X < X \implies False$   
**proof** (*induct*  $X$ )  
**case** ( $MSet\ M$ )  
**from**  $MSet$ (2) **show** ?*case*  
**unfolding** *less\_nmultiset.simps less\_multiset\_extDM\_def*  
**proof** *safe*  
**fix**  $X Y :: 'a\ nmultiset\ multiset$   
**define**  $XY$  **where**  $XY = \{(x, y). x \in\# X \wedge y \in\# Y \wedge y < x\}$   
**then have** *fin*: *finite*  $XY$  **and** *trans*: *trans*  $XY$   
**by** (*auto simp: trans\_def intro: trans\_less\_nmultiset*  
*finite\_subset[OF finite\_cartesian\_product]*)  
**assume**  $X \neq \{\#\} X \subseteq\# M\ M = M - X + Y$   
**then have**  $X = Y$   
**by** (*auto simp: mset\_subset\_eq\_exists\_conv*)  
**with**  $MSet$ (1)  $\langle X \subseteq\# M \rangle$  **have** *irrefl*  $XY$   
**unfolding**  $XY\_def$  **by** (*force dest: mset\_subset\_eqD simp: irrefl\_def*)  
**with** *trans* **have** *acyclic*  $XY$   
**by** (*simp add: acyclic\_irrefl*)  
**moreover**  
**assume**  $\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge k < a)$   
**with**  $\langle X = Y \rangle \langle X \neq \{\#\} \rangle$  **have**  $\neg$  *acyclic*  $XY$   
**by** (*intro notI, elim finite\_acyclic\_wf[OF fin, elim\_format]*)  
*(auto dest!: spec[of set\_mset Y] simp: wf\_eq\_minimal XY\_def)*  
**ultimately show** *False* **by** *blast*  
**qed**  
**qed** *simp*

**lemma** *antisym\_less\_nmultiset*:  
**fixes**  $X Y :: 'a\ nmultiset$   
**shows**  $X < Y \implies Y < X \implies False$   
**using** *trans\_less\_nmultiset irrefl\_less\_nmultiset* **by** *blast*

**definition** *less\_eq\_nmultiset* ::  $'a\ nmultiset \Rightarrow 'a\ nmultiset \Rightarrow bool$  **where**  
*less\_eq\_nmultiset*  $X Y = (X < Y \vee X = Y)$

**instance**  
**proof** (*intro\_classes, goal\_cases less\_def refl trans*)



```

case (less_def x y)
then show ?case
  unfolding less_eq_nmultiset_def by (metis irrefl_less_nmultiset antisym_less_nmultiset)
next
case (refl x)
then show ?case
  unfolding less_eq_nmultiset_def by blast
next
case (trans x y z)
then show ?case
  unfolding less_eq_nmultiset_def by (metis trans_less_nmultiset)
qed

```

```

lemma less_multiset_extDM_less: less_multiset_extDM op < = (op <)
  unfolding fun_eq_iff less_multiset_extDM_def less_multisetDM by blast

```

end

```

instantiation nmultiset :: (order) order
begin

```

```

instance
proof (intro_classes, goal_cases antisym)
case (antisym x y)
then show ?case
  unfolding less_eq_nmultiset_def by (metis trans_less_nmultiset irrefl_less_nmultiset)
qed

```

end

```

instantiation nmultiset :: (linorder) linorder
begin

```

```

lemma total_less_nmultiset:
  fixes X Y :: 'a nmultiset
  shows  $\neg X < Y \implies Y \neq X \implies Y < X$ 
proof (induct X Y rule: less_nmultiset_induct)
case (MSet_MSet M N)
then show ?case
  unfolding nmultiset.inject less_nmultiset.simps less_multiset_extDM_less less_multisetHO
  by (metis add_diff_cancel_left' count_inI diff_add_zero in_diff_count less_imp_not_less
    mset_subset_eq_multiset_union_diff_commute subset_mset.order.refl)
qed auto

```

```

instance
proof (intro_classes, goal_cases total)
case (total x y)
then show ?case
  unfolding less_eq_nmultiset_def by (metis total_less_nmultiset)
qed

```

end

```

lemma less_depth_nmultiset_imp_less_nmultiset:
 $|X| < |Y| \implies X < Y$ 
proof (induct X Y rule: less_nmultiset_induct)
case (MSet_MSet M N)
then show ?case
proof (cases M = {#})
case False
with MSet_MSet show ?thesis
  by (auto 0 4 simp: depth_nmultiset.simps(2) less_multiset_extDM_def not_le Max_gr_iff
    intro: exI[of _ N] split: if_splits)

```

**qed** (auto simp: depth\_nmultiset.simps(2) less\_multiset\_ext<sub>DM</sub>\_less split: if\_splits)  
**qed** simp\_all

**lemma** less\_nmultiset\_imp\_le\_depth\_nmultiset:

$X < Y \implies |X| \leq |Y|$

**proof** (induct X Y rule: less\_nmultiset\_induct)

**case** (MSet\_MSet M N)

**then have**  $M < N$  **by** (simp add: less\_multiset\_ext<sub>DM</sub>\_less)

**then show** ?thesis

**proof** (cases  $M = \{\#\}$   $N = \{\#\}$  rule: bool.exhaust[case\_product bool.exhaust])

**case** [simp]: False\_False

**show** ?thesis

**unfolding** depth\_nmultiset.simps(2) Let\_def False\_False Suc\_le\_mono set\_image\_mset image\_is\_empty  
set\_mset\_eq\_empty\_iff if\_False

**proof** (intro iffD2[OF Max\_le\_iff] ballI iffD2[OF Max\_ge\_iff]; (elim imageE)?; simp)

**fix** X

**assume** [simp]:  $X \in \# M$

**with** MSet\_MSet(1)[of N M X, simplified]  $\langle M < N \rangle$  **show**  $\exists Y \in \# N. |X| \leq |Y|$

**by** (meson ex\_gt\_imp\_less\_multiset less\_asym' less\_depth\_nmultiset\_imp\_less\_nmultiset  
not\_le\_imp\_less)

**qed**

**qed** (auto simp: depth\_nmultiset.simps(2))

**qed** simp\_all

**lemma** eq\_mlex\_I:

**fixes**  $f :: 'a \Rightarrow \text{nat}$  **and**  $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

**assumes**  $\bigwedge X Y. f X < f Y \implies R X Y$  **and** antisymP R

**shows**  $\{(X, Y). R X Y\} = f < *mlex* > \{(X, Y). f X = f Y \wedge R X Y\}$

**proof** safe

**fix** X Y

**assume** R X Y

**show**  $(X, Y) \in f < *mlex* > \{(X, Y). f X = f Y \wedge R X Y\}$

**proof** (cases  $f X f Y$  rule: linorder\_cases)

**case** less

**with**  $\langle R X Y \rangle$  **show** ?thesis

**by** (elim mlex\_less)

**next**

**case** equal

**with**  $\langle R X Y \rangle$  **show** ?thesis

**by** (intro mlex\_leq) auto

**next**

**case** greater

**from**  $\langle R X Y \rangle$  **assms**(1)[OF greater]  $\langle \text{antisymP } R \rangle$  greater **show** ?thesis

**unfolding** antisym\_def **by** auto

**qed**

**next**

**fix** X Y

**assume**  $(X, Y) \in f < *mlex* > \{(X, Y). f X = f Y \wedge R X Y\}$

**then show** R X Y

**unfolding** mlex\_prod\_def **by** (auto simp: assms(1))

**qed**

**instantiation** nmultiset :: (wellorder) wellorder

**begin**

**lemma** depth\_nmultiset\_eq\_0[simp]:  $|X| = 0 \iff (X = \text{MSet } \{\#\} \vee (\exists x. X = \text{Elem } x))$

**by** (cases X; simp add: depth\_nmultiset.simps(2))

**lemma** depth\_nmultiset\_eq\_Suc[simp]:  $|X| = \text{Suc } n \iff$

$(\exists N. X = \text{MSet } N \wedge (\exists Y \in \# N. |Y| = n) \wedge (\forall Y \in \# N. |Y| \leq n))$

**by** (cases X; auto simp add: depth\_nmultiset.simps(2) intro!: Max\_eqI)

(metis (no\_types, lifting) Max\_in\_finite\_imageI finite\_set\_mset imageE image\_is\_empty  
set\_mset\_eq\_empty\_iff)

```

lemma wf_less_nmultiset_depth:
  wf {(X :: 'a nmultiset, Y). |X| = i ∧ |Y| = i ∧ X < Y}
proof (induct i rule: less_induct)
  case (less i)
  define A :: 'a nmultiset set where A = {X. |X| < i}
  from less have wf ((depth_nmultiset :: 'a nmultiset ⇒ nat) < *mlex* >
    (⋃ j < i. {(X, Y). |X| = j ∧ |Y| = j ∧ X < Y}))
  by (intro wf_UN wf_mlex) auto
  then have *: wf (mult {(X :: 'a nmultiset, Y). X ∈ A ∧ Y ∈ A ∧ X < Y})
  by (intro wf_mult, elim wf_subset) (force simp: A_def mlex_prod_def not_less_iff_gr_or_eq
    dest!: less_depth_nmultiset_imp_less_nmultiset)
  show ?case
  proof (cases i)
  case 0
  then show ?thesis
  by (auto simp: inj_on_def intro!: wf_subset[OF
    wf_Un[OF wf_map_prod_image[OF wf, of Elem] wf_UN[of Elem 'UNIV λx. {(x, MSet {#})}]]])
  next
  case (Suc n)
  then show ?thesis
  by (intro wf_subset[OF wf_map_prod_image[OF *, of MSet]])
    (auto 0 4 simp: map_prod_def image_iff inj_on_def A_def
    dest!: less_multiset_ext_DM_imp_mult[of _ A, rotated -1] split: prod.splits)
  qed
qed

```

```

lemma wf_less_nmultiset: wf {(X :: 'a nmultiset, Y :: 'a nmultiset). X < Y} (is wf ?R)
proof -
  have ?R = depth_nmultiset < *mlex* > {(X, Y). |X| = |Y| ∧ X < Y}
  by (rule eq_mlex_I) (auto simp: antisym_def less_depth_nmultiset_imp_less_nmultiset)
  also have {(X, Y). |X| = |Y| ∧ X < Y} = (⋃ i. {(X, Y). |X| = i ∧ |Y| = i ∧ X < Y})
  by auto
  finally show ?thesis
  by (fastforce intro: wf_mlex wf_Union wf_less_nmultiset_depth)
qed

```

```

instance using wf_less_nmultiset unfolding wf_def mem_Collect_eq prod.case by intro_classes metis

```

```

end

```

```

end

```

## 5 Hereditar(il)y (Finite) Multisets

```

theory Hereditary_Multiset
imports Multiset_More_Nested_Multiset
begin

```

### 5.1 Type Definition

```

datatype hmultiset =
  HMSet (hmsetmset: hmultiset multiset)

```

```

lemma hmsetmset_inject[simp]: hmsetmset A = hmsetmset B ⟷ A = B
  by (blast intro: hmultiset.expand)

```

```

primrec Rep_hmultiset :: hmultiset ⇒ unit nmultiset where
  Rep_hmultiset (HMSet M) = MSet (image_mset Rep_hmultiset M)

```

```

primrec (nonexhaustive) Abs_hmultiset :: unit nmultiset ⇒ hmultiset where
  Abs_hmultiset (MSet M) = HMSet (image_mset Abs_hmultiset M)

```

```

lemma type_definition_hmultiset:
  type_definition Rep_hmultiset Abs_hmultiset {X. no_elem X}
proof (unfold_locales, unfold mem_Collect_eq)
  fix X
  show no_elem (Rep_hmultiset X)
    by (induct X) (auto intro!: no_elem.intros)
  show Abs_hmultiset (Rep_hmultiset X) = X
    by (induct X) auto
next
  fix Y :: unit nmultiset
  assume no_elem Y
  thus Rep_hmultiset (Abs_hmultiset Y) = Y
    by (induct Y rule: no_elem.induct) auto
qed

setup-lifting type_definition_hmultiset

lemma HMSet_alt: HMSet = Abs_hmultiset o MSet o image_mset Rep_hmultiset
  by (auto simp: type_definition.Rep_inverse[OF type_definition_hmultiset])

lemma HMSet_transfer[transfer_rule]: rel_fun (rel_mset pcr_hmultiset) pcr_hmultiset MSet HMSet
  unfolding HMSet_alt by (force simp: rel_fun_def multiset.in_rel nmultiset.rel_eq
    pcr_hmultiset_def cr_hmultiset_def
    type_definition.Rep_inverse[OF type_definition_hmultiset] intro!: multiset.map_cong)

```

## 5.2 Restriction of Dershowitz and Manna's Nested Multiset Order

```

instantiation hmultiset :: linorder
begin

```

```

lift-definition less_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  bool is op < .
lift-definition less_eq_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  bool is op  $\leq$  .

