

# Modal Logics for Nominal Transition Systems

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## Abstract

These Isabelle theories formalize a modal logic for nominal transition systems, as presented in the paper *Modal Logics for Nominal Transition Systems* by Joachim Parrow, Johannes Borgström, Lars-Henrik Eriksson, Ramūnas Gutkovas, and Tjark Weber [1].

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```

theory Nominal-Bounded-Set
imports
  Nominal2.Nominal2
  HOL-Cardinals.Bounded-Set
begin

```

## 1 Bounded Sets Equipped With a Permutation Action

Additional lemmas about bounded sets.

```

interpretation bset-lifting: bset-lifting .

```

```

lemma Abs-bset-inverse' [simp]:
  assumes  $|A| <_o \text{natLeq} + c \mid \text{UNIV} :: 'k \text{ set}$ 
  shows set-bset (Abs-bset  $A :: 'a \text{ set}['k]$ ) =  $A$ 
by (metis Abs-bset-inverse assms mem-Collect-eq)

```

Bounded sets are equipped with a permutation action, provided their elements are.

```

instantiation bset :: (pt,type) pt
begin

```

```

  lift-definition permute-bset ::  $\text{perm} \Rightarrow 'a \text{ set}['b] \Rightarrow 'a \text{ set}['b]$  is
    permute

```

```

proof –

```

```

  fix  $p$  and  $A :: 'a \text{ set}$ 

```

```

  have  $|p \cdot A| \leq_o |A|$  by (simp add: permute-set-eq-image)

```

```

  also assume  $|A| <_o \text{natLeq} + c \mid \text{UNIV} :: 'b \text{ set}$ 

```

```

  finally show  $|p \cdot A| <_o \text{natLeq} + c \mid \text{UNIV} :: 'b \text{ set}$  .

```

```

qed

```

```

instance

```

```

by standard (transfer, simp)+

```

```

end

```

```

lemma Abs-bset-eqvt [simp]:
  assumes  $|A| <_o \text{natLeq} + c \mid \text{UNIV} :: 'k \text{ set}$ 
  shows  $p \cdot (\text{Abs-bset } A :: 'a::\text{pt} \text{ set}['k]) = \text{Abs-bset } (p \cdot A)$ 
by (simp add: permute-bset-def map-bset-def image-def permute-set-def) (metis
  (no-types, lifting) Abs-bset-inverse' assms)

```

```

lemma supp-Abs-bset [simp]:

```

```

  assumes  $|A| <_o \text{natLeq} + c \mid \text{UNIV} :: 'k \text{ set}$ 

```

```

  shows supp (Abs-bset  $A :: 'a::\text{pt} \text{ set}['k]$ ) = supp  $A$ 

```

```

proof –

```

```

  from assms have  $\bigwedge p. p \cdot (\text{Abs-bset } A :: 'a::\text{pt} \text{ set}['k]) \neq \text{Abs-bset } A \iff p \cdot A$ 

```

$\neq A$   
**by** *simp* (*metis map-bset.rep-eq permute-set-eq-image set-bset-inverse set-bset-to-set-bset*)  
**then show** *?thesis*  
**unfolding** *supp-def* **by** *simp*  
**qed**

**lemma** *map-bset-permute*:  $p \cdot B = \text{map-bset } (\text{permute } p) B$   
**by** *transfer* (*auto simp add: image-def permute-set-def*)

**lemma** *set-bset-eqv* [*eqvt*]:  
 $p \cdot \text{set-bset } B = \text{set-bset } (p \cdot B)$   
**by** *transfer simp*

**lemma** *map-bset-eqv* [*eqvt*]:  
 $p \cdot \text{map-bset } f B = \text{map-bset } (p \cdot f) (p \cdot B)$   
**by** *transfer simp*

**lemma** *bempty-eqv* [*eqvt*]:  $p \cdot \text{bempty} = \text{bempty}$   
**by** *transfer simp*

**lemma** *binsert-eqv* [*eqvt*]:  $p \cdot (\text{binsert } x B) = \text{binsert } (p \cdot x) (p \cdot B)$   
**by** *transfer simp*

**lemma** *bsingleton-eqv* [*eqvt*]:  $p \cdot \text{bsingleton } x = \text{bsingleton } (p \cdot x)$   
**by** (*simp add: map-bset-permute*)

**end**  
**theory** *Nominal-Wellfounded*  
**imports**  
*Nominal2.Nominal2*  
**begin**

## 2 Lemmas about Well-Foundedness and Permutations

**definition** *less-bool-rel* :: *bool rel* **where**  
 $\text{less-bool-rel} \equiv \{(x,y). x < y\}$

**lemma** *less-bool-rel-iff* [*simp*]:  
 $(a,b) \in \text{less-bool-rel} \longleftrightarrow \neg a \wedge b$   
**by** (*metis less-bool-def less-bool-rel-def mem-Collect-eq split-conv*)

**lemma** *wf-less-bool-rel*: *wf less-bool-rel*  
**by** (*metis less-bool-rel-iff wfUNIVI*)

### 2.1 Hull and well-foundedness

**inductive-set** *hull-rel* **where**

$(p \cdot x, x) \in \text{hull-rel}$

**lemma** *hull-relp-reflp*: *reflp hull-relp*  
**by** (*metis hull-relp.intros permute-zero reflpI*)

**lemma** *hull-relp-symp*: *symp hull-relp*  
**by** (*metis (poly-guards-query) hull-relp.simps permute-minus-cancel(2) sympI*)

**lemma** *hull-relp-transp*: *transp hull-relp*  
**by** (*metis (full-types) hull-relp.simps permute-plus transpI*)

**lemma** *hull-relp-equivp*: *equivp hull-relp*  
**by** (*metis equivpI hull-relp-reflp hull-relp-symp hull-relp-transp*)

**lemma** *hull-rel-relcomp-subset*:

**assumes** *eqvt R*  
**shows**  $R \circ \text{hull-rel} \subseteq \text{hull-rel} \circ R$

**proof**

**fix**  $x$

**assume**  $x \in R \circ \text{hull-rel}$

**then obtain**  $x1\ x2\ y$  **where**  $x = (x1, x2)$  **and**  $R: (x1, y) \in R$  **and**  $(y, x2) \in \text{hull-rel}$

**by** *auto*

**then obtain**  $p$  **where**  $y = p \cdot x2$

**by** (*metis hull-rel.simps*)

**then have**  $-p \cdot y = x2$

**by** (*metis permute-minus-cancel(2)*)

**then have**  $(-p \cdot x1, x2) \in R$

**using**  $R$  **assms** **by** (*metis Pair-eqvt eqvt-def mem-permute-iff*)

**moreover have**  $(x1, -p \cdot x1) \in \text{hull-rel}$

**by** (*metis hull-rel.intros permute-minus-cancel(2)*)

**ultimately show**  $x \in \text{hull-rel} \circ R$

**using**  $x$  **by** *auto*

**qed**

**lemma** *wf-hull-rel-relcomp*:

**assumes** *wf R and eqvt R*

**shows** *wf (hull-rel O R)*

**using** *assms* **by** (*metis hull-rel-relcomp-subset wf-relcomp-compatible*)

**lemma** *hull-rel-relcompI* [*simp*]:

**assumes**  $(x, y) \in R$

**shows**  $(p \cdot x, y) \in \text{hull-rel} \circ R$

**using** *assms* **by** (*metis hull-rel.intros relcomp.relcompI*)

**lemma** *hull-rel-relcomp-trivialI* [*simp*]:

**assumes**  $(x, y) \in R$

**shows**  $(x, y) \in \text{hull-rel} \circ R$

**using** *assms* **by** (*metis hull-rel-relcompI permute-zero*)

```

end
theory Residual
imports
  Nominal2.Nominal2
begin

```

### 3 Residuals

#### 3.1 Binding names

To define  $\alpha$ -equivalence, we require actions to be equipped with an equivariant function  $bn$  that gives their binding names. Actions may only bind finitely many names. This is necessary to ensure that we can use a finite permutation to rename the binding names in an action.

```

class bn = fs +
  fixes bn :: 'a  $\Rightarrow$  atom set
  assumes bn-eqvt:  $p \cdot (bn \alpha) = bn (p \cdot \alpha)$ 
  and bn-finite: finite (bn  $\alpha$ )

```

```

lemma bn-subset-supp:  $bn \alpha \subseteq supp \alpha$ 
by (metis (erased, opaque-lifting) bn-eqvt bn-finite eqvt-at-def finite-supp supp-eqvt-at
supp-finite-atom-set)

```

#### 3.2 Raw residuals and $\alpha$ -equivalence

Raw residuals are simply pairs of actions and states. Binding names in the action bind into (the action and) the state.

```

fun alpha-residual :: ('act::bn  $\times$  'state::pt)  $\Rightarrow$  ('act  $\times$  'state)  $\Rightarrow$  bool where
  alpha-residual ( $\alpha 1, P 1$ ) ( $\alpha 2, P 2$ )  $\longleftrightarrow$   $[bn \alpha 1]set. (\alpha 1, P 1) = [bn \alpha 2]set. (\alpha 2, P 2)$ 

```

$\alpha$ -equivalence is equivariant.

```

lemma alpha-residual-eqvt [eqvt]:
  assumes alpha-residual r1 r2
  shows alpha-residual (p  $\cdot$  r1) (p  $\cdot$  r2)
using assms by (cases r1, cases r2) (simp, metis Pair-eqvt bn-eqvt permute-Abs-set)

```

$\alpha$ -equivalence is an equivalence relation.

```

lemma alpha-residual-reflp: reflp alpha-residual
by (metis alpha-residual.simps prod.exhaust reflpI)

```

```

lemma alpha-residual-symp: symp alpha-residual
by (metis alpha-residual.simps prod.exhaust sympI)

```

```

lemma alpha-residual-transp: transp alpha-residual
by (rule transpI) (metis alpha-residual.simps prod.exhaust)

```

**lemma** *alpha-residual-equivp*: *equivp alpha-residual*  
**by** (*metis alpha-residual-reflp alpha-residual-symp alpha-residual-transp equivpI*)

### 3.3 Residuals

Residuals are raw residuals quotiented by  $\alpha$ -equivalence.

**quotient-type**

*('act,'state) residual = 'act::bn × 'state::pt / alpha-residual*  
**by** (*fact alpha-residual-equivp*)

**lemma** *residual-abs-rep* [*simp*]: *abs-residual (rep-residual res) = res*  
**by** (*metis Quotient-residual Quotient-abs-rep*)

**lemma** *residual-rep-abs* [*simp*]: *alpha-residual (rep-residual (abs-residual r)) r*  
**by** (*metis residual.abs-eq-iff residual-abs-rep*)

The permutation operation is lifted from raw residuals.

**instantiation** *residual* :: (*bn,pt*) *pt*  
**begin**

**lift-definition** *permute-residual* :: *perm*  $\Rightarrow$  (*'a,'b*) *residual*  $\Rightarrow$  (*'a,'b*) *residual*  
**is** *permute*  
**by** (*fact alpha-residual-eqvt*)

**instance**

**proof**

**fix** *res* :: (*-,-*) *residual*

**show**  $0 \cdot res = res$

**by** *transfer (metis alpha-residual-equivp equivp-reflp permute-zero)*

**next**

**fix** *p q* :: *perm* **and** *res* :: (*-,-*) *residual*

**show**  $(p + q) \cdot res = p \cdot q \cdot res$

**by** *transfer (metis alpha-residual-equivp equivp-reflp permute-plus)*

**qed**

**end**

The abstraction function from raw residuals to residuals is equivariant. The representation function is equivariant modulo  $\alpha$ -equivalence.

**lemmas** *permute-residual.abs-eq* [*eqvt, simp*]

**lemma** *alpha-residual-permute-rep-commute* [*simp*]: *alpha-residual (p · rep-residual res) (rep-residual (p · res))*  
**by** (*metis residual.abs-eq-iff residual-abs-rep permute-residual.abs-eq*)



### 3.4 Notation for pairs as residuals

**abbreviation** *abs-residual-pair* :: 'act::bn  $\Rightarrow$  'state::pt  $\Rightarrow$  ('act,'state) residual  
 $\langle \langle -, - \rangle [0,0] 1000 \rangle$

**where**

$\langle \alpha, P \rangle == \text{abs-residual } (\alpha, P)$

**lemma** *abs-residual-pair-eqvt* [simp]:  $p \cdot \langle \alpha, P \rangle = \langle p \cdot \alpha, p \cdot P \rangle$

**by** (*metis Pair-eqvt permute-residual.abs-eq*)

### 3.5 Support of residuals

We only consider finitely supported states now.

**lemma** *supp-abs-residual-pair*:  $\text{supp } \langle \alpha, P :: 'state::fs \rangle = \text{supp } (\alpha, P) - \text{bn } \alpha$

**proof** –

**have**  $\text{supp } \langle \alpha, P \rangle = \text{supp } ([\text{bn } \alpha] \text{set. } (\alpha, P))$

**by** (*simp add: supp-def residual.abs-eq-iff bn-eqvt*)

**then show** *?thesis* **by** (*simp add: supp-Abs*)

**qed**

**lemma** *bn-abs-residual-fresh* [simp]:  $\text{bn } \alpha \#* \langle \alpha, P :: 'state::fs \rangle$

**by** (*simp add: fresh-star-def fresh-def supp-abs-residual-pair*)

**lemma** *finite-supp-abs-residual-pair* [simp]:  $\text{finite } (\text{supp } \langle \alpha, P :: 'state::fs \rangle)$

**by** (*metis finite-Diff finite-supp supp-abs-residual-pair*)

### 3.6 Equality between residuals

**lemma** *residual-eq-iff-perm*:  $\langle \alpha 1, P 1 \rangle = \langle \alpha 2, P 2 \rangle \longleftrightarrow$

$(\exists p. \text{supp } (\alpha 1, P 1) - \text{bn } \alpha 1 = \text{supp } (\alpha 2, P 2) - \text{bn } \alpha 2 \wedge (\text{supp } (\alpha 1, P 1) - \text{bn } \alpha 1) \#* p \wedge p \cdot (\alpha 1, P 1) = (\alpha 2, P 2) \wedge p \cdot \text{bn } \alpha 1 = \text{bn } \alpha 2)$

(**is** *?l*  $\longleftrightarrow$  *?r*)

**proof**

**assume** \*: *?l*

**then have**  $[\text{bn } \alpha 1] \text{set. } (\alpha 1, P 1) = [\text{bn } \alpha 2] \text{set. } (\alpha 2, P 2)$

**by** (*simp add: residual.abs-eq-iff*)

**then obtain** *p* **where**  $(\text{bn } \alpha 1, (\alpha 1, P 1)) \approx_{\text{set}} ((=)) \text{supp } p (\text{bn } \alpha 2, (\alpha 2, P 2))$

**using** *Abs-eq-iff(1)* **by** *blast*

**then show** *?r*

**by** (*metis (mono-tags, lifting) alpha-set.simps*)

**next**

**assume** \*: *?r*

**then obtain** *p* **where**  $(\text{bn } \alpha 1, (\alpha 1, P 1)) \approx_{\text{set}} ((=)) \text{supp } p (\text{bn } \alpha 2, (\alpha 2, P 2))$

**using** *alpha-set.simps* **by** *blast*

**then have**  $[\text{bn } \alpha 1] \text{set. } (\alpha 1, P 1) = [\text{bn } \alpha 2] \text{set. } (\alpha 2, P 2)$

**using** *Abs-eq-iff(1)* **by** *blast*

**then show** *?l*

**by** (*simp add: residual.abs-eq-iff*)

**qed**

**lemma** *residual-eq-iff-perm-renaming*:  $\langle \alpha 1, P1 \rangle = \langle \alpha 2, P2 \rangle \longleftrightarrow$   
 $(\exists p. \text{supp } (\alpha 1, P1) - \text{bn } \alpha 1 = \text{supp } (\alpha 2, P2) - \text{bn } \alpha 2 \wedge (\text{supp } (\alpha 1, P1) - \text{bn } \alpha 1) \#* p \wedge p \cdot (\alpha 1, P1) = (\alpha 2, P2) \wedge p \cdot \text{bn } \alpha 1 = \text{bn } \alpha 2 \wedge \text{supp } p \subseteq \text{bn } \alpha 1 \cup p \cdot \text{bn } \alpha 1)$   
**(is ?l  $\longleftrightarrow$  ?r)**

**proof**  
**assume** ?l  
**then obtain**  $p$  **where**  $p: \text{supp } (\alpha 1, P1) - \text{bn } \alpha 1 = \text{supp } (\alpha 2, P2) - \text{bn } \alpha 2 \wedge (\text{supp } (\alpha 1, P1) - \text{bn } \alpha 1) \#* p \wedge p \cdot (\alpha 1, P1) = (\alpha 2, P2) \wedge p \cdot \text{bn } \alpha 1 = \text{bn } \alpha 2$   
**by** (*metis residual-eq-iff-perm*)  
**moreover obtain**  $q$  **where**  $q-p: \forall b \in \text{bn } \alpha 1. q \cdot b = p \cdot b$  **and**  $\text{supp } q: \text{supp } q \subseteq \text{bn } \alpha 1 \cup p \cdot \text{bn } \alpha 1$   
**by** (*metis set-renaming-perm2*)  
**have**  $\text{supp } q \subseteq \text{supp } p$

**proof**  
**fix**  $a$  **assume**  $*$ :  $a \in \text{supp } q$  **then show**  $a \in \text{supp } p$   
**proof** (*cases*  $a \in \text{bn } \alpha 1$ )  
**case** *True* **then show** ?thesis  
**using**  $*$   $q-p$  **by** (*metis mem-Collect-eq supp-perm*)  
**next**  
**case** *False* **then have**  $a \in p \cdot \text{bn } \alpha 1$   
**using**  $*$   $\text{supp } q$  **using** *UnE subsetCE* **by** *blast*  
**with** *False* **have**  $p \cdot a \neq a$   
**by** (*metis mem-permute-iff*)  
**then show** ?thesis  
**using** *fresh-def fresh-perm* **by** *blast*

**qed**  
**qed**  
**with**  $p$  **have**  $(\text{supp } (\alpha 1, P1) - \text{bn } \alpha 1) \#* q$   
**by** (*meson fresh-def fresh-star-def subset-iff*)  
**moreover with**  $p$  **and**  $q-p$  **have**  $\bigwedge a. a \in \text{supp } \alpha 1 \implies q \cdot a = p \cdot a$  **and**  $\bigwedge a. a \in \text{supp } P1 \implies q \cdot a = p \cdot a$   
**by** (*metis Diff-iff fresh-perm fresh-star-def UnCI supp-Pair*)+  
**then have**  $q \cdot \alpha 1 = p \cdot \alpha 1$  **and**  $q \cdot P1 = p \cdot P1$   
**by** (*metis supp-perm-perm-eq*)+  
**ultimately show** ?r  
**using**  $\text{supp } q$  **by** (*metis Pair-eqvt bn-eqvt*)

**next**  
**assume** ?r **then show** ?l  
**by** (*meson residual-eq-iff-perm*)

**qed**

### 3.7 Strong induction

**lemma** *residual-strong-induct*:  
**assumes**  $\bigwedge (act::'act::bn) (state::'state::fs) (c::'a::fs). \text{bn } act \#* c \implies P c \langle act, state \rangle$   
**shows**  $P c$  *residual*  
**proof** (*rule residual.abs-induct, clarify*)

```

fix act :: 'act and state :: 'state
obtain p where 1: (p · bn act) #* c and 2: supp ⟨act, state⟩ #* p
  proof (rule at-set-avoiding2[of bn act c ⟨act, state⟩, THEN exE])
    show finite (bn act) by (fact bn-finite)
  next
    show finite (supp c) by (fact finite-supp)
  next
    show finite (supp ⟨act, state⟩) by (fact finite-supp-abs-residual-pair)
  next
    show bn act #* ⟨act, state⟩ by (fact bn-abs-residual-fresh)
  qed metis
from 2 have ⟨p · act, p · state⟩ = ⟨act, state⟩
  using supp-perm-eq by fastforce
then show P c ⟨act, state⟩
  using assms 1 by (metis bn-eqvt)
qed

```

### 3.8 Other lemmas

```

lemma residual-empty-bn-eq-iff:
  assumes bn  $\alpha 1 = \{\}$ 
  shows ⟨ $\alpha 1, P1$ ⟩ = ⟨ $\alpha 2, P2$ ⟩  $\longleftrightarrow$   $\alpha 1 = \alpha 2 \wedge P1 = P2$ 
proof
  assume ⟨ $\alpha 1, P1$ ⟩ = ⟨ $\alpha 2, P2$ ⟩
  with assms have [ $\{\}$ ]set. ( $\alpha 1, P1$ ) = [bn  $\alpha 2$ ]set. ( $\alpha 2, P2$ )
    by (simp add: residual.abs-eq-iff)
  then obtain p where ( $\{\}$ , ( $\alpha 1, P1$ ))  $\approx_{set}$  ((=)) supp p (bn  $\alpha 2$ , ( $\alpha 2, P2$ ))
    using Abs-eq-iff(1) by blast
  then show  $\alpha 1 = \alpha 2 \wedge P1 = P2$ 
    unfolding alpha-set using supp-perm-eq by fastforce
next
  assume  $\alpha 1 = \alpha 2 \wedge P1 = P2$  then show ⟨ $\alpha 1, P1$ ⟩ = ⟨ $\alpha 2, P2$ ⟩
    by simp
qed

```

— The following lemma is not about residuals, but we have no better place for it.

```

lemma set-bounded-supp:
  assumes finite S and  $\bigwedge x. x \in X \implies \text{supp } x \subseteq S$ 
  shows supp X  $\subseteq S$ 
proof –
  have S supports X
    unfolding supports-def proof (clarify)
      fix a b
      assume a:  $a \notin S$  and b:  $b \notin S$ 
      {
        fix x
        assume  $x \in X$ 
        then have ( $a \rightleftharpoons b$ ) ·  $x = x$ 
          using a b  $\langle \bigwedge x. x \in X \implies \text{supp } x \subseteq S \rangle$  by (meson fresh-def subsetCE)
      }

```

```

swap-fresh-fresh)
}
then show  $(a \equiv b) \cdot X = X$ 
  by auto (metis (full-types) eqvt-bound mem-permute-iff, metis mem-permute-iff)
qed
then show  $\text{supp } X \subseteq S$ 
  using assms(1) by (fact supp-is-subset)
qed

end
theory Transition-System
imports
  Residual
begin

```

## 4 Nominal Transition Systems and Bisimulations

### 4.1 Basic Lemmas

```

lemma symp-on-eqvt [eqvt]:
  assumes symp-on A R shows symp-on  $(p \cdot A)$   $(p \cdot R)$ 
  using assms
  by (auto simp: symp-on-def permute-fun-def permute-set-def permute-pure)

```

```

lemma symp-eqvt:
  assumes symp R shows symp  $(p \cdot R)$ 
  using assms
  by (auto simp: symp-on-def permute-fun-def permute-pure)

```

### 4.2 Nominal transition systems

```

locale nominal-ts =
  fixes satisfies :: 'state::fs  $\Rightarrow$  'pred::fs  $\Rightarrow$  bool (infix  $\vdash$  70)
  and transition :: 'state  $\Rightarrow$  ('act::bn, 'state) residual  $\Rightarrow$  bool (infix  $\rightarrow$  70)
  assumes satisfies-eqvt [eqvt]:  $P \vdash \varphi \Longrightarrow p \cdot P \vdash p \cdot \varphi$ 
  and transition-eqvt [eqvt]:  $P \rightarrow \alpha Q \Longrightarrow p \cdot P \rightarrow p \cdot \alpha Q$ 
begin

```

```

  lemma transition-eqvt':
    assumes  $P \rightarrow \langle \alpha, Q \rangle$  shows  $p \cdot P \rightarrow \langle p \cdot \alpha, p \cdot Q \rangle$ 
    using assms by (metis abs-residual-pair-eqvt transition-eqvt)

```

```

end

```

### 4.3 Bisimulations

```

context nominal-ts
begin

```

**definition** *is-bisimulation* :: ('state  $\Rightarrow$  'state  $\Rightarrow$  bool)  $\Rightarrow$  bool **where**  
*is-bisimulation*  $R \equiv$   
 symp  $R \wedge$   
 $(\forall P Q. R P Q \longrightarrow (\forall \varphi. P \vdash \varphi \longrightarrow Q \vdash \varphi)) \wedge$   
 $(\forall P Q. R P Q \longrightarrow (\forall \alpha P'. \text{bn } \alpha \#* Q \longrightarrow P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. Q \rightarrow \langle \alpha, Q' \rangle \wedge R P' Q')))$

**definition** *bisimilar* :: 'state  $\Rightarrow$  'state  $\Rightarrow$  bool (**infix**  $\sim \cdot$  100) **where**  
 $P \sim \cdot Q \equiv \exists R. \text{is-bisimulation } R \wedge R P Q$

$(\sim \cdot)$  is an equivariant equivalence relation.

**lemma** *is-bisimulation-eqvt* :

**assumes** *is-bisimulation*  $R$  **shows** *is-bisimulation*  $(p \cdot R)$

**using** *assms unfolding is-bisimulation-def*

**proof** (*clarify*)

**assume** 1: *symp*  $R$

**assume** 2:  $\forall P Q. R P Q \longrightarrow (\forall \varphi. P \vdash \varphi \longrightarrow Q \vdash \varphi)$

**assume** 3:  $\forall P Q. R P Q \longrightarrow (\forall \alpha P'. \text{bn } \alpha \#* Q \longrightarrow P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. Q \rightarrow \langle \alpha, Q' \rangle \wedge R P' Q'))$

**have** *symp*  $(p \cdot R)$  (**is** ?S)

**using** 1 **by** (*simp add: symp-eqvt*)

**moreover have**  $\forall P Q. (p \cdot R) P Q \longrightarrow (\forall \varphi. P \vdash \varphi \longrightarrow Q \vdash \varphi)$  (**is** ?T)

**proof** (*clarify*)

**fix**  $P Q \varphi$

**assume** \*:  $(p \cdot R) P Q$  **and** \*\*:  $P \vdash \varphi$

**from** \* **have**  $R (-p \cdot P) (-p \cdot Q)$

**by** (*simp add: eqvt-lambda permute-bool-def unpermute-def*)

**then show**  $Q \vdash \varphi$

**using** 2 \*\* **by** (*metis permute-minus-cancel(1) satisfies-eqvt*)

**qed**

**moreover have**  $\forall P Q. (p \cdot R) P Q \longrightarrow (\forall \alpha P'. \text{bn } \alpha \#* Q \longrightarrow P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. Q \rightarrow \langle \alpha, Q' \rangle \wedge (p \cdot R) P' Q'))$  (**is** ?U)

**proof** (*clarify*)

**fix**  $P Q \alpha P'$

**assume** \*:  $(p \cdot R) P Q$  **and** \*\*:  $\text{bn } \alpha \#* Q$  **and** \*\*\*:  $P \rightarrow \langle \alpha, P' \rangle$

**from** \* **have**  $R (-p \cdot P) (-p \cdot Q)$

**by** (*simp add: eqvt-lambda permute-bool-def unpermute-def*)

**moreover have**  $\text{bn } (-p \cdot \alpha) \#* (-p \cdot Q)$

**using** \*\* **by** (*metis bn-eqvt fresh-star-permute-iff*)

**moreover have**  $-p \cdot P \rightarrow \langle -p \cdot \alpha, -p \cdot P' \rangle$

**using** \*\*\* **by** (*metis transition-eqvt'*)

**ultimately obtain**  $Q'$  **where**  $T: -p \cdot Q \rightarrow \langle -p \cdot \alpha, Q' \rangle$  **and**  $R: R (-p \cdot P') Q'$

**using** 3 **by** *metis*

**from**  $T$  **have**  $Q \rightarrow \langle \alpha, p \cdot Q' \rangle$

**by** (*metis permute-minus-cancel(1) transition-eqvt'*)

**moreover from**  $R$  **have**  $(p \cdot R) P' (p \cdot Q')$

**by** (*metis eqvt-apply eqvt-lambda permute-bool-def unpermute-def*)

**ultimately show**  $\exists Q'. Q \rightarrow \langle \alpha, Q' \rangle \wedge (p \cdot R) P' Q'$

```

    by metis
  qed
  ultimately show ?S ∧ ?T ∧ ?U by simp
qed

lemma bisimilar-eqvt :
  assumes P ~ Q shows (p · P) ~ (p · Q)
proof -
  from assms obtain R where *: is-bisimulation R ∧ R P Q
  unfolding bisimilar-def ..
  then have is-bisimulation (p · R)
  by (simp add: is-bisimulation-eqvt)
  moreover from * have (p · R) (p · P) (p · Q)
  by (metis eqvt-apply permute-boolI)
  ultimately show (p · P) ~ (p · Q)
  unfolding bisimilar-def by auto
qed

lemma bisimilar-reflp: reftp bisimilar
proof (rule reftpI)
  fix x
  have is-bisimulation (=)
  unfolding is-bisimulation-def by (simp add: symp-def)
  then show x ~ x
  unfolding bisimilar-def by auto
qed

lemma bisimilar-symp: symp bisimilar
proof (rule sympI)
  fix P Q
  assume P ~ Q
  then obtain R where *: is-bisimulation R ∧ R P Q
  unfolding bisimilar-def ..
  then have R Q P
  unfolding is-bisimulation-def by (simp add: symp-def)
  with * show Q ~ P
  unfolding bisimilar-def by auto
qed

lemma bisimilar-is-bisimulation: is-bisimulation bisimilar
unfolding is-bisimulation-def proof
  show symp (~)
  by (fact bisimilar-symp)
next
  show (∀ P Q. P ~ Q → (∀ φ. P ⊢ φ → Q ⊢ φ)) ∧
  (∀ P Q. P ~ Q → (∀ α P'. bn α #* Q → P → ⟨α, P'⟩ → (∃ Q'. Q →
  ⟨α, Q'⟩ ∧ P' ~ Q')))
  by (auto simp add: is-bisimulation-def bisimilar-def) blast
qed

```

```

lemma bisimilar-transp: transp bisimilar
proof (rule transpI)
  fix  $P Q R$ 
  assume  $PQ: P \sim \cdot Q$  and  $QR: Q \sim \cdot R$ 
  let  $?bisim = bisimilar OO bisimilar$ 
  have symp  $?bisim$ 
  proof (rule sympI)
    fix  $P R$ 
    assume  $?bisim P R$ 
    then obtain  $Q$  where  $P \sim \cdot Q$  and  $Q \sim \cdot R$ 
    by blast
    then have  $R \sim \cdot Q$  and  $Q \sim \cdot P$ 
    by (metis bisimilar-symp sympE)+
    then show  $?bisim R P$ 
    by blast
  qed
moreover have  $\forall P Q. ?bisim P Q \longrightarrow (\forall \varphi. P \vdash \varphi \longrightarrow Q \vdash \varphi)$ 
  using bisimilar-is-bisimulation is-bisimulation-def by auto
moreover have  $\forall P Q. ?bisim P Q \longrightarrow$ 
  ( $\forall \alpha P'. bn \alpha \#* Q \longrightarrow P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. Q \rightarrow \langle \alpha, Q' \rangle \wedge ?bisim P'$ 
 $Q')$ )
  proof (clarify)
    fix  $P R Q \alpha P'$ 
    assume  $PR: P \sim \cdot R$  and  $RQ: R \sim \cdot Q$  and fresh:  $bn \alpha \#* Q$  and trans:  $P$ 
 $\rightarrow \langle \alpha, P' \rangle$ 
    — rename  $\langle \alpha, P' \rangle$  to avoid  $R$ , without touching  $Q$ 
    obtain  $p$  where  $1: (p \cdot bn \alpha) \#* R$  and  $2: supp (\langle \alpha, P' \rangle, Q) \#* p$ 
    proof (rule at-set-avoiding2[of  $bn \alpha R (\langle \alpha, P' \rangle, Q)$ , THEN exE])
      show finite  $(bn \alpha)$  by (fact bn-finite)
      next
      show finite  $(supp R)$  by (fact finite-supp)
      next
      show finite  $(supp (\langle \alpha, P' \rangle, Q))$  by (simp add: finite-supp supp-Pair)
      next
      show  $bn \alpha \#* (\langle \alpha, P' \rangle, Q)$  by (simp add: fresh fresh-star-Pair)
    qed metis
    from  $2$  have  $3: supp \langle \alpha, P' \rangle \#* p$  and  $4: supp Q \#* p$ 
    by (simp add: fresh-star-Un supp-Pair)+
    from  $3$  have  $\langle p \cdot \alpha, p \cdot P' \rangle = \langle \alpha, P' \rangle$ 
    using supp-perm-eq by fastforce
    then obtain  $pR'$  where  $5: R \rightarrow \langle p \cdot \alpha, pR' \rangle$  and  $6: (p \cdot P') \sim \cdot pR'$ 
    using  $PR$  trans 1 by (metis (mono-tags, lifting) bisimilar-is-bisimulation
bn-eqvt is-bisimulation-def)
    from fresh and  $4$  have  $bn (p \cdot \alpha) \#* Q$ 
    by (metis bn-eqvt fresh-star-permute-iff supp-perm-eq)
    then obtain  $pQ'$  where  $7: Q \rightarrow \langle p \cdot \alpha, pQ' \rangle$  and  $8: pR' \sim \cdot pQ'$ 
    using  $RQ$   $5$  by (metis (full-types) bisimilar-is-bisimulation is-bisimulation-def)
    from  $7$  have  $Q \rightarrow \langle \alpha, -p \cdot pQ' \rangle$ 

```

```

    using 4 by (metis permute-minus-cancel(2) supp-perm-eq transition-eqt')
    moreover from 6 and 8 have ?bisim P' (-p · pQ')
    by (metis (no-types, opaque-lifting) bisimilar-eqt permute-minus-cancel(2)
relcompp.simps)
    ultimately show  $\exists Q'. Q \rightarrow \langle \alpha, Q' \rangle \wedge ?bisim P' Q'$ 
    by metis
  qed
  ultimately have is-bisimulation ?bisim
  unfolding is-bisimulation-def by metis
  moreover have ?bisim P R
  using PQ QR by blast
  ultimately show  $P \sim R$ 
  unfolding bisimilar-def by meson
qed

lemma bisimilar-equivp: equivp bisimilar
by (metis bisimilar-reflp bisimilar-symp bisimilar-transp equivp-reflp-symp-transp)

lemma bisimilar-simulation-step:
  assumes  $P \sim Q$  and  $bn \alpha \#* Q$  and  $P \rightarrow \langle \alpha, P' \rangle$ 
  obtains  $Q'$  where  $Q \rightarrow \langle \alpha, Q' \rangle$  and  $P' \sim Q'$ 
  using assms by (metis (poly-guards-query) bisimilar-is-bisimulation is-bisimulation-def)

end

end
theory Formula
imports
  Nominal-Bounded-Set
  Nominal-Wellfounded
  Residual
begin

```

## 5 Infinitary Formulas

### 5.1 Infinitely branching trees

First, we define a type of trees, with a constructor  $tConj$  that maps (potentially infinite) sets of trees into trees. To avoid paradoxes (note that there is no injection from the powerset of trees into the set of trees), the cardinality of the argument set must be bounded.

```

datatype ('idx, 'pred, 'act) Tree =
  tConj ('idx, 'pred, 'act) Tree set['idx] — potentially infinite sets of trees
| tNot ('idx, 'pred, 'act) Tree
| tPred 'pred
| tAct 'act ('idx, 'pred, 'act) Tree

```

The (automatically generated) induction principle for trees allows us to



prove that the following relation over trees is well-founded. This will be useful for termination proofs when we define functions by recursion over trees.

```
inductive-set Tree-wf :: ('idx,'pred,'act) Tree rel where
  t ∈ set-bset tset ⇒ (t, tConj tset) ∈ Tree-wf
| (t, tNot t) ∈ Tree-wf
| (t, tAct α t) ∈ Tree-wf
```

**lemma** *wf-Tree-wf*: *wf Tree-wf*

**unfolding** *wf-def*

**proof** (*rule allI*, *rule impI*, *rule allI*)

**fix** *P* :: ('idx,'pred,'act) *Tree* ⇒ *bool* **and** *t*

**assume** ∀ *x*. (∀ *y*. (*y*, *x*) ∈ *Tree-wf* ⇒ *P y*) ⇒ *P x*

**then show** *P t*

**proof** (*induction t*)

**case** *tConj* **then show** ?*case*

**by** (*metis Tree.distinct(2) Tree.distinct(5) Tree.inject(1) Tree-wf.cases*)

**next**

**case** *tNot* **then show** ?*case*

**by** (*metis Tree.distinct(1) Tree.distinct(9) Tree.inject(2) Tree-wf.cases*)

**next**

**case** *tPred* **then show** ?*case*

**by** (*metis Tree.distinct(11) Tree.distinct(3) Tree.distinct(7) Tree-wf.cases*)

**next**

**case** *tAct* **then show** ?*case*

**by** (*metis Tree.distinct(10) Tree.distinct(6) Tree.inject(4) Tree-wf.cases*)

**qed**

**qed**

We define a permutation operation on the type of trees.

**instantiation** *Tree* :: (*type*, *pt*, *pt*) *pt*

**begin**

**primrec** *permute-Tree* :: *perm* ⇒ (*-,-,-*) *Tree* ⇒ (*-,-,-*) *Tree* **where**

*p* · (*tConj tset*) = *tConj (map-bset (permute p) tset)* — neat trick to get around the fact that *tset* is not of permutation type yet

| *p* · (*tNot t*) = *tNot (p · t)*

| *p* · (*tPred* φ) = *tPred (p · φ)*

| *p* · (*tAct* α *t*) = *tAct (p · α) (p · t)*

**instance**

**proof**

**fix** *t* :: (*-,-,-*) *Tree*

**show** *0* · *t* = *t*

**proof** (*induction t*)

**case** *tConj* **then show** ?*case*

**by** (*simp, transfer*) (*auto simp: image-def*)

**qed** *simp-all*

**next**

```

fix p q :: perm and t :: (-,-,-) Tree
show (p + q) · t = p · q · t
proof (induction t)
  case tConj then show ?case
  by (simp, transfer) (auto simp: image-def)
qed simp-all
qed

```

**end**

Now that the type of trees—and hence the type of (bounded) sets of trees—is a permutation type, we can massage the definition of  $p \cdot tConj\ tset$  into its more usual form.

```

lemma permute-Tree-tConj [simp]: p · tConj tset = tConj (p · tset)
by (simp add: map-bset-permute)

```

```

declare permute-Tree.simps(1) [simp del]

```

The relation *Tree-wf* is equivariant.

**lemma** *Tree-wf-eqt-aux*:

```

assumes (t1, t2) ∈ Tree-wf shows (p · t1, p · t2) ∈ Tree-wf
using assms proof (induction rule: Tree-wf.induct)
  fix t :: ('a,'b,'c) Tree and tset :: ('a,'b,'c) Tree set['a]
  assume t ∈ set-bset tset then show (p · t, p · tConj tset) ∈ Tree-wf
  by (metis Tree-wf.intros(1) mem-permute-iff permute-Tree-tConj set-bset-eqt)
next
  fix t :: ('a,'b,'c) Tree
  show (p · t, p · tNot t) ∈ Tree-wf
  by (metis Tree-wf.intros(2) permute-Tree.simps(2))
next
  fix t :: ('a,'b,'c) Tree and α
  show (p · t, p · tAct α t) ∈ Tree-wf
  by (metis Tree-wf.intros(3) permute-Tree.simps(4))
qed

```

**lemma** *Tree-wf-eqt* [eqvt, simp]:  $p \cdot Tree-wf = Tree-wf$

**proof**

```

show p · Tree-wf ⊆ Tree-wf
  by (auto simp add: permute-set-def) (rule Tree-wf-eqt-aux)
next
show Tree-wf ⊆ p · Tree-wf
  by (auto simp add: permute-set-def) (metis Tree-wf-eqt-aux permute-minus-cancel(1))
qed

```

**lemma** *Tree-wf-eqt'*: eqvt *Tree-wf*

**by** (metis *Tree-wf-eqt eqvtI*)

The definition of *permute* for trees gives rise to the usual notion of support. The following lemmas, one for each constructor, describe the support of

trees.

**lemma** *supp-tConj* [*simp*]:  $\text{supp } (tConj \ tset) = \text{supp } tset$   
**unfolding** *supp-def* **by** *simp*

**lemma** *supp-tNot* [*simp*]:  $\text{supp } (tNot \ t) = \text{supp } t$   
**unfolding** *supp-def* **by** *simp*

**lemma** *supp-tPred* [*simp*]:  $\text{supp } (tPred \ \varphi) = \text{supp } \varphi$   
**unfolding** *supp-def* **by** *simp*

**lemma** *supp-tAct* [*simp*]:  $\text{supp } (tAct \ \alpha \ t) = \text{supp } \alpha \cup \text{supp } t$   
**unfolding** *supp-def* **by** (*simp* *add*: *Collect-imp-eq* *Collect-neg-eq*)

## 5.2 Trees modulo $\alpha$ -equivalence

We generalize the notion of support, which considers whether a permuted element is *equal* to itself, to arbitrary endorelations. This is available as *supp-rel* in Nominal Isabelle.

**lemma** *supp-rel-eqv* [*eqvt*]:  
 $p \cdot \text{supp-rel } R \ x = \text{supp-rel } (p \cdot R) \ (p \cdot x)$   
**by** (*simp* *add*: *supp-rel-def*)

Usually, the definition of  $\alpha$ -equivalence presupposes a notion of free variables. However, the variables that are “free” in an infinitary conjunction are not necessarily those that are free in one of the conjuncts. For instance, consider a conjunction over *all* names. Applying any permutation will yield the same conjunction, i.e., this conjunction has *no* free variables.

To obtain the correct notion of free variables for infinitary conjunctions, we initially defined  $\alpha$ -equivalence and free variables via mutual recursion. In particular, we defined the free variables of a conjunction as term *fv-Tree* ( $tConj \ tset) = \text{supp-rel } \alpha\text{-Tree } (tConj \ tset)$ .

We then realized that it is not necessary to define the concept of “free variables” at all, but the definition of  $\alpha$ -equivalence becomes much simpler (in particular, it is no longer mutually recursive) if we directly use the support modulo  $\alpha$ -equivalence.

The following lemmas and constructions are used to prove termination of our definition.

**lemma** *supp-rel-cong* [*fundef-cong*]:  
 $\llbracket x=x'; \bigwedge a \ b. R \ ((a \equiv b) \cdot x') \ x' \longleftrightarrow R' \ ((a \equiv b) \cdot x') \ x' \rrbracket \Longrightarrow \text{supp-rel } R \ x = \text{supp-rel } R' \ x'$   
**by** (*simp* *add*: *supp-rel-def*)

**lemma** *rel-bset-cong* [*fundef-cong*]:  
 $\llbracket x=x'; y=y'; \bigwedge a \ b. a \in \text{set-bset } x' \Longrightarrow b \in \text{set-bset } y' \Longrightarrow R \ a \ b \longleftrightarrow R' \ a \ b \rrbracket \Longrightarrow \text{rel-bset } R \ x \ y \longleftrightarrow \text{rel-bset } R' \ x' \ y'$

**by** (*simp add: rel-bset-def rel-set-def*)

**lemma** *alpha-set-cong* [*fundef-cong*]:

$\llbracket bs=bs'; x=x'; R (p' \cdot x') y' \longleftrightarrow R' (p' \cdot x') y'; f x' = f' x'; f y' = f' y'; p=p'; cs=cs'; y=y' \rrbracket \implies$

$\text{alpha-set } (bs, x) R f p (cs, y) \longleftrightarrow \text{alpha-set } (bs', x') R' f' p' (cs', y')$

**by** (*simp add: alpha-set*)

**quotient-type**

$(\text{'idx, 'pred, 'act}) \text{Tree}_p = (\text{'idx, 'pred::pt, 'act::bn}) \text{Tree} / \text{hull-relp}$

**by** (*fact hull-relp-equivp*)

**lemma** *abs-Tree<sub>p</sub>-eq* [*simp*]:  $\text{abs-Tree}_p (p \cdot t) = \text{abs-Tree}_p t$

**by** (*metis hull-relp.simps Tree<sub>p</sub>.abs-eq-iff*)

**lemma** *permute-rep-abs-Tree<sub>p</sub>*:

**obtains** *p* **where**  $\text{rep-Tree}_p (\text{abs-Tree}_p t) = p \cdot t$

**by** (*metis Quotient3-Tree<sub>p</sub> Tree<sub>p</sub>.abs-eq-iff rep-abs-rsp hull-relp.simps*)

**lift-definition** *Tree-wf<sub>p</sub>* ::  $(\text{'idx, 'pred::pt, 'act::bn}) \text{Tree}_p \text{ rel is}$

*Tree-wf* .

**lemma** *Tree-wf<sub>p</sub>I* [*simp*]:

**assumes**  $(a, b) \in \text{Tree-wf}$

**shows**  $(\text{abs-Tree}_p (p \cdot a), \text{abs-Tree}_p b) \in \text{Tree-wf}_p$

**using** *assms* **by** (*metis (erased, lifting) Tree<sub>p</sub>.abs-eq-iff Tree-wf<sub>p</sub>.abs-eq hull-relp.intros map-prod-simp rev-image-eqI*)

**lemma** *Tree-wf<sub>p</sub>-trivialI* [*simp*]:

**assumes**  $(a, b) \in \text{Tree-wf}$

**shows**  $(\text{abs-Tree}_p a, \text{abs-Tree}_p b) \in \text{Tree-wf}_p$

**using** *assms* **by** (*metis Tree-wf<sub>p</sub>I permute-zero*)

**lemma** *Tree-wf<sub>p</sub>E*:

**assumes**  $(a_p, b_p) \in \text{Tree-wf}_p$

**obtains** *a b* **where**  $a_p = \text{abs-Tree}_p a$  **and**  $b_p = \text{abs-Tree}_p b$  **and**  $(a, b) \in \text{Tree-wf}$

**using** *assms* **by** (*metis Pair-inject Tree-wf<sub>p</sub>.abs-eq prod-fun-imageE*)

**lemma** *wf-Tree-wf<sub>p</sub>*:  $\text{wf Tree-wf}_p$

**proof** (*rule wf-subset[of inv-image (hull-rel O Tree-wf) rep-Tree<sub>p</sub>]*)

**show**  $\text{wf } (\text{inv-image } (\text{hull-rel } O \text{ Tree-wf}) \text{ rep-Tree}_p)$

**by** (*metis Tree-wf-eqot' wf-Tree-wf wf-hull-rel-relcomp wf-inv-image*)

**next**

**show**  $\text{Tree-wf}_p \subseteq \text{inv-image } (\text{hull-rel } O \text{ Tree-wf}) \text{ rep-Tree}_p$

**proof** (*standard, case-tac x, clarify*)

**fix**  $a_p b_p :: (\text{'d, 'e, 'f}) \text{Tree}_p$

**assume**  $(a_p, b_p) \in \text{Tree-wf}_p$

**then obtain** *a b* **where** 1:  $a_p = \text{abs-Tree}_p a$  **and** 2:  $b_p = \text{abs-Tree}_p b$  **and** 3:  $(a, b) \in \text{Tree-wf}$

```

    by (rule Tree-wfpE)
  from 1 obtain p where 4: rep-Treep ap = p · a
    by (metis permute-rep-abs-Treep)
  from 2 obtain q where 5: rep-Treep bp = q · b
    by (metis permute-rep-abs-Treep)
  have (p · a, q · a) ∈ hull-rel
    by (metis hull-rel.simps permute-minus-cancel(2) permute-plus)
  moreover from 3 have (q · a, q · b) ∈ Tree-wf
    by (rule Tree-wf-eqvt-aux)
  ultimately show (ap, bp) ∈ inv-image (hull-rel O Tree-wf) rep-Treep
    using 4 5 by auto
qed
qed

```

```

fun alpha-Tree-termination :: ('a, 'b, 'c) Tree × ('a, 'b, 'c) Tree ⇒ ('a, 'b::pt,
'c::bn) Treep set where
  alpha-Tree-termination (t1, t2) = {abs-Treep t1, abs-Treep t2}

```

Here it comes ...

```

function (sequential)
  alpha-Tree :: ('idx, 'pred::pt, 'act::bn) Tree ⇒ ('idx, 'pred, 'act) Tree ⇒ bool (infix
=α 50) where
— (=α)
  alpha-tConj: tConj tset1 =α tConj tset2 ↔ rel-bset alpha-Tree tset1 tset2
| alpha-tNot: tNot t1 =α tNot t2 ↔ t1 =α t2
| alpha-tPred: tPred φ1 =α tPred φ2 ↔ φ1 = φ2
— the action may have binding names
| alpha-tAct: tAct α1 t1 =α tAct α2 t2 ↔
  (∃ p. (bn α1, t1) ≈set alpha-Tree (supp-rel alpha-Tree) p (bn α2, t2) ∧ (bn α1,
α1) ≈set ((=)) supp p (bn α2, α2))
| alpha-other: - =α - ↔ False
— 254 subgoals (!)
by pat-completeness auto
termination
proof
  let ?R = inv-image (max-ext Tree-wfp) alpha-Tree-termination
  show wf ?R
    by (metis max-ext-wf wf-Tree-wfp wf-inv-image)
qed (auto simp add: max-ext.simps Tree-wf.simps simp del: permute-Tree-tConj)

```

We provide more descriptive case names for the automatically generated induction principle.

```

lemmas alpha-Tree-induct' = alpha-Tree.induct[case-names alpha-tConj alpha-tNot
alpha-tPred alpha-tAct alpha-other(1) alpha-other(2) alpha-other(3) alpha-other(4)
alpha-other(5) alpha-other(6) alpha-other(7) alpha-other(8) alpha-other(9)
alpha-other(10) alpha-other(11) alpha-other(12) alpha-other(13) alpha-other(14)
alpha-other(15) alpha-other(16) alpha-other(17) alpha-other(18)]

```

```

lemma alpha-Tree-induct[case-names tConj tNot tPred tAct, consumes 1]:

```

**assumes**  $t1 =_\alpha t2$   
**and**  $\bigwedge tset1\ tset2. (\bigwedge a\ b. a \in \text{set-bset } tset1 \implies b \in \text{set-bset } tset2 \implies a =_\alpha b$   
 $\implies P\ a\ b) \implies$   
 $\text{rel-bset } (=_\alpha)\ tset1\ tset2 \implies P\ (tConj\ tset1)\ (tConj\ tset2)$   
**and**  $\bigwedge t1\ t2. t1 =_\alpha t2 \implies P\ t1\ t2 \implies P\ (tNot\ t1)\ (tNot\ t2)$   
**and**  $\bigwedge \varphi. P\ (tPred\ \varphi)\ (tPred\ \varphi)$   
**and**  $\bigwedge \alpha1\ t1\ \alpha2\ t2. (\bigwedge p. p \cdot t1 =_\alpha t2 \implies P\ (p \cdot t1)\ t2) \implies$   
 $(\bigwedge a\ b. ((a \rightleftharpoons b) \cdot t1) =_\alpha t1 \implies P\ ((a \rightleftharpoons b) \cdot t1)\ t1) \implies (\bigwedge a\ b. ((a$   
 $\rightleftharpoons b) \cdot t2) =_\alpha t2 \implies P\ ((a \rightleftharpoons b) \cdot t2)\ t2) \implies$   
 $(\exists p. (bn\ \alpha1, t1) \approx_{\text{set}} (=_\alpha)\ (\text{supp-rel } (=_\alpha))\ p\ (bn\ \alpha2, t2) \wedge (bn\ \alpha1, \alpha1)$   
 $\approx_{\text{set}} (=)\ \text{supp } p\ (bn\ \alpha2, \alpha2)) \implies$   
 $P\ (tAct\ \alpha1\ t1)\ (tAct\ \alpha2\ t2)$   
**shows**  $P\ t1\ t2$   
**using** *assms* **by** (*induction*  $t1\ t2$  *rule:* *alpha-Tree.induct*) *simp-all*

$\alpha$ -equivalence is equivariant.

**lemma** *alpha-Tree-eqvt-aux:*

**assumes**  $\bigwedge a\ b. (a \rightleftharpoons b) \cdot t =_\alpha t \longleftrightarrow p \cdot (a \rightleftharpoons b) \cdot t =_\alpha p \cdot t$

**shows**  $p \cdot \text{supp-rel } (=_\alpha)\ t = \text{supp-rel } (=_\alpha)\ (p \cdot t)$

**proof** –

$\{$   
 $\text{fix } a$   
 $\text{let } ?B = \{b. \neg ((a \rightleftharpoons b) \cdot t) =_\alpha t\}$   
 $\text{let } ?pB = \{b. \neg ((p \cdot a \rightleftharpoons b) \cdot p \cdot t) =_\alpha (p \cdot t)\}$   
 $\{$   
 $\text{assume } \text{finite } ?B$   
 $\text{moreover have } \text{inj-on } (\text{unpermute } p)\ ?pB$   
 $\text{by } (\text{simp add: inj-on-def unpermute-def})$   
 $\text{moreover have } \text{unpermute } p\ ' ?pB \subseteq ?B$   
 $\text{using } \text{assms } \text{by } \text{auto } (\text{metis } (\text{erased, lifting})\ \text{eqvt-bound permute-eqvt}$   
 $\text{swap-eqvt})$   
 $\text{ultimately have } \text{finite } ?pB$   
 $\text{by } (\text{metis inj-on-finite})$   
 $\}$   
 $\text{moreover}$   
 $\{$   
 $\text{assume } \text{finite } ?pB$   
 $\text{moreover have } \text{inj-on } (\text{permute } p)\ ?B$   
 $\text{by } (\text{simp add: inj-on-def})$   
 $\text{moreover have } \text{permute } p\ ' ?B \subseteq ?pB$   
 $\text{using } \text{assms } \text{by } \text{auto } (\text{metis } (\text{erased, lifting})\ \text{permute-eqvt swap-eqvt})$   
 $\text{ultimately have } \text{finite } ?B$   
 $\text{by } (\text{metis inj-on-finite})$   
 $\}$   
 $\text{ultimately have } \text{infinite } ?B \longleftrightarrow \text{infinite } ?pB$   
 $\text{by } \text{auto}$   
 $\}$   
**then show** *?thesis*  
 $\text{by } (\text{auto simp add: supp-rel-def permute-set-def } (\text{metis eqvt-bound}))$

qed

**lemma** *alpha-Tree-eqvt'*:  $t1 =_{\alpha} t2 \iff p \cdot t1 =_{\alpha} p \cdot t2$

**proof** (*induction t1 t2 rule: alpha-Tree-induct'*)

**case** (*alpha-tConj tset1 tset2*) **show** ?case

**proof**

**assume** \*:  $tConj\ tset1 =_{\alpha} tConj\ tset2$

{

**fix**  $x$

**assume**  $x \in set-bset\ (p \cdot tset1)$

**then obtain**  $x'$  **where** 1:  $x' \in set-bset\ tset1$  **and** 2:  $x = p \cdot x'$

**by** (*metis imageE permute-bset.rep-eq permute-set-eq-image*)

**from** 1 **obtain**  $y'$  **where** 3:  $y' \in set-bset\ tset2$  **and** 4:  $x' =_{\alpha} y'$

**using** \* **by** (*metis (mono-tags, lifting) Formula.alpha-tConj rel-bset.rep-eq*

*rel-set-def*)

**from** 3 **have**  $p \cdot y' \in set-bset\ (p \cdot tset2)$

**by** (*metis mem-permute-iff set-bset-eqvt*)

**moreover from** 1 **and** 2 **and** 3 **and** 4 **have**  $x =_{\alpha} p \cdot y'$

**using** *alpha-tConj.IH* **by** *blast*

**ultimately have**  $\exists y \in set-bset\ (p \cdot tset2). x =_{\alpha} y$  ..

}

**moreover**

{

**fix**  $y$

**assume**  $y \in set-bset\ (p \cdot tset2)$

**then obtain**  $y'$  **where** 1:  $y' \in set-bset\ tset2$  **and** 2:  $p \cdot y' = y$

**by** (*metis imageE permute-bset.rep-eq permute-set-eq-image*)

**from** 1 **obtain**  $x'$  **where** 3:  $x' \in set-bset\ tset1$  **and** 4:  $x' =_{\alpha} y'$

**using** \* **by** (*metis (mono-tags, lifting) Formula.alpha-tConj rel-bset.rep-eq*

*rel-set-def*)

**from** 3 **have**  $p \cdot x' \in set-bset\ (p \cdot tset1)$

**by** (*metis mem-permute-iff set-bset-eqvt*)

**moreover from** 1 **and** 2 **and** 3 **and** 4 **have**  $p \cdot x' =_{\alpha} y$

**using** *alpha-tConj.IH* **by** *blast*

**ultimately have**  $\exists x \in set-bset\ (p \cdot tset1). x =_{\alpha} y$  ..

}

**ultimately show**  $p \cdot tConj\ tset1 =_{\alpha} p \cdot tConj\ tset2$

**by** (*simp add: rel-bset-def rel-set-def*)

**next**

**assume** \*:  $p \cdot tConj\ tset1 =_{\alpha} p \cdot tConj\ tset2$

{

**fix**  $x$

**assume** 1:  $x \in set-bset\ tset1$

**then have**  $p \cdot x \in set-bset\ (p \cdot tset1)$

**by** (*metis mem-permute-iff set-bset-eqvt*)

**then obtain**  $y'$  **where** 2:  $y' \in set-bset\ (p \cdot tset2)$  **and** 3:  $p \cdot x =_{\alpha} y'$

**using** \* **by** (*metis Formula.alpha-tConj permute-Tree-tConj rel-bset.rep-eq*

*rel-set-def*)

**from** 2 **obtain**  $y$  **where** 4:  $y \in set-bset\ tset2$  **and** 5:  $y' = p \cdot y$

```

    by (metis imageE permute-bset.rep-eq permute-set-eq-image)
  from 1 and 3 and 4 and 5 have  $x =_{\alpha} y$ 
    using alpha-tConj.IH by blast
  with 4 have  $\exists y \in \text{set-bset } tset2. x =_{\alpha} y ..$ 
}
moreover
{
  fix y
  assume 1:  $y \in \text{set-bset } tset2$ 
  then have  $p \cdot y \in \text{set-bset } (p \cdot tset2)$ 
    by (metis mem-permute-iff set-bset-eqvt)
  then obtain  $x'$  where 2:  $x' \in \text{set-bset } (p \cdot tset1)$  and 3:  $x' =_{\alpha} p \cdot y$ 
    using * by (metis Formula.alpha-tConj permute-Tree-tConj rel-bset.rep-eq
rel-set-def)
  from 2 obtain  $x$  where 4:  $x \in \text{set-bset } tset1$  and 5:  $p \cdot x = x'$ 
    by (metis imageE permute-bset.rep-eq permute-set-eq-image)
  from 1 and 3 and 4 and 5 have  $x =_{\alpha} y$ 
    using alpha-tConj.IH by blast
  with 4 have  $\exists x \in \text{set-bset } tset1. x =_{\alpha} y ..$ 
}
ultimately show  $tConj\ tset1 =_{\alpha} tConj\ tset2$ 
  by (simp add: rel-bset-def rel-set-def)
qed
next
case (alpha-tAct  $\alpha1\ t1\ \alpha2\ t2$ )
from alpha-tAct.IH(2) have  $t1: p \cdot \text{supp-rel } (=_{\alpha})\ t1 = \text{supp-rel } (=_{\alpha})\ (p \cdot t1)$ 
  by (rule alpha-Tree-eqvt-aux)
from alpha-tAct.IH(3) have  $t2: p \cdot \text{supp-rel } (=_{\alpha})\ t2 = \text{supp-rel } (=_{\alpha})\ (p \cdot t2)$ 
  by (rule alpha-Tree-eqvt-aux)
show ?case
proof
  assume tAct  $\alpha1\ t1 =_{\alpha} tAct\ \alpha2\ t2$ 
  then obtain  $q$  where 1:  $(bn\ \alpha1, t1) \approx_{\text{set}} (=_{\alpha})\ (\text{supp-rel } (=_{\alpha}))\ q\ (bn\ \alpha2, t2)$ 
and 2:  $(bn\ \alpha1, \alpha1) \approx_{\text{set}} (=)\ \text{supp}\ q\ (bn\ \alpha2, \alpha2)$ 
  by auto
  from 1 and  $t1$  and  $t2$  have  $\text{supp-rel } (=_{\alpha})\ (p \cdot t1) - bn\ (p \cdot \alpha1) = \text{supp-rel } (=_{\alpha})\ (p \cdot t2) - bn\ (p \cdot \alpha2)$ 
  by (metis Diff-eqvt alpha-set bn-eqvt)
  moreover from 1 and  $t1$  have  $(\text{supp-rel } (=_{\alpha})\ (p \cdot t1) - bn\ (p \cdot \alpha1)) \#* (p + q - p)$ 
  by (metis Diff-eqvt alpha-set bn-eqvt fresh-star-permute-iff permute-perm-def)
  moreover from 1 and alpha-tAct.IH(1) have  $p \cdot q \cdot t1 =_{\alpha} p \cdot t2$ 
  by (simp add: alpha-set)
  moreover from 2 have  $p \cdot q \cdot -p \cdot bn\ (p \cdot \alpha1) = bn\ (p \cdot \alpha2)$ 
  by (simp add: alpha-set bn-eqvt)
  ultimately have  $(bn\ (p \cdot \alpha1), p \cdot t1) \approx_{\text{set}} (=_{\alpha})\ (\text{supp-rel } (=_{\alpha}))\ (p + q - p)$ 
  by (metis Diff-eqvt alpha-set bn-eqvt)
  by (simp add: alpha-set)
  moreover from 2 have  $(bn\ (p \cdot \alpha1), p \cdot \alpha1) \approx_{\text{set}} (=)\ \text{supp}\ (p + q - p)\ (bn$ 

```



$(p \cdot \alpha 2), p \cdot \alpha 2)$   
**by** (*simp add: alpha-set*) (*metis (mono-tags, lifting) Diff-eqvt bn-eqvt fresh-star-permute-iff permute-minus-cancel(2) permute-perm-def supp-eqvt*)  
**ultimately show**  $p \cdot tAct \alpha 1 t1 =_{\alpha} p \cdot tAct \alpha 2 t2$   
**by** *auto*  
**next**  
**assume**  $p \cdot tAct \alpha 1 t1 =_{\alpha} p \cdot tAct \alpha 2 t2$   
**then obtain**  $q$  **where**  $1: (bn (p \cdot \alpha 1), p \cdot t1) \approx_{set} (=_{\alpha}) (supp-rel (=_{\alpha})) q$   
 $(bn (p \cdot \alpha 2), p \cdot t2)$  **and**  $2: (bn (p \cdot \alpha 1), p \cdot \alpha 1) \approx_{set} (=) supp q (bn (p \cdot \alpha 2), p \cdot \alpha 2)$   
**by** *auto*  
{  
**from**  $1$  **and**  $t1$  **and**  $t2$  **have**  $supp-rel (=_{\alpha}) t1 - bn \alpha 1 = supp-rel (=_{\alpha}) t2 - bn \alpha 2$   
**by** (*metis (no-types, lifting) Diff-eqvt alpha-set bn-eqvt permute-eq-iff*)  
**moreover with**  $1$  **and**  $t2$  **have**  $(supp-rel (=_{\alpha}) t1 - bn \alpha 1) \#* (-p + q + p)$   
**by** (*auto simp add: fresh-star-def fresh-perm alphas*) (*metis (no-types, lifting) DiffI bn-eqvt mem-permute-iff permute-minus-cancel(2)*)  
**moreover from**  $1$  **have**  $-p \cdot q \cdot p \cdot t1 =_{\alpha} t2$   
**using** *alpha-tAct.IH(1)* **by** (*simp add: alpha-set*) (*metis (no-types, lifting) permute-eqvt permute-minus-cancel(2)*)  
**moreover from**  $1$  **have**  $-p \cdot q \cdot p \cdot bn \alpha 1 = bn \alpha 2$   
**by** (*metis alpha-set bn-eqvt permute-minus-cancel(2)*)  
**ultimately have**  $(bn \alpha 1, t1) \approx_{set} (=_{\alpha}) (supp-rel (=_{\alpha})) (-p + q + p) (bn \alpha 2, t2)$   
**by** (*simp add: alpha-set*)  
}  
**moreover**  
{  
**from**  $2$  **have**  $supp \alpha 1 - bn \alpha 1 = supp \alpha 2 - bn \alpha 2$   
**by** (*metis (no-types, lifting) Diff-eqvt alpha-set bn-eqvt permute-eq-iff supp-eqvt*)  
**moreover with**  $2$  **have**  $(supp \alpha 1 - bn \alpha 1) \#* (-p + q + p)$   
**by** (*auto simp add: fresh-star-def fresh-perm alphas*) (*metis (no-types, lifting) DiffI bn-eqvt mem-permute-iff permute-minus-cancel(1) supp-eqvt*)  
**moreover from**  $2$  **have**  $-p \cdot q \cdot p \cdot \alpha 1 = \alpha 2$   
**by** (*simp add: alpha-set*)  
**moreover have**  $-p \cdot q \cdot p \cdot bn \alpha 1 = bn \alpha 2$   
**by** (*simp add: bn-eqvt calculation(3)*)  
**ultimately have**  $(bn \alpha 1, \alpha 1) \approx_{set} (=) supp (-p + q + p) (bn \alpha 2, \alpha 2)$   
**by** (*simp add: alpha-set*)  
}  
**ultimately show**  $tAct \alpha 1 t1 =_{\alpha} tAct \alpha 2 t2$   
**by** *auto*  
**qed**  
**qed** *simp-all*

**lemma** *alpha-Tree-eqvt [eqvt]:*  $t1 =_{\alpha} t2 \implies p \cdot t1 =_{\alpha} p \cdot t2$

by (metis alpha-Tree-eqvt')

$(=_{\alpha})$  is an equivalence relation.

**lemma** alpha-Tree-reflp: reflp alpha-Tree

**proof** (rule reflpI)

fix t :: ('a,'b,'c) Tree

show  $t =_{\alpha} t$

**proof** (induction t)

case tConj then show ?case by (metis alpha-tConj rel-bset.rep-eq rel-setI)

next

case tNot then show ?case by (metis alpha-tNot)

next

case tPred show ?case by (metis alpha-tPred)

next

case tAct then show ?case by (metis (mono-tags) alpha-tAct alpha-refl(1))

qed

qed

**lemma** alpha-Tree-symp: symp alpha-Tree

**proof** (rule sympI)

fix x y :: ('a,'b,'c) Tree

assume  $x =_{\alpha} y$  then show  $y =_{\alpha} x$

**proof** (induction x y rule: alpha-Tree-induct)

case tConj then show ?case

by (simp add: rel-bset-def rel-set-def) metis

next

case (tAct  $\alpha 1 t 1 \alpha 2 t 2$ )

then obtain p where  $(bn \alpha 1, t 1) \approx_{set} (=_{\alpha}) (supp-rel (=_{\alpha})) p (bn \alpha 2, t 2) \wedge (bn \alpha 1, \alpha 1) \approx_{set} (=) supp p (bn \alpha 2, \alpha 2)$

by auto

then have  $(bn \alpha 2, t 2) \approx_{set} (=_{\alpha}) (supp-rel (=_{\alpha})) (-p) (bn \alpha 1, t 1) \wedge (bn \alpha 2, \alpha 2) \approx_{set} (=) supp (-p) (bn \alpha 1, \alpha 1)$

using tAct.IH by (metis (mono-tags, lifting) alpha-Tree-eqvt alpha-sym(1) permute-minus-cancel(2))

then show ?case

by auto

qed simp-all

qed

**lemma** alpha-Tree-transp: transp alpha-Tree

**proof** (rule transpI)

fix x y z :: ('a,'b,'c) Tree

assume  $x =_{\alpha} y$  and  $y =_{\alpha} z$

then show  $x =_{\alpha} z$

**proof** (induction x y arbitrary: z rule: alpha-Tree-induct)

case (tConj tset-x tset-y) show ?case

**proof** (cases z)

fix tset-z

assume z:  $z = tConj tset-z$

```

have rel-bset (=α) tset-x tset-z
  unfolding rel-bset.rep-eq rel-set-def Ball-def Bex-def
  proof
    show ∀ x'. x' ∈ set-bset tset-x → (∃ z'. z' ∈ set-bset tset-z ∧ x' =α z')
    proof (rule allI, rule impI)
      fix x' assume 1: x' ∈ set-bset tset-x
      then obtain y' where 2: y' ∈ set-bset tset-y and 3: x' =α y'
        by (metis rel-bset.rep-eq rel-set-def tConj.hyps)
      from 2 obtain z' where 4: z' ∈ set-bset tset-z and 5: y' =α z'
        by (metis alpha-tConj rel-bset.rep-eq rel-set-def tConj.prem1)
      from 1 2 3 5 have x' =α z'
        by (rule tConj.IH)
      with 4 show ∃ z'. z' ∈ set-bset tset-z ∧ x' =α z'
        by auto
    qed
  next
    show ∀ z'. z' ∈ set-bset tset-z → (∃ x'. x' ∈ set-bset tset-x ∧ x' =α z')
    proof (rule allI, rule impI)
      fix z' assume 1: z' ∈ set-bset tset-z
      then obtain y' where 2: y' ∈ set-bset tset-y and 3: y' =α z'
        by (metis alpha-tConj rel-bset.rep-eq rel-set-def tConj.prem1)
      from 2 obtain x' where 4: x' ∈ set-bset tset-x and 5: x' =α y'
        by (metis rel-bset.rep-eq rel-set-def tConj.hyps)
      from 4 2 5 3 have x' =α z'
        by (rule tConj.IH)
      with 4 show ∃ x'. x' ∈ set-bset tset-x ∧ x' =α z'
        by auto
    qed
  qed
  with z show tConj tset-x =α z
    by simp
  qed (insert tConj.prem1, auto)
next
case tNot then show ?case
  by (cases z) simp-all
next
case tPred then show ?case
  by simp
next
case (tAct α1 t1 α2 t2) show ?case
  proof (cases z)
    fix α t
    assume z: z = tAct α t
    obtain p where 1: (bn α1, t1) ≈set (=α) (supp-rel (=α)) p (bn α2, t2) ∧
      (bn α1, α1) ≈set (=) supp p (bn α2, α2)
      using tAct.hyps by auto
    obtain q where 2: (bn α2, t2) ≈set (=α) (supp-rel (=α)) q (bn α, t) ∧ (bn
      α2, α2) ≈set (=) supp q (bn α, α)
      using tAct.prem1 z by auto
  
```

```

have (bn  $\alpha 1$ , t1)  $\approx_{set}$  ( $=_{\alpha}$ ) (supp-rel ( $=_{\alpha}$ )) (q + p) (bn  $\alpha$ , t)
proof -
  have supp-rel ( $=_{\alpha}$ ) t1 - bn  $\alpha 1$  = supp-rel ( $=_{\alpha}$ ) t - bn  $\alpha$ 
    using 1 and 2 by (metis alpha-set)
  moreover have (supp-rel ( $=_{\alpha}$ ) t1 - bn  $\alpha 1$ )  $\#^*$  (q + p)
    using 1 and 2 by (metis alpha-set fresh-star-plus)
  moreover have (q + p)  $\cdot$  t1  $=_{\alpha}$  t
    using 1 and 2 and tAct.IH by (metis (no-types, lifting) alpha-Tree-eqvt
alpha-set permute-minus-cancel(1) permute-plus)
  moreover have (q + p)  $\cdot$  bn  $\alpha 1$  = bn  $\alpha$ 
    using 1 and 2 by (metis alpha-set permute-plus)
  ultimately show ?thesis
    by (metis alpha-set)
  qed
moreover have (bn  $\alpha 1$ ,  $\alpha 1$ )  $\approx_{set}$  (=) supp (q + p) (bn  $\alpha$ ,  $\alpha$ )
  using 1 and 2 by (metis (mono-tags) alpha-trans(1) permute-plus)
ultimately show tAct  $\alpha 1$  t1  $=_{\alpha}$  z
  using z by auto
qed (insert tAct.premis, auto)
qed
qed

```

**lemma** alpha-Tree-equivp: equivp alpha-Tree  
**by** (auto intro: equivpI alpha-Tree-reflp alpha-Tree-symp alpha-Tree-transp)

alpha-equivalent trees have the same support modulo alpha-equivalence.

```

lemma alpha-Tree-supp-rel:
  assumes t1  $=_{\alpha}$  t2
  shows supp-rel ( $=_{\alpha}$ ) t1 = supp-rel ( $=_{\alpha}$ ) t2
using assms proof (induction rule: alpha-Tree-induct)
  case (tConj tset1 tset2)
  have sym:  $\bigwedge x y. \text{rel-bset } (=_{\alpha}) x y \longleftrightarrow \text{rel-bset } (=_{\alpha}) y x$ 
    by (meson alpha-Tree-symp bset.rel-symp sympE)
  {
    fix a b
    from tConj.hyps have *: rel-bset ( $=_{\alpha}$ ) ((a  $\rightleftharpoons$  b)  $\cdot$  tset1) ((a  $\rightleftharpoons$  b)  $\cdot$  tset2)
      by (metis alpha-tConj alpha-Tree-eqvt permute-Tree-tConj)
    have rel-bset ( $=_{\alpha}$ ) ((a  $\rightleftharpoons$  b)  $\cdot$  tset1) tset1  $\longleftrightarrow$  rel-bset ( $=_{\alpha}$ ) ((a  $\rightleftharpoons$  b)  $\cdot$  tset2)
      tset2
      by (rule iffI) (metis * alpha-Tree-transp bset.rel-transp sym tConj.hyps
transpE)+
  }
  then show ?case
    by (simp add: supp-rel-def)
next
  case tNot then show ?case
    by (simp add: supp-rel-def)
next
  case (tAct  $\alpha 1$  t1  $\alpha 2$  t2)

```

```

{
  fix a b
  have tAct α1 t1 =α tAct α2 t2
    using tAct.hyps by simp
  then have (a ≐ b) · tAct α1 t1 =α tAct α1 t1 ↔ (a ≐ b) · tAct α2 t2 =α
tAct α2 t2
    by (metis (no-types, lifting) alpha-Tree-eqvt alpha-Tree-symp alpha-Tree-transp
sympE transpE)
}
then show ?case
  by (simp add: supp-rel-def)
qed simp-all

```

$tAct$  preserves  $\alpha$ -equivalence.

```

lemma alpha-Tree-tAct:
  assumes t1 =α t2
  shows tAct α t1 =α tAct α t2
proof -
  have (bn α, t1) ≈set (=α) (supp-rel (=α)) 0 (bn α, t2)
    using assms by (simp add: alpha-Tree-supp-rel alpha-set fresh-star-zero)
  moreover have (bn α, α) ≈set (=) supp 0 (bn α, α)
    by (metis (full-types) alpha-refl(1))
  ultimately show ?thesis
    by auto
qed

```

The following lemmas describe the support modulo  $\alpha$ -equivalence.

