

# The Myhill-Nerode Theorem Based on Regular Expressions

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## Abstract

There are many proofs of the Myhill-Nerode theorem using automata. In this library we give a proof entirely based on regular expressions, since regularity of languages can be conveniently defined using regular expressions (it is more painful in HOL to define regularity in terms of automata). We prove the first direction of the Myhill-Nerode theorem by solving equational systems that involve regular expressions. For the second direction we give two proofs: one using tagging-functions and another using partial derivatives. We also establish various closure properties of regular languages.<sup>1</sup>

## Contents

<b>1</b>	<b>“Summation” for regular expressions</b>	<b>2</b>
<b>2</b>	<b>First direction of MN: <i>finite partition</i> <math>\Rightarrow</math> <i>regular language</i></b>	<b>3</b>
2.1	Equational systems . . . . .	4
2.2	Arden Operation on equations . . . . .	5
2.3	Substitution Operation on equations . . . . .	5
2.4	While-combinator and invariants . . . . .	5
2.5	Intial Equational Systems . . . . .	8
2.6	Iterations . . . . .	10
2.7	The conclusion of the first direction . . . . .	16
<b>3</b>	<b>Second direction of MN: <i>regular language</i> <math>\Rightarrow</math> <i>finite partition</i></b>	<b>18</b>
3.1	Tagging functions . . . . .	18
3.2	Base cases: <i>Zero</i> , <i>One</i> and <i>Atom</i> . . . . .	21
3.3	Case for <i>Plus</i> . . . . .	22
3.4	Case for <i>Times</i> . . . . .	22
3.5	Case for <i>Star</i> . . . . .	25
3.6	The conclusion of the second direction . . . . .	28

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<sup>1</sup>Most details of the theories are described in the paper [2].

<b>4</b>	<b>The theorem</b>	<b>28</b>
4.1	Second direction proved using partial derivatives . . . . .	28
<b>5</b>	<b>Closure properties of regular languages</b>	<b>29</b>
5.1	Closure under $\cup$ , $\cdot$ and $\star$ . . . . .	29
5.2	Closure under complementation . . . . .	30
5.3	Closure under $-$ and $\cap$ . . . . .	30
5.4	Closure under string reversal . . . . .	31
5.5	Closure under left-quotients . . . . .	32
5.6	Finite and co-finite sets are regular . . . . .	32
5.7	Continuation lemma for showing non-regularity of languages .	33
5.8	The language $a^n b^n$ is not regular . . . . .	34
<b>6</b>	<b>Closure under <i>SUBSEQ</i> and <i>SUPSEQ</i></b>	<b>35</b>
6.1	Sub- and Supersequences . . . . .	36
6.2	Regular expression that recognises every character . . . . .	37
6.3	Closure of <i>SUBSEQ</i> and <i>SUPSEQ</i> . . . . .	39
<b>7</b>	<b>Tools for showing non-regularity of a language</b>	<b>40</b>
7.1	Auxiliary material . . . . .	40
7.2	Non-regularity by giving an infinite set of equivalence classes	41
7.3	The Pumping Lemma . . . . .	42
7.4	Examples . . . . .	44

```

theory Folds
imports Regular—Sets.Regular-Exp
begin

```

## 1 “Summation” for regular expressions

To obtain equational system out of finite set of equivalence classes, a fold operation on finite sets *folds* is defined. The use of *SOME* makes *folds* more robust than the *fold* in the Isabelle library. The expression *folds* *f* makes sense when *f* is not *associative* and *commutitive*, while *fold* *f* does not.

**definition**

*folds* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a set  $\Rightarrow$  'b

**where**

*folds* *f* *z* *S*  $\equiv$  *SOME* *x*. *fold-graph* *f* *z* *S* *x*

Plus-combination for a set of regular expressions

**abbreviation**

*Setalt* :: 'a *rexp* set  $\Rightarrow$  'a *rexp* ( $\langle \uplus \rangle \rightarrow$  [1000] 999)

**where**

$\uplus A \equiv$  *folds* *Plus Zero* *A*

For finite sets, *Setalt* is preserved under *lang*.

```

lemma folds-plus-simp [simp]:
  fixes rs::('a rexp) set
  assumes a: finite rs
  shows lang ( $\biguplus$  rs) =  $\bigcup$  (lang ' rs)
unfolding folds-def
apply(rule set-eqI)
apply(rule someI2-ex)
apply(rule-tac finite-imp-fold-graph[OF a])
apply(erule fold-graph.induct)
apply(auto)
done

```

**end**

```

theory Myhill-1
imports Folds
          HOL-Library.While-Combinator
begin

```

## 2 First direction of MN: *finite partition* $\Rightarrow$ *regular language*

```

notation
  conc (infixr ' $\langle \cdot \rangle$ ' 100) and
  star ( $\langle \cdot \star \rangle$  [101] 102)

```

```

lemma Pair-Collect [simp]:
  shows  $(x, y) \in \{(x, y). P\ x\ y\} \longleftrightarrow P\ x\ y$ 
by simp

```

Myhill-Nerode relation

```

definition
  str-eq :: 'a lang  $\Rightarrow$  ('a list  $\times$  'a list) set ( $\langle \approx \rightarrow$  [100] 100)
where
   $\approx A \equiv \{(x, y). (\forall z. x @ z \in A \longleftrightarrow y @ z \in A)\}$ 

```

```

abbreviation
  str-eq-applied :: 'a list  $\Rightarrow$  'a lang  $\Rightarrow$  'a list  $\Rightarrow$  bool ( $\langle \approx - \rightarrow$  )
where
   $x \approx A\ y \equiv (x, y) \in \approx A$ 

```

```

lemma str-eq-conv-Derivs:
  str-eq A =  $\{(u, v). Derivs\ u\ A = Derivs\ v\ A\}$ 
by (auto simp: str-eq-def Derivs-def)

```

```

definition
  finals :: 'a lang  $\Rightarrow$  'a lang set

```

**where**

$finals\ A \equiv \{\approx A \text{ `` } \{s\} \mid s . s \in A\}$

**lemma** *lang-is-union-of-finals:*

**shows**  $A = \bigcup (finals\ A)$

**unfolding** *finals-def*

**unfolding** *Image-def*

**unfolding** *str-eq-def*

**by** (*auto*) (*metis append-Nil2*)

**lemma** *finals-in-partitions:*

**shows**  $finals\ A \subseteq (UNIV // \approx A)$

**unfolding** *finals-def quotient-def*

**by** *auto*

## 2.1 Equational systems

The two kinds of terms in the rhs of equations.

**datatype** *'a trm* =

*Lam 'a rexp*

| *Trn 'a lang 'a rexp*

**fun**

*lang-trm::'a trm*  $\Rightarrow$  *'a lang*

**where**

*lang-trm* (*Lam* *r*) = *lang* *r*

| *lang-trm* (*Trn* *X* *r*) = *X*  $\cdot$  *lang* *r*

**fun**

*lang-rhs::('a trm)* *set*  $\Rightarrow$  *'a lang*

**where**

*lang-rhs* *rhs* =  $\bigcup$  (*lang-trm* *' rhs*)

**lemma** *lang-rhs-set:*

**shows**  $lang-rhs\ \{Trn\ X\ r \mid r. P\ r\} = \bigcup \{lang-trm\ (Trn\ X\ r) \mid r. P\ r\}$

**by** (*auto*)

**lemma** *lang-rhs-union-distrib:*

**shows**  $lang-rhs\ A \cup lang-rhs\ B = lang-rhs\ (A \cup B)$

**by** *simp*

Transitions between equivalence classes

**definition**

*transition* :: *'a lang*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a lang*  $\Rightarrow$  *bool* (*c*  $\vdash \Rightarrow$   $\rightarrow$  [100,100,100] 100)

**where**

$Y \vdash c \Rightarrow X \equiv Y \cdot \{[c]\} \subseteq X$

Initial equational system

**definition**

$Init\text{-}rhs\ CS\ X \equiv$   
 if  $(\square \in X)$  then  
 $\{Lam\ One\} \cup \{Trn\ Y\ (Atom\ c) \mid Y\ c. Y \in CS \wedge Y \models c \Rightarrow X\}$   
 else  
 $\{Trn\ Y\ (Atom\ c) \mid Y\ c. Y \in CS \wedge Y \models c \Rightarrow X\}$

**definition**

$Init\ CS \equiv \{(X, Init\text{-}rhs\ CS\ X) \mid X. X \in CS\}$

## 2.2 Arden Operation on equations

**fun**

$Append\text{-}rexp :: 'a\ rexp \Rightarrow 'a\ trm \Rightarrow 'a\ trm$

**where**

$Append\text{-}rexp\ r\ (Lam\ rexp) = Lam\ (Times\ rexp\ r)$   
 $| Append\text{-}rexp\ r\ (Trn\ X\ rexp) = Trn\ X\ (Times\ rexp\ r)$

**definition**

$Append\text{-}rexp\text{-}rhs\ rhs\ rexp \equiv (Append\text{-}rexp\ rexp)\ 'rhs$

**definition**

$Arden\ X\ rhs \equiv$   
 $Append\text{-}rexp\text{-}rhs\ (rhs - \{Trn\ X\ r \mid r. Trn\ X\ r \in rhs\})\ (Star\ (\biguplus\ \{r. Trn\ X\ r \in rhs\}))$

## 2.3 Substitution Operation on equations

**definition**

$Subst\ rhs\ X\ xrhs \equiv$   
 $(rhs - \{Trn\ X\ r \mid r. Trn\ X\ r \in rhs\}) \cup (Append\text{-}rexp\text{-}rhs\ xrhs\ (\biguplus\ \{r. Trn\ X\ r \in rhs\}))$

**definition**

$Subst\text{-}all :: ('a\ lang \times ('a\ trm)\ set)\ set \Rightarrow 'a\ lang \Rightarrow ('a\ trm)\ set \Rightarrow ('a\ lang \times ('a\ trm)\ set)\ set$

**where**

$Subst\text{-}all\ ES\ X\ xrhs \equiv \{(Y, Subst\ yrhs\ X\ xrhs) \mid Y\ yrhs. (Y, yrhs) \in ES\}$

**definition**

$Remove\ ES\ X\ xrhs \equiv$   
 $Subst\text{-}all\ (ES - \{(X, xrhs)\})\ X\ (Arden\ X\ xrhs)$

## 2.4 While-combinator and invariants

**definition**

$Iter\ X\ ES \equiv (let\ (Y, yrhs) = SOME\ (Y, yrhs). (Y, yrhs) \in ES \wedge X \neq Y$   
 $in\ Remove\ ES\ Y\ yrhs)$

**lemma** *IterI2*:

**assumes**  $(Y, yrhs) \in ES$   
**and**  $X \neq Y$   
**and**  $\bigwedge Y yrhs. \llbracket (Y, yrhs) \in ES; X \neq Y \rrbracket \implies Q \text{ (Remove } ES \text{ } Y \text{ } yrhs)$   
**shows**  $Q \text{ (Iter } X \text{ } ES)$   
**unfolding** *Iter-def* **using** *assms*  
**by**  $(\text{rule-tac } a=(Y, yrhs) \text{ in someI2}) \text{ (auto)}$

**abbreviation**

$Cond \ ES \equiv card \ ES \neq 1$

**definition**

$Solve \ X \ ES \equiv while \ Cond \ (Iter \ X) \ ES$

**definition**

$distinctness \ ES \equiv$   
 $\forall \ X \ rhs \ rhs'. (X, rhs) \in ES \wedge (X, rhs') \in ES \longrightarrow rhs = rhs'$

**definition**

$soundness \ ES \equiv \forall (X, rhs) \in ES. X = lang\_rhs \ rhs$

**definition**

$ardenable \ rhs \equiv (\forall \ Y \ r. Trn \ Y \ r \in rhs \longrightarrow [] \notin lang \ r)$

**definition**

$ardenable\_all \ ES \equiv \forall (X, rhs) \in ES. ardenable \ rhs$

**definition**

$finite\_rhs \ ES \equiv \forall (X, rhs) \in ES. finite \ rhs$

**lemma** *finite-rhs-def2*:

$finite\_rhs \ ES = (\forall \ X \ rhs. (X, rhs) \in ES \longrightarrow finite \ rhs)$

**unfolding** *finite-rhs-def* **by** *auto*

**definition**

$rhss \ rhs \equiv \{X \mid X \ r. Trn \ X \ r \in rhs\}$

**definition**

$lhss \ ES \equiv \{Y \mid Y \ yrhs. (Y, yrhs) \in ES\}$

**definition**

$validity \ ES \equiv \forall (X, rhs) \in ES. rhss \ rhs \subseteq lhss \ ES$

**lemma** *rhss-union-distrib*:

**shows**  $rhss \ (A \cup B) = rhss \ A \cup rhss \ B$

**by**  $(\text{auto simp add: rhss-def})$

**lemma** *lhss-union-distrib*:

**shows**  $lhss \ (A \cup B) = lhss \ A \cup lhss \ B$

**by** (*auto simp add: lhss-def*)

**definition**

*invariant ES*  $\equiv$  *finite ES*  
 $\wedge$  *finite-rhs ES*  
 $\wedge$  *soundness ES*  
 $\wedge$  *distinctness ES*  
 $\wedge$  *ardenable-all ES*  
 $\wedge$  *validity ES*

**lemma** *invariantI*:

**assumes** *soundness ES finite ES distinctness ES ardenable-all ES*  
*finite-rhs ES validity ES*  
**shows** *invariant ES*  
**using** *assms* **by** (*simp add: invariant-def*)

**declare** [*simp proc add: finite-Collect*]

**lemma** *finite-Trn*:

**assumes** *fin: finite rhs*  
**shows** *finite {r. Trn Y r  $\in$  rhs}*  
**using** *assms* **by** (*auto intro!: finite-vimageI simp add: inj-on-def*)

**lemma** *finite-Lam*:

**assumes** *fin: finite rhs*  
**shows** *finite {r. Lam r  $\in$  rhs}*  
**using** *assms* **by** (*auto intro!: finite-vimageI simp add: inj-on-def*)

**lemma** *trm-soundness*:

**assumes** *finite:finite rhs*  
**shows** *lang-rhs ({Trn X r | r. Trn X r  $\in$  rhs}) = X  $\cdot$  (lang ( $\biguplus$  {r. Trn X r  $\in$  rhs}))*  
**proof** –  
**have** *finite {r. Trn X r  $\in$  rhs}*  
**by** (*rule finite-Trn[OF finite]*)  
**then show** *lang-rhs ({Trn X r | r. Trn X r  $\in$  rhs}) = X  $\cdot$  (lang ( $\biguplus$  {r. Trn X r  $\in$  rhs}))*  
**by** (*simp only: lang-rhs-set lang-trm.simps*) (*auto simp add: conc-def*)  
**qed**

