

Formalization of Nested Multisets, Hereditary Multisets, and Syntactic Ordinals

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Abstract

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna’s nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

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1 Introduction

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna's nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

In addition, signed (or hybrid) multisets are provided (i.e., multisets with potentially negative multiplicities), as well as signed hereditary multisets and signed ordinals (e.g., $\omega^2 - 2\omega + 1$).

2 More about Multisets

```
theory Multiset_More
imports HOL-Library.Multiset_Order
begin
```

Isabelle's theory of finite multisets is not as developed as other areas, such as lists and sets. The present theory introduces some missing concepts and lemmas. Some of it is expected to move to Isabelle's library.

2.1 Basic Setup

```
declare
  diff_single_trivial [simp]
  in_image_mset [iff]
  image_mset.compositionality [simp]

  mset_subset_eqD [dest, intro?]

  Multiset.in_multiset_in_set [simp]
  inter_add_left1 [simp]
  inter_add_left2 [simp]
  inter_add_right1 [simp]
  inter_add_right2 [simp]

  sum_mset_sum_list [simp]
```

2.2 Lemmas about Intersection, Union and Pointwise Inclusion

```
lemma subset_add_mset_notin_subset_mset:  $\langle A \subseteq\# \text{ add\_mset } b B \implies b \notin\# A \implies A \subseteq\# B \rangle$ 
  by (simp add: subset_mset.le_iff_sup)
```

```
lemma subset_msetE [elim!]:  $\llbracket A \subset\# B; \llbracket A \subseteq\# B; \neg B \subseteq\# A \rrbracket \implies R \rrbracket \implies R$ 
  unfolding subseteq_mset_def subset_mset_def by (meson mset_subset_eqI subset_mset.eq_iff)
```

```
lemma Diff_triv_mset:  $M \cap\# N = \{\#\} \implies M - N = M$ 
  by (metis diff_intersect_left_idem diff_zero)
```

```
lemma diff_intersect_sym_diff:  $(A - B) \cap\# (B - A) = \{\#\}$ 
  unfolding multiset_inter_def
```

```
proof -
  have  $A - (B - (B - A)) = A - B$ 
    by (metis diff_intersect_right_idem multiset_inter_def)
  then show  $A - B - (A - B - (B - A)) = \{\#\}$ 
    by (metis diff_add diff_cancel diff_subset_eq_self subset_mset.diff_add)
qed
```

2.3 Lemmas about Filter and Image

```
lemma count_image_mset_ge_count:  $\text{count } (\text{image\_mset } f A) (f b) \geq \text{count } A b$ 
  by (induction A) auto
```

```

lemma count_image_mset_inj:
  assumes ⟨inj f⟩
  shows ⟨count (image_mset f M) (f x) = count M x⟩
  by (induct M) (use assms in ⟨auto simp: inj_on_def⟩)

lemma count_image_mset_le_count_inj_on:
  inj_on f (set_mset M)  $\implies$  count (image_mset f M) y  $\leq$  count M (inv_into (set_mset M) f y)
proof (induct M)
  case (add x M)
  note ih = this(1) and inj_xM = this(2)

  have inj_M: inj_on f (set_mset M)
    using inj_xM by simp

  show ?case
  proof (cases x  $\in$  # M)
    case x_in_M: True
    show ?thesis
    proof (cases y = f x)
      case y_eq_fx: True
      show ?thesis
        using x_in_M ih[OF inj_M] unfolding y_eq_fx by (simp add: inj_M insert_absorb)
    next
      case y_ne_fx: False
      show ?thesis
        using x_in_M ih[OF inj_M] y_ne_fx insert_absorb by fastforce
    qed
  next
    case x_ni_M: False
    show ?thesis
    proof (cases y = f x)
      case y_eq_fx: True
      have f x  $\notin$  # image_mset f M
        using x_ni_M inj_xM by force
      thus ?thesis
        unfolding y_eq_fx
        by (metis (no_types) inj_xM count_add_mset count_greater_eq_Suc_zero_iff_count_inI
          image_mset_add_mset inv_into_f_f union_single_eq_member)
    next
      case y_ne_fx: False
      show ?thesis
      proof (rule ccontr)
        assume neg_conj:  $\neg$  count (image_mset f (add_mset x M)) y
           $\leq$  count (add_mset x M) (inv_into (set_mset (add_mset x M)) f y)

        have cnt_y: count (add_mset (f x) (image_mset f M)) y = count (image_mset f M) y
          using y_ne_fx by simp

        have inv_into (set_mset M) f y  $\in$  # add_mset x M  $\implies$ 
          inv_into (set_mset (add_mset x M)) f (f (inv_into (set_mset M) f y)) =
          inv_into (set_mset M) f y
          by (meson inj_xM inv_into_f_f)
        hence 0 < count (image_mset f (add_mset x M)) y  $\implies$ 
          count M (inv_into (set_mset M) f y) = 0  $\vee$  x = inv_into (set_mset M) f y
          using neg_conj cnt_y ih[OF inj_M]
          by (metis (no_types) count_add_mset count_greater_zero_iff_count_inI f_inv_into_f
            image_mset_add_mset set_image_mset)
        thus False
          using neg_conj cnt_y x_ni_M ih[OF inj_M]
          by (metis (no_types) count_greater_zero_iff_count_inI eq_iff image_mset_add_mset
            less_imp_le)
      qed
    qed
  qed

```

qed
qed simp

lemma mset_filter_compl: mset (filter p xs) + mset (filter (Not o p) xs) = mset xs
by (induction xs) (auto simp: mset_filter ac_simps)

Near duplicate of filter_eq_replicate_mset: $\{\#y \in \# ?D. y = ?x\# \} = \text{replicate_mset } (\text{count } ?D ?x) ?x.$

lemma filter_mset_eq: filter_mset (op = L) A = replicate_mset (count A L) L
by (auto simp: multiset_eq_iff)

See filter_cong for the set version. Mark as [fundef_cong] too?

lemma filter_mset_cong:
assumes [simp]: $M = M'$ and [simp]: $\bigwedge a. a \in \# M \implies P a = Q a$
shows filter_mset P M = filter_mset Q M

proof -
have $M - \text{filter_mset } Q M = \text{filter_mset } (\lambda a. \neg Q a) M$
by (subst multiset_partition[of _ Q]) simp
then show ?thesis
by (auto simp: filter_mset_eq_conv)

qed

lemma image_mset_filter_swap: image_mset f $\{\#x \in \# M. P (f x)\# \} = \{\#x \in \# \text{image_mset } f M. P x\# \}$
by (induction M) auto

lemma image_mset_cong2[cong]:
 $(\bigwedge x. x \in \# M \implies f x = g x) \implies M = N \implies \text{image_mset } f M = \text{image_mset } g N$
by (hypsubst, rule image_mset_cong)

lemma filter_mset_empty_conv: $\langle \text{filter_mset } P M = \{\#\} \rangle = \langle \forall L \in \# M. \neg P L \rangle$
by (induction M) auto

lemma multiset_filter_mono2: $\langle \text{filter_mset } P A \subseteq \# \text{filter_mset } Q A \longleftrightarrow (\forall a \in \# A. P a \longrightarrow Q a) \rangle$
by (induction A) (auto intro: subset_mset.order.trans)

lemma image_filter_cong:
assumes $\langle \bigwedge C. C \in \# M \implies P C \implies f C = g C \rangle$
shows $\langle \{\#f C. C \in \# \{\#C \in \# M. P C\}\#\} = \{\#g C \mid C \in \# M. P C\#\} \rangle$
using assms by (induction M) auto

lemma image_mset_filter_swap2: $\langle \{\#C \in \# \{\#P x. x \in \# D\#\}. Q C \#\} = \{\#P x. x \in \# \{\#C \mid C \in \# D. Q (P C)\#\}\#\} \rangle$
by (simp add: image_mset_filter_swap)

2.4 Lemmas about Sum

lemma sum_image_mset_mono:
fixes $f :: 'a \Rightarrow 'b::\text{canonically_ordered_monoid_add}$
assumes $sub: A \subseteq \# B$
shows $(\sum m \in \# A. f m) \leq (\sum m \in \# B. f m)$
by (metis image_mset_union le_iff_add subset_mset.add_diff_inverse sum_mset.union)

lemma sum_image_mset_mono_mem:
 $n \in \# M \implies f n \leq (\sum m \in \# M. f m)$ for $f :: 'a \Rightarrow 'b::\text{canonically_ordered_monoid_add}$
by (metis image_mset_single mset_subset_eq_single sum_image_mset_mono sum_mset.singleton)

lemma count_sum_mset_if_1_0: $\langle \text{count } M a = (\sum x \in \# M. \text{if } x = a \text{ then } 1 \text{ else } 0) \rangle$
by (induction M) auto

lemma sum_mset_dvd:
fixes $k :: 'a::\text{comm_semiring_1_cancel}$
assumes $\forall m \in \# M. k \text{ dvd } f m$
shows $k \text{ dvd } (\sum m \in \# M. f m)$
using assms by (induct M) auto

lemma *sum_mset_distrib_div_if_dvd*:
fixes $k :: 'a::semiring_div$
assumes $\forall m \in\# M. k \text{ dvd } f m$
shows $(\sum m \in\# M. f m) \text{ div } k = (\sum m \in\# M. f m \text{ div } k)$
using *assms* **by** (*induct* M) (*auto simp: div_plus_div_distrib_div_left*)

2.5 Lemmas about Remove

lemma *set_mset_minus_replicate_mset*[*simp*]:
 $n \geq \text{count } A \ a \implies \text{set_mset } (A - \text{replicate_mset } n \ a) = \text{set_mset } A - \{a\}$
 $n < \text{count } A \ a \implies \text{set_mset } (A - \text{replicate_mset } n \ a) = \text{set_mset } A$
unfolding *set_mset_def* **by** (*auto split: if_split simp: not_in_iff*)

abbreviation *removeAll_mset* $:: 'a \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$ **where**
removeAll_mset $C \ M \equiv M - \text{replicate_mset } (\text{count } M \ C) \ C$

lemma *mset_removeAll*[*simp, code*]: *removeAll_mset* $C \ (mset \ L) = mset \ (\text{removeAll } C \ L)$
by (*induction* L) (*auto simp: ac_simps multiset_eq_iff split: if_split_asm*)

lemma *removeAll_mset_filter_mset*: *removeAll_mset* $C \ M = \text{filter_mset } (op \neq \ C) \ M$
by (*induction* M) (*auto simp: ac_simps multiset_eq_iff*)

abbreviation *remove1_mset* $:: 'a \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$ **where**
remove1_mset $C \ M \equiv M - \{\#C\#$

lemma *removeAll_subseteq_remove1_mset*: *removeAll_mset* $x \ M \subseteq\# \text{remove1_mset } x \ M$
by (*auto simp: subseteq_mset_def*)

lemma *in_remove1_mset_neq*:
assumes $ab: a \neq b$
shows $a \in\# \text{remove1_mset } b \ C \longleftrightarrow a \in\# C$
proof –
have $\text{count } \{\#b\# \} a = 0$
using ab **by** *simp*
then show *?thesis*
by (*metis* (*no_types*) *count_diff_diff_zero mem_Collect_eq set_mset_def*)
qed

lemma *size_mset_removeAll_mset_le_iff*: $\text{size } (\text{removeAll_mset } x \ M) < \text{size } M \longleftrightarrow x \in\# M$
apply (*rule iffI*)
apply (*force intro: count_inI*)
apply (*rule mset_subset_size*)
apply (*auto simp: subset_mset_def multiset_eq_iff*)
done

lemma *size_remove1_mset>If*: $\text{size } (\text{remove1_mset } x \ M) = \text{size } M - (\text{if } x \in\# M \text{ then } 1 \text{ else } 0)$
by (*auto simp: size_Diff_subset_Int*)

lemma *size_mset_remove1_mset_le_iff*: $\text{size } (\text{remove1_mset } x \ M) < \text{size } M \longleftrightarrow x \in\# M$
apply (*rule iffI*)
using *less_irrefl* **apply** *fastforce*
apply (*rule mset_subset_size*)
by (*auto elim: in_countE simp: subset_mset_def multiset_eq_iff*)

lemma *single_remove1_mset_eq*:
 $\text{add_mset } a \ (\text{remove1_mset } a \ M) = M \longleftrightarrow a \in\# M$
by (*cases* $\text{count } M \ a$) (*auto simp: multiset_eq_iff count_eq_zero_iff count_inI*)

lemma *remove_1_mset_id_iff_notin*:
 $\text{remove1_mset } a \ M = M \longleftrightarrow a \notin\# M$
by (*meson diff_single_trivial multi_drop_mem_not_eq*)

lemma *id_remove_1_mset_iff_notin*:

$M = \text{remove1_mset } a \ M \longleftrightarrow a \notin \# \ M$
using `remove_1_mset_id_iff_notin` **by** `metis`

lemma `remove1_mset_eqE`:
 $\text{remove1_mset } L \ x1 = M \implies$
 $(L \in \# \ x1 \implies x1 = M + \{\#L\# \} \implies P) \implies$
 $(L \notin \# \ x1 \implies x1 = M \implies P) \implies$
 P
by `(cases L ∈# x1) auto`

lemma `image_filter_ne_mset[simp]`:
 $\text{image_mset } f \ \{\#x \in \# \ M. f \ x \neq y\# \} = \text{removeAll_mset } y \ (\text{image_mset } f \ M)$
by `(induction M) simp_all`

lemma `image_mset_remove1_mset_if`:
 $\text{image_mset } f \ (\text{remove1_mset } a \ M) =$
 $(\text{if } a \in \# \ M \text{ then } \text{remove1_mset } (f \ a) \ (\text{image_mset } f \ M) \text{ else } \text{image_mset } f \ M)$
by `(auto simp: image_mset_Diff)`

lemma `filter_mset_neq`: $\{\#x \in \# \ M. x \neq y\# \} = \text{removeAll_mset } y \ M$
by `(metis add_diff_cancel_left' filter_eq_replicate_mset_multiset_partition)`

lemma `filter_mset_neq_cond`: $\{\#x \in \# \ M. P \ x \wedge x \neq y\# \} = \text{removeAll_mset } y \ \{\#x \in \# \ M. P \ x\# \}$
by `(metis filter_filter_mset filter_mset_neq)`

lemma `remove1_mset_add_mset>If`:
 $\text{remove1_mset } L \ (\text{add_mset } L' \ C) = (\text{if } L = L' \text{ then } C \text{ else } \text{remove1_mset } L \ C + \{\#L'\# \})$
by `(auto simp: multiset_eq_iff)`

lemma `minus_remove1_mset_if`:
 $A - \text{remove1_mset } b \ B = (\text{if } b \in \# \ B \wedge b \in \# \ A \wedge \text{count } A \ b \geq \text{count } B \ b \text{ then } \{\#b\# \} + (A - B) \text{ else } A - B)$
by `(auto simp: multiset_eq_iff count_greater_zero_iff[symmetric]`
`simp del: count_greater_zero_iff)`

lemma `add_mset_eq_add_mset_ne`:
 $a \neq b \implies \text{add_mset } a \ A = \text{add_mset } b \ B \longleftrightarrow a \in \# \ B \wedge b \in \# \ A \wedge A = \text{add_mset } b \ (B - \{\#a\# \})$
by `(metis (no_types, lifting) diff_single_eq_union diff_union_swap multi_self_add_other_not_self`
`remove_1_mset_id_iff_notin union_single_eq_diff)`

lemma `add_mset_eq_add_mset`: $\langle \text{add_mset } a \ M = \text{add_mset } b \ M' \longleftrightarrow$
 $(a = b \wedge M = M') \vee (a \neq b \wedge b \in \# \ M \wedge \text{add_mset } a \ (M - \{\#b\# \}) = M' \rangle$
by `(metis add_mset_eq_add_mset_ne add_mset_remove_trivial union_single_eq_member)`

lemma `add_mset_remove_trivial_iff`: $\langle N = \text{add_mset } a \ (N - \{\#b\# \}) \longleftrightarrow a \in \# \ N \wedge a = b \rangle$
by `(metis add_left_cancel add_mset_remove_trivial insert_DiffM2 single_eq_single`
`size_mset_remove1_mset_le_iff union_single_eq_member)`

lemma `trivial_add_mset_remove_iff`: $\langle \text{add_mset } a \ (N - \{\#b\# \}) = N \longleftrightarrow a \in \# \ N \wedge a = b \rangle$
by `(subst eq_commute) (fact add_mset_remove_trivial_iff)`

lemma `remove1_single_empty_iff[simp]`: $\langle \text{remove1_mset } L \ \{\#L'\# \} = \{\# \} \longleftrightarrow L = L' \rangle$
using `add_mset_remove_trivial_iff` **by** `fastforce`

lemma `add_mset_less_imp_less_remove1_mset`:
assumes `xM_lt_N`: $\text{add_mset } x \ M < N$
shows $M < \text{remove1_mset } x \ N$

proof –

have $M < N$

using `assms le_multiset_right_total mset_le_trans` **by** `blast`

then show `?thesis`

by `(metis (no_types) xM_lt_N add_le_cancel_right add_mset_add_single diff_single_trivial`
`insert_DiffM less_le_not_le)`

qed

2.6 Lemmas about Replicate

lemma *replicate_mset_minus_replicate_mset_same*[simp]:
 $\text{replicate_mset } m \ x - \text{replicate_mset } n \ x = \text{replicate_mset } (m - n) \ x$
by (*induct* *m* *arbitrary*: *n*, *simp*, *metis* *left_diff_repeat_mset_distrib'* *repeat_mset_replicate_mset*)

lemma *replicate_mset_subset_iff_lt*[simp]: $\text{replicate_mset } m \ x \subset\# \text{replicate_mset } n \ x \longleftrightarrow m < n$
by (*induct* *n* *m* *rule*: *diff_induct*) (*auto* *intro*: *subset_mset.gr_zeroI*)

lemma *replicate_mset_subseteq_iff_le*[simp]: $\text{replicate_mset } m \ x \subseteq\# \text{replicate_mset } n \ x \longleftrightarrow m \leq n$
by (*induct* *n* *m* *rule*: *diff_induct*) *auto*

lemma *replicate_mset_lt_iff_lt*[simp]: $\text{replicate_mset } m \ x < \text{replicate_mset } n \ x \longleftrightarrow m < n$
by (*induct* *n* *m* *rule*: *diff_induct*) (*auto* *intro*: *subset_mset.gr_zeroI* *gr_zeroI*)

lemma *replicate_mset_le_iff_le*[simp]: $\text{replicate_mset } m \ x \leq \text{replicate_mset } n \ x \longleftrightarrow m \leq n$
by (*induct* *n* *m* *rule*: *diff_induct*) *auto*

lemma *replicate_mset_eq_iff*[simp]:
 $\text{replicate_mset } m \ x = \text{replicate_mset } n \ y \longleftrightarrow m = n \wedge (m \neq 0 \longrightarrow x = y)$
by (*cases* *m*; *cases* *n*; *simp*)
(*metis* *in_replicate_mset_insert_noteq_member_size_replicate_mset_union_single_eq_diff*)

lemma *replicate_mset_plus*: $\text{replicate_mset } (a + b) \ C = \text{replicate_mset } a \ C + \text{replicate_mset } b \ C$
by (*induct* *a*) (*auto* *simp*: *ac_simps*)

lemma *mset_replicate_replicate_mset*: $\text{mset } (\text{replicate } n \ L) = \text{replicate_mset } n \ L$
by (*induction* *n*) *auto*

lemma *set_mset_single_iff_replicate_mset*: $\text{set_mset } U = \{a\} \longleftrightarrow (\exists n > 0. U = \text{replicate_mset } n \ a)$
by (*rule*, *metis* *count_greater_zero_iff_count_replicate_mset_insertI1* *multi_count_eq_singletonD* *zero_less_iff_neq_zero*, *force*)

lemma *ex_replicate_mset_if_all_elems_eq*:
assumes $\forall x \in\# M. x = y$
shows $\exists n. M = \text{replicate_mset } n \ y$
using *assms* **by** (*metis* *count_replicate_mset_mem_Collect_eq_multiset_eqI* *neq0_conv* *set_mset_def*)

2.7 Multiset and Set Conversions

lemma *count_mset_set_if*: $\text{count } (\text{mset_set } A) \ a = (\text{if } a \in A \wedge \text{finite } A \text{ then } 1 \text{ else } 0)$
by *auto*

lemma *mset_set_set_mset_empty_mempty*[iff]: $\text{mset_set } (\text{set_mset } D) = \{\#\} \longleftrightarrow D = \{\#\}$
by (*simp* *add*: *mset_set_empty_iff*)

lemma *count_mset_set_le_one*: $\text{count } (\text{mset_set } A) \ x \leq 1$
by (*simp* *add*: *count_mset_set_if*)

lemma *mset_set_set_mset_subseteq*[simp]: $\text{mset_set } (\text{set_mset } A) \subseteq\# A$
by (*simp* *add*: *mset_set_set_mset_msubset*)

lemma *mset_sorted_list_of_set*[simp]: $\text{mset } (\text{sorted_list_of_set } A) = \text{mset_set } A$
by (*metis* *mset_sorted_list_of_multiset* *sorted_list_of_mset_set*)

lemma *sorted_sorted_list_of_multiset*[simp]:
 $\text{sorted } (\text{sorted_list_of_multiset } (M :: 'a::\text{linorder multiset}))$
by (*metis* *mset_sorted_list_of_multiset* *sorted_list_of_multiset_mset* *sorted_sort*)

lemma *mset_take_subseteq*: $\text{mset } (\text{take } n \ xs) \subseteq\# \text{mset } xs$
apply (*induct* *xs* *arbitrary*: *n*)
apply *simp*

by (case_tac n) simp_all

lemma sorted_list_of_multiset_eq_Nil[simp]: sorted_list_of_multiset $M = [] \longleftrightarrow M = \{\#\}$
by (metis mset_sorted_list_of_multiset sorted_list_of_multiset_empty)

2.8 Duplicate Removal

definition remdups_mset :: 'v multiset \Rightarrow 'v multiset **where**
remdups_mset $S = \text{mset_set } (\text{set_mset } S)$

lemma set_mset_remdups_mset[simp]: $\langle \text{set_mset } (\text{remdups_mset } A) = \text{set_mset } A \rangle$
unfolding remdups_mset_def **by** auto

lemma count_remdups_mset_eq_1: $a \in\# \text{remdups_mset } A \longleftrightarrow \text{count } (\text{remdups_mset } A) \ a = 1$
unfolding remdups_mset_def **by** (auto simp: count_eq_zero_iff intro: count_inI)

lemma remdups_mset_empty[simp]: $\text{remdups_mset } \{\#\} = \{\#\}$
unfolding remdups_mset_def **by** auto

lemma remdups_mset_singleton[simp]: $\text{remdups_mset } \{\#a\# \} = \{\#a\# \}$
unfolding remdups_mset_def **by** auto

lemma remdups_mset_eq_empty[iff]: $\text{remdups_mset } D = \{\#\} \longleftrightarrow D = \{\#\}$
unfolding remdups_mset_def **by** blast

lemma remdups_mset_singleton_sum[simp]:
 $\text{remdups_mset } (\text{add_mset } a \ A) = (\text{if } a \in\# \ A \ \text{then } \text{remdups_mset } \ A \ \text{else } \text{add_mset } a \ (\text{remdups_mset } \ A))$
unfolding remdups_mset_def **by** (simp_all add: insert_absorb)

lemma mset_remdups_remdups_mset[simp]: $\text{mset } (\text{remdups } D) = \text{remdups_mset } (\text{mset } D)$
by (induction D) (auto simp add: ac_simps)

declare mset_remdups_remdups_mset[symmetric, code]

definition distinct_mset :: 'a multiset \Rightarrow bool **where**
 $\text{distinct_mset } S \longleftrightarrow (\forall a. a \in\# \ S \longrightarrow \text{count } S \ a = 1)$

lemma distinct_mset_count_less_1: $\text{distinct_mset } S \longleftrightarrow (\forall a. \text{count } S \ a \leq 1)$
using eq_iff_nat_le_linear **unfolding** distinct_mset_def **by** fastforce

lemma distinct_mset_empty[simp]: $\text{distinct_mset } \{\#\}$
unfolding distinct_mset_def **by** auto

lemma distinct_mset_singleton: $\text{distinct_mset } \{\#a\# \}$
unfolding distinct_mset_def **by** auto

lemma distinct_mset_union:
assumes dist: $\text{distinct_mset } (A + B)$
shows $\text{distinct_mset } A$
unfolding distinct_mset_count_less_1

proof (rule allI)

fix a

have $\langle \text{count } A \ a \leq \text{count } (A + B) \ a \rangle$ **by** auto

moreover **have** $\langle \text{count } (A + B) \ a \leq 1 \rangle$

using dist **unfolding** distinct_mset_count_less_1 **by** auto

ultimately **show** $\langle \text{count } A \ a \leq 1 \rangle$

by simp

qed

lemma distinct_mset_minus[simp]: $\text{distinct_mset } A \Longrightarrow \text{distinct_mset } (A - B)$
by (metis diff_subset_eq_self mset_subset_eq_exists_conv distinct_mset_union)

lemma count_remdups_mset_If: $\langle \text{count } (\text{remdups_mset } A) \ a = (\text{if } a \in\# \ A \ \text{then } 1 \ \text{else } 0) \rangle$
unfolding remdups_mset_def **by** auto

lemma *distinct_mset_remdups_union_mset*:
assumes *distinct_mset A and distinct_mset B*
shows $A \cup\# B = \text{remdups_mset } (A + B)$
using *assms nat_le_linear unfolding remdups_mset_def*
by (*force simp add: multiset_eq_iff max_def count_mset_set_if distinct_mset_def not_in_iff*)

lemma *distinct_mset_add_mset[simp]*: $\text{distinct_mset } (add_mset\ a\ L) \longleftrightarrow a \notin\# L \wedge \text{distinct_mset } L$
unfolding *distinct_mset_def*
apply (*rule iffI*)
apply (*auto split: if_split_asm; fail*)[]
by (*auto simp: not_in_iff; fail*)

lemma *distinct_mset_size_eq_card*: $\text{distinct_mset } C \implies \text{size } C = \text{card } (\text{set_mset } C)$
by (*induction C*) *auto*

lemma *distinct_mset_add*:
 $\text{distinct_mset } (L + L') \longleftrightarrow \text{distinct_mset } L \wedge \text{distinct_mset } L' \wedge L \cap\# L' = \{\#\}$
by (*induction L arbitrary: L'*) *auto*

lemma *distinct_mset_set_mset_ident[simp]*: $\text{distinct_mset } M \implies \text{mset_set } (\text{set_mset } M) = M$
by (*induction M*) *auto*

lemma *distinct_finite_set_mset_subseteq_iff[iff]*:
assumes *distinct_mset M finite N*
shows $\text{set_mset } M \subseteq N \longleftrightarrow M \subseteq\# \text{mset_set } N$
by (*metis assms distinct_mset_set_mset_ident finite_set_mset msubset_mset_set_iff*)

lemma *distinct_mem_diff_mset*:
assumes *dist: distinct_mset M and mem: x ∈ set_mset (M - N)*
shows $x \notin \text{set_mset } N$
proof -
have *count M x = 1*
using *dist mem by (meson distinct_mset_def in_diffD)*
then show *?thesis*
using *mem by (metis count_greater_eq_one_iff in_diff_count not_less)*
qed

lemma *distinct_set_mset_eq*:
assumes *distinct_mset M distinct_mset N set_mset M = set_mset N*
shows $M = N$
using *assms distinct_mset_set_mset_ident by fastforce*

lemma *distinct_mset_union_mset[simp]*:
 $\langle \text{distinct_mset } (D \cup\# C) \longleftrightarrow \text{distinct_mset } D \wedge \text{distinct_mset } C \rangle$
unfolding *distinct_mset_count_less_1 by force*

lemma *distinct_mset_inter_mset*:
 $\text{distinct_mset } C \implies \text{distinct_mset } (C \cap\# D)$
 $\text{distinct_mset } D \implies \text{distinct_mset } (C \cap\# D)$
by (*simp_all add: multiset_inter_def, metis distinct_mset_minus multiset_inter_commute multiset_inter_def*)

lemma *distinct_mset_remove1_All*: $\text{distinct_mset } C \implies \text{remove1_mset } L\ C = \text{removeAll_mset } L\ C$
by (*auto simp: multiset_eq_iff distinct_mset_count_less_1*)

lemma *distinct_mset_size_2*: $\text{distinct_mset } \{\#a, \#b\} \longleftrightarrow a \neq b$
by *auto*

lemma *distinct_mset_filter*: $\text{distinct_mset } M \implies \text{distinct_mset } \{\# L \in\# M. P\ L\#\}$
by (*simp add: distinct_mset_def*)

lemma *distinct_mset_mset_distinct[simp]*: $\langle \text{distinct_mset } (\text{mset } xs) = \text{distinct } xs \rangle$

by (induction xs) auto

lemma *distinct_image_mset_inj*:

$\langle inj_on\ f\ (set_mset\ M) \implies distinct_mset\ (image_mset\ f\ M) \longleftrightarrow distinct_mset\ M \rangle$

by (induction M) (auto simp: inj_on_def)

2.9 Repeat Operation

lemma *repeat_mset_compower*: $repeat_mset\ n\ A = ((op\ +\ A) \wedge\wedge\ n)\ \{\#\}$

by (induction n) auto

lemma *repeat_mset_prod*: $repeat_mset\ (m * n)\ A = ((op\ +\ (repeat_mset\ n\ A)) \wedge\wedge\ m)\ \{\#\}$

by (induction m) (auto simp: repeat_mset_distrib)

2.10 Cartesian Product

Definition of the cartesian products over multisets. The construction mimics of the cartesian product on sets and use the same theorem names (adding only the suffix *_mset* to Sigma and Times). See file `~/src/HOL/Product_Type.thy`

definition *Sigma_mset* :: $'a\ multiset \Rightarrow 'b\ multiset \Rightarrow ('a \times 'b)\ multiset$ **where**

$Sigma_mset\ A\ B \equiv \bigcup\ \{\#\{a, b\}. b \in\# B\ a\#\}. a \in\# A\ \#\}$

abbreviation *Times_mset* :: $'a\ multiset \Rightarrow 'b\ multiset \Rightarrow ('a \times 'b)\ multiset$ (**infix** $\times\#\ 80$) **where**

$Times_mset\ A\ B \equiv Sigma_mset\ A\ (\lambda_. B)$

hide-const (open) *Times_mset*

Contrary to the set version $A \times B$, we use the non-ASCII symbol $\in\#$.

syntax

$_Sigma_mset :: [pttrn, 'a\ multiset, 'b\ multiset] \Rightarrow ('a * 'b)\ multiset$

$((\text{SIGMAMSET } _ \in\# _ / _) [0, 0, 10] 10)$

translations

$SIGMAMSET\ x \in\# A. B == CONST\ Sigma_mset\ A\ (\lambda x. B)$

Link between the multiset and the set cartesian product:

lemma *Times_mset_Times*: $set_mset\ (A \times\# B) = set_mset\ A \times set_mset\ B$

unfolding *Sigma_mset_def* **by** auto

lemma *Sigma_msetI* [*intro!*]: $\llbracket a \in\# A; b \in\# B\ a \rrbracket \implies (a, b) \in\# Sigma_mset\ A\ B$

by (unfold *Sigma_mset_def*) auto

lemma *Sigma_msetE* [*elim!*]: $\llbracket c \in\# Sigma_mset\ A\ B; \bigwedge x y. \llbracket x \in\# A; y \in\# B\ x; c = (x, y) \rrbracket \implies P \rrbracket \implies P$

by (unfold *Sigma_mset_def*) auto

Elimination of $(a, b) \in\# A \times\# B$ – introduces no eigenvariables.

lemma *Sigma_msetD1*: $(a, b) \in\# Sigma_mset\ A\ B \implies a \in\# A$

by blast

lemma *Sigma_msetD2*: $(a, b) \in\# Sigma_mset\ A\ B \implies b \in\# B\ a$

by blast

lemma *Sigma_msetE2*: $\llbracket (a, b) \in\# Sigma_mset\ A\ B; \llbracket a \in\# A; b \in\# B\ a \rrbracket \implies P \rrbracket \implies P$

by blast

lemma *Sigma_mset_cong*:

$\llbracket A = B; \bigwedge x. x \in\# B \implies C\ x = D\ x \rrbracket \implies (SIGMAMSET\ x \in\# A. C\ x) = (SIGMAMSET\ x \in\# B. D\ x)$

by (metis (mono_tags, lifting) *Sigma_mset_def image_mset_cong*)

lemma *count_sum_mset*: $count\ (\bigcup\# M)\ b = (\sum P \in\# M. count\ P\ b)$

by (induction M) auto

lemma *Sigma_mset_plus_distrib1* [*simp*]: $Sigma_mset\ (A + B)\ C = Sigma_mset\ A\ C + Sigma_mset\ B\ C$

unfolding *Sigma_mset_def* **by** *auto*

lemma *Sigma_mset_plus_distrib2[simp]*:
 $Sigma_mset\ A\ (\lambda i. B\ i + C\ i) = Sigma_mset\ A\ B + Sigma_mset\ A\ C$
unfolding *Sigma_mset_def* **by** (*induction A*) (*auto simp: multiset_eq_iff*)

lemma *Times_mset_single_left*: $\{ \#a \# \} \times \# B = image_mset\ (Pair\ a)\ B$
unfolding *Sigma_mset_def* **by** *auto*

lemma *Times_mset_single_right*: $A \times \# \{ \#b \# \} = image_mset\ (\lambda a. Pair\ a\ b)\ A$
unfolding *Sigma_mset_def* **by** (*induction A*) *auto*

lemma *Times_mset_single_single[simp]*: $\{ \#a \# \} \times \# \{ \#b \# \} = \{ \#(a, b) \# \}$
unfolding *Sigma_mset_def* **by** *simp*

lemma *count_image_mset_Pair*:
 $count\ (image_mset\ (Pair\ a)\ B)\ (x, b) = (if\ x = a\ then\ count\ B\ b\ else\ 0)$
by (*induction B*) *auto*

lemma *count_Sigma_mset*: $count\ (Sigma_mset\ A\ B)\ (a, b) = count\ A\ a * count\ (B\ a)\ b$
by (*induction A*) (*auto simp: Sigma_mset_def count_image_mset_Pair*)

lemma *Sigma_mset_empty1[simp]*: $Sigma_mset\ \{ \# \} B = \{ \# \}$
unfolding *Sigma_mset_def* **by** *auto*

lemma *Sigma_mset_empty2[simp]*: $A \times \# \{ \# \} = \{ \# \}$
by (*auto simp: multiset_eq_iff count_Sigma_mset*)

lemma *Sigma_mset_mono*:
assumes $A \subseteq \# C$ **and** $\bigwedge x. x \in \# A \implies B\ x \subseteq \# D\ x$
shows $Sigma_mset\ A\ B \subseteq \# Sigma_mset\ C\ D$
proof –
have $count\ A\ a * count\ (B\ a)\ b \leq count\ C\ a * count\ (D\ a)\ b$ **for** $a\ b$
using *assms* **unfolding** *subteq_mset_def* **by** (*metis count_inI eq_iff mult_eq_0_iff mult_le_mono*)
then show *?thesis*
by (*auto simp: subteq_mset_def count_Sigma_mset*)
qed

lemma *mem_Sigma_mset_iff*[*iff*]: $((a, b) \in \# Sigma_mset\ A\ B) = (a \in \# A \wedge b \in \# B\ a)$
by *blast*

lemma *mem_Times_mset_iff*: $x \in \# A \times \# B \iff fst\ x \in \# A \wedge snd\ x \in \# B$
by (*induct x*) *simp*

lemma *Sigma_mset_empty_iff*: $(SIGMAMSET\ i \in \# I. X\ i) = \{ \# \} \iff (\forall i \in \# I. X\ i = \{ \# \})$
by (*auto simp: Sigma_mset_def*)

lemma *Times_mset_subset_mset_cancel1*: $x \in \# A \implies (A \times \# B \subseteq \# A \times \# C) = (B \subseteq \# C)$
by (*auto simp: subteq_mset_def count_Sigma_mset*)

lemma *Times_mset_subset_mset_cancel2*: $x \in \# C \implies (A \times \# C \subseteq \# B \times \# C) = (A \subseteq \# B)$
by (*auto simp: subteq_mset_def count_Sigma_mset*)

lemma *Times_mset_eq_cancel2*: $x \in \# C \implies (A \times \# C = B \times \# C) = (A = B)$
by (*auto simp: multiset_eq_iff count_Sigma_mset dest!: in_countE*)

lemma *split_paired_Ball_mset_Sigma_mset*[*simp*]:
 $(\forall z \in \# Sigma_mset\ A\ B. P\ z) \iff (\forall x \in \# A. \forall y \in \# B\ x. P\ (x, y))$
by *blast*

lemma *split_paired_Bex_mset_Sigma_mset*[*simp*]:
 $(\exists z \in \# Sigma_mset\ A\ B. P\ z) \iff (\exists x \in \# A. \exists y \in \# B\ x. P\ (x, y))$
by *blast*

lemma *sum_mset_if_eq_constant*:

$(\sum x \in \#M. \text{if } a = x \text{ then } (f x) \text{ else } 0) = ((op + (f a)) \wedge \wedge (\text{count } M a)) 0$
by (*induction* M) (*auto simp: ac_simps*)

lemma *iterate_op_plus*: $((op + k) \wedge \wedge m) 0 = k * m$

by (*induction* m) *auto*

lemma *union_image_mset_Pair_distribute*:

$\bigcup \# \{ \# \text{image_mset } (Pair\ x) (C\ x). x \in \# J - I \# \} =$
 $\bigcup \# \{ \# \text{image_mset } (Pair\ x) (C\ x). x \in \# J \# \} - \bigcup \# \{ \# \text{image_mset } (Pair\ x) (C\ x). x \in \# I \# \}$
by (*auto simp: multiset_eq_iff count_sum_mset count_image_mset_Pair sum_mset_if_eq_constant*
iterate_op_plus diff_mult_distrib2)

lemma *Sigma_mset_Un_distrib1*: $Sigma_mset (I \cup \# J) C = Sigma_mset I C \cup \# Sigma_mset J C$

by (*auto simp: Sigma_mset_def sup_subset_mset_def union_image_mset_Pair_distribute*)

lemma *Sigma_mset_Un_distrib2*: $(SIGMAMSET\ i \in \# I. A\ i \cup \# B\ i) = Sigma_mset I A \cup \# Sigma_mset I B$

by (*auto simp: multiset_eq_iff count_sum_mset count_image_mset_Pair sum_mset_if_eq_constant*
Sigma_mset_def diff_mult_distrib2 iterate_op_plus max_def not_in_iff)

lemma *Sigma_mset_Int_distrib1*: $Sigma_mset (I \cap \# J) C = Sigma_mset I C \cap \# Sigma_mset J C$

by (*auto simp: multiset_eq_iff count_sum_mset count_image_mset_Pair sum_mset_if_eq_constant*
Sigma_mset_def iterate_op_plus min_def not_in_iff)

lemma *Sigma_mset_Int_distrib2*: $(SIGMAMSET\ i \in \# I. A\ i \cap \# B\ i) = Sigma_mset I A \cap \# Sigma_mset I B$

by (*auto simp: multiset_eq_iff count_sum_mset count_image_mset_Pair sum_mset_if_eq_constant*
Sigma_mset_def iterate_op_plus min_def not_in_iff)

lemma *Sigma_mset_Diff_distrib1*: $Sigma_mset (I - J) C = Sigma_mset I C - Sigma_mset J C$

by (*auto simp: multiset_eq_iff count_sum_mset count_image_mset_Pair sum_mset_if_eq_constant*
Sigma_mset_def iterate_op_plus min_def not_in_iff diff_mult_distrib2)

lemma *Sigma_mset_Diff_distrib2*: $(SIGMAMSET\ i \in \# I. A\ i - B\ i) = Sigma_mset I A - Sigma_mset I B$

by (*auto simp: multiset_eq_iff count_sum_mset count_image_mset_Pair sum_mset_if_eq_constant*
Sigma_mset_def iterate_op_plus min_def not_in_iff diff_mult_distrib)

lemma *Sigma_mset_Union*: $Sigma_mset (\bigcup \# X) B = (\bigcup \# (\text{image_mset } (\lambda A. Sigma_mset A B) X))$

by (*auto simp: multiset_eq_iff count_sum_mset count_image_mset_Pair sum_mset_if_eq_constant*
Sigma_mset_def iterate_op_plus min_def not_in_iff sum_mset_distrib_left)

lemma *Times_mset_Un_distrib1*: $(A \cup \# B) \times \# C = A \times \# C \cup \# B \times \# C$

by (*fact Sigma_mset_Un_distrib1*)

lemma *Times_mset_Int_distrib1*: $(A \cap \# B) \times \# C = A \times \# C \cap \# B \times \# C$

by (*fact Sigma_mset_Int_distrib1*)

lemma *Times_mset_Diff_distrib1*: $(A - B) \times \# C = A \times \# C - B \times \# C$

by (*fact Sigma_mset_Diff_distrib1*)

lemma *Times_mset_empty[simp]*: $A \times \# B = \{ \# \} \longleftrightarrow A = \{ \# \} \vee B = \{ \# \}$

by (*auto simp: Sigma_mset_empty_iff*)

lemma *Times_insert_left*: $A \times \# \text{add_mset } x\ B = A \times \# B + \text{image_mset } (\lambda a. \text{Pair } a\ x)\ A$

unfolding *add_mset_add_single*[of $x\ B$] *Sigma_mset_plus_distrib2*

by (*simp add: Times_mset_single_right*)

lemma *Times_insert_right*: $\text{add_mset } a\ A \times \# B = A \times \# B + \text{image_mset } (\text{Pair } a)\ B$

unfolding *add_mset_add_single*[of $a\ A$] *Sigma_mset_plus_distrib1*

by (*simp add: Times_mset_single_left*)

lemma *fst_image_mset_times_mset* [*simp*]:

image_mset *fst* $(A \times \# B) = (\text{if } B = \{ \# \} \text{ then } \{ \# \} \text{ else } \text{repeat_mset } (\text{size } B)\ A)$

by (induct B) (auto simp: Times_mset_single_right ac_simps Times_insert_left)

lemma *snd_image_mset_times_mset* [simp]:
image_mset snd (A ×# B) = (if A = {#} then {#} else *repeat_mset* (size A) B)
 by (induct B) (auto simp add: Times_mset_single_right Times_insert_left *image_mset_const_eq*)

lemma *product_swap_mset*: *image_mset* prod.swap (A ×# B) = B ×# A
 by (induction A) (auto simp add: Times_mset_single_left Times_mset_single_right Times_insert_right Times_insert_left)

context
begin

qualified definition *product_mset* :: 'a multiset ⇒ 'b multiset ⇒ ('a × 'b) multiset **where**
 [code_abbrev]: *product_mset* A B = A ×# B

lemma *member_product_mset*: $x \in\# \text{Multiset_More.product_mset } A \ B \iff x \in\# A \times\# B$
 by (simp add: Multiset_More.product_mset_def)

end

lemma *count_Sigma_mset_abs_def*: $\text{count } (\text{Sigma_mset } A \ B) = (\lambda(a, b) \Rightarrow \text{count } A \ a * \text{count } (B \ a) \ b)$
 by (auto simp: fun_eq_iff *count_Sigma_mset*)

lemma *Times_mset_image_mset1*: $\text{image_mset } f \ A \ \times\# \ B = \text{image_mset } (\lambda(a, b). (f \ a, b)) \ (A \ \times\# \ B)$
 by (induct B) (auto simp: Times_insert_left)

lemma *Times_mset_image_mset2*: $A \ \times\# \ \text{image_mset } f \ B = \text{image_mset } (\lambda(a, b). (a, f \ b)) \ (A \ \times\# \ B)$
 by (induct A) (auto simp: Times_insert_right)

lemma *sum_le_singleton*: $A \subseteq \{x\} \implies \text{sum } f \ A = (\text{if } x \in A \ \text{then } f \ x \ \text{else } 0)$
 by (auto simp: subset_singleton_iff elim: finite_subset)

lemma *Times_mset_assoc*: $(A \ \times\# \ B) \ \times\# \ C = \text{image_mset } (\lambda(a, b, c). ((a, b), c)) \ (A \ \times\# \ B \ \times\# \ C)$
 by (auto simp: multiset_eq_iff count_Sigma_mset count_image_mset vimage_def Times_mset_Times
 Int_commute count_eq_zero_iff
 intro!: trans[OF _ sym[OF sum_le_singleton[of _ (_, _, _)]]]
 cong: sum.cong if_cong)

2.11 Transfer Rules

lemma *plus_multiset_transfer*[*transfer_rule*]:
 (rel_fun (rel_mset R) (rel_fun (rel_mset R) (rel_mset R))) (op +) (op +)
 by (unfold rel_fun_def rel_mset_def)
 (force dest: list_all2_appendI intro: exI[of _ _ @ _] conjI[rotated])

lemma *minus_multiset_transfer*[*transfer_rule*]:
 assumes [*transfer_rule*]: bi_unique R
 shows (rel_fun (rel_mset R) (rel_fun (rel_mset R) (rel_mset R))) (op -) (op -)

proof (unfold rel_fun_def rel_mset_def, safe)
 fix xs ys xs' ys'
 assume [*transfer_rule*]: list_all2 R xs ys list_all2 R xs' ys'
 have list_all2 R (fold remove1 xs' xs) (fold remove1 ys' ys)
 by transfer_prover
 moreover
 have mset (fold remove1 xs' xs) = mset xs - mset xs'
 by (induct xs' arbitrary: xs) auto
 moreover
 have mset (fold remove1 ys' ys) = mset ys - mset ys'
 by (induct ys' arbitrary: ys) auto
 ultimately show $\exists xs'' ys''.$
 mset xs'' = mset xs - mset xs' ∧ mset ys'' = mset ys - mset ys' ∧ list_all2 R xs'' ys''
 by blast

qed

```

declare rel_mset_Zero[transfer_rule]

lemma count_transfer[transfer_rule]:
  assumes bi_unique R
  shows (rel_fun (rel_mset R) (rel_fun R op =)) count count
unfolding rel_fun_def rel_mset_def proof safe
  fix x y xs ys
  assume list_all2 R xs ys R x y
  then show count (mset xs) x = count (mset ys) y
  proof (induct xs ys rule: list.rel_induct)
    case (Cons x' xs y' ys)
    then show ?case using assms unfolding bi_unique_alt_def2
      by (auto simp: rel_fun_def)
  qed simp
qed

lemma subseq_multiset_transfer[transfer_rule]:
  assumes [transfer_rule]: bi_unique R right_total R
  shows (rel_fun (rel_mset R) (rel_fun (rel_mset R) (op =)))
    ( $\lambda M N. \text{filter\_mset } (Domainp R) M \subseteq\# \text{filter\_mset } (Domainp R) N$ ) (op  $\subseteq\#$ )
  proof -
    have count_filter_mset_less:
      ( $\forall a. \text{count } (\text{filter\_mset } (Domainp R) M) a \leq \text{count } (\text{filter\_mset } (Domainp R) N) a$ )  $\longleftrightarrow$ 
      ( $\forall a \in \{x. \text{Domainp } R x\}. \text{count } M a \leq \text{count } N a$ ) for M and N by auto
    show ?thesis unfolding subseq_mset_def count_filter_mset_less
      by transfer_prover
  qed

lemma sum_mset_transfer[transfer_rule]:
   $R \ 0 \ 0 \implies \text{rel\_fun } R \ (\text{rel\_fun } R \ R) \ \text{op} + \ \text{op} + \implies (\text{rel\_fun } (\text{rel\_mset } R) \ R) \ \text{sum\_mset } \ \text{sum\_mset}$ 
  using sum_list_transfer[of R] unfolding rel_fun_def rel_mset_def by auto

lemma Sigma_mset_transfer[transfer_rule]:
  ( $\text{rel\_fun } (\text{rel\_mset } R) \ (\text{rel\_fun } (\text{rel\_fun } R \ (\text{rel\_mset } S)) \ (\text{rel\_mset } (\text{rel\_prod } R \ S)))$ )
  Sigma_mset Sigma_mset
  by (unfold Sigma_mset_def) transfer_prover

```

2.12 Even More about Multisets

2.12.1 Multisets and functions

```

lemma range_image_mset:
  assumes set_mset Ds  $\subseteq$  range f
  shows Ds  $\in$  range (image_mset f)
proof -
  have  $\forall D. D \in\# Ds \implies (\exists C. f \ C = D)$  using assms by blast
  then obtain f_i where f_p:  $\forall D. D \in\# Ds \implies (f \ (f\_i \ D) = D)$  by metis
  define Cs where Cs  $\equiv$  image_mset f_i Ds
  from f_p Cs_def have image_mset f Cs = Ds by auto
  then show ?thesis by blast
qed

```

2.12.2 Multisets and lists

```

definition list_of_mset :: 'a multiset  $\Rightarrow$  'a list where
  list_of_mset m = (SOME l. m = mset l)

```

```

lemma list_of_mset_exi:  $\exists l. m = \text{mset } l$ 
  using ex_mset by metis

```

```

lemma [simp]: mset (list_of_mset m) = m
  by (metis (mono_tags, lifting) ex_mset list_of_mset_def someI_ex)

```

```

lemma range_mset_map:
  assumes set_mset  $Ds \subseteq \text{range } f$ 
  shows  $Ds \in \text{range } (\lambda Cl. \text{mset } (\text{map } f \text{ } Cl))$ 
proof -
  have  $Ds \in \text{range } (\text{image\_mset } f)$  by (simp add: assms range_image_mset)
  then obtain  $Cs$  where  $Cs\_p: \text{image\_mset } f \text{ } Cs = Ds$  by auto
  define  $Cl$  where  $Cl = \text{list\_of\_mset } Cs$ 
  then have  $\text{mset } Cl = Cs$  by auto
  then have  $\text{image\_mset } f \text{ } (\text{mset } Cl) = Ds$  using  $Cs\_p$  by auto
  then have  $\text{mset } (\text{map } f \text{ } Cl) = Ds$  by auto
  then show ?thesis by auto
qed

lemma list_of_mset_empty[iff]:  $\text{list\_of\_mset } m = [] \iff m = \{\#\}$ 
  by (metis (mono_tags, lifting) ex_mset list_of_mset_def mset_zero_iff_right someI_ex)

lemma in_mset_conv_nth:  $(x \in\# \text{mset } xs) = (\exists i < \text{length } xs. xs ! i = x)$ 
  by (auto simp: in_set_conv_nth)

lemma in_mset_sum_list:
  assumes  $L \in\# LL$ 
  assumes  $LL \in \text{set } Ci$ 
  shows  $L \in\# \text{sum\_list } Ci$ 
  using assms by (induction  $Ci$ ) auto

lemma in_mset_sum_list2:
  assumes  $L \in\# \text{sum\_list } Ci$ 
  obtains  $LL$  where
     $LL \in \text{set } Ci$ 
     $L \in\# LL$ 
  using assms by (induction  $Ci$ ) auto

lemma subseteq_list_Union_mset:
  assumes  $\text{length } Ci = n$ 
  assumes  $\text{length } CAi = n$ 
  assumes  $\forall i < n. Ci ! i \subseteq\# CAi ! i$ 
  shows  $\bigcup\# \text{mset } Ci \subseteq\# \bigcup\# \text{mset } CAi$ 
  using assms proof (induction  $n$  arbitrary:  $Ci \ CAi$ )
  case 0
  then show ?case by auto
next
  case (Suc  $n$ )
  from Suc have  $\forall i < n. \text{tl } Ci ! i \subseteq\# \text{tl } CAi ! i$ 
  by (simp add: nth_tl)
  hence  $\bigcup\# \text{mset } (\text{tl } Ci) \subseteq\# \bigcup\# \text{mset } (\text{tl } CAi)$  using Suc by auto
  moreover
  have  $\text{hd } Ci \subseteq\# \text{hd } CAi$  using Suc
  by (metis hd_conv_nth length_greater_0_conv zero_less_Suc)
  ultimately
  show  $\bigcup\# \text{mset } Ci \subseteq\# \bigcup\# \text{mset } CAi$ 
  using Suc by (cases  $Ci$ ; cases  $CAi$ ) (auto intro: subset_mset.add_mono)
qed

```

2.12.3 More on multisets and functions

```

lemma image_mset_of_subset_list:
  assumes  $\text{image\_mset } \eta \ C' = \text{mset } lC$ 
  shows  $\exists qC'. \text{map } \eta \ qC' = lC \wedge \text{mset } qC' = C'$ 
  using assms apply (induction  $lC$  arbitrary:  $C'$ )
  subgoal by simp
  subgoal by (fastforce dest!: mset_map_invR intro: exI[of _ <_ # _])
  done

```

```

lemma image_mset_of_subset:

```



```

assumes  $A \subseteq\# \text{image\_mset } \eta \ C'$ 
shows  $\exists A'. \text{image\_mset } \eta \ A' = A \wedge A' \subseteq\# \ C'$ 
proof –
  define  $C$  where  $C = \text{image\_mset } \eta \ C'$ 

  define  $lA$  where  $lA = \text{list\_of\_mset } A$ 
  define  $lD$  where  $lD = \text{list\_of\_mset } (C - A)$ 
  define  $lC$  where  $lC = lA @ lD$ 

  have  $\text{mset } lC = C$ 
    using  $C\_def \ assms \ unfolding \ lD\_def \ lC\_def \ lA\_def$  by auto
  then have  $\exists qC'. \text{map } \eta \ qC' = lC \wedge \text{mset } qC' = C'$ 
    using  $\text{assms } \text{image\_mset\_of\_subset\_list} \ unfolding \ C\_def$  by metis
  then obtain  $qC' \text{ where } qC'\_p: \text{map } \eta \ qC' = lC \wedge \text{mset } qC' = C'$ 
    by auto
  let  $?lA' = \text{take } (\text{length } lA) \ qC'$ 
  have  $m: \text{map } \eta \ ?lA' = lA$ 
    using  $qC'\_p \ lC\_def$ 
    by (metis append_eq_conv_conj take_map)
  let  $?A' = \text{mset } ?lA'$ 

  have  $\text{image\_mset } \eta \ ?A' = A$ 
    using  $m$  using  $lA\_def$ 
    by (metis (full_types) ex_mset_list_of_mset_def mset_map someI_ex)
  moreover
  have  $?A' \subseteq\# \ C'$ 
    using  $qC'\_p \ unfolding \ lA\_def$ 
    using  $\text{mset\_take\_subseq}$  by blast
  ultimately show ?thesis by blast
qed

lemma all_the_same:  $\forall x \in\# \ X. x = y \implies \text{card } (\text{set\_mset } X) \leq \text{Suc } 0$ 
  by (metis card.empty card.insert card_mono finite.intros(1) finite_insert le_SucI singletonI subsetI)

lemma Melem_subseteq_Union_mset[simp]:
  assumes  $x \in\# \ T$ 
  shows  $x \subseteq\# \bigcup\# \ T$ 
  using  $\text{assms } \text{sum\_mset.remove}$  by force

lemma Melem_subset_eq_sum_list [simp]:
  assumes  $x \in\# \ \text{mset } T$ 
  shows  $x \subseteq\# \ \text{sum\_list } T$ 
  using  $\text{assms}$  by (metis mset_subset_eq_add_left sum_mset.remove sum_mset_sum_list)

lemma less_subset_eq_Union_mset[simp]:
  assumes  $i < \text{length } CAi$ 
  shows  $CAi ! i \subseteq\# \bigcup\# \ \text{mset } CAi$ 
proof –
  from  $\text{assms}$  have  $CAi ! i \in\# \ \text{mset } CAi$ 
    by auto
  then show  $CAi ! i \subseteq\# \bigcup\# \ \text{mset } CAi$ 
    by auto
qed

lemma less_subset_eq_sum_list[simp]:
  assumes  $i < \text{length } CAi$ 
  shows  $CAi ! i \subseteq\# \ \text{sum\_list } CAi$ 
proof –
  from  $\text{assms}$  have  $CAi ! i \in\# \ \text{mset } CAi$ 
    by auto
  then show  $CAi ! i \subseteq\# \ \text{sum\_list } CAi$ 
    by auto
qed

```

end

3 Signed (Finite) Multisets

```
theory Signed_Multiset
imports Multiset_More
abbrevs
  !z = z
begin
```

3.1 Definition of Signed Multisets

```
definition equiv_zmset :: 'a multiset × 'a multiset ⇒ 'a multiset × 'a multiset ⇒ bool where
  equiv_zmset = (λ(Mp, Mn) (Np, Nn). Mp + Nn = Np + Mn)
```

```
quotient-type 'a zmultipset = 'a multiset × 'a multiset / equiv_zmset
by (rule equivI, simp_all add: equiv_zmset_def reflp_def symp_def transp_def)
(metis multi_union_self_other_eq union_lcomm)
```

3.2 Basic Operations on Signed Multisets

```
instantiation zmultipset :: (type) cancel_comm_monoid_add
begin
```

```
lift-definition zero_zmultipset :: 'a zmultipset is ({#}, {#}) .
```

```
abbreviation empty_zmset :: 'a zmultipset ({#}_z) where
  empty_zmset ≡ 0
```

```
lift-definition minus_zmultipset :: 'a zmultipset ⇒ 'a zmultipset ⇒ 'a zmultipset is
  λ(Mp, Mn) (Np, Nn). (Mp + Nn, Mn + Np)
by (auto simp: equiv_zmset_def union_commute union_lcomm)
```

```
lift-definition plus_zmultipset :: 'a zmultipset ⇒ 'a zmultipset ⇒ 'a zmultipset is
  λ(Mp, Mn) (Np, Nn). (Mp + Np, Mn + Nn)
by (auto simp: equiv_zmset_def union_commute union_lcomm)
```

```
instance
  by (intro_classes; transfer) (auto simp: equiv_zmset_def)
```

end

```
instantiation zmultipset :: (type) group_add
begin
```

```
lift-definition uminus_zmultipset :: 'a zmultipset ⇒ 'a zmultipset is λ(Mp, Mn). (Mn, Mp)
by (auto simp: equiv_zmset_def add.commute)
```

```
instance
  by (intro_classes; transfer) (auto simp: equiv_zmset_def)
```

end

```
lift-definition zcount :: 'a zmultipset ⇒ 'a ⇒ int is
  λ(Mp, Mn) x. int (count Mp x) - int (count Mn x)
by (auto simp del: of_nat_add simp: equiv_zmset_def fun_eq_iff multiset_eq_iff diff_eq_eq
  diff_add_eq_eq diff_eq of_nat_add[symmetric])
```

```
lemma zcount_inject: zcount M = zcount N ⟷ M = N
  by transfer (auto simp del: of_nat_add simp: equiv_zmset_def fun_eq_iff multiset_eq_iff
  diff_eq_eq diff_add_eq_eq diff_eq of_nat_add[symmetric])
```

lemma *zmultiset_eq_iff*: $M = N \longleftrightarrow (\forall a. \text{zcount } M \ a = \text{zcount } N \ a)$
by (*simp only*: *zcount_inject[symmetric] fun_eq_iff*)

lemma *zmultiset_eqI*: $(\bigwedge x. \text{zcount } A \ x = \text{zcount } B \ x) \implies A = B$
using *zmultiset_eq_iff* **by** *auto*

lemma *zcount_uminus[simp]*: $\text{zcount } (- A) \ x = - \text{zcount } A \ x$
by *transfer auto*

lift-definition *add_zmset* :: $'a \Rightarrow 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset}$ **is**
 $\lambda x \ (Mp, Mn). \ (\text{add_mset } x \ Mp, Mn)$
by (*auto simp*: *equiv_zmset_def*)

syntax

zmultiset :: $\text{args} \Rightarrow 'a \text{ zmultiset} \ (\{\#() \# \}_z)$

translations

$\{\#x, xs \# \}_z == \text{CONST } \text{add_zmset } x \ \{\#xs \# \}_z$

$\{\#x \# \}_z == \text{CONST } \text{add_zmset } x \ \{\# \}_z$

lemma *zcount_empty[simp]*: $\text{zcount } \{\# \}_z \ a = 0$
by *transfer auto*

lemma *zcount_add_zmset[simp]*:
 $\text{zcount } (\text{add_zmset } b \ A) \ a = (\text{if } b = a \ \text{then } \text{zcount } A \ a + 1 \ \text{else } \text{zcount } A \ a)$
by *transfer auto*

lemma *zcount_single*: $\text{zcount } \{\#b \# \}_z \ a = (\text{if } b = a \ \text{then } 1 \ \text{else } 0)$
by *simp*

lemma *add_add_same_iff_zmset[simp]*: $\text{add_zmset } a \ A = \text{add_zmset } a \ B \longleftrightarrow A = B$
by (*auto simp*: *zmultiset_eq_iff*)

lemma *add_zmset_commute*: $\text{add_zmset } x \ (\text{add_zmset } y \ M) = \text{add_zmset } y \ (\text{add_zmset } x \ M)$
by (*auto simp*: *zmultiset_eq_iff*)

lemma

singleton_ne_empty_zmset[simp]: $\{\#x \# \}_z \neq \{\# \}_z$ **and**

empty_ne_singleton_zmset[simp]: $\{\# \}_z \neq \{\#x \# \}_z$

by (*auto dest!*: *arg_cong2[of _ _ x _ zcount]*)

lemma

singleton_ne_uminus_singleton_zmset[simp]: $\{\#x \# \}_z \neq - \{\#y \# \}_z$ **and**

uminus_singleton_ne_singleton_zmset[simp]: $- \{\#x \# \}_z \neq \{\#y \# \}_z$

by (*auto dest!*: *arg_cong2[of _ _ x x zcount] split: if_splits*)

3.2.1 Conversion to Set and Membership

definition *set_zmset* :: $'a \text{ zmultiset} \Rightarrow 'a \text{ set}$ **where**
 $\text{set_zmset } M = \{x. \text{zcount } M \ x \neq 0\}$

abbreviation *elem_zmset* :: $'a \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$ **where**
 $\text{elem_zmset } a \ M \equiv a \in \text{set_zmset } M$

notation

elem_zmset (*op* $\in \#_z$) **and**

elem_zmset ($(_ / \in \#_z _)$ [51, 51] 50)

notation (*ASCII*)

elem_zmset (*op* $:\#_z$) **and**

elem_zmset ($(_ / :\#_z _)$ [51, 51] 50)

abbreviation *not_elem_zmset* :: $'a \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool}$ **where**
 $\text{not_elem_zmset } a \ M \equiv a \notin \text{set_zmset } M$

notation

not_elem_zmset ($op \notin\#_z$) **and**
 not_elem_zmset ($(_ / \notin\#_z _)$ [51, 51] 50)

notation (ASCII)

not_elem_zmset ($op \sim\#_z$) **and**
 not_elem_zmset ($(_ / \sim\#_z _)$ [51, 51] 50)

context**begin****qualified abbreviation** $Ball :: 'a\ zmset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$ **where** $Ball\ M \equiv Set.Ball\ (set_zmset\ M)$ **qualified abbreviation** $Bex :: 'a\ zmset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$ **where** $Bex\ M \equiv Set.Bex\ (set_zmset\ M)$ **end****syntax**

$_MBall :: ptnr \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool$ ($(\exists\forall _ \in\#_z _ / _)$ [0, 0, 10] 10)
 $_MBex :: ptnr \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool$ ($(\exists\exists _ \in\#_z _ / _)$ [0, 0, 10] 10)

syntax (ASCII)

$_MBall :: ptnr \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool$ ($(\exists\forall _ :\#_z _ / _)$ [0, 0, 10] 10)
 $_MBex :: ptnr \Rightarrow 'a\ set \Rightarrow bool \Rightarrow bool$ ($(\exists\exists _ :\#_z _ / _)$ [0, 0, 10] 10)

translations

$\forall x \in\#_z A. P \equiv CONST\ Signed_Multiset.Ball\ A\ (\lambda x. P)$
 $\exists x \in\#_z A. P \equiv CONST\ Signed_Multiset.Bex\ A\ (\lambda x. P)$

lemma $zcount_eq_zero_iff: zcount\ M\ x = 0 \longleftrightarrow x \notin\#_z\ M$ **by** ($auto\ simp\ add: set_zmset_def$)**lemma** $not_in_iff_zmset: x \notin\#_z\ M \longleftrightarrow zcount\ M\ x = 0$ **by** ($auto\ simp\ add: zcount_eq_zero_iff$)**lemma** $zcount_ne_zero_iff[simp]: zcount\ M\ x \neq 0 \longleftrightarrow x \in\#_z\ M$ **by** ($auto\ simp\ add: set_zmset_def$)**lemma** $zcount_inI:$ **assumes** $zcount\ M\ x = 0 \implies False$ **shows** $x \in\#_z\ M$ **proof** ($rule\ ccontr$)**assume** $x \notin\#_z\ M$ **with** $assms$ **show** $False$ **by** ($simp\ add: not_in_iff_zmset$)**qed****lemma** $set_zmset_empty[simp]: set_zmset\ \{\#\}_z = \{\}$ **by** ($simp\ add: set_zmset_def$)**lemma** $set_zmset_single: set_zmset\ \{\#b\#}_z = \{b\}$ **by** ($simp\ add: set_zmset_def$)**lemma** $set_zmset_eq_empty_iff[simp]: set_zmset\ M = \{\} \longleftrightarrow M = \{\#\}_z$ **by** ($auto\ simp\ add: zmset_eq_iff\ zcount_eq_zero_iff$)**lemma** $finite_count_ne: finite\ \{x. count\ M\ x \neq count\ N\ x\}$ **proof** –**have** $\{x. count\ M\ x \neq count\ N\ x\} \subseteq set_mset\ M \cup set_mset\ N$ **by** ($auto\ simp: not_in_iff$)**moreover** **have** $finite\ (set_mset\ M \cup set_mset\ N)$ **by** ($rule\ finite_UnI[OF\ finite_set_mset\ finite_set_mset]$)

ultimately show ?thesis
 by (rule finite_subset)
 qed

lemma finite_set_zmset[iff]: finite (set_zmset M)
 unfolding set_zmset_def by transfer (auto intro: finite_count_ne)

lemma zmultiset_nonemptyE[elim]:
 assumes $A \neq \{\#\}_z$
 obtains x where $x \in \#_z A$
 proof -
 have $\exists x. x \in \#_z A$
 by (rule ccontr) (insert assms, auto)
 with that show ?thesis
 by blast
 qed

3.2.2 Union

lemma zcount_union[simp]: zcount (M + N) a = zcount M a + zcount N a
 by transfer auto

lemma union_add_left_zmset[simp]: add_zmset a A + B = add_zmset a (A + B)
 by (auto simp: zmultiset_eq_iff)

lemma union_zmset_add_zmset_right[simp]: A + add_zmset a B = add_zmset a (A + B)
 by (auto simp: zmultiset_eq_iff)

lemma add_zmset_add_single: $\langle \text{add_zmset } a A = A + \{\#a\# \}_z \rangle$
 by (subst union_zmset_add_zmset_right, subst add.comm_neutral) (rule refl)

3.2.3 Difference

lemma zcount_diff[simp]: zcount (M - N) a = zcount M a - zcount N a
 by transfer auto

lemma add_zmset_diff_bosides: $\langle \text{add_zmset } a M - \text{add_zmset } a A = M - A \rangle$
 by (auto simp: zmultiset_eq_iff)

lemma in_diff_zcount: $a \in \#_z M - N \iff zcount N a \neq zcount M a$
 by (fastforce simp: set_zmset_def)

lemma diff_add_zmset:
 fixes M N Q :: 'a zmultiset
 shows $M - (N + Q) = M - N - Q$
 by (rule sym) (fact diff_diff_add)

lemma insert_Diff_zmset[simp]: $\text{add_zmset } x (M - \{\#x\# \}_z) = M$
 by (clarsimp simp: zmultiset_eq_iff)

lemma diff_union_swap_zmset: $\text{add_zmset } b (M - \{\#a\# \}_z) = \text{add_zmset } b M - \{\#a\# \}_z$
 by (auto simp add: zmultiset_eq_iff)

lemma diff_add_zmset_swap[simp]: $\text{add_zmset } b M - A = \text{add_zmset } b (M - A)$
 by (auto simp add: zmultiset_eq_iff)

lemma diff_diff_add_zmset[simp]: $(M :: 'a zmultiset) - N - P = M - (N + P)$
 by (rule diff_diff_add)

lemma zmset_add[elim?]:
 obtains B where $A = \text{add_zmset } a B$
 proof -
 have $A = \text{add_zmset } a (A - \{\#a\# \}_z)$
 by simp

with that show thesis .
qed

3.2.4 Equality of Signed Multisets

lemma *single_eq_single_zmset*[simp]: $\{\#a\# \}_z = \{\#b\# \}_z \longleftrightarrow a = b$
by (auto simp add: zmset_eq_iff)

lemma *multi_self_add_other_not_self_zmset*[simp]: $M = \text{add_zmset } x \ M \longleftrightarrow \text{False}$
by (auto simp add: zmset_eq_iff)

lemma *add_zmset_remove_trivial*: $(\text{add_zmset } x \ M - \{\#x\# \}_z = M)$
by simp

lemma *diff_single_eq_union_zmset*: $M - \{\#x\# \}_z = N \longleftrightarrow M = \text{add_zmset } x \ N$
by auto

lemma *union_single_eq_diff_zmset*: $\text{add_zmset } x \ M = N \implies M = N - \{\#x\# \}_z$
unfolding *add_zmset_add_single*[of _ M] by (fact *add_implies_diff*)

lemma *add_zmset_eq_conv_diff*:
 $\text{add_zmset } a \ M = \text{add_zmset } b \ N \longleftrightarrow$
 $M = N \wedge a = b \vee M = \text{add_zmset } b \ (N - \{\#a\# \}_z) \wedge N = \text{add_zmset } a \ (M - \{\#b\# \}_z)$
by (simp add: zmset_eq_iff) fastforce

lemma *add_zmset_eq_conv_ex*:
 $(\text{add_zmset } a \ M = \text{add_zmset } b \ N) =$
 $(M = N \wedge a = b \vee (\exists K. M = \text{add_zmset } b \ K \wedge N = \text{add_zmset } a \ K))$
by (auto simp add: *add_zmset_eq_conv_diff*)

lemma *multi_member_split*: $\exists A. M = \text{add_zmset } x \ A$
by (rule *exI*[where $x = M - \{\#x\# \}_z$]) simp

3.3 Conversions from and to Multisets

lift-definition *zmset_of* :: 'a multiset \Rightarrow 'a zmset is $\lambda f. (\text{Abs_multiset } f, \{\#\})$.

lemma *zmset_of_inject*[simp]: $\text{zmset_of } M = \text{zmset_of } N \longleftrightarrow M = N$
by (simp add: *zmset_of_def*, *transfer*, auto simp: *equiv_zmset_def*)

lemma *zmset_of_empty*[simp]: $\text{zmset_of } \{\#\} = \{\#\}_z$
by (simp add: *zmset_of_def* *zero_zmset_def*)

lemma *zmset_of_add_mset*[simp]: $\text{zmset_of } (\text{add_mset } x \ M) = \text{add_zmset } x \ (\text{zmset_of } M)$
by *transfer* (auto simp: *equiv_zmset_def* *add_mset_def* *cong*: *if_cong*)

lemma *zcount_of_mset*[simp]: $\text{zcount } (\text{zmset_of } M) \ x = \text{int } (\text{count } M \ x)$
by (*induct* M) auto

lemma *zmset_of_plus*: $\text{zmset_of } (M + N) = \text{zmset_of } M + \text{zmset_of } N$
by (*transfer*, auto simp: *equiv_zmset_def* *eq_onp_same_args* *plus_multiset.abs_eq*)+

lift-definition *mset_pos* :: 'a zmset \Rightarrow 'a multiset is $\lambda(Mp, Mn). \text{count } (Mp - Mn)$
by (*clarsimp* simp: *equiv_zmset_def* *intro!*: *arg_cong*[of _ _ *count*])
(*metis* *add commute* *add_diff_cancel_right*)

lift-definition *mset_neg* :: 'a zmset \Rightarrow 'a multiset is $\lambda(Mp, Mn). \text{count } (Mn - Mp)$
by (*clarsimp* simp: *equiv_zmset_def* *intro!*: *arg_cong*[of _ _ *count*])
(*metis* *add commute* *add_diff_cancel_right*)

lemma
zmset_of_inverse[simp]: $\text{mset_pos } (\text{zmset_of } M) = M$ and
minus_zmset_of_inverse[simp]: $\text{mset_neg } (- \text{zmset_of } M) = M$
by (*transfer*, *simp*)+

lemma *neg_zmset_pos[simp]*: $mset_neg (zmset_of M) = \{\#\}$
by (rule *zmset_of_inject[THEN iffD1]*, *simp*, *transfer*, *auto simp: equiv_zmset_def*)**+**

lemma
count_mset_pos[simp]: $count (mset_pos M) x = nat (zcount M x)$ **and**
count_mset_neg[simp]: $count (mset_neg M) x = nat (- zcount M x)$
by (*transfer*; *auto*)**+**

lemma
mset_pos_empty[simp]: $mset_pos \{\#\}_z = \{\#\}$ **and**
mset_neg_empty[simp]: $mset_neg \{\#\}_z = \{\#\}$
by (rule *multiset_eqI*, *simp*)**+**

lemma
mset_pos_singleton[simp]: $mset_pos \{\#x\#}_z = \{\#x\#}$ **and**
mset_neg_singleton[simp]: $mset_neg \{\#x\#}_z = \{\#\}$
by (rule *multiset_eqI*, *simp*)**+**

lemma
mset_pos_neg_partition: $M = zmset_of (mset_pos M) - zmset_of (mset_neg M)$ **and**
mset_pos_as_neg: $zmset_of (mset_pos M) = zmset_of (mset_neg M) + M$ **and**
mset_neg_as_pos: $zmset_of (mset_neg M) = zmset_of (mset_pos M) - M$
by (rule *zmultiset_eqI*, *simp*)**+**

lemma *mset_pos_uminus[simp]*: $mset_pos (- A) = mset_neg A$
by (rule *multiset_eqI*) *simp*

lemma *mset_neg_uminus[simp]*: $mset_neg (- A) = mset_pos A$
by (rule *multiset_eqI*) *simp*

lemma *mset_pos_plus[simp]*:
 $mset_pos (A + B) = (mset_pos A - mset_neg B) + (mset_pos B - mset_neg A)$
by (rule *multiset_eqI*) *simp*

lemma *mset_neg_plus[simp]*:
 $mset_neg (A + B) = (mset_neg A - mset_pos B) + (mset_neg B - mset_pos A)$
by (rule *multiset_eqI*) *simp*

lemma *mset_pos_diff[simp]*:
 $mset_pos (A - B) = (mset_pos A - mset_pos B) + (mset_neg B - mset_neg A)$
by (rule *mset_pos_plus*[of $A - B$, *simplified*])

lemma *mset_neg_diff[simp]*:
 $mset_neg (A - B) = (mset_neg A - mset_neg B) + (mset_pos B - mset_pos A)$
by (rule *mset_neg_plus*[of $A - B$, *simplified*])

lemma *mset_pos_neg_dual*:
 $mset_pos a + mset_pos b + (mset_neg a - mset_pos b) + (mset_neg b - mset_pos a) =$
 $mset_neg a + mset_neg b + (mset_pos a - mset_neg b) + (mset_pos b - mset_neg a)$
using [[*linarith_split_limit* = 20]] **by** (rule *multiset_eqI*) *simp*

lemma *decompose_zmset_of2*:

obtains $A B C$ **where**

$M = zmset_of A + C$ **and**

$N = zmset_of B + C$

proof

let $?A = zmset_of (mset_pos M + mset_neg N)$

let $?B = zmset_of (mset_pos N + mset_neg M)$

let $?C = - (zmset_of (mset_neg M) + zmset_of (mset_neg N))$

show $M = ?A + ?C$

by (*simp add: zmset_of_plus mset_pos_neg_partition*)

show $N = ?B + ?C$
by (*simp add: zmsset_of_plus diff_add_zmsset mset_pos_neg_partition*)
qed