```

lemma supp-rel-tNot [simp]: supp-rel (=α) (tNot t) = supp-rel (=α) t
unfolding supp-rel-def by simp

```

```

lemma supp-rel-tPred [simp]: supp-rel (=α) (tPred φ) = supp φ
unfolding supp-rel-def supp-def by simp

```

The support modulo  $\alpha$ -equivalence of  $tAct \alpha t$  is not easily described: when  $t$  has infinite support (modulo  $\alpha$ -equivalence), the support (modulo  $\alpha$ -equivalence) of  $tAct \alpha t$  may still contain names in  $bn \alpha$ . This incongruity is avoided when  $t$  has finite support modulo  $\alpha$ -equivalence.

```

lemma infinite-mono: infinite S ⇒ (∧x. x ∈ S ⇒ x ∈ T) ⇒ infinite T
by (metis infinite-super subsetI)

```

```

lemma supp-rel-tAct [simp]:
  assumes finite (supp-rel (=α) t)
  shows supp-rel (=α) (tAct α t) = supp α ∪ supp-rel (=α) t - bn α
proof
  show supp α ∪ supp-rel (=α) t - bn α ⊆ supp-rel (=α) (tAct α t)
proof
  fix x
  assume x ∈ supp α ∪ supp-rel (=α) t - bn α

```

```

moreover
{
  assume  $x1: x \in \text{supp } \alpha$  and  $x2: x \notin \text{bn } \alpha$ 
  from  $x1$  have infinite  $\{b. (x \rightleftharpoons b) \cdot \alpha \neq \alpha\}$ 
    unfolding supp-def ..
  then have infinite  $\{b. (x \rightleftharpoons b) \cdot \alpha \neq \alpha\} - \text{supp } \alpha$ 
    by (simp add: finite-supp)
  moreover
  {
    fix  $b$ 
    assume  $b \in \{b. (x \rightleftharpoons b) \cdot \alpha \neq \alpha\} - \text{supp } \alpha$ 
    then have  $b1: (x \rightleftharpoons b) \cdot \alpha \neq \alpha$  and  $b2: b \notin \text{supp } \alpha - \text{bn } \alpha$ 
      by simp+
    from  $b1$  have sort-of  $x = \text{sort-of } b$ 
      using swap-different-sorts by fastforce
    then have  $(x \rightleftharpoons b) \cdot (\text{supp } \alpha - \text{bn } \alpha) \neq \text{supp } \alpha - \text{bn } \alpha$ 
      using  $b2$   $x1$   $x2$  by (simp add: swap-set-in)
    then have  $b \in \{b. \neg (x \rightleftharpoons b) \cdot \text{tAct } \alpha \ t =_{\alpha} \text{tAct } \alpha \ t\}$ 
      by (auto simp add: alpha-set Diff-eqvt bn-eqvt)
  }
  ultimately have infinite  $\{b. \neg (x \rightleftharpoons b) \cdot \text{tAct } \alpha \ t =_{\alpha} \text{tAct } \alpha \ t\}$ 
    by (rule infinite-mono)
  then have  $x \in \text{supp-rel } (=_{\alpha}) (\text{tAct } \alpha \ t)$ 
    unfolding supp-rel-def ..
}
moreover
{
  assume  $x1: x \in \text{supp-rel } (=_{\alpha}) \ t$  and  $x2: x \notin \text{bn } \alpha$ 
  from  $x1$  have infinite  $\{b. \neg (x \rightleftharpoons b) \cdot t =_{\alpha} t\}$ 
    unfolding supp-rel-def ..
  then have infinite  $\{b. \neg (x \rightleftharpoons b) \cdot t =_{\alpha} t\} - \text{supp-rel } (=_{\alpha}) \ t$ 
    using assms by simp
  moreover
  {
    fix  $b$ 
    assume  $b \in \{b. \neg (x \rightleftharpoons b) \cdot t =_{\alpha} t\} - \text{supp-rel } (=_{\alpha}) \ t$ 
    then have  $b1: \neg (x \rightleftharpoons b) \cdot t =_{\alpha} t$  and  $b2: b \notin \text{supp-rel } (=_{\alpha}) \ t - \text{bn } \alpha$ 
      by simp+
    from  $b1$  have  $(x \rightleftharpoons b) \cdot t \neq t$ 
      by (metis alpha-Tree-reflp reflpE)
    then have sort-of  $x = \text{sort-of } b$ 
      using swap-different-sorts by fastforce
    then have  $(x \rightleftharpoons b) \cdot (\text{supp-rel } (=_{\alpha}) \ t - \text{bn } \alpha) \neq \text{supp-rel } (=_{\alpha}) \ t - \text{bn } \alpha$ 
      using  $b2$   $x1$   $x2$  by (simp add: swap-set-in)
    then have supp-rel  $(=_{\alpha}) ((x \rightleftharpoons b) \cdot t) - \text{bn } ((x \rightleftharpoons b) \cdot \alpha) \neq \text{supp-rel } (=_{\alpha})$ 
       $t - \text{bn } \alpha$ 
      by (simp add: Diff-eqvt bn-eqvt)
    then have  $b \in \{b. \neg (x \rightleftharpoons b) \cdot \text{tAct } \alpha \ t =_{\alpha} \text{tAct } \alpha \ t\}$ 
      by (simp add: alpha-set)
  }
}

```

```

}
ultimately have infinite {b.  $\neg (x \rightleftharpoons b) \cdot tAct \alpha t =_{\alpha} tAct \alpha t$ }
  by (rule infinite-mono)
then have  $x \in supp\text{-rel } (=_{\alpha}) (tAct \alpha t)$ 
  unfolding supp-rel-def ..
}
ultimately show  $x \in supp\text{-rel } (=_{\alpha}) (tAct \alpha t)$ 
  by auto
qed
next
show  $supp\text{-rel } (=_{\alpha}) (tAct \alpha t) \subseteq supp \alpha \cup supp\text{-rel } (=_{\alpha}) t - bn \alpha$ 
proof
  fix x
  assume  $x \in supp\text{-rel } (=_{\alpha}) (tAct \alpha t)$ 
  then have *: infinite {b.  $\neg (x \rightleftharpoons b) \cdot tAct \alpha t =_{\alpha} tAct \alpha t$ }
    unfolding supp-rel-def ..
  moreover
  {
    fix b
    assume  $\neg (x \rightleftharpoons b) \cdot tAct \alpha t =_{\alpha} tAct \alpha t$ 
    then have  $(x \rightleftharpoons b) \cdot \alpha \neq \alpha \vee \neg (x \rightleftharpoons b) \cdot t =_{\alpha} t$ 
      using alpha-Tree-tAct by force
    }
  ultimately have infinite {b.  $(x \rightleftharpoons b) \cdot \alpha \neq \alpha \vee \neg (x \rightleftharpoons b) \cdot t =_{\alpha} t$ }
    by (metis (mono-tags, lifting) infinite-mono mem-Collect-eq)
  then have infinite {b.  $(x \rightleftharpoons b) \cdot \alpha \neq \alpha$ }  $\vee$  infinite {b.  $\neg (x \rightleftharpoons b) \cdot t =_{\alpha} t$ }
    by (metis (mono-tags) finite-Collect-disjI)
  then have  $x \in supp \alpha \cup supp\text{-rel } (=_{\alpha}) t$ 
    by (simp add: supp-def supp-rel-def)
  moreover
  {
    assume **:  $x \in bn \alpha$ 
    from * obtain b b1:  $\neg (x \rightleftharpoons b) \cdot tAct \alpha t =_{\alpha} tAct \alpha t$  and b2:  $b \notin$ 
 $supp \alpha$  and b3:  $b \notin supp\text{-rel } (=_{\alpha}) t$ 
      using assms by (metis (no-types, lifting) UnCI finite-UnI finite-supp infi-
nite-mono mem-Collect-eq)
    let ?p =  $(x \rightleftharpoons b)$ 
    have  $supp\text{-rel } (=_{\alpha}) ((x \rightleftharpoons b) \cdot t) - bn ((x \rightleftharpoons b) \cdot \alpha) = supp\text{-rel } (=_{\alpha}) t - bn$ 
 $\alpha$ 
      using ** and b3 by (metis (no-types, lifting) Diff-eqt Diff-iff alpha-Tree-eqt'
alpha-Tree-eqt-aux bn-eqt swap-set-not-in)
    moreover then have  $(supp\text{-rel } (=_{\alpha}) ((x \rightleftharpoons b) \cdot t) - bn ((x \rightleftharpoons b) \cdot \alpha)) \#* ?p$ 
      using ** and b3 by (metis Diff-iff fresh-perm fresh-star-def swap-atom-simps(3))
    moreover have  $?p \cdot (x \rightleftharpoons b) \cdot t =_{\alpha} t$ 
      using alpha-Tree-reflp reflpE by force
    moreover have  $?p \cdot bn ((x \rightleftharpoons b) \cdot \alpha) = bn \alpha$ 
      by (simp add: bn-eqt)
    moreover have  $supp ((x \rightleftharpoons b) \cdot \alpha) - bn ((x \rightleftharpoons b) \cdot \alpha) = supp \alpha - bn \alpha$ 
      using ** and b2 by (metis (mono-tags, opaque-lifting) Diff-eqt Diff-iff)
  }

```

```

bn-eqvt supp-eqvt swap-set-not-in)
  moreover then have (supp ((x == b) · α) - bn ((x == b) · α)) #* ?p
    using ** and b2 by (simp add: fresh-star-def fresh-def supp-perm) (metis
Diff-iff swap-atom-simps(3))
  moreover have ?p · (x == b) · α = α
    by simp
  ultimately have (x == b) · tAct α t =α tAct α t
    by (auto simp add: alpha-set)
  with b1 have False ..
}
ultimately show x ∈ supp α ∪ supp-rel (=α) t - bn α
  by blast
qed
qed

```

We define the type of (infinitely branching) trees quotiented by  $\alpha$ -equivalence.

**quotient-type**

```

('idx,'pred,'act) Treeα = ('idx,'pred::pt,'act::bn) Tree / alpha-Tree
by (fact alpha-Tree-equivp)

```

**lemma** *Tree<sub>α</sub>-abs-rep* [simp]: *abs-Tree<sub>α</sub> (rep-Tree<sub>α</sub> t<sub>α</sub>) = t<sub>α</sub>*  
**by** (metis *Quotient-Tree<sub>α</sub> Quotient-abs-rep*)

**lemma** *Tree<sub>α</sub>-rep-abs* [simp]: *rep-Tree<sub>α</sub> (abs-Tree<sub>α</sub> t) =<sub>α</sub> t*  
**by** (metis *Tree<sub>α</sub>.abs-eq-iff Tree<sub>α</sub>-abs-rep*)

The permutation operation is lifted from trees.

**instantiation** *Tree<sub>α</sub>* :: (type, pt, bn) pt  
**begin**

```

lift-definition permute-Treeα :: perm ⇒ ('a,'b,'c) Treeα ⇒ ('a,'b,'c) Treeα
  is permute
  by (fact alpha-Tree-eqvt)

```

**instance**

**proof**

```

  fix tα :: (-,-,-) Treeα

```

```

  show 0 · tα = tα

```

```

    by transfer (metis alpha-Tree-equivp equivp-reflp permute-zero)

```

**next**

```

  fix p q :: perm and tα :: (-,-,-) Treeα

```

```

  show (p + q) · tα = p · q · tα

```

```

    by transfer (metis alpha-Tree-equivp equivp-reflp permute-plus)

```

**qed**

**end**

The abstraction function from trees to trees modulo  $\alpha$ -equivalence is equiv-ariant. The representation function is equivariant modulo  $\alpha$ -equivalence.



**lemmas** *permute-Tree<sub>α</sub>.abs-eq* [*eqvt, simp*]

**lemma** *alpha-Tree-permute-rep-commute* [*simp*]:  $p \cdot \text{rep-Tree}_\alpha t_\alpha =_\alpha \text{rep-Tree}_\alpha (p \cdot t_\alpha)$   
**by** (*metis Tree<sub>α</sub>.abs-eq-iff Tree<sub>α</sub>-abs-rep permute-Tree<sub>α</sub>.abs-eq*)

### 5.3 Constructors for trees modulo $\alpha$ -equivalence

The constructors are lifted from trees.

**lift-definition** *Conj<sub>α</sub>* :: ('*idx, 'pred, 'act*) *Tree<sub>α</sub>* *set['idx]*  $\Rightarrow$  ('*idx, 'pred::pt, 'act::bn*) *Tree<sub>α</sub>* **is**  
*tConj*  
**by** *simp*

**lemma** *map-bset-abs-rep-Tree<sub>α</sub>*:  $\text{map-bset abs-Tree}_\alpha (\text{map-bset rep-Tree}_\alpha \text{tset}_\alpha) = \text{tset}_\alpha$   
**by** (*metis (full-types) Quotient-Tree<sub>α</sub> Quotient-abs-rep bset-lifting.bset-quot-map*)

**lemma** *Conj<sub>α</sub>-def'*:  $\text{Conj}_\alpha \text{tset}_\alpha = \text{abs-Tree}_\alpha (\text{tConj} (\text{map-bset rep-Tree}_\alpha \text{tset}_\alpha))$   
**by** (*metis Conj<sub>α</sub>.abs-eq map-bset-abs-rep-Tree<sub>α</sub>*)

**lift-definition** *Not<sub>α</sub>* :: ('*idx, 'pred, 'act*) *Tree<sub>α</sub>*  $\Rightarrow$  ('*idx, 'pred::pt, 'act::bn*) *Tree<sub>α</sub>* **is**  
*tNot*  
**by** *simp*

**lift-definition** *Pred<sub>α</sub>* :: '*pred*  $\Rightarrow$  ('*idx, 'pred::pt, 'act::bn*) *Tree<sub>α</sub>* **is**  
*tPred*  
 .

**lift-definition** *Act<sub>α</sub>* :: '*act*  $\Rightarrow$  ('*idx, 'pred, 'act*) *Tree<sub>α</sub>*  $\Rightarrow$  ('*idx, 'pred::pt, 'act::bn*) *Tree<sub>α</sub>* **is**  
*tAct*  
**by** (*fact alpha-Tree-tAct*)

The lifted constructors are equivariant.

**lemma** *Conj<sub>α</sub>-eqvt* [*eqvt, simp*]:  $p \cdot \text{Conj}_\alpha \text{tset}_\alpha = \text{Conj}_\alpha (p \cdot \text{tset}_\alpha)$

**proof** –

```
{
  fix x
  assume x ∈ set-bset (p · map-bset rep-Treeα tsetα)
  then obtain y where y ∈ set-bset (map-bset rep-Treeα tsetα) and x = p · y
    by (metis imageE permute-bset.rep-eq permute-set-eq-image)
  then obtain tα where 1: tα ∈ set-bset tsetα and 2: x = p · rep-Treeα tα
    by (metis imageE map-bset.rep-eq)
  let ?x' = rep-Treeα (p · tα)
  from 1 have p · tα ∈ set-bset (p · tsetα)
    by (metis mem-permute-iff permute-bset.rep-eq)
  then have ?x' ∈ set-bset (map-bset rep-Treeα (p · tsetα))
    by (simp add: bset.set-map)
```

**moreover from**  $\mathcal{Q}$  **have**  $x =_{\alpha} ?x'$   
 by (*metis alpha-Tree-permute-rep-commute*)  
**ultimately have**  $\exists x' \in \text{set-bset} (\text{map-bset rep-Tree}_{\alpha} (p \cdot \text{tset}_{\alpha})). x =_{\alpha} x'$   
 ..  
**}**  
**moreover**  
**{**  
 fix  $y$   
**assume**  $y \in \text{set-bset} (\text{map-bset rep-Tree}_{\alpha} (p \cdot \text{tset}_{\alpha}))$   
**then obtain**  $x$  **where**  $x \in \text{set-bset} (p \cdot \text{tset}_{\alpha})$  **and**  $\text{rep-Tree}_{\alpha} x = y$   
 by (*metis imageE map-bset.rep-eq*)  
**then obtain**  $t_{\alpha}$  **where**  $1: t_{\alpha} \in \text{set-bset tset}_{\alpha}$  **and**  $2: \text{rep-Tree}_{\alpha} (p \cdot t_{\alpha}) = y$   
 by (*metis imageE permute-bset.rep-eq permute-set-eq-image*)  
**let**  $?y' = p \cdot \text{rep-Tree}_{\alpha} t_{\alpha}$   
**from 1 have**  $\text{rep-Tree}_{\alpha} t_{\alpha} \in \text{set-bset} (\text{map-bset rep-Tree}_{\alpha} \text{tset}_{\alpha})$   
 by (*simp add: bset.set-map*)  
**then have**  $?y' \in \text{set-bset} (p \cdot \text{map-bset rep-Tree}_{\alpha} \text{tset}_{\alpha})$   
 by (*metis mem-permute-iff permute-bset.rep-eq*)  
**moreover from**  $\mathcal{Q}$  **have**  $?y' =_{\alpha} y$   
 by (*metis alpha-Tree-permute-rep-commute*)  
**ultimately have**  $\exists y' \in \text{set-bset} (p \cdot \text{map-bset rep-Tree}_{\alpha} \text{tset}_{\alpha}). y' =_{\alpha} y$   
 ..  
**}**  
**ultimately show**  $?thesis$   
 by (*simp add: Conj\_{\alpha}-def' map-bset-eqv rel-bset-def rel-set-def Tree\_{\alpha}.abs-eq-iff*)  
**qed**

**lemma**  $\text{Not}_{\alpha}\text{-eqvt}$  [*eqvt, simp*]:  $p \cdot \text{Not}_{\alpha} t_{\alpha} = \text{Not}_{\alpha} (p \cdot t_{\alpha})$   
**by** (*induct t\_{\alpha}*) (*simp add: Not\_{\alpha}.abs-eq*)

**lemma**  $\text{Pred}_{\alpha}\text{-eqvt}$  [*eqvt, simp*]:  $p \cdot \text{Pred}_{\alpha} \varphi = \text{Pred}_{\alpha} (p \cdot \varphi)$   
**by** (*simp add: Pred\_{\alpha}.abs-eq*)

**lemma**  $\text{Act}_{\alpha}\text{-eqvt}$  [*eqvt, simp*]:  $p \cdot \text{Act}_{\alpha} \alpha t_{\alpha} = \text{Act}_{\alpha} (p \cdot \alpha) (p \cdot t_{\alpha})$   
**by** (*induct t\_{\alpha}*) (*simp add: Act\_{\alpha}.abs-eq*)

The lifted constructors are injective (except for  $\text{Act}_{\alpha}$ ).

**lemma**  $\text{Conj}_{\alpha}\text{-eq-iff}$  [*simp*]:  $\text{Conj}_{\alpha} \text{tset1}_{\alpha} = \text{Conj}_{\alpha} \text{tset2}_{\alpha} \longleftrightarrow \text{tset1}_{\alpha} = \text{tset2}_{\alpha}$   
**proof**

assume  $\text{Conj}_{\alpha} \text{tset1}_{\alpha} = \text{Conj}_{\alpha} \text{tset2}_{\alpha}$   
**then have**  $t\text{Conj} (\text{map-bset rep-Tree}_{\alpha} \text{tset1}_{\alpha}) =_{\alpha} t\text{Conj} (\text{map-bset rep-Tree}_{\alpha} \text{tset2}_{\alpha})$   
 by (*metis Conj\_{\alpha}-def' Tree\_{\alpha}.abs-eq-iff*)  
**then have**  $\text{rel-bset} (=_{\alpha}) (\text{map-bset rep-Tree}_{\alpha} \text{tset1}_{\alpha}) (\text{map-bset rep-Tree}_{\alpha} \text{tset2}_{\alpha})$   
 by (*auto elim: alpha-Tree.cases*)  
**then show**  $\text{tset1}_{\alpha} = \text{tset2}_{\alpha}$   
 using *Quotient-Tree\_{\alpha} Quotient-rel-abs2 bset-lifting.bset-quot-map map-bset-abs-rep-Tree\_{\alpha}*  
**by** *fastforce*  
**qed** (*fact arg-cong*)

**lemma** *Not<sub>α</sub>-eq-iff* [*simp*]:  $\text{Not}_\alpha t1_\alpha = \text{Not}_\alpha t2_\alpha \longleftrightarrow t1_\alpha = t2_\alpha$

**proof**

**assume**  $\text{Not}_\alpha t1_\alpha = \text{Not}_\alpha t2_\alpha$   
  **then have**  $t\text{Not} (\text{rep-Tree}_\alpha t1_\alpha) =_\alpha t\text{Not} (\text{rep-Tree}_\alpha t2_\alpha)$   
  by (*metis Not<sub>α</sub>.abs-eq Tree<sub>α</sub>.abs-eq-iff Tree<sub>α</sub>-abs-rep*)  
  **then have**  $\text{rep-Tree}_\alpha t1_\alpha =_\alpha \text{rep-Tree}_\alpha t2_\alpha$   
  **using** *alpha-Tree.cases* **by** *auto*  
  **then show**  $t1_\alpha = t2_\alpha$   
  by (*metis Tree<sub>α</sub>.abs-eq-iff Tree<sub>α</sub>-abs-rep*)

**next**

**assume**  $t1_\alpha = t2_\alpha$  **then show**  $\text{Not}_\alpha t1_\alpha = \text{Not}_\alpha t2_\alpha$   
  by *simp*

**qed**

**lemma** *Pred<sub>α</sub>-eq-iff* [*simp*]:  $\text{Pred}_\alpha \varphi1 = \text{Pred}_\alpha \varphi2 \longleftrightarrow \varphi1 = \varphi2$

**proof**

**assume**  $\text{Pred}_\alpha \varphi1 = \text{Pred}_\alpha \varphi2$   
  **then have**  $(t\text{Pred} \varphi1 :: ('d, 'b, 'e) \text{Tree}) =_\alpha t\text{Pred} \varphi2$  — note the unrelated  
  type  
  by (*metis Pred<sub>α</sub>.abs-eq Tree<sub>α</sub>.abs-eq-iff*)  
  **then show**  $\varphi1 = \varphi2$   
  **using** *alpha-Tree.cases* **by** *auto*

**next**

**assume**  $\varphi1 = \varphi2$  **then show**  $\text{Pred}_\alpha \varphi1 = \text{Pred}_\alpha \varphi2$   
  by *simp*

**qed**

**lemma** *Act<sub>α</sub>-eq-iff*:  $\text{Act}_\alpha \alpha1 t1 = \text{Act}_\alpha \alpha2 t2 \longleftrightarrow t\text{Act} \alpha1 (\text{rep-Tree}_\alpha t1) =_\alpha$   
 $t\text{Act} \alpha2 (\text{rep-Tree}_\alpha t2)$

**by** (*metis Act<sub>α</sub>.abs-eq Tree<sub>α</sub>.abs-eq-iff Tree<sub>α</sub>-abs-rep*)

The lifted constructors are free (except for  $\text{Act}_\alpha$ ).

**lemma** *Tree<sub>α</sub>-free* [*simp*]:

**shows**  $\text{Conj}_\alpha tset_\alpha \neq \text{Not}_\alpha t_\alpha$   
  **and**  $\text{Conj}_\alpha tset_\alpha \neq \text{Pred}_\alpha \varphi$   
  **and**  $\text{Conj}_\alpha tset_\alpha \neq \text{Act}_\alpha \alpha t_\alpha$   
  **and**  $\text{Not}_\alpha t_\alpha \neq \text{Pred}_\alpha \varphi$   
  **and**  $\text{Not}_\alpha t1_\alpha \neq \text{Act}_\alpha \alpha t2_\alpha$   
  **and**  $\text{Pred}_\alpha \varphi \neq \text{Act}_\alpha \alpha t_\alpha$

**by** (*simp add: Conj<sub>α</sub>-def' Not<sub>α</sub>-def Pred<sub>α</sub>-def Act<sub>α</sub>-def Tree<sub>α</sub>.abs-eq-iff*)<sup>+</sup>

The following lemmas describe the support of constructed trees modulo  $\alpha$ -equivalence.

**lemma** *supp-alpha-supp-rel*:  $\text{supp} t_\alpha = \text{supp-rel} (=_\alpha) (\text{rep-Tree}_\alpha t_\alpha)$

**unfolding** *supp-def supp-rel-def* **by** (*metis (mono-tags, lifting) Collect-cong Tree<sub>α</sub>.abs-eq-iff Tree<sub>α</sub>-abs-rep alpha-Tree-permute-rep-commute*)

**lemma** *supp-Conj<sub>α</sub>* [*simp*]:  $\text{supp} (\text{Conj}_\alpha tset_\alpha) = \text{supp} tset_\alpha$

**unfolding** *supp-def* **by** *simp*

**lemma** *supp-Not $_{\alpha}$*  [*simp*]:  $\text{supp } (\text{Not}_{\alpha} t_{\alpha}) = \text{supp } t_{\alpha}$   
**unfolding** *supp-def* **by** *simp*

**lemma** *supp-Pred $_{\alpha}$*  [*simp*]:  $\text{supp } (\text{Pred}_{\alpha} \varphi) = \text{supp } \varphi$   
**unfolding** *supp-def* **by** *simp*

**lemma** *supp-Act $_{\alpha}$*  [*simp*]:  
  **assumes** *finite* (*supp*  $t_{\alpha}$ )  
  **shows**  $\text{supp } (\text{Act}_{\alpha} \alpha t_{\alpha}) = \text{supp } \alpha \cup \text{supp } t_{\alpha} - \text{bn } \alpha$   
**using** *assms* **by** (*metis* *Act $_{\alpha}$ .abs-eq* *Tree $_{\alpha}$ -abs-rep* *Tree $_{\alpha}$ -rep-abs* *alpha-Tree-supp-rel* *supp-alpha-supp-rel* *supp-rel-tAct*)

## 5.4 Induction over trees modulo $\alpha$ -equivalence

**lemma** *Tree $_{\alpha}$ -induct* [*case-names* *Conj $_{\alpha}$*  *Not $_{\alpha}$*  *Pred $_{\alpha}$*  *Act $_{\alpha}$*  *Env $_{\alpha}$* , *induct type*:  
*Tree $_{\alpha}$* ]:

**fixes**  $t_{\alpha}$   
  **assumes**  $\bigwedge tset_{\alpha}. (\bigwedge x. x \in \text{set-bset } tset_{\alpha} \implies P x) \implies P (\text{Conj}_{\alpha} tset_{\alpha})$   
  **and**  $\bigwedge t_{\alpha}. P t_{\alpha} \implies P (\text{Not}_{\alpha} t_{\alpha})$   
  **and**  $\bigwedge pred. P (\text{Pred}_{\alpha} pred)$   
  **and**  $\bigwedge act t_{\alpha}. P t_{\alpha} \implies P (\text{Act}_{\alpha} act t_{\alpha})$   
  **shows**  $P t_{\alpha}$   
**proof** (*rule* *Tree $_{\alpha}$ .abs-induct*)  
  **fix**  $t$  **show**  $P (\text{abs-Tree}_{\alpha} t)$   
  **proof** (*induction*  $t$ )  
    **case** (*tConj*  $tset$ )  
      **let**  $?tset_{\alpha} = \text{map-bset } \text{abs-Tree}_{\alpha} tset$   
      **have**  $\text{abs-Tree}_{\alpha} (tConj tset) = \text{Conj}_{\alpha} ?tset_{\alpha}$   
      **by** (*simp* *add*: *Conj $_{\alpha}$ .abs-eq*)  
      **then show** *?case*  
      **using** *assms*(1) *tConj.IH* **by** (*metis* *imageE* *map-bset.rep-eq*)  
    **next**  
      **case** *tNot* **then show** *?case*  
      **using** *assms*(2) **by** (*metis* *Not $_{\alpha}$ .abs-eq*)  
    **next**  
      **case** *tPred* **show** *?case*  
      **using** *assms*(3) **by** (*metis* *Pred $_{\alpha}$ .abs-eq*)  
    **next**  
      **case** *tAct* **then show** *?case*  
      **using** *assms*(4) **by** (*metis* *Act $_{\alpha}$ .abs-eq*)  
  **qed**  
**qed**

There is no (obvious) strong induction principle for trees modulo  $\alpha$ -equivalence: since their support may be infinite, we may not be able to rename bound variables without also renaming free variables.

## 5.5 Hereditarily finitely supported trees

We cannot obtain the type of infinitary formulas simply as the sub-type of all trees (modulo  $\alpha$ -equivalence) that are finitely supported: since an infinite set of trees may be finitely supported even though its members are not (and thus, would not be formulas), the sub-type of *all* finitely supported trees does not validate the induction principle that we desire for formulas.

Instead, we define *hereditarily* finitely supported trees. We require that environments and state predicates are finitely supported.

**inductive** *hereditarily-fs* :: ('idx, 'pred::fs, 'act::bn)  $Tree_\alpha \Rightarrow bool$  **where**  
*Conj $_\alpha$* :  $finite (supp\ tset_\alpha) \Longrightarrow (\bigwedge t_\alpha. t_\alpha \in set\ bset\ tset_\alpha \Longrightarrow hereditarily\ fs\ t_\alpha) \Longrightarrow hereditarily\ fs\ (Conj_\alpha\ tset_\alpha)$   
| *Not $_\alpha$* :  $hereditarily\ fs\ t_\alpha \Longrightarrow hereditarily\ fs\ (Not_\alpha\ t_\alpha)$   
| *Pred $_\alpha$* :  $hereditarily\ fs\ (Pred_\alpha\ \varphi)$   
| *Act $_\alpha$* :  $hereditarily\ fs\ t_\alpha \Longrightarrow hereditarily\ fs\ (Act_\alpha\ \alpha\ t_\alpha)$

*hereditarily-fs* is equivariant.

**lemma** *hereditarily-fs-eqvt* [eqvt]:

**assumes** *hereditarily-fs*  $t_\alpha$

**shows** *hereditarily-fs*  $(p \cdot t_\alpha)$

**using** *assms* **proof** (*induction rule: hereditarily-fs.induct*)

**case** *Conj $_\alpha$*  **then show** ?case

**by** (*metis* (*erased*, *opaque-lifting*) *Conj $_\alpha$ -eqvt hereditarily-fs.Conj $_\alpha$  mem-permute-iff permute-finite permute-minus-cancel(1) set-bset-eqvt supp-eqvt*)

**next**

**case** *Not $_\alpha$*  **then show** ?case

**by** (*metis* *Not $_\alpha$ -eqvt hereditarily-fs.Not $_\alpha$* )

**next**

**case** *Pred $_\alpha$*  **then show** ?case

**by** (*metis* *Pred $_\alpha$ -eqvt hereditarily-fs.Pred $_\alpha$* )

**next**

**case** *Act $_\alpha$*  **then show** ?case

**by** (*metis* *Act $_\alpha$ -eqvt hereditarily-fs.Act $_\alpha$* )

**qed**

*hereditarily-fs* is preserved under  $\alpha$ -renaming.

**lemma** *hereditarily-fs-alpha-renaming*:

**assumes**  $Act_\alpha\ \alpha\ t_\alpha = Act_\alpha\ \alpha'\ t_\alpha'$

**shows**  $hereditarily\ fs\ t_\alpha \longleftrightarrow hereditarily\ fs\ t_\alpha'$

**proof**

**assume** *hereditarily-fs*  $t_\alpha$

**then show** *hereditarily-fs*  $t_\alpha'$

**using** *assms* **by** (*auto simp add: Act $_\alpha$ -def Tree $_\alpha$ .abs-eq-iff alphas*) (*metis* *Tree $_\alpha$ .abs-eq-iff Tree $_\alpha$ .abs-rep hereditarily-fs-eqvt permute-Tree $_\alpha$ .abs-eq*)

**next**

**assume** *hereditarily-fs*  $t_\alpha'$

**then show** *hereditarily-fs*  $t_\alpha$

```

using assms by (auto simp add: Act $\alpha$ -def Tree $\alpha$ .abs-eq-iff alphas) (metis
Tree $\alpha$ .abs-eq-iff Tree $\alpha$ -abs-rep hereditarily-fs-eqvt permute-Tree $\alpha$ .abs-eq permute-minus-cancel(2))
qed

```

Hereditarily finitely supported trees have finite support.

```

lemma hereditarily-fs-implies-finite-supp:
  assumes hereditarily-fs t $\alpha$ 
  shows finite (supp t $\alpha$ )
using assms by (induction rule: hereditarily-fs.induct) (simp-all add: finite-supp)

```

## 5.6 Infinitary formulas

Now, infinitary formulas are simply the sub-type of hereditarily finitely supported trees.

```

typedef ('idx,'pred::fs,'act::bn) formula = {t $\alpha$ ::('idx,'pred,'act) Tree $\alpha$ . hereditarily-fs t $\alpha$ }
by (metis hereditarily-fs.Pred $\alpha$  mem-Collect-eq)

```

We set up Isabelle's lifting infrastructure so that we can lift definitions from the type of trees modulo  $\alpha$ -equivalence to the sub-type of formulas.

```

setup-lifting type-definition-formula

```

```

lemma Abs-formula-inverse [simp]:
  assumes hereditarily-fs t $\alpha$ 
  shows Rep-formula (Abs-formula t $\alpha$ ) = t $\alpha$ 
using assms by (metis Abs-formula-inverse mem-Collect-eq)

```

```

lemma Rep-formula' [simp]: hereditarily-fs (Rep-formula x)
by (metis Rep-formula mem-Collect-eq)

```

Now we lift the permutation operation.

```

instantiation formula :: (type, fs, bn) pt
begin

```

```

  lift-definition permute-formula :: perm  $\Rightarrow$  ('a,'b,'c) formula  $\Rightarrow$  ('a,'b,'c) formula
  is permute
  by (fact hereditarily-fs-eqvt)

```

```

  instance
  by standard (transfer, simp)+

```

```

end

```

The abstraction and representation functions for formulas are equivariant, and they preserve support.

```

lemma Abs-formula-eqvt [simp]:
  assumes hereditarily-fs t $\alpha$ 

```

**shows**  $p \cdot \text{Abs-formula } t_\alpha = \text{Abs-formula } (p \cdot t_\alpha)$   
**by** (*metis* *assms* *eq-onp-same-args* *permute-formula.abs-eq*)

**lemma** *supp-Abs-formula* [*simp*]:  
**assumes** *hereditarily-fs*  $t_\alpha$   
**shows**  $\text{supp } (\text{Abs-formula } t_\alpha) = \text{supp } t_\alpha$   
**proof** –  
{  
  **fix**  $p :: \text{perm}$   
  **have**  $p \cdot \text{Abs-formula } t_\alpha = \text{Abs-formula } (p \cdot t_\alpha)$   
  **using** *assms* **by** (*metis* *Abs-formula-eqvt*)  
  **moreover** **have** *hereditarily-fs*  $(p \cdot t_\alpha)$   
  **using** *assms* **by** (*metis* *hereditarily-fs-eqvt*)  
  **ultimately** **have**  $p \cdot \text{Abs-formula } t_\alpha = \text{Abs-formula } t_\alpha \longleftrightarrow p \cdot t_\alpha = t_\alpha$   
  **using** *assms* **by** (*metis* *Abs-formula-inverse*)  
}  
**then show** *?thesis* **unfolding** *supp-def* **by** *simp*  
**qed**

**lemmas** *Rep-formula-eqvt* [*eqvt*, *simp*] = *permute-formula.rep-eq*[*symmetric*]

**lemma** *supp-Rep-formula* [*simp*]:  $\text{supp } (\text{Rep-formula } x) = \text{supp } x$   
**by** (*metis* *Rep-formula'* *Rep-formula-inverse* *supp-Abs-formula*)

**lemma** *supp-map-bset-Rep-formula* [*simp*]:  $\text{supp } (\text{map-bset } \text{Rep-formula } xset) = \text{supp } xset$

**proof**  
  **have** *eqvt* (*map-bset* *Rep-formula*)  
  **unfolding** *eqvt-def* **by** (*simp* *add: ext*)  
  **then show**  $\text{supp } (\text{map-bset } \text{Rep-formula } xset) \subseteq \text{supp } xset$   
  **by** (*fact* *supp-fun-app-eqvt*)  
**next**  
{  
  **fix**  $a :: \text{atom}$   
  **have** *inj* (*map-bset* *Rep-formula*)  
  **by** (*metis* *bset.inj-map* *Rep-formula-inject* *injI*)  
  **then have**  $\bigwedge x y. x \neq y \implies \text{map-bset } \text{Rep-formula } x \neq \text{map-bset } \text{Rep-formula } y$   
  **by** (*metis* *inj-eq*)  
  **then have**  $\{b. (a \rightleftharpoons b) \cdot xset \neq xset\} \subseteq \{b. (a \rightleftharpoons b) \cdot \text{map-bset } \text{Rep-formula } xset \neq \text{map-bset } \text{Rep-formula } xset\}$  (*is*  $?S \subseteq ?T$ )  
  **by** *auto*  
  **then have** *infinite*  $?S \implies \text{infinite } ?T$   
  **by** (*metis* *infinite-super*)  
}  
**then show**  $\text{supp } xset \subseteq \text{supp } (\text{map-bset } \text{Rep-formula } xset)$   
**unfolding** *supp-def* **by** *auto*  
**qed**

Formulas are in fact finitely supported.

**instance** *formula* :: (*type*, *fs*, *bn*) *fs*  
**by** *standard* (*metis Rep-formula' hereditarily-fs-implies-finite-supp supp-Rep-formula*)

## 5.7 Constructors for infinitary formulas

We lift the constructors for trees (modulo  $\alpha$ -equivalence) to infinitary formulas. Since  $Conj_\alpha$  does not necessarily yield a (hereditarily) finitely supported tree when applied to a (potentially infinite) set of (hereditarily) finitely supported trees, we cannot use Isabelle's **lift\_definition** to define  $Conj$ . Instead, theorems about terms of the form  $Conj\ xset$  will usually carry an assumption that  $xset$  is finitely supported.

**definition**  $Conj :: ('idx, 'pred, 'act)\ formula\ set['idx] \Rightarrow ('idx, 'pred::fs, 'act::bn)\ formula$  **where**

$Conj\ xset = Abs\ formula\ (Conj_\alpha\ (map\ bset\ Rep\ formula\ xset))$

**lemma** *finite-supp-implies-hereditarily-fs-Conj $_\alpha$*  [*simp*]:

**assumes** *finite* (*supp* *xset*)

**shows** *hereditarily-fs* ( $Conj_\alpha\ (map\ bset\ Rep\ formula\ xset)$ )

**proof** (*rule hereditarily-fs.Conj $_\alpha$* )

**show** *finite* (*supp* (*map-bset* *Rep-formula* *xset*))

**using** *assms* **by** (*metis supp-map-bset-Rep-formula*)

**next**

**fix**  $t_\alpha$  **assume**  $t_\alpha \in set\ bset\ (map\ bset\ Rep\ formula\ xset)$

**then show** *hereditarily-fs*  $t_\alpha$

**by** (*auto simp add: bset.set-map*)

**qed**

**lemma** *Conj-rep-eq*:

**assumes** *finite* (*supp* *xset*)

**shows** *Rep-formula* ( $Conj\ xset$ ) =  $Conj_\alpha\ (map\ bset\ Rep\ formula\ xset)$

**using** *assms* **unfolding** *Conj-def* **by** *simp*

**lift-definition**  $Not :: ('idx, 'pred, 'act)\ formula \Rightarrow ('idx, 'pred::fs, 'act::bn)\ formula$  **is**

$Not_\alpha$

**by** (*fact hereditarily-fs.Not $_\alpha$* )

**lift-definition**  $Pred :: 'pred \Rightarrow ('idx, 'pred::fs, 'act::bn)\ formula$  **is**

$Pred_\alpha$

**by** (*fact hereditarily-fs.Pred $_\alpha$* )

**lift-definition**  $Act :: 'act \Rightarrow ('idx, 'pred, 'act)\ formula \Rightarrow ('idx, 'pred::fs, 'act::bn)\ formula$  **is**

$Act_\alpha$

**by** (*fact hereditarily-fs.Act $_\alpha$* )

The lifted constructors are equivariant (in the case of  $Conj$ , on finitely supported arguments).



**lemma** *Conj-eqv* [*simp*]:  
**assumes** *finite* (*supp xset*)  
**shows**  $p \cdot \text{Conj } xset = \text{Conj } (p \cdot xset)$   
**using** *assms unfolding Conj-def by simp*

**lemma** *Not-eqv* [*eqvt, simp*]:  $p \cdot \text{Not } x = \text{Not } (p \cdot x)$   
**by** *transfer simp*

**lemma** *Pred-eqv* [*eqvt, simp*]:  $p \cdot \text{Pred } \varphi = \text{Pred } (p \cdot \varphi)$   
**by** *transfer simp*

**lemma** *Act-eqv* [*eqvt, simp*]:  $p \cdot \text{Act } \alpha x = \text{Act } (p \cdot \alpha) (p \cdot x)$   
**by** *transfer simp*

The following lemmas describe the support of constructed formulas.

**lemma** *supp-Conj* [*simp*]:  
**assumes** *finite* (*supp xset*)  
**shows**  $\text{supp } (\text{Conj } xset) = \text{supp } xset$   
**using** *assms unfolding Conj-def by simp*

**lemma** *supp-Not* [*simp*]:  $\text{supp } (\text{Not } x) = \text{supp } x$   
**by** (*metis Not.rep-eq supp-Not<sub>α</sub> supp-Rep-formula*)

**lemma** *supp-Pred* [*simp*]:  $\text{supp } (\text{Pred } \varphi) = \text{supp } \varphi$   
**by** (*metis Pred.rep-eq supp-Pred<sub>α</sub> supp-Rep-formula*)

**lemma** *supp-Act* [*simp*]:  $\text{supp } (\text{Act } \alpha x) = \text{supp } \alpha \cup \text{supp } x - \text{bn } \alpha$   
**by** (*metis Act.rep-eq finite-supp supp-Act<sub>α</sub> supp-Rep-formula*)

**lemma** *bn-fresh-Act* [*simp*]:  $\text{bn } \alpha \#^* \text{Act } \alpha x$   
**by** (*simp add: fresh-def fresh-star-def*)

The lifted constructors are injective (except for *Act*).

**lemma** *Conj-eq-iff* [*simp*]:  
**assumes** *finite* (*supp xset1*) **and** *finite* (*supp xset2*)  
**shows**  $\text{Conj } xset1 = \text{Conj } xset2 \iff xset1 = xset2$   
**using** *assms*  
**by** (*metis (erased, opaque-lifting) Conj<sub>α</sub>-eq-iff Conj-rep-eq Rep-formula-inverse injI inj-eq bset.inj-map*)

**lemma** *Not-eq-iff* [*simp*]:  $\text{Not } x1 = \text{Not } x2 \iff x1 = x2$   
**by** (*metis Not.rep-eq Not<sub>α</sub>-eq-iff Rep-formula-inverse*)

**lemma** *Pred-eq-iff* [*simp*]:  $\text{Pred } \varphi1 = \text{Pred } \varphi2 \iff \varphi1 = \varphi2$   
**by** (*metis Pred.rep-eq Pred<sub>α</sub>-eq-iff*)

**lemma** *Act-eq-iff*:  $\text{Act } \alpha1 x1 = \text{Act } \alpha2 x2 \iff \text{Act}_\alpha \alpha1 (\text{Rep-formula } x1) = \text{Act}_\alpha \alpha2 (\text{Rep-formula } x2)$   
**by** (*metis Act.rep-eq Rep-formula-inverse*)

Helpful lemmas for dealing with equalities involving *Act*.

**lemma** *Act-eq-iff-perm*:  $Act\ \alpha1\ x1 = Act\ \alpha2\ x2 \longleftrightarrow$

$(\exists p. supp\ x1 - bn\ \alpha1 = supp\ x2 - bn\ \alpha2 \wedge (supp\ x1 - bn\ \alpha1) \#* p \wedge p \cdot x1 = x2 \wedge supp\ \alpha1 - bn\ \alpha1 = supp\ \alpha2 - bn\ \alpha2 \wedge (supp\ \alpha1 - bn\ \alpha1) \#* p \wedge p \cdot \alpha1 = \alpha2)$

(is ?l  $\longleftrightarrow$  ?r)

**proof**

assume ?l

then obtain *p* where *alpha*:  $(bn\ \alpha1, rep\ Tree_\alpha\ (Rep\ formula\ x1)) \approx_{set}\ (=_\alpha)\ (supp\ rel\ (=_\alpha))\ p\ (bn\ \alpha2, rep\ Tree_\alpha\ (Rep\ formula\ x2))$  and *eq*:  $(bn\ \alpha1, \alpha1) \approx_{set}\ (=)\ supp\ p\ (bn\ \alpha2, \alpha2)$

by (*metis Act-eq-iff Act<sub>α</sub>-eq-iff alpha-tAct*)

from *alpha* have  $supp\ x1 - bn\ \alpha1 = supp\ x2 - bn\ \alpha2$

by (*metis alpha-set.simps supp-Rep-formula supp-alpha-supp-rel*)

moreover from *alpha* have  $(supp\ x1 - bn\ \alpha1) \#* p$

by (*metis alpha-set.simps supp-Rep-formula supp-alpha-supp-rel*)

moreover from *alpha* have  $p \cdot x1 = x2$

by (*metis Rep-formula-eqvt Rep-formula-inject Tree<sub>α</sub>.abs-eq-iff Tree<sub>α</sub>-abs-rep alpha-Tree-permute-rep-commute alpha-set.simps*)

moreover from *eq* have  $supp\ \alpha1 - bn\ \alpha1 = supp\ \alpha2 - bn\ \alpha2$

by (*metis alpha-set.simps*)

moreover from *eq* have  $(supp\ \alpha1 - bn\ \alpha1) \#* p$

by (*metis alpha-set.simps*)

moreover from *eq* have  $p \cdot \alpha1 = \alpha2$

by (*simp add: alpha-set.simps*)

ultimately show ?r

by *metis*

next

assume ?r

then obtain *p* where 1:  $supp\ x1 - bn\ \alpha1 = supp\ x2 - bn\ \alpha2$  and 2:  $(supp\ x1 - bn\ \alpha1) \#* p$  and 3:  $p \cdot x1 = x2$

and 4:  $supp\ \alpha1 - bn\ \alpha1 = supp\ \alpha2 - bn\ \alpha2$  and 5:  $(supp\ \alpha1 - bn\ \alpha1) \#* p$  and 6:  $p \cdot \alpha1 = \alpha2$

by *metis*

from 1 2 3 6 have  $(bn\ \alpha1, rep\ Tree_\alpha\ (Rep\ formula\ x1)) \approx_{set}\ (=_\alpha)\ (supp\ rel\ (=_\alpha))\ p\ (bn\ \alpha2, rep\ Tree_\alpha\ (Rep\ formula\ x2))$

by (*metis (no-types, lifting) Rep-formula-eqvt alpha-Tree-permute-rep-commute alpha-set.simps bn-eqvt supp-Rep-formula supp-alpha-supp-rel*)

moreover from 4 5 6 have  $(bn\ \alpha1, \alpha1) \approx_{set}\ (=)\ supp\ p\ (bn\ \alpha2, \alpha2)$

by (*simp add: alpha-set.simps bn-eqvt*)

ultimately show  $Act\ \alpha1\ x1 = Act\ \alpha2\ x2$

by (*metis Act-eq-iff Act<sub>α</sub>-eq-iff alpha-tAct*)

qed

**lemma** *Act-eq-iff-perm-renaming*:  $Act\ \alpha1\ x1 = Act\ \alpha2\ x2 \longleftrightarrow$

$(\exists p. supp\ x1 - bn\ \alpha1 = supp\ x2 - bn\ \alpha2 \wedge (supp\ x1 - bn\ \alpha1) \#* p \wedge p \cdot x1 = x2 \wedge supp\ \alpha1 - bn\ \alpha1 = supp\ \alpha2 - bn\ \alpha2 \wedge (supp\ \alpha1 - bn\ \alpha1) \#* p \wedge p \cdot \alpha1 = \alpha2 \wedge supp\ p \subseteq bn\ \alpha1 \cup p \cdot bn\ \alpha1)$

(is ?l  $\longleftrightarrow$  ?r)

**proof**  
**assume** ?l  
**then obtain**  $p$  **where**  $p: \text{supp } x1 - \text{bn } \alpha1 = \text{supp } x2 - \text{bn } \alpha2 \wedge (\text{supp } x1 - \text{bn } \alpha1) \#* p \wedge p \cdot x1 = x2 \wedge \text{supp } \alpha1 - \text{bn } \alpha1 = \text{supp } \alpha2 - \text{bn } \alpha2 \wedge (\text{supp } \alpha1 - \text{bn } \alpha1) \#* p \wedge p \cdot \alpha1 = \alpha2$   
**by** (metis Act-eq-iff-perm)  
**moreover obtain**  $q$  **where**  $q-p: \forall b \in \text{bn } \alpha1. q \cdot b = p \cdot b$  **and**  $\text{supp-}q: \text{supp } q \subseteq \text{bn } \alpha1 \cup p \cdot \text{bn } \alpha1$   
**by** (metis set-renaming-perm2)  
**have**  $\text{supp } q \subseteq \text{supp } p$   
**proof**  
**fix**  $a$  **assume**  $*$ :  $a \in \text{supp } q$  **then show**  $a \in \text{supp } p$   
**proof** (cases  $a \in \text{bn } \alpha1$ )  
**case** *True* **then show** ?thesis  
**using**  $*$   $q-p$  **by** (metis mem-Collect-eq supp-perm)  
**next**  
**case** *False* **then have**  $a \in p \cdot \text{bn } \alpha1$   
**using**  $*$   $\text{supp-}q$  **using** *UnE subsetCE* **by** blast  
**with** *False* **have**  $p \cdot a \neq a$   
**by** (metis mem-permute-iff)  
**then show** ?thesis  
**using** *fresh-def fresh-perm* **by** blast  
**qed**  
**qed**  
**with**  $p$  **have**  $(\text{supp } x1 - \text{bn } \alpha1) \#* q$  **and**  $(\text{supp } \alpha1 - \text{bn } \alpha1) \#* q$   
**by** (meson *fresh-def fresh-star-def subset-iff*)+  
**moreover with**  $p$  **and**  $q-p$  **have**  $\bigwedge a. a \in \text{supp } \alpha1 \implies q \cdot a = p \cdot a$  **and**  $\bigwedge a. a \in \text{supp } x1 \implies q \cdot a = p \cdot a$   
**by** (metis *Diff-iff fresh-perm fresh-star-def*)+  
**then have**  $q \cdot \alpha1 = p \cdot \alpha1$  **and**  $q \cdot x1 = p \cdot x1$   
**by** (metis *supp-perm-perm-eq*)+  
**ultimately show** ?r  
**using**  $\text{supp-}q$  **by** (metis *bn-eqt*)  
**next**  
**assume** ?r **then show** ?l  
**by** (meson *Act-eq-iff-perm*)  
**qed**

The lifted constructors are free (except for *Act*).

**lemma** *Tree-free [simp]*:

**shows**  $\text{finite } (\text{supp } xset) \implies \text{Conj } xset \neq \text{Not } x$   
**and**  $\text{finite } (\text{supp } xset) \implies \text{Conj } xset \neq \text{Pred } \varphi$   
**and**  $\text{finite } (\text{supp } xset) \implies \text{Conj } xset \neq \text{Act } \alpha x$   
**and**  $\text{Not } x \neq \text{Pred } \varphi$   
**and**  $\text{Not } x1 \neq \text{Act } \alpha x2$   
**and**  $\text{Pred } \varphi \neq \text{Act } \alpha x$

**proof** –

**show**  $\text{finite } (\text{supp } xset) \implies \text{Conj } xset \neq \text{Not } x$   
**by** (metis *Conj-rep-eq Not.rep-eq Tree $_{\alpha}$ -free(1)*)

```

next
  show finite (supp xset)  $\implies$  Conj xset  $\neq$  Pred  $\varphi$ 
    by (metis Conj-rep-eq Pred.rep-eq Tree $_{\alpha}$ -free(2))
next
  show finite (supp xset)  $\implies$  Conj xset  $\neq$  Act  $\alpha$  x
    by (metis Conj-rep-eq Act.rep-eq Tree $_{\alpha}$ -free(3))
next
  show Not x  $\neq$  Pred  $\varphi$ 
    by (metis Not.rep-eq Pred.rep-eq Tree $_{\alpha}$ -free(4))
next
  show Not x1  $\neq$  Act  $\alpha$  x2
    by (metis Not.rep-eq Act.rep-eq Tree $_{\alpha}$ -free(5))
next
  show Pred  $\varphi$   $\neq$  Act  $\alpha$  x
    by (metis Pred.rep-eq Act.rep-eq Tree $_{\alpha}$ -free(6))
qed

```

## 5.8 Induction over infinitary formulas

**lemma** *formula-induct* [case-names Conj Not Pred Act, induct type: formula]:

```

  fixes x
  assumes  $\bigwedge$ xset. finite (supp xset)  $\implies$  ( $\bigwedge$ x. x  $\in$  set-bset xset  $\implies$  P x)  $\implies$  P
  (Conj xset)
    and  $\bigwedge$ formula. P formula  $\implies$  P (Not formula)
    and  $\bigwedge$ pred. P (Pred pred)
    and  $\bigwedge$ act formula. P formula  $\implies$  P (Act act formula)
  shows P x
proof (induction x)
  fix t $_{\alpha}$  :: ('a,'b,'c) Tree $_{\alpha}$ 
  assume t $_{\alpha}$   $\in$  {t $_{\alpha}$ . hereditarily-fs t $_{\alpha}$ }
  then have hereditarily-fs t $_{\alpha}$ 
    by simp
  then show P (Abs-formula t $_{\alpha}$ )
  proof (induction t $_{\alpha}$ )
    case (Conj $_{\alpha}$  tset $_{\alpha}$ ) show ?case
      proof -
        let ?tset = map-bset Abs-formula tset $_{\alpha}$ 
        have  $\bigwedge$ t $_{\alpha}'$ . t $_{\alpha}'$   $\in$  set-bset tset $_{\alpha}$   $\implies$  t $_{\alpha}'$  = (Rep-formula  $\circ$  Abs-formula) t $_{\alpha}'$ 
          by (simp add: Conj $_{\alpha}$ .hyps)
        then have tset $_{\alpha}$  = map-bset (Rep-formula  $\circ$  Abs-formula) tset $_{\alpha}$ 
          by (metis bset.map-cong0 bset.map-id id-apply)
        then have *: tset $_{\alpha}$  = map-bset Rep-formula ?tset
          by (metis bset.map-comp)
        then have Abs-formula (Conj $_{\alpha}$  tset $_{\alpha}$ ) = Conj ?tset
          by (metis Conj-def)
        moreover from * have finite (supp ?tset)
          using Conj $_{\alpha}$ .hyps(1) by (metis supp-map-bset-Rep-formula)
        moreover have ( $\bigwedge$ t. t  $\in$  set-bset ?tset  $\implies$  P t)
          using Conj $_{\alpha}$ .IH by (metis imageE map-bset.rep-eq)
      end
    end
  end

```

```

      ultimately show ?thesis
      using assms(1) by metis
    qed
  next
    case Not $\alpha$  then show ?case
    using assms(2) by (metis Formula.Abs-formula-inverse Not.rep-eq Rep-formula-inverse)
  next
    case Pred $\alpha$  show ?case
    using assms(3) by (metis Pred.abs-eq)
  next
    case Act $\alpha$  then show ?case
    using assms(4) by (metis Formula.Abs-formula-inverse Act.rep-eq Rep-formula-inverse)
  qed
qed

```

## 5.9 Strong induction over infinitary formulas

lemma formula-strong-induct-aux:

```

  fixes x
  assumes  $\bigwedge xset\ c.\ finite\ (supp\ xset) \implies (\bigwedge x.\ x \in\ set-bset\ xset \implies (\bigwedge c.\ P\ c\ x))$ 
   $\implies P\ c\ (Conj\ xset)$ 
    and  $\bigwedge formula\ c.\ (\bigwedge c.\ P\ c\ formula) \implies P\ c\ (Not\ formula)$ 
    and  $\bigwedge pred\ c.\ P\ c\ (Pred\ pred)$ 
    and  $\bigwedge act\ formula\ c.\ bn\ act\ \#\ast\ c \implies (\bigwedge c.\ P\ c\ formula) \implies P\ c\ (Act\ act\ formula)$ 
  shows  $\bigwedge (c :: 'd::fs)\ p.\ P\ c\ (p \cdot x)$ 
  proof (induction x)
    case (Conj xset)
      moreover then have  $finite\ (supp\ (p \cdot xset))$ 
      by (metis permute-finite supp-eqvt)
      moreover have  $(\bigwedge x\ c.\ x \in\ set-bset\ (p \cdot xset) \implies P\ c\ x)$ 
      using Conj.IH by (metis (full-types) eqvt-bound mem-permute-iff set-bset-eqvt)
      ultimately show ?case
      using assms(1) by simp
    next
      case Not then show ?case
      using assms(2) by simp
    next
      case Pred show ?case
      using assms(3) by simp
    next
      case (Act  $\alpha$  x) show ?case
      proof -
        — rename  $bn\ (p \cdot \alpha)$  to avoid  $c$ , without touching  $Act\ (p \cdot \alpha)\ (p \cdot x)$ 
        obtain  $q$  where 1:  $(q \cdot bn\ (p \cdot \alpha))\ \#\ast\ c$  and 2:  $supp\ (Act\ (p \cdot \alpha)\ (p \cdot x))\ \#\ast\ q$ 
        proof (rule at-set-avoiding2[of  $bn\ (p \cdot \alpha)\ c\ Act\ (p \cdot \alpha)\ (p \cdot x)$ , THEN  $exE$ ])
          show  $finite\ (bn\ (p \cdot \alpha))$  by (fact bn-finite)
        next
          show  $finite\ (supp\ c)$  by (fact finite-supp)
        next
          show  $finite\ (supp\ c)$  by (fact finite-supp)
      qed
  qed

```

```

next
  show finite (supp (Act ( $p \cdot \alpha$ ) ( $p \cdot x$ ))) by (simp add: finite-supp)
next
  show  $bn$  ( $p \cdot \alpha$ )  $\#*$  Act ( $p \cdot \alpha$ ) ( $p \cdot x$ ) by (simp add: fresh-def fresh-star-def)
qed metis
from 1 have  $bn$  ( $q \cdot p \cdot \alpha$ )  $\#*$   $c$ 
  by (simp add: bn-eqt)
moreover from Act.IH have  $\bigwedge c. P$   $c$  ( $q \cdot p \cdot x$ )
  by (metis permute-plus)
ultimately have  $P$   $c$  (Act ( $q \cdot p \cdot \alpha$ ) ( $q \cdot p \cdot x$ ))
  using assms(4) by simp
moreover from 2 have Act ( $q \cdot p \cdot \alpha$ ) ( $q \cdot p \cdot x$ ) = Act ( $p \cdot \alpha$ ) ( $p \cdot x$ )
  using supp-perm-eq by fastforce
ultimately show ?thesis
  by simp
qed
qed

lemmas formula-strong-induct = formula-strong-induct-aux [where  $p=0$ , simplified]
declare formula-strong-induct [case-names Conj Not Pred Act]

end
theory Validity
imports
  Transition-System
  Formula
begin

```

## 6 Validity

The following is needed to prove termination of *validTree*.

**definition** *alpha-Tree-rel* **where**  
*alpha-Tree-rel*  $\equiv \{(x,y). x =_{\alpha} y\}$

**lemma** *alpha-Tree-relI* [*simp*]:  
**assumes**  $x =_{\alpha} y$  **shows**  $(x,y) \in \text{alpha-Tree-rel}$   
**using** *assms* **unfolding** *alpha-Tree-rel-def* **by** *simp*

**lemma** *alpha-Tree-relE*:  
**assumes**  $(x,y) \in \text{alpha-Tree-rel}$  **and**  $x =_{\alpha} y \implies P$   
**shows**  $P$   
**using** *assms* **unfolding** *alpha-Tree-rel-def* **by** *simp*

**lemma** *wf-alpha-Tree-rel-hull-rel-Tree-wf*:  
*wf* (*alpha-Tree-rel*  $O$  *hull-rel*  $O$  *Tree-wf*)  
**proof** (*rule wf-relcomp-compatible*)  
**show** *wf* (*hull-rel*  $O$  *Tree-wf*)

by (*metis Tree-wf-eqt' wf-Tree-wf wf-hull-rel-relcomp*)  
 next  
 show (*hull-rel O Tree-wf*) *O* *alpha-Tree-rel*  $\subseteq$  *alpha-Tree-rel O* (*hull-rel O Tree-wf*)  
 proof  
 fix  $x :: ('d, 'e, 'f) \text{Tree} \times ('d, 'e, 'f) \text{Tree}$   
 assume  $x \in (\text{hull-rel } O \text{ Tree-wf}) \text{ } O \text{ alpha-Tree-rel}$   
 then obtain  $x_1 \ x_2 \ x_3 \ x_4$  where  $x: x = (x_1, x_4)$  and  $1: (x_1, x_2) \in \text{hull-rel}$  and  
 2:  $(x_2, x_3) \in \text{Tree-wf}$  and  $3: (x_3, x_4) \in \text{alpha-Tree-rel}$   
 by *auto*  
 from 2 have  $(x_1, x_4) \in \text{alpha-Tree-rel } O \text{ hull-rel } O \text{ Tree-wf}$   
 using 1 and 3 proof (*induct rule: Tree-wf.induct*)  
 — *tConj*  
 fix  $t$  and  $tset :: ('d, 'e, 'f) \text{Tree set}['d]$   
 assume  $*$ :  $t \in \text{set-bset } tset$  and  $**$ :  $(x_1, t) \in \text{hull-rel}$  and  $***$ :  $(tConj \ tset,$   
 $x_4) \in \text{alpha-Tree-rel}$   
 from  $**$  obtain  $p$  where  $x_1: x_1 = p \cdot t$   
 using *hull-rel.cases* by *blast*  
 from  $***$  have  $tConj \ tset =_{\alpha} x_4$   
 by (*rule alpha-Tree-relE*)  
 then obtain  $tset'$  where  $x_4: x_4 = tConj \ tset'$  and  $\text{rel-bset } (=_{\alpha}) \ tset \ tset'$   
 by (*cases x4*) *simp-all*  
 with  $*$  obtain  $t'$  where  $t': t' \in \text{set-bset } tset'$  and  $t =_{\alpha} t'$   
 by (*metis rel-bset.rep-eq rel-set-def*)  
 with  $x_1$  have  $(x_1, p \cdot t') \in \text{alpha-Tree-rel}$   
 by (*metis Tree\_{\alpha}.abs-eq-iff alpha-Tree-relI permute-Tree\_{\alpha}.abs-eq*)  
 moreover have  $(p \cdot t', t') \in \text{hull-rel}$   
 by (*rule hull-rel.intros*)  
 moreover from  $x_4$  and  $t'$  have  $(t', x_4) \in \text{Tree-wf}$   
 by (*simp add: Tree-wf.intros(1)*)  
 ultimately show  $(x_1, x_4) \in \text{alpha-Tree-rel } O \text{ hull-rel } O \text{ Tree-wf}$   
 by *auto*  
 next  
 — *tNot*  
 fix  $t$   
 assume  $*$ :  $(x_1, t) \in \text{hull-rel}$  and  $**$ :  $(tNot \ t, x_4) \in \text{alpha-Tree-rel}$   
 from  $*$  obtain  $p$  where  $x_1: x_1 = p \cdot t$   
 using *hull-rel.cases* by *blast*  
 from  $**$  have  $tNot \ t =_{\alpha} x_4$   
 by (*rule alpha-Tree-relE*)  
 then obtain  $t'$  where  $x_4: x_4 = tNot \ t'$  and  $t =_{\alpha} t'$   
 by (*cases x4*) *simp-all*  
 with  $x_1$  have  $(x_1, p \cdot t') \in \text{alpha-Tree-rel}$   
 by (*metis Tree\_{\alpha}.abs-eq-iff alpha-Tree-relI permute-Tree\_{\alpha}.abs-eq x1*)  
 moreover have  $(p \cdot t', t') \in \text{hull-rel}$   
 by (*rule hull-rel.intros*)  
 moreover from  $x_4$  have  $(t', x_4) \in \text{Tree-wf}$   
 using *Tree-wf.intros(2)* by *blast*  
 ultimately show  $(x_1, x_4) \in \text{alpha-Tree-rel } O \text{ hull-rel } O \text{ Tree-wf}$   
 by *auto*

```

next
  — tAct
  fix  $\alpha$  t
  assume *:  $(x1, t) \in \text{hull-rel}$  and **:  $(tAct\ \alpha\ t, x4) \in \text{alpha-Tree-rel}$ 
  from * obtain p where  $x1: x1 = p \cdot t$ 
    using hull-rel.cases by blast
  from ** have  $tAct\ \alpha\ t =_{\alpha}\ x4$ 
    by (rule alpha-Tree-relE)
  then obtain q t' where  $x4: x4 = tAct\ (q \cdot \alpha)\ t'$  and  $q \cdot t =_{\alpha}\ t'$ 
    by (cases x4) (auto simp add: alpha-set)
  with x1 have  $(x1, p \cdot -q \cdot t') \in \text{alpha-Tree-rel}$ 
    by (metis Tree $_{\alpha}$ .abs-eq-iff alpha-Tree-relI permute-Tree $_{\alpha}$ .abs-eq permute-minus-cancel(1))
  moreover have  $(p \cdot -q \cdot t', t') \in \text{hull-rel}$ 
    by (metis hull-rel.simps permute-plus)
  moreover from x4 have  $(t', x4) \in \text{Tree-wf}$ 
    by (simp add: Tree-wf.intros(3))
  ultimately show  $(x1, x4) \in \text{alpha-Tree-rel} \ O\ \text{hull-rel} \ O\ \text{Tree-wf}$ 
    by auto
  qed
with x show  $x \in \text{alpha-Tree-rel} \ O\ \text{hull-rel} \ O\ \text{Tree-wf}$ 
  by simp
qed
qed

```

```

lemma alpha-Tree-rel-relcomp-trivialI [simp]:
  assumes  $(x, y) \in R$ 
  shows  $(x, y) \in \text{alpha-Tree-rel} \ O\ R$ 
using assms unfolding alpha-Tree-rel-def
by (metis Tree $_{\alpha}$ .abs-eq-iff case-prodI mem-Collect-eq relcomp.relcompI)

```

```

lemma alpha-Tree-rel-relcompI [simp]:
  assumes  $x =_{\alpha}\ x'$  and  $(x', y) \in R$ 
  shows  $(x, y) \in \text{alpha-Tree-rel} \ O\ R$ 
using assms unfolding alpha-Tree-rel-def
by (metis case-prodI mem-Collect-eq relcomp.relcompI)

```

## 6.1 Validity for infinitely branching trees

```

context nominal-ts
begin

```

Since we defined formulas via a manual quotient construction, we also need to define validity via lifting from the underlying type of infinitely branching trees. We cannot use **nominal\_function** because that generates proof obligations where, for formulas of the form *Conj xset*, the assumption that *xset* has finite support is missing.

```

  declare conj-cong [fundef-cong]

```



```

function valid-Tree :: 'state  $\Rightarrow$  ('idx,'pred,'act) Tree  $\Rightarrow$  bool where
  valid-Tree P (tConj tset)  $\longleftrightarrow$  ( $\forall t \in \text{set-bset } tset. \text{valid-Tree } P t$ )
| valid-Tree P (tNot t)  $\longleftrightarrow$   $\neg \text{valid-Tree } P t$ 
| valid-Tree P (tPred  $\varphi$ )  $\longleftrightarrow$   $P \vdash \varphi$ 
| valid-Tree P (tAct  $\alpha t$ )  $\longleftrightarrow$  ( $\exists \alpha' t' P'. tAct \alpha t =_{\alpha} tAct \alpha' t' \wedge P \rightarrow \langle \alpha', P' \rangle$ )
 $\wedge \text{valid-Tree } P' t'$ )
by pat-completeness auto
termination proof
  let ?R = inv-image (alpha-Tree-rel O hull-rel O Tree-wf) snd
  {
    show wf ?R
    by (metis wf-alpha-Tree-rel-hull-rel-Tree-wf wf-inv-image)
  next
    fix P :: 'state and tset :: ('idx,'pred,'act) Tree set['idx] and t
    assume  $t \in \text{set-bset } tset$  then show ((P, t), (P, tConj tset))  $\in$  ?R
    by (simp add: Tree-wf.intros(1))
  next
    fix P :: 'state and t :: ('idx,'pred,'act) Tree
    show ((P, t), (P, tNot t))  $\in$  ?R
    by (simp add: Tree-wf.intros(2))
  next
    fix P1 P2 :: 'state and  $\alpha1 \alpha2$  :: 'act and t1 t2 :: ('idx,'pred,'act) Tree
    assume  $tAct \alpha1 t1 =_{\alpha} tAct \alpha2 t2$ 
    then obtain p where  $t2 =_{\alpha} p \cdot t1$ 
    by (auto simp add: alphas) (metis alpha-Tree-symp sympE)
    then show ((P2, t2), (P1, tAct  $\alpha1 t1$ ))  $\in$  ?R
    by (simp add: Tree-wf.intros(3))
  }
qed

```

*valid-Tree* is equivariant.

