

# The Nash-Williams Theorem

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## Abstract

In 1965, Nash-Williams [1] discovered a generalisation of the infinite form of Ramsey’s theorem. Where the latter concerns infinite sets of  $n$ -element sets for some fixed  $n$ , the Nash-Williams theorem concerns infinite sets of finite sets (or lists) subject to a “no initial segment” condition. The present formalisation follows Todorčević [2].

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## 1 The Pointwise Less-Than Relation Between Two Sets

**theory** *Nash-Extras*

**imports** *HOL-Library.Ramsey* *HOL-Library.Countable-Set*

**begin**

**definition** *less-sets* :: [*'a::order set*, *'a::order set*]  $\Rightarrow$  *bool* **where**  
*less-sets* *A B*  $\equiv \forall x \in A. \forall y \in B. x < y$

**lemma** *less-setsD*:  $[[\textit{less-sets } A B; a \in A; b \in B]] \Longrightarrow a < b$   
**by** (*auto simp: less-sets-def*)

**lemma** *less-sets-irrefl* [*simp*]: *less-sets* *A A*  $\longleftrightarrow A = \{\}$   
**by** (*auto simp: less-sets-def*)

**lemma** *less-sets-trans*:  $\llbracket \text{less-sets } A B; \text{less-sets } B C; B \neq \{\} \rrbracket \implies \text{less-sets } A C$   
**unfolding** *less-sets-def* **using** *less-trans* **by** *blast*

**lemma** *less-sets-weaken1*:  $\llbracket \text{less-sets } A' B; A \subseteq A' \rrbracket \implies \text{less-sets } A B$   
**by** (*auto simp: less-sets-def*)

**lemma** *less-sets-weaken2*:  $\llbracket \text{less-sets } A B'; B \subseteq B' \rrbracket \implies \text{less-sets } A B$   
**by** (*auto simp: less-sets-def*)

**lemma** *less-sets-imp-disjnt*:  $\text{less-sets } A B \implies \text{disjnt } A B$   
**by** (*auto simp: less-sets-def disjnt-def*)

**lemma** *less-sets-UN1*:  $\text{less-sets } (\bigcup \mathcal{A}) B \longleftrightarrow (\forall A \in \mathcal{A}. \text{less-sets } A B)$   
**by** (*auto simp: less-sets-def*)

**lemma** *less-sets-UN2*:  $\text{less-sets } A (\bigcup \mathcal{B}) \longleftrightarrow (\forall B \in \mathcal{B}. \text{less-sets } A B)$   
**by** (*auto simp: less-sets-def*)

**lemma** *less-sets-Un1*:  $\text{less-sets } (A \cup A') B \longleftrightarrow \text{less-sets } A B \wedge \text{less-sets } A' B$   
**by** (*auto simp: less-sets-def*)

**lemma** *less-sets-Un2*:  $\text{less-sets } A (B \cup B') \longleftrightarrow \text{less-sets } A B \wedge \text{less-sets } A B'$   
**by** (*auto simp: less-sets-def*)

**lemma** *strict-sorted-imp-less-sets*:  
 $\text{strict-sorted } (as @ bs) \implies \text{less-sets } (\text{list.set } as) (\text{list.set } bs)$   
**by** (*simp add: less-sets-def sorted-wrt-append strict-sorted-sorted-wrt*)

**lemma** *Sup-nat-less-sets-singleton*:  
**fixes**  $n :: \text{nat}$   
**assumes**  $\text{Sup } T < n$  *finite*  $T$   
**shows**  $\text{less-sets } T \{n\}$   
**using** *assms Max-less-iff*  
**by** (*auto simp: Sup-nat-def less-sets-def split: if-split-asm*)

**end**

## 2 The Nash-Williams Theorem

Following S. Todorćević, *Introduction to Ramsey Spaces*, Princeton University Press (2010), 11–12.

**theory** *Nash-Williams*  
**imports** *Nash-Extras*  
**begin**

**lemma** *finite-nat-Int-greaterThan-iff*:  
**fixes**  $N :: \text{nat set}$   
**shows**  $\text{finite } (N \cap \{n < ..\}) \longleftrightarrow \text{finite } N$

**apply** (*simp add: finite-nat-iff-bounded subset-iff*)  
**by** (*metis dual-order.strict-trans2 nat-less-le not-less-eq*)

## 2.1 Initial segments

**definition** *init-segment* :: *nat set*  $\Rightarrow$  *nat set*  $\Rightarrow$  *bool*  
**where** *init-segment* *S T*  $\equiv \exists S'. T = S \cup S' \wedge \text{less-sets } S S'$

**lemma** *init-segment-subset*: *init-segment* *S T*  $\Longrightarrow S \subseteq T$   
**by** (*auto simp: init-segment-def*)

**lemma** *init-segment-refl*: *init-segment* *S S*  
**by** (*metis empty-iff init-segment-def less-sets-def sup-bot.right-neutral*)

**lemma** *init-segment-antisym*:  $\llbracket \text{init-segment } S T; \text{init-segment } T S \rrbracket \Longrightarrow S=T$   
**by** (*auto simp: init-segment-def*)

**lemma** *init-segment-trans*:  $\llbracket \text{init-segment } S T; \text{init-segment } T U \rrbracket \Longrightarrow \text{init-segment } S U$

**unfolding** *init-segment-def*  
**by** (*meson UnE Un-assoc Un-upper1 less-sets-def less-sets-weaken1*)

**lemma** *init-segment-empty2* [*iff*]: *init-segment* *S*  $\{\}$   $\longleftrightarrow S=\{\}$   
**by** (*auto simp: init-segment-def less-sets-def*)

**lemma** *init-segment-Un*: *less-sets* *S S'*  $\Longrightarrow \text{init-segment } S (S \cup S')$   
**by** (*auto simp: init-segment-def less-sets-def*)

**lemma** *init-segment-iff*:  
**shows** *init-segment* *S T*  $\longleftrightarrow S=T \vee (\exists m \in T. S = \{n \in T. n < m\})$  (*is ?lhs=?rhs*)

**proof**

**assume** *?lhs*  
**then obtain** *S'* **where** *S'*: *T* = *S*  $\cup$  *S'* *less-sets* *S S'*  
**by** (*meson init-segment-def*)