```

```

instance
  by (intro_classes; transfer) auto

```

```

end

```

```

lemma less_HMSet_iff_less_multiset_ext_DM: HMSet M < HMSet N  $\longleftrightarrow$  less_multiset_ext_DM (op <) M N
  unfolding less_multiset_ext_DM_def
proof (transfer, unfold less_nmultiset.simps less_multiset_ext_DM_def, safe)
  fix M N :: unit nmultiset multiset and X Y
  assume *: pred_mset no_elem (N - X + Y) pred_mset no_elem N X  $\neq$  {#}
    X  $\subseteq$  # N  $\forall$  k. k  $\in$  # Y  $\longrightarrow$  ( $\exists$  a. a  $\in$  # X  $\wedge$  k < a)
  then have X  $\in$  Collect (pred_mset no_elem)
    unfolding multiset.pred_set mem_Collect_eq by (metis rev_subsetD set_mset_mono)
  from *(1) have Y  $\in$  Collect (pred_mset no_elem)
    unfolding multiset.pred_set mem_Collect_eq by (metis add_diff_cancel_left' in_diffD)
  show
     $\exists$  X'  $\in$  Collect (pred_mset no_elem).  $\exists$  Y'  $\in$  Collect (pred_mset no_elem).
      X'  $\neq$  {#}  $\wedge$  filter_mset no_elem X'  $\subseteq$  # filter_mset no_elem N  $\wedge$  N - X + Y = N - X' + Y'  $\wedge$ 
      ( $\forall$  k  $\in$  Collect no_elem. k  $\in$  # Y'  $\longrightarrow$  ( $\exists$  a  $\in$  Collect no_elem. a  $\in$  # X'  $\wedge$  k < a))
    by (rule beaI[OF _ (X  $\in$  Collect (pred_mset no_elem))],
      rule beaI[OF _ (Y  $\in$  Collect (pred_mset no_elem))])
      (insert *; force simp: set_mset_diff multiset.pred_set multiset_filter_mono)
next
  fix M N :: unit nmultiset multiset and X Y
  assume *:
    pred_mset no_elem (N - X + Y) pred_mset no_elem N pred_mset no_elem X pred_mset no_elem Y
    X  $\neq$  {#} filter_mset no_elem X  $\subseteq$  # filter_mset no_elem N
     $\forall$  k  $\in$  Collect no_elem. k  $\in$  # Y  $\longrightarrow$  ( $\exists$  a  $\in$  Collect no_elem. a  $\in$  # X  $\wedge$  k < a)
  then have [simp]: filter_mset no_elem X = X filter_mset no_elem N = N
    unfolding filter_mset_eq_conv by (auto simp: multiset.pred_set)
  show

```

$\exists X' Y'. X' \neq \{\#\} \wedge X' \subseteq\# N \wedge N - X + Y = N - X' + Y' \wedge$   
 $(\forall k. k \in\# Y' \longrightarrow (\exists a. a \in\# X' \wedge k < a))$   
**by** (rule exI[of \_ X], rule exI[of \_ Y]) (insert \*; auto simp: multiset.pred\_set)

qed

**lemma** *hmsetmset\_less[simp]*:  $hmsetmset\ M < hmsetmset\ N \longleftrightarrow M < N$   
**by** (cases M, cases N, simp add: less\_multiset\_ext<sub>DM</sub>\_less less\_HMSet\_iff\_less\_multiset\_ext<sub>DM</sub>)

**lemma** *hmsetmset\_le[simp]*:  $hmsetmset\ M \leq hmsetmset\ N \longleftrightarrow M \leq N$   
**unfolding** le\_less *hmsetmset\_less* **by** (metis hmultiset.collapse)

**lemma** *wf\_less\_hmultiset*:  $wf\ \{(X :: hmultiset, Y :: hmultiset). X < Y\}$   
**unfolding** wf\_eq\_minimal **by** transfer (insert wf\_less\_nmultiset[unfolded wf\_eq\_minimal], fast)

**instance** *hmultiset* :: wellorder  
**using** wf\_less\_hmultiset **unfolding** wf\_def mem\_Collect\_eq prod.case **by** intro\_classes metis

**lemma** *HMSet\_less[simp]*:  $HMSet\ M < HMSet\ N \longleftrightarrow M < N$   
**by** (simp add: less\_HMSet\_iff\_less\_multiset\_ext<sub>DM</sub>\_less\_multiset\_ext<sub>DM</sub>\_less)

**lemma** *HMSet\_le[simp]*:  $HMSet\ M \leq HMSet\ N \longleftrightarrow M \leq N$   
**by** (simp add: hmsetmset\_le[symmetric])

**inductive-set** *hmultiset\_sub* **where**  
 $X \in\# M \implies (X, HMSet\ M) \in hmultiset\_sub$

**lemma** *wf\_hmultiset\_sub[simp]*:  $wf\ hmultiset\_sub$   
**proof** (rule wfUNIVI)  
**fix** P **and** M :: hmultiset  
**assume** ih:  $\forall M. (\forall N. (N, M) \in hmultiset\_sub \longrightarrow P\ N) \longrightarrow P\ M$   
**show** P M  
**by** (induct M; rule ih[rule\_format]) (auto simp: hmultiset\_sub.simps)

qed

### 5.3 Disjoint Union and Truncated Difference

**instantiation** *hmultiset* :: cancel\_comm\_monoid\_add  
**begin**

**definition** *zero\_hmultiset* :: hmultiset **where**  
 $0 = HMSet\ \{\#\}$

**lemma** *hmsetmset\_empty\_iff[simp]*:  $hmsetmset\ n = \{\#\} \longleftrightarrow n = 0$   
**unfolding** zero\_hmultiset\_def **by** (cases n) simp

**lemma**  
*HMSet\_eq\_0\_iff[simp]*:  $HMSet\ m = 0 \longleftrightarrow m = \{\#\}$  **and**  
*zero\_eq\_HMSet[simp]*:  $0 = HMSet\ m \longleftrightarrow m = \{\#\}$   
**by** (cases m) (auto simp: zero\_hmultiset\_def)

**definition** *plus\_hmultiset* :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset **where**  
 $A + B = HMSet\ (hmsetmset\ A + hmsetmset\ B)$

**definition** *minus\_hmultiset* :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset **where**  
 $A - B = HMSet\ (hmsetmset\ A - hmsetmset\ B)$

**instance**  
**by** intro\_classes (auto simp: zero\_hmultiset\_def plus\_hmultiset\_def minus\_hmultiset\_def)

end

**lemma** *HMSet\_plus*:  $HMSet\ (A + B) = HMSet\ A + HMSet\ B$   
**by** (simp add: plus\_hmultiset\_def)

```

lemma HMSet_diff:  $HMSet (A - B) = HMSet A - HMSet B$ 
  by (simp add: minus_hmultiset_def)

lemma hmsetmset_plus:  $hmsetmset (M + N) = hmsetmset M + hmsetmset N$ 
  by (simp add: plus_hmultiset_def)

lemma hmsetmset_diff:  $hmsetmset (M - N) = hmsetmset M - hmsetmset N$ 
  by (simp add: minus_hmultiset_def)

lemma diff_diff_add_hmset[simp]:  $a - b - c = a - (b + c)$  for  $a b c :: hmultiset$ 
  by (fact diff_diff_add)

instance hmultiset :: comm_monoid_diff
  by (intro_classes (auto simp: zero_hmultiset_def minus_hmultiset_def))

instantiation hmultiset :: order_bot
begin

definition bot_hmultiset :: hmultiset where
  bot_hmultiset = 0

instance
proof (intro_classes, unfold bot_hmultiset_def zero_hmultiset_def, transfer, goal_cases bot_least)
  case (bot_least x)
  thus ?case
    by (induct x rule: no_elem.induct (auto simp: less_eq_nmultiset_def less_multiset_ext_DM_less))
qed

end

instance hmultiset :: no_top
proof (intro_classes, goal_cases gt_ex)
  case (gt_ex a)
  have  $a < a + HMSet \{\#HMSet \{\#\}\#$ 
    by (simp add: plus_hmultiset_def hmsetmset_less[symmetric] less_multiset_ext_DM_def)
  thus ?case
    by (rule exI)
qed

instance hmultiset :: ordered_cancel_comm_monoid_add
  by (intro_classes (simp del: hmsetmset_less add: plus_hmultiset_def order_le_less hmsetmset_less[symmetric] less_multiset_ext_DM_less))

instance hmultiset :: ordered_ab_semigroup_add_imp_le
  by (intro_classes (simp add: plus_hmultiset_def order_le_less less_multiset_ext_DM_less))

lemma le_minus_plus_same_hmset:  $m \leq m - n + n$  for  $m n :: hmultiset$ 
proof (cases m n rule: hmultiset.exhaust[case_product hmultiset.exhaust])
  case (HMSet HMSet m0 n0)
  note  $m = this(1)$  and  $n = this(2)$ 

  {
    assume  $n0 \subseteq\# m0$ 
    hence  $m0 = m0 - n0 + n0$ 
    by simp
  }
  moreover
  {
    assume  $\neg n0 \subseteq\# m0$ 
    hence  $m0 \subset\# m0 - n0 + n0$ 
    by (metis mset_subset_eq_add_right subset_eq_diff_conv subset_mset.dual_order.refl subset_mset_def)
    hence  $m0 < m0 - n0 + n0$ 
  }

```

```

    by (rule subset_imp_less_multiset)
  }
  ultimately show ?thesis
  by (simp (no_asm) add: m n order_le_less_plus_hmultiset_def minus_hmultiset_def) blast
qed

```

## 5.4 Infimum and Supremum

```

instantiation hmultiset :: distrib_lattice
begin

```

```

definition inf_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where
  inf_hmultiset A B = (if A < B then A else B)

```

```

definition sup_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where
  sup_hmultiset A B = (if B > A then B else A)

```

```

instance
  by intro_classes (auto simp: inf_hmultiset_def sup_hmultiset_def)

```

```

end

```

```

end

```

## 6 Signed Hereditar(il)y (Finite) Multisets

```

theory Signed_Hereditary_Multiset
imports Signed_Multiset Hereditary_Multiset
begin

```

### 6.1 Type Definition

```

typedef zhmultiset = UNIV :: hmultiset zmultiset set
  morphisms zhmsetmset ZHMSet
  by simp

```

```

lemmas ZHMSet_inverse[simp] = ZHMSet_inverse[OF UNIV_I]
lemmas ZHMSet_inject[simp] = ZHMSet_inject[OF UNIV_I UNIV_I]

```

```

declare
  zhmsetmset_inverse [simp]
  zhmsetmset_inject [simp]

```

```

setup-lifting type_definition_zhmultiset

```

### 6.2 Multiset Order

```

instantiation zhmultiset :: linorder
begin

```

```

lift-definition less_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  bool is op < .
lift-definition less_eq_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  bool is op  $\leq$  .

```

```

instance
  by (intro_classes; transfer) auto

```

```

end

```

```

lemmas ZHMSet_less[simp] = less_zhmultiset.abs_eq
lemmas ZHMSet_le[simp] = less_eq_zhmultiset.abs_eq
lemmas zhmsetmset_less[simp] = less_zhmultiset.rep_eq[symmetric]
lemmas zhmsetmset_le[simp] = less_eq_zhmultiset.rep_eq[symmetric]

```

### 6.3 Embedding and Projections of Syntactic Ordinals

**abbreviation**  $zhmset\_of :: hmset \Rightarrow zhmultiset$  **where**  
 $zhmset\_of M \equiv ZHMSet (zmset\_of (hmsetmset M))$

**lemma**  $zhmset\_of\_inject[simp]$ :  $zhmset\_of M = zhmset\_of N \iff M = N$   
**by**  $simp$

**lemma**  $zhmset\_of\_less$ :  $zhmset\_of M < zhmset\_of N \iff M < N$   
**by** ( $simp$   $add$ :  $zmset\_of\_less$ )

**lemma**  $zhmset\_of\_le$ :  $zhmset\_of M \leq zhmset\_of N \iff M \leq N$   
**by** ( $simp$   $add$ :  $zmset\_of\_le$ )

**abbreviation**  $hmset\_pos :: zhmultiset \Rightarrow hmset$  **where**  
 $hmset\_pos M \equiv HMSet (mset\_pos (zhmsetmset M))$

**abbreviation**  $hmset\_neg :: zhmultiset \Rightarrow hmset$  **where**  
 $hmset\_neg M \equiv HMSet (mset\_neg (zhmsetmset M))$

### 6.4 Disjoint Union and Difference

**instantiation**  $zhmultiset :: cancel\_comm\_monoid\_add$   
**begin**

**lift-definition**  $zero\_zhmultiset :: zhmultiset$  **is**  $\{\#\}_z$  .

**lift-definition**  $plus\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset$  **is**  
 $\lambda A B. A + B$  .

**lift-definition**  $minus\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset$  **is**  
 $\lambda A B. A - B$  .

**lemmas**  $ZHMSet\_plus = plus\_zhmultiset.abs\_eq[symmetric]$

**lemmas**  $ZHMSet\_diff = minus\_zhmultiset.abs\_eq[symmetric]$

**lemmas**  $zhmsetmset\_plus = plus\_zhmultiset.rep\_eq$

**lemmas**  $zhmsetmset\_diff = minus\_zhmultiset.rep\_eq$

**lemma**  $zhmset\_of\_plus$ :  $zhmset\_of (A + B) = zhmset\_of A + zhmset\_of B$   
**by** ( $simp$   $add$ :  $hmsetmset\_plus$   $ZHMSet\_plus$   $zmset\_of\_plus$ )

**lemma**  $hmsetmset\_0[simp]$ :  $hmsetmset 0 = \{\#\}$   
**by** ( $rule$   $zhmultiset.inject[THEN iffD1]$ ) ( $simp$   $add$ :  $zero\_hmsetmset\_def$ )

