

# Effect Polymorphism in Higher-Order Logic

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## Abstract

The notion of a *monad* cannot be expressed within higher-order logic (HOL) due to type system restrictions. We show that if a monad is used with values of only one type, this notion *can* be formalised in HOL. Based on this idea, we develop a library of effect specifications and implementations of monads and monad transformers. Hence, we can abstract over the concrete monad in HOL definitions and thus use the same definition for different (combinations of) effects. We illustrate the usefulness of effect polymorphism with a monadic interpreter for a simple language.

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```

theory Monomorphic-Monad imports
  HOL-Probability.Probability
  HOL-Library.Multiset
  HOL-Library.Countable-Set-Type
begin

lemma (in comp-fun-idem) fold-set-union:
  [| finite A; finite B |] ==> Finite-Set.fold f x (A ∪ B) = Finite-Set.fold f (Finite-Set.fold f x A) B
  ⟨proof⟩

lemma (in comp-fun-idem) ffold-set-union: ffold f x (A ∪| B) = ffold f (ffold f x A) B
  including fset.lifting ⟨proof⟩

lemma relcompp-top-top [simp]: top OO top = top
  ⟨proof⟩

```

$\langle ML \rangle$

**named-theorems** monad-unfold Defining equations for overloaded monad operations

**context** includes lifting-syntax **begin**

**inductive** rel-itself :: 'a itself  $\Rightarrow$  'b itself  $\Rightarrow$  bool  
**where** rel-itself TYPE(-) TYPE(-)

**lemma** type-parametric [transfer-rule]: rel-itself TYPE('a) TYPE('b)  
 ⟨proof⟩

**lemma** plus-multiset-parametric [transfer-rule]:  
 $(\text{rel-mset } A ==> \text{rel-mset } A ==> \text{rel-mset } A) (+) (+)$   
 ⟨proof⟩

**lemma** Mempty-parametric [transfer-rule]: rel-mset A {#} {#}  
 ⟨proof⟩

**lemma** fold-mset-parametric:  
**assumes** 12:  $(A ==> B ==> B) f1 f2$   
**and** comp-fun-commute f1 comp-fun-commute f2  
**shows**  $(B ==> \text{rel-mset } A ==> B) (\text{fold-mset } f1) (\text{fold-mset } f2)$   
 ⟨proof⟩

**lemma** rel-fset-induct [consumes 1, case-names empty step, induct pred: rel-fset]:  
**assumes** XY: rel-fset A X Y

```

and empty:  $P \{\|\} \{\|\}$ 
and step:  $\bigwedge X Y x y. [\![ \text{rel-fset } A X Y; P X Y; A x y; x \notin X \vee y \notin Y ]\!] \implies P (\text{finsert } x X) (\text{finsert } y Y)$ 
shows  $P X Y$ 
⟨proof⟩

lemma ffold-parametric:
assumes 12:  $(A \implies B \implies B) f1 f2$ 
and comp-fun-idem  $f1$  comp-fun-idem  $f2$ 
shows  $(B \implies \text{rel-fset } A \implies B) (\text{ffold } f1) (\text{ffold } f2)$ 
⟨proof⟩

end

lemma rel-set-Grp:  $\text{rel-set} (\text{BNF-Def.Grp } A f) = \text{BNF-Def.Grp } \{X. X \subseteq A\}$ 
( $\text{image } f$ )
⟨proof⟩

context includes cset.lifting begin

lemma cUNION-assoc:  $c\text{UNION} (c\text{UNION } A f) g = c\text{UNION } A (\lambda x. c\text{UNION} (f x) g)$ 
⟨proof⟩

lemma cUnion-cempty [simp]:  $c\text{Union} \text{cempty} = \text{cempty}$ 
⟨proof⟩

lemma cUNION-cempty [simp]:  $c\text{UNION} \text{cempty } f = \text{cempty}$ 
⟨proof⟩

lemma cUnion-cinsert:  $c\text{Union} (\text{cinsert } x A) = c\text{Un } x (c\text{Union } A)$ 
⟨proof⟩

lemma cUNION-cinsert:  $c\text{UNION} (\text{cinsert } x A) f = c\text{Un } (f x) (c\text{UNION } A f)$ 
⟨proof⟩

lemma cUnion-csingle [simp]:  $c\text{Union} (\text{csingle } x) = x$ 
⟨proof⟩

lemma cUNION-csingle [simp]:  $c\text{UNION} (\text{csingle } x) f = f x$ 
⟨proof⟩

lemma cUNION-csingle2 [simp]:  $c\text{UNION } A \text{ csingle} = A$ 
⟨proof⟩

lemma cUNION-cUn:  $c\text{UNION} (\text{cUn } A B) f = c\text{Un } (c\text{UNION } A f) (c\text{UNION } B f)$ 
⟨proof⟩

```

```

lemma cUNION-parametric [transfer-rule]: includes lifting-syntax shows
  (rel-cset A ===> (A ===> rel-cset B) ===> rel-cset B) cUNION cUNION
  ⟨proof⟩

end

locale three =
  fixes tytok :: 'a itself
  assumes ex-three: ∃ x y z :: 'a. x ≠ y ∧ x ≠ z ∧ y ≠ z
begin

  definition threes :: 'a × 'a × 'a where
    threes = (SOME (x, y, z). x ≠ y ∧ x ≠ z ∧ y ≠ z)
  definition three1 :: 'a ⟨1⟩ where 1 = fst threes
  definition three2 :: 'a ⟨2⟩ where 2 = fst (snd threes)
  definition three3 :: 'a ⟨3⟩ where 3 = snd (snd (threes))

  lemma three-neq-aux: 1 ≠ 2 1 ≠ 3 2 ≠ 3
  ⟨proof⟩

  lemmas three-neq [simp] = three-neq-aux three-neq-aux[symmetric]

  inductive rel-12-23 :: 'a ⇒ 'a ⇒ bool where
    rel-12-23 1 2
  | rel-12-23 2 3

  lemma bi-unique-rel-12-23 [simp, transfer-rule]: bi-unique rel-12-23
  ⟨proof⟩

  inductive rel-12-21 :: 'a ⇒ 'a ⇒ bool where
    rel-12-21 1 2
  | rel-12-21 2 1

  lemma bi-unique-rel-12-21 [simp, transfer-rule]: bi-unique rel-12-21
  ⟨proof⟩

end

lemma bernoulli-pmf-0: bernoulli-pmf 0 = return-pmf False
⟨proof⟩

lemma bernoulli-pmf-1: bernoulli-pmf 1 = return-pmf True
⟨proof⟩

lemma bernoulli-Not: map-pmf Not (bernoulli-pmf r) = bernoulli-pmf (1 - r)
⟨proof⟩

lemma pmf-eqI-avoid: p = q if ⋀ i. i ≠ x ==> pmf p i = pmf q i
⟨proof⟩

```

## 2 Locales for monomorphic monads

### 2.1 Plain monad

```

type-synonym ('a, 'm) bind = 'm  $\Rightarrow$  ('a  $\Rightarrow$  'm)  $\Rightarrow$  'm
type-synonym ('a, 'm) return = 'a  $\Rightarrow$  'm

locale monad-base =
  fixes return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
begin

  primrec sequence :: 'm list  $\Rightarrow$  ('a list  $\Rightarrow$  'm)  $\Rightarrow$  'm
  where
    sequence [] f = f []
  | sequence (x # xs) f = bind x (λa. sequence xs (f ∘ (#) a))

  definition lift :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'm  $\Rightarrow$  'm
  where lift f x = bind x (λx. return (f x))

end

declare
  monad-base.sequence.simps [code]
  monad-base.lift-def [code]

context includes lifting-syntax begin

  lemma sequence-parametric [transfer-rule]:
    ((M ==> (A ==> M) ==> M) ==> list-all2 M ==> (list-all2 A
    ==> M) ==> M) monad-base.sequence monad-base.sequence
    ⟨proof⟩

  lemma lift-parametric [transfer-rule]:
    ((A ==> M) ==> (M ==> (A ==> M) ==> M) ==> (A ==>
    A) ==> M ==> M) monad-base.lift monad-base.lift
    ⟨proof⟩

end

locale monad = monad-base return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  +
  assumes bind-assoc:  $\bigwedge(x :: 'm) f g. \text{bind}(\text{bind } x f) g = \text{bind } x (\lambda y. \text{bind}(f y) g)$ 
  and return-bind:  $\bigwedge x f. \text{bind}(\text{return } x) f = f x$ 
  and bind-return:  $\bigwedge x. \text{bind } x \text{return} = x$ 
begin

```

```

lemma bind-lift [simp]: bind (lift f x) g = bind x (g o f)
⟨proof⟩

lemma lift-bind [simp]: lift f (bind m g) = bind m (λx. lift f (g x))
⟨proof⟩

end

```

## 2.2 State

```

type-synonym ('s, 'm) get = ('s ⇒ 'm) ⇒ 'm
type-synonym ('s, 'm) put = 's ⇒ 'm ⇒ 'm

```

```

locale monad-state-base = monad-base return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  +
  fixes get :: ('s, 'm) get
  and put :: ('s, 'm) put
begin

  definition update :: ('s ⇒ 's) ⇒ 'm ⇒ 'm
  where update f m = get (λs. put (f s) m)

end

```

```

declare monad-state-base.update-def [code]

```

```

lemma update-parametric [transfer-rule]: includes lifting-syntax shows
  (((S ==> M) ==> M) ==> (S ==> M ==> M) ==> (S ==>
  S) ==> M ==> M)
    monad-state-base.update monad-state-base.update
⟨proof⟩

```

```

locale monad-state = monad-state-base return bind get put + monad return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and get :: ('s, 'm) get
  and put :: ('s, 'm) put
  +
  assumes put-get: ∀f. put s (get f) = put s (f s)
  and get-get: ∀f. get (λs. get (f s)) = get (λs. f s s)
  and put-put: put s (put s' m) = put s' m
  and get-put: get (λs. put s m) = m
  and get-const: ∀m. get (λ_. m) = m
  and bind-get: ∀f g. bind (get f) g = get (λs. bind (f s) g)
  and bind-put: ∀f. bind (put s m) f = put s (bind m f)
begin

```

```

lemma put-update: put s (update f m) = put (f s) m
⟨proof⟩

lemma update-put: update f (put s m) = put s m
⟨proof⟩

lemma bind-update: bind (update f m) g = update f (bind m g)
⟨proof⟩

lemma update-get: update f (get g) = get (update f ∘ g ∘ f)
⟨proof⟩

lemma update-const: update (λ-. s) m = put s m
⟨proof⟩

lemma update-update: update f (update g m) = update (g ∘ f) m
⟨proof⟩

lemma update-id: update id m = m
⟨proof⟩

end

```

### 2.3 Failure

```

type-synonym 'm fail = 'm

locale monad-fail-base = monad-base return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  +
  fixes fail :: 'm fail
begin

  definition assert :: ('a ⇒ bool) ⇒ 'm ⇒ 'm
  where assert P m = bind m (λx. if P x then return x else fail)

  end

  declare monad-fail-base.assert-def [code]

lemma assert-parametric [transfer-rule]: includes lifting-syntax shows
  ((A ==> M) ==> (M ==> (A ==> M) ==> M) ==> M ==>
  (A ==> (=)) ==> M ==> M)
    monad-fail-base.assert monad-fail-base.assert
  ⟨proof⟩

locale monad-fail = monad-fail-base return bind fail + monad return bind
  for return :: ('a, 'm) return

```

```

and bind :: ('a, 'm) bind
and fail :: 'm fail
+
assumes fail-bind:  $\bigwedge f. \text{bind } \text{fail } f = \text{fail}$ 
begin

lemma assert-fail: assert P fail = fail
⟨proof⟩

end

2.4 Exception

type-synonym 'm catch = 'm  $\Rightarrow$  'm  $\Rightarrow$  'm

locale monad-catch-base = monad-fail-base return bind fail
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
and fail :: 'm fail
+
fixes catch :: 'm catch

locale monad-catch = monad-catch-base return bind fail catch + monad-fail return
bind fail
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
and fail :: 'm fail
and catch :: 'm catch
+
assumes catch-return: catch (return x) m = return x
and catch-fail: catch fail m = m
and catch-fail2: catch m fail = m
and catch-assoc: catch (catch m m') m'' = catch m (catch m' m'')
begin

locale monad-catch-state = monad-catch return bind fail catch + monad-state re-
turn bind get put
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
and fail :: 'm fail
and catch :: 'm catch
and get :: ('s, 'm) get
and put :: ('s, 'm) put
+
assumes catch-get: catch (get f) m = get ( $\lambda s. \text{catch } (f s)$ ) m
and catch-put: catch (put s m) m' = put s (catch m m')
begin

lemma catch-update: catch (update f m) m' = update f (catch m m')
⟨proof⟩

```

```
end
```

## 2.5 Reader

As ask takes a continuation, we have to restate the monad laws for ask

```
type-synonym ('r, 'm) ask = ('r ⇒ 'm) ⇒ 'm
```

```
locale monad-reader-base = monad-base return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  +
  fixes ask :: ('r, 'm) ask

locale monad-reader = monad-reader-base return bind ask + monad return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and ask :: ('r, 'm) ask
  +
  assumes ask-ask: ∀f. ask (λr. ask (f r)) = ask (λr. f r r)
  and ask-const: ask (λ-. m) = m
  and bind-ask: ∀f g. bind (ask f) g = ask (λr. bind (f r) g)
  and bind-ask2: ∀f. bind m (λx. ask (f x)) = ask (λr. bind m (λx. f x r))
begin

lemma ask-bind: ask (λr. bind (f r) (g r)) = bind (ask f) (λx. ask (λr. g r x))
⟨proof⟩

end

locale monad-reader-state =
  monad-reader return bind ask +
  monad-state return bind get put
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and ask :: ('r, 'm) ask
  and get :: ('s, 'm) get
  and put :: ('s, 'm) put
  +
  assumes ask-get: ∀f. ask (λr. get (f r)) = get (λs. ask (λr. f r s))
  and put-ask: ∀f. put s (ask f) = ask (λr. put s (f r))
```

## 2.6 Probability

```
type-synonym ('p, 'm) sample = 'p pmf ⇒ ('p ⇒ 'm) ⇒ 'm
```

```
locale monad-prob-base = monad-base return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
```

```

+
fixes sample :: ('p, 'm) sample

locale monad-prob = monad return bind + monad-prob-base return bind sample
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and sample :: ('p, 'm) sample
+
assumes sample-const:  $\bigwedge p\ m.\ sample\ p\ (\lambda\_.\ m) = m$ 
  and sample-return-pmf:  $\bigwedge x\ f.\ sample\ (\text{return-pmf } x)\ f = f\ x$ 
  and sample-bind-pmf:  $\bigwedge p\ f\ g.\ sample\ (\text{bind-pmf } p\ f)\ g = sample\ p\ (\lambda x.\ sample\ (f\ x)\ g)$ 
  and sample-commute:  $\bigwedge p\ q\ f.\ sample\ p\ (\lambda x.\ sample\ q\ (f\ x)) = sample\ q\ (\lambda y.\ sample\ p\ (\lambda x.\ f\ x\ y))$ 
— We'd like to state that we can combine independent samples rather than just
commute them, but that's not possible with a monomorphic sampling operation
  and bind-sample1:  $\bigwedge p\ f\ g.\ bind\ (\sample\ p\ f)\ g = sample\ p\ (\lambda x.\ bind\ (f\ x)\ g)$ 
  and bind-sample2:  $\bigwedge m\ f\ p.\ bind\ m\ (\lambda y.\ sample\ p\ (f\ y)) = sample\ p\ (\lambda x.\ bind\ m\ (\lambda y.\ f\ y\ x))$ 
  and sample-parametric:  $\bigwedge R.\ \text{bi-unique } R \implies \text{rel-fun} (\text{rel-pmf } R) (\text{rel-fun} (\text{rel-fun } R\ (=))\ (=))\ sample\ sample$ 
begin

lemma sample-cong:  $(\bigwedge x.\ x \in \text{set-pmf } p \implies f\ x = g\ x) \implies sample\ p\ f = sample\ q\ g$  if  $p = q$ 
  ⟨proof⟩

end

```

We can implement binary probabilistic choice using *sample* provided that the sample space contains at least three elements.

```

locale monad-prob3 = monad-prob return bind sample + three TYPE('p)
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and sample :: ('p, 'm) sample
begin

definition pchoose :: real  $\Rightarrow$  'm  $\Rightarrow$  'm  $\Rightarrow$  'm where
  pchoose r m m' = sample (map-pmf ( $\lambda b.$  if  $b$  then 1 else 2) (bernoulli-pmf r))
    ( $\lambda x.$  if  $x = 1$  then  $m$  else  $m'$ )

abbreviation pchoose-syntax :: 'm  $\Rightarrow$  real  $\Rightarrow$  'm  $\Rightarrow$  'm ( $\triangleleft$  -  $\triangleleft$  -  $\triangleright$  -  $\triangleright$  [100, 0, 100]
99) where
   $m \triangleleft r \triangleright m' \equiv pchoose\ r\ m\ m'$ 

lemma pchoose-0:  $m \triangleleft 0 \triangleright m' = m'$ 
  ⟨proof⟩

lemma pchoose-1:  $m \triangleleft 1 \triangleright m' = m$ 

```

```

⟨proof⟩

lemma pchoose-idemp:  $m \triangleleft r \triangleright m = m$ 
⟨proof⟩

lemma pchoose-bind1:  $\text{bind } (\text{bind } (m \triangleleft r \triangleright m') f) = \text{bind } m f \triangleleft r \triangleright \text{bind } m' f$ 
⟨proof⟩

lemma pchoose-bind2:  $\text{bind } m (\lambda x. f x \triangleleft p \triangleright g x) = \text{bind } m f \triangleleft p \triangleright \text{bind } m g$ 
⟨proof⟩

lemma pchoose-commute:  $m \triangleleft 1 - r \triangleright m' = m' \triangleleft r \triangleright m$ 
⟨proof⟩

lemma pchoose-assoc:  $m \triangleleft p \triangleright (m' \triangleleft q \triangleright m'') = (m \triangleleft r \triangleright m') \triangleleft s \triangleright m''$  (is ?lhs = ?rhs)
if  $\min 1 (\max 0 p) = \min 1 (\max 0 r) * \min 1 (\max 0 s)$ 
and  $1 - \min 1 (\max 0 s) = (1 - \min 1 (\max 0 p)) * (1 - \min 1 (\max 0 q))$ 
⟨proof⟩

lemma pchoose-assoc':  $m \triangleleft p \triangleright (m' \triangleleft q \triangleright m'') = (m \triangleleft r \triangleright m') \triangleleft s \triangleright m''$ 
if  $p = r * s$  and  $1 - s = (1 - p) * (1 - q)$ 
and  $0 \leq p \leq 1 \ 0 \leq q \leq 1 \ 0 \leq r \leq 1 \ 0 \leq s \leq 1$ 
⟨proof⟩

end

locale monad-state-prob = monad-state return bind get put + monad-prob return
bind sample
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
and get :: ('s, 'm) get
and put :: ('s, 'm) put
and sample :: ('p, 'm) sample
+
assumes sample-get: sample p (λx. get (f x)) = get (λs. sample p (λx. f x s))
begin

lemma sample-put: sample p (λx. put s (m x)) = put s (sample p m)
⟨proof⟩

lemma sample-update: sample p (λx. update f (m x)) = update f (sample p m)
⟨proof⟩

end

```

## 2.7 Nondeterministic choice

### 2.7.1 Binary choice

**type-synonym**  $'m\ alt = 'm \Rightarrow 'm \Rightarrow 'm$

```

locale monad-alt-base = monad-base return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  +
  fixes alt :: 'm alt

locale monad-alt = monad return bind + monad-alt-base return bind alt
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and alt :: 'm alt
  +
  — Laws taken from Gibbons, Hinze: Just do it
  assumes alt-assoc: alt (alt m1 m2) m3 = alt m1 (alt m2 m3)
  and bind-alt1: bind (alt m m') f = alt (bind m f) (bind m' f)

locale monad-fail-alt = monad-fail return bind fail + monad-alt return bind alt
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and fail :: 'm fail
  and alt :: 'm alt
  +
  assumes alt-fail1: alt fail m = m
  and alt-fail2: alt m fail = m
begin

lemma assert-alt: assert P (alt m m') = alt (assert P m) (assert P m')
  ⟨proof⟩

end

locale monad-state-alt = monad-state return bind get put + monad-alt return bind alt
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and get :: ('s, 'm) get
  and put :: ('s, 'm) put
  and alt :: 'm alt
  +
  assumes alt-get: alt (get f) (get g) = get (λx. alt (f x) (g x))
  and alt-put: alt (put s m) (put s m') = put s (alt m m')
  — Unlike for sample, we must require both alt-get and alt-put because we do not
  require that bind right-distributes over alt.
begin

lemma alt-update: alt (update f m) (update f m') = update f (alt m m')
```

```
 $\langle proof \rangle$ 
```

```
end
```

### 2.7.2 Countable choice

```
type-synonym ('c, 'm) altc = 'c cset  $\Rightarrow$  ('c  $\Rightarrow$  'm)  $\Rightarrow$  'm
```

```
locale monad-altc-base = monad-base return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  +
  fixes altc :: ('c, 'm) altc
begin

definition fail :: 'm fail where fail = altc cempty ( $\lambda$ -. undefined)

end

declare monad-altc-base.fail-def [code]

locale monad-altc = monad return bind + monad-altc-base return bind altc
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and altc :: ('c, 'm) altc
  +
  assumes bind-altc1:  $\bigwedge C g f. bind (altc C g) f = altc C (\lambda c. bind (g c) f)$ 
  and altc-single:  $\bigwedge x f. altc (csingle x) f = f x$ 
  and altc-cUNION:  $\bigwedge C f g. altc (cUNION C f) g = altc C (\lambda x. altc (f x) g)$ 
  — We do not assume altc-const like for sample because the choice set might be empty
  and altc-parametric:  $\bigwedge R. bi\text{-}unique R \implies rel\text{-}fun (rel\text{-}cset R) (rel\text{-}fun (rel\text{-}fun R (=)) (=)) altc altc$ 
begin
```

```
lemma altc-cong: cBall C ( $\lambda x. f x = g x$ )  $\implies$  altc C f = altc C g
   $\langle proof \rangle$ 
```

```
lemma monad-fail [locale-witness]: monad-fail return bind fail
   $\langle proof \rangle$ 
```

```
end
```

We can implement *alt* via *altc* only if we know that there are sufficiently many elements in the choice type '*c*'. For the associativity law, we need at least three elements.

```
locale monad-altc3 = monad-altc return bind altc + three TYPE('c)
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
```

```

and altc :: ('c, 'm) altc
begin

definition alt :: 'm alt
where alt m1 m2 = altc (cinsert 1 (csingle 2)) ( $\lambda c.$  if  $c = 1$  then  $m1$  else  $m2$ )

lemma monad-alt: monad-alt return bind alt
⟨proof⟩ including cset.lifting ⟨proof⟩ including cset.lifting ⟨proof⟩

end

locale monad-state-altc =
monad-state return bind get put +
monad-altc return bind altc
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
and get :: ('s, 'm) get
and put :: ('s, 'm) put
and altc :: ('c, 'm) altc
+
assumes altc-get:  $\bigwedge C f.$  altc C ( $\lambda c.$  get (f c)) = get ( $\lambda s.$  altc C ( $\lambda c.$  f c s))
and altc-put:  $\bigwedge C f.$  altc C ( $\lambda c.$  put s (f c)) = put s (altc C f)

```

## 2.8 Writer monad

```

type-synonym ('w, 'm) tell = 'w  $\Rightarrow$  'm  $\Rightarrow$  'm

locale monad-writer-base = monad-base return bind
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
+
fixes tell :: ('w, 'm) tell

locale monad-writer = monad-writer-base return bind tell + monad return bind
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
and tell :: ('w, 'm) tell
+
assumes bind-tell:  $\bigwedge w m f.$  bind (tell w m) f = tell w (bind m f)

```

## 2.9 Resumption monad

```

type-synonym ('o, 'i, 'm) pause = 'o  $\Rightarrow$  ('i  $\Rightarrow$  'm)  $\Rightarrow$  'm

locale monad-resumption-base = monad-base return bind
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
+
fixes pause :: ('o, 'i, 'm) pause

```

```

locale monad-resumption = monad-resumption-base return bind pause + monad
return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and pause :: ('o, 'i, 'm) pause
  +
  assumes bind-pause: bind (pause out c) f = pause out ( $\lambda i. bind (c i) f$ )

```

## 2.10 Commutative monad

```

locale monad-commute = monad return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  +
  assumes bind-commute: bind m ( $\lambda x. bind m' (f x)$ ) = bind m' ( $\lambda y. bind m (\lambda x. f x y)$ )

```

## 2.11 Discardable monad

```

locale monad-discard = monad return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  +
  assumes bind-const: bind m ( $\lambda -. m'$ ) =  $m'$ 

```

## 2.12 Duplicable monad

```

locale monad-duplicate = monad return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  +
  assumes bind-duplicate: bind m ( $\lambda x. bind m (f x)$ ) = bind m ( $\lambda x. f x x$ )

```

# 3 Monad implementations

## 3.1 Identity monad

We need a type constructor such that we can overload the monad operations  
**datatype** 'a id = return-id (extract: 'a)

**lemmas** return-id-parametric = id.ctr-transfer

**lemma** rel-id-unfold:  
 $rel\text{-}id A (return\text{-}id x) m' \longleftrightarrow (\exists x'. m' = return\text{-}id x' \wedge A x x')$   
 $rel\text{-}id A m (return\text{-}id x') \longleftrightarrow (\exists x. m = return\text{-}id x \wedge A x x')$   
 $\langle proof \rangle$

**lemma** rel-id-expand: M (extract m) (extract m')  $\Longrightarrow$  rel-id M m m'  
 $\langle proof \rangle$

### 3.1.1 Plain monad

```
primrec bind-id :: ('a, 'a id) bind
  where bind-id (return-id x) f = f x
```

```
lemma extract-bind [simp]: extract (bind-id x f) = extract (f (extract x))
  ⟨proof⟩
```

```
lemma bind-id-parametric [transfer-rule]: includes lifting-syntax shows
  (rel-id A ===> (A ===> rel-id A) ===> rel-id A) bind-id bind-id
  ⟨proof⟩
```

```
lemma monad-id [locale-witness]: monad return-id bind-id
  ⟨proof⟩
```

```
lemma monad-commute-id [locale-witness]: monad-commute return-id bind-id
  ⟨proof⟩
```

```
lemma monad-discard-id [locale-witness]: monad-discard return-id bind-id
  ⟨proof⟩
```

```
lemma monad-duplicate-id [locale-witness]: monad-duplicate return-id bind-id
  ⟨proof⟩
```

## 3.2 Probability monad

We don't know of a sensible probability monad transformer, so we define the plain probability monad.