**then have** *S*  $\subseteq$  *T*

**by** *auto*

**then have** *eq*: *S'* = *T*  $-$  *S*

**using** *S'* **by** (*auto simp: less-sets-def*)

**show** *?rhs*

**proof** (*cases T*  $\subseteq$  *S*)

**case** *True*

**with**  $\langle S \subseteq T \rangle$  **show** *?rhs* **by** *blast*

**next**

**case** *False*

**then have** *Inf* *S'*  $\in$  *T*

**by** (*metis Diff-eq-empty-iff Diff-iff Inf-nat-def1 eq*)

**moreover have**  $\bigwedge x. x \in S \Longrightarrow x < \text{Inf } S'$

**using** *S'* *False* **by** (*auto simp: less-sets-def intro!: Inf-nat-def1*)

```

moreover have  $\{n \in T. n < \text{Inf } S'\} \subseteq S$ 
  using Inf-nat-def eq not-less-Least by fastforce
ultimately show ?rhs
  using  $\langle S \subseteq T \rangle$  by blast
qed
next
assume ?rhs
then show ?lhs
proof (elim disjE bexE)
  assume  $S = T$ 
  then show init-segment S T
    using init-segment-refl by blast
next
fix  $m$ 
assume  $m: m \in T \ S = \{n \in T. n < m\}$ 
then have  $T = S \cup \{n \in T. m \leq n\}$ 
  by auto
moreover have less-sets S  $\{n \in T. m \leq n\}$ 
  using  $m$  by (auto simp: less-sets-def)
ultimately show init-segment S T
  using init-segment-Un by force
qed
qed

lemma init-segment-empty [iff]: init-segment {} S
  by (auto simp: init-segment-def less-sets-def)

lemma init-segment-insert-iff:
  assumes  $S n$ : less-sets S {n} and  $TS$ :  $\bigwedge x. x \in T - S \implies n \leq x$ 
  shows init-segment (insert n S) T  $\longleftrightarrow$  init-segment S T  $\wedge n \in T$ 
proof safe
  assume  $L$ : init-segment (insert n S) T
  then have init-segment ({n}  $\cup$  S) T
    by auto
  then show init-segment S T
    by (metis (no-types) Sn init-segment-Un init-segment-trans sup commute)
  show  $n \in T$ 
    using  $L$  by (auto simp: init-segment-def)
next
  assume init-segment S T  $n \in T$ 
  then obtain  $S'$  where  $S': T = S \cup S'$  less-sets S S'
    by (auto simp: init-segment-def less-sets-def)
  then have  $S \cup S' = \text{insert } n (S \cup (S' - \{n\})) \wedge$ 
    less-sets (insert n S) (S' - {n})
    unfolding less-sets-def using  $\langle n \in T \rangle$   $TS$  nat-less-le by auto
  then show init-segment (insert n S) T
    using  $S'(1)$  init-segment-Un by force
qed

```

**lemma** *init-segment-insert*:  
**assumes** *init-segment S T* **and** *T: less-sets T {n}*  
**shows** *init-segment S (insert n T)*  
**proof** (*cases T={}*)  
  **case** *True*  
  **then show** *?thesis*  
    **using** *assms(1)* **by** *blast*  
**next**  
  **case** *False*  
  **obtain** *S'* **where** *S': T = S ∪ S' less-sets S S'*  
  **by** (*meson assms init-segment-def*)  
  **then have** *insert n T = S ∪ (insert n S')* *less-sets S (insert n S')*  
  **using** *T False* **by** (*auto simp: less-sets-def*)  
  **then show** *?thesis*  
  **using** *init-segment-Un* **by** *presburger*  
**qed**

## 2.2 Definitions and basic properties

**definition** *Ramsey* :: [*nat set set, nat*]  $\Rightarrow$  *bool*  
**where** *Ramsey F r*  $\equiv \forall f \in \mathcal{F} \rightarrow \{..<r\}$ .  
 $\forall M. \text{infinite } M \longrightarrow$   
 $(\exists N i. N \subseteq M \wedge \text{infinite } N \wedge i < r \wedge (\forall j < r. j \neq i \longrightarrow f - \{j\} \cap \mathcal{F} \cap \text{Pow } N = \{\}))$

**definition** *thin-set* :: *nat set set*  $\Rightarrow$  *bool*  
**where** *thin-set F*  $\equiv \mathcal{F} \subseteq \text{Collect finite} \wedge (\forall S \in \mathcal{F}. \forall T \in \mathcal{F}. \text{init-segment } S T \longrightarrow S = T)$

**definition** *comparables* :: *nat set*  $\Rightarrow$  *nat set*  $\Rightarrow$  *nat set set*  
**where** *comparables S M*  $\equiv \{T. \text{finite } T \wedge (\text{init-segment } T S \vee \text{init-segment } S T \wedge T - S \subseteq M)\}$

**lemma** *comparables-iff*:  $T \in \text{comparables } S M \iff \text{finite } T \wedge (\text{init-segment } T S \vee \text{init-segment } S T \wedge T \subseteq S \cup M)$   
**by** (*auto simp: comparables-def init-segment-def*)

**lemma** *comparables-subset*:  $\bigcup (\text{comparables } S M) \subseteq S \cup M$   
**by** (*auto simp: comparables-def init-segment-def*)

**lemma** *comparables-empty* [*simp*]: *comparables {} M* = *Fpow M*  
**by** (*auto simp: comparables-def Fpow-def*)

**lemma** *comparables-mono*:  $N \subseteq M \implies \text{comparables } S N \subseteq \text{comparables } S M$   
**by** (*auto simp: comparables-def*)

**definition** *rejects F S M*  $\equiv \text{comparables } S M \cap \mathcal{F} = \{\}$

**abbreviation** *accepts*

**where** *accepts*  $\mathcal{F} S M \equiv \neg \text{rejects } \mathcal{F} S M$

**definition** *strongly-accepts*

**where** *strongly-accepts*  $\mathcal{F} S M \equiv (\forall N \subseteq M. \text{rejects } \mathcal{F} S N \longrightarrow \text{finite } N)$

**definition** *decides*

**where** *decides*  $\mathcal{F} S M \equiv \text{rejects } \mathcal{F} S M \vee \text{strongly-accepts } \mathcal{F} S M$

**definition** *decides-subsets*

**where** *decides-subsets*  $\mathcal{F} M \equiv \forall T. T \subseteq M \longrightarrow \text{finite } T \longrightarrow \text{decides } \mathcal{F} T M$

**lemma** *strongly-accepts-imp-accepts*:

$\llbracket \text{strongly-accepts } \mathcal{F} S M; \text{infinite } M \rrbracket \Longrightarrow \text{accepts } \mathcal{F} S M$

**unfolding** *strongly-accepts-def* **by** *blast*

**lemma** *rejects-trivial*:  $\llbracket \text{rejects } \mathcal{F} S M; \text{thin-set } \mathcal{F}; \text{init-segment } F S; F \in \mathcal{F} \rrbracket \Longrightarrow$

*False*

**unfolding** *rejects-def thin-set-def*

**using** *comparables-iff* **by** *blast*

**lemma** *rejects-subset*:  $\llbracket \text{rejects } \mathcal{F} S M; N \subseteq M \rrbracket \Longrightarrow \text{rejects } \mathcal{F} S N$

**by** (*fastforce simp add: rejects-def comparables-def*)

**lemma** *strongly-accepts-subset*:  $\llbracket \text{strongly-accepts } \mathcal{F} S M; N \subseteq M \rrbracket \Longrightarrow \text{strongly-accepts } \mathcal{F} S N$

**by** (*auto simp: strongly-accepts-def*)

**lemma** *decides-subset*:  $\llbracket \text{decides } \mathcal{F} S M; N \subseteq M \rrbracket \Longrightarrow \text{decides } \mathcal{F} S N$

**unfolding** *decides-def*

**using** *rejects-subset strongly-accepts-subset* **by** *blast*

**lemma** *decides-subsets-subset*:  $\llbracket \text{decides-subsets } \mathcal{F} M; N \subseteq M \rrbracket \Longrightarrow \text{decides-subsets } \mathcal{F} N$

**by** (*meson decides-subset decides-subsets-def subset-trans*)

**lemma** *rejects-empty* [*simp*]:  $\text{rejects } \mathcal{F} \{\} M \longleftrightarrow \text{Fpow } M \cap \mathcal{F} = \{\}$

**by** (*auto simp: rejects-def comparables-def Fpow-def*)

**lemma** *strongly-accepts-empty* [*simp*]:  $\text{strongly-accepts } \mathcal{F} \{\} M \longleftrightarrow (\forall N \subseteq M. \text{Fpow } N \cap \mathcal{F} = \{\} \longrightarrow \text{finite } N)$