**instance**

**by** ( $intro\_classes$ ;  $transfer$ ) ( $auto$   $intro$ :  $linordered\_field\_class.sign\_simps(1)$   $add.commute$ )

**end**

**lemma**  $zhmset\_of\_0$ :  $zhmset\_of 0 = 0$   
**by** ( $simp$   $add$ :  $zero\_zhmultiset\_def$ )

**lemma**  $hmset\_pos\_plus$ :

$hmset\_pos (A + B) = (hmset\_pos A - hmset\_neg B) + (hmset\_pos B - hmset\_neg A)$

**by** ( $simp$   $add$ :  $HMSet\_diff$   $HMSet\_plus$   $zhmsetmset\_plus$ )

**lemma**  $hmset\_neg\_plus$ :

$hmset\_neg (A + B) = (hmset\_neg A - hmset\_pos B) + (hmset\_neg B - hmset\_pos A)$

**by** ( $simp$   $add$ :  $HMSet\_diff$   $HMSet\_plus$   $zhmsetmset\_plus$ )

**lemma**  $zhmset\_pos\_neg\_partition$ :  $M = zhmset\_of (hmset\_pos M) - zhmset\_of (hmset\_neg M)$   
**by** ( $cases$   $M$ ,  $simp$   $add$ :  $ZHMSet\_diff[symmetric]$ ,  $rule$   $mset\_pos\_neg\_partition$ )

**lemma**  $zhmset\_pos\_as\_neg$ :  $zhmset\_of (hmset\_pos M) = zhmset\_of (hmset\_neg M) + M$



```

using mset_pos_as_neg zhmsetmset_plus zhmsetmset_inject by fastforce

lemma zhmset_neg_as_pos: zhmset_of (hmset_neg M) = zhmset_of (hmset_pos M) - M
using zhmsetmset_diff mset_neg_as_pos zhmsetmset_inject by fastforce

lemma hmset_pos_neg_dual:
  hmset_pos a + hmset_pos b + (hmset_neg a - hmset_pos b) + (hmset_neg b - hmset_pos a) =
  hmset_neg a + hmset_neg b + (hmset_pos a - hmset_neg b) + (hmset_pos b - hmset_neg a)
by (simp add: HMSet_plus[symmetric] HMSet_diff[symmetric]) (rule mset_pos_neg_dual)

lemma zhmset_of_sum_list: zhmset_of (sum_list Ms) = sum_list (map zhmset_of Ms)
by (induct Ms) (auto simp: zero_zhmultiset_def zhmset_of_plus)

lemma less_hmset_zhmsetE:
assumes m_lt_n: M < N
obtains A B C where M = zhmset_of A + C and N = zhmset_of B + C and A < B
by (rule less_mset_zmsetE[OF m_lt_n[folded zhmsetmset_less]])
  (metis hmsetmset_less hmultiset.sel ZHMSet_plus zhmsetmset_inverse)

lemma less_eq_hmset_zhmsetE:
assumes m_le_n: M ≤ N
obtains A B C where M = zhmset_of A + C and N = zhmset_of B + C and A ≤ B
by (rule less_eq_mset_zmsetE[OF m_le_n[folded zhmsetmset_le]])
  (metis hmsetmset_le hmultiset.sel ZHMSet_plus zhmsetmset_inverse)

instantiation zhmultiset :: ab_group_add
begin

lift-definition uminus_zhmultiset :: zhmultiset ⇒ zhmultiset is λA. - A .

lemmas ZHMSet_uminus = uminus_zhmultiset.abs_eq[symmetric]
lemmas zhmsetmset_uminus = uminus_zhmultiset.rep_eq

instance
by (intro_classes; transfer; simp)

end

6.5 Infimum and Supremum

instance zhmultiset :: ordered_cancel_comm_monoid_add
by (intro_classes; transfer) (auto simp: add_left_mono)

instance zhmultiset :: ordered_ab_group_add
by (intro_classes; transfer; simp)

instantiation zhmultiset :: distrib_lattice
begin

definition inf_zhmultiset :: zhmultiset ⇒ zhmultiset ⇒ zhmultiset where
  inf_zhmultiset A B = (if A < B then A else B)

definition sup_zhmultiset :: zhmultiset ⇒ zhmultiset ⇒ zhmultiset where
  sup_zhmultiset A B = (if B > A then B else A)

instance
by intro_classes (auto simp: inf_zhmultiset_def sup_zhmultiset_def)

end

end

```

## 7 Syntactic Ordinals in Cantor Normal Form

```
theory Syntactic_Ordinal
imports Hereditary_Multiset ~~/src/HOL/Library/Product_Order ~~/src/HOL/Library/Extended_Nat
begin
```

### 7.1 Natural (Hessenberg) Product

```
instantiation hmultiset :: comm_semiring_1
begin
```

```
definition one_hmultiset :: hmultiset where
  1 = HMSet {#0#}
```

```
definition times_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where
  A * B = HMSet (image_mset (case_prod (op +)) (hmultiset A  $\times$  hmultiset B))
```

```
lemma hmultiset_times:
  hmultiset (m * n) = image_mset (case_prod (op +)) (hmultiset m  $\times$  hmultiset n)
  unfolding times_hmultiset_def by simp
```

instance

```
proof (intro_classes, goal_cases assoc comm one distrib_plus zeroL zeroR zero_one)
  case (assoc a b c)
  thus ?case
    by (auto simp: times_hmultiset_def Times_mset_image_mset1 Times_mset_image_mset2
      Times_mset_assoc ac_simps intro: multiset.map_cong)
next
  case (comm a b)
  thus ?case
    unfolding times_hmultiset_def
    by (subst product_swap_mset[symmetric]) (auto simp: ac_simps intro: multiset.map_cong)
next
  case (one a)
  thus ?case
    by (auto simp: one_hmultiset_def times_hmultiset_def Times_mset_single_left)
next
  case (distrib_plus a b c)
  thus ?case
    by (auto simp: plus_hmultiset_def times_hmultiset_def)
next
  case (zeroL a)
  thus ?case
    by (auto simp: times_hmultiset_def)
next
  case (zeroR a)
  thus ?case
    by (auto simp: times_hmultiset_def)
next
  case zero_one
  thus ?case
    by (auto simp: one_hmultiset_def)
qed
```

end

```
lemma empty_times_left_hmultiset[simp]: HMSet {#} * M = 0
  by (simp add: times_hmultiset_def)
```

```
lemma empty_times_right_hmultiset[simp]: M * HMSet {#} = 0
  by (metis mult_zero_right zero_hmultiset_def)
```

```
lemma singleton_times_left_hmultiset[simp]:
  HMSet {#M#} * N = HMSet (image_mset ((op +) M) (hmultiset N))
```

by (simp add: times\_hmultiset\_def Times\_mset\_single\_left)

**lemma** singleton\_times\_right\_hmset[simp]:  
 $N * H\text{MSet } \{\#M\# \} = H\text{MSet } (\text{image\_mset } ((\text{op } +) M) (\text{hmsetmset } N))$   
 by (metis mult.commute singleton\_times\_left\_hmset)

## 7.2 Inequalities

**definition** plus\_nmultiset :: unit nmultiset  $\Rightarrow$  unit nmultiset  $\Rightarrow$  unit nmultiset **where**  
 plus\_nmultiset X Y = Rep\_hmultiset (Abs\_hmultiset X + Abs\_hmultiset Y)

**lemma** plus\_nmultiset\_mono:  
**assumes** less: (X, Y) < (X', Y') **and** no\_elem: no\_elem X no\_elem Y no\_elem X' no\_elem Y'  
**shows** plus\_nmultiset X Y < plus\_nmultiset X' Y'  
**using** less[unfolded less\_le\_not\_le] no\_elem  
**by** (auto simp: plus\_nmultiset\_def plus\_hmultiset\_def less\_multiset\_ext\_DM\_less less\_eq\_nmultiset\_def union\_less\_mono type\_definition.Abs\_inverse[OF type\_definition\_hmultiset, simplified] elim!: no\_elem.cases)

**lemma** plus\_hmultiset\_transfer[transfer\_rule]:  
 (rel\_fun pcr\_hmultiset (rel\_fun pcr\_hmultiset pcr\_hmultiset)) plus\_nmultiset op +  
**unfolding** rel\_fun\_def plus\_nmultiset\_def pcr\_hmultiset\_def nmultiset.rel\_eq eq\_OO cr\_hmultiset\_def  
**by** (auto simp: type\_definition.Rep\_inverse[OF type\_definition\_hmultiset])

**lemma** Times\_mset\_monoL:  
**assumes** less: M < N **and** Z\_nemp: Z  $\neq$  {#}  
**shows** M  $\times$  mset Z < N  $\times$  mset Z

**proof** –

**obtain** Y X **where**

Y\_nemp: Y  $\neq$  {#} **and** Y\_sub\_N: Y  $\subseteq$  # N **and** M\_eq: M = N - Y + X **and**  
 ex\_Y:  $\forall x. x \in \# X \longrightarrow (\exists y. y \in \# Y \wedge x < y)$   
**using** less[unfolded less\_multiset\_DM] **by** blast

**let** ?X = X  $\times$  mset Z

**let** ?Y = Y  $\times$  mset Z

**show** ?thesis

**unfolding** less\_multiset\_DM

**proof** (intro exI conjI)

**show** M  $\times$  mset Z = N  $\times$  mset Z - ?Y + ?X

**unfolding** M\_eq **by** (auto simp: Sigma\_mset\_Diff\_distrib1)

**next**

**obtain** y **where** y:  $\forall x. x \in \# X \longrightarrow y x \in \# Y \wedge x < y x$

**using** ex\_Y **by** moura

**show**  $\forall x. x \in \# ?X \longrightarrow (\exists y. y \in \# ?Y \wedge x < y)$

**proof** (intro allI impI)

**fix** x

**assume** x  $\in$  # ?X

**thus**  $\exists y. y \in \# ?Y \wedge x < y$

**using** y **by** (intro exI[of \_ (y (fst x), snd x)]) (auto simp: less\_le\_not\_le)

**qed**

**qed** (auto simp: Z\_nemp Y\_nemp Y\_sub\_N Sigma\_mset\_mono)

**qed**

**lemma** times\_hmultiset\_monoL:

**fixes** a b c :: hmultiset

**shows** a < b  $\implies$  0 < c  $\implies$  a \* c < b \* c

**by** (cases a, cases b, cases c, hypsubst\_thin,

unfold times\_hmultiset\_def zero\_hmultiset\_def hmultiset.sel, transfer,

auto simp: less\_multiset\_ext\_DM\_less multiset.pred\_set intro!: image\_mset\_mono Times\_mset\_monoL

elim!: plus\_nmultiset\_mono)

**instance** hmultiset :: linordered\_semiring\_strict

by *intro\_classes (subst (1 2) mult.commute, rule times\_hmultiset\_monoL)*

**lemma** *zero\_le\_hmset[simp]: 0 ≤ M for M :: hmultiset*  
by (*simp add: order\_le\_less*) (*metis hmsetmset\_less le\_multiset\_empty\_left hmsetmset\_empty\_iff*)

**lemma** *mult\_le\_mono1\_hmset: i ≤ j ⇒ i \* k ≤ j \* k for i j k :: hmultiset*  
by (*simp add: mult\_right\_mono*)

**lemma** *mult\_le\_mono2\_hmset: i ≤ j ⇒ k \* i ≤ k \* j for i j k :: hmultiset*  
by (*simp add: mult\_left\_mono*)

**lemma** *mult\_le\_mono\_hmset: i ≤ j ⇒ k ≤ l ⇒ i \* k ≤ j \* l for i j k l :: hmultiset*  
by (*simp add: mult\_mono*)

**lemma**  
*le\_add1\_hmset: n ≤ n + m and*  
*le\_add2\_hmset: n ≤ m + n for n :: hmultiset*  
by *simp+*

**lemma** *le\_zero\_eq\_hmset[simp]: M ≤ 0 ⇔ M = 0 for M :: hmultiset*  
**proof** (*cases M*)  
case (*HMSet x*)  
thus *?thesis*  
by (*cases x (auto simp: zero\_hmultiset\_def order\_le\_less less\_multiset\_ext\_DM\_less)*)  
**qed**

**lemma** *not\_less\_zero\_hmset[simp]: ¬ M < 0 for M :: hmultiset*  
using *not\_le zero\_le\_hmset* by *blast*

**lemma** *not\_gr\_zero\_hmset[simp]: ¬ 0 < M ⇔ M = 0 for M :: hmultiset*  
using *neqE not\_less\_zero\_hmset* by *blast*

**lemma** *zero\_less\_iff\_neq\_zero\_hmset: 0 < M ⇔ M ≠ 0 for M :: hmultiset*  
using *not\_gr\_zero\_hmset* by *blast*

**lemma** *gr\_zeroI\_hmset: (M = 0 ⇒ False) ⇒ 0 < M for M :: hmultiset*  
by (*rule zero\_less\_iff\_neq\_zero\_hmset[THEN iffD2]*) *iprover*

**lemma** *gr\_implies\_not\_zero\_hmset: M < N ⇒ N ≠ 0 for M N :: hmultiset*  
by *auto*

**lemma** *add\_eq\_0\_iff\_both\_eq\_0\_hmset[simp]: M + N = 0 ⇔ M = 0 ∧ N = 0 for M N :: hmultiset*  
by (*intro add\_nonneg\_eq\_0\_iff zero\_le\_hmset*)

**lemma** *zero\_eq\_add\_iff\_both\_eq\_0\_hmset[simp]: 0 = M + N ⇔ M = 0 ∧ N = 0 for M N :: hmultiset*  
using *add\_eq\_0\_iff\_both\_eq\_0\_hmset[of M N]* **unfolding** *eq\_commute[of 0]* .