**lemma** *lang-of-append-rexp*:

*lang-trm (Append-rexp r trm) = lang-trm trm  $\cdot$  lang r*  
**by** (*induct rule: Append-rexp.induct*)  
*(auto simp add: conc-assoc)*

**lemma** *lang-of-append-rexp-rhs*:

$lang-rhs (Append-rexp-rhs\ rhs\ r) = lang-rhs\ rhs \cdot lang\ r$   
**unfolding** *Append-rexp-rhs-def*  
**by** (*auto simp add: conc-def lang-of-append-rexp*)

## 2.5 Intial Equational Systems

**lemma** *defined-by-str*:  
 assumes  $s \in X\ X \in UNIV\ /\ \approx A$   
 shows  $X = \approx A\ \{\{s\}\}$   
**using** *assms*  
**unfolding** *quotient-def Image-def str-eq-def*  
**by** *auto*

**lemma** *every-eclass-has-transition*:  
 assumes *has-str*:  $s @ [c] \in X$   
 and *in-CS*:  $X \in UNIV\ /\ \approx A$   
 obtains  $Y$  where  $Y \in UNIV\ /\ \approx A$  and  $Y \cdot \{[c]\} \subseteq X$  and  $s \in Y$   
**proof** –  
 define  $Y$  where  $Y = \approx A\ \{\{s\}\}$   
 have  $Y \in UNIV\ /\ \approx A$   
 unfolding *Y-def quotient-def* **by** *auto*  
**moreover**  
 have  $X = \approx A\ \{\{s @ [c]\}\}$   
 using *has-str in-CS defined-by-str* **by** *blast*  
 then have  $Y \cdot \{[c]\} \subseteq X$   
 unfolding *Y-def Image-def conc-def*  
 unfolding *str-eq-def*  
**by** *clarsimp*  
**moreover**  
 have  $s \in Y$  unfolding *Y-def*  
 unfolding *Image-def str-eq-def* **by** *simp*  
 ultimately show *thesis* using *that* **by** *blast*  
**qed**

**lemma** *l-eq-r-in-eqs*:  
 assumes *X-in-eqs*:  $(X, rhs) \in Init\ (UNIV\ /\ \approx A)$   
 shows  $X = lang-rhs\ rhs$   
**proof**  
 show  $X \subseteq lang-rhs\ rhs$   
**proof**  
 fix  $x$   
 assume *in-X*:  $x \in X$   
 { assume *empty*:  $x = []$   
 then have  $x \in lang-rhs\ rhs$  using *X-in-eqs in-X*  
 unfolding *Init-def Init-rhs-def*  
**by** *auto*  
 }  
**moreover**  
 { assume *not-empty*:  $x \neq []$



```

    then obtain  $s\ c$  where  $decom: x = s @ [c]$ 
    using  $rev-cases$  by  $blast$ 
    have  $X \in UNIV // \approx A$  using  $X-in-eqs$  unfolding  $Init-def$  by  $auto$ 
    then obtain  $Y$  where  $Y \in UNIV // \approx A$   $Y \cdot \{[c]\} \subseteq X$   $s \in Y$ 
    using  $decom\ in-X\ every-eqclass-has-transition$  by  $metis$ 
    then have  $x \in lang-rhs\ \{Trn\ Y\ (Atom\ c) \mid Y\ c.\ Y \in UNIV // \approx A \wedge Y \models c \Rightarrow$ 
 $X\}$ 
    unfolding  $transition-def$ 
    using  $decom$  by  $(force\ simp\ add: conc-def)$ 
    then have  $x \in lang-rhs\ rhs$  using  $X-in-eqs\ in-X$ 
    unfolding  $Init-def\ Init-rhs-def$  by  $simp$ 
  }
  ultimately show  $x \in lang-rhs\ rhs$  by  $blast$ 
qed
next
show  $lang-rhs\ rhs \subseteq X$  using  $X-in-eqs$ 
unfolding  $Init-def\ Init-rhs-def\ transition-def$ 
by  $auto$ 
qed

```

```

lemma  $finite-Init-rhs$ :
  fixes  $CS::('a::finite)\ lang$  set
  assumes  $finite: finite\ CS$ 
  shows  $finite\ (Init-rhs\ CS\ X)$ 
using  $assms$  unfolding  $Init-rhs-def\ transition-def$  by  $simp$ 

```

```

lemma  $Init-ES-satisfies-invariant$ :
  fixes  $A::('a::finite)\ lang$ 
  assumes  $finite-CS: finite\ (UNIV // \approx A)$ 
  shows  $invariant\ (Init\ (UNIV // \approx A))$ 
proof (rule  $invariantI$ )
  show  $soundness\ (Init\ (UNIV // \approx A))$ 
    unfolding  $soundness-def$ 
    using  $l-eq-r-in-eqs$  by  $auto$ 
  show  $finite\ (Init\ (UNIV // \approx A))$  using  $finite-CS$ 
    unfolding  $Init-def$  by  $simp$ 
  show  $distinctness\ (Init\ (UNIV // \approx A))$ 
    unfolding  $distinctness-def\ Init-def$  by  $simp$ 
  show  $ardenable-all\ (Init\ (UNIV // \approx A))$ 
    unfolding  $ardenable-all-def\ Init-def\ Init-rhs-def\ ardenable-def$ 
    by  $auto$ 
  show  $finite-rhs\ (Init\ (UNIV // \approx A))$ 
    using  $finite-Init-rhs[OF\ finite-CS]$ 
    unfolding  $finite-rhs-def\ Init-def$  by  $auto$ 
  show  $validity\ (Init\ (UNIV // \approx A))$ 
    unfolding  $validity-def\ Init-def\ Init-rhs-def\ rhss-def\ lhss-def$ 
    by  $auto$ 

```

qed

## 2.6 Iterations

**lemma** *Arden-preserves-soundness:*

**assumes** *l-eq-r*:  $X = \text{lang-rhs } rhs$

**and** *not-empty*: *ardenable* *rhs*

**and** *finite*: *finite* *rhs*

**shows**  $X = \text{lang-rhs } (\text{Arden } X \text{ } rhs)$

**proof** –

**define** *A* **where**  $A = \text{lang } (\biguplus \{r. \text{Trn } X \text{ } r \in rhs\})$

**define** *b* **where**  $b = \{\text{Trn } X \text{ } r \mid r. \text{Trn } X \text{ } r \in rhs\}$

**define** *B* **where**  $B = \text{lang-rhs } (rhs - b)$

**have** *not-empty2*:  $\square \notin A$

**using** *finite-Trn*[*OF* *finite*] *not-empty*

**unfolding** *A-def* *ardenable-def* **by** *simp*

**have**  $X = \text{lang-rhs } rhs$  **using** *l-eq-r* **by** *simp*

**also have**  $\dots = \text{lang-rhs } (b \cup (rhs - b))$  **unfolding** *b-def* **by** *auto*

**also have**  $\dots = \text{lang-rhs } b \cup B$  **unfolding** *B-def* **by** (*simp only: lang-rhs-union-distrib*)

**also have**  $\dots = X \cdot A \cup B$

**unfolding** *b-def*

**unfolding** *trm-soundness*[*OF* *finite*]

**unfolding** *A-def*

**by** *blast*

**finally have**  $X = X \cdot A \cup B$  .

**then have**  $X = B \cdot A^\star$

**by** (*simp add: reversed-Arden*[*OF* *not-empty2*])

**also have**  $\dots = \text{lang-rhs } (\text{Arden } X \text{ } rhs)$

**unfolding** *Arden-def* *A-def* *B-def* *b-def*

**by** (*simp only: lang-of-append-rexp-rhs lang.simps*)

**finally show**  $X = \text{lang-rhs } (\text{Arden } X \text{ } rhs)$  **by** *simp*

qed

**lemma** *Append-preserves-finite:*

*finite* *rhs*  $\implies$  *finite* (*Append-rexp-rhs* *rhs* *r*)

**by** (*auto simp: Append-rexp-rhs-def*)

**lemma** *Arden-preserves-finite:*

*finite* *rhs*  $\implies$  *finite* (*Arden* *X* *rhs*)

**by** (*auto simp: Arden-def Append-preserves-finite*)

**lemma** *Append-preserves-ardenable:*

*ardenable* *rhs*  $\implies$  *ardenable* (*Append-rexp-rhs* *rhs* *r*)

**apply** (*auto simp: ardenable-def Append-rexp-rhs-def*)

**by** (*case-tac* *x*, *auto simp: conc-def*)

**lemma** *ardenable-set-sub:*

*ardenable* *rhs*  $\implies$  *ardenable* (*rhs* - *A*)

**by** (*auto simp: ardenable-def*)

**lemma** *ardenable-set-union*:  
 $\llbracket \text{ardenable } rhs; \text{ardenable } rhs' \rrbracket \implies \text{ardenable } (rhs \cup rhs')$   
**by** (*auto simp:ardenable-def*)

**lemma** *Arden-preserves-ardenable*:  
 $\text{ardenable } rhs \implies \text{ardenable } (\text{Arden } X \text{ } rhs)$   
**by** (*simp only:Arden-def Append-preserves-ardenable ardenable-set-sub*)

**lemma** *Subst-preserves-ardenable*:  
 $\llbracket \text{ardenable } rhs; \text{ardenable } xrhs \rrbracket \implies \text{ardenable } (\text{Subst } rhs \text{ } X \text{ } xrhs)$   
**by** (*simp only: Subst-def Append-preserves-ardenable ardenable-set-union ardenable-set-sub*)

**lemma** *Subst-preserves-soundness*:  
**assumes** *substor*:  $X = \text{lang-rhs } xrhs$   
**and** *finite*: *finite* *rhs*  
**shows**  $\text{lang-rhs } (\text{Subst } rhs \text{ } X \text{ } xrhs) = \text{lang-rhs } rhs$  (**is**  $?Left = ?Right$ )  
**proof**–  
**define** *A* **where**  $A = \text{lang-rhs } (rhs - \{Trn \text{ } X \text{ } r \mid r. Trn \text{ } X \text{ } r \in rhs\})$   
**have**  $?Left = A \cup \text{lang-rhs } (\text{Append-rexp-rhs } xrhs (\biguplus \{r. Trn \text{ } X \text{ } r \in rhs\}))$   
**unfolding** *Subst-def*  
**unfolding** *lang-rhs-union-distrib[symmetric]*  
**by** (*simp add: A-def*)  
**moreover** **have**  $?Right = A \cup \text{lang-rhs } \{Trn \text{ } X \text{ } r \mid r. Trn \text{ } X \text{ } r \in rhs\}$   
**proof**–  
**have**  $rhs = (rhs - \{Trn \text{ } X \text{ } r \mid r. Trn \text{ } X \text{ } r \in rhs\}) \cup (\{Trn \text{ } X \text{ } r \mid r. Trn \text{ } X \text{ } r \in rhs\})$   
**by** *auto*  
**thus** *?thesis*  
**unfolding** *A-def*  
**unfolding** *lang-rhs-union-distrib*  
**by** *simp*  
**qed**  
**moreover**  
**have**  $\text{lang-rhs } (\text{Append-rexp-rhs } xrhs (\biguplus \{r. Trn \text{ } X \text{ } r \in rhs\})) = \text{lang-rhs } \{Trn \text{ } X \text{ } r \mid r. Trn \text{ } X \text{ } r \in rhs\}$   
**using** *finite substor* **by** (*simp only: lang-of-append-rexp-rhs trm-soundness*)  
**ultimately show** *?thesis* **by** *simp*  
**qed**

**lemma** *Subst-preserves-finite-rhs*:  
 $\llbracket \text{finite } rhs; \text{finite } yrhs \rrbracket \implies \text{finite } (\text{Subst } rhs \text{ } Y \text{ } yrhs)$   
**by** (*auto simp: Subst-def Append-preserves-finite*)

**lemma** *Subst-all-preserves-finite*:  
**assumes** *finite*: *finite* *ES*  
**shows** *finite* (*Subst-all* *ES* *Y* *yrhs*)  
**using** *assms* **unfolding** *Subst-all-def* **by** *simp*

**declare**  $[[\text{simproc del: finite-Collect}]]$

**lemma** *Subst-all-preserves-finite-rhs:*

$[[\text{finite-rhs } ES; \text{ finite } yrhs]] \implies \text{finite-rhs } (\text{Subst-all } ES \ Y \ yrhs)$   
**by** (auto intro:Subst-preserves-finite-rhs simp add:Subst-all-def finite-rhs-def)

**lemma** *append-rhs-preserves-cl:*

$rhss (\text{Append-rexp-rhs } rhs \ r) = rhss \ rhs$   
**apply** (auto simp: rhss-def Append-rexp-rhs-def)  
**apply** (case-tac xa, auto simp: image-def)  
**by** (rule-tac x = Times ra r **in** exI, rule-tac x = Trn x ra **in** bexI, simp+)

**lemma** *Arden-removes-cl:*

$rhss (\text{Arden } Y \ yrhs) = rhss \ yrhs - \{Y\}$   
**apply** (simp add:Arden-def append-rhs-preserves-cl)  
**by** (auto simp: rhss-def)

**lemma** *lhss-preserves-cl:*

$lhss (\text{Subst-all } ES \ Y \ yrhs) = lhss \ ES$   
**by** (auto simp: lhss-def Subst-all-def)

**lemma** *Subst-updates-cl:*

$X \notin rhss \ xrhs \implies$   
 $rhss (\text{Subst } rhs \ X \ xrhs) = rhss \ rhs \cup rhss \ xrhs - \{X\}$   
**apply** (simp only:Subst-def append-rhs-preserves-cl rhss-union-distrib)  
**by** (auto simp: rhss-def)

