

# MiniML

Wolfgang Naraschewski and Tobias Nipkow

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## Abstract

This theory defines the type inference rules and the type inference algorithm  $W$  for MiniML (simply-typed lambda terms with `let`) due to Milner. It proves the soundness and completeness of  $W$  w.r.t. the rules.

A report describing the theory is found in [1] and [2].

## 1 Universal error monad

```
theory Maybe
imports Main
begin
```

### definition

```
option_bind :: "[ 'a option, 'a => 'b option ] => 'b option" where
"option_bind m f = (case m of None => None | Some r => f r)"
```

```
syntax "_option_bind" :: "[pttrns, 'a option, 'b] => 'c" ("(_ := _;//_)" 0)
translations "P := E; F" == "CONST option_bind E ( $\lambda$ P. F)"
```

— constructor laws for `option_bind`

```
lemma option_bind_Some: "option_bind (Some s) f = (f s)"
  <proof>
```

```
lemma option_bind_None: "option_bind None f = None"
  <proof>
```

```
declare option_bind_Some [simp] option_bind_None [simp]
```

— expansion of `option_bind`

```
lemma split_option_bind: "P(option_bind res f) =
  ((res = None  $\longrightarrow$  P None)  $\wedge$  ( $\forall$ s. res = Some s  $\longrightarrow$  P(f s)))"
  <proof>
```

```
lemma split_option_bind_asm: "P(option_bind res f) =
  ( $\sim$  ((res = None  $\wedge$   $\neg$  P None)  $\vee$  ( $\exists$ s. res = Some s  $\wedge$   $\neg$  P(f s))))"
  <proof>
```

```

lemma option_bind_eq_None [simp]:
  "((option_bind m f) = None) = ((m=None) | (∃p. m = Some p ∧ f p = None))"
  ⟨proof⟩

lemma rotate_Some: "(y = Some x) = (Some x = y)"
  ⟨proof⟩

end

```

## 2 MiniML-types and type substitutions

```

theory Type
imports Maybe
begin

— type expressions
datatype "typ" = TVar nat | Fun "typ" "typ" (infixr "->" 70)

— type schemata
datatype type_scheme = FVar nat | BVar nat | SFun type_scheme type_scheme (infixr "=>"
70)

— embedding types into type schemata
fun mk_scheme :: "typ => type_scheme" where
  "mk_scheme (TVar n) = (FVar n)"
| "mk_scheme (t1 -> t2) = ((mk_scheme t1) ==> (mk_scheme t2))"

— type variable substitution
type_synonym subst = "nat => typ"

class type_struct =
  fixes free_tv :: "'a => nat set"
  — free_tv s: the type variables occurring freely in the type structure s
  fixes free_tv_ML :: "'a => nat list"
  — executable version of free_tv: Implementation with lists
  fixes bound_tv :: "'a => nat set"
  — bound_tv s: the type variables occurring bound in the type structure s
  fixes min_new_bound_tv :: "'a => nat"
  — minimal new free / bound variable
  fixes app_subst :: "subst => 'a => 'a" ("$$")
  — extension of substitution to type structures

instantiation "typ" :: type_struct
begin

fun free_tv_typ where
  free_tv_TVar:    "free_tv (TVar m) = {m}"
| free_tv_Fun:    "free_tv (t1 -> t2) = (free_tv t1) Un (free_tv t2)"

```

```

fun app_subst_typ where
  app_subst_TVar: "$ S (TVar n) = S n"
| app_subst_Fun: "$ S (t1 -> t2) = ($ S t1) -> ($ S t2)"

instance <proof>

end

instantiation type_scheme :: type_struct
begin

fun free_tv_type_scheme where
  "free_tv (FVar m) = {m}"
| "free_tv (BVar m) = {}"
| "free_tv (S1 ==> S2) = (free_tv S1) Un (free_tv S2)"

fun free_tv_ML_type_scheme where
  "free_tv_ML (FVar m) = [m]"
| "free_tv_ML (BVar m) = []"
| "free_tv_ML (S1 ==> S2) = (free_tv_ML S1) @ (free_tv_ML S2)"

fun bound_tv_type_scheme where
  "bound_tv (FVar m) = {}"
| "bound_tv (BVar m) = {m}"
| "bound_tv (S1 ==> S2) = (bound_tv S1) Un (bound_tv S2)"

fun min_new_bound_tv_type_scheme where
  "min_new_bound_tv (FVar n) = 0"
| "min_new_bound_tv (BVar n) = Suc n"
| "min_new_bound_tv (sch1 ==> sch2) = max (min_new_bound_tv sch1) (min_new_bound_tv sch2)"

fun app_subst_type_scheme where
  "$ S (FVar n) = mk_scheme (S n)"
| "$ S (BVar n) = (BVar n)"
| "$ S (sch1 ==> sch2) = ($ S sch1) ==> ($ S sch2)"

instance <proof>

end

instantiation list :: (type_struct) type_struct
begin

fun free_tv_list where
  "free_tv [] = {}"
| "free_tv (x#l) = (free_tv x) Un (free_tv l)"

fun free_tv_ML_list where

```

```

    "free_tv_ML [] = []"
  | "free_tv_ML (x#l) = (free_tv_ML x) @ (free_tv_ML l)"

```

```

fun bound_tv_list where
  "bound_tv [] = {}"
  | "bound_tv (x#l) = (bound_tv x) Un (bound_tv l)"

```

```

definition app_subst_list where
  app_subst_list: "$ S = map ($ S)"

```

```

instance <proof>

```

```

end

```

`new_tv s n` computes whether `n` is a new type variable w.r.t. a type structure `s`, i.e. whether `n` is greater than any type variable occurring in the type structure

```

definition
  new_tv :: "[nat, 'a::type_struct] => bool" where
  "new_tv n ts = (∀ m. m:(free_tv ts) → m < n)"