**lemma** *trans\_less\_add1\_hmset: i < j ⇒ i < j + m for i j m :: hmultiset*  
by (*metis add\_increasing2 leD le\_less not\_gr\_zero\_hmset*)

**lemma** *trans\_less\_add2\_hmset: i < j ⇒ i < m + j for i j m :: hmultiset*  
by (*metis add\_increasing leD le\_less not\_gr\_zero\_hmset*)

**lemma** *trans\_le\_add1\_hmset: i ≤ j ⇒ i ≤ j + m for i j m :: hmultiset*  
by (*simp add: add\_increasing2*)

**lemma** *trans\_le\_add2\_hmset: i ≤ j ⇒ i ≤ m + j for i j m :: hmultiset*  
by (*simp add: add\_increasing*)

**lemma** *less\_iff\_add1\_le\_hmset: m < n ⇔ m + 1 ≤ n for m n :: hmultiset*  
**proof** (*cases m n rule: hmultiset.exhaust[case\_product hmultiset.exhaust]*)  
case (*HMSet HMSet m0 n0*)  
**note** *m = this(1) and n = this(2)*

```

show ?thesis
proof (simp add: m n one_hmultiset_def plus_hmultiset_def order.order_iff_strict
  less_multiset_ext_DM_less, intro iffI)
assume m0_lt_n0: m0 < n0
note
  m0_ne_n0 = m0_lt_n0[unfolded less_multiset_HO, THEN conjunct1] and
  ex_n0_gt_m0 = m0_lt_n0[unfolded less_multiset_HO, THEN conjunct2, rule_format]

{
assume zero_m0_gt_n0: add_mset 0 m0 > n0
note
  n0_ne_0m0 = zero_m0_gt_n0[unfolded less_multiset_HO, THEN conjunct1] and
  ex_0m0_gt_n0 = zero_m0_gt_n0[unfolded less_multiset_HO, THEN conjunct2, rule_format]

{
fix y
assume m0y_lt_n0y: count m0 y < count n0 y

have  $\exists x > y. \text{count } n0\ x < \text{count } m0\ x$ 
proof (cases count (add_mset 0 m0) y < count n0 y)
case True
then obtain aa where
  aa_gt_y: aa > y and
  count_n0aa_lt_count_0m0aa: count n0 aa < count (add_mset 0 m0) aa
using ex_0m0_gt_n0 by blast
have aa  $\neq$  0
by (rule gr_implies_not_zero_hmset[OF aa_gt_y])
hence count (add_mset 0 m0) aa = count m0 aa
by simp
thus ?thesis
using count_n0aa_lt_count_0m0aa aa_gt_y by auto
next
case not_0m0_y_lt_n0y: False
hence y_eq_0: y = 0
by (metis count_add_mset m0y_lt_n0y)
have sm0y_eq_n0y: Suc (count m0 y) = count n0 y
using m0y_lt_n0y not_0m0_y_lt_n0y count_add_mset[of 0 _ 0] unfolding y_eq_0 by simp

obtain bb where count n0 bb < count (add_mset 0 m0) bb
using lt_imp_ex_count_lt[OF zero_m0_gt_n0] by blast
hence n0bb_lt_m0bb: count n0 bb < count m0 bb
unfolding count_add_mset by (metis (full_types) less_irrefl_nat sm0y_eq_n0y y_eq_0)
hence bb  $\neq$  0
using sm0y_eq_n0y y_eq_0 by auto
thus ?thesis
unfolding y_eq_0 using n0bb_lt_m0bb not_gr_zero_hmset by blast
qed
}
hence n0 < m0
unfolding less_multiset_HO using m0_ne_n0 by blast
hence False
using m0_lt_n0 by simp
}
thus add_mset 0 m0 < n0  $\vee$  add_mset 0 m0 = n0
using antisym_conv3 by blast
next
assume add_mset 0 m0 < n0  $\vee$  add_mset 0 m0 = n0
thus m0 < n0
using dual_order.strict_trans le_multiset_right_total by blast
qed
qed

```

**lemma** *zero\_less\_iff\_1\_le\_hmset*:  $0 < n \iff 1 \leq n$  **for**  $n :: \text{hmultiset}$   
**by** (*rule less\_iff\_add1\_le\_hmset*[of 0, *simplified*])

**lemma** *less\_add\_1\_iff\_le\_hmset*:  $m < n + 1 \iff m \leq n$  **for**  $m\ n :: \text{hmultiset}$   
**by** (*rule less\_iff\_add1\_le\_hmset*[of  $m\ n + 1$ , *simplified*])

**instance** *hmultiset* :: *ordered\_cancel\_comm\_semiring*  
**by** *intro\_classes* (*simp add: mult\_le\_mono2\_hmset*)

**instance** *hmultiset* :: *linordered\_semiring\_1\_strict*  
**by** *intro\_classes*

**instance** *hmultiset* :: *bounded\_lattice\_bot*  
**by** *intro\_classes*

**instance** *hmultiset* :: *zero\_less\_one*  
**by** *intro\_classes* (*simp add: zero\_less\_iff\_neq\_zero\_hmset*)

**instance** *hmultiset* :: *linordered\_nonzero\_semiring*  
**by** *intro\_classes*

**instance** *hmultiset* :: *semiring\_no\_zero\_divisors*  
**by** *intro\_classes* (*use mult\_pos\_pos\_not\_gr\_zero\_hmset in blast*)

**lemma** *lt\_1\_iff\_eq\_0\_hmset*:  $M < 1 \iff M = 0$  **for**  $M :: \text{hmultiset}$   
**by** (*simp add: less\_iff\_add1\_le\_hmset*)

**lemma** *zero\_less\_mult\_iff\_hmset*[*simp*]:  $0 < m * n \iff 0 < m \wedge 0 < n$  **for**  $m\ n :: \text{hmultiset}$   
**using** *mult\_eq\_0\_iff\_not\_gr\_zero\_hmset* **by** *blast*

**lemma** *one\_le\_mult\_iff\_hmset*[*simp*]:  $1 \leq m * n \iff 1 \leq m \wedge 1 \leq n$  **for**  $m\ n :: \text{hmultiset}$   
**by** (*metis lt\_1\_iff\_eq\_0\_hmset mult\_eq\_0\_iff\_not\_le*)

**lemma** *mult\_less\_cancel2\_hmset*[*simp*]:  $m * k < n * k \iff 0 < k \wedge m < n$  **for**  $k\ m\ n :: \text{hmultiset}$   
**by** (*metis gr\_zeroI\_hmset leD le\_cases mult\_right\_mono mult\_zero\_right times\_hmultiset\_monoL*)

**lemma** *mult\_less\_cancel1\_hmset*[*simp*]:  $k * m < k * n \iff 0 < k \wedge m < n$  **for**  $k\ m\ n :: \text{hmultiset}$   
**by** (*simp add: mult.commute*[of  $k$ ])

**lemma** *mult\_le\_cancel1\_hmset*[*simp*]:  $k * m \leq k * n \iff (0 < k \implies m \leq n)$  **for**  $k\ m\ n :: \text{hmultiset}$   
**by** (*simp add: linorder\_not\_less*[*symmetric*], *auto*)

**lemma** *mult\_le\_cancel2\_hmset*[*simp*]:  $m * k \leq n * k \iff (0 < k \implies m \leq n)$  **for**  $k\ m\ n :: \text{hmultiset}$   
**by** (*simp add: linorder\_not\_less*[*symmetric*], *auto*)

**lemma** *mult\_le\_cancel\_left1\_hmset*:  $y > 0 \implies x \leq x * y$  **for**  $x\ y :: \text{hmultiset}$   
**by** (*metis zero\_less\_iff\_1\_le\_hmset mult.commute mult.left\_neutral mult\_le\_cancel2\_hmset*)

**lemma** *mult\_le\_cancel\_left2\_hmset*:  $y \leq 1 \implies x * y \leq x$  **for**  $x\ y :: \text{hmultiset}$   
**by** (*metis mult.commute mult.left\_neutral mult\_le\_cancel2\_hmset*)

**lemma** *mult\_le\_cancel\_right1\_hmset*:  $y > 0 \implies x \leq y * x$  **for**  $x\ y :: \text{hmultiset}$   
**by** (*subst mult.commute*) (*fact mult\_le\_cancel\_left1\_hmset*)

**lemma** *mult\_le\_cancel\_right2\_hmset*:  $y \leq 1 \implies y * x \leq x$  **for**  $x\ y :: \text{hmultiset}$   
**by** (*subst mult.commute*) (*fact mult\_le\_cancel\_left2\_hmset*)

**lemma** *le\_square\_hmset*:  $m \leq m * m$  **for**  $m :: \text{hmultiset}$   
**using** *mult\_le\_cancel\_left1\_hmset* **by** *force*

**lemma** *le\_cube\_hmset*:  $m \leq m * (m * m)$  **for**  $m :: \text{hmultiset}$   
**using** *mult\_le\_cancel\_left1\_hmset* **by** *force*

**lemma** *diff\_le\_self\_hmset*:  $m - n \leq m$  **for**  $m\ n :: \text{hmultiset}$   
**by** (*metis* *add.commute* *add.right\_neutral* *diff\_add\_zero* *diff\_diff\_add\_hmset*  
*le\_minus\_plus\_same\_hmset*)

**lemma**  
*less\_imp\_minus\_plus\_hmset*:  $m < n \implies k < k - m + n$  **and**  
*le\_imp\_minus\_plus\_hmset*:  $m \leq n \implies k \leq k - m + n$  **for**  $k\ m\ n :: \text{hmultiset}$   
**by** (*meson* *add\_less\_cancel\_left* *leD* *le\_minus\_plus\_same\_hmset* *less\_le\_trans* *not\_le\_imp\_less*)<sup>+</sup>

**lemma** *gt\_0\_lt\_mult\_gt\_1\_hmset*:  
**fixes**  $m\ n :: \text{hmultiset}$   
**assumes**  $m > 0$  **and**  $n > 1$   
**shows**  $m < m * n$   
**using** *assms* **by** (*metis* *mult.right\_neutral* *mult\_less\_cancel1\_hmset*)

**instance** *hmultiset* :: *linordered\_comm\_semiring\_strict*  
**by** *intro\_classes simp*

### 7.3 Omega

**definition**  $\omega :: \text{hmultiset}$  **where**  
 $\omega = \text{HMSet } \{\#1\#$

### 7.4 Embedding of Natural Numbers

**lemma** *of\_nat\_hmset*:  $\text{of\_nat } n = \text{HMSet } (\text{replicate\_mset } n\ 0)$   
**by** (*induct*  $n$ ) (*auto simp: zero\_hmultiset\_def one\_hmultiset\_def plus\_hmultiset\_def*)

**lemma** *of\_nat\_inject\_hmset*[*simp*]:  $(\text{of\_nat } m :: \text{hmultiset}) = \text{of\_nat } n \iff m = n$   
**unfolding** *of\_nat\_hmset* **by** *simp*

**lemma** *of\_nat\_minus\_hmset*:  $\text{of\_nat } (m - n) = (\text{of\_nat } m :: \text{hmultiset}) - \text{of\_nat } n$   
**unfolding** *of\_nat\_hmset* *minus\_hmultiset\_def* **by** *simp*

**lemma** *plus\_of\_nat\_plus\_of\_nat\_hmset*:  
 $k + \text{of\_nat } m + \text{of\_nat } n = k + \text{of\_nat } (m + n)$  **for**  $k :: \text{hmultiset}$   
**by** (*simp add: add.assoc*)

**lemma** *plus\_of\_nat\_minus\_of\_nat\_hmset*:  
**fixes**  $k :: \text{hmultiset}$   
**assumes**  $n \leq m$   
**shows**  $k + \text{of\_nat } m - \text{of\_nat } n = k + \text{of\_nat } (m - n)$   
**using** *assms* **by** (*metis* *add.left\_commute* *add\_diff\_cancel\_left'* *le\_add\_diff\_inverse* *of\_nat\_add*)

**lemma** *of\_nat\_lt\_omega*[*simp*]:  $\text{of\_nat } n < \omega$   
**by** (*auto simp: of\_nat\_hmset zero\_less\_iff\_neq\_zero\_hmset omega\_def less\_multiset\_ext\_DM\_less*)  
(*metis* *One\_nat\_def* *count\_replicate\_mset* *count\_single* *gr\_implies\_not0* *lessI* *less\_multiset\_HO*  
*not\_gr\_zero\_hmset* *zero\_neq\_one*)

**lemma** *of\_nat\_ne\_omega*[*simp*]:  $\text{of\_nat } n \neq \omega$   
**by** (*metis* *of\_nat\_lt\_omega* *mset\_le\_asym* *mset\_lt\_single\_iff*)

**lemma** *of\_nat\_less\_hmset*[*simp*]:  $(\text{of\_nat } M :: \text{hmultiset}) < \text{of\_nat } N \iff M < N$   
**unfolding** *of\_nat\_hmset* *less\_multiset\_ext\_DM\_less* **by** *simp*