3.3.1 Pointwise Ordering Induced by *zcount*

definition *subseteq_zmsset* :: 'a *zmultiset* \Rightarrow 'a *zmultiset* \Rightarrow *bool* (**infix** $\subseteq\#_z$ 50) **where**
 $A \subseteq\#_z B \iff (\forall a. \text{zcount } A \ a \leq \text{zcount } B \ a)$

definition *subset_zmsset* :: 'a *zmultiset* \Rightarrow 'a *zmultiset* \Rightarrow *bool* (**infix** $\subset\#_z$ 50) **where**
 $A \subset\#_z B \iff A \subseteq\#_z B \wedge A \neq B$

abbreviation (*input*)
supseteq_zmsset :: 'a *zmultiset* \Rightarrow 'a *zmultiset* \Rightarrow *bool* (**infix** $\supseteq\#_z$ 50)
where
supseteq_zmsset $A \ B \equiv B \subseteq\#_z A$

abbreviation (*input*)
supset_zmsset :: 'a *zmultiset* \Rightarrow 'a *zmultiset* \Rightarrow *bool* (**infix** $\supset\#_z$ 50)
where
supset_zmsset $A \ B \equiv B \subset\#_z A$

notation (*input*)
subseteq_zmsset (**infix** $\subseteq\#_z$ 50) **and**
supseteq_zmsset (**infix** $\supseteq\#_z$ 50)

notation (*ASCII*)
subseq_zmsset (**infix** $\subseteq\#_z$ 50) **and**
subseq_zmsset (**infix** $\subset\#_z$ 50) **and**
supseq_zmsset (**infix** $\supseteq\#_z$ 50) **and**
supseq_zmsset (**infix** $\supset\#_z$ 50)

interpretation *subset_zmsset*: *ordered_ab_semigroup_add_imp_le* *op + op - op* $\subseteq\#_z$ *op* $\subset\#_z$
by *unfold_locales* (*auto simp add: subset_zmsset_def subseteq_zmsset_def zmultiset_eq_iff*
intro: order_trans antisym)

interpretation *subset_zmsset*:
ordered_ab_semigroup_monoid_add_imp_le *op + 0 op - op* $\subseteq\#_z$ *op* $\subset\#_z$
by *unfold_locales*

lemma *zmsset_subset_eqI*: $(\bigwedge a. \text{zcount } A \ a \leq \text{zcount } B \ a) \implies A \subseteq\#_z B$
by (*simp add: subseteq_zmsset_def*)

lemma *zmsset_subset_eq_zcount*: $A \subseteq\#_z B \implies \text{zcount } A \ a \leq \text{zcount } B \ a$
by (*simp add: subseteq_zmsset_def*)

lemma *zmsset_subset_eq_add_zmsset_cancel*: $(\text{add_zmsset } a \ A \subseteq\#_z \text{add_zmsset } a \ B \iff A \subseteq\#_z B)$
unfolding *add_zmsset_add_single*[of $_ A$] *add_zmsset_add_single*[of $_ B$]
by (*rule subset_zmsset.add_le_cancel_right*)

lemma *zmsset_subset_eq_zmultiset_union_diff_commute*:
 $A - B + C = A + C - B$ **for** $A \ B \ C :: 'a \ \text{zmultiset}$
by (*simp add: add commute add_diff_eq*)

lemma *zmsset_subset_eq_insertD*: $\text{add_zmsset } x \ A \subseteq\#_z B \implies A \subset\#_z B$
unfolding *subset_zmsset_def subseteq_zmsset_def*
by (*metis* (*no_types*) *add commute add_le_same_cancel2* *zcount_add_zmsset dual_order.trans le_cases*
le_numeral_extra(2))

lemma *zmsset_subset_insertD*: $\text{add_zmsset } x \ A \subset\#_z B \implies A \subset\#_z B$
by (*rule zmsset_subset_eq_insertD*) (*rule subset_zmsset.less_imp_le*)

lemma *subset_eq_diff_conv_zmsset*: $A - C \subseteq\#_z B \iff A \subseteq\#_z B + C$
by (*simp add: subseteq_zmsset_def ordered_ab_group_add_class.diff_le_eq*)

lemma *multi_psub_of_add_self_zmset[simp]*: $A \subseteq_{\#z} \text{add_zmset } x \ A$
by (*auto simp: subset_zmset_def subseteq_zmset_def*)

lemma *multi_psub_self_zmset*: $A \subseteq_{\#z} A = \text{False}$
by *simp*

lemma *zmset_subset_add_zmset[simp]*: $\text{add_zmset } x \ N \subseteq_{\#z} \text{add_zmset } x \ M \iff N \subseteq_{\#z} M$
unfolding *add_zmset_add_single[of _ N] add_zmset_add_single[of _ M]*
by (*fact subset_zmset.add_less_cancel_right*)

lemma *zmset_of_subseteq_iff[simp]*: $\text{zmset_of } M \subseteq_{\#z} \text{zmset_of } N \iff M \subseteq_{\#} N$
by (*simp add: subseteq_zmset_def subseteq_mset_def*)

lemma *zmset_of_subset_iff[simp]*: $\text{zmset_of } M \subseteq_{\#z} \text{zmset_of } N \iff M \subseteq_{\#} N$
by (*simp add: subset_zmset_def subset_mset_def*)

lemma
mset_pos_supset: $A \subseteq_{\#z} \text{zmset_of } (\text{mset_pos } A)$ **and**
mset_neg_supset: $- A \subseteq_{\#z} \text{zmset_of } (\text{mset_neg } A)$
by (*auto intro: zmset_subset_eqI*)

lemma *subset_mset_zmsetE*:
assumes $M \subseteq_{\#z} N$
obtains $A \ B \ C$ **where**
 $M = \text{zmset_of } A + C$ **and** $N = \text{zmset_of } B + C$ **and** $A \subseteq_{\#} B$
by (*metis assms decompose_zmset_of2 subset_zmset.add_less_cancel_right zmset_of_subset_iff*)

lemma *subseteq_mset_zmsetE*:
assumes $M \subseteq_{\#z} N$
obtains $A \ B \ C$ **where**
 $M = \text{zmset_of } A + C$ **and** $N = \text{zmset_of } B + C$ **and** $A \subseteq_{\#} B$
by (*metis assms add.commute add.right_neutral subset_mset.order_refl subset_mset_def subset_mset_zmsetE subset_zmset_def zmset_of_empty*)

3.3.2 Subset is an Order

interpretation *subset_zmset*: $\text{order } \text{op } \subseteq_{\#z} \text{op } \subseteq_{\#z}$
by *unfold_locales*

3.4 Replicate and Repeat Operations

definition *replicate_zmset* :: $\text{nat} \Rightarrow 'a \Rightarrow 'a \ \text{zmultiset}$ **where**
 $\text{replicate_zmset } n \ x = (\text{add_zmset } x \ \wedge \wedge n) \ \{\#\}_z$

lemma *replicate_zmset_0[simp]*: $\text{replicate_zmset } 0 \ x = \{\#\}_z$
unfolding *replicate_zmset_def* **by** *simp*

lemma *replicate_zmset_Suc[simp]*: $\text{replicate_zmset } (\text{Suc } n) \ x = \text{add_zmset } x \ (\text{replicate_zmset } n \ x)$
unfolding *replicate_zmset_def* **by** (*induct n*) (*auto intro: add.commute*)

lemma *count_replicate_zmset[simp]*:
 $\text{zcount } (\text{replicate_zmset } n \ x) \ y = (\text{if } y = x \ \text{then } \text{of_nat } n \ \text{else } 0)$
unfolding *replicate_zmset_def* **by** (*induct n*) *auto*

fun *repeat_zmset* :: $\text{nat} \Rightarrow 'a \ \text{zmultiset} \Rightarrow 'a \ \text{zmultiset}$ **where**
 $\text{repeat_zmset } 0 \ _ = \{\#\}_z$ |
 $\text{repeat_zmset } (\text{Suc } n) \ A = A + \text{repeat_zmset } n \ A$

lemma *count_repeat_zmset[simp]*: $\text{zcount } (\text{repeat_zmset } i \ A) \ a = \text{of_nat } i * \text{zcount } A \ a$
by (*induct i*) (*auto simp: semiring_normalization_rules(3)*)

lemma *repeat_zmset_right[simp]*: $\text{repeat_zmset } a \ (\text{repeat_zmset } b \ A) = \text{repeat_zmset } (a * b) \ A$
by (*auto simp: zmultiset_eq_iff left_diff_distrib'*)

lemma *left_diff_repeat_zmset_distrib'*:

$\langle i \geq j \implies \text{repeat_zmset } (i - j) \ u = \text{repeat_zmset } i \ u - \text{repeat_zmset } j \ u \rangle$
by (*auto simp: zm��et_eq_iff int_distrib(3) of_nat_diff*)

lemma *left_add_mult_distrib_zm��et*:

$\text{repeat_zm��et } i \ u + (\text{repeat_zm��et } j \ u + k) = \text{repeat_zm��et } (i+j) \ u + k$
by (*auto simp: zm��et_eq_iff add_mult_distrib int_distrib(1)*)

lemma *repeat_zm��et_distrib*: $\text{repeat_zm��et } (m + n) \ A = \text{repeat_zm��et } m \ A + \text{repeat_zm��et } n \ A$

by (*auto simp: zm��et_eq_iff Nat.add_mult_distrib int_distrib(1)*)

lemma *repeat_zm��et_distrib2[simp]*:

$\text{repeat_zm��et } n \ (A + B) = \text{repeat_zm��et } n \ A + \text{repeat_zm��et } n \ B$
by (*auto simp: zm��et_eq_iff add_mult_distrib2 int_distrib(2)*)

lemma *repeat_zm��et_replicate_zm��et[simp]*: $\text{repeat_zm��et } n \ \{\#a\#_z = \text{replicate_zm��et } n \ a$

by (*auto simp: zm��et_eq_iff*)

lemma *repeat_zm��et_distrib_add_zm��et[simp]*:

$\text{repeat_zm��et } n \ (\text{add_zm��et } a \ A) = \text{replicate_zm��et } n \ a + \text{repeat_zm��et } n \ A$
by (*auto simp: zm��et_eq_iff int_distrib(2)*)

lemma *repeat_zm��et_empty[simp]*: $\text{repeat_zm��et } n \ \{\#\}_z = \{\#\}_z$

by (*induct n*) *simp_all*

3.4.1 Filter (with Comprehension Syntax)

lift-definition *filter_zm��et* :: $('a \implies \text{bool}) \implies 'a \ \text{zm��et} \implies 'a \ \text{zm��et}$ **is**

$\lambda P \ (Mp, Mn). (\text{filter_m��et } P \ Mp, \text{filter_m��et } P \ Mn)$

by (*auto simp del: filter_union_m��et simp: equiv_zm��et_def filter_union_m��et[symmetric]*)

syntax (*ASCII*)

$_M\text{Collect} :: \text{pttrn} \implies 'a \ \text{zm��et} \implies \text{bool} \implies 'a \ \text{zm��et} \ ((1\{\#_ : \#z _ / _ \#\}))$

syntax

$_M\text{Collect} :: \text{pttrn} \implies 'a \ \text{zm��et} \implies \text{bool} \implies 'a \ \text{zm��et} \ ((1\{\#_ \in \#z _ / _ \#\}))$

translations

$\{\#x \in \#z \ M. P\#\} == \text{CONST filter_zm��et } (\lambda x. P) \ M$

lemma *count_filter_zm��et[simp]*:

$z\text{count } (\text{filter_zm��et } P \ M) \ a = (\text{if } P \ a \ \text{then } z\text{count } M \ a \ \text{else } 0)$

by *transfer auto*

lemma *filter_empty_zm��et[simp]*: $\text{filter_zm��et } P \ \{\#\}_z = \{\#\}_z$

by (*rule zm��et_eqI*) *simp*

lemma *filter_single_zm��et*: $\text{filter_zm��et } P \ \{\#x\#_z = (\text{if } P \ x \ \text{then } \{\#x\#_z \ \text{else } \{\#\}_z)$

by (*rule zm��et_eqI*) *simp*

lemma *filter_union_zm��et[simp]*: $\text{filter_zm��et } P \ (M + N) = \text{filter_zm��et } P \ M + \text{filter_zm��et } P \ N$

by (*rule zm��et_eqI*) *simp*

lemma *filter_diff_zm��et[simp]*: $\text{filter_zm��et } P \ (M - N) = \text{filter_zm��et } P \ M - \text{filter_zm��et } P \ N$

by (*rule zm��et_eqI*) *simp*

lemma *filter_add_zm��et[simp]*:

$\text{filter_zm��et } P \ (\text{add_zm��et } x \ A) =$

$(\text{if } P \ x \ \text{then } \text{add_zm��et } x \ (\text{filter_zm��et } P \ A) \ \text{else } \text{filter_zm��et } P \ A)$

by (*auto simp: zm��et_eq_iff*)

lemma *zm��et_filter_mono*:

assumes $A \subseteq_{\#z} B$

shows $\text{filter_zm��et } f \ A \subseteq_{\#z} \text{filter_zm��et } f \ B$

using *assms* **by** (*simp add: subseteq_zm��et_def*)

lemma *filter_filter_zmset*: $\text{filter_zmset } P (\text{filter_zmset } Q M) = \{\#x \in\# M. Q x \wedge P x\# \}$
by (*auto simp: zmset_eq_iff*)

lemma

filter_zmset_True[*simp*]: $\{\#y \in\#_z M. \text{True}\#\} = M$ **and**
filter_zmset_False[*simp*]: $\{\#y \in\#_z M. \text{False}\#\} = \{\#\}_z$
by (*auto simp: zmset_eq_iff*)

3.5 Uncategorized

lemma *multi_drop_mem_not_eq_zmset*: $B - \{\#c\# \}_z \neq B$
by (*simp add: diff_single_eq_union_zmset*)

lemma *zmset_partition*: $M = \{\#x \in\#_z M. P x \#\} + \{\#x \in\#_z M. \neg P x \#\}$
by (*subst zmset_eq_iff*) *auto*

3.6 Image

definition *image_zmset* :: $('a \Rightarrow 'b) \Rightarrow 'a \text{ zmset} \Rightarrow 'b \text{ zmset}$ **where**

image_zmset *f* *M* =
 $\text{zmset_of } (\text{fold_mset } (\text{add_mset } \circ f) \{\#\} (\text{mset_pos } M)) -$
 $\text{zmset_of } (\text{fold_mset } (\text{add_mset } \circ f) \{\#\} (\text{mset_neg } M))$

3.7 Multiset Order

instantiation *zmset* :: (*preorder*) *order*
begin

lift-definition *less_zmset* :: $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow \text{bool}$ **is**

$\lambda(Mp, Mn) (Np, Nn). Mp + Nn < Mn + Np$

proof (*clarsimp simp: equiv_zmset_def*)

fix *A1 B2 B1 A2 C1 D2 D1 C2* :: $'a \text{ multiset}$

assume

ab: $A1 + A2 = B1 + B2$ **and**

cd: $C1 + C2 = D1 + D2$

have $A1 + D2 < B2 + C1 \iff A1 + A2 + D2 < A2 + B2 + C1$

by *simp*

also have $\dots \iff B1 + B2 + D2 < A2 + B2 + C1$

unfolding *ab* **by** (*rule refl*)

also have $\dots \iff B1 + D2 < A2 + C1$

by *simp*

also have $\dots \iff B1 + D1 + D2 < A2 + C1 + D1$

by *simp*

also have $\dots \iff B1 + C1 + C2 < A2 + C1 + D1$

using *cd* **by** (*simp add: add.assoc*)

also have $\dots \iff B1 + C2 < A2 + D1$

by *simp*

finally show $A1 + D2 < B2 + C1 \iff B1 + C2 < A2 + D1$

by *assumption*

qed

definition *less_eq_zmset* :: $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow \text{bool}$ **where**

less_eq_zmset *M' M* $\iff M' < M \vee M' = M$

instance

proof (*((intro_classes; unfold less_eq_zmset_def; transfer),*

auto simp: equiv_zmset_def union_commute)

fix *A1 B1 D C B2 A2* :: $'a \text{ multiset}$

assume *ab*: $A1 + A2 \neq B1 + B2$

{

assume *ab1*: $A1 + C < B1 + D$

```

{
  assume ab2:  $D + A2 < C + B2$ 
  show  $A1 + A2 < B1 + B2$ 
  proof -
    have f1:  $\bigwedge m. D + A2 + m < C + B2 + m$ 
      using ab2 add_less_cancel_right by blast
    have  $\bigwedge m. C + (A1 + m) < D + (B1 + m)$ 
      by (simp add: ab1 add.commute)
    then have  $D + (A2 + A1) < D + (B1 + B2)$ 
      using f1 by (metis add.assoc add.commute mset_le_trans)
    then show ?thesis
      by (simp add: add.commute)
  qed
}
{
  assume ab2:  $D + A2 = C + B2$ 
  show  $A1 + A2 < B1 + B2$ 
  proof -
    have  $\bigwedge m. C + A1 + m < D + B1 + m$ 
      by (simp add: ab1 add.commute)
    then have  $D + (A2 + A1) < D + (B1 + B2)$ 
      by (metis (no_types) ab2 add.assoc add.commute)
    then show ?thesis
      by (simp add: add.commute)
  qed
}
}

{
  assume ab1:  $A1 + C = B1 + D$ 

  {
    assume ab2:  $D + A2 < C + B2$ 
    show  $A1 + A2 < B1 + B2$ 
    proof -
      have  $A1 + (D + A2) < B1 + (D + B2)$ 
        by (metis (no_types) ab1 ab2 add.assoc add_less_cancel_left)
      then show ?thesis
        by simp
    qed
  }
  {
    assume ab2:  $D + A2 = C + B2$ 
    have False
      by (metis (no_types) ab ab1 ab2 add.assoc add.commute add_diff_cancel_right')
    thus  $A1 + A2 < B1 + B2$ 
      by sat
  }
}
}
qed

end

instance zmultiset :: (preorder) ordered_cancel_comm_monoid_add
  by (intro_classes, unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def)

instance zmultiset :: (preorder) ordered_ab_group_add
  by (intro_classes; transfer; auto simp: equiv_zmset_def)

instantiation zmultiset :: (linorder) distrib_lattice
begin

```

definition *inf_zmultiset* :: 'a zmultiset \Rightarrow 'a zmultiset \Rightarrow 'a zmultiset **where**
inf_zmultiset A B = (if A < B then A else B)

definition *sup_zmultiset* :: 'a zmultiset \Rightarrow 'a zmultiset \Rightarrow 'a zmultiset **where**
sup_zmultiset A B = (if B > A then B else A)

lemma *not_lt_iff_ge_zmset*: $\neg x < y \iff x \geq y$ **for** $x\ y :: 'a\ zmultiset$
by (unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def algebra_simps)

instance
by intro_classes (auto simp: less_eq_zmultiset_def inf_zmultiset_def sup_zmultiset_def
dest!: not_lt_iff_ge_zmset[THEN iffD1])

end

lemma *zmset_of_less*: *zmset_of* M < *zmset_of* N $\iff M < N$
by (clarsimp simp: zmset_of_def, transfer, simp)+

lemma *zmset_of_le*: *zmset_of* M \leq *zmset_of* N $\iff M \leq N$
by (simp_all add: less_eq_zmultiset_def zmset_of_def; transfer; auto simp: equiv_zmset_def)

instance zmultiset :: (preorder) ordered_ab_semigroup_add
by (intro_classes, unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def)

lemma *uminus_add_conv_diff_mset*[cancelation_simproc_pre]: $\langle -a + b = b - a \rangle$ **for** $a :: \langle 'a\ zmultiset \rangle$
by (simp add: add commute)

lemma *uminus_add_add_uminus*[cancelation_simproc_pre]: $\langle b - a + c = b + c - a \rangle$ **for** $a :: \langle 'a\ zmultiset \rangle$
by (simp add: uminus_add_conv_diff_mset zmset_subset_eq_zmultiset_union_diff_commute)

lemma *add_zmset_eq_add_NO_MATCH*[cancelation_simproc_pre]:
 $\langle NO_MATCH\ \{\#\}_z\ H \implies add_zmset\ a\ H = \{\#a\#\}_z + H \rangle$
by auto

lemma *repeat_zmset_iterate_add*: $\langle repeat_zmset\ n\ M = iterate_add\ n\ M \rangle$
unfolding *iterate_add_def* **by** (induction n) auto

declare *repeat_zmset_iterate_add*[cancelation_simproc_pre]

declare *repeat_zmset_iterate_add*[symmetric, cancelation_simproc_post]

simproc-setup *zmseteq_cancel_numerals*
 $((l :: 'a\ zmultiset) + m = n \mid (l :: 'a\ zmultiset) = m + n \mid$
add_zmset a m = n \mid m = *add_zmset* a n \mid
replicate_zmset p a = n \mid m = *replicate_zmset* p a \mid
repeat_zmset p m = n \mid m = *repeat_zmset* p m) =
 $\langle fn\ phi \implies Cancel_Simprocs.eq_cancel \rangle$

lemma *zmset_subseteq_add_iff1*:
 $\langle j \leq i \implies (repeat_zmset\ i\ u + m \subseteq\#_z\ repeat_zmset\ j\ u + n) = (repeat_zmset\ (i - j)\ u + m \subseteq\#_z\ n) \rangle$
by (simp add: add commute add_diff_eq left_diff_repeat_zmset_distrib' subset_eq_diff_conv_zmset)

lemma *zmset_subseteq_add_iff2*:
 $\langle i \leq j \implies (repeat_zmset\ i\ u + m \subseteq\#_z\ repeat_zmset\ j\ u + n) = (m \subseteq\#_z\ repeat_zmset\ (j - i)\ u + n) \rangle$

proof -

assume $i \leq j$

then have $\bigwedge z. repeat_zmset\ j\ (z :: 'a\ zmultiset) - repeat_zmset\ i\ z = repeat_zmset\ (j - i)\ z$

by (simp add: left_diff_repeat_zmset_distrib')

then show ?thesis

by (metis add commute diff_diff_eq2 subset_eq_diff_conv_zmset)

qed

lemma `zmset_subset_add_iff1`:

$\langle j \leq i \implies (\text{repeat_zmset } i \ u + m \subset\#_z \text{ repeat_zmset } j \ u + n) = (\text{repeat_zmset } (i - j) \ u + m \subset\#_z n) \rangle$
by (`simp add: subset_zmset.less_le_not_le zmset_subseteq_add_iff1 zmset_subseteq_add_iff2`)

lemma `zmset_subset_add_iff2`:

$\langle i \leq j \implies (\text{repeat_zmset } i \ u + m \subset\#_z \text{ repeat_zmset } j \ u + n) = (m \subset\#_z \text{ repeat_zmset } (j - i) \ u + n) \rangle$
by (`simp add: subset_zmset.less_le_not_le zmset_subseteq_add_iff1 zmset_subseteq_add_iff2`)

ML-file `(zmultiset_simprocs.ML)`

simproc-setup `zmsetssubset_cancel`

$((l::'a \ \text{zmultiset}) + m \subset\#_z n \mid (l::'a \ \text{zmultiset}) \subset\#_z m + n \mid$
`add_zmset a m \subset\#_z n \mid m \subset\#_z add_zmset a n \mid`
`replicate_zmset p a \subset\#_z n \mid m \subset\#_z replicate_zmset p a \mid`
`repeat_zmset p m \subset\#_z n \mid m \subset\#_z repeat_zmset p m) =`
`\fn phi => ZMultiset_Simprocs.subset_cancel_zmsets)`

simproc-setup `zmsetsubseteq_cancel`

$((l::'a \ \text{zmultiset}) + m \subseteq\#_z n \mid (l::'a \ \text{zmultiset}) \subseteq\#_z m + n \mid$
`add_zmset a m \subseteq\#_z n \mid m \subseteq\#_z add_zmset a n \mid`
`replicate_zmset p a \subseteq\#_z n \mid m \subseteq\#_z replicate_zmset p a \mid`
`repeat_zmset p m \subseteq\#_z n \mid m \subseteq\#_z repeat_zmset p m) =`
`\fn phi => ZMultiset_Simprocs.subseteq_cancel_zmsets)`

instance `zmultiset` :: (`preorder`) `ordered_ab_semigroup_add_imp_le`

by (`intro_classes; unfold less_eq_zmultiset_def; transfer; auto`)

simproc-setup `zmsetless_cancel`

$((l::'a::\text{preorder} \ \text{zmultiset}) + m < n \mid (l::'a \ \text{zmultiset}) < m + n \mid$
`add_zmset a m < n \mid m < add_zmset a n \mid`
`replicate_zmset p a < n \mid m < replicate_zmset p a \mid`
`repeat_zmset p m < n \mid m < repeat_zmset p m) =`
`\fn phi => Cancel_Simprocs.less_cancel)`

simproc-setup `zmsetless_eq_cancel`

$((l::'a::\text{preorder} \ \text{zmultiset}) + m \leq n \mid (l::'a \ \text{zmultiset}) \leq m + n \mid$
`add_zmset a m \leq n \mid m \leq add_zmset a n \mid`
`replicate_zmset p a \leq n \mid m \leq replicate_zmset p a \mid`
`repeat_zmset p m \leq n \mid m \leq repeat_zmset p m) =`
`\fn phi => Cancel_Simprocs.less_eq_cancel)`

simproc-setup `zmsetdiff_cancel`

$(n + (l::'a \ \text{zmultiset}) \mid (l::'a \ \text{zmultiset}) - m \mid$
`add_zmset a m - n \mid m - add_zmset a n \mid`
`replicate_zmset p r - n \mid m - replicate_zmset p r \mid`
`repeat_zmset p m - n \mid m - repeat_zmset p m) =`
`\fn phi => Cancel_Simprocs.diff_cancel)`

instance `zmultiset` :: (`linorder`) `linordered_cancel_ab_semigroup_add`

by (`intro_classes, unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def add commute`)

lemma `less_mset_zmsetE`:

assumes $M < N$

obtains $A \ B \ C$ **where**

$M = \text{zmset_of } A + C$ **and** $N = \text{zmset_of } B + C$ **and** $A < B$

by (`metis add_less_imp_less_right assms decompose_zmset_of2 zmset_of_less`)

lemma `less_eq_mset_zmsetE`:

assumes $M \leq N$

obtains $A \ B \ C$ **where**

$M = \text{zmset_of } A + C$ **and** $N = \text{zmset_of } B + C$ **and** $A \leq B$

by (`metis add commute add.right_neutral assms le_neq_trans less_imp_le less_mset_zmsetE order_refl zmset_of_empty`)

```

lemma subset_eq_imp_le_zmset:  $M \subseteq\#_z N \implies M \leq N$ 
  by (metis (no_types) add_mono_thms_linordered_semiring(3) subset_eq_imp_le_multiset
    subseteq_mset_zmsetE zmset_of_le)

```

```

lemma subset_imp_less_zmset:  $M \subset\#_z N \implies M < N$ 
  by (metis le_neg_trans subset_eq_imp_le_zmset subset_zmset_def)

```

```

lemma lt_imp_ex_zcount_lt:
  assumes m_lt_n:  $M < N$ 
  shows  $\exists y. \text{zcount } M \ y < \text{zcount } N \ y$ 
proof (rule ccontr, clarsimp)
  assume  $\forall y. \neg \text{zcount } M \ y < \text{zcount } N \ y$ 
  hence  $\forall y. \text{zcount } M \ y \geq \text{zcount } N \ y$ 
    by (simp add: leI)
  hence  $M \supseteq\#_z N$ 
    by (simp add: zmset_subset_eqI)
  hence  $M \geq N$ 
    by (simp add: subset_eq_imp_le_zmset)
  thus False
    using m_lt_n by simp
qed

```

```

instance zmultiset :: (preorder) no_top

```

```

proof
  fix M :: 'a zmultiset
  obtain a :: 'a where True by fast
  let ?M = (zmset_of (mset_pos M) + zmset_of (mset_neg M))
  have  $M < \text{add\_zmset } a \ ?M + ?M$ 
    by (subst mset_pos_neg_partition)
    (auto simp: subset_zmset_def subseteq_zmset_def zmultiset_eq_iff
      intro!: subset_imp_less_zmset)
  then show  $\langle \exists N. M < N \rangle$ 
    by blast
qed

```

```

qed

```

```

end

```

4 Nested Multisets

```

theory Nested_Multiset
imports HOL-Library.Multiset_Order
begin

```

```

declare multiset.map_comp [simp]
declare multiset.map_cong [cong]

```

4.1 Type Definition

```

datatype 'a nmultiset =
  Elem 'a
| MSet 'a nmultiset multiset

```

```

inductive no_elem :: 'a nmultiset  $\Rightarrow$  bool where
  ( $\bigwedge X. X \in\# M \implies \text{no\_elem } X$ )  $\implies \text{no\_elem } (\text{MSet } M)$ 

```

```

inductive-set sub_nmset :: ('a nmultiset  $\times$  'a nmultiset) set where
   $X \in\# M \implies (X, \text{MSet } M) \in \text{sub\_nmset}$ 

```

```

lemma wf_sub_nmset[simp]: wf sub_nmset
proof (rule wfUNIVI)
  fix P :: 'a nmultiset  $\Rightarrow$  bool and M :: 'a nmultiset
  assume IH:  $\forall M. (\forall N. (N, M) \in \text{sub\_nmset} \longrightarrow P \ N) \longrightarrow P \ M$ 

```

show $P M$
by (*induct* M ; *rule* $IH[\text{rule_format}]$) (*auto simp*: *sub_nmset.simps*)
qed

primrec $\text{depth_nmset} :: 'a \text{ nmultiset} \Rightarrow \text{nat} (\lfloor _ \rfloor)$ **where**
 $|\text{Elem } a| = 0$
 $|\text{MSet } M| = (\text{let } X = \text{set_mset } (\text{image_mset } \text{depth_nmset } M) \text{ in if } X = \{\} \text{ then } 0 \text{ else } \text{Suc } (\text{Max } X))$

lemma $\text{depth_nmset_MSet}: x \in\# M \Longrightarrow |x| < |\text{MSet } M|$
by (*auto simp*: *less_Suc_eq_le*)

declare $\text{depth_nmset.simps}(2)[\text{simp del}]$

4.2 Dershowitz and Manna's Nested Multiset Order

The Dershowitz–Manna extension:

definition $\text{less_multiset_ext}_{DM} :: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool}$ **where**
 $\text{less_multiset_ext}_{DM} R M N \longleftrightarrow$
 $(\exists X Y. X \neq \{\#\} \wedge X \subseteq\# N \wedge M = (N - X) + Y \wedge (\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge R k a)))$

lemma $\text{less_multiset_ext}_{DM_imp_mult}$:

assumes

$N_A: \text{set_mset } N \subseteq A$ **and** $M_A: \text{set_mset } M \subseteq A$ **and** $\text{less}: \text{less_multiset_ext}_{DM} R M N$

shows $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$

proof –

from less **obtain** $X Y$ **where**

$X \neq \{\#\}$ **and** $X \subseteq\# N$ **and** $M = N - X + Y$ **and** $\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge R k a)$

unfolding $\text{less_multiset_ext}_{DM_def}$ **by** *blast*

with $N_A M_A$ **have** $(N - X + Y, N - X + X) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$

by (*intro one_step_implies_mult*, *blast*,

metis (*mono_tags*, *lifting*) *case_prodI mem_Collect_eq mset_subset_eqD mset_subset_eq_add_right subsetCE*)

with $(M = N - X + Y) (X \subseteq\# N)$ **show** $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$

by (*simp add*: *subset_mset.diff_add*)

qed

lemma $\text{mult_imp_less_multiset_ext}_{DM}$:

assumes

$N_A: \text{set_mset } N \subseteq A$ **and** $M_A: \text{set_mset } M \subseteq A$ **and**

trans: $\forall x \in A. \forall y \in A. \forall z \in A. R x y \longrightarrow R y z \longrightarrow R x z$ **and**

in_mult: $(M, N) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge R x y\}$

shows $\text{less_multiset_ext}_{DM} R M N$

using *in_mult* $N_A M_A$ **unfolding** $\text{mult_def less_multiset_ext}_{DM_def}$

proof *induct*

case (*base* N)

then obtain $y M0 X$ **where** $N = \text{add_mset } y M0$ **and** $M = M0 + X$ **and** $\forall a. a \in\# X \longrightarrow R a y$

unfolding mult1_def **by** *auto*

thus *?case*

by (*auto intro*: *exI[of _ \{\#y\#}]*)

next

case (*step* $N N'$)

note $N_N'_in_mult1 = \text{this}(2)$ **and** $ih = \text{this}(3)$ **and** $N'_A = \text{this}(4)$ **and** $M_A = \text{this}(5)$

have $N_A: \text{set_mset } N \subseteq A$

using $N_N'_in_mult1 N'_A$ **unfolding** mult1_def **by** *auto*

obtain $Y X$ **where** $y_nemp: Y \neq \{\#\}$ **and** $y_sub_N: Y \subseteq\# N$ **and** $M_eq: M = N - Y + X$ **and**

$ex_y: \forall x. x \in\# X \longrightarrow (\exists y. y \in\# Y \wedge R x y)$

using $ih[OF N_A M_A]$ **by** *blast*

obtain $z M0 Ya$ **where** $N'_eq: N' = M0 + \{\#z\#}$ **and** $N_eq: N = M0 + Ya$ **and**

$z_gt: \forall y. y \in\# Ya \longrightarrow y \in A \wedge z \in A \wedge R y z$

using $N_N'_in_mult1[\text{unfolded } \text{mult1_def}]$ **by** *auto*


```

let ?Za = Y - Ya + {#z#}
let ?Xa = X + Ya + (Y - Ya) - Y

have xa_sub_x_ya: set_mset ?Xa ⊆ set_mset (X + Ya)
  by (metis diff_subset_eq_self in_diffD subsetI subset_mset.diff_diff_right)

have x_A: set_mset X ⊆ A
  using M_A M_eq by auto
have ya_A: set_mset Ya ⊆ A
  by (simp add: subsetI z_gt)

have ex_y': ∃y. y ∈# Y - Ya + {#z#} ∧ R x y if x_in: x ∈# X + Ya for x
proof (cases x ∈# X)
  case True
  then obtain y where y_in: y ∈# Y and y_gt_x: R x y
    using ex_y by blast
  show ?thesis
  proof (cases y ∈# Ya)
    case False
    hence y ∈# Y - Ya + {#z#}
      using y_in count_greater_zero_iff_in_diff_count by fastforce
    thus ?thesis
      using y_gt_x by blast
  next
  case True
  hence y ∈ A and z ∈ A and R y z
    using z_gt by blast+
  hence R x z
    using trans y_gt_x x_A ya_A x_in by (meson subsetCE union_iff)
  thus ?thesis
    by auto
  qed
next
  case False
  hence x ∈# Ya
    using x_in by auto
  hence x ∈ A and z ∈ A and R x z
    using z_gt by blast+
  thus ?thesis
    by auto
  qed

show ?case
proof (rule exI[of _ ?Za], rule exI[of _ ?Xa], intro conjI)
  show Y - Ya + {#z#} ⊆# N'
    using mset_subset_eq_mono_add subset_eq_diff_conv y_sub_N N_eq N'_eq
    by (simp add: subset_eq_diff_conv)
  next
  show M = N' - (Y - Ya + {#z#}) + (X + Ya + (Y - Ya) - Y)
    unfolding M_eq N_eq N'_eq by (auto simp: multiset_eq_iff)
  next
  show ∀x. x ∈# X + Ya + (Y - Ya) - Y ⟶ (∃y. y ∈# Y - Ya + {#z#} ∧ R x y)
    using ex_y' xa_sub_x_ya by blast
  qed auto
qed

lemma less_multiset_ext_DM_iff_mult:
  assumes
    N_A: set_mset N ⊆ A and M_A: set_mset M ⊆ A and
    trans: ∀x ∈ A. ∀y ∈ A. ∀z ∈ A. R x y ⟶ R y z ⟶ R x z
  shows less_multiset_ext_DM R M N ⟷ (M, N) ∈ mult {(x, y). x ∈ A ∧ y ∈ A ∧ R x y}
  using mult_imp_less_multiset_ext_DM[OF assms] less_multiset_ext_DM_imp_mult[OF N_A M_A] by blast