**by** (*simp add: strongly-accepts-def Fpow-def disjoint-iff*)

**lemma** *ex-infinite-decides-1*:

**assumes** *infinite*  $M$

**obtains**  $N$  **where**  $N \subseteq M$  *infinite*  $N$  *decides*  $\mathcal{F} S N$

**by** (*meson assms decides-def order-refl strongly-accepts-def*)

**proposition** *ex-infinite-decides-finite*:

**assumes** *infinite M finite S*  
**obtains**  $N$  **where**  $N \subseteq M$  *infinite N*  $\wedge T. T \subseteq S \implies \text{decides } \mathcal{F} T N$   
**proof** –  
**have** *finite (Pow S)*  
**by** (*simp add: <finite S>*)  
**then obtain**  $f :: \text{nat} \Rightarrow \text{nat set}$  **where**  $f: f \text{ ' } \{.. < \text{card } (Pow S)\} = Pow S$   
**by** (*metis bij-betw-imp-surj-on [OF bij-betw-from-nat-into-finite]*)  
**obtain**  $M0$  **where**  $M0: \text{infinite } M0 M0 \subseteq M \text{ decides } \mathcal{F} (f 0) M0$   
**by** (*meson <infinite M> ex-infinite-decides-1*)  
**define**  $F$  **where**  $F \equiv \text{rec-nat } M0 (\lambda n N. @N'. N' \subseteq N \wedge \text{infinite } N' \wedge \text{decides } \mathcal{F} (f (Suc n)) N')$   
**have**  $P\text{-Suc}: F (Suc n) = (@N'. N' \subseteq F n \wedge \text{infinite } N' \wedge \text{decides } \mathcal{F} (f (Suc n)) N')$  **for**  $n$   
**by** (*auto simp: F-def*)  
**have**  $*$ : *infinite (F n)  $\wedge$  decides  $\mathcal{F} (f n) (F n) \wedge F n \subseteq M$*  **for**  $n$   
**proof** (*induction n*)  
**case**  $0$   
**with** *<infinite M>* **show** *?case*  
**by** (*auto simp: F-def M0*)  
**next**  
**case**  $(Suc n)$   
**let**  $? \Phi = \lambda N'. N' \subseteq F n \wedge \text{infinite } N' \wedge \text{decides } \mathcal{F} (f (Suc n)) N'$   
**have**  $\exists N'. ? \Phi N'$   
**by** (*meson Suc ex-infinite-decides-1 subset-trans*)  
**then have**  $Eps ? \Phi \subseteq F n \wedge \text{infinite } (Eps ? \Phi) \wedge \text{decides } \mathcal{F} (f (Suc n)) (Eps ? \Phi)$   
**by** (*rule someI-ex*)  
**with** *Suc.IH* **show** *?case*  
**by** (*auto simp: P-Suc*)  
**qed**  
**then have** *telescope: F (Suc n)  $\subseteq$  F n* **for**  $n$   
**unfolding**  $P\text{-Suc}$  **by** (*metis (no-types, lifting) ex-infinite-decides-1 someI-ex*)  
**let**  $?N = \bigcap_{n < \text{card } (Pow S)}. F n$   
**show** *thesis*  
**proof**  
**show**  $?N \subseteq M$   
**by** (*metis \* INF-lower2 Pow-iff f imageE order-refl*)  
**next**  
**have**  $eq: (\bigcap_{n \leq m}. F n) = F m$  **for**  $m$   
**by** (*induction m (use telescope in <auto simp: atMost-Suc>)*)  
**then show** *infinite ?N*  
**by** (*metis \* Suc-le-D Suc-le-eq finite-subset le-INF-iff lessThan-Suc-atMost lessThan-iff*)  
**next**  
**fix**  $T$   
**assume**  $T \subseteq S$   
**then obtain**  $m$  **where**  $f m = T m < \text{card } (Pow S)$   
**using**  $f$  **by** (*blast elim: equalityE*)  
**then have** *decides  $\mathcal{F} T (F m)$*

```

    using * by blast
  show decides  $\mathcal{F}$   $T$   $?N$ 
  by (meson INT-lower <decides  $\mathcal{F}$   $T$  ( $F$   $m$ )>  $m < \text{card } (\text{Pow } S)$ > decides-subset
lessThan-iff)
  qed
qed

```

**lemma** *sorted-wrt-subset*:  $\llbracket X \in \text{list.set } l; \text{sorted-wrt } (\leq) \ l \rrbracket \implies \text{hd } l \subseteq X$   
**by** (*induction*  $l$ ) *auto*

Todorčević's Lemma 1.18

**proposition** *ex-infinite-decides-subsets*:

**assumes** *thin-set*  $\mathcal{F}$  *infinite*  $M$

**obtains**  $N$  **where**  $N \subseteq M$  *infinite*  $N$  *decides-subsets*  $\mathcal{F}$   $N$

**proof** –

**obtain**  $M0$  **where**  $M0$ : *infinite*  $M0$   $M0 \subseteq M$  *decides*  $\mathcal{F}$   $\{\}$   $M0$

**by** (*meson* (*infinite*  $M$ ) *ex-infinite-decides-1*)

**define** *decides-all* **where** *decides-all*  $\equiv \lambda S N. \forall T \subseteq S. \text{decides } \mathcal{F} \ T \ N$

**define**  $\Phi$  **where**  $\Phi \equiv \lambda NL N. N \subseteq \text{hd } NL \wedge \text{Inf } N > \text{Inf } (\text{hd } NL) \wedge \text{infinite } N$   
 $\wedge \text{decides-all } (\text{List.set } (\text{map } \text{Inf } NL)) \ N$

**have**  $\exists N. \Phi \ NL \ N$  **if** *infinite* ( $\text{hd } NL$ ) **for**  $NL$

**proof** –

**obtain**  $N$  **where**  $N$ :  $N \subseteq \text{hd } NL \wedge \text{infinite } N \wedge \text{decides-all } (\text{List.set } (\text{map } \text{Inf } NL)) \ N$

**unfolding**  $\Phi$ -*def* *decides-all-def*

**by** (*metis* *List.finite-set* *ex-infinite-decides-finite* (*infinite* ( $\text{hd } NL$ )))

**then have**  $\text{Inf } (N \cap \{\text{Inf } (\text{hd } NL) < ..\}) > \text{Inf } (\text{hd } NL)$

**by** (*metis* *Inf-nat-def1* *Int-iff* *finite.emptyI* *finite-nat-Int-greaterThan-iff* *greaterThan-iff*)

**then show** *?thesis*

**unfolding**  $\Phi$ -*def* *decides-all-def*

**by** (*meson* *Int-lower1*  $N$  *decides-all-def* *decides-subset* *finite-nat-Int-greaterThan-iff* *subset-trans*)

**qed**

**then have**  $\Phi$ -*Eps*:  $\Phi \ NL \ (\text{Eps } (\Phi \ NL))$  **if** *infinite* ( $\text{hd } NL$ ) **for**  $NL$

**by** (*simp* *add*: *someI-ex* *that*)

**define**  $F$  **where**  $F \equiv \text{rec-nat } [M0] \ (\lambda n \ NL. (\text{Eps } (\Phi \ NL)) \ \# \ NL)$

**have**  $F$ -*simps* [*simp*]:  $F \ 0 = [M0] \ F \ (\text{Suc } n) = \text{Eps } (\Phi \ (F \ n)) \ \# \ F \ n$  **for**  $n$

**by** (*auto* *simp*:  $F$ -*def*)

**have**  $F$ :  $F \ n \neq [] \wedge \text{sorted-wrt } (\leq) \ (F \ n) \wedge \text{list.set } (F \ n) \subseteq \text{Collect } \text{infinite} \wedge$   
 $\text{list.set } (F \ n) \subseteq \text{Pow } M$  **for**  $n$