```

— identity

```

definition
  id_subst :: subst where
  "id_subst = (λ n. TVar n)"

```

— domain of a substitution

```

definition
  dom :: "subst => nat set" where
  "dom S = {n. S n ≠ TVar n}"

```

— codomain of a substitution: the introduced variables

```

definition
  cod :: "subst => nat set" where
  "cod S = (UN m:dom S. (free_tv (S m)))"

```

```

class of_nat =
  fixes of_nat :: "nat ⇒ 'a"

```

```

instantiation nat :: of_nat
begin

```

```

definition
  "of_nat n = n"

```

```

instance <proof>

```

```

end

```

```

class typ_of =

```

```

    fixes typ_of :: "'a ⇒ typ"

instantiation "typ" :: typ_of
begin

definition
  "typ_of T = T"

instance ⟨proof⟩

end

instantiation "fun" :: (of_nat, typ_of) type_struct
begin

definition free_tv_fun where
  "free_tv f = (let S = λn. typ_of (f (of_nat n)) in (dom S) Un (cod S))"

instance ⟨proof⟩

end

lemma free_tv_subst:
  "free_tv S = (dom S) Un (cod S)"
  ⟨proof⟩
axiomatization mgu :: "typ ⇒ typ ⇒ subst option" where
  mgu_eq: "mgu t1 t2 = Some U ⇒ $U t1 = $U t2"
  and mgu_mg: "[| (mgu t1 t2) = Some U; $S t1 = $S t2 |] ==> ∃R. S = $R ∘ U"
  and mgu_Some: "$S t1 = $S t2 ⇒ ∃U. mgu t1 t2 = Some U"
  and mgu_free: "mgu t1 t2 = Some U ⇒ free_tv U ⊆ free_tv t1 ∪ free_tv t2"

lemma mk_scheme_Fun:
  "mk_scheme t = sch1 ==> sch2 ⇒ (∃t1 t2. sch1 = mk_scheme t1 ∧ sch2 = mk_scheme t2)"
  ⟨proof⟩

lemma mk_scheme_injective: "(mk_scheme t = mk_scheme t') ⇒ t = t'"
  ⟨proof⟩

lemma free_tv_mk_scheme[simp]: "free_tv (mk_scheme t) = free_tv t"
  ⟨proof⟩

lemma subst_mk_scheme[simp]: "$ S (mk_scheme t) = mk_scheme ($ S t)"
  ⟨proof⟩

lemma app_subst_Nil[simp]:
  "$ S [] = []"
  ⟨proof⟩

```

```

lemma app_subst_Cons[simp]:
  "$ S (x#l) = ($ S x)#($ S l)"
  <proof>

lemma new_tv_TVar[simp]:
  "new_tv n (TVar m) = (m<n)"
  <proof>

lemma new_tv_FVar[simp]:
  "new_tv n (FVar m) = (m<n)"
  <proof>

lemma new_tv_BVar[simp]:
  "new_tv n (BVar m) = True"
  <proof>

lemma new_tv_Fun[simp]:
  "new_tv n (t1 -> t2) = (new_tv n t1 ∧ new_tv n t2)"
  <proof>

lemma new_tv_Fun2[simp]:
  "new_tv n (t1 ==> t2) = (new_tv n t1 ∧ new_tv n t2)"
  <proof>

lemma new_tv_Nil[simp]:
  "new_tv n []"
  <proof>

lemma new_tv_Cons[simp]:
  "new_tv n (x#l) = (new_tv n x ∧ new_tv n l)"
  <proof>

lemma new_tv_TVar_subst[simp]: "new_tv n TVar"
  <proof>

lemma new_tv_id_subst [simp]: "new_tv n id_subst"
  <proof>

lemma new_if_subst_type_scheme: "new_tv n (sch::type_scheme) ==>
  $(λk. if k<n then S k else S' k) sch = $S sch"
  <proof>

lemma new_if_subst_type_scheme_list: "new_tv n (A::type_scheme list) ==>
  $(λk. if k<n then S k else S' k) A = $S A"
  <proof>

lemma dom_id_subst [simp]: "dom id_subst = {}"
  <proof>

```

**lemma** *cod\_id\_subst [simp]*: "cod id\_subst = {}"  
 <proof>

**lemma** *free\_tv\_id\_subst [simp]*: "free\_tv id\_subst = {}"  
 <proof>

**lemma** *free\_tv\_nth\_A\_impl\_free\_tv\_A*:  
 "[[ n < length A; x : free\_tv (A!n) ]]  $\implies$  x : free\_tv A"  
 <proof>

if two substitutions yield the same result if applied to a type structure the substitutions coincide on the free type variables occurring in the type structure

**lemma** *typ\_substitutions\_only\_on\_free\_variables*:  
 " $(\forall x \in \text{free\_tv } t. (S \ x) = (S' \ x)) \implies \$ S (t::\text{typ}) = \$ S' t$ "  
 <proof>

**lemma** *eq\_free\_eq\_subst\_te*: " $(\forall n. n \in (\text{free\_tv } t) \longrightarrow S1 \ n = S2 \ n) \implies \$ S1 (t::\text{typ}) = \$ S2 t$ "  
 <proof>

**lemma** *scheme\_substitutions\_only\_on\_free\_variables*:  
 " $(\forall x \in \text{free\_tv } \text{sch}. (S \ x) = (S' \ x)) \implies \$ S (\text{sch}::\text{type\_scheme}) = \$ S' \text{sch}$ "  
 <proof>

**lemma** *eq\_free\_eq\_subst\_type\_scheme*:  
 " $(\forall n. n \in (\text{free\_tv } \text{sch}) \longrightarrow S1 \ n = S2 \ n) \implies \$ S1 (\text{sch}::\text{type\_scheme}) = \$ S2 \text{sch}$ "  
 <proof>