```
type-synonym 'a prob = 'a pmf
```

```
lemma monad-prob [locale-witness]: monad return-pmf bind-pmf
  ⟨proof⟩
```

```
lemma monad-prob-prob [locale-witness]: monad-prob return-pmf bind-pmf bind-pmf
  including lifting-syntax
  ⟨proof⟩
```

```
lemma monad-commute-prob [locale-witness]: monad-commute return-pmf bind-pmf
  ⟨proof⟩
```

```
lemma monad-discard-prob [locale-witness]: monad-discard return-pmf bind-pmf
  ⟨proof⟩
```

## 3.3 Resumption

We cannot define a resumption monad transformer because the codatatype recursion would have to go through a type variable. If we plug in something like unbounded non-determinism, then the HOL type does not exist.

```
codatatype ('o, 'i, 'a) resumption = is-Done: Done (result: 'a) | Pause (output: 'o) (resume: 'i ⇒ ('o, 'i, 'a) resumption)
```

### 3.3.1 Plain monad

```
definition return-resumption :: 'a ⇒ ('o, 'i, 'a) resumption
where return-resumption = Done
```

```
primcorec bind-resumption :: ('o, 'i, 'a) resumption ⇒ ('a ⇒ ('o, 'i, 'a) resumption) ⇒ ('o, 'i, 'a) resumption
where bind-resumption m f = (if is-Done m then f (result m) else Pause (output m) (λi. bind-resumption (resume m i) f))
```

```
definition pause-resumption :: 'o ⇒ ('i ⇒ ('o, 'i, 'a) resumption) ⇒ ('o, 'i, 'a)
resumption
where pause-resumption = Pause
```

```
lemma is-Done-return-resumption [simp]: is-Done (return-resumption x)
⟨proof⟩
```

```
lemma result-return-resumption [simp]: result (return-resumption x) = x
⟨proof⟩
```

```
lemma monad-resumption [locale-witness]: monad return-resumption bind-resumption
⟨proof⟩
```

```
lemma monad-resumption-resumption [locale-witness]:
monad-resumption return-resumption bind-resumption pause-resumption
⟨proof⟩
```

### 3.4 Failure and exception monad transformer

The phantom type variable '*a*' is needed to avoid hidden polymorphism when overloading the monad operations for the failure monad transformer.

```
datatype (plugins del: transfer) (phantom-optionT: 'a, set-optionT: 'm) optionT
=
OptionT (run-option: 'm)
for rel: rel-optionT'
map: map-optionT'
```

We define our own relator and mapper such that the phantom variable does not need any relation.

```
lemma phantom-optionT [simp]: phantom-optionT x = {}
⟨proof⟩
```

```
context includes lifting-syntax begin
```

```
lemma rel-optionT'-phantom: rel-optionT' A = rel-optionT' top
```

$\langle proof \rangle$

**lemma** *map-optionT'-phantom*: *map-optionT' f = map-optionT' undefined*  
 $\langle proof \rangle$

**definition** *map-optionT* ::  $('m \Rightarrow 'm') \Rightarrow ('a, 'm) optionT \Rightarrow ('b, 'm') optionT$   
**where** *map-optionT = map-optionT' undefined*

**definition** *rel-optionT* ::  $('m \Rightarrow 'm' \Rightarrow bool) \Rightarrow ('a, 'm) optionT \Rightarrow ('b, 'm') optionT \Rightarrow bool$   
**where** *rel-optionT = rel-optionT' top*

**lemma** *rel-optionTE*:  
  **assumes** *rel-optionT M m m'*  
  **obtains** *x y where m = OptionT x m' = OptionT y M x y*  
 $\langle proof \rangle$

**lemma** *rel-optionT-simps [simp]*: *rel-optionT M (OptionT m) (OptionT m') \longleftrightarrow M m m'*  
 $\langle proof \rangle$

**lemma** *rel-optionT-eq [relator-eq]*: *rel-optionT (=) = (=)*  
 $\langle proof \rangle$

**lemma** *rel-optionT-mono [relator-mono]*: *rel-optionT A \leq rel-optionT B if A \leq B*  
 $\langle proof \rangle$

**lemma** *rel-optionT-distr [relator-distr]*: *rel-optionT A OO rel-optionT B = rel-optionT (A OO B)*  
 $\langle proof \rangle$

**lemma** *rel-optionT-Grp*: *rel-optionT (BNF-Def.Grp A f) = BNF-Def.Grp {x. set-optionT x \subseteq A} (map-optionT f)*  
 $\langle proof \rangle$

**lemma** *OptionT-parametric [transfer-rule]*: *(M ==> rel-optionT M) OptionT*  
 $\langle proof \rangle$

**lemma** *run-option-parametric [transfer-rule]*: *(rel-optionT M ==> M) run-option*  
 $\langle proof \rangle$

**lemma** *case-optionT-parametric [transfer-rule]*:  
   $((M ==> X) ==> rel-optionT M ==> X) case-optionT case-optionT$   
 $\langle proof \rangle$

**lemma** *rec-optionT-parametric [transfer-rule]*:  
   $((M ==> X) ==> rel-optionT M ==> X) rec-optionT rec-optionT$

$\langle proof \rangle$

end

### 3.4.1 Plain monad, failure, and exceptions

context

fixes return :: ('a option, 'm) return

and bind :: ('a option, 'm) bind

begin

definition return-option :: ('a, ('a, 'm) optionT) return  
where  $return\text{-option } x = OptionT (return (Some x))$

primrec bind-option :: ('a, ('a, 'm) optionT) bind

where [code-unfold, monad-unfold]:

$bind\text{-option } (OptionT x) f =$

$OptionT (bind x (\lambda x. case x of None \Rightarrow return (None :: 'a option) | Some y \Rightarrow run\text{-option} (f y)))$

definition fail-option :: ('a, 'm) optionT fail

where [code-unfold, monad-unfold]:  $fail\text{-option} = OptionT (return None)$

definition catch-option :: ('a, 'm) optionT catch

where  $catch\text{-option } m h = OptionT (bind (run\text{-option} m) (\lambda x. if x = None then run\text{-option} h else return x))$

lemma run-bind-option:

$run\text{-option} (bind\text{-option } x f) = bind (run\text{-option} x) (\lambda x. case x of None \Rightarrow return None | Some y \Rightarrow run\text{-option} (f y))$

$\langle proof \rangle$

lemma run-return-option [simp]:  $run\text{-option} (return\text{-option} x) = return (Some x)$   
 $\langle proof \rangle$

lemma run-fail-option [simp]:  $run\text{-option} fail\text{-option} = return None$   
 $\langle proof \rangle$

lemma run-catch-option [simp]:

$run\text{-option} (catch\text{-option} m1 m2) = bind (run\text{-option} m1) (\lambda x. if x = None then run\text{-option} m2 else return x)$

$\langle proof \rangle$

context

assumes monad: monad return bind

begin

interpretation monad return bind  $\langle proof \rangle$

```

lemma monad-optionT [locale-witness]: monad return-option bind-option (is monad
?return ?bind)
⟨proof⟩

lemma monad-fail-optionT [locale-witness]:
monad-fail return-option bind-option fail-option
⟨proof⟩

lemma monad-catch-optionT [locale-witness]:
monad-catch return-option bind-option fail-option catch-option
⟨proof⟩

end

```

### 3.4.2 Reader

```

context
fixes ask :: ('r, 'm) ask
begin

definition ask-option :: ('r, ('a, 'm) optionT) ask
where [code-unfold, monad-unfold]: ask-option f = OptionT (ask (λr. run-option
(f r)))

lemma run-ask-option [simp]: run-option (ask-option f) = ask (λr. run-option (f
r))
⟨proof⟩

lemma monad-reader-optionT [locale-witness]:
assumes monad-reader return bind ask
shows monad-reader return-option bind-option ask-option
⟨proof⟩

end

```

### 3.4.3 State

```

context
fixes get :: ('s, 'm) get
and put :: ('s, 'm) put
begin

definition get-option :: ('s, ('a, 'm) optionT) get
where get-option f = OptionT (get (λs. run-option (f s)))

primrec put-option :: ('s, ('a, 'm) optionT) put
where put-option s (OptionT m) = OptionT (put s m)

lemma run-get-option [simp]:
run-option (get-option f) = get (λs. run-option (f s))

```

```

⟨proof⟩

lemma run-put-option [simp]:
  run-option (put-option s m) = put s (run-option m)
⟨proof⟩

context
  assumes state: monad-state return bind get put
begin

  interpretation monad-state return bind get put ⟨proof⟩

  lemma monad-state-optionT [locale-witness]:
    monad-state return-option bind-option get-option put-option
  ⟨proof⟩

  lemma monad-catch-state-optionT [locale-witness]:
    monad-catch-state return-option bind-option fail-option catch-option get-option
    put-option
  ⟨proof⟩

end

3.4.4 Probability

definition altc-sample-option :: ('x ⇒ ('b ⇒ 'm) ⇒ 'm) ⇒ 'x ⇒ ('b ⇒ ('a, 'm)
optionT) ⇒ ('a, 'm) optionT
  where altc-sample-option altc-sample p f = OptionT (altc-sample p (λx. run-option
(f x)))

lemma run-altc-sample-option [simp]: run-option (altc-sample-option altc-sample
p f) = altc-sample p (λx. run-option (f x))
⟨proof⟩

context
  fixes sample :: ('p, 'm) sample
begin

  abbreviation sample-option :: ('p, ('a, 'm) optionT) sample
  where sample-option ≡ altc-sample-option sample

  lemma monad-prob-optionT [locale-witness]:
    assumes monad-prob return bind sample
    shows monad-prob return-option bind-option sample-option
  ⟨proof⟩ including lifting-syntax
  ⟨proof⟩

  lemma monad-state-prob-optionT [locale-witness]:
    assumes monad-state-prob return bind get put sample

```

```

shows monad-state-prob return-option bind-option get-option put-option same-
ple-option
⟨proof⟩

end

```

### 3.4.5 Writer

```

context
  fixes tell :: ('w, 'm) tell
begin

definition tell-option :: ('w, ('a, 'm) optionT) tell
where tell-option w m = OptionT (tell w (run-option m))

lemma run-tell-option [simp]: run-option (tell-option w m) = tell w (run-option
m)
⟨proof⟩

lemma monad-writer-optionT [locale-witness]:
  assumes monad-writer return bind tell
  shows monad-writer return-option bind-option tell-option
⟨proof⟩

end

```

### 3.4.6 Binary Non-determinism

```

context
  fixes alt :: 'm alt
begin

definition alt-option :: ('a, 'm) optionT alt
where alt-option m1 m2 = OptionT (alt (run-option m1) (run-option m2))

lemma run-alt-option [simp]: run-option (alt-option m1 m2) = alt (run-option
m1) (run-option m2)
⟨proof⟩

lemma monad-alt-optionT [locale-witness]:
  assumes monad-alt return bind alt
  shows monad-alt return-option bind-option alt-option
⟨proof⟩

```

The *fail* of  $(-, -)$   $\text{optionT}$  does not combine with  $\text{alt}$  of the inner monad because  $(-, -)$   $\text{optionT}$  injects failures with *return None* into the inner monad.

```

lemma monad-state-alt-optionT [locale-witness]:
  assumes monad-state-alt return bind get put alt
  shows monad-state-alt return-option bind-option get-option put-option alt-option

```

$\langle proof \rangle$

end

### 3.4.7 Countable Non-determinism

context

  fixes  $altc :: ('c, 'm) altc$

begin

abbreviation  $altc\text{-}option :: ('c, ('a, 'm) optionT) altc$

where  $altc\text{-}option \equiv altc\text{-}sample\text{-}option altc$

lemma  $monad\text{-}altc\text{-}optionT$  [locale-witness]:

  assumes  $monad\text{-}altc return bind altc$

  shows  $monad\text{-}altc return\text{-}option bind\text{-}option altc\text{-}option$

$\langle proof \rangle$  including lifting-syntax

$\langle proof \rangle$

lemma  $monad\text{-}altc3\text{-}optionT$  [locale-witness]:

  assumes  $monad\text{-}altc3 return bind altc$

  shows  $monad\text{-}altc3 return\text{-}option bind\text{-}option altc\text{-}option$

$\langle proof \rangle$

lemma  $monad\text{-}state\text{-}altc\text{-}optionT$  [locale-witness]:

  assumes  $monad\text{-}state\text{-}altc return bind get put altc$

  shows  $monad\text{-}state\text{-}altc return\text{-}option bind\text{-}option get\text{-}option put\text{-}option altc\text{-}option$

$\langle proof \rangle$

end

end

### 3.4.8 Resumption

context

  fixes  $pause :: ('o, 'i, 'm) pause$

begin

definition  $pause\text{-}option :: ('o, 'i, ('a, 'm) optionT) pause$

where  $pause\text{-}option out c = OptionT (pause out (\lambda i. run\text{-}option (c i)))$

lemma  $run\text{-}pause\text{-}option$  [simp]:  $run\text{-}option (pause\text{-}option out c) = pause out (\lambda i.$

$run\text{-}option (c i))$

$\langle proof \rangle$

lemma  $monad\text{-}resumption\text{-}optionT$  [locale-witness]:

  assumes  $monad\text{-}resumption return bind pause$

  shows  $monad\text{-}resumption return\text{-}option bind\text{-}option pause\text{-}option$

$\langle proof \rangle$

```
end
```

### 3.4.9 Commutativity

```
lemma monad-commute-optionT [locale-witness]:  
  assumes monad-commute return bind  
  and monad-discard return bind  
  shows monad-commute return-option bind-option  
(proof)
```

### 3.4.10 Duplicability

```
lemma monad-duplicate-optionT [locale-witness]:  
  assumes monad-duplicate return bind  
  and monad-discard return bind  
  shows monad-duplicate return-option bind-option  
(proof)
```

```
end
```

### 3.4.11 Parametricity

```
context includes lifting-syntax begin
```

```
lemma return-option-parametric [transfer-rule]:  
  ((rel-option A ==> M) ==> A ==> rel-optionT M) return-option re-  
turn-option  
(proof)
```

```
lemma bind-option-parametric [transfer-rule]:  
  ((rel-option A ==> M) ==> (M ==> (rel-option A ==> M) ==>  
M)  
 ==> rel-optionT M ==> (A ==> rel-optionT M) ==> rel-optionT  
M)  
 bind-option bind-option  
(proof)
```

```
lemma fail-option-parametric [transfer-rule]:  
  ((rel-option A ==> M) ==> rel-optionT M) fail-option fail-option  
(proof)
```

```
lemma catch-option-parametric [transfer-rule]:  
  ((rel-option A ==> M) ==> (M ==> (rel-option A ==> M) ==>  
M)  
 ==> rel-optionT M ==> rel-optionT M ==> rel-optionT M)  
 catch-option catch-option  
(proof)
```

```
lemma ask-option-parametric [transfer-rule]:
```

```

(((R ==> M) ==> M) ==> (R ==> rel-optionT M) ==> rel-optionT
M) ask-option ask-option
⟨proof⟩

lemma get-option-parametric [transfer-rule]:
((S ==> M) ==> M) ==> (S ==> rel-optionT M) ==> rel-optionT
M) get-option get-option
⟨proof⟩

lemma put-option-parametric [transfer-rule]:
((S ==> M ==> M) ==> S ==> rel-optionT M ==> rel-optionT
M) put-option put-option
⟨proof⟩

lemma altc-sample-option-parametric [transfer-rule]:
((A ==> (P ==> M) ==> M) ==> A ==> (P ==> rel-optionT
M) ==> rel-optionT M)
altc-sample-option altc-sample-option
⟨proof⟩

lemma alt-option-parametric [transfer-rule]:
((M ==> M ==> M) ==> rel-optionT M ==> rel-optionT M ==>
rel-optionT M) alt-option alt-option
⟨proof⟩

lemma tell-option-parametric [transfer-rule]:
((W ==> M ==> M) ==> W ==> rel-optionT M ==> rel-optionT
M) tell-option tell-option
⟨proof⟩

lemma pause-option-parametric [transfer-rule]:
((Out ==> (In ==> M) ==> M) ==> Out ==> (In ==> rel-optionT
M) ==> rel-optionT M)
pause-option pause-option
⟨proof⟩

end

```

### 3.5 Reader monad transformer

```
datatype ('r, 'm) envT = EnvT (run-env: 'r ⇒ 'm)
```

```
context includes lifting-syntax begin
```

```
definition rel-envT :: ('r ⇒ 'r' ⇒ bool) ⇒ ('m ⇒ 'm' ⇒ bool) ⇒ ('r, 'm) envT
⇒ ('r', 'm') envT ⇒ bool
where rel-envT R M = BNF-Def.vimage2p run-env run-env (R ==> M)
```

```
lemma rel-envTI [intro!]: (R ==> M) f g ⇒ rel-envT R M (EnvT f) (EnvT
```

```

g)
⟨proof⟩

lemma rel-envT-simps: rel-envT R M (EnvT f) (EnvT g)  $\longleftrightarrow$  (R ==> M) f g
⟨proof⟩

lemma rel-envTE [cases pred]:
  assumes rel-envT R M m m'
  obtains f g where m = EnvT f m' = EnvT g (R ==> M) f g
⟨proof⟩

lemma rel-envT-eq [relator-eq]: rel-envT (=) (=) = (=)
⟨proof⟩

lemma rel-envT-mono [relator-mono]: [ R ≤ R'; M ≤ M' ]  $\implies$  rel-envT R' M ≤
  rel-envT R M'
⟨proof⟩

lemma EnvT-parametric [transfer-rule]: ((R ==> M) ==> rel-envT R M)
  EnvT EnvT
⟨proof⟩

lemma run-env-parametric [transfer-rule]: (rel-envT R M ==> R ==> M)
  run-env run-env
⟨proof⟩

lemma rec-envT-parametric [transfer-rule]:
  (((R ==> M) ==> X) ==> rel-envT R M ==> X) rec-envT rec-envT
⟨proof⟩

lemma case-envT-parametric [transfer-rule]:
  (((R ==> M) ==> X) ==> rel-envT R M ==> X) case-envT case-envT
⟨proof⟩

end

```

### 3.5.1 Plain monad and ask

```

context
  fixes return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
begin

definition return-env :: ('a, ('r, 'm) envT) return
  where return-env x = EnvT (λ-. return x)

primrec bind-env :: ('a, ('r, 'm) envT) bind
  where bind-env (EnvT x) f = EnvT (λr. bind (x r) (λy. run-env (f y) r))

```

```

definition ask-env :: ( $'r, ('r, 'm) \text{ env}T$ ) ask
where ask-env  $f = EnvT (\lambda r. run\text{-env} (f r) r)$ 

lemma run-bind-env [simp]: run-env (bind-env  $x f$ )  $r = bind (run\text{-env} x r) (\lambda y.$ 
 $run\text{-env} (f y) r)$ 
⟨proof⟩

lemma run-return-env [simp]: run-env (return-env  $x$ )  $r = return x$ 
⟨proof⟩

lemma run-ask-env [simp]: run-env (ask-env  $f$ )  $r = run\text{-env} (f r) r$ 
⟨proof⟩

context
assumes monad: monad return bind
begin

interpretation monad return bind :: ( $'a, 'm$ ) bind ⟨proof⟩

lemma monad-envT [locale-witness]: monad return-env bind-env
⟨proof⟩

lemma monad-reader-envT [locale-witness]:
monad-reader return-env bind-env ask-env
⟨proof⟩

end

3.5.2 Failure

context
fixes fail :: ' $m$  fail
begin

definition fail-env :: ( $'r, 'm$ ) envT fail
where fail-env =  $EnvT (\lambda r. fail)$ 

lemma run-fail-env [simp]: run-env fail-env  $r = fail$ 
⟨proof⟩

lemma monad-fail-envT [locale-witness]:
assumes monad-fail return bind fail
shows monad-fail return-env bind-env fail-env
⟨proof⟩

context
fixes catch :: ' $m$  catch
begin

```

```

definition catch-env :: ('r, 'm) envT catch
where catch-env m1 m2 = EnvT (λr. catch (run-env m1 r) (run-env m2 r))

lemma run-catch-env [simp]: run-env (catch-env m1 m2) r = catch (run-env m1
r) (run-env m2 r)
⟨proof⟩

lemma monad-catch-envT [locale-witness]:
assumes monad-catch return bind fail catch
shows monad-catch return-env bind-env fail-env catch-env
⟨proof⟩

end

end

```

### 3.5.3 State

```

context
fixes get :: ('s, 'm) get
and put :: ('s, 'm) put
begin

definition get-env :: ('s, ('r, 'm) envT) get
where get-env f = EnvT (λr. get (λs. run-env (f s) r))

definition put-env :: ('s, ('r, 'm) envT) put
where put-env s m = EnvT (λr. put s (run-env m r))

lemma run-get-env [simp]: run-env (get-env f) r = get (λs. run-env (f s) r)
⟨proof⟩

lemma run-put-env [simp]: run-env (put-env s m) r = put s (run-env m r)
⟨proof⟩

lemma monad-state-envT [locale-witness]:
assumes monad-state return bind get put
shows monad-state return-env bind-env get-env put-env
⟨proof⟩

```

### 3.5.4 Probability

```

context
fixes sample :: ('p, 'm) sample
begin

definition sample-env :: ('p, ('r, 'm) envT) sample
where sample-env p f = EnvT (λr. sample p (λx. run-env (f x) r))

```

```

lemma run-sample-env [simp]: run-env (sample-env p f) r = sample p ( $\lambda x.$  run-env
(f x) r)
⟨proof⟩

lemma monad-prob-envT [locale-witness]:
assumes monad-prob return bind sample
shows monad-prob return-env bind-env sample-env
⟨proof⟩ including lifting-syntax
⟨proof⟩

lemma monad-state-prob-envT [locale-witness]:
assumes monad-state-prob return bind get put sample
shows monad-state-prob return-env bind-env get-env put-env sample-env
⟨proof⟩

end

```

### 3.5.5 Binary Non-determinism

```

context
fixes alt :: ' $m$  alt
begin

definition alt-env :: (' $r$ , ' $m$ ) envT alt
where alt-env m1 m2 = EnvT ( $\lambda r.$  alt (run-env m1 r) (run-env m2 r))

lemma run-alt-env [simp]: run-env (alt-env m1 m2) r = alt (run-env m1 r)
(run-env m2 r)
⟨proof⟩

lemma monad-alt-envT [locale-witness]:
assumes monad-alt return bind alt
shows monad-alt return-env bind-env alt-env
⟨proof⟩

lemma monad-fail-alt-envT [locale-witness]:
fixes fail
assumes monad-fail-alt return bind fail alt
shows monad-fail-alt return-env bind-env (fail-env fail) alt-env
⟨proof⟩

lemma monad-state-alt-envT [locale-witness]:
assumes monad-state-alt return bind get put alt
shows monad-state-alt return-env bind-env get-env put-env alt-env
⟨proof⟩

end

```

### 3.5.6 Countable Non-determinism

```

context
  fixes altc :: ('c, 'm) altc
begin

  definition altc-env :: ('c, ('r, 'm) envT) altc
  where altc-env C f = EnvT (λr. altc C (λc. run-env (f c) r))

  lemma run-altc-env [simp]: run-env (altc-env C f) r = altc C (λc. run-env (f c) r)
  {proof}

  lemma monad-altc-envT [locale-witness]:
    assumes monad-altc return bind altc
    shows monad-altc return-env bind-env altc-env
  {proof} including lifting-syntax
  {proof}

  lemma monad-altc3-envT [locale-witness]:
    assumes monad-altc3 return bind altc
    shows monad-altc3 return-env bind-env altc-env
  {proof}

  lemma monad-state-altc-envT [locale-witness]:
    assumes monad-state-altc return bind get put altc
    shows monad-state-altc return-env bind-env get-env put-env altc-env
  {proof}

end

end

```

### 3.5.7 Resumption

```

context
  fixes pause :: ('o, 'i, 'm) pause
begin

  definition pause-env :: ('o, 'i, ('r, 'm) envT) pause
  where pause-env out c = EnvT (λr. pause out (λi. run-env (c i) r))

  lemma run-pause-env [simp]:
    run-env (pause-env out c) r = pause out (λi. run-env (c i) r)
  {proof}

  lemma monad-resumption-envT [locale-witness]:
    assumes monad-resumption return bind pause
    shows monad-resumption return-env bind-env pause-env
  {proof}

```