**proof** (*induction*  $n$ )

**case**  $0$

**then show** *?case*

**by** (*simp* *add*:  $M0$ )

**next**

**case** ( $\text{Suc } n$ )

**then have**  $*$ :  $\Phi \ (F \ n) \ (\text{Eps } (\Phi \ (F \ n)))$



```

    using  $\Phi$ -Eps hd-in-set by blast
  show ?case
  proof (intro conjI)
    show sorted-wrt ( $\subseteq$ ) (F (Suc n))
      using subset-trans [OF - sorted-wrt-subset] Suc.IH  $\Phi$ -def * by auto
    show list.set (F (Suc n))  $\subseteq$  {S. infinite S}
      using *  $\Phi$ -def Suc.IH by force
    show list.set (F (Suc n))  $\subseteq$  Pow M
      using * Suc.IH  $\Phi$ -def hd-in-set by force
  qed auto
  qed
  have  $\Phi F$ :  $\Phi$  (F n) (Eps ( $\Phi$  (F n))) for n
    using F  $\Phi$ -Eps hd-in-set by blast
  then have decides: decides-all (List.set (map Inf (F n))) (Eps ( $\Phi$  (F n))) for n
    using  $\Phi$ -def by blast
  have Eps-subset-hd: Eps ( $\Phi$  (F n))  $\subseteq$  hd (F n) for n
    using  $\Phi F$   $\Phi$ -def by blast
  have List.set (map Inf (F n))  $\subseteq$  List.set (map Inf (F (Suc n))) for n
    by auto
  then have map-Inf-subset:  $m \leq n \implies$  List.set (map Inf (F m))  $\subseteq$  List.set (map
  Inf (F n)) for m n
    by (rule order-class.lift-Suc-mono-le) auto
  define mmap where mmap  $\equiv$   $\lambda n.$  Inf (hd (F (Suc n)))
  have mmap n < mmap (Suc n) for n
    by (metis F-simps(2)  $\Phi F$   $\Phi$ -def list.sel(1) mmap-def)
  then have strict-mono mmap
    by (simp add: lift-Suc-mono-less strict-mono-def)
  then have inj mmap
    by (simp add: strict-mono-imp-inj-on)
  have finite-F-bound:  $\exists n.$  S  $\subseteq$  List.set (map Inf (F n))
    if S: S  $\subseteq$  range mmap finite S for S
  proof -
    obtain K where finite K S  $\subseteq$  mmap ' K
      by (metis S finite-subset-image order-refl)
    show ?thesis
    proof
      have mmap ' K  $\subseteq$  list.set (map Inf (F (Suc (Max K))))
        unfolding mmap-def image-subset-iff
        by (metis F Max-ge ⟨finite K⟩ hd-in-set imageI map-Inf-subset not-less-eq-eq
        set-map subsetD)
      with S show S  $\subseteq$  list.set (map Inf (F (Suc (Max K))))
        using ⟨S  $\subseteq$  mmap ' K⟩ by auto
    qed
  qed
  have Eps ( $\Phi$  (F (Suc n)))  $\subseteq$  Eps ( $\Phi$  (F n)) for n
    by (metis F-simps(2)  $\Phi F$   $\Phi$ -def list.sel(1))
  then have Eps- $\Phi$ -decreasing:  $m \leq n \implies$  Eps ( $\Phi$  (F n))  $\subseteq$  Eps ( $\Phi$  (F m)) for m
  n
    by (rule order-class.lift-Suc-antimono-le)

```

```

have hd-Suc-eq-Eps: hd (F (Suc n)) = Eps (Φ (F n)) for n
  by simp
have Inf-hd-in-hd: Inf (hd (F n)) ∈ hd (F n) for n
  by (metis Inf-nat-def1 ΦF Φ-def finite.emptyI rev-finite-subset)
then have Inf-hd-in-Eps: Inf (hd (F m)) ∈ Eps (Φ (F n)) if m>n for m n
  by (metis Eps-Φ-decreasing Nat.lessE leD mmap-def not-less-eq-eq subsetD that
hd-Suc-eq-Eps)
then have image-mmap-subset-hd-F: mmap ‘ {n..} ⊆ hd (F (Suc n)) for n
  by (metis hd-Suc-eq-Eps atLeast-iff image-subsetI le-imp-less-Suc mmap-def)
have list.set (F k) ⊆ list.set (F n) if k ≤ n for k n
  by (rule order-class.lift-Suc-mono-le) (use that in auto)
then have hd-F-in-F: hd (F k) ∈ list.set (F n) if k ≤ n for k n
  by (meson F hd-in-set subsetD that)
show thesis
proof
  show infinite-mm: infinite (range mmap)
    using ⟨inj mmap⟩ range-inj-infinite by blast
  show range mmap ⊆ M
    using Eps-subset-hd ⟨M0 ⊆ M⟩ image-mmap-subset-hd-F by fastforce
  show decides-subsets ℱ (range mmap)
    unfolding decides-subsets-def
  proof (intro strip)
    fix S
    assume S ⊆ range mmap finite S
    define n where n ≡ LEAST n. S ⊆ List.set (map Inf (F n))
    have ∃ n. S ⊆ List.set (map Inf (F n))
      using ⟨S ⊆ range mmap⟩ ⟨finite S⟩ finite-F-bound by blast
    then have S: S ⊆ List.set (map Inf (F n)) and minS: ⋀ m. m < n ⇒ ¬ S
      ⊆ List.set (map Inf (F m))
      unfolding n-def by (meson LeastI-ex not-less-Least)+
    have decides-Fn: decides ℱ S (Eps (Φ (F n)))
      using S decides decides-all-def by blast
    show decides ℱ S (range mmap)
    proof (cases n=0)
      case True
      then show ?thesis
        by (metis image-mmap-subset-hd-F decides-Fn decides-subset hd-Suc-eq-Eps
atLeast-0)
    next
      case False
      have notin-map-Inf: x ∉ List.set (map Inf (F n)) if less-sets S {x} for x
      proof clarsimp
        fix T
        assume x = Inf T and T ∈ list.set (F n)
        with that have ls: less-sets S {Inf T}
          by auto
        have S ⊆ List.set (map Inf (F j))
          if T: T ∈ list.set (F (Suc j)) for j
        proof clarsimp

```

```

fix  $x$ 
assume  $x \in S$ 
then have  $x < \text{Inf } T$ 
  using less-setsD ls by blast
then have  $x \notin T$ 
  using Inf-nat-def not-less-Least by auto
obtain  $k$  where  $k: x = \text{mmap } k$ 
  using  $\langle S \subseteq \text{range mmap} \rangle \langle x \in S \rangle$  by blast
with  $T \langle x < \text{Inf } T \rangle$  have  $k < j$ 
  by (metis Eps-Phi-decreasing F Inf-hd-in-hd hd-Suc-eq-Eps  $\langle x \notin T \rangle$ 
mmap-def not-le sorted-wrt-subset subsetD)
  then have  $\text{Eps } (\Phi (F k)) \in \text{list.set } (F j)$ 
  by (metis Suc-leI hd-Suc-eq-Eps hd-F-in-F)
  then show  $x \in \text{Inf } \langle \text{list.set } (F j) \rangle$ 
  by (auto simp: k image-iff mmap-def)
qed
then obtain  $m$  where  $m < n$   $S \subseteq \text{List.set } (\text{map Inf } (F m))$ 
  using  $\langle n \neq 0 \rangle$  by (metis  $\langle T \in \text{list.set } (F n) \rangle$  lessI less-Suc-eq-0-disj)
then show False
  using minS by blast
qed
have Inf-hd-F:  $\text{Inf } (\text{hd } (F m)) \in \text{Eps } (\Phi (F n))$  if less-sets  $S \{ \text{Inf } (\text{hd } (F m)) \}$  for  $m$ 
  by (metis Inf-hd-in-Eps hd-F-in-F notin-map-Inf imageI leI set-map that)
have less-S: less-sets  $S \{ \text{Inf } (\text{hd } (F m)) \}$ 
  if init-segment  $S T$   $\text{Inf } (\text{hd } (F m)) \in T$   $\text{Inf } (\text{hd } (F m)) \notin S$  for  $T m$ 
  using  $\langle \text{finite } S \rangle$  that by (auto simp: init-segment-iff less-sets-def)
consider rejects  $\mathcal{F} S (\text{Eps } (\Phi (F n))) \mid$  strongly-accepts  $\mathcal{F} S (\text{Eps } (\Phi (F n)))$ 
  using decides-Fn by (auto simp: decides-def)
then show ?thesis
proof cases
  case 1
  have rejects  $\mathcal{F} S (\text{range mmap})$ 
  proof (clarsimp simp: rejects-def disjoint-iff)
  fix  $X$ 
  assume  $X \in \text{comparables } S (\text{range mmap})$  and  $X \in \mathcal{F}$ 
  moreover have  $\bigwedge x X. \llbracket X \in \mathcal{F}; \text{init-segment } S X; x \in X; x \notin S; x \in \text{range mmap} \rrbracket$ 
     $\implies x \in \text{Eps } (\Phi (F n))$ 
  using less-S Inf-hd-F mmap-def by blast
  ultimately have  $X \in \text{comparables } S (\text{Eps } (\Phi (F n)))$ 
  by (auto simp: comparables-def disjoint-iff subset-iff)
  with 1  $\langle X \in \mathcal{F} \rangle$  show False by (auto simp: rejects-def)
  qed
then show ?thesis
  by (auto simp: decides-def)
next
  case 2

