

# Isabelle's Metalogic: Formalization and Proof Checker

Tobias Nipkow and Simon RoSSkopf

March 17, 2025

## Abstract

In this entry we formalize Isabelle's metalogic in Isabelle/HOL. Furthermore, we define a language of proof terms and an executable proof checker and prove its soundness wrt. the metalogic.

The formalization is intentionally kept close to the Isabelle implementation (for example using de Bruijn indices) to enable easy integration of generated code with the Isabelle system without a complicated translation layer.

The formalization is described in our CADE 28 paper[2].

## Contents

<b>1</b>	<b>Core Inference system</b>	<b>2</b>
<b>2</b>	<b>Preliminaries</b>	<b>8</b>
<b>3</b>	<b>Terms</b>	<b>17</b>
<b>4</b>	<b>Sorts</b>	<b>49</b>
<b>5</b>	<b>Wellformed Signature and Theory</b>	<b>57</b>
<b>6</b>	<b>More on Substitutions</b>	<b>61</b>
<b>7</b>	<b>Names</b>	<b>81</b>
<b>8</b>	<b>Beta Normalization</b>	<b>85</b>
<b>9</b>	<b>Eta Normalization</b>	<b>99</b>
<b>10</b>	<b>Logic</b>	<b>105</b>
<b>11</b>	<b>Derived rules on equality and normalization</b>	<b>141</b>

<b>12 Proof Terms and proof checker</b>	<b>175</b>
<b>13 Executable Sorts</b>	<b>187</b>
<b>14 Executable Instance Relations</b>	<b>195</b>
<b>15 Executable Signature and Theory</b>	<b>217</b>
<b>16 Code Generation</b>	<b>233</b>

## 1 Core Inference system

Contains just the stuff necessary for the definition of the Inference system

```
theory Core
  imports Main
begin
```

Basic types

```
type-synonym name = String.literal
type-synonym indexname = name × int
```

```
type-synonym class = String.literal
```

```
type-synonym sort = class set
abbreviation full-sort ≡ { }::sort
```

```
datatype variable = Free name | Var indexname
```

```
datatype typ =
  is-Ty: Ty name typ list |
  is-Tv: Tv variable sort
```

```
datatype term =
  is-Ct: Ct name typ |
  is-Fv: Fv variable typ |
  is-Bv: Bv nat |
  is-Abs: Abs typ term |
  is-App: App term term (infixl <$> 100)
```

```
abbreviation mk-fun-typ S T ≡ Ty STR "fun" [S, T]
notation mk-fun-typ (infixr <→> 100)
```

Collect variables in a term

```
fun fv :: term ⇒ (variable × typ) set where
  fv (Ct - -) = { }
| fv (Fv v T) = {(v, T)}
```

$| \text{fv } (Bv \ -) = \{\}$   
 $| \text{fv } (Abs \ \textit{body}) = \text{fv } \textit{body}$   
 $| \text{fv } (t \ \$ \ u) = \text{fv } t \cup \text{fv } u$   
**definition** *[simp]*:  $FV \ S = (\bigcup_{s \in S} . \text{fv } s)$

Typ/term instantiations

**fun** *tsubstT* ::  $\text{typ} \Rightarrow (\text{variable} \Rightarrow \text{sort} \Rightarrow \text{typ}) \Rightarrow \text{typ}$  **where**  
 $\text{tsubstT } (Tv \ a \ s) \ \rho = \rho \ a \ s$   
 $| \text{tsubstT } (Ty \ \kappa \ \sigma s) \ \rho = Ty \ \kappa \ (\text{map } (\lambda \sigma. \text{tsubstT } \sigma \ \rho) \ \sigma s)$   
**definition** *tinstT*  $T1 \ T2 \equiv \exists \rho. \text{tsubstT } T2 \ \rho = T1$

**fun** *tsubst* ::  $\text{term} \Rightarrow (\text{variable} \Rightarrow \text{sort} \Rightarrow \text{typ}) \Rightarrow \text{term}$  **where**  
 $\text{tsubst } (Ct \ s \ T) \ \rho = Ct \ s \ (\text{tsubstT } T \ \rho)$   
 $| \text{tsubst } (Fv \ v \ T) \ \rho = Fv \ v \ (\text{tsubstT } T \ \rho)$   
 $| \text{tsubst } (Bv \ i) \ - = Bv \ i$   
 $| \text{tsubst } (Abs \ T \ t) \ \rho = Abs \ (\text{tsubstT } T \ \rho) \ (\text{tsubst } t \ \rho)$   
 $| \text{tsubst } (t \ \$ \ u) \ \rho = \text{tsubst } t \ \rho \ \$ \ \text{tsubst } u \ \rho$

Typ of a term

**inductive** *has-typ1* ::  $\text{typ list} \Rightarrow \text{term} \Rightarrow \text{typ} \Rightarrow \text{bool}$  ( $\langle \cdot \vdash_{\tau} \cdot \rangle \rightarrow [51, 51, 51] \ 51$ )  
**where**  
 $\text{has-typ1} \ - \ (Ct \ - \ T) \ T$   
 $| \ i < \text{length } Ts \Longrightarrow \text{has-typ1 } Ts \ (Bv \ i) \ (\text{nth } Ts \ i)$   
 $| \text{has-typ1} \ - \ (Fv \ - \ T) \ T$   
 $| \text{has-typ1 } (T \# \ Ts) \ t \ T' \Longrightarrow \text{has-typ1 } Ts \ (Abs \ T \ t) \ (T \rightarrow T')$   
 $| \text{has-typ1 } Ts \ u \ U \Longrightarrow \text{has-typ1 } Ts \ t \ (U \rightarrow T) \Longrightarrow$   
 $\text{has-typ1 } Ts \ (t \ \$ \ u) \ T$   
**definition** *has-typ* ::  $\text{term} \Rightarrow \text{typ} \Rightarrow \text{bool}$  ( $\langle \cdot \vdash_{\tau} \cdot \rangle \rightarrow [51, 51] \ 51$ ) **where**  $\text{has-typ } t \ T = \text{has-typ1 } [] \ t \ T$

**definition** *typ-of*  $t = (\text{if } \exists T . \text{has-typ } t \ T \text{ then } \text{Some } (THE \ T . \text{has-typ } t \ T) \text{ else } \text{None})$

More operations on terms

**fun** *lift* ::  $\text{term} \Rightarrow \text{nat} \Rightarrow \text{term}$  **where**  
 $\text{lift } (Bv \ i) \ n = (\text{if } i \geq n \text{ then } Bv \ (i+1) \text{ else } Bv \ i)$   
 $| \text{lift } (Abs \ T \ \textit{body}) \ n = Abs \ T \ (\text{lift } \textit{body} \ (n+1))$   
 $| \text{lift } (App \ f \ t) \ n = App \ (\text{lift } f \ n) \ (\text{lift } t \ n)$   
 $| \text{lift } u \ n = u$

**fun** *subst-bv2* ::  $\text{term} \Rightarrow \text{nat} \Rightarrow \text{term} \Rightarrow \text{term}$  **where**  
 $\text{subst-bv2 } (Bv \ i) \ n \ u = (\text{if } i < n \text{ then } Bv \ i$   
 $\text{else if } i = n \text{ then } u$   
 $\text{else } (Bv \ (i - 1)))$   
 $| \text{subst-bv2 } (Abs \ T \ \textit{body}) \ n \ u = Abs \ T \ (\text{subst-bv2 } \textit{body} \ (n + 1) \ (\text{lift } u \ 0))$   
 $| \text{subst-bv2 } (f \ \$ \ t) \ n \ u = \text{subst-bv2 } f \ n \ u \ \$ \ \text{subst-bv2 } t \ n \ u$   
 $| \text{subst-bv2 } t \ - \ = t$

**definition** *subst-bv*  $u \ t = \text{subst-bv2 } t \ 0 \ u$

**fun** *bind-fv2* :: (variable × typ) ⇒ nat ⇒ term ⇒ term **where**  
*bind-fv2* vT n (Fv v T) = (if vT = (v,T) then Bv n else Fv v T)  
| *bind-fv2* vT n (Abs T t) = Abs T (*bind-fv2* vT (n+1) t)  
| *bind-fv2* vT n (f \$ u) = *bind-fv2* vT n f \$ *bind-fv2* vT n u  
| *bind-fv2* - - t = t

**definition** *bind-fv* vT t = *bind-fv2* vT 0 t

**abbreviation** *Abs-fv* v T t ≡ Abs T (*bind-fv* (v,T) t)

Some typ/term constants

**abbreviation** *itselfT* ty ≡ Ty STR "itself" [ty]

**abbreviation** *constT* name ≡ Ty name []

**abbreviation** *propT* ≡ constT STR "prop"

**abbreviation** *mk-eq* t1 t2 ≡ Ct STR "Pure.eq"  
(the (typ-of t1) → (the (typ-of t2) → propT)) \$ t1 \$ t2

**abbreviation** *mk-eq'* ty t1 t2 ≡ Ct STR "Pure.eq"  
(ty → (ty → propT)) \$ t1 \$ t2

**abbreviation** *mk-imp* :: term ⇒ term ⇒ term (infixr <⟶⟩ 51) **where**  
A ⟶ B ≡ Ct STR "Pure.imp" (propT → (propT → propT)) \$ A \$ B

**abbreviation** *mk-all* x ty t ≡  
Ct STR "Pure.all" ((ty → propT) → propT) \$ Abs-fv x ty t

Order sorted signature

**type-synonym** *osig* = (class rel × (name → (class → sort list)))

**fun** *subclass* :: *osig* ⇒ class rel **where** *subclass* (cl, -) = cl

**fun** *tsigs* :: *osig* ⇒ (name → (class → sort list)) **where** *tsigs* (-, ars) = ars

Relation in sorts

**definition** *class-leq* sub c1 c2 = ((c1,c2) ∈ sub)

**definition** *class-les* sub c1 c2 = (class-leq sub c1 c2 ∧ ¬ class-leq sub c2 c1)

**definition** *sort-leq* sub s1 s2 = (∀ c2 ∈ s2 . ∃ c1 ∈ s1 . class-leq sub c1 c2)

Is a class/sort defined

**definition** *class-ex* rel c = (c ∈ Field rel)

**definition** *sort-ex* rel S = (S ⊆ Field rel)

Normalizing sorts

**definition** *normalize-sort* sub (S::sort)  
= {c ∈ S. ¬ (∃ c' ∈ S. class-les sub c' c)}

**abbreviation** *normalized-sort* sub S ≡ *normalize-sort* sub S = S

**definition** *wf-sort* sub S = (normalized-sort sub S ∧ sort-ex sub S)

Wellformedness of osig

**definition** [simp]:  $wf\text{-subclass } rel = (trans\ rel \wedge antisym\ rel \wedge Refl\ rel)$

**definition**  $complete\text{-tcsigs } sub\ tcs \equiv (\forall ars \in ran\ tcs . \forall (c_1, c_2) \in sub . c_1 \in dom\ ars \longrightarrow c_2 \in dom\ ars)$

**definition**  $coregular\text{-tcsigs } sub\ tcs \equiv (\forall ars \in ran\ tcs . \forall c_1 \in dom\ ars . \forall c_2 \in dom\ ars . (class\text{-}leq\ sub\ c_1\ c_2 \longrightarrow list\text{-}all2\ (sort\text{-}leq\ sub)\ (the\ (ars\ c_1))\ (the\ (ars\ c_2))))$

**definition**  $consistent\text{-}length\text{-}tcsigs\ tcs \equiv (\forall ars \in ran\ tcs . \forall ss_1 \in ran\ ars . \forall ss_2 \in ran\ ars . length\ ss_1 = length\ ss_2)$

**definition**  $all\text{-}normalized\text{-}and\text{-}ex\text{-}tcsigs\ sub\ tcs \equiv (\forall ars \in ran\ tcs . \forall ss \in ran\ ars . \forall s \in set\ ss . wf\text{-}sort\ sub\ s)$

**definition** [simp]:  $wf\text{-}tcsigs\ sub\ tcs \longleftrightarrow coregular\text{-}tcsigs\ sub\ tcs \wedge complete\text{-}tcsigs\ sub\ tcs \wedge consistent\text{-}length\text{-}tcsigs\ tcs \wedge all\text{-}normalized\text{-}and\text{-}ex\text{-}tcsigs\ sub\ tcs$

**fun**  $wf\text{-}osig$  **where**  $wf\text{-}osig\ (sub, tcs) \longleftrightarrow wf\text{-}subclass\ sub \wedge wf\text{-}tcsigs\ sub\ tcs$

Embedding typs into terms/Encoding of type classes

**definition**  $mk\text{-}type\ ty = Ct\ STR\ "Pure.type"\ (Core.\textit{itself}T\ ty)$

**abbreviation**  $mk\text{-}suffix\ (str::name)\ suff \equiv String.\textit{implode}\ (String.\textit{explode}\ str\ @\ String.\textit{explode}\ suff)$

**abbreviation**  $classN \equiv STR\ "-class"$

**abbreviation**  $const\text{-}of\text{-}class\ name \equiv mk\text{-}suffix\ name\ classN$

**definition**  $mk\text{-}of\text{-}class\ ty\ c = Ct\ (const\text{-}of\text{-}class\ c)\ (Core.\textit{itself}T\ ty \rightarrow propT)\ \$\ mk\text{-}type\ ty$

Checking if a typ belongs to a sort

**inductive**  $has\text{-}sort :: osig \Rightarrow typ \Rightarrow sort \Rightarrow bool$  **where**  
 $has\text{-}sort\text{-}Tv[\textit{intro}]: sort\text{-}leq\ sub\ S\ S' \Longrightarrow has\text{-}sort\ (sub, tcs)\ (Tv\ a\ S)\ S'$   
 $| has\text{-}sort\text{-}Ty:$   
 $tcs\ \kappa = Some\ dm \Longrightarrow \forall c \in S . \exists Ss . dm\ c = Some\ Ss \wedge list\text{-}all2\ (has\text{-}sort\ (sub, tcs))\ Ts\ Ss$   
 $\Longrightarrow has\text{-}sort\ (sub, tcs)\ (Ty\ \kappa\ Ts)\ S$

Signatures

**type-synonym**  $signature = (name \rightarrow typ) \times (name \rightarrow nat) \times osig$

**fun**  $const\text{-}type :: signature \Rightarrow (name \rightarrow typ)$  **where**  $const\text{-}type\ (ctf, -, -) = ctf$

**fun**  $type\text{-}arity :: signature \Rightarrow (name \rightarrow nat)$  **where**  $type\text{-}arity\ (-, arf, -) = arf$

**fun**  $osig :: signature \Rightarrow osig$  **where**  $osig\ (-, -, oss) = oss$

**fun** *is-std-sig* **where** *is-std-sig* (*ctf*, *arf*, -)  $\longleftrightarrow$   
 $\text{arf STR "fun" = Some 2} \wedge \text{arf STR "prop" = Some 0}$   
 $\wedge \text{arf STR "itself" = Some 1}$   
 $\wedge \text{ctf STR "Pure.eq"}$   
 $= \text{Some } ((\text{Tv } (\text{Var } (\text{STR } "'a'", 0)) \text{ full-sort}) \rightarrow ((\text{Tv } (\text{Var } (\text{STR } "'a'", 0))$   
 $\text{full-sort}) \rightarrow \text{propT}))$   
 $\wedge \text{ctf STR "Pure.all" = Some } ((\text{Tv } (\text{Var } (\text{STR } "'a'", 0)) \text{ full-sort} \rightarrow \text{propT}) \rightarrow$   
 $\text{propT})$   
 $\wedge \text{ctf STR "Pure.imp" = Some } (\text{propT} \rightarrow (\text{propT} \rightarrow \text{propT}))$   
 $\wedge \text{ctf STR "Pure.type" = Some } (\text{itselfT } (\text{Tv } (\text{Var } (\text{STR } "'a'", 0)) \text{ full-sort}))$

Wellformedness checks

**definition** [*simp*]: *class-ok-sig*  $\Sigma$  *c*  $\equiv$  *class-ex* (*subclass* (*osig*  $\Sigma$ )) *c*

**inductive** *wf-type* :: *signature*  $\Rightarrow$  *typ*  $\Rightarrow$  *bool* **where**  
 $\text{typ-ok-Ty: type-arity } \Sigma \kappa = \text{Some } (\text{length } \text{Ts}) \Longrightarrow \forall T \in \text{set } \text{Ts} . \text{wf-type } \Sigma T$   
 $\Longrightarrow \text{wf-type } \Sigma (\text{Ty } \kappa \text{ Ts})$   
| *typ-ok-Tv*[*intro*]: *wf-sort* (*subclass* (*osig*  $\Sigma$ )) *S*  $\Longrightarrow$  *wf-type*  $\Sigma$  (*Tv a S*)

**inductive** *wf-term* :: *signature*  $\Rightarrow$  *term*  $\Rightarrow$  *bool* **where**  
 $\text{wf-type } \Sigma T \Longrightarrow \text{wf-term } \Sigma (\text{Fv } v T)$   
|  $\text{wf-term } \Sigma (\text{Bv } n)$   
|  $\text{const-type } \Sigma s = \text{Some } \text{ty} \Longrightarrow \text{wf-type } \Sigma T \Longrightarrow \text{tinstT } T \text{ ty} \Longrightarrow \text{wf-term } \Sigma (\text{Ct } s$   
 $T)$   
|  $\text{wf-term } \Sigma t \Longrightarrow \text{wf-term } \Sigma u \Longrightarrow \text{wf-term } \Sigma (t \$ u)$   
|  $\text{wf-type } \Sigma T \Longrightarrow \text{wf-term } \Sigma t \Longrightarrow \text{wf-term } \Sigma (\text{Abs } T t)$

**definition** *wt-term*  $\Sigma$  *t*  $\equiv$  *wf-term*  $\Sigma$  *t*  $\wedge$  ( $\exists T . \text{has-tyt } t T$ )

**fun** *wf-sig* :: *signature*  $\Rightarrow$  *bool* **where**  
 $\text{wf-sig } (\text{ctf}, \text{arf}, \text{oss}) = (\text{wf-osig } \text{oss})$   
 $\wedge \text{dom } (\text{tcsigs } \text{oss}) = \text{dom } \text{arf}$   
 $\wedge (\forall \text{type} \in \text{dom } (\text{tcsigs } \text{oss}). (\forall \text{ars} \in \text{ran } (\text{the } (\text{tcsigs } \text{oss } \text{type})). \text{the } (\text{arf } \text{type})$   
 $= \text{length } \text{ars}))$   
 $\wedge (\forall \text{ty} \in \text{Map.ran } \text{ctf} . \text{wf-type } (\text{ctf}, \text{arf}, \text{oss}) \text{ ty}))$

Theories

**type-synonym** *theory* = *signature*  $\times$  *term set*

**fun** *sig* :: *theory*  $\Rightarrow$  *signature* **where** *sig* ( $\Sigma$ , -) =  $\Sigma$   
**fun** *axioms* :: *theory*  $\Rightarrow$  *term set* **where** *axioms* (-, *ars*) = *ars*

Equality axioms, stated directly

**abbreviation** *tvariable a*  $\equiv$  (*Tv* (*Var* (*a*, 0)) *full-sort*)

**abbreviation** *variable x T*  $\equiv$  *Fv* (*Var* (*x*, 0)) *T*

**abbreviation** *aT*  $\equiv$  *tvariable STR "'a'"*

**abbreviation**  $bT \equiv \text{tvariable STR } "b"$   
**abbreviation**  $x \equiv \text{variable STR } "x" aT$   
**abbreviation**  $y \equiv \text{variable STR } "y" aT$   
**abbreviation**  $z \equiv \text{variable STR } "z" aT$   
**abbreviation**  $f \equiv \text{variable STR } "f" (aT \rightarrow bT)$   
**abbreviation**  $g \equiv \text{variable STR } "g" (aT \rightarrow bT)$   
**abbreviation**  $P \equiv \text{variable STR } "P" (aT \rightarrow \text{propT})$   
**abbreviation**  $Q \equiv \text{variable STR } "Q" (aT \rightarrow \text{propT})$   
**abbreviation**  $A \equiv \text{variable STR } "A" \text{propT}$   
**abbreviation**  $B \equiv \text{variable STR } "B" \text{propT}$

**definition**  $\text{eq-reflexive-ax} \equiv \text{mk-eq } x \ x$   
**definition**  $\text{eq-symmetric-ax} \equiv \text{mk-eq } x \ y \mapsto \text{mk-eq } y \ x$   
**definition**  $\text{eq-transitive-ax} \equiv \text{mk-eq } x \ y \mapsto \text{mk-eq } y \ z \mapsto \text{mk-eq } x \ z$   
**definition**  $\text{eq-intr-ax} \equiv (A \mapsto B) \mapsto (B \mapsto A) \mapsto \text{mk-eq } A \ B$   
**definition**  $\text{eq-elim-ax} \equiv \text{mk-eq } A \ B \mapsto A \mapsto B$   
**definition**  $\text{eq-combination-ax} \equiv \text{mk-eq } f \ g \mapsto \text{mk-eq } x \ y \mapsto \text{mk-eq } (f \ \$ \ x) \ (g \ \$ \ y)$   
**definition**  $\text{eq-abstract-rule-ax} \equiv$   
 $(\text{Ct STR } "Pure.all" ((aT \rightarrow \text{propT}) \rightarrow \text{propT}) \ \$ \ \text{Abs } aT \ (\text{mk-eq}' \ bT \ (f \ \$ \ Bv \ 0) \ (g \ \$ \ Bv \ 0)))$   
 $\mapsto \text{mk-eq } (\text{Abs } aT \ (f \ \$ \ Bv \ 0)) \ (\text{Abs } aT \ (g \ \$ \ Bv \ 0))$

**hide-const (open)**  $x \ y \ z \ f \ g \ P \ Q \ A \ B$

**abbreviation**  $\text{eq-axs} \equiv \{ \text{eq-reflexive-ax}, \text{eq-symmetric-ax}, \text{eq-transitive-ax}, \text{eq-intr-ax}, \text{eq-elim-ax}, \text{eq-combination-ax}, \text{eq-abstract-rule-ax} \}$

Wellformedness of theories

**fun**  $\text{wf-theory where wf-theory } (\Sigma, \text{axs}) \longleftrightarrow$   
 $(\forall p \in \text{axs} . \text{wt-term } \Sigma \ p \wedge \text{has-ty} \ p \ \text{propT})$   
 $\wedge \text{is-std-sig } \Sigma$   
 $\wedge \text{wf-sig } \Sigma$   
 $\wedge \text{eq-axs} \subseteq \text{axs}$

Wellformedness of typ antiations

**definition**  $[\text{simp}]: \text{wf-inst } \Theta \ \rho \equiv$   
 $(\forall v \ S . \ \rho \ v \ S \neq \text{Tv } v \ S \longrightarrow$   
 $(\text{has-sort } (\text{osig } (\text{sig } \Theta)) \ (\rho \ v \ S) \ S) \wedge \text{wf-type } (\text{sig } \Theta) \ (\rho \ v \ S))$

Inference system

**inductive**  $\text{proves} :: \text{theory} \Rightarrow \text{term set} \Rightarrow \text{term} \Rightarrow \text{bool} \ (\langle (-, -) \vdash (-) \rangle \ 50)$  **for**  $\Theta$   
**where**

$\text{axiom}: \text{wf-theory } \Theta \Longrightarrow A \in \text{axioms } \Theta \Longrightarrow \text{wf-inst } \Theta \ \rho$   
 $\Longrightarrow \Theta, \Gamma \vdash \text{tsubst } A \ \rho$   
 $| \text{assume}: \text{wf-term } (\text{sig } \Theta) \ A \Longrightarrow \text{has-ty} \ A \ \text{propT} \Longrightarrow A \in \Gamma \Longrightarrow \Theta, \Gamma \vdash A$   
 $| \text{forall-intro}: \text{wf-theory } \Theta \Longrightarrow \Theta, \Gamma \vdash B \Longrightarrow (x, \tau) \notin \text{FV } \Gamma \Longrightarrow \text{wf-type } (\text{sig } \Theta) \ \tau$   
 $\Longrightarrow \Theta, \Gamma \vdash \text{mk-all } x \ \tau \ B$

| forall-elim:  $\Theta, \Gamma \vdash Ct STR \text{ "Pure.all" } ((\tau \rightarrow propT) \rightarrow propT) \$ Abs \tau B$   
 $\implies has-typ a \tau \implies wf-term (sig \Theta) a$   
 $\implies \Theta, \Gamma \vdash subst-bv a B$   
| implies-intro:  $wf-theory \Theta \implies \Theta, \Gamma \vdash B \implies wf-term (sig \Theta) A \implies has-typ A propT$   
 $\implies \Theta, \Gamma - \{A\} \vdash A \mapsto B$   
| implies-elim:  $\Theta, \Gamma_1 \vdash A \mapsto B \implies \Theta, \Gamma_2 \vdash A \implies \Theta, \Gamma_1 \cup \Gamma_2 \vdash B$   
| of-class:  $wf-theory \Theta$   
 $\implies const-type (sig \Theta) (const-of-class c) = Some (Core.itselfT aT \rightarrow propT)$   
 $\implies wf-type (sig \Theta) T$   
 $\implies has-sort (osig (sig \Theta)) T \{c\}$   
 $\implies \Theta, \Gamma \vdash mk-of-class T c$   
  
|  $\beta$ -conversion:  $wf-theory \Theta \implies wt-term (sig \Theta) (Abs T t) \implies wf-term (sig \Theta) u$   
 $\implies has-typ u T$   
 $\implies \Theta, \Gamma \vdash mk-eq (Abs T t \$ u) (subst-bv u t)$   
| eta:  $wf-theory \Theta \implies wf-term (sig \Theta) t \implies has-typ t (\tau \rightarrow \tau')$   
 $\implies \Theta, \Gamma \vdash mk-eq (Abs \tau (t \$ Bv \theta)) t$

Ensure no garbage in  $\Theta, \Gamma$

**definition**  $proves' :: theory \Rightarrow term\ set \Rightarrow term \Rightarrow bool \langle \langle -, - \rangle \Vdash (-) \rangle 51$  **where**  
 $proves' \Theta \Gamma t \equiv wf-theory \Theta \wedge (\forall h \in \Gamma . wf-term (sig \Theta) h \wedge has-typ h propT)$   
 $\wedge \Theta, \Gamma \vdash t$

**hide-const** (**open**)  $aT bT$

**end**

## 2 Preliminaries

**theory** *Preliminaries*

**imports** *Complex-Main*

*List-Index.List-Index*

*HOL-Library.AList*

*HOL-Library.Sublist*

*HOL-Eisbach.Eisbach*

*HOL-Library.Simps-Case-Conv*

**begin**

Stuff about options

**fun** *the-default* ::  $'a \Rightarrow 'a\ option \Rightarrow 'a$  **where**

*the-default*  $a\ None = a$

| *the-default*  $- (Some\ b) = b$

**abbreviation** *Or* ::  $'a\ option \Rightarrow 'a\ option \Rightarrow 'a\ option$  (**infixl**  $\langle OR \rangle 60$ ) **where**

$e1\ OR\ e2 \equiv case\ e1\ of\ None \Rightarrow e2 \mid p \Rightarrow p$

**lemma** *Or-Some*:  $(e1\ OR\ e2) = Some\ x \longleftrightarrow e1 = Some\ x \vee (e1 = None \wedge e2 = Some\ x)$



**by**(*auto split: option.split*)

**lemma** *Or-None*:  $(e1 \text{ OR } e2) = \text{None} \longleftrightarrow e1 = \text{None} \wedge e2 = \text{None}$   
**by**(*auto split: option.split*)

**fun** *lift2-option* ::  $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \text{ option} \Rightarrow 'b \text{ option} \Rightarrow 'c \text{ option}$  **where**  
  *lift2-option* - *None* - = *None* |  
  *lift2-option* - - *None* = *None* |  
  *lift2-option* *f* (*Some* *x*) (*Some* *y*) = *Some* (*f* *x* *y*)

**lemma** *lift2-option-not-None*:  $\text{lift2-option } f \ x \ y \neq \text{None} \longleftrightarrow (x \neq \text{None} \wedge y \neq \text{None})$

**using** *lift2-option.elims* **by** *blast*

**lemma** *lift2-option-None*:  $\text{lift2-option } f \ x \ y = \text{None} \longleftrightarrow (x = \text{None} \vee y = \text{None})$   
**using** *lift2-option.elims* **by** *blast*

Lookup functions for assoc lists

**fun** *find* ::  $('a \Rightarrow 'b \text{ option}) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ option}$  **where**  
  *find* *f* [] = *None* |  
  *find* *f* (*x* # *xs*) = *f* *x* OR *find* *f* *xs*

**lemma** *findD*:

*find* *f* *xs* = *Some* *p*  $\implies \exists x \in \text{set } xs. f \ x = \text{Some } p$   
**by**(*induction* *xs* *arbitrary: p*) (*auto split: option.splits*)

**lemma** *find-None*:

*find* *f* *xs* = *None*  $\longleftrightarrow (\forall x \in \text{set } xs. f \ x = \text{None})$   
**by**(*induction* *xs*) (*auto split: option.splits*)

**lemma** *find-ListFind*:  $\text{find } f \ l = \text{Option.bind } (\text{List.find } (\lambda x. \text{case } f \ x \ \text{of } \text{None} \Rightarrow \text{False} \mid - \Rightarrow \text{True}) \ l) \ f$   
**by** (*induction* *l*) (*auto split: option.split*)

**lemma** *List.find P l = Some p  $\implies \exists p \in \text{set } l . P \ p$*   
**by** (*induction* *l*) (*auto split: if-splits*)

**lemma** *find-the-pair*:

**assumes** *distinct* (*map fst pairs*)

**and**  $\bigwedge x \ y. x \in \text{set } (\text{map fst pairs}) \implies y \in \text{set } (\text{map fst pairs}) \implies P \ x \implies P \ y$   
 $\implies x = y$

**and**  $(x,y) \in \text{set pairs}$  **and**  $P \ x$

**shows**  $\text{List.find } (\lambda(x,-) . P \ x) \ \text{pairs} = \text{Some } (x,y)$

**using** *assms(1-3)*

**proof** (*induction* *pairs*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons pair pairs*)

**thm** *Cons.prem*s

```

show ?case
proof(cases fst pair = x)
  case True
  then show ?thesis
    using eq-key-imp-eq-value[OF Cons.prem1,3] assms(4) by force
next
  case False
  hence (x,y) ∈ set pairs
    using Cons.prem3 by fastforce
  moreover have  $\bigwedge x y. x \in \text{set } (\text{map } \text{fst } \text{pairs}) \implies y \in \text{set } (\text{map } \text{fst } \text{pairs}) \implies$ 
   $P x \implies P y \implies x = y$ 
    using Cons.prem2 by (metis list.set-intros(2) list.simp(9))
  ultimately have I: List.find ( $\lambda(x,-) . P x$ ) pairs = Some (x,y)
    using Cons.prem1,3 by (auto intro!: Cons.IH)
  moreover have  $\bigwedge y. y \in \text{set } (\text{map } \text{fst } (\text{pair } \# \text{pairs})) \implies P y \implies x = y$ 
    using Cons.prem2,3 assms(4) by (metis set-zip-leftD zip-map-fst-snd)
  ultimately show ?thesis
    using False by fastforce
qed
qed

fun remdups-on :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a list where
  remdups-on - [] = []
| remdups-on cmp (x # xs) =
  (if  $\exists x' \in \text{set } xs . \text{cmp } x x'$  then remdups-on cmp xs else x # remdups-on cmp xs)

fun distinct-on :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ bool where
  distinct-on - []  $\longleftrightarrow$  True
| distinct-on cmp (x # xs)  $\longleftrightarrow$   $\neg(\exists x' \in \text{set } xs . \text{cmp } x x')$  ∧ distinct-on cmp xs

lemma remdups-on (=) xs = remdups xs
by (induction xs) auto

lemma remdups-on-antimono:
  ( $\bigwedge x y . f x y \implies g x y$ )  $\implies$  set (remdups-on g xs)  $\subseteq$  set (remdups-on f xs)
by (induction xs) auto

lemma remdups-on-subset-input: set (remdups-on f xs)  $\subseteq$  set xs
by (induction xs) auto

lemma distinct-on-remdups-on: distinct-on f (remdups-on f xs)
proof (induction xs)
  case Nil
  then show ?case
    by simp
next
  case (Cons x xs)

```

```

then show ?case
  using remdups-on-subset-input by fastforce
qed

```

```

lemma distinct-on-no-compare: ( $\bigwedge x y . f x y \implies f y x$ )  $\implies$ 
  distinct-on f xs  $\implies$  x $\in$ set xs  $\implies$  y $\in$ set xs  $\implies$  x $\neq$ y  $\implies$   $\neg$  f x y
by (induction xs) auto

```

```

fun lookup :: ('a  $\implies$  bool)  $\implies$  ('a  $\times$  'b) list  $\implies$  'b option where
  lookup - [] = None
| lookup f ((x,y)#xs) = (if f x then Some y else lookup f xs)

```

```

lemma lookup-present-eq-key: distinct (map fst al)  $\implies$  (k, v)  $\in$  set al  $\longleftrightarrow$  lookup
( $\lambda x. x=k$ ) al = Some v
by (induction al) (auto simp add: rev-image-eqI split: if-splits)

```

```

lemma lookup-None-iff: lookup P xs = None  $\longleftrightarrow$   $\neg$  ( $\exists x. x \in$  set (map fst xs)  $\wedge$ 
P x)
by (induction xs) (auto split: if-splits)

```

```

lemma find-Some: List.find P l = Some p  $\implies$  p $\in$ set l  $\wedge$  P p
by (induction l) (auto split: if-splits)

```

```

lemma find-Some-imp-lookup-Some:
  List.find ( $\lambda(k,-). P k$ ) xs = Some (k,v)  $\implies$  lookup P xs = Some v
by (induction xs) auto

```

```

lemma lookup-Some-imp-find-Some:
  lookup P xs = Some v  $\implies$   $\exists x. List.find (\lambda(k,-). P k) xs = Some (x,v)$ 
by (induction xs) auto

```

```

lemma lookup-None-iff-find-None: lookup P xs = None  $\longleftrightarrow$  List.find ( $\lambda(k,-). P$ 
k) xs = None
by (induction xs) auto

```

```

lemma lookup-eq-order-irrelevant:
  assumes distinct (map fst pairs) and distinct (map fst pairs') and set pairs =
set pairs'
  shows lookup ( $\lambda x. x=k$ ) pairs = lookup ( $\lambda x. x=k$ ) pairs'
proof (cases lookup ( $\lambda x. x=k$ ) pairs)
case None
  then show ?thesis using lookup-None-iff
  by (metis assms(3) set-map)
next
case (Some v)
  hence (k,v) $\in$ set pairs
  using assms(1) by (simp add: lookup-present-eq-key)

```

hence  $el: (k,v) \in \text{set pairs}'$  **using**  $\text{assms}(3)$  **by**  $\text{blast}$   
**show**  $?thesis$  **using**  $\text{lookup-present-eq-key}[OF \text{assms}(2)]$   $el \text{ Some}$  **by**  $\text{simp}$   
**qed**

**lemma**  $\text{lookup-Some-append-back}$ :  
 $\text{lookup } (\lambda x. x=k) \text{ insts} = \text{Some } v \implies \text{lookup } (\lambda x. x=k) (\text{insts}@[(k,v')]) = \text{Some } v$   
**by**  $(\text{induction insts arbitrary:})$   $\text{auto}$

**lemma**  $\text{lookup-eq-key-not-present}$ :  $\text{key} \notin \text{set } (\text{map fst inst}) \implies \text{lookup } (\lambda x. x = \text{key}) \text{ inst} = \text{None}$   
**by**  $(\text{induction inst})$   $\text{auto}$

**lemma**  $\text{lookup-in-empty}[simp]$ :  $\text{lookup } f [] = \text{None}$  **by**  $\text{simp}$   
**lemma**  $\text{lookup-in-single}[simp]$ :  $\text{lookup } f [(k, v)] = (\text{if } f \text{ k then } \text{Some } v \text{ else } \text{None})$   
**by**  $\text{simp}$

**lemma**  $\text{lookup-present-eq-key}'$ :  $\text{lookup } (\lambda x. x=k) \text{ al} = \text{Some } v \implies (k, v) \in \text{set al}$   
**by**  $(\text{induction al})$   $(\text{auto simp add: rev-image-eqI split: if-splits})$

**lemma**  $\text{lookup-present-eq-key}''$ :  $\text{distinct } (\text{map fst al}) \implies \text{lookup } (\lambda x. x=k) \text{ al} = \text{Some } v \longleftrightarrow (k, v) \in \text{set al}$   
**by**  $(\text{induction al})$   $(\text{auto simp add: rev-image-eqI split: if-splits})$

**lemma**  $\text{key-present-imp-eq-lookup-finds-value}$ :  $k \in \text{fst ' set al} \implies \exists v . \text{lookup } (\lambda x. x=k) \text{ al} = \text{Some } v$   
**by**  $(\text{induction al})$   $(\text{auto simp add: rev-image-eqI})$

**lemma**  $\text{list-allI}$ :  $(\bigwedge x. x \in \text{set } l \implies P x) \implies \text{list-all } P l$   
**by**  $(\text{induction } l)$   $\text{auto}$

**lemma**  $\text{map2-sym}$ :  $(\bigwedge x y . f x y = f y x) \implies \text{map2 } f \text{ xs ys} = \text{map2 } f \text{ ys xs}$   
**proof**  $(\text{induction xs arbitrary: ys})$

case  $\text{Nil}$   
**then show**  $?case$  **by**  $\text{simp}$   
**next**  
case  $(\text{Cons } a \text{ xs})$   
**then show**  $?case$  **by**  $(\text{induction ys})$   $\text{auto}$   
**qed**

**lemma**  $\text{idem-map2}$ : **assumes**  $(\bigwedge x. f x x = x)$  **shows**  $\text{map2 } f \text{ l l} = l$

**proof** –  
**have**  $\text{length } l = \text{length } l$  **by**  $\text{simp}$   
**then show**  $\text{map2 } f \text{ l l} = l$  **by**  $(\text{induction } l \text{ l rule: list-induct2})$   $(\text{use } \text{assms in } \text{auto})$   
**qed**

**lemma**  $\text{rev-induct2}[consumes 1, case-names \text{Nil snoc}]$ :  
**assumes**  $\text{length } \text{xs} = \text{length } \text{ys}$

**assumes**  $P \ [] \ []$   
**assumes**  $(\bigwedge x \ xs \ y \ ys. \text{length } xs = \text{length } ys \implies P \ xs \ ys \implies P \ (xs \ @ \ [x]) \ (ys \ @ \ [y]))$   
**shows**  $P \ xs \ ys$   
**proof** –  
**have**  $\text{length } (\text{rev } xs) = \text{length } (\text{rev } ys)$  **using**  $\text{assms}(1)$  **by**  $\text{simp}$   
**hence**  $P \ (\text{rev } (\text{rev } xs)) \ (\text{rev } (\text{rev } ys))$   
**using**  $\text{assms}(2-3)$  **by**  $(\text{induction rule: list-induct2[of rev xs rev ys]}) \ \text{simp-all}$   
**thus**  $?thesis$  **by**  $\text{simp}$   
**qed**

**lemma**  $\text{alist-map-corr}$ :  $\text{distinct } (\text{map } \text{fst } al) \implies (k,v) \in \text{set } al \longleftrightarrow \text{map-of } al \ k = \text{Some } v$   
**by**  $\text{simp}$

**lemma**  $\text{distinct-fst-imp-distinct}$ :  $\text{distinct } (\text{map } \text{fst } l) \implies \text{distinct } l$   
**by**  $(\text{induction } l) \ \text{auto}$

**lemma**  $\text{length-alist}$ :  
**assumes**  $\text{distinct } (\text{map } \text{fst } al)$  **and**  $\text{distinct } (\text{map } \text{fst } al')$  **and**  $\text{set } al = \text{set } al'$   
**shows**  $\text{length } al = \text{length } al'$   
**using**  $\text{assms}$  **by**  $(\text{metis distinct-card length-map set-map})$

**lemma**  $\text{same-map-of-imp-same-length}$ :  
 $\text{distinct } (\text{map } \text{fst } \text{ars1}) \implies \text{distinct } (\text{map } \text{fst } \text{ars2}) \implies \text{map-of } \text{ars1} = \text{map-of } \text{ars2}$   
 $\implies \text{length } \text{ars1} = \text{length } \text{ars2}$   
**using**  $\text{length-alist map-of-inject-set}$  **by**  $\text{blast}$

**lemma**  $\text{in-range-if-ex-key}$ :  $v \in \text{ran } m \longleftrightarrow (\exists k. m \ k = \text{Some } v)$   
**by**  $(\text{auto simp add: ranI ran-def})$

**lemma**  $\text{set-AList-delete-bound}$ :  $\text{set } (\text{AList.delete } a \ l) \subseteq \text{set } l$   
**by**  $(\text{induction } l) \ \text{auto}$

**lemma**  $\text{list-all-clearjunk-cons}$ :  
 $\text{list-all } P \ (x\#(\text{AList.clearjunk } l)) \implies \text{list-all } P \ (\text{AList.clearjunk } (x\#l))$   
**by**  $(\text{induction } l \ \text{rule: AList.clearjunk.induct}) \ (\text{auto simp add: delete-twist})$

**lemma**  $\text{lookup-AList-delete}$ :  $k' \neq k \implies \text{lookup } (\lambda x. x = k) \ al = \text{lookup } (\lambda x. x = k) \ (\text{AList.delete } k' \ al)$   
**by**  $(\text{induction } al) \ \text{auto}$

**lemma**  $\text{lookup-AList-clearjunk}$ :  $\text{lookup } (\lambda x. x = k) \ al = \text{lookup } (\lambda x. x = k) \ (\text{AList.clearjunk } al)$   
**proof**  $(\text{induction } al)$   
**case**  $\text{Nil}$   
**then show**  $?case$

```

    by simp
next
case (Cons a al)
then show ?case
proof(cases fst a=k)
  case True
  then show ?thesis
    by (metis (full-types) clearjunk.simps(2) lookup.simps(2) prod.collapse)
next
case False
have lookup (λx. x = k) (AList.clearjunk (a # al))
  = lookup (λx. x = k) (a # AList.clearjunk (AList.delete (fst a) al))
  by simp
also have ... = lookup (λx. x = k) (AList.clearjunk (AList.delete (fst a) al))
  by (metis (full-types) False lookup.simps(2) surjective-pairing)
also have ... = lookup (λx. x = k) (AList.clearjunk al)
  by (metis False clearjunk-delete lookup-AList-delete)
also have ... = lookup (λx. x = k) al
  using Cons.IH by auto
also have ... = lookup (λx. x = k) (a # al)
  by (metis (full-types) False lookup.simps(2) surjective-pairing)
finally show ?thesis
  by simp
qed
qed

```

**definition** *diff-list*  $xs\ ys \equiv \text{fold removeAll } ys\ xs$

**lemma** *diff-list-set[simp]*:  $\text{set } (\text{diff-list } xs\ ys) = \text{set } xs - \text{set } ys$   
**unfolding** *diff-list-def* **by** (*induction ys arbitrary: xs*) *auto*

**lemma** *diff-list-set-from-Nil[simp]*:  $\text{diff-list } []\ ys = []$   
**using** *last-in-set* **by** *fastforce*

**lemma** *diff-list-set-remove-Nil[simp]*:  $\text{diff-list } xs\ [] = xs$   
**unfolding** *diff-list-def* **by** (*induction xs*) *auto*

**lemma** *diff-list-rec*:  $\text{diff-list } (x \# xs)\ ys = (\text{if } x \in \text{set } ys \text{ then } \text{diff-list } xs\ ys \text{ else } x \# \text{diff-list } xs\ ys)$

**unfolding** *diff-list-def* **by** (*induction ys arbitrary: x xs*) *auto*

**lemma** *diff-list-order-irr*:  $\text{set } ys = \text{set } ys' \implies \text{diff-list } xs\ ys = \text{diff-list } xs\ ys'$

**proof** (*induction ys arbitrary: ys' xs*)

case *Nil*

then show ?case **by** *simp*

next

case (*Cons y ys*)

then show ?case

by (*induction xs arbitrary: y ys ys'*) (*simp-all add: diff-list-rec*)

qed

**lemma** *fold-Option-bind-eq-Some-start-not-None:*

*fold* ( $\lambda new\ option . Option.bind\ option\ (f\ new)$ ) *list* *start* = *Some res*  
 $\implies start \neq None$

**by** (*induction list arbitrary: start res*)

(*fastforce split: option.splits if-splits simp add: bind-eq-Some-conv*) $\+$

**lemma** *fold-Option-bind-eq-Some-at-point-not-None:*

*fold* ( $\lambda new\ option . Option.bind\ option\ (f\ new)$ ) (*l1@l2*) *start* = *Some res*  
 $\implies fold\ (\lambda new\ option . Option.bind\ option\ (f\ new))\ (l1)\ start \neq None$

**by** (*induction l1 arbitrary: start res l2*) (*use fold-Option-bind-eq-Some-start-not-None*  
**in**

$\langle fastforce\ split: option.splits\ if-splits\ simp\ add: bind-eq-Some-conv \rangle\+$

**lemma** *fold-Option-bind-eq-Some-start-not-None'*:

*fold* ( $\lambda(x,y)\ option . Option.bind\ option\ (f\ x\ y)$ ) *list* *start* = *Some res*  
 $\implies start \neq None$

**proof** (*induction list arbitrary: start res*)

**case** *Nil*

**then show** *?case*

**by** *simp*

**next**

**case** (*Cons a list*)

**then show** *?case*

**by** (*fastforce split: option.splits if-splits prod.splits simp add: bind-eq-Some-conv*)

**qed**

**lemma** *fold-Option-bind-eq-None-start-None:*

*fold* ( $\lambda(x,y)\ option . Option.bind\ option\ (f\ x\ y)$ ) *list* *None* = *None*

**by** (*induction list*) (*auto split: option.splits if-splits prod.splits*)

**lemma** *fold-Option-bind-at-some-point-None-eq-None:*

*fold* ( $\lambda(x,y)\ option . Option.bind\ option\ (f\ x\ y)$ ) *l1* *start* = *None*  $\implies$

*fold* ( $\lambda(x,y)\ option . Option.bind\ option\ (f\ x\ y)$ ) (*l1@l2*) *start* = *None*

**proof** (*induction l1 arbitrary: start l2*)

**case** *Nil*

**then show** *?case* **using** *fold-Option-bind-eq-Some-start-not-None'* **by** *fastforce*

**next**

**case** (*Cons a l1*)

**then show** *?case* **by** *simp*

**qed**

**lemma** *fold-Option-bind-eq-Some-at-each-point-Some:*

*fold* ( $\lambda(x,y)\ option . Option.bind\ option\ (f\ x\ y)$ ) (*l1@l2*) *start* = *Some res*

$\implies (\exists\ point . fold\ (\lambda(x,y)\ option . Option.bind\ option\ (f\ x\ y))\ l1\ start = Some\ point$

$\wedge fold\ (\lambda(x,y)\ option . Option.bind\ option\ (f\ x\ y))\ l2\ (Some\ point) = Some\ res)$

**proof** (*induction l1 arbitrary: start res l2*)

```

case Nil
then show ?case
  using fold-Option-bind-eq-Some-start-not-None' by fastforce
next
case (Cons a l1)
then show ?case by simp
qed

```

**lemma** *fold-Option-bind-eq-Some-at-each-point-Some'*:  
**assumes**  $\text{fold } (\lambda(x,y) \text{ option} . \text{Option.bind option } (f x y)) (xs@ys) \text{ start} = \text{Some res}$   
**obtains point where**  
 $\text{fold } (\lambda(x,y) \text{ option} . \text{Option.bind option } (f x y)) xs \text{ start} = \text{Some point}$  **and**  
 $\text{fold } (\lambda(x,y) \text{ option} . \text{Option.bind option } (f x y)) ys (\text{Some point}) = \text{Some res}$   
**using** *assms fold-Option-bind-eq-Some-at-each-point-Some* **by fast**

**corollary** *fold-Option-bind-eq-Some-at-point-not-None'*:  
 $\text{fold } (\lambda(x,y) \text{ option} . \text{Option.bind option } (f x y)) (l1@l2) \text{ start} = \text{Some res}$   
 $\implies \text{fold } (\lambda(x,y) \text{ option} . \text{Option.bind option } (f x y)) (l1) \text{ start} \neq \text{None}$   
**using** *fold-Option-bind-eq-Some-at-each-point-Some* **by fast**

**lemma** *fold-matches-first-step-not-None:*  
**assumes**  
 $\text{fold } (\lambda(T, U) \text{ subs} . \text{Option.bind subs } (f T U)) (\text{zip } (x\#xs) (y\#ys)) (\text{Some subs}) = \text{Some subs}'$   
**obtains point where**  
 $f x y \text{ subs} = \text{Some point}$   
 $\text{fold } (\lambda(T, U) \text{ subs} . \text{Option.bind subs } (f T U)) (\text{zip } (xs) (ys)) (\text{Some point}) = \text{Some subs}'$   
**using** *assms fold-Option-bind-eq-Some-start-not-None' not-None-eq* **by fastforce**

**lemma** *fold-matches-last-step-not-None:*  
**assumes**  
 $\text{length } xs = \text{length } ys$   
 $\text{fold } (\lambda(T, U) \text{ subs} . \text{Option.bind subs } (f T U)) (\text{zip } (xs@[x]) (ys@[y])) (\text{Some subs}) = \text{Some subs}'$   
**obtains point where**  
 $\text{fold } (\lambda(T, U) \text{ subs} . \text{Option.bind subs } (f T U)) (\text{zip } (xs) (ys)) (\text{Some subs}) = \text{Some point}$   
 $f x y \text{ point} = \text{Some subs}'$   
**using** *assms fold-Option-bind-eq-Some-at-each-point-Some'* **[where**  $xs = \text{zip } xs \ ys$   
**and**  $ys = [(x,y)]$   
**and**  $\text{start} = \text{Some subs}$  **and**  $\text{res} = \text{subs}'$  **and**  $f = f]$  **by auto**

**end**



### 3 Terms

Originally based on `~/src/Pure/term.ML`. Diverged substantially, but some influences are still visible. Further influences from `~/src/HOL/Proofs/Lambda/`.

```
theory Term
imports Main Core Preliminaries
begin
```

Collecting parts of `typs/terms` and more substitutions

```
fun tvsT :: typ  $\Rightarrow$  (variable  $\times$  sort) set where
  tvsT (Tv v S) = {(v,S)}
| tvsT (Ty - Ts) =  $\bigcup$ (set (map tvsT Ts))
```

```
fun tvs :: term  $\Rightarrow$  (variable  $\times$  sort) set where
  tvs (Ct - T) = tvsT T
| tvs (Fv - T) = tvsT T
| tvs (Bv -) = {}
| tvs (Abs T t) = tvsT T  $\cup$  tvs t
| tvs (t $ u) = tvs t  $\cup$  tvs u
```

```
abbreviation tvs-set S  $\equiv$   $\bigcup$  t $\in$ S . tvs t
```

```
lemma tvsT-tsubstT: tvsT (tsubstT  $\sigma$   $\rho$ ) =  $\bigcup$  {tvsT ( $\rho$  a s) | a s. (a, s)  $\in$  tvsT  $\sigma$ }
by (induction  $\sigma$ ) fastforce+
```

```
lemma tsubstT-cong:
  ( $\forall$  (v,S)  $\in$  tvsT  $\sigma$ .  $\rho1$  v =  $\rho2$  v)  $\implies$  tsubstT  $\sigma$   $\rho1$  = tsubstT  $\sigma$   $\rho2$ 
by (induction  $\sigma$ ) fastforce+
```

```
lemma tsubstT-ith: i < length Ts  $\implies$  map ( $\lambda$ T . tsubstT T  $\rho$ ) Ts ! i = tsubstT (Ts ! i)  $\rho$ 
by simp
```

```
lemma tsubstT-fun-typ-dist: tsubstT (T  $\rightarrow$  T1)  $\rho$  = tsubstT T  $\rho$   $\rightarrow$  tsubstT T1  $\rho$ 
by simp
```

```
fun subst :: term  $\Rightarrow$  (variable  $\Rightarrow$  typ  $\Rightarrow$  term)  $\Rightarrow$  term where
  subst (Ct s T)  $\rho$  = Ct s T
| subst (Fv v T)  $\rho$  =  $\rho$  v T
| subst (Bv i) - = Bv i
| subst (Abs T t)  $\rho$  = Abs T (subst t  $\rho$ )
| subst (t $ u)  $\rho$  = subst t  $\rho$  $ subst u  $\rho$ 
```

```
definition tinst t1 t2  $\equiv$   $\exists$   $\rho$ . tsubst t2  $\rho$  = t1
```

```
definition inst t1 t2  $\equiv$   $\exists$   $\rho$ . subst t2  $\rho$  = t1
```

**fun** *SortsT* :: *typ*  $\Rightarrow$  *sort set* **where**  
   *SortsT* (*Tv* - *S*) = {*S*}  
 | *SortsT* (*Ty* - *Ts*) = ( $\bigcup T \in \text{set } Ts . \text{SortsT } T$ )

**fun** *Sorts* :: *term*  $\Rightarrow$  *sort set* **where**  
   *Sorts* (*Ct* - *T*) = *SortsT* *T*  
 | *Sorts* (*Fv* - *T*) = *SortsT* *T*  
 | *Sorts* (*Bv* -) = {}  
 | *Sorts* (*Abs* *T* *t*) = *SortsT* *T*  $\cup$  *Sorts* *t*  
 | *Sorts* (*t* \$ *u*) = *Sorts* *t*  $\cup$  *Sorts* *u*

**fun** *Types* :: *term*  $\Rightarrow$  *typ set* **where**  
   *Types* (*Ct* - *T*) = {*T*}  
 | *Types* (*Fv* - *T*) = {*T*}  
 | *Types* (*Bv* -) = {}  
 | *Types* (*Abs* *T* *t*) = *insert* *T* (*Types* *t*)  
 | *Types* (*t* \$ *u*) = *Types* *t*  $\cup$  *Types* *u*

**abbreviation** *tvS-Set* *S*  $\equiv$   $\bigcup s \in S . \text{tvS } s$   
**abbreviation** *tvST-Set* *S*  $\equiv$   $\bigcup s \in S . \text{tvST } s$

**lemma** *finite-SortsT[simp]*: *finite* (*SortsT* *T*)  
**by** (*induction* *T*) *auto*

**lemma** *finite-Sorts[simp]*: *finite* (*Sorts* *t*)  
**by** (*induction* *t*) *auto*

**lemma** *finite-Types[simp]*: *finite* (*Types* *t*)  
**by** (*induction* *t*) *auto*

**lemma** *finite-tvST[simp]*: *finite* (*tvST* *T*)  
**by** (*induction* *T*) *auto*

**lemma** *no-tvST-imp-tvST-unchanged*: *tvST* *T* = {}  $\implies$  *tvsubstT* *T*  $\varrho$  = *T*  
**by** (*induction* *T*) (*auto simp add: map-idI*)

**lemma** *finite-fv[simp]*: *finite* (*fv* *t*)  
**by** (*induction* *t*) *auto*

**lemma** *finite-tvS[simp]*: *finite* (*tvS* *t*)  
**by** (*induction* *t*) *auto*

**lemma** *finite-FV*: *finite* *S*  $\implies$  *finite* (*FV* *S*)  
**by** (*induction* *S* *rule: finite-induct*) *auto*

**lemma** *finite-tvS-Set*: *finite* *S*  $\implies$  *finite* (*tvS-Set* *S*)  
**by** (*induction* *S* *rule: finite-induct*) *auto*

**lemma** *finite-tvST-Set*: *finite* *S*  $\implies$  *finite* (*tvST-Set* *S*)  
**by** (*induction* *S* *rule: finite-induct*) *auto*

**lemma** *no-tvS-imp-tvsubst-unchanged*: *tvS* *t* = {}  $\implies$  *tvsubst* *t*  $\varrho$  = *t*  
**by** (*induction* *t*) (*auto simp add: map-idI no-tvST-imp-tvST-unchanged*)

**lemma** *no-fv-imp-subst-unchanged*: *fv* *t* = {}  $\implies$  *subst* *t*  $\varrho$  = *t*  
**by** (*induction* *t*) (*auto simp add: map-idI*)

Functional(also executable) version of *has-typ*

```

fun typ-of1 :: typ list  $\Rightarrow$  term  $\Rightarrow$  typ option where
  typ-of1 - ( Ct - T ) = Some T
| typ-of1 Ts ( Bv i ) = ( if i < length Ts then Some ( nth Ts i ) else None )
| typ-of1 - ( Fv - T ) = Some T
| typ-of1 Ts ( Abs T body ) = Option.bind ( typ-of1 ( T#Ts ) body ) (  $\lambda x.$  Some ( T  $\rightarrow$ 
x ) )
| typ-of1 Ts ( t $ u ) = Option.bind ( typ-of1 Ts u ) (  $\lambda U.$  Option.bind ( typ-of1 Ts t )
(  $\lambda T.$ 
  case T of
    Ty fun [ T1, T2 ]  $\Rightarrow$  if fun = STR "fun" then
      if T1=U then Some T2 else None
      else None
    | -  $\Rightarrow$  None
  ) )

```

For historic reasons a lot of proofs/definitions are still in terms of *typ-of1* instead of *has-typ1*

**lemma** *has-typ1-weaken-Ts*: *has-typ1* *Ts* *t* *rT*  $\Longrightarrow$  *has-typ1* ( *Ts@[T]* ) *t* *rT*

**proof** (*induction arbitrary*: *rule*: *has-typ1.induct*)

**case** ( *2 i* *Ts* )

**hence** *has-typ1* ( *Ts @ [T]* ) ( *Bv* *i* ) ( ( *Ts@[T]* ) ! *i* )

**by** ( *auto intro*: *has-typ1.intros*(*2*) )

**then show** ?*case*

**by** ( *simp add*: *2.hyps nth-append* )

**qed** ( *auto intro*: *has-typ1.intros* ) **thm** *less-Suc-eq nth-butlast*

**lemma** *has-typ1-imp-typ-of1*: *has-typ1* *Ts* *t* *ty*  $\Longrightarrow$  *typ-of1* *Ts* *t* = *Some ty*

**by** ( *induction rule*: *has-typ1.induct* ) *auto*

**lemma** *typ-of1-imp-has-typ1*: *typ-of1* *Ts* *t* = *Some ty*  $\Longrightarrow$  *has-typ1* *Ts* *t* *ty*

**proof** (*induction t arbitrary*: *Ts ty*)

**case** ( *App* *t* *u* )

**from** *this* **obtain** *U* **where** *U*: *typ-of1* *Ts* *u* = *Some U* **by** *fastforce*

**from** *this* *App* **obtain** *T* **where** *T*: *typ-of1* *Ts* *t* = *Some T* **by** *fastforce*

**from** *U T App* **obtain** *T2* **where** *T* = *Ty STR "fun"* [ *U*, *T2* ]

**by** ( *auto simp add*: *bind-eq-Some-conv intro!*: *has-typ1.intros*

*split*: *if-splits typ.splits list.splits* )

**from** *this* *U T* **show** ?*case* **using** *App* **by** ( *auto intro!*: *has-typ1.intros*(*5*) )

**qed** ( *auto simp add*: *bind-eq-Some-conv intro!*: *has-typ1.intros split*: *if-splits* )

**corollary** *has-typ1-iff-typ-of1[iff]*: *has-typ1* *Ts* *t* *ty*  $\longleftrightarrow$  *typ-of1* *Ts* *t* = *Some ty*

**using** *has-typ1-imp-typ-of1 typ-of1-imp-has-typ1* **by** *blast*

**corollary** *has-typ-iff-typ-of[iff]*: *has-typ* *t* *ty*  $\longleftrightarrow$  *typ-of* *t* = *Some ty*

**by** ( *force simp add*: *has-typ-def typ-of-def* )

**corollary** *typ-of-imp-has-typ*: *typ-of* *t* = *Some ty*  $\Longrightarrow$  *has-typ* *t* *ty*

**by** *simp*

**lemma** *typ-of1-weaken-Ts*:  $\text{typ-of1 } Ts \ t = \text{Some } ty \implies \text{typ-of1 } (Ts@[T]) \ t = \text{Some } ty$

**using** *has-typ1-weaken-Ts* **by** *simp*

**lemma** *typ-of1-weaken*:

**assumes**  $\text{typ-of1 } Ts \ t = \text{Some } T$

**shows**  $\text{typ-of1 } (Ts@[Ts']) \ t = \text{Some } T$

**using** *assms* **by** (*induction*  $Ts \ t$  *arbitrary*:  $Ts' \ T$  *rule*: *typ-of1.induct*)

(*auto split*: *if-splits simp add*: *nth-append bind-eq-Some-conv*)

**lemma** *has-typ1-tsubst*:

$\text{has-typ1 } Ts \ t \ T \implies \text{has-typ1 } (\text{map } (\lambda T. \text{tsubstT } T \ \varrho) \ Ts) \ (\text{tsubst } t \ \varrho) \ (\text{tsubstT } T \ \varrho)$

**proof** (*induction rule*: *has-typ1.induct*)

**case** ( $2 \ i \ Ts$ )

**then show** *?case* **using** *tsubstT-ith* **by** (*metis* *has-typ1.intros(2) length-map tsubst.simps(3)*)

**qed** (*auto simp add*: *tsubstT-fun-typ-dist intro*: *has-typ1.intros*)

**corollary** *has-typ1-unique*:

**assumes**  $\text{has-typ1 } \tau s \ t \ \tau 1$  **and**  $\text{has-typ1 } \tau s \ t \ \tau 2$  **shows**  $\tau 1 = \tau 2$

**using** *assms*

**by** (*metis* *has-typ1-imp-typ-of1 option.inject*)

**hide-fact** *typ-of-def*

**lemma** *typ-of-def*:  $\text{typ-of } t \equiv \text{typ-of1 } [] \ t$

**by** (*smt* *has-typ1-iff-typ-of1 has-typ-def has-typ-iff-typ-of not-None-eq*)

Loose bound variables

**fun** *loose-bvar* ::  $\text{term} \Rightarrow \text{nat} \Rightarrow \text{bool}$  **where**

*loose-bvar* ( $Bv \ i$ )  $k \longleftrightarrow i \geq k$

| *loose-bvar* ( $t \ \$ \ u$ )  $k \longleftrightarrow \text{loose-bvar } t \ k \vee \text{loose-bvar } u \ k$

| *loose-bvar* ( $Abs \ - \ t$ )  $k = \text{loose-bvar } t \ (k+1)$

| *loose-bvar*  $-- = \text{False}$

**fun** *loose-bvar1* ::  $\text{term} \Rightarrow \text{nat} \Rightarrow \text{bool}$  **where**

*loose-bvar1* ( $Bv \ i$ )  $k \longleftrightarrow i = k$

| *loose-bvar1* ( $t \ \$ \ u$ )  $k \longleftrightarrow \text{loose-bvar1 } t \ k \vee \text{loose-bvar1 } u \ k$

| *loose-bvar1* ( $Abs \ - \ t$ )  $k = \text{loose-bvar1 } t \ (k+1)$

| *loose-bvar1*  $-- = \text{False}$

**lemma** *loose-bvar1-imp-loose-bvar*:  $\text{loose-bvar1 } t \ n \implies \text{loose-bvar } t \ n$

**by** (*induction*  $t$  *arbitrary*:  $n$ ) *auto*

**lemma** *not-loose-bvar-imp-not-loose-bvar1*:  $\neg \text{loose-bvar } t \ n \implies \neg \text{loose-bvar1 } t \ n$

**by** (*induction*  $t$  *arbitrary*:  $n$ ) *auto*

**lemma** *loose-bvar-iff-exist-loose-bvar1*:  $\text{loose-bvar } t \text{ lev} \longleftrightarrow (\exists \text{lev}' \geq \text{lev}. \text{loose-bvar1 } t \text{ lev}')$   
**by** (*induction t arbitrary: lev*) (*auto dest: Suc-le-D*)

**definition** *is-open*  $t \equiv \text{loose-bvar } t \ 0$

**abbreviation** *is-closed*  $t \equiv \neg \text{is-open } t$

**definition** *is-dependent*  $t \equiv \text{loose-bvar1 } t \ 0$

**lemma** *loose-bvar-Suc*:  $\text{loose-bvar } t \ (\text{Suc } k) \Longrightarrow \text{loose-bvar } t \ k$   
**by** (*induction t arbitrary: k*) *auto*

**lemma** *loose-bvar-leq*:  $k \geq p \Longrightarrow \text{loose-bvar } t \ k \Longrightarrow \text{loose-bvar } t \ p$   
**by** (*induction rule: inc-induct*) (*use loose-bvar-Suc in auto*)

**lemma** *has-typ1-imp-no-loose-bvar*:  $\text{has-typ1 } Ts \ t \ ty \Longrightarrow \neg \text{loose-bvar } t \ (\text{length } Ts)$   
**by** (*induction rule: has-typ1.induct*) *auto*

**corollary** *has-typ-imp-closed*:  $\text{has-typ } t \ ty \Longrightarrow \neg \text{is-open } t$

**unfolding** *is-open-def has-typ-def using has-typ1-imp-no-loose-bvar by fastforce*

**corollary** *typ-of-imp-closed*:  $\text{typ-of } t = \text{Some } ty \Longrightarrow \neg \text{is-open } t$   
**by** (*simp add: has-typ-imp-closed*)

Subterms

**fun** *exists-subterm* ::  $(\text{term} \Rightarrow \text{bool}) \Rightarrow \text{term} \Rightarrow \text{bool}$  **where**  
*exists-subterm*  $P \ t \longleftrightarrow P \ t \vee (\text{case } t \text{ of}$   
 $(t \ \$ \ u) \Rightarrow \text{exists-subterm } P \ t \vee \text{exists-subterm } P \ u$   
 $| \text{Abs } ty \ \text{body} \Rightarrow \text{exists-subterm } P \ \text{body}$   
 $| - \Rightarrow \text{False})$

**fun** *exists-subterm'* ::  $(\text{term} \Rightarrow \text{bool}) \Rightarrow \text{term} \Rightarrow \text{bool}$  **where**  
*exists-subterm'*  $P \ (t \ \$ \ u) \longleftrightarrow P \ (t \ \$ \ u) \vee \text{exists-subterm}' \ P \ t \vee \text{exists-subterm}'$   
 $P \ u$   
 $| \text{exists-subterm}' \ P \ (\text{Abs } ty \ \text{body}) \longleftrightarrow P \ (\text{Abs } ty \ \text{body}) \vee \text{exists-subterm}' \ P \ \text{body}$   
 $| \text{exists-subterm}' \ P \ t \longleftrightarrow P \ t$

**lemma** *exists-subterm-iff-exists-subterm'*:  $\text{exists-subterm } P \ t \longleftrightarrow \text{exists-subterm}' \ P \ t$   
**by** (*induction t*) *auto*

**lemma** *exists-subterm*  $(\lambda t. t = \text{Fv } idx \ T) \ t \longleftrightarrow (idx, T) \in \text{fv } t$   
**by** (*induction t*) *auto*

**abbreviation** *occs*  $t \ u \equiv \text{exists-subterm } (\lambda s. t = s) \ u$

**lemma** *occs-Fv-eq-elem-fv*:  $\text{occs } (\text{Fv } v \ S) \ t \longleftrightarrow (v, S) \in \text{fv } t$   
**by** (*induction t*) *auto*

**lemma** *bind-fv2-unchanged*:

$\neg \text{loose-bvar } tm \text{ lev} \implies \text{bind-fv2 } v \text{ lev } tm = tm \implies v \notin \text{fv } tm$

**by** (*induction v lev tm rule: bind-fv2.induct*) *auto*

**lemma** *bind-fv2-unchanged'*:

$\neg \text{loose-bvar } tm \text{ lev} \implies \text{bind-fv2 } v \text{ lev } tm = tm \implies \neg \text{occs } (\text{case-prod } Fv \ v) \ tm$

**by** (*induction v lev tm rule: bind-fv2.induct*) *auto*

**lemma** *bind-fv2-changed*:

$\text{bind-fv2 } v \text{ lev } tm \neq tm \implies v \in \text{fv } tm$

**by** (*induction v lev tm rule: bind-fv2.induct*) (*auto split: if-splits*)

**lemma** *bind-fv2-changed'*:

$\text{bind-fv2 } v \text{ lev } tm \neq tm \implies \text{occs } (\text{case-prod } Fv \ v) \ tm$

**by** (*induction v lev tm rule: bind-fv2.induct*) (*auto split: if-splits*)

**corollary** *bind-fv-changed*:  $\text{bind-fv } v \ tm \neq tm \implies v \in \text{fv } tm$

**unfolding** *is-open-def bind-fv-def* **using** *bind-fv2-changed* **by** *simp*

**corollary** *bind-fv-changed'*:  $\text{bind-fv } v \ tm \neq tm \implies \text{occs } (\text{case-prod } Fv \ v) \ tm$

**unfolding** *is-open-def bind-fv-def* **using** *bind-fv2-changed'* **by** *simp*

**corollary** *bind-fv-unchanged*:  $(x, \tau) \notin \text{fv } t \implies \text{bind-fv } (x, \tau) \ t = t$

**using** *bind-fv-changed* **by** *auto*

**inductive-cases** *has-typ1-app-elim*:  $\text{has-typ1 } Ts \ (t \ \$ \ u) \ R$

**lemma** *has-typ1-arg-typ*:  $\text{has-typ1 } Ts \ (t \ \$ \ u) \ R \implies \text{has-typ1 } Ts \ u \ U \implies \text{has-typ1 } Ts \ t \ (U \rightarrow R)$

**using** *has-typ1-app-elim*

**by** (*metis has-typ1-imp-typ-of1 option.inject typ-of1-imp-has-typ1*)

**lemma** *has-typ1-fun-typ*:  $\text{has-typ1 } Ts \ (t \ \$ \ u) \ R \implies \text{has-typ1 } Ts \ t \ (U \rightarrow R) \implies \text{has-typ1 } Ts \ u \ U$

**by** (*cases rule: has-typ1-app-elim*[of *Ts t u R has-typ1 Ts u U*]) (*use has-typ1-unique in auto*)

**lemma** *typ-of1-arg-typ*:

$\text{typ-of1 } Ts \ (t \ \$ \ u) = \text{Some } R \implies \text{typ-of1 } Ts \ u = \text{Some } U \implies \text{typ-of1 } Ts \ t = \text{Some } (U \rightarrow R)$

**using** *has-typ1-iff-typ-of1 has-typ1-arg-typ* **by** *simp*

**corollary** *typ-of-arg*:  $\text{typ-of } (t\$u) = \text{Some } R \implies \text{typ-of } u = \text{Some } T \implies \text{typ-of } t = \text{Some } (T \rightarrow R)$

**by** (*metis typ-of1-arg-typ typ-of-def*)

**lemma** *typ-of1-fun-typ*:

$\text{typ-of1 } Ts \ (t \ \$ \ u) = \text{Some } R \implies \text{typ-of1 } Ts \ t = \text{Some } (U \rightarrow R) \implies \text{typ-of1 } Ts \ u = \text{Some } U$

**using** *has-typ1-iff-typ-of1 has-typ1-fun-typ* **by** *blast*

**corollary** *typ-of-fun*:  $\text{typ-of } (t\$u) = \text{Some } R \implies \text{typ-of } t = \text{Some } (U \rightarrow R) \implies \text{typ-of } u = \text{Some } U$

by (metis typ-of1-fun-tyt typ-of-def)

**lemma** *typ-of-eta-expand*:  $\text{typ-of } f = \text{Some } (\tau \rightarrow \tau') \implies \text{typ-of } (\text{Abs } \tau (f \ \$ \ \text{Bv } 0)) = \text{Some } (\tau \rightarrow \tau')$   
using *typ-of1-weaken* by (fastforce simp add: bind-eq-Some-conv typ-of-def)

**lemma** *bind-fv2-preserves-type*:  
assumes *typ-of1*  $Ts \ t = \text{Some } ty$   
shows *typ-of1*  $(Ts@[T]) \ (\text{bind-fv2 } (v, T) \ (\text{length } Ts) \ t) = \text{Some } ty$   
using *assms* by (induction  $(v, T) \ \text{length } Ts \ t$  arbitrary:  $T \ Ts \ ty$  rule: *bind-fv2.induct*)  
(force simp add: bind-eq-Some-conv nth-append split: if-splits)+

**lemma** *typ-of-Abs-bind-fv*:  
assumes *typ-of*  $A = \text{Some } ty$   
shows *typ-of*  $(\text{Abs } bT \ (\text{bind-fv } (v, bT) \ A)) = \text{Some } (bT \rightarrow ty)$   
using *bind-fv2-preserves-type* *bind-fv-def* *assms* *typ-of-def* by fastforce

**corollary** *typ-of-Abs-fv*:  
assumes *typ-of*  $A = \text{Some } ty$   
shows *typ-of*  $(\text{Abs-fv } v \ bT \ A) = \text{Some } (bT \rightarrow ty)$   
using *assms* *typ-of-Abs-bind-fv* *typ-of-def* by simp

**lemma** *typ-of-mk-all*:  
assumes *typ-of*  $A = \text{Some } \text{prop}T$   
shows *typ-of*  $(\text{mk-all } x \ ty \ A) = \text{Some } \text{prop}T$   
using *typ-of-Abs-bind-fv*[*OF* *assms*, *of ty*] by (auto simp add: *typ-of-def*)

**fun** *incr-bv* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{term} \Rightarrow \text{term}$  **where**  
  *incr-bv* *inc*  $n \ (\text{Bv } i) = (\text{if } i \geq n \ \text{then } \text{Bv } (i+\text{inc}) \ \text{else } \text{Bv } i)$   
  | *incr-bv* *inc*  $n \ (\text{Abs } T \ \text{body}) = \text{Abs } T \ (\text{incr-bv } \text{inc } (n+1) \ \text{body})$   
  | *incr-bv* *inc*  $n \ (\text{App } f \ t) = \text{App } (\text{incr-bv } \text{inc } n \ f) \ (\text{incr-bv } \text{inc } n \ t)$   
  | *incr-bv* - -  $u = u$

**lemma** *lift-def*:  $\text{lift } t \ n = \text{incr-bv } 1 \ n \ t$   
by (induction  $t \ n$  rule: *lift.induct*) auto

**declare** *lift.simps*[*simp del*]  
**declare** *lift-def*[*simp*]

**definition** *incr-boundvars*  $\text{inc } t = \text{incr-bv } \text{inc } 0 \ t$

**fun** *decr* ::  $\text{nat} \Rightarrow \text{term} \Rightarrow \text{term}$  **where**  
  *decr* *lev*  $(\text{Bv } i) = (\text{if } i \geq \text{lev} \ \text{then } \text{Bv } (i - 1) \ \text{else } \text{Bv } i)$   
  | *decr* *lev*  $(\text{Abs } T \ t) = \text{Abs } T \ (\text{decr } (\text{lev} + 1) \ t)$   
  | *decr* *lev*  $(t \ \$ \ u) = (\text{decr } \text{lev } t \ \$ \ \text{decr } \text{lev } u)$   
  | *decr* -  $t = t$

**lemma** *incr-bv-0*[*simp*]:  $\text{incr-bv } 0 \ \text{lev } t = t$

**by** (*induction t arbitrary: lev*) *auto*

**lemma** *loose-bvar-incr-bvar*:  $loose-bvar\ t\ lev \iff loose-bvar\ (incr-bv\ inc\ lev\ t)$   
*(lev+inc)*  
**by** (*induction t arbitrary: inc lev*) *force+*

**lemma** *no-loose-bvar-no-incr*[*simp*]:  $\neg\ loose-bvar\ t\ lev \implies incr-bv\ inc\ lev\ t = t$   
**by** (*induction t arbitrary: inc lev*) *auto*

**lemma** *is-close-no-incr-boundvars*[*simp*]:  $is-closed\ t \implies incr-boundvars\ inc\ t = t$   
**using** *no-loose-bvar-no-incr* **by** (*simp add: incr-boundvars-def is-open-def*)

**lemma** *fv-incr-bv* [*simp*]:  $fv\ (incr-bv\ inc\ lev\ t) = fv\ t$   
**by** (*induction inc lev t rule: incr-bv.induct*) *auto*

**lemma** *fv-incr-boundvars* [*simp*]:  $fv\ (incr-boundvars\ inc\ t) = fv\ t$   
**by** (*simp add: incr-boundvars-def*)

**lemma** *loose-bvar-decr*:  $\neg\ loose-bvar\ t\ k \implies \neg\ loose-bvar\ (decr\ k\ t)\ k$   
**by** (*induction t k rule: loose-bvar.induct*) *auto*

**lemma** *loose-bvar-decr-unchanged*[*simp*]:  $\neg\ loose-bvar\ t\ k \implies decr\ k\ t = t$   
**by** (*induction t k rule: loose-bvar.induct*) *auto*

**lemma** *is-closed-decr-unchanged*[*simp*]:  $is-closed\ t \implies decr\ 0\ t = t$   
**by** (*simp add: is-open-def*)

**fun** *subst-bv1* :: *term*  $\Rightarrow$  *nat*  $\Rightarrow$  *term*  $\Rightarrow$  *term* **where**  
*subst-bv1* (*Bv i*) *lev u* = (*if i < lev then Bv i*  
*else if i = lev then (incr-boundvars lev u)*  
*else (Bv (i - 1))*)  
| *subst-bv1* (*Abs T body*) *lev u* = *Abs T (subst-bv1 body (lev + 1) u)*  
| *subst-bv1* (*f \$ t*) *lev u* = *subst-bv1 f lev u \$ subst-bv1 t lev u*  
| *subst-bv1 t - -* = *t*

**lemma** *incr-bv-combine*:  $incr-bv\ m\ k\ (incr-bv\ n\ k\ s) = incr-bv\ (m+n)\ k\ s$   
**by** (*induction s arbitrary: k*) *auto*

**lemma** *substn-subst-n* :  $subst-bv1\ t\ n\ s = subst-bv2\ t\ n\ (incr-bv\ n\ 0\ s)$   
**by** (*induct t arbitrary: n*) (*auto simp add: incr-boundvars-def incr-bv-combine*)

**theorem** *substn-subst-0*:  $subst-bv1\ t\ 0\ s = subst-bv2\ t\ 0\ s$   
**by** (*simp add: substn-subst-n*)

**corollary** *substn-subst-0'*:  $subst-bv\ s\ t = subst-bv2\ t\ 0\ s$   
**using** *subst-bv-def substn-subst-0* **by** *simp*

**lemma** *subst-bv2-eq* [*simp*]:  $subst-bv2\ (Bv\ k)\ k\ u = u$   
**by** (*simp add:*)

**lemma** *subst-bv2-gt* [*simp*]:  $i < j \implies subst-bv2\ (Bv\ j)\ i\ u = Bv\ (j - 1)$   
**by** (*simp add:*)



**lemma** *subst-bv2-subst-lt* [*simp*]:  $j < i \implies \text{subst-bv2 } (Bv\ j)\ i\ u = Bv\ j$   
**by** (*simp add*:)

**lemma** *lift-lift*:  
 $i < k + 1 \implies \text{lift } (\text{lift } t\ i)\ (Suc\ k) = \text{lift } (\text{lift } t\ k)\ i$   
**by** (*induct t arbitrary: i k auto*)

**lemma** *lift-subst* [*simp*]:  
 $j < i + 1 \implies \text{lift } (\text{subst-bv2 } t\ j\ s)\ i = \text{subst-bv2 } (\text{lift } t\ (i + 1))\ j\ (\text{lift } s\ i)$   
**proof** (*induction t arbitrary: i j s*)  
**case** (*Abs T t*)  
**then show** *?case*  
**by** (*simp-all add: diff-Suc lift-lift split: nat.split*)  
(*metis One-nat-def Suc-eq-plus1 lift-def lift-lift zero-less-Suc*)  
**qed** (*simp-all add: diff-Suc lift-lift split: nat.split*)

**lemma** *lift-subst-bv2-subst-lt*:  
 $i < j + 1 \implies \text{lift } (\text{subst-bv2 } t\ j\ s)\ i = \text{subst-bv2 } (\text{lift } t\ i)\ (j + 1)\ (\text{lift } s\ i)$   
**proof** (*induction t arbitrary: i j s*)  
**case** (*Abs x1 t*)  
**then show** *?case*  
**using** *lift-lift by force*  
**qed** (*auto simp add: lift-lift*)

**lemma** *subst-bv2-lift* [*simp*]:  
 $\text{subst-bv2 } (\text{lift } t\ k)\ k\ s = t$   
**by** (*induct t arbitrary: k s simp-all*)

**lemma** *subst-bv2-subst-bv2*:  
 $i < j + 1 \implies \text{subst-bv2 } (\text{subst-bv2 } t\ (Suc\ j)\ (\text{lift } v\ i))\ i\ (\text{subst-bv2 } u\ j\ v)$   
 $= \text{subst-bv2 } (\text{subst-bv2 } t\ i\ u)\ j\ v$   
**proof** (*induction t arbitrary: i j u v*)  
**case** (*Abs s T t*)  
**then show** *?case*  
**by** (*smt Suc-mono add.commute lift-lift lift-subst-bv2-subst-lt plus-1-eq-Suc*  
*subst-bv2.simps(2) zero-less-Suc*)  
**qed** (*use subst-bv2-lift in <auto simp add: diff-Suc lift-lift [symmetric] lift-subst-bv2-subst-lt*  
*split: nat.split>*)

**hide-fact** (**open**) *subst-bv-def*

**lemma** *subst-bv-def*:  $\text{subst-bv } u\ t \equiv \text{subst-bv1 } t\ 0\ u$   
**by** (*simp add: substn-subst-0' substn-subst-n*)

**fun** *subst-bvs1* :: *term*  $\Rightarrow$  *nat*  $\Rightarrow$  *term list*  $\Rightarrow$  *term* **where**  
*subst-bvs1* (*Bv n*) *lev args* = (*if n < lev*  
*then Bv n*)

else if  $n - lev < length\ args$   
 then  $incr-boundvars\ lev\ (nth\ args\ (n-lev))$   
 else  $Bv\ (n - length\ args)$   
 |  $subst-bvs1\ (Abs\ T\ body)\ lev\ args = Abs\ T\ (subst-bvs1\ body\ (lev+1)\ args)$   
 |  $subst-bvs1\ (f\ \$\ t)\ lev\ args = subst-bvs1\ f\ lev\ args\ \$\ subst-bvs1\ t\ lev\ args$   
 |  $subst-bvs1\ t\ -\ - = t$

**definition**  $subst-bvs\ args\ t \equiv subst-bvs1\ t\ 0\ args$

**lemma**  $subst-bvs-App[simp]$ :  $subst-bvs\ args\ (s\$t) = subst-bvs\ args\ s\ \$\ subst-bvs\ args\ t$   
**by** (*auto simp add: subst-bvs-def*)

**lemma**  $subst-bv1-special-case-subst-bvs1$ :  $subst-bvs1\ t\ lev\ [x] = subst-bv1\ t\ lev\ x$   
**by** (*induction t lev [x] arbitrary: x rule: subst-bvs1.induct*) *auto*

**lemma**  $no-loose-bvar-imp-no-subst-bv1$ :  $\neg loose-bvar\ t\ lev \implies subst-bv1\ t\ lev\ u = t$   
**by** (*induction t arbitrary: lev*) *auto*

**lemma**  $no-loose-bvar-imp-no-subst-bvs1$ :  $\neg loose-bvar\ t\ lev \implies subst-bvs1\ t\ lev\ us = t$   
**by** (*induction t arbitrary: lev*) *auto*

**lemma**  $subst-bvs1-step$ :  
**assumes**  $\neg loose-bvar\ t\ lev$   
**shows**  $subst-bvs1\ t\ lev\ (args@[u]) = subst-bv1\ (subst-bvs1\ t\ lev\ args)\ lev\ u$   
**using** *assms* **by** (*induction t arbitrary: lev args u*) *auto*

**corollary**  $closed-subst-bv-no-change$ :  $is-closed\ t \implies subst-bv\ u\ t = t$   
**unfolding**  $is-open-def\ subst-bv-def\ no-loose-bvar-imp-no-subst-bv1$  **by** *simp*

**lemma**  $is-variable-imp-incr-bv-unchanged$ :  $incr-bv\ inc\ lev\ (Fv\ v\ T) = (Fv\ v\ T)$   
**by** *simp*

**lemma**  $is-variable-imp-incr-boundvars-unchanged$ :  $incr-boundvars\ inc\ (Fv\ v\ T) = (Fv\ v\ T)$   
**using**  $is-variable-imp-incr-bv-unchanged\ incr-boundvars-def$  **by** *simp*

**lemma**  $loose-bvar-subst-bv1$ :  
 $\neg loose-bvar\ (subst-bv1\ t\ lev\ u)\ lev \implies \neg loose-bvar\ t\ (Suc\ lev)$   
**by** (*induction t lev u rule: subst-bv1.induct*) *auto*

**lemma**  $is-closed-subst-bv$ :  $is-closed\ (subst-bv\ u\ t) \implies \neg loose-bvar\ t\ 1$   
**by** (*simp add: is-open-def loose-bvar-subst-bv1 subst-bv-def*)

**lemma**  $subst-bv1-bind-fv2$ :  
**assumes**  $\neg loose-bvar\ t\ lev$   
**shows**  $subst-bv1\ (bind-fv2\ (v,\ T)\ lev\ t)\ lev\ (Fv\ v\ T) = t$   
**using** *assms* **by** (*induction t arbitrary: lev*) (*use is-variable-imp-incr-boundvars-unchanged in auto*)

**corollary** *subst-bv-bind-fv*:  
**assumes** *is-closed t*  
**shows** *subst-bv (Fv v T) (bind-fv (v, T) t) = t*  
**unfolding** *bind-fv-def subst-bv-def* **using** *assms subst-bv1-bind-fv2 is-open-def*  
**by** *blast*

**fun** *betapply* :: *term*  $\Rightarrow$  *term*  $\Rightarrow$  *term* (**infixl**  $\langle \cdot \rangle$  52) **where**  
*betapply (Abs - t) u = subst-bv u t*  
| *betapply t u = t \$ u*

**lemma** *betapply-Abs-fv*:  
**assumes** *is-closed t*  
**shows** *betapply (Abs-fv v T t) (Fv v T) = t*  
**using** *assms subst-bv-bind-fv* **by** *simp*

**lemma** *typ-of1-imp-no-loose-bvar*: *typ-of1 Ts t = Some ty  $\implies$   $\neg$  loose-bvar t*  
(*length Ts*)  
**by** (*simp add: has-typ1-imp-no-loose-bvar*)

**lemma** *typ-of1-subst-bv*:  
**assumes** *typ-of1 (Ts@[uty]) f = Some fty*  
**and** *typ-of u = Some uty*  
**shows** *typ-of1 Ts (subst-bv1 f (length Ts) u) = Some fty*  
**using** *assms*  
**proof** (*induction f length Ts u arbitrary: uty fty Ts rule: subst-bv1.induct*)  
**case** (1 *i arg*)  
**then show** *?case*  
**using** *no-loose-bvar-no-incr typ-of1-imp-no-loose-bvar typ-of1-weaken*  
**by** (*force simp add: bind-eq-Some-conv incr-boundvars-def nth-append typ-of-def*  
*split: if-splits*)  
**next**  
**case** (2 *a T body arg*)  
**then show** *?case*  
**by** (*simp add: bind-eq-Some-conv typ-of-def*) (*smt append-Cons bind-eq-Some-conv*  
*length-Cons*)  
**qed** (*auto simp add: bind-eq-Some-conv*)

**lemma** *typ-of1-split-App*:  
*typ-of1 Ts (t \$ u) = Some ty  $\implies$  ( $\exists$  *uty* . *typ-of1 Ts t = Some (uty  $\rightarrow$  ty)  $\wedge$*   
*typ-of1 Ts u = Some uty)*  
**by** (*metis (no-types, lifting) bind.bind-lzero the-default.elims typ-of1.simps(5)*  
*typ-of1-arg-typ*)*

**corollary** *typ-of1-split-App-obtains*:  
**assumes** *typ-of1 Ts (t \$ u) = Some ty*  
**obtains** *uty* **where** *typ-of1 Ts t = Some (uty  $\rightarrow$  ty) typ-of1 Ts u = Some uty*  
**using** *typ-of1-split-App assms* **by** *blast*

**lemma** *typ-of1-incr-bv*:  
**assumes** *typ-of1 Ts t = Some ty*  
**and** *lev ≤ length Ts*  
**shows** *typ-of1 (take lev Ts @ Ts' @ drop lev Ts) (incr-bv (length Ts') lev t) = Some ty*  
**using** *assms by (induction t arbitrary: ty Ts Ts' lev)*  
*(fastforce simp add: nth-append bind-eq-Some-conv min-def split: if-splits)+*

**corollary** *typ-of1-incr-bv-lev0*:  
**assumes** *typ-of1 Ts t = Some ty*  
**shows** *typ-of1 (Ts' @ Ts) (incr-bv (length Ts') 0 t) = Some ty*  
**using** *assms typ-of1-incr-bv[where lev=0] by simp*

**lemma** *typ-of1-subst-bv-gen*:  
**assumes** *typ-of1 (Ts'@[uty]@Ts) t = Some tty* **and** *typ-of1 Ts u = Some uty*  
**shows** *typ-of1 (Ts' @ Ts) (subst-bv1 t (length Ts') u) = Some tty*  
**using** *assms*  
**proof** *(induction t length Ts' u arbitrary: tty uty Ts Ts' rule: subst-bv1.induct)*  
**next**  
**case** *(2 a T body arg)*  
**then show** *?case*  
**by** *(simp add: bind-eq-Some-conv) (metis append-Cons length-Cons)*  
**qed** *(auto simp add: bind-eq-Some-conv nth-append incr-boundvars-def typ-of1-incr-bv-lev0 split: if-splits)*

**lemma** *typ-of1-subst-bv-gen-depre*:  
**assumes** *typ-of1 (Ts'@Ts) f = Some (fty)*  
**and** *typ-of1 (Ts) u = Some uty*  
**and** *last Ts' = uty* **and** *Ts' ≠ []*  
**shows** *typ-of1 (butlast Ts' @ Ts) (subst-bv1 f (length Ts' - 1) u) = Some fty*  
**using** *assms*  
**proof** *(induction f length Ts' u arbitrary: fty uty Ts Ts' rule: subst-bv1.induct)*  
**case** *(1 i arg)*  
**from** *1* **consider** *(LT) (length Ts' - 1) < i | (EQ) (length Ts' - 1) = i | (GT)*  
*(length Ts' - 1) > i*  
**using** *linorder-neqE-nat* **by** *blast*  
**then show** *?case*  
**by** *cases (metis 1.prem1 append-assoc append-butlast-last-id length-butlast typ-of1-subst-bv-gen)+*  
**next**  
**case** *(2 a T body arg)*  
**then show** *?case*  
**by** *(metis append.assoc append-butlast-last-id length-butlast typ-of1-subst-bv-gen)*  
**next**  
**case** *(3 f t arg)*  
**then show** *?case*  
**by** *(auto simp add: bind-eq-Some-conv nth-append incr-boundvars-def subst-bv-def*  
*split: if-splits)*