```
end
```

### 3.5.8 Writer

```
context
```

```
  fixes tell :: ('w, 'm) tell
begin
```

```
definition tell-env :: ('w, ('r, 'm) envT) tell
  where tell-env w m = EnvT (λr. tell w (run-env m r))
```

```
lemma run-tell-env [simp]: run-env (tell-env w m) r = tell w (run-env m r)
  ⟨proof⟩
```

```
lemma monad-writer-envT [locale-witness]:
```

```
  assumes monad-writer return bind tell
  shows monad-writer return-env bind-env tell-env
  ⟨proof⟩
```

```
end
```

### 3.5.9 Commutativity

```
lemma monad-commute-envT [locale-witness]:
```

```
  assumes monad-commute return bind
  shows monad-commute return-env bind-env
  ⟨proof⟩
```

### 3.5.10 Discardability

```
lemma monad-discard-envT [locale-witness]:
```

```
  assumes monad-discard return bind
  shows monad-discard return-env bind-env
  ⟨proof⟩
```

### 3.5.11 Duplicability

```
lemma monad-duplicate-envT [locale-witness]:
```

```
  assumes monad-duplicate return bind
  shows monad-duplicate return-env bind-env
  ⟨proof⟩
```

```
end
```

### 3.5.12 Parametricity

```
context includes lifting-syntax begin
```

```
lemma return-env-parametric [transfer-rule]:
```

$((A \implies M) \implies A \implies \text{rel-env}T R M)$  return-env return-env  
*(proof)*

**lemma** *bind-env-parametric [transfer-rule]*:  
 $((M \implies (A \implies M) \implies M) \implies \text{rel-env}T R M \implies (A \implies \text{rel-env}T R M) \implies \text{rel-env}T R M)$   
*bind-env bind-env*  
*(proof)*

**lemma** *ask-env-parametric [transfer-rule]*:  $((R \implies \text{rel-env}T R M) \implies \text{rel-env}T R M)$  ask-env ask-env  
*(proof)*

**lemma** *fail-env-parametric [transfer-rule]*:  $(M \implies \text{rel-env}T R M)$  fail-env fail-env  
*(proof)*

**lemma** *catch-env-parametric [transfer-rule]*:  
 $((M \implies M \implies M) \implies \text{rel-env}T R M \implies \text{rel-env}T R M \implies \text{rel-env}T R M) \implies \text{catch-env catch-env}$   
*(proof)*

**lemma** *get-env-parametric [transfer-rule]*:  
 $((S \implies M) \implies M) \implies (S \implies \text{rel-env}T R M) \implies \text{rel-env}T R M)$  get-env get-env  
*(proof)*

**lemma** *put-env-parametric [transfer-rule]*:  
 $((S \implies M \implies M) \implies S \implies \text{rel-env}T R M \implies \text{rel-env}T R M) \implies \text{put-env put-env}$   
*(proof)*

**lemma** *sample-env-parametric [transfer-rule]*:  
 $((\text{rel-pmf } P \implies (P \implies M) \implies M) \implies \text{rel-pmf } P \implies (P \implies \text{rel-env}T R M) \implies \text{rel-env}T R M)$  sample-env sample-env  
*(proof)*

**lemma** *alt-env-parametric [transfer-rule]*:  
 $((M \implies M \implies M) \implies \text{rel-env}T R M \implies \text{rel-env}T R M \implies \text{rel-env}T R M) \implies \text{alt-env alt-env}$   
*(proof)*

**lemma** *altc-env-parametric [transfer-rule]*:  
 $((\text{rel-cset } C \implies (C \implies M) \implies M) \implies \text{rel-cset } C \implies (C \implies \text{rel-env}T R M) \implies \text{rel-env}T R M)$  altc-env altc-env  
*(proof)*

**lemma** *pause-env-parametric [transfer-rule]*:

```

((Out ==> (In ==> M) ==> M) ==> Out ==> (In ==> rel-envT
R M) ==> rel-envT R M)
  pause-env pause-env
⟨proof⟩

lemma tell-env-parametric [transfer-rule]:
  ((W ==> M ==> M) ==> W ==> rel-envT R M ==> rel-envT R
M) tell-env tell-env
⟨proof⟩

end

```

### 3.6 Unbounded non-determinism

```

abbreviation (input) return-set :: ('a, 'a set) return where return-set x ≡ {x}
abbreviation (input) bind-set :: ('a, 'a set) bind where bind-set ≡ λA f. ∪ (f ` A)
abbreviation (input) fail-set :: 'a set fail where fail-set ≡ {}
abbreviation (input) alt-set :: 'a set alt where alt-set ≡ (⊎)
abbreviation (input) altc-set :: ('c, 'a set) altc where altc-set C ≡ λf. ∪ (f ` rcset C)

lemma monad-set [locale-witness]: monad return-set bind-set
⟨proof⟩

lemma monad-fail-set [locale-witness]: monad-fail return-set bind-set fail-set
⟨proof⟩

lemma monad-lift-set [simp]: monad-base.lift return-set bind-set = image
⟨proof⟩

lemma monad-alt-set [locale-witness]: monad-alt return-set bind-set alt-set
⟨proof⟩

lemma monad-altc-set [locale-witness]: monad-altc return-set bind-set altc-set
  including cset.lifting and lifting-syntax
⟨proof⟩

lemma monad-altc3-set [locale-witness]:
  monad-altc3 return-set bind-set (altc-set :: ('c, 'a set) altc)
  if [locale-witness]: three TYPE('c)
⟨proof⟩

```

### 3.7 Non-determinism transformer

```

datatype (plugins del: transfer) (phantom-nondetT: 'a, set-nondetT: 'm) nondetT
= NondetT (run-nondet: 'm)
for map: map-nondetT'
  rel: rel-nondetT'

```

We define our own relator and mapper such that the phantom variable does not need any relation.

```

lemma phantom-nondetT [simp]: phantom-nondetT x = {}
⟨proof⟩

context includes lifting-syntax begin

lemma rel-nondetT'-phantom: rel-nondetT' A = rel-nondetT' top
⟨proof⟩

lemma map-nondetT'-phantom: map-nondetT' f = map-nondetT' undefined
⟨proof⟩

definition map-nondetT :: ('m ⇒ 'm') ⇒ ('a, 'm) nondetT ⇒ ('b, 'm') nondetT
where map-nondetT = map-nondetT' undefined

definition rel-nondetT :: ('m ⇒ 'm' ⇒ bool) ⇒ ('a, 'm) nondetT ⇒ ('b, 'm') nondetT ⇒ bool
where rel-nondetT = rel-nondetT' top

lemma rel-nondetTE:
  assumes rel-nondetT M m m'
  obtains x y where m = NondetT x m' = NondetT y M x y
⟨proof⟩

lemma rel-nondetT-simps [simp]: rel-nondetT M (NondetT m) (NondetT m') ←→
M m m'
⟨proof⟩

lemma rel-nondetT-unfold:
  ⋀ m m'. rel-nondetT M (NondetT m) m' ←→ (∃ m''. m' = NondetT m'' ∧ M m m'')
  ⋀ m m'. rel-nondetT M m (NondetT m') ←→ (∃ m''. m = NondetT m'' ∧ M m m'')
⟨proof⟩

lemma rel-nondetT-expand: M (run-nondet m) (run-nondet m') ⇒ rel-nondetT
M m m'
⟨proof⟩

lemma rel-nondetT-eq [relator-eq]: rel-nondetT (=) = (=)
⟨proof⟩

lemma rel-nondetT-mono [relator-mono]: rel-nondetT A ≤ rel-nondetT B if A ≤
B
⟨proof⟩

lemma rel-nondetT-distr [relator-distr]: rel-nondetT A OO rel-nondetT B = rel-nondetT
(A OO B)
```

$\langle proof \rangle$

**lemma** *rel-nondetT-Grp*: *rel-nondetT* (*BNF-Def.Grp A f*) = *BNF-Def.Grp {x. set-nondetT x ⊆ A}* (*map-nondetT f*)  
 $\langle proof \rangle$

**lemma** *NondetT-parametric [transfer-rule]*: (*M ==> rel-nondetT M*) *NondetT*  
*NondetT*  
 $\langle proof \rangle$

**lemma** *run-nondet-parametric [transfer-rule]*: (*rel-nondetT M ==> M*) *run-nondet*  
*run-nondet*  
 $\langle proof \rangle$

**lemma** *case-nondetT-parametric [transfer-rule]*:  
((*M ==> X*) ==> *rel-nondetT M ==> X*) *case-nondetT* *case-nondetT*  
 $\langle proof \rangle$

**lemma** *rec-nondetT-parametric [transfer-rule]*:  
((*M ==> X*) ==> *rel-nondetT M ==> X*) *rec-nondetT* *rec-nondetT*  
 $\langle proof \rangle$

**end**

### 3.7.1 Generic implementation

**type-synonym** ('*a, 'm, 's) *merge* = '*s ⇒ ('a ⇒ 'm) ⇒ 'm**

**locale** *nondetM-base* = *monad-base* *return* *bind*  
**for** *return* :: ('*s, 'm) *return*  
**and** *bind* :: ('*s, 'm) *bind*  
**and** *merge* :: ('*a, 'm, 's) *merge*  
**and** *empty* :: '*s*  
**and** *single* :: '*a ⇒ 's*  
**and** *union* :: '*s ⇒ 's ⇒ 's* (**infixl**  $\cup$  65)  
**begin*****

**definition** *return-nondet* :: ('*a, ('a, 'm) *nondetT*) *return*  
**where** *return-nondet x* = *NondetT* (*return* (*single x*))*

**definition** *bind-nondet* :: ('*a, ('a, 'm) *nondetT*) *bind*  
**where** *bind-nondet m f* = *NondetT* (*bind* (*run-nondet m*) ( $\lambda A. merge A (run-nondet \circ f)$ ))*

**definition** *fail-nondet* :: ('*a, 'm) *nondetT fail*  
**where** *fail-nondet* = *NondetT* (*return empty*)*

**definition** *alt-nondet* :: ('*a, 'm) *nondetT alt*  
**where** *alt-nondet m1 m2* = *NondetT* (*bind* (*run-nondet m1*) ( $\lambda A. bind A (run-nondet \circ f)$ ))*

```

 $m2) (\lambda B. \text{return} (A \cup B)))$ 

definition  $\text{get-nondet} :: ('state, 'm) \text{get} \Rightarrow ('state, ('a, 'm) \text{nondetT}) \text{get}$ 
where  $\text{get-nondet get } f = \text{NondetT} (\text{get} (\lambda s. \text{run-nondet} (f s)))$  for  $\text{get}$ 

definition  $\text{put-nondet} :: ('state, 'm) \text{put} \Rightarrow ('state, ('a, 'm) \text{nondetT}) \text{put}$ 
where  $\text{put-nondet put } s m = \text{NondetT} (\text{put } s (\text{run-nondet } m))$  for  $\text{put}$ 

definition  $\text{ask-nondet} :: ('r, 'm) \text{ask} \Rightarrow ('r, ('a, 'm) \text{nondetT}) \text{ask}$ 
where  $\text{ask-nondet ask } f = \text{NondetT} (\text{ask} (\lambda r. \text{run-nondet} (f r)))$ 

The canonical lift of sampling into  $(-, -) \text{nondetT}$  does not satisfy monad-prob,  

because sampling does not distribute over bind backwards. Intuitively, if we  

sample first, then the same sample is used in all non-deterministic choices.  

But if we sample later, each non-deterministic choice may sample a different  

value.

lemma  $\text{run-return-nondet} [\text{simp}]: \text{run-nondet} (\text{return-nondet } x) = \text{return} (\text{single } x)$   

⟨proof⟩

lemma  $\text{run-bind-nondet} [\text{simp}]:$   

 $\text{run-nondet} (\text{bind-nondet } m f) = \text{bind} (\text{run-nondet } m) (\lambda A. \text{merge } A (\text{run-nondet } \circ f))$   

⟨proof⟩

lemma  $\text{run-fail-nondet} [\text{simp}]: \text{run-nondet fail-nondet} = \text{return empty}$   

⟨proof⟩

lemma  $\text{run-alt-nondet} [\text{simp}]:$   

 $\text{run-nondet} (\text{alt-nondet } m1 m2) = \text{bind} (\text{run-nondet } m1) (\lambda A. \text{bind} (\text{run-nondet } m2) (\lambda B. \text{return} (A \cup B)))$   

⟨proof⟩

lemma  $\text{run-get-nondet} [\text{simp}]: \text{run-nondet} (\text{get-nondet get } f) = \text{get} (\lambda s. \text{run-nondet} (f s))$  for  $\text{get}$   

⟨proof⟩

lemma  $\text{run-put-nondet} [\text{simp}]: \text{run-nondet} (\text{put-nondet put } s m) = \text{put } s (\text{run-nondet } m)$  for  $\text{put}$   

⟨proof⟩

lemma  $\text{run-ask-nondet} [\text{simp}]: \text{run-nondet} (\text{ask-nondet ask } f) = \text{ask} (\lambda r. \text{run-nondet} (f r))$  for  $\text{ask}$   

⟨proof⟩

end

lemma  $\text{bind-nondet-cong} [\text{cong}]:$   

 $\text{nondetM-base.bind-nondet bind merge} = \text{nondetM-base.bind-nondet bind merge}$ 
```

```

for bind merge  $\langle proof \rangle$ 

lemmas [code] =
  nondetM-base.return-nondet-def
  nondetM-base.bind-nondet-def
  nondetM-base.fail-nondet-def
  nondetM-base.alt-nondet-def
  nondetM-base.get-nondet-def
  nondetM-base.put-nondet-def
  nondetM-base.ask-nondet-def

locale nondetM = nondetM-base return bind merge empty single union
+
  monad-commute return bind
  for return :: ('s, 'm) return
  and bind :: ('s, 'm) bind
  and merge :: ('a, 'm, 's) merge
  and empty :: 's
  and single :: 'a  $\Rightarrow$  's
  and union :: 's  $\Rightarrow$  's  $\Rightarrow$  's (infixl  $\cup$  65)
+
  assumes bind-merge-merge:
     $\bigwedge y f g. \text{bind}(\text{merge } y f)(\lambda A. \text{merge } A g) = \text{merge } y (\lambda x. \text{bind}(f x)(\lambda A. \text{merge } A g))$ 
  and merge-empty:  $\bigwedge f. \text{merge } \text{empty } f = \text{return } \text{empty}$ 
  and merge-single:  $\bigwedge x f. \text{merge } (\text{single } x) f = f x$ 
  and merge-single2:  $\bigwedge A. \text{merge } A (\lambda x. \text{return } (\text{single } x)) = \text{return } A$ 
  and merge-union:  $\bigwedge A B f. \text{merge } (A \cup B) f = \text{bind } (\text{merge } A f)(\lambda A'. \text{bind } (\text{merge } B f)(\lambda B'. \text{return } (A' \cup B')))$ 
  and union-assoc:  $\bigwedge A B C. (A \cup B) \cup C = A \cup (B \cup C)$ 
  and empty-union:  $\bigwedge A. \text{empty } \cup A = A$ 
  and union-empty:  $\bigwedge A. A \cup \text{empty } = A$ 
begin

lemma monad-nondetT [locale-witness]: monad return-nondet bind-nondet
 $\langle proof \rangle$ 

lemma monad-fail-nondetT [locale-witness]: monad-fail return-nondet bind-nondet
fail-nondet
 $\langle proof \rangle$ 

lemma monad-alt-nondetT [locale-witness]: monad-alt return-nondet bind-nondet
alt-nondet
 $\langle proof \rangle$ 

lemma monad-fail-alt-nondetT [locale-witness]:
  monad-fail-alt return-nondet bind-nondet fail-nondet alt-nondet
 $\langle proof \rangle$ 

```

```

lemma monad-state-nondetT [locale-witness]:
  — It's not really sensible to assume a commutative state monad, but let's prove
  it anyway ...
  fixes get put
  assumes monad-state return bind get put
  shows monad-state return-nondet bind-nondet (get-nondet get) (put-nondet put)
  ⟨proof⟩

lemma monad-state-alt-nondetT [locale-witness]:
  fixes get put
  assumes monad-state return bind get put
  shows monad-state-alt return-nondet bind-nondet (get-nondet get) (put-nondet
  put) alt-nondet
  ⟨proof⟩

end

lemmas nondetM-lemmas =
  nondetM.monad-nondetT
  nondetM.monad-fail-nondetT
  nondetM.monad-alt-nondetT
  nondetM.monad-fail-alt-nondetT
  nondetM.monad-state-nondetT

locale nondetM-ask = nondetM return bind merge empty single union
  for return :: ('s, 'm) return
  and bind :: ('s, 'm) bind
  and ask :: ('r, 'm) ask
  and merge :: ('a, 'm, 's) merge
  and empty :: 's
  and single :: 'a ⇒ 's
  and union :: 's ⇒ 's ⇒ 's (infixl ⟨∪⟩ 65)
  +
  assumes monad-reader: monad-reader return bind ask
  assumes merge-ask:
     $\bigwedge A (f :: 'a \Rightarrow 'r \Rightarrow ('a, 'm) \text{nondetT}). \text{merge } A (\lambda x. \text{ask} (\lambda r. \text{run-nondet} (f x r))) =$ 
     $\text{ask} (\lambda r. \text{merge } A (\lambda x. \text{run-nondet} (f x r)))$ 
begin

  interpretation monad-reader return bind ask ⟨proof⟩

lemma monad-reader-nondetT: monad-reader return-nondet bind-nondet (ask-nondet
ask)
⟨proof⟩

end

lemmas nondetM-ask-lemmas =

```

*nondetM*-ask.monad-reader-nondetT

### 3.7.2 Parametricity

context includes *lifting-syntax begin*

```
lemma return-nondet-parametric [transfer-rule]:
  ((S ==> M) ==> (A ==> S) ==> A ==> rel-nondetT M)
  nondetM-base.return-nondet nondetM-base.return-nondet
  ⟨proof⟩

lemma bind-nondet-parametric [transfer-rule]:
  ((M ==> (S ==> M) ==> M) ==> (S ==> (A ==> M) ==>
  M) ==>
  rel-nondetT M ==> (A ==> rel-nondetT M) ==> rel-nondetT M)
  nondetM-base.bind-nondet nondetM-base.bind-nondet
  ⟨proof⟩

lemma fail-nondet-parametric [transfer-rule]:
  ((S ==> M) ==> S ==> rel-nondetT M) nondetM-base.fail-nondet non-
detM-base.fail-nondet
  ⟨proof⟩

lemma alt-nondet-parametric [transfer-rule]:
  ((S ==> M) ==> (M ==> (S ==> M) ==> M) ==> (S ==>
  S ==> S) ==>
  rel-nondetT M ==> rel-nondetT M ==> rel-nondetT M)
  nondetM-base.alt-nondet nondetM-base.alt-nondet
  ⟨proof⟩

lemma get-nondet-parametric [transfer-rule]:
  (((S ==> M) ==> M) ==> (S ==> rel-nondetT M) ==> rel-nondetT
  M)
  nondetM-base.get-nondet nondetM-base.get-nondet
  ⟨proof⟩

lemma put-nondet-parametric [transfer-rule]:
  ((S ==> M ==> M) ==> S ==> rel-nondetT M ==> rel-nondetT
  M)
  nondetM-base.put-nondet nondetM-base.put-nondet
  ⟨proof⟩

lemma ask-nondet-parametric [transfer-rule]:
  (((R ==> M) ==> M) ==> (R ==> rel-nondetT M) ==> rel-nondetT
  M)
  nondetM-base.ask-nondet nondetM-base.ask-nondet
  ⟨proof⟩

end
```

### 3.7.3 Implementation using lists

```

context
  fixes return :: ('a list, 'm) return
  and bind :: ('a list, 'm) bind
  and lunionM lUnionM
defines lunionM m1 m2 ≡ bind m1 (λA. bind m2 (λB. return (A @ B)))
  and lUnionM ms ≡ foldr lunionM ms (return [])
begin

definition lmerge :: 'a list ⇒ ('a ⇒ 'm) ⇒ 'm where
  lmerge A f = lUnionM (map f A)

context
  assumes monad-commute return bind
begin

interpretation monad-commute return bind ⟨proof⟩
interpretation nondetM-base return bind lmerge [] λx. [x] (@) ⟨proof⟩

lemma lUnionM-empty [simp]: lUnionM [] = return [] ⟨proof⟩
lemma lUnionM-Cons [simp]: lUnionM (x # M) = lunionM x (lUnionM M) for
  x M
  ⟨proof⟩
lemma lunionM-return-empty1 [simp]: lunionM (return []) x = x for x
  ⟨proof⟩
lemma lunionM-return-empty2 [simp]: lunionM x (return []) = x for x
  ⟨proof⟩
lemma lunionM-return-return [simp]: lunionM (return A) (return B) = return (A
  @ B) for A B
  ⟨proof⟩
lemma lunionM-assoc: lunionM (lunionM x y) z = lunionM x (lunionM y z) for
  x y z
  ⟨proof⟩
lemma lunionM-lUnionM1: lunionM (lUnionM A) x = foldr lunionM A x for A
  x
  ⟨proof⟩
lemma lUnionM-append [simp]: lUnionM (A @ B) = lunionM (lUnionM A) (lUnionM
  B) for A B
  ⟨proof⟩
lemma lUnionM-return [simp]: lUnionM (map (λx. return [x]) A) = return A for
  A
  ⟨proof⟩
lemma bind-lunionM: bind (lunionM m m') f = lunionM (bind m f) (bind m' f)
  if ⋀A B. f (A @ B) = bind (f A) (λx. bind (f B) (λy. return (x @ y))) for m
  m' f
  ⟨proof⟩

lemma list-nondetM: nondetM return bind lmerge [] (λx. [x]) (@)
  ⟨proof⟩

```

```

lemma list-nondetM-ask:
  notes list-nondetM[locale-witness]
  assumes [locale-witness]: monad-reader return bind ask
  shows nondetM-ask return bind ask lmerge [] ( $\lambda x. [x]$ ) (@)
  ⟨proof⟩

lemmas list-nondetMs [locale-witness] =
  nondetM-lemmas[OF list-nondetM]
  nondetM-ask-lemmas[OF list-nondetM-ask]

end

end

lemma lmerge-parametric [transfer-rule]: includes lifting-syntax shows
  ((list-all2 A ==> M) ==> (M ==> (list-all2 A ==> M) ==> M)
   ==> list-all2 A ==> (A ==> M) ==> M)
  lmerge lmerge
  ⟨proof⟩

```

### 3.7.4 Implementation using multisets

```

context
  fixes return :: ('a multiset, 'm) return
  and bind :: ('a multiset, 'm) bind
  and munionM mUnionM
defines munionM m1 m2 ≡ bind m1 (λA. bind m2 (λB. return (A + B)))
  and mUnionM ≡ fold-mset munionM (return {#})
begin

definition mmerge :: 'a multiset ⇒ ('a ⇒ 'm) ⇒ 'm
where mmerge A f = mUnionM (image-mset f A)

context
  assumes monad-commute return bind
begin

interpretation monad-commute return bind ⟨proof⟩
interpretation nondetM-base return bind mmerge {#}  $\lambda x. \{ \# x \# \}$  (+) ⟨proof⟩

lemma munionM-comp-fun-commute: comp-fun-commute munionM
  ⟨proof⟩

interpretation comp-fun-commute munionM ⟨proof⟩

lemma mUnionM-empty [simp]: mUnionM {#} = return {#} ⟨proof⟩
lemma mUnionM-add-mset [simp]: mUnionM (add-mset x M) = munionM x
  (mUnionM M) for x M

```

```

⟨proof⟩
lemma munionM-return-empty1 [simp]: munionM (return {#}) x = x for x
⟨proof⟩
lemma munionM-return-empty2 [simp]: munionM x (return {#}) = x for x
⟨proof⟩
lemma munionM-return-return [simp]: munionM (return A) (return B) = return
(A + B) for A B
⟨proof⟩
lemma munionM-assoc: munionM (munionM x y) z = munionM x (munionM y
z) for x y z
⟨proof⟩
lemma munionM-commute: munionM x y = munionM y x for x y
⟨proof⟩
lemma munionM-mUnionM1: munionM (mUnionM A) x = fold-mset munionM
x A for A x
⟨proof⟩
lemma munionM-mUnionM2: munionM x (mUnionM A) = fold-mset munionM
x A for x A
⟨proof⟩
lemma mUnionM-add [simp]: mUnionM (A + B) = munionM (mUnionM A)
(mUnionM B) for A B
⟨proof⟩
lemma mUnionM-return [simp]: mUnionM (image-mset (λx. return {#x#})) A)
= return A for A
⟨proof⟩
lemma bind-munionM: bind (munionM m m') f = munionM (bind m f) (bind m'
f)
if ∧A B. f (A + B) = bind (f A) (λx. bind (f B) (λy. return (x + y))) for m
m' f
⟨proof⟩

lemma mset-nondetM: nondetM return bind mmerge {#} (λx. {#x#}) (+)
⟨proof⟩

lemma mset-nondetM-ask:
notes mset-nondetM[locale-witness]
assumes [locale-witness]: monad-reader return bind ask
shows nondetM-ask return bind ask mmerge {#} (λx. {#x#}) (+)
⟨proof⟩

lemmas mset-nondetMs [locale-witness] =
nondetM-lemmas[OF mset-nondetM]
nondetM-ask-lemmas[OF mset-nondetM-ask]

end

end

lemma mmerge-parametric:

```

```

includes lifting-syntax
assumes return [transfer-rule]: ( $\text{rel-mset } A \implies M$ )  $\text{return1 } \text{return2}$ 
    and bind [transfer-rule]: ( $M \implies (\text{rel-mset } A \implies M)$ )  $\implies M$ )  $\text{bind1 } \text{bind2}$ 
    and comm1: monad-commute return1 bind1
    and comm2: monad-commute return2 bind2
shows ( $\text{rel-mset } A \implies (A \implies M) \implies M$ ) ( $\text{mmerge return1 bind1}$ )
( $\text{mmerge return2 bind2}$ )
⟨proof⟩

```

### 3.7.5 Implementation using finite sets

**context**

