

The Median-Of-Medians Selection Algorithm

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Abstract

This entry provides an executable functional implementation of the Median-of-Medians algorithm [1] for selecting the k -th smallest element of an unsorted list deterministically in linear time. The size bounds for the recursive call that lead to the linear upper bound on the run-time of the algorithm are also proven.

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1 The Median-of-Medians Selection Algorithm

theory *Median-Of-Medians-Selection*

imports *Complex-Main HOL-Library.Multiset*

begin

1.1 Some facts about lists and multisets

lemma *mset-concat*: $mset\ (concat\ xss) = sum-list\ (map\ mset\ xss)$
by (*induction xss*) *simp-all*

lemma *set-mset-sum-list* [*simp*]: $set-mset\ (sum-list\ xs) = (\bigcup_{x \in set\ xs} set-mset\ x)$
by (*induction xs*) *auto*

lemma *filter-mset-image-mset*:

$\text{filter-mset } P \text{ (image-mset } f \text{ } A) = \text{image-mset } f \text{ (filter-mset } (\lambda x. P \text{ (} f \text{ } x)) \text{ } A)$
by (induction A) auto

lemma filter-mset-sum-list: $\text{filter-mset } P \text{ (sum-list } xs) = \text{sum-list (map (filter-mset } P) \text{ } xs)$
by (induction xs) simp-all

lemma sum-mset-mset-mono:
assumes $(\bigwedge x. x \in \# A \implies f \text{ } x \subseteq \# g \text{ } x)$
shows $(\sum x \in \# A. f \text{ } x) \subseteq \# (\sum x \in \# A. g \text{ } x)$
using *assms* **by** (induction A) (auto intro!: subset-mset.add-mono)

lemma mset-filter-mono:
assumes $A \subseteq \# B \wedge x. x \in \# A \implies P \text{ } x \implies Q \text{ } x$
shows $\text{filter-mset } P \text{ } A \subseteq \# \text{filter-mset } Q \text{ } B$
by (rule mset-subset-eqI) (insert *assms*, auto simp: mset-subset-eq-count count-eq-zero-iff)

lemma size-mset-sum-mset-distrib: $\text{size (sum-mset } A :: 'a \text{ multiset}) = \text{sum-mset (image-mset size } A)$
by (induction A) auto

lemma sum-mset-mono:
assumes $\bigwedge x. x \in \# A \implies f \text{ } x \leq (g \text{ } x :: 'a :: \{\text{ordered-ab-semigroup-add, comm-monoid-add}\})$
shows $(\sum x \in \# A. f \text{ } x) \leq (\sum x \in \# A. g \text{ } x)$
using *assms* **by** (induction A) (auto intro!: add-mono)

lemma filter-mset-is-empty-iff: $\text{filter-mset } P \text{ } A = \{\#\} \longleftrightarrow (\forall x. x \in \# A \longrightarrow \neg P \text{ } x)$
by (auto simp: multiset-eq-iff count-eq-zero-iff)

lemma sorted-filter-less-subset-take:
assumes sorted xs $i < \text{length } xs$
shows $\{\# x \in \# \text{mset } xs. x < xs ! i \#\} \subseteq \# \text{mset (take } i \text{ } xs)$
using *assms*
proof (induction xs arbitrary: i rule: list.induct)
case (Cons $x \text{ } xs$ i)
show ?case
proof (cases i)
case 0
thus ?thesis **using** Cons.prem by (auto simp: filter-mset-is-empty-iff)
next
case (Suc i')
have $\{\# y \in \# \text{mset (} x \# xs). y < (x \# xs) ! i \#\} \subseteq \# \text{add-mset } x \{\# y \in \# \text{mset } xs. y < xs ! i' \#\}$
using Suc Cons.prem **by** (auto)
also have $\dots \subseteq \# \text{add-mset } x \text{ (mset (take } i' \text{ } xs))}$
unfolding mset-subset-eq-add-mset-cancel **using** Cons.prem Suc
by (intro Cons.IH) (auto)
also have $\dots = \text{mset (take } i \text{ (} x \# xs))$ **by** (simp add: Suc)

```

    finally show ?thesis .
qed
qed auto

lemma sorted-filter-greater-subset-drop:
  assumes sorted xs i < length xs
  shows {# x ∈# mset xs. x > xs ! i #} ⊆# mset (drop (Suc i) xs)
  using assms
proof (induction xs arbitrary: i rule: list.induct)
  case (Cons x xs i)
  show ?case
  proof (cases i)
    case 0
    thus ?thesis by (auto simp: sorted-append filter-mset-is-empty-iff)
  next
    case (Suc i')
    have {# y ∈# mset (x # xs). y > (x # xs) ! i' #} ⊆# {# y ∈# mset xs. y >
xs ! i' #}
      using Suc Cons.prem by (auto simp: set-conv-nth)
    also have ... ⊆# mset (drop (Suc i') xs)
      using Cons.prem Suc by (intro Cons.IH) (auto)
    also have ... = mset (drop (Suc i) (x # xs)) by (simp add: Suc)
    finally show ?thesis .
  qed
qed auto

```

1.2 The dual order type

The following type is a copy of a given ordered base type, but with the ordering reversed. This will be useful later because we can do some of our reasoning simply by symmetry.

```

typedef 'a dual-ord = UNIV :: 'a set morphisms of-dual-ord to-dual-ord
by auto

```

```

setup-lifting type-definition-dual-ord

```

```

instantiation dual-ord :: (ord) ord
begin

```

```

lift-definition less-eq-dual-ord :: 'a dual-ord ⇒ 'a dual-ord ⇒ bool is
  λa b :: 'a. a ≥ b .