**qed** *auto*

**corollary** *typ-of1-subst-bv-gen'*:

**assumes** *typ-of1 (uty#Ts) t = Some tty*  
**and** *typ-of1 Ts u = Some uty*  
**shows** *typ-of1 Ts (subst-bv1 t 0 u) = Some tty*  
**using** *assms typ-of1-subst-bv-gen*  
**by** (*metis append.left-neutral append-Cons list.size(3)*)

**lemma** *typ-of-betaapply*:

**assumes** *typ-of1 Ts (Abs uty t) = Some (uty → tty)*  
**assumes** *typ-of1 Ts u = Some uty*  
**shows** *typ-of1 Ts ((Abs uty t) · u) = Some tty*  
**using** *assms typ-of1-subst-bv-gen'*  
**by** (*auto simp add: bind-eq-Some-conv subst-bv-def*)

**lemma** *no-Bv-Type-param-irrelevant-typ-of*:

**¬exists-subterm** ( $\lambda x . \text{case } x \text{ of } Bv - \Rightarrow \text{True} \mid - \Rightarrow \text{False}$ ) *t*  
**⇒** *typ-of1 Ts t = typ-of1 Ts' t*  
**by** (*induction t arbitrary: Ts Ts' (simp-all, metis+)*)

**lemma** *typ-of1-drop-extra-bounds*:

**¬loose-bvar** *t (length Ts)*  
**⇒** *typ-of1 (Ts@rest) t = typ-of1 Ts t*  
**by** (*induction Ts t arbitrary: rest rule: typ-of1.induct (fastforce simp add: nth-append)+*)

**lemma** *typ-of-betaapply*:

**assumes** *typ-of t = Some (uty → tty) typ-of u = Some uty*  
**shows** *typ-of (t · u) = Some tty*  
**proof** (*cases t*)  
**case** (*Abs T t*)  
**then show** *?thesis*  
**proof** (*cases is-open t*)  
**case** *True*  
**then show** *?thesis*  
**unfolding** *is-open-def* **using** *assms Abs typ-of1-subst-bv*  
**apply** (*simp add: bind-eq-Some-conv subst-bv-def typ-of-def*)  
**by** (*metis append-Nil list.size(3) typ-of-def*)  
**next**  
**case** *False*  
**hence** *typ-of1 [uty] t = Some tty* **using** *assms(1)*  
**by** (*auto simp add: bind-eq-Some-conv typ-of-def is-open-def Abs*)  
  
**then show** *?thesis*  
**using** *assms False no-loose-bvar-imp-no-subst-bv1*  
**apply** (*simp add: bind-eq-Some-conv typ-of-def is-open-def subst-bv-def Abs*)  
**using** *no-Bv-Type-param-irrelevant-typ-of*  
**using** *typ-of1-drop-extra-bounds*  
**by** (*metis list.size(3) self-append-conv2*)

```

qed
qed (use assms in ‹simp-all add: typ-of-def›)

fun beta-reducible :: term  $\Rightarrow$  bool where
  beta-reducible (App (Abs - -) -) = True
| beta-reducible (Abs - t) = beta-reducible t
| beta-reducible (App t u) = (beta-reducible t  $\vee$  beta-reducible u)
| beta-reducible - = False

fun eta-reducible :: term  $\Rightarrow$  bool where
  eta-reducible (Abs - (t $ Bv 0)) = ( $\neg$  is-dependent t  $\vee$  eta-reducible t)
| eta-reducible (Abs - t) = eta-reducible t
| eta-reducible (App t u) = (eta-reducible t  $\vee$  eta-reducible u)
| eta-reducible - = False

lemma  $\neg$  loose-bvar t lev  $\Longrightarrow$  decr lev t = t
  by (induction t arbitrary: lev) auto

lemma decr-incr-bv1: decr lev (incr-bv 1 lev t) = t
  by (induction t arbitrary: lev) auto

fun depth :: term  $\Rightarrow$  nat where
  depth (Abs - t) = depth t + 1
| depth (t $ u) = max (depth t) (depth u) + 1
| depth t = 0

lemma depth-decr: depth (decr lev t) = depth t
  by (induction lev t rule: decr.induct) auto

lemma loose-bvar1-decr: lev > 0  $\Longrightarrow$   $\neg$  loose-bvar1 t (Suc lev)  $\Longrightarrow$   $\neg$  loose-bvar1
(decr lev t) lev
  by (induction lev t arbitrary: rule: decr.induct) auto

lemma loose-bvar1-decr':
   $\neg$  loose-bvar1 t (Suc lev)  $\Longrightarrow$   $\neg$  loose-bvar1 t lev  $\Longrightarrow$   $\neg$  loose-bvar1 (decr lev t)
lev
  by (induction lev t arbitrary: rule: decr.induct) auto

lemma eta-reducible-Abs1:  $\neg$  eta-reducible (Abs T (t $ Bv 0))  $\Longrightarrow$   $\neg$  eta-reducible
t by simp

lemma eta-reducible-Abs2:
  assumes  $\neg$  ( $\exists f. t=f$  $ Bv 0)  $\neg$  eta-reducible (Abs T t)
  shows  $\neg$  eta-reducible t
proof (cases t)
  case (Abs T body)
  then show ?thesis using assms(2) by (cases body) auto
next

```

```

case (App f u)
then show ?thesis using assms less-imp-Suc-add by (cases f; cases u) fastforce+

qed auto

lemma eta-reducible-Abs:  $\neg \text{eta-reducible } (Abs\ T\ t) \implies \neg \text{eta-reducible } t$ 
using eta-reducible-Abs1 eta-reducible-Abs2
by (metis eta-reducible.simps(11) eta-reducible.simps(14))

lemma loose-bvar1-decr'':  $\text{loose-bvar1 } t\ lev \implies lev < lev' \implies \text{loose-bvar1 } (\text{decr } lev'\ t)\ lev$ 
by (induction t arbitrary: lev lev') auto
lemma loose-bvar1-decr''':  $\text{loose-bvar1 } t\ (Suc\ lev) \implies lev' \leq lev \implies \text{loose-bvar1 } (\text{decr } lev'\ t)\ lev$ 
by (induction t arbitrary: lev lev') auto

lemma loose-bvar1-decr'''':  $\neg \text{loose-bvar1 } t\ lev' \implies lev' \leq lev \implies \neg \text{loose-bvar1 } t\ (Suc\ lev)$ 
 $\implies \neg \text{loose-bvar1 } (\text{decr } lev'\ t)\ lev$ 
by (induction lev t arbitrary: lev' rule: decr.induct) auto

lemma not-eta-reducible-decr:
 $\neg \text{eta-reducible } t \implies \neg \text{loose-bvar1 } t\ lev \implies \neg \text{eta-reducible } (\text{decr } lev\ t)$ 
proof (induction lev t arbitrary: rule: decr.induct)
case (2 lev T body)
hence  $\neg \text{eta-reducible } body$  using eta-reducible-Abs by blast
hence I:  $\neg \text{eta-reducible } (\text{decr } (lev + 1)\ body)$  using 2.IH
using 2.prem(2) by simp

then show ?case
proof(cases body)
case (App f u)
note app = this
then show ?thesis
proof (cases u)
case (Bv n)
then show ?thesis
proof (cases n)
case 0
have is-dependent f  $\neg \text{eta-reducible } f$ 
using 0 2.prem(1) App Bv eta-reducible.simps(1) by blast+
hence  $\text{loose-bvar1 } f\ 0$  by (simp add: is-dependent-def)
hence  $\text{loose-bvar1 } (\text{decr } (Suc\ lev)\ f)\ 0$  using loose-bvar1-decr'' by simp
then show ?thesis using I by (auto simp add: 0 Bv App is-dependent-def)
next
case (Suc nat)
then show ?thesis
using 2 App Bv
by (auto elim: eta-reducible.elims(2) simp add: Suc Bv App is-dependent-def)

```

```

qed
next
  case (Abs T t)
  then show ?thesis
    using I by (auto split: if-splits simp add: App is-dependent-def)
  qed (use I in <auto split: if-splits simp add: App is-dependent-def>)
qed (auto split: if-splits simp add: is-dependent-def)
qed auto

```

```

function (sequential, domintrors) eta-norm :: term  $\Rightarrow$  term where
  eta-norm (Abs T t) = (case eta-norm t of
    f $ Bv 0  $\Rightarrow$  (if is-dependent f then Abs T (f $ Bv 0) else decr 0 (eta-norm f))
  | body  $\Rightarrow$  Abs T body)
| eta-norm (t $ u) = eta-norm t $ eta-norm u
| eta-norm t = t
by pat-completeness auto

```

```

lemma eta-norm-reduces-depth: eta-norm-dom t  $\Longrightarrow$  depth (eta-norm t) <= depth t
by (induction t rule: eta-norm.pinduct)
  (use depth-decr in <fastforce simp add: eta-norm.psimps eta-norm.domintrors is-dependent-def split: term.splits nat.splits>+)

```

```

termination eta-norm
proof (relation measure depth)
  fix T body t u n
  assume asms: eta-norm body = t $ u u = Bv n n = 0  $\neg$  is-dependent t eta-norm-dom body
  have depth t < depth (t $ Bv 0) by auto
  moreover have depth (eta-norm body)  $\leq$  depth body using asms eta-norm-reduces-depth
by blast
  ultimately show (t, Abs T body)  $\in$  measure depth using asms by (auto simp add: eta-norm.psimps)
qed simp-all

```

```

lemma loose-bvar1-eta-norm: loose-bvar1 t lev  $\Longrightarrow$  loose-bvar1 (eta-norm t) lev
by (induction t arbitrary: lev rule: eta-norm.induct)
  (use loose-bvar1-decr''' in <(fastforce split: term.splits nat.splits)>+)

```

```

lemma loose-bvar1-eta-norm':  $\neg$  loose-bvar1 t lev  $\Longrightarrow$   $\neg$  loose-bvar1 (eta-norm t) lev
proof (induction t arbitrary: lev rule: eta-norm.induct)
  case (1 T body)
  hence  $\neg$  loose-bvar1 body (Suc lev) by simp
  hence I:  $\neg$  loose-bvar1 (eta-norm body) (Suc lev) using 1 by simp
  then show ?case
  proof (cases body)

```



```

    case (Abs ty b)
  show ?thesis
    using I loose-bvar1-decr''''
  by (auto split: term.splits nat.splits if-splits simp add: 1.IH(2) is-dependent-def)
next
  case (App T t)
  then show ?thesis using 1 I loose-bvar1-decr''''
    by (fastforce split: term.splits nat.splits if-splits simp add: is-dependent-def)
  qed (auto split: term.splits nat.splits simp add: is-dependent-def)
qed (auto split: term.splits nat.splits simp add: is-dependent-def)

lemma not-eta-reducible-eta-norm:  $\neg$  eta-reducible (eta-norm t)
proof (induction t rule: eta-norm.induct)
  case (1 T body)
  then show ?case
  proof (cases eta-norm (body))
    case (Abs T t)
    then show ?thesis using 1 by auto
  next
  case (App f u)
  then show ?thesis
  proof (cases u = Bv 0)
    case True
    note u = this
    then show ?thesis
  proof (cases is-dependent f)
    case True
    then show ?thesis
      using 1 App u by (auto simp add: is-dependent-def split: term.splits
nat.splits if-splits)
  next
  case False
  have  $\neg$  eta-reducible f using 1 App u by simp
  hence  $\neg$  eta-reducible (eta-norm f)
    by (simp add: 1.IH(2) App False u)
  have  $\neg$  loose-bvar1 f 0
    using False is-dependent-def by blast
  hence  $\neg$  loose-bvar1 (eta-norm f) 0
    using loose-bvar1-eta-norm' by blast
  show ?thesis
    using 1 App u False not-eta-reducible-decr loose-bvar1-eta-norm  $\langle \neg$ 
loose-bvar1 (eta-norm f) 0  $\rangle$ 
    by (auto simp add: is-dependent-def split: term.splits nat.splits if-splits)
  qed
  next
  case False
  then show ?thesis using 1 App by (auto simp add: is-dependent-def
split: term.splits nat.splits if-splits)
qed

```

**qed** *auto*  
**qed** *auto*

**lemma** *not-eta-reducible-imp-eta-norm-no-change*:  $\neg \text{eta-reducible } t \implies \text{eta-norm } t = t$   
**by** (*induction t rule: eta-norm.induct*) (*auto simp add: eta-reducible-Abs is-dependent-def*  
*split: term.splits nat.splits*)

**lemma** *eta-norm-collapse*:  $\text{eta-norm } (\text{eta-norm } t) = \text{eta-norm } t$   
**using** *not-eta-reducible-imp-eta-norm-no-change not-eta-reducible-eta-norm* **by**  
*blast*

**lemma** *typ-of1-decr*:  $\text{typ-of1 } (Ts@[T]@Ts') t = \text{Some } ty \implies \neg \text{loose-bvar1 } t$   
*(length Ts)*  
 $\implies \text{typ-of1 } (Ts@Ts') (\text{decr } (\text{length } Ts) t) = \text{Some } ty$   
**proof** (*induction t arbitrary: Ts T Ts' ty*)  
**case** (*Abs b T t*)  
**then show** *?case*  
**by** (*simp add: bind-eq-Some-conv*) (*metis append-Cons length-Cons*)  
**qed** (*auto split: if-splits simp add: bind-eq-Some-conv nth-append*)

**lemma** *typ-of1-decr-gen*:  $\text{typ-of1 } (Ts@[T]@Ts') t = \text{tyo} \implies \neg \text{loose-bvar1 } t$   
*(length Ts)*  
 $\implies \text{typ-of1 } (Ts@Ts') (\text{decr } (\text{length } Ts) t) = \text{tyo}$   
**proof** (*induction t arbitrary: Ts T Ts' tyo*)  
**case** (*Abs T t*)  
**then show** *?case*  
**by** (*simp add: bind-eq-Some-conv*) (*metis append-Cons length-Cons*)  
**next**  
**case** (*App t1 t2*)  
**then show** *?case by simp*  
**qed** (*auto split: if-splits simp add: bind-eq-Some-conv nth-append*  
*split: option.splits*)

**lemma** *typ-of1-decr-gen'*:  $\text{typ-of1 } (Ts@Ts') (\text{decr } (\text{length } Ts) t) = \text{tyo} \implies \neg \text{loose-bvar1 } t$   
*(length Ts)*  
 $\implies \text{typ-of1 } (Ts@[T]@Ts') t = \text{tyo}$   
**proof** (*induction t arbitrary: Ts T Ts' tyo*)  
**case** (*Abs T t*)  
**then show** *?case*  
**by** (*simp add: bind-eq-Some-conv*) (*metis append-Cons length-Cons*)  
**qed** (*auto split: if-splits simp add: bind-eq-Some-conv nth-append*  
*split: option.splits*)

**lemma** *typ-of1-eta-norm*:  $\text{typ-of1 } Ts t = \text{Some } ty \implies \text{typ-of1 } Ts (\text{eta-norm } t) = \text{Some } ty$   
**proof** (*induction Ts t arbitrary: ty rule: typ-of1.induct*)

```

case ( $\lambda$   $Ts$   $T$   $body$ )
then show  $?case$ 
proof( $cases$   $eta$ -norm  $body$ )
  case ( $App$   $f$   $u$ )
  then show  $?thesis$ 

proof ( $cases$   $u$ )
  case ( $Bv$   $n$ )
  then show  $?thesis$ 
proof ( $cases$   $n$ )
  case  $0$ 
  then show  $?thesis$ 
proof ( $cases$   $is$ -dependent  $f$ )
  case  $True$ 
  hence  $eta$ -norm ( $Abs$   $T$   $body$ ) =  $Abs$   $T$  ( $f$  $  $Bv$   $0$ )
    by ( $auto$   $simp$   $add$ :  $App$   $0$   $\lambda$ . $IH$   $Bv$   $bind$ -eq- $Some$ -conv  $is$ -dependent-def
split:  $nat$ . $splits$ )
    then show  $?thesis$ 
    using  $\lambda$  by ( $force$   $simp$   $add$ :  $0$   $Bv$   $App$   $is$ -dependent-def  $bind$ -eq- $Some$ -conv
split:  $if$ - $splits$ )
  next
  case  $False$ 

hence  $simp$ :  $eta$ -norm ( $Abs$   $T$   $body$ ) =  $decr$   $0$  ( $eta$ -norm  $f$ )
  by ( $auto$   $simp$   $add$ :  $App$   $0$   $\lambda$ . $IH$   $Bv$   $bind$ -eq- $Some$ -conv  $bind$ -eq- $None$ -conv
is-dependent-def split:  $nat$ . $splits$ )

obtain  $bT$  where  $bT$ :  $typ$ -of1 ( $T$  #  $Ts$ )  $body$  =  $Some$   $bT$ 
  using  $\lambda$ . $prems$  by  $fastforce$ 
hence  $typ$ -of1 ( $T$  #  $Ts$ ) ( $eta$ -norm  $body$ ) =  $Some$   $bT$ 
  using  $\lambda$ . $IH$  by  $blast$ 
moreover have  $T \rightarrow bT = ty$ 
  using  $\lambda$ . $prems$   $bT$  by  $auto$ 
ultimately have  $typ$ -of1 ( $T$ # $Ts$ )  $f$  =  $Some$   $ty$ 
by ( $metis$   $0$   $App$   $Bv$   $length$ - $Cons$   $nth$ - $Cons$ - $0$   $typ$ -of1. $simps$ ( $2$ )  $typ$ -of1- $arg$ - $typ$ 
zero-less- $Suc$ )
  hence  $typ$ -of1  $Ts$  ( $decr$   $0$   $f$ ) =  $Some$   $ty$ 
  by ( $metis$   $False$   $append$ - $Cons$   $append$ - $Nil$   $is$ -dependent-def  $list$ . $size$ ( $3$ )
 $typ$ -of1- $decr$ )
  hence  $typ$ -of1  $Ts$  ( $decr$   $0$  ( $eta$ -norm  $f$ )) =  $Some$   $ty$ 
  by ( $metis$   $App$   $eta$ -reducible. $simps$ ( $11$ )  $not$ - $eta$ -reducible- $eta$ -norm
not- $eta$ -reducible- $imp$ - $eta$ -norm-no-change)

then show  $?thesis$ 
  by( $auto$   $simp$   $add$ :  $App$   $0$   $Bv$   $False$ )
qed
next
case ( $Suc$   $nat$ )
then show  $?thesis$ 

```

```

    using 4 apply (simp add: App 4.IH Bv bind-eq-Some-conv split: option.splits)
    using option.sel by fastforce
    qed
    qed (use 4 in ⟨fastforce simp add: bind-eq-Some-conv nth-append split: if-splits⟩)+
    qed (use 4 in ⟨fastforce simp add: bind-eq-Some-conv nth-append split: if-splits⟩)+
next
case (5 Ts f u)
then show ?case
  apply (clarsimp split: term.splits typ.splits if-splits nat.splits option.splits
    simp add: bind-eq-Some-conv)
  by blast
qed (auto split: term.splits typ.splits if-splits nat.splits option.splits
  simp add: bind-eq-Some-conv)

```

**corollary** *typ-of-eta-norm*:  $\text{typ-of } t = \text{Some } ty \implies \text{typ-of } (\text{eta-norm } t) = \text{Some } ty$   
**using** *typ-of1-eta-norm typ-of-def* **by** *simp*

**lemma** *typ-of-Abs-body-ty*:  $\text{typ-of1 } Ts (\text{Abs } T t) = \text{Some } ty \implies \exists rty. ty = (T \rightarrow rty)$

**by** (*metis (no-types, lifting) bind-eq-Some-conv option.sel typ-of1.simps(4)*)

**lemma** *typ-of-Abs-body-ty'*:  $\text{typ-of1 } Ts (\text{Abs } T t) = \text{Some } ty$

$\implies \exists rty. ty = (T \rightarrow rty) \wedge \text{typ-of1 } (T \# Ts) t = \text{Some } rty$

**by** (*metis (no-types, lifting) bind-eq-Some-conv option.sel typ-of1.simps(4)*)

**lemma** *typ-of-beta-redex-arg*:  $\text{typ-of } (\text{Abs } T s \$ t) \neq \text{None} \implies \text{typ-of } t = \text{Some } T$

**by** (*metis list.inject not-Some-eq typ.inject(1) typ-of1-split-App typ-of-Abs-body-ty' typ-of-def*)

**lemma** [*partial-function-mono*]: *option.mono-body*

$(\lambda \text{beta-norm}. \text{map-option } (\text{Abs } T) (\text{beta-norm } t))$

**by** (*smt flat-ord-def fun-ord-def map-option-is-None monotone-def*)

**lemma** [*partial-function-mono*]: *option.mono-body*

$(\lambda \text{beta-norm}.$

*case beta-norm x of None  $\implies$  None*

| *Some (Ct list typ)  $\implies$*

*map-option (( $\$$ ) (Ct list typ)) (beta-norm u)*

| *Some (Fv p typ)  $\implies$*

*map-option (( $\$$ ) (Fv p typ)) (beta-norm u)*

| *Some (Bv n)  $\implies$*

*map-option (( $\$$ ) (Bv n)) (beta-norm u)*

| *Some (Abs T body)  $\implies$*

*beta-norm (subst-bv u body)*

| *Some (term1  $\$$  term2)  $\implies$*

*map-option (( $\$$ ) (term1  $\$$  term2)) (beta-norm u)*)

**proof**(*standard, goal-cases*)

**case** (1 a b)

**then show** ?case

**proof**(*cases a x; cases b x, simp-all add: flat-ord-def fun-ord-def, goal-cases*)

```

    case (1 a)
  then show ?case
    by (metis option.discI)
next
case (2 r s)
then show ?case
  apply (cases r; cases s)
  apply (simp-all add: flat-ord-def fun-ord-def)
  apply (metis option.distinct option.inject option.sel term.distinct term.inject)+
  done
qed
qed

```

**partial-function** (*option*) *beta-norm* :: *term*  $\Rightarrow$  *term* *option* **where**

```

beta-norm t = (case t of
  (Abs T body)  $\Rightarrow$  map-option (Abs T) (beta-norm body)
| (Abs T body $ u)  $\Rightarrow$  beta-norm (subst-bv u body)
| (f $ u)  $\Rightarrow$  (case beta-norm f of
  Some (Abs T body)  $\Rightarrow$  beta-norm (subst-bv u body)
| Some f'  $\Rightarrow$  map-option (App f') (beta-norm u)
| None  $\Rightarrow$  None)
| t  $\Rightarrow$  Some t)

```

**simps-of-case** *beta-norm-simps*[*simp*]: *beta-norm.simps*  
**declare** *beta-norm-simps*[*code*]

**lemma** *not-beta-reducible-imp-beta-norm-unchanged*:  $\neg$  *beta-reducible* t  $\Longrightarrow$  *beta-norm* t = *Some* t

```

proof (induction t)
  case (App t u)
  then show ?case by (cases t) auto
qed auto

```

**lemma** *not-beta-reducible-decr*:  $\neg$  *beta-reducible* t  $\Longrightarrow$   $\neg$  *beta-reducible* (*decr* n t)  
**by** (*induction* t *arbitrary*: n *rule*: *beta-reducible.induct*) auto

**lemma**  $\neg$  *beta-reducible* t  $\Longrightarrow$  *eta-norm* t = t'  $\Longrightarrow$   $\neg$  *beta-reducible* t'

**proof** (*induction* t *arbitrary*: t' *rule*: *eta-norm.induct*)

```

  case (1 T body)
  show ?case
  proof(cases eta-norm body)
    case (Abs T' t)
    then show ?thesis using 1 by fastforce
  next
  case (App f u)
  note oApp = this
  show ?thesis
  proof(cases u)

```

```

case (Bv n)
show ?thesis
proof(cases n)
  case 0
  then show ?thesis
  proof(cases is-dependent f)
    case True
    then show ?thesis
      using 1 oApp Bv 0 apply simp
      using beta-reducible.simps(2) by blast
  next
  case False
  obtain body' where body': eta-norm body = body' by simp
  obtain f' where f': eta-norm f = f' by simp
  moreover have t': t' = decr 0 f' using 1.premis(2)[symmetric] oApp Bv
0 False f' by simp

  moreover have ¬ beta-reducible t'
  proof -
    have ¬ beta-reducible (f $ Bv 0)
      using 1.IH(1) 1 oApp Bv 0 by simp
    hence ¬ beta-reducible (decr 0 (f' $ Bv 0))
      by (metis eta-reducible.simps(11) f' not-beta-reducible-decr
not-eta-reducible-eta-norm not-eta-reducible-imp-eta-norm-no-change
oApp)
    hence ¬ beta-reducible (decr 0 f' $ Bv 0) by simp
    hence ¬ beta-reducible (decr 0 f') by (auto elim: beta-reducible.elims)
    thus ?thesis using t' by simp
  qed
  ultimately show ?thesis by blast
qed
next
case (Suc nat)
then show ?thesis using 1 oApp Bv by auto
qed
qed (use 1 oApp in auto)
qed (use 1 in auto)
next
case (2 f u)
hence ¬ beta-reducible f ¬ beta-reducible u by (blast elim!: beta-reducible.elims(3))+
moreover obtain f' u' where eta-norm f = f' eta-norm u = u' by simp-all
ultimately have ¬ beta-reducible f' ¬ beta-reducible u' using 2.IH by simp-all
show ?case
proof(cases t')
  case (App l r)
  then show ?thesis
    using 2.IH(2) 2.premis(2) <¬ beta-reducible u <¬ beta-reducible f' <eta-norm
f = f' > 2(3)
    by (auto elim: beta-reducible.elims(3))

```

**qed** (use 2.premis(2) in auto)  
**qed** auto

**fun** *is-variable* :: *term*  $\Rightarrow$  *bool* **where**  
*is-variable* (Fv -) = True  
| *is-variable* - = False

**lemma** *fv-occs*:  $(x, \tau) \in \text{fv } t \implies \text{occs } (\text{Fv } x \ \tau) \ t$   
**by** (*induction t*) auto

**lemma** *fv-iff-occs*:  $(x, \tau) \in \text{fv } t \iff \text{occs } (\text{Fv } x \ \tau) \ t$   
**by** (*induction t*) auto

**fun** *strip-abs* :: *term*  $\Rightarrow$  *typ list* \* *term* **where**  
*strip-abs* (Abs T t) = (let (a', t') = *strip-abs* t in (T # a', t'))  
| *strip-abs* t = ([], t)

**fun** *strip-abs-body* :: *term*  $\Rightarrow$  *term* **where**  
*strip-abs-body* (Abs - t) = *strip-abs-body* t  
| *strip-abs-body* u = u

**fun** *strip-abs-vars* :: *term*  $\Rightarrow$  *typ list* **where**  
*strip-abs-vars* (Abs T t) = T # *strip-abs-vars* t  
| *strip-abs-vars* u = []

**fun** *strip-qnt-body* :: *name*  $\Rightarrow$  *term*  $\Rightarrow$  *term* **where**  
*strip-qnt-body* qnt ((Ct c ty) \$ (Abs - t)) =  
(if c=qnt then *strip-qnt-body* qnt t else (Ct c ty))  
| *strip-qnt-body* - t = t

**fun** *strip-qnt-vars* :: *name*  $\Rightarrow$  *term*  $\Rightarrow$  *typ list* **where**  
*strip-qnt-vars* qnt (Ct c - \$ Abs T t) = (if c=qnt then T # *strip-qnt-vars* qnt t  
else [])  
| *strip-qnt-vars* qnt t = []

**definition** *list-comb* :: *term* \* *term list*  $\Rightarrow$  *term* **where** *list-comb* = *case-prod* (foldl (\$))

**definition** *list-comb'* :: *term*  $\Rightarrow$  *term list*  $\Rightarrow$  *term* **where** *list-comb'* = foldl (\$)

**lemma** *list-comb* (h,t) = *list-comb'* h t **by** (*simp add: list-comb-def list-comb'-def*)

**fun** *strip-comb-imp* **where**  
*strip-comb-imp* ( $f\$t, ts$ ) = *strip-comb-imp* ( $f, t \# ts$ )  
| *strip-comb-imp*  $x = x$

**definition** *strip-comb* :: *term*  $\Rightarrow$  *term* \* *term list* **where**  
*strip-comb*  $u = \text{strip-comb-imp } (u, [])$

**fun** *head-of* :: *term*  $\Rightarrow$  *term* **where**  
*head-of* ( $f\$t$ ) = *head-of*  $f$   
| *head-of*  $u = u$

**lemma** *fst-strip-comb-imp-eq-head-of*: *fst* (*strip-comb-imp* ( $t, ts$ )) = *head-of*  $t$   
**by** (*induction* ( $t, ts$ ) *arbitrary*:  $t \ ts$  *rule*: *strip-comb-imp.induct*) *simp-all*  
**corollary** *fst* (*strip-comb*  $t$ ) = *head-of*  $t$   
**using** *fst-strip-comb-imp-eq-head-of* **by** (*simp add*: *strip-comb-def*)

**fun** *is-app* :: *term*  $\Rightarrow$  *bool* **where**  
*is-app* ( $- \$ -$ ) = *True*  
| *is-app*  $- = \text{False}$

**lemma** *not-is-app-imp-strip-com-imp-unchanged*:  $\neg \text{is-app } t \Longrightarrow \text{strip-comb-imp } (t, ts) = (t, ts)$   
**by** (*cases*  $t$ ) *simp-all*  
**corollary** *not-is-app-imp-strip-com-unchanged*:  $\neg \text{is-app } t \Longrightarrow \text{strip-comb } t = (t, [])$

**unfolding** *strip-comb-def* **using** *not-is-app-imp-strip-com-imp-unchanged* .

**lemma** *list-comb-fuse*: *list-comb* (*list-comb* ( $t, ts$ ),  $ss$ ) = *list-comb* ( $t, ts@ss$ )  
**unfolding** *list-comb-def* **by** *simp*

**fun** *add-size-term* :: *term*  $\Rightarrow$  *int*  $\Rightarrow$  *int* **where**  
*add-size-term* ( $t \$ u$ )  $n = \text{add-size-term } t (\text{add-size-term } u \ n)$   
| *add-size-term* (*Abs*  $- t$ )  $n = \text{add-size-term } t (n + 1)$   
| *add-size-term*  $- n = n + 1$

**definition** *size-of-term*  $t = \text{add-size-term } t \ 0$

**fun** *add-size-type* :: *typ*  $\Rightarrow$  *int*  $\Rightarrow$  *int* **where**  
*add-size-type* (*Ty*  $- tys$ )  $n = \text{fold add-size-type } tys (n + 1)$   
| *add-size-type*  $- n = n + 1$

**definition** *size-of-type*  $ty = \text{add-size-type } ty \ 0$

**fun** *map-types* :: (*typ*  $\Rightarrow$  *typ*)  $\Rightarrow$  *term*  $\Rightarrow$  *term* **where**



```

  map-types f (Ct a T) = Ct a (f T)
| map-types f (Fv v T) = Fv v (f T)
| map-types f (Bv i) = Bv i
| map-types f (Abs T t) = Abs (f T) (map-types f t)
| map-types f (t $ u) = map-types f t $ map-types f u

```

```

fun map-atyps :: (typ ⇒ typ) ⇒ typ ⇒ typ where
  map-atyps f (Ty a Ts) = Ty a (map (map-atyps f) Ts)
| map-atyps f T = f T

```

```

lemma map-atyps id ty = ty
by (induction rule: typ.induct) (simp-all add: map-idI)

```

```

fun map-aterms :: (term ⇒ term) ⇒ term ⇒ term where
  map-aterms f (t $ u) = map-aterms f t $ map-aterms f u
| map-aterms f (Abs T t) = Abs T (map-aterms f t)
| map-aterms f t = f t

```

```

lemma map-aterms id t = t
by (induction rule: term.induct) simp-all

```

```

definition map-type-tvar f = map-atyps (λx . case x of Tv iname s ⇒ f iname s
| T ⇒ T)

```

```

lemma map-types-id[simp]: map-types id t = t
by (induction t) simp-all

```

```

lemma map-types-id'[simp]: map-types (λa . a) t = t
using map-types-id by (simp add: id-def)

```

```

fun fold-atyps :: (typ ⇒ 'a ⇒ 'a) ⇒ typ ⇒ 'a ⇒ 'a where
  fold-atyps f (Ty - Ts) s = fold (fold-atyps f) Ts s
| fold-atyps f T s = f T s

```

```

definition fold-atyps-sorts f =
  fold-atyps (λx . case x of Tv vn S ⇒ f (Tv vn S) S)

```

```

fun fold-aterms :: (term ⇒ 'a ⇒ 'a) ⇒ term ⇒ 'a ⇒ 'a where
  fold-aterms f (t $ u) s = fold-aterms f u (fold-aterms f t s)
| fold-aterms f (Abs - t) s = fold-aterms f t s
| fold-aterms f a s = f a s

```

```

fun fold-term-types :: (term ⇒ typ ⇒ 'a ⇒ 'a) ⇒ term ⇒ 'a ⇒ 'a where
  fold-term-types f (Ct n T) s = f (Ct n T) T s
| fold-term-types f (Fv idn T) s = f (Fv idn T) T s
| fold-term-types f (Bv -) s = s
| fold-term-types f (Abs T b) s = fold-term-types f b (f (Abs T b) T s)
| fold-term-types f (t $ u) s = fold-term-types f u (fold-term-types f t s)

```

**definition**  $fold\text{-}types\ f = fold\text{-}term\text{-}types\ (\lambda x . f)$

**fun**  $replace\text{-}types :: term \Rightarrow typ\ list \Rightarrow term \times typ\ list$  **where**

```

  replace-types (Ct c -) (T # Ts) = (Ct c T, Ts)
| replace-types (Fv xi -) (T # Ts) = (Fv xi T, Ts)
| replace-types (Bv i) Ts = (Bv i, Ts)
| replace-types (Abs - b) (T # Ts) =
  (let (b', Ts') = replace-types b Ts
    in (Abs T b', Ts'))
| replace-types (t $ u) Ts =
  (let
    (t', Ts') = replace-types t Ts in
    (let (u', Ts'') = replace-types u Ts
      in (t' $ u', Ts'')))

```

**definition**  $add\text{-}tvar\text{-}namesT' = fold\text{-}atyps\ (\lambda x\ l . case\ x\ of\ Tv\ xi\ - \Rightarrow List.insert\ xi\ l\ | - \Rightarrow l)$

**definition**  $add\text{-}tvar\text{-}names' = fold\text{-}types\ add\text{-}tvar\text{-}namesT'$

**definition**  $add\text{-}tvarsT' = fold\text{-}atyps\ (\lambda x\ l . case\ x\ of\ Tv\ idn\ s \Rightarrow List.insert\ (idn,s)\ l\ | - \Rightarrow l)$

**definition**  $add\text{-}tvars' = fold\text{-}types\ add\text{-}tvarsT'$

**definition**  $add\text{-}vars' = fold\text{-}aterms\ (\lambda x\ l . case\ x\ of\ Fv\ idn\ s \Rightarrow List.insert\ (idn,s)\ l\ | - \Rightarrow l)$

**definition**  $add\text{-}var\text{-}names' = fold\text{-}aterms\ (\lambda x\ l . case\ x\ of\ Fv\ xi\ - \Rightarrow List.insert\ xi\ l\ | - \Rightarrow l)$

**definition**  $add\text{-}const\text{-}names' = fold\text{-}aterms\ (\lambda x\ l . case\ x\ of\ Ct\ c\ - \Rightarrow List.insert\ c\ l\ | - \Rightarrow l)$

**definition**  $add\text{-}consts' = fold\text{-}aterms\ (\lambda x\ l . case\ x\ of\ Ct\ n\ s \Rightarrow List.insert\ (n,s)\ l\ | - \Rightarrow l)$

**definition**  $add\text{-}tvar\text{-}namesT = fold\text{-}atyps\ (\lambda x . case\ x\ of\ Tv\ xi\ - \Rightarrow insert\ xi\ | - \Rightarrow id)$

**definition**  $add\text{-}tvar\text{-}names = fold\text{-}types\ add\text{-}tvar\text{-}namesT$

**definition**  $add\text{-}tvarsT = fold\text{-}atyps\ (\lambda x . case\ x\ of\ Tv\ idn\ s \Rightarrow insert\ (idn,s)\ | - \Rightarrow id)$

**definition**  $add\text{-}tvars = fold\text{-}types\ add\text{-}tvarsT$

**definition**  $add\text{-}var\text{-}names = fold\text{-}aterms\ (\lambda x . case\ x\ of\ Fv\ xi\ - \Rightarrow insert\ xi\ | - \Rightarrow id)$

**definition**  $add\text{-}vars = fold\text{-}aterms\ (\lambda x . case\ x\ of\ Fv\ idn\ s \Rightarrow insert\ (idn,s)\ | - \Rightarrow id)$

**definition**  $add\text{-}const\text{-}names = fold\text{-}aterms\ (\lambda x . case\ x\ of\ Ct\ c\ - \Rightarrow insert\ c\ | - \Rightarrow id)$

**definition**  $add\text{-}consts = fold\text{-}aterms\ (\lambda x . case\ x\ of\ Ct\ n\ s \Rightarrow insert\ (n,s)\ | - \Rightarrow id)$

```

lemma add-tvarsT'-tvsT-pre[simp]: set (add-tvarsT' T acc) = set acc ∪ tvsT T
  unfolding add-tvarsT'-def
proof (induction T arbitrary: acc)
  case (Ty n Ts)
  then show ?case by (induction Ts arbitrary: acc) auto
qed auto

```

```

lemma add-tvars'-tvs-pre[simp]: set (add-tvars' t acc) = set acc ∪ tvs t
  by (induction t arbitrary: acc) (auto simp add: add-tvars'-def fold-types-def)

```

```

lemma add-tvarsT T acc = acc ∪ tvsT T
  unfolding add-tvarsT-def
proof (induction T arbitrary: acc)
  case (Ty n Ts)
  then show ?case by (induction Ts arbitrary: acc) auto
qed auto

```

```

lemma add-vars'-fv-pre: set (add-vars' t acc) = set acc ∪ fv t
  unfolding add-vars'-def by (induction t arbitrary: acc) auto
corollary add-vars'-fv: set (add-vars' t []) = fv t
  using add-vars'-fv-pre by simp

```

```

fun strip-all-body :: term ⇒ term where
  strip-all-body (Ct all S $ Abs T t) = (if all= STR "Pure.all" ∧ S=(T→propT)→propT
    then strip-all-body t else (Ct all S $ Abs T t))
| strip-all-body t = t

```

```

fun strip-all-vars :: term ⇒ typ list where
  strip-all-vars (Ct all S $ Abs T t) = (if all= STR "Pure.all" ∧ S=(T→propT)→propT
    then T # strip-all-vars t else [])
| strip-all-vars t = []

```

```

fun strip-all-single-body :: term ⇒ term where
  strip-all-single-body (Ct all S $ Abs T t) = (if all= STR "Pure.all" ∧ S=(T→propT)→propT
    then t else (Ct all S $ Abs T t))
| strip-all-single-body t = t

```

```

fun strip-all-single-var :: term ⇒ typ option where
  strip-all-single-var (Ct all S $ Abs T t) = (if all= STR "Pure.all" ∧ S=(T→propT)→propT
    then Some T else None)

```

| *strip-all-single-var*  $t = \text{None}$

**fun** *strip-all-multiple-body* ::  $\text{nat} \Rightarrow \text{term} \Rightarrow \text{term}$  **where**

*strip-all-multiple-body* 0  $t = t$

| *strip-all-multiple-body* (Suc  $n$ ) (Ct all  $S$  \$ Abs  $T$   $t$ ) = (if all= STR "Pure.all"  $\wedge$   $S=(T \rightarrow \text{prop} T) \rightarrow \text{prop} T$

then *strip-all-multiple-body*  $n$   $t$  else (Ct all  $S$  \$ Abs  $T$   $t$ ))

| *strip-all-multiple-body* -  $t = t$

**fun** *strip-all-multiple-vars* ::  $\text{nat} \Rightarrow \text{term} \Rightarrow \text{typ list}$  **where**

*strip-all-multiple-vars* 0 - = []

| *strip-all-multiple-vars* (Suc  $n$ ) (Ct all  $S$  \$ Abs  $T$   $t$ ) = (if all= STR "Pure.all"  $\wedge$   $S=(T \rightarrow \text{prop} T) \rightarrow \text{prop} T$

then  $T \#$  *strip-all-multiple-vars*  $n$   $t$  else [])

| *strip-all-multiple-vars* -  $t = []$

**lemma** *strip-all-vars-strip-all-multiple-vars*:

$n \geq \text{length} (\text{strip-all-vars } t) \implies \text{strip-all-multiple-vars } n \ t = \text{strip-all-vars } t$

**by** (induction  $n$   $t$  rule: *strip-all-multiple-vars.induct*) auto

**lemma**  $n \geq \text{length} (\text{strip-all-vars } t) \implies \text{strip-all-multiple-body } n \ t = \text{strip-all-body } t$

**by** (induction  $n$   $t$  rule: *strip-all-multiple-vars.induct*) (auto elim!: *strip-all-vars.elims*)

**lemma** *length-strip-all-multiple-vars*:  $\text{length} (\text{strip-all-multiple-vars } n \ t) \leq n$

**by** (induction  $n$   $t$  rule: *strip-all-multiple-vars.induct*) auto

**lemma** *prefix-strip-all-multiple-vars*: *prefix* (*strip-all-multiple-vars*  $n$   $t$ ) (*strip-all-vars*  $t$ )

**unfolding** *prefix-def* **by** (induction  $n$   $t$  rule: *strip-all-multiple-vars.induct*) auto

**definition** *mk-all-list*  $l \ t = \text{fold} (\lambda(n, T) \ \text{acc} . \text{mk-all } n \ T \ \text{acc}) \ l \ t$

**lemma** *mk-all-list-empty[simp]*: *mk-all-list* []  $t = t$  **by** (*simp add: mk-all-list-def*)

**fun** *is-all* ::  $\text{term} \Rightarrow \text{bool}$  **where**

*is-all* (Ct all  $S$  \$ Abs  $T$   $t$ ) = (all= STR "Pure.all"  $\wedge$   $S=(T \rightarrow \text{prop} T) \rightarrow \text{prop} T$ )

| *is-all* - = False

**lemma** *strip-all-single-var-is-all*: *strip-all-single-var*  $t \neq \text{None} \iff \text{is-all } t$

**apply** (*cases*  $t$ ) **apply** *simp-all*

**subgoal for**  $f \ u$  **apply** (*cases*  $f$ ; *cases*  $u$ ) **by** (auto elim: *is-all.elims split: if-splits*)

**done**

**lemma** *is-all*  $t \implies \text{hd} (\text{strip-all-vars } t) = \text{the} (\text{strip-all-single-var } t)$

**by** (auto elim: *is-all.elims*)

**lemma** *strip-all-body-single-simp[simp]*: *strip-all-body* (*strip-all-single-body*  $t$ ) =

```

strip-all-body t
  by (induction t rule: strip-all-body.induct) auto
lemma strip-all-body-single-simp'[simp]: strip-all-single-body (strip-all-body t) =
strip-all-body t
  by (induction t rule: strip-all-body.induct) auto

lemma strip-all-vars-step:
  strip-all-single-var t = Some T  $\implies$  T # strip-all-vars (strip-all-single-body t) =
strip-all-vars t
  by (induction t arbitrary: T rule: strip-all-vars.induct) (auto split: if-splits)

lemma is-all-iff-strip-all-vars-not-empty: is-all t  $\longleftrightarrow$  strip-all-vars t  $\neq$  []
  apply (cases t) apply simp-all
  subgoal for f u apply (cases f; cases u) by (auto elim: strip-all-vars.elims
is-all.elims split: if-splits)
  done

lemma strip-all-vars-bind-fv:
  strip-all-vars (bind-fv2 v lev t) = (strip-all-vars t)
  by (induction t arbitrary: lev rule: strip-all-vars.induct) auto

lemma strip-all-vars-mk-all[simp]: strip-all-vars (mk-all s ty t) = ty # strip-all-vars
t
  using bind-fv-def strip-all-vars-bind-fv typ-of-def by auto

lemma strip-all-vars-mk-all-list:
   $\neg$ is-all t  $\implies$  strip-all-vars (mk-all-list l t) = rev (map snd l)
proof (induction l rule: rev-induct)
  case Nil
  then show ?case using is-all-iff-strip-all-vars-not-empty by simp
next
  case (snoc v vs)
  hence I: strip-all-vars (mk-all-list vs t) = rev (map snd vs) by simp
  obtain s ty where v: v = (s,ty) by fastforce

  have strip-all-vars (mk-all-list (vs @ [v]) t)
    = strip-all-vars (mk-all s ty (mk-all-list vs t))
    by (auto simp add: mk-all-list-def v)
  also have ... = ty # strip-all-vars (mk-all-list vs t)
    using strip-all-vars-mk-all[of ty s mk-all-list vs t] by blast
  also have ... = ty # rev (map snd vs)
    by (simp add: I)
  also have ... = rev (map snd (vs @ [v]))
    using v by simp
  finally show ?case .
qed

```

```

lemma subst-bv-no-loose-unchanged:

```

```

assumes  $\bigwedge x . x \geq lev \implies \neg loose-bvar1\ t\ x$ 
assumes is-variable v
shows  $(subst-bv1\ t\ lev\ v) = t$ 
using assms proof (induction t arbitrary: lev)
  case (Bv x)
  then show ?case
    using loose-bvar-iff-exist-loose-bvar1 no-loose-bvar-imp-no-subst-bv1 by presburger
next
  case (Abs T t)
  then show ?case
    using loose-bvar-iff-exist-loose-bvar1 no-loose-bvar-imp-no-subst-bv1 by presburger
qed auto

```

```

lemma bind-fv2-no-occs-unchanged:
assumes  $\neg occs\ (case-prod\ Fv\ v)\ t$ 
shows  $(bind-fv2\ v\ lev\ t) = t$ 
using assms by (induction t arbitrary: lev) auto

```

```

lemma bind-fv2-subst-bv1-cancel:
assumes  $\bigwedge x . x > lev \implies \neg loose-bvar1\ t\ x$ 
assumes  $\neg occs\ (case-prod\ Fv\ v)\ t$ 
shows  $bind-fv2\ v\ lev\ (subst-bv1\ t\ lev\ (case-prod\ Fv\ v)) = t$ 
using assms proof (induction t arbitrary: lev)
  case (Bv x)
  then show ?case
    using linorder-neqE-nat
    by (auto split: prod.splits simp add: is-variable-imp-incr-boundvars-unchanged)
next
  case (Abs T t)
  hence  $bind-fv2\ v\ (lev+1)\ (subst-bv1\ t\ (lev+1)\ (case-prod\ Fv\ v)) = t$ 
  by (auto elim: Suc-lessE)
  then show ?case by simp
next

```

```

  case (App t1 t2)
  then show ?case
  proof(cases loose-bvar1 t1 lev)
    case True
    hence I1: bind-fv2 v lev (subst-bv1 t1 lev (case-prod Fv v)) = t1 using App by
auto
    then show ?thesis
    proof(cases loose-bvar1 t2 lev)
      case True
      hence  $bind-fv2\ v\ lev\ (subst-bv1\ t2\ lev\ (case-prod\ Fv\ v)) = t2$  using App by
auto
    then show ?thesis using I1 App.prem is-variable.elims(2) by auto

```

```

next
  case False
  hence  $bind\text{-}fv2\ v\ lev\ (subst\text{-}bv1\ t2\ lev\ (case\text{-}prod\ Fv\ v)) = t2$ 
  proof-
  have  $subst\text{-}bv1\ t2\ lev\ (case\text{-}prod\ Fv\ v) = t2$  using subst-bv-no-loose-unchanged
  using App.premis(1-2) False assms le-neq-implies-less loose-bvar1.simps(2)
  by (metis loose-bvar-iff-exist-loose-bvar1 no-loose-bvar-imp-no-subst-bv1)
  moreover have  $bind\text{-}fv2\ v\ lev\ t2 = t2$ 
  using App.premis(2) bind-fv2-no-occs-unchanged
  using App.premis(2) bind-fv2-changed' exists-subterm'.simps(1)
  exists-subterm-iff-exists-subterm' by blast
  ultimately show ?thesis by simp
  qed
  then show ?thesis using I1 App.premis is-variable.elims(2) by auto
  qed
next
  case False
  hence I1:  $bind\text{-}fv2\ v\ lev\ (subst\text{-}bv1\ t1\ lev\ (case\text{-}prod\ Fv\ v)) = t1$ 
  proof-
  have  $subst\text{-}bv1\ t1\ lev\ (case\text{-}prod\ Fv\ v) = t1$  using subst-bv-no-loose-unchanged
  using App.premis(1-2) False le-neq-implies-less loose-bvar1.simps(2)
  by (metis loose-bvar-iff-exist-loose-bvar1 no-loose-bvar-imp-no-subst-bv1)
  moreover have  $bind\text{-}fv2\ v\ lev\ t1 = t1$ 
  using App.premis(2) bind-fv2-no-occs-unchanged by auto
  ultimately show ?thesis by simp
  qed
  then show ?thesis
  proof(cases loose-bvar1 t2 lev)
  case True
  hence  $bind\text{-}fv2\ v\ lev\ (subst\text{-}bv1\ t2\ lev\ (case\text{-}prod\ Fv\ v)) = t2$  using App by
auto
  then show ?thesis using I1 App.premis is-variable.elims(2) by auto
  next
  case False
  hence  $bind\text{-}fv2\ v\ lev\ (subst\text{-}bv1\ t2\ lev\ (case\text{-}prod\ Fv\ v)) = t2$ 
  proof-
  have  $subst\text{-}bv1\ t2\ lev\ (case\text{-}prod\ Fv\ v) = t2$  using subst-bv-no-loose-unchanged
  using App.premis(1-2) False assms le-neq-implies-less loose-bvar1.simps(2)
  by (metis loose-bvar-iff-exist-loose-bvar1 no-loose-bvar-imp-no-subst-bv1)
  moreover have  $bind\text{-}fv2\ v\ lev\ t2 = t2$ 
  using App.premis(2) bind-fv2-no-occs-unchanged by auto
  ultimately show ?thesis by simp
  qed
  then show ?thesis using I1 App.premis is-variable.elims(2) by auto
  qed
  qed
  qed auto

```

**lemma** *bind-fv-subst-bv-cancel*:

**assumes**  $\bigwedge x . x > 0 \implies \neg \text{loose-bvar1 } t \ x$

**assumes**  $\neg \text{occs } (\text{case-prod } Fv \ v) \ t$

**shows**  $\text{bind-fv } v \ (\text{subst-bv } (\text{case-prod } Fv \ v) \ t) = t$

**using** *bind-fv2-subst-bv1-cancel bind-fv-def assms subst-bv-def* **by** *auto*

**lemma** *not-loose-bvar-imp-not-loose-bvar1-all-greater*:  $\neg \text{loose-bvar } t \ lev \implies x > lev$   
 $\implies \neg \text{loose-bvar1 } t \ x$

**by** (*simp add: loose-bvar-iff-exist-loose-bvar1*)

**lemma** *mk-all'-subst-bv-strip-all-single-body-cancel*:

**assumes**  $\text{strip-all-single-var } t = \text{Some } T$

**assumes** *is-closed*  $t$

**assumes**  $(\text{name}, T) \notin \text{fv } t$

**shows**  $\text{mk-all name } T \ (\text{subst-bv } (Fv \ \text{name } T) \ (\text{strip-all-single-body } t)) = t$

**proof** –

**from** *assms(1)* **obtain**  $t'$  **where**  $t'$ :  $(Ct \ STR \ "Pure.all" \ ((T \rightarrow \text{prop } T) \rightarrow \text{prop } T) \ \$ \ Abs \ T \ t') = t$

**by** (*auto elim!: strip-all-single-var.elims*

*simp add: bind-eq-Some-conv typ-of-def split: if-splits option.splits if-splits*)

**hence**  $s: \text{strip-all-single-body } t = t'$  **by** *auto*

**have**  $\bigwedge x . x > 0 \implies \neg \text{loose-bvar1 } t \ x$

**using** *assms(2) is-open-def loose-bvar-iff-exist-loose-bvar1* **by** *blast*

**have**  $0 < x \implies \neg \text{loose-bvar1 } t' \ x$  **for**  $x$

**using** *assms(2)* **by** (*auto simp add: is-open-def t'[symmetric] loose-bvar-iff-exist-loose-bvar1 gr0-conv-Suc*)

**have**  $\text{occs } t' \ t$  **by** (*simp add: t'[symmetric]*)

**have**  $\text{bind-fv } (\text{name}, T) \ (\text{subst-bv } (Fv \ \text{name } T) \ (\text{strip-all-single-body } t)) =$   
 $(\text{strip-all-single-body } t)$

**using** *assms(2-3) bind-fv-subst-bv-cancel gr0-conv-Suc*

**by** (*force simp add: s is-open-def t'[symmetric]*

*loose-bvar-iff-exist-loose-bvar1 fv-iff-occs intro!: bind-fv-subst-bv-cancel*)

**then show** *?thesis* **using** *assms* **by** (*auto simp add: s typ-of-def t'*)

**qed**

**lemma** *not-is-all-imp-strip-all-body-unchanged*:  $\neg \text{is-all } t \implies \text{strip-all-body } t = t$

**by** (*auto elim!: is-all.elims split: if-splits*)

**lemma** *no-loose-bvar-imp-no-subst-bvs*:  $\text{is-closed } t \implies \text{subst-bvs } [] \ t = t$

**using** *no-loose-bvar-imp-no-subst-bvs1 subst-bvs-def is-open-def* **by** *simp*

**lemma** *is-closed (Abs T t)  $\implies \neg \text{loose-bvar } t \ 1$  unfolding is-open-def* **by** *simp*

**lemma** *bind-fv2-Fv-fv[simp]*:  $\text{fv } (\text{bind-fv2 } (x, \tau) \ lev \ t) = \text{fv } t - \{(x, \tau)\}$



**by** (*induction*  $(x, \tau)$  *lev*  $t$  *rule*: *bind-fv2.induct*) (*auto split*: *if-splits term.splits*)

**corollary** *mk-all-fv-unchanged*:  $\text{fv } (\text{mk-all } x \ \tau \ B) = \text{fv } B - \{(x, \tau)\}$   
**using** *bind-fv2-Fv-fv bind-fv-def* **by** *auto*

**lemma** *mk-all-list-fv-unchanged*:  $\text{fv } (\text{mk-all-list } l \ B) = \text{fv } B - \text{set } l$   
**proof** (*induction*  $l$  *arbitrary*:  $B$  *rule*: *rev-induct*)  
**case** *Nil*  
**then show** *?case* **by** *simp*  
**next**

**case** (*snoc*  $x \ xs$ )  
**have**  $s: \text{mk-all-list } (xs@[x]) \ B = \text{case-prod } \text{mk-all } x \ (\text{mk-all-list } xs \ B)$   
**by** (*simp add*: *mk-all-list-def*)  
**show** *?case*  
**by** (*simp only*: *s snoc.IH mk-all-fv-unchanged split*: *prod.splits*) *auto*  
**qed**

**abbreviation** *forall-intro-vars*  $t \ Hs \equiv \text{mk-all-list}$   
 $(\text{diff-list } (\text{add-vars}' \ t \ []) \ (\text{fold } (\text{add-vars}') \ Hs \ [])) \ t$

**end**

## 4 Sorts

**theory** *Sorts*  
**imports** *Term*  
**begin**

**definition** [*simp*]: *empty-osig* =  $(\{\}, \text{Map.empty})$

**definition** *sort-les*  $cs \ s1 \ s2 = (\text{sort-leq } cs \ s1 \ s2 \wedge \neg \text{sort-leq } cs \ s2 \ s1)$

**definition** *sort-eqv*  $cs \ s1 \ s2 = (\text{sort-leq } cs \ s1 \ s2 \wedge \text{sort-leq } cs \ s2 \ s1)$

**lemmas** *class-defs* = *class-leq-def class-les-def class-ex-def*

**lemmas** *sort-defs* = *sort-leq-def sort-les-def sort-eqv-def sort-ex-def*

**lemma** *sort-ex-class-ex*:  $\text{sort-ex } cs \ S \equiv \forall c \in S. \text{class-ex } cs \ c$

**by** (*auto simp add*: *sort-ex-def class-ex-def subset-eq*)

**locale** *wf-subclass-loc* =  
**fixes**  $cs :: \text{class rel}$   
**assumes**  $wf[\text{simp}]: \text{wf-subclass } cs$   
**begin**

**lemma** *class-les-irrefl*:  $\neg \text{class-les } cs \ c \ c$

**using**  $wf$  **by** (*simp add*: *class-les-def*)

**lemma** *class-les-trans*:  $class-les\ cs\ x\ y \implies class-les\ cs\ y\ z \implies class-les\ cs\ x\ z$   
**using** *wf* **by** (*auto simp add: class-les-def class-leq-def trans-def*)

**lemma** *class-leq-refl*[*iff*]:  $class-ex\ cs\ c \implies class-leq\ cs\ c\ c$   
**using** *wf* **by** (*simp add: class-leq-def class-ex-def refl-on-def*)

**lemma** *class-leq-trans*:  $class-leq\ cs\ x\ y \implies class-leq\ cs\ y\ z \implies class-leq\ cs\ x\ z$   
**using** *wf* **by** (*auto simp add: class-leq-def elim: transE*)

**lemma** *class-leq-antisym*:  $class-leq\ cs\ c1\ c2 \implies class-leq\ cs\ c2\ c1 \implies c1=c2$   
**using** *wf* **by** (*auto intro: antisymD simp: trans-def class-leq-def*)

**lemma** *sort-leq-refl*[*iff*]:  $sort-ex\ cs\ s \implies sort-leq\ cs\ s\ s$   
**using** *class-leq-refl* **by** (*auto simp add: sort-ex-class-ex sort-leq-def*)

**lemma** *sort-leq-trans*:  $sort-leq\ cs\ x\ y \implies sort-leq\ cs\ y\ z \implies sort-leq\ cs\ x\ z$   
**by** (*meson class-leq-trans sort-leq-def*)

**lemma** *sort-leq-ex*:  $sort-leq\ cs\ s1\ s2 \implies sort-ex\ cs\ s2$   
**by** (*auto simp add: sort-ex-def class-leq-def sort-leq-def intro: FieldI2*)

**lemma** *sort-leq-minimize*:  
 $sort-leq\ cs\ s1\ s2 \implies \exists s1'. (\forall c1 \in s1'. \exists c2 \in s2. class-leq\ cs\ c1\ c2) \wedge sort-leq\ cs\ s1'\ s2$   
**by** (*meson class-leq-refl sort-ex-class-ex sort-leq-ex sort-leq-refl*)

**lemma** *sort-ex*  $cs\ s2 \implies s1 \subseteq s2 \implies sort-ex\ cs\ s1$   
**by** (*meson sort-ex-def subset-trans*)

**lemma** *superset-imp-sort-leq*:  $sort-ex\ cs\ s2 \implies s1 \supseteq s2 \implies sort-leq\ cs\ s1\ s2$   
**by** (*auto simp add: sort-ex-class-ex sort-leq-def sort-ex-def*)

**lemma** *full-sort-top*:  $sort-ex\ cs\ s \implies sort-leq\ cs\ s\ full-sort$   
**by** (*simp add: sort-leq-def*)

**lemma** *sort-les-trans*:  $sort-les\ cs\ x\ y \implies sort-les\ cs\ y\ z \implies sort-les\ cs\ x\ z$   
**using** *sort-les-def sort-leq-trans* **by** *blast*

**lemma** *sort-equivI*:  $sort-leq\ cs\ s1\ s2 \implies sort-leq\ cs\ s2\ s1 \implies sort-equiv\ cs\ s1\ s2$   
**by** (*simp add: sort-equiv-def*)

**lemma** *sort-equiv-refl*:  $sort-ex\ cs\ s \implies sort-equiv\ cs\ s\ s$   
**using** *sort-leq-refl* **by** (*auto simp add: sort-equiv-def*)

**lemma** *sort-equiv-trans*:  $sort-equiv\ cs\ x\ y \implies sort-equiv\ cs\ y\ z \implies sort-equiv\ cs\ x\ z$   
**using** *sort-equiv-def sort-leq-trans* **by** *blast*

**lemma** *sort-equiv-sym*:  $sort-equiv\ cs\ x\ y \implies sort-equiv\ cs\ y\ x$   
**by** (*auto simp add: sort-equiv-def*)

**lemma** *normalize-sort-empty*[*simp*]:  $normalize-sort\ cs\ full-sort = full-sort$   
**by** (*simp add: normalize-sort-def*)

**lemma** *normalize-sort-normalize-sort*[*simp*]:

*normalize-sort cs (normalize-sort cs s) = normalize-sort cs s*  
**by** (*auto simp add: normalize-sort-def*)

**lemma** *sort-ex-norm-sort*: *sort-ex cs s  $\implies$  sort-ex cs (normalize-sort cs s)*  
**by** (*simp add: normalize-sort-def sort-ex-class-ex*)

**lemma** *normalized-sort-subset*: *normalize-sort cs s  $\subseteq$  s*  
**by** (*auto simp add: normalize-sort-def*)

**lemma** *normalize-sort-removed-elem-irrelevant'*:

**assumes** *sort-ex cs (insert c s)*

**assumes** *c  $\notin$  (normalize-sort cs (insert c s))*

**shows** *normalize-sort cs (insert c s) = normalize-sort cs s*

**proof** –

**have** *class-ex cs c* **using** *assms(1)* **by** (*auto simp add: sort-ex-class-ex*)

**from** *this* *assms(2)* **obtain** *c'* **where** *class-les cs c' c c'  $\in$  s*

**using** *class-les-irrefl* **by** (*auto simp add: normalize-sort-def*)

**thus** *?thesis*

**using** *class-ex cs c* *class-les-irrefl class-les-trans* **by** (*simp add: normalize-sort-def*) *blast*

**qed**

**corollary** *normalize-sort-removed-elem-irrelevant*:

**assumes** *sort-ex cs (insert c s)*

**assumes** *c  $\notin$  (normalize-sort cs (insert c s))*

**shows** *normalize-sort cs (insert c s) = normalize-sort cs s*

**using** *assms normalize-sort-removed-elem-irrelevant'*

**by** (*simp add: normalize-sort-def*)

**lemma** *normalize-sort-nempt-is-nempty*:

**assumes** *finite: finite s*

**assumes** *nempty: s  $\neq$  full-sort*

**assumes** *sort-ex cs s*

**shows** *normalize-sort cs s  $\neq$  full-sort*

**using** *assms* **proof** (*induction s rule: finite-induct*)

**case** *empty*

**then show** *?case* **by** *simp*

**next**

**case** (*insert c s*)

**note** *ICons = this*

**then show** *?case*

**proof**(*cases s*)

**case** *emptyI*

**hence** *normalize-sort cs (insert c s) = {c}*

**using** *insert class-les-irrefl* **by** (*auto simp add: normalize-sort-def sort-ex-class-ex*)

**then show** *?thesis* **by** *simp*

**next**

**case** (*insertI c' s'*)

**hence** *normalize-sort cs s  $\neq$  full-sort*

```

    using ICons by (auto simp add: normalize-sort-def sort-ex-class-ex)
  then show ?thesis
proof (cases c ∈ (normalize-sort cs s))
  case True
  hence insert c s = s
    using normalized-sort-subset by fastforce
  then show ?thesis
  using ICons by (auto simp add: normalize-sort-def sort-ex-class-ex class-les-def)
next
  case False
  then show ?thesis
    using normalize-sort-removed-elem-irrelevant
    using insert.premis(2) ICons(3) ⟨normalize-sort cs s ≠ full-sort⟩ by auto
qed
qed
qed

```

**lemma** *choose-smaller-in-sort*:

```

  assumes elem: c ∈ s and nelem: c ∉ (normalize-sort cs s) and sort-ex cs s
  obtains c' where c' ∈ s and class-les cs c' c
  using assms by (auto simp add: normalize-sort-def sort-ex-class-ex)

```

**lemma** *normalize-ex-bound'*:

```

  assumes finite: finite s and elem: c ∈ s and nelem: c ∉ (normalize-sort cs s)
  and sort-ex cs s
  shows ∃ c' ∈ (normalize-sort cs s) . class-les cs c' c
using assms proof (induction s arbitrary: c)
  case empty
  then show ?case by simp
next
  case (insert ic s)
  then show ?case
  proof (cases ic=c)
    case True
    then show ?thesis
      by (smt choose-smaller-in-sort class-les-irrefl class-les-trans insert.IH insert.premis(2)
insert.premis(3) insert-iff insert-subset normalize-sort-removed-elem-irrelevant'
sort-ex-def)
  next
    case False
    hence c ∈ s using insert.premis by simp
    then show ?thesis
  proof (cases ic ∈ (normalize-sort cs (insert ic s)))
    case True
    then show ?thesis
  proof (cases class-les cs ic c)
    case True
    then show ?thesis

```

```

    using insert ⟨c ∈ s⟩ normalize-sort-removed-elem-irrelevant' sort-ex-def
    by (metis insert-subset)
next
case False

obtain c'' where c'': c'' ∈ (normalize-sort cs s) class-les cs c'' c
  using insert ⟨c ∈ s⟩ normalize-sort-removed-elem-irrelevant' sort-ex-def
  by (metis False choose-smaller-in-sort class-les-trans insert-iff insert-subset)
moreover have (c'', c) ∈ cs (c, c'') ∉ cs
  using c'' by (simp-all add: class-leq-def class-les-def)
moreover hence ¬ class-les cs ic c''
  by (meson False class-leq-def class-les-def class-les-trans)

ultimately show ?thesis
  by (auto simp add: normalize-sort-def sort-ex-class-ex class-ex-def class-leq-def
class-les-def)
qed
next
case False
then show ?thesis
  by (metis (full-types) insert.IH insert.prem1(2) insert.prem1(3) ⟨c ∈ s⟩
normalize-sort-removed-elem-irrelevant sort-ex-def insert-subset)
qed
qed
qed

```

**corollary** *normalize-ex-bound*:

```

  assumes finite: finite s and elem: c ∈ s and nelem: c ∉ (normalize-sort cs s)
  and sort-ex cs s
  obtains c' where c' ∈ (normalize-sort cs s) and class-les cs c' c
  using assms normalize-ex-bound' by auto

```

**lemma** *sort-ex cs s*  $\implies$  *sort-leq cs s (normalize-sort cs s)*

```

  by (auto simp add: normalize-sort-def sort-leq-def sort-ex-class-ex)

```

**lemma** *sort-equiv-normalize-sort*:

```

  assumes finite s
  assumes sort-ex cs s
  shows sort-equiv cs s (normalize-sort cs s)

```

**proof** (*intro sort-equivI*)

```

  show sort-leq cs s (normalize-sort cs s)

```

```

  using assms(2) by (auto simp add: normalize-sort-def sort-leq-def sort-ex-class-ex)

```

**next**

```

  show sort-leq cs (normalize-sort cs s) s

```

```

  proof (unfold sort-leq-def; intro ballI)

```

```

    fix c2 assume c2 ∈ s

```

```

    show  $\exists c1 \in \text{normalize-sort } cs \ s. \text{ class-leq } cs \ c1 \ c2$ 

```

```

    proof (cases c2 ∈ normalize-sort cs s)

```

```

      case True

```

```

      then show ?thesis using ⟨c2 ∈ s⟩ assms sort-ex-class-ex by fast

```

```

next
  case False
  from this obtain c' where  $c' \in \text{normalize-sort } cs \ s$  and  $\text{class-les } cs \ c' \ c2$ 
  using  $\langle c2 \in s \rangle \text{ normalize-ex-bound } \text{assms}$  by metis
  then show ?thesis using  $\text{class-les-def}$  by metis
qed
qed
qed

lemma normalize-sort-eq-imp-sort-eqv:  $\text{sort-ex } cs \ s1 \implies \text{sort-ex } cs \ s2 \implies \text{finite } s1 \implies \text{finite } s2$ 
 $\implies \text{normalize-sort } cs \ s1 = \text{normalize-sort } cs \ s2$ 
 $\implies \text{sort-eqv } cs \ s1 \ s2$ 
by (metis sort-eqv-sym sort-eqv-trans wf-subclass-loc.sort-eqv-normalize-sort wf-subclass-loc-axioms)

lemma class-leq  $cs \ c1 \ c2 \iff \text{class-les } cs \ c1 \ c2 \vee (c1=c2 \wedge \text{class-ex } cs \ c1)$ 
by (meson FieldI1 class-ex-def class-leq-antisym class-leq-def class-leq-refl class-les-def)

lemma sort-eqv-imp-normalize-sort-eq:
  assumes  $\text{sort-ex } cs \ s1 \ \text{sort-ex } cs \ s2 \ \text{sort-eqv } cs \ s1 \ s2$ 
  shows  $\text{normalize-sort } cs \ s1 = \text{normalize-sort } cs \ s2$ 
proof (rule ccontr)
  have  $\text{sort-leq } cs \ s1 \ s2 \ \text{sort-leq } cs \ s2 \ s1$ 
  using assms(3) by (auto simp add: sort-eqv-def)

  assume  $\text{normalize-sort } cs \ s1 \neq \text{normalize-sort } cs \ s2$ 
  hence  $\neg \text{normalize-sort } cs \ s1 \subseteq \text{normalize-sort } cs \ s2 \vee$ 
   $\neg \text{normalize-sort } cs \ s2 \subseteq \text{normalize-sort } cs \ s1$ 
  by simp
  from this consider  $\neg \text{normalize-sort } cs \ s1 \subseteq \text{normalize-sort } cs \ s2$ 
  |  $\text{normalize-sort } cs \ s1 \subseteq \text{normalize-sort } cs \ s2$ 
  |  $\neg \text{normalize-sort } cs \ s2 \subseteq \text{normalize-sort } cs \ s1$ 
  by blast
  thus False
proof cases
  case 1
  from this obtain c where  $c: c \in \text{normalize-sort } cs \ s1 \ c \notin \text{normalize-sort } cs \ s2$ 
  by blast
  from this obtain c' where  $c': c' \in \text{normalize-sort } cs \ s2 \ \text{class-les } cs \ c' \ c$ 
  by (smt  $\langle \text{sort-leq } cs \ s1 \ s2 \rangle \langle \text{sort-leq } cs \ s2 \ s1 \rangle \text{class-les-def mem-Collect-eq}$ 
normalize-sort-def
 $\text{sort-leq-def wf-subclass-loc.class-leq-antisym wf-subclass-loc.class-leq-trans}$ 
wf-subclass-loc-axioms)
  then show ?thesis
proof (cases  $c' \in \text{normalize-sort } cs \ s1$ )
  case True
  hence  $c \notin \text{normalize-sort } cs \ s1$ 
  using  $c \ c'$  by (auto simp add: normalize-sort-def)
  then show ?thesis using c(1) by simp

```

```

next
  case False
  from False c' obtain c'' where c'': c'' ∈ normalize-sort cs s1 class-les cs c''
c'
    by (smt ⟨sort-leq cs s1 s2⟩ ⟨sort-leq cs s2 s1⟩ class-les-def mem-Collect-eq
normalize-sort-def
      sort-leq-def wf-subclass-loc.class-leq-antisym wf-subclass-loc.class-leq-trans
wf-subclass-loc-axioms)
    hence class-les cs c'' c
    using c'(2) class-les-trans by blast
    hence c ∉ normalize-sort cs s1
    using c c'' by (auto simp add: normalize-sort-def)
    then show ?thesis using c(1) by simp
qed
next

  case 2
  from this obtain c where c: c ∈ normalize-sort cs s2 c ∉ normalize-sort cs s1
  by blast
  from this obtain c' where c': c' ∈ normalize-sort cs s1 class-les cs c' c
  by (smt ⟨sort-leq cs s1 s2⟩ ⟨sort-leq cs s2 s1⟩ class-les-def mem-Collect-eq
normalize-sort-def
    sort-leq-def wf-subclass-loc.class-leq-antisym wf-subclass-loc.class-leq-trans
wf-subclass-loc-axioms)
  then show ?thesis
  proof (cases c' ∈ normalize-sort cs s2)
  case True
  hence c ∉ normalize-sort cs s2
  using c c' by (auto simp add: normalize-sort-def)
  then show ?thesis using c(1) by simp
  next
  case False
  from False c' obtain c'' where c'': c'' ∈ normalize-sort cs s2 class-les cs c''
c'
    by (smt ⟨sort-leq cs s1 s2⟩ ⟨sort-leq cs s2 s1⟩ class-les-def mem-Collect-eq
normalize-sort-def
      sort-leq-def wf-subclass-loc.class-leq-antisym wf-subclass-loc.class-leq-trans
wf-subclass-loc-axioms)
    hence class-les cs c'' c
    using c'(2) class-les-trans by blast
    hence c ∉ normalize-sort cs s2
    using c c'' by (auto simp add: normalize-sort-def)
    then show ?thesis using c(1) by simp
  qed
qed
qed

```

corollary *sort-eqv-iff-normalize-sort-eq*:  
 assumes *finite s1 finite s2*

```

assumes sort-ex cs s1 sort-ex cs s2
shows sort-eqv cs s1 s2  $\longleftrightarrow$  normalize-sort cs s1 = normalize-sort cs s2
using assms normalize-sort-eq-imp-sort-eqv sort-eqv-imp-normalize-sort-eq by blast
end

```

```

lemma tcsigs-sorts-defined: wf-osig oss  $\implies$ 
  ( $\forall$  ars  $\in$  ran (tcsigs oss) .  $\forall$  ss  $\in$  ran ars .  $\forall$  s  $\in$  set ss. sort-ex (subclass oss) s)
by (cases oss) (simp add: wf-sort-def all-normalized-and-ex-tcsigs-def)

```

```

lemma osig-subclass-loc: wf-osig oss  $\implies$  wf-subclass-loc (subclass oss)
using wf-subclass-loc.intro by (cases oss) simp

```

```

lemma wf-osig-imp-wf-subclass-loc: wf-osig oss  $\implies$  wf-subclass-loc (subclass oss)
by (cases oss) (simp add: wf-subclass-loc-def)

```

```

lemma has-sort-Tv-imp-sort-leq: has-sort oss (Tv idn S) S'  $\implies$  sort-leq (subclass
oss) S S'
by (auto simp add: has-sort.simps)

```

**end**

Constants for encoding class/sort constraints in term language

```

theory SortConstants
  imports Sorts
begin

```

```

fun dest-type :: term  $\Rightarrow$  typ option where
  dest-type (Ct nc (Ty nt [ty])) =
    (if nc = STR "Pure.type"  $\wedge$  nt = STR "Pure.type" then Some ty else None)
| dest-type t = None

```

```

definition type-map f t = map-option ( $\lambda$ ty. mk-type (f ty)) (dest-type t)

```

```

consts unsuffix :: name  $\Rightarrow$  name  $\Rightarrow$  name option

```

```

abbreviation class-of-const c  $\equiv$  (unsuffix classN c)

```

```

fun dest-of-class :: term  $\Rightarrow$  (typ * class) option where
  dest-of-class (Ct c-class - $ ty) = lift2-option Pair (dest-type ty) (class-of-const
c-class)
| dest-of-class - = None

```

```

definition mk-of-sort ty S == map ( $\lambda$ c . mk-of-class ty c) S

```



end

## 5 Wellformed Signature and Theory

**theory** *Theory*

**imports** *Term Sorts SortConstants*

**begin**

**fun** *typ-ok-sig* :: *signature*  $\Rightarrow$  *typ*  $\Rightarrow$  *bool* **where**  
  *typ-ok-sig*  $\Sigma$  (*Ty* *c* *Ts*) = (*case type-arity*  $\Sigma$  *c* of  
    *None*  $\Rightarrow$  *False*  
  | *Some ar*  $\Rightarrow$  *length Ts* = *ar*  $\wedge$  *list-all* (*typ-ok-sig*  $\Sigma$ ) *Ts*)  
| *typ-ok-sig*  $\Sigma$  (*Tv* - *S*) = *wf-sort* (*subclass* (*osig*  $\Sigma$ )) *S*

**lemma** *typ-ok-sig-imp-wf-type*: *typ-ok-sig*  $\Sigma$  *T*  $\Longrightarrow$  *wf-type*  $\Sigma$  *T*  
**by** (*induction T*) (*auto split: option.splits intro: wf-type.intros simp add: list-all-iff*)

**lemma** *wf-type-imp-typ-ok-sig*: *wf-type*  $\Sigma$  *T*  $\Longrightarrow$  *typ-ok-sig*  $\Sigma$  *T*  
**by** (*induction*  $\Sigma$  *T* *rule: wf-type.induct*) (*simp-all split: option.splits add: list-all-iff*)

**corollary** *wf-type-iff-typ-ok-sig[iff]*: *wf-type*  $\Sigma$  *T* = *typ-ok-sig*  $\Sigma$  *T*  
**using** *wf-type-imp-typ-ok-sig typ-ok-sig-imp-wf-type* **by** *blast*

**fun** *term-ok'* :: *signature*  $\Rightarrow$  *term*  $\Rightarrow$  *bool* **where**  
  *term-ok'*  $\Sigma$  (*Fv* - *T*) = *typ-ok-sig*  $\Sigma$  *T*  
| *term-ok'*  $\Sigma$  (*Bv* -) = *True*  
| *term-ok'*  $\Sigma$  (*Ct* *s* *T*) = (*case const-type*  $\Sigma$  *s* of  
  *None*  $\Rightarrow$  *False*  
  | *Some ty*  $\Rightarrow$  *typ-ok-sig*  $\Sigma$  *T*  $\wedge$  *tinstT* *T* *ty*)  
| *term-ok'*  $\Sigma$  (*t* \$ *u*)  $\longleftrightarrow$  *term-ok'*  $\Sigma$  *t*  $\wedge$  *term-ok'*  $\Sigma$  *u*  
| *term-ok'*  $\Sigma$  (*Abs* *T* *t*)  $\longleftrightarrow$  *typ-ok-sig*  $\Sigma$  *T*  $\wedge$  *term-ok'*  $\Sigma$  *t*

**lemma** *term-ok'-imp-wf-term*: *term-ok'*  $\Sigma$  *t*  $\Longrightarrow$  *wf-term*  $\Sigma$  *t*  
**by** (*induction t*) (*auto intro: wf-term.intros split: option.splits*)

**lemma** *wf-term-imp-term-ok'*: *wf-term*  $\Sigma$  *t*  $\Longrightarrow$  *term-ok'*  $\Sigma$  *t*  
**by** (*induction*  $\Sigma$  *t* *rule: wf-term.induct*) (*auto split: option.splits*)

**corollary** *wf-term-iff-term-ok'[iff]*: *wf-term*  $\Sigma$  *t* = *term-ok'*  $\Sigma$  *t*  
**using** *term-ok'-imp-wf-term wf-term-imp-term-ok'* **by** *blast*

**lemma** *acyclic-empty[simp]*: *acyclic* {} **unfolding** *acyclic-def* **by** *simp*

**lemma** *wf-sig* (*Map.empty*, *Map.empty*, *empty-osig*)  
**by** (*simp add: coregular-tcsigs-def complete-tcsigs-def consistent-length-tcsigs-def*

*all-normalized-and-ex-tcsigs-def*)

**lemma**  
*term-ok-imp-typ-ok-pre*:

$is\text{-}std\text{-}sig\ \Sigma \implies wf\text{-}term\ \Sigma\ t \implies list\text{-}all\ (typ\text{-}ok\text{-}sig\ \Sigma)\ Ts$   
 $\implies typ\text{-}of1\ Ts\ t = Some\ ty \implies typ\text{-}ok\text{-}sig\ \Sigma\ ty$   
**proof** (*induction*  $Ts\ t$  *arbitrary: ty rule: typ-of1.induct*)  
**case** (2  $Ts\ i$ )  
**then show** *?case* **by** (*auto simp add: bind-eq-Some-conv list-all-length split: option.splits if-splits*)  
**next**  
**case** (4  $Ts\ T\ body$ )  
**obtain**  $bodyT$  **where**  $bodyT: typ\text{-}of1\ (T\#Ts)\ body = Some\ bodyT$   
**using** 4.prem1 **by** *fastforce*  
**hence**  $ty: ty = T \rightarrow bodyT$   
**using** 4 **by** *simp*  
**have**  $typ\text{-}ok\text{-}sig\ \Sigma\ bodyT$   
**using** 4  $bodyT$  **by** *simp*  
**thus** *?case*  
**using**  $ty$  4 **by** (*cases*  $\Sigma$ ) *auto*  
**next**  
**case** (5  $Ts\ f\ u\ T$ )  
**from** *this* **obtain**  $U$  **where**  $typ\text{-}of1\ Ts\ u = Some\ U$   
**using** *typ-of1-split-App* **by** *blast*  
**moreover** **hence**  $typ\text{-}of1\ Ts\ f = Some\ (U \rightarrow T)$   
**using** 5.prem1 **by** (*meson typ-of1-arg-ty*)  
**ultimately** **have**  $typ\text{-}ok\text{-}sig\ \Sigma\ (U \rightarrow T)$   
**using** 5.IH(2) 5.prem1(1) 5.prem1(2) 5.prem1(3) *term-ok'.sims(4)* **by** *blast*  
**then show** *?case*  
**by** (*auto simp add: bind-eq-Some-conv split: option.splits if-splits*)  
**qed** (*auto simp add: bind-eq-Some-conv split: option.splits if-splits*)

**lemma** *theory-full-exhaust*: ( $\bigwedge cto\ tao\ sorts\ axioms.$

$\Theta = ((cto, tao, sorts), axioms) \implies P$

$\implies P$

**apply** (*cases*  $\Theta$ ) **subgoal for**  $\Sigma\ axioms$  **apply** (*cases*  $\Sigma$ ) **by** *auto done*

**definition** [*simp*]:  $typ\text{-}ok\ \Theta\ T \equiv wf\text{-}type\ (sig\ \Theta)\ T$

**definition** [*simp*]:  $term\text{-}ok\ \Theta\ t \equiv wt\text{-}term\ (sig\ \Theta)\ t$

**corollary** *typ-of-subst-bv-no-change*:  $typ\text{-}of\ t \neq None \implies subst\text{-}bv\ u\ t = t$

**using** *closed-subst-bv-no-change typ-of-imp-closed* **by** *auto*

**corollary** *term-ok-subst-bv-no-change*:  $term\text{-}ok\ \Theta\ t \implies subst\text{-}bv\ u\ t = t$

**using** *typ-of-subst-bv-no-change wt-term-def* **by** *auto*

**lemmas** *eq-axs-def = eq-reflexive-ax-def eq-symmetric-ax-def eq-transitive-ax-def eq-intr-ax-def*

*eq-elim-ax-def eq-combination-ax-def eq-abstract-rule-ax-def*

**bundle** *eq-axs-simp*

**begin**

**declare** *eq-axs-def[simp]*

**declare** *mk-all-list-def*[simp] *add-vars'-def*[simp] *bind-eq-Some-conv*[simp] *bind-fv-def*[simp]  
**end**

**lemma** *typ-of-eq-ax*: *typ-of* (*eq-reflexive-ax*) = *Some propT*  
*typ-of* (*eq-symmetric-ax*) = *Some propT*  
*typ-of* (*eq-transitive-ax*) = *Some propT*  
*typ-of* (*eq-intr-ax*) = *Some propT*  
*typ-of* (*eq-elim-ax*) = *Some propT*  
*typ-of* (*eq-combination-ax*) = *Some propT*  
*typ-of* (*eq-abstract-rule-ax*) = *Some propT*  
**by** (*auto simp add: typ-of-def eq-axs-def mk-all-list-def add-vars'-def bind-eq-Some-conv bind-fv-def*)

**lemma** *term-ok-eq-ax*:  
**assumes** *is-std-sig* (*sig*  $\Theta$ )  
**shows** *term-ok*  $\Theta$  (*eq-reflexive-ax*)  
*term-ok*  $\Theta$  (*eq-symmetric-ax*)  
*term-ok*  $\Theta$  (*eq-transitive-ax*)  
*term-ok*  $\Theta$  (*eq-intr-ax*)  
*term-ok*  $\Theta$  (*eq-elim-ax*)  
*term-ok*  $\Theta$  (*eq-combination-ax*)  
*term-ok*  $\Theta$  (*eq-abstract-rule-ax*)  
**using** *assms*  
**by** (*all*  $\langle$ *cases*  $\Theta$  *rule: theory-full-exhaust* $\rangle$ )  
(*auto simp add: wt-term-def typ-of-def tinstT-def eq-axs-def bind-eq-Some-conv bind-fv-def sort-ex-def normalize-sort-def mk-all-list-def add-vars'-def wf-sort-def*)

**lemma** *wf-theory-imp-is-std-sig*: *wf-theory*  $\Theta \implies$  *is-std-sig* (*sig*  $\Theta$ )

**by** (*cases*  $\Theta$  *rule: theory-full-exhaust*) *simp*

**lemma** *wf-theory-imp-wf-sig*: *wf-theory*  $\Theta \implies$  *wf-sig* (*sig*  $\Theta$ )

**by** (*cases*  $\Theta$  *rule: theory-full-exhaust*) *simp*

**lemma**

*term-ok-imp-typ-ok*:

*wf-theory* *thy*  $\implies$  *term-ok* *thy* *t*  $\implies$  *typ-of* *t* = *Some ty*  $\implies$  *typ-ok* *thy* *ty*

**apply** (*cases* *thy*)

**using** *term-ok-imp-typ-ok-pre term-ok-def*

**by** (*metis list.pred-inject*(1) *wt-term-def wf-theory-imp-is-std-sig typ-of-def typ-ok-def wf-type-iff-typ-ok-sig*)

**lemma** *axioms-terms-ok*: *wf-theory* *thy*  $\implies$   $A \in$ *axioms* *thy*  $\implies$  *term-ok* *thy* *A*

**using** *wt-term-def* **by** (*cases* *thy* *rule: theory-full-exhaust*) *simp*

**lemma** *axioms-typ-of-propT*: *wf-theory* *thy*  $\implies$   $A \in$ *axioms* *thy*  $\implies$  *typ-of* *A* = *Some propT*

**using** *has-typ-iff-typ-of* **by** (*cases* *thy* *rule: theory-full-exhaust*) *simp*

**lemma** *propT-ok*[simp]: *wf-theory*  $\Theta \implies$  *typ-ok*  $\Theta$  *propT*

**using** *term-ok-imp-typ-ok wf-theory.elims*(2)

**by** (*metis sig.simps term-ok-eq-ax(4) typ-of-eq-ax(4)*)

**lemma** *term-ok-mk-eqD*:  $\text{term-ok } \Theta \text{ (mk-eq } s \ t) \implies \text{term-ok } \Theta \ s \wedge \text{term-ok } \Theta \ t$   
**using** *term-ok'.simps(4) wt-term-def typ-of-def* **by** (*auto simp add: bind-eq-Some-conv*)

**lemma** *term-ok-app-eqD*:  $\text{term-ok } \Theta \ (s \ \$ \ t) \implies \text{term-ok } \Theta \ s \wedge \text{term-ok } \Theta \ t$   
**using** *term-ok'.simps(4) wt-term-def typ-of-def* **by** (*auto simp add: bind-eq-Some-conv*)

**lemma** *wf-type-Type-imp-mgd*:  
 $\text{wf-sig } \Sigma \implies \text{wf-type } \Sigma \ (Ty \ n \ Ts) \implies \text{tcsigs (osig } \Sigma) \ n \neq \text{None}$   
**by** (*cases } \Sigma) (auto split: option.splits)*

**lemma** *term-ok-eta-expand*:  
**assumes** *wf-theory } \Theta \ \text{term-ok } \Theta \ f \ \text{typ-of } f = \text{Some } (\tau \rightarrow \tau') \ \text{typ-ok } \Theta \ \tau  
**shows**  $\text{term-ok } \Theta \ (\text{Abs } \tau \ (f \ \$ \ Bv \ 0))$   
**using** *assms typ-of-eta-expand* **by** (*auto simp add: wt-term-def*)*

**lemma** *term-ok'-incr-bv*:  $\text{term-ok}' \Sigma \ t \implies \text{term-ok}' \Sigma \ (\text{incr-bv } inc \ lev \ t)$   
**by** (*induction inc lev t rule: incr-bv.induct*) *auto*

**lemma** *term-ok'-subst-bv2*:  $\text{term-ok}' \Sigma \ s \implies \text{term-ok}' \Sigma \ u \implies \text{term-ok}' \Sigma \ (\text{subst-bv2 } s \ lev \ u)$   
**by** (*induction s lev u rule: subst-bv2.induct*) (*auto simp add: term-ok'-incr-bv*)

**lemma** *term-ok'-subst-bv*:  $\text{term-ok}' \Sigma \ (\text{Abs } T \ t) \implies \text{term-ok}' \Sigma \ (\text{subst-bv } (Fv \ x \ T) \ t)$   
**by** (*simp add: substn-subst-0' term-ok'-subst-bv2*)

**lemma** *term-ok-subst-bv*:  $\text{term-ok } \Theta \ (\text{Abs } T \ t) \implies \text{term-ok } \Theta \ (\text{subst-bv } (Fv \ x \ T) \ t)$   
**apply** (*simp add: term-ok'-subst-bv wt-term-def*)  
**using** *subst-bv-def typ-of1-subst-bv-gen' typ-of-Abs-body-typ' typ-of-def* **by** *fastforce*

**lemma** *term-ok-subst-bv2-0*:  $\text{term-ok } \Theta \ (\text{Abs } T \ t) \implies \text{term-ok } \Theta \ (\text{subst-bv2 } t \ 0 \ (Fv \ x \ T))$   
**apply** (*clarsimp simp add: term-ok'-subst-bv2 wt-term-def*)  
**using** *substn-subst-0' typ-of1-subst-bv-gen' typ-of-Abs-body-typ' typ-of-def wt-term-def term-ok-subst-bv* **by** *auto*

**lemma** *has-sort-empty[simp]*:  
**assumes** *wf-sig } \Sigma \ wf-type } \Sigma \ T  
**shows**  $\text{has-sort (osig } \Sigma) \ T \ \text{full-sort}$   
**proof**(*cases } T)  
**case** (*Ty n Ts*)  
**obtain** *cl tcs* **where**  $\text{cltcs: osig } \Sigma = (cl, tcs)$   
**by** *fastforce*  
**obtain** *mgd* **where**  $\text{mgd: tcsigs (osig } \Sigma) \ n = \text{Some } mgd$   
**using** *wf-type-Type-imp-mgd assms Ty* **by** *blast*  
**show** *?thesis*  
**using** *mgd cltcs* **by** (*auto simp add: Ty intro!: has-sort-Ty*)**

```

next
  case (Tv v S)
  then show ?thesis
    by (cases osig  $\Sigma$ ) (auto simp add: sort-leq-def split: prod.splits)
qed

lemma typ-Fv-of-full-sort[simp]:
  wf-theory  $\Theta \implies$  term-ok  $\Theta$  (Fv v T)  $\implies$  has-sort (osig (sig  $\Theta$ )) T full-sort
  by (simp add: wt-term-def wf-theory-imp-wf-sig)

end

