

# Formalization of Nested Multisets, Hereditary Multisets, and Syntactic Ordinals

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## Abstract

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna’s nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

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# 1 Introduction

This Isabelle/HOL formalization introduces a nested multiset datatype and defines Dershowitz and Manna's nested multiset order. The order is proved well founded and linear. By removing one constructor, we transform the nested multisets into hereditary multisets. These are isomorphic to the syntactic ordinals—the ordinals can be recursively expressed in Cantor normal form. Addition, subtraction, multiplication, and linear orders are provided on this type.

In addition, signed (or hybrid) multisets are provided (i.e., multisets with potentially negative multiplicities), as well as signed hereditary multisets and signed ordinals (e.g.,  $\omega^2 - 2\omega + 1$ ).

## 2 More about Multisets

```
theory Multiset_More
imports HOL-Library.Multiset_Order
begin
```

Isabelle's theory of finite multisets is not as developed as other areas, such as lists and sets. The present theory introduces some missing concepts and lemmas. Some of it is expected to move to Isabelle's library.

### 2.1 Basic Setup

```
declare
  diff_single_trivial [simp]
  in_image_mset [iff]
  image_mset.compositionality [simp]

  mset_subset_eqD [dest, intro?]

  Multiset.in_multiset_in_set [simp]
  inter_add_left1 [simp]
  inter_add_left2 [simp]
  inter_add_right1 [simp]
  inter_add_right2 [simp]

  sum_mset_sum_list [simp]
```

### 2.2 Lemmas about Intersection, Union and Pointwise Inclusion

```
lemma subset_add_mset_notin_subset_mset:  $\langle A \subseteq\# \text{ add\_mset } b B \implies b \notin\# A \implies A \subseteq\# B \rangle$ 
  by (simp add: subset_mset.le_iff_sup)
```

```
lemma subset_msetE:  $\llbracket A \subset\# B; \llbracket A \subseteq\# B; \neg B \subseteq\# A \rrbracket \implies R \rrbracket \implies R$ 
  by (simp add: subset_mset.less_le_not_le)
```

```
lemma Diff_triv_mset:  $M \cap\# N = \{\#\} \implies M - N = M$ 
  by (metis diff_intersect_left_idem diff_zero)
```

```
lemma diff_intersect_sym_diff:  $(A - B) \cap\# (B - A) = \{\#\}$ 
  unfolding multiset_inter_def
```

```
proof -
  have  $A - (B - (B - A)) = A - B$ 
    by (metis diff_intersect_right_idem multiset_inter_def)
  then show  $A - B - (A - B - (B - A)) = \{\#\}$ 
    by (metis diff_add diff_cancel diff_subset_eq_self subset_mset.diff_add)
qed
```

```
declare subset_msetE [elim!]
```

### 2.3 Lemmas about Filter and Image

```
lemma count_image_mset_ge_count:  $\text{count } (\text{image\_mset } f A) (f b) \geq \text{count } A b$ 
```

by (induction A) auto

lemma count\_image\_mset\_inj:

assumes ⟨inj f⟩

shows ⟨count (image\_mset f M) (f x) = count M x⟩

by (induct M) (use assms in ⟨auto simp: inj\_on\_def⟩)

lemma count\_image\_mset\_le\_count\_inj\_on:

inj\_on f (set\_mset M)  $\implies$  count (image\_mset f M) y  $\leq$  count M (inv\_into (set\_mset M) f y)

proof (induct M)

case (add x M)

note ih = this(1) and inj\_xM = this(2)

have inj\_M: inj\_on f (set\_mset M)

using inj\_xM by simp

show ?case

proof (cases x  $\in\#$  M)

case x\_in\_M: True

show ?thesis

proof (cases y = f x)

case y\_eq\_fx: True

show ?thesis

using x\_in\_M ih[OF inj\_M] unfolding y\_eq\_fx by (simp add: inj\_M insert\_absorb)

next

case y\_ne\_fx: False

show ?thesis

using x\_in\_M ih[OF inj\_M] y\_ne\_fx insert\_absorb by fastforce

qed

next

case x\_ni\_M: False

show ?thesis

proof (cases y = f x)

case y\_eq\_fx: True

have f x  $\notin\#$  image\_mset f M

using x\_ni\_M inj\_xM by force

thus ?thesis

unfolding y\_eq\_fx

by (metis (no\_types) inj\_xM count\_add\_mset count\_greater\_eq\_Suc\_zero\_iff count\_inI image\_mset\_add\_mset inv\_into\_f\_f union\_single\_eq\_member)

next

case y\_ne\_fx: False

show ?thesis

proof (rule ccontr)

assume neg\_conj:  $\neg$  count (image\_mset f (add\_mset x M)) y

$\leq$  count (add\_mset x M) (inv\_into (set\_mset (add\_mset x M)) f y)

have cnt\_y: count (add\_mset (f x) (image\_mset f M)) y = count (image\_mset f M) y

using y\_ne\_fx by simp

have inv\_into (set\_mset M) f y  $\in\#$  add\_mset x M  $\implies$

inv\_into (set\_mset (add\_mset x M)) f (f (inv\_into (set\_mset M) f y)) =

inv\_into (set\_mset M) f y

by (meson inj\_xM inv\_into\_f\_f)

hence  $0 <$  count (image\_mset f (add\_mset x M)) y  $\implies$

count M (inv\_into (set\_mset M) f y) =  $0 \vee x =$  inv\_into (set\_mset M) f y

using neg\_conj cnt\_y ih[OF inj\_M]

by (metis (no\_types) count\_add\_mset count\_greater\_zero\_iff count\_inI f\_inv\_into\_f image\_mset\_add\_mset set\_image\_mset)

thus False

using neg\_conj cnt\_y x\_ni\_M ih[OF inj\_M]

by (metis (no\_types) count\_greater\_zero\_iff count\_inI eq\_iff image\_mset\_add\_mset less\_imp\_le)

qed  
 qed  
 qed  
 qed simp

**lemma** *mset\_filter\_compl*:  $mset (filter\ p\ xs) + mset (filter\ (Not\ \circ\ p)\ xs) = mset\ xs$   
 by (*induction xs*) (*auto simp: mset\_filter\_ac\_simps*)

Near duplicate of *filter\_eq\_replicate\_mset*:  $\{\#y \in \# ?D. y = ?x\#\} = replicate\_mset (count\ ?D\ ?x)\ ?x$ .

**lemma** *filter\_mset\_eq*:  $filter\_mset\ ((=)\ L)\ A = replicate\_mset\ (count\ A\ L)\ L$   
 by (*auto simp: multiset\_eq\_iff*)

**lemma** *filter\_mset\_cong*[*fundef\_cong*]:  
 assumes  $M = M' \wedge a. a \in \# M \implies P\ a = Q\ a$   
 shows  $filter\_mset\ P\ M = filter\_mset\ Q\ M$

**proof** –  
 have  $M - filter\_mset\ Q\ M = filter\_mset\ (\lambda a. \neg Q\ a)\ M$   
 by (*subst multiset\_partition[of \_ Q]*) *simp*  
 then show *?thesis*  
 by (*auto simp: filter\_mset\_eq\_conv assms*)  
 qed

**lemma** *image\_mset\_filter\_swap*:  $image\_mset\ f\ \{\#x \in \# M. P\ (f\ x)\#\} = \{\#x \in \# image\_mset\ f\ M. P\ x\#\}$   
 by (*induction M*) *auto*

**lemma** *image\_mset\_cong2*:  
 $(\wedge x. x \in \# M \implies f\ x = g\ x) \implies M = N \implies image\_mset\ f\ M = image\_mset\ g\ N$   
 by (*hypsubst, rule image\_mset\_cong*)

**lemma** *filter\_mset\_empty\_conv*:  $\langle filter\_mset\ P\ M = \{\#\} \rangle = \langle \forall L \in \# M. \neg P\ L \rangle$   
 by (*induction M*) *auto*

**lemma** *multiset\_filter\_mono2*:  $filter\_mset\ P\ A \subseteq \# filter\_mset\ Q\ A \longleftrightarrow (\forall a \in \# A. P\ a \longrightarrow Q\ a)$   
 by (*induction A*) (*auto intro: subset\_mset.order.trans*)

**lemma** *image\_filter\_cong*:  
 assumes  $\langle \wedge C. C \in \# M \implies P\ C \implies f\ C = g\ C \rangle$   
 shows  $\langle \{\#f\ C. C \in \# \{\#C \in \# M. P\ C\}\#\} = \{\#g\ C \mid C \in \# M. P\ C\#\} \rangle$   
 using *assms* by (*induction M*) *auto*

**lemma** *image\_mset\_filter\_swap2*:  $\langle \{\#C \in \# \{\#P\ x. x \in \# D\#\}. Q\ C\#\} = \{\#P\ x. x \in \# \{\#C \mid C \in \# D. Q\ (P\ C)\#\}\#\} \rangle$   
 by (*simp add: image\_mset\_filter\_swap*)

**declare** *image\_mset\_cong2* [*cong*]

## 2.4 Lemmas about Sum

**lemma** *sum\_image\_mset\_sum\_map*[*simp*]:  $sum\_mset\ (image\_mset\ f\ (mset\ xs)) = sum\_list\ (map\ f\ xs)$   
 by (*metis mset\_map sum\_mset\_sum\_list*)

**lemma** *sum\_image\_mset\_mono*:  
 fixes  $f :: 'a \Rightarrow 'b::canonically\_ordered\_monoid\_add$   
 assumes  $sub: A \subseteq \# B$   
 shows  $(\sum m \in \# A. f\ m) \leq (\sum m \in \# B. f\ m)$   
 by (*metis image\_mset\_union le\_iff\_add sub subset\_mset.add\_diff\_inverse sum\_mset.union*)

**lemma** *sum\_image\_mset\_mono\_mem*:  
 $n \in \# M \implies f\ n \leq (\sum m \in \# M. f\ m)$  for  $f :: 'a \Rightarrow 'b::canonically\_ordered\_monoid\_add$   
 using *le\_iff\_add multi\_member\_split* by *fastforce*

**lemma** *count\_sum\_mset\_if\_1\_0*:  $\langle count\ M\ a = (\sum x \in \# M. if\ x = a\ then\ 1\ else\ 0) \rangle$   
 by (*induction M*) *auto*

**lemma** *sum\_mset\_dvd*:  
**fixes**  $k :: 'a::comm\_semiring\_1\_cancel$   
**assumes**  $\forall m \in\# M. k \text{ dvd } f m$   
**shows**  $k \text{ dvd } (\sum m \in\# M. f m)$   
**using** *assms* **by** (*induct*  $M$ ) *auto*

**lemma** *sum\_mset\_distrib\_div\_if\_dvd*:  
**fixes**  $k :: 'a::unique\_euclidean\_semiring$   
**assumes**  $\forall m \in\# M. k \text{ dvd } f m$   
**shows**  $(\sum m \in\# M. f m) \text{ div } k = (\sum m \in\# M. f m \text{ div } k)$   
**using** *assms* **by** (*induct*  $M$ ) (*auto simp: div\_plus\_div\_distrib\_dvd\_left*)

## 2.5 Lemmas about Remove

**lemma** *set\_mset\_minus\_replicate\_mset[simp]*:  
 $n \geq \text{count } A \ a \implies \text{set\_mset } (A - \text{replicate\_mset } n \ a) = \text{set\_mset } A - \{a\}$   
 $n < \text{count } A \ a \implies \text{set\_mset } (A - \text{replicate\_mset } n \ a) = \text{set\_mset } A$   
**unfolding** *set\_mset\_def* **by** (*auto split: if\_split simp: not\_in\_iff*)

**abbreviation** *removeAll\_mset*  $:: 'a \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$  **where**  
 $\text{removeAll\_mset } C \ M \equiv M - \text{replicate\_mset } (\text{count } M \ C) \ C$

**lemma** *mset\_removeAll[simp, code]*:  $\text{removeAll\_mset } C \ (\text{mset } L) = \text{mset } (\text{removeAll } C \ L)$   
**by** (*induction*  $L$ ) (*auto simp: ac\_simps multiset\_eq\_iff split: if\_split\_asm*)

**lemma** *removeAll\_mset\_filter\_mset*:  $\text{removeAll\_mset } C \ M = \text{filter\_mset } ((\neq) \ C) \ M$   
**by** (*induction*  $M$ ) (*auto simp: ac\_simps multiset\_eq\_iff*)

**abbreviation** *remove1\_mset*  $:: 'a \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$  **where**  
 $\text{remove1\_mset } C \ M \equiv M - \{\#C\#$

**lemma** *removeAll\_subseteq\_remove1\_mset*:  $\text{removeAll\_mset } x \ M \subseteq\# \text{remove1\_mset } x \ M$   
**by** (*auto simp: subseteq\_mset\_def*)

**lemma** *in\_remove1\_mset\_neq*:  
**assumes**  $ab: a \neq b$   
**shows**  $a \in\# \text{remove1\_mset } b \ C \longleftrightarrow a \in\# C$   
**by** (*metis* *assms* *diff\_single\_trivial in\_diffD insert\_DiffM insert\_noteq\_member*)

**lemma** *size\_mset\_removeAll\_mset\_le\_iff*:  $\text{size } (\text{removeAll\_mset } x \ M) < \text{size } M \longleftrightarrow x \in\# M$   
**by** (*auto intro: count\_inI mset\_subset\_size simp: subset\_mset\_def multiset\_eq\_iff*)

**lemma** *size\_remove1\_mset>If*:  $\langle \text{size } (\text{remove1\_mset } x \ M) = \text{size } M - (\text{if } x \in\# M \text{ then } 1 \text{ else } 0) \rangle$   
**by** (*auto simp: size\_Diff\_subset\_Int*)

**lemma** *size\_mset\_remove1\_mset\_le\_iff*:  $\text{size } (\text{remove1\_mset } x \ M) < \text{size } M \longleftrightarrow x \in\# M$   
**using** *less\_irrefl*  
**by** (*fastforce* *intro!: mset\_subset\_size elim: in\_countE simp: subset\_mset\_def multiset\_eq\_iff*)

**lemma** *remove\_1\_mset\_id\_iff\_notin*:  $\text{remove1\_mset } a \ M = M \longleftrightarrow a \notin\# M$   
**by** (*meson* *diff\_single\_trivial multi\_drop\_mem\_not\_eq*)

**lemma** *id\_remove\_1\_mset\_iff\_notin*:  $M = \text{remove1\_mset } a \ M \longleftrightarrow a \notin\# M$   
**using** *remove\_1\_mset\_id\_iff\_notin* **by** *metis*

**lemma** *remove1\_mset\_eqE*:  
 $\text{remove1\_mset } L \ x1 = M \implies$   
 $(L \in\# x1 \implies x1 = M + \{\#L\# \implies P) \implies$   
 $(L \notin\# x1 \implies x1 = M \implies P) \implies$   
 $P$   
**by** (*cases*  $L \in\# x1$ ) *auto*

**lemma** *image\_filter\_ne\_mset[simp]*:  
 $\text{image\_mset } f \ \{\#x \in\# M. f \ x \neq y\#\} = \text{removeAll\_mset } y \ (\text{image\_mset } f \ M)$

by (induction M) simp\_all

**lemma** *image\_mset\_remove1\_mset\_if*:  
 $image\_mset\ f\ (remove1\_mset\ a\ M) =$   
*(if a ∈# M then remove1\_mset (f a) (image\_mset f M) else image\_mset f M)*  
 by (auto simp: image\_mset\_Diff)

**lemma** *filter\_mset\_neq*:  $\{\#x \in\# M. x \neq y\# \} = removeAll\_mset\ y\ M$   
 by (metis add\_diff\_cancel\_left' filter\_eq\_replicate\_mset multiset\_partition)

**lemma** *filter\_mset\_neq\_cond*:  $\{\#x \in\# M. P\ x \wedge x \neq y\# \} = removeAll\_mset\ y\ \{\#x \in\# M. P\ x\# \}$   
 by (metis filter\_filter\_mset filter\_mset\_neq)

**lemma** *remove1\_mset\_add\_mset* *If*:  
 $remove1\_mset\ L\ (add\_mset\ L'\ C) = (if\ L = L'\ then\ C\ else\ remove1\_mset\ L\ C + \{\#L'\#\})$   
 by (auto simp: multiset\_eq\_iff)

**lemma** *minus\_remove1\_mset\_if*:  
 $A - remove1\_mset\ b\ B = (if\ b \in\# B \wedge b \in\# A \wedge count\ A\ b \geq count\ B\ b\ then\ \{\#b\#\} + (A - B)\ else\ A - B)$   
 by (auto simp: multiset\_eq\_iff count\_greater\_zero\_iff [symmetric]  
 simp del: count\_greater\_zero\_iff)

**lemma** *add\_mset\_eq\_add\_mset\_ne*:  
 $a \neq b \implies add\_mset\ a\ A = add\_mset\ b\ B \iff a \in\# B \wedge b \in\# A \wedge A = add\_mset\ b\ (B - \{\#a\#\})$   
 by (metis (no\_types, lifting) diff\_single\_eq\_union diff\_union\_swap multi\_self\_add\_other\_not\_self  
 remove\_1\_mset\_id\_iff\_notin union\_single\_eq\_diff)

**lemma** *add\_mset\_eq\_add\_mset*:  $\langle add\_mset\ a\ M = add\_mset\ b\ M' \iff$   
 $(a = b \wedge M = M') \vee (a \neq b \wedge b \in\# M \wedge add\_mset\ a\ (M - \{\#b\#\}) = M') \rangle$   
 by (metis add\_mset\_eq\_add\_mset\_ne add\_mset\_remove\_trivial union\_single\_eq\_member)

**lemma** *add\_mset\_remove\_trivial\_iff*:  $\langle N = add\_mset\ a\ (N - \{\#b\#\}) \iff a \in\# N \wedge a = b \rangle$   
 by (metis add\_left\_cancel add\_mset\_remove\_trivial insert\_DiffM2 single\_eq\_single  
 size\_mset\_remove1\_mset\_le\_iff union\_single\_eq\_member)

**lemma** *trivial\_add\_mset\_remove\_iff*:  $\langle add\_mset\ a\ (N - \{\#b\#\}) = N \iff a \in\# N \wedge a = b \rangle$   
 by (subst eq\_commute) (fact add\_mset\_remove\_trivial\_iff)

**lemma** *remove1\_single\_empty\_iff*[simp]:  $\langle remove1\_mset\ L\ \{\#L'\#\} = \{\#\} \iff L = L' \rangle$   
 using add\_mset\_remove\_trivial\_iff by fastforce

**lemma** *add\_mset\_less\_imp\_less\_remove1\_mset*:  
 assumes  $xM\_lt\_N$ :  $add\_mset\ x\ M < N$   
 shows  $M < remove1\_mset\ x\ N$

**proof** –

have  $M < N$

using *assms* *le\_multiset\_right\_total* *mset\_le\_trans* by blast

then show *?thesis*

by (metis add\_less\_cancel\_right add\_mset\_add\_single diff\_single\_trivial insert\_DiffM2 *xM\_lt\_N*)

qed

## 2.6 Lemmas about Replicate

**lemma** *replicate\_mset\_minus\_replicate\_mset\_same*[simp]:  
 $replicate\_mset\ m\ x - replicate\_mset\ n\ x = replicate\_mset\ (m - n)\ x$   
 by (induct m arbitrary: n, simp, metis left\_diff\_repeat\_mset\_distrib' repeat\_mset\_replicate\_mset)

**lemma** *replicate\_mset\_subset\_iff\_lt*[simp]:  $replicate\_mset\ m\ x \subset\# replicate\_mset\ n\ x \iff m < n$   
 by (induct n m rule: diff\_induct) (auto intro: subset\_mset.gr\_zeroI)

**lemma** *replicate\_mset\_subseteq\_iff\_le*[simp]:  $replicate\_mset\ m\ x \subseteq\# replicate\_mset\ n\ x \iff m \leq n$   
 by (induct n m rule: diff\_induct) auto

**lemma** *replicate\_mset\_lt\_iff\_lt[simp]*:  $\text{replicate\_mset } m \ x < \text{replicate\_mset } n \ x \longleftrightarrow m < n$   
**by** (*induct n m rule: diff\_induct*) (*auto intro: subset\_mset.gr\_zeroI gr\_zeroI*)

**lemma** *replicate\_mset\_le\_iff\_le[simp]*:  $\text{replicate\_mset } m \ x \leq \text{replicate\_mset } n \ x \longleftrightarrow m \leq n$   
**by** (*induct n m rule: diff\_induct*) *auto*

**lemma** *replicate\_mset\_eq\_iff[simp]*:  
 $\text{replicate\_mset } m \ x = \text{replicate\_mset } n \ y \longleftrightarrow m = n \wedge (m \neq 0 \longrightarrow x = y)$   
**by** (*cases m; cases n; simp*)  
(*metis in\_replicate\_mset insert\_noteq\_member size\_replicate\_mset union\_single\_eq\_diff*)

**lemma** *replicate\_mset\_plus*:  $\text{replicate\_mset } (a + b) \ C = \text{replicate\_mset } a \ C + \text{replicate\_mset } b \ C$   
**by** (*induct a*) (*auto simp: ac\_simps*)

**lemma** *mset\_replicate\_replicate\_mset*:  $\text{mset } (\text{replicate } n \ L) = \text{replicate\_mset } n \ L$   
**by** (*induction n*) *auto*

**lemma** *set\_mset\_single\_iff\_replicate\_mset*:  $\text{set\_mset } U = \{a\} \longleftrightarrow (\exists n > 0. U = \text{replicate\_mset } n \ a)$   
**by** (*rule, metis count\_greater\_zero\_iff\_count\_replicate\_mset insertI1 multi\_count\_eq\_singletonD zero\_less\_iff\_neq\_zero, force*)

**lemma** *ex\_replicate\_mset\_if\_all\_elems\_eq*:  
**assumes**  $\forall x \in \# M. x = y$   
**shows**  $\exists n. M = \text{replicate\_mset } n \ y$   
**using** *assms* **by** (*metis count\_replicate\_mset mem\_Collect\_eq multiset\_eqI neq0\_conv set\_mset\_def*)

## 2.7 Multiset and Set Conversions

**lemma** *count\_mset\_set\_if*:  $\text{count } (\text{mset\_set } A) \ a = (\text{if } a \in A \wedge \text{finite } A \text{ then } 1 \text{ else } 0)$   
**by** *auto*

**lemma** *mset\_set\_set\_mset\_empty\_mempty[iff]*:  $\text{mset\_set } (\text{set\_mset } D) = \{\#\} \longleftrightarrow D = \{\#\}$   
**by** (*simp add: mset\_set\_empty\_iff*)

**lemma** *count\_mset\_set\_le\_one*:  $\text{count } (\text{mset\_set } A) \ x \leq 1$   
**by** (*simp add: count\_mset\_set\_if*)

**lemma** *mset\_set\_set\_mset\_subseteq[simp]*:  $\text{mset\_set } (\text{set\_mset } A) \subseteq \# A$   
**by** (*simp add: mset\_set\_set\_mset\_msubset*)

**lemma** *mset\_sorted\_list\_of\_set[simp]*:  $\text{mset } (\text{sorted\_list\_of\_set } A) = \text{mset\_set } A$   
**by** (*metis mset\_sorted\_list\_of\_multiset sorted\_list\_of\_mset\_set*)

**lemma** *sorted\_sorted\_list\_of\_multiset[simp]*:  
 $\text{sorted } (\text{sorted\_list\_of\_multiset } (M :: 'a::\text{linorder multiset}))$   
**by** (*metis mset\_sorted\_list\_of\_multiset sorted\_list\_of\_multiset\_mset sorted\_sort*)

**lemma** *mset\_take\_subseteq*:  $\text{mset } (\text{take } n \ xs) \subseteq \# \text{mset } xs$   
**apply** (*induct xs arbitrary: n*)  
**apply** *simp*  
**by** (*case\_tac n*) *simp\_all*

**lemma** *sorted\_list\_of\_multiset\_eq\_Nil[simp]*:  $\text{sorted\_list\_of\_multiset } M = [] \longleftrightarrow M = \{\#\}$   
**by** (*metis mset\_sorted\_list\_of\_multiset sorted\_list\_of\_multiset\_empty*)

## 2.8 Duplicate Removal

**definition** *remdups\_mset* ::  $'v \text{ multiset} \Rightarrow 'v \text{ multiset}$  **where**  
 $\text{remdups\_mset } S = \text{mset\_set } (\text{set\_mset } S)$

**lemma** *set\_mset\_remdups\_mset[simp]*:  $\text{set\_mset } (\text{remdups\_mset } A) = \text{set\_mset } A$   
**unfolding** *remdups\_mset\_def* **by** *auto*

**lemma** *count\_remdups\_mset\_eq\_1*:  $a \in \# \text{remdups\_mset } A \longleftrightarrow \text{count } (\text{remdups\_mset } A) \ a = 1$



```

unfolding remdups_mset_def by (auto simp: count_eq_zero_iff intro: count_inI)

lemma remdups_mset_empty[simp]: remdups_mset {#} = {#}
unfolding remdups_mset_def by auto

lemma remdups_mset_singleton[simp]: remdups_mset {#a#} = {#a#}
unfolding remdups_mset_def by auto

lemma remdups_mset_eq_empty[iff]: remdups_mset D = {#}  $\longleftrightarrow$  D = {#}
unfolding remdups_mset_def by blast

lemma remdups_mset_singleton_sum[simp]:
  remdups_mset (add_mset a A) = (if a  $\in$  # A then remdups_mset A else add_mset a (remdups_mset A))
unfolding remdups_mset_def by (simp_all add: insert_absorb)

lemma mset_remdups_remdups_mset[simp]: mset (remdups D) = remdups_mset (mset D)
by (induction D) (auto simp add: ac_simps)

declare mset_remdups_remdups_mset[symmetric, code]

definition distinct_mset :: 'a multiset  $\Rightarrow$  bool where
  distinct_mset S  $\longleftrightarrow$  ( $\forall$  a. a  $\in$  # S  $\longrightarrow$  count S a = 1)

lemma distinct_mset_count_less_1: distinct_mset S  $\longleftrightarrow$  ( $\forall$  a. count S a  $\leq$  1)
using eq_iff_nat_le_linear unfolding distinct_mset_def by fastforce

lemma distinct_mset_empty[simp]: distinct_mset {#}
unfolding distinct_mset_def by auto

lemma distinct_mset_singleton: distinct_mset {#a#}
unfolding distinct_mset_def by auto

lemma distinct_mset_union:
  assumes dist: distinct_mset (A + B)
  shows distinct_mset A
  unfolding distinct_mset_count_less_1
proof (rule allI)
  fix a
  have  $\langle$ count A a  $\leq$  count (A + B) a $\rangle$  by auto
  moreover have  $\langle$ count (A + B) a  $\leq$  1 $\rangle$ 
    using dist unfolding distinct_mset_count_less_1 by auto
  ultimately show  $\langle$ count A a  $\leq$  1 $\rangle$ 
    by simp
qed

lemma distinct_mset_minus[simp]: distinct_mset A  $\implies$  distinct_mset (A - B)
by (metis diff_subset_eq_self mset_subset_eq_exists_conv distinct_mset_union)

lemma count_remdups_mset>If:  $\langle$ count (remdups_mset A) a = (if a  $\in$  # A then 1 else 0) $\rangle$ 
unfolding remdups_mset_def by auto

lemma distinct_mset_remdups_union_mset:
  assumes distinct_mset A and distinct_mset B
  shows A  $\cup$  # B = remdups_mset (A + B)
  using assms nat_le_linear unfolding remdups_mset_def
  by (force simp add: multiset_eq_iff max_def count_mset_set_if distinct_mset_def not_in_iff)

lemma distinct_mset_add_mset[simp]: distinct_mset (add_mset a L)  $\longleftrightarrow$  a  $\notin$  # L  $\wedge$  distinct_mset L
unfolding distinct_mset_def
apply (rule iffI)
  apply (auto split: if_split asm; fail)[]
by (auto simp: not_in_iff; fail)

```

**lemma** *distinct\_mset\_size\_eq\_card*:  $\text{distinct\_mset } C \implies \text{size } C = \text{card } (\text{set\_mset } C)$   
**by** (*induction C*) *auto*

**lemma** *distinct\_mset\_add*:  
 $\text{distinct\_mset } (L + L') \longleftrightarrow \text{distinct\_mset } L \wedge \text{distinct\_mset } L' \wedge L \cap\# L' = \{\#\}$   
**by** (*induction L arbitrary: L'*) *auto*

**lemma** *distinct\_mset\_set\_mset\_ident*[*simp*]:  $\text{distinct\_mset } M \implies \text{mset\_set } (\text{set\_mset } M) = M$   
**by** (*induction M*) *auto*

**lemma** *distinct\_finite\_set\_mset\_subseteq\_iff*[*iff*]:  
**assumes** *distinct\_mset M finite N*  
**shows**  $\text{set\_mset } M \subseteq N \longleftrightarrow M \subseteq\# \text{mset\_set } N$   
**by** (*metis assms distinct\_mset\_set\_mset\_ident finite\_set\_mset msubset\_mset\_set\_iff*)

**lemma** *distinct\_mem\_diff\_mset*:  
**assumes** *dist: distinct\_mset M* **and** *mem: x ∈ set\_mset (M - N)*  
**shows**  $x \notin \text{set\_mset } N$

**proof** -  
**have**  $\text{count } M \ x = 1$   
**using** *dist mem* **by** (*meson distinct\_mset\_def in\_diffD*)  
**then show** *?thesis*  
**using** *mem* **by** (*metis count\_greater\_eq\_one\_iff in\_diff\_count\_not\_less*)  
**qed**

**lemma** *distinct\_set\_mset\_eq*:  
**assumes** *distinct\_mset M distinct\_mset N set\_mset M = set\_mset N*  
**shows**  $M = N$   
**using** *assms distinct\_mset\_set\_mset\_ident* **by** *fastforce*

**lemma** *distinct\_mset\_union\_mset*[*simp*]:  
 $\langle \text{distinct\_mset } (D \cup\# C) \longleftrightarrow \text{distinct\_mset } D \wedge \text{distinct\_mset } C \rangle$   
**unfolding** *distinct\_mset\_count\_less\_1* **by** *force*

**lemma** *distinct\_mset\_inter\_mset*:  
 $\text{distinct\_mset } C \implies \text{distinct\_mset } (C \cap\# D)$   
 $\text{distinct\_mset } D \implies \text{distinct\_mset } (C \cap\# D)$   
**by** (*simp\_all add: multiset\_inter\_def*,  
*metis distinct\_mset\_minus multiset\_inter\_commute multiset\_inter\_def*)

**lemma** *distinct\_mset\_remove1\_All*:  $\text{distinct\_mset } C \implies \text{remove1\_mset } L \ C = \text{removeAll\_mset } L \ C$   
**by** (*auto simp: multiset\_eq\_iff distinct\_mset\_count\_less\_1*)

**lemma** *distinct\_mset\_size\_2*:  $\text{distinct\_mset } \{\#a, \#b\} \longleftrightarrow a \neq b$   
**by** *auto*

**lemma** *distinct\_mset\_filter*:  $\text{distinct\_mset } M \implies \text{distinct\_mset } \{\# L \in\# M. P \ L\#\}$   
**by** (*simp add: distinct\_mset\_def*)

**lemma** *distinct\_mset\_mset\_distinct*[*simp*]:  $\langle \text{distinct\_mset } (\text{mset } xs) = \text{distinct } xs \rangle$   
**by** (*induction xs*) *auto*

**lemma** *distinct\_image\_mset\_inj*:  
 $\langle \text{inj\_on } f \ (\text{set\_mset } M) \implies \text{distinct\_mset } (\text{image\_mset } f \ M) \longleftrightarrow \text{distinct\_mset } M \rangle$   
**by** (*induction M*) (*auto simp: inj\_on\_def*)

## 2.9 Repeat Operation

**lemma** *repeat\_mset\_compower*:  $\text{repeat\_mset } n \ A = (((+) \ A) \ \wedge\wedge \ n) \ \{\#\}$   
**by** (*induction n*) *auto*

**lemma** *repeat\_mset\_prod*:  $\text{repeat\_mset } (m * n) \ A = (((+) \ (\text{repeat\_mset } n \ A)) \ \wedge\wedge \ m) \ \{\#\}$   
**by** (*induction m*) (*auto simp: repeat\_mset\_distrib*)

## 2.10 Cartesian Product

Definition of the cartesian products over multisets. The construction mimics of the cartesian product on sets and use the same theorem names (adding only the suffix `_mset` to `Sigma` and `Times`). See file `~/src/HOL/Product_Type.thy`

**definition** `Sigma_mset` :: *'a multiset*  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b multiset*)  $\Rightarrow$  (*'a*  $\times$  *'b*) *multiset* **where**  
`Sigma_mset A B`  $\equiv$   $\bigcup\#\{\#\{a, b\}. b \in\# B a\# \}. a \in\# A \#$

**abbreviation** `Times_mset` :: *'a multiset*  $\Rightarrow$  *'b multiset*  $\Rightarrow$  (*'a*  $\times$  *'b*) *multiset* (**infixr**  `$\times\#$`  80) **where**  
`Times_mset A B`  $\equiv$  `Sigma_mset A (lambda_. B)`

**hide-const (open)** `Times_mset`

Contrary to the set version  $A \times B$ , we use the non-ASCII symbol  $\in\#$ .

**syntax**

`_Sigma_mset` :: [*ptrn*, *'a multiset*, *'b multiset*]  $\Rightarrow$  (*'a* \* *'b*) *multiset*  
`((SIGMAMSET _  $\in\#$  _ / _) [0, 0, 10] 10)`

**translations**

`SIGMAMSET x  $\in\#$  A. B` == `CONST Sigma_mset A (lambda x. B)`

Link between the multiset and the set cartesian product:

**lemma** `Times_mset_Times`: `set_mset (A  $\times\#$  B) = set_mset A  $\times$  set_mset B`  
**unfolding** `Sigma_mset_def` **by** `auto`

**lemma** `Sigma_msetI` [*intro!*]:  $\llbracket a \in\# A; b \in\# B a \rrbracket \Longrightarrow (a, b) \in\# \text{Sigma\_mset } A B$   
**by** (`unfold Sigma_mset_def`) `auto`

**lemma** `Sigma_msetE`[*elim!*]:  $\llbracket c \in\# \text{Sigma\_mset } A B; \bigwedge x y. \llbracket x \in\# A; y \in\# B x; c = (x, y) \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$   
**by** (`unfold Sigma_mset_def`) `auto`

Elimination of  $(a, b) \in\# A \times\# B$  – introduces no eigenvariables.

**lemma** `Sigma_msetD1`:  $(a, b) \in\# \text{Sigma\_mset } A B \Longrightarrow a \in\# A$   
**by** `blast`

**lemma** `Sigma_msetD2`:  $(a, b) \in\# \text{Sigma\_mset } A B \Longrightarrow b \in\# B a$   
**by** `blast`

**lemma** `Sigma_msetE2`:  $\llbracket (a, b) \in\# \text{Sigma\_mset } A B; \llbracket a \in\# A; b \in\# B a \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$   
**by** `blast`

**lemma** `Sigma_mset_cong`:

$\llbracket A = B; \bigwedge x. x \in\# B \Longrightarrow C x = D x \rrbracket \Longrightarrow (\text{SIGMAMSET } x \in\# A. C x) = (\text{SIGMAMSET } x \in\# B. D x)$   
**by** (`metis (mono_tags, lifting) Sigma_mset_def image_mset_cong`)

**lemma** `count_sum_mset`: `count (bigcup# M) b = (sum P  $\in\#$  M. count P b)`  
**by** (`induction M`) `auto`

**lemma** `Sigma_mset_plus_distrib1`[*simp*]: `Sigma_mset (A + B) C = Sigma_mset A C + Sigma_mset B C`  
**unfolding** `Sigma_mset_def` **by** `auto`

**lemma** `Sigma_mset_plus_distrib2`[*simp*]:

`Sigma_mset A (lambda i. B i + C i) = Sigma_mset A B + Sigma_mset A C`  
**unfolding** `Sigma_mset_def` **by** (`induction A`) (`auto simp: multiset_eq_iff`)

**lemma** `Times_mset_single_left`:  $\{\#a\# \} \times\# B = \text{image\_mset } (\text{Pair } a) B$   
**unfolding** `Sigma_mset_def` **by** `auto`

**lemma** `Times_mset_single_right`:  $A \times\# \{\#b\# \} = \text{image\_mset } (\lambda a. \text{Pair } a b) A$   
**unfolding** `Sigma_mset_def` **by** (`induction A`) `auto`

**lemma** `Times_mset_single_single`[*simp*]:  $\{\#a\# \} \times\# \{\#b\# \} = \{\#(a, b)\# \}$   
**unfolding** `Sigma_mset_def` **by** `simp`

**lemma** *count\_image\_mset\_Pair*:  
 $\text{count } (\text{image\_mset } (\text{Pair } a) B) (x, b) = (\text{if } x = a \text{ then count } B b \text{ else } 0)$   
**by** (*induction B*) *auto*

**lemma** *count\_Sigma\_mset*:  $\text{count } (\text{Sigma\_mset } A B) (a, b) = \text{count } A a * \text{count } (B a) b$   
**by** (*induction A*) (*auto simp: Sigma\_mset\_def count\_image\_mset\_Pair*)

**lemma** *Sigma\_mset\_empty1[simp]*:  $\text{Sigma\_mset } \{\#\} B = \{\#\}$   
**unfolding** *Sigma\_mset\_def* **by** *auto*

**lemma** *Sigma\_mset\_empty2[simp]*:  $A \times \# \{\#\} = \{\#\}$   
**by** (*auto simp: multiset\_eq\_iff count\_Sigma\_mset*)

**lemma** *Sigma\_mset\_mono*:  
**assumes**  $A \subseteq \# C$  **and**  $\bigwedge x. x \in \# A \implies B x \subseteq \# D x$   
**shows**  $\text{Sigma\_mset } A B \subseteq \# \text{Sigma\_mset } C D$   
**proof** –  
**have**  $\text{count } A a * \text{count } (B a) b \leq \text{count } C a * \text{count } (D a) b$  **for**  $a b$   
**using** *assms unfolding subteq\_mset\_def* **by** (*metis count\_inI eq\_iff mult\_eq\_0\_iff mult\_le\_mono*)  
**then show** *?thesis*  
**by** (*auto simp: subteq\_mset\_def count\_Sigma\_mset*)  
**qed**

**lemma** *mem\_Sigma\_mset\_iff*[*iff*]:  $((a,b) \in \# \text{Sigma\_mset } A B) = (a \in \# A \wedge b \in \# B a)$   
**by** *blast*

**lemma** *mem\_Times\_mset\_iff*:  $x \in \# A \times \# B \iff \text{fst } x \in \# A \wedge \text{snd } x \in \# B$   
**by** (*induct x*) *simp*

**lemma** *Sigma\_mset\_empty\_iff*:  $(\text{SIGMAMSET } i \in \# I. X i) = \{\#\} \iff (\forall i \in \# I. X i = \{\#\})$   
**by** (*auto simp: Sigma\_mset\_def*)

**lemma** *Times\_mset\_subset\_mset\_cancel1*:  $x \in \# A \implies (A \times \# B \subseteq \# A \times \# C) = (B \subseteq \# C)$   
**by** (*auto simp: subteq\_mset\_def count\_Sigma\_mset*)

**lemma** *Times\_mset\_subset\_mset\_cancel2*:  $x \in \# C \implies (A \times \# C \subseteq \# B \times \# C) = (A \subseteq \# B)$   
**by** (*auto simp: subteq\_mset\_def count\_Sigma\_mset*)

**lemma** *Times\_mset\_eq\_cancel2*:  $x \in \# C \implies (A \times \# C = B \times \# C) = (A = B)$   
**by** (*auto simp: multiset\_eq\_iff count\_Sigma\_mset dest!: in\_countE*)

**lemma** *split\_paired\_Ball\_mset\_Sigma\_mset*[*simp*]:  
 $(\forall z \in \# \text{Sigma\_mset } A B. P z) \iff (\forall x \in \# A. \forall y \in \# B x. P (x, y))$   
**by** *blast*

**lemma** *split\_paired\_Bex\_mset\_Sigma\_mset*[*simp*]:  
 $(\exists z \in \# \text{Sigma\_mset } A B. P z) \iff (\exists x \in \# A. \exists y \in \# B x. P (x, y))$   
**by** *blast*

**lemma** *sum\_mset\_if\_eq\_constant*:  
 $(\sum x \in \# M. \text{if } a = x \text{ then } (f x) \text{ else } 0) = (((+) (f a)) \wedge \wedge (\text{count } M a)) 0$   
**by** (*induction M*) (*auto simp: ac\_simps*)

**lemma** *iterate\_op\_plus*:  $((+) k) \wedge \wedge m) 0 = k * m$   
**by** (*induction m*) *auto*

**lemma** *untion\_image\_mset\_Pair\_distribute*:  
 $\bigcup \# \{\#\text{image\_mset } (\text{Pair } x) (C x). x \in \# J - I\# \} =$   
 $\bigcup \# \{\#\text{image\_mset } (\text{Pair } x) (C x). x \in \# J\# \} - \bigcup \# \{\#\text{image\_mset } (\text{Pair } x) (C x). x \in \# I\# \}$   
**by** (*auto simp: multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant*  
*iterate\_op\_plus diff\_mult\_distrib2*)