```

instantiation *nmultiset* :: (preorder) preorder
begin

lemma *less_multiset_ext_DM_cong*[*fundef_cong*]:
 $(\bigwedge X Y k a. X \neq \{\#\} \implies X \subseteq\# N \implies M = (N - X) + Y \implies k \in\# Y \implies R k a = S k a) \implies$
 $less_multiset_ext_{DM} R M N = less_multiset_ext_{DM} S M N$
unfolding *less_multiset_ext_DM_def* **by** *metis*

function *less_nmultiset* :: 'a *nmultiset* \Rightarrow 'a *nmultiset* \Rightarrow bool **where**
less_nmultiset (Elem a) (Elem b) \longleftrightarrow a < b
less_nmultiset (Elem a) (MSet M) \longleftrightarrow True
less_nmultiset (MSet M) (Elem a) \longleftrightarrow False
less_nmultiset (MSet M) (MSet N) \longleftrightarrow *less_multiset_ext_DM less_nmultiset M N*
by *pat_completeness auto*

termination

by (relation *sub_nmset* <*<lex*> *sub_nmset*, *fastforce*,
metis sub_nmset.simps in_lex_prod mset_subset_eqD mset_subset_eq_add_right)

lemmas *less_nmultiset_induct* =
less_nmultiset.induct[*case_names Elem_Elem Elem_MSet MSet_Elem MSet_MSet*]

lemmas *less_nmultiset_cases* =
less_nmultiset.cases[*case_names Elem_Elem Elem_MSet MSet_Elem MSet_MSet*]

lemma *trans_less_nmultiset*: X < Y \implies Y < Z \implies X < Z **for** X Y Z :: 'a *nmultiset*

proof (*induct* Max {|X|, |Y|, |Z|} *arbitrary*: X Y Z
rule: *less_induct*)

case *less*

from *less*(2,3) **show** ?*case*

proof (*cases* X; *cases* Y; *cases* Z)

fix M N N' :: 'a *nmultiset multiset*

define A **where** A = *set_mset* M \cup *set_mset* N \cup *set_mset* N'

assume XYZ: X = *MSet* M Y = *MSet* N Z = *MSet* N'

then have *trans*: $\forall x \in A. \forall y \in A. \forall z \in A. x < y \longrightarrow y < z \longrightarrow x < z$

by (*auto elim*!: *less*(1)[*rotated* -1] *dest*!: *depth_nmset_MSet simp add*: A_def)

have *set_mset* M \subseteq A *set_mset* N \subseteq A *set_mset* N' \subseteq A

unfolding A_def **by** *auto*

with *less*(2,3) XYZ **show** X < Z

by (*auto simp*: *less_multiset_ext_DM_iff_mult*[OF _ _ *trans*] *mult_def*)

qed (*auto elim*: *less_trans*)

qed

lemma *irrefl_less_nmultiset*:

fixes X :: 'a *nmultiset*

shows X < X \implies False

proof (*induct* X)

case (MSet M)

from *MSet*(2) **show** ?*case*

unfolding *less_nmultiset.simps less_multiset_ext_DM_def*

proof *safe*

fix X Y :: 'a *nmultiset multiset*

define XY **where** XY = {(x, y). x $\in\#$ X \wedge y $\in\#$ Y \wedge y < x}

then have *fin*: *finite* XY **and** *trans*: *trans* XY

by (*auto simp*: *trans_def intro*: *trans_less_nmultiset*

finite_subset[OF _ *finite_cartesian_product*])

assume X \neq {#} X $\subseteq\#$ M M = M - X + Y

then have X = Y

by (*auto simp*: *mset_subset_eq_exists_conv*)

with *MSet*(1) (X $\subseteq\#$ M) **have** *irrefl* XY

unfolding XY_def **by** (*force dest*: *mset_subset_eqD simp*: *irrefl_def*)

with *trans* **have** *acyclic* XY

by (*simp add*: *acyclic_irrefl*)

```

moreover
assume  $\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge k < a)$ 
with  $\langle X = Y \rangle \langle X \neq \{\#\} \rangle$  have  $\neg \text{acyclic } XY$ 
  by (intro notI, elim finite_acyclic_wf[OF fin, elim_format])
    (auto dest!: spec[of_set_mset Y] simp: wf_eq_minimal XY_def)
ultimately show False by blast
qed
qed simp

lemma antisym_less_nmultiset:
  fixes  $X Y :: 'a \text{ nmultiset}$ 
  shows  $X < Y \implies Y < X \implies \text{False}$ 
  using trans_less_nmultiset irrefl_less_nmultiset by blast

definition less_eq_nmultiset ::  $'a \text{ nmultiset} \Rightarrow 'a \text{ nmultiset} \Rightarrow \text{bool}$  where
  less_eq_nmultiset  $X Y = (X < Y \vee X = Y)$ 

instance
proof (intro_classes, goal_cases less_def refl trans)
  case (less_def  $x y$ )
  then show ?case
    unfolding less_eq_nmultiset_def by (metis irrefl_less_nmultiset antisym_less_nmultiset)
next
  case (refl  $x$ )
  then show ?case
    unfolding less_eq_nmultiset_def by blast
next
  case (trans  $x y z$ )
  then show ?case
    unfolding less_eq_nmultiset_def by (metis trans_less_nmultiset)
qed

lemma less_multiset_ext_DM_less:  $\text{less\_multiset\_ext}_{DM} \text{ op} < = (\text{op} <)$ 
  unfolding fun_eq_iff less_multiset_ext_DM_def less_multiset_DM by blast

end

instantiation nmultiset :: (order) order
begin

instance
proof (intro_classes, goal_cases antisym)
  case (antisym  $x y$ )
  then show ?case
    unfolding less_eq_nmultiset_def by (metis trans_less_nmultiset irrefl_less_nmultiset)
qed

end

instantiation nmultiset :: (linorder) linorder
begin

lemma total_less_nmultiset:
  fixes  $X Y :: 'a \text{ nmultiset}$ 
  shows  $\neg X < Y \implies Y \neq X \implies Y < X$ 
proof (induct X Y rule: less_nmultiset_induct)
  case (MSet_MSet  $M N$ )
  then show ?case
    unfolding nmultiset.inject less_nmultiset.simps less_multiset_ext_DM_less less_multiset_HO
    by (metis add_diff_cancel_left' count_inI diff_add_zero_in_diff_count less_imp_not_less
      mset_subset_eq_multiset_union_diff_commute subset_mset.order.refl)
qed auto

```

```

instance
proof (intro_classes, goal_cases total)
  case (total x y)
  then show ?case
    unfolding less_eq_nmultiset_def by (metis total_less_nmultiset)
qed

end

lemma less_depth_nmset_imp_less_nmultiset:  $|X| < |Y| \implies X < Y$ 
proof (induct X Y rule: less_nmultiset_induct)
  case (MSet_MSet M N)
  then show ?case
  proof (cases M = {#})
    case False
    with MSet_MSet show ?thesis
      by (auto 0 4 simp: depth_nmset.simps(2) less_multiset_ext_DM_def not_le Max_gr_iff
        intro: exI[of _ N] split: if_splits)
    qed (auto simp: depth_nmset.simps(2) less_multiset_ext_DM_less split: if_splits)
  qed simp_all

lemma less_nmultiset_imp_le_depth_nmset:  $X < Y \implies |X| \leq |Y|$ 
proof (induct X Y rule: less_nmultiset_induct)
  case (MSet_MSet M N)
  then have  $M < N$  by (simp add: less_multiset_ext_DM_less)
  then show ?case
  proof (cases M = {#} N = {#} rule: bool.exhaust[case_product bool.exhaust])
    case [simp]: False_False
    show ?thesis
    unfolding depth_nmset.simps(2) Let_def False_False Suc_le_mono set_image_mset image_is_empty
      set_mset_eq_empty_iff if_False
    proof (intro iffD2[OF Max_le_iff] ballI iffD2[OF Max_ge_iff]; (elim imageE)?; simp)
      fix X
      assume [simp]:  $X \in \# M$ 
      with MSet_MSet(1)[of N M X, simplified]  $\langle M < N \rangle$  show  $\exists Y \in \# N. |X| \leq |Y|$ 
      by (meson ex_gt_imp_less_multiset less_asym' less_depth_nmset_imp_less_nmultiset
        not_le_imp_less)
    qed
  qed (auto simp: depth_nmset.simps(2))
qed simp_all

lemma eq_mlex_I:
fixes  $f :: 'a \Rightarrow \text{nat}$  and  $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ 
assumes  $\bigwedge X Y. f X < f Y \implies R X Y$  and antisym $R$ 
shows  $\{(X, Y). R X Y\} = f < *mlex* \rangle \{(X, Y). f X = f Y \wedge R X Y\}$ 
proof safe
  fix X Y
  assume  $R X Y$ 
  show  $(X, Y) \in f < *mlex* \rangle \{(X, Y). f X = f Y \wedge R X Y\}$ 
  proof (cases  $f X f Y$  rule: linorder_cases)
    case less
    with  $\langle R X Y \rangle$  show ?thesis
      by (elim mlex_less)
    next
    case equal
    with  $\langle R X Y \rangle$  show ?thesis
      by (intro mlex_leq) auto
    next
    case greater
    from  $\langle R X Y \rangle$  assms(1)[OF greater]  $\langle \text{antisym } R \rangle$  greater show ?thesis
      unfolding antisym_def by auto
  qed
qed
next

```

```

fix X Y
assume (X, Y) ∈ f <*mlex*> {(X, Y). f X = f Y ∧ R X Y}
then show R X Y
  unfolding mlex_prod_def by (auto simp: assms(1))
qed

instantiation nmultiset :: (wellorder) wellorder
begin

lemma depth_nmultiset_eq_0[simp]: |X| = 0 ⟷ (X = MSet {#} ∨ (∃x. X = Elem x))
  by (cases X; simp add: depth_nmultiset.simps(2))

lemma depth_nmultiset_eq_Suc[simp]: |X| = Suc n ⟷
  (∃ N. X = MSet N ∧ (∃ Y ∈# N. |Y| = n) ∧ (∀ Y ∈# N. |Y| ≤ n))
  by (cases X; auto simp add: depth_nmultiset.simps(2) intro!: Max_eqI
    (metis (no_types, lifting) Max_in_finite_imageI finite_set_mset imageE image_is_empty
      set_mset_eq_empty_iff))

lemma wf_less_nmultiset_depth:
  wf {(X :: 'a nmultiset, Y). |X| = i ∧ |Y| = i ∧ X < Y}
proof (induct i rule: less_induct)
  case (less i)
  define A :: 'a nmultiset set where A = {X. |X| < i}
  from less have wf ((depth_nmultiset :: 'a nmultiset ⇒ nat) <*mlex*>
    (∪ j < i. {(X, Y). |X| = j ∧ |Y| = j ∧ X < Y}))
  by (intro wf_UN wf_mlex) auto
  then have *: wf (mult {(X :: 'a nmultiset, Y). X ∈ A ∧ Y ∈ A ∧ X < Y})
  by (intro wf_mult, elim wf_subset) (force simp: A_def mlex_prod_def not_less_iff_gr_or_eq
    dest!: less_depth_nmultiset_imp_less_nmultiset)
  show ?case
  proof (cases i)
  case 0
  then show ?thesis
  by (auto simp: inj_on_def intro!: wf_subset[OF
    wf_Un[OF wf_map_prod_image[OF wf, of Elem] wf_UN[of Elem ' UNIV λx. {(x, MSet {#})}]]])
  next
  case (Suc n)
  then show ?thesis
  by (intro wf_subset[OF wf_map_prod_image[OF *, of MSet]])
    (auto 0 4 simp: map_prod_def image_iff inj_on_def A_def
      dest!: less_multiset_ext_DM_imp_mult[of _ A, rotated -1] split: prod.splits)
  qed
qed

lemma wf_less_nmultiset: wf {(X :: 'a nmultiset, Y :: 'a nmultiset). X < Y} (is wf ?R)
proof -
  have ?R = depth_nmultiset <*mlex*> {(X, Y). |X| = |Y| ∧ X < Y}
  by (rule eq_mlex_I) (auto simp: antisymp_def less_depth_nmultiset_imp_less_nmultiset)
  also have {(X, Y). |X| = |Y| ∧ X < Y} = (∪ i. {(X, Y). |X| = i ∧ |Y| = i ∧ X < Y})
  by auto
  finally show ?thesis
  by (fastforce intro: wf_mlex wf_Union wf_less_nmultiset_depth)
qed

instance using wf_less_nmultiset unfolding wf_def mem_Collect_eq prod.case by intro_classes metis
end
end

```

5 Hereditar(il)y (Finite) Multisets

```

theory Hereditary_Multiset

```

```
imports Multiset_More Nested_Multiset
begin
```

5.1 Type Definition

```
datatype hmultiset =
```

```
  HMSet (hmsetmset: hmultiset multiset)
```

```
lemma hmsetmset_inject[simp]: hmsetmset A = hmsetmset B  $\longleftrightarrow$  A = B
  by (blast intro: hmultiset.expand)
```

```
primrec Rep_hmultiset :: hmultiset  $\Rightarrow$  unit nmultiset where
  Rep_hmultiset (HMSet M) = MSet (image_mset Rep_hmultiset M)
```

```
primrec (nonexhaustive) Abs_hmultiset :: unit nmultiset  $\Rightarrow$  hmultiset where
  Abs_hmultiset (MSet M) = HMSet (image_mset Abs_hmultiset M)
```

```
lemma type_definition_hmultiset: type_definition Rep_hmultiset Abs_hmultiset {X. no_elem X}
```

```
proof (unfold_locales, unfold mem_Collect_eq)
```

```
  fix X
```

```
  show no_elem (Rep_hmultiset X)
```

```
  by (induct X) (auto intro!: no_elem.intros)
```

```
  show Abs_hmultiset (Rep_hmultiset X) = X
```

```
  by (induct X) auto
```

```
next
```

```
  fix Y :: unit nmultiset
```

```
  assume no_elem Y
```

```
  thus Rep_hmultiset (Abs_hmultiset Y) = Y
```

```
  by (induct Y rule: no_elem.induct) auto
```

```
qed
```

```
setup-lifting type_definition_hmultiset
```

```
lemma HMSet_alt: HMSet = Abs_hmultiset o MSet o image_mset Rep_hmultiset
  by (auto simp: type_definition.Rep_inverse[OF type_definition_hmultiset])
```

```
lemma HMSet_transfer[transfer_rule]: rel_fun (rel_mset pcr_hmultiset) pcr_hmultiset MSet HMSet
```

```
  unfolding HMSet_alt by (force simp: rel_fun_def multiset.in_rel nmultiset.rel_eq
```

```
  pcr_hmultiset_def cr_hmultiset_def
```

```
  type_definition.Rep_inverse[OF type_definition_hmultiset] intro!: multiset.map_cong)
```

5.2 Restriction of Dershowitz and Manna's Nested Multiset Order

```
instantiation hmultiset :: linorder
begin
```

```
lift-definition less_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  bool is op < .
```

```
lift-definition less_eq_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  bool is op  $\leq$  .
```

```
instance
```

```
  by (intro_classes; transfer) auto
```

```
end
```

```
lemma less_HMSet_iff_less_multiset_ext_DM: HMSet M < HMSet N  $\longleftrightarrow$  less_multiset_ext_DM (op <) M N
```

```
  unfolding less_multiset_ext_DM_def
```

```
proof (transfer, unfold less_nmultiset.simps less_multiset_ext_DM_def, safe)
```

```
  fix M N :: unit nmultiset multiset and X Y
```

```
  assume *: pred_mset no_elem (N - X + Y) pred_mset no_elem N X  $\neq$  {#}
```

```
  X  $\subseteq$  # N  $\forall$  k. k  $\in$  # Y  $\longrightarrow$  ( $\exists$  a. a  $\in$  # X  $\wedge$  k < a)
```

```
  then have X  $\in$  Collect (pred_mset no_elem)
```

```
  unfolding multiset.pred_set mem_Collect_eq by (metis rev_subsetD set_mset_mono)
```

```
  from *(1) have Y  $\in$  Collect (pred_mset no_elem)
```

```
  unfolding multiset.pred_set mem_Collect_eq by (metis add_diff_cancel_left' in_diffD)
```

show
 $\exists X' \in \text{Collect } (\text{pred_mset no_elem}). \exists Y' \in \text{Collect } (\text{pred_mset no_elem}).$
 $X' \neq \{\#\} \wedge \text{filter_mset no_elem } X' \subseteq \# \text{ filter_mset no_elem } N \wedge N - X + Y = N - X' + Y' \wedge$
 $(\forall k \in \text{Collect no_elem}. k \in \# Y' \longrightarrow (\exists a \in \text{Collect no_elem}. a \in \# X' \wedge k < a))$
by (*rule* $\text{beI}[OF_ \langle X \in \text{Collect } (\text{pred_mset no_elem}) \rangle]$,
rule $\text{beI}[OF_ \langle Y \in \text{Collect } (\text{pred_mset no_elem}) \rangle]$)
(*insert* *; *force* *simp*: *set_mset_diff_multiset.pred_set_multiset_filter_mono*)
next
fix $M N :: \text{unit nmultiset multiset and } X Y$
assume *:
 $\text{pred_mset no_elem } (N - X + Y) \text{ pred_mset no_elem } N \text{ pred_mset no_elem } X \text{ pred_mset no_elem } Y$
 $X \neq \{\#\} \text{ filter_mset no_elem } X \subseteq \# \text{ filter_mset no_elem } N$
 $\forall k \in \text{Collect no_elem}. k \in \# Y \longrightarrow (\exists a \in \text{Collect no_elem}. a \in \# X \wedge k < a)$
then have [*simp*]: $\text{filter_mset no_elem } X = X \text{ filter_mset no_elem } N = N$
unfolding *filter_mset_eq_conv* **by** (*auto* *simp*: *multiset.pred_set*)
show
 $\exists X' Y'. X' \neq \{\#\} \wedge X' \subseteq \# N \wedge N - X + Y = N - X' + Y' \wedge$
 $(\forall k. k \in \# Y' \longrightarrow (\exists a. a \in \# X' \wedge k < a))$
by (*rule* $\text{exI}[of_ X]$, *rule* $\text{exI}[of_ Y]$) (*insert* *; *auto* *simp*: *multiset.pred_set*)
qed

lemma *hmsetmset_less*[*simp*]: $\text{hmsetmset } M < \text{hmsetmset } N \longleftrightarrow M < N$
by (*cases* M , *cases* N , *simp* *add*: *less_multiset_ext_DM_less* *less_HMSet_iff_less_multiset_ext_DM*)

lemma *hmsetmset_le*[*simp*]: $\text{hmsetmset } M \leq \text{hmsetmset } N \longleftrightarrow M \leq N$
unfolding *le_less_hmsetmset_less* **by** (*metis* *hmultiset.collapse*)

lemma *wf_less_hmultiset*: $\text{wf } \{(X :: \text{hmultiset}, Y :: \text{hmultiset}). X < Y\}$
unfolding *wf_eq_minimal* **by** *transfer* (*insert* *wf_less_nmultiset*[*unfolded* *wf_eq_minimal*], *fast*)

instance *hmultiset* :: *wellorder*
using *wf_less_hmultiset* **unfolding** *wf_def* *mem_Collect_eq* *prod.case* **by** *intro_classes* *metis*

lemma *HMSet_less*[*simp*]: $\text{HMSet } M < \text{HMSet } N \longleftrightarrow M < N$
by (*simp* *add*: *less_HMSet_iff_less_multiset_ext_DM_less* *less_multiset_ext_DM_less*)

lemma *HMSet_le*[*simp*]: $\text{HMSet } M \leq \text{HMSet } N \longleftrightarrow M \leq N$
by (*simp* *add*: *hmsetmset_le*[*symmetric*])

lemma *mem_imp_less_HMSet*: $k \in \# L \implies k < \text{HMSet } L$
by (*induct* k *arbitrary*: L) (*auto* *intro*: *ex_gt_imp_less_multiset*)

lemma *mem_hmsetmset_imp_less*: $M \in \# \text{hmsetmset } N \implies M < N$
using *mem_imp_less_HMSet* **by** *force*

5.3 Disjoint Union and Truncated Difference

instantiation *hmultiset* :: *cancel_comm_monoid_add*
begin

definition *zero_hmultiset* :: *hmultiset* **where**
 $0 = \text{HMSet } \{\#\}$

lemma *hmsetmset_empty_iff*[*simp*]: $\text{hmsetmset } n = \{\#\} \longleftrightarrow n = 0$
unfolding *zero_hmultiset_def* **by** (*cases* n) *simp*

lemma *hmsetmset_0*[*simp*]: $\text{hmsetmset } 0 = \{\#\}$
by *simp*

lemma
 HMSet_eq_0_iff [*simp*]: $\text{HMSet } m = 0 \longleftrightarrow m = \{\#\}$ **and**
 zero_eq_HMSet [*simp*]: $0 = \text{HMSet } m \longleftrightarrow m = \{\#\}$
by (*cases* m) (*auto* *simp*: *zero_hmultiset_def*)

```

definition plus_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where
  A + B = HMSet (hmsetmset A + hmsetmset B)

definition minus_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where
  A - B = HMSet (hmsetmset A - hmsetmset B)

instance
  by intro_classes (auto simp: zero_hmultiset_def plus_hmultiset_def minus_hmultiset_def)

end

lemma HMSet_plus: HMSet (A + B) = HMSet A + HMSet B
  by (simp add: plus_hmultiset_def)

lemma HMSet_diff: HMSet (A - B) = HMSet A - HMSet B
  by (simp add: minus_hmultiset_def)

lemma hmsetmset_plus: hmsetmset (M + N) = hmsetmset M + hmsetmset N
  by (simp add: plus_hmultiset_def)

lemma hmsetmset_diff: hmsetmset (M - N) = hmsetmset M - hmsetmset N
  by (simp add: minus_hmultiset_def)

lemma diff_diff_add_hmset[simp]: a - b - c = a - (b + c) for a b c :: hmultiset
  by (fact diff_diff_add)

instance hmultiset :: comm_monoid_diff
  by intro_classes (auto simp: zero_hmultiset_def minus_hmultiset_def)

simpproc-setup hmseteq_cancel
  ((l::hmultiset) + m = n | (l::hmultiset) = m + n) =
  ⟨fn phi => Cancel_Simprocs.eq_cancel⟩

simpproc-setup hmsetdiff_cancel
  (((l::hmultiset) + m) - n | (l::hmultiset) - (m + n)) =
  ⟨fn phi => Cancel_Simprocs.diff_cancel⟩

simpproc-setup hmsetless_cancel
  ((l::hmultiset) + m < n | (l::hmultiset) < m + n) =
  ⟨fn phi => Cancel_Simprocs.less_cancel⟩

simpproc-setup hmsetless_eq_cancel
  ((l::hmultiset) + m ≤ n | (l::hmultiset) ≤ m + n) =
  ⟨fn phi => Cancel_Simprocs.less_eq_cancel⟩

instance hmultiset :: ordered_cancel_comm_monoid_add
  by intro_classes (simp del: hmsetmset_less add: plus_hmultiset_def order_le_less
    hmsetmset_less[symmetric] less_multiset_ext_DM_less)

instance hmultiset :: ordered_ab_semigroup_add_imp_le
  by intro_classes (simp add: plus_hmultiset_def order_le_less less_multiset_ext_DM_less)

instantiation hmultiset :: order_bot
begin

definition bot_hmultiset :: hmultiset where
  bot_hmultiset = 0

instance
proof (intro_classes, unfold bot_hmultiset_def zero_hmultiset_def, transfer, goal_cases bot_least)
  case (bot_least x)
  thus ?case
    by (induct x rule: no_elem.induct) (auto simp: less_eq_nmultiset_def less_multiset_ext_DM_less)

```


qed

end

```
instance hmultiset :: no_top
proof (intro_classes, goal_cases gt_ex)
  case (gt_ex a)
  have a < a + HMSet {#0#}
  by (simp add: zero_hmultiset_def)
  thus ?case
  by (rule exI)
qed
```

lemma *le_minus_plus_same_hmset*: $m \leq m - n + n$ **for** $m\ n :: hmultiset$

```
proof (cases m n rule: hmultiset.exhaust[case_product hmultiset.exhaust])
  case (HMSet_HMSet m0 n0)
  note m = this(1) and n = this(2)
```

```
{
  assume n0 ⊆# m0
  hence m0 = m0 - n0 + n0
  by simp
}
moreover
{
  assume ¬ n0 ⊆# m0
  hence m0 ⊂# m0 - n0 + n0
  by (metis mset_subset_eq_add_right subset_eq_diff_conv subset_mset.dual_order.refl
    subset_mset_def)
  hence m0 < m0 - n0 + n0
  by (rule subset_imp_less_mset)
}
ultimately show ?thesis
by (simp (no_asm) add: m n order_le_less_plus_hmultiset_def minus_hmultiset_def) blast
qed
```

5.4 Infimum and Supremum

```
instantiation hmultiset :: distrib_lattice
begin
```

```
definition inf_hmultiset :: hmultiset ⇒ hmultiset ⇒ hmultiset where
  inf_hmultiset A B = (if A < B then A else B)
```

```
definition sup_hmultiset :: hmultiset ⇒ hmultiset ⇒ hmultiset where
  sup_hmultiset A B = (if B > A then B else A)
```

```
instance
  by intro_classes (auto simp: inf_hmultiset_def sup_hmultiset_def)
```

end

5.5 Inequalities

```
lemma zero_le_hmset[simp]:  $0 \leq M$  for  $M :: hmultiset$ 
  by (simp add: order_le_less) (metis hmsetmset_less le_multiset_empty_left hmsetmset_empty_iff)
```

```
lemma
  le_add1_hmset:  $n \leq n + m$  and
  le_add2_hmset:  $n \leq m + n$  for  $n :: hmultiset$ 
  by simp+
```

```
lemma le_zero_eq_hmset[simp]:  $M \leq 0 \iff M = 0$  for  $M :: hmultiset$ 
```

```

by (simp add: dual_order.antisym)

lemma not_less_zero_hmset[simp]:  $\neg M < 0$  for  $M :: \text{hmultiset}$ 
  using not_le zero_le_hmset by blast

lemma not_gr_zero_hmset[simp]:  $\neg 0 < M \iff M = 0$  for  $M :: \text{hmultiset}$ 
  using neqE not_less_zero_hmset by blast

lemma zero_less_iff_neq_zero_hmset:  $0 < M \iff M \neq 0$  for  $M :: \text{hmultiset}$ 
  using not_gr_zero_hmset by blast

lemma zero_less_HMSet_iff[simp]:  $0 < \text{HMSet } M \iff M \neq \{\#\}$ 
  by (simp only: zero_less_iff_neq_zero_hmset HMSet_eq_0_iff)

lemma gr_zeroI_hmset:  $(M = 0 \implies \text{False}) \implies 0 < M$  for  $M :: \text{hmultiset}$ 
  using not_gr_zero_hmset by blast

lemma gr_implies_not_zero_hmset:  $M < N \implies N \neq 0$  for  $M N :: \text{hmultiset}$ 
  by auto

lemma add_eq_0_iff_both_eq_0_hmset[simp]:  $M + N = 0 \iff M = 0 \wedge N = 0$  for  $M N :: \text{hmultiset}$ 
  by (intro add_nonneg_eq_0_iff zero_le_hmset)

lemma trans_less_add1_hmset:  $i < j \implies i < j + m$  for  $i j m :: \text{hmultiset}$ 
  by (metis add_increasing2 leD le_less not_gr_zero_hmset)

lemma trans_less_add2_hmset:  $i < j \implies i < m + j$  for  $i j m :: \text{hmultiset}$ 
  by (simp add: add_commute trans_less_add1_hmset)

lemma trans_le_add1_hmset:  $i \leq j \implies i \leq j + m$  for  $i j m :: \text{hmultiset}$ 
  by (simp add: add_increasing2)

lemma trans_le_add2_hmset:  $i \leq j \implies i \leq m + j$  for  $i j m :: \text{hmultiset}$ 
  by (simp add: add_increasing)

lemma diff_le_self_hmset:  $m - n \leq m$  for  $m n :: \text{hmultiset}$ 
  by (metis add_commute add.right_neutral diff_add_zero diff_diff_add_hmset
    le_minus_plus_same_hmset)
end

```

6 Signed Hereditary(il)y (Finite) Multisets

```

theory Signed_Hereditary_Multiset
imports Signed_Multiset Hereditary_Multiset
begin

```

6.1 Type Definition

```

typedef zhmultiset = UNIV :: hmultiset zmultiset set
  morphisms zhmsetmset ZHMSet
  by simp

lemmas ZHMSet_inverse[simp] = ZHMSet_inverse[OF UNIV_I]
lemmas ZHMSet_inject[simp] = ZHMSet_inject[OF UNIV_I UNIV_I]

declare
  zhmsetmset_inverse [simp]
  zhmsetmset_inject [simp]

setup-lifting type_definition_zhmultiset

```

6.2 Multiset Order

instantiation *zhmultiset* :: *linorder*
begin

lift-definition *less_zhmultiset* :: *zhmultiset* \Rightarrow *zhmultiset* \Rightarrow *bool* **is** *op* < .
lift-definition *less_eq_zhmultiset* :: *zhmultiset* \Rightarrow *zhmultiset* \Rightarrow *bool* **is** *op* \leq .

instance
by (*intro_classes*; *transfer*) *auto*

end

lemmas *ZHMSet_less[simp]* = *less_zhmultiset.abs_eq*
lemmas *ZHMSet_le[simp]* = *less_eq_zhmultiset.abs_eq*
lemmas *zhmsetmset_less[simp]* = *less_zhmultiset.rep_eq[symmetric]*
lemmas *zhmsetmset_le[simp]* = *less_eq_zhmultiset.rep_eq[symmetric]*

6.3 Embedding and Projections of Syntactic Ordinals

abbreviation *zhmset_of* :: *hmultiset* \Rightarrow *zhmultiset* **where**
zhmset_of *M* \equiv *ZHMSet* (*zmset_of* (*hmssetmset* *M*))

lemma *zhmset_of_inject[simp]*: *zhmset_of* *M* = *zhmset_of* *N* \longleftrightarrow *M* = *N*
by *simp*

lemma *zhmset_of_less*: *zhmset_of* *M* < *zhmset_of* *N* \longleftrightarrow *M* < *N*
by (*simp* *add*: *zmset_of_less*)

lemma *zhmset_of_le*: *zhmset_of* *M* \leq *zhmset_of* *N* \longleftrightarrow *M* \leq *N*
by (*simp* *add*: *zmset_of_le*)

abbreviation *hmsset_pos* :: *zhmultiset* \Rightarrow *hmultiset* **where**
hmsset_pos *M* \equiv *HMSet* (*mset_pos* (*zhmsetmset* *M*))

abbreviation *hmsset_neg* :: *zhmultiset* \Rightarrow *hmultiset* **where**
hmsset_neg *M* \equiv *HMSet* (*mset_neg* (*zhmsetmset* *M*))

6.4 Disjoint Union and Difference

instantiation *zhmultiset* :: *cancel_comm_monoid_add*
begin

lift-definition *zero_zhmultiset* :: *zhmultiset* **is** $\{\#\}_z$.

lift-definition *plus_zhmultiset* :: *zhmultiset* \Rightarrow *zhmultiset* \Rightarrow *zhmultiset* **is**
 $\lambda A B. A + B$.

lift-definition *minus_zhmultiset* :: *zhmultiset* \Rightarrow *zhmultiset* \Rightarrow *zhmultiset* **is**
 $\lambda A B. A - B$.

lemmas *ZHMSet_plus* = *plus_zhmultiset.abs_eq[symmetric]*
lemmas *ZHMSet_diff* = *minus_zhmultiset.abs_eq[symmetric]*
lemmas *zhmsetmset_plus* = *plus_zhmultiset.rep_eq*
lemmas *zhmsetmset_diff* = *minus_zhmultiset.rep_eq*

lemma *zhmset_of_plus*: *zhmset_of* (*A* + *B*) = *zhmset_of* *A* + *zhmset_of* *B*
by (*simp* *add*: *hmssetmset_plus* *ZHMSet_plus* *zmset_of_plus*)

lemma *hmssetmset_0[simp]*: *hmssetmset* 0 = $\{\#\}$
by (*rule* *hmultiset.inject[THEN iffD1]*) (*simp* *add*: *zero_hmultiset_def*)

instance
by (*intro_classes*; *transfer*) (*auto* *intro*: *linordered_field_class.sign_simps(1)* *add commute*)

end

lemma *zhmset_of_0*: $zhmset_of\ 0 = 0$
by (*simp add*: *zero_zhmultiset_def*)

lemma *hmset_pos_plus*:
 $hmset_pos\ (A + B) = (hmset_pos\ A - hmset_neg\ B) + (hmset_pos\ B - hmset_neg\ A)$
by (*simp add*: *HMSet_diff HMSet_plus zhmsetmset_plus*)

lemma *hmset_neg_plus*:
 $hmset_neg\ (A + B) = (hmset_neg\ A - hmset_pos\ B) + (hmset_neg\ B - hmset_pos\ A)$
by (*simp add*: *HMSet_diff HMSet_plus zhmsetmset_plus*)

lemma *zhmset_pos_neg_partition*: $M = zhmset_of\ (hmset_pos\ M) - zhmset_of\ (hmset_neg\ M)$
by (*cases M*, *simp add*: *ZHMSet_diff[symmetric]*, *rule mset_pos_neg_partition*)

lemma *zhmset_pos_as_neg*: $zhmset_of\ (hmset_pos\ M) = zhmset_of\ (hmset_neg\ M) + M$
using *mset_pos_as_neg zhmsetmset_plus zhmsetmset_inject* by *fastforce*

lemma *zhmset_neg_as_pos*: $zhmset_of\ (hmset_neg\ M) = zhmset_of\ (hmset_pos\ M) - M$
using *zhmsetmset_diff mset_neg_as_pos zhmsetmset_inject* by *fastforce*

lemma *hmset_pos_neg_dual*:
 $hmset_pos\ a + hmset_pos\ b + (hmset_neg\ a - hmset_pos\ b) + (hmset_neg\ b - hmset_pos\ a) =$
 $hmset_neg\ a + hmset_neg\ b + (hmset_pos\ a - hmset_neg\ b) + (hmset_pos\ b - hmset_neg\ a)$
by (*simp add*: *HMSet_plus[symmetric] HMSet_diff[symmetric]*) (*rule mset_pos_neg_dual*)

lemma *zhmset_of_sum_list*: $zhmset_of\ (sum_list\ Ms) = sum_list\ (map\ zhmset_of\ Ms)$
by (*induct Ms*) (*auto simp*: *zero_zhmultiset_def zhmset_of_plus*)

lemma *less_hmset_zhmsetE*:
assumes $m_lt_n: M < N$
obtains $A\ B\ C$ where $M = zhmset_of\ A + C$ and $N = zhmset_of\ B + C$ and $A < B$
by (*rule less_mset_zmsetE[OF m_lt_n[folded zhmsetmset_less]]*)
(*metis hmsetmset_less hmultiset.sel ZHMSet_plus zhmsetmset_inverse*)

lemma *less_eq_hmset_zhmsetE*:
assumes $m_le_n: M \leq N$
obtains $A\ B\ C$ where $M = zhmset_of\ A + C$ and $N = zhmset_of\ B + C$ and $A \leq B$
by (*rule less_eq_mset_zmsetE[OF m_le_n[folded zhmsetmset_le]]*)
(*metis hmsetmset_le hmultiset.sel ZHMSet_plus zhmsetmset_inverse*)

instantiation *zhmultiset* :: *ab_group_add*
begin

lift-definition *uminus_zhmultiset* :: *zhmultiset* \Rightarrow *zhmultiset* is $\lambda A. - A$.

lemmas *ZHMSet_uminus* = *uminus_zhmultiset.abs_eq[symmetric]*

lemmas *zhmsetmset_uminus* = *uminus_zhmultiset.rep_eq*

instance
by (*intro_classes*; *transfer*; *simp*)

end

6.5 Infimum and Supremum

instance *zhmultiset* :: *ordered_cancel_comm_monoid_add*
by (*intro_classes*; *transfer*) (*auto simp*: *add_left_mono*)

instance *zhmultiset* :: *ordered_ab_group_add*
by (*intro_classes*; *transfer*; *simp*)

```

instantiation zhmultiset :: distrib_lattice
begin

definition inf_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  zhmultiset where
  inf_zhmultiset A B = (if A < B then A else B)

definition sup_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  zhmultiset where
  sup_zhmultiset A B = (if B > A then B else A)

instance
  by intro_classes (auto simp: inf_zhmultiset_def sup_zhmultiset_def)

end

end

```

7 Syntactic Ordinals in Cantor Normal Form

```

theory Syntactic_Ordinal
imports Hereditary_Multiset HOL-Library.Product_Order HOL-Library.Extended_Nat
begin

```

7.1 Natural (Hessenberg) Product

```

instantiation hmultiset :: comm_semiring_1
begin

abbreviation  $\omega\_exp$  :: hmultiset  $\Rightarrow$  hmultiset ( $\omega^\wedge$ ) where
   $\omega^\wedge \equiv \lambda m. HMSet \{ \#m \# \}$ 

definition one_hmultiset :: hmultiset where
  1 =  $\omega^\wedge 0$ 

abbreviation  $\omega$  :: hmultiset where
   $\omega \equiv \omega^\wedge 1$ 

definition times_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where
  A * B = HMSet (image_mset (case_prod (op +)) (hmsetmset A  $\times$   $\#$  hmsetmset B))

lemma hmsetmset_times:
  hmsetmset (m * n) = image_mset (case_prod (op +)) (hmsetmset m  $\times$   $\#$  hmsetmset n)
  unfolding times_hmultiset_def by simp

instance
proof (intro_classes, goal_cases assoc comm one distrib_plus zeroL zeroR zero_one)
  case (assoc a b c)
  thus ?case
    by (auto simp: times_hmultiset_def Times_mset_image_mset1 Times_mset_image_mset2
      Times_mset_assoc ac_simps intro: multiset.map_cong)
next
  case (comm a b)
  thus ?case
    unfolding times_hmultiset_def
    by (subst product_swap_mset_symmetric) (auto simp: ac_simps intro: multiset.map_cong)
next
  case (one a)
  thus ?case
    by (auto simp: one_hmultiset_def times_hmultiset_def Times_mset_single_left)
next
  case (distrib_plus a b c)
  thus ?case
    by (auto simp: plus_hmultiset_def times_hmultiset_def)
next

```

```

case (zeroL a)
thus ?case
  by (auto simp: times_hmultiset_def)
next
case (zeroR a)
thus ?case
  by (auto simp: times_hmultiset_def)
next
case zero_one
thus ?case
  by (auto simp: one_hmultiset_def)
qed

end