```

```

lift-definition less-dual-ord :: 'a dual-ord ⇒ 'a dual-ord ⇒ bool is
  λa b :: 'a. a > b .

```

```

instance ..
end

```

```

instance dual-ord :: (preorder) preorder

```

```

    by standard (transfer; force simp: less-le-not-le intro: order-trans)+

instance dual-ord :: (linorder) linorder
  by standard (transfer; force simp: not-le)+

```

1.3 Chopping a list into equal-sized sublists

```

function chop :: nat ⇒ 'a list ⇒ 'a list list where
  chop n [] = []
| chop 0 xs = []
| n > 0 ⇒ xs ≠ [] ⇒ chop n xs = take n xs # chop n (drop n xs)
  by force+
termination by lexicographic-order

context
  includes lifting-syntax
begin

lemma chop-transfer [transfer-rule]:
  ((=) ==> list-all2 R ==> list-all2 (list-all2 R)) chop chop
proof (intro rel-funI)
  fix m n :: nat and xs :: 'a list and ys :: 'b list
  assume m = n list-all2 R xs ys
  from this(2) have list-all2 (list-all2 R) (chop n xs) (chop n ys)
  proof (induction n xs arbitrary: ys rule: chop.induct)
    case (3 n xs ys)
    hence ys ≠ [] by auto
    with 3 show ?case by auto
  qed auto
  with ⟨m = n⟩ show list-all2 (list-all2 R) (chop m xs) (chop n ys) by simp
qed

end

lemma chop-reduce: chop n xs = (if n = 0 ∨ xs = [] then [] else take n xs # chop
n (drop n xs))
  by (cases n = 0; cases xs = []) auto

lemma concat-chop [simp]: n > 0 ⇒ concat (chop n xs) = xs
  by (induction n xs rule: chop.induct) auto

lemma chop-elem-not-Nil [simp,dest]: ys ∈ set (chop n xs) ⇒ ys ≠ []
  by (induction n xs rule: chop.induct) (auto simp: eq-commute[of []])

lemma chop-eq-Nil-iff [simp]: chop n xs = [] ⟷ n = 0 ∨ xs = []
  by (induction n xs rule: chop.induct) auto

lemma chop-ge-length-eq: n > 0 ⇒ xs ≠ [] ⇒ n ≥ length xs ⇒ chop n xs =
[xs]

```

```

by simp

lemma length-chop-part-le:  $ys \in \text{set } (\text{chop } n \text{ } xs) \implies \text{length } ys \leq n$ 
by (induction n xs rule: chop.induct) auto

lemma length-nth-chop:
  assumes  $i < \text{length } (\text{chop } n \text{ } xs)$ 
  shows  $\text{length } (\text{chop } n \text{ } xs ! i) =$ 
    (if  $i = \text{length } (\text{chop } n \text{ } xs) - 1 \wedge \neg n \text{ dvd } \text{length } xs$  then  $\text{length } xs \bmod n$ 
    else  $n$ )
proof (cases  $n = 0$ )
  case False
  thus ?thesis
    using assms
  proof (induction n xs arbitrary: i rule: chop.induct)
    case (3 n xs i)
    show ?case
    proof (cases i)
      case 0
      thus ?thesis using 3.prem1
    by (cases  $\text{length } xs < n$ ) (auto simp: le-Suc-eq dest: dvd-imp-le)
    next
    case [simp]: (Suc i')
    with 3.prem1 have [simp]:  $xs \neq []$  by auto
    with 3.prem1 have *:  $\text{length } xs > n$  by (cases  $\text{length } xs \leq n$ ) simp-all
    with 3.prem1 have  $\text{chop } n \text{ } xs ! i = \text{chop } n \text{ } (\text{drop } n \text{ } xs) ! i'$  by simp
    also have  $\text{length } \dots = (\text{if } i = \text{length } (\text{chop } n \text{ } xs) - 1 \wedge \neg n \text{ dvd } (\text{length } xs$ 
    -  $n$ )
      then  $(\text{length } xs - n) \bmod n$  else  $n$ )
    by (subst 3.IH) (use Suc 3.prem1 in auto)
    also have  $n \text{ dvd } (\text{length } xs - n) \longleftrightarrow n \text{ dvd } \text{length } xs$ 
    using * by (subst dvd-minus-self) auto
    also have  $(\text{length } xs - n) \bmod n = \text{length } xs \bmod n$ 
    using * by (subst le-mod-geq [symmetric]) auto
    finally show ?thesis .
  qed
qed auto
qed (insert assms, auto)

lemma length-chop:
  assumes  $n > 0$ 
  shows  $\text{length } (\text{chop } n \text{ } xs) = \text{nat } \lceil \text{length } xs / n \rceil$ 
  using assms
proof (induction n xs rule: chop.induct)
  case (3 n xs)
  show ?case
  proof (cases  $\text{length } xs \geq n$ )
    case False
    hence  $\lceil \text{real } (\text{length } xs) / \text{real } n \rceil = 1$  using 3.hyps