```

fixes return :: ('a fset, 'm) return
and bind :: ('a fset, 'm) bind
and funionM fUnionM
defines funionM m1 m2 ≡ bind m1 (λA. bind m2 (λB. return (A ∪ B)))
and fUnionM ≡ ffold funionM (return {||})
begin

```

```

definition fmerge :: 'a fset ⇒ ('a ⇒ 'm) ⇒ 'm
where fmerge A f = fUnionM (fimage f A)

```

**context**

```

assumes monad-commute return bind
and monad-duplicate return bind

```

**begin**

```

interpretation monad-commute return bind ⟨proof⟩
interpretation monad-duplicate return bind ⟨proof⟩
interpretation nondetM-base return bind fmerge {||} λx. {||x||} (|U|) ⟨proof⟩

```

```

lemma funionM-comp-fun-commute: comp-fun-commute funionM
⟨proof⟩

```

```

interpretation comp-fun-commute funionM ⟨proof⟩

```

```

lemma funionM-comp-fun-idem: comp-fun-idem funionM
⟨proof⟩

```

```

interpretation comp-fun-idem funionM ⟨proof⟩

```

```

lemma fUnionM-empty [simp]: fUnionM {||} = return {||} ⟨proof⟩

```

```

lemma fUnionM-finsel [simp]: fUnionM (finsert x M) = funionM x (fUnionM M)

```

**for** x M

⟨proof⟩

```

lemma funionM-return-empty1 [simp]: funionM (return {||}) x = x for x
⟨proof⟩

```

```

lemma funionM-return-empty2 [simp]: funionM x (return {||}) = x for x

```

```

⟨proof⟩
lemma funionM-return-return [simp]: funionM (return A) (return B) = return (A | $\cup$ | B) for A B
  ⟨proof⟩
lemma funionM-assoc: funionM (funionM x y) z = funionM x (funionM y z) for
x y z
  ⟨proof⟩
lemma funionM-commute: funionM x y = funionM y x for x y
  ⟨proof⟩
lemma funionM-fUnionM1: funionM (fUnionM A) x = ffold funionM x A for A x
  ⟨proof⟩
lemma funionM-fUnionM2: funionM x (fUnionM A) = ffold funionM x A for x A
  ⟨proof⟩
lemma fUnionM-funion [simp]: fUnionM (A | $\cup$ | B) = funionM (fUnionM A)
(fUnionM B) for A B
  ⟨proof⟩
lemma fUnionM-return [simp]: fUnionM (fimage ( $\lambda x.$  return  $\{|x|\}$ ) A) = return
A for A
  ⟨proof⟩
lemma bind-funionM: bind (funionM m m') f = funionM (bind m f) (bind m' f)
  if  $\bigwedge A B.$  f (A | $\cup$ | B) = bind (f A) ( $\lambda x.$  bind (f B) ( $\lambda y.$  return (x | $\cup$ | y))) for m m' f
  ⟨proof⟩
lemma fUnionM-return-fempty [simp]: fUnionM (fimage ( $\lambda x.$  return  $\{\mid\}$ ) A) =
return  $\{\mid\}$  for A
  ⟨proof⟩
lemma funionM-bind: funionM (bind m f) (bind m g) = bind m ( $\lambda x.$  funionM (f x) (g x)) for m f g
  ⟨proof⟩
lemma fUnionM-funionM:
  fUnionM (( $\lambda y.$  funionM (f y) (g y)) | $\mid$  A) = funionM (fUnionM (f | $\mid$  A))
(fUnionM (g | $\mid$  A)) for f g A
  ⟨proof⟩
lemma fset-nondetM: nondetM return bind fmerge  $\{\mid\}$  ( $\lambda x.$   $\{|x|\}$ ) (| $\cup$ |)
  ⟨proof⟩
lemma fset-nondetM-ask:
  notes fset-nondetM[locale-witness]
  assumes [locale-witness]: monad-reader return bind ask
  shows nondetM-ask return bind ask fmerge  $\{\mid\}$  ( $\lambda x.$   $\{|x|\}$ ) (| $\cup$ |)
  ⟨proof⟩
lemmas fset-nondetMs [locale-witness] =
nondetM-lemmas[OF fset-nondetM]
nondetM-ask-lemmas[OF fset-nondetM-ask]

```

```

context
  assumes monad-discard return bind
begin

interpretation monad-discard return bind ⟨proof⟩

lemma fmerge-bind:
  fmerge A (λx. bind m' (λA'. fmerge A' (f x))) = bind m' (λA'. fmerge A (λx.
  fmerge A' (f x)))
  ⟨proof⟩

lemma fmerge-commute: fmerge A (λx. fmerge B (f x)) = fmerge B (λy. fmerge
A (λx. f x y))
  ⟨proof⟩

lemma monad-commute-nondetT-fset [locale-witness]:
  monad-commute return-nondet bind-nondet
  ⟨proof⟩

end

end

end

lemma fmerge-parametric:
  includes lifting-syntax
  assumes return [transfer-rule]: (rel-fset A ==> M) return1 return2
  and bind [transfer-rule]: (M ==> (rel-fset A ==> M) ==> M) bind1
  bind2
  and comm1: monad-commute return1 bind1 monad-duplicate return1 bind1
  and comm2: monad-commute return2 bind2 monad-duplicate return2 bind2
  shows (rel-fset A ==> (A ==> M) ==> M) (fmerge return1 bind1)
  (fmerge return2 bind2)
  ⟨proof⟩

```

### 3.7.6 Implementation using countable sets

For non-finite choices, we cannot generically construct the merge operation. So we formalize in a locale what can be proven generically and then prove instances of the locale for concrete locale implementations.

We need two separate merge parameters because we must merge effects over choices (type '*c*') and effects over the non-deterministic results (type '*a*') of computations.

```

locale cset-nondetM-base =
  nondetM-base return bind merge cempty csingle cUn
  for return :: ('a cset, 'm) return

```

```

and bind :: ('a cset, 'm) bind
and merge :: ('a, 'm, 'a cset) merge
and mergec :: ('c, 'm, 'c cset) merge
begin

definition altc-nondet :: ('c, ('a, 'm) nondetT) altc where
  altc-nondet A f = NondetT (mergec A (run-nondet o f))

lemma run-altc-nondet [simp]: run-nondet (altc-nondet A f) = mergec A (run-nondet
  o f)
  {proof}

end

locale cset-nondetM =
  cset-nondetM-base return bind merge mergec
  +
  monad-commute return bind
  +
  monad-duplicate return bind
  for return :: ('a cset, 'm) return
  and bind :: ('a cset, 'm) bind
  and merge :: ('a, 'm, 'a cset) merge
  and mergec :: ('c, 'm, 'c cset) merge
  +
  assumes bind-merge-merge:
     $\bigwedge y f g. \text{bind}(\text{merge } y f)(\lambda A. \text{merge } A g) = \text{merge } y (\lambda x. \text{bind}(f x)(\lambda A. \text{merge } A g))$ 
  and merge-empty:  $\bigwedge f. \text{merge } \text{empty } f = \text{return } \text{empty}$ 
  and merge-single:  $\bigwedge x f. \text{merge } (\text{csingle } x) f = f x$ 
  and merge-single2:  $\bigwedge A. \text{merge } A (\lambda x. \text{return } (\text{csingle } x)) = \text{return } A$ 
  and merge-union:  $\bigwedge A B f. \text{merge } (\text{cUn } A B) f = \text{bind}(\text{merge } A f)(\lambda A'. \text{bind}(\text{merge } B f)(\lambda B'. \text{return } (\text{cUn } A' B')))$ 
  and bind-mergec-merge:
     $\bigwedge y f g. \text{bind}(\text{mergec } y f)(\lambda A. \text{merge } A g) = \text{mergec } y (\lambda x. \text{bind}(f x)(\lambda A. \text{merge } A g))$ 
  and mergec-single:  $\bigwedge x f. \text{mergec } (\text{csingle } x) f = f x$ 
  and mergec-UNION:  $\bigwedge C f g. \text{mergec } (\text{cUNION } C f) g = \text{mergec } C (\lambda x. \text{mergec } (f x) g)$ 
  and mergec-parametric [transfer-rule]:
     $\bigwedge R. \text{bi-unique } R \implies \text{rel-fun}(\text{rel-cset } R)(\text{rel-fun}(\text{rel-fun } R(=))(=)) \text{ mergec }$ 
    mergec
begin

interpretation nondetM return bind merge cempty csingle cUn
  {proof}

sublocale nondet: monad-altc return-nondet bind-nondet altc-nondet
  including lifting-syntax

```

```

⟨proof⟩

end

locale cset-nondetM3 =
  cset-nondetM return bind merge mergec
  +
  three TYPE('c)
  for return :: ('a cset, 'm) return
  and bind :: ('a cset, 'm) bind
  and merge :: ('a, 'm, 'a cset) merge
  and mergec :: ('c, 'm, 'c cset) merge
begin

interpretation nondet: monad-altc3 return-nondet bind-nondet altc-nondet ⟨proof⟩

end

Identity monad definition merge-id :: ('c, 'a cset id, 'c cset) merge where
  merge-id A f = return-id (cUNION A (extract ∘ f))

lemma extract-merge-id [simp]: extract (merge-id A f) = cUNION A (extract ∘ f)
  ⟨proof⟩

lemma merge-id-parametric [transfer-rule]: includes lifting-syntax shows
  (rel-cset A ==> (A ==> rel-id (rel-cset A)) ==> rel-id (rel-cset A))
  merge-id merge-id
  ⟨proof⟩

lemma cset-nondetM-id [locale-witness]: cset-nondetM return-id bind-id merge-id
  merge-id
  including lifting-syntax
  ⟨proof⟩

Reader monad transformer definition merge-env :: ('c, 'm, 'c cset) merge
  ⇒ ('c, ('r, 'm) envT, 'c cset) merge where
  merge-env merge A f = EnvT (λr. merge A (λa. run-env (f a) r)) for merge

lemma run-merge-env [simp]: run-env (merge-env merge A f) r = merge A (λa.
  run-env (f a) r) for merge
  ⟨proof⟩

lemma merge-env-parametric [transfer-rule]: includes lifting-syntax shows
  ((rel-cset C ==> (C ==> M) ==> M) ==> rel-cset C ==> (C
  ==> rel-envT R M) ==> rel-envT R M)
  merge-env merge-env
  ⟨proof⟩

lemma cset-nondetM-envT [locale-witness]:

```

```

fixes return :: ('a cset, 'm) return
and bind :: ('a cset, 'm) bind
and merge :: ('a, 'm, 'a cset) merge
and mergec :: ('c, 'm, 'c cset) merge
assumes cset-nondetM return bind merge mergec
shows cset-nondetM (return-env return) (bind-env bind) (merge-env merge)
(merge-env mergec)
⟨proof⟩ including lifting-syntax
⟨proof⟩

```

### 3.8 State transformer

```

datatype ('s, 'm) stateT = StateT (run-state: 's ⇒ 'm)
for rel: rel-stateT'

```

We define a more general relator for  $(-, -)$  *stateT* than the one generated by the datatype package such that we can also show parametricity in the state.

```

context includes lifting-syntax begin

```

```

definition rel-stateT :: ('s ⇒ 's' ⇒ bool) ⇒ ('m ⇒ 'm' ⇒ bool) ⇒ ('s, 'm) stateT
⇒ ('s', 'm') stateT ⇒ bool
where rel-stateT S M m m' ←→ (S ==> M) (run-state m) (run-state m')

```

```

lemma rel-stateT-eq [relator-eq]: rel-stateT (=) (=) = (=)
⟨proof⟩

```

```

lemma rel-stateT-mono [relator-mono]: [ S' ≤ S; M ≤ M' ] ==> rel-stateT S M
≤ rel-stateT S' M'
⟨proof⟩

```

```

lemma StateT-parametric [transfer-rule]: ((S ==> M) ==> rel-stateT S M)
StateT StateT
⟨proof⟩

```

```

lemma run-state-parametric [transfer-rule]: (rel-stateT S M ==> S ==> M)
run-state run-state
⟨proof⟩

```

```

lemma case-stateT-parametric [transfer-rule]:
(((S ==> M) ==> A) ==> rel-stateT S M ==> A) case-stateT case-stateT
⟨proof⟩

```

```

lemma rec-stateT-parametric [transfer-rule]:
(((S ==> M) ==> A) ==> rel-stateT S M ==> A) rec-stateT rec-stateT
⟨proof⟩

```

```

lemma rel-stateT-Grp: rel-stateT (=) (BNF-Def.Grp UNIV f) = BNF-Def.Grp
UNIV (map-stateT f)
⟨proof⟩

```

```
end
```

### 3.8.1 Plain monad, get, and put

```
context
```

```
  fixes return :: ('a × 's, 'm) return
  and bind :: ('a × 's, 'm) bind
```

```
begin
```

```
primrec bind-state :: ('a, ('s, 'm) stateT) bind
```

```
where bind-state (StateT x) f = StateT (λs. bind (x s) (λ(a, s'). run-state (f a) s'))
```

```
definition return-state :: ('a, ('s, 'm) stateT) return
```

```
where return-state x = StateT (λs. return (x, s))
```

```
definition get-state :: ('s, ('s, 'm) stateT) get
```

```
where get-state f = StateT (λs. run-state (f s) s)
```

```
primrec put-state :: ('s, ('s, 'm) stateT) put
```

```
where put-state s (StateT f) = StateT (λ-. f s)
```

```
lemma run-put-state [simp]: run-state (put-state s m) s' = run-state m s
⟨proof⟩
```

```
lemma run-get-state [simp]: run-state (get-state f) s = run-state (f s) s
⟨proof⟩
```

```
lemma run-bind-state [simp]:
```

```
run-state (bind-state x f) s = bind (run-state x s) (λ(a, s'). run-state (f a) s')
⟨proof⟩
```

```
lemma run-return-state [simp]:
```

```
run-state (return-state x) s = return (x, s)
⟨proof⟩
```

```
context
```

```
  assumes monad: monad return bind
```

```
begin
```

```
interpretation monad return bind ⟨proof⟩
```

```
lemma monad-stateT [locale-witness]: monad return-state bind-state (is monad
?return ?bind)
⟨proof⟩
```

```
lemma monad-state-stateT [locale-witness]:
monad-state return-state bind-state get-state put-state
```

$\langle proof \rangle$

**end**

We cannot define a generic lifting operation for state like in Haskell. If we separate the monad type variable from the element type variable, then *lift* should have type  $'a\ 'm \Rightarrow (('a \times 's)\ 'm)$  *stateT*, but this means that the type of results must change, which does not work for monomorphic monads. Instead, we must lift all operations individually. *lift-definition* does not work because the monad transformer type is typically larger than the base type, but *lift-definition* only works if the lifted type is no bigger.

### 3.8.2 Failure

**context**

**fixes** *fail* ::  $'m\ fail$

**begin**

**definition** *fail-state* ::  $('s,\ 'm)\ stateT\ fail$   
**where** *fail-state* = *StateT* ( $\lambda s.\ fail$ )

**lemma** *run-fail-state* [simp]: *run-state fail-state s = fail*  
 $\langle proof \rangle$

**lemma** *monad-fail-stateT* [locale-witness]:

**assumes** *monad-fail return bind fail*

**shows** *monad-fail return-state bind-state fail-state* (**is** *monad-fail ?return ?bind ?fail*)  
 $\langle proof \rangle$

**notepad begin**

*catch* cannot be lifted through the state monad according to *monad-catch-state* because there is now way to communicate the state updates to the handler.

$\langle proof \rangle$

**end**

**end**

### 3.8.3 Reader

**context**

**fixes** *ask* ::  $('r,\ 'm)\ ask$

**begin**

**definition** *ask-state* ::  $('r,\ ('s,\ 'm)\ stateT)\ ask$   
**where** *ask-state f* = *StateT* ( $\lambda s.\ ask (\lambda r.\ run-state (f r) s))$

```

lemma run-ask-state [simp]:
  run-state (ask-state f) s = ask ( $\lambda r.$  run-state (f r) s)
   $\langle proof \rangle$ 

lemma monad-reader-stateT [locale-witness]:
  assumes monad-reader return bind ask
  shows monad-reader return-state bind-state ask-state
   $\langle proof \rangle$ 

lemma monad-reader-state-stateT [locale-witness]:
  assumes monad-reader return bind ask
  shows monad-reader-state return-state bind-state ask-state get-state put-state
   $\langle proof \rangle$ 

end

```

### 3.8.4 Probability

```

definition altc-sample-state :: ('x  $\Rightarrow$  ('b  $\Rightarrow$  'm)  $\Rightarrow$  'm)  $\Rightarrow$  'x  $\Rightarrow$  ('b  $\Rightarrow$  ('s, 'm)
stateT)  $\Rightarrow$  ('s, 'm) stateT
where altc-sample-state altc-sample p f = StateT ( $\lambda s.$  altc-sample p ( $\lambda x.$  run-state
(f x) s))

```

```

lemma run-altc-sample-state [simp]:
  run-state (altc-sample-state altc-sample p f) s = altc-sample p ( $\lambda x.$  run-state (f
x) s)
   $\langle proof \rangle$ 

```

```

context
  fixes sample :: ('p, 'm) sample
begin

```

```

abbreviation sample-state :: ('p, ('s, 'm) stateT) sample where
  sample-state  $\equiv$  altc-sample-state sample

```

```

context
  assumes monad-prob return bind sample
begin

```

```

interpretation monad-prob return bind sample  $\langle proof \rangle$ 

```

```

lemma monad-prob-stateT [locale-witness]: monad-prob return-state bind-state sample-state
  including lifting-syntax
   $\langle proof \rangle$ 

```

```

lemma monad-state-prob-stateT [locale-witness]:
  monad-state-prob return-state bind-state get-state put-state sample-state
   $\langle proof \rangle$ 

```

```
end
```

```
end
```

### 3.8.5 Writer

```
context
```

```
  fixes tell :: ('w, 'm) tell
begin
```

```
definition tell-state :: ('w, ('s, 'm) stateT) tell
  where tell-state w m = StateT (λs. tell w (run-state m s))
```

```
lemma run-tell-state [simp]: run-state (tell-state w m) s = tell w (run-state m s)
  ⟨proof⟩
```

```
lemma monad-writer-stateT [locale-witness]:
  assumes monad-writer return bind tell
  shows monad-writer return-state bind-state tell-state
  ⟨proof⟩
```

```
end
```

### 3.8.6 Binary Non-determinism

```
context
```

```
  fixes alt :: 'm alt
begin
```

```
definition alt-state :: ('s, 'm) stateT alt
  where alt-state m1 m2 = StateT (λs. alt (run-state m1 s) (run-state m2 s))
```

```
lemma run-alt-state [simp]: run-state (alt-state m1 m2) s = alt (run-state m1 s)
  (run-state m2 s)
  ⟨proof⟩
```

```
context assumes monad-alt return bind alt begin
```

```
interpretation monad-alt return bind alt ⟨proof⟩
```

```
lemma monad-alt-stateT [locale-witness]: monad-alt return-state bind-state alt-state
  ⟨proof⟩
```

```
lemma monad-state-alt-stateT [locale-witness]:
  monad-state-alt return-state bind-state get-state put-state alt-state
  ⟨proof⟩
```

```
end
```

```

lemma monad-fail-alt-stateT [locale-witness]:
  fixes fail
  assumes monad-fail-alt return bind fail alt
  shows monad-fail-alt return-state bind-state (fail-state fail) alt-state
  ⟨proof⟩

end

```

### 3.8.7 Countable Non-determinism

```

context
  fixes altc :: ('c, 'm) altc
begin

abbreviation altc-state :: ('c, ('s, 'm) stateT) altc
where altc-state ≡ altc-sample-state altc

context
  includes lifting-syntax
  assumes monad-altc return bind altc
begin

interpretation monad-altc return bind altc ⟨proof⟩

lemma monad-altc-stateT [locale-witness]: monad-altc return-state bind-state altc-state
  ⟨proof⟩

lemma monad-state-altc-stateT [locale-witness]:
  monad-state-altc return-state bind-state get-state put-state altc-state
  ⟨proof⟩

```

**end**

```

lemma monad-altc3-stateT [locale-witness]:
  assumes monad-altc3 return bind altc
  shows monad-altc3 return-state bind-state altc-state
  ⟨proof⟩

```

**end**

### 3.8.8 Resumption

```

context
  fixes pause :: ('o, 'i, 'm) pause
begin

definition pause-state :: ('o, 'i, ('s, 'm) stateT) pause
where pause-state out c = StateT (λs. pause out (λi. run-state (c i) s))

lemma run-pause-state [simp]:

```

*run-state* (*pause-state* *out* *c*) *s* = *pause out* ( $\lambda i.$  *run-state* (*c* *i*) *s*)  
*(proof)*

```

lemma monad-resumption-stateT [locale-witness]:
  assumes monad-resumption return bind pause
  shows monad-resumption return-state bind-state pause-state
(proof)

end

end

```

### 3.8.9 Parametricity

**context includes** lifting-syntax **begin**

```

lemma return-state-parametric [transfer-rule]:
  ((rel-prod A S ==> M) ==> A ==> rel-stateT S M) return-state re-
turn-state
(proof)

```

```

lemma bind-state-parametric [transfer-rule]:
  ((M ==> (rel-prod A S ==> M) ==> M) ==> rel-stateT S M ==>
(A ==> rel-stateT S M) ==> rel-stateT S M)
  bind-state bind-state
(proof)

```

```

lemma get-state-parametric [transfer-rule]:
  ((S ==> rel-stateT S M) ==> rel-stateT S M) get-state get-state
(proof)

```

```

lemma put-state-parametric [transfer-rule]:
  (S ==> rel-stateT S M ==> rel-stateT S M) put-state put-state
(proof)

```

```

lemma fail-state-parametric [transfer-rule]: (M ==> rel-stateT S M) fail-state
fail-state
(proof)

```

```

lemma ask-state-parametric [transfer-rule]:
  (((R ==> M) ==> M) ==> (R ==> rel-stateT S M) ==> rel-stateT
S M) ask-state ask-state
(proof)

```

```

lemma altc-sample-state-parametric [transfer-rule]:
  ((X ==> (P ==> M) ==> M) ==> X ==> (P ==> rel-stateT
S M) ==> rel-stateT S M)
  altc-sample-state altc-sample-state
(proof)

```

```

lemma tell-state-parametric [transfer-rule]:
  ((W ==> M ==> M) ==> W ==> rel-stateT S M ==> rel-stateT
  S M)
    tell-state tell-state
  ⟨proof⟩

lemma alt-state-parametric [transfer-rule]:
  ((M ==> M ==> M) ==> rel-stateT S M ==> rel-stateT S M ==>
  rel-stateT S M)
    alt-state alt-state
  ⟨proof⟩

lemma pause-state-parametric [transfer-rule]:
  ((Out ==> (In ==> M) ==> M) ==> Out ==> (In ==> rel-stateT
  S M) ==> rel-stateT S M)
    pause-state pause-state
  ⟨proof⟩

end

```

### 3.9 Writer monad transformer

We implement a simple writer monad which collects all the output in a list. It would also have been possible to use a monoid instead. The phantom type variables '*a*' and '*w*' are needed to avoid hidden polymorphism when overloading the monad operations for the writer monad transformer.