**lemma** *eq\_free\_eq\_subst\_scheme\_list*:  
 " $(\forall n. n \in (\text{free\_tv } A) \longrightarrow S1 \ n = S2 \ n) \implies \$S1 (A::\text{type\_scheme list}) = \$S2 A$ "  
 <proof>

**lemma** *weaken\_asm\_Un*: " $((\forall x \in A. (P \ x)) \longrightarrow Q) \implies ((\forall x \in A \cup B. (P \ x)) \longrightarrow Q)$ "  
 <proof>

**lemma** *scheme\_list\_substitutions\_only\_on\_free\_variables*:  
 " $(\forall x \in \text{free\_tv } A. (S \ x) = (S' \ x)) \implies \$ S (A::\text{type\_scheme list}) = \$ S' A$ "  
 <proof>

**lemma** *eq\_subst\_te\_eq\_free*:  
 " $\$ S1 (t::\text{typ}) = \$ S2 t \implies n:(\text{free\_tv } t) \implies S1 \ n = S2 \ n$ "  
 <proof>

**lemma** *eq\_subst\_type\_scheme\_eq\_free*:  
 "[[  $\$ S1 (\text{sch}::\text{type\_scheme}) = \$ S2 \text{sch}; n:(\text{free\_tv } \text{sch})$  ]]  $\implies S1 \ n = S2 \ n$ "  
 <proof>

**lemma** *eq\_subst\_scheme\_list\_eq\_free*:

"[  $\$S1 (A::type\_scheme\ list) = \$S2\ A; n:(free\_tv\ A) ] \implies S1\ n = S2\ n$ "  
<proof>

lemma codD: "v : cod S  $\implies$  v : free\_tv S"  
<proof>

lemma not\_free\_impl\_id: "x  $\notin$  free\_tv S  $\implies$  S x = TVar x"  
<proof>

lemma free\_tv\_le\_new\_tv: "[| new\_tv n t; m:free\_tv t |]  $\implies$  m < n"  
<proof>

lemma cod\_app\_subst:  
" [| v : free\_tv (S n); v  $\neq$  n |]  $\implies$  v : cod S"  
<proof>

lemma free\_tv\_subst\_var: "free\_tv (S (v::nat))  $\subseteq$  insert v (cod S)"  
<proof>

lemma free\_tv\_app\_subst\_te: "free\_tv ( $\$ S (t::typ)$ )  $\subseteq$  cod S  $\cup$  free\_tv t"  
<proof>

lemma free\_tv\_app\_subst\_type\_scheme:  
"free\_tv ( $\$ S (sch::type\_scheme)$ )  $\subseteq$  cod S  $\cup$  free\_tv sch"  
<proof>

lemma free\_tv\_app\_subst\_scheme\_list: "free\_tv ( $\$ S (A::type\_scheme\ list)$ )  $\subseteq$  cod S  $\cup$  free\_tv A"  
<proof>

lemma free\_tv\_comp\_subst:  
"free\_tv ( $\lambda u::nat. \$ s1 (s2\ u) :: typ$ )  $\subseteq$   
free\_tv s1 Un free\_tv s2"  
<proof>

lemma free\_tv\_o\_subst:  
"free\_tv ( $\$ S1 \circ S2$ )  $\subseteq$  free\_tv S1  $\cup$  free\_tv (S2 :: nat  $\implies$  typ)"  
<proof>

lemma free\_tv\_of\_substitutions\_extend\_to\_types:  
"n : free\_tv t  $\implies$  free\_tv (S n)  $\subseteq$  free\_tv ( $\$ S t::typ$ )"  
<proof>

lemma free\_tv\_of\_substitutions\_extend\_to\_schemes:  
"n : free\_tv sch  $\implies$  free\_tv (S n)  $\subseteq$  free\_tv ( $\$ S sch::type\_scheme$ )"  
<proof>

lemma free\_tv\_of\_substitutions\_extend\_to\_scheme\_lists [simp]:



```

  "n : free_tv A  $\implies$  free_tv (S n)  $\subseteq$  free_tv ($ S A::type_scheme list)"
  <proof>

lemma free_tv_ML_scheme:
  fixes sch :: type_scheme
  shows "(n : free_tv sch) = (n: set (free_tv_ML sch))"
  <proof>

lemma free_tv_ML_scheme_list:
  fixes A :: "type_scheme list"
  shows "(n : free_tv A) = (n: set (free_tv_ML A))"
  <proof>

lemma bound_tv_mk_scheme [simp]: "bound_tv (mk_scheme t) = {}"
  <proof>

lemma bound_tv_subst_scheme [simp]:
  fixes sch :: type_scheme
  shows "bound_tv ($ S sch) = bound_tv sch"
  <proof>

lemma bound_tv_subst_scheme_list [simp]:
  fixes A :: "type_scheme list"
  shows "bound_tv ($ S A) = bound_tv A"
  <proof>

lemma new_tv_subst:
  "new_tv n S = (( $\forall m. n \leq m \implies (S m = TVar m)$ )  $\wedge$ 
    ( $\forall l. 1 < n \implies new_tv n (S l)$  ))"
  <proof>

lemma new_tv_list: "new_tv n x = ( $\forall y \in \text{set } x. new_tv n y$ )"
  <proof>
lemma subst_te_new_tv:
  "new_tv n (t::typ)  $\implies$  $( $\lambda x. \text{if } x=n \text{ then } t' \text{ else } S x$ ) t = $S t"
  <proof>

lemma subst_te_new_type_scheme:
  "new_tv n (sch::type_scheme)  $\implies$  $( $\lambda x. \text{if } x=n \text{ then } sch' \text{ else } S x$ ) sch = $S sch"
  <proof>

lemma subst_tel_new_scheme_list [simp]:
  "new_tv n (A::type_scheme list)  $\implies$  $( $\lambda x. \text{if } x=n \text{ then } t \text{ else } S x$ ) A = $S A"
  <proof>
lemma new_tv_le:
  " $n \leq m \implies new_tv n t \implies new_tv m t$ "
  <proof>

lemma new_tv_Suc[simp]: "new_tv n t  $\implies new_tv (Suc n) t$ "