```

```

have False
  if  $N \subseteq \text{range } \text{mmap}$  and  $\text{rejects } \mathcal{F} S N$  and  $\text{infinite } N$  for  $N$ 
proof –
  have  $N = \text{mmap } \{n..\} \cap N \cup \text{mmap } \{..<n\} \cap N$ 
    using  $\text{in-mono that}(1)$  by  $\text{fastforce}$ 
  then have  $\text{infinite } (\text{mmap } \{n..\} \cap N)$ 
    by  $(\text{metis finite-Int finite-Un finite-imageI finite-lessThan that}(3))$ 
  moreover have  $\text{rejects } \mathcal{F} S (\text{mmap } \{n..\} \cap N)$ 
    using  $\text{rejects-subset } \langle \text{rejects } \mathcal{F} S N \rangle$  by  $\text{fastforce}$ 
  moreover have  $\text{mmap } \{n..\} \cap N \subseteq \text{Eps } (\Phi (F n))$ 
    using  $\text{image-mmap-subset-hd-F}$  by  $\text{fastforce}$ 
  ultimately show  $?thesis$ 
    using  $2$  by  $(\text{auto simp: strongly-accepts-def})$ 
qed
with  $2$  have  $\text{strongly-accepts } \mathcal{F} S (\text{range } \text{mmap})$ 
  by  $(\text{auto simp: strongly-accepts-def})$ 
then show  $?thesis$ 
  by  $(\text{auto simp: decides-def})$ 
qed
qed
qed
qed
qed

```

Todorčević's Lemma 1.19

```

proposition  $\text{strongly-accepts-1-19}$ :
  assumes  $\text{acc: strongly-accepts } \mathcal{F} S M$ 
  and  $\text{thin-set } \mathcal{F} \text{ infinite } M S \subseteq M \text{ finite } S$ 
  and  $\text{dsM: decides-subsets } \mathcal{F} M$ 
  shows  $\text{finite } \{n \in M. \neg \text{strongly-accepts } \mathcal{F} (\text{insert } n S) M\}$ 
proof  $(\text{rule ccontr})$ 
  define  $N$  where  $N = \{n \in M. \text{rejects } \mathcal{F} (\text{insert } n S) M\} \cap \{\text{Sup } S <..\}$ 
  have  $N \subseteq M$  and  $N: \bigwedge n. n \in N \longleftrightarrow n \in M \wedge \text{rejects } \mathcal{F} (\text{insert } n S) M \wedge n > \text{Sup } S$ 
  by  $(\text{auto simp: N-def})$ 
  assume  $\neg ?thesis$ 
  moreover have  $\{n \in M. \neg \text{strongly-accepts } \mathcal{F} (\text{insert } n S) M\} = \{n \in M. \text{rejects } \mathcal{F} (\text{insert } n S) M\}$ 
    using  $\text{dsM } \langle \text{finite } S \rangle \langle \text{infinite } M \rangle \langle S \subseteq M \rangle$  unfolding  $\text{decides-subsets-def}$ 
    by  $(\text{meson decides-def finite.insertI insert-subset strongly-accepts-imp-accepts})$ 
  ultimately have  $\text{infinite } \{n \in M. \text{rejects } \mathcal{F} (\text{insert } n S) M\}$ 
    by  $\text{simp}$ 
  then have  $\text{infinite } N$ 
    by  $(\text{simp add: N-def finite-nat-Int-greaterThan-iff})$ 
  then have  $\text{accepts } \mathcal{F} S N$ 
    using  $\text{acc strongly-accepts-def } \langle N \subseteq M \rangle$  by  $\text{blast}$ 
  then obtain  $T$  where  $T: T \in \text{comparables } S N T \in \mathcal{F}$  and  $\text{TSN: } T \subseteq S \cup N$ 
    unfolding  $\text{rejects-def}$ 
    using  $\text{comparables-iff init-segment-subset}$  by  $\text{fastforce}$ 

```

**then consider**  $\text{init-segment } T S \mid \text{init-segment } S T S \neq T$   
**by** (*auto simp: comparables-def*)  
**then show** *False*  
**proof cases**  
**case 1**  
**then have**  $\text{init-segment } T (\text{insert } n S)$  **if**  $n \in N$  **for**  $n$   
**by** (*meson Sup-nat-less-sets-singleton N ⟨finite S⟩ init-segment-insert that*)  
**with**  $\langle \text{infinite } N \rangle \langle \text{thin-set } \mathcal{F} \rangle \langle T \in \mathcal{F} \rangle$  **show** *False*  
**by** (*meson N infinite-nat-iff-unbounded rejects-trivial*)  
**next**  
**let**  $?n = \text{Min } (T - S)$   
**case 2**  
**then have**  $?n \in N$   
**by** (*metis Diff-partition Diff-subset-conv Min-in T(1) TSN comparables-iff finite-Diff init-segment-subset subsetD sup-bot.right-neutral*)  
**then have**  $\text{rejects } \mathcal{F} (\text{insert } ?n S) N$   
**using** *rejects-subset*  $\langle N \subseteq M \rangle$  **by** (*auto simp: N-def*)  
**then have**  $\S: \neg \text{init-segment } T (\text{insert } ?n S) \wedge (\neg \text{init-segment } (\text{insert } ?n S) T \vee \text{insert } ?n S = T)$   
**using** *T Diff-partition TSN*  $\langle \text{Min } (T - S) \in N \rangle \langle \text{finite } S \rangle$   
**unfolding** *rejects-def comparables-iff disjoint-iff*  
**by** *auto*  
**then have**  $T - nS: T \subseteq \text{insert } ?n S$   
**proof** (*elim conjE disjE*)  
**assume**  $\neg \text{init-segment } T (\text{insert } ?n S) \neg \text{init-segment } (\text{insert } ?n S) T$   
**moreover have**  $\text{less-sets } S \{ \text{Min } (T - S) \}$   
**using** *Sup-nat-less-sets-singleton N*  $\langle \text{Min } (T - S) \in N \rangle$  *assms(5)* **by** *blast*  
**moreover have**  $\text{finite } (T - S)$   
**using** *T comparables-iff* **by** *blast*  
**ultimately show** *?thesis*  
**using**  $\langle \text{init-segment } S T \rangle$  *Min-in init-segment-insert-iff* **by** *auto*  
**qed** *auto*  
**have**  $\text{non-TS}: \neg \text{init-segment } T S$   
**by** (*meson Sup-nat-less-sets-singleton N*  $\langle ?n \in N \rangle \langle \neg \text{init-segment } T (\text{insert } (?n) S) \wedge (\neg \text{init-segment } (\text{insert } (?n) S) T \vee \text{insert } (?n) S = T) \rangle$  *assms(5) init-segment-insert*)  
**consider**  $(ST) S \subseteq T \mid (TS) T \subseteq S$   
**using** *2 init-segment-subset* **by** *blast*  
**then show** *False*  
**proof cases**  
**case ST**  
**with**  $\S$  **show** *?thesis*  
**using** *2 T(1)*  $\langle T \subseteq \text{insert } (?n) S \rangle$  *comparables-iff init-segment-iff* **by** *auto*  
**next**  
**case TS**  
**then show** *?thesis*  
**proof** –  
**have**  $\neg \text{init-segment } T S$   
**by** (*meson Sup-nat-less-sets-singleton N*  $\langle ?n \in N \rangle \S$  *assms(5) init-segment-insert*)

**then show** *?thesis*  
**using** 2 *TS init-segment-subset* **by** *fastforce*  
**qed**  
**qed**  
**qed**  
**qed**

Much work is needed for this slight strengthening of the previous result!