```

datatype ('w, 'a, 'm) writerT = WriterT (run-writer: 'm)

context
  fixes return :: ('a × 'w list, 'm) return
  and bind :: ('a × 'w list, 'm) bind
begin

definition return-writer :: ('a, ('w, 'a, 'm) writerT) return
where return-writer x = WriterT (return (x, []))

definition bind-writer :: ('a, ('w, 'a, 'm) writerT) bind
where bind-writer m f = WriterT (bind (run-writer m) (λ(a, ws). bind (run-writer
(f a)) (λ(b, ws'). return (b, ws @ ws'))))

definition tell-writer :: ('w, ('w, 'a, 'm) writerT) tell
where tell-writer w m = WriterT (bind (run-writer m) (λ(a, ws). return (a, w #
ws)))

lemma run-return-writer [simp]: run-writer (return-writer x) = return (x, [])
⟨proof⟩

```

```

lemma run-bind-writer [simp]:
  run-writer (bind-writer m f) = bind (run-writer m) ( $\lambda(a, ws). bind (run-writer (f a)) (\lambda(b, ws'). return (b, ws @ ws'))$ )
  ⟨proof⟩

lemma run-tell-writer [simp]:
  run-writer (tell-writer w m) = bind (run-writer m) ( $\lambda(a, ws). return (a, w \# ws)$ )
  ⟨proof⟩

context
  assumes monad return bind
begin

interpretation monad return bind ⟨proof⟩

lemma monad-writerT [locale-witness]: monad return-writer bind-writer
  ⟨proof⟩

lemma monad-writer-writerT [locale-witness]: monad-writer return-writer bind-writer
  tell-writer
  ⟨proof⟩

end

```

### 3.9.1 Failure

```

context
  fixes fail :: 'm fail
begin

definition fail-writer :: ('w, 'a, 'm) writerT fail
where fail-writer = WriterT fail

lemma run-fail-writer [simp]: run-writer fail-writer = fail
  ⟨proof⟩

lemma monad-fail-writerT [locale-witness]:
  assumes monad-fail return bind fail
  shows monad-fail return-writer bind-writer fail-writer
  ⟨proof⟩

```

Just like for the state monad, we cannot lift *catch* because the output before the failure would be lost.

### 3.9.2 State

```

context
  fixes get :: ('s, 'm) get

```

```

and put :: ('s, 'm) put
begin

definition get-writer :: ('s, ('w, 'a, 'm) writerT) get
where get-writer f = WriterT (get (λs. run-writer (f s)))

definition put-writer :: ('s, ('w, 'a, 'm) writerT) put
where put-writer s m = WriterT (put s (run-writer m))

lemma run-get-writer [simp]: run-writer (get-writer f) = get (λs. run-writer (f s))
{proof}

lemma run-put-writer [simp]: run-writer (put-writer s m) = put s (run-writer m)
{proof}

lemma monad-state-writerT [locale-witness]:
assumes monad-state return bind get put
shows monad-state return-writer bind-writer get-writer put-writer
{proof}

```

### 3.9.3 Probability

```

definition altc-sample-writer :: ('x ⇒ ('b ⇒ 'm) ⇒ 'm) ⇒ 'x ⇒ ('b ⇒ ('w, 'a, 'm) writerT) ⇒ ('w, 'a, 'm) writerT
where altc-sample-writer altc-sample p f = WriterT (altc-sample p (λp. run-writer (f p)))

lemma run-altc-sample-writer [simp]:
run-writer (altc-sample-writer altc-sample p f) = altc-sample p (λp. run-writer (f p))
{proof}

```

```

context
fixes sample :: ('p, 'm) sample
begin

```

```

abbreviation sample-writer :: ('p, ('w, 'a, 'm) writerT) sample
where sample-writer ≡ altc-sample-writer sample

```

```

lemma monad-prob-writerT [locale-witness]:
assumes monad-prob return bind sample
shows monad-prob return-writer bind-writer sample-writer
{proof} including lifting-syntax
{proof}

```

```

lemma monad-state-prob-writerT [locale-witness]:
assumes monad-state-prob return bind get put sample

```

```

shows monad-state-prob return-writer bind-writer get-writer put-writer sample-writer
⟨proof⟩

end

```

### 3.9.4 Reader

```

context
  fixes ask :: ('r, 'm) ask
begin

definition ask-writer :: ('r, ('w, 'a, 'm) writerT) ask
where ask-writer f = WriterT (ask (λr. run-writer (f r)))

lemma run-ask-writer [simp]: run-writer (ask-writer f) = ask (λr. run-writer (f r))
⟨proof⟩

lemma monad-reader-writerT [locale-witness]:
  assumes monad-reader return bind ask
  shows monad-reader return-writer bind-writer ask-writer
⟨proof⟩

lemma monad-reader-state-writerT [locale-witness]:
  assumes monad-reader-state return bind ask get put
  shows monad-reader-state return-writer bind-writer ask-writer get-writer put-writer
⟨proof⟩

end

```

### 3.9.5 Resumption

```

context
  fixes pause :: ('o, 'i, 'm) pause
begin

definition pause-writer :: ('o, 'i, ('w, 'a, 'm) writerT) pause
where pause-writer out c = WriterT (pause out (λinput. run-writer (c input)))

lemma run-pause-writer [simp]:
  run-writer (pause-writer out c) = pause out (λinput. run-writer (c input))
⟨proof⟩

lemma monad-resumption-writerT [locale-witness]:
  assumes monad-resumption return bind pause
  shows monad-resumption return-writer bind-writer pause-writer
⟨proof⟩

end

```

### 3.9.6 Binary Non-determinism

```
context
  fixes alt :: 'm alt
begin

definition alt-writer :: ('w, 'a, 'm) writerT alt
where alt-writer m m' = WriterT (alt (run-writer m) (run-writer m'))

lemma run-alt-writer [simp]: run-writer (alt-writer m m') = alt (run-writer m)
(run-writer m')
⟨proof⟩

lemma monad-alt-writerT [locale-witness]:
  assumes monad-alt return bind alt
  shows monad-alt return-writer bind-writer alt-writer
⟨proof⟩

lemma monad-fail-alt-writerT [locale-witness]:
  assumes monad-fail-alt return bind fail alt
  shows monad-fail-alt return-writer bind-writer fail-writer alt-writer
⟨proof⟩

lemma monad-state-alt-writerT [locale-witness]:
  assumes monad-state-alt return bind get put alt
  shows monad-state-alt return-writer bind-writer get-writer put-writer alt-writer
⟨proof⟩

end
```

### 3.9.7 Countable Non-determinism

```
context
  fixes altc :: ('c, 'm) altc
begin

abbreviation altc-writer :: ('c, ('w, 'a, 'm) writerT) altc
where altc-writer ≡ altc-sample-writer altc

lemma monad-altc-writerT [locale-witness]:
  assumes monad-altc return bind altc
  shows monad-altc return-writer bind-writer altc-writer
⟨proof⟩ including lifting-syntax
⟨proof⟩

lemma monad-altc3-writerT [locale-witness]:
  assumes monad-altc3 return bind altc
  shows monad-altc3 return-writer bind-writer altc-writer
⟨proof⟩
```

```

lemma monad-state-altc-writerT [locale-witness]:
  assumes monad-state-altc return bind get put altc
  shows monad-state-altc return-writer bind-writer get-writer put-writer altc-writer
  ⟨proof⟩

end

end

end

end

```

### 3.9.8 Parametricity

**context** includes *lifting-syntax* **begin**

```

lemma return-writer-parametric [transfer-rule]:
  ((rel-prod A (list-all2 W) ==> M) ==> A ==> rel-writerT W A M)
  return-writer return-writer
  ⟨proof⟩

lemma bind-writer-parametric [transfer-rule]:
  ((rel-prod A (list-all2 W) ==> M) ==> (M ==> (rel-prod A (list-all2
  W) ==> M) ==> M)
  ==> rel-writerT W A M ==> (A ==> rel-writerT W A M) ==>
  rel-writerT W A M)
  bind-writer bind-writer
  ⟨proof⟩

lemma tell-writer-parametric [transfer-rule]:
  ((rel-prod A (list-all2 W) ==> M) ==> (M ==> (rel-prod A (list-all2
  W) ==> M) ==> M)
  ==> W ==> rel-writerT W A M ==> rel-writerT W A M)
  tell-writer tell-writer
  ⟨proof⟩

lemma ask-writer-parametric [transfer-rule]:
  (((R ==> M) ==> M) ==> (R ==> rel-writerT W A M) ==>
  rel-writerT W A M) ask-writer ask-writer
  ⟨proof⟩

lemma fail-writer-parametric [transfer-rule]:
  (M ==> rel-writerT W A M) fail-writer fail-writer
  ⟨proof⟩

lemma get-writer-parametric [transfer-rule]:
  (((S ==> M) ==> M) ==> (S ==> rel-writerT W A M) ==>

```

```

rel-writerT W A M) get-writer get-writer
⟨proof⟩

lemma put-writer-parametric [transfer-rule]:
  ((S ==> M ==> M) ==> S ==> rel-writerT W A M ==> rel-writerT
  W A M) put-writer put-writer
⟨proof⟩

lemma altc-sample-writer-parametric [transfer-rule]:
  ((X ==> (P ==> M) ==> M) ==> X ==> (P ==> rel-writerT
  W A M) ==> rel-writerT W A M)
    altc-sample-writer altc-sample-writer
⟨proof⟩

lemma alt-writer-parametric [transfer-rule]:
  ((M ==> M ==> M) ==> rel-writerT W A M ==> rel-writerT W A
  M ==> rel-writerT W A M)
    alt-writer alt-writer
⟨proof⟩

lemma pause-writer-parametric [transfer-rule]:
  ((Out ==> (In ==> M) ==> M) ==> Out ==> (In ==> rel-writerT
  W A M) ==> rel-writerT W A M)
    pause-writer pause-writer
⟨proof⟩

end

```

### 3.10 Continuation monad transformer

```
datatype ('a, 'm) contT = ContT (run-cont: ('a => 'm) => 'm)
```

#### 3.10.1 CallCC

```
type-synonym ('a, 'm) callcc = (('a => 'm) => 'm
```

```
definition callecc-cont :: ('a, ('a, 'm) contT) callecc
where callecc-cont f = ContT (λk. run-cont (f (λx. ContT (λ-. k x))) k)
```

```
lemma run-callcc-cont [simp]: run-cont (callcc-cont f) k = run-cont (f (λx. ContT
(λ-. k x))) k
⟨proof⟩
```

#### 3.10.2 Plain monad

```
definition return-cont :: ('a, ('a, 'm) contT) return
where return-cont x = ContT (λk. k x)
```

```
definition bind-cont :: ('a, ('a, 'm) contT) bind
where bind-cont m f = ContT (λk. run-cont m (λx. run-cont (f x) k))
```

```

lemma run-return-cont [simp]: run-cont (return-cont x) k = k x
⟨proof⟩

lemma run-bind-cont [simp]: run-cont (bind-cont m f) k = run-cont m (λx. run-cont
(f x) k)
⟨proof⟩

lemma monad-contT [locale-witness]: monad return-cont bind-cont (is monad ?return
?bind)
⟨proof⟩

```

### 3.10.3 Failure

```

context
  fixes fail :: 'm fail
begin

  definition fail-cont :: ('a, 'm) contT fail
  where fail-cont = ContT (λ-. fail)

```

```

lemma run-fail-cont [simp]: run-cont fail-cont k = fail
⟨proof⟩

```

```

lemma monad-fail-contT [locale-witness]: monad-fail return-cont bind-cont fail-cont
⟨proof⟩

```

```
end
```

### 3.10.4 State

```

context
  fixes get :: ('s, 'm) get
  and put :: ('s, 'm) put
begin

  definition get-cont :: ('s, ('a, 'm) contT) get
  where get-cont f = ContT (λk. get (λs. run-cont (f s) k))

```

```

  definition put-cont :: ('s, ('a, 'm) contT) put
  where put-cont s m = ContT (λk. put s (run-cont m k))

```

```

lemma run-get-cont [simp]: run-cont (get-cont f) k = get (λs. run-cont (f s) k)
⟨proof⟩

```

```

lemma run-put-cont [simp]: run-cont (put-cont s m) k = put s (run-cont m k)
⟨proof⟩

```

```

lemma monad-state-contT [locale-witness]:

```

```

assumes monad-state return' bind' get put — We don't need the plain monad
operations for lifting.
shows monad-state return-cont bind-cont get-cont (put-cont :: ('s, ('a, 'm) contT)
put)
  (is monad-state ?return ?bind ?get ?put)
  ⟨proof⟩

end

```

## 4 Locales for monad homomorphisms

```

locale monad-hom = m1: monad return1 bind1 +
  m2: monad return2 bind2
  for return1 :: ('a, 'm1) return
  and bind1 :: ('a, 'm1) bind
  and return2 :: ('a, 'm2) return
  and bind2 :: ('a, 'm2) bind
  and h :: 'm1 ⇒ 'm2
  +
  assumes hom-return: ∀x. h (return1 x) = return2 x
  and hom-bind: ∀x f. h (bind1 x f) = bind2 (h x) (h ∘ f)
begin

lemma hom-lift [simp]: h (m1.lift f m) = m2.lift f (h m)
  ⟨proof⟩

end

locale monad-state-hom = m1: monad-state return1 bind1 get1 put1 +
  m2: monad-state return2 bind2 get2 put2 +
  monad-hom return1 bind1 return2 bind2 h
  for return1 :: ('a, 'm1) return
  and bind1 :: ('a, 'm1) bind
  and get1 :: ('s, 'm1) get
  and put1 :: ('s, 'm1) put
  and return2 :: ('a, 'm2) return
  and bind2 :: ('a, 'm2) bind
  and get2 :: ('s, 'm2) get
  and put2 :: ('s, 'm2) put
  and h :: 'm1 ⇒ 'm2
  +
  assumes hom-get [simp]: h (get1 f) = get2 (h ∘ f)
  and hom-put [simp]: h (put1 s m) = put2 s (h m)

locale monad-fail-hom = m1: monad-fail return1 bind1 fail1 +
  m2: monad-fail return2 bind2 fail2 +
  monad-hom return1 bind1 return2 bind2 h
  for return1 :: ('a, 'm1) return
  and bind1 :: ('a, 'm1) bind

```

```

and fail1 :: 'm1 fail'
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and fail2 :: 'm2 fail
and h :: 'm1  $\Rightarrow$  'm2
+
assumes hom-fail [simp]: h fail1 = fail2

locale monad-catch-hom = m1: monad-catch return1 bind1 fail1 catch1 +
m2: monad-catch return2 bind2 fail2 catch2 +
monad-fail-hom return1 bind1 fail1 return2 bind2 fail2 h
for return1 :: ('a, 'm1) return
and bind1 :: ('a, 'm1) bind
and fail1 :: 'm1 fail
and catch1 :: 'm1 catch
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and fail2 :: 'm2 fail
and catch2 :: 'm2 catch
and h :: 'm1  $\Rightarrow$  'm2
+
assumes hom-catch [simp]: h (catch1 m1 m2) = catch2 (h m1) (h m2)

locale monad-reader-hom = m1: monad-reader return1 bind1 ask1 +
m2: monad-reader return2 bind2 ask2 +
monad-hom return1 bind1 return2 bind2 h
for return1 :: ('a, 'm1) return
and bind1 :: ('a, 'm1) bind
and ask1 :: ('r, 'm1) ask
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and ask2 :: ('r, 'm2) ask
and h :: 'm1  $\Rightarrow$  'm2
+
assumes hom-ask [simp]: h (ask1 f) = ask2 (h  $\circ$  f)

locale monad-prob-hom = m1: monad-prob return1 bind1 sample1 +
m2: monad-prob return2 bind2 sample2 +
monad-hom return1 bind1 return2 bind2 h
for return1 :: ('a, 'm1) return
and bind1 :: ('a, 'm1) bind
and sample1 :: ('p, 'm1) sample
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and sample2 :: ('p, 'm2) sample
and h :: 'm1  $\Rightarrow$  'm2
+
assumes hom-sample [simp]: h (sample1 p f) = sample2 p (h  $\circ$  f)

```

```

locale monad-alt-hom = m1: monad-alt return1 bind1 alt1 +
m2: monad-alt return2 bind2 alt2 +
monad-hom return1 bind1 return2 bind2 h
for return1 :: ('a, 'm1) return
and bind1 :: ('a, 'm1) bind
and alt1 :: 'm1 alt
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and alt2 :: 'm2 alt
and h :: 'm1 ⇒ 'm2
+
assumes hom-alt [simp]: h (alt1 m m') = alt2 (h m) (h m')

locale monad-altc-hom = m1: monad-altc return1 bind1 altc1 +
m2: monad-altc return2 bind2 altc2 +
monad-hom return1 bind1 return2 bind2 h
for return1 :: ('a, 'm1) return
and bind1 :: ('a, 'm1) bind
and altc1 :: ('c, 'm1) altc
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and altc2 :: ('c, 'm2) altc
and h :: 'm1 ⇒ 'm2
+
assumes hom-altc [simp]: h (altc1 C f) = altc2 C (h ∘ f)

locale monad-writer-hom = m1: monad-writer return1 bind1 tell1 +
m2: monad-writer return2 bind2 tell2 +
monad-hom return1 bind1 return2 bind2 h
for return1 :: ('a, 'm1) return
and bind1 :: ('a, 'm1) bind
and tell1 :: ('w, 'm1) tell
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and tell2 :: ('w, 'm2) tell
and h :: 'm1 ⇒ 'm2
+
assumes hom-tell [simp]: h (tell1 w m) = tell2 w (h m)

locale monad-resumption-hom = m1: monad-resumption return1 bind1 pause1 +
m2: monad-resumption return2 bind2 pause2 +
monad-hom return1 bind1 return2 bind2 h
for return1 :: ('a, 'm1) return
and bind1 :: ('a, 'm1) bind
and pause1 :: ('o, 'i, 'm1) pause
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and pause2 :: ('o, 'i, 'm2) pause
and h :: 'm1 ⇒ 'm2

```

```

+
assumes hom-pause [simp]:  $h(\text{pause1 out } c) = \text{pause2 out } (h \circ c)$ 

```

## 5 Switching between monads

Homomorphisms are functional relations between monads. In general, it is more convenient to use arbitrary relations as embeddings because arbitrary relations allow us to change the type of values in a monad. As different monad transformers change the value type in different ways, the embeddings must also support such changes in values.

```
context includes lifting-syntax begin
```

### 5.1 Embedding Identity into Probability

```
named-theorems cr-id-prob-transfer
```

```
definition prob-of-id :: ' $a$  id  $\Rightarrow$  ' $a$  prob where  

 $\text{prob-of-id } m = \text{return-pmf}(\text{extract } m)$ 
```

```
lemma monad-id-prob-hom [locale-witness]:  

 $\text{monad-hom return-id bind-id return-pmf bind-pmf prob-of-id}$   

 $\langle\text{proof}\rangle$ 
```

```
inductive cr-id-prob :: (' $a \Rightarrow 'b \Rightarrow \text{bool}$ )  $\Rightarrow$  ' $a$  id  $\Rightarrow$  ' $b$  prob  $\Rightarrow$  bool for A  

where  $A x y \implies \text{cr-id-prob } A (\text{return-id } x) (\text{return-pmf } y)$ 
```

```
inductive-simps cr-id-prob-simps [simp]:  $\text{cr-id-prob } A (\text{return-id } x) (\text{return-pmf } y)$ 
```

```
lemma cr-id-prob-return [cr-id-prob-transfer]:  $(A \implies \text{cr-id-prob } A) \text{return-id}$   

 $\text{return-pmf}$   

 $\langle\text{proof}\rangle$ 
```

```
lemma cr-id-prob-bind [cr-id-prob-transfer]:  

 $(\text{cr-id-prob } A \implies (A \implies \text{cr-id-prob } B) \implies \text{cr-id-prob } B) \text{bind-id}$   

 $\text{bind-pmf}$   

 $\langle\text{proof}\rangle$ 
```

```
lemma cr-id-prob-Grp:  $\text{cr-id-prob}(\text{BNF-Def.Grp } A f) = \text{BNF-Def.Grp} \{x. \text{set-id}$   

 $x \subseteq A\} (\text{return-pmf } \circ f \circ \text{extract})$   

 $\langle\text{proof}\rangle$ 
```

### 5.2 State and Reader

When no state updates are needed, the operation *get* can be replaced by *ask*.

**named-theorems** *cr-envT-stateT-transfer*

**definition** *cr-prod1* ::  $'c \Rightarrow ('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'b \times 'c \Rightarrow \text{bool}$   
**where** *cr-prod1*  $c' A = (\lambda a (b, c). A a b \wedge c' = c)$

**lemma** *cr-prod1-simps* [*simp*]: *cr-prod1*  $c' A a (b, c) \longleftrightarrow A a b \wedge c' = c$   
 $\langle \text{proof} \rangle$

**lemma** *cr-prod1I*:  $A a b \implies \text{cr-prod1 } c' A a (b, c)$   $\langle \text{proof} \rangle$

**lemma** *cr-prod1-Pair-transfer* [*cr-envT-stateT-transfer*]:  $(A \implies \text{eq-onp } ((=) c) \implies \text{cr-prod1 } c A) (\lambda a . a) \text{ Pair}$   
 $\langle \text{proof} \rangle$

**lemma** *cr-prod1-fst-transfer* [*cr-envT-stateT-transfer*]:  $(\text{cr-prod1 } c A \implies A) (\lambda a . a) \text{ fst}$   
 $\langle \text{proof} \rangle$

**lemma** *cr-prod1-case-prod-transfer* [*cr-envT-stateT-transfer*]:  
 $((A \implies \text{eq-onp } ((=) c) \implies C) \implies \text{cr-prod1 } c A \implies C) (\lambda f a . f a c) \text{ case-prod}$   
 $\langle \text{proof} \rangle$

**lemma** *cr-prod1-Grp*: *cr-prod1*  $c (\text{BNF-Def.Grp } A f) = \text{BNF-Def.Grp } A (\lambda b . (f b, c))$   
 $\langle \text{proof} \rangle$

**definition** *cr-envT-stateT* ::  $'s \Rightarrow ('m1 \Rightarrow 'm2 \Rightarrow \text{bool}) \Rightarrow ('s, 'm1) \text{ envT} \Rightarrow ('s, 'm2) \text{ stateT} \Rightarrow \text{bool}$   
**where** *cr-envT-stateT*  $s M m1 m2 = (\text{eq-onp } ((=) s) \implies M) (\text{run-env } m1) (\text{run-state } m2)$

**lemma** *cr-envT-stateT-simps* [*simp*]:  
 $\text{cr-envT-stateT } s M (\text{EnvT } f) (\text{StateT } g) \longleftrightarrow M (f s) (g s)$   
 $\langle \text{proof} \rangle$

**lemma** *cr-envT-stateTE*:  
**assumes** *cr-envT-stateT*  $s M m1 m2$   
**obtains**  $f g$  **where**  $m1 = \text{EnvT } f$   $m2 = \text{StateT } g$   $(\text{eq-onp } ((=) s) \implies M) f g$   
 $\langle \text{proof} \rangle$

**lemma** *cr-envT-stateTD*: *cr-envT-stateT*  $s M m1 m2 \implies M (\text{run-env } m1 s)$   
 $(\text{run-state } m2 s)$   
 $\langle \text{proof} \rangle$

**lemma** *cr-envT-stateT-run* [*cr-envT-stateT-transfer*]:  
 $(\text{cr-envT-stateT } s M \implies \text{eq-onp } ((=) s) \implies M) \text{ run-env run-state}$   
 $\langle \text{proof} \rangle$

**lemma** *cr-envT-stateT-StateT-EnvT [cr-envT-stateT-transfer]*:  
 $((eq-onp ((=) s) ==> M) ==> cr-envT-stateT s M)$  *EnvT StateT*  
 $\langle proof \rangle$

**lemma** *cr-envT-stateT-rec [cr-envT-stateT-transfer]*:  
 $((eq-onp ((=) s) ==> M) ==> C) ==> cr-envT-stateT s M ==> C)$   
*rec-envT rec-stateT*  
 $\langle proof \rangle$

**lemma** *cr-envT-stateT-return [cr-envT-stateT-transfer]*:  
**notes** [*transfer-rule*] = *cr-envT-stateT-transfer* **shows**  
 $((cr-prod1 s A ==> M) ==> A ==> cr-envT-stateT s M)$  *return-env*  
*return-state*  
 $\langle proof \rangle$

**lemma** *cr-envT-stateT-bind [cr-envT-stateT-transfer]*:  
 $((M ==> (cr-prod1 s A ==> M) ==> M) ==> cr-envT-stateT s M ==> (A ==> cr-envT-stateT s M) ==> cr-envT-stateT s M)$   
*bind-env bind-state*  
 $\langle proof \rangle$

**lemma** *cr-envT-stateT-task-get [cr-envT-stateT-transfer]*:  
 $((eq-onp ((=) s) ==> cr-envT-stateT s M) ==> cr-envT-stateT s M)$  *ask-env*  
*get-state*  
 $\langle proof \rangle$

**lemma** *cr-envT-stateT-fail [cr-envT-stateT-transfer]*:  
**notes** [*transfer-rule*] = *cr-envT-stateT-transfer* **shows**  
 $(M ==> cr-envT-stateT s M)$  *fail-env fail-state*  
 $\langle proof \rangle$

### 5.3 - *spmf* and $(-, - \text{ prob})$ *optionT*

This section defines the mapping between the - *spmf* monad and the monad obtained by composing transforming - *prob* with  $(-, -)$  *optionT*.

**definition** *cr-spmf-prob-optionT* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a, 'a \text{ option prob}) \text{ optionT}$   
 $\Rightarrow 'b \text{ spmf} \Rightarrow \text{bool}$   
**where** *cr-spmf-prob-optionT A p q*  $\longleftrightarrow$  *rel-spmf A (run-option p) q*

**lemma** *cr-spmf-prob-optionTI*: *rel-spmf A (run-option p) q*  $\Longrightarrow$  *cr-spmf-prob-optionT A p q*  
 $\langle proof \rangle$

**lemma** *cr-spmf-prob-optionTD*: *cr-spmf-prob-optionT A p q*  $\Longrightarrow$  *rel-spmf A (run-option p) q*  
 $\langle proof \rangle$

**lemma** *cr-spmf-prob-optionT-return-transfer*:

— Cannot be used as a transfer rule in *transfer-prover* because *return-spmf* is not a constant.

$(A \implies cr\text{-}spmf\text{-}prob\text{-}optionT A) (return\text{-}option return\text{-}pmf) return\text{-}spmf \langle proof \rangle$

**lemma** *cr-spmf-prob-optionT-bind-transfer*:

$(cr\text{-}spmf\text{-}prob\text{-}optionT A \implies (A \implies cr\text{-}spmf\text{-}prob\text{-}optionT A) \implies cr\text{-}spmf\text{-}prob\text{-}optionT A)$   
 $(bind\text{-}option return\text{-}pmf bind\text{-}pmf) bind\text{-}spmf \langle proof \rangle$

**lemma** *cr-spmf-prob-optionT-fail-transfer*:

$cr\text{-}spmf\text{-}prob\text{-}optionT A (fail\text{-}option return\text{-}pmf) (return\text{-}pmf None) \langle proof \rangle$

**abbreviation** (*input*) *spmf-of-prob-optionT* ::  $('a, 'a option prob) optionT \Rightarrow 'a spmf$

**where** *spmf-of-prob-optionT*  $\equiv$  *run-option*

**abbreviation** (*input*) *prob-optionT-of-spmf* ::  $'a spmf \Rightarrow ('a, 'a option prob) optionT$

**where** *prob-optionT-of-spmf*  $\equiv$  *OptionT*

**lemma** *spmf-of-prob-optionT-transfer*:  $(cr\text{-}spmf\text{-}prob\text{-}optionT A \implies rel\text{-}spmf A) spmf\text{-}of\text{-}prob\text{-}optionT (\lambda x. x) \langle proof \rangle$

**lemma** *prob-optionT-of-spmf-transfer*:  $(rel\text{-}spmf A \implies cr\text{-}spmf\text{-}prob\text{-}optionT A) prob\text{-}optionT\text{-}of\text{-}spmf (\lambda x. x) \langle proof \rangle$

## 5.4 Probabilities and countable non-determinism

**named-theorems** *cr-prob-ndi-transfer*

**context includes** *cset.lifting* **begin**

**interpretation** *cset-nondetM return-id bind-id merge-id merge-id*  $\langle proof \rangle$

**lift-definition** *cset-pmf* ::  $'a pmf \Rightarrow 'a cset$  **is** *set-pmf*  $\langle proof \rangle$

**inductive** *cr-pmf-cset* ::  $'a pmf \Rightarrow 'a cset \Rightarrow bool$  **for** *p* **where**  
 $cr\text{-}pmf\text{-}cset p (cset\text{-}pmf p)$

**lemma** *cr-pmf-cset-Grp*:  $cr\text{-}pmf\text{-}cset = BNF\text{-}Def.Grp UNIV cset\text{-}pmf \langle proof \rangle$

**lemma** *cr-pmf-cset-return-pmf* [*cr-prob-ndi-transfer*]:  
 $((=) \implies cr\text{-}pmf\text{-}cset) return\text{-}pmf csingle$