```

```

lemma empty_times_left_hmset[simp]:  $HMSet \{\#\} * M = 0$ 
  by (simp add: times_hmultiset_def)

```

```

lemma empty_times_right_hmset[simp]:  $M * HMSet \{\#\} = 0$ 
  by (metis mult_zero_right zero_hmultiset_def)

```

```

lemma singleton_times_left_hmset[simp]:  $\omega^M * N = HMSet (image_mset ((op +) M) (hmsetmset N))$ 
  by (simp add: times_hmultiset_def Times_mset_single_left)

```

```

lemma singleton_times_right_hmset[simp]:  $N * \omega^M = HMSet (image_mset ((op +) M) (hmsetmset N))$ 
  by (metis mult_commute singleton_times_left_hmset)

```

7.2 Inequalities

```

definition plus_nmultiset :: unit nmultiset  $\Rightarrow$  unit nmultiset  $\Rightarrow$  unit nmultiset where
  plus_nmultiset X Y = Rep_hmultiset (Abs_hmultiset X + Abs_hmultiset Y)

```

```

lemma plus_nmultiset_mono:
  assumes less:  $(X, Y) < (X', Y')$  and no_elem: no_elem X no_elem Y no_elem X' no_elem Y'
  shows plus_nmultiset X Y < plus_nmultiset X' Y'
  using less[unfolded less_le_not_le] no_elem
  by (auto simp: plus_nmultiset_def plus_hmultiset_def less_multiset_ext_DM_less less_eq_nmultiset_def
    union_less_mono type_definition.Abs_inverse[OF type_definition_hmultiset, simplified]
    elim!: no_elem.cases)

```

```

lemma plus_hmultiset_transfer[transfer_rule]:
  (rel_fun pcr_hmultiset (rel_fun pcr_hmultiset pcr_hmultiset)) plus_nmultiset op +
  unfolding rel_fun_def plus_nmultiset_def pcr_hmultiset_def nmultiset.rel_eq eq_OO cr_hmultiset_def
  by (auto simp: type_definition.Rep_inverse[OF type_definition_hmultiset])

```

```

lemma Times_mset_monoL:
  assumes less:  $M < N$  and Z_nemp:  $Z \neq \{\#\}$ 
  shows  $M \times\# Z < N \times\# Z$ 

```

proof –

```

  obtain Y X where
    Y_nemp:  $Y \neq \{\#\}$  and Y_sub_N:  $Y \subseteq\# N$  and M_eq:  $M = N - Y + X$  and
    ex_Y:  $\forall x. x \in\# X \longrightarrow (\exists y. y \in\# Y \wedge x < y)$ 
  using less[unfolded less_multiset_DM] by blast

```

```

let ?X =  $X \times\# Z$ 
let ?Y =  $Y \times\# Z$ 

```

```

show ?thesis
  unfolding less_multiset_DM
proof (intro exI conjI)
  show  $M \times\# Z = N \times\# Z - ?Y + ?X$ 
    unfolding M_eq by (auto simp: Sigma_mset_Diff_distrib1)
next
  obtain y where  $y: \forall x. x \in\# X \longrightarrow y x \in\# Y \wedge x < y x$ 

```

```

using ex_Y by moura

show  $\forall x. x \in \# ?X \longrightarrow (\exists y. y \in \# ?Y \wedge x < y)$ 
proof (intro allI impI)
  fix x
  assume x  $\in \# ?X$ 
  thus  $\exists y. y \in \# ?Y \wedge x < y$ 
    using y by (intro exI[of _ (y (fst x), snd x)]) (auto simp: less_le_not_le)
qed
qed (auto simp: Z_nemp Y_nemp Y_sub_N Sigma_mset_mono)
qed

lemma times_hmultiset_monoL:
  a < b  $\implies$  0 < c  $\implies$  a * c < b * c for a b c :: hmultiset
by (cases a, cases b, cases c, hypsubst_thin,
  unfold times_hmultiset_def zero_hmultiset_def hmultiset.sel, transfer,
  auto simp: less_multiset_ext_DM_less multiset.pred_set
  intro!: image_mset_strict_mono Times_mset_monoL elim!: plus_nmultipset_mono)

instance hmultiset :: linordered_semiring_strict
by intro_classes (subst (1 2) mult.commute, rule times_hmultiset_monoL)

lemma mult_le_mono1_hmset: i  $\leq$  j  $\implies$  i * k  $\leq$  j * k for i j k :: hmultiset
by (simp add: mult_right_mono)

lemma mult_le_mono2_hmset: i  $\leq$  j  $\implies$  k * i  $\leq$  k * j for i j k :: hmultiset
by (simp add: mult_left_mono)

lemma mult_le_mono_hmset: i  $\leq$  j  $\implies$  k  $\leq$  l  $\implies$  i * k  $\leq$  j * l for i j k l :: hmultiset
by (simp add: mult_mono)

lemma less_iff_add1_le_hmset: m < n  $\iff$  m + 1  $\leq$  n for m n :: hmultiset
proof (cases m n rule: hmultiset.exhaust[case_product hmultiset.exhaust])
  case (HMSet_HMSet m0 n0)
  note m = this(1) and n = this(2)

  show ?thesis
proof (simp add: m n one_hmultiset_def plus_hmultiset_def order.order_iff_strict
  less_multiset_ext_DM_less, intro iffI)
  assume m0_lt_n0: m0 < n0
  note
    m0_ne_n0 = m0_lt_n0[unfolded less_multiset_HO, THEN conjunct1] and
    ex_n0_gt_m0 = m0_lt_n0[unfolded less_multiset_HO, THEN conjunct2, rule_format]

  {
  assume zero_m0_gt_n0: add_mset 0 m0 > n0
  note
    n0_ne_0m0 = zero_m0_gt_n0[unfolded less_multiset_HO, THEN conjunct1] and
    ex_0m0_gt_n0 = zero_m0_gt_n0[unfolded less_multiset_HO, THEN conjunct2, rule_format]

  {
  fix y
  assume m0y_lt_n0y: count m0 y < count n0 y

  have  $\exists x > y. \text{count } n0 \ x < \text{count } m0 \ x$ 
  proof (cases count (add_mset 0 m0) y < count n0 y)
    case True
    then obtain aa where
      aa_gt_y: aa > y and
      count_n0aa_lt_count_0m0aa: count n0 aa < count (add_mset 0 m0) aa
    using ex_0m0_gt_n0 by blast
  have aa  $\neq$  0
  by (rule gr_implies_not_zero_hmset[OF aa_gt_y])
  }
  }
  }
  }

```

```

    hence count (add_mset 0 m0) aa = count m0 aa
      by simp
    thus ?thesis
      using count_n0aa_lt_count_0m0aa aa_gt_y by auto
  next
    case not_0m0_y_lt_n0y: False
    hence y_eq_0: y = 0
      by (metis count_add_mset m0y_lt_n0y)
    have sm0y_eq_n0y: Suc (count m0 y) = count n0 y
      using m0y_lt_n0y not_0m0_y_lt_n0y count_add_mset[of 0 _ 0] unfolding y_eq_0 by simp

    obtain bb where count n0 bb < count (add_mset 0 m0) bb
      using lt_imp_ex_count_lt[OF zero_m0_gt_n0] by blast
    hence n0bb_lt_m0bb: count n0 bb < count m0 bb
      unfolding count_add_mset by (metis (full_types) less_irrefl_nat sm0y_eq_n0y y_eq_0)
    hence bb ≠ 0
      using sm0y_eq_n0y y_eq_0 by auto
    thus ?thesis
      unfolding y_eq_0 using n0bb_lt_m0bb not_gr_zero_hmset by blast
  qed
}
hence n0 < m0
  unfolding less_multiset_HO using m0_ne_n0 by blast
hence False
  using m0_lt_n0 by simp
}
thus add_mset 0 m0 < n0 ∨ add_mset 0 m0 = n0
  using antisym_conv3 by blast
next
  assume add_mset 0 m0 < n0 ∨ add_mset 0 m0 = n0
  thus m0 < n0
    using dual_order.strict_trans le_multiset_right_total by blast
qed
qed

lemma zero_less_iff_1_le_hmset: 0 < n ↔ 1 ≤ n for n :: hmultiset
  by (rule less_iff_add1_le_hmset[of 0, simplified])

lemma less_add_1_iff_le_hmset: m < n + 1 ↔ m ≤ n for m n :: hmultiset
  by (rule less_iff_add1_le_hmset[of m n + 1, simplified])

instance hmultiset :: ordered_cancel_comm_semiring
  by intro_classes (simp add: mult_le_mono2_hmset)

instance hmultiset :: linordered_semiring_1_strict
  by intro_classes

instance hmultiset :: bounded_lattice_bot
  by intro_classes

instance hmultiset :: zero_less_one
  by intro_classes (simp add: zero_less_iff_neq_zero_hmset)

instance hmultiset :: linordered_nonzero_semiring
  by intro_classes

instance hmultiset :: semiring_no_zero_divisors
  by intro_classes (use mult_pos_pos not_gr_zero_hmset in blast)

lemma lt_1_iff_eq_0_hmset: M < 1 ↔ M = 0 for M :: hmultiset
  by (simp add: less_iff_add1_le_hmset)

lemma zero_less_mult_iff_hmset[simp]: 0 < m * n ↔ 0 < m ∧ 0 < n for m n :: hmultiset

```


using *mult_eq_0_iff_not_gr_zero_hmset* **by** *blast*

lemma *one_le_mult_iff_hmset[simp]*: $1 \leq m * n \iff 1 \leq m \wedge 1 \leq n$ **for** $m\ n :: \text{hmultiset}$
by (*metis lt_1_iff_eq_0_hmset mult_eq_0_iff_not_le*)

lemma *mult_less_cancel2_hmset[simp]*: $m * k < n * k \iff 0 < k \wedge m < n$ **for** $k\ m\ n :: \text{hmultiset}$
by (*metis gr_zeroI_hmset leD leI le_cases mult_right_mono mult_zero_right times_hmultiset_monoL*)

lemma *mult_less_cancel1_hmset[simp]*: $k * m < k * n \iff 0 < k \wedge m < n$ **for** $k\ m\ n :: \text{hmultiset}$
by (*simp add: mult.commute[of k]*)

lemma *mult_le_cancel1_hmset[simp]*: $k * m \leq k * n \iff (0 < k \implies m \leq n)$ **for** $k\ m\ n :: \text{hmultiset}$
by (*simp add: linorder_not_less[symmetric], auto*)

lemma *mult_le_cancel2_hmset[simp]*: $m * k \leq n * k \iff (0 < k \implies m \leq n)$ **for** $k\ m\ n :: \text{hmultiset}$
by (*simp add: linorder_not_less[symmetric], auto*)

lemma *mult_le_cancel_left1_hmset*: $y > 0 \implies x \leq x * y$ **for** $x\ y :: \text{hmultiset}$
by (*metis zero_less_iff_1_le_hmset mult.commute mult.left_neutral mult_le_cancel2_hmset*)

lemma *mult_le_cancel_left2_hmset*: $y \leq 1 \implies x * y \leq x$ **for** $x\ y :: \text{hmultiset}$
by (*metis mult.commute mult.left_neutral mult_le_cancel2_hmset*)

lemma *mult_le_cancel_right1_hmset*: $y > 0 \implies x \leq y * x$ **for** $x\ y :: \text{hmultiset}$
by (*subst mult.commute*) (*fact mult_le_cancel_left1_hmset*)

lemma *mult_le_cancel_right2_hmset*: $y \leq 1 \implies y * x \leq x$ **for** $x\ y :: \text{hmultiset}$
by (*subst mult.commute*) (*fact mult_le_cancel_left2_hmset*)

lemma *le_square_hmset*: $m \leq m * m$ **for** $m :: \text{hmultiset}$
using *mult_le_cancel_left1_hmset* **by** *force*

lemma *le_cube_hmset*: $m \leq m * (m * m)$ **for** $m :: \text{hmultiset}$
using *mult_le_cancel_left1_hmset* **by** *force*

lemma
less_imp_minus_plus_hmset: $m < n \implies k < k - m + n$ **and**
le_imp_minus_plus_hmset: $m \leq n \implies k \leq k - m + n$ **for** $k\ m\ n :: \text{hmultiset}$
by (*meson add_less_cancel_left leD le_minus_plus_same_hmset less_le_trans not_le_imp_less*)+

lemma *gt_0_lt_mult_gt_1_hmset*:
fixes $m\ n :: \text{hmultiset}$
assumes $m > 0$ **and** $n > 1$
shows $m < m * n$
using *assms* **by** (*metis mult.right_neutral mult_less_cancel1_hmset*)

instance *hmultiset* :: *linordered_comm_semiring_strict*
by *intro_classes simp*

7.3 Embedding of Natural Numbers

lemma *of_nat_hmset*: $\text{of_nat } n = \text{HMSet } (\text{replicate_mset } n\ 0)$
by (*induct n*) (*auto simp: zero_hmultiset_def one_hmultiset_def plus_hmultiset_def*)

lemma *of_nat_inject_hmset[simp]*: $(\text{of_nat } m :: \text{hmultiset}) = \text{of_nat } n \iff m = n$
unfolding *of_nat_hmset* **by** *simp*

lemma *of_nat_minus_hmset*: $\text{of_nat } (m - n) = (\text{of_nat } m :: \text{hmultiset}) - \text{of_nat } n$
unfolding *of_nat_hmset minus_hmultiset_def* **by** *simp*

lemma *plus_of_nat_plus_of_nat_hmset*:
 $k + \text{of_nat } m + \text{of_nat } n = k + \text{of_nat } (m + n)$ **for** $k :: \text{hmultiset}$
by *simp*

lemma *plus_of_nat_minus_of_nat_hmset*:
fixes $k :: \text{hmultiset}$
assumes $n \leq m$
shows $k + \text{of_nat } m - \text{of_nat } n = k + \text{of_nat } (m - n)$
using *assms* **by** (*metis add.left_commute add_diff_cancel_left' le_add_diff_inverse of_nat_add*)

lemma *of_nat_lt_omega[simp]*: $\text{of_nat } n < \omega$
by (*auto simp: of_nat_hmset zero_less_iff_neq_zero_hmset less_multiset_ext_DM_less*)

lemma *of_nat_ne_omega[simp]*: $\text{of_nat } n \neq \omega$
by (*simp add: neq_iff*)

lemma *of_nat_less_hmset[simp]*: $(\text{of_nat } M :: \text{hmultiset}) < \text{of_nat } N \longleftrightarrow M < N$
unfolding *of_nat_hmset less_multiset_ext_DM_less* **by** *simp*

lemma *of_nat_le_hmset[simp]*: $(\text{of_nat } M :: \text{hmultiset}) \leq \text{of_nat } N \longleftrightarrow M \leq N$
unfolding *of_nat_hmset order_le_less less_multiset_ext_DM_less* **by** *simp*

lemma *of_nat_times_omega_exp*: $\text{of_nat } n * \omega^m = \text{HMSet } (\text{replicate_mset } n \ m)$
by (*induct n*) (*simp_all add: hmsetmset_plus_one_hmultiset_def*)

lemma *omega_exp_times_of_nat*: $\omega^m * \text{of_nat } n = \text{HMSet } (\text{replicate_mset } n \ m)$
using *of_nat_times_omega_exp* **by** *simp*

7.4 Embedding of Extended Natural Numbers

primrec *hmset_of_enat* :: $\text{enat} \Rightarrow \text{hmultiset}$ **where**
hmset_of_enat (*enat* n) = *of_nat* n
| *hmset_of_enat* ∞ = ω

lemma *hmset_of_enat_0[simp]*: $\text{hmset_of_enat } 0 = 0$
by (*simp add: zero_enat_def*)

lemma *hmset_of_enat_1[simp]*: $\text{hmset_of_enat } 1 = 1$
by (*simp add: one_enat_def del: One_nat_def*)

lemma *hmset_of_enat_of_nat[simp]*: $\text{hmset_of_enat } (\text{of_nat } n) = \text{of_nat } n$
using *of_nat_eq_enat* **by** *auto*

lemma *hmset_of_enat_numeral[simp]*: $\text{hmset_of_enat } (\text{numeral } n) = \text{numeral } n$
by (*simp add: numeral_eq_enat*)

lemma *hmset_of_enat_le_omega[simp]*: $\text{hmset_of_enat } n \leq \omega$
using *of_nat_lt_omega* [*THEN less_imp_le*] **by** (*cases n*) *auto*

lemma *hmset_of_enat_eq_omega_iff[simp]*: $\text{hmset_of_enat } n = \omega \longleftrightarrow n = \infty$
by (*cases n*) *auto*

7.5 Head Omega

definition *head_omega* :: $\text{hmultiset} \Rightarrow \text{hmultiset}$ **where**
head_omega $M = (\text{if } M = 0 \text{ then } 0 \text{ else } \omega^{(\text{Max } (\text{set_mset } (\text{hmsetmset } M))))})$

lemma *head_omega_subseteq*: $\text{hmsetmset } (\text{head_omega } M) \subseteq\# \text{hmsetmset } M$
unfolding *head_omega_def* **by** *simp*

lemma *head_omega_eq_0_iff[simp]*: $\text{head_omega } m = 0 \longleftrightarrow m = 0$
unfolding *head_omega_def zero_hmultiset_def* **by** *simp*

lemma *head_omega_0[simp]*: $\text{head_omega } 0 = 0$
by *simp*

lemma *head_omega_1[simp]*: $\text{head_omega } 1 = 1$
unfolding *head_omega_def one_hmultiset_def* **by** *simp*

lemma *head_ω_of_nat[simp]*: $\text{head}_\omega (\text{of_nat } n) = (\text{if } n = 0 \text{ then } 0 \text{ else } 1)$
unfolding *head_ω_def one_hmultiset_def of_nat_hmset* **by** *simp*

lemma *head_ω_numeral[simp]*: $\text{head}_\omega (\text{numeral } n) = 1$
by (*metis head_ω_of_nat of_nat_numeral zero_neq_numeral*)

lemma *head_ω_ω[simp]*: $\text{head}_\omega \omega = \omega$
unfolding *head_ω_def* **by** *simp*

lemma *le_imp_head_ω_le*:
assumes *m_le_n*: $m \leq n$
shows $\text{head}_\omega m \leq \text{head}_\omega n$

proof –

have *le_in_le_max*: $\bigwedge a M N. M \leq N \implies a \in \# M \implies a \leq \text{Max} (\text{set_mset } N)$
by (*metis (no_types) Max_ge finite_set_mset le_less less_eq_multiset_HO linorder_not_less mem_Collect_eq neq0_conv order_trans set_mset_def*)

show *?thesis*

using *m_le_n* **unfolding** *head_ω_def*

by (*cases m, cases n,*

auto simp del: hmsetmset_le simp: head_ω_def hmsetmset_le[symmetric] zero_hmultiset_def,

metis Max_in dual_order.antisym finite_set_mset le_in_le_max le_less set_mset_eq_empty_iff)

qed

lemma *head_ω_lt_imp_lt*: $\text{head}_\omega m < \text{head}_\omega n \implies m < n$
unfolding *head_ω_def hmsetmset_less[symmetric]*
by (*rule all_lt_Max_imp_lt_mset, auto simp: zero_hmultiset_def split: if_splits*)

lemma *head_ω_plus[simp]*: $\text{head}_\omega (m + n) = \sup (\text{head}_\omega m) (\text{head}_\omega n)$

proof (*cases m n rule: hmultiset.exhaust[case_product hmultiset.exhaust]*)

case *m_n*: (*HMSet_HMSet M N*)

show *?thesis*

proof (*cases Max_mset M < Max_mset N*)

case *True*

thus *?thesis*

unfolding *m_n head_ω_def sup_hmultiset_def zero_hmultiset_def plus_hmultiset_def*

by (*simp add: Max.union max_def dual_order.strict_implies_order*)

next

case *False*

thus *?thesis*

unfolding *m_n head_ω_def sup_hmultiset_def zero_hmultiset_def plus_hmultiset_def*

by *simp (metis False Max.union finite_set_mset leI max_def set_mset_eq_empty_iff sup commute)*

qed

qed

lemma *head_ω_times[simp]*: $\text{head}_\omega (m * n) = \text{head}_\omega m * \text{head}_\omega n$

proof (*cases m = 0 ∨ n = 0*)

case *False*

hence *m_nz*: $m \neq 0$ **and** *n_nz*: $n \neq 0$

by *simp+*

define δ **where** $\delta = \text{hmsetmset } m$

define ε **where** $\varepsilon = \text{hmsetmset } n$

have *δ_nemp*: $\delta \neq \{\#\}$

unfolding *δ_def* **using** *m_nz* **by** *simp*

have *ε_nemp*: $\varepsilon \neq \{\#\}$

unfolding *ε_def* **using** *n_nz* **by** *simp*

let *?D* = *set_mset δ*

let *?E* = *set_mset ε*

let *?DE* = $\{z. \exists x \in ?D. \exists y \in ?E. z = x + y\}$

```

have max_D_in: Max ?D ∈ ?D
  using δ_nemp by simp
have max_E_in: Max ?E ∈ ?E
  using ε_nemp by simp

have Max ?DE = Max ?D + Max ?E
proof (rule order_antisym, goal_cases le ge)
  case le
  have ∧x y. x ∈ ?D ⇒ y ∈ ?E ⇒ x + y ≤ Max ?D + Max ?E
    by (simp add: add_mono)
  hence mem_imp_le: ∧z. z ∈ ?DE ⇒ z ≤ Max ?D + Max ?E
    by auto
  show ?case
    by (intro mem_imp_le Max_in, simp, use δ_nemp ε_nemp in fast)
  next
  case ge
  have {z. ∃x ∈ {Max ?D}. ∃y ∈ {Max ?E}. z = x + y} ⊆ {z. ∃x ∈# δ. ∃y ∈# ε. z = x + y}
    using max_D_in max_E_in by fast
  thus ?case
    by simp
qed
thus ?thesis
  unfolding δ_def ε_def by (auto simp: head_ω_def image_def times_hmultiset_def)
qed auto

```

7.6 More Inequalities and Some Equalities

```

lemma zero_lt_ω[simp]: 0 < ω
  by (metis of_nat_lt_ω of_nat_0)

lemma one_lt_ω[simp]: 1 < ω
  by (metis enat_defs(2) hmsset_of_enat.simps(1) hmsset_of_enat_1 of_nat_lt_ω)

lemma numeral_lt_ω[simp]: numeral n < ω
  using hmsset_of_enat_numeral[symmetric] hmsset_of_enat.simps(1) of_nat_lt_ω numeral_eq_enat
  by presburger

lemma one_le_ω[simp]: 1 ≤ ω
  by (simp add: less_imp_le)

lemma of_nat_le_ω[simp]: of_nat n ≤ ω
  by (simp add: le_less)

lemma numeral_le_ω[simp]: numeral n ≤ ω
  by (simp add: less_imp_le)

lemma not_ω_lt_1[simp]: ¬ ω < 1
  by (simp add: not_less)

lemma not_ω_lt_of_nat[simp]: ¬ ω < of_nat n
  by (simp add: not_less)

lemma not_ω_lt_numeral[simp]: ¬ ω < numeral n
  by (simp add: not_less)

lemma not_ω_le_1[simp]: ¬ ω ≤ 1
  by (simp add: not_le)

lemma not_ω_le_of_nat[simp]: ¬ ω ≤ of_nat n
  by (simp add: not_le)

lemma not_ω_le_numeral[simp]: ¬ ω ≤ numeral n
  by (simp add: not_le)

```

lemma *zero_ne_ω*[simp]: $0 \neq \omega$
by (*metis not_ω_le_1 zero_le_hmset*)

lemma *one_ne_ω*[simp]: $1 \neq \omega$
using *not_ω_le_1* **by** *force*

lemma *numeral_ne_ω*[simp]: *numeral* $n \neq \omega$
by (*metis not_ω_le_numeral numeral_le_ω*)

lemma
 $\omega \neq 0$ [simp]: $\omega \neq 0$ **and**
 $\omega \neq 1$ [simp]: $\omega \neq 1$ **and**
 $\omega \neq \text{of_nat } m$ [simp]: $\omega \neq \text{of_nat } m$ **and**
 $\omega \neq \text{numeral } n$ [simp]: $\omega \neq \text{numeral } n$
using *zero_ne_ω one_ne_ω of_nat_ne_ω numeral_ne_ω* **by** *metis+*

lemma
hmset_of_enat_inject[simp]: *hmset_of_enat* $m = \text{hmset_of_enat } n \iff m = n$ **and**
hmset_of_enat_less[simp]: *hmset_of_enat* $m < \text{hmset_of_enat } n \iff m < n$ **and**
hmset_of_enat_le[simp]: *hmset_of_enat* $m \leq \text{hmset_of_enat } n \iff m \leq n$
by (*cases m; cases n; simp*)**+**

lemma *lt_ω_imp_ex_of_nat*:
assumes *M_lt_ω*: $M < \omega$
shows $\exists n. M = \text{of_nat } n$

proof –

have *M_lt_single_1*: *hmsetmset* $M < \{\#1\#$
by (*rule M_lt_ω[unfolded hmsetmset_less[symmetric] less_multiset_ext_DM_less hmultiset.sel]*)

have $N = 0$ **if** $N \in \#$ *hmsetmset* M **for** N

proof –

have $0 < \text{count } (\text{hmsetmset } M) N$

using *that* **by** *auto*

hence $N < 1$

by (*metis (no_types) M_lt_single_1 count_single gr_implies_not0 less_eq_multiset_HO less_one neq_iff_not_le*)

thus *?thesis*

by (*simp add: lt_1_iff_eq_0_hmset*)

qed

then obtain n **where** *hmmM*: $M = \text{HMSet } (\text{replicate_mset } n 0)$

using *ex_replicate_mset_if_all_elems_eq* **by** (*metis hmultiset.collapse*)

show *?thesis*

unfolding *hmmM of_nat_hmset* **by** *blast*

qed

lemma *le_ω_imp_ex_hmset_of_enat*:

assumes *M_le_ω*: $M \leq \omega$

shows $\exists n. M = \text{hmset_of_enat } n$

proof (*cases M = ω*)

case *True*

thus *?thesis*

by (*metis hmset_of_enat.simps(2)*)

next

case *False*

thus *?thesis*

using *M_le_ω lt_ω_imp_ex_of_nat* **by** (*metis hmset_of_enat.simps(1) le_less*)

qed

lemma *lt_ω_lt_ω_imp_times_lt_ω*: $M < \omega \implies N < \omega \implies M * N < \omega$

by (*metis lt_ω_imp_ex_of_nat of_nat_lt_ω of_nat_mult*)

lemma *times_ω_minus_of_nat*[simp]: $m * \omega - \text{of_nat } n = m * \omega$

by (*auto intro!: Diff_triv_mset simp: times_hmultiset_def minus_hmultiset_def*)

Times_mset_single_right_of_nat_hmset_disjunct_not_in_image_def)

lemma *times_ω_minus_numeral[simp]*: $m * \omega - \text{numeral } n = m * \omega$
by (*metis of_nat_numeral times_ω_minus_of_nat*)

lemma *ω_minus_of_nat[simp]*: $\omega - \text{of_nat } n = \omega$
using *times_ω_minus_of_nat[of 1]* **by** (*metis mult.left_neutral*)

lemma *ω_minus_1[simp]*: $\omega - 1 = \omega$
using *ω_minus_of_nat[of 1]* **by** *simp*

lemma *ω_minus_numeral[simp]*: $\omega - \text{numeral } n = \omega$
using *times_ω_minus_numeral[of 1]* **by** (*metis mult.left_neutral*)

lemma *hmset_of_enat_minus_enat[simp]*: $\text{hmset_of_enat } (m - \text{enat } n) = \text{hmset_of_enat } m - \text{of_nat } n$
by (*cases m*) (*auto simp: of_nat_minus_hmset*)

lemma *of_nat_lt_hmset_of_enat_iff*: $\text{of_nat } m < \text{hmset_of_enat } n \iff \text{enat } m < n$
by (*metis hmset_of_enat.simps(1) hmset_of_enat_less*)

lemma *of_nat_le_hmset_of_enat_iff*: $\text{of_nat } m \leq \text{hmset_of_enat } n \iff \text{enat } m \leq n$
by (*metis hmset_of_enat.simps(1) hmset_of_enat_le*)

lemma *hmset_of_enat_lt_iff_ne_infinity*: $\text{hmset_of_enat } x < \omega \iff x \neq \infty$
by (*cases x; simp*)

lemma *minus_diff_sym_hmset*: $m - (m - n) = n - (n - m)$ **for** $m\ n :: \text{hmultiset}$
unfolding *minus_hmultiset_def* **by** *simp* (*metis multiset_inter_def subset_mset.inf_aci(1)*)

lemma *diff_plus_sym_hmset*: $(c - b) + b = (b - c) + c$ **for** $b\ c :: \text{hmultiset}$

proof –

have *f1*: $\bigwedge h\ ha :: \text{hmultiset}. h - (ha + h) = 0$
by (*simp add: add.commute*)

have *f2*: $\bigwedge h\ ha\ hb :: \text{hmultiset}. h + ha - (h - hb) = hb + ha - (hb - h)$
by (*metis (no_types) add_diff_cancel_right minus_diff_sym_hmset*)

have $\bigwedge h\ ha\ hb :: \text{hmultiset}. h + (ha + hb) - hb = h + ha$
by (*metis (no_types) add.assoc add_diff_cancel_right'*)

then show *?thesis*

using *f2 f1* **by** (*metis (no_types) add.commute add.right_neutral diff_diff_add_hmset*)

qed

lemma *times_diff_plus_sym_hmset*: $a * (c - b) + a * b = a * (b - c) + a * c$ **for** $a\ b\ c :: \text{hmultiset}$
by (*metis distrib_left diff_plus_sym_hmset*)

lemma *times_of_nat_minus_left*:

$(\text{of_nat } m - \text{of_nat } n) * l = \text{of_nat } m * l - \text{of_nat } n * l$ **for** $l :: \text{hmultiset}$
by (*induct n m rule: diff_induct*) (*auto simp: ring_distrib*)

lemma *times_of_nat_minus_right*:

$l * (\text{of_nat } m - \text{of_nat } n) = l * \text{of_nat } m - l * \text{of_nat } n$ **for** $l :: \text{hmultiset}$
by (*metis times_of_nat_minus_left mult.commute*)

lemma *lt_ω_imp_times_minus_left*: $m < \omega \implies n < \omega \implies (m - n) * l = m * l - n * l$
by (*metis lt_ω_imp_ex_of_nat times_of_nat_minus_left*)

lemma *lt_ω_imp_times_minus_right*: $m < \omega \implies n < \omega \implies l * (m - n) = l * m - l * n$
by (*metis lt_ω_imp_ex_of_nat times_of_nat_minus_right*)

lemma *hmset_pair_decompose*:

$\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (\text{head}_\omega\ n1 \neq \text{head}_\omega\ n2 \vee n1 = 0 \wedge n2 = 0)$

proof –

define *n1* **where** $n1: n1 = m1 - m2$

define *n2* **where** $n2: n2 = m2 - m1$

```

define k where k1: k = m1 - n1

have k2: k = m2 - n2
  using k1 unfolding n1 n2 by (simp add: minus_diff_sym_hmset)

have m1 = k + n1
  unfolding k1
  by (metis (no_types) n1 add_diff_cancel_left add.commute add_diff_cancel_right' diff_add_zero
    diff_diff_add_minus_diff_sym_hmset)
moreover have m2 = k + n2
  unfolding k2
  by (metis n2 add.commute add_diff_cancel_left add_diff_cancel_left' add_diff_cancel_right'
    diff_add_zero diff_diff_add_diff_zero k2 minus_diff_sym_hmset)
moreover have hd_n: head_ω n1 ≠ head_ω n2 if n1_or_n2_nz: n1 ≠ 0 ∨ n2 ≠ 0
proof (cases n1 = 0 n2 = 0 rule: bool.exhaust[case_product bool.exhaust])
  case False_False
  note n1_nz = this(1)[simplified] and n2_nz = this(2)[simplified]

  define δ1 where δ1 = hmsetmset n1
  define δ2 where δ2 = hmsetmset n2

  have δ1_inter_δ2: δ1 ∩# δ2 = {#}
    unfolding δ1_def δ2_def n1 n2 minus_hmultiset_def by (simp add: diff_intersect_sym_diff)

  have δ1_ne: δ1 ≠ {#}
    unfolding δ1_def using n1_nz by simp
  have δ2_ne: δ2 ≠ {#}
    unfolding δ2_def using n2_nz by simp

  have max_δ1: Max (set_mset δ1) ∈# δ1
    using δ1_ne by simp
  have max_δ2: Max (set_mset δ2) ∈# δ2
    using δ2_ne by simp
  have max_δ1_ne_δ2: Max (set_mset δ1) ≠ Max (set_mset δ2)
    using δ1_inter_δ2 disjunct_not_in max_δ1 max_δ2 by force

  show ?thesis
    using n1_nz n2_nz
    by (cases n1 rule: hmultiset.exhaust_sel, cases n2 rule: hmultiset.exhaust_sel,
      auto simp: head_ω_def zero_hmultiset_def max_δ1_ne_δ2[unfolded δ1_def δ2_def])
qed (use n1_or_n2_nz in (auto simp: head_ω_def))
ultimately show ?thesis
  by blast
qed

lemma hmset_pair_decompose_less:
  assumes m1_lt_m2: m1 < m2
  shows ∃k n1 n2. m1 = k + n1 ∧ m2 = k + n2 ∧ head_ω n1 < head_ω n2
proof -
  obtain k n1 n2 where
    m1: m1 = k + n1 and
    m2: m2 = k + n2 and
    hds: head_ω n1 ≠ head_ω n2 ∨ n1 = 0 ∧ n2 = 0
  using hmset_pair_decompose[of m1 m2] by blast

  {
  assume n1 = 0 and n2 = 0
  hence m1 = m2
    unfolding m1 m2 by simp
  hence False
    using m1_lt_m2 by simp
  }
  moreover

```

```

{
  assume head_ω n1 > head_ω n2
  hence n1 > n2
    by (rule head_ω_lt_imp_lt)
  hence m1 > m2
    unfolding m1 m2 by simp
  hence False
    using m1_lt_m2 by simp
}
ultimately show ?thesis
using m1 m2 hds by (blast elim: neqE)
qed

```

```

lemma hmset_pair_decompose_less_eq:
  assumes m1 ≤ m2
  shows ∃ k n1 n2. m1 = k + n1 ∧ m2 = k + n2 ∧ (head_ω n1 < head_ω n2 ∨ n1 = 0 ∧ n2 = 0)
  using assms
  by (metis add_cancel_right_right hmset_pair_decompose_less order.not_eq_order_implies_strict)

```

```

lemma mono_cross_mult_less_hmset:
  fixes Aa A Ba B :: hmultiset
  assumes A_lt: A < Aa and B_lt: B < Ba
  shows A * Ba + B * Aa < A * B + Aa * Ba
proof -
  obtain j m1 m2 where A: A = j + m1 and Aa: Aa = j + m2 and hd_m: head_ω m1 < head_ω m2
    by (metis hmset_pair_decompose_less[OF A_lt])
  obtain k n1 n2 where B: B = k + n1 and Ba: Ba = k + n2 and hd_n: head_ω n1 < head_ω n2
    by (metis hmset_pair_decompose_less[OF B_lt])

  have hd_lt: head_ω (m1 * n2 + m2 * n1) < head_ω (m1 * n1 + m2 * n2)
  proof simp
    have ∧h ha :: hmultiset. 0 < h ∨ ¬ ha < h
    by force
    hence ¬ head_ω m2 * head_ω n2 ≤ sup (head_ω m1 * head_ω n2) (head_ω m2 * head_ω n1)
    using hd_m hd_n sup_hmultiset_def by auto
    thus sup (head_ω m1 * head_ω n2) (head_ω m2 * head_ω n1)
      < sup (head_ω m1 * head_ω n1) (head_ω m2 * head_ω n2)
    by (meson leI sup.bounded_iff)
  qed
  show ?thesis
  unfolding A Aa B Ba ring_distrib by (simp add: algebra_simps head_ω_lt_imp_lt[OF hd_lt])
qed

```

```

lemma triple_cross_mult_hmset:
  An * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp))
  + (Cn * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp))
  + (Ap * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp))
  + Cp * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap))) =
  An * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp))
  + (Cn * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap))
  + (Ap * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp))
  + Cp * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp)))
for Ap An Bp Bn Cp Cn Dp Dn :: hmultiset
apply (simp add: algebra_simps)
apply (unfold add.assoc[symmetric])

```

```

apply (rule add_right_cancel[THEN iffD1, of _ Cp * (An * Bp + Ap * Bn)])
apply (unfold add.assoc)
apply (subst times_diff_plus_sym_hmset)
apply (unfold add.assoc[symmetric])
apply (subst (12) add commute)
apply (subst (11) add commute)
apply (unfold add.assoc[symmetric])

```



```

apply (rule add_right_cancel[THEN iffD1, of _ Cn * (An * Bn + Ap * Bp)])
apply (unfold add.assoc)
apply (subst times_diff_plus_sym_hmset)
apply (unfold add.assoc[symmetric])
apply (subst (14) add commute)
apply (subst (13) add commute)
apply (unfold add.assoc[symmetric])

apply (rule add_right_cancel[THEN iffD1, of _ Ap * (Bn * Cn + Bp * Cp)])
apply (unfold add.assoc)
apply (subst times_diff_plus_sym_hmset)
apply (unfold add.assoc[symmetric])
apply (subst (16) add commute)
apply (subst (15) add commute)
apply (unfold add.assoc[symmetric])

apply (rule add_right_cancel[THEN iffD1, of _ An * (Bn * Cp + Bp * Cn)])
apply (unfold add.assoc)
apply (subst times_diff_plus_sym_hmset)
apply (unfold add.assoc[symmetric])
apply (subst (18) add commute)
apply (subst (17) add commute)
apply (unfold add.assoc[symmetric])

by (simp add: algebra_simps)