```

```

    by (intro ceiling-unique) auto
  with False show ?thesis using 3.prem 3.hyps
    by (auto simp: chop-ge-length-eq not-le)
next
  case True
  hence real (length xs) = real n + real (length (drop n xs))
    by simp
  also have ... / real n = real (length (drop n xs)) / real n + 1
    using ⟨n > 0⟩ by (simp add: divide-simps)
  also have ceiling ... = ceiling (real (length (drop n xs)) / real n) + 1 by simp
  also have nat ... = nat (ceiling (real (length (drop n xs)) / real n)) + nat 1
    by (intro nat-add-distrib[OF order.trans[OF ceiling-mono[of 0]]]) auto
  also have ... = length (chop n xs)
    using ⟨n > 0⟩ 3.hyps by (subst 3.IH [symmetric]) auto
  finally show ?thesis ..
qed
qed auto

lemma sum-msets-chop: n > 0 ⟹ (∑ ys ← chop n xs. mset ys) = mset xs
  by (subst mset-concat [symmetric]) simp-all

lemma UN-sets-chop: n > 0 ⟹ (⋃ ys ∈ set (chop n xs). set ys) = set xs
  by (simp only: set-concat [symmetric] concat-chop)

lemma in-set-chopD [dest]:
  assumes x ∈ set ys ys ∈ set (chop d xs)
  shows x ∈ set xs
proof (cases d > 0)
  case True
  thus ?thesis by (subst UN-sets-chop [symmetric]) (use assms in auto)
qed (use assms in auto)

```

1.4 k -th order statistics and medians

This returns the k -th smallest element of a list. This is also known as the k -th order statistic.

definition *select* :: nat \Rightarrow 'a list \Rightarrow ('a :: linorder) **where**
select k xs = $sort\ xs\ !\ k$

The median of a list, where, for lists of even lengths, the smaller one is favoured:

definition *median* **where** *median* xs = *select* ((length xs - 1) div 2) xs

```

lemma select-in-set [intro,simp]:
  assumes k < length xs
  shows select k xs ∈ set xs
proof -
  from assms have sort xs ! k ∈ set (sort xs) by (intro nth-mem) auto

```

also have $\text{set } (\text{sort } xs) = \text{set } xs$ by *simp*
 finally show *?thesis* by (*simp add: select-def*)
 qed

lemma *median-in-set* [*intro, simp*]:
 assumes $xs \neq []$
 shows $\text{median } xs \in \text{set } xs$
proof –
 from *assms* have $\text{length } xs > 0$ by *auto*
 hence $(\text{length } xs - 1) \text{ div } 2 < \text{length } xs$ by *linarith*
 thus *?thesis* by (*simp add: median-def*)
 qed

We show that selection and medians does not depend on the order of the elements:

lemma *sort-cong*: $\text{mset } xs = \text{mset } ys \implies \text{sort } xs = \text{sort } ys$
 by (*rule properties-for-sort simp-all*)

lemma *select-cong*:
 $k = k' \implies \text{mset } xs = \text{mset } xs' \implies \text{select } k \text{ } xs = \text{select } k' \text{ } xs'$
 by (*auto simp: select-def dest: sort-cong*)

lemma *median-cong*: $\text{mset } xs = \text{mset } xs' \implies \text{median } xs = \text{median } xs'$
 unfolding *median-def* by (*intro select-cong*) (*auto dest: mset-eq-length*)

Selection distributes over appending lists under certain conditions:

lemma *sort-append*:
 assumes $\bigwedge x y. x \in \text{set } xs \implies y \in \text{set } ys \implies x \leq y$
 shows $\text{sort } (xs @ ys) = \text{sort } xs @ \text{sort } ys$
 using *assms* by (*intro properties-for-sort*) (*auto simp: sorted-append*)

lemma *select-append*:
 assumes $\bigwedge y z. y \in \text{set } ys \implies z \in \text{set } zs \implies y \leq z$
 shows $k < \text{length } ys \implies \text{select } k \text{ } (ys @ zs) = \text{select } k \text{ } ys$
 $k \in \{\text{length } ys..<\text{length } ys + \text{length } zs\} \implies$
 $\text{select } k \text{ } (ys @ zs) = \text{select } (k - \text{length } ys) \text{ } zs$
 using *assms* by (*simp-all add: select-def sort-append nth-append*)

lemma *select-append'*:
 assumes $\bigwedge y z. y \in \text{set } ys \implies z \in \text{set } zs \implies y \leq z$
 shows $\text{select } k \text{ } (ys @ zs) = (\text{if } k < \text{length } ys \text{ then } \text{select } k \text{ } ys \text{ else } \text{select } (k - \text{length } ys) \text{ } zs)$
 using *assms* by (*auto intro!: select-append*)

We can find simple upper bounds for the number of elements that are strictly less than (resp. greater than) the median of a list.

lemma *size-less-than-median*:
 $\text{size } \{\#y \in \# \text{mset } xs. y < \text{median } xs\} \leq (\text{length } xs - 1) \text{ div } 2$

```

proof (cases xs = [])
  case False
  hence length xs > 0 by simp
  hence (length xs - 1) div 2 < length xs by linarith
  hence size {#y ∈# mset (sort xs). y < median xs#} ≤
    size (mset (take ((length xs - 1) div 2) (sort xs)))
  unfolding median-def select-def using False
  by (intro size-mset-mono sorted-filter-less-subset-take) auto
  thus ?thesis using False by simp
qed auto

```

```

lemma size-greater-than-median:
  size {#y ∈# mset xs. y > median xs#} ≤ length xs div 2
proof (cases xs = [])
  case False
  hence length xs > 0 by simp
  hence (length xs - 1) div 2 < length xs by linarith
  hence size {#y ∈# mset (sort xs). y > median xs#} ≤
    size (mset (drop (Suc ((length xs - 1) div 2)) (sort xs)))
  unfolding median-def select-def using False
  by (intro size-mset-mono sorted-filter-greater-subset-drop) auto
  hence size (filter-mset (λy. y > median xs) (mset xs)) ≤
    length xs - Suc ((length xs - 1) div 2) by simp
  also have ... = length xs div 2 by linarith
  finally show ?thesis .
qed auto