```

## 6 More on Substitutions

```

theory Term-Subst
  imports Term
begin

```

```

fun subst-typ :: ((variable  $\times$  sort)  $\times$  typ) list  $\Rightarrow$  typ  $\Rightarrow$  typ where
  subst-typ insts (Ty a Ts) =
    Ty a (map (subst-typ insts) Ts)
| subst-typ insts (Tv idn S) = the-default (Tv idn S)
  (lookup ( $\lambda x . x = (idn, S)$ ) insts)

```

```

lemma subst-typ-nil[simp]: subst-typ [] T = T
  by (induction T) (auto simp add: map-idI)

```

```

lemma subst-typ-irrelevant-order:
  assumes distinct (map fst pairs) and distinct (map fst pairs') and set pairs =
  set pairs'
shows subst-typ pairs T = subst-typ pairs' T
  using assms
proof(induction T)
  case (Ty n Ts)
  then show ?case by (induction Ts) auto
next
  case (Tv idn S)
  then show ?case using lookup-eq-order-irrelevant by (metis subst-typ.simps(2))
qed

```

```

lemma subst-typ-simulates-tsubstT-gen': distinct l  $\implies$  tvsT T  $\subseteq$  set l
   $\implies$  tsubstT T  $\varrho$  = subst-typ (map ( $\lambda(x,y).(x,y), \varrho x y$ ) l) T
proof(induction T arbitrary: l)
  case (Ty n Ts)
  then show ?case by (induction Ts) auto
next
  case (Tv idn S)
  hence d: distinct (map fst (map ( $\lambda(x,y).(x,y), \varrho x y$ ) l))

```

by (*simp add: case-prod-beta map-idI*)  
 hence  $el: ((idn, S), \varrho \text{ idn } S) \in \text{set } (\text{map } (\lambda a. \text{case } a \text{ of } (x, y) \Rightarrow ((x, y), \varrho x y))$   
 $l)$   
 using *Tv by auto*  
 show *?case using iffD1[OF lookup-present-eq-key, OF - el] Tv.premis d by auto*  
 qed

**lemma** *subst-typ-simulates-tsubstT-gen: tsubstT T  $\varrho$*   
 $= \text{subst-typ } (\text{map } (\lambda(x,y).((x,y), \varrho x y)) (\text{SOME } l . \text{distinct } l \wedge \text{tvsT } T \subseteq \text{set } l))$   
 $T$   
**proof**(*rule someI2-ex*)  
 show  $\exists a. \text{distinct } a \wedge \text{tvsT } T \subseteq \text{set } a$   
 using *finite-tvsT finite-distinct-list*  
 by (*metis order-refl*)  
**next**  
 fix  $l$  assume  $l: \text{distinct } l \wedge \text{tvsT } T \subseteq \text{set } l$   
 then show  $\text{tsubstT } T \varrho = \text{subst-typ } (\text{map } (\lambda a. \text{case } a \text{ of } (x, y) \Rightarrow ((x, y), \varrho x$   
 $y)) l) T$   
 using *subst-typ-simulates-tsubstT-gen'* by *blast*  
 qed

**corollary** *subst-typ-simulates-tsubstT: tsubstT T  $\varrho$*   
 $= \text{subst-typ } (\text{map } (\lambda(x,y).((x,y), \varrho x y)) (\text{SOME } l . \text{distinct } l \wedge \text{set } l = \text{tvsT } T))$   
 $T$   
**apply** (*rule someI2-ex*)  
**using** *finite-tvsT finite-distinct-list apply metis*  
**using** *subst-typ-simulates-tsubstT-gen' apply simp*  
**done**

**lemma** *tsubstT-simulates-subst-typ: subst-typ insts T*  
 $= \text{tsubstT } T (\lambda \text{idn } S . \text{the-default } (T \text{ idn } S) (\text{lookup } (\lambda x. x=(\text{idn}, S)) \text{insts}))$   
 by (*induction T*) *auto*

**lemma** *subst-typ-comp:*  
 $\text{subst-typ } \text{inst1 } (\text{subst-typ } \text{inst2 } T) = \text{subst-typ } (\text{map } (\text{apsnd } (\text{subst-typ } \text{inst1}))$   
 $\text{inst2 } @ \text{inst1}) T$   
**proof** (*induction inst2 T arbitrary: inst1 rule: subst-typ.induct*)  
 case (*1 insts a Ts*)  
 then show *?case*  
 by *auto*  
**next**  
 case (*2 insts idn S*)  
 then show *?case*  
 by (*induction insts*) *auto*  
 qed

**lemma** *subst-typ-AList-clearjunk: subst-typ insts T = subst-typ (AList.clearjunk*

```

insts) T
proof (induction T)
  case (Ty n Ts)
  then show ?case
    by auto
next
  case (Tv n S)
  then show ?case
proof(induction insts)
  case Nil
  then show ?case
    by auto
next
  case (Cons inst insts)
  then show ?case
    by simp (metis clearjunk.simps(2) lookup-AList-clearjunk)
qed
qed

fun subst-type-term :: ((variable × sort) × typ) list ⇒
  ((variable × typ) × term) list ⇒ term ⇒ term where
  subst-type-term instT insts (Ct c T) = Ct c (subst-typ instT T)
| subst-type-term instT insts (Fv idn T) = (let T' = subst-typ instT T in
  the-default (Fv idn T') (lookup (λx. x = (idn, T')) insts))
| subst-type-term - - (Bv n) = Bv n
| subst-type-term instT insts (Abs T t) = Abs (subst-typ instT T) (subst-type-term
instT insts t)
| subst-type-term instT insts (t $ u) = subst-type-term instT insts t $ subst-type-term
instT insts u

lemma subst-type-term-empty-no-change[simp]: subst-type-term [] [] t = t
  by (induction t) (simp-all add:)

lemma subst-type-term-irrelevant-order:
  assumes instT-assms: distinct (map fst instT) distinct (map fst instT') set instT
= set instT'
  assumes insts-assms: distinct (map fst insts) distinct (map fst insts') set insts
= set insts'
shows subst-type-term instT insts t = subst-type-term instT' insts' t
  using assms
proof(induction t)
  case (Fv idn T)
  then show ?case
    apply (simp add: Let-def subst-typ-irrelevant-order[OF Fv.prem(1-3)])
    using lookup-eq-order-irrelevant by (metis Fv.prem(4) Fv.prem(5) insts-assms)
next
  case (Abs T t)
  then show ?case using subst-typ-irrelevant-order[OF instT-assms] by simp
qed (simp-all add: subst-typ-irrelevant-order[OF instT-assms])

```

**lemma** *subst-type-term-simulates-subst-tsubst-gen'*:  
**assumes** *lty-assms*: *distinct lty tvs t*  $\subseteq$  *set lty*  
**assumes** *lt-assms*: *distinct lt fv (tsubst t  $\varrho$ ty)*  $\subseteq$  *set lt*  
**shows** *subst (tsubst t  $\varrho$ ty)  $\varrho$ t*  
 $=$  *subst-type-term (map ( $\lambda(x,y).$ (( $x,y$ ),  $\varrho$ ty x y)) lty) (map ( $\lambda(x,y).$ (( $x,y$ ),  $\varrho$ t x y)) lt) t*  
**proof** –  
**let** *?lty*  $=$  *map ( $\lambda(x,y).$ (( $x,y$ ),  $\varrho$ ty x y)) lty*  
  
**have** *p1ty*: *distinct (map fst ?lty)* **using** *lty-assms*  
**by** (*simp add: case-prod-beta map-idI*)  
  
**let** *?lt*  $=$  *map ( $\lambda(x,y).$ (( $x,y$ ),  $\varrho$ t x y)) lt*  
  
**have** *p1t*: *distinct (map fst ?lt)* **using** *lt-assms*  
**by** (*simp add: case-prod-beta map-idI*)  
  
**show** *?thesis* **using** *assms*  
**proof** (*induction t arbitrary: lty lt*)  
**case** (*Fv idn T*)  
  
**let** *?T*  $=$  *tsubstT T  $\varrho$ ty*  
**have** *el*: (*(idn, ?T),  $\varrho$ t idn ?T*)  $\in$  *set (map ( $\lambda(x,y).$ (( $x,y$ ),  $\varrho$ t x y)) lt)*  
**using** *Fv* **by** *auto*  
**have** *d*: *distinct (map fst (map ( $\lambda(x,y).$ (( $x,y$ ),  $\varrho$ t x y)) lt))*  
**using** *Fv* **by** (*simp add: case-prod-beta map-idI*)  
**show** *?case* **using** *Fv.prem* *d*  
**by** (*auto simp add: iffD1[OF lookup-present-eq-key, OF d el]*  
*subst-typ-simulates-tsubstT-gen'[symmetric] Let-def*)  
**qed** (*simp-all add: subst-typ-simulates-tsubstT-gen'*)  
**qed**

**corollary** *subst-type-term-simulates-subst-tsubst*: *subst (tsubst t  $\varrho$ ty)  $\varrho$ t*  
 $=$  *subst-type-term (map ( $\lambda(x,y).$ (( $x,y$ ),  $\varrho$ ty x y)) (SOME lty . *distinct lty*  $\wedge$  *tvs*  
*t = set lty*))*  
*(map ( $\lambda(x,y).$ (( $x,y$ ),  $\varrho$ t x y)) (SOME lt . *distinct lt*  $\wedge$  *fv (tsubst t  $\varrho$ ty) = set*  
*lt)) t*  
**apply** (*rule someI2-ex*)  
**using** *finite-fv finite-distinct-list* **apply** *metis*  
**apply** (*rule someI2-ex*)  
**using** *finite-tvs finite-distinct-list* **apply** *metis*  
**using** *subst-type-term-simulates-subst-tsubst-gen'* **by** *simp**

**abbreviation** *subst-typ'* *pairs t*  $\equiv$  *map-types (subst-typ pairs) t*

**lemma** *subst-typ'-nil[*simp*]*: *subst-typ' [] A = A*  
**by** (*induction A*) (*auto simp add:*)



**lemma** *subst-typ'-simulates-tsubst-gen'*: *distinct pairs*  $\implies$  *tvs t*  $\subseteq$  *set pairs*  
 $\implies$  *tsubst t*  $\varrho$  = *subst-typ'* (*map* ( $\lambda(x,y).((x,y), \varrho x y)$ ) *pairs*) *t*  
**by** (*induction t arbitrary: pairs*  $\varrho$ )  
*(auto simp add: subst-typ'-simulates-tsubstT-gen')*

**lemma** *subst-typ'-simulates-tsubst-gen*: *tsubst t*  $\varrho$   
= *subst-typ'* (*map* ( $\lambda(x,y).((x,y), \varrho x y)$ ) (*SOME l . distinct l*  $\wedge$  *tvs t*  $\subseteq$  *set l*)) *t*  
**proof**(*rule someI2-ex*)  
**show**  $\exists a. \text{distinct } a \wedge \text{tvs } t \subseteq \text{set } a$   
**using** *finite-tvs finite-distinct-list*  
**by** (*metis order-refl*)  
**next**  
**fix** *l* **assume** *l*: *distinct l*  $\wedge$  *tvs t*  $\subseteq$  *set l*  
  
**then show** *tsubst t*  $\varrho$  = *subst-typ'* (*map* ( $\lambda a. \text{case } a \text{ of } (x, y) \Rightarrow ((x, y), \varrho x y)$ )  
*l*) *t*  
**using** *subst-typ'-simulates-tsubst-gen'* **by** *blast*  
**qed**

**lemma** *tsubst-simulates-subst-typ'*: *subst-typ' insts T*  
= *tsubst T* ( $\lambda \text{idn } S . \text{the-default } (T \text{v idn } S) (\text{lookup } (\lambda x. x=(\text{idn}, S)) \text{ insts})$ )  
**by** (*induction T*) (*auto simp add: tsubstT-simulates-subst-typ*)

**lemma** *subst-type-add-degenerate-instance*:  
 $(\text{idn}, s) \notin \text{set } (\text{map fst insts}) \implies \text{subst-typ insts } T = \text{subst-typ } (((\text{idn}, s), T \text{v idn } s) \# \text{insts}) T$   
**by** (*induction T*) (*auto simp add: lookup-eq-key-not-present*)

**lemma** *subst-typ'-add-degenerate-instance*:  
 $(\text{idn}, s) \notin \text{set } (\text{map fst insts}) \implies \text{subst-typ' insts } t = \text{subst-typ' } (((\text{idn}, s), T \text{v idn } s) \# \text{insts}) t$   
**by** (*induction t*) (*auto simp add: subst-type-add-degenerate-instance*)

**lemma** *subst-typ'-comp*:  
 $\text{subst-typ' inst1 } (\text{subst-typ' inst2 } t) = \text{subst-typ' } (\text{map } (\text{apsnd } (\text{subst-typ inst1})) \text{ inst2 } @ \text{inst1}) t$   
**by** (*induction t*) (*use subst-typ-comp in auto*)

**lemma** *subst-typ'-AList-clearjunk*: *subst-typ' insts t* = *subst-typ'* (*AList.clearjunk insts*) *t*  
**by** (*induction t*) (*use subst-typ-AList-clearjunk in auto*)

**fun** *subst-term* ::  $((\text{variable} * \text{typ}) * \text{term}) \text{ list} \Rightarrow \text{term} \Rightarrow \text{term}$  **where**  
*subst-term insts* (*Ct c T*) = *Ct c T*  
| *subst-term insts* (*Fv idn T*) = *the-default* (*Fv idn T*) (*lookup* ( $\lambda x. x=(\text{idn}, T)$ ))

```

insts)
| subst-term - (Bv n) = Bv n
| subst-term insts (Abs T t) = Abs T (subst-term insts t)
| subst-term insts (t $ u) = subst-term insts t $ subst-term insts u

```

**lemma** *subst-term-empty-no-change*[simp]: *subst-term [] t = t*  
**by** (*induction t*) *auto*

**lemma** *subst-type-term-without-type-insts-eq-subst-term*[simp]:  
*subst-type-term [] insts t = subst-term insts t*  
**by** (*induction insts t rule: subst-term.induct*) *simp-all*

**lemma** *subst-type-term-split-levels*:  
*subst-type-term instT insts t = subst-term insts (subst-typ' instT t)*  
**by** (*induction t*) (*auto simp add: Let-def*)

**lemma** *subst-typ-stepwise*:  
**assumes** *distinct (map fst instT)*  
**assumes**  $\bigwedge x . x \in (\bigcup t \in \text{snd } ' \text{set instT} . \text{tvsT } t) \implies x \notin \text{fst } ' \text{set instT}$   
**shows** *subst-typ instT T = fold ( $\lambda \text{single acc} . \text{subst-typ [single] acc}$ ) instT T*  
**using** *assms proof (induction instT T rule: subst-typ.induct)*  
**case** (*1 inst a Ts*)  
**then show** *?case*  
**proof** (*induction Ts arbitrary: inst*)  
**case** *Nil*  
**then show** *?case by (induction inst) auto*  
**next**  
**case** (*Cons T Ts*)  
**hence** *subst-typ inst (Ty a Ts) = fold ( $\lambda \text{single} . \text{subst-typ [single]}$ ) inst (Ty a*  
*Ts)*  
**by** *simp*  
**moreover have** *subst-typ inst T = fold ( $\lambda \text{single} . \text{subst-typ [single]}$ ) inst T*  
**using** *Cons 1 by simp*  
**moreover have** *fold ( $\lambda \text{single} . \text{subst-typ [single]}$ ) inst (Ty a (T#Ts))*  
*= (Ty a (map (fold ( $\lambda \text{single} . \text{subst-typ [single]}$ ) inst) (T#Ts)))*  
**proof** (*induction inst rule: rev-induct*)  
**case** *Nil*  
**then show** *?case by simp*  
**next**  
**case** (*snoc x xs*)  
**hence** *fold ( $\lambda \text{single} . \text{subst-typ [single]}$ ) (xs @ [x]) (Ty a (T # Ts)) =*  
*Ty a (map (subst-typ [x]) (map (fold ( $\lambda \text{single} . \text{subst-typ [single]}$ ) xs) (T #*  
*Ts)))*  
**by** *simp*  
**then show** *?case by simp*  
**qed**

```

ultimately show ?case
  using Cons.premis(1) Cons.premis(2) local.Cons(4) by auto
qed
next
case (2 inst idn S)
then show ?case
proof (cases lookup (λx . x = (idn, S)) (inst))
  case None
  hence fst p ≠ (idn, S) if p∈set inst for p using that by (auto simp add:
lookup-None-iff)
  hence subst-typ [p] (Tv idn S) = Tv idn S if p∈set inst for p
  using that by (cases p) fastforce
  from this None show ?thesis by (induction inst) (auto split: if-splits)
next
case (Some a)

  have elem: ((idn, S), a) ∈ set inst using Some lookup-present-eq-key'' 2 by
fastforce
  from this obtain fs bs where split: inst = fs @ ((idn, S), a) # bs
  by (meson split-list)
  hence (idn, S) ∉ set (map fst fs) and (idn, S) ∉ set (map fst bs) using 2 by
simp-all

  hence fst p ≠ (idn, S) if p∈set fs for p
  using that by force
  hence id-subst-fs: subst-typ [p] (Tv idn S) = Tv idn S if p∈set fs for p
  using that by (cases p) fastforce
  hence fs-step: fold (λsingle. subst-typ [single]) fs (Tv idn S) = Tv idn S
  by (induction fs) (auto split: if-splits)

  have change-step: subst-typ [(idn, S), a] (Tv idn S) = a by simp

  have bs-sub: set bs ⊆ set inst using split by auto
  hence x ∉ fst ' set bs
  if x ∈ ⋃ (tvsT ' snd ' set bs) for x
  using 2 that split by (auto simp add: image-iff)

  have v ∉ fst ' set bs if v ∈ tvsT a for v
  using that 2 elem bs-sub by (fastforce simp add: image-iff)

  hence id-subst-bs: subst-typ [p] a = a if p ∈ set bs for p
  using that proof (cases p, induction a)
  case (Ty n Ts)
  then show ?case
  by (induction Ts) auto
next
case (Tv n S)
then show ?case
by force

```

qed  
 hence *bs-step*: fold ( $\lambda single. subst\text{-}typ [single]$ ) *bs* *a* = *a*  
 by (*induction bs*) *auto*

from *fs-step change-step bs-step split Some* **show** *?thesis* **by** *simp*  
 qed  
 qed

**corollary** *subst-typ-split-first*:

**assumes** *distinct* (*map fst* (*x#xs*))  
**assumes**  $\bigwedge y. y \in (\bigcup t \in snd \text{ ' } set \text{ ' } (x\#xs) . tvsT t) \implies y \notin fst \text{ ' } (set \text{ ' } (x\#xs))$   
**shows** *subst-typ* (*x#xs*) *T* = *subst-typ xs* (*subst-typ* [*x*] *T*)

**proof** –

**have** *subst-typ* (*x#xs*) *T* = fold ( $\lambda single . subst\text{-}typ [single]$ ) (*x#xs*) *T*  
**using** *assms subst-typ-stepwise* **by** *blast*  
**also have**  $\dots = fold (\lambda single . subst\text{-}typ [single]) xs (subst\text{-}typ [x] T)$   
**by** *simp*  
**also have**  $\dots = subst\text{-}typ xs (subst\text{-}typ [x] T)$   
**using** *assms subst-typ-stepwise* **by** *simp*  
**finally show** *?thesis* .

qed

**corollary** *subst-typ-split-last*:

**assumes** *distinct* (*map fst* (*xs @ [x]*))  
**assumes**  $\bigwedge y. y \in (\bigcup t \in snd \text{ ' } (set \text{ ' } (xs @ [x])) . tvsT t) \implies y \notin fst \text{ ' } (set \text{ ' } (xs @ [x]))$   
**shows** *subst-typ* (*xs @ [x]*) *T* = *subst-typ* [*x*] (*subst-typ xs T*)

**proof** –

**have** *subst-typ* (*xs @ [x]*) *T* = fold ( $\lambda single . subst\text{-}typ [single]$ ) (*xs@[x]*) *T*  
**using** *assms subst-typ-stepwise* **by** *blast*  
**also have**  $\dots = subst\text{-}typ [x] (fold (\lambda single . subst\text{-}typ [single]) xs T)$   
**by** *simp*  
**also have**  $\dots = subst\text{-}typ [x] (subst\text{-}typ xs T)$   
**using** *assms subst-typ-stepwise* **by** *simp*  
**finally show** *?thesis* .

qed

**lemma** *subst-typ'-stepwise*:

**assumes** *distinct* (*map fst instT*)  
**assumes**  $\bigwedge x. x \in (\bigcup t \in snd \text{ ' } (set \text{ ' } instT) . tvsT t) \implies x \notin fst \text{ ' } (set \text{ ' } instT)$   
**shows** *subst-typ'* *instT* *t* = fold ( $\lambda single acc . subst\text{-}typ' [single] acc$ ) *instT* *t*

**using** *assms* **proof** (*induction instT arbitrary: t* *rule: rev-induct*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*snoc x xs*)

**then show** *?case*

**apply** (*induction t*)

```

using subst-typ-split-last apply simp-all
apply (metis map-types.simps)+
done
qed

lemma subst-term-stepwise:
  assumes distinct (map fst insts)
  assumes  $\bigwedge x . x \in (\bigcup t \in \text{snd } '(\text{set insts}) . \text{fv } t) \implies x \notin \text{fst } '(\text{set insts})$ 
  shows subst-term insts t = fold ( $\lambda \text{single acc} . \text{subst-term } [\text{single}] \text{ acc}$ ) insts t
using assms proof (induction insts arbitrary: t rule: rev-induct)
  case Nil
  then show ?case by simp
next
  case (snoc x xs)
  then show ?case
  proof (induction t)
    case (Fv idn T)

    define insts where insts-def: insts = xs @ [x]
    have insts-thm1: distinct (map fst insts) using insts-def snoc by simp
    have insts-thm2: x  $\notin$  fst ' set insts if  $x \in \bigcup (\text{fv } ' \text{snd } ' \text{set insts})$  for x
      using insts-def snoc that by blast
    from Fv show ?case

    proof (cases lookup ( $\lambda x . x = (\text{idn}, T)$ ) insts)
      case None
      hence fst p  $\neq$  (idn, T) if  $p \in \text{set insts}$  for p using that by (auto simp add: lookup-None-iff)
      hence subst-term [p] (Fv idn T) = Fv idn T if  $p \in \text{set insts}$  for p
        using that by (cases p) fastforce
      from this None show ?thesis
        unfolding insts-def[symmetric]
        by (induction insts) (auto split: if-splits)
    next
    case (Some a)

    have elem: ((idn, T), a)  $\in$  set insts using Some lookup-present-eq-key''
insts-thm1 by fastforce
    from this obtain fs bs where split: insts = fs @ ((idn, T), a) # bs
      by (meson split-list)
    hence  $(\text{idn}, T) \notin \text{set } (\text{map fst } fs)$  and  $(\text{idn}, T) \notin \text{set } (\text{map fst } bs)$  using
insts-thm1 by simp-all

    hence fst p  $\sim =$  (idn, T) if  $p \in \text{set } fs$  for p
      using that by force
    hence id-subst-fs: subst-term [p] (Fv idn T) = Fv idn T if  $p \in \text{set } fs$  for p
      using that by (cases p) fastforce
    hence fs-step: fold ( $\lambda \text{single} . \text{subst-term } [\text{single}]$ ) fs (Fv idn T) = Fv idn T

```

**by** (*induction fs*) (*auto split: if-splits*)

**have** *change-step: subst-term*  $[(idn, T), a]$   $(Fv\ idn\ T) = a$  **by** *simp*

**have** *bs-sub: set bs*  $\subseteq$  *set insts* **using** *split by auto*

**hence**  $x \notin fst\ 'set\ bs$

**if**  $x \in \bigcup (fv\ 'snd\ 'set\ bs)$  **for**  $x$

**using** *insts-thm2 that split by (auto simp add: image-iff)*

**have**  $v \notin fst\ 'set\ bs$  **if**  $v \in fv\ a$  **for**  $v$

**using** *that insts-thm2 elem bs-sub by (fastforce simp add: image-iff)*

**hence** *id-subst-bs: subst-term*  $[p]\ a = a$  **if**  $p \in set\ bs$  **for**  $p$

**using** *that by (cases p, induction a) force+*

**hence** *bs-step: fold*  $(\lambda single.\ subst-term\ [single])\ bs\ a = a$

**by** (*induction bs*) *auto*

**from** *fs-step change-step bs-step split Some* **show** *?thesis* **by** (*simp add: insts-def*)

**qed**

**qed** (*simp, metis subst-term.simps*)+

**qed**

**corollary** *subst-term-split-last:*

**assumes** *distinct* (*map fst* ( $xs\ @\ [x]$ ))

**assumes**  $\bigwedge y . y \in (\bigcup t \in snd\ ' (set\ (xs\ @\ [x])) . fv\ t) \implies y \notin fst\ ' (set\ (xs\ @\ [x]))$

**shows** *subst-term* ( $xs\ @\ [x]$ )  $t = subst-term\ [x]\ (subst-term\ xs\ t)$

**proof** –

**have** *subst-term* ( $xs\ @\ [x]$ )  $t = fold\ (\lambda single . subst-term\ [single])\ (xs@[x])\ t$

**using** *assms subst-term-stepwise by blast*

**also have**  $\dots = subst-term\ [x]\ (fold\ (\lambda single . subst-term\ [single])\ xs\ t)$

**by** *simp*

**also have**  $\dots = subst-term\ [x]\ (subst-term\ xs\ t)$

**using** *assms subst-term-stepwise by simp*

**finally show** *?thesis* .

**qed**

**corollary** *subst-type-term-stepwise:*

**assumes** *distinct* (*map fst instT*)

**assumes**  $\bigwedge x . x \in (\bigcup T \in snd\ ' (set\ instT) . tvsT\ T) \implies x \notin fst\ ' (set\ instT)$

**assumes** *distinct* (*map fst insts*)

**assumes**  $\bigwedge x . x \in (\bigcup t \in snd\ ' (set\ insts) . fv\ t) \implies x \notin fst\ ' (set\ insts)$

**shows** *subst-type-term instT insts t*

$= fold\ (\lambda single . subst-term\ [single])\ insts\ (fold\ (\lambda single . subst-typ'\ [single])\ instT\ t)$

**using** *assms subst-typ'-stepwise subst-term-stepwise subst-type-term-split-levels by auto*

**lemma** *distinct-fst-imp-distinct*:  $\text{distinct } (\text{map } \text{fst } l) \implies \text{distinct } l$  **by** (*induction l*) *auto*

**lemma** *distinct-kv-list*:  $\text{distinct } l \implies \text{distinct } (\text{map } (\lambda x. (x, f x)) l)$  **by** (*induction l*) *auto*

**lemma** *subst-subst-term*:

**assumes** *distinct l and fv t  $\subseteq$  set l*

**shows**  $\text{subst } t \ \varrho = \text{subst-term } (\text{map } (\lambda x. (x, \text{case-prod } \varrho \ x)) l) \ t$

**using** *assms* **proof** (*induction t arbitrary: l*)

**case** (*Fv idn T*)

**then show** *?case*

**proof** (*cases (idn, T)  $\in$  set l*)

**case** *True*

**hence**  $((\text{idn}, T), \varrho \ \text{idn } T) \in \text{set } (\text{map } (\lambda x. (x, \text{case-prod } \varrho \ x)) l)$  **by** *auto*

**moreover have**  $\text{distinct } (\text{map } \text{fst } (\text{map } (\lambda x. (x, \text{case-prod } \varrho \ x)) l))$

**using** *Fv(1)* **by** (*induction l*) *auto*

**ultimately have**  $(\text{lookup } (\lambda x. x = (\text{idn}, T)) (\text{map } (\lambda x. (x, \text{case } x \ \text{of } (x, xa)) \Rightarrow \varrho \ x \ xa)) l))$

$= \text{Some } (\varrho \ \text{idn } T)$  **using** *lookup-present-eq-key* **by** *fast*

**then show** *?thesis* **by** *simp*

**next**

**case** *False*

**then show** *?thesis* **using** *Fv* **by** *simp*

**qed**

**qed** *auto*

**lemma** *subst-term-subst*:

**assumes** *distinct (map fst l)*

**shows**  $\text{subst-term } l \ t = \text{subst } t \ (\text{fold } (\lambda((\text{idn}, T), t) \ f \ x \ y. \ \text{if } x=\text{idn} \wedge y=T \ \text{then } t \ \text{else } f \ x \ y) \ l \ \text{Fv})$

**using** *assms* **proof** (*induction t*)

**case** (*Fv idn T*)

**then show** *?case*

**proof** (*cases lookup*  $(\lambda x. x = (\text{idn}, T)) \ l$ )

**case** *None*

**hence**  $(\text{idn}, T) \notin \text{set } (\text{map } \text{fst } l)$

**by** (*metis (full-types) lookup-None-iff*)

**hence**  $(\text{fold } (\lambda((\text{idn}, T), t) \ f \ x \ y. \ \text{if } x=\text{idn} \wedge y=T \ \text{then } t \ \text{else } f \ x \ y) \ l \ \text{Fv}) \ \text{idn } T = \text{Fv } \ \text{idn } T$

**by** (*induction l rule: rev-induct*) (*auto split: if-splits prod.splits*)

**then show** *?thesis* **by** (*simp add: None*)

**next**

**case** (*Some a*)

**have** *elem*:  $((\text{idn}, T), a) \in \text{set } l$

**using** *Some lookup-present-eq-key'' Fv* **by** *fastforce*  
**from this obtain**  $fs\ bs$  **where** *split: l = fs @ ((idn, T), a) # bs*  
**by** *(meson split-list)*  
**hence**  $(idn, T) \notin set\ (map\ fst\ fs)$  **and** *not-in-bs: (idn, T) \notin set (map fst bs)*  
**using** *Fv* **by** *simp-all*

**hence**  $fst\ p \sim = (idn, T)$  **if**  $p \in set\ fs$  **for**  $p$   
**using** *that by force*  
**hence** *fs-step: (fold (\lambda((idn, T), t) f x y. if x=idn \wedge y=T then t else f x y) fs*  
*Fv) idn T = Fv idn T*  
**by** *(induction fs rule: rev-induct) (fastforce split: if-splits prod.splits)+*

**have** *bs-sub: set bs \subseteq set l* **using** *split* **by** *auto*

**have**  $fst\ p \sim = (idn, T)$  **if**  $p \in set\ bs$  **for**  $p$   
**using** *that not-in-bs by force*  
**hence** *bs-step: (fold (\lambda((idn, T), t) f x y. if x=idn \wedge y=T then t else f x y) bs*  
*f) idn T = f idn T*  
**for**  $f$   
**by** *(induction bs rule: rev-induct) (fastforce split: if-splits prod.splits)+*

**from** *fs-step bs-step split Some* **show** *?thesis* **by** *simp*  
**qed**  
**qed** *auto*

**lemma** *subst-typ-combine-single:*

**assumes** *fresh-idn \notin fst ' tvsT \tau*  
**shows** *subst-typ [((fresh-idn, S), \tau2)] (subst-typ [((idn, S), Tv fresh-idn S)] \tau)*  
 $= subst-typ [((idn, S), \tau2)] \tau$   
**using** *assms* **by** *(induction \tau) auto*

**lemma** *subst-typ-combine:*

**assumes** *length fresh-idns = length insts*  
**assumes** *distinct fresh-idns*  
**assumes** *distinct (map fst insts)*  
**assumes**  $\forall idn \in set\ fresh-idns . idn \notin fst\ ' (tvsT\ \tau \cup (\bigcup ty \in snd\ ' set\ insts .$   
 $(tvsT\ ty))$   
 $\cup (fst\ ' set\ insts))$   
**shows** *subst-typ insts \tau*  
 $= subst-typ (zip (zip\ fresh-idns (map\ snd (map\ fst\ insts))) (map\ snd\ insts))$   
 $(subst-typ (zip (map\ fst\ insts) (map2\ Tv\ fresh-idns (map\ snd (map\ fst\ insts))))$   
 $\tau)$   
**using** *assms* **proof** *(induction insts \tau arbitrary: fresh-idns rule: subst-typ.induct)*  
**case** *(1 inst a Ts)*  
**then show** *?case* **by** *fastforce*  
**next**  
**case** *(2 inst idn S)*  
**show** *?case*  
**proof** *(cases lookup (\lambda x. x = (idn, S)) inst)*



```

case None
hence  $((idn, S)) \notin \text{fst } \text{'set inst}$ 
  by (metis (mono-tags, lifting) list.set-map lookup-None-iff)
hence 1: (lookup  $(\lambda x. x = (idn, S))$ )
  (zip (map fst inst) (map2 Tv fresh-idns (map (snd o fst inst)))) = None
  using 2 by (simp add: lookup-eq-key-not-present)

have  $(idn, S) \notin \text{set } (\text{zip fresh-idns } (\text{map } (\text{snd } \circ \text{fst}) \text{ inst}))$ 
  using 2 set-zip-leftD by fastforce
hence (lookup  $(\lambda x. x = (idn, S))$ )
  (zip (zip fresh-idns (map (snd o fst inst))) (map snd inst))) = None
  using 2 by (simp add: lookup-eq-key-not-present)

then show ?thesis using None 1 by simp
next
case (Some ty)
from this obtain idx where  $idx: inst ! idx = ((idn, S), ty) \ \ idx < \text{length } inst$ 
proof (induction inst)
  case Nil
  then show ?case
    by simp
  next
  case (Cons a as) thm Cons.IH
  have  $(\bigwedge idx. as ! idx = ((idn, S), ty) \implies idx < \text{length } as \implies \text{thesis})$ 
    by (metis Cons.prem1 in-set-conv-nth list.set-intros2)
  then show ?case
    by (meson Cons.prem1 Cons.prem2 in-set-conv-nth lookup-present-eq-key')
qed

from this obtain fresh-idn where  $\text{fresh-idn}: \text{fresh-idns} ! idx = \text{fresh-idn}$  by
simp

from 2(1) idx fresh-idn have ren:
  (zip (map fst inst) (map2 Tv fresh-idns (map (snd o fst inst)))) ! idx
  =  $((idn, S), Tv \ \text{fresh-idn } S)$ 
  by auto
from this idx(2) have  $((idn, S), Tv \ \text{fresh-idn } S) \in \text{set}$ 
  (zip (map fst inst) (map2 Tv fresh-idns (map (snd o fst inst))))
  by (metis (no-types, opaque-lifting) 2.prem1 length-map map-fst-zip map-map
map-snd-zip nth-mem)
from this have 1: (lookup  $(\lambda x. x = (idn, S))$ )
  (zip (map fst inst) (map2 Tv fresh-idns (map (snd o fst inst)))) = Some (Tv
fresh-idn S)
  by (simp add: 2.prem1 2.prem3 lookup-present-eq-key'')

from 2(1) idx fresh-idn 1 have  $((\text{fresh-idn}, S), ty)$ 
   $\in \text{set } (\text{zip } (\text{zip fresh-idns } (\text{map } (\text{snd } \circ \text{fst}) \text{ inst})) (\text{map } \text{snd } \text{inst}))$ 
  using in-set-conv-nth by fastforce
hence 2: (lookup  $(\lambda x. x = (\text{fresh-idn}, S))$ )

```

(zip (zip fresh-idns (map (snd ∘ fst) inst)) (map snd inst))) = Some ty  
 by (simp add: 2.prem1 2.prem2 distinct-zipI1 lookup-present-eq-key')  
 then show ?thesis using Some 1 2 by simp  
 qed  
 qed

**lemma** subst-typ-combine':  
 assumes length fresh-idns = length insts  
 assumes distinct fresh-idns  
 assumes distinct (map fst insts)  
 assumes  $\forall idn \in \text{set fresh-idns} . idn \notin \text{fst } \tau \cup (\bigcup ty \in \text{snd } \tau \text{ set insts} .$   
 (tvsT ty))  
 $\cup (\text{fst } \tau \text{ set insts}))$   
 shows subst-typ insts  $\tau$   
 = fold ( $\lambda \text{single acc} . \text{subst-typ [single] acc}$ ) (zip (zip fresh-idns (map snd (map  
 fst insts))) (map snd insts))  
 (fold ( $\lambda \text{single acc} . \text{subst-typ [single] acc}$ ) (zip (map fst insts) (map2 Tv  
 fresh-idns (map snd (map fst insts))))  $\tau$ )  
**proof** –  
 have s1:  $\text{fst } \tau \text{ set (zip (map fst insts) (map2 Tv fresh-idns (map snd (map fst$   
 insts))))  
 =  $\text{fst } \tau \text{ set insts}$   
**proof** –  
 have  $\text{fst } \tau \text{ set (zip (map fst insts) (map2 Tv fresh-idns (map snd (map fst$   
 insts))))  
 =  $\text{set (map fst (zip (map fst insts) (map2 Tv fresh-idns (map snd (map fst$   
 insts)))))  
 by auto  
 also have ... =  $\text{set (map fst insts)}$  using map-fst-zip assms(1) by auto  
 also have ... =  $\text{fst } \tau \text{ set insts}$  by simp  
 finally show ?thesis .  
 qed

have  $\text{snd } \tau \text{ set (zip (map fst insts) (map2 Tv fresh-idns (map snd (map fst insts))))$   
 =  $\text{set (map2 Tv fresh-idns (map snd (map fst insts)))}$  using map-snd-zip  
 assms(1)  
 by (metis (no-types, lifting) image-set length-map)  
 hence  $(\bigcup (tvsT \tau \text{ snd } \tau \text{ set (zip (map fst insts) (map2 Tv fresh-idns (map snd$   
 (map fst insts))))))  
 =  $(\bigcup (tvsT \tau \text{ set (map2 Tv fresh-idns (map snd (map fst insts)))))$   
 by simp  
**from** assms(1) **this** have s2:  
 $(\bigcup (tvsT \tau \text{ snd } \tau \text{ set (zip (map fst insts) (map2 Tv fresh-idns (map snd (map$   
 fst insts))))))  
 =  $(\text{set (zip fresh-idns (map snd (map fst insts))))$   
 using assms(1) by (induction fresh-idns insts rule: list-induct2) auto  
**hence** s3:  $\bigcup (tvsT \tau \text{ snd } \tau \text{ set (zip (map fst insts)$   
 $(\text{map2 Tv fresh-idns (map (snd \circ fst) insts)))))$   
 =  $\text{set (zip fresh-idns (map snd (map fst insts)))}$  by simp

**have**  $idn \notin fst \text{ ` } fst \text{ ` } set \ insts$  **if**  $idn \in set \ fresh-idns$  **for**  $idn$   
**using** *that assms by auto*  
**hence**  $I: (idn, S) \notin fst \text{ ` } set \ insts$  **if**  $idn \in set \ fresh-idns$  **for**  $idn \ S$   
**using** *that assms by (metis fst-conv image-eqI)*

**have**  $u1: (subst-ty\ (zip \ (map \ fst \ insts) \ (map2 \ Tv \ fresh-idns \ (map \ snd \ (map \ fst \ insts)))) \ \tau)$   
 $= \text{fold} \ (\lambda single \ acc \ . \ subst-ty\ [single] \ acc) \ (zip \ (map \ fst \ insts) \ (map2 \ Tv \ fresh-idns \ (map \ snd \ (map \ fst \ insts)))) \ \tau$   
**apply** *(rule subst-ty-stepwise)*  
**using** *assms apply simp*  
**apply** *(simp only: s1 s2)*  
**using** *assms I by (metis prod.collapse set-zip-leftD)*

**moreover have**  $u2: subst-ty\ (zip \ (zip \ fresh-idns \ (map \ snd \ (map \ fst \ insts))) \ (map \ snd \ insts))$   
 $(subst-ty\ (zip \ (map \ fst \ insts) \ (map2 \ Tv \ fresh-idns \ (map \ snd \ (map \ fst \ insts)))) \ \tau)$   
 $= \text{fold} \ (\lambda single \ acc \ . \ subst-ty\ [single] \ acc) \ (zip \ (zip \ fresh-idns \ (map \ snd \ (map \ fst \ insts))) \ (map \ snd \ insts)) \ (map \ snd \ insts)$   
 $(subst-ty\ (zip \ (map \ fst \ insts) \ (map2 \ Tv \ fresh-idns \ (map \ snd \ (map \ fst \ insts)))) \ \tau)$   
**apply** *(rule subst-ty-stepwise)*  
**using** *assms apply (simp add: distinct-zipI1)*  
**using** *assms*  
**by** *(smt UnCI imageE image-eqI length-map map-snd-zip prod.collapse set-map set-zip-leftD)*  
**ultimately have**  $unfold: subst-ty\ (zip \ (zip \ fresh-idns \ (map \ snd \ (map \ fst \ insts))) \ (map \ snd \ insts))$   
 $(subst-ty\ (zip \ (map \ fst \ insts) \ (map2 \ Tv \ fresh-idns \ (map \ snd \ (map \ fst \ insts)))) \ \tau)$   
 $= \text{fold} \ (\lambda single \ acc \ . \ subst-ty\ [single] \ acc) \ (zip \ (zip \ fresh-idns \ (map \ snd \ (map \ fst \ insts))) \ (map \ snd \ insts)) \ (map \ snd \ insts)$   
 $(\text{fold} \ (\lambda single \ acc \ . \ subst-ty\ [single] \ acc) \ (zip \ (map \ fst \ insts) \ (map2 \ Tv \ fresh-idns \ (map \ snd \ (map \ fst \ insts)))) \ \tau)$   
**by** *simp*  
**show** *?thesis using assms subst-ty-combine unfold by auto*  
**qed**

**lemma** *subst-ty'-combine:*  
**assumes**  $length \ fresh-idns = length \ insts$   
**assumes**  $distinct \ fresh-idns$   
**assumes**  $distinct \ (map \ fst \ insts)$   
**assumes**  $\forall idn \in set \ fresh-idns \ . \ idn \notin fst \text{ ` } (tvs \ t \cup (\bigcup ty \in snd \text{ ` } set \ insts \ . \ (tvs \ T \ ty)))$   
 $\cup \ (fst \text{ ` } set \ insts)$   
**shows**  $subst-ty' \ insts \ t$   
 $= subst-ty' \ (zip \ (zip \ fresh-idns \ (map \ snd \ (map \ fst \ insts))) \ (map \ snd \ insts))$   
 $(subst-ty' \ (zip \ (map \ fst \ insts) \ (map2 \ Tv \ fresh-idns \ (map \ snd \ (map \ fst \ insts))))$

```

t)
using assms proof (induction t arbitrary: fresh-idns insts)
  case (Abs T t)
  moreover have tvs t  $\subseteq$  tvs (Abs T t) by simp
  ultimately have subst-typ' insts t =
    subst-typ' (zip (zip fresh-idns (map snd (map fst insts))) (map snd insts))
    (subst-typ' (zip (map fst insts) (map2 Tv fresh-idns (map snd (map fst insts)))))
t)
  by blast
  moreover have subst-typ insts T =
    subst-typ (zip (zip fresh-idns (map snd (map fst insts))) (map snd insts))
    (subst-typ (zip (map fst insts) (map2 Tv fresh-idns (map snd (map fst insts)))))
T)
  using subst-typ-combine Abs.premis by fastforce
  ultimately show ?case by simp
next
  case (App t1 t2)
  moreover have tvs t1  $\subseteq$  tvs (t1 $ t2) tvs t2  $\subseteq$  tvs (t1 $ t2) by auto
  ultimately have subst-typ' insts t1 =
    subst-typ' (zip (zip fresh-idns (map snd (map fst insts))) (map snd insts))
    (subst-typ' (zip (map fst insts) (map2 Tv fresh-idns (map snd (map fst insts)))))
t1)
  and subst-typ' insts t2 =
    subst-typ' (zip (zip fresh-idns (map snd (map fst insts))) (map snd insts))
    (subst-typ' (zip (map fst insts) (map2 Tv fresh-idns (map snd (map fst insts)))))
t2)
  by blast+
  then show ?case by simp
qed (use subst-typ-combine in auto)

```

**lemma** *subst-term-combine:*

```

assumes length fresh-idns = length insts
assumes distinct fresh-idns
assumes distinct (map fst insts)
assumes  $\forall idn \in set\ fresh-idns . idn \notin fst\ ' (fv\ t \cup (\bigcup t \in snd\ ' set\ insts . (fv\ t) \cup (fst\ ' set\ insts)))$ 
shows subst-term insts t
  = subst-term (zip (zip fresh-idns (map snd (map fst insts))) (map snd insts))
  (subst-term (zip (map fst insts) (map2 Fv fresh-idns (map snd (map fst insts)))))
t)
using assms proof (induction t arbitrary: fresh-idns insts)
  case (Fv idn ty)

  then show ?case
proof (cases lookup (λx. x = (idn, ty)) insts)
  case None
  hence  $((idn, ty)) \notin fst\ ' set\ insts$ 
  by (metis (mono-tags, lifting) list.set-map lookup-None-iff)

```

**hence 1:**  $(\text{lookup } (\lambda x. x = (\text{idn}, \text{ty})))$   
 $(\text{zip } (\text{map } \text{fst } \text{insts}) (\text{map2 } \text{Fv } \text{fresh-idns } (\text{map } (\text{snd} \circ \text{fst}) \text{insts}))) = \text{None}$   
**using**  $\text{Fv}$  **by**  $(\text{simp add: lookup-eq-key-not-present})$

**have**  $(\text{idn}, \text{ty}) \notin \text{set } (\text{zip } \text{fresh-idns } (\text{map } (\text{snd} \circ \text{fst}) \text{insts}))$   
**using**  $\text{Fv}$   $\text{set-}\text{zip-leftD}$  **by**  $\text{fastforce}$   
**hence**  $(\text{lookup } (\lambda x. x = (\text{idn}, \text{ty})))$   
 $(\text{zip } (\text{zip } \text{fresh-idns } (\text{map } (\text{snd} \circ \text{fst}) \text{insts})) (\text{map } \text{snd } \text{insts})) = \text{None}$   
**using**  $\text{Fv}$  **by**  $(\text{simp add: lookup-eq-key-not-present})$

**then show**  $?thesis$  **using**  $\text{None 1}$  **by**  $\text{simp}$   
**next**  
**case**  $(\text{Some } u)$   
**from**  $\text{this}$  **obtain**  $\text{idx}$  **where**  $\text{idx}: \text{insts} ! \text{idx} = ((\text{idn}, \text{ty}), u)$   $\text{idx} < \text{length } \text{insts}$   
**proof**  $(\text{induction } \text{insts})$   
**case**  $\text{Nil}$   
**then show**  $?case$   
**by**  $\text{simp}$   
**next**  
**case**  $(\text{Cons } a \text{ as})$   
**have**  $(\bigwedge \text{idx}. \text{as} ! \text{idx} = ((\text{idn}, \text{ty}), u) \implies \text{idx} < \text{length } \text{as} \implies \text{thesis})$   
**by**  $(\text{metis } \text{Cons.prem}(1) \text{in-set-conv-nth insert-iff list.set}(2))$   
**then show**  $?case$   
**by**  $(\text{meson } \text{Cons.prem}(1) \text{Cons.prem}(2) \text{in-set-conv-nth lookup-present-eq-key}')$   
**qed**

**from**  $\text{this}$  **obtain**  $\text{fresh-idn}$  **where**  $\text{fresh-idn}: \text{fresh-idns} ! \text{idx} = \text{fresh-idn}$  **by**  
 $\text{simp}$

**from**  $\text{Fv}(1) \text{ idx fresh-idn}$  **have**  $\text{ren}:$   
 $(\text{zip } (\text{map } \text{fst } \text{insts}) (\text{map2 } \text{Fv } \text{fresh-idns } (\text{map } (\text{snd} \circ \text{fst}) \text{insts}))) ! \text{idx}$   
 $= ((\text{idn}, \text{ty}), \text{Fv } \text{fresh-idn } \text{ty})$   
**by**  $\text{auto}$   
**from**  $\text{this idx}(2)$  **have**  $((\text{idn}, \text{ty}), \text{Fv } \text{fresh-idn } \text{ty}) \in \text{set}$   
 $(\text{zip } (\text{map } \text{fst } \text{insts}) (\text{map2 } \text{Fv } \text{fresh-idns } (\text{map } (\text{snd} \circ \text{fst}) \text{insts})))$   
**by**  $(\text{metis } (\text{no-types, opaque-lifting}) \text{Fv.prem}(1) \text{length-map map-fst-}\text{zip}$   
 $\text{map-map map-snd-}\text{zip nth-mem})$   
**from**  $\text{this}$  **have**  $1: (\text{lookup } (\lambda x. x = (\text{idn}, \text{ty})))$   
 $(\text{zip } (\text{map } \text{fst } \text{insts}) (\text{map2 } \text{Fv } \text{fresh-idns } (\text{map } (\text{snd} \circ \text{fst}) \text{insts}))) = \text{Some}$   
 $(\text{Fv } \text{fresh-idn } \text{ty})$   
**by**  $(\text{simp add: Fv.prem}(1) \text{Fv.prem}(3) \text{lookup-present-eq-key}')$

**from**  $\text{Fv}(1) \text{ idx fresh-idn 1}$  **have**  $((\text{fresh-idn}, \text{ty}), u)$   
 $\in \text{set } (\text{zip } (\text{zip } \text{fresh-idns } (\text{map } (\text{snd} \circ \text{fst}) \text{insts})) (\text{map } \text{snd } \text{insts}))$   
**using**  $\text{in-set-conv-nth}$  **by**  $\text{fastforce}$   
**hence**  $2: (\text{lookup } (\lambda x. x = (\text{fresh-idn}, \text{ty})))$   
 $(\text{zip } (\text{zip } \text{fresh-idns } (\text{map } (\text{snd} \circ \text{fst}) \text{insts})) (\text{map } \text{snd } \text{insts})) = \text{Some } u$   
**by**  $(\text{simp add: Fv.prem}(1) \text{Fv.prem}(2) \text{distinct-}\text{zipI1 lookup-present-eq-key}')$

```

    then show ?thesis using Some 1 2 by simp
  qed
next
  case (App t1 t2)
  moreover have fv t1 ⊆ fv (t1 $ t2) fv t2 ⊆ fv (t1 $ t2) by simp-all
  ultimately have subst-term insts t1 =
    subst-term (zip (zip fresh-idns (map snd (map fst insts))) (map snd insts))
      (subst-term (zip (map fst insts) (map2 Fv fresh-idns (map snd (map fst insts))))
        t1)
    and subst-term insts t2 =
    subst-term (zip (zip fresh-idns (map snd (map fst insts))) (map snd insts))
      (subst-term (zip (map fst insts) (map2 Fv fresh-idns (map snd (map fst insts))))
        t2)
    by blast+
  then show ?case by simp
  qed auto

corollary subst-term-combine':
  assumes length fresh-idns = length insts
  assumes distinct fresh-idns
  assumes distinct (map fst insts)
  assumes ∀ idn ∈ set fresh-idns . idn ∉ fst ' (fv t ∪ (⋃ t ∈ snd ' set insts . (fv t)
    ∪ (fst ' set insts)))
  shows subst-term insts t
    = fold (λsingle acc . subst-term [single] acc) (zip (zip fresh-idns (map snd (map
fst insts))) (map snd insts))
      (fold (λsingle acc . subst-term [single] acc) (zip (map fst insts) (map2 Fv
fresh-idns (map snd (map fst insts)))) t)
  proof -
    have s1: fst ' set (zip (map fst insts) (map2 Fv fresh-idns (map snd (map fst
insts))))
      = fst ' set insts
    proof -
      have fst ' set (zip (map fst insts) (map2 Fv fresh-idns (map snd (map fst
insts))))
        = set (map fst (zip (map fst insts) (map2 Fv fresh-idns (map snd (map fst
insts))))))
      by auto
      also have ... = set (map fst insts) using map-fst-zip assms(1) by auto
      also have ... = fst ' set insts by simp
      finally show ?thesis .
    qed

  have snd ' set (zip (map fst insts) (map2 Fv fresh-idns (map snd (map fst insts))))
    = set (map2 Fv fresh-idns (map snd (map fst insts))) using map-snd-zip
assms(1)
  by (metis (no-types, lifting) image-set length-map)
  hence (⋃ (fv ' snd ' set (zip (map fst insts) (map2 Fv fresh-idns (map snd (map
fst insts))))))

```

= ( $\bigcup$  ( $fv \text{ ' set (map2 Fv fresh-idns (map snd (map fst insts)))))$ )  
**by simp**  
**from**  $assms(1)$  **this have**  $s2$ :  
 ( $\bigcup$  ( $fv \text{ ' snd ' set (zip (map fst insts) (map2 Fv fresh-idns (map snd (map fst insts)))))$ )  
 = ( $set (zip \text{ fresh-idns (map snd (map fst insts))})$ )  
**using**  $assms(1)$  **by** ( $induction \text{ fresh-idns insts rule: list-induct2}$ ) **auto**  
**hence**  $s3$ :  $\bigcup$  ( $fv \text{ ' snd ' set (zip (map fst insts)$   
                   ( $map2 \text{ Fv fresh-idns (map (snd \circ fst) insts))})$ )  
 =  $set (zip \text{ fresh-idns (map snd (map fst insts))})$  **by simp**  
**have**  $idn \notin fst \text{ ' fst ' set insts}$  **if**  $idn \in set \text{ fresh-idns}$  **for**  $idn$   
**using**  $that \text{ assms}$  **by auto**  
**hence**  $I: (idn, T) \notin fst \text{ ' set insts}$  **if**  $idn \in set \text{ fresh-idns}$  **for**  $idn \ T$   
**using**  $that \text{ assms}$  **by** ( $metis \text{ fst-conv image-eqI}$ )

**have**  $u1$ : ( $subst-term (zip (map \text{ fst insts}) (map2 \text{ Fv fresh-idns (map snd (map fst insts))}) t)$ )  
 =  $fold (\lambda single \text{ acc . } subst-term [single] \text{ acc}) (zip (map \text{ fst insts}) (map2 \text{ Fv fresh-idns (map snd (map fst insts))}) t)$   
**apply** ( $rule \text{ subst-term-stepwise}$ )  
**using**  $assms$  **apply**  $simp$   
**apply** ( $simp \text{ only: s1 s2}$ )  
**using**  $assms \ I$  **by** ( $metis \text{ prod.collapse set-zip-leftD}$ )

**moreover have**  $u2$ :  $subst-term (zip (zip \text{ fresh-idns (map snd (map fst insts))}$   
 ( $map \text{ snd insts}$ )  
 ( $subst-term (zip (map \text{ fst insts}) (map2 \text{ Fv fresh-idns (map snd (map fst insts))}) t)$ )  
 =  $fold (\lambda single \text{ acc . } subst-term [single] \text{ acc}) (zip (zip \text{ fresh-idns (map snd (map fst insts))}$   
 ( $map \text{ snd insts}$ )  
 ( $subst-term (zip (map \text{ fst insts}) (map2 \text{ Fv fresh-idns (map snd (map fst insts))}) t)$ )  
**apply** ( $rule \text{ subst-term-stepwise}$ )  
**using**  $assms$  **apply** ( $simp \text{ add: distinct-zipI1}$ )  
**using**  $assms$   
**by** ( $smt \text{ UnCI imageE image-eqI length-map map-snd-zip prod.collapse set-map set-zip-leftD}$ )  
**ultimately have**  $unfold$ :  $subst-term (zip (zip \text{ fresh-idns (map snd (map fst insts))}$   
 ( $map \text{ snd insts}$ )  
 ( $subst-term (zip (map \text{ fst insts}) (map2 \text{ Fv fresh-idns (map snd (map fst insts))}) t)$ )  
 =  $fold (\lambda single \text{ acc . } subst-term [single] \text{ acc}) (zip (zip \text{ fresh-idns (map snd (map fst insts))}$   
 ( $map \text{ snd insts}$ )  
 ( $fold (\lambda single \text{ acc . } subst-term [single] \text{ acc}) (zip (map \text{ fst insts}) (map2 \text{ Fv fresh-idns (map snd (map fst insts))}) t)$ )  
**by simp**  
**show**  $?thesis$  **using**  $assms \text{ subst-term-combine unfold}$  **by auto**  
**qed**

**lemma** *subst-term-not-loose-bvar*:  
**assumes**  $\neg$  *loose-bvar* *t n is-closed b*  
**shows**  $\neg$  *loose-bvar* (*subst-term*  $[(\text{idn}, T), b]$  *t*) *n*  
**using** *assms* **by** (*induction t arbitrary: n idn T b*) (*auto simp add: is-open-def loose-bvar-leq*)

**lemma** *bind-fv2-subst-bv1-eq-subst-term*:  
**assumes**  $\neg$  *loose-bvar* *t n is-closed b*  
**shows** *subst-term*  $[(\text{idn}, T), b]$  *t* = *subst-bv1* (*bind-fv2* (*idn*, *T*) *n t*) *n b*  
**using** *assms* **by** (*induction t arbitrary: n idn T b*) (*auto simp add: is-open-def incr-boundvars-def*)

**corollary**  
**assumes** *is-closed t is-closed b*  
**shows** *subst-bv* *b* (*bind-fv* (*idn*, *T*) *t*) = (*subst-term*  $[(\text{idn}, T), b]$  *t*)  
**using** *assms* *bind-fv2-subst-bv1-eq-subst-term*  
**by** (*simp add: bind-fv-def subst-bv-def is-open-def*)

**corollary** *instantiate-var-same-typ*:  
**assumes** *typ-a: typ-of a = Some  $\tau$*   
**assumes** *closed-B:  $\neg$  loose-bvar B lev*  
**shows** *subst-bv1* (*bind-fv2* (*x*,  $\tau$ ) *lev B*) *lev a* = *subst-term*  $[(x, \tau), a]$  *B*  
**using** *bind-fv2-subst-bv1-eq-subst-term assms typ-of-imp-closed* **by** *metis*

**corollary** *instantiate-var-same-typ'*:  
**assumes** *typ-a: typ-of a = Some  $\tau$*   
**assumes** *closed-B: is-closed B*  
**shows** *subst-bv* *a* (*bind-fv* (*x*,  $\tau$ ) *B*) = *subst-term*  $[(x, \tau), a]$  *B*  
**using** *instantiate-var-same-typ bind-fv-def subst-bv-def is-open-def assms* **by** *auto*

**corollary** *instantiate-var-same-type''*:  
**assumes** *typ-a: typ-of a = Some  $\tau$*   
**assumes** *closed-B: is-closed B*  
**shows** *Abs*  $\tau$  (*bind-fv* (*x*,  $\tau$ ) *B*)  $\cdot$  *a* = *subst-term*  $[(x, \tau), a]$  *B*  
**using** *assms instantiate-var-same-typ'* **by** *simp*

**lemma** *instantiate-vars-same-typ*:  
**assumes** *typs: list-all* ( $\lambda(\text{id}, ty), t$ )  $\cdot$  *typ-of t = Some ty* *insts*  
**assumes** *closed-B:  $\neg$  loose-bvar B lev*  
**shows** *fold* ( $\lambda(\text{id}, ty), t$ ) *B*  $\cdot$  *subst-bv1* (*bind-fv2* (*id*, *ty*) *lev B*) *lev t* *insts B*  
= *fold* ( $\lambda$  *single*  $\cdot$  *subst-term* [*single*]) *insts B*  
**using** *assms* **proof** (*induction insts arbitrary: B lev*)  
**case** *Nil*  
**then show** *?case* **by** *simp*  
**next**  
**case** (*Cons x xs*)



**from this obtain**  $idn\ ty\ t$  **where**  $x : x = ((idn, ty), t)$  **by** (*metis prod.collapse*)

**hence**  $typ\text{-}a : typ\text{-}of\ t = Some\ ty$  **using** *Cons.prem*s **by** *simp*

**have**  $typs : list\text{-}all\ (\lambda((idx, ty), t) . typ\text{-}of\ t = Some\ ty)\ xs$  **using** *Cons.prem*s **by** *simp*

**have**  $not\text{-}loose : \neg\ loose\text{-}bvar\ (subst\text{-}term\ [((idn, ty), t)]\ B)\ lev$

**using** *Cons.prem*s  $subst\text{-}term\ not\text{-}loose\text{-}bvar\ typ\text{-}a\ typ\text{-}of\ imp\text{-}closed$  **by** *simp*

**note**  $single = instantiate\text{-}var\text{-}same\text{-}typ\ [OF\ typ\text{-}a\ Cons.prem(2),\ of\ idn]$

**have**  $fold\ (\lambda((idx, ty), t)\ B . subst\text{-}bv1\ (bind\text{-}fv2\ (idx, ty)\ lev\ B)\ lev\ t)\ (x\ \#)\ xs)$

$B$

$= fold\ (\lambda((idx, ty), t)\ B . subst\text{-}bv1\ (bind\text{-}fv2\ (idx, ty)\ lev\ B)\ lev\ t)\ xs$

$(subst\text{-}bv1\ (bind\text{-}fv2\ (idn, ty)\ lev\ B)\ lev\ t)$

**by** (*simp add: x*)

**also have**  $\dots = fold\ (\lambda((idx, ty), t)\ B . subst\text{-}bv1\ (bind\text{-}fv2\ (idx, ty)\ lev\ B)\ lev\ t)\ xs$

$(subst\text{-}term\ [((idn, ty), t)]\ B)$

**using**  $single$  **by** *simp*

**also have**  $\dots = fold\ (\lambda single . subst\text{-}term\ [single])\ xs\ (subst\text{-}term\ [((idn, ty), t)]\ B)$

$B$

**using** *Cons.IH* [**where**  $B = subst\text{-}term\ [((idn, ty), t)]\ B$ , *OF typs not-loose*]

*Cons.prem*s **by** *blast*

**also have**  $\dots = fold\ (\lambda single . subst\text{-}term\ [single])\ (x\ \#)\ xs)\ B$

**by** (*simp add: x*)

**finally show**  $?case .$

**qed**

**corollary**  $instantiate\text{-}vars\text{-}same\text{-}typ'$ :

**assumes**  $typs : list\text{-}all\ (\lambda((idx, ty), t) . typ\text{-}of\ t = Some\ ty)\ insts$

**assumes**  $closed\text{-}B : \neg\ loose\text{-}bvar\ B\ lev$

**assumes**  $distinct : distinct\ (map\ fst\ insts)$

**assumes**  $no\text{-}overlap : \bigwedge x . x \in (\bigcup t \in snd\ ' (set\ insts) . fv\ t) \implies x \notin fst\ ' (set\ insts)$

**shows**  $fold\ (\lambda((idx, ty), t)\ B . subst\text{-}bv1\ (bind\text{-}fv2\ (idx, ty)\ lev\ B)\ lev\ t)\ insts\ B$

$= subst\text{-}term\ insts\ B$

**using**  $instantiate\text{-}vars\text{-}same\text{-}typ\ subst\text{-}term\ stepwise[symmetric]$  *assms* **by** *simp*

end

## 7 Names

**theory** *Name*

**imports** *Preliminaries Term*

*HOL-Library.Char-ord*

**begin**

**fun**  $fresh\text{-}name :: string\ set \Rightarrow string$  **where**

*fresh-name*  $S = (\text{if } S = \text{empty} \text{ then } "a" \text{ else replicate } (\text{Max } (\text{length } 'S) + 1) (\text{CHR } "a"))$

**lemma** *fresh-name-fresh*:  
**assumes** *finite*  $S$   
**shows** *fresh-name*  $S \notin S$   
**proof** (*cases*  $S = \text{empty}$ )  
**case** *True*  
**then show** *?thesis* **by** *simp*  
**next**  
**case** *False*  
**hence** *length* (*fresh-name*  $S$ ) > (*Max* (*image length*  $S$ )) **by** *auto*  
**hence**  $\forall s \in S. \text{length } (\text{fresh-name } S) > \text{length } s$  **using** *assms* **by** (*simp add: le-imp-less-Suc*)  
**thus** *fresh-name*  $S \notin S$  **by** *blast*  
**qed**

**context**  
**includes** *String.literal.lifting*  
**begin**  
**lift-definition** *fresh-name'* :: *String.literal set*  $\Rightarrow$  *String.literal* **is** *fresh-name*  
**by** (*auto split: if-splits*)

**lemma** [*code*]: *fresh-name'*  $S = \text{String.implode } (\text{fresh-name } (\text{String.explode } 'S))$   
**by** (*metis String.implode-explode-eq fresh-name'.rep-eq*)

**lemma** *fresh-name'-fresh*:  
**assumes** *finite*  $S$   
**shows** *fresh-name'*  $S \notin S$   
**by** (*metis assms finite-imageI fresh-name'.rep-eq fresh-name-fresh rev-image-eqI*)  
**end**

**fun** *variant-name* :: *name*  $\Rightarrow$  *name set*  $\Rightarrow$  (*name*  $\times$  *name set*) **where**  
*variant-name*  $s S = (\text{let } s' = (\text{fresh-name}' S) \text{ in } (s', \text{insert } s' S))$

**lemma** *variant-name-fresh*:  
**assumes** *finite*  $S$   
**shows** *fst* (*variant-name*  $s S$ )  $\notin S$   
**using** *assms fresh-name'-fresh*  
**by** (*metis fst-conv variant-name.simps*)

**lemma** *variant-name-adds*:  
**shows** *snd* (*variant-name*  $s S$ ) = *insert* (*fst* (*variant-name*  $s S$ ))  $S$   
**by** (*metis fst-conv snd-conv variant-name.simps*)

**fun** *name* :: *variable*  $\Rightarrow$  *name* **where**

$name (variable.Free\ n) = n$   
 $| name (Var\ (n,-)) = n$

**fun** *variant-variable* :: *variable*  $\Rightarrow$  *variable set*  $\Rightarrow$  (*variable*  $\times$  *variable set*) **where**  
*variant-variable* (*variable.Free* *n*) *S* = (*let* *s'* = *fresh-name'* (*name* ' *S*) *in*  
   (*Free* *s'*, *insert* (*variable.Free* *s'*) *S*))  
 $|$  *variant-variable* (*Var* (*n,-*)) *S* = (*let* *s'* = *fresh-name'* (*name* ' *S*) *in*  
   (*Var* (*s',0*), *insert* (*Var* (*s',0*)) *S*))

**lemma** *variant-variable-fresh*:

**assumes** *finite* *S*  
**shows** *fst* (*variant-variable* *s* *S*)  $\notin$  *S*  
**apply** (*cases* *s*)  
**using** *assms* *fresh-name'-fresh*  
**apply** (*metis* *finite-imageI* *fstI* *name.simps(1)* *rev-image-eqI* *variant-variable.simps(1)*)  
**using** *assms* *fresh-name'-fresh*  
**by** (*metis* (*no-types*, *opaque-lifting*) *finite-imageI* *fst-conv* *image-iff* *name.simps(2)*)  
*surj-pair* *variant-variable.simps(2)*)

**lemma** *variant-variable-adds*:

**shows** *snd* (*variant-variable* *s* *S*) = *insert* (*fst* (*variant-variable* *s* *S*)) *S*  
**by** (*metis* (*no-types*, *lifting*) *fst-conv* *snd-conv* *variant-variable.elims*)

**fun** *variant-variables* :: *nat*  $\Rightarrow$  *variable*  $\Rightarrow$  *variable set*  $\Rightarrow$  (*variable list*  $\times$  *variable set*) **where**

*variant-variables* 0 - *S* = ([], *S*)  
 $|$  *variant-variables* (*Suc* *n*) *s* *S* =  
   (*let* (*s'*, *S'*) = *variant-variable* *s* *S* *in*  
     (*let* (*ss*, *S''*) = *variant-variables* *n* *s'* *S'* *in*  
       (*s'##ss*, *S''*)))

**lemma** *variant-names-fresh*:

**assumes** *finite* *S*  
**shows**  $\forall s \in set (fst (variant-variables\ n\ s\ S)) . s \notin S$   
**using** *assms* **proof** (*induction* *n* *arbitrary*: *s* *S*)  
**case** 0  
**then show** ?*case* **by** *simp*  
**next**  
**case** (*Suc* *n*)  
**obtain** *s'* *S'* **where** *s'S'*: *variant-variable* *s* *S* = (*s'*, *S'*)  
**by** *fastforce*  
**hence** *s'*  $\notin$  *S*  
**by** (*metis* *Suc.prem*s *fst-conv* *variant-variable-fresh*)  
**moreover have** *I*:  $\forall s \in set (fst (variant-variables\ n\ s'\ S')). s \notin S'$   
**by** (*metis* *Suc.IH* *Suc.prem*s *s'S'* *finite.insertI* *snd-conv* *variant-variable-adds*)

**moreover have**  $S \subseteq S'$   
 by (metis insert-iff s'S' snd-conv subsetI variant-variable-adds)  
**ultimately show** ?case  
 by (auto simp add: Let-def s'S' split: prod.splits)  
**qed**

**lemma** variant-names-distinct:

**assumes** finite  $S$   
**shows** distinct (fst (variant-variables  $n$   $s$   $S$ ))  
**using** assms **proof** (induction  $n$  arbitrary:  $s$   $S$ )  
**case** 0  
**then show** ?case **by** simp  
**next**  
**case** (Suc  $n$ )  
**obtain**  $s' S'$  **where**  $s'S'$ : variant-variable  $s$   $S = (s', S')$   
 by fastforce  
**hence**  $s' \notin S$   
 by (metis Suc.prem1 fst-conv variant-variable-fresh)  
**moreover have**  $I$ : distinct (fst (variant-variables  $n$   $s'$   $S'$ ))  
 by (metis Suc.IH Suc.prem1 s'S' finite.insertI snd-conv variant-variable-adds)  
**moreover have**  $S \subseteq S'$   
 by (metis insert-iff s'S' snd-conv subsetI variant-variable-adds)  
**ultimately show** ?case  
**apply** (simp add: Let-def s'S' split: prod.splits)  
**by** (metis Suc.prem1 finite.insertI fst-conv insertI1 s'S' snd-conv variant-names-fresh  
 variant-variable-adds)  
**qed**

**corollary** variant-names-amount:

**assumes** finite  $S$   
**shows** length (fst (variant-variables  $n$   $s$   $S$ )) =  $n$   
**using** assms **by** (induction  $n$  arbitrary:  $s$   $S$ ) (simp-all add: case-prod-beta variant-variable-adds)

**abbreviation** fresh-rename-ns  $n$   $B$   $insts$   $G \equiv$  fst (variant-variables  $n$  (Free STR "lol"))

(fst ' (fv  $B \cup (\bigcup t \in \text{snd ' set } insts . \text{fv } t) \cup (\text{fst ' set } insts)) \cup G)$ )

**abbreviation** fresh-rename-idns  $n$   $B$   $insts \equiv$  fresh-rename-ns  $n$   $B$   $insts$

**lemma** map-Pair-zip-replicate-conv: map ( $\lambda x. \text{Pair } x$   $c$ )  $l = \text{zip } l$  (replicate (length  $l$ )  $c$ )

**by** (induction  $l$ ) auto

**lemma** distinct-fresh-rename-ns: finite  $G \implies$  distinct (fresh-rename-ns  $n$   $B$   $insts$   $G$ )

**by** (metis (no-types, lifting) List.finite-set add-vars'-fv finite-UN finite-Un finite-imageI variant-names-distinct)

**lemma** *fresh-fresh-rewrite-ns*:  $\text{finite } G \implies \forall nm \in \text{set } (\text{fresh-rewrite-ns } n \ B \ \text{insts } G)$  .

$nm \notin (\text{fst } \langle \text{fv } B \cup (\bigcup t \in \text{snd } \langle \text{set insts } . (\text{fv } t)) \cup (\text{fst } \langle \text{set insts} \rangle) \cup G$

**by** (*metis* (*no-types*, *lifting*) *List.finite-set add-vars'-fv finite-UN finite-Un finite-imageI variant-names-fresh*)

**lemma** *length-fresh-rewrite-ns*:  $\text{finite } G \implies \text{length } (\text{fresh-rewrite-ns } n \ B \ \text{insts } G) = n$

**by** (*metis* (*no-types*, *lifting*) *List.finite-set add-vars'-fv finite-UN finite-Un finite-imageI variant-names-amount*)

**lemma** *distinct-fresh-rewrite-idns*:  $\text{finite } G \implies \text{distinct } (\text{fresh-rewrite-idns } n \ B \ \text{insts } G)$

**using** *distinct-fresh-rewrite-ns* **by** (*metis*)

**lemma** *fresh-fresh-rewrite-idns*:  $\text{finite } G \implies \forall nm \in \text{set } (\text{fresh-rewrite-idns } n \ B \ \text{insts } G)$  .

$nm \notin (\text{fst } \langle \text{fv } B \cup (\bigcup t \in \text{snd } \langle \text{set insts } . (\text{fv } t)) \cup (\text{fst } \langle \text{set insts} \rangle) \cup G$

**using** *distinct-fresh-rewrite-ns map-Pair-zip-rewrite-conv map-Pair-zip-rewrite-conv*

**by** (*smt fresh-fresh-rewrite-ns fst-conv imageE image-eqI list.set-map*)

**lemma** *length-fresh-rewrite-idns*:  $\text{finite } G \implies \text{length } (\text{fresh-rewrite-idns } n \ B \ \text{insts } G) = n$

**by** (*metis length-fresh-rewrite-ns*)

end

## 8 Beta Normalization

**theory** *BetaNorm*

**imports** *Term*

**begin**

**inductive** *beta* :: *term*  $\Rightarrow$  *term*  $\Rightarrow$  *bool* (**infixl**  $\langle \rightarrow_\beta \rangle$  50)

**where**

$\text{beta } [\text{simp}, \text{intro!}]: \text{Abs } T \ s \ \$ \ t \rightarrow_\beta \text{subst-bv2 } s \ 0 \ t$

$| \text{appL } [\text{simp}, \text{intro!}]: s \rightarrow_\beta t \implies s \ \$ \ u \rightarrow_\beta t \ \$ \ u$

$| \text{appR } [\text{simp}, \text{intro!}]: s \rightarrow_\beta t \implies u \ \$ \ s \rightarrow_\beta u \ \$ \ t$

$| \text{abs } [\text{simp}, \text{intro!}]: s \rightarrow_\beta t \implies \text{Abs } T \ s \rightarrow_\beta \text{Abs } T \ t$

**abbreviation**

*beta-reds* :: *term*  $\Rightarrow$  *term*  $\Rightarrow$  *bool* (**infixl**  $\langle \rightarrow_\beta^* \rangle$  50) **where**

$s \rightarrow_\beta^* t == \text{beta}^{**} \ s \ t$

**inductive-cases** *beta-cases* [*elim!*]:

$\text{Bv } i \rightarrow_\beta t$

$\text{Fv } \text{idn } S \rightarrow_\beta t$

$\text{Abs } T \ r \rightarrow_\beta s$

$s \ \$ \ t \rightarrow_\beta u$

```

declare if-not-P [simp] not-less-eq [simp]

lemma rtrancl-beta-Abs [intro!]:
   $s \rightarrow_{\beta^*} s' \implies \text{Abs } T s \rightarrow_{\beta^*} \text{Abs } T s'$ 
  by (induct set: rtrancl) (blast intro: rtranclp.rtrancl-into-rtrancl)+

lemma rtrancl-beta-AppL:
   $s \rightarrow_{\beta^*} s' \implies s \$ t \rightarrow_{\beta^*} s' \$ t$ 
  by (induct set: rtrancl) (blast intro: rtranclp.rtrancl-into-rtrancl)+

lemma rtrancl-beta-AppR:
   $t \rightarrow_{\beta^*} t' \implies s \$ t \rightarrow_{\beta^*} s \$ t'$ 
  by (induct set: rtrancl) (blast intro: rtranclp.rtrancl-into-rtrancl)+

lemma rtrancl-beta-App [intro!]:
   $s \rightarrow_{\beta^*} s' \implies t \rightarrow_{\beta^*} t' \implies s \$ t \rightarrow_{\beta^*} s' \$ t'$ 
  by (blast intro!: rtrancl-beta-AppL rtrancl-beta-AppR intro: rtranclp-trans)

theorem subst-bv2-preserves-beta [simp]:
   $r \rightarrow_{\beta} s \implies \text{subst-bv2 } r k u \rightarrow_{\beta} \text{subst-bv2 } s k u$ 
  by (induct arbitrary: k u set: beta) (simp-all add: subst-bv2-subst-bv2[symmetric])

theorem subst-bv2-preserves-beta':  $r \rightarrow_{\beta^*} s \implies \text{subst-bv2 } r i t \rightarrow_{\beta^*} \text{subst-bv2 } s i t$ 
  apply (induct set: rtrancl)
  apply (rule rtranclp.rtrancl-refl)
  apply (erule rtranclp.rtrancl-into-rtrancl)
  apply (erule subst-bv2-preserves-beta)
  done

theorem lift-preserves-beta [simp]:
   $r \rightarrow_{\beta} s \implies \text{lift } r i \rightarrow_{\beta} \text{lift } s i$ 
proof (induction arbitrary: i set: beta)
  case (beta T s t)
  then show ?case
    using lift-subst by force
qed auto
theorem lift-preserves-beta':  $r \rightarrow_{\beta^*} s \implies \text{lift } r i \rightarrow_{\beta^*} \text{lift } s i$ 
  apply (induct set: rtrancl)
  apply (rule rtranclp.rtrancl-refl)
  apply (erule rtranclp.rtrancl-into-rtrancl)
  apply (erule lift-preserves-beta)
  done

theorem subst-bv2-preserves-beta2 [simp]:  $r \rightarrow_{\beta} s \implies \text{subst-bv2 } t i r \rightarrow_{\beta^*} \text{subst-bv2 } t i s$ 
  apply (induct t arbitrary: r s i)
  apply (solves <simp add: r-into-rtranclp>)+

```

```

using lift-preserves-beta by (auto simp add: rtrancl-beta-App)

theorem subst-bv2-preserves-beta2':  $r \rightarrow_{\beta}^* s \implies \text{subst-bv2 } t \ i \ r \rightarrow_{\beta}^* \text{subst-bv2 } t \ i \ s$ 
proof (induct set: rtranclp)
  apply (auto elim: rtranclp-trans subst-bv2-preserves-beta2)
done

lemma beta-preserves-typ-of1:  $\text{typ-of1 } Ts \ r = \text{Some } T \implies r \rightarrow_{\beta} s \implies \text{typ-of1 } Ts \ s = \text{Some } T$ 
proof (induction Ts r arbitrary: s T rule: typ-of1.induct)
  case (4 Ts T body)
  then show ?case
    by (smt beta-cases(3) typ-of1.simps(4) typ-of-Abs-body-typ')
next
  case (5 Ts f u)
  from this obtain argT where argT:  $\text{typ-of1 } Ts \ u = \text{Some } argT$  and  $\text{typ-of1 } Ts \ f = \text{Some } (argT \rightarrow T)$ 
  by (meson typ-of1-split-App-obtains)

  from 5 show ?case apply –
  apply (ind-cases f $ u  $\rightarrow_{\beta}$  s for f u s)
  using  $\langle \text{typ-of1 } Ts \ f = \text{Some } (argT \rightarrow T) \rangle$  argT typ-of1-subst-bv-gen'
  typ-of-Abs-body-typ' by (fastforce simp add: substn-subst-n)+
qed (use beta.cases in  $\langle \text{blast} \rangle$ )

lemma beta-preserves-typ-of:  $\text{typ-of } r = \text{Some } T \implies r \rightarrow_{\beta} s \implies \text{typ-of } s = \text{Some } T$ 
proof (metis beta-preserves-typ-of1 typ-of-def)

lemma beta-star-preserves-typ-of1:  $r \rightarrow_{\beta}^* s \implies \text{typ-of1 } Ts \ r = \text{Some } T \implies \text{typ-of1 } Ts \ s = \text{Some } T$ 
proof (induction rule: rtranclp.induct)
  case (rtrancl-refl a)
  then show ?case
    by simp
next
  case (rtrancl-into-rtrancl a b c)
  then show ?case
    using beta-preserves-typ-of1 by blast
qed

lemma beta-reducible-imp-beta-step:  $\text{beta-reducible } t \implies \exists t'. t \rightarrow_{\beta} t'$ 
proof (induction t)
  case (App t1 t2)
  then show ?case using App by (cases t1) auto
qed auto

```

```

lemma beta-step-imp-beta-reducible:  $t \rightarrow_{\beta} t' \implies \text{beta-reducible } t$ 
proof (induction t t' rule: beta.induct)
  case (beta T s t)
    then show ?case by simp
next
case (appL s t u)
  then show ?case by (cases s) auto
next
case (appR s t u)
  then show ?case using beta-reducible.elims by blast
next
case (abs s t T)
  then show ?case by simp
qed

lemma beta-norm-imp-beta-reds: assumes beta-norm t = Some t' shows  $t \rightarrow_{\beta}^* t'$ 
using assms proof (induction arbitrary: t t' rule: beta-norm.fixp-induct)
  case 1
  then show ?case
    by (smt Option.is-none-def ccpo.admissibleI chain-fun flat-lub-def flat-ord-def
fun-lub-def
insertCI is-none-code(2) mem-Collect-eq option.lub-upper subsetI)
next
  case 2
  then show ?case
    by simp
next
case (3 comp)
  then show ?case
  proof(cases t)
  next
  case (App f u)
  note  $fu = \text{App}$ 
  then show ?thesis
  proof (cases comp f)
  case None
  show ?thesis
  proof(cases f)
  case (Abs B b)
  then show ?thesis
  by (metis (mono-tags, lifting) 3.IH 3.premis Core.subst-bv-def Core.term.simps(29)
Core.term.simps(30) beta fu rtranclp.rtrancl-into-rtrancl rtran-
clp.rtrancl-refl rtranclp-trans)
  qed (use 3 None in <simp-all add: fu split: term.splits option.splits if-splits>)
next
case (Some fo)
  then show ?thesis

```



```

proof(cases fo)
  case (Ct n T)
  then show ?thesis
proof(cases f)
  case (Abs B b)
  then show ?thesis
  by (metis (no-types, lifting) 3.IH 3.prem1 Core.subst-bv-def Core.term.simps(29)
      Core.term.simps(30) beta converse-rtranclp-into-rtranclp fu)
qed (use 3 Some in ⟨auto simp add: fu split: term.splits option.splits if-split⟩)
next
  case (Fv n T)
  then show ?thesis
proof(cases f)
  case (Abs B b)
  then show ?thesis
  by (metis (no-types, lifting) 3.IH 3.prem1 Core.subst-bv-def Core.term.simps(29)
      Core.term.simps(30) beta converse-rtranclp-into-rtranclp fu)
qed (use 3 Some in ⟨auto simp add: fu split: term.splits option.splits if-split⟩)
next
case (Bv n)
  then show ?thesis
proof(cases f)
  case (Abs B b)
  then show ?thesis
  by (metis (no-types, lifting) 3.IH 3.prem1 Core.subst-bv-def Core.term.simps(29)
      Core.term.simps(30) beta converse-rtranclp-into-rtranclp fu)
qed (use 3 Some in ⟨auto simp add: fu split: term.splits option.splits if-split⟩)
next
  case (Abs T t)
  then show ?thesis
proof(cases f)
  case (Ct n C)
  show ?thesis
  by (metis 3.IH Abs Core.term.simps(11) Ct Some beta-reducible.simps(7)

      beta-step-imp-beta-reducible converse-rtranclpE)
next
  case (Fv n C)
  then show ?thesis
  by (metis 3.IH Abs Fv Some beta-reducible.simps(1,4,8) beta-step-imp-beta-reducible

      converse-rtranclpE)
next
  case (Bv n)
  then show ?thesis
  by (metis 3.IH Abs Some beta-cases(1) converse-rtranclpE term.distinct(15))
next
  case (Abs B b)
  then show ?thesis

```

```

    by (metis (no-types, lifting) 3.IH 3.prem1 Core.subst-bv-def Core.term.simps(29)
        Core.term.simps(30) beta converse-rtranclp-into-rtranclp fu)
  next
    case (App a b)
    then show ?thesis
    using 3 apply (simp add: fu Some split: term.splits option.splits if-splits;
fast?)
    by (metis Core.subst-bv-def beta converse-rtranclp-into-rtranclp rtrancl-beta-AppL
rtranclp-trans)
  qed
next
case AppO: (App f u)
then show ?thesis
proof(cases f)
case (Ct n C)
show ?thesis
using 3 Some apply (simp add: Ct AppO fu split: term.splits option.splits
if-split; fast?)
by (metis Core.subst-bv-def beta converse-rtranclp-into-rtranclp)
next
case (Fv n C)
then show ?thesis
using 3 Some apply (simp add: Fv AppO fu split: term.splits option.splits
if-split; fast?)
by (metis Core.subst-bv-def beta converse-rtranclp-into-rtranclp)
next
case (Bv n)
then show ?thesis
using 3 Some apply (simp add: Bv AppO fu split: term.splits option.splits
if-split; fast?)
by (metis Core.subst-bv-def beta converse-rtranclp-into-rtranclp)
next
case (Abs B b)
then show ?thesis
using 3 Some apply (simp add: Abs AppO fu split: term.splits option.splits
if-split; fast?)
by (metis Core.subst-bv-def beta converse-rtranclp-into-rtranclp)
next
case (App a b)
then show ?thesis
using 3 Some apply (simp add: App AppO fu split: term.splits option.splits
if-split; fast?)
by (metis Core.subst-bv-def beta converse-rtranclp-into-rtranclp)
qed
qed
qed
qed auto
qed

```

**corollary**  $\text{beta-norm } t = \text{Some } t' \implies \text{typ-of1 } Ts \ t = \text{Some } T \implies \text{typ-of1 } Ts \ t' = \text{Some } T$

**using** *beta-norm-imp-beta-reds beta-star-preserves-typ-of1* **by** *blast*

**lemma** *beta-imp-beta-norm*: **assumes**  $t \rightarrow_{\beta} t' \neg \text{beta-reducible } t'$  **shows**  $\text{beta-norm } t = \text{Some } t'$

**using** *assms* **proof** (*induction rule: beta.induct*)

**case** (*beta T s t*)

**then show** *?case* **using** *not-beta-reducible-imp-beta-norm-unchanged* **by** (*auto simp add: subst-bv-def substn-subst-n*)

**next**

**case** (*appL s t u*)

**hence**  $t: \neg \text{beta-reducible } t$  **by** (*fastforce elim: beta-reducible.elims*)

**hence** *IH*:  $\text{beta-norm } s = \text{Some } t$  **using** *appL.IH* **by** *simp*

**from** *appL* **have**  $u: \neg \text{beta-reducible } u$

**using** *beta-reducible.elims* **by** *blast*

**show** *?case*

**apply** (*cases s; cases t*)

**using** *not-beta-reducible-imp-beta-norm-unchanged IH t u appL.prem*s **by** *auto*

**next**

**case** (*appR s t u*)

**hence**  $t: \neg \text{beta-reducible } t$

**using** *beta-reducible.elims* **by** *blast*

**hence** *IH*:  $\text{beta-norm } s = \text{Some } t$  **using** *appR.IH* **by** *simp*

**from** *appR* **have**  $u: \neg \text{beta-reducible } u$

**using** *beta-reducible.elims* **by** *blast*

**show** *?case*

**apply** (*cases s; cases u*)

**using** *not-beta-reducible-imp-beta-norm-unchanged IH t u appR.prem*s **by** *auto*

**next**

**case** (*abs s t T*)

**then show** *?case* **by** *auto*

**qed**

**lemma** *beta-subst-bv1*:  $s \rightarrow_{\beta} t \implies \text{subst-bv1 } s \ \text{lev } x \rightarrow_{\beta} \text{subst-bv1 } t \ \text{lev } x$

**proof** (*induction s t arbitrary: lev rule: beta.induct*)

**case** (*beta T s t*)

**then show** *?case*

**using** *beta.beta subst-bv2-preserves-beta substn-subst-n* **by** *presburger*

**qed** (*auto simp add: subst-bv-def*)

**lemma** *beta-subst-bv*:  $s \rightarrow_{\beta} t \implies \text{subst-bv } x \ s \rightarrow_{\beta} \text{subst-bv } x \ t$

**by** (*simp add: substn-subst-0'*)

**lemma** *subst-bv1-beta*:

$\text{subst-bv1 } s \ (\text{length } (T\#Ts)) \ x \rightarrow_{\beta} \text{subst-bv1 } t \ (\text{length } (T\#Ts)) \ x$

$\implies \text{typ-of1 } Ts \ s = \text{Some } ty$

$\implies \text{typ-of1 } Ts \ t = \text{Some } ty$

$\Rightarrow s \rightarrow_{\beta} t$   
**proof** (*induction subst-bv1 s (length (T#Ts)) x subst-bv1 t (length (T#Ts)) x*  
*arbitrary: s t T T Ts ty rule: beta.induct*)  
**case** (*beta T s t*)  
**then show** *?case*  
**by** (*metis beta.simps length-Cons loose-bvar-Suc no-loose-bvar-imp-no-subst-bv1*  
*typ-of1-imp-no-loose-bvar*)  
**next**  
**case** (*appL s t u*)  
**then show** *?case*  
**by** (*metis beta.appL length-Cons loose-bvar-Suc no-loose-bvar-imp-no-subst-bv1*  
*typ-of1-imp-no-loose-bvar*)  
**next**  
**case** (*appR s t u*)  
**then show** *?case*  
**by** (*metis beta.simps length-Cons loose-bvar-Suc no-loose-bvar-imp-no-subst-bv1*  
*typ-of1-imp-no-loose-bvar*)  
**next**  
**case** (*abs s t bT sa ta T Ts rT*)  
**obtain** *s' where Abs bT s' = sa*  
**using** *abs.hyps(3) abs.premis loose-bvar-Suc no-loose-bvar-imp-no-subst-bv1*  
*typ-of1-imp-no-loose-bvar*  
**by** (*metis length-Cons*)  
**moreover obtain** *t' where Abs bT t' = ta*  
**using** *abs.hyps(4) abs.premis loose-bvar-Suc no-loose-bvar-imp-no-subst-bv1*  
*typ-of1-imp-no-loose-bvar*  
**by** (*metis length-Cons*)  
**ultimately have** *s'  $\rightarrow_{\beta}$  t'*  
**by** (*metis abs.hyps(1) abs.hyps(3) abs.hyps(4) abs.premis(1) abs.premis(2)*  
*length-Cons*  
*loose-bvar-Suc no-loose-bvar-imp-no-subst-bv1 term.inject(4) typ-of1-imp-no-loose-bvar*)  
**then show** *?case*  
**using** *⟨Abs bT s' = sa⟩ ⟨Abs bT t' = ta⟩ by blast*  
**qed**

**fun** *subst-bvs1' :: term  $\Rightarrow$  nat  $\Rightarrow$  term list  $\Rightarrow$  term where*  
*subst-bvs1' (Bv i) lev args = (if i < lev then Bv i*  
*else if i - lev < length args then (nth args (i-lev))*  
*else Bv (i - length args))*  
*| subst-bvs1' (Abs T body) lev args = Abs T (subst-bvs1' body (lev + 1) (map ( $\lambda t$ .*  
*lift t 0) args))*  
*| subst-bvs1' (f \$ t) lev u = subst-bvs1' f lev u \$ subst-bvs1' t lev u*  
*| subst-bvs1' t - - = t*