```

lemma valid-Tree-eqt': valid-Tree P t  $\longleftrightarrow$  valid-Tree (p  $\cdot$  P) (p  $\cdot$  t)
proof (induction P t rule: valid-Tree.induct)
  case (1 P tset) show ?case
  proof
    assume *: valid-Tree P (tConj tset)
    {
      fix t
      assume  $t \in p \cdot \text{set-bset } tset$ 
      with 1.IH and * have valid-Tree (p  $\cdot$  P) t
      by (metis (no-types, lifting) imageE permute-set-eq-image valid-Tree.simps(1))
    }
    then show valid-Tree (p  $\cdot$  P) (p  $\cdot$  tConj tset)
    by simp
  next
    assume *: valid-Tree (p  $\cdot$  P) (p  $\cdot$  tConj tset)
    {
      fix t
      assume  $t \in \text{set-bset } tset$ 

```

```

    with 1.IH and * have valid-Tree P t
    by (metis mem-permute-iff permute-Tree-tConj set-bset-eqvt valid-Tree.simps(1))
  }
  then show valid-Tree P (tConj tset)
    by simp
qed
next
case 2 then show ?case by simp
next
case 3 show ?case by simp (metis permute-minus-cancel(2) satisfies-eqvt)
next
case (4 P α t) show ?case
  proof
    assume valid-Tree P (tAct α t)
    then obtain α' t' P' where *: tAct α t =α tAct α' t' ∧ P → ⟨α', P'⟩ ∧
    valid-Tree P' t'
      by auto
    with 4.IH have valid-Tree (p · P') (p · t')
      by blast
    moreover from * have p · P → ⟨p · α', p · P'⟩
      by (metis transition-eqvt')
    moreover from * have p · tAct α t =α tAct (p · α') (p · t')
      by (metis alpha-Tree-eqvt permute-Tree.simps(4))
    ultimately show valid-Tree (p · P) (p · tAct α t)
      by auto
  next
    assume valid-Tree (p · P) (p · tAct α t)
    then obtain α' t' P' where *: p · tAct α t =α tAct α' t' ∧ (p · P) →
    ⟨α', P'⟩ ∧ valid-Tree P' t'
      by auto
    then have eq: tAct α t =α tAct (-p · α') (-p · t')
      by (metis alpha-Tree-eqvt permute-Tree.simps(4) permute-minus-cancel(2))
    moreover from * have P → ⟨-p · α', -p · P'⟩
      by (metis permute-minus-cancel(2) transition-eqvt')
    moreover with 4.IH have valid-Tree (-p · P') (-p · t')
      using eq and * by simp
    ultimately show valid-Tree P (tAct α t)
      by auto
  qed
qed

```

**lemma** *valid-Tree-eqvt* :

assumes *valid-Tree P t* shows *valid-Tree (p · P) (p · t)*  
 using *assms* by (*metis valid-Tree-eqvt'*)

$\alpha$ -equivalent trees validate the same states.

**lemma** *alpha-Tree-valid-Tree*:

assumes  $t1 =_{\alpha} t2$   
 shows *valid-Tree P t1*  $\longleftrightarrow$  *valid-Tree P t2*

```

using assms proof (induction t1 t2 arbitrary: P rule: alpha-Tree-induct)
  case tConj then show ?case
    by auto (metis (mono-tags) rel-bset.rep-eq rel-set-def)+
next
  case (tAct  $\alpha 1$  t1  $\alpha 2$  t2) show ?case
  proof
    assume valid-Tree P (tAct  $\alpha 1$  t1)
    then obtain  $\alpha' t' P'$  where tAct  $\alpha 1$  t1  $=_{\alpha}$  tAct  $\alpha' t'$   $\wedge P \rightarrow \langle \alpha', P \rangle \wedge$ 
valid-Tree P' t'
    by auto
    moreover from tAct.hyps have tAct  $\alpha 1$  t1  $=_{\alpha}$  tAct  $\alpha 2$  t2
    using alpha-tAct by blast
    ultimately show valid-Tree P (tAct  $\alpha 2$  t2)
    by (metis Tree $\alpha$ .abs-eq-iff valid-Tree.simps(4))
  next
    assume valid-Tree P (tAct  $\alpha 2$  t2)
    then obtain  $\alpha' t' P'$  where tAct  $\alpha 2$  t2  $=_{\alpha}$  tAct  $\alpha' t'$   $\wedge P \rightarrow \langle \alpha', P \rangle \wedge$ 
valid-Tree P' t'
    by auto
    moreover from tAct.hyps have tAct  $\alpha 1$  t1  $=_{\alpha}$  tAct  $\alpha 2$  t2
    using alpha-tAct by blast
    ultimately show valid-Tree P (tAct  $\alpha 1$  t1)
    by (metis Tree $\alpha$ .abs-eq-iff valid-Tree.simps(4))
  qed
qed simp-all

```

## 6.2 Validity for trees modulo $\alpha$ -equivalence

```

lift-definition valid-Tree $\alpha$  :: 'state  $\Rightarrow$  ('idx, 'pred, 'act) Tree $\alpha$   $\Rightarrow$  bool is
  valid-Tree
by (fact alpha-Tree-valid-Tree)

```

```

lemma valid-Tree $\alpha$ -eqvt :
  assumes valid-Tree $\alpha$  P t shows valid-Tree $\alpha$  (p · P) (p · t)
using assms by transfer (fact valid-Tree-eqvt)

```

```

lemma valid-Tree $\alpha$ -Conj $\alpha$  [simp]: valid-Tree $\alpha$  P (Conj $\alpha$  tset $\alpha$ )  $\longleftrightarrow$  ( $\forall t_{\alpha} \in \text{set-bset}$ 
tset $\alpha$ . valid-Tree $\alpha$  P t $\alpha$ )
proof –
  have valid-Tree P (rep-Tree $\alpha$  (abs-Tree $\alpha$  (tConj (map-bset rep-Tree $\alpha$  tset $\alpha$ 
 $\longleftrightarrow$  valid-Tree P (tConj (map-bset rep-Tree $\alpha$  tset $\alpha$ ))
  by (metis Tree $\alpha$ -rep-abs alpha-Tree-valid-Tree)
  then show ?thesis
  by (simp add: valid-Tree $\alpha$ -def Conj $\alpha$ -def map-bset.rep-eq)
qed

```

```

lemma valid-Tree $\alpha$ -Not $\alpha$  [simp]: valid-Tree $\alpha$  P (Not $\alpha$  t $\alpha$ )  $\longleftrightarrow$   $\neg$  valid-Tree $\alpha$  P
t $\alpha$ 
by transfer simp

```

**lemma** *valid-Tree<sub>α</sub>-Pred<sub>α</sub>* [simp]: *valid-Tree<sub>α</sub>* *P* (*Pred<sub>α</sub>*  $\varphi$ )  $\longleftrightarrow$  *P*  $\vdash$   $\varphi$   
**by** *transfer simp*

**lemma** *valid-Tree<sub>α</sub>-Act<sub>α</sub>* [simp]: *valid-Tree<sub>α</sub>* *P* (*Act<sub>α</sub>*  $\alpha$   $t_\alpha$ )  $\longleftrightarrow$   $(\exists \alpha' t_\alpha' P'. \text{Act}_\alpha \alpha t_\alpha = \text{Act}_\alpha \alpha' t_\alpha' \wedge P \rightarrow \langle \alpha', P \rangle \wedge \text{valid-Tree}_\alpha P' t_\alpha')$

**proof**

**assume** *valid-Tree<sub>α</sub>* *P* (*Act<sub>α</sub>*  $\alpha$   $t_\alpha$ )

**moreover have** *Act<sub>α</sub>*  $\alpha$   $t_\alpha = \text{abs-Tree}_\alpha (t\text{Act } \alpha (\text{rep-Tree}_\alpha t_\alpha))$

**by** (*metis Act<sub>α</sub>.abs-eq Tree<sub>α</sub>-abs-rep*)

**ultimately show**  $\exists \alpha' t_\alpha' P'. \text{Act}_\alpha \alpha t_\alpha = \text{Act}_\alpha \alpha' t_\alpha' \wedge P \rightarrow \langle \alpha', P \rangle \wedge \text{valid-Tree}_\alpha P' t_\alpha'$

**by** (*metis Act<sub>α</sub>.abs-eq Tree<sub>α</sub>.abs-eq-iff valid-Tree.simps(4) valid-Tree<sub>α</sub>.abs-eq*)

**next**

**assume**  $\exists \alpha' t_\alpha' P'. \text{Act}_\alpha \alpha t_\alpha = \text{Act}_\alpha \alpha' t_\alpha' \wedge P \rightarrow \langle \alpha', P \rangle \wedge \text{valid-Tree}_\alpha P' t_\alpha'$

**moreover have**  $\bigwedge \alpha' t_\alpha'. \text{Act}_\alpha \alpha' t_\alpha' = \text{abs-Tree}_\alpha (t\text{Act } \alpha' (\text{rep-Tree}_\alpha t_\alpha'))$

**by** (*metis Act<sub>α</sub>.abs-eq Tree<sub>α</sub>-abs-rep*)

**ultimately show** *valid-Tree<sub>α</sub>* *P* (*Act<sub>α</sub>*  $\alpha$   $t_\alpha$ )

**by** (*metis Tree<sub>α</sub>.abs-eq-iff valid-Tree.simps(4) valid-Tree<sub>α</sub>.abs-eq valid-Tree<sub>α</sub>.rep-eq*)

**qed**

### 6.3 Validity for infinitary formulas

**lift-definition** *valid* :: 'state  $\Rightarrow$  ('idx, 'pred, 'act) formula  $\Rightarrow$  bool (**infix**  $\models$  70) is  
*valid-Tree<sub>α</sub>*

.

**lemma** *valid-eqvt* :

**assumes** *P*  $\models$  *x* **shows** (*p* · *P*)  $\models$  (*p* · *x*)

**using** *assms* **by** *transfer (metis valid-Tree<sub>α</sub>-eqvt)*

**lemma** *valid-Conj* [simp]:

**assumes** *finite* (*supp* *xset*)

**shows** *P*  $\models$  *Conj* *xset*  $\longleftrightarrow$   $(\forall x \in \text{set-bset } xset. P \models x)$

**using** *assms* **by** (*simp add: valid-def Conj-def map-bset.rep-eq*)

**lemma** *valid-Not* [simp]: *P*  $\models$  *Not* *x*  $\longleftrightarrow$   $\neg P \models x$

**by** *transfer simp*

**lemma** *valid-Pred* [simp]: *P*  $\models$  *Pred*  $\varphi$   $\longleftrightarrow$  *P*  $\vdash$   $\varphi$

**by** *transfer simp*

**lemma** *valid-Act*: *P*  $\models$  *Act*  $\alpha$  *x*  $\longleftrightarrow$   $(\exists \alpha' x' P'. \text{Act } \alpha x = \text{Act } \alpha' x' \wedge P \rightarrow \langle \alpha', P \rangle \wedge P' \models x')$

**proof**

**assume** *P*  $\models$  *Act*  $\alpha$  *x*

**moreover have** *Rep-formula* (*Abs-formula* (*Act<sub>α</sub>*  $\alpha$  (*Rep-formula* *x*))) = *Act<sub>α</sub>*  $\alpha$  (*Rep-formula* *x*)

by (*metis Act.rep-eq Rep-formula-inverse*)  
**ultimately show**  $\exists \alpha' x' P'. \text{Act } \alpha x = \text{Act } \alpha' x' \wedge P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x'$   
 by (*auto simp add: valid-def Act-def*) (*metis Abs-formula-inverse Rep-formula'*  
*hereditarily-fs-alpha-renaming*)  
**next**  
**assume**  $\exists \alpha' x' P'. \text{Act } \alpha x = \text{Act } \alpha' x' \wedge P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x'$   
**then show**  $P \models \text{Act } \alpha x$   
 by (*metis Act.rep-eq valid.rep-eq valid-Tree $_{\alpha}$ -Act $_{\alpha}$* )  
**qed**

The binding names in the alpha-variant that witnesses validity may be chosen fresh for any finitely supported context.

**lemma** *valid-Act-strong*:  
**assumes** *finite (supp X)*  
**shows**  $P \models \text{Act } \alpha x \longleftrightarrow (\exists \alpha' x' P'. \text{Act } \alpha x = \text{Act } \alpha' x' \wedge P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x' \wedge \text{bn } \alpha' \#* X)$   
**proof**  
**assume**  $P \models \text{Act } \alpha x$   
**then obtain**  $\alpha' x' P'$  **where** *eq*:  $\text{Act } \alpha x = \text{Act } \alpha' x' \wedge P \rightarrow \langle \alpha', P' \rangle \wedge P'$   
**and** *valid*:  $P' \models x'$   
 by (*metis valid-Act*)  
**have** *finite (bn  $\alpha'$ )*  
 by (*fact bn-finite*)  
**moreover note**  $\langle \text{finite (supp X)} \rangle$   
**moreover have** *finite (supp (Act  $\alpha' x'$ ,  $\langle \alpha', P' \rangle$ ))*  
 by (*metis finite-Diff finite-UnI finite-supp supp-Pair supp-abs-residual-pair*)  
**moreover have**  $\text{bn } \alpha' \#* (\text{Act } \alpha' x', \langle \alpha', P' \rangle)$   
 by (*auto simp add: fresh-star-def fresh-def supp-Pair supp-abs-residual-pair*)  
**ultimately obtain**  $p$  **where** *fresh-X*:  $(p \cdot \text{bn } \alpha') \#* X$  **and** *supp (Act  $\alpha' x'$ ,  $\langle \alpha', P' \rangle$ )  $\#* p$*   
 by (*metis at-set-avoiding2*)  
**then have** *supp (Act  $\alpha' x'$ )  $\#* p$  and *supp  $\langle \alpha', P' \rangle \#* p$*   
 by (*metis fresh-star-Un supp-Pair*)  
**then have**  $\text{Act } (p \cdot \alpha') (p \cdot x') = \text{Act } \alpha' x' \wedge P$  **and**  $\langle p \cdot \alpha', p \cdot P' \rangle = \langle \alpha', P' \rangle$   
 by (*metis Act-eqvt supp-perm-eq, metis abs-residual-pair-eqvt supp-perm-eq*)  
**then show**  $\exists \alpha' x' P'. \text{Act } \alpha x = \text{Act } \alpha' x' \wedge P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x' \wedge \text{bn } \alpha' \#* X$   
 using *eq and trans and valid and fresh-X* by (*metis bn-eqvt valid-eqvt*)  
**next**  
**assume**  $\exists \alpha' x' P'. \text{Act } \alpha x = \text{Act } \alpha' x' \wedge P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x' \wedge \text{bn } \alpha' \#* X$   
**then show**  $P \models \text{Act } \alpha x$   
 by (*metis valid-Act*)  
**qed***

**lemma** *valid-Act-fresh*:  
**assumes**  $\text{bn } \alpha \#* P$   
**shows**  $P \models \text{Act } \alpha x \longleftrightarrow (\exists P'. P \rightarrow \langle \alpha, P' \rangle \wedge P' \models x)$   
**proof**

```

assume  $P \models \text{Act } \alpha \ x$ 

moreover have finite (supp  $P$ )
  by (fact finite-supp)
ultimately obtain  $\alpha' \ x' \ P'$  where
  eq:  $\text{Act } \alpha \ x = \text{Act } \alpha' \ x'$  and trans:  $P \rightarrow \langle \alpha', P' \rangle$  and valid:  $P' \models x'$  and
fresh:  $\text{bn } \alpha' \ \#^* P$ 
  by (metis valid-Act-strong)

from eq obtain  $p$  where  $p\text{-}\alpha$ :  $\alpha' = p \cdot \alpha$  and  $p\text{-}x$ :  $x' = p \cdot x$  and supp-p:
 $\text{supp } p \subseteq \text{bn } \alpha \cup p \cdot \text{bn } \alpha$ 
  by (metis Act-eq-iff-perm-renaming)

from assms and fresh have  $(\text{bn } \alpha \cup p \cdot \text{bn } \alpha) \ \#^* P$ 
  using  $p\text{-}\alpha$  by (metis bn-eqt fresh-star-Un)
then have supp p  $\#^* P$ 
  using supp-p by (metis fresh-star-def subset-eq)
then have  $p\text{-}P$ :  $-p \cdot P = P$ 
  by (metis perm-supp-eq supp-minus-perm)

from trans have  $P \rightarrow \langle \alpha, -p \cdot P' \rangle$ 
  using  $p\text{-}P$   $p\text{-}\alpha$  by (metis permute-minus-cancel(1) transition-eqt')
moreover from valid have  $-p \cdot P' \models x$ 
  using  $p\text{-}x$  by (metis permute-minus-cancel(1) valid-eqt)
ultimately show  $\exists P'. P \rightarrow \langle \alpha, P' \rangle \wedge P' \models x$ 
  by meson
next
  assume  $\exists P'. P \rightarrow \langle \alpha, P' \rangle \wedge P' \models x$  then show  $P \models \text{Act } \alpha \ x$ 
  by (metis valid-Act)
qed

end

end

theory Logical-Equivalence
imports
  Validity
begin

```

## 7 (Strong) Logical Equivalence

The definition of formulas is parametric in the index type, but from now on we want to work with a fixed (sufficiently large) index type.

```

locale indexed-nominal-ts = nominal-ts satisfies transition
  for satisfies ::  $'state::fs \Rightarrow 'pred::fs \Rightarrow \text{bool}$  (infix  $\vdash 70$ )
  and transition ::  $'state \Rightarrow ('act::bn, 'state) \text{residual} \Rightarrow \text{bool}$  (infix  $\rightarrow 70$ ) +
  assumes card-idx-perm:  $|\text{UNIV}::\text{perm set}| < o \ |\text{UNIV}::'\text{idx set}|$ 
  and card-idx-state:  $|\text{UNIV}::'\text{state set}| < o \ |\text{UNIV}::'\text{idx set}|$ 

```

**begin**

**definition** *logically-equivalent* :: 'state  $\Rightarrow$  'state  $\Rightarrow$  bool **where**  
*logically-equivalent*  $P\ Q \equiv (\forall x::('idx,'pred,'act)\ \text{formula}. P \models x \longleftrightarrow Q \models x)$

**notation** *logically-equivalent* (**infix** = 50)

**lemma** *logically-equivalent-eqv*:

**assumes**  $P = Q$  **shows**  $p \cdot P = p \cdot Q$

**using** *assms unfolding logically-equivalent-def*

**by** (*metis (mono-tags) permute-minus-cancel(1) valid-eqv*)

**end**

**end**

**theory** *Bisimilarity-Implies-Equivalence*

**imports**

*Logical-Equivalence*

**begin**

## 8 Bisimilarity Implies Logical Equivalence

**context** *indexed-nominal-ts*

**begin**

**lemma** *bisimilarity-implies-equivalence-Act*:

**assumes**  $\bigwedge P\ Q. P \sim Q \implies P \models x \longleftrightarrow Q \models x$

**and**  $P \sim Q$

**and**  $P \models \text{Act}\ \alpha\ x$

**shows**  $Q \models \text{Act}\ \alpha\ x$

**proof** –

**have** *finite (supp Q)*

**by** (*fact finite-supp*)

**with**  $\langle P \models \text{Act}\ \alpha\ x \rangle$  **obtain**  $\alpha'\ x'\ P'$  **where** *eq: Act  $\alpha\ x = \text{Act}\ \alpha'\ x'$  and*  
*trans:  $P \rightarrow \langle \alpha', P' \rangle$  and valid:  $P' \models x'$  and fresh:  $\text{bn}\ \alpha' \#^* Q$*

**by** (*metis valid-Act-strong*)

**from**  $\langle P \sim Q \rangle$  **and** *fresh* **and** *trans* **obtain**  $Q'$  **where** *trans':  $Q \rightarrow \langle \alpha', Q' \rangle$*   
**and** *bisim':  $P' \sim Q'$*

**by** (*metis bisimilar-simulation-step*)

**from** *eq* **obtain**  $p$  **where** *px:  $x' = p \cdot x$*

**by** (*metis Act-eq-iff-perm*)

**with** *valid* **have**  $-p \cdot P' \models x$

**by** (*metis permute-minus-cancel(1) valid-eqv*)

**moreover from** *bisim'* **have**  $(-p \cdot P') \sim (-p \cdot Q')$

**by** (*metis bisimilar-eqv*)

**ultimately have**  $-p \cdot Q' \models x$

```

    using ⟨ $\wedge P Q. P \sim Q \implies P \models x \longleftrightarrow Q \models x$ ⟩ by metis
  with px have  $Q' \models x'$ 
  by (metis permute-minus-cancel(1) valid-eqvt)

```

```

  with eq and trans' show  $Q \models \text{Act } \alpha x$ 
  unfolding valid-Act by metis
qed

```

```

theorem bisimilarity-implies-equivalence: assumes  $P \sim Q$  shows  $P = Q$ 
unfolding logically-equivalent-def proof
  fix x :: ('idx, 'pred, 'act) formula
  from assms show  $P \models x \longleftrightarrow Q \models x$ 
  proof (induction x arbitrary: P Q)
    case (Conj xset) then show ?case
      by simp
  next
    case Not then show ?case
      by simp
  next
    case Pred then show ?case
      by (metis bisimilar-is-bisimulation is-bisimulation-def symp-def valid-Pred)
  next
    case (Act  $\alpha x$ ) then show ?case
      by (metis bisimilar-symp bisimilarity-implies-equivalence-Act sympE)
  qed
qed

```

end

end

theory *Equivalence-Implies-Bisimilarity*

imports

*Logical-Equivalence*

begin

## 9 Logical Equivalence Implies Bisimilarity

context *indexed-nominal-ts*

begin

**definition** *is-distinguishing-formula* :: ('idx, 'pred, 'act) formula  $\Rightarrow$  'state  $\Rightarrow$  'state  $\Rightarrow$  bool

(- distinguishes - from - [100,100,100] 100)

where

$x$  distinguishes  $P$  from  $Q \equiv P \models x \wedge \neg Q \models x$

**lemma** *is-distinguishing-formula-eqvt* :

assumes  $x$  distinguishes  $P$  from  $Q$  shows  $(p \cdot x)$  distinguishes  $(p \cdot P)$  from  $(p \cdot Q)$



**using** *assms unfolding is-distinguishing-formula-def*  
**by** (*metis permute-minus-cancel(2) valid-eqvt*)

**lemma** *equivalent-iff-not-distinguished*:  $(P =\cdot Q) \longleftrightarrow \neg(\exists x. x \text{ distinguishes } P \text{ from } Q)$   
**by** (*metis (full-types) is-distinguishing-formula-def logically-equivalent-def valid-Not*)

There exists a distinguishing formula for  $P$  and  $Q$  whose support is contained in  $\text{supp } P$ .

**lemma** *distinguished-bounded-support*:

**assumes**  $x$  distinguishes  $P$  from  $Q$

**obtains**  $y$  where  $\text{supp } y \subseteq \text{supp } P$  and  $y$  distinguishes  $P$  from  $Q$

**proof** –

**let**  $?B = \{p \cdot x \mid p. \text{supp } P \#* p\}$

**have**  $\text{supp } P$  supports  $?B$

**unfolding** *supports-def* **proof** (*clarify*)

**fix**  $a \ b$

**assume**  $a: a \notin \text{supp } P$  and  $b: b \notin \text{supp } P$

**have**  $(a \rightleftharpoons b) \cdot ?B \subseteq ?B$

**proof**

**fix**  $x'$

**assume**  $x' \in (a \rightleftharpoons b) \cdot ?B$

**then obtain**  $p$  where 1:  $x' = (a \rightleftharpoons b) \cdot p \cdot x$  and 2:  $\text{supp } P \#* p$

**by** (*auto simp add: permute-set-def*)

**let**  $?q = (a \rightleftharpoons b) + p$

**from** 1 **have**  $x' = ?q \cdot x$

**by** *simp*

**moreover from**  $a$  and  $b$  and 2 **have**  $\text{supp } P \#* ?q$

**by** (*metis fresh-perm fresh-star-def fresh-star-plus swap-atom-simps(3)*)

**ultimately show**  $x' \in ?B$  **by** *blast*

**qed**

**moreover have**  $?B \subseteq (a \rightleftharpoons b) \cdot ?B$

**proof**

**fix**  $x'$

**assume**  $x' \in ?B$

**then obtain**  $p$  where 1:  $x' = p \cdot x$  and 2:  $\text{supp } P \#* p$

**by** *auto*

**let**  $?q = (a \rightleftharpoons b) + p$

**from** 1 **have**  $x' = (a \rightleftharpoons b) \cdot ?q \cdot x$

**by** *simp*

**moreover from**  $a$  and  $b$  and 2 **have**  $\text{supp } P \#* ?q$

**by** (*metis fresh-perm fresh-star-def fresh-star-plus swap-atom-simps(3)*)

**ultimately show**  $x' \in (a \rightleftharpoons b) \cdot ?B$

**using** *mem-permute-iff* **by** *blast*

**qed**

**ultimately show**  $(a \rightleftharpoons b) \cdot ?B = ?B$  ..

**qed**

**then have** *supp-B-subset-supp-P*:  $\text{supp } ?B \subseteq \text{supp } P$

**by** (*metis (erased, lifting) finite-supp supp-is-subset*)

```

then have finite-supp-B: finite (supp ?B)
  using finite-supp rev-finite-subset by blast
have ?B ⊆ (λp. p · x) ‘ UNIV
  by auto
then have |?B| ≤ o |UNIV :: perm set|
  by (rule surj-imp-ordLeq)
also have |UNIV :: perm set| < o |UNIV :: 'idx set|
  by (metis card-idx-perm)
also have |UNIV :: 'idx set| ≤ o natLeq + c |UNIV :: 'idx set|
  by (metis Cnotzero-UNIV ordLeq-csum2)
finally have card-B: |?B| < o natLeq + c |UNIV :: 'idx set| .
let ?y = Conj (Abs-bset ?B) :: ('idx, 'pred, 'act) formula
  from finite-supp-B and card-B and supp-B-subset-supp-P have supp ?y ⊆
supp P
  by simp
moreover have ?y distinguishes P from Q
  unfolding is-distinguishing-formula-def proof
  from assms show P ⊨ ?y
  by (auto simp add: card-B finite-supp-B) (metis is-distinguishing-formula-def
supp-perm-eq valid-eqt)
next
  from assms show ¬ Q ⊨ ?y
  by (auto simp add: card-B finite-supp-B) (metis is-distinguishing-formula-def
permute-zero fresh-star-zero)
qed
ultimately show ?thesis ..
qed

```

**lemma equivalence-is-bisimulation:** *is-bisimulation logically-equivalent*

**proof** –

have *symp logically-equivalent*

by (metis logically-equivalent-def sympI)

moreover

```

{
  fix P Q φ assume P =· Q then have P ⊢ φ → Q ⊢ φ
  by (metis logically-equivalent-def valid-Pred)
}

```

moreover

```

{
  fix P Q α P' assume P =· Q and bn α #* Q and P → ⟨α, P'⟩
  then have ∃ Q'. Q → ⟨α, Q'⟩ ∧ P' =· Q'

```

**proof** –

```

{
  let ?Q' = {Q'. Q → ⟨α, Q'⟩}
  assume ∀ Q' ∈ ?Q'. ¬ P' =· Q'

```

**then have**  $\forall Q' \in ?Q'. \exists x :: ('idx, 'pred, 'act) \text{ formula. } x \text{ distinguishes } P'$

from  $Q'$

by (metis equivalent-iff-not-distinguished)

**then have**  $\forall Q' \in ?Q'. \exists x :: ('idx, 'pred, 'act) \text{ formula. } \text{supp } x \subseteq \text{supp } P'$

```

 $\wedge x$  distinguishes  $P'$  from  $Q'$ 
  by (metis distinguished-bounded-support)
  then obtain  $f :: 'state \Rightarrow ('idx, 'pred, 'act)$  formula where
    *:  $\forall Q' \in ?Q'. \text{supp } (f Q') \subseteq \text{supp } P' \wedge (f Q')$  distinguishes  $P'$  from  $Q'$ 
    by metis
  have  $\text{supp } (f ' ?Q') \subseteq \text{supp } P'$ 
    by (rule set-bounded-supp, fact finite-supp, cut-tac *, blast)
  then have finite-supp-image: finite (supp (f ' ?Q'))
    using finite-supp rev-finite-subset by blast
  have  $|f ' ?Q'| \leq o \mid UNIV :: 'state \text{ set}$ 
    by (metis card-of-UNIV card-of-image ordLeq-transitive)
  also have  $\mid UNIV :: 'state \text{ set} \mid < o \mid UNIV :: 'idx \text{ set}$ 
    by (metis card-idx-state)
  also have  $\mid UNIV :: 'idx \text{ set} \mid \leq o \text{ natLeq } + c \mid UNIV :: 'idx \text{ set}$ 
    by (metis Cnotzero-UNIV ordLeq-csum2)
  finally have card-image:  $|f ' ?Q'| < o \text{ natLeq } + c \mid UNIV :: 'idx \text{ set}$  .
  let  $?y = \text{Conj } (\text{Abs-bset } (f ' ?Q')) :: ('idx, 'pred, 'act)$  formula
  have  $P \models \text{Act } \alpha \ ?y$ 
    unfolding valid-Act proof (standard+)
      show  $P \rightarrow \langle \alpha, P \rangle$  by fact
    next
      {
        fix  $Q'$ 
        assume  $Q \rightarrow \langle \alpha, Q' \rangle$ 
        with * have  $P' \models f Q'$ 
          by (metis is-distinguishing-formula-def mem-Collect-eq)
        }
      then show  $P' \models ?y$ 
        by (simp add: finite-supp-image card-image)
    qed
  moreover have  $\neg Q \models \text{Act } \alpha \ ?y$ 
    proof
      assume  $Q \models \text{Act } \alpha \ ?y$ 
      then obtain  $Q'$  where 1:  $Q \rightarrow \langle \alpha, Q' \rangle$  and 2:  $Q' \models ?y$ 
        using  $\langle \text{bn } \alpha \ \# * \ Q \rangle$  by (metis valid-Act-fresh)
      from 2 have  $\wedge Q''. Q \rightarrow \langle \alpha, Q'' \rangle \longrightarrow Q' \models f Q''$ 
        by (simp add: finite-supp-image card-image)
      with 1 and * show False
        using is-distinguishing-formula-def by blast
    qed
  ultimately have False
    by (metis  $\langle P =. Q \rangle$  logically-equivalent-def)
  }
  then show  $?thesis$  by auto
  qed
}
ultimately show  $?thesis$ 
  unfolding is-bisimulation-def by metis
qed

```

**theorem** *equivalence-implies-bisimilarity*: **assumes**  $P = Q$  **shows**  $P \sim Q$   
**using** *assms* **by** (*metis bisimilar-def equivalence-is-bisimulation*)

**end**

**end**

**theory** *Disjunction*

**imports**

*Formula*

*Validity*

**begin**

## 10 Disjunction

**definition** *Disj* :: (*'idx','pred::fs','act::bn*) *formula set*['*idx*]  $\Rightarrow$  (*'idx','pred','act*) *formula* **where**

*Disj xset* = *Not (Conj (map-bset Not xset))*

**lemma** *finite-supp-map-bset-Not* [*simp*]:

**assumes** *finite (supp xset)*

**shows** *finite (supp (map-bset Not xset))*

**proof** –

**have** *eqvt map-bset and eqvt Not*

**by** (*simp add: eqvtI*)**+**

**then have** *supp (map-bset Not) = {}*

**using** *supp-fun-eqvt supp-fun-app-eqvt* **by** *blast*

**then have** *supp (map-bset Not xset)  $\subseteq$  supp xset*

**using** *supp-fun-app* **by** *blast*

**with** *assms* **show** *finite (supp (map-bset Not xset))*

**by** (*metis finite-subset*)

**qed**

**lemma** *Disj-eqvt* [*simp*]:

**assumes** *finite (supp xset)*

**shows**  $p \cdot \text{Disj } xset = \text{Disj } (p \cdot xset)$

**using** *assms* **unfolding** *Disj-def* **by** *simp*

**lemma** *Disj-eq-iff* [*simp*]:

**assumes** *finite (supp xset1) and finite (supp xset2)*

**shows**  $\text{Disj } xset1 = \text{Disj } xset2 \iff xset1 = xset2$

**using** *assms* **unfolding** *Disj-def* **by** (*metis Conj-eq-iff Not-eq-iff bset.inj-map-strong finite-supp-map-bset-Not*)

**context** *nominal-ts*

**begin**

**lemma** *valid-Disj* [*simp*]:

**assumes** *finite (supp xset)*

```

    shows  $P \models \text{Disj } xset \iff (\exists x \in \text{set-bset } xset. P \models x)$ 
    using assms by (simp add: Disj-def map-bset.rep-eq)

end

end

theory Expressive-Completeness
imports
  Bisimilarity-Implies-Equivalence
  Equivalence-Implies-Bisimilarity
  Disjunction
begin

```

## 11 Expressive Completeness

```

context indexed-nominal-ts
begin

```

### 11.1 Distinguishing formulas

Lemma *distinguished\_bounded\_support* only shows the existence of a distinguishing formula, without stating what this formula looks like. We now define an explicit function that returns a distinguishing formula, in a way that this function is equivariant (on pairs of non-equivalent states).

Note that this definition uses Hilbert's choice operator  $\varepsilon$ , which is not necessarily equivariant. This is immediately remedied by a hull construction.

**definition** *distinguishing-formula* :: *'state*  $\Rightarrow$  *'state*  $\Rightarrow$  (*'idx*, *'pred*, *'act*) *formula*  
**where**

*distinguishing-formula*  $P Q \equiv \text{Conj } (\text{Abs-bset } \{-p \cdot (\varepsilon x. \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p \cdot Q))\} | p. \text{True})$

— just an auxiliary lemma that will be useful further below

**lemma** *distinguishing-formula-card-aux*:

$|\{-p \cdot (\varepsilon x. \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p \cdot Q))\} | p. \text{True}\}| <_o \text{natLeq } +c \mid \text{UNIV} :: \text{'idx set}$

**proof** —

**let** *?some* =  $\lambda p. (\varepsilon x. \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p \cdot Q))$

**let** *?B* =  $\{-p \cdot ?some p \mid p. \text{True}\}$

**have**  $?B \subseteq (\lambda p. -p \cdot ?some p) \text{' UNIV}$

**by** *auto*

**then have**  $|?B| \leq_o \mid \text{UNIV} :: \text{perm set}$

**by** (*rule surj-imp-ordLeq*)

**also have**  $\mid \text{UNIV} :: \text{perm set} \mid <_o \mid \text{UNIV} :: \text{'idx set}$

**by** (*metis card-idx-perm*)

**also have**  $\mid \text{UNIV} :: \text{'idx set} \mid \leq_o \text{natLeq } +c \mid \text{UNIV} :: \text{'idx set}$

```

    by (metis Cnotzero-UNIV ordLeq-csum2)
  finally show ?thesis .
qed

— just an auxiliary lemma that will be useful further below
lemma distinguishing-formula-supp-aux:
  assumes  $\neg (P =\cdot Q)$ 
  shows  $\text{supp } (Abs\text{-bset } \{-p \cdot (\epsilon x. \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p \cdot Q))\} | p. \text{True}\} :: \text{set}[idx]) \subseteq \text{supp } P$ 
  proof –
    let ?some =  $\lambda p. (\epsilon x. \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p \cdot Q))$ 
    let ?B =  $\{-p \cdot ?some\ p | p. \text{True}\}$ 

    {
      fix p
      from assms have  $\neg (p \cdot P =\cdot p \cdot Q)$ 
        by (metis logically-equivalent-eqvt permute-minus-cancel(2))
      then have  $\text{supp } (?some\ p) \subseteq \text{supp } (p \cdot P)$ 
        using distinguished-bounded-support by (metis (mono-tags, lifting) equivalent-iff-not-distinguished someI-ex)
    }
    note supp-some = this

    {
      fix x
      assume  $x \in ?B$ 
      then obtain p where  $x = -p \cdot ?some\ p$ 
        by blast
      with supp-some have  $\text{supp } (p \cdot x) \subseteq \text{supp } (p \cdot P)$ 
        by simp
      then have  $\text{supp } x \subseteq \text{supp } P$ 
        by (metis (full-types) permute-boolE subset-eqvt supp-eqvt)
    }
    note * = this
    have supp-B:  $\text{supp } ?B \subseteq \text{supp } P$ 
      by (rule set-bounded-supp, fact finite-supp, cut-tac *, blast)

    from supp-B and distinguishing-formula-card-aux show ?thesis
      using supp-Abs-bset by blast
qed

lemma distinguishing-formula-eqvt [simp]:
  assumes  $\neg (P =\cdot Q)$ 
  shows  $p \cdot \text{distinguishing-formula } P\ Q = \text{distinguishing-formula } (p \cdot P)\ (p \cdot Q)$ 
  proof –
    let ?some =  $\lambda p. (\epsilon x. \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p \cdot Q))$ 
    let ?B =  $\{-p \cdot ?some\ p | p. \text{True}\}$ 

```

```

from assms have supp (Abs-bset ?B :: - set['idx])  $\subseteq$  supp P
  by (rule distinguishing-formula-supp-aux)
then have finite (supp (Abs-bset ?B :: - set['idx]))
  using finite-supp rev-finite-subset by blast
with distinguishing-formula-card-aux have  $*$ :  $p \cdot \text{Conj (Abs-bset ?B)} = \text{Conj (Abs-bset (p \cdot ?B))}$ 
  by simp

let  $?some' = \lambda p'. (\epsilon x. \text{supp } x \subseteq \text{supp } (p' \cdot p \cdot P) \wedge x \text{ distinguishes } (p' \cdot p \cdot P)$ 
from (p' \cdot p \cdot Q))
let  $?B' = \{-p' \cdot ?some' p' | p'. \text{True}\}$ 

have  $p \cdot ?B = ?B'$ 
proof
  {
    fix  $px$ 
    assume  $px \in p \cdot ?B$ 
    then obtain  $x$  where  $1: px = p \cdot x$  and  $2: x \in ?B$ 
      by (metis (no-types, lifting) image-iff permute-set-eq-image)
    from  $2$  obtain  $p'$  where  $3: x = -p' \cdot ?some' p'$ 
      by blast
    from  $1$  and  $3$  have  $px = -(p' - p) \cdot ?some' (p' - p)$ 
      by simp
    then have  $px \in ?B'$ 
      by blast
  }
  then show  $p \cdot ?B \subseteq ?B'$ 
    by blast
next
  {
    fix  $x$ 
    assume  $x \in ?B'$ 
    then obtain  $p'$  where  $x = -p' \cdot ?some' p'$ 
      by blast
    then have  $x = p \cdot -(p' + p) \cdot ?some' (p' + p)$ 
      by (simp add: add.inverse-distrib-swap)
    then have  $x \in p \cdot ?B$ 
      using mem-permute-iff by blast
  }
  then show  $?B' \subseteq p \cdot ?B$ 
    by blast
qed

with  $*$  show ?thesis
  unfolding distinguishing-formula-def by simp
qed

```

**lemma** *supp-distinguishing-formula:*

```

assumes  $\neg (P =\cdot Q)$ 
shows  $\text{supp } (\text{distinguishing-formula } P Q) \subseteq \text{supp } P$ 
proof –
  let  $?some = \lambda p. (\epsilon x. \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p$ 
   $\cdot Q))$ 
  let  $?B = \{- p \cdot ?some p | p. \text{True}\}$ 

  from assms have  $\text{supp } (\text{Abs-bset } ?B :: - \text{set}['idx]) \subseteq \text{supp } P$ 
    by (rule distinguishing-formula-supp-aux)
  moreover from this have  $\text{finite } (\text{supp } (\text{Abs-bset } ?B :: - \text{set}['idx]))$ 
    using finite-supp rev-finite-subset by blast
  ultimately show ?thesis
    unfolding distinguishing-formula-def by simp
qed

lemma distinguishing-formula-distinguishes:
  assumes  $\neg (P =\cdot Q)$ 
  shows  $(\text{distinguishing-formula } P Q) \text{ distinguishes } P \text{ from } Q$ 
proof –
  let  $?some = \lambda p. (\epsilon x. \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p$ 
   $\cdot Q))$ 
  let  $?B = \{- p \cdot ?some p | p. \text{True}\}$ 

  {
    fix  $p$ 
    have  $(?some p) \text{ distinguishes } (p \cdot P) \text{ from } (p \cdot Q)$ 
      using assms
      by (metis (mono-tags, lifting) is-distinguishing-formula-eqvt distinguished-bounded-support
equivalent-iff-not-distinguished someI-ex)
    }
  note some-distinguishes = this

  {
    fix  $P'$ 
    from assms have  $\text{supp } (\text{Abs-bset } ?B :: - \text{set}['idx]) \subseteq \text{supp } P$ 
      by (rule distinguishing-formula-supp-aux)
    then have  $\text{finite } (\text{supp } (\text{Abs-bset } ?B :: - \text{set}['idx]))$ 
      using finite-supp rev-finite-subset by blast
    with distinguishing-formula-card-aux have  $P' \models \text{distinguishing-formula } P Q$ 
     $\longleftrightarrow (\forall x \in ?B. P' \models x)$ 
      unfolding distinguishing-formula-def by simp
    }
  note valid-distinguishing-formula = this

  {
    fix  $p$ 
    have  $P \models -p \cdot ?some p$ 
      by (metis (mono-tags) is-distinguishing-formula-def permute-minus-cancel(2)
some-distinguishes valid-eqvt)
  }

```



```

}
then have  $P \models \text{distinguishing-formula } P \ Q$ 
using valid-distinguishing-formula by blast

moreover have  $\neg Q \models \text{distinguishing-formula } P \ Q$ 
using valid-distinguishing-formula by (metis (mono-tags, lifting) is-distinguishing-formula-def
mem-Collect-eq permute-minus-cancel(1) some-distinguishes valid-eqvt)

ultimately show (distinguishing-formula  $P \ Q$ ) distinguishes  $P$  from  $Q$ 
using is-distinguishing-formula-def by blast
qed

```

## 11.2 Characteristic formulas

A *characteristic formula* for a state  $P$  is valid for (exactly) those states that are bisimilar to  $P$ .

**definition** *characteristic-formula*  $:: 'state \Rightarrow ('idx, 'pred, 'act) \text{ formula where}$   
*characteristic-formula*  $P \equiv \text{Conj } (Abs\text{-bset } \{ \text{distinguishing-formula } P \ Q \mid Q. \neg$   
 $(P =. Q) \})$

— just an auxiliary lemma that will be useful further below

**lemma** *characteristic-formula-card-aux*:

$|\{ \text{distinguishing-formula } P \ Q \mid Q. \neg (P =. Q) \}| < o \ \text{natLeq} + c \mid UNIV :: 'idx \ \text{set}$

**proof** —

**let**  $?B = \{ \text{distinguishing-formula } P \ Q \mid Q. \neg (P =. Q) \}$

**have**  $?B \subseteq (\text{distinguishing-formula } P) \ 'UNIV$

**by** *auto*

**then have**  $|?B| \leq o \mid UNIV :: 'state \ \text{set}$

**by** (*rule surj-imp-ordLeq*)

**also have**  $\mid UNIV :: 'state \ \text{set} \mid < o \mid UNIV :: 'idx \ \text{set}$

**by** (*metis card-idx-state*)

**also have**  $\mid UNIV :: 'idx \ \text{set} \mid \leq o \ \text{natLeq} + c \mid UNIV :: 'idx \ \text{set}$

**by** (*metis Cnotzero-UNIV ordLeq-csum2*)

**finally show** *?thesis* .

**qed**

— just an auxiliary lemma that will be useful further below

**lemma** *characteristic-formula-supp-aux*:

**shows**  $\text{supp } (Abs\text{-bset } \{ \text{distinguishing-formula } P \ Q \mid Q. \neg (P =. Q) \}) :: - \ \text{set}['idx]$   
 $\subseteq \text{supp } P$

**proof** —

**let**  $?B = \{ \text{distinguishing-formula } P \ Q \mid Q. \neg (P =. Q) \}$

{

**fix**  $x$

**assume**  $x \in ?B$

**then obtain**  $Q$  **where**  $x = \text{distinguishing-formula } P \ Q$  **and**  $\neg (P =. Q)$

**by** *blast*

```

    with supp-distinguishing-formula have  $\text{supp } x \subseteq \text{supp } P$ 
      by metis
  }
  note * = this
  have supp-B:  $\text{supp } ?B \subseteq \text{supp } P$ 
    by (rule set-bounded-supp, fact finite-supp, cut-tac *, blast)

  from supp-B and characteristic-formula-card-aux show ?thesis
    using supp-Abs-bset by blast
qed

lemma characteristic-formula-eqvt [simp]:
   $p \cdot \text{characteristic-formula } P = \text{characteristic-formula } (p \cdot P)$ 
proof -
  let  $?B = \{\text{distinguishing-formula } P \ Q \mid Q. \neg (P = \cdot Q)\}$ 

  have  $\text{supp } (\text{Abs-bset } ?B :: - \text{set}['\text{id}x]) \subseteq \text{supp } P$ 
    by (fact characteristic-formula-supp-aux)
  then have finite ( $\text{supp } (\text{Abs-bset } ?B :: - \text{set}['\text{id}x])$ )
    using finite-supp rev-finite-subset by blast
  with characteristic-formula-card-aux have *:  $p \cdot \text{Conj } (\text{Abs-bset } ?B) = \text{Conj}$ 
    ( $\text{Abs-bset } (p \cdot ?B)$ )
    by simp

  let  $?B' = \{\text{distinguishing-formula } (p \cdot P) \ Q \mid Q. \neg ((p \cdot P) = \cdot Q)\}$ 

  have  $p \cdot ?B = ?B'$ 
proof
  {
    fix px
    assume  $px \in p \cdot ?B$ 
    then obtain x where 1:  $px = p \cdot x$  and 2:  $x \in ?B$ 
      by (metis (no-types, lifting) image-iff permute-set-eq-image)
    from 2 obtain Q where 3:  $x = \text{distinguishing-formula } P \ Q$  and 4:  $\neg (P$ 
=  $\cdot Q)$ 
      by blast
    with 1 have  $px = \text{distinguishing-formula } (p \cdot P) \ (p \cdot Q)$ 
      by simp
    moreover from 4 have  $\neg ((p \cdot P) = \cdot (p \cdot Q))$ 
      by (metis logically-equivalent-eqvt permute-minus-cancel(2))
    ultimately have  $px \in ?B'$ 
      by blast
  }
  then show  $p \cdot ?B \subseteq ?B'$ 
    by blast
next
  {
    fix x
    assume  $x \in ?B'$ 

```

```

    then obtain  $Q$  where 1:  $x = \text{distinguishing-formula } (p \cdot P) Q$  and 2:  $\neg$ 
     $((p \cdot P) = \cdot Q)$ 
    by blast
    from 2 have  $\neg (P = \cdot (-p \cdot Q))$ 
    by (metis logically-equivalent-eqvt permute-minus-cancel(1))
    moreover from this and 1 have  $x = p \cdot \text{distinguishing-formula } P (-p \cdot$ 
 $Q)$ 
    by simp
    ultimately have  $x \in p \cdot ?B$ 
    using mem-permute-iff by blast
  }
  then show  $?B' \subseteq p \cdot ?B$ 
  by blast
qed

with * show ?thesis
  unfolding characteristic-formula-def by simp
qed

lemma characteristic-formula-eqvt-raw [simp]:
   $p \cdot \text{characteristic-formula} = \text{characteristic-formula}$ 
  by (simp add: permute-fun-def)

lemma characteristic-formula-is-characteristic':
   $Q \models \text{characteristic-formula } P \longleftrightarrow P = \cdot Q$ 
proof -
  let  $?B = \{\text{distinguishing-formula } P Q \mid Q. \neg (P = \cdot Q)\}$ 

  {
    fix  $P'$ 
    have  $\text{supp } (\text{Abs-bset } ?B :: - \text{set}['id_x]) \subseteq \text{supp } P$ 
    by (fact characteristic-formula-supp-aux)
    then have finite ( $\text{supp } (\text{Abs-bset } ?B :: - \text{set}['id_x])$ )
    using finite-supp rev-finite-subset by blast
    with characteristic-formula-card-aux have  $P' \models \text{characteristic-formula } P \longleftrightarrow$ 
     $(\forall x \in ?B. P' \models x)$ 
    unfolding characteristic-formula-def by simp
  }
  note valid-characteristic-formula = this

  show ?thesis
  proof
    assume *:  $Q \models \text{characteristic-formula } P$ 
    show  $P = \cdot Q$ 
    proof (rule ccontr)
      assume  $\neg (P = \cdot Q)$ 
      with * show False
      using distinguishing-formula-distinguishes is-distinguishing-formula-def
      valid-characteristic-formula by auto
    end
  end

```

```

qed
next
assume P =· Q
moreover have P ⊨ characteristic-formula P
  using distinguishing-formula-distinguishes is-distinguishing-formula-def
valid-characteristic-formula by auto
ultimately show Q ⊨ characteristic-formula P
  using logically-equivalent-def by blast
qed
qed

```

```

lemma characteristic-formula-is-characteristic:
  Q ⊨ characteristic-formula P ⟷ P ∼· Q
  using characteristic-formula-is-characteristic' by (meson bisimilarity-implies-equivalence
equivalence-implies-bisimilarity)

```

### 11.3 Expressive completeness

Every finitely supported set of states that is closed under bisimulation can be described by a formula; namely, by a disjunction of characteristic formulas.

**theorem** *expressive-completeness*:

```

assumes finite (supp S)
and ∧P Q. P ∈ S ⟹ P ∼· Q ⟹ Q ∈ S
shows P ⊨ Disj (Abs-bset (characteristic-formula ‘ S)) ⟷ P ∈ S

```

**proof** –

```

let ?B = characteristic-formula ‘ S

```

```

have ?B ⊆ characteristic-formula ‘ UNIV

```

```

  by auto

```

```

then have |?B| ≤o |UNIV :: 'state set|

```

```

  by (rule surj-imp-ordLeq)

```

```

also have |UNIV :: 'state set| <o |UNIV :: 'idx set|

```

```

  by (metis card-idx-state)

```

```

also have |UNIV :: 'idx set| ≤o natLeq + c |UNIV :: 'idx set|

```

```

  by (metis Cnotzero-UNIV ordLeq-csum2)

```

```

finally have card-B: |?B| <o natLeq + c |UNIV :: 'idx set| .

```

```

have eqvt image and eqvt characteristic-formula

```

```

  by (simp add: eqvtI)+

```

```

then have supp ?B ⊆ supp S

```

```

  using supp-fun-eqvt supp-fun-app supp-fun-app-eqvt by blast

```

```

with card-B have supp (Abs-bset ?B :: - set['idx]) ⊆ supp S

```

```

  using supp-Abs-bset by blast

```

```

with ⟨finite (supp S)⟩ have finite (supp (Abs-bset ?B :: - set['idx']))

```

```

  using finite-supp rev-finite-subset by blast

```

```

  with card-B have P ⊨ Disj (Abs-bset (characteristic-formula ‘ S)) ⟷

```

```

(∃ x ∈ ?B. P ⊨ x)

```

```

  by simp

```

```

with ⟨ $\bigwedge P Q. P \in S \implies P \sim \cdot Q \implies Q \in S$ ⟩ show ?thesis
using characteristic-formula-is-characteristic characteristic-formula-is-characteristic'
logically-equivalent-def by fastforce
qed

```

```
end
```

```
end
```

```
theory FS-Set
```

```
imports
```

```
  Nominal2.Nominal2
```

```
begin
```

## 12 Finitely Supported Sets

We define the type of finitely supported sets (over some permutation type  $'a$ ). Note that we cannot more generally define the (sub-)type of finitely supported elements for arbitrary permutation types  $'a$ : there is no guarantee that this type is non-empty.

```

typedef 'a fs-set = {x::'a::pt set. finite (supp x)}
by (simp add: exI[where x={}] supp-set-empty)

```

```
setup-lifting type-definition-fs-set
```

Type  $'a$  fs-set is a finitely supported permutation type.

```
instantiation fs-set :: (pt) pt
```

```
begin
```

```

lift-definition permute-fs-set :: perm  $\Rightarrow$  'a fs-set  $\Rightarrow$  'a fs-set is permute
by (metis permute-finite supp-eqvt)

```

```
instance
```

```
apply (intro-classes)
```

```
apply (metis (mono-tags) permute-fs-set.rep-eq Rep-fs-set-inverse permute-zero)
```

```
apply (metis (mono-tags) permute-fs-set.rep-eq Rep-fs-set-inverse permute-plus)
```

```
done
```

```
end
```

```
instantiation fs-set :: (pt) fs
```

```
begin
```

```
instance
```

```
proof (intro-classes)
```

```
fix x :: 'a fs-set
```

```
from Rep-fs-set have finite (supp (Rep-fs-set x)) by simp
```

```
hence finite {a. infinite {b. (a  $\equiv$  b)  $\cdot$  Rep-fs-set x  $\neq$  Rep-fs-set x}} by (unfold
```

*supp-def*)  
**hence** *finite* {*a*. *infinite* {*b*. ((*a* = *b*) · *x*) ≠ *x*}} **by** *transfer*  
**thus** *finite* (*supp x*) **by** (*fold supp-def*)  
**qed**

**end**

Set membership.

**lift-definition** *member-fs-set* :: '*a*::*pt* ⇒ '*a* *fs-set* ⇒ *bool* **is** (*∈*) .

**notation**

*member-fs-set* ('(*∈*<sub>*fs*</sub>')) **and**  
*member-fs-set* ((-/ *∈*<sub>*fs*</sub> -) [*51*, *51*] *50*)

**lemma** *member-fs-set-permute-iff* [*simp*]:  $p \cdot x \in_{fs} p \cdot X \longleftrightarrow x \in_{fs} X$   
**by** *transfer* (*simp add: mem-permute-iff*)

**lemma** *member-fs-set-eqt* [*eqvt*]:  $x \in_{fs} X \Longrightarrow p \cdot x \in_{fs} p \cdot X$   
**by** *simp*

**end**

**theory** *FL-Transition-System*

**imports**

*Transition-System FS-Set*

**begin**

## 13 Nominal Transition Systems with Effects and *F/L*-Bisimilarity

### 13.1 Nominal transition systems with effects

The paper defines an effect as a finitely supported function from states to states. It then fixes an equivariant set  $\mathcal{F}$  of effects. In our formalization, we avoid working with such a (carrier) set, and instead introduce a type of (finitely supported) effects together with an (equivariant) application operator for effects and states.

Equivariance (of the type of effects) is implicitly guaranteed (by the type of *permute*).

*First* represents the (finitely supported) set of effects that must be observed before following a transition.

**type-synonym** '*eff first* = '*eff fs-set*

*Later* is a function that represents how the set *F* (for *first*) changes depending on the action of a transition and the chosen effect.

**type-synonym** ('*a*, '*eff*) *later* = '*a* × '*eff first* × '*eff* ⇒ '*eff first*

**locale** *effect-nominal-ts = nominal-ts satisfies transition*  
**for** *satisfies* :: 'state::fs ⇒ 'pred::fs ⇒ bool (**infix** ⊢ 70)  
**and** *transition* :: 'state ⇒ ('act::bn, 'state) residual ⇒ bool (**infix** → 70) +  
**fixes** *effect-apply* :: 'effect::fs ⇒ 'state ⇒ 'state (⟨-⟩ - [0,101] 100)  
**and** *L* :: ('act, 'effect) later  
**assumes** *effect-apply-eqt*: eqvt *effect-apply*  
**and** *L-eqt*: eqvt *L* — *L* is assumed to be equivariant.

**begin**

**lemma** *effect-apply-eqt-ax* [*simp*]:  $p \cdot \text{effect-apply} = \text{effect-apply}$   
**by** (*metis effect-apply-eqt eqvt-def*)

**lemma** *effect-apply-eqt'* [*eqvt*]:  $p \cdot \langle f \rangle P = \langle p \cdot f \rangle (p \cdot P)$   
**by** *simp*

**lemma** *L-eqt-ax* [*simp*]:  $p \cdot L = L$   
**by** (*metis L-eqt eqvt-def*)

**lemma** *L-eqt'* [*eqvt*]:  $p \cdot L (\alpha, P, f) = L (p \cdot \alpha, p \cdot P, p \cdot f)$   
**by** *simp*

**end**

## 13.2 *L*-bisimulations and *F/L*-bisimilarity

**context** *effect-nominal-ts*

**begin**

**definition** *is-L-bisimulation*:: ('effect first ⇒ 'state ⇒ 'state ⇒ bool) ⇒ bool  
**where**

*is-L-bisimulation* *R* ≡  
 $\forall F. \text{symp } (R F) \wedge$   
 $(\forall P Q. R F P Q \longrightarrow (\forall f. f \in_{fs} F \longrightarrow (\forall \varphi. \langle f \rangle P \vdash \varphi \longrightarrow \langle f \rangle Q \vdash \varphi))) \wedge$   
 $(\forall P Q. R F P Q \longrightarrow (\forall f. f \in_{fs} F \longrightarrow (\forall \alpha P'. \text{bn } \alpha \#* (\langle f \rangle Q, F, f) \longrightarrow$   
 $\langle f \rangle P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. \langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle \wedge R (L (\alpha, F, f)) P' Q'))))$

**definition** *FL-bisimilar* :: 'effect first ⇒ 'state ⇒ 'state ⇒ bool **where**  
 $FL\text{-bisimilar } F P Q \equiv \exists R. \text{is-L-bisimulation } R \wedge (R F) P Q$

**abbreviation** *FL-bisimilar'* (- ~ [-] - [51,0,51] 50) **where**  
 $P \sim.[F] Q \equiv FL\text{-bisimilar } F P Q$

*FL-bisimilar* is an equivariant relation, and (for every *F*) an equivalence.

**lemma** *is-L-bisimulation-eqt* [*eqvt*]:  
**assumes** *is-L-bisimulation* *R* **shows** *is-L-bisimulation* ( $p \cdot R$ )  
**unfolding** *is-L-bisimulation-def*  
**proof** (*clarify*)  
**fix** *F*

**have** *symp*  $((p \cdot R) F)$  **(is ?S)**  
**using** *assms unfolding is-L-bisimulation-def* **by** (*metis eqvt-lambda symp-*eqvt**)  
**moreover** **have**  $\forall P Q. (p \cdot R) F P Q \longrightarrow (\forall f. f \in_{fs} F \longrightarrow (\forall \varphi. \langle f \rangle P \vdash \varphi \longrightarrow \langle f \rangle Q \vdash \varphi))$  **(is ?T)**  
**proof** (*clarify*)  
**fix**  $P Q f \varphi$   
**assume**  $pR: (p \cdot R) F P Q$  **and** *effect*:  $f \in_{fs} F$  **and** *satisfies*:  $\langle f \rangle P \vdash \varphi$   
**from**  $pR$  **have**  $R (-p \cdot F) (-p \cdot P) (-p \cdot Q)$   
**by** (*simp add: eqvt-lambda permute-bool-def unpermute-def*)  
**moreover** **have**  $(-p \cdot f) \in_{fs} (-p \cdot F)$   
**using** *effect* **by** *simp*  
**moreover** **have**  $\langle -p \cdot f \rangle (-p \cdot P) \vdash -p \cdot \varphi$   
**using** *satisfies* **by** (*metis effect-apply-*eqvt'* satisfies-*eqvt**)  
**ultimately** **have**  $\langle -p \cdot f \rangle (-p \cdot Q) \vdash -p \cdot \varphi$   
**using** *assms unfolding is-L-bisimulation-def* **by** *auto*  
**then** **show**  $\langle f \rangle Q \vdash \varphi$   
**by** (*metis (full-types) effect-apply-*eqvt'* permute-minus-cancel(1) satisfies-*eqvt**)  
**qed**  
**moreover** **have**  $\forall P Q. (p \cdot R) F P Q \longrightarrow (\forall f. f \in_{fs} F \longrightarrow (\forall \alpha P'. \text{bn } \alpha \#* (\langle f \rangle Q, F, f) \longrightarrow \langle f \rangle P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. \langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle \wedge (p \cdot R) (L (\alpha, F, f)) P' Q')))$  **(is ?U)**  
**proof** (*clarify*)  
**fix**  $P Q f \alpha P'$   
**assume**  $pR: (p \cdot R) F P Q$  **and** *effect*:  $f \in_{fs} F$  **and** *fresh*:  $\text{bn } \alpha \#* (\langle f \rangle Q, F, f)$  **and** *trans*:  $\langle f \rangle P \rightarrow \langle \alpha, P' \rangle$   
**from**  $pR$  **have**  $R (-p \cdot F) (-p \cdot P) (-p \cdot Q)$   
**by** (*simp add: eqvt-lambda permute-bool-def unpermute-def*)  
**moreover** **have**  $(-p \cdot f) \in_{fs} (-p \cdot F)$   
**using** *effect* **by** *simp*  
**moreover** **have**  $\text{bn } (-p \cdot \alpha) \#* (\langle -p \cdot f \rangle (-p \cdot Q), -p \cdot F, -p \cdot f)$   
**using** *fresh* **by** (*metis (full-types) effect-apply-*eqvt'* bn-*eqvt* fresh-star-Pair fresh-star-*permute-iff**)  
**moreover** **have**  $\langle -p \cdot f \rangle (-p \cdot P) \rightarrow \langle -p \cdot \alpha, -p \cdot P' \rangle$   
**using** *trans* **by** (*metis effect-apply-*eqvt'* transition-*eqvt'**)  
**ultimately** **obtain**  $Q'$  **where**  $T: \langle -p \cdot f \rangle (-p \cdot Q) \rightarrow \langle -p \cdot \alpha, Q' \rangle$  **and**  $R: R (L (-p \cdot \alpha, -p \cdot F, -p \cdot f)) (-p \cdot P') Q'$   
**using** *assms unfolding is-L-bisimulation-def* **by** *meson*  
**from**  $T$  **have**  $\langle f \rangle Q \rightarrow \langle \alpha, p \cdot Q' \rangle$   
**by** (*metis (no-types, lifting) effect-apply-*eqvt'* abs-residual-pair-*eqvt* permute-minus-cancel(1) transition-*eqvt**)  
**moreover** **from**  $R$  **have**  $(p \cdot R) (p \cdot L (-p \cdot \alpha, -p \cdot F, -p \cdot f)) (p \cdot -p \cdot P') (p \cdot Q')$   
**by** (*metis permute-boolI permute-fun-def permute-minus-cancel(2)*)  
**then** **have**  $(p \cdot R) (L (\alpha, F, f)) P' (p \cdot Q')$   
**by** (*simp add: permute-self*)  
**ultimately** **show**  $\exists Q'. \langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle \wedge (p \cdot R) (L (\alpha, F, f)) P' Q'$   
**by** *metis*



qed  
ultimately show  $?S \wedge ?T \wedge ?U$  by *simp*  
qed

**lemma** *FL-bisimilar-eqt*:  
assumes  $P \sim_{\cdot[F]} Q$  shows  $(p \cdot P) \sim_{\cdot[p \cdot F]} (p \cdot Q)$   
using *assms*  
by (*metis eqt-apply permute-boolI is-L-bisimulation-eqt FL-bisimilar-def*)

**lemma** *FL-bisimilar-reflp*: *reflp* (*FL-bisimilar*  $F$ )  
**proof** (*rule reflpI*)  
fix  $x$   
have *is-L-bisimulation*  $(\lambda \cdot. (=))$   
unfolding *is-L-bisimulation-def* by (*simp add: symp-def*)  
then show  $x \sim_{\cdot[F]} x$   
unfolding *FL-bisimilar-def* by *auto*  
qed

**lemma** *FL-bisimilar-symp*: *symp* (*FL-bisimilar*  $F$ )  
**proof** (*rule sympI*)  
fix  $P Q$   
assume  $P \sim_{\cdot[F]} Q$   
then obtain  $R$  where  $*$ : *is-L-bisimulation*  $R \wedge R F P Q$   
unfolding *FL-bisimilar-def* ..  
then have  $R F Q P$   
unfolding *is-L-bisimulation-def* by (*simp add: symp-def*)  
with  $*$  show  $Q \sim_{\cdot[F]} P$   
unfolding *FL-bisimilar-def* by *auto*  
qed

**lemma** *FL-bisimilar-is-L-bisimulation*: *is-L-bisimulation* *FL-bisimilar*  
**unfolding** *is-L-bisimulation-def* **proof**  
fix  $F$   
have *symp* (*FL-bisimilar*  $F$ ) (*is*  $?R$ )  
by (*fact FL-bisimilar-symp*)  
**moreover** have  $\forall P Q. P \sim_{\cdot[F]} Q \longrightarrow (\forall f. f \in_{fs} F \longrightarrow (\forall \varphi. \langle f \rangle P \vdash \varphi \longrightarrow \langle f \rangle Q \vdash \varphi))$  (*is*  $?S$ )  
by (*auto simp add: is-L-bisimulation-def FL-bisimilar-def*)  
**moreover** have  $\forall P Q. P \sim_{\cdot[F]} Q \longrightarrow (\forall f. f \in_{fs} F \longrightarrow (\forall \alpha P'. bn \alpha \#* (\langle f \rangle Q, F, f) \longrightarrow \langle f \rangle P \rightarrow \langle \alpha, P \rangle \longrightarrow (\exists Q'. \langle f \rangle Q \rightarrow \langle \alpha, Q \rangle \wedge P' \sim_{\cdot[L(\alpha, F, f)]} Q'))$  (*is*  $?T$ )  
by (*auto simp add: is-L-bisimulation-def FL-bisimilar-def*) *blast*  
ultimately show  $?R \wedge ?S \wedge ?T$   
by *metis*  
qed

**lemma** *FL-bisimilar-simulation-step*:  
assumes  $P \sim_{\cdot[F]} Q$  and  $f \in_{fs} F$  and  $bn \alpha \#* (\langle f \rangle Q, F, f)$  and  $\langle f \rangle P \rightarrow \langle \alpha, P \rangle$

**obtains**  $Q'$  **where**  $\langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle$  **and**  $P' \sim_{[L(\alpha, F, f)]} Q'$   
**using** *assms* **by** (*metis* (*poly-guards-query*) *FL-bisimilar-is-L-bisimulation is-L-bisimulation-def*)

**lemma** *FL-bisimilar-transp*: *transp* (*FL-bisimilar*  $F$ )

**proof** (*rule* *transpI*)

**fix**  $P Q R$

**assume**  $PQ: P \sim_{[F]} Q$  **and**  $QR: Q \sim_{[F]} R$

**let**  $?FL\text{-}bisim = \lambda F. (FL\text{-}bisimilar\ F)\ OO\ (FL\text{-}bisimilar\ F)$

**have**  $\bigwedge F. symp\ (?FL\text{-}bisim\ F)$

**proof** (*rule* *sympI*)

**fix**  $F P R$

**assume**  $?FL\text{-}bisim\ F\ P\ R$

**then obtain**  $Q$  **where**  $P \sim_{[F]} Q$  **and**  $Q \sim_{[F]} R$

**by** *blast*

**then have**  $R \sim_{[F]} Q$  **and**  $Q \sim_{[F]} P$

**by** (*metis* *FL-bisimilar-symp sympE*)**+**

**then show**  $?FL\text{-}bisim\ F\ R\ P$

**by** *blast*

**qed**

**moreover have**  $\bigwedge F. \forall P Q. ?FL\text{-}bisim\ F\ P\ Q \longrightarrow (\forall f. f \in_{fs}\ F \longrightarrow (\forall \varphi. \langle f \rangle P \vdash \varphi \longrightarrow \langle f \rangle Q \vdash \varphi))$

**using** *FL-bisimilar-is-L-bisimulation is-L-bisimulation-def* **by** *auto*

**moreover have**  $\bigwedge F. \forall P Q. ?FL\text{-}bisim\ F\ P\ Q \longrightarrow$

$(\forall f. f \in_{fs}\ F \longrightarrow (\forall \alpha P'. bn\ \alpha\ \#* (\langle f \rangle Q, F, f) \longrightarrow$

$\langle f \rangle P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. \langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle \wedge ?FL\text{-}bisim\ (L(\alpha, F, f))$

$P'\ Q'))$

**proof** (*clarify*)

**fix**  $F P R Q f \alpha P'$

**assume**  $PR: P \sim_{[F]} R$  **and**  $RQ: R \sim_{[F]} Q$  **and** *effect*:  $f \in_{fs}\ F$  **and** *fresh*:  $bn\ \alpha\ \#* (\langle f \rangle Q, F, f)$  **and** *trans*:  $\langle f \rangle P \rightarrow \langle \alpha, P' \rangle$

— rename  $\langle \alpha, P' \rangle$  to avoid  $(\langle f \rangle R, F)$ , without touching  $(\langle f \rangle Q, F, f)$

**obtain**  $p$  **where** 1:  $(p \cdot bn\ \alpha)\ \#* (\langle f \rangle R, F, f)$  **and** 2:  $supp\ (\langle \alpha, P' \rangle, (\langle f \rangle Q, F, f))\ \#* p$

**proof** (*rule* *at-set-avoiding2*[*of*  $bn\ \alpha\ (\langle f \rangle R, F, f)\ (\langle \alpha, P' \rangle, (\langle f \rangle Q, F, f))$ , *THEN* *exE*])

**show** *finite*  $(bn\ \alpha)$  **by** (*fact* *bn-finite*)

**next**

**show** *finite*  $(supp\ (\langle f \rangle R, F, f))$  **by** (*fact* *finite-supp*)

**next**

**show** *finite*  $(supp\ (\langle \alpha, P' \rangle, (\langle f \rangle Q, F, f)))$  **by** (*simp* *add*: *finite-supp supp-Pair*)

**next**

**show**  $bn\ \alpha\ \#* (\langle \alpha, P' \rangle, (\langle f \rangle Q, F, f))$

**using** *bn-abs-residual-fresh fresh fresh-star-Pair* **by** *blast*

**qed** *metis*

**from** 2 **have** 3:  $supp\ \langle \alpha, P' \rangle\ \#* p$  **and** 4:  $supp\ (\langle f \rangle Q, F, f)\ \#* p$

**by** (*simp* *add*: *fresh-star-Un supp-Pair*)**+**

**from** 3 **have**  $\langle p \cdot \alpha, p \cdot P' \rangle = \langle \alpha, P' \rangle$

**using** *supp-perm-eq* **by** *fastforce*

**then obtain**  $pR'$  **where** 5:  $\langle f \rangle R \rightarrow \langle p \cdot \alpha, pR' \rangle$  **and** 6:  $(p \cdot P') \sim [L (p \cdot \alpha, F, f)] pR'$   
**using** *PR effect trans 1* **by** (*metis FL-bisimilar-simulation-step bn-eqvt*)  
**from** *fresh* **and** 4 **have**  $\text{bn } (p \cdot \alpha) \#^* (\langle f \rangle Q, F, f)$   
**by** (*metis bn-eqvt fresh-star-permute-iff supp-perm-eq*)  
**then obtain**  $pQ'$  **where** 7:  $\langle f \rangle Q \rightarrow \langle p \cdot \alpha, pQ' \rangle$  **and** 8:  $pR' \sim [L (p \cdot \alpha, F, f)] pQ'$   
**using** *RQ effect 5* **by** (*metis FL-bisimilar-simulation-step*)  
**from** 4 **have**  $\text{supp } (\langle f \rangle Q) \#^* p$   
**by** (*simp add: fresh-star-Un supp-Pair*)  
**with** 7 **have**  $\langle f \rangle Q \rightarrow \langle \alpha, -p \cdot pQ' \rangle$   
**by** (*metis permute-minus-cancel(2) supp-perm-eq transition-eqvt'*)  
**moreover from** 6 **and** 8 **have**  $?FL\text{-bisim } (L (p \cdot \alpha, F, f)) (p \cdot P') pQ'$   
**by** (*metis relcompp.relcompI*)  
**then have**  $?FL\text{-bisim } (-p \cdot L (p \cdot \alpha, F, f)) (-p \cdot p \cdot P') (-p \cdot pQ')$   
**using** *FL-bisimilar-eqvt* **by** *blast*  
**then have**  $?FL\text{-bisim } (L (\alpha, -p \cdot F, -p \cdot f)) P' (-p \cdot pQ')$   
**by** (*simp add: L-eqvt'*)  
**then have**  $?FL\text{-bisim } (L (\alpha, F, f)) P' (-p \cdot pQ')$   
**using** 4 **by** (*metis fresh-star-Un permute-minus-cancel(2) supp-Pair supp-perm-eq*)  
**ultimately show**  $\exists Q'. \langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle \wedge ?FL\text{-bisim } (L (\alpha, F, f)) P' Q'$   
**by** *metis*  
**qed**  
**ultimately have** *is-L-bisimulation*  $?FL\text{-bisim}$   
**unfolding** *is-L-bisimulation-def* **by** *metis*  
**moreover have**  $?FL\text{-bisim } F P R$   
**using** *PQ QR* **by** *blast*  
**ultimately show**  $P \sim [F] R$   
**unfolding** *FL-bisimilar-def* **by** *meson*  
**qed**

**lemma** *FL-bisimilar-equivp: equivp (FL-bisimilar F)*  
**by** (*metis FL-bisimilar-reflp FL-bisimilar-symp FL-bisimilar-transp equivp-reflp-symp-transp*)

**end**

**end**

**theory** *FL-Formula*

**imports**

*Nominal-Bounded-Set*

*Nominal-Wellfounded*

*Residual*

*FL-Transition-System*

**begin**

## 14 Infinitary Formulas With Effects

### 14.1 Infinitely branching trees

First, we define a type of trees, with a constructor  $tConj$  that maps (potentially infinite) sets of trees into trees. To avoid paradoxes (note that there is no injection from the powerset of trees into the set of trees), the cardinality of the argument set must be bounded.

The effect consequence operator  $\langle f \rangle$  is always and only used as a prefix to a predicate or an action formula. So to simplify the representation of formula trees with effects, the effect operator is merged into the predicate or action it precedes.

```
datatype ('idx,'pred,'act,'eff) Tree =
  | tConj ('idx,'pred,'act,'eff) Tree set['idx] — potentially infinite sets of trees
  | tNot ('idx,'pred,'act,'eff) Tree
  | tPred 'eff 'pred
  | tAct 'eff 'act ('idx,'pred,'act,'eff) Tree
```

The (automatically generated) induction principle for trees allows us to prove that the following relation over trees is well-founded. This will be useful for termination proofs when we define functions by recursion over trees.

```
inductive-set Tree-wf :: ('idx,'pred,'act,'eff) Tree rel where
  | t ∈ set-bset tset ⇒ (t, tConj tset) ∈ Tree-wf
  | (t, tNot t) ∈ Tree-wf
  | (t, tAct f α t) ∈ Tree-wf
```

**lemma** wf-Tree-wf: wf Tree-wf

**unfolding** wf-def

**proof** (rule allI, rule impI, rule allI)

**fix** P :: ('idx,'pred,'act,'eff) Tree ⇒ bool **and** t

**assume** ∀ x. (∀ y. (y, x) ∈ Tree-wf ⇒ P y) ⇒ P x

**then show** P t

**proof** (induction t)

**case** tConj **then show** ?case

**by** (metis Tree.distinct(2) Tree.distinct(5) Tree.inject(1) Tree-wf.cases)

**next**

**case** tNot **then show** ?case

**by** (metis Tree.distinct(1) Tree.distinct(9) Tree.inject(2) Tree-wf.cases)

**next**

**case** tPred **then show** ?case

**by** (metis Tree.distinct(11) Tree.distinct(3) Tree.distinct(7) Tree-wf.cases)

**next**

**case** tAct **then show** ?case

**by** (metis Tree.distinct(10) Tree.distinct(6) Tree.inject(4) Tree-wf.cases)

**qed**

**qed**

We define a permutation operation on the type of trees.

**instantiation**  $Tree :: (type, pt, pt, pt) pt$   
**begin**

**primrec**  $permute-Tree :: perm \Rightarrow (-,-,-,-) Tree \Rightarrow (-,-,-,-) Tree$  **where**  
 $p \cdot (tConj\ tset) = tConj\ (map-bset\ (permute\ p)\ tset)$  — neat trick to get around  
the fact that  $tset$  is not of permutation type yet  
|  $p \cdot (tNot\ t) = tNot\ (p \cdot t)$   
|  $p \cdot (tPred\ f\ \varphi) = tPred\ (p \cdot f)\ (p \cdot \varphi)$   
|  $p \cdot (tAct\ f\ \alpha\ t) = tAct\ (p \cdot f)\ (p \cdot \alpha)\ (p \cdot t)$

**instance**

**proof**

**fix**  $t :: (-,-,-,-) Tree$

**show**  $0 \cdot t = t$

**proof** (*induction t*)

**case**  $tConj$  **then show** *?case*

**by** (*simp, transfer*) (*auto simp: image-def*)

**qed** *simp-all*

**next**

**fix**  $p\ q :: perm$  **and**  $t :: (-,-,-,-) Tree$

**show**  $(p + q) \cdot t = p \cdot q \cdot t$

**proof** (*induction t*)

**case**  $tConj$  **then show** *?case*

**by** (*simp, transfer*) (*auto simp: image-def*)

**qed** *simp-all*

**qed**

**end**

Now that the type of trees—and hence the type of (bounded) sets of trees—is a permutation type, we can massage the definition of  $p \cdot tConj\ tset$  into its more usual form.

**lemma**  $permute-Tree-tConj$  [*simp*]:  $p \cdot tConj\ tset = tConj\ (p \cdot tset)$   
**by** (*simp add: map-bset-permute*)

**declare**  $permute-Tree.simps(1)$  [*simp del*]

The relation  $Tree-wf$  is equivariant.

**lemma**  $Tree-wf-eqvt-aux$ :

**assumes**  $(t1, t2) \in Tree-wf$  **shows**  $(p \cdot t1, p \cdot t2) \in Tree-wf$

**using** *assms* **proof** (*induction rule: Tree-wf.induct*)

**fix**  $t :: ('a, 'b, 'c, 'd) Tree$  **and**  $tset :: ('a, 'b, 'c, 'd) Tree\ set['a]$

**assume**  $t \in set-bset\ tset$  **then show**  $(p \cdot t, p \cdot tConj\ tset) \in Tree-wf$

**by** (*metis Tree-wf.intros(1) mem-permute-iff permute-Tree-tConj set-bset-eqvt*)

**next**

**fix**  $t :: ('a, 'b, 'c, 'd) Tree$

**show**  $(p \cdot t, p \cdot tNot\ t) \in Tree-wf$

```

  by (metis Tree-wf.intros(2) permute-Tree.simps(2))
next
fix t :: ('a,'b,'c,'d) Tree and f and  $\alpha$ 
show (p · t, p · tAct f  $\alpha$  t) ∈ Tree-wf
  by (metis Tree-wf.intros(3) permute-Tree.simps(4))
qed

```

```

lemma Tree-wf-eqt [eqvt, simp]: p · Tree-wf = Tree-wf
proof
  show p · Tree-wf ⊆ Tree-wf
    by (auto simp add: permute-set-def) (rule Tree-wf-eqt-aux)
next
  show Tree-wf ⊆ p · Tree-wf
    by (auto simp add: permute-set-def) (metis Tree-wf-eqt-aux permute-minus-cancel(1))
qed

```

```

lemma Tree-wf-eqt': eqvt Tree-wf
by (metis Tree-wf-eqt eqvtI)

```

The definition of *permute* for trees gives rise to the usual notion of support. The following lemmas, one for each constructor, describe the support of trees.

```

lemma supp-tConj [simp]: supp (tConj tset) = supp tset
unfolding supp-def by simp

```

```

lemma supp-tNot [simp]: supp (tNot t) = supp t
unfolding supp-def by simp

```

```

lemma supp-tPred [simp]: supp (tPred f  $\varphi$ ) = supp f ∪ supp  $\varphi$ 
unfolding supp-def by (simp add: Collect-imp-eq Collect-neg-eq)

```

```

lemma supp-tAct [simp]: supp (tAct f  $\alpha$  t) = supp f ∪ supp  $\alpha$  ∪ supp t
unfolding supp-def by (auto simp add: Collect-imp-eq Collect-neg-eq)

```

## 14.2 Trees modulo $\alpha$ -equivalence

We generalize the notion of support, which considers whether a permuted element is *equal* to itself, to arbitrary endorelations. This is available as *supp-rel* in Nominal Isabelle.