**proposition** *strongly-accepts-1-19-plus:*

**assumes** *thin-set*  $\mathcal{F}$  *infinite*  $M$

**and**  $dsM$ : *decides-subsets*  $\mathcal{F}$   $M$

**obtains**  $N$  **where**  $N \subseteq M$  *infinite*  $N$

$\bigwedge S n. \llbracket S \subseteq N; \text{finite } S; \text{strongly-accepts } \mathcal{F} S N; n \in N; \text{less-sets } S \{n\} \rrbracket$   
 $\implies \text{strongly-accepts } \mathcal{F} (\text{insert } n S) N$

**proof** –

**define** *insert-closed* **where**

*insert-closed*  $\equiv \lambda NL N. \forall T \subseteq \text{Inf } ' \text{set } NL. \forall n \in N.$

$\text{strongly-accepts } \mathcal{F} T ((\text{Inf } ' \text{set } NL) \cup \text{hd } NL) \longrightarrow$

$\text{less-sets } T \{n\} \longrightarrow \text{strongly-accepts } \mathcal{F} (\text{insert } n T) ((\text{Inf } ' \text{set}$

$NL) \cup \text{hd } NL)$

**define**  $\Phi$  **where**  $\Phi \equiv \lambda NL N. N \subseteq \text{hd } NL \wedge \text{Inf } N > \text{Inf } (\text{hd } NL) \wedge \text{infinite } N$   
 $\wedge \text{insert-closed } NL N$

**have**  $\exists N. \Phi NL N$  **if**  $NL$ : *infinite*  $(\text{hd } NL)$   $\text{Inf } ' \text{set } NL \cup \text{hd } NL \subseteq M$  **for**  $NL$

**proof** –

**let**  $?m = \text{Inf } ' \text{set } NL$

**let**  $?M = ?m \cup \text{hd } NL$

**define**  $P$  **where**  $P \equiv \lambda S. \{n \in ?M. \neg \text{strongly-accepts } \mathcal{F} (\text{insert } n S) ?M\}$

**have**  $\exists k. P S \subseteq \{..k\}$

**if**  $S \subseteq \text{Inf } ' \text{set } NL$  *strongly-accepts*  $\mathcal{F} S ?M$  **for**  $S$

**proof** –

**have** *finite*  $(P S)$

**unfolding**  $P$ -*def*

**proof** (*rule strongly-accepts-1-19*)

**show** *decides-subsets*  $\mathcal{F}$   $?M$

**using**  $NL(2)$  *decides-subsets-subset*  $dsM$  **by** *blast*

**show** *finite*  $S$

**using** *finite-surj that(1)* **by** *blast*

**qed** (*use that NL assms in auto*)

**then show** *?thesis*

**by** (*simp add: finite-nat-iff-bounded-le*)

**qed**

**then obtain**  $f$  **where**  $f$ :  $\bigwedge S. \llbracket S \subseteq \text{Inf } ' \text{set } NL; \text{strongly-accepts } \mathcal{F} S ?M \rrbracket \implies$   
 $P S \subseteq \{..f S\}$

**by** *metis*

**define**  $m$  **where**  $m \equiv \text{Max } (\text{insert } (\text{Inf } (\text{hd } NL)) (f ' \text{Pow } (\text{Inf } ' \text{set } NL)))$

**have**  $\S$ : *strongly-accepts*  $\mathcal{F} (\text{insert } n S) ?M$

**if**  $S$ :  $S \subseteq \text{Inf } ' \text{set } NL$  *strongly-accepts*  $\mathcal{F} S ?M$  **and**  $n$ :  $n \in \text{hd } NL \cap \{m<..\}$

**for**  $S n$

**proof** –

```

have f S ≤ m
  unfolding m-def using that(1) by auto
then show ?thesis
  using f [OF S] n unfolding P-def by auto
qed
have Φ NL (hd NL ∩ {m<..})
  unfolding Φ-def
proof (intro conjI)
have Inf (hd NL) ≤ m
  by (simp add: m-def)
then show Inf (hd NL) < Inf (hd NL ∩ {m<..})
  by (metis Inf-nat-def1 Int-iff finite.emptyI finite-nat-Int-greaterThan-iff
greaterThan-iff le-less-trans that(1))
  show infinite (hd NL ∩ {m<..})
    by (simp add: finite-nat-Int-greaterThan-iff that(1))
  show insert-closed NL (hd NL ∩ {m<..})
    by (auto intro: § simp: insert-closed-def)
qed auto
then show ?thesis ..
qed
then have Φ-Eps: Φ NL (Eps (Φ NL)) if infinite (hd NL) Inf ' set NL ∪ hd NL
⊆ M for NL
  by (meson someI-ex that)
define F where F ≡ rec-nat [M] (λn NL. (Eps (Φ NL)) # NL)
have F-simps [simp]: F 0 = [M] F (Suc n) = Eps (Φ (F n)) # F n for n
  by (auto simp: F-def)
have InfM: Inf M ∈ M
  by (metis Inf-nat-def1 assms(2) finite.emptyI)
have F: F n ≠ [] ∧ sorted-wrt (≤) (F n) ∧ list.set (F n) ⊆ Collect infinite ∧ set
(F n) ⊆ Pow M ∧ Inf ' list.set (F n) ⊆ M for n
proof (induction n)
case 0
then show ?case
  by (auto simp: InfM ⟨infinite M⟩)
next
case (Suc n)
have hd (F n) ⊆ M
  by (meson Pow-iff Suc.IH hd-in-set subsetD)
then have 1: Ball (list.set (F n)) ((⊆) (Eps (Φ (F n))))
  using order-trans [OF - sorted-wrt-subset]
  by (metis Suc.IH Un-subset-iff Φ-Eps Φ-def hd-in-set mem-Collect-eq subsetD)
have 2: infinite (Eps (Φ (F n)))
  by (metis Ball-Collect Pow-iff Suc.IH Un-subset-iff Φ-Eps Φ-def hd-in-set
subset-iff)
have 3: Eps (Φ (F n)) ⊆ M
  by (meson Pow-iff Suc.IH 1 hd-in-set subset-iff)
have Inf (Eps (Φ (F n))) ∈ M
  by (metis 2 3 Inf-nat-def1 finite.simps in-mono)
with 1 2 3 show ?case