**lemma** *subst-bvs1'-empty [simp]: subst-bvs1' t lev [] = t*  
**by** (*induction t lev []::term list rule: subst-bvs1.induct*)*auto*

**lemma** *subst-bvs1'-eq [simp]: args  $\neq$  []  $\implies$  subst-bvs1' (Bv k) k args = args ! 0*

**by** *simp*  
**lemma** *subst-bvs1'-eq'* [*simp*]:  $i < \text{length } \text{args} \implies \text{subst-bvs1}' (Bv (k+i)) k \text{ args}$   
 $= \text{args} ! i$   
**by** *auto*

**lemma** *subst-bvs1'-gt* [*simp*]:  
 $i + \text{length } \text{args} < j \implies \text{subst-bvs1}' (Bv j) i \text{ args} = Bv (j - \text{length } \text{args})$   
**by** *auto*

**lemma** *subst-bv2-lt* [*simp*]:  $j < i \implies \text{subst-bvs1}' (Bv j) i u = Bv j$   
**by** *simp*

**lemma** *subst-bvs1'-App* [*simp*]:  $\text{subst-bvs1}' (s\$t) k \text{ args}$   
 $= \text{subst-bvs1}' s k \text{ args} \$ \text{subst-bvs1}' t k \text{ args}$   
**by** *simp*

**lemma** *incr-bv-incr-bv*:  
 $i < k + 1 \implies \text{incr-bv } \text{inc2} (k+\text{inc1}) (\text{incr-bv } \text{inc1 } i t) = \text{incr-bv } \text{inc1 } i (\text{incr-bv } \text{inc2 } k t)$   
**proof** (*induction t arbitrary: i k*)  
**case** (*Abs T t*)  
**then show** *?case*  
**by** (*metis Suc-eq-plus1 add-Suc add-mono1 incr-bv.simps(2)*)  
**qed** *auto*

**lemma** *subst-bvs1-subst-bvs1'*:  $\text{subst-bvs1 } t n s = \text{subst-bvs1}' t n (\text{map } (\text{incr-bv } n 0) s)$   
**proof** (*induction t arbitrary: n*)  
**case** (*Abs T t*)  
**then show** *?case*  
**by** (*simp add: incr-boundvars-def incr-bv-combine*)  
*(metis One-nat-def comp-apply incr-bv-combine plus-1-eq-Suc)*  
**qed** (*auto simp add: incr-boundvars-def incr-bv-combine*)

**theorem** *subst-bvs1-subst-bvs1'-0*:  $\text{subst-bvs1 } t 0 s = \text{subst-bvs1}' t 0 s$   
**proof**–  
**have**  $\text{subst-bvs1 } t 0 s = \text{subst-bvs1}' t 0 (\text{map } (\text{incr-bv } 0 0) s)$   
**using** *subst-bvs1-subst-bvs1'* **by** *blast*  
**moreover have**  $\text{map } (\text{incr-bv } 0 0) s = s$   
**by** (*induction s*) *auto*  
**ultimately show** *?thesis*  
**by** *simp*  
**qed**

**corollary** *subst-bvs-subst-bvs1'*:  $\text{subst-bvs } s t = \text{subst-bvs1}' t 0 s$   
**using** *subst-bvs-def subst-bvs1-subst-bvs1'-0* **by** *simp*

**lemma** *no-loose-bvar-subst-bvs1'-unchanged*:  $\neg \text{loose-bvar } t \text{ lev} \implies \text{subst-bvs1}' t \text{ lev } \text{ args} = t$

by (induction t lev args rule: subst-bvs1'.induct) auto

**lemma** *subst-bvs1'-step*:  $\forall x \in \text{set } (a\#\text{args}) . \text{is-closed } x \implies$   
 $\text{subst-bvs1}' t \text{ lev } (a\#\text{args}) = \text{subst-bvs1}' (\text{subst-bv2 } t \text{ lev } a) \text{ lev args}$

**proof** (induction t lev args rule: subst-bvs1'.induct)

case (1 i lev args)

then show ?case

using no-loose-bvar-subst-bvs1'-unchanged

by (simp add: is-open-def)

(metis Suc-diff-Suc le-add1 le-add-same-cancel1 less-antisym loose-bvar-leq

not-less-eq)

**qed** (auto simp add: is-open-def)

**lemma** *not-loose-bvar-incr-bv*:  $\neg \text{loose-bvar } a \text{ lev} \implies \neg \text{loose-bvar } (\text{incr-bv } \text{inc } \text{lev } a) (\text{lev}+\text{inc})$

by (induction a lev rule: loose-bvar.induct) auto

**lemma** *not-loose-bvar-incr-bv-less*:

$i < j \implies \neg \text{loose-bvar } (\text{incr-bv } \text{inc } i \ a) (\text{lev}+\text{inc}) \implies \neg \text{loose-bvar } (\text{incr-bv } \text{inc } j \ a) (\text{lev}+\text{inc})$

**proof** (induction inc i a arbitrary: lev j rule: incr-bv.induct)

case (2 inc n T body)

then show ?case

by (metis Suc-eq-plus1 add-Suc add-mono1 incr-bv.simps(2) loose-bvar.simps(3))

**qed** (auto split: if-splits)

**lemma** *subst-bvs1'-step-work*:  $\forall x \in \text{set args} . \text{is-closed } x \implies \neg \text{loose-bvar } (\text{subst-bv2 } t \text{ lev } a) \text{ lev} \implies$

$\text{subst-bvs1}' t \text{ lev } (a\#\text{args}) = \text{subst-bvs1}' (\text{subst-bv2 } t \text{ lev } a) \text{ lev args}$

**proof** (induction t lev args arbitrary: a rule: subst-bvs1'.induct)

case (1 i)

then show ?case using no-loose-bvar-subst-bvs1'-unchanged

by (auto simp add: is-open-def)

next

case (2 T body lev args)

then show ?case using no-loose-bvar-subst-bvs1'-unchanged

by (auto simp add: is-open-def)

next

case (3 f t lev u)

then show ?case using no-loose-bvar-subst-bvs1'-unchanged

by (auto simp add: is-open-def)

next

case (4-1 v va uu uv)

then show ?case using no-loose-bvar-subst-bvs1'-unchanged

by (auto simp add: is-open-def)

next

case (4-2 v va uu uv)

then show ?case using no-loose-bvar-subst-bvs1'-unchanged

by (auto simp add: is-open-def)  
qed

**lemma** *is-closed-subst-bv2-unchanged*:  $is-closed\ t \implies subst-bv2\ t\ n\ u = t$   
by (metis is-open-def lift-def loose-bvar-Suc no-loose-bvar-no-incr subst-bv2-lift zero-induct)

**lemma** *subst-bvs1'-step-extend-lower-level*:  $\forall x \in set\ (a\#\ args) . is-closed\ x \implies$   
 $subst-bv2\ (subst-bvs1'\ t\ (Suc\ lev)\ args)\ lev\ a =$   
 $subst-bvs1'\ t\ lev\ (a\#\ args)$

**proof** (induction t lev a#args arbitrary: a args rule: subst-bvs1'.induct)

case (1 i lev)

have  $subst-bv2\ (subst-bvs1'\ (Bv\ i)\ (Suc\ lev)\ args)\ lev\ a =$   
 $subst-bvs1'\ (Bv\ i)\ lev\ (a\ \#\ args)$

if  $i < Suc\ lev$

using that by auto

moreover have  $subst-bv2\ (subst-bvs1'\ (Bv\ i)\ (Suc\ lev)\ args)\ lev\ a =$   
 $subst-bvs1'\ (Bv\ i)\ lev\ (a\ \#\ args)$

if  $i - Suc\ lev < length\ args \neg i < Suc\ lev$

**proof**–

have  $subst-bv2\ (subst-bvs1'\ (Bv\ i)\ (Suc\ lev)\ args)\ lev\ a = subst-bv2\ (args\ !\ (i$   
–  $Suc\ lev))\ lev\ a$

using that by simp

also have  $\dots = args\ !\ (i - Suc\ lev)$

using 1 that(1) by (auto simp add: is-closed-subst-bv2-unchanged)

also have  $subst-bvs1'\ (Bv\ i)\ lev\ (a\ \#\ args) = args\ !\ (i - Suc\ lev)$

using that by auto

finally show ?thesis

by simp

qed

moreover have  $subst-bv2\ (subst-bvs1'\ (Bv\ i)\ (Suc\ lev)\ args)\ lev\ a =$   
 $subst-bvs1'\ (Bv\ i)\ lev\ (a\ \#\ args)$

if  $i \geq Suc\ lev\ i - lev \geq length\ args \neg i < Suc\ lev$

using that 1 by (auto simp add: is-closed-subst-bv2-unchanged)

ultimately show ?case by (auto simp add: is-open-def split: if-splits)

qed (auto simp add: is-open-def)

**corollary** *subst-bvs-extend-lower-level*:

$\forall x \in set\ (a\#\ args) . is-closed\ x \implies$

$subst-bv\ a\ (subst-bvs1'\ t\ 1\ args) = subst-bvs\ (a\#\ args)\ t$

using *subst-bvs1'-step-extend-lower-level*

by (simp add: subst-bvs-subst-bvs1' substn-subst-0')

**lemma** *subst-bvs1'-preserves-beta*:

$\forall x \in set\ u . is-closed\ x \implies r \rightarrow_\beta s \implies subst-bvs1'\ r\ k\ u \rightarrow_\beta subst-bvs1'\ s\ k\ u$

**proof** (induction u arbitrary: r s )

case Nil

then show ?case by auto

**next**  
**case** (*Cons a u*)  
**hence**  $\text{subst-bv2 } r \ k \ a \rightarrow_{\beta} \text{subst-bv2 } s \ k \ a$   
**by** *simp*  
**hence**  $\text{subst-bvs1}' (\text{subst-bv2 } r \ k \ a) \ k \ u \rightarrow_{\beta} \text{subst-bvs1}' (\text{subst-bv2 } s \ k \ a) \ k \ u$   
**using** *Cons by simp*  
**then show** *?case*  
**by** (*simp add: subst-bvs1'-step[symmetric] Cons.prem1*)  
**qed**

**lemma** *subst-bvs1'-fold*:  $\forall x \in \text{set args} . \text{is-closed } x \implies$   
 $\text{subst-bvs1}' t \ \text{lev args} = \text{fold } (\lambda \text{arg } t . \text{subst-bv2 } t \ \text{lev arg}) \ \text{args } t$   
**by** (*induction args arbitrary: t (simp-all add: subst-bvs1'-step)*)

**lemma** *subst-bvs1'-Abs[simp]*:  $\forall x \in \text{set args} . \text{is-closed } x \implies$   
 $\text{subst-bvs1}' (\text{Abs } T \ t) \ \text{lev args} = \text{Abs } T \ (\text{subst-bvs1}' t \ (\text{Suc lev}) \ \text{args})$   
**by** (*simp add: is-open-def map-idI*)

**lemma** *subst-bvs-Abs[simp]*:  $\forall x \in \text{set args} . \text{is-closed } x \implies$   
 $\text{subst-bvs args } (\text{Abs } T \ t) = \text{Abs } T \ (\text{subst-bvs1}' t \ \text{args})$   
**using** *subst-bvs1'-Abs subst-bvs-subst-bvs1' by auto*

**lemma** *subst-bvs1'-incr-bv [simp]*:  
 $\text{subst-bvs1}' (\text{incr-bv } (\text{length } ss) \ k \ t) \ k \ ss = t$   
**proof** (*induct t arbitrary: k ss*)  
**case** (*Abs T t*)  
**then show** *?case*  
**by** *simp (metis length-map)*  
**qed** *auto*

**lemma** *lift-subst-bvs1' [simp]*:  
 $j < i + 1 \implies \text{lift } (\text{subst-bvs1}' t \ j \ ss) \ i$   
 $= \text{subst-bvs1}' (\text{lift } t \ (i + \text{length } ss)) \ j \ (\text{map } (\lambda s . \text{lift } s \ i) \ ss)$   
**proof** (*induct t arbitrary: i j ss*)  
**case** (*Abs T t*)  
**hence** *I*:  $\text{lift } (\text{subst-bvs1}' t \ (\text{Suc } j) \ (\text{map } (\lambda t . \text{lift } t \ 0) \ ss)) \ (\text{Suc } i) =$   
 $\text{subst-bvs1}' (\text{lift } t \ (\text{Suc } i + \text{length } (\text{map } (\lambda t . \text{lift } t \ 0) \ ss))) \ (\text{Suc } j) \ (\text{map } (\lambda a . \text{lift}$   
 $a \ (\text{Suc } i)) \ (\text{map } (\lambda t . \text{lift } t \ 0) \ ss))$   
**by** *auto*

**have**  $\text{lift } (\text{subst-bvs1}' (\text{Abs } T \ t) \ j \ ss) \ i$   
 $= \text{Abs } T \ (\text{lift } (\text{subst-bvs1}' t \ (\text{Suc } j) \ (\text{map } (\lambda t . \text{lift } t \ 0) \ ss)) \ (\text{Suc } i))$   
**by** *simp*  
**also have**  $\dots = \text{Abs } T$   
 $(\text{subst-bvs1}' (\text{lift } t \ (\text{Suc } i + \text{length } (\text{map } (\text{incr-bv } 1 \ 0) \ ss))) \ (\text{Suc } j)$   
 $(\text{map } (\text{incr-bv } 1 \ (\text{Suc } i)) \ (\text{map } (\text{incr-bv } 1 \ 0) \ ss)))$   
**using** *I by auto*  
**also have**  $\dots = \text{Abs } T$   
 $(\text{subst-bvs1}' (\text{lift } t \ (\text{Suc } i + \text{length } (\text{map } (\text{incr-bv } 1 \ 0) \ ss))) \ (\text{Suc } j)$



$(\text{map } (\lambda t. \text{lift } t \ 0) (\text{map } (\lambda t. \text{lift } t \ i) \ ss))$   
**proof**–  
**have**  $\text{map } (\lambda t. \text{lift } t \ (\text{Suc } i)) (\text{map } (\lambda t. \text{lift } t \ 0) \ ss) = \text{map } (\lambda t. \text{lift } t \ 0) (\text{map } (\lambda t. \text{lift } t \ i) \ ss)$   
**using** *lift-lift by auto*  
**thus** *?thesis unfolding lift-def*  
**by** *argo*  
**qed**  
**also have**  $\dots = \text{subst-bvs1}' (\text{Abs } T (\text{lift } t (\text{Suc } i + \text{length } (\text{map } (\text{incr-bv } 1 \ 0) \ ss)))) j$   
 $(\text{map } (\lambda t. \text{lift } t \ i) \ ss)$   
**by** *auto*  
**finally show** *?case*  
**by** *simp*  
**qed** (*auto simp add: diff-Suc lift-lift split: nat.split*)

**lemma** *lift-subst-bvs1'-lt:*  
 $i < j + 1 \implies \text{lift } (\text{subst-bvs1}' \ t \ j \ ss) \ i$   
 $= \text{subst-bvs1}' (\text{lift } t \ i) (j + 1) (\text{map } (\lambda s. \text{lift } s \ i) \ ss)$   
**proof** (*induct t arbitrary: i j ss*)  
**case** (*Abs T t*)  
**then show** *?case using lift-lift*  
**by** *simp (smt comp-apply map-eq-conv zero-less-Suc)*  
**qed** *auto*

**lemma** *subst-bvs1'-subst-bv2:*  
 $i < j + 1 \implies$   
 $\text{subst-bv2}(\text{subst-bvs1}' \ t \ (\text{Suc } j) (\text{map } (\lambda v. \text{lift } v \ i) \ vs)) \ i (\text{subst-bvs1}' \ u \ j \ vs)$   
 $= \text{subst-bvs1}' (\text{subst-bv2 } t \ i \ u) \ j \ vs$   
**proof** (*induction t arbitrary: i j u vs*)  
**case** (*Abs T t*)  
**then show** *?case*  
**by** *simp (smt One-nat-def Suc-eq-plus1 Suc-less-eq comp-apply lift-lift lift-def lift-subst-bvs1'-lt map-eq-conv map-map zero-less-Suc)*  
**qed** (*use subst-bv2-lift in auto*)

**lemma** *fv-subst-bv2-upper-bound:*  $\text{fv } (\text{subst-bv2 } t \ \text{lev } u) \subseteq \text{fv } t \cup \text{fv } u$   
**by** (*induction t lev u rule: subst-bv2.induct*) *auto*

**lemma** *beta-fv:*  $s \rightarrow_{\beta} t \implies \text{fv } t \subseteq \text{fv } s$   
**by** (*induction rule: beta.induct*) (*use fv-subst-bv2-upper-bound in auto*)

**lemma** *loose-bvar1-subst-bvs1'-closes:*  $\neg \text{loose-bvar1 } t \ \text{lev} \implies \text{lev} < k \implies \forall x \in \text{set } us. \text{ is-closed } x$   
 $\implies \neg \text{loose-bvar1 } (\text{subst-bvs1}' \ t \ k \ us) \ \text{lev}$   
**by** (*induction t k us arbitrary: lev rule: subst-bvs1'.induct*)  
*(use is-open-def loose-bvar-iff-exist-loose-bvar1 in <auto simp add: is-open-def>)*

**lemma** *is-closed-subst-bvs1'-closes:*  $\neg \text{is-dependent } t \implies \forall x \in \text{set } us. \text{ is-closed } x$   
 $\implies \neg \text{is-dependent } (\text{subst-bvs1}' \ t \ (\text{Suc } k) \ us)$

```

    by (simp add: is-dependent-def loose-bvar1-subst-bvs1'-closes)

end

Facts about beta normalization involving theories

theory BetaNormProof
  imports BetaNorm Theory
begin

lemma beta-preserves-term-ok': term-ok'  $\Sigma$  r  $\implies$  r  $\rightarrow_\beta$  s  $\implies$  term-ok'  $\Sigma$  s
proof (induction r arbitrary: s)
  case (Ct n T)
  then show ?case
    apply (simp add: tinstT-def split: option.splits)

    using beta-reducible.simps(7) beta-step-imp-beta-reducible by blast
next
  case (Fv n T)
  then show ?case
    by auto
next
  case (Bv n)
  then show ?case
    by auto
next
  case (Abs R r)
  then show ?case
    by auto
next
  case (App f u)
  then show ?case
    apply -
    apply (ind-cases f $ u  $\rightarrow_\beta$  s for f u s)
    using term-ok'-subst-bv2 term-ok'.simps(4) term-ok'.simps(5) apply blast
    using term-ok'.simps(4) apply blast
    using term-ok'.simps(4) apply blast
  done
qed

lemma beta-preserves-term-ok: term-ok  $\Theta$  r  $\implies$  r  $\rightarrow_\beta$  s  $\implies$  term-ok  $\Theta$  s
proof -
  assume a1: term-ok  $\Theta$  r
  assume a2: r  $\rightarrow_\beta$  s
  then have None  $\neq$  typ-of1 [] s
    using a1 beta-preserves-typ-of1
  by (metis has-typ1-imp-typ-of1 has-typ-def option.distinct(1) term-ok-def wt-term-def)
  then show ?thesis
    using a2 a1 beta-preserves-term-ok' has-typ-iff-typ-of wt-term-def typ-of-def
    by (meson beta-preserves-typ-of term-ok-def wf-term-iff-term-ok')
qed

```

**lemma** *beta-star-preserves-term-ok'*:  $r \rightarrow_{\beta^*} s \implies \text{term-ok}' \Sigma r \implies \text{term-ok}' \Sigma s$   
**by** (*induction rule: rtranclp.induct*) (*auto simp add: beta-preserves-term-ok'*)

**corollary** *beta-star-preserves-term-ok*:  $r \rightarrow_{\beta^*} s \implies \text{term-ok thy } r \implies \text{term-ok thy } s$   
**using** *beta-star-preserves-term-ok'* *beta-star-preserves-typ-of1 wt-term-def typ-of-def*  
**by** *auto*

**corollary** *term-ok-beta-norm*:  $\text{term-ok thy } t \implies \text{beta-norm } t = \text{Some } t' \implies \text{term-ok thy } t'$   
**using** *beta-norm-imp-beta-reds beta-star-preserves-term-ok* **by** *blast*

**end**

## 9 Eta Normalization

**theory** *EtaNorm*  
**imports** *Term BetaNorm*  
**begin**

**inductive**

*eta* :: *term*  $\Rightarrow$  *term*  $\Rightarrow$  *bool* (**infixl**  $\langle \rightarrow_{\eta} \rangle$  50)

**where**

*eta* [*simp, intro*]:  $\neg \text{is-dependent } s \implies \text{Abs } T (s \$ \text{Bv } 0) \rightarrow_{\eta} \text{decr } 0 s$   
| *appL* [*simp, intro*]:  $s \rightarrow_{\eta} t \implies s \$ u \rightarrow_{\eta} t \$ u$   
| *appR* [*simp, intro*]:  $s \rightarrow_{\eta} t \implies u \$ s \rightarrow_{\eta} u \$ t$   
| *abs* [*simp, intro*]:  $s \rightarrow_{\eta} t \implies \text{Abs } T s \rightarrow_{\eta} \text{Abs } T t$

**abbreviation**

*eta-reds* :: *term*  $\Rightarrow$  *term*  $\Rightarrow$  *bool* (**infixl**  $\langle \rightarrow_{\eta}^* \rangle$  50) **where**  
 $s \rightarrow_{\eta}^* t \equiv \text{eta}^{**} s t$

**abbreviation**

*eta-red0* :: *term*  $\Rightarrow$  *term*  $\Rightarrow$  *bool* (**infixl**  $\langle \rightarrow_{\eta}^= \rangle$  50) **where**  
 $s \rightarrow_{\eta}^= t \equiv \text{eta}^{==} s t$

**inductive-cases** *eta-cases* [*elim!*]:

*Abs*  $T s \rightarrow_{\eta} z$   
 $s \$ t \rightarrow_{\eta} u$   
*Bv*  $i \rightarrow_{\eta} t$

**lemma** *subst-bv2-not-free* [*simp*]:  $\neg \text{loose-bvar1 } s i \implies \text{subst-bv2 } s i t = \text{subst-bv2 } s i u$   
**by** (*induction s arbitrary: i t u*) (*simp-all add:*)

**lemma** *free-lift* [*simp*]:

$\text{loose-bvar1 } (\text{lift } t k) i = (i < k \wedge \text{loose-bvar1 } t i \vee k < i \wedge \text{loose-bvar1 } t (i -$

1))  
**by** (*induct t arbitrary: i k*) (*auto cong: conj-cong*)

**lemma** *free-subst-bv2* [*simp*]:  
 $loose-bvar1 (subst-bv2 s k t) i =$   
 $(loose-bvar1 s k \wedge loose-bvar1 t i \vee loose-bvar1 s (if i < k then i else i + 1))$   
**apply** (*induct s arbitrary: i k t*)  
**using** *free-lift apply* (*simp-all add: diff-Suc split: nat.split*)  
**by** *blast*

**lemma** *free-eta*:  $s \rightarrow_{\eta} t \implies loose-bvar1 t i = loose-bvar1 s i$   
**apply** (*induct arbitrary: i set: eta*)  
**apply** (*simp-all cong: conj-cong*)  
**using** *is-dependent-def loose-bvar1-decr''' loose-bvar1-decr''''* **by** *blast*

**lemma** *not-free-eta*:  
 $s \rightarrow_{\eta} t \implies \neg loose-bvar1 s i \implies \neg loose-bvar1 t i$   
**by** (*simp add: free-eta*)

**lemma** *no-loose-bvar1-subst-bv2-decr*:  $\neg loose-bvar1 t i \implies subst-bv2 t i x = decr i t$   
**by** (*induction t i x rule: subst-bv2.induct*) *auto*

**lemma** *eta-subst-bv2* [*simp*]:  
 $s \rightarrow_{\eta} t \implies subst-bv2 s i u \rightarrow_{\eta} subst-bv2 t i u$   
**proof** (*induction s t arbitrary: u i rule: eta.induct*)  
**case** (*eta s T*)  
**hence** *1*:  $\neg loose-bvar1 s 0$   
**using** *is-dependent-def* **by** *simp*  
**have**  $decr 0 s = subst-bv2 s 0 dummy$  **for** *dummy*  
**using** *no-loose-bvar1-subst-bv2-decr[symmetric, OF 1, of dummy]* .  
**from this obtain** *dummy where dummy: decr 0 s = subst-bv2 s 0 dummy*  
**by** *simp*

**show** *?case*  
**using** *1* **apply** (*simp add: dummy subst-bv2-subst-bv2 [symmetric]*)  
**using** *free-lift is-dependent-def no-loose-bvar1-subst-bv2-decr* **by** *auto*  
**qed** *auto*

**theorem** *lift-subst-bv2-dummy*:  $\neg loose-bvar s i \implies lift (decr i s) i = s$   
**by** (*induct s arbitrary: i*) *simp-all*

**lemma** *decr-is-closed*[*simp*]:  $is-closed t \implies decr lev t = t$   
**by** (*metis is-open-def lift-subst-bv2-dummy lift-def loose-bvar-Suc loose-bvar-incr-bvar no-loose-bvar-no-incr zero-induct*)

**lemma** *eta-reducible-imp-eta-step*:  $eta-reducible t \implies \exists t'. t \rightarrow_{\eta} t'$   
**by** (*induction t rule: eta-reducible.induct*) *auto*

**lemma** *eta-step-imp-eta-reducible*:  $t \rightarrow_{\eta} t' \implies \text{eta-reducible } t$   
**proof** (*induction*  $t$   $t'$  *rule*: *eta.induct*)  
  **case** (*abs*  $s$   $t$   $T$ )  
  **show** *?case*  
  **proof** (*cases*  $s$ )  
    **case** (*App*  $u$   $v$ )  
    **then show** *?thesis* **by** (*cases*  $v$ ; *use abs eta-reducible-Abs in metis*)  
  **qed** (*use abs in auto*)  
**qed** *auto*

**lemma** *eta-reds-appR*:  $s \rightarrow_{\eta}^* t \implies u \$ s \rightarrow_{\eta}^* u \$ t$   
**by** (*induction*  $s$   $t$  *rule*: *rtranclp.induct*) (*auto simp add: rtranclp.rtrancl-into-rtrancl*)  
**lemma** *eta-reds-appL*:  $s \rightarrow_{\eta}^* t \implies s \$ u \rightarrow_{\eta}^* t \$ u$   
**by** (*induction*  $s$   $t$  *rule*: *rtranclp.induct*) (*auto simp add: rtranclp.rtrancl-into-rtrancl*)  
**lemma** *eta-reds-abs*:  $s \rightarrow_{\eta}^* t \implies \text{Abs } T \ s \rightarrow_{\eta}^* \text{Abs } T \ t$   
**by** (*induction*  $s$   $t$  *rule*: *rtranclp.induct*) (*auto simp add: rtranclp.rtrancl-into-rtrancl*)

**lemma** *eta-norm-imp-eta-reds*: **assumes** *eta-norm*  $t = t'$  **shows**  $t \rightarrow_{\eta}^* t'$   
**using** *assms* **proof** (*induction*  $t$  *arbitrary*:  $t'$  *rule*: *eta-norm.induct*)  
  **case** (*1*  $T$  *body*)  
  **then show** *?case*  
  **proof** (*cases* *eta-norm* *body*)  
    **case** (*App*  $f$   $u$ )  
    **then show** *?thesis*  
      **using** *1* **apply** (*clarsimp simp add: is-dependent-def eta-reds-abs split: term.splits nat.splits if-splits*)  
      **by** (*metis eta.eta eta-reds-abs eta-reducible.simps(11) is-dependent-def not-eta-reducible-eta-norm not-eta-reducible-imp-eta-norm-no-change rtranclp.simps*)  
    **qed** (*auto simp add: is-dependent-def eta-reds-abs split: term.splits nat.splits if-splits*)  
  **next**  
    **case** (*2*  $f$   $u$ )  
    **hence**  $f \rightarrow_{\eta}^* \text{eta-norm } f \ u \rightarrow_{\eta}^* \text{eta-norm } u$   
    **by** *simp-all*  
    **then show** *?case* **using** *2*  
    **by** (*metis eta-norm.simps(2) eta-reds-appL eta-reds-appR rtranclp-trans*)  
  **qed** *auto*

**lemma** *rtrancl-eta-App*:  
 $s \rightarrow_{\eta}^* s' \implies t \rightarrow_{\eta}^* t' \implies s \$ t \rightarrow_{\eta}^* s' \$ t'$   
**by** (*blast intro!: eta-reds-appR eta-reds-appL intro: rtranclp-trans*)

**lemma** *eta-preserves-typ-of1*:  $t \rightarrow_{\eta} t' \implies \text{typ-of1 } Ts \ t = \text{Some } \tau \implies \text{typ-of1 } Ts \ t' = \text{Some } \tau$   
**proof** (*induction*  $Ts$   $t$  *arbitrary*:  $\tau$   $t'$  *rule*: *typ-of1.induct*)  
  **case** (*1*  $uu$   $uv$   $T$ )  
  **then show** *?case*  
  **using** *eta-step-imp-eta-reducible* **by** *fastforce*

```

next
  case (2 Ts i)
  then show ?case
    using eta-step-imp-eta-reducible by fastforce
next
  case (3 uw ux T)
  then show ?case
    using eta-step-imp-eta-reducible by fastforce
next
  case (4 Ts T body)
  then show ?case
  proof(cases body)
    case (Abs B b)
    then show ?thesis using 4
    by (metis eta-cases(1) term.distinct(19) typ-of1.simps(4) typ-of-Abs-body-typr)
next
  case (App u v)
  note oApp = App
  then show ?thesis
  proof(cases is-dependent u)
    case True
    then show ?thesis
    by (metis 4.IH 4.premis(1) 4.premis(2) App eta-cases(1) term.inject(5)
        typ-of1.simps(4) typ-of-Abs-body-typr)
next
  case False
  then show ?thesis
  proof(cases v)
    case (Ct n T)
    then show ?thesis
    using 4 oApp False typ-of-Abs-body-typr'
    by (metis eta-cases(1) term.distinct(3) term.inject(5) typ-of1.simps(4))
next
  case (Fv n T)
  then show ?thesis
  using 4 oApp False typ-of-Abs-body-typr'
  by (metis eta-cases(1) term.distinct(9) term.inject(5) typ-of1.simps(4))
next
  case (Bv n)
  then show ?thesis
  proof(cases n)
    case 0 thm 4
    show ?thesis
    proof(cases rule: eta-cases(1)[OF 4.premis(1)])
      case (1 s)
      thm 4(3)
      obtain rty where typ-of1 (T#Ts) (s $ Bv 0) = Some (rty)
      using typ-of-Abs-body-typr'[OF 4(3)] 1(3) 1(1) by blast
      moreover have  $\tau = T \rightarrow rty$ 

```

```

    by (metis 1(1) 4.premis(2) calculation option.inject typ-of-Abs-body-typ')
    ultimately have typ-of1 (T#Ts) s = Some  $\tau$ 
      using typ-of1-arg-typ
      by (metis length-Cons nth-Cons-0 typ-of1.simps(2) zero-less-Suc)
    hence typ-of1 Ts (decr 0 s) = Some  $\tau$ 
      by (metis 1(3) append-Cons append-Nil is-dependent-def list.size(3))
  typ-of1-decr)
  then show ?thesis
    using 1 oApp False typ-of-Abs-body-typ' Bv 0 by auto
  next
  case (2 t)
  then show ?thesis
    using oApp False typ-of-Abs-body-typ' Bv 0
    by (metis 4.IH 4.premis(2) typ-of1.simps(4))
  qed
next
case (Suc nat)
then show ?thesis
  using 4 oApp False typ-of-Abs-body-typ' Bv
  apply -
  apply (rule eta-cases(1)[of T body t'])
  apply blast
  apply blast
  apply (metis 4.IH 4.premis(2) typ-of1.simps(4))
  done
qed
next
case (Abs T t)
then show ?thesis
  using 4 oApp False typ-of-Abs-body-typ'

  apply -
  apply (erule eta.cases(1))
  by (metis term.distinct(15) term.distinct(19) term.inject(4) term.inject(5)
      typ-of1.simps(4))+
next
case (App f u)
then show ?thesis
  using 4 oApp False typ-of-Abs-body-typ'
  by (metis eta-cases(1) term.distinct(17) term.inject(5) typ-of1.simps(4))
qed
qed (use 4 in auto)
next
case (5 Ts f u)
then show ?case
  by (smt bind.bind-lunit eta-cases(2) typ-of1.simps(5) typ-of1-split-App-obtains)
qed

```

**lemma** *eta-preserves-typ-of*:  $t \rightarrow_{\eta} t' \implies \text{typ-of } t = \text{Some } \tau \implies \text{typ-of } t' = \text{Some } \tau$

**using** *eta-preserves-typ-of1 typ-of-def* **by** *simp*

**lemma** *eta-star-preserves-typ-of1*:  $r \rightarrow_{\eta}^* s \implies \text{typ-of1 } Ts \ r = \text{Some } T \implies \text{typ-of1 } Ts \ s = \text{Some } T$

**proof** (*induction rule: rtranclp.induct*)

**case** (*rtrancl-refl a*)

**then show** *?case*

**by** *simp*

**next**

**case** (*rtrancl-into-rtrancl a b c*)

**then show** *?case*

**using** *eta-preserves-typ-of1* **by** *blast*

**qed**

**lemma** *eta-star-preserves-typ-of*:  $r \rightarrow_{\eta}^* s \implies \text{typ-of } r = \text{Some } T \implies \text{typ-of } s = \text{Some } T$

**using** *eta-star-preserves-typ-of1 typ-of-def* **by** *simp*

**lemma** *subst-bvs1'-decr*:  $\forall x \in \text{set } us. \text{is-closed } x \implies \neg \text{loose-bvar1 } t \ k$

$\implies \text{subst-bvs1'} \ (\text{decr } k \ t) \ k \ us = \text{decr } k \ (\text{subst-bvs1'} \ t \ (\text{Suc } k) \ us)$

**by** (*induction k t arbitrary: us rule: decr.induct*) (*auto simp add: is-open-def*)

**lemma** *subst-bvs-decr*:  $\forall x \in \text{set } us. \text{is-closed } x \implies \neg \text{is-dependent } t$

$\implies \text{subst-bvs } us \ (\text{decr } 0 \ t) = \text{decr } 0 \ (\text{subst-bvs1'} \ t \ 1 \ us)$

**by** (*simp add: is-dependent-def subst-bvs1'-decr subst-bvs-subst-bvs1'*)

**end**

Facts about eta normalization involving theories

**theory** *EtaNormProof*

**imports** *EtaNorm Theory*

*BetaNormProof*

**begin**

**lemma** *term-ok'-decr*:  $\text{term-ok}' \ \Sigma \ t \implies \text{term-ok}' \ \Sigma \ (\text{decr } i \ t)$

**by** (*induction i t rule: decr.induct*) *auto*

**lemma** *eta-preserves-term-ok'*:  $\text{term-ok}' \ \Sigma \ r \implies r \rightarrow_{\eta} s \implies \text{term-ok}' \ \Sigma \ s$

**proof** (*induction r arbitrary: s*)

**case** (*Ct n T*)

**then show** *?case*

**apply** (*simp add: tinstT-def split: option.splits*)

**using** *eta-reducible.simps(12) eta-step-imp-eta-reducible* **by** *blast*

**next**

**case** (*Fv n T*)



```

    then show ?case
      using eta.cases
      by blast
  next
    case (Bv n)
    then show ?case
      by auto
  next
    case (Abs R r)
    then show ?case
      using eta.cases
      by (fastforce simp add: term-ok'-decr)
  next
    case (App f u)
    then show ?case
      apply -
      apply (erule eta-cases(2))
      using term-ok'.simps(4) by blast+
qed

lemma eta-preserves-term-ok: term-ok  $\Theta$  r  $\implies$  r  $\rightarrow_\eta$  s  $\implies$  term-ok  $\Theta$  s
proof -
  assume a1: term-ok  $\Theta$  r
  assume a2: r  $\rightarrow_\eta$  s
  then have None  $\neq$  typ-of1 [] s
    using a1 eta-preserves-typ-of1 option.collapse wt-term-def typ-of-def
    by auto
  then show ?thesis
    using a2 a1 eta-preserves-term-ok' wt-term-def typ-of-def wf-term-iff-term-ok'
    term-ok-def
    by (meson eta-preserves-typ-of has-typ-iff-typ-of)
qed

lemma eta-star-preserves-term-ok': r  $\rightarrow_\eta^*$  s  $\implies$  term-ok'  $\Sigma$  r  $\implies$  term-ok'  $\Sigma$  s
  by (induction rule: rtranclp.induct) (auto simp add: eta-preserves-term-ok')

corollary eta-star-preserves-term-ok: r  $\rightarrow_\eta^*$  s  $\implies$  term-ok thy r  $\implies$  term-ok thy
s
  using eta-star-preserves-term-ok' eta-star-preserves-typ-of1 wt-term-def typ-of-def
  by auto

corollary term-ok-eta-norm: term-ok thy t  $\implies$  eta-norm t = t'  $\implies$  term-ok thy t'
  using eta-norm-imp-eta-reds eta-star-preserves-term-ok by blast

end

```

## 10 Logic

theory Logic

**imports** *Theory Term-Subst SortConstants Name BetaNormProof EtaNormProof*  
**begin**

**term** *proves*

**abbreviation** *inst-ok*  $\Theta$  *insts*  $\equiv$

*distinct* (*map fst insts*) — No duplicates, makes stuff easier  
 $\wedge$  *list-all* (*typ-ok*  $\Theta$ ) (*map snd insts*) — Stuff I substitute in is well typed  
 $\wedge$  *list-all* ( $\lambda((idn, S), T) . has-sort (osig (sig \Theta)) T S$ ) *insts* — Types "fit" in the Fviables

**lemma** *inst-ok-imp-wf-inst*:

*inst-ok*  $\Theta$  *insts*  $\implies$  *wf-inst*  $\Theta$  ( $\lambda idn S . the-default (Tv idn S) (lookup (\lambda x. x=(idn, S)) insts)$ )

**by** (*induction insts*) (*auto split: if-splits prod.splits*)

**lemma** *term-ok'-eta-norm*: *term-ok'*  $\Sigma$  *t*  $\implies$  *term-ok'*  $\Sigma$  (*eta-norm t*)

**by** (*induction t rule: eta-norm.induct*)

(*auto split: term.splits nat.splits simp add: term-ok'-decr is-dependent-def*)

**corollary** *term-ok-eta-norm*: *term-ok* *thy t*  $\implies$  *term-ok* *thy (eta-norm t)*

**using** *wt-term-def typ-of-eta-norm term-ok'-eta-norm* **by** *auto*

**abbreviation** *beta-eta-norm* *t*  $\equiv$  *map-option eta-norm (beta-norm t)*

**lemma** *beta-eta-norm t = Some t'  $\implies$   $\neg$  eta-reducible t'*

**using** *not-eta-reducible-eta-norm* **by** *auto*

**lemma** *term-ok-beta-eta-norm*: *term-ok* *thy t*  $\implies$  *beta-eta-norm t = Some t'  $\implies$  term-ok thy t'*

**using** *term-ok-eta-norm term-ok-beta-norm* **by** *blast*

**lemma** *typ-of-beta-eta-norm*:

*typ-of t = Some T  $\implies$  beta-eta-norm t = Some t'  $\implies$  typ-of t' = Some T*

**using** *beta-norm-imp-beta-reds beta-star-preserves-typ-of1 typ-of1-eta-norm typ-of-def*  
**by** *fastforce*

**lemma** *inst-ok-nil[simp]*: *inst-ok*  $\Theta$  [] **by** *simp*

**lemma** *axiom-subst-typ'*:

**assumes** *wf-theory*  $\Theta$  *A*  $\in$  *axioms*  $\Theta$  *inst-ok*  $\Theta$  *insts*

**shows**  $\Theta, \Gamma \vdash$  *subst-typ' insts A*

**proof** –

**have** *wf-inst*  $\Theta$  ( $\lambda idn S . the-default (Tv idn S) (lookup (\lambda x. x=(idn, S)) insts)$ )

**using** *inst-ok-imp-wf-inst assms(3)* **by** *blast*

**moreover** **have** *subst-typ' insts A*

$=$  *tsubst A* ( $\lambda idn S . the-default (Tv idn S) (lookup (\lambda x. x=(idn, S)) insts)$ )

**by** (*simp add: tsubst-simulates-subst-typ'*)

**ultimately** **show** *?thesis*

**using** *assms axiom* **by** *simp*

**qed**

**corollary** *axiom'*:  $wf\text{-theory } \Theta \implies A \in \text{axioms } \Theta \implies \Theta, \Gamma \vdash A$   
**apply** (*subst subst-typ'-nil*[*symmetric*])  
**using** *axiom-subst-typ' inst-ok-nil* **by** *metis*

**lemma** *has-sort-Tv-refl*:  $wf\text{-osig } oss \implies \text{sort-ex } (\text{subclass } oss) S \implies \text{has-sort } oss$   
 $(Tv \ v \ S) \ S$   
**by** (*cases oss*) (*simp add: osig-subclass-loc wf-subclass-loc.intro has-sort-Tv wf-subclass-loc.sort-leq-refl*)

**lemma** *has-sort-Tv-refl'*:  
 $wf\text{-theory } \Theta \implies \text{typ-ok } \Theta (Tv \ v \ S) \implies \text{has-sort } (\text{osig } (\text{sig } \Theta)) (Tv \ v \ S) \ S$   
**using** *has-sort-Tv-refl*  
**by** (*metis wf-sig.simps osig.elims wf-theory-imp-wf-sig typ-ok-def*  
*wf-type-imp-typ-ok-sig typ-ok-sig.simps(2) wf-sort-def*)

**lemma** *wf-inst-imp-inst-ok*:  
 $wf\text{-theory } \Theta \implies \text{distinct } l \implies \forall (v, S) \in \text{set } l . \text{typ-ok } \Theta (Tv \ v \ S) \implies wf\text{-inst}$   
 $\Theta \ \varrho$   
 $\implies \text{inst-ok } \Theta (\text{map } (\lambda(v, S) . ((v, S), \varrho \ v \ S)) \ l)$

**proof** (*induction l*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons a l*)

**have** *I*:  $\text{inst-ok } \Theta (\text{map } (\lambda(v, S) . ((v, S), \varrho \ v \ S)) \ l)$

**using** *Cons* **by** *fastforce*

**have**  $a \notin \text{set } l$

**using** *Cons.premis(2)* **by** *auto*

**hence**  $(a, \text{case-prod } \varrho \ a) \notin \text{set } (\text{map } (\lambda(v, S) . ((v, S), \varrho \ v \ S)) \ l)$

**by** (*simp add: image-iff prod.case-eq-if*)

**moreover have**  $\text{distinct } (\text{map } (\lambda(v, S) . ((v, S), \varrho \ v \ S)) \ l)$

**using** *I distinct-kv-list distinct-map* **by** *fast*

**ultimately have**  $\text{distinct } (\text{map } (\lambda(v, S) . ((v, S), \varrho \ v \ S)) \ (a\#\!l))$

**by** (*auto split: prod.splits*)

**moreover have**  $wf\text{-type } (\text{sig } \Theta) (\text{case-prod } \varrho \ a)$

**using** *Cons.premis(3-4)* **by** *auto* (*metis typ-ok-Tv wf-type-imp-typ-ok-sig*)

**moreover hence**  $\text{typ-ok } \Theta (\text{case-prod } \varrho \ a)$

**by** *simp*

**moreover hence**  $\text{has-sort } (\text{osig } (\text{sig } \Theta)) (\text{case-prod } \varrho \ a) (\text{snd } a)$

**using** *Cons.premis* **by** (*metis* (*full-types*) *has-sort-Tv-refl'* *prod.case-eq-if wf-inst-def*)

**ultimately show** *?case*

**using** *I* **by** (*auto simp del: typ-ok-def split: prod.splits*)

**qed**

**lemma** *typs-of-fv-subset-Types*:  $\text{snd } ' \text{fv } t \subseteq \text{Types } t$   
**by** (*induction t*) *auto*

**lemma** *osig-tvsT-subset-SortsT*:  $\text{snd } \langle \text{tvsT } T \subseteq \text{SortsT } T \rangle$   
**by** (*induction T*) *auto*

**lemma** *osig-tvs-subset-Sorts*:  $\text{snd } \langle \text{tvs } t \subseteq \text{Sorts } t \rangle$   
**by** (*induction t*) (*use osig-tvsT-subset-SortsT in*  $\langle \text{auto simp add: image-subset-iff} \rangle$ )

**lemma** *term-ok-Types-imp-typ-ok-pre*:  
 $\text{is-std-sig } \Sigma \implies \text{term-ok}' \Sigma t \implies \tau \in \text{Types } t \implies \text{typ-ok-sig } \Sigma \tau$   
**by** (*induction t arbitrary: \tau*) (*auto split: option.splits*)

**lemma** *term-ok-Types-typ-ok*:  $\text{wf-theory } \Theta \implies \text{term-ok } \Theta t \implies \tau \in \text{Types } t \implies \text{typ-ok } \Theta \tau$   
**by** (*cases \Theta rule: theory-full-exhaust*) (*fastforce simp add: wt-term-def intro: term-ok-Types-imp-typ-ok-pre*)

**lemma** *term-ok-fv-imp-typ-ok-pre*:  
 $\text{is-std-sig } \Sigma \implies \text{term-ok}' \Sigma t \implies (x, \tau) \in \text{fv } t \implies \text{typ-ok-sig } \Sigma \tau$   
**using** *typs-of-fv-subset-Types term-ok-Types-imp-typ-ok-pre*  
**by** (*metis image-subset-iff snd-conv*)

**lemma** *term-ok-vars-typ-ok*:  $\text{wf-theory } \Theta \implies \text{term-ok } \Theta t \implies (x, \tau) \in \text{fv } t \implies \text{typ-ok } \Theta \tau$   
**using** *term-ok-Types-typ-ok typs-of-fv-subset-Types* **by** (*metis image-subset-iff snd-conv*)

**lemma** *typ-ok-TFreesT-imp-sort-ok-pre*:  
 $\text{is-std-sig } \Sigma \implies \text{typ-ok-sig } \Sigma T \implies (x, S) \in \text{tvsT } T \implies \text{wf-sort } (\text{subclass } (\text{osig } \Sigma)) S$   
**proof** (*induction T*)  
**case** (*Ty n Ts*)  
**then show** *?case* **by** (*induction Ts*) (*fastforce dest: split-list split: option.split-asm*)  
**qed** (*auto simp add: wf-sort-def*)

**lemma** *term-ok-TFrees-imp-sort-ok-pre*:  
 $\text{is-std-sig } \Sigma \implies \text{term-ok}' \Sigma t \implies (x, S) \in \text{tvs } t \implies \text{wf-sort } (\text{subclass } (\text{osig } \Sigma)) S$   
**proof** (*induction t arbitrary: S*)  
**case** (*Ct n T*)  
**then show** *?case*  
**apply** (*clarsimp split: option.splits*)  
**by** (*use typ-ok-TFreesT-imp-sort-ok-pre wf-sort-def in auto*)  
**next**  
**case** (*Fv n T*)  
**then show** *?case*  
**apply** (*clarsimp split: option.splits*)  
**by** (*use typ-ok-TFreesT-imp-sort-ok-pre wf-sort-def in auto*)  
**next**  
**case** (*Bv n*)  
**then show** *?case*  
**by** (*clarsimp split: option.splits*)

```

next
  case (Abs T t)
  then show ?case
    apply simp
    using typ-ok-TFreesT-imp-sort-ok-pre wf-sort-def
    by meson
next
  case (App t1 t2)
  then show ?case
    by auto
qed

lemma typ-ok-tvsT-imp-sort-ok-pre:
  is-std-sig  $\Sigma \implies$  typ-ok-sig  $\Sigma T \implies (x,S) \in tvsT T \implies$  wf-sort (subclass (osig
 $\Sigma$ )) S
proof (induction T)
  case (Ty n Ts)
  then show ?case by (induction Ts) (fastforce dest: split-list split: option.split-asm)+
qed (auto simp add: wf-sort-def)

lemma term-ok-tvars-sort-ok:
  assumes wf-theory  $\Theta$  term-ok  $\Theta t (x, S) \in tvs t$ 
  shows wf-sort (subclass (osig (sig  $\Theta$ ))) S
proof -
  have term-ok' (sig  $\Theta$ ) t
    using assms(2) by (simp add: wt-term-def)
  moreover have is-std-sig (sig  $\Theta$ )
    using assms by (cases  $\Theta$  rule: theory-full-exhaust) simp
  ultimately show ?thesis
    using assms(3) term-ok-TFrees-imp-sort-ok-pre by simp
qed

lemma term-ok'-bind-fv2:
  assumes term-ok'  $\Sigma t$ 
  shows term-ok'  $\Sigma$  (bind-fv2 (v,T) lev t)
  using assms by (induction (v,T) lev t rule: bind-fv2.induct) auto

lemma term-ok'-bind-fv:
  assumes term-ok'  $\Sigma t$ 
  shows term-ok'  $\Sigma$  (bind-fv (v, $\tau$ ) t)
  using term-ok'-bind-fv2 bind-fv-def assms by metis

lemma term-ok'-Abs-fv:
  assumes term-ok'  $\Sigma t$  typ-ok-sig  $\Sigma \tau$ 
  shows term-ok'  $\Sigma$  (Abs  $\tau$  (bind-fv (v, $\tau$ ) t))
  using term-ok'-bind-fv assms by simp

lemma term-ok'-mk-all:
  assumes wf-theory  $\Theta$  and term-ok' (sig  $\Theta$ ) B and typ-of B = Some propT

```

**and** *typ-ok*  $\Theta \tau$   
**shows** *term-ok'* (*sig*  $\Theta$ ) (*mk-all*  $x \tau B$ )  
**using** *assms term-ok'-bind-fv*  
**by** (*cases*  $\Theta$  *rule: wf-theory.cases*) (*auto simp add: typ-of-def tinstT-def*)

**lemma** *term-ok-mk-all*:  
**assumes** *wf-theory*  $\Theta$  **and** *term-ok'* (*sig*  $\Theta$ )  $B$  **and** *typ-of*  $B = \text{Some prop}T$  **and**  
*typ-ok*  $\Theta \tau$   
**shows** *term-ok*  $\Theta$  (*mk-all*  $x \tau B$ )  
**using** *typ-of-mk-all term-ok'-mk-all assms* **by** (*auto simp add: wt-term-def*)

**lemma** *term-ok'-incr-boundvars*:  
*term-ok'* (*sig*  $\Theta$ )  $t \implies \text{term-ok}'$  (*sig*  $\Theta$ ) (*incr-boundvars lev t*)  
**using** *term-ok'-incr-bv incr-boundvars-def* **by** *simp*

**lemma** *term-ok'-subst-bv1*:  
**assumes** *term-ok'* (*sig*  $\Theta$ )  $f$  **and** *term-ok'* (*sig*  $\Theta$ )  $u$   
**shows** *term-ok'* (*sig*  $\Theta$ ) (*subst-bv1 f lev u*)  
**using** *assms* **by** (*induction f lev u rule: subst-bv1.induct*) (*use term-ok'-incr-boundvars in auto*)

**lemma** *term-ok'-subst-bv*:  
**assumes** *term-ok'* (*sig*  $\Theta$ )  $f$  **and** *term-ok'* (*sig*  $\Theta$ )  $u$   
**shows** *term-ok'* (*sig*  $\Theta$ ) (*subst-bv f u*)  
**using** *assms term-ok'-subst-bv1 subst-bv-def* **by** *simp*

**lemma** *term-ok'-betapply*:  
**assumes** *term-ok'* (*sig*  $\Theta$ )  $f$  *term-ok'* (*sig*  $\Theta$ )  $u$   
**shows** *term-ok'* (*sig*  $\Theta$ ) ( $f \cdot u$ )  
**proof**(*cases f*)  
**case** (*Abs T t*)  
**then show** *?thesis*  
**using** *assms term-ok'-subst-bv1* **by** (*simp add: subst-bv-def*)  
**qed** (*use assms in auto*)

**lemma** *term-ok-betapply*:  
**assumes** *term-ok*  $\Theta f$  *term-ok*  $\Theta u$   
**assumes** *typ-of*  $f = \text{Some } (uty \rightarrow tty)$  *typ-of*  $u = \text{Some } uty$   
**shows** *term-ok*  $\Theta (f \cdot u)$   
**using** *assms term-ok'-betapply wt-term-def typ-of-betapply assms* **by** *auto*

**lemma** *typ-ok-sig-subst-ty*:  
**assumes** *is-std-sig*  $\Sigma$  **and** *typ-ok-sig*  $\Sigma ty$  **and** *distinct* (*map fst insts*)  
**and** *list-all* (*typ-ok-sig*  $\Sigma$ ) (*map snd insts*)  
**shows** *typ-ok-sig*  $\Sigma$  (*subst-ty insts ty*)  
**using** *assms* **proof** (*induction insts ty rule: subst-ty.induct*)  
**case** ( $1 \text{ inst } a Ts$ )  
**have** *typ-ok-sig*  $\Sigma$  (*subst-ty inst ty*) **if**  $ty \in \text{set } Ts$  **for**  $ty$   
**using** *that 1* **by** (*auto simp add: list-all-iff split: option.splits*)

**hence**  $\forall ty \in \text{set } (\text{map } (\text{subst-ty } \text{inst}) \text{ } Ts) . \text{typ-ok-sig } \Sigma \text{ } ty$   
**by** *simp*  
**hence**  $\text{list-all } (\text{typ-ok-sig } \Sigma) (\text{map } (\text{subst-ty } \text{inst}) \text{ } Ts)$   
**using** *list-all-iff* **by** *blast*  
**moreover have**  $\text{length } (\text{map } (\text{subst-ty } \text{inst}) \text{ } Ts) = \text{length } Ts$  **by** *simp*  
**ultimately show** *?case* **using** *1.prem*s **by** (*auto split: option.splits*)  
**next**  
**case** (*2 inst idn S*)  
**then show** *?case*  
**proof**(*cases lookup* ( $\lambda x. x = (\text{idn}, S)$ ) *inst*  $\neq \text{None}$ )  
**case** *True*  
**from** *this 2* **obtain** *res* **where** *res: lookup* ( $\lambda x. x = (\text{idn}, S)$ ) *inst* = *Some res*  
**by** *auto*  
**have**  $res \in \text{set } (\text{map } \text{snd } \text{inst})$  **using** *2 res* **by** (*induction inst*) (*auto split: if-splits*)  
**hence**  $\text{typ-ok-sig } \Sigma \text{ } res$  **using** *2(4) res*  
**by** (*induction inst*) (*auto split: if-splits simp add: rev-image-eqI*)  
**then show** *?thesis* **using** *res* **by** *simp*  
**next**  
**case** *False*  
**hence** *rewr: subst-ty inst (Tv idn S) = Tv idn S* **by** *auto*  
**then show** *?thesis* **using** *2.prem*s(*2*) **by** *simp*  
**qed**  
**qed**

**corollary** *subst-ty-tinstT*:  $\text{tinstT } (\text{subst-ty } \text{insts } ty) \text{ } ty$   
**unfolding** *tinstT-def* **using** *tsubstT-simulates-subst-ty* **by** *fastforce*

**lemma** *tsubstT-trans*:  $\text{tsubstT } ty \ \varrho1 = ty1 \implies \text{tsubstT } ty1 \ \varrho2 = ty2$   
 $\implies \text{tsubstT } ty \ (\lambda \text{id } s . \text{case } \varrho1 \ \text{id } s \text{ of } Tv \ \text{id } s' \Rightarrow \varrho2 \ \text{id } s' \mid Ty \ s \ Ts \Rightarrow Ty \ s \ (\text{map } (\lambda T. \text{tsubstT } T \ \varrho2) \ Ts)) = ty2$   
**unfolding** *tinstT-def* **proof** (*induction ty arbitrary: ty1 ty2*)  
**case** (*Tv id s*)  
**then show** *?case* **by** (*cases*  $\varrho1 \ \text{id } s$ ) *auto*  
**qed** *auto*

**corollary** *tinstT-trans*:  $\text{tinstT } ty1 \ ty \implies \text{tinstT } ty2 \ ty1 \implies \text{tinstT } ty2 \ ty$   
**unfolding** *tinstT-def* **using** *tsubstT-trans* **by** *blast*

**lemma** *term-ok'-subst-ty'*:  
**assumes** *is-std-sig*  $\Sigma$  **and** *term-ok'*  $\Sigma \ t$  **and** *distinct* ( $\text{map } \text{fst } \text{insts}$ )  
**and**  $\text{list-all } (\text{typ-ok-sig } \Sigma) (\text{map } \text{snd } \text{insts})$   
**shows**  $\text{term-ok}' \Sigma (\text{subst-ty}' \ \text{insts } t)$   
**using** *assms* **by** (*induction t*)  
*(use typ-ok-sig-subst-ty subst-ty-tinstT tinstT-trans in <auto split: option.splits>)*

**lemma**

*term-ok'-occs:*

*is-std-sig*  $\Sigma \implies \text{term-ok}' \Sigma t \implies \text{occs } u t \implies \text{term-ok}' \Sigma u$

**by** (*induction t*) *auto*

**lemma** *typ-of1-tsubst:*

*typ-of1*  $Ts t = \text{Some } ty \implies \text{typ-of1 } (\text{map } (\lambda T . \text{tsubstT } T \varrho) Ts) (\text{tsubst } t \varrho) = \text{Some } (\text{tsubstT } ty \varrho)$

**proof** (*induction*  $Ts t$  *arbitrary: ty rule: typ-of1.induct*)

**case** ( $2 Ts i$ )

**then show** *?case* **by** (*auto split: if-splits*)

**next**

**case** ( $4 Ts T \text{body}$ )

**then show** *?case* **by** (*auto simp add: bind-eq-Some-conv*)

**next**

**case** ( $5 Ts f u$ )

**from**  $5.\text{prems}$  **obtain**  $u\text{-ty}$  **where**  $u\text{-ty}: \text{typ-of1 } Ts u = \text{Some } u\text{-ty}$  **by** (*auto simp add: bind-eq-Some-conv*)

**from** *this*  $5.\text{prems}$  **have**  $f\text{-ty}: \text{typ-of1 } Ts f = \text{Some } (u\text{-ty} \rightarrow ty)$

**by** (*auto simp add: bind-eq-Some-conv typ-of1-arg-ty [OF 5.prems(1)]*)

*split: if-splits typ.splits option.splits*)

**from**  $u\text{-ty}$   $5.IH(1)$  **have** *typ-of1*  $(\text{map } (\lambda T . \text{tsubstT } T \varrho) Ts) (\text{tsubst } u \varrho) = \text{Some } (\text{tsubstT } u\text{-ty } \varrho)$

**by** *simp*

**moreover from**  $u\text{-ty } f\text{-ty}$   $5.IH(2)$  **have** *typ-of1*  $(\text{map } (\lambda T . \text{tsubstT } T \varrho) Ts) (\text{tsubst } f \varrho)$

$= \text{Some } (\text{tsubstT } (u\text{-ty} \rightarrow ty) \varrho)$

**by** *simp*

**ultimately show** *?case* **by** *simp*

**qed** *auto*

**corollary** *typ-of1-tsubst-weak:*

**assumes** *typ-of1*  $Ts t = \text{Some } ty$

**assumes** *typ-of1*  $(\text{map } (\lambda T . \text{tsubstT } T \varrho) Ts) (\text{tsubst } t \varrho) = \text{Some } ty'$

**shows**  $\text{tsubstT } ty \varrho = ty'$

**using** *assms typ-of1-tsubst* **by** *auto*

**lemma** *tsubstT-no-change[simp]:*  $\text{tsubstT } T Tv = T$

**by** (*induction T*) (*auto simp add: map-idI*)

**lemma** *term-ok-mk-eq-same-ty:*

**assumes** *wf-theory*  $\Theta$

**assumes** *term-ok*  $\Theta (mk\text{-eq } s t)$

**shows**  $\text{typ-of } s = \text{typ-of } t$

**using** *assms* **by** (*cases*  $\Theta$  *rule: theory-full-exhaust*)

(*fastforce simp add: wt-term-def typ-of-def bind-eq-Some-conv tinstT-def*)

**lemma** *typ-of-eta-expand:*  $\text{typ-of } f = \text{Some } (\tau \rightarrow \tau') \implies \text{typ-of } (\text{Abs } \tau (f \$ Bv$



$0)) = \text{Some } (\tau \rightarrow \tau')$   
**using** *typ-of1-weaken* **by** (*fastforce simp add: bind-eq-Some-conv typ-of-def*)

**lemma** *term-okI*:  $\text{term-ok}' (\text{sig } \Theta) t \implies \text{typ-of } t \neq \text{None} \implies \text{term-ok } \Theta t$   
**by** (*simp add: wt-term-def*)

**lemma** *term-okD1*:  $\text{term-ok } \Theta t \implies \text{term-ok}' (\text{sig } \Theta) t$   
**by** (*simp add: wt-term-def*)

**lemma** *term-okD2*:  $\text{term-ok } \Theta t \implies \text{typ-of } t \neq \text{None}$   
**by** (*simp add: wt-term-def*)

**lemma** *term-ok-imp-typ-ok'*: **assumes** *wf-theory*  $\Theta$  *term-ok*  $\Theta t$  **shows** *typ-ok*  $\Theta$   
*(the (typ-of t))*  
**proof** –  
**obtain** *ty* **where**  $\text{typ-of } t = \text{Some } ty$   
**by** (*meson assms option.exhaust term-okD2*)  
**hence** *typ-ok*  $\Theta ty$   
**using** *term-ok-imp-typ-ok assms* **by** *blast*  
**thus** *?thesis* **using** *ty* **by** *simp*  
**qed**

**lemma** *term-ok-mk-eqI*:  
**assumes** *wf-theory*  $\Theta$  *term-ok*  $\Theta s$  *term-ok*  $\Theta t$   $\text{typ-of } s = \text{typ-of } t$   
**show** *term-ok*  $\Theta$  (*mk-eq*  $s t$ )  
**proof** (*rule term-okI*)  
**have** *typ-ok*  $\Theta$  (*the (typ-of t)*)  
**using** *assms(1) assms(3) term-ok-imp-typ-ok'* **by** *blast*  
**hence** *typ-ok-sig* (*sig*  $\Theta$ ) (*the (typ-of t)*)  
**by** *simp*  
**then show** *term-ok'* (*sig*  $\Theta$ ) (*mk-eq*  $s t$ )  
**using** *assms* **apply** –  
**apply** (*drule term-okD1*)  
**apply** (*cases*  $\Theta$  *rule: theory-full-exhaust*)  
**by** (*auto split: option.splits simp add: tinstT-def*)  
**next**  
**show**  $\text{typ-of } (\text{mk-eq } s t) \neq \text{None}$   
**using** *assms typ-of-def* **by** (*auto dest: term-okD2 simp add: wt-term-def*)  
**qed**

**lemma** *typ-of1-decr'*:  $\neg \text{loose-bvar1 } t 0 \implies \text{typ-of1 } (T\#Ts) t = \text{Some } \tau \implies$   
 $\text{typ-of1 } Ts (\text{decr } 0 t) = \text{Some } \tau$   
**proof** (*induction Ts t arbitrary: T  $\tau$  rule: typ-of1.induct*)  
**case** (*4 Ts B body*)  
**then show** *?case*  
**using** *typ-of1-decr-gen*  
**apply** (*simp add: bind-eq-Some-conv split: if-splits option.splits*)  
**by** (*metis append-Cons append-Nil length-Cons list.size(3) typ-of1-decr-gen*)  
**next**  
**case** (*5 Ts f u*)  
**then show** *?case* **apply** (*simp add: bind-eq-Some-conv split: if-splits option.splits*)

**by** (*smt no-loose-bvar1-subst-bv2-decr subst-bv-def substn-subst-0' typ-of1.simps(3) typ-of1-subst-bv-gen'*)

**qed** (*auto simp add: bind-eq-Some-conv split: if-splits option.splits*)

**lemma** *typ-of1-eta-red-step-pre*:  $\neg \text{loose-bvar1 } t \ 0 \implies$   
 $\text{typ-of1 } Ts \ (\text{Abs } \tau \ (t \ \$ \ Bv \ 0)) = \text{Some } (\tau \rightarrow \tau') \implies \text{typ-of1 } Ts \ (\text{decr } 0 \ t) = \text{Some}$   
 $(\tau \rightarrow \tau')$   
**using** *typ-of1-decr'*  
**by** (*smt length-Cons nth-Cons-0 typ-of1.simps(2) typ-of1-arg-typ typ-of-Abs-body-typ' zero-less-Suc*)

**lemma** *typ-of1-eta-red-step*:  $\neg \text{is-dependent } t \implies$   
 $\text{typ-of } (\text{Abs } \tau \ (t \ \$ \ Bv \ 0)) = \text{Some } (\tau \rightarrow \tau') \implies \text{typ-of } (\text{decr } 0 \ t) = \text{Some } (\tau \rightarrow$   
 $\tau')$   
**using** *typ-of-def is-dependent-def typ-of1-eta-red-step-pre* **by** *simp*

**lemma** *distinct-add-vars'*:  $\text{distinct } acc \implies \text{distinct } (\text{add-vars}' \ t \ acc)$   
**unfolding** *add-vars'-def*  
**by** (*induction t arbitrary: acc*) *auto*

**lemma** *distinct-add-tvarsT'*:  $\text{distinct } acc \implies \text{distinct } (\text{add-tvarsT}' \ T \ acc)$   
**proof** (*induction T arbitrary: acc*)  
**case** (*Ty n Ts*)  
**then show** *?case*  
**by** (*induction Ts rule: rev-induct*) (*auto simp add: add-tvarsT'-def*)  
**qed** (*simp add: add-tvarsT'-def*)

**lemma** *distinct-add-tvars'*:  $\text{distinct } acc \implies \text{distinct } (\text{add-tvars}' \ t \ acc)$   
**by** (*induction t arbitrary: acc*) (*simp-all add: add-tvars'-def fold-types-def distinct-add-tvarsT'*)

**lemma** *proved-terms-well-formed-pre*:  $\Theta, \Gamma \vdash p \implies \text{typ-of } p = \text{Some } \text{propT} \wedge$   
 $\text{term-ok } \Theta \ p$   
**proof** (*induction  $\Gamma \ p$  rule: proves.induct*)  
**case** (*axiom A  $\varrho$* )

**from** *axiom* **have** *ty*:  $\text{typ-of1 } [] \ A = \text{Some } \text{propT}$   
**by** (*cases  $\Theta$  rule: theory-full-exhaust*) (*simp add: wt-term-def typ-of-def*)  
**let** *?l* =  $\text{add-tvars}' \ A \ []$   
**let** *?l'* =  $\text{map } (\lambda(v, S) . ((v, S), \varrho \ v \ S)) \ ?l$   
**have** *dist*:  $\text{distinct } ?l$   
**using** *distinct-add-tvars'* **by** *simp*  
**moreover** **have**  $\forall (v, S) \in \text{set } ?l . \text{typ-ok } \Theta \ (Tv \ v \ S)$   
**proof** –  
**have**  $\text{typ-ok } \Theta \ (Tv \ v \ T)$  **if**  $(v, T) \in \text{tvs } A$  **for**  $v \ T$   
**using** *axiom.hyps(1) axiom.hyps(2) axioms-terms-ok term-ok-tvars-sort-ok that typ-ok-def typ-ok-Tv*

```

    by (meson wf-sort-def)
  moreover have set ?l = tvs A
    by auto
  ultimately show ?thesis
    by auto
qed
moreover hence  $\forall (v, S) \in \text{set } ?l . \text{has-sort } (\text{osig } (\text{sig } \Theta)) (Tv\ v\ S)\ S$ 
  using axiom.hyps(1) has-sort-Tv-refl' by blast

ultimately have inst-ok  $\Theta$  ?l'
  apply - apply (rule wf-inst-imp-inst-ok)
  using axiom.hyps(1) axiom.hyps(3) by blast+

have simp: tsubst A  $\varrho = \text{subst-typ}'\ ?l'\ A$ 
  using dist subst-typ'-simulates-tsubst-gen' by auto

have typ-of1 [] (tsubst A  $\varrho$ ) = Some propT
  using tsubst-simulates-subst-typ' axioms-typ-of-propT typ-of1-tsubst ty by fast-
force
hence 1: typ-of1 [] (subst-typ' ?l' A) = Some propT
  using simp by simp

from axiom have term-ok' (sig  $\Theta$ ) A
  by (cases  $\Theta$  rule: theory-full-exhaust) (simp add: wt-term-def)
hence 2: term-ok' (sig  $\Theta$ ) (subst-typ' ?l' A)
  using axiom term-ok'-subst-typ' apply (cases  $\Theta$  rule: theory-full-exhaust)
  apply (simp add: list-all-iff wt-term-def typ-of-def)
  by (metis (no-types, lifting) <inst-ok  $\Theta$  (map ( $\lambda(v, S). ((v, S), \varrho\ v\ S))$ ) (add-tvars'
A []))>
    axiom.hyps(1) list.pred-mono-strong sig.simps term-ok'-subst-typ' wf-theory.simps
    typ-ok-def wf-type-imp-typ-ok-sig)
from 1 2 show ?case using simp by (simp add: wt-term-def typ-of-def)
next
case (assume A)
then show ?case by (simp add: wt-term-def)
next

case (forall-intro  $\Gamma\ B\ x\ \tau$ )
hence term-ok' (sig  $\Theta$ ) B and typ-of B = Some propT
  by (simp-all add: wt-term-def)
show ?case using typ-of-mk-all forall-intro
  term-ok-mk-all[OF <wf-theory  $\Theta$ > <term-ok' (sig  $\Theta$ ) B>
  <typ-of B = Some propT> -, of - x] <wf-type (sig  $\Theta$ )  $\tau$ >
  by auto
next
case (forall-elim  $\Gamma\ \tau\ B\ a$ )
thus ?case using term-ok'-subst-bv1
  by (auto simp add: typ-of-def term-ok'-subst-bv tinstT-def
    wt-term-def bind-eq-Some-conv subst-bv-def typ-of1-subst-bv-gen')

```

```

      split: if-splits option.splits)
next
  case (implies-intro  $\Gamma$  B A)
  then show ?case
    by (cases  $\Theta$  rule: wf-theory.cases) (auto simp add: typ-of-def wt-term-def tinstT-def)
next
  case (implies-elim  $\Gamma_1$  A B  $\Gamma_2$ )

  then show ?case
    by (auto simp add: bind-eq-Some-conv typ-of-def wt-term-def tinstT-def
      split: option.splits if-splits)
next
  case (of-class c iT T)

  then show ?case
    by (cases  $\Theta$  rule: theory-full-exhaust)
      (auto simp add: bind-eq-Some-conv typ-of-def wt-term-def
        tinstT-def mk-of-class-def mk-type-def)
next
  case ( $\beta$ -conversion T t x)
  hence 1: typ-of (mk-eq (Abs T t  $\$$  x) (subst-bv x t)) = Some propT
    by (auto simp add: typ-of-def wt-term-def subst-bv-def bind-eq-Some-conv
      typ-of1-subst-bv-gen')
  moreover have term-ok  $\Theta$  (mk-eq (Abs T t  $\$$  x) (subst-bv x t))
  proof-
    have typ-of (mk-eq (Abs T t  $\$$  x) (subst-bv x t))  $\neq$  None
      using 1 by simp

    moreover have term-ok' (sig  $\Theta$ ) (mk-eq (Abs T t  $\$$  x) (subst-bv x t))
    proof-
      have term-ok' (sig  $\Theta$ ) (Abs T t  $\$$  x)
        using  $\beta$ -conversion.hyps(2)  $\beta$ -conversion.hyps(3) term-ok'.simps(4) wt-term-def
        term-ok-def by blast
      moreover hence term-ok' (sig  $\Theta$ ) (subst-bv x t)
        using subst-bv-def term-ok'-subst-bv1 by auto
      moreover have const-type (sig  $\Theta$ ) STR "Pure.eq"
        = Some ((Tv (Var (STR "'a'", 0)) full-sort)  $\rightarrow$  ((Tv (Var (STR "'a'", 0))
        full-sort)  $\rightarrow$  propT))
        using  $\beta$ -conversion.hyps(1) by (cases  $\Theta$ ) fastforce
      moreover obtain t' where typ-of (Abs T t  $\$$  x) = Some t'
        by (smt 1 typ-of1-split-App typ-of-def)
      moreover hence typ-of (subst-bv x t) = Some t'
        by (smt list.simps(1) subst-bv-def typ.simps(1) typ-of1-split-App typ-of1-subst-bv-gen'
        typ-of-Abs-body-typ' typ-of-def)
      moreover have typ-ok-sig (sig  $\Theta$ ) t'
        using  $\beta$ -conversion.hyps(1) calculation(2) calculation(5) wt-term-def term-ok-imp-typ-ok
        typ-ok-def by auto
      moreover hence typ-ok-sig (sig  $\Theta$ ) (t'  $\rightarrow$  propT)

```

```

    using ⟨wf-theory Θ⟩ by (cases Θ rule: theory-full-exhaust) auto
    moreover have tinstT (T → (T → propT)) ((Tv (Var (STR "'a'", 0))
full-sort) → ((Tv (Var (STR "'a'", 0)) full-sort) → propT))
    unfolding tinstT-def by auto
    moreover have tinstT (t' → (t' → propT)) ((Tv (Var (STR "'a'", 0))
full-sort) → ((Tv (Var (STR "'a'", 0)) full-sort) → propT))
    unfolding tinstT-def by auto
    ultimately show ?thesis using ⟨wf-theory Θ⟩ by (cases Θ rule: theory-full-exhaust)
auto
  qed
  ultimately show ?thesis using wt-term-def by simp
  qed
  ultimately show ?case by simp
next
case (eta t τ τ')
hence tyeta: typ-of (Abs τ (t $ Bv 0)) = Some (τ → τ')
  using typ-of-eta-expand by auto
moreover have ¬ is-dependent t
proof-
  have is-closed t
    using eta.hyps(3) typ-of-imp-closed by blast
  thus ?thesis
    using is-dependent-def is-open-def loose-bvar1-imp-loose-bvar by blast
qed
ultimately have ty-decr: typ-of (decr 0 t) = Some (τ → τ')
  using typ-of1-eta-red-step by blast

hence 1: typ-of (mk-eq (Abs τ (t $ Bv 0)) (decr 0 t)) = Some propT
  using eta tyeta by (auto simp add: typ-of-def)

have typ-ok Θ (τ → τ')
  using eta term-ok-imp-typ-ok by (simp add: wt-term-def del: typ-ok-def)
hence tyok: typ-ok Θ τ typ-ok Θ τ'
  unfolding typ-ok-def by (auto split: option.splits)
hence term-ok Θ (Abs τ (t $ Bv 0))
  using eta(2) tyeta by (simp add: wt-term-def)
moreover have term-ok Θ (decr 0 t)
  using eta term-ok'-decr tyeta ty-decr wt-term-def typ-ok-def tyok
  by (cases Θ rule: theory-full-exhaust) (auto split: option.splits simp add: tin-
stT-def)
ultimately have term-ok Θ (mk-eq (Abs τ (t $ Bv 0)) (decr 0 t))
  using eta.hyps ty-decr tyeta tyok 1 term-ok-mk-eqI
  by metis
then show ?case using 1
  using eta.hyps(2) eta.hyps(3) has-typ-imp-closed term-ok-subst-bv-no-change
  closed-subst-bv-no-change by auto
qed

corollary proved-terms-well-formed:

```

**assumes**  $\Theta, \Gamma \vdash p$   
**shows**  $\text{typ-of } p = \text{Some propT term-ok } \Theta p$   
**using** *assms proved-terms-well-formed-pre* **by** *auto*

**lemma** *forall-intros*:

$\text{wf-theory } \Theta \implies \Theta, \Gamma \vdash B \implies \forall (x, \tau) \in \text{set frees} . (x, \tau) \notin \text{FV } \Gamma \wedge \text{typ-ok } \Theta \tau$   
 $\implies \Theta, \Gamma \vdash \text{mk-all-list frees } B$

**by** (*induction frees arbitrary: B*)

(*auto intro: proves.forall-intro simp add: mk-all-list-def simp del: FV-def split: prod.splits*)

**lemma** *term-ok-var[simp]*:  $\text{term-ok } \Theta (Fv \text{idn } \tau) = \text{typ-ok } \Theta \tau$

**by** (*simp add: wt-term-def typ-of-def*)

**lemma** *typ-of-var[simp]*:  $\text{typ-of } (Fv \text{idn } \tau) = \text{Some } \tau$

**by** (*simp add: typ-of-def*)

**lemma** *is-closed-Fv[simp]*:  $\text{is-closed } (Fv \text{idn } \tau)$  **by** (*simp add: is-open-def*)

**corollary** *proved-terms-closed*:  $\Theta, \Gamma \vdash B \implies \text{is-closed } B$

**by** (*simp add: proved-terms-well-formed(1) typ-of-imp-closed*)

**lemma** *not-loose-bvar-bind-fv2*:

$\neg \text{loose-bvar } t \text{ lev} \implies \neg \text{loose-bvar } (\text{bind-fv2 } v \text{ lev } t)$  (*Suc lev*)

**by** (*induction t arbitrary: lev*) *auto*

**lemma** *not-loose-bvar-bind-fv2-*:

$\neg \text{loose-bvar } (\text{bind-fv2 } v \text{ lev } t) \text{ lev} \implies \neg \text{loose-bvar } t \text{ lev}$

**by** (*induction t arbitrary: lev*) (*auto split: if-splits*)

**lemma** *fold-add-vars'-FV-pre*:  $\text{set } (\text{fold add-vars'} Hs \text{ acc}) = \text{set acc} \cup \text{FV } (\text{set } Hs)$

**by** (*induction Hs arbitrary: acc*) (*auto simp add: add-vars'-fv-pre*)

**corollary** *fold-add-vars'-FV[simp]*:  $\text{set } (\text{fold } (\text{add-vars}') Hs []) = \text{FV } (\text{set } Hs)$

**using** *fold-add-vars'-FV-pre* **by** *simp*

**lemma** *forall-intro-vars*:

**assumes** *wf-theory*  $\Theta \Theta, \text{set } Hs \vdash B$

**shows**  $\Theta, \text{set } Hs \vdash \text{forall-intro-vars } B Hs$

**apply** (*rule forall-intros*)

**using** *assms apply simp-all apply clarsimp*

**using** *add-vars'-fv proved-terms-well-formed-pre term-ok-vars-typ-ok*

**by** (*metis term-ok-vars-typ-ok typ-ok-def wf-type-imp-typ-ok-sig*)

**lemma** *mk-all-list'-preserves-term-ok-typ-of*:

**assumes** *wf-theory*  $\Theta \text{term-ok } \Theta B \text{typ-of } B = \text{Some propT } \forall (idn, ty) \in \text{set vs} . \text{typ-ok } \Theta ty$

**shows**  $\text{term-ok } \Theta (\text{mk-all-list vs } B) \wedge \text{typ-of } (\text{mk-all-list vs } B) = \text{Some propT}$

**using** *assms proof* (*induction vs rule: rev-induct*)

```

case Nil
then show ?case by simp
next
  case (snoc v vs)
  hence I: term-ok  $\Theta$  (mk-all-list vs B) typ-of (mk-all-list vs B) = Some propT
by simp-all
  obtain idn ty where v: v=(idn,ty) by fastforce
  hence s: (mk-all-list (vs @ [v]) B) = mk-all idn ty (mk-all-list (vs) B)
    by (simp add: mk-all-list-def)
  have typ-ok  $\Theta$  ty using v snoc.premis by simp
  then show ?case using I s term-ok-mk-all snoc.premis(1) wt-term-def typ-of-mk-all
by auto
qed

```

```

corollary forall-intro-vars-preserves-term-ok-typ-of:
  assumes wf-theory  $\Theta$  term-ok  $\Theta$  B typ-of B = Some propT
  shows term-ok  $\Theta$  (forall-intro-vars B Hs)  $\wedge$  typ-of (forall-intro-vars B Hs) =
  Some propT
proof -
  have 1:  $\forall (idn,ty) \in \text{set } (\text{add-vars}' B []) . \text{typ-ok } \Theta \text{ ty}$ 
    using add-vars'-fv assms(1) assms(2) term-ok-vars-typ-ok by blast
  thus ?thesis using assms mk-all-list'-preserves-term-ok-typ-of by simp
qed

```

```

lemma bind-fv-remove-var-from-fv: fv (bind-fv (idn,  $\tau$ ) t) = fv t - {(idn,  $\tau$ )}
  using bind-fv2-Fv-fv bind-fv-def by simp

```

```

lemma forall-intro-vars-remove-fv[simp]: fv (forall-intro-vars t []) = {}
  using mk-all-list-fv-unchanged add-vars'-fv by simp

```

```

lemma term-ok-mk-all-list:
  assumes wf-theory  $\Theta$ 
  assumes term-ok  $\Theta$  B
  assumes typ-of B = Some propT
  assumes  $\forall (idn, \tau) \in \text{set } l . \text{typ-ok } \Theta \tau$ 
  shows term-ok  $\Theta$  (mk-all-list l B)  $\wedge$  typ-of (mk-all-list l B) = Some propT
using assms proof(induction l rule: rev-induct)
  case Nil
  then show ?case by simp
next
  case (snoc v vs)
  obtain idn  $\tau$  where v: v = (idn,  $\tau$ ) by fastforce
  hence simp: mk-all-list (vs@[v]) B = mk-all idn  $\tau$  (mk-all-list vs B)
    by (auto simp add: mk-all-list-def)
  have I: term-ok  $\Theta$  (mk-all-list vs B) typ-of (mk-all-list vs B) = Some propT
    using snoc by auto
  have term-ok  $\Theta$  (mk-all idn  $\tau$  (mk-all-list vs B))
    using term-ok-mk-all snoc.premis I v by (auto simp add: wt-term-def)

```

**moreover have**  $\text{typ-of } (\text{mk-all idn } \tau (\text{mk-all-list vs } B)) = \text{Some prop}T$   
**using**  $I(2) v \text{ typ-of-mk-all}$  **by** *simp*  
**ultimately show**  $?case$  **by** (*simp add: simp*)  
**qed**

**lemma** *tvS-bind-fv2*:  $\text{tvS } (\text{bind-fv2 } (v, T) \text{ lev } t) \cup \text{tvS}T T = \text{tvS } t \cup \text{tvS}T T$   
**by** (*induction (v, T) lev t rule: bind-fv2.induct*) *auto*  
**lemma** *tvS-bind-fv*:  $\text{tvS } (\text{bind-fv } (v, T) t) \cup \text{tvS}T T = \text{tvS } t \cup \text{tvS}T T$   
**using** *tvS-bind-fv2 bind-fv-def* **by** *simp*

**lemma** *tvS-mk-all'*:  $\text{tvS } (\text{mk-all idn } ty B) = \text{tvS } B \cup \text{tvS}T ty$   
**using** *tvS-bind-fv typ-of-def is-variable.simps(2)* **by** *fastforce*

**lemma** *tvS-mk-all-list*:  
 $\text{tvS } (\text{mk-all-list vs } B) = \text{tvS } B \cup \text{tvS}T\text{-Set } (\text{snd } ' \text{ set vs})$   
**proof** (*induction vs rule: rev-induct*)  
**case** *Nil*  
**then show**  $?case$  **by** *simp*  
**next**  
**case** (*snoc v vs*)  
**obtain**  $\text{idn } \tau$  **where**  $v = (\text{idn}, \tau)$  **by** *fastforce*  
**show**  $?case$  **using** *snoc v tvS-mk-all'* **by** (*auto simp add: mk-all-list-def*)  
**qed**

**lemma** *tvS-occs*:  $\text{occs } v t \implies \text{tvS } v \subseteq \text{tvS } t$   
**by** (*induction t*) *auto*

**lemma** *tvS-forall-intro-vars*:  $\text{tvS } (\text{forall-intro-vars } B Hs) = \text{tvS } B$   
**proof** –  
**have**  $\forall (\text{idn}, ty) \in \text{fv } B . \text{occs } (Fv \text{ idn } ty) B$   
**using** *fv-occs* **by** *blast*  
**hence**  $\forall (\text{idn}, ty) \in \text{fv } B . \text{tvS } (Fv \text{ idn } ty) \subseteq \text{tvS } B$   
**using** *tvS-occs* **by** *blast*  
**hence**  $\forall (\text{idn}, ty) \in \text{fv } B . \text{tvS}T ty \subseteq \text{tvS } B$   
**by** *simp*  
**hence**  $\text{tvS}T\text{-Set } (\text{snd } ' \text{ fv } B) \subseteq \text{tvS } B$   
**by** *fastforce*  
**hence**  $\text{tvS}T\text{-Set } (\text{snd } ' \text{ set } (\text{add-vars}' B [])) \subseteq \text{tvS } B$   
**by** (*simp add: add-vars'-fv*)  
**thus**  $?thesis$  **using** *tvS-mk-all-list* **by** *auto*  
**qed**

**lemma** *strip-all-single-var*  $B = \text{Some } \tau \implies \text{strip-all-single-body } B \neq B$   
**using** *strip-all-vars-step* **by** *fastforce*

**lemma** *strip-all-body-unchanged-iff-strip-all-single-body-unchanged*:  
 $\text{strip-all-body } B = B \iff \text{strip-all-single-body } B = B$   
**by** (*metis not-Cons-self2 not-None-eq not-is-all-imp-strip-all-body-unchanged*)



*strip-all-body-single-simp' strip-all-single-var-is-all strip-all-vars-step*)

**lemma** *strip-all-body-unchanged-imp-strip-all-vars-no*:  
**assumes** *strip-all-body*  $B = B$   
**shows** *strip-all-vars*  $B = []$   
**by** (*smt assms not-Cons-self2 strip-all-body-single-simp' strip-all-single-body.simps(1) strip-all-vars.elims*)

**lemma** *strip-all-body-unchanged-imp-strip-all-single-body-unchanged*:  
*strip-all-body*  $B = B \implies$  *strip-all-single-body*  $B = B$   
**by** (*smt (z3) not-Cons-self2 strip-all-body-single-simp' strip-all-single-body.simps(1) strip-all-vars.simps(1)*)

**lemma** *strip-all-single-body-unchanged-imp-strip-all-body-unchanged*:  
*strip-all-single-body*  $B = B \implies$  *strip-all-body*  $B = B$   
**by** (*auto elim!: strip-all-single-body.elims*)

**lemma** *strip-all-single-var-imp-strip-all-body-single-unchanged*:  
*strip-all-single-var*  $B = \text{None} \implies$  *strip-all-single-body*  $B = B$   
**by** (*auto elim!: strip-all-single-var.elims*)

**lemma** *strip-all-single-form*: *strip-all-single-var*  $B = \text{Some } \tau$   
 $\implies$  *Ct STR "Pure.all"*  $((\tau \rightarrow \text{prop}T) \rightarrow \text{prop}T) \text{ \$ Abs } \tau$  (*strip-all-single-body*  $B$ ) =  $B$   
**by** (*auto elim!: strip-all-single-var.elims split: if-splits*)

**lemma** *proves-strip-all-single*:  
**assumes**  $\Theta, \Gamma \vdash B$  *strip-all-single-var*  $B = \text{Some } \tau$   
*typ-of*  $t = \text{Some } \tau$  *term-ok*  $\Theta t$   
**shows**  $\Theta, \Gamma \vdash$  *subst-bv*  $t$  (*strip-all-single-body*  $B$ )  
**proof** –  
**have** 1: *Ct STR "Pure.all"*  $((\tau \rightarrow \text{prop}T) \rightarrow \text{prop}T) \text{ \$ Abs } \tau$  (*strip-all-single-body*  $B$ ) =  $B$   
**using** *assms(2) strip-all-single-form* **by** *blast*  
**hence**  $\Theta, \Gamma \vdash$  *Abs*  $\tau$  (*strip-all-single-body*  $B$ )  $\cdot t$   
**using** *assms forall-elim*  
**proof** –  
**have** *has-typ*  $t \tau$   
**by** (*meson*  $\langle$ *typ-of*  $t = \text{Some } \tau \rangle$  *has-typ-iff-typ-of*)  
**then show** *?thesis*  
**by** (*metis* 1 *assms(1) assms(4) betapply.simps(1) forall-elim term-ok-def wt-term-def*)  
**qed**  
**thus** *?thesis* **by** *simp*  
**qed**

**corollary** *proves-strip-all-single-Fv*:  
**assumes**  $\Theta, \Gamma \vdash B$  *strip-all-single-var*  $B = \text{Some } \tau$   
**shows**  $\Theta, \Gamma \vdash$  *subst-bv*  $(Fv x \tau)$  (*strip-all-single-body*  $B$ )

**proof** –  
**have** *ok*: *term-ok*  $\Theta$  *B*  
**using** *assms*(1) *proved-terms-well-formed*(2) **by** *auto*  
**thm** *strip-all-single-form*  
*wt-term-def term-ok-var typ-of-var typ-ok-def proves-strip-all-single*  
*strip-all-single-form*  
**have** *s*: *B* = *Ct STR "Pure.all"* ( $(\tau \rightarrow \text{prop}T) \rightarrow \text{prop}T$ )  $\$$  *Abs*  $\tau$  (*strip-all-single-body* *B*)  
**using** *assms*(2) *strip-all-single-form[symmetric]* **by** *simp*  
**have**  $\tau \in \text{Types } B$   
**by** (*subst s, simp*)  
**hence** *typ-ok*  $\Theta$   $\tau$   
**by** (*metis ok s term-ok'.simps(4) term-ok'.simps(5) term-okD1 typ-ok-def*  
*typ-ok-sig-imp-wf-type*)  
**hence** *term-ok*  $\Theta$  (*Fv* *x*  $\tau$ )  
**using** *term-ok-var* **by** *blast*  
**then show** *?thesis*  
**using** *assms proves-strip-all-single[where  $\tau=\tau$ ]* **by** *auto*  
**qed**

**lemma** *strip-all-vars-no-strip-all-body-unchanged[simp]*:  
*strip-all-vars* *B* = []  $\implies$  *strip-all-body* *B* = *B*  
**by** (*auto elim!: strip-all-vars.elims*)

**lemma** *strip-all-vars* *B* = ( $\tau s @ [\tau]$ )  $\implies$  *strip-all-body* *B*  
= *strip-all-single-body* (*Ct STR "Pure.all"* ( $(\tau \rightarrow \text{prop}T) \rightarrow \text{prop}T$ )  $\$$  *Abs*  $\tau$   
(*strip-all-body* *B*))  
**by** *simp*

**lemma** *strip-all-vars-incr-bv*: *strip-all-vars* (*incr-bv inc lev t*) = *strip-all-vars t*  
**by** (*induction t arbitrary; lev rule: strip-all-vars.induct*) *auto*  
**lemma** *strip-all-vars-incr-boundvars*: *strip-all-vars* (*incr-boundvars inc t*) = *strip-all-vars t*  
**using** *incr-boundvars-def strip-all-vars-incr-bv* **by** *simp*