```

⟨proof⟩

inductive cr-prob-ndi :: ('a ⇒ 'b ⇒ bool) ⇒ 'a prob ⇒ ('b, 'b cset id) nondetT
⇒ bool
for A p B where
  cr-prob-ndi A p B if rel-set A (set-pmf p) (rcset (extract (run-nondet B)))

lemma cr-prob-ndi-Grp: cr-prob-ndi (BNF-Def.Grp UNIV f) = BNF-Def.Grp
UNIV (NondetT ∘ return-id ∘ cimage f ∘ cset-pmf)
⟨proof⟩

lemma cr-ndi-prob-return [cr-prob-ndi-transfer]:
(A ===> cr-prob-ndi A) return-pmf return-nondet
⟨proof⟩

lemma cr-ndi-prob-bind [cr-prob-ndi-transfer]:
(cr-prob-ndi A ===> (A ===> cr-prob-ndi A) ===> cr-prob-ndi A) bind-pmf
bind-nondet
⟨proof⟩

lemma cr-ndi-prob-sample [cr-prob-ndi-transfer]:
(cr-pmf-cset ===> ((=) ===> cr-prob-ndi A) ===> cr-prob-ndi A) bind-pmf
altc-nondet
⟨proof⟩

end

end

end

```

## 6 Overloaded monad operations

```
theory Monad-Overloading imports Monomorphic-Monad begin
```

```

consts return :: ('a, 'm) return
consts bind :: ('a, 'm) bind
consts get :: ('s, 'm) get
consts put :: ('s, 'm) put
consts fail :: 'm fail
consts catch :: 'm catch
consts ask :: ('r, 'm) ask
consts sample :: ('p, 'm) sample
consts pause :: ('o, 'i, 'm) pause
consts tell :: ('w, 'm) tell
consts alt :: 'm alt
consts altc :: ('c, 'm) altc

```

## 6.1 Identity monad

**overloading**

*bind-id' ≡ bind :: ('a, 'a id) bind*

*return-id ≡ return :: ('a, 'a id) return*

**begin**

**definition** *bind-id' :: ('a, 'a id) bind*

**where** [code-unfold, monad-unfold]: *bind-id' = bind-id*

**definition** *return-id :: ('a, 'a id) return*

**where** [code-unfold, monad-unfold]: *return-id = id.return-id*

**end**

**lemma** *extract-bind' [simp]: extract (bind x f) = extract (f (extract x))*

*{proof}*

**lemma** *extract-return [simp]: extract (return x) = x*

*{proof}*

**lemma** *monad-id' [locale-witness]: monad return (bind :: ('a, 'a id) bind)*

*{proof}*

**lemma** *monad-commute-id' [locale-witness]: monad-commute return (bind :: ('a, 'a id) bind)*

*{proof}*

## 6.2 Probability monad

**overloading**

*return-prob ≡ return :: ('a, 'a prob) return*

*bind-prob ≡ bind :: ('a, 'a prob) bind*

*sample-prob ≡ sample :: ('p, 'a prob) sample*

**begin**

**definition** *return-prob :: ('a, 'a pmf) return*

**where** [code-unfold, monad-unfold]: *return-prob = return-pmf*

**definition** *bind-prob :: ('a, 'a prob) bind*

**where** [code-unfold, monad-unfold]: *bind-prob = bind-pmf*

**definition** *sample-prob :: ('p, 'a pmf) sample*

**where** [code-unfold, monad-unfold]: *sample-prob = bind-pmf*

**end**

**lemma** *monad-prob' [locale-witness]: monad return (bind :: ('a, 'a prob) bind)*

*{proof}*

**lemma** *monad-commute-prob'* [*locale-witness*]: *monad-commute return (bind :: ('a, 'a prob) bind)*  
*(proof)*

**lemma** *monad-prob-prob'* [*locale-witness*]: *monad-prob return (bind :: ('a, 'a prob) bind) (sample :: ('p, 'a prob) sample)*  
*(proof)*

### 6.3 Nondeterminism monad transformer

As the collection type is not determined from the type of the return operation, we can only provide definitions for one collection type implementation. We choose multisets. Accordingly, *altc* is not available.

#### consts

*munionMT :: 'a itself  $\Rightarrow$  'm  $\Rightarrow$  'm  $\Rightarrow$  'm*  
*mUnionMT :: 'a itself  $\Rightarrow$  'm multiset  $\Rightarrow$  'm*

#### overloading

*return-nondetT  $\equiv$  return :: ('a, ('a, 'm) nondetT) return (unchecked)*  
*bind-nondetT  $\equiv$  bind :: ('a, ('a, 'm) nondetT) bind (unchecked)*  
*fail-nondetT  $\equiv$  fail :: ('a, 'm) nondetT fail (unchecked)*  
*ask-nondetT  $\equiv$  ask :: ('r, ('a, 'm) nondetT) ask*  
*get-nondetT  $\equiv$  get :: ('s, ('a, 'm) nondetT) get*  
*put-nondetT  $\equiv$  put :: ('s, ('a, 'm) nondetT) put*  
*alt-nondetT  $\equiv$  alt :: ('a, 'm) nondetT alt (unchecked)*  
*munionMT  $\equiv$  munionMT :: 'a itself  $\Rightarrow$  'm  $\Rightarrow$  'm (unchecked)*  
*mUnionMT  $\equiv$  mUnionMT :: 'a itself  $\Rightarrow$  'm multiset  $\Rightarrow$  'm (unchecked)*

**begin**

**interpretation** *nondetM-base return bind mmerge return bind {#}  $\lambda x.$  {#x#}*  
*(+) (proof)*

**definition** *return-nondetT :: ('a, ('a, 'm) nondetT) return*  
**where** [*code-unfold, monad-unfold*]: *return-nondetT = return-nondet*

**definition** *bind-nondetT :: ('a, ('a, 'm) nondetT) bind*  
**where** [*code-unfold, monad-unfold*]: *bind-nondetT = bind-nondet*

**definition** *fail-nondetT :: ('a, 'm) nondetT fail*  
**where** [*code-unfold, monad-unfold*]: *fail-nondetT = fail-nondet*

**definition** *ask-nondetT :: ('r, ('a, 'm) nondetT) ask*  
**where** [*code-unfold, monad-unfold*]: *ask-nondetT = ask-nondet ask*

**definition** *get-nondetT :: ('s, ('a, 'm) nondetT) get*  
**where** [*code-unfold, monad-unfold*]: *get-nondetT = get-nondet get*

**definition** *put-nondetT :: ('s, ('a, 'm) nondetT) put*  
**where** [*code-unfold, monad-unfold*]: *put-nondetT = put-nondet put*

```

definition alt-nondetT :: ('a, 'm) nondetT alt
where [code-unfold, monad-unfold]: alt-nondetT = alt-nondet

definition munionMT :: 'a itself  $\Rightarrow$  'm  $\Rightarrow$  'm  $\Rightarrow$  'm
where munionMT - m1 m2 = bind m1 ( $\lambda A.$  bind m2 ( $\lambda B.$  return (A + B :: 'a multiset)))

definition mUnionMT :: 'a itself  $\Rightarrow$  'm multiset  $\Rightarrow$  'm
where mUnionMT - = fold-mset (munionMT TYPE('a)) (return ({#} :: 'a multiset))

end

context begin
interpretation nondetM-base return bind mmerge return bind {#}  $\lambda x.$  {#x#}
(+) ⟨proof⟩

lemma run-bind-nondetT:
  fixes f :: 'a  $\Rightarrow$  ('a, 'm) nondetT shows
    run-nondet (bind m f) = bind (run-nondet m) ( $\lambda A.$  mUnionMT TYPE('a)
  (image-mset (run-nondet  $\circ$  f) A))
⟨proof⟩

lemma run-return-nondetT [simp]: run-nondet (return x :: ('a, 'm) nondetT) =
return {#x#} for x :: 'a
⟨proof⟩

lemma run-fail-nondetT [simp]: run-nondet (fail :: ('a, 'm) nondetT) = return
({#} :: 'a multiset)
⟨proof⟩

lemma run-ask-nondetT [simp]: run-nondet (ask f) = ask ( $\lambda r.$  run-nondet (f r))
⟨proof⟩

lemma run-get-nondetT [simp]: run-nondet (get f) = get ( $\lambda s.$  run-nondet (f s))
⟨proof⟩

lemma run-put-nondetT [simp]: run-nondet (put s m) = put s (run-nondet m)
⟨proof⟩

lemma run-alt-nondetT [simp]:
  run-nondet (alt m m' :: ('a, 'm) nondetT) =
  bind (run-nondet m) ( $\lambda A :: 'a$  multiset. bind (run-nondet m') ( $\lambda B.$  return (A +
B)))
⟨proof⟩

end

```

```

lemma monad-nondetT' [locale-witness]:
  monad-commute return (bind :: ('a multiset, 'm) bind)
  ==> monad return (bind :: ('a, ('a, 'm) nondetT) bind)
⟨proof⟩

lemma monad-fail-nondetT' [locale-witness]:
  monad-commute return (bind :: ('a multiset, 'm) bind)
  ==> monad-fail return (bind :: ('a, ('a, 'm) nondetT) bind) fail
⟨proof⟩

lemma monad-alt-nondetT' [locale-witness]:
  monad-commute return (bind :: ('a multiset, 'm) bind)
  ==> monad-alt return (bind :: ('a, ('a, 'm) nondetT) bind) alt
⟨proof⟩

lemma monad-fail-alt-nondetT' [locale-witness]:
  monad-commute return (bind :: ('a multiset, 'm) bind)
  ==> monad-fail-alt return (bind :: ('a, ('a, 'm) nondetT) bind) fail alt
⟨proof⟩

lemma monad-reader-nondetT' [locale-witness]:
  [] monad-commute return (bind :: ('a multiset, 'm) bind);
    monad-reader return (bind :: ('a multiset, 'm) bind) (ask :: ('r, 'm) ask) []
  ==> monad-reader return (bind :: ('a, ('a, 'm) nondetT) bind) (ask :: ('r, ('a, 'm) nondetT) ask)
⟨proof⟩

```

## 6.4 State monad transformer

### overloading

```

get-stateT ≡ get :: ('s, ('s, 'm) stateT) get
put-stateT ≡ put :: ('s, ('s, 'm) stateT) put
bind-stateT ≡ bind :: ('a, ('s, 'm) stateT) bind (unchecked)
return-stateT ≡ return :: ('a, ('s, 'm) stateT) return (unchecked)
fail-stateT ≡ fail :: ('s, 'm) stateT fail
ask-stateT ≡ ask :: ('r, ('s, 'm) stateT) ask
sample-stateT ≡ sample :: ('p, ('s, 'm) stateT) sample
tell-stateT ≡ tell :: ('w, ('s, 'm) stateT) tell
alt-stateT ≡ alt :: ('s, 'm) stateT alt
altc-stateT ≡ altc :: ('c, ('s, 'm) stateT) altc
pause-stateT ≡ pause :: ('o, 'i, ('s, 'm) stateT) pause
begin

```

```

definition get-stateT :: ('s, ('s, 'm) stateT) get
where [code-unfold, monad-unfold]: get-stateT = get-state

```

```

definition put-stateT :: ('s, ('s, 'm) stateT) put
where [code-unfold, monad-unfold]: put-stateT = put-state

```

```

definition bind-stateT :: ('a, ('s, 'm) stateT) bind
where [code-unfold, monad-unfold]: bind-stateT = bind-state bind

definition return-stateT :: ('a, ('s, 'm) stateT) return
where [code-unfold, monad-unfold]: return-stateT = return-state return

definition fail-stateT :: ('s, 'm) stateT fail
where [code-unfold, monad-unfold]: fail-stateT = fail-state fail

definition ask-stateT :: ('r, ('s, 'm) stateT) ask
where [code-unfold, monad-unfold]: ask-stateT = ask-state ask

definition sample-stateT :: ('p, ('s, 'm) stateT) sample
where [code-unfold, monad-unfold]: sample-stateT = sample-state sample

definition tell-stateT :: ('w, ('s, 'm) stateT) tell
where [code-unfold, monad-unfold]: tell-stateT = tell-state tell

definition alt-stateT :: ('s, 'm) stateT alt
where [code-unfold, monad-unfold]: alt-stateT = alt-state alt

definition altc-stateT :: ('c, ('s, 'm) stateT) altc
where [code-unfold, monad-unfold]: altc-stateT = altc-state altc

definition pause-stateT :: ('o, 'i, ('s, 'm) stateT) pause
where [code-unfold, monad-unfold]: pause-stateT = pause-state pause

end

lemma run-bind-stateT [simp]:
  run-state (bind x f) s = bind (run-state x s) (λ(a, s'). run-state (f a) s')
  ⟨proof⟩

lemma run-return-stateT [simp]: run-state (return x) s = return (x, s)
  ⟨proof⟩

lemma run-put-stateT [simp]: run-state (put s m) s' = run-state m s
  ⟨proof⟩

lemma run-get-state [simp]: run-state (get f) s = run-state (f s) s
  ⟨proof⟩

lemma run-fail-stateT [simp]: run-state fail s = fail
  ⟨proof⟩

lemma run-ask-stateT [simp]: run-state (ask f) s = ask (λr. run-state (f r) s)
  ⟨proof⟩

lemma run-sample-stateT [simp]: run-state (sample p f) s = sample p (λx. run-state

```

```

(f x) s)
⟨proof⟩

lemma run-tell-stateT [simp]: run-state (tell w m) s = tell w (run-state m s)
⟨proof⟩

lemma run-alt-stateT [simp]: run-state (alt m m') s = alt (run-state m s) (run-state
m' s)
⟨proof⟩

lemma run-altc-stateT [simp]: run-state (altc C f) s = altc C (λx. run-state (f x)
s)
⟨proof⟩

lemma run-pause-stateT [simp]: run-state (pause out c) s = pause out (λinput.
run-state (c input) s)
⟨proof⟩

lemma monad-stateT' [locale-witness]:
monad return (bind :: ('a × 's, 'm) bind)  $\implies$  monad return (bind :: ('a, ('s, 'm)
stateT) bind)
⟨proof⟩

lemma monad-state-stateT' [locale-witness]:
monad return (bind :: ('a × 's, 'm) bind)
 $\implies$  monad-state return (bind :: ('a, ('s, 'm) stateT) bind) get (put :: ('s, ('s, 'm)
stateT) put)
⟨proof⟩

lemma monad-fail-stateT' [locale-witness]:
monad-fail return (bind :: ('a × 's, 'm) bind) fail
 $\implies$  monad-fail return (bind :: ('a, ('s, 'm) stateT) bind) fail
⟨proof⟩

lemma monad-reader-stateT' [locale-witness]:
monad-reader return (bind :: ('a × 's, 'm) bind) (ask :: ('r, 'm) ask)
 $\implies$  monad-reader return (bind :: ('a, ('s, 'm) stateT) bind) (ask :: ('r, ('s, 'm)
stateT) ask)
⟨proof⟩

lemma monad-reader-state-stateT' [locale-witness]:
monad-reader return (bind :: ('a × 's, 'm) bind) (ask :: ('r, 'm) ask)
 $\implies$  monad-reader-state return (bind :: ('a, ('s, 'm) stateT) bind) (ask :: ('r, ('s,
'm) stateT) ask) get-state put-state
⟨proof⟩

lemma monad-prob-stateT' [locale-witness]:
monad-prob return (bind :: ('a × 's, 'm) bind) (sample :: ('p, 'm) sample)
 $\implies$  monad-prob return (bind :: ('a, ('s, 'm) stateT) bind) (sample :: ('p, ('s, 'm)
sample)
⟨proof⟩

```

*stateT) sample*  
*⟨proof⟩*

**lemma** *monad-state-prob-stateT' [locale-witness]*:

*monad-prob return (bind :: ('a × 's, 'm) bind) (sample :: ('p, 'm) sample)*  
 $\implies$  *monad-state-prob return (bind :: ('a, ('s, 'm) stateT) bind) get (put :: ('s, ('s, 'm) stateT) put) (sample :: ('p, ('s, 'm) stateT) sample)*  
*⟨proof⟩*

**lemma** *monad-writer-stateT' [locale-witness]*:

*monad-writer return (bind :: ('a × 's, 'm) bind) (tell :: ('w, 'm) tell)*  
 $\implies$  *monad-writer return (bind :: ('a, ('s, 'm) stateT) bind) (tell :: ('w, ('s, 'm) stateT) tell)*  
*⟨proof⟩*

**lemma** *monad-alt-stateT' [locale-witness]*:

*monad-alt return (bind :: ('a × 's, 'm) bind) alt*  
 $\implies$  *monad-alt return (bind :: ('a, ('s, 'm) stateT) bind) alt*  
*⟨proof⟩*

**lemma** *monad-state-alt-stateT' [locale-witness]*:

*monad-alt return (bind :: ('a × 's, 'm) bind) alt*  
 $\implies$  *monad-state-alt return (bind :: ('a, ('s, 'm) stateT) bind) (get :: ('s, ('s, 'm) stateT) get) put alt*  
*⟨proof⟩*

**lemma** *monad-fail-alt-stateT' [locale-witness]*:

*monad-fail-alt return (bind :: ('a × 's, 'm) bind) fail alt*  
 $\implies$  *monad-fail-alt return (bind :: ('a, ('s, 'm) stateT) bind) fail alt*  
*⟨proof⟩*

**lemma** *monad-altc-stateT' [locale-witness]*:

*monad-altc return (bind :: ('a × 's, 'm) bind) (altc :: ('c, 'm) altc)*  
 $\implies$  *monad-altc return (bind :: ('a, ('s, 'm) stateT) bind) (altc :: ('c, ('s, 'm) stateT) altc)*  
*⟨proof⟩*

**lemma** *monad-state-altc-stateT' [locale-witness]*:

*monad-altc return (bind :: ('a × 's, 'm) bind) (altc :: ('c, 'm) altc)*  
 $\implies$  *monad-state-altc return (bind :: ('a, ('s, 'm) stateT) bind) (get :: ('s, ('s, 'm) stateT) get) put (altc :: ('c, ('s, 'm) stateT) altc)*  
*⟨proof⟩*

**lemma** *monad-resumption-stateT' [locale-witness]*:

*monad-resumption return (bind :: ('a × 's, 'm) bind) (pause :: ('o, 'i, 'm) pause)*  
 $\implies$  *monad-resumption return (bind :: ('a, ('s, 'm) stateT) bind) (pause :: ('o, ('i, ('s, 'm) stateT) pause))*  
*⟨proof⟩*

## 6.5 Failure and Exception monad transformer

**overloading**

```

return-optionT ≡ return :: ('a, ('a, 'm) optionT) return (unchecked)
bind-optionT ≡ bind :: ('a, ('a, 'm) optionT) bind (unchecked)
fail-optionT ≡ fail :: ('a, 'm) optionT fail (unchecked)
catch-optionT ≡ catch :: ('a, 'm) optionT catch (unchecked)
ask-optionT ≡ ask :: ('r, ('a, 'm) optionT) ask
get-optionT ≡ get :: ('s, ('a, 'm) optionT) get
put-optionT ≡ put :: ('s, ('a, 'm) optionT) put
sample-optionT ≡ sample :: ('p, ('a, 'm) optionT) sample
tell-optionT ≡ tell :: ('w, ('a, 'm) optionT) tell
alt-optionT ≡ alt :: ('a, 'm) optionT alt
altc-optionT ≡ altc :: ('c, ('a, 'm) optionT) altc
pause-optionT ≡ pause :: ('o, 'i, ('a, 'm) optionT) pause
begin

```

```

definition return-optionT :: ('a, ('a, 'm) optionT) return
where [code-unfold, monad-unfold]: return-optionT = return-option return

```

```

definition bind-optionT :: ('a, ('a, 'm) optionT) bind
where [code-unfold, monad-unfold]: bind-optionT = bind-option return bind

```

```

definition fail-optionT :: ('a, 'm) optionT fail
where [code-unfold, monad-unfold]: fail-optionT = fail-option return

```

```

definition catch-optionT :: ('a, 'm) optionT catch
where [code-unfold, monad-unfold]: catch-optionT = catch-option return bind

```

```

definition ask-optionT :: ('r, ('a, 'm) optionT) ask
where [code-unfold, monad-unfold]: ask-optionT = ask-option ask

```

```

definition get-optionT :: ('s, ('a, 'm) optionT) get
where [code-unfold, monad-unfold]: get-optionT = get-option get

```

```

definition put-optionT :: ('s, ('a, 'm) optionT) put
where [code-unfold, monad-unfold]: put-optionT = put-option put

```

```

definition sample-optionT :: ('p, ('a, 'm) optionT) sample
where [code-unfold, monad-unfold]: sample-optionT = sample-option sample

```

```

definition tell-optionT :: ('w, ('a, 'm) optionT) tell
where [code-unfold, monad-unfold]: tell-optionT = tell-option tell

```

```

definition alt-optionT :: ('a, 'm) optionT alt
where [code-unfold, monad-unfold]: alt-optionT = alt-option alt

```

```

definition altc-optionT :: ('c, ('a, 'm) optionT) altc
where [code-unfold, monad-unfold]: altc-optionT = altc-option altc

```

```

definition pause-optionT :: ('o, 'i, ('a, 'm) optionT) pause
where [code-unfold, monad-unfold]: pause-optionT = pause-option pause

end

lemma run-bind-optionT:
  fixes f :: 'a ⇒ ('a, 'm) optionT shows
    run-option (bind x f) = bind (run-option x) (λx. case x of None ⇒ return (None
    :: 'a option) | Some y ⇒ run-option (f y))
  ⟨proof⟩

lemma run-return-optionT [simp]: run-option (return x :: ('a, 'm) optionT) =
  return (Some x) for x :: 'a
  ⟨proof⟩

lemma run-fail-optionT [simp]: run-option (fail :: ('a, 'm) optionT fail) = return
  (None :: 'a option)
  ⟨proof⟩

lemma run-catch-optionT [simp]:
  run-option (catch m h :: ('a, 'm) optionT) =
    bind (run-option m) (λx :: 'a option. if x = None then run-option h else return
  x)
  ⟨proof⟩

lemma run-ask-optionT [simp]: run-option (ask f) = ask (λr. run-option (f r))
  ⟨proof⟩

lemma run-get-optionT [simp]: run-option (get f) = get (λs. run-option (f s))
  ⟨proof⟩

lemma run-put-optionT [simp]: run-option (put s m) = put s (run-option m)
  ⟨proof⟩

lemma run-sample-optionT [simp]: run-option (sample p f) = sample p (λx. run-option
  (f x))
  ⟨proof⟩

lemma run-tell-optionT [simp]: run-option (tell w m) = tell w (run-option m)
  ⟨proof⟩

lemma run-alt-optionT [simp]: run-option (alt m m') = alt (run-option m) (run-option
  m')
  ⟨proof⟩

lemma run-altc-optionT [simp]: run-option (altc C f) = altc C (run-option ∘ f)
  ⟨proof⟩

lemma run-pause-optionT [simp]: run-option (pause out c) = pause out (λininput.

```