**lemma** *of\_nat\_le\_hmset*[*simp*]:  $(\text{of\_nat } M :: \text{hmultiset}) \leq \text{of\_nat } N \iff M \leq N$   
**unfolding** *of\_nat\_hmset* *order\_le\_less* *less\_multiset\_ext\_DM\_less* **by** *simp*

### 7.5 Embedding of Extended Natural Numbers

**primrec** *hmset\_of\_enat* ::  $\text{enat} \Rightarrow \text{hmultiset}$  **where**  
*hmset\_of\_enat* (*enat*  $n$ ) = *of\_nat*  $n$   
| *hmset\_of\_enat*  $\infty$  =  $\omega$

**lemma** *hmset\_of\_enat\_0*[simp]: *hmset\_of\_enat 0 = 0*  
**by** (*simp add: zero\_enat\_def*)

**lemma** *hmset\_of\_enat\_1*[simp]: *hmset\_of\_enat 1 = 1*  
**by** (*simp add: one\_enat\_def del: One\_nat\_def*)

**lemma** *hmset\_of\_enat\_of\_nat*[simp]: *hmset\_of\_enat (of\_nat n) = of\_nat n*  
**using** *of\_nat\_eq\_enat* **by** *auto*

**lemma** *hmset\_of\_enat\_numeral*[simp]: *hmset\_of\_enat (numeral n) = numeral n*  
**by** (*simp add: numeral\_eq\_enat*)

**lemma** *hmset\_of\_enat\_le\_omega*[simp]: *hmset\_of\_enat n ≤ ω*  
**using** *of\_nat\_lt\_omega*[*THEN less\_imp\_le*] **by** (*cases n*) *auto*

**lemma** *hmset\_of\_enat\_eq\_omega\_iff*[simp]: *hmset\_of\_enat n = ω ↔ n = ∞*  
**by** (*cases n*) *auto*

## 7.6 Head Omega

**definition** *head\_omega* :: *hmultiset ⇒ hmultiset* **where**  
*head\_omega M = (if M = 0 then 0 else HMSet {#Max (set\_mset (hmsetmset M))#})*

**lemma** *head\_omega\_eq\_0\_iff*[simp]: *head\_omega m = 0 ↔ m = 0*  
**unfolding** *head\_omega\_def zero\_hmultiset\_def* **by** *simp*

**lemma** *head\_omega\_0*[simp]: *head\_omega 0 = 0*  
**unfolding** *head\_omega\_def* **by** *simp*

**lemma** *head\_omega\_1*[simp]: *head\_omega 1 = 1*  
**unfolding** *head\_omega\_def one\_hmultiset\_def* **by** *simp*

**lemma** *head\_omega\_of\_nat*[simp]: *head\_omega (of\_nat n) = (if n = 0 then 0 else 1)*  
**unfolding** *head\_omega\_def one\_hmultiset\_def of\_nat\_hmset* **by** *simp*

**lemma** *head\_omega\_numeral*[simp]: *head\_omega (numeral n) = 1*  
**by** (*metis head\_omega\_of\_nat of\_nat\_numeral zero\_neq\_numeral*)

**lemma** *head\_omega\_omega*[simp]: *head\_omega ω = ω*  
**unfolding** *head\_omega\_def omega\_def* **by** *simp*

**lemma** *le\_imp\_head\_omega\_le*:  
**assumes** *m\_le\_n*: *m ≤ n*  
**shows** *head\_omega m ≤ head\_omega n*

**proof** –

**have** *le\_in\_le\_max*:  $\bigwedge a M N. M \leq N \implies a \in \# M \implies a \leq \text{Max} (\text{set\_mset } N)$   
**by** (*metis (no\_types) Max\_ge finite\_set\_mset le\_less less\_eq\_multiset\_HO linorder\_not\_less mem\_Collect\_eq neq0\_conv order\_trans set\_mset\_def*)

**show** *?thesis*

**using** *m\_le\_n* **unfolding** *head\_omega\_def*

**by** (*cases m*, *cases n*,

*auto simp del: hmsetmset\_le simp: head\_omega\_def hmsetmset\_le[symmetric] zero\_hmultiset\_def,*  
*meson hmsetmset\_le le\_in\_le\_max[OF Max\_in[OF finite\_set\_mset]] set\_mset\_eq\_empty\_iff*)

**qed**

**lemma** *head\_omega\_lt\_imp\_lt*: *head\_omega m < head\_omega n ⇒ m < n*  
**unfolding** *head\_omega\_def hmsetmset\_less[symmetric]*  
**by** (*rule all\_lt\_Max\_imp\_lt\_multiset*, *auto simp: zero\_hmultiset\_def split: if\_splits*)

**lemma** *head\_omega\_plus*[simp]: *head\_omega (m + n) = sup (head\_omega m) (head\_omega n)*

**proof** (*cases m n rule: hmultiset.exhaust[case\_product hmultiset.exhaust]*)

**case** *m\_n*: (*HMSet HMSet M N*)

**show** *?thesis*

**proof** (*cases Max (set\_mset M) < Max (set\_mset N)*)



```

case True
thus ?thesis
  unfolding m_n head_ω_def sup_hmultiset_def zero_hmultiset_def plus_hmultiset_def
  by (simp add: Max.union max_def dual_order.strict_implies_order)
next
case False
thus ?thesis
  unfolding m_n head_ω_def sup_hmultiset_def zero_hmultiset_def plus_hmultiset_def
  by simp (metis False Max.union finite_set_mset leI max_def set_mset_eq_empty_iff sup.commute)
qed
qed

```

**lemma** *head\_ω\_times[simp]*:  $\text{head}_\omega (m * n) = \text{head}_\omega m * \text{head}_\omega n$

**proof** (cases  $m = 0 \vee n = 0$ )

```

case False
hence m_nz:  $m \neq 0$  and n_nz:  $n \neq 0$ 
  by simp+

```

```

define δ where δ = hmsetmset m
define ε where ε = hmsetmset n

```

```

have δ_nemp:  $\delta \neq \{\#\}$ 
  unfolding δ_def using m_nz by simp
have ε_nemp:  $\varepsilon \neq \{\#\}$ 
  unfolding ε_def using n_nz by simp

```

```

let ?D = set_mset δ
let ?E = set_mset ε
let ?DE = {z.  $\exists x \in ?D. \exists y \in ?E. z = x + y$ }

```

```

have max_D_in:  $\text{Max } ?D \in ?D$ 
  using δ_nemp by simp
have max_E_in:  $\text{Max } ?E \in ?E$ 
  using ε_nemp by simp

```

**have**  $\text{Max } ?DE = \text{Max } ?D + \text{Max } ?E$

**proof** (rule *order\_antisym*, goal\_cases *le ge*)

```

case le
have  $\bigwedge x y. x \in ?D \implies y \in ?E \implies x + y \leq \text{Max } ?D + \text{Max } ?E$ 
  by (simp add: add_mono)
hence mem_imp_le:  $\bigwedge z. z \in ?DE \implies z \leq \text{Max } ?D + \text{Max } ?E$ 
  by auto
show ?case
  by (intro mem_imp_le Max_in, simp, use δ_nemp ε_nemp in fast)

```

**next**

```

case ge
have  $\{z. \exists x \in \{\text{Max } ?D\}. \exists y \in \{\text{Max } ?E\}. z = x + y\} \subseteq \{z. \exists x \in \#\ \delta. \exists y \in \#\ \varepsilon. z = x + y\}$ 
  using max_D_in max_E_in by fast
thus ?case
  by simp

```

**qed**

**thus** *?thesis*

```

unfolding δ_def ε_def by (auto simp: head_ω_def image_def times_hmultiset_def)

```

**qed** *auto*

## 7.7 More Inequalities and Some Equalities

**lemma** *zero\_lt\_ω[simp]*:  $0 < \omega$

**by** (metis *of\_nat\_lt\_ω of\_nat\_0*)

**lemma** *one\_lt\_ω[simp]*:  $1 < \omega$

**by** (metis *enat\_defs(2) hmset\_of\_enat.simps(1) hmset\_of\_enat\_1 of\_nat\_lt\_ω*)

**lemma** *numeral\_lt\_ω[simp]*:  $\text{numeral } n < \omega$

**using** *hmset\_of\_enat\_numeral[symmetric]* *hmset\_of\_enat.simps(1)* *of\_nat\_lt\_omega* *numeral\_eq\_enat*  
**by** *presburger*

**lemma** *one\_le\_omega[simp]*:  $1 \leq \omega$   
**by** (*simp add: less\_imp\_le*)

**lemma** *of\_nat\_le\_omega[simp]*:  $of\_nat\ n \leq \omega$   
**by** (*simp add: le\_less*)

**lemma** *numeral\_le\_omega[simp]*:  $numeral\ n \leq \omega$   
**by** (*simp add: less\_imp\_le*)

**lemma** *not\_omega\_lt\_1[simp]*:  $\neg \omega < 1$   
**by** (*simp add: not\_less*)

**lemma** *not\_omega\_lt\_of\_nat[simp]*:  $\neg \omega < of\_nat\ n$   
**by** (*simp add: not\_less*)

**lemma** *not\_omega\_lt\_numeral[simp]*:  $\neg \omega < numeral\ n$   
**by** (*simp add: not\_less*)

**lemma** *not\_omega\_le\_1[simp]*:  $\neg \omega \leq 1$   
**by** (*simp add: not\_le*)

**lemma** *not\_omega\_le\_of\_nat[simp]*:  $\neg \omega \leq of\_nat\ n$   
**by** (*simp add: not\_le*)

**lemma** *not\_omega\_le\_numeral[simp]*:  $\neg \omega \leq numeral\ n$   
**by** (*simp add: not\_le*)

**lemma** *zero\_ne\_omega[simp]*:  $0 \neq \omega$   
**by** (*metis not\_omega\_le\_1 zero\_le\_hmset*)

**lemma** *one\_ne\_omega[simp]*:  $1 \neq \omega$   
**using** *not\_omega\_le\_1* **by** *force*

**lemma** *numeral\_ne\_omega[simp]*:  $numeral\ n \neq \omega$   
**by** (*metis not\_omega\_le\_numeral numeral\_le\_omega*)

**lemma**  
 $\omega\_ne\_0[simp]$ :  $\omega \neq 0$  **and**  
 $\omega\_ne\_1[simp]$ :  $\omega \neq 1$  **and**  
 $\omega\_ne\_of\_nat[simp]$ :  $\omega \neq of\_nat\ m$  **and**  
 $\omega\_ne\_numeral[simp]$ :  $\omega \neq numeral\ n$   
**using** *zero\_ne\_omega* *one\_ne\_omega* *of\_nat\_ne\_omega* *numeral\_ne\_omega* **by** *metis+*

**lemma**  
*hmset\_of\_enat\_inject[simp]*:  $hmset\_of\_enat\ m = hmset\_of\_enat\ n \iff m = n$  **and**  
*hmset\_of\_enat\_less[simp]*:  $hmset\_of\_enat\ m < hmset\_of\_enat\ n \iff m < n$  **and**  
*hmset\_of\_enat\_le[simp]*:  $hmset\_of\_enat\ m \leq hmset\_of\_enat\ n \iff m \leq n$   
**by** (*cases m; cases n; simp*)**+**

**lemma** *lt\_omega\_imp\_ex\_of\_nat*:  
**assumes**  $M\_lt\_omega$ :  $M < \omega$   
**shows**  $\exists n. M = of\_nat\ n$

**proof**  $-$

**have**  $M\_lt\_single\_1$ :  $hmsetmset\ M < \{\#1\#$   
**by** (*rule M\_lt\_omega[unfolded omega\_def hmsetmset\_less[symmetric] less\_multiset\_ext\_DM\_less hmultiset.sel]*)

**have**  $N = 0$  **if**  $N \in\# hmsetmset\ M$  **for**  $N$

**proof**  $-$

**have**  $0 < count\ (hmsetmset\ M)\ N$

```

    using that by auto
  hence  $N < 1$ 
  by (metis (no_types) M_lt_single_1 count_single gr_implies_not0 less_eq_multiset_HO less_one
      neq_iff_not_le)
  thus ?thesis
  by (simp add: lt_1_iff_eq_0_hmset)
qed
then obtain n where hmmM:  $M = \text{HMSet}(\text{replicate\_mset } n \ 0)$ 
  using ex_replicate_mset_if_all_elems_eq by (metis hmultiset.collapse)
show ?thesis
  unfolding hmmM of_nat_hmset by blast
qed

```

**lemma**  $le\_omega\_imp\_ex\_hmset\_of\_enat$ :

**assumes**  $M\_le\_omega$ :  $M \leq \omega$

**shows**  $\exists n. M = \text{hmset\_of\_enat } n$

**proof** (cases  $M = \omega$ )

**case** True

**thus** ?thesis

**by** (metis hmset\_of\_enat.simps(2))

**next**

**case** False

**thus** ?thesis

**using**  $M\_le\_omega$   $lt\_omega\_imp\_ex\_of\_nat$  **by** (metis hmset\_of\_enat.simps(1) le\_less)

**qed**

**lemma**  $lt\_omega\_lt\_omega\_imp\_times\_lt\_omega$ :  $M < \omega \implies N < \omega \implies M * N < \omega$

**by** (metis  $lt\_omega\_imp\_ex\_of\_nat$  of\_nat\_lt\_omega of\_nat\_mult)

**lemma**  $times\_omega\_minus\_of\_nat[simp]$ :  $m * \omega - \text{of\_nat } n = m * \omega$

**by** (auto intro!: Diff\_triv\_mset simp:  $\omega\_def$  times\_hmultiset\_def minus\_hmultiset\_def Times\_mset\_single\_right of\_nat\_hmset disjunct\_not\_in\_image\_def)