```

7.7 Conversions to Natural Numbers

definition *offset_hmset* :: *hmultiset* \Rightarrow *nat* **where**
offset_hmset *M* = *count* (*hmsetmset* *M*) 0

lemma *offset_hmset_of_nat*[*simp*]: *offset_hmset* (*of_nat* *n*) = *n*
unfolding *offset_hmset_def* *of_nat_hmset* **by** *simp*

lemma *offset_hmset_numeral*[*simp*]: *offset_hmset* (*numeral* *n*) = *numeral* *n*
unfolding *offset_hmset_def* **by** (*metis* *offset_hmset_def* *offset_hmset_of_nat* *of_nat_numeral*)

definition *sum_coefs* :: *hmultiset* \Rightarrow *nat* **where**
sum_coefs *M* = *size* (*hmsetmset* *M*)

lemma *sum_coefs_distrib_plus*[*simp*]: *sum_coefs* (*M* + *N*) = *sum_coefs* *M* + *sum_coefs* *N*
unfolding *plus_hmultiset_def* *sum_coefs_def* **by** *simp*

lemma *sum_coefs_gt_0*: *sum_coefs* *M* > 0 \longleftrightarrow *M* > 0
by (*auto* *simp*: *sum_coefs_def* *zero_hmultiset_def* *hmsetmset_less*[*symmetric*] *less_multiset_ext_DM_less* *nonempty_has_size*[*symmetric*])

7.8 An Example

The following proof is based on an informal proof by Uwe Waldmann, inspired by a similar argument by Michel Ludwig.

lemma *ludwig_waldmann_less*:
fixes $\alpha 1 \alpha 2 \beta 1 \beta 2 \gamma \delta :: \text{hmultiset}$
assumes
 $\alpha \beta 2 \gamma \text{_lt_} \alpha \beta 1 \gamma$: $\alpha 2 + \beta 2 * \gamma < \alpha 1 + \beta 1 * \gamma$ **and**
 $\beta 2 \text{_le_} \beta 1$: $\beta 2 \leq \beta 1$ **and**
 $\gamma \text{_lt_} \delta$: $\gamma < \delta$
shows $\alpha 2 + \beta 2 * \delta < \alpha 1 + \beta 1 * \delta$
proof –
obtain $\beta 0 \beta 2a \beta 1a$ **where**
 $\beta 1$: $\beta 1 = \beta 0 + \beta 1a$ **and**
 $\beta 2$: $\beta 2 = \beta 0 + \beta 2a$ **and**

```

hd_β2a_vs_β1a: head_ω β2a < head_ω β1a ∨ β2a = 0 ∧ β1a = 0
using hmset_pair_decompose_less_eq[OF β2_le_β1] by blast

obtain η γ a δ a where
  γ: γ = η + γ a and
  δ: δ = η + δ a and
  hd_γ_a_lt_δ_a: head_ω γ a < head_ω δ a
using hmset_pair_decompose_less[OF γ_lt_δ] by blast

have α2 + β0 * γ + β2a * γ = α2 + β2 * γ
  unfolding β2 by (simp add: add.commute add.left_commute distrib_left mult.commute)
also have ... < α1 + β1 * γ
  by (rule αβ2γ_lt_αβ1γ)
also have ... = α1 + β0 * γ + β1a * γ
  unfolding β1 by (simp add: add.commute add.left_commute distrib_left mult.commute)
finally have *: α2 + β2a * γ < α1 + β1a * γ
  by (metis add_less_cancel_right semiring_normalization_rules(23))

have α2 + β2 * δ = α2 + β0 * δ + β2a * δ
  unfolding β2 by (simp add: ab_semigroup_add_class.add_ac(1) distrib_right)
also have ... = α2 + β0 * δ + β2a * η + β2a * δ a
  unfolding δ by (simp add: distrib_left semiring_normalization_rules(25))
also have ... ≤ α2 + β0 * δ + β2a * η + β2a * δ a + β2a * γ a
  by simp
also have ... = α2 + β2a * γ + β0 * δ + β2a * δ a
  unfolding γ distrib_left add.assoc[symmetric] by (simp add: semiring_normalization_rules(23))
also have ... < α1 + β1a * γ + β0 * δ + β2a * δ a
  using * by simp
also have ... = α1 + β1a * η + β1a * γ a + β0 * η + β0 * δ a + β2a * δ a
  unfolding γ δ distrib_left add.assoc[symmetric] by (rule refl)
also have ... ≤ α1 + β1a * η + β0 * η + β0 * δ a + β1a * δ a
proof -
  have β1a * γ a + β2a * δ a ≤ β1a * δ a
  proof (cases β2a = 0 ∧ β1a = 0)
    case False
    hence head_ω β2a < head_ω β1a
    using hd_β2a_vs_β1a by blast
    hence head_ω (β1a * γ a + β2a * δ a) < head_ω (β1a * δ a)
    using hd_γ_a_lt_δ_a by (auto intro: gr_zeroI_hmset simp: sup_hmultiset_def)
    hence β1a * γ a + β2a * δ a < β1a * δ a
    by (rule head_ω_lt_imp_lt)
  thus ?thesis
  by simp
qed simp
thus ?thesis
by simp
qed
finally show ?thesis
  unfolding β1 δ
  by (simp add: distrib_left distrib_right add.assoc[symmetric] semiring_normalization_rules(23))
qed
end

```

8 Signed Syntactic Ordinals in Cantor Normal Form

```

theory Signed_Syntactic_Ordinal
imports Signed_Hereditary_Multiset Syntactic_Ordinal
begin

```

8.1 Natural (Hessenberg) Product

```

instantiation zhmultiset :: comm_ring_1

```

begin

abbreviation $\omega_z_exp :: hmultiset \Rightarrow zhmultiset (\omega_z \wedge)$ **where**
 $\omega_z \wedge \equiv \lambda m. ZHMSet \{\#m\}_z$

lift-definition $one_zhmultiset :: zhmultiset$ **is** $\{\#0\}_z$.

abbreviation $\omega_z :: zhmultiset$ **where**
 $\omega_z \equiv \omega_z \wedge 1$

lemma $\omega_z_as_ \omega: \omega_z = zhmsset_of \ \omega$
by *simp*

lift-definition $times_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset$ **is**
 $\lambda M N.$
 $zmsset_of (hmsetmset (HMSet (mset_pos M) * HMSet (mset_pos N)))$
 $- zmsset_of (hmsetmset (HMSet (mset_pos M) * HMSet (mset_neg N)))$
 $+ zmsset_of (hmsetmset (HMSet (mset_neg M) * HMSet (mset_neg N)))$
 $- zmsset_of (hmsetmset (HMSet (mset_neg M) * HMSet (mset_pos N))).$

lemmas $zhmssetmset_times = times_zhmultiset.rep_eq$

instance

proof (*intro_classes, goal_cases mult_assoc mult_comm mult_1 distrib zero_neq_one*)
case (*mult_assoc a b c*)
show *?case*
by (*transfer,*
simp add: algebra_simps zmsset_of_plus[symmetric] hmsetmset_plus[symmetric] HMSet_diff,
rule triple_cross_mult_hmset)

next

case (*mult_comm a b*)
show *?case*
by *transfer (auto simp: algebra_simps)*

next

case (*mult_1 a*)
show *?case*
by *transfer (auto simp: algebra_simps mset_pos_neg_partition[symmetric])*

next

case (*distrib a b c*)

show *?case*
by (*simp add: times_zhmultiset_def ZHMSet_plus[symmetric] zmsset_of_plus[symmetric]*
hmsetmset_plus[symmetric] algebra_simps hmset_pos_plus hmset_neg_plus)
(simp add: mult commute[of _ hmset_pos c] mult commute[of _ hmset_neg c]
*add commute[of hmset_neg c * M hmset_pos c * N for M N]*
add.assoc[symmetric] ring_distrib(1)[symmetric] hmset_pos_neg_dual)

next

case *zero_neq_one*
show *?case*
unfolding *zero_zhmultiset_def one_zhmultiset_def* **by** *simp*

qed

end

lemma $zhmsset_of_1: zhmsset_of 1 = 1$
by (*simp add: one_hmultiset_def one_zhmultiset_def*)

lemma $zhmsset_of_times: zhmsset_of (A * B) = zhmsset_of A * zhmsset_of B$
by *transfer simp*

lemma $zhmsset_of_prod_list:$
 $zhmsset_of (prod_list Ms) = prod_list (map zhmsset_of Ms)$
by (*induct Ms (auto simp: one_hmultiset_def one_zhmultiset_def zhmsset_of_times)*)

8.2 Embedding of Natural Numbers

lemma *of_nat_zhmsset*: $of_nat\ n = zhmsset_of\ (of_nat\ n)$
by (*induct n*) (*auto simp: zero_zhmultiset_def zhmsset_of_plus zhmsset_of_1*)

lemma *of_nat_inject_zhmsset[simp]*: $(of_nat\ m :: zhmultiset) = of_nat\ n \longleftrightarrow m = n$
unfolding *of_nat_zhmsset* **by** *simp*

lemma *plus_of_nat_plus_of_nat_zhmsset*:
 $k + of_nat\ m + of_nat\ n = k + of_nat\ (m + n)$ **for** $k :: zhmultiset$
by *simp*

lemma *plus_of_nat_minus_of_nat_zhmsset*:
fixes $k :: zhmultiset$
assumes $n \leq m$
shows $k + of_nat\ m - of_nat\ n = k + of_nat\ (m - n)$
using *assms* **by** (*simp add: of_nat_diff*)

lemma *of_nat_lt_omega_z[simp]*: $of_nat\ n < \omega_z$
unfolding $\omega_z_as_w$ **using** *of_nat_lt_w of_nat_zhmsset zhmsset_of_less* **by** *presburger*

lemma *of_nat_ne_omega_z[simp]*: $of_nat\ n \neq \omega_z$
by (*metis of_nat_lt_omega_z mset_le_asym mset_lt_single_iff*)

8.3 Embedding of Extended Natural Numbers

primrec *zhmsset_of_enat* :: $enat \Rightarrow zhmultiset$ **where**
 $zhmsset_of_enat\ (enat\ n) = of_nat\ n$
 $| zhmsset_of_enat\ \infty = \omega_z$

lemma *zhmsset_of_enat_0[simp]*: $zhmsset_of_enat\ 0 = 0$
by (*simp add: zero_enat_def*)

lemma *zhmsset_of_enat_1[simp]*: $zhmsset_of_enat\ 1 = 1$
by (*simp add: one_enat_def del: One_nat_def*)

lemma *zhmsset_of_enat_of_nat[simp]*: $zhmsset_of_enat\ (of_nat\ n) = of_nat\ n$
using *of_nat_eq_enat* **by** *auto*

lemma *zhmsset_of_enat_numeral[simp]*: $zhmsset_of_enat\ (numeral\ n) = numeral\ n$
by (*simp add: numeral_eq_enat*)

lemma *zhmsset_of_enat_le_omega_z[simp]*: $zhmsset_of_enat\ n \leq \omega_z$
using *of_nat_lt_omega_z[THEN less_imp_le]* **by** (*cases n*) *auto*

lemma *zhmsset_of_enat_eq_omega_z_iff[simp]*: $zhmsset_of_enat\ n = \omega_z \longleftrightarrow n = \infty$
by (*cases n*) *auto*

8.4 Inequalities and Some (Dis)equalities

instance *zhmultiset* :: *zero_less_one*
by (*intro_classes, transfer, transfer, auto*)

instantiation *zhmultiset* :: *linordered_idom*
begin

definition *sgn_zhmultiset* :: $zhmultiset \Rightarrow zhmultiset$ **where**
 $sgn_zhmultiset\ M = (if\ M = 0\ then\ 0\ else\ if\ M > 0\ then\ 1\ else\ -1)$

definition *abs_zhmultiset* :: $zhmultiset \Rightarrow zhmultiset$ **where**
 $abs_zhmultiset\ M = (if\ M < 0\ then\ -M\ else\ M)$

lemma *gt_0_times_gt_0_imp*:
fixes $a\ b :: zhmultiset$

```

assumes a_gt0: a > 0 and b_gt0: b > 0
shows a * b > 0
proof -
  show ?thesis
    using a_gt0 b_gt0
    by (subst (asm) (2 4) zhmsset_pos_neg_partition, simp, transfer,
        simp del: HMSset_less add: algebra_simps zmsset_of_plus[symmetric] hmsetmset_plus[symmetric]
        zmsset_of_less HMSset_less[symmetric])
        (rule mono_cross_mult_less_hmset)
qed

```

instance

```

proof intro_classes
  fix a b c :: zhmultiset

```

assume

```

  a_lt_b: a < b and
  zero_lt_c: 0 < c

```

```

have c * b < c * b + c * (b - a)
  using gt_0_times_gt_0_imp by (simp add: a_lt_b zero_lt_c)
hence c * a + c * (b - a) < c * b + c * (b - a)
  by (simp add: right_diff_distrib)
thus c * a < c * b
  by simp

```

qed (auto simp: sgn_zhmultiset_def abs_zhmultiset_def)

end

```

lemma le_zhmsset_of_pos: M ≤ zhmsset_of (hmset_pos M)
  by (simp add: less_eq_zhmultiset.rep_eq mset_pos_supset subset_eq_imp_le_zmsset)

```

```

lemma minus_zhmsset_of_pos_le: - zhmsset_of (hmset_neg M) ≤ M
  by (metis le_zhmsset_of_pos minus_le_iff mset_pos_uminus zhmssetmset_uminus)

```

```

lemma zhmsset_of_nonneg[simp]: zhmsset_of M ≥ 0
  by (metis hmsetmset_0 zero_le_hmset zero_zhmultiset_def zhmsset_of_le zmsset_of_empty)

```

lemma

```

  fixes n :: zhmultiset
  assumes 0 ≤ m
  shows
    le_add1_hmset: n ≤ n + m and
    le_add2_hmset: n ≤ m + n
  using assms by simp+

```

lemma less_iff_add1_le_zhmsset: m < n ↔ m + 1 ≤ n **for** m n :: zhmultiset

proof

```

  assume m_lt_n: m < n
  show m + 1 ≤ n
  proof -
    obtain hh :: hmultiset and zz :: zhmultiset and hha :: hmultiset where
      f1: m = zhmsset_of hh + zz ∧ n = zhmsset_of hha + zz ∧ hh < hha
    using less_hmset_zhmssetE[OF m_lt_n] by metis
    hence zhmsset_of (hh + 1) ≤ zhmsset_of hha
    by (metis (no_types) less_iff_add1_le_hmset zhmsset_of_le)
    thus ?thesis
      using f1 by (simp add: zhmsset_of_1 zhmsset_of_plus)
  qed

```

qed simp

```

lemma gt_0_lt_mult_gt_1_zhmsset:
  fixes m n :: zhmultiset

```

```

assumes  $m > 0$  and  $n > 1$ 
shows  $m < m * n$ 
using assms by simp

lemma zero_less_iff_1_le_zhmset:  $0 < n \longleftrightarrow 1 \leq n$  for  $n :: \text{zhmultiset}$ 
by (rule less_iff_add1_le_zhmset[of 0, simplified])

lemma less_add_1_iff_le_hmset:  $m < n + 1 \longleftrightarrow m \leq n$  for  $m\ n :: \text{zhmultiset}$ 
by (rule less_iff_add1_le_zhmset[of  $m\ n + 1$ , simplified])

lemma nonneg_le_mult_right_mono_zhmset:
fixes  $x\ y\ z :: \text{zhmultiset}$ 
assumes  $x: 0 \leq x$  and  $y: 0 < y$  and  $z: x \leq z$ 
shows  $x \leq y * z$ 
using  $x$  zero_less_iff_1_le_zhmset[THEN iffD1, OF  $y$ ]  $z$ 
by (meson dual_order.trans leD mult_less_cancel_right2 not_le_imp_less)

instance hmultiset :: ordered_cancel_comm_semiring
by intro_classes

instance hmultiset :: linordered_semiring_1_strict
by intro_classes

instance hmultiset :: bounded_lattice_bot
by intro_classes

instance hmultiset :: zero_less_one
by intro_classes

instance hmultiset :: linordered_nonzero_semiring
by intro_classes

instance hmultiset :: semiring_no_zero_divisors
by intro_classes

lemma zero_lt_omega_z[simp]:  $0 < \omega_z$ 
by (metis of_nat_lt_omega_z of_nat_0)

lemma one_lt_omega_z[simp]:  $1 < \omega_z$ 
by (metis enat_defs(2) zhmset_of_enat.simps(1) zhmset_of_enat_1 of_nat_lt_omega_z)

lemma numeral_lt_omega_z[simp]: numeral  $n < \omega_z$ 
using zhmset_of_enat_numeral[symmetric] zhmset_of_enat.simps(1) of_nat_lt_omega_z numeral_eq_enat
by presburger

lemma one_le_omega_z[simp]:  $1 \leq \omega_z$ 
by (simp add: less_imp_le)

lemma of_nat_le_omega_z[simp]: of_nat  $n \leq \omega_z$ 
by (simp add: le_less)

lemma numeral_le_omega_z[simp]: numeral  $n \leq \omega_z$ 
by (simp add: less_imp_le)

lemma not_omega_z_lt_1[simp]:  $\neg \omega_z < 1$ 
by (simp add: not_less)

lemma not_omega_z_lt_of_nat[simp]:  $\neg \omega_z < \text{of\_nat } n$ 
by (simp add: not_less)

lemma not_omega_z_lt_numeral[simp]:  $\neg \omega_z < \text{numeral } n$ 
by (simp add: not_less)

```

lemma *not_ω_z_le_1[simp]*: $\neg \omega_z \leq 1$
by (*simp add: not_le*)

lemma *not_ω_z_le_of_nat[simp]*: $\neg \omega_z \leq \text{of_nat } n$
by (*simp add: not_le*)

lemma *not_ω_z_le_numeral[simp]*: $\neg \omega_z \leq \text{numeral } n$
by (*simp add: not_le*)

lemma *zero_ne_ω_z[simp]*: $0 \neq \omega_z$
using *zero_lt_ω_z* **by** *linarith*

lemma *one_ne_ω_z[simp]*: $1 \neq \omega_z$
using *not_ω_z_le_1* **by** *force*

lemma *numeral_ne_ω_z[simp]*: $\text{numeral } n \neq \omega_z$
by (*metis not_ω_z_le_numeral numeral_le_ω_z*)

lemma
ω_z_ne_0[simp]: $\omega_z \neq 0$ **and**
ω_z_ne_1[simp]: $\omega_z \neq 1$ **and**
ω_z_ne_of_nat[simp]: $\omega_z \neq \text{of_nat } m$ **and**
ω_z_ne_numeral[simp]: $\omega_z \neq \text{numeral } n$
using *zero_ne_ω_z one_ne_ω_z of_nat_ne_ω_z numeral_ne_ω_z* **by** *metis+*

lemma
zhmset_of_enat_inject[simp]: $\text{zhmset_of_enat } m = \text{zhmset_of_enat } n \iff m = n$ **and**
zhmset_of_enat_lt_iff_lt[simp]: $\text{zhmset_of_enat } m < \text{zhmset_of_enat } n \iff m < n$ **and**
zhmset_of_enat_le_iff_le[simp]: $\text{zhmset_of_enat } m \leq \text{zhmset_of_enat } n \iff m \leq n$
by (*cases m; cases n; simp*)**+**

lemma *of_nat_lt_zhmset_of_enat_iff*: $\text{of_nat } m < \text{zhmset_of_enat } n \iff \text{enat } m < n$
by (*metis zhmset_of_enat.simps(1) zhmset_of_enat_lt_iff_lt*)

lemma *of_nat_le_zhmset_of_enat_iff*: $\text{of_nat } m \leq \text{zhmset_of_enat } n \iff \text{enat } m \leq n$
by (*metis zhmset_of_enat.simps(1) zhmset_of_enat_le_iff_le*)

lemma *zhmset_of_enat_lt_iff_ne_infinity*: $\text{zhmset_of_enat } x < \omega_z \iff x \neq \infty$
by (*cases x; simp*)

8.5 An Example

A new proof of $\llbracket ?\alpha 2.0 + ?\beta 2.0 * ?\gamma < ?\alpha 1.0 + ?\beta 1.0 * ?\gamma; ?\beta 2.0 \leq ?\beta 1.0; ?\gamma < ?\delta \rrbracket \implies ?\alpha 2.0 + ?\beta 2.0 * ?\delta < ?\alpha 1.0 + ?\beta 1.0 * ?\delta$:

lemma
fixes $\alpha 1 \alpha 2 \beta 1 \beta 2 \gamma \delta :: \text{hmultiset}$
assumes
 $\alpha \beta 2 \gamma \text{_lt_} \alpha \beta 1 \gamma$: $\alpha 2 + \beta 2 * \gamma < \alpha 1 + \beta 1 * \gamma$ **and**
 $\beta 2 \text{_le_} \beta 1$: $\beta 2 \leq \beta 1$ **and**
 $\gamma \text{_lt_} \delta$: $\gamma < \delta$
shows $\alpha 2 + \beta 2 * \delta < \alpha 1 + \beta 1 * \delta$

proof –
let $?z = \text{zhmset_of}$

note $\alpha \beta 2 \gamma \text{_lt_} \alpha \beta 1 \gamma' = \alpha \beta 2 \gamma \text{_lt_} \alpha \beta 1 \gamma$ [*THEN zhmset_of_less[THEN iffD2]*,
simplified zhmset_of_plus zhmset_of_times]

note $\beta 2 \text{_le_} \beta 1' = \beta 2 \text{_le_} \beta 1$ [*THEN zhmset_of_le[THEN iffD2]*]

note $\gamma \text{_lt_} \delta' = \gamma \text{_lt_} \delta$ [*THEN zhmset_of_less[THEN iffD2]*]

have $?z \alpha 2 + ?z \beta 2 * ?z \delta < ?z \alpha 1 + ?z \beta 1 * ?z \gamma + ?z \beta 2 * (?z \delta - ?z \gamma)$
using $\alpha \beta 2 \gamma \text{_lt_} \alpha \beta 1 \gamma'$ **by** (*simp add: algebra_simps*)

also have $\dots \leq ?z \alpha 1 + ?z \beta 1 * ?z \gamma + ?z \beta 1 * (?z \delta - ?z \gamma)$
using $\beta 2 \text{_le_} \beta 1' \gamma \text{_lt_} \delta'$ **by** *simp*

```

finally show ?thesis
  by (simp add: zmsset_of_less zhmsset_of_times[symmetric] zhmsset_of_plus[symmetric] algebra_simps)
qed

end

```

```

theory Syntactic_Ordinal_Bridge
imports HOL-Library.Sublist Ordinal.OrdinalOmega Syntactic_Ordinal
abbrevs
  !h = h
begin

```

9 Bridge between Huffman's Ordinal Library and the Syntactic Ordinals

9.1 Missing Lemmas about Huffman's Ordinals

```

instantiation ordinal :: order_bot
begin

```

```

definition bot_ordinal :: ordinal where
  bot_ordinal = 0

```

```

instance
  by intro_classes (simp add: bot_ordinal_def)

```

```

end

```

```

lemma ininsert_bot[simp]: ininsert bot xs = bot # xs for xs :: 'a::{order_bot,linorder} list
  by (simp add: ininsert_is_Cons)

```

```

lemmas ininsert_0_ordinal[simp] = ininsert_bot[of xs :: ordinal list for xs, unfolded bot_ordinal_def]

```

```

lemma from_cnf_less_omega_exp:
  assumes  $\forall k \in \text{set } ks. k < l$ 
  shows from_cnf ks <  $\omega ** l$ 
  using assms by (induct ks) (auto simp: additive_principal.sum_less additive_principal_omega_exp)

```

```

lemma from_cnf_0_iff[simp]: from_cnf ks = 0  $\longleftrightarrow$  ks = []
  by (induct ks) (auto simp: ordinal_plus_not_0)

```

```

lemma from_cnf_append[simp]: from_cnf (ks @ ls) = from_cnf ks + from_cnf ls
  by (induct ks) (auto simp: ordinal_plus_assoc)

```

```

lemma subseq_from_cnf_less_eq: Sublist.subseq ks ls  $\implies$  from_cnf ks  $\leq$  from_cnf ls
  by (induct rule: list_emb.induct) (auto intro: ordinal_le_plusL order_trans)

```

9.2 Embedding of Syntactic Ordinals into Huffman's Ordinals

```

abbreviation  $\omega_h$  :: hmultiset where
   $\omega_h \equiv$  Syntactic_Ordinal. $\omega$ 

```

```

abbreviation  $\omega_h$ _exp :: hmultiset  $\Rightarrow$  hmultiset ( $\omega_h$  ^) where
   $\omega_h$  ^  $\equiv$  Syntactic_Ordinal. $\omega$ _exp

```

```

primrec ordinal_of_hmset :: hmultiset  $\Rightarrow$  ordinal where
  ordinal_of_hmset (HMSet M) =
    from_cnf (rev (sorted_list_of_multiset (image_mset ordinal_of_hmset M)))

```

```

lemma ordinal_of_hmset_0[simp]: ordinal_of_hmset 0 = 0
  unfolding zero_hmultiset_def by simp

```



```

lemma ordinal_of_hmset_suc[simp]: ordinal_of_hmset (k + 1) = ordinal_of_hmset k + 1
  unfolding plus_hmultiset_def one_hmultiset_def by (cases k) simp

lemma ordinal_of_hmset_1[simp]: ordinal_of_hmset 1 = 1
  using ordinal_of_hmset_suc[of 0] by simp

lemma ordinal_of_hmset_omega[simp]: ordinal_of_hmset  $\omega_h = \omega$ 
  by simp

lemma ordinal_of_hmset_singleton[simp]: ordinal_of_hmset ( $\omega^k$ ) =  $\omega **$  ordinal_of_hmset k
  by simp

lemma ordinal_of_hmset_iff[simp]: ordinal_of_hmset k = 0  $\longleftrightarrow$  k = 0
  by (induct k) auto

lemma less_imp_ordinal_of_hmset_less: k < l  $\implies$  ordinal_of_hmset k < ordinal_of_hmset l
proof (simp only: atomize_imp,
  rule measure_induct_rule[of  $\lambda(k, l). \{\#k, l\}$ 
     $\lambda(k, l). k < l \implies$  ordinal_of_hmset k < ordinal_of_hmset l (k, l),
    simplified prod.case],
  simp only: split_paired_all prod.case atomize_imp[symmetric])
fix k l
assume
  ih:  $\bigwedge ka la. \{\#ka, la\} < \{\#k, l\} \implies ka < la \implies$  ordinal_of_hmset ka < ordinal_of_hmset la and
  k_lt_l: k < l

show ordinal_of_hmset k < ordinal_of_hmset l
proof (cases k = 0)
  case True
  thus ?thesis
  using k_lt_l ordinal_neq_0 by fastforce
next
  case k_nz: False

  have l_nz: l  $\neq$  0
  using k_lt_l by auto

  define K where K: K = hmsetmset k
  define L where L: L = hmsetmset l

  have k: k = HMSet K and l: l = HMSet L
  by (simp_all add: K L)

  have K_lt_L: K < L
  unfolding K L using k_lt_l by simp

  define x where x: x = Max_mset K
  define Ka where Ka: Ka = K -  $\{\#x\}$ 

  have k_eq_xKa: k = HMSet (add_mset x Ka)
  using K x Ka k_nz by auto
  have x_max:  $\forall a \in\# Ka. a \leq x$ 
  unfolding x Ka by (meson Max_ge finite_set_mset in_diffD)

  have ord_x_max:  $\forall a \in\# Ka. \text{ordinal\_of\_hmset } a \leq \text{ordinal\_of\_hmset } x$ 
proof
  fix a
  assume a_in: a  $\in\#$  Ka

  have a_le_x: a  $\leq$  x
  by (simp add: x_max a_in)
  moreover
  {

```

```

assume  $a\_lt\_x: a < x$ 
moreover have  $x\_lt\_k: x < k$ 
  unfolding  $k\_eq\_xKa$  by (rule mem_imp_less_HMSet) simp
ultimately have  $a\_lt\_k: a < k$ 
  by simp

have  $\{#a, x#\} < \{#k#\}$ 
  using  $x\_lt\_k$   $a\_lt\_k$  by simp
also have  $\dots < \{#k, l#\}$ 
  unfolding  $k\_eq\_xKa$  using  $a\_in$ 
  by simp
finally have ordinal_of_hmset  $a < ordinal\_of\_hmset\ x$ 
  by (rule ih[OF  $a\_lt\_x$ ])
}
ultimately show ordinal_of_hmset  $a \leq ordinal\_of\_hmset\ x$ 
  by force
qed

define  $y$  where  $y = Max\_mset\ L$ 
define  $La$  where  $La = L - \{#y#\}$ 

have  $l\_eq\_yLa: l = HMSet\ (add\_mset\ y\ La)$ 
  using  $L\ y\ La\ l\_nz$  by auto
have  $y\_max: \forall b \in\# La. b \leq y$ 
  unfolding  $y\ La$  by (meson Max_ge_finite_set_mset_in_diffD)

have  $ord\_y\_max: \forall b \in\# La. ordinal\_of\_hmset\ b \leq ordinal\_of\_hmset\ y$ 
proof
  fix  $b$ 
  assume  $b\_in: b \in\# La$ 

  have  $b\_le\_y: b \leq y$ 
    by (simp add:  $y\_max\ b\_in$ )
  moreover
  {
    assume  $b\_lt\_y: b < y$ 
    moreover have  $y\_lt\_l: y < l$ 
      unfolding  $l\_eq\_yLa$  by (rule mem_imp_less_HMSet) simp
    ultimately have  $b\_lt\_l: b < l$ 
      by simp

    have  $\{#b, y#\} < \{#l#\}$ 
      using  $y\_lt\_l\ b\_lt\_l$  by simp
    also have  $\dots < \{#k, l#\}$ 
      unfolding  $l\_eq\_yLa$  using  $b\_in$ 
      by simp
    finally have ordinal_of_hmset  $b < ordinal\_of\_hmset\ y$ 
      by (rule ih[OF  $b\_lt\_y$ ])
  }
  ultimately show ordinal_of_hmset  $b \leq ordinal\_of\_hmset\ y$ 
    by force
qed

{
  assume  $x\_eq\_y: x = y$ 

  have ordinal_of_hmset (HMSet  $Ka$ ) < ordinal_of_hmset (HMSet  $La$ )
  proof (rule ih)
    show  $\{#HMSet\ Ka, HMSet\ La#\} < \{#k, l#\}$ 
      unfolding  $k\ l$ 
      by (metis add_mset_add_single_hmsetmset_less_hmultiset.sel  $k\_k\_eq\_xKa\ l\_l\_eq\_yLa$ 
        le_multiset_right_total_mset_lt_single_iff_union_less_mono)
  next

```

```

have  $\omega^x + \text{HMSet } Ka < \omega^y + \text{HMSet } La$ 
  using  $k\_lt\_l[\text{unfolded } k\_eq\_xKa\ l\_eq\_yLa]$ 
  by (metis  $\text{HMSet\_plus add.commute add\_mset\_add\_single}$ )
thus  $\text{HMSet } Ka < \text{HMSet } La$ 
  using  $x\_eq\_y$  by simp
qed
hence ?thesis
  unfolding  $k\_eq\_xKa\ l\_eq\_yLa$ 
  by (simp, subst (1 2) sorted_insort_is_snoc, simp_all add: ord_x_max ord_y_max,
    force simp:  $x\_eq\_y$ )
}
moreover
{
  assume  $x\_ne\_y: x \neq y$ 

  have  $x\_lt\_y: x < y$ 
    by (metis  $K\ L\ \text{head}_\omega\ \text{def head}_\omega\ \text{lt\_imp\_lt hmsetmset\_less hmultiset.sel } k\_lt\_l\ k\_nz\ l\_nz$ 
      less_imp_not_less mset_lt_single_iff neqE  $x\ x\_ne\_y\ y$ )

  have  $\text{ord\_y\_smax}_K: \text{ordinal\_of\_hmset } a < \text{ordinal\_of\_hmset } y$  if  $a\_in\_K: a \in\# K$  for a
  proof (rule ih)
    show  $\{\#a, y\# \} < \{\#k, l\# \}$ 
      unfolding  $k\_eq\_xKa\ l\_eq\_yLa$  using  $a\_in\_K\ k\ k\_eq\_xKa$ 
      by (metis  $\text{add\_mset\_add\_single mem\_imp\_less\_HMSet mset\_lt\_single\_iff union\_less\_mono}$ 
        union_single_eq_member)
  next
    show  $a < y$ 
      by (metis  $\text{Max\_ge finite\_set\_mset less\_le\_trans not\_less\_iff\_gr\_or\_eq that } x\ x\_lt\_y$ )
  qed

  have  $\text{ordinal\_of\_hmset } k < \text{ordinal\_of\_hmset } (\omega^y)$ 
  proof (cases  $La$ )
    case empty
    show ?thesis
      unfolding  $k$  by (auto intro!: from_cnf_less_omega_exp simp:  $\text{ord\_y\_smax}_K$ )
  next
    case  $La: (add\ ya\ Lb)$ 
    show ?thesis
      proof (rule ih)
        show  $\{\#k, \omega^y\# \} < \{\#k, l\# \}$ 
          unfolding  $l\_eq\_yLa\ La$  by simp
      next
        show  $k < \omega^y$ 
          proof -
            have  $\bigwedge m. x < \text{Max\_mset } (\text{add\_mset } y\ m)$ 
              by (meson  $\text{Max\_ge finite\_set\_mset less\_le\_trans union\_single\_eq\_member } x\_lt\_y$ )
            then show ?thesis
              by (metis  $K\ x\ \text{head}_\omega\ \text{def head}_\omega\ \text{lt\_imp\_lt hmsetmset\_less hmultiset.sel } k\_nz$ 
                mset_lt_single_iff  $x\_lt\_y$ )
          qed
        qed
      qed
    also have  $\dots \leq \text{ordinal\_of\_hmset } l$ 
      unfolding  $l\_eq\_yLa$ 
      by (auto simp del: from_cnf_simps intro!: subseq_from_cnf_less_eq
        simp: subseq_from_cnf_less_eq sorted_insort_is_snoc ord_y_max)
    ultimately have ?thesis
      by simp
  }
ultimately show ?thesis
  by sat
qed
qed

```

```

lemma ordinal_of_hmset_less[simp]: ordinal_of_hmset k < ordinal_of_hmset l  $\longleftrightarrow$  k < l
  using less_imp_not_less less_imp_ordinal_of_hmset_less neq_iff by blast
end

```

10 Termination of McCarthy's 91 Function

```

theory McCarthy_91
imports HOL-Library.Multiset_Order
begin

```

```

lemma funpow_rec: f ^^ n = (if n = 0 then id else f o f ^^ (n - 1))
  by (induct n) auto

```

The f function captures the semantics of McCarthy's 91 function. The g function is a tail-recursive implementation of the function, whose termination is established using the multiset order. The definitions follow Dershowitz and Manna.

```

definition f :: int  $\Rightarrow$  int where
  f x = (if x > 100 then x - 10 else 91)

```

```

definition  $\tau$  :: nat  $\Rightarrow$  int  $\Rightarrow$  int multiset where
   $\tau$  n z = mset (map ( $\lambda$ i. (f ^^ nat i) z) [0..int n - 1])

```

```

function g :: nat  $\Rightarrow$  int  $\Rightarrow$  int where
  g n z = (if n = 0 then z else if z > 100 then g (n - 1) (z - 10) else g (n + 1) (z + 11))
  by pat_completeness auto

```

termination

```

proof -
  define lt :: (int  $\times$  int) set where
    lt = {(a, b). b < a  $\wedge$  a  $\leq$  111}

```

```

have lt_trans: trans lt
  unfolding trans_def lt_def by simp
have lt_irrefl: irrefl lt
  unfolding irrefl_def lt_def by simp

```

```

let ?LT = mult lt
let ?T =  $\lambda$ (n, z).  $\tau$  n z
let ?R = inv_image ?LT ?T

```

show ?thesis

proof (relation ?R)

show wf ?R

```

  by (auto simp: lt_def intro!: wf_inv_image[OF wf_mult]
    wf_subset[OF wf_measure[of  $\lambda$ z. nat (111 - z)]])

```

next

```

fix n :: nat and z :: int
assume n_ne_0: n  $\neq$  0

```

```

{
  assume z_gt_100: z > 100

```

```

  have map ( $\lambda$ i. (f ^^ nat i) (z - 10)) [0..int n - 2] =
    map ( $\lambda$ i. (f ^^ nat i) z) [1..int n - 1]
  using n_ne_0