**lemma** *strip-all-vars-subst-bv1-Fv*:  
*strip-all-vars* (*subst-bv1 B lev (Fv x  $\tau$ )*) = *strip-all-vars B*  
**by** (*induction B arbitrary; lev rule: strip-all-vars.induct*) (*auto simp add: incr-boundvars-def*)  
**lemma** *strip-all-vars-subst-bv-Fv*:  
*strip-all-vars* (*subst-bv (Fv x  $\tau$ ) B*) = *strip-all-vars B*  
**by** (*simp add: strip-all-vars-subst-bv1-Fv subst-bv-def*)

**lemma** *strip-all-single-var* *B* = *Some*  $\tau$   
 $\implies$  *strip-all-vars* (*subst-bv (Fv x  $\tau$ ) (strip-all-single-body B)*) = *tl* (*strip-all-vars B*)  
**by** (*metis list.sel(3) strip-all-vars-step strip-all-vars-subst-bv-Fv*)

**corollary** *proves-strip-all-vars-Fv*:

```

assumes  $length\ xs = length\ (strip\text{-}all\text{-}vars\ B)$   $\Theta, \Gamma \vdash B$ 
shows  $\Theta, \Gamma \vdash fold\ (\lambda(x,\tau).\ subst\text{-}bv\ (Fv\ x\ \tau)\ o\ strip\text{-}all\text{-}single\text{-}body)$ 
   $(zip\ xs\ (strip\text{-}all\text{-}vars\ B))\ B$ 
using assms proof (induction xs strip-all-vars B arbitrary: B rule: list-induct2)
  case Nil
  then show ?case by simp
next
  case (Cons x xs  $\tau$   $\tau s$ )
  have st: strip-all-single-var B = Some  $\tau$ 
  by (metis Cons.hyps(3) is-all-iff-strip-all-vars-not-empty list.distinct(1) list.inject)

  option.exhaust strip-all-single-var-is-all strip-all-vars-step)
  moreover have term-ok  $\Theta$   $(Fv\ x\ \tau)$ 
  proof–
  obtain B' where Ct STR "Pure.all"  $((\tau \rightarrow propT) \rightarrow propT)$   $\$$  Abs  $\tau$  B' =
  B
  using st strip-all-single-form by blast
  moreover have term-ok  $\Theta$  B
  using Cons.prems proved-terms-well-formed(2) by auto
  ultimately have typ-ok  $\Theta$   $\tau$ 
  using term-ok'.simps(5) term-ok'.simps(4) term-ok-def wt-term-def typ-ok-def
by blast
  thus ?thesis unfolding term-ok-def wt-term-def typ-ok-def by simp
qed
  ultimately have 1:  $\Theta, \Gamma \vdash subst\text{-}bv\ (Fv\ x\ \tau)\ (strip\text{-}all\text{-}single\text{-}body\ B)$ 
  using proves-strip-all-single
  by (simp add: Cons.prems proves-strip-all-single-Fv)
  have  $\Theta, \Gamma \vdash fold\ (\lambda(x,\tau).\ subst\text{-}bv\ (Fv\ x\ \tau)\ o\ strip\text{-}all\text{-}single\text{-}body)$ 
     $(zip\ xs\ (strip\text{-}all\text{-}vars\ (subst\text{-}bv\ (Fv\ x\ \tau)\ (strip\text{-}all\text{-}single\text{-}body\ B))))$ 
     $(subst\text{-}bv\ (Fv\ x\ \tau)\ (strip\text{-}all\text{-}single\text{-}body\ B))$ 
  apply (rule Cons.hyps)
  apply (metis Cons.hyps(3) list.inject st strip-all-vars-step strip-all-vars-subst-bv-Fv)
  using 1 by simp
  moreover have strip-all-vars B =  $\tau \# \tau s$ 
  using Cons.hyps(3) by auto
  ultimately show ?case
  using st strip-all-vars-step strip-all-vars-subst-bv-Fv by fastforce
qed

```

**lemma** *trivial-pre-depr*:  $term\text{-}ok\ \Theta\ c \implies typ\text{-}of\ c = Some\ propT \implies \Theta, \{c\} \vdash c$   
**by** (*rule* *assume*) (*simp-all* *add: wt-term-def*)

**lemma** *trivial-pre*:

```

assumes wf-theory  $\Theta$  term-ok  $\Theta$  c  $typ\text{-}of\ c = Some\ propT$ 
shows  $\Theta, \{\} \vdash c \longmapsto c$ 
proof–
  have s:  $\{\} = \{c\} - \{c\}$  by simp
  show ?thesis

```

```

apply (subst s)
apply (rule implies-intro)
using assms by (auto simp add: wt-term-def intro: assume)
qed

```

**lemma** *inst-var*:

```

assumes wf-theory: wf-theory  $\Theta$ 
assumes B:  $\Theta, \Gamma \vdash B$ 
assumes a-ok: term-ok  $\Theta$  a
assumes typ-a: typ-of a = Some  $\tau$ 
assumes free:  $(x, \tau) \notin FV \Gamma$ 
shows  $\Theta, \Gamma \vdash$  subst-term  $[(x, \tau), a]$  B
proof -
have s1: mk-all  $x \tau B = Ct STR$  "Pure.all"  $((\tau \rightarrow propT) \rightarrow propT)$  $
  Abs  $\tau$  (bind-fv  $(x, \tau) B$ )
by (simp add: typ-of-def)
have closed-B: is-closed B using B proved-terms-well-formed-pre
using typ-of-imp-closed by blast
have typ-ok  $\Theta \tau$  using wt-term-def typ-ok-def term-ok-imp-typ-ok
using a-ok wf-theory typ-a by blast
hence p1:  $\Theta, \Gamma \vdash$  mk-all  $x \tau B$ 
using forall-intro[OF wf-theory B] B typ-a wt-term-def wf-theory
  term-ok-imp-typ-ok free by simp
have  $\Theta, \Gamma \vdash$  subst-bv a (bind-fv  $(x, \tau) B$ )
using forall-elim[of - -  $\tau$ ] p1 typ-a a-ok proves-strip-all-single
by (meson has-typ-iff-typ-of term-ok-def wt-term-def)
have  $\Theta, \Gamma \vdash$  subst-bv a ((bind-fv  $(x, \tau) B$ ))
using forall-elim[of - -  $\tau$ ] p1 typ-a a-ok proves-strip-all-single
by (meson has-typ-iff-typ-of term-ok-def wt-term-def)
thus  $\Theta, \Gamma \vdash$  subst-term  $[(x, \tau), a]$  B
using instantiate-var-same-type'' assms closed-B by simp
qed

```

**lemma** *subst-term-single-no-change*[simp]:

```

assumes nvar:  $(x, \tau) \notin fv B$ 
shows subst-term  $[(x, \tau), t]$  B = B
using assms by (induction B) auto

```

**lemma** *fv-subst-term-single*:

```

assumes var:  $(x, \tau) \in fv B$ 
assumes  $\bigwedge p . p \in fv t \implies p \sim = (x, \tau)$ 
shows  $fv$  (subst-term  $[(x, \tau), t]$  B) =  $fv B - \{(x, \tau)\} \cup fv t$ 
using assms proof (induction B)
case (App B1 B2)
then show ?case
by (cases  $(x, \tau) \in fv B1$ ; cases  $(x, \tau) \in fv B2$ ) auto
qed simp-all

```

**lemma** *inst-vars-pre*:  
**assumes** *wf-theory*: *wf-theory*  $\Theta$   
**assumes** *B*:  $\Theta, \Gamma \vdash B$

**assumes** *vars-ok*: *list-all* (*term-ok*  $\Theta$ ) (*map snd insts*)  
**assumes** *typs-ok*: *list-all* ( $\lambda((idx, ty), t) . \text{typ-of } t = \text{Some } ty$ ) *insts*  
**assumes** *free*: *list-all* ( $\lambda((idx, ty), t) . (idx, ty) \notin FV \Gamma$ ) *insts*  
**assumes** *typ-a*: *typ-of* *a* = *Some*  $\tau$   
**assumes** *distinct*: *distinct* (*map fst insts*)  
**assumes** *no-overlap*:  $\bigwedge x . x \in (\bigcup t \in \text{snd } ' (set \text{ insts}) . \text{fv } t) \implies x \notin \text{fst } ' (set \text{ insts})$

**shows**  $\Theta, \Gamma \vdash \text{fold } (\lambda \text{single} . \text{subst-term } [\text{single}]) \text{ insts } B$   
**using** *assms* **proof**(*induction insts arbitrary: B*)  
**case** *Nil*  
**then show** *?case* **using** *B* **by** *simp*

**next**  
**case** (*Cons x xs*)

**from this obtain** *idn ty t* **where**  $x = ((idn, ty), t)$  **by** (*metis prod.collapse*)

**have**  $\Theta, \Gamma \vdash \text{fold } (\lambda \text{single} . \text{subst-term } [\text{single}]) (x \# xs) B$   
 $\longleftrightarrow \Theta, \Gamma \vdash \text{fold } (\lambda \text{single} . \text{subst-term } [\text{single}]) xs (\text{subst-term } [x] B)$   
**by** *simp*

**moreover have**  $\Theta, \Gamma \vdash \text{fold } (\lambda \text{single} . \text{subst-term } [\text{single}]) xs (\text{subst-term } [x] B)$   
**proof**–  
**have** *single*:  $\Theta, \Gamma \vdash (\text{subst-term } [x] B)$  **using** *inst-var Cons* **by** (*simp add: x*)  
**show** *?thesis* **using** *Cons single* **by** *simp*

**qed**  
**ultimately show** *?case* **by** *simp*

**qed**

**lemma** *subterm-term-ok'*:  
*is-std-sig*  $\Sigma \implies \text{term-ok}' \Sigma t \implies \text{is-closed } st \implies \text{occs } st t \implies \text{term-ok}' \Sigma st$

**proof** (*induction t arbitrary: st*)  
**case** (*Abs T t*)  
**then show** *?case* **by** (*auto simp add: is-open-def*)

**next**  
**case** (*App t1 t2*)  
**then show** *?case* **using** *term-ok'-occs* **by** *blast*

**qed** *auto*

**lemma** *infinite-fv-UNIV*: *infinite* (*UNIV* :: (*indexname*  $\times$  *typ*) *set*)  
**by** (*simp add: finite-prod*)

**lemma** *implies-intro'-pre*:

**assumes** *wf-theory*  $\Theta$   $\Theta, \Gamma \vdash B$  *term-ok*  $\Theta$   $A$  *typ-of*  $A = \text{Some prop} T A \notin \Gamma$   
**shows**  $\Theta, \Gamma \vdash A \mapsto B$   
**using** *assms proves.implies-intro apply* (*simp add: wt-term-def*)  
**by** (*metis Diff-empty Diff-insert0*)

**lemma** *implies-intro'-pre2*:

**assumes** *wf-theory*  $\Theta$   $\Theta, \Gamma \vdash B$  *term-ok*  $\Theta$   $A$  *typ-of*  $A = \text{Some prop} T A \in \Gamma$   
**shows**  $\Theta, \Gamma \vdash A \mapsto B$

**proof** –

**have**  $1: \Theta, \Gamma - \{A\} \vdash A \mapsto B$   
**using** *assms proves.implies-intro by* (*simp add: wt-term-def*)  
**have**  $\Theta, \Gamma - \{A\} - \{A\} \vdash A \mapsto (A \mapsto B)$   
**using** *assms proves.implies-intro*  
**by** (*simp add: 1 implies-intro'-pre*)  
**moreover have**  $\Theta, \{A\} \vdash A$   
**using** *proves.assume assms*  
**by** (*simp add: trivial-pre-depr*)  
**moreover have**  $\Gamma = (\Gamma - \{A\} - \{A\}) \cup \{A\}$   
**using** *assms by auto*  
**ultimately show** *?thesis using proves.implies-elim by metis*

**qed**

**lemma** *subst-term-preserves-typ-of1* [*simp*]:

*typ-of1*  $Ts$  (*subst-term*  $[(x, \tau), Fv\ y\ \tau]$   $t$ ) = *typ-of1*  $Ts$   $t$   
**by** (*induction*  $Ts$   $t$  *rule: typ-of1.induct*) (*fastforce*) $+$

**lemma** *subst-term-preserves-typ-of* [*simp*]:

*typ-of* (*subst-term*  $[(x, \tau), Fv\ y\ \tau]$   $t$ ) = *typ-of*  $t$   
**using** *typ-of-def by simp*

**lemma** *subst-term-preserves-term-ok'* [*simp*]:

*term-ok'*  $\Sigma$  (*subst-term*  $[(x, \tau), Fv\ y\ \tau]$   $t$ )  $\longleftrightarrow$  *term-ok'*  $\Sigma$   $t$   
**by** (*induction*  $t$ ) *auto*

**lemma** *subst-term-preserves-term-ok* [*simp*]:

*term-ok*  $\Theta$  (*subst-term*  $[(x, \tau), Fv\ y\ \tau]$   $A$ )  $\longleftrightarrow$  *term-ok*  $\Theta$   $A$   
**by** (*simp add: wt-term-def*)

**lemma** *not-in-FV-in-fv-not-in*:  $(x, \tau) \notin FV\ \Gamma \implies (x, \tau) \in fv\ t \implies t \notin \Gamma$

**by** *auto*

**lemma** *subst-term-fv*:  $fv$  (*subst-term*  $[(x, \tau), Fv\ y\ \tau]$   $t$ )

= (*if*  $(x, \tau) \in fv\ t$  *then insert*  $(y, \tau)$  *else id*) ( $fv\ t - \{(x, \tau)\}$ )  
**by** (*induction*  $t$ ) *auto*

**lemma** *rename-free*:

**assumes** *wf-theory*: *wf-theory*  $\Theta$   
**assumes**  $B: \Theta, \Gamma \vdash B$

**assumes** *free*:  $(x, \tau) \notin FV \Gamma$   
**shows**  $\Theta, \Gamma \vdash \text{subst-term } [((x, \tau), Fv y \tau)] B$   
**by** (*metis B free inst-var proved-terms-well-formed(2) subst-term-single-no-change term-ok-vars-typ-ok term-ok-var wf-theory typ-of-var*)

**lemma** *tvs-subst-term-single[simp]*:  $tvs (\text{subst-term } [((x, \tau), Fv y \tau)] A) = tvs A$   
**by** (*induction A*) *auto*

**lemma** *weaken-proves'*:  $\Theta, \Gamma \vdash B \implies \text{term-ok } \Theta A \implies \text{typ-of } A = \text{Some prop} T$   
 $\implies A \notin \Gamma$   
 $\implies \text{finite } \Gamma$   
 $\implies \Theta, \text{insert } A \Gamma \vdash B$   
**proof** (*induction*  $\Gamma B$  *arbitrary: A rule: proves.induct*)  
**case** (*axiom A insts*  $\Gamma A'$ )  
**then show** *?case using proves.axiom axiom by metis*  
**next**  
**case** (*assume*  $A \Gamma A'$ )  
**then show** *?case using proves.intros by blast*  
**next**  
**case** (*forall-intro*  $\Gamma B x \tau$ )

**have**  $\exists y . y \notin \text{fst } ' (fv A \cup fv B \cup FV \Gamma)$   
**proof**–  
**have** *finite* ( $FV \Gamma$ )  
**using** *finite-fv forall-intro.prem*s **by** *auto*  
**hence** *finite* ( $fv A \cup fv B \cup FV \Gamma$ ) **by** *simp*  
**hence** *finite* (*fst* ' ( $fv A \cup fv B \cup FV \Gamma$ )) **by** *simp*

**thus** *?thesis using variant-variable-fresh by blast*  
**qed**  
**from this obtain**  $y$  **where**  $y \notin \text{fst } ' (fv A \cup fv B \cup FV \Gamma)$  **by** *auto*

**have** *not-in-ren*:  $\text{subst-term } [((x, \tau), Fv y \tau)] A \notin \Gamma$   
**proof**(*cases*  $(x, \tau) \in fv A$ )  
**case** *True*  
**show** *?thesis*  
**apply** (*rule not-in-FV-in-fv-not-in*[*of y*  $\tau$ ])  
**apply** (*metis* (*full-types*) *Un-iff* ' $y \notin \text{fst } ' (fv A \cup fv B \cup FV \Gamma)$ ' *fst-conv image-eqI*)  
**using** *True subst-term-fv by auto*  
**next**  
**case** *False*  
**hence**  $\text{subst-term } [((x, \tau), Fv y \tau)] A = A$   
**by** *simp*  
**then show** *?thesis*  
**by** (*simp add: forall-intro.prem*s(3))  
**qed**  
**have** *term-ok-ren*:  $\text{term-ok } \Theta (\text{subst-term } [((x, \tau), Fv y \tau)] A)$

**using** *forall-intro.prem1 subst-term-preserves-term-ok* **by** *blast*  
**have** *typ-of-ren: typ-of (subst-term [(x, τ), Fv y τ]) A = Some propT*  
**using** *forall-intro.prem1* **by** *auto*

**hence**  $\Theta$ , *insert (subst-term [(x, τ), Fv y τ]) A*  $\Gamma \vdash B$   
**using** *forall-intro.IH forall-intro.prem3 forall-intro.prem4*  
*not-in-ren term-ok-ren typ-of-ren* **by** *blast*  
**have**  $\Theta$ , *insert (subst-term [(x, τ), Fv y τ]) A*  $\Gamma \vdash \text{mk-all } x \ \tau \ B$   
**apply** (*rule proves.forall-intro*)  
**apply** (*simp add: forall-intro.hyps(1)*)  
**using**  $\langle \Theta, \text{insert (subst-term [(x, τ), Fv y τ]) A} \rangle \Gamma \vdash B$  **apply** *blast*  
**subgoal using** *subst-term-fv*  $\langle (x, \tau) \notin FV \ \Gamma \rangle$  **apply** *simp*  
**by** (*metis Un-iff*  $\langle y \notin fst \text{ ` (fv A } \cup \text{ fv B } \cup \text{ FV } \Gamma) \rangle$  *fst-conv image-eqI*)  
**using** *forall-intro.hyps(4)* **by** *blast*

**hence**  $\Theta$ ,  $\Gamma \vdash \text{subst-term [(x, \tau), Fv y \tau]} A \mapsto \text{mk-all } x \ \tau \ B$   
**using** *forall-intro.hyps(1) forall-intro.hyps(2) forall-intro.hyps(4)*  
*forall-intro.prem1 forall-intro.prem3*  
*implies-intro'-pre local.forall-intro not-in-ren proves.forall-intro*  
*subst-term-preserves-typ-of term-ok-ren* **by** *auto*

**hence**  $\Theta$ ,  $\Gamma \vdash \text{subst-term [(y, \tau), Fv x \tau]}$   
*(subst-term [(x, \tau), Fv y \tau]) A \mapsto mk-all x \ \tau \ B)*  
**by** (*smt Un-iff*  $\langle y \notin fst \text{ ` (fv A } \cup \text{ fv B } \cup \text{ FV } \Gamma) \rangle$  *forall-intro.hyps(1)*  
*fst-conv image-eqI rename-free*)

**hence**  $\Theta$ ,  $\Gamma \vdash A \mapsto \text{mk-all } x \ \tau \ B$   
**using** *forall-intro proves.forall-intro implies-intro'-pre* **by** *auto*

**moreover have**  $\Theta$ ,  $\{A\} \vdash A$   
**using** *forall-intro.prem1 local.forall-intro(7) trivial-pre-depr* **by** *blast*

**ultimately show** *?case*  
**using** *implies-elim* **by** *fastforce*

**next**  
**case** (*forall-elim*  $\Gamma \ \tau \ B \ a$ )  
**then show** *?case* **using** *proves.forall-elim* **by** *blast*

**next**  
**case** (*implies-intro*  $\Gamma \ B \ N$ )  
**then show** *?case*  
**proof** (*cases A=N*)  
**case** *True*

**hence**  $\Theta, \Gamma - \{N\} \vdash N \mapsto B$

**using** *implies-intro.hyps(1) implies-intro.hyps(2) implies-intro.hyps(3)*  
*implies-intro.hyps(4) proves.implies-intro* **by** *blast*

**hence**  $\Theta, \Gamma - \{N\} \vdash A \mapsto N \mapsto B$   
**using** *True implies-intro'-pre implies-intro.hyps(1) implies-intro.hyps(3)*  
*implies-intro.hyps(4) implies-intro.prem1* **by** *blast*

**hence**  $\Theta, \text{insert } N \ \Gamma \vdash B$   
**using** *True implies-elim implies-intro insert-absorb* **by** *fastforce*

**then show** *?thesis*  
**using** *True implies-elim implies-intro.hyps(3) implies-intro.hyps(4) implies-intro.prem1*



```

      trivial-pre-depr by (simp add: implies-intro'-pre2 implies-intro.hyps(1))
next
  case False
  hence s: insert A (Γ - {N}) = insert A Γ - {N} by auto

  have I: Θ, insert A Γ ⊢ B
  using implies-intro.prem1 False by (auto intro!: implies-intro.IH)

  show ?thesis
  apply (subst s)
  apply (rule proves.implies-intro)
  using implies-intro.hyps I by auto
qed
next
  case (implies-elim Γ1 A' B Γ2)
  show ?case
  using proves.implies-elim implies-elim by (metis UnCI Un-insert-left finite-Un)
next
  case (β-conversion Γ s T t x)
  then show ?case using proves.β-conversion by blast
next
  case (eta t τ τ')
  then show ?case using proves.eta by simp
next
  case (of-class c T' T Γ)
  then show ?case
  by (simp add: proves.of-class)
qed
corollary weaken-proves: Θ, Γ ⊢ B ⇒ term-ok Θ A ⇒ typ-of A = Some propT
  ⇒ finite Γ
  ⇒ Θ, insert A Γ ⊢ B
  using weaken-proves' by (metis insert-absorb)

lemma weaken-proves-set: finite Γ2 ⇒ Θ, Γ ⊢ B ⇒ ∀ A ∈ Γ2 . term-ok Θ A ⇒
  ∀ A ∈ Γ2 . typ-of A = Some propT
  ⇒ finite Γ
  ⇒ Θ, Γ ∪ Γ2 ⊢ B
  by (induction Γ2 arbitrary: Γ rule: finite-induct) (use weaken-proves in auto)

lemma no-tvsT-imp-subst-typ-unchanged: tvsT T = empty ⇒ subst-typ insts T
  = T
  by (simp add: no-tvsT-imp-tsubstT-unchanged tsubstT-simulates-subst-typ)

lemma subst-typ-fv:
  shows apsnd (subst-typ insts) ' fv B = fv (subst-typ' insts B)
  by (induction B) auto

```

```

lemma subst-typ-fv-point:
  assumes  $(x, \tau) \in \text{fv } B$ 
  shows  $(x, \text{subst-typ insts } \tau) \in \text{fv } (\text{subst-typ}' \text{ insts } B)$ 
  using subst-typ-fv by (metis apsnd-conv assms image-eqI)

lemma subst-typ-typ-ok:
  assumes typ-ok-sig  $\Sigma \tau$ 
  assumes list-all (typ-ok-sig  $\Sigma$ ) (map snd insts)
  shows typ-ok-sig  $\Sigma$  (subst-typ insts  $\tau$ )
using assms proof (induction  $\tau$ )
  case (Tv idn  $\tau$ )
  then show ?case
    by (cases lookup  $(\lambda x. x = (\text{idn}, \tau)) \text{ insts}$ )
      (fastforce simp add: list-all-iff dest: lookup-present-eq-key' split: prod.splits)

qed (auto simp add: list-all-iff lookup-present-eq-key' split: option.splits)

lemma subst-typ-comp-single-left: subst-typ [single] (subst-typ insts  $T$ )
  = subst-typ (map (apsnd (subst-typ [single])) insts@[single])  $T$ 
proof (induction  $T$ )
  case (Tv idn ty)
  then show ?case by (induction insts) auto
qed auto

lemma subst-typ-comp-single-left-stronger: subst-typ [single] (subst-typ insts  $T$ )
  = subst-typ (map (apsnd (subst-typ [single])) insts
    @ (if fst single  $\in$  set (map fst insts) then [] else [single]))  $T$ 
proof (induction  $T$ )
  case (Tv idn S)
  then show ?case
    proof (cases lookup  $(\lambda x. x = (\text{idn}, S)) \text{ insts}$ )
      case None
        hence lookup  $(\lambda x. x = (\text{idn}, S))$  (map (apsnd (subst-typ [single])) insts) =
          None
          by (induction insts) (auto split: if-splits)
        then show ?thesis
          using None apply simp
          by (metis eq-fst-iff list.set-map lookup.simps(2) lookup-None-iff subst-typ.simps(2))

          subst-typ-comp subst-typ-nil the-default.simps(1))
      next
        case (Some a)
        hence lookup  $(\lambda x. x = (\text{idn}, S))$  (map (apsnd (subst-typ [single])) insts) =
          Some (subst-typ [single]  $a$ )
          by (induction insts) (auto split: if-splits)
        then show ?thesis
          using Some apply simp
          by (metis subst-typ.simps(2) subst-typ-comp-single-left the-default.simps(2))
    qed

```

**qed** *auto*

**lemma** *subst-typ'-comp-single-left*: *subst-typ' [single] (subst-typ' insts t)*  
= *subst-typ' (map (apsnd (subst-typ [single])) insts@[single]) t*  
**by** (*induction t*) (*use subst-typ-comp-single-left in auto*)

**lemma** *subst-typ'-comp-single-left-stronger*: *subst-typ' [single] (subst-typ' insts t)*  
= *subst-typ' (map (apsnd (subst-typ [single])) insts*  
*@ (if fst single ∈ set (map fst insts) then [] else [single])) t*  
**by** (*induction t*) (*use subst-typ-comp-single-left-stronger in auto*)

**lemma** *subst-typ-preserves-typ-ok*:  
**assumes** *wf-theory*  $\Theta$   
**assumes** *typ-ok*  $\Theta$   $T$   
**assumes** *list-all* (*typ-ok*  $\Theta$ ) (*map snd insts*)  
**shows** *typ-ok*  $\Theta$  (*subst-typ insts T*)  
**using** *assms proof* (*induction T*)  
**case** (*Ty n Ts*)  
**have**  $I: \forall x \in \text{set } Ts . \text{typ-ok } \Theta \text{ (subst-typ insts } x)$   
**using** *Ty* **by** (*auto simp add: typ-ok-def list-all-iff split: option.splits*)  
**moreover** **have** ( $\forall x \in \text{set } Ts . \text{typ-ok } \Theta \text{ (subst-typ insts } x)$ ) =  
( $\forall x \in \text{set (map (subst-typ insts) Ts) . typ-ok } \Theta \text{ } x$ ) **by** (*induction Ts*) *auto*  
**ultimately** **have** *list-all* (*wf-type* (*sig*  $\Theta$ )) (*map (subst-typ insts) Ts*)  
**using** *list-allI typ-ok-def Ball-set typ-ok-def* **by** *fastforce*  
**then show** *?case* **using** *Ty list.pred-mono-strong* **by** (*force split: option.splits*)  
**next**  
**case** (*Tv idn  $\tau$* )  
**then show** *?case* **by** (*induction insts*) *auto*  
**qed**

**lemma** *typ-ok-Ty[simp]*: *typ-ok*  $\Theta$  (*Ty n Ts*)  $\implies$  *list-all* (*typ-ok*  $\Theta$ )  $Ts$   
**by** (*auto simp add: typ-ok-def list.pred-mono-strong split: option.splits*)

**lemma** *typ-ok-sig-Ty[simp]*: *typ-ok-sig*  $\Sigma$  (*Ty n Ts*)  $\implies$  *list-all* (*typ-ok-sig*  $\Sigma$ )  $Ts$   
**by** (*auto simp add: list.pred-mono-strong split: option.splits*)

**lemma** *wf-theory-imp-wf-osig*: *wf-theory*  $\Theta \implies$  *wf-osig* (*osig* (*sig*  $\Theta$ ))  
**by** (*cases*  $\Theta$  *rule: theory-full-exhaust*) *simp*

**lemma** *the-lift2-option-Somes[simp]*: *the* (*lift2-option*  $f$  (*Some*  $a$ ) (*Some*  $b$ )) =  $f$   $a$   
 $b$  **by** *simp*

**lemma** *class-les-mgd*:  
**assumes** *wf-osig* *oss*  
**assumes** *tcsigs* *oss* *type = Some mgd*  
**assumes** *mgd*  $C' = \text{Some } Ss'$   
**assumes** *class-les* (*subclass* *oss*)  $C' C$   
**shows** *mgd*  $C \neq \text{None}$   
**proof** –  
**have** *complete-tcsigs* (*subclass* *oss*) (*tcsigs* *oss*)

```

    using assms(1) by (cases oss) simp
  thus ?thesis
    using assms(2-4) by (auto simp add: class-les-def class-leq-def complete-tcsigs-def
intro!: domI ranI)
qed

lemma has-sort-sort-leq-osig:
  assumes wf-osig (sub, tcs) has-sort (sub,tcs) T S sort-leq sub S S'
  shows has-sort (sub,tcs) T S'
using assms(2,3,1) proof (induction (sub,tcs) T S arbitrary: S' rule: has-sort.induct)
  case (has-sort-Tv S S' tcs a)
  then show ?case
    using wf-osig.simps wf-subclass-loc.intro wf-subclass-loc.sort-leq-trans by blast
next
  case (has-sort-Ty  $\kappa$  K S Ts)
  show ?case
  proof (rule has-sort.has-sort-Ty[where dm=K])
    show tcs  $\kappa$  = Some K
    using has-sort-Ty.hyps(1) .
  next
  show  $\forall C \in S'. \exists Ss. K C = \text{Some } Ss \wedge \text{list-all2 } (\text{has-sort } (sub, tcs)) Ts Ss$ 
  proof (rule ballI)
    fix C assume C:  $C \in S'$ 
    show  $\exists Ss. K C = \text{Some } Ss \wedge \text{list-all2 } (\text{has-sort } (sub, tcs)) Ts Ss$ 
    proof (cases C  $\in S$ )
      case True
      then show ?thesis
        using list-all2-mono has-sort-Ty.hyps(2) by fastforce
    next
      case False
      from this obtain C' where C':
         $C' \in S \text{ class-les sub } C' C$ 
      by (metis C class-les-def has-sort-Ty.prem(1) has-sort-Ty.prem(2))
    sort-leq-def
    subclass.simps wf-osig-imp-wf-subclass-loc wf-subclass-loc.class-leq-antisym)
    from this obtain Ss' where Ss':
       $K C' = \text{Some } Ss' \text{ list-all2 } (\text{has-sort } (sub,tcs)) Ts Ss'$ 
    using list-all2-mono has-sort-Ty.hyps(2) by fastforce
    from this obtain Ss where Ss:  $K C = \text{Some } Ss$ 
    using has-sort-Ty.prem class-les-mgd C'(2) has-sort-Ty.hyps(1) wf-theory-imp-wf-osig
    by force
    have lengthSs':  $\text{length } Ts = \text{length } Ss'$ 
    using Ss'(2) list-all2-lengthD by auto
    have coregular:
      coregular-tcsigs sub tcs
    using has-sort-Ty.prem(2) wf-theory-imp-wf-osig wf-tcsigs-def
    by (metis wf-osig.simps)

  hence leq:  $\text{list-all2 } (\text{sort-leq sub}) Ss' Ss$ 

```

```

using C'(2) Ss'(1) Ss has-sort-Ty.hyps(1) ranI
by (metis class-les-def coregular-tcsigs-def domI option.sel)

have list-all2 (has-sort (sub,tcs)) Ts Ss
proof(rule list-all2-all-nthI)
  show length Ts = length Ss
    using Ss Ss'(1) lengthSs' wf-theory-imp-wf-osig leq list-all2-lengthD by
auto
next
  fix n assume n: n < length Ts
  hence sort-leq sub (Ss' ! n) (Ss ! n)
    using leq by (simp add: lengthSs' list-all2-nthD)
  thus has-sort (sub,tcs) (Ts ! n) (Ss ! n)
using has-sort-Ty.hyps(2) has-sort-Ty.prem(2) C'(1) Ss'(1) n list-all2-nthD
  by fastforce
qed

thus  $\exists Ss. K C = \text{Some } Ss \wedge \text{list-all2 (has-sort (sub, tcs)) } Ts Ss$ 
  using Ss by (simp)
qed
qed
qed
qed

lemma has-sort-sort-leq: wf-theory  $\Theta \implies \text{has-sort (osig (sig } \Theta)) T S$ 
   $\implies \text{sort-leq (subclass (osig (sig } \Theta)) S S')$ 
   $\implies \text{has-sort (osig (sig } \Theta)) T S'$ 
by (metis has-sort-sort-leq-osig subclass.elims wf-theory-imp-wf-osig)

lemma subst-tyt-preserves-has-sort:
  assumes wf-theory  $\Theta$ 
  assumes has-sort (osig (sig  $\Theta$ )) T S
  assumes list-all ( $\lambda(idn, S), T$ ). has-sort (osig (sig  $\Theta$ )) T S) insts
  shows has-sort (osig (sig  $\Theta$ )) (subst-tyt insts T) S
using assms proof(induction T arbitrary: S)
  case (Ty  $\kappa$  Ts)
  obtain cl tcs where cltcs: osig (sig  $\Theta$ ) = (cl, tcs)
    by fastforce
  moreover obtain K where tcsigs (osig (sig  $\Theta$ ))  $\kappa = \text{Some } K$ 
    using Ty.prem(2) has-sort.simps by auto
  ultimately have mgd: tcs  $\kappa = \text{Some } K$ 
    by simp
  have has-sort (osig (sig  $\Theta$ )) (subst-tyt insts (Ty  $\kappa$  Ts)) S
    = has-sort (osig (sig  $\Theta$ )) (Ty  $\kappa$  (map (subst-tyt insts) Ts)) S
    by simp
  moreover have has-sort (osig (sig  $\Theta$ )) (Ty  $\kappa$  (map (subst-tyt insts) Ts)) S
  proof (subst cltcs, rule has-sort-Ty[of tcs, OF mgd], rule ballI)
    fix C assume C: C  $\in$  S
    obtain Ss where Ss: K C = Some Ss

```

```

    using C Ty.premis(2) mgd has-sort.simps cltcs by auto
  have list-all2 (has-sort (osig (sig Θ))) (map (subst-typ insts) Ts) Ss
  proof (rule list-all2-all-nthI)
    show length (map (subst-typ insts) Ts) = length Ss
      using C Ss Ty.premis(2) list-all2-lengthD mgd has-sort.simps cltcs by
fastforce
  next
  fix n assume n: n < length (map (subst-typ insts) Ts)

  have list-all2 (has-sort (cl, tcs)) Ts Ss
    using C Ss Ty.premis(2) cltcs has-sort.simps mgd by auto
  hence 1: has-sort (osig (sig Θ)) (Ts ! n) (Ss ! n)
    using cltcs list-all2-conv-all-nth n by auto
  have has-sort (osig (sig Θ)) (subst-typ insts (Ts ! n)) (Ss ! n)
    using 1 n Ty.premis cltcs C Ss mgd Ty.IH by auto

  then show has-sort (osig (sig Θ)) (map (subst-typ insts) Ts ! n) (Ss ! n)
    using n by auto
  qed
  thus ∃ Ss. K C = Some Ss ∧ list-all2 (has-sort (cl, tcs)) (map (subst-typ insts)
Ts) Ss
    using Ss cltcs by simp
  qed
  ultimately show ?case
    by simp
next
case (Tv idn S')
show ?case
proof (cases (lookup (λx. x = (idn, S')) insts))
  case None
  then show ?thesis using Tv by simp
next
case (Some res)
hence ((idn, S'), res) ∈ set insts using lookup-present-eq-key' by fast
hence has-sort (osig (sig Θ)) res S' using Tv
  using split-list by fastforce
moreover have 1: sort-leq (subclass (osig (sig Θ))) S' S
  using Tv.premis(2) has-sort-Tv-imp-sort-leq by blast
ultimately show ?thesis
  using Some Tv(2) has-sort-Tv-imp-sort-leq apply simp
  using assms(1) 1 has-sort-sort-leq by blast
qed
qed

lemma subst-typ-preserves-Some-typ-of1:
  assumes typ-of1 Ts t = Some T
  shows typ-of1 (map (subst-typ insts) Ts) (subst-typ' insts t)
    = Some (subst-typ insts T)

```

**using** *assms* **proof** (*induction t arbitrary: T Ts*)  
**next**  
   **case** (*App t1 t2*)  
   **from** *this* **obtain** *RT* **where** *typ-of1 Ts t1 = Some (RT → T)*  
     **using** *typ-of1-split-App-obtains* **by** *blast*  
   **hence** *typ-of1 (map (subst-typ insts) Ts) (subst-typ' insts t1) =*  
     *Some (subst-typ insts (RT → T))* **using** *App.IH(1)* **by** *blast*  
   **moreover** **have** *typ-of1 (map (subst-typ insts) Ts) (subst-typ' insts t2) = Some*  
   (*subst-typ insts RT*)  
     **using** *App <typ-of1 Ts t1 = Some (RT → T)> typ-of1-fun-typ* **by** *blast*  
     **ultimately show** *?case* **by** *simp*  
**qed** (*fastforce split: if-splits simp add: bind-eq-Some-conv*)**+**

**corollary** *subst-typ-preserves-Some-typ-of:*  
   **assumes** *typ-of t = Some T*  
   **shows** *typ-of (subst-typ' insts t)*  
     *= Some (subst-typ insts T)*  
   **using** *assms subst-typ-preserves-Some-typ-of1 typ-of-def* **by** *fastforce*

**lemma** *subst-typ'-incr-bv:*  
   *subst-typ' insts (incr-bv inc lev t) = incr-bv inc lev (subst-typ' insts t)*  
   **by** (*induction inc lev t rule: incr-bv.induct*) *auto*

**lemma** *subst-typ'-incr-boundvars:*  
   *subst-typ' insts (incr-boundvars lev t) = incr-boundvars lev (subst-typ' insts t)*  
   **using** *subst-typ'-incr-bv incr-boundvars-def* **by** *simp*

**lemma** *subst-typ'-subst-bv1:* *subst-typ' insts (subst-bv1 t n u)*  
   *= subst-bv1 (subst-typ' insts t) n (subst-typ' insts u)*  
   **by** (*induction t n u rule: subst-bv1.induct*) (*auto simp add: subst-typ'-incr-boundvars*)

**lemma** *subst-typ'-subst-bv:* *subst-typ' insts (subst-bv t u)*  
   *= subst-bv (subst-typ' insts t) (subst-typ' insts u)*  
   **using** *subst-typ'-subst-bv1 subst-bv-def* **by** *simp*

**lemma** *subst-typ-no-tvsT-unchanged:*  
    $\forall (f, s) \in \text{set insts} . f \notin \text{tvs} T \implies \text{subst-typ insts } T = T$

**proof** (*induction T*)

**case** (*Ty n Ts*)

**then show** *?case* **by** (*induction Ts*) (*fastforce split: prod.splits*)**+**

**next**

**case** (*Tv idn S*)

**then show** *?case*

**by** *simp (smt case-prodD case-prodE find-None-iff lookup-None-iff-find-None the-default.simps(1))*

**qed**

**lemma** *subst-typ'-no-tvs-unchanged:*  
    $\forall (f, s) \in \text{set insts} . f \notin \text{tvs } t \implies \text{subst-typ' insts } t = t$

by (induction t) (use subst-typ-no-tvsT-unchanged in ⟨fastforce+⟩)

**lemma** *subst-typ'-preserves-term-ok'*:

assumes *wf-theory*  $\Theta$

assumes *inst-ok*  $\Theta$  *insts*

assumes *term-ok'* (sig  $\Theta$ ) *t*

shows *term-ok'* (sig  $\Theta$ ) (*subst-typ'* *insts* *t*)

using *assms term-ok'-subst-typ' typ-ok-def*

by (*metis list.pred-mono-strong wf-theory-imp-is-std-sig wf-type-imp-typ-ok-sig*)

**lemma** *subst-typ'-preserves-term-ok*:

assumes *wf-theory*  $\Theta$

assumes *inst-ok*  $\Theta$  *insts*

assumes *term-ok*  $\Theta$  *t*

shows *term-ok*  $\Theta$  (*subst-typ'* *insts* *t*)

using *assms subst-typ-preserves-Some-typ-of-wt-term-def subst-typ'-preserves-term-ok'*

by *auto*

**lemma** *subst-typ-rename-vars-cancel*:

assumes  $y \notin \text{fst } \text{'tvs} T$  *T*

shows *subst-typ* [((*y,S*), *Tv x S*)] (*subst-typ* [((*x,S*), *Tv y S*)] *T*) = *T*

using *assms proof (induction T)*

case (*Ty n Ts*)

then show ?*case* by (*induction Ts*) *auto*

qed *auto*

**lemma** *subst-typ'-rename-tvars-cancel*:

assumes  $y \notin \text{fst } \text{'tvs } t$  assumes  $y \notin \text{fst } \text{'tvs} T$   $\tau$

shows *subst-typ'* [((*y,S*), *Tv x S*)] ((*bind-fv2* (*x*, *subst-typ* [((*x,S*), *Tv y S*)]  $\tau$ ))

*lev* (*subst-typ'* [((*x,S*), *Tv y S*)] *t*))

= *bind-fv2* (*x*,  $\tau$ ) *lev t*

using *assms proof (induction t arbitrary: lev)*

case (*Ct n T*)

then show ?*case*

by (*simp add: subst-typ-rename-vars-cancel*)

next

case (*Fv idn T*)

then show ?*case*

by (*clarsimp simp add: subst-typ-rename-vars-cancel*) (*metis subst-typ-rename-vars-cancel*)

next

case (*Abs T t*)

thus ?*case*

by (*simp add: image-Un subst-typ-rename-vars-cancel*)

next

case (*App t1 t2*)

then show ?*case*

by (*simp add: image-Un*)

qed *auto*



**lemma** *bind-fv2-renamed-var*:  
**assumes**  $y \notin \text{fst } 'fv\ t$   
**shows**  $\text{bind-fv2 } (y, \tau) \ i \ (\text{subst-term } [((x, \tau), Fv\ y\ \tau)]\ t)$   
 $= \text{bind-fv2 } (x, \tau) \ i \ t$   
**using** *assms* **proof** (*induction t arbitrary: i*)  
**qed** *auto*

**lemma** *bind-fv-renamed-var*:  
**assumes**  $y \notin \text{fst } 'fv\ t$   
**shows**  $\text{bind-fv } (y, \tau) \ (\text{subst-term } [((x, \tau), Fv\ y\ \tau)]\ t)$   
 $= \text{bind-fv } (x, \tau) \ t$   
**using** *bind-fv2-renamed-var bind-fv-def assms* **by** *auto*

**lemma** *subst-typ'-rename-tvar-bind-fv2*:  
**assumes**  $y \notin \text{fst } 'fv\ t$   
**assumes**  $(b, S) \notin \text{tvs } t$   
**assumes**  $(b, S) \notin \text{tvsT } \tau$   
**shows**  $\text{bind-fv2 } (y, \text{subst-typ } [((a, S), Tv\ b\ S)]\ \tau) \ i$   
 $(\text{subst-typ}' [((a, S), Tv\ b\ S)] (\text{subst-term } [((x, \tau), Fv\ y\ \tau)]\ t))$   
 $= \text{subst-typ}' [((a, S), Tv\ b\ S)] (\text{bind-fv2 } (x, \tau) \ i \ t)$   
**using** *assms* **proof** (*induction t arbitrary: i*)  
**qed** *auto*

**lemma** *subst-typ'-rename-tvar-bind-fv*:  
**assumes**  $y \notin \text{fst } 'fv\ t$   
**assumes**  $(b, S) \notin \text{tvs } t$   
**assumes**  $(b, S) \notin \text{tvsT } \tau$   
**shows**  $\text{bind-fv } (y, \text{subst-typ } [((a, S), Tv\ b\ S)]\ \tau)$   
 $(\text{subst-typ}' [((a, S), Tv\ b\ S)] (\text{subst-term } [((x, \tau), Fv\ y\ \tau)]\ t))$   
 $= \text{subst-typ}' [((a, S), Tv\ b\ S)] (\text{bind-fv } (x, \tau) \ t)$   
**using** *bind-fv-def assms subst-typ'-rename-tvar-bind-fv2* **by** *auto*

**lemma** *tvar-in-fv-in-tvs*:  $(a, \tau) \in \text{fv } B \implies (x, S) \in \text{tvsT } \tau \implies (x, S) \in \text{tvs } B$   
**by** (*induction B*) *auto*

**lemma** *tvs-bind-fv2-subset*:  $\text{tvs } (\text{bind-fv2 } (a, \tau) \ i \ B) \subseteq \text{tvs } B$   
**by** (*induction B arbitrary: i*) *auto*

**lemma** *tvs-bind-fv-subset*:  $\text{tvs } (\text{bind-fv } (a, \tau) \ B) \subseteq \text{tvs } B$   
**using** *tvs-bind-fv2-subset bind-fv-def* **by** *simp*

**lemma** *subst-typ-rename-tvar-preserves-eq*:  
 $(y, S) \notin \text{tvsT } T \implies (y, S) \notin \text{tvsT } \tau \implies$   
 $\text{subst-typ } [((x, S), Tv\ y\ S)]\ T = \text{subst-typ } [((x, S), Tv\ y\ S)]\ \tau \implies T = \tau$   
**proof** (*induction T arbitrary: \tau*)  
**case** (*Ty n Ts*)  
**then show** *?case*  
**proof** (*induction \tau*)

```

    case (Ty n Ts)
  then show ?case
    by simp (smt list.inj-map-strong)
next
  case (Tv n S)
  then show ?case
    by (auto split: if-splits)
qed
next
  case (Tv n S)
  then show ?case by (induction  $\tau$ ) (auto split: if-splits)
qed

```

**lemma** *subst-typ'-subst-term-rename-var-swap*:

```

  assumes  $b \notin \text{fst } 'fv B$ 
  assumes  $(y, S) \notin \text{tvs } B$ 
  assumes  $(y, S) \notin \text{tvs } T \ \tau$ 
  shows  $\text{subst-typ}' [((x, S), Tv y S)] (\text{subst-term } [((a, \tau), Fv b \tau)] B)$ 
    =  $\text{subst-term } [((a, (\text{subst-typ}' [((x, S), Tv y S)] \tau)), Fv b (\text{subst-typ}' [((x, S), Tv y S)] \tau))]$ 
      ( $\text{subst-typ}' [((x, S), Tv y S)] B$ )
  using assms proof (induction B)
    case (Fv idn T)
    then show ?case using subst-typ-rename-tvar-preserves-eq by auto
  qed auto

```

**lemma** *tvar-not-in-term-imp-free-not-in-term*:

```

   $(y, S) \in \text{tvs } T \ \tau \implies (y, S) \notin \text{tvs } t \implies (a, \tau) \notin \text{fv } t$ 
  by (induction t) auto

```

**lemma** *tvar-not-in-term-imp-free-not-in-term-set*:

```

   $\text{finite } \Gamma \implies (y, S) \in \text{tvs } T \ \tau \implies (y, S) \notin \text{tvs-Set } \Gamma \implies (a, \tau) \notin \text{FV } \Gamma$ 
  using tvar-not-in-term-imp-free-not-in-term by simp

```

**lemma** *inst-var-multiple*:

```

  assumes wf-theory: wf-theory  $\Theta$ 
  assumes  $B: \Theta, \Gamma \vdash B$ 
  assumes vars:  $\forall (x, \tau) \in \text{fst } ' \text{set insts} . \text{term-ok } \Theta (Fv x \tau)$ 
  assumes a-ok:  $\forall a \in \text{snd } ' \text{set insts} . \text{term-ok } \Theta a$ 
  assumes typ-a:  $\forall ((-, \tau), a) \in \text{set insts} . \text{typ-of } a = \text{Some } \tau$ 
  assumes free:  $\forall (v, -) \in \text{set insts} . v \notin \text{FV } \Gamma$ 
  assumes distinct: distinct (map fst insts)
  assumes finite: finite  $\Gamma$ 
  shows  $\Theta, \Gamma \vdash \text{subst-term insts } B$ 
proof –
  obtain fresh-idns where fresh-idns:
     $\text{length } \text{fresh-idns} = \text{length } \text{insts}$ 

```

$\forall idn \in set\ fresh-idns .$   
 $idn \notin fst \text{ ' } (fv\ B \cup (\bigcup t \in snd \text{ ' } set\ insts . (fv\ t)) \cup (fst \text{ ' } set\ insts)) \cup fst \text{ ' } (FV$   
 $\Gamma)$   
*distinct fresh-idns*  
**using** *distinct-fresh-rename-idns fresh-fresh-rename-idns length-fresh-rename-idns*  
*finite-FV finite*  
**by** (*metis finite-imageI*)  
**have**  $0: subst-term\ insts\ B$   
 $= fold\ (\lambda single\ acc . subst-term\ [single]\ acc)\ (zip\ (zip\ fresh-idns\ (map\ snd\ (map$   
 $fst\ insts)))\ (map\ snd\ insts))$   
 $(fold\ (\lambda single\ acc . subst-term\ [single]\ acc)\ (zip\ (map\ fst\ insts)\ (map2\ Fv$   
 $fresh-idns\ (map\ snd\ (map\ fst\ insts))))\ B)$   
**using** *fresh-idns distinct subst-term-combine' by simp*

**from** *fresh-idns vars a-ok typ-a free distinct have 1:*  
 $\Theta, \Gamma \vdash (fold\ (\lambda single\ acc . subst-term\ [single]\ acc)$   
 $(zip\ (map\ fst\ insts)\ (map2\ Fv\ fresh-idns\ (map\ snd\ (map\ fst\ insts))))\ B)$   
**proof** (*induction fresh-idns insts rule: rev-induct2*)  
**case** *Nil*  
**then show** *?case using B by simp*  
**next**  
**case** (*snoc x xs y ys*)  
**from** *snoc have term-oky: term-ok  $\Theta (Fv\ (fst\ (fst\ y))\ (snd\ (fst\ y)))$*   
**by** (*auto simp add: wt-term-def split: prod.splits*)

**have**  $1: \Theta, \Gamma \vdash fold\ (\lambda single. subst-term\ [single])$   
 $(zip\ (map\ fst\ ys)\ (map2\ Fv\ xs\ (map\ snd\ (map\ fst\ ys))))\ B$   
**apply** (*rule snoc.IH*)  
**subgoal using** *snoc.premis(1) by (clarsimp split: prod.splits) (smt UN-I Un-iff*  
*fst-conv image-iff)*  
**using** *snoc.premis(2-7) by auto*

**moreover obtain** *yn n where ynn: fst y = (yn, n) by fastforce*  
**moreover have**  $\Theta, \Gamma \vdash subst-term\ [(fst\ y, Fv\ x\ n)]$   
 $(fold\ (\lambda single. subst-term\ [single])\ (zip\ (map\ fst\ (ys))$   
 $(map2\ Fv\ (xs)\ (map\ snd\ (map\ fst\ (ys))))\ B)$   
**apply** (*simp only: ynn*)  
**apply** (*rule inst-var[of  $\Theta\ \Gamma$  (fold (\lambda single. subst-term [single]) (zip (map fst*  
 $(ys))$   
 $(map2\ Fv\ (xs)\ (map\ snd\ (map\ fst\ (ys))))\ B)\ (Fv\ x\ n)\ n\ ynn]$   
**using** *snoc.premis <wf-theory  $\Theta$ > 1 apply (solves simp)+*  
**using** *term-oky ynn apply (simp add: wt-term-def typ-of-def)*  
**using** *term-oky ynn apply (simp add: wt-term-def typ-of-def)*  
**using** *snoc.premis(6) ynn by auto*

**moreover have**  $fold\ (\lambda single. subst-term\ [single])\ (zip\ (map\ fst\ (ys\ @\ [y]))$   
 $(map2\ Fv\ (xs\ @\ [x])\ (map\ snd\ (map\ fst\ (ys\ @\ [y])))))\ B$   
 $= subst-term\ [(fst\ y, Fv\ x\ (snd\ (fst\ y)))]$   
 $(fold\ (\lambda single. subst-term\ [single])\ (zip\ (map\ fst\ (ys))$

```

      (map2 Fv (xs) (map snd (map fst (ys)))) B)
    using snoc.hyps by (induction xs ys rule: list-induct2) simp-all

  ultimately show ?case by simp
qed
define point where point ≡ (fold (λsingle acc . subst-term [single] acc)
  (zip (map fst insts) (map2 Fv fresh-idns (map snd (map fst insts)))) B)

from fresh-idns vars a-ok typ-a free distinct have 2:
  Θ, Γ ⊢ fold (λsingle acc . subst-term [single] acc)
    (zip (zip fresh-idns (map snd (map fst insts))) (map snd insts))
    point
proof (induction fresh-idns insts rule: rev-induct2)
  case Nil
  then show ?case using B
  using 1 point-def by auto
next
  case (snoc x xs y ys)

  from snoc have typ-of-y: typ-of (snd y) = Some (snd (fst y)) by auto

  have 1: Θ, Γ ⊢ fold (λsingle. subst-term [single])
    (zip (zip xs (map snd (map fst ys))) (map snd ys))
    point
  apply (rule snoc.IH)
  subgoal using snoc.prem1 by (clarsimp split: prod.splits) (smt UN-I Un-iff
fst-conv image-iff)
  using snoc.prem2-7 by auto
  moreover obtain yn n where ynn: fst y = (yn, n) by fastforce
  moreover have Θ, Γ ⊢ subst-term [(x, snd (fst y)), snd y] (fold (λsingle.
subst-term [single])
    (zip (zip (xs) (map snd (map fst (ys))))
      (map snd (ys))))
    point)
  apply (simp only: ynn) apply (rule inst-var)
  using snoc.prem8 ‹wf-theory Θ› 1 apply (solves simp)+
  using typ-of-y ynn apply (simp add: wt-term-def typ-of-def)
  using snoc.prem9 apply simp
  by (metis (full-types, opaque-lifting) UN-I fst-conv image-eqI)
  moreover have fold (λsingle. subst-term [single])
    (zip (zip (xs @ [x]) (map snd (map fst (ys @ [y]))))
      (map snd (ys @ [y])))
    point = subst-term [(x, snd (fst y)), snd y] (fold (λsingle. subst-term
[single])
    (zip (zip (xs) (map snd (map fst (ys))))
      (map snd (ys))))
    point)
  using snoc.hyps by (induction xs ys rule: list-induct2) simp-all

```

**ultimately show** *?case* **by** *simp*  
**qed**

**from** *0 1 2* **show** *?thesis* **using** *point-def* **by** *simp*  
**qed**

**lemma** *term-ok-eta-red-step*:

$\neg$  *is-dependent* *t*  $\implies$  *term-ok*  $\Theta$  (*Abs T (t \$ Bv 0)*)  $\implies$  *term-ok*  $\Theta$  (*decr 0 t*)  
**unfolding** *term-ok-def wt-term-def* **using** *term-ok'-decr eta-preserves-typ-of* **by**  
*simp blast*

**end**

## 11 Derived rules on equality and normalization

**theory** *EqualityProof*  
**imports** *Logic*  
**begin**

**lemma** *proves-eq-reflexive-pre*:

**assumes** *wf-theory*  $\Theta$   
**assumes** *term-ok*  $\Theta$  *t*  
**shows**  $\Theta, \{\} \vdash$  *mk-eq* *t t*

**proof** –

**have** *eq-reflexive-ax*  $\in$  *axioms*  $\Theta$   
**using** *assms* **by** (*cases*  $\Theta$  *rule: theory-full-exhaust*) *auto*  
**moreover obtain**  $\tau$  **where**  $\tau$ : *typ-of* *t* = *Some*  $\tau$  **using** *assms wt-term-def* **by**

*auto*

**moreover hence** *typ-ok*  $\Theta$   $\tau$  **using** *assms term-ok-imp-typ-ok* **by** *blast*

**ultimately have**  $\Theta, \{\} \vdash$  *subst-typ'* [*((Var (STR '''a''), 0), full-sort),  $\tau$* ] *eq-reflexive-ax*  
**using** *axiom-subst-typ' assms* **by** (*simp del: term-ok-def*)

**hence**  $\Theta, \{\} \vdash$  *subst-term* [*((Var (STR ''x''), 0),  $\tau$ ), t*]  
*(subst-typ' [(Var (STR '''a''), 0), full-sort),  $\tau$ ]) eq-reflexive-ax*  
**using**  $\tau$  *assms(1) assms(2) inst-var* **by** *auto*

**moreover have** *subst-term* [*((Var (STR ''x''), 0),  $\tau$ ), t*]  
*(subst-typ' [(Var (STR '''a''), 0), full-sort),  $\tau$ ]) eq-reflexive-ax*  
 $=$  *mk-eq* *t t*

**using**  $\tau$  **by** (*simp add: eq-axs-def typ-of-def*)

**ultimately show** *?thesis*

**by** *simp*

**qed**

**lemma** *unsimp-context*:  $\Gamma = \{\} \cup \Gamma$   
**by** *simp*

**lemma** *proves-eq-reflexive*:

**assumes** *wf-theory*  $\Theta$

**assumes** *term-ok*  $\Theta$   $t$   
**assumes** *finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta A \forall A \in \Gamma. \text{typ-of } A = \text{Some prop}T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } t t$   
**by** (*subst unsimp-context*) (*use assms proves-eq-reflexive-pre weaken-proves-set*)  
**in** *blast*)

**lemma** *proves-eq-symmetric-pre*:

**assumes** *wf-theory*  $\Theta$   
**assumes** *term-ok*  $\Theta$   $t$   
**assumes** *term-ok*  $\Theta$   $s$   
**assumes** *typ-of*  $s = \text{typ-of } t$   
**shows**  $\Theta, \{\} \vdash \text{mk-eq } s t \mapsto \text{mk-eq } t s$   
**proof** –

**have** *eq-symmetric-ax*  $\in$  *axioms*  $\Theta$   
**using** *assms* **by** (*cases*  $\Theta$  *rule: theory-full-exhaust*) *auto*  
**moreover obtain**  $\tau$  **where**  $\tau: \text{typ-of } t = \text{Some } \tau$  **using** *assms wt-term-def* **by**  
*auto*

**moreover hence** *typ-ok*  $\Theta$   $\tau$  **using** *assms term-ok-imp-typ-ok* **by** *blast*  
**ultimately have**  $\Theta, \{\} \vdash \text{subst-typ}' [((\text{Var } (\text{STR } "'a''), 0), \text{full-sort}), \tau)]$  *eq-symmetric-ax*  
**using** *assms axiom-subst-typ'* **by** (*auto simp del: term-ok-def*)  
**hence**  $\Theta, \{\} \vdash \text{subst-term} [((\text{Var } (\text{STR } "'x''), 0), \tau), s), ((\text{Var } (\text{STR } "'y''), 0), \tau),$   
 $t)]$   
 $(\text{subst-typ}' [((\text{Var } (\text{STR } "'a''), 0), \text{full-sort}), \tau)]$  *eq-symmetric-ax*)  
**using**  $\tau$   $\langle \text{typ-ok } \Theta \tau \rangle$  *term-ok-var assms* **by** (*fastforce intro!: inst-var-multiple*  
*simp add: eq-symmetric-ax-def*)  
**thus** *?thesis*  
**using**  $\tau$  *assms*(4) **by** (*simp add: eq-axs-def typ-of-def*)  
**qed**

**lemma** *proves-eq-symmetric*:

**assumes** *wf-theory*  $\Theta$   
**assumes** *term-ok*  $\Theta$   $t$   
**assumes** *term-ok*  $\Theta$   $s$   
**assumes** *typ-of*  $s = \text{typ-of } t$   
**assumes** *finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta A \forall A \in \Gamma. \text{typ-of } A = \text{Some prop}T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } s t \mapsto \text{mk-eq } t s$   
**by** (*subst unsimp-context*) (*use assms proves-eq-symmetric-pre weaken-proves-set*)  
**in** *blast*)

**lemma** *proves-eq-symmetric2'*:

**assumes** *wf-theory*  $\Theta$   
**assumes** *term-ok*  $\Theta$  (*mk-eq*  $s t$ )  
**assumes** *finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta A \forall A \in \Gamma. \text{typ-of } A = \text{Some prop}T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } s t \mapsto \text{mk-eq } t s$   
**proof** –  
**have** *term-ok*  $\Theta$   $s$  *term-ok*  $\Theta$   $t$   
**using** *assms wt-term-def term-ok-mk-eqD* **by** *blast+*

**moreover have**  $\text{typ-of } s = \text{typ-of } t$   
**using** *assms* **by** (*cases*  $\Theta$  *rule: theory-full-exhaust*)  
*(auto simp add: tinstT-def typ-of-def wt-term-def bind-eq-Some-conv)*  
**ultimately show** *?thesis*  
**using** *proves-eq-symmetric assms* **by** *blast*  
**qed**

**lemma** *proves-eq-symmetric-rule:*

**assumes** *wf-theory*  $\Theta$   
**assumes** *term-ok*  $\Theta$   $t$   
**assumes** *term-ok*  $\Theta$   $s$   
**assumes**  $\text{typ-of } s = \text{typ-of } t$   
**assumes**  $\Theta, \Gamma \vdash \text{mk-eq } s \ t$   
**assumes** *ctxt: finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \text{typ-of } A = \text{Some propT}$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } t \ s$   
**using** *proves.implies-elim*[*OF proves-eq-symmetric*[*OF assms*(1–4), *of*  $\Gamma$ ] *assms*(5),  
*OF ctxt*] **by** *simp*

**lemma** *proves-eq-transitive-pre:*

**assumes** *wf-theory*  $\Theta$   
**assumes** *term-ok*  $\Theta$   $s$   
**assumes** *term-ok*  $\Theta$   $t$   
**assumes** *term-ok*  $\Theta$   $u$   
**assumes**  $\text{typ-of } s = \text{typ-of } t \ \text{typ-of } t = \text{typ-of } u$   
**shows**  $\Theta, \{\} \vdash \text{mk-eq } s \ t \ \mapsto \ \text{mk-eq } t \ u \ \mapsto \ \text{mk-eq } s \ u$

**proof** –

**have** *eq-transitive-ax*  $\in$  *axioms*  $\Theta$   
**using** *assms* **by** (*cases*  $\Theta$  *rule: theory-full-exhaust*) *auto*  
**moreover obtain**  $\tau$  **where**  $\tau: \text{typ-of } t = \text{Some } \tau$  **using** *assms* *wt-term-def* **by**  
*auto*  
**moreover hence** *ok: typ-ok*  $\Theta$   $\tau$  **using** *assms* *term-ok-imp-typ-ok* **by** *blast*  
**ultimately have**  $\Theta, \{\} \vdash \text{subst-typ}' [((\text{Var } (\text{STR } "'a''", 0), \text{full-sort}), \tau)] \ \text{eq-transitive-ax}$   
**using** *assms* *axiom-subst-typ'* **by** (*auto simp del: term-ok-def*)  
**hence**  $\Theta, \{\} \vdash \text{subst-term} [((\text{Var } (\text{STR } "'x''", 0), \tau), s), ((\text{Var } (\text{STR } "'y''", 0), \tau),$   
 $t),$   
 $((\text{Var } (\text{STR } "'z''", 0), \tau), u)]$   
 $(\text{subst-typ}' [((\text{Var } (\text{STR } "'a''", 0), \text{full-sort}), \tau)] \ \text{eq-transitive-ax})$   
**using**  $\tau$  *assms* *ok* *term-ok-var* **by** (*fastforce* *intro!*: *inst-var-multiple simp add:*  
*eq-transitive-ax-def*)  
**moreover have**  $\text{subst-term} [((\text{Var } (\text{STR } "'x''", 0), \tau), s), ((\text{Var } (\text{STR } "'y''", 0),$   
 $\tau), t),$   
 $((\text{Var } (\text{STR } "'z''", 0), \tau), u)]$   
 $(\text{subst-typ}' [((\text{Var } (\text{STR } "'a''", 0), \text{full-sort}), \tau)] \ \text{eq-transitive-ax})$   
 $= \text{mk-eq } s \ t \ \mapsto \ \text{mk-eq } t \ u \ \mapsto \ \text{mk-eq } s \ u$   
**using**  $\tau$  *assms*(5–6) **apply** (*simp* *add: eq-axs-def typ-of-def*)  
**by** (*metis* *option.sel the-default.simps*(2))  
**ultimately show** *?thesis*  
**by** *simp*  
**qed**

**lemma** *proves-eq-transitive*:

**assumes** *wf-theory*  $\Theta$   
**assumes** *term-ok*  $\Theta$   $s$   
**assumes** *term-ok*  $\Theta$   $t$   
**assumes** *term-ok*  $\Theta$   $u$   
**assumes** *typ-of*  $s = \text{typ-of } t$  *typ-of*  $t = \text{typ-of } u$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta A \forall A \in \Gamma. \text{typ-of } A = \text{Some prop } T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } s \ t \mapsto \text{mk-eq } t \ u \mapsto \text{mk-eq } s \ u$   
**by** (*subst unsimp-context*) (*use assms proves-eq-transitive-pre weaken-proves-set*)  
**in** *blast*)

**lemma** *proves-eq-transitive2*:

**assumes** *wf-theory*  $\Theta$   
**assumes** *term-ok*  $\Theta$  (*mk-eq*  $s \ t$ )  
**assumes** *term-ok*  $\Theta$  (*mk-eq*  $t \ u$ )  
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta A \forall A \in \Gamma. \text{typ-of } A = \text{Some prop } T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } s \ t \mapsto \text{mk-eq } t \ u \mapsto \text{mk-eq } s \ u$

**proof** –

**have** *term-ok*  $\Theta$   $s$  *term-ok*  $\Theta$   $t$  *term-ok*  $\Theta$   $u$   
**using** *assms wt-term-def term-ok-mk-eqD* **by** *blast+*  
**moreover** **have** *typ-of*  $s = \text{typ-of } t$   
**using** *assms* **by** (*cases*  $\Theta$  *rule: theory-full-exhaust*)  
(*auto simp add: tinstT-def typ-of-def wt-term-def bind-eq-Some-conv*)  
**moreover** **have** *typ-of*  $t = \text{typ-of } u$   
**using** *assms* **by** (*cases*  $\Theta$  *rule: theory-full-exhaust*)  
(*auto simp add: tinstT-def typ-of-def wt-term-def bind-eq-Some-conv*)  
**ultimately show** *?thesis* **using** *proves-eq-transitive assms* **by** *blast*  
**qed**

**lemma** *proves-eq-transitive-rule*:

**assumes** *wf-theory*  $\Theta$   
**assumes** *term-ok*  $\Theta$   $s$   
**assumes** *term-ok*  $\Theta$   $t$   
**assumes** *term-ok*  $\Theta$   $u$   
**assumes** *typ-of*  $s = \text{typ-of } t$  *typ-of*  $t = \text{typ-of } u$   
**assumes**  $\Theta, \Gamma \vdash \text{mk-eq } s \ t$   $\Theta, \Gamma \vdash \text{mk-eq } t \ u$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta A \forall A \in \Gamma. \text{typ-of } A = \text{Some prop } T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } s \ u$

**proof** –

**note**  $1 = \text{proves-eq-transitive}[OF \text{assms}(1-6), \text{of } \Gamma]$   
**note**  $2 = \text{proves.implies-elim}[OF 1 \text{assms}(7)]$   
**note**  $3 = \text{proves.implies-elim}[OF 2 \text{assms}(8)]$   
**thus** *?thesis* **using** *ctxt* **by** *simp*

**qed**

**lemma** *proves-eq-intr-pre*:

**assumes** *thy: wf-theory*  $\Theta$   
**assumes**  $A: \text{term-ok } \Theta A$  *typ-of*  $A = \text{Some prop } T$



**assumes**  $B$ : *term-ok*  $\Theta$   $B$  *typ-of*  $B = \text{Some prop}T$   
**shows**  $\Theta, \{\} \vdash (A \mapsto B) \mapsto (B \mapsto A) \mapsto \text{mk-eq } A \ B$   
**proof** –  
**have** *closed*: *is-closed*  $A$  *is-closed*  $B$   
**using** *assms*(3) *assms*(5) *typ-of-imp-closed* **by** *auto*  
**have** *eq-intr-ax*  $\in$  *axioms*  $\Theta$   
**using** *thy* **by** (*cases*  $\Theta$  *rule*: *theory-full-exhaust*) *auto*  
  
**hence**  $1$ :  $\Theta, \{\} \vdash \text{eq-intr-ax}$   
**by** (*simp* *add*: *axiom' thy*)  
**hence**  $\Theta, \{\} \vdash \text{subst-term } [((\text{Var } (\text{STR } "A", 0), \text{prop}T), A), ((\text{Var } (\text{STR } "B", 0), \text{prop}T), B)]$   
*eq-intr-ax*  
**using** *assms* *term-ok-var* *propT-ok* **by** (*fastforce* *intro!*: *inst-var-multiple* *simp* *add*: *eq-intr-ax-def*)  
**thus** *?thesis* **using** *assms* **by** (*simp* *add*: *eq-axs-def* *typ-of-def*)  
**qed**

**lemma** *proves-eq-intr*:  
**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes**  $A$ : *term-ok*  $\Theta$   $A$  *typ-of*  $A = \text{Some prop}T$   
**assumes**  $B$ : *term-ok*  $\Theta$   $B$  *typ-of*  $B = \text{Some prop}T$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta \ A \forall A \in \Gamma. \text{typ-of } A = \text{Some prop}T$   
**shows**  $\Theta, \Gamma \vdash (A \mapsto B) \mapsto (B \mapsto A) \mapsto \text{mk-eq } A \ B$   
**by** (*subst* *unsimp-context*) (*use* *assms* *proves-eq-intr-pre* *weaken-proves-set* **in** *blast*)

**lemma** *proves-eq-intr-rule*:  
**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes**  $A$ : *term-ok*  $\Theta$   $A$  *typ-of*  $A = \text{Some prop}T$   
**assumes**  $B$ : *term-ok*  $\Theta$   $B$  *typ-of*  $B = \text{Some prop}T$   
**assumes**  $\Theta, \Gamma \vdash (A \mapsto B) \Theta, \Gamma \vdash (B \mapsto A)$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta \ A \forall A \in \Gamma. \text{typ-of } A = \text{Some prop}T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } A \ B$   
**proof** –  
**note**  $1 = \text{proves-eq-intr}[OF \text{assms}(1-5), \text{of } \Gamma]$   
**note**  $2 = \text{proves.implies-elim}[OF \ 1 \ \text{assms}(6)]$   
**note**  $3 = \text{proves.implies-elim}[OF \ 2 \ \text{assms}(7)]$   
**thus** *?thesis* **using** *ctxt* **by** *simp*  
**qed**

**lemma** *proves-eq-elim-pre*:  
**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes**  $A$ : *term-ok*  $\Theta$   $A$  *typ-of*  $A = \text{Some prop}T$   
**assumes**  $B$ : *term-ok*  $\Theta$   $B$  *typ-of*  $B = \text{Some prop}T$   
**shows**  $\Theta, \{\} \vdash \text{mk-eq } A \ B \mapsto A \mapsto B$   
**proof** –  
**have** *closed*: *is-closed*  $A$  *is-closed*  $B$   
**by** (*simp-all* *add*: *assms*(3) *assms*(5) *typ-of-imp-closed*)

```

have eq-elim-ax ∈ axioms Θ
  using thy by (cases Θ rule: theory-full-exhaust) auto
hence 1: Θ, {} ⊢ eq-elim-ax
  by (simp add: axiom' thy)
hence Θ, {} ⊢ subst-term [((Var (STR "A", 0), propT), A), ((Var (STR "B",
0), propT), B)]
  eq-elim-ax
  using assms term-ok-var propT-ok by (fastforce intro!: inst-var-multiple simp
add: eq-elim-ax-def)
  thus ?thesis
  using assms by (simp add: eq-axs-def typ-of-def)
qed

```

**lemma** *proves-eq-elim*:

```

assumes thy: wf-theory Θ
assumes A: term-ok Θ A typ-of A = Some propT
assumes B: term-ok Θ B typ-of B = Some propT
assumes ctxt: finite Γ ∀ A∈Γ. term-ok Θ A ∀ A∈Γ. typ-of A = Some propT
shows Θ, Γ ⊢ mk-eq A B ⟶ A ⟶ B
  by (subst unsimp-context) (use assms proves-eq-elim-pre weaken-proves-set in
blast)

```

**lemma** *proves-eq-elim-rule*:

```

assumes thy: wf-theory Θ
assumes A: term-ok Θ A typ-of A = Some propT
assumes B: term-ok Θ B typ-of B = Some propT
assumes Θ, Γ ⊢ mk-eq A B
assumes ctxt: finite Γ ∀ A∈Γ. term-ok Θ A ∀ A∈Γ. typ-of A = Some propT
shows Θ, Γ ⊢ A ⟶ B
  using proves.implies-elim[OF proves-eq-elim[OF assms(1–5)]] assms(6), of Γ,
OF ctxt] by simp

```

**lemma** *proves-eq-elim2-rule*:

```

assumes thy: wf-theory Θ
assumes A: term-ok Θ A typ-of A = Some propT
assumes B: term-ok Θ B typ-of B = Some propT
assumes Θ, Γ ⊢ mk-eq A B
assumes ctxt: finite Γ ∀ A∈Γ. term-ok Θ A ∀ A∈Γ. typ-of A = Some propT
shows Θ, Γ ⊢ B ⟶ A

```

**proof** –

```

  have Θ, Γ ⊢ mk-eq B A
    by (rule proves-eq-symmetric-rule) (use assms in simp-all)
  thus ?thesis by (intro proves-eq-elim-rule) (use assms in simp-all)

```

**qed**

**lemma** *proves-eq-combination-pre*:

```

assumes thy: wf-theory Θ
assumes f: term-ok Θ f typ-of f = Some (τ → τ')
assumes g: term-ok Θ g typ-of g = Some (τ → τ')

```

**assumes**  $x$ : *term-ok*  $\Theta$   $x$  *typ-of*  $x = \text{Some } \tau$   
**assumes**  $y$ : *term-ok*  $\Theta$   $y$  *typ-of*  $y = \text{Some } \tau$   
**shows**  $\Theta, \{\} \vdash \text{mk-eq } f \ g \mapsto \text{mk-eq } x \ y \mapsto \text{mk-eq } (f \ \$ \ x) \ (g \ \$ \ y)$   
**proof** –  
**have**  $ok$ : *typ-ok*  $\Theta$   $\tau$  *typ-ok*  $\Theta$   $(\tau \rightarrow \tau')$  *typ-ok*  $\Theta$   $\tau'$   
**using** *term-ok-betapply term-ok-imp-typ-ok thy typ-of-betapply thy x f* **by** *blast+*  
  
**have** *eq-combination-ax*  $\in$  *axioms*  $\Theta$   
**using** *thy* **by** (*cases*  $\Theta$  *rule: theory-full-exhaust*) *auto*  
**moreover** **have** *typ-ok*  $\Theta$   $\tau$  *typ-ok*  $\Theta$   $\tau'$   
**using** *assms term-ok-imp-typ-ok thy term-ok-betapply typ-of-betapply* **by** *meson+*  
**ultimately** **have**  $1$ :  $\Theta, \{\} \vdash \text{subst-typ}'$   
 $[[(\text{Var } (\text{STR } "'a''", 0), \text{full-sort}), \tau), ((\text{Var } (\text{STR } "'b''", 0), \text{full-sort}), \tau')]$   
*eq-combination-ax*  
**using** *assms axiom-subst-typ'* **by** (*simp del: term-ok-def*)  
**hence**  $\Theta, \{\} \vdash \text{subst-term}$   
 $[[(\text{Var } (\text{STR } "'f''", 0), \tau \rightarrow \tau'), f), ((\text{Var } (\text{STR } "'g''", 0), \tau \rightarrow \tau'), g),$   
 $((\text{Var } (\text{STR } "'x''", 0), \tau), x), ((\text{Var } (\text{STR } "'y''", 0), \tau), y)]$   
 $(\text{subst-typ}' [((\text{Var } (\text{STR } "'a''", 0), \text{full-sort}), \tau), ((\text{Var } (\text{STR } "'b''", 0), \text{full-sort}),$   
 $\tau')])$   
*eq-combination-ax*  
**using** *assms term-ok-var ok* **by** (*fastforce intro!: inst-var-multiple simp add:*  
*eq-combination-ax-def*)  
**thus** *?thesis*  
**using** *assms* **by** (*simp add: eq-axs-def typ-of-def*)  
**qed**

**lemma** *proves-eq-combination:*

**assumes** *thy: wf-theory*  $\Theta$   
**assumes**  $f$ : *term-ok*  $\Theta$   $f$  *typ-of*  $f = \text{Some } (\tau \rightarrow \tau')$   
**assumes**  $g$ : *term-ok*  $\Theta$   $g$  *typ-of*  $g = \text{Some } (\tau \rightarrow \tau')$   
**assumes**  $x$ : *term-ok*  $\Theta$   $x$  *typ-of*  $x = \text{Some } \tau$   
**assumes**  $y$ : *term-ok*  $\Theta$   $y$  *typ-of*  $y = \text{Some } \tau$   
**assumes** *ctxt: finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta \ A \forall A \in \Gamma. \text{typ-of } A = \text{Some } \text{prop } T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } f \ g \mapsto \text{mk-eq } x \ y \mapsto \text{mk-eq } (f \ \$ \ x) \ (g \ \$ \ y)$   
**by** (*subst unsimp-context*) (*use assms proves-eq-combination-pre weaken-proves-set*  
**in** *blast*)

**lemma** *proves-eq-combination-rule:*

**assumes** *thy: wf-theory*  $\Theta$   
**assumes**  $f$ : *term-ok*  $\Theta$   $f$  *typ-of*  $f = \text{Some } (\tau \rightarrow \tau')$   
**assumes**  $g$ : *term-ok*  $\Theta$   $g$  *typ-of*  $g = \text{Some } (\tau \rightarrow \tau')$   
**assumes**  $x$ : *term-ok*  $\Theta$   $x$  *typ-of*  $x = \text{Some } \tau$   
**assumes**  $y$ : *term-ok*  $\Theta$   $y$  *typ-of*  $y = \text{Some } \tau$   
**assumes**  $\Theta, \Gamma \vdash \text{mk-eq } f \ g \ \Theta, \Gamma \vdash \text{mk-eq } x \ y$   
**assumes** *ctxt: finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta \ A \forall A \in \Gamma. \text{typ-of } A = \text{Some } \text{prop } T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } (f \ \$ \ x) \ (g \ \$ \ y)$   
**proof** –

**note** 1 = *proves-eq-combination*[*OF assms(1-9)*, of  $\Gamma$ ]  
**note** 2 = *proves.implies-elim*[*OF 1 assms(10)*]  
**note** 3 = *proves.implies-elim*[*OF 2 assms(11)*]  
**thus** *?thesis using ctxt by simp*  
**qed**

**lemma** *proves-eq-combination-rule-better*:  
**assumes** *thy: wf-theory*  $\Theta$   
**assumes**  $\Theta, \Gamma \vdash mk\text{-}eq\ f\ g\ \Theta, \Gamma \vdash mk\text{-}eq\ x\ y$   
**assumes** *f*: *typ-of* *f* = *Some* ( $\tau \rightarrow \tau'$ )  
**assumes** *x*: *typ-of* *x* = *Some*  $\tau$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. term\text{-}ok\ \Theta\ A \forall A \in \Gamma. typ\text{-}of\ A = Some\ propT$   
**shows**  $\Theta, \Gamma \vdash mk\text{-}eq\ (f\ \$\ x)\ (g\ \$\ y)$   
**proof** –  
**have** *ok-Apps*: *term-ok*  $\Theta\ (mk\text{-}eq\ f\ g)\ term\text{-}ok\ \Theta\ (mk\text{-}eq\ x\ y)$   
**using** *assms(2-3) proved-terms-well-formed-pre* **by** *auto*  
**hence** *tyy*: *typ-of* *y* = *Some*  $\tau$  **and** *tyg*: *typ-of* *g* = *Some* ( $\tau \rightarrow \tau'$ )  
**using** *term-ok-mk-eq-same-typ thy x f term-okD1* **by** *metis+*  
**moreover** **have** *term-ok*  $\Theta\ x\ term\text{-}ok\ \Theta\ y\ term\text{-}ok\ \Theta\ f\ term\text{-}ok\ \Theta\ g$   
**using** *ok-Apps term-ok-mk-eqD* **by** *blast+*  
**ultimately show** *?thesis using proves-eq-combination-rule assms* **by** *simp*  
**qed**

**lemma** *proves-eq-mp-rule*:  
**assumes** *thy: wf-theory*  $\Theta$   
**assumes** *A*: *term-ok*  $\Theta\ A\ typ\text{-}of\ A = Some\ propT$   
**assumes** *B*: *term-ok*  $\Theta\ B\ typ\text{-}of\ B = Some\ propT$   
**assumes** *eq*:  $\Theta, \Gamma \vdash mk\text{-}eq\ A\ B$   
**assumes** *pA*:  $\Theta, \Gamma \vdash A$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. term\text{-}ok\ \Theta\ A \forall A \in \Gamma. typ\text{-}of\ A = Some\ propT$   
**shows**  $\Theta, \Gamma \vdash B$   
**proof** –  
**have**  $\Theta, \Gamma \vdash A \mapsto B$  **using** *proves-eq-elim-rule[OF assms(1-5) eq ctxt]* .  
**thus**  $\Theta, \Gamma \vdash B$  **using** *proves.implies-elim pA* **by** *fastforce*  
**qed**

**lemma** *proves-eq-mp-rule-better*:  
**assumes** *thy: wf-theory*  $\Theta$   
**assumes** *eq*:  $\Theta, \Gamma \vdash mk\text{-}eq\ A\ B$   
**assumes** *pA*:  $\Theta, \Gamma \vdash A$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. term\text{-}ok\ \Theta\ A \forall A \in \Gamma. typ\text{-}of\ A = Some\ propT$   
**shows**  $\Theta, \Gamma \vdash B$   
**by** (*metis ctxt eq pA proved-terms-well-formed(1) proved-terms-well-formed(2)*)  
*proves-eq-mp-rule term-ok-mk-eqD term-ok-mk-eq-same-typ thy*

**lemma** *proves-subst-rule*:  
**assumes** *thy: wf-theory*  $\Theta$   
**assumes** *x*: *term-ok*  $\Theta\ x\ typ\text{-}of\ x = Some\ \tau$   
**assumes** *y*: *term-ok*  $\Theta\ y\ typ\text{-}of\ y = Some\ \tau$

**assumes**  $P$ : *term-ok*  $\Theta$   $P$  *typ-of*  $P = \text{Some } (\tau \rightarrow \text{prop}T)$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma . \text{term-ok } \Theta A \forall A \in \Gamma . \text{typ-of } A = \text{Some prop}T$   
**assumes** *eq*:  $\Theta, \Gamma \vdash \text{mk-eq } x y$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } (P \$ x) (P \$ y)$   
**proof** –  
**have**  $\Theta, \Gamma \vdash \text{mk-eq } P P$  **using** *assms proves-eq-reflexive* **by** *blast*  
**thus** *?thesis* **using** *proves-eq-combination-rule assms* **by** *blast*  
**qed**

**lemma** *proves-beta-step-rule*:

**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes** *abs*: *term-ok*  $\Theta$   $(\text{Abs } T t) \Theta, \Gamma \vdash (\text{Abs } T t) \$ x$   
**assumes** *x*: *term-ok*  $\Theta$   $x$  *typ-of*  $x = \text{Some } T$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma . \text{term-ok } \Theta A \forall A \in \Gamma . \text{typ-of } A = \text{Some prop}T$   
**shows**  $\Theta, \Gamma \vdash \text{subst-bv } x t$   
**proof** –  
**have**  $\Theta, \Gamma \vdash \text{mk-eq } ((\text{Abs } T t) \$ x) (\text{subst-bv } x t)$   
**using** *proves. $\beta$ -conversion assms* **by** (*simp add: term-okD1*)  
**moreover** **have** *term-ok*  $\Theta$   $(\text{Abs } T t \$ x)$  **and** *tyAbs*: *typ-of*  $(\text{Abs } T t \$ x) = \text{Some prop}T$   
*Some prop}T*  
**using** *abs(2) proved-terms-well-formed* **by** *simp-all*  
**moreover** **have** *tySub*: *typ-of*  $(\text{subst-bv } x t) = \text{Some prop}T$   
**using** *tyAbs unfolding subst-bv-def typ-of-def*  
**using** *typ-of1-subst-bv-gen'* **by** (*auto simp add: bind-eq-Some-conv split: if-splits*)  
**moreover** **have** *term-ok*  $\Theta$   $(\text{subst-bv } x t)$   
**proof** –  
**have** *term-ok'*  $(\text{sig } \Theta) t$   
**using** *assms(2) term-ok'.simps(5) wt-term-def term-ok-def* **by** *blast*  
**hence** *term-ok'*  $(\text{sig } \Theta) (\text{subst-bv } x t)$   
**using** *term-ok'-subst-bv1 x(1)* **by** (*simp add: term-okD1 subst-bv-def*)  
**thus** *?thesis*  
**using**  $x(1)$  *wt-term-def term-ok'-subst-bv1 subst-bv-def tySub term-okD1* **by**  
*simp*  
**qed**  
**ultimately show** *?thesis* **apply** –  
**apply** (*rule proves-eq-mp-rule*[**where**  $A = (\text{Abs } T t) \$ x$ ])  
**using** *assms* **by** *simp-all*  
**qed**

**lemma** *proves-add-param-rule*:

**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes** *ctxt*: *finite*  $\Gamma$   
**assumes** *eq*:  $\Theta, \Gamma \vdash \text{mk-eq } f g$  *typ-of*  $f = \text{Some } (\tau \rightarrow \tau')$   
**assumes** *type*: *typ-ok*  $\Theta \tau$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma . \text{term-ok } \Theta A \forall A \in \Gamma . \text{typ-of } A = \text{Some prop}T$   
**shows**  $\Theta, \Gamma \vdash (\text{Ct STR "Pure.all" } ((\tau \rightarrow \text{prop}T) \rightarrow \text{prop}T) \$$   
 $(\text{Abs } \tau (\text{mk-eq}' \tau' (f \$ \text{Bv } 0) (g \$ \text{Bv } 0))))$

**proof** –

**have** *term-ok*: *term-ok*  $\Theta$  (*mk-eq* *f g*)  
  **using** *eq(1)* *proved-terms-well-formed-pre* **by** *blast*  
**hence** *term-ok'*: *term-ok*  $\Theta$  *f term-ok*  $\Theta$  *g*  
  **apply** (*simp* *add: eq(2) wt-term-def*)  
  **using**  $\langle$ *term-ok*  $\Theta$  (*mk-eq* *f g*) $\rangle$  *wt-term-def typ-of-def term-ok-app-eqD* **by** *blast*  
**hence** *typ-of f = typ-of g*  
  **using** *thy term-ok* **by** (*cases*  $\Theta$  *rule: theory-full-exhaust*)  
  (*auto simp add: tinstT-def typ-of-def wt-term-def bind-eq-Some-conv*)  
**hence** *type'*: *typ-of g = Some* ( $\tau \rightarrow \tau'$ )  
  **using** *eq(2)* **by** *simp*

**obtain** *x* **where**  $x \notin \text{fst } \langle$  (*fv* (*mk-eq* *f g*)  $\cup$  *FV*  $\Gamma$ )  
  **using** *finite-fv finite-FV infinite-fv-UNIV variant-variable-fresh ctxt*  
  **by** (*meson finite-Un finite-imageI*)  
**hence** *free: (x,  $\tau$ )  $\notin$  fv (mk-eq f g)  $\cup$  FV  $\Gamma$*   
  **by** *force*  
**hence**  $\Theta, \Gamma \vdash$  *mk-eq* (*Fv* *x  $\tau$* ) (*Fv* *x  $\tau$* )  
  **using** *ctxt proves-eq-reflexive term-ok-var thy type* **by** *presburger*  
**hence**  $\Theta, \Gamma \vdash$  *mk-eq* (*f*  $\$$  *Fv* *x  $\tau$* ) (*g*  $\$$  *Fv* *x  $\tau$* )  
  **apply** –  
  **apply** (*rule proves-eq-combination-rule*[**where**  $\tau' = \tau'$ ])  
  **using** *assms term-ok' type'* **by** (*simp-all del: term-ok-def*)  
**hence**  $\Theta, \Gamma \vdash$  *mk-all* *x  $\tau$*  (*mk-eq* (*f*  $\$$  *Fv* *x  $\tau$* ) (*g*  $\$$  *Fv* *x  $\tau$* ))  
  **apply** –  
  **apply** (*rule proves.forall-intro*)  
  **using** *thy eq type free* **by** *simp-all*  
**moreover** **have** *mk-all* *x  $\tau$*  (*mk-eq* (*f*  $\$$  *Fv* *x  $\tau$* ) (*g*  $\$$  *Fv* *x  $\tau$* ))  
  = (*Ct STR "Pure.all" (( $\tau \rightarrow \text{propT}$ )  $\rightarrow$  *propT*)  $\$$*   
  (*Abs*  $\tau$  (*mk-eq'*  $\tau'$  (*f*  $\$$  *Bv* *0*) (*g*  $\$$  *Bv* *0*))))  
  **using** *free eq type type' bind-fv2-changed*  
  **by** (*fastforce simp add: bind-fv-def bind-fv-unchanged typ-of-def*)  
**ultimately show** *?thesis*  
  **by** *simp*

**qed**

**lemma** *proves-add-abs-rule*:

**assumes** *thy: wf-theory*  $\Theta$   
**assumes** *ctxt: finite*  $\Gamma$   
**assumes** *eq*:  $\Theta, \Gamma \vdash$  *mk-eq* *f g typ-of f = Some* ( $\tau \rightarrow \tau'$ )  
**assumes** *type: typ-ok*  $\Theta$   $\tau$   
**assumes** *ctxt: finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta A \forall A \in \Gamma. \text{typ-of } A = \text{Some propT}$   
**shows**  $\Theta, \Gamma \vdash$  *mk-eq* (*Abs*  $\tau$  (*f*  $\$$  *Bv* *0*)) (*Abs*  $\tau$  (*g*  $\$$  *Bv* *0*))

**proof** –

**have** *ok*: *term-ok*  $\Theta$  *f term-ok*  $\Theta$  *g*  
  **using** *eq(1)* *proved-terms-well-formed(2) term-ok-mk-eqD* **by** *blast+*  
**have** *g-ty: typ-of g = Some* ( $\tau \rightarrow \tau'$ )  
  **by** (*metis eq(1) eq(2) proved-terms-well-formed(2) term-ok-mk-eq-same-ty*  
*thy*)

**hence** *closed*: *is-closed* *f* *is-closed* *g*  
**using** *eq(2)* *typ-of-imp-closed* **by** *blast+*

**have** *ok'*: *term-ok*  $\Theta$  (*Abs*  $\tau$  (*f* \$ *Bv* 0)) *term-ok*  $\Theta$  (*Abs*  $\tau$  (*g* \$ *Bv* 0))  
**using** *type term-ok-eta-expand ok thy eq(2) g-ty* **by** *blast+*