```

run-option (c input))
⟨proof⟩

lemma monad-optionT' [locale-witness]:
  monad return (bind :: ('a option, 'm) bind)
  ⇒ monad return (bind :: ('a, ('a, 'm) optionT) bind)
⟨proof⟩

lemma monad-fail-optionT' [locale-witness]:
  monad return (bind :: ('a option, 'm) bind)
  ⇒ monad-fail return (bind :: ('a, ('a, 'm) optionT) bind) fail
⟨proof⟩

lemma monad-catch-optionT' [locale-witness]:
  monad return (bind :: ('a option, 'm) bind)
  ⇒ monad-catch return (bind :: ('a, ('a, 'm) optionT) bind) fail catch
⟨proof⟩

lemma monad-reader-optionT' [locale-witness]:
  monad-reader return (bind :: ('a option, 'm) bind) (ask :: ('r, 'm) ask)
  ⇒ monad-reader return (bind :: ('a, ('a, 'm) optionT) bind) (ask :: ('r, ('a, 'm)
optionT) ask)
⟨proof⟩

lemma monad-state-optionT' [locale-witness]:
  monad-state return (bind :: ('a option, 'm) bind) (get :: ('s, 'm) get) put
  ⇒ monad-state return (bind :: ('a, ('a, 'm) optionT) bind) (get :: ('s, ('a, 'm)
optionT) get) put
⟨proof⟩

lemma monad-catch-state-optionT' [locale-witness]:
  monad-state return (bind :: ('a option, 'm) bind) (get :: ('s, 'm) get) put
  ⇒ monad-catch-state return (bind :: ('a, ('a, 'm) optionT) bind) fail catch (get
:: ('s, ('a, 'm) optionT) get) put
⟨proof⟩

lemma monad-prob-optionT' [locale-witness]:
  monad-prob return (bind :: ('a option, 'm) bind) (sample :: ('p, 'm) sample)
  ⇒ monad-prob return (bind :: ('a, ('a, 'm) optionT) bind) (sample :: ('p, ('a,
'm) optionT) sample)
⟨proof⟩

lemma monad-state-prob-optionT' [locale-witness]:
  monad-state-prob return (bind :: ('a option, 'm) bind) (get :: ('s, 'm) get) put
  (sample :: ('p, 'm) sample)
  ⇒ monad-state-prob return (bind :: ('a, ('a, 'm) optionT) bind) (get :: ('s, ('a,
'm) optionT) get) put(sample :: ('p, ('a, 'm) optionT) sample)
⟨proof⟩

```

**lemma** *monad-writer-optionT'* [*locale-witness*]:  
*monad-writer return* (*bind* :: ('*a option, 'm) *bind*) (*tell* :: ('*w, 'm) *tell*)  
 $\Rightarrow$  *monad-writer return* (*bind* :: ('*a, ('a, 'm) optionT) *bind*) (*tell* :: ('*w, ('a, 'm) optionT) *tell*)  
*{proof}*****

**lemma** *monad-alt-optionT'* [*locale-witness*]:  
*monad-alt return* (*bind* :: ('*a option, 'm) *bind*) *alt*  
 $\Rightarrow$  *monad-alt return* (*bind* :: ('*a, ('a, 'm) optionT) *bind*) *alt*  
*{proof}***

**lemma** *monad-state-alt-optionT'* [*locale-witness*]:  
*monad-state-alt return* (*bind* :: ('*a option, 'm) *bind*) (*get* :: ('*s, 'm) *get*) *put alt*  
 $\Rightarrow$  *monad-state-alt return* (*bind* :: ('*a, ('a, 'm) optionT) *bind*) (*get* :: ('*s, ('a, 'm) optionT) *get*) *put alt*  
*{proof}*****

**lemma** *monad-altc-optionT'* [*locale-witness*]:  
*monad-altc return* (*bind* :: ('*a option, 'm) *bind*) (*altc* :: ('*c, 'm) *altc*)  
 $\Rightarrow$  *monad-altc return* (*bind* :: ('*a, ('a, 'm) optionT) *bind*) (*altc* :: ('*c, ('a, 'm) optionT) *altc*)  
*{proof}*****

**lemma** *monad-state-altc-optionT'* [*locale-witness*]:  
*monad-state-altc return* (*bind* :: ('*a option, 'm) *bind*) (*get* :: ('*s, 'm) *get*) *put (altc :: ('c, 'm) altc)*  
 $\Rightarrow$  *monad-state-altc return* (*bind* :: ('*a, ('a, 'm) optionT) *bind*) (*get* :: ('*s, ('a, 'm) optionT) *get*) *put (altc :: ('c, ('a, 'm) optionT) altc)*  
*{proof}*****

**lemma** *monad-resumption-optionT'* [*locale-witness*]:  
*monad-resumption return* (*bind* :: ('*a option, 'm) *bind*) (*pause* :: ('*o, 'i, 'm) *pause*)  
 $\Rightarrow$  *monad-resumption return* (*bind* :: ('*a, ('a, 'm) optionT) *bind*) (*pause* :: ('*o, 'i, ('a, 'm) optionT) *pause*)  
*{proof}*****

**lemma** *monad-commute-optionT'* [*locale-witness*]:  
 $\llbracket$  *monad-commute return* (*bind* :: ('*a option, 'm) *bind*); *monad-discard return* (*bind* :: ('*a option, 'm) *bind*)  $\rrbracket$   
 $\Rightarrow$  *monad-commute return* (*bind* :: ('*a, ('a, 'm) optionT) *bind*)  
*{proof}****

## 6.6 Reader monad transformer

### overloading

*return-envT*  $\equiv$  *return* :: ('*a, ('r, 'm) envT) *return*  
*bind-envT*  $\equiv$  *bind* :: ('*a, ('r, 'm) envT) *bind*  
*fail-envT*  $\equiv$  *fail* :: ('*r, 'm) envT *fail*  
*get-envT*  $\equiv$  *get* :: ('*s, ('r, 'm) envT) *get*****

```

put-envT ≡ put :: ('s, ('r, 'm) envT) put
sample-envT ≡ sample :: ('p, ('r, 'm) envT) sample
ask-envT ≡ ask :: ('r, ('r, 'm) envT) ask
catch-envT ≡ catch :: ('r, 'm) envT catch
alt-envT ≡ alt :: ('r, 'm) envT alt
altc-envT ≡ altc :: ('c, ('r, 'm) envT) altc
pause-envT ≡ pause :: ('o, 'i, ('r, 'm) envT) pause
tell-envT ≡ tell :: ('w, ('r, 'm) envT) tell
begin

definition return-envT :: ('a, ('r, 'm) envT) return
where [code-unfold, monad-unfold]: return-envT = return-env return

definition bind-envT :: ('a, ('r, 'm) envT) bind
where [code-unfold, monad-unfold]: bind-envT = bind-env bind

definition ask-envT :: ('r, ('r, 'm) envT) ask
where [code-unfold, monad-unfold]: ask-envT = ask-env

definition fail-envT :: ('r, 'm) envT fail
where [code-unfold, monad-unfold]: fail-envT = fail-env fail

definition get-envT :: ('s, ('r, 'm) envT) get
where [code-unfold, monad-unfold]: get-envT = get-env get

definition put-envT :: ('s, ('r, 'm) envT) put
where [code-unfold, monad-unfold]: put-envT = put-env put

definition sample-envT :: ('p, ('r, 'm) envT) sample
where [code-unfold, monad-unfold]: sample-envT = sample-env sample

definition catch-envT :: ('r, 'm) envT catch
where [code-unfold, monad-unfold]: catch-envT = catch-env catch

definition alt-envT :: ('r, 'm) envT alt
where [code-unfold, monad-unfold]: alt-envT = alt-env alt

definition altc-envT :: ('c, ('r, 'm) envT) altc
where [code-unfold, monad-unfold]: altc-envT = altc-env altc

definition pause-envT :: ('o, 'i, ('r, 'm) envT) pause
where [code-unfold, monad-unfold]: pause-envT = pause-env pause

definition tell-envT :: ('w, ('r, 'm) envT) tell
where [code-unfold, monad-unfold]: tell-envT = tell-env tell

end

lemma run-bind-envT [simp]: run-env (bind x f) r = bind (run-env x r) (λy.

```

*run-env* ( $f y$ )  $r$   
 $\langle proof \rangle$

**lemma** *run-return-envT* [simp]: *run-env* (*return*  $x$ )  $r$  = *return*  $x$   
 $\langle proof \rangle$

**lemma** *run-ask-envT* [simp]: *run-env* (*ask*  $f$ )  $r$  = *run-env* ( $f r$ )  $r$   
 $\langle proof \rangle$

**lemma** *run-fail-envT* [simp]: *run-env* *fail*  $r$  = *fail*  
 $\langle proof \rangle$

**lemma** *run-get-envT* [simp]: *run-env* (*get*  $f$ )  $r$  = *get* ( $\lambda s. \text{run-env} (f s) r$ )  
 $\langle proof \rangle$

**lemma** *run-put-envT* [simp]: *run-env* (*put*  $s m$ )  $r$  = *put*  $s$  (*run-env*  $m r$ )  
 $\langle proof \rangle$

**lemma** *run-sample-envT* [simp]: *run-env* (*sample*  $p f$ )  $r$  = *sample*  $p$  ( $\lambda x. \text{run-env} (f x) r$ )  
 $\langle proof \rangle$

**lemma** *run-catch-envT* [simp]: *run-env* (*catch*  $m h$ )  $r$  = *catch* (*run-env*  $m r$ )  
(*run-env*  $h r$ )  
 $\langle proof \rangle$

**lemma** *run-alt-envT* [simp]: *run-env* (*alt*  $m m'$ )  $r$  = *alt* (*run-env*  $m r$ ) (*run-env*  $m' r$ )  
 $\langle proof \rangle$

**lemma** *run-altc-envT* [simp]: *run-env* (*altc*  $C f$ )  $r$  = *altc*  $C$  ( $\lambda x. \text{run-env} (f x) r$ )  
 $\langle proof \rangle$

**lemma** *run-pause-envT* [simp]: *run-env* (*pause* *out*  $c$ )  $r$  = *pause* *out* ( $\lambda input.$   
*run-env* ( $c$  *input*)  $r$ )  
 $\langle proof \rangle$

**lemma** *run-tell-envT* [simp]: *run-env* (*tell*  $s m$ )  $r$  = *tell*  $s$  (*run-env*  $m r$ )  
 $\langle proof \rangle$

**lemma** *monad-envT'* [locale-witness]:  
  *monad return* (*bind* :: (' $a$ , ' $m$ ) *bind*)  
   $\implies$  *monad return* (*bind* :: (' $a$ , (' $r$ , ' $m$ ) *envT*) *bind*)  
 $\langle proof \rangle$

**lemma** *monad-reader-envT'* [locale-witness]:  
  *monad return* (*bind* :: (' $a$ , ' $m$ ) *bind*)  
   $\implies$  *monad-reader return* (*bind* :: (' $a$ , (' $r$ , ' $m$ ) *envT*) *bind*) (*ask* :: (' $r$ , (' $r$ , ' $m$ )  
*envT*) *ask*)

$\langle proof \rangle$

**lemma**  $\text{monad-fail-envT}'$  [locale-witness]:  
   $\text{monad-fail return } (\text{bind} :: ('a, 'm) \text{ bind}) \text{ fail}$   
   $\implies \text{monad-fail return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) \text{ fail}$   
 $\langle proof \rangle$

**lemma**  $\text{monad-catch-envT}'$  [locale-witness]:  
   $\text{monad-catch return } (\text{bind} :: ('a, 'm) \text{ bind}) \text{ fail catch}$   
   $\implies \text{monad-catch return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) \text{ fail catch}$   
 $\langle proof \rangle$

**lemma**  $\text{monad-state-envT}'$  [locale-witness]:  
   $\text{monad-state return } (\text{bind} :: ('a, 'm) \text{ bind}) (\text{get} :: ('s, 'm) \text{ get}) \text{ put}$   
   $\implies \text{monad-state return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) (\text{get} :: ('s, ('r, 'm) \text{ envT}) \text{ get}) \text{ put}$   
 $\langle proof \rangle$

**lemma**  $\text{monad-prob-envT}'$  [locale-witness]:  
   $\text{monad-prob return } (\text{bind} :: ('a, 'm) \text{ bind}) (\text{sample} :: ('p, 'm) \text{ sample})$   
   $\implies \text{monad-prob return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) (\text{sample} :: ('p, ('r, 'm) \text{ envT}) \text{ sample})$   
 $\langle proof \rangle$

**lemma**  $\text{monad-state-prob-envT}'$  [locale-witness]:  
   $\text{monad-state-prob return } (\text{bind} :: ('a, 'm) \text{ bind}) (\text{get} :: ('s, 'm) \text{ get}) \text{ put } (\text{sample} :: ('p, 'm) \text{ sample})$   
   $\implies \text{monad-state-prob return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) (\text{get} :: ('s, ('r, 'm) \text{ envT}) \text{ get}) \text{ put } (\text{sample} :: ('p, ('r, 'm) \text{ envT}) \text{ sample})$   
 $\langle proof \rangle$

**lemma**  $\text{monad-alt-envT}'$  [locale-witness]:  
   $\text{monad-alt return } (\text{bind} :: ('a, 'm) \text{ bind}) \text{ alt}$   
   $\implies \text{monad-alt return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) \text{ alt}$   
 $\langle proof \rangle$

**lemma**  $\text{monad-fail-alt-envT}'$  [locale-witness]:  
   $\text{monad-fail-alt return } (\text{bind} :: ('a, 'm) \text{ bind}) \text{ fail alt}$   
   $\implies \text{monad-fail-alt return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) \text{ fail alt}$   
 $\langle proof \rangle$

**lemma**  $\text{monad-state-alt-envT}'$  [locale-witness]:  
   $\text{monad-state-alt return } (\text{bind} :: ('a, 'm) \text{ bind}) (\text{get} :: ('s, 'm) \text{ get}) \text{ put alt}$   
   $\implies \text{monad-state-alt return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) (\text{get} :: ('s, ('r, 'm) \text{ envT}) \text{ get}) \text{ put alt}$   
 $\langle proof \rangle$

**lemma**  $\text{monad-altc-envT}'$  [locale-witness]:  
   $\text{monad-alte return } (\text{bind} :: ('a, 'm) \text{ bind}) (\text{altc} :: ('c, 'm) \text{ altc})$

$\implies \text{monad-altc return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) (\text{altc} :: ('c, ('r, 'm) \text{ envT}) \text{ altc})$   
 $\langle \text{proof} \rangle$

**lemma** *monad-state-altc-envT'* [locale-witness]:

$\text{monad-state-altc return } (\text{bind} :: ('a, 'm) \text{ bind}) (\text{get} :: ('s, 'm) \text{ get}) \text{ put } (\text{altc} :: ('c, 'm) \text{ altc})$

$\implies \text{monad-state-altc return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) (\text{get} :: ('s, ('r, 'm) \text{ envT}) \text{ get}) \text{ put } (\text{altc} :: ('c, ('r, 'm) \text{ envT}) \text{ altc})$

$\langle \text{proof} \rangle$

**lemma** *monad-resumption-envT'* [locale-witness]:

$\text{monad-resumption return } (\text{bind} :: ('a, 'm) \text{ bind}) (\text{pause} :: ('o, 'i, 'm) \text{ pause})$

$\implies \text{monad-resumption return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) (\text{pause} :: ('o, 'i, ('r, 'm) \text{ envT}) \text{ pause})$

$\langle \text{proof} \rangle$

**lemma** *monad-writer-readerT'* [locale-witness]:

$\text{monad-writer return } (\text{bind} :: ('a, 'm) \text{ bind}) (\text{tell} :: ('w, 'm) \text{ tell})$

$\implies \text{monad-writer return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind}) (\text{tell} :: ('w, ('r, 'm) \text{ envT}) \text{ tell})$

$\langle \text{proof} \rangle$

**lemma** *monad-commute-envT'* [locale-witness]:

$\text{monad-commute return } (\text{bind} :: ('a, 'm) \text{ bind})$

$\implies \text{monad-commute return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind})$

$\langle \text{proof} \rangle$

**lemma** *monad-discard-envT'* [locale-witness]:

$\text{monad-discard return } (\text{bind} :: ('a, 'm) \text{ bind})$

$\implies \text{monad-discard return } (\text{bind} :: ('a, ('r, 'm) \text{ envT}) \text{ bind})$

$\langle \text{proof} \rangle$

## 6.7 Writer monad transformer

### overloading

$\text{return-writerT} \equiv \text{return} :: ('a, ('w, 'a, 'm) \text{ writerT}) \text{ return } (\text{unchecked})$

$\text{bind-writerT} \equiv \text{bind} :: ('a, ('w, 'a, 'm) \text{ writerT}) \text{ bind } (\text{unchecked})$

$\text{fail-writerT} \equiv \text{fail} :: ('w, 'a, 'm) \text{ writerT fail}$

$\text{get-writerT} \equiv \text{get} :: ('s, ('w, 'a, 'm) \text{ writerT}) \text{ get}$

$\text{put-writerT} \equiv \text{put} :: ('s, ('w, 'a, 'm) \text{ writerT}) \text{ put}$

$\text{sample-writerT} \equiv \text{sample} :: ('p, ('w, 'a, 'm) \text{ writerT}) \text{ sample}$

$\text{ask-writerT} \equiv \text{ask} :: ('r, ('w, 'a, 'm) \text{ writerT}) \text{ ask}$

$\text{alt-writerT} \equiv \text{alt} :: ('w, 'a, 'm) \text{ writerT alt}$

$\text{altc-writerT} \equiv \text{altc} :: ('c, ('w, 'a, 'm) \text{ writerT}) \text{ altc}$

$\text{pause-writerT} \equiv \text{pause} :: ('o, 'i, ('w, 'a, 'm) \text{ writerT}) \text{ pause}$

$\text{tell-writerT} \equiv \text{tell} :: ('w, ('w, 'a, 'm) \text{ writerT}) \text{ tell } (\text{unchecked})$

**begin**

```

definition return-writerT :: ('a, ('w, 'a, 'm) writerT) return
where [code-unfold, monad-unfold]: return-writerT = return-writer return

definition bind-writerT :: ('a, ('w, 'a, 'm) writerT) bind
where [code-unfold, monad-unfold]: bind-writerT = bind-writer return bind

definition ask-writerT :: ('r, ('w, 'a, 'm) writerT) ask
where [code-unfold, monad-unfold]: ask-writerT = ask-writer ask

definition fail-writerT :: ('w, 'a, 'm) writerT fail
where [code-unfold, monad-unfold]: fail-writerT = fail-writer fail

definition get-writerT :: ('s, ('w, 'a, 'm) writerT) get
where [code-unfold, monad-unfold]: get-writerT = get-writer get

definition put-writerT :: ('s, ('w, 'a, 'm) writerT) put
where [code-unfold, monad-unfold]: put-writerT = put-writer put

definition sample-writerT :: ('p, ('w, 'a, 'm) writerT) sample
where [code-unfold, monad-unfold]: sample-writerT = sample-writer sample

definition alt-writerT :: ('w, 'a, 'm) writerT alt
where [code-unfold, monad-unfold]: alt-writerT = alt-writer alt

definition altc-writerT :: ('c, ('w, 'a, 'm) writerT) altc
where [code-unfold, monad-unfold]: altc-writerT = altc-writer altc

definition pause-writerT :: ('o, 'i, ('w, 'a, 'm) writerT) pause
where [code-unfold, monad-unfold]: pause-writerT = pause-writer pause

definition tell-writerT :: ('w, ('w, 'a, 'm) writerT) tell
where [code-unfold, monad-unfold]: tell-writerT = tell-writer return bind

end

lemma run-bind-writerT [simp]:
  run-writer (bind m f :: ('w, 'a, 'm) writerT) = bind (run-writer m) ( $\lambda(a :: 'a, ws :: 'w list). bind (run-writer (f a)) (\lambda(b :: 'a, ws' :: 'w list). return (b, ws @ ws'))$ )
   $\langle proof \rangle$ 

lemma run-return-writerT [simp]: run-writer (return x :: ('w, 'a, 'm) writerT) =
  return (x :: 'a, [] :: 'w list)
   $\langle proof \rangle$ 

lemma run-ask-writerT [simp]: run-writer (ask f) = ask ( $\lambda r. run-writer (f r)$ )
   $\langle proof \rangle$ 

lemma run-fail-writerT [simp]: run-writer fail = fail
   $\langle proof \rangle$ 

```

**lemma** *run-get-writerT* [simp]:  $\text{run-writer}(\text{get } f) = \text{get}(\lambda s. \text{run-writer}(f s))$   
 $\langle \text{proof} \rangle$

**lemma** *run-put-writerT* [simp]:  $\text{run-writer}(\text{put } s m) = \text{put } s (\text{run-writer } m)$   
 $\langle \text{proof} \rangle$

**lemma** *run-sample-writerT* [simp]:  $\text{run-writer}(\text{sample } p f) = \text{sample } p (\lambda x. \text{run-writer}(f x))$   
 $\langle \text{proof} \rangle$

**lemma** *run-alt-writerT* [simp]:  $\text{run-writer}(\text{alt } m m') = \text{alt}(\text{run-writer } m)(\text{run-writer } m')$   
 $\langle \text{proof} \rangle$

**lemma** *run-altc-writerT* [simp]:  $\text{run-writer}(\text{altc } C f) = \text{altc } C (\text{run-writer} \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *run-pause-writerT* [simp]:  $\text{run-writer}(\text{pause out } c) = \text{pause out}(\lambda \text{input}. \text{run-writer}(c \text{ input}))$   
 $\langle \text{proof} \rangle$

**lemma** *run-tell-writerT* [simp]:  
 $\text{run-writer}(\text{tell } (w :: 'w) m :: ('w, 'a, 'm) \text{ writerT}) =$   
 $\text{bind}(\text{run-writer } m)(\lambda(a :: 'a, ws :: 'w \text{ list}). \text{return}(a, w \# ws))$   
 $\langle \text{proof} \rangle$

**lemma** *monad-writerT'* [locale-witness]:  
 $\text{monad return}(\text{bind} :: ('a \times 'w \text{ list}, 'm) \text{ bind})$   
 $\implies \text{monad return}(\text{bind} :: ('a, ('w, 'a, 'm) \text{ writerT}) \text{ bind})$   
 $\langle \text{proof} \rangle$

**lemma** *monad-writer-writerT'* [locale-witness]:  
 $\text{monad return}(\text{bind} :: ('a \times 'w \text{ list}, 'm) \text{ bind})$   
 $\implies \text{monad-writer return}(\text{bind} :: ('a, ('w, 'a, 'm) \text{ writerT}) \text{ bind}) (\text{tell} :: ('w, ('w, 'a, 'm) \text{ writerT}) \text{ tell})$   
 $\langle \text{proof} \rangle$

**lemma** *monad-fail-writerT'* [locale-witness]:  
 $\text{monad-fail return}(\text{bind} :: ('a \times 'w \text{ list}, 'm) \text{ bind}) \text{ fail}$   
 $\implies \text{monad-fail return}(\text{bind} :: ('a, ('w, 'a, 'm) \text{ writerT}) \text{ bind}) \text{ fail}$   
 $\langle \text{proof} \rangle$

**lemma** *monad-state-writerT'* [locale-witness]:  
 $\text{monad-state return}(\text{bind} :: ('a \times 'w \text{ list}, 'm) \text{ bind}) (\text{get} :: ('s, 'm) \text{ get}) \text{ put}$   
 $\implies \text{monad-state return}(\text{bind} :: ('a, ('w, 'a, 'm) \text{ writerT}) \text{ bind}) (\text{get} :: ('s, ('w, 'a, 'm) \text{ writerT}) \text{ get}) \text{ put}$   
 $\langle \text{proof} \rangle$

**lemma** *monad-prob-writerT'* [*locale-witness*]:  
*monad-prob return* (*bind* :: ('*a* × '*w* list, '*m*) *bind*) (*sample* :: ('*p*, '*m*) *sample*)  
 $\implies$  *monad-prob return* (*bind* :: ('*a*, ('*w*, '*a*, '*m*) *writerT*) *bind*) (*sample* :: ('*p*, ('*w*, '*a*, '*m*) *writerT*) *sample*)  
*{proof}*

**lemma** *monad-state-prob-writerT'* [*locale-witness*]:  
*monad-state-prob return* (*bind* :: ('*a* × '*w* list, '*m*) *bind*) (*get* :: ('*s*, '*m*) *get*) *put*  
(*sample* :: ('*p*, '*m*) *sample*)  
 $\implies$  *monad-state-prob return* (*bind* :: ('*a*, ('*w*, '*a*, '*m*) *writerT*) *bind*) (*get* :: ('*s*, ('*w*, '*a*, '*m*) *writerT*) *get*) *put* (*sample* :: ('*p*, ('*w*, '*a*, '*m*) *writerT*) *sample*)  
*{proof}*

**lemma** *monad-reader-writerT'* [*locale-witness*]:  
*monad-reader return* (*bind* :: ('*a* × '*w* list, '*m*) *bind*) (*ask* :: ('*r*, '*m*) *ask*)  
 $\implies$  *monad-reader return* (*bind* :: ('*a*, ('*w*, '*a*, '*m*) *writerT*) *bind*) (*ask* :: ('*r*, ('*w*, '*a*, '*m*) *writerT*) *ask*)  
*{proof}*

**lemma** *monad-reader-state-writerT'* [*locale-witness*]:  
*monad-reader-state return* (*bind* :: ('*a* × '*w* list, '*m*) *bind*) (*ask* :: ('*r*, '*m*) *ask*)  
(*get* :: ('*s*, '*m*) *get*) *put*  
 $\implies$  *monad-reader-state return* (*bind* :: ('*a*, ('*w*, '*a*, '*m*) *writerT*) *bind*) (*ask* :: ('*r*, ('*w*, '*a*, '*m*) *writerT*) *ask*) (*get* :: ('*s*, ('*w*, '*a*, '*m*) *writerT*) *get*) *put*  
*{proof}*

**lemma** *monad-resumption-writerT'* [*locale-witness*]:  
*monad-resumption return* (*bind* :: ('*a* × '*w* list, '*m*) *bind*) (*pause* :: ('*o*, '*i*, '*m*) *pause*)  
 $\implies$  *monad-resumption return* (*bind* :: ('*a*, ('*w*, '*a*, '*m*) *writerT*) *bind*) (*pause* :: ('*o*, '*i*, ('*w*, '*a*, '*m*) *writerT*) *pause*)  
*{proof}*

**lemma** *monad-alt-writerT'* [*locale-witness*]:  
*monad-alt return* (*bind* :: ('*a* × '*w* list, '*m*) *bind*) *alt*  
 $\implies$  *monad-alt return* (*bind* :: ('*a*, ('*w*, '*a*, '*m*) *writerT*) *bind*) *alt*  
*{proof}*

**lemma** *monad-fail-alt-writerT'* [*locale-witness*]:  
*monad-fail-alt return* (*bind* :: ('*a* × '*w* list, '*m*) *bind*) *fail alt*  
 $\implies$  *monad-fail-alt return* (*bind* :: ('*a*, ('*w*, '*a*, '*m*) *writerT*) *bind*) *fail alt*  
*{proof}*

**lemma** *monad-state-alt-writerT'* [*locale-witness*]:  
*monad-state-alt return* (*bind* :: ('*a* × '*w* list, '*m*) *bind*) (*get* :: ('*s*, '*m*) *get*) *put alt*  
 $\implies$  *monad-state-alt return* (*bind* :: ('*a*, ('*w*, '*a*, '*m*) *writerT*) *bind*) (*get* :: ('*s*, ('*w*, '*a*, '*m*) *writerT*) *get*) *put alt*  
*{proof}*