```

lemma supp-rel-eqt [eqvt]:
  p · supp-rel R x = supp-rel (p · R) (p · x)
by (simp add: supp-rel-def)

```

Usually, the definition of  $\alpha$ -equivalence presupposes a notion of free variables. However, the variables that are “free” in an infinitary conjunction are not necessarily those that are free in one of the conjuncts. For instance, consider a conjunction over *all* names. Applying any permutation will yield the same conjunction, i.e., this conjunction has *no* free variables.

To obtain the correct notion of free variables for infinitary conjunctions, we initially defined  $\alpha$ -equivalence and free variables via mutual recursion. In particular, we defined the free variables of a conjunction as term  $fv\text{-Tree}(tConj\ tset) = supp\text{-rel}\ \alpha\text{-Tree}(tConj\ tset)$ .

We then realized that it is not necessary to define the concept of “free variables” at all, but the definition of  $\alpha$ -equivalence becomes much simpler (in particular, it is no longer mutually recursive) if we directly use the support modulo  $\alpha$ -equivalence.

The following lemmas and constructions are used to prove termination of our definition.

**lemma** *supp-rel-cong* [*fundef-cong*]:

$\llbracket x=x'; \bigwedge a\ b.\ R\ ((a \equiv b) \cdot x')\ x' \longleftrightarrow R'\ ((a \equiv b) \cdot x')\ x' \rrbracket \implies supp\text{-rel}\ R\ x = supp\text{-rel}\ R'\ x'$

**by** (*simp add: supp-rel-def*)

**lemma** *rel-bset-cong* [*fundef-cong*]:

$\llbracket x=x'; y=y'; \bigwedge a\ b.\ a \in set\text{-bset}\ x' \implies b \in set\text{-bset}\ y' \implies R\ a\ b \longleftrightarrow R'\ a\ b \rrbracket \implies rel\text{-bset}\ R\ x\ y \longleftrightarrow rel\text{-bset}\ R'\ x'\ y'$

**by** (*simp add: rel-bset-def rel-set-def*)

**lemma** *alpha-set-cong* [*fundef-cong*]:

$\llbracket bs=bs'; x=x'; R\ (p' \cdot x')\ y' \longleftrightarrow R'\ (p' \cdot x')\ y'; f\ x' = f'\ x'; f\ y' = f'\ y'; p=p'; cs=cs'; y=y' \rrbracket \implies$

$\alpha\text{-set}\ (bs, x)\ R\ f\ p\ (cs, y) \longleftrightarrow \alpha\text{-set}\ (bs', x')\ R'\ f'\ p'\ (cs', y')$

**by** (*simp add: alpha-set*)

**quotient-type**

$(\text{'idx, 'pred, 'act, 'eff})\ Tree_p = (\text{'idx, 'pred::pt, 'act::bn, 'eff::fs})\ Tree / hull\text{-relp}$

**by** (*fact hull-relp-equivp*)

**lemma** *abs-Tree<sub>p</sub>-eq* [*simp*]:  $abs\text{-Tree}_p\ (p \cdot t) = abs\text{-Tree}_p\ t$

**by** (*metis hull-relp.simps Tree<sub>p</sub>.abs-eq-iff*)

**lemma** *permute-rep-abs-Tree<sub>p</sub>*:

**obtains**  $p$  **where**  $rep\text{-Tree}_p\ (abs\text{-Tree}_p\ t) = p \cdot t$

**by** (*metis Quotient3-Tree<sub>p</sub> Tree<sub>p</sub>.abs-eq-iff rep-abs-rsp hull-relp.simps*)

**lift-definition**  $Tree\text{-wf}_p :: (\text{'idx, 'pred::pt, 'act::bn, 'eff::fs})\ Tree_p\ rel\ \text{is}$

$Tree\text{-wf}$  .

**lemma** *Tree-wf<sub>p</sub>I* [*simp*]:

**assumes**  $(a, b) \in Tree\text{-wf}$

**shows**  $(abs\text{-Tree}_p\ (p \cdot a), abs\text{-Tree}_p\ b) \in Tree\text{-wf}_p$

**using** *assms* **by** (*metis (erased, lifting) Tree<sub>p</sub>.abs-eq-iff Tree-wf<sub>p</sub>.abs-eq hull-relp.intros map-prod-simp rev-image-eqI*)

**lemma** *Tree-wf<sub>p</sub>-trivialI* [*simp*]:

**assumes**  $(a, b) \in \text{Tree-wf}$   
**shows**  $(\text{abs-Tree}_p a, \text{abs-Tree}_p b) \in \text{Tree-wf}_p$   
**using** *assms* **by** (*metis Tree-wf<sub>p</sub>I permute-zero*)

**lemma** *Tree-wf<sub>p</sub>E*:  
**assumes**  $(a_p, b_p) \in \text{Tree-wf}_p$   
**obtains**  $a b$  **where**  $a_p = \text{abs-Tree}_p a$  **and**  $b_p = \text{abs-Tree}_p b$  **and**  $(a, b) \in \text{Tree-wf}$   
**using** *assms* **by** (*metis (erased, lifting) Pair-inject Tree-wf<sub>p</sub>.abs-eq prod-fun-imageE*)

**lemma** *wf-Tree-wf<sub>p</sub>*: *wf Tree-wf<sub>p</sub>*  
**apply** (*rule wf-subset[of inv-image (hull-rel O Tree-wf) rep-Tree<sub>p</sub>]*)  
**apply** (*metis Tree-wf-eqvt' wf-Tree-wf wf-hull-rel-relcomp wf-inv-image*)  
**apply** (*auto elim!: Tree-wf<sub>p</sub>E*)  
**apply** (*rename-tac t1 t2*)  
**apply** (*rule-tac t=t1 in permute-rep-abs-Tree<sub>p</sub>*)  
**apply** (*rule-tac t=t2 in permute-rep-abs-Tree<sub>p</sub>*)  
**apply** (*rename-tac p1 p2*)  
**apply** (*subgoal-tac (p2 · t1, p2 · t2) ∈ Tree-wf*)  
**apply** (*subgoal-tac (p1 · t1, p2 · t1) ∈ hull-rel*)  
**apply** (*metis relcomp.relcompI*)  
**apply** (*metis hull-rel.simps permute-minus-cancel(2) permute-plus*)  
**apply** (*metis Tree-wf-eqvt-aux*)  
**done**

**fun** *alpha-Tree-termination* ::  $(\text{'a}, \text{'b}, \text{'c}, \text{'d}) \text{Tree} \times (\text{'a}, \text{'b}, \text{'c}, \text{'d}) \text{Tree} \Rightarrow (\text{'a}, \text{'b}::\text{pt}, \text{'c}::\text{bn}, \text{'d}::\text{fs}) \text{Tree}_p \text{ set}$  **where**  
*alpha-Tree-termination*  $(t1, t2) = \{\text{abs-Tree}_p t1, \text{abs-Tree}_p t2\}$

Here it comes ...

**function** (*sequential*)  
*alpha-Tree* ::  $(\text{'idx}, \text{'pred}::\text{pt}, \text{'act}::\text{bn}, \text{'eff}::\text{fs}) \text{Tree} \Rightarrow (\text{'idx}, \text{'pred}, \text{'act}, \text{'eff}) \text{Tree} \Rightarrow \text{bool}$  (**infix**  $=_\alpha$  50) **where**  
—  $(=_\alpha)$   
*alpha-tConj*:  $t\text{Conj } tset1 =_\alpha t\text{Conj } tset2 \iff \text{rel-bset } \text{alpha-Tree } tset1 tset2$   
| *alpha-tNot*:  $t\text{Not } t1 =_\alpha t\text{Not } t2 \iff t1 =_\alpha t2$   
| *alpha-tPred*:  $t\text{Pred } f1 \ \varphi1 =_\alpha t\text{Pred } f2 \ \varphi2 \iff f1 = f2 \wedge \varphi1 = \varphi2$   
— the action may have binding names  
| *alpha-tAct*:  $t\text{Act } f1 \ \alpha1 \ t1 =_\alpha t\text{Act } f2 \ \alpha2 \ t2 \iff$   
 $f1 = f2 \wedge (\exists p. (\text{bn } \alpha1, t1) \approx_{\text{set}} \text{alpha-Tree } (\text{supp-rel } \text{alpha-Tree}) p (\text{bn } \alpha2, t2))$   
 $\wedge (\text{bn } \alpha1, \alpha1) \approx_{\text{set}} ((=)) \text{supp } p (\text{bn } \alpha2, \alpha2))$   
| *alpha-other*:  $- =_\alpha - \iff \text{False}$   
— 254 subgoals (!)  
**by** *pat-completeness auto*  
**termination**  
**proof**  
**let**  $?R = \text{inv-image } (\text{max-ext } \text{Tree-wf}_p) \ \text{alpha-Tree-termination}$   
**show**  $\text{wf } ?R$   
**by** (*metis max-ext-wf wf-Tree-wf<sub>p</sub> wf-inv-image*)



**qed** (*auto simp add: max-ext.simps Tree-wf.simps simp del: permute-Tree-tConj*)

We provide more descriptive case names for the automatically generated induction principle, and specialize it to an induction rule for  $\alpha$ -equivalence.

**lemmas** *alpha-Tree-induct'* = *alpha-Tree.induct*[*case-names alpha-tConj alpha-tNot alpha-tPred alpha-tAct alpha-other(1) alpha-other(2) alpha-other(3) alpha-other(4) alpha-other(5) alpha-other(6) alpha-other(7) alpha-other(8) alpha-other(9) alpha-other(10) alpha-other(11) alpha-other(12) alpha-other(13) alpha-other(14) alpha-other(15) alpha-other(16) alpha-other(17) alpha-other(18)*]

**lemma** *alpha-Tree-induct*[*case-names tConj tNot tPred tAct, consumes 1*]:

**assumes**  $t1 =_{\alpha} t2$   
**and**  $\bigwedge tset1 tset2. (\bigwedge a b. a \in \text{set-bset } tset1 \implies b \in \text{set-bset } tset2 \implies a =_{\alpha} b \implies P a b) \implies$   
 $\text{rel-bset } (=_{\alpha}) tset1 tset2 \implies P (tConj tset1) (tConj tset2)$   
**and**  $\bigwedge t1 t2. t1 =_{\alpha} t2 \implies P t1 t2 \implies P (tNot t1) (tNot t2)$   
**and**  $\bigwedge f \varphi. P (tPred f \varphi) (tPred f \varphi)$   
**and**  $\bigwedge f1 \alpha1 t1 f2 \alpha2 t2. (\bigwedge p. p \cdot t1 =_{\alpha} t2 \implies P (p \cdot t1) t2) \implies f1 = f2 \implies$   
 $(\exists p. (bn \alpha1, t1) \approx_{\text{set}} (=_{\alpha}) (\text{supp-rel } (=_{\alpha})) p (bn \alpha2, t2) \wedge (bn \alpha1, \alpha1) \approx_{\text{set}} (=) \text{supp } p (bn \alpha2, \alpha2)) \implies$   
 $P (tAct f1 \alpha1 t1) (tAct f2 \alpha2 t2)$   
**shows**  $P t1 t2$   
**using** *assms by (induction t1 t2 rule: alpha-Tree.induct) simp-all*

$\alpha$ -equivalence is equivariant.

**lemma** *alpha-Tree-eqt-aux*:

**assumes**  $\bigwedge a b. (a \rightleftharpoons b) \cdot t =_{\alpha} t \iff p \cdot (a \rightleftharpoons b) \cdot t =_{\alpha} p \cdot t$   
**shows**  $p \cdot \text{supp-rel } (=_{\alpha}) t = \text{supp-rel } (=_{\alpha}) (p \cdot t)$

**proof** –

{  
  **fix**  $a$   
  **let**  $?B = \{b. \neg ((a \rightleftharpoons b) \cdot t) =_{\alpha} t\}$   
  **let**  $?pB = \{b. \neg ((p \cdot a \rightleftharpoons b) \cdot p \cdot t) =_{\alpha} (p \cdot t)\}$   
  {  
    **assume** *finite ?B*  
    **moreover have** *inj-on (unpermute p) ?pB*  
      **by** (*simp add: inj-on-def unpermute-def*)  
    **moreover have** *unpermute p ' ?pB  $\subseteq$  ?B*  
      **using** *assms by auto (metis (erased, lifting) eqt-bound permute-eqt swap-eqt)*  
    **ultimately have** *finite ?pB*  
      **by** (*metis inj-on-finite*)  
  }  
  **moreover**  
  {  
    **assume** *finite ?pB*  
    **moreover have** *inj-on (permute p) ?B*  
      **by** (*simp add: inj-on-def*)  
    **moreover have** *permute p ' ?B  $\subseteq$  ?pB*  
  }

```

    using assms by auto (metis (erased, lifting) permute-eqvt swap-eqvt)
    ultimately have finite ?B
      by (metis inj-on-finite)
  }
  ultimately have infinite ?B  $\longleftrightarrow$  infinite ?pB
    by auto
  }
  then show ?thesis
    by (auto simp add: supp-rel-def permute-set-def) (metis eqvt-bound)
qed

lemma alpha-Tree-eqvt':  $t1 =_{\alpha} t2 \longleftrightarrow p \cdot t1 =_{\alpha} p \cdot t2$ 
proof (induction t1 t2 rule: alpha-Tree-induct')
  case (alpha-tConj tset1 tset2) show ?case
  proof
    assume *:  $tConj\ tset1 =_{\alpha} tConj\ tset2$ 
    {
      fix x
      assume  $x \in set-bset\ (p \cdot tset1)$ 
      then obtain x' where 1:  $x' \in set-bset\ tset1$  and 2:  $x = p \cdot x'$ 
        by (metis imageE permute-bset.rep-eq permute-set-eq-image)
      from 1 obtain y' where 3:  $y' \in set-bset\ tset2$  and 4:  $x' =_{\alpha} y'$ 
        using * by (metis (mono-tags, lifting) FL-Formula.alpha-tConj rel-bset.rep-eq
rel-set-def)
      from 3 have  $p \cdot y' \in set-bset\ (p \cdot tset2)$ 
        by (metis mem-permute-iff set-bset-eqvt)
      moreover from 1 and 2 and 3 and 4 have  $x =_{\alpha} p \cdot y'$ 
        using alpha-tConj.IH by blast
      ultimately have  $\exists y \in set-bset\ (p \cdot tset2). x =_{\alpha} y ..$ 
    }
    moreover
    {
      fix y
      assume  $y \in set-bset\ (p \cdot tset2)$ 
      then obtain y' where 1:  $y' \in set-bset\ tset2$  and 2:  $p \cdot y' = y$ 
        by (metis imageE permute-bset.rep-eq permute-set-eq-image)
      from 1 obtain x' where 3:  $x' \in set-bset\ tset1$  and 4:  $x' =_{\alpha} y'$ 
        using * by (metis (mono-tags, lifting) FL-Formula.alpha-tConj rel-bset.rep-eq
rel-set-def)
      from 3 have  $p \cdot x' \in set-bset\ (p \cdot tset1)$ 
        by (metis mem-permute-iff set-bset-eqvt)
      moreover from 1 and 2 and 3 and 4 have  $p \cdot x' =_{\alpha} y$ 
        using alpha-tConj.IH by blast
      ultimately have  $\exists x \in set-bset\ (p \cdot tset1). x =_{\alpha} y ..$ 
    }
  }
  ultimately show  $p \cdot tConj\ tset1 =_{\alpha} p \cdot tConj\ tset2$ 
    by (simp add: rel-bset-def rel-set-def)
next
  assume *:  $p \cdot tConj\ tset1 =_{\alpha} p \cdot tConj\ tset2$ 

```

```

{
  fix x
  assume 1: x ∈ set-bset tset1
  then have p · x ∈ set-bset (p · tset1)
    by (metis mem-permute-iff set-bset-eqvt)
  then obtain y' where 2: y' ∈ set-bset (p · tset2) and 3: p · x =α y'
  using * by (metis FL-Formula.alpha-tConj permute-Tree-tConj rel-bset.rep-eq
rel-set-def)
  from 2 obtain y where 4: y ∈ set-bset tset2 and 5: y' = p · y
    by (metis imageE permute-bset.rep-eq permute-set-eq-image)
  from 1 and 3 and 4 and 5 have x =α y
    using alpha-tConj.IH by blast
  with 4 have ∃ y ∈ set-bset tset2. x =α y ..
}
moreover
{
  fix y
  assume 1: y ∈ set-bset tset2
  then have p · y ∈ set-bset (p · tset2)
    by (metis mem-permute-iff set-bset-eqvt)
  then obtain x' where 2: x' ∈ set-bset (p · tset1) and 3: x' =α p · y
  using * by (metis FL-Formula.alpha-tConj permute-Tree-tConj rel-bset.rep-eq
rel-set-def)
  from 2 obtain x where 4: x ∈ set-bset tset1 and 5: p · x = x'
    by (metis imageE permute-bset.rep-eq permute-set-eq-image)
  from 1 and 3 and 4 and 5 have x =α y
    using alpha-tConj.IH by blast
  with 4 have ∃ x ∈ set-bset tset1. x =α y ..
}
ultimately show tConj tset1 =α tConj tset2
  by (simp add: rel-bset-def rel-set-def)
qed
next
case (alpha-tAct f1 α1 t1 f2 α2 t2)
from alpha-tAct.IH(2) have t1: p · supp-rel (=α) t1 = supp-rel (=α) (p · t1)
  by (rule alpha-Tree-eqvt-aux)
from alpha-tAct.IH(3) have t2: p · supp-rel (=α) t2 = supp-rel (=α) (p · t2)
  by (rule alpha-Tree-eqvt-aux)
show ?case
proof
  assume tAct f1 α1 t1 =α tAct f2 α2 t2
  then obtain q where 0: f1 = f2 and 1: (bn α1, t1) ≈set (=α) (supp-rel
(=α)) q (bn α2, t2) and 2: (bn α1, α1) ≈set (=) supp q (bn α2, α2)
  by auto
  from 1 and t1 and t2 have supp-rel (=α) (p · t1) - bn (p · α1) = supp-rel
(=α) (p · t2) - bn (p · α2)
  by (metis Diff-eqvt alpha-set bn-eqvt)
  moreover from 1 and t1 have (supp-rel (=α) (p · t1) - bn (p · α1)) ‡* (p
+ q - p)

```

by (metis Diff-eqvt alpha-set bn-eqvt fresh-star-permute-iff permute-perm-def)  
 moreover from 1 and alpha-tAct.IH(1) have  $p \cdot q \cdot t1 =_{\alpha} p \cdot t2$   
 by (simp add: alpha-set)  
 moreover from 2 have  $p \cdot q \cdot -p \cdot bn (p \cdot \alpha1) = bn (p \cdot \alpha2)$   
 by (simp add: alpha-set bn-eqvt)  
 ultimately have  $(bn (p \cdot \alpha1), p \cdot t1) \approx_{set} (=_{\alpha}) (supp-rel (=_{\alpha})) (p + q - p)$   
 $(bn (p \cdot \alpha2), p \cdot t2)$   
 by (simp add: alpha-set)  
 moreover from 2 have  $(bn (p \cdot \alpha1), p \cdot \alpha1) \approx_{set} (=) supp (p + q - p) (bn$   
 $(p \cdot \alpha2), p \cdot \alpha2)$   
 by (simp add: alpha-set) (metis (mono-tags, lifting) Diff-eqvt bn-eqvt fresh-star-permute-iff  
 permute-minus-cancel(2) permute-perm-def supp-eqvt)  
 ultimately show  $p \cdot tAct f1 \alpha1 t1 =_{\alpha} p \cdot tAct f2 \alpha2 t2$  using 0  
 by auto  
 next  
 assume  $p \cdot tAct f1 \alpha1 t1 =_{\alpha} p \cdot tAct f2 \alpha2 t2$   
 then obtain q where 0:  $f1 = f2$  and 1:  $(bn (p \cdot \alpha1), p \cdot t1) \approx_{set} (=_{\alpha})$   
 $(supp-rel (=_{\alpha})) q (bn (p \cdot \alpha2), p \cdot t2)$  and 2:  $(bn (p \cdot \alpha1), p \cdot \alpha1) \approx_{set} (=) supp$   
 $q (bn (p \cdot \alpha2), p \cdot \alpha2)$   
 by auto  
 {  
 from 1 and t1 and t2 have  $supp-rel (=_{\alpha}) t1 - bn \alpha1 = supp-rel (=_{\alpha}) t2$   
 $- bn \alpha2$   
 by (metis (no-types, lifting) Diff-eqvt alpha-set bn-eqvt permute-eq-iff)  
 moreover with 1 and t2 have  $(supp-rel (=_{\alpha}) t1 - bn \alpha1) \#* (-p + q +$   
 $p)$   
 by (auto simp add: fresh-star-def fresh-perm alphas) (metis (no-types, lifting)  
 DiffI bn-eqvt mem-permute-iff permute-minus-cancel(2))  
 moreover from 1 have  $-p \cdot q \cdot p \cdot t1 =_{\alpha} t2$   
 using alpha-tAct.IH(1) by (simp add: alpha-set) (metis (no-types, lifting)  
 permute-eqvt permute-minus-cancel(2))  
 moreover from 1 have  $-p \cdot q \cdot p \cdot bn \alpha1 = bn \alpha2$   
 by (metis alpha-set bn-eqvt permute-minus-cancel(2))  
 ultimately have  $(bn \alpha1, t1) \approx_{set} (=_{\alpha}) (supp-rel (=_{\alpha})) (-p + q + p) (bn$   
 $\alpha2, t2)$   
 by (simp add: alpha-set)  
 }  
 moreover  
 {  
 from 2 have  $supp \alpha1 - bn \alpha1 = supp \alpha2 - bn \alpha2$   
 by (metis (no-types, lifting) Diff-eqvt alpha-set bn-eqvt permute-eq-iff  
 supp-eqvt)  
 moreover with 2 have  $(supp \alpha1 - bn \alpha1) \#* (-p + q + p)$   
 by (auto simp add: fresh-star-def fresh-perm alphas) (metis (no-types, lifting)  
 DiffI bn-eqvt mem-permute-iff permute-minus-cancel(1) supp-eqvt)  
 moreover from 2 have  $-p \cdot q \cdot p \cdot \alpha1 = \alpha2$   
 by (simp add: alpha-set)  
 moreover have  $-p \cdot q \cdot p \cdot bn \alpha1 = bn \alpha2$   
 by (simp add: bn-eqvt calculation(3))

```

      ultimately have (bn  $\alpha 1$ ,  $\alpha 1$ )  $\approx_{set}$  (=)  $supp$  ( $-p + q + p$ ) (bn  $\alpha 2$ ,  $\alpha 2$ )
      by (simp add: alpha-set)
    }
    ultimately show  $tAct$   $f1$   $\alpha 1$   $t1 =_{\alpha}$   $tAct$   $f2$   $\alpha 2$   $t2$  using 0
    by auto
  qed
qed simp-all

```

```

lemma alpha-Tree-eqvt [eqvt]:  $t1 =_{\alpha} t2 \implies p \cdot t1 =_{\alpha} p \cdot t2$ 
by (metis alpha-Tree-eqvt')

```

$(=_{\alpha})$  is an equivalence relation.

```

lemma alpha-Tree-reflp: reflp alpha-Tree
proof (rule reflpI)
  fix t :: ('a,'b,'c,'d) Tree
  show  $t =_{\alpha} t$ 
  proof (induction t)
    case tConj then show ?case by (metis alpha-tConj rel-bset.rep-eq rel-setI)
  next
    case tNot then show ?case by (metis alpha-tNot)
  next
    case tPred then show ?case by (metis alpha-tPred)
  next
    case tAct then show ?case by (metis (mono-tags) alpha-tAct alpha-refl(1))
  qed
qed

```

```

lemma alpha-Tree-symp: symp alpha-Tree
proof (rule sympI)
  fix x y :: ('a,'b,'c,'d) Tree
  assume  $x =_{\alpha} y$  then show  $y =_{\alpha} x$ 
  proof (induction x y rule: alpha-Tree-induct)
    case tConj then show ?case
    by (simp add: rel-bset-def rel-set-def) metis
  next
    case (tAct  $f1$   $\alpha 1$   $t1$   $f2$   $\alpha 2$   $t2$ )
    then obtain  $p$  where  $f1=f2 \wedge (bn \alpha 1, t1) \approx_{set} (=_{\alpha}) (supp-rel (=_{\alpha})) p (bn \alpha 2, t2) \wedge (bn \alpha 1, \alpha 1) \approx_{set} (=) supp p (bn \alpha 2, \alpha 2)$ 
    by auto
    then have  $f1=f2 \wedge (bn \alpha 2, t2) \approx_{set} (=_{\alpha}) (supp-rel (=_{\alpha})) (-p) (bn \alpha 1, t1) \wedge (bn \alpha 2, \alpha 2) \approx_{set} (=) supp (-p) (bn \alpha 1, \alpha 1)$ 
    using tAct.IH by (metis (mono-tags, lifting) alpha-Tree-eqvt alpha-sym(1) permute-minus-cancel(2))
    then show ?case
    by auto
  qed simp-all
qed

```

```

lemma alpha-Tree-transp: transp alpha-Tree

```

```

proof (rule transpI)
  fix x y z:: ('a,'b,'c,'d) Tree
  assume x =α y and y =α z
  then show x =α z
  proof (induction x y arbitrary: z rule: alpha-Tree-induct)
    case (tConj tset-x tset-y) show ?case
      proof (cases z)
        fix tset-z
        assume z: z = tConj tset-z
        have rel-bset (=α) tset-x tset-z
          unfolding rel-bset.rep-eq rel-set-def Ball-def Bex-def
        proof
          show  $\forall x'. x' \in \text{set-bset } tset-x \longrightarrow (\exists z'. z' \in \text{set-bset } tset-z \wedge x' =_{\alpha} z')$ 
          proof (rule allI, rule impI)
            fix x' assume 1: x' ∈ set-bset tset-x
            then obtain y' where 2: y' ∈ set-bset tset-y and 3: x' =α y'
              by (metis rel-bset.rep-eq rel-set-def tConj.hyps)
            from 2 obtain z' where 4: z' ∈ set-bset tset-z and 5: y' =α z'
              by (metis alpha-tConj rel-bset.rep-eq rel-set-def tConj.prem1)
            from 1 2 3 5 have x' =α z'
              by (rule tConj.IH)
            with 4 show  $\exists z'. z' \in \text{set-bset } tset-z \wedge x' =_{\alpha} z'$ 
              by auto
          qed
        next
          show  $\forall z'. z' \in \text{set-bset } tset-z \longrightarrow (\exists x'. x' \in \text{set-bset } tset-x \wedge x' =_{\alpha} z')$ 
          proof (rule allI, rule impI)
            fix z' assume 1: z' ∈ set-bset tset-z
            then obtain y' where 2: y' ∈ set-bset tset-y and 3: y' =α z'
              by (metis alpha-tConj rel-bset.rep-eq rel-set-def tConj.prem1)
            from 2 obtain x' where 4: x' ∈ set-bset tset-x and 5: x' =α y'
              by (metis rel-bset.rep-eq rel-set-def tConj.hyps)
            from 4 2 5 3 have x' =α z'
              by (rule tConj.IH)
            with 4 show  $\exists x'. x' \in \text{set-bset } tset-x \wedge x' =_{\alpha} z'$ 
              by auto
          qed
        qed
      with z show tConj tset-x =α z
        by simp
      qed (insert tConj.prem1, auto)
    next
      case tNot then show ?case
        by (cases z) simp-all
    next
      case tPred then show ?case
        by simp
    next
      case (tAct f1 α1 t1 f2 α2 t2) show ?case

```

```

proof (cases z)
  fix f  $\alpha$  t
  assume z: z = tAct f  $\alpha$  t
  obtain p where 1: f1=f2  $\wedge$  (bn  $\alpha$ 1, t1)  $\approx_{\text{set}} (=_{\alpha})$  (supp-rel (=  $\alpha$ )) p (bn
 $\alpha$ 2, t2)  $\wedge$  (bn  $\alpha$ 1,  $\alpha$ 1)  $\approx_{\text{set}} (=)$  supp p (bn  $\alpha$ 2,  $\alpha$ 2)
  using tAct.hyps by auto
  obtain q where 2: f2=f  $\wedge$  (bn  $\alpha$ 2, t2)  $\approx_{\text{set}} (=_{\alpha})$  (supp-rel (=  $\alpha$ )) q (bn  $\alpha$ ,
t)  $\wedge$  (bn  $\alpha$ 2,  $\alpha$ 2)  $\approx_{\text{set}} (=)$  supp q (bn  $\alpha$ ,  $\alpha$ )
  using tAct.prem1 by auto
  have f1=f  $\wedge$  (bn  $\alpha$ 1, t1)  $\approx_{\text{set}} (=_{\alpha})$  (supp-rel (=  $\alpha$ )) (q + p) (bn  $\alpha$ , t)
  proof -
    have supp-rel (=  $\alpha$ ) t1 - bn  $\alpha$ 1 = supp-rel (=  $\alpha$ ) t - bn  $\alpha$ 
      using 1 and 2 by (metis alpha-set)
    moreover have (supp-rel (=  $\alpha$ ) t1 - bn  $\alpha$ 1)  $\ddagger^*$  (q + p)
      using 1 and 2 by (metis alpha-set fresh-star-plus)
    moreover have (q + p)  $\cdot$  t1 =  $\alpha$  t
      using 1 and 2 and tAct.IH by (metis (no-types, lifting) alpha-Tree-eqvt
alpha-set permute-minus-cancel(1) permute-plus)
    moreover have (q + p)  $\cdot$  bn  $\alpha$ 1 = bn  $\alpha$ 
      using 1 and 2 by (metis alpha-set permute-plus)
    moreover have f1=f
      using 1 and 2 by simp
    ultimately show ?thesis
      by (metis alpha-set)
  qed
  moreover have (bn  $\alpha$ 1,  $\alpha$ 1)  $\approx_{\text{set}} (=)$  supp (q + p) (bn  $\alpha$ ,  $\alpha$ )
    using 1 and 2 by (metis (mono-tags) alpha-trans(1) permute-plus)
  ultimately show tAct f1  $\alpha$ 1 t1 =  $\alpha$  z
    using z by auto
  qed (insert tAct.prem1, auto)
qed
qed

```

**lemma** alpha-Tree-equivp: equivp alpha-Tree  
**by** (auto intro: equivpI alpha-Tree-reflp alpha-Tree-symp alpha-Tree-transp)

$\alpha$ -equivalent trees have the same support modulo  $\alpha$ -equivalence.

```

lemma alpha-Tree-supp-rel:
  assumes t1 =  $\alpha$  t2
  shows supp-rel (=  $\alpha$ ) t1 = supp-rel (=  $\alpha$ ) t2
using assms proof (induction rule: alpha-Tree-induct)
  case (tConj tset1 tset2)
  have sym:  $\bigwedge x y.$  rel-bset (=  $\alpha$ ) x y  $\longleftrightarrow$  rel-bset (=  $\alpha$ ) y x
    by (meson alpha-Tree-symp bset.rel-symp sympE)
  {
    fix a b
    from tConj.hyps have *: rel-bset (=  $\alpha$ ) ((a  $\equiv$  b)  $\cdot$  tset1) ((a  $\equiv$  b)  $\cdot$  tset2)
      by (metis alpha-tConj alpha-Tree-eqvt permute-Tree-tConj)
    have rel-bset (=  $\alpha$ ) ((a  $\equiv$  b)  $\cdot$  tset1) tset1  $\longleftrightarrow$  rel-bset (=  $\alpha$ ) ((a  $\equiv$  b)  $\cdot$  tset2)

```

```

tset2
  by (rule iffI) (metis * alpha-Tree-transp bset.rel-transp sym tConj.hyps
transpE)+
}
then show ?case
  by (simp add: supp-rel-def)
next
case tNot then show ?case
  by (simp add: supp-rel-def)
next
case (tAct f1  $\alpha$ 1 t1 f2  $\alpha$ 2 t2)
{
  fix a b
  have tAct f1  $\alpha$ 1 t1 = $_{\alpha}$  tAct f2  $\alpha$ 2 t2
    using tAct.hyps by simp
  then have (a  $\rightleftharpoons$  b)  $\cdot$  tAct f1  $\alpha$ 1 t1 = $_{\alpha}$  tAct f1  $\alpha$ 1 t1  $\longleftrightarrow$  (a  $\rightleftharpoons$  b)  $\cdot$  tAct f2
 $\alpha$ 2 t2 = $_{\alpha}$  tAct f2  $\alpha$ 2 t2
    by (metis (no-types, lifting) alpha-Tree-eqvt alpha-Tree-symp alpha-Tree-transp
sympE transpE)
}
then show ?case
  by (simp add: supp-rel-def)
qed simp-all

```

$tAct$  preserves  $\alpha$ -equivalence.

**lemma** *alpha-Tree-tAct*:

**assumes**  $t1 =_{\alpha} t2$

**shows**  $tAct f \alpha t1 =_{\alpha} tAct f \alpha t2$

**proof** –

**have**  $(bn \alpha, t1) \approx_{set} (=_{\alpha}) (supp-rel (=_{\alpha})) 0 (bn \alpha, t2)$

**using** *assms* **by** (simp add: alpha-Tree-supp-rel alpha-set fresh-star-zero)

**moreover** **have**  $(bn \alpha, \alpha) \approx_{set} (=) supp 0 (bn \alpha, \alpha)$

**by** (metis (full-types) alpha-refl(1))

**ultimately** **show** *?thesis*

**by** *auto*

**qed**

The following lemmas describe the support modulo  $\alpha$ -equivalence.

**lemma** *supp-rel-tNot* [*simp*]:  $supp-rel (=_{\alpha}) (tNot t) = supp-rel (=_{\alpha}) t$

**unfolding** *supp-rel-def* **by** *simp*

**lemma** *supp-rel-tPred* [*simp*]:  $supp-rel (=_{\alpha}) (tPred f \varphi) = supp f \cup supp \varphi$

**unfolding** *supp-rel-def supp-def* **by** (simp add: Collect-imp-eq Collect-neg-eq)

The support modulo  $\alpha$ -equivalence of  $tAct \alpha t$  is not easily described: when  $t$  has infinite support (modulo  $\alpha$ -equivalence), the support (modulo  $\alpha$ -equivalence) of  $tAct \alpha t$  may still contain names in  $bn \alpha$ . This incongruity is avoided when  $t$  has finite support modulo  $\alpha$ -equivalence.

**lemma** *infinite-mono*:  $infinite S \implies (\bigwedge x. x \in S \implies x \in T) \implies infinite T$



by (*metis infinite-super subsetI*)

**lemma** *supp-rel-tAct [simp]*:

**assumes** *finite (supp-rel (=α) t)*

**shows** *supp-rel (=α) (tAct f α t) = supp f ∪ (supp α ∪ supp-rel (=α) t - bn α)*

**proof**

**show** *supp f ∪ (supp α ∪ supp-rel (=α) t - bn α) ⊆ supp-rel (=α) (tAct f α t)*

**proof**

**fix** *x*

**assume** *x ∈ supp f ∪ (supp α ∪ supp-rel (=α) t - bn α)*

**moreover**

{

**assume** *x1: x ∈ supp f*

**from** *x1* **have** *infinite {b. (x ⇒ b) · f ≠ f}*

**unfolding** *supp-def ..*

**then have** *infinite ({b. (x ⇒ b) · f ≠ f} - supp f)*

**by** (*simp add: finite-supp*)

**moreover**

{

**fix** *b*

**assume** *b ∈ {b. (x ⇒ b) · f ≠ f} - supp f*

**then have** *b1: (x ⇒ b) · f ≠ f* **and** *b2: b ∉ supp f*

**by** *simp+*

**then have** *sort-of x = sort-of b*

**using** *swap-different-sorts* **by** *fastforce*

**then have** *(x ⇒ b) · supp f ≠ supp f*

**using** *b2 x1* **using** *swap-set-in* **by** *blast*

**then have** *b ∈ {b. ¬ (x ⇒ b) · tAct f α t =α tAct f α t}*

**by** *auto*

}

**ultimately have** *infinite {b. ¬ (x ⇒ b) · tAct f α t =α tAct f α t}*

**by** (*rule infinite-mono*)

**then have** *x ∈ supp-rel (=α) (tAct f α t)*

**unfolding** *supp-rel-def ..*

}

**moreover**

{

**assume** *x1: x ∈ supp α* **and** *x2: x ∉ bn α*

**from** *x1* **have** *infinite {b. (x ⇒ b) · α ≠ α}*

**unfolding** *supp-def ..*

**then have** *infinite ({b. (x ⇒ b) · α ≠ α} - supp α)*

**by** (*simp add: finite-supp*)

**moreover**

{

**fix** *b*

**assume** *b ∈ {b. (x ⇒ b) · α ≠ α} - supp α*

**then have** *b1: (x ⇒ b) · α ≠ α* **and** *b2: b ∉ supp α - bn α*

**by** *simp+*

**from** *b1* **have** *sort-of x = sort-of b*

```

    using swap-different-sorts by fastforce
  then have  $(x \equiv b) \cdot (\text{supp } \alpha - \text{bn } \alpha) \neq \text{supp } \alpha - \text{bn } \alpha$ 
    using b2 x1 x2 by (simp add: swap-set-in)
  then have  $b \in \{b. \neg (x \equiv b) \cdot t \text{Act } f \ \alpha \ t =_{\alpha} t \text{Act } f \ \alpha \ t\}$ 
    by (auto simp add: alpha-set Diff-eqvt bn-eqvt)
}
ultimately have infinite  $\{b. \neg (x \equiv b) \cdot t \text{Act } f \ \alpha \ t =_{\alpha} t \text{Act } f \ \alpha \ t\}$ 
  by (rule infinite-mono)
then have  $x \in \text{supp-rel } (=_{\alpha}) (t \text{Act } f \ \alpha \ t)$ 
  unfolding supp-rel-def ..
}
moreover
{
  assume x1:  $x \in \text{supp-rel } (=_{\alpha}) t$  and x2:  $x \notin \text{bn } \alpha$ 
  from x1 have infinite  $\{b. \neg (x \equiv b) \cdot t =_{\alpha} t\}$ 
    unfolding supp-rel-def ..
  then have infinite  $(\{b. \neg (x \equiv b) \cdot t =_{\alpha} t\} - \text{supp-rel } (=_{\alpha}) t)$ 
    using assms by simp
  moreover
  {
    fix b
    assume  $b \in \{b. \neg (x \equiv b) \cdot t =_{\alpha} t\} - \text{supp-rel } (=_{\alpha}) t$ 
    then have b1:  $\neg (x \equiv b) \cdot t =_{\alpha} t$  and b2:  $b \notin \text{supp-rel } (=_{\alpha}) t - \text{bn } \alpha$ 
      by simp+
    from b1 have  $(x \equiv b) \cdot t \neq t$ 
      by (metis alpha-Tree-reflp reflpE)
    then have sort-of  $x = \text{sort-of } b$ 
      using swap-different-sorts by fastforce
    then have  $(x \equiv b) \cdot (\text{supp-rel } (=_{\alpha}) t - \text{bn } \alpha) \neq \text{supp-rel } (=_{\alpha}) t - \text{bn } \alpha$ 
      using b2 x1 x2 by (simp add: swap-set-in)
    then have  $\text{supp-rel } (=_{\alpha}) ((x \equiv b) \cdot t) - \text{bn } ((x \equiv b) \cdot \alpha) \neq \text{supp-rel } (=_{\alpha}) t - \text{bn } \alpha$ 
      by (simp add: Diff-eqvt bn-eqvt)
    then have  $b \in \{b. \neg (x \equiv b) \cdot t \text{Act } f \ \alpha \ t =_{\alpha} t \text{Act } f \ \alpha \ t\}$ 
      by (simp add: alpha-set)
  }
  ultimately have infinite  $\{b. \neg (x \equiv b) \cdot t \text{Act } f \ \alpha \ t =_{\alpha} t \text{Act } f \ \alpha \ t\}$ 
    by (rule infinite-mono)
  then have  $x \in \text{supp-rel } (=_{\alpha}) (t \text{Act } f \ \alpha \ t)$ 
    unfolding supp-rel-def ..
}
ultimately show  $x \in \text{supp-rel } (=_{\alpha}) (t \text{Act } f \ \alpha \ t)$ 
  by auto
qed
next
show  $\text{supp-rel } (=_{\alpha}) (t \text{Act } f \ \alpha \ t) \subseteq \text{supp } f \cup (\text{supp } \alpha \cup \text{supp-rel } (=_{\alpha}) t - \text{bn } \alpha)$ 
proof
  fix x
  assume  $x \in \text{supp-rel } (=_{\alpha}) (t \text{Act } f \ \alpha \ t)$ 

```

**then have** \*: *infinite*  $\{b. \neg (x \equiv b) \cdot tAct f \alpha t =_{\alpha} tAct f \alpha t\}$   
**unfolding** *supp-rel-def ..*  
**moreover**  
{  
**fix**  $b$   
**assume**  $\neg (x \equiv b) \cdot tAct f \alpha t =_{\alpha} tAct f \alpha t$   
**then have**  $(x \equiv b) \cdot f \neq f \vee (x \equiv b) \cdot \alpha \neq \alpha \vee \neg (x \equiv b) \cdot t =_{\alpha} t$   
**using** *alpha-Tree-tAct by force*  
}  
**ultimately have** *infinite*  $\{b. (x \equiv b) \cdot f \neq f \vee (x \equiv b) \cdot \alpha \neq \alpha \vee \neg (x \equiv b) \cdot t =_{\alpha} t\}$   
**using** *infinite-mono mem-Collect-eq by force*  
**then have** *infinite*  $\{b. (x \equiv b) \cdot f \neq f\} \vee$  *infinite*  $\{b. (x \equiv b) \cdot \alpha \neq \alpha\} \vee$   
*infinite*  $\{b. \neg (x \equiv b) \cdot t =_{\alpha} t\}$   
**by** (*metis (mono-tags) finite-Collect-disjI*)  
**then have**  $x \in supp f \cup supp \alpha \cup supp-rel (=_{\alpha}) t$   
**by** (*simp add: supp-def supp-rel-def*)  
**moreover**  
{  
**assume** \*\*:  $x \in bn \alpha \wedge x \notin supp f$   
**from** \* **obtain**  $b$  **where**  $b0: \neg (x \equiv b) \cdot tAct f \alpha t =_{\alpha} tAct f \alpha t$  **and**  $b1: b \notin supp f$  **and**  $b2: b \notin supp \alpha$  **and**  $b3: b \notin supp-rel (=_{\alpha}) t$   
**using** *assms by (metis (no-types, lifting) UnCI finite-UnI finite-supp infinite-mono mem-Collect-eq)*  
**let**  $?p = (x \equiv b)$   
**have**  $supp-rel (=_{\alpha}) ((x \equiv b) \cdot t) - bn ((x \equiv b) \cdot \alpha) = supp-rel (=_{\alpha}) t - bn \alpha$   
**using** \*\* **and**  $b3$  **by** (*metis (no-types, lifting) Diff-eqt Diff-iff alpha-Tree-eqt' alpha-Tree-eqt-aux bn-eqt swap-set-not-in*)  
**moreover then have**  $(supp-rel (=_{\alpha}) ((x \equiv b) \cdot t) - bn ((x \equiv b) \cdot \alpha)) \#* ?p$   
**using** \*\* **and**  $b3$  **by** (*metis Diff-iff fresh-perm fresh-star-def swap-atom-simps(3)*)  
**moreover have**  $?p \cdot (x \equiv b) \cdot t =_{\alpha} t$   
**using** *alpha-Tree-reflp reflpE by force*  
**moreover have**  $?p \cdot bn ((x \equiv b) \cdot \alpha) = bn \alpha$   
**by** (*simp add: bn-eqt*)  
**moreover have**  $supp ((x \equiv b) \cdot \alpha) - bn ((x \equiv b) \cdot \alpha) = supp \alpha - bn \alpha$   
**using** \*\* **and**  $b2$  **by** (*metis (mono-tags, opaque-lifting) Diff-eqt Diff-iff bn-eqt supp-eqt swap-set-not-in*)  
**moreover then have**  $(supp ((x \equiv b) \cdot \alpha) - bn ((x \equiv b) \cdot \alpha)) \#* ?p$   
**using** \*\* **and**  $b2$  **by** (*simp add: fresh-star-def fresh-def supp-perm*) (*metis Diff-iff swap-atom-simps(3)*)  
**moreover have**  $?p \cdot (x \equiv b) \cdot \alpha = \alpha$   
**by** *simp*  
**ultimately have**  $\exists p. (bn ((x \equiv b) \cdot \alpha), (x \equiv b) \cdot t) \approx_{set} (=_{\alpha}) supp-rel (=_{\alpha}) p (bn \alpha, t) \wedge$   
 $(bn ((x \equiv b) \cdot \alpha), (x \equiv b) \cdot \alpha) \approx_{set} (=) supp p (bn \alpha, \alpha)$   
**by** (*auto simp add: alpha-set.simps*)  
**moreover have**  $(x \equiv b) \cdot f = f$  **using** \*\* **and**  $b1$   
**by** (*simp add: fresh-def swap-fresh-fresh*)

```

    ultimately have  $(x \equiv b) \cdot tAct\ f\ \alpha\ t =_{\alpha} tAct\ f\ \alpha\ t$ 
      by simp
    with b0 have False ..
  }
  ultimately show  $x \in supp\ f \cup (supp\ \alpha \cup supp\text{-rel}\ (=_{\alpha})\ t - bn\ \alpha)$ 
    by blast
qed
qed

```

We define the type of (infinitely branching) trees quotiented by  $\alpha$ -equivalence.

**quotient-type**

```

('idx,'pred,'act,'eff) Tree $_{\alpha}$  = ('idx,'pred::pt,'act::bn,'eff::fs) Tree / alpha-Tree
by (fact alpha-Tree-equivp)

```

**lemma** *Tree $_{\alpha}$ -abs-rep* [*simp*]: *abs-Tree $_{\alpha}$*  (*rep-Tree $_{\alpha}$*  *t $_{\alpha}$* ) = *t $_{\alpha}$*   
**by** (*metis Quotient-Tree $_{\alpha}$  Quotient-abs-rep*)

**lemma** *Tree $_{\alpha}$ -rep-abs* [*simp*]: *rep-Tree $_{\alpha}$*  (*abs-Tree $_{\alpha}$*  *t*) = $_{\alpha}$  *t*  
**by** (*metis Tree $_{\alpha}$ .abs-eq-iff Tree $_{\alpha}$ -abs-rep*)

The permutation operation is lifted from trees.

**instantiation** *Tree $_{\alpha}$*  :: (*type*, *pt*, *bn*, *fs*) *pt*  
**begin**

```

lift-definition permute-Tree $_{\alpha}$  :: perm  $\Rightarrow$  ('a,'b,'c,'d) Tree $_{\alpha}$   $\Rightarrow$  ('a,'b,'c,'d) Tree $_{\alpha}$ 
  is permute
  by (fact alpha-Tree-eqvt)

```

**instance**

**proof**

```

  fix t $_{\alpha}$  :: (-,-,-) Tree $_{\alpha}$ 

```

```

  show  $0 \cdot t_{\alpha} = t_{\alpha}$ 

```

```

    by transfer (metis alpha-Tree-equivp equivp-reflp permute-zero)

```

**next**

```

  fix p q :: perm and t $_{\alpha}$  :: (-,-,-) Tree $_{\alpha}$ 

```

```

  show  $(p + q) \cdot t_{\alpha} = p \cdot q \cdot t_{\alpha}$ 

```

```

    by transfer (metis alpha-Tree-equivp equivp-reflp permute-plus)

```

**qed**

**end**

The abstraction function from trees to trees modulo  $\alpha$ -equivalence is equivariant. The representation function is equivariant modulo  $\alpha$ -equivalence.

**lemmas** *permute-Tree $_{\alpha}$ .abs-eq* [*eqvt*, *simp*]

**lemma** *alpha-Tree-permute-rep-commute* [*simp*]:  $p \cdot rep\text{-}Tree_{\alpha}\ t_{\alpha} =_{\alpha} rep\text{-}Tree_{\alpha}\ (p \cdot t_{\alpha})$

**by** (*metis Tree $_{\alpha}$ .abs-eq-iff Tree $_{\alpha}$ -abs-rep permute-Tree $_{\alpha}$ .abs-eq*)

### 14.3 Constructors for trees modulo $\alpha$ -equivalence

The constructors are lifted from trees.

**lift-definition**  $Conj_\alpha :: ('idx, 'pred, 'act, 'eff) Tree_\alpha \text{ set}['idx] \Rightarrow ('idx, 'pred::pt, 'act::bn, 'eff::fs) Tree_\alpha$  **is**  
 $tConj$   
**by** *simp*

**lemma**  $map-bset-abs-rep-Tree_\alpha: map-bset abs-Tree_\alpha (map-bset rep-Tree_\alpha tset_\alpha) = tset_\alpha$   
**by** (*metis (full-types) Quotient-Tree\_\alpha Quotient-abs-rep bset-lifting.bset-quot-map*)

**lemma**  $Conj_\alpha\text{-def}': Conj_\alpha tset_\alpha = abs-Tree_\alpha (tConj (map-bset rep-Tree_\alpha tset_\alpha))$   
**by** (*metis Conj\_\alpha.abs-eq map-bset-abs-rep-Tree\_\alpha*)

**lift-definition**  $Not_\alpha :: ('idx, 'pred, 'act, 'eff) Tree_\alpha \Rightarrow ('idx, 'pred::pt, 'act::bn, 'eff::fs) Tree_\alpha$  **is**  
 $tNot$   
**by** *simp*

**lift-definition**  $Pred_\alpha :: 'eff \Rightarrow 'pred \Rightarrow ('idx, 'pred::pt, 'act::bn, 'eff::fs) Tree_\alpha$  **is**  
 $tPred$

.

**lift-definition**  $Act_\alpha :: 'eff \Rightarrow 'act \Rightarrow ('idx, 'pred, 'act, 'eff) Tree_\alpha \Rightarrow ('idx, 'pred::pt, 'act::bn, 'eff::fs) Tree_\alpha$  **is**  
 $tAct$   
**by** (*fact alpha-Tree-tAct*)

The lifted constructors are equivariant.

**lemma**  $Conj_\alpha\text{-eqvt} [eqvt, simp]: p \cdot Conj_\alpha tset_\alpha = Conj_\alpha (p \cdot tset_\alpha)$

**proof** –

{  
**fix**  $x$   
**assume**  $x \in \text{set-bset} (p \cdot \text{map-bset rep-Tree}_\alpha tset_\alpha)$   
**then obtain**  $y$  **where**  $y \in \text{set-bset} (\text{map-bset rep-Tree}_\alpha tset_\alpha)$  **and**  $x = p \cdot y$   
**by** (*metis imageE permute-bset.rep-eq permute-set-eq-image*)  
**then obtain**  $t_\alpha$  **where**  $1: t_\alpha \in \text{set-bset } tset_\alpha$  **and**  $2: x = p \cdot \text{rep-Tree}_\alpha t_\alpha$   
**by** (*metis imageE map-bset.rep-eq*)  
**let**  $?x' = \text{rep-Tree}_\alpha (p \cdot t_\alpha)$   
**from**  $1$  **have**  $p \cdot t_\alpha \in \text{set-bset} (p \cdot tset_\alpha)$   
**by** (*metis mem-permute-iff permute-bset.rep-eq*)  
**then have**  $?x' \in \text{set-bset} (\text{map-bset rep-Tree}_\alpha (p \cdot tset_\alpha))$   
**by** (*simp add: bset.set-map*)  
**moreover from**  $2$  **have**  $x =_\alpha ?x'$   
**by** (*metis alpha-Tree-permute-rep-commute*)  
**ultimately have**  $\exists x' \in \text{set-bset} (\text{map-bset rep-Tree}_\alpha (p \cdot tset_\alpha)). x =_\alpha x'$   
 ..  
}

**moreover**  
**{**  
    **fix**  $y$   
    **assume**  $y \in \text{set-bset } (\text{map-bset rep-Tree}_\alpha (p \cdot \text{tset}_\alpha))$   
    **then obtain**  $x$  **where**  $x \in \text{set-bset } (p \cdot \text{tset}_\alpha)$  **and**  $\text{rep-Tree}_\alpha x = y$   
    by  $(\text{metis imageE map-bset.rep-eq})$   
    **then obtain**  $t_\alpha$  **where**  $1: t_\alpha \in \text{set-bset tset}_\alpha$  **and**  $2: \text{rep-Tree}_\alpha (p \cdot t_\alpha) = y$   
    by  $(\text{metis imageE permute-bset.rep-eq permute-set-eq-image})$   
    **let**  $?y' = p \cdot \text{rep-Tree}_\alpha t_\alpha$   
    **from**  $1$  **have**  $\text{rep-Tree}_\alpha t_\alpha \in \text{set-bset } (\text{map-bset rep-Tree}_\alpha \text{tset}_\alpha)$   
    by  $(\text{simp add: bset.set-map})$   
    **then have**  $?y' \in \text{set-bset } (p \cdot \text{map-bset rep-Tree}_\alpha \text{tset}_\alpha)$   
    by  $(\text{metis mem-permute-iff permute-bset.rep-eq})$   
    **moreover from**  $2$  **have**  $?y' =_\alpha y$   
    by  $(\text{metis alpha-Tree-permute-rep-commute})$   
    **ultimately have**  $\exists y' \in \text{set-bset } (p \cdot \text{map-bset rep-Tree}_\alpha \text{tset}_\alpha). y' =_\alpha y$   
    ..  
**}**  
**ultimately show**  $?thesis$   
by  $(\text{simp add: Conj}_\alpha\text{-def' map-bset-eqvt rel-bset-def rel-set-def Tree}_\alpha\text{-abs-eq-iff})$   
**qed**

**lemma**  $\text{Not}_\alpha\text{-eqvt } [\text{eqvt, simp}]: p \cdot \text{Not}_\alpha t_\alpha = \text{Not}_\alpha (p \cdot t_\alpha)$   
**by**  $(\text{induct } t_\alpha) (\text{simp add: Not}_\alpha\text{-abs-eq})$

**lemma**  $\text{Pred}_\alpha\text{-eqvt } [\text{eqvt, simp}]: p \cdot \text{Pred}_\alpha f \varphi = \text{Pred}_\alpha (p \cdot f) (p \cdot \varphi)$   
**by**  $(\text{simp add: Pred}_\alpha\text{-abs-eq})$

**lemma**  $\text{Act}_\alpha\text{-eqvt } [\text{eqvt, simp}]: p \cdot \text{Act}_\alpha f \alpha t_\alpha = \text{Act}_\alpha (p \cdot f) (p \cdot \alpha) (p \cdot t_\alpha)$   
**by**  $(\text{induct } t_\alpha) (\text{simp add: Act}_\alpha\text{-abs-eq})$

The lifted constructors are injective (except for  $\text{Act}_\alpha$ ).

**lemma**  $\text{Conj}_\alpha\text{-eq-iff } [\text{simp}]: \text{Conj}_\alpha \text{tset1}_\alpha = \text{Conj}_\alpha \text{tset2}_\alpha \longleftrightarrow \text{tset1}_\alpha = \text{tset2}_\alpha$   
**proof**  
    **assume**  $\text{Conj}_\alpha \text{tset1}_\alpha = \text{Conj}_\alpha \text{tset2}_\alpha$   
    **then have**  $t\text{Conj } (\text{map-bset rep-Tree}_\alpha \text{tset1}_\alpha) =_\alpha t\text{Conj } (\text{map-bset rep-Tree}_\alpha \text{tset2}_\alpha)$   
    by  $(\text{metis Conj}_\alpha\text{-def' Tree}_\alpha\text{-abs-eq-iff})$   
    **then have**  $\text{rel-bset } (=_\alpha) (\text{map-bset rep-Tree}_\alpha \text{tset1}_\alpha) (\text{map-bset rep-Tree}_\alpha \text{tset2}_\alpha)$   
    by  $(\text{auto elim: alpha-Tree.cases})$   
    **then show**  $\text{tset1}_\alpha = \text{tset2}_\alpha$   
    **using**  $\text{Quotient-Tree}_\alpha \text{Quotient-rel-abs2 bset-lifting.bset-quot-map map-bset-abs-rep-Tree}_\alpha$   
**by**  $\text{fastforce}$   
**qed**  $(\text{fact arg-cong})$

**lemma**  $\text{Not}_\alpha\text{-eq-iff } [\text{simp}]: \text{Not}_\alpha t1_\alpha = \text{Not}_\alpha t2_\alpha \longleftrightarrow t1_\alpha = t2_\alpha$   
**proof**  
    **assume**  $\text{Not}_\alpha t1_\alpha = \text{Not}_\alpha t2_\alpha$   
    **then have**  $t\text{Not } (\text{rep-Tree}_\alpha t1_\alpha) =_\alpha t\text{Not } (\text{rep-Tree}_\alpha t2_\alpha)$

by (*metis* *Not<sub>α</sub>.abs-eq* *Tree<sub>α</sub>.abs-eq-iff* *Tree<sub>α</sub>.abs-rep*)  
**then have** *rep-Tree<sub>α</sub> t1<sub>α</sub> =<sub>α</sub> rep-Tree<sub>α</sub> t2<sub>α</sub>*  
 using *alpha-Tree.cases* **by** *auto*  
**then show** *t1<sub>α</sub> = t2<sub>α</sub>*  
 by (*metis* *Tree<sub>α</sub>.abs-eq-iff* *Tree<sub>α</sub>.abs-rep*)  
**next**  
 assume *t1<sub>α</sub> = t2<sub>α</sub>* **then show** *Not<sub>α</sub> t1<sub>α</sub> = Not<sub>α</sub> t2<sub>α</sub>*  
 by *simp*  
**qed**

**lemma** *Pred<sub>α</sub>-eq-iff* [*simp*]: *Pred<sub>α</sub> f1 φ1 = Pred<sub>α</sub> f2 φ2 ↔ f1 = f2 ∧ φ1 = φ2*  
**proof**  
 assume *Pred<sub>α</sub> f1 φ1 = Pred<sub>α</sub> f2 φ2*  
**then have** (*tPred f1 φ1 :: ('e, 'b, 'f, 'd) Tree*) =<sub>α</sub> *tPred f2 φ2* — note the  
 unrelated type  
 by (*metis* *Pred<sub>α</sub>.abs-eq* *Tree<sub>α</sub>.abs-eq-iff*)  
**then show** *f1 = f2 ∧ φ1 = φ2*  
 using *alpha-Tree.cases* **by** *auto*  
**next**  
 assume *f1 = f2 ∧ φ1 = φ2* **then show** *Pred<sub>α</sub> f1 φ1 = Pred<sub>α</sub> f2 φ2*  
 by *simp*  
**qed**

**lemma** *Act<sub>α</sub>-eq-iff*: *Act<sub>α</sub> f1 α1 t1 = Act<sub>α</sub> f2 α2 t2 ↔ tAct f1 α1 (rep-Tree<sub>α</sub> t1) =<sub>α</sub> tAct f2 α2 (rep-Tree<sub>α</sub> t2)*  
**by** (*metis* *Act<sub>α</sub>.abs-eq* *Tree<sub>α</sub>.abs-eq-iff* *Tree<sub>α</sub>.abs-rep*)

The lifted constructors are free (except for *Act<sub>α</sub>*).

**lemma** *Tree<sub>α</sub>-free* [*simp*]:  
 shows *Conj<sub>α</sub> tset<sub>α</sub> ≠ Not<sub>α</sub> t<sub>α</sub>*  
 and *Conj<sub>α</sub> tset<sub>α</sub> ≠ Pred<sub>α</sub> f φ*  
 and *Conj<sub>α</sub> tset<sub>α</sub> ≠ Act<sub>α</sub> f α t<sub>α</sub>*  
 and *Not<sub>α</sub> t<sub>α</sub> ≠ Pred<sub>α</sub> f φ*  
 and *Not<sub>α</sub> t1<sub>α</sub> ≠ Act<sub>α</sub> f α t2<sub>α</sub>*  
 and *Pred<sub>α</sub> f1 φ ≠ Act<sub>α</sub> f2 α t<sub>α</sub>*  
**by** (*simp add: Conj<sub>α</sub>-def' Not<sub>α</sub>-def Pred<sub>α</sub>-def Act<sub>α</sub>-def Tree<sub>α</sub>.abs-eq-iff*)<sup>+</sup>

The following lemmas describe the support of constructed trees modulo  $\alpha$ -equivalence.

**lemma** *supp-alpha-supp-rel*: *supp t<sub>α</sub> = supp-rel (=α) (rep-Tree<sub>α</sub> t<sub>α</sub>)*  
**unfolding** *supp-def supp-rel-def* **by** (*metis* (*mono-tags*, *lifting*) *Collect-cong* *Tree<sub>α</sub>.abs-eq-iff* *Tree<sub>α</sub>.abs-rep* *alpha-Tree-permute-rep-commute*)

**lemma** *supp-Conj<sub>α</sub>* [*simp*]: *supp (Conj<sub>α</sub> tset<sub>α</sub>) = supp tset<sub>α</sub>*  
**unfolding** *supp-def* **by** *simp*

**lemma** *supp-Not<sub>α</sub>* [*simp*]: *supp (Not<sub>α</sub> t<sub>α</sub>) = supp t<sub>α</sub>*  
**unfolding** *supp-def* **by** *simp*

**lemma** *supp-Pred<sub>α</sub>* [*simp*]:  $\text{supp } (\text{Pred}_\alpha f \varphi) = \text{supp } f \cup \text{supp } \varphi$   
**unfolding** *supp-def* **by** (*simp add: Collect-imp-eq Collect-neg-eq*)

**lemma** *supp-Act<sub>α</sub>* [*simp*]:  
**assumes** *finite* (*supp t<sub>α</sub>*)  
**shows**  $\text{supp } (\text{Act}_\alpha f \alpha t_\alpha) = \text{supp } f \cup (\text{supp } \alpha \cup \text{supp } t_\alpha - \text{bn } \alpha)$   
**using** *assms* **by** (*metis Act<sub>α</sub>.abs-eq Tree<sub>α</sub>-abs-rep Tree<sub>α</sub>-rep-abs alpha-Tree-supp-rel supp-alpha-supp-rel supp-rel-tAct*)

#### 14.4 Induction over trees modulo $\alpha$ -equivalence

**lemma** *Tree<sub>α</sub>-induct* [*case-names Conj<sub>α</sub> Not<sub>α</sub> Pred<sub>α</sub> Act<sub>α</sub> Env<sub>α</sub>*, *induct type: Tree<sub>α</sub>*]:

**fixes** *t<sub>α</sub>*  
**assumes**  $\bigwedge tset_\alpha. (\bigwedge x. x \in \text{set-bset } tset_\alpha \implies P x) \implies P (\text{Conj}_\alpha tset_\alpha)$   
**and**  $\bigwedge t_\alpha. P t_\alpha \implies P (\text{Not}_\alpha t_\alpha)$   
**and**  $\bigwedge f \text{ pred}. P (\text{Pred}_\alpha f \text{ pred})$   
**and**  $\bigwedge f \text{ act } t_\alpha. P t_\alpha \implies P (\text{Act}_\alpha f \text{ act } t_\alpha)$   
**shows**  $P t_\alpha$   
**proof** (*rule Tree<sub>α</sub>.abs-induct*)  
**fix** *t* **show**  $P (\text{abs-Tree}_\alpha t)$   
**proof** (*induction t*)  
**case** (*tConj tset*)  
**let**  $?tset_\alpha = \text{map-bset } \text{abs-Tree}_\alpha tset$   
**have**  $\text{abs-Tree}_\alpha (tConj tset) = \text{Conj}_\alpha ?tset_\alpha$   
**by** (*simp add: Conj<sub>α</sub>.abs-eq*)  
**then show** *?case*  
**using** *assms(1) tConj.IH* **by** (*metis imageE map-bset.rep-eq*)  
**next**  
**case** *tNot* **then show** *?case*  
**using** *assms(2)* **by** (*metis Not<sub>α</sub>.abs-eq*)  
**next**  
**case** *tPred* **show** *?case*  
**using** *assms(3)* **by** (*metis Pred<sub>α</sub>.abs-eq*)  
**next**  
**case** *tAct* **then show** *?case*  
**using** *assms(4)* **by** (*metis Act<sub>α</sub>.abs-eq*)  
**qed**  
**qed**

There is no (obvious) strong induction principle for trees modulo  $\alpha$ -equivalence: since their support may be infinite, we may not be able to rename bound variables without also renaming free variables.

#### 14.5 Hereditarily finitely supported trees

We cannot obtain the type of infinitary formulas simply as the sub-type of all trees (modulo  $\alpha$ -equivalence) that are finitely supported: since an infinite set of trees may be finitely supported even though its members are not (and



thus, would not be formulas), the sub-type of *all* finitely supported trees does not validate the induction principle that we desire for formulas.

Instead, we define *hereditarily* finitely supported trees. We require that environments and state predicates are finitely supported.

```
inductive hereditarily-fs :: ('idx,'pred::fs,'act::bn,'eff::fs) Tree $\alpha$   $\Rightarrow$  bool where
  Conj $\alpha$ : finite (supp tset $\alpha$ )  $\Longrightarrow$  ( $\bigwedge t_\alpha. t_\alpha \in \text{set-bset } tset_\alpha \Longrightarrow \text{hereditarily-fs } t_\alpha$ )
 $\Longrightarrow$  hereditarily-fs (Conj $\alpha$  tset $\alpha$ )
| Not $\alpha$ : hereditarily-fs t $\alpha$   $\Longrightarrow$  hereditarily-fs (Not $\alpha$  t $\alpha$ )
| Pred $\alpha$ : hereditarily-fs (Pred $\alpha$  f  $\varphi$ )
| Act $\alpha$ : hereditarily-fs t $\alpha$   $\Longrightarrow$  hereditarily-fs (Act $\alpha$  f  $\alpha$  t $\alpha$ )
```

*hereditarily-fs* is equivariant.

```
lemma hereditarily-fs-eqvt [eqvt]:
  assumes hereditarily-fs t $\alpha$ 
  shows hereditarily-fs (p  $\cdot$  t $\alpha$ )
using assms proof (induction rule: hereditarily-fs.induct)
  case Conj $\alpha$  then show ?case
    by (metis (erased, opaque-lifting) Conj $\alpha$ -eqvt hereditarily-fs.Conj $\alpha$  mem-permute-iff
    permute-finite permute-minus-cancel(1) set-bset-eqvt supp-eqvt)
  next
    case Not $\alpha$  then show ?case
      by (metis Not $\alpha$ -eqvt hereditarily-fs.Not $\alpha$ )
  next
    case Pred $\alpha$  then show ?case
      by (metis Pred $\alpha$ -eqvt hereditarily-fs.Pred $\alpha$ )
  next
    case Act $\alpha$  then show ?case
      by (metis Act $\alpha$ -eqvt hereditarily-fs.Act $\alpha$ )
qed
```

*hereditarily-fs* is preserved under  $\alpha$ -renaming.

```
lemma hereditarily-fs-alpha-renaming:
  assumes Act $\alpha$  f  $\alpha$  t $\alpha$  = Act $\alpha$  f'  $\alpha'$  t $\alpha'$ 
  shows hereditarily-fs t $\alpha$   $\longleftrightarrow$  hereditarily-fs t $\alpha'$ 
proof
  assume hereditarily-fs t $\alpha$ 
  then show hereditarily-fs t $\alpha'$ 
    using assms by (auto simp add: Act $\alpha$ -def Tree $\alpha$ .abs-eq-iff alphas) (metis
    Tree $\alpha$ .abs-eq-iff Tree $\alpha$ -abs-rep hereditarily-fs-eqvt permute-Tree $\alpha$ .abs-eq)
  next
    assume hereditarily-fs t $\alpha'$ 
    then show hereditarily-fs t $\alpha$ 
      using assms by (auto simp add: Act $\alpha$ -def Tree $\alpha$ .abs-eq-iff alphas) (metis
      Tree $\alpha$ .abs-eq-iff Tree $\alpha$ -abs-rep hereditarily-fs-eqvt permute-Tree $\alpha$ .abs-eq permute-minus-cancel(2))
qed
```

Hereditarily finitely supported trees have finite support.

```
lemma hereditarily-fs-implies-finite-supp:
```

```

assumes hereditarily-fs  $t_\alpha$ 
shows finite (supp  $t_\alpha$ )
using assms by (induction rule: hereditarily-fs.induct) (simp-all add: finite-supp)

```

## 14.6 Infinitary formulas

Now, infinitary formulas are simply the sub-type of hereditarily finitely supported trees.

```

typedef ('idx,'pred::fs,'act::bn,'eff::fs) formula = { $t_\alpha::('idx,'pred,'act,'eff)$  Tree $_\alpha$ .
hereditarily-fs  $t_\alpha$ }
by (metis hereditarily-fs.Pred $_\alpha$  mem-Collect-eq)

```

We set up Isabelle's lifting infrastructure so that we can lift definitions from the type of trees modulo  $\alpha$ -equivalence to the sub-type of formulas.

```

setup-lifting type-definition-formula

```

```

lemma Abs-formula-inverse [simp]:
assumes hereditarily-fs  $t_\alpha$ 
shows Rep-formula (Abs-formula  $t_\alpha$ ) =  $t_\alpha$ 
using assms by (metis Abs-formula-inverse mem-Collect-eq)

```

```

lemma Rep-formula' [simp]: hereditarily-fs (Rep-formula  $x$ )
by (metis Rep-formula mem-Collect-eq)

```

Now we lift the permutation operation.

```

instantiation formula :: (type, fs, bn, fs) pt
begin

```

```

lift-definition permute-formula :: perm  $\Rightarrow$  ('a,'b,'c,'d) formula  $\Rightarrow$  ('a,'b,'c,'d)
formula
is permute
by (fact hereditarily-fs-eqvt)

```

```

instance
by standard (transfer, simp)+

```

```

end

```

The abstraction and representation functions for formulas are equivariant, and they preserve support.