**lemma** *Subst-all-preserves-validity:*

**assumes** sc:  $\text{validity } (ES \cup \{(Y, yrhs)\})$  (**is validity** ?A)  
**shows**  $\text{validity } (\text{Subst-all } ES \ Y \ (\text{Arden } Y \ yrhs))$  (**is validity** ?B)  
**proof** –  
  { **fix** X xrhs'  
    **assume** (X, xrhs')  $\in$  ?B  
    **then obtain** xrhs  
      **where** xrhs-xrhs':  $xrhs' = \text{Subst } xrhs \ Y \ (\text{Arden } Y \ yrhs)$   
      **and** X-in: (X, xrhs)  $\in$  ES **by** (simp add:Subst-all-def, blast)  
    **have**  $rhss \ xrhs' \subseteq lhss \ ?B$   
    **proof** –  
      **have**  $lhss \ ?B = lhss \ ES$  **by** (auto simp add:lhss-def Subst-all-def)  
      **moreover have**  $rhss \ xrhs' \subseteq lhss \ ES$   
      **proof** –  
        **have**  $rhss \ xrhs' \subseteq rhss \ xrhs \cup rhss (\text{Arden } Y \ yrhs) - \{Y\}$   
        **proof** –  
          **have**  $Y \notin rhss (\text{Arden } Y \ yrhs)$   
          **using** Arden-removes-cl **by** auto  
          **thus** ?thesis **using** xrhs-xrhs' **by** (auto simp: Subst-updates-cl)  
        **qed**  
      **moreover have**  $rhss \ xrhs \subseteq lhss \ ES \cup \{Y\}$  **using** X-in sc

```

    apply (simp only: validity-def lhss-union-distrib)
    by (drule-tac x = (X, xrhs) in bspec, auto simp: lhss-def)
  moreover have rhss (Arden Y yrhs)  $\subseteq$  lhss ES  $\cup$  {Y}
    using sc
    by (auto simp add: Arden-removes-cl validity-def lhss-def)
  ultimately show ?thesis by auto
qed
ultimately show ?thesis by simp
qed
} thus ?thesis by (auto simp only: Subst-all-def validity-def)
qed

lemma Subst-all-satisfies-invariant:
  assumes invariant-ES: invariant (ES  $\cup$  {(Y, yrhs)})
  shows invariant (Subst-all ES Y (Arden Y yrhs))
proof (rule invariantI)
  have Y-eq-yrhs: Y = lang-rhs yrhs
    using invariant-ES by (simp only: invariant-def soundness-def, blast)
  have finite-yrhs: finite yrhs
    using invariant-ES by (auto simp: invariant-def finite-rhs-def)
  have ardenable-yrhs: ardenable yrhs
    using invariant-ES by (auto simp: invariant-def ardenable-all-def)
  show soundness (Subst-all ES Y (Arden Y yrhs))
  proof -
    have Y = lang-rhs (Arden Y yrhs)
      using Y-eq-yrhs invariant-ES finite-yrhs
      using finite-Trn[OF finite-yrhs]
      apply (rule-tac Arden-preserves-soundness)
      apply (simp-all)
      unfolding invariant-def ardenable-all-def ardenable-def
      apply (auto)
      done
    thus ?thesis using invariant-ES
      unfolding invariant-def finite-rhs-def2 soundness-def Subst-all-def
      by (auto simp add: Subst-preserves-soundness simp del: lang-rhs.simps)
  qed
  show finite (Subst-all ES Y (Arden Y yrhs))
    using invariant-ES by (simp add: invariant-def Subst-all-preserves-finite)
  show distinctness (Subst-all ES Y (Arden Y yrhs))
    using invariant-ES
    unfolding distinctness-def Subst-all-def invariant-def by auto
  show ardenable-all (Subst-all ES Y (Arden Y yrhs))
  proof -
    { fix X rhs
      assume (X, rhs)  $\in$  ES
      hence ardenable rhs using invariant-ES
        by (auto simp add: invariant-def ardenable-all-def)
      with ardenable-yrhs
      have ardenable (Subst rhs Y (Arden Y yrhs))

```

```

    by (simp add: ardenable-yrhs
        Subst-preserves-ardenable Arden-preserves-ardenable)
  } thus ?thesis by (auto simp add: ardenable-all-def Subst-all-def)
qed
show finite-rhs (Subst-all ES Y (Arden Y yrhs))
proof -
  have finite-rhs ES using invariant-ES
    by (simp add: invariant-def finite-rhs-def)
  moreover have finite (Arden Y yrhs)
  proof -
    have finite yrhs using invariant-ES
      by (auto simp: invariant-def finite-rhs-def)
    thus ?thesis using Arden-preserves-finite by auto
  qed
qed
ultimately show ?thesis
  by (simp add: Subst-all-preserves-finite-rhs)
qed
show validity (Subst-all ES Y (Arden Y yrhs))
  using invariant-ES Subst-all-preserves-validity by (auto simp add: invari-
ant-def)
qed

```

**lemma** *Remove-in-card-measure:*

```

  assumes finite: finite ES
  and in-ES: (X, rhs) ∈ ES
  shows (Remove ES X rhs, ES) ∈ measure card
proof -
  define f where f x = ((fst x)::'a lang, Subst (snd x) X (Arden X rhs)) for x
  define ES' where ES' = ES - {(X, rhs)}
  have Subst-all ES' X (Arden X rhs) = f ' ES'
    apply (auto simp: Subst-all-def f-def image-def)
    by (rule-tac x = (Y, yrhs) in bexI, simp+)
  then have card (Subst-all ES' X (Arden X rhs)) ≤ card ES'
    unfolding ES'-def using finite by (auto intro: card-image-le)
  also have ... < card ES unfolding ES'-def
    using in-ES finite by (rule-tac card-Diff1-less)
  finally show (Remove ES X rhs, ES) ∈ measure card
    unfolding Remove-def ES'-def by simp
qed

```

**lemma** *Subst-all-cls-remains:*

```

  (X, xrhs) ∈ ES ⟹ ∃ xrhs'. (X, xrhs') ∈ (Subst-all ES Y yrhs)
by (auto simp: Subst-all-def)

```

**lemma** *card-noteq-1-has-more:*

```

  assumes card: Cond ES
  and e-in: (X, xrhs) ∈ ES
  and finite: finite ES

```

shows  $\exists (Y, yrhs) \in ES. (X, xrhs) \neq (Y, yrhs)$   
**proof** –  
 have  $\text{card } ES > 1$  **using**  $\text{card } e\text{-in } \text{finite}$   
 by  $(\text{cases } \text{card } ES)$   $(\text{auto})$   
 then have  $\text{card } (ES - \{(X, xrhs)\}) > 0$   
 using  $\text{finite } e\text{-in}$  **by**  $\text{auto}$   
 then have  $(ES - \{(X, xrhs)\}) \neq \{\}$  **using**  $\text{finite}$  **by**  $(\text{rule-tac } \text{notI}, \text{simp})$   
 then show  $\exists (Y, yrhs) \in ES. (X, xrhs) \neq (Y, yrhs)$   
 by  $\text{auto}$   
**qed**

**lemma** *iteration-step-measure*:  
 assumes  $\text{Inv-ES}: \text{invariant } ES$   
 and  $X\text{-in-ES}: (X, xrhs) \in ES$   
 and  $\text{Cnd}: \text{Cond } ES$   
 shows  $(\text{Iter } X \text{ } ES, ES) \in \text{measure card}$   
**proof** –  
 have  $\text{fin}: \text{finite } ES$  **using**  $\text{Inv-ES}$  **unfolding**  $\text{invariant-def}$  **by**  $\text{simp}$   
 then obtain  $Y \text{ } yrhs$   
 where  $Y\text{-in-ES}: (Y, yrhs) \in ES$  **and**  $\text{not-eq}: (X, xrhs) \neq (Y, yrhs)$   
 using  $\text{Cnd } X\text{-in-ES}$  **by**  $(\text{drule-tac } \text{card-noteq-1-has-more})$   $(\text{auto})$   
 then have  $(Y, yrhs) \in ES \ X \neq Y$   
 using  $X\text{-in-ES } \text{Inv-ES}$  **unfolding**  $\text{invariant-def } \text{distinctness-def}$   
 by  $\text{auto}$   
 then show  $(\text{Iter } X \text{ } ES, ES) \in \text{measure card}$   
 apply  $(\text{rule } \text{IterI2})$   
 apply  $(\text{rule } \text{Remove-in-card-measure})$   
 apply  $(\text{simp-all add: fin})$   
 done  
**qed**

**lemma** *iteration-step-invariant*:  
 assumes  $\text{Inv-ES}: \text{invariant } ES$   
 and  $X\text{-in-ES}: (X, xrhs) \in ES$   
 and  $\text{Cnd}: \text{Cond } ES$   
 shows  $\text{invariant } (\text{Iter } X \text{ } ES)$   
**proof** –  
 have  $\text{finite-ES}: \text{finite } ES$  **using**  $\text{Inv-ES}$  **by**  $(\text{simp add: invariant-def})$   
 then obtain  $Y \text{ } yrhs$   
 where  $Y\text{-in-ES}: (Y, yrhs) \in ES$  **and**  $\text{not-eq}: (X, xrhs) \neq (Y, yrhs)$   
 using  $\text{Cnd } X\text{-in-ES}$  **by**  $(\text{drule-tac } \text{card-noteq-1-has-more})$   $(\text{auto})$   
 then have  $(Y, yrhs) \in ES \ X \neq Y$   
 using  $X\text{-in-ES } \text{Inv-ES}$  **unfolding**  $\text{invariant-def } \text{distinctness-def}$   
 by  $\text{auto}$   
 then show  $\text{invariant } (\text{Iter } X \text{ } ES)$   
 proof  $(\text{rule } \text{IterI2})$   
 fix  $Y \text{ } yrhs$   
 assume  $h: (Y, yrhs) \in ES \ X \neq Y$   
 then have  $ES - \{(Y, yrhs)\} \cup \{(Y, yrhs)\} = ES$  **by**  $\text{auto}$

```

    then show invariant (Remove ES Y yrhs) unfolding Remove-def
    using Inv-ES
    by (rule-tac Subst-all-satisfies-invariant) (simp)
  qed
qed

```

```

lemma iteration-step-ex:
  assumes Inv-ES: invariant ES
  and X-in-ES: (X, xrhs) ∈ ES
  and Cnd: Cond ES
  shows ∃ xrhs'. (X, xrhs') ∈ (Iter X ES)
proof –
  have finite-ES: finite ES using Inv-ES by (simp add: invariant-def)
  then obtain Y yrhs
    where (Y, yrhs) ∈ ES (X, xrhs) ≠ (Y, yrhs)
    using Cnd X-in-ES by (drule-tac card-noteq-1-has-more) (auto)
  then have (Y, yrhs) ∈ ES X ≠ Y
    using X-in-ES Inv-ES unfolding invariant-def distinctness-def
    by auto
  then show ∃ xrhs'. (X, xrhs') ∈ (Iter X ES)
  apply(rule IterI2)
  unfolding Remove-def
  apply(rule Subst-all-cls-remains)
  using X-in-ES
  apply(auto)
  done
qed

```

## 2.7 The conclusion of the first direction

```

lemma Solve:
  fixes A::('a::finite) lang
  assumes fin: finite (UNIV // ≈A)
  and X-in: X ∈ (UNIV // ≈A)
  shows ∃ rhs. Solve X (Init (UNIV // ≈A)) = {(X, rhs)} ∧ invariant {(X, rhs)}
proof –
  define Inv where Inv ES  $\longleftrightarrow$  invariant ES ∧ (∃ rhs. (X, rhs) ∈ ES) for ES
  have Inv (Init (UNIV // ≈A)) unfolding Inv-def
    using fin X-in by (simp add: Init-ES-satisfies-invariant, simp add: Init-def)
  moreover
  { fix ES
    assume inv: Inv ES and crd: Cond ES
    then have Inv (Iter X ES)
      unfolding Inv-def
      by (auto simp add: iteration-step-invariant iteration-step-ex) }
  moreover
  { fix ES
    assume inv: Inv ES and not-crd: ¬Cond ES
    from inv obtain rhs where (X, rhs) ∈ ES unfolding Inv-def by auto
  }

```



```

moreover
  from not-crd have  $\text{card } ES = 1$  by simp
ultimately
  have  $ES = \{(X, rhs)\}$  by (auto simp add: card-Suc-eq)
  then have  $\exists rhs'. ES = \{(X, rhs')\} \wedge \text{invariant } \{(X, rhs')\}$  using inv
    unfolding Inv-def by auto }
moreover
  have wf (measure card) by simp
moreover
  { fix ES
    assume inv: Inv ES and crd: Cond ES
    then have  $(\text{Iter } X \text{ } ES, ES) \in \text{measure card}$ 
      unfolding Inv-def
      apply(clarify)
      apply(rule-tac iteration-step-measure)
      apply(auto)
      done }
ultimately
show  $\exists rhs. \text{Solve } X (\text{Init } (UNIV // \approx A)) = \{(X, rhs)\} \wedge \text{invariant } \{(X, rhs)\}$ 
  unfolding Solve-def by (rule while-rule)
qed

lemma every-eccl-has-reg:
  fixes A::('a::finite) lang
  assumes finite-CS: finite (UNIV // \approx A)
  and X-in-CS: X \in (UNIV // \approx A)
  shows  $\exists r. X = \text{lang } r$ 
proof –
  from finite-CS X-in-CS
  obtain xrhs where Inv-ES: invariant \{(X, xrhs)\}
    using Solve by metis

  define A where  $A = \text{Arden } X \text{ } xrhs$ 
  have  $\text{rhss } xrhs \subseteq \{X\}$  using Inv-ES
    unfolding validity-def invariant-def rhss-def lhss-def
    by auto
  then have  $\text{rhss } A = \{\}$  unfolding A-def
    by (simp add: Arden-removes-cl)
  then have  $\text{eq: } \{\text{Lam } r \mid r. \text{Lam } r \in A\} = A$  unfolding rhss-def
    by (auto, case-tac x, auto)

  have finite A using Inv-ES unfolding A-def invariant-def finite-rhs-def
    using Arden-preserves-finite by auto
  then have fin: finite \{r. Lam r \in A\} by (rule finite-Lam)

  have  $X = \text{lang-rhs } xrhs$  using Inv-ES unfolding invariant-def soundness-def
    by simp
  then have  $X = \text{lang-rhs } A$  using Inv-ES
    unfolding A-def invariant-def ardenable-all-def finite-rhs-def

```

```

    by (rule-tac Arden-preserves-soundness) (simp-all add: finite-Trn)
  then have  $X = \text{lang-rhs } \{\text{Lam } r \mid r. \text{Lam } r \in A\}$  using eq by simp
  then have  $X = \text{lang } (\biguplus \{r. \text{Lam } r \in A\})$  using fin by auto
  then show  $\exists r. X = \text{lang } r$  by blast
qed