```

```

⟨proof⟩
lemma new_tv_subst_var:
  "[| n < m; new_tv m (S::subst) |] ==> new_tv m (S n)"
⟨proof⟩

lemma new_tv_subst_te [simp]:
  "new_tv n S ==> new_tv n (t::typ) ==> new_tv n ($ S t)"
⟨proof⟩

lemma new_tv_subst_scheme_list:
  "new_tv n S ==> new_tv n (A::type_scheme list) ==> new_tv n ($ S A)"
⟨proof⟩

lemma new_tv_only_depends_on_free_tv_type_scheme:
  fixes sch :: type_scheme
  shows "free_tv sch = free_tv sch' ==> new_tv n sch ==> new_tv n sch'"
⟨proof⟩

lemma new_tv_only_depends_on_free_tv_scheme_list:
  fixes A :: "type_scheme list"
  shows "free_tv A = free_tv A' ==> new_tv n A ==> new_tv n A'"
⟨proof⟩

lemma new_tv_nth_nat_A:
  "m < length A ==> new_tv n A ==> new_tv n (A!m)"
⟨proof⟩
lemma new_tv_subst_comp_1:
  "[| new_tv n (S::subst); new_tv n R |] ==> new_tv n (($ R) o S)"
⟨proof⟩

lemma new_tv_subst_comp_2 :
  "[| new_tv n (S::subst); new_tv n R |] ==> new_tv n (λv.$ R (S v))"
⟨proof⟩
lemma new_tv_not_free_tv:
  "new_tv n A ==> n ∉ free_tv A"
⟨proof⟩

lemma fresh_variable_types: "∃n. new_tv n (t::typ)"
⟨proof⟩

lemma fresh_variable_type_schemes:
  "∃n. new_tv n (sch::type_scheme)"
⟨proof⟩

lemma fresh_variable_type_scheme_lists:
  "∃n. new_tv n (A::type_scheme list)"
⟨proof⟩

```

```

lemma make_one_new_out_of_two:
  "[|  $\exists n1. (\text{new\_tv } n1 \ x)$ ;  $\exists n2. (\text{new\_tv } n2 \ y)$  |] ==>  $\exists n. (\text{new\_tv } n \ x) \wedge (\text{new\_tv } n \ y)$ "
  <proof>

lemma ex_fresh_variable:
  " $\wedge (A::\text{type\_scheme list}) (A'::\text{type\_scheme list}) (t::\text{typ}) (t'::\text{typ}).$ 
    $\exists n. (\text{new\_tv } n \ A) \wedge (\text{new\_tv } n \ A') \wedge (\text{new\_tv } n \ t) \wedge (\text{new\_tv } n \ t')$ "
  <proof>

lemma mgu_new:
  "[|mgu t1 t2 = Some u; new_tv n t1; new_tv n t2|] ==> new_tv n u"
  <proof>

lemma length_app_subst_list [simp]:
  " $\wedge A::('a::\text{type\_struct}) \text{list}. \text{length } (\$ S \ A) = \text{length } A$ "
  <proof>

lemma subst_TVar_scheme [simp]:
  fixes sch :: type_scheme
  shows "$ TVar sch = sch"
  <proof>

lemma subst_TVar_scheme_list [simp]:
  fixes A :: "type_scheme list"
  shows "$ TVar A = A"
  <proof>

lemma app_subst_id_te [simp]: "$ id_subst = ( $\lambda t::\text{typ}. t$ )"
  <proof>

lemma app_subst_id_type_scheme [simp]:
  "$ id_subst = ( $\lambda \text{sch}::\text{type\_scheme}. \text{sch}$ )"
  <proof>

lemma app_subst_id_tel [simp]:
  "$ id_subst = ( $\lambda A::\text{type\_scheme list}. A$ )"
  <proof>

lemma o_id_subst [simp]: "$S o id_subst = S"
  <proof>

lemma subst_comp_te: "$ R ($ S t::typ) = $ ( $\lambda x. \$ R (S \ x)$ ) t"
  <proof>

lemma subst_comp_type_scheme:
  "$ R ($ S sch::type_scheme) = $ ( $\lambda x. \$ R (S \ x)$ ) sch"
  <proof>

lemma subst_comp_scheme_list:
  "$ R ($ S A::type_scheme list) = $ ( $\lambda x. \$ R (S \ x)$ ) A"

```

*<proof>*

**lemma** *nth\_subst*:

" $n < \text{length } A \implies (\$ S A)!n = \$S (A!n)$ "

*<proof>*

**end**

### 3 Instances of type schemes

**theory** *Instance*

**imports** *Type*

**begin**

**primrec** *bound\_typ\_inst* :: "[subst, type\_scheme] => typ" **where**

"*bound\_typ\_inst* *S* (FVar *n*) = (TVar *n*)"

| "*bound\_typ\_inst* *S* (BVar *n*) = (*S n*)"

| "*bound\_typ\_inst* *S* (*sch1* ==> *sch2*) = ((*bound\_typ\_inst* *S sch1*) -> (*bound\_typ\_inst* *S sch2*))"

**primrec** *bound\_scheme\_inst* :: "[nat => type\_scheme, type\_scheme] => type\_scheme" **where**

"*bound\_scheme\_inst* *S* (FVar *n*) = (FVar *n*)"

| "*bound\_scheme\_inst* *S* (BVar *n*) = (*S n*)"

| "*bound\_scheme\_inst* *S* (*sch1* ==> *sch2*) = ((*bound\_scheme\_inst* *S sch1*) ==> (*bound\_scheme\_inst* *S sch2*))"