**lemma** *Sigma\_mset\_Un\_distrib1*:  $\text{Sigma\_mset } (I \cup\# J) C = \text{Sigma\_mset } I C \cup\# \text{Sigma\_mset } J C$   
**by** (*auto simp*: *Sigma\_mset\_def sup\_subset\_mset\_def union\_image\_mset\_Pair\_distribute*)

**lemma** *Sigma\_mset\_Un\_distrib2*:  $(\text{SIGMAMSET } i \in\# I. A i \cup\# B i) = \text{Sigma\_mset } I A \cup\# \text{Sigma\_mset } I B$   
**by** (*auto simp*: *multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def diff\_mult\_distrib2 iterate\_op\_plus max\_def not\_in\_iff*)

**lemma** *Sigma\_mset\_Int\_distrib1*:  $\text{Sigma\_mset } (I \cap\# J) C = \text{Sigma\_mset } I C \cap\# \text{Sigma\_mset } J C$   
**by** (*auto simp*: *multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def iterate\_op\_plus min\_def not\_in\_iff*)

**lemma** *Sigma\_mset\_Int\_distrib2*:  $(\text{SIGMAMSET } i \in\# I. A i \cap\# B i) = \text{Sigma\_mset } I A \cap\# \text{Sigma\_mset } I B$   
**by** (*auto simp*: *multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def iterate\_op\_plus min\_def not\_in\_iff*)

**lemma** *Sigma\_mset\_Diff\_distrib1*:  $\text{Sigma\_mset } (I - J) C = \text{Sigma\_mset } I C - \text{Sigma\_mset } J C$   
**by** (*auto simp*: *multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def iterate\_op\_plus min\_def not\_in\_iff diff\_mult\_distrib2*)

**lemma** *Sigma\_mset\_Diff\_distrib2*:  $(\text{SIGMAMSET } i \in\# I. A i - B i) = \text{Sigma\_mset } I A - \text{Sigma\_mset } I B$   
**by** (*auto simp*: *multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def iterate\_op\_plus min\_def not\_in\_iff diff\_mult\_distrib*)

**lemma** *Sigma\_mset\_Union*:  $\text{Sigma\_mset } (\bigcup\# X) B = (\bigcup\# (\text{image\_mset } (\lambda A. \text{Sigma\_mset } A B) X))$   
**by** (*auto simp*: *multiset\_eq\_iff count\_sum\_mset count\_image\_mset\_Pair sum\_mset\_if\_eq\_constant Sigma\_mset\_def iterate\_op\_plus min\_def not\_in\_iff sum\_mset\_distrib\_left*)

**lemma** *Times\_mset\_Un\_distrib1*:  $(A \cup\# B) \times\# C = A \times\# C \cup\# B \times\# C$   
**by** (*fact Sigma\_mset\_Un\_distrib1*)

**lemma** *Times\_mset\_Int\_distrib1*:  $(A \cap\# B) \times\# C = A \times\# C \cap\# B \times\# C$   
**by** (*fact Sigma\_mset\_Int\_distrib1*)

**lemma** *Times\_mset\_Diff\_distrib1*:  $(A - B) \times\# C = A \times\# C - B \times\# C$   
**by** (*fact Sigma\_mset\_Diff\_distrib1*)

**lemma** *Times\_mset\_empty[simp]*:  $A \times\# B = \{\#\} \iff A = \{\#\} \vee B = \{\#\}$   
**by** (*auto simp*: *Sigma\_mset\_empty\_iff*)

**lemma** *Times\_insert\_left*:  $A \times\# \text{add\_mset } x B = A \times\# B + \text{image\_mset } (\lambda a. \text{Pair } a x) A$   
**unfolding** *add\_mset\_add\_single*[of *x B*] *Sigma\_mset\_plus\_distrib2*  
**by** (*simp add*: *Times\_mset\_single\_right*)

**lemma** *Times\_insert\_right*:  $\text{add\_mset } a A \times\# B = A \times\# B + \text{image\_mset } (\text{Pair } a) B$   
**unfolding** *add\_mset\_add\_single*[of *a A*] *Sigma\_mset\_plus\_distrib1*  
**by** (*simp add*: *Times\_mset\_single\_left*)

**lemma** *fst\_image\_mset\_times\_mset [simp]*:  
 $\text{image\_mset } \text{fst } (A \times\# B) = (\text{if } B = \{\#\} \text{ then } \{\#\} \text{ else } \text{repeat\_mset } (\text{size } B) A)$   
**by** (*induct B*) (*auto simp*: *Times\_mset\_single\_right ac\_simps Times\_insert\_left*)

**lemma** *snd\_image\_mset\_times\_mset [simp]*:  
 $\text{image\_mset } \text{snd } (A \times\# B) = (\text{if } A = \{\#\} \text{ then } \{\#\} \text{ else } \text{repeat\_mset } (\text{size } A) B)$   
**by** (*induct B*) (*auto simp add*: *Times\_mset\_single\_right Times\_insert\_left image\_mset\_const\_eq*)

**lemma** *product\_swap\_mset*:  $\text{image\_mset } \text{prod.swap } (A \times\# B) = B \times\# A$   
**by** (*induction A*) (*auto simp add*: *Times\_mset\_single\_left Times\_mset\_single\_right Times\_insert\_right Times\_insert\_left*)

**context**  
**begin**

**qualified definition** *product\_mset* :: '*a* multiset  $\Rightarrow$  '*b* multiset  $\Rightarrow$  ('*a*  $\times$  '*b*) multiset **where**

[code\_abbrev]: product\_mset A B = A ×# B

**lemma** member\_product\_mset:  $x \in\# \text{product\_mset } A \ B \longleftrightarrow x \in\# A \times\# B$   
 by (simp add: Multiset\_More.product\_mset\_def)

end

**lemma** count\_Sigma\_mset\_abs\_def:  $\text{count } (\text{Sigma\_mset } A \ B) = (\lambda(a, b) \Rightarrow \text{count } A \ a * \text{count } (B \ a) \ b)$   
 by (auto simp: fun\_eq\_iff count\_Sigma\_mset)

**lemma** Times\_mset\_image\_mset1:  $\text{image\_mset } f \ A \times\# B = \text{image\_mset } (\lambda(a, b). (f \ a, b)) \ (A \times\# B)$   
 by (induct B) (auto simp: Times\_insert\_left)

**lemma** Times\_mset\_image\_mset2:  $A \times\# \text{image\_mset } f \ B = \text{image\_mset } (\lambda(a, b). (a, f \ b)) \ (A \times\# B)$   
 by (induct A) (auto simp: Times\_insert\_right)

**lemma** sum\_le\_singleton:  $A \subseteq \{x\} \Longrightarrow \text{sum } f \ A = (\text{if } x \in A \ \text{then } f \ x \ \text{else } 0)$   
 by (auto simp: subset\_singleton\_iff elim: finite\_subset)

**lemma** Times\_mset\_assoc:  $(A \times\# B) \times\# C = \text{image\_mset } (\lambda(a, b, c). ((a, b), c)) \ (A \times\# B \times\# C)$   
 by (auto simp: multiset\_eq\_iff count\_Sigma\_mset count\_image\_mset vimage\_def Times\_mset\_Times  
 Int\_commute count\_eq\_zero\_iff intro!: trans[OF \_ sym[OF sum\_le\_singleton[of \_ (\_, \_, \_)]]]  
 cong: sum.cong if\_cong)

## 2.11 Transfer Rules

**lemma** plus\_multiset\_transfer[transfer\_rule]:  
 (rel\_fun (rel\_mset R) (rel\_fun (rel\_mset R) (rel\_mset R))) (+) (+)  
 by (unfold rel\_fun\_def rel\_mset\_def)  
 (force dest: list\_all2\_appendI intro: exI[of \_ \_ @ \_] conjI[rotated])

**lemma** minus\_multiset\_transfer[transfer\_rule]:  
 assumes [transfer\_rule]: bi\_unique R  
 shows (rel\_fun (rel\_mset R) (rel\_fun (rel\_mset R) (rel\_mset R))) (-) (-)  
**proof** (unfold rel\_fun\_def rel\_mset\_def, safe)  
 fix xs ys xs' ys'  
 assume [transfer\_rule]: list\_all2 R xs ys list\_all2 R xs' ys'  
 have list\_all2 R (fold remove1 xs' xs) (fold remove1 ys' ys)  
 by transfer\_prover  
 moreover have mset (fold remove1 xs' xs) = mset xs - mset xs'  
 by (induct xs' arbitrary: xs) auto  
 moreover have mset (fold remove1 ys' ys) = mset ys - mset ys'  
 by (induct ys' arbitrary: ys) auto  
 ultimately show  $\exists xs'' ys''.$   
 $mset \ xs'' = mset \ xs - mset \ xs' \wedge mset \ ys'' = mset \ ys - mset \ ys' \wedge \text{list\_all2 } R \ xs'' \ ys''$   
 by blast

qed

declare rel\_mset\_Zero[transfer\_rule]

**lemma** count\_transfer[transfer\_rule]:  
 assumes bi\_unique R  
 shows (rel\_fun (rel\_mset R) (rel\_fun R (=))) count count  
**unfolding** rel\_fun\_def rel\_mset\_def **proof** safe  
 fix x y xs ys  
 assume list\_all2 R xs ys R x y  
 then show count (mset xs) x = count (mset ys) y  
**proof** (induct xs ys rule: list.rel\_induct)  
 case (Cons x' xs y' ys)  
 then show ?case  
 using assms unfolding bi\_unique\_alt\_def2 by (auto simp: rel\_fun\_def)  
 qed simp  
 qed

qed

**lemma** *subseteq\_multiset\_transfer*[*transfer\_rule*]:  
**assumes** [*transfer\_rule*]: *bi\_unique R right\_total R*  
**shows** (*rel\_fun (rel\_mset R) (rel\_fun (rel\_mset R) (=))*)  
 $(\lambda M N. \text{filter\_mset } (Domainp R) M \subseteq\# \text{filter\_mset } (Domainp R) N) (\subseteq\#)$   
**proof** –  
**have** *count\_filter\_mset\_less*:  
 $(\forall a. \text{count } (\text{filter\_mset } (Domainp R) M) a \leq \text{count } (\text{filter\_mset } (Domainp R) N) a) \longleftrightarrow$   
 $(\forall a \in \{x. \text{Domainp } R x\}. \text{count } M a \leq \text{count } N a)$  **for** *M and N by auto*  
**show** *?thesis unfolding subseteq\_mset\_def count\_filter\_mset\_less*  
**by** *transfer\_prover*  
**qed**

**lemma** *sum\_mset\_transfer*[*transfer\_rule*]:  
 $R \ 0 \ 0 \implies \text{rel\_fun } R \ (\text{rel\_fun } R \ R) \ (+) \ (+) \implies (\text{rel\_fun } (\text{rel\_mset } R) \ R) \ \text{sum\_mset } \ \text{sum\_mset}$   
**using** *sum\_list\_transfer*[*of R*] **unfolding** *rel\_fun\_def rel\_mset\_def* **by auto**

**lemma** *Sigma\_mset\_transfer*[*transfer\_rule*]:  
 $(\text{rel\_fun } (\text{rel\_mset } R) \ (\text{rel\_fun } (\text{rel\_fun } R \ (\text{rel\_mset } S)) \ (\text{rel\_mset } (\text{rel\_prod } R \ S))))$   
 $\text{Sigma\_mset } \ \text{Sigma\_mset}$   
**by** (*unfold Sigma\_mset\_def*) *transfer\_prover*

## 2.12 Even More about Multisets

### 2.12.1 Multisets and functions

**lemma** *range\_image\_mset*:  
**assumes** *set\_mset Ds*  $\subseteq$  *range f*  
**shows**  $Ds \in \text{range } (\text{image\_mset } f)$   
**proof** –  
**have**  $\forall D. D \in\# Ds \longrightarrow (\exists C. f \ C = D)$   
**using** *assms* **by blast**  
**then obtain** *f\_i* **where**  
 $f\_p: \forall D. D \in\# Ds \longrightarrow (f \ (f\_i \ D) = D)$   
**bymetis**  
**define** *Cs* **where**  
 $Cs \equiv \text{image\_mset } f\_i \ Ds$   
**from** *f\_p Cs\_def* **have**  $\text{image\_mset } f \ Cs = Ds$   
**by auto**  
**then show** *?thesis*  
**by blast**  
**qed**

### 2.12.2 Multisets and lists

**definition** *list\_of\_mset* :: '*a* multiset  $\Rightarrow$  '*a* list **where**  
 $\text{list\_of\_mset } m = (\text{SOME } l. m = \text{mset } l)$

**lemma** *list\_of\_mset\_exi*:  $\exists l. m = \text{mset } l$   
**using** *ex\_mset* **bymetis**

**lemma** [*simp*]:  $\text{mset } (\text{list\_of\_mset } m) = m$   
**by** (*metis (mono\_tags, lifting) ex\_mset list\_of\_mset\_def someI\_ex*)

**lemma** *range\_mset\_map*:  
**assumes** *set\_mset Ds*  $\subseteq$  *range f*  
**shows**  $Ds \in \text{range } (\lambda Cl. \text{mset } (\text{map } f \ Cl))$   
**proof** –  
**have**  $Ds \in \text{range } (\text{image\_mset } f)$   
**by** (*simp add: assms range\_image\_mset*)  
**then obtain** *Cs* **where**  $Cs\_p: \text{image\_mset } f \ Cs = Ds$   
**by auto**  
**define** *Cl* **where**  $Cl = \text{list\_of\_mset } Cs$   
**then have**  $\text{mset } Cl = Cs$   
**by auto**

```

then have image_mset f (mset Cl) = Ds
  using Cs_p by auto
then have mset (map f Cl) = Ds
  by auto
then show ?thesis
  by auto
qed

```

```

lemma list_of_mset_empty[iff]: list_of_mset m = []  $\leftrightarrow$  m = {#}
  by (metis (mono_tags, lifting) ex_mset list_of_mset_def mset_zero_iff_right someI_ex)

```

```

lemma in_mset_conv_nth: (x  $\in$  # mset xs) = ( $\exists$  i < length xs. xs ! i = x)
  by (auto simp: in_set_conv_nth)

```

```

lemma in_mset_sum_list:
  assumes L  $\in$  # LL
  assumes LL  $\in$  set Ci
  shows L  $\in$  # sum_list Ci
  using assms by (induction Ci) auto

```

```

lemma in_mset_sum_list2:
  assumes L  $\in$  # sum_list Ci
  obtains LL where
    LL  $\in$  set Ci
    L  $\in$  # LL
  using assms by (induction Ci) auto

```

```

lemma subseteq_list_Union_mset:
  assumes length Ci = n
  assumes length CAi = n
  assumes  $\forall$  i < n. Ci ! i  $\subseteq$  # CAi ! i
  shows  $\bigcup$  #mset Ci  $\subseteq$  #  $\bigcup$  #mset CAi
  using assms proof (induction n arbitrary: Ci CAi)
  case 0
  then show ?case by auto

```

```

next
case (Suc n)
from Suc have  $\forall$  i < n. tl Ci ! i  $\subseteq$  # tl CAi ! i
  by (simp add: nth_tl)
hence  $\bigcup$  #mset (tl Ci)  $\subseteq$  #  $\bigcup$  #mset (tl CAi) using Suc by auto
moreover
have hd Ci  $\subseteq$  # hd CAi using Suc
  by (metis hd_conv_nth length_greater_0_conv zero_less_Suc)
ultimately
show  $\bigcup$  #mset Ci  $\subseteq$  #  $\bigcup$  #mset CAi
  using Suc by (cases Ci; cases CAi) (auto intro: subset_mset.add_mono)
qed

```

### 2.12.3 More on multisets and functions

```

lemma subseteq_mset_size_eq: X  $\subseteq$  # Y  $\implies$  size Y = size X  $\implies$  X = Y
  using mset_subset_size subset_mset_def by fastforce

```

```

lemma image_mset_of_subset_list:
  assumes image_mset  $\eta$  C' = mset lC
  shows  $\exists$  qC'. map  $\eta$  qC' = lC  $\wedge$  mset qC' = C'
  using assms apply (induction lC arbitrary: C')
  subgoal by simp
  subgoal by (fastforce dest!: mset_map_invR intro: exI[of _ ( _ # _)])
  done

```

```

lemma image_mset_of_subset:
  assumes A  $\subseteq$  # image_mset  $\eta$  C'
  shows  $\exists$  A'. image_mset  $\eta$  A' = A  $\wedge$  A'  $\subseteq$  # C'

```



```

proof –
  define  $C$  where  $C = \text{image\_mset } \eta \ C'$ 

  define  $lA$  where  $lA = \text{list\_of\_mset } A$ 
  define  $lD$  where  $lD = \text{list\_of\_mset } (C - A)$ 
  define  $lC$  where  $lC = lA \ @ \ lD$ 

  have  $\text{mset } lC = C$ 
    using  $C\_def$  assms unfolding  $lD\_def$   $lC\_def$   $lA\_def$  by auto
  then have  $\exists qC'. \text{map } \eta \ qC' = lC \wedge \text{mset } qC' = C'$ 
    using assms  $\text{image\_mset\_of\_subset\_list}$  unfolding  $C\_def$  by metis
  then obtain  $qC'$  where  $qC'\_p: \text{map } \eta \ qC' = lC \wedge \text{mset } qC' = C'$ 
    by auto
  let  $?lA' = \text{take } (\text{length } lA) \ qC'$ 
  have  $m: \text{map } \eta \ ?lA' = lA$ 
    using  $qC'\_p$   $lC\_def$ 
    by (metis  $\text{append\_eq\_conv\_conj\_take\_map}$ )
  let  $?A' = \text{mset } ?lA'$ 

  have  $\text{image\_mset } \eta \ ?A' = A$ 
    using  $m$  using  $lA\_def$ 
    by (metis ( $\text{full\_types}$ )  $\text{ex\_mset\_list\_of\_mset\_def}$   $\text{mset\_map}$   $\text{someI\_ex}$ )
  moreover have  $?A' \subseteq\# C'$ 
    using  $qC'\_p$  unfolding  $lA\_def$ 
    using  $\text{mset\_take\_subseq}$  by blast
  ultimately show  $?thesis$  by blast
qed

lemma  $\text{all\_the\_same}: \forall x \in\# X. x = y \implies \text{card } (\text{set\_mset } X) \leq \text{Suc } 0$ 
  by (metis  $\text{card.empty}$   $\text{card.insert}$   $\text{card.mono}$   $\text{finite.intros}(1)$   $\text{finite.insert}$   $\text{le\_SucI}$   $\text{singletonI}$   $\text{subsetI}$ )

lemma  $\text{Melem\_subseq\_Union\_mset}[simp]$ :
  assumes  $x \in\# T$ 
  shows  $x \subseteq\# \bigcup\# T$ 
  using assms  $\text{sum\_mset.remove}$  by force

lemma  $\text{Melem\_subset\_eq\_sum\_list}[simp]$ :
  assumes  $x \in\# \text{mset } T$ 
  shows  $x \subseteq\# \text{sum\_list } T$ 
  using assms by (metis  $\text{mset\_subset\_eq\_add\_left}$   $\text{sum\_mset.remove}$   $\text{sum\_mset\_sum\_list}$ )

lemma  $\text{less\_subset\_eq\_Union\_mset}[simp]$ :
  assumes  $i < \text{length } CAi$ 
  shows  $CAi \ ! \ i \subseteq\# \bigcup\# \text{mset } CAi$ 
proof –
  from assms have  $CAi \ ! \ i \in\# \text{mset } CAi$ 
    by auto
  then show  $?thesis$ 
    by auto
qed

lemma  $\text{less\_subset\_eq\_sum\_list}[simp]$ :
  assumes  $i < \text{length } CAi$ 
  shows  $CAi \ ! \ i \subseteq\# \text{sum\_list } CAi$ 
proof –
  from assms have  $CAi \ ! \ i \in\# \text{mset } CAi$ 
    by auto
  then show  $?thesis$ 
    by auto
qed

```

### 3 Signed (Finite) Multisets

```
theory Signed_Multiset
imports Multiset_More
abbrevs
  !z = z
begin
```

#### 3.1 Definition of Signed Multisets

```
definition equiv_zmset :: 'a multiset × 'a multiset ⇒ 'a multiset × 'a multiset ⇒ bool where
  equiv_zmset = (λ(Mp, Mn) (Np, Nn). Mp + Nn = Np + Mn)
```

```
quotient-type 'a zmultipset = 'a multiset × 'a multiset / equiv_zmset
  by (rule equivpI, simp_all add: equiv_zmset_def reflp_def symp_def transp_def)
  (metis multi_union_self_other_eq union_lcomm)
```

#### 3.2 Basic Operations on Signed Multisets

```
instantiation zmultipset :: (type) cancel_comm_monoid_add
begin
```

```
lift-definition zero_zmultipset :: 'a zmultipset is ({#}, {#}) .
```

```
abbreviation empty_zmultipset :: 'a zmultipset ({#}_z) where
  empty_zmultipset ≡ 0
```

```
lift-definition minus_zmultipset :: 'a zmultipset ⇒ 'a zmultipset ⇒ 'a zmultipset is
  λ(Mp, Mn) (Np, Nn). (Mp + Nn, Mn + Np)
  by (auto simp: equiv_zmset_def union_commute union_lcomm)
```

```
lift-definition plus_zmultipset :: 'a zmultipset ⇒ 'a zmultipset ⇒ 'a zmultipset is
  λ(Mp, Mn) (Np, Nn). (Mp + Np, Mn + Nn)
  by (auto simp: equiv_zmset_def union_commute union_lcomm)
```

```
instance
  by (intro_classes; transfer) (auto simp: equiv_zmset_def)
```

end

```
instantiation zmultipset :: (type) group_add
begin
```

```
lift-definition uminus_zmultipset :: 'a zmultipset ⇒ 'a zmultipset is λ(Mp, Mn). (Mn, Mp)
  by (auto simp: equiv_zmset_def add.commute)
```

```
instance
  by (intro_classes; transfer) (auto simp: equiv_zmset_def)
```

end

```
lift-definition zcount :: 'a zmultipset ⇒ 'a ⇒ int is
  λ(Mp, Mn) x. int (count Mp x) - int (count Mn x)
  by (auto simp del: of_nat_add simp: equiv_zmset_def fun_eq_iff multiset_eq_iff diff_eq_eq
    diff_add_eq eq_diff_eq of_nat_add[symmetric])
```

```
lemma zcount_inject: zcount M = zcount N ⟷ M = N
  by transfer (auto simp del: of_nat_add simp: equiv_zmset_def fun_eq_iff multiset_eq_iff
    diff_eq_eq diff_add_eq eq_diff_eq of_nat_add[symmetric])
```

```
lemma zmultipset_eq_iff: M = N ⟷ (∀ a. zcount M a = zcount N a)
  by (simp only: zcount_inject[symmetric] fun_eq_iff)
```

```
lemma zmultipset_eqI: (∧ x. zcount A x = zcount B x) ⟹ A = B
```

using `zmultiset_eq_iff` by `auto`

**lemma** `zcount_uminus[simp]`:  $zcount (- A) x = - zcount A x$   
by `transfer auto`

**lift-definition** `add_zmset` ::  $'a \Rightarrow 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset}$  is  
 $\lambda x (Mp, Mn). (add\_mset x Mp, Mn)$   
by `(auto simp: equiv_zmset_def)`

**syntax**

`_zmultiset` ::  $args \Rightarrow 'a \text{ zmultiset} (\{ \#(\_) \# \}_z)$

**translations**

$\{ \#x, xs \# \}_z == CONST add\_zmset x \{ \#xs \# \}_z$   
 $\{ \#x \# \}_z == CONST add\_zmset x \{ \# \}_z$

**lemma** `zcount_empty[simp]`:  $zcount \{ \# \}_z a = 0$   
by `transfer auto`

**lemma** `zcount_add_zmset[simp]`:  
 $zcount (add\_zmset b A) a = (if b = a then zcount A a + 1 else zcount A a)$   
by `transfer auto`

**lemma** `zcount_single`:  $zcount \{ \#b \# \}_z a = (if b = a then 1 else 0)$   
by `simp`

**lemma** `add_add_same_iff_zmset[simp]`:  $add\_zmset a A = add\_zmset a B \iff A = B$   
by `(auto simp: zmultiset_eq_iff)`

**lemma** `add_zmset_commute`:  $add\_zmset x (add\_zmset y M) = add\_zmset y (add\_zmset x M)$   
by `(auto simp: zmultiset_eq_iff)`

**lemma**

`singleton_ne_empty_zmset[simp]`:  $\{ \#x \# \}_z \neq \{ \# \}_z$  and  
`empty_ne_singleton_zmset[simp]`:  $\{ \# \}_z \neq \{ \#x \# \}_z$   
by `(auto dest!: arg_cong2[of _ _ x _ zcount])`

**lemma**

`singleton_ne_uminus_singleton_zmset[simp]`:  $\{ \#x \# \}_z \neq - \{ \#y \# \}_z$  and  
`uminus_singleton_ne_singleton_zmset[simp]`:  $- \{ \#x \# \}_z \neq \{ \#y \# \}_z$   
by `(auto dest!: arg_cong2[of _ _ x x zcount] split: if_splits)`

### 3.2.1 Conversion to Set and Membership

**definition** `set_zmset` ::  $'a \text{ zmultiset} \Rightarrow 'a \text{ set}$  where  
 $set\_zmset M = \{ x. zcount M x \neq 0 \}$

**abbreviation** `elem_zmset` ::  $'a \Rightarrow 'a \text{ zmultiset} \Rightarrow bool$  where  
 $elem\_zmset a M \equiv a \in set\_zmset M$

**notation**

`elem_zmset` ( $'(\in \#_z)'$ ) and  
`elem_zmset` ( $((\_ / \in \#_z \_)$ ) [51, 51] 50)

**notation** (ASCII)

`elem_zmset` ( $'(:\#z)'$ ) and  
`elem_zmset` ( $((\_ / :\#z \_)$ ) [51, 51] 50)

**abbreviation** `not_elem_zmset` ::  $'a \Rightarrow 'a \text{ zmultiset} \Rightarrow bool$  where  
 $not\_elem\_zmset a M \equiv a \notin set\_zmset M$

**notation**

`not_elem_zmset` ( $'(\notin \#_z)'$ ) and  
`not_elem_zmset` ( $((\_ / \notin \#_z \_)$ ) [51, 51] 50)

**notation** (*ASCII*)

`not_elem_zmset ('~:#z')` and  
`not_elem_zmset ((_/~:#z _) [51, 51] 50)`

**context**

**begin**

**qualified abbreviation** `Ball :: 'a zmultiset  $\Rightarrow$  ('a  $\Rightarrow$  bool)  $\Rightarrow$  bool` **where**

`Ball M  $\equiv$  Set.Ball (set_zmset M)`

**qualified abbreviation** `Bex :: 'a zmultiset  $\Rightarrow$  ('a  $\Rightarrow$  bool)  $\Rightarrow$  bool` **where**

`Bex M  $\equiv$  Set.Bex (set_zmset M)`

**end**

**syntax**

`_MBall :: pptrn  $\Rightarrow$  'a set  $\Rightarrow$  bool  $\Rightarrow$  bool` ( $(\exists \forall \_ \in \#z \_ ./ \_)$  [0, 0, 10] 10)  
`_MBex :: pptrn  $\Rightarrow$  'a set  $\Rightarrow$  bool  $\Rightarrow$  bool` ( $(\exists \exists \_ \in \#z \_ ./ \_)$  [0, 0, 10] 10)

**syntax** (*ASCII*)

`_MBall :: pptrn  $\Rightarrow$  'a set  $\Rightarrow$  bool  $\Rightarrow$  bool` ( $(\exists \forall \_ : \#z \_ ./ \_)$  [0, 0, 10] 10)  
`_MBex :: pptrn  $\Rightarrow$  'a set  $\Rightarrow$  bool  $\Rightarrow$  bool` ( $(\exists \exists \_ : \#z \_ ./ \_)$  [0, 0, 10] 10)

**translations**

$\forall x \in \#z A. P \equiv \text{CONST Signed\_Multiset.Ball } A (\lambda x. P)$

$\exists x \in \#z A. P \equiv \text{CONST Signed\_Multiset.Bex } A (\lambda x. P)$

**lemma** `zcount_eq_zero_iff: zcount M x = 0  $\longleftrightarrow$  x  $\notin$  #z M`

**by** (`auto simp add: set_zmset_def`)

**lemma** `not_in_iff_zmset: x  $\notin$  #z M  $\longleftrightarrow$  zcount M x = 0`

**by** (`auto simp add: zcount_eq_zero_iff`)

**lemma** `zcount_ne_zero_iff[simp]: zcount M x  $\neq$  0  $\longleftrightarrow$  x  $\in$  #z M`

**by** (`auto simp add: set_zmset_def`)

**lemma** `zcount_inI:`

**assumes** `zcount M x = 0  $\implies$  False`

**shows** `x  $\in$  #z M`

**proof** (`rule ccontr`)

**assume** `x  $\notin$  #z M`

**with** `assms show False` **by** (`simp add: not_in_iff_zmset`)

**qed**

**lemma** `set_zmset_empty[simp]: set_zmset {#}z = {}`

**by** (`simp add: set_zmset_def`)

**lemma** `set_zmset_single: set_zmset {#b#}z = {b}`

**by** (`simp add: set_zmset_def`)

**lemma** `set_zmset_eq_empty_iff[simp]: set_zmset M = {}  $\longleftrightarrow$  M = {#}z`

**by** (`auto simp add: zmultiset_eq_iff zcount_eq_zero_iff`)

**lemma** `finite_count_ne: finite {x. count M x  $\neq$  count N x}`

**proof** –

**have** `{x. count M x  $\neq$  count N x}  $\subseteq$  set_mset M  $\cup$  set_mset N`

**by** (`auto simp: not_in_iff`)

**moreover** **have** `finite (set_mset M  $\cup$  set_mset N)`

**by** (`rule finite_UnI[OF finite_set_mset finite_set_mset]`)

**ultimately** **show** `?thesis`

**by** (`rule finite_subset`)

**qed**

**lemma** *finite\_set\_zmset[iff]*: *finite (set\_zmset M)*  
**unfolding** *set\_zmset\_def* **by** *transfer (auto intro: finite\_count\_ne)*

**lemma** *zmultiset\_nonemptyE[elim]*:  
**assumes**  $A \neq \{\#\}_z$   
**obtains**  $x$  **where**  $x \in \#_z A$   
**proof** –  
**have**  $\exists x. x \in \#_z A$   
**by** (*rule ccontr*) (*insert assms, auto*)  
**with that show** *?thesis*  
**by** *blast*  
**qed**

### 3.2.2 Union

**lemma** *zcount\_union[simp]*:  $zcount (M + N) a = zcount M a + zcount N a$   
**by** *transfer auto*

**lemma** *union\_add\_left\_zmset[simp]*:  $add\_zmset a A + B = add\_zmset a (A + B)$   
**by** (*auto simp: zmultiset\_eq\_iff*)

**lemma** *union\_zmset\_add\_zmset\_right[simp]*:  $A + add\_zmset a B = add\_zmset a (A + B)$   
**by** (*auto simp: zmultiset\_eq\_iff*)

**lemma** *add\_zmset\_add\_single*:  $\langle add\_zmset a A = A + \{\#a\# \}_z \rangle$   
**by** (*subst union\_zmset\_add\_zmset\_right, subst add.comm\_neutral*) (*rule refl*)

### 3.2.3 Difference

**lemma** *zcount\_diff[simp]*:  $zcount (M - N) a = zcount M a - zcount N a$   
**by** *transfer auto*

**lemma** *add\_zmset\_diff\_bthsides*:  $\langle add\_zmset a M - add\_zmset a A = M - A \rangle$   
**by** (*auto simp: zmultiset\_eq\_iff*)

**lemma** *in\_diff\_zcount*:  $a \in \#_z M - N \iff zcount N a \neq zcount M a$   
**by** (*fastforce simp: set\_zmset\_def*)

**lemma** *diff\_add\_zmset*:  
**fixes**  $M N Q :: 'a zmultiset$   
**shows**  $M - (N + Q) = M - N - Q$   
**by** (*rule sym*) (*fact diff\_diff\_add*)

**lemma** *insert\_Diff\_zmset[simp]*:  $add\_zmset x (M - \{\#x\# \}_z) = M$   
**by** (*clarsimp simp: zmultiset\_eq\_iff*)

**lemma** *diff\_union\_swap\_zmset*:  $add\_zmset b (M - \{\#a\# \}_z) = add\_zmset b M - \{\#a\# \}_z$   
**by** (*auto simp add: zmultiset\_eq\_iff*)

**lemma** *diff\_add\_zmset\_swap[simp]*:  $add\_zmset b M - A = add\_zmset b (M - A)$   
**by** (*auto simp add: zmultiset\_eq\_iff*)

**lemma** *diff\_diff\_add\_zmset[simp]*:  $(M :: 'a zmultiset) - N - P = M - (N + P)$   
**by** (*rule diff\_diff\_add*)

**lemma** *zmset\_add[elim?]*:  
**obtains**  $B$  **where**  $A = add\_zmset a B$   
**proof** –  
**have**  $A = add\_zmset a (A - \{\#a\# \}_z)$   
**by** *simp*  
**with that show** *thesis* .  
**qed**

### 3.2.4 Equality of Signed Multisets

**lemma** *single\_eq\_single\_zmset*[simp]:  $\{\#a\# \}_z = \{\#b\# \}_z \longleftrightarrow a = b$   
**by** (auto simp add: zmset\_eq\_iff)

**lemma** *multi\_self\_add\_other\_not\_self\_zmset*[simp]:  $M = \text{add\_zmset } x \ M \longleftrightarrow \text{False}$   
**by** (auto simp add: zmset\_eq\_iff)

**lemma** *add\_zmset\_remove\_trivial*:  $(\text{add\_zmset } x \ M - \{\#x\# \}_z = M)$   
**by** simp

**lemma** *diff\_single\_eq\_union\_zmset*:  $M - \{\#x\# \}_z = N \longleftrightarrow M = \text{add\_zmset } x \ N$   
**by** auto

**lemma** *union\_single\_eq\_diff\_zmset*:  $\text{add\_zmset } x \ M = N \implies M = N - \{\#x\# \}_z$   
**unfolding** *add\_zmset\_add\_single*[of \_ M] **by** (fact *add\_implies\_diff*)

**lemma** *add\_zmset\_eq\_conv\_diff*:  
 $\text{add\_zmset } a \ M = \text{add\_zmset } b \ N \longleftrightarrow$   
 $M = N \wedge a = b \vee M = \text{add\_zmset } b \ (N - \{\#a\# \}_z) \wedge N = \text{add\_zmset } a \ (M - \{\#b\# \}_z)$   
**by** (simp add: zmset\_eq\_iff) fastforce

**lemma** *add\_zmset\_eq\_conv\_ex*:  
 $(\text{add\_zmset } a \ M = \text{add\_zmset } b \ N) =$   
 $(M = N \wedge a = b \vee (\exists K. M = \text{add\_zmset } b \ K \wedge N = \text{add\_zmset } a \ K))$   
**by** (auto simp add: add\_zmset\_eq\_conv\_diff)

**lemma** *multi\_member\_split*:  $\exists A. M = \text{add\_zmset } x \ A$   
**by** (rule *exI*[where  $x = M - \{\#x\# \}_z$ ]) simp

## 3.3 Conversions from and to Multisets

**lift-definition** *zmset\_of* ::  $'a \ \text{multiset} \Rightarrow 'a \ \text{zmultiset}$  is  $\lambda f. (\text{Abs\_multiset } f, \{\#\})$  .