**lemma**  $times\_omega\_minus\_numeral[simp]$ :  $m * \omega - \text{numeral } n = m * \omega$

**by** (metis of\_nat\_numeral times\_omega\_minus\_of\_nat)

**lemma**  $\omega\_minus\_of\_nat[simp]$ :  $\omega - \text{of\_nat } n = \omega$

**by** (rule times\_omega\_minus\_of\_nat[of 1, simplified])

**lemma**  $\omega\_minus\_1[simp]$ :  $\omega - 1 = \omega$

**by** (simp add:  $\omega\_minus\_of\_nat$ [of 1, simplified])

**lemma**  $\omega\_minus\_numeral[simp]$ :  $\omega - \text{numeral } n = \omega$

**by** (rule times\_omega\_minus\_numeral[of 1, simplified])

**lemma**  $\text{hmset\_of\_enat\_minus\_enat}[simp]$ :  $\text{hmset\_of\_enat } (m - \text{enat } n) = \text{hmset\_of\_enat } m - \text{of\_nat } n$

**by** (cases m) (auto simp: of\_nat\_minus\_hmset)

**lemma**  $\text{of\_nat\_lt\_hmset\_of\_enat\_iff}$ :  $\text{of\_nat } m < \text{hmset\_of\_enat } n \iff \text{enat } m < n$

**by** (metis hmset\_of\_enat.simps(1) hmset\_of\_enat\_less)

**lemma**  $\text{of\_nat\_le\_hmset\_of\_enat\_iff}$ :  $\text{of\_nat } m \leq \text{hmset\_of\_enat } n \iff \text{enat } m \leq n$

**by** (metis hmset\_of\_enat.simps(1) hmset\_of\_enat\_le)

**lemma**  $\text{hmset\_of\_enat\_lt\_iff\_ne\_infinity}$ :  $\text{hmset\_of\_enat } x < \omega \iff x \neq \infty$

**by** (cases x; simp)

**lemma**  $\text{minus\_diff\_sym\_hmset}$ :  $m - (m - n) = n - (n - m)$  **for**  $m \ n :: \text{hmultiset}$

**unfolding** minus\_hmultiset\_def **by** simp (metis multiset\_inter\_def subset\_mset.inf\_aci(1))

**lemma**  $\text{diff\_plus\_sym\_hmset}$ :  $(c - b) + b = (b - c) + c$  **for**  $b \ c :: \text{hmultiset}$

**proof** -

**have** f1:  $\bigwedge h \ ha :: \text{hmultiset}. h - (ha + h) = 0$

```

  by (simp add: add.commute)
have f2:  $\bigwedge h \ ha \ hb :: \text{hmultiset}. h + ha - (h - hb) = hb + ha - (hb - h)$ 
  by (metis (no_types) add_diff_cancel_right minus_diff_sym_hmset)
have  $\bigwedge h \ ha \ hb :: \text{hmultiset}. h + (ha + hb) - hb = h + ha$ 
  by (metis (no_types) add.assoc add_diff_cancel_right)
then show ?thesis
  using f2 f1 by (metis (no_types) add.commute add.right_neutral diff_diff_add_hmset)
qed

lemma times_diff_plus_sym_hmset:  $a * (c - b) + a * b = a * (b - c) + a * c$  for  $a \ b \ c :: \text{hmultiset}$ 
  by (metis distrib_left diff_plus_sym_hmset)

lemma times_of_nat_minus_left:
   $(\text{of\_nat } m - \text{of\_nat } n) * l = \text{of\_nat } m * l - \text{of\_nat } n * l$  for  $l :: \text{hmultiset}$ 
  by (induct n m rule: diff_induct) (auto simp: ring_distrib)

lemma times_of_nat_minus_right:
   $l * (\text{of\_nat } m - \text{of\_nat } n) = l * \text{of\_nat } m - l * \text{of\_nat } n$  for  $l :: \text{hmultiset}$ 
  by (metis times_of_nat_minus_left mult.commute)

lemma lt_omega_imp_times_minus_left:  $m < \omega \implies n < \omega \implies (m - n) * l = m * l - n * l$ 
  by (metis lt_omega_imp_ex_of_nat times_of_nat_minus_left)

lemma lt_omega_imp_times_minus_right:  $m < \omega \implies n < \omega \implies l * (m - n) = l * m - l * n$ 
  by (metis lt_omega_imp_ex_of_nat times_of_nat_minus_right)

lemma hmset_pair_decompose:
   $\exists k \ n1 \ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (\text{head\_}\omega \ n1 \neq \text{head\_}\omega \ n2 \vee n1 = 0 \wedge n2 = 0)$ 
proof -
  define n1 where  $n1: n1 = m1 - m2$ 
  define n2 where  $n2: n2 = m2 - m1$ 
  define k where  $k1: k = m1 - n1$ 

  have  $k2: k = m2 - n2$ 
    using k1 unfolding n1 n2 by (simp add: minus_diff_sym_hmset)

  have  $m1 = k + n1$ 
    unfolding k1
    by (metis (no_types) n1 add_diff_cancel_left add.commute add_diff_cancel_right' diff_add_zero
      diff_diff_add minus_diff_sym_hmset)
  moreover have  $m2 = k + n2$ 
    unfolding k2
    by (metis n2 add.commute add_diff_cancel_left add_diff_cancel_left' add_diff_cancel_right'
      diff_add_zero diff_diff_add diff_zero k2 minus_diff_sym_hmset)
  moreover have  $\text{hd\_}\omega \ n1 \neq \text{hd\_}\omega \ n2$  if  $n1\_or\_n2\_nz: n1 \neq 0 \vee n2 \neq 0$ 
  proof (cases  $n1 = 0 \wedge n2 = 0$  rule: bool.exhaust[case_product bool.exhaust])
  case False_False
    note  $n1\_nz = \text{this}(1)[\text{simplified}]$  and  $n2\_nz = \text{this}(2)[\text{simplified}]$ 

  define  $\delta 1$  where  $\delta 1 = \text{hmsetmset } n1$ 
  define  $\delta 2$  where  $\delta 2 = \text{hmsetmset } n2$ 

  have  $\delta 1\_inter\_delta 2: \delta 1 \cap\# \delta 2 = \{\#\}$ 
    unfolding  $\delta 1\_def \delta 2\_def \ n1 \ n2$  minus_hmultiset_def by (simp add: diff_intersect_sym_diff)

  have  $\delta 1\_ne: \delta 1 \neq \{\#\}$ 
    unfolding  $\delta 1\_def$  using  $n1\_nz$  by simp
  have  $\delta 2\_ne: \delta 2 \neq \{\#\}$ 
    unfolding  $\delta 2\_def$  using  $n2\_nz$  by simp

  have  $\text{max\_}\delta 1: \text{Max } (\text{set\_mset } \delta 1) \in\# \delta 1$ 
    using  $\delta 1\_ne$  by simp
  have  $\text{max\_}\delta 2: \text{Max } (\text{set\_mset } \delta 2) \in\# \delta 2$ 

```

```

    using  $\delta 2\_ne$  by simp
  have  $max\_delta 1\_ne\_delta 2: Max (set\_mset \delta 1) \neq Max (set\_mset \delta 2)$ 
    using  $\delta 1\_inter\_delta 2$  disjunct_not_in  $max\_delta 1$   $max\_delta 2$  by force

  show ?thesis
    using  $n1\_nz$   $n2\_nz$ 
    by (cases  $n1$  rule: hmultiset.exhaust_sel, cases  $n2$  rule: hmultiset.exhaust_sel,
        auto simp: head_omega_def zero_hmultiset_def max_delta 1_ne_delta 2[unfolded delta 1_def delta 2_def])
  qed (use  $n1\_or\_n2\_nz$  in (auto simp: head_omega_def))
  ultimately show ?thesis
    by blast
qed

lemma hmset_pair_decompose_less:
  assumes  $m1\_lt\_m2: m1 < m2$ 
  shows  $\exists k n1 n2. m1 = k + n1 \wedge m2 = k + n2 \wedge head\_omega n1 < head\_omega n2$ 
proof -
  obtain  $k n1 n2$  where
     $m1: m1 = k + n1$  and
     $m2: m2 = k + n2$  and
     $hds: head\_omega n1 \neq head\_omega n2 \vee n1 = 0 \wedge n2 = 0$ 
  using hmset_pair_decompose[of m1 m2] by blast

  {
    assume  $n1 = 0$  and  $n2 = 0$ 
    hence  $m1 = m2$ 
      unfolding  $m1$   $m2$  by simp
    hence False
      using  $m1\_lt\_m2$  by simp
  }
  moreover
  {
    assume  $head\_omega n1 > head\_omega n2$ 
    hence  $n1 > n2$ 
      by (rule head_omega_lt_imp_lt)
    hence  $m1 > m2$ 
      unfolding  $m1$   $m2$  by simp
    hence False
      using  $m1\_lt\_m2$  by simp
  }
  ultimately show ?thesis
    using  $m1$   $m2$   $hds$  by (blast elim: neqE)
qed

lemma hmset_pair_decompose_less_eq:
  assumes  $m1 \leq m2$ 
  shows  $\exists k n1 n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (head\_omega n1 < head\_omega n2 \vee n1 = 0 \wedge n2 = 0)$ 
  using assms
  by (metis add_cancel_right_right hmset_pair_decompose_less order.not_eq_order_implies_strict)

lemma mono_cross_mult_less_hmset:
  fixes  $Aa A Ba B :: hmultiset$ 
  assumes  $A\_lt: A < Aa$  and  $B\_lt: B < Ba$ 
  shows  $A * Ba + B * Aa < A * B + Aa * Ba$ 
proof -
  obtain  $j m1 m2$  where  $A: A = j + m1$  and  $Aa: Aa = j + m2$  and  $hd\_m: head\_omega m1 < head\_omega m2$ 
    by (metis hmset_pair_decompose_less[OF A_lt])
  obtain  $k n1 n2$  where  $B: B = k + n1$  and  $Ba: Ba = k + n2$  and  $hd\_n: head\_omega n1 < head\_omega n2$ 
    by (metis hmset_pair_decompose_less[OF B_lt])

  have  $hd\_lt: head\_omega (m1 * n2 + m2 * n1) < head\_omega (m1 * n1 + m2 * n2)$ 
  proof simp
    have  $\wedge h ha :: hmultiset. 0 < h \vee \neg ha < h$ 

```

```

    by force
  hence  $\neg \text{head}_\omega m2 * \text{head}_\omega n2 \leq \text{sup} (\text{head}_\omega m1 * \text{head}_\omega n2) (\text{head}_\omega m2 * \text{head}_\omega n1)$ 
    using hd_m hd_n sup_hmultiset_def by auto
  thus  $\text{sup} (\text{head}_\omega m1 * \text{head}_\omega n2) (\text{head}_\omega m2 * \text{head}_\omega n1)$ 
    <  $\text{sup} (\text{head}_\omega m1 * \text{head}_\omega n1) (\text{head}_\omega m2 * \text{head}_\omega n2)$ 
    by (meson leI sup.bounded_iff)
qed
show ?thesis
  unfolding A Aa B Ba ring_distrib by (simp add: algebra_simps head_\omega_lt_imp_lt[OF hd_lt])
qed

```

**lemma** *triple\_cross\_mult\_hmset*:

$$\begin{aligned}
& An * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp)) \\
& + (Cn * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp)) \\
& + (Ap * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp)) \\
& + Cp * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap)))) = \\
& An * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp)) \\
& + (Cn * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap)) \\
& + (Ap * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp)) \\
& + Cp * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp))))
\end{aligned}$$