```

```

    using Suc by simp
qed
have  $\Phi F$ :  $\Phi (F n) (Eps (\Phi (F n)))$  for  $n$ 
  by (metis Ball-Collect F Pow-iff Un-subset-iff  $\Phi$ -Eps hd-in-set subset-code(1))
then have insert-closed: insert-closed (F n) (Eps ( $\Phi (F n)$ )) for  $n$ 
  using  $\Phi$ -def by blast
have Eps-subset-hd:  $Eps (\Phi (F n)) \subseteq hd (F n)$  for  $n$ 
  using  $\Phi F$   $\Phi$ -def by blast
define mmap where  $mmap \equiv \lambda n. Inf (hd (F (Suc n)))$ 
have  $mmap n < mmap (Suc n)$  for  $n$ 
  by (metis F-simps(2)  $\Phi F$   $\Phi$ -def list.sel(1) mmap-def)
then have strict-mono mmap
  by (simp add: lift-Suc-mono-less strict-mono-def)
then have inj mmap
  by (simp add: strict-mono-imp-inj-on)
have  $Eps (\Phi (F (Suc n))) \subseteq Eps (\Phi (F n))$  for  $n$ 
  by (metis F-simps(2)  $\Phi F$   $\Phi$ -def list.sel(1))
then have Eps- $\Phi$ -decreasing:  $m \leq n \implies Eps (\Phi (F n)) \subseteq Eps (\Phi (F m))$  for  $m$ 
 $n$ 
  by (rule order-class.lift-Suc-antimono-le)
have hd-Suc-eq-Eps:  $hd (F (Suc n)) = Eps (\Phi (F n))$  for  $n$ 
  by simp
have  $Inf (hd (F n)) \in hd (F n)$  for  $n$ 
  by (metis Inf-nat-def1  $\Phi F$   $\Phi$ -def finite.emptyI rev-finite-subset)
then have Inf-hd-in-Eps:  $Inf (hd (F m)) \in Eps (\Phi (F n))$  if  $m > n$  for  $m n$ 
  by (metis Eps- $\Phi$ -decreasing Nat.lessE hd-Suc-eq-Eps less-imp-le-nat subsetD
that)
then have image-mmap-subset-hd-F:  $mmap \text{ ' } \{n..\} \subseteq hd (F (Suc n))$  for  $n$ 
  by (metis hd-Suc-eq-Eps atLeast-iff image-subsetI le-imp-less-Suc mmap-def)
have list.set (F k)  $\subseteq$  list.set (F n) if  $k \leq n$  for  $k n$ 
  by (rule order-class.lift-Suc-mono-le) (use that in auto)
then have hd-F-in-F:  $hd (F k) \in list.set (F n)$  if  $k \leq n$  for  $k n$ 
  by (meson F hd-in-set subsetD that)
show ?thesis
proof
  show infinite-mm: infinite (range mmap)
    using <inj mmap> range-inj-infinite by blast
  show range mmap  $\subseteq M$ 
    using Eps-subset-hd image-mmap-subset-hd-F by fastforce
next
  fix S a
  assume  $S \subseteq range\ mmap$  finite S and acc: strongly-accepts  $\mathcal{F}$  S (range mmap)
    and a:  $a \in range\ mmap$  and Sn: less-sets S {a}
  then obtain n where  $n$ :  $a = mmap\ n$ 
    by auto
  define N where  $N \equiv LEAST\ n. S \subseteq mmap\ \text{ ' } \{..<n\}$ 
  have  $\exists n. S \subseteq mmap\ \text{ ' } \{..<n\}$ 
    by (metis <S  $\subseteq$  range mmap> finite S) finite-nat-iff-bounded finite-subset-image
image-mono)

```



```

then have  $S: S \subseteq \text{mmap } \{..\lt N\}$  and  $\text{min}S: \bigwedge m. m \lt N \implies \neg S \subseteq \text{mmap } \{..\lt m\}$ 
  unfolding  $N\text{-def}$  by (meson LeastI-ex not-less-Least)+
have  $\text{range-mmap-subset}: \text{range } \text{mmap} \subseteq \text{Inf } \{ \text{list.set } (F\ n) \cup \text{hd } (F\ n) \}$  for  $n$ 
proof (induction n)
  case 0
  then show ?case
    using Eps-subset-hd image-mmap-subset-hd-F by fastforce
next
  case (Suc n)
  then show ?case
    by clarsimp (metis Inf-hd-in-Eps hd-F-in-F image-iff leI mmap-def)
qed
have  $\text{sub}M: (\text{Inf } \{ \text{list.set } (F\ N) \cup \text{hd } (F\ N) \}) \subseteq M$ 
  by (meson F PowD hd-in-set subsetD sup.boundedI)
have  $\text{strongly-accepts } \mathcal{F} (\text{insert } a\ S) (\text{Inf } \{ \text{list.set } (F\ N) \cup \text{hd } (F\ N) \})$ 
proof (rule insert-closed [unfolded insert-closed-def, rule-format])
  have  $\text{mmap } \{..\lt N\} \subseteq \text{Inf } \{ \text{list.set } (F\ N) \}$ 
    using Suc-leI hd-F-in-F by (fastforce simp: mmap-def le-eq-less-or-eq)
  with  $S$  show  $S\text{sub}: S \subseteq \text{Inf } \{ \text{list.set } (F\ N) \}$ 
    by auto
  have  $S \subseteq \text{mmap } \{..\lt n\}$ 
    using  $Sn\ S$  (strict-mono mmap) strict-mono-less
    by (fastforce simp: less-sets-def n image-iff subset-iff Bex-def)
  with  $leI\ \text{min}S$  have  $n \geq N$  by blast
  then show  $a \in \text{Eps } (\Phi (F\ N))$ 
    using image-mmap-subset-hd-F n by fastforce
  show  $\text{strongly-accepts } \mathcal{F}\ S (\text{Inf } \{ \text{list.set } (F\ N) \cup \text{hd } (F\ N) \})$ 
proof (rule ccontr)
  assume  $\neg \text{strongly-accepts } \mathcal{F}\ S (\text{Inf } \{ \text{list.set } (F\ N) \cup \text{hd } (F\ N) \})$ 
  then have  $\text{rejects } \mathcal{F}\ S (\text{Inf } \{ \text{list.set } (F\ N) \cup \text{hd } (F\ N) \})$ 
    using  $dsM\ \text{sub}M$  unfolding decides-subsets-def
    by (meson F Ssub (finite S) decides-def decides-subset subset-trans)
  moreover have  $\text{accepts } \mathcal{F}\ S (\text{range } \text{mmap})$ 
    using (inj mmap) acc range-inj-infinite strongly-accepts-imp-accepts by
blast
  ultimately show False
    by (meson range-mmap-subset rejects-subset)
qed
show  $\text{less-sets } S\ \{a\}$ 
  by (auto simp: Sn)
qed
then show  $\text{strongly-accepts } \mathcal{F} (\text{insert } a\ S) (\text{range } \text{mmap})$ 
  using range-mmap-subset strongly-accepts-subset by auto
qed
qed

```

## 2.3 Main Theorem

Weirdly, the assumption  $f \text{ ' } \mathcal{F} \subseteq \{..<2::'a\}$  is not used here; it's perhaps unnecessary due to the particular way that *Ramsey* is defined. It's only needed for  $(2::'a) < r$

**theorem** *Nash-Williams-2:*

**assumes** *thin-set*  $\mathcal{F}$  **shows** *Ramsey*  $\mathcal{F}$  2

**unfolding** *Ramsey-def*

**proof** *clarify*

**fix**  $f :: \text{nat set} \Rightarrow \text{nat}$  **and**  $M :: \text{nat set}$

**assume** *infinite*  $M$

**let**  $?F = \lambda i. f \text{ - ' } \{i\} \cap \mathcal{F}$

**have**  $\text{fin}\mathcal{F}: \bigwedge X. X \in \mathcal{F} \Longrightarrow \text{finite } X$

**using** *assms thin-set-def* **by** *auto*

**have**  $\text{thin}: \text{thin-set } (?F \ i)$  **for**  $i$

**using** *assms thin-set-def* **by** *auto*

**obtain**  $N$  **where**  $N \subseteq M$  *infinite*  $N$  **and**  $N: \text{decides-subsets } (?F \ 0) \ N$

**using**  $\langle \text{infinite } M \rangle$  *ex-infinite-decides-subsets thin* **by** *blast*

**then consider**  $\text{rejects } (?F \ 0) \ \{\} \ N \mid \text{strongly-accepts } (?F \ 0) \ \{\} \ N$

**unfolding** *decides-def* *decides-subsets-def* **by** *blast*

**then show**  $\exists N \ i. N \subseteq M \wedge \text{infinite } N \wedge i < 2 \wedge (\forall j < 2. j \neq i \longrightarrow f \text{ - ' } \{j\} \cap \mathcal{F} \cap \text{Pow } N = \{\})$