**definition** *is\_bound\_typ\_instance* :: "[typ, type\_scheme] => bool" (infixr "<|" 70) **where**

*is\_bound\_typ\_instance*: "*t* <| *sch* = ( $\exists S. t = (\text{bound\_typ\_inst } S \text{ sch})$ )"

**instantiation** *type\_scheme* :: ord

**begin**

**definition**

*le\_type\_scheme\_def*: "*sch'*  $\leq$  (*sch* :: *type\_scheme*)  $\longleftrightarrow$  ( $\forall t. t <| \text{sch}' \longrightarrow t <| \text{sch}$ )"

**definition**

"(*sch'* < (*sch* :: *type\_scheme*))  $\longleftrightarrow$  *sch'*  $\leq$  *sch*  $\wedge$  *sch'*  $\neq$  *sch*"

**instance** *<proof>*

**end**

**primrec** *subst\_to\_scheme* :: "[nat => type\_scheme, typ] => type\_scheme" **where**

"*subst\_to\_scheme* *B* (TVar *n*) = (*B n*)"

| "*subst\_to\_scheme* *B* (*t1* -> *t2*) = ((*subst\_to\_scheme* *B t1*) ==> (*subst\_to\_scheme* *B t2*))"

**instantiation** *list* :: (ord) ord

**begin**

**definition**

le\_env\_def: " $A \leq B \iff \text{length } B = \text{length } A \wedge (\forall i. i < \text{length } A \implies A!i \leq B!i)$ "

**definition**

" $(A < (B :: 'a \text{ list})) \iff A \leq B \wedge A \neq B$ "

**instance**  $\langle \text{proof} \rangle$

**end**

lemmas for instantiation

**lemma** bound\_typ\_inst\_mk\_scheme [simp]: "bound\_typ\_inst S (mk\_scheme t) = t"  
 $\langle \text{proof} \rangle$

**lemma** bound\_typ\_inst\_composed\_subst [simp]:  
 "bound\_typ\_inst ( $\$S \circ R$ ) ( $\$S \text{ sch}$ ) =  $\$S$  (bound\_typ\_inst R sch)"  
 $\langle \text{proof} \rangle$

**lemma** bound\_typ\_inst\_eq:  
 " $S = S' \implies \text{sch} = \text{sch}' \implies \text{bound\_typ\_inst } S \text{ sch} = \text{bound\_typ\_inst } S' \text{ sch}'$ "  
 $\langle \text{proof} \rangle$

**lemma** bound\_scheme\_inst\_mk\_scheme [simp]:  
 "bound\_scheme\_inst B (mk\_scheme t) = mk\_scheme t"  
 $\langle \text{proof} \rangle$

**lemma** substitution\_lemma: " $\$S$  (bound\_scheme\_inst B sch) = (bound\_scheme\_inst ( $\$S \circ B$ ) ( $\$ S \text{ sch}$ ))"  
 $\langle \text{proof} \rangle$

**lemma** bound\_scheme\_inst\_type [rule\_format]: " $\forall t. \text{mk\_scheme } t = \text{bound\_scheme\_inst } B \text{ sch}$   
 $\implies$   
 $(\exists S. \forall x \in \text{bound\_tv sch}. B x = \text{mk\_scheme } (S x))$ "  
 $\langle \text{proof} \rangle$

**lemma** subst\_to\_scheme\_inverse:  
 "new\_tv n sch  $\implies$   
 subst\_to\_scheme ( $\lambda k. \text{if } n \leq k \text{ then } B\text{Var } (k - n) \text{ else } F\text{Var } k$ )  
 (bound\_typ\_inst ( $\lambda k. T\text{Var } (k + n)$ ) sch) = sch"  
 $\langle \text{proof} \rangle$

**lemma** aux: " $t = t' \implies$   
 subst\_to\_scheme ( $\lambda k. \text{if } n \leq k \text{ then } B\text{Var } (k - n) \text{ else } F\text{Var } k$ ) t =  
 subst\_to\_scheme ( $\lambda k. \text{if } n \leq k \text{ then } B\text{Var } (k - n) \text{ else } F\text{Var } k$ ) t'"  
 $\langle \text{proof} \rangle$

**lemma** aux2: "new\_tv n sch  $\implies$   
 subst\_to\_scheme ( $\lambda k. \text{if } n \leq k \text{ then } B\text{Var } (k - n) \text{ else } F\text{Var } k$ ) (bound\_typ\_inst S sch)  
 =  
 bound\_scheme\_inst ((subst\_to\_scheme ( $\lambda k. \text{if } n \leq k \text{ then } B\text{Var } (k - n) \text{ else } F\text{Var } k$ )))

o S) sch"  
⟨proof⟩

lemma le\_type\_scheme\_def2:  
 fixes sch sch' :: type\_scheme  
 shows "(sch' ≤ sch) = (∃ B. sch' = bound\_scheme\_inst B sch)"  
⟨proof⟩

lemma le\_type\_eq\_is\_bound\_typ\_instance [rule\_format]: "(mk\_scheme t) ≤ sch = t <| sch"  
⟨proof⟩

lemma le\_env\_Cons [iff]:  
 "(sch # A ≤ sch' # B) = (sch ≤ (sch'::type\_scheme) ∧ A ≤ B)"  
⟨proof⟩

lemma is\_bound\_typ\_instance\_closed\_subst: "t <| sch ⇒ \$\$ t <| \$\$ sch"  
⟨proof⟩

lemma S\_compatible\_le\_scheme:  
 fixes sch sch' :: type\_scheme  
 shows "sch' ≤ sch ⇒ \$\$ sch' ≤ \$ S sch"  
⟨proof⟩