```

proof (induct n rule: less_induct)

case (less n)

note ih = this(1) **and** n_ne_0 = this(2)

show ?case

proof (cases n = 1)

case True

```

thus ?thesis
  by simp
next
case False
hence n_ge_2: n ≥ 2
  using n_ne_0 by simp

have
  split_l: [0..int n - 2] = [0..int (n - 1) - 2] @ [int n - 2] and
  split_r: [1..int n - 1] = [1..int (n - 1) - 1] @ [int n - 1]
  using n_ge_2 by (induct n) (auto simp: upto_rec2)
have f_repeat: (f ^^ (n - 2)) (z - 10) = (f ^^ (n - 1)) z
  using z_gt_100 n_ge_2 by (induct n, simp) (rename_tac m; case_tac m; simp add: f_def)+

show ?thesis
  using n_ge_2 by (auto intro!: ih simp: split_l split_r f_repeat nat_diff_distrib')
qed
qed
hence image_mset_eq: {#(f ^^ nat i) (z - 10). i ∈# mset [0..int n - 2]#} =
  {#(f ^^ nat i) z. i ∈# mset [1..int n - 1]#}
  by (fold mset_map) (intro arg_cong[of _ _ mset])

have mset_eq_add_0_mset: mset [0..int n - 1] = add_mset 0 (mset [1..int n - 1])
  using n_ne_0 by (induct n) (auto simp: upto_simps)

have nm1m1: int (n - 1) - 1 = int n - 2
  using n_ne_0 by simp

show ((n - 1, z - 10), (n, z)) ∈ ?R
  by (auto simp: image_mset_eq mset_eq_add_0_mset nm1m1 τ_def simp del: One_nat_def
    intro: subset_implies_mult image_mset_subset_mono)
}
{
assume z_le_100: ¬ z > 100

have map_eq: map (λx. (f ^^ nat x) (z + 11)) [2..int n] =
  map (λi. (f ^^ nat i) z) [1..int n - 1]
  using n_ne_0
proof (induct n rule: less_induct)
case (less n)
note ih = this(1) and n_ne_0 = this(2)
show ?case
proof (cases n = 1)
case True
thus ?thesis
  by simp
next
case False
hence n_ge_2: n ≥ 2
  using n_ne_0 by simp

have
  split_l: [2..int n] = [2..int (n - 1)] @ [int n] and
  split_r: [1..int n - 1] = [1..int (n - 1) - 1] @ [int n - 1]
  using n_ge_2 by (induct n) (auto simp: upto_rec2)
have f_repeat: (f ^^ nat (int n)) (z + 11) = (f ^^ nat (int n - 1)) z
  using z_le_100 n_ge_2
  apply (induct n)
  apply simp
  apply (rename_tac m)
  apply (case_tac m)
  apply simp
  apply (rename_tac m)

```

```

apply (case_tac m)
apply (simp add: funpow_rec f_def)
apply (subst (1 2) funpow_rec)
apply simp
by (metis Nat_Transfer.transfer_int_nat_functions(1) One_nat_def Suc_1 add_Suc_shift
diff_Suc_Suc minus_nat.diff_0 nat_int numeral_3_eq_3 plus_nat.add_0
transfer_int_nat_numerals(2) transfer_int_nat_numerals(3)
transfer_int_nat_numerals(4))

show ?thesis
using n_ge_2 unfolding split_l split_r list.map f_repeat map_append
by (auto intro: ih[of nat (int n - 1)] simp: less.hyyps)
qed
qed

have [0..int n] = [0..1] @ [2..int n]
using n_ne_0 by (simp add: upto_rec1)
hence {#(f ^^ nat x) (z + 11). x ∈# mset [0..int n]#} =
  {#(f ^^ nat x) (z + 11). x ∈# mset [0..1]#}
  + {#(f ^^ nat x) (z + 11). x ∈# mset [2..int n]#}
by auto
hence factor_out_first_two: {#(f ^^ nat x) (z + 11). x ∈# mset [0..int n]#} =
  {#z + 11, f (z + 11)#} + {#(f ^^ nat x) (z + 11). x ∈# mset [2..int n]#}
by (auto simp: upto_rec1)

let ?etc1 = {#(f ^^ nat i) (z + 11). i ∈# mset [2..int n]#}
let ?etc2 = {#(f ^^ nat i) z. i ∈# mset [1..int n - 1]#}

show ((n + 1, z + 11), (n, z)) ∈ ?R
proof (cases z ≥ 90)
  case z_ge_90: True

  have {#z + 11, f (z + 11)#} + ?etc1 = {#z + 11, z + 1#} + ?etc2
  using z_ge_90
  by (auto intro!: arg_cong2[of _ _ _ _ add_mset] simp: map_eq f_def mset_map[symmetric]
simp del: mset_map)
hence image_mset_eq: {#(f ^^ nat x) (z + 11). x ∈# mset [0..int n]#} =
  {#z + 11, z + 1#} + ?etc2
  using factor_out_first_two by presburger

  have ({#z + 11, z + 1#}, {#z#}) ∈ mult1 lt
  using z_le_100 z_ge_90 by (auto intro!: mult1I simp: lt_def)
hence ({#z + 11, z + 1#}, {#z#}) ∈ mult lt
  unfolding mult_def by simp
hence ({#z + 11, z + 1#} + ?etc2, {#z#} + ?etc2) ∈ mult lt
  by (rule mult_cancel[THEN iffD2, OF lt_trans lt_irrefl])
  thus ?thesis
  using n_ne_0 by (auto simp: image_mset_eq τ_def upto_rec1[of 0 int n - 1])
next
  case z_lt_90: False

  have {#z + 11, f (z + 11)#} + ?etc1 = {#z + 11, 91#} + ?etc2
  using z_lt_90
  by (auto intro!: arg_cong2[of _ _ _ _ add_mset] simp: map_eq f_def mset_map[symmetric]
simp del: mset_map)
hence image_mset_eq: {#(f ^^ nat x) (z + 11). x ∈# mset [0..int n]#} =
  {#z + 11, 91#} + ?etc2
  using factor_out_first_two by presburger

  have ({#z + 11, 91#}, {#z#}) ∈ mult1 lt
  using z_le_100 z_lt_90 by (auto intro!: mult1I simp: lt_def)
hence ({#z + 11, 91#}, {#z#}) ∈ mult lt
  unfolding mult_def by simp

```

```

    hence ({#z + 11, 91#} + ?etc2, {#z#} + ?etc2) ∈ mult lt
      by (rule mult_cancel[THEN iffD2, OF lt_trans lt_irrefl])
    thus ?thesis
      using n_ne_0 by (auto simp: image_mset_eq τ_def upto_rec1[of 0 int n - 1])
  qed
}
qed
qed

declare g.simps [simp del]

end

```

11 Termination of the Hydra Battle

```

theory Hydra_Battle
imports Syntactic_Ordinal
begin

```

```

hide-const (open) Nil Cons

```

The h function and its auxiliaries f and d represent the hydra battle. The $encode$ function converts a hydra (represented as a Lisp-like tree) to a syntactic ordinal. The definitions follow Dershowitz and Moser.

```

datatype lisp =
  Nil
| Cons (car: lisp) (cdr: lisp)
where
  car Nil = Nil
| cdr Nil = Nil

```

```

primrec encode :: lisp ⇒ hmultiset where
  encode Nil = 0
| encode (Cons l r) = ω^(encode l) + encode r

```

```

primrec f :: nat ⇒ lisp ⇒ lisp ⇒ lisp where
  f 0 y x = x
| f (Suc m) y x = Cons y (f m y x)

```

```

lemma encode_f: encode (f n y x) = of_nat n * ω^(encode y) + encode x
  unfolding of_nat_times_ω_exp by (induct n) (auto simp: HMSet_plus[symmetric])

```

```

function d :: nat ⇒ lisp ⇒ lisp where
  d n x =
    (if car x = Nil then cdr x
     else if car (car x) = Nil then f n (cdr (car x)) (cdr x)
     else Cons (d n (car x)) (cdr x))
  by pat_completeness auto
termination
  by (relation measure (λ(_, x). size x), rule wf_measure, rename_tac n x, case_tac x, auto)

```

```

declare d.simps[simp del]

```

```

function h :: nat ⇒ lisp ⇒ lisp where
  h n x = (if x = Nil then Nil else h (n + 1) (d n x))
  by pat_completeness auto
termination

```

```

proof -
  let ?R = inv_image {(m, n). m < n} (λ(n, x). encode x)

```

```

  show ?thesis
  proof (relation ?R)
    show wf ?R
      by (rule wf_inv_image) (rule wf)
  end

```

```

next
  fix n x
  assume x_cons: x ≠ Nil
  thus ((n + 1, d n x), n, x) ∈ ?R
    unfolding inv_image_def mem_Collect_eq prod.case
  proof (induct x)
  case (Cons l r)
  note ihl = this(1)
  show ?case
  proof (subst d.simps, simp, intro conjI impI)
  assume l_cons: l ≠ Nil
  {
  assume car l = Nil
  show encode (f n (cdr l) r) < ω^(encode l) + encode r
    using l_cons by (cases l) (auto simp: encode_f[unfolded of_nat_times_ω_exp])
  }
  {
  show encode (d n l) < encode l
    by (rule ihl[OF l_cons])
  }
  }
  qed
  qed simp
  qed
  qed

declare h.simps[simp del]

end

```

12 Termination of the Goodstein Sequence

```

theory Goodstein_Sequence
imports Multiset_More Syntactic_Ordinal
begin

```

The *goodstein* function returns the successive values of the Goodstein sequence. It is defined in terms of *encode* and *decode* functions, which convert between natural numbers and ordinals. The development culminates with a proof of Goodstein's theorem.

12.1 Lemmas about Division

```

lemma div_mult_le: m div n * n ≤ m for m n :: nat
  by (metis div_by_0 div_le_dividend leI le_less_trans nat_0_less_mult_iff
    semiring_normalization_rules(7) split_div_lemma)

lemma power_div_same_base:
  b ^ y ≠ 0 ⇒ x ≥ y ⇒ b ^ x div b ^ y = b ^ (x - y) for b :: 'a::semidom_divide
  by (metis add_diff_inverse leD nonzero_mult_div_cancel_left power_add)

```

12.2 Hereditary and Nonhereditary Base-*n* Systems

```

context
  fixes base :: nat
  assumes base_ge_2: base ≥ 2
begin

inductive well_base :: 'a multiset ⇒ bool where
  (∀ n. count M n < base) ⇒ well_base M

lemma well_base_filter: well_base M ⇒ well_base {#m ∈# M. p m#}
  by (auto simp: well_base.simps)

lemma well_base_image_inj: well_base M ⇒ inj_on f (set_mset M) ⇒ well_base (image_mset f M)

```



```

unfolding well_base.simps by (metis count_image_mset_le_count_inj_on le_less_trans)

lemma well_base_bound:
  assumes
    well_base M and
     $\forall m \in \# M. m < n$ 
  shows  $(\sum m \in \# M. \text{base}^m) < \text{base}^n$ 
  using assms
proof (induct n arbitrary: M)
  case (Suc n)
  note ih = this(1) and well_M = this(2) and in_M_lt_Sn = this(3)

  let ?Meq = {#m ∈ # M. m = n#}
  let ?Mne = {#m ∈ # M. m ≠ n#}
  let ?K = {#base^m. m ∈ # M#}

  have M: M = ?Meq + ?Mne
    by (simp add: multiset_partition)

  have well_Mne: well_base ?Mne
    by (rule well_base_filter[OF well_M])

  have in_Mne_lt_n:  $\forall m \in \# ?Mne. m < n$ 
    using in_M_lt_Sn by auto

  have sum_mset (image_mset (op ^ base) ?Meq)  $\leq (\text{base} - 1) * \text{base}^n$ 
    unfolding filter_eq_replicate_mset using base_ge_2
    by simp (metis Suc_pred diff_self_eq_0 le_SucE less_imp_le less_le_trans less_numeral_extra(3)
      pos2 well_M well_base.cases zero_less_diff)
  moreover have base * base^n = base^n + (base - Suc 0) * base^n
    using base_ge_2 mult_eq_if by auto
  ultimately show ?case
    using ih[OF well_Mne in_Mne_lt_n] by (subst M) simp
qed simp

```

```

inductive well_base_h :: hmultiset  $\Rightarrow$  bool where
  ( $\forall N \in \# \text{hmsetmset } M. \text{well\_base\_h } N$ )  $\Longrightarrow$  well_base (hmsetmset M)  $\Longrightarrow$  well_base_h M

```

```

lemma well_base_h_mono_hmset: well_base_h M  $\Longrightarrow$  hmsetmset N  $\subseteq \#$  hmsetmset M  $\Longrightarrow$  well_base_h N
  by (induct rule: well_base_h.induct, rule well_base_h.intros, blast)
  (meson leD leI order_trans subseq_mset_def well_base.simps)

```

```

lemma well_base_h_imp_well_base: well_base_h M  $\Longrightarrow$  well_base (hmsetmset M)
  by (erule well_base_h.cases) simp

```

12.3 Encoding of Natural Numbers into Ordinals

```

function encode :: nat  $\Rightarrow$  nat  $\Rightarrow$  hmultiset where
  encode e n =
    (if n = 0 then 0 else of_nat (n mod base) *  $\omega^{(\text{encode } 0 e) + \text{encode } (e + 1) (n \text{ div } \text{base})}$ )
  by pat_completeness auto
termination
  using base_ge_2
proof (relation measure ( $\lambda(e, n). n * (\text{base}^e + 1)$ ); simp)
  fix e n :: nat
  assume n_ge_0: n > 0

  have e + e  $\leq 2^e$ 
    by (induct e; simp) (metis add_diff_cancel_left' add_leD1 diff_is_0_eq' double_not_eq_Suc_double
      le_antisym mult_2_not_less_eq_eq power_eq_0_iff zero_neq_numeral)
  also have ...  $\leq \text{base}^e$ 
    using base_ge_2 by (simp add: power_mono)
  also have ...  $\leq n * \text{base}^e$ 
    using n_ge_0 by (simp add: Suc_leI)

```

```

also have ... < n + n * base ^ e
  using n_ge_0 by simp
finally show e + e < n + n * base ^ e
  by assumption

have n div base * (base * base ^ e) ≤ n * base ^ e
  using base_ge_2 by (auto intro: div_mult_le)
moreover have n div base < n
  using n_ge_0 base_ge_2 by simp
ultimately show n div base + n div base * (base * base ^ e) < n + n * base ^ e
  by linarith
qed

declare encode.simps[simp del]

lemma encode_0[simp]: encode e 0 = 0
  by (subst encode.simps) simp

lemma encode_Suc:
  encode e (Suc n) = of_nat (Suc n mod base) * ω^(encode 0 e) + encode (e + 1) (Suc n div base)
  by (subst encode.simps) simp

lemma encode_0_iff: encode e n = 0 ↔ n = 0
proof (induct n arbitrary: e rule: less_induct)
  case (less n)
  note ih = this

  show ?case
  proof (cases n)
    case 0
    thus ?thesis
    by simp
  next
    case n: (Suc m)
    show ?thesis
    proof (cases n mod base = 0)
      case True
      hence n div base ≠ 0
        using div_eq_0_iff n by fastforce
      thus ?thesis
        using ih[of Suc m div base] n
        by (simp add: encode_Suc) (metis One_nat_def base_ge_2 div_eq_dividend_iff div_le_dividend
          leD lessI nat_neq_iff numeral_2_eq_2)
    next
      case False
      thus ?thesis
        using n plus_hmultiset_def by (simp add: encode_Suc[unfolded of_nat_times_ω_exp])
    qed
  qed
qed

lemma encode_Suc_exp: encode (Suc e) n = encode e (base * n)
  using base_ge_2
  by (subst (1 2) encode.simps, subst (4) encode.simps, simp add: zero_hmultiset_def[symmetric])

lemma encode_exp_0: encode e n = encode 0 (base ^ e * n)
  by (induct e arbitrary: n) (simp_all add: encode_Suc_exp mult_assoc mult_commute)

lemma mem_hmsetmset_encodeD: M ∈# hmsetmset (encode e n) ⇒ ∃ e' ≥ e. M = encode 0 e'
proof (induct e n rule: encode.induct)
  case (1 e n)
  note ih = this(1-2) and M_in = this(3)

```

```

show ?case
proof (cases n)
  case 0
    thus ?thesis
    using M_in by simp
next
  case n: (Suc m)

  {
    assume  $M \in\# \text{replicate\_mset } (n \text{ mod } \text{base}) \text{ (encode } 0 \text{ e)}$ 
    hence ?thesis
    by (meson in_replicate_mset order_refl)
  }
  moreover
  {
    assume  $M \in\# \text{hmsetmset } (\text{encode } (e + 1) \text{ (} n \text{ div base)})$ 
    hence ?thesis
    using ih(2) le_add1 n order_trans by blast
  }
  ultimately show ?thesis
  using M_in[unfolded n encode_Suc[unfolded of_nat_times_omega_exp], folded n]
  unfolding hmsetmset_plus by auto
qed
qed

lemma less_imp_encode_less:  $n < p \implies \text{encode } e \text{ } n < \text{encode } e \text{ } p$ 
proof (induct e n arbitrary: p rule: encode.induct)
  case (1 e n)
  note ih = this(1-2) and  $n\_lt\_p = \text{this}(3)$ 

  show ?case
  proof (cases  $n = 0$ )
    case True
      thus ?thesis
      using  $n\_lt\_p \text{ base\_ge\_2 encode\_0\_iff}$ [of e p] le_less by fastforce
    next
      case  $n\_nz$ : False

      let ?Ma = replicate_mset ( $n \text{ mod } \text{base}$ ) (encode 0 e)
      let ?Na = replicate_mset ( $p \text{ mod } \text{base}$ ) (encode 0 e)
      let ?Pa = replicate_mset ( $n \text{ mod } \text{base} - p \text{ mod } \text{base}$ ) (encode 0 e)

      have  $\text{HMSet } ?Ma + \text{encode } (\text{Suc } e) \text{ (} n \text{ div base)} < \text{HMSet } ?Na + \text{encode } (\text{Suc } e) \text{ (} p \text{ div base)}$ 
      proof (cases  $n \text{ mod } \text{base} < p \text{ mod } \text{base}$ )
        case mod_lt: True
          show ?thesis
          by (rule add_less_le_mono, simp add: mod_lt,
            metis ih(2)[of p div base, OF n_nz] Suc_eq_plus1 div_le_mono le_less n_lt_p)
        next
          case mod_ge: False
          hence div_lt:  $n \text{ div base} < p \text{ div base}$ 
          by (metis add_le_cancel_left div_le_mono div_mult_mod_eq le_neq_implies_less less_imp_le
            n_lt_p nat_neq_iff)

          let ?M = hmsetmset (encode (Suc e) (n div base))
          let ?N = hmsetmset (encode (Suc e) (p div base))

          have ?M < ?N
          by (auto intro!: ih(2)[folded Suc_eq_plus1] n_nz div_lt)
          then obtain X Y where
            X_nemp:  $X \neq \{\#\}$  and
            X_sub:  $X \subseteq\# ?N$  and
            M: ?M = ?N - X + Y and

```

```

ex_gt:  $\forall y. y \in\# Y \longrightarrow (\exists x. x \in\# X \wedge x > y)$ 
using less_multisetDM by metis

{
  fix x
  assume x_in_X:  $x \in\# X$ 
  hence x_in_N:  $x \in\# ?N$ 
  using X_sub by blast
  then obtain e' where
    e'_gt:  $e' > e$  and
    x:  $x = \text{encode } 0 \ e'$ 
  by (auto simp: Suc_le_eq dest: mem_hmsetmset_encodeD)

  have x > encode 0 e
  unfolding x using ih(1)[OF n_nz] e'_gt by (blast dest: Suc_lessD)
}
hence ex_gt_e:  $\exists x \in\# X. x > \text{encode } 0 \ e$ 
using X_nemp by auto

have X_sub':  $X \subseteq\# ?Na + ?N$ 
using X_sub by (simp add: subset_mset.add_increasing)
have mam_eq:  $?Ma + ?M = ?Na + ?N - X + (Y + ?Pa)$ 
proof -
  have ?Ma = ?Na + ?Pa
  by (metis (no_types) add_diff_inverse_nat mod_ge replicate_mset_plus)
  moreover have ?Na + ?N - X = ?Na + (?N - X)
  by (meson X_sub multiset_diff_union_assoc)
  ultimately show ?thesis
  by (simp add: M)
qed
have max_X:  $\bigwedge k. k \in\# Y + ?Pa \implies \exists a. a \in\# X \wedge k < a$ 
using ex_gt mod_ge ex_gt_e by (metis in_replicate_mset union_iff)

show ?thesis
by (subst (4 8) hmultiset.collapse[symmetric],
  unfold HMSet_plus[symmetric] HMSet_less less_multisetDM,
  rule exI[of _ X], rule exI[of _ Y + ?Pa],
  intro conjI impI allI X_nemp X_sub' mam_eq, elim max_X)
qed
thus ?thesis
using n_nz n_lt_p by (subst (1 2) encode.simps[unfolded of_nat_times_omega_exp]) auto
qed
qed

inductive alignede :: nat  $\Rightarrow$  hmultiset  $\Rightarrow$  bool where
( $\forall m \in\# \text{hmsetmset } M. m \geq \text{encode } 0 \ e \implies \text{aligned}_e \ e \ M$ )

lemma alignede_encode: alignede e (encode e M)
by (subst encode_exp_0, rule alignede.intros,
  metis encode_exp_0 leD leI lessI less_imp_encode_less lift_Suc_mono_less_iff
  mem_hmsetmset_encodeD)

lemma well_baseh_encode: well_baseh (encode e n)
proof (induct e n rule: encode.induct)
  case (1 e n)
  note ih = this

  have well2:  $\forall M \in\# \text{hmsetmset } (\text{encode } (\text{Suc } e) \ (n \ \text{div } \text{base})). \text{well\_base}_h \ M$ 
  using ih(2) well_baseh.cases by (metis Suc_eq_plus1 Zero_not_Suc count_empty_div_0
  encode_0_iff hmsetmset_empty_iff in_countE)

  have cnt1:  $\text{count } (\text{hmsetmset } (\text{encode } (\text{Suc } e) \ (n \ \text{div } \text{base}))) \ (\text{encode } 0 \ e) = 0$ 
  using alignede_encode[unfolded alignede.simps]

```

```

    less_imp_encode_less[of n Suc n for n, simplified]
  by (meson count_inI leD)

show ?case
proof (rule well_base_h.intros)
  show  $\forall M \in\# \text{hmsetmset} (\text{encode } e \ n). \text{well\_base}_h \ M$ 
  by (subst encode.simps[unfolded of_nat_times_omega_exp],
      simp add: zero_hmultiset_def hmsetmset_plus, use ih(1) well2 in blast)
next
  show well_base (hmsetmset (encode e n))
  using cnt1 base_ge_2
  by (subst encode.simps[unfolded of_nat_times_omega_exp],
      simp add: well_base.simps zero_hmultiset_def hmsetmset_plus,
      metis ih(2) well_base_h.simps Suc_eq_plus1 less_numeral_extra(3) well_base.simps)
qed
qed

```

12.4 Decoding of Natural Numbers from Ordinals

```

primrec decode :: nat  $\Rightarrow$  hmultiset  $\Rightarrow$  nat where
  decode e (HMSet M) =  $(\sum m \in\# M. \text{base} \wedge \text{decode } 0 \ m) \text{ div } \text{base} \wedge e$ 

lemma decode_unfold: decode e M =  $(\sum m \in\# \text{hmsetmset } M. \text{base} \wedge \text{decode } 0 \ m) \text{ div } \text{base} \wedge e$ 
  by (cases M) simp

lemma decode_0[simp]: decode e 0 = 0
  unfolding zero_hmultiset_def by simp

inductive aligned_d :: nat  $\Rightarrow$  hmultiset  $\Rightarrow$  bool where
   $(\forall m \in\# \text{hmsetmset } M. \text{decode } 0 \ m \geq e) \Longrightarrow \text{aligned}_d \ e \ M$ 

lemma aligned_d_0[simp]: aligned_d 0 M
  by (rule aligned_d.intros) simp

lemma aligned_d_mono_exp_Suc: aligned_d (Suc e) M  $\Longrightarrow$  aligned_d e M
  by (auto simp: aligned_d.simps)

lemma aligned_d_mono_hmset:
  assumes aligned_d e M and hmsetmset M'  $\subseteq\#$  hmsetmset M
  shows aligned_d e M'
  using assms by (auto simp: aligned_d.simps)

lemma decode_exp_shift_Suc:
  assumes align_d: aligned_d (Suc e) M
  shows decode e M = base * decode (Suc e) M
proof (subst (1 2) decode_unfold, subst (1 2) sum_mset_distrib_div_if_dvd)
  note align' = align_d[unfolded aligned_d.simps, simplified, unfolded Suc_le_eq]

  show  $\forall m \in\# \text{hmsetmset } M. \text{base} \wedge \text{Suc } e \text{ dvd } \text{base} \wedge \text{decode } 0 \ m$ 
  using align' Suc_leI le_imp_power_dvd by blast

  show  $\forall m \in\# \text{hmsetmset } M. \text{base} \wedge e \text{ dvd } \text{base} \wedge \text{decode } 0 \ m$ 
  using align' by (simp add: le_imp_power_dvd le_less)

  have base_e_nz: base  $\wedge$  e  $\neq$  0
  using base_ge_2 by simp

  have mult_base:
    base  $\wedge$  decode 0 m div base  $\wedge$  e = base * (base  $\wedge$  decode 0 m div (base * base  $\wedge$  e))
  if m_in: m  $\in\#$  hmsetmset M for m
  using m_in align'
  by (subst power_div_same_base[OF base_e_nz], force,
      metis Suc_diff_Suc Suc_leI mult_is_0 power_Suc power_div_same_base power_not_zero)

```

```

show ( $\sum m \in \# \text{hmsetmset } M. \text{base} \wedge \text{decode } 0 \text{ m div base} \wedge e =$ 
   $\text{base} * (\sum m \in \# \text{hmsetmset } M. \text{base} \wedge \text{decode } 0 \text{ m div base} \wedge \text{Suc } e)$ 
  by (auto simp: sum_mset_distrib_left intro!: arg_cong[of _ _ sum_mset] image_mset_cong
    elim!: mult_base)
qed

lemma decode_exp_shift:
assumes aligned_a e M
shows  $\text{decode } 0 \text{ M} = \text{base} \wedge e * \text{decode } e \text{ M}$ 
using assms by (induct e) (auto simp: decode_exp_shift_Suc dest: aligned_a_mono_exp_Suc)

lemma decode_plus:
assumes align_a_M: aligned_a e M
shows  $\text{decode } e \text{ (M + N)} = \text{decode } e \text{ M} + \text{decode } e \text{ N}$ 
using align_a_M [unfolded aligned_a.simps, simplified]
by (subst (1 2 3) decode_unfold (auto simp: hmsetmset_plus
  intro!: le_imp_power_dvd div_plus_div_distrib_dvd_left [OF sum_mset_dvd]))

lemma less_imp_decode_less:
assumes
  well_base_h M and
  aligned_a e M and
  aligned_a e N and
  M < N
shows  $\text{decode } e \text{ M} < \text{decode } e \text{ N}$ 
using assms
proof (induct M arbitrary: N e rule: less_induct)
case (less M)
note ih = this(1) and well_h_M = this(2) and align_a_M = this(3) and align_a_N = this(4) and
  M_lt_N = this(5)

obtain K Ma Na where
  M: M = K + Ma and
  N: N = K + Na and
  hds: head_ω Ma < head_ω Na
using hmset_pair_decompose_less [OF M_lt_N] by blast

obtain H where
  H: head_ω Na = ω ^ H
using hds head_ω_def by fastforce
have H_in: H ∈# hmsetmset Na
by (metis (no_types) H Max_in add_mset_eq_single add_mset_not_empty finite_set_mset head_ω_def
  hmsetmset_empty_iff hmultiset.simps(1) set_mset_eq_empty_iff zero_hmultiset_def)

have well_h_Ma: well_base_h Ma
by (rule well_base_h_mono_hmset [OF well_h_M] (simp add: M hmsetmset_plus))
have align_a_K: aligned_a e K
using M align_a_M aligned_a_mono_hmset hmsetmset_plus by auto
have align_a_Ma: aligned_a e Ma
using M align_a_M aligned_a_mono_hmset hmsetmset_plus by auto
have align_a_Na: aligned_a e Na
using N align_a_N aligned_a_mono_hmset hmsetmset_plus by auto

have inj_on (decode 0) (set_mset (hmsetmset Ma))
unfolding inj_on_def
proof clarify
fix x y
assume
  x_in: x ∈# hmsetmset Ma and
  y_in: y ∈# hmsetmset Ma and
  dec_eq: decode 0 x = decode 0 y

  {

```

```

fix x y
assume
  x_in: x ∈# hmsetmset Ma and
  y_in: y ∈# hmsetmset Ma and
  x_lt_y: x < y

have x_lt_M: x < M
  unfolding M using mem_hmsetmset_imp_less[OF x_in] by (simp add: trans_less_add2_hmset)
have well_h_x: well_base_h x
  using well_h_Ma well_base_h.simps x_in by blast

have decode_0 x < decode_0 y
  by (rule ih[OF x_lt_M well_h_x aligned_d_0 aligned_d_0 x_lt_y])
}
thus x = y
  using x_in y_in dec_eq by (metis leI less_irrefl_nat order.not_eq_order_implies_strict)
qed
hence well_dec_Ma: well_base (image_mset (decode_0) (hmsetmset Ma))
  by (rule well_base_image_inj[OF well_base_h_imp_well_base[OF well_h_Ma]])

have H_bound: ∀ m ∈# hmsetmset Ma. decode_0 m < decode_0 H
proof
  fix m
  assume m_in: m ∈# hmsetmset Ma

  have ∀ m ∈# hmsetmset (head_ω Ma). m < H
    using hds[unfolding H] using head_ω_def by auto
  hence m_lt_H: m < H
    using m_in
  by (metis Max_less_iff empty_iff finite_set_mset head_ω_def hmultiset.sel insert_iff
    set_mset_add_mset_insert)

  have m_lt_M: m < M
    using mem_hmsetmset_imp_less[OF m_in] by (simp add: M trans_less_add2_hmset)

  have well_h_m: well_base_h m
    using m_in well_h_Ma well_base_h.cases by blast

  show decode_0 m < decode_0 H
    by (rule ih[OF m_lt_M well_h_m aligned_d_0 aligned_d_0 m_lt_H])
qed

have decode_0 Ma < base ^ decode_0 H
  using well_base_bound[OF well_dec_Ma, simplified, OF H_bound] by (subst decode_unfold) simp
also have ... ≤ decode_0 Na
  by (subst (2) decode_unfold, simp, rule sum_image_mset_mono_mem[OF H_in])
finally have decode_e Ma < decode_e Na
  using decode_exp_shift[OF align_d_Ma] decode_exp_shift[OF align_d_Na] by simp
thus decode_e M < decode_e N
  unfolding M N by (simp add: decode_plus[OF align_d_K])
qed

lemma inj_decode: inj_on (decode e) {M. well_base_h M ∧ aligned_d e M}
  unfolding inj_on_def Ball_def mem_Collect_eq
  by (metis less_imp_decode_less less_irrefl_nat neqE)

lemma decode_0_iff: well_base_h M ⇒ aligned_d e M ⇒ decode_e M = 0 ↔ M = 0
  by (metis aligned_d_0 decode_0 decode_exp_shift encode_0 less_imp_decode_less mult_0_right neqE
    not_less_zero well_base_h_encode)

lemma decode_encode: decode e (encode e n) = n
proof (induct e n rule: encode.induct)
  case (1 e n)

```

```

note ih = this

show ?case
proof (cases n = 0)
  case n_nz: False

  have align_d1: aligned_d e (of_nat (n mod base) * ω^(encode 0 e))
    unfolding of_nat_times_ω_exp using n_nz by (auto simp: ih(1) aligned_d.simps)
  have align_d2: aligned_d (Suc e) (encode (Suc e) (n div base))
    by (safe intro!: aligned_d.intros, subst ih(1)[OF n_nz, symmetric],
      auto dest: mem_hmsetmset_encodeD intro!: Suc_le_eq[THEN iffD2]
      less_imp_decode_less[OF well_base_h_encode aligned_d_0 aligned_d_0] less_imp_encode_less)

  show ?thesis
  using ih base_ge_2
  by (subst encode.simps[unfolded of_nat_times_ω_exp])
    (simp add: decode_plus[OF align_d1[unfolded of_nat_times_ω_exp]]
      decode_exp_shift_Suc[OF align_d2])
qed simp
qed

```

```

lemma encode_decode_exp_0: well_base_h M ⇒ encode 0 (decode 0 M) = M
  by (auto intro: inj_onD[OF inj_decode] decode_encode well_base_h_encode)

```

end

```

lemma well_base_h_mono_base:
  assumes
    well_h: well_base_h base M and
    two: 2 ≤ base and
    bases: base ≤ base'
  shows well_base_h base' M
  using two well_h
  by (induct rule: well_base_h.induct)
    (meson two bases less_le_trans order_trans well_base_h.intros well_base.simps)

```

12.5 The Goodstein Sequence and Goodstein's Theorem

context

```

  fixes start :: nat
begin

```

```

primrec goodstein :: nat ⇒ nat where
  goodstein 0 = start
| goodstein (Suc i) = decode (i + 3) 0 (encode (i + 2) 0 (goodstein i)) - 1

```

```

lemma goodstein_step:
  assumes gi_gt_0: goodstein i > 0
  shows encode (i + 2) 0 (goodstein i) > encode (i + 3) 0 (goodstein (i + 1))

```

proof -

```

  let ?Ei = encode (i + 2) 0 (goodstein i)
  let ?reencode = encode (i + 3) 0
  let ?decoded_Ei = decode (i + 3) 0 ?Ei

```

```

  have two_le: 2 ≤ i + 3
  by simp

```

```

  have well_base_h (i + 2) ?Ei
  by (rule well_base_h_encode) simp
  hence well_h: well_base_h (i + 3) ?Ei
  by (rule well_base_h_mono_base) simp_all

```

```

  have decoded_Ei_gt_0: ?decoded_Ei > 0
  by (metis gi_gt_0 grOI encode_0_iff le_add2 decode_0_iff[OF _ well_h aligned_d_0] two_le)

```



```

have ?reencode (?decoded_Ei - 1) < ?reencode ?decoded_Ei
  by (rule less_imp_encode_less[OF two_le]) (use decoded_Ei_gt_0 in linarith)
also have ... = ?Ei
  by (simp only: encode_decode_exp_0[OF two_le well_h])
finally show ?thesis
  by simp
qed

theorem goodsteins_theorem:  $\exists i. \text{goodstein } i = 0$ 
proof -
  let ?G =  $\lambda i. \text{encode } (i + 2) 0 (\text{goodstein } i)$ 

  obtain i where
     $\neg ?G i > ?G (i + 1)$ 
  using wf_iff_no_infinite_down_chain[THEN iffD1, OF wf,
    unfolded not_ex_not_all mem_Collect_eq prod.case, rule_format, of ?G]
  by auto
  hence goodstein i = 0
  using goodstein_step by (metis add.assoc gr0I one_plus_numeral semiring_norm(3))
  thus ?thesis
  by blast
qed

end

end

```

13 Towards Decidability of Behavioral Equivalence for Unary PCF

```

theory Unary_PCF
imports
  HOL-Library.FSet
  HOL-Library.Countable_Set_Type
  HOL-Library.Nat_Bijection
  Hereditary_Multiset
  List-Index.List_Index
begin

```

13.1 Preliminaries

```

lemma prod_UNIV:  $UNIV = UNIV \times UNIV$ 
  by auto

lemma infinite_cartesian_productI1:  $\text{infinite } A \implies B \neq \{\} \implies \text{infinite } (A \times B)$ 
  by (auto dest!: finite_cartesian_productD1)

```

13.2 Types

```

datatype type =  $\mathcal{B} (\mathcal{B}) \mid \text{Fun type type (infixr } \rightarrow 65)$ 

definition mk_fun (infixr  $\rightarrow\rightarrow 65$ ) where
   $Ts \rightarrow\rightarrow T = \text{fold } \text{op } \rightarrow (\text{rev } Ts) T$ 

primrec dest_fun where
   $\text{dest\_fun } \mathcal{B} = []$ 
 $\mid \text{dest\_fun } (T \rightarrow U) = T \# \text{dest\_fun } U$ 

definition arity where
   $\text{arity } T = \text{length } (\text{dest\_fun } T)$ 

lemma mk_fun_dest_fun[simp]:  $\text{dest\_fun } T \rightarrow\rightarrow \mathcal{B} = T$ 
  by (induct T) (auto simp: mk_fun_def)

```

lemma *dest_fun_mk_fun*[simp]: $\text{dest_fun } (Ts \rightarrow\rightarrow T) = Ts @ \text{dest_fun } T$
by (*induct* Ts) (*auto simp: mk_fun_def*)

primrec δ **where**
 $\delta \mathcal{B} = \text{HMSet } \{\#\}$
 $|\ \delta (T \rightarrow U) = \text{HMSet } (\text{add_mset } (\delta T) (\text{hmsetmset } (\delta U)))$

lemma δ_mk_fun : $\delta (Ts \rightarrow\rightarrow T) = \text{HMSet } (\text{hmsetmset } (\delta T) + \text{mset } (\text{map } \delta Ts))$
by (*induct* Ts) (*auto simp: mk_fun_def*)

lemma *type_induct* [*case_names Fun*]:
assumes
 $(\bigwedge T. (\bigwedge T1 T2. T = T1 \rightarrow T2 \implies P T1) \implies$
 $(\bigwedge T1 T2. T = T1 \rightarrow T2 \implies P T2) \implies P T)$
shows $P T$
proof (*induct* T)
case \mathcal{B}
show $?case$ **by** (*rule assms*) *simp_all*
next
case Fun
show $?case$ **by** (*rule assms*) (*insert Fun, simp_all*)
qed