```

```

lemma bchoice-finite-set:
  assumes  $a: \forall x \in S. \exists y. x = f y$ 
  and  $b: \text{finite } S$ 
  shows  $\exists ys. (\bigcup S) = \bigcup (f ` ys) \wedge \text{finite } ys$ 
using bchoice[OF a] b
apply (erule-tac exE)
apply (rule-tac  $x = f a ` S$  in exI)
apply (auto)
done

```

```

theorem Myhill-Nerode1:
  fixes  $A::('a::\text{finite}) \text{ lang}$ 
  assumes finite-CS:  $\text{finite } (UNIV // \approx A)$ 
  shows  $\exists r. A = \text{lang } r$ 
proof -
  have fin:  $\text{finite } (\text{finals } A)$ 
  using finals-in-partitions finite-CS by (rule finite-subset)
  have  $\forall X \in (UNIV // \approx A). \exists r. X = \text{lang } r$ 
  using finite-CS every-egcl-has-reg by blast
  then have  $a: \forall X \in \text{finals } A. \exists r. X = \text{lang } r$ 
  using finals-in-partitions by auto
  then obtain  $rs::('a \text{ rexp}) \text{ set}$  where  $\bigcup (\text{finals } A) = \bigcup (\text{lang } ` rs) \text{ finite } rs$ 
  using fin by (auto dest: bchoice-finite-set)
  then have  $A = \text{lang } (\biguplus rs)$ 
  unfolding lang-is-union-of-finals[symmetric] by simp
  then show  $\exists r. A = \text{lang } r$  by blast
qed

```

end

```

theory Myhill-2
  imports Myhill-1 HOL-Library.Sublist
begin

```

### 3 Second direction of MN: *regular language $\Rightarrow$ finite partition*

#### 3.1 Tagging functions

definition

```

  tag-eq ::  $('a \text{ list} \Rightarrow 'b) \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ set } (\simeq)$ 
where

```

$=tag= \equiv \{(x, y). \text{ tag } x = \text{ tag } y\}$

**abbreviation**

$\text{tag-eq-applied} :: 'a \text{ list} \Rightarrow ('a \text{ list} \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow \text{bool} \ (\langle - \text{ == } - \rangle)$

**where**

$x =tag= y \equiv (x, y) \in =tag=$

**lemma** *[simp]*:

**shows**  $(\approx A) \text{ `` } \{x\} = (\approx A) \text{ `` } \{y\} \longleftrightarrow x \approx A y$

**unfolding** *str-eq-def* **by** *auto*

**lemma** *refined-intro*:

**assumes**  $\bigwedge x y z. \llbracket x =tag= y; x @ z \in A \rrbracket \implies y @ z \in A$

**shows**  $=tag= \subseteq \approx A$

**using** *assms* **unfolding** *str-eq-def* *tag-eq-def*

**apply**(*clarify*, *simp* (*no-asm-use*))

**by** *metis*

**lemma** *finite-eq-tag-rel*:

**assumes** *rng-fnt*: *finite* (*range tag*)

**shows** *finite* (*UNIV* //  $=tag=$ )

**proof** –

**let**  $?f = \lambda X. \text{ tag } 'X$  **and**  $?A = (\text{UNIV} // =tag=)$

**have** *finite* ( $?f ' ?A$ )

**proof** –

**have**  $\text{range } ?f \subseteq (\text{Pow } (\text{range tag}))$  **unfolding** *Pow-def* **by** *auto*

**moreover**

**have** *finite* ( $\text{Pow } (\text{range tag})$ ) **using** *rng-fnt* **by** *simp*

**ultimately**

**have** *finite* ( $\text{range } ?f$ ) **unfolding** *image-def* **by** (*blast intro: finite-subset*)

**moreover**

**have**  $?f ' ?A \subseteq \text{range } ?f$  **by** *auto*

**ultimately show** *finite* ( $?f ' ?A$ ) **by** (*rule rev-finite-subset*)

**qed**

**moreover**

**have** *inj-on*  $?f ' ?A$

**proof** –

{ **fix** *X Y*

**assume** *X-in*:  $X \in ?A$

**and** *Y-in*:  $Y \in ?A$

**and** *tag-eq*:  $?f X = ?f Y$

**then obtain**  $x y$

**where**  $x \in X \ y \in Y \ \text{tag } x = \text{tag } y$

**unfolding** *quotient-def* *Image-def* *image-def* *tag-eq-def*

**by** (*simp*) (*blast*)

**with** *X-in* *Y-in*

**have**  $X = Y$

**unfolding** *quotient-def* *tag-eq-def* **by** *auto*

}

then show *inj-on* ?f ?A **unfolding** *inj-on-def* **by** *auto*  
 qed  
 ultimately show *finite* (UNIV // =tag=) **by** (rule *finite-imageD*)  
 qed

**lemma** *refined-partition-finite*:  
 assumes *fnt*: *finite* (UNIV // R1)  
 and *refined*:  $R1 \subseteq R2$   
 and *eq1*: *equiv* UNIV R1 and *eq2*: *equiv* UNIV R2  
 shows *finite* (UNIV // R2)  
**proof** –  
 let ?f =  $\lambda X. \{R1 \text{ “ } \{x\} \mid x. x \in X\}$   
 and ?A = UNIV // R2 and ?B = UNIV // R1  
 have ?f ‘ ?A  $\subseteq$  Pow ?B  
 unfolding *image-def* *Pow-def* *quotient-def* **by** *auto*  
 moreover  
 have *finite* (Pow ?B) **using** *fnt* **by** *simp*  
 ultimately  
 have *finite* (?f ‘ ?A) **by** (rule *finite-subset*)  
 moreover  
 have *inj-on* ?f ?A  
**proof** –  
 { fix X Y  
 assume *X-in*:  $X \in ?A$  and *Y-in*:  $Y \in ?A$  and *eq-f*: ?f X = ?f Y  
 from *quotientE* [OF *X-in*]  
 obtain *x* where  $X = R2 \text{ “ } \{x\}$  **by** *blast*  
 with *equiv-class-self*[OF *eq2*] have *x-in*:  $x \in X$  **by** *simp*  
 then have  $R1 \text{ “ } \{x\} \in ?f X$  **by** *auto*  
 with *eq-f* have  $R1 \text{ “ } \{x\} \in ?f Y$  **by** *simp*  
 then obtain *y*  
 where *y-in*:  $y \in Y$  and *eq-r1-xy*:  $R1 \text{ “ } \{x\} = R1 \text{ “ } \{y\}$  **by** *auto*  
 with *eq-equiv-class*[OF - *eq1*]  
 have  $(x, y) \in R1$  **by** *blast*  
 with *refined* have  $(x, y) \in R2$  **by** *auto*  
 with *quotient-eqI* [OF *eq2* *X-in* *Y-in* *x-in* *y-in*]  
 have  $X = Y$  .  
 }  
 then show *inj-on* ?f ?A **unfolding** *inj-on-def* **by** *blast*  
 qed  
 ultimately show *finite* (UNIV // R2) **by** (rule *finite-imageD*)  
 qed

**lemma** *tag-finite-imageD*:  
 assumes *rng-fnt*: *finite* (range *tag*)  
 and *refined*:  $=tag= \subseteq \approx A$   
 shows *finite* (UNIV //  $\approx A$ )  
**proof** (rule-tac *refined-partition-finite* [of =tag=])  
 show *finite* (UNIV // =tag=) **by** (rule *finite-eq-tag-rel*[OF *rng-fnt*])  
 next

```

  show  $=_{tag} \subseteq \approx A$  using refined .
next
  show equiv UNIV  $=_{tag}$ 
  and equiv UNIV  $(\approx A)$ 
    unfolding equiv-def str-eq-def tag-eq-def refl-on-def sym-def trans-def
    by auto
qed

```

### 3.2 Base cases: Zero, One and Atom

```

lemma quot-zero-eq:
  shows  $UNIV // \approx \{\} = \{UNIV\}$ 
unfolding quotient-def Image-def str-eq-def by auto

```

```

lemma quot-zero-finiteI [intro]:
  shows finite  $(UNIV // \approx \{\})$ 
unfolding quot-zero-eq by simp

```

```

lemma quot-one-subset:
  shows  $UNIV // \approx \{\Box\} \subseteq \{\{\Box\}, UNIV - \{\Box\}\}$ 
proof
  fix  $x$ 
  assume  $x \in UNIV // \approx \{\Box\}$ 
  then obtain  $y$  where  $h: x = \{z. y \approx \{\Box\} z\}$ 
    unfolding quotient-def Image-def by blast
  { assume  $y = \Box$ 
    with  $h$  have  $x = \{\Box\}$  by (auto simp: str-eq-def)
    then have  $x \in \{\{\Box\}, UNIV - \{\Box\}\}$  by simp }
  moreover
  { assume  $y \neq \Box$ 
    with  $h$  have  $x = UNIV - \{\Box\}$  by (auto simp: str-eq-def)
    then have  $x \in \{\{\Box\}, UNIV - \{\Box\}\}$  by simp }
  ultimately show  $x \in \{\{\Box\}, UNIV - \{\Box\}\}$  by blast
qed

```

```

lemma quot-one-finiteI [intro]:
  shows finite  $(UNIV // \approx \{\Box\})$ 
by (rule finite-subset[OF quot-one-subset]) (simp)

```

```

lemma quot-atom-subset:
   $UNIV // (\approx \{[c]\}) \subseteq \{\{\Box\}, \{[c]\}, UNIV - \{\Box, [c]\}\}$ 
proof
  fix  $x$ 
  assume  $x \in UNIV // \approx \{[c]\}$ 
  then obtain  $y$  where  $h: x = \{z. (y, z) \in \approx \{[c]\}\}$ 
    unfolding quotient-def Image-def by blast
  show  $x \in \{\{\Box\}, \{[c]\}, UNIV - \{\Box, [c]\}\}$ 

```

```

proof –
  { assume  $y = []$  hence  $x = \{[]\}$  using  $h$ 
    by (auto simp: str-eq-def) }
moreover
  { assume  $y = [c]$  hence  $x = \{[c]\}$  using  $h$ 
    by (auto dest!: spec[where  $x = []$  simp: str-eq-def]) }
moreover
  { assume  $y \neq []$  and  $y \neq [c]$ 
    hence  $\forall z. (y @ z) \neq [c]$  by (case-tac y, auto)
    moreover have  $\bigwedge p. (p \neq [] \wedge p \neq [c]) = (\forall q. p @ q \neq [c])$ 
      by (case-tac p, auto)
    ultimately have  $x = UNIV - \{[], [c]\}$  using  $h$ 
      by (auto simp add: str-eq-def)
    }
  ultimately show ?thesis by blast
qed
qed

```

```

lemma quot-atom-finiteI [intro]:
  shows finite ( $UNIV // \approx\{[c]\}$ )
by (rule finite-subset[OF quot-atom-subset]) (simp)

```

### 3.3 Case for *Plus*

**definition**

```

tag-Plus :: 'a lang  $\Rightarrow$  'a lang  $\Rightarrow$  'a list  $\Rightarrow$  ('a lang  $\times$  'a lang)
where
  tag-Plus  $A B \equiv \lambda x. (\approx A \text{ `` } \{x\}, \approx B \text{ `` } \{x\})$ 

```

```

lemma quot-plus-finiteI [intro]:
  assumes finite1: finite ( $UNIV // \approx A$ )
  and finite2: finite ( $UNIV // \approx B$ )
  shows finite ( $UNIV // \approx(A \cup B)$ )
proof (rule-tac tag = tag-Plus A B in tag-finite-imageD)
  have finite (( $UNIV // \approx A$ )  $\times$  ( $UNIV // \approx B$ ))
    using finite1 finite2 by auto
  then show finite (range (tag-Plus  $A B$ ))
    unfolding tag-Plus-def quotient-def
    by (rule rev-finite-subset) (auto)
next
  show  $=_{tag-Plus A B} \subseteq \approx(A \cup B)$ 
    unfolding tag-eq-def tag-Plus-def str-eq-def by auto
qed

```

### 3.4 Case for *Times*

**definition**

*Partitions*  $x \equiv \{(x_p, x_s). x_p @ x_s = x\}$

**lemma** *conc-partitions-elim*:

**assumes**  $x \in A \cdot B$   
**shows**  $\exists (u, v) \in \text{Partitions } x. u \in A \wedge v \in B$   
**using** *assms unfolding conc-def Partitions-def*  
**by** *auto*

**lemma** *conc-partitions-intro*:  
**assumes**  $(u, v) \in \text{Partitions } x \wedge u \in A \wedge v \in B$   
**shows**  $x \in A \cdot B$   
**using** *assms unfolding conc-def Partitions-def*  
**by** *auto*

**lemma** *equiv-class-member*:  
**assumes**  $x \in A$   
**and**  $\approx A \text{ `` } \{x\} = \approx A \text{ `` } \{y\}$   
**shows**  $y \in A$   
**using** *assms*  
**apply**(*simp*)  
**apply**(*simp add: str-eq-def*)  
**apply**(*metis append-Nil2*)  
**done**

**definition**

$\text{tag-Times} :: 'a \text{ lang} \Rightarrow 'a \text{ lang} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ lang} \times 'a \text{ lang set}$   
**where**  
 $\text{tag-Times } A \ B \equiv \lambda x. (\approx A \text{ `` } \{x\}, \{(\approx B \text{ `` } \{x_s\}) \mid x_p \ x_s. x_p \in A \wedge (x_p, x_s) \in \text{Partitions } x\})$