**lemma** *zmset\_of\_inject*[simp]:  $\text{zmset\_of } M = \text{zmset\_of } N \longleftrightarrow M = N$   
**by** (simp add: zmset\_of\_def, transfer, auto simp: equiv\_zmset\_def)

**lemma** *zmset\_of\_empty*[simp]:  $\text{zmset\_of } \{\#\} = \{\#\}_z$   
**by** (simp add: zmset\_of\_def zero\_zmultiset\_def)

**lemma** *zmset\_of\_add\_mset*[simp]:  $\text{zmset\_of } (\text{add\_mset } x \ M) = \text{add\_zmset } x \ (\text{zmset\_of } M)$   
**by** transfer (auto simp: equiv\_zmset\_def add\_mset\_def cong: if\_cong)

**lemma** *zcount\_of\_mset*[simp]:  $\text{zcount } (\text{zmset\_of } M) \ x = \text{int } (\text{count } M \ x)$   
**by** (induct M) auto

**lemma** *zmset\_of\_plus*:  $\text{zmset\_of } (M + N) = \text{zmset\_of } M + \text{zmset\_of } N$   
**by** (transfer, auto simp: equiv\_zmset\_def eq\_onp\_same\_args plus\_multiset.abs\_eq)+

**lift-definition** *mset\_pos* ::  $'a \ \text{zmultiset} \Rightarrow 'a \ \text{multiset}$  is  $\lambda(Mp, Mn). \text{count } (Mp - Mn)$   
**by** (clarsimp simp: equiv\_zmset\_def intro!: arg\_cong[of \_ \_ count])  
(metis add.commute add\_diff\_cancel\_right)

**lift-definition** *mset\_neg* ::  $'a \ \text{zmultiset} \Rightarrow 'a \ \text{multiset}$  is  $\lambda(Mp, Mn). \text{count } (Mn - Mp)$   
**by** (clarsimp simp: equiv\_zmset\_def intro!: arg\_cong[of \_ \_ count])  
(metis add.commute add\_diff\_cancel\_right)

**lemma**  
 $\text{zmset\_of\_inverse}$ [simp]:  $\text{mset\_pos } (\text{zmset\_of } M) = M$  **and**  
 $\text{minus\_zmset\_of\_inverse}$ [simp]:  $\text{mset\_neg } (- \text{zmset\_of } M) = M$   
**by** (transfer, simp)+

**lemma** *neg\_zmset\_pos*[simp]:  $\text{mset\_neg } (\text{zmset\_of } M) = \{\#\}$   
**by** (rule *zmset\_of\_inject*[THEN *iffD1*], simp, transfer, auto simp: equiv\_zmset\_def)+

**lemma**

*count\_mset\_pos*[simp]:  $\text{count } (\text{mset\_pos } M) x = \text{nat } (\text{zcount } M x)$  **and**  
*count\_mset\_neg*[simp]:  $\text{count } (\text{mset\_neg } M) x = \text{nat } (- \text{zcount } M x)$   
**by** (*transfer; auto*)**+**

**lemma**

*mset\_pos\_empty*[simp]:  $\text{mset\_pos } \{\#\}_z = \{\#\}$  **and**  
*mset\_neg\_empty*[simp]:  $\text{mset\_neg } \{\#\}_z = \{\#\}$   
**by** (*rule multiset\_eqI, simp*)**+**

**lemma**

*mset\_pos\_singleton*[simp]:  $\text{mset\_pos } \{\#x\# \}_z = \{\#x\# \}$  **and**  
*mset\_neg\_singleton*[simp]:  $\text{mset\_neg } \{\#x\# \}_z = \{\#\}$   
**by** (*rule multiset\_eqI, simp*)**+**

**lemma**

*mset\_pos\_neg\_partition*:  $M = \text{zmset\_of } (\text{mset\_pos } M) - \text{zmset\_of } (\text{mset\_neg } M)$  **and**  
*mset\_pos\_as\_neg*:  $\text{zmset\_of } (\text{mset\_pos } M) = \text{zmset\_of } (\text{mset\_neg } M) + M$  **and**  
*mset\_neg\_as\_pos*:  $\text{zmset\_of } (\text{mset\_neg } M) = \text{zmset\_of } (\text{mset\_pos } M) - M$   
**by** (*rule zmultiset\_eqI, simp*)**+**

**lemma** *mset\_pos\_uminus*[simp]:  $\text{mset\_pos } (- A) = \text{mset\_neg } A$   
**by** (*rule multiset\_eqI, simp*)

**lemma** *mset\_neg\_uminus*[simp]:  $\text{mset\_neg } (- A) = \text{mset\_pos } A$   
**by** (*rule multiset\_eqI, simp*)

**lemma** *mset\_pos\_plus*[simp]:  
 $\text{mset\_pos } (A + B) = (\text{mset\_pos } A - \text{mset\_neg } B) + (\text{mset\_pos } B - \text{mset\_neg } A)$   
**by** (*rule multiset\_eqI, simp*)

**lemma** *mset\_neg\_plus*[simp]:  
 $\text{mset\_neg } (A + B) = (\text{mset\_neg } A - \text{mset\_pos } B) + (\text{mset\_neg } B - \text{mset\_pos } A)$   
**by** (*rule multiset\_eqI, simp*)

**lemma** *mset\_pos\_diff*[simp]:  
 $\text{mset\_pos } (A - B) = (\text{mset\_pos } A - \text{mset\_pos } B) + (\text{mset\_neg } B - \text{mset\_neg } A)$   
**by** (*rule mset\_pos\_plus*[of  $A - B$ , *simplified*])

**lemma** *mset\_neg\_diff*[simp]:  
 $\text{mset\_neg } (A - B) = (\text{mset\_neg } A - \text{mset\_neg } B) + (\text{mset\_pos } B - \text{mset\_pos } A)$   
**by** (*rule mset\_neg\_plus*[of  $A - B$ , *simplified*])

**lemma** *mset\_pos\_neg\_dual*:  
 $\text{mset\_pos } a + \text{mset\_pos } b + (\text{mset\_neg } a - \text{mset\_pos } b) + (\text{mset\_neg } b - \text{mset\_pos } a) =$   
 $\text{mset\_neg } a + \text{mset\_neg } b + (\text{mset\_pos } a - \text{mset\_neg } b) + (\text{mset\_pos } b - \text{mset\_neg } a)$   
**using** [[*linarith\_split\_limit* = 20]] **by** (*rule multiset\_eqI, simp*)

**lemma** *decompose\_zmset\_of2*:

**obtains**  $A B C$  **where**  
 $M = \text{zmset\_of } A + C$  **and**  
 $N = \text{zmset\_of } B + C$

**proof**

**let**  $?A = \text{zmset\_of } (\text{mset\_pos } M + \text{mset\_neg } N)$   
**let**  $?B = \text{zmset\_of } (\text{mset\_pos } N + \text{mset\_neg } M)$   
**let**  $?C = - (\text{zmset\_of } (\text{mset\_neg } M) + \text{zmset\_of } (\text{mset\_neg } N))$

**show**  $M = ?A + ?C$   
**by** (*simp add: zmset\_of\_plus mset\_pos\_neg\_partition*)  
**show**  $N = ?B + ?C$   
**by** (*simp add: zmset\_of\_plus diff\_add\_zmset mset\_pos\_neg\_partition*)

**qed**

### 3.3.1 Pointwise Ordering Induced by $zcount$

**definition**  $subseteq\_zmset :: 'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow bool$  (**infix**  $\subseteq\#_z$  50) **where**  
 $A \subseteq\#_z B \longleftrightarrow (\forall a. zcount\ A\ a \leq zcount\ B\ a)$

**definition**  $subset\_zmset :: 'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow bool$  (**infix**  $\subset\#_z$  50) **where**  
 $A \subset\#_z B \longleftrightarrow A \subseteq\#_z B \wedge A \neq B$

**abbreviation** (*input*)

$supseteq\_zmset :: 'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow bool$  (**infix**  $\supseteq\#_z$  50)

**where**

$supseteq\_zmset\ A\ B \equiv B \subseteq\#_z\ A$

**abbreviation** (*input*)

$supset\_zmset :: 'a\ zmultiset \Rightarrow 'a\ zmultiset \Rightarrow bool$  (**infix**  $\supset\#_z$  50)

**where**

$supset\_zmset\ A\ B \equiv B \subset\#_z\ A$

**notation** (*input*)

$subseteq\_zmset$  (**infix**  $\subseteq\#_z$  50) **and**

$supseteq\_zmset$  (**infix**  $\supseteq\#_z$  50)

**notation** (*ASCII*)

$subseteq\_zmset$  (**infix**  $\subseteq\#_z$  50) **and**

$subset\_zmset$  (**infix**  $\subset\#_z$  50) **and**

$supseteq\_zmset$  (**infix**  $\supseteq\#_z$  50) **and**

$supset\_zmset$  (**infix**  $\supset\#_z$  50)

**interpretation**  $subset\_zmset$ :  $ordered\_ab\_semigroup\_add\_imp\_le$  (+) (-) ( $\subseteq\#_z$ ) ( $\subset\#_z$ )  
**by**  $unfold\_locales$  (*auto simp add: subset\_zmset\_def subseteq\_zmset\_def zmultiset\_eq\_iff*  
*intro: order\_trans antisym*)

**interpretation**  $subset\_zmset$ :

$ordered\_ab\_semigroup\_monoid\_add\_imp\_le$  (+) 0 (-) ( $\subseteq\#_z$ ) ( $\subset\#_z$ )

**by**  $unfold\_locales$

**lemma**  $zmset\_subset\_eqI$ :  $(\bigwedge a. zcount\ A\ a \leq zcount\ B\ a) \Longrightarrow A \subseteq\#_z B$

**by** (*simp add: subseteq\_zmset\_def*)

**lemma**  $zmset\_subset\_eq\_zcount$ :  $A \subseteq\#_z B \Longrightarrow zcount\ A\ a \leq zcount\ B\ a$

**by** (*simp add: subseteq\_zmset\_def*)

**lemma**  $zmset\_subset\_eq\_add\_zmset\_cancel$ :  $(add\_zmset\ a\ A \subseteq\#_z\ add\_zmset\ a\ B \longleftrightarrow A \subseteq\#_z B)$

**unfolding**  $add\_zmset\_add\_single$ [of  $\_ A$ ]  $add\_zmset\_add\_single$ [of  $\_ B$ ]

**by** (*rule subset\_zmset.add\_le\_cancel\_right*)

**lemma**  $zmset\_subset\_eq\_zmultiset\_union\_diff\_commute$ :

$A - B + C = A + C - B$  **for**  $A\ B\ C :: 'a\ zmultiset$

**by** (*simp add: add commute add\_diff\_eq*)

**lemma**  $zmset\_subset\_eq\_insertD$ :  $add\_zmset\ x\ A \subseteq\#_z B \Longrightarrow A \subset\#_z B$

**unfolding**  $subset\_zmset\_def$   $subseteq\_zmset\_def$

**by** (*metis (no\_types) add commute add\_le\_same\_cancel2 zcount\_add\_zmset dual\_order.trans le\_cases*  
*le\_numerical\_extra(2)*)

**lemma**  $zmset\_subset\_insertD$ :  $add\_zmset\ x\ A \subset\#_z B \Longrightarrow A \subset\#_z B$

**by** (*rule zmset\_subset\_eq\_insertD*) (*rule subset\_zmset.less\_imp\_le*)

**lemma**  $subset\_eq\_diff\_conv\_zmset$ :  $A - C \subseteq\#_z B \longleftrightarrow A \subseteq\#_z B + C$

**by** (*simp add: subseteq\_zmset\_def ordered\_ab\_group\_add\_class.diff\_le\_eq*)

**lemma**  $multi\_psub\_of\_add\_self\_zmset$ [*simp*]:  $A \subset\#_z add\_zmset\ x\ A$

**by** (*auto simp: subset\_zmset\_def subseteq\_zmset\_def*)



**lemma** *multi\_psub\_self\_zmset*:  $A \subset\#_z A = \text{False}$   
**by** *simp*

**lemma** *zmset\_subset\_add\_zmset*[*simp*]:  $\text{add\_zmset } x \ N \subset\#_z \text{add\_zmset } x \ M \longleftrightarrow N \subset\#_z M$   
**unfolding** *add\_zmset\_add\_single*[*of \_ N*] *add\_zmset\_add\_single*[*of \_ M*]  
**by** (*fact subset\_zmset.add\_less\_cancel\_right*)

**lemma** *zmset\_of\_subseteq\_iff*[*simp*]:  $\text{zmset\_of } M \subseteq\#_z \text{zmset\_of } N \longleftrightarrow M \subseteq\# \ N$   
**by** (*simp add: subseteq\_zmset\_def subseteq\_mset\_def*)

**lemma** *zmset\_of\_subset\_iff*[*simp*]:  $\text{zmset\_of } M \subset\#_z \text{zmset\_of } N \longleftrightarrow M \subset\# \ N$   
**by** (*simp add: subset\_zmset\_def subset\_mset\_def*)

**lemma**  
*mset\_pos\_supset*:  $A \subseteq\#_z \text{zmset\_of } (\text{mset\_pos } A)$  **and**  
*mset\_neg\_supset*:  $- A \subseteq\#_z \text{zmset\_of } (\text{mset\_neg } A)$   
**by** (*auto intro: zmset\_subset\_eqI*)

**lemma** *subset\_mset\_zmsetE*:  
**assumes**  $M \subset\#_z N$   
**obtains**  $A \ B \ C$  **where**  
 $M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \subset\# \ B$   
**by** (*metis assms decompose\_zmset\_of2 subset\_zmset.add\_less\_cancel\_right zmset\_of\_subset\_iff*)

**lemma** *subseq\_mset\_zmsetE*:  
**assumes**  $M \subseteq\#_z N$   
**obtains**  $A \ B \ C$  **where**  
 $M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \subseteq\# \ B$   
**by** (*metis assms add.commute add.right\_neutral subset\_mset.order\_refl subset\_mset\_def subset\_mset\_zmsetE subset\_zmset\_def zmset\_of\_empty*)

### 3.3.2 Subset is an Order

**interpretation** *subset\_zmset*: *order* ( $\subseteq\#_z$ ) ( $\subset\#_z$ )  
**by** *unfold\_locales*

## 3.4 Replicate and Repeat Operations

**definition** *replicate\_zmset* ::  $\text{nat} \Rightarrow 'a \Rightarrow 'a \ \text{zmultiset}$  **where**  
*replicate\_zmset*  $n \ x = (\text{add\_zmset } x \ \wedge\wedge \ n) \ \{\#\}_z$

**lemma** *replicate\_zmset\_0*[*simp*]:  $\text{replicate\_zmset } 0 \ x = \{\#\}_z$   
**unfolding** *replicate\_zmset\_def* **by** *simp*

**lemma** *replicate\_zmset\_Suc*[*simp*]:  $\text{replicate\_zmset } (\text{Suc } n) \ x = \text{add\_zmset } x \ (\text{replicate\_zmset } n \ x)$   
**unfolding** *replicate\_zmset\_def* **by** (*induct n*) (*auto intro: add.commute*)

**lemma** *count\_replicate\_zmset*[*simp*]:  
 $\text{zcount } (\text{replicate\_zmset } n \ x) \ y = (\text{if } y = x \ \text{then } \text{of\_nat } n \ \text{else } 0)$   
**unfolding** *replicate\_zmset\_def* **by** (*induct n*) *auto*

**fun** *repeat\_zmset* ::  $\text{nat} \Rightarrow 'a \ \text{zmultiset} \Rightarrow 'a \ \text{zmultiset}$  **where**  
*repeat\_zmset*  $0 \ _ = \{\#\}_z$  |  
*repeat\_zmset*  $(\text{Suc } n) \ A = A + \text{repeat\_zmset } n \ A$

**lemma** *count\_repeat\_zmset*[*simp*]:  $\text{zcount } (\text{repeat\_zmset } i \ A) \ a = \text{of\_nat } i * \text{zcount } A \ a$   
**by** (*induct i*) (*auto simp: semiring\_normalization\_rules(3)*)

**lemma** *repeat\_zmset\_right*[*simp*]:  $\text{repeat\_zmset } a \ (\text{repeat\_zmset } b \ A) = \text{repeat\_zmset } (a * b) \ A$   
**by** (*auto simp: zmultiset\_eq\_iff left\_diff\_distrib'*)

**lemma** *left\_diff\_repeat\_zmset\_distrib'*:  
 $\langle i \geq j \implies \text{repeat\_zmset } (i - j) \ u = \text{repeat\_zmset } i \ u - \text{repeat\_zmset } j \ u \rangle$   
**by** (*auto simp: zmultiset\_eq\_iff int\_distrib(3) of\_nat\_diff*)

**lemma** *left\_add\_mult\_distrib\_zmset*:

*repeat\_zmset*  $i$   $u$  + (*repeat\_zmset*  $j$   $u$  +  $k$ ) = *repeat\_zmset*  $(i+j)$   $u$  +  $k$   
**by** (*auto simp*: *zmultiset\_eq\_iff add\_mult\_distrib int\_distrib(1)*)

**lemma** *repeat\_zmset\_distrib*: *repeat\_zmset*  $(m + n)$   $A$  = *repeat\_zmset*  $m$   $A$  + *repeat\_zmset*  $n$   $A$

**by** (*auto simp*: *zmultiset\_eq\_iff Nat.add\_mult\_distrib int\_distrib(1)*)

**lemma** *repeat\_zmset\_distrib2[simp]*:

*repeat\_zmset*  $n$   $(A + B)$  = *repeat\_zmset*  $n$   $A$  + *repeat\_zmset*  $n$   $B$   
**by** (*auto simp*: *zmultiset\_eq\_iff add\_mult\_distrib2 int\_distrib(2)*)

**lemma** *repeat\_zmset\_replicate\_zmset[simp]*: *repeat\_zmset*  $n$   $\{a\}_z$  = *replicate\_zmset*  $n$   $a$

**by** (*auto simp*: *zmultiset\_eq\_iff*)

**lemma** *repeat\_zmset\_distrib\_add\_zmset[simp]*:

*repeat\_zmset*  $n$  (*add\_zmset*  $a$   $A$ ) = *replicate\_zmset*  $n$   $a$  + *repeat\_zmset*  $n$   $A$   
**by** (*auto simp*: *zmultiset\_eq\_iff int\_distrib(2)*)

**lemma** *repeat\_zmset\_empty[simp]*: *repeat\_zmset*  $n$   $\{\#\}_z$  =  $\{\#\}_z$

**by** (*induct*  $n$ ) *simp\_all*

### 3.4.1 Filter (with Comprehension Syntax)

**lift-definition** *filter\_zmset* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ zmultiset} \Rightarrow 'a \text{ zmultiset}$  **is**

$\lambda P (Mp, Mn). (\text{filter\_mset } P Mp, \text{filter\_mset } P Mn)$

**by** (*auto simp del*: *filter\_union\_mset simp*: *equiv\_zmset\_def filter\_union\_mset[symmetric]*)

**syntax** (*ASCII*)

*\_MCollect* ::  $p\text{trn} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ zmultiset}$   $((1\{\#\}_z \text{ :}\#z \_./ \_ \#))$

**syntax**

*\_MCollect* ::  $p\text{trn} \Rightarrow 'a \text{ zmultiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ zmultiset}$   $((1\{\#\}_z \in\#z \_./ \_ \#))$

**translations**

$\{\#x \in\#z M. P\#\} == \text{CONST } \text{filter\_zmset } (\lambda x. P) M$

**lemma** *count\_filter\_zmset[simp]*:

*zcount* (*filter\_zmset*  $P$   $M$ )  $a$  = (*if*  $P$   $a$  *then* *zcount*  $M$   $a$  *else*  $0$ )

**by** *transfer auto*

**lemma** *filter\_empty\_zmset[simp]*: *filter\_zmset*  $P$   $\{\#\}_z$  =  $\{\#\}_z$

**by** (*rule zmultiset\_eqI*) *simp*

**lemma** *filter\_single\_zmset*: *filter\_zmset*  $P$   $\{\#x\#\}_z$  = (*if*  $P$   $x$  *then*  $\{\#x\#\}_z$  *else*  $\{\#\}_z$ )

**by** (*rule zmultiset\_eqI*) *simp*

**lemma** *filter\_union\_zmset[simp]*: *filter\_zmset*  $P$   $(M + N)$  = *filter\_zmset*  $P$   $M$  + *filter\_zmset*  $P$   $N$

**by** (*rule zmultiset\_eqI*) *simp*

**lemma** *filter\_diff\_zmset[simp]*: *filter\_zmset*  $P$   $(M - N)$  = *filter\_zmset*  $P$   $M$  - *filter\_zmset*  $P$   $N$

**by** (*rule zmultiset\_eqI*) *simp*

**lemma** *filter\_add\_zmset[simp]*:

*filter\_zmset*  $P$  (*add\_zmset*  $x$   $A$ ) =

(*if*  $P$   $x$  *then* *add\_zmset*  $x$  (*filter\_zmset*  $P$   $A$ ) *else* *filter\_zmset*  $P$   $A$ )

**by** (*auto simp*: *zmultiset\_eq\_iff*)

**lemma** *zmultiset\_filter\_mono*:

**assumes**  $A \subseteq\#z B$

**shows** *filter\_zmset*  $f$   $A \subseteq\#z$  *filter\_zmset*  $f$   $B$

**using** *assms* **by** (*simp add*: *subseq\_zmset\_def*)

**lemma** *filter\_filter\_zmset*: *filter\_zmset*  $P$  (*filter\_zmset*  $Q$   $M$ ) =  $\{\#x \in\# M. Q x \wedge P x\#\}$

**by** (*auto simp*: *zmultiset\_eq\_iff*)

**lemma**

*filter\_zmset\_True*[simp]:  $\{\#y \in \#_z M. \text{True}\# \} = M$  **and**  
*filter\_zmset\_False*[simp]:  $\{\#y \in \#_z M. \text{False}\# \} = \{\#\}_z$   
**by** (*auto simp: zmset\_eq\_iff*)

### 3.5 Uncategorized

**lemma** *multi\_drop\_mem\_not\_eq\_zmset*:  $B - \{\#c\# \}_z \neq B$   
**by** (*simp add: diff\_single\_eq\_union\_zmset*)

**lemma** *zmset\_partition*:  $M = \{\#x \in \#_z M. P x \#\} + \{\#x \in \#_z M. \neg P x \#\}$   
**by** (*subst zmset\_eq\_iff auto*)

### 3.6 Image

**definition** *image\_zmset* ::  $('a \Rightarrow 'b) \Rightarrow 'a \text{ zmset} \Rightarrow 'b \text{ zmset}$  **where**  
*image\_zmset* *f* *M* =  
*zmset\_of* (*fold\_mset* (*add\_mset*  $\circ$  *f*)  $\{\#\}$  (*mset\_pos* *M*)) -  
*zmset\_of* (*fold\_mset* (*add\_mset*  $\circ$  *f*)  $\{\#\}$  (*mset\_neg* *M*))

### 3.7 Multiset Order

**instantiation** *zmset* :: (*preorder*) *order*  
**begin**

**lift-definition** *less\_zmset* ::  $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow \text{bool}$  **is**  
 $\lambda(Mp, Mn) (Np, Nn). Mp + Nn < Mn + Np$

**proof** (*clarsimp simp: equiv\_zmset\_def*)

**fix** *A1 B2 B1 A2 C1 D2 D1 C2* ::  $'a \text{ multiset}$

**assume**

*ab*:  $A1 + A2 = B1 + B2$  **and**

*cd*:  $C1 + C2 = D1 + D2$

**have**  $A1 + D2 < B2 + C1 \iff A1 + A2 + D2 < A2 + B2 + C1$

**by** *simp*

**also have**  $\dots \iff B1 + B2 + D2 < A2 + B2 + C1$

**unfolding** *ab* **by** (*rule refl*)

**also have**  $\dots \iff B1 + D2 < A2 + C1$

**by** *simp*

**also have**  $\dots \iff B1 + D1 + D2 < A2 + C1 + D1$

**by** *simp*

**also have**  $\dots \iff B1 + C1 + C2 < A2 + C1 + D1$

**using** *cd* **by** (*simp add: add.assoc*)

**also have**  $\dots \iff B1 + C2 < A2 + D1$

**by** *simp*

**finally show**  $A1 + D2 < B2 + C1 \iff B1 + C2 < A2 + D1$

**by** *assumption*

**qed**

**definition** *less\_eq\_zmset* ::  $'a \text{ zmset} \Rightarrow 'a \text{ zmset} \Rightarrow \text{bool}$  **where**  
*less\_eq\_zmset* *M' M*  $\iff M' < M \vee M' = M$

**instance**

**proof** (*(intro\_classes; unfold less\_eq\_zmset\_def; transfer),*  
*auto simp: equiv\_zmset\_def union\_commute*)

**fix** *A1 B1 D C B2 A2* ::  $'a \text{ multiset}$

**assume** *ab*:  $A1 + A2 \neq B1 + B2$

{  
  **assume** *ab1*:  $A1 + C < B1 + D$

  {  
    **assume** *ab2*:  $D + A2 < C + B2$   
    **show**  $A1 + A2 < B1 + B2$

```

proof -
  have f1:  $\bigwedge m. D + A2 + m < C + B2 + m$ 
    using ab2 add_less_cancel_right by blast
  have  $\bigwedge m. C + (A1 + m) < D + (B1 + m)$ 
    by (simp add: ab1 add.commute)
  then have  $D + (A2 + A1) < D + (B1 + B2)$ 
    using f1 by (metis add.assoc add.commute mset_le_trans)
  then show ?thesis
    by (simp add: add.commute)
qed
}
{
  assume ab2:  $D + A2 = C + B2$ 
  show  $A1 + A2 < B1 + B2$ 
  proof -
    have  $\bigwedge m. C + A1 + m < D + B1 + m$ 
      by (simp add: ab1 add.commute)
    then have  $D + (A2 + A1) < D + (B1 + B2)$ 
      by (metis (no_types) ab2 add.assoc add.commute)
    then show ?thesis
      by (simp add: add.commute)
  qed
}
}
{
  assume ab1:  $A1 + C = B1 + D$ 

  {
    assume ab2:  $D + A2 < C + B2$ 
    show  $A1 + A2 < B1 + B2$ 
    proof -
      have  $A1 + (D + A2) < B1 + (D + B2)$ 
        by (metis (no_types) ab1 ab2 add.assoc add_less_cancel_left)
      then show ?thesis
        by simp
    qed
  }
  {
    assume ab2:  $D + A2 = C + B2$ 
    have False
      by (metis (no_types) ab ab1 ab2 add.assoc add.commute add_diff_cancel_right')
    thus  $A1 + A2 < B1 + B2$ 
      by sat
  }
}
}
qed
end

instance zmultiset :: (preorder) ordered_cancel_comm_monoid_add
  by (intro_classes, unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def)

instance zmultiset :: (preorder) ordered_ab_group_add
  by (intro_classes; transfer; auto simp: equiv_zmset_def)

instantiation zmultiset :: (linorder) distrib_lattice
begin

definition inf_zmultiset :: 'a zmultiset  $\Rightarrow$  'a zmultiset  $\Rightarrow$  'a zmultiset where
  inf_zmultiset A B = (if A < B then A else B)

definition sup_zmultiset :: 'a zmultiset  $\Rightarrow$  'a zmultiset  $\Rightarrow$  'a zmultiset where

```

```

sup_zmultiset A B = (if B > A then B else A)

lemma not_lt_iff_ge_zmset:  $\neg x < y \iff x \geq y$  for  $x y :: 'a$  zmultiset
  by (unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def algebra_simps)

instance
  by intro_classes (auto simp: less_eq_zmultiset_def inf_zmultiset_def sup_zmultiset_def
    dest!: not_lt_iff_ge_zmset[THEN iffD1])

end

lemma zmset_of_less:  $zmset\_of\ M < zmset\_of\ N \iff M < N$ 
  by (clarisimp simp: zmset_of_def, transfer, simp)+

lemma zmset_of_le:  $zmset\_of\ M \leq zmset\_of\ N \iff M \leq N$ 
  by (simp_all add: less_eq_zmultiset_def zmset_of_def; transfer; auto simp: equiv_zmset_def)

instance zmultiset :: (preorder) ordered_ab_semigroup_add
  by (intro_classes, unfold less_eq_zmultiset_def, transfer, auto simp: equiv_zmset_def)

lemma uminus_add_conv_diff_mset[cancelation_simproc_pre]:  $\langle -a + b = b - a \rangle$  for  $a :: \langle 'a$  zmultiset  $\rangle$ 
  by (simp add: add commute)

lemma uminus_add_add_uminus[cancelation_simproc_pre]:  $\langle b - a + c = b + c - a \rangle$  for  $a :: \langle 'a$  zmultiset  $\rangle$ 
  by (simp add: uminus_add_conv_diff_mset zmset_subset_eq_zmultiset_union_diff_commute)

lemma add_zmset_eq_add_NO_MATCH[cancelation_simproc_pre]:
   $\langle NO\_MATCH\ \{\#\}_z\ H \implies add\_zmset\ a\ H = \{\#a\#}_z + H \rangle$ 
  by auto

lemma repeat_zmset_iterate_add:  $\langle repeat\_zmset\ n\ M = iterate\_add\ n\ M \rangle$ 
  unfolding iterate_add_def by (induction n) auto

declare repeat_zmset_iterate_add[cancelation_simproc_pre]

declare repeat_zmset_iterate_add[symmetric, cancelation_simproc_post]

simproc-setup zmseteq_cancel_numerals
  (( $l :: 'a$  zmultiset) +  $m = n$  | ( $l :: 'a$  zmultiset) =  $m + n$  |
  add_zmset a m = n | m = add_zmset a n |
  replicate_zmset p a = n | m = replicate_zmset p a |
  repeat_zmset p m = n | m = repeat_zmset p m) =
   $\langle fn\ phi \implies Cancel\_Simprocs.eq\_cancel \rangle$ 

lemma zmset_subseteq_add_iff1:
   $\langle j \leq i \implies (repeat\_zmset\ i\ u + m \subseteq\#_z\ repeat\_zmset\ j\ u + n) = (repeat\_zmset\ (i - j)\ u + m \subseteq\#_z\ n) \rangle$ 
  by (simp add: add commute add_diff_eq left_diff_repeat_zmset_distrib' subset_eq_diff_conv_zmset)

lemma zmset_subseteq_add_iff2:
   $\langle i \leq j \implies (repeat\_zmset\ i\ u + m \subseteq\#_z\ repeat\_zmset\ j\ u + n) = (m \subseteq\#_z\ repeat\_zmset\ (j - i)\ u + n) \rangle$ 
proof -
  assume  $i \leq j$ 
  then have  $\bigwedge z. repeat\_zmset\ j\ (z :: 'a\ zmultiset) - repeat\_zmset\ i\ z = repeat\_zmset\ (j - i)\ z$ 
    by (simp add: left_diff_repeat_zmset_distrib')
  then show ?thesis
    by (metis add commute diff_diff_eq2 subset_eq_diff_conv_zmset)
qed

lemma zmset_subset_add_iff1:
   $\langle j \leq i \implies (repeat\_zmset\ i\ u + m \subseteq\#_z\ repeat\_zmset\ j\ u + n) = (repeat\_zmset\ (i - j)\ u + m \subseteq\#_z\ n) \rangle$ 
  by (simp add: subset_zmset.less_le_not_le zmset_subseteq_add_iff1 zmset_subseteq_add_iff2)

lemma zmset_subset_add_iff2:

```

$(i \leq j \implies (\text{repeat\_zmset } i \ u + m \subset\#_z \text{repeat\_zmset } j \ u + n) = (m \subset\#_z \text{repeat\_zmset } (j - i) \ u + n))$   
**by** (*simp add: subset\_zmset.less\_le\_not\_le zmset\_subseteq\_add\_iff1 zmset\_subseteq\_add\_iff2*)

**ML-file**  $\langle \text{zmultiset\_simprocs.ML} \rangle$

**simproc-setup** *zmsetssubset\_cancel*

$((l::'a \text{zmultiset}) + m \subset\#_z n \mid (l::'a \text{zmultiset}) \subset\#_z m + n \mid$   
 $\text{add\_zmset } a \ m \subset\#_z n \mid m \subset\#_z \text{add\_zmset } a \ n \mid$   
 $\text{replicate\_zmset } p \ a \subset\#_z n \mid m \subset\#_z \text{replicate\_zmset } p \ a \mid$   
 $\text{repeat\_zmset } p \ m \subset\#_z n \mid m \subset\#_z \text{repeat\_zmset } p \ m) =$   
 $\langle \text{fn } \phi \Rightarrow \text{ZMultiset\_Simprocs.subset\_cancel\_zmsets} \rangle$

**simproc-setup** *zmsetsubseteq\_cancel*

$((l::'a \text{zmultiset}) + m \subseteq\#_z n \mid (l::'a \text{zmultiset}) \subseteq\#_z m + n \mid$   
 $\text{add\_zmset } a \ m \subseteq\#_z n \mid m \subseteq\#_z \text{add\_zmset } a \ n \mid$   
 $\text{replicate\_zmset } p \ a \subseteq\#_z n \mid m \subseteq\#_z \text{replicate\_zmset } p \ a \mid$   
 $\text{repeat\_zmset } p \ m \subseteq\#_z n \mid m \subseteq\#_z \text{repeat\_zmset } p \ m) =$   
 $\langle \text{fn } \phi \Rightarrow \text{ZMultiset\_Simprocs.subseteq\_cancel\_zmsets} \rangle$

**instance** *zmultiset* :: (*preorder*) *ordered\_ab\_semigroup\_add\_imp\_le*  
**by** (*intro\_classes; unfold less\_eq\_zmultiset\_def; transfer; auto*)

**simproc-setup** *zmsetless\_cancel*

$((l::'a::\text{preorder } \text{zmultiset}) + m < n \mid (l::'a \text{zmultiset}) < m + n \mid$   
 $\text{add\_zmset } a \ m < n \mid m < \text{add\_zmset } a \ n \mid$   
 $\text{replicate\_zmset } p \ a < n \mid m < \text{replicate\_zmset } p \ a \mid$   
 $\text{repeat\_zmset } p \ m < n \mid m < \text{repeat\_zmset } p \ m) =$   
 $\langle \text{fn } \phi \Rightarrow \text{Cancel\_Simprocs.less\_cancel} \rangle$

**simproc-setup** *zmsetless\_eq\_cancel*

$((l::'a::\text{preorder } \text{zmultiset}) + m \leq n \mid (l::'a \text{zmultiset}) \leq m + n \mid$   
 $\text{add\_zmset } a \ m \leq n \mid m \leq \text{add\_zmset } a \ n \mid$   
 $\text{replicate\_zmset } p \ a \leq n \mid m \leq \text{replicate\_zmset } p \ a \mid$   
 $\text{repeat\_zmset } p \ m \leq n \mid m \leq \text{repeat\_zmset } p \ m) =$   
 $\langle \text{fn } \phi \Rightarrow \text{Cancel\_Simprocs.less\_eq\_cancel} \rangle$

**simproc-setup** *zmsetdiff\_cancel*

$(n + (l::'a \text{zmultiset}) \mid (l::'a \text{zmultiset}) - m \mid$   
 $\text{add\_zmset } a \ m - n \mid m - \text{add\_zmset } a \ n \mid$   
 $\text{replicate\_zmset } p \ r - n \mid m - \text{replicate\_zmset } p \ r \mid$   
 $\text{repeat\_zmset } p \ m - n \mid m - \text{repeat\_zmset } p \ m) =$   
 $\langle \text{fn } \phi \Rightarrow \text{Cancel\_Simprocs.diff\_cancel} \rangle$

**instance** *zmultiset* :: (*linorder*) *linordered\_cancel\_ab\_semigroup\_add*

**by** (*intro\_classes, unfold less\_eq\_zmultiset\_def, transfer, auto simp: equiv\_zmset\_def add commute*)

**lemma** *less\_mset\_zmsetE*:

**assumes**  $M < N$

**obtains**  $A \ B \ C$  **where**

$M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A < B$

**by** (*metis add\_less\_imp\_less\_right assms decompose\_zmset\_of2 zmset\_of\_less*)

**lemma** *less\_eq\_mset\_zmsetE*:

**assumes**  $M \leq N$

**obtains**  $A \ B \ C$  **where**

$M = \text{zmset\_of } A + C$  **and**  $N = \text{zmset\_of } B + C$  **and**  $A \leq B$

**by** (*metis add commute add.right\_neutral assms le\_neq\_trans less\_imp\_le less\_mset\_zmsetE order\_refl zmset\_of\_empty*)

**lemma** *subset\_eq\_imp\_le\_zmset*:  $M \subseteq\#_z N \implies M \leq N$

**by** (*metis (no\_types) add\_mono thms linordered\_semiring(3) subset\_eq\_imp\_le\_multiset subseteq\_mset\_zmsetE zmset\_of\_le*)

```

lemma subset_imp_less_zmset:  $M \subset\#_z N \implies M < N$ 
  by (metis le_neq_trans subset_eq_imp_le_zmset subset_zmset_def)

```

```

lemma lt_imp_ex_zcount_lt:
  assumes m_lt_n:  $M < N$ 
  shows  $\exists y. \text{zcount } M \ y < \text{zcount } N \ y$ 
proof (rule ccontr, clarsimp)
  assume  $\forall y. \neg \text{zcount } M \ y < \text{zcount } N \ y$ 
  hence  $\forall y. \text{zcount } M \ y \geq \text{zcount } N \ y$ 
    by (simp add: leI)
  hence  $M \supseteq\#_z N$ 
    by (simp add: zmset_subset_eqI)
  hence  $M \geq N$ 
    by (simp add: subset_eq_imp_le_zmset)
  thus False
    using m_lt_n by simp

```

qed

```

instance zmultiset :: (preorder) no_top

```

**proof**

```

  fix M :: ('a zmultiset)
  obtain a :: 'a where True by fast
  let ?M = (zmset_of (mset_pos M) + zmset_of (mset_neg M))
  have  $M < \text{add\_zmset } a \ ?M + ?M$ 
    by (subst mset_pos_neg_partition)
    (auto simp: subset_zmset_def subteq_zmset_def zmultiset_eq_iff
      intro!: subset_imp_less_zmset)
  then show  $\langle \exists N. M < N \rangle$ 
    by blast

```

qed

end

## 4 Nested Multisets

```

theory Nested_Multiset
imports HOL-Library.Multiset_Order
begin

```

```

declare multiset.map_comp [simp]
declare multiset.map_cong [cong]

```

### 4.1 Type Definition

```

datatype 'a nmultiset =
  Elem 'a
| MSet 'a nmultiset multiset

```

```

inductive no_elem :: 'a nmultiset  $\Rightarrow$  bool where
  ( $\wedge X. X \in\# M \implies \text{no\_elem } X \implies \text{no\_elem } (MSet \ M)$ )

```

```

inductive-set sub_nmset :: ('a nmultiset  $\times$  'a nmultiset) set where
   $X \in\# M \implies (X, MSet \ M) \in \text{sub\_nmset}$ 

```

```

lemma wf_sub_nmset[simp]: wf sub_nmset

```

**proof** (rule wfUNIVI)

```

  fix P :: 'a nmultiset  $\Rightarrow$  bool and M :: 'a nmultiset
  assume IH:  $\forall M. (\forall N. (N, M) \in \text{sub\_nmset} \longrightarrow P \ N) \longrightarrow P \ M$ 
  show P M
    by (induct M; rule IH[rule_format]) (auto simp: sub_nmset.simps)

```

qed

```

primrec depth_nmset :: 'a nmultiset  $\Rightarrow$  nat ( $|\_|$ ) where

```

$|Elem\ a| = 0$   
 $|MSet\ M| = (let\ X = set\_mset\ (image\_mset\ depth\_nmset\ M)\ in\ if\ X = \{\}\ then\ 0\ else\ Suc\ (Max\ X))$

**lemma**  $depth\_nmset\_MSet: x \in\# M \implies |x| < |MSet\ M|$   
**by** (*auto simp: less\_Suc\_eq\_le*)

**declare**  $depth\_nmset.simps(2)[simp\ del]$

## 4.2 Dershowitz and Manna's Nested Multiset Order

The Dershowitz–Manna extension:

**definition**  $less\_multiset\_ext_{DM} :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ multiset \Rightarrow 'a\ multiset \Rightarrow bool$  **where**  
 $less\_multiset\_ext_{DM}\ R\ M\ N \longleftrightarrow$   
 $(\exists X\ Y. X \neq \{\#\} \wedge X \subseteq\# N \wedge M = (N - X) + Y \wedge (\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge R\ k\ a)))$

**lemma**  $less\_multiset\_ext_{DM}\ imp\_mult:$

**assumes**

$N\_A: set\_mset\ N \subseteq A$  **and**  $M\_A: set\_mset\ M \subseteq A$  **and**  $less: less\_multiset\_ext_{DM}\ R\ M\ N$

**shows**  $(M, N) \in mult\ \{(x, y). x \in A \wedge y \in A \wedge R\ x\ y\}$

**proof** –

**from**  $less$  **obtain**  $X\ Y$  **where**

$X \neq \{\#\}$  **and**  $X \subseteq\# N$  **and**  $M = N - X + Y$  **and**  $\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge R\ k\ a)$

**unfolding**  $less\_multiset\_ext_{DM}\ def$  **by**  $blast$

**with**  $N\_A\ M\_A$  **have**  $(N - X + Y, N - X + X) \in mult\ \{(x, y). x \in A \wedge y \in A \wedge R\ x\ y\}$

**by** (*intro one\_step\_implies\_mult, blast,*

*metis (mono\_tags, lifting) case\_prodI mem\_Collect\_eq mset\_subset\_eqD mset\_subset\_eq\_add\_right subsetCE*)

**with**  $(M = N - X + Y)\ (X \subseteq\# N)$  **show**  $(M, N) \in mult\ \{(x, y). x \in A \wedge y \in A \wedge R\ x\ y\}$

**by** (*simp add: subset\_mset.diff\_add*)

**qed**

**lemma**  $mult\_imp\_less\_multiset\_ext_{DM}:$

**assumes**

$N\_A: set\_mset\ N \subseteq A$  **and**  $M\_A: set\_mset\ M \subseteq A$  **and**

$trans: \forall x \in A. \forall y \in A. \forall z \in A. R\ x\ y \longrightarrow R\ y\ z \longrightarrow R\ x\ z$  **and**

$in\_mult: (M, N) \in mult\ \{(x, y). x \in A \wedge y \in A \wedge R\ x\ y\}$

**shows**  $less\_multiset\_ext_{DM}\ R\ M\ N$

**using**  $in\_mult\ N\_A\ M\_A$  **unfolding**  $mult\_def\ less\_multiset\_ext_{DM}\ def$

**proof** *induct*

**case** (*base*  $N$ )

**then obtain**  $y\ M0\ X$  **where**  $N = add\_mset\ y\ M0$  **and**  $M = M0 + X$  **and**  $\forall a. a \in\# X \longrightarrow R\ a\ y$

**unfolding**  $mult1\_def$  **by** *auto*

**thus** *?case*

**by** (*auto intro: exI[of \_ \{\#y\#}]*)

**next**

**case** (*step*  $N\ N'$ )

**note**  $N\_N'\_in\_mult1 = this(2)$  **and**  $ih = this(3)$  **and**  $N'\_A = this(4)$  **and**  $M\_A = this(5)$

**have**  $N\_A: set\_mset\ N \subseteq A$

**using**  $N\_N'\_in\_mult1\ N'\_A$  **unfolding**  $mult1\_def$  **by** *auto*

**obtain**  $Y\ X$  **where**  $y\_nemp: Y \neq \{\#\}$  **and**  $y\_sub\_N: Y \subseteq\# N$  **and**  $M\_eq: M = N - Y + X$  **and**

$ex\_y: \forall x. x \in\# X \longrightarrow (\exists y. y \in\# Y \wedge R\ x\ y)$

**using**  $ih[OF\ N\_A\ M\_A]$  **by**  $blast$

**obtain**  $z\ M0\ Ya$  **where**  $N'\_eq: N' = M0 + \{\#z\#\}$  **and**  $N\_eq: N = M0 + Ya$  **and**

$z\_gt: \forall y. y \in\# Ya \longrightarrow y \in A \wedge z \in A \wedge R\ y\ z$

**using**  $N\_N'\_in\_mult1[unfolded\ mult1\_def]$  **by** *auto*

**let**  $?Za = Y - Ya + \{\#z\#\}$

**let**  $?Xa = X + Ya + (Y - Ya) - Y$

**have**  $xa\_sub\_x\_ya: set\_mset\ ?Xa \subseteq set\_mset\ (X + Ya)$



```

by (metis diff_subset_eq_self in_diffD subsetI subset_mset.diff_diff_right)

have x_A: set_mset X ⊆ A
  using M_A M_eq by auto
have ya_A: set_mset Ya ⊆ A
  by (simp add: subsetI z_gt)

have ex_y': ∃y. y ∈# Y - Ya + {#z#} ∧ R x y if x_in: x ∈# X + Ya for x
proof (cases x ∈# X)
  case True
  then obtain y where y_in: y ∈# Y and y_gt_x: R x y
    using ex_y by blast
  show ?thesis
  proof (cases y ∈# Ya)
    case False
    hence y ∈# Y - Ya + {#z#}
      using y_in count_greater_zero_iff in_diff_count by fastforce
    thus ?thesis
      using y_gt_x by blast
  next
  case True
  hence y ∈ A and z ∈ A and R y z
    using z_gt by blast+
  hence R x z
    using trans y_gt_x x_A ya_A x_in by (meson subsetCE union_iff)
  thus ?thesis
    by auto
  qed
next
case False
hence x ∈# Ya
  using x_in by auto
hence x ∈ A and z ∈ A and R x z
  using z_gt by blast+
thus ?thesis
  by auto
qed

show ?case
proof (rule exI[of _ ?Za], rule exI[of _ ?Xa], intro conjI)
  show Y - Ya + {#z#} ⊆# N'
    using mset_subset_eq_mono_add subset_eq_diff_conv y_sub_N N_eq N'_eq
    by (simp add: subset_eq_diff_conv)
  next
  show M = N' - (Y - Ya + {#z#}) + (X + Ya + (Y - Ya) - Y)
    unfolding M_eq N_eq N'_eq by (auto simp: multiset_eq_iff)
  next
  show ∀x. x ∈# X + Ya + (Y - Ya) - Y ⟶ (∃y. y ∈# Y - Ya + {#z#} ∧ R x y)
    using ex_y' xa_sub_x_ya by blast
  qed auto
qed

lemma less_multiset_ext_DM_iff_mult:
  assumes
    N_A: set_mset N ⊆ A and M_A: set_mset M ⊆ A and
    trans: ∀x ∈ A. ∀y ∈ A. ∀z ∈ A. R x y ⟶ R y z ⟶ R x z
  shows less_multiset_ext_DM R M N ⟷ (M, N) ∈ mult {(x, y). x ∈ A ∧ y ∈ A ∧ R x y}
  using mult_imp_less_multiset_ext_DM[OF assms] less_multiset_ext_DM_imp_mult[OF N_A M_A] by blast

instantiation nmultiset :: (preorder) preorder
begin

lemma less_multiset_ext_DM_cong[fundef_cong]:

```

$(\wedge X Y k a. X \neq \{\#\} \implies X \subseteq\# N \implies M = (N - X) + Y \implies k \in\# Y \implies R k a = S k a) \implies$   
 $less\_multiset\_ext_{DM} R M N = less\_multiset\_ext_{DM} S M N$   
**unfolding**  $less\_multiset\_ext_{DM\_def}$  **by**  $metis$