**have** *ok-ind*: *wf-term* (*sig*  $\Theta$ ) *f* *wf-term* (*sig*  $\Theta$ ) *g*  
**using** *ok wt-term-def* **by** *simp-all*

**note** 1 = *proves.eta*[*OF thy ok-ind(1) typ-of-imp-has-typ*[*OF eq(2)*], *of*  $\Gamma$ ]  
**note** 2 = *proves.eta*[*OF thy ok-ind(2) typ-of-imp-has-typ*[*OF g-ty*], *of*  $\Gamma$ ]

**have** *simp'*: *subst-bv* *x* *f* = *f* *subst-bv* *x* *g* = *g* **for** *x*  
**using** *ok term-ok-subst-bv-no-change* **by** *auto*

**have** *s2*:  $\Theta, \Gamma \vdash$  *mk-eq* *g* (*Abs*  $\tau$  (*g* \$ *Bv* 0))  
**apply** (*rule proves-eq-symmetric-rule*)  
**using** 2 *ok'(2) ok(2) thy typ-of-eta-expand*[*OF g-ty*] *g-ty ctxt* **by** (*simp-all add: simp'(2)*)

**have** *tr1*:  $\Theta, \Gamma \vdash$  *mk-eq* (*Abs*  $\tau$  (*f* \$ *Bv* 0)) *g*  
**using** 1 *eq(1) g-ty ok'(1) ok(1) ok(2) proves-eq-transitive-rule*[*OF thy* - - - -  
- - *ctxt*]  
*typ-of-eta-expand*[*OF eq(2)*] *eq(2)* **by** (*fastforce simp add: simp'(1)*)

**show** *?thesis*  
**using** *tr1 s2 proves-eq-transitive-rule*[*OF thy ok'(1) ok(2) ok'(2)*] *typ-of-eta-expand*  
*eq(2) g-ty*  
*ctxt*  
**by** *simp*

**qed**

**lemma** *proves-inst-bound-rule*:  
**assumes** *thy: wf-theory*  $\Theta$   
**assumes** *ctxt: finite*  $\Gamma \forall A \in \Gamma .$  *term-ok*  $\Theta$  *A*  $\forall A \in \Gamma .$  *typ-of* *A* = *Some propT*  
**assumes** *eq*:  $\Theta, \Gamma \vdash$  *mk-eq* (*Abs*  $\tau$  *f*) (*Abs*  $\tau$  *g*) *typ-of* (*Abs*  $\tau$  *f*) = *Some* ( $\tau \rightarrow$   
 $\tau'$ )  
**assumes** *x*: *term-ok*  $\Theta$  *x* *typ-of* *x* = *Some*  $\tau$   
**assumes** *ctxt: finite*  $\Gamma \forall A \in \Gamma .$  *term-ok*  $\Theta$  *A*  $\forall A \in \Gamma .$  *typ-of* *A* = *Some propT*  
**shows**  $\Theta, \Gamma \vdash$  *mk-eq* (*subst-bv* *x* *f*) (*subst-bv* *x* *g*)

**proof** –  
**have** *term-ok*  $\Theta$  (*mk-eq* (*Abs*  $\tau$  *f*) (*Abs*  $\tau$  *g*))  
**using** *eq(1) proved-terms-well-formed(2)* **by** *blast*  
**hence** *term-ok*  $\Theta$  (*Abs*  $\tau$  *f*) *term-ok*  $\Theta$  (*Abs*  $\tau$  *g*)  
**using** *term-ok-mk-eqD* **by** *blast+*  
**hence** *typ-of* (*Abs*  $\tau$  *f*) = *typ-of* (*Abs*  $\tau$  *g*)  
**using** *thy*  $\langle$ *term-ok*  $\Theta$  (*mk-eq* (*Abs*  $\tau$  *f*) (*Abs*  $\tau$  *g*)) $\rangle$  **by** (*cases*  $\Theta$  *rule: the-*  
*ory-full-exhaust*)  
(*auto simp add: tinstT-def typ-of-def wt-term-def bind-eq-Some-conv*)

**hence**  $\text{typ-of } (Abs \ \tau \ g) = \text{Some } (\tau \rightarrow \tau')$   
**using**  $\text{eq}(2)$  **by**  $\text{simp}$

**have**  $\Theta, \Gamma \vdash \text{mk-eq } x \ x$   
**by**  $(\text{simp add: ctxt proves-eq-reflexive thy } x(1) \text{ del: term-ok-def})$   
**hence**  $1: \Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau \ f \ \$ \ x) \ (Abs \ \tau \ g \ \$ \ x)$   
**using**  $\text{proves-eq-combination-rule}[OF \ \text{thy } \langle \text{term-ok } \Theta \ (Abs \ \tau \ f) \rangle \ \text{eq}(2) \ \langle \text{term-ok } \Theta \ (Abs \ \tau \ g) \rangle]$   
 $\langle \text{typ-of } (Abs \ \tau \ g) = \text{Some } (\tau \rightarrow \tau') \rangle \ x \ x \ \text{eq}(1) - \text{ctxt}]$   
**by**  $\text{blast}$

**have**  $\Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau \ f \ \$ \ x) \ (\text{subst-bv } x \ f)$   
**apply**  $(\text{rule } \beta\text{-conversion})$   
**using**  $\text{thy } x \ \langle \text{term-ok } \Theta \ (Abs \ \tau \ f) \rangle$  **by**  $(\text{simp-all add: wt-term-def})$

**have**  $\text{term-ok } \Theta \ (Abs \ \tau \ f \ \$ \ x)$  **using**  $\langle \text{term-ok } \Theta \ (Abs \ \tau \ f) \rangle \ x$   
 $\langle \Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau \ f \ \$ \ x) \ (Abs \ \tau \ g \ \$ \ x) \rangle \ \text{proved-terms-well-formed}(1)$   
 $\text{wt-term-def typ-of1-split-App-obtains typ-of-def}$   
**by**  $(\text{meson proved-terms-well-formed}(2) \ \text{term-ok-mk-eqD})$   
**have**  $\text{term-ok } \Theta \ (Abs \ \tau \ g \ \$ \ x)$  **using**  $\langle \text{term-ok } \Theta \ (Abs \ \tau \ g) \rangle \ x$   
 $\langle \Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau \ f \ \$ \ x) \ (Abs \ \tau \ g \ \$ \ x) \rangle \ \text{proved-terms-well-formed}(1)$   
 $\text{wt-term-def typ-of1-split-App-obtains typ-of-def}$   
**by**  $(\text{meson proved-terms-well-formed}(2) \ \text{term-ok-mk-eqD})$

**have**  $\text{typ-of } (\text{subst-bv } x \ f) = \text{Some } \tau'$   
**using**  $\langle \text{typ-of } (Abs \ \tau \ f) = \text{Some } (\tau \rightarrow \tau') \rangle \ x(2) \ \text{typ-of-def } \text{typ-of-betapply}$  **by**  
 $\text{auto}$

**moreover have**  $\text{term-ok}' \ (\text{sig } \Theta) \ (\text{subst-bv } x \ f)$   
**using**  $\langle \text{term-ok } \Theta \ (Abs \ \tau \ f) \rangle \ \text{substn-subst-0}' \ \text{term-ok}'\text{-subst-bv2}$   $\text{wt-term-def}$   
 $x(1)$  **by**  $\text{auto}$

**ultimately have**  $\text{term-ok } \Theta \ (\text{subst-bv } x \ f)$   
**by**  $(\text{simp add: wt-term-def})$

**have**  $\text{typ-of } (Abs \ \tau \ f \ \$ \ x) = \text{typ-of } (\text{subst-bv } x \ f)$   
**using**  $\langle \text{typ-of } (Abs \ \tau \ f) = \text{typ-of } (Abs \ \tau \ g) \rangle \ \text{typ-of-def } \langle \text{typ-of } (Abs \ \tau \ g) = \text{Some } (\tau \rightarrow \tau') \rangle$   
 $\langle \text{typ-of } (\text{subst-bv } x \ f) = \text{Some } \tau' \rangle \ \text{typ-of-Abs-body-typ}' \ x(2)$  **by**  $\text{fastforce}$

**have**  $\text{typ-of } (Abs \ \tau \ f \ \$ \ x) = \text{typ-of } (Abs \ \tau \ g \ \$ \ x)$   
**using**  $\langle \text{typ-of } (Abs \ \tau \ f) = \text{typ-of } (Abs \ \tau \ g) \rangle \ \text{typ-of-def}$  **by**  $\text{auto}$

**have**  $2: \Theta, \Gamma \vdash \text{mk-eq } (\text{subst-bv } x \ f) \ (Abs \ \tau \ f \ \$ \ x)$   
**apply** – **apply**  $(\text{rule } \text{proves-eq-symmetric-rule})$   
**using**  $\text{thy apply blast}$   
**using**  $\langle \text{term-ok } \Theta \ (\text{subst-bv } x \ f) \rangle$  **apply**  $\text{blast}$   
**using**  $\langle \text{term-ok } \Theta \ (Abs \ \tau \ f \ \$ \ x) \rangle$  **apply**  $\text{blast}$   
**using**  $\langle \text{typ-of } (Abs \ \tau \ f \ \$ \ x) = \text{typ-of } (\text{subst-bv } x \ f) \rangle$  **apply**  $\text{blast}$   
**using**  $\langle \Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau \ f \ \$ \ x) \ (\text{subst-bv } x \ f) \rangle$  **apply**  $\text{blast}$   
**using**  $\text{ctxt}$  **by**  $\text{blast+}$



**have**  $\beta$ :  $\Theta, \Gamma \vdash mk\text{-}eq (Abs \tau g \$ x) (subst\text{-}bv x g)$   
**apply** *(rule  $\beta$ -conversion)*  
**using** *thy x*  $\langle term\text{-}ok \Theta (Abs \tau g) \rangle$  **by** *(simp-all add: wt-term-def)*

**have** *term-ok*  $\Theta (subst\text{-}bv x g)$   
**using**  $\langle term\text{-}ok \Theta (Abs \tau g \$ x) \rangle \langle term\text{-}ok \Theta (Abs \tau g) \rangle \langle typ\text{-}of (Abs \tau f \$ x) \rangle$   
 $= typ\text{-}of (Abs \tau g \$ x) \langle typ\text{-}of (Abs \tau f \$ x) = typ\text{-}of (subst\text{-}bv x f) \rangle \langle typ\text{-}of (Abs \tau g) = Some (\tau \rightarrow \tau') \rangle$   
 $\langle typ\text{-}of (subst\text{-}bv x f) = Some \tau' \rangle betapply.simps(1) subst\text{-}bv\text{-}def term\text{-}ok'.simps(5)$   
 $term\text{-}ok'\text{-}subst\text{-}bv1 wt\text{-}term\text{-}def typ\text{-}of\text{-}betapply x(1) x(2)$   
**by** *(meson  $\beta$  proved-terms-well-formed(2) term-ok-mk-eqD)*

**have**  $typ\text{-}of (subst\text{-}bv x f) = typ\text{-}of (Abs \tau g \$ x)$   
**using**  $\langle typ\text{-}of (Abs \tau f \$ x) = typ\text{-}of (Abs \tau g \$ x) \rangle$   
 $\langle typ\text{-}of (Abs \tau f \$ x) = typ\text{-}of (subst\text{-}bv x f) \rangle$  **by** *auto*

**have**  $typ\text{-}of (Abs \tau g \$ x) = typ\text{-}of (subst\text{-}bv x g)$   
**using**  $\langle typ\text{-}of (Abs \tau f) = typ\text{-}of (Abs \tau g) \rangle eq(2) typ\text{-}of\text{-}betapply typ\text{-}of\text{-}def$   
 $x(2)$  **by** *auto*

**have**  $c1$ :  $\Theta, \Gamma \vdash mk\text{-}eq (subst\text{-}bv x f) (Abs \tau g \$ x)$   
**apply** *(rule proves-eq-transitive-rule[where  $t=Abs \tau f \$ x$ ];*  
*(use assms 1 2  $\langle term\text{-}ok \Theta (subst\text{-}bv x f) \rangle$  in  $\langle solves simp \rangle$ ?)*  
**using**  $\langle term\text{-}ok \Theta (Abs \tau f \$ x) \rangle$  **apply** *blast*  
**using**  $\langle term\text{-}ok \Theta (Abs \tau g \$ x) \rangle$  **apply** *blast*  
**using**  $\langle typ\text{-}of (Abs \tau f \$ x) = typ\text{-}of (subst\text{-}bv x f) \rangle$  **apply** *simp*  
**using**  $\langle typ\text{-}of (Abs \tau f \$ x) = typ\text{-}of (Abs \tau g \$ x) \rangle$  **apply** *blast*  
**done**

**show** *?thesis*  
**apply** *(rule proves-eq-transitive-rule[where  $t=Abs \tau g \$ x$ ];*  
*(use assms 1 2  $\langle term\text{-}ok \Theta (subst\text{-}bv x f) \rangle$  in  $\langle solves simp \rangle$ ?)*  
**using**  $\langle term\text{-}ok \Theta (Abs \tau g \$ x) \rangle$   
 $\langle term\text{-}ok \Theta (subst\text{-}bv x g) \rangle$   
 $\langle typ\text{-}of (subst\text{-}bv x f) = typ\text{-}of (Abs \tau g \$ x) \rangle$   
 $\langle typ\text{-}of (Abs \tau g \$ x) = typ\text{-}of (subst\text{-}bv x g) \rangle$   
 $\langle \Theta, \Gamma \vdash mk\text{-}eq (subst\text{-}bv x f) (Abs \tau g \$ x) \rangle$   
 $\langle \Theta, \Gamma \vdash mk\text{-}eq (Abs \tau g \$ x) (subst\text{-}bv x g) \rangle$  **by** *simp-all*

**qed**

**lemma** *proves-descend-abs-rule*:  
**assumes** *thy: wf-theory*  $\Theta$   
**assumes** *eq*:  $\Theta, \Gamma \vdash mk\text{-}eq (Abs \tau' (bind\text{-}fv (x, \tau') s)) (Abs \tau' (bind\text{-}fv (x, \tau') t))$   
*is-closed s is-closed t*  
**assumes**  $x: (x, \tau') \notin FV \Gamma typ\text{-}ok \Theta \tau'$   
**assumes** *ctxt*:  $finite \Gamma \forall A \in \Gamma. term\text{-}ok \Theta A \forall A \in \Gamma. typ\text{-}of A = Some propT$   
**shows**  $\Theta, \Gamma \vdash mk\text{-}eq s t$

**proof**–

**have**  $abs-ok$ :  $term-ok \Theta (Abs-fv\ x\ \tau'\ s)\ term-ok \Theta (Abs-fv\ x\ \tau'\ t)$   
**using**  $eq\ proved-terms-well-formed\ wt-term-def\ typ-of1-split-App\ typ-of-def$   
**by**  $(meson\ term-ok-mk-eqD)+$   
**obtain**  $\tau$  **where**  $\tau1$ :  $typ-of (Abs-fv\ x\ \tau'\ s) = Some\ (\tau' \rightarrow \tau)$   
**by**  $(smt\ eq\ proved-terms-well-formed-pre\ typ-of1-split-App-obtains\ typ-of-Abs-body-typ'\ typ-of-def)$   
**hence**  $\tau2$ :  $typ-of (Abs-fv\ x\ \tau'\ t) = Some\ (\tau' \rightarrow \tau)$   
**by**  $(metis\ eq(1)\ proved-terms-well-formed(2)\ term-ok-mk-eq-same-typ\ thy)$

**have**  $add-param$ :  $\Theta, \Gamma \vdash mk-eq$   
 $(Abs\ \tau'\ (bind-fv\ (x, \tau')\ s)\ \$\ Fv\ x\ \tau')$   
 $(Abs\ \tau'\ (bind-fv\ (x, \tau')\ t)\ \$\ Fv\ x\ \tau')$   
**apply**  $(rule\ proves-eq-combination-rule; use\ assms\ abs-ok\ \tau1\ \tau2\ in\ \langle(solves\ simp)\ \rangle?)$   
**using**  $proves-eq-reflexive\ term-ok-var\ thy\ x(2)\ wt-term-def\ ctxt\ by\ blast+$

**have**  $\beta s$ :  $\Theta, \Gamma \vdash mk-eq$   
 $(Abs\ \tau'\ (bind-fv\ (x, \tau')\ s)\ \$\ Fv\ x\ \tau')$   
 $(subst-bv\ (Fv\ x\ \tau')\ (bind-fv\ (x, \tau')\ s))$   
**by**  $(rule\ proves.\beta-conversion; use\ assms\ abs-ok\ \tau1\ \tau2\ in\ \langle(solves\ \langle simp\ add:\ wt-term-def\ \rangle)\ \rangle?)$   
**moreover** **have**  $simps$ :  $subst-bv\ (Fv\ x\ \tau')\ (bind-fv\ (x, \tau')\ s) = s$   
**using**  $subst-bv-bind-fv\ typ-of-imp-closed\ eq(2)\ by\ blast$   
**ultimately** **have**  $\beta s$ :  $\Theta, \Gamma \vdash mk-eq\ (Abs\ \tau'\ (bind-fv\ (x, \tau')\ s)\ \$\ Fv\ x\ \tau')\ s$   
**by**  $simp$

**have**  $t1$ :  $term-ok \Theta\ s$   
**using**  $\beta s\ proved-terms-well-formed(2)\ wt-term-def\ typ-of-def$   
**using**  $term-ok-app-eqD\ by\ blast$   
**have**  $t2$ :  $term-ok \Theta (Abs-fv\ x\ \tau'\ s\ \$\ term.Fv\ x\ \tau')$   
**using**  $\beta s\ \langle term-ok\ \Theta\ s \rangle\ proved-terms-well-formed(2)\ term-ok'.simps(4)$   
 $wt-term-def\ term-ok-mk-eq-same-typ\ thy$   
**by**  $(meson\ term-ok-mk-eqD)$

**have**  $\beta s-rev$ :  $\Theta, \Gamma \vdash mk-eq\ s\ (Abs\ \tau'\ (bind-fv\ (x, \tau')\ s)\ \$\ Fv\ x\ \tau')$   
**apply**  $(rule\ proves-eq-symmetric-rule; use\ assms\ abs-ok\ \tau1\ \tau2\ t1\ t2\ in\ \langle(solves\ simp)\ \rangle?)$   
**using**  $\beta s\ proved-terms-well-formed(2)\ term-ok-mk-eq-same-typ\ thy\ apply\ blast$   
**using**  $\beta s\ by\ simp$

**have**  $\beta t$ :  $\Theta, \Gamma \vdash mk-eq$   
 $(Abs\ \tau'\ (bind-fv\ (x, \tau')\ t)\ \$\ Fv\ x\ \tau')$   
 $(subst-bv\ (Fv\ x\ \tau')\ (bind-fv\ (x, \tau')\ t))$   
**by**  $(rule\ proves.\beta-conversion; use\ assms\ abs-ok\ \tau1\ \tau2\ t1\ t2\ in\ \langle(solves\ \langle simp\ add:\ wt-term-def\ \rangle)\ \rangle?)$   
**moreover** **have**  $simt$ :  $subst-bv\ (Fv\ x\ \tau')\ (bind-fv\ (x, \tau')\ t) = t$   
**using**  $subst-bv-bind-fv\ typ-of-imp-closed\ eq(3)\ by\ blast$   
**ultimately** **have**  $\beta t$ :  $\Theta, \Gamma \vdash mk-eq\ (Abs\ \tau'\ (bind-fv\ (x, \tau')\ t)\ \$\ Fv\ x\ \tau')\ t$

**by** *simp*

**have** *t3*: *term-ok*  $\Theta$  (*Abs-fv*  $x \tau' t$  \$ *term.Fv*  $x \tau'$ )  
**using**  *$\beta$ s add-param proved-terms-well-formed(2) t1 term-ok'.simps(4)*  
*wt-term-def term-ok-mk-eq-same-typ thy*  
**by** (*meson term-ok-mk-eqD*)

**have** *t4*: *typ-of*  $s = \text{typ-of}$  (*Abs-fv*  $x \tau' t$  \$ *term.Fv*  $x \tau'$ )  
**by** (*metis  $\beta$ s add-param proved-terms-well-formed(2) term-ok-mk-eq-same-typ thy*)

**have** *t5*: *typ-of*  $s = \text{typ-of}$  (*Abs-fv*  $x \tau' s$  \$ *Fv*  $x \tau'$ )  
**using**  *$\beta$ s-rev proved-terms-well-formed(2) term-ok-mk-eq-same-typ thy* **by** *blast*

**have** *t6*: *typ-of* (*Abs-fv*  $x \tau' s$  \$ *Fv*  $x \tau'$ ) = *typ-of* (*Abs-fv*  $x \tau' t$  \$ *term.Fv*  $x \tau'$ )  
**using** *t4 t5* **by** *auto*

**have** *half*:  $\Theta, \Gamma \vdash \text{mk-eq } s$  (*Abs*  $\tau'$  (*bind-fv* ( $x, \tau'$ )  $t$ ) \$ *Fv*  $x \tau'$ )  
**apply** (*rule proves-eq-transitive-rule*[**where**  $t = \text{Abs } \tau' (\text{bind-fv } (x, \tau') s)$  \$ *Fv*  $x \tau'$ ]  
; *use* *assms abs-ok  $\tau 1 \tau 2 t 1 t 2 t 3 t 4 t 5 t 6$*  **in**  $\langle (\text{solves simp}) ? \rangle$ )  
**using**  *$\beta$ s-rev* **apply** *blast*  
**using** *add-param* **by** *blast*

**have** *t7*: *term-ok*  $\Theta t$   
**using**  *$\beta t$  proved-terms-well-formed(2) t1 t4 term-ok'.simps(4) wt-term-def term-ok-mk-eq-same-typ thy*  
**by** (*meson term-ok-app-eqD*)

**have** *t8*: *typ-of* (*Abs-fv*  $x \tau' t$  \$ *term.Fv*  $x \tau'$ ) = *typ-of*  $t$   
**using**  *$\beta t$  proved-terms-well-formed(2) term-ok-mk-eq-same-typ thy* **by** *blast*

**show** *?thesis*  
**apply** (*rule proves-eq-transitive-rule*[**where**  $t = \text{Abs } \tau' (\text{bind-fv } (x, \tau') t)$  \$ *Fv*  $x \tau'$ ]  
; *use* *assms abs-ok  $\tau 1 \tau 2 t 1 t 2 t 3 t 4 t 5 t 6 t 7 t 8$*  **in**  $\langle (\text{solves simp}) ? \rangle$ )  
**using** *half* **apply** *blast*  
**using**  *$\beta t$*  **by** *blast*

**qed**

**lemma** *obtain-fresh-variable*:

**assumes** *finite*  $\Gamma$   
**obtains**  $x$  **where**  $(x, \tau) \notin \text{fv } t \cup \text{FV } \Gamma$   
**using** *assms finite-fv finite-FV*  
**by** (*metis finite-Un finite-imageI fst-conv image-eqI variant-variable-fresh*)

**lemma** *obtain-fresh-variable'*:

**assumes** *finite*  $\Gamma$   
**obtains**  $x$  **where**  $(x, \tau) \notin \text{fv } t \cup \text{fv } u \cup \text{FV } \Gamma$   
**using** *assms finite-fv finite-FV*  
**by** (*metis finite-Un finite-imageI fst-conv image-eqI variant-variable-fresh*)

**lemma** *proves-eq-abstract-rule-pre*:

**assumes** *thy: wf-theory*  $\Theta$

**assumes**  $A$ :  $\text{term-ok } \Theta \ f \ \text{typ-of } f = \text{Some } (\tau \rightarrow \tau')$   
**assumes**  $B$ :  $\text{term-ok } \Theta \ g \ \text{typ-of } g = \text{Some } (\tau \rightarrow \tau')$   
**shows**  $\Theta, \{\} \vdash (\text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT}) \ \$ \ \text{Abs } \tau \ (\text{mk-eq' } \tau' \ (f \ \$ \ \text{Bv } 0) \ (g \ \$ \ \text{Bv } 0)))$   
 $\mapsto \text{mk-eq } (\text{Abs } \tau \ (f \ \$ \ \text{Bv } 0)) \ (\text{Abs } \tau \ (g \ \$ \ \text{Bv } 0))$   
**proof** –  
**have**  $\text{eq-abstract-rule-ax} \in \text{axioms } \Theta$   
**using**  $\text{thy}$  **by** ( $\text{cases } \Theta \ \text{rule: theory-full-exhaust}$ )  $\text{auto}$   
**moreover have**  $\text{ok2: typ-ok } \Theta \ (\tau \rightarrow \tau')$   
**using**  $\text{assms}(2) \ \text{assms}(3) \ \text{term-ok-imp-typ-ok } \text{thy}$  **by**  $\text{blast}$   
**moreover hence**  $\text{ok3: typ-ok } \Theta \ \tau'$   
**using**  $\text{thy } A(2)$  **by** ( $\text{cases } \Theta \ \text{rule: theory-full-exhaust}$ )  $\text{auto}$   
**moreover have**  $\text{ok1: typ-ok } \Theta \ \tau$   
**using**  $\text{thy } A(2) \ \text{ok2}$  **by** ( $\text{cases } \Theta \ \text{rule: theory-full-exhaust}$ )  $\text{auto}$   
**ultimately have**  $1: \Theta, \{\} \vdash \text{subst-typ'}$   
 $[(\text{Var } (\text{STR "a"}, 0), \text{full-sort}), \tau], [(\text{Var } (\text{STR "b"}, 0), \text{full-sort}), \tau']$   
 $\text{eq-abstract-rule-ax}$   
**using**  $\text{assms axiom-subst-typ'}$  **by** ( $\text{simp del: term-ok-def}$ )  
**hence**  $\Theta, \{\} \vdash \text{subst-term } [(\text{Var } (\text{STR "g"}, 0), \tau \rightarrow \tau'), g],$   
 $(\text{Var } (\text{STR "f"}, 0), \tau \rightarrow \tau'), f]$   $(\text{subst-typ'}$   
 $[(\text{Var } (\text{STR "a"}, 0), \text{full-sort}), \tau], [(\text{Var } (\text{STR "b"}, 0), \text{full-sort}), \tau']$   
 $\text{eq-abstract-rule-ax}$ )  
**using**  $\text{ok1 ok2 ok3 assms term-ok-var}$  **by** ( $\text{fastforce intro!: inst-var-multiple simp}$   
 $\text{add: eq-abstract-rule-ax-def}$ )  
**moreover have**  $\text{subst-term } [(\text{Var } (\text{STR "g"}, 0), \tau \rightarrow \tau'), g],$   
 $(\text{Var } (\text{STR "f"}, 0), \tau \rightarrow \tau'), f]$   $(\text{subst-typ'}$   
 $[(\text{Var } (\text{STR "a"}, 0), \text{full-sort}), \tau], [(\text{Var } (\text{STR "b"}, 0), \text{full-sort}), \tau']$   
 $\text{eq-abstract-rule-ax}$ )  
 $= (\text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT}) \ \$ \ \text{Abs } \tau \ (\text{mk-eq' } \tau' \ (f \ \$ \ \text{Bv } 0) \ (g \ \$ \ \text{Bv } 0)))$   
 $\mapsto \text{mk-eq } (\text{Abs } \tau \ (f \ \$ \ \text{Bv } 0)) \ (\text{Abs } \tau \ (g \ \$ \ \text{Bv } 0))$   
**using**  $\text{assms typ-of1-weaken-Ts}$  **by** ( $\text{fastforce simp add: eq-axs-def typ-of-def}$ )  
**ultimately show**  $?thesis$   
**using**  $\text{assms}$  **by**  $\text{simp}$   
**qed**

**lemma**  $\text{proves-eq-abstract-rule}$ :

**assumes**  $\text{thy: wf-theory } \Theta$   
**assumes**  $A$ :  $\text{term-ok } \Theta \ f \ \text{typ-of } f = \text{Some } (\tau \rightarrow \tau')$   
**assumes**  $B$ :  $\text{term-ok } \Theta \ g \ \text{typ-of } g = \text{Some } (\tau \rightarrow \tau')$   
**assumes**  $\text{ctxt: finite } \Gamma \ \forall A \in \Gamma. \ \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \ \text{typ-of } A = \text{Some propT}$   
**shows**  $\Theta, \Gamma \vdash (\text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT}) \ \$ \ \text{Abs } \tau \ (\text{mk-eq' } \tau' \ (f \ \$ \ \text{Bv } 0) \ (g \ \$ \ \text{Bv } 0)))$   
 $\mapsto \text{mk-eq } (\text{Abs } \tau \ (f \ \$ \ \text{Bv } 0)) \ (\text{Abs } \tau \ (g \ \$ \ \text{Bv } 0))$   
**by** ( $\text{subst unsimp-context}$ ) ( $\text{use assms proves-eq-abstract-rule-pre weaken-proves-set}$   
**in**  $\text{blast}$ )

**lemma**  $\text{proves-eq-abstract-rule-rule}$ :

**assumes**  $\text{thy: wf-theory } \Theta$

**assumes**  $A$ : *term-ok*  $\Theta$   $f$  *typ-of*  $f = \text{Some } (\tau \rightarrow \tau')$   
**assumes**  $B$ : *term-ok*  $\Theta$   $g$  *typ-of*  $g = \text{Some } (\tau \rightarrow \tau')$   
**assumes**  $\Theta, \Gamma \vdash (\text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT}) \text{ \$ Abs } \tau \text{ (mk-eq' } \tau' \text{ (f \$ Bv 0) (g \$ Bv 0))))$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta A \forall A \in \Gamma. \text{typ-of } A = \text{Some propT}$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } (\text{Abs } \tau \text{ (f \$ Bv 0)}) \text{ (Abs } \tau \text{ (g \$ Bv 0))}$   
**proof** –  
**note**  $1 = \text{proves-eq-abstract-rule}[\text{where } \Gamma = \Gamma, \text{ OF assms}(1-5) \text{ ctxt}]$   
**note**  $2 = \text{proves.implies-elim}[\text{OF } 1 \text{ assms}(6)]$   
**thus** *?thesis using ctxt by simp*  
**qed**

**lemma** *proves-eq-ext-rule*:

**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes**  $f$ : *term-ok*  $\Theta$   $f$  *typ-of*  $f = \text{Some } (\tau \rightarrow \tau')$   
**assumes**  $g$ : *term-ok*  $\Theta$   $g$  *typ-of*  $g = \text{Some } (\tau \rightarrow \tau')$   
**assumes** *prem*:  $\Theta, \Gamma \vdash \text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT}) \text{ \$ Abs } \tau \text{ (mk-eq' } \tau' \text{ (f \$ Bv 0) (g \$ Bv 0))}$   
**assumes** *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. \text{term-ok } \Theta A \forall A \in \Gamma. \text{typ-of } A = \text{Some propT}$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } f g$

**proof** –

**obtain**  $x$  **where**  $x: (x, \tau) \notin \text{FV } \Gamma \ (x, \tau) \notin \text{fv } f \ (x, \tau) \notin \text{fv } g$

**by** (*meson Un-iff ctxt(1) obtain-fresh-variable'*)

**have** *closed*: *is-closed*  $f$  *is-closed*  $g$

**using**  $f g$  *has-tyimp-closed term-ok-def wt-term-def by blast+*

**have** *term-ok*  $\Theta$   $(\text{Abs } \tau \text{ (mk-eq' } \tau' \text{ (f \$ Bv 0) (g \$ Bv 0))))$

**using** *prem proved-terms-well-formed(2) term-ok-app-eqD by blast*

**have** *subst-bv*  $(\text{Fv } x \ \tau) \text{ (f \$ Bv 0)} = \text{f \$ Fv } x \ \tau$

**using** *Core.subst-bv-def f(1) term-ok-subst-bv-no-change by auto*

**moreover** **have** *subst-bv*  $(\text{Fv } x \ \tau) \text{ (g \$ Bv 0)} = \text{g \$ Fv } x \ \tau$

**using** *Core.subst-bv-def g(1) term-ok-subst-bv-no-change by auto*

**ultimately** **have** *subst-bv*  $(\text{Fv } x \ \tau) \text{ (mk-eq' } \tau' \text{ (f \$ Bv 0) (g \$ Bv 0))}$

$= \text{mk-eq' } \tau' \text{ (f \$ Fv } x \ \tau) \text{ (g \$ Fv } x \ \tau)$

**by** (*simp add: Core.subst-bv-def*)

**hence** *simp*:  $\text{Abs } \tau \text{ (mk-eq' } \tau' \text{ (f \$ Bv 0) (g \$ Bv 0))} \cdot \text{Fv } x \ \tau = \text{mk-eq } (\text{f \$ Fv } x \ \tau) \text{ (g \$ Fv } x \ \tau)$

**using**  $f g$  **by** (*auto simp add: typ-of-def*)

**hence** *simp'*: *subst-bv*  $(\text{Fv } x \ \tau) \text{ (mk-eq' } \tau' \text{ (f \$ Bv 0) (g \$ Bv 0))} = \text{mk-eq' } \tau' \text{ (f \$ Fv } x \ \tau) \text{ (g \$ Fv } x \ \tau)$

**using**  $f g$  **by** (*auto simp add: typ-of-def*)

**have**  $\Theta, \Gamma \vdash \text{mk-eq' } \tau' \text{ (f \$ Fv } x \ \tau) \text{ (g \$ Fv } x \ \tau)$

**apply** (*subst simp'[symmetric]*)

**apply** (*rule forall-elim[where  $\tau = \tau'$ ]*)

**using** *prem apply blast*

**apply** *simp*

**using**  $\langle \text{term-ok } \Theta \text{ (Abs } \tau \text{ (mk-eq' } \tau' \text{ (f \$ Bv 0) (g \$ Bv 0)))} \rangle \text{ term-ok'.simps}(1)$

*term-ok'.simps(5) term-okD1* **by** *blast*  
**moreover** *have*  $\text{typ-of } (f \ \$ \ Fv \ x \ \tau) = \text{Some } \tau' \ \text{typ-of } (g \ \$ \ Fv \ x \ \tau) = \text{Some } \tau'$   
**using**  $f(2) \ g(2)$  **by** (*simp-all add: typ-of-def*)  
**ultimately** *have*  $1: \Theta, \Gamma \vdash \text{mk-eq } (f \ \$ \ Fv \ x \ \tau) (g \ \$ \ Fv \ x \ \tau)$   
**by** *simp*  
**have** *core:*  $\Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau (f \ \$ \ Bv \ 0)) (Abs \ \tau (g \ \$ \ Bv \ 0))$   
**apply** (*rule proves-eq-abstract-rule-rule[OF thy f g - ctxt]*)  
**using** *prem* **by** *blast*  
**have**  $\Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau (f \ \$ \ Bv \ 0)) f$   
**using** *f proves.eta term-okD1 thy* **by** *blast*  
**have** *left:*  $\Theta, \Gamma \vdash \text{mk-eq } f (Abs \ \tau (f \ \$ \ Bv \ 0))$   
**apply** (*rule proves-eq-symmetric-rule[OF thy f(1) - - - ctxt]*)  
**using**  $\langle \Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau (f \ \$ \ Bv \ 0)) (Abs \ \tau (g \ \$ \ Bv \ 0)) \rangle$  *proved-terms-well-formed(2)*  
*term-ok-mk-eqD* **apply** *blast*  
**apply** (*simp add: Logic.typ-of-eta-expand f(2)*)  
**using**  $\langle \Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau (f \ \$ \ Bv \ 0)) f \rangle$  **by** *blast*  
  
**have** *right:*  $\Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau (g \ \$ \ Bv \ 0)) g$   
**using** *g proves.eta term-okD1 thy* **by** *blast*  
  
**show** *?thesis*  
**apply** (*rule proves-eq-transitive-rule[where t=Abs \tau (f \\$ Bv 0), OF thy f(1) - g(1) - - left - ctxt]*)  
**using**  $\langle \Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau (f \ \$ \ Bv \ 0)) f \rangle$  *proved-terms-well-formed(2) term-ok-mk-eqD*  
**apply** *blast*  
**apply** (*simp add: Logic.typ-of-eta-expand f(2)*)  
**apply** (*simp add: Logic.typ-of-eta-expand f(2) g(2)*)  
**apply** (*rule proves-eq-transitive-rule[where t=Abs \tau (g \\$ Bv 0), OF thy - - g(1) - - core right ctxt]*)  
**using**  $\langle \Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau (f \ \$ \ Bv \ 0)) f \rangle$  *proved-terms-well-formed(2) term-ok-mk-eqD*  
**apply** *blast*  
**using**  $\langle \Theta, \Gamma \vdash \text{mk-eq } (Abs \ \tau (g \ \$ \ Bv \ 0)) g \rangle$  *proved-terms-well-formed(2)*  
*term-ok-mk-eqD* **apply** *blast*  
**by** (*simp add: Logic.typ-of-eta-expand f(2) g(2)*)  
**qed**

**lemma** *bind-fv2-idem[simp]:*

$\text{bind-fv2 } (x, \tau) \ \text{lev1 } (\text{bind-fv2 } (x, \tau) \ \text{lev2 } t) = \text{bind-fv2 } (x, \tau) \ \text{lev2 } t$

**by** (*induction (x,\tau) lev2 t arbitrary: lev1 rule: bind-fv2.induct*) *auto*

**corollary** *bind-fv-idem[simp]:*

$\text{bind-fv } (x, \tau) (\text{bind-fv } (x, \tau) \ t) = \text{bind-fv } (x, \tau) \ t$

**using** *bind-fv-def bind-fv2-idem* **by** *simp*

**corollary** *bind-fv-Abs-fv[simp]:*  $\text{bind-fv } (x, \tau) (Abs\text{-fv } x \ \tau \ t) = Abs\text{-fv } x \ \tau \ t$

**by** (*simp add: bind-fv-def*)

**lemma** *bind-fv2 (x,\tau) lev (mk-eq' \tau' s t) = mk-eq' \tau' (bind-fv2 (x,\tau) lev s) (bind-fv2 (x,\tau) lev t)*

**by** *simp*

**lemma** *bind-fv (x,\tau) (mk-eq' \tau' s t) = mk-eq' \tau' (bind-fv (x,\tau) s) (bind-fv (x,\tau) t)*

by (simp add: bind-fv-def)

**lemma** *term-ok-Abs-fvI*:  $term-ok \Theta s \implies typ-ok \Theta \tau \implies term-ok \Theta (Abs-fv x \tau s)$

by (auto simp add: wt-term-def term-ok'-bind-fv typ-of-Abs-bind-fv)

**lemma** *proves-eq-abstract-rule-derived-rule*:

assumes *thy*: *wf-theory*  $\Theta$

assumes *x*:  $(x, \tau) \notin FV \Gamma$   $typ-ok \Theta \tau$

assumes *ctxt*: *finite*  $\Gamma \forall A \in \Gamma. term-ok \Theta A \forall A \in \Gamma. typ-of A = Some propT$

assumes *eq*:  $\Theta, \Gamma \vdash mk-eq s t$

shows  $\Theta, \Gamma \vdash mk-eq (Abs \tau (bind-fv (x, \tau) s)) (Abs \tau (bind-fv (x, \tau) t))$

**proof** –

**obtain**  $\tau'$  **where**  $s: typ-of s = Some \tau'$

by (meson eq option.exhaust-sel proved-terms-well-formed(2) term-okD2 term-ok-app-eqD)

**have**  $t: typ-of t = Some \tau'$

by (metis eq proved-terms-well-formed(2) s term-ok-mk-eq-same-typ thy)

**have** *ok*:  $term-ok \Theta s term-ok \Theta t$

using eq proved-terms-well-formed(2) term-ok-mk-eqD **by** blast+

**have** *closed*: *is-closed s is-closed t*

using eq has-typ-imp-closed proved-terms-well-formed(2) term-ok-def term-ok-mk-eqD wt-term-def **by** blast+

**have** *is-closed (mk-eq s t)*

using eq proved-terms-closed **by** blast

**hence**  $Abs \tau (bind-fv (x, \tau) (mk-eq s t)) \cdot Fv x \tau = mk-eq s t$

using betapply-Abs-fv **by** auto

**have**  $\Theta, \Gamma \vdash mk-all x \tau (mk-eq s t)$

using eq forall-intro thy typ-ok-def x(1) x(2) **by** blast

**have**  $\Theta, \Gamma \vdash mk-eq (Abs \tau (bind-fv (x, \tau) s) \$ Fv x \tau) (subst-bv (Fv x \tau) (bind-fv (x, \tau) s))$

using term-ok-Abs-fvI[OF ok(1) x(2)] wf-term.intros(1) typ-ok-def x(2)

by (auto intro!:  $\beta$ -conversion[OF thy])

**moreover** **have**  $subst-bv (Fv x \tau) (bind-fv (x, \tau) s) = s$

by (simp add: closed(1) subst-bv-bind-fv)

**ultimately** **have** *unfs*:  $\Theta, \Gamma \vdash mk-eq (Abs \tau (bind-fv (x, \tau) s) \$ Fv x \tau) s$

by simp

**have**  $\Theta, \Gamma \vdash mk-eq (Abs \tau (bind-fv (x, \tau) t) \$ Fv x \tau) (subst-bv (Fv x \tau) (bind-fv (x, \tau) t))$

using term-ok-Abs-fvI[OF ok(2) x(2)] wf-term.intros(1) typ-ok-def x(2)

by (auto intro!:  $\beta$ -conversion[OF thy])

**moreover** **have**  $subst-bv (Fv x \tau) (bind-fv (x, \tau) t) = t$

by (simp add: closed(2) subst-bv-bind-fv)

**ultimately** **have** *unft*:  $\Theta, \Gamma \vdash mk-eq (Abs \tau (bind-fv (x, \tau) t) \$ Fv x \tau) t$

by simp

**have** *prem*:  
 $\Theta, \Gamma \vdash mk\text{-}eq (Abs \tau (bind\text{-}fv (x, \tau) s) \$ Fv x \tau) (Abs \tau (bind\text{-}fv (x, \tau) t) \$ Fv x \tau)$   
**apply** (*rule proves-eq-transitive-rule*[**where**  $t=s$ , *OF thy* - - - - - *ctxt*])  
**using** *ok(1) term-ok-mk-eqD unfs unft proved-terms-well-formed(2) term-ok-mk-eq-same-typ thy*  
**apply** (*all blast*)[4]  
**apply** (*metis proved-terms-well-formed(2) s t term-ok-mk-eq-same-typ thy unft*)  
**using** *unfs apply blast*  
**subgoal**  
**apply** (*rule proves-eq-transitive-rule*[**where**  $t=t$ , *OF thy ok* - - - - - *ctxt*])  
**using** *proved-terms-well-formed(2) term-ok-mk-eqD unft apply blast*  
**apply** (*simp add: s t*)  
**apply** (*metis proved-terms-well-formed(2) term-ok-mk-eq-same-typ thy unft*)  
**using** *eq apply simp*  
**subgoal apply** (*rule proves-eq-symmetric-rule*[*OF thy ok(2)* - - - *ctxt*])  
**using** *proved-terms-well-formed(2) term-ok-mk-eqD unft apply blast*  
**using** *proved-terms-well-formed(2) term-ok-mk-eq-same-typ thy unft apply blast*  
**using** *unft apply blast*  
**done**  
**done**  
**done**  
**hence**  $\Theta, \Gamma \vdash mk\text{-}all x \tau$   
 $(mk\text{-}eq (Abs \tau (bind\text{-}fv (x, \tau) s) \$ Fv x \tau) (Abs \tau (bind\text{-}fv (x, \tau) t) \$ Fv x \tau))$   
**using** *forall-intro thy typ-ok-def x(1) x(2) by blast*  
**moreover have**  $mk\text{-}all x \tau$   
 $(mk\text{-}eq (Abs \tau (bind\text{-}fv (x, \tau) s) \$ Fv x \tau) (Abs \tau (bind\text{-}fv (x, \tau) t) \$ Fv x \tau))$   
 $= mk\text{-}all x \tau$   
 $(mk\text{-}eq' \tau' (Abs \tau (bind\text{-}fv (x, \tau) s) \$ Fv x \tau) (Abs \tau (bind\text{-}fv (x, \tau) t) \$ Fv x \tau))$   
**using** *bind-fv2-preserves-type s t typ-of-def by (fastforce simp add: bind-fv-def typ-of-def)+*  
**moreover have**  $mk\text{-}all x \tau$   
 $(mk\text{-}eq' \tau' (Abs \tau (bind\text{-}fv (x, \tau) s) \$ Fv x \tau) (Abs \tau (bind\text{-}fv (x, \tau) t) \$ Fv x \tau)) =$   
 $Ct STR \text{"Pure.all"} ((\tau \rightarrow propT) \rightarrow propT) \$ Abs \tau$   
 $(mk\text{-}eq' \tau' (Abs \tau (bind\text{-}fv (x, \tau) s) \$ Bv 0) (Abs \tau (bind\text{-}fv (x, \tau) t) \$ Bv 0))$   
**by** (*simp add: bind-fv-def*)  
**ultimately have** *pre-ext*:  $\Theta, \Gamma \vdash Ct STR \text{"Pure.all"} ((\tau \rightarrow propT) \rightarrow propT) \$ Abs \tau$   
 $(mk\text{-}eq' \tau' (Abs \tau (bind\text{-}fv (x, \tau) s) \$ Bv 0) (Abs \tau (bind\text{-}fv (x, \tau) t) \$ Bv 0))$   
**by** *simp*  
**show** *?thesis*  
**apply** (*rule proves-eq-ext-rule*[**where**  $\tau=\tau$  **and**  $\tau'=\tau'$ , *OF thy* - - - - - *ctxt*])  
**using** *proved-terms-well-formed(2) term-ok-app-eqD unfs apply blast*  
**apply** (*simp add: s typ-of-Abs-bind-fv*)  
**using** *proved-terms-well-formed(2) term-ok-app-eqD unft apply blast*



**apply** (*simp add: t typ-of-Abs-bind-fv*)  
**using pre-ext by blast**  
**qed**

**lemma** *proves-descend-abs-rule-iff:*

**assumes** *thy: wf-theory*  $\Theta$   
**assumes** *ok: is-closed s is-closed t*  
**assumes** *x: (x,  $\tau'$ )  $\notin$  FV  $\Gamma$  typ-ok  $\Theta$   $\tau'$*   
**assumes** *ctxt: finite  $\Gamma$   $\forall A \in \Gamma$ . term-ok  $\Theta$   $A$   $\forall A \in \Gamma$ . typ-of  $A$  = Some propT*  
**shows**  $\Theta, \Gamma \vdash mk\text{-eq } s \ t$   
 $\longleftrightarrow \Theta, \Gamma \vdash mk\text{-eq } (Abs \ \tau' \ (bind\text{-fv } (x, \tau') \ s)) \ (Abs \ \tau' \ (bind\text{-fv } (x, \tau') \ t))$   
**proof** (*rule iffI*)  
**assume** *asm:  $\Theta, \Gamma \vdash mk\text{-eq } s \ t$*   
**hence** *term-ok  $\Theta$  s term-ok  $\Theta$  t*  
**using** *proved-terms-well-formed(2) term-ok-mk-eqD by blast+*  
**show**  $\Theta, \Gamma \vdash mk\text{-eq } (Abs\text{-fv } x \ \tau' \ s) \ (Abs\text{-fv } x \ \tau' \ t)$   
**by** (*rule proves-eq-abstract-rule-derived-rule[OF thy x ctxt asm]*)  
**next**  
**assume** *asm:  $\Theta, \Gamma \vdash mk\text{-eq } (Abs\text{-fv } x \ \tau' \ s) \ (Abs\text{-fv } x \ \tau' \ t)$*   
**show**  $\Theta, \Gamma \vdash mk\text{-eq } s \ t$   
**using** *assms asm proves-descend-abs-rule by blast*  
**qed**

**lemma** *proves-descend-abs-rule':*

**assumes** *thy: wf-theory*  $\Theta$   
**assumes** *eq:  $\Theta, \Gamma \vdash mk\text{-eq } (Abs \ \tau' \ s) \ (Abs \ \tau' \ t)$*   
**assumes** *x: (x,  $\tau'$ )  $\notin$  FV  $\Gamma$  typ-ok  $\Theta$   $\tau'$*   
**assumes** *ctxt: finite  $\Gamma$   $\forall A \in \Gamma$ . term-ok  $\Theta$   $A$   $\forall A \in \Gamma$ . typ-of  $A$  = Some propT*  
**shows**  $\Theta, \Gamma \vdash mk\text{-eq } (subst\text{-bv } (Fv \ x \ \tau') \ s) \ (subst\text{-bv } (Fv \ x \ \tau') \ t)$   
**proof** –  
**have** *abs-ok: term-ok  $\Theta$  (Abs  $\tau'$  s) term-ok  $\Theta$  (Abs  $\tau'$  t)*  
**using** *eq(1) option.distinct(1) proved-terms-well-formed term-ok'.simps(4)*  
*wt-term-def typ-of1-split-App typ-of-def*  
**by** (*smt term-ok-mk-eqD*)  
  
**obtain  $\tau$  where  $\tau 1$ :** *typ-of (Abs  $\tau'$  s) = Some ( $\tau' \rightarrow \tau$ )*  
**by** (*smt eq proved-terms-well-formed-pre typ-of1-split-App-obtains typ-of-Abs-body-typ' typ-of-def*)  
**hence  $\tau 2$ :** *typ-of (Abs  $\tau'$  t) = Some ( $\tau' \rightarrow \tau$ )*  
**by** (*metis eq(1) proved-terms-well-formed(2) term-ok-mk-eq-same-typ thy*)  
  
**have** *add-param:  $\Theta, \Gamma \vdash mk\text{-eq}$*   
*(Abs  $\tau'$  s \$ Fv x  $\tau'$ )*  
*(Abs  $\tau'$  t \$ Fv x  $\tau'$ )*  
**apply** (*rule proves-eq-combination-rule; use assms abs-ok  $\tau 1$   $\tau 2$  in  $\langle$ (solves*  
 *$\langle$ simp del: term-ok-def $\rangle$ ?) $\rangle$* )  
**using** *proves-eq-reflexive term-ok-var thy x(2) ctxt by blast*

**have**  $\beta s: \Theta, \Gamma \vdash mk\text{-}eq$   
 $(Abs \tau' s \$ Fv x \tau')$   
 $(subst\text{-}bv (Fv x \tau') s)$   
**by**  $(rule \textit{proves.}\beta\text{-conversion}; use \textit{assms} \textit{abs-ok} \tau 1 \tau 2 \textit{ in } \langle(\textit{solves} \langle\textit{simp} \textit{ add:}$   
 $\textit{wt-term-def}\rangle?)\rangle)$

**have**  $t1: term\text{-}ok \Theta (subst\text{-}bv (Fv x \tau') s)$   
**using**  $\beta s \textit{ proved-terms-well-formed}(2) \textit{ wt-term-def typ-of-def}$   
**using**  $term\text{-}ok\text{-}mk\text{-}eqD$  **by**  $blast$   
**have**  $t2: term\text{-}ok \Theta (Abs \tau' s \$ term.Fv x \tau')$   
**using**  $\beta s \textit{ proved-terms-well-formed}(2) t1 \textit{ term-ok'.simps}(4) \textit{ wt-term-def term-ok-mk-eq-same-typ}$   
 $\textit{thy}$   
 $term\text{-}ok\text{-}mk\text{-}eqD$  **by**  $blast$   
**have**  $\beta s\text{-}rev: \Theta, \Gamma \vdash mk\text{-}eq (subst\text{-}bv (Fv x \tau') s) (Abs \tau' s \$ Fv x \tau')$   
**apply**  $(rule \textit{proves-eq-symmetric-rule}; use \textit{assms} \textit{abs-ok} \tau 1 \tau 2 t1 t2 \textit{ in } \langle(\textit{solves}$   
 $\textit{simp})\rangle?)$   
**using**  $\beta s \textit{ proved-terms-well-formed}(2) \textit{ term-ok-mk-eq-same-typ thy} \textit{ apply} \textit{ blast}$   
**using**  $\beta s$  **by**  $\textit{simp}$

**have**  $\beta t: \Theta, \Gamma \vdash mk\text{-}eq$   
 $(Abs \tau' t \$ Fv x \tau')$   
 $(subst\text{-}bv (Fv x \tau') t)$   
**by**  $(rule \textit{proves.}\beta\text{-conversion}; use \textit{assms} \textit{abs-ok} \tau 1 \tau 2 t1 \textit{ in } \langle(\textit{solves} \langle\textit{simp} \textit{ add:}$   
 $\textit{wt-term-def}\rangle?)\rangle)$

**have**  $t3: term\text{-}ok \Theta (Abs \tau' t \$ term.Fv x \tau')$   
**using**  $\beta s \textit{ add-param proved-terms-well-formed}(2) t1 \textit{ term-ok'.simps}(4)$   
 $\textit{ wt-term-def term-ok-mk-eq-same-typ thy term-ok-mk-eqD}$   
**by**  $\textit{meson}$   
**have**  $t4: typ\text{-}of (subst\text{-}bv (Fv x \tau') s) = typ\text{-}of (Abs \tau' t \$ term.Fv x \tau')$   
**by**  $(\textit{metis} \beta s \textit{ add-param proved-terms-well-formed}(2) \textit{ term-ok-mk-eq-same-typ}$   
 $\textit{thy})$   
**have**  $t5: typ\text{-}of (subst\text{-}bv (Fv x \tau') s) = typ\text{-}of (Abs \tau' s \$ Fv x \tau')$   
**using**  $\beta s\text{-}rev \textit{ proved-terms-well-formed}(2) \textit{ term-ok-mk-eq-same-typ thy} \textit{ by} \textit{ blast}$   
**have**  $t6: typ\text{-}of (Abs \tau' s \$ Fv x \tau') = typ\text{-}of (Abs \tau' t \$ term.Fv x \tau')$   
**using**  $t4 t5$  **by**  $\textit{auto}$

**have**  $half: \Theta, \Gamma \vdash mk\text{-}eq (subst\text{-}bv (Fv x \tau') s) (Abs \tau' t \$ Fv x \tau')$   
**apply**  $(rule \textit{proves-eq-transitive-rule}[\textit{where} \textit{t} = Abs \tau' s \$ Fv x \tau']$   
 $; use \textit{assms} \textit{abs-ok} \tau 1 \tau 2 t1 t2 t3 t4 t5 t6 \textit{ in } \langle(\textit{solves} \textit{simp})\rangle?)$   
**using**  $\beta s\text{-}rev$  **apply**  $blast$   
**using**  $\textit{add-param} \textit{ by} \textit{ blast}$

**have**  $t7: term\text{-}ok \Theta (subst\text{-}bv (Fv x \tau') t)$   
**using**  $\beta t \textit{ proved-terms-well-formed}(2) t1 t4 \textit{ term-ok'.simps}(4) \textit{ wt-term-def}$   
 $\textit{term-ok-mk-eq-same-typ thy}$   
**by**  $(\textit{meson} \textit{term-ok-app-eqD})$   
**have**  $t8: typ\text{-}of (Abs \tau' t \$ term.Fv x \tau') = typ\text{-}of (subst\text{-}bv (Fv x \tau') t)$

**using**  $\beta t$  *proved-terms-well-formed(2)* *term-ok-mk-eq-same-typ thy* **by** *blast*

**show** *?thesis*

**apply** (*rule proves-eq-transitive-rule*[**where**  $t = \text{Abs } \tau' t \ \$ \text{ Fv } x \ \tau'$ ]  
; *use assms abs-ok*  $\tau 1 \ \tau 2 \ t 1 \ t 2 \ t 3 \ t 4 \ t 5 \ t 6 \ t 7 \ t 8$  **in**  $\langle (solves \ simp) ? \rangle$ )  
**using** *half* **apply** *blast*  
**using**  $\beta t$  **by** *blast*

**qed**

**lemma** *proves-ascend-abs-rule'*:

**assumes** *thy: wf-theory*  $\Theta$

**assumes**  $x: (x, \tau') \notin FV \ \Gamma \ (x, \tau') \notin fv \ (mk\text{-eq} \ (Abs \ \tau' \ s) \ (Abs \ \tau' \ t)) \ typ\text{-ok} \ \Theta \ \tau'$

**assumes**  $eq: \Theta, \Gamma \vdash mk\text{-eq} \ (subst\text{-bv} \ (Fv \ x \ \tau') \ s) \ (subst\text{-bv} \ (Fv \ x \ \tau') \ t)$

**assumes** *ctxt: finite*  $\Gamma \ \forall A \in \Gamma. \ term\text{-ok} \ \Theta \ A \ \forall A \in \Gamma. \ typ\text{-of} \ A = \text{Some } propT$

**shows**  $\Theta, \Gamma \vdash mk\text{-eq} \ (Abs \ \tau' \ s) \ (Abs \ \tau' \ t)$

**proof** –

**have** *ok-ind: wf-type* (*sig*  $\Theta$ )  $\tau'$

**using**  $x(3)$  **by** *simp*

**note**  $1 = \text{proves-eq-abstract-rule-derived-rule}[OF \ thy]$

**have**  $term\text{-ok} \ \Theta \ (subst\text{-bv} \ (Fv \ x \ \tau') \ s)$

**using** *eq proved-terms-well-formed(2)* *wt-term-def typ-of-def*

**by** (*meson term-ok-app-eqD*)

**hence** *is-closed* ( $subst\text{-bv} \ (Fv \ x \ \tau') \ s$ )

**using** *wt-term-def typ-of-imp-closed* **by** *auto*

**hence** *loose-s:  $\neg$  loose-bvar*  $s \ 1$

**using** *is-closed-subst-bv* **by** *simp*

**hence** *loose-s':* ( $\bigwedge x. 1 < x \implies \neg \text{loose-bvar1} \ s \ x$ )

**by** (*simp add: not-loose-bvar-imp-not-loose-bvar1-all-greater*)

**moreover** **have**  $\neg \text{occs} \ (case\text{-prod} \ Fv \ (x, \tau')) \ s$

**proof** –

**have**  $(x, \tau') \notin fv \ s$

**using**  $x(2)$  **by** *auto*

**thus** *?thesis*

**by** (*simp add: fv-iff-occs*)

**qed**

**ultimately** **have**  $s: Abs\text{-fv} \ x \ \tau' \ (subst\text{-bv} \ (term.Fv \ x \ \tau') \ s) = Abs \ \tau' \ s$

**unfolding** *subst-bv-def bind-fv-def*

**using** *bind-fv2-subst-bv1-cancel*

**by** (*metis* (*full-types*) *case-prod-conv less-one linorder-neqE-nat*

*loose-bvar1-imp-loose-bvar loose-s not-less-zero*)

**have**  $term\text{-ok} \ \Theta \ (subst\text{-bv} \ (Fv \ x \ \tau') \ t)$

**using** *eq proved-terms-well-formed(2)* *wt-term-def typ-of-def*

**by** (*meson term-ok-app-eqD*)

**hence** *is-closed* ( $subst\text{-bv} \ (Fv \ x \ \tau') \ t$ )

**using** *wt-term-def typ-of-imp-closed* **by** *auto*

**hence** *loose-s:  $\neg$  loose-bvar*  $t \ 1$

**using** *is-closed-subst-bv* **by** *simp*  
**hence** *loose-s'*:  $(\bigwedge x. 1 < x \implies \neg \text{loose-bvar1 } t \ x)$   
**by** (*simp add: not-loose-bvar-imp-not-loose-bvar1-all-greater*)  
**moreover have**  $\neg \text{occs } (\text{case-prod } Fv \ (x, \tau')) \ t$   
**proof** –  
**have**  $(x, \tau') \notin \text{fv } t$   
**using** *x(2)* **by** *auto*  
**thus** *?thesis*  
**by** (*simp add: fv-iff-occs*)  
**qed**  
**ultimately have**  $t: \text{Abs-fv } x \ \tau' \ (\text{subst-bv } (\text{term.Fv } x \ \tau') \ t) = \text{Abs } \tau' \ t$   
**unfolding** *subst-bv-def bind-fv-def*  
**using** *bind-fv2-subst-bv1-cancel*  
**by** (*metis (full-types) case-prod-conv less-one linorder-neqE-nat loose-bvar1-imp-loose-bvar*  
*loose-s not-less-zero*)

**from**  $1 \ s \ t$  **show** *?thesis*  
**using** *ctxt eq x(1) x(3)* **by** *fastforce*  
**qed**

**lemma** *proves-descend-abs-rule-iff'*:  
**assumes** *thy: wf-theory*  $\Theta$   
**assumes**  $x: (x, \tau') \notin FV \ \Gamma \ (x, \tau') \notin \text{fv } (\text{mk-eq } (\text{Abs } \tau' \ s) \ (\text{Abs } \tau' \ t)) \ \text{typ-ok } \Theta$   
 $\tau'$   
**assumes** *ctxt: finite*  $\Gamma \ \forall A \in \Gamma. \ \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \ \text{typ-of } A = \text{Some prop } T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } (\text{subst-bv } (Fv \ x \ \tau') \ s) \ (\text{subst-bv } (Fv \ x \ \tau') \ t)$   
 $\iff \Theta, \Gamma \vdash \text{mk-eq } (\text{Abs } \tau' \ s) \ (\text{Abs } \tau' \ t)$   
**apply** (*rule iffI*)  
**using** *assms proves-ascend-abs-rule'* **apply** *simp*  
**using** *assms proves-descend-abs-rule'* **by** *simp*

**lemma** *proves-beta-step-pre*:  
**assumes** *thy: wf-theory*  $\Theta$   
**assumes** *finite: finite*  $\Gamma$   
**assumes** *free: free*:  $\forall (x, \tau) \in \text{set } vs. \ (x, \tau) \notin \text{fv } t \cup FV \ \Gamma$   
**assumes** *term-ok'*:  $\text{term-ok } \Theta \ (\text{subst-bvs } (\text{map } (\text{case-prod } Fv) \ vs) \ t)$   
**assumes** *beta: beta*:  $t \rightarrow_{\beta} u$   
**assumes** *ctxt: ctxt*:  $\forall A \in \Gamma. \ \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \ \text{typ-of } A = \text{Some prop } T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq}$   
 $(\text{subst-bvs } (\text{map } (\text{case-prod } Fv) \ vs) \ t)$   
 $(\text{subst-bvs } (\text{map } (\text{case-prod } Fv) \ vs) \ u)$   
**using** *beta term-ok' free* **proof** (*induction t u arbitrary: vs rule: beta.induct*)  
**case** (*beta T s t*)  
**have** *ok: term-ok*  $\Theta \ (\text{subst-bvs } (\text{map } (\text{case-prod } Fv) \ vs) \ (\text{Abs } T \ s))$   
 $\text{term-ok } \Theta \ (\text{subst-bvs } (\text{map } (\text{case-prod } Fv) \ vs) \ t)$   
**using** *beta.prem(1)* **apply** *simp-all*  
**using** *term-ok-app-eqD term-ok-def* **by** *blast+*

```

have  $\forall x \in \text{set} (\text{map} (\text{case-prod } Fv) \text{ vs}) . \text{is-closed } x$ 
  using beta.premis(2) by auto
hence simp:  $\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) (\text{Abs } T \text{ s})$ 
  =  $\text{Abs } T (\text{subst-bvs1}' \text{ s } 1 (\text{map} (\text{case-prod } Fv) \text{ vs}))$ 
  by auto
hence ok':  $\text{term-ok } \Theta (\text{Abs } T (\text{subst-bvs1}' \text{ s } 1 (\text{map} (\text{case-prod } Fv) \text{ vs})))$ 
  using ok by simp
have T:  $\text{typ-of} (\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ t}) = \text{Some } T$ 
  using ok(2) wt-term-def typ-of-beta-redex-arg simp
  using beta.premis(1) subst-bvs-App
  by (metis term-okD2)

have ok-unf:  $\text{wt-term} (\text{sig } \Theta) (\text{Abs } T (\text{subst-bvs1}' \text{ s } 1 (\text{map} (\text{case-prod } Fv) \text{ vs})))$ 
   $\text{wf-term} (\text{sig } \Theta) (\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ t})$ 
  using ok(2) ok' wt-term-def by simp-all

have  $\text{subst-bvs} (\text{map} (\lambda a. \text{case } a \text{ of } (a, b) \Rightarrow \text{term.Fv } a \text{ b}) \text{ vs})$ 
   $(\text{Abs } T \text{ s } \$ \text{ t}) =$ 
   $\text{Abs } T (\text{subst-bvs1}' \text{ s } 1 (\text{map} (\text{case-prod } Fv) \text{ vs})) \$ \text{subst-bvs} (\text{map} (\text{case-prod } Fv)$ 
   $\text{vs}) \text{ t}$ 
  by (simp add: simp)
moreover have  $\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) (\text{subst-bv2 } \text{s } 0 \text{ t})$ 
  =  $(\text{subst-bv} (\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ t})$ 
   $(\text{subst-bvs1}' \text{ s } 1 (\text{map} (\text{case-prod } Fv) \text{ vs})))$ 
  using subst-bvs1'-subst-bv2[symmetric] subst-bvs-subst-bvs1'
  by simp (metis One-nat-def Suc-eq-plus1 map-map simp subst-bvs1.simps(2))
subst-bvs1-subst-bvs1'
   $\text{subst-bvs-def substn-subst-0' term.inject(4)}$ 
ultimately show ?case
  using beta-conversion[OF thy ok-unf, of  $\Gamma$ ] T by simp
next
case (appL s t u)
hence ok:  $\text{term-ok } \Theta (\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ s})$ 
   $\text{term-ok } \Theta (\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ u})$ 
  by (metis subst-bvs-App term-ok-app-eqD)
moreover have  $\forall a \in \text{set } \text{vs}. \text{case } a \text{ of } (x, \tau) \Rightarrow (x, \tau) \notin \text{fv } \text{s} \cup \text{FV } \Gamma$ 
  using appL by simp
ultimately have  $\Theta, \Gamma \vdash \text{mk-eq} (\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ s})$ 
   $(\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ t})$ 
  using appL.IH by blast
moreover have  $\Theta, \Gamma \vdash \text{mk-eq} (\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ u})$ 
   $(\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ u})$ 
  using proves-eq-reflexive[OF thy ok(2), of  $\Gamma$ , OF finite ctxt] by blast
moreover obtain  $\tau$  where  $\tau$ : typ-of
   $(\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ u}) = \text{Some } \tau$ 
  using ok wt-term-def by auto
moreover obtain  $\tau'$  where typ-of
   $(\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{ vs}) \text{ s}) = \text{Some } (\tau \rightarrow \tau')$ 
  using  $\tau$  appL.premis(1) not-None-eq subst-bvs-App wt-term-def typ-of1-arg-typ

```

*typ-of-def*  
**by** (*metis term-okD2*)  
**ultimately show** *?case*  
**using** *proves-eq-combination-rule-better thy finite ctxt by simp*  
**next**  
**case** (*appR s t u*)  
**hence** *ok: term-ok*  $\Theta$  (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *s*)  
*term-ok*  $\Theta$  (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *u*)  
**by** (*metis subst-bvs-App term-ok-app-eqD*)  
**moreover have**  $\forall a \in \text{set } vs. \text{ case } a \text{ of } (x, \tau) \Rightarrow (x, \tau) \notin \text{fv } s \cup FV \Gamma$   
**using** *appR by simp*  
**ultimately have**  $\Theta, \Gamma \vdash \text{mk-eq}$  (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *s*)  
(*subst-bvs* (*map* (*case-prod Fv*) *vs*) *t*)  
**using** *appR.IH by blast*  
**moreover have**  $\Theta, \Gamma \vdash \text{mk-eq}$  (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *u*)  
(*subst-bvs* (*map* (*case-prod Fv*) *vs*) *u*)  
**using** *proves-eq-reflexive[OF thy ok(2), of  $\Gamma$ , OF finite ctxt] by blast*  
**moreover obtain**  $\tau$  **where**  $\tau: \text{typ-of}$   
(*subst-bvs* (*map* (*case-prod Fv*) *vs*) *s*) = *Some*  $\tau$   
**using** *ok wt-term-def by auto*  
**moreover obtain**  $\tau'$  **where**  $\text{typ-of}$   
(*subst-bvs* (*map* (*case-prod Fv*) *vs*) *u*) = *Some* ( $\tau \rightarrow \tau'$ )  
**using**  $\tau$  *appR.prem(1) not-None-eq subst-bvs-App wt-term-def typ-of1-arg-typ*  
*typ-of-def*  
**by** (*metis term-okD2*)  
**ultimately show** *?case*  
**using** *proves-eq-combination-rule-better thy finite ctxt by simp*  
**next**  
**case** (*abs s t T*)  
**have**  $\forall a \in \text{set } vs. \text{ case } a \text{ of } (x, \tau) \Rightarrow (x, \tau) \notin \text{fv } s \cup FV \Gamma$   
**using** *abs.prem(2) by auto*  
  
**have**  $\forall v \in \text{set } (\text{map } (\text{case-prod } Fv) \text{ vs}) . \text{ is-closed } v$   
**by** *auto*  
  
**hence** *simp: mk-eq* (*subst-bvs* (*map* (*case-prod Fv*) *vs*) (*Abs T s*)  
(*subst-bvs* (*map* (*case-prod Fv*) *vs*) (*Abs T t*))  
= *mk-eq* (*Abs T* (*subst-bvs1' s 1* (*map* (*case-prod Fv*) *vs*)))  
(*Abs T* (*subst-bvs1' t 1* (*map* (*case-prod Fv*) *vs*)))  
**by** *simp*  
  
**have** *T-ok: typ-ok*  $\Theta$  *T*  
**using** *abs.prem term-ok-Types-typ-ok simp thy by auto*  
  
**have** *1: finite* (*fv* (*mk-eq* (*Abs T* (*subst-bvs1' s 1* (*map* (*case-prod Fv*) *vs*)))  
(*Abs T* (*subst-bvs1' t 1* (*map* (*case-prod Fv*) *vs*))))  $\cup$  *FV*  $\Gamma$   $\cup$  *fv s*)  
**using** *finite finite-fv finite-FV by simp*  
**hence**  $\exists x . (x, T) \notin (\text{fv } (\text{mk-eq } (\text{Abs } T \text{ (subst-bvs1' s 1 (map (case-prod Fv) vs))))$   
(*Abs T* (*subst-bvs1' t 1* (*map* (*case-prod Fv*) *vs*))))  $\cup$  *FV*  $\Gamma$   $\cup$  *fv s*)

```

proof –
  have  $\bigwedge v t P. (v, t) \notin P \vee v \in \text{fst } \text{' } P$ 
    by (metis (no-types) fst-conv image-eqI)
  then show ?thesis
    using 1 variant-variable-fresh finite-Un finite-imageI fst-conv image-eqI by
smt
  qed
from this
obtain  $x$  where  $x: (x, T) \notin (\text{fv } (\text{mk-eq } (\text{Abs } T (\text{subst-bvs1}' s 1 (\text{map } (\text{case-prod } Fv) vs)))) \cup FV \Gamma \cup \text{fv } s)$ 
    by fastforce
hence  $x: (x, T) \notin \text{fv } (\text{mk-eq } (\text{Abs } T (\text{subst-bvs1}' s 1 (\text{map } (\text{case-prod } Fv) vs))))$ 
    ( $\text{Abs } T (\text{subst-bvs1}' t 1 (\text{map } (\text{case-prod } Fv) vs))))$ 
    ( $x, T) \notin FV \Gamma$  ( $x, T) \notin \text{fv } s$ 
  by auto

have  $ok: \text{term-ok } \Theta (\text{Abs } T (\text{subst-bvs1}' s 1 (\text{map } (\text{case-prod } Fv) vs)))$ 
  using abs.prem1 simp by auto

thm subst-bvs-extend-lower-level
have combine: ( $\text{subst-bv } (\text{term.Fv } x T)$ 
  ( $\text{subst-bvs1}' s 1 (\text{map } (\lambda(x, y). \text{term.Fv } x y) vs))) =$ 
  ( $\text{subst-bvs } (\text{map } (\text{case-prod } Fv) ((x, T)\#vs)) s)$ 
  using subst-bvs-extend-lower-level
  using  $\langle \forall v \in \text{set } (\text{map } (\lambda(x, y). \text{term.Fv } x y) vs). \text{is-closed } v \rangle$  by auto
have 1:  $\Theta, \Gamma \vdash \text{mk-eq } (\text{subst-bvs } (\text{map } (\text{case-prod } Fv) ((x, T)\#vs)) s)$ 
  ( $\text{subst-bvs } (\text{map } (\text{case-prod } Fv) ((x, T)\#vs)) t)$ 
  apply(rule abs.IH)
  using  $ok$  apply (metis combine term-ok-subst-bv)
  using  $x$  abs.prem2 by auto
have  $\Theta, \Gamma \vdash \text{mk-eq}$ 
  ( $\text{Abs } T (\text{subst-bvs1}' s 1 (\text{map } (\text{case-prod } Fv) vs)))$ 
  ( $\text{Abs } T (\text{subst-bvs1}' t 1 (\text{map } (\text{case-prod } Fv) vs)))$ 
  apply (rule proves-ascend-abs-rule'[where  $x=x$ ])
  using thy apply simp
  using  $x$  apply simp
  using  $x$  apply simp
  using  $T\text{-ok}$  apply simp
using 1  $\langle \forall v \in \text{set } (\text{map } (\lambda(x, y). \text{term.Fv } x y) vs). \text{is-closed } v \rangle$  subst-bvs-extend-lower-level

  finite ctxt by auto
then show ?case
  using simp by auto
qed

lemma subst-bvs-empty[simp]:  $\text{subst-bvs } [] t = t$ 
  by (simp add: subst-bvs-subst-bvs1')

```

**lemma** *proves-beta-step*:  
**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes** *finite*: *finite*  $\Gamma$   
**assumes** *term-ok*: *term-ok*  $\Theta$   $t$   
**assumes** *beta*:  $t \rightarrow_{\beta} u$   
**assumes** *ctxt*:  $\forall A \in \Gamma. \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \text{typ-of } A = \text{Some prop } T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } t \ u$   
**proof** –  
**have** *unsimp*:  $t = \text{subst-bvs } (\text{map } (\text{case-prod } Fv) \ []) \ t$   
**by** *simp*  
**moreover** **have** *unsimp*:  $u = \text{subst-bvs } (\text{map } (\text{case-prod } Fv) \ []) \ u$   
**by** *simp*  
**ultimately** **have** *unsimp*:  $\text{mk-eq } t \ u = \text{mk-eq}$   
 $(\text{subst-bvs } (\text{map } (\text{case-prod } Fv) \ []) \ t)$   
 $(\text{subst-bvs } (\text{map } (\text{case-prod } Fv) \ []) \ u)$   
**by** *simp*  
**show** *?thesis*  
**apply** (*subst unsimp*)  
**apply** (*rule proves-beta-step-pre*)  
**using** *assms* **by** *simp-all*  
**qed**

**lemma** *proves-beta-steps*:  
**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes** *finite*: *finite*  $\Gamma$   
**assumes** *term-ok*: *term-ok*  $\Theta$   $t$   
**assumes** *beta*:  $t \rightarrow_{\beta^*} u$   
**assumes** *ctxt*:  $\forall A \in \Gamma. \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \text{typ-of } A = \text{Some prop } T$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } t \ u$   
**using** *beta term-ok* **proof** (*induction rule: rtrancl.induct*)  
**case** (*rtrancl-refl a*)  
**then** **show** *?case* **using** *finite ctxt* **by** (*simp add: proves-eq-reflexive thy*)  
**next**  
**case** (*rtrancl-into-rtrancl a b c*)  
**hence**  $\Theta, \Gamma \vdash \text{mk-eq } a \ b$  **by** *simp*  
**moreover** **have**  $\Theta, \Gamma \vdash \text{mk-eq } b \ c$   
**using** *proves-beta-step rtrancl-into-rtrancl.hyps(2)*  
**using** *beta-star-preserves-term-ok local.finite rtrancl-into-rtrancl.hyps(1)*  
 $\text{rtrancl-into-rtrancl.prem } \text{thy } \text{finite } \text{ctxt}$  **by** *blast*  
**ultimately** **show** *?case*  
**by** (*meson finite ctxt proved-terms-well-formed(2) proves-eq-transitive-rule[OF*  
 $\text{thy } \text{---} \text{finite } \text{ctxt}]$   
 $\text{term-ok-mk-eqD term-ok-mk-eq-same-typ thy}$ )  
**qed**

**lemma** *proves-beta-norm*:  
**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes** *finite*: *finite*  $\Gamma$



**assumes** *term-ok*: *term-ok*  $\Theta$  *t*  
**assumes** *beta*: *beta-norm* *t* = *Some u*  
**assumes** *ctxt*:  $\forall A \in \Gamma. \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \text{typ-of } A = \text{Some propT}$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq } t \ u$   
**using** *finite ctxt*  
**by** (*simp add: beta-norm-imp-beta-reds local.beta local.finite proves-beta-steps term-ok thy del: term-ok-def*)

**lemma** *beta-norm-preserves-proves*:

**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes** *finite*: *finite*  $\Gamma$   
**assumes** *term-ok*:  $\Theta, \Gamma \vdash t$   
**assumes** *beta*: *beta-norm* *t* = *Some u*  
**assumes** *ctxt*:  $\forall A \in \Gamma. \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \text{typ-of } A = \text{Some propT}$   
**shows**  $\Theta, \Gamma \vdash u$   
**using** *assms proves-eq-mp-rule-better*[*OF thy - - finite ctxt*] *proves-beta-norm*[*OF thy finite - - ctxt*]  
*proved-terms-well-formed*(2)  
**by** *blast*

**lemma** *proves-eta-step-pre*:

**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes** *finite*: *finite*  $\Gamma$   
**assumes** *free*:  $\forall (x, \tau) \in \text{set } vs. (x, \tau) \notin \text{fv } t \cup \text{FV } \Gamma$   
**assumes** *term-ok'*: *term-ok*  $\Theta$  (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *t*)  
**assumes** *eta*:  $t \rightarrow_{\eta} u$   
**assumes** *ctxt*:  $\forall A \in \Gamma. \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \text{typ-of } A = \text{Some propT}$   
**shows**  $\Theta, \Gamma \vdash \text{mk-eq}$   
*(subst-bvs (map (case-prod Fv) vs) t)*  
*(subst-bvs (map (case-prod Fv) vs) u)*  
**using** *eta term-ok' free proof*(*induction t u arbitrary: vs rule: eta.induct*)  
**case** (*eta s T*)

**have** *closed*:  $\forall x \in \text{set } (\text{map } (\text{case-prod } Fv) \text{ vs}) . \text{is-closed } x$

**using** *eta.prem*s(2) **by** *auto*

**hence** *simp*: *subst-bvs* (*map* (*case-prod Fv*) *vs*) (*Abs T* (*s* \$ *Bv 0*))

= *Abs T* (*subst-bvs1'* (*s* \$ *Bv 0*) 1 (*map* (*case-prod Fv*) *vs*))

**by** *auto*

**hence** *simp'*: *subst-bvs* (*map* (*case-prod Fv*) *vs*) (*Abs T* (*s* \$ *Bv 0*))

= *Abs T* (*subst-bvs1'* *s* 1 (*map* (*case-prod Fv*) *vs*) \$ *Bv 0*)

**by** *auto*

**have** *closed*: *is-closed* (*subst-bvs* (*map* (*case-prod Fv*) *vs*) (*Abs T* (*s* \$ *Bv 0*)))

**using** *eta*(2) *wt-term-def typ-of-imp-closed* **by** *auto*

**hence** *no-loose1*:  $\neg \text{loose-bvar } (\text{subst-bvs1}' \ s \ 1 \ (\text{map } (\text{case-prod } Fv) \ \text{vs})) \ 1$

**unfolding** *is-open-def*

**by** (*metis One-nat-def Suc-eq-plus1 loose-bvar.simps*(2) *loose-bvar.simps*(3)

*simp subst-bvs1'.simps*(3))

```

have not-dependent:  $\neg$  is-dependent (subst-bvs1' s 1 (map (case-prod Fv) vs))
  using is-closed-subst-bvs1'-closeds
  by (simp add: closeds eta.hyps)

have decr-simp: subst-bv x (subst-bvs1' s 1 (map (case-prod Fv) vs))
  = subst-bvs (map (case-prod Fv) vs) (decr 0 s) for x
  apply (simp add: closeds eta.hyps subst-bvs-decr)
  using is-dependent-def no-loose-bvar1-subst-bv2-decr not-dependent substn-subst-0'
by auto
have ok: term-ok  $\Theta$  (subst-bvs1' s 1 (map (case-prod Fv) vs))
  by (metis One-nat-def Suc-leI eta.prems(1) is-dependent-def le-eq-less-or-eq
    loose-bvar-decr-unchanged loose-bvar-iff-exist-loose-bvar1 no-loose1 not-dependent
    simp'
    term-ok-eta-red-step)
hence ok-ind: wf-term (sig  $\Theta$ ) (subst-bvs1' s 1 (map (case-prod Fv) vs))
  using wt-term-def by simp

obtain  $\tau$  where typ-of (Abs T (subst-bvs1' (s $ Bv 0) 1 (map (case-prod Fv)
vs))) = Some (T  $\rightarrow$   $\tau$ )
  using eta.prems(1) simp wt-term-def typ-of-Abs-body-typ'
  by (smt has-typ-iff-typ-of typ-of-def term-ok-def)
hence ty: typ-of (subst-bvs1' s 1 (map (case-prod Fv) vs)) = Some (T  $\rightarrow$   $\tau$ )
  using eta.eta eta-preserves-typ-of is-closed-decr-unchanged not-dependent
    ok simp simp' wt-term-def typ-of-imp-closed
  by (metis (no-types, lifting) has-typ-imp-closed term-ok-def)

then show ?case
  using proves.eta[OF thy ok-ind, of - -  $\Gamma$ ] ty decr-simp simp'
  by (simp add: closeds eta.hyps subst-bvs-decr typ-of-imp-closed)
next
case (appL s t u)
hence ok: term-ok  $\Theta$  (subst-bvs (map (case-prod Fv) vs) s)
  term-ok  $\Theta$  (subst-bvs (map (case-prod Fv) vs) u)
  by (metis subst-bvs-App term-ok-app-eqD)+
moreover have  $\forall a \in \text{set } vs. \text{case } a \text{ of } (x, \tau) \Rightarrow (x, \tau) \notin \text{fv } s \cup \text{FV } \Gamma$ 
  using appL by simp
ultimately have  $\Theta, \Gamma \vdash \text{mk-eq} (\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{vs}) s)$ 
  (subst-bvs (map (case-prod Fv) vs) t)
  using appL.IH by blast
moreover have  $\Theta, \Gamma \vdash \text{mk-eq} (\text{subst-bvs} (\text{map} (\text{case-prod } Fv) \text{vs}) u)$ 
  (subst-bvs (map (case-prod Fv) vs) u)
  using proves-eq-reflexive[OF thy ok(2), of  $\Gamma$ , OF finite ctxt] by blast
moreover obtain  $\tau$  where  $\tau$ : typ-of
  (subst-bvs (map (case-prod Fv) vs) u) = Some  $\tau$ 
  using ok wt-term-def by auto
moreover obtain  $\tau'$  where typ-of
  (subst-bvs (map (case-prod Fv) vs) s) = Some ( $\tau \rightarrow \tau'$ )
  using  $\tau$  appL.prems(1) not-None-eq subst-bvs-App wt-term-def typ-of1-arg-typ
  typ-of-def

```

by (*smt has-typ-iff-typ-of typ-of-def term-ok-def*)  
**ultimately show** *?case*  
 using *proves-eq-combination-rule-better thy finite ctxt by simp*  
**next**  
 case (*appR s t u*)  
**hence** *ok: term-ok*  $\Theta$  (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *s*)  
           *term-ok*  $\Theta$  (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *u*)  
 by (*metis subst-bvs-App term-ok-app-eqD*)  
**moreover have**  $\forall a \in \text{set } vs. \text{ case } a \text{ of } (x, \tau) \Rightarrow (x, \tau) \notin \text{fv } s \cup FV \Gamma$   
 using *appR by simp*  
**ultimately have**  $\Theta, \Gamma \vdash \text{mk-eq}$  (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *s*)  
           (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *t*)  
 using *appR.IH by blast*  
**moreover have**  $\Theta, \Gamma \vdash \text{mk-eq}$  (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *u*)  
           (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *u*)  
 using *proves-eq-reflexive[OF thy ok(2), of  $\Gamma$ , OF finite ctxt] by blast*  
**moreover obtain**  $\tau$  **where**  $\tau: \text{typ-of}$   
           (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *s*) = *Some*  $\tau$   
 using *ok wt-term-def by auto*  
**moreover obtain**  $\tau'$  **where**  $\text{typ-of}$   
           (*subst-bvs* (*map* (*case-prod Fv*) *vs*) *u*) = *Some* ( $\tau \rightarrow \tau'$ )  
 using  $\tau$  *appR.prem(1) not-None-eq subst-bvs-App wt-term-def typ-of1-arg-typ*  
*typ-of-def*  
 by (*metis term-okD2*)  
**ultimately show** *?case*  
 using *proves-eq-combination-rule-better thy finite ctxt by simp*  
**next**  
 case (*abs s t T*)  
**have**  $\forall a \in \text{set } vs. \text{ case } a \text{ of } (x, \tau) \Rightarrow (x, \tau) \notin \text{fv } s \cup FV \Gamma$   
 using *abs.prem(2) by auto*  
  
**have**  $\forall v \in \text{set } (\text{map } (\text{case-prod } Fv) \text{ vs}) . \text{ is-closed } v$   
 by *auto*  
  
**hence** *simp: mk-eq* (*subst-bvs* (*map* (*case-prod Fv*) *vs*) (*Abs T s*)  
           (*subst-bvs* (*map* (*case-prod Fv*) *vs*) (*Abs T t*)))  
 = *mk-eq* (*Abs T* (*subst-bvs1' s 1* (*map* (*case-prod Fv*) *vs*)))  
           (*Abs T* (*subst-bvs1' t 1* (*map* (*case-prod Fv*) *vs*)))  
 by *simp*  
  
**have** *T-ok: typ-ok*  $\Theta$  *T*  
 using *abs.prem term-ok-Types-typ-ok simp thy by auto*  
  
**have** *1: finite* (*fv* (*mk-eq* (*Abs T* (*subst-bvs1' s 1* (*map* (*case-prod Fv*) *vs*)))  
           (*Abs T* (*subst-bvs1' t 1* (*map* (*case-prod Fv*) *vs*))))  $\cup$  *FV*  $\Gamma$   $\cup$  *fv s*)  
 using *finite finite-fv finite-FV by simp*  
**hence**  $\exists x . (x, T) \notin (\text{fv } (\text{mk-eq } (\text{Abs } T (\text{subst-bvs1' } s \ 1 (\text{map } (\text{case-prod } Fv) \text{ vs})))$   
           (*Abs T* (*subst-bvs1' t 1* (*map* (*case-prod Fv*) *vs*))))  $\cup$  *FV*  $\Gamma$   $\cup$  *fv s*)  
**proof** –

```

have  $\bigwedge v t P. (v::\text{variable}, t::\text{typ}) \notin P \vee v \in \text{fst } P$ 
  by (metis (no-types) fst-conv image-eqI)
then show ?thesis
using 1 variant-variable-fresh finite-Un finite-imageI fst-conv image-eqI
by smt
qed
from this
obtain  $x$  where  $x: (x, T) \notin (\text{fv } (\text{mk-eq } (\text{Abs } T (\text{subst-bvs1}' s 1 (\text{map } (\text{case-prod } Fv) vs)))) \cup FV \Gamma \cup \text{fv } s)$ 
  by fastforce
hence  $x: (x, T) \notin \text{fv } (\text{mk-eq } (\text{Abs } T (\text{subst-bvs1}' s 1 (\text{map } (\text{case-prod } Fv) vs))))$ 
   $(\text{Abs } T (\text{subst-bvs1}' t 1 (\text{map } (\text{case-prod } Fv) vs)))) \cup FV \Gamma \cup \text{fv } s$ 
  by auto

have ok: term-ok  $\Theta (\text{Abs } T (\text{subst-bvs1}' s 1 (\text{map } (\text{case-prod } Fv) vs)))$ 
  using abs.premis(1) simp by auto

have combine:  $(\text{subst-bv } (Fv x T) (\text{subst-bvs1}' s 1 (\text{map } (\text{case-prod } Fv) vs))) =$ 
   $(\text{subst-bvs } (\text{map } (\text{case-prod } Fv) ((x, T)\#vs)) s)$ 
  using subst-bvs-extend-lower-level
  using  $\langle \forall v \in \text{set } (\text{map } (\lambda(x, y). \text{term.Fv } x y) vs). \text{is-closed } v \rangle$  by auto
have 1:  $\Theta, \Gamma \vdash \text{mk-eq } (\text{subst-bvs } (\text{map } (\text{case-prod } Fv) ((x, T)\#vs)) s)$ 
   $(\text{subst-bvs } (\text{map } (\text{case-prod } Fv) ((x, T)\#vs)) t)$ 
  apply(rule abs.IH)
  using ok combine apply (metis term-ok-subst-bv)
  using  $x$  abs.premis(2) by auto
have  $\Theta, \Gamma \vdash \text{mk-eq}$ 
   $(\text{Abs } T (\text{subst-bvs1}' s 1 (\text{map } (\text{case-prod } Fv) vs)))$ 
   $(\text{Abs } T (\text{subst-bvs1}' t 1 (\text{map } (\text{case-prod } Fv) vs)))$ 
  apply (rule proves-ascend-abs-rule'[where x=x])
  using thy apply simp
  using  $x$  apply simp
  using  $x$  apply simp
  using T-ok apply simp
using 1  $\langle \forall v \in \text{set } (\text{map } (\lambda(x, y). \text{term.Fv } x y) vs). \text{is-closed } v \rangle$  subst-bvs-extend-lower-level
  finite ctxt by auto
then show ?case
  using simp by auto
qed

lemma proves-eta-step:
assumes thy: wf-theory  $\Theta$ 
assumes finite: finite  $\Gamma$ 
assumes term-ok: term-ok  $\Theta t$ 
assumes eta:  $t \rightarrow_{\eta} u$ 
assumes ctxt:  $\forall A \in \Gamma. \text{term-ok } \Theta A \forall A \in \Gamma. \text{typ-of } A = \text{Some prop } T$ 

```

**shows**  $\Theta, \Gamma \vdash mk\text{-}eq\ t\ u$   
**proof** –  
**have** *unsimpt*:  $t = subst\text{-}bus\ (map\ (case\text{-}prod\ Fv)\ [])\ t$   
**by** *simp*  
**moreover have** *unsimpu*:  $u = subst\text{-}bus\ (map\ (case\text{-}prod\ Fv)\ [])\ u$   
**by** *simp*  
**ultimately have** *unsimp*:  $mk\text{-}eq\ t\ u = mk\text{-}eq$   
 $(subst\text{-}bus\ (map\ (case\text{-}prod\ Fv)\ [])\ t)$   
 $(subst\text{-}bus\ (map\ (case\text{-}prod\ Fv)\ [])\ u)$   
**by** *simp*  
**show** *?thesis*  
**apply** (*subst unsimp*)  
**apply** (*rule proves-eta-step-pre*)  
**using** *assms* **by** *simp-all*  
**qed**