```

1.5 A more liberal notion of medians

We now define a more relaxed version of being “a median” as opposed to being “*the* median”. A value is a median if at most half the values in the list are strictly smaller than it and at most half are strictly greater. Note that, by this definition, the median does not even have to be in the list itself.

```

definition is-median :: 'a :: linorder ⇒ 'a list ⇒ bool where
  is-median x xs ⟷ length (filter (λy. y < x) xs) ≤ length xs div 2 ∧
    length (filter (λy. y > x) xs) ≤ length xs div 2

```

We set up some transfer rules for *is-median*. In particular, we have a rule that shows that something is a median for a list iff it is a median on that list w. r. t. the dual order, which will later allow us to argue by symmetry.

```

context
  includes lifting-syntax
begin
lemma transfer-is-median [transfer-rule]:
  assumes [transfer-rule]: (r ==> r ==> (=)) (<) (<)
  shows (r ==> list-all2 r ==> (=)) is-median is-median
  unfolding is-median-def by transfer-prover

```



```

lemma list-all2-eq-fun-conv-map: list-all2 ( $\lambda x y. x = f y$ ) xs ys  $\longleftrightarrow xs = \text{map } f$ 
ys
proof
  assume list-all2 ( $\lambda x y. x = f y$ ) xs ys
  thus xs = map f ys by induction auto
next
  assume xs = map f ys
  moreover have list-all2 ( $\lambda x y. x = f y$ ) (map f ys) ys
    by (induction ys) auto
  ultimately show list-all2 ( $\lambda x y. x = f y$ ) xs ys by simp
qed

```

```

lemma transfer-is-median-dual-ord [transfer-rule]:
  (pcr-dual-ord (=)  $\implies$  list-all2 (pcr-dual-ord (=))  $\implies$  (=)) is-median
is-median
  by (auto simp: pcr-dual-ord-def cr-dual-ord-def OO-def rel-fun-def is-median-def
    list-all2-eq-fun-conv-map o-def less-dual-ord.rep-eq)
end

```

```

lemma is-median-to-dual-ord-iff [simp]:
  is-median (to-dual-ord x) (map to-dual-ord xs)  $\longleftrightarrow is-median\ x\ xs$ 
  unfolding is-median-def by transfer auto

```

The following is an obviously equivalent definition of *is-median* in terms of multisets that is occasionally nicer to use.

```

lemma is-median-altdef:
  is-median x xs  $\longleftrightarrow size$  (filter-mset ( $\lambda y. y < x$ ) (mset xs))  $\leq length\ xs\ \text{div } 2 \wedge$ 
    size (filter-mset ( $\lambda y. y > x$ ) (mset xs))  $\leq length\ xs\ \text{div } 2$ 
proof –
  have *: length (filter P xs) = size (filter-mset P (mset xs)) for P and xs :: 'a
    list
  by (simp flip: mset-filter)
  show ?thesis by (simp only: is-median-def *)
qed

```

```

lemma is-median-cong:
  assumes x = y mset xs = mset ys
  shows is-median x xs  $\longleftrightarrow is-median\ y\ ys$ 
  unfolding is-median-altdef by (simp only: assms mset-eq-length[OF assms(2)])

```

If an element is the median of a list of odd length, we can add any element to the list and the element is still a median. Conversely, if we want to compute a median of a list with even length n , we can simply drop one element and reduce the problem to a median of a list of size $n - 1$.

```

lemma is-median-Cons-odd:
  assumes is-median x xs and odd (length xs)
  shows is-median x (y # xs)
  using assms by (auto simp: is-median-def)

```

And, of course, *the* median is a median.

lemma *is-median-median* [*simp,intro*]: *is-median* (*median xs*) *xs*
using *size-less-than-median*[*of xs*] *size-greater-than-median*[*of xs*]
unfolding *is-median-def* *size-mset* [*symmetric*] *mset-filter* **by** *linarith+*

1.6 Properties of a median-of-medians

We can now bound the number of list elements that can be strictly smaller than a median-of-medians of a chopped-up list (where each part has length d except for the last one, which can also be shorter).

The core argument is that at least roughly half of the medians of the sublists are greater or equal to the median-of-medians, and about $\frac{d}{2}$ elements in each such sublist are greater than or equal to their median and thereby also than the median-of-medians.

lemma *size-less-than-median-of-medians-strong*:
fixes *xs* :: 'a :: linorder list **and** *d* :: nat
assumes *d*: $d > 0$
assumes *median*: $\bigwedge xs. xs \neq [] \implies \text{length } xs \leq d \implies \text{is-median } (\text{med } xs) \text{ } xs$
assumes *median'*: $\text{is-median } x \implies \text{map med } (\text{chop } d \text{ } xs)$
defines $m \equiv \text{length } (\text{chop } d \text{ } xs)$
shows $\text{size } \{ \#y \in \# \text{ mset } xs. y < x \# \} \leq m * (d \text{ div } 2) + m \text{ div } 2 * ((d + 1) \text{ div } 2)$
proof —
define *n* **where** [*simp*]: $n = \text{length } xs$
— The medians of the sublists
define *M* **where** $M = \text{mset } (\text{map med } (\text{chop } d \text{ } xs))$
define *YS* **where** $YS = \text{mset } (\text{chop } d \text{ } xs)$
— The sublists with a smaller median than the median-of-medians x and the rest.
define *YS1* **where** $YS1 = \text{filter-mset } (\lambda ys. \text{med } ys < x) (\text{mset } (\text{chop } d \text{ } xs))$
define *YS2* **where** $YS2 = \text{filter-mset } (\lambda ys. \neg(\text{med } ys < x)) (\text{mset } (\text{chop } d \text{ } xs))$
— At most roughly half of the lists have a median that is smaller than M
have $\text{size } YS1 = \text{size } (\text{image-mset med } YS1)$ **by** *simp*
also have $\text{image-mset med } YS1 = \{ \#y \in \# \text{ mset } (\text{map med } (\text{chop } d \text{ } xs)). y < x \# \}$
unfolding *YS1-def* **by** (*subst filter-mset-image-mset* [*symmetric*]) *simp-all*
also have $\text{size } \dots \leq (\text{length } (\text{map med } (\text{chop } d \text{ } xs))) \text{ div } 2$
using *median'* **unfolding** *is-median-altdef* **by** *simp*
also have $\dots = m \text{ div } 2$ **by** (*simp add: m-def*)
finally have *size-YS1*: $\text{size } YS1 \leq m \text{ div } 2$.