```

lemma Abs-formula-eqvt [simp]:
assumes hereditarily-fs  $t_\alpha$ 
shows  $p \cdot$  Abs-formula  $t_\alpha$  = Abs-formula ( $p \cdot$   $t_\alpha$ )
by (metis assms eq-onp-same-args permute-formula.abs-eq)

```

```

lemma supp-Abs-formula [simp]:
assumes hereditarily-fs  $t_\alpha$ 
shows supp (Abs-formula  $t_\alpha$ ) = supp  $t_\alpha$ 

```

```

proof –
  {
    fix  $p :: perm$ 
    have  $p \cdot Abs\text{-formula } t_\alpha = Abs\text{-formula } (p \cdot t_\alpha)$ 
      using assms by (metis Abs-formula-eqvt)
    moreover have hereditarily-fs  $(p \cdot t_\alpha)$ 
      using assms by (metis hereditarily-fs-eqvt)
    ultimately have  $p \cdot Abs\text{-formula } t_\alpha = Abs\text{-formula } t_\alpha \longleftrightarrow p \cdot t_\alpha = t_\alpha$ 
      using assms by (metis Abs-formula-inverse)
  }
  then show ?thesis unfolding supp-def by simp
qed

```

**lemmas** *Rep-formula-eqvt* [*eqvt*, *simp*] = *permute-formula.rep-eq*[*symmetric*]

**lemma** *supp-Rep-formula* [*simp*]:  $supp (Rep\text{-formula } x) = supp x$   
**by** (*metis Rep-formula' Rep-formula-inverse supp-Abs-formula*)

**lemma** *supp-map-bset-Rep-formula* [*simp*]:  $supp (map\text{-bset } Rep\text{-formula } xset) = supp xset$

```

proof
  have eqvt (map-bset Rep-formula)
    unfolding eqvt-def by (simp add: ext)
  then show  $supp (map\text{-bset } Rep\text{-formula } xset) \subseteq supp xset$ 
    by (fact supp-fun-app-eqvt)
next
  {
    fix  $a :: atom$ 
    have inj (map-bset Rep-formula)
      by (metis bset.inj-map Rep-formula-inject injI)
    then have  $\bigwedge x y. x \neq y \implies map\text{-bset } Rep\text{-formula } x \neq map\text{-bset } Rep\text{-formula } y$ 
      by (metis inj-eq)
    then have  $\{b. (a \rightleftharpoons b) \cdot xset \neq xset\} \subseteq \{b. (a \rightleftharpoons b) \cdot map\text{-bset } Rep\text{-formula } xset \neq map\text{-bset } Rep\text{-formula } xset\}$  (is ?S  $\subseteq$  ?T)
      by auto
    then have infinite ?S  $\implies$  infinite ?T
      by (metis infinite-super)
  }
  then show  $supp xset \subseteq supp (map\text{-bset } Rep\text{-formula } xset)$ 
    unfolding supp-def by auto
qed

```

Formulas are in fact finitely supported.

**instance** *formula* :: (*type*, *fs*, *bn*, *fs*) *fs*  
**by** *standard* (*metis Rep-formula' hereditarily-fs-implies-finite-supp supp-Rep-formula*)

## 14.7 Constructors for infinitary formulas

We lift the constructors for trees (modulo  $\alpha$ -equivalence) to infinitary formulas. Since  $Conj_\alpha$  does not necessarily yield a (hereditarily) finitely supported tree when applied to a (potentially infinite) set of (hereditarily) finitely supported trees, we cannot use Isabelle's **lift\_definition** to define  $Conj$ . Instead, theorems about terms of the form  $Conj\ xset$  will usually carry an assumption that  $xset$  is finitely supported.

**definition**  $Conj :: ('idx, 'pred, 'act, 'eff) formula\ set['idx] \Rightarrow ('idx, 'pred::fs, 'act::bn, 'eff::fs) formula$  **where**  
 $Conj\ xset = Abs\ formula\ (Conj_\alpha\ (map\ bset\ Rep\ formula\ xset))$

**lemma**  $finite\ supp\ implies\ hereditarily\ fs\ Conj_\alpha$  [*simp*]:  
**assumes**  $finite\ (supp\ xset)$   
**shows**  $hereditarily\ fs\ (Conj_\alpha\ (map\ bset\ Rep\ formula\ xset))$   
**proof** (*rule hereditarily-fs.Conj $_\alpha$* )  
**show**  $finite\ (supp\ (map\ bset\ Rep\ formula\ xset))$   
**using** *assms* **by** (*metis supp-map-bset-Rep-formula*)  
**next**  
**fix**  $t_\alpha$  **assume**  $t_\alpha \in set\ bset\ (map\ bset\ Rep\ formula\ xset)$   
**then show**  $hereditarily\ fs\ t_\alpha$   
**by** (*auto simp add: bset.set-map*)  
**qed**

**lemma**  $Conj\ rep\ eq$ :  
**assumes**  $finite\ (supp\ xset)$   
**shows**  $Rep\ formula\ (Conj\ xset) = Conj_\alpha\ (map\ bset\ Rep\ formula\ xset)$   
**using** *assms* **unfolding**  $Conj\ def$  **by** *simp*

**lift-definition**  $Not :: ('idx, 'pred, 'act, 'eff) formula \Rightarrow ('idx, 'pred::fs, 'act::bn, 'eff::fs) formula$  **is**  
 $Not_\alpha$   
**by** (*fact hereditarily-fs.Not $_\alpha$* )

**lift-definition**  $Pred :: 'eff \Rightarrow 'pred \Rightarrow ('idx, 'pred::fs, 'act::bn, 'eff::fs) formula$  **is**  
 $Pred_\alpha$   
**by** (*fact hereditarily-fs.Pred $_\alpha$* )

**lift-definition**  $Act :: 'eff \Rightarrow 'act \Rightarrow ('idx, 'pred, 'act, 'eff) formula \Rightarrow ('idx, 'pred::fs, 'act::bn, 'eff::fs) formula$  **is**  
 $Act_\alpha$   
**by** (*fact hereditarily-fs.Act $_\alpha$* )

The lifted constructors are equivariant (in the case of  $Conj$ , on finitely supported arguments).

**lemma**  $Conj\ eqvt$  [*simp*]:  
**assumes**  $finite\ (supp\ xset)$   
**shows**  $p \cdot Conj\ xset = Conj\ (p \cdot xset)$

**using** *assms* **unfolding** *Conj-def* **by** *simp*

**lemma** *Not-eqvt* [*eqvt, simp*]:  $p \cdot \text{Not } x = \text{Not } (p \cdot x)$   
**by** *transfer simp*

**lemma** *Pred-eqvt* [*eqvt, simp*]:  $p \cdot \text{Pred } f \ \varphi = \text{Pred } (p \cdot f) \ (p \cdot \varphi)$   
**by** *transfer simp*

**lemma** *Act-eqvt* [*eqvt, simp*]:  $p \cdot \text{Act } f \ \alpha \ x = \text{Act } (p \cdot f) \ (p \cdot \alpha) \ (p \cdot x)$   
**by** *transfer simp*

The following lemmas describe the support of constructed formulas.

**lemma** *supp-Conj* [*simp*]:  
**assumes** *finite* (*supp xset*)  
**shows**  $\text{supp } (\text{Conj } xset) = \text{supp } xset$   
**using** *assms* **unfolding** *Conj-def* **by** *simp*

**lemma** *supp-Not* [*simp*]:  $\text{supp } (\text{Not } x) = \text{supp } x$   
**by** (*metis Not.rep-eq supp-Not<sub>α</sub> supp-Rep-formula*)

**lemma** *supp-Pred* [*simp*]:  $\text{supp } (\text{Pred } f \ \varphi) = \text{supp } f \cup \text{supp } \varphi$   
**by** (*metis Pred.rep-eq supp-Pred<sub>α</sub> supp-Rep-formula*)

**lemma** *supp-Act* [*simp*]:  $\text{supp } (\text{Act } f \ \alpha \ x) = \text{supp } f \cup (\text{supp } \alpha \cup \text{supp } x - \text{bn } \alpha)$   
**by** (*metis Act.rep-eq finite-supp supp-Act<sub>α</sub> supp-Rep-formula*)

The lifted constructors are injective (partially for *Act*).

**lemma** *Conj-eq-iff* [*simp*]:  
**assumes** *finite* (*supp xset1*) **and** *finite* (*supp xset2*)  
**shows**  $\text{Conj } xset1 = \text{Conj } xset2 \iff xset1 = xset2$   
**using** *assms*  
**by** (*metis (erased, opaque-lifting) Conj<sub>α</sub>-eq-iff Conj-rep-eq Rep-formula-inverse injI inj-eq bset.inj-map*)

**lemma** *Not-eq-iff* [*simp*]:  $\text{Not } x1 = \text{Not } x2 \iff x1 = x2$   
**by** (*metis Not.rep-eq Not<sub>α</sub>-eq-iff Rep-formula-inverse*)

**lemma** *Pred-eq-iff* [*simp*]:  $\text{Pred } f1 \ \varphi1 = \text{Pred } f2 \ \varphi2 \iff f1 = f2 \wedge \varphi1 = \varphi2$   
**by** (*metis Pred.rep-eq Pred<sub>α</sub>-eq-iff*)

**lemma** *Act-eq-iff*:  $\text{Act } f1 \ \alpha1 \ x1 = \text{Act } f2 \ \alpha2 \ x2 \iff \text{Act}_\alpha \ f1 \ \alpha1 \ (\text{Rep-formula } x1) = \text{Act}_\alpha \ f2 \ \alpha2 \ (\text{Rep-formula } x2)$   
**by** (*metis Act.rep-eq Rep-formula-inverse*)

Helpful lemmas for dealing with equalities involving *Act*.

**lemma** *Act-eq-iff-perm*:  $\text{Act } f1 \ \alpha1 \ x1 = \text{Act } f2 \ \alpha2 \ x2 \iff$   
 $f1 = f2 \wedge (\exists p. \text{supp } x1 - \text{bn } \alpha1 = \text{supp } x2 - \text{bn } \alpha2 \wedge (\text{supp } x1 - \text{bn } \alpha1) \#* p \wedge p \cdot x1 = x2 \wedge \text{supp } \alpha1 - \text{bn } \alpha1 = \text{supp } \alpha2 - \text{bn } \alpha2 \wedge (\text{supp } \alpha1 - \text{bn } \alpha1) \#* p \wedge p \cdot \alpha1 = \alpha2)$

(is ?l  $\longleftrightarrow$  ?r)

**proof**

**assume** \*: ?l

**then have** f1 = f2

**by** (metis Act-eq-iff Act $_{\alpha}$ -eq-iff alpha-tAct)

**moreover from \* obtain** p **where** alpha: (bn  $\alpha$ 1, rep-Tree $_{\alpha}$  (Rep-formula x1))  $\approx_{set} (=_{\alpha})$  (supp-rel (=  $_{\alpha}$ )) p (bn  $\alpha$ 2, rep-Tree $_{\alpha}$  (Rep-formula x2)) **and** eq: (bn  $\alpha$ 1,  $\alpha$ 1)  $\approx_{set} (=)$  supp p (bn  $\alpha$ 2,  $\alpha$ 2)

**by** (metis Act-eq-iff Act $_{\alpha}$ -eq-iff alpha-tAct)

**from** alpha **have** supp x1 - bn  $\alpha$ 1 = supp x2 - bn  $\alpha$ 2

**by** (metis alpha-set.simps supp-Rep-formula supp-alpha-supp-rel)

**moreover from** alpha **have** (supp x1 - bn  $\alpha$ 1)  $\#^* p$

**by** (metis alpha-set.simps supp-Rep-formula supp-alpha-supp-rel)

**moreover from** alpha **have** p  $\cdot$  x1 = x2

**by** (metis Rep-formula-eqvt Rep-formula-inject Tree $_{\alpha}$ .abs-eq-iff Tree $_{\alpha}$ -abs-rep alpha-Tree-permute-rep-commute alpha-set.simps)

**moreover from** eq **have** supp  $\alpha$ 1 - bn  $\alpha$ 1 = supp  $\alpha$ 2 - bn  $\alpha$ 2

**by** (metis alpha-set.simps)

**moreover from** eq **have** (supp  $\alpha$ 1 - bn  $\alpha$ 1)  $\#^* p$

**by** (metis alpha-set.simps)

**moreover from** eq **have** p  $\cdot$   $\alpha$ 1 =  $\alpha$ 2

**by** (simp add: alpha-set.simps)

**ultimately show** ?r

**by** metis

**next**

**assume** \*: ?r

**then have** f1 = f2

**by** metis

**moreover from \* obtain** p **where** 1: supp x1 - bn  $\alpha$ 1 = supp x2 - bn  $\alpha$ 2 **and** 2: (supp x1 - bn  $\alpha$ 1)  $\#^* p$  **and** 3: p  $\cdot$  x1 = x2

**and** 4: supp  $\alpha$ 1 - bn  $\alpha$ 1 = supp  $\alpha$ 2 - bn  $\alpha$ 2 **and** 5: (supp  $\alpha$ 1 - bn  $\alpha$ 1)  $\#^* p$  **and** 6: p  $\cdot$   $\alpha$ 1 =  $\alpha$ 2

**by** metis

**from** 1 2 3 6 **have** (bn  $\alpha$ 1, rep-Tree $_{\alpha}$  (Rep-formula x1))  $\approx_{set} (=_{\alpha})$  (supp-rel (=  $_{\alpha}$ )) p (bn  $\alpha$ 2, rep-Tree $_{\alpha}$  (Rep-formula x2))

**by** (metis (no-types, lifting) Rep-formula-eqvt alpha-Tree-permute-rep-commute alpha-set.simps bn-eqvt supp-Rep-formula supp-alpha-supp-rel)

**moreover from** 4 5 6 **have** (bn  $\alpha$ 1,  $\alpha$ 1)  $\approx_{set} (=)$  supp p (bn  $\alpha$ 2,  $\alpha$ 2)

**by** (simp add: alpha-set.simps bn-eqvt)

**ultimately show** Act f1  $\alpha$ 1 x1 = Act f2  $\alpha$ 2 x2

**by** (metis Act-eq-iff Act $_{\alpha}$ -eq-iff alpha-tAct)

**qed**

**lemma** Act-eq-iff-perm-renaming: Act f1  $\alpha$ 1 x1 = Act f2  $\alpha$ 2 x2  $\longleftrightarrow$

  f1 = f2  $\wedge$  ( $\exists$  p. supp x1 - bn  $\alpha$ 1 = supp x2 - bn  $\alpha$ 2  $\wedge$  (supp x1 - bn  $\alpha$ 1)  $\#^* p \wedge p \cdot x1 = x2 \wedge$  supp  $\alpha$ 1 - bn  $\alpha$ 1 = supp  $\alpha$ 2 - bn  $\alpha$ 2  $\wedge$  (supp  $\alpha$ 1 - bn  $\alpha$ 1)  $\#^* p \wedge p \cdot \alpha$ 1 =  $\alpha$ 2  $\wedge$  supp p  $\subseteq$  bn  $\alpha$ 1  $\cup$  p  $\cdot$  bn  $\alpha$ 1)

(is ?l  $\longleftrightarrow$  ?r)

**proof**

**assume**  $?l$  **then have**  $f1 = f2$   
**by** (*metis Act-eq-iff-perm*)  
**moreover from**  $\langle ?l \rangle$  **obtain**  $p$  **where**  $p: \text{supp } x1 - \text{bn } \alpha1 = \text{supp } x2 - \text{bn } \alpha2$   
 $\wedge (\text{supp } x1 - \text{bn } \alpha1) \#* p \wedge p \cdot x1 = x2 \wedge \text{supp } \alpha1 - \text{bn } \alpha1 = \text{supp } \alpha2 - \text{bn } \alpha2$   
 $\wedge (\text{supp } \alpha1 - \text{bn } \alpha1) \#* p \wedge p \cdot \alpha1 = \alpha2$   
**by** (*metis Act-eq-iff-perm*)  
**moreover obtain**  $q$  **where**  $q-p: \forall b \in \text{bn } \alpha1. q \cdot b = p \cdot b$  **and**  $\text{supp-}q: \text{supp } q \subseteq$   
 $\text{bn } \alpha1 \cup p \cdot \text{bn } \alpha1$   
**by** (*metis set-renaming-perm2*)  
**have**  $\text{supp } q \subseteq \text{supp } p$   
**proof**  
**fix**  $a$  **assume**  $*$ :  $a \in \text{supp } q$  **then show**  $a \in \text{supp } p$   
**proof** (*cases*  $a \in \text{bn } \alpha1$ )  
**case** *True* **then show**  $?thesis$   
**using**  $* q-p$  **by** (*metis mem-Collect-eq supp-perm*)  
**next**  
**case** *False* **then have**  $a \in p \cdot \text{bn } \alpha1$   
**using**  $* \text{supp-}q$  **using** *UnE subsetCE* **by** *blast*  
**with** *False* **have**  $p \cdot a \neq a$   
**by** (*metis mem-permute-iff*)  
**then show**  $?thesis$   
**using** *fresh-def fresh-perm* **by** *blast*  
**qed**  
**qed**  
**with**  $p$  **have**  $(\text{supp } x1 - \text{bn } \alpha1) \#* q$  **and**  $(\text{supp } \alpha1 - \text{bn } \alpha1) \#* q$   
**by** (*meson fresh-def fresh-star-def subset-iff*)  
**moreover with**  $p$  **and**  $q-p$  **have**  $\bigwedge a. a \in \text{supp } \alpha1 \implies q \cdot a = p \cdot a$  **and**  $\bigwedge a.$   
 $a \in \text{supp } x1 \implies q \cdot a = p \cdot a$   
**by** (*metis Diff-iff fresh-perm fresh-star-def*)  
**then have**  $q \cdot \alpha1 = p \cdot \alpha1$  **and**  $q \cdot x1 = p \cdot x1$   
**by** (*metis supp-perm-perm-eq*)  
**ultimately show**  $?r$   
**using**  $\text{supp-}q$  **by** (*metis bn-eqt*)  
**next**  
**assume**  $?r$  **then show**  $?l$   
**by** (*meson Act-eq-iff-perm*)  
**qed**

The lifted constructors are free (except for *Act*).

**lemma** *Tree-free [simp]*:

**shows**  $\text{finite } (\text{supp } xset) \implies \text{Conj } xset \neq \text{Not } x$   
**and**  $\text{finite } (\text{supp } xset) \implies \text{Conj } xset \neq \text{Pred } f \ \varphi$   
**and**  $\text{finite } (\text{supp } xset) \implies \text{Conj } xset \neq \text{Act } f \ \alpha \ x$   
**and**  $\text{Not } x \neq \text{Pred } f \ \varphi$   
**and**  $\text{Not } x1 \neq \text{Act } f \ \alpha \ x2$   
**and**  $\text{Pred } f1 \ \varphi \neq \text{Act } f2 \ \alpha \ x$

**proof** –

**show**  $\text{finite } (\text{supp } xset) \implies \text{Conj } xset \neq \text{Not } x$   
**by** (*metis Conj-rep-eq Not.rep-eq Tree $_{\alpha}$ -free(1)*)

```

next
  show finite (supp xset)  $\implies$  Conj xset  $\neq$  Pred f  $\varphi$ 
    by (metis Conj-rep-eq Pred.rep-eq Tree $_{\alpha}$ -free(2))
next
  show finite (supp xset)  $\implies$  Conj xset  $\neq$  Act f  $\alpha$  x
    by (metis Conj-rep-eq Act.rep-eq Tree $_{\alpha}$ -free(3))
next
  show Not x  $\neq$  Pred f  $\varphi$ 
    by (metis Not.rep-eq Pred.rep-eq Tree $_{\alpha}$ -free(4))
next
  show Not x1  $\neq$  Act f  $\alpha$  x2
    by (metis Not.rep-eq Act.rep-eq Tree $_{\alpha}$ -free(5))
next
  show Pred f1  $\varphi$   $\neq$  Act f2  $\alpha$  x
    by (metis Pred.rep-eq Act.rep-eq Tree $_{\alpha}$ -free(6))
qed

```

## 14.8 $F/L$ -formulas

```

context effect-nominal-ts
begin

```

The predicate *is-FL-formula* will characterise exactly those formulas in a particular set  $A^{F/L}$ .

```

inductive is-FL-formula :: 'effect first  $\implies$  ('idx,'pred,'act,'effect) formula  $\implies$  bool
where
  Conj: finite (supp xset)  $\implies$  ( $\bigwedge x. x \in \text{set-bset } xset \implies \text{is-FL-formula } F x$ )  $\implies$ 
    is-FL-formula F (Conj xset)
| Not: is-FL-formula F x  $\implies$  is-FL-formula F (Not x)
| Pred:  $f \in_{f_s} F \implies \text{is-FL-formula } F (\text{Pred } f \varphi)$ 
| Act:  $f \in_{f_s} F \implies \text{bn } \alpha \ \sharp^* (F,f) \implies \text{is-FL-formula } (L (\alpha,F,f)) x \implies \text{is-FL-formula } F (\text{Act } f \alpha x)$ 

```

```

abbreviation in-A :: ('idx,'pred,'act,'effect) formula  $\implies$  'effect first  $\implies$  bool
  (-  $\in \mathcal{A}[-]$  [51,0] 50) where
  x  $\in \mathcal{A}[F] \equiv \text{is-FL-formula } F x$ 

```

```

declare is-FL-formula.induct [case-names Conj Not Pred Act, induct type: formula]

```

```

lemma is-FL-formula-eqvt [eqvt]: x  $\in \mathcal{A}[F] \implies p \cdot x \in \mathcal{A}[p \cdot F]$ 

```

```

proof (erule is-FL-formula.induct)

```

```

  fix xset :: ('a, 'pred, 'act, 'effect) formula set['a] and F

```

```

  assume 1: finite (supp xset) and 2:  $\bigwedge x. x \in \text{set-bset } xset \implies p \cdot x \in \mathcal{A}[p \cdot F]$ 

```

```

  from 1 have finite (supp (p  $\cdot$  xset))

```

```

    by (metis permute-finite supp-eqvt)

```

```

  moreover from 2 have  $\bigwedge x. x \in \text{set-bset } (p \cdot xset) \implies x \in \mathcal{A}[p \cdot F]$ 

```

```

    by (metis (mono-tags) imageE permute-set-eq-image set-bset-eqvt)

```

```

  ultimately show p  $\cdot$  Conj xset  $\in \mathcal{A}[p \cdot F]$ 

```



```

    using 1 by (simp add: Conj)
next
fix F and x :: ('a, 'pred, 'act, 'effect) formula
assume p · x ∈  $\mathcal{A}[p \cdot F]$ 
then show p · Not x ∈  $\mathcal{A}[p \cdot F]$ 
  by (simp add: Not)
next
fix f and F :: 'effect first and  $\varphi$ 
assume f ∈fs F
then show p · Pred f  $\varphi$  ∈  $\mathcal{A}[p \cdot F]$ 
  by (simp add: Pred)
next
fix f F  $\alpha$  and x :: ('a, 'pred, 'act, 'effect) formula
assume f ∈fs F and bn  $\alpha$   $\#^*$  (F,f) and p · x ∈  $\mathcal{A}[p \cdot L(\alpha, F, f)]$ 
then show p · Act f  $\alpha$  x ∈  $\mathcal{A}[p \cdot F]$ 
  by (metis (mono-tags, lifting) Act Act-eqvt L-eqvt' Pair-eqvt bn-eqvt fresh-star-permute-iff
member-fs-set-permute-iff)
qed

end

```

## 14.9 Induction over infinitary formulas

### 14.10 Strong induction over infinitary formulas

```

end
theory FL-Validity
imports
  FL-Transition-System
  FL-Formula
begin

```

## 15 Validity With Effects

The following is needed to prove termination of *FL-validTree*.

**definition** *alpha-Tree-rel* **where**  
 $\text{alpha-Tree-rel} \equiv \{(x,y). x =_{\alpha} y\}$

**lemma** *alpha-Tree-relI* [*simp*]:  
**assumes**  $x =_{\alpha} y$  **shows**  $(x,y) \in \text{alpha-Tree-rel}$   
**using** *assms* **unfolding** *alpha-Tree-rel-def* **by** *simp*

**lemma** *alpha-Tree-relE*:  
**assumes**  $(x,y) \in \text{alpha-Tree-rel}$  **and**  $x =_{\alpha} y \implies P$   
**shows**  $P$   
**using** *assms* **unfolding** *alpha-Tree-rel-def* **by** *simp*

**lemma** *wf-alpha-Tree-rel-hull-rel-Tree-wf*:

$wf$  ( $alpha\text{-Tree-rel } O \text{ hull-rel } O \text{ Tree-wf}$ )  
**proof** ( $rule \text{ wf-relcomp-compatible}$ )  
**show**  $wf$  ( $hull\text{-rel } O \text{ Tree-wf}$ )  
**by** ( $metis \text{ Tree-wf-eqt' wf-Tree-wf wf-hull-rel-relcomp}$ )  
**next**  
**show** ( $hull\text{-rel } O \text{ Tree-wf}$ )  $O$   $alpha\text{-Tree-rel} \subseteq alpha\text{-Tree-rel } O$  ( $hull\text{-rel } O \text{ Tree-wf}$ )  
**proof**  
**fix**  $x :: ('e, 'f, 'g, 'h) \text{ Tree} \times ('e, 'f, 'g, 'h) \text{ Tree}$   
**assume**  $x \in (hull\text{-rel } O \text{ Tree-wf}) \ O \ alpha\text{-Tree-rel}$   
**then obtain**  $x_1 \ x_2 \ x_3 \ x_4$  **where**  $x: x = (x_1, x_4)$  **and**  $1: (x_1, x_2) \in hull\text{-rel}$  **and**  
 $2: (x_2, x_3) \in Tree\text{-wf}$  **and**  $3: (x_3, x_4) \in alpha\text{-Tree-rel}$   
**by**  $auto$   
**from**  $2$  **have**  $(x_1, x_4) \in alpha\text{-Tree-rel } O \ hull\text{-rel } O \ Tree\text{-wf}$   
**using**  $1$  **and**  $3$  **proof** ( $induct \text{ rule: Tree-wf.induct}$ )  
 $\text{--- } tConj$   
**fix**  $t$  **and**  $tset :: ('e, 'f, 'g, 'h) \text{ Tree set}[e]$   
**assume**  $*$ :  $t \in set\text{-bset } tset$  **and**  $**$ :  $(x_1, t) \in hull\text{-rel}$  **and**  $***$ :  $(tConj \ tset,$   
 $x_4) \in alpha\text{-Tree-rel}$   
**from**  $**$  **obtain**  $p$  **where**  $x_1: x_1 = p \cdot t$   
**using**  $hull\text{-rel.cases}$  **by**  $blast$   
**from**  $***$  **have**  $tConj \ tset =_{\alpha} x_4$   
**by** ( $rule \ alpha\text{-Tree-relE}$ )  
**then obtain**  $tset'$  **where**  $x_4: x_4 = tConj \ tset'$  **and**  $rel\text{-bset } (=_{\alpha}) \ tset \ tset'$   
**by** ( $cases \ x_4$ )  $simp\text{-all}$   
**with**  $*$  **obtain**  $t'$  **where**  $t': t' \in set\text{-bset } tset'$  **and**  $t =_{\alpha} t'$   
**by** ( $metis \ rel\text{-bset.rep-eq rel-set-def}$ )  
**with**  $x_1$  **have**  $(x_1, p \cdot t') \in alpha\text{-Tree-rel}$   
**by** ( $metis \ Tree_{\alpha}.abs\text{-eq-iff } alpha\text{-Tree-relI permute-Tree}_{\alpha}.abs\text{-eq}$ )  
**moreover have**  $(p \cdot t', t') \in hull\text{-rel}$   
**by** ( $rule \ hull\text{-rel.intros}$ )  
**moreover from**  $x_4$  **and**  $t'$  **have**  $(t', x_4) \in Tree\text{-wf}$   
**by** ( $simp \ add: \ Tree\text{-wf.intros}(1)$ )  
**ultimately show**  $(x_1, x_4) \in alpha\text{-Tree-rel } O \ hull\text{-rel } O \ Tree\text{-wf}$   
**by**  $auto$   
**next**  
 $\text{--- } tNot$   
**fix**  $t$   
**assume**  $*$ :  $(x_1, t) \in hull\text{-rel}$  **and**  $**$ :  $(tNot \ t, x_4) \in alpha\text{-Tree-rel}$   
**from**  $*$  **obtain**  $p$  **where**  $x_1: x_1 = p \cdot t$   
**using**  $hull\text{-rel.cases}$  **by**  $blast$   
**from**  $**$  **have**  $tNot \ t =_{\alpha} x_4$   
**by** ( $rule \ alpha\text{-Tree-relE}$ )  
**then obtain**  $t'$  **where**  $x_4: x_4 = tNot \ t'$  **and**  $t =_{\alpha} t'$   
**by** ( $cases \ x_4$ )  $simp\text{-all}$   
**with**  $x_1$  **have**  $(x_1, p \cdot t') \in alpha\text{-Tree-rel}$   
**by** ( $metis \ Tree_{\alpha}.abs\text{-eq-iff } alpha\text{-Tree-relI permute-Tree}_{\alpha}.abs\text{-eq } x_1$ )  
**moreover have**  $(p \cdot t', t') \in hull\text{-rel}$   
**by** ( $rule \ hull\text{-rel.intros}$ )  
**moreover from**  $x_4$  **have**  $(t', x_4) \in Tree\text{-wf}$

```

    using Tree-wf.intros(2) by blast
  ultimately show  $(x1, x4) \in \text{alpha-Tree-rel } O \text{ hull-rel } O \text{ Tree-wf}$ 
    by auto
next
  — tAct
  fix  $f \alpha t$ 
  assume *:  $(x1, t) \in \text{hull-rel}$  and **:  $(tAct f \alpha t, x4) \in \text{alpha-Tree-rel}$ 
  from * obtain  $p$  where  $x1: x1 = p \cdot t$ 
    using hull-rel.cases by blast
  from ** have  $tAct f \alpha t =_{\alpha} x4$ 
    by (rule alpha-Tree-relE)
  then obtain  $q t'$  where  $x4: x4 = tAct f (q \cdot \alpha) t'$  and  $q \cdot t =_{\alpha} t'$ 
    by (cases x4) (auto simp add: alpha-set)
  with  $x1$  have  $(x1, p \cdot -q \cdot t') \in \text{alpha-Tree-rel}$ 
    by (metis Treeα.abs-eq-iff alpha-Tree-relI permute-Treeα.abs-eq permute-minus-cancel(1))
  moreover have  $(p \cdot -q \cdot t', t') \in \text{hull-rel}$ 
    by (metis hull-rel.simps permute-plus)
  moreover from  $x4$  have  $(t', x4) \in \text{Tree-wf}$ 
    by (simp add: Tree-wf.intros(3))
  ultimately show  $(x1, x4) \in \text{alpha-Tree-rel } O \text{ hull-rel } O \text{ Tree-wf}$ 
    by auto
  qed
with  $x$  show  $x \in \text{alpha-Tree-rel } O \text{ hull-rel } O \text{ Tree-wf}$ 
  by simp
  qed
qed

```

```

lemma alpha-Tree-rel-relcomp-trivialI [simp]:
  assumes  $(x, y) \in R$ 
  shows  $(x, y) \in \text{alpha-Tree-rel } O R$ 
using assms unfolding alpha-Tree-rel-def
by (metis Treeα.abs-eq-iff case-prodI mem-Collect-eq relcomp.relcompI)

```

```

lemma alpha-Tree-rel-relcompI [simp]:
  assumes  $x =_{\alpha} x'$  and  $(x', y) \in R$ 
  shows  $(x, y) \in \text{alpha-Tree-rel } O R$ 
using assms unfolding alpha-Tree-rel-def
by (metis case-prodI mem-Collect-eq relcomp.relcompI)

```

## 15.1 Validity for infinitely branching trees

```

context effect-nominal-ts
begin

```

Since we defined formulas via a manual quotient construction, we also need to define validity via lifting from the underlying type of infinitely branching trees. We cannot use **nominal\_function** because that generates proof obligations where, for formulas of the form *Conj xset*, the assumption that *xset*

has finite support is missing.

```

declare conj-cong [fundef-cong]

function (sequential) FL-valid-Tree :: 'state  $\Rightarrow$  ('idx,'pred,'act,'effect) Tree  $\Rightarrow$ 
bool where
  FL-valid-Tree P (tConj tset)  $\longleftrightarrow$  ( $\forall t \in \text{set-bset } tset. \text{FL-valid-Tree } P t$ )
| FL-valid-Tree P (tNot t)  $\longleftrightarrow$   $\neg$  FL-valid-Tree P t
| FL-valid-Tree P (tPred f  $\varphi$ )  $\longleftrightarrow$   $\langle f \rangle P \vdash \varphi$ 
| FL-valid-Tree P (tAct f  $\alpha$  t)  $\longleftrightarrow$  ( $\exists \alpha' t' P'. tAct f \alpha t =_{\alpha} tAct f \alpha' t' \wedge \langle f \rangle P$ 
 $\rightarrow \langle \alpha', P' \rangle \wedge \text{FL-valid-Tree } P' t'$ )
by pat-completeness auto
termination proof
let ?R = inv-image (alpha-Tree-rel O hull-rel O Tree-wf) snd
{
  show wf ?R
  by (metis wf-alpha-Tree-rel-hull-rel-Tree-wf wf-inv-image)
next
  fix P :: 'state and tset :: ('idx,'pred,'act,'effect) Tree set['idx] and t
  assume t  $\in$  set-bset tset then show ((P, t), (P, tConj tset))  $\in$  ?R
  by (simp add: Tree-wf.intros(1))
next
  fix P :: 'state and t :: ('idx,'pred,'act,'effect) Tree
  show ((P, t), (P, tNot t))  $\in$  ?R
  by (simp add: Tree-wf.intros(2))
next
  fix P1 P2 :: 'state and f and  $\alpha 1$   $\alpha 2$  and t1 t2 :: ('idx,'pred,'act,'effect) Tree
  assume tAct f  $\alpha 1$  t1  $=_{\alpha}$  tAct f  $\alpha 2$  t2
  then obtain p where t2  $=_{\alpha}$  p  $\cdot$  t1
  by (auto simp add: alphas) (metis alpha-Tree-symp sympE)
  then show ((P2, t2), (P1, tAct f  $\alpha 1$  t1))  $\in$  ?R
  by (simp add: Tree-wf.intros(3))
}
qed

```

FL-valid-Tree is equivariant.

```

lemma FL-valid-Tree-eqvt': FL-valid-Tree P t  $\longleftrightarrow$  FL-valid-Tree (p  $\cdot$  P) (p  $\cdot$  t)
proof (induction P t rule: FL-valid-Tree.induct)
case (1 P tset) show ?case
  proof
  assume *: FL-valid-Tree P (tConj tset)
  {
    fix t
    assume t  $\in$  p  $\cdot$  set-bset tset
    with 1.IH and * have FL-valid-Tree (p  $\cdot$  P) t
    by (metis (no-types, lifting) imageE permute-set-eq-image FL-valid-Tree.simps(1))
  }
  then show FL-valid-Tree (p  $\cdot$  P) (p  $\cdot$  tConj tset)
  by simp
  next

```

```

assume *: FL-valid-Tree (p · P) (p · tConj tset)
{
  fix t
  assume t ∈ set-bset tset
  with 1.IH and * have FL-valid-Tree P t
  by (metis mem-permute-iff permute-Tree-tConj set-bset-eqt FL-valid-Tree.simps(1))
}
then show FL-valid-Tree P (tConj tset)
  by simp
qed
next
case 2 then show ?case by simp
next
case 3 show ?case by simp (metis effect-apply-eqt' permute-minus-cancel(2))
satisfies-eqt)
next
case (4 P f α t) show ?case
proof
  assume FL-valid-Tree P (tAct f α t)
  then obtain α' t' P' where *: tAct f α t =α tAct f α' t' ∧ ⟨f⟩P → ⟨α', P'⟩
  ∧ FL-valid-Tree P' t'
  by auto
  with 4.IH have FL-valid-Tree (p · P') (p · t')
  by blast
  moreover from * have p · ⟨f⟩P → ⟨p · α', p · P'⟩
  by (metis transition-eqt')
  moreover from * have p · tAct f α t =α tAct (p · f) (p · α') (p · t')
  by (metis alpha-Tree-eqt permute-Tree.simps(4))
  ultimately show FL-valid-Tree (p · P) (p · tAct f α t)
  by auto
next
  assume FL-valid-Tree (p · P) (p · tAct f α t)
  then obtain α' t' P' where *: p · tAct f α t =α tAct (p · f) α' t' ∧ (p ·
  ⟨f⟩P) → ⟨α', P'⟩ ∧ FL-valid-Tree P' t'
  by auto
  then have eq: tAct f α t =α tAct f (-p · α') (-p · t')
  by (metis alpha-Tree-eqt permute-Tree.simps(4) permute-minus-cancel(2))
  moreover from * have ⟨f⟩P → ⟨-p · α', -p · P'⟩
  by (metis permute-minus-cancel(2) transition-eqt')
  moreover with 4.IH have FL-valid-Tree (-p · P') (-p · t')
  using eq and * by simp
  ultimately show FL-valid-Tree P (tAct f α t)
  by auto
qed
qed

```

**lemma** *FL-valid-Tree-eqt* [*eqt*]:

**assumes** *FL-valid-Tree* P t **shows** *FL-valid-Tree* (p · P) (p · t)  
**using** *assms* **by** (*metis FL-valid-Tree-eqt'*)

$\alpha$ -equivalent trees validate the same states.

```

lemma alpha-Tree-FL-valid-Tree:
  assumes  $t1 =_\alpha t2$ 
  shows  $FL\text{-valid-Tree } P \ t1 \longleftrightarrow FL\text{-valid-Tree } P \ t2$ 
using assms proof (induction  $t1 \ t2$  arbitrary:  $P$  rule: alpha-Tree-induct)
  case tConj then show ?case
    by auto (metis (mono-tags) rel-bset.rep-eq rel-set-def)
next
  case (tAct  $f1 \ \alpha1 \ t1 \ f2 \ \alpha2 \ t2$ ) show ?case
proof
  assume  $FL\text{-valid-Tree } P \ (tAct \ f1 \ \alpha1 \ t1)$ 
  then obtain  $\alpha' \ t' \ P'$  where  $tAct \ f1 \ \alpha1 \ t1 =_\alpha tAct \ f1 \ \alpha' \ t' \wedge \langle f1 \rangle P \rightarrow$ 
 $\langle \alpha', P' \rangle \wedge FL\text{-valid-Tree } P' \ t'$ 
    by auto
  moreover from tAct.hyps have  $tAct \ f1 \ \alpha1 \ t1 =_\alpha tAct \ f2 \ \alpha2 \ t2$ 
    using alpha-tAct by blast
  ultimately show  $FL\text{-valid-Tree } P \ (tAct \ f2 \ \alpha2 \ t2)$ 
    using tAct.hyps by (metis Tree $_\alpha$ .abs-eq-iff FL-valid-Tree.simps(4))
next
  assume  $FL\text{-valid-Tree } P \ (tAct \ f2 \ \alpha2 \ t2)$ 
  then obtain  $\alpha' \ t' \ P'$  where  $tAct \ f2 \ \alpha2 \ t2 =_\alpha tAct \ f2 \ \alpha' \ t' \wedge \langle f2 \rangle P \rightarrow$ 
 $\langle \alpha', P' \rangle \wedge FL\text{-valid-Tree } P' \ t'$ 
    by auto
  moreover from tAct.hyps have  $tAct \ f1 \ \alpha1 \ t1 =_\alpha tAct \ f2 \ \alpha2 \ t2$ 
    using alpha-tAct by blast
  ultimately show  $FL\text{-valid-Tree } P \ (tAct \ f1 \ \alpha1 \ t1)$ 
    using tAct.hyps by (metis Tree $_\alpha$ .abs-eq-iff FL-valid-Tree.simps(4))
qed
qed simp-all

```

## 15.2 Validity for trees modulo $\alpha$ -equivalence

**lift-definition**  $FL\text{-valid-Tree}_\alpha :: 'state \Rightarrow ('idx, 'pred, 'act, 'effect) \ Tree_\alpha \Rightarrow bool$   
**is**

*FL-valid-Tree*

**by** (*fact alpha-Tree-FL-valid-Tree*)

**lemma** *FL-valid-Tree $_\alpha$ -eqvt* [*eqvt*]:

**assumes**  $FL\text{-valid-Tree}_\alpha \ P \ t$  **shows**  $FL\text{-valid-Tree}_\alpha \ (p \cdot P) \ (p \cdot t)$

**using** *assms* **by** *transfer* (*fact FL-valid-Tree-eqvt*)

**lemma** *FL-valid-Tree $_\alpha$ -Conj $_\alpha$*  [*simp*]:  $FL\text{-valid-Tree}_\alpha \ P \ (Conj_\alpha \ tset_\alpha) \longleftrightarrow (\forall t_\alpha \in set\text{-bset} \ tset_\alpha. FL\text{-valid-Tree}_\alpha \ P \ t_\alpha)$

**proof** –

**have**  $FL\text{-valid-Tree } P \ (rep\text{-Tree}_\alpha \ (abs\text{-Tree}_\alpha \ (tConj \ (map\text{-bset} \ rep\text{-Tree}_\alpha \ tset_\alpha))))$   
 $\longleftrightarrow FL\text{-valid-Tree } P \ (tConj \ (map\text{-bset} \ rep\text{-Tree}_\alpha \ tset_\alpha))$

**by** (*metis* *Tree $_\alpha$ .rep-abs alpha-Tree-FL-valid-Tree*)

**then show** *?thesis*

**by** (*simp* *add*: *FL-valid-Tree $_\alpha$ -def Conj $_\alpha$ -def map-bset.rep-eq*)

qed

**lemma** *FL-valid-Tree $_{\alpha}$ -Not $_{\alpha}$*  [simp]:  $FL\text{-valid-Tree}_{\alpha} P (Not_{\alpha} t_{\alpha}) \longleftrightarrow \neg FL\text{-valid-Tree}_{\alpha} P t_{\alpha}$   
 by transfer simp

**lemma** *FL-valid-Tree $_{\alpha}$ -Pred $_{\alpha}$*  [simp]:  $FL\text{-valid-Tree}_{\alpha} P (Pred_{\alpha} f \varphi) \longleftrightarrow \langle f \rangle P \vdash \varphi$   
 by transfer simp

**lemma** *FL-valid-Tree $_{\alpha}$ -Act $_{\alpha}$*  [simp]:  $FL\text{-valid-Tree}_{\alpha} P (Act_{\alpha} f \alpha t_{\alpha}) \longleftrightarrow (\exists \alpha' t_{\alpha}' P'. Act_{\alpha} f \alpha t_{\alpha} = Act_{\alpha} f \alpha' t_{\alpha}' \wedge \langle f \rangle P \rightarrow \langle \alpha', P' \rangle \wedge FL\text{-valid-Tree}_{\alpha} P' t_{\alpha}')$

**proof**

assume  $FL\text{-valid-Tree}_{\alpha} P (Act_{\alpha} f \alpha t_{\alpha})$

moreover have  $Act_{\alpha} f \alpha t_{\alpha} = abs\text{-Tree}_{\alpha} (tAct f \alpha (rep\text{-Tree}_{\alpha} t_{\alpha}))$

by (metis *Act $_{\alpha}$ .abs-eq Tree $_{\alpha}$ .abs-rep*)

ultimately show  $\exists \alpha' t_{\alpha}' P'. Act_{\alpha} f \alpha t_{\alpha} = Act_{\alpha} f \alpha' t_{\alpha}' \wedge \langle f \rangle P \rightarrow \langle \alpha', P' \rangle \wedge FL\text{-valid-Tree}_{\alpha} P' t_{\alpha}'$

by (metis *Act $_{\alpha}$ .abs-eq Tree $_{\alpha}$ .abs-eq-iff FL-valid-Tree.simps(4) FL-valid-Tree $_{\alpha}$ .abs-eq*)

next

assume  $\exists \alpha' t_{\alpha}' P'. Act_{\alpha} f \alpha t_{\alpha} = Act_{\alpha} f \alpha' t_{\alpha}' \wedge \langle f \rangle P \rightarrow \langle \alpha', P' \rangle \wedge FL\text{-valid-Tree}_{\alpha} P' t_{\alpha}'$

moreover have  $\bigwedge \alpha' t_{\alpha}'. Act_{\alpha} f \alpha' t_{\alpha}' = abs\text{-Tree}_{\alpha} (tAct f \alpha' (rep\text{-Tree}_{\alpha} t_{\alpha}'))$

by (metis *Act $_{\alpha}$ .abs-eq Tree $_{\alpha}$ .abs-rep*)

ultimately show  $FL\text{-valid-Tree}_{\alpha} P (Act_{\alpha} f \alpha t_{\alpha})$

by (metis *Tree $_{\alpha}$ .abs-eq-iff FL-valid-Tree.simps(4) FL-valid-Tree $_{\alpha}$ .abs-eq FL-valid-Tree $_{\alpha}$ .rep-eq*)

qed

### 15.3 Validity for infinitary formulas

**lift-definition** *FL-valid* :: 'state  $\Rightarrow$  ('idx,'pred,'act,'effect) formula  $\Rightarrow$  bool (infix  $\models$  70) is  
 $FL\text{-valid-Tree}_{\alpha}$   
 .

**lemma** *FL-valid-eqvt* [eqvt]:

assumes  $P \models x$  shows  $(p \cdot P) \models (p \cdot x)$

using *assms* by transfer (metis *FL-valid-Tree $_{\alpha}$ -eqvt*)

**lemma** *FL-valid-Conj* [simp]:

assumes *finite* (supp *xset*)

shows  $P \models Conj\ xset \longleftrightarrow (\forall x \in set\text{-bset}\ xset. P \models x)$

using *assms* by (simp add: *FL-valid-def Conj-def map-bset.rep-eq*)

**lemma** *FL-valid-Not* [simp]:  $P \models Not\ x \longleftrightarrow \neg P \models x$

by transfer simp

**lemma** *FL-valid-Pred* [simp]:  $P \models Pred\ f\ \varphi \longleftrightarrow \langle f \rangle P \vdash \varphi$

by transfer simp

**lemma** *FL-valid-Act*:  $P \models \text{Act } f \alpha x \longleftrightarrow (\exists \alpha' x' P'. \text{Act } f \alpha x = \text{Act } f \alpha' x' \wedge \langle f \rangle P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x')$

**proof**

**assume**  $P \models \text{Act } f \alpha x$

**moreover have** *Rep-formula* (*Abs-formula* ( $\text{Act}_\alpha f \alpha$  (*Rep-formula*  $x$ ))) =  $\text{Act}_\alpha f \alpha$  (*Rep-formula*  $x$ )

**by** (*metis Act.rep-eq Rep-formula-inverse*)

**ultimately show**  $\exists \alpha' x' P'. \text{Act } f \alpha x = \text{Act } f \alpha' x' \wedge \langle f \rangle P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x'$

**by** (*auto simp add: FL-valid-def Act-def*) (*metis Abs-formula-inverse Rep-formula' hereditarily-fs-alpha-renaming*)

**next**

**assume**  $\exists \alpha' x' P'. \text{Act } f \alpha x = \text{Act } f \alpha' x' \wedge \langle f \rangle P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x'$

**then show**  $P \models \text{Act } f \alpha x$

**by** (*metis Act.rep-eq FL-valid.rep-eq FL-valid-Tree $_\alpha$ -Act $_\alpha$* )

**qed**

The binding names in the alpha-variant that witnesses validity may be chosen fresh for any finitely supported context.

**lemma** *FL-valid-Act-strong*:

**assumes** *finite* (*supp*  $X$ )

**shows**  $P \models \text{Act } f \alpha x \longleftrightarrow (\exists \alpha' x' P'. \text{Act } f \alpha x = \text{Act } f \alpha' x' \wedge \langle f \rangle P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x' \wedge \text{bn } \alpha' \#* X)$

**proof**

**assume**  $P \models \text{Act } f \alpha x$

**then obtain**  $\alpha' x' P'$  **where** *eq*:  $\text{Act } f \alpha x = \text{Act } f \alpha' x'$  **and** *trans*:  $\langle f \rangle P \rightarrow \langle \alpha', P' \rangle$  **and** *valid*:  $P' \models x'$

**by** (*metis FL-valid-Act*)

**have** *finite* (*bn*  $\alpha'$ )

**by** (*fact bn-finite*)

**moreover note**  $\langle \text{finite} (\text{supp } X) \rangle$

**moreover have** *finite* (*supp* (*supp*  $x' - \text{bn } \alpha'$ , *supp*  $\alpha' - \text{bn } \alpha'$ ,  $\langle \alpha', P' \rangle$ ))

**by** (*simp add: supp-Pair finite-sets-supp finite-supp*)

**moreover have**  $\text{bn } \alpha' \#* (\text{supp } x' - \text{bn } \alpha', \text{supp } \alpha' - \text{bn } \alpha', \langle \alpha', P' \rangle)$

**by** (*simp add: atom-fresh-star-disjoint finite-supp fresh-star-Pair*)

**ultimately obtain**  $p$  **where** *fresh-X*:  $(p \cdot \text{bn } \alpha') \#* X$  **and** *fresh-p*:  $\text{supp} (\text{supp } x' - \text{bn } \alpha', \text{supp } \alpha' - \text{bn } \alpha', \langle \alpha', P' \rangle) \#* p$

**by** (*metis at-set-avoiding2*)

**from** *fresh-p* **have**  $\text{supp} (\text{supp } x' - \text{bn } \alpha') \#* p$  **and**  $\text{supp} (\text{supp } \alpha' - \text{bn } \alpha') \#* p$  **and** *1*:  $\text{supp } \langle \alpha', P' \rangle \#* p$

**by** (*meson fresh-Pair fresh-def fresh-star-def*)**+**

**then have** *2*:  $(\text{supp } x' - \text{bn } \alpha') \#* p$  **and** *3*:  $(\text{supp } \alpha' - \text{bn } \alpha') \#* p$

**by** (*simp add: finite-supp supp-finite-atom-set*)**+**

**moreover from** *2* **have**  $\text{supp} (p \cdot x') - \text{bn} (p \cdot \alpha') = \text{supp } x' - \text{bn } \alpha'$

**by** (*metis Diff-eqvt atom-set-perm-eq bn-eqvt supp-eqvt*)

**moreover from** *3* **have**  $\text{supp} (p \cdot \alpha') - \text{bn} (p \cdot \alpha') = \text{supp } \alpha' - \text{bn } \alpha'$



by (*metis (no-types, opaque-lifting) Diff-eqvt atom-set-perm-eq bn-eqvt supp-eqvt*)  
**ultimately have**  $Act\ f\ \alpha'\ x' = Act\ f\ (p \cdot \alpha')\ (p \cdot x')$   
 by (*auto simp add: Act-eq-iff-perm*)

**moreover from 1 have**  $\langle p \cdot \alpha', p \cdot P' \rangle = \langle \alpha', P' \rangle$   
 by (*metis abs-residual-pair-eqvt supp-perm-eq*)

**ultimately show**  $\exists \alpha'\ x' P'. Act\ f\ \alpha\ x = Act\ f\ \alpha'\ x' \wedge \langle f \rangle P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x' \wedge bn\ \alpha' \#* X$

using *eq and trans and valid and fresh-X* by (*metis bn-eqvt FL-valid-eqvt*)

**next**

**assume**  $\exists \alpha'\ x' P'. Act\ f\ \alpha\ x = Act\ f\ \alpha'\ x' \wedge \langle f \rangle P \rightarrow \langle \alpha', P' \rangle \wedge P' \models x' \wedge bn\ \alpha' \#* X$

**then show**  $P \models Act\ f\ \alpha\ x$  by (*metis FL-valid-Act*)

**qed**

**lemma** *FL-valid-Act-fresh*:

**assumes**  $bn\ \alpha \#* \langle f \rangle P$

**shows**  $P \models Act\ f\ \alpha\ x \longleftrightarrow (\exists P'. \langle f \rangle P \rightarrow \langle \alpha, P' \rangle \wedge P' \models x)$

**proof**

**assume**  $P \models Act\ f\ \alpha\ x$

**moreover have** *finite* ( $supp\ (\langle f \rangle P)$ )

by (*fact finite-supp*)

**ultimately obtain**  $\alpha'\ x' P'$  **where**

*eq*:  $Act\ f\ \alpha\ x = Act\ f\ \alpha'\ x'$  **and** *trans*:  $\langle f \rangle P \rightarrow \langle \alpha', P' \rangle$  **and** *valid*:  $P' \models x'$

**and** *fresh*:  $bn\ \alpha' \#* \langle f \rangle P$

by (*metis FL-valid-Act-strong*)

**from eq obtain**  $p$  **where**  $p\text{-}\alpha$ :  $\alpha' = p \cdot \alpha$  **and**  $p\text{-}x$ :  $x' = p \cdot x$  **and** *supp-p*:  
 $supp\ p \subseteq bn\ \alpha \cup p \cdot bn\ \alpha$

by (*metis Act-eq-iff-perm-renaming*)

**from assms and fresh have**  $(bn\ \alpha \cup p \cdot bn\ \alpha) \#* \langle f \rangle P$

using  $p\text{-}\alpha$  by (*metis bn-eqvt fresh-star-Un*)

**then have**  $supp\ p \#* \langle f \rangle P$

using *supp-p* by (*metis fresh-star-def subset-eq*)

**then have**  $p\text{-}P$ :  $-p \cdot \langle f \rangle P = \langle f \rangle P$

by (*metis perm-supp-eq supp-minus-perm*)

**from trans have**  $\langle f \rangle P \rightarrow \langle \alpha, -p \cdot P' \rangle$

using  $p\text{-}P\ p\text{-}\alpha$  by (*metis permute-minus-cancel(1) transition-eqvt'*)

**moreover from valid have**  $-p \cdot P' \models x$

using  $p\text{-}x$  by (*metis permute-minus-cancel(1) FL-valid-eqvt*)

**ultimately show**  $\exists P'. \langle f \rangle P \rightarrow \langle \alpha, P' \rangle \wedge P' \models x$

by *meson*

**next**

**assume**  $\exists P'. \langle f \rangle P \rightarrow \langle \alpha, P' \rangle \wedge P' \models x$

**then show**  $P \models Act\ f\ \alpha\ x$

```

    by (metis FL-valid-Act)
  qed

end

end
theory FL-Logical-Equivalence
imports
  FL-Validity
begin

```

## 16 (Strong) Logical Equivalence

The definition of formulas is parametric in the index type, but from now on we want to work with a fixed (sufficiently large) index type.

```

locale indexed-effect-nominal-ts = effect-nominal-ts satisfies transition effect-apply
  for satisfies :: 'state::fs  $\Rightarrow$  'pred::fs  $\Rightarrow$  bool (infix  $\vdash$   $\gamma$ 0)
  and transition :: 'state  $\Rightarrow$  ('act::bn, 'state) residual  $\Rightarrow$  bool (infix  $\rightarrow$   $\gamma$ 0)
  and effect-apply :: 'effect::fs  $\Rightarrow$  'state  $\Rightarrow$  'state (( $\cdot$ )- [0,101] 100) +
  assumes card-idx-perm: |UNIV::perm set| < o |UNIV::'idx set|
    and card-idx-state: |UNIV::'state set| < o |UNIV::'idx set|
begin

```

```

  definition FL-logically-equivalent :: 'effect first  $\Rightarrow$  'state  $\Rightarrow$  'state  $\Rightarrow$  bool where
    FL-logically-equivalent F P Q  $\equiv$ 
       $\forall x::('idx, 'pred, 'act, 'effect)$  formula.  $x \in \mathcal{A}[F] \longrightarrow (P \models x \longleftrightarrow Q \models x)$ 

```

We could (but didn't need to) prove that this defines an equivariant equivalence relation.

```

end

end
theory FL-Bisimilarity-Implies-Equivalence
imports
  FL-Logical-Equivalence
begin

```

## 17 $F/L$ -Bisimilarity Implies Logical Equivalence

```

context indexed-effect-nominal-ts
begin

```

```

  lemma FL-bisimilarity-implies-equivalence-Act:
    assumes  $f \in_{fs} F$ 
    and  $bn \ \alpha \ \#^* (F, f)$ 
    and  $x \in \mathcal{A}[L (\alpha, F, f)]$ 
    and  $\bigwedge P Q. P \sim \cdot [L (\alpha, F, f)] Q \Longrightarrow P \models x \longleftrightarrow Q \models x$ 

```

**and**  $P \sim \cdot [F] Q$   
**and**  $P \models \text{Act } f \alpha x$   
**shows**  $Q \models \text{Act } f \alpha x$   
**proof** –  
**have**  $\text{finite } (\langle f \rangle Q, F, f)$   
**by** (*fact finite-supp*)  
**with**  $\langle P \models \text{Act } f \alpha x \rangle$  **obtain**  $\alpha' x' P'$  **where**  $\text{eq}: \text{Act } f \alpha x = \text{Act } f \alpha' x'$  **and**  
*trans*:  $\langle f \rangle P \rightarrow \langle \alpha', P' \rangle$  **and** *valid*:  $P' \models x'$  **and** *fresh*:  $\text{bn } \alpha' \#* (\langle f \rangle Q, F, f)$   
**by** (*metis FL-valid-Act-strong*)

**from**  $\langle P \sim \cdot [F] Q \rangle$  **and**  $\langle f \in_{fs} F \rangle$  **and** *fresh* **and** *trans* **obtain**  $Q'$  **where**  
*trans'*:  $\langle f \rangle Q \rightarrow \langle \alpha', Q' \rangle$  **and** *bisim'*:  $P' \sim \cdot [L(\alpha', F, f)] Q'$   
**by** (*metis FL-bisimilar-simulation-step*)

**from** *eq* **obtain**  $p$  **where**  $p\text{-}\alpha: \alpha' = p \cdot \alpha$  **and**  $p\text{-}x: x' = p \cdot x$   
**and** *fresh-p*:  $(\text{supp } x - \text{bn } \alpha) \#* p \wedge (\text{supp } \alpha - \text{bn } \alpha) \#* p$   
**and** *supp-p*:  $\text{supp } p \subseteq \text{bn } \alpha \cup p \cdot \text{bn } \alpha$   
**by** (*metis Act-eq-iff-perm-renaming*)

**from** *valid* **and**  $p\text{-}x$  **have**  $-p \cdot P' \models x$   
**by** (*metis permute-minus-cancel(2) FL-valid-eqvt*)

**moreover from** *fresh* **and**  $p\text{-}\alpha$  **have**  $(p \cdot \text{bn } \alpha) \#* (F, f)$   
**by** (*simp add: bn-eqvt fresh-star-Pair*)  
**with**  $\langle \text{bn } \alpha \#* (F, f) \rangle$  **and** *supp-p* **have**  $\text{supp } (F, f) \#* p$   
**by** (*meson UnE fresh-def fresh-star-def subsetCE*)  
**then have**  $\text{supp } F \#* p$  **and**  $\text{supp } f \#* p$   
**by** (*simp add: fresh-star-Un supp-Pair*)+

**with** *bisim'* **and**  $p\text{-}\alpha$  **have**  $(-p \cdot P') \sim \cdot [L(\alpha, F, f)] (-p \cdot Q')$   
**by** (*metis FL-bisimilar-eqvt L-eqvt' permute-minus-cancel(2) supp-perm-eq*)

**ultimately have**  $-p \cdot Q' \models x$   
**using**  $\langle \bigwedge P Q. P \sim \cdot [L(\alpha, F, f)] Q \implies P \models x \longleftrightarrow Q \models x \rangle$  **by** *metis*

**with**  $p\text{-}x$  **have**  $Q' \models x'$   
**by** (*metis permute-minus-cancel(1) FL-valid-eqvt*)

**with** *eq* **and** *trans'* **show**  $Q \models \text{Act } f \alpha x$   
**unfolding** *FL-valid-Act* **by** *metis*  
**qed**

**theorem** *FL-bisimilarity-implies-equivalence*: **assumes**  $P \sim \cdot [F] Q$  **shows** *FL-logically-equivalent*  
 $F P Q$   
**unfolding** *FL-logically-equivalent-def* **proof**  
**fix**  $x :: ('idx, 'pred, 'act, 'effect) \text{ formula}$   
**show**  $x \in \mathcal{A}[F] \longrightarrow P \models x \longleftrightarrow Q \models x$   
**proof**  
**assume**  $x \in \mathcal{A}[F]$  **then show**  $P \models x \longleftrightarrow Q \models x$

```

using assms proof (induction x arbitrary: P Q)
  case Conj then show ?case
    by simp
next
  case Not then show ?case
    by simp
next
  case Pred then show ?case
    by (metis FL-bisimilar-is-L-bisimulation is-L-bisimulation-def symp-def
FL-valid-Pred)
next
  case Act then show ?case
    by (metis FL-bisimilar-symp FL-bisimilarity-implies-equivalence-Act sympE)
qed
qed
qed

end

end
theory FL-Equivalence-Implies-Bisimilarity
imports
  FL-Logical-Equivalence
begin

```

## 18 Logical Equivalence Implies $F/L$ -Bisimilarity

```

context indexed-effect-nominal-ts
begin

```

**definition** *is-distinguishing-formula* :: (*'idx, 'pred, 'act, 'effect*) *formula*  $\Rightarrow$  *'state*  
 $\Rightarrow$  *'state*  $\Rightarrow$  *bool*

(- *distinguishes* - from - [*100,100,100*] *100*)

**where**

*x distinguishes P from Q*  $\equiv P \models x \wedge \neg Q \models x$

**lemma** *is-distinguishing-formula-eqvt* :

**assumes** *x distinguishes P from Q* **shows** (*p*  $\cdot$  *x*) *distinguishes* (*p*  $\cdot$  *P*) *from* (*p*  $\cdot$  *Q*)

**using** *assms* **unfolding** *is-distinguishing-formula-def*

**by** (*metis permute-minus-cancel(2) FL-valid-eqvt*)

**lemma** *FL-equivalent-iff-not-distinguished*:

*FL-logically-equivalent F P Q*  $\longleftrightarrow \neg(\exists x. x \in \mathcal{A}[F] \wedge x \text{ distinguishes } P \text{ from } Q)$

**by** (*meson FL-logically-equivalent-def Not is-distinguishing-formula-def FL-valid-Not*)

There exists a distinguishing formula for  $P$  and  $Q$  in  $\mathcal{A}[F]$  whose support is contained in  $\text{supp}(F, P)$ .

**lemma** *FL-distinguished-bounded-support*:

**assumes**  $x \in \mathcal{A}[F]$  **and**  $x$  *distinguishes*  $P$  **from**  $Q$   
**obtains**  $y$  **where**  $y \in \mathcal{A}[F]$  **and**  $\text{supp } y \subseteq \text{supp } (F,P)$  **and**  $y$  *distinguishes*  $P$   
*from*  $Q$   
**proof** –  
**let**  $?B = \{p \cdot x \mid p. \text{supp } (F,P) \#* p\}$   
**have**  $\text{supp } (F,P)$  *supports*  $?B$   
**unfolding** *supports-def* **proof** (*clarify*)  
**fix**  $a$   $b$   
**assume**  $a: a \notin \text{supp } (F,P)$  **and**  $b: b \notin \text{supp } (F,P)$   
**have**  $(a \rightleftharpoons b) \cdot ?B \subseteq ?B$   
**proof**  
**fix**  $x'$   
**assume**  $x' \in (a \rightleftharpoons b) \cdot ?B$   
**then obtain**  $p$  **where**  $1: x' = (a \rightleftharpoons b) \cdot p \cdot x$  **and**  $2: \text{supp } (F,P) \#* p$   
**by** (*auto simp add: permute-set-def*)  
**let**  $?q = (a \rightleftharpoons b) + p$   
**from**  $1$  **have**  $x' = ?q \cdot x$   
**by** *simp*  
**moreover from**  $a$  **and**  $b$  **and**  $2$  **have**  $\text{supp } (F,P) \#* ?q$   
**by** (*metis fresh-perm fresh-star-def fresh-star-plus swap-atom-simps(3)*)  
**ultimately show**  $x' \in ?B$  **by** *blast*  
**qed**  
**moreover have**  $?B \subseteq (a \rightleftharpoons b) \cdot ?B$   
**proof**  
**fix**  $x'$   
**assume**  $x' \in ?B$   
**then obtain**  $p$  **where**  $1: x' = p \cdot x$  **and**  $2: \text{supp } (F,P) \#* p$   
**by** *auto*  
**let**  $?q = (a \rightleftharpoons b) + p$   
**from**  $1$  **have**  $x' = (a \rightleftharpoons b) \cdot ?q \cdot x$   
**by** *simp*  
**moreover from**  $a$  **and**  $b$  **and**  $2$  **have**  $\text{supp } (F,P) \#* ?q$   
**by** (*metis fresh-perm fresh-star-def fresh-star-plus swap-atom-simps(3)*)  
**ultimately show**  $x' \in (a \rightleftharpoons b) \cdot ?B$   
**using** *mem-permute-iff* **by** *blast*  
**qed**  
**ultimately show**  $(a \rightleftharpoons b) \cdot ?B = ?B$  ..  
**qed**  
**then have** *supp-B-subset-supp-P*:  $\text{supp } ?B \subseteq \text{supp } (F,P)$   
**by** (*metis (erased, lifting) finite-supp supp-is-subset*)  
**then have** *finite-supp-B*: *finite* ( $\text{supp } ?B$ )  
**using** *finite-supp rev-finite-subset* **by** *blast*  
  
**have**  $?B \subseteq (\lambda p. p \cdot x) \text{ ' } UNIV$   
**by** *auto*  
**then have**  $|?B| \leq o \text{ ' } |UNIV \text{ ' } perm \text{ set}|$   
**by** (*rule surj-imp-ordLeq*)  
**also have**  $|UNIV \text{ ' } perm \text{ set}| < o \text{ ' } |UNIV \text{ ' } idx \text{ set}|$   
**by** (*metis card-idx-perm*)

also have  $|UNIV :: 'idx\ set| \leq o\ natLeq + c\ |UNIV :: 'idx\ set|$   
 by (metis Cnotzero-UNIV ordLeq-csum2)  
 finally have  $card-B: |?B| < o\ natLeq + c\ |UNIV :: 'idx\ set|$  .

let  $?y = Conj (Abs-bset ?B) :: ('idx, 'pred, 'act, 'effect)\ formula$

from *finite-supp-B* and *card-B* and *supp-B-subset-supp-P* have  $supp\ ?y \subseteq$   
 $supp\ (F,P)$   
 by *simp*  
 moreover have  $?y \in \mathcal{A}[F]$   
 proof  
 show  $finite\ (supp\ (Abs-bset\ ?B :: (\cdot, \cdot, \cdot, \cdot)\ formula\ set['idx]))$   
 using *finite-supp-B card-B* by *simp*  
 next  
 fix  $x'$   
 assume  $x' \in set-bset\ (Abs-bset\ ?B :: (\cdot, \cdot, \cdot, \cdot)\ formula\ set['idx])$   
 then obtain  $p$  where  $p-x: x' = p \cdot x$  and  $fresh-p: supp\ (F,P) \#* p$   
 using *card-B* by *auto*  
 from *fresh-p* have  $p \cdot F = F$   
 using *fresh-star-Pair fresh-star-supp-conv perm-supp-eq* by *blast*  
 with  $\langle x \in \mathcal{A}[F] \rangle$  show  $x' \in \mathcal{A}[F]$   
 using  $p-x$  by (metis *is-FL-formula-eqvt*)  
 qed  
 moreover have  $?y$  distinguishes  $P$  from  $Q$   
 unfolding *is-distinguishing-formula-def* proof  
 from  $\langle x$  distinguishes  $P$  from  $Q \rangle$  show  $P \models ?y$   
 by (auto simp add: *card-B finite-supp-B*) (metis *is-distinguishing-formula-def*  
*fresh-star-Un supp-Pair supp-perm-eq FL-valid-eqvt*)  
 next  
 from  $\langle x$  distinguishes  $P$  from  $Q \rangle$  show  $\neg Q \models ?y$   
 by (auto simp add: *card-B finite-supp-B*) (metis *is-distinguishing-formula-def*  
*permute-zero fresh-star-zero*)  
 qed  
 ultimately show  $?thesis$   
 using *that* by *blast*  
 qed

lemma *FL-equivalence-is-L-bisimulation: is-L-bisimulation FL-logically-equivalent*

proof –

{  
 fix  $F$  have *symp* (*FL-logically-equivalent*  $F$ )  
 by (rule *sympI*) (metis *FL-logically-equivalent-def*)  
 }  
 moreover  
 {  
 fix  $F P Q f \varphi$   
 assume *FL-logically-equivalent*  $F P Q$  and  $f \in_{fs}\ F$  and  $\langle f \rangle P \vdash \varphi$   
 then have  $\langle f \rangle Q \vdash \varphi$   
 by (metis *FL-logically-equivalent-def Pred FL-valid-Pred*)  
 }

```

}
moreover
{
  fix  $F P Q f \alpha P'$ 
  assume FL-logically-equivalent  $F P Q$  and  $f \in_{fs} F$  and  $bn \alpha \#* (\langle f \rangle Q, F, f)$  and  $\langle f \rangle P \rightarrow \langle \alpha, P' \rangle$ 
  then have  $\exists Q'. \langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle \wedge$  FL-logically-equivalent  $(L (\alpha, F, f)) P' Q'$ 
  proof -
  {
    let  $?Q' = \{Q'. \langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle\}$ 
    assume  $\forall Q' \in ?Q'. \neg$  FL-logically-equivalent  $(L (\alpha, F, f)) P' Q'$ 
    then have  $\forall Q' \in ?Q'. \exists x :: ('idx, 'pred, 'act, 'effect)$  formula.  $x \in \mathcal{A}[L (\alpha, F, f)] \wedge x$  distinguishes  $P'$  from  $Q'$ 
      by (metis FL-equivalent-iff-not-distinguished)
    then have  $\forall Q' \in ?Q'. \exists x :: ('idx, 'pred, 'act, 'effect)$  formula.  $x \in \mathcal{A}[L (\alpha, F, f)] \wedge \text{supp } x \subseteq \text{supp } (L (\alpha, F, f), P') \wedge x$  distinguishes  $P'$  from  $Q'$ 
      by (metis FL-distinguished-bounded-support)
    then obtain  $g :: 'state \Rightarrow ('idx, 'pred, 'act, 'effect)$  formula where
       $*$ :  $\forall Q' \in ?Q'. g Q' \in \mathcal{A}[L (\alpha, F, f)] \wedge \text{supp } (g Q') \subseteq \text{supp } (L (\alpha, F, f), P')$ 
       $\wedge (g Q')$  distinguishes  $P'$  from  $Q'$ 
      by metis
    have  $\text{supp } (g \text{ ' } ?Q') \subseteq \text{supp } (L (\alpha, F, f), P')$ 
      by (rule set-bounded-supp, fact finite-supp, cut-tac *, blast)
    then have finite-supp-image: finite  $(\text{supp } (g \text{ ' } ?Q'))$ 
      using finite-supp rev-finite-subset by blast
    have  $|g \text{ ' } ?Q'| \leq o \text{ |UNIV :: 'state set|}$ 
      by (metis card-of-UNIV card-of-image ordLeq-transitive)
    also have  $|UNIV :: 'state set| < o \text{ |UNIV :: 'idx set|}$ 
      by (metis card-idx-state)
    also have  $|UNIV :: 'idx set| \leq o \text{ natLeq } + c \text{ |UNIV :: 'idx set|}$ 
      by (metis Cnotzero-UNIV ordLeq-csum2)
    finally have card-image:  $|g \text{ ' } ?Q'| < o \text{ natLeq } + c \text{ |UNIV :: 'idx set|}$  .
    let  $?y = \text{Conj } (\text{Abs-bset } (g \text{ ' } ?Q')) :: ('idx, 'pred, 'act, 'effect)$  formula
    have  $\text{Act } f \alpha ?y \in \mathcal{A}[F]$ 
    proof
      from  $\langle f \in_{fs} F \rangle$  show  $f \in_{fs} F$  .
    next
      from  $\langle bn \alpha \#* (\langle f \rangle Q, F, f) \rangle$  show  $bn \alpha \#* (F, f)$ 
      using fresh-star-Pair by blast
    next
      show  $\text{Conj } (\text{Abs-bset } (g \text{ ' } ?Q')) \in \mathcal{A}[L (\alpha, F, f)]$ 
      proof
        show finite  $(\text{supp } (\text{Abs-bset } (g \text{ ' } ?Q') :: (-, -, -, -)$  formula set $['idx]))$ 
          using finite-supp-image card-image by simp
        next
          fix  $x'$ 
          assume  $x' \in \text{set-bset } (\text{Abs-bset } (g \text{ ' } ?Q') :: (-, -, -, -)$  formula set $['idx])$ 
          then obtain  $Q'$  where  $x' = g Q'$  and  $\langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle$ 
          using card-image by auto
      qed
    qed
  }
}

```

```

      with * show  $x' \in \mathcal{A}[L(\alpha, F, f)]$ 
      using mem-Collect-eq by blast
    qed
  qed
  moreover have  $P \models \text{Act } f \ \alpha \ ?y$ 
  unfolding FL-valid-Act proof (standard+)
  show  $\langle f \rangle P \rightarrow \langle \alpha, P' \rangle$  by fact
next
{
  fix  $Q'$ 
  assume  $\langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle$ 
  with * have  $P' \models g \ Q'$ 
  by (metis is-distinguishing-formula-def mem-Collect-eq)
}
then show  $P' \models ?y$ 
  by (simp add: finite-supp-image card-image)
qed
moreover have  $\neg Q \models \text{Act } f \ \alpha \ ?y$ 
proof
  assume  $Q \models \text{Act } f \ \alpha \ ?y$ 
  then obtain  $Q'$  where 1:  $\langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle$  and 2:  $Q' \models ?y$ 
  using  $\langle \text{bn } \alpha \ \dagger^* (\langle f \rangle Q, F, f) \rangle$  by (metis fresh-star-Pair FL-valid-Act-fresh)
  from 2 have  $\bigwedge Q''. \langle f \rangle Q \rightarrow \langle \alpha, Q'' \rangle \longrightarrow Q' \models g \ Q''$ 
  by (simp add: finite-supp-image card-image)
  with 1 and * show False
  using is-distinguishing-formula-def by blast
qed
ultimately have False
  by (metis \langle FL-logically-equivalent F P Q \rangle FL-logically-equivalent-def)
}
then show ?thesis by auto
qed
}
ultimately show ?thesis
  unfolding is-L-bisimulation-def by metis
qed

```

**theorem** *FL-equivalence-implies-bisimilarity*: **assumes** *FL-logically-equivalent F P Q* **shows**  $P \sim_{\cdot[F]} Q$   
 using *assms* by (*metis FL-bisimilar-def FL-equivalence-is-L-bisimulation*)

end

end

**theory** *L-Transform*

**imports**

*Validity*

*Bisimilarity-Implies-Equivalence*

*FL-Equivalence-Implies-Bisimilarity*



begin

## 19 $L$ -Transform

### 19.1 States

The intuition is that states of kind  $AC$  can perform ordinary actions, and states of kind  $EF$  can commit effects.