**function**  $less\_nmultiset :: 'a\ nmultiset \Rightarrow 'a\ nmultiset \Rightarrow bool$  **where**  
 $less\_nmultiset (Elem\ a) (Elem\ b) \longleftrightarrow a < b$   
 $| less\_nmultiset (Elem\ a) (MSet\ M) \longleftrightarrow True$   
 $| less\_nmultiset (MSet\ M) (Elem\ a) \longleftrightarrow False$   
 $| less\_nmultiset (MSet\ M) (MSet\ N) \longleftrightarrow less\_multiset\_ext_{DM}\ less\_nmultiset\ M\ N$   
**by**  $pat\_completeness\ auto$   
**termination**  
**by**  $(relation\ sub\_mset\ <*\lex*\>\ sub\_mset,\ fastforce,$   
 $metis\ sub\_mset.simps\ in\_lex\_prod\ mset\_subset\_eqD\ mset\_subset\_eq\_add\_right)$

**lemmas**  $less\_nmultiset\_induct =$   
 $less\_nmultiset.induct[case\_names\ Elem\_Elem\ Elem\_MSet\ MSet\_Elem\ MSet\_MSet]$

**lemmas**  $less\_nmultiset\_cases =$   
 $less\_nmultiset.cases[case\_names\ Elem\_Elem\ Elem\_MSet\ MSet\_Elem\ MSet\_MSet]$

**lemma**  $trans\_less\_nmultiset: X < Y \implies Y < Z \implies X < Z$  **for**  $X\ Y\ Z :: 'a\ nmultiset$

**proof**  $(induct\ Max\ \{|X|,\ |Y|,\ |Z|\}\ arbitrary: X\ Y\ Z$   
 $rule: less\_induct)$   
**case**  $less$   
**from**  $less(2,3)$  **show**  $?case$   
**proof**  $(cases\ X; cases\ Y; cases\ Z)$   
**fix**  $M\ N\ N' :: 'a\ nmultiset\ multiset$   
**define**  $A$  **where**  $A = set\_mset\ M \cup set\_mset\ N \cup set\_mset\ N'$   
**assume**  $XYZ: X = MSet\ M\ Y = MSet\ N\ Z = MSet\ N'$   
**then** **have**  $trans: \forall x \in A. \forall y \in A. \forall z \in A. x < y \longrightarrow y < z \longrightarrow x < z$   
**by**  $(auto\ elim!: less(1)[rotated\ -1]\ dest!: depth\_nmset\_MSet\ simp\ add: A\_def)$   
**have**  $set\_mset\ M \subseteq A\ set\_mset\ N \subseteq A\ set\_mset\ N' \subseteq A$   
**unfolding**  $A\_def$  **by**  $auto$   
**with**  $less(2,3)\ XYZ$  **show**  $X < Z$   
**by**  $(auto\ simp: less\_multiset\_ext_{DM}\ iff\_mult[OF\ \_ \_ trans]\ mult\_def)$   
**qed**  $(auto\ elim: less\_trans)$   
**qed**

**lemma**  $irrefl\_less\_nmultiset:$

**fixes**  $X :: 'a\ nmultiset$   
**shows**  $X < X \implies False$   
**proof**  $(induct\ X)$   
**case**  $(MSet\ M)$   
**from**  $MSet(2)$  **show**  $?case$   
**unfolding**  $less\_nmultiset.simps\ less\_multiset\_ext_{DM\_def}$   
**proof**  $safe$   
**fix**  $X\ Y :: 'a\ nmultiset\ multiset$   
**define**  $XY$  **where**  $XY = \{(x, y). x \in\# X \wedge y \in\# Y \wedge y < x\}$   
**then** **have**  $fin: finite\ XY$  **and**  $trans: trans\ XY$   
**by**  $(auto\ simp: trans\_def\ intro: trans\_less\_nmultiset$   
 $finite\_subset[OF\ finite\_cartesian\_product])$   
**assume**  $X \neq \{\#\}\ X \subseteq\# M\ M = M - X + Y$   
**then** **have**  $X = Y$   
**by**  $(auto\ simp: mset\_subset\_eq\_exists\_conv)$   
**with**  $MSet(1)\ \langle X \subseteq\# M \rangle$  **have**  $irrefl\ XY$   
**unfolding**  $XY\_def$  **by**  $(force\ dest: mset\_subset\_eqD\ simp: irrefl\_def)$   
**with**  $trans$  **have**  $acyclic\ XY$   
**by**  $(simp\ add: acyclic\_irrefl)$   
**moreover**  
**assume**  $\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge k < a)$   
**with**  $\langle X = Y \rangle\ \langle X \neq \{\#\} \rangle$  **have**  $\neg\ acyclic\ XY$   
**by**  $(intro\ notI,\ elim\ finite\_acyclic\_wf[OF\ fin,\ elim\_format])$   
 $(auto\ dest!: spec[of\_set\_mset\ Y]\ simp: wf\_eq\_minimal\ XY\_def)$

ultimately show *False* by *blast*  
qed  
qed *simp*

**lemma** *antisym\_less\_nmultipset*:  
**fixes**  $X Y :: 'a \text{ nmultipset}$   
**shows**  $X < Y \implies Y < X \implies \text{False}$   
**using** *trans\_less\_nmultipset irrefl\_less\_nmultipset* by *blast*

**definition** *less\_eq\_nmultipset* ::  $'a \text{ nmultipset} \Rightarrow 'a \text{ nmultipset} \Rightarrow \text{bool}$  **where**  
*less\_eq\_nmultipset*  $X Y = (X < Y \vee X = Y)$

**instance**

**proof** (*intro\_classes, goal\_cases less\_def refl trans*)

**case** (*less\_def x y*)

**then show** *?case*

**unfolding** *less\_eq\_nmultipset\_def* by (*metis irrefl\_less\_nmultipset antisym\_less\_nmultipset*)

**next**

**case** (*refl x*)

**then show** *?case*

**unfolding** *less\_eq\_nmultipset\_def* by *blast*

**next**

**case** (*trans x y z*)

**then show** *?case*

**unfolding** *less\_eq\_nmultipset\_def* by (*metis trans\_less\_nmultipset*)

**qed**

**lemma** *less\_multiset\_ext\_DM\_less*:  $\text{less\_multiset\_ext}_{DM} (<) = (<)$   
**unfolding** *fun\_eq\_iff less\_multiset\_ext\_DM\_def less\_multiset\_DM* by *blast*

**end**

**instantiation** *nmultipset* :: (*order*) *order*

**begin**

**instance**

**proof** (*intro\_classes, goal\_cases antisym*)

**case** (*antisym x y*)

**then show** *?case*

**unfolding** *less\_eq\_nmultipset\_def* by (*metis trans\_less\_nmultipset irrefl\_less\_nmultipset*)

**qed**

**end**

**instantiation** *nmultipset* :: (*linorder*) *linorder*

**begin**

**lemma** *total\_less\_nmultipset*:

**fixes**  $X Y :: 'a \text{ nmultipset}$

**shows**  $\neg X < Y \implies Y \neq X \implies Y < X$

**proof** (*induct X Y rule: less\_nmultipset\_induct*)

**case** (*MSet\_MSet M N*)

**then show** *?case*

**unfolding** *nmultipset.inject less\_nmultipset.simps less\_multiset\_ext\_DM\_less less\_multiset\_HO*

**by** (*metis add\_diff\_cancel\_left' count\_inI diff\_add\_zero\_in\_diff\_count less\_imp\_not\_less mset\_subset\_eq\_multiset\_union\_diff\_commute subset\_mset.order.refl*)

**qed** *auto*

**instance**

**proof** (*intro\_classes, goal\_cases total*)

**case** (*total x y*)

**then show** *?case*

**unfolding** *less\_eq\_nmultipset\_def* by (*metis total\_less\_nmultipset*)

qed

end

**lemma** *less\_depth\_nmset\_imp\_less\_nmultiset*:  $|X| < |Y| \implies X < Y$

**proof** (*induct*  $X Y$  *rule*: *less\_nmultiset\_induct*)

**case** (*MSet\_MSet*  $M N$ )

**then show** *?case*

**proof** (*cases*  $M = \{\#\}$ )

**case** *False*

**with** *MSet\_MSet* **show** *?thesis*

**by** (*auto*  $\bar{0}$   $\bar{4}$  *simp*: *depth\_nmset.simps(2)* *less\_multiset\_ext\_DM\_def* *not\_le* *Max\_gr\_iff*

*intro*: *exI*[*of*  $N$ ] *split*: *if\_splits*)

**qed** (*auto simp*: *depth\_nmset.simps(2)* *less\_multiset\_ext\_DM\_less* *split*: *if\_splits*)

qed *simp\_all*

**lemma** *less\_nmultiset\_imp\_le\_depth\_nmset*:  $X < Y \implies |X| \leq |Y|$

**proof** (*induct*  $X Y$  *rule*: *less\_nmultiset\_induct*)

**case** (*MSet\_MSet*  $M N$ )

**then have**  $M < N$  **by** (*simp add*: *less\_multiset\_ext\_DM\_less*)

**then show** *?case*

**proof** (*cases*  $M = \{\#\}$   $N = \{\#\}$  *rule*: *bool.exhaust*[*case\_product* *bool.exhaust*])

**case** [*simp*]: *False\_False*

**show** *?thesis*

**unfolding** *depth\_nmset.simps(2)* *Let\_def* *False\_False* *Suc\_le\_mono* *set\_image\_mset* *image\_is\_empty*

*set\_mset\_eq\_empty\_iff* *if\_False*

**proof** (*intro* *iffD2*[*OF* *Max\_le\_iff*] *ballI* *iffD2*[*OF* *Max\_ge\_iff*]; (*elim* *imageE*)*?*; *simp*)

**fix**  $X$

**assume** [*simp*]:  $X \in \# M$

**with** *MSet\_MSet(1)*[*of*  $N M X$ , *simplified*]  $\langle M < N \rangle$  **show**  $\exists Y \in \# N. |X| \leq |Y|$

**by** (*meson* *ex\_gt\_imp\_less\_multiset* *less\_asym'* *less\_depth\_nmset\_imp\_less\_nmultiset* *not\_le\_imp\_less*)

**qed**

**qed** (*auto simp*: *depth\_nmset.simps(2)*)

qed *simp\_all*

**lemma** *eq\_mlex\_I*:

**fixes**  $f :: 'a \Rightarrow nat$  **and**  $R :: 'a \Rightarrow 'a \Rightarrow bool$

**assumes**  $\bigwedge X Y. f X < f Y \implies R X Y$  **and** *antisymp*  $R$

**shows**  $\{(X, Y). R X Y\} = f < *mlex* \rangle \{(X, Y). f X = f Y \wedge R X Y\}$

**proof** *safe*

**fix**  $X Y$

**assume**  $R X Y$

**show**  $(X, Y) \in f < *mlex* \rangle \{(X, Y). f X = f Y \wedge R X Y\}$

**proof** (*cases*  $f X f Y$  *rule*: *linorder\_cases*)

**case** *less*

**with**  $\langle R X Y \rangle$  **show** *?thesis*

**by** (*elim* *mlex\_less*)

**next**

**case** *equal*

**with**  $\langle R X Y \rangle$  **show** *?thesis*

**by** (*intro* *mlex\_leq*) *auto*

**next**

**case** *greater*

**from**  $\langle R X Y \rangle$  *assms(1)*[*OF* *greater*]  $\langle antisymp R \rangle$  *greater* **show** *?thesis*

**unfolding** *antisymp\_def* **by** *auto*

**qed**

**next**

**fix**  $X Y$

**assume**  $(X, Y) \in f < *mlex* \rangle \{(X, Y). f X = f Y \wedge R X Y\}$

**then show**  $R X Y$

**unfolding** *mlex\_prod\_def* **by** (*auto simp*: *assms(1)*)

qed

```

instantiation nmultiset :: (wellorder) wellorder
begin

lemma depth_nmset_eq_0[simp]:  $|X| = 0 \longleftrightarrow (X = MSet \{\#\} \vee (\exists x. X = Elem\ x))$ 
  by (cases X; simp add: depth_nmset.simps(2))

lemma depth_nmset_eq_Suc[simp]:  $|X| = Suc\ n \longleftrightarrow$ 
   $(\exists N. X = MSet\ N \wedge (\exists Y \in \# N. |Y| = n) \wedge (\forall Y \in \# N. |Y| \leq n))$ 
  by (cases X; auto simp add: depth_nmset.simps(2) intro!: Max_eqI)
  (metis (no_types, lifting) Max_in_finite_imageI finite_set_mset imageE image_is_empty
  set_mset_eq_empty_iff)

lemma wf_less_nmultiset_depth:
  wf  $\{(X :: 'a\ nmultiset, Y). |X| = i \wedge |Y| = i \wedge X < Y\}$ 
proof (induct i rule: less_induct)
  case (less i)
  define A :: 'a nmultiset set where  $A = \{X. |X| < i\}$ 
  from less have wf ((depth_nmset :: 'a nmultiset  $\Rightarrow$  nat) < *mlex*)
     $(\bigcup j < i. \{(X, Y). |X| = j \wedge |Y| = j \wedge X < Y\})$ 
  by (intro wf_UN wf_mlex) auto
  then have *: wf (mult  $\{(X :: 'a\ nmultiset, Y). X \in A \wedge Y \in A \wedge X < Y\}$ )
  by (intro wf_mult, elim wf_subset) (force simp: A_def mlex_prod_def not_less_iff_gr_or_eq
  dest!: less_depth_nmset_imp_less_nmultiset)
  show ?case
  proof (cases i)
  case 0
  then show ?thesis
  by (auto simp: inj_on_def intro!: wf_subset[OF
  wf_Un[OF wf_map_prod_image[OF wf, of Elem] wf_UN[of Elem 'UNIV  $\lambda x. \{(x, MSet \{\#\})\}]]])$ 
  next
  case (Suc n)
  then show ?thesis
  by (intro wf_subset[OF wf_map_prod_image[OF *, of MSet]])
  (auto 0  $\not\Leftarrow$  simp: map_prod_def image_iff inj_on_def A_def
  dest!: less_multiset_ext_DM_imp_mult[of _ A, rotated -1] split: prod.splits)
  qed
qed

lemma wf_less_nmultiset: wf  $\{(X :: 'a\ nmultiset, Y :: 'a\ nmultiset). X < Y\}$  (is wf ?R)
proof -
  have ?R = depth_nmset < *mlex*  $\{(X, Y). |X| = |Y| \wedge X < Y\}$ 
  by (rule eq_mlex_I) (auto simp: antisym_def less_depth_nmset_imp_less_nmultiset)
  also have  $\{(X, Y). |X| = |Y| \wedge X < Y\} = (\bigcup i. \{(X, Y). |X| = i \wedge |Y| = i \wedge X < Y\})$ 
  by auto
  finally show ?thesis
  by (fastforce intro: wf_mlex wf_Union wf_less_nmultiset_depth)
qed

instance using wf_less_nmultiset unfolding wf_def mem_Collect_eq prod.case by intro_classes metis

end

end

```

## 5 Hereditary(il)y (Finite) Multisets

```

theory Hereditary_Multiset
imports Multiset_More_Nested_Multiset
begin

```

## 5.1 Type Definition

```

datatype hmultiset =
  HMSet (hmsetmset: hmultiset multiset)

lemma hmsetmset_inject[simp]: hmsetmset A = hmsetmset B  $\longleftrightarrow$  A = B
  by (blast intro: hmultiset.expand)

primrec Rep_hmultiset :: hmultiset  $\Rightarrow$  unit nmultiset where
  Rep_hmultiset (HMSet M) = MSet (image_mset Rep_hmultiset M)

primrec (nonexhaustive) Abs_hmultiset :: unit nmultiset  $\Rightarrow$  hmultiset where
  Abs_hmultiset (MSet M) = HMSet (image_mset Abs_hmultiset M)

lemma type_definition_hmultiset: type_definition Rep_hmultiset Abs_hmultiset {X. no_elem X}
proof (unfold_locales, unfold mem_Collect_eq)
  fix X
  show no_elem (Rep_hmultiset X)
    by (induct X) (auto intro!: no_elem.intros)
  show Abs_hmultiset (Rep_hmultiset X) = X
    by (induct X) auto
next
  fix Y :: unit nmultiset
  assume no_elem Y
  thus Rep_hmultiset (Abs_hmultiset Y) = Y
    by (induct Y rule: no_elem.induct) auto
qed

setup-lifting type_definition_hmultiset

lemma HMSet_alt: HMSet = Abs_hmultiset o MSet o image_mset Rep_hmultiset
  by (auto simp: type_definition.Rep_inverse[OF type_definition_hmultiset])

lemma HMSet_transfer[transfer_rule]: rel_fun (rel_mset pcr_hmultiset) pcr_hmultiset MSet HMSet
  unfolding HMSet_alt by (force simp: rel_fun_def multiset.in_rel nmultiset.rel_eq
    pcr_hmultiset_def cr_hmultiset_def
    type_definition.Rep_inverse[OF type_definition_hmultiset] intro!: multiset.map_cong)

```

## 5.2 Restriction of Dershowitz and Manna's Nested Multiset Order

```

instantiation hmultiset :: linorder
begin

lift-definition less_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  bool is (<) .
lift-definition less_eq_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  bool is (≤) .

instance
  by (intro_classes; transfer) auto

end

lemma less_HMSet_iff_less_multiset_ext_DM: HMSet M < HMSet N  $\longleftrightarrow$  less_multiset_ext_DM (<) M N
  unfolding less_multiset_ext_DM_def
proof (transfer, unfold less_nmultiset.simps less_multiset_ext_DM_def, safe)
  fix M N :: unit nmultiset multiset and X Y
  assume *: pred_mset no_elem (N - X + Y) pred_mset no_elem N X  $\neq$  {#}
    X  $\subseteq$  # N  $\forall$  k. k  $\in$  # Y  $\longrightarrow$  ( $\exists$  a. a  $\in$  # X  $\wedge$  k  $<$  a)
  then have X  $\in$  Collect (pred_mset no_elem)
    unfolding multiset.pred_set mem_Collect_eq by (metis rev_subsetD set_mset_mono)
  from *(1) have Y  $\in$  Collect (pred_mset no_elem)
    unfolding multiset.pred_set mem_Collect_eq by (metis add_diff_cancel_left' in_diffD)
  show
     $\exists$  X' ∈ Collect (pred_mset no_elem).  $\exists$  Y' ∈ Collect (pred_mset no_elem).
      X' ≠ {#}  $\wedge$  filter_mset no_elem X' ⊆# filter_mset no_elem N  $\wedge$  N - X + Y = N - X' + Y' ∧

```

$(\forall k \in \text{Collect no\_elem}. k \in \# Y' \longrightarrow (\exists a \in \text{Collect no\_elem}. a \in \# X' \wedge k < a))$   
**by** (*rule*  $\text{be}I[\text{OF\_} \langle X \in \text{Collect}(\text{pred\_mset no\_elem}) \rangle]$ ,  
*rule*  $\text{be}I[\text{OF\_} \langle Y \in \text{Collect}(\text{pred\_mset no\_elem}) \rangle]$ )  
*(insert \*; force simp: set\_mset\_diff multiset.pred\_set multiset\_filter\_mono)*

**next**

**fix**  $M N :: \text{unit nmultiset multiset and } X Y$

**assume** \*:

$\text{pred\_mset no\_elem } (N - X + Y) \text{ pred\_mset no\_elem } N \text{ pred\_mset no\_elem } X \text{ pred\_mset no\_elem } Y$   
 $X \neq \{\#\} \text{ filter\_mset no\_elem } X \subseteq \# \text{ filter\_mset no\_elem } N$   
 $\forall k \in \text{Collect no\_elem}. k \in \# Y \longrightarrow (\exists a \in \text{Collect no\_elem}. a \in \# X \wedge k < a)$

**then have** [*simp*]:  $\text{filter\_mset no\_elem } X = X \text{ filter\_mset no\_elem } N = N$

**unfolding**  $\text{filter\_mset\_eq\_conv}$  **by** (*auto simp: multiset.pred\_set*)

**show**

$\exists X' Y'. X' \neq \{\#\} \wedge X' \subseteq \# N \wedge N - X + Y = N - X' + Y' \wedge$   
 $(\forall k. k \in \# Y' \longrightarrow (\exists a. a \in \# X' \wedge k < a))$

**by** (*rule*  $\text{ex}I[\text{of\_} X]$ , *rule*  $\text{ex}I[\text{of\_} Y]$ ) (*insert \*; auto simp: multiset.pred\_set*)

**qed**

**lemma**  $\text{hmsetmset\_less}[simp]$ :  $\text{hmsetmset } M < \text{hmsetmset } N \longleftrightarrow M < N$

**by** (*cases*  $M$ , *cases*  $N$ , *simp add: less\_multiset\_ext<sub>DM</sub> less\_HMSet\_iff\_less\_multiset\_ext<sub>DM</sub>*)

**lemma**  $\text{hmsetmset\_le}[simp]$ :  $\text{hmsetmset } M \leq \text{hmsetmset } N \longleftrightarrow M \leq N$

**unfolding**  $\text{le\_less hmsetmset\_less}$  **by** (*metis hmultiset.collapse*)

**lemma**  $\text{wf\_less\_hmultiset}$ :  $\text{wf } \{(X :: \text{hmultiset}, Y :: \text{hmultiset}). X < Y\}$

**unfolding**  $\text{wf\_eq\_minimal}$  **by** *transfer (insert wf\_less\_nmultiset[unfolded wf\_eq\_minimal], fast)*

**instance**  $\text{hmultiset} :: \text{wellorder}$

**using**  $\text{wf\_less\_hmultiset}$  **unfolding**  $\text{wf\_def mem\_Collect\_eq prod.case}$  **by** *intro\_classes metis*

**lemma**  $\text{HMSet\_less}[simp]$ :  $\text{HMSet } M < \text{HMSet } N \longleftrightarrow M < N$

**by** (*simp add: less\_HMSet\_iff\_less\_multiset\_ext<sub>DM</sub> less\_multiset\_ext<sub>DM</sub>\_less*)

**lemma**  $\text{HMSet\_le}[simp]$ :  $\text{HMSet } M \leq \text{HMSet } N \longleftrightarrow M \leq N$

**by** (*simp add: hmsetmset\_le[symmetric]*)

**lemma**  $\text{mem\_imp\_less\_HMSet}$ :  $k \in \# L \Longrightarrow k < \text{HMSet } L$

**by** (*induct*  $k$  *arbitrary: L*) (*auto intro: ex\_gt\_imp\_less\_multiset*)

**lemma**  $\text{mem\_hmsetmset\_imp\_less}$ :  $M \in \# \text{hmsetmset } N \Longrightarrow M < N$

**using**  $\text{mem\_imp\_less\_HMSet}$  **by** *force*

### 5.3 Disjoint Union and Truncated Difference

**instantiation**  $\text{hmultiset} :: \text{cancel\_comm\_monoid\_add}$

**begin**

**definition**  $\text{zero\_hmultiset} :: \text{hmultiset where}$

$0 = \text{HMSet } \{\#\}$

**lemma**  $\text{hmsetmset\_empty\_iff}[simp]$ :  $\text{hmsetmset } n = \{\#\} \longleftrightarrow n = 0$

**unfolding**  $\text{zero\_hmultiset\_def}$  **by** (*cases*  $n$ ) *simp*

**lemma**  $\text{hmsetmset\_0}[simp]$ :  $\text{hmsetmset } 0 = \{\#\}$

**by** *simp*

**lemma**

$\text{HMSet\_eq\_0\_iff}[simp]$ :  $\text{HMSet } m = 0 \longleftrightarrow m = \{\#\}$  **and**  
 $\text{zero\_eq\_HMSet}[simp]$ :  $0 = \text{HMSet } m \longleftrightarrow m = \{\#\}$   
**by** (*cases*  $m$ ) (*auto simp: zero\_hmultiset\_def*)

**definition**  $\text{plus\_hmultiset} :: \text{hmultiset} \Rightarrow \text{hmultiset} \Rightarrow \text{hmultiset where}$

$A + B = \text{HMSet } (\text{hmsetmset } A + \text{hmsetmset } B)$

```

definition minus_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where
  A - B = HMSet (hmsetmset A - hmsetmset B)

instance
  by intro_classes (auto simp: zero_hmultiset_def plus_hmultiset_def minus_hmultiset_def)

end

lemma HMSet_plus: HMSet (A + B) = HMSet A + HMSet B
  by (simp add: plus_hmultiset_def)

lemma HMSet_diff: HMSet (A - B) = HMSet A - HMSet B
  by (simp add: minus_hmultiset_def)

lemma hmsetmset_plus: hmsetmset (M + N) = hmsetmset M + hmsetmset N
  by (simp add: plus_hmultiset_def)

lemma hmsetmset_diff: hmsetmset (M - N) = hmsetmset M - hmsetmset N
  by (simp add: minus_hmultiset_def)

lemma diff_diff_add_hmset[simp]: a - b - c = a - (b + c) for a b c :: hmultiset
  by (fact diff_diff_add)

instance hmultiset :: comm_monoid_diff
  by intro_classes (auto simp: zero_hmultiset_def minus_hmultiset_def)

simproc-setup hmseteq_cancel
  ((l::hmultiset) + m = n | (l::hmultiset) = m + n) =
  ⟨fn phi => Cancel_Simprocs.eq_cancel⟩

simproc-setup hmsetdiff_cancel
  (((l::hmultiset) + m) - n | (l::hmultiset) - (m + n)) =
  ⟨fn phi => Cancel_Simprocs.diff_cancel⟩

simproc-setup hmsetless_cancel
  ((l::hmultiset) + m < n | (l::hmultiset) < m + n) =
  ⟨fn phi => Cancel_Simprocs.less_cancel⟩

simproc-setup hmsetless_eq_cancel
  ((l::hmultiset) + m ≤ n | (l::hmultiset) ≤ m + n) =
  ⟨fn phi => Cancel_Simprocs.less_eq_cancel⟩

instance hmultiset :: ordered_cancel_comm_monoid_add
  by intro_classes (simp del: hmsetmset_less add: plus_hmultiset_def order_le_less
    hmsetmset_less[symmetric] less_multiset_ext_DM_less)

instance hmultiset :: ordered_ab_semigroup_add_imp_le
  by intro_classes (simp add: plus_hmultiset_def order_le_less less_multiset_ext_DM_less)

instantiation hmultiset :: order_bot
begin

definition bot_hmultiset :: hmultiset where
  bot_hmultiset = 0

instance
proof (intro_classes, unfold bot_hmultiset_def zero_hmultiset_def, transfer, goal_cases bot_least)
  case (bot_least x)
  thus ?case
    by (induct x rule: no_elem.induct) (auto simp: less_eq_nmultiset_def less_multiset_ext_DM_less)
qed

end

```



```

instance hmultiset :: no_top
proof (intro_classes, goal_cases gt_ex)
  case (gt_ex a)
  have  $a < a + \text{HMSet } \{\#0\}$ 
    by (simp add: zero_hmultiset_def)
  thus ?case
    by (rule exI)
qed

```

**lemma** *le\_minus\_plus\_same\_hmset*:  $m \leq m - n + n$  **for**  $m\ n :: \text{hmultiset}$

```

proof (cases  $m\ n$  rule: hmultiset.exhaust[case_product hmultiset.exhaust])
  case (HMSet_HMSet  $m0\ n0$ )
  note  $m = \text{this}(1)$  and  $n = \text{this}(2)$ 

  {
    assume  $n0 \subseteq\# m0$ 
    hence  $m0 = m0 - n0 + n0$ 
      by simp
  }
  moreover
  {
    assume  $\neg n0 \subseteq\# m0$ 
    hence  $m0 \subset\# m0 - n0 + n0$ 
      by (metis mset_subset_eq_add_right subset_eq_diff_conv subset_mset.dual_order.refl
        subset_mset_def)
    hence  $m0 < m0 - n0 + n0$ 
      by (rule subset_imp_less_mset)
  }
  ultimately show ?thesis
    by (simp (no_asm) add: m n order_le_less_plus_hmultiset_def minus_hmultiset_def) blast
qed

```

## 5.4 Infimum and Supremum

```

instantiation hmultiset :: distrib_lattice
begin

```

```

definition inf_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where
  inf_hmultiset  $A\ B = (\text{if } A < B \text{ then } A \text{ else } B)$ 

```

```

definition sup_hmultiset :: hmultiset  $\Rightarrow$  hmultiset  $\Rightarrow$  hmultiset where
  sup_hmultiset  $A\ B = (\text{if } B > A \text{ then } B \text{ else } A)$ 

```

```

instance
  by intro_classes (auto simp: inf_hmultiset_def sup_hmultiset_def)

```

**end**

## 5.5 Inequalities

```

lemma zero_le_hmset[simp]:  $0 \leq M$  for  $M :: \text{hmultiset}$ 
  by (simp add: order_le_less) (metis hmsetmset_less le_multiset_empty_left hmsetmset_empty_iff)

```

```

lemma
  le_add1_hmset:  $n \leq n + m$  and
  le_add2_hmset:  $n \leq m + n$  for  $n :: \text{hmultiset}$ 
  by simp +

```

```

lemma le_zero_eq_hmset[simp]:  $M \leq 0 \iff M = 0$  for  $M :: \text{hmultiset}$ 
  by (simp add: dual_order.antisym)

```

```

lemma not_less_zero_hmset[simp]:  $\neg M < 0$  for  $M :: \text{hmultiset}$ 

```

```

using not_le zero_le_hmset by blast

lemma not_gr_zero_hmset[simp]:  $\neg 0 < M \iff M = 0$  for  $M :: \text{hmultiset}$ 
  using neqE not_less_zero_hmset by blast

lemma zero_less_iff_neq_zero_hmset:  $0 < M \iff M \neq 0$  for  $M :: \text{hmultiset}$ 
  using not_gr_zero_hmset by blast

lemma zero_less_HMSet_iff[simp]:  $0 < \text{HMSet } M \iff M \neq \{\#\}$ 
  by (simp only: zero_less_iff_neq_zero_hmset HMSet_eq_0_iff)

lemma gr_zeroI_hmset:  $(M = 0 \implies \text{False}) \implies 0 < M$  for  $M :: \text{hmultiset}$ 
  using not_gr_zero_hmset by blast

lemma gr_implies_not_zero_hmset:  $M < N \implies N \neq 0$  for  $M N :: \text{hmultiset}$ 
  by auto

lemma add_eq_0_iff_both_eq_0_hmset[simp]:  $M + N = 0 \iff M = 0 \wedge N = 0$  for  $M N :: \text{hmultiset}$ 
  by (intro add_nonneg_eq_0_iff zero_le_hmset)

lemma trans_less_add1_hmset:  $i < j \implies i < j + m$  for  $i j m :: \text{hmultiset}$ 
  by (metis add_increasing2 leD le_less not_gr_zero_hmset)

lemma trans_less_add2_hmset:  $i < j \implies i < m + j$  for  $i j m :: \text{hmultiset}$ 
  by (simp add: add_commute trans_less_add1_hmset)

lemma trans_le_add1_hmset:  $i \leq j \implies i \leq j + m$  for  $i j m :: \text{hmultiset}$ 
  by (simp add: add_increasing2)

lemma trans_le_add2_hmset:  $i \leq j \implies i \leq m + j$  for  $i j m :: \text{hmultiset}$ 
  by (simp add: add_increasing)

lemma diff_le_self_hmset:  $m - n \leq m$  for  $m n :: \text{hmultiset}$ 
  by (metis add_commute add_right_neutral diff_add_zero diff_diff_add_hmset
    le_minus_plus_same_hmset)

end

```

## 6 Signed Hereditar(il)y (Finite) Multisets

```

theory Signed_Hereditary_Multiset
imports Signed_Multiset Hereditary_Multiset
begin

```

### 6.1 Type Definition

```

typedef zhmultiset = UNIV :: hmultiset zmultiset set
  morphisms zhmsetmset ZHMSet
  by simp

lemmas ZHMSet_inverse[simp] = ZHMSet_inverse[OF UNIV_I]
lemmas ZHMSet_inject[simp] = ZHMSet_inject[OF UNIV_I UNIV_I]

declare
  zhmsetmset_inverse [simp]
  zhmsetmset_inject [simp]

setup-lifting type_definition_zhmultiset

```

### 6.2 Multiset Order

```

instantiation zhmultiset :: linorder
begin

```

**lift-definition**  $less\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow bool$  is ( $<$ ) .  
**lift-definition**  $less\_eq\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow bool$  is ( $\leq$ ) .

**instance**  
 by (*intro\_classes*; *transfer*) *auto*

**end**

**lemmas**  $ZHMSset\_less[simp] = less\_zhmultiset.abs\_eq$   
**lemmas**  $ZHMSset\_le[simp] = less\_eq\_zhmultiset.abs\_eq$   
**lemmas**  $zhmsetmset\_less[simp] = less\_zhmultiset.rep\_eq[symmetric]$   
**lemmas**  $zhmsetmset\_le[simp] = less\_eq\_zhmultiset.rep\_eq[symmetric]$

### 6.3 Embedding and Projections of Syntactic Ordinals

**abbreviation**  $zhmset\_of :: hmultiset \Rightarrow zhmultiset$  **where**  
 $zhmset\_of\ M \equiv ZHMSset\ (zmset\_of\ (hmssetmset\ M))$

**lemma**  $zhmset\_of\_inject[simp]: zhmset\_of\ M = zhmset\_of\ N \longleftrightarrow M = N$   
 by *simp*

**lemma**  $zhmset\_of\_less: zhmset\_of\ M < zhmset\_of\ N \longleftrightarrow M < N$   
 by (*simp add: zmset\_of\_less*)

**lemma**  $zhmset\_of\_le: zhmset\_of\ M \leq zhmset\_of\ N \longleftrightarrow M \leq N$   
 by (*simp add: zmset\_of\_le*)

**abbreviation**  $hmsset\_pos :: zhmultiset \Rightarrow hmultiset$  **where**  
 $hmsset\_pos\ M \equiv HMSset\ (mset\_pos\ (zhmsetmset\ M))$

**abbreviation**  $hmsset\_neg :: zhmultiset \Rightarrow hmultiset$  **where**  
 $hmsset\_neg\ M \equiv HMSset\ (mset\_neg\ (zhmsetmset\ M))$

### 6.4 Disjoint Union and Difference

**instantiation**  $zhmultiset :: cancel\_comm\_monoid\_add$   
**begin**

**lift-definition**  $zero\_zhmultiset :: zhmultiset$  is  $\{\#\}_z$  .

**lift-definition**  $plus\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset$  is  
 $\lambda A\ B.\ A + B$  .

**lift-definition**  $minus\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset$  is  
 $\lambda A\ B.\ A - B$  .

**lemmas**  $ZHMSset\_plus = plus\_zhmultiset.abs\_eq[symmetric]$   
**lemmas**  $ZHMSset\_diff = minus\_zhmultiset.abs\_eq[symmetric]$   
**lemmas**  $zhmsetmset\_plus = plus\_zhmultiset.rep\_eq$   
**lemmas**  $zhmsetmset\_diff = minus\_zhmultiset.rep\_eq$

**lemma**  $zhmset\_of\_plus: zhmset\_of\ (A + B) = zhmset\_of\ A + zhmset\_of\ B$   
 by (*simp add: hmssetmset\_plus ZHMSset\_plus zmset\_of\_plus*)

**lemma**  $hmssetmset\_0[simp]: hmssetmset\ 0 = \{\#\}$   
 by (*rule hmultiset.inject[THEN iffD1]*) (*simp add: zero\_hmultiset\_def*)

**instance**  
 by (*intro\_classes*; *transfer*) (*auto intro: mult.assoc add.commute*)

**end**

**lemma**  $zhmset\_of\_0: zhmset\_of\ 0 = 0$

by (simp add: zero\_zhmultiset\_def)

**lemma** hmset\_pos\_plus:  
 $hmset\_pos (A + B) = (hmset\_pos A - hmset\_neg B) + (hmset\_pos B - hmset\_neg A)$   
 by (simp add: HMSet\_diff HMSet\_plus zhmsetmset\_plus)

**lemma** hmset\_neg\_plus:  
 $hmset\_neg (A + B) = (hmset\_neg A - hmset\_pos B) + (hmset\_neg B - hmset\_pos A)$   
 by (simp add: HMSet\_diff HMSet\_plus zhmsetmset\_plus)

**lemma** zhmset\_pos\_neg\_partition:  $M = zhmset\_of (hmset\_pos M) - zhmset\_of (hmset\_neg M)$   
 by (cases M, simp add: ZHMSet\_diff[symmetric], rule mset\_pos\_neg\_partition)

**lemma** zhmset\_pos\_as\_neg:  $zhmset\_of (hmset\_pos M) = zhmset\_of (hmset\_neg M) + M$   
 using mset\_pos\_as\_neg zhmsetmset\_plus zhmsetmset\_inject by fastforce

**lemma** zhmset\_neg\_as\_pos:  $zhmset\_of (hmset\_neg M) = zhmset\_of (hmset\_pos M) - M$   
 using zhmsetmset\_diff mset\_neg\_as\_pos zhmsetmset\_inject by fastforce

**lemma** hmset\_pos\_neg\_dual:  
 $hmset\_pos a + hmset\_pos b + (hmset\_neg a - hmset\_pos b) + (hmset\_neg b - hmset\_pos a) =$   
 $hmset\_neg a + hmset\_neg b + (hmset\_pos a - hmset\_neg b) + (hmset\_pos b - hmset\_neg a)$   
 by (simp add: HMSet\_plus[symmetric] HMSet\_diff[symmetric]) (rule mset\_pos\_neg\_dual)

**lemma** zhmset\_of\_sum\_list:  $zhmset\_of (sum\_list Ms) = sum\_list (map zhmset\_of Ms)$   
 by (induct Ms) (auto simp: zero\_zhmultiset\_def zhmset\_of\_plus)

**lemma** less\_hmset\_zhmsetE:  
 assumes  $m\_lt\_n: M < N$   
 obtains  $A B C$  where  $M = zhmset\_of A + C$  and  $N = zhmset\_of B + C$  and  $A < B$   
 by (rule less\_mset\_zmsetE[OF m\_lt\_n[folded zhmsetmset\_less]])  
 (metis hmsetmset\_less hmultiset.sel ZHMSet\_plus zhmsetmset\_inverse)

**lemma** less\_eq\_hmset\_zhmsetE:  
 assumes  $m\_le\_n: M \leq N$   
 obtains  $A B C$  where  $M = zhmset\_of A + C$  and  $N = zhmset\_of B + C$  and  $A \leq B$   
 by (rule less\_eq\_mset\_zmsetE[OF m\_le\_n[folded zhmsetmset\_le]])  
 (metis hmsetmset\_le hmultiset.sel ZHMSet\_plus zhmsetmset\_inverse)

**instantiation** zhmultiset :: ab\_group\_add  
 begin

**lift-definition** uminus\_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset is  $\lambda A. - A$ .

**lemmas** ZHMSet\_uminus = uminus\_zhmultiset.abs\_eq[symmetric]  
**lemmas** zhmsetmset\_uminus = uminus\_zhmultiset.rep\_eq

**instance**  
 by (intro\_classes; transfer; simp)

end

## 6.5 Infimum and Supremum

**instance** zhmultiset :: ordered\_cancel\_comm\_monoid\_add  
 by (intro\_classes; transfer) (auto simp: add\_left\_mono)

**instance** zhmultiset :: ordered\_ab\_group\_add  
 by (intro\_classes; transfer; simp)

**instantiation** zhmultiset :: distrib\_lattice  
 begin

**definition** inf\_zhmultiset :: zhmultiset  $\Rightarrow$  zhmultiset  $\Rightarrow$  zhmultiset where

$inf\_zhmultiset\ A\ B = (if\ A < B\ then\ A\ else\ B)$

**definition**  $sup\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset$  **where**  
 $sup\_zhmultiset\ A\ B = (if\ B > A\ then\ B\ else\ A)$

**instance**

**by**  $intro\_classes\ (auto\ simp:\ inf\_zhmultiset\_def\ sup\_zhmultiset\_def)$

**end**

**end**

## 7 Syntactic Ordinals in Cantor Normal Form

**theory** *Syntactic\_Ordinal*

**imports** *Hereditary\_Multiset HOL-Library.Product\_Order HOL-Library.Extended\_Nat*

**begin**

### 7.1 Natural (Hessenberg) Product

**instantiation**  $hmultiset :: comm\_semiring\_1$

**begin**

**abbreviation**  $\omega\_exp :: hmultiset \Rightarrow hmultiset$  ( $\omega^\wedge$ ) **where**  
 $\omega^\wedge \equiv \lambda m. HMSet\ \{\#m\# \}$

**definition**  $one\_hmultiset :: hmultiset$  **where**  
 $1 = \omega^\wedge 0$

**abbreviation**  $\omega :: hmultiset$  **where**  
 $\omega \equiv \omega^\wedge 1$

**definition**  $times\_hmultiset :: hmultiset \Rightarrow hmultiset \Rightarrow hmultiset$  **where**  
 $A * B = HMSet\ (image\_mset\ (case\_prod\ (+))\ (hmultisetmset\ A\ \times\# \ hmultisetmset\ B))$

**lemma**  $hmultisetmset\_times$ :

$hmultisetmset\ (m * n) = image\_mset\ (case\_prod\ (+))\ (hmultisetmset\ m\ \times\# \ hmultisetmset\ n)$