```

lemma monad-altc-writerT' [locale-witness]:
  monad-altc return (bind :: ('a × 'w list, 'm) bind) (altc :: ('c, 'm) altc)
  ==> monad-altc return (bind :: ('a, ('w, 'a, 'm) writerT) bind) (altc :: ('c, ('w,
  'a, 'm) writerT) altc)
  ⟨proof⟩

lemma monad-state-altc-writerT' [locale-witness]:
  monad-state-altc return (bind :: ('a × 'w list, 'm) bind) (get :: ('s, 'm) get) put
  (altc :: ('c, 'm) altc)
  ==> monad-state-altc return (bind :: ('a, ('w, 'a, 'm) writerT) bind) (get :: ('s,
  ('w, 'a, 'm) writerT) get) put (altc :: ('c, ('w, 'a, 'm) writerT) altc)
  ⟨proof⟩

```

## 6.8 Continuation monad transformer

### overloading

```

return-contT ≡ return :: ('a, ('a, 'm) contT) return
bind-contT ≡ bind :: ('a, ('a, 'm) contT) bind
fail-contT ≡ fail :: ('a, 'm) contT fail
get-contT ≡ get :: ('s, ('a, 'm) contT) get
put-contT ≡ put :: ('s, ('a, 'm) contT) put
begin

```

```

definition return-contT :: ('a, ('a, 'm) contT) return
where [code-unfold, monad-unfold]: return-contT = return-cont

```

```

definition bind-contT :: ('a, ('a, 'm) contT) bind
where [code-unfold, monad-unfold]: bind-contT = bind-cont

```

```

definition fail-contT :: ('a, 'm) contT fail
where [code-unfold, monad-unfold]: fail-contT = fail-cont fail

```

```

definition get-contT :: ('s, ('a, 'm) contT) get
where [code-unfold, monad-unfold]: get-contT = get-cont get

```

```

definition put-contT :: ('s, ('a, 'm) contT) put
where [code-unfold, monad-unfold]: put-contT = put-cont put

```

```

end

```

```

lemma monad-contT' [locale-witness]: monad return (bind :: ('a, ('a, 'm) contT)
bind)
⟨proof⟩

```

```

lemma monad-fail-contT' [locale-witness]: monad-fail return (bind :: ('a, ('a, 'm)
contT) bind) fail
⟨proof⟩

```

```

lemma monad-state-contT' [locale-witness]:

```

```

monad-state return (bind :: ('a, 'm) bind) (get :: ('s, 'm) get) put
  ==> monad-state return (bind :: ('a, ('a, 'm) contT) bind) (get :: ('s, ('a, 'm)
contT) get) put
⟨proof⟩
end

```

## 7 Examples

### 7.1 Monadic interpreter

```
theory Interpreter imports Monomorphic-Monad begin
```

```
declare [[show-variants]]
```

```
definition apply :: ('a ⇒ 'b) ⇒ 'a ⇒ 'b where apply f x = f x
```

```
lemma apply-eq-onp: includes lifting-syntax shows (eq-onp P ==> (=) ==>
(=)) apply apply
⟨proof⟩
```

#### 7.1.1 Basic interpreter

```
datatype (vars: 'v) exp = Var 'v | Const int | Plus 'v exp 'v exp | Div 'v exp 'v
exp
```

```
lemma rel-exp-simps [simp]:
```

```
rel-exp V (Var x) e' ←→ (Ǝ y. e' = Var y ∧ V x y)
```

```
rel-exp V (Const n) e' ←→ e' = Const n
```

```
rel-exp V (Plus e1 e2) e' ←→ (Ǝ e1' e2'. e' = Plus e1' e2' ∧ rel-exp V e1 e1' ∧
rel-exp V e2 e2')
```

```
rel-exp V (Div e1 e2) e' ←→ (Ǝ e1' e2'. e' = Div e1' e2' ∧ rel-exp V e1 e1' ∧
rel-exp V e2 e2')
```

```
rel-exp V e (Var y) ←→ (Ǝ x. e = Var x ∧ V x y)
```

```
rel-exp V e (Const n) ←→ e = Const n
```

```
rel-exp V e (Plus e1' e2') ←→ (Ǝ e1 e2. e = Plus e1 e2 ∧ rel-exp V e1 e1' ∧
rel-exp V e2 e2')
```

```
rel-exp V e (Div e1' e2') ←→ (Ǝ e1 e2. e = Div e1 e2 ∧ rel-exp V e1 e1' ∧
rel-exp V e2 e2')
```

```
⟨proof⟩
```

```
lemma finite-vars [simp]: finite (vars e)
⟨proof⟩
```

```
locale exp-base = monad-fail-base return bind fail
```

```
for return :: (int, 'm) return
```

```
and bind :: (int, 'm) bind
```

```
and fail :: 'm fail
```

```
begin
```

```

context fixes  $E :: 'v \Rightarrow 'm$  begin
primrec eval ::  $'v \text{ exp} \Rightarrow 'm$ 
where
  eval (Var  $x$ ) =  $E x$ 
  | eval (Const  $i$ ) = return  $i$ 
  | eval (Plus  $e1 e2$ ) = bind (eval  $e1$ ) ( $\lambda i.$  bind (eval  $e2$ ) ( $\lambda j.$  return ( $i + j$ )))
  | eval (Div  $e1 e2$ ) = bind (eval  $e1$ ) ( $\lambda i.$  bind (eval  $e2$ ) ( $\lambda j.$  if  $j = 0$  then fail else
    return ( $i \text{ div } j$ )))
end

context fixes  $\sigma :: 'v \Rightarrow 'w$  exp begin
primrec subst ::  $'v \text{ exp} \Rightarrow 'w \text{ exp}$ 
where
  subst (Const  $n$ ) = Const  $n$ 
  | subst (Var  $x$ ) =  $\sigma x$ 
  | subst (Plus  $e1 e2$ ) = Plus (subst  $e1$ ) (subst  $e2$ )
  | subst (Div  $e1 e2$ ) = Div (subst  $e1$ ) (subst  $e2$ )
end

lemma compositional: eval  $E$  (subst  $\sigma$   $e$ ) = eval (eval  $E \circ \sigma$ )  $e$ 
  ⟨proof⟩
end

lemma eval-parametric [transfer-rule]:
  includes lifting-syntax shows
    (((=) ==>  $M$ ) ==> ( $M ==> (=) ==> M$ ) ==>  $M$ ) ==>  $M$ 
    ==> ( $V ==> M$ ) ==> rel-exp  $V ==> M$ )
    exp-base.eval exp-base.eval
  ⟨proof⟩

declare exp-base.eval.simps [code]

context exp-base begin

lemma eval-cong:
  assumes  $\bigwedge x. x \in vars e \implies E x = E' x$ 
  shows eval  $E e = eval E' e$ 
  including lifting-syntax
  ⟨proof⟩

end

```

### 7.1.2 Memoisation

**lemma** case-option-apply: case-option none some  $x y$  = case-option (none  $y$ ) ( $\lambda a.$  some  $a y$ )  $x$

$\langle proof \rangle$

**lemma (in monad-base) bind-if2:**  
 $bind m (\lambda x. if b then t x else e x) = (if b then bind m t else bind m e)$   
 $\langle proof \rangle$

**lemma (in monad-base) bind-case-option2:**  
 $bind m (\lambda x. case-option (none x) (some x) y) = case-option (bind m none) (\lambda a. bind m (\lambda x. some x a)) y$   
 $\langle proof \rangle$

**locale memoization-base = monad-state-base return bind get put**  
**for** return :: ('a, 'm) return  
**and** bind :: ('a, 'm) bind  
**and** get :: ('k  $\rightarrow$  'a, 'm) get  
**and** put :: ('k  $\rightarrow$  'a, 'm) put  
**begin**

**definition memo :: ('k  $\Rightarrow$  'm)  $\Rightarrow$  'k  $\Rightarrow$  'm**  
**where**  
 $memo f x =$   
 $get (\lambda table.$   
 $case table x of Some y \Rightarrow return y$   
 $| None \Rightarrow bind (f x) (\lambda y. update (\lambda m. m(x \mapsto y)) (return y)))$

**lemma memo-cong [cong, fundef-cong]:**  $\llbracket x = y; f y = g y \rrbracket \implies memo f x = memo g y$   
 $\langle proof \rangle$

**end**

**declare memoization-base.memo-def [code]**

**locale memoization = memoization-base return bind get put + monad-state return**  
**bind get put**  
**for** return :: ('a, 'm) return  
**and** bind :: ('a, 'm) bind  
**and** get :: ('k  $\rightarrow$  'a, 'm) get  
**and** put :: ('k  $\rightarrow$  'a, 'm) put  
**begin**

**lemma memo-idem:**  $memo (memo f) x = memo f x$   
 $\langle proof \rangle$

**lemma memo-same:**  
 $bind (memo f x) (\lambda a. bind (memo f x) (g a)) = bind (memo f x) (\lambda a. g a a)$   
 $\langle proof \rangle$

**lemma memo-commute:**

```

assumes f-bind:  $\bigwedge m x g. \text{bind } m (\lambda a. \text{bind } (f x) (g a)) = \text{bind } (f x) (\lambda b. \text{bind } m (\lambda a. g a b))$ 
  and f-get:  $\bigwedge x g. \text{get } (\lambda s. \text{bind } (f x) (g s)) = \text{bind } (f x) (\lambda a. \text{get } (\lambda s. g s a))$ 
  shows bind (memo f x) ( $\lambda a. \text{bind } (\text{memo } f y) (g a)$ ) = bind (memo f y) ( $\lambda b. \text{bind } (\text{memo } f x) (\lambda a. g a b)$ )
  ⟨proof⟩
end

```

### 7.1.3 Probabilistic interpreter

```

locale memo-exp-base =
  exp-base return bind fail +
  memoization-base return bind get put
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and fail :: 'm fail
  and get :: ('v → int, 'm) get
  and put :: ('v → int, 'm) put
begin

definition lookup :: 'v ⇒ 'm
where lookup x = get (λs. case s x of None ⇒ fail | Some y ⇒ return y)

lemma lookup-alt-def: lookup x = get (λs. case apply s x of None ⇒ fail | Some y ⇒ return y)
  ⟨proof⟩

end

locale prob-exp-base =
  memo-exp-base return bind fail get put +
  monad-prob-base return bind sample
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and fail :: 'm fail
  and get :: ('v → int, 'm) get
  and put :: ('v → int, 'm) put
  and sample :: (int, 'm) sample
begin

definition sample-var :: ('v ⇒ int pmf) ⇒ 'v ⇒ 'm
where sample-var X x = sample (X x) return

definition lazy :: ('v ⇒ int pmf) ⇒ 'v exp ⇒ 'm
where lazy X ≡ eval (memo (sample-var X))

definition sample-vars :: ('v ⇒ int pmf) ⇒ 'v set ⇒ 'm ⇒ 'm
where sample-vars X A m = Finite-Set.fold (λx m. bind (memo (sample-var X))

```

```

 $x) (\lambda-. m)) m A$ 

definition eager :: ('v  $\Rightarrow$  int pmf)  $\Rightarrow$  'v exp  $\Rightarrow$  'm where
  eager p e = sample-vars p (vars e) (eval lookup e)

end

lemmas [code] =
  prob-exp-base.sample-var-def
  prob-exp-base.lazy-def
  prob-exp-base.eager-def

locale prob-exp = prob-exp-base return bind fail get put sample +
  memoization return bind get put +
  monad-state-prob return bind get put sample +
  monad-fail return bind fail
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and fail :: 'm fail
  and get :: ('v  $\rightarrow$  int, 'm) get
  and put :: ('v  $\rightarrow$  int, 'm) put
  and sample :: (int, 'm) sample
begin

lemma comp-fun-commute-sample-var: comp-fun-commute ( $\lambda x\ m.$  bind (memo (sample-var X) x) ( $\lambda-. m$ ))
   $\langle proof \rangle$ 

interpretation sample-var: comp-fun-commute  $\lambda x\ m.$  bind (memo (sample-var X) x) ( $\lambda-. m$ )
  rewrites  $\bigwedge X\ m\ A.$  Finite-Set.fold ( $\lambda x\ m.$  bind (memo (sample-var X) x) ( $\lambda-. m$ )) m A  $\equiv$  sample-vars X A m
  for X
   $\langle proof \rangle$ 

lemma comp-fun-idem-sample-var: comp-fun-idem ( $\lambda x\ m.$  bind (memo (sample-var X) x) ( $\lambda-. m$ ))
   $\langle proof \rangle$ 

interpretation sample-var: comp-fun-idem  $\lambda x\ m.$  bind (memo (sample-var X) x) ( $\lambda-. m$ )
  rewrites  $\bigwedge X\ m\ A.$  Finite-Set.fold ( $\lambda x\ m.$  bind (memo (sample-var X) x) ( $\lambda-. m$ )) m A  $\equiv$  sample-vars X A m
  for X
   $\langle proof \rangle$ 

lemma sample-vars-empty [simp]: sample-vars X {} m = m
   $\langle proof \rangle$ 

```

```

lemma sample-vars-insert:
  finite A  $\implies$  sample-vars X (insert x A) m = bind (memo (sample-var X) x) ( $\lambda$ -. sample-vars X A m)
   $\langle proof \rangle$ 

lemma sample-vars-insert2:
  finite A  $\implies$  sample-vars X (insert x A) m = sample-vars X A (bind (memo (sample-var X) x) ( $\lambda$ -. m))
   $\langle proof \rangle$ 

lemma sample-vars-union:
   $\llbracket$  finite A; finite B  $\rrbracket \implies$  sample-vars X (A  $\cup$  B) m = sample-vars X A (sample-vars X B m)
   $\langle proof \rangle$ 

lemma memo-lookup:
  bind (memo f x) ( $\lambda$ i. bind (lookup x) (g i)) = bind (memo f x) ( $\lambda$ i. g i i)
   $\langle proof \rangle$ 

lemma lazy-eq-eager:
  assumes put-fail:  $\bigwedge s. put\ s\ fail = fail$ 
  shows lazy X e = eager X e
   $\langle proof \rangle$ 

end

interpretation F: exp-base
  return-option return-id
  bind-option return-id bind-id
  fail-option return-id
   $\langle proof \rangle$ 

value [code] F.eval ( $\lambda x. return\text{-option}\ return\text{-id} 5$ ) (Plus (Var "a") (Const 7))

```

#### 7.1.4 Moving between monad instances

```

global-interpretation SFI: memo-exp-base
  return-state (return-option (return-id :: ((int  $\times$  ('b  $\rightarrow$  int)) option, -) return))
  bind-state (bind-option return-id bind-id)
  fail-state (fail-option return-id)
  get-state
  put-state
  defines SFI-lookup = SFI.lookup
   $\langle proof \rangle$ 

```

```

interpretation SFI: memoization
  return-state (return-option (return-id :: ((int  $\times$  ('b  $\rightarrow$  int)) option, -) return))
  bind-state (bind-option return-id bind-id)
  get-state

```

```

put-state
⟨proof⟩

global-interpretation SFP: prob-exp
  return-state (return-option return-pmf)
  bind-state (bind-option return-pmf bind-pmf)
  fail-state (fail-option return-pmf)
  get-state
  put-state
  sample-state (sample-option bind-pmf)
  defines SFP-lookup = SFP.lookup
⟨proof⟩

interpretation FSP: prob-exp
  return-option (return-state (return-pmf :: (int option × ('b → int), -) return))
  bind-option (return-state return-pmf) (bind-state bind-pmf)
  fail-option (return-state return-pmf)
  get-option get-state
  put-option put-state
  sample-option (sample-state bind-pmf)
⟨proof⟩

locale reader-exp-base = exp-base return bind fail + monad-reader-base return bind
ask
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and fail :: 'm fail
  and ask :: ('v → int, 'm) ask
begin

  definition lookup :: 'v ⇒ 'm where
    lookup x = ask (λs. case s x of None ⇒ fail | Some y ⇒ return y)

  lemma lookup-alt-def:
    lookup x = ask (λs. case apply s x of None ⇒ fail | Some y ⇒ return y)
⟨proof⟩

end

locale exp-commute = exp-base return bind fail + monad-commute return bind
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and fail :: 'm fail
begin

lemma eval-reverse:
  eval E (Var x) = E x

```

```

eval E (Const i) = return i
eval E (Plus e1 e2) = bind (eval E e2) ( $\lambda j.$  bind (eval E e1) ( $\lambda i.$  return ( $i + j$ )))
eval E (Div e1 e2) = bind (eval E e2) ( $\lambda j.$  bind (eval E e1) ( $\lambda i.$  if  $j = 0$  then
fail else return ( $i \text{ div } j$ )))
⟨proof⟩

```

**end**

**global-interpretation** *RFI*: reader-exp-base  
*return-env* (return-option return-id)  
*bind-env* (bind-option return-id bind-id)  
*fail-env* (fail-option return-id)  
*ask-env*  
**defines** *RFI-lookup* = *RFI.lookup*  
⟨proof⟩

**context includes** lifting-syntax **begin**

**lemma** *cr-id-prob-eval*:  
**notes** [transfer-rule] = *cr-id-prob-transfer* **shows**  
*rel-stateT* (=) (*rel-optionT* (*cr-id-prob* (=)))  
(SFI.eval SFI-lookup e)  
(SFP.eval SFP-lookup e)  
⟨proof⟩

**lemma** *cr-envT-stateT-lookup'*:  
**notes** [transfer-rule] = *cr-envT-stateT-transfer apply-eq-onp* **shows**  
((=) ==> *cr-envT-stateT X* (*rel-optionT* (*rel-id* (*rel-option* (*cr-prod1 X* (=))))))  
*RFI-lookup SFI-lookup*  
⟨proof⟩

**lemma** *cr-envT-stateT-eval'*:  
**notes** [transfer-rule] = *cr-envT-stateT-transfer cr-envT-stateT-lookup'* **shows**  
((=) ==> *cr-envT-stateT X* (*rel-optionT* (*rel-id* (*rel-option* (*cr-prod1 X* (=))))))  
(*RFI.eval RFI-lookup*) (*SFI.eval SFI-lookup*)  
⟨proof⟩

**lemma** *cr-envT-stateT-lookup* [*cr-envT-stateT-transfer*]:  
**notes** [transfer-rule] = *cr-id-prob-transfer cr-envT-stateT-transfer apply-eq-onp*  
**shows**  
((=) ==> *cr-envT-stateT X* (*rel-optionT* (*cr-id-prob* (*rel-option* (*cr-prod1 X* (=))))))  
*RFI-lookup SFP-lookup*  
⟨proof⟩

**lemma** *cr-envT-stateT-eval* [*cr-envT-stateT-transfer*]:  
**notes** [transfer-rule] = *cr-id-prob-transfer cr-envT-stateT-transfer* **shows**  
((=) ==> *cr-envT-stateT X* (*rel-optionT* (*cr-id-prob* (*rel-option* (*cr-prod1 X* (=))))))

```

(=))))))
  (RFI.eval RFI-lookup) (SFP.eval SFP-lookup)
  ⟨proof⟩

lemma prob-eval-lookup:
  run-state (SFP.eval SFP-lookup e) E =
    map-optionT (return-pmf ∘ map-option (λb. (b, E)) ∘ extract) (run-env (RFI.eval
  RFI-lookup e) E)
  ⟨proof⟩

end

```

## 7.2 Non-deterministic interpreter

```

locale choose-base = monad-altc-base return bind altc
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and altc :: (int, 'm) altc
begin

definition choose-var :: ('v ⇒ int cset) ⇒ 'v ⇒ 'm where
  choose-var X x = altc (X x) return

end

declare choose-base.choose-var-def [code]

locale nondet-exp-base = choose-base return bind altc
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and get :: ('v → int, 'm) get
  and put :: ('v → int, 'm) put
  and altc :: (int, 'm) altc
begin

sublocale memo-exp-base return bind fail get put ⟨proof⟩

definition lazy where lazy X = eval (memo (choose-var X))

end

locale nondet-exp =
  monad-state-altc return bind get put altc +
  nondet-exp-base return bind get put altc +
  memoization return bind get put
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and get :: ('v → int, 'm) get
  and put :: ('v → int, 'm) put

```

```

and altc :: (int, 'm) altc
begin

sublocale monad-fail return bind fail ⟨proof⟩

end

global-interpretation NI: cset-nondetM return-id bind-id merge-id merge-id
defines NI-return = NI.return-nondet
and NI-bind = NI.bind-nondet
and NI-altc = NI.altc-nondet
⟨proof⟩

global-interpretation SNI: nondet-exp
return-state NI-return
bind-state NI-bind
get-state
put-state
altc-state NI-altc
defines SNI-lazy = SNI.lazy
⟨proof⟩

value run-state (SNI-lazy (λx. cinsert 0 (cinsert 1 cempty)) (Div (Const 2) (Var (CHR "x")))) Map.empty

locale nondet-fail-exp-base = choose-base return bind altc
for return :: (int, 'm) return
and bind :: (int, 'm) bind
and fail :: 'm fail
and get :: ('v → int, 'm) get
and put :: ('v → int, 'm) put
and altc :: (int, 'm) altc
begin

sublocale memo-exp-base return bind fail get put ⟨proof⟩

definition lazy where lazy X = eval (memo (choose-var X))

end

locale nondet-fail-exp =
monad-state-altc return bind get put altc +
nondet-fail-exp-base return bind fail get put altc +
memoization return bind get put +
fail: monad-fail return bind fail
for return :: (int, 'm) return
and bind :: (int, 'm) bind
and fail :: 'm fail
and get :: ('v → int, 'm) get

```

```

and put :: ('v → int, 'm) put
and altc :: (int, 'm) altc

global-interpretation SFNI: nondet-fail-exp
  return-state (return-option NI-return)
  bind-state (bind-option NI-return NI-bind)
  fail-state (fail-option NI-return)
  get-state
  put-state
  altc-state (altc-option NI-altc)
  defines SFNI-lazy = SFNI.lazy
  ⟨proof⟩

value run-state (SFP.lazy (λx. pmf-of-set {0, 1}) (Div (Const 2) (Var (CHR "x''))) Map.empty)

value run-state (SFNI-lazy (λx. cinsert 0 (cinsert 1 cempty)) (Div (Const 2) (Var (CHR "x''))) Map.empty)

end
theory Just-Do-It-Examples imports Monomorphic-Monad begin

```

Examples adapted from Gibbons and Hinze (ICFP 2011)

### 7.3 Towers of Hanoi

**type-synonym** 'm tick = 'm ⇒ 'm

```

locale monad-count-base = monad-base return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  +
  fixes tick :: 'm tick

locale monad-count = monad-count-base return bind tick + monad return bind
  for return :: ('a, 'm) return
  and bind :: ('a, 'm) bind
  and tick :: 'm tick
  +
  assumes bind-tick: bind (tick m) f = tick (bind m f)

locale hanoi-base = monad-count-base return bind tick
  for return :: (unit, 'm) return
  and bind :: (unit, 'm) bind
  and tick :: 'm tick
begin

primrec hanoi :: nat ⇒ 'm where
  hanoi 0 = return ()

```

```

| hanoi (Suc n) = bind (hanoi n) ( $\lambda$ -t. tick (hanoi n))

primrec repeat :: nat  $\Rightarrow$  'm  $\Rightarrow$  'm
where
  repeat 0 mx = return ()
  | repeat (Suc n) mx = bind mx ( $\lambda$ -t. repeat n mx)

end

locale hanoi = hanoi-base return bind tick + monad-count return bind tick
  for return :: (unit, 'm) return
  and bind :: (unit, 'm) bind
  and tick :: 'm tick
begin

lemma repeat-1: repeat 1 mx = mx
   $\langle$ proof $\rangle$ 

lemma repeat-add: repeat (n + m) mx = bind (repeat n mx) ( $\lambda$ -t. repeat m mx)
   $\langle$ proof $\rangle$ 

lemma hanoi-correct: hanoi n = repeat ( $2^{\wedge} n - 1$ ) (tick (return ()))
   $\langle$ proof $\rangle$ 

end

```

## 7.4 Fast product

```

locale fast-product-base = monad-catch-base return bind fail catch
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and fail :: 'm fail
  and catch :: 'm catch
begin

primrec work :: int list  $\Rightarrow$  'm
where
  work [] = return 1
  | work (x # xs) = (if x = 0 then fail else bind (work xs) ( $\lambda$ i. return (x * i)))

definition fastprod :: int list  $\Rightarrow$  'm
  where fastprod xs = catch (work xs) (return 0)

end

locale fast-product = fast-product-base return bind fail catch + monad-catch return
  bind fail catch
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind

```

```
and fail :: 'm fail
and catch :: 'm catch
begin

lemma work-alt-def: work xs = (if 0 ∈ set xs then fail else return (prod-list xs))
⟨proof⟩

lemma fastprod-correct: fastprod xs = return (prod-list xs)
⟨proof⟩

end

end
```