```
datatype ('state,'effect)  $L$ -state =  
   $AC$  'effect  $\times$  'effect fs-set  $\times$  'state  
  |  $EF$  'effect fs-set  $\times$  'state
```

```
instantiation  $L$ -state :: (pt,pt) pt  
begin
```

```
  fun permute- $L$ -state :: perm  $\Rightarrow$  ('a,'b)  $L$ -state  $\Rightarrow$  ('a,'b)  $L$ -state where  
     $p \cdot (AC\ x) = AC\ (p \cdot x)$   
  |  $p \cdot (EF\ x) = EF\ (p \cdot x)$ 
```

```
  instance  
  proof  
    fix  $x :: ('a,'b)\ L$ -state  
    show  $0 \cdot x = x$  by (cases  $x$ , simp-all)  
  next  
    fix  $p\ q$  and  $x :: ('a,'b)\ L$ -state  
    show  $(p + q) \cdot x = p \cdot q \cdot x$  by (cases  $x$ , simp-all)  
  qed
```

end

```
declare permute- $L$ -state.simps [eqvt]
```

```
lemma supp- $AC$  [simp]: supp ( $AC\ x$ ) = supp  $x$   
unfolding supp-def by simp
```

```
lemma supp- $EF$  [simp]: supp ( $EF\ x$ ) = supp  $x$   
unfolding supp-def by simp
```

```
instantiation  $L$ -state :: (fs,fs) fs  
begin
```

```
  instance  
  proof  
    fix  $x :: ('a,'b)\ L$ -state  
    show finite (supp  $x$ )  
    by (cases  $x$ ) (simp add: finite-supp)+  
  qed
```

end

## 19.2 Actions and binding names

```
datatype ('act,'effect) L-action =  
  Act 'act  
  | Eff 'effect
```

```
instantiation L-action :: (pt,pt) pt  
begin
```

```
  fun permute-L-action :: perm  $\Rightarrow$  ('a,'b) L-action  $\Rightarrow$  ('a,'b) L-action where  
    p  $\cdot$  (Act  $\alpha$ ) = Act (p  $\cdot$   $\alpha$ )  
  | p  $\cdot$  (Eff f) = Eff (p  $\cdot$  f)
```

```
instance
```

```
proof
```

```
  fix x :: ('a,'b) L-action
```

```
  show 0  $\cdot$  x = x by (cases x, simp-all)
```

```
next
```

```
  fix p q and x :: ('a,'b) L-action
```

```
  show (p + q)  $\cdot$  x = p  $\cdot$  q  $\cdot$  x by (cases x, simp-all)
```

```
qed
```

end

```
declare permute-L-action.simps [eqvt]
```

```
lemma supp-Act [simp]: supp (Act  $\alpha$ ) = supp  $\alpha$   
unfolding supp-def by simp
```

```
lemma supp-Eff [simp]: supp (Eff f) = supp f  
unfolding supp-def by simp
```

```
instantiation L-action :: (fs,fs) fs  
begin
```

```
instance
```

```
proof
```

```
  fix x :: ('a,'b) L-action
```

```
  show finite (supp x)
```

```
    by (cases x) (simp add: finite-supp)+
```

```
qed
```

end

```
instantiation L-action :: (bn,fs) bn  
begin
```

```

fun bn-L-action :: ('a,'b) L-action  $\Rightarrow$  atom set where
  bn-L-action (Act  $\alpha$ ) = bn  $\alpha$ 
| bn-L-action (Eff -) = {}

```

**instance**

**proof**

```

fix p and  $\alpha$  :: ('a,'b) L-action
show p  $\cdot$  bn  $\alpha$  = bn (p  $\cdot$   $\alpha$ )
by (cases  $\alpha$ ) (simp add: bn-eqvt, simp)

```

**next**

```

fix  $\alpha$  :: ('a,'b) L-action
show finite (bn  $\alpha$ )
by (cases  $\alpha$ ) (simp add: bn-finite, simp)

```

**qed**

**end**

### 19.3 Satisfaction

**context** effect-nominal-ts

**begin**

```

fun L-satisfies :: ('state,'effect) L-state  $\Rightarrow$  'pred  $\Rightarrow$  bool (infix  $\vdash_L$  70) where
  AC (-,-,P)  $\vdash_L$   $\varphi \longleftrightarrow$  P  $\vdash$   $\varphi$ 
| EF -  $\vdash_L$   $\varphi \longleftrightarrow$  False

```

**lemma** L-satisfies-eqvt: **assumes**  $P_L \vdash_L \varphi$  **shows** (p  $\cdot$   $P_L$ )  $\vdash_L$  (p  $\cdot$   $\varphi$ )

**proof** (cases  $P_L$ )

**case** (AC fFP)

```

with assms have snd (snd fFP)  $\vdash$   $\varphi$ 
by (metis L-satisfies.simps(1) prod.collapse)
then have snd (snd (p  $\cdot$  fFP))  $\vdash$  p  $\cdot$   $\varphi$ 
by (metis satisfies-eqvt snd-eqvt)

```

**then** **show** ?thesis

**using** AC **by** (metis L-satisfies.simps(1) permute-L-state.simps(1) prod.collapse)

**next**

**case** EF

**with** *assms* **have** False

**by** simp

**then** **show** ?thesis ..

**qed**

**end**

### 19.4 Transitions

**context** effect-nominal-ts

**begin**

```

fun L-transition :: ('state,'effect) L-state  $\Rightarrow$  (('act,'effect) L-action, ('state,'effect)
L-state) residual  $\Rightarrow$  bool (infix  $\rightarrow_L$  70) where
  AC (f,F,P)  $\rightarrow_L$   $\alpha P' \longleftrightarrow (\exists \alpha P'. P \rightarrow \langle \alpha, P' \rangle \wedge \alpha P' = \langle \text{Act } \alpha, \text{EF } (L (\alpha, F, f), P') \rangle \wedge \text{bn } \alpha \#* (F, f))$  — note the freshness condition
  | EF (F,P)  $\rightarrow_L$   $\alpha P' \longleftrightarrow (\exists f. f \in_{fs} F \wedge \alpha P' = \langle \text{Eff } f, \text{AC } (f, F, \langle f \rangle P) \rangle)$ 

lemma L-transition-eqt: assumes  $P_L \rightarrow_L \alpha_L P_L'$  shows  $(p \cdot P_L) \rightarrow_L (p \cdot \alpha_L P_L')$ 
proof (cases  $P_L$ )
  case AC
  {
    fix f F P
    assume *:  $P_L = \text{AC } (f, F, P)$ 
    with assms obtain  $\alpha P'$  where trans:  $P \rightarrow \langle \alpha, P' \rangle$  and  $\alpha P'$ :  $\alpha_L P_L' = \langle \text{Act } \alpha, \text{EF } (L (\alpha, F, f), P') \rangle$  and fresh:  $\text{bn } \alpha \#* (F, f)$ 
    by auto
    from trans have  $p \cdot P \rightarrow \langle p \cdot \alpha, p \cdot P' \rangle$ 
    by (simp add: transition-eqt')
    moreover from  $\alpha P'$  have  $p \cdot \alpha_L P_L' = \langle \text{Act } (p \cdot \alpha), \text{EF } (L (p \cdot \alpha, p \cdot F, p \cdot f), p \cdot P') \rangle$ 
    by (simp add: L-eqt')
    moreover from fresh have  $\text{bn } (p \cdot \alpha) \#* (p \cdot F, p \cdot f)$ 
    by (metis bn-eqt fresh-star-Pair fresh-star-permute-iff)
    ultimately have  $p \cdot P_L \rightarrow_L p \cdot \alpha_L P_L'$ 
    using * by auto
  }
with AC show ?thesis
  by (metis prod.collapse)
next
case EF
  {
    fix F P
    assume *:  $P_L = \text{EF } (F, P)$ 
    with assms obtain f where  $f \in_{fs} F$  and  $\alpha_L P_L' = \langle \text{Eff } f, \text{AC } (f, F, \langle f \rangle P) \rangle$ 
    by auto
    then have  $(p \cdot f) \in_{fs} (p \cdot F)$  and  $p \cdot \alpha_L P_L' = \langle \text{Eff } (p \cdot f), \text{AC } (p \cdot f, p \cdot F, \langle p \cdot f \rangle (p \cdot P)) \rangle$ 
    by simp+
    then have  $p \cdot P_L \rightarrow_L p \cdot \alpha_L P_L'$ 
    using * L-transition.simps(2) Pair-eqt permute-L-state.simps(2) by force
  }
with EF show ?thesis
  by (metis prod.collapse)
qed

```

The binding names in the alpha-variant that witnesses the  $L$ -transition may be chosen fresh for any finitely supported context.

**lemma** *L-transition-AC-strong*:

**assumes** *finite* (*supp X*) **and**  $\text{AC } (f, F, P) \rightarrow_L \langle \alpha_L, P_L' \rangle$

**shows**  $\exists \alpha P'. P \rightarrow \langle \alpha, P^\wedge \rangle \wedge \langle \alpha_L, P_L^\wedge \rangle = \langle \text{Act } \alpha, \text{EF } (L (\alpha, F, f), P') \rangle \wedge \text{bn } \alpha \#_* X$   
**using** *assms proof* –  
**from**  $\langle \text{AC } (f, F, P) \rightarrow_L \langle \alpha_L, P_L^\wedge \rangle \rangle$  **obtain**  $\alpha P'$  **where transition:**  $P \rightarrow \langle \alpha, P^\wedge \rangle$   
**and alpha:**  $\langle \alpha_L, P_L^\wedge \rangle = \langle \text{Act } \alpha, \text{EF } (L (\alpha, F, f), P') \rangle$  **and fresh:**  $\text{bn } \alpha \#_* (F, f)$   
**by** (*metis L-transition.simps(1)*)  
**let**  $?Act = \text{Act } \alpha :: ('act, 'effect) \text{ L-action}$  — the type annotation prevents a type that is too polymorphic and doesn't fix 'effect  
**have** *finite* ( $\text{bn } \alpha$ )  
**by** (*fact bn-finite*)  
**moreover note** (*finite (supp X)*)  
**moreover have** *finite* ( $\langle ?Act, \text{EF } (L (\alpha, F, f), P') \rangle, \langle \alpha, P^\wedge \rangle, F, f$ )  
**by** (*metis finite-Diff finite-UnI finite-supp supp-Pair supp-abs-residual-pair*)  
**moreover from fresh have**  $\text{bn } \alpha \#_* (\langle ?Act, \text{EF } (L (\alpha, F, f), P') \rangle, \langle \alpha, P^\wedge \rangle, F, f)$   
**by** (*auto simp add: fresh-star-def fresh-def supp-Pair supp-abs-residual-pair*)  
**ultimately obtain**  $p$  **where fresh-X:**  $(p \cdot \text{bn } \alpha) \#_* X$  **and**  $\text{supp } (\langle ?Act, \text{EF } (L (\alpha, F, f), P') \rangle, \langle \alpha, P^\wedge \rangle, F, f) \#_* p$   
**by** (*metis at-set-avoiding2*)  
**then have**  $\text{supp } \langle ?Act, \text{EF } (L (\alpha, F, f), P') \rangle \#_* p$  **and**  $\text{supp } \langle \alpha, P^\wedge \rangle \#_* p$  **and**  $\text{supp } (F, f) \#_* p$   
**by** (*metis fresh-star-Un supp-Pair*)+  
**then have**  $p \cdot \langle ?Act, \text{EF } (L (\alpha, F, f), P') \rangle = \langle ?Act, \text{EF } (L (\alpha, F, f), P') \rangle$  **and**  $p \cdot \langle \alpha, P^\wedge \rangle = \langle \alpha, P^\wedge \rangle$  **and**  $p \cdot (F, f) = (F, f)$   
**by** (*metis supp-perm-eq*)+  
**then have**  $\langle \text{Act } (p \cdot \alpha), \text{EF } (L (p \cdot \alpha, F, f), p \cdot P') \rangle = \langle ?Act, \text{EF } (L (\alpha, F, f), P') \rangle$  **and**  $\langle p \cdot \alpha, p \cdot P' \rangle = \langle \alpha, P^\wedge \rangle$   
**using** *permute-L-action.simps(1) permute-L-state.simps(2) abs-residual-pair-eqt L-eqt' Pair-eqt* **by** *auto*  
**then show**  $\exists \alpha P'. P \rightarrow \langle \alpha, P^\wedge \rangle \wedge \langle \alpha_L, P_L^\wedge \rangle = \langle \text{Act } \alpha, \text{EF } (L (\alpha, F, f), P') \rangle \wedge \text{bn } \alpha \#_* X$   
**using** *transition and alpha and fresh-X* **by** (*metis bn-eqt*)  
**qed**

**lemma** *L-transition-AC-fresh:*

**assumes**  $\text{bn } \alpha \#_* (F, f, P)$

**shows**  $\text{AC } (f, F, P) \rightarrow_L \langle \text{Act } \alpha, P_L^\wedge \rangle \longleftrightarrow (\exists P'. P_L' = \text{EF } (L (\alpha, F, f), P') \wedge P \rightarrow \langle \alpha, P^\wedge \rangle)$

**proof**

**assume**  $\text{AC } (f, F, P) \rightarrow_L \langle \text{Act } \alpha, P_L^\wedge \rangle$

**moreover have** *finite* ( $\text{supp } (F, f, P)$ )

**by** (*fact finite-supp*)

**ultimately obtain**  $\alpha' P'$  **where trans:**  $P \rightarrow \langle \alpha', P^\wedge \rangle$  **and eq:**  $\langle \text{Act } \alpha :: ('act, 'effect) \text{ L-action}, P_L^\wedge \rangle = \langle \text{Act } \alpha', \text{EF } (L (\alpha', F, f), P') \rangle$  **and fresh:**  $\text{bn } \alpha' \#_* (F, f, P)$

**using** *L-transition-AC-strong* **by** *blast*

**from eq obtain**  $p$  **where**  $p \cdot (\text{Act } \alpha :: ('act, 'effect) \text{ L-action}, P_L^\wedge) = (\text{Act } \alpha', \text{EF } (L (\alpha', F, f), P'))$  **and**  $\text{supp-}p: \text{supp } p \subseteq \text{bn } (\text{Act } \alpha :: ('act, 'effect) \text{ L-action})$

$\cup p \cdot \text{bn } (\text{Act } \alpha :: ('act, 'effect) \text{ L-action})$   
**using** *residual-eq-iff-perm-renaming* **by** *metis*

**from**  $p$  **have**  $p\text{-}\alpha: p \cdot \alpha = \alpha'$  **and**  $p\text{-}P_{L'}: p \cdot P_{L'} = EF (L (\alpha', F, f), P')$   
**by** *simp-all*

**from** *supp-p* **and**  $p\text{-}\alpha$  **and** *assms* **and** *fresh* **have**  $\text{supp } p \#^* (F, f, P)$   
**by** (*simp add: bn-eqvt fresh-star-def*) *blast*

**then** **have**  $p\text{-}F: p \cdot F = F$  **and**  $p\text{-}f: p \cdot f = f$  **and**  $p\text{-}P: p \cdot P = P$   
**by** (*simp-all add: fresh-star-Pair perm-supp-eq*)

**from**  $p\text{-}P_{L'}$  **have**  $P_{L'} = -p \cdot EF (L (\alpha', F, f), P')$   
**by** (*metis permute-minus-cancel(2)*)

**then** **have**  $P_{L'} = EF (L (\alpha, F, f), -p \cdot P')$   
**using**  $p\text{-}\alpha$   $p\text{-}F$   $p\text{-}f$  **by** *simp* (*metis (full-types) permute-minus-cancel(2)*)

**moreover from** *trans* **have**  $P \rightarrow \langle \alpha, -p \cdot P' \rangle$   
**using**  $p\text{-}P$  **and**  $p\text{-}\alpha$  **by** (*metis permute-minus-cancel(2) transition-eqvt'*)

**ultimately show**  $\exists P'. P_{L'} = EF (L (\alpha, F, f), P') \wedge P \rightarrow \langle \alpha, P' \rangle$   
**by** *blast*

**next**

**assume**  $\exists P'. P_{L'} = EF (L (\alpha, F, f), P') \wedge P \rightarrow \langle \alpha, P' \rangle$   
**moreover from** *assms* **have**  $\text{bn } \alpha \#^* (F, f)$   
**by** (*simp add: fresh-star-Pair*)

**ultimately show**  $AC (f, F, P) \rightarrow_L \langle \text{Act } \alpha, P_{L'} \rangle$   
**using** *L-transition.simps(1)* **by** *blast*

**qed**

**end**

## 19.5 Translation of $F/L$ -formulas into formulas without effects

Since we defined formulas via a manual quotient construction, we also need to define the  $L$ -transform via lifting from the underlying type of infinitely branching trees. As before, we cannot use **nominal\_function** because that generates proof obligations where, for formulas of the form  $FL\text{-Formula.Conj } xset$ , the assumption that  $xset$  has finite support is missing.

The following auxiliary function returns trees (modulo  $\alpha$ -equivalence) rather than formulas. This allows us to prove equivariance for *all* argument trees, without an assumption that they are (hereditarily) finitely supported. Further below—after this auxiliary function has been lifted to  $F/L$ -formulas as arguments—we derive a version that returns formulas.

**primrec** *L-transform-Tree* ::  $('idx, 'pred::fs, 'act::bn, 'eff::fs) \text{ Tree} \Rightarrow ('idx, 'pred, ('act, 'eff) \text{ L-action}) \text{ Formula.Tree}_\alpha$  **where**  
*L-transform-Tree* (*tConj tset*) = *Formula.Conj* $_\alpha$  (*map-bset L-transform-Tree tset*)

|  $L\text{-transform-Tree } (t\text{Not } t) = \text{Formula.Not}_\alpha (L\text{-transform-Tree } t)$   
|  $L\text{-transform-Tree } (t\text{Pred } f \ \varphi) = \text{Formula.Act}_\alpha (\text{Eff } f) (\text{Formula.Pred}_\alpha \ \varphi)$   
|  $L\text{-transform-Tree } (t\text{Act } f \ \alpha \ t) = \text{Formula.Act}_\alpha (\text{Eff } f) (\text{Formula.Act}_\alpha (\text{Act } \alpha) (L\text{-transform-Tree } t))$

**lemma**  $L\text{-transform-Tree-eqt}$  [eqvt]:  $p \cdot L\text{-transform-Tree } t = L\text{-transform-Tree } (p \cdot t)$

**proof** (induct  $t$ )

**case** ( $t\text{Conj } tset$ )

**then show** ?case

**by** simp (metis (no-types, opaque-lifting) bset.map-cong0 map-bset-eqt permute-fun-def permute-minus-cancel(1))

**qed** simp-all

$L\text{-transform-Tree}$  respects  $\alpha$ -equivalence.

**lemma**  $\alpha\text{-Tree-}L\text{-transform-Tree}$ :

**assumes**  $\alpha\text{-Tree } t1 \ t2$

**shows**  $L\text{-transform-Tree } t1 = L\text{-transform-Tree } t2$

**using**  $\text{assms}$  **proof** (induction  $t1 \ t2$  rule:  $\alpha\text{-Tree-induct}'$ )

**case** ( $\alpha\text{-tConj } tset1 \ tset2$ )

**then have**  $\text{rel-bset } (=) (\text{map-bset } L\text{-transform-Tree } tset1) (\text{map-bset } L\text{-transform-Tree } tset2)$

**by** (simp add: bset.rel-map(1) bset.rel-map(2) bset.rel-mono-strong)

**then show** ?case

**by** (simp add: bset.rel-eq)

**next**

**case** ( $\alpha\text{-tAct } f1 \ \alpha1 \ t1 \ f2 \ \alpha2 \ t2$ )

**from**  $\langle \alpha\text{-Tree } (FL\text{-Formula.Tree.tAct } f1 \ \alpha1 \ t1) (FL\text{-Formula.Tree.tAct } f2 \ \alpha2 \ t2) \rangle$

**obtain**  $p$  **where**  $*$ :  $(bn \ \alpha1, \ t1) \approx_{\text{set}} \alpha\text{-Tree } (\text{supp-rel } \alpha\text{-Tree}) \ p \ (bn \ \alpha2, \ t2)$

**and**  $**$ :  $(bn \ \alpha1, \ \alpha1) \approx_{\text{set}} (=) \text{supp } p \ (bn \ \alpha2, \ \alpha2)$  **and**  $f1 = f2$

**by** auto

**from**  $*$  **have**  $\text{fresh}$ :  $(\text{supp-rel } \alpha\text{-Tree } t1 - bn \ \alpha1) \ \#\ast \ p$  **and**  $\alpha$ :  $\alpha\text{-Tree } (p \cdot t1) \ t2$  **and**  $\text{eq}$ :  $p \cdot bn \ \alpha1 = bn \ \alpha2$

**by** (auto simp add:  $\alpha\text{-set}$ )

**from**  $\alpha\text{-tAct.IH}(2)$  **have**  $\text{supp-rel } \text{Formula.alpha-Tree } (\text{Formula.rep-Tree}_\alpha (L\text{-transform-Tree } t1)) \subseteq \text{supp-rel } \alpha\text{-Tree } t1$

**by** (metis (no-types, lifting) infinite-mono  $\text{Formula.alpha-Tree-permute-rep-commute}$   $L\text{-transform-Tree-eqt}$  mem-Collect-eq subsetI  $\text{supp-rel-def}$ )

**with**  $\text{fresh}$  **have**  $\text{fresh}'$ :  $(\text{supp-rel } \text{Formula.alpha-Tree } (\text{Formula.rep-Tree}_\alpha (L\text{-transform-Tree } t1)) - bn \ \alpha1) \ \#\ast \ p$

**by** (meson DiffD1 DiffD2 DiffI  $\text{fresh-star-def}$  subsetCE)

**moreover from**  $\alpha$  **have**  $\alpha'$ :  $\text{Formula.alpha-Tree } (p \cdot \text{Formula.rep-Tree}_\alpha (L\text{-transform-Tree } t1)) (\text{Formula.rep-Tree}_\alpha (L\text{-transform-Tree } t2))$

**using**  $\alpha\text{-tAct.IH}(1)$  **by** (metis  $\text{Formula.alpha-Tree-permute-rep-commute}$   $L\text{-transform-Tree-eqt}$ )

**moreover from**  $\text{fresh}'$   $\alpha'$   $\text{eq}$  **have**  $\text{supp-rel } \text{Formula.alpha-Tree } (\text{Formula.rep-Tree}_\alpha (L\text{-transform-Tree } t1)) - bn \ \alpha1 = \text{supp-rel } \text{Formula.alpha-Tree } (\text{Formula.rep-Tree}_\alpha$

*(L-transform-Tree t2))* – *bn α2*  
**by** (*metis (mono-tags) Diff-eqvt Formula.alpha-Tree-eqvt' Formula.alpha-Tree-eqvt-ax Formula.alpha-Tree-supp-rel atom-set-perm-eq*)  
**ultimately have** (*bn α1, Formula.rep-Tree<sub>α</sub> (L-transform-Tree t1)*)  $\approx_{\text{set}}$  *Formula.alpha-Tree (supp-rel Formula.alpha-Tree) p (bn α2, Formula.rep-Tree<sub>α</sub> (L-transform-Tree t2))*  
**using eq by** (*simp add: alpha-set*)  
**moreover from \*\* have** (*bn α1, Act α1*)  $\approx_{\text{set}}$  (=) *supp p (bn α2, Act α2)*  
**by** (*metis (mono-tags, lifting) L-Transform.supp-Act alpha-set permute-L-action.simps(1)*)  
**ultimately have** *Formula.Act<sub>α</sub> (Act α1) (L-transform-Tree t1) = Formula.Act<sub>α</sub> (Act α2) (L-transform-Tree t2)*  
**by** (*auto simp add: Formula.Act<sub>α</sub>-eq-iff*)  
**with**  $\langle f1 = f2 \rangle$  **show** *?case*  
**by** *simp*  
**qed** *simp-all*

*L-transform for trees modulo α-equivalence.*

**lift-definition** *L-transform-Tree<sub>α</sub> :: ('idx, 'pred::fs, 'act::bn, 'eff::fs) Tree<sub>α</sub> ⇒ ('idx, 'pred, ('act, 'eff) L-action) Formula.Tree<sub>α</sub> is*  
*L-transform-Tree*  
**by** (*fact alpha-Tree-L-transform-Tree*)

**lemma** *L-transform-Tree<sub>α</sub>-eqvt [eqvt]: p · L-transform-Tree<sub>α</sub> t<sub>α</sub> = L-transform-Tree<sub>α</sub> (p · t<sub>α</sub>)*  
**by** *transfer (simp)*

**lemma** *L-transform-Tree<sub>α</sub>-Conj<sub>α</sub> [simp]: L-transform-Tree<sub>α</sub> (Conj<sub>α</sub> tset<sub>α</sub>) = Formula.Conj<sub>α</sub> (map-bset L-transform-Tree<sub>α</sub> tset<sub>α</sub>)*  
**by** (*simp add: Conj<sub>α</sub>-def' L-transform-Tree<sub>α</sub>.abs-eq (metis (no-types, lifting) L-transform-Tree<sub>α</sub>.rep-eq bset.map-comp bset.map-cong0 comp-apply)*)

**lemma** *L-transform-Tree<sub>α</sub>-Not<sub>α</sub> [simp]: L-transform-Tree<sub>α</sub> (Not<sub>α</sub> t<sub>α</sub>) = Formula.Not<sub>α</sub> (L-transform-Tree<sub>α</sub> t<sub>α</sub>)*  
**by** *transfer simp*

**lemma** *L-transform-Tree<sub>α</sub>-Pred<sub>α</sub> [simp]: L-transform-Tree<sub>α</sub> (Pred<sub>α</sub> f φ) = Formula.Act<sub>α</sub> (Eff f) (Formula.Pred<sub>α</sub> φ)*  
**by** *transfer simp*

**lemma** *L-transform-Tree<sub>α</sub>-Act<sub>α</sub> [simp]: L-transform-Tree<sub>α</sub> (Act<sub>α</sub> f α t<sub>α</sub>) = Formula.Act<sub>α</sub> (Eff f) (Formula.Act<sub>α</sub> (Act α) (L-transform-Tree<sub>α</sub> t<sub>α</sub>))*  
**by** *transfer simp*

**lemma** *finite-supp-map-bset-L-transform-Tree<sub>α</sub> [simp]:*  
**assumes** *finite (supp tset<sub>α</sub>)*  
**shows** *finite (supp (map-bset L-transform-Tree<sub>α</sub> tset<sub>α</sub>))*  
**proof** –  
**have** *eqvt map-bset and eqvt L-transform-Tree<sub>α</sub>*  
**by** (*simp add: eqvtI*)+



**then have**  $\text{supp } (\text{map-bset } L\text{-transform-Tree}_\alpha) = \{\}$   
**using**  $\text{supp-fun-eqvt } \text{supp-fun-app-eqvt}$  **by**  $\text{blast}$   
**then have**  $\text{supp } (\text{map-bset } L\text{-transform-Tree}_\alpha \text{ tset}_\alpha) \subseteq \text{supp } \text{tset}_\alpha$   
**using**  $\text{supp-fun-app}$  **by**  $\text{blast}$   
**with**  $\text{assms}$  **show**  $\text{finite } (\text{supp } (\text{map-bset } L\text{-transform-Tree}_\alpha \text{ tset}_\alpha))$   
**by**  $(\text{metis } \text{finite-subset})$   
**qed**

**lemma**  $L\text{-transform-Tree}_\alpha\text{-preserves-hereditarily-fs}$ :  
**assumes**  $\text{hereditarily-fs } t_\alpha$   
**shows**  $\text{Formula.hereditarily-fs } (L\text{-transform-Tree}_\alpha \text{ t}_\alpha)$   
**using**  $\text{assms}$  **proof**  $(\text{induct rule: hereditarily-fs.induct})$   
**case**  $(\text{Conj}_\alpha \text{ tset}_\alpha)$   
**then show**  $?case$   
**by**  $(\text{auto intro!: Formula.hereditarily-fs.Conj}_\alpha) (\text{metis } \text{imageE } \text{map-bset.rep-eq})$   
**next**  
**case**  $(\text{Not}_\alpha \text{ t}_\alpha)$   
**then show**  $?case$   
**by**  $(\text{simp add: Formula.hereditarily-fs.Not}_\alpha)$   
**next**  
**case**  $(\text{Pred}_\alpha \text{ f } \varphi)$   
**then show**  $?case$   
**by**  $(\text{simp add: Formula.hereditarily-fs.Act}_\alpha \text{ Formula.hereditarily-fs.Pred}_\alpha)$   
**next**  
**case**  $(\text{Act}_\alpha \text{ t}_\alpha \text{ f } \alpha)$   
**then show**  $?case$   
**by**  $(\text{simp add: Formula.hereditarily-fs.Act}_\alpha)$   
**qed**

$L$ -transform for  $F/L$ -formulas.

**lift-definition**  $L\text{-transform-formula} :: ('idx, 'pred::fs, 'act::bn, 'eff::fs) \text{ formula} \Rightarrow$   
 $( 'idx, 'pred, ('act, 'eff) L\text{-action}) \text{ Formula.Tree}_\alpha$  **is**  
 $L\text{-transform-Tree}_\alpha$   
 $.$

**lemma**  $L\text{-transform-formula-eqvt } [\text{eqvt}]: p \cdot L\text{-transform-formula } x = L\text{-transform-formula}$   
 $(p \cdot x)$   
**by**  $\text{transfer } (\text{simp})$

**lemma**  $L\text{-transform-formula-Conj } [\text{simp}]$ :  
**assumes**  $\text{finite } (\text{supp } \text{xset})$   
**shows**  $L\text{-transform-formula } (\text{Conj } \text{xset}) = \text{Formula.Conj}_\alpha (\text{map-bset } L\text{-transform-formula}$   
 $\text{xset})$   
**using**  $\text{assms}$  **by**  $(\text{simp add: Conj-def } L\text{-transform-formula-def } \text{bset.map-comp}$   
 $\text{map-fun-def})$

**lemma**  $L\text{-transform-formula-Not } [\text{simp}]: L\text{-transform-formula } (\text{Not } x) = \text{Formula.Not}_\alpha$   
 $(L\text{-transform-formula } x)$   
**by**  $\text{transfer } \text{simp}$

**lemma** *L-transform-formula-Pred* [simp]: *L-transform-formula* (Pred  $f$   $\varphi$ ) = *Formula.Act* <sub>$\alpha$</sub>  (Eff  $f$ ) (*Formula.Pred* <sub>$\alpha$</sub>   $\varphi$ )  
**by** *transfer simp*

**lemma** *L-transform-formula-Act* [simp]: *L-transform-formula* (*FL-Formula.Act*  $f$   $\alpha$   $x$ ) = *Formula.Act* <sub>$\alpha$</sub>  (Eff  $f$ ) (*Formula.Act* <sub>$\alpha$</sub>  (Act  $\alpha$ ) (*L-transform-formula*  $x$ ))  
**by** *transfer simp*

**lemma** *L-transform-formula-hereditarily-fs* [simp]: *Formula.hereditarily-fs* (*L-transform-formula*  $x$ )  
**by** *transfer (fact L-transform-Tree $_{\alpha}$ -preserves-hereditarily-fs)*

Finally, we define the proper *L*-transform, which returns formulas instead of trees.

**definition** *L-transform* :: ('idx,'pred::fs,'act::bn,'eff::fs) *formula*  $\Rightarrow$  ('idx, 'pred, ('act,'eff) *L-action*) *Formula.formula* **where**  
*L-transform*  $x$  = *Formula.Abs-formula* (*L-transform-formula*  $x$ )

**lemma** *L-transform-eqvt* [eqvt]:  $p \cdot$  *L-transform*  $x$  = *L-transform* ( $p \cdot$   $x$ )  
**unfolding** *L-transform-def* **by** *simp*

**lemma** *finite-supp-map-bset-L-transform* [simp]:  
**assumes** *finite* (*supp*  $xset$ )  
**shows** *finite* (*supp* (*map-bset* *L-transform*  $xset$ ))

**proof** –

**have** *eqvt map-bset and eqvt L-transform*  
**by** (*simp add: eqvtI*)  
**then have** *supp (map-bset L-transform) = {}*  
**using** *supp-fun-eqvt supp-fun-app-eqvt* **by** *blast*  
**then have** *supp (map-bset L-transform  $xset$ )  $\subseteq$  supp  $xset$*   
**using** *supp-fun-app* **by** *blast*  
**with** *assms* **show** *finite (supp (map-bset L-transform  $xset$ ))*  
**by** (*metis finite-subset*)

**qed**

**lemma** *L-transform-Conj* [simp]:  
**assumes** *finite* (*supp*  $xset$ )  
**shows** *L-transform* (*Conj*  $xset$ ) = *Formula.Conj* (*map-bset* *L-transform*  $xset$ )  
**using** *assms* **unfolding** *L-transform-def* **by** (*simp add: Formula.Conj-def bset.map-comp o-def*)

**lemma** *L-transform-Not* [simp]: *L-transform* (*Not*  $x$ ) = *Formula.Not* (*L-transform*  $x$ )  
**unfolding** *L-transform-def* **by** (*simp add: Formula.Not-def*)

**lemma** *L-transform-Pred* [simp]: *L-transform* (*Pred*  $f$   $\varphi$ ) = *Formula.Act* (Eff  $f$ ) (*Formula.Pred*  $\varphi$ )  
**unfolding** *L-transform-def* **by** (*simp add: Formula.Act-def Formula.Pred-def*)

*Formula.hereditarily-fs.Pred $\alpha$* )

**lemma** *L-transform-Act* [*simp*]: *L-transform* (*FL-Formula.Act*  $f \alpha x$ ) = *Formula.Act* (*Eff*  $f$ ) (*Formula.Act* (*Act*  $\alpha$ ) (*L-transform*  $x$ ))  
**unfolding** *L-transform-def* **by** (*simp* *add*: *Formula.Act-def* *Formula.hereditarily-fs.Act $\alpha$* )

**context** *effect-nominal-ts*  
**begin**

**interpretation** *L-transform*: *nominal-ts* ( $\vdash_L$ ) ( $\rightarrow_L$ )  
**by** *unfold-locales* (*fact* *L-satisfies-eqvt*, *fact* *L-transition-eqvt*)

The *L-transform* preserves satisfaction of formulas in the following sense:

**theorem** *FL-valid-iff-valid-L-transform*:

**assumes** ( $x :: ('idx, 'pred, 'act, 'effect) \text{ formula} \in \mathcal{A}[F]$ )  
**shows** *FL-valid*  $P x \longleftrightarrow$  *L-transform.valid* (*EF* ( $F, P$ )) (*L-transform*  $x$ )  
**using** *assms* **proof** (*induct*  $x$  *arbitrary*:  $P$ )  
**case** (*Conj* *xset*  $F$ )  
**then show** *?case*  
**by** *auto* (*metis* *imageE* *map-bset.rep-eq*, *simp* *add*: *map-bset.rep-eq*)

**next**

**case** (*Not*  $F x$ )  
**then show** *?case* **by** *simp*

**next**

**case** (*Pred*  $f F \varphi$ )  
**let**  $? \varphi = \text{Formula.Pred } \varphi :: ('idx, 'pred, ('act, 'effect) \text{ L-action}) \text{ Formula.formula}$   
**show** *?case*

**proof**

**assume** *FL-valid*  $P$  (*Pred*  $f \varphi$ )  
**then have** *L-transform.valid* (*AC* ( $f, F, \langle f \rangle P$ ))  $? \varphi$   
**by** (*simp* *add*: *L-transform.valid-Act*)  
**moreover from**  $\langle f \in_{fs} F \rangle$  **have** *EF* ( $F, P$ )  $\rightarrow_L$   $\langle \text{Eff } f, AC(f, F, \langle f \rangle P) \rangle$   
**by** (*metis* *L-transition.simps(2)*)  
**ultimately show** *L-transform.valid* (*EF* ( $F, P$ )) (*L-transform* (*Pred*  $f \varphi$ ))  
**using** *L-transform.valid-Act* **by** *fastforce*

**next**

**assume** *L-transform.valid* (*EF* ( $F, P$ )) (*L-transform* (*Pred*  $f \varphi$ ))  
**then obtain**  $P'$  **where** *trans*: *EF* ( $F, P$ )  $\rightarrow_L$   $\langle \text{Eff } f, P' \rangle$  **and** *valid*:

*L-transform.valid*  $P' ? \varphi$

**by** *simp* (*metis* *bn-L-action.simps(2)* *empty-iff* *fresh-star-def* *L-transform.valid-Act-fresh*

*L-transform.valid-Pred* *L-transition.simps(2)*)

**from** *trans* **have**  $P' = AC(f, F, \langle f \rangle P)$

**by** (*simp* *add*: *residual-empty-bn-eq-iff*)

**with** *valid* **show** *FL-valid*  $P$  (*Pred*  $f \varphi$ )

**by** *simp*

**qed**

**next**

**case** (*Act*  $f F \alpha x$ )  
**show** *?case*

**proof**  
**assume**  $FL\text{-valid } P$  ( $FL\text{-Formula.Act } f \alpha x$ )  
**then obtain**  $\alpha' x' P'$  **where**  $eq: FL\text{-Formula.Act } f \alpha x = FL\text{-Formula.Act } f \alpha' x'$  **and**  $trans: \langle f \rangle P \rightarrow \langle \alpha', P' \rangle$  **and**  $valid: FL\text{-valid } P' x'$  **and**  $fresh: bn \alpha' \#^* (F, f)$   
**by** (*metis FL-valid-Act-strong finite-supp*)  
**from**  $eq$  **obtain**  $p$  **where**  $p\text{-}x: p \cdot x = x'$  **and**  $p\text{-}\alpha: p \cdot \alpha = \alpha'$  **and**  $supp\text{-}p: supp \ p \subseteq bn \ \alpha \cup bn \ \alpha'$   
**by** (*metis bn-eqvt FL-Formula.Act-eq-iff-perm-renaming*)  
**from**  $\langle bn \ \alpha \#^* (F, f) \rangle$  **and**  $fresh$  **have**  $supp \ (F, f) \#^* p$   
**using**  $supp\text{-}p$  **by** (*auto simp add: fresh-star-Pair fresh-star-def supp-Pair fresh-def*)  
**then have**  $p \cdot F = F$  **and**  $p \cdot f = f$   
**using**  $supp\text{-perm-eq}$  **by** *fastforce+*  
  
**from**  $valid$  **have**  $FL\text{-valid } (-p \cdot P')$   $x$   
**using**  $p\text{-}x$  **by** (*metis FL-valid-eqvt permute-minus-cancel(2)*)  
**then have**  $L\text{-transform.valid } (EF \ (L \ (\alpha, F, f), -p \cdot P'))$  ( $L\text{-transform } x$ )  
**using**  $Act.hyps(4)$  **by** *metis*  
**then have**  $L\text{-transform.valid } (p \cdot EF \ (L \ (\alpha, F, f), -p \cdot P'))$  ( $p \cdot L\text{-transform } x$ )  
**by** (*fact L-transform.valid-eqvt*)  
**then have**  $L\text{-transform.valid } (EF \ (L \ (\alpha', F, f), P'))$  ( $L\text{-transform } x'$ )  
**using**  $p\text{-}x$  **and**  $p\text{-}\alpha$  **and**  $\langle p \cdot F = F \rangle$  **and**  $\langle p \cdot f = f \rangle$  **by** *simp*  
  
**then have**  $L\text{-transform.valid } (AC \ (f, F, \langle f \rangle P))$  ( $Formula.Act \ (Act \ \alpha')$ )  
( $L\text{-transform } x'$ )  
**using**  $trans$   $fresh$   $L\text{-transform.valid-Act}$  **by** *fastforce*  
**with**  $\langle f \in_{fs} F \rangle$  **and**  $eq$  **show**  $L\text{-transform.valid } (EF \ (F, P))$  ( $L\text{-transform } (FL\text{-Formula.Act } f \alpha x)$ )  
**using**  $L\text{-transform.valid-Act}$  **by** *fastforce*  
**next**  
**assume**  $*$ :  $L\text{-transform.valid } (EF \ (F, P))$  ( $L\text{-transform } (FL\text{-Formula.Act } f \alpha x)$ )  
  
— rename  $bn \ \alpha$  to avoid  $(F, f, P)$ , without touching  $F$  or  $FL\text{-Formula.Act } f \alpha x$   
**obtain**  $p$  **where**  $1: (p \cdot bn \ \alpha) \#^* (F, f, P)$  **and**  $2: supp \ (F, FL\text{-Formula.Act } f \alpha x) \#^* p$   
**proof** (*rule at-set-avoiding2[of bn  $\alpha$  (F, f, P) (F, FL-Formula.Act f  $\alpha$  x), THEN exE]*)  
**show**  $finite \ (bn \ \alpha)$  **by** (*fact bn-finite*)  
**next**  
**show**  $finite \ (supp \ (F, f, P))$  **by** (*fact finite-supp*)  
**next**  
**show**  $finite \ (supp \ (F, FL\text{-Formula.Act } f \alpha x))$  **by** (*simp add: finite-supp*)  
**next**  
**from**  $\langle bn \ \alpha \#^* (F, f) \rangle$  **show**  $bn \ \alpha \#^* (F, FL\text{-Formula.Act } f \alpha x)$   
**by** (*simp add: fresh-star-Pair fresh-star-def fresh-def supp-Pair*)

```

qed metis
from 2 have  $\text{supp } F \#* p$  and Act-fresh:  $\text{supp } (FL\text{-Formula.Act } f \alpha x) \#* p$ 
  by (simp add: fresh-star-Pair fresh-star-def supp-Pair)+
from  $\langle \text{supp } F \#* p \rangle$  have  $p \cdot F = F$ 
  by (metis supp-perm-eq)
from Act-fresh have  $p \cdot f = f$ 
  using fresh-star-Un supp-perm-eq by fastforce
from Act-fresh have  $\text{eq: } FL\text{-Formula.Act } f \alpha x = FL\text{-Formula.Act } f (p \cdot \alpha)$ 
( $p \cdot x$ )
  by (metis FL-Formula.Act-eq-iff-perm FL-Formula.Act-eqt supp-perm-eq)

  with * obtain  $P'$  where  $\text{trans: } EF (F, P) \rightarrow_L \langle \text{Eff } f, P \rangle$  and valid:
L-transform.valid  $P' (Formula.Act (Act (p \cdot \alpha)) (L\text{-transform } (p \cdot x)))$ 
  using L-transform-Act by (metis L-transform.valid-Act-fresh bn-L-action.simps(2))
empty-iff fresh-star-def)
from trans have  $P': P' = AC (f, F, \langle f \rangle P)$ 
  by (simp add: residual-empty-bn-eq-iff)

have supp-f-P:  $\text{supp } (\langle f \rangle P) \subseteq \text{supp } f \cup \text{supp } P$ 
  using effect-apply-eqt supp-fun-app supp-fun-app-eqt by fastforce
with 1 have  $\text{bn } (Act (p \cdot \alpha)) \#* AC (f, F, \langle f \rangle P)$ 
  by (auto simp add: bn-eqt fresh-star-def fresh-def supp-Pair)
with valid obtain  $P''$  where  $\text{trans': } AC (f, F, \langle f \rangle P) \rightarrow_L \langle Act (p \cdot \alpha), P'' \rangle$ 
and valid': L-transform.valid  $P'' (L\text{-transform } (p \cdot x))$ 
  using  $P'$  by (metis L-transform.valid-Act-fresh)

from supp-f-P and 1 have  $\text{bn } (p \cdot \alpha) \#* (F, f, \langle f \rangle P)$ 
  by (auto simp add: bn-eqt fresh-star-def fresh-def supp-Pair)
with trans' obtain  $P'$  where  $P'': P'' = EF (L (p \cdot \alpha, F, f), P')$  and trans'':
 $\langle f \rangle P \rightarrow \langle p \cdot \alpha, P' \rangle$ 
  by (metis L-transition-AC-fresh)

from valid' have L-transform.valid  $(-p \cdot P'') (L\text{-transform } x)$ 
by (metis (mono-tags) L-transform.valid-eqt L-transform-eqt permute-minus-cancel(2))
with  $P'' \langle p \cdot F = F \rangle \langle p \cdot f = f \rangle$  have L-transform.valid  $(EF (L (\alpha, F, f),$ 
 $- p \cdot P')) (L\text{-transform } x)$ 
  by simp (metis permute-minus-self permute-minus-cancel(1))
then have FL-valid  $P' (p \cdot x)$ 
  using Act.hyps(4) by (metis FL-valid-eqt permute-minus-cancel(1))

with trans'' and eq show FL-valid  $P (FL\text{-Formula.Act } f \alpha x)$ 
  by (metis FL-valid-Act)
qed
qed
end

```

## 19.6 Bisimilarity in the $L$ -transform

context *effect-nominal-ts*

begin

**interpretation** *L-transform: nominal-ts* ( $\vdash_L$ ) ( $\rightarrow_L$ )  
**by** *unfold-locales* (*fact L-satisfies-eqvt*, *fact L-transition-eqvt*)

**notation** *L-transform.bisimilar* (**infix**  $\sim_L$  100)

$F/L$ -bisimilarity is equivalent to bisimilarity in the  $L$ -transform.

**inductive** *L-bisimilar* :: ('state,'effect) *L-state*  $\Rightarrow$  ('state,'effect) *L-state*  $\Rightarrow$  *bool*

where

$P \sim_L [F] Q \Longrightarrow L\text{-bisimilar } (EF (F,P)) (EF (F,Q))$   
 $| P \sim_L [F] Q \Longrightarrow f \in_{fs} F \Longrightarrow L\text{-bisimilar } (AC (f, F, \langle f \rangle P)) (AC (f, F, \langle f \rangle Q))$

**lemma** *L-bisimilar-is-L-transform-bisimulation: L-transform.is-bisimulation L-bisimilar*

**unfolding** *L-transform.is-bisimulation-def*

**proof**

**show** *symp L-bisimilar*

**by** (*metis FL-bisimilar-symp L-bisimilar.cases L-bisimilar.intros symp-def*)

**next**

**have**  $\forall P_L Q_L. L\text{-bisimilar } P_L Q_L \longrightarrow (\forall \varphi. P_L \vdash_L \varphi \longrightarrow Q_L \vdash_L \varphi)$  (**is** ?*S*)

**using** *FL-bisimilar-is-L-bisimulation L-bisimilar.simps is-L-bisimulation-def*

**by** *auto*

**moreover have**  $\forall P_L Q_L. L\text{-bisimilar } P_L Q_L \longrightarrow (\forall \alpha_L P_L'. \text{bn } \alpha_L \#* Q_L \longrightarrow P_L \rightarrow_L \langle \alpha_L, P_L' \rangle \longrightarrow (\exists Q_L'. Q_L \rightarrow_L \langle \alpha_L, Q_L' \rangle \wedge L\text{-bisimilar } P_L' Q_L'))$  (**is** ?*T*)

**proof** (*clarify*)

**fix**  $P_L Q_L \alpha_L P_L'$

**assume** *L-bisim: L-bisimilar*  $P_L Q_L$  **and** *fresh<sub>L</sub>: bn*  $\alpha_L \#* Q_L$  **and** *trans<sub>L</sub>:*  $P_L \rightarrow_L \langle \alpha_L, P_L' \rangle$

**obtain**  $Q_L'$  **where**  $Q_L \rightarrow_L \langle \alpha_L, Q_L' \rangle$  **and** *L-bisimilar*  $P_L' Q_L'$

**using** *L-bisim proof* (*rule L-bisimilar.cases*)

**fix**  $P F Q$

**assume**  $P_L: P_L = EF (F, P)$  **and**  $Q_L: Q_L = EF (F, Q)$  **and** *bisim:*  $P \sim_L [F] Q$

**from**  $P_L$  **and** *trans<sub>L</sub>* **obtain**  $f$  **where** *effect:*  $f \in_{fs} F$  **and**  $\alpha_L P_L'$ :  $\langle \alpha_L, P_L' \rangle = \langle Eff f, AC (f, F, \langle f \rangle P) \rangle$

**using** *L-transition.simps(2)* **by** *blast*

**from**  $Q_L$  **and** *effect* **have**  $Q_L \rightarrow_L \langle Eff f, AC (f, F, \langle f \rangle Q) \rangle$

**using** *L-transition.simps(2)* **by** *blast*

**moreover from** *bisim* **and** *effect* **have** *L-bisimilar*  $(AC (f, F, \langle f \rangle P)) (AC (f, F, \langle f \rangle Q))$

**using** *L-bisimilar.intros(2)* **by** *blast*

**moreover from**  $\alpha_L P_L'$  **have**  $\alpha_L = Eff f$  **and**  $P_L' = AC (f, F, \langle f \rangle P)$

**by** (*metis bn-L-action.simps(2) residual-empty-bn-eq-iff*)+

**ultimately show** *thesis*

**using**  $\langle \wedge Q_L'. Q_L \rightarrow_L \langle \alpha_L, Q_L' \rangle \Longrightarrow L\text{-bisimilar } P_L' Q_L' \Longrightarrow \textit{thesis} \rangle$

**by** *blast*

**next**  
**fix**  $P F Q f$   
**assume**  $P_L: P_L = AC (f, F, \langle f \rangle P)$  **and**  $Q_L: Q_L = AC (f, F, \langle f \rangle Q)$   
**and**  $bisim: P \sim_{[F]} Q$  **and**  $effect: f \in_{fs} F$   
**have**  $finite (supp (\langle f \rangle Q, F, f))$   
**by** (*fact finite-supp*)  
**with**  $P_L$  **and**  $trans_L$  **obtain**  $\alpha P'$  **where**  $trans-P: \langle f \rangle P \rightarrow \langle \alpha, P' \rangle$  **and**  
 $\alpha_L P_L': \langle \alpha_L, P_L' \rangle = \langle Act \alpha, EF (L (\alpha, F, f), P') \rangle$  **and**  $fresh: bn \alpha \#^* (\langle f \rangle Q, F, f)$   
**by** (*metis L-transition-AC-strong*)  
**from**  $bisim$  **and**  $effect$  **and**  $fresh$  **and**  $trans-P$  **obtain**  $Q'$  **where**  $trans-Q:$   
 $\langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle$  **and**  $bisim': P' \sim_{[L (\alpha, F, f)]} Q'$   
**by** (*metis FL-bisimilar-simulation-step*)  
**from**  $fresh$  **have**  $bn \alpha \#^* (F, f)$   
**by** (*meson fresh-PairD(2) fresh-star-def*)  
**with**  $Q_L$  **and**  $trans-Q$  **have**  $trans-Q_L: Q_L \rightarrow_L \langle Act \alpha, EF (L (\alpha, F, f),$   
 $Q' \rangle)$   
**by** (*metis L-transition.simps(1)*)  
  
**from**  $\alpha_L P_L'$  **obtain**  $p$  **where**  $p: (\alpha_L, P_L') = p \cdot (Act \alpha, EF (L (\alpha, F, f),$   
 $P' \rangle)$  **and**  $supp-p: supp p \subseteq bn \alpha \cup bn \alpha_L$   
**by** (*metis (no-types, lifting) bn-L-action.simps(1) residual-eg-iff-perm-renaming*)  
**from**  $supp-p$  **and**  $fresh$  **and**  $fresh_L$  **and**  $Q_L$  **have**  $supp p \#^* (\langle f \rangle Q, F, f)$   
**unfolding**  $fresh-star-def$  **by** (*metis (no-types, opaque-lifting) Un-iff*  
 $fresh-Pair fresh-def subsetCE supp-AC$ )  
**then** **have**  $p-fQ: p \cdot \langle f \rangle Q = \langle f \rangle Q$  **and**  $p-Ff: p \cdot (F, f) = (F, f)$   
**by** (*simp add: fresh-star-def perm-supp-eg+*)  
**from**  $p$  **and**  $p-Ff$  **have**  $\alpha_L = Act (p \cdot \alpha)$  **and**  $P_L' = EF (L (p \cdot \alpha, F,$   
 $f), p \cdot P')$   
**by** *auto*  
  
**moreover from**  $Q_L$  **and**  $p-fQ$  **and**  $p-Ff$  **have**  $p \cdot Q_L = Q_L$   
**by** *simp*  
**with**  $trans-Q_L$  **have**  $Q_L \rightarrow_L p \cdot \langle Act \alpha, EF (L (\alpha, F, f), Q' \rangle)$   
**by** (*metis L-transform.transition-egqt*)  
**then** **have**  $Q_L \rightarrow_L \langle Act (p \cdot \alpha), EF (L (p \cdot \alpha, F, f), p \cdot Q' \rangle)$   
**using**  $p-Ff$  **by** *simp*  
  
**moreover from**  $p-Ff$  **have**  $p \cdot F = F$  **and**  $p \cdot f = f$   
**by** *simp+*  
**with**  $bisim'$  **have**  $(p \cdot P') \sim_{[L (p \cdot \alpha, F, f)]} (p \cdot Q')$   
**by** (*metis FL-bisimilar-egqt L-egqt'*)  
**then** **have**  $L-bisimilar (EF (L (p \cdot \alpha, F, f), p \cdot P')) (EF (L (p \cdot \alpha, F,$   
 $f), p \cdot Q'))$   
**by** (*metis L-bisimilar.intros(1)*)  
  
**ultimately show** *thesis*  
**using**  $\langle \bigwedge Q_L'. Q_L \rightarrow_L \langle \alpha_L, Q_L' \rangle \implies L-bisimilar P_L' Q_L' \implies thesis \rangle$   
**by** *blast*  
**qed**

**then show**  $\exists Q_L'. Q_L \rightarrow_L \langle \alpha_L, Q_L \rangle \wedge L\text{-bisimilar } P_L' Q_L'$   
**by auto**  
**qed**  
**ultimately show**  $?S \wedge ?T$   
**by metis**  
**qed**

**definition** *invL-FL-bisimilar* :: 'effect first  $\Rightarrow$  'state  $\Rightarrow$  'state  $\Rightarrow$  bool **where**  
*invL-FL-bisimilar*  $F P Q \equiv EF (F, P) \sim_L EF (F, Q)$

**lemma** *invL-FL-bisimilar-is-L-bisimulation*: *is-L-bisimulation invL-FL-bisimilar*  
**unfolding** *is-L-bisimulation-def*  
**proof**  
**fix**  $F$   
**have** *symp (invL-FL-bisimilar F) (is ?R)*  
**by** (*metis L-transform.bisimilar-symp invL-FL-bisimilar-def symp-def*)  
**moreover have**  $\forall P Q. \text{invL-FL-bisimilar } F P Q \longrightarrow (\forall f. f \in_{fs} F \longrightarrow (\forall \varphi. \langle f \rangle P \vdash \varphi \longrightarrow \langle f \rangle Q \vdash \varphi))$  **(is ?S)**  
**proof** (*clarify*)  
**fix**  $P Q f \varphi$   
**assume** *bisim: invL-FL-bisimilar F P Q* **and** *effect: f  $\in_{fs}$  F* **and** *satisfies:*  
 $\langle f \rangle P \vdash \varphi$   
**from** *bisim* **have**  $EF (F, P) \sim_L EF (F, Q)$   
**by** (*metis invL-FL-bisimilar-def*)  
**moreover have**  $bn (Eff f) \#* EF (F, Q)$   
**by** (*simp add: fresh-star-def*)  
**moreover from** *effect* **have**  $EF (F, P) \rightarrow_L \langle Eff f, AC (f, F, \langle f \rangle P) \rangle$   
**by** (*metis L-transition.simps(2)*)  
**ultimately obtain**  $Q_L'$  **where** *trans: EF (F, Q)  $\rightarrow_L$   $\langle Eff f, Q_L \rangle$*  **and**  
*L-bisim: AC (f, F,  $\langle f \rangle P$ )  $\sim_L$   $Q_L'$*   
**by** (*metis L-transform.bisimilar-simulation-step*)  
**from** *trans* **obtain**  $f'$  **where**  $\langle Eff f :: ('act, 'effect) L\text{-action}, Q_L \rangle = \langle Eff f', AC (f', F, \langle f' \rangle Q) \rangle$   
**by** (*metis L-transition.simps(2)*)  
**then have**  $Q_L': Q_L' = AC (f, F, \langle f \rangle Q)$   
**by** (*metis L-action.inject(2) bn-L-action.simps(2) residual-empty-bn-eq-iff*)  
  
**from** *satisfies* **have**  $AC (f, F, \langle f \rangle P) \vdash_L \varphi$   
**by** (*metis L-satisfies.simps(1)*)  
**with** *L-bisim* **and**  $Q_L'$  **have**  $AC (f, F, \langle f \rangle Q) \vdash_L \varphi$   
**by** (*metis L-transform.bisimilar-is-bisimulation L-transform.is-bisimulation-def*)  
**then show**  $\langle f \rangle Q \vdash \varphi$   
**by** (*metis L-satisfies.simps(1)*)  
**qed**  
**moreover have**  $\forall P Q. \text{invL-FL-bisimilar } F P Q \longrightarrow (\forall f. f \in_{fs} F \longrightarrow (\forall \alpha. P'. bn \alpha \#* (\langle f \rangle Q, F, f) \longrightarrow \langle f \rangle P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. \langle f \rangle Q \rightarrow \langle \alpha, Q' \rangle \wedge \text{invL-FL-bisimilar } (L (\alpha, F, f)) P' Q'))$  **(is ?T)**  
**proof** (*clarify*)



**fix**  $P Q f \alpha P'$   
**assume**  $\text{bisim}: \text{invL-FL-bisimilar } F P Q$  **and**  $\text{effect}: f \in_{fs} F$  **and**  $\text{fresh}: \text{bn}$   
 $\alpha \#^* (\langle f \rangle Q, F, f)$  **and**  $\text{trans}: \langle f \rangle P \rightarrow \langle \alpha, P \rangle$   
**from**  $\text{bisim}$  **have**  $EF (F, P) \sim_L EF (F, Q)$   
**by** (*metis invL-FL-bisimilar-def*)  
**moreover** **have**  $\text{bn} (Eff f) \#^* EF (F, Q)$   
**by** (*simp add: fresh-star-def*)  
**moreover** **from**  $\text{effect}$  **have**  $EF (F, P) \rightarrow_L \langle Eff f, AC (f, F, \langle f \rangle P) \rangle$   
**by** (*metis L-transition.simps(2)*)  
**ultimately** **obtain**  $Q_L'$  **where**  $\text{trans}_L: EF (F, Q) \rightarrow_L \langle Eff f, Q_L \rangle$  **and**  
 $L\text{-bisim}: AC (f, F, \langle f \rangle P) \sim_L Q_L'$   
**by** (*metis L-transform.bisimilar-simulation-step*)  
**from**  $\text{trans}_L$  **obtain**  $f'$  **where**  $\langle Eff f :: ('act, 'effect) L\text{-action}, Q_L \rangle = \langle Eff$   
 $f', AC (f', F, \langle f \rangle Q) \rangle$   
**by** (*metis L-transition.simps(2)*)  
**then** **have**  $Q_L': Q_L' = AC (f, F, \langle f \rangle Q)$   
**by** (*metis L-action.inject(2) bn-L-action.simps(2) residual-empty-bn-eq-iff*)  
  
**from**  $L\text{-bisim}$  **and**  $Q_L'$  **have**  $AC (f, F, \langle f \rangle P) \sim_L AC (f, F, \langle f \rangle Q)$   
**by** *metis*  
**moreover** **from**  $\text{fresh}$  **have**  $\text{bn} (Act \alpha) \#^* AC (f, F, \langle f \rangle Q)$   
**by** (*simp add: fresh-def fresh-star-def supp-Pair*)  
**moreover** **from**  $\text{fresh}$  **have**  $\text{bn} \alpha \#^* (F, f)$   
**by** (*simp add: fresh-star-Pair*)  
**with**  $\text{trans}$  **have**  $AC (f, F, \langle f \rangle P) \rightarrow_L \langle Act \alpha, EF (L (\alpha, F, f), P') \rangle$   
**by** (*metis L-transition.simps(1)*)  
**ultimately** **obtain**  $Q_L''$  **where**  $\text{trans}_L': AC (f, F, \langle f \rangle Q) \rightarrow_L \langle Act \alpha, Q_L'' \rangle$   
**and**  $L\text{-bisim}': EF (L (\alpha, F, f), P') \sim_L Q_L''$   
**by** (*metis L-transform.bisimilar-simulation-step*)  
  
**have**  $\text{finite} (\text{supp} (\langle f \rangle Q, F, f))$   
**by** (*fact finite-supp*)  
**with**  $\text{trans}_L'$  **obtain**  $\alpha' Q'$  **where**  $\text{trans}': \langle f \rangle Q \rightarrow \langle \alpha', Q' \rangle$  **and**  $\text{alpha}: \langle Act$   
 $\alpha :: ('act, 'effect) L\text{-action}, Q_L'' \rangle = \langle Act \alpha', EF (L (\alpha', F, f), Q') \rangle$  **and**  $\text{fresh}': \text{bn}$   
 $\alpha' \#^* (\langle f \rangle Q, F, f)$   
**by** (*metis L-transition-AC-strong*)  
  
**from**  $\text{alpha}$  **obtain**  $p$  **where**  $p: (Act \alpha :: ('act, 'effect) L\text{-action}, Q_L'') = p$   
 $\cdot (Act \alpha', EF (L (\alpha', F, f), Q'))$  **and**  $\text{supp-}p: \text{supp } p \subseteq \text{bn } \alpha \cup \text{bn } \alpha'$   
**by** (*metis Un-commute bn-L-action.simps(1) residual-eq-iff-perm-renaming*)  
**from**  $\text{supp-}p$  **and**  $\text{fresh}$  **and**  $\text{fresh}'$  **have**  $\text{supp } p \#^* (\langle f \rangle Q, F, f)$   
**unfolding**  $\text{fresh-star-def}$  **by** (*metis (no-types, opaque-lifting) Un-iff subsetCE*)  
**then** **have**  $p\text{-}fQ: p \cdot \langle f \rangle Q = \langle f \rangle Q$  **and**  $p\text{-}F: p \cdot F = F$  **and**  $p\text{-}f: p \cdot f = f$   
**by** (*simp add: fresh-star-def perm-supp-eq*)  
**from**  $p$  **and**  $p\text{-}F$  **and**  $p\text{-}f$  **have**  $p\text{-}\alpha': p \cdot \alpha' = \alpha$  **and**  $Q_L'': Q_L'' = EF (L$   
 $(p \cdot \alpha', F, f), p \cdot Q')$   
**by** *auto*

**from** *trans'* **and**  $p \cdot fQ$  **and**  $p \cdot \alpha'$  **have**  $\langle f \rangle Q \rightarrow \langle \alpha, p \cdot Q \rangle$   
**by** (*metis transition-eqvt'*)  
**moreover from** *L-bisim'* **and**  $Q_L''$  **and**  $p \cdot \alpha'$  **have** *invL-FL-bisimilar* ( $L$   
 $(\alpha, F, f)$ )  $P' (p \cdot Q')$   
**by** (*metis invL-FL-bisimilar-def*)  
**ultimately show**  $\exists Q'. \langle f \rangle Q \rightarrow \langle \alpha, Q \rangle \wedge \text{invL-FL-bisimilar} (L (\alpha, F, f)) P'$   
 $Q'$   
**by** *metis*  
**qed**  
**ultimately show**  $?R \wedge ?S \wedge ?T$   
**by** *metis*  
**qed**

**theorem**  $P \sim_{\cdot[F]} Q \longleftrightarrow EF (F, P) \sim_{\cdot L} EF (F, Q)$

**proof**

**assume**  $P \sim_{\cdot[F]} Q$

**then have** *L-bisimilar* ( $EF (F, P)$ ) ( $EF (F, Q)$ )

**by** (*metis L-bisimilar.intros(1)*)

**then show**  $EF (F, P) \sim_{\cdot L} EF (F, Q)$

**by** (*metis L-bisimilar-is-L-transform-bisimulation L-transform.bisimilar-def*)

**next**

**assume**  $EF (F, P) \sim_{\cdot L} EF (F, Q)$

**then have** *invL-FL-bisimilar*  $F P Q$

**by** (*metis invL-FL-bisimilar-def*)

**then show**  $P \sim_{\cdot[F]} Q$

**by** (*metis invL-FL-bisimilar-is-L-bisimulation FL-bisimilar-def*)

**qed**

**end**

The following (alternative) proof of the “ $\leftarrow$ ” direction of this equivalence, namely that bisimilarity in the  $L$ -transform implies  $F/L$ -bisimilarity, uses the fact that the  $L$ -transform preserves satisfaction of formulas, together with the fact that bisimilarity (in the  $L$ -transform) implies logical equivalence. However, since we proved the latter in the context of indexed nominal transition systems, this proof requires an indexed nominal transition system with effects where, additionally, the cardinality of the state set of the  $L$ -transform is bounded. We could re-organize our formalization to remove this assumption: the proof of  $\llbracket \text{indexed-nominal-ts TYPE} (?idx) ?satisfies ?transition; \text{nominal-ts.bisimilar} ?satisfies ?transition ?P ?Q \rrbracket \implies \text{indexed-nominal-ts.logically-equivalent TYPE} (?idx) ?satisfies ?transition ?P ?Q$  does not actually make use of the cardinality assumptions provided by indexed nominal transition systems.

**locale** *L-transform-indexed-effect-nominal-ts = indexed-effect-nominal-ts L satisfies transition effect-apply*

**for**  $L :: ('act::bn) \times ('effect::fs) fs\text{-set} \times 'effect \Rightarrow 'effect fs\text{-set}$

**and** *satisfies* ::  $'state::fs \Rightarrow 'pred::fs \Rightarrow \text{bool}$  (**infix**  $\vdash$  70)

**and** *transition* ::  $'state \Rightarrow ('act, 'state) \text{residual} \Rightarrow \text{bool}$  (**infix**  $\rightarrow$  70)

```

and effect-apply :: 'effect  $\Rightarrow$  'state  $\Rightarrow$  'state ( $\langle \cdot \rangle$ - [0,101] 100) +
assumes card-idx-L-transform-state: |UNIV::('state, 'effect) L-state set| < o |UNIV::'idx
set|
begin

  interpretation L-transform: indexed-nominal-ts ( $\vdash_L$ ) ( $\rightarrow_L$ )
  by unfold-locales (fact L-satisfies-eqvt, fact L-transition-eqvt, fact card-idx-perm,
fact card-idx-L-transform-state)

  notation L-transform.bisimilar (infix  $\sim_L$  100)

  theorem EF (F,P)  $\sim_L$  EF(F,Q)  $\longrightarrow$  P  $\sim$  [F] Q
  proof
    assume EF (F, P)  $\sim_L$  EF (F, Q)
    then have L-transform.logically-equivalent (EF (F, P)) (EF (F, Q))
      by (fact L-transform.bisimilarity-implies-equivalence)
    with FL-valid-iff-valid-L-transform have FL-logically-equivalent F P Q
      using FL-logically-equivalent-def L-transform.logically-equivalent-def by blast
    then show P  $\sim$  [F] Q
      by (fact FL-equivalence-implies-bisimilarity)
  qed

end

end
theory Weak-Transition-System
imports
  Transition-System
begin

```

## 20 Nominal Transition Systems and Bisimulations with Unobservable Transitions

### 20.1 Nominal transition systems with unobservable transitions

```

locale weak-nominal-ts = nominal-ts satisfies transition
  for satisfies :: 'state::fs  $\Rightarrow$  'pred::fs  $\Rightarrow$  bool (infix  $\vdash$  70)
  and transition :: 'state  $\Rightarrow$  ('act::bn,'state) residual  $\Rightarrow$  bool (infix  $\rightarrow$  70) +
  fixes  $\tau$  :: 'act
  assumes tau-eqvt [eqvt]:  $p \cdot \tau = \tau$ 
begin

  lemma bn-tau-empty [simp]:  $bn \ \tau = \{\}$ 
  using bn-eqvt bn-finite tau-eqvt by (metis eqvt-def supp-finite-atom-set supp-fun-eqvt)

  lemma bn-tau-fresh [simp]:  $bn \ \tau \ \#^* P$ 
  by (simp add: fresh-star-def)

```

**inductive** *tau-transition* :: 'state ⇒ 'state ⇒ bool (**infix** ⇒ 70) **where**

*tau-refl* [*simp*]:  $P \Rightarrow P$

| *tau-step*:  $\llbracket P \rightarrow \langle \tau, P^\wedge \rangle; P' \Rightarrow P'' \rrbracket \Longrightarrow P \Rightarrow P''$

**definition** *observable-transition* :: 'state ⇒ 'act ⇒ 'state ⇒ bool (-/ ⇒{-}/ - [70, 70, 71] 71) **where**

$P \Rightarrow \{\alpha\} P' \equiv \exists Q Q'. P \Rightarrow Q \wedge Q \rightarrow \langle \alpha, Q^\wedge \rangle \wedge Q' \Rightarrow P'$

**definition** *weak-transition* :: 'state ⇒ 'act ⇒ 'state ⇒ bool (-/ ⇒<)/ - [70, 70, 71] 71) **where**

$P \Rightarrow \langle \alpha \rangle P' \equiv \text{if } \alpha = \tau \text{ then } P \Rightarrow P' \text{ else } P \Rightarrow \{\alpha\} P'$

The transition relations defined above are equivariant.

**lemma** *tau-transition-eqt* :

**assumes**  $P \Rightarrow P'$  **shows**  $p \cdot P \Rightarrow p \cdot P'$

**using** *assms* **proof** (*induction*)

**case** (*tau-refl*  $P$ ) **show** ?*case*

**by** (*fact tau-transition.tau-refl*)

**next**

**case** (*tau-step*  $P P' P''$ )

**from**  $\langle P \rightarrow \langle \tau, P^\wedge \rangle \rangle$  **have**  $p \cdot P \rightarrow \langle \tau, p \cdot P^\wedge \rangle$

**using** *tau-eqt transition-eqt'* **by** *fastforce*

**with**  $\langle p \cdot P' \Rightarrow p \cdot P'' \rangle$  **show** ?*case*

**using** *tau-transition.tau-step* **by** *blast*

**qed**

**lemma** *observable-transition-eqt* :

**assumes**  $P \Rightarrow \{\alpha\} P'$  **shows**  $p \cdot P \Rightarrow \{p \cdot \alpha\} p \cdot P'$

**using** *assms* **unfolding** *observable-transition-def* **by** (*metis transition-eqt' tau-transition-eqt*)

**lemma** *weak-transition-eqt* :

**assumes**  $P \Rightarrow \langle \alpha \rangle P'$  **shows**  $p \cdot P \Rightarrow \langle p \cdot \alpha \rangle p \cdot P'$

**using** *assms* **unfolding** *weak-transition-def* **by** (*metis (full-types) observable-transition-eqt permute-minus-cancel(2) tau-eqt tau-transition-eqt*)

Additional lemmas about ( $\Rightarrow$ ), *observable-transition* and *weak-transition*.

**lemma** *tau-transition-trans*:

**assumes**  $P \Rightarrow Q$  **and**  $Q \Rightarrow R$

**shows**  $P \Rightarrow R$

**using** *assms* **by** (*induction, auto simp add: tau-step*)

**lemma** *observable-transitionI*:

**assumes**  $P \Rightarrow Q$  **and**  $Q \rightarrow \langle \alpha, Q^\wedge \rangle$  **and**  $Q' \Rightarrow P'$

**shows**  $P \Rightarrow \{\alpha\} P'$

**using** *assms* *observable-transition-def* **by** *blast*

**lemma** *observable-transition-stepI* [*simp*]:

**assumes**  $P \rightarrow \langle \alpha, P^\wedge \rangle$

**shows**  $P \Rightarrow\{\alpha\} P'$   
**using** *assms observable-transitionI tau-refl* **by** *blast*

**lemma** *observable-tau-transition*:  
**assumes**  $P \Rightarrow\{\tau\} P'$   
**shows**  $P \Rightarrow P'$   
**proof** –  
**from**  $\langle P \Rightarrow\{\tau\} P' \rangle$  **obtain**  $Q Q'$  **where**  $P \Rightarrow Q$  **and**  $Q \rightarrow \langle \tau, Q' \rangle$  **and**  $Q' \Rightarrow P'$   
**unfolding** *observable-transition-def* **by** *blast*  
**then show** *?thesis*  
**by** (*metis tau-step tau-transition-trans*)  
**qed**

**lemma** *weak-transition-tau-iff* [*simp*]:  
 $P \Rightarrow\langle \tau \rangle P' \longleftrightarrow P \Rightarrow P'$   
**by** (*simp add: weak-transition-def*)

**lemma** *weak-transition-not-tau-iff* [*simp*]:  
**assumes**  $\alpha \neq \tau$   
**shows**  $P \Rightarrow\langle \alpha \rangle P' \longleftrightarrow P \Rightarrow\{\alpha\} P'$   
**using** *assms* **by** (*simp add: weak-transition-def*)

**lemma** *weak-transition-stepI* [*simp*]:  
**assumes**  $P \Rightarrow\{\alpha\} P'$   
**shows**  $P \Rightarrow\langle \alpha \rangle P'$   
**using** *assms* **by** (*cases*  $\alpha = \tau$ , *simp-all add: observable-tau-transition*)

**lemma** *weak-transition-weakI*:  
**assumes**  $P \Rightarrow Q$  **and**  $Q \Rightarrow\langle \alpha \rangle Q'$  **and**  $Q' \Rightarrow P'$   
**shows**  $P \Rightarrow\langle \alpha \rangle P'$   
**proof** (*cases*  $\alpha = \tau$ )  
**case** *True* **with** *assms* **show** *?thesis*  
**by** (*metis tau-transition-trans weak-transition-tau-iff*)  
**next**  
**case** *False* **with** *assms* **show** *?thesis*  
**using** *observable-transition-def tau-transition-trans weak-transition-not-tau-iff*  
**by** *blast*  
**qed**

**end**

## 20.2 Weak bisimulations

**context** *weak-nominal-ts*  
**begin**

**definition** *is-weak-bisimulation* ::  $('state \Rightarrow 'state \Rightarrow bool) \Rightarrow bool$  **where**  
*is-weak-bisimulation*  $R \equiv$

$\text{symp } R \wedge$   
 — weak static implication  
 $(\forall P Q \varphi. R P Q \wedge P \vdash \varphi \longrightarrow (\exists Q'. Q \Rightarrow Q' \wedge R P Q' \wedge Q' \vdash \varphi)) \wedge$   
 — weak simulation  
 $(\forall P Q. R P Q \longrightarrow (\forall \alpha P'. \text{bn } \alpha \#* Q \longrightarrow P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. Q \Rightarrow \langle \alpha \rangle Q' \wedge R P' Q'))))$

**definition** *weakly-bisimilar* :: 'state  $\Rightarrow$  'state  $\Rightarrow$  bool (**infix**  $\approx \cdot$  100) **where**  
 $P \approx \cdot Q \equiv \exists R. \text{is-weak-bisimulation } R \wedge R P Q$

$(\approx \cdot)$  is an equivariant equivalence relation.

**lemma** *is-weak-bisimulation-eqvt* :

**assumes** *is-weak-bisimulation*  $R$  **shows** *is-weak-bisimulation*  $(p \cdot R)$

**using** *assms* **unfolding** *is-weak-bisimulation-def*

**proof** (*clarify*)

**assume** 1: *symp*  $R$

**assume** 2:  $\forall P Q \varphi. R P Q \wedge P \vdash \varphi \longrightarrow (\exists Q'. Q \Rightarrow Q' \wedge R P Q' \wedge Q' \vdash \varphi)$

**assume** 3:  $\forall P Q. R P Q \longrightarrow (\forall \alpha P'. \text{bn } \alpha \#* Q \longrightarrow P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. Q \Rightarrow \langle \alpha \rangle Q' \wedge R P' Q'))$

**have** *symp*  $(p \cdot R)$  (**is** ?S)

**using** 1 **by** (*simp add: symp-eqvt*)

**moreover have**  $\forall P Q \varphi. (p \cdot R) P Q \wedge P \vdash \varphi \longrightarrow (\exists Q'. Q \Rightarrow Q' \wedge (p \cdot R) P Q' \wedge Q' \vdash \varphi)$  (**is** ?T)

**proof** (*clarify*)

**fix**  $P Q \varphi$

**assume**  $pR$ :  $(p \cdot R) P Q$  **and**  $phi$ :  $P \vdash \varphi$

**from**  $pR$  **have**  $R (-p \cdot P) (-p \cdot Q)$

**by** (*simp add: eqvt-lambda permute-bool-def unpermute-def*)

**moreover from**  $phi$  **have**  $(-p \cdot P) \vdash (-p \cdot \varphi)$

**by** (*metis satisfies-eqvt*)

**ultimately obtain**  $Q'$  **where**  $*$ :  $-p \cdot Q \Rightarrow Q'$  **and**  $**$ :  $R (-p \cdot P) Q'$  **and**

$***$ :  $Q' \vdash (-p \cdot \varphi)$

**using** 2 **by** *blast*

**from**  $*$  **have**  $Q \Rightarrow p \cdot Q'$

**by** (*metis permute-minus-cancel(1) tau-transition-eqvt*)

**moreover from**  $**$  **have**  $(p \cdot R) P (p \cdot Q')$

**by** (*simp add: eqvt-lambda permute-bool-def unpermute-def*)

**moreover from**  $***$  **have**  $p \cdot Q' \vdash \varphi$

**by** (*metis permute-minus-cancel(1) satisfies-eqvt*)

**ultimately show**  $\exists Q'. Q \Rightarrow Q' \wedge (p \cdot R) P Q' \wedge Q' \vdash \varphi$

**by** *metis*

**qed**

**moreover have**  $\forall P Q. (p \cdot R) P Q \longrightarrow (\forall \alpha P'. \text{bn } \alpha \#* Q \longrightarrow P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. Q \Rightarrow \langle \alpha \rangle Q' \wedge (p \cdot R) P' Q'))$  (**is** ?U)

**proof** (*clarify*)

**fix**  $P Q \alpha P'$

**assume**  $*$ :  $(p \cdot R) P Q$  **and**  $**$ :  $\text{bn } \alpha \#* Q$  **and**  $***$ :  $P \rightarrow \langle \alpha, P' \rangle$

**from**  $*$  **have**  $R (-p \cdot P) (-p \cdot Q)$

**by** (*simp add: eqvt-lambda permute-bool-def unpermute-def*)

**moreover have**  $bn (-p \cdot \alpha) \#* (-p \cdot Q)$   
**using \*\* by** (*metis bn-eqvt fresh-star-permute-iff*)  
**moreover have**  $-p \cdot P \rightarrow \langle -p \cdot \alpha, -p \cdot P \rangle$   
**using \*\*\* by** (*metis transition-eqvt*)  
**ultimately obtain**  $Q'$  **where**  $T: -p \cdot Q \Rightarrow \langle -p \cdot \alpha \rangle Q'$  **and**  $R: R (-p \cdot P) Q'$   
**using**  $\exists$  **by** *metis*  
**from**  $T$  **have**  $Q \Rightarrow \langle \alpha \rangle (p \cdot Q')$   
**by** (*metis permute-minus-cancel(1) weak-transition-eqvt*)  
**moreover from**  $R$  **have**  $(p \cdot R) P' (p \cdot Q')$   
**by** (*metis eqvt-apply eqvt-lambda permute-bool-def unpermute-def*)  
**ultimately show**  $\exists Q'. Q \Rightarrow \langle \alpha \rangle Q' \wedge (p \cdot R) P' Q'$   
**by** *metis*  
**qed**  
**ultimately show**  $?S \wedge ?T \wedge ?U$  **by** *simp*  
**qed**

**lemma** *weakly-bisimilar-eqvt* :  
**assumes**  $P \approx \cdot Q$  **shows**  $(p \cdot P) \approx \cdot (p \cdot Q)$   
**proof** –  
**from** *assms* **obtain**  $R$  **where**  $*$ : *is-weak-bisimulation*  $R \wedge R P Q$   
**unfolding** *weakly-bisimilar-def* ..  
**then have** *is-weak-bisimulation*  $(p \cdot R)$   
**by** (*simp add: is-weak-bisimulation-eqvt*)  
**moreover from**  $*$  **have**  $(p \cdot R) (p \cdot P) (p \cdot Q)$   
**by** (*metis eqvt-apply permute-boolI*)  
**ultimately show**  $(p \cdot P) \approx \cdot (p \cdot Q)$   
**unfolding** *weakly-bisimilar-def* **by** *auto*  
**qed**

**lemma** *weakly-bisimilar-reflp*: *reflp weakly-bisimilar*  
**proof** (*rule reflpI*)  
**fix**  $x$   
**have** *is-weak-bisimulation*  $(=)$   
**unfolding** *is-weak-bisimulation-def* **by** (*simp add: symp-def*)  
**then show**  $x \approx \cdot x$   
**unfolding** *weakly-bisimilar-def* **by** *auto*  
**qed**

**lemma** *weakly-bisimilar-symp*: *symp weakly-bisimilar*  
**proof** (*rule sympI*)  
**fix**  $P Q$   
**assume**  $P \approx \cdot Q$   
**then obtain**  $R$  **where**  $*$ : *is-weak-bisimulation*  $R \wedge R P Q$   
**unfolding** *weakly-bisimilar-def* ..  
**then have**  $R Q P$   
**unfolding** *is-weak-bisimulation-def* **by** (*simp add: symp-def*)  
**with**  $*$  **show**  $Q \approx \cdot P$   
**unfolding** *weakly-bisimilar-def* **by** *auto*

qed

**lemma** *weakly-bisimilar-is-weak-bisimulation*: *is-weak-bisimulation weakly-bisimilar*

**unfolding** *is-weak-bisimulation-def* **proof**

**show** *symp* ( $\approx$ )

**by** (*fact weakly-bisimilar-symp*)

**next**

**show** ( $\forall P Q \varphi. P \approx Q \wedge P \vdash \varphi \longrightarrow (\exists Q'. Q \Rightarrow Q' \wedge P \approx Q' \wedge Q' \vdash \varphi) \wedge$

$(\forall P Q. P \approx Q \longrightarrow (\forall \alpha P'. \text{bn } \alpha \#* Q \longrightarrow P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. Q \Rightarrow \langle \alpha \rangle$

$Q' \wedge P' \approx Q'))$ )  
**by** (*auto simp add: is-weak-bisimulation-def weakly-bisimilar-def*) *blast+*  
qed

**lemma** *weakly-bisimilar-tau-simulation-step*:

**assumes**  $P \approx Q$  **and**  $P \Rightarrow P'$

**obtains**  $Q'$  **where**  $Q \Rightarrow Q'$  **and**  $P' \approx Q'$

**using**  $\langle P \Rightarrow P' \rangle \langle P \approx Q \rangle$  **proof** (*induct arbitrary: Q*)

**case** (*tau-refl P*) **then show** *?case*

**by** (*metis tau-transition.tau-refl*)

**next**

**case** (*tau-step P P'' P'*)

**from**  $\langle P \rightarrow \langle \tau, P'' \rangle \rangle$  **and**  $\langle P \approx Q \rangle$  **obtain**  $Q''$  **where**  $Q \Rightarrow Q''$  **and**  $P'' \approx Q''$

**by** (*metis bn-tau-fresh is-weak-bisimulation-def weak-transition-def weakly-bisimilar-is-weak-bisimulation*)  
**then show** *?case*

**using** *tau-step.hyps(3) tau-step.prem(1)* **by** (*metis tau-transition-trans*)

qed

**lemma** *weakly-bisimilar-weak-simulation-step*:

**assumes**  $P \approx Q$  **and**  $\text{bn } \alpha \#* Q$  **and**  $P \Rightarrow \langle \alpha \rangle P'$

**obtains**  $Q'$  **where**  $Q \Rightarrow \langle \alpha \rangle Q'$  **and**  $P' \approx Q'$

**proof** (*cases*  $\alpha = \tau$ )

**case** *True* **with**  $\langle P \approx Q \rangle$  **and**  $\langle P \Rightarrow \langle \alpha \rangle P' \rangle$  **and that show** *?thesis*

**using** *weak-transition-tau-iff weakly-bisimilar-tau-simulation-step* **by force**

**next**

**case** *False* **with**  $\langle P \Rightarrow \langle \alpha \rangle P' \rangle$  **have**  $P \Rightarrow \{\alpha\} P'$

**by** *simp*

**then obtain**  $P1 P2$  **where**  $\text{tauP}: P \Rightarrow P1$  **and**  $\text{trans}: P1 \rightarrow \langle \alpha, P2 \rangle$  **and**  
 $\text{tauP2}: P2 \Rightarrow P'$

**using** *observable-transition-def* **by blast**

**from**  $\langle P \approx Q \rangle$  **and**  $\text{tauP}$  **obtain**  $Q1$  **where**  $\text{tauQ}: Q \Rightarrow Q1$  **and**  $P1Q1: P1 \approx Q1$

**by** (*metis weakly-bisimilar-tau-simulation-step*)

— rename  $\langle \alpha, P2 \rangle$  to avoid  $Q1$ , without touching  $Q$

**obtain**  $p$  **where**  $1: (p \cdot \text{bn } \alpha) \#* Q1$  **and**  $2: \text{supp } (\langle \alpha, P2 \rangle, Q) \#* p$

**proof** (*rule at-set-avoiding2[of bn  $\alpha$   $Q1$  ( $\langle \alpha, P2 \rangle, Q$ ), THEN *exE*]*)

**show** *finite* ( $\text{bn } \alpha$ ) **by** (*fact bn-finite*)

**next**