**for** *Ap An Bp Bn Cp Cn Dp Dn :: hmultiset*

**apply** (*simp add: algebra\_simps*)

**apply** (*unfold add.assoc[symmetric]*)

**apply** (*rule add\_right\_cancel[THEN iffD1, of \_ Cp \* (An \* Bp + Ap \* Bn)]*)

**apply** (*unfold add.assoc*)

**apply** (*subst times\_diff\_plus\_sym\_hmset*)

**apply** (*unfold add.assoc[symmetric]*)

**apply** (*subst (12) add.commute*)

**apply** (*subst (11) add.commute*)

**apply** (*unfold add.assoc[symmetric]*)

**apply** (*rule add\_right\_cancel[THEN iffD1, of \_ Cn \* (An \* Bn + Ap \* Bp)]*)

**apply** (*unfold add.assoc*)

**apply** (*subst times\_diff\_plus\_sym\_hmset*)

**apply** (*unfold add.assoc[symmetric]*)

**apply** (*subst (14) add.commute*)

**apply** (*subst (13) add.commute*)

**apply** (*unfold add.assoc[symmetric]*)

**apply** (*rule add\_right\_cancel[THEN iffD1, of \_ Ap \* (Bn \* Cn + Bp \* Cp)]*)

**apply** (*unfold add.assoc*)

**apply** (*subst times\_diff\_plus\_sym\_hmset*)

**apply** (*unfold add.assoc[symmetric]*)

**apply** (*subst (16) add.commute*)

**apply** (*subst (15) add.commute*)

**apply** (*unfold add.assoc[symmetric]*)

**apply** (*rule add\_right\_cancel[THEN iffD1, of \_ An \* (Bn \* Cp + Bp \* Cn)]*)

**apply** (*unfold add.assoc*)

**apply** (*subst times\_diff\_plus\_sym\_hmset*)

**apply** (*unfold add.assoc[symmetric]*)

**apply** (*subst (18) add.commute*)

**apply** (*subst (17) add.commute*)

**apply** (*unfold add.assoc[symmetric]*)

**by** (*simp add: algebra\_simps*)

## 7.8 Conversions to Natural Numbers

**definition** *offset\_hmset* :: *hmultiset*  $\Rightarrow$  *nat* **where**

$$\text{offset\_hmset } M = \text{count } (\text{hmsetmset } M) 0$$

**lemma** *offset\_hmset\_of\_nat[simp]*: *offset\_hmset (of\_nat n) = n*

**unfolding** *offset\_hmset\_def* of *\_nat\_hmset* **by** *simp*

**lemma** *offset\_hmset\_numeral*[*simp*]: *offset\_hmset* (numeral *n*) = numeral *n*  
**unfolding** *offset\_hmset\_def* **by** (*metis offset\_hmset\_def offset\_hmset\_of\_nat of\_nat\_numeral*)

**definition** *sum\_coefs* :: *hmultiset*  $\Rightarrow$  *nat* **where**  
*sum\_coefs* *M* = *size* (*hmsetmset* *M*)

**lemma** *sum\_coefs\_distrib\_plus*[*simp*]: *sum\_coefs* (*M* + *N*) = *sum\_coefs* *M* + *sum\_coefs* *N*  
**unfolding** *plus\_hmultiset\_def sum\_coefs\_def* **by** *simp*

**lemma** *sum\_coefs\_gt\_0*: *sum\_coefs* *M* > 0  $\longleftrightarrow$  *M* > 0  
**by** (*auto simp: sum\_coefs\_def zero\_hmultiset\_def hmsetmset\_less[symmetric] less\_multiset\_ext\_DM\_less nonempty\_has\_size[symmetric]*)

## 7.9 An Example

The following proof is based on an informal proof by Uwe Waldmann, inspired by a similar argument by Michel Ludwig.

**lemma** *waldmann\_less*:

**fixes**  $\alpha 1 \alpha 2 \beta 1 \beta 2 \gamma \delta$  :: *hmultiset*

**assumes**

$\alpha \beta 2 \gamma$  *lt*  $\alpha \beta 1 \gamma$ :  $\alpha 2 + \beta 2 * \gamma < \alpha 1 + \beta 1 * \gamma$  **and**

$\beta 2$  *le*  $\beta 1$ :  $\beta 2 \leq \beta 1$  **and**

$\gamma$  *lt*  $\delta$ :  $\gamma < \delta$

**shows**  $\alpha 2 + \beta 2 * \delta < \alpha 1 + \beta 1 * \delta$

**proof** –

**obtain**  $\beta 0 \beta 2a \beta 1a$  **where**

$\beta 2$ :  $\beta 2 = \beta 0 + \beta 2a$  **and**

$\beta 1$ :  $\beta 1 = \beta 0 + \beta 1a$  **and**

*hd*  $\beta 2a$  *vs*  $\beta 1a$ : *head*  $\omega$   $\beta 2a < \text{head } \omega \beta 1a \vee \beta 2a = 0 \wedge \beta 1a = 0$

**using** *hmset\_pair\_decompose\_less\_eq*[*OF*  $\beta 2$  *le*  $\beta 1$ ] **by** *blast*

**obtain**  $\eta \gamma a \delta a$  **where**

$\gamma$ :  $\gamma = \eta + \gamma a$  **and**

$\delta$ :  $\delta = \eta + \delta a$  **and**

*hd*  $\gamma a$  *lt*  $\delta a$ : *head*  $\omega$   $\gamma a < \text{head } \omega \delta a$

**using** *hmset\_pair\_decompose\_less*[*OF*  $\gamma$  *lt*  $\delta$ ] **by** *blast*

**have**  $\alpha 2 + \beta 0 * \gamma + \beta 2a * \gamma = \alpha 2 + \beta 2 * \gamma$

**unfolding**  $\beta 2$  **by** (*simp add: add commute add.left\_commute distrib\_left mult commute*)

**also have**  $\dots < \alpha 1 + \beta 1 * \gamma$

**by** (*rule*  $\alpha \beta 2 \gamma$  *lt*  $\alpha \beta 1 \gamma$ )

**also have**  $\dots = \alpha 1 + \beta 0 * \gamma + \beta 1a * \gamma$

**unfolding**  $\beta 1$  **by** (*simp add: add commute add.left\_commute distrib\_left mult commute*)

**finally have**  $\alpha 2 + \beta 0 * \gamma + \beta 2a * \gamma < \alpha 1 + \beta 0 * \gamma + \beta 1a * \gamma$

**by** *assumption*

**hence** \*:  $\alpha 2 + \beta 2a * \gamma < \alpha 1 + \beta 1a * \gamma$

**by** (*metis add\_less\_cancel\_right semiring\_normalization\_rules*(23))

**have** \*\*:  $\beta 1a * \gamma a + \beta 2a * \delta a \leq \beta 1a * \delta a$

**proof** (*cases*  $\beta 2a = 0 \wedge \beta 1a = 0$ )

**case** *False*

**hence** *head*  $\omega$   $\beta 2a < \text{head } \omega \beta 1a$

**using** *hd*  $\beta 2a$  *vs*  $\beta 1a$  **by** *blast*

**hence** *head*  $\omega$  ( $\beta 1a * \gamma a + \beta 2a * \delta a$ ) < *head*  $\omega$  ( $\beta 1a * \delta a$ )

**using** *hd*  $\gamma a$  *lt*  $\delta a$  **by** (*auto intro: gr\_zeroI\_hmset simp: sup\_hmultiset\_def*)

**hence**  $\beta 1a * \gamma a + \beta 2a * \delta a < \beta 1a * \delta a$

**by** (*rule* *head*  $\omega$  *lt* *imp* *lt*)

**thus** *?thesis*

**by** *simp*

**qed** *simp*

```

have  $\alpha 2 + \beta 2 * \delta = \alpha 2 + \beta 0 * \delta + \beta 2a * \delta$ 
  unfolding  $\beta 2$  by (simp add: ab_semigroup_add_class.add_ac(1) distrib_right)
also have ... =  $\alpha 2 + \beta 0 * \delta + \beta 2a * \eta + \beta 2a * \delta a$ 
  unfolding  $\delta$  by (simp add: distrib_left semiring_normalization_rules(25))
also have ...  $\leq \alpha 2 + \beta 0 * \delta + \beta 2a * \eta + \beta 2a * \delta a + \beta 2a * \gamma a$ 
  by simp
also have ... =  $\alpha 2 + \beta 2a * \gamma + \beta 0 * \delta + \beta 2a * \delta a$ 
  unfolding  $\gamma$  distrib_left add.assoc[symmetric] by (simp add: semiring_normalization_rules(23))
also have ...  $< \alpha 1 + \beta 1a * \gamma + \beta 0 * \delta + \beta 2a * \delta a$ 
  using * by simp
also have ... =  $\alpha 1 + \beta 1a * \eta + \beta 1a * \gamma a + \beta 0 * \eta + \beta 0 * \delta a + \beta 2a * \delta a$ 
  unfolding  $\gamma$   $\delta$  distrib_left add.assoc[symmetric] by (rule refl)
also have ...  $\leq \alpha 1 + \beta 1a * \eta + \beta 0 * \eta + \beta 0 * \delta a + \beta 1a * \delta a$ 
proof -
  have  $\neg \alpha 1 + \beta 1a * \eta + \beta 0 * \eta + \beta 0 * \delta a + \beta 1a * \delta a$ 
     $< \alpha 1 + \beta 1a * \eta + \beta 0 * \eta + \beta 0 * \delta a + (\beta 1a * \gamma a + \beta 2a * \delta a)$ 
    using ** by force
  thus ?thesis
    by (simp add: semiring_normalization_rules(23,25))
qed
also have ... =  $\alpha 1 + \beta 1 * \delta$ 
  unfolding  $\beta 1$   $\delta$ 
  by (simp add: distrib_left distrib_right add.assoc[symmetric] semiring_normalization_rules(23))
finally show ?thesis
  by assumption
qed
end

```

## 8 Signed Syntactic Ordinals in Cantor Normal Form

```

theory Signed_Syntactic_Ordinal
imports Signed_Hereditary_Multiset Syntactic_Ordinal
begin

```

### 8.1 Natural (Hessenberg) Product

```

instantiation zhmultiset :: comm_ring_1
begin

```

```

lift-definition one_zhmultiset :: zhmultiset is {#0#}_z .

```

```

lift-definition times_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  zhmultiset is
 $\lambda M N.$ 
  zmsset_of (hmsetmset (HMSet (mset_pos M) * HMSet (mset_pos N)))
  - zmsset_of (hmsetmset (HMSet (mset_pos M) * HMSet (mset_neg N)))
  + zmsset_of (hmsetmset (HMSet (mset_neg M) * HMSet (mset_neg N)))
  - zmsset_of (hmsetmset (HMSet (mset_neg M) * HMSet (mset_pos N))) .

```

```

lemmas hmsetmset_times = times_zhmultiset.rep_eq

```

```

instance

```

```

proof (intro_classes, goal_cases mult_assoc mult_comm mult_1 distrib zero_neg_one)
  case (mult_assoc a b c)
  show ?case
    by (transfer,
        simp add: algebra_simps zmsset_of_plus[symmetric] hmsetmset_plus[symmetric] HMSet_diff,
        rule triple_cross_mult_hmset)

```

```

next

```

```

  case (mult_comm a b)
  show ?case
    by transfer (auto simp: algebra_simps)

```

```

next

```



```

case (mult_1 a)
show ?case
  by transfer (auto simp: algebra_simps mset_pos_neg_partition[symmetric])
next
case (distrib a b c)

show ?case
  by (simp add: times_zhmset_def ZHMSet_plus[symmetric] zmset_of_plus[symmetric]
    hmsetmset_plus[symmetric] algebra_simps,
    simp add: add.assoc[symmetric] hmset_pos_plus hmset_neg_plus,
    simp add: algebra_simps,
    simp add: mult.commute[of _ hmset_pos c] mult.commute[of _ hmset_neg c]
    add.commute[of hmset_neg c * M hmset_pos c * N for M N]
    add.left_commute[of hmset_neg c * M hmset_pos c * N for M N],
    simp add: add.assoc[symmetric] ring_distrib(1)[symmetric] hmset_pos_neg_dual)
next
case zero_neg_one
show ?case
  unfolding zero_zhmset_def one_zhmset_def by simp
qed

end

```

**lemma** zhmset\_of\_1: zhmset\_of 1 = 1

**by** (simp add: one\_hmultiset\_def one\_zhmset\_def)

**lemma** zhmset\_of\_times: zhmset\_of (A \* B) = zhmset\_of A \* zhmset\_of B

**by** transfer simp

**lemma** zhmset\_of\_prod\_list:

zhmset\_of (prod\_list Ms) = prod\_list (map zhmset\_of Ms)

**by** (induct Ms) (auto simp: one\_hmultiset\_def one\_zhmset\_def zhmset\_of\_times)

## 8.2 Omega

**definition**  $\omega_z$  :: zhmset **where**

$\omega_z = \text{ZHMSet } \{\#1\#}_z$

**lemma**  $\omega_z$ \_as\_ $\omega$ :  $\omega_z = \text{zhmset\_of } \omega$

**unfolding**  $\omega_z$ \_def  $\omega$ \_def **by** simp

## 8.3 Embedding of Natural Numbers

**lemma** of\_nat\_zhmset: of\_nat n = zhmset\_of (of\_nat n)

**by** (induct n) (auto simp: zero\_zhmset\_def zhmset\_of\_plus zhmset\_of\_1)

**lemma** of\_nat\_inject\_zhmset[simp]: (of\_nat m :: zhmset) = of\_nat n  $\longleftrightarrow$  m = n

**unfolding** of\_nat\_zhmset **by** simp

**lemma** plus\_of\_nat\_plus\_of\_nat\_zhmset:

$k + \text{of\_nat } m + \text{of\_nat } n = k + \text{of\_nat } (m + n)$  **for** k :: zhmset