lemma S\_compatible\_le\_scheme\_lists:  
 fixes A A' :: "type\_scheme list"  
 shows "A' ≤ A ⇒ \$\$ A' ≤ \$ S A"  
⟨proof⟩

lemma bound\_typ\_instance\_trans: "[| t <| sch; sch ≤ sch' |] ==> t <| sch'"  
⟨proof⟩

lemma le\_type\_scheme\_refl [iff]: "sch ≤ (sch::type\_scheme)"  
⟨proof⟩

lemma le\_env\_refl [iff]: "A ≤ (A::type\_scheme list)"  
⟨proof⟩

lemma bound\_typ\_instance\_BVar [iff]: "sch ≤ BVar n"  
⟨proof⟩

lemma le\_FVar [simp]: "(sch ≤ FVar n) = (sch = FVar n)"  
⟨proof⟩

lemma not\_FVar\_le\_Fun [iff]: "~(FVar n ≤ sch1 ==> sch2)"  
⟨proof⟩

lemma not\_BVar\_le\_Fun [iff]: "~(BVar n ≤ sch1 ==> sch2)"  
⟨proof⟩

```

lemma Fun_le_FunD:
  "(sch1 ==> sch2 ≤ sch1' ==> sch2') ==> sch1 ≤ sch1' ∧ sch2 ≤ sch2'"
  ⟨proof⟩

lemma scheme_le_Fun: "(sch' ≤ sch1 ==> sch2) ==> ∃sch'1 sch'2. sch' = sch'1 ==> sch'2"
  ⟨proof⟩

lemma le_type_scheme_free_tv [rule_format]:
  "∀sch'::type_scheme. sch ≤ sch' → free_tv sch' ≤ free_tv sch"
  ⟨proof⟩

lemma le_env_free_tv [rule_format]:
  "∀A::type_scheme list. A ≤ B → free_tv B ≤ free_tv A"
  ⟨proof⟩

end

```

## 4 Generalizing type schemes with respect to a context

```

theory Generalize
imports Instance
begin

— gen: binding (generalising) the variables which are not free in the context

type_synonym ctxt = "type_scheme list"

primrec gen :: "[ctxt, typ] => type_scheme" where
  "gen A (TVar n) = (if (n:(free_tv A)) then (FVar n) else (BVar n))"
| "gen A (t1 -> t2) = (gen A t1) ==> (gen A t2)"

— executable version of gen: implementation with free_tv_ML

primrec gen_ML_aux :: "[nat list, typ] => type_scheme" where
  "gen_ML_aux A (TVar n) = (if (n: set A) then (FVar n) else (BVar n))"
| "gen_ML_aux A (t1 -> t2) = (gen_ML_aux A t1) ==> (gen_ML_aux A t2)"

definition gen_ML :: "[ctxt, typ] => type_scheme" where
  gen_ML_def: "gen_ML A t = gen_ML_aux (free_tv_ML A) t"

declare equalityE [elim!]

lemma gen_eq_on_free_tv:
  "free_tv A = free_tv B ==> gen A t = gen B t"
  ⟨proof⟩

lemma gen_without_effect [simp]:
  "(free_tv t) ⊆ (free_tv sch) ==> gen sch t = (mk_scheme t)"
  ⟨proof⟩

```

```

lemma free_tv_gen [simp]:
  "free_tv (gen ($ S A) t) = free_tv t Int free_tv ($ S A)"
  <proof>

lemma free_tv_gen_cons [simp]:
  "free_tv (gen ($ S A) t # $ S A) = free_tv ($ S A)"
  <proof>

lemma bound_tv_gen [simp]:
  "bound_tv (gen A t) = free_tv t - free_tv A"
  <proof>

lemma new_tv_compatible_gen: "new_tv n t  $\implies$  new_tv n (gen A t)"
  <proof>

lemma gen_eq_gen_ML: "gen A t = gen_ML A t"
  <proof>

lemma gen_subst_commutates [rule_format]:
  "(free_tv S) Int ((free_tv t) - (free_tv A)) = {}
    $\longrightarrow$  gen ($ S A) ($ S t) = $ S (gen A t)"
  <proof>

lemma bound_typ_inst_gen [simp]:
  "free_tv(t::typ)  $\subseteq$  free_tv(A)  $\implies$  bound_typ_inst S (gen A t) = t"
  <proof>

lemma gen_bound_typ_instance:
  "gen ($ S A) ($ S t)  $\leq$  $ S (gen A t)"
  <proof>

lemma free_tv_subset_gen_le:
  "free_tv B  $\subseteq$  free_tv A  $\implies$  gen A t  $\leq$  gen B t"
  <proof>

lemma gen_t_le_gen_alpha_t [rule_format, simp]:
  "new_tv n A  $\longrightarrow$ 
   gen A t  $\leq$  gen A ($ ( $\lambda$ x. TVar (if x  $\in$  free_tv A then x else n + x)) t)"
  <proof>

end