**unfolding**  $times\_hmultiset\_def$  **by**  $simp$

**instance**

**proof** ( $intro\_classes,\ goal\_cases\ assoc\ comm\ one\ distrib\_plus\ zeroL\ zeroR\ zero\_one$ )

**case** ( $assoc\ a\ b\ c$ )

**thus**  $?case$

**by** ( $auto\ simp:\ times\_hmultiset\_def\ Times\_mset\_image\_mset1\ Times\_mset\_image\_mset2$   
 $Times\_mset\_assoc\ ac\_simps\ intro:\ multiset.map\_cong$ )

**next**

**case** ( $comm\ a\ b$ )

**thus**  $?case$

**unfolding**  $times\_hmultiset\_def$

**by** ( $subst\ product\_swap\_mset[symmetric]$ ) ( $auto\ simp:\ ac\_simps\ intro:\ multiset.map\_cong$ )

**next**

**case** ( $one\ a$ )

**thus**  $?case$

**by** ( $auto\ simp:\ one\_hmultiset\_def\ times\_hmultiset\_def\ Times\_mset\_single\_left$ )

**next**

**case** ( $distrib\_plus\ a\ b\ c$ )

**thus**  $?case$

**by** ( $auto\ simp:\ plus\_hmultiset\_def\ times\_hmultiset\_def$ )

**next**

**case** ( $zeroL\ a$ )

**thus**  $?case$

**by** ( $auto\ simp:\ times\_hmultiset\_def$ )

**next**

```

case (zeroR a)
thus ?case
  by (auto simp: times_hmultiset_def)
next
case zero_one
thus ?case
  by (auto simp: one_hmultiset_def)
qed

```

end

```

lemma empty_times_left_hmset[simp]: HSet {#} * M = 0
  by (simp add: times_hmultiset_def)

```

```

lemma empty_times_right_hmset[simp]: M * HSet {#} = 0
  by (metis mult_zero_right zero_hmultiset_def)

```

```

lemma singleton_times_left_hmset[simp]:  $\omega^M * N = HSet (image_mset ((+) M) (hmsetmset N))$ 
  by (simp add: times_hmultiset_def Times_mset_single_left)

```

```

lemma singleton_times_right_hmset[simp]:  $N * \omega^M = HSet (image_mset ((+) M) (hmsetmset N))$ 
  by (metis mult_commute singleton_times_left_hmset)

```

## 7.2 Inequalities

```

definition plus_nmultiset :: unit nmultiset  $\Rightarrow$  unit nmultiset  $\Rightarrow$  unit nmultiset where
  plus_nmultiset X Y = Rep_hmultiset (Abs_hmultiset X + Abs_hmultiset Y)

```

```

lemma plus_nmultiset_mono:
  assumes less:  $(X, Y) < (X', Y')$  and no_elem: no_elem X no_elem Y no_elem X' no_elem Y'
  shows plus_nmultiset X Y < plus_nmultiset X' Y'
  using less[unfolded less_le_not_le] no_elem
  by (auto simp: plus_nmultiset_def plus_hmultiset_def less_multiset_ext_DM_less less_eq_nmultiset_def
    union_less_mono_type_definition.Abs_inverse[OF type_definition_hmultiset, simplified]
    elim!: no_elem.cases)

```

```

lemma plus_hmultiset_transfer[transfer_rule]:
  (rel_fun pcr_hmultiset (rel_fun pcr_hmultiset pcr_hmultiset)) plus_nmultiset (+)
  unfolding rel_fun_def plus_nmultiset_def pcr_hmultiset_def nmultiset.rel_eq eq_OO cr_hmultiset_def
  by (auto simp: type_definition.Rep_inverse[OF type_definition_hmultiset])

```

```

lemma Times_mset_monoL:
  assumes less:  $M < N$  and Z_nemp:  $Z \neq \{#\}$ 
  shows  $M \times\# Z < N \times\# Z$ 

```

proof -

obtain Y X where

$Y_{nemp}: Y \neq \{#\}$  and  $Y_{sub_N}: Y \subseteq\# N$  and  $M_{eq}: M = N - Y + X$  and

$ex_Y: \forall x. x \in\# X \longrightarrow (\exists y. y \in\# Y \wedge x < y)$

using less[unfolded less\_multiset\_DM] by blast

let ?X =  $X \times\# Z$

let ?Y =  $Y \times\# Z$

show ?thesis

unfolding less\_multiset\_DM

proof (intro exI conjI)

show  $M \times\# Z = N \times\# Z - ?Y + ?X$

unfolding M\_eq by (auto simp: Sigma\_mset\_Diff\_distrib1)

next

obtain y where  $y: \forall x. x \in\# X \longrightarrow y x \in\# Y \wedge x < y x$

using ex\_Y by moura

show  $\forall x. x \in\# ?X \longrightarrow (\exists y. y \in\# ?Y \wedge x < y)$

proof (intro allI impI)

```

fix x
assume x ∈# ?X
thus ∃y. y ∈# ?Y ∧ x < y
  using y by (intro exI[of _ (y (fst x), snd x)]) (auto simp: less_le_not_le)
qed
qed (auto simp: Z_nemp Y_nemp Y_sub_N Sigma_mset_mono)
qed

```

```

lemma times_hmultiset_monoL:
  a < b ⇒ 0 < c ⇒ a * c < b * c for a b c :: hmultiset
by (cases a, cases b, cases c, hypsubst_thin,
  unfold times_hmultiset_def zero_hmultiset_def hmultiset.sel, transfer,
  auto simp: less_multiset_extDM_less multiset.pred_set
  intro!: image_mset_strict_mono Times_mset_monoL elim!: plus_nmultiset_mono)

```

```

instance hmultiset :: linordered_semiring_strict
by intro_classes (subst (1 2) mult.commute, rule times_hmultiset_monoL)

```

```

lemma mult_le_mono1_hmset: i ≤ j ⇒ i * k ≤ j * k for i j k :: hmultiset
by (simp add: mult_right_mono)

```

```

lemma mult_le_mono2_hmset: i ≤ j ⇒ k * i ≤ k * j for i j k :: hmultiset
by (simp add: mult_left_mono)

```

```

lemma mult_le_mono_hmset: i ≤ j ⇒ k ≤ l ⇒ i * k ≤ j * l for i j k l :: hmultiset
by (simp add: mult_mono)

```

```

lemma less_iff_add1_le_hmset: m < n ⇔ m + 1 ≤ n for m n :: hmultiset
proof (cases m n rule: hmultiset.exhaust[case_product hmultiset.exhaust])
  case (HMSet_HMSet m0 n0)
  note m = this(1) and n = this(2)

```

**show** ?thesis

```

proof (simp add: m n one_hmultiset_def plus_hmultiset_def order.order_iff_strict
  less_multiset_extDM_less, intro iffI)
  assume m0_lt_n0: m0 < n0
  note
  m0_ne_n0 = m0_lt_n0[unfolded less_multiset_HO, THEN conjunct1] and
  ex_n0_gt_m0 = m0_lt_n0[unfolded less_multiset_HO, THEN conjunct2, rule_format]

```

```

{
  assume zero_m0_gt_n0: add_mset 0 m0 > n0
  note
  n0_ne_0m0 = zero_m0_gt_n0[unfolded less_multiset_HO, THEN conjunct1] and
  ex_0m0_gt_n0 = zero_m0_gt_n0[unfolded less_multiset_HO, THEN conjunct2, rule_format]

```

```

{
  fix y
  assume m0y_lt_n0y: count m0 y < count n0 y

  have ∃x > y. count n0 x < count m0 x
  proof (cases count (add_mset 0 m0) y < count n0 y)
  case True
  then obtain aa where
    aa_gt_y: aa > y and
    count_n0aa_lt_count_0m0aa: count n0 aa < count (add_mset 0 m0) aa
  using ex_0m0_gt_n0 by blast
  have aa ≠ 0
  by (rule gr_implies_not_zero_hmset[OF aa_gt_y])
  hence count (add_mset 0 m0) aa = count m0 aa
  by simp
  thus ?thesis
  using count_n0aa_lt_count_0m0aa aa_gt_y by auto

```

```

next
  case not_0m0_y_lt_n0y: False
  hence y_eq_0: y = 0
    by (metis count_add_mset m0y_lt_n0y)
  have sm0y_eq_n0y: Suc (count m0 y) = count n0 y
    using m0y_lt_n0y not_0m0_y_lt_n0y count_add_mset[of 0 0] unfolding y_eq_0 by simp

  obtain bb where count n0 bb < count (add_mset 0 m0) bb
    using lt_imp_ex_count_lt[OF zero_m0_gt_n0] by blast
  hence n0bb_lt_m0bb: count n0 bb < count m0 bb
    unfolding count_add_mset by (metis (full_types) less_irrefl_nat sm0y_eq_n0y y_eq_0)
  hence bb ≠ 0
    using sm0y_eq_n0y y_eq_0 by auto
  thus ?thesis
    unfolding y_eq_0 using n0bb_lt_m0bb not_gr_zero_hmset by blast
qed
}
hence n0 < m0
  unfolding less_multiset_HO using m0_ne_n0 by blast
hence False
  using m0_lt_n0 by simp
}
thus add_mset 0 m0 < n0 ∨ add_mset 0 m0 = n0
  using antisym_conv3 by blast
next
  assume add_mset 0 m0 < n0 ∨ add_mset 0 m0 = n0
  thus m0 < n0
    using dual_order.strict_trans le_multiset_right_total by blast
qed
qed

lemma zero_less_iff_1_le_hmset: 0 < n ↔ 1 ≤ n for n :: hmultiset
  by (rule less_iff_add1_le_hmset[of 0, simplified])

lemma less_add_1_iff_le_hmset: m < n + 1 ↔ m ≤ n for m n :: hmultiset
  by (rule less_iff_add1_le_hmset[of m n + 1, simplified])

instance hmultiset :: ordered_cancel_comm_semiring
  by intro_classes (simp add: mult_le_mono2_hmset)

instance hmultiset :: zero_less_one
  by intro_classes (simp add: zero_less_iff_neq_zero_hmset)

instance hmultiset :: linordered_semiring_1_strict
  by intro_classes

instance hmultiset :: bounded_lattice_bot
  by intro_classes

instance hmultiset :: linordered_nonzero_semiring
  by intro_classes simp

instance hmultiset :: semiring_no_zero_divisors
  by intro_classes (use mult_pos_pos not_gr_zero_hmset in blast)

lemma lt_1_iff_eq_0_hmset: M < 1 ↔ M = 0 for M :: hmultiset
  by (simp add: less_iff_add1_le_hmset)

lemma zero_less_mult_iff_hmset[simp]: 0 < m * n ↔ 0 < m ∧ 0 < n for m n :: hmultiset
  using mult_eq_0_iff_not_gr_zero_hmset by blast

lemma one_le_mult_iff_hmset[simp]: 1 ≤ m * n ↔ 1 ≤ m ∧ 1 ≤ n for m n :: hmultiset
  by (metis lt_1_iff_eq_0_hmset mult_eq_0_iff_not_le)

```



**lemma** *mult\_less\_cancel2\_hmset[simp]*:  $m * k < n * k \iff 0 < k \wedge m < n$  **for**  $k m n :: \text{hmultiset}$   
**by** (*metis* *gr\_zeroI\_hmset* *leD* *leI* *le\_cases* *mult\_right\_mono* *mult\_zero\_right* *times\_hmultiset\_monoL*)

**lemma** *mult\_less\_cancel1\_hmset[simp]*:  $k * m < k * n \iff 0 < k \wedge m < n$  **for**  $k m n :: \text{hmultiset}$   
**by** (*simp* *add: mult.commute*[of  $k$ ])

**lemma** *mult\_le\_cancel1\_hmset[simp]*:  $k * m \leq k * n \iff (0 < k \implies m \leq n)$  **for**  $k m n :: \text{hmultiset}$   
**by** (*simp* *add: linorder\_not\_less*[*symmetric*], *auto*)

**lemma** *mult\_le\_cancel2\_hmset[simp]*:  $m * k \leq n * k \iff (0 < k \implies m \leq n)$  **for**  $k m n :: \text{hmultiset}$   
**by** (*simp* *add: linorder\_not\_less*[*symmetric*], *auto*)

**lemma** *mult\_le\_cancel\_left1\_hmset*:  $y > 0 \implies x \leq x * y$  **for**  $x y :: \text{hmultiset}$   
**by** (*metis* *zero\_less\_iff\_1\_le\_hmset* *mult.commute* *mult.left\_neutral* *mult\_le\_cancel2\_hmset*)

**lemma** *mult\_le\_cancel\_left2\_hmset*:  $y \leq 1 \implies x * y \leq x$  **for**  $x y :: \text{hmultiset}$   
**by** (*metis* *mult.commute* *mult.left\_neutral* *mult\_le\_cancel2\_hmset*)

**lemma** *mult\_le\_cancel\_right1\_hmset*:  $y > 0 \implies x \leq y * x$  **for**  $x y :: \text{hmultiset}$   
**by** (*subst* *mult.commute*) (*fact* *mult\_le\_cancel\_left1\_hmset*)

**lemma** *mult\_le\_cancel\_right2\_hmset*:  $y \leq 1 \implies y * x \leq x$  **for**  $x y :: \text{hmultiset}$   
**by** (*subst* *mult.commute*) (*fact* *mult\_le\_cancel\_left2\_hmset*)

**lemma** *le\_square\_hmset*:  $m \leq m * m$  **for**  $m :: \text{hmultiset}$   
**using** *mult\_le\_cancel\_left1\_hmset* **by** *force*

**lemma** *le\_cube\_hmset*:  $m \leq m * (m * m)$  **for**  $m :: \text{hmultiset}$   
**using** *mult\_le\_cancel\_left1\_hmset* **by** *force*

**lemma**  
*less\_imp\_minus\_plus\_hmset*:  $m < n \implies k < k - m + n$  **and**  
*le\_imp\_minus\_plus\_hmset*:  $m \leq n \implies k \leq k - m + n$  **for**  $k m n :: \text{hmultiset}$   
**by** (*meson* *add\_less\_cancel\_left* *leD* *le\_minus\_plus\_same\_hmset* *less\_le\_trans* *not\_le\_imp\_less*)**+**

**lemma** *gt\_0\_lt\_mult\_gt\_1\_hmset*:  
**fixes**  $m n :: \text{hmultiset}$   
**assumes**  $m > 0$  **and**  $n > 1$   
**shows**  $m < m * n$   
**using** *assms* **by** (*metis* *mult.right\_neutral* *mult\_less\_cancel1\_hmset*)

**instance** *hmultiset* :: *linordered\_comm\_semiring\_strict*  
**by** *intro\_classes* *simp*

### 7.3 Embedding of Natural Numbers

**lemma** *of\_nat\_hmset*:  $\text{of\_nat } n = \text{HMSet } (\text{replicate\_mset } n \ 0)$   
**by** (*induct*  $n$ ) (*auto* *simp: zero\_hmultiset\_def one\_hmultiset\_def plus\_hmultiset\_def*)

**lemma** *of\_nat\_inject\_hmset[simp]*:  $(\text{of\_nat } m :: \text{hmultiset}) = \text{of\_nat } n \iff m = n$   
**unfolding** *of\_nat\_hmset* **by** *simp*

**lemma** *of\_nat\_minus\_hmset*:  $\text{of\_nat } (m - n) = (\text{of\_nat } m :: \text{hmultiset}) - \text{of\_nat } n$   
**unfolding** *of\_nat\_hmset* *minus\_hmultiset\_def* **by** *simp*

**lemma** *plus\_of\_nat\_plus\_of\_nat\_hmset*:  
 $k + \text{of\_nat } m + \text{of\_nat } n = k + \text{of\_nat } (m + n)$  **for**  $k :: \text{hmultiset}$   
**by** *simp*

**lemma** *plus\_of\_nat\_minus\_of\_nat\_hmset*:  
**fixes**  $k :: \text{hmultiset}$   
**assumes**  $n \leq m$   
**shows**  $k + \text{of\_nat } m - \text{of\_nat } n = k + \text{of\_nat } (m - n)$

using *assms* by (*metis* *add.left\_commute* *add\_diff\_cancel\_left'* *le\_add\_diff\_inverse* *of\_nat\_add*)

**lemma** *of\_nat\_lt\_omega*[*simp*]: *of\_nat n < omega*  
 by (*auto simp*: *of\_nat\_hmset* *zero\_less\_iff\_neq\_zero\_hmset* *less\_multiset\_extDM\_less*)

**lemma** *of\_nat\_ne\_omega*[*simp*]: *of\_nat n ≠ omega*  
 by (*simp add*: *neq\_iff*)

**lemma** *of\_nat\_less\_hmset*[*simp*]: (*of\_nat M :: hmset*) < *of\_nat N*  $\longleftrightarrow$  *M < N*  
 unfolding *of\_nat\_hmset* *less\_multiset\_extDM\_less* by *simp*

**lemma** *of\_nat\_le\_hmset*[*simp*]: (*of\_nat M :: hmset*)  $\leq$  *of\_nat N*  $\longleftrightarrow$  *M ≤ N*  
 unfolding *of\_nat\_hmset* *order\_le\_less* *less\_multiset\_extDM\_less* by *simp*

**lemma** *of\_nat\_times\_omega\_exp*: *of\_nat n \* omega^m = HSet (replicate\_mset n m)*  
 by (*induct* *n*) (*simp\_all add*: *hmsetmset\_plus\_one\_hmultiset\_def*)

**lemma** *omega\_exp\_times\_of\_nat*: *omega^m \* of\_nat n = HSet (replicate\_mset n m)*  
 using *of\_nat\_times\_omega\_exp* by *simp*

## 7.4 Embedding of Extended Natural Numbers

**primrec** *hmset\_of\_enat* :: *enat*  $\Rightarrow$  *hmset* **where**  
*hmset\_of\_enat (enat n) = of\_nat n*  
 | *hmset\_of\_enat infinity = omega*

**lemma** *hmset\_of\_enat\_0*[*simp*]: *hmset\_of\_enat 0 = 0*  
 by (*simp add*: *zero\_enat\_def*)

**lemma** *hmset\_of\_enat\_1*[*simp*]: *hmset\_of\_enat 1 = 1*  
 by (*simp add*: *one\_enat\_def* *del*: *One\_nat\_def*)

**lemma** *hmset\_of\_enat\_of\_nat*[*simp*]: *hmset\_of\_enat (of\_nat n) = of\_nat n*  
 using *of\_nat\_eq\_enat* by *auto*

**lemma** *hmset\_of\_enat\_numeral*[*simp*]: *hmset\_of\_enat (numeral n) = numeral n*  
 by (*simp add*: *numeral\_eq\_enat*)

**lemma** *hmset\_of\_enat\_le\_omega*[*simp*]: *hmset\_of\_enat n ≤ omega*  
 using *of\_nat\_lt\_omega*[*THEN less\_imp\_le*] by (*cases* *n*) *auto*

**lemma** *hmset\_of\_enat\_eq\_omega\_iff*[*simp*]: *hmset\_of\_enat n = omega*  $\longleftrightarrow$  *n = infinity*  
 by (*cases* *n*) *auto*

## 7.5 Head Omega

**definition** *head\_omega* :: *hmset*  $\Rightarrow$  *hmset* **where**  
*head\_omega M = (if M = 0 then 0 else omega^(Max (set\_mset (hmsetmset M))))*

**lemma** *head\_omega\_subseteq*: *hmsetmset (head\_omega M) ⊆# hmsetmset M*  
 unfolding *head\_omega\_def* by *simp*

**lemma** *head\_omega\_eq\_0\_iff*[*simp*]: *head\_omega m = 0*  $\longleftrightarrow$  *m = 0*  
 unfolding *head\_omega\_def* *zero\_hmultiset\_def* by *simp*

**lemma** *head\_omega\_0*[*simp*]: *head\_omega 0 = 0*  
 by *simp*

**lemma** *head\_omega\_1*[*simp*]: *head\_omega 1 = 1*  
 unfolding *head\_omega\_def* *one\_hmultiset\_def* by *simp*

**lemma** *head\_omega\_of\_nat*[*simp*]: *head\_omega (of\_nat n) = (if n = 0 then 0 else 1)*  
 unfolding *head\_omega\_def* *one\_hmultiset\_def* *of\_nat\_hmset* by *simp*

**lemma** *head\_ω\_numeral*[simp]:  $\text{head}_\omega (\text{numeral } n) = 1$   
**by** (*metis head\_ω\_of\_nat of\_nat\_numeral zero\_neq\_numeral*)

**lemma** *head\_ω\_ω*[simp]:  $\text{head}_\omega \omega = \omega$   
**unfolding** *head\_ω\_def* **by** *simp*

**lemma** *le\_imp\_head\_ω\_le*:  
**assumes** *m\_le\_n*:  $m \leq n$   
**shows**  $\text{head}_\omega m \leq \text{head}_\omega n$

**proof** –

**have** *le\_in\_le\_max*:  $\bigwedge a M N. M \leq N \implies a \in \# M \implies a \leq \text{Max} (\text{set\_mset } N)$   
**by** (*metis (no\_types) Max\_ge finite\_set\_mset le\_less less\_eq\_multiset\_HO linorder\_not\_less mem\_Collect\_eq neq0\_conv order\_trans set\_mset\_def*)

**show** *?thesis*

**using** *m\_le\_n* **unfolding** *head\_ω\_def*

**by** (*cases m, cases n,*

*auto simp del: hmsetmset\_le simp: head\_ω\_def hmsetmset\_le[symmetric] zero\_hmultiset\_def,*

*metis Max\_in dual\_order.antisym finite\_set\_mset le\_in\_le\_max le\_less set\_mset\_eq\_empty\_iff*)

**qed**

**lemma** *head\_ω\_lt\_imp\_lt*:  $\text{head}_\omega m < \text{head}_\omega n \implies m < n$

**unfolding** *head\_ω\_def* *hmsetmset\_less[symmetric]*

**by** (*rule all\_lt\_Max\_imp\_lt\_mset, auto simp: zero\_hmultiset\_def split: if\_splits*)

**lemma** *head\_ω\_plus*[simp]:  $\text{head}_\omega (m + n) = \text{sup} (\text{head}_\omega m) (\text{head}_\omega n)$

**proof** (*cases m n rule: hmultiset.exhaust[case\_product hmultiset.exhaust]*)

**case** *m\_n*: (*HMSet HMSet M N*)

**show** *?thesis*

**proof** (*cases Max\_mset M < Max\_mset N*)

**case** *True*

**thus** *?thesis*

**unfolding** *m\_n head\_ω\_def sup\_hmultiset\_def zero\_hmultiset\_def plus\_hmultiset\_def*

**by** (*simp add: Max.union max\_def dual\_order.strict\_implies\_order*)

**next**

**case** *False*

**thus** *?thesis*

**unfolding** *m\_n head\_ω\_def sup\_hmultiset\_def zero\_hmultiset\_def plus\_hmultiset\_def*

**by** *simp (metis False Max.union finite\_set\_mset leI max\_def set\_mset\_eq\_empty\_iff sup commute)*

**qed**

**qed**

**lemma** *head\_ω\_times*[simp]:  $\text{head}_\omega (m * n) = \text{head}_\omega m * \text{head}_\omega n$

**proof** (*cases m = 0 ∨ n = 0*)

**case** *False*

**hence** *m\_nz*:  $m \neq 0$  **and** *n\_nz*:  $n \neq 0$

**by** *simp+*

**define**  $\delta$  **where**  $\delta = \text{hmsetmset } m$

**define**  $\varepsilon$  **where**  $\varepsilon = \text{hmsetmset } n$

**have**  $\delta\_nemp$ :  $\delta \neq \{\#\}$

**unfolding**  $\delta\_def$  **using** *m\_nz* **by** *simp*

**have**  $\varepsilon\_nemp$ :  $\varepsilon \neq \{\#\}$

**unfolding**  $\varepsilon\_def$  **using** *n\_nz* **by** *simp*

**let**  $?D = \text{set\_mset } \delta$

**let**  $?E = \text{set\_mset } \varepsilon$

**let**  $?DE = \{z. \exists x \in ?D. \exists y \in ?E. z = x + y\}$

**have**  $\text{max}_D\_in$ :  $\text{Max } ?D \in ?D$

**using**  $\delta\_nemp$  **by** *simp*

**have**  $\text{max}_E\_in$ :  $\text{Max } ?E \in ?E$

**using**  $\varepsilon\_nemp$  **by** *simp*

```

have Max ?DE = Max ?D + Max ?E
proof (rule order_antisym, goal_cases le ge)
  case le
  have  $\bigwedge x y. x \in ?D \implies y \in ?E \implies x + y \leq \text{Max } ?D + \text{Max } ?E$ 
  by (simp add: add_mono)
  hence mem_imp_le:  $\bigwedge z. z \in ?DE \implies z \leq \text{Max } ?D + \text{Max } ?E$ 
  by auto
  show ?case
  by (intro mem_imp_le Max_in, simp, use  $\delta\_nemp \ \varepsilon\_nemp$  in fast)
next
  case ge
  have  $\{z. \exists x \in \{\text{Max } ?D\}. \exists y \in \{\text{Max } ?E\}. z = x + y\} \subseteq \{z. \exists x \in \# \delta. \exists y \in \# \varepsilon. z = x + y\}$ 
  using max_D_in max_E_in by fast
  thus ?case
  by simp
qed
thus ?thesis
  unfolding  $\delta\_def \ \varepsilon\_def$  by (auto simp: head_omega_def image_def times_hmultiset_def)
qed auto

```

## 7.6 More Inequalities and Some Equalities

```

lemma zero_lt_omega[simp]:  $0 < \omega$ 
  by (metis of_nat_lt_omega of_nat_0)

lemma one_lt_omega[simp]:  $1 < \omega$ 
  by (metis enat_defs(2) hmultiset_of_enat.simps(1) hmultiset_of_enat_1 of_nat_lt_omega)

lemma numeral_lt_omega[simp]: numeral  $n < \omega$ 
  using hmultiset_of_enat_numeral[symmetric] hmultiset_of_enat.simps(1) of_nat_lt_omega numeral_eq_enat
  by presburger

lemma one_le_omega[simp]:  $1 \leq \omega$ 
  by (simp add: less_imp_le)

lemma of_nat_le_omega[simp]: of_nat  $n \leq \omega$ 
  by (simp add: le_less)

lemma numeral_le_omega[simp]: numeral  $n \leq \omega$ 
  by (simp add: less_imp_le)

lemma not_omega_lt_1[simp]:  $\neg \omega < 1$ 
  by (simp add: not_less)

lemma not_omega_lt_of_nat[simp]:  $\neg \omega < \text{of\_nat } n$ 
  by (simp add: not_less)

lemma not_omega_lt_numeral[simp]:  $\neg \omega < \text{numeral } n$ 
  by (simp add: not_less)

lemma not_omega_le_1[simp]:  $\neg \omega \leq 1$ 
  by (simp add: not_le)

lemma not_omega_le_of_nat[simp]:  $\neg \omega \leq \text{of\_nat } n$ 
  by (simp add: not_le)

lemma not_omega_le_numeral[simp]:  $\neg \omega \leq \text{numeral } n$ 
  by (simp add: not_le)

lemma zero_ne_omega[simp]:  $0 \neq \omega$ 
  by (metis not_omega_le_1 zero_le_hmultiset)

lemma one_ne_omega[simp]:  $1 \neq \omega$ 

```

```

using not_ω_le_1 by force

lemma numeral_ne_ω[simp]: numeral n ≠ ω
  by (metis not_ω_le_numeral numeral_le_ω)

lemma
  ω_ne_0[simp]: ω ≠ 0 and
  ω_ne_1[simp]: ω ≠ 1 and
  ω_ne_of_nat[simp]: ω ≠ of_nat m and
  ω_ne_numeral[simp]: ω ≠ numeral n
  using zero_ne_ω one_ne_ω of_nat_ne_ω numeral_ne_ω by metis+

lemma
  hmset_of_enat_inject[simp]: hmset_of_enat m = hmset_of_enat n ↔ m = n and
  hmset_of_enat_less[simp]: hmset_of_enat m < hmset_of_enat n ↔ m < n and
  hmset_of_enat_le[simp]: hmset_of_enat m ≤ hmset_of_enat n ↔ m ≤ n
  by (cases m; cases n; simp)+

lemma lt_ω_imp_ex_of_nat:
  assumes M_lt_ω: M < ω
  shows ∃n. M = of_nat n
proof -
  have M_lt_single_1: hmsetmset M < {#1#}
    by (rule M_lt_ω[unfolded hmsetmset_less[symmetric] less_multiset_ext_DM_less hmultiset.sel])

  have N = 0 if N ∈# hmsetmset M for N
  proof -
    have 0 < count (hmsetmset M) N
      using that by auto
    hence N < 1
      by (metis (no_types) M_lt_single_1 count_single_gr_implies_not0 less_eq_multiset_HO less_one
        neq_iff_not_le)
    thus ?thesis
      by (simp add: lt_1_iff_eq_0_hmset)
  qed

  then obtain n where hmmM: M = HMSet (replicate_mset n 0)
    using ex_replicate_mset_if_all_elems_eq by (metis hmultiset.collapse)
  show ?thesis
    unfolding hmmM of_nat_hmset by blast
qed

lemma le_ω_imp_ex_hmset_of_enat:
  assumes M_le_ω: M ≤ ω
  shows ∃n. M = hmset_of_enat n
proof (cases M = ω)
  case True
  thus ?thesis
    by (metis hmset_of_enat.simps(2))
next
  case False
  thus ?thesis
    using M_le_ω lt_ω_imp_ex_of_nat by (metis hmset_of_enat.simps(1) le_less)
qed

lemma lt_ω_lt_ω_imp_times_lt_ω: M < ω ⇒ N < ω ⇒ M * N < ω
  by (metis lt_ω_imp_ex_of_nat of_nat_lt_ω of_nat_mult)

lemma times_ω_minus_of_nat[simp]: m * ω - of_nat n = m * ω
  by (auto intro!: Diff_triv_mset simp: times_hmultiset_def minus_hmultiset_def
    Times_mset_single_right of_nat_hmset disjunct_not_in_image_def)

lemma times_ω_minus_numeral[simp]: m * ω - numeral n = m * ω
  by (metis of_nat_numeral times_ω_minus_of_nat)

```

**lemma**  $\omega\_minus\_of\_nat[simp]$ :  $\omega - of\_nat\ n = \omega$   
**using**  $times\_omega\_minus\_of\_nat[of\ 1]$  **by**  $(metis\ mult.left\_neutral)$

**lemma**  $\omega\_minus\_1[simp]$ :  $\omega - 1 = \omega$   
**using**  $\omega\_minus\_of\_nat[of\ 1]$  **by**  $simp$

**lemma**  $\omega\_minus\_numeral[simp]$ :  $\omega - numeral\ n = \omega$   
**using**  $times\_omega\_minus\_numeral[of\ 1]$  **by**  $(metis\ mult.left\_neutral)$

**lemma**  $hmset\_of\_enat\_minus\_enat[simp]$ :  $hmset\_of\_enat\ (m - enat\ n) = hmset\_of\_enat\ m - of\_nat\ n$   
**by**  $(cases\ m)\ (auto\ simp: of\_nat\_minus\_hmset)$

**lemma**  $of\_nat\_lt\_hmset\_of\_enat\_iff$ :  $of\_nat\ m < hmset\_of\_enat\ n \iff enat\ m < n$   
**by**  $(metis\ hmset\_of\_enat.simps(1)\ hmset\_of\_enat\_less)$

**lemma**  $of\_nat\_le\_hmset\_of\_enat\_iff$ :  $of\_nat\ m \leq hmset\_of\_enat\ n \iff enat\ m \leq n$   
**by**  $(metis\ hmset\_of\_enat.simps(1)\ hmset\_of\_enat\_le)$

**lemma**  $hmset\_of\_enat\_lt\_iff\_ne\_infinity$ :  $hmset\_of\_enat\ x < \omega \iff x \neq \infty$   
**by**  $(cases\ x; simp)$

**lemma**  $minus\_diff\_sym\_hmset$ :  $m - (m - n) = n - (n - m)$  **for**  $m\ n :: hmultiset$   
**unfolding**  $minus\_hmultiset\_def$  **by**  $simp\ (metis\ multiset\_inter\_def\ subset\_mset.inf\_aci(1))$

**lemma**  $diff\_plus\_sym\_hmset$ :  $(c - b) + b = (b - c) + c$  **for**  $b\ c :: hmultiset$   
**proof** –  
**have**  $f1$ :  $\bigwedge h\ ha :: hmultiset. h - (ha + h) = 0$   
**by**  $(simp\ add: add.commute)$   
**have**  $f2$ :  $\bigwedge h\ ha\ hb :: hmultiset. h + ha - (h - hb) = hb + ha - (hb - h)$   
**by**  $(metis\ (no\_types)\ add\_diff\_cancel\_right\ minus\_diff\_sym\_hmset)$   
**have**  $\bigwedge h\ ha\ hb :: hmultiset. h + (ha + hb) - hb = h + ha$   
**by**  $(metis\ (no\_types)\ add.assoc\ add\_diff\_cancel\_right')$   
**then show**  $?thesis$   
**using**  $f2\ f1$  **by**  $(metis\ (no\_types)\ add.commute\ add.right\_neutral\ diff\_diff\_add\_hmset)$

**qed**

**lemma**  $times\_diff\_plus\_sym\_hmset$ :  $a * (c - b) + a * b = a * (b - c) + a * c$  **for**  $a\ b\ c :: hmultiset$   
**by**  $(metis\ distrib\_left\ diff\_plus\_sym\_hmset)$

**lemma**  $times\_of\_nat\_minus\_left$ :  
 $(of\_nat\ m - of\_nat\ n) * l = of\_nat\ m * l - of\_nat\ n * l$  **for**  $l :: hmultiset$   
**by**  $(induct\ n\ m\ rule: diff\_induct)\ (auto\ simp: ring\_distrib)$

**lemma**  $times\_of\_nat\_minus\_right$ :  
 $l * (of\_nat\ m - of\_nat\ n) = l * of\_nat\ m - l * of\_nat\ n$  **for**  $l :: hmultiset$   
**by**  $(metis\ times\_of\_nat\_minus\_left\ mult.commute)$

**lemma**  $lt\_omega\_imp\_times\_minus\_left$ :  $m < \omega \implies n < \omega \implies (m - n) * l = m * l - n * l$   
**by**  $(metis\ lt\_omega\_imp\_ex\_of\_nat\ times\_of\_nat\_minus\_left)$

**lemma**  $lt\_omega\_imp\_times\_minus\_right$ :  $m < \omega \implies n < \omega \implies l * (m - n) = l * m - l * n$   
**by**  $(metis\ lt\_omega\_imp\_ex\_of\_nat\ times\_of\_nat\_minus\_right)$

**lemma**  $hmset\_pair\_decompose$ :  
 $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (head\_omega\ n1 \neq head\_omega\ n2 \vee n1 = 0 \wedge n2 = 0)$   
**proof** –  
**define**  $n1$  **where**  $n1: n1 = m1 - m2$   
**define**  $n2$  **where**  $n2: n2 = m2 - m1$   
**define**  $k$  **where**  $k1: k = m1 - n1$   
  
**have**  $k2: k = m2 - n2$   
**using**  $k1$  **unfolding**  $n1\ n2$  **by**  $(simp\ add: minus\_diff\_sym\_hmset)$

```

have m1 = k + n1
  unfolding k1
  by (metis (no_types) n1 add_diff_cancel_left add commute add_diff_cancel_right' diff_add_zero
      diff_diff_add_minus_diff_sym_hmset)
moreover have m2 = k + n2
  unfolding k2
  by (metis n2 add commute add_diff_cancel_left add_diff_cancel_left' add_diff_cancel_right'
      diff_add_zero diff_diff_add diff_zero k2 minus_diff_sym_hmset)
moreover have hd_n: head_ω n1 ≠ head_ω n2 if n1_or_n2_nz: n1 ≠ 0 ∨ n2 ≠ 0
proof (cases n1 = 0 n2 = 0 rule: bool.exhaust[case_product bool.exhaust])
  case False_False
  note n1_nz = this(1)[simplified] and n2_nz = this(2)[simplified]

define δ1 where δ1 = hmsetmset n1
define δ2 where δ2 = hmsetmset n2

have δ1_inter_δ2: δ1 ∩# δ2 = {#}
  unfolding δ1_def δ2_def n1 n2 minus_hmultiset_def by (simp add: diff_intersect_sym_diff)

have δ1_ne: δ1 ≠ {#}
  unfolding δ1_def using n1_nz by simp
have δ2_ne: δ2 ≠ {#}
  unfolding δ2_def using n2_nz by simp

have max_δ1: Max (set_mset δ1) ∈# δ1
  using δ1_ne by simp
have max_δ2: Max (set_mset δ2) ∈# δ2
  using δ2_ne by simp
have max_δ1_ne_δ2: Max (set_mset δ1) ≠ Max (set_mset δ2)
  using δ1_inter_δ2 disjunct_not_in max_δ1 max_δ2 by force

show ?thesis
  using n1_nz n2_nz
  by (cases n1 rule: hmultiset.exhaust_sel, cases n2 rule: hmultiset.exhaust_sel,
      auto simp: head_ω_def zero_hmultiset_def max_δ1_ne_δ2[unfolded δ1_def δ2_def])
qed (use n1_or_n2_nz in (auto simp: head_ω_def))
ultimately show ?thesis
  by blast
qed

lemma hmset_pair_decompose_less:
  assumes m1_lt_m2: m1 < m2
  shows ∃ k n1 n2. m1 = k + n1 ∧ m2 = k + n2 ∧ head_ω n1 < head_ω n2
proof -
  obtain k n1 n2 where
    m1: m1 = k + n1 and
    m2: m2 = k + n2 and
    hds: head_ω n1 ≠ head_ω n2 ∨ n1 = 0 ∧ n2 = 0
  using hmset_pair_decompose[of m1 m2] by blast

{
  assume n1 = 0 and n2 = 0
  hence m1 = m2
    unfolding m1 m2 by simp
  hence False
    using m1_lt_m2 by simp
}
moreover
{
  assume head_ω n1 > head_ω n2
  hence n1 > n2
    by (rule head_ω_lt_imp_lt)
}

```

```

  hence  $m1 > m2$ 
    unfolding  $m1\ m2$  by simp
  hence False
    using  $m1\_lt\_m2$  by simp
}
ultimately show ?thesis
  using  $m1\ m2\ hds$  by (blast elim: neqE)
qed

```

```

lemma hmset_pair_decompose_less_eq:
  assumes  $m1 \leq m2$ 
  shows  $\exists k\ n1\ n2. m1 = k + n1 \wedge m2 = k + n2 \wedge (head\_ \omega\ n1 < head\_ \omega\ n2 \vee n1 = 0 \wedge n2 = 0)$ 
  using assms
  by (metis add_cancel_right_right hmset_pair_decompose_less order.not_eq_order_implies_strict)

```

```

lemma mono_cross_mult_less_hmset:
  fixes  $Aa\ A\ Ba\ B :: hmultiset$ 
  assumes  $A\_lt: A < Aa$  and  $B\_lt: B < Ba$ 
  shows  $A * Ba + B * Aa < A * B + Aa * Ba$ 

```

```

proof -
  obtain  $j\ m1\ m2$  where  $A: A = j + m1$  and  $Aa: Aa = j + m2$  and  $hd\_m: head\_ \omega\ m1 < head\_ \omega\ m2$ 
    by (metis hmset_pair_decompose_less[OF A_lt])
  obtain  $k\ n1\ n2$  where  $B: B = k + n1$  and  $Ba: Ba = k + n2$  and  $hd\_n: head\_ \omega\ n1 < head\_ \omega\ n2$ 
    by (metis hmset_pair_decompose_less[OF B_lt])

```

```

  have  $hd\_lt: head\_ \omega\ (m1 * n2 + m2 * n1) < head\_ \omega\ (m1 * n1 + m2 * n2)$ 

```

```

proof simp

```

```

  have  $\bigwedge h\ ha :: hmultiset. 0 < h \vee \neg ha < h$ 
  by force

```

```

  hence  $\neg head\_ \omega\ m2 * head\_ \omega\ n2 \leq sup\ (head\_ \omega\ m1 * head\_ \omega\ n2)\ (head\_ \omega\ m2 * head\_ \omega\ n1)$ 

```

```

  using  $hd\_m\ hd\_n\ sup\_hmultiset\_def$  by auto

```

```

  thus  $sup\ (head\_ \omega\ m1 * head\_ \omega\ n2)\ (head\_ \omega\ m2 * head\_ \omega\ n1)$ 
    <  $sup\ (head\_ \omega\ m1 * head\_ \omega\ n1)\ (head\_ \omega\ m2 * head\_ \omega\ n2)$ 

```

```

  by (meson leI sup.bounded_iff)

```

```

qed

```

```

show ?thesis

```

```

  unfolding  $A\ Aa\ B\ Ba$  ring_distrib by (simp add: algebra_simps head_omega_lt_imp_lt[OF hd_lt])

```

```

qed

```

```

lemma triple_cross_mult_hmset:

```

$$\begin{aligned}
& An * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp)) \\
& + (Cn * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp)) \\
& + (Ap * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp)) \\
& + Cp * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap)))) = \\
& An * (Bn * Cp + Cn * Bp - (Bn * Cn + Bp * Cp)) \\
& + (Cn * (An * Bn + Ap * Bp - (An * Bp + Bn * Ap)) \\
& + (Ap * (Bn * Cn + Bp * Cp - (Bn * Cp + Cn * Bp)) \\
& + Cp * (An * Bp + Bn * Ap - (An * Bn + Ap * Bp))))
\end{aligned}$$

```

for  $Ap\ An\ Bp\ Bn\ Cp\ Cn\ Dp\ Dn :: hmultiset$ 

```

```

apply (simp add: algebra_simps)

```

```

apply (unfold add.assoc[symmetric])

```

```

apply (rule add_right_cancel[THEN iffD1, of _ Cp * (An * Bp + Ap * Bn)])

```

```

apply (unfold add.assoc)

```

```

apply (subst times_diff_plus_sym_hmset)

```

```

apply (unfold add.assoc[symmetric])

```

```

apply (subst (12) add.commute)

```

```

apply (subst (11) add.commute)

```

```

apply (unfold add.assoc[symmetric])

```

```

apply (rule add_right_cancel[THEN iffD1, of _ Cn * (An * Bn + Ap * Bp)])

```

```

apply (unfold add.assoc)

```

```

apply (subst times_diff_plus_sym_hmset)

```



```

apply (unfold add.assoc[symmetric])
apply (subst (14) add.commute)
apply (subst (13) add.commute)
apply (unfold add.assoc[symmetric])

apply (rule add_right_cancel[THEN iffD1, of _ Ap * (Bn * Cn + Bp * Cp)])
apply (unfold add.assoc)
apply (subst times_diff_plus_sym_hmset)
apply (unfold add.assoc[symmetric])
apply (subst (16) add.commute)
apply (subst (15) add.commute)
apply (unfold add.assoc[symmetric])

apply (rule add_right_cancel[THEN iffD1, of _ An * (Bn * Cp + Bp * Cn)])
apply (unfold add.assoc)
apply (subst times_diff_plus_sym_hmset)
apply (unfold add.assoc[symmetric])
apply (subst (18) add.commute)
apply (subst (17) add.commute)
apply (unfold add.assoc[symmetric])

by (simp add: algebra_simps)

```

## 7.7 Conversions to Natural Numbers

**definition** *offset\_hmset* :: *hmultiset*  $\Rightarrow$  *nat* **where**  
*offset\_hmset* *M* = *count* (*hmsetmset* *M*) 0

**lemma** *offset\_hmset\_of\_nat*[*simp*]: *offset\_hmset* (*of\_nat* *n*) = *n*  
**unfolding** *offset\_hmset\_def* *of\_nat\_hmset* **by** *simp*

**lemma** *offset\_hmset\_numeral*[*simp*]: *offset\_hmset* (*numeral* *n*) = *numeral* *n*  
**unfolding** *offset\_hmset\_def* **by** (*metis* *offset\_hmset\_def* *offset\_hmset\_of\_nat* *of\_nat\_numeral*)

**definition** *sum\_coefs* :: *hmultiset*  $\Rightarrow$  *nat* **where**  
*sum\_coefs* *M* = *size* (*hmsetmset* *M*)

**lemma** *sum\_coefs\_distrib\_plus*[*simp*]: *sum\_coefs* (*M* + *N*) = *sum\_coefs* *M* + *sum\_coefs* *N*  
**unfolding** *plus\_hmultiset\_def* *sum\_coefs\_def* **by** *simp*

**lemma** *sum\_coefs\_gt\_0*: *sum\_coefs* *M* > 0  $\longleftrightarrow$  *M* > 0  
**by** (*auto* *simp*: *sum\_coefs\_def* *zero\_hmultiset\_def* *hmsetmset\_less*[*symmetric*] *less\_multiset\_extDM\_less* *nonempty\_has\_size*[*symmetric*])

## 7.8 An Example

The following proof is based on an informal proof by Uwe Waldmann, inspired by a similar argument by Michel Ludwig.