have $m = \text{size } (\text{mset } (\text{chop } d \text{ } xs))$ **by** (*simp add: m-def*)
also have $\text{mset } (\text{chop } d \text{ } xs) = YS1 + YS2$ **unfolding** *YS1-def* *YS2-def*
by (*rule multiset-partition*)
finally have *m-eq*: $m = \text{size } YS1 + \text{size } YS2$ **by** *simp*
— We estimate the number of elements less than x by grouping them into elements

coming from *YS1* and elements coming from *YS2*. In the first case, we just note that no more than d elements can come from each sublist, whereas in the second case, we make the analysis more precise and note that only elements that are less than the median of their sublist can be less than x .

have $\{\# y \in \# \text{mset } xs. y < x\# \} = \{\# y \in \# (\sum ys \leftarrow \text{chop } d \text{ } xs. \text{mset } ys). y < x\# \}$ **using** d
by (*subst sum-msets-chop*) *simp-all*
also have $\dots = (\sum ys \leftarrow \text{chop } d \text{ } xs. \{\# y \in \# \text{mset } ys. y < x\# \})$
by (*subst filter-mset-sum-list*) (*simp add: o-def*)
also have $\dots = (\sum ys \in \# YS. \{\# y \in \# \text{mset } ys. y < x\# \})$ **unfolding** *YS-def*
by (*subst sum-mset-sum-list [symmetric]*) *simp-all*
also have $YS = YS1 + YS2$
by (*simp add: YS-def YS1-def YS2-def not-le*)
also have $(\sum ys \in \# \dots \{\# y \in \# \text{mset } ys. y < x\# \}) =$
 $(\sum ys \in \# YS1. \{\# y \in \# \text{mset } ys. y < x\# \}) + (\sum ys \in \# YS2. \{\# y \in \#$
 $\text{mset } ys. y < x\# \})$
by *simp*
also have $\dots \subseteq \# (\sum ys \in \# YS1. \text{mset } ys) + (\sum ys \in \# YS2. \{\# y \in \# \text{mset } ys. y$
 $< \text{med } ys\# \})$
by (*intro subset-mset.add-mono sum-mset-mset-mono mset-filter-mono*) (*auto simp: YS2-def*)
finally have $\{\# y \in \# \text{mset } xs. y < x\# \} \subseteq \# \dots$
hence $\text{size } \{\# y \in \# \text{mset } xs. y < x\# \} \leq \text{size } \dots$ **by** (*rule size-mset-mono*)

— We do some further straightforward estimations and arrive at our goal.

also have $\dots = (\sum ys \in \# YS1. \text{length } ys) + (\sum x \in \# YS2. \text{size } \{\# y \in \# \text{mset } x.$
 $y < \text{med } x\# \})$
by (*simp add: size-mset-sum-mset-distrib multiset.map-comp o-def*)
also have $(\sum ys \in \# YS1. \text{length } ys) \leq (\sum ys \in \# YS1. d)$
by (*intro sum-mset-mono*) (*auto simp: YS1-def length-chop-part-le*)
also have $\dots = \text{size } YS1 * d$ **by** *simp*
also have $d: d = (d \text{ div } 2) + ((d + 1) \text{ div } 2)$ **using** d **by** *linarith*
have $\text{size } YS1 * d = \text{size } YS1 * (d \text{ div } 2) + \text{size } YS1 * ((d + 1) \text{ div } 2)$
by (*subst d*) (*simp add: algebra-simps*)
also have $(\sum ys \in \# YS2. \text{size } \{\# y \in \# \text{mset } ys. y < \text{med } ys\# \}) \leq$
 $(\sum ys \in \# YS2. \text{length } ys \text{ div } 2)$
proof (*intro sum-mset-mono size-less-than-median, goal-cases*)
case $(1 \text{ } ys)$
hence $ys \neq []$ $\text{length } ys \leq d$ **by** (*auto simp: YS2-def length-chop-part-le*)
from *median[OF this]* **show** $?case$ **by** (*auto simp: is-median-altdef*)
qed
also have $\dots \leq (\sum ys \in \# YS2. d \text{ div } 2)$
by (*intro sum-mset-mono div-le-mono diff-le-mono*) (*auto simp: YS2-def dest: length-chop-part-le*)
also have $\dots = \text{size } YS2 * (d \text{ div } 2)$ **by** *simp*
also have $\text{size } YS1 * (d \text{ div } 2) + \text{size } YS1 * ((d + 1) \text{ div } 2) + \dots =$
 $m * (d \text{ div } 2) + \text{size } YS1 * ((d + 1) \text{ div } 2)$ **by** (*simp add: m-eq algebra-simps*)
also have $\text{size } YS1 * ((d + 1) \text{ div } 2) \leq (m \text{ div } 2) * ((d + 1) \text{ div } 2)$

by (intro mult-right-mono size-YS1) auto
 finally show $\text{size } \{\#y \in \# \text{ mset } xs. y < x\# \} \leq$
 $m * (d \text{ div } 2) + m \text{ div } 2 * ((d + 1) \text{ div } 2)$ by simp-all
 qed