13.3 Terms

type-synonym *name* = *string*
type-synonym *idx* = *nat*
datatype *expr* =
 $Var \text{ name } * \text{ type } (\langle_ \rangle) | Bound \text{ idx } | B \text{ bool}$
 $| Seq \text{ expr expr } (\mathbf{infixr} \ ? 75) | App \text{ expr expr } (\mathbf{infixl} \cdot 75)$
 $| Abs \text{ type expr } (\bigwedge \langle_ \rangle _ [100, 100] 800)$

declare [*coercion_enabled*]
declare [*coercion B*]
declare [*coercion Bound*]

notation (**output**) $B (_)$
notation (**output**) $Bound (_)$

primrec *open* :: $idx \Rightarrow \text{expr} \Rightarrow \text{expr} \Rightarrow \text{expr}$ **where**
 $\text{open } i \ t \ (j :: idx) = (\text{if } i = j \text{ then } t \text{ else } j)$
 $|\ \text{open } i \ t \ \langle yU \rangle = \langle yU \rangle$
 $|\ \text{open } i \ t \ (b :: \text{bool}) = b$
 $|\ \text{open } i \ t \ (e1 \ ? \ e2) = \text{open } i \ t \ e1 \ ? \ \text{open } i \ t \ e2$
 $|\ \text{open } i \ t \ (e1 \cdot e2) = \text{open } i \ t \ e1 \cdot \text{open } i \ t \ e2$
 $|\ \text{open } i \ t \ (\bigwedge \langle U \rangle \ e) = \bigwedge \langle U \rangle \ (\text{open } (i + 1) \ t \ e)$

abbreviation $\text{open0} \equiv \text{open } 0$
abbreviation $\text{open_Var } i \ xT \equiv \text{open } i \ \langle xT \rangle$
abbreviation $\text{open0_Var } xT \equiv \text{open } 0 \ \langle xT \rangle$

primrec *close_Var* :: $idx \Rightarrow \text{name} \times \text{type} \Rightarrow \text{expr} \Rightarrow \text{expr}$ **where**
 $\text{close_Var } i \ xT \ (j :: idx) = j$
 $|\ \text{close_Var } i \ xT \ \langle yU \rangle = (\text{if } xT = yU \text{ then } i \text{ else } \langle yU \rangle)$
 $|\ \text{close_Var } i \ xT \ (b :: \text{bool}) = b$
 $|\ \text{close_Var } i \ xT \ (e1 \ ? \ e2) = \text{close_Var } i \ xT \ e1 \ ? \ \text{close_Var } i \ xT \ e2$
 $|\ \text{close_Var } i \ xT \ (e1 \cdot e2) = \text{close_Var } i \ xT \ e1 \cdot \text{close_Var } i \ xT \ e2$
 $|\ \text{close_Var } i \ xT \ (\bigwedge \langle U \rangle \ e) = \bigwedge \langle U \rangle \ (\text{close_Var } (i + 1) \ xT \ e)$

abbreviation $\text{close0_Var} \equiv \text{close_Var } 0$

primrec *fv* :: $\text{expr} \Rightarrow (\text{name} \times \text{type}) \text{ fset}$ **where**
 $\text{fv } (j :: idx) = \{\|\}$

```

| fv ⟨yU⟩ = {|yU|}
| fv (b :: bool) = {|}|
| fv (e1 ? e2) = fv e1 |∪| fv e2
| fv (e1 · e2) = fv e1 |∪| fv e2
| fv (Λ⟨U⟩ e) = fv e

```

abbreviation *fresh* $x e \equiv x \notin |fv e|$

lemma *ex_fresh*: $\exists x. (x :: \text{char list}, T) \notin |A|$

proof (*rule ccontr, unfold not_ex not_not*)

assume $\forall x. (x, T) \in |A|$

then have *infinite* $\{x. (x, T) \in |A|\}$ (*is infinite ?P*)

by (*auto simp add: infinite_UNIV_listI*)

moreover

have $?P \subseteq \text{fst } \text{'fset } A$

by (*force simp: fmember.rep_eq*)

from *finite_surj*[*OF _ this*] **have** *finite* $?P$

by *simp*

ultimately show *False* **by** *blast*

qed

inductive *lc* **where**

lc_Var[*simp*]: $lc \langle xT \rangle$

lc_B[*simp*]: $lc (b :: \text{bool})$

lc_Seq: $lc e1 \implies lc e2 \implies lc (e1 ? e2)$

lc_App: $lc e1 \implies lc e2 \implies lc (e1 \cdot e2)$

lc_Abs: $(\forall x. (x, T) \notin |X| \longrightarrow lc (\text{open0_Var } (x, T) e)) \implies lc (\Lambda \langle T \rangle e)$

declare *lc.intros*[*intro*]

definition *body* $T t \equiv (\exists X. \forall x. (x, T) \notin |X| \longrightarrow lc (\text{open0_Var } (x, T) t))$

lemma *lc_Abs_iff_body*: $lc (\Lambda \langle T \rangle t) \longleftrightarrow \text{body } T t$

unfolding *body_def* **by** (*subst lc.simps*) *simp*

lemma *fv_open_Var*: $\text{fresh } xT t \implies fv (\text{open_Var } i xT t) \subseteq | \text{finsert } xT (fv t) |$

by (*induct t arbitrary: i*) *auto*

lemma *fv_close_Var*[*simp*]: $fv (\text{close_Var } i xT t) = fv t \setminus | \{xT\} |$

by (*induct t arbitrary: i*) *auto*

lemma *close_Var_open_Var*[*simp*]: $\text{fresh } xT t \implies \text{close_Var } i xT (\text{open_Var } i xT t) = t$

by (*induct t arbitrary: i*) *auto*

lemma *open_Var_inj*: $\text{fresh } xT t \implies \text{fresh } xT u \implies \text{open_Var } i xT t = \text{open_Var } i xT u \implies t = u$

by (*metis close_Var_open_Var*)

context *begin*

private lemma *open_Var_open_Var_close_Var*: $i \neq j \implies xT \neq yU \implies \text{fresh } yU t \implies$

$\text{open_Var } i yU (\text{open_Var } j zV (\text{close_Var } j xT t)) = \text{open_Var } j zV (\text{close_Var } j xT (\text{open_Var } i yU t))$

by (*induct t arbitrary: i j*) *auto*

lemma *open_Var_close_Var*[*simp*]: $lc t \implies \text{open_Var } i xT (\text{close_Var } i xT t) = t$

proof (*induction t arbitrary: i rule: lc.induct*)

case (*lc_Abs* $T X e i$)

obtain x **where** $\text{fresh } (x, T) e (x, T) \neq xT (x, T) \notin |X|$

using *ex_fresh*[*of _ fv e |∪| finsert xT X*] **by** *blast*

with *lc_Abs.IH* **have** $lc (\text{open0_Var } (x, T) e)$

$\text{open_Var } (i + 1) xT (\text{close_Var } (i + 1) xT (\text{open0_Var } (x, T) e)) = \text{open0_Var } (x, T) e$

by *auto*

with x **show** $?case$

by (*auto simp: open_Var_open_Var_close_Var*)

$dest: fset_mp[OF\ fv_open_Var, rotated]$
 $intro!: open_Var_inj[of\ (x, T)\ _\ e\ 0]$
qed auto

end

lemma $close_Var_inj: lc\ t \implies lc\ u \implies close_Var\ i\ xT\ t = close_Var\ i\ xT\ u \implies t = u$
by $(metis\ open_Var_close_Var)$

primrec $Apps$ **(infixl · 75) where**

$f \cdot [] = f$
 $| f \cdot (x \# xs) = f \cdot x \cdot xs$

lemma $Apps_snoc: f \cdot (xs @ [x]) = f \cdot xs \cdot x$
by $(induct\ xs\ arbitrary: f)\ auto$

lemma $Apps_append: f \cdot (xs @ ys) = f \cdot xs \cdot ys$
by $(induct\ xs\ arbitrary: f)\ auto$

lemma $Apps_inj[simp]: f \cdot ts = g \cdot ts \iff f = g$
by $(induct\ ts\ arbitrary: f\ g)\ auto$

lemma $eq_Apps_conv[simp]:$

fixes $i :: idx$ **and** $b :: bool$ **and** $f :: expr$ **and** $ts :: expr\ list$
shows

$\langle m \rangle = f \cdot ts = \langle m \rangle = f \wedge ts = []$
 $(f \cdot ts = \langle m \rangle) = \langle m \rangle = f \wedge ts = []$
 $(i = f \cdot ts) = (i = f \wedge ts = [])$
 $(f \cdot ts = i) = (i = f \wedge ts = [])$
 $(b = f \cdot ts) = (b = f \wedge ts = [])$
 $(f \cdot ts = b) = (b = f \wedge ts = [])$
 $(e1\ ?\ e2 = f \cdot ts) = (e1\ ?\ e2 = f \wedge ts = [])$
 $(f \cdot ts = e1\ ?\ e2) = (e1\ ?\ e2 = f \wedge ts = [])$
 $(\Lambda\langle T \rangle\ t = f \cdot ts) = (\Lambda\langle T \rangle\ t = f \wedge ts = [])$
 $(f \cdot ts = \Lambda\langle T \rangle\ t) = (\Lambda\langle T \rangle\ t = f \wedge ts = [])$

by $(induct\ ts\ arbitrary: f)\ auto$

lemma $Apps_Var_eq[simp]: \langle xT \rangle \cdot ss = \langle yU \rangle \cdot ts \iff xT = yU \wedge ss = ts$

proof $(induct\ ss\ arbitrary: ts\ rule: rev_induct)$

case $snoc$

then show $?case$ **by** $(induct\ ts\ rule: rev_induct)\ (auto\ simp: Apps_snoc)$

qed auto

lemma $Apps_Abs_neq_Apps[simp, symmetric, simp]:$

$\Lambda\langle T \rangle\ r \cdot t \neq \langle xT \rangle \cdot ss$
 $\Lambda\langle T \rangle\ r \cdot t \neq (i :: idx) \cdot ss$
 $\Lambda\langle T \rangle\ r \cdot t \neq (b :: bool) \cdot ss$
 $\Lambda\langle T \rangle\ r \cdot t \neq (e1\ ?\ e2) \cdot ss$
by $(induct\ ss\ rule: rev_induct)\ (auto\ simp: Apps_snoc)$

lemma $App_Abs_eq_Apps_Abs[simp]: \Lambda\langle T \rangle\ r \cdot t = \Lambda\langle T' \rangle\ r' \cdot ss \iff T = T' \wedge r = r' \wedge ss = [t]$

by $(induct\ ss\ rule: rev_induct)\ (auto\ simp: Apps_snoc)$

lemma $Apps_Var_neq_Apps_Abs[simp, symmetric, simp]: \langle xT \rangle \cdot ss \neq \Lambda\langle T \rangle\ r \cdot ts$

proof $(induct\ ss\ arbitrary: ts\ rule: rev_induct)$

case $(snoc\ a\ ss)$

then show $?case$ **by** $(induct\ ts\ rule: rev_induct)\ (auto\ simp: Apps_snoc)$

qed simp

lemma $Apps_Var_neq_Apps_beta[simp, THEN\ not_sym, simp]:$

$\langle xT \rangle \cdot ss \neq \Lambda\langle T \rangle\ r \cdot s \cdot ts$
by $(metis\ Apps_Var_neq_Apps_Abs\ Apps_append\ Apps_snoc\ eq_Apps_conv(9))$

lemma *[simp]*:
 $(\Lambda\langle T \rangle r \cdot ts = \Lambda\langle T' \rangle r' \cdot s' \cdot ts') = (T = T' \wedge r = r' \wedge ts = s' \# ts')$
proof (*induct ts arbitrary: ts' rule: rev_induct*)
 case Nil
 then show *?case by* (*induct ts' rule: rev_induct*) (*auto simp: Apps_snoc*)
next
 case snoc
 then show *?case by* (*induct ts' rule: rev_induct*) (*auto simp: Apps_snoc*)
qed

lemma *fold_eq_Bool_iff [simp]*:
 $fold\ op \rightarrow (rev\ Ts)\ T = \mathcal{B} \iff Ts = [] \wedge T = \mathcal{B}$
 $\mathcal{B} = fold\ op \rightarrow (rev\ Ts)\ T \iff Ts = [] \wedge T = \mathcal{B}$
by (*induct Ts*) *auto*

lemma *fold_eq_Fun_iff [simp]*:
 $fold\ op \rightarrow (rev\ Ts)\ T = U \rightarrow V \iff$
 $(Ts = [] \wedge T = U \rightarrow V \vee (\exists Us. Ts = U \# Us \wedge fold\ op \rightarrow (rev\ Us)\ T = V))$
by (*induct Ts*) *auto*

13.4 Substitution

primrec *subst* **where**
 $subst\ xT\ t\ \langle yU \rangle = (if\ xT = yU\ then\ t\ else\ \langle yU \rangle)$
 $subst\ xT\ t\ (i :: idx) = i$
 $subst\ xT\ t\ (b :: bool) = b$
 $subst\ xT\ t\ (e1\ ?\ e2) = subst\ xT\ t\ e1\ ?\ subst\ xT\ t\ e2$
 $subst\ xT\ t\ (e1 \cdot e2) = subst\ xT\ t\ e1 \cdot subst\ xT\ t\ e2$
 $subst\ xT\ t\ (\Lambda\langle T \rangle\ e) = \Lambda\langle T \rangle\ (subst\ xT\ t\ e)$

lemma *fv_subst*:
 $fv\ (subst\ xT\ t\ u) = fv\ u \mid - \mid \{xT\} \mid \cup \mid (if\ xT \in \mid fv\ u\ then\ fv\ t\ else\ \{\mid\})$
by (*induct u*) *auto*

lemma *subst_fresh*: $fresh\ xT\ u \implies subst\ xT\ t\ u = u$
by (*induct u*) *auto*

context *begin*

private lemma *open_open_id*: $i \neq j \implies open\ i\ t\ (open\ j\ t'\ u) = open\ j\ t'\ u \implies open\ i\ t\ u = u$
by (*induct u arbitrary: i j*) (*auto 6 0*)

lemma *lc_open_id*: $lc\ u \implies open\ k\ t\ u = u$

proof (*induct u arbitrary: k rule: lc.induct*)
 case (*lc_Abs T X e*)
 obtain *x* **where** $x: fresh\ (x, T)\ e\ (x, T) \mid \notin \mid X$
 using *ex_fresh[of _ fv e | \cup | X]* **by** *blast*
 with *lc_Abs* **show** *?case*
 by (*auto intro: open_open_id dest: spec[of _ x] spec[of _ Suc k]*)
qed *auto*

lemma *subst_open*: $lc\ u \implies subst\ xT\ u\ (open\ i\ t\ v) = open\ i\ (subst\ xT\ u\ t)\ (subst\ xT\ u\ v)$
by (*induction v arbitrary: i*) (*auto intro: lc_open_id[symmetric]*)

lemma *subst_open_Var*:
 $xT \neq yU \implies lc\ u \implies subst\ xT\ u\ (open_Var\ i\ yU\ v) = open_Var\ i\ yU\ (subst\ xT\ u\ v)$
by (*auto simp: subst_open*)

lemma *subst_Apps [simp]*:
 $subst\ xT\ u\ (f \cdot xs) = subst\ xT\ u\ f \cdot map\ (subst\ xT\ u)\ xs$
by (*induct xs arbitrary: f*) *auto*

end

context begin

private lemma *fresh_close_Var_id*: $\text{fresh } xT \ t \implies \text{close_Var } k \ xT \ t = t$
by (*induct t arbitrary: k*) *auto*

lemma *subst_close_Var*:

$xT \neq yU \implies \text{fresh } yU \ u \implies \text{subst } xT \ u \ (\text{close_Var } i \ yU \ t) = \text{close_Var } i \ yU \ (\text{subst } xT \ u \ t)$
by (*induct t arbitrary: i*) (*auto simp: fresh_close_Var_id*)

end

lemma *subst_intro*: $\text{fresh } xT \ t \implies \text{lc } u \implies \text{open0 } u \ t = \text{subst } xT \ u \ (\text{open0_Var } xT \ t)$
by (*auto simp: subst_fresh subst_open*)

lemma *lc_subst[simp]*: $\text{lc } u \implies \text{lc } t \implies \text{lc } (\text{subst } xT \ t \ u)$

proof (*induct u rule: lc.induct*)

case (*lc_Abs T X e*)

then show *?case*

by (*auto simp: subst_open_Var intro!: lc_lc_Abs[of _ fv e | \cup | X | \cup | $\{xT\}$]*)

qed auto

lemma *body_subst[simp]*: $\text{body } U \ u \implies \text{lc } t \implies \text{body } U \ (\text{subst } xT \ t \ u)$

proof (*subst (asm) body_def, elim conjE exE*)

fix *X*

assume [*simp*]: $\text{lc } t \ \forall x. (x, U) \notin X \longrightarrow \text{lc } (\text{open0_Var } (x, U) \ u)$

show $\text{body } U \ (\text{subst } xT \ t \ u)$

proof (*unfold body_def, intro exI[of _ finsert xT X] conjI allI impI*)

fix *x*

assume $(x, U) \notin \text{finsert } xT \ X$

then show $\text{lc } (\text{open0_Var } (x, U) \ (\text{subst } xT \ t \ u))$

by (*auto simp: subst_open_Var[symmetric]*)

qed

qed

lemma *lc_open_Var*: $\text{lc } u \implies \text{lc } (\text{open_Var } i \ xT \ u)$

by (*simp add: lc_open_id*)

lemma *lc_open[simp]*: $\text{body } U \ u \implies \text{lc } t \implies \text{lc } (\text{open0 } t \ u)$

proof (*unfold body_def, elim conjE exE*)

fix *X*

assume [*simp*]: $\text{lc } t \ \forall x. (x, U) \notin X \longrightarrow \text{lc } (\text{open0_Var } (x, U) \ u)$

with *ex_fresh*[of _ fv u | \cup | X] **obtain** *x* **where** [*simp*]: $\text{fresh } (x, U) \ u \ (x, U) \notin X$ **by** *blast*

show *?thesis* **by** (*subst subst_intro*[of (x, U)]) *auto*

qed

13.5 Typing

inductive *welltyped* :: $\text{expr} \Rightarrow \text{type} \Rightarrow \text{bool}$ (**infix** :: 60) **where**

welltyped_Var[*intro!*]: $\langle(x, T)\rangle \text{ :: } T$

| *welltyped_B*[*intro!*]: $(b \text{ :: } \text{bool}) \text{ :: } \mathcal{B}$

| *welltyped_Seq*[*intro!*]: $e1 \text{ :: } \mathcal{B} \implies e2 \text{ :: } \mathcal{B} \implies e1 \ ? \ e2 \text{ :: } \mathcal{B}$

| *welltyped_App*[*intro!*]: $e1 \text{ :: } T \rightarrow U \implies e2 \text{ :: } T \implies e1 \cdot e2 \text{ :: } U$

| *welltyped_Abs*[*intro!*]: $(\forall x. (x, T) \notin X \longrightarrow \text{open0_Var } (x, T) \ e \text{ :: } U) \implies \Lambda\langle T \rangle \ e \text{ :: } T \rightarrow U$

inductive-cases *welltypedE*[*elim!*]:

$\langle x \rangle \text{ :: } T$

$(i \text{ :: } \text{idx}) \text{ :: } T$

$(b \text{ :: } \text{bool}) \text{ :: } T$

$e1 \ ? \ e2 \text{ :: } T$

$e1 \cdot e2 \text{ :: } T$

$\Lambda\langle T \rangle \ e \text{ :: } U$

lemma *welltyped_unique*: $t \text{ :: } T \implies t \text{ :: } U \implies T = U$

proof (*induction t T arbitrary: U rule: welltyped.induct*)

```

case (welltyped_Abs T X t U T')
from welltyped_Abs.premis show ?case
proof (elim welltypedE)
  fix Y U'
  obtain x where [simp]: (x, T) | $\notin$ | X (x, T) | $\notin$ | Y
    using ex_fresh[of _ X | $\cup$ | Y] by blast
  assume [simp]: T' = T  $\rightarrow$  U'  $\forall$  x. (x, T) | $\notin$ | Y  $\longrightarrow$  open0_Var (x, T) t  $::$  U'
  show T  $\rightarrow$  U = T'
    by (auto intro: conjunct2[OF welltyped_Abs.IH[rule_format], rule_format, of x])
  qed
qed blast+

```

```

lemma welltyped_lc[simp]: t  $::$  T  $\implies$  lc t
  by (induction t T rule: welltyped.induct) auto

```

```

lemma welltyped_subst[intro]:
  u  $::$  U  $\implies$  t  $::$  snd xT  $\implies$  subst xT t u  $::$  U
proof (induction u U rule: welltyped.induct)
  case (welltyped_Abs T' X u U)
  then show ?case unfolding subst.simps
    by (intro welltyped.welltyped_Abs[of _ finsert xT X]) (auto simp: subst_open_Var[symmetric])
qed auto

```

```

lemma rename_welltyped: u  $::$  U  $\implies$  subst (x, T) ((y, T)) u  $::$  U
  by (rule welltyped_subst) auto

```

```

lemma welltyped_Abs_fresh:
  assumes fresh (x, T) u open0_Var (x, T) u  $::$  U
  shows  $\Lambda\langle T \rangle$  u  $::$  T  $\rightarrow$  U
proof (intro welltyped_Abs[of _ fv u] allI impI)
  fix y
  assume fresh (y, T) u
  with assms(2) have subst (x, T) ((y, T)) (open0_Var (x, T) u)  $::$  U (is ?t  $::$  _)
    by (auto intro: rename_welltyped)
  also have ?t = open0_Var (y, T) u
    by (subst subst_intro[symmetric]) (auto simp: assms(1))
  finally show open0_Var (y, T) u  $::$  U .
qed

```

```

lemma Apps_alt: f  $\cdot$  ts  $::$  T  $\longleftrightarrow$ 
  ( $\exists$  Ts. f  $::$  fold op  $\rightarrow$  (rev Ts) T  $\wedge$  list_all2 (op  $::$ ) ts Ts)
proof (induct ts arbitrary: f)
  case (Cons t ts)
  from Cons(1)[of f  $\cdot$  t] show ?case
    by (force simp: list_all2_Cons1)
qed simp

```

13.6 Definition 10 and Lemma 11 from Schmidt-Schauß's paper

abbreviation closed t \equiv fv t = {||}

```

primrec constant0 where
  constant0 B = Var ("bool", B)
| constant0 (T  $\rightarrow$  U) =  $\Lambda\langle T \rangle$  (constant0 U)

```

definition constant T = $\Lambda\langle B \rangle$ (close0_Var ("bool", B) (constant0 T))

```

lemma fv_constant0[simp]: fv (constant0 T) = {"bool", B}
  by (induct T) auto

```

```

lemma closed_constant[simp]: closed (constant T)
  unfolding constant_def by auto

```

```

lemma welltyped_constant0[simp]: constant0 T  $::$  T

```

by (induct T) (auto simp: lc_open_id)

lemma lc_constant0[simp]: lc (constant0 T)
using welltyped_constant0 welltyped_lc **by** blast

lemma welltyped_constant[simp]: constant T ::: B → T
unfolding constant_def **by** (auto intro: welltyped_Abs_fresh[of "bool"])

definition nth_drop **where**
nth_drop i xs ≡ take i xs @ drop (Suc i) xs

definition nth_arg (infixl !- 100) **where**
nth_arg T i ≡ nth (dest_fun T) i

abbreviation ar **where**
ar T ≡ length (dest_fun T)

lemma size_nth_arg[simp]: i < ar T ⇒ size (T !- i) < size T
by (induct T arbitrary: i) (force simp: nth_Cons' nth_arg_def gr0_conv_Suc)+

fun π :: type ⇒ nat ⇒ nat ⇒ type **where**
π T i 0 = (if i < ar T then nth_drop i (dest_fun T) →→ B else B)
| π T i (Suc j) = (if i < ar T ∧ j < ar (T !- i)
then π (T !- i) j 0 →
map (π (T !- i) j o Suc) [0 ..< ar (T !- i) - j]) →→ π T i 0 else B)

theorem π_induct[rotated -2, consumes 2, case_names 0 Suc]:
assumes ∧ T i. i < ar T ⇒ P T i 0
and ∧ T i j. i < ar T ⇒ j < ar (T !- i) ⇒ P (T !- i) j 0 ⇒
(∀ x < ar (T !- i) - j. P (T !- i) j (x + 1)) ⇒ P T i (j + 1)
shows i < ar T ⇒ j ≤ ar (T !- i) ⇒ P T i j
by (induct T i j rule: π.induct) (auto intro: assms[simplified])

definition ε :: type ⇒ nat ⇒ type **where**
ε T i = π T i 0 → map (π T i o Suc) [0 ..< ar (T !- i)] →→ T

definition Abs (Λ[_] _ [100, 100] 800) **where**
Λ[xTs] b = fold (λxTt. Λ⟨snd xT⟩ close0_Var xTt) (rev xTs) b

definition Seqs (infixr ?? 75) **where**
ts ?? t = fold (λu t. u ?? t) (rev ts) t

definition variant k base = base @ replicate k CHR "x"

lemma variant_inj: variant i base = variant j base ⇒ i = j
unfolding variant_def **by** auto

lemma variant_inj2:
CHR "x" ∉ set b1 ⇒ CHR "x" ∉ set b2 ⇒ variant i b1 = variant j b2 ⇒ b1 = b2
unfolding variant_def
by (auto simp: append_eq_append_conv2)
(metis Nil_is_append_conv hd_append2 hd_in_set hd_rev last_ConsR
last_snoc_replicate_append_same rev_replicate)+

fun E :: type ⇒ nat ⇒ expr **and** P :: type ⇒ nat ⇒ nat ⇒ expr **where**
E T i = (if i < ar T then (let
Ti = T !- i;
x = λk. (variant k "x", T !- k);
xs = map x [0 ..< ar T];
xx_var = ⟨nth xs i⟩;
x_vars = map (λx. ⟨x⟩) (nth_drop i xs);
yy = ("z", π T i 0);
yy_var = ⟨yy⟩;


```

y = λj. (variant j "y", π T i (j + 1));
ys = map y [0 ..< ar Ti];
e = λj. ⟨y j⟩ · (P Ti j 0 · xx_var # map (λk. P Ti j (k + 1) · xx_var) [0 ..< ar (Ti!-j)]);
guards = map (λi. xx_var ·
  map (λj. constant (Ti!-j) · (if i = j then e i · x_vars else True)) [0 ..< ar Ti]
  [0 ..< ar Ti]
in Λ[(yy # ys @ xs)] (guards ?? (yy_var · x_vars)) else constant (ε T i) · False)
| P T i 0 =
  (if i < ar T then (let
    f = ("f", T);
    f_var = ⟨f⟩;
    x = λk. (variant k "x", T!-k);
    xs = nth_drop i (map x [0 ..< ar T]);
    x_vars = insert_nth i (constant (T!-i) · True) (map (λx. ⟨x⟩) xs)
  in Λ[(f # xs)] (f_var · x_vars)) else constant (T → π T i 0) · False)
| P T i (Suc j) = (if i < ar T ∧ j < ar (T!-i) then (let
  Ti = T!-i;
  Tij = Ti!-j;
  f = ("f", T);
  f_var = ⟨f⟩;
  x = λk. (variant k "x", T!-k);
  xs = nth_drop i (map x [0 ..< ar T]);
  yy = ("z", π Ti j 0);
  yy_var = ⟨yy⟩;
  y = λk. (variant k "y", π Ti j (k + 1));
  ys = map y [0 ..< ar Tij];
  y_vars = yy_var # map (λx. ⟨x⟩) ys;
  x_vars = insert_nth i (E Tij · y_vars) (map (λx. ⟨x⟩) xs)
in Λ[(f # yy # ys @ xs)] (f_var · x_vars)) else constant (T → π T i (j + 1)) · False)

```

lemma *Abss_Nil[simp]*: $\Lambda[[]] b = b$
unfolding *Abss_def* **by** *simp*

lemma *Abss_Cons[simp]*: $\Lambda[(x\#xs)] b = \Lambda(\text{snd } x) (\text{close0_Var } x (\Lambda[xs] b))$
unfolding *Abss_def* **by** *simp*

lemma *welltyped_Abs*: $b :: U \implies T = \text{map snd } xTs \rightarrow\rightarrow U \implies \Lambda[xTs] b :: T$
by (*hypsubst_thin*, *induct xTs*) (*auto simp: mk_fun_def intro!: welltyped_Abs_fresh*)

lemma *welltyped_Apps*: $\text{list_all2 } (op ::) \text{ } ts \ Ts \implies f :: Ts \rightarrow\rightarrow U \implies f \cdot ts :: U$
by (*induct ts Ts arbitrary: f rule: list.rel_induct*) (*auto simp: mk_fun_def*)

lemma *welltyped_open_Var_close_Var[intro!]*:
 $t :: T \implies \text{open0_Var } xT (\text{close0_Var } xT t) :: T$
by *auto*

lemma *welltyped_Var_iff[simp]*:
 $\langle(x, T)\rangle :: U \longleftrightarrow T = U$
by *auto*

lemma *welltyped_bool_iff[simp]*: $(b :: \text{bool}) :: T \longleftrightarrow T = \mathcal{B}$
by *auto*

lemma *welltyped_constant0_iff[simp]*: $\text{constant0 } T :: U \longleftrightarrow (U = T)$
by (*induct T arbitrary: U*) (*auto simp: ex_fresh lc_open_id*)

lemma *welltyped_constant_iff[simp]*: $\text{constant } T :: U \longleftrightarrow (U = \mathcal{B} \rightarrow T)$
unfolding *constant_def*

proof (*intro iffI*, *elim welltypedE*, *hypsubst_thin*, *unfold type.inject simp_thms*)
fix $X U$

assume $\forall x. (x, \mathcal{B}) \notin X \longrightarrow \text{open0_Var } (x, \mathcal{B}) (\text{close0_Var } ("bool", \mathcal{B}) (\text{constant0 } T)) :: U$
moreover obtain x **where** $(x, \mathcal{B}) \notin X$ **using** *ex_fresh[of B X]* **by** *blast*
ultimately have $\text{open0_Var } (x, \mathcal{B}) (\text{close0_Var } ("bool", \mathcal{B}) (\text{constant0 } T)) :: U$ **by** *simp*

then have $open0_Var$ ("bool", \mathcal{B}) ($close0_Var$ ("bool", \mathcal{B}) ($constant0$ T)) $::: U$
using $rename_welltyped$ [of ($open0_Var$ (x , \mathcal{B}) ($close0_Var$ ("bool", \mathcal{B}) ($constant0$ T)))]
 $U \times \mathcal{B}$ "bool"
by ($auto$ $simp$: $subst_open$ $subst_fresh$)
then show $U = T$ **by** $auto$
qed ($auto$ $intro!$: $welltyped_Abs_fresh$)

lemma $welltyped_Seq_iff$ [$simp$]: $e1 \ ? \ e2 \ ::: T \longleftrightarrow (T = \mathcal{B} \wedge e1 \ ::: \mathcal{B} \wedge e2 \ ::: \mathcal{B})$
by $auto$

lemma $welltyped_Seqs_iff$ [$simp$]: $es \ ?? \ e \ ::: T \longleftrightarrow$
 $((es \neq [] \longrightarrow T = \mathcal{B}) \wedge (\forall e \in set \ es. \ e \ ::: \mathcal{B}) \wedge e \ ::: T)$
by ($induct$ es $arbitrary$: e) ($auto$ $simp$: $Seqs_def$)

lemma $welltyped_App_iff$ [$simp$]: $f \cdot t \ ::: U \longleftrightarrow (\exists T. f \ ::: T \rightarrow U \wedge t \ ::: T)$
by $auto$

lemma $welltyped_Apps_iff$ [$simp$]: $f \cdot ts \ ::: U \longleftrightarrow (\exists Ts. f \ ::: Ts \rightarrow \rightarrow U \wedge list_all2$ (op $:::$) ts Ts)
by ($induct$ ts $arbitrary$: f) ($auto$ 0 3 $simp$: mk_fun_def $list_all2_Cons1$ $intro$: exI [of $_ \# _$])

lemma $eq_mk_fun_iff$ [$simp$]: $T = Ts \rightarrow \rightarrow \mathcal{B} \longleftrightarrow Ts = dest_fun \ T$
by $auto$

lemma $map_nth_eq_drop_take$ [$simp$]: $j \leq length \ xs \implies map$ ($nth \ xs$) [$i \ .. < j$] = $drop \ i$ ($take \ j \ xs$)
by ($induct$ j) ($auto$ $simp$: $take_Suc_conv_app_nth$)

lemma $dest_fun_pi_0$: $i < ar \ T \implies dest_fun$ ($\pi \ T \ i \ 0$) = $nth_drop \ i$ ($dest_fun \ T$)
by $auto$

lemma $welltyped_E$: $E \ T \ i \ ::: \varepsilon \ T \ i$ **and** $welltyped_P$: $P \ T \ i \ j \ ::: T \rightarrow \pi \ T \ i \ j$

proof ($induct$ $T \ i$ **and** $T \ i \ j$ $rule$: $E_P.induct$)

case ($1 \ T \ i$)

note $P.simps$ [$simp \ del$] $\pi.simps$ [$simp \ del$] ε_def [$simp$] nth_drop_def [$simp$] nth_arg_def [$simp$]

from $1(1)$ [$OF \ _ \ refl \ refl \ refl \ refl \ refl \ refl \ refl \ refl \ refl \ refl$]

$1(2)$ [$OF \ _ \ refl \ refl \ refl \ refl \ refl \ refl \ refl \ refl \ refl \ refl$]

show $?case$

by ($auto$ 0 4 $simp$: Let_def o_def $take_map$ [$symmetric$] $drop_map$ [$symmetric$] $list_all2_conv_all_nth$ nth_append min_def $dest_fun_pi_0$ $\pi.simps$ [of $T \ i$] $intro!$: $welltyped_Abs_fresh$ $welltyped_Abs$ [of $_ \ \mathcal{B}$])

next

case ($2 \ T \ i$)

show $?case$

by ($auto$ $simp$: Let_def $take_map$ $drop_map$ o_def $list_all2_conv_all_nth$ nth_append nth_Cons' nth_drop_def nth_arg_def $intro!$: $welltyped_constant$ $welltyped_Abs_fresh$ $welltyped_Abs$ [of $_ \ \mathcal{B}$])

next

case ($3 \ T \ i \ j$)

note $E.simps$ [$simp \ del$] $\pi.simps$ [$simp \ del$] Abs_Cons [$simp \ del$] ε_def [$simp$]

nth_drop_def [$simp$] nth_arg_def [$simp$]

from $3(1)$ [$OF \ _ \ refl \ refl \ refl \ refl \ refl \ refl \ refl \ refl \ refl \ refl$]

show $?case$

by ($auto$ 0 3 $simp$: Let_def o_def $take_map$ [$symmetric$] $drop_map$ [$symmetric$] $list_all2_conv_all_nth$ nth_append nth_Cons' min_def $\pi.simps$ [of $T \ i$] $intro!$: $welltyped_Abs_fresh$ $welltyped_Abs$ [of $_ \ \mathcal{B}$])

qed

lemma δ_gt_0 [$simp$]: $T \neq \mathcal{B} \implies HMSet \ \{\#\} < \delta \ T$
by ($cases \ T$) $auto$

lemma $mset_nth_drop_less$: $i < length \ xs \implies mset$ ($nth_drop \ i \ xs$) < $mset \ xs$
by ($induct$ xs $arbitrary$: i) ($auto$ $simp$: $take_Cons'$ nth_drop_def $gr0_conv_Suc$)

lemma map_nth_drop : $i < length \ xs \implies map \ f$ ($nth_drop \ i \ xs$) = $nth_drop \ i$ ($map \ f \ xs$)

```

by (induct xs arbitrary: i) (auto simp: take_Cons' nth_drop_def gr0_conv_Suc)

lemma empty_less_mset: {#} < mset xs  $\longleftrightarrow$  xs  $\neq$  []
  by auto

lemma dest_fun_alt: dest_fun T = map ( $\lambda i. T !- i$ ) [0.. $ar\ T$ ]
  unfolding list_eq_iff_nth_eq_nth_arg_def by auto

context notes  $\pi.simps[simp\ del]$  notes One_nat_def[simp del] begin

lemma  $\delta\_ \pi$ :
  assumes  $i < ar\ T\ j \leq ar\ (T\ !- i)$ 
  shows  $\delta\ (\pi\ T\ i\ j) < \delta\ T$ 
using assms proof (induct T i j rule:  $\pi\_induct$ )
  fix T i
  assume  $i < ar\ T$ 
  then show  $\delta\ (\pi\ T\ i\ 0) < \delta\ T$ 
    by (subst (2) mk_fun_dest_fun[symmetric, of T], unfold  $\delta\_mk\_fun$ )
      (auto simp:  $\delta\_mk\_fun\ mset\_map[symmetric]\ take\_map[symmetric]\ drop\_map[symmetric]\ \pi.simps$ 
        mset_nth_drop_less map_nth_drop simp del: mset_map)
  next
  fix T i j
  let  $?Ti = T\ !- i$ 
  assume [rule_format, simp]:  $i < ar\ T\ j < ar\ ?Ti\ \delta\ (\pi\ ?Ti\ j\ 0) < \delta\ ?Ti$ 
     $\forall k < ar\ (?Ti\ !- j). \delta\ (\pi\ ?Ti\ j\ (k + 1)) < \delta\ ?Ti$ 
  define X and Y and M where
    [simp]:  $X = \{\#\delta\ ?Ti\#\}$  and
    [simp]:  $Y = \{\#\delta\ (\pi\ ?Ti\ j\ 0)\#\} + \{\#\delta\ (\pi\ ?Ti\ j\ (k + 1)). k \in \#\ mset\ [0\ ..< ar\ (?Ti\ !- j)]\#\}$  and
    [simp]:  $M \equiv \{\#\delta\ z. z \in \#\ mset\ (nth\_drop\ i\ (dest\_fun\ T))\#\}$ 
  have  $\delta\ (\pi\ T\ i\ (j + 1)) = HMSet\ (Y + M)$ 
    by (auto simp: One_nat_def  $\pi.simps\ \delta\_mk\_fun$ )
  also have  $Y + M < X + M$ 
    unfolding less_multisetDM by (rule exI[of _ X], rule exI[of _ Y]) auto
  also have  $HMSet\ (X + M) = \delta\ T$ 
    unfolding M_def
    by (subst (2) mk_fun_dest_fun[symmetric, of T], subst (2) id_take_nth_drop[of i dest_fun T])
      (auto simp:  $\delta\_mk\_fun\ nth\_arg\_def\ nth\_drop\_def$ )
  finally show  $\delta\ (\pi\ T\ i\ (j + 1)) < \delta\ T$  by simp
qed

end

end

```