```

  show finite (supp Q1) by (fact finite-supp)
next
  show finite (supp (( $\alpha, P2$ ), Q)) by (simp add: finite-supp supp-Pair)
next
  show  $bn \alpha \#* ((\alpha, P2), Q)$  using  $\langle bn \alpha \#* Q \rangle$  by (simp add: fresh-star-Pair)
qed metis
from 2 have 3:  $supp \langle \alpha, P2 \rangle \#* p$  and 4:  $supp Q \#* p$ 
  by (simp add: fresh-star-Un supp-Pair)+
from 3 have  $\langle p \cdot \alpha, p \cdot P2 \rangle = \langle \alpha, P2 \rangle$ 
  using supp-perm-eq by fastforce
then obtain Q2 where  $trans': Q1 \Rightarrow \langle p \cdot \alpha \rangle Q2$  and  $P2Q2: (p \cdot P2) \approx \cdot Q2$ 
  using P1Q1 trans 1 by (metis (mono-tags, lifting) weakly-bisimilar-is-weak-bisimulation
bn-eqvt is-weak-bisimulation-def)

```

```

from tauP2 have  $p \cdot P2 \Rightarrow p \cdot P'$ 
  by (fact tau-transition-eqvt)
with P2Q2 obtain Q' where  $tauQ2: Q2 \Rightarrow Q'$  and  $P'Q': (p \cdot P') \approx \cdot Q'$ 
  by (metis weakly-bisimilar-tau-simulation-step)

```

```

from tauQ and  $trans'$  and tauQ2 have  $Q \Rightarrow \langle p \cdot \alpha \rangle Q'$ 
  by (rule weak-transition-weakI)
with 4 have  $Q \Rightarrow \langle \alpha \rangle (-p \cdot Q')$ 
  by (metis permute-minus-cancel(2) supp-perm-eq weak-transition-eqvt)
moreover from P'Q' have  $P' \approx \cdot (-p \cdot Q')$ 
  by (metis permute-minus-cancel(2) weakly-bisimilar-eqvt)
ultimately show ?thesis ..

```

qed

lemma weakly-bisimilar-transp: *transp weakly-bisimilar*

proof (rule transpI)

fix P Q R

assume PQ:  $P \approx \cdot Q$  and QR:  $Q \approx \cdot R$

let ?bisim = *weakly-bisimilar OO weakly-bisimilar*

have *symp* ?bisim

proof (rule *sympI*)

fix P R

assume ?bisim P R

then obtain Q where  $P \approx \cdot Q$  and  $Q \approx \cdot R$

by *blast*

then have  $R \approx \cdot Q$  and  $Q \approx \cdot P$

by (metis *weakly-bisimilar-symp sympE*)+

then show ?bisim R P

by *blast*

qed

moreover have  $\forall P Q \varphi. ?bisim P Q \wedge P \vdash \varphi \longrightarrow (\exists Q'. Q \Rightarrow Q' \wedge ?bisim P Q' \wedge Q' \vdash \varphi)$

proof (clarify)

fix P Q  $\varphi$  R

assume *phi*:  $P \vdash \varphi$  and PR:  $P \approx \cdot R$  and RQ:  $R \approx \cdot Q$

**from  $PR$  and  $\text{phi}$  obtain  $R'$  where  $R \Rightarrow R'$  and  $P \approx \cdot R'$  and  $*$ :  $R' \vdash \varphi$**   
**using *weakly-bisimilar-is-weak-bisimulation is-weak-bisimulation-def* by**  
*force*  
**from  $RQ$  and  $\langle R \Rightarrow R' \rangle$  obtain  $Q'$  where  $Q \Rightarrow Q'$  and  $R' \approx \cdot Q'$**   
**by (*metis weakly-bisimilar-tau-simulation-step*)**  
**from  $\langle R' \approx \cdot Q' \rangle$  and  $*$  obtain  $Q''$  where  $Q' \Rightarrow Q''$  and  $R' \approx \cdot Q''$  and**  
 $**$ :  $Q'' \vdash \varphi$   
**using *weakly-bisimilar-is-weak-bisimulation is-weak-bisimulation-def* by**  
*force*  
**from  $\langle Q \Rightarrow Q' \rangle$  and  $\langle Q' \Rightarrow Q'' \rangle$  have  $Q \Rightarrow Q''$**   
**by (*fact tau-transition-trans*)**  
**moreover from  $\langle P \approx \cdot R' \rangle$  and  $\langle R' \approx \cdot Q'' \rangle$  have  $?bisim P Q''$**   
**by *blast***  
**ultimately show  $\exists Q'. Q \Rightarrow Q' \wedge ?bisim P Q' \wedge Q' \vdash \varphi$**   
**using  $**$  by *metis***  
**qed**  
**moreover have  $\forall P Q. ?bisim P Q \longrightarrow (\forall \alpha P'. bn \alpha \#* Q \longrightarrow P \rightarrow \langle \alpha, P' \rangle$**   
 $\longrightarrow (\exists Q'. Q \Rightarrow \langle \alpha \rangle Q' \wedge ?bisim P' Q')$   
**proof (*clarify*)**  
**fix  $P Q R \alpha P'$**   
**assume  $PR$ :  $P \approx \cdot R$  and  $RQ$ :  $R \approx \cdot Q$  and *fresh*:  $bn \alpha \#* Q$  and *trans*:  $P$**   
 $\rightarrow \langle \alpha, P' \rangle$   
— rename  $\langle \alpha, P' \rangle$  to avoid  $R$ , without touching  $Q$   
**obtain  $p$  where 1:  $(p \cdot bn \alpha) \#* R$  and 2:  $supp (\langle \alpha, P' \rangle, Q) \#* p$**   
**proof (*rule at-set-avoiding2*[of  $bn \alpha R (\langle \alpha, P' \rangle, Q)$ , *THEN exE*])**  
**show *finite* ( $bn \alpha$ ) by (*fact bn-finite*)**  
**next**  
**show *finite* ( $supp R$ ) by (*fact finite-supp*)**  
**next**  
**show *finite* ( $supp (\langle \alpha, P' \rangle, Q)$ ) by (*simp add: finite-supp supp-Pair*)**  
**next**  
**show  $bn \alpha \#* (\langle \alpha, P' \rangle, Q)$  by (*simp add: fresh fresh-star-Pair*)**  
**qed *metis***  
**from 2 have 3:  $supp \langle \alpha, P' \rangle \#* p$  and 4:  $supp Q \#* p$**   
**by (*simp add: fresh-star-Un supp-Pair*)+**  
**from 3 have  $\langle p \cdot \alpha, p \cdot P' \rangle = \langle \alpha, P' \rangle$**   
**using *supp-perm-eq* by *fastforce***  
**with *trans* obtain  $pR'$  where 5:  $R \Rightarrow \langle p \cdot \alpha \rangle pR'$  and 6:  $(p \cdot P') \approx \cdot pR'$**   
**using  $PR$  1 by (*metis bn-eqvt weakly-bisimilar-is-weak-bisimulation***  
*is-weak-bisimulation-def*)  
**from *fresh* and 4 have  $bn (p \cdot \alpha) \#* Q$**   
**by (*metis bn-eqvt fresh-star-permute-iff supp-perm-eq*)**  
**then obtain  $pQ'$  where 7:  $Q \Rightarrow \langle p \cdot \alpha \rangle pQ'$  and 8:  $pR' \approx \cdot pQ'$**   
**using  $RQ$  5 by (*metis weakly-bisimilar-weak-simulation-step*)**  
**from 7 have  $Q \Rightarrow \langle \alpha \rangle (-p \cdot pQ')$**   
**using 4 by (*metis permute-minus-cancel*(2) *supp-perm-eq weak-transition-eqvt*)**  
**moreover from 6 and 8 have  $?bisim P' (-p \cdot pQ')$**   
**by (*metis* (*no-types, opaque-lifting*) *weakly-bisimilar-eqvt permute-minus-cancel*(2)**  
*relcompp.simps*)

```

    ultimately show  $\exists Q'. Q \Rightarrow \langle \alpha \rangle Q' \wedge ?bisim P' Q'$ 
      by metis
    qed
  ultimately have is-weak-bisimulation ?bisim
    unfolding is-weak-bisimulation-def by metis
  moreover have ?bisim P R
    using PQ QR by blast
  ultimately show  $P \approx R$ 
    unfolding weakly-bisimilar-def by meson
  qed

lemma weakly-bisimilar-equivp: equivp weakly-bisimilar
  by (metis weakly-bisimilar-reflp weakly-bisimilar-symp weakly-bisimilar-transp equivp-reflp-symp-transp)

end

end

theory Weak-Formula
imports
  Weak-Transition-System
  Disjunction
begin

```

## 21 Weak Formulas

### 21.1 Lemmas about $\alpha$ -equivalence involving $\tau$

```

context weak-nominal-ts
begin

lemma Act-tau-eq-iff [simp]:
   $Act \tau x1 = Act \alpha x2 \longleftrightarrow \alpha = \tau \wedge x2 = x1$ 
  (is ?l  $\longleftrightarrow$  ?r)
proof
  assume ?l
  then obtain p where  $p\alpha: p \cdot \tau = \alpha$  and  $p\alpha: p \cdot x1 = x2$  and fresh: (supp
x1 - bn  $\tau$ )  $\#* p$ 
    by (metis Act-eq-iff-perm)
  from  $p\alpha$  have  $\alpha = \tau$ 
    by (metis tau-eqvt)
  moreover from fresh and  $p\alpha$  have  $x2 = x1$ 
    by (simp add: supp-perm-eq)
  ultimately show ?r ..
next
  assume ?r then show ?l
    by simp
  qed
end

```

## 21.2 Weak action modality

The definition of (strong) formulas is parametric in the index type, but from now on we want to work with a fixed (sufficiently large) index type.

Also, we use  $\tau$  in our definition of weak formulas.

```

locale indexed-weak-nominal-ts = weak-nominal-ts satisfies transition
  for satisfies :: 'state::fs  $\Rightarrow$  'pred::fs  $\Rightarrow$  bool (infix  $\vdash$  70)
  and transition :: 'state  $\Rightarrow$  ('act::bn, 'state) residual  $\Rightarrow$  bool (infix  $\rightarrow$  70) +
  assumes card-idx-perm: |UNIV::perm set| <o |UNIV::'idx set|
    and card-idx-state: |UNIV::'state set| <o |UNIV::'idx set|
    and card-idx-nat: |UNIV::nat set| <o |UNIV::'idx set|
begin

```

The assumption  $|UNIV| <o |UNIV|$  is redundant: it is already implied by  $|UNIV| <o |UNIV|$ . A formal proof of this fact is left for future work.

```

lemma card-idx-nat' [simp]:
  |UNIV::nat set| <o natLeq +c |UNIV::'idx set|
proof -
  note card-idx-nat
  also have |UNIV :: 'idx set|  $\leq_o$  natLeq +c |UNIV :: 'idx set|
    by (metis Cnotzero-UNIV ordLeq-csum2)
  finally show ?thesis .
qed

```

```

primrec tau-steps :: ('idx, 'pred::fs, 'act::bn) formula  $\Rightarrow$  nat  $\Rightarrow$  ('idx, 'pred, 'act)
formula

```

```

  where
    tau-steps x 0 = x
    | tau-steps x (Suc n) = Act  $\tau$  (tau-steps x n)

```

```

lemma tau-steps-eqvt [simp]:
  p  $\cdot$  tau-steps x n = tau-steps (p  $\cdot$  x) (p  $\cdot$  n)
  by (induct n) (simp-all add: permute-nat-def tau-eqvt)

```

```

lemma tau-steps-eqvt' [simp]:
  p  $\cdot$  tau-steps x = tau-steps (p  $\cdot$  x)
  by (simp add: permute-fun-def)

```

```

lemma tau-steps-eqvt-raw [simp]:
  p  $\cdot$  tau-steps = tau-steps
  by (simp add: permute-fun-def)

```

```

lemma tau-steps-add [simp]:
  tau-steps (tau-steps x m) n = tau-steps x (m + n)
  by (induct n) auto

```

```

lemma tau-steps-not-self:
  x = tau-steps x n  $\longleftrightarrow$  n = 0

```

```

proof
  assume  $x = \text{tau-steps } x \ n$  then show  $n = 0$ 
  proof (induct n arbitrary: x)
    case 0 show ?case ..
  next
    case (Suc n)
    then have  $x = \text{Act } \tau \ (\text{tau-steps } x \ n)$ 
    by simp
    then show  $\text{Suc } n = 0$ 
    proof (induct x)
      case (Act  $\alpha$  x)
      then have  $x = \text{tau-steps } (\text{Act } \tau \ x) \ n$ 
      by (metis Act-tau-eq-iff)
      with Act.hyps show ?thesis
      by (metis add-Suc tau-steps.simps(2) tau-steps-add)
    qed simp-all
  qed
next
  assume  $n = 0$  then show  $x = \text{tau-steps } x \ n$ 
  by simp
qed

```

**definition** *weak-tau-modality* :: (*'idx, 'pred::fs, 'act::bn*) *formula*  $\Rightarrow$  (*'idx, 'pred, 'act*) *formula*

**where**  
 $\text{weak-tau-modality } x \equiv \text{Disj } (\text{map-bset } (\text{tau-steps } x) \ (\text{Abs-bset } \text{UNIV}))$

**lemma** *finite-supp-map-bset-tau-steps* [*simp*]:

$\text{finite } (\text{supp } (\text{map-bset } (\text{tau-steps } x) \ (\text{Abs-bset } \text{UNIV} :: \text{nat set}[\text{'idx}])))$

**proof** –

**have** *eqvt map-bset and eqvt tau-steps*

**by** (*simp add: eqvtI*)**+**

**then have**  $\text{supp } (\text{map-bset } (\text{tau-steps } x)) \subseteq \text{supp } x$

**using** *supp-fun-**eqvt** supp-fun-app supp-fun-app-**eqvt*** **by** *blast*

**moreover have**  $\text{supp } (\text{Abs-bset } \text{UNIV} :: \text{nat set}[\text{'idx}]) = \{\}$

**by** (*simp add: eqvtI supp-fun-**eqvt***)

**ultimately have**  $\text{supp } (\text{map-bset } (\text{tau-steps } x) \ (\text{Abs-bset } \text{UNIV} :: \text{nat set}[\text{'idx}])))$   
 $\subseteq \text{supp } x$

**using** *supp-fun-app* **by** *blast*

**then show** ?*thesis*

**by** (*metis finite-subset finite-supp*)

**qed**

**lemma** *weak-tau-modality-**eqvt*** [*simp*]:

$p \cdot \text{weak-tau-modality } x = \text{weak-tau-modality } (p \cdot x)$

**unfolding** *weak-tau-modality-def* **by** (*simp add: map-bset-**eqvt***)

**lemma** *weak-tau-modality-**eq-iff*** [*simp*]:

$\text{weak-tau-modality } x = \text{weak-tau-modality } y \iff x = y$

**proof**  
**assume** *weak-tau-modality*  $x = \text{weak-tau-modality } y$   
**then have**  $\text{map-bset } (\text{tau-steps } x) (\text{Abs-bset UNIV} :: - \text{set}['idx]) = \text{map-bset } (\text{tau-steps } y) (\text{Abs-bset UNIV})$   
**unfolding** *weak-tau-modality-def* **by** *simp*  
**with** *card-idx-nat'* **have**  $\text{range } (\text{tau-steps } x) = \text{range } (\text{tau-steps } y)$   
**(is**  $?X = ?Y$ **)**  
**by** (*metis Abs-bset-inverse' map-bset.rep-eq*)  
**then have**  $x \in \text{range } (\text{tau-steps } y)$  **and**  $y \in \text{range } (\text{tau-steps } x)$   
**by** (*metis range-eqI tau-steps.simps(1)+*)  
**then obtain**  $nx \ ny$  **where**  $x = \text{tau-steps } y \ nx$  **and**  $y = \text{tau-steps } x \ ny$   
**by** *blast*  
**then have**  $ny + nx = 0$   
**by** (*simp add: tau-steps-not-self*)  
**with**  $x$  **and**  $y$  **show**  $x = y$   
**by** *simp*  
**next**  
**assume**  $x = y$  **then show** *weak-tau-modality*  $x = \text{weak-tau-modality } y$   
**by** *simp*  
**qed**

**lemma** *supp-weak-tau-modality* [*simp*]:  
 $\text{supp } (\text{weak-tau-modality } x) = \text{supp } x$   
**unfolding** *supp-def* **by** *simp*

**lemma** *Act-weak-tau-modality-eq-iff* [*simp*]:  
 $\text{Act } \alpha 1 (\text{weak-tau-modality } x1) = \text{Act } \alpha 2 (\text{weak-tau-modality } x2) \iff \text{Act } \alpha 1$   
 $x1 = \text{Act } \alpha 2 x2$   
**by** (*simp add: Act-eq-iff-perm*)

**definition** *weak-action-modality* ::  $'act \Rightarrow ('idx, 'pred :: fs, 'act :: bn) \text{ formula} \Rightarrow$   
 $('idx, 'pred, 'act) \text{ formula } (\langle\langle - \rangle\rangle -)$   
**where**  
 $\langle\langle \alpha \rangle\rangle x \equiv \text{if } \alpha = \tau \text{ then weak-tau-modality } x \text{ else weak-tau-modality } (\text{Act } \alpha$   
 $(\text{weak-tau-modality } x))$

**lemma** *weak-action-modality-eqvt* [*simp*]:  
 $p \cdot (\langle\langle \alpha \rangle\rangle x) = \langle\langle p \cdot \alpha \rangle\rangle (p \cdot x)$   
**using** *tau-eqvt weak-action-modality-def* **by** *fastforce*

**lemma** *weak-action-modality-tau*:  
 $(\langle\langle \tau \rangle\rangle x) = \text{weak-tau-modality } x$   
**unfolding** *weak-action-modality-def* **by** *simp*

**lemma** *weak-action-modality-not-tau*:  
**assumes**  $\alpha \neq \tau$   
**shows**  $(\langle\langle \alpha \rangle\rangle x) = \text{weak-tau-modality } (\text{Act } \alpha (\text{weak-tau-modality } x))$   
**using** *assms* **unfolding** *weak-action-modality-def* **by** *simp*

Equality is modulo  $\alpha$ -equivalence.

Note that the converse of the following lemma does not hold. For instance, for  $\alpha \neq \tau$  we have  $\langle\langle\tau\rangle\rangle \text{Act } \alpha \text{ (weak-tau-modality } x) = \langle\langle\alpha\rangle\rangle x$  by definition, but clearly not  $\text{Act } \tau \text{ (Act } \alpha \text{ (weak-tau-modality } x)) = \text{Act } \alpha x$ .

```

lemma weak-action-modality-eq:
  assumes Act  $\alpha 1$   $x 1 = \text{Act } \alpha 2$   $x 2$ 
  shows  $\langle\langle\alpha 1\rangle\rangle x 1 = \langle\langle\alpha 2\rangle\rangle x 2$ 
proof (cases  $\alpha 1 = \tau$ )
  case True
    with assms have  $\alpha 2 = \alpha 1 \wedge x 2 = x 1$ 
    by (metis Act-tau-eq-iff)
    then show ?thesis
    by simp
  next
    case False
    from assms obtain  $p$  where  $1$ : supp  $x 1 - \text{bn } \alpha 1 = \text{supp } x 2 - \text{bn } \alpha 2$  and  $2$ :
    (supp  $x 1 - \text{bn } \alpha 1$ )  $\#^* p$ 
    and  $3$ :  $p \cdot x 1 = x 2$  and  $4$ : supp  $\alpha 1 - \text{bn } \alpha 1 = \text{supp } \alpha 2 - \text{bn } \alpha 2$  and  $5$ :
    (supp  $\alpha 1 - \text{bn } \alpha 1$ )  $\#^* p$ 
    and  $6$ :  $p \cdot \alpha 1 = \alpha 2$ 
    by (metis Act-eq-iff-perm)
    from  $1$  have supp (weak-tau-modality  $x 1$ ) -  $\text{bn } \alpha 1 = \text{supp}$  (weak-tau-modality
     $x 2$ ) -  $\text{bn } \alpha 2$ 
    by (metis supp-weak-tau-modality)
    moreover from  $2$  have (supp (weak-tau-modality  $x 1$ ) -  $\text{bn } \alpha 1$ )  $\#^* p$ 
    by (metis supp-weak-tau-modality)
    moreover from  $3$  have  $p \cdot \text{weak-tau-modality } x 1 = \text{weak-tau-modality } x 2$ 
    by (metis weak-tau-modality-eqt)
    ultimately have Act  $\alpha 1$  (weak-tau-modality  $x 1$ ) = Act  $\alpha 2$  (weak-tau-modality
     $x 2$ )
    using  $4$  and  $5$  and  $6$  and Act-eq-iff-perm by blast
    moreover from  $\langle\alpha 1 \neq \tau\rangle$  and assms have  $\alpha 2 \neq \tau$ 
    by (metis Act-tau-eq-iff)
    ultimately show ?thesis
    using  $\langle\alpha 1 \neq \tau\rangle$  by (simp add: weak-action-modality-not-tau)
qed

```

### 21.3 Weak formulas

```

inductive weak-formula :: ('idx, 'pred::'fs, 'act::'bn) formula  $\Rightarrow$  bool
  where
    wf-Conj: finite (supp  $xset$ )  $\Longrightarrow$  ( $\bigwedge x. x \in \text{set-bset } xset \Longrightarrow \text{weak-formula } x$ )
 $\Longrightarrow$  weak-formula (Conj  $xset$ )
    | wf-Not: weak-formula  $x \Longrightarrow \text{weak-formula}$  (Not  $x$ )
    | wf-Act: weak-formula  $x \Longrightarrow \text{weak-formula}$  ( $\langle\langle\alpha\rangle\rangle x$ )
    | wf-Pred: weak-formula  $x \Longrightarrow \text{weak-formula}$  ( $\langle\langle\tau\rangle\rangle (\text{Conj } (\text{binsert } (\text{Pred } \varphi)
    (\text{bsingleton } x))))$ 

```

```

lemma finite-supp-wf-Pred [simp]: finite (supp (binsert (Pred  $\varphi$ ) (bsingleton  $x$ )))
proof -

```

```

have supp (bsingleton x)  $\subseteq$  supp x
  by (simp add: eqvtI supp-fun-app-eqvt)
moreover have eqvt binsert
  by (simp add: eqvtI)
ultimately have supp (binsert (Pred  $\varphi$ ) (bsingleton x))  $\subseteq$  supp  $\varphi \cup$  supp x
  using supp-fun-app supp-fun-app-eqvt by fastforce
then show ?thesis
  by (metis finite-UnI finite-supp rev-finite-subset)
qed

```

*weak-formula* is equivariant.

```

lemma weak-formula-eqvt [simp]: weak-formula x  $\implies$  weak-formula (p  $\cdot$  x)
proof (induct rule: weak-formula.induct)
  case (wf-Conj xset) then show ?case
    by simp (metis (no-types, lifting) imageE permute-finite permute-set-eq-image
set-bset-eqvt supp-eqvt weak-formula.wf-Conj)
  next
    case (wf-Not x) then show ?case
      by (simp add: weak-formula.wf-Not)
  next
    case (wf-Act x  $\alpha$ ) then show ?case
      by (simp add: weak-formula.wf-Act)
  next
    case (wf-Pred x  $\varphi$ ) then show ?case
      by (simp add: tau-eqvt weak-formula.wf-Pred)
qed

```

**end**

**end**

```

theory Weak-Validity
imports
  Weak-Formula
  Validity
begin

```

## 22 Weak Validity

Weak formulas are a subset of (strong) formulas, and the definition of validity is simply taken from the latter. Here we prove some useful lemmas about the validity of weak modalities. These are similar to corresponding lemmas about the validity of the (strong) action modality.

```

context indexed-weak-nominal-ts
begin

```

```

lemma valid-weak-tau-modality-iff-tau-steps:
   $P \models \text{weak-tau-modality } x \longleftrightarrow (\exists n. P \models \text{tau-steps } x \ n)$ 

```



**unfolding** *weak-tau-modality-def* by (auto simp add: map-bset.rep-eq)

**lemma** *tau-steps-iff-tau-transition*:

$(\exists n. P \models \text{tau-steps } x \ n) \longleftrightarrow (\exists P'. P \Rightarrow P' \wedge P' \models x)$

**proof**

assume  $\exists n. P \models \text{tau-steps } x \ n$

then obtain  $n$  where  $P \models \text{tau-steps } x \ n$

by *meson*

then show  $\exists P'. P \Rightarrow P' \wedge P' \models x$

**proof** (*induct n arbitrary: P*)

case 0

then show *?case*

by *simp (metis tau-refl)*

next

case (*Suc n*)

then obtain  $P'$  where  $P \rightarrow \langle \tau, P' \rangle$  and  $P' \models \text{tau-steps } x \ n$

by (*auto simp add: valid-Act-fresh[OF bn-tau-fresh]*)

with *Suc.hyps* show *?case*

using *tau-step* by *blast*

qed

next

assume  $\exists P'. P \Rightarrow P' \wedge P' \models x$

then obtain  $P'$  where  $P \Rightarrow P'$  and  $P' \models x$

by *meson*

then show  $\exists n. P \models \text{tau-steps } x \ n$

**proof** (*induct*)

case (*tau-refl P*) then have  $P \models \text{tau-steps } x \ 0$

by *simp*

then show *?case*

by *meson*

next

case (*tau-step P P' P''*)

then obtain  $n$  where  $P' \models \text{tau-steps } x \ n$

by *meson*

with  $\langle P \rightarrow \langle \tau, P' \rangle \rangle$  have  $P \models \text{tau-steps } x \ (\text{Suc } n)$

by (*auto simp add: valid-Act-fresh[OF bn-tau-fresh]*)

then show *?case*

by *meson*

qed

qed

**lemma** *valid-weak-tau-modality*:

$P \models \text{weak-tau-modality } x \longleftrightarrow (\exists P'. P \Rightarrow P' \wedge P' \models x)$

by (*metis valid-weak-tau-modality-iff-tau-steps tau-steps-iff-tau-transition*)

**lemma** *valid-weak-action-modality*:

$P \models (\langle \langle \alpha \rangle \rangle x) \longleftrightarrow (\exists \alpha' x' P'. \text{Act } \alpha \ x = \text{Act } \alpha' \ x' \wedge P \Rightarrow \langle \alpha' \rangle P' \wedge P' \models x')$

(*is ?l*  $\longleftrightarrow$  *?r*)

**proof** (*cases*  $\alpha = \tau$ )

```

case True show ?thesis
proof
  assume ?l
  with  $\langle \alpha = \tau \rangle$  obtain  $P'$  where trans:  $P \Rightarrow P'$  and valid:  $P' \models x$ 
    by (metis valid-weak-tau-modality weak-action-modality-tau)
  from trans have  $P \Rightarrow \langle \tau \rangle P'$ 
    by simp
  with  $\langle \alpha = \tau \rangle$  and valid show ?r
    by blast
next
  assume ?r
  then obtain  $\alpha' x' P'$  where eq:  $\text{Act } \alpha x = \text{Act } \alpha' x'$  and trans:  $P \Rightarrow \langle \alpha' \rangle$ 
P' and valid:  $P' \models x'$ 
    by blast
  from eq have  $\alpha' = \tau \wedge x' = x$ 
    using  $\langle \alpha = \tau \rangle$  by simp
  with trans and valid have  $P \Rightarrow P'$  and  $P' \models x$ 
    by simp+
  with  $\langle \alpha = \tau \rangle$  show ?l
    by (metis valid-weak-tau-modality weak-action-modality-tau)
qed
next
case False show ?thesis
proof
  assume ?l
  with  $\langle \alpha \neq \tau \rangle$  obtain  $Q$  where trans:  $P \Rightarrow Q$  and valid:  $Q \models \text{Act } \alpha$ 
(weak-tau-modality x)
    by (metis valid-weak-tau-modality weak-action-modality-not-tau)
  from valid obtain  $\alpha' x' Q'$  where eq:  $\text{Act } \alpha (\text{weak-tau-modality } x) = \text{Act } \alpha'$ 
x' and trans':  $Q \rightarrow \langle \alpha', Q' \rangle$  and valid':  $Q' \models x'$ 
    by (metis valid-Act)

  from eq obtain  $p$  where  $p\text{-}\alpha$ :  $\alpha' = p \cdot \alpha$  and  $p\text{-}x$ :  $x' = p \cdot \text{weak-tau-modality}$ 
x
    by (metis Act-eq-iff-perm)
  with eq have  $\text{Act } \alpha x = \text{Act } \alpha' (p \cdot x)$ 
    using Act-weak-tau-modality-eq-iff by simp

  moreover from valid' and  $p\text{-}x$  have  $Q' \models \text{weak-tau-modality } (p \cdot x)$ 
    by simp
  then obtain  $P'$  where trans'':  $Q' \Rightarrow P'$  and valid'':  $P' \models p \cdot x$ 
    by (metis valid-weak-tau-modality)
  from trans and trans' and trans'' have  $P \Rightarrow \langle \alpha' \rangle P'$ 
    by (metis observable-transitionI weak-transition-stepI)
  ultimately show ?r
    using valid'' by blast
next
  assume ?r
  then obtain  $\alpha' x' P'$  where eq:  $\text{Act } \alpha x = \text{Act } \alpha' x'$  and trans:  $P \Rightarrow \langle \alpha' \rangle$ 

```

$P'$  and valid:  $P' \models x'$   
 by *blast*  
 with  $\langle \alpha \neq \tau \rangle$  have  $\alpha': \alpha' \neq \tau$   
 using *eq* by (*metis Act-tau-eq-iff*)  
 with *trans* obtain  $Q Q'$  where *trans'*:  $P \Rightarrow Q$  and *trans''*:  $Q \rightarrow \langle \alpha', Q' \rangle$   
 and *trans'''*:  $Q' \Rightarrow P'$   
 by (*meson observable-transition-def weak-transition-def*)  
 from *trans'''* and valid have  $Q' \models \text{weak-tau-modality } x'$   
 by (*metis valid-weak-tau-modality*)  
 with *trans''* have  $Q \models \text{Act } \alpha' \text{ (weak-tau-modality } x')$   
 by (*metis valid-Act*)  
 with *trans'* and  $\alpha'$  have  $P \models \langle \langle \alpha' \rangle \rangle x'$   
 by (*metis valid-weak-tau-modality weak-action-modality-not-tau*)  
 moreover from *eq* have  $(\langle \langle \alpha \rangle \rangle x) = (\langle \langle \alpha' \rangle \rangle x')$   
 by (*metis weak-action-modality-eq*)  
 ultimately show ?l  
 by *simp*  
 qed  
 qed

The binding names in the alpha-variant that witnesses validity may be chosen fresh for any finitely supported context.

**lemma** *valid-weak-action-modality-strong*:  
 assumes *finite* (*supp X*)  
 shows  $P \models (\langle \langle \alpha \rangle \rangle x) \longleftrightarrow (\exists \alpha' x' P'. \text{Act } \alpha x = \text{Act } \alpha' x' \wedge P \Rightarrow \langle \alpha' \rangle P' \wedge P' \models x' \wedge \text{bn } \alpha' \#* X)$   
**proof**  
 assume  $P \models \langle \langle \alpha \rangle \rangle x$   
 then obtain  $\alpha' x' P'$  where *eq*:  $\text{Act } \alpha x = \text{Act } \alpha' x'$  and *trans*:  $P \Rightarrow \langle \alpha' \rangle P'$   
 and valid:  $P' \models x'$   
 by (*metis valid-weak-action-modality*)  
 show  $\exists \alpha' x' P'. \text{Act } \alpha x = \text{Act } \alpha' x' \wedge P \Rightarrow \langle \alpha' \rangle P' \wedge P' \models x' \wedge \text{bn } \alpha' \#* X$   
**proof** (*cases*  $\alpha' = \tau$ )  
 case *True*  
 then show ?thesis  
 using *eq* and *trans* and valid and *bn-tau-fresh* by *blast*  
**next**  
 case *False*  
 with *trans* obtain  $Q Q'$  where *trans'*:  $P \Rightarrow Q$  and *trans''*:  $Q \rightarrow \langle \alpha', Q' \rangle$   
 and *trans'''*:  $Q' \Rightarrow P'$   
 by (*metis weak-transition-def observable-transition-def*)  
 have *finite* (*bn*  $\alpha'$ )  
 by (*fact bn-finite*)  
 moreover note  $\langle \text{finite } (\text{supp } X) \rangle$   
 moreover have *finite* (*supp* ( $\text{Act } \alpha' x', \langle \alpha', Q' \rangle$ ))  
 by (*metis finite-Diff finite-UnI finite-supp supp-Pair supp-abs-residual-pair*)  
 moreover have  $\text{bn } \alpha' \#* (\text{Act } \alpha' x', \langle \alpha', Q' \rangle)$   
 by (*auto simp add: fresh-star-def fresh-def supp-Pair supp-abs-residual-pair*)  
 ultimately obtain  $p$  where *fresh-X*:  $(p \cdot \text{bn } \alpha') \#* X$  and *supp* ( $\text{Act } \alpha'$

$x', \langle \alpha', Q' \rangle \#* p$   
**by** (*metis at-set-avoiding2*)  
**then have**  $\text{supp } (Act \alpha' x') \#* p$  **and**  $\text{supp } \langle \alpha', Q' \rangle \#* p$   
**by** (*metis fresh-star-Un supp-Pair*)+  
**then have**  $1: Act (p \cdot \alpha') (p \cdot x') = Act \alpha' x'$  **and**  $2: \langle p \cdot \alpha', p \cdot Q' \rangle =$   
 $\langle \alpha', Q' \rangle$   
**by** (*metis Act-eqvt supp-perm-eq, metis abs-residual-pair-reqvt supp-perm-eq*)  
**from**  $\text{trans}'$  **and**  $\text{trans}''$  **and**  $\text{trans}'''$  **have**  $P \Rightarrow \langle p \cdot \alpha' \rangle (p \cdot P')$   
**using**  $2$  **by** (*metis observable-transitionI tau-transition-reqvt weak-transition-stepI*)  
**then show** *?thesis*  
**using**  $eq$  **and**  $1$  **and** *valid* **and** *fresh-X* **by** (*metis bn-reqvt valid-reqvt*)  
**qed**  
**next**  
**assume**  $\exists \alpha' x' P'. Act \alpha x = Act \alpha' x' \wedge P \Rightarrow \langle \alpha' \rangle P' \wedge P' \models x' \wedge bn \alpha' \#* X$   
**then show**  $P \models \langle \langle \alpha \rangle \rangle x$   
**by** (*metis valid-weak-action-modality*)  
**qed**

**lemma** *valid-weak-action-modality-fresh*:  
**assumes**  $bn \alpha \#* P$   
**shows**  $P \models \langle \langle \alpha \rangle \rangle x \iff (\exists P'. P \Rightarrow \langle \alpha \rangle P' \wedge P' \models x)$   
**proof**  
**assume**  $P \models \langle \langle \alpha \rangle \rangle x$

**moreover have** *finite* ( $\text{supp } P$ )  
**by** (*fact finite-supp*)  
**ultimately obtain**  $\alpha' x' P'$  **where**  
 $eq: Act \alpha x = Act \alpha' x'$  **and**  $\text{trans}: P \Rightarrow \langle \alpha' \rangle P'$  **and**  $\text{valid}: P' \models x'$  **and** *fresh*:  
 $bn \alpha' \#* P$   
**by** (*metis valid-weak-action-modality-strong*)

**from**  $eq$  **obtain**  $p$  **where**  $p\text{-}\alpha: \alpha' = p \cdot \alpha$  **and**  $p\text{-}x: x' = p \cdot x$  **and**  $\text{supp-}p$ :  
 $\text{supp } p \subseteq bn \alpha \cup p \cdot bn \alpha$   
**by** (*metis Act-req-iff-perm-renaming*)

**from** *assms* **and** *fresh* **have**  $(bn \alpha \cup p \cdot bn \alpha) \#* P$   
**using**  $p\text{-}\alpha$  **by** (*metis bn-reqvt fresh-star-Un*)  
**then have**  $\text{supp } p \#* P$   
**using**  $\text{supp-}p$  **by** (*metis fresh-star-def subset-req*)  
**then have**  $p\text{-}P: -p \cdot P = P$   
**by** (*metis perm-supp-req supp-minus-perm*)

**from**  $\text{trans}$  **have**  $P \Rightarrow \langle \alpha \rangle (-p \cdot P')$   
**using**  $p\text{-}P$   $p\text{-}\alpha$  **by** (*metis permute-minus-cancel(1) weak-transition-reqvt*)  
**moreover from** *valid* **have**  $-p \cdot P' \models x$   
**using**  $p\text{-}x$  **by** (*metis permute-minus-cancel(1) valid-reqvt*)  
**ultimately show**  $\exists P'. P \Rightarrow \langle \alpha \rangle P' \wedge P' \models x$   
**by** *meson*  
**next**

```

    assume  $\exists P'. P \Rightarrow \langle \alpha \rangle P' \wedge P' \models x$  then show  $P \models \langle \langle \alpha \rangle \rangle x$ 
    by (metis valid-weak-action-modality)
qed

end

end
theory Weak-Logical-Equivalence
imports
  Weak-Formula
  Weak-Validity
begin

```

## 23 Weak Logical Equivalence

```

context indexed-weak-nominal-ts
begin

```

Two states are weakly logically equivalent if they validate the same weak formulas.

```

definition weakly-logically-equivalent :: 'state  $\Rightarrow$  'state  $\Rightarrow$  bool where
  weakly-logically-equivalent  $P Q \equiv (\forall x::('idx, 'pred, 'act) \text{ formula. } \text{weak-formula } x \longrightarrow P \models x \longleftrightarrow Q \models x)$ 

```

```

notation weakly-logically-equivalent (infix  $\equiv$  50)

```

```

lemma weakly-logically-equivalent-eqvt:
  assumes  $P \equiv Q$  shows  $p \cdot P \equiv p \cdot Q$ 
unfolding weakly-logically-equivalent-def proof (clarify)
  fix  $x :: ('idx, 'pred, 'act) \text{ formula}$ 
  assume weak-formula  $x$ 
  then have weak-formula  $(-p \cdot x)$ 
  by simp
  then show  $p \cdot P \models x \longleftrightarrow p \cdot Q \models x$ 
  using assms by (metis (no-types, lifting) weakly-logically-equivalent-def per-
mute-minus-cancel(2) valid-eqvt)
qed

```

```

end

```

```

end
theory Weak-Bisimilarity-Implies-Equivalence
imports
  Weak-Logical-Equivalence
begin

```

## 24 Weak Bisimilarity Implies Weak Logical Equivalence

**context** *indexed-weak-nominal-ts*  
**begin**

**lemma** *weak-bisimilarity-implies-weak-equivalence-Act:*

**assumes**  $\bigwedge P Q. P \approx \cdot Q \implies P \models x \longleftrightarrow Q \models x$

**and**  $P \approx \cdot Q$

— not needed: and *weak-formula*  $x$

**and**  $P \models \langle\langle\alpha\rangle\rangle x$

**shows**  $Q \models \langle\langle\alpha\rangle\rangle x$

**proof** —

**have** *finite* (*supp*  $Q$ )

**by** (*fact finite-supp*)

**with**  $\langle P \models \langle\langle\alpha\rangle\rangle x \rangle$  **obtain**  $\alpha' x' P'$  **where** *eq*:  $\text{Act } \alpha x = \text{Act } \alpha' x'$  **and** *trans*:

$P \Rightarrow \langle\alpha'\rangle P'$  **and** *valid*:  $P' \models x'$  **and** *fresh*:  $\text{bn } \alpha' \#* Q$

**by** (*metis valid-weak-action-modality-strong*)

**from**  $\langle P \approx \cdot Q \rangle$  **and** *fresh* **and** *trans* **obtain**  $Q'$  **where** *trans'*:  $Q \Rightarrow \langle\alpha'\rangle Q'$

**and** *bisim'*:  $P' \approx \cdot Q'$

**by** (*metis weakly-bisimilar-weak-simulation-step*)

**from** *eq* **obtain**  $p$  **where** *px*:  $x' = p \cdot x$

**by** (*metis Act-eq-iff-perm*)

**with** *valid* **have**  $-p \cdot P' \models x$

**by** (*metis permute-minus-cancel(1) valid-eqvt*)

**moreover from** *bisim'* **have**  $(-p \cdot P') \approx \cdot (-p \cdot Q')$

**by** (*metis weakly-bisimilar-eqvt*)

**ultimately have**  $-p \cdot Q' \models x$

**using**  $\langle \bigwedge P Q. P \approx \cdot Q \implies P \models x \longleftrightarrow Q \models x \rangle$  **by** *metis*

**with** *px* **have**  $Q' \models x'$

**by** (*metis permute-minus-cancel(1) valid-eqvt*)

**with** *eq* **and** *trans'* **show**  $Q \models \langle\langle\alpha\rangle\rangle x$

**unfolding** *valid-weak-action-modality* **by** *metis*

**qed**

**lemma** *weak-bisimilarity-implies-weak-equivalence-Pred:*

**assumes**  $\bigwedge P Q. P \approx \cdot Q \implies P \models x \longleftrightarrow Q \models x$

**and**  $P \approx \cdot Q$

— not needed: and *weak-formula*  $x$

**and**  $P \models \langle\langle\tau\rangle\rangle (\text{Conj } (\text{binsert } (\text{Pred } \varphi) (\text{bsingleton } x)))$

**shows**  $Q \models \langle\langle\tau\rangle\rangle (\text{Conj } (\text{binsert } (\text{Pred } \varphi) (\text{bsingleton } x)))$

**proof** —

**let**  $?c = \text{Conj } (\text{binsert } (\text{Pred } \varphi) (\text{bsingleton } x))$

**from**  $\langle P \models \langle\langle\tau\rangle\rangle ?c \rangle$  **obtain**  $P'$  **where** *trans*:  $P \Rightarrow P'$  **and** *valid*:  $P' \models ?c$

```

    using valid-weak-action-modality by auto

  have bn  $\tau \#* Q$ 
    by (simp add: fresh-star-def)
  with  $\langle P \approx \cdot Q \rangle$  and trans obtain  $Q'$  where trans':  $Q \Rightarrow Q'$  and bisim':  $P' \approx \cdot Q'$ 
    by (metis weakly-bisimilar-weak-simulation-step weak-transition-tau-iff)

  from valid have  $*$ :  $P' \vdash \varphi$  and  $**$ :  $P' \models x$ 
    by (simp add: binsert.rep-eq) $+$ 

  from bisim' and  $*$  obtain  $Q''$  where trans'':  $Q' \Rightarrow Q''$  and bisim'':  $P' \approx \cdot Q''$ 
  and  $***$ :  $Q'' \vdash \varphi$ 
    by (metis is-weak-bisimulation-def weakly-bisimilar-is-weak-bisimulation)

  from bisim'' and  $**$  have  $Q'' \models x$ 
    using  $\langle \bigwedge P Q. P \approx \cdot Q \implies P \models x \longleftrightarrow Q \models x \rangle$  by metis
  with  $***$  have  $Q'' \models ?c$ 
    by (simp add: binsert.rep-eq)

  moreover from trans' and trans'' have  $Q \Rightarrow \langle \tau \rangle Q''$ 
    by (metis tau-transition-trans weak-transition-tau-iff)

  ultimately show  $Q \models \langle \langle \tau \rangle \rangle ?c$ 
    unfolding valid-weak-action-modality by metis
  qed

theorem weak-bisimilarity-implies-weak-equivalence: assumes  $P \approx \cdot Q$  shows  $P \equiv \cdot Q$ 
proof -
{
  fix  $x :: ('idx, 'pred, 'act) \text{ formula}$ 
  assume weak-formula  $x$ 
  then have  $\bigwedge P Q. P \approx \cdot Q \implies P \models x \longleftrightarrow Q \models x$ 
  proof (induct rule: weak-formula.induct)
  case (wf-Conj  $xset$ ) then show ?case
    by simp
  next
  case (wf-Not  $x$ ) then show ?case
    by simp
  next
  case (wf-Act  $x \alpha$ ) then show ?case
    by (metis weakly-bisimilar-symp weak-bisimilarity-implies-weak-equivalence-Act sympE)
  next
  case (wf-Pred  $x \varphi$ ) then show ?case
    by (metis weakly-bisimilar-symp weak-bisimilarity-implies-weak-equivalence-Pred sympE)
  qed
}

```

```

    }
    with assms show ?thesis
      unfolding weakly-logically-equivalent-def by simp
    qed

end

end
theory Weak-Equivalence-Implies-Bisimilarity
imports
  Weak-Logical-Equivalence
begin

```

## 25 Weak Logical Equivalence Implies Weak Bisimilarity

```

context indexed-weak-nominal-ts
begin

```

```

  definition is-distinguishing-formula :: ('idx, 'pred, 'act) formula  $\Rightarrow$  'state  $\Rightarrow$ 
    'state  $\Rightarrow$  bool
    (- distinguishes - from - [100,100,100] 100)
  where
    x distinguishes P from Q  $\equiv P \models x \wedge \neg Q \models x$ 

  lemma is-distinguishing-formula-eqvt [simp]:
    assumes x distinguishes P from Q shows  $(p \cdot x)$  distinguishes  $(p \cdot P)$  from  $(p \cdot Q)$ 
  using assms unfolding is-distinguishing-formula-def
  by (metis permute-minus-cancel(2) valid-eqvt)

```

```

  lemma weakly-equivalent-iff-not-distinguished:  $(P \equiv Q) \longleftrightarrow \neg(\exists x. \text{weak-formula } x \wedge x \text{ distinguishes } P \text{ from } Q)$ 
  by (meson is-distinguishing-formula-def weakly-logically-equivalent-def valid-Not wf-Not)

```

There exists a distinguishing weak formula for  $P$  and  $Q$  whose support is contained in  $\text{supp } P$ .

```

  lemma distinguished-bounded-support:
    assumes weak-formula x and x distinguishes P from Q
    obtains y where weak-formula y and  $\text{supp } y \subseteq \text{supp } P$  and y distinguishes P from Q
  proof -
    let ?B =  $\{p \cdot x \mid p. \text{supp } P \#* p\}$ 
    have  $\text{supp } P$  supports ?B
    unfolding supports-def proof (clarify)
      fix a b
      assume a:  $a \notin \text{supp } P$  and b:  $b \notin \text{supp } P$ 

```



```

have (a == b) · ?B ⊆ ?B
proof
  fix x'
  assume x' ∈ (a == b) · ?B
  then obtain p where 1: x' = (a == b) · p · x and 2: supp P #* p
    by (auto simp add: permute-set-def)
  let ?q = (a == b) + p
  from 1 have x' = ?q · x
    by simp
  moreover from a and b and 2 have supp P #* ?q
    by (metis fresh-perm fresh-star-def fresh-star-plus swap-atom-simps(3))
  ultimately show x' ∈ ?B by blast
qed
moreover have ?B ⊆ (a == b) · ?B
proof
  fix x'
  assume x' ∈ ?B
  then obtain p where 1: x' = p · x and 2: supp P #* p
    by auto
  let ?q = (a == b) + p
  from 1 have x' = (a == b) · ?q · x
    by simp
  moreover from a and b and 2 have supp P #* ?q
    by (metis fresh-perm fresh-star-def fresh-star-plus swap-atom-simps(3))
  ultimately show x' ∈ (a == b) · ?B
    using mem-permute-iff by blast
qed
ultimately show (a == b) · ?B = ?B ..
qed
then have supp-B-subset-supp-P: supp ?B ⊆ supp P
  by (metis (erased, lifting) finite-supp supp-is-subset)
then have finite-supp-B: finite (supp ?B)
  using finite-supp rev-finite-subset by blast
have ?B ⊆ (λp. p · x) ' UNIV
  by auto
then have |?B| ≤o |UNIV :: perm set|
  by (rule surj-imp-ordLeq)
also have |UNIV :: perm set| <o |UNIV :: 'idx set|
  by (metis card-idx-perm)
also have |UNIV :: 'idx set| ≤o natLeq +c |UNIV :: 'idx set|
  by (metis Cnotzero-UNIV ordLeq-csum2)
finally have card-B: |?B| <o natLeq +c |UNIV :: 'idx set| .
let ?y = Conj (Abs-bset ?B) :: ('idx, 'pred, 'act) formula
have weak-formula ?y
proof
  show finite (supp (Abs-bset ?B :: - set['idx]))
    using finite-supp-B card-B by simp
next
fix x' assume x' ∈ set-bset (Abs-bset ?B :: - set['idx])

```

```

with card-B obtain p where  $x' = p \cdot x$ 
  using Abs-bset-inverse mem-Collect-eq by auto
then show weak-formula x'
  using  $\langle \text{weak-formula } x \rangle$  by (metis weak-formula-eqvt)
qed
moreover from finite-supp-B and card-B and supp-B-subset-supp-P have
supp ?y  $\subseteq$  supp P
  by simp
moreover have ?y distinguishes P from Q
  unfolding is-distinguishing-formula-def proof
    from assms show  $P \models ?y$ 
    by (auto simp add: card-B finite-supp-B) (metis is-distinguishing-formula-def
supp-perm-eq valid-eqvt)
  next
    from assms show  $\neg Q \models ?y$ 
    by (auto simp add: card-B finite-supp-B) (metis is-distinguishing-formula-def
permute-zero fresh-star-zero)
  qed
ultimately show ?thesis ..
qed

```

```

lemma weak-equivalence-is-weak-bisimulation: is-weak-bisimulation weakly-logically-equivalent
proof –
  have symp weakly-logically-equivalent
    by (metis weakly-logically-equivalent-def sympI)
  moreover — weak static implication
  {
    fix P Q  $\varphi$  assume  $P \equiv \cdot Q$  and  $P \vdash \varphi$ 
    then have  $\exists Q'. Q \Rightarrow Q' \wedge P \equiv \cdot Q' \wedge Q' \vdash \varphi$ 
    proof –
      {
        let  $?Q' = \{Q'. Q \Rightarrow Q' \wedge Q' \vdash \varphi\}$ 
        assume  $\forall Q' \in ?Q'. \neg P \equiv \cdot Q'$ 
        then have  $\forall Q' \in ?Q'. \exists x :: ('idx, 'pred, 'act) \text{ formula. weak-formula } x \wedge$ 
x distinguishes P from Q'
          by (metis weakly-equivalent-iff-not-distinguished)
          then have  $\forall Q' \in ?Q'. \exists x :: ('idx, 'pred, 'act) \text{ formula. weak-formula } x \wedge$ 
supp x  $\subseteq$  supp P  $\wedge$  x distinguishes P from Q'
            by (metis distinguished-bounded-support)
            then obtain  $f :: 'state \Rightarrow ('idx, 'pred, 'act) \text{ formula}$  where
               $*$ :  $\forall Q' \in ?Q'. \text{ weak-formula } (f Q') \wedge \text{supp } (f Q') \subseteq \text{supp } P \wedge (f Q')$ 
distinguishes P from Q'
                by metis
                have  $\text{supp } (f \text{ ' ?Q'}) \subseteq \text{supp } P$ 
                  by (rule set-bounded-supp, fact finite-supp, cut-tac *, blast)
                  then have finite-supp-image: finite (supp (f ' ?Q'))
                    using finite-supp rev-finite-subset by blast
                    have  $|f \text{ ' ?Q'}| \leq o \mid \text{UNIV} :: 'state \text{ set}$ 
                      using card-of-UNIV card-of-image ordLeq-transitive by blast

```

```

also have |UNIV :: 'state set| <o |UNIV :: 'idx set|
  by (metis card-idx-state)
also have |UNIV :: 'idx set| ≤o natLeq + c |UNIV :: 'idx set|
  by (metis Cnotzero-UNIV ordLeq-csum2)
finally have card-image: |f ' ?Q'| <o natLeq + c |UNIV :: 'idx set| .

let ?y = Conj (Abs-bset (f ' ?Q')) :: ('idx, 'pred, 'act) formula
have weak-formula ?y
proof (standard+)
  show finite (supp (Abs-bset (f ' ?Q')) :: - set['idx])
    using finite-supp-image card-image by simp
next
  fix x assume x ∈ set-bset (Abs-bset (f ' ?Q')) :: - set['idx]
  with card-image obtain Q' where Q' ∈ ?Q' and x = f Q'
    using Abs-bset-inverse imageE set-bset set-bset-to-set-bset by auto
  then show weak-formula x
    using * by metis
qed

let ?z = ⟨⟨τ⟩⟩(Conj (binsert (Pred φ) (bsingleton ?y)))
have weak-formula ?z
  by standard (fact ⟨weak-formula ?y⟩)
moreover have P ⊨ ?z
proof -
  have P ⇒⟨τ⟩ P
    by simp
  moreover
  {
    fix Q'
    assume Q ⇒ Q' ∧ Q' ⊢ φ
    with * have P ⊨ f Q'
      by (metis is-distinguishing-formula-def mem-Collect-eq)
  }
  with ⟨P ⊢ φ⟩ have P ⊨ Conj (binsert (Pred φ) (bsingleton ?y))
    by (simp add: binsert.rep-eq finite-supp-image card-image)
  ultimately show ?thesis
    using valid-weak-action-modality by blast
qed
moreover have ¬ Q ⊨ ?z
proof
  assume Q ⊨ ?z
  then obtain Q' where 1: Q ⇒ Q' and Q' ⊨ Conj (binsert (Pred
φ) (bsingleton ?y))
    using valid-weak-action-modality by auto
  then have 2: Q' ⊢ φ and 3: Q' ⊨ ?y
    by (simp add: binsert.rep-eq finite-supp-image card-image)+
  from 3 have ∧Q''. Q ⇒ Q'' ∧ Q'' ⊢ φ ⟶ Q' ⊨ f Q''
    by (simp add: finite-supp-image card-image)
  with 1 and 2 and * show False

```

```

    using is-distinguishing-formula-def by blast
  qed
  ultimately have False
    by (metis  $\langle P \equiv \cdot Q \rangle$  weakly-logically-equivalent-def)
}
then show ?thesis
  by blast
qed
}
moreover — weak simulation
{
  fix  $P Q \alpha P'$  assume  $P \equiv \cdot Q$  and  $bn \alpha \#* Q$  and  $P \rightarrow \langle \alpha, P \rangle$ 
  then have  $\exists Q'. Q \Rightarrow \langle \alpha \rangle Q' \wedge P' \equiv \cdot Q'$ 
  proof —
    {
      let  $?Q' = \{Q'. Q \Rightarrow \langle \alpha \rangle Q'\}$ 
      assume  $\forall Q' \in ?Q'. \neg P' \equiv \cdot Q'$ 
      then have  $\forall Q' \in ?Q'. \exists x :: ('idx, 'pred, 'act) \text{ formula. weak-formula } x \wedge$ 
x distinguishes  $P'$  from  $Q'$ 
        by (metis weakly-equivalent-iff-not-distinguished)
      then have  $\forall Q' \in ?Q'. \exists x :: ('idx, 'pred, 'act) \text{ formula. weak-formula } x \wedge$ 
supp  $x \subseteq \text{supp } P' \wedge x$  distinguishes  $P'$  from  $Q'$ 
        by (metis distinguished-bounded-support)
      then obtain  $f :: 'state \Rightarrow ('idx, 'pred, 'act) \text{ formula}$  where
        *:  $\forall Q' \in ?Q'. \text{ weak-formula } (f Q') \wedge \text{supp } (f Q') \subseteq \text{supp } P' \wedge (f Q')$ 
distinguishes  $P'$  from  $Q'$ 
        by metis
      have supp  $P'$  supports  $(f \text{ ' } ?Q')$ 
      unfolding supports-def proof (clarify)
      fix  $a b$ 
      assume  $a: a \notin \text{supp } P'$  and  $b: b \notin \text{supp } P'$ 
      have  $(a \Rightarrow b) \cdot (f \text{ ' } ?Q') \subseteq f \text{ ' } ?Q'$ 
      proof
      fix  $x$ 
      assume  $x \in (a \Rightarrow b) \cdot (f \text{ ' } ?Q')$ 
      then obtain  $Q'$  where 1:  $x = (a \Rightarrow b) \cdot f Q'$  and 2:  $Q \Rightarrow \langle \alpha \rangle Q'$ 
      by auto (metis (no-types, lifting) imageE image-eqv mem-Collect-eq
permute-set-eq-image)
      with * and  $a$  and  $b$  have  $a \notin \text{supp } (f Q')$  and  $b \notin \text{supp } (f Q')$ 
      by auto
      with 1 have  $x = f Q'$ 
      by (metis fresh-perm fresh-star-def supp-perm-eq swap-atom)
      with 2 show  $x \in f \text{ ' } ?Q'$ 
      by simp
      qed
      moreover have  $f \text{ ' } ?Q' \subseteq (a \Rightarrow b) \cdot (f \text{ ' } ?Q')$ 
      proof
      fix  $x$ 
      assume  $x \in f \text{ ' } ?Q'$ 

```

```

then obtain Q' where 1: x = f Q' and 2: Q ⇒⟨α⟩ Q'
  by auto
with * and a and b have a ∉ supp (f Q') and b ∉ supp (f Q')
  by auto
with 1 have x = (a ⇒ b) · f Q'
  by (metis fresh-perm fresh-star-def supp-perm-eq swap-atom)
with 2 show x ∈ (a ⇒ b) · (f ' ?Q')
  using mem-permute-iff by blast
qed
ultimately show (a ⇒ b) · (f ' ?Q') = f ' ?Q' ..
qed
then have supp-image-subset-supp-P': supp (f ' ?Q') ⊆ supp P'
  by (metis (erased, lifting) finite-supp supp-is-subset)
then have finite-supp-image: finite (supp (f ' ?Q'))
  using finite-supp rev-finite-subset by blast
have |f ' ?Q'| ≤ o |UNIV :: 'state set|
  by (metis card-of-UNIV card-of-image ordLeq-transitive)
also have |UNIV :: 'state set| < o |UNIV :: 'idx set|
  by (metis card-idx-state)
also have |UNIV :: 'idx set| ≤ o natLeq + c |UNIV :: 'idx set|
  by (metis Cnotzero-UNIV ordLeq-csum2)
finally have card-image: |f ' ?Q'| < o natLeq + c |UNIV :: 'idx set| .

let ?y = Conj (Abs-bset (f ' ?Q')) :: ('idx, 'pred, 'act) formula
have weak-formula (⟨⟨α⟩⟩?y)
  proof (standard+)
    show finite (supp (Abs-bset (f ' ?Q') :: - set['idx]))
      using finite-supp-image card-image by simp
    next
    fix x assume x ∈ set-bset (Abs-bset (f ' ?Q') :: - set['idx'])
    with card-image obtain Q' where Q' ∈ ?Q' and x = f Q'
      using Abs-bset-inverse imageE set-bset set-bset-to-set-bset by auto
    then show weak-formula x
      using * by metis
  qed
moreover have P ⊨ ⟨⟨α⟩⟩?y
  unfolding valid-weak-action-modality proof (standard+)
  from ⟨P → ⟨α, P'⟩⟩ show P ⇒⟨α⟩ P'
    by simp
next
{
  fix Q'
  assume Q ⇒⟨α⟩ Q'
  with * have P' ⊨ f Q'
    by (metis is-distinguishing-formula-def mem-Collect-eq)
}
then show P' ⊨ ?y
  by (simp add: finite-supp-image card-image)
qed

```

```

moreover have  $\neg Q \models \langle\langle\alpha\rangle\rangle?y$ 
proof
  assume  $Q \models \langle\langle\alpha\rangle\rangle?y$ 
  then obtain  $Q'$  where  $1: Q \Rightarrow\langle\alpha\rangle Q'$  and  $2: Q' \models ?y$ 
    using  $\langle bn \ \alpha \ \#* \ Q \rangle$  by (metis valid-weak-action-modality-fresh)
  from  $2$  have  $\bigwedge Q''. Q \Rightarrow\langle\alpha\rangle Q'' \longrightarrow Q' \models f \ Q''$ 
    by (simp add: finite-supp-image card-image)
  with  $1$  and  $*$  show False
    using is-distinguishing-formula-def by blast
  qed
ultimately have False
  by (metis  $\langle P \equiv \cdot Q \rangle$  weakly-logically-equivalent-def)
}
then show ?thesis by auto
qed
}
ultimately show ?thesis
  unfolding is-weak-bisimulation-def by metis
qed

theorem weak-equivalence-implies-weak-bisimilarity: assumes  $P \equiv \cdot Q$  shows  $P \approx \cdot Q$ 
using assms by (metis weakly-bisimilar-def weak-equivalence-is-weak-bisimulation)

end

end
theory Weak-Expressive-Completeness
imports
  Weak-Bisimilarity-Implies-Equivalence
  Weak-Equivalence-Implies-Bisimilarity
  Disjunction
begin

```

## 26 Weak Expressive Completeness

```

context indexed-weak-nominal-ts
begin

```

### 26.1 Distinguishing weak formulas

Lemma *distinguished\_bounded\_support* only shows the existence of a distinguishing weak formula, without stating what this formula looks like. We now define an explicit function that returns a distinguishing weak formula, in a way that this function is equivariant (on pairs of non-weakly-equivalent states).

Note that this definition uses Hilbert's choice operator  $\varepsilon$ , which is not necessarily equivariant. This is immediately remedied by a hull construction.

**definition** *distinguishing-weak-formula* :: 'state  $\Rightarrow$  'state  $\Rightarrow$  ('idx, 'pred, 'act) formula **where**

*distinguishing-weak-formula* P Q  $\equiv$  Conj (Abs-bset {-p  $\cdot$  ( $\epsilon$  x. weak-formula x  $\wedge$  supp x  $\subseteq$  supp (p  $\cdot$  P)  $\wedge$  x distinguishes (p  $\cdot$  P) from (p  $\cdot$  Q))|p. True})

— just an auxiliary lemma that will be useful further below

**lemma** *distinguishing-weak-formula-card-aux*:

{-p  $\cdot$  ( $\epsilon$  x. weak-formula x  $\wedge$  supp x  $\subseteq$  supp (p  $\cdot$  P)  $\wedge$  x distinguishes (p  $\cdot$  P) from (p  $\cdot$  Q))|p. True} |<o natLeq +c |UNIV :: 'idx set|

**proof** –

**let** ?some =  $\lambda$ p. ( $\epsilon$  x. weak-formula x  $\wedge$  supp x  $\subseteq$  supp (p  $\cdot$  P)  $\wedge$  x distinguishes (p  $\cdot$  P) from (p  $\cdot$  Q))

**let** ?B = {-p  $\cdot$  ?some p|p. True}

**have** ?B  $\subseteq$  ( $\lambda$ p. -p  $\cdot$  ?some p) ‘ UNIV

**by** auto

**then have** |?B|  $\leq$ o |UNIV :: perm set|

**by** (rule surj-imp-ordLeq)

**also have** |UNIV :: perm set| <o |UNIV :: 'idx set|

**by** (metis card-idx-perm)

**also have** |UNIV :: 'idx set|  $\leq$ o natLeq +c |UNIV :: 'idx set|

**by** (metis Cnotzero-UNIV ordLeq-csum2)

**finally show** ?thesis .

**qed**

— just an auxiliary lemma that will be useful further below

**lemma** *distinguishing-weak-formula-supp-aux*:

**assumes**  $\neg$  (P  $\equiv$ . Q)

**shows** supp (Abs-bset {-p  $\cdot$  ( $\epsilon$  x. weak-formula x  $\wedge$  supp x  $\subseteq$  supp (p  $\cdot$  P)  $\wedge$  x distinguishes (p  $\cdot$  P) from (p  $\cdot$  Q))|p. True} :: - set['idx])  $\subseteq$  supp P

**proof** –

**let** ?some =  $\lambda$ p. ( $\epsilon$  x. weak-formula x  $\wedge$  supp x  $\subseteq$  supp (p  $\cdot$  P)  $\wedge$  x distinguishes (p  $\cdot$  P) from (p  $\cdot$  Q))

**let** ?B = {-p  $\cdot$  ?some p|p. True}

{

**fix** p

**from** *assms* **have**  $\neg$  (p  $\cdot$  P  $\equiv$ . p  $\cdot$  Q)

**by** (metis weakly-logically-equivalent-eqvt permute-minus-cancel(2))

**then have** supp (?some p)  $\subseteq$  supp (p  $\cdot$  P)

**using** *distinguished-bounded-support* **by** (metis (mono-tags, lifting) weakly-equivalent-iff-not-distinguished someI-ex)

}

**note** supp-some = *this*

{

**fix** x

**assume** x  $\in$  ?B

**then obtain** p **where** x = -p  $\cdot$  ?some p

```

    by blast
  with supp-some have  $\text{supp } (p \cdot x) \subseteq \text{supp } (p \cdot P)$ 
    by simp
  then have  $\text{supp } x \subseteq \text{supp } P$ 
    by (metis (full-types) permute-boolE subset-eqv supp-eqv)
}
note * = this
have supp-B:  $\text{supp } ?B \subseteq \text{supp } P$ 
  by (rule set-bounded-supp, fact finite-supp, cut-tac *, blast)

from supp-B and distinguishing-weak-formula-card-aux show ?thesis
  using supp-Abs-bset by blast
qed

lemma distinguishing-weak-formula-eqv [simp]:
  assumes  $\neg (P \equiv Q)$ 
  shows  $p \cdot \text{distinguishing-weak-formula } P \ Q = \text{distinguishing-weak-formula } (p \cdot P) \ (p \cdot Q)$ 
  proof -
    let ?some =  $\lambda p. (\epsilon x. \text{weak-formula } x \wedge \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p \cdot Q))$ 
    let ?B =  $\{-p \cdot ?some \ p | p. \text{True}\}$ 

    from assms have  $\text{supp } (\text{Abs-bset } ?B :: - \text{set}[idx]) \subseteq \text{supp } P$ 
      by (rule distinguishing-weak-formula-supp-aux)
    then have finite ( $\text{supp } (\text{Abs-bset } ?B :: - \text{set}[idx])$ )
      using finite-supp rev-finite-subset by blast
    with distinguishing-weak-formula-card-aux have *:  $p \cdot \text{Conj } (\text{Abs-bset } ?B) = \text{Conj } (\text{Abs-bset } (p \cdot ?B))$ 
      by simp

    let ?some' =  $\lambda p'. (\epsilon x. \text{weak-formula } x \wedge \text{supp } x \subseteq \text{supp } (p' \cdot p \cdot P) \wedge x \text{ distinguishes } (p' \cdot p \cdot P) \text{ from } (p' \cdot p \cdot Q))$ 
    let ?B' =  $\{-p' \cdot ?some' \ p' | p'. \text{True}\}$ 

    have  $p \cdot ?B = ?B'$ 
    proof
      {
        fix px
        assume  $px \in p \cdot ?B$ 
        then obtain x where 1:  $px = p \cdot x$  and 2:  $x \in ?B$ 
          by (metis (no-types, lifting) image-iff permute-set-eq-image)
        from 2 obtain p' where 3:  $x = -p' \cdot ?some \ p'$ 
          by blast
        from 1 and 3 have  $px = -(p' - p) \cdot ?some' \ (p' - p)$ 
          by simp
        then have  $px \in ?B'$ 
          by blast
      }
    }
  }

```



```

then show  $p \cdot ?B \subseteq ?B'$ 
  by blast
next
  {
    fix  $x$ 
    assume  $x \in ?B'$ 
    then obtain  $p'$  where  $x = -p' \cdot ?some' p'$ 
      by blast
    then have  $x = p \cdot -(p' + p) \cdot ?some (p' + p)$ 
      by (simp add: add.inverse-distrib-swap)
    then have  $x \in p \cdot ?B$ 
      using mem-permute-iff by blast
  }
then show  $?B' \subseteq p \cdot ?B$ 
  by blast
qed

```

```

with * show ?thesis
  unfolding distinguishing-weak-formula-def by simp
qed

```

```

lemma supp-distinguishing-weak-formula:
  assumes  $\neg (P \equiv Q)$ 
  shows  $\text{supp } (distinguishing-weak-formula P Q) \subseteq \text{supp } P$ 
proof -
  let  $?some = \lambda p. (\epsilon x. \text{weak-formula } x \wedge \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p \cdot Q))$ 
  let  $?B = \{- p \cdot ?some p | p. \text{True}\}$ 

  from assms have  $\text{supp } (Abs-bset ?B :: - \text{set}[idx]) \subseteq \text{supp } P$ 
    by (rule distinguishing-weak-formula-supp-aux)
  moreover from this have  $\text{finite } (\text{supp } (Abs-bset ?B :: - \text{set}[idx]))$ 
    using finite-supp rev-finite-subset by blast
  ultimately show ?thesis
  unfolding distinguishing-weak-formula-def by simp
qed

```