**lemma** *ludwig\_waldmann\_less*:  
**fixes**  $\alpha 1 \alpha 2 \beta 1 \beta 2 \gamma \delta$  :: *hmultiset*  
**assumes**  
 $\alpha \beta 2 \gamma$  *lt*  $\alpha \beta 1 \gamma$ :  $\alpha 2 + \beta 2 * \gamma < \alpha 1 + \beta 1 * \gamma$  **and**  
 $\beta 2$  *le*  $\beta 1$ :  $\beta 2 \leq \beta 1$  **and**  
 $\gamma$  *lt*  $\delta$ :  $\gamma < \delta$   
**shows**  $\alpha 2 + \beta 2 * \delta < \alpha 1 + \beta 1 * \delta$   
**proof** –  
**obtain**  $\beta 0 \beta 2a \beta 1a$  **where**  
 $\beta 1$ :  $\beta 1 = \beta 0 + \beta 1a$  **and**  
 $\beta 2$ :  $\beta 2 = \beta 0 + \beta 2a$  **and**  
*hd*  $\beta 2a$  *vs*  $\beta 1a$ : *head*  $\omega$   $\beta 2a < \text{head } \omega \beta 1a \vee \beta 2a = 0 \wedge \beta 1a = 0$   
**using** *hmset\_pair\_decompose\_less\_eq*[*OF*  $\beta 2$  *le*  $\beta 1$ ] **by** *blast*  
**obtain**  $\eta \gamma a \delta a$  **where**

```

γ: γ = η + γa and
δ: δ = η + δa and
hd_γa_lt_δa: head_ω γa < head_ω δa
using hmsset_pair_decompose_less[OF γ_lt_δ] by blast

have α2 + β0 * γ + β2a * γ = α2 + β2 * γ
  unfolding β2 by (simp add: add.commute add.left_commute distrib_left mult.commute)
also have ... < α1 + β1 * γ
  by (rule αβ2γ_lt_αβ1γ)
also have ... = α1 + β0 * γ + β1a * γ
  unfolding β1 by (simp add: add.commute add.left_commute distrib_left mult.commute)
finally have *: α2 + β2a * γ < α1 + β1a * γ
  by (metis add_less_cancel_right semiring_normalization_rules(23))

have α2 + β2 * δ = α2 + β0 * δ + β2a * δ
  unfolding β2 by (simp add: ab_semigroup_add_class.add_ac(1) distrib_right)
also have ... = α2 + β0 * δ + β2a * η + β2a * δa
  unfolding δ by (simp add: distrib_left semiring_normalization_rules(25))
also have ... ≤ α2 + β0 * δ + β2a * η + β2a * δa + β2a * γa
  by simp
also have ... = α2 + β2a * γ + β0 * δ + β2a * δa
  unfolding γ distrib_left add.assoc[symmetric] by (simp add: semiring_normalization_rules(23))
also have ... < α1 + β1a * γ + β0 * δ + β2a * δa
  using * by simp
also have ... = α1 + β1a * η + β1a * γa + β0 * η + β0 * δa + β2a * δa
  unfolding γ δ distrib_left add.assoc[symmetric] by (rule refl)
also have ... ≤ α1 + β1a * η + β0 * η + β0 * δa + β1a * δa
proof -
  have β1a * γa + β2a * δa ≤ β1a * δa
  proof (cases β2a = 0 ∧ β1a = 0)
    case False
    hence head_ω β2a < head_ω β1a
    using hd_β2a_vs_β1a by blast
    hence head_ω (β1a * γa + β2a * δa) < head_ω (β1a * δa)
    using hd_γa_lt_δa by (auto intro: gr_zeroI_hmsset simp: sup_hmultiset_def)
    hence β1a * γa + β2a * δa < β1a * δa
    by (rule head_ω_lt_imp_lt)
  thus ?thesis
  by simp
qed simp
thus ?thesis
by simp
qed
finally show ?thesis
  unfolding β1 δ
  by (simp add: distrib_left distrib_right add.assoc[symmetric] semiring_normalization_rules(23))
qed
end

```

## 8 Signed Syntactic Ordinals in Cantor Normal Form

```

theory Signed_Syntactic_Ordinal
imports Signed_Hereditary_Multiset Syntactic_Ordinal
begin

```

### 8.1 Natural (Hessenberg) Product

```

instantiation zhmultiset :: comm_ring_1
begin

abbreviation ωz_exp :: hmultiset ⇒ zhmultiset (ωz ^) where
  ωz ^ ≡ λm. ZHMSet {#m#}_z

```

**lift-definition**  $one\_zhmultiset :: zhmultiset \text{ is } \{\#0\# \}_z .$

**abbreviation**  $\omega_z :: zhmultiset \text{ where}$

$\omega_z \equiv \omega_z \wedge 1$

**lemma**  $\omega_z \text{ as } \omega: \omega_z = zhmsset\_of \ \omega$

**by** *simp*

**lift-definition**  $times\_zhmultiset :: zhmultiset \Rightarrow zhmultiset \Rightarrow zhmultiset \text{ is}$

$\lambda M \ N.$

$zhmsset\_of \ (hmssetmset \ (HMSset \ (mset\_pos \ M) \ * \ HMSset \ (mset\_pos \ N)))$   
 $- \ zhmsset\_of \ (hmssetmset \ (HMSset \ (mset\_pos \ M) \ * \ HMSset \ (mset\_neg \ N)))$   
 $+ \ zhmsset\_of \ (hmssetmset \ (HMSset \ (mset\_neg \ M) \ * \ HMSset \ (mset\_neg \ N)))$   
 $- \ zhmsset\_of \ (hmssetmset \ (HMSset \ (mset\_neg \ M) \ * \ HMSset \ (mset\_pos \ N))) .$

**lemmas**  $zhmssetmset\_times = times\_zhmultiset.rep\_eq$

**instance**

**proof** (*intro\\_classes, goal\\_cases mult\\_assoc mult\\_comm mult\\_1 distrib zero\\_neq\\_one*)

**case** (*mult\\_assoc a b c*)

**show** *?case*

**by** (*transfer,*

*simp add: algebra\_simps zhmsset\_of\_plus[symmetric] hmssetmset\_plus[symmetric] HMSset\_diff,*  
*rule triple\\_cross\\_mult\\_hmsset*)

**next**

**case** (*mult\\_comm a b*)

**show** *?case*

**by** *transfer (auto simp: algebra\_simps)*

**next**

**case** (*mult\\_1 a*)

**show** *?case*

**by** *transfer (auto simp: algebra\_simps mset\_pos\_neg\_partition[symmetric])*

**next**

**case** (*distrib a b c*)

**show** *?case*

**by** (*simp add: times\\_zhmultiset\\_def ZHMSset\_plus[symmetric] zhmsset\_of\_plus[symmetric]*  
*hmssetmset\_plus[symmetric] algebra\_simps hmsset\_pos\_plus hmsset\_neg\_plus*)  
*(simp add: mult.commute[of\\_ hmsset\_pos c] mult.commute[of\\_ hmsset\_neg c]*  
*add.commute[of hmsset\_neg c \* M hmsset\_pos c \* N for M N]*  
*add.assoc[symmetric] ring\\_distrib(1)[symmetric] hmsset\_pos\_neg\_dual*)

**next**

**case** *zero\\_neq\\_one*

**show** *?case*

**unfolding** *zero\\_zhmultiset\\_def one\\_zhmultiset\\_def* **by** *simp*

**qed**

**end**

**lemma**  $zhmsset\_of\_1: zhmsset\_of \ 1 = 1$

**by** (*simp add: one\\_hmultiset\\_def one\\_zhmultiset\\_def*)

**lemma**  $zhmsset\_of\_times: zhmsset\_of \ (A \ * \ B) = zhmsset\_of \ A \ * \ zhmsset\_of \ B$

**by** *transfer simp*

**lemma**  $zhmsset\_of\_prod\_list:$

$zhmsset\_of \ (prod\_list \ Ms) = prod\_list \ (map \ zhmsset\_of \ Ms)$

**by** (*induct Ms*) (*auto simp: one\\_hmultiset\\_def one\\_zhmultiset\\_def zhmsset\\_of\\_times*)

## 8.2 Embedding of Natural Numbers

**lemma**  $of\_nat\_zhmsset: of\_nat \ n = zhmsset\_of \ (of\_nat \ n)$

**by** (*induct n*) (*auto simp: zero\\_zhmultiset\\_def zhmsset\\_of\\_plus zhmsset\\_of\\_1*)

**lemma** *of\_nat\_inject\_zhmultiset*[simp]:  $(\text{of\_nat } m :: \text{zhmultiset}) = \text{of\_nat } n \longleftrightarrow m = n$   
**unfolding** *of\_nat\_zhmultiset* **by** *simp*

**lemma** *plus\_of\_nat\_plus\_of\_nat\_zhmultiset*:  
 $k + \text{of\_nat } m + \text{of\_nat } n = k + \text{of\_nat } (m + n)$  **for**  $k :: \text{zhmultiset}$   
**by** *simp*

**lemma** *plus\_of\_nat\_minus\_of\_nat\_zhmultiset*:  
**fixes**  $k :: \text{zhmultiset}$   
**assumes**  $n \leq m$   
**shows**  $k + \text{of\_nat } m - \text{of\_nat } n = k + \text{of\_nat } (m - n)$   
**using** *assms* **by** (*simp add: of\_nat\_diff*)

**lemma** *of\_nat\_lt\_omega\_z*[simp]:  $\text{of\_nat } n < \omega_z$   
**unfolding** *omega\_z\_as\_omega* **using** *of\_nat\_lt\_omega of\_nat\_zhmultiset zhmultiset\_of\_less* **by** *presburger*

**lemma** *of\_nat\_ne\_omega\_z*[simp]:  $\text{of\_nat } n \neq \omega_z$   
**by** (*metis of\_nat\_lt\_omega\_z mset\_le\_asym mset\_lt\_single\_iff*)

### 8.3 Embedding of Extended Natural Numbers

**primrec** *zhmultiset\_of\_enat* ::  $\text{enat} \Rightarrow \text{zhmultiset}$  **where**  
 $\text{zhmultiset\_of\_enat } (\text{enat } n) = \text{of\_nat } n$   
 $\text{zhmultiset\_of\_enat } \infty = \omega_z$

**lemma** *zhmultiset\_of\_enat\_0*[simp]:  $\text{zhmultiset\_of\_enat } 0 = 0$   
**by** (*simp add: zero\_enat\_def*)

**lemma** *zhmultiset\_of\_enat\_1*[simp]:  $\text{zhmultiset\_of\_enat } 1 = 1$   
**by** (*simp add: one\_enat\_def del: One\_nat\_def*)

**lemma** *zhmultiset\_of\_enat\_of\_nat*[simp]:  $\text{zhmultiset\_of\_enat } (\text{of\_nat } n) = \text{of\_nat } n$   
**using** *of\_nat\_eq\_enat* **by** *auto*

**lemma** *zhmultiset\_of\_enat\_numeral*[simp]:  $\text{zhmultiset\_of\_enat } (\text{numeral } n) = \text{numeral } n$   
**by** (*simp add: numeral\_eq\_enat*)

**lemma** *zhmultiset\_of\_enat\_le\_omega\_z*[simp]:  $\text{zhmultiset\_of\_enat } n \leq \omega_z$   
**using** *of\_nat\_lt\_omega\_z* [*THEN less\_imp\_le*] **by** (*cases n*) *auto*

**lemma** *zhmultiset\_of\_enat\_eq\_omega\_z\_iff*[simp]:  $\text{zhmultiset\_of\_enat } n = \omega_z \longleftrightarrow n = \infty$   
**by** (*cases n*) *auto*

### 8.4 Inequalities and Some (Dis)equalities

**instance** *zhmultiset* :: *zero\_less\_one*  
**by** (*intro\_classes, transfer, transfer, auto*)

**instantiation** *zhmultiset* :: *linordered\_idom*  
**begin**

**definition** *sgn\_zhmultiset* ::  $\text{zhmultiset} \Rightarrow \text{zhmultiset}$  **where**  
 $\text{sgn\_zhmultiset } M = (\text{if } M = 0 \text{ then } 0 \text{ else if } M > 0 \text{ then } 1 \text{ else } -1)$

**definition** *abs\_zhmultiset* ::  $\text{zhmultiset} \Rightarrow \text{zhmultiset}$  **where**  
 $\text{abs\_zhmultiset } M = (\text{if } M < 0 \text{ then } -M \text{ else } M)$

**lemma** *gt\_0\_times\_gt\_0\_imp*:  
**fixes**  $a \ b :: \text{zhmultiset}$   
**assumes**  $a\_gt0: a > 0$  **and**  $b\_gt0: b > 0$   
**shows**  $a * b > 0$

**proof** –  
**show** *?thesis*

```

using a_gt0 b_gt0
by (subst (asm) (2 4) zhmsset_pos_neg_partition, simp, transfer,
    simp del: HMSet_less add: algebra_simps zmsset_of_plus[symmetric] hmsetmset_plus[symmetric]
    zmsset_of_less HMSet_less[symmetric])
(rule mono_cross_mult_less_hmset)
qed

```

**instance**

```

proof intro_classes
fix a b c :: zhmultiset

```

**assume**

```

a_lt_b: a < b and
zero_lt_c: 0 < c

```

**have**  $c * b < c * b + c * (b - a)$

```

using gt_0_times_gt_0_imp by (simp add: a_lt_b zero_lt_c)

```

**hence**  $c * a + c * (b - a) < c * b + c * (b - a)$

```

by (simp add: right_diff_distrib)

```

**thus**  $c * a < c * b$

```

by simp

```

```

qed (auto simp: sgn_zhmultiset_def abs_zhmultiset_def)

```

**end**

**lemma**  $le\_zhmsset\_of\_pos: M \leq zhmsset\_of (hmset\_pos M)$

```

by (simp add: less_eq_zhmultiset.rep_eq mset_pos_supset_subset_eq_imp_le_zmset)

```

**lemma**  $minus\_zhmsset\_of\_pos\_le: - zhmsset\_of (hmset\_neg M) \leq M$

```

by (metis le_zhmsset_of_pos_minus_le_iff mset_pos_uminus zhmssetmset_uminus)

```

**lemma**  $zhmsset\_of\_nonneg[simp]: zhmsset\_of M \geq 0$

```

by (metis hmsetmset_0 zero_le_hmset zero_zhmultiset_def zhmsset_of_le zmset_of_empty)

```

**lemma**

```

fixes n :: zhmultiset

```

```

assumes 0 ≤ m

```

**shows**

```

le_add1_hmset: n ≤ n + m and

```

```

le_add2_hmset: n ≤ m + n

```

```

using assms by simp+

```

**lemma**  $less\_iff\_add1\_le\_zhmsset: m < n \iff m + 1 \leq n$  **for**  $m\ n :: zhmultiset$

**proof**

```

assume m_lt_n: m < n

```

```

show m + 1 ≤ n

```

**proof** -

```

obtain hh :: hmultiset and zz :: zhmultiset and hha :: hmultiset where

```

```

f1: m = zhmsset_of hh + zz ∧ n = zhmsset_of hha + zz ∧ hh < hha

```

```

using less_hmset_zhmssetE[OF m_lt_n] by metis

```

```

hence zhmsset_of (hh + 1) ≤ zhmsset_of hha

```

```

by (metis (no_types) less_iff_add1_le_hmset zhmsset_of_le)

```

```

thus ?thesis

```

```

using f1 by (simp add: zhmsset_of_1 zhmsset_of_plus)

```

**qed**

**qed** *simp*

**lemma**  $gt\_0\_lt\_mult\_gt\_1\_zhmsset:$

```

fixes m n :: zhmultiset

```

```

assumes m > 0 and n > 1

```

**shows**  $m < m * n$

```

using assms by simp

```

**lemma** *zero\_less\_iff\_1\_le\_zhmultiset*:  $0 < n \iff 1 \leq n$  **for**  $n :: \text{zhmultiset}$   
**by** (*rule less\_iff\_add1\_le\_zhmultiset*[of 0, simplified])

**lemma** *less\_add\_1\_iff\_le\_hmultiset*:  $m < n + 1 \iff m \leq n$  **for**  $m\ n :: \text{zhmultiset}$   
**by** (*rule less\_iff\_add1\_le\_zhmultiset*[of  $m\ n + 1$ , simplified])

**lemma** *nonneg\_le\_mult\_right\_mono\_zhmultiset*:  
**fixes**  $x\ y\ z :: \text{zhmultiset}$   
**assumes**  $x: 0 \leq x$  **and**  $y: 0 < y$  **and**  $z: x \leq z$   
**shows**  $x \leq y * z$   
**using**  $x$  *zero\_less\_iff\_1\_le\_zhmultiset*[THEN *iffD1*, OF  $y$ ]  $z$   
**by** (*meson dual\_order.trans leD mult\_less\_cancel\_right2 not\_le\_imp\_less*)

**instance** *hmultiset* :: *ordered\_cancel\_comm\_semiring*  
**by** *intro\_classes*

**instance** *hmultiset* :: *linordered\_semiring\_1\_strict*  
**by** *intro\_classes*

**instance** *hmultiset* :: *bounded\_lattice\_bot*  
**by** *intro\_classes*

**instance** *hmultiset* :: *zero\_less\_one*  
**by** *intro\_classes*

**instance** *hmultiset* :: *linordered\_nonzero\_semiring*  
**by** *intro\_classes*

**instance** *hmultiset* :: *semiring\_no\_zero\_divisors*  
**by** *intro\_classes*

**lemma** *zero\_lt\_omega\_z[simp]*:  $0 < \omega_z$   
**by** (*metis of\_nat\_lt\_omega\_z of\_nat\_0*)

**lemma** *one\_lt\_omega\_z[simp]*:  $1 < \omega_z$   
**by** (*metis enat\_defs(2) zhmultiset\_of\_enat.simps(1) zhmultiset\_of\_enat\_1 of\_nat\_lt\_omega\_z*)

**lemma** *numeral\_lt\_omega\_z[simp]*: *numeral*  $n < \omega_z$   
**using** *zhmultiset\_of\_enat\_numeral[symmetric] zhmultiset\_of\_enat.simps(1) of\_nat\_lt\_omega\_z numeral\_eq\_enat*  
**by** *presburger*

**lemma** *one\_le\_omega\_z[simp]*:  $1 \leq \omega_z$   
**by** (*simp add: less\_imp\_le*)

**lemma** *of\_nat\_le\_omega\_z[simp]*: *of\_nat*  $n \leq \omega_z$   
**by** (*simp add: le\_less*)

**lemma** *numeral\_le\_omega\_z[simp]*: *numeral*  $n \leq \omega_z$   
**by** (*simp add: less\_imp\_le*)

**lemma** *not\_omega\_z\_lt\_1[simp]*:  $\neg \omega_z < 1$   
**by** (*simp add: not\_less*)

**lemma** *not\_omega\_z\_lt\_of\_nat[simp]*:  $\neg \omega_z < \text{of\_nat } n$   
**by** (*simp add: not\_less*)

**lemma** *not\_omega\_z\_lt\_numeral[simp]*:  $\neg \omega_z < \text{numeral } n$   
**by** (*simp add: not\_less*)

**lemma** *not\_omega\_z\_le\_1[simp]*:  $\neg \omega_z \leq 1$   
**by** (*simp add: not\_le*)

**lemma** *not\_omega\_z\_le\_of\_nat[simp]*:  $\neg \omega_z \leq \text{of\_nat } n$

by (simp add: not\_le)

**lemma** not\_ω<sub>z</sub>\_le\_numeral[simp]:  $\neg \omega_z \leq \text{numeral } n$   
by (simp add: not\_le)

**lemma** zero\_ne\_ω<sub>z</sub>[simp]:  $0 \neq \omega_z$   
using zero\_lt\_ω<sub>z</sub> by linarith

**lemma** one\_ne\_ω<sub>z</sub>[simp]:  $1 \neq \omega_z$   
using not\_ω<sub>z</sub>\_le\_1 by force

**lemma** numeral\_ne\_ω<sub>z</sub>[simp]: numeral  $n \neq \omega_z$   
by (metis not\_ω<sub>z</sub>\_le\_numeral numeral\_le\_ω<sub>z</sub>)

**lemma**  
ω<sub>z</sub>\_ne\_0[simp]:  $\omega_z \neq 0$  and  
ω<sub>z</sub>\_ne\_1[simp]:  $\omega_z \neq 1$  and  
ω<sub>z</sub>\_ne\_of\_nat[simp]:  $\omega_z \neq \text{of\_nat } m$  and  
ω<sub>z</sub>\_ne\_numeral[simp]:  $\omega_z \neq \text{numeral } n$   
using zero\_ne\_ω<sub>z</sub> one\_ne\_ω<sub>z</sub> of\_nat\_ne\_ω<sub>z</sub> numeral\_ne\_ω<sub>z</sub> by metis+

**lemma**  
zhmset\_of\_enat\_inject[simp]:  $\text{zhmset\_of\_enat } m = \text{zhmset\_of\_enat } n \iff m = n$  and  
zhmset\_of\_enat\_lt\_iff\_lt[simp]:  $\text{zhmset\_of\_enat } m < \text{zhmset\_of\_enat } n \iff m < n$  and  
zhmset\_of\_enat\_le\_iff\_le[simp]:  $\text{zhmset\_of\_enat } m \leq \text{zhmset\_of\_enat } n \iff m \leq n$   
by (cases m; cases n; simp)+

**lemma** of\_nat\_lt\_zhmset\_of\_enat\_iff:  $\text{of\_nat } m < \text{zhmset\_of\_enat } n \iff \text{enat } m < n$   
by (metis zhmset\_of\_enat.simps(1) zhmset\_of\_enat\_lt\_iff\_lt)

**lemma** of\_nat\_le\_zhmset\_of\_enat\_iff:  $\text{of\_nat } m \leq \text{zhmset\_of\_enat } n \iff \text{enat } m \leq n$   
by (metis zhmset\_of\_enat.simps(1) zhmset\_of\_enat\_le\_iff\_le)

**lemma** zhmset\_of\_enat\_lt\_iff\_ne\_infinity:  $\text{zhmset\_of\_enat } x < \omega_z \iff x \neq \infty$   
by (cases x; simp)

## 8.5 An Example

A new proof of  $[[?α2.0 + ?β2.0 * ?γ < ?α1.0 + ?β1.0 * ?γ; ?β2.0 \leq ?β1.0; ?γ < ?δ] \implies ?α2.0 + ?β2.0 * ?δ < ?α1.0 + ?β1.0 * ?δ]$ :

**lemma**  
fixes α1 α2 β1 β2 γ δ :: hmultiset  
assumes  
αβ2γ\_lt\_αβ1γ:  $\alpha_2 + \beta_2 * \gamma < \alpha_1 + \beta_1 * \gamma$  and  
β2\_le\_β1:  $\beta_2 \leq \beta_1$  and  
γ\_lt\_δ:  $\gamma < \delta$   
shows  $\alpha_2 + \beta_2 * \delta < \alpha_1 + \beta_1 * \delta$

**proof** –

let ?z = zhmset\_of

**note** αβ2γ\_lt\_αβ1γ' = αβ2γ\_lt\_αβ1γ[THEN zhmset\_of\_less[THEN iffD2],  
simplified zhmset\_of\_plus zhmset\_of\_times]

**note** β2\_le\_β1' = β2\_le\_β1[THEN zhmset\_of\_le[THEN iffD2]]

**note** γ\_lt\_δ' = γ\_lt\_δ[THEN zhmset\_of\_less[THEN iffD2]]

**have** ?z α2 + ?z β2 \* ?z δ < ?z α1 + ?z β1 \* ?z γ + ?z β2 \* (?z δ - ?z γ)

using αβ2γ\_lt\_αβ1γ' by (simp add: algebra\_simps)

**also have** ... ≤ ?z α1 + ?z β1 \* ?z γ + ?z β1 \* (?z δ - ?z γ)

using β2\_le\_β1' γ\_lt\_δ' by simp

**finally show** ?thesis

by (simp add: zhmset\_of\_less zhmset\_of\_times[symmetric] zhmset\_of\_plus[symmetric] algebra\_simps)

qed

end

```
theory Syntactic_Ordinal_Bridge
imports HOL-Library.Sublist Ordinal.OrdinalOmega Syntactic_Ordinal
abbrevs
  !h =  $\iota$ 
begin
```

## 9 Bridge between Huffman's Ordinal Library and the Syntactic Ordinals

### 9.1 Missing Lemmas about Huffman's Ordinals

```
instantiation ordinal :: order_bot
begin
```

```
definition bot_ordinal :: ordinal where
  bot_ordinal = 0
```

```
instance
  by intro_classes (simp add: bot_ordinal_def)
```

end

```
lemma insert_bot[simp]: insert bot xs = bot # xs for xs :: 'a::{order_bot,linorder} list
  by (simp add: insert_is_Cons)
```

```
lemmas insert_0_ordinal[simp] = insert_bot[of xs :: ordinal list for xs, unfolded bot_ordinal_def]
```

```
lemma from_cnf_less_omega_exp:
  assumes  $\forall k \in \text{set } ks. k < l$ 
  shows  $\text{from\_cnf } ks < \omega ** l$ 
  using assms by (induct ks) (auto simp: additive_principal.sum_less additive_principal_omega_exp)
```

```
lemma from_cnf_0_iff[simp]: from_cnf ks = 0  $\longleftrightarrow$  ks = []
  by (induct ks) (auto simp: ordinal_plus_not_0)
```

```
lemma from_cnf_append[simp]: from_cnf (ks @ ls) = from_cnf ks + from_cnf ls
  by (induct ks) (auto simp: ordinal_plus_assoc)
```

```
lemma subseq_from_cnf_less_eq: Sublist.subseq ks ls  $\implies$  from_cnf ks  $\leq$  from_cnf ls
  by (induct rule: list_emb.induct) (auto intro: ordinal_le_plusL order_trans)
```

### 9.2 Embedding of Syntactic Ordinals into Huffman's Ordinals

```
abbreviation  $\omega_h$  :: hmultiset where
   $\omega_h \equiv$  Syntactic_Ordinal. $\omega$ 
```

```
abbreviation  $\omega_h\_exp$  :: hmultiset  $\Rightarrow$  hmultiset ( $\omega_h$  ^) where
   $\omega_h$  ^  $\equiv$  Syntactic_Ordinal. $\omega\_exp$ 
```

```
primrec ordinal_of_hmset :: hmultiset  $\Rightarrow$  ordinal where
  ordinal_of_hmset (HMSet M) =
    from_cnf (rev (sorted_list_of_multiset (image_mset ordinal_of_hmset M)))
```

```
lemma ordinal_of_hmset_0[simp]: ordinal_of_hmset 0 = 0
  unfolding zero_hmultiset_def by simp
```

```
lemma ordinal_of_hmset_suc[simp]: ordinal_of_hmset (k + 1) = ordinal_of_hmset k + 1
  unfolding plus_hmultiset_def one_hmultiset_def by (cases k) simp
```

```
lemma ordinal_of_hmset_1[simp]: ordinal_of_hmset 1 = 1
```



```

using ordinal_of_hmset_suc[of 0] by simp

lemma ordinal_of_hmset_omega[simp]: ordinal_of_hmset  $\omega_h = \omega$ 
  by simp

lemma ordinal_of_hmset_singleton[simp]: ordinal_of_hmset ( $\omega^k$ ) =  $\omega **$  ordinal_of_hmset k
  by simp

lemma ordinal_of_hmset_iff[simp]: ordinal_of_hmset k = 0  $\longleftrightarrow$  k = 0
  by (induct k) auto

lemma less_imp_ordinal_of_hmset_less: k < l  $\implies$  ordinal_of_hmset k < ordinal_of_hmset l
proof (simp only: atomize_imp,
  rule measure_induct_rule[of  $\lambda(k, l). \{\#k, l\}$ 
     $\lambda(k, l). k < l \longrightarrow$  ordinal_of_hmset k < ordinal_of_hmset l (k, l),
    simplified prod.case],
  simp only: split_paired_all prod.case atomize_imp[symmetric])
fix k l
assume
  ih:  $\bigwedge ka la. \{\#ka, la\} < \{\#k, l\} \implies ka < la \implies$  ordinal_of_hmset ka < ordinal_of_hmset la and
  k_lt_l: k < l

show ordinal_of_hmset k < ordinal_of_hmset l
proof (cases k = 0)
  case True
  thus ?thesis
    using k_lt_l ordinal_neq_0 by fastforce
next
  case k_nz: False

  have l_nz: l  $\neq$  0
    using k_lt_l by auto

  define K where K: K = hmsetmset k
  define L where L: L = hmsetmset l

  have k: k = HMSet K and l: l = HMSet L
    by (simp_all add: K L)

  have K_lt_L: K < L
    unfolding K L using k_lt_l by simp

  define x where x: x = Max_mset K
  define Ka where Ka: Ka = K -  $\{\#x\}$ 

  have k_eq_xKa: k = HMSet (add_mset x Ka)
    using K x Ka k_nz by auto
  have x_max:  $\forall a \in \# Ka. a \leq x$ 
    unfolding x Ka by (meson Max_ge finite_set_mset in_diffD)

  have ord_x_max:  $\forall a \in \# Ka. \text{ordinal\_of\_hmset } a \leq \text{ordinal\_of\_hmset } x$ 
  proof
    fix a
    assume a_in: a  $\in \#$  Ka

    have a_le_x: a  $\leq$  x
      by (simp add: x_max a_in)
    moreover
    {
      assume a_lt_x: a < x
      moreover have x_lt_k: x < k
        unfolding k_eq_xKa by (rule mem_imp_less_HMSet) simp
      ultimately have a_lt_k: a < k

```

```

    by simp

  have {#a, x#} < {#k#}
    using x_lt_k a_lt_k by simp
  also have ... < {#k, l#}
    unfolding k_eq_xKa using a_in
    by simp
  finally have ordinal_of_hmset a < ordinal_of_hmset x
    by (rule ih[OF a_lt_x])
}
ultimately show ordinal_of_hmset a ≤ ordinal_of_hmset x
  by force
qed

define y where y: y = Max_mset L
define La where La: La = L - {#y#}

have l_eq_yLa: l = HMSet (add_mset y La)
  using L y La l_nz by auto
have y_max: ∀ b ∈# La. b ≤ y
  unfolding y La by (meson Max_ge finite_set_mset in_diffD)

have ord_y_max: ∀ b ∈# La. ordinal_of_hmset b ≤ ordinal_of_hmset y
proof
  fix b
  assume b_in: b ∈# La

  have b_le_y: b ≤ y
    by (simp add: y_max b_in)
  moreover
  {
    assume b_lt_y: b < y
    moreover have y_lt_l: y < l
      unfolding l_eq_yLa by (rule mem_imp_less_HMSet) simp
    ultimately have b_lt_l: b < l
      by simp

    have {#b, y#} < {#l#}
      using y_lt_l b_lt_l by simp
    also have ... < {#k, l#}
      unfolding l_eq_yLa using b_in
      by simp
    finally have ordinal_of_hmset b < ordinal_of_hmset y
      by (rule ih[OF b_lt_y])
  }
  ultimately show ordinal_of_hmset b ≤ ordinal_of_hmset y
    by force
qed

{
  assume x_eq_y: x = y

  have ordinal_of_hmset (HMSet Ka) < ordinal_of_hmset (HMSet La)
  proof (rule ih)
    show {#HMSet Ka, HMSet La#} < {#k, l#}
      unfolding k l
      by (metis add_mset_add_single hmsetmset_less hmultiset.sel k_eq_xKa l_eq_yLa
        le_multiset_right_total mset_lt_single_iff union_less_mono)
    next
    have ω^x + HMSet Ka < ω^y + HMSet La
      using k_lt_l[unfolded k_eq_xKa l_eq_yLa]
      by (metis HMSet_plus add commute add_mset_add_single)
    thus HMSet Ka < HMSet La
  }
}

```

```

    using x_eq_y by simp
  qed
  hence ?thesis
    unfolding k_eq_xKa l_eq_yLa
    by (simp, subst (1 2) sorted_insort_is_snoc, simp_all add: ord_x_max ord_y_max,
        force simp: x_eq_y)
}
moreover
{
  assume x_ne_y: x ≠ y

  have x_lt_y: x < y
    by (metis K L head_ω_def head_ω_lt_imp_lt hmsetmset_less hmultiset.sel k_lt_l k_nz l_nz
        less_imp_not_less mset_lt_single_iff neqE x x_ne_y y)

  have ord_y_smax_K: ordinal_of_hmset a < ordinal_of_hmset y if a_in_K: a ∈# K for a
  proof (rule ih)
    show {#a, y#} < {#k, l#}
      unfolding k_eq_xKa l_eq_yLa using a_in_K k k_eq_xKa
      by (metis add_mset_add_single mem_imp_less_HMSet mset_lt_single_iff union_less_mono
          union_single_eq_member)
    next
      show a < y
        by (metis Max_ge finite_set_mset less_le_trans not_less_iff_gr_or_eq that x x_lt_y)
  qed

  have ordinal_of_hmset k < ordinal_of_hmset (ω^y)
  proof (cases La)
    case empty
      show ?thesis
        unfolding k by (auto intro!: from_cnf_less_ω_exp simp: ord_y_smax_K)
    next
      case La: (add ya Lb)
        show ?thesis
        proof (rule ih)
          show {#k, ω^y#} < {#k, l#}
            unfolding l_eq_yLa La by simp
          next
            show k < ω^y
            proof -
              have ∧m. x < Max_mset (add_mset y m)
                by (meson Max_ge finite_set_mset less_le_trans union_single_eq_member x_lt_y)
              then show ?thesis
                by (metis K x head_ω_def head_ω_lt_imp_lt hmsetmset_less hmultiset.sel k_nz
                    mset_lt_single_iff x_lt_y)
            qed
          qed
        qed
      also have ... ≤ ordinal_of_hmset l
        unfolding l_eq_yLa
        by (auto simp del: from_cnf_simps intro!: subseq_from_cnf_less_eq
            simp: subseq_from_cnf_less_eq sorted_insort_is_snoc ord_y_max)
      ultimately have ?thesis
        by simp
    }
  ultimately show ?thesis
    by sat
  qed
qed

```

```

lemma ordinal_of_hmset_less[simp]: ordinal_of_hmset k < ordinal_of_hmset l ↔ k < l
  using less_imp_not_less less_imp_ordinal_of_hmset_less neq_iff by blast

```

end

## 10 Termination of McCarthy's 91 Function

```
theory McCarthy_91
imports HOL-Library.Multiset_Order
begin
```

```
lemma funpow_rec:  $f^{^^} n = (\text{if } n = 0 \text{ then id else } f \circ f^{^^} (n - 1))$ 
  by (induct  $n$ ) auto
```

The  $f$  function captures the semantics of McCarthy's 91 function. The  $g$  function is a tail-recursive implementation of the function, whose termination is established using the multiset order. The definitions follow Dershowitz and Manna.

```
definition  $f :: \text{int} \Rightarrow \text{int}$  where
   $f x = (\text{if } x > 100 \text{ then } x - 10 \text{ else } 91)$ 
```

```
definition  $\tau :: \text{nat} \Rightarrow \text{int} \Rightarrow \text{int multiset}$  where
   $\tau n z = \text{mset } (\text{map } (\lambda i. (f^{^^} \text{ nat } i) z) [0.. \text{int } n - 1])$ 
```

```
function  $g :: \text{nat} \Rightarrow \text{int} \Rightarrow \text{int}$  where
   $g n z = (\text{if } n = 0 \text{ then } z \text{ else if } z > 100 \text{ then } g (n - 1) (z - 10) \text{ else } g (n + 1) (z + 11))$ 
  by pat_completeness auto
```

termination

proof –

```
define  $lt :: (\text{int} \times \text{int}) \text{ set}$  where
   $lt = \{(a, b). b < a \wedge a \leq 111\}$ 
```

```
have  $lt\_trans$ : trans  $lt$ 
  unfolding trans_def  $lt\_def$  by simp
have  $lt\_irrefl$ : irrefl  $lt$ 
  unfolding irrefl_def  $lt\_def$  by simp
```

```
let  $?LT = \text{mult } lt$ 
let  $?T = \lambda(n, z). \tau n z$ 
let  $?R = \text{inv\_image } ?LT ?T$ 
```

show *?thesis*

proof (relation  $?R$ )

show *wf*  $?R$

```
by (auto simp:  $lt\_def$  intro!: wf_inv_image[OF wf_mult]
  wf_subset[OF wf_measure[of  $\lambda z. \text{nat } (111 - z)$ ]])
```

next

```
fix  $n :: \text{nat}$  and  $z :: \text{int}$ 
assume  $n\_ne\_0$ :  $n \neq 0$ 
```

```
{
  assume  $z\_gt\_100$ :  $z > 100$ 
```

```
have  $\text{map } (\lambda i. (f^{^^} \text{ nat } i) (z - 10)) [0.. \text{int } n - 2] =$ 
   $\text{map } (\lambda i. (f^{^^} \text{ nat } i) z) [1.. \text{int } n - 1]$ 
```

```
using  $n\_ne\_0$ 
```

proof (induct  $n$  rule: *less\_induct*)

case (*less*  $n$ )

note  $ih = \text{this}(1)$  and  $n\_ne\_0 = \text{this}(2)$

show *?case*

proof (cases  $n = 1$ )

case *True*

thus *?thesis*

by *simp*

next

case *False*

```

hence n_ge_2: n ≥ 2
  using n_ne_0 by simp

have
  split_l: [0..int n - 2] = [0..int (n - 1) - 2] @ [int n - 2] and
  split_r: [1..int n - 1] = [1..int (n - 1) - 1] @ [int n - 1]
  using n_ge_2 by (induct n) (auto simp: upto_rec2)
have f_repeat: (f ^^ (n - 2)) (z - 10) = (f ^^ (n - 1)) z
  using z_gt_100 n_ge_2 by (induct n, simp) (rename_tac m; case_tac m; simp add: f_def)+

show ?thesis
  using n_ge_2 by (auto intro!: ih simp: split_l split_r f_repeat nat_diff_distrib)
qed
qed
hence image_mset_eq: {#(f ^^ nat i) (z - 10). i ∈# mset [0..int n - 2]#} =
  {#(f ^^ nat i) z. i ∈# mset [1..int n - 1]#}
  by (fold mset_map) (intro arg_cong[of _ _ mset])

have mset_eq_add_0_mset: mset [0..int n - 1] = add_mset 0 (mset [1..int n - 1])
  using n_ne_0 by (induct n) (auto simp: upto_simps)

have nm1m1: int (n - 1) - 1 = int n - 2
  using n_ne_0 by simp

show ((n - 1, z - 10), (n, z)) ∈ ?R
  by (auto simp: image_mset_eq mset_eq_add_0_mset nm1m1 τ_def simp del: One_nat_def
      intro: subset_implies_mult image_mset_subset_mono)
}
{
  assume z_le_100: ¬ z > 100

  have map_eq: map (λx. (f ^^ nat x) (z + 11)) [2..int n] =
    map (λi. (f ^^ nat i) z) [1..int n - 1]
    using n_ne_0
  proof (induct n rule: less_induct)
    case (less n)
    note ih = this(1) and n_ne_0 = this(2)
    show ?case
    proof (cases n = 1)
      case True
      thus ?thesis
        by simp
    next
      case False
      hence n_ge_2: n ≥ 2
        using n_ne_0 by simp

      have
        split_l: [2..int n] = [2..int (n - 1)] @ [int n] and
        split_r: [1..int n - 1] = [1..int (n - 1) - 1] @ [int n - 1]
        using n_ge_2 by (induct n) (auto simp: upto_rec2)
      from z_le_100 have f_f_z_11: f (f (z + 11)) = f z
        by (simp add: f_def)
      moreover define m where m = n - 2
      with n_ge_2 have n = m + 2
        by simp
      ultimately have f_repeat: (f ^^ n) (z + 11) = (f ^^ (n - 1)) z
        by (simp add: funpow_Suc_right del: funpow_simps)
      with n_ge_2 show ?thesis
        by (auto intro: ih [of nat (int n - 1)]
            simp: less.hyps split_l split_r nat_add_distrib nat_diff_distrib)
    qed
  qed
}

```

```

have [0..int n] = [0..1] @ [2..int n]
  using n_ne 0 by (simp add: upto_rec1)
hence {#(f ^^ nat x) (z + 11). x ∈# mset [0..int n]#} =
  {#(f ^^ nat x) (z + 11). x ∈# mset [0..1]#}
  + {#(f ^^ nat x) (z + 11). x ∈# mset [2..int n]#}
  by auto
hence factor_out_first_two: {#(f ^^ nat x) (z + 11). x ∈# mset [0..int n]#} =
  {#z + 11, f (z + 11)#} + {#(f ^^ nat x) (z + 11). x ∈# mset [2..int n]#}
  by (auto simp: upto_rec1)

let ?etc1 = {#(f ^^ nat i) (z + 11). i ∈# mset [2..int n]#}
let ?etc2 = {#(f ^^ nat i) z. i ∈# mset [1..int n - 1]#}

show ((n + 1, z + 11), (n, z)) ∈ ?R
proof (cases z ≥ 90)
  case z_ge_90: True

  have {#z + 11, f (z + 11)#} + ?etc1 = {#z + 11, z + 1#} + ?etc2
    using z_ge_90
    by (auto intro!: arg_cong2[of _ _ _ _ add_mset] simp: map_eq_f_def mset_map[symmetric]
      simp del: mset_map)
  hence image_mset_eq: {#(f ^^ nat x) (z + 11). x ∈# mset [0..int n]#} =
    {#z + 11, z + 1#} + ?etc2
    using factor_out_first_two by presburger

  have ({#z + 11, z + 1#}, {#z#}) ∈ mult1 lt
    using z_le_100 z_ge_90 by (auto intro!: mult1I simp: lt_def)
  hence ({#z + 11, z + 1#}, {#z#}) ∈ mult lt
    unfolding mult_def by simp
  hence ({#z + 11, z + 1#} + ?etc2, {#z#} + ?etc2) ∈ mult lt
    by (rule mult_cancel[THEN iffD2, OF lt_trans lt_irrefl])
  thus ?thesis
    using n_ne_0 by (auto simp: image_mset_eq τ_def upto_rec1[of 0 int n - 1])
next
  case z_lt_90: False

  have {#z + 11, f (z + 11)#} + ?etc1 = {#z + 11, 91#} + ?etc2
    using z_lt_90
    by (auto intro!: arg_cong2[of _ _ _ _ add_mset] simp: map_eq_f_def mset_map[symmetric]
      simp del: mset_map)
  hence image_mset_eq: {#(f ^^ nat x) (z + 11). x ∈# mset [0..int n]#} =
    {#z + 11, 91#} + ?etc2
    using factor_out_first_two by presburger

  have ({#z + 11, 91#}, {#z#}) ∈ mult1 lt
    using z_le_100 z_lt_90 by (auto intro!: mult1I simp: lt_def)
  hence ({#z + 11, 91#}, {#z#}) ∈ mult lt
    unfolding mult_def by simp
  hence ({#z + 11, 91#} + ?etc2, {#z#} + ?etc2) ∈ mult lt
    by (rule mult_cancel[THEN iffD2, OF lt_trans lt_irrefl])
  thus ?thesis
    using n_ne_0 by (auto simp: image_mset_eq τ_def upto_rec1[of 0 int n - 1])
qed
}
qed
qed

declare g.simps [simp del]

end

```

## 11 Termination of the Hydra Battle

**theory** *Hydra\_Battle*

**imports** *Syntactic\_Ordinal*

**begin**

**hide-const (open)** *Nil Cons*

The  $h$  function and its auxiliaries  $f$  and  $d$  represent the hydra battle. The  $encode$  function converts a hydra (represented as a Lisp-like tree) to a syntactic ordinal. The definitions follow Dershowitz and Moser.