We now focus on the case of an odd chopping size and make some further estimations to simplify the above result a little bit.

theorem size-less-than-median-of-medians:
 fixes $xs :: 'a :: \text{linorder list}$ and $d :: \text{nat}$
 assumes median: $\bigwedge xs. xs \neq [] \implies \text{length } xs \leq \text{Suc } (2 * d) \implies \text{is-median } (\text{med } xs) \text{ } xs$
 assumes median': $\text{is-median } x (\text{map } \text{med } (\text{chop } (\text{Suc } (2*d)) xs))$
 defines $n \equiv \text{length } xs$
 defines $c \equiv (3 * \text{real } d + 1) / (2 * (2 * d + 1))$
 shows $\text{size } \{\#y \in \# \text{ mset } xs. y < x\# \} \leq \text{nat } \lceil c * n \rceil + (5 * d) \text{ div } 2 + 1$
proof (cases $xs = []$)
 case False
 define m where $m = \text{length } (\text{chop } (\text{Suc } (2*d)) xs)$

 have $\text{real } (m \text{ div } 2) \leq \text{real } (\text{nat } \lceil \text{real } n / (1 + 2 * \text{real } d) \rceil) / 2$
 by (simp add: m-def length-chop n-def flip: of-nat-int-ceiling)
 also have $\text{real } (\text{nat } \lceil \text{real } n / (1 + 2 * \text{real } d) \rceil) =$
 $\text{of-int } \lceil \text{real } n / (1 + 2 * \text{real } d) \rceil$
 by (intro of-nat-nat) (auto simp: divide-simps)
 also have $\dots / 2 \leq (\text{real } n / (1 + 2 * \text{real } d) + 1) / 2$
 by (intro divide-right-mono) linarith+
 also have $\dots = n / (2 * (2 * \text{real } d + 1)) + 1 / 2$ by (simp add: field-simps)
 finally have $m: \text{real } (m \text{ div } 2) \leq \dots$

 have $\text{size } \{\#y \in \# \text{ mset } xs. y < x\# \} \leq d * m + \text{Suc } d * (m \text{ div } 2)$
 using size-less-than-median-of-medians-strong[of $\text{Suc } (2 * d)$ $\text{med } x xs$] assms
 unfolding m-def by (simp add: algebra-simps)
 also have $\dots \leq d * (2 * (m \text{ div } 2) + 1) + \text{Suc } d * (m \text{ div } 2)$
 by (intro add-mono mult-left-mono) linarith+
 also have $\dots = (3 * d + 1) * (m \text{ div } 2) + d$
 by (simp add: algebra-simps)
 finally have $\text{real } (\text{size } \{\#y \in \# \text{ mset } xs. y < x\# \}) \leq \text{real } \dots$
 by (subst of-nat-le-iff)
 also have $\dots \leq (3 * \text{real } d + 1) * (n / (2 * (2 * d + 1)) + 1/2) + \text{real } d$
 unfolding of-nat-add of-nat-mult of-nat-1 of-nat-numeral
 by (intro add-mono mult-mono order.refl m) (auto simp: m-def length-chop
 n-def add-ac)
 also have $\dots = c * \text{real } n + (5 * \text{real } d + 1) / 2$
 by (simp add: field-simps c-def)
 also have $\dots \leq \text{real } (\text{nat } \lceil c * n \rceil + ((5 * d) \text{ div } 2 + 1))$
 unfolding of-nat-add by (intro add-mono) (linarith, simp add: field-simps)
 finally show ?thesis by (subst (asm) of-nat-le-iff) (simp-all add: add-ac)
 qed auto

We get the analogous result for the number of elements that are greater than a median-of-medians by looking at the dual order and using the *transfer* method.

theorem *size-greater-than-median-of-medians*:

```

fixes xs :: 'a :: linorder list and d :: nat
assumes median:  $\bigwedge xs. xs \neq [] \implies \text{length } xs \leq \text{Suc } (2 * d) \implies \text{is-median } (\text{med } xs) \text{ } xs$ 
assumes median': is-median x (map med (chop (Suc (2*d)) xs))
defines n  $\equiv \text{length } xs$ 
defines c  $\equiv (3 * \text{real } d + 1) / (2 * (2 * d + 1))$ 
shows size  $\{\#y \in \# \text{mset } xs. y > x\# \} \leq \text{nat } \lceil c * n \rceil + (5 * d) \text{ div } 2 + 1$ 
proof –
  include lifting-syntax
  define med' where med' = ( $\lambda xs. \text{to-dual-ord } (\text{med } (\text{map of-dual-ord } xs))$ )
  have xs = map of-dual-ord ys if list-all2 cr-dual-ord xs ys for xs :: 'a list and ys
    using that by induction (auto simp: cr-dual-ord-def)
  hence [transfer-rule]: (list-all2 (pcr-dual-ord (=)) ==> pcr-dual-ord (=)) med med'
    by (auto simp: rel-fun-def pcr-dual-ord-def OO-def med'-def cr-dual-ord-def dual-ord.to-dual-ord-inverse)

  have size  $\{\#y \in \# \text{mset } xs. y > x\# \} = \text{length } (\text{filter } (\lambda y. y > x) xs)$ 
    by (subst size-mset [symmetric]) (simp only: mset-filter)
  also have ... = length (map to-dual-ord (filter (λy. y > x) xs)) by simp
  also have ( $\lambda y. y > x$ ) = ( $\lambda y. \text{to-dual-ord } y < \text{to-dual-ord } x$ )
    by transfer simp-all
  hence length (map to-dual-ord (filter (λy. y > x) xs)) = length (map to-dual-ord (filter ... xs))
    by simp
  also have ... = length (filter (λy. y < to-dual-ord x) (map to-dual-ord xs))
    unfolding filter-map o-def by simp
  also have ... = size {#y ∈ # mset (map to-dual-ord xs). y < to-dual-ord x#}
    by (subst size-mset [symmetric]) (simp only: mset-filter)
  also have ...  $\leq \text{nat } \lceil (3 * \text{real } d + 1) / \text{real } (2 * (2 * d + 1)) * \text{length } (\text{map to-dual-ord } xs) \rceil$ 
     $+ 5 * d \text{ div } 2 + 1$ 
  proof (intro size-less-than-median-of-medians)
    fix xs :: 'a dual-ord list assume xs: xs  $\neq []$  length xs  $\leq \text{Suc } (2 * d)$ 
    from xs show is-median (med' xs) xs by (transfer fixing: d) (rule median)
  next
    show is-median (to-dual-ord x) (map med' (chop (Suc (2 * d)) (map to-dual-ord xs)))
      by (transfer fixing: d x xs) (use median' in simp-all)
    qed
  finally show ?thesis by (simp add: n-def c-def)
qed