**lemma** *proves-eta-steps*:  
**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes** *finite*: *finite*  $\Gamma$   
**assumes** *term-ok*: *term-ok*  $\Theta\ t$   
**assumes** *eta*:  $t \rightarrow_{\eta}^* u$   
**assumes** *ctxt*:  $\forall A \in \Gamma. term\text{-}ok\ \Theta\ A\ \forall A \in \Gamma. typ\text{-}of\ A = Some\ propT$   
**shows**  $\Theta, \Gamma \vdash mk\text{-}eq\ t\ u$   
**using** *eta term-ok* **proof** (*induction rule: rtrancl.induct*)  
**case** (*rtrancl-refl a*)  
**then show** *?case* **using** *finite ctxt* **by** (*simp add: proves-eq-reflexive thy*)  
**next**  
**case** (*rtrancl-into-rtrancl a b c*)  
**hence**  $\Theta, \Gamma \vdash mk\text{-}eq\ a\ b$  **by** *simp*  
**moreover have**  $\Theta, \Gamma \vdash mk\text{-}eq\ b\ c$   
**using** *proves-eta-step rtrancl-into-rtrancl.hyps(2) eta-star-preserved-term-ok*  
*local.finite*  
*rtrancl-into-rtrancl.hyps(1) rtrancl-into-rtrancl.premis thy finite ctxt*  
**by** *blast*  
**ultimately show** *?case*  
**by** (*meson proved-terms-well-formed(2) proves-eq-transitive-rule[OF thy - - -*  
*- - - finite ctxt]*  
*term-ok-mk-eqD term-ok-mk-eq-same-typ thy*)  
**qed**

**lemma** *proves-eta-norm*:  
**assumes** *thy*: *wf-theory*  $\Theta$   
**assumes** *finite*: *finite*  $\Gamma$   
**assumes** *term-ok*: *term-ok*  $\Theta\ t$   
**assumes** *eta*: *eta-norm*  $t = u$   
**assumes** *ctxt*:  $\forall A \in \Gamma. term\text{-}ok\ \Theta\ A\ \forall A \in \Gamma. typ\text{-}of\ A = Some\ propT$   
**shows**  $\Theta, \Gamma \vdash mk\text{-}eq\ t\ u$   
**using** *finite ctxt*  
**by** (*simp add: eta-norm-imp-eta-reds local.eta local.finite proves-eta-steps term-ok*)

*thy del: term-ok-def*)

**lemma** *eta-norm-preserves-proves*:

**assumes** *thy: wf-theory*  $\Theta$

**assumes** *finite: finite*  $\Gamma$

**assumes** *term-ok*:  $\Theta, \Gamma \vdash t$

**assumes** *eta: eta-norm*  $t = u$

**assumes** *ctxt*:  $\forall A \in \Gamma. \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \text{typ-of } A = \text{Some propT}$

**shows**  $\Theta, \Gamma \vdash u$

**using** *assms proves-eq-mp-rule-better*[*OF thy - - finite ctxt*]

*proves-eta-norm*[*OF thy finite - - ctxt*] *proved-terms-well-formed(2)* **by** *blast*

**lemma** *beta-eta-norm-preserves-proves*:

**assumes** *thy: wf-theory*  $\Theta$

**assumes** *finite: finite*  $\Gamma$

**assumes** *term-ok*:  $\Theta, \Gamma \vdash t$

**assumes** *beta-eta: beta-eta-norm*  $t = \text{Some } u$

**assumes** *ctxt*:  $\forall A \in \Gamma. \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \text{typ-of } A = \text{Some propT}$

**shows**  $\Theta, \Gamma \vdash u$

**using** *beta-eta beta-norm-preserves-proves*[*OF thy finite - - ctxt*]

*eta-norm-preserves-proves*[*OF thy finite - - ctxt*] *finite term-ok thy* **by** *blast*

**lemma** *forall-elim'*:

**assumes** *thy: wf-theory*  $\Theta$

**assumes** *all*:  $\Theta, \Gamma \vdash \text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT}) \ \$ \ B$

**assumes** *a: has-typ*  $a \ \tau \ \text{wf-term } (\text{sig } \Theta) \ a$

**assumes** *ctxt*: *finite*  $\Gamma \ \forall A \in \Gamma. \text{term-ok } \Theta \ A \ \forall A \in \Gamma. \text{typ-of } A = \text{Some propT}$

**shows**  $\Theta, \Gamma \vdash B \cdot a$

**proof**(*cases is-Abs B*)

**case** *True*

**from** *this* **obtain**  $t \ T$  **where** *Abs*:  $B = \text{Abs } T \ t$

**using** *is-Abs-def* **by** *auto*

**have**  $T = \tau$

**by** (*smt Abs all list.inject proved-terms-well-formed(1) typ.inject(1) typ-of1.simps(1)*)

*typ-of-Abs-body-typ' typ-of-def typ-of-fun*)

**then** **show** *?thesis*

**using** *True Abs all a* **by** (*auto intro: forall-elim*[**where**  $\tau = \tau$ ])

**next**

**case** *False*

**have** *wf-B*: *wf-term* (*sig*  $\Theta$ )  $B$

**using** *all proved-terms-well-formed(2) term-okD1 term-ok-app-eqD* **by** *blast*

**have** *B-typ*:  $\vdash_{\tau} B : \tau \rightarrow \text{propT}$

**by** (*metis* (*no-types, lifting*) *all proved-terms-well-formed(1) typ-of1.simps(1)*)

*typ-of-def*

*typ-of-fun typ-of-imp-has-typ*)

**have**  $B \cdot a = B \ \$ \ a$

```

    using False by (metis betapply.elims term.discI(4))
  moreover have Abs  $\tau$  (B $ Bv 0) · a = B $ a
    using B-typ closed-subst-bv-no-change subst-bv-def typ-of-imp-closed
    by (auto simp add: subst-bv-def incr-boundvars-def)
  ultimately have simp: B · a = subst-bv a (B $ Bv 0)
    by auto

  have 1:  $\Theta, \Gamma \vdash \text{mk-eq} (\text{Abs } \tau (B \$ Bv 0)) B$ 
    by (rule proves.eta[OF thy wf-B B-typ])
  have 2:  $\Theta, \Gamma \vdash \text{mk-eq} B (\text{Abs } \tau (B \$ Bv 0))$ 
    apply (rule proves-eq-symmetric-rule[OF thy - - 1 ctxt])
    using wf-B B-typ term-ok-def wt-term-def apply blast
    using 1 proved-terms-well-formed(2) term-ok-mk-eqD apply blast
    using B-typ Logic.typ-of-eta-expand by auto
  have 3:  $\Theta, \Gamma \vdash \text{mk-eq} (\text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT})) (\text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT}))$ 
    apply (rule proves-eq-reflexive[OF thy - ctxt])
    using all proved-terms-well-formed(2) term-ok-app-eqD by blast

  have 4:  $\Theta, \Gamma \vdash \text{mk-eq}$ 
    ( $\text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT}) \$ B$ )
    ( $\text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT}) \$ (\text{Abs } \tau (B \$ Bv 0))$ )
    apply (rule proves-eq-combination-rule-better[OF thy 3 2 - - ctxt, where  $\tau=(\tau \rightarrow \text{propT})$  and  $\tau'=\text{propT}$ ])
    using typ-of-def apply auto[1]
    using B-typ by blast

  have 5:  $\Theta, \Gamma \vdash (\text{Ct STR "Pure.all" } ((\tau \rightarrow \text{propT}) \rightarrow \text{propT}) \$ (\text{Abs } \tau (B \$ Bv 0)))$ 
    by (rule proves-eq-mp-rule-better[OF thy 4 all ctxt])

  show ?thesis
    apply (subst simp)
    apply (rule proves.forall-elim[OF 5])
    using assms(3) apply blast
    using assms(4) by blast
qed
end

```

## 12 Proof Terms and proof checker

```

theory ProofTerm
  imports Term Logic Term-Subst SortConstants EqualityProof
begin

```

```

type-synonym tyinst = (variable × sort) × typ
type-synonym tinst = (variable × typ) × term

```

**datatype** *proofterm* = *PAxm term tyinst list*

```
| PBound nat
| Abst typ proofterm
| AbsP term proofterm
| Appt proofterm term
| AppP proofterm proofterm
| OfClass typ class
| Hyp term
```

**fun** *depth* :: *proofterm*  $\Rightarrow$  *nat* **where**

```
depth (Abst - P) = Suc (depth P)
| depth (AbsP - P) = Suc (depth P)
| depth (Appt P -) = Suc (depth P)
| depth (AppP P1 P2) = Suc (max (depth P1) (depth P2))
| depth - = 1
```

**fun** *size* :: *proofterm*  $\Rightarrow$  *nat* **where**

```
size (Abst - P) = Suc (size P)
| size (AbsP - P) = Suc (size P)
| size (Appt P -) = Suc (size P)
| size (AppP P1 P2) = Suc (size P1 + size P2)
| size - = 1
```

**lemma** *depth P > 0*

**by** (*induction P*) *auto*

**lemma** *size P > 0*

**by** (*induction P*) *auto*

**lemma** *size P  $\geq$  depth P*

**by** (*induction P*) *auto*

**fun** *partial-nth* :: '*a list*  $\Rightarrow$  *nat*  $\Rightarrow$  '*a option* **where**

```
partial-nth [] - = None
| partial-nth (x#xs) 0 = Some x
| partial-nth (x#xs) (Suc n) = partial-nth xs n
```

**definition** [*simp*]: *partial-nth' xs n*  $\equiv$  *if* *n < length xs* *then* *Some (nth xs n)* *else* *None*

**lemma** *partial-nth xs n*  $\equiv$  *partial-nth' xs n*

**by** (*induction rule: partial-nth.induct*) *auto*

**lemma** *partial-nth-Some-imp-elem: partial-nth l n = Some x  $\implies$  x  $\in$  set l*

**by** (*induction rule: partial-nth.induct*) *auto*

The core of the proof checker

**fun** *replay'* :: *theory*  $\Rightarrow$  (*variable*  $\times$  *typ*) *list*  $\Rightarrow$  *variable set*

$\Rightarrow$  *term list*  $\Rightarrow$  *proofterm*  $\Rightarrow$  *term option* **where**

```
replay' thy - - Hs (PAxm t Tis) = (if inst-ok thy Tis  $\wedge$  term-ok thy t
then if t  $\in$  axioms thy
```



```

      then Some (forall-intro-vars (subst-typ' Tis t) [])
      else None else None)
| replay' thy - - Hs (PBound n) = partial-nth Hs n
| replay' thy vs ns Hs (Abst T p) = (if typ-ok thy T
  then (let (s',ns') = variant-variable (Free STR "default") ns in
    map-option (mk-all s' T) (replay' thy ((s', T) # vs) ns' Hs p))
  else None)
| replay' thy vs ns Hs (Appt p t) =
  (let rep = replay' thy vs ns Hs p in
  let t' = subst-bvs (map (λ(x,y) . Fv x y) vs) t in
  case (rep, typ-of t') of
    (Some (Ct s (Ty fun1 [Ty fun2 [τ, Ty propT1 Nil], Ty propT2 Nil]) $ b),
    Some τ') ⇒
      if s = STR "Pure.all" ∧ fun1 = STR "fun" ∧ fun2 = STR "fun"
        ∧ propT1 = STR "prop" ∧ propT2 = STR "prop"
        ∧ τ=τ' ∧ term-ok thy t'
      then Some (b · t') else None
    | - ⇒ None)
| replay' thy vs ns Hs (AbsP t p) =
  (let t' = subst-bvs (map (λ(x,y) . Fv x y) vs) t in
  let rep = replay' thy vs ns (t'#Hs) p in
  (if typ-of t' = Some propT ∧ term-ok thy t' then map-option (mk-imp t') rep
  else None))
| replay' thy vs ns Hs (AppP p1 p2) =
  (let rep1 = Option.bind (replay' thy vs ns Hs p1) beta-eta-norm in
  let rep2 = Option.bind (replay' thy vs ns Hs p2) beta-eta-norm in
  (case (rep1, rep2) of (
    Some (Ct imp (Ty fn1 [Ty prp1 [], Ty fn2 [Ty prp2 [], Ty prp3 []]) $ A $
    B),
    Some A') ⇒
      if imp = STR "Pure.imp" ∧ fn1 = STR "fun" ∧ fn2 = STR "fun"
        ∧ prp1 = STR "prop" ∧ prp2 = STR "prop" ∧ prp3 = STR "prop" ∧
        A=A'
      then Some B else None
    | - ⇒ None))
| replay' thy vs ns Hs (OfClass ty c) = (if has-sort (osig (sig thy)) ty {c}
  ∧ typ-ok thy ty
  then (case const-type (sig thy) (const-of-class c) of
    Some (Ty fun [Ty it [ity], Ty prop []]) ⇒
      if ity = tvariable STR "a" ∧ fun = STR "fun" ∧ prop = STR "prop" ∧
      it = STR "itself"
      then Some (mk-of-class ty c) else None | - ⇒ None) else None)
| replay' thy vs ns Hs (Hyp t) = (if t∈set Hs then Some t else None)

```

**lemma** *fv-subst-bv1*:

*fv* (subst-bv1 t lev u) = *fv* t ∪ (if loose-bvar1 t lev then *fv* u else {})

**by** (induction t lev u rule: subst-bv1.induct) (auto simp add: incr-boundvars-def)

**corollary** *fv-subst-bvs-upper-bound*:

**assumes** *is-closed t*

**shows**  $fv (subst-bvs us t) \subseteq fv t \cup (\bigcup x \in set us . (fv x))$

**unfolding** *subst-bvs-def*

**using** *assms* **by** (*simp add: is-open-def no-loose-bvar-imp-no-subst-bvs1*)

**lemma** *fv-subst-bvs1-upper-bound*:

$fv (subst-bvs1 t lev us) \subseteq fv t \cup (\bigcup x \in set us . (fv x))$

**proof** (*induction t lev us rule: subst-bvs1.induct*)

**case** (*1 n lev args*)

**then show** *?case*

**proof** (*induction args arbitrary: n lev*)

**case** *Nil*

**then show** *?case*

**by** *simp*

**next**

**case** (*Cons a args*)

**then show** *?case*

**by** *simp (metis SUP-upper le-supI1 le-supI2 length-Suc-conv nth-mem set-ConsD set-eq-subset)*

**qed**

**qed** (*auto simp add: incr-boundvars-def*)

**lemma** *typ-of-axiom*:  $wf\text{-theory } thy \implies t \in axioms\ thy \implies typ\text{-of } t = Some\ propT$

**by** (*cases thy rule: theory-full-exhaust*) *simp*

**fun** *fv-Proof* :: *proofterm*  $\Rightarrow$  (*variable*  $\times$  *typ*) *set* **where**

*fv-Proof* (*PAxm t -*) = *fv t*

| *fv-Proof* (*PBound -*) = *empty*

| *fv-Proof* (*Abst - p*) = *fv-Proof p*

| *fv-Proof* (*AbsP t p*) =  $fv\ t \cup fv\text{-Proof } p$

| *fv-Proof* (*Appt p t*) =  $fv\text{-Proof } p \cup fv\ t$

| *fv-Proof* (*AppP p1 p2*) =  $fv\text{-Proof } p1 \cup fv\text{-Proof } p2$

| *fv-Proof* (*OfClass - -*) = *empty*

| *fv-Proof* (*Hyp t*) = *fv t*

**lemma** *typ-ok-Tv[simp]*:  $typ\text{-ok } thy (Tv\ idn\ S) = wf\text{-sort } (subclass\ (osig\ (sig\ thy)))$   
*S*

**by** *simp*

**lemma** *typ-ok-contained-tvars-typ-ok*:  $typ\text{-ok } thy\ ty \implies (idn, S) \in tvsT\ ty \implies typ\text{-ok } thy (Tv\ idn\ S)$

**by** (*induction ty*) (*use split-list typ-ok-Ty in*  $\langle all\ \langle fastforce\ split: option.splits \rangle \rangle$ )

**lemma** *typ-ok-sig-contained-tvars-typ-ok-sig*:

$typ\text{-ok-sig } \Sigma\ ty \implies (idn, S) \in tvsT\ ty \implies typ\text{-ok-sig } \Sigma (Tv\ idn\ S)$

**by** (*induction ty*) (*use split-list typ-ok-sig-Ty in*  $\langle all\ \langle fastforce\ split: option.splits \rangle \rangle$ )

**lemma** *term-ok'-contained-tvars-typ-ok-sig*:  
 $term-ok' \Sigma t \implies (idn, S) \in tvs t \implies typ-ok-sig \Sigma (Tv idn S)$

**proof** (*induction t*)  
**case** (*Ct n T*)  
**hence** *typ-ok-sig*  $\Sigma T$   
**by** (*auto split: option.splits*)  
**then show** *?case*  
**using** *typ-ok-sig-contained-tvars-typ-ok-sig Ct* **by** *auto*  
**next**  
**case** (*Fv idn T*)  
**hence** *typ-ok-sig*  $\Sigma T$   
**by** (*auto split: option.splits*)  
**then show** *?case*  
**using** *typ-ok-sig-contained-tvars-typ-ok-sig Fv* **by** *auto*  
**next**  
**case** (*Bv n*)  
**then show** *?case* **by** *auto*  
**next**  
**case** (*Abs T t*)  
**hence** *typ-ok-sig*  $\Sigma T$   
**by** (*auto split: option.splits*)  
**then show** *?case*  
**using** *typ-ok-sig-contained-tvars-typ-ok-sig Abs* **by** *fastforce*  
**next**  
**case** (*App t1 t2*)  
**then show** *?case*  
**by** *auto*  
**qed**

**lemma** *term-ok-contained-tvars-typ-ok*:  
 $term-ok thy t \implies (idn, S) \in tvs t \implies typ-ok thy (Tv idn S)$   
**using** *wt-term-def typ-ok-def term-ok'-contained-tvars-typ-ok-sig term-ok-def* **by**  
*blast*

**lemma** *typ-ok-subst-typ*:  
 $typ-ok thy T \implies \forall (-, ty) \in set insts . typ-ok thy ty \implies typ-ok thy (subst-typ insts T)$

**proof** (*induction insts T rule: subst-typ.induct*)  
**case** (*1 insts n Ts*)  
**have** *typ-ok thy x* **if**  $x \in set Ts$  **for**  $x$   
**by** (*metis (full-types) 1.premis(1) in-set-conv-decomp-first list-all-append list-all-simps(1)*  
*that typ-ok-Ty*)  
**hence** *typ-ok thy (subst-typ insts x)* **if**  $x \in set Ts$  **for**  $x$   
**using** *that 1* **by** *simp*  
**then show** *?case*  
**using** *1.premis(1)* **by** (*auto simp add: list-all-iff split: option.splits*)  
**next**  
**case** (*2 insts idn S*)

```

then show ?case
proof(cases (idn, S) ∈ set (map fst insts))
  case True
  obtain ty where ty: lookup (λk. k=(idn,S)) insts = Some ty
  by (metis (full-types) True lookup-None-iff not-Some-eq)
  hence subst-typ insts (Tv idn S) = ty
  by simp
  then show ?thesis
  using 2.premis(2) ty case-prodD lookup-present-eq-key' by fastforce
next
case False
  hence subst-typ insts (Tv idn S) = Tv idn S
  by (metis (mono-tags, lifting) lookup-None-iff subst-typ.simps(2) the-default.simps(1))
  then show ?thesis
  using 2.premis(1) by simp
qed
qed

lemma typ-ok-sig-subst-typ:
  typ-ok-sig Σ T ⇒ ∀(-, ty) ∈ set insts . typ-ok-sig Σ ty ⇒ typ-ok-sig Σ (subst-typ
insts T)
proof (induction insts T rule: subst-typ.induct)
  case (1 insts n Ts)
  have typ-ok-sig Σ x if x∈set Ts for x
  using 1.premis(1) split-list that typ-ok-sig-Ty by fastforce
  hence typ-ok-sig Σ (subst-typ insts x) if x∈set Ts for x
  using that 1 by simp
  then show ?case
  using 1.premis(1) by (auto simp add: list-all-iff split: option.splits)
next
case (2 insts idn S)
  then show ?case
  proof(cases (idn, S) ∈ set (map fst insts))
    case True
    obtain ty where ty: lookup (λk. k=(idn,S)) insts = Some ty
    by (metis (full-types) True lookup-None-iff not-Some-eq)
    hence subst-typ insts (Tv idn S) = ty
    by simp
    then show ?thesis
    using 2.premis(2) ty case-prodD lookup-present-eq-key' by fastforce
  next
  case False
  hence subst-typ insts (Tv idn S) = Tv idn S
  by (metis (mono-tags, lifting) lookup-None-iff subst-typ.simps(2) the-default.simps(1))
  then show ?thesis
  using 2.premis(1) by simp
qed
qed

```

**lemma** *typ-ok-sig-imp-sortsT-ok-sig*:  $\text{typ-ok-sig } \Sigma T \implies S \in \text{Sorts } T T \implies \text{wf-sort}$   
*(subclass (osig  $\Sigma$ )) S*  
**by** (*induction T*) (*use split-list in <all <fastforce simp add: wf-sort-def split: option.splits>>*)

**lemma** *term-ok'-imp-Sorts-ok-sig*:  $\text{term-ok}' \Sigma t \implies S \in \text{Sorts } t \implies \text{wf-sort}$  (*subclass (osig  $\Sigma$ ) S*)  
**by** (*induction t*) (*use typ-ok-sig-imp-sortsT-ok-sig in <(fastforce split: option.splits)+>*)

**lemma** *replay'-sound-pre*:  
**assumes** *thy: wf-theory thy*

**assumes** *HS-invs*:  
 $\bigwedge x. x \in \text{set } Hs \implies \text{term-ok } \text{thy } x$   
 $\bigwedge x. x \in \text{set } Hs \implies \text{typ-of } x = \text{Some prop } T$

**assumes** *ns-invs*:  
*finite ns*  
*fst ' FV (set Hs)  $\subseteq$  ns*  
*fst ' fv-Proof P  $\subseteq$  ns*

**assumes** *vs-invs*:  
*fst ' set vs  $\subseteq$  ns*

**assumes** *replay' thy vs ns Hs P = Some res*  
**shows** *thy, (set Hs)  $\vdash$  res*

**using** *assms proof* (*induction thy vs ns Hs P arbitrary: res rule: replay'.induct*)  
**case** (*1 thy uu uv Hs t Tis*)  
**hence**  
*ax: t  $\in$  axioms thy*  
**and** *insts: inst-ok thy Tis and t: term-ok thy t*  
**and** *res: forall-intro-vars (subst-typ' Tis t) [] = res*  
**by** (*auto split: if-splits*)  
**hence** *1: thy, {}  $\vdash$  res*  
**using** *res 1.prem(1) proved-terms-well-formed-pre*  
**using** *axiom forall-intro-vars inst-ok-imp-wf-inst tsubst-simulates-subst-typ'*  
**by** (*metis (no-types, lifting) empty-set*)  
**show** *?case*  
**using** *weaken-proves-set[of set Hs, OF - 1]*  
**using** *1.prem(2) 1.prem(3) by auto*

**next**  
**case** (*2 thy ux uy Hs n*)  
**hence** *res  $\in$  set Hs using partial-nth-Some-imp-elem by simp*  
**then show** *?case using proves.assume 2 by (simp add: wt-term-def)*

**next**  
**case** (*3 thy vs ns Hs T p*)

**obtain** *s' ns' where names: (s',ns') = variant-variable (Free STR "default") ns*

```

  by simp
  from this 3 obtain bres where bres: replay' thy ((s', T) # vs) ns' Hs p = Some
bres
  by (auto split: if-splits prod.splits)
  have ns' = insert s' ns using variant-variable-adds names
  by (metis fst-conv snd-conv)
  have s' ∉ ns using 3.prem1 variant-variable-fresh names
  by (metis fst-conv)
  hence s' ∉ fst ` FV (set Hs) using 3.prem1 by blast
  hence free: (s', T) ∉ FV (set Hs) by force

  have typ-ok: wf-type (sig thy) T
  using names 3.prem1 by (auto split: if-splits)
  have I:thy, set Hs ⊢ bres
  apply (rule 3.IH[OF - names])
  using names 3.prem1 apply (solves ⟨simp split: if-splits⟩)+
  using names 3.prem1 ⟨ns' = insert s' ns⟩ apply fastforce
  using 3.prem1(7) ⟨ns' = insert s' ns⟩ apply auto[1]
  using 3.prem1(8) ⟨ns' = insert s' ns⟩ apply auto[1]
  using 3.prem1(6) apply fastforce
  using 3.prem1(7) ⟨ns' = insert s' ns⟩ apply auto[1]
  using 3.prem1(8) ⟨ns' = insert s' ns⟩ apply auto[1]
  using bres by fastforce
  have res: res = mk-all s' T bres using names bres 3 by (auto split: if-splits
prod.splits)
  show ?case using proves.forall-intro[OF ⟨wf-theory thy⟩ I free typ-ok] res by
simp
next
  case (4 thy vs ns Hs p t)
  from ⟨replay' thy vs ns Hs (Appt p t) = Some res⟩ obtain rep t' b s fun1 fun2
propT1 propT2 τ τ' where
  conds: replay' thy vs ns Hs p = Some rep
  t' = subst-bus (map (λ(x,y) . Fv x y) vs) t
  typ-of t' = Some τ'
  τ = τ'
  term-ok thy t'
  s = STR "Pure.all" ∧ fun1 = STR "fun" ∧ fun2 = STR "fun" ∧ propT1 =
STR "prop" ∧ propT2 = STR "prop"
  rep = Ct s (Ty fun1 [Ty fun2 [τ, Ty propT1 Nil], Ty propT2 Nil]) $ b
  and res: res = (b · t')

  by (auto split: term.splits typ.splits list.splits if-splits option.splits simp add:
Let-def)

  have ctxt: finite (set Hs) ∨ A ∈ set Hs . term-ok thy A ∨ A ∈ set Hs . typ-of A
= Some propT
  using 4 by auto

```

```

show ?case
  using conds 4.premis ctax
  by (auto simp add: res wt-term-def simp del: FV-def
    intro!: forall-elim'[OF 4.premis(1) - - - ctax] 4.IH)
next
  case (5 thy vs ns Hs t p)
  from this obtain t' rep where
    conds: subst-bvs (map (λ(x,y) . Fv x y) vs) t = t'
    replay' thy vs ns (t'#Hs) p = Some rep
    typ-of t' = Some propT term-ok thy t'
    and res: res = mk-imp t' rep
    by (auto split: term.splits typ.splits list.splits if-splits option.splits simp add:
  Let-def)

  show ?case
  proof (cases t'∈ set Hs)
    case True
      hence s: set Hs = set (t' # Hs) by auto
      hence s': set Hs = insert t' (set Hs - {t'}) by auto

      have thy,set (t' # Hs) ⊢ rep
        apply (rule 5.IH)
      using conds(4) 5.premis True by (auto simp add: conds(1) conds(2)[symmetric]
  conds(3))
      hence thy,set Hs - {t'} ⊢ t' ↦ rep
        using implies-intro 5.premis(1) 5.premis(4) conds(3) conds(4) s
        using has-typ-iff-typ-of term-ok'-imp-wf-term term-okD1 by presburger
      then show ?thesis
        apply (subst res)
        apply (subst s')
        apply (rule weaken-proves)
        using conds(3-4) by blast+
    next
      case False
        hence s: set Hs = insert t' (set Hs) - {t'} by auto

        have FV (set (map (λ(x,y) . Fv x y) vs)) = set vs by (induction vs) auto
        hence frees-bound: fv t' ⊆ fv t ∪ set vs
        using fv-subst-bvs1-upper-bound subst-bvs-def by (fastforce simp add: conds(1)[symmetric])

        have pre: thy,set (t' # Hs) ⊢ rep
          apply (rule 5.IH)
          using 5.premis(5-8) conds(3-4) frees-bound
          by (auto simp add: 5.premis(1-4) conds(1) conds(2) image-subset-iff simp
  del: term-ok-def)

        show ?thesis
          apply (subst res) apply (subst s)

```

```

apply (rule proves.implies-intro; use 5 conds in ⟨(solves ⟨simp add: wt-term-def⟩)?⟩)
using pre by simp
qed
next
case (6 thy vs ns Hs p1 p2)
from ⟨replay' thy vs ns Hs (AppP p1 p2) = Some res⟩ obtain fn1 fn2 prp1 prp2
prp3 A B A' imp
where
  conds: Option.bind (replay' thy vs ns Hs p1) beta-eta-norm
    = Some (Ct imp (Ty fn1 [Ty prp1 [], Ty fn2 [Ty prp2 [], Ty prp3 []]]) $ A $
B)
  Option.bind (replay' thy vs ns Hs p2) beta-eta-norm = Some A'
  imp = STR "Pure.imp" ∧ fn1 = STR "fun" ∧ fn2 = STR "fun"
  ∧ prp1 = STR "prop" ∧ prp2 = STR "prop" ∧ prp3 = STR "prop" ∧ A=A'
and res: res = B
by (auto split: term.splits typ.splits list.splits if-splits option.splits simp add:
Let-def)

obtain C where C: Option.bind (replay' thy vs ns Hs p1) beta-eta-norm =
Some (C ⟶ res)
using conds res by blast
from this obtain pre pre-C where pre: replay' thy vs ns Hs p1 = Some pre
and pre-C: replay' thy vs ns Hs p2 = Some pre-C
by (meson bind-eq-Some-conv conds(2))

from pre C have norm-pre: beta-eta-norm pre = Some (C ⟶ res) by simp
from pre-C pre C conds have norm-pre-C: beta-eta-norm pre-C = Some C by
auto

have thy, set Hs ⊢ pre-C
by (rule 6.IH(2)) (use 6.prem1 conds in ⟨auto simp add: pre pre-C⟩)
hence I1: thy, set Hs ⊢ C
using beta-eta-norm-preserves-proves norm-pre-C ⟨wf-theory thy⟩
using 6.prem2 6.prem3 by blast

have thy, set Hs ⊢ pre
by (rule 6.IH(1)) (use 6.prem1 conds in ⟨auto simp add: pre pre-C⟩)
hence I2: thy, set Hs ⊢ C ⟶ res
using beta-eta-norm-preserves-proves norm-pre ⟨wf-theory thy⟩
using 6.prem2 6.prem3 by blast

from I1 I2 have thy, set Hs ∪ set Hs ⊢ res using proves.implies-elim by blast
thus ?case by simp
next
case (7 thy vs ns Hs ty c)
from this obtain fun it ity prop where conds: has-sort (osig (sig thy)) ty {c}
typ-ok thy ty const-type (sig thy) (const-of-class c)
= Some (Ty fun [Ty it [ity], Ty prop []]) ity = tvariable STR "'a'"
fun = STR "fun" prop = STR "prop" it = STR "itself"

```



```

and res: res = (mk-of-class ty c)
by (auto split: term.splits typ.splits list.splits if-splits option.splits)

from res have res = mk-of-class ty c by auto
moreover have thy, set Hs ⊢ mk-of-class ty c
by (rule proves.of-class[where T=ty, OF 7.prem(1)] (use conds in auto))

ultimately show ?case by simp
next
case (δ thy ux uy Hs n)
hence res ∈ set Hs
by (metis not-None-eq option.inject replay'.simps(8))
then show ?case using proves.assume δ by (simp add: wt-term-def)
qed

lemma finite-fv-Proof: finite (fv-Proof P)
by (induction P) auto

abbreviation replay'' thy vs ns Hs P ≡ Option.bind (replay' thy vs ns Hs P)
beta-eta-norm

lemma replay''-sound:
assumes wf-theory thy

assumes HS-invs:
  ∧x. x ∈ set Hs ⇒ term-ok thy x
  ∧x. x ∈ set Hs ⇒ typ-of x = Some propT

assumes ns-invs:
  finite ns
  fst ' FV (set Hs) ⊆ ns
  fst ' fv-Proof P ⊆ ns

assumes vs-invs:
  fst ' set vs ⊆ ns

assumes replay'' thy vs ns Hs P = Some res
shows thy, (set Hs) ⊢ res
proof –
obtain res' where res': replay' thy vs ns Hs P = Some res'
using replay'-sound-pre assms bind-eq-Some-conv by metis
moreover have beta-eta-norm res' = Some res
using res' assms(8) by auto
moreover have thy, set Hs ⊢ res'
using res' assms replay'-sound-pre by simp
ultimately show ?thesis
using beta-eta-norm-preserves-proves assms(1–3) by blast
qed

```

**lemma**

**assumes** *wf-theory thy*  
**assumes** *replay'' thy [] (fst ' fv-Proof P) [] P = Some res*  
**shows** *thy, set [] ⊢ res*  
**using** *assms finite-fv-Proof replay'-sound-pre replay''-sound* **[where** *vs=[]*  
**and** *ns=fst ' fv-Proof P and P=P and Hs=[]*  
**by** *simp*

**fun** *hyps :: proofterm ⇒ term list where*

*hyps (Abst - p) = hyps p*  
*| hyps (AbsP - p) = hyps p*  
*| hyps (Appt p -) = hyps p*  
*| hyps (AppP p1 p2) = List.union (hyps p1) (hyps p2)*  
*| hyps (Hyp t) = [t]*  
*| hyps - = []*

**lemma** *replay''-sound-pre-hyps:*

**assumes** *wf-theory thy*

**assumes**  $\bigwedge x. x \in \text{set } (\text{hyps } P) \implies \text{term-ok } \text{thy } x$

**assumes**  $\bigwedge x. x \in \text{set } (\text{hyps } P) \implies \text{typ-of } x = \text{Some propT}$

**assumes** *replay'' thy [] (fst ' (fv-Proof P ∪ FV (set (hyps P)))) (hyps P) P =*  
*Some res*

**shows** *thy, set (hyps P) ⊢ res*

**apply** (*rule replay''-sound* **[where** *vs=[] and ns=(fst ' (fv-Proof P ∪ FV (set*  
*(hyps P)))) and P=P and Hs=hyps P*

*; (use assms finite-fv-Proof replay'-sound-pre in 'solves simp')?*

**by** *blast+*

**definition** [*simp*]: *replay thy P ≡*

*(if  $\forall x \in \text{set } (\text{hyps } P). \text{term-ok } \text{thy } x \wedge \text{typ-of } x = \text{Some propT}$  then*

*replay'' thy [] (fst ' (fv-Proof P ∪ FV (set (hyps P)))) (hyps P) P else None)*

**lemma** *replay-sound-pre-hyps:*

**assumes** *wf-theory thy*

**assumes** *replay thy P = Some res*

**shows** *thy, set (hyps P) ⊢ res*

**using** *replay''-sound-pre-hyps assms by (simp split: if-splits)*

**definition** *check-proof thy P res ≡ wf-theory thy ∧ replay thy P = Some res*

**lemma** *check-proof-sound:*

**shows** *check-proof thy P res ⇒ thy, set (hyps P) ⊢ res*

**using** *check-proof-def replay-sound-pre-hyps by blast*

**lemma** *check-proof-really-sound:*

**assumes** *check-proof thy P res*

```

shows thy, set (hyps P) ⊢ res
proof –
  have wf-theory thy
    using assms check-proof-def by blast
  moreover have Some res = replay thy P
    by (metis assms check-proof-def)
  moreover hence ∀ x ∈ set (hyps P) . term-ok thy x ∧ typ-of x = Some propT
    by (metis not-None-eq replay-def)
  ultimately show ?thesis
    by (meson assms check-proof-sound has-typ-iff-typ-of proved-terms-well-formed(1)
        proves'-def
        term-ok-def wt-term-def)
qed

end

```

### 13 Executable Sorts

```

theory SortsExe
  imports Sorts
begin

```

```

type-synonym exeosig = (class × class) list × (name × (class × sort list) list)
list

```

```

abbreviation (input) execlasses ≡ fst
abbreviation (input) exetcSIGs ≡ snd

```

```

abbreviation alist-conds :: ('k::linorder × 'v) list ⇒ bool where
  alist-conds al ≡ distinct (map fst al)

```

```

definition exe-ars-conds :: (name × (class × sort list) list) list ⇒ bool where
  exe-ars-conds arss ⟷ alist-conds arss ∧ (∀ ars ∈ snd ' set arss . alist-conds ars)

```

```

fun exe-ars-conds' :: (('k1::linorder) × (('k2::linorder) × 's list) list) list ⇒ bool
where
  exe-ars-conds' arss ⟷ alist-conds arss ∧ (∀ ars ∈ snd ' set arss . alist-conds
ars)

```

```

lemma [code]: exe-ars-conds arss ⟷ exe-ars-conds' arss
  by (simp add: exe-ars-conds-def)

```

```

definition exe-class-conds :: (class × class) list ⇒ bool where
  exe-class-conds cs ≡ distinct cs

```

```

definition exe-osig-conds :: exeosig ⇒ bool where
  exe-osig-conds a ≡ exe-class-conds (execlasses a) ∧ exe-ars-conds (exetcSIGs a)

```

**fun** *translate-ars* :: (name × (class × sort list) list) list ⇒ name → (class → sort list) **where**  
*translate-ars ars* = map-of (map (apsnd map-of) ars)

**abbreviation** *illformed-osig* ≡ ( {}, Map.empty(STR "A" ↦ Map.empty(STR "A" ↦ [ {STR "A"} ])))

**lemma** *illformed-osig-not-wf-osig*: ¬ wf-osig *illformed-osig*  
**by** (auto simp add: coregular-tcsigs-def complete-tcsigs-def consistent-length-tcsigs-def all-normalized-and-ex-tcsigs-def sort-ex-def wf-sort-def)

**fun** *translate-osig* :: exeosig ⇒ osig **where**  
*translate-osig (cs, arss)* = (if exe-osig-conds (cs, arss)  
then (set cs, *translate-ars* arss)  
else *illformed-osig*)

**definition** *exe-consistent-length-tcsigs arss* ≡ (∀ ars ∈ snd ' set arss .  
∀ ss<sub>1</sub> ∈ snd ' set ars. ∀ ss<sub>2</sub> ∈ snd ' set ars. length ss<sub>1</sub> = length ss<sub>2</sub>)

**lemma** *in-alist-imp-in-map-of*: distinct (map fst arss)  
⇒ (name, ars) ∈ set arss ⇒ *translate-ars* arss name = Some (map-of ars)  
**by** (induction arss) (auto simp add: rev-image-eqI)

**lemma** *exe-ars-conds arss* ⇒ ∃ name . map-of (map (apsnd map-of) arss) name = Some ars  
⇒ ∃ name arsl . (name, arsl) ∈ set arss ∧ map-of arsl = ars  
**by** (force simp add: exe-ars-conds-def)

**lemma** *exe-ars-conds arss*  
⇒ (name, arsl) ∈ set arss ∧ map-of arsl = ars  
⇒ map-of (map (apsnd map-of) arss) name = Some ars  
**by** (force simp add: exe-ars-conds-def)

**lemma** *consistent-length-tcsigs-imp-exe-consistent-length-tcsigs*:  
*exe-ars-conds arss* ⇒ *consistent-length-tcsigs (translate-ars arss)*  
⇒ *exe-consistent-length-tcsigs arss*  
**unfolding** *consistent-length-tcsigs-def exe-consistent-length-tcsigs-def*  
**apply** (clarsimp simp add: exe-ars-conds-def)  
**by** (metis in-alist-imp-in-map-of map-of-is-SomeI ranI snd-conv *translate-ars.simps*)

**lemma** *exe-consistent-length-tcsigs-imp-consistent-length-tcsigs*:  
**assumes** *exe-ars-conds arss exe-consistent-length-tcsigs arss*  
**shows** *consistent-length-tcsigs (translate-ars arss)*

**proof** –  
{  
**fix** ars ss<sub>1</sub> ss<sub>2</sub>  
**assume** p: ars ∈ ran (map-of (map (apsnd map-of) arss)) ss<sub>1</sub> ∈ ran ars ss<sub>2</sub> ∈

```

ran ars
  from p(1) obtain name where map-of (map (apsnd map-of) arss) name =
Some ars
  by (meson in-range-if-ex-key)
  from this obtain arsl where (name, arsl) ∈ set arss map-of arsl = ars
  using assms(1) by (auto simp add: exe-ars-conds-def)
  from this obtain c1 c2 where ars c1 = Some ss1 ars c2 = Some ss2
  by (metis in-range-if-ex-key p(2) p(3))
  hence (c1, ss1) ∈ set arsl (c2, ss2) ∈ set arsl
  by (simp-all add: ⟨map-of arsl = ars⟩ map-of-SomeD)
  hence length ss1 = length ss2
  using assms(2) ⟨(name, arsl) ∈ set arss⟩
  by (fastforce simp add: exe-consistent-length-tcsigs-def)
}
note 1 = this
show ?thesis
  by (simp add: consistent-length-tcsigs-def exe-consistent-length-tcsigs-def) (use
1 in blast)
qed

```

**lemma** *consistent-length-tcsigs-iff-exe-consistent-length-tcsigs:*  
*exe-ars-conds arss*  $\implies$   
*consistent-length-tcsigs (translate-ars arss)*  $\longleftrightarrow$  *exe-consistent-length-tcsigs arss*  
**using** *consistent-length-tcsigs-imp-exe-consistent-length-tcsigs*  
*exe-consistent-length-tcsigs-imp-consistent-length-tcsigs* **by** *blast*

**definition** *exe-complete-tcsigs cs arss*  
 $\equiv (\forall ars \in snd \text{ ' set arss .}$   
 $\forall (c_1, c_2) \in set cs . c_1 \in fst \text{ ' set ars} \longrightarrow c_2 \in fst \text{ ' set ars})$

**lemma** *exe-complete-tcsigs-imp-complete-tcsigs:*  
**assumes** *exe-ars-conds arss exe-complete-tcsigs cs arss*  
**shows** *complete-tcsigs (set cs) (translate-ars arss)*

**proof**–

```

{
  fix ars a b y
  assume p: ars ∈ ran (map-of (map (apsnd map-of) arss))
  (a, b) ∈ set cs ars a = Some y

```

```

  from p(1) obtain name where map-of (map (apsnd map-of) arss) name =
Some ars
  by (meson in-range-if-ex-key)
  from this obtain arsl where (name, arsl) ∈ set arss map-of arsl = ars
  using assms(1) by (auto simp add: exe-ars-conds-def)
  hence (a, y) ∈ set arsl
  by (simp add: map-of-SomeD p(3))
  hence ∃ y. ars b = Some y
  using assms(2) ⟨(name, arsl) ∈ set arss⟩

```

```

apply (clarsimp simp add: exe-complete-tcsigs-def)
  by (metis (no-types, lifting) ‹map-of arsl = arsl› case-prodD domD domI
dom-map-of-conv-image-fst
  p(2) p(3) snd-conv)
}
note 1 = this
show ?thesis
  by (simp add: complete-tcsigs-def exe-complete-tcsigs-def) (use 1 in blast)
qed

```

```

lemma complete-tcsigs-imp-exe-complete-tcsigs: exe-ars-conds arss  $\implies$ 
  complete-tcsigs (set cs) (translate-ars arss)  $\implies$  exe-complete-tcsigs cs arss
unfolding complete-tcsigs-def exe-complete-tcsigs-def exe-ars-conds-def
by (metis (mono-tags, lifting) case-prod-unfold dom-map-of-conv-image-fst in-alist-imp-in-map-of
  in-range-if-ex-key map-of-SomeD ran-distinct)

```

```

lemma exe-complete-tcsigs-iff-complete-tcsigs:
  exe-ars-conds arss  $\implies$ 
  complete-tcsigs (set cs) (translate-ars arss)  $\longleftrightarrow$  exe-complete-tcsigs cs arss
using exe-complete-tcsigs-imp-complete-tcsigs complete-tcsigs-imp-exe-complete-tcsigs
by blast

```

```

definition exe-coregular-tcsigs (cs :: (class  $\times$  class) list) arss
   $\equiv$  ( $\forall$  ars  $\in$  snd ‘ set arss .
   $\forall$  c1  $\in$  fst ‘ set ars.  $\forall$  c2  $\in$  fst ‘ set ars.
  (class-leq (set cs) c1 c2  $\longrightarrow$ 
  list-all2 (sort-leq (set cs)) (the (lookup ( $\lambda$ x. x=c1) ars)) (the (lookup ( $\lambda$ x.
  x=c2) ars))))))

```

```

lemma exe-coregular-tcsigs-imp-coregular-tcsigs:
  assumes exe-ars-conds arss exe-coregular-tcsigs cs arss
  shows coregular-tcsigs (set cs) (translate-ars arss)
proof –
  {
  fix ars c1 c2 ss1 ss2
  assume p: ars  $\in$  ran (map-of (map (apsnd map-of) arss)) ars c1 = Some ss1
  ars c2 = Some ss2
  class-leq (set cs) c1 c2
  from p(1) obtain name where map-of (map (apsnd map-of) arss) name =
  Some ars
  by (meson in-range-if-ex-key)
  from this obtain arsl where (name, arsl)  $\in$  set arss map-of arsl = ars
  using assms(1) by (auto simp add: exe-ars-conds-def)
  from this obtain c1 c2 where ars c1 = Some ss1 ars c2 = Some ss2 class-leq
  (set cs) c1 c2
  using p(2) p(3) p(4) by blast
  hence (c1, ss1)  $\in$  set arsl (c2, ss2)  $\in$  set arsl
  by (simp-all add: ‹map-of arsl = arsl› map-of-SomeD)

```

**hence**  $\text{lookup } (\lambda x. x=c1) \text{ arsl} = \text{Some } ss1$   $\text{lookup } (\lambda x. x=c2) \text{ arsl} = \text{Some } ss2$   
**by**  $(\text{metis } \langle \text{name, arsl} \rangle \in \text{set arss})$   $\text{assms}(1)$   $\text{exe-ars-conds-def}$   
 $\text{image-eqI}$   $\text{lookup-present-eq-key}$   $\text{snd-conv}$  +  
**hence**  $\text{list-all2 } (\text{sort-leq } (\text{set cs}))$   $ss1$   $ss2$   
**using**  $\text{assms}(2)$   $\langle \text{name, arsl} \rangle \in \text{set arss}$   $\langle c1, ss1 \rangle \in \text{set arsl}$   $\langle c2, ss2 \rangle \in$   
 $\text{set arsl}$   
 $\langle \text{class-leq } (\text{set cs})$   $c1$   $c2 \rangle$   
**by**  $(\text{fastforce simp add: exe-coreular-tcsigs-def})$   
**}**  
**note**  $1 = \text{this}$   
**show**  $?thesis$   
**by**  $(\text{auto simp add: coreular-tcsigs-def exe-coreular-tcsigs-def})$   $(\text{use } 1 \text{ in blast})$

**qed**

**lemma**  $\text{coreular-tcsigs-imp-exe-coreular-tcsigs}$ :

**assumes**  $\text{exe-ars-conds}$   $\text{arss}$   $\text{coreular-tcsigs } (\text{set cs})$   $(\text{translate-ars arss})$

**shows**  $\text{exe-coreular-tcsigs cs arss}$

**proof** –

**{**

**fix**  $\text{name ars } c1$   $ss1$   $c2$   $ss2$

**assume**  $p$ :  $(\text{name, ars}) \in \text{set arss}$   $(c1, ss1) \in \text{set ars}$   $(c2, ss2) \in \text{set ars}$

$\text{class-leq } (\text{set cs})$   $c1$   $c2$

**have**  $s1$ :  $(\text{lookup } (\lambda x. x = c1) \text{ ars}) = \text{Some } ss1$

**using**  $\text{assms}(1)$   $\text{lookup-present-eq-key}$   $p(1)$   $p(2)$  **by**  $(\text{force simp add: exe-ars-conds-def})$

**have**  $s2$ :  $(\text{lookup } (\lambda x. x = c2) \text{ ars}) = \text{Some } ss2$

**using**  $\text{assms}(1)$   $\text{lookup-present-eq-key}$   $p(1)$   $p(3)$  **by**  $(\text{force simp add: exe-ars-conds-def})$

**have**  $\text{list-all2 } (\text{sort-leq } (\text{set cs}))$   $(\text{the } (\text{lookup } (\lambda x. x = c1) \text{ ars}))$   $(\text{the } (\text{lookup } (\lambda x. x = c2) \text{ ars}))$

**using**  $\text{assms}$  **apply**  $(\text{simp add: coreular-tcsigs-def } s1$   $s2$   $\text{exe-ars-conds-def})$

**by**  $(\text{metis domIff in-alist-imp-in-map-of map-of-is-SomeI option.distinct}(1))$

$\text{option.sel}$

$p(1)$   $p(2)$   $p(3)$   $p(4)$   $\text{ranI}$   $\text{snd-conv}$   $\text{translate-ars.simps}$ )

**}**

**note**  $1 = \text{this}$

**show**  $?thesis$

**by**  $(\text{auto simp add: coreular-tcsigs-def exe-coreular-tcsigs-def})$   $(\text{use } 1 \text{ in blast})$

**qed**

**lemma**  $\text{coreular-tcsigs-iff-exe-coreular-tcsigs}$ :

$\text{exe-ars-conds arss} \implies \text{coreular-tcsigs } (\text{set cs})$   $(\text{translate-ars arss}) \iff \text{exe-coreular-tcsigs cs arss}$

**using**  $\text{coreular-tcsigs-imp-exe-coreular-tcsigs}$   $\text{exe-coreular-tcsigs-imp-coreular-tcsigs}$

**by**  $\text{blast}$

**lemma**  $\text{wf-subclass sub} \implies \text{Field sub} = \text{Domain sub}$

**using**  $\text{refl-on-def}$  **by**  $\text{fastforce}$

**definition** [simp]:  $exefield\ rel = List.union\ (map\ fst\ rel)\ (map\ snd\ rel)$

**lemma** *Field-set-code*:  $Field\ (set\ rel) = set\ (exefield\ rel)$

**by** (*induction rel fastforce+*)

**lemma** *class-ex-rec*:  $finite\ r \implies class-ex\ (insert\ (a,b)\ r)\ c = (a=c \vee b=c \vee class-ex\ r\ c)$

**by** (*induction r rule: finite-induct*) (*auto simp add: class-ex-def*)

**definition** [simp]:  $execlass-ex\ rel\ c = List.member\ (exefield\ rel)\ c$

**lemma** *execlass-ex-code*:  $class-ex\ (set\ rel)\ c = execlass-ex\ rel\ c$

**by** (*metis Field-set-code class-ex-def execlass-ex-def in-set-member*)

**definition** [simp]:  $exesort-ex\ rel\ S = (\forall x \in S . List.member\ (exefield\ rel)\ x)$

**lemma** *sort-ex-code*:  $sort-ex\ (set\ rel)\ S = exesort-ex\ rel\ S$

**by** (*simp add: execlass-ex-code sort-ex-class-ex*)

**definition** [simp]:  $execlass-les\ cs\ c1\ c2 = (List.member\ cs\ (c1,c2) \wedge \neg List.member\ cs\ (c2,c1))$

**lemma** *execlass-les-code*:  $class-les\ (set\ cs)\ c1\ c2 = execlass-les\ cs\ c1\ c2$

**by** (*simp add: class-leq-def class-les-def member-def*)

**definition** [simp]:  $exenormalize-sort\ cs\ (s::sort)$

$= \{c \in s . \neg (\exists c' \in s . execlass-les\ cs\ c'\ c)\}$

**definition** [simp]:  $exenormalized-sort\ cs\ s \equiv (exenormalize-sort\ cs\ s) = s$

**lemma** *normalize-sort-code*[code]:  $normalize-sort\ (set\ cs)\ s = exenormalize-sort\ cs\ s$

**by** (*auto simp add: normalize-sort-def List.member-def list-ex-iff class-leq-def class-les-def*)

**lemma** *normalized-sort-code*[code]:  $normalized-sort\ (set\ cs)\ s = exenormalized-sort\ cs\ s$

**using** *exenormalized-sort-def normalize-sort-code* **by** *presburger*

**definition** [simp]:  $exewf-sort\ sub\ S \equiv exenormalized-sort\ sub\ S \wedge exesort-ex\ sub\ S$

**lemma** *wf-sort-code*:

**assumes** *exe-class-conds sub*

**shows**  $wf-sort\ (set\ sub)\ S = exewf-sort\ sub\ S$

**using** *normalized-sort-code sort-ex-code assms*

**by** (*simp add: sort-ex-code wf-sort-def*)

**declare** *exewf-sort-def*[code del]

**lemma** [code]:  $exewf-sort\ sub\ S \equiv (S = \{\}) \vee exenormalized-sort\ sub\ S \wedge exesort-ex\ sub\ S$

**by** *simp (smt ball-empty bot-set-def empty-Collect-eq)*

**definition** *exe-all-normalized-and-ex-tcsigs cs arss*

$\equiv (\forall ars \in snd\ 'set\ arss . \forall ss \in snd\ 'set\ ars . \forall s \in set\ ss . exewf-sort\ cs\ s)$



```

lemma all-normalized-and-ex-tcsigs-imp-exe-all-normalized-and-ex-tcsigs:
  assumes exe-ars-conds arss all-normalized-and-ex-tcsigs (set cs) (translate-ars arss)
  shows exe-all-normalized-and-ex-tcsigs cs arss
proof –
  have ac: alist-conds arss
    using assms(1) exe-ars-conds-def by blast
  {
    fix s ars
    assume a1: (s, ars) ∈ set arss
    fix c Ss
    assume a2: (c, Ss) ∈ set ars
    fix S
    assume a3: S ∈ set Ss

    have map-of ars ∈ ran (map-of (map (apsnd map-of) arss))
      using ac a1 by (metis in-alist-imp-in-map-of ranI translate-ars.simps)
    moreover have Ss ∈ ran (map-of ars)
      using a1 a2 assms(1) by (metis exe-ars-conds-def map-of-is-SomeI ranI ran-distinct)
    ultimately have wf-sort (set cs) S
      using assms(2) a1 a2 a3 by (auto simp add: all-normalized-and-ex-tcsigs-def)
  )
}
thus ?thesis
  using normalize-sort-code wf-sort-def
  by (clarsimp simp add: all-normalized-and-ex-tcsigs-def exe-all-normalized-and-ex-tcsigs-def exe-ars-conds-def wf-sort-def wf-sort-code normalize-sort-def sort-ex-code)
qed

```

```

lemma exe-all-normalized-and-ex-tcsigs-imp-all-normalized-and-ex-tcsigs:
  assumes exe-ars-conds arss exe-all-normalized-and-ex-tcsigs cs arss
  shows all-normalized-and-ex-tcsigs (set cs) (translate-ars arss)
proof –
  {
    fix ars ss s
    assume p: ars ∈ ran (map-of (map (apsnd map-of) arss))
      ss ∈ ran ars s ∈ set ss

    from p(1) obtain name where map-of (map (apsnd map-of) arss) name =
      Some ars
      by (meson in-range-if-ex-key)
    from this obtain arsl where (name, arsl) ∈ set arss map-of arsl = ars
      using assms(1) by (auto simp add: exe-ars-conds-def)
    from this obtain c where c: ars c = Some ss
      using in-range-if-ex-key p(2) by force
    have exwf-sort cs s
      by (metis (no-types, opaque-lifting) ⟨(name, arsl) ∈ set arss⟩ ⟨map-of arsl = ars⟩ assms(1) assms(2))
  }

```

```

      exe-all-normalized-and-ex-tcsigs-def exe-ars-conds-def image-iff p(2) p(3)
ran-distinct snd-conv)
  hence wf-sort (set cs) s
    by (simp add: normalize-sort-code sort-ex-code wf-sort-def)
}
note 1 = this
show ?thesis
  using 1 by (clarsimp simp add: wf-sort-def all-normalized-and-ex-tcsigs-def
    exe-all-normalized-and-ex-tcsigs-def)
qed

```

**lemma** *all-normalized-and-ex-tcsigs-iff-exe-all-normalized-and-ex-tcsigs*:  
 $exe-ars-conds\ arss \implies all-normalized-and-ex-tcsigs\ (set\ cs)\ (translate-ars\ arss)$   
 $\longleftrightarrow exe-all-normalized-and-ex-tcsigs\ cs\ arss$   
**using** *all-normalized-and-ex-tcsigs-imp-exe-all-normalized-and-ex-tcsigs exe-all-normalized-and-ex-tcsigs-imp*  
**by** *blast*

**definition** [*simp*]: *exe-wf-tcsigs* ( $cs :: (class \times class)\ list$ )  $arss \equiv$   
 $exe-coregular-tcsigs\ cs\ arss$   
 $\wedge exe-complete-tcsigs\ cs\ arss$   
 $\wedge exe-consistent-length-tcsigs\ arss$   
 $\wedge exe-all-normalized-and-ex-tcsigs\ cs\ arss$

**lemma** *wf-tcsigs-iff-exe-wf-tcsigs*:  
 $exe-ars-conds\ arss \implies wf-tcsigs\ (set\ cs)\ (translate-ars\ arss) \longleftrightarrow exe-wf-tcsigs\ cs$   
 $arss$   
**using** *all-normalized-and-ex-tcsigs-iff-exe-all-normalized-and-ex-tcsigs*  
*consistent-length-tcsigs-imp-exe-consistent-length-tcsigs*  
*coregular-tcsigs-iff-exe-coregular-tcsigs exe-complete-tcsigs-iff-complete-tcsigs*  
*exe-consistent-length-tcsigs-imp-consistent-length-tcsigs exe-wf-tcsigs-def wf-tcsigs-def*  
**by** *blast*

**fun** *exe-antisym* ::  $('a \times 'a)\ list \Rightarrow bool$  **where**  
 $exe-antisym\ [] \longleftrightarrow True$   
 $| exe-antisym\ ((x,y)\#r) \longleftrightarrow ((y,x) \in set\ r \longrightarrow x=y) \wedge exe-antisym\ r$

**lemma** *exe-antisym-imp-antisym*:  $exe-antisym\ l \implies antisym\ (set\ l)$   
**by** (*induction l*) (*auto simp add: antisym-def*)

**lemma** *antisym-imp-exe-antisym*:  $antisym\ (set\ l) \implies exe-antisym\ l$   
**proof** (*induction l*)  
**case** *Nil*  
**then show** *?case*  
**by** *simp*  
**next**  
**case** (*Cons a l*)  
**then show** *?case*  
**by** (*simp add: antisym-def*) (*metis exe-antisym.simps(2) surj-pair*)

qed

**lemma** *antisym-iff-exe-antisym*:  $\text{antisym } (set\ l) = \text{exe-antisym } l$   
using *antisym-imp-exe-antisym exe-antisym-imp-antisym* by blast

**definition** *exe-wf-subclass*  $cs = (\text{trans } (set\ cs) \wedge \text{exe-antisym } cs \wedge \text{Refl } (set\ cs))$

**lemma** *wf-classes-iff-exe-wf-classes*:  $\text{wf-subclass } (set\ cs) \longleftrightarrow \text{exe-wf-subclass } cs$   
by (*simp add: antisym-iff-exe-antisym exe-wf-subclass-def*)

**definition** [*simp*]:  $\text{exe-wf-osig } oss \equiv \text{exe-wf-subclass } (\text{execlasses } oss)$   
 $\wedge \text{exe-wf-tcsigs } (\text{execlasses } oss) (\text{exetcsigs } oss) \wedge \text{exe-osig-conds } oss$

**lemma** *exe-wf-osig-imp-wf-osig*:  $\text{exe-wf-osig } oss \implies \text{wf-osig } (\text{translate-osig } oss)$   
using *exe-coregular-tcsigs-imp-coregular-tcsigs exe-complete-tcsigs-imp-complete-tcsigs*  
*exe-complete-tcsigs-imp-complete-tcsigs exe-all-normalized-and-ex-tcsigs-imp-all-normalized-and-ex-tcsigs*  
*exe-consistent-length-tcsigs-imp-consistent-length-tcsigs*  
by (*cases oss*) (*auto simp add: exe-wf-subclass-def exe-antisym-imp-antisym exe-osig-conds-def*)

**lemma** *classes-translate*:  $\text{exe-osig-conds } oss \implies \text{subclass } (\text{translate-osig } oss) = \text{set}$   
 $(\text{execlasses } oss)$   
by (*cases oss*) *simp-all*

**lemma** *tcsigs-translate*:  $\text{exe-osig-conds } oss$   
 $\implies \text{tcsigs } (\text{translate-osig } oss) = \text{translate-ars } (\text{exetcsigs } oss)$   
by (*cases oss*) *simp-all*

**lemma** *wf-osig-translate-imp-exe-osig-conds*:  
 $\text{wf-osig } (\text{translate-osig } oss) \implies \text{exe-osig-conds } oss$   
using *illformed-osig-not-wf-osig* by (*metis translate-osig.elims*)

**lemma** *wf-osig-imp-exe-wf-osig*:  
assumes  $\text{wf-osig } (\text{translate-osig } oss)$  shows  $\text{exe-wf-osig } oss$   
apply (*cases translate-osig oss*)  
using *classes-translate tcsigs-translate* *assms wf-osig-translate-imp-exe-osig-conds*  
  
by (*metis (full-types) exe-osig-conds-def exe-wf-osig-def subclass.simps tcsigs.simps*)  
*wf-classes-iff-exe-wf-classes wf-osig.simps wf-tcsigs-iff-exe-wf-tcsigs*)

**lemma** *wf-osig-iff-exe-wf-osig*:  $\text{wf-osig } (\text{translate-osig } oss) \longleftrightarrow \text{exe-wf-osig } oss$   
using *exe-wf-osig-imp-wf-osig wf-osig-imp-exe-wf-osig* by blast

end

## 14 Executable Instance Relations

**theory** *Instances*  
imports *Term*  
begin

```

fun raw-match :: typ  $\Rightarrow$  typ  $\Rightarrow$  ((variable  $\times$  sort)  $\rightarrow$  typ)  $\Rightarrow$  ((variable  $\times$  sort)  $\rightarrow$ 
typ) option
  and raw-matches :: typ list  $\Rightarrow$  typ list  $\Rightarrow$  ((variable  $\times$  sort)  $\rightarrow$  typ)  $\Rightarrow$  ((variable
 $\times$  sort)  $\rightarrow$  typ) option
  where
    raw-match (Tv v S) T subs =
      (case subs (v,S) of
        None  $\Rightarrow$  Some (subs((v,S) := Some T))
      | Some U  $\Rightarrow$  (if U = T then Some subs else None))
  | raw-match (Ty a Ts) (Ty b Us) subs =
      (if a=b then raw-matches Ts Us subs else None)
  | raw-match - - - = None
  | raw-matches (T#Ts) (U#Us) subs = Option.bind (raw-match T U subs) (raw-matches
Ts Us)
  | raw-matches [] [] subs = Some subs
  | raw-matches - - subs = None

```

```

function (sequential) raw-match'
  :: typ  $\Rightarrow$  typ  $\Rightarrow$  ((variable  $\times$  sort)  $\rightarrow$  typ)  $\Rightarrow$  ((variable  $\times$  sort)  $\rightarrow$  typ) option
  where
    raw-match' (Tv v S) T subs =
      (case subs (v,S) of
        None  $\Rightarrow$  Some (subs((v,S) := Some T))
      | Some U  $\Rightarrow$  (if U = T then Some subs else None))
  | raw-match' (Ty a Ts) (Ty b Us) subs =
      (if a=b  $\wedge$  length Ts = length Us
        then fold ( $\lambda$ (T, U) subs . Option.bind subs (raw-match' T U)) (zip Ts Us)
        (Some subs)
        else None)
  | raw-match' T U subs = (if T = U then Some subs else None)
  by pat-completeness auto
termination proof (relation measure ( $\lambda$ (T, U, subs) . size T + size U), goal-cases)
  case 1
  then show ?case
  by auto
next
  case (2 a Ts b Us subs x xa y xb aa)
  hence length Ts = length Us a=b
  by auto
  from this 2(2-) show ?case
  by (induction Ts Us rule: list-induct2) auto
qed

```

**lemma** length-neq-imp-not-raw-matches: length Ts  $\neq$  length Us  $\implies$  raw-matches

$Ts\ Us\ subs = None$   
**by** (*induction*  $Ts\ Us\ subs$  *rule: raw-match-raw-matches.induct(2)* [**where**  $P = \lambda T\ U\ subs . True$ ])  
 (*auto cong: Option.bind-cong*)

**lemma** *raw-match*  $T\ U\ subs = raw-match'\ T\ U\ subs$   
**proof** (*induction*  $T\ U\ subs$  *rule: raw-match-raw-matches.induct(1)*)  
 [**where**  $Q = \lambda Ts\ Us\ subs . raw-matches\ Ts\ Us\ subs$   
 = (*if*  $length\ Ts = length\ Us$   
 then *fold*  $(\lambda(T, U)\ subs . Option.bind\ subs\ (raw-match'\ T\ U))\ (zip\ Ts\ Us)$   
 (*Some\ subs*)  
 else *None*)]  
**case** ( $\_4\ T\ Ts\ U\ Us\ subs$ )  
**then show** *?case*  
**proof** (*cases* *raw-match*  $T\ U\ subs$ )  
**case** *None*  
**then show** *?thesis*  
**proof** (*cases*  $length\ Ts = length\ Us$ )  
**case** *True*  
**then show** *?thesis* **using**  $\_4\ None$  **by** (*induction*  $Ts\ Us$  *rule: list-induct2*) *auto*  
**next**  
**case** *False*  
**then show** *?thesis* **using**  $\_4\ None$  *length-neq-imp-not-raw-matches* **by** *auto*  
**qed**  
**next**  
**case** (*Some*  $a$ )  
**then show** *?thesis* **using**  $\_4$  **by** *auto*  
**qed**  
**qed** *simp-all*

**lemma** *raw-match'-map-le*:  $raw-match'\ T\ U\ subs = Some\ subs' \implies map-le\ subs\ subs'$   
**proof** (*induction*  $T\ U\ subs$  *arbitrary: subs'* *rule: raw-match'.induct*)  
**case** ( $\_2\ a\ Ts\ b\ Us\ subs$ )  
**have**  $length\ Ts = length\ Us$   
**using**  $\_2.prem$  **by** (*auto split: if-splits*)  
**moreover have**  $I: (a, b) \in set\ (zip\ Ts\ Us) \implies raw-match'\ a\ b\ subs = Some\ subs'$   
 $\implies subs \subseteq_m\ subs'$   
**for**  $a\ b\ subs\ subs'$   
**using**  $\_2.prem$  **by** (*auto split: if-splits intro: \\_2.IH*)  
**ultimately show** *?case* **using**  $\_2.prem$   
**proof** (*induction*  $Ts\ Us$  *arbitrary: subs\ subs'* *rule: rev-induct2*)  
**case** *Nil*  
**then show** *?case*  
**by** (*auto split: if-splits*)  
**next**  
**case** (*snoc*  $x\ xs\ y\ ys$ )  
**then show** *?case*

**using** *map-le-trans* **by** (*fastforce split: if-splits prod.splits simp add: bind-eq-Some-conv*)  
**qed**  
**qed** (*auto simp add: map-le-def split: if-splits option.splits*)

**lemma** *fold-matches-first-step-not-None*:

**assumes**  
*fold* ( $\lambda(T, U) \text{ subs} . \text{Option.bind subs (raw-match' T U)} (zip (x\#xs) (y\#ys))$ )  
*(Some subs) = Some subs'*  
**obtains point where**  
*raw-match' x y subs = Some point*  
*fold* ( $\lambda(T, U) \text{ subs} . \text{Option.bind subs (raw-match' T U)} (zip (xs) (ys)) (Some$   
*point) = Some subs'*  
**using** *fold-matches-first-step-not-None assms* .

**lemma** *fold-matches-last-step-not-None*:

**assumes**  
*length xs = length ys*  
*fold* ( $\lambda(T, U) \text{ subs} . \text{Option.bind subs (raw-match' T U)} (zip (xs@[x]) (ys@[y]))$ )  
*(Some subs) = Some subs'*  
**obtains point where**  
*fold* ( $\lambda(T, U) \text{ subs} . \text{Option.bind subs (raw-match' T U)} (zip (xs) (ys)) (Some$   
*subs) = Some point*  
*raw-match' x y point = Some subs'*  
**using** *fold-matches-last-step-not-None assms* .

**corollary** *raw-match'-Type-conds*:

**assumes** *raw-match' (Ty a Ts) (Ty b Us) subs = Some subs'*  
**shows** *a=b length Ts = length Us*  
**using** *assms by (auto split: if-splits)*

**corollary** *fold-matches-first-step-not-None'*:

**assumes** *length xs = length ys*  
*fold* ( $\lambda(T, U) \text{ subs} . \text{Option.bind subs (raw-match' T U)} (zip (x\#xs) (y\#ys))$ )  
*(Some subs) = Some subs'*  
**shows** *raw-match' x y subs  $\sim$  None*  
**using** *assms fold-matches-first-step-not-None*  
**by** (*metis option.discI*)

**corollary** *raw-match'-hd-raw-match'*:

**assumes** *raw-match' (Ty a (T\#Ts)) (Ty b (U\#Us)) subs = Some subs'*  
**shows** *raw-match' T U subs  $\sim$  None*  
**using** *assms fold-matches-first-step-not-None' raw-match'-Type-conds*  
**by** (*metis (no-types, lifting) length-Cons nat.simps(1) raw-match'.simps(2)*)

**corollary** *raw-match'-eq-Some-at-point-not-None'*:

**assumes** *length Ts = length Us*  
**assumes** *raw-match' (Ty a (Ts@Ts')) (Ty b (Us@Us')) subs = Some subs'*  
**shows** *raw-match' (Ty a (Ts)) (Ty b (Us)) subs  $\sim$  None*  
**using** *assms fold-Option-bind-eq-Some-at-point-not-None'* **by** (*fastforce split: if-splits*)

**lemma** *raw-match'-tvsT-subset-dom-res*:  $\text{raw-match}' T U \text{subs} = \text{Some subs}' \implies \text{tvsT } T \subseteq \text{dom subs}'$

**proof** (*induction T U subs arbitrary: subs' rule: raw-match'.induct*)  
**case** ( $\mathcal{L} a Ts b Us \text{subs}$ )  
**have**  $l: \text{length } Ts = \text{length } Us \ a = b$  **using**  $\mathcal{L}$   
**by** (*metis option.discI raw-match'.simps(2)*)**+**

**from this**  $\mathcal{L}$  **have** *better-IH*:  
 $(x, y) \in \text{set } (\text{zip } Ts Us) \implies \text{raw-match}' x y \text{subs} = \text{Some subs}' \implies \text{tvsT } x \subseteq \text{dom subs}'$

**for**  $x y \text{subs subs}'$  **by** *simp*  
**from**  $l \ \mathcal{L}.\text{prems}$  *better-IH* **show** *?case*  
**proof** (*induction Ts Us arbitrary: a b subs subs' rule: list-induct2*)  
**case** *Nil*  
**then show** *?case* **by** *simp*  
**next**  
**case** (*Cons x xs y ys*)  
**obtain** *point* **where**  $\text{raw-match}' x y \text{subs} = \text{Some point}$   
**and**  $\text{raw-match}' (Ty a xs) (Ty b ys) \text{point} = \text{Some subs}'$   
**by** (*metis (no-types, lifting) Cons.hyps Cons.prems(1) Cons.prems(2) fold-matches-first-step-not-None*  
 $\text{raw-match}'.\text{simps}(2) \text{raw-match}'\text{-Type-conds}(2)$ )

**have**  $\text{tvsT } (Ty a xs) \subseteq \text{dom subs}'$   
**apply** (*rule Cons.IH[of - b point]*)  
**using**  $\text{Cons.prems}$  *rest* **apply** *blast+*  
**by** (*metis Cons.prems(3) list.set-intros(2) zip-Cons-Cons*)  
**moreover have**  $\text{tvsT } x \subseteq \text{dom point}$   
**by** (*metis Cons.prems(3) list.set-intros(1) point zip-Cons-Cons*)  
**moreover have**  $\text{dom point} \subseteq \text{dom subs}'$   
**using** *map-le-implies-dom-le raw-match'-map-le rest* **by** *blast*  
**ultimately show** *?case*  
**by** *auto*

**qed**

**qed** (*auto split: option.splits if-splits prod.splits simp add: bind-eq-Some-conv*)

**lemma** *raw-match'-dom-res-subset-tvsT*:  
 $\text{raw-match}' T U \text{subs} = \text{Some subs}' \implies \text{dom subs}' \subseteq \text{tvsT } T \cup \text{dom subs}$

**proof** (*induction T U subs arbitrary: subs' rule: raw-match'.induct*)  
**case** ( $\mathcal{L} a Ts b Us \text{subs}$ )  
**have**  $l: \text{length } Ts = \text{length } Us \ a = b$  **using**  $\mathcal{L}$   
**by** (*metis option.discI raw-match'.simps(2)*)**+**

**from this**  $\mathcal{L}$  **have** *better-IH*:  
 $(x, y) \in \text{set } (\text{zip } Ts Us) \implies \text{raw-match}' x y \text{subs} = \text{Some subs}' \implies \text{dom subs}' \subseteq \text{tvsT } x \cup \text{dom subs}$

```

for  $x\ y\ subs\ subs'$  by blast
from  $l\ 2.prem\ better-IH$  show ?case
proof (induction Ts Us arbitrary: a b subs subs' rule: list-induct2)
  case Nil
  then show ?case by simp
next
  case (Cons x xs y ys)
  obtain point where first: raw-match' x y subs = Some point
    and rest: raw-match' (Ty a xs) (Ty b ys) point = Some subs'
  by (metis (no-types, lifting) Cons.hyps Cons.prem(1) Cons.prem(2) fold-matches-first-step-not-None
raw-match'.simps(2) raw-match'-Type-conds(2))

  from first have  $dom\ point \subseteq tvsT\ x \cup dom\ subs$ 
    using Cons.prem(3) by fastforce
  moreover have  $dom\ subs' \subseteq tvsT\ (Ty\ a\ xs) \cup dom\ point$ 
    apply (rule Cons.IH)
    using Cons.prem(1) apply simp
    using Cons.prem(2) rest apply simp
    by (metis Cons.prem(3) list.set-intros(2) zip-Cons-Cons)

  ultimately show ?case using Cons.prem in-mono
  apply (clarsimp split: option.splits if-splits prod.splits simp add: bind-eq-Some-conv
domIff)
  by (smt UN-iff Un-iff domIff in-mono option.distinct(1))

qed
qed (auto split: option.splits if-splits prod.splits simp add: bind-eq-Some-conv)

corollary raw-match'-dom-res-eq-tvsT:
   $raw-match'\ T\ U\ subs = Some\ subs' \implies dom\ subs' = tvsT\ T \cup dom\ subs$ 
  by (simp add: map-le-implies-dom-le raw-match'-tvsT-subset-dom-res
raw-match'-dom-res-subset-tvsT raw-match'-map-le subset-antisym)

corollary raw-match'-dom-res-eq-tvsT-empty:
   $raw-match'\ T\ U\ (\lambda x. None) = Some\ subs' \implies dom\ subs' = tvsT\ T$ 
  using raw-match'-dom-res-eq-tvsT by simp

lemma raw-match'-map-defined:  $raw-match'\ T\ U\ subs = Some\ subs' \implies p \in tvsT\ T \implies$ 
 $subs'\ p \sim = None$ 
  using raw-match'-dom-res-eq-tvsT by blast

lemma raw-match'-extend-map-preserve:
   $raw-match'\ T\ U\ subs = Some\ subs' \implies map-le\ subs'\ subs'' \implies p \in tvsT\ T \implies$ 
 $subs''\ p = subs'\ p$ 
  using raw-match'-dom-res-eq-tvsT domIff map-le-implies-dom-le
  by (simp add: map-le-def)

abbreviation convert-subs  $subs \equiv (\lambda v\ S . the-default\ (Tv\ v\ S)\ (subs\ (v,\ S)))$ 

```



**lemma** *map-eq-on-tvsT-imp-map-eq-on-tyt*:

$(\bigwedge p . p \in \text{tvs } T \ T \implies \text{subs } p = \text{subs}' p)$   
 $\implies \text{tsubst } T \ T \ (\text{convert-subs } \text{subs})$   
 $= \text{tsubst } T \ T \ (\text{convert-subs } \text{subs}')$   
**by** (*induction*  $T$ ) *auto*

**lemma** *raw-match'-extend-map-preserve'*:

**assumes**  $\text{raw-match}' \ T \ U \ \text{subs} = \text{Some } \text{subs}' \ \text{map-le } \text{subs}' \ \text{subs}''$   
**shows**  $\text{tsubst } T \ T \ (\text{convert-subs } \text{subs}')$   
 $= \text{tsubst } T \ T \ (\text{convert-subs } \text{subs}'')$   
**apply** (*rule* *map-eq-on-tvsT-imp-map-eq-on-tyt*)  
**using** *raw-match'-extend-map-preserve* *assms* **by** *metis*

**lemma** *raw-match'-produces-matcher*:

$\text{raw-match}' \ T \ U \ \text{subs} = \text{Some } \text{subs}'$   
 $\implies \text{tsubst } T \ T \ (\text{convert-subs } \text{subs}') = U$

**proof** (*induction*  $T \ U \ \text{subs}$  *arbitrary*:  $\text{subs}'$  *rule*: *raw-match'.induct*)

**case** ( $2 \ a \ Ts \ b \ Us \ \text{subs}$ )

**hence**  $l: \text{length } Ts = \text{length } Us \ a=b$  **by** (*simp-all* *split*: *if-splits*)

**from** *this*  $2$  **have** *better-IH*:

$(x, y) \in \text{set } (\text{zip } Ts \ Us) \implies \text{raw-match}' \ x \ y \ \text{subs} = \text{Some } \text{subs}'$   
 $\implies \text{tsubst } T \ x \ (\text{convert-subs } \text{subs}') = y$

**for**  $x \ y \ \text{subs} \ \text{subs}'$  **by** *simp*

**from**  $l$  *better-IH* **show** *?case* **using**  $2$

**proof** (*induction*  $Ts \ Us$  *arbitrary*:  $\text{subs} \ \text{subs}'$  *rule*: *list-induct2*)

**case** *Nil*

**then** **show** *?case* **by** *simp*

**next**

**case** ( $\text{Cons } x \ xs \ y \ ys$ )

**obtain** *point* **where** *first*:  $\text{raw-match}' \ x \ y \ \text{subs} = \text{Some } \text{point}$

**and** *rest*:  $\text{raw-match}' \ (Ty \ a \ xs) \ (Ty \ b \ ys) \ \text{point} = \text{Some } \text{subs}'$

**by** (*metis* (*no-types*, *lifting*) *Cons.hyps* *Cons.prem*s(4) *fold-matches-first-step-not-None*  $l(2)$  *length-Cons* *raw-match'.sims*(2))

**have**  $\text{tsubst } T \ x \ (\text{convert-subs } \text{point}) = y$

**using** *Cons.prem*s(2) *first* **by** *auto*

**moreover** **have**  $\text{map-le } \text{point} \ \text{subs}'$

**using** *raw-match'-map-le* *rest* **by** *blast*

**ultimately** **have** *subs'-hd*:  $\text{tsubst } T \ x \ (\text{convert-subs } \text{subs}') = y$

**using** *raw-match'-extend-map-preserve'* *first* **by** *simp*

**show** *?case* **using** *Cons* **by** (*auto* *simp* *add*: *bind-eq-Some-conv* *subs'-hd* *first*)

**qed**

**qed** (*auto* *split*: *option.splits* *if-splits* *prod.splits* *simp* *add*: *bind-eq-Some-conv*)

**lemma** *tsubstT-matcher-imp-raw-match'-unchanged*:

$\text{tsubst } T \ \varrho = U \implies \text{raw-match}' \ T \ U \ (\lambda(\text{idx}, S). \text{Some } (\varrho \ \text{idx} \ S)) = \text{Some}$   
 $(\lambda(\text{idx}, S). \text{Some } (\varrho \ \text{idx} \ S))$

**proof** (*induction*  $T$  *arbitrary*:  $U \ \varrho$ )

```

case (Ty a Ts)
then show ?case
proof (induction Ts arbitrary: U)
  case Nil
  then show ?case by auto
next
  case (Cons T Ts)
  then show ?case
    by auto
qed
qed auto

lemma raw-match'-imp-raw-match'-on-map-le:
  assumes raw-match' T U subs = Some subs'
  assumes map-le lesubs subs
  shows  $\exists$  lesubs'. raw-match' T U lesubs = Some lesubs'  $\wedge$  map-le lesubs' subs'
  using assms proof (induction T U subs arbitrary: lesubs subs' rule: raw-match'.induct)
  case (1 v S T subs lesubs subs')
  then show ?case
    by (force split: option.splits if-splits prod.splits simp add: bind-eq-Some-conv
map-le-def
  intro!: domI)
next
  case (2 a Ts b Us subs)
  hence l: length Ts = length Us a=b by (simp-all split: if-splits)
  from this 2 have better-IH:
    (x, y)  $\in$  set (zip Ts Us)  $\implies$  raw-match' x y subs = Some subs'
     $\implies$  lesubs  $\subseteq_m$  subs  $\implies$   $\exists$  lesubs'. raw-match' x y lesubs = Some lesubs'  $\wedge$ 
lesubs'  $\subseteq_m$  subs'
  for x y subs lesubs subs' by simp
  from l better-IH show ?case using 2
  proof(induction Ts Us arbitrary: subs lesubs subs' rule: list-induct2)
  case Nil
  then show ?case by simp
  next
  case (Cons x xs y ys)
  obtain point where first: raw-match' x y subs = Some point
  and rest: raw-match' (Ty a xs) (Ty b ys) point = Some subs'
  by (metis (no-types, lifting) Cons.hyps Cons.premis(4) fold-matches-first-step-not-None
l(2) length-Cons raw-match'.simps(2))

  have  $\exists$  lepoint. raw-match' x y lesubs = Some lepoint  $\wedge$  lepoint  $\subseteq_m$  point
  using Cons first by auto
  from this obtain lepoint where
    comp-lepoint: raw-match' x y lesubs = Some lepoint and le-lepoint: lepoint
 $\subseteq_m$  point
  by auto

  have  $\exists$  lesubs'. raw-match' (Ty a xs) (Ty b ys) lepoint = Some lesubs'  $\wedge$  lesubs'

```

```

 $\subseteq_m$  subs'
  using Cons rest le-lepoint by auto
  from this obtain lesubs' where
    comp-lesubs': raw-match' (Ty a xs) (Ty b ys) lepoint = Some lesubs'
    and le-lesubs': lesubs'  $\subseteq_m$  subs'
  by auto

  show ?case using Cons.premis Cons.hyps comp-lepoint comp-lesubs' le-lesubs'
  by auto
  qed
  qed (auto split: option.splits if-splits prod.splits simp add: bind-eq-Some-conv)

lemma map-le-same-dom-imp-same-map: dom f = dom g  $\implies$  map-le f g  $\implies$  f =
g
  by (simp add: map-le-antisym map-le-def)

corollary map-le-produces-same-raw-match':
  assumes raw-match' T U subs = Some subs'
  assumes dom subs  $\subseteq$  tvsT T
  assumes map-le lesubs subs
  shows raw-match' T U lesubs = Some subs'
proof -
  have dom subs' = tvsT T
    using assms(1) assms(2) raw-match'-dom-res-eq-tvsT by auto
  moreover obtain lesubs' where raw-match' T U lesubs = Some lesubs' map-le
  lesubs' subs'
    using raw-match'-imp-raw-match'-on-map-le assms(1) assms(3) by blast
  moreover hence dom lesubs' = tvsT T
    using  $\langle$ dom subs' = tvsT T $\rangle$  map-le-implies-dom-le raw-match'-tvsT-subset-dom-res
  by fastforce

  ultimately show ?thesis using map-le-same-dom-imp-same-map by metis
  qed

corollary raw-match' T U subs = Some subs'  $\implies$  dom subs  $\subseteq$  tvsT T  $\implies$ 
raw-match' T U ( $\lambda p$  . None) = Some subs'
  using map-le-empty map-le-produces-same-raw-match' by blast

lemma raw-match'-restriction:
  assumes raw-match' T U subs = Some subs'
  assumes tvsT T  $\subseteq$  restriction
  shows raw-match' T U (subs|'restriction) = Some (subs'|'restriction)
using assms proof (induction T U subs arbitrary: restriction subs' rule: raw-match'.induct)
  case (1 v S T subs)
  then show ?case
    apply simp
    by (smt fun-upd-restrict-conv option.case-eq-if option.discI option.sel restrict-fun-upd)
next
  case (2 a Ts b Us subs)

```

**hence**  $l: \text{length } Ts = \text{length } Us \text{ } a=b$  **by** (*simp-all split: if-splits*)  
**from** *this 2* **have** *better-IH*:  
 $(x, y) \in \text{set } (\text{zip } Ts \ Us) \implies \text{raw-match}' \ x \ y \ \text{subs} = \text{Some } \text{subs}' \implies \text{tvsT } x \subseteq$   
*restriction*  
 $\implies \text{raw-match}' \ x \ y \ (\text{subs} \ |' \ \text{restriction}) = \text{Some } (\text{subs}' \ |' \ \text{restriction})$   
**for**  $x \ y \ \text{subs} \ \text{restriction} \ \text{subs}'$  **by** *simp*  
**from**  $l$  *better-IH* **show** *?case* **using**  $2$   
**proof**(*induction*  $Ts \ Us$  *arbitrary: subs subs' rule: list-induct2*)  
**case** *Nil*  
**then** **show** *?case* **by** *simp*  
**next**  
**case** (*Cons*  $x \ xs \ y \ ys$ )  
**obtain** *point* **where** *first: raw-match' x y subs = Some point*  
**and** *rest: raw-match' (Ty a xs) (Ty b ys) point = Some subs'*  
**by** (*metis* (*no-types, lifting*) *Cons.hyps Cons.premis(4) fold-matches-first-step-not-None*  
 $l(2)$   
 $\text{length-Cons } \text{raw-match}'.\text{simps}(2)$ )  
  
**have**  $\text{raw-match}' \ x \ y \ (\text{subs} \ |' \ \text{restriction})$   
 $= \text{Some } (\text{point} \ |' \ \text{restriction})$   
**using** *Cons first* **by** *simp*  
  
**moreover** **have**  $\text{raw-match}' \ (Ty \ a \ xs) \ (Ty \ b \ ys) \ (\text{point} \ |' \ \text{restriction})$   
 $= \text{Some } (\text{subs}' \ |' \ \text{restriction})$   
**using** *Cons rest* **by** *simp*  
  
**ultimately** **show** *?case* **by** (*simp split: if-splits*)  
**qed**  
**qed** (*auto split: option.splits if-splits prod.splits simp add: bind-eq-Some-conv*)

**corollary** *raw-match'-restriction-on-tvsT*:  
**assumes**  $\text{raw-match}' \ T \ U \ \text{subs} = \text{Some } \text{subs}'$   
**shows**  $\text{raw-match}' \ T \ U \ (\text{subs} \ |' \ \text{tvsT } T) = \text{Some } (\text{subs}' \ |' \ \text{tvsT } T)$   
**using** *raw-match'-restriction assms* **by** *simp*

**lemma** *tinstT-imp-ex-raw-match'*:  
**assumes**  $\text{tinstT } T1 \ T2$   
**shows**  $\exists \text{subs}. \text{raw-match}' \ T2 \ T1 \ (\lambda p . \text{None}) = \text{Some } \text{subs}$   
**proof** –  
**obtain**  $\varrho$  **where**  $\text{tsubstT } T2 \ \varrho = T1$  **using** *assms tinstT-def* **by** *auto*  
**hence**  $\text{raw-match}' \ T2 \ T1 \ (\lambda(\text{idx}, S). \text{Some } (\varrho \ \text{idx} \ S)) = \text{Some } (\lambda(\text{idx}, S). \text{Some } (\varrho \ \text{idx} \ S))$   
 $(\varrho \ \text{idx} \ S)$   
**using** *tsubstT-matcher-imp-raw-match'-unchanged* **by** *auto*  
  
**hence**  $\text{raw-match}' \ T2 \ T1 \ ((\lambda(\text{idx}, S). \text{Some } (\varrho \ \text{idx} \ S)) \ |' \ \text{tvsT } T2)$   
 $= \text{Some } ((\lambda(\text{idx}, S). \text{Some } (\varrho \ \text{idx} \ S)) \ |' \ \text{tvsT } T2)$   
**using** *raw-match'-restriction-on-tvsT* **by** *simp*  
**moreover** **have**  $\text{dom } ((\lambda(\text{idx}, S). \text{Some } (\varrho \ \text{idx} \ S)) \ |' \ \text{tvsT } T2) = \text{tvsT } T2$  **by** *auto*

**ultimately show** *?thesis* **using** *map-le-produces-same-raw-match'*  
**using** *map-le-empty* **by** *blast*  
**qed**

**lemma** *ex-raw-match'-imp-tinstT*:  
**assumes**  $\exists \text{subs}. \text{raw-match}' T2 T1 (\lambda p . \text{None}) = \text{Some subs}$   
**shows** *tinstT T1 T2*

**proof** –  
**obtain** *subs* **where** *raw-match' T2 T1*  $(\lambda p . \text{None}) = \text{Some subs}$   
**using** *assms* **by** *auto*  
**hence** *tsubstT T2*  $(\text{convert-subs } \text{subs}) = T1$   
**using** *raw-match'-produces-matcher* **by** *blast*  
**thus** *?thesis unfolding tinstT-def* **by** *fast*  
**qed**

**corollary** *tinstT-iff-ex-raw-match'*:  
*tinstT T1 T2*  $\longleftrightarrow (\exists \text{subs}. \text{raw-match}' T2 T1 (\lambda p . \text{None}) = \text{Some subs})$   
**using** *ex-raw-match'-imp-tinstT tinstT-imp-ex-raw-match'* **by** *blast*

**function** *(sequential) raw-match-term*  
 $:: \text{term} \Rightarrow \text{term} \Rightarrow ((\text{variable} \times \text{sort}) \rightarrow \text{typ}) \Rightarrow ((\text{variable} \times \text{sort}) \rightarrow \text{typ}) \text{ option}$   
**where**  
*raw-match-term*  $(\text{Ct } a \ T) (\text{Ct } b \ U) \ \text{subs} = (\text{if } a = b \ \text{then } \text{raw-match}' \ T \ U \ \text{subs} \ \text{else } \text{None})$   
 $| \ \text{raw-match-term} \ (Fv \ a \ T) \ (Fv \ b \ U) \ \text{subs} = (\text{if } a = b \ \text{then } \text{raw-match}' \ T \ U \ \text{subs} \ \text{else } \text{None})$   
 $| \ \text{raw-match-term} \ (Bv \ i) \ (Bv \ j) \ \text{subs} = (\text{if } i = j \ \text{then } \text{Some } \text{subs} \ \text{else } \text{None})$   
 $| \ \text{raw-match-term} \ (\text{Abs } T \ t) \ (\text{Abs } U \ u) \ \text{subs} =$   
 $\quad \text{Option.bind } (\text{raw-match}' \ T \ U \ \text{subs}) \ (\text{raw-match-term } t \ u)$   
 $| \ \text{raw-match-term} \ (f \ \$ \ u) \ (f' \ \$ \ u') \ \text{subs} = \text{Option.bind } (\text{raw-match-term } f \ f' \ \text{subs})$   
 $\quad (\text{raw-match-term } u \ u')$   
 $| \ \text{raw-match-term} \ - \ - \ - = \text{None}$   
**by** *pat-completeness auto*  
**termination** **by** *size-change*