```

## 5 MiniML with type inference rules

```

theory MiniML
imports Generalize
begin

```



```

— expressions
datatype
  expr = Var nat | Abs expr | App expr expr | LET expr expr

— type inference rules
inductive
  has_type :: "[ctxt, expr, typ] => bool"
            ("((_) ⊢/ ( _ ) :: ( _ ))" [60,0,60] 60)

where
  VarI: "[| n < length A; t <| A!n |] ==> A ⊢ Var n :: t"
| AbsI: "[| (mk_scheme t1)#A ⊢ e :: t2 |] ==> A ⊢ Abs e :: t1 -> t2"
| AppI: "[| A ⊢ e1 :: t2 -> t1; A ⊢ e2 :: t2 |]
        ==> A ⊢ App e1 e2 :: t1"
| LETI: "[| A ⊢ e1 :: t1; (gen A t1)#A ⊢ e2 :: t |] ==> A ⊢ LET e1 e2 :: t"

declare has_type.intros [simp]
declare Un_upper1 [simp] Un_upper2 [simp]
declare is_bound_typ_instance_closed_subst [simp]

lemma s'_t_equals_s_t[simp]:
  "∧t::typ. $(λn. if n : (free_tv A) Un (free_tv t) then (S n) else (TVar n)) t = $S
  t"
  <proof>

lemma s'_a_equals_s_a [simp]:
  "∧A::type_scheme list. $(λn. if n : (free_tv A) Un (free_tv t) then (S n) else (TVar
  n)) A = $$ A"
  <proof>

lemma replace_s_by_s':
  "$ (λn. if n : (free_tv A) Un (free_tv t) then S n else TVar n) A ⊢
  e :: $(λn. if n : (free_tv A) Un (free_tv t) then S n else TVar n) t
  ==> $$ A ⊢ e :: $$ t"
  <proof>

lemma alpha_A':
  "∧A::type_scheme list. $ (λx. TVar (if x : free_tv A then x else n + x)) A = $ id_subst
  A"
  <proof>

lemma alpha_A:
  "∧A::type_scheme list. $ (λx. TVar (if x : free_tv A then x else n + x)) A = A"
  <proof>

lemma S_o_alpha_typ:
  "$ (S o alpha) (t::typ) = $ S ($ (λx. TVar (alpha x)) t)"
  <proof>

lemma S_o_alpha_typ':

```

"\$ ( $\lambda u. (S \circ \text{alpha}) u$ ) ( $t::\text{typ}$ ) = \$ S (\$ ( $\lambda x. \text{TVar} (\text{alpha } x)$ ) t)"  
 <proof>

**lemma** *S\_o\_alpha\_type\_scheme*:  
 "\$ (S o alpha) (sch::type\_scheme) = \$ S (\$ ( $\lambda x. \text{TVar} (\text{alpha } x)$ ) sch)"  
 <proof>

**lemma** *S\_o\_alpha\_type\_scheme\_list*:  
 "\$ (S o alpha) (A::type\_scheme list) = \$ S (\$ ( $\lambda x. \text{TVar} (\text{alpha } x)$ ) A)"  
 <proof>

**lemma** *S'\_A\_eq\_S'\_alpha\_A*: " $\bigwedge A::\text{type\_scheme list.}$   
 \$ ( $\lambda n. \text{if } n : \text{free\_tv } A \text{ Un free\_tv } t \text{ then } S \ n \text{ else } \text{TVar } n$ ) A =  
 \$ (( $\lambda x. \text{if } x : \text{free\_tv } A \text{ Un free\_tv } t \text{ then } S \ x \text{ else } \text{TVar } x$ ) o  
 ( $\lambda x. \text{if } x : \text{free\_tv } A \text{ then } x \text{ else } n + x$ )) A"  
 <proof>

**lemma** *dom\_S'*:  
 "dom ( $\lambda n. \text{if } n : \text{free\_tv } A \text{ Un free\_tv } t \text{ then } S \ n \text{ else } \text{TVar } n$ )  $\subseteq$   
 free\_tv A Un free\_tv t"  
 <proof>

**lemma** *cod\_S'*:  
 " $\bigwedge (A::\text{type\_scheme list}) (t::\text{typ}).$   
 cod ( $\lambda n. \text{if } n : \text{free\_tv } A \text{ Un free\_tv } t \text{ then } S \ n \text{ else } \text{TVar } n$ )  $\subseteq$   
 free\_tv (\$ S A) Un free\_tv (\$ S t)"  
 <proof>

**lemma** *free\_tv\_S'*:  
 " $\bigwedge (A::\text{type\_scheme list}) (t::\text{typ}).$   
 free\_tv ( $\lambda n. \text{if } n : \text{free\_tv } A \text{ Un free\_tv } t \text{ then } S \ n \text{ else } \text{TVar } n$ )  $\subseteq$   
 free\_tv A Un free\_tv (\$ S A) Un free\_tv t Un free\_tv (\$ S t)"  
 <proof>

**lemma** *free\_tv\_alpha*: " $\bigwedge t1::\text{typ.}$   
 (free\_tv (\$ ( $\lambda x. \text{TVar} (\text{if } x : \text{free\_tv } A \text{ then } x \text{ else } n + x)$ ) t1) - free\_tv A)  $\subseteq$   
 {x.  $\exists y. x = n + y$ }"  
 <proof>

**lemma** *new\_tv\_Int\_free\_tv\_empty\_type*: " $\bigwedge t::\text{typ. new\_tv } n \ t \implies \{x. \exists y. x = n + y\} \cap$   
 free\_tv t = {}"  
 <proof>

**lemma** *new\_tv\_Int\_free\_tv\_empty\_scheme*:  
 " $\bigwedge \text{sch}::\text{type\_scheme. new\_tv } n \ \text{sch} \implies \{x. \exists y. x = n + y\} \cap \text{free\_tv } \text{sch} = \{\}$ "  
 <proof>

**lemma** *new\_tv\_Int\_free\_tv\_empty\_scheme\_list*:  
 " $\forall A::\text{type\_scheme list. new\_tv } n \ A \implies \{x. \exists y. x = n + y\} \cap \text{free\_tv } A = \{\}$ "

*<proof>*

**lemma** *gen\_t\_le\_gen\_alpha\_t* [*rule\_format* (*no\_asm*)]:

"*new\_tv n A*  $\longrightarrow$  *gen A t*  $\leq$  *gen A* ( $\lambda x.$  *TVar* (*if x : free\_tv A then x else n + x*))  
*t*)"