**lemma** *tag-Times-injI*:  
**assumes**  $a: \text{tag-Times } A \ B \ x = \text{tag-Times } A \ B \ y$   
**and**  $c: x @ z \in A \cdot B$   
**shows**  $y @ z \in A \cdot B$   
**proof** –  
**from**  $c$  **obtain**  $u \ v$  **where**  
 $h1: (u, v) \in \text{Partitions } (x @ z)$  **and**  
 $h2: u \in A$  **and**  
 $h3: v \in B$  **by** (*auto dest: conc-partitions-elim*)  
**from**  $h1$  **have**  $x @ z = u @ v$  **unfolding** *Partitions-def* **by** *simp*  
**then obtain**  $us$   
**where**  $(x = u @ us \wedge us @ z = v) \vee (x @ us = u \wedge z = us @ v)$   
**by** (*auto simp add: append-eq-append-conv2*)  
**moreover**  
**{ assume**  $eq: x = u @ us \ us @ z = v$   
**have**  $(\approx B \text{ `` } \{us\}) \in \text{snd } (\text{tag-Times } A \ B \ x)$   
**unfolding** *Partitions-def tag-Times-def* **using**  $h2 \ eq$   
**by** (*auto simp add: str-eq-def*)  
**then have**  $(\approx B \text{ `` } \{us\}) \in \text{snd } (\text{tag-Times } A \ B \ y)$   
**using**  $a$  **by** *simp*  
**then obtain**  $u' \ us'$  **where**  
 $q1: u' \in A$  **and**

```

    q2:  $\approx_B \text{ `` } \{us\} = \approx_B \text{ `` } \{us'\}$  and
    q3:  $(u', us') \in \text{Partitions } y$ 
    unfolding tag-Times-def by auto
  from q2 h3 eq
  have  $us' @ z \in B$ 
    unfolding Image-def str-eq-def by auto
  then have  $y @ z \in A \cdot B$  using q1 q3
    unfolding Partitions-def by auto
}
moreover
{ assume eq:  $x @ us = u z = us @ v$ 
  have  $(\approx_A \text{ `` } \{x\}) = \text{fst } (\text{tag-Times } A \ B \ x)$ 
    by (simp add: tag-Times-def)
  then have  $(\approx_A \text{ `` } \{x\}) = \text{fst } (\text{tag-Times } A \ B \ y)$ 
    using a by simp
  then have  $\approx_A \text{ `` } \{x\} = \approx_A \text{ `` } \{y\}$ 
    by (simp add: tag-Times-def)
  moreover
  have  $x @ us \in A$  using h2 eq by simp
  ultimately
  have  $y @ us \in A$  using equiv-class-member
    unfolding Image-def str-eq-def by blast
  then have  $(y @ us) @ v \in A \cdot B$ 
    using h3 unfolding conc-def by blast
  then have  $y @ z \in A \cdot B$  using eq by simp
}
ultimately show  $y @ z \in A \cdot B$  by blast
qed

lemma quot-conc-finiteI [intro]:
  assumes fin1:  $\text{finite } (UNIV // \approx_A)$ 
  and fin2:  $\text{finite } (UNIV // \approx_B)$ 
  shows  $\text{finite } (UNIV // \approx(A \cdot B))$ 
proof (rule-tac tag = tag-Times A B in tag-finite-imageD)
  have  $\bigwedge x y z. \llbracket \text{tag-Times } A \ B \ x = \text{tag-Times } A \ B \ y; x @ z \in A \cdot B \rrbracket \implies y @ z \in A \cdot B$ 
    by (rule tag-Times-injI)
    (auto simp add: tag-Times-def tag-eq-def)
  then show  $=_{\text{tag-Times } A \ B} \subseteq \approx(A \cdot B)$ 
    by (rule refined-intro)
    (auto simp add: tag-eq-def)
next
  have *:  $\text{finite } ((UNIV // \approx_A) \times (\text{Pow } (UNIV // \approx_B)))$ 
    using fin1 fin2 by auto
  show  $\text{finite } (\text{range } (\text{tag-Times } A \ B))$ 
    unfolding tag-Times-def
    apply (rule finite-subset[OF - *])
    unfolding quotient-def
    by auto

```



qed

### 3.5 Case for *Star*

**lemma** *star-partitions-elim*:

**assumes**  $x @ z \in A^\star$   $x \neq []$

**shows**  $\exists (u, v) \in \text{Partitions } (x @ z). \text{strict-prefix } u \ x \wedge u \in A^\star \wedge v \in A^\star$

**proof** –

**have**  $([], x @ z) \in \text{Partitions } (x @ z)$  *strict-prefix*  $[] \ x \ [] \in A^\star$   $x @ z \in A^\star$

**using** *assms* **by** (*auto simp add: Partitions-def strict-prefix-def*)

**then show**  $\exists (u, v) \in \text{Partitions } (x @ z). \text{strict-prefix } u \ x \wedge u \in A^\star \wedge v \in A^\star$

**by** *blast*

qed

**lemma** *finite-set-has-max2*:

$\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \exists \text{max} \in A. \forall a \in A. \text{length } a \leq \text{length } \text{max}$

**apply** (*induct rule:finite.induct*)

**apply** (*simp*)

**by** (*metis (no-types) all-not-in-conv insert-iff linorder-le-cases order-trans*)

**lemma** *finite-strict-prefix-set*:

**shows** *finite*  $\{xa. \text{strict-prefix } xa \ (x::'a \text{ list})\}$

**apply** (*induct x rule:rev-induct, simp*)

**apply** (*subgoal-tac*  $\{xa. \text{strict-prefix } xa \ (xs @ [x])\} = \{xa. \text{strict-prefix } xa \ xs\} \cup \{xs\}$ )

**by** (*auto simp:strict-prefix-def*)

**lemma** *append-eq-cases*:

**assumes**  $a: x @ y = m @ n$   $m \neq []$

**shows** *prefix*  $x \ m \vee \text{strict-prefix } m \ x$

**unfolding** *prefix-def strict-prefix-def* **using**  $a$

**by** (*auto simp add: append-eq-append-conv2*)

**lemma** *star-spartitions-elim2*:

**assumes**  $a: x @ z \in A^\star$

**and**  $b: x \neq []$

**shows**  $\exists (u, v) \in \text{Partitions } x. \exists (u', v') \in \text{Partitions } z. \text{strict-prefix } u \ x \wedge u \in A^\star \wedge v @ u' \in A \wedge v' \in A^\star$

**proof** –

**define**  $S$  **where**  $S = \{u \mid u \ v. (u, v) \in \text{Partitions } x \wedge \text{strict-prefix } u \ x \wedge u \in A^\star \wedge v @ z \in A^\star\}$

**have** *finite*  $\{u. \text{strict-prefix } u \ x\}$  **by** (*rule finite-strict-prefix-set*)

**then have** *finite*  $S$  **unfolding**  $S\text{-def}$

**by** (*rule rev-finite-subset*) (*auto*)

**moreover**

**have**  $S \neq \{\}$  **using**  $a \ b$  **unfolding**  $S\text{-def Partitions-def}$

**by** (*auto simp: strict-prefix-def*)

**ultimately have**  $\exists u\text{-max} \in S. \forall u \in S. \text{length } u \leq \text{length } u\text{-max}$

**using** *finite-set-has-max2* **by** *blast*

**then obtain**  $u\text{-max } v$   
**where**  $h0: (u\text{-max}, v) \in \text{Partitions } x$   
**and**  $h1: \text{strict-prefix } u\text{-max } x$   
**and**  $h2: u\text{-max} \in A^\star$   
**and**  $h3: v @ z \in A^\star$   
**and**  $h4: \forall u v. (u, v) \in \text{Partitions } x \wedge \text{strict-prefix } u x \wedge u \in A^\star \wedge v @ z \in A^\star \longrightarrow \text{length } u \leq \text{length } u\text{-max}$   
**unfolding**  $S\text{-def Partitions-def by blast}$   
**have**  $q: v \neq []$  **using**  $h0 h1 b$  **unfolding**  $\text{Partitions-def by auto}$   
**from**  $h3$  **obtain**  $a b$   
**where**  $i1: (a, b) \in \text{Partitions } (v @ z)$   
**and**  $i2: a \in A$   
**and**  $i3: b \in A^\star$   
**and**  $i4: a \neq []$   
**unfolding**  $\text{Partitions-def}$   
**using**  $q$  **by**  $(\text{auto dest: star-decom})$   
**have**  $\text{prefix } v a$   
**proof**  $(\text{rule ccontr})$   
**assume**  $a: \neg(\text{prefix } v a)$   
**from**  $i1$  **have**  $i1': a @ b = v @ z$  **unfolding**  $\text{Partitions-def by simp}$   
**then have**  $\text{prefix } a v \vee \text{strict-prefix } v a$  **using**  $\text{append-eq-cases } q$  **by blast  
**then have**  $q: \text{strict-prefix } a v$  **using**  $a$  **unfolding**  $\text{strict-prefix-def prefix-def by auto}$   
**then obtain**  $as$  **where**  $eq: a @ as = v$  **unfolding**  $\text{strict-prefix-def prefix-def by auto}$   
**have**  $(u\text{-max} @ a, as) \in \text{Partitions } x$  **using**  $eq h0$  **unfolding**  $\text{Partitions-def by auto}$   
**moreover**  
**have**  $\text{strict-prefix } (u\text{-max} @ a) x$  **using**  $h0 eq q$  **unfolding**  $\text{Partitions-def prefix-def strict-prefix-def by auto}$   
**moreover**  
**have**  $u\text{-max} @ a \in A^\star$  **using**  $i2 h2$  **by simp**  
**moreover**  
**have**  $as @ z \in A^\star$  **using**  $i1' i2 i3 eq$  **by auto**  
**ultimately have**  $\text{length } (u\text{-max} @ a) \leq \text{length } u\text{-max}$  **using**  $h4$  **by blast**  
**with**  $i4$  **show**  $\text{False}$  **by auto**  
**qed**  
**with**  $i1$  **obtain**  $za zb$   
**where**  $k1: v @ za = a$   
**and**  $k2: (za, zb) \in \text{Partitions } z$   
**and**  $k4: zb = b$   
**unfolding**  $\text{Partitions-def prefix-def}$   
**by**  $(\text{auto simp add: append-eq-append-conv2})$   
**show**  $\exists (u, v) \in \text{Partitions } x. \exists (u', v') \in \text{Partitions } z. \text{strict-prefix } u x \wedge u \in A^\star \wedge v @ u' \in A \wedge v' \in A^\star$   
**using**  $h0 h1 h2 i2 i3 k1 k2 k4$  **unfolding**  $\text{Partitions-def by blast}$   
**qed****

**definition**

$\text{tag-Star} :: 'a \text{ lang} \Rightarrow 'a \text{ list} \Rightarrow ('a \text{ lang}) \text{ set}$   
**where**  
 $\text{tag-Star } A \equiv \lambda x. \{ \approx A \text{ `` } \{v\} \mid u \text{ v. } \text{strict-prefix } u \ x \wedge u \in A^\star \wedge (u, v) \in \text{Partitions } x \}$

**lemma** *tag-Star-non-empty-injI*:

**assumes**  $a$ :  $\text{tag-Star } A \ x = \text{tag-Star } A \ y$   
**and**  $c$ :  $x @ z \in A^\star$   
**and**  $d$ :  $x \neq []$   
**shows**  $y @ z \in A^\star$   
**proof** –  
**obtain**  $u \ v \ u' \ v'$   
**where**  $a1$ :  $(u, \ v) \in \text{Partitions } x \ (u', \ v') \in \text{Partitions } z$   
**and**  $a2$ :  $\text{strict-prefix } u \ x$   
**and**  $a3$ :  $u \in A^\star$   
**and**  $a4$ :  $v @ u' \in A$   
**and**  $a5$ :  $v' \in A^\star$   
**using**  $c \ d$  **by** (*auto dest: star-spartitions-elim2*)  
**have**  $(\approx A) \text{ `` } \{v\} \in \text{tag-Star } A \ x$   
**apply**(*simp add: tag-Star-def Partitions-def str-eq-def*)  
**using**  $a1 \ a2 \ a3$  **by** (*auto simp add: Partitions-def*)  
**then have**  $(\approx A) \text{ `` } \{v\} \in \text{tag-Star } A \ y$  **using**  $a$  **by** *simp*  
**then obtain**  $u1 \ v1$   
**where**  $b1$ :  $v \approx A \ v1$   
**and**  $b3$ :  $u1 \in A^\star$   
**and**  $b4$ :  $(u1, \ v1) \in \text{Partitions } y$   
**unfolding** *tag-Star-def* **by** *auto*  
**have**  $c$ :  $v1 @ u' \in A^\star$  **using**  $b1 \ a4$  **unfolding** *str-eq-def* **by** *simp*  
**have**  $u1 @ (v1 @ u') @ v' \in A^\star$   
**using**  $b3 \ c \ a5$  **by** (*simp only: append-in-starI*)  
**then show**  $y @ z \in A^\star$  **using**  $b4 \ a1$   
**unfolding** *Partitions-def* **by** *auto*  
**qed**

**lemma** *tag-Star-empty-injI*:

**assumes**  $a$ :  $\text{tag-Star } A \ x = \text{tag-Star } A \ y$   
**and**  $c$ :  $x @ z \in A^\star$   
**and**  $d$ :  $x = []$   
**shows**  $y @ z \in A^\star$   
**proof** –  
**from**  $a$  **have**  $\{ \} = \text{tag-Star } A \ y$  **unfolding** *tag-Star-def* **using**  $d$  **by** *auto*  
**then have**  $y = []$   
**unfolding** *tag-Star-def Partitions-def strict-prefix-def prefix-def*  
**by** (*auto*) (*metis Nil-in-star append-self-conv2*)  
**then show**  $y @ z \in A^\star$  **using**  $c \ d$  **by** *simp*  
**qed**

**lemma** *quot-star-finiteI* [*intro*]:

**assumes** *finite1*: *finite* (*UNIV* //  $\approx A$ )

```

  shows finite (UNIV //  $\approx(A\star)$ )
proof (rule-tac tag = tag-Star A in tag-finite-imageD)
  have  $\bigwedge x y z. \llbracket \text{tag-Star } A \ x = \text{tag-Star } A \ y; x @ z \in A\star \rrbracket \implies y @ z \in A\star$ 
  by (case-tac x = []) (blast intro: tag-Star-empty-injI tag-Star-non-empty-injI)+
  then show  $=(\text{tag-Star } A) = \subseteq \approx(A\star)$ 
  by (rule refined-intro) (auto simp add: tag-eq-def)
next
  have *: finite (Pow (UNIV //  $\approx A$ ))
  using finite1 by auto
  show finite (range (tag-Star A))
  unfolding tag-Star-def
  by (rule finite-subset[OF - *])
  (auto simp add: quotient-def)
qed

```

### 3.6 The conclusion of the second direction

```

lemma Myhill-Nerode2:
  fixes r::'a rexp
  shows finite (UNIV //  $\approx(\text{lang } r)$ )
by (induct r) (auto)

end

```

```

theory Myhill
  imports Myhill-2 Regular-Sets.Derivatives
begin

```

## 4 The theorem

```

theorem Myhill-Nerode:
  fixes A::('a::finite) lang
  shows  $(\exists r. A = \text{lang } r) \longleftrightarrow \text{finite } (UNIV // \approx A)$ 
using Myhill-Nerode1 Myhill-Nerode2 by auto