```

The most important case is that of chopping size 5, since that is the most practical one for the median-of-medians selection algorithm. For it, we ob-

tain the following nice and simple bounds:

corollary *size-less-greater-median-of-medians-5*:

```

fixes xs :: 'a :: linorder list
assumes  $\bigwedge xs. xs \neq [] \implies \text{length } xs \leq 5 \implies \text{is-median } (\text{med } xs) \text{ } xs$ 
assumes is-median x (map med (chop 5 xs))
shows  $\text{length } (\text{filter } (\lambda y. y < x) \text{ } xs) \leq \text{nat } \lceil 0.7 * \text{length } xs \rceil + 6$ 
and  $\text{length } (\text{filter } (\lambda y. y > x) \text{ } xs) \leq \text{nat } \lceil 0.7 * \text{length } xs \rceil + 6$ 
using size-less-than-median-of-medians[of 2 med x xs]
      size-greater-than-median-of-medians[of 2 med x xs] assms
by (simp-all add: size-mset [symmetric] mset-filter mult-ac add-ac del: size-mset)

```

1.7 The recursive step

We now turn to the actual selection algorithm itself. The following simple reduction lemma illustrates the idea of the algorithm quite well already, but it has the disadvantage that, if one were to use it as a recursive algorithm, it would only work for lists with distinct elements. If the list contains repeated elements, this may not even terminate.

The basic idea is that we choose some pivot element, partition the list into elements that are bigger than the pivot and those that are not, and then recurse into one of these (hopefully smaller) lists.

theorem *select-rec-partition*:

```

assumes  $d > 0 \ k < \text{length } xs$ 
shows select k xs = (
  let (ys, zs) = partition ( $\lambda y. y \leq x$ ) xs
  in if  $k < \text{length } ys$  then select k ys else select ( $k - \text{length } ys$ ) zs
) (is - = ?rhs)

```

proof –

```

define ys zs where ys = filter ( $\lambda y. y \leq x$ ) xs and zs = filter ( $\lambda y. \neg(y \leq x)$ ) xs
have select k xs = select k (ys @ zs)
by (intro select-cong) (simp-all add: ys-def zs-def)
also have ... = (if  $k < \text{length } ys$  then select k ys else select ( $k - \text{length } ys$ ) zs)
using assms(2) by (intro select-append') (auto simp: ys-def zs-def sum-length-filter-compl)
finally show ?thesis by (simp add: ys-def zs-def Let-def o-def)

```

qed

The following variant uses a three-way partitioning function instead. This way, the size of the list in the final recursive call decreases by a factor of at least $\frac{3d'+1}{2(2d'+1)}$ by the previous estimates, given that the chopping size is $d = 2d' + 1$. For a chopping size of 5, we get a factor of 0.7.

definition *threeway-partition* :: 'a \Rightarrow 'a :: linorder list \Rightarrow 'a list \times 'a list \times 'a list **where**

```

threeway-partition x xs = (filter ( $\lambda y. y < x$ ) xs, filter ( $\lambda y. y = x$ ) xs, filter ( $\lambda y. y > x$ ) xs)

```

lemma *threeway-partition-code* [*code*]:

threeway-partition $x \ [] = ([], [], [])$
threeway-partition $x \ (y \# \text{ys}) =$
 (case *threeway-partition* $x \ \text{ys}$ of (ls, es, gs) \Rightarrow
 if $y < x$ then ($y \# ls, es, gs$) else if $x = y$ then ($ls, y \# es, gs$) else ($ls, es,$
 $y \# gs$))
by (auto simp: *threeway-partition-def*)

theorem *select-rec-threeway-partition*:

assumes $d > 0 \ k < \text{length } xs$

shows *select* $k \ xs =$ (

let (ls, es, gs) = *threeway-partition* $x \ xs$;