**lemma** *raw-match-term-map-le*: *raw-match-term t u subs = Some subs'  $\implies$  map-le subs subs'*  
**by** *(induction t u subs arbitrary; subs' rule: raw-match-term.induct)*  
*(auto split: if-splits prod.splits intro: map-le-trans raw-match'-map-le simp add: bind-eq-Some-conv)*

**lemma** *raw-match-term-tvs-subset-dom-res*:  
 $\text{raw-match-term } t \ u \ \text{subs} = \text{Some } \text{subs}' \implies \text{tvs } t \subseteq \text{dom } \text{subs}'$   
**proof** *(induction t u subs arbitrary; subs' rule: raw-match-term.induct)*  
**case**  $(\lambda T \ t \ U \ u \ \text{subs})$   
**from** *this* **obtain** *bsubs* **where** *bsubs: raw-match' T U subs = Some bsubs*  
**by** *(auto simp add: bind-eq-Some-conv raw-match'-produces-matcher)*  
**moreover** **hence** *body: raw-match-term t u bsubs = Some subs'*  
**using**  $\lambda$ .*prems* **by** *(auto simp add: bind-eq-Some-conv raw-match'-produces-matcher)*

**ultimately have**  $1: tvs\ t \subseteq dom\ subs'$   
**using**  $4$  **by** *fastforce*

**from**  $bsubs$  **have**  $tvsT\ T \subseteq dom\ bsubs$   
**using** *raw-match'-tvsT-subset-dom-res* **by** *auto*

**moreover have**  $bsubs \subseteq_m\ subs'$  **using** *raw-match-term-map-le body* **by** *blast*

**ultimately have**  $2: tvsT\ T \subseteq dom\ subs'$   
**using** *map-le-implies-dom-le* **by** *blast*  
**then show** *?case*  
**using**  $4.prem\ 1\ 2$  **by** (*simp split: if-splits*)

**next**  
**case** ( $5\ f\ u\ f'\ u'\ subs$ )  
**from** *this* **obtain**  $fsubs$  **where**  $f: raw-match-term\ f\ f'\ subs = Some\ fsubs$   
**by** (*auto simp add: bind-eq-Some-conv*)  
**hence**  $u: raw-match-term\ u\ u'\ fsubs = Some\ subs'$   
**using**  $5.prem\ 5$  **by** *auto*

**have**  $1: tvs\ u \subseteq dom\ subs'$   
**using**  $f\ u\ 5.IH$  **by** *auto*

**have**  $tvs\ f \subseteq dom\ fsubs$   
**using**  $5\ f$  **by** *simp*  
**moreover have**  $fsubs \subseteq_m\ subs'$  **using** *raw-match-term-map-le u* **by** *blast*  
**ultimately have**  $2: tvs\ f \subseteq dom\ subs'$   
**using** *map-le-implies-dom-le* **by** *blast*

**then show** *?case* **using**  $1$  **by** *simp*

**qed** (*use raw-match'-tvsT-subset-dom-res in <auto split: option.splits if-splits prod.splits>*)

**lemma** *raw-match-term-dom-res-subset-tvs:*  
 $raw-match-term\ t\ u\ subs = Some\ subs' \implies dom\ subs' \subseteq tvs\ t \cup dom\ subs$   
**proof** (*induction t u subs arbitrary: subs' rule: raw-match-term.induct*)  
**case** ( $4\ T\ t\ U\ u\ subs$ )  
**from** *this* **obtain**  $bsubs$  **where**  $bsubs: raw-match'\ T\ U\ subs = Some\ bsubs$   
**by** (*auto simp add: bind-eq-Some-conv raw-match'-produces-matcher*)  
**moreover hence**  $body: raw-match-term\ t\ u\ bsubs = Some\ subs'$   
**using**  $4.prem\ 4$  **by** (*auto simp add: bind-eq-Some-conv raw-match'-produces-matcher*)

**ultimately have**  $1: dom\ subs' \subseteq tvs\ t \cup dom\ bsubs$   
**using**  $4$  **by** *fastforce*

**from**  $bsubs$  **have**  $dom\ bsubs \subseteq tvsT\ T \cup dom\ bsubs$   
**using** *raw-match'-dom-res-subset-tvsT* **by** *auto*

**moreover have**  $subs \subseteq_m bsubs$  **using**  $bsubs$  *raw-match'-map-le* **by** *blast*  
**ultimately have** 2:  $dom\ bsubs \subseteq tvs\ T\ T \cup dom\ subs$   
**using**  $bsubs$  *raw-match'-dom-res-subset-tvsT* **by** *auto*  
**then show** *?case*  
**using** 4.prem 1 2 **by** (*auto split: if-splits*)  
**next**  
**case** (5  $f\ u\ f'\ u'\ subs$ )  
**from** *this* **obtain**  $fsubs$  **where**  $f$ : *raw-match-term*  $f\ f'\ subs = Some\ fsubs$   
**by** (*auto simp add: bind-eq-Some-conv*)  
**hence**  $u$ : *raw-match-term*  $u\ u'\ fsubs = Some\ subs'$   
**using** 5.prem **by** *auto*  
  
**have** 1:  $dom\ fsubs \subseteq tvs\ f \cup dom\ subs$   
**using** 5  $f\ u$  **by** *simp*  
  
**have**  $dom\ subs' \subseteq tvs\ u \cup dom\ fsubs$   
**using** 5  $f$  **by** *simp*  
**moreover have**  $fsubs \subseteq_m subs'$  **using** *raw-match-term-map-le*  $u$  **by** *blast*  
**ultimately have** 2:  $dom\ subs' \subseteq tvs\ f \cup tvs\ u \cup dom\ subs$   
**by** (*smt 1 Un-commute inf-sup-aci(6) subset-Un-eq*)  
**then show** *?case* **using** 1 **by** *simp*  
**qed** (*use raw-match'-dom-res-subset-tvsT in <auto split: option.splits if-splits prod.splits>*)

**corollary** *raw-match-term-dom-res-eq-tvs*:  
*raw-match-term*  $t\ u\ subs = Some\ subs' \implies dom\ subs' = tvs\ t \cup dom\ subs$   
**by** (*simp add: map-le-implies-dom-le raw-match-term-tvs-subset-dom-res*  
*raw-match-term-dom-res-subset-tvs raw-match-term-map-le subset-antisym*)

**lemma** *raw-match-term-extend-map-preserve*:  
*raw-match-term*  $t\ u\ subs = Some\ subs' \implies map-le\ subs'\ subs'' \implies p \in tvs\ t \implies$   
 $subs''\ p = subs'\ p$   
**using** *raw-match-term-dom-res-eq-tvs domIff map-le-implies-dom-le*  
**by** (*simp add: map-le-def*)

**lemma** *map-eq-on-tvs-imp-map-eq-on-term*:  
 $(\bigwedge p . p \in tvs\ t \implies subs\ p = subs'\ p)$   
 $\implies tsubst\ t\ (convert-sub\ subs)$   
 $= tsubst\ t\ (convert-sub\ subs')$   
**by** (*induction t*) (*use map-eq-on-tvsT-imp-map-eq-on-tyt in <fastforce+>*)

**lemma** *raw-match-extend-map-preserve'*:  
**assumes** *raw-match-term*  $t\ u\ subs = Some\ subs'\ map-le\ subs'\ subs''$   
**shows**  $tsubst\ t\ (convert-sub\ subs')$   
 $= tsubst\ t\ (convert-sub\ subs'')$   
**apply** (*rule map-eq-on-tvs-imp-map-eq-on-term*)  
**using** *raw-match-term-extend-map-preserve assms* **by** *fastforce*

**lemma** *raw-match-term-produces-matcher*:  
 $raw\_match\_term\ t\ u\ subs = Some\ subs'$   
 $\implies tsubst\ t\ (convert\_subs\ subs') = u$

**proof** (*induction t u subs arbitrary: subs' rule: raw-match-term.induct*)  
**case** ( $4\ T\ t\ U\ u\ subs$ )  
**from** *this* **obtain**  $bsubs$  **where**  $bsubs: raw\_match'\ T\ U\ subs = Some\ bsubs$   
**by** (*auto simp add: bind-eq-Some-conv raw-match'-produces-matcher*)  
**moreover** **hence**  $body: raw\_match\_term\ t\ u\ bsubs = Some\ subs'$   
**using**  $4.prem$ s **by** (*auto simp add: bind-eq-Some-conv raw-match'-produces-matcher*)

**ultimately** **have**  $1: tsubst\ t\ (convert\_subs\ subs') = u$   
**using**  $4$  **by** *fastforce*

**from**  $bsubs$  **have**  $tsubst\ T\ T\ (convert\_subs\ bsubs) = U$   
**using** *raw-match'-produces-matcher* **by** *blast*

**moreover** **have**  $bsubs \subseteq_m\ subs'$  **using** *raw-match-term-map-le*  $body$  **by** *blast*

**ultimately** **have**  $2: tsubst\ T\ T\ (convert\_subs\ subs') = U$   
**using** *raw-match'-extend-map-preserve'[OF bsubs, of subs']* **by** *simp*

**then** **show** *?case*  
**using**  $4.prem$ s  $1\ 2$  **by** (*simp split: if-splits*)

**next**  
**case** ( $5\ f\ u\ f'\ u'\ subs$ )  
**from** *this* **obtain**  $fsubs$  **where**  $f: raw\_match\_term\ f\ f'\ subs = Some\ fsubs$   
**by** (*auto simp add: bind-eq-Some-conv*)  
**hence**  $u: raw\_match\_term\ u\ u'\ fsubs = Some\ subs'$   
**using**  $5.prem$ s **by** *auto*

**have**  $1: tsubst\ u\ (convert\_subs\ subs') = u'$   
**using**  $f\ u\ 5.IH$  **by** *auto*

**have**  $tsubst\ f\ (convert\_subs\ fsubs) = f'$   
**using**  $5\ f$  **by** *simp*

**moreover** **have**  $fsubs \subseteq_m\ subs'$  **using** *raw-match-term-map-le*  $u$  **by** *blast*

**ultimately** **have**  $2: tsubst\ f\ (convert\_subs\ subs') = f'$   
**using** *raw-match-extend-map-preserve'[OF f, of subs']* **by** *simp*

**then** **show** *?case* **using** *raw-match'-extend-map-preserve' 1* **by** *auto*

**qed** (*auto split: if-splits simp add: bind-eq-Some-conv raw-match'-produces-matcher*)

**lemma** *ex-raw-match-term-imp-tinst*:  
**assumes**  $\exists\ subs. raw\_match\_term\ t2\ t1\ (\lambda p. None) = Some\ subs$   
**shows**  $tinst\ t1\ t2$

**proof** –  
**obtain**  $subs$  **where**  $raw\_match\_term\ t2\ t1\ (\lambda p. None) = Some\ subs$   
**using** *assms* **by** *auto*  
**hence**  $tsubst\ t2\ (convert\_subs\ subs) = t1$



**using** *raw-match-term-produces-matcher* **by** *blast*  
**thus** *?thesis unfolding tinst-def* **by** *fast*  
**qed**

**lemma** *tsubst-matcher-imp-raw-match-term-unchanged*:  
 $tsubst\ t\ \varrho = u \implies raw-match-term\ t\ u\ (\lambda(idx, S).\ Some\ (\varrho\ idx\ S)) = Some\ (\lambda(idx, S).\ Some\ (\varrho\ idx\ S))$   
**by** (*induction t arbitrary: u ρ*) (*auto simp add: tsubstT-matcher-imp-raw-match'-unchanged*)

**lemma** *raw-match-term-restriction*:  
**assumes** *raw-match-term t u subs = Some subs'*  
**assumes** *tvs t ⊆ restriction*  
**shows** *raw-match-term t u (subs|'restriction) = Some (subs'|'restriction)*  
**using** *assms* **by** (*induction t u subs arbitrary: restriction subs' rule: raw-match-term.induct*)  
*(use raw-match'-restriction in*  
*⟨auto split: option.splits if-splits prod.splits simp add: bind-eq-Some-conv⟩)*

**corollary** *raw-match-term-restriction-on-tvs*:  
**assumes** *raw-match-term t u subs = Some subs'*  
**shows** *raw-match-term t u (subs|'tvs t) = Some (subs'|'tvs t)*  
**using** *raw-match-term-restriction assms* **by** *simp*

**lemma** *raw-match-term-imp-raw-match-term-on-map-le*:  
**assumes** *raw-match-term t u subs = Some subs'*  
**assumes** *map-le lesubs subs*  
**shows**  $\exists\ lesubs'.\ raw-match-term\ t\ u\ lesubs = Some\ lesubs' \wedge\ map-le\ lesubs'\ subs'$   
**using** *assms* **proof** (*induction t u subs arbitrary: lesubs subs' rule: raw-match-term.induct*)  
**case** (*4 T t U u subs*)  
**from** *this* **obtain** *bsubs* **where** *bsubs: raw-match' T U subs = Some bsubs*  
**by** (*auto simp add: bind-eq-Some-conv raw-match'-produces-matcher*)  
**hence** *body: raw-match-term t u bsubs = Some subs'*  
**using** *4.prem* **by** (*auto simp add: bind-eq-Some-conv raw-match'-produces-matcher*)

**from** *bsubs 4* **obtain** *lesubs* **where**  
 $lesubs: raw-match' T U subs = Some\ lesubs\ map-le\ lesubs\ bsubs$   
**using** *raw-match'-map-le map-le-trans*  
**by** (*fastforce split: if-splits simp add: bind-eq-Some-conv raw-match'-produces-matcher*)  
**from** *this* **obtain** *lesubs'* **where**  
 $lesubs': raw-match-term\ t\ u\ lesubs = Some\ lesubs'\ map-le\ lesubs'\ subs'$   
**using** *4.prem*  
**by** (*auto split: if-splits simp add: bind-eq-Some-conv raw-match'-produces-matcher*)

**show** *?case*  
**using** *lesubs lesubs' 4* **apply** (*auto split: if-splits simp add: bind-eq-Some-conv*)  
**by** (*meson raw-match'-imp-raw-match'-on-map-le*)

**next**  
**case** (*5 f u f' u' subs*)  
**from** *this* **obtain** *fsubs* **where** *f: raw-match-term f f' subs = Some fsubs*  
**by** (*auto simp add: bind-eq-Some-conv*)

hence  $u$ : *raw-match-term*  $u$   $u'$   $fsubs = Some$   $subs'$   
 using 5.premis by auto

from 5 obtain *lefsubs* where

*lefsubs*: *raw-match-term*  $f$   $f'$   $subs = Some$  *lefsubs* *map-le* *lefsubs*  $fsubs$   
 using *raw-match-term-map-le* *map-le-trans*  $f$  by auto

from this obtain *lesubs'* where

*lesubs'*: *raw-match-term*  $u$   $u'$  *lefsubs = Some* *lesubs'* *map-le* *lesubs'*  $subs'$   
 using 5.premis

by (auto split: *if-splits simp add: bind-eq-Some-conv raw-match'-produces-matcher*)

from *lefsubs* *lesubs'* show ?case using 5 by (fastforce split: *if-splits simp add: bind-eq-Some-conv*)

qed (use *raw-match'-imp-raw-match'-on-map-le* in

⟨auto split: *option.splits if-splits prod.splits simp add: bind-eq-Some-conv*⟩)

corollary *map-le-produces-same-raw-match-term*:

assumes *raw-match-term*  $t$   $u$   $subs = Some$   $subs'$

assumes  $dom$   $subs \subseteq tvs$   $t$

assumes *map-le* *lesubs*  $subs$

shows *raw-match-term*  $t$   $u$  *lesubs = Some*  $subs'$

proof –

have  $dom$   $subs' = tvs$   $t$

using *assms*(1) *assms*(2) *raw-match-term-dom-res-eq-tvs* by auto

moreover obtain *lesubs'* where *raw-match-term*  $t$   $u$  *lesubs = Some* *lesubs'*  
*map-le* *lesubs'*  $subs'$

using *raw-match-term-imp-raw-match-term-on-map-le* *assms*(1) *assms*(3) by  
*blast*

moreover hence  $dom$  *lesubs'* =  $tvs$   $t$

using ⟨*dom*  $subs' = tvs$   $t$ ⟩ *map-le-implies-dom-le* *raw-match-term-tvs-subset-dom-res*  
 by *fastforce*

ultimately show ?thesis using *map-le-same-dom-imp-same-map* by *metis*  
 qed

lemma *tinst-imp-ex-raw-match-term*:

assumes *tinst*  $t1$   $t2$

shows  $\exists$  *subs*. *raw-match-term*  $t2$   $t1$  ( $\lambda p$  . *None*) = *Some* *subs*

proof –

obtain  $\rho$  where *tsubst*  $t2$   $\rho = t1$  using *assms* *tinst-def* by auto

hence *raw-match-term*  $t2$   $t1$  ( $\lambda(idx, S)$ . *Some* ( $\rho$   $idx$   $S$ )) = *Some* ( $\lambda(idx, S)$ .  
*Some* ( $\rho$   $idx$   $S$ ))

using *tsubst-matcher-imp-raw-match-term-unchanged* by auto

hence *raw-match-term*  $t2$   $t1$  (( $\lambda(idx, S)$ . *Some* ( $\rho$   $idx$   $S$ ))| $tvs$   $t2$ )

= *Some* (( $\lambda(idx, S)$ . *Some* ( $\rho$   $idx$   $S$ ))| $tvs$   $t2$ )

using *raw-match-term-restriction-on-tvs* by *simp*

moreover have  $dom$  (( $\lambda(idx, S)$ . *Some* ( $\rho$   $idx$   $S$ ))| $tvs$   $t2$ ) =  $tvs$   $t2$  by auto

ultimately show ?thesis using *map-le-produces-same-raw-match-term*

**using** *map-le-empty* **by** *blast*  
**qed**

**corollary** *tinst-iff-ex-raw-match-term*:

*tinst t1 t2*  $\longleftrightarrow$   $(\exists \text{subs. raw-match-term } t2 \ t1 \ (\lambda p . \text{None}) = \text{Some } \text{subs})$   
**using** *ex-raw-match-term-imp-tinst tinst-imp-ex-raw-match-term* **by** *blast*

**function** *(sequential) assoc-match*

$:: \text{typ} \Rightarrow \text{typ} \Rightarrow ((\text{variable} \times \text{sort}) \times \text{typ}) \text{ list} \Rightarrow ((\text{variable} \times \text{sort}) \times \text{typ}) \text{ list}$   
*option where*

*assoc-match*  $(Tv \ v \ S) \ T \ \text{subs} =$   
*(case lookup*  $(\lambda x. x=(v,S)) \ \text{subs}$  *of*  
*None*  $\Rightarrow \text{Some } (((v,S), \ T) \ \# \ \text{subs})$   
*| Some*  $U \Rightarrow (\text{if } U = T \ \text{then } \text{Some } \text{subs} \ \text{else } \text{None}))$

*| assoc-match*  $(Ty \ a \ Ts) \ (Ty \ b \ Us) \ \text{subs} =$   
*(if*  $a=b \wedge \text{length } Ts = \text{length } Us$   
*then*  $\text{fold } (\lambda(T, \ U) \ \text{subs} . \text{Option.bind } \text{subs} \ (\text{assoc-match } T \ U)) \ (\text{zip } Ts \ Us)$   
*(Some*  $\text{subs})$   
*else*  $\text{None}$

*| assoc-match*  $T \ U \ \text{subs} = (\text{if } T = U \ \text{then } \text{Some } \text{subs} \ \text{else } \text{None})$

**by** *(pat-completeness) auto*

**termination proof** *(relation measure*  $(\lambda(T, \ U, \ \text{subs}) . \text{size } T + \text{size } U)$ , *goal-cases)*

**case** *1*

**then show** *?case*

**by** *auto*

**next**

**case**  $(2 \ a \ Ts \ b \ Us \ \text{subs} \ x \ xa \ y \ xb \ aa)$

**hence**  $\text{length } Ts = \text{length } Us \ a=b$

**by** *auto*

**from** *this*  $2(2-)$  **show** *?case*

**by** *(induction Ts Us rule: list-induct2) auto*

**qed**

**corollary** *assoc-match-Type-conds*:

**assumes** *assoc-match*  $(Ty \ a \ Ts) \ (Ty \ b \ Us) \ \text{subs} = \text{Some } \text{subs}'$

**shows**  $a=b \ \text{length } Ts = \text{length } Us$

**using** *assms* **by** *(auto split: if-splits)*

**lemma** *fold-assoc-matches-first-step-not-None*:

**assumes**

$\text{fold } (\lambda(T, \ U) \ \text{subs} . \text{Option.bind } \text{subs} \ (\text{assoc-match } T \ U)) \ (\text{zip } (x\#xs) \ (y\#ys))$   
*(Some*  $\text{subs}) = \text{Some } \text{subs}'$

**obtains** *point where*

*assoc-match*  $x \ y \ \text{subs} = \text{Some } \text{point}$

$\text{fold } (\lambda(T, \ U) \ \text{subs} . \text{Option.bind } \text{subs} \ (\text{assoc-match } T \ U)) \ (\text{zip } (xs) \ (ys)) \ (\text{Some } \text{point}) = \text{Some } \text{subs}'$

**using** *assms* **apply** *(simp split: option.splits)*

by (*metis fold-Option-bind-eq-Some-start-not-None' not-None-eq*)

**lemma** *assoc-match-subset*: *assoc-match T U subs = Some subs'  $\implies$  set subs  $\subseteq$  set subs'*

**proof** (*induction T U subs arbitrary: subs' rule: assoc-match.induct*)

**case** (*2 a Ts b Us subs*)

**hence** *l: length Ts = length Us a = b* **by** (*simp-all split: if-splits*)

**have** *better-IH: (x, y)  $\in$  set (zip Ts Us)  $\implies$  assoc-match x y subs = Some subs'  $\implies$  set subs  $\subseteq$  set subs'*

**for** *x y subs subs'* **using** *2* **by** (*simp split: if-splits*)

**from** *l better-IH 2.prem* **show** *?case*

**proof** (*induction Ts Us arbitrary: subs rule: list-induct2*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons x xs y ys*)

**obtain point where first: assoc-match x y subs = Some point**  
    **and rest: assoc-match (Ty a xs) (Ty b ys) point = Some subs'**  
    **using fold-assoc-matches-first-step-not-None**  
    **by** (*metis (no-types, lifting) Cons.hyps Cons.prem assoc-match.simps(2) assoc-match-Type-conds(2)*)

**then show** *?case*  
    **using** *Cons.IH Cons.prem(2)* **by** (*fastforce split: option.splits prod.splits if-splits*  
    *simp add: lookup-present-eq-key bind-eq-Some-conv*)

**qed**

**qed** (*auto split: option.splits prod.splits if-splits simp add: lookup-present-eq-key*)

**lemma** *assoc-match-distinct*: *assoc-match T U subs = Some subs'  $\implies$  distinct (map fst subs)*  
 $\implies$  *distinct (map fst subs')*

**proof** (*induction T U subs arbitrary: subs' rule: assoc-match.induct*)

**case** (*2 a Ts b Us subs*)

**hence** *l: length Ts = length Us a = b* **by** (*simp-all split: if-splits*)

**have** *better-IH: (x, y)  $\in$  set (zip Ts Us)  $\implies$  assoc-match x y subs = Some subs'  $\implies$  distinct (map fst subs)  $\implies$  distinct (map fst subs')*

**for** *x y subs subs'* **using** *2* **by** (*simp split: if-splits*)

**from** *l better-IH 2.prem* **show** *?case*

**proof** (*induction Ts Us arbitrary: subs subs' rule: list-induct2*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons x xs y ys*)

**obtain point where first: assoc-match x y subs = Some point**  
    **and rest: assoc-match (Ty a xs) (Ty b ys) point = Some subs'**

```

    using fold-assoc-matches-first-step-not-None
    by (metis (no-types, lifting) Cons.hyps Cons.premis assoc-match.simps(2)
assoc-match-Type-conds(2))

have dst-point: distinct (map fst point)
  apply (rule Cons.premis)
  using first Cons.premis by simp-all

have distinct (map fst subs')
  apply (rule Cons.IH)
  using Cons.premis rest apply simp
  using Cons.premis apply auto[1]
  using rest apply simp
  using dst-point apply simp
done

then show ?case
  using Cons.IH Cons.premis(2) by simp
qed
qed (auto split: option.splits prod.splits if-splits simp add: lookup-present-eq-key)

```

```

lemma lookup-eq-map-of-ap:
  shows lookup (λx. x=k) subs = map-of subs k
  by (induction subs arbitrary: k) auto

```

```

lemma raw-match'-assoc-match:
  shows raw-match' T U (map-of subs) = map-option map-of (assoc-match T U
subs)
  proof (induction T U map-of subs arbitrary: subs rule: raw-match'.induct)
case (1 v S T)
  then show ?case
    by (auto split: option.splits prod.splits simp add: lookup-present-eq-key lookup-eq-map-of-ap)
next
case (2 a Ts b Us subs)
  then show ?case
  proof(cases (raw-match' (Ty a Ts) (Ty b Us) (map-of subs)))
    case None
      then show ?thesis
    proof (cases a = b ∧ length Ts = length Us)
      case True
        hence length Ts = length Us a = b by auto
      then show ?thesis using 2 None
    proof (induction Ts Us arbitrary: subs rule: list-induct2)
      case Nil
        then show ?case by simp
    next

```

```

    case (Cons x xs y ys)

  hence eq-hd: raw-match' x y (map-of subs) = map-option map-of (assoc-match
x y subs)
    by auto

  then show ?case
  proof(cases assoc-match x y subs)
    case None
    hence raw-match' x y (map-of subs) = None using eq-hd by simp
    then show ?thesis
    using fold-Option-bind-at-some-point-None-eq-None fold-assoc-matches-first-step-not-None
      Cons.prem
    by (auto split: option.splits prod.splits if-splits
      simp add: fold-Option-bind-eq-None-start-None)
  next
    case (Some res)
    hence raw-match' x y (map-of subs) = Some (map-of res) using eq-hd by
simp
    then show ?thesis
    using fold-assoc-matches-first-step-not-None fold-Option-bind-eq-Some-at-each-point-Some
      Cons.prem Cons.IH
    by (auto split: option.splits prod.splits if-splits
      simp add: fold-Option-bind-eq-None-start-None)
  qed
  qed
  next
  case False
  then show ?thesis using None 2 by auto
  qed
  next
  case (Some res)
  hence l: length Ts = length Us a = b by (simp-all split: if-splits)
  have better-IH: (x, y) ∈ set (zip Ts Us) ⇒
raw-match' x y (map-of subs) = map-option map-of (assoc-match x y subs)
    for x y subs using 2 Some by (simp split: if-splits)
  from l better-IH Some 2.prem show ?thesis
  proof (induction Ts Us arbitrary: subs res rule: list-induct2)
    case Nil
    then show ?case by simp
  next
  case (Cons x xs y ys)

  obtain point where first: raw-match' x y (map-of subs) = Some (map-of
point)
  and rest: raw-match' (Ty a xs) (Ty b ys) (map-of point) = Some res
  using fold-matches-first-step-not-None Cons.prem
  by (simp split: option.splits prod.splits if-splits) (smt map-option-eq-Some)

```

**have 1:**  $\text{raw-match}' x y (\text{map-of } \text{subs}) = \text{map-option } \text{map-of } (\text{assoc-match } x y \text{ subs})$

**using** *Cons.prem*s **by** *simp*

**have 2:**  $\text{raw-match}' (\text{Ty } a \text{ } xs) (\text{Ty } b \text{ } ys) (\text{map-of } \text{point}) = \text{map-option } \text{map-of } (\text{assoc-match } (\text{Ty } a \text{ } xs) (\text{Ty } b \text{ } ys) \text{ point})$   
**using** *Cons rest* **by** *auto*

**show** *?case*

**using** *1 2 first rest*

**apply** (*simp split: if-splits option.splits prod.splits*)

**by** (*smt Cons.IH Cons.prem*s(2) *assoc-match.simp*s(2) *list.set-intros*(2))

*map-option-eq-Some*

*rest zip-Cons-Cons*)

**qed**

**qed**

**qed** (*auto split: option.splits prod.splits simp add: lookup-present-eq-key*)

**lemma** *dom-eq-and-eq-on-dom-imp-eq*:  $\text{dom } m = \text{dom } m' \implies \forall x \in \text{dom } m . m x = m' x \implies m = m'$

**by** (*simp add: map-le-def map-le-same-dom-imp-same-map*)

**lemma** *list-of-map*:

**assumes** *finite (dom subs)*

**shows**  $\exists l . \text{map-of } l = \text{subs}$

**proof** –

**have** *finite*  $\{(k, \text{the } (\text{subs } k)) \mid k . k \in \text{dom } \text{subs}\}$  **using** *assms* **by** *simp*

**from** *this* **obtain** *l* **where** *l*:  $\text{set } l = \{(k, \text{the } (\text{subs } k)) \mid k . k \in \text{dom } \text{subs}\}$

**using** *finite-list* **by** *fastforce*

**hence**  $\text{dom } (\text{map-of } l) = \text{fst } \{(k, \text{the } (\text{subs } k)) \mid k . k \in \text{dom } \text{subs}\}$

**by** (*simp add: dom-map-of-conv-image-fst*)

**also have**  $\dots = \text{dom } \text{subs}$

**by** (*simp add: Setcompr-eq-image domI image-image*)

**finally have**  $\text{dom } (\text{map-of } l) = \text{dom } \text{subs}$  .

**moreover have**  $\text{map-of } l x = \text{subs } x$  **if**  $x \in \text{dom } \text{subs}$  **for** *x*

**using** *that*

**by** (*smt l domIff fst-conv map-of-SomeD mem-Collect-eq option.collapse prod.sel*(2) *weak-map-of-SomeI*)

**ultimately have**  $\text{map-of } l = \text{subs}$

**by** (*simp add: dom-eq-and-eq-on-dom-imp-eq*)

**thus** *?thesis ..*

**qed**

**corollary** *tinstT-iff-assoc-match[code]*:  $\text{tinstT } T1 \text{ } T2 \iff \text{assoc-match } T2 \text{ } T1 \quad \square$   
 $\sim = \text{None}$

**using** *tinstT-iff-ex-raw-match' list-of-map raw-match'-assoc-match*

**by** (*smt map-of-eq-empty-iff map-option-is-None option.collapse option.distinct*(1))

**function** (sequential) *assoc-match-term*  
 :: *term* ⇒ *term* ⇒ ((*variable* × *sort*) × *typ*) *list* ⇒ ((*variable* × *sort*) × *typ*) *list*  
*option*  
**where**  
*assoc-match-term* (*Ct a T*) (*Ct b U*) *subs* = (if *a* = *b* then *assoc-match T U subs*  
 else *None*)  
| *assoc-match-term* (*Fv a T*) (*Fv b U*) *subs* = (if *a* = *b* then *assoc-match T U subs*  
 else *None*)  
| *assoc-match-term* (*Bv i*) (*Bv j*) *subs* = (if *i* = *j* then *Some subs* else *None*)  
| *assoc-match-term* (*Abs T t*) (*Abs U u*) *subs* =  
*Option.bind* (*assoc-match T U subs*) (*assoc-match-term t u*)  
| *assoc-match-term* (*f \$ u*) (*f' \$ u'*) *subs* = *Option.bind* (*assoc-match-term f f'*  
*subs*) (*assoc-match-term u u'*)  
| *assoc-match-term* - - - = *None*  
**by** *pat-completeness auto*  
**termination by** *size-change*

**lemma** *raw-match-term-assoc-match-term*:  
*raw-match-term t u* (*map-of subs*) = *map-option map-of* (*assoc-match-term t u*  
*subs*)  
**proof** (*induction t u map-of subs arbitrary: subs rule: raw-match-term.induct*)  
**case** (4 *T t U u*)

**then show** ?*case*  
**proof** (*cases assoc-match T U subs*)  
**case** *None*  
**then show** ?*thesis using raw-match'-assoc-match by simp*  
**next**  
**case** (*Some bsubs*)  
**hence** 1: *raw-match' T U* (*map-of subs*) = *Some* (*map-of bsubs*)  
**using** *raw-match'-assoc-match by simp*  
**hence** *raw-match-term t u* (*map-of bsubs*) = *map-option map-of* (*assoc-match-term*  
*t u bsubs*)  
**using** 4 **by** *blast*  
**then show** ?*thesis by* (*simp add: Some 1*)  
**qed**  
**next**  
**case** (5 *f u f' u'*)

**from** 5.*hypos*(1) 5.*hypos*(2) **have** *Option.bind* (*map-option map-of* (*assoc-match-term*  
*f f' subs*))  
 (*raw-match-term u u'*) =  
*map-option map-of* (*Option.bind* (*assoc-match-term f f' subs*) (*assoc-match-term*  
*u u'*))  
**by** (*smt None-eq-map-option-iff bind.bind-lunit bind-eq-None-conv option.collapse*  
*option.map-sel*)  
**with** 5 **show** ?*case*  
**using** *raw-match'-assoc-match 5*  
**by** (*auto split: option.splits prod.splits simp add: lookup-present-eq-key bind-eq-Some-conv*)



*bind-eq-None-conv*)  
**qed** (*use raw-match'-assoc-match in*  $\langle \text{auto split: option.splits prod.splits} \rangle$ )

**corollary** *tinst-iff-assoc-match-term*[code]:  $tinst\ t1\ t2 \longleftrightarrow assoc\ match\ term\ t2\ t1$   
 $\square \neq None$

**proof**

**assume** *tinst t1 t2*

**from this obtain** *asubs where raw-match-term t2 t1 Map.empty = Some asubs*

**using** *tinst-imp-ex-raw-match-term* **by** *blast*

**from this obtain** *csubs where assoc-match-term t2 t1  $\square = Some\ csubs$*

**by** (*metis empty-eq-map-of-iff map-option-eq-Some raw-match-term-assoc-match-term*)

**thus** *assoc-match-term t2 t1  $\square \neq None$  by simp*

**next**

**assume** *assoc-match-term t2 t1  $\square \neq None$*

**from this obtain** *csubs where assoc-match-term t2 t1  $\square = Some\ csubs$*

**by** *blast*

**from this obtain** *asubs where raw-match-term t2 t1 Map.empty = Some asubs*

**by** (*metis empty-eq-map-of-iff option.simps(9) raw-match-term-assoc-match-term*)

**thus** *tinst t1 t2*

**using** *tinst-iff-ex-raw-match-term* **by** *blast*

**qed**

**hide-fact** *fold-matches-first-step-not-None fold-matches-last-step-not-None*

**end**

## 15 Executable Signature and Theory

**theory** *TheoryExe*

**imports** *SortsExe Theory Instances*

**begin**

**datatype** *exesignature = ExeSignature*

(*execonst-type-of: (name  $\times$  typ) list*)

(*exetyp-arity-of: (name  $\times$  nat) list*)

(*exesorts: exeosig*)

**lemma** *exe-const-type-of-ok:*

*alist-conds cto  $\implies$*

$(\forall ty \in Map.ran\ (map\ of\ cto) . typ\ ok\ sig\ (map\ of\ cto,\ ta,\ sa)\ ty)$

$\longleftrightarrow (\forall ty \in snd\ ' set\ cto . typ\ ok\ sig\ (map\ of\ cto,\ ta,\ sa)\ ty)$

**by** (*simp add: ran-distinct*)

**fun** *exe-wf-sig* **where**

*exe-wf-sig (ExeSignature cto tao sa) = (exe-wf-osig sa  $\wedge$*

*fst ' set (exetcsigs sa) = fst ' set tao*

*$\wedge (\forall type \in fst\ ' set\ (exetcsigs\ sa).$*

*( $\forall ars \in snd\ ' set\ (the\ (lookup\ (\lambda k. k = type)\ (exetcsigs\ sa)))$ ).*

$the\ (lookup\ (\lambda k. k=type)\ tao) = length\ ars))$   
 $\wedge (\forall ty \in snd\ 'set\ cto . typ-ok-sig\ (map-of\ cto, map-of\ tao, translate-osig\ sa)\ ty))$

**lemma** *exe-wf-sig-imp-wf-sig*:

**assumes** *alist-conds cto alist-conds tao exe-osig-conds sa (exe-wf-osig sa*  
 $\wedge fst\ 'set\ (exetcsigs\ sa) = fst\ 'set\ tao$   
 $\wedge (\forall type \in fst\ 'set\ (exetcsigs\ sa).$   
 $\quad (\forall ars \in snd\ 'set\ (the\ (lookup\ (\lambda k. k=type)\ (exetcsigs\ sa))) .$   
 $\quad\quad the\ (lookup\ (\lambda k. k=type)\ tao) = length\ ars))$   
 $\wedge (\forall ty \in snd\ 'set\ cto . typ-ok-sig\ (map-of\ cto, map-of\ tao, translate-osig\ sa)\ ty)$   
**shows** *wf-sig (map-of cto, map-of tao, translate-osig sa)*

**proof** –

$\{$   
**fix** *type y*  
**assume** *p: exe-osig-conds sa trans (fst (translate-osig sa)) snd (translate-osig sa) type = Some y*  
**hence** *exe-ars-conds (exetcsigs sa)*  
**using** *exe-osig-conds-def by blast*  
**from** *p have translate-ars (exetcsigs sa) type = Some y*  
**by** *(metis snd-conv translate-osig.elims)*  
**hence**  $(type, y) \in set\ (map\ (apsnd\ map-of)\ (exetcsigs\ sa))$   
**using** *map-of-SomeD by force*  
**hence**  $type \in fst\ 'set\ (exetcsigs\ sa)$  **by force**  
**from** *this obtain x where lookup (λx. x=type) (exetcsigs sa) = Some x*  
**using** *key-present-imp-eq-lookup-finds-value by metis*  
**hence**  $map-of\ x = y$   
**by**  $(metis\ \langle exe-ars-conds\ (snd\ sa) \rangle\ \langle translate-ars\ (snd\ sa)\ type = Some\ y \rangle$   
 $\quad exe-ars-conds-def\ in-alist-imp-in-map-of\ lookup-eq-map-of-ap$   
 $\quad map-of-SomeD\ option.sel)$   
**have**  $\exists y. (type, y) \in set\ tao$   
**using**  $\langle type \in fst\ 'set\ (exetcsigs\ sa) \rangle\ assms(4)$  **by auto**  
 $\}$   
**note**  $1 = this$

$\{$   
**fix** *ars type y*  
**assume** *p: exe-osig-conds sa*  
 $trans\ (fst\ (translate-osig\ sa))$   
 $\forall x \in set\ cto. typ-ok-sig\ (map-of\ cto, map-of\ tao, translate-osig\ sa)\ (snd\ x)$   
 $ars \in ran\ y$   
 $snd\ (translate-osig\ sa)\ type = Some\ y$   
  
**hence** *exe-ars-conds (exetcsigs sa)*  
**using** *exe-osig-conds-def by blast*  
**from**  $p(1-2)\ p(5)$  **have** *translate-ars (exetcsigs sa) type = Some y*  
**by** *(metis snd-conv translate-osig.elims)*  
**hence**  $(type, y) \in set\ (map\ (apsnd\ map-of)\ (exetcsigs\ sa))$   
**using** *map-of-SomeD by force*  
**hence** *dom: type ∈ fst 'set (exetcsigs sa) by force*  
 $\}$

```

from this obtain  $x$  where  $x$ :  $\text{lookup } (\lambda x. x = \text{type}) (\text{exetcsgs } sa) = \text{Some } x$ 
using  $\text{key-present-imp-eq-lookup-finds-value}$  by  $\text{metis}$ 
hence  $\text{map-of } x = y$ 
by ( $\text{metis } \langle \text{exe-ars-conds } (\text{snd } sa) \rangle \langle \text{translate-ars } (\text{snd } sa) \text{ type} = \text{Some } y \rangle$ 
 $\text{exe-ars-conds-def in-alist-imp-in-map-of lookup-eq-map-of-ap map-of-SomeD}$ 
 $\text{option.sel}$ )
have  $ars \in \text{snd } \text{' set } x$ 
by ( $\text{metis } \langle \text{map-of } x = y \rangle \text{image-iff in-range-if-ex-key map-of-SomeD } p(4)$ 
 $\text{snd-conv}$ )

have  $\text{type} \in \text{fst } \text{' set } tao$ 
apply ( $\text{simp add: } \langle \text{type} \in \text{fst } \text{' set } (\text{exetcsgs } sa) \rangle \text{assms}(4)$ )
using  $\text{assms}(4)$   $\text{dom}$  by  $\text{blast}$ 
moreover have  $1$ : ( $\forall ars \in \text{snd } \text{' set } (\text{the } (\text{lookup } (\lambda k. k = \text{type}) (\text{exetcsgs}$ 
 $sa)))$  .
 $\text{the } (\text{lookup } (\lambda k. k = \text{type}) tao) = \text{length } ars$ )
using  $\langle \text{type} \in \text{fst } \text{' set } (\text{exetcsgs } sa) \rangle \text{assms}(4)$  by  $\text{blast}$ 

ultimately have  $\text{the } (\text{lookup } (\lambda k. k = \text{type}) tao) = \text{length } ars$ 
using  $\langle \text{lookup } (\lambda x. x = \text{type}) (\text{exetcsgs } sa) = \text{Some } x \rangle \langle \text{map-of } x = y \rangle$ 
 $\text{in-range-if-ex-key map-of-SomeD option.sel } p(3)$   $\text{snd-conv}$ 
by ( $\text{simp add: } 1 \langle ars \in \text{snd } \text{' set } x \rangle$ )
hence  $\text{the } (\text{map-of } tao \text{ type}) = \text{length } ars$ 
by ( $\text{metis } \langle \text{the } (\text{lookup } (\lambda k. k = \text{type}) tao) = \text{length } ars \rangle \text{lookup-eq-map-of-ap}$ )
}
note  $2 = \text{this}$ 
{
fix  $a b x y$ 
assume  $p$ :  $\text{fst } \text{' set } b = \text{fst } \text{' set } tao$ 
 $(x, y) \in \text{set } tao$ 
 $sa = (a, b)$ 

have  $x \in \text{fst } \text{' set } b$ 
by ( $\text{metis } \text{fst-conv image-iff } p(1) p(2)$ )
from this obtain  $ars$  where  $\text{lookup } (\lambda k. k = x) b = \text{Some } ars$ 
by ( $\text{metis } \text{key-present-imp-eq-lookup-finds-value}$ )
hence  $(x, ars) \in \text{set } b$ 
by ( $\text{simp add: lookup-present-eq-key'}$ )
hence  $\text{lookup } (\lambda k. k = x) (\text{map } (\text{apsnd } \text{map-of}) b) = \text{Some } (\text{map-of } ars)$ 
by ( $\text{metis } \text{assms}(3) \text{exe-ars-conds-def exe-osig-conds-def in-alist-imp-in-map-of}$ 
 $\text{lookup-eq-map-of-ap } p(3) \text{snd-conv translate-ars.simps}$ )
hence  $\exists y. \text{map-of } (\text{map } (\text{apsnd } \text{map-of}) b) x = \text{Some } y$ 
by ( $\text{metis } \text{lookup-eq-map-of-ap}$ )
}
note  $3 = \text{this}$ 
{
fix  $a b x$ 
assume  $p$ :  $\text{alist-conds } cto$ 
 $x \in \text{ran } (\text{map-of } cto)$ 

```

```

    sa = (a, b)
  have typ-ok-sig (map-of cto, map-of tao, set a, map-of (map (apsnd map-of)
b)) x
    using assms(4) p(1) p(2) p(3) ran-distinct by fastforce
  }
  note 4 = this
  have wf-osig (translate-osig sa)
    using assms(4) wf-osig-iff-exe-wf-osig by simp
  thus ?thesis apply (cases sa)
    using 1 2 3 4 assms by auto
qed

```

**lemma** *wf-sig-imp-exe-wf-sig*:

```

assumes alist-conds cto alist-conds tao exe-osig-conds sa
  wf-sig (map-of cto, map-of tao, translate-osig sa)
shows (exe-wf-osig sa
  ∧ fst ' set (exetcSIGs sa) = fst ' set tao
  ∧ (∀ type ∈ fst ' set (exetcSIGs sa).
    (∀ ars ∈ snd ' set (the (lookup (λk. k=type) (exetcSIGs sa))) .
      the (lookup (λk. k=type) tao) = length ars)))
  ∧ (∀ ty ∈ snd ' set cto . typ-ok-sig (map-of cto, map-of tao, translate-osig sa)
ty)

```

**proof** –

```

{
  fix a b x y
  assume p: alist-conds tao
    exe-ars-conds b
    dom (map-of (map (apsnd map-of) b)) = dom (map-of tao)
    (x, y) ∈ set b

  hence x ∈ fst ' set tao
  by (metis domIff dom-map-of-conv-image-fst exe-ars-conds-def
in-alist-imp-in-map-of option.distinct(1) translate-ars.simps)
}

```

**note** 1 = this

```

{
  fix cl n ar and tcs :: (String.literal × (String.literal × String.literal set list)
list) list
  assume p: dom (map-of (map (apsnd map-of) tcs)) = dom (map-of tao)
    alist-conds tao
    (n, ar) ∈ set tao

```

**obtain** *mgd* **where** *translate-ars tcs n = Some mgd*

```

  using p by (metis Some-eq-map-of-iff domI domIff option.exhaust-sel trans-
late-ars.simps)
  hence map-of (map (apsnd map-of) tcs) n = Some mgd
  by (simp add: tcsigs-translate exe-osig-conds-def p)
  hence n ∈ fst ' set (map (apsnd map-of) tcs)
  by (meson domI domIff map-of-eq-None-iff)

```

```

then have  $n \in \text{fst } \text{' set } tcs$ 
  by force
}
note 2 = this
{
  fix  $cl\ tcs\ n\ K\ c\ Ss$ 
  assume  $p: (n, K) \in \text{set } tcs$ 
   $(c, Ss) \in \text{set } (\text{the } (\text{lookup } (\lambda k. k = n) tcs))$ 
   $\text{exe-ars-conds } tcs$ 
   $\text{dom } (\text{map-of } (\text{map } (\text{apsnd } \text{map-of}) tcs)) = \text{dom } (\text{map-of } tao)$ 
   $\forall \text{type} \in \text{dom } (\text{map-of } tao). \forall \text{ars} \in \text{ran } (\text{the } (\text{map-of } (\text{map } (\text{apsnd } \text{map-of}) tcs)$ 
type)).
   $\text{the } (\text{map-of } tao \text{ type}) = \text{length } ars$ 

  have 1:  $\text{translate-ars } tcs\ n = \text{Some } (\text{map-of } K)$ 
    using  $\text{exe-ars-conds-def in-alist-imp-in-map-of } p(1-3)$  by blast
  have 2:  $\text{map-of } K\ c = \text{Some } Ss$ 
    using  $p(1-3)$ 
    by  $(\text{metis } \text{Some-eq-map-of-iff exe-ars-conds-def image-iff lookup-eq-map-of-ap}$ 
 $\text{option.sel snd-conv})$ 
  have  $\text{the } (\text{lookup } (\lambda k. k = n) tao) = \text{length } Ss$ 
    using 1 2  $p(4,5)$ 
    by  $(\text{metis } \text{domIff lookup-eq-map-of-ap option.distinct(1) option.sel ranI trans-}$ 
 $\text{late-ars.simps})$ 
  }
note 3 = this

  have 1:  $\text{wf-osig } (\text{translate-osig } sa) \text{ dom } (tcsigs (\text{translate-osig } sa)) = \text{dom } (\text{map-of}$ 
 $tao)$ 
   $(\forall \text{type} \in \text{dom } (tcsigs (\text{translate-osig } sa)).$ 
   $(\forall \text{ars} \in \text{ran } (\text{the } (tcsigs (\text{translate-osig } sa) \text{ type})) . \text{the } ((\text{map-of } tao) \text{ type}) =$ 
 $\text{length } ars))$ 
   $(\forall \text{ty} \in \text{Map.ran } (\text{map-of } cto) . \text{wf-type } (\text{map-of } cto, \text{map-of } tao, \text{translate-osig}$ 
 $sa) \text{ ty})$ 
    using  $\text{assms}(4)$  by auto
  note  $\text{pre} = 1$ 

  have  $\text{exe-wf-osig } sa$ 
    using 1(1)  $\text{wf-osig-iff-exe-wf-osig}$  by blast
  moreover have  $\text{fst } \text{' set } (\text{snd } sa) = \text{fst } \text{' set } tao$ 
  proof
    show  $\text{fst } \text{' set } (\text{snd } sa) \subseteq \text{fst } \text{' set } tao$ 
      using  $\text{assms}(3-4)$ 
    by  $(\text{clarsimp simp add: dom-map-of-conv-image-fst exe-ars-conds-def exe-osig-conds-def})$ 
     $(\text{metis } \text{tcsigs-translate } \text{assms}(3) \text{ domIff in-alist-imp-in-map-of option.simps}(3))$ 
  next
    show  $\text{fst } \text{' set } (\text{snd } sa) \supseteq \text{fst } \text{' set } tao$ 
      using 1(2) 2  $\text{assms}(2-3)$   $\text{tcsigs-translate}$  by auto
  qed

```

**moreover have**  $(\forall type \in fst \text{ ' set } (snd \ sa). \ \forall ars \in snd \text{ ' set } (the \ (lookup \ (\lambda k. \ k = type) \ (snd \ sa))))$ .

$the \ (lookup \ (\lambda k. \ k = type) \ tao) = length \ ars$

**proof**  $(standard+, \ goal-cases)$

**case**  $(1 \ n \ Ss)$

**obtain**  $c$  **where**  $c: (c, \ Ss) \in set \ (the \ (lookup \ (\lambda k. \ k = n) \ (snd \ sa)))$

**using**  $1(2)$  **by**  $force$

**have**  $dom \ (map-of \ (map \ (apsnd \ map-of) \ (snd \ sa))) = dom \ (map-of \ tao)$

**using**  $assms(3)$   $pre(2)$   $tcsigs-translate$  **by**  $fastforce$

**show**  $?case$

**using**  $assms(3)$   $pre(2)$   $c$   $tcsigs-translate$   $pre(2-3)$   $domI$

**by**  $(fastforce \ simp \ add: \ exe-osig-conds-def \ tcsigs-translate[OF \ assms(3)])$

$1(1)$   $key-present-imp-eq-lookup-finds-value$   $lookup-present-eq-key'$

$splite: \ option.splite \ intro!: \ 3[of \ - \ the \ (lookup \ (\lambda k. \ k = n) \ (snd \ sa)) \ snd \ sa$

$c] +$

**qed**

**moreover have**  $(\forall ty \in Map.ran \ (map-of \ cto) . \ wf-type \ (map-of \ cto, \ map-of \ tao, \ translate-osig \ sa) \ ty)$

**using**  $1(4)$  **by**  $blast$

**ultimately show**  $?thesis$

**by**  $(simp \ add: \ assms(1) \ ran-distinct)$

**qed**

**lemma**  $wf-sig-iff-exe-wf-sig-pre: \ alist-conds \ cto \implies \ alist-conds \ tao \implies \ exe-osig-conds \ sa$

$\implies \ wf-sig \ (map-of \ cto, \ map-of \ tao, \ translate-osig \ sa) = (exe-wf-osig \ sa$

$\wedge \ fst \text{ ' set } (exetcsigs \ sa) = fst \text{ ' set } tao$

$\wedge \ (\forall type \in fst \text{ ' set } (exetcsigs \ sa).$

$(\forall ars \in snd \text{ ' set } (the \ (lookup \ (\lambda k. \ k=type) \ (exetcsigs \ sa))) .$

$the \ (lookup \ (\lambda k. \ k=type) \ tao) = length \ ars))$

$\wedge \ (\forall ty \in snd \text{ ' set } cto . \ typ-ok-sig \ (map-of \ cto, \ map-of \ tao, \ translate-osig \ sa) \ ty))$

**using**  $exe-wf-sig-imp-wf-sig \ wf-sig-imp-exe-wf-sig$  **by**  $meson$

**lemma**  $wf-sig-iff-exe-wf-sig: \ alist-conds \ cto \implies \ alist-conds \ tao \implies \ exe-osig-conds \ sa$

$\implies \ wf-sig \ (map-of \ cto, \ map-of \ tao, \ translate-osig \ sa)$

$\longleftrightarrow \ exe-wf-sig \ (ExeSignature \ cto \ tao \ sa)$

**unfolding**  $exe-wf-sig.simps$

**using**  $wf-sig-iff-exe-wf-sig-pre$  **by**  $presburger$

**fun**  $translate-signature :: \ exesignature \Rightarrow \ signature$  **where**

$translate-signature \ (ExeSignature \ cto \ tao \ sa)$

$= \ (map-of \ cto, \ map-of \ tao, \ translate-osig \ sa)$

**fun**  $exetyp-ok-sig :: \ exesignature \Rightarrow \ typ \Rightarrow \ bool$  **where**

$exetyp-ok-sig \ \Sigma \ (Ty \ c \ Ts) = (case \ lookup \ (\lambda k. \ k=c) \ (exetyp-arity-of \ \Sigma) \ of$

$None \Rightarrow \ False$

$| \ Some \ ar \Rightarrow \ length \ Ts = ar \wedge \ list-all \ (exetyp-ok-sig \ \Sigma) \ Ts)$

$| \ exetyp-ok-sig \ \Sigma \ (Tv \ - \ S) = exewf-sort \ (execlases \ (exesorts \ \Sigma)) \ S$

**thm** *exwfsort-def*

**definition** [*simp*]: *exesort-ok-sig*  $\Sigma$   $S \equiv$  *exesort-ex* (*execlases* (*exesorts*  $\Sigma$ ))  $S$   
 $\wedge$  *exenormalized-sort* (*execlases* (*exesorts*  $\Sigma$ ))  $S$

**lemma** *typ-arity-lookup-code*: *type-arity* (*translate-signature*  $\Sigma$ )  $n =$  *lookup* ( $\lambda k. k = n$ ) (*exetyp-arity-of*  $\Sigma$ )

**by** (*cases*  $\Sigma$ ) (*simp add: lookup-eq-map-of-ap*)

**lemma** *typ-ok-sig-code*:

**assumes** *exe-osig-conds* (*exesorts*  $\Sigma$ )

**shows** *typ-ok-sig* (*translate-signature*  $\Sigma$ )  $ty =$  *exetyp-ok-sig*  $\Sigma$   $ty$

**using** *assms apply* (*induction ty*) **apply** *simp*

**apply** (*auto split: option.splits simp add: wf-sort-def list-all-iff typ-arity-lookup-code*)[]

**using** *wf-sort-code* **by** (*cases*  $\Sigma$ ) (*simp add: exe-osig-conds-def classes-translate*)

**fun** *exe-wf-sig'* **where**

*exe-wf-sig'* (*ExeSignature*  $cto$   $tao$   $sa$ ) = (*exe-wf-osig*  $sa \wedge$

*fst* ' *set* (*exetcsigs*  $sa$ ) = *fst* ' *set*  $tao$

$\wedge$  ( $\forall type \in$  *fst* ' *set* (*exetcsigs*  $sa$ ).

( $\forall ars \in$  *snd* ' *set* (*the* (*lookup* ( $\lambda k. k=type$ ) (*exetcsigs*  $sa$ ))) .

*the* (*lookup* ( $\lambda k. k=type$ )  $tao$ ) = *length*  $ars$ )

$\wedge$  ( $\forall ty \in$  *snd* ' *set*  $cto$  . *exetyp-ok-sig* (*ExeSignature*  $cto$   $tao$   $sa$ )  $ty$ )

**lemma** *exe-wf-sig-code*[*code*]: *exe-wf-sig*  $\Sigma =$  *exe-wf-sig'*  $\Sigma$

**using** *typ-ok-sig-code* **by** (*cases*  $\Sigma$ , *simp*, *metis exesignature.sel(3) translate-signature.simps*)

**fun** *exeterm-ok'* :: *exesignature*  $\Rightarrow$  *term*  $\Rightarrow$  *bool* **where**

*exeterm-ok'*  $\Sigma$  (*Fv* -  $T$ ) = *exetyp-ok-sig*  $\Sigma$   $T$

| *exeterm-ok'*  $\Sigma$  (*Bv* -) = *True*

| *exeterm-ok'*  $\Sigma$  (*Ct*  $s$   $T$ ) = (*case lookup* ( $\lambda k. k=s$ ) (*execonst-type-of*  $\Sigma$ ) *of*

*None*  $\Rightarrow$  *False*

| *Some ty*  $\Rightarrow$  *exetyp-ok-sig*  $\Sigma$   $T \wedge$  *tinstT*  $T$   $ty$ )

| *exeterm-ok'*  $\Sigma$  ( $t$   $\$$   $u$ )  $\longleftrightarrow$  *exeterm-ok'*  $\Sigma$   $t \wedge$  *exeterm-ok'*  $\Sigma$   $u$

| *exeterm-ok'*  $\Sigma$  (*Abs*  $T$   $t$ )  $\longleftrightarrow$  *exetyp-ok-sig*  $\Sigma$   $T \wedge$  *exeterm-ok'*  $\Sigma$   $t$

**lemma** *const-type-of-lookup-code*: *const-type* (*translate-signature*  $\Sigma$ )  $n =$  *lookup* ( $\lambda k. k = n$ ) (*execonst-type-of*  $\Sigma$ )

**by** (*cases*  $\Sigma$ ) (*simp add: lookup-eq-map-of-ap*)

**lemma** *wt-term-code*:

**assumes** *exe-osig-conds* (*exesorts*  $\Sigma$ )

**shows** *term-ok'* (*translate-signature*  $\Sigma$ )  $t =$  *exeterm-ok'*  $\Sigma$   $t$

**by** (*induction t*) (*auto simp add: const-type-of-lookup-code assms typ-ok-sig-code split: option.splits*)

**datatype** *exetheory* = *ExeTheory* (*exesig: exesignature*) (*exearioms-of: term list*)

**lemma** *exetheory-full-exhaust*: ( $\bigwedge$  *const-type typ-arity sorts axioms* .

$\Theta = (\text{ExeTheory } (\text{ExeSignature } \text{const-type } \text{typ-arity } \text{sorts}) \text{ axioms}) \implies P$   
 $\implies P$

**apply** (*cases*  $\Theta$ ) **subgoal for**  $\Sigma$  *axioms* **apply** (*cases*  $\Sigma$ ) **by auto done**

**definition** *exe-sig-conds*  $\Sigma \equiv \text{alist-conds } (\text{execonst-type-of } \Sigma) \wedge \text{alist-conds } (\text{exetyp-arity-of } \Sigma)$   
 $\wedge \text{exe-osig-conds } (\text{exesorts } \Sigma)$

**abbreviation** *illformed-theory*  $\equiv ((\text{Map.empty}, \text{Map.empty}, \text{illformed-osig}), \{\})$

**lemma** *illformed-theory-not-wf-theory*:  $\neg \text{wf-theory } \text{illformed-theory}$   
**by simp**

**fun** *translate-theory* :: *exetheory*  $\Rightarrow$  *theory* **where**  
*translate-theory* (*ExeTheory*  $\Sigma$  *ax*) = (*if exe-sig-conds*  $\Sigma$  *then*  
(*translate-signature*  $\Sigma$ , *set ax*) *else illformed-theory*)

**fun** *exe-wf-theory* **where** *exe-wf-theory* (*ExeTheory* (*ExeSignature* *cto tao sa*) *ax*)  
 $\longleftrightarrow$   
*exe-sig-conds* (*ExeSignature* *cto tao sa*)  $\wedge$   
 $(\forall p \in \text{set } ax . \text{term-ok } (\text{translate-theory } (\text{ExeTheory } (\text{ExeSignature } \text{cto tao sa}) \text{ ax})) p \wedge \text{typ-of } p = \text{Some propT})$   
 $\wedge \text{is-std-sig } (\text{translate-signature } (\text{ExeSignature } \text{cto tao sa}))$   
 $\wedge \text{exe-wf-sig } (\text{ExeSignature } \text{cto tao sa})$   
 $\wedge \text{eq-axs } \subseteq \text{set } ax$

**lemma** *wf-sig-iff-exe-wf-sig'*: *exe-sig-conds*  $\Sigma \implies$   
*wf-sig* (*translate-signature*  $\Sigma$ )  $\longleftrightarrow$   
*exe-wf-sig*  $\Sigma$   
**by** (*metis exe-sig-conds-def exesignature.exhaust-sel wf-sig-iff-exe-wf-sig translate-signature.simps*)

**lemma** *wf-sig-imp-exe-wf-sig'*: *exe-sig-conds*  $\Sigma \implies$   
*wf-sig* (*translate-signature*  $\Sigma$ )  $\implies$   
*exe-wf-sig*  $\Sigma$   
**by** (*metis exe-sig-conds-def exesignature.exhaust-sel wf-sig-iff-exe-wf-sig translate-signature.simps*)

**lemma** *exe-wf-sig-imp-wf-sig'*: *exe-sig-conds*  $\Sigma \implies$   
*exe-wf-sig*  $\Sigma$   
 $\implies \text{wf-sig } (\text{translate-signature } \Sigma)$   
**by** (*metis exe-sig-conds-def exesignature.exhaust-sel wf-sig-iff-exe-wf-sig translate-signature.simps*)

**lemma** *wf-theory-translate-imp-exe-wf-theory*:  
**assumes** *wf-theory* (*translate-theory a*) **shows** *exe-wf-theory a*  
**proof** –  
**have** *exe-sig-conds* (*exesig a*) **using** *assms*  
**by** (*metis exetheory.collapse illformed-theory-not-wf-theory translate-theory.simps*)



**moreover have**  $wf\text{-sig}$  ( $translate\text{-signature}$  ( $exesig$   $a$ ))  
 $\longleftrightarrow$   $exe\text{-wf}\text{-sig}$  ( $exesig$   $a$ )  
**by** ( $simp$   $add$ :  $calculation(1)$   $wf\text{-sig}\text{-iff}\text{-exe}\text{-wf}\text{-sig}'$ )  
**ultimately show**  $?thesis$  **using**  $assms$   
**by** ( $cases$   $a$   $rule$ :  $exe\text{-wf}\text{-theory.cases}$ ) ( $fastforce$   $simp$   $add$ :  $image\text{-iff}$   $eq\text{-fst}\text{-iff}$ )  
**qed**

**lemma**  $exe\text{-wf}\text{-theory}\text{-translate}\text{-imp}\text{-wf}\text{-theory}$ :  
**assumes**  $exe\text{-wf}\text{-theory}$   $a$  **shows**  $wf\text{-theory}$  ( $translate\text{-theory}$   $a$ )  
**proof** –  
**have**  $exe\text{-sig}\text{-conds}$  ( $exesig$   $a$ ) **using**  $assms$   
**by** ( $metis$  ( $full\text{-types}$ )  $exe\text{-wf}\text{-theory.simps}$   $exesig\text{-signature.exhaust}\text{-sel}$   $exetheory.sel(1)$   $translate\text{-theory.cases}$ )  
**moreover hence**  
 $(\forall ty \in Map.ran (map\text{-of} (execonst\text{-type}\text{-of} (exesig a))) . typ\text{-ok}\text{-sig} (translate\text{-signature} (exesig a)) ty)$   
 $\longleftrightarrow (\forall ty \in snd 'set (execonst\text{-type}\text{-of} (exesig a)) . typ\text{-ok}\text{-sig} (translate\text{-signature} (exesig a)) ty)$   
**by** ( $simp$   $add$ :  $exe\text{-sig}\text{-conds}\text{-def}$   $ran\text{-distinct}$ )  
**moreover have**  $wf\text{-sig}$  ( $translate\text{-signature}$  ( $exesig$   $a$ ))  
 $\longleftrightarrow$   $exe\text{-wf}\text{-sig}$  ( $exesig$   $a$ )  
**by** ( $simp$   $add$ :  $calculation(1)$   $wf\text{-sig}\text{-iff}\text{-exe}\text{-wf}\text{-sig}'$ )  
**ultimately show**  $?thesis$   
**using**  $assms$  **by** ( $cases$   $a$   $rule$ :  $exe\text{-wf}\text{-theory.cases}$ )  $auto$   
**qed**

**lemma**  $wf\text{-theory}\text{-translate}\text{-iff}\text{-exe}\text{-wf}\text{-theory}$ :  
 $wf\text{-theory}$  ( $translate\text{-theory}$   $a$ )  $\longleftrightarrow$   $exe\text{-wf}\text{-theory}$   $a$   
**using**  $exe\text{-wf}\text{-theory}\text{-translate}\text{-imp}\text{-wf}\text{-theory}$   $wf\text{-theory}\text{-translate}\text{-imp}\text{-exe}\text{-wf}\text{-theory}$   
**by**  $blast$

**fun**  $exeis\text{-std}\text{-sig}$  **where**  $exeis\text{-std}\text{-sig}$  ( $ExeSignature$   $cto$   $tao$   $sorts$ )  $\longleftrightarrow$   
 $lookup (\lambda k. k = STR "fun") tao = Some 2 \wedge lookup (\lambda k. k = STR "prop")$   
 $tao = Some 0$   
 $\wedge lookup (\lambda k. k = STR "itself") tao = Some 1$   
 $\wedge lookup (\lambda k. k = STR "Pure.eq") cto$   
 $= Some ((Tv (Var (STR "'a'", 0)) full\text{-sort}) \rightarrow ((Tv (Var (STR "'a'", 0))$   
 $full\text{-sort}) \rightarrow propT))$   
 $\wedge lookup (\lambda k. k = STR "Pure.all") cto = Some ((Tv (Var (STR "'a'", 0))$   
 $full\text{-sort} \rightarrow propT) \rightarrow propT)$   
 $\wedge lookup (\lambda k. k = STR "Pure.imp") cto = Some (propT \rightarrow (propT \rightarrow propT))$   
 $\wedge lookup (\lambda k. k = STR "Pure.type") cto = Some (itselfT (Tv (Var (STR "'a'",$   
 $0)) full\text{-sort}))$

**lemma**  $is\text{-std}\text{-sig}\text{-code}$ :  $is\text{-std}\text{-sig}$  ( $translate\text{-signature}$   $\Sigma$ ) =  $exeis\text{-std}\text{-sig}$   $\Sigma$   
**by** ( $cases$   $\Sigma$ ) ( $auto$   $simp$   $add$ :  $lookup\text{-eq}\text{-map}\text{-of}\text{-ap}$ )

**fun**  $exe\text{-wf}\text{-theory}'$  **where**  $exe\text{-wf}\text{-theory}'$  ( $ExeTheory$  ( $ExeSignature$   $cto$   $tao$   $sa$ )  $ax$ )  
 $\longleftrightarrow$

$exe\text{-}sig\text{-}conds (ExeSignature\ cto\ tao\ sa) \wedge$   
 $(\forall p \in set\ ax . exeterm\text{-}ok' (ExeSignature\ cto\ tao\ sa)\ p \wedge typ\text{-}of\ p = Some\ propT)$   
 $\wedge exeis\text{-}std\text{-}sig (ExeSignature\ cto\ tao\ sa)$   
 $\wedge exe\text{-}wf\text{-}sig (ExeSignature\ cto\ tao\ sa)$   
 $\wedge eq\text{-}axs \subseteq set\ ax$

**lemma** *term-ok'-code*:

**assumes** *exe-osig-conds* (*exesorts* (*ExeSignature* *cto* *tao* *sa*))  
**shows** (*term-ok'* (*translate-signature* (*ExeSignature* *cto* *tao* *sa*)) *p*  $\wedge$  *typ-of* *p* = *Some propT*)  
= (*exeterm-ok'* (*ExeSignature* *cto* *tao* *sa*) *p*  $\wedge$  *typ-of* *p* = *Some propT*)  
**using** *wt-term-code*[*OF assms*] **by** *force*

**lemma** *term-ok-translate-code-step*:

**assumes** *exe-sig-conds* (*ExeSignature* *cto* *tao* *sa*)  
**shows** (*term-ok* (*translate-theory* (*ExeTheory* (*ExeSignature* *cto* *tao* *sa*) *ax*)) *p*  $\wedge$  *typ-of* *p* = *Some propT*)  
= (*term-ok'* (*translate-signature* (*ExeSignature* *cto* *tao* *sa*)) *p*  $\wedge$  *typ-of* *p* = *Some propT*)  
**using** *assms* **by** (*auto simp add: wt-term-def split: if-splits*)

**lemma** *term-ok-theory-cond-code*:

**assumes** *exe-sig-conds* (*ExeSignature* *cto* *tao* *sa*)  
**shows** ( $\forall p \in set\ ax . term\text{-}ok (translate\text{-}theory (ExeTheory (ExeSignature\ cto\ tao\ sa)\ ax))\ p \wedge typ\text{-}of\ p = Some\ propT$ )  
= ( $\forall p \in set\ ax . exeterm\text{-}ok' (ExeSignature\ cto\ tao\ sa)\ p \wedge typ\text{-}of\ p = Some\ propT$ )  
**using** *assms wf-term-imp-term-ok' exe-sig-conds-def wt-term-code*  
**by** (*fastforce simp add: term-ok-translate-code-step wt-term-code wt-term-def*)

**lemma** *exe-wf-theory-code*[*code*]: *exe-wf-theory*  $\Theta$  = *exe-wf-theory'*  $\Theta$

**apply** (*cases*  $\Theta$  *rule: exetheory-full-exhaust*)  
**apply** (*simp only: exe-wf-theory.simps exe-wf-theory'.simps*)  
**using** *term-ok-theory-cond-code is-std-sig-code* **by** *meson*

**end**

**theory** *CheckerExe*

**imports** *TheoryExe ProofTerm*  
**begin**

**abbreviation** *exetyp-ok*  $\Theta \equiv exetyp\text{-}ok\text{-}sig (exesig\ \Theta)$

**lemma** *typ-ok-code*:

**assumes** *exe-wf-theory'*  $\Theta$   
**shows** *typ-ok* (*translate-theory*  $\Theta$ ) *ty* = *exetyp-ok*  $\Theta$  *ty*  
**using** *assms typ-ok-sig-code*  
**by** (*metis exe-sig-conds-def exe-wf-theory.simps exe-wf-theory-code exesignature.exhaust*)

*exetheory.sel(1) sig.simps translate-theory.elims typ-ok-def wf-type-iff-typ-ok-sig)*

**definition** [*simp*]: *execlass-leq cs c1 c2 = List.member cs (c1,c2)*

**lemma** *execlass-leq-code: class-leq (set cs) c1 c2 = execlass-leq cs c1 c2*  
**by** (*simp add: class-leq-def class-les-def member-def*)

**definition** *exesort-leq sub s1 s2 = (∀ c2 ∈ s2 . ∃ c1 ∈ s1. execlass-leq sub c1 c2)*

**lemma** *exesort-les-code: sort-leq (set cs) c1 c2 = exesort-leq cs c1 c2*  
**by** (*simp add: execlass-leq-code exesort-leq-def sort-leq-def*)

**fun** *exehas-sort :: exeosig ⇒ typ ⇒ sort ⇒ bool where*  
*exehas-sort oss (Tv - S) S' = exesort-leq (execlasses oss) S S' |*  
*exehas-sort oss (Ty a Ts) S =*  
*(case lookup (λk. k=a) (exetcSIGs oss) of*  
*None ⇒ False |*  
*Some mgd ⇒ (∀ C ∈ S.*  
*case lookup (λk. k=C) mgd of*  
*None ⇒ False*  
*| Some Ss ⇒ list-all2 (exehas-sort oss) Ts Ss))*

**lemma** *exehas-sort-imp-has-sort:*

**assumes** *exe-osig-conds (sub, tcs)*

**shows** *exehas-sort (sub, tcs) T S ⇒ has-sort (translate-osig (sub, tcs)) T S*

**proof** (*induction T arbitrary: S*)

**case** (*Ty n Ts*)

**obtain** *sub' tcs'* **where** *sub'-tcs': translate-osig (sub, tcs) = (sub', tcs')* **by** *fast-force*

**obtain** *mgd where mgd: tcs' n = Some mgd*

**using** *Ty.prem sub'-tcs' apply (simp split: option.splits)*

**by** (*metis assms exe-ars-conds-def exe-osig-conds-def in-alist-imp-in-map-of lookup-eq-map-of-ap map-of-SomeD snd-conv*)

**show** *?case*

**proof** (*subst sub'-tcs', rule has-sort-Ty[of tcs', OF mgd], rule ballI*)

**fix** *c assume asm: c ∈ S*

**have** *l: lookup (λk. k=n) (map (apsnd map-of) tcs) = Some mgd*

**by** (*metis assms lookup-eq-map-of-ap mgd snd-conv sub'-tcs' translate-ars.simps translate-osig.simps*)

**hence**  $\exists x. (lookup (\lambda k. k=n) tcs) = Some x$

**by** (*induction tcs*) *auto*

**from this obtain** *pre-mgd where pre-mgd: (lookup (λk. k=n) tcs) = Some pre-mgd*

**by** *blast*

**have** *pre-mgd-mgd: map-of pre-mgd = mgd*

**by** (*metis l assms exe-ars-conds-def*

*exe-osig-conds-def in-alist-imp-in-map-of lookup-eq-map-of-ap map-of-SomeD*)

```

    option.sel pre-mgd snd-conv translate-ars.simps)

obtain Ss where Ss: lookup (λk. k=c) pre-mgd = Some Ss
using Ty.prems asm by (auto simp add: pre-mgd split: option.splits)
hence cond: list-all2 (exehas-sort (sub, tcs)) Ts Ss
using ⟨exehas-sort (sub, tcs) (Ty n Ts) S⟩ asm pre-mgd by (auto split: option.splits)

from Ss have mgd c = Some Ss
by (simp add: lookup-eq-map-of-ap pre-mgd-mgd)
then show ∃ Ss. mgd c = Some Ss ∧ list-all2 (has-sort (sub', tcs')) Ts Ss
using cond Ty.IH list.rel-mono-strong sub'-tcs' by force
qed
next
case (Ty n S)
then show ?case
by (metis assms exehas-sort.simps(1) exesort-les-code has-sort-Tv prod.collapse translate-osig.simps)
qed

lemma has-sort-imp-exehas-sort:
assumes exe-osig-conds (sub, tcs)
shows has-sort (translate-osig (sub, tcs)) T S ⇒ exehas-sort (sub, tcs) T S
proof (induction T arbitrary: S)
case (Ty n Ts)
obtain sub' tcs' where sub'-tcs': translate-osig (sub, tcs) = (sub', tcs') by fast-force
obtain mgd where mgd: tcs' n = Some mgd
using Ty.prems sub'-tcs' has-sort.simps by (auto split: option.splits)
hence lookup (λk. k=n) (map (apsnd map-of) tcs) = Some mgd
by (metis assms lookup-eq-map-of-ap prod.inject sub'-tcs' translate-ars.simps translate-osig.simps)
have l: lookup (λk. k=n) (map (apsnd map-of) tcs) = Some mgd
by (metis assms lookup-eq-map-of-ap mgd snd-conv sub'-tcs' translate-ars.simps translate-osig.simps)
hence ∃ x. (lookup (λk. k=n) tcs) = Some x
by (induction tcs) auto
from this obtain pre-mgd where pre-mgd: (lookup (λk. k=n) tcs) = Some pre-mgd
by blast
have pre-mgd-mgd: map-of pre-mgd = mgd
by (metis l assms exe-ars-conds-def exe-osig-conds-def in-alist-imp-in-map-of lookup-eq-map-of-ap map-of-SomeD option.sel pre-mgd snd-conv translate-ars.simps)

{
  fix c assume asm: c ∈ S

```

```

obtain Ss where Ss: lookup ( $\lambda k. k=c$ ) pre-mgd = Some Ss
  using  $\langle c \in S \rangle \langle \text{map-of pre-mgd} = \text{mgd} \rangle \text{sub}'\text{-tcs}' \text{mgd}$  assms Ty.prems
has-sort.simps
  by (auto simp add: dom-map-of-conv-image-fst domIff eq-fst-iff exe-ars-conds-def

      map-of-eq-None-iff classes-translate lookup-eq-map-of-ap split: typ.splits
      dest!: domD intro!: domI)
have l: length Ts = length Ss
using asm mgd pre-mgd Ty.prems assms sub}'-tcs}' Ss list-all2-lengthD pre-mgd-mgd
  by (fastforce simp add: has-sort.simps lookup-eq-map-of-ap)

have 1:  $\forall c \in S. \exists Ss . \text{mgd } c = \text{Some } Ss \wedge \text{list-all2 } (\text{has-sort } (\text{sub}', \text{tcs}')) \text{ } Ts$ 
Ss
  using mgd Ty.prems has-sort.simps sub}'-tcs}' by auto

have cond: list-all2 (exehas-sort (sub,tcs)) Ts Ss
  apply (rule list-all2-all-nthI)
  using l apply simp
  subgoal premises p for m
    apply (rule Ty.IH)
    using p apply simp
    using p Ty.prems assms 1
    by (metis Ss asm list-all2-conv-all-nth lookup-eq-map-of-ap option.sel
pre-mgd-mgd sub}'-tcs}')
  done
have ( $\forall C \in S.$ 
  case lookup ( $\lambda k. k=C$ ) pre-mgd of
    None  $\Rightarrow$  False
    | Some Ss  $\Rightarrow$  list-all2 (exehas-sort (sub,tcs)) Ts Ss)
    by (metis 1 Ty.IH list-all2-conv-all-nth lookup-eq-map-of-ap nth-mem option.simps(5)
pre-mgd-mgd sub}'-tcs}')
  }

then show ?case
  using pre-mgd by simp
next
  case (Tv n S)
  then show ?case
    using assms exesort-les-code has-sort-Tv-imp-sort-leq by fastforce
qed

lemma has-sort-code:
  assumes exe-osig-conds oss
  shows has-sort (translate-osig oss) T S = exehas-sort oss T S
  by (metis assms exehas-sort-imp-has-sort has-sort-imp-exe-has-sort prod.collapse)

lemma has-sort-code':
  assumes exe-wf-theory'  $\Theta$ 

```

**shows**  $has\text{-}sort\ (osig\ (sig\ (translate\text{-}theory\ \Theta)))\ T\ S$   
 $=\ exehas\text{-}sort\ (exesorts\ (exesig\ \Theta))\ T\ S$   
**apply** (cases  $\Theta$  rule: *exetheory-full-exhaust*) **using** *assms has-sort-code* **by** *auto*

**abbreviation**  $exeinst\text{-}ok\ \Theta\ insts \equiv$   
 $distinct\ (map\ fst\ insts)$   
 $\wedge\ list\text{-}all\ (exetyp\text{-}ok\ \Theta)\ (map\ snd\ insts)$   
 $\wedge\ list\text{-}all\ (\lambda((idn, S), T) . exehas\text{-}sort\ (exesorts\ (exesig\ \Theta))\ T\ S)\ insts$

**lemma** *inst-ok-code1*:  
**assumes** *exe-wf-theory'*  $\Theta$   
**shows**  $list\text{-}all\ (exetyp\text{-}ok\ \Theta)\ (map\ snd\ insts) = list\text{-}all\ (typ\text{-}ok\ (translate\text{-}theory\ \Theta))\ (map\ snd\ insts)$   
**using** *assms typ-ok-code* **by** (*auto simp add: list-all-iff*)

**lemma** *inst-ok-code2*:  
**assumes** *exe-wf-theory'*  $\Theta$   
**shows**  $list\text{-}all\ (\lambda((idn, S), T) . has\text{-}sort\ (osig\ (sig\ (translate\text{-}theory\ \Theta)))\ T\ S)\ insts$   
 $=\ list\text{-}all\ (\lambda((idn, S), T) . exehas\text{-}sort\ (exesorts\ (exesig\ \Theta))\ T\ S)\ insts$   
**using** *has-sort-code'* *assms* **by** *auto*

**lemma** *inst-ok-code*:  
**assumes** *exe-wf-theory'*  $\Theta$   
**shows**  $inst\text{-}ok\ (translate\text{-}theory\ \Theta)\ insts = exeinst\text{-}ok\ \Theta\ insts$   
**using** *inst-ok-code1 inst-ok-code2 assms* **by** *auto*

**definition** [*simp*]:  $exeterm\text{-}ok\ \Theta\ t \equiv exeterm\text{-}ok'\ (exesig\ \Theta)\ t \wedge typ\text{-}of\ t \neq None$

**lemma** *term-ok-code*:  
**assumes** *exe-wf-theory'*  $\Theta$   
**shows**  $term\text{-}ok\ (translate\text{-}theory\ \Theta)\ t = exeterm\text{-}ok\ \Theta\ t$   
**using** *assms apply* (cases  $\Theta$  rule: *exetheory-full-exhaust*)  
**by** (*metis exe-sig-conds-def exe-wf-theory'.simps exeterm-ok-def exetheory.sel(1)*  
 $sig.simps term-okD1 term-okD2 term-okI wt-term-code translate-theory.simps$ )

**fun** *exereplay'* ::  $exetheory \Rightarrow (variable \times typ)\ list \Rightarrow variable\ set$   
 $\Rightarrow term\ list \Rightarrow proofterm \Rightarrow term\ option$  **where**  
 $exereplay'\ thy\ -\ -\ Hs\ (P\lambda x\ m\ t\ Tis) = (if\ exeinst\text{-}ok\ thy\ Tis \wedge exeterm\text{-}ok\ thy\ t$   
 $then\ if\ t \in set\ (exearioms\text{-}of\ thy)$   
 $then\ Some\ (forall\text{-}intro\text{-}vars\ (subst\text{-}typ'\ Tis\ t)\ [])$   
 $else\ None\ else\ None)$   
 $| exereplay'\ thy\ -\ -\ Hs\ (PBound\ n) = partial\text{-}nth\ Hs\ n$   
 $| exereplay'\ thy\ vs\ ns\ Hs\ (Abst\ T\ p) = (if\ exetyp\text{-}ok\ thy\ T$   
 $then\ (let\ (s', ns') = variant\text{-}variable\ (Free\ STR\ "default")\ ns\ in$   
 $map\text{-}option\ (mk\text{-}all\ s'\ T)\ (exereplay'\ thy\ ((s', T)\ \#\ vs)\ ns'\ Hs\ p))$   
 $else\ None)$   
 $| exereplay'\ thy\ vs\ ns\ Hs\ (Appt\ p\ t) =$   
 $(let\ rep = exereplay'\ thy\ vs\ ns\ Hs\ p\ in$

```

let t' = subst-bvs (map (λ(x,y) . Fv x y) vs) t in
case (rep, typ-of t') of
  (Some (Ct s (Ty fun1 [Ty fun2 [τ, Ty propT1 Nil], Ty propT2 Nil]) $ b),
Some τ') ⇒
  if s = STR "Pure.all" ∧ fun1 = STR "fun" ∧ fun2 = STR "fun"
  ∧ propT1 = STR "prop" ∧ propT2 = STR "prop"
  ∧ τ=τ' ∧ exeterm-ok thy t'
  then Some (b · t') else None
  | - ⇒ None)
| exereplay' thy vs ns Hs (AbsP t p) =
  (let t' = subst-bvs (map (λ(x,y) . Fv x y) vs) t in
  let rep = exereplay' thy vs ns (t'#Hs) p in
  (if typ-of t' = Some propT ∧ exeterm-ok thy t' then map-option (mk-imp t')
rep else None))
| exereplay' thy vs ns Hs (AppP p1 p2) =
  (let rep1 = Option.bind (exereplay' thy vs ns Hs p1) beta-eta-norm in
  let rep2 = Option.bind (exereplay' thy vs ns Hs p2) beta-eta-norm in
  (case (rep1, rep2) of (
  Some (Ct imp (Ty fn1 [Ty prp1 [], Ty fn2 [Ty prp2 [], Ty prp3 []]) $ A $
B),
  Some A') ⇒
  if imp = STR "Pure.imp" ∧ fn1 = STR "fun" ∧ fn2 = STR "fun"
  ∧ prp1 = STR "prop" ∧ prp2 = STR "prop" ∧ prp3 = STR "prop" ∧
A=A'
  then Some B else None
  | - ⇒ None))
| exereplay' thy vs ns Hs (OfClass ty c) = (if exehas-sort (exesorts (exesig thy)) ty
{c}
  ∧ exetyp-ok thy ty
  then (case lookup (λk. k=const-of-class c) (execonst-type-of (exesig thy)) of
  Some (Ty fun [Ty it [ity], Ty prop []]) ⇒
  if ity = tvariable STR "'a'" ∧ fun = STR "fun" ∧ prop = STR "prop" ∧
it = STR "itself"
  then Some (mk-of-class ty c) else None | - ⇒ None) else None)
| exereplay' thy vs ns Hs (Hyp t) = (if t∈set Hs then Some t else None)

```

**lemma** *of-class-code1*:

**assumes** *exe-wf-theory'* thy

**shows** (*has-sort* (*osig* (*sig* (*translate-theory* thy)))) ty {c} ∧ *typ-ok* (*translate-theory* thy) ty)

= (*exehas-sort* (*exesorts* (*exesig* thy)) ty {c} ∧ *exetyp-ok* thy ty)

**proof** –

**have** *has-sort* (*osig* (*sig* (*translate-theory* thy)))) ty {c}

= *exehas-sort* (*exesorts* (*exesig* thy)) ty {c}

**using** *has-sort-code'* **assms** **by** *simp*

**moreover** **have** *typ-ok* (*translate-theory* thy) ty = *exetyp-ok* thy ty

**using** *typ-ok-code* **assms** **by** *simp*

**ultimately** **show** *?thesis*

**by** *auto*

**qed**

**lemma** *of-class-code2*:

**assumes** *exe-wf-theory' thy*  
**shows** *const-type (sig (translate-theory thy)) (const-of-class c)*  
= *lookup (λk. k=const-of-class c) (execonst-type-of (exesig thy))*  
**by** (*metis assms const-type-of-lookup-code exe-wf-theory-code*  
*exe-wf-theory-translate-imp-wf-theory exetheory.sel(1) illformed-theory-not-wf-theory*  
  
*sig.simps translate-theory.elims*)

**lemma** *replay'-code*:

**assumes** *exe-wf-theory' thy*  
**shows** *replay' (translate-theory thy) vs ns Hs P = exereplay' thy vs ns Hs P*  
**proof** (*induction P arbitrary: vs ns Hs*)  
**case** (*P Axm ax tys*)  
**have** *wf: wf-theory (translate-theory thy)*  
**by** (*simp add: assms exe-wf-theory-code exe-wf-theory-translate-imp-wf-theory*)  
**moreover have** *inst: inst-ok (translate-theory thy) tys ↔ exeinst-ok thy tys*  
**by** (*simp add: assms inst-ok-code1 inst-ok-code2*)  
**moreover have** *tok: term-ok (translate-theory thy) ax ↔ exeterm-ok thy ax*  
**using** *assms term-ok-code by blast*  
**moreover have** *ax: ax ∈ axioms (translate-theory thy) ↔ ax ∈ set (exeaxioms-of thy)*  
**by** (*metis axioms.simps wf exetheory.sel(2) illformed-theory-not-wf-theory translate-theory.elims*)  
**ultimately show** *?case*  
**by** *simp*  
**qed** (*use assms term-ok-code typ-ok-code of-class-code1 of-class-code2*  
*in ⟨auto simp only: replay'.simps exereplay'.simps split: if-splits⟩*)

**abbreviation** *exereplay'' thy vs ns Hs P ≡ Option.bind (exereplay' thy vs ns Hs P) beta-eta-norm*

**lemma** *replay''-code*:

**assumes** *exe-wf-theory' thy*  
**shows** *replay'' (translate-theory thy) vs ns Hs P = exereplay'' thy vs ns Hs P*  
**by** (*simp add: assms replay'-code*)

**definition** [*simp*]: *exereplay thy P ≡*

(*if ∃ x ∈ set (hyps P) . exeterm-ok thy x ∧ typ-of x = Some propT then*  
*exereplay'' thy [] (fst ' (fv-Proof P ∪ FV (set (hyps P)))) (hyps P) P else None*)

**lemma** *replay-code*:

**assumes** *exe-wf-theory' thy*  
**shows** *replay (translate-theory thy) P = exereplay thy P*  
**using** *assms replay''-code term-ok-code by auto*

**definition** *exe-replay' e P = exereplay'' e [] (fst ' fv-Proof P) [] P*



**definition** *exe-check-proof*  $e P res \equiv$   
*exe-wf-theory' e*  $\wedge$  *exereplay e P = Some res*

**lemma** *exe-check-proof-iff-check-proof*:  
*exe-check-proof e P res*  $\longleftrightarrow$  *check-proof (translate-theory e) P res*  
**using** *check-proof-def exe-check-proof-def wf-theory-translate-iff-exe-wf-theory*  
**by** (*metis exe-wf-theory-code replay-code*)

**lemma** *check-proof-sound*:  
**shows** *exe-check-proof e P res*  $\implies$  *translate-theory e, set (hyps P)  $\vdash$  res*  
**by** (*simp add: check-proof-sound exe-check-proof-iff-check-proof*)

**lemma** *check-proof-really-sound*:  
**shows** *exe-check-proof e P res*  $\implies$  *translate-theory e, set (hyps P)  $\Vdash$  res*  
**by** (*simp add: check-proof-really-sound exe-check-proof-iff-check-proof*)

**end**

## 16 Code Generation

**theory** *CodeGen*  
**imports** *ProofTerm TheoryExe CheckerExe Instances*  
*HOL-Library.Code-Target-Int*  
*HOL-Library.Code-Target-Nat*  
**begin**  
  
**declare** *typ-of-def[code]*  
  
**export-code** *exe-check-proof exereplay exe-wf-theory*  
*Bv PBound Tv Free ExeTheory ExeSignature*  
**in** *SML module-name ExportCheck file-prefix export*  
  
**end**

## References

- [1] S. Berghofer and T. Nipkow. Proof terms for simply typed higher order logic. In J. Harrison and M. Aagaard, editors, *Theorem Proving in Higher Order Logics*, volume 1869 of *Lect. Notes in Comp. Sci.*, pages 38–52. Springer, 2000.
- [2] T. Nipkow and S. RoSSkopf. Isabelle’s metalogic: Formalization and proof checker. In G. S. A. Platzer, editor, *28th International Conference on Automated Deduction (CADE-28)*, *Lect. Notes in Comp. Sci.* Springer, 2021.

- [3] L. C. Paulson. The foundation of a generic theorem prover. *J. Automated Reasoning*, 5:363–397, 1989.
- [4] M. Wenzel. The isabelle/isar implementation. <https://isabelle.in.tum.de/doc/implementation.pdf>.
- [5] M. Wenzel. Type classes and overloading in higher-order logic. In E. L. Gunter and A. P. Felty, editors, *Theorem Proving in Higher Order Logics, TPHOLs'97*, volume 1275 of *Lect. Notes in Comp. Sci.*, pages 307–322. Springer, 1997.