```

### 4.1 Second direction proved using partial derivatives

An alternative proof using the notion of partial derivatives for regular expressions due to Antimirov [1].

```

lemma MN-Rel-Derivs:
  shows  $x \approx A \ y \longleftrightarrow \text{Derivs } x \ A = \text{Derivs } y \ A$ 
unfolding Derivs-def str-eq-def
by auto

```

```

lemma Myhill-Nerode3:
  fixes r::'a rexp
  shows finite (UNIV //  $\approx(\text{lang } r)$ )

```

```

proof –
  have finite (UNIV //  $=(\lambda x. \text{pderivs } x \text{ } r)=$ )
proof –
  have  $\text{range } (\lambda x. \text{pderivs } x \text{ } r) \subseteq \text{Pow } (\text{pderivs-lang } \text{UNIV } r)$ 
    unfolding pderivs-lang-def by auto
  moreover
  have finite ( $\text{Pow } (\text{pderivs-lang } \text{UNIV } r)$ ) by (simp add: finite-pderivs-lang)
  ultimately
  have finite ( $\text{range } (\lambda x. \text{pderivs } x \text{ } r)$ )
    by (simp add: finite-subset)
  then show finite (UNIV //  $=(\lambda x. \text{pderivs } x \text{ } r)=$ )
    by (rule finite-eq-tag-rel)
qed
moreover
have  $=(\lambda x. \text{pderivs } x \text{ } r) \subseteq \approx(\text{lang } r)$ 
  unfolding tag-eq-def
  by (auto simp add: MN-Rel-Derivs Derivs-pderivs)
moreover
have equiv UNIV  $=(\lambda x. \text{pderivs } x \text{ } r)=$ 
and equiv UNIV  $(\approx(\text{lang } r))$ 
  unfolding equiv-def refl-on-def sym-def trans-def
  unfolding tag-eq-def str-eq-def
  by auto
ultimately show finite (UNIV //  $\approx(\text{lang } r)$ )
  by (rule refined-partition-finite)
qed

end

theory Closures
imports Myhill HOL-Library.Infinite-Set
begin

```

## 5 Closure properties of regular languages

**abbreviation**

*regular* :: 'a lang  $\Rightarrow$  bool

**where**

*regular* *A*  $\equiv \exists r. A = \text{lang } r$

### 5.1 Closure under $\cup$ , $\cdot$ and $\star$

**lemma** *closure-union* [*intro*]:

**assumes** *regular* *A* *regular* *B*

**shows** *regular* ( $A \cup B$ )

**proof** –

**from** *assms* **obtain** *r1* *r2*::'a *rexp* **where**  $\text{lang } r1 = A$   $\text{lang } r2 = B$  **by** *auto*

**then have**  $A \cup B = \text{lang } (\text{Plus } r1 \text{ } r2)$  **by** *simp*

**then show** *regular* ( $A \cup B$ ) **by** *blast*

qed

**lemma** *closure-seq* [intro]:  
 assumes *regular A regular B*  
 shows *regular (A · B)*  
**proof** –  
 from *assms* **obtain** *r1 r2::'a rexp* **where** *lang r1 = A lang r2 = B* **by** *auto*  
 then **have** *A · B = lang (Times r1 r2)* **by** *simp*  
 then **show** *regular (A · B)* **by** *blast*  
qed

**lemma** *closure-star* [intro]:  
 assumes *regular A*  
 shows *regular (A★)*  
**proof** –  
 from *assms* **obtain** *r::'a rexp* **where** *lang r = A* **by** *auto*  
 then **have** *A★ = lang (Star r)* **by** *simp*  
 then **show** *regular (A★)* **by** *blast*  
qed

## 5.2 Closure under complementation

Closure under complementation is proved via the Myhill-Nerode theorem

**lemma** *closure-complement* [intro]:  
 fixes *A::('a::finite) lang*  
 assumes *regular A*  
 shows *regular (– A)*  
**proof** –  
 from *assms* **have** *finite (UNIV // ≈A)* **by** (*simp add: Myhill-Nerode*)  
 then **have** *finite (UNIV // ≈(–A))* **by** (*simp add: str-eq-def*)  
 then **show** *regular (– A)* **by** (*simp add: Myhill-Nerode*)  
qed

## 5.3 Closure under – and ∩

**lemma** *closure-difference* [intro]:  
 fixes *A::('a::finite) lang*  
 assumes *regular A regular B*  
 shows *regular (A – B)*  
**proof** –  
 have *A – B = – (– A ∪ B)* **by** *blast*  
 moreover  
 have *regular (– (– A ∪ B))*  
 using *assms* **by** *blast*  
 ultimately **show** *regular (A – B)* **by** *simp*  
qed

**lemma** *closure-intersection* [intro]:  
 fixes *A::('a::finite) lang*

```

    assumes regular A regular B
    shows regular (A  $\cap$  B)
  proof -
    have A  $\cap$  B =  $\neg$  ( $\neg$  A  $\cup$   $\neg$  B) by blast
    moreover
    have regular ( $\neg$  ( $\neg$  A  $\cup$   $\neg$  B))
      using assms by blast
    ultimately show regular (A  $\cap$  B) by simp
  qed

```

## 5.4 Closure under string reversal

```

fun
  Rev :: 'a rexp  $\Rightarrow$  'a rexp
where
  Rev Zero = Zero
| Rev One = One
| Rev (Atom c) = Atom c
| Rev (Plus r1 r2) = Plus (Rev r1) (Rev r2)
| Rev (Times r1 r2) = Times (Rev r2) (Rev r1)
| Rev (Star r) = Star (Rev r)

lemma rev-seq[simp]:
  shows rev ' (B  $\cdot$  A) = (rev ' A)  $\cdot$  (rev ' B)
unfolding conc-def image-def
by (auto) (metis rev-append)+

lemma rev-star1:
  assumes a: s  $\in$  (rev ' A) $\star$ 
  shows s  $\in$  rev ' (A $\star$ )
using a
proof(induct rule: star-induct)
  case (append s1 s2)
  have inj: inj (rev::'a list  $\Rightarrow$  'a list) unfolding inj-on-def by auto
  have s1  $\in$  rev ' A s2  $\in$  rev ' (A $\star$ ) by fact+
  then obtain x1 x2 where x1  $\in$  A x2  $\in$  A $\star$  and eqs: s1 = rev x1 s2 = rev x2
  by auto
  then have x1  $\in$  A $\star$  x2  $\in$  A $\star$  by (auto)
  then have x2 @ x1  $\in$  A $\star$  by (auto)
  then have rev (x2 @ x1)  $\in$  rev ' A $\star$  using inj by (simp only: inj-image-mem-iff)
  then show s1 @ s2  $\in$  rev ' A $\star$  using eqs by simp
qed (auto)

lemma rev-star2:
  assumes a: s  $\in$  A $\star$ 
  shows rev s  $\in$  (rev ' A) $\star$ 
using a
proof(induct rule: star-induct)
  case (append s1 s2)

```

**have** *inj*: *inj* (*rev*::'a list  $\Rightarrow$  'a list) **unfolding** *inj-on-def* **by** *auto*  
**have** *s1*  $\in$  *A* **by** *fact*  
**then have** *rev s1*  $\in$  *rev* ' *A* **using** *inj* **by** (*simp only: inj-image-mem-iff*)  
**then have** *rev s1*  $\in$  (*rev* ' *A*) $\star$  **by** (*auto*)  
**moreover**  
**have** *rev s2*  $\in$  (*rev* ' *A*) $\star$  **by** *fact*  
**ultimately show** *rev (s1 @ s2)*  $\in$  (*rev* ' *A*) $\star$  **by** (*auto*)  
**qed** (*auto*)

**lemma** *rev-star* [*simp*]:  
**shows** *rev* ' (*A* $\star$ ) = (*rev* ' *A*) $\star$   
**using** *rev-star1 rev-star2* **by** *auto*

**lemma** *rev-lang*:  
**shows** *rev* ' (*lang r*) = *lang (Rev r)*  
**by** (*induct r*) (*simp-all add: image-Un*)

**lemma** *closure-reversal* [*intro*]:  
**assumes** *regular A*  
**shows** *regular (rev* ' *A*)  
**proof** –  
**from** *assms* **obtain** *rr*::'a *rexp* **where** *A* = *lang r* **by** *auto*  
**then have** *lang (Rev r)* = *rev* ' *A* **by** (*simp add: rev-lang*)  
**then show** *regular (rev* ' *A*) **by** *blast*  
**qed**

## 5.5 Closure under left-quotients

**abbreviation**

$$\text{Deriv-lang } A \ B \equiv \bigcup x \in A. \text{ Derivs } x \ B$$

**lemma** *closure-left-quotient*:  
**assumes** *regular A*  
**shows** *regular (Deriv-lang B A)*  
**proof** –  
**from** *assms* **obtain** *rr*::'a *rexp* **where** *eq*: *lang r* = *A* **by** *auto*  
**have** *fin*: *finite (pderivs-lang B r)* **by** (*rule finite-pderivs-lang*)  
  
**have** *Deriv-lang B (lang r)* = ( $\bigcup$  (*lang* ' *pderivs-lang B r*))  
**by** (*simp add: Derivs-pderivs pderivs-lang-def*)  
**also have**  $\dots$  = *lang* ( $\biguplus$  (*pderivs-lang B r*)) **using** *fin* **by** *simp*  
**finally have** *Deriv-lang B A* = *lang* ( $\biguplus$  (*pderivs-lang B r*)) **using** *eq*  
**by** *simp*  
**then show** *regular (Deriv-lang B A)* **by** *auto*  
**qed**

## 5.6 Finite and co-finite sets are regular

**lemma** *singleton-regular*:  
**shows** *regular* {*s*}



```

proof (induct s)
  case Nil
    have  $\{\square\} = \text{lang } (\text{One})$  by simp
    then show regular  $\{\square\}$  by blast
next
  case (Cons c s)
    have regular  $\{s\}$  by fact
    then obtain r where  $\{s\} = \text{lang } r$  by blast
    then have  $\{c \# s\} = \text{lang } (\text{Times } (\text{Atom } c) \ r)$ 
      by (auto simp add: conc-def)
    then show regular  $\{c \# s\}$  by blast
qed

lemma finite-regular:
  assumes finite A
  shows regular A
using assms
proof (induct)
  case empty
    have  $\{\} = \text{lang } (\text{Zero})$  by simp
    then show regular  $\{\}$  by blast
next
  case (insert s A)
    have regular  $\{s\}$  by (simp add: singleton-regular)
    moreover
    have regular A by fact
    ultimately have regular  $(\{s\} \cup A)$  by (rule closure-union)
    then show regular (insert s A) by simp
qed

lemma cofinite-regular:
  fixes A::'a::finite lang
  assumes finite  $(- \ A)$ 
  shows regular A
proof -
  from assms have regular  $(- \ A)$  by (simp add: finite-regular)
  then have regular  $(-(- \ A))$  by (rule closure-complement)
  then show regular A by simp
qed

```

## 5.7 Continuation lemma for showing non-regularity of languages

```

lemma continuation-lemma:
  fixes A B::'a::finite lang
  assumes reg: regular A
  and inf: infinite B
  shows  $\exists x \in B. \exists y \in B. x \neq y \wedge x \approx_A y$ 
proof -

```

```

define eqfun where eqfun = ( $\lambda A$  x::('a::finite list). ( $\approx A$ ) “ {x}”)
have finite (UNIV //  $\approx A$ ) using reg by (simp add: Myhill-Nerode)
moreover
have (eqfun A) “ B  $\subseteq$  UNIV // ( $\approx A$ )
  unfolding eqfun-def quotient-def by auto
ultimately have finite ((eqfun A) “ B) by (rule rev-finite-subset)
with inf have  $\exists a \in B.$  infinite {b  $\in$  B. eqfun A b = eqfun A a}
  by (rule pigeonhole-infinite)
then obtain a where in-a: a  $\in$  B and infinite {b  $\in$  B. eqfun A b = eqfun A a}
  by blast
moreover
have {b  $\in$  B. eqfun A b = eqfun A a} = {b  $\in$  B. b  $\approx_A$  a}
  unfolding eqfun-def Image-def str-eq-def by auto
ultimately have infinite {b  $\in$  B. b  $\approx_A$  a} by simp
then have infinite ({b  $\in$  B. b  $\approx_A$  a} - {a}) by simp
moreover
have {b  $\in$  B. b  $\approx_A$  a} - {a} = {b  $\in$  B. b  $\approx_A$  a  $\wedge$  b  $\neq$  a} by auto
ultimately have infinite {b  $\in$  B. b  $\approx_A$  a  $\wedge$  b  $\neq$  a} by simp
then have {b  $\in$  B. b  $\approx_A$  a  $\wedge$  b  $\neq$  a}  $\neq$  {}
  by (metis finite.emptyI)
then obtain b where b  $\in$  B b  $\neq$  a b  $\approx_A$  a by blast
with in-a show  $\exists x \in B. \exists y \in B. x \neq y \wedge x \approx_A y$ 
  by blast
qed

```

## 5.8 The language $a^n b^n$ is not regular

**abbreviation**

*replicate-rev* ( $\langle$ -  $\sim \rightarrow$  [100, 100] 100)

**where**

$a \sim n \equiv \text{replicate } n \ a$

**lemma** an-bn-not-regular:

**shows**  $\neg \text{regular } (\bigcup n. \{ \text{CHR } "a" \sim n @ \text{CHR } "b" \sim n \})$

**proof**

**define** A **where** A =  $(\bigcup n. \{ \text{CHR } "a" \sim n @ \text{CHR } "b" \sim n \})$

**assume** as: regular A

**define** B **where** B =  $(\bigcup n. \{ \text{CHR } "a" \sim n \})$

**have** sameness:  $\bigwedge i \ j. \text{CHR } "a" \sim i @ \text{CHR } "b" \sim j \in A \longleftrightarrow i = j$

**unfolding** A-def

**apply** auto

**apply**(*drule-tac* f= $\lambda s. \text{length } (\text{filter } ((=) (\text{CHR } "a")) \ s) = \text{length } (\text{filter } ((=) (\text{CHR } "b")) \ s)$ )