*<proof>*

**declare** *has\_type.intros* [*intro!*]

**lemma** *has\_type\_le\_env* [*rule\_format* (*no\_asm*)]: "*A*  $\vdash$  *e :: t*  $\implies$   $\forall B.$  *A*  $\leq$  *B*  $\longrightarrow$  *B*  $\vdash$  *e :: t*"

*<proof>*

**lemma** *has\_type\_cl\_sub*: "*A*  $\vdash$  *e :: t*  $\implies$   $\forall S.$   $\$S$  *A*  $\vdash$  *e ::*  $\$S$  *t*"

*<proof>*

**end**

## 6 Correctness and completeness of type inference algorithm W

**theory** *W*

**imports** *MiniML*

**begin**

**type\_synonym** *result\_W* = "(*subst \* typ \* nat*) option"

— type inference algorithm W

**fun** *W* :: "[*expr, ctxt, nat*] => *result\_W*" **where**

"*W* (*Var i*) *A n* =  
  (*if i < length A then Some*( *id\_subst*,  
                                  *bound\_typ\_inst* ( $\lambda b.$  *TVar*(*b+n*)) (*A!**i*),  
                                  *n + (min\_new\_bound\_tv* (*A!**i*)) )  
  else *None*)"

| "*W* (*Abs e*) *A n* = ( (*S,t,m*) := *W e* ((*FVar n*)#*A*) (*Suc n*);  
                          *Some*( *S, (S n) -> t, m* ) )"

| "*W* (*App e1 e2*) *A n* = ( (*S1,t1,m1*) := *W e1 A n*;  
                                  (*S2,t2,m2*) := *W e2* ( $\$S1$  *A*) *m1*;  
                                  *U* := *mgu* ( $\$S2$  *t1*) (*t2 ->* (*TVar m2*));  
                                  *Some*(  $\$U \circ \$S2 \circ S1, U$  *m2, Suc m2* ) )"

| "*W* (*LET e1 e2*) *A n* = ( (*S1,t1,m1*) := *W e1 A n*;  
                                  (*S2,t2,m2*) := *W e2* ((*gen* ( $\$S1$  *A*) *t1*)#( $\$S1$  *A*)) *m1*;  
                                  *Some*(  $\$S2 \circ S1, t2, m2$  ) )"

**declare** *Suc\_le\_lessD* [*simp*]

**inductive\_cases has\_type\_casesE:**

```
"A ⊢ Var n :: t"  
"A ⊢ Abs e :: t"  
"A ⊢ App e1 e2 :: t"  
"A ⊢ LET e1 e2 :: t"
```

— the resulting type variable is always greater or equal than the given one

**lemma W\_var\_ge:**

```
"W e A n = Some (S,t,m) ⇒ n ≤ m"  
⟨proof⟩
```

**declare W\_var\_ge [simp]**

**lemma W\_var\_geD:**

```
"Some (S,t,m) = W e A n ⇒ n ≤ m"  
⟨proof⟩
```

**lemma new\_tv\_compatible\_W:**

```
"new_tv n A ⇒ Some (S,t,m) = W e A n ⇒ new_tv m A"  
⟨proof⟩
```

**lemma new\_tv\_bound\_typ\_inst\_sch:**

```
"new_tv n sch ⇒ new_tv (n + (min_new_bound_tv sch)) (bound_typ_inst (λb. TVar (b +  
n)) sch)"  
⟨proof⟩
```

**lemma new\_tv\_W [rule\_format]:**

```
"∀ n A S t m. new_tv n A → W e A n = Some (S,t,m) →  
new_tv m S ∧ new_tv m t"  
⟨proof⟩
```

**lemma free\_tv\_bound\_typ\_inst1:**

```
"v ∉ free_tv sch ⇒ v ∈ free_tv (bound_typ_inst (TVar ∘ S) sch) ⇒ ∃ x. v = S x"  
⟨proof⟩
```

**lemma free\_tv\_W [rule\_format]:**

```
"∀ n A S t m v. W e A n = Some (S,t,m) →  
(v ∈ free_tv S ∨ v ∈ free_tv t) → v < n → v ∈ free_tv A"  
⟨proof⟩
```

**lemma weaken\_A\_Int\_B\_eq\_empty: "(∀ x. x ∈ A → x ∉ B) ⇒ A ∩ B = {}"**

⟨proof⟩

**lemma weaken\_not\_elem\_A\_minus\_B: "x ∉ A ∨ x ∈ B ⇒ x ∉ A - B"**

⟨proof⟩

**lemma W\_correct\_lemma [rule\_format]: "∀ A S t m n . new\_tv n A → Some (S,t,m) = W e A n → \$S A ⊢ e :: t"**

$\langle proof \rangle$

**lemma** *W\_complete\_lemma* [rule\_format]:

" $\forall S' A t' n. \$S' A \vdash e :: t' \longrightarrow new\_tv\ n\ A \longrightarrow$   
 $(\exists S\ t. (\exists m. W\ e\ A\ n = Some\ (S, t, m)) \wedge$   
 $(\exists R. \$S' A = \$R\ (\$S\ A) \wedge t' = \$R\ t))$ "

$\langle proof \rangle$

**theorem** *W\_complete*:

" $[\ ] \vdash e :: t' \Longrightarrow \exists S\ t\ m. W\ e\ [\ ]\ n = Some(S, t, m) \wedge (\exists R. t' = \$R\ t)$ "

$\langle proof \rangle$

**end**

## References

- [1] W. Naraschewski and T. Nipkow. Type inference verified: Algorithm W in Isabelle/HOL. In E. Giménez and C. Paulin-Mohring, editors, *Types for Proofs and Programs: Intl. Workshop TYPES '96*, volume 1512, pages 317–332, 1998.
- [2] W. Naraschewski and T. Nipkow. Type inference verified: Algorithm W in Isabelle/HOL. *Journal of Automated Reasoning*, 23:299–318, 1999.