**by** (simp add: add.assoc)

**lemma** plus\_of\_nat\_minus\_of\_nat\_zhmset:

**fixes** k :: zhmset

**assumes**  $n \leq m$

**shows**  $k + \text{of\_nat } m - \text{of\_nat } n = k + \text{of\_nat } (m - n)$

**using** assms **by** (metis add.left\_commute add\_diff\_cancel\_left' le\_add\_diff\_inverse of\_nat\_add)

**lemma** of\_nat\_lt\_ $\omega_z$ [simp]: of\_nat n <  $\omega_z$

**by** (simp add:  $\omega_z$ \_as\_ $\omega$  of\_nat\_zhmset zmset\_of\_less)

**lemma** of\_nat\_ne\_ $\omega_z$ [simp]: of\_nat n  $\neq$   $\omega_z$

**by** (metis of\_nat\_lt\_ $\omega_z$  mset\_le\_asym mset\_lt\_single\_iff)

## 8.4 Embedding of Extended Natural Numbers

```

primrec zhmsset_of_enat :: enat  $\Rightarrow$  zhmultiset where
  zhmsset_of_enat (enat n) = of_nat n
| zhmsset_of_enat  $\infty$  =  $\omega_z$ 

lemma zhmsset_of_enat_0[simp]: zhmsset_of_enat 0 = 0
  by (simp add: zero_enat_def)

lemma zhmsset_of_enat_1[simp]: zhmsset_of_enat 1 = 1
  by (simp add: one_enat_def del: One_nat_def)

lemma zhmsset_of_enat_of_nat[simp]: zhmsset_of_enat (of_nat n) = of_nat n
  using of_nat_eq_enat by auto

lemma zhmsset_of_enat_numeral[simp]: zhmsset_of_enat (numeral n) = numeral n
  by (simp add: numeral_eq_enat)

lemma zhmsset_of_enat_le_omega_z[simp]: zhmsset_of_enat n  $\leq$   $\omega_z$ 
  using of_nat_lt_omega_z[THEN less_imp_le] by (cases n) auto

lemma zhmsset_of_enat_eq_omega_z_iff[simp]: zhmsset_of_enat n =  $\omega_z$   $\longleftrightarrow$  n =  $\infty$ 
  by (cases n) auto

```

## 8.5 Inequalities and Some (Dis)equalities

```

instance zhmultiset :: zero_less_one
  by (intro_classes, transfer, transfer, auto)

instantiation zhmultiset :: linordered_idom
begin

definition sgn_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset where
  sgn_zhmultiset M = (if M = 0 then 0 else if M > 0 then 1 else -1)

definition abs_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset where
  abs_zhmultiset M = (if M < 0 then - M else M)

lemma gt_0_times_gt_0_imp:
  fixes a b :: zhmultiset
  assumes a_gt0: a > 0 and b_gt0: b > 0
  shows a * b > 0
proof -
  show ?thesis
  using a_gt0 b_gt0
  by (subst (asm) (2 4) zhmsset_pos_neg_partition, simp, transfer,
    simp del: HMSet_less add: algebra_simps zmsset_of_plus[symmetric] hmsetmset_plus[symmetric]
    zmsset_of_less HMSet_less[symmetric])
    (rule mono_cross_mult_less_hmset)
qed

instance
proof intro_classes
  fix a b c :: zhmultiset

  assume
    a_lt_b: a < b and
    zero_lt_c: 0 < c

  have c * b < c * b + c * (b - a)
  using gt_0_times_gt_0_imp by (simp add: a_lt_b zero_lt_c)
  hence c * a + c * (b - a) < c * b + c * (b - a)
  by (simp add: right_diff_distrib)
  thus c * a < c * b

```

```

    by simp
qed (auto simp: sgn_zhmultipset_def abs_zhmultipset_def)

end

lemma le_zhmset_of_pos:  $M \leq \text{zhmset\_of } (\text{hmset\_pos } M)$ 
  by (metis add_diff_cancel_left diff_le_eq hmultipset.sel less_eq_multiset_plus_left ZHMSet_le
    mset_pos_as_neg zhmsetmset_inverse zmset_of_le zmset_of_plus)

lemma minus_zhmset_of_pos_le:  $-\text{zhmset\_of } (\text{hmset\_neg } M) \leq M$ 
  by (metis le_zhmset_of_pos minus_le_iff mset_pos_uminus zhmsetmset_uminus)

lemma zhmset_of_nonneg[simp]:  $\text{zhmset\_of } M \geq 0$ 
  by (metis hmsetmset_0 zero_le_hmset zero_zhmultipset_def zhmset_of_le zmset_of_empty)

lemma
  fixes  $n :: \text{zhmset}$ 
  assumes  $0 \leq m$ 
  shows
    le_add1_hmset:  $n \leq n + m$  and
    le_add2_hmset:  $n \leq m + n$ 
  using assms by simp+

lemma less_iff_add1_le_zhmset:  $m < n \iff m + 1 \leq n$  for  $m n :: \text{zhmset}$ 
proof
  assume  $m \text{ lt } n: m < n$ 
  show  $m + 1 \leq n$ 
  proof -
    obtain  $hh :: \text{hmultipset}$  and  $zz :: \text{zhmset}$  and  $hha :: \text{hmultipset}$  where
       $f1: m = \text{zhmset\_of } hh + zz \wedge n = \text{zhmset\_of } hha + zz \wedge hh < hha$ 
    using less_hmset_zhmsetE[OF  $m \text{ lt } n$ ] by metis
    hence  $\text{zhmset\_of } (hh + 1) \leq \text{zhmset\_of } hha$ 
    by (metis (no_types) less_iff_add1_le_hmset zhmset_of_le)
    thus ?thesis
    using f1 by (simp add: zhmset_of_1 zhmset_of_plus)
  qed
qed simp

lemma gt_0_lt_mult_gt_1_zhmset:
  fixes  $m n :: \text{zhmset}$ 
  assumes  $m > 0$  and  $n > 1$ 
  shows  $m < m * n$ 
  using assms by simp

lemma zero_less_iff_1_le_zhmset:  $0 < n \iff 1 \leq n$  for  $n :: \text{zhmset}$ 
  by (rule less_iff_add1_le_zhmset[of 0, simplified])

lemma less_add_1_iff_le_hmset:  $m < n + 1 \iff m \leq n$  for  $m n :: \text{zhmset}$ 
  by (rule less_iff_add1_le_zhmset[of  $m + 1$ , simplified])

lemma nonneg_le_mult_right_mono_zhmset:
  fixes  $x y z :: \text{zhmset}$ 
  assumes  $x: 0 \leq x$  and  $y: 0 < y$  and  $z: x \leq z$ 
  shows  $x \leq y * z$ 
  using x zero_less_iff_1_le_zhmset[THEN iffD1, OF y] z
  by (meson dual_order.trans leD mult_less_cancel_right2 not_le_imp_less)

instance hmultipset :: ordered_cancel_comm_semiring
  by intro_classes

instance hmultipset :: linordered_semiring_1_strict
  by intro_classes

```

```

instance hmultiset :: bounded_lattice_bot
  by intro_classes

instance hmultiset :: zero_less_one
  by intro_classes

instance hmultiset :: linordered_nonzero_semiring
  by intro_classes

instance hmultiset :: semiring_no_zero_divisors
  by intro_classes

lemma zero_lt_ωz[simp]: 0 < ωz
  by (metis of_nat_lt_ωz of_nat_0)

lemma one_lt_ω[simp]: 1 < ωz
  by (metis enat_defs(2) zhmsset_of_enat.simps(1) zhmsset_of_enat_1 of_nat_lt_ωz)

lemma numeral_lt_ωz[simp]: numeral n < ωz
  using zhmsset_of_enat_numeral[symmetric] zhmsset_of_enat.simps(1) of_nat_lt_ωz numeral_eq_enat
  by presburger

lemma one_le_ωz[simp]: 1 ≤ ωz
  by (simp add: less_imp_le)

lemma of_nat_le_ωz[simp]: of_nat n ≤ ωz
  by (simp add: le_less)

lemma numeral_le_ωz[simp]: numeral n ≤ ωz
  by (simp add: less_imp_le)

lemma not_ωz_lt_1[simp]: ¬ ωz < 1
  by (simp add: not_less)

lemma not_ωz_lt_of_nat[simp]: ¬ ωz < of_nat n
  by (simp add: not_less)

lemma not_ωz_lt_numeral[simp]: ¬ ωz < numeral n
  by (simp add: not_less)

lemma not_ωz_le_1[simp]: ¬ ωz ≤ 1
  by (simp add: not_le)

lemma not_ωz_le_of_nat[simp]: ¬ ωz ≤ of_nat n
  by (simp add: not_le)

lemma not_ωz_le_numeral[simp]: ¬ ωz ≤ numeral n
  by (simp add: not_le)

lemma zero_ne_ωz[simp]: 0 ≠ ωz
  using zero_lt_ωz by linarith

lemma one_ne_ωz[simp]: 1 ≠ ωz
  using not_ωz_le_1 by force

lemma numeral_ne_ωz[simp]: numeral n ≠ ωz
  by (metis not_ωz_le_numeral numeral_le_ωz)

lemma
  ωz_ne_0[simp]: ωz ≠ 0 and
  ωz_ne_1[simp]: ωz ≠ 1 and
  ωz_ne_of_nat[simp]: ωz ≠ of_nat m and
  ωz_ne_numeral[simp]: ωz ≠ numeral n

```

using zero\_ne\_ωz one\_ne\_ωz of\_nat\_ne\_ωz numeral\_ne\_ωz by metis+

**lemma**

zhmset\_of\_enat\_inject[simp]:  $zhmset\_of\_enat\ m = zhmset\_of\_enat\ n \longleftrightarrow m = n$  **and**  
 zhmset\_of\_enat\_lt\_iff\_lt[simp]:  $zhmset\_of\_enat\ m < zhmset\_of\_enat\ n \longleftrightarrow m < n$  **and**  
 zhmset\_of\_enat\_le\_iff\_le[simp]:  $zhmset\_of\_enat\ m \leq zhmset\_of\_enat\ n \longleftrightarrow m \leq n$   
 by (cases m; cases n; simp)+

**lemma** of\_nat\_lt\_zhmset\_of\_enat\_iff:  $of\_nat\ m < zhmset\_of\_enat\ n \longleftrightarrow enat\ m < n$   
 by (metis zhmset\_of\_enat.simps(1) zhmset\_of\_enat\_lt\_iff\_lt)

**lemma** of\_nat\_le\_zhmset\_of\_enat\_iff:  $of\_nat\ m \leq zhmset\_of\_enat\ n \longleftrightarrow enat\ m \leq n$   
 by (metis zhmset\_of\_enat.simps(1) zhmset\_of\_enat\_le\_iff\_le)

**lemma** zhmset\_of\_enat\_lt\_iff\_ne\_infinity:  $zhmset\_of\_enat\ x < \omega_z \longleftrightarrow x \neq \infty$   
 by (cases x; simp)

## 8.6 An Example

A new proof of  $[[\alpha 2.0 + \beta 2.0 * \gamma < \alpha 1.0 + \beta 1.0 * \gamma; \beta 2.0 \leq \beta 1.0; \gamma < \delta]] \implies \alpha 2.0 + \beta 2.0 * \delta < \alpha 1.0 + \beta 1.0 * \delta$ :

**lemma**

fixes  $\alpha 1\ \alpha 2\ \beta 1\ \beta 2\ \gamma\ \delta :: \text{hmultiset}$

assumes

$\alpha 2 \gamma \text{ lt } \alpha 1 \gamma$ :  $\alpha 2 + \beta 2 * \gamma < \alpha 1 + \beta 1 * \gamma$  **and**  
 $\beta 2 \text{ le } \beta 1$ :  $\beta 2 \leq \beta 1$  **and**  
 $\gamma \text{ lt } \delta$ :  $\gamma < \delta$

shows  $\alpha 2 + \beta 2 * \delta < \alpha 1 + \beta 1 * \delta$

**proof** –

let  $?Z = zhmset\_of$

**note**  $\alpha 2 \gamma \text{ lt } \alpha 1 \gamma' = \alpha 2 \gamma \text{ lt } \alpha 1 \gamma$  [THEN zhmset\_of\_less [THEN iffD2],  
 simplified zhmset\_of\_plus zhmset\_of\_times]

**note**  $\beta 2 \text{ le } \beta 1' = \beta 2 \text{ le } \beta 1$  [THEN zhmset\_of\_le [THEN iffD2]]

**note**  $\gamma \text{ lt } \delta' = \gamma \text{ lt } \delta$  [THEN zhmset\_of\_less [THEN iffD2]]

**have**  $?Z\ \alpha 2 + ?Z\ \beta 2 * ?Z\ \delta = ?Z\ \alpha 2 + ?Z\ \beta 2 * ?Z\ \gamma + ?Z\ \beta 2 * (?Z\ \delta - ?Z\ \gamma)$   
 by (simp add: algebra\_simps)

**also have**  $\dots < ?Z\ \alpha 1 + ?Z\ \beta 1 * ?Z\ \gamma + ?Z\ \beta 2 * (?Z\ \delta - ?Z\ \gamma)$   
 using  $\alpha 2 \gamma \text{ lt } \alpha 1 \gamma'$  by simp

**also have**  $\dots \leq ?Z\ \alpha 1 + ?Z\ \beta 1 * ?Z\ \gamma + ?Z\ \beta 1 * (?Z\ \delta - ?Z\ \gamma)$   
 using  $\beta 2 \text{ le } \beta 1'$   $\gamma \text{ lt } \delta'$  by simp

**also have**  $\dots = ?Z\ \alpha 1 + ?Z\ \beta 1 * ?Z\ \delta$   
 by (simp add: algebra\_simps)

**finally have**  $?Z\ \alpha 2 + ?Z\ \beta 2 * ?Z\ \delta < ?Z\ \alpha 1 + ?Z\ \beta 1 * ?Z\ \delta$   
 by assumption

**thus** ?thesis

by (simp add: zhmset\_of\_less zhmset\_of\_times[symmetric]  
 zhmset\_of\_plus[symmetric])

qed

end