**proof** *cases*

**case** 1

**then have**  $?F \ 0 \cap \text{Pow } N = \{\}$

**unfolding** *rejects-def* *disjoint-iff*

**by** (*metis* *IntD2* *PowD* *comparables-iff* *finF* *init-segment-empty* *sup-bot*.*left-neutral*)

**then show** *?thesis*

**using**  $\langle N \subseteq M \rangle$   $\langle \text{infinite } N \rangle$  *less-2-cases-iff* **by** *auto*

**next**

**case** 2

**then have**  $\S: \bigwedge P. [\![P \subseteq N; \bigwedge S. [\![S \subseteq P; \text{finite } S]\!] \Longrightarrow S \notin (?F \ 0)]\!] \Longrightarrow \text{finite } P$

**by** (*auto simp: Fpow-def* *disjoint-iff*)

**obtain**  $P$  **where**  $P \subseteq N$  *infinite*  $P$  **and**  $P:$

$\bigwedge S \ n. [\![S \subseteq P; \text{finite } S; \text{strongly-accepts } (?F \ 0) \ S \ P; n \in P; \text{less-sets } S \ \{n\}]\!] \Longrightarrow \text{strongly-accepts } (?F \ 0) \ (\text{insert } n \ S) \ P$

**using** *strongly-accepts-1-19-plus* [*OF thin*  $\langle \text{infinite } N \rangle$   $N$ ] **by** *blast*

**have**  $?F \ 1 \cap \text{Pow } P = \{\}$

**proof** (*clarsimp simp: disjoint-iff*)

**fix**  $T$

**assume**  $T: f \ T = \text{Suc } 0 \ T \in \mathcal{F}$  **and**  $T \subseteq P$

**then have** *finite*  $T$

**using**  $\text{fin}\mathcal{F}$  **by** *blast*

**moreover have**  $\text{strongly-accepts } (?F \ 0) \ S \ P$  **if** *finite*  $S$   $S \subseteq P$  **for**  $S$

**using** *that*

**proof** (*induction card*  $S$  *arbitrary: S*)

**case** (*Suc*  $n$ )

**then have** *Seq: S = insert (Sup S) (S - {Sup S})*

**using** *Sup-nat-def* *Max-eq-iff* **by** *fastforce*

```

then have card (S - {Sup S}) = n
  using Suc card-Diff-singleton by fastforce
then have sacc: strongly-accepts (?F 0) (S - {Sup S}) P
  using Suc by blast
have S ≠ {}
  using Suc.hyps(2) by auto
have less-sets (S - {Sup S}) {Sup S}
using Suc.prem(1) finite-Sup-less-iff nat-neq-iff by (auto simp: less-sets-def)
then have strongly-accepts (?F 0) (insert (Sup S) (S - {Sup S})) P
  by (metis P Seq Suc.prem finite-Diff insert-subset sacc)
then show ?case
  using Seq by auto
qed (use 2 ⟨P ⊆ N⟩ in auto)
ultimately have ∃ x ∈ comparables T P. f x = 0 ∧ x ∈ F
  unfolding strongly-accepts-def rejects-def disjoint-iff
  by (metis ⟨T ⊆ P⟩ ⟨infinite P⟩ IntE order-refl vimage-singleton-eq)
then show False
  using T assms thin-set-def comparables-def by force
qed
then show ?thesis
  by (metis One-nat-def ⟨N ⊆ M⟩ ⟨P ⊆ N⟩ ⟨infinite P⟩ less-2-cases-iff sub-
set-trans)
qed
qed

```

**theorem Nash-Williams:**

**assumes** F: thin-set F r > 0 **shows** Ramsey F r

**using** ⟨r > 0⟩

**proof** (induction r)

**case** (Suc r)

**show** ?case

**proof** (cases r < 2)

**case** True

**then consider** Suc r = 1 | Suc r = 2

**by** linarith

**then show** ?thesis

**proof** cases

**case** 1 **with** F **show** ?thesis **by** (auto simp: Ramsey-def)

**next**

**case** 2 **with** F **show** ?thesis **by** (metis Nash-Williams-2)

**qed**

**next**

**case** False

**with** Suc.IH **have** Ram: Ramsey F r

**by** auto

**have** r ≥ 2

**by** (simp add: False leI)

**show** ?thesis

**unfolding** Ramsey-def

```

proof clarify
  fix f and M :: nat set
  assume fm:  $f \in \mathcal{F} \rightarrow \{..<Suc\ r\}$ 
  and infinite M
  define g where  $g \equiv \lambda x. \text{if } f\ x = r \text{ then } r-1 \text{ else } f\ x$ 
  have gim:  $g \in \mathcal{F} \rightarrow \{..<r\}$ 
  using fm False by (force simp: g-def)
  then obtain N i where  $N \subseteq M$  infinite N  $i < r$  and  $i: \bigwedge j. \llbracket j < r; i \neq j \rrbracket \implies$ 
 $g - \{j\} \cap \mathcal{F} \cap Pow\ N = \{\}$ 
  using Ram  $\langle infinite\ M \rangle$  by (metis Ramsey-def)
  show  $\exists N\ i. N \subseteq M \wedge infinite\ N \wedge i < Suc\ r \wedge (\forall j < Suc\ r. j \neq i \longrightarrow f - \{j\} \cap \mathcal{F} \cap Pow\ N = \{\})$ 
  proof (cases i < r - 1)
  case True
  have  $f - \{j\} \cap \mathcal{F} \cap Pow\ N = \{\}$  if  $j < Suc\ r$   $i \neq j$  for j
  using  $\langle N \subseteq M \rangle$   $\langle infinite\ N \rangle$   $\langle i < r \rangle$  i that
  apply (clarsimp simp add: disjoint-iff g-def less-Suc-eq)
  by (metis True diff-less less-nat-zero-code nat-neq-iff zero-less-one)
  then show ?thesis
  apply (rule-tac x=N in exI)
  apply (rule-tac x=i in exI)
  by (simp add:  $\langle N \subseteq M \rangle$   $\langle i < r \rangle$   $\langle infinite\ N \rangle$  less-SucI)
next
case False
  then have  $i = r - 1$ 
  using  $\langle i < r \rangle$  by linarith
  then have  $g - \{j\} \cap \mathcal{F} \cap Pow\ N = \{\}$  if  $j < r - 1$  for j
  using that i [of j]  $\langle i < r \rangle$  by (auto simp: g-def disjoint-iff split: if-split-asm)
  define h where  $h \equiv \lambda x. \text{if } f\ x = r \text{ then } 0 \text{ else } f\ x$ 
  have him:  $h \in \mathcal{F} \rightarrow \{..<r\}$ 
  using fm i False  $\langle i < r \rangle$  by (force simp: h-def)
  then obtain P j where  $P \subseteq N$  infinite P  $j < r$  and  $j: \forall k < r. j \neq k \longrightarrow h - \{k\} \cap \mathcal{F} \cap Pow\ P = \{\}$ 
  by (metis Ramsey-def Ram  $\langle infinite\ N \rangle$ )
  show ?thesis
  proof (cases j=0)
  case True
  then show ?thesis
  apply (rule-tac x=P in exI)
  apply (rule-tac x=r in exI)
  using null [of 0]  $\langle r \geq 2 \rangle$   $\langle P \subseteq N \rangle$   $\langle N \subseteq M \rangle$  j  $\langle infinite\ P \rangle$ 
  by (force simp: h-def disjoint-iff less-Suc-eq intro: subset-trans)
next
case False
  then show ?thesis
  apply (rule-tac x=P in exI)
  apply (rule-tac x=j in exI)
  using  $j \langle P \subseteq N \rangle$   $\langle N \subseteq M \rangle$   $\langle j < r \rangle$   $\langle infinite\ P \rangle$  False
  by (auto simp: h-def less-Suc-eq disjoint-iff intro: less-trans)

```

qed  
qed  
qed  
qed  
qed *auto*  
  
end

### 3 Acknowledgements

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