$nl = \text{length } ls$; $ne = \text{length } es$

in

if $k < nl$ then *select* $k \ ls$

else if $k < nl + ne$ then x

else *select* $(k - nl - ne) \ gs$

) (**is** $- = ?rhs$)

proof –

define $ls \ es \ gs$ **where** $ls = \text{filter } (\lambda y. y < x) \ xs$ **and** $es = \text{filter } (\lambda y. y = x) \ xs$

and $gs = \text{filter } (\lambda y. y > x) \ xs$

define $nl \ ne$ **where** [*simp*]: $nl = \text{length } ls$ $ne = \text{length } es$

have *mset-eq*: $mset \ xs = mset \ ls + mset \ es + mset \ gs$ **unfolding** $ls\text{-def}$ $es\text{-def}$ $gs\text{-def}$

by (*induction* xs) *auto*

have *length-eq*: $\text{length } xs = \text{length } ls + \text{length } es + \text{length } gs$ **unfolding** $ls\text{-def}$ $es\text{-def}$ $gs\text{-def}$

by (*induction* xs) (auto simp del: *filter-True*)

have [*simp*]: *select* $i \ es = x$ **if** $i < \text{length } es$ **for** i

proof –

have *select* $i \ es \in \text{set } (\text{sort } es)$ **unfolding** *select-def*

using *that* **by** (*intro* *nth-mem*) *auto*

hence *select* $i \ es \in \text{set } es$ **using** *that* **by** (auto simp: *select-def*)

also **have** $\text{set } es \subseteq \{x\}$ **unfolding** $es\text{-def}$ **by** (*induction* es) *auto*

finally **show** *?thesis* **by** *simp*

qed

have *select* $k \ xs = \text{select } k \ (ls \ @ \ (es \ @ \ gs))$

by (*intro* *select-cong*) (*simp-all* add: *mset-eq*)

also **have** $\dots = (\text{if } k < nl \text{ then } \text{select } k \ ls \text{ else } \text{select } (k - nl) \ (es \ @ \ gs))$

unfolding $nl\text{-ne-def}$ **using** *assms*

by (*intro* *select-append'*) (auto simp: $ls\text{-def}$ $es\text{-def}$ $gs\text{-def}$ *length-eq*)

also **have** $\dots = (\text{if } k < nl \text{ then } \text{select } k \ ls \text{ else if } k < nl + ne \text{ then } x$
 $\text{else } \text{select } (k - nl - ne) \ gs) \text{ (is } ?lhs' = ?rhs')$

proof (*cases* $k < nl$)

case *False*

hence $?lhs' = \text{select } (k - nl) \ (es \ @ \ gs)$ **by** *simp*

also **have** $\dots = (\text{if } k - nl < ne \text{ then } \text{select } (k - nl) \ es \text{ else } \text{select } (k - nl -$
 $ne) \ gs)$

```

    unfolding nl-ne-def using assms False
  by (intro select-append') (auto simp: ls-def es-def gs-def length-eq)
also have ... = (if k - nl < ne then x else select (k - nl - ne) gs)
  by simp
also from False have ... = ?rhs' by auto
finally show ?thesis .
qed simp-all
also have ... = ?rhs
  by (simp add: threeway-partition-def Let-def ls-def es-def gs-def)
finally show ?thesis .
qed

```

By the above results, it can be seen quite easily that, in each recursive step, the algorithm takes a list of length n , does $O(n)$ work for the chopping, computing the medians of the sublists, and partitioning, and it calls itself recursively with lists of size at most $\lceil 0.2n \rceil$ and $\lceil 0.7n \rceil + 6$, respectively. This means that the runtime of the algorithm is bounded above by the Akra–Bazzi-style recurrence

$$T(n) = T(\lceil 0.2n \rceil) + T(\lceil 0.7n \rceil + 6) + O(n)$$

which, by the Akra–Bazzi theorem, can be shown to fulfil $T \in \Theta(n)$.

However, a proper analysis of this would require an actual execution model and some way of measuring the runtime of the algorithm, which is not what we aim to do here. Additionally, the entire algorithm can be performed in-place in an imperative way, but this because quite tedious.

Instead of this, we will now focus on developing the above recursion into an executable functional algorithm.

1.8 Medians of lists of length at most 5

We now show some basic results about how to efficiently find a median of a list of size at most 5. For length 1 or 2, this is trivial, since we can just pick any element. For length 3 and 4, we need at most three comparisons. For length 5, we need at most six comparisons.

This allows us to save some comparisons compared with the naive method of performing insertion sort and then returning the element in the middle.

definition *median-3* :: 'a :: linorder \Rightarrow - **where**

```

median-3 a b c =
  (if a ≤ b then
    if b ≤ c then b else max a c
  else
    if c ≤ b then b else min a c)

```

lemma *median-3*: *median-3* a b c = *median* [a, b, c]

by (auto simp: median-3-def median-def select-def min-def max-def)

definition *median-5-aux* :: 'a :: linorder \Rightarrow - **where**

median-5-aux $x1\ x2\ x3\ x4\ x5 =$ (
 if $x2 \leq x3$ then if $x2 \leq x4$ then $\min\ x3\ x4$ else $\min\ x2\ x5$
 else if $x4 \leq x3$ then $\min\ x3\ x5$ else $\min\ x2\ x4$)

lemma *median-5-aux*:

assumes $x1 \leq x2\ x4 \leq x5\ x1 \leq x4$

shows *median-5-aux* $x1\ x2\ x3\ x4\ x5 = \text{median}\ [x1, x2, x3, x4, x5]$

using *assms* **by** (*auto simp: median-5-aux-def median-def select-def min-def*)

definition *median-5* :: 'a :: linorder \Rightarrow - **where**

median-5 $a\ b\ c\ d\ e =$ (
 let $(x1, x2) =$ (if $a \leq b$ then (a, b) else (b, a));
 $(x4, x5) =$ (if $d \leq e$ then (d, e) else (e, d))
 in
 if $x1 \leq x4$ then *median-5-aux* $x1\ x2\ c\ x4\ x5$ else *median-5-aux* $x4\ x5\ c\ x1\ x2$)

lemma *