**in** arg-cong)

**apply**(simp)

**done**

**have** b: infinite B

```

    unfolding infinite-iff-countable-subset
    unfolding inj-on-def B-def
    by (rule-tac x= $\lambda n.$  CHR "a"  $\rightsquigarrow$  n in exI) (auto)
  moreover
  have  $\forall x \in B. \forall y \in B. x \neq y \longrightarrow \neg (x \approx_A y)$ 
    apply (auto)
    unfolding B-def
    apply (auto)
    apply (simp add: str-eq-def)
    apply (drule-tac x=CHR "b"  $\rightsquigarrow$  xa in spec)
    apply (simp add: sameness)
    done
  ultimately
  show False using continuation-lemma[OF as] by blast
qed

```

```

end
theory Closures2
imports
  Closures
  Well-Quasi-Orders.Well-Quasi-Orders
begin

```

## 6 Closure under *SUBSEQ* and *SUPSEQ*

Properties about the embedding relation

```

lemma subseq-strict-length:
  assumes a: subseq x y  $x \neq y$ 
  shows length x < length y
using a
by (induct) (auto simp add: less-Suc-eq)

lemma subseq-wf:
  shows wf  $\{(x, y). \text{subseq } x \ y \wedge x \neq y\}$ 
proof -
  have wf (measure length) by simp
  moreover
  have  $\{(x, y). \text{subseq } x \ y \wedge x \neq y\} \subseteq \text{measure length}$ 
    unfolding measure-def by (auto simp add: subseq-strict-length)
  ultimately
  show wf  $\{(x, y). \text{subseq } x \ y \wedge x \neq y\}$  by (rule wf-subset)
qed

```

```

lemma subseq-good:
  shows good subseq  $(f :: \text{nat} \Rightarrow ('a::\text{finite}) \text{ list})$ 
using wqo-on-imp-good[where f=f, OF wqo-on-lists-over-finite-sets]
by simp

```

**lemma** *subseq-Higman-antichains*:  
**assumes**  $a: \forall (x::('a::\text{finite}) \text{ list}) \in A. \forall y \in A. x \neq y \longrightarrow \neg(\text{subseq } x \ y) \wedge \neg(\text{subseq } y \ x)$   
**shows** *finite A*  
**proof** (*rule ccontr*)  
**assume** *infinite A*  
**then obtain**  $f::\text{nat} \Rightarrow 'a::\text{finite list}$  **where**  $b: \text{inj } f$  **and**  $c: \text{range } f \subseteq A$   
**by** (*auto simp add: infinite-iff-countable-subset*)  
**from** *subseq-good* [**where**  $f=f$ ]  
**obtain**  $i \ j$  **where**  $d: i < j$  **and**  $e: \text{subseq } (f \ i) \ (f \ j) \vee f \ i = f \ j$   
**unfolding** *good-def*  
**by** *auto*  
**have**  $f \ i \neq f \ j$  **using**  $b \ d$  **by** (*auto simp add: inj-on-def*)  
**moreover**  
**have**  $f \ i \in A$  **using**  $c$  **by** *auto*  
**moreover**  
**have**  $f \ j \in A$  **using**  $c$  **by** *auto*  
**ultimately have**  $\neg(\text{subseq } (f \ i) \ (f \ j))$  **using**  $a$  **by** *simp*  
**with**  $e$  **show** *False* **by** *auto*  
**qed**

## 6.1 Sub- and Supersequences

**definition**  
 $SUBSEQ \ A \equiv \{x::('a::\text{finite}) \text{ list}. \exists y \in A. \text{subseq } x \ y\}$

**definition**  
 $SUPSEQ \ A \equiv \{x::('a::\text{finite}) \text{ list}. \exists y \in A. \text{subseq } y \ x\}$

**lemma** *SUPSEQ-simps* [*simp*]:  
**shows**  $SUPSEQ \ \{\} = \{\}$   
**and**  $SUPSEQ \ \{\} = UNIV$   
**unfolding** *SUPSEQ-def* **by** *auto*

**lemma** *SUPSEQ-atom* [*simp*]:  
**shows**  $SUPSEQ \ \{[c]\} = UNIV \cdot \{[c]\} \cdot UNIV$   
**unfolding** *SUPSEQ-def conc-def*  
**by** (*auto dest: list-emb-ConsD*)

**lemma** *SUPSEQ-union* [*simp*]:  
**shows**  $SUPSEQ \ (A \cup B) = SUPSEQ \ A \cup SUPSEQ \ B$   
**unfolding** *SUPSEQ-def* **by** *auto*

**lemma** *SUPSEQ-conc* [*simp*]:  
**shows**  $SUPSEQ \ (A \cdot B) = SUPSEQ \ A \cdot SUPSEQ \ B$   
**unfolding** *SUPSEQ-def conc-def*  
**apply** (*auto*)  
**apply** (*drule list-emb-appendD*)

```

apply(auto)
by (metis list-emb-append-mono)

lemma SUPSEQ-star [simp]:
  shows SUPSEQ ( $A^\star$ ) = UNIV
apply(subst star-unfold-left)
apply(simp only: SUPSEQ-union)
apply(simp)
done

```

## 6.2 Regular expression that recognises every character

**definition**

```

  Allreg :: 'a::finite rexp
where
  Allreg  $\equiv \biguplus (Atom \text{ ' } UNIV)$ 

```

```

lemma Allreg-lang [simp]:
  shows lang Allreg =  $(\bigcup a. \{[a]\})$ 
unfolding Allreg-def by auto

```

```

lemma [simp]:
  shows  $(\bigcup a. \{[a]\})^\star = UNIV$ 
apply(auto)
apply(induct-tac x)
apply(auto)
apply(subgoal-tac [a] @ list  $\in (\bigcup a. \{[a]\})^\star$ )
apply(simp)
apply(rule append-in-starI)
apply(auto)
done

```

```

lemma Star-Allreg-lang [simp]:
  shows lang (Star Allreg) = UNIV
by simp

```

**fun**

```

  UP :: 'a::finite rexp  $\Rightarrow$  'a rexp
where
  UP (Zero) = Zero
| UP (One) = Star Allreg
| UP (Atom c) = Times (Star Allreg) (Times (Atom c) (Star Allreg))
| UP (Plus r1 r2) = Plus (UP r1) (UP r2)
| UP (Times r1 r2) = Times (UP r1) (UP r2)
| UP (Star r) = Star Allreg

```

```

lemma lang-UP:
  fixes r::'a::finite rexp
  shows lang (UP r) = SUPSEQ (lang r)

```

by (induct r) (simp-all)

lemma SUPSEQ-regular:

fixes A::'a::finite lang

assumes regular A

shows regular (SUPSEQ A)

proof -

from assms obtain r::'a::finite rexp where lang r = A by auto

then have lang (UP r) = SUPSEQ A by (simp add: lang-UP)

then show regular (SUPSEQ A) by auto

qed

lemma SUPSEQ-subset:

fixes A::'a::finite list set

shows  $A \subseteq \text{SUPSEQ } A$

unfolding SUPSEQ-def by auto

lemma SUBSEQ-complement:

shows  $-(\text{SUBSEQ } A) = \text{SUPSEQ } (-(\text{SUBSEQ } A))$

proof -

have  $-(\text{SUBSEQ } A) \subseteq \text{SUPSEQ } (-(\text{SUBSEQ } A))$

by (rule SUPSEQ-subset)

moreover

have  $\text{SUPSEQ } (-(\text{SUBSEQ } A)) \subseteq -(\text{SUBSEQ } A)$

proof (rule ccontr)

assume  $\neg (\text{SUPSEQ } (-(\text{SUBSEQ } A)) \subseteq -(\text{SUBSEQ } A))$

then obtain x where

a:  $x \in \text{SUPSEQ } (-(\text{SUBSEQ } A))$  and

b:  $x \notin -(\text{SUBSEQ } A)$  by auto

from a obtain y where c:  $y \in -(\text{SUBSEQ } A)$  and d: subseq y x

by (auto simp add: SUPSEQ-def)

from b have  $x \in \text{SUBSEQ } A$  by simp

then obtain x' where f:  $x' \in A$  and e: subseq x x'

by (auto simp add: SUBSEQ-def)

from d e have subseq y x'

by (rule subseq-order.order-trans)

then have  $y \in \text{SUBSEQ } A$  using f

by (auto simp add: SUBSEQ-def)

with c show False by simp

qed

ultimately show  $-(\text{SUBSEQ } A) = \text{SUPSEQ } (-(\text{SUBSEQ } A))$  by simp

qed

definition

minimal :: 'a::finite list  $\Rightarrow$  'a lang  $\Rightarrow$  bool

where

$minimal\ x\ A \equiv (\forall y \in A. subseq\ y\ x \longrightarrow subseq\ x\ y)$

**lemma** *main-lemma*:

**shows**  $\exists M. finite\ M \wedge SUPSEQ\ A = SUPSEQ\ M$

**proof** –

**define**  $M$  **where**  $M = \{x \in A. minimal\ x\ A\}$

**have** *finite*  $M$

**unfolding** *M-def* *minimal-def*

**by** (*rule* *subseq-Higman-antichains*) (*auto simp add: subseq-order.antisym*)

**moreover**

**have**  $SUPSEQ\ A \subseteq SUPSEQ\ M$

**proof**

**fix**  $x$

**assume**  $x \in SUPSEQ\ A$

**then obtain**  $y$  **where**  $y \in A$  **and**  $subseq\ y\ x$  **by** (*auto simp add: SUPSEQ-def*)

**then have**  $a: y \in \{y' \in A. subseq\ y'\ x\}$  **by** *simp*

**obtain**  $z$  **where**  $b: z \in A\ subseq\ z\ x$  **and**  $c: \forall y. subseq\ y\ z \wedge y \neq z \longrightarrow y \notin \{y' \in A. subseq\ y'\ x\}$

**using** *wfE-min[OF subseq-wf a]* **by** *auto*

**then have**  $z \in M$

**unfolding** *M-def* *minimal-def*

**by** (*auto intro: subseq-order.order-trans*)

**with**  $b(2)$  **show**  $x \in SUPSEQ\ M$

**by** (*auto simp add: SUPSEQ-def*)

**qed**

**moreover**

**have**  $SUPSEQ\ M \subseteq SUPSEQ\ A$

**by** (*auto simp add: SUPSEQ-def M-def*)

**ultimately**

**show**  $\exists M. finite\ M \wedge SUPSEQ\ A = SUPSEQ\ M$  **by** *blast*

**qed**

### 6.3 Closure of *SUBSEQ* and *SUPSEQ*

**lemma** *closure-SUPSEQ*:

**fixes**  $A::'a::finite\ lang$

**shows** *regular* ( $SUPSEQ\ A$ )

**proof** –

**obtain**  $M$  **where**  $a: finite\ M$  **and**  $b: SUPSEQ\ A = SUPSEQ\ M$

**using** *main-lemma* **by** *blast*

**have** *regular*  $M$  **using**  $a$  **by** (*rule* *finite-regular*)

**then have** *regular* ( $SUPSEQ\ M$ ) **by** (*rule* *SUPSEQ-regular*)

**then show** *regular* ( $SUPSEQ\ A$ ) **using**  $b$  **by** *simp*

**qed**

**lemma** *closure-SUBSEQ*:

**fixes**  $A::'a::finite\ lang$

**shows** *regular* ( $SUBSEQ\ A$ )

**proof** –

```

  have regular (SUPSEQ (– SUBSEQ A)) by (rule closure-SUPSEQ)
  then have regular (– SUBSEQ A) by (subst SUBSEQ-complement) (simp)
  then have regular (– (– (SUBSEQ A))) by (rule closure-complement)
  then show regular (SUBSEQ A) by simp
qed

end

```

## 7 Tools for showing non-regularity of a language

```

theory Non-Regular-Languages
  imports Myhill
begin

```

### 7.1 Auxiliary material

```

lemma bij-betw-image-quotient:
  bij-betw ( $\lambda y. f - \{y\}$ ) ( $f - A$ ) ( $A // \{(a,b). f a = f b\}$ )
  by (force simp: bij-betw-def inj-on-def image-image quotient-def)

lemma regular-Derivs-finite:
  fixes r :: 'a :: finite rexp
  shows finite (range ( $\lambda w. \text{Derivs } w (\text{lang } r)$ ))
proof –
  have ?thesis  $\longleftrightarrow$  finite (UNIV //  $\approx \text{lang } r$ )
  unfolding str-eq-conv-Derivs by (rule bij-betw-finite bij-betw-image-quotient)+
  also have ... by (subst Myhill-Nerode [symmetric]) auto
  finally show ?thesis .
qed

```

```

lemma Nil-in-Derivs-iff:  $\square \in \text{Derivs } w A \longleftrightarrow w \in A$ 
  by (auto simp: Derivs-def)

```

The following operation repeats a list  $n$  times (usually written as  $w^n$ ).

```

primrec repeat :: nat  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  repeat 0 xs = []
| repeat (Suc n) xs = xs @ repeat n xs

```

```

lemma repeat-Cons-left: repeat (Suc n) xs = xs @ repeat n xs by simp

```

```

lemma repeat-Cons-right: repeat (Suc n) xs = repeat n xs @ xs
  by (induction n) simp-all

```

```

lemma repeat-Cons-append-commute [simp]: repeat n xs @ xs = xs @ repeat n xs
  by (subst repeat-Cons-right [symmetric]) simp

```

```

lemma repeat-Cons-add [simp]: repeat (m + n) xs = repeat m xs @ repeat n xs
  by (induction m) simp-all

```



**lemma** *repeat-Nil* [simp]: *repeat n [] = []*  
**by** (*induction n*) *simp-all*

**lemma** *repeat-conv-replicate*: *repeat n xs = concat (replicate n xs)*  
**by** (*induction n*) *simp-all*

**lemma** *nth-prefixes* [simp]:  $n \leq \text{length } xs \implies \text{prefixes } xs ! n = \text{take } n \ xs$   
**by** (*induction xs arbitrary: n*) (*auto simp: nth-Cons split: nat.splits*)

**lemma** *nth-suffixes* [simp]:  $n \leq \text{length } xs \implies \text{suffixes } xs ! n = \text{drop } (\text{length } xs - n) \ xs$   
**by** (*subst suffixes-conv-prefixes*) (*simp-all add: rev-take*)

**lemma** *length-take-prefixes*:  
**assumes**  $xs \in \text{set } (\text{take } n \ (\text{prefixes } ys))$   
**shows**  $\text{length } xs < n$   
**proof** (*cases*  $n \leq \text{Suc } (\text{length } ys)$ )  
**case** *True*  
**with** *assms* **obtain**  $i$  **where**  $i < n \ \& \ xs = \text{take } i \ ys$   
**by** (*subst (asm) nth-image [symmetric]*) *auto*  
**thus** *?thesis* **by** *simp*  
**next**  
**case** *False*  
**with** *assms* **have** *prefix xs ys* **by** *simp*  
**hence**  $\text{length } xs \leq \text{length } ys$  **by** (*rule prefix-length-le*)  
**also from** *False* **have**  $\dots < n$  **by** *simp*  
**finally show** *?thesis* .  
**qed**

## 7.2 Non-regularity by giving an infinite set of equivalence classes

Non-regularity can be shown by giving an infinite set of non-equivalent words (w.r.t. the Myhill–Nerode relation).