**datatype** *lisp* =

*Nil*

| *Cons* (*car*: *lisp*) (*cdr*: *lisp*)

**where**

*car Nil* = *Nil*

| *cdr Nil* = *Nil*

**primrec** *encode* :: *lisp*  $\Rightarrow$  *hmultiset* **where**

*encode Nil* = 0

| *encode (Cons l r)* =  $\omega^{(encode\ l)} + encode\ r$

**primrec** *f* :: *nat*  $\Rightarrow$  *lisp*  $\Rightarrow$  *lisp*  $\Rightarrow$  *lisp* **where**

*f 0 y x* = *x*

| *f (Suc m) y x* = *Cons y (f m y x)*

**lemma** *encode\_f*: *encode (f n y x)* = *of\_nat n \*  $\omega^{(encode\ y)} + encode\ x$*

**unfolding** *of\_nat\_times\_omega\_exp* **by** (*induct n*) (*auto simp: HMSet\_plus[symmetric]*)

**function** *d* :: *nat*  $\Rightarrow$  *lisp*  $\Rightarrow$  *lisp* **where**

*d n x* =

(*if car x* = *Nil* *then cdr x*

*else if car (car x)* = *Nil* *then f n (cdr (car x)) (cdr x)*

*else Cons (d n (car x)) (cdr x)*)

**by** *pat\_completeness auto*

**termination**

**by** (*relation measure* ( $\lambda(\_, x).$  *size x*), *rule wf\_measure*, *rename\_tac n x*, *case\_tac x*, *auto*)

**declare** *d.simps[simp del]*

**function** *h* :: *nat*  $\Rightarrow$  *lisp*  $\Rightarrow$  *lisp* **where**

*h n x* = (*if x* = *Nil* *then Nil* *else h (n + 1) (d n x)*)

**by** *pat\_completeness auto*

**termination**

**proof** –

**let**  $?R = inv\_image \{(m, n). m < n\} (\lambda(n, x). encode\ x)$

**show** *?thesis*

**proof** (*relation ?R*)

**show** *wf ?R*

**by** (*rule wf\_inv\_image*) (*rule wf*)

**next**

**fix** *n x*

**assume** *x\_cons*: *x*  $\neq$  *Nil*

**thus**  $((n + 1, d\ n\ x), n, x) \in ?R$

**unfolding** *inv\_image\_def mem\_Collect\_eq prod.case*

**proof** (*induct x*)

**case** (*Cons l r*)

**note** *ihl* = *this(1)*

**show** *?case*

**proof** (*subst d.simps, simp, intro conjI impI*)

**assume** *l\_cons*: *l*  $\neq$  *Nil*

{

**assume** *car l* = *Nil*

**show** *encode (f n (cdr l) r)* <  $\omega^{(encode\ l)} + encode\ r$

```

    using l_cons by (cases l) (auto simp: encode_f[unfolded of_nat_times_omega_exp])
  }
  {
    show encode (d n l) < encode l
      by (rule ihl[OF l_cons])
  }
qed
qed simp
qed
qed

declare h.simps[simp del]

end

```

## 12 Termination of the Goodstein Sequence

```

theory Goodstein_Sequence
imports Multiset_More Syntactic_Ordinal
begin

```

The *goodstein* function returns the successive values of the Goodstein sequence. It is defined in terms of *encode* and *decode* functions, which convert between natural numbers and ordinals. The development culminates with a proof of Goodstein's theorem.

### 12.1 Lemmas about Division

```

lemma div_mult_le: m div n * n ≤ m for m n :: nat
  by (fact div_times_less_eq_dividend)

```

```

lemma power_div_same_base:
  b ^ y ≠ 0 ⇒ x ≥ y ⇒ b ^ x div b ^ y = b ^ (x - y) for b :: 'a::semidom_divide
  by (metis add_diff_inverse leD nonzero_mult_div_cancel_left power_add)

```

### 12.2 Hereditary and Nonhereditary Base-*n* Systems

```

context
  fixes base :: nat
  assumes base_ge_2: base ≥ 2
begin

```

```

inductive well_base :: 'a multiset ⇒ bool where
  (∀ n. count M n < base) ⇒ well_base M

```

```

lemma well_base_filter: well_base M ⇒ well_base {#m ∈# M. p m#}
  by (auto simp: well_base.simps)

```

```

lemma well_base_image_inj: well_base M ⇒ inj_on f (set_mset M) ⇒ well_base (image_mset f M)
  unfolding well_base.simps by (metis count_image_mset_le_count_inj_on le_less_trans)

```

```

lemma well_base_bound:
  assumes
    well_base M and
    ∀ m ∈# M. m < n
  shows (∑ m ∈# M. base ^ m) < base ^ n
  using assms

```

```

proof (induct n arbitrary: M)
  case (Suc n)
  note ih = this(1) and well_M = this(2) and in_M_lt_Sn = this(3)

```

```

  let ?Meq = {#m ∈# M. m = n#}
  let ?Mne = {#m ∈# M. m ≠ n#}
  let ?K = {#base ^ m. m ∈# M#}

```



```

have M: M = ?Meq + ?Mne
  by (simp add: multiset_partition)

have well_Mne: well_base ?Mne
  by (rule well_base_filter[OF well_M])

have in_Mne_lt_n:  $\forall m \in \# ?Mne. m < n$ 
  using in_M_lt_Sn by auto

have sum_mset (image_mset (( $\wedge$ ) base) ?Meq)  $\leq$  (base - 1) * base ^ n
  unfolding filter_eq_replicate_mset using base_ge_2
  by simp (metis Suc_pred diff_self_eq_0 le_SucE less_imp_le less_le_trans less_numeral_extra(3)
  pos2 well_M well_base.cases zero_less_diff)
moreover have base * base ^ n = base ^ n + (base - Suc 0) * base ^ n
  using base_ge_2 mult_eq_if by auto
ultimately show ?case
  using ih[OF well_Mne in_Mne_lt_n] by (subst M) simp
qed simp

```

```

inductive well_base_h :: hmultiset  $\Rightarrow$  bool where
  ( $\forall N \in \# \text{hmsetmset } M. \text{well\_base\_h } N \implies \text{well\_base } (\text{hmsetmset } M) \implies \text{well\_base\_h } M$ )

```

```

lemma well_base_h_mono_hmset: well_base_h M  $\implies$  hmsetmset N  $\subseteq \#$  hmsetmset M  $\implies$  well_base_h N
  by (induct rule: well_base_h.induct, rule well_base_h.intros, blast)
  (meson leD leI order_trans subteq_mset_def well_base.simps)

```

```

lemma well_base_h_imp_well_base: well_base_h M  $\implies$  well_base (hmsetmset M)
  by (erule well_base_h.cases) simp

```

### 12.3 Encoding of Natural Numbers into Ordinals

```

function encode :: nat  $\Rightarrow$  nat  $\Rightarrow$  hmultiset where
  encode e n =
    (if n = 0 then 0 else of_nat (n mod base) *  $\omega^{(\text{encode } 0 \ e)} + \text{encode } (e + 1) \ (n \text{ div } \text{base})$ )
  by pat_completeness auto
termination
  using base_ge_2
proof (relation measure ( $\lambda(e, n). n * (\text{base} ^ e + 1)$ ); simp)
  fix e n :: nat
  assume n_ge_0: n > 0

  have e + e  $\leq$  2 ^ e
    by (induct e; simp) (metis add_diff_cancel_left' add_leD1 diff_is_0_eq' double_not_eq_Suc_double
    le_antisym mult_2 not_less_eq_eq power_eq_0_iff zero_neq_numeral)
  also have ...  $\leq$  base ^ e
    using base_ge_2 by (simp add: power_mono)
  also have ...  $\leq$  n * base ^ e
    using n_ge_0 by (simp add: Suc_leI)
  also have ... < n + n * base ^ e
    using n_ge_0 by simp
  finally show e + e < n + n * base ^ e
    by assumption

  have n div base * (base * base ^ e)  $\leq$  n * base ^ e
    using base_ge_2 by (auto intro: div_mult_le)
  moreover have n div base < n
    using n_ge_0 base_ge_2 by simp
  ultimately show n div base + n div base * (base * base ^ e) < n + n * base ^ e
    by linarith
qed
declare encode.simps[simp del]

```

```

lemma encode_0[simp]: encode e 0 = 0
  by (subst encode.simps) simp

lemma encode_Suc:
  encode e (Suc n) = of_nat (Suc n mod base) * ω^(encode 0 e) + encode (e + 1) (Suc n div base)
  by (subst encode.simps) simp

lemma encode_0_iff: encode e n = 0 ↔ n = 0
proof (induct n arbitrary: e rule: less_induct)
  case (less n)
  note ih = this

  show ?case
  proof (cases n)
    case 0
    thus ?thesis
    by simp
  next
  case n: (Suc m)
  show ?thesis
  proof (cases n mod base = 0)
    case True
    hence n div base ≠ 0
    using div_eq_0_iff n by fastforce
    thus ?thesis
    using ih[of Suc m div base] n
    by (simp add: encode_Suc) (metis One_nat_def base_ge_2 div_eq_dividend_iff div_le_dividend
      leD lessI nat_neq_iff numeral_2_eq_2)
  next
  case False
  thus ?thesis
  using n plus_hmultiset_def by (simp add: encode_Suc[unfolded of_nat_times_ω_exp])
  qed
qed
qed

lemma encode_Suc_exp: encode (Suc e) n = encode e (base * n)
  using base_ge_2
  by (subst (1 2) encode.simps, subst (4) encode.simps, simp add: zero_hmultiset_def[symmetric])

lemma encode_exp_0: encode e n = encode 0 (base ^ e * n)
  by (induct e arbitrary: n) (simp_all add: encode_Suc_exp mult.assoc mult.commute)

lemma mem_hmsetmset_encodeD: M ∈# hmsetmset (encode e n) ⇒ ∃ e' ≥ e. M = encode 0 e'
proof (induct e n rule: encode.induct)
  case (1 e n)
  note ih = this(1-2) and M_in = this(3)

  show ?case
  proof (cases n)
    case 0
    thus ?thesis
    using M_in by simp
  next
  case n: (Suc m)

  {
    assume M ∈# replicate_mset (n mod base) (encode 0 e)
    hence ?thesis
    by (meson in_replicate_mset order_refl)
  }
  moreover
  {

```

```

    assume  $M \in \# \text{hmsetmset } (\text{encode } (e + 1) (n \text{ div base}))$ 
    hence  $?thesis$ 
      using  $ih(2) \text{ le\_add1 } n \text{ order\_trans}$  by  $\text{blast}$ 
  }
  ultimately show  $?thesis$ 
    using  $M\_in[\text{unfolded } n \text{ encode\_Suc}[\text{unfolded of\_nat\_times\_}\omega\_exp], \text{folded } n]$ 
    unfolding  $\text{hmsetmset\_plus}$  by  $\text{auto}$ 
qed
qed

lemma  $\text{less\_imp\_encode\_less}: n < p \implies \text{encode } e \ n < \text{encode } e \ p$ 
proof ( $\text{induct } e \ n \text{ arbitrary}; p \text{ rule: encode.induct}$ )
  case  $(1 \ e \ n)$ 
  note  $ih = \text{this}(1-2)$  and  $n\_lt\_p = \text{this}(3)$ 

  show  $?case$ 
  proof ( $\text{cases } n = 0$ )
    case  $\text{True}$ 
    thus  $?thesis$ 
      using  $n\_lt\_p \text{ base\_ge\_2 } \text{encode\_0\_iff}[\text{of } e \ p] \text{ le\_less}$  by  $\text{fastforce}$ 
  next
    case  $n\_nz: \text{False}$ 

    let  $?Ma = \text{replicate\_mset } (n \text{ mod base}) (\text{encode } 0 \ e)$ 
    let  $?Na = \text{replicate\_mset } (p \text{ mod base}) (\text{encode } 0 \ e)$ 
    let  $?Pa = \text{replicate\_mset } (n \text{ mod base} - p \text{ mod base}) (\text{encode } 0 \ e)$ 

    have  $\text{HMSet } ?Ma + \text{encode } (\text{Suc } e) (n \text{ div base}) < \text{HMSet } ?Na + \text{encode } (\text{Suc } e) (p \text{ div base})$ 
    proof ( $\text{cases } n \text{ mod base} < p \text{ mod base}$ )
      case  $\text{mod\_lt: True}$ 
      show  $?thesis$ 
        by ( $\text{rule } \text{add\_less\_le\_mono}, \text{simp add: mod\_lt},$ 
           $\text{metis } ih(2)[\text{of } p \text{ div base}, \text{OF } n\_nz] \text{ Suc\_eq\_plus1 div\_le\_mono le\_less } n\_lt\_p$ )
    next
      case  $\text{mod\_ge: False}$ 
      hence  $\text{div\_lt: } n \text{ div base} < p \text{ div base}$ 
      by ( $\text{metis } \text{add\_le\_cancel\_left div\_le\_mono div\_mult\_mod\_eq le\_neq\_implies\_less less\_imp\_le}$ 
         $n\_lt\_p \text{ nat\_neq\_iff}$ )

      let  $?M = \text{hmsetmset } (\text{encode } (\text{Suc } e) (n \text{ div base}))$ 
      let  $?N = \text{hmsetmset } (\text{encode } (\text{Suc } e) (p \text{ div base}))$ 

      have  $?M < ?N$ 
      by ( $\text{auto intro!: } ih(2)[\text{folded } \text{Suc\_eq\_plus1}] n\_nz \text{ div\_lt}$ )
      then obtain  $X \ Y$  where
         $X\_nemp: X \neq \{\#\}$  and
         $X\_sub: X \subseteq \# ?N$  and
         $M: ?M = ?N - X + Y$  and
         $ex\_gt: \forall y. y \in \# Y \longrightarrow (\exists x. x \in \# X \wedge x > y)$ 
      using  $\text{less\_multiset}_{DM}$  by  $\text{metis}$ 

      {
        fix  $x$ 
        assume  $x\_in\_X: x \in \# X$ 
        hence  $x\_in\_N: x \in \# ?N$ 
          using  $X\_sub$  by  $\text{blast}$ 
        then obtain  $e'$  where
           $e'\_gt: e' > e$  and
           $x: x = \text{encode } 0 \ e'$ 
          by ( $\text{auto simp: Suc\_le\_eq dest: mem\_hmsetmset\_encodeD}$ )

        have  $x > \text{encode } 0 \ e$ 
          unfolding  $x$  using  $ih(1)[\text{OF } n\_nz] \ e'\_gt$  by ( $\text{blast dest: Suc\_lessD}$ )
      }

```

```

}
hence  $ex\_gt\_e: \exists x \in \# X. x > encode\ 0\ e$ 
  using  $X\_nemp$  by auto

have  $X\_sub': X \subseteq \# ?Na + ?N$ 
  using  $X\_sub$  by (simp add: subset_mset.add_increasing)
have  $mam\_eq: ?Ma + ?M = ?Na + ?N - X + (Y + ?Pa)$ 
proof -
  from mod_ge have  $?Ma = ?Na + ?Pa$ 
    by (simp add: replicate_mset_plus [symmetric])
  moreover have  $?Na + ?N - X = ?Na + (?N - X)$ 
    by (meson  $X\_sub$  multiset_diff_union_assoc)
  ultimately show ?thesis
    by (simp add: M)
qed
have  $max\_X: \bigwedge k. k \in \# Y + ?Pa \implies \exists a. a \in \# X \wedge k < a$ 
  using  $ex\_gt\ mod\_ge\ ex\_gt\_e$  by (metis in_replicate_mset union_iff)

show ?thesis
  by (subst (4 8) hmultiset.collapse [symmetric],
      unfold HMSet_plus [symmetric] HMSet_less less_multiset_DM,
      rule exI [of _ X], rule exI [of _ Y + ?Pa],
      intro conjI impI allI  $X\_nemp\ X\_sub'\ mam\_eq, elim\ max\_X$ )
qed
thus ?thesis
  using  $n\_nz\ n\_lt\_p$  by (subst (1 2) encode_simps [unfolded of_nat_times_omega_exp]) auto
qed
qed

inductive aligned_e :: nat  $\Rightarrow$  hmultiset  $\Rightarrow$  bool where
  ( $\forall m \in \# hmsetmset\ M. m \geq encode\ 0\ e$ )  $\implies$  aligned_e e M

lemma aligned_e_encode: aligned_e e (encode e M)
  by (subst encode_exp_0, rule aligned_e.intros,
      metis encode_exp_0 leD leI lessI less_imp_encode_less lift_Suc_mono_less_iff
      mem_hmsetmset_encodeD)

lemma well_base_h_encode: well_base_h (encode e n)
proof (induct e n rule: encode.induct)
  case (1 e n)
  note ih = this

  have well2:  $\forall M \in \# hmsetmset\ (encode\ (Suc\ e)\ (n\ div\ base)). well\_base_h\ M$ 
    using ih(2) well_base_h.cases by (metis Suc_eq_plus1 Zero_not_Suc count_empty_div_0
    encode_0_iff hmsetmset_empty_iff in_countE)

  have cnt1:  $count\ (hmsetmset\ (encode\ (Suc\ e)\ (n\ div\ base)))\ (encode\ 0\ e) = 0$ 
    using aligned_e_encode [unfolded aligned_e_simps]
    less_imp_encode_less [of n Suc n for n, simplified]
    by (meson count_inI leD)

  show ?case
proof (rule well_base_h.intros)
  show  $\forall M \in \# hmsetmset\ (encode\ e\ n). well\_base_h\ M$ 
    by (subst encode_simps [unfolded of_nat_times_omega_exp],
        simp add: zero_hmultiset_def hmsetmset_plus, use ih(1) well2 in blast)
next
  show well_base (hmsetmset (encode e n))
    using cnt1 base_ge_2
    by (subst encode_simps [unfolded of_nat_times_omega_exp],
        simp add: well_base_simps zero_hmultiset_def hmsetmset_plus,
        metis ih(2) well_base_h_simps Suc_eq_plus1 less_numeral_extra(3) well_base_simps)
qed

```

qed

## 12.4 Decoding of Natural Numbers from Ordinals

**primrec** *decode* :: *nat*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *nat* **where**

*decode e (HMSet M) = ( $\sum m \in\# M. \text{base} \wedge \text{decode } 0 m$ ) div base  $\wedge e$*

**lemma** *decode\_unfold*: *decode e M = ( $\sum m \in\# \text{hmsetmset } M. \text{base} \wedge \text{decode } 0 m$ ) div base  $\wedge e$*   
**by** (*cases M*) *simp*

**lemma** *decode\_0[simp]*: *decode e 0 = 0*  
**unfolding** *zero\_hmultiset\_def* **by** *simp*

**inductive** *aligned\_d* :: *nat*  $\Rightarrow$  *hmultiset*  $\Rightarrow$  *bool* **where**  
( $\forall m \in\# \text{hmsetmset } M. \text{decode } 0 m \geq e$ )  $\Longrightarrow$  *aligned\_d e M*

**lemma** *aligned\_d\_0[simp]*: *aligned\_d 0 M*  
**by** (*rule aligned\_d.intros*) *simp*

**lemma** *aligned\_d\_mono\_exp\_Suc*: *aligned\_d (Suc e) M  $\Longrightarrow$  aligned\_d e M*  
**by** (*auto simp: aligned\_d.simps*)

**lemma** *aligned\_d\_mono\_hmset*:  
**assumes** *aligned\_d e M* **and** *hmsetmset M'  $\subseteq\#$  hmsetmset M*  
**shows** *aligned\_d e M'*  
**using** *assms* **by** (*auto simp: aligned\_d.simps*)

**lemma** *decode\_exp\_shift\_Suc*:  
**assumes** *align\_d: aligned\_d (Suc e) M*  
**shows** *decode e M = base \* decode (Suc e) M*  
**proof** (*subst (1 2) decode\_unfold, subst (1 2) sum\_mset\_distrib\_div\_if\_dvd*)  
**note** *align' = align\_d[unfolded aligned\_d.simps, simplified, unfolded Suc\_le\_eq]*

**show**  $\forall m \in\# \text{hmsetmset } M. \text{base} \wedge \text{Suc } e \text{ dvd } \text{base} \wedge \text{decode } 0 m$   
**using** *align' Suc\_leI le\_imp\_power\_dvd* **by** *blast*

**show**  $\forall m \in\# \text{hmsetmset } M. \text{base} \wedge e \text{ dvd } \text{base} \wedge \text{decode } 0 m$   
**using** *align'* **by** (*simp add: le\_imp\_power\_dvd le\_less*)

**have** *base\_e\_nz: base  $\wedge e \neq 0$*   
**using** *base\_ge\_2* **by** *simp*

**have** *mult\_base*:  
*base  $\wedge$  decode 0 m div base  $\wedge e = \text{base} * (\text{base} \wedge \text{decode } 0 m \text{ div } (\text{base} * \text{base} \wedge e))$*   
**if** *m\_in: m  $\in\#$  hmsetmset M* **for** *m*  
**using** *m\_in align'*  
**by** (*subst power\_div\_same\_base[OF base\_e\_nz], force,*  
*metis Suc\_diff\_Suc Suc\_leI mult\_is\_0 power\_Suc power\_div\_same\_base power\_not\_zero*)

**show** ( $\sum m \in\# \text{hmsetmset } M. \text{base} \wedge \text{decode } 0 m \text{ div } \text{base} \wedge e$ ) =  
*base \* ( $\sum m \in\# \text{hmsetmset } M. \text{base} \wedge \text{decode } 0 m \text{ div } \text{base} \wedge \text{Suc } e$ )*  
**by** (*auto simp: sum\_mset\_distrib\_left intro!: arg\_cong[of \_ \_ sum\_mset] image\_mset\_cong elim!: mult\_base*)

qed

**lemma** *decode\_exp\_shift*:  
**assumes** *aligned\_d e M*  
**shows** *decode 0 M = base  $\wedge e$  \* decode e M*  
**using** *assms* **by** (*induct e*) (*auto simp: decode\_exp\_shift\_Suc dest: aligned\_d\_mono\_exp\_Suc*)

**lemma** *decode\_plus*:  
**assumes** *align\_d\_M: aligned\_d e M*  
**shows** *decode e (M + N) = decode e M + decode e N*  
**using** *align\_d\_M[unfolded aligned\_d.simps, simplified]*

**by** (*subst* (1 2 3) *decode\_unfold*) (*auto simp: hmsetmset\_plus*  
*intro!: le\_imp\_power\_dvd div\_plus\_div\_distrib\_dvd\_left[OF sum\_mset\_dvd]*)

**lemma** *less\_imp\_decode\_less*:

**assumes**

*well\_base\_h M* **and**

*aligned\_d e M* **and**

*aligned\_d e N* **and**

$M < N$

**shows** *decode e M < decode e N*

**using** *assms*

**proof** (*induct M arbitrary: N e rule: less\_induct*)

**case** (*less M*)

**note** *ih = this(1)* **and** *well\_h\_M = this(2)* **and** *align\_d\_M = this(3)* **and** *align\_d\_N = this(4)* **and**  
 $M\_lt\_N = this(5)$

**obtain** *K Ma Na* **where**

$M: M = K + Ma$  **and**

$N: N = K + Na$  **and**

*hds: head\_ω Ma < head\_ω Na*

**using** *hmset\_pair\_decompose\_less[OF M\_lt\_N]* **by** *blast*

**obtain** *H* **where**

$H: head_ω Na = ω^H$

**using** *hds head\_ω\_def* **by** *fastforce*

**have** *H\_in: H ∈# hmsetmset Na*

**by** (*metis* (*no\_types*) *H Max\_in add\_mset\_eq\_single add\_mset\_not\_empty finite\_set\_mset head\_ω\_def*  
*hmsetmset\_empty\_iff hmultiset.simps(1) set\_mset\_eq\_empty\_iff zero\_hmultiset\_def*)

**have** *well\_h\_Ma: well\_base\_h Ma*

**by** (*rule well\_base\_h\_mono hmset[OF well\_h\_M]*) (*simp add: M hmsetmset\_plus*)

**have** *align\_d\_K: aligned\_d e K*

**using** *M align\_d\_M aligned\_d\_mono\_hmset hmsetmset\_plus* **by** *auto*

**have** *align\_d\_Ma: aligned\_d e Ma*

**using** *M align\_d\_M aligned\_d\_mono\_hmset hmsetmset\_plus* **by** *auto*

**have** *align\_d\_Na: aligned\_d e Na*

**using** *N align\_d\_N aligned\_d\_mono\_hmset hmsetmset\_plus* **by** *auto*

**have** *inj\_on (decode 0) (set\_mset (hmsetmset Ma))*

**unfolding** *inj\_on\_def*

**proof** *clarify*

**fix** *x y*

**assume**

*x\_in: x ∈# hmsetmset Ma* **and**

*y\_in: y ∈# hmsetmset Ma* **and**

*dec\_eq: decode 0 x = decode 0 y*

{

**fix** *x y*

**assume**

*x\_in: x ∈# hmsetmset Ma* **and**

*y\_in: y ∈# hmsetmset Ma* **and**

*x\_lt\_y: x < y*

**have** *x\_lt\_M: x < M*

**unfolding** *M* **using** *mem\_hmsetmset\_imp\_less[OF x\_in]* **by** (*simp add: trans\_less\_add2\_hmset*)

**have** *well\_h\_x: well\_base\_h x*

**using** *well\_h\_Ma well\_base\_h.simps x\_in* **by** *blast*

**have** *decode 0 x < decode 0 y*

**by** (*rule ih[OF x\_lt\_M well\_h\_x aligned\_d\_0 aligned\_d\_0 x\_lt\_y]*)

}

**thus**  $x = y$

```

    using x_in y_in dec_eq by (metis leI less_irrefl_nat order.not_eq_order_implies_strict)
qed
hence well_dec_Ma: well_base (image_mset (decode 0) (hmsetmset Ma))
  by (rule well_base_image_inj[OF well_base_h_imp_well_base[OF well_h_Ma]])

have H_bound:  $\forall m \in \# \text{hmsetmset } Ma. \text{decode } 0 \ m < \text{decode } 0 \ H$ 
proof
  fix m
  assume m_in:  $m \in \# \text{hmsetmset } Ma$ 

  have  $\forall m \in \# \text{hmsetmset } (\text{head } \omega \ Ma). \ m < H$ 
  using hds[unfolded H] using head_omega_def by auto
  hence m_lt_H:  $m < H$ 
  using m_in
  by (metis Max_less_iff empty_iff finite_set_mset head_omega_def hmultiset.sel insert_iff
    set_mset_add_mset_insert)

  have m_lt_M:  $m < M$ 
  using mem_hmsetmset_imp_less[OF m_in] by (simp add: M_trans_less_add2_hmset)

  have well_h_m: well_base_h m
  using m_in well_h_Ma well_base_h.cases by blast

  show  $\text{decode } 0 \ m < \text{decode } 0 \ H$ 
  by (rule ih[OF m_lt_M well_h_m aligned_d_0 aligned_d_0 m_lt_H])
qed

have  $\text{decode } 0 \ Ma < \text{base} \wedge \text{decode } 0 \ H$ 
  using well_base_bound[OF well_dec_Ma, simplified, OF H_bound] by (subst decode_unfold) simp
also have  $\dots \leq \text{decode } 0 \ Na$ 
  by (subst (2) decode_unfold, simp, rule sum_image_mset_mono_mem[OF H_in])
finally have  $\text{decode } e \ Ma < \text{decode } e \ Na$ 
  using decode_exp_shift[OF align_d_Ma] decode_exp_shift[OF align_d_Na] by simp
thus  $\text{decode } e \ M < \text{decode } e \ N$ 
  unfolding M N by (simp add: decode_plus[OF align_d_K])
qed

lemma inj_decode:  $\text{inj\_on } (\text{decode } e) \ \{M. \text{well\_base\_h } M \wedge \text{aligned\_d } e \ M\}$ 
  unfolding inj_on_def Ball_def mem_Collect_eq
  by (metis less_imp_decode_less less_irrefl_nat neqE)

lemma decode_0_iff:  $\text{well\_base\_h } M \implies \text{aligned\_d } e \ M \implies \text{decode } e \ M = 0 \longleftrightarrow M = 0$ 
  by (metis aligned_d_0 decode_0 decode_exp_shift encode_0 less_imp_decode_less mult_0_right neqE
    not_less_zero well_base_h_encode)

lemma decode_encode:  $\text{decode } e \ (\text{encode } e \ n) = n$ 
proof (induct e n rule: encode.induct)
  case (1 e n)
  note ih = this

  show ?case
  proof (cases  $n = 0$ )
    case n_nz: False

    have align_d1:  $\text{aligned\_d } e \ (\text{of\_nat } (n \bmod \text{base}) * \omega^{(\text{encode } 0 \ e)})$ 
    unfolding of_nat_times_omega_exp using n_nz by (auto simp: ih(1) aligned_d_simps)
    have align_d2:  $\text{aligned\_d } (\text{Suc } e) \ (\text{encode } (\text{Suc } e) \ (n \text{ div } \text{base}))$ 
    by (safe intro!: aligned_d.intros, subst ih(1)[OF n_nz, symmetric],
      auto dest: mem_hmsetmset_encodeD intro!: Suc_le_eq[THEN iffD2]
      less_imp_decode_less[OF well_base_h_encode aligned_d_0 aligned_d_0] less_imp_encode_less)

    show ?thesis
    using ih base_ge_2
  end
end

```

```

    by (subst encode.simps[unfolded of_nat_times_omega_exp])
      (simp add: decode_plus[OF align_d1[unfolded of_nat_times_omega_exp]]
        decode_exp_shift_Suc[OF align_d2])
  qed simp
qed

```

```

lemma encode_decode_exp_0: well_base_h M ==> encode 0 (decode 0 M) = M
  by (auto intro: inj_onD[OF inj_decode] decode_encode well_base_h_encode)

```

end

```

lemma well_base_h_mono_base:
  assumes
    well_h: well_base_h base M and
    two: 2 ≤ base and
    bases: base ≤ base'
  shows well_base_h base' M
  using two well_h
  by (induct rule: well_base_h.induct)
    (meson two bases less_le_trans order_trans well_base_h.intros well_base.simps)

```

## 12.5 The Goodstein Sequence and Goodstein's Theorem

context

fixes start :: nat

begin

primrec goodstein :: nat ⇒ nat where

goodstein 0 = start

| goodstein (Suc i) = decode (i + 3) 0 (encode (i + 2) 0 (goodstein i)) - 1

lemma goodstein\_step:

assumes gi\_gt\_0: goodstein i > 0

shows encode (i + 2) 0 (goodstein i) > encode (i + 3) 0 (goodstein (i + 1))

proof -

let ?Ei = encode (i + 2) 0 (goodstein i)

let ?reencode = encode (i + 3) 0

let ?decoded\_Ei = decode (i + 3) 0 ?Ei

have two\_le: 2 ≤ i + 3

by simp

have well\_base\_h (i + 2) ?Ei

by (rule well\_base\_h\_encode) simp

hence well\_h: well\_base\_h (i + 3) ?Ei

by (rule well\_base\_h\_mono\_base) simp\_all

have decoded\_Ei\_gt\_0: ?decoded\_Ei > 0

by (metis gi\_gt\_0 grOI encode\_0\_iff le\_add2 decode\_0\_iff[OF well\_h aligned\_d\_0] two\_le)

have ?reencode (?decoded\_Ei - 1) < ?reencode ?decoded\_Ei

by (rule less\_imp\_encode\_less[OF two\_le]) (use decoded\_Ei\_gt\_0 in linarith)

also have ... = ?Ei

by (simp only: encode\_decode\_exp\_0[OF two\_le well\_h])

finally show ?thesis

by simp

qed

theorem goodsteins\_theorem: ∃ i. goodstein i = 0

proof -

let ?G = λ i. encode (i + 2) 0 (goodstein i)

obtain i where

¬ ?G i > ?G (i + 1)



```

    using wf_iff_no_infinite_down_chain[THEN iffD1, OF wf,
      unfolded not_ex not_all mem_Collect_eq prod.case, rule_format, of ?G]
  by auto
hence goodstein i = 0
  using goodstein_step by (metis add.assoc gr0I one_plus_numeral semiring_norm(3))
thus ?thesis
  by blast
qed

end

end

```

## 13 Towards Decidability of Behavioral Equivalence for Unary PCF

```

theory Unary_PCF
  imports
    HOL-Library.FSet
    HOL-Library.Countable_Set_Type
    HOL-Library.Nat_Bijection
    Hereditary_Multiset
    List-Index.List_Index
begin

```

### 13.1 Preliminaries

```

lemma prod_UNIV: UNIV = UNIV × UNIV
  by auto

```

```

lemma infinite_cartesian_productI1: infinite A ⇒ B ≠ {} ⇒ infinite (A × B)
  by (auto dest!: finite_cartesian_productD1)

```

### 13.2 Types

```

datatype type = B (B) | Fun type type (infixr → 65)

```

```

definition mk_fun (infixr →→ 65) where
  Ts →→ T = fold (→) (rev Ts) T

```

```

primrec dest_fun where
  dest_fun B = []
| dest_fun (T → U) = T # dest_fun U

```

```

definition arity where
  arity T = length (dest_fun T)

```

```

lemma mk_fun_dest_fun[simp]: dest_fun T →→ B = T
  by (induct T) (auto simp: mk_fun_def)

```

```

lemma dest_fun_mk_fun[simp]: dest_fun (Ts →→ T) = Ts @ dest_fun T
  by (induct Ts) (auto simp: mk_fun_def)

```

```

primrec δ where
  δ B = HMSet {#}
| δ (T → U) = HMSet (add_mset (δ T) (hmsetmset (δ U)))

```

```

lemma δ_mk_fun: δ (Ts →→ T) = HMSet (hmsetmset (δ T) + mset (map δ Ts))
  by (induct Ts) (auto simp: mk_fun_def)

```

```

lemma type_induct [case_names Fun]:
  assumes
    (∧ T. (∧ T1 T2. T = T1 → T2 ⇒ P T1) ⇒
      (∧ T1 T2. T = T1 → T2 ⇒ P T2) ⇒ P T)

```

```

  shows  $P T$ 
proof (induct  $T$ )
  case  $B$ 
  show ?case by (rule assms) simp_all
next
  case  $Fun$ 
  show ?case by (rule assms) (insert  $Fun$ , simp_all)
qed

```

### 13.3 Terms

**type-synonym**  $name = string$

**type-synonym**  $idx = nat$

**datatype**  $expr =$

```

  Var name * type (<_>) | Bound idx | B bool
  | Seq expr expr (infixr ? 75) | App expr expr (infixl · 75)
  | Abs type expr ( $\Lambda$ <_> _ [100, 100] 800)

```

**declare**  $[[coercion\_enabled]]$

**declare**  $[[coercion B]]$

**declare**  $[[coercion Bound]]$

**notation (output)**  $B$  (<\_>)

**notation (output)**  $Bound$  (<\_>)

**primrec**  $open :: idx \Rightarrow expr \Rightarrow expr \Rightarrow expr$  **where**

```

  open i t (j :: idx) = (if i = j then t else j)
| open i t <yU> = <yU>
| open i t (b :: bool) = b
| open i t (e1 ? e2) = open i t e1 ? open i t e2
| open i t (e1 · e2) = open i t e1 · open i t e2
| open i t ( $\Lambda$ <U> e) =  $\Lambda$ <U> (open (i + 1) t e)

```

**abbreviation**  $open0 \equiv open 0$

**abbreviation**  $open\_Var i xT \equiv open i$  <xT>

**abbreviation**  $open0\_Var xT \equiv open 0$  <xT>

**primrec**  $close\_Var :: idx \Rightarrow name \times type \Rightarrow expr \Rightarrow expr$  **where**

```

  close\_Var i xT (j :: idx) = j
| close\_Var i xT <yU> = (if xT = yU then i else <yU>)
| close\_Var i xT (b :: bool) = b
| close\_Var i xT (e1 ? e2) = close\_Var i xT e1 ? close\_Var i xT e2
| close\_Var i xT (e1 · e2) = close\_Var i xT e1 · close\_Var i xT e2
| close\_Var i xT ( $\Lambda$ <U> e) =  $\Lambda$ <U> (close\_Var (i + 1) xT e)