```

lemma distinguishing-weak-formula-distinguishes:
  assumes  $\neg (P \equiv Q)$ 
  shows  $(distinguishing-weak-formula P Q) \text{ distinguishes } P \text{ from } Q$ 
proof -
  let  $?some = \lambda p. (\epsilon x. \text{weak-formula } x \wedge \text{supp } x \subseteq \text{supp } (p \cdot P) \wedge x \text{ distinguishes } (p \cdot P) \text{ from } (p \cdot Q))$ 
  let  $?B = \{- p \cdot ?some p | p. \text{True}\}$ 

```

```

  {
    fix  $p$ 
    from assms have  $\neg (p \cdot P \equiv p \cdot Q)$ 
      by (metis permute-minus-cancel(2) weakly-logically-equivalent-eqt)
  }

```

```

    then have (?some p) distinguishes (p · P) from (p · Q)
    by (metis (mono-tags, lifting) distinguished-bounded-support weakly-equivalent-iff-not-distinguished
someI-ex)
  }
  note some-distinguishes = this

{
  fix P'
  from assms have supp (Abs-bset ?B :: - set['idx]) ⊆ supp P
    by (rule distinguishing-weak-formula-supp-aux)
  then have finite (supp (Abs-bset ?B :: - set['idx']))
    using finite-supp rev-finite-subset by blast
  with distinguishing-weak-formula-card-aux have P' ⊨ distinguishing-weak-formula
P Q ⟷ (∀ x ∈ ?B. P' ⊨ x)
    unfolding distinguishing-weak-formula-def by simp
  }
  note valid-distinguishing-formula = this

{
  fix p
  have P ⊨ ¬p · ?some p
    by (metis (mono-tags) is-distinguishing-formula-def permute-minus-cancel(2)
some-distinguishes valid-eqt)
  }
  then have P ⊨ distinguishing-weak-formula P Q
    using valid-distinguishing-formula by blast

  moreover have ¬ Q ⊨ distinguishing-weak-formula P Q
    using valid-distinguishing-formula by (metis (mono-tags, lifting) is-distinguishing-formula-def
mem-Collect-eq permute-minus-cancel(1) some-distinguishes valid-eqt)

  ultimately show (distinguishing-weak-formula P Q) distinguishes P from Q
    using is-distinguishing-formula-def by blast
qed

lemma distinguishing-weak-formula-is-weak:
  assumes ¬ (P ≡ Q)
  shows weak-formula (distinguishing-weak-formula P Q)
proof -
  let ?some = λp. (ε x. weak-formula x ∧ supp x ⊆ supp (p · P) ∧ x distinguishes
(p · P) from (p · Q))
  let ?B = {¬ p · ?some p | p. True}

  from assms have supp (Abs-bset ?B :: - set['idx]) ⊆ supp P
    by (rule distinguishing-weak-formula-supp-aux)
  then have finite (supp (Abs-bset ?B :: - set['idx']))
    using finite-supp rev-finite-subset by blast

  moreover have set-bset (Abs-bset ?B :: - set['idx']) = ?B

```

```

using distinguishing-weak-formula-card-aux Abs-bset-inverse' by simp

moreover
{
  fix x
  assume x ∈ ?B
  then obtain p where x = -p · ?some p
  by blast
  moreover from assms have ¬ (p · P) ≡ (p · Q)
  by (metis permute-minus-cancel(2) weakly-logically-equivalent-eqt)
  then have weak-formula (?some p)
  by (metis (mono-tags, lifting) distinguished-bounded-support weakly-equivalent-iff-not-distinguished
someI-ex)
  ultimately have weak-formula x
  by simp
}

ultimately show ?thesis
unfolding distinguishing-weak-formula-def using wf-Conj by blast
qed

```

## 26.2 Characteristic weak formulas

A *characteristic weak formula* for a state  $P$  is valid for (exactly) those states that are weakly bisimilar to  $P$ .

**definition** *characteristic-weak-formula* :: 'state  $\Rightarrow$  ('idx, 'pred, 'act) formula where

*characteristic-weak-formula*  $P \equiv \text{Conj} (\text{Abs-bset} \{ \text{distinguishing-weak-formula } P \ Q \mid Q. \neg (P \equiv \cdot Q) \})$

— just an auxiliary lemma that will be useful further below

**lemma** *characteristic-weak-formula-card-aux*:

$\{ \text{distinguishing-weak-formula } P \ Q \mid Q. \neg (P \equiv \cdot Q) \} <_o \text{ natLeq } +c \mid \text{UNIV} :: \text{'idx set} \mid$

**proof** —

let  $?B = \{ \text{distinguishing-weak-formula } P \ Q \mid Q. \neg (P \equiv \cdot Q) \}$

have  $?B \subseteq (\text{distinguishing-weak-formula } P) \text{ 'UNIV}$

by auto

then have  $\mid ?B \mid \leq_o \mid \text{UNIV} \mid :: \text{'state set} \mid$

by (rule surj-imp-ordLeq)

also have  $\mid \text{UNIV} \mid :: \text{'state set} \mid <_o \mid \text{UNIV} \mid :: \text{'idx set} \mid$

by (metis card-idx-state)

also have  $\mid \text{UNIV} \mid :: \text{'idx set} \mid \leq_o \text{ natLeq } +c \mid \text{UNIV} \mid :: \text{'idx set} \mid$

by (metis Cnotzero-UNIV ordLeq-csum2)

finally show ?thesis .

qed

— just an auxiliary lemma that will be useful further below

**lemma** *characteristic-weak-formula-supp-aux*:  
**shows**  $\text{supp } (\text{Abs-bset } \{ \text{distinguishing-weak-formula } P \ Q | \ Q. \neg (P \equiv \cdot \ Q) \}) \subseteq \text{supp } P$   
**proof** –  
**let**  $?B = \{ \text{distinguishing-weak-formula } P \ Q | \ Q. \neg (P \equiv \cdot \ Q) \}$

{  
**fix**  $x$   
**assume**  $x \in ?B$   
**then obtain**  $Q$  **where**  $x = \text{distinguishing-weak-formula } P \ Q$  **and**  $\neg (P \equiv \cdot \ Q)$   
**by** *blast*  
**with** *supp-distinguishing-weak-formula* **have**  $\text{supp } x \subseteq \text{supp } P$   
**by** *metis*  
}

**note**  $*$  = *this*  
**have** *supp-B*:  $\text{supp } ?B \subseteq \text{supp } P$   
**by** (*rule set-bounded-supp, fact finite-supp, cut-tac \*, blast*)

**from** *supp-B* **and** *characteristic-weak-formula-card-aux* **show** *?thesis*  
**using** *supp-Abs-bset* **by** *blast*  
**qed**

**lemma** *characteristic-weak-formula-eqvt* [*simp*]:  
 $p \cdot \text{characteristic-weak-formula } P = \text{characteristic-weak-formula } (p \cdot P)$   
**proof** –  
**let**  $?B = \{ \text{distinguishing-weak-formula } P \ Q | \ Q. \neg (P \equiv \cdot \ Q) \}$

**have**  $\text{supp } (\text{Abs-bset } ?B \ :: \ - \ \text{set}['idx]) \subseteq \text{supp } P$   
**by** (*fact characteristic-weak-formula-supp-aux*)  
**then have** *finite* ( $\text{supp } (\text{Abs-bset } ?B \ :: \ - \ \text{set}['idx])$ )  
**using** *finite-supp rev-finite-subset* **by** *blast*  
**with** *characteristic-weak-formula-card-aux* **have**  $*$ :  $p \cdot \text{Conj } (\text{Abs-bset } ?B) = \text{Conj } (\text{Abs-bset } (p \cdot ?B))$   
**by** *simp*

**let**  $?B' = \{ \text{distinguishing-weak-formula } (p \cdot P) \ Q | \ Q. \neg ((p \cdot P) \equiv \cdot \ Q) \}$

**have**  $p \cdot ?B = ?B'$   
**proof**  
{  
**fix**  $px$   
**assume**  $px \in p \cdot ?B$   
**then obtain**  $x$  **where**  $1: px = p \cdot x$  **and**  $2: x \in ?B$   
**by** (*metis (no-types, lifting) image-iff permute-set-eq-image*)  
**from**  $2$  **obtain**  $Q$  **where**  $3: x = \text{distinguishing-weak-formula } P \ Q$  **and**  $4: \neg (P \equiv \cdot \ Q)$   
**by** *blast*  
**with**  $1$  **have**  $px = \text{distinguishing-weak-formula } (p \cdot P) \ (p \cdot Q)$

```

    by simp
  moreover from 4 have  $\neg (p \cdot P) \equiv (p \cdot Q)$ 
    by (metis weakly-logically-equivalent-eqvt permute-minus-cancel(2))
  ultimately have  $px \in ?B'$ 
    by blast
}
then show  $p \cdot ?B \subseteq ?B'$ 
  by blast
next
{
  fix x
  assume  $x \in ?B'$ 
  then obtain Q where 1:  $x = \text{distinguishing-weak-formula } (p \cdot P) Q$  and
2:  $\neg (p \cdot P) \equiv Q$ 
    by blast
  from 2 have  $\neg P \equiv (-p \cdot Q)$ 
    by (metis weakly-logically-equivalent-eqvt permute-minus-cancel(1))
  moreover from this and 1 have  $x = p \cdot \text{distinguishing-weak-formula } P$ 
( $-p \cdot Q$ )
    by simp
  ultimately have  $x \in p \cdot ?B$ 
    using mem-permute-iff by blast
}
then show  $?B' \subseteq p \cdot ?B$ 
  by blast
qed

with * show ?thesis
  unfolding characteristic-weak-formula-def by simp
qed

lemma characteristic-weak-formula-eqvt-raw [simp]:
   $p \cdot \text{characteristic-weak-formula} = \text{characteristic-weak-formula}$ 
  by (simp add: permute-fun-def)

lemma characteristic-weak-formula-is-weak:
  weak-formula (characteristic-weak-formula P)
proof -
  let  $?B = \{\text{distinguishing-weak-formula } P Q \mid Q. \neg (P \equiv Q)\}$ 

  have  $\text{supp } (\text{Abs-bset } ?B :: - \text{set}['idx]) \subseteq \text{supp } P$ 
    by (fact characteristic-weak-formula-supp-aux)
  then have finite (supp (Abs-bset ?B :: - set['idx']))
    using finite-supp rev-finite-subset by blast

  moreover have  $\text{set-bset } (\text{Abs-bset } ?B :: - \text{set}['idx']) = ?B$ 
    using characteristic-weak-formula-card-aux Abs-bset-inverse' by simp

  moreover

```

```

{
  fix x
  assume x ∈ ?B
  then have weak-formula x
    using distinguishing-weak-formula-is-weak by blast
}

ultimately show ?thesis
  unfolding characteristic-weak-formula-def using wf-Conj by presburger
qed

lemma characteristic-weak-formula-is-characteristic':
  Q ⊨ characteristic-weak-formula P ↔ P ≡ Q
proof -
  let ?B = {distinguishing-weak-formula P Q | Q. ¬ (P ≡ Q)}

  {
    fix P'
    have supp (Abs-bset ?B :: - set['idx]) ⊆ supp P
      by (fact characteristic-weak-formula-supp-aux)
    then have finite (supp (Abs-bset ?B :: - set['idx']))
      using finite-supp rev-finite-subset by blast
    with characteristic-weak-formula-card-aux have P' ⊨ characteristic-weak-formula
      P ↔ (∀ x ∈ ?B. P' ⊨ x)
    unfolding characteristic-weak-formula-def by simp
  }
  note valid-characteristic-formula = this

  show ?thesis
  proof
    assume *: Q ⊨ characteristic-weak-formula P
    show P ≡ Q
    proof (rule ccontr)
      assume ¬ (P ≡ Q)
      with * show False
      using distinguishing-weak-formula-distinguishes is-distinguishing-formula-def
      valid-characteristic-formula by auto
    qed
  next
    assume P ≡ Q
    moreover have P ⊨ characteristic-weak-formula P
      using distinguishing-weak-formula-distinguishes is-distinguishing-formula-def
      valid-characteristic-formula by auto
    ultimately show Q ⊨ characteristic-weak-formula P
      using weakly-logically-equivalent-def characteristic-weak-formula-is-weak by
      blast
  qed
qed

```

**lemma** *characteristic-weak-formula-is-characteristic*:  
 $Q \models \text{characteristic-weak-formula } P \iff P \approx \cdot Q$   
**using** *characteristic-weak-formula-is-characteristic'* **by** (*meson weak-bisimilarity-implies-weak-equivalence*  
*weak-equivalence-implies-weak-bisimilarity*)

### 26.3 Weak expressive completeness

Every finitely supported set of states that is closed under weak bisimulation can be described by a weak formula; namely, by a disjunction of characteristic weak formulas.

**theorem** *weak-expressive-completeness*:  
**assumes** *finite* (*supp S*)  
**and**  $\bigwedge P Q. P \in S \implies P \approx \cdot Q \implies Q \in S$   
**shows**  $P \models \text{Disj } (\text{Abs-bset } (\text{characteristic-weak-formula } 'S)) \iff P \in S$   
**and** *weak-formula* (*Disj* (*Abs-bset* (*characteristic-weak-formula* 'S)))  
**proof** –  
**let**  $?B = \text{characteristic-weak-formula } 'S$   
  
**have**  $?B \subseteq \text{characteristic-weak-formula } 'UNIV$   
**by** *auto*  
**then have**  $|?B| \leq o |UNIV :: 'state\ set|$   
**by** (*rule surj-imp-ordLeq*)  
**also have**  $|UNIV :: 'state\ set| < o |UNIV :: 'idx\ set|$   
**by** (*metis card-idx-state*)  
**also have**  $|UNIV :: 'idx\ set| \leq o \text{natLeq} + c |UNIV :: 'idx\ set|$   
**by** (*metis Cnotzero-UNIV ordLeq-csum2*)  
**finally have** *card-B*:  $|?B| < o \text{natLeq} + c |UNIV :: 'idx\ set|$  .  
  
**have** *eqvt image and eqvt characteristic-weak-formula*  
**by** (*simp add: eqvtI*)  
**then have** *supp-B*:  $\text{supp } ?B \subseteq \text{supp } S$   
**using** *supp-fun-eqvt supp-fun-app supp-fun-app-eqvt* **by** *blast*  
**with** *card-B* **have**  $\text{supp } (\text{Abs-bset } ?B :: - \text{set}['idx]) \subseteq \text{supp } S$   
**using** *supp-Abs-bset* **by** *blast*  
**with**  $\langle \text{finite } (\text{supp } S) \rangle$  **have**  $\text{finite } (\text{supp } (\text{Abs-bset } ?B :: - \text{set}['idx]))$   
**using** *finite-supp rev-finite-subset* **by** *blast*  
  
**with** *card-B* **have**  $P \models \text{Disj } (\text{Abs-bset } (\text{characteristic-weak-formula } 'S)) \iff$   
 $(\exists x \in ?B. P \models x)$   
**by** *simp*  
  
**with**  $\langle \bigwedge P Q. P \in S \implies P \approx \cdot Q \implies Q \in S \rangle$  **show**  $P \models \text{Disj } (\text{Abs-bset}$   
 $(\text{characteristic-weak-formula } 'S)) \iff P \in S$   
**using** *characteristic-weak-formula-is-characteristic* *characteristic-weak-formula-is-characteristic'*  
*weakly-logically-equivalent-def* **by** *fastforce*

— it remains to show that the disjunction is a weak formula

**have** *eqvt Formula.Not*

```

    by (simp add: eqvtI)
  with supp-B and ⟨eqvt image⟩ have supp-Not-B: supp (Formula.Not ‘ ?B) ⊆
supp S
    using supp-fun-eqvt supp-fun-app supp-fun-app-eqvt by blast

  have |Formula.Not ‘ ?B| ≤o |?B|
    by simp
  also note card-B
  finally have card-not-B: |Formula.Not ‘ ?B| <o natLeq + c |UNIV :: ‘idx set| .

  with supp-Not-B have supp (Abs-bset (Formula.Not ‘ ?B) :: - set[‘idx]) ⊆ supp
S
    using supp-Abs-bset by blast
  with ⟨finite (supp S)⟩ have finite (supp (Abs-bset (Formula.Not ‘ ?B) :: -
set[‘idx]))
    using finite-supp rev-finite-subset by blast

  moreover have ∧x. x ∈ Formula.Not ‘ ?B ⇒ weak-formula x
    using characteristic-weak-formula-is-weak wf-Not by auto

  moreover from card-B have *: map-bset Formula.Not (Abs-bset ?B :: -
set[‘idx]) = (Abs-bset (Formula.Not ‘ ?B) :: - set[‘idx])
    using map-bset.abs-eq[unfolded eq-onp-def] by blast

  moreover from card-not-B have set-bset (Abs-bset (Formula.Not ‘ ?B) :: -
set[‘idx]) = Formula.Not ‘ ?B
    by simp

  ultimately show weak-formula (Disj (Abs-bset (characteristic-weak-formula ‘
S)))
    unfolding Disj-def by (metis wf-Conj wf-Not)
  qed

end

end
theory S-Transform
imports
  Bisimilarity-Implies-Equivalence
  Equivalence-Implies-Bisimilarity
  Weak-Bisimilarity-Implies-Equivalence
  Weak-Equivalence-Implies-Bisimilarity
  Weak-Expressive-Completeness
begin

```



## 27 S-Transform: State Predicates as Actions

### 27.1 Actions and binding names

```
datatype ('act,'pred) S-action =  
  Act 'act  
  | Pred 'pred
```

```
instantiation S-action :: (pt,pt) pt  
begin
```

```
  fun permute-S-action :: perm  $\Rightarrow$  ('a,'b) S-action  $\Rightarrow$  ('a,'b) S-action where  
    p  $\cdot$  (Act  $\alpha$ ) = Act (p  $\cdot$   $\alpha$ )  
  | p  $\cdot$  (Pred  $\varphi$ ) = Pred (p  $\cdot$   $\varphi$ )
```

```
  instance
```

```
  proof
```

```
    fix x :: ('a,'b) S-action
```

```
    show 0  $\cdot$  x = x by (cases x, simp-all)
```

```
  next
```

```
    fix p q and x :: ('a,'b) S-action
```

```
    show (p + q)  $\cdot$  x = p  $\cdot$  q  $\cdot$  x by (cases x, simp-all)
```

```
  qed
```

```
end
```

```
declare permute-S-action.simps [eqvt]
```

```
lemma supp-Act [simp]: supp (Act  $\alpha$ ) = supp  $\alpha$   
unfolding supp-def by simp
```

```
lemma supp-Pred [simp]: supp (Pred  $\varphi$ ) = supp  $\varphi$   
unfolding supp-def by simp
```

```
instantiation S-action :: (fs,fs) fs  
begin
```

```
  instance
```

```
  proof
```

```
    fix x :: ('a,'b) S-action
```

```
    show finite (supp x)
```

```
    by (cases x) (simp add: finite-supp)+
```

```
  qed
```

```
end
```

```
instantiation S-action :: (bn,fs) bn  
begin
```

```
  fun bn-S-action :: ('a,'b) S-action  $\Rightarrow$  atom set where
```

```

  bn-S-action (Act  $\alpha$ ) = bn  $\alpha$ 
| bn-S-action (Pred  $-$ ) = {}

```

**instance**

**proof**

```

  fix p and  $\alpha$  :: ('a,'b) S-action
  show p · bn  $\alpha$  = bn (p ·  $\alpha$ )
  by (cases  $\alpha$ ) (simp add: bn-eqvt, simp)

```

**next**

```

  fix  $\alpha$  :: ('a,'b) S-action
  show finite (bn  $\alpha$ )
  by (cases  $\alpha$ ) (simp add: bn-finite, simp)

```

**qed**

**end**

## 27.2 Satisfaction

**context** *nominal-ts*

**begin**

Here our formalization differs from the informal presentation, where the  $S$ -transform does not have any predicates. In Isabelle/HOL, there are no empty types; we use type *unit* instead. However, it is clear from the following definition of the satisfaction relation that the single element of this type is not actually used in any meaningful way.

**definition** *S-satisfies* :: 'state  $\Rightarrow$  unit  $\Rightarrow$  bool (infix  $\vdash_S$  70) **where**  
 $P \vdash_S \varphi \iff \text{False}$

**lemma** *S-satisfies-eqvt*: **assumes**  $P \vdash_S \varphi$  **shows**  $(p \cdot P) \vdash_S (p \cdot \varphi)$   
**using** *assms* **by** (simp add: *S-satisfies-def*)

**end**

## 27.3 Transitions

**context** *nominal-ts*

**begin**

**inductive** *S-transition* :: 'state  $\Rightarrow$  (('act,'pred) S-action, 'state) residual  $\Rightarrow$  bool  
(infix  $\rightarrow_S$  70) **where**

```

  Act:  $P \rightarrow \langle \alpha, P' \rangle \implies P \rightarrow_S \langle \text{Act } \alpha, P' \rangle$ 
| Pred:  $P \vdash \varphi \implies P \rightarrow_S \langle \text{Pred } \varphi, P \rangle$ 

```

**lemma** *S-transition-eqvt*: **assumes**  $P \rightarrow_S \alpha_S P'$  **shows**  $(p \cdot P) \rightarrow_S (p \cdot \alpha_S P')$   
**using** *assms* **by** cases (simp add: *S-transition.Act transition-eqvt'*, simp add: *S-transition.Pred satisfies-eqvt*)

If there is an  $S$ -transition, there is an ordinary transition with the same

residual—it is not necessary to consider alpha-variants.

**lemma** *S-transition-cases* [case-names Act Pred, consumes 1]: **assumes**  $P \rightarrow_S \langle \alpha_S, P' \rangle$   
**and**  $\bigwedge \alpha. \alpha_S = \text{Act } \alpha \implies P \rightarrow \langle \alpha, P' \rangle \implies R$   
**and**  $\bigwedge \varphi. \alpha_S = \text{Pred } \varphi \implies P' = P \implies P \vdash \varphi \implies R$   
**shows**  $R$   
**using** *assms proof* (cases rule: *S-transition.cases*)  
**case** (*Act*  $\alpha' P''$ )  
**let**  $?Act = \text{Act} :: 'act \Rightarrow ('act, 'pred) \text{ S-action}$   
**from**  $\langle \alpha_S, P' \rangle = \langle \text{Act } \alpha', P'' \rangle$  **obtain**  $\alpha$  **where**  $\alpha_S = \text{Act } \alpha$   
**by** (*meson bn-S-action.elims residual-empty-bn-eq-iff*)  
**with**  $\langle \alpha_S, P' \rangle = \langle \text{Act } \alpha', P'' \rangle$  **obtain**  $p$  **where**  $\text{supp } (?Act \alpha, P') - \text{bn } (?Act \alpha) = \text{supp } (?Act \alpha', P'') - \text{bn } (?Act \alpha')$   
**and**  $(\text{supp } (?Act \alpha, P') - \text{bn } (?Act \alpha)) \#* p$  **and**  $p \cdot (?Act \alpha, P') = (?Act \alpha', P'')$  **and**  $p \cdot \text{bn } (?Act \alpha) = \text{bn } (?Act \alpha')$   
**by** (*auto simp add: residual-eq-iff-perm*)  
**then have**  $\text{supp } (\alpha, P') - \text{bn } \alpha = \text{supp } (\alpha', P'') - \text{bn } \alpha'$  **and**  $(\text{supp } (\alpha, P') - \text{bn } \alpha) \#* p$   
**and**  $p \cdot (\alpha, P') = (\alpha', P'')$  **and**  $p \cdot \text{bn } \alpha = \text{bn } \alpha'$   
**by** (*simp-all add: supp-Pair*)  
**then have**  $\langle \alpha, P' \rangle = \langle \alpha', P'' \rangle$   
**by** (*metis residual-eq-iff-perm*)  
**with**  $\langle \alpha_S = \text{Act } \alpha \rangle$  **and**  $\langle P \rightarrow \langle \alpha', P'' \rangle \rangle$  **show**  $R$   
**using**  $\langle \bigwedge \alpha. \alpha_S = \text{Act } \alpha \implies P \rightarrow \langle \alpha, P' \rangle \implies R \rangle$  **by** *metis*  
**next**  
**case** (*Pred*  $\varphi$ )  
**from**  $\langle \alpha_S, P' \rangle = \langle \text{Pred } \varphi, P \rangle$  **have**  $\alpha_S = \text{Pred } \varphi$  **and**  $P' = P$   
**by** (*metis bn-S-action.simps(2) residual-empty-bn-eq-iff*)  
**with**  $\langle P \vdash \varphi \rangle$  **show**  $R$   
**using**  $\langle \bigwedge \varphi. \alpha_S = \text{Pred } \varphi \implies P' = P \implies P \vdash \varphi \implies R \rangle$  **by** *metis*  
**qed**

**lemma** *S-transition-Act-iff*:  $P \rightarrow_S \langle \text{Act } \alpha, P' \rangle \longleftrightarrow P \rightarrow \langle \alpha, P' \rangle$   
**using** *S-transition.Act S-transition-cases* **by** *fastforce*

**lemma** *S-transition-Pred-iff*:  $P \rightarrow_S \langle \text{Pred } \varphi, P' \rangle \longleftrightarrow P' = P \wedge P \vdash \varphi$   
**using** *S-transition.Pred S-transition-cases* **by** *fastforce*

end

## 27.4 Strong Bisimilarity in the S-transform

**context** *nominal-ts*

**begin**

**interpretation** *S-transform*: *nominal-ts* ( $\vdash_S$ ) ( $\rightarrow_S$ )  
**by** *unfold-locales (fact S-satisfies-eqvt, fact S-transition-eqvt)*

**no-notation** *S-satisfies* (**infix**  $\vdash_S$  70) — denotes ( $\vdash_S$ ) instead

**notation**  $S\text{-transform.bisimilar}$  (**infix**  $\sim_S$  100)

Bisimilarity is equivalent to bisimilarity in the  $S$ -transform.

**lemma**  $\text{bisimilar-is-}S\text{-transform-bisimulation}$ :  $S\text{-transform.is-bisimulation bisimilar}$

**unfolding**  $S\text{-transform.is-bisimulation-def}$

**proof**

**show**  $\text{symp bisimilar}$

**by** ( $\text{fact bisimilar-symp}$ )

**next**

**have**  $\forall P Q. P \sim \cdot Q \longrightarrow (\forall \varphi. P \vdash_S \varphi \longrightarrow Q \vdash_S \varphi)$  (**is**  $?S$ )

**by** ( $\text{simp add: } S\text{-transform.S-satisfies-def}$ )

**moreover have**  $\forall P Q. P \sim \cdot Q \longrightarrow (\forall \alpha_S P'. \text{bn } \alpha_S \#* Q \longrightarrow P \rightarrow_S \langle \alpha_S, P' \rangle \longrightarrow (\exists Q'. Q \rightarrow_S \langle \alpha_S, Q' \rangle \wedge P' \sim \cdot Q'))$  (**is**  $?T$ )

**proof** ( $\text{clarify}$ )

**fix**  $P Q \alpha_S P'$

**assume**  $\text{bisim: } P \sim \cdot Q$  **and**  $\text{fresh}_S: \text{bn } \alpha_S \#* Q$  **and**  $\text{trans}_S: P \rightarrow_S \langle \alpha_S, P' \rangle$

**obtain**  $Q'$  **where**  $Q \rightarrow_S \langle \alpha_S, Q' \rangle$  **and**  $P' \sim \cdot Q'$

**using**  $\text{trans}_S$  **proof** ( $\text{cases rule: } S\text{-transition-cases}$ )

**case** ( $\text{Act } \alpha$ )

**from**  $\langle \alpha_S = \text{Act } \alpha \rangle$  **and**  $\text{fresh}_S$  **have**  $\text{bn } \alpha \#* Q$

**by**  $\text{simp}$

**with**  $\text{bisim}$  **and**  $\langle P \rightarrow \langle \alpha, P' \rangle \rangle$  **obtain**  $Q'$  **where**  $\text{trans}Q: Q \rightarrow \langle \alpha, Q' \rangle$

**and**  $\text{bisim}' : P' \sim \cdot Q'$

**by** ( $\text{metis bisimilar-simulation-step}$ )

**from**  $\langle \alpha_S = \text{Act } \alpha \rangle$  **and**  $\text{trans}Q$  **have**  $Q \rightarrow_S \langle \alpha_S, Q' \rangle$

**by** ( $\text{simp add: } S\text{-transition.Act}$ )

**with**  $\text{bisim}'$  **show**  $\text{thesis}$

**using**  $\langle \wedge Q'. Q \rightarrow_S \langle \alpha_S, Q' \rangle \Longrightarrow P' \sim \cdot Q' \Longrightarrow \text{thesis} \rangle$  **by**  $\text{blast}$

**next**

**case** ( $\text{Pred } \varphi$ )

**from**  $\text{bisim}$  **and**  $\langle P \vdash \varphi \rangle$  **have**  $Q \vdash \varphi$

**by** ( $\text{metis is-bisimulation-def bisimilar-is-bisimulation}$ )

**with**  $\langle \alpha_S = \text{Pred } \varphi \rangle$  **have**  $Q \rightarrow_S \langle \alpha_S, Q \rangle$

**by** ( $\text{simp add: } S\text{-transition.Pred}$ )

**with**  $\text{bisim}$  **and**  $\langle P' = P \rangle$  **show**  $\text{thesis}$

**using**  $\langle \wedge Q'. Q \rightarrow_S \langle \alpha_S, Q' \rangle \Longrightarrow P' \sim \cdot Q' \Longrightarrow \text{thesis} \rangle$  **by**  $\text{blast}$

**qed**

**then show**  $\exists Q'. Q \rightarrow_S \langle \alpha_S, Q' \rangle \wedge P' \sim \cdot Q'$

**by**  $\text{auto}$

**qed**

**ultimately show**  $?S \wedge ?T$

**by**  $\text{metis}$

**qed**

**lemma**  $S\text{-transform-bisimilar-is-bisimulation}$ :  $\text{is-bisimulation } S\text{-transform.bisimilar}$

**unfolding**  $\text{is-bisimulation-def}$

**proof**

```

show symp S-transform.bisimilar
  by (fact S-transform.bisimilar-symp)
next
have  $\forall P Q. P \sim_S Q \longrightarrow (\forall \varphi. P \vdash \varphi \longrightarrow Q \vdash \varphi)$  (is ?S)
proof (clarify)
  fix  $P Q \varphi$ 
  assume bisim:  $P \sim_S Q$  and valid:  $P \vdash \varphi$ 
  from valid have  $P \rightarrow_S \langle \text{Pred } \varphi, P \rangle$ 
    by (fact S-transition.Pred)
  moreover have  $bn (\text{Pred } \varphi) \#^* Q$ 
    by (simp add: fresh-star-def)
  ultimately obtain  $Q'$  where trans':  $Q \rightarrow_S \langle \text{Pred } \varphi, Q \rangle$ 
    using bisim by (metis S-transform.bisimilar-simulation-step)
  from trans' show  $Q \vdash \varphi$ 
    using S-transition-Pred-iff by blast
qed
  moreover have  $\forall P Q. P \sim_S Q \longrightarrow (\forall \alpha P'. bn \alpha \#^* Q \longrightarrow P \rightarrow \langle \alpha, P \rangle \longrightarrow$ 
 $(\exists Q'. Q \rightarrow \langle \alpha, Q \rangle \wedge P' \sim_S Q'))$  (is ?T)
  proof (clarify)
    fix  $P Q \alpha P'$ 
    assume bisim:  $P \sim_S Q$  and fresh:  $bn \alpha \#^* Q$  and trans:  $P \rightarrow \langle \alpha, P \rangle$ 
    from trans have  $P \rightarrow_S \langle \text{Act } \alpha, P \rangle$ 
      by (fact S-transition.Act)
    with bisim and fresh obtain  $Q'$  where trans':  $Q \rightarrow_S \langle \text{Act } \alpha, Q \rangle$  and
bisim':  $P' \sim_S Q'$ 
      by (metis S-transform.bisimilar-simulation-step bn-S-action.simps(1))
    from trans' have  $Q \rightarrow \langle \alpha, Q \rangle$ 
      by (metis S-transition-Act-iff)
    with bisim' show  $\exists Q'. Q \rightarrow \langle \alpha, Q \rangle \wedge P' \sim_S Q'$ 
      by metis
    qed
  ultimately show ?S  $\wedge$  ?T
    by metis
qed

theorem S-transform-bisimilar-iff:  $P \sim_S Q \longleftrightarrow P \sim Q$ 
proof
  assume  $P \sim_S Q$ 
  then show  $P \sim Q$ 
    by (metis S-transform-bisimilar-is-bisimulation bisimilar-def)
next
  assume  $P \sim Q$ 
  then show  $P \sim_S Q$ 
    by (metis S-transform.bisimilar-def bisimilar-is-S-transform-bisimulation)
qed

end

```

## 27.5 Weak Bisimilarity in the $S$ -transform

context *weak-nominal-ts*

begin

**lemma** *weakly-bisimilar-tau-transition-weakly-bisimilar*:

assumes  $P \approx \cdot R$  and  $P \Rightarrow Q$  and  $Q \Rightarrow R$

shows  $Q \approx \cdot R$

**proof** –

let  $?bisim = \lambda S T. S \approx \cdot T \vee \{S, T\} = \{Q, R\}$

have *is-weak-bisimulation*  $?bisim$

unfolding *is-weak-bisimulation-def*

**proof**

show *symp*  $?bisim$

using *weakly-bisimilar-symp* by (*simp add: insert-commute symp-def*)

**next**

have  $\forall S T \varphi. ?bisim S T \wedge S \vdash \varphi \longrightarrow (\exists T'. T \Rightarrow T' \wedge ?bisim S T' \wedge T' \vdash \varphi)$  (is  $?S$ )

**proof** (*clarify*)

fix  $S T \varphi$

assume *bisim*:  $?bisim S T$  and *valid*:  $S \vdash \varphi$

from *bisim* show  $\exists T'. T \Rightarrow T' \wedge ?bisim S T' \wedge T' \vdash \varphi$

**proof**

assume  $S \approx \cdot T$

with *valid* show *thesis*

by (*metis is-weak-bisimulation-def weakly-bisimilar-is-weak-bisimulation*)

**next**

assume  $\{S, T\} = \{Q, R\}$

then have  $S = Q \wedge T = R \vee T = Q \wedge S = R$

by (*metis doubleton-eq-iff*)

then show *thesis*

**proof**

assume  $S = Q \wedge T = R$

with  $\langle P \Rightarrow Q \rangle$  and  $\langle P \approx \cdot R \rangle$  and *valid* show *thesis*

by (*metis is-weak-bisimulation-def tau-transition-trans weakly-bisimilar-is-weak-bisimulation weakly-bisimilar-tau-simulation-step*)

**next**

assume  $T = Q \wedge S = R$

with  $\langle Q \Rightarrow R \rangle$  and *valid* show *thesis*

by (*meson reflpE weakly-bisimilar-reflp*)

qed

qed

qed

moreover have  $\forall S T. ?bisim S T \longrightarrow (\forall \alpha S'. \text{bn } \alpha \#* T \longrightarrow S \rightarrow \langle \alpha, S' \rangle \longrightarrow (\exists T'. T \Rightarrow \langle \alpha \rangle T' \wedge ?bisim S' T'))$  (is  $?T$ )

**proof** (*clarify*)

fix  $S T \alpha S'$

assume *bisim*:  $?bisim S T$  and *fresh*:  $\text{bn } \alpha \#* T$  and *trans*:  $S \rightarrow \langle \alpha, S' \rangle$

from *bisim* show  $\exists T'. T \Rightarrow \langle \alpha \rangle T' \wedge ?bisim S' T'$

**proof**

```

assume  $S \approx \cdot T$ 
with fresh and trans show ?thesis
  by (metis is-weak-bisimulation-def weakly-bisimilar-is-weak-bisimulation)
next
assume  $\{S, T\} = \{Q, R\}$ 
then have  $S = Q \wedge T = R \vee T = Q \wedge S = R$ 
  by (metis doubleton-eq-iff)
then show ?thesis
proof
  assume  $S = Q \wedge T = R$ 
  with  $\langle P \Rightarrow Q \rangle$  and  $\langle P \approx \cdot R \rangle$  and fresh and trans show ?thesis
  using observable-transition-stepI tau-refl weak-transition-stepI weak-transition-weakI
weakly-bisimilar-weak-simulation-step by blast
next
  assume  $T = Q \wedge S = R$ 
  with  $\langle Q \Rightarrow R \rangle$  and trans show ?thesis
  by (metis observable-transition-stepI reflpE tau-refl weak-transition-stepI
weak-transition-weakI weakly-bisimilar-reflp)
  qed
qed
qed
ultimately show  $?S \wedge ?T$ 
  by metis
qed
then show ?thesis
  using weakly-bisimilar-def by blast
qed

```

**notation** *S-satisfies* (**infix**  $\vdash_S$  70)

**interpretation** *S-transform: weak-nominal-ts* ( $\vdash_S$ ) ( $\rightarrow_S$ ) *Act*  $\tau$   
**by** *unfold-locales* (*fact S-satisfies-eqvt*, *fact S-transition-eqvt*, *simp add: tau-eqvt*)

**no-notation** *S-satisfies* (**infix**  $\vdash_S$  70) — denotes ( $\vdash_S$ ) instead

**notation** *S-transform.tau-transition* (**infix**  $\Rightarrow_S$  70)

**notation** *S-transform.observable-transition* ( $- / \Rightarrow \{-\}_S / -$  [70, 70, 71] 71)

**notation** *S-transform.weak-transition* ( $- / \Rightarrow \langle - \rangle_S / -$  [70, 70, 71] 71)

**notation** *S-transform.weakly-bisimilar* (**infix**  $\approx \cdot_S$  100)

**lemma** *S-transform-tau-transition-iff*:  $P \Rightarrow_S P' \iff P \Rightarrow P'$

**proof**

**assume**  $P \Rightarrow_S P'$

**then show**  $P \Rightarrow P'$

**by** *induct* (*simp*, *metis S-transition-Act-iff tau-step*)

**next**

**assume**  $P \Rightarrow P'$

**then show**  $P \Rightarrow_S P'$

**by** *induct* (*simp*, *metis S-transform.tau-transition.simps S-transition.Act*)

qed

**lemma** *S-transform-observable-transition-iff*:  $P \Rightarrow \langle \text{Act } \alpha \rangle_S P' \longleftrightarrow P \Rightarrow \langle \alpha \rangle P'$   
**unfolding** *S-transform.observable-transition-def observable-transition-def*  
**by** (*metis S-transform-tau-transition-iff S-transition-Act-iff*)

**lemma** *S-transform-weak-transition-iff*:  $P \Rightarrow \langle \text{Act } \alpha \rangle_S P' \longleftrightarrow P \Rightarrow \langle \alpha \rangle P'$   
**by** (*simp add: S-transform-observable-transition-iff S-transform-tau-transition-iff weak-transition-def*)

Weak bisimilarity is equivalent to weak bisimilarity in the *S*-transform.

**lemma** *weakly-bisimilar-is-S-transform-weak-bisimulation*: *S-transform.is-weak-bisimulation weakly-bisimilar*

**unfolding** *S-transform.is-weak-bisimulation-def*

**proof**

**show** *symp weakly-bisimilar*

**by** (*fact weakly-bisimilar-symp*)

**next**

**have**  $\forall P Q \varphi. P \approx \cdot Q \wedge P \vdash_S \varphi \longrightarrow (\exists Q'. Q \Rightarrow_S Q' \wedge P \approx \cdot Q' \wedge Q' \vdash_S \varphi)$

(*is ?S*)

**by** (*simp add: S-transform.S-satisfies-def*)

**moreover have**  $\forall P Q. P \approx \cdot Q \longrightarrow (\forall \alpha_S P'. \text{bn } \alpha_S \#^* Q \longrightarrow P \rightarrow_S \langle \alpha_S, P' \rangle \longrightarrow (\exists Q'. Q \Rightarrow \langle \alpha_S \rangle_S Q' \wedge P' \approx \cdot Q'))$  (*is ?T*)

**proof** (*clarify*)

**fix**  $P Q \alpha_S P'$

**assume** *bisim*:  $P \approx \cdot Q$  **and** *fresh<sub>S</sub>*:  $\text{bn } \alpha_S \#^* Q$  **and** *trans<sub>S</sub>*:  $P \rightarrow_S \langle \alpha_S, P' \rangle$

**obtain**  $Q'$  **where**  $Q \Rightarrow \langle \alpha_S \rangle_S Q'$  **and**  $P' \approx \cdot Q'$

**using** *trans<sub>S</sub>* **proof** (*cases rule: S-transition-cases*)

**case** (*Act*  $\alpha$ )

**from**  $\langle \alpha_S = \text{Act } \alpha \rangle$  **and** *fresh<sub>S</sub>* **have**  $\text{bn } \alpha \#^* Q$

**by** *simp*

**with** *bisim* **and**  $\langle P \rightarrow \langle \alpha, P' \rangle \rangle$  **obtain**  $Q'$  **where** *trans<sub>Q</sub>*:  $Q \Rightarrow \langle \alpha \rangle Q'$

**and** *bisim'*:  $P' \approx \cdot Q'$

**by** (*metis is-weak-bisimulation-def weakly-bisimilar-is-weak-bisimulation*)

**from**  $\langle \alpha_S = \text{Act } \alpha \rangle$  **and** *trans<sub>Q</sub>* **have**  $Q \Rightarrow \langle \alpha_S \rangle_S Q'$

**by** (*metis S-transform-weak-transition-iff*)

**with** *bisim'* **show** *thesis*

**using**  $\langle \wedge Q'. Q \Rightarrow \langle \alpha_S \rangle_S Q' \Longrightarrow P' \approx \cdot Q' \Longrightarrow \text{thesis} \rangle$  **by** *blast*

**next**

**case** (*Pred*  $\varphi$ )

**from** *bisim* **and**  $\langle P \vdash \varphi \rangle$  **obtain**  $Q'$  **where**  $Q \Rightarrow Q'$  **and**  $P \approx \cdot Q'$  **and**

$Q' \vdash \varphi$

**by** (*metis is-weak-bisimulation-def weakly-bisimilar-is-weak-bisimulation*)

**from**  $\langle Q \Rightarrow Q' \rangle$  **have**  $Q \Rightarrow_S Q'$

**by** (*metis S-transform-tau-transition-iff*)

**moreover from**  $\langle Q' \vdash \varphi \rangle$  **have**  $Q' \rightarrow_S \langle \text{Pred } \varphi, Q' \rangle$

**by** (*simp add: S-transition.Pred*)

**ultimately have**  $Q \Rightarrow \langle \alpha_S \rangle_S Q'$

**using**  $\langle \alpha_S = \text{Pred } \varphi \rangle$  **by** (*metis S-transform.observable-transitionI*)



*S-transform.tau-refl S-transform.weak-transition-stepI*  
**with**  $\langle P' = P \rangle$  **and**  $\langle P \approx \cdot Q' \rangle$  **show** *thesis*  
**using**  $\langle \wedge Q'. Q \Rightarrow \langle \alpha_S \rangle_S Q' \Longrightarrow P' \approx \cdot Q' \Longrightarrow \text{thesis} \rangle$  **by** *blast*  
**qed**  
**then show**  $\exists Q'. Q \Rightarrow \langle \alpha_S \rangle_S Q' \wedge P' \approx \cdot Q'$   
**by** *auto*  
**qed**  
**ultimately show**  $?S \wedge ?T$   
**by** *metis*  
**qed**

**lemma** *S-transform-weakly-bisimilar-is-weak-bisimulation: is-weak-bisimulation*  
*S-transform.weakly-bisimilar*  
**unfolding** *is-weak-bisimulation-def*  
**proof**  
**show** *symp S-transform.weakly-bisimilar*  
**by** (*fact S-transform.weakly-bisimilar-symp*)  
**next**  
**have**  $\forall P Q \varphi. P \approx \cdot_S Q \wedge P \vdash \varphi \longrightarrow (\exists Q'. Q \Rightarrow Q' \wedge P \approx \cdot_S Q' \wedge Q' \vdash \varphi)$   
**(is**  $?S$ **)**  
**proof** (*clarify*)  
**fix**  $P Q \varphi$   
**assume** *bisim:  $P \approx \cdot_S Q$  and valid:  $P \vdash \varphi$*   
**from** *valid* **have**  $P \Rightarrow \langle \text{Pred } \varphi \rangle_S P$   
**by** (*simp add: S-transition.Pred*)  
**moreover** **have**  $\text{bn } (\text{Pred } \varphi) \#^* Q$   
**by** (*simp add: fresh-star-def*)  
**ultimately obtain**  $Q''$  **where** *trans':  $Q \Rightarrow \langle \text{Pred } \varphi \rangle_S Q''$  and bisim':  $P \approx \cdot_S Q''$*   
**using** *bisim* **by** (*metis S-transform.weakly-bisimilar-weak-simulation-step*)

**from** *trans'* **obtain**  $Q' Q_1$  **where** *trans<sub>1</sub>:  $Q \Rightarrow_S Q'$  and trans<sub>2</sub>:  $Q' \rightarrow_S \langle \text{Pred } \varphi, Q_1 \rangle$  and trans<sub>3</sub>:  $Q_1 \Rightarrow_S Q''$*   
**by** (*auto simp add: S-transform.observable-transition-def*)  
**from** *trans<sub>2</sub>* **have** *eq:  $Q_1 = Q'$  and  $Q' \vdash \varphi$*   
**using** *S-transition-Pred-iff* **by** *blast+*  
**moreover** **from** *trans<sub>1</sub>* **and** *trans<sub>3</sub>* **and** *eq* **and** *bisim* **and** *bisim'* **have**  $P \approx \cdot_S Q'$   
**by** (*metis S-transform.weakly-bisimilar-equivp S-transform.weakly-bisimilar-tau-transition-weakly-bisimilar-equivp-def*)  
**moreover** **from** *trans<sub>1</sub>* **have**  $Q \Rightarrow Q'$   
**by** (*metis S-transform.tau-transition-iff*)  
**ultimately show**  $\exists Q'. Q \Rightarrow Q' \wedge P \approx \cdot_S Q' \wedge Q' \vdash \varphi$   
**by** *metis*  
**qed**  
**moreover** **have**  $\forall P Q. P \approx \cdot_S Q \longrightarrow (\forall \alpha P'. \text{bn } \alpha \#^* Q \longrightarrow P \rightarrow \langle \alpha, P' \rangle \longrightarrow (\exists Q'. Q \Rightarrow \langle \alpha \rangle Q' \wedge P' \approx \cdot_S Q'))$  **(is**  $?T$ **)**  
**proof** (*clarify*)  
**fix**  $P Q \alpha P'$

```

    assume bisim:  $P \approx_S Q$  and fresh:  $bn \ \alpha \ \#* \ Q$  and trans:  $P \rightarrow \langle \alpha, P \rangle$ 
    from trans have  $P \rightarrow_S \langle Act \ \alpha, P \rangle$ 
    by (fact S-transition.Act)
    with bisim and fresh obtain  $Q'$  where trans':  $Q \Rightarrow \langle Act \ \alpha \rangle_S \ Q'$  and bisim':
 $P' \approx_S Q'$ 
    by (metis S-transform.is-weak-bisimulation-def S-transform.weakly-bisimilar-is-weak-bisimulation
bn-S-action.simps(1))
    from trans' have  $Q \Rightarrow \langle \alpha \rangle \ Q'$ 
    by (metis S-transform-weak-transition-iff)
    with bisim' show  $\exists Q'. Q \Rightarrow \langle \alpha \rangle \ Q' \wedge P' \approx_S Q'$ 
    by metis
  qed
  ultimately show  $?S \wedge ?T$ 
  by metis
qed

```

**theorem** *S-transform-weakly-bisimilar-iff*:  $P \approx_S Q \longleftrightarrow P \approx \cdot Q$

**proof**

assume  $P \approx_S Q$

then show  $P \approx \cdot Q$

by (*metis S-transform-weakly-bisimilar-is-weak-bisimulation weakly-bisimilar-def*)

**next**

assume  $P \approx \cdot Q$

then show  $P \approx_S Q$

by (*metis S-transform.weakly-bisimilar-def weakly-bisimilar-is-S-transform-weak-bisimulation*)

**qed**

**end**

## 27.6 Translation of (strong) formulas into formulas without predicates

Since we defined formulas via a manual quotient construction, we also need to define the *S*-transform via lifting from the underlying type of infinitely branching trees. As before, we cannot use **nominal\_function** because that generates proof obligations where, for formulas of the form *Conj xset*, the assumption that *xset* has finite support is missing.

The following auxiliary function returns trees (modulo  $\alpha$ -equivalence) rather than formulas. This allows us to prove equivariance for *all* argument trees, without an assumption that they are (hereditarily) finitely supported. Further below—after this auxiliary function has been lifted to (strong) formulas as arguments—we derive a version that returns formulas.

**primrec** *S-transform-Tree* ::  $(\text{'idx}, \text{'pred}::\text{fs}, \text{'act}::\text{bn}) \ \text{Tree} \Rightarrow (\text{'idx}, \text{unit}, (\text{'act}, \text{'pred}) \ \text{S-action}) \ \text{Tree}_\alpha$  **where**

*S-transform-Tree* (*tConj tset*) =  $\text{Conj}_\alpha \ (\text{map-bset } \text{S-transform-Tree } \text{tset})$

| *S-transform-Tree* (*tNot t*) =  $\text{Not}_\alpha \ (\text{S-transform-Tree } \text{t})$

| *S-transform-Tree* (*tPred  $\varphi$* ) =  $\text{Act}_\alpha \ (\text{S-action.Pred } \varphi) \ (\text{Conj}_\alpha \ \text{bempty})$

|  $S\text{-transform-Tree } (tAct \alpha t) = Act_\alpha (S\text{-action.Act } \alpha) (S\text{-transform-Tree } t)$

**lemma**  $S\text{-transform-Tree-eqt}$  [eqvt]:  $p \cdot S\text{-transform-Tree } t = S\text{-transform-Tree } (p \cdot t)$

**proof** (induct t)

**case** (tConj tset)

**then show** ?case

**by** simp (metis (no-types, opaque-lifting) bset.map-cong0 map-bset-eqt permute-fun-def permute-minus-cancel(1))

**qed** simp-all

$S\text{-transform-Tree}$  respects  $\alpha$ -equivalence.

**lemma**  $alpha\text{-Tree-}S\text{-transform-Tree}$ :

**assumes**  $t1 =_\alpha t2$

**shows**  $S\text{-transform-Tree } t1 = S\text{-transform-Tree } t2$

**using** *assms* **proof** (induction t1 t2 rule:  $alpha\text{-Tree-induct}'$ )

**case** ( $alpha\text{-tConj } tset1 tset2$ )

**then have**  $rel\text{-bset } (=)$  ( $map\text{-bset } S\text{-transform-Tree } tset1$ ) ( $map\text{-bset } S\text{-transform-Tree } tset2$ )

**by** (simp add: bset.rel-map(1) bset.rel-map(2) bset.rel-mono-strong)

**then show** ?case

**by** (simp add: bset.rel-eq)

**next**

**case** ( $alpha\text{-tAct } \alpha1 t1 \alpha2 t2$ )

**from**  $\langle tAct \alpha1 t1 =_\alpha tAct \alpha2 t2 \rangle$

**obtain**  $p$  **where**  $*$ : ( $bn \alpha1, t1$ )  $\approx_{set} alpha\text{-Tree } (supp\text{-rel } alpha\text{-Tree}) p$  ( $bn \alpha2, t2$ )

**and**  $**$ : ( $bn \alpha1, \alpha1$ )  $\approx_{set} (=)$   $supp p$  ( $bn \alpha2, \alpha2$ )

**by** auto

**from**  $*$  **have**  $fresh$ : ( $supp\text{-rel } alpha\text{-Tree } t1 - bn \alpha1$ )  $\#* p$  **and**  $alpha$ :  $p \cdot t1 =_\alpha t2$  **and**  $eq$ :  $p \cdot bn \alpha1 = bn \alpha2$

**by** (auto simp add:  $alpha\text{-set}$ )

**from**  $alpha\text{-tAct.IH}(2)$  **have**  $supp\text{-rel } alpha\text{-Tree } (rep\text{-Tree}_\alpha (S\text{-transform-Tree } t1)) \subseteq supp\text{-rel } alpha\text{-Tree } t1$

**by** (metis (no-types, lifting) infinite-mono  $alpha\text{-Tree-permute-rep-commute } S\text{-transform-Tree-eqt } mem\text{-Collect-eq } subsetI supp\text{-rel-def}$ )

**with**  $fresh$  **have**  $fresh'$ : ( $supp\text{-rel } alpha\text{-Tree } (rep\text{-Tree}_\alpha (S\text{-transform-Tree } t1)) - bn \alpha1$ )  $\#* p$

**by** (meson DiffD1 DiffD2 DiffI fresh-star-def subsetCE)

**moreover from**  $alpha$  **have**  $alpha'$ :  $p \cdot rep\text{-Tree}_\alpha (S\text{-transform-Tree } t1) =_\alpha rep\text{-Tree}_\alpha (S\text{-transform-Tree } t2)$

**using**  $alpha\text{-tAct.IH}(1)$  **by** (metis  $alpha\text{-Tree-permute-rep-commute } S\text{-transform-Tree-eqt}$ )

**moreover from**  $fresh'$   $alpha'$   $eq$  **have**  $supp\text{-rel } alpha\text{-Tree } (rep\text{-Tree}_\alpha (S\text{-transform-Tree } t1)) - bn \alpha1 = supp\text{-rel } alpha\text{-Tree } (rep\text{-Tree}_\alpha (S\text{-transform-Tree } t2)) - bn \alpha2$

**by** (metis (mono-tags) Diff-eqt  $alpha\text{-Tree-eqt}'$   $alpha\text{-Tree-eqt-aux } alpha\text{-Tree-suppl-rel-atom-set-perm-eq}$ )

**ultimately have** ( $bn \alpha1, rep\text{-Tree}_\alpha (S\text{-transform-Tree } t1)$ )  $\approx_{set} alpha\text{-Tree } (supp\text{-rel } alpha\text{-Tree}) p$  ( $bn \alpha2, rep\text{-Tree}_\alpha (S\text{-transform-Tree } t2)$ )

**using**  $eq$  **by** (simp add:  $alpha\text{-set}$ )

**moreover from \*\* have**  $(bn\ \alpha 1, S\text{-action.Act}\ \alpha 1) \approx_{set} (=) \text{supp}\ p\ (bn\ \alpha 2,$   
 $S\text{-action.Act}\ \alpha 2)$   
**by**  $(metis\ (mono\text{-tags},\ lifting)\ S\text{-Transform.suppl-Act}\ \alpha\text{-set}\ \text{permute-}S\text{-action.simpls}(1))$   
**ultimately have**  $Act_\alpha\ (S\text{-action.Act}\ \alpha 1)\ (S\text{-transform-Tree}\ t1) = Act_\alpha\ (S\text{-action.Act}$   
 $\alpha 2)\ (S\text{-transform-Tree}\ t2)$   
**by**  $(auto\ \text{simp}\ \text{add:}\ Act_\alpha\text{-eq-iff})$   
**then show**  $?case$   
**by**  $simp$   
**qed**  $simp\text{-all}$

$S$ -transform for trees modulo  $\alpha$ -equivalence.

**lift-definition**  $S\text{-transform-Tree}_\alpha :: ('idx, 'pred::fs, 'act::bn)\ Tree_\alpha \Rightarrow ('idx, unit,$   
 $('act, 'pred)\ S\text{-action})\ Tree_\alpha$  **is**  
 $S\text{-transform-Tree}$   
**by**  $(fact\ \alpha\text{-Tree-}S\text{-transform-Tree})$

**lemma**  $S\text{-transform-Tree}_\alpha\text{-eqvt}\ [eqvt]:\ p \cdot S\text{-transform-Tree}_\alpha\ t_\alpha = S\text{-transform-Tree}_\alpha$   
 $(p \cdot t_\alpha)$   
**by**  $transfer\ (simp)$

**lemma**  $S\text{-transform-Tree}_\alpha\text{-Conj}_\alpha\ [simp]:\ S\text{-transform-Tree}_\alpha\ (Conj_\alpha\ tset_\alpha) = Conj_\alpha$   
 $(map\text{-bset}\ S\text{-transform-Tree}_\alpha\ tset_\alpha)$   
**by**  $(simp\ \text{add:}\ Conj_\alpha\text{-def}'\ S\text{-transform-Tree}_\alpha.\text{abs-eq})\ (metis\ (no\text{-types},\ lifting)\ S\text{-transform-Tree}_\alpha.\text{rep-eq}\ \text{bset.map-comp}\ \text{bset.map-cong0}\ \text{comp-apply})$

**lemma**  $S\text{-transform-Tree}_\alpha\text{-Not}_\alpha\ [simp]:\ S\text{-transform-Tree}_\alpha\ (Not_\alpha\ t_\alpha) = Not_\alpha\ (S\text{-transform-Tree}_\alpha$   
 $t_\alpha)$   
**by**  $transfer\ simp$

**lemma**  $S\text{-transform-Tree}_\alpha\text{-Pred}_\alpha\ [simp]:\ S\text{-transform-Tree}_\alpha\ (Pred_\alpha\ \varphi) = Act_\alpha$   
 $(S\text{-action.Pred}\ \varphi)\ (Conj_\alpha\ \text{bempty})$   
**by**  $transfer\ simp$

**lemma**  $S\text{-transform-Tree}_\alpha\text{-Act}_\alpha\ [simp]:\ S\text{-transform-Tree}_\alpha\ (Act_\alpha\ \alpha\ t_\alpha) = Act_\alpha$   
 $(S\text{-action.Act}\ \alpha)\ (S\text{-transform-Tree}_\alpha\ t_\alpha)$   
**by**  $transfer\ simp$

**lemma**  $finite\text{-supp-map-bset-}S\text{-transform-Tree}_\alpha\ [simp]:$   
**assumes**  $finite\ (supp\ tset_\alpha)$   
**shows**  $finite\ (supp\ (map\text{-bset}\ S\text{-transform-Tree}_\alpha\ tset_\alpha))$

**proof** –

**have**  $eqvt\ \text{map-bset}\ \text{and}\ eqvt\ S\text{-transform-Tree}_\alpha$   
**by**  $(simp\ \text{add:}\ eqvtI)+$   
**then have**  $supp\ (map\text{-bset}\ S\text{-transform-Tree}_\alpha) = \{\}$   
**using**  $supp\text{-fun-}eqvt\ \text{supp-fun-app-}eqvt$  **by**  $blast$   
**then have**  $supp\ (map\text{-bset}\ S\text{-transform-Tree}_\alpha\ tset_\alpha) \subseteq supp\ tset_\alpha$   
**using**  $supp\text{-fun-app}$  **by**  $blast$   
**with**  $assms$  **show**  $finite\ (supp\ (map\text{-bset}\ S\text{-transform-Tree}_\alpha\ tset_\alpha))$   
**by**  $(metis\ finite\text{-subset})$

qed

**lemma** *S-transform-Tree $_{\alpha}$ -preserves-hereditarily-fs*:  
  **assumes** *hereditarily-fs t $_{\alpha}$*   
  **shows** *hereditarily-fs (S-transform-Tree $_{\alpha}$  t $_{\alpha}$ )*  
**using** *assms proof (induct rule: hereditarily-fs.induct)*  
  **case** (*Conj $_{\alpha}$  tset $_{\alpha}$* )  
  **then show** *?case*  
    **by** (*auto intro!: hereditarily-fs.Conj $_{\alpha}$* ) (*metis imageE map-bset.rep-eq*)  
**next**  
  **case** (*Not $_{\alpha}$  t $_{\alpha}$* )  
  **then show** *?case*  
    **by** (*simp add: hereditarily-fs.Not $_{\alpha}$* )  
**next**  
  **case** (*Pred $_{\alpha}$   $\varphi$* )  
  **have** *finite (supp bempty)*  
    **by** (*simp add: eqvtI supp-fun-eqvt*)  
  **then show** *?case*  
    **using** *hereditarily-fs.Act $_{\alpha}$  finite-supply-implies-hereditarily-fs-Conj $_{\alpha}$*  **by** *fastforce*  
**next**  
  **case** (*Act $_{\alpha}$  t $_{\alpha}$   $\alpha$* )  
  **then show** *?case*  
    **by** (*simp add: Formula.hereditarily-fs.Act $_{\alpha}$* )  
qed

*S*-transform for (strong) formulas.

**lift-definition** *S-transform-formula* :: (*'idx, 'pred::fs, 'act::bn*) *formula*  $\Rightarrow$  (*'idx, unit,*  
*'act, 'pred*) *S-action*) *Tree $_{\alpha}$  is*  
  *S-transform-Tree $_{\alpha}$*   
  .

**lemma** *S-transform-formula-eqvt [eqvt]: p  $\cdot$  S-transform-formula x = S-transform-formula*  
*(p  $\cdot$  x)*  
  **by** *transfer (simp)*

**lemma** *S-transform-formula-Conj [simp]:*  
  **assumes** *finite (supp xset)*  
  **shows** *S-transform-formula (Conj xset) = Conj $_{\alpha}$  (map-bset S-transform-formula*  
*xset)*  
  **using** *assms by (simp add: Conj-def S-transform-formula-def bset.map-comp*  
*map-fun-def)*

**lemma** *S-transform-formula-Not [simp]: S-transform-formula (Not x) = Not $_{\alpha}$  (S-transform-formula*  
*x)*  
  **by** *transfer simp*

**lemma** *S-transform-formula-Pred [simp]: S-transform-formula (Formula.Pred  $\varphi$ )*  
*= Act $_{\alpha}$  (S-action.Pred  $\varphi$ ) (Conj $_{\alpha}$  bempty)*  
  **by** *transfer simp*

**lemma** *S-transform-formula-Act* [simp]: *S-transform-formula* (*Formula.Act*  $\alpha$   $x$ )  
 = *Formula.Act* <sub>$\alpha$</sub>  (*S-action.Act*  $\alpha$ ) (*S-transform-formula*  $x$ )  
**by** *transfer simp*

**lemma** *S-transform-formula-hereditarily-fs* [simp]: *hereditarily-fs* (*S-transform-formula*  $x$ )  
**by** *transfer (fact S-transform-Tree $_{\alpha}$ -preserves-hereditarily-fs)*

Finally, we define the proper *S*-transform, which returns formulas instead of trees.

**definition** *S-transform* :: ('idx, 'pred::fs, 'act::bn) *formula*  $\Rightarrow$  ('idx, unit, ('act, 'pred) *S-action*) *formula* **where**  
*S-transform*  $x$  = *Abs-formula* (*S-transform-formula*  $x$ )

**lemma** *S-transform-eqt* [eqvt]:  $p \cdot$  *S-transform*  $x$  = *S-transform* ( $p \cdot x$ )  
**unfolding** *S-transform-def* **by** *simp*

**lemma** *finite-supp-map-bset-S-transform* [simp]:  
**assumes** *finite* (*supp*  $xset$ )  
**shows** *finite* (*supp* (*map-bset* *S-transform*  $xset$ ))

**proof** –

**have** *eqvt map-bset and eqvt S-transform*  
**by** (*simp add: eqvtI*)  
**then have** *supp (map-bset S-transform) = {}*  
**using** *supp-fun-eqt supp-fun-app-eqt* **by** *blast*  
**then have** *supp (map-bset S-transform  $xset$ )  $\subseteq$  supp  $xset$*   
**using** *supp-fun-app* **by** *blast*  
**with** *assms* **show** *finite (supp (map-bset S-transform  $xset$ ))*  
**by** (*metis finite-subset*)

**qed**

**lemma** *S-transform-Conj* [simp]:  
**assumes** *finite* (*supp*  $xset$ )  
**shows** *S-transform* (*Conj*  $xset$ ) = *Conj* (*map-bset* *S-transform*  $xset$ )  
**using** *assms* **unfolding** *S-transform-def* **by** (*simp, simp add: Conj-def bset.map-comp o-def*)

**lemma** *S-transform-Not* [simp]: *S-transform* (*Not*  $x$ ) = *Not* (*S-transform*  $x$ )  
**unfolding** *S-transform-def* **by** (*simp add: Not.abs-eq eq-onp-same-args*)

**lemma** *S-transform-Pred* [simp]: *S-transform* (*Formula.Pred*  $\varphi$ ) = *Formula.Act* (*S-action.Pred*  $\varphi$ ) (*Conj* *bempty*)  
**unfolding** *S-transform-def* **by** (*simp add: Formula.Act-def Conj-rep-eq eqvtI supp-fun-eqt*)

**lemma** *S-transform-Act* [simp]: *S-transform* (*Formula.Act*  $\alpha$   $x$ ) = *Formula.Act* (*S-action.Act*  $\alpha$ ) (*S-transform*  $x$ )  
**unfolding** *S-transform-def* **by** (*simp, simp add: Formula.Act-def*)

**context** *nominal-ts*

**begin**

**lemma** *valid-Conj-bempty* [*simp*]:  $P \models \text{Conj } \text{bempty}$   
**by** (*simp add: bempty.rep-eq eqvtI supp-fun-eqvt*)

**notation** *S-satisfies* (**infix**  $\vdash_S$  70)

**interpretation** *S-transform*: *nominal-ts*  $(\vdash_S) (\rightarrow_S)$   
**by** *unfold-locales (fact S-satisfies-eqvt, fact S-transition-eqvt)*

**notation** *S-transform.valid* (**infix**  $\models_S$  70)

The *S*-transform preserves satisfaction of formulas in the following sense:

**theorem** *valid-iff-valid-S-transform*: **shows**  $P \models x \longleftrightarrow P \models_S S\text{-transform } x$   
**proof** (*induct x arbitrary: P*)  
  **case** (*Conj xset*)  
  **then show** *?case*  
    **by** *auto (metis imageE map-bset.rep-eq, simp add: map-bset.rep-eq)*  
  **next**  
  **case** (*Not x*)  
  **then show** *?case by simp*  
  **next**  
  **case** (*Pred  $\varphi$* )  
  **let** *? $\varphi = \text{Formula.Pred } \varphi :: ('idx, 'pred, ('act, 'pred) S\text{-action}) \text{ formula}$*   
  **have** *bn (S-action.Pred  $\varphi :: ('act, 'pred) S\text{-action}) \#* P$*   
  **by** (*simp add: fresh-star-def*)  
  **then show** *?case*  
  **by** (*auto simp add: S-transform.valid-Act-fresh S-transition-Pred-iff*)  
  **next**  
  **case** (*Act  $\alpha x$* )  
  **show** *?case*  
  **proof**  
    **assume**  $P \models \text{Formula.Act } \alpha x$   
    **then obtain**  $\alpha' x' P'$  **where** *eq: Formula.Act  $\alpha x = \text{Formula.Act } \alpha' x'$  and*  
*trans:  $P \rightarrow \langle \alpha', P' \rangle$  and valid:  $P' \models x'$*   
    **by** (*metis valid-Act*)  
    **from** *eq obtain*  $p$  **where** *p-x:  $p \cdot x = x'$  and p- $\alpha$ :  $p \cdot \alpha = \alpha'$*   
    **by** (*metis Act-eq-iff-perm*)  
  
    **from** *valid have*  $-p \cdot P' \models x$   
    **using** *p-x by (metis valid-eqvt permute-minus-cancel(2))*  
    **then have**  $-p \cdot P' \models_S S\text{-transform } x$   
    **using** *Act.hyps(1) by metis*  
    **then have**  $P' \models_S S\text{-transform } x'$   
    **by** (*metis (no-types, lifting) p-x S-transform.valid-eqvt S-transform-eqvt permute-minus-cancel(1)*)

```

with eq and trans show  $P \models_S S\text{-transform } (Formula.Act \alpha x)$ 
  using S-transform.valid-Act S-transition.Act by fastforce
next
assume  $*$ :  $P \models_S S\text{-transform } (Formula.Act \alpha x)$ 

  — rename bn  $\alpha$  to avoid  $P$ , without touching Formula.Act  $\alpha x$ 
obtain  $p$  where  $1: (p \cdot bn \alpha) \#* P$  and  $2: supp (Formula.Act \alpha x) \#* p$ 
proof (rule at-set-avoiding2[of bn  $\alpha$  P Formula.Act  $\alpha x$ , THEN  $exE$ ])
  show finite (bn  $\alpha$ ) by (fact bn-finite)
next
  show finite (supp  $P$ ) by (fact finite-supp)
next
  show finite (supp (Formula.Act  $\alpha x$ )) by (fact finite-supp)
next
  show  $bn \alpha \#* Formula.Act \alpha x$  by simp
qed metis
from  $2$  have eq:  $Formula.Act \alpha x = Formula.Act (p \cdot \alpha) (p \cdot x)$ 
  using supp-perm-eq by fastforce

with  $*$  have  $P \models_S Formula.Act (S\text{-action.Act } (p \cdot \alpha)) (S\text{-transform } (p \cdot x))$ 
  by simp
with  $1$  obtain  $P'$  where trans:  $P \rightarrow_S \langle S\text{-action.Act } (p \cdot \alpha), P' \rangle$  and valid:
 $P' \models_S S\text{-transform } (p \cdot x)$ 
  by (metis S-transform.valid-Act-fresh bn-S-action.simps(1) bn-eqvt)

from valid have  $-p \cdot P' \models_S S\text{-transform } x$ 
  by (metis (no-types, opaque-lifting) S-transform.valid-eqvt S-transform-eqvt
permute-minus-cancel(1))
then have  $-p \cdot P' \models x$ 
  using Act.hyps(1) by metis
then have  $P' \models p \cdot x$ 
  by (metis permute-minus-cancel(1) valid-eqvt)

moreover from trans have  $P \rightarrow \langle p \cdot \alpha, P' \rangle$ 
  using S-transition-Act-iff by blast

ultimately show  $P \models Formula.Act \alpha x$ 
  using eq valid-Act by blast
qed
qed
end

context indexed-nominal-ts
begin

```

The following (alternative) proof of the “ $\rightarrow$ ” direction of theorem *nominal-ts.bisimilar* ( $\vdash_S$ )  $(\rightarrow_S) ?P ?Q = ?P \sim ?Q$ , namely that bisimilarity in the  $S$ -transform implies bisimilarity in the original transition system,



uses the fact that the  $S$ -transform(ation) preserves satisfaction of formulas, together with the fact that bisimilarity (in the  $S$ -transform) implies logical equivalence, and equivalence (in the original transition system) implies bisimilarity. However, since we proved the latter in the context of indexed nominal transition systems, this proof requires an indexed nominal transition system.

**interpretation**  $S$ -transform: *indexed-nominal-ts* ( $\vdash_S$ ) ( $\rightarrow_S$ )

by *unfold-locales* (*fact S-satisfies-eqvt*, *fact S-transition-eqvt*, *fact card-idx-perm*, *fact card-idx-state*)

**notation**  $S$ -transform.bisimilar (**infix**  $\sim_S$  100)

**theorem**  $P \sim_S Q \longrightarrow P \sim \cdot Q$

**proof**

assume  $P \sim_S Q$

then have  $S$ -transform.logically-equivalent  $P Q$

by (*fact S-transform.bisimilarity-implies-equivalence*)

with *valid-iff-valid-S-transform* **have** logically-equivalent  $P Q$

using *logically-equivalent-def S-transform.logically-equivalent-def* **by** *blast*

then show  $P \sim \cdot Q$

by (*fact equivalence-implies-bisimilarity*)

qed

end

## 27.7 Translation of weak formulas into formulas without predicates

**context** *indexed-weak-nominal-ts*

**begin**

**notation**  $S$ -satisfies (**infix**  $\vdash_S$  70)

**interpretation**  $S$ -transform: *indexed-weak-nominal-ts S-action.Act*  $\tau$  ( $\vdash_S$ ) ( $\rightarrow_S$ )

by *unfold-locales* (*fact S-satisfies-eqvt*, *fact S-transition-eqvt*, *simp add: tau-eqvt*, *fact card-idx-perm*, *fact card-idx-state*, *fact card-idx-nat*)

**notation**  $S$ -transform.valid (**infix**  $\models_S$  70)

**notation**  $S$ -transform.weakly-bisimilar (**infix**  $\approx_S$  100)

The  $S$ -transform of a weak formula is not necessarily a weak formula. However, the image of all weak formulas under the  $S$ -transform is adequate for weak bisimilarity.

**corollary**  $P \approx_S Q \iff (\forall x. \text{weak-formula } x \longrightarrow P \models_S S\text{-transform } x \iff Q \models_S S\text{-transform } x)$

by (*meson valid-iff-valid-S-transform weak-bisimilarity-implies-weak-equivalence weak-equivalence-implies-weak-bisimilarity S-transform-weakly-bisimilar-iff weakly-logically-equivalent-def*)

For every weak formula, there is an equivalent weak formula over the  $S$ -transform.

```

corollary
  assumes weak-formula  $x$ 
  obtains  $y$  where  $S\text{-transform.weak-formula } y$  and  $\forall P. P \models x \longleftrightarrow P \models_S y$ 
proof –
  let  $?S = \{P. P \models x\}$ 

  –  $\{P. P \models x\}$  is finitely supported
  have  $\text{supp } x \text{ supports } ?S$ 
  unfolding supports-def proof (clarify)
  fix  $a\ b$ 
  assume  $a: a \notin \text{supp } x$  and  $b: b \notin \text{supp } x$ 
  {
    fix  $P$ 
    from  $a$  and  $b$  have  $(a \rightleftharpoons b) \cdot x = x$ 
      by (simp add: fresh-def swap-fresh-fresh)
    then have  $(a \rightleftharpoons b) \cdot P \models x \longleftrightarrow P \models x$ 
      by (metis permute-swap-cancel valid-evt)
  }
  note  $* = \text{this}$ 
  show  $(a \rightleftharpoons b) \cdot ?S = ?S$ 
  by auto (metis mem-Collect-eq mem-permute-iff permute-swap-cancel *, simp
add: Collect-evt permute-fun-def *)
  qed
  then have finite (supp ?S)
  using finite-supp supports-finite by blast

  –  $\{P. P \models x\}$  is closed under weak bisimilarity
  moreover {
    fix  $P\ Q$ 
    assume  $P \in ?S$  and  $P \approx_S Q$ 
    with  $\langle \text{weak-formula } x \rangle$  have  $Q \in ?S$ 
    using S-transform-weakly-bisimilar-iff weak-bisimilarity-implies-weak-equivalence
weakly-logically-equivalent-def by auto
  }

  ultimately show ?thesis
  using S-transform.weak-expressive-completeness that by (metis (no-types,
lifting) mem-Collect-eq)
  qed

end

end

```

## References

- [1] J. Parrow, J. Borgström, L. Eriksson, R. Gutkovas, and T. Weber. Modal logics for nominal transition systems. In L. Aceto and D. de Frutos-Escrig, editors, *26th International Conference on Concurrency Theory, CONCUR 2015, Madrid, Spain, September 1-4, 2015*, volume 42 of *LIPICs*, pages 198–211. Schloss Dagstuhl - Leibniz-Zentrum fuer Informatik, 2015.