**lemma** *not-regular-langI*:  
**assumes**  $\text{infinite } B \ \& \ x \ y. \ x \in B \implies y \in B \implies x \neq y \implies \exists w. \neg(x @ w \in A \iff y @ w \in A)$   
**shows**  $\neg \text{regular-lang } (A :: 'a :: \text{finite list set})$   
**proof** –  
**have**  $(\lambda w. \text{Derivs } w \ A) \text{ ' } B \subseteq \text{range } (\lambda w. \text{Derivs } w \ A)$  **by** *blast*  
**moreover from** *assms*(2) **have** *inj-on*  $(\lambda w. \text{Derivs } w \ A) \ B$   
**by** (*auto simp: inj-on-def Derivs-def*)  
**with** *assms*(1) **have** *infinite*  $((\lambda w. \text{Derivs } w \ A) \text{ ' } B)$   
**by** (*blast dest: finite-imageD*)  
**ultimately have** *infinite*  $(\text{range } (\lambda w. \text{Derivs } w \ A))$  **by** (*rule infinite-super*)  
**with** *regular-Derivs-finite* **show** *?thesis* **by** *blast*  
**qed**

**lemma** *not-regular-langI'*:  
**assumes** *infinite B*  $\bigwedge x y. x \in B \implies y \in B \implies x \neq y \implies \exists w. \neg(f\ x\ @\ w \in A$   
 $\longleftrightarrow f\ y\ @\ w \in A)$   
**shows**  $\neg$ *regular-lang* (*A* :: 'a :: finite list set)  
**proof** (*rule not-regular-langI*)  
**from** *assms*(2) **have** *inj-on f B* **by** (*force simp: inj-on-def*)  
**with**  $\langle$ *infinite B* $\rangle$  **show** *infinite (f ' B)* **by** (*simp add: finite-image-iff*)  
**qed** (*insert assms, auto*)

### 7.3 The Pumping Lemma

The Pumping lemma can be shown very easily from the Myhill–Nerode theorem: if we have a word whose length is more than the (finite) number of equivalence classes, then it must have two different prefixes in the same class and the difference between these two prefixes can then be “pumped”.

**lemma** *pumping-lemma-aux*:  
**fixes** *A* :: 'a list set  
**defines**  $\delta \equiv \lambda w. \text{Derivs } w\ A$   
**defines**  $n \equiv \text{card } (\text{range } \delta)$   
**assumes**  $z \in A$  *finite* (*range*  $\delta$ ) *length*  $z \geq n$   
**shows**  $\exists u\ v\ w. z = u\ @\ v\ @\ w \wedge \text{length } (u\ @\ v) \leq n \wedge v \neq [] \wedge (\forall i. u\ @\ \text{repeat } i\ v\ @\ w \in A)$   
**proof** –  
**define** *P* **where**  $P = \text{set } (\text{take } (\text{Suc } n) (\text{prefixes } z))$   
**from**  $\langle$ *length*  $z \geq n$  $\rangle$  **have** [*simp*]:  $\text{card } P = \text{Suc } n$   
**unfolding** *P-def* **by** (*subst distinct-card*) (*auto intro!: distinct-take*)  
**have** *length-le*: *length*  $y \leq n$  **if**  $y \in P$  **for**  $y$   
**using** *length-take-prefixes[OF that [unfolded P-def]]* **by** *simp*  
  
**have**  $\text{card } (\delta\ ' P) \leq \text{card } (\text{range } \delta)$  **by** (*intro card-mono assms*) *auto*  
**also from** *assms* **have**  $\dots < \text{card } P$  **by** *simp*  
**finally have**  $\neg$ *inj-on*  $\delta\ P$  **by** (*rule pigeonhole*)  
**then obtain**  $a\ b$  **where**  $a \in P\ b \in P\ a \neq b\ \text{Derivs } a\ A = \text{Derivs } b\ A$   
**by** (*auto simp: inj-on-def*  $\delta$ -def)  
**from**  $ab$  **have** *prefix-ab*: *prefix*  $a\ z$  *prefix*  $b\ z$  **by** (*auto simp: P-def dest: in-set-takeD*)  
**from**  $ab$  **have** *length-ab*: *length*  $a \leq n$  *length*  $b \leq n$   
**by** (*simp-all add: length-le*)  
  
**have** \*: *?thesis*  
**if**  $uz'$ : *prefix*  $u\ z'$  *prefix*  $z'\ z$  *length*  $z' \leq n$   
 $u \neq z'\ \text{Derivs } z'\ A = \text{Derivs } u\ A$  **for**  $u\ z'$   
**proof** –  
**from**  $\langle$ *prefix*  $u\ z'$  $\rangle$  **and**  $\langle$  $u \neq z'$  $\rangle$   
**obtain**  $v$  **where**  $v$  [*simp*]:  $z' = u\ @\ v\ v \neq []$   
**by** (*auto simp: prefix-def*)  
**from**  $\langle$ *prefix*  $z'\ z$  $\rangle$  **obtain**  $w$  **where** [*simp*]:  $z = u\ @\ v\ @\ w$   
**by** (*auto simp: prefix-def*)

hence  $[simp]: \text{Derivs } (\text{repeat } i \ v) \ (\text{Derivs } u \ A) = \text{Derivs } u \ A$  **for**  $i$   
 by (induction  $i$ ) (use  $uz'$  in  $simp\text{-all}$ )  
 have  $\text{Derivs } z \ A = \text{Derivs } (u \ @ \ \text{repeat } i \ v \ @ \ w) \ A$  **for**  $i$   
 using  $uz'$  by  $simp$   
 with  $\langle z \in A \rangle$  and  $uz'$  have  $\forall i. u \ @ \ \text{repeat } i \ v \ @ \ w \in A$   
 by ( $simp \text{ add: Nil-in-Derivs-iff [of - A, symmetric]}$ )  
 moreover have  $z = u \ @ \ v \ @ \ w$  by  $simp$   
 moreover from  $\langle \text{length } z' \leq n \rangle$  have  $\text{length } (u \ @ \ v) \leq n$  by  $simp$   
 ultimately show  $?thesis$  using  $\langle v \neq [] \rangle$  by  $blast$   
 qed

from  $prefix\text{-}ab$  have  $prefix \ a \ b \vee prefix \ b \ a$  by (rule  $prefix\text{-}same\text{-}cases$ )  
 with  $*[of \ a \ b]$  and  $*[of \ b \ a]$  and  $ab$  and  $prefix\text{-}ab$  and  $length\text{-}ab$  show  $?thesis$   
 by  $blast$   
 qed

**theorem pumping-lemma:**  
 fixes  $r :: 'a :: \text{finite rep}$   
 obtains  $n$  where  
 $\bigwedge z. z \in \text{lang } r \implies \text{length } z \geq n \implies$   
 $\exists u \ v \ w. z = u \ @ \ v \ @ \ w \wedge \text{length } (u \ @ \ v) \leq n \wedge v \neq [] \wedge (\forall i. u \ @ \ \text{repeat}$   
 $i \ v \ @ \ w \in \text{lang } r)$   
**proof** –  
 let  $?n = \text{card } (\text{range } (\lambda w. \text{Derivs } w \ (\text{lang } r)))$   
 have  $\exists u \ v \ w. z = u \ @ \ v \ @ \ w \wedge \text{length } (u \ @ \ v) \leq ?n \wedge v \neq [] \wedge (\forall i. u \ @ \ \text{repeat}$   
 $i \ v \ @ \ w \in \text{lang } r)$   
 if  $z \in \text{lang } r$  and  $\text{length } z \geq ?n$  **for**  $z$   
 by (intro  $pumping\text{-}lemma\text{-}aux[of \ z]$  that  $regular\text{-}Derivs\text{-}finite$ )  
 thus  $?thesis$  by (rule that)  
 qed

**corollary pumping-lemma-not-regular-lang:**  
 fixes  $A :: 'a :: \text{finite list set}$   
 assumes  $\bigwedge n. \text{length } (z \ n) \geq n$  and  $\bigwedge n. z \ n \in A$   
 assumes  $\bigwedge n \ u \ v \ w. z \ n = u \ @ \ v \ @ \ w \implies \text{length } (u \ @ \ v) \leq n \implies v \neq [] \implies$   
 $u \ @ \ \text{repeat } (i \ n \ u \ v \ w) \ v \ @ \ w \notin A$   
 shows  $\neg \text{regular-lang } A$   
**proof**  
 assume  $\text{regular-lang } A$   
 then obtain  $r$  where  $\text{lang } r = A$  by  $blast$   
 from  $pumping\text{-}lemma[of \ r]$  obtain  $n$   
 where  $z \ n \in \text{lang } r \implies n \leq \text{length } (z \ n) \implies$   
 $\exists u \ v \ w. z \ n = u \ @ \ v \ @ \ w \wedge \text{length } (u \ @ \ v) \leq n \wedge v \neq [] \wedge (\forall i. u \ @ \ \text{repeat } i$   
 $v \ @ \ w \in \text{lang } r)$   
 by  $metis$   
 from this and  $assms[of \ n]$  obtain  $u \ v \ w$   
 where  $z \ n = u \ @ \ v \ @ \ w$  and  $\text{length } (u \ @ \ v) \leq n$  and  $v \neq []$  and  
 $\bigwedge i. u \ @ \ \text{repeat } i \ v \ @ \ w \in \text{lang } r$  by (auto  $simp: r$ )  
 with  $assms(3)[of \ n \ u \ v \ w]$  show  $\text{False}$  by (auto  $simp: r$ )

qed

## 7.4 Examples

The language of all words containing the same number of *as* and *bs* is not regular.

**lemma**  $\neg$ regular-lang  $\{w. \text{length } (\text{filter id } w) = \text{length } (\text{filter Not } w)\}$  (is  $\neg$ regular-lang ?A)

**proof** (rule not-regular-langI')

show infinite (UNIV :: nat set) by simp

next

fix  $m\ n :: \text{nat}$  assume  $m \neq n$

hence replicate  $m$  True @ replicate  $m$  False  $\in$  ?A and

replicate  $n$  True @ replicate  $m$  False  $\notin$  ?A by simp-all

thus  $\exists w. \neg(\text{replicate } m \text{ True @ } w \in ?A \longleftrightarrow \text{replicate } n \text{ True @ } w \in ?A)$  by

blast

qed

The language  $\{a^i b^i \mid i \in \mathbb{N}\}$  is not regular.

**lemma** eq-replicate-iff:

$xs = \text{replicate } n\ x \longleftrightarrow \text{set } xs \subseteq \{x\} \wedge \text{length } xs = n$

using replicate-length-same[of  $xs\ x$ ] by (subst eq-commute) auto

**lemma** replicate-eq-appendE:

assumes  $xs @ ys = \text{replicate } n\ x$

obtains  $i\ j$  where  $n = i + j$   $xs = \text{replicate } i\ x$   $ys = \text{replicate } j\ x$

**proof** –

have  $n = \text{length } (\text{replicate } n\ x)$  by simp

also note assms [symmetric]

finally have  $n = \text{length } xs + \text{length } ys$  by simp

moreover have  $xs = \text{replicate } (\text{length } xs)\ x$  and  $ys = \text{replicate } (\text{length } ys)\ x$

using assms by (auto simp: eq-replicate-iff)

ultimately show ?thesis using that[of  $\text{length } xs\ \text{length } ys$ ] by auto

qed

**lemma**  $\neg$ regular-lang (range  $(\lambda i. \text{replicate } i\ \text{True} @ \text{replicate } i\ \text{False})$ ) (is  $\neg$ regular-lang ?A)

**proof** (rule pumping-lemma-not-regular-lang)

fix  $n :: \text{nat}$

show  $\text{length } (\text{replicate } n\ \text{True} @ \text{replicate } n\ \text{False}) \geq n$  by simp

show  $\text{replicate } n\ \text{True} @ \text{replicate } n\ \text{False} \in ?A$  by simp

next

fix  $n :: \text{nat}$  and  $u\ v\ w :: \text{bool list}$

assume decomp:  $\text{replicate } n\ \text{True} @ \text{replicate } n\ \text{False} = u @ v @ w$

and length-le:  $\text{length } (u @ v) \leq n$  and v-ne:  $v \neq []$

define  $w1\ w2$  where  $w1 = \text{take } (n - \text{length } (u @ v))\ w$  and  $w2 = \text{drop } (n - \text{length } (u @ v))\ w$

have w-decomp:  $w = w1 @ w2$  by (simp add: w1-def w2-def)

```

have replicate n True = take n (replicate n True @ replicate n False) by simp
also note decomp
also have take n (u @ v @ w) = u @ v @ w1 using length-le by (simp add:
w1-def)
finally have u @ v @ w1 = replicate n True by simp
then obtain i j k
  where uvw1: n = i + j + k u = replicate i True v = replicate j True w1 =
replicate k True
  by (elim replicate-eq-appendE) auto

have replicate n False = drop n (replicate n True @ replicate n False) by simp
also note decomp
finally have [simp]: w2 = replicate n False using length-le by (simp add: w2-def)

have u @ repeat (Suc (Suc 0)) v @ w = replicate (n + j) True @ replicate n
False
  by (simp add: uvw1 w-decomp replicate-add [symmetric])
also have ...  $\notin$  ?A
proof safe
  fix m assume *: replicate (n + j) True @ replicate n False =
    replicate m True @ replicate m False (is ?lhs = ?rhs)
  have n = length (filter Not ?lhs) by simp
  also note *
  also have length (filter Not ?rhs) = m by simp
  finally have [simp]: m = n by simp
  from * have v = [] by (simp add: uvw1)
  with  $\langle v \neq [] \rangle$  show False by contradiction
qed
finally show u @ repeat (Suc (Suc 0)) v @ w  $\notin$  ?A .
qed

end

```

## References

- [1] V. Antimirov. Partial Derivatives of Regular Expressions and Finite Automata Constructions. *Theoretical Computer Science*, 155:291–319, 1995.
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