```

**abbreviation**  $close0\_Var \equiv close\_Var 0$

**primrec**  $fv :: expr \Rightarrow (name \times type) fset$  **where**

```

  fv (j :: idx) = {||}
| fv <yU> = {||yU|}
| fv (b :: bool) = {||}
| fv (e1 ? e2) = fv e1  $\cup$  fv e2
| fv (e1 · e2) = fv e1  $\cup$  fv e2
| fv ( $\Lambda$ <U> e) = fv e

```

**abbreviation**  $fresh x e \equiv x \notin fv e$

**lemma**  $ex\_fresh: \exists x. (x :: char list, T) \notin A$

**proof** (rule ccontr, unfold not\_ex not\_not)

assume  $\forall x. (x, T) \in A$

then have infinite  $\{x. (x, T) \in A\}$  (is infinite ?P)

by (auto simp add: infinite\_UNIV\_listI)

moreover

have ?P  $\subseteq fst$  ' fset A

```

  by (force simp: fmember.rep_eq)
from finite_surj[OF _ this] have finite ?P
  by simp
ultimately show False by blast
qed

```

**inductive** *lc* **where**

```

  lc_Var[simp]: lc ⟨xT⟩
| lc_B[simp]: lc (b :: bool)
| lc_Seq: lc e1 ⟹ lc e2 ⟹ lc (e1 ? e2)
| lc_App: lc e1 ⟹ lc e2 ⟹ lc (e1 · e2)
| lc_Abs: (∀x. (x, T) |∉| X ⟶ lc (open0_Var (x, T) e)) ⟹ lc (Λ⟨T⟩ e)

```

**declare** *lc.intros*[intro]

**definition** *body*  $T\ t \equiv (\exists X. \forall x. (x, T) |∉| X \longrightarrow lc\ (open0\_Var\ (x, T)\ t))$

**lemma** *lc\_Abs\_iff\_body*:  $lc\ (\Lambda\langle T\rangle\ t) \longleftrightarrow body\ T\ t$

**unfolding** *body\_def* **by** (*subst* *lc.simps*) *simp*

**lemma** *fv\_open\_Var*:  $fresh\ xT\ t \implies fv\ (open\_Var\ i\ xT\ t) |⊆| finsert\ xT\ (fv\ t)$

**by** (*induct* *t* *arbitrary*: *i*) *auto*

**lemma** *fv\_close\_Var*[simp]:  $fv\ (close\_Var\ i\ xT\ t) = fv\ t\ |-| \{\{xT\}\}$

**by** (*induct* *t* *arbitrary*: *i*) *auto*

**lemma** *close\_Var\_open\_Var*[simp]:  $fresh\ xT\ t \implies close\_Var\ i\ xT\ (open\_Var\ i\ xT\ t) = t$

**by** (*induct* *t* *arbitrary*: *i*) *auto*

**lemma** *open\_Var\_inj*:  $fresh\ xT\ t \implies fresh\ xT\ u \implies open\_Var\ i\ xT\ t = open\_Var\ i\ xT\ u \implies t = u$

**by** (*metis* *close\_Var\_open\_Var*)

**context** **begin**

**private lemma** *open\_Var\_open\_Var\_close\_Var*:  $i \neq j \implies xT \neq yU \implies fresh\ yU\ t \implies$

$open\_Var\ i\ yU\ (open\_Var\ j\ zV\ (close\_Var\ j\ xT\ t)) = open\_Var\ j\ zV\ (close\_Var\ j\ xT\ (open\_Var\ i\ yU\ t))$

**by** (*induct* *t* *arbitrary*: *i\ j*) *auto*

**lemma** *open\_Var\_close\_Var*[simp]:  $lc\ t \implies open\_Var\ i\ xT\ (close\_Var\ i\ xT\ t) = t$

**proof** (*induction* *t* *arbitrary*: *i* *rule*: *lc.induct*)

**case** (*lc\_Abs* *T* *X* *e* *i*)

**obtain** *x* **where**  $x: fresh\ (x, T)\ e\ (x, T) \neq xT\ (x, T) |∉| X$

**using** *ex\_fresh*[of *fv* *e* |∪| *finsert* *xT* *X*] **by** *blast*

**with** *lc\_Abs.IH* **have**  $lc\ (open0\_Var\ (x, T)\ e)$

$open\_Var\ (i + 1)\ xT\ (close\_Var\ (i + 1)\ xT\ (open0\_Var\ (x, T)\ e)) = open0\_Var\ (x, T)\ e$

**by** *auto*

**with** *x* **show** ?*case*

**by** (*auto* *simp*: *open\_Var\_open\_Var\_close\_Var*

*dest*: *fset\_mp*[*OF* *fv\_open\_Var*, *rotated*]

*intro!*: *open\_Var\_inj*[of  $(x, T)\ \_ e\ 0$ ])

**qed** *auto*

**end**

**lemma** *close\_Var\_inj*:  $lc\ t \implies lc\ u \implies close\_Var\ i\ xT\ t = close\_Var\ i\ xT\ u \implies t = u$

**by** (*metis* *open\_Var\_close\_Var*)

**primrec** *Apps* (*infixl* · 75) **where**

$f \cdot [] = f$

$| f \cdot (x \# xs) = f \cdot x \cdot xs$

**lemma** *Apps\_snoc*:  $f \cdot (xs @ [x]) = f \cdot xs \cdot x$

**by** (*induct* *xs* *arbitrary*: *f*) *auto*

**lemma** *Apps\_append*:  $f \cdot (xs @ ys) = f \cdot xs \cdot ys$   
**by** (*induct xs arbitrary: f*) *auto*

**lemma** *Apps\_inj[simp]*:  $f \cdot ts = g \cdot ts \longleftrightarrow f = g$   
**by** (*induct ts arbitrary: f g*) *auto*

**lemma** *eq\_Apps\_conv[simp]*:  
**fixes**  $i :: idx$  **and**  $b :: bool$  **and**  $f :: expr$  **and**  $ts :: expr\ list$   
**shows**  
 $(\langle m \rangle = f \cdot ts) = (\langle m \rangle = f \wedge ts = [])$   
 $(f \cdot ts = \langle m \rangle) = (\langle m \rangle = f \wedge ts = [])$   
 $(i = f \cdot ts) = (i = f \wedge ts = [])$   
 $(f \cdot ts = i) = (i = f \wedge ts = [])$   
 $(b = f \cdot ts) = (b = f \wedge ts = [])$   
 $(f \cdot ts = b) = (b = f \wedge ts = [])$   
 $(e1\ ?\ e2 = f \cdot ts) = (e1\ ?\ e2 = f \wedge ts = [])$   
 $(f \cdot ts = e1\ ?\ e2) = (e1\ ?\ e2 = f \wedge ts = [])$   
 $(\Lambda(T)\ t = f \cdot ts) = (\Lambda(T)\ t = f \wedge ts = [])$   
 $(f \cdot ts = \Lambda(T)\ t) = (\Lambda(T)\ t = f \wedge ts = [])$   
**by** (*induct ts arbitrary: f*) *auto*

**lemma** *Apps\_Var\_eq[simp]*:  $\langle xT \rangle \cdot ss = \langle yU \rangle \cdot ts \longleftrightarrow xT = yU \wedge ss = ts$   
**proof** (*induct ss arbitrary: ts rule: rev\_induct*)  
**case** *snoc*  
**then show**  $?case$  **by** (*induct ts rule: rev\_induct*) (*auto simp: Apps\_snoc*)  
**qed** *auto*

**lemma** *Apps\_Abs\_neq\_Apps[simp, symmetric, simp]*:  
 $\Lambda(T)\ r \cdot t \neq \langle xT \rangle \cdot ss$   
 $\Lambda(T)\ r \cdot t \neq (i :: idx) \cdot ss$   
 $\Lambda(T)\ r \cdot t \neq (b :: bool) \cdot ss$   
 $\Lambda(T)\ r \cdot t \neq (e1\ ?\ e2) \cdot ss$   
**by** (*induct ss rule: rev\_induct*) (*auto simp: Apps\_snoc*)

**lemma** *App\_Abs\_eq\_Apps\_Abs[simp]*:  $\Lambda(T)\ r \cdot t = \Lambda(T')\ r' \cdot ss \longleftrightarrow T = T' \wedge r = r' \wedge ss = [t]$   
**by** (*induct ss rule: rev\_induct*) (*auto simp: Apps\_snoc*)

**lemma** *Apps\_Var\_neq\_Apps\_Abs[simp, symmetric, simp]*:  $\langle xT \rangle \cdot ss \neq \Lambda(T)\ r \cdot ts$   
**proof** (*induct ss arbitrary: ts rule: rev\_induct*)  
**case** (*snoc a ss*)  
**then show**  $?case$  **by** (*induct ts rule: rev\_induct*) (*auto simp: Apps\_snoc*)  
**qed** *simp*

**lemma** *Apps\_Var\_neq\_Apps\_beta[simp, THEN not\_sym, simp]*:  
 $\langle xT \rangle \cdot ss \neq \Lambda(T)\ r \cdot s \cdot ts$   
**by** (*metis Apps\_Var\_neq\_Apps\_Abs Apps\_append Apps\_snoc eq\_Apps\_conv(9)*)

**lemma** [*simp*]:  
 $(\Lambda(T)\ r \cdot ts = \Lambda(T')\ r' \cdot s' \cdot ts') = (T = T' \wedge r = r' \wedge ts = s' \# ts')$   
**proof** (*induct ts arbitrary: ts' rule: rev\_induct*)  
**case** *Nil*  
**then show**  $?case$  **by** (*induct ts' rule: rev\_induct*) (*auto simp: Apps\_snoc*)  
**next**  
**case** *snoc*  
**then show**  $?case$  **by** (*induct ts' rule: rev\_induct*) (*auto simp: Apps\_snoc*)  
**qed**

**lemma** *fold\_eq\_Bool\_iff[simp]*:  
 $fold\ (\rightarrow)\ (rev\ Ts)\ T = \mathcal{B} \longleftrightarrow Ts = [] \wedge T = \mathcal{B}$   
 $\mathcal{B} = fold\ (\rightarrow)\ (rev\ Ts)\ T \longleftrightarrow Ts = [] \wedge T = \mathcal{B}$   
**by** (*induct Ts*) *auto*

**lemma** *fold\_eq\_Fun\_iff[simp]*:  
 $fold (\rightarrow) (rev Ts) T = U \rightarrow V \longleftrightarrow$   
 $(Ts = [] \wedge T = U \rightarrow V \vee (\exists Us. Ts = U \# Us \wedge fold (\rightarrow) (rev Us) T = V))$   
**by** (*induct Ts*) *auto*

### 13.4 Substitution

**primrec** *subst* **where**  
 $subst\ xT\ t\ \langle yU \rangle = (if\ xT = yU\ then\ t\ else\ \langle yU \rangle)$   
 $|\ subst\ xT\ t\ (i :: idx) = i$   
 $| \subst\ xT\ t\ (b :: bool) = b$   
 $| \subst\ xT\ t\ (e1\ ?\ e2) = subst\ xT\ t\ e1\ ?\ subst\ xT\ t\ e2$   
 $| \subst\ xT\ t\ (e1 \cdot e2) = subst\ xT\ t\ e1 \cdot subst\ xT\ t\ e2$   
 $| \subst\ xT\ t\ (\Lambda\langle T \rangle\ e) = \Lambda\langle T \rangle\ (subst\ xT\ t\ e)$

**lemma** *fv\_subst*:  
 $fv\ (subst\ xT\ t\ u) = fv\ u\ |-|\ \{|xT|\}\ |\cup|\ (if\ xT\ |\in|\ fv\ u\ then\ fv\ t\ else\ \{\})$   
**by** (*induct u*) *auto*

**lemma** *subst\_fresh*:  $fresh\ xT\ u \implies subst\ xT\ t\ u = u$   
**by** (*induct u*) *auto*

**context** *begin*

**private lemma** *open\_open\_id*:  $i \neq j \implies open\ i\ t\ (open\ j\ t'\ u) = open\ j\ t'\ u \implies open\ i\ t\ u = u$   
**by** (*induct u arbitrary: i j*) (*auto 6 0*)

**lemma** *lc\_open\_id*:  $lc\ u \implies open\ k\ t\ u = u$

**proof** (*induct u arbitrary: k rule: lc.induct*)  
**case** (*lc\_Abs T X e*)  
**obtain**  $x$  **where**  $x: fresh\ (x, T)\ e\ (x, T)\ |\notin|\ X$   
**using** *ex\_fresh[of \_ fv e |\cup| X]* **by** *blast*  
**with** *lc\_Abs* **show** *?case*  
**by** (*auto intro: open\_open\_id dest: spec[of \_ x] spec[of \_ Suc k]*)  
**qed** *auto*

**lemma** *subst\_open*:  $lc\ u \implies subst\ xT\ u\ (open\ i\ t\ v) = open\ i\ (subst\ xT\ u\ t)\ (subst\ xT\ u\ v)$   
**by** (*induction v arbitrary: i*) (*auto intro: lc\_open\_id[symmetric]*)

**lemma** *subst\_open\_Var*:  
 $xT \neq yU \implies lc\ u \implies subst\ xT\ u\ (open\_Var\ i\ yU\ v) = open\_Var\ i\ yU\ (subst\ xT\ u\ v)$   
**by** (*auto simp: subst\_open*)

**lemma** *subst\_Apps[simp]*:  
 $subst\ xT\ u\ (f \cdot xs) = subst\ xT\ u\ f \cdot map\ (subst\ xT\ u)\ xs$   
**by** (*induct xs arbitrary: f*) *auto*

**end**

**context** *begin*

**private lemma** *fresh\_close\_Var\_id*:  $fresh\ xT\ t \implies close\_Var\ k\ xT\ t = t$   
**by** (*induct t arbitrary: k*) *auto*

**lemma** *subst\_close\_Var*:  
 $xT \neq yU \implies fresh\ yU\ u \implies subst\ xT\ u\ (close\_Var\ i\ yU\ t) = close\_Var\ i\ yU\ (subst\ xT\ u\ t)$   
**by** (*induct t arbitrary: i*) (*auto simp: fresh\_close\_Var\_id*)

**end**

**lemma** *subst\_intro*:  $fresh\ xT\ t \implies lc\ u \implies open0\ u\ t = subst\ xT\ u\ (open0\_Var\ xT\ t)$   
**by** (*auto simp: subst\_fresh subst\_open*)

**lemma** *lc\_subst[simp]*:  $lc\ u \implies lc\ t \implies lc\ (subst\ xT\ t\ u)$

```

proof (induct u rule: lc.induct)
  case (lc_Abs T X e)
  then show ?case
    by (auto simp: subst_open_Var intro!: lc.lc_Abs[of _ fv e | $\cup$ | X | $\cup$ | {|xT|}])
qed auto

```

```

lemma body_subst[simp]: body U u  $\implies$  lc t  $\implies$  body U (subst xT t u)
proof (subst (asm) body_def, elim conjE exE)
  fix X
  assume [simp]: lc t  $\forall x.$  (x, U)  $\notin$  X  $\longrightarrow$  lc (open0_Var (x, U) u)
  show body U (subst xT t u)
proof (unfold body_def, intro exI[of _ finsert xT X] conjI allI impI)
  fix x
  assume (x, U)  $\notin$  finsert xT X
  then show lc (open0_Var (x, U) (subst xT t u))
    by (auto simp: subst_open_Var[symmetric])
qed
qed

```

```

lemma lc_open_Var: lc u  $\implies$  lc (open_Var i xT u)
  by (simp add: lc_open_id)

```

```

lemma lc_open[simp]: body U u  $\implies$  lc t  $\implies$  lc (open0 t u)
proof (unfold body_def, elim conjE exE)
  fix X
  assume [simp]: lc t  $\forall x.$  (x, U)  $\notin$  X  $\longrightarrow$  lc (open0_Var (x, U) u)
  with ex_fresh[of _ fv u | $\cup$ | X] obtain x where [simp]: fresh (x, U) u (x, U)  $\notin$  X by blast
  show ?thesis by (subst subst_intro[of (x, U)]) auto
qed

```

## 13.5 Typing

```

inductive welltyped :: expr  $\Rightarrow$  type  $\Rightarrow$  bool (infix ::: 60) where
  welltyped_Var[intro!]:  $\langle(x, T)\rangle$  ::: T
| welltyped_B[intro!]: (b :: bool) ::: B
| welltyped_Seq[intro!]: e1 ::: B  $\implies$  e2 ::: B  $\implies$  e1 ? e2 ::: B
| welltyped_App[intro!]: e1 ::: T  $\rightarrow$  U  $\implies$  e2 ::: T  $\implies$  e1  $\cdot$  e2 ::: U
| welltyped_Abs[intro!]:  $(\forall x.$  (x, T)  $\notin$  X  $\longrightarrow$  open0_Var (x, T) e ::: U)  $\implies$   $\Lambda\langle T\rangle$  e ::: T  $\rightarrow$  U

```

```

inductive-cases welltypedE[elim!]:
   $\langle x\rangle$  ::: T
  (i :: idx) ::: T
  (b :: bool) ::: T
  e1 ? e2 ::: T
  e1  $\cdot$  e2 ::: T
   $\Lambda\langle T\rangle$  e ::: U

```

```

lemma welltyped_unique: t ::: T  $\implies$  t ::: U  $\implies$  T = U
proof (induction t T arbitrary: U rule: welltyped.induct)
  case (welltyped_Abs T X t U T')
  from welltyped_Abs.prem1 show ?case
proof (elim welltypedE)
  fix Y U'
  obtain x where [simp]: (x, T)  $\notin$  X (x, T)  $\notin$  Y
  using ex_fresh[of _ X | $\cup$ | Y] by blast
  assume [simp]: T' = T  $\rightarrow$  U'  $\forall x.$  (x, T)  $\notin$  Y  $\longrightarrow$  open0_Var (x, T) t ::: U'
  show T  $\rightarrow$  U = T'
    by (auto intro: conjunct2[OF welltyped_Abs.IH[rule_format], rule_format, of x])
qed
qed blast+

```

```

lemma welltyped_lc[simp]: t ::: T  $\implies$  lc t
  by (induction t T rule: welltyped.induct) auto

```

**lemma** *welltyped\_subst[intro]*:  
 $u :: U \implies t :: \text{snd } xT \implies \text{subst } xT t u :: U$   
**proof** (*induction*  $u$   $U$  *rule*: *welltyped.induct*)  
**case** (*welltyped\_Abs*  $T' X u$ )  
**then show** *?case unfolding subst.simps*  
**by** (*intro welltyped.welltyped\_Abs[of \_ finsert xT X]*) (*auto simp: subst\_open\_Var[symmetric]*)  
**qed** *auto*

**lemma** *rename\_welltyped*:  $u :: U \implies \text{subst } (x, T) \langle (y, T) \rangle u :: U$   
**by** (*rule welltyped\_subst*) *auto*

**lemma** *welltyped\_Abs\_fresh*:  
**assumes** *fresh*  $(x, T) u$  *open0\_Var*  $(x, T) u :: U$   
**shows**  $\Lambda \langle T \rangle u :: T \rightarrow U$   
**proof** (*intro welltyped\_Abs[of \_ fv u] allI impI*)  
**fix**  $y$   
**assume** *fresh*  $(y, T) u$   
**with** *assms*(2) **have**  $\text{subst } (x, T) \langle (y, T) \rangle (\text{open0\_Var } (x, T) u) :: U$  (*is ?t :: \_*)  
**by** (*auto intro: rename\_welltyped*)  
**also have**  $?t = \text{open0\_Var } (y, T) u$   
**by** (*subst subst\_intro[symmetric]*) (*auto simp: assms(1)*)  
**finally show**  $\text{open0\_Var } (y, T) u :: U$ .  
**qed**

**lemma** *Apps\_alt*:  $f \cdot ts :: T \longleftrightarrow (\exists Ts. f :: \text{fold } (\rightarrow) (\text{rev } Ts) T \wedge \text{list\_all2 } (::) ts Ts)$   
**proof** (*induct*  $ts$  *arbitrary*:  $f$ )  
**case** (*Cons*  $t ts$ )  
**from** *Cons*(1)[*of*  $f \cdot t$ ] **show** *?case*  
**by** (*force simp: list\_all2\_Cons1*)  
**qed** *simp*

### 13.6 Definition 10 and Lemma 11 from Schmidt-Schauß's paper

**abbreviation** *closed*  $t \equiv \text{fv } t = \{\}\}$

**primrec** *constant0* **where**  
 $\text{constant0 } \mathcal{B} = \text{Var } ("bool", \mathcal{B})$   
 $\text{constant0 } (T \rightarrow U) = \Lambda \langle T \rangle (\text{constant0 } U)$

**definition** *constant*  $T = \Lambda \langle \mathcal{B} \rangle (\text{close0\_Var } ("bool", \mathcal{B}) (\text{constant0 } T))$

**lemma** *fv\_constant0[simp]*:  $\text{fv } (\text{constant0 } T) = \{("bool", \mathcal{B})\}$   
**by** (*induct*  $T$ ) *auto*

**lemma** *closed\_constant[simp]*:  $\text{closed } (\text{constant } T)$   
**unfolding** *constant\_def* **by** *auto*

**lemma** *welltyped\_constant0[simp]*:  $\text{constant0 } T :: T$   
**by** (*induct*  $T$ ) (*auto simp: lc\_open\_id*)

**lemma** *lc\_constant0[simp]*:  $\text{lc } (\text{constant0 } T)$   
**using** *welltyped\_constant0 welltyped\_lc* **by** *blast*

**lemma** *welltyped\_constant[simp]*:  $\text{constant } T :: \mathcal{B} \rightarrow T$   
**unfolding** *constant\_def* **by** (*auto intro: welltyped\_Abs\_fresh[of "bool"]*)

**definition** *nth\_drop* **where**  
 $\text{nth\_drop } i xs \equiv \text{take } i xs @ \text{drop } (\text{Suc } i) xs$

**definition** *nth\_arg* (*infixl*  $!- 100$ ) **where**  
 $\text{nth\_arg } T i \equiv \text{nth } (\text{dest\_fun } T) i$

**abbreviation** *ar* **where**

$ar\ T \equiv length\ (dest\_fun\ T)$

**lemma**  $size\_nth\_arg[simp]: i < ar\ T \implies size\ (T\ !-\ i) < size\ T$   
**by** (*induct*  $T$  *arbitrary: i*) (*force simp: nth\_Cons' nth\_arg\_def gr0\_conv\_Suc*) $+$

**fun**  $\pi :: type \Rightarrow nat \Rightarrow nat \Rightarrow type$  **where**  
 $\pi\ T\ i\ 0 = (if\ i < ar\ T\ then\ nth\_drop\ i\ (dest\_fun\ T) \rightarrow\ \mathcal{B}\ else\ \mathcal{B})$   
 $| \pi\ T\ i\ (Suc\ j) = (if\ i < ar\ T \wedge j < ar\ (T\ !-\ i)$   
*then*  $\pi\ (T\ !-\ i)\ j\ 0 \rightarrow$   
*map* ( $\pi\ (T\ !-\ i)\ j\ o\ Suc$ )  $[0 ..< ar\ (T\ !-\ i) - j] \rightarrow\ \pi\ T\ i\ 0\ else\ \mathcal{B}$

**theorem**  $\pi\_induct[rotated\ -2,\ consumes\ 2,\ case\_names\ 0\ Suc]:$   
**assumes**  $\bigwedge T\ i.\ i < ar\ T \implies P\ T\ i\ 0$   
**and**  $\bigwedge T\ i\ j.\ i < ar\ T \implies j < ar\ (T\ !-\ i) \implies P\ (T\ !-\ i)\ j\ 0 \implies$   
 $(\forall x < ar\ (T\ !-\ i) - j.\ P\ (T\ !-\ i)\ j\ (x + 1)) \implies P\ T\ i\ (j + 1)$   
**shows**  $i < ar\ T \implies j \leq ar\ (T\ !-\ i) \implies P\ T\ i\ j$   
**by** (*induct*  $T\ i\ j$  *rule:  $\pi$ .induct*) (*auto intro: assms[simplified]*)

**definition**  $\varepsilon :: type \Rightarrow nat \Rightarrow type$  **where**  
 $\varepsilon\ T\ i = \pi\ T\ i\ 0 \rightarrow map\ (\pi\ T\ i\ o\ Suc)\ [0 ..< ar\ (T\ !-\ i)] \rightarrow\ T$

**definition**  $Abs\ (\Lambda[\_] \_ [100,\ 100]\ 800)$  **where**  
 $\Lambda[xTs]\ b = fold\ (\lambda xT\ t.\ \Lambda(snd\ xT)\ close0\_Var\ xT\ t)\ (rev\ xTs)\ b$

**definition**  $Seqs$  (*infixr*  $??\ 75$ ) **where**  
 $ts\ ??\ t = fold\ (\lambda u\ t.\ u\ ?\ t)\ (rev\ ts)\ t$

**definition**  $variant\ k\ base = base\ @\ replicate\ k\ CHR\ '*'$

**lemma**  $variant\_inj: variant\ i\ base = variant\ j\ base \implies i = j$   
**unfolding**  $variant\_def$  **by** *auto*

**lemma**  $variant\_inj2:$   
 $CHR\ '*'\notin set\ b1 \implies CHR\ '*'\notin set\ b2 \implies variant\ i\ b1 = variant\ j\ b2 \implies b1 = b2$   
**unfolding**  $variant\_def$   
**by** (*auto simp: append\_eq\_append\_conv2*)  
*(metis Nil\_is\_append\_conv hd\_append2 hd\_in\_set hd\_rev last\_ConsR last\_snoc\_replicate\_append\_same rev\_replicate)* $+$

**fun**  $E :: type \Rightarrow nat \Rightarrow expr$  **and**  $P :: type \Rightarrow nat \Rightarrow nat \Rightarrow expr$  **where**  
 $E\ T\ i = (if\ i < ar\ T\ then\ (let$   
 $\quad T_i = T\ !-\ i;$   
 $\quad x = \lambda k.\ (variant\ k\ 'x',\ T\ !-\ k);$   
 $\quad xs = map\ x\ [0 ..< ar\ T];$   
 $\quad xx\_var = \langle nth\ xs\ i \rangle;$   
 $\quad x\_vars = map\ (\lambda x.\ \langle x \rangle)\ (nth\_drop\ i\ xs);$   
 $\quad yy = ('z',\ \pi\ T\ i\ 0);$   
 $\quad yy\_var = \langle yy \rangle;$   
 $\quad y = \lambda j.\ (variant\ j\ 'y',\ \pi\ T\ i\ (j + 1));$   
 $\quad ys = map\ y\ [0 ..< ar\ T_i];$   
 $\quad e = \lambda j.\ \langle y\ j \rangle \cdot (P\ T_i\ j\ 0 \cdot xx\_var \# map\ (\lambda k.\ P\ T_i\ j\ (k + 1) \cdot xx\_var)\ [0 ..< ar\ (T_i\ !-\ j)]);$   
 $\quad guards = map\ (\lambda i.\ xx\_var \cdot$   
 $\quad\quad map\ (\lambda j.\ constant\ (T_i\ !-\ j) \cdot (if\ i = j\ then\ e\ i \cdot x\_vars\ else\ True))\ [0 ..< ar\ T_i]$   
 $\quad\quad [0 ..< ar\ T_i]$   
 $\quad in\ \Lambda[(yy\ \#\ ys\ @\ xs)]\ (guards\ ??\ (yy\_var \cdot x\_vars)))\ else\ constant\ (\varepsilon\ T\ i) \cdot False)$   
 $| P\ T\ i\ 0 =$   
 $(if\ i < ar\ T\ then\ (let$   
 $\quad f = ('f',\ T);$   
 $\quad f\_var = \langle f \rangle;$   
 $\quad x = \lambda k.\ (variant\ k\ 'x',\ T\ !-\ k);$   
 $\quad xs = nth\_drop\ i\ (map\ x\ [0 ..< ar\ T]);$   
 $\quad x\_vars = insert\_nth\ i\ (constant\ (T\ !-\ i) \cdot True)\ (map\ (\lambda x.\ \langle x \rangle)\ xs)$   
 $\quad in\ \Lambda[(f\ \#\ xs)]\ (f\_var \cdot x\_vars))\ else\ constant\ (T \rightarrow \pi\ T\ i\ 0) \cdot False)$



|  $P\ T\ i\ (Suc\ j) = (if\ i < ar\ T \wedge j < ar\ (T!-i)\ then\ (let$   
 $\quad T_i = T!-i;$   
 $\quad T_{ij} = T_i!-j;$   
 $\quad f = (''f'',\ T);$   
 $\quad f\_var = \langle f \rangle;$   
 $\quad x = \lambda k. (variant\ k\ ''x'',\ T!-k);$   
 $\quad xs = nth\_drop\ i\ (map\ x\ [0\ ..<\ ar\ T]);$   
 $\quad yy = (''z'',\ \pi\ T_i\ j\ 0);$   
 $\quad yy\_var = \langle yy \rangle;$   
 $\quad y = \lambda k. (variant\ k\ ''y'',\ \pi\ T_i\ j\ (k + 1));$   
 $\quad ys = map\ y\ [0\ ..<\ ar\ T_{ij});$   
 $\quad y\_vars = yy\_var\ \# map\ (\lambda x. \langle x \rangle)\ ys;$   
 $\quad x\_vars = insert\_nth\ i\ (E\ T_i\ j \cdot y\_vars)\ (map\ (\lambda x. \langle x \rangle)\ xs)$   
 $\quad in\ \Lambda[(f\ \# yy\ \# ys\ @\ xs)]\ (f\_var \cdot x\_vars))\ else\ constant\ (T \rightarrow \pi\ T\ i\ (j + 1)) \cdot False)$

**lemma**  $Abs\_Nil[simp]$ :  $\Lambda[\square] b = b$   
**unfolding**  $Abs\_def$  **by**  $simp$

**lemma**  $Abs\_Cons[simp]$ :  $\Lambda[(x\ \# xs)] b = \Lambda(snd\ x)\ (close0\_Var\ x\ (\Lambda[xs]\ b))$   
**unfolding**  $Abs\_def$  **by**  $simp$

**lemma**  $welltyped\_Abs$ :  $b :: U \implies T = map\ snd\ xTs \rightarrow U \implies \Lambda[xTs]\ b :: T$   
**by** ( $hypsubst\_thin$ ,  $induct\ xTs$ ) ( $auto\ simp$ :  $mk\_fun\_def\ intro!$ :  $welltyped\_Abs\_fresh$ )

**lemma**  $welltyped\_Apps$ :  $list\_all2\ (::) ts\ Ts \implies f :: Ts \rightarrow U \implies f \cdot ts :: U$   
**by** ( $induct\ ts\ Ts\ arbitrary$ :  $f\ rule$ :  $list.rel\_induct$ ) ( $auto\ simp$ :  $mk\_fun\_def$ )

**lemma**  $welltyped\_open\_Var\_close\_Var[intro!]$ :  
 $t :: T \implies open0\_Var\ xT\ (close0\_Var\ xT\ t) :: T$   
**by**  $auto$

**lemma**  $welltyped\_Var\_iff[simp]$ :  
 $\langle (x, T) \rangle :: U \longleftrightarrow T = U$   
**by**  $auto$

**lemma**  $welltyped\_bool\_iff[simp]$ :  $(b :: bool) :: T \longleftrightarrow T = \mathcal{B}$   
**by**  $auto$

**lemma**  $welltyped\_constant0\_iff[simp]$ :  $constant0\ T :: U \longleftrightarrow (U = T)$   
**by** ( $induct\ T\ arbitrary$ :  $U$ ) ( $auto\ simp$ :  $ex\_fresh\ lc\_open\_id$ )

**lemma**  $welltyped\_constant\_iff[simp]$ :  $constant\ T :: U \longleftrightarrow (U = \mathcal{B} \rightarrow T)$   
**unfolding**  $constant\_def$

**proof** ( $intro\ iffI$ ,  $elim\ welltypedE$ ,  $hypsubst\_thin$ ,  $unfold\ type.inject\ simp\_thms$ )  
**fix**  $X\ U$

**assume**  $\forall x. (x, \mathcal{B}) \notin X \longrightarrow open0\_Var\ (x, \mathcal{B})\ (close0\_Var\ (''bool'', \mathcal{B})\ (constant0\ T)) :: U$

**moreover** **obtain**  $x$  **where**  $(x, \mathcal{B}) \notin X$  **using**  $ex\_fresh[of\ \mathcal{B}\ X]$  **by**  $blast$

**ultimately** **have**  $open0\_Var\ (x, \mathcal{B})\ (close0\_Var\ (''bool'', \mathcal{B})\ (constant0\ T)) :: U$  **by**  $simp$

**then** **have**  $open0\_Var\ (''bool'', \mathcal{B})\ (close0\_Var\ (''bool'', \mathcal{B})\ (constant0\ T)) :: U$

**using**  $rename\_welltyped[of\ \langle open0\_Var\ (x, \mathcal{B})\ (close0\_Var\ (''bool'', \mathcal{B})\ (constant0\ T)) \rangle$   
 $\quad U\ x\ \mathcal{B}\ ''bool'']$

**by** ( $auto\ simp$ :  $subst\_open\ subst\_fresh$ )

**then** **show**  $U = T$  **by**  $auto$

**qed** ( $auto\ intro!$ :  $welltyped\_Abs\_fresh$ )

**lemma**  $welltyped\_Seq\_iff[simp]$ :  $e1\ ?\ e2 :: T \longleftrightarrow (T = \mathcal{B} \wedge e1 :: \mathcal{B} \wedge e2 :: \mathcal{B})$   
**by**  $auto$

**lemma**  $welltyped\_Seqs\_iff[simp]$ :  $es\ ??\ e :: T \longleftrightarrow$   
 $((es \neq [] \longrightarrow T = \mathcal{B}) \wedge (\forall e \in set\ es. e :: \mathcal{B}) \wedge e :: T)$   
**by** ( $induct\ es\ arbitrary$ :  $e$ ) ( $auto\ simp$ :  $Seqs\_def$ )

**lemma**  $welltyped\_App\_iff[simp]$ :  $f \cdot t :: U \longleftrightarrow (\exists T. f :: T \rightarrow U \wedge t :: T)$

by *auto*

**lemma** *welltyped\_Apps\_iff*[*simp*]:  $f \cdot ts :: U \longleftrightarrow (\exists Ts. f :: Ts \rightarrow U \wedge list\_all2\ (::) ts Ts)$   
 by (induct *ts arbitrary*: *f*) (auto 0 3 *simp*: *mk\_fun\_def list\_all2 Cons1 intro*: *exI*[*of* \_ \_ # \_])

**lemma** *eq\_mk\_fun\_iff*[*simp*]:  $T = Ts \rightarrow \mathcal{B} \longleftrightarrow Ts = dest\_fun\ T$   
 by *auto*

**lemma** *map\_nth\_eq\_drop\_take*[*simp*]:  $j \leq length\ xs \implies map\ (nth\ xs)\ [i..<j] = drop\ i\ (take\ j\ xs)$   
 by (induct *j*) (auto *simp*: *take\_Suc\_conv\_app\_nth*)

**lemma** *dest\_fun\_pi\_0*:  $i < ar\ T \implies dest\_fun\ (\pi\ T\ i\ 0) = nth\_drop\ i\ (dest\_fun\ T)$   
 by *auto*

**lemma** *welltyped\_E*:  $E\ T\ i :: \varepsilon\ T\ i$  and *welltyped\_P*:  $P\ T\ i\ j :: T \rightarrow \pi\ T\ i\ j$   
**proof** (induct *T i* and *T i j* rule: *E\_P.induct*)  
 case (1 *T i*)  
 note *P.simps*[*simp del*] *pi.simps*[*simp del*] *epsilon\_def*[*simp*] *nth\_drop\_def*[*simp*] *nth\_arg\_def*[*simp*]  
 from 1(1)[*OF* \_ refl refl refl refl refl refl refl refl]  
 1(2)[*OF* \_ refl refl refl refl refl refl refl refl]  
 show ?case  
 by (auto 0 4 *simp*: *Let\_def o\_def take\_map*[*symmetric*] *drop\_map*[*symmetric*] *list\_all2\_conv\_all\_nth nth\_append min\_def dest\_fun\_pi\_0 pi.simps*[*of T i*] *intro!*: *welltyped\_Abs\_fresh welltyped\_Abs*[*of* \_ *B*])

next  
 case (2 *T i*)  
 show ?case  
 by (auto *simp*: *Let\_def take\_map drop\_map o\_def list\_all2\_conv\_all\_nth nth\_append nth\_Cons'* *nth\_drop\_def nth\_arg\_def* *intro!*: *welltyped\_constant welltyped\_Abs\_fresh welltyped\_Abs*[*of* \_ *B*])

next  
 case (3 *T i j*)  
 note *E.simps*[*simp del*] *pi.simps*[*simp del*] *Abss\_Cons*[*simp del*] *epsilon\_def*[*simp*] *nth\_drop\_def*[*simp*] *nth\_arg\_def*[*simp*]  
 from 3(1)[*OF* \_ refl refl refl refl refl refl refl refl]  
 show ?case  
 by (auto 0 3 *simp*: *Let\_def o\_def take\_map*[*symmetric*] *drop\_map*[*symmetric*] *list\_all2\_conv\_all\_nth nth\_append nth\_Cons' min\_def pi.simps*[*of T i*] *intro!*: *welltyped\_Abs\_fresh welltyped\_Abs*[*of* \_ *B*])

qed

**lemma** *delta\_gt\_0*[*simp*]:  $T \neq \mathcal{B} \implies HMSet\ \{\#\} < \delta\ T$   
 by (cases *T*) *auto*

**lemma** *mset\_nth\_drop\_less*:  $i < length\ xs \implies mset\ (nth\_drop\ i\ xs) < mset\ xs$   
 by (induct *xs arbitrary*: *i*) (auto *simp*: *take\_Cons' nth\_drop\_def gr0\_conv\_Suc*)

**lemma** *map\_nth\_drop*:  $i < length\ xs \implies map\ f\ (nth\_drop\ i\ xs) = nth\_drop\ i\ (map\ f\ xs)$   
 by (induct *xs arbitrary*: *i*) (auto *simp*: *take\_Cons' nth\_drop\_def gr0\_conv\_Suc*)

**lemma** *empty\_less\_mset*:  $\{\#\} < mset\ xs \longleftrightarrow xs \neq []$   
 by *auto*

**lemma** *dest\_fun\_alt*:  $dest\_fun\ T = map\ (\lambda i. T\ !-\ i)\ [0..<ar\ T]$   
 unfolding *list\_eq\_iff\_nth\_eq nth\_arg\_def* by *auto*

context notes *pi.simps*[*simp del*] notes *One\_nat\_def*[*simp del*] begin

**lemma** *delta\_pi*:  
 assumes  $i < ar\ T\ j \leq ar\ (T\ !-\ i)$   
 shows  $\delta\ (\pi\ T\ i\ j) < \delta\ T$   
 using *assms* **proof** (induct *T i j* rule: *pi\_induct*)  
 fix *T i*

```

assume  $i < ar\ T$ 
then show  $\delta(\pi\ T\ i\ 0) < \delta\ T$ 
  by (subst (2) mk_fun_dest_fun[symmetric, of T], unfold delta_mk_fun)
    (auto simp: delta_mk_fun mset_map[symmetric] take_map[symmetric] drop_map[symmetric] pi.simps
      mset_nth_drop_less map_nth_drop simp del: mset_map)
next
fix  $T\ i\ j$ 
let  $?Ti = T\ !-\ i$ 
assume [rule_format, simp]:  $i < ar\ T\ j < ar\ ?Ti\ \delta(\pi\ ?Ti\ j\ 0) < \delta\ ?Ti$ 
   $\forall k < ar\ (?Ti\ !-\ j). \delta(\pi\ ?Ti\ j\ (k + 1)) < \delta\ ?Ti$ 
define  $X$  and  $Y$  and  $M$  where
  [simp]:  $X = \{\#\delta\ ?Ti\#\}$  and
  [simp]:  $Y = \{\#\delta(\pi\ ?Ti\ j\ 0)\#\} + \{\#\delta(\pi\ ?Ti\ j\ (k + 1)). k \in \#\ mset\ [0 ..< ar\ (?Ti\ !-\ j)]\#\}$  and
  [simp]:  $M \equiv \{\#\delta\ z. z \in \#\ mset\ (nth\_drop\ i\ (dest\_fun\ T))\#\}$ 
have  $\delta(\pi\ T\ i\ (j + 1)) = HMSet\ (Y + M)$ 
  by (auto simp: One_nat_def pi.simps delta_mk_fun)
also have  $Y + M < X + M$ 
  unfolding less_multiset_DM by (rule exI[of _ X], rule exI[of _ Y]) auto
also have  $HMSet\ (X + M) = \delta\ T$ 
  unfolding M_def
  by (subst (2) mk_fun_dest_fun[symmetric, of T], subst (2) id_take_nth_drop[of i dest_fun T])
    (auto simp: delta_mk_fun nth_arg_def nth_drop_def)
finally show  $\delta(\pi\ T\ i\ (j + 1)) < \delta\ T$  by simp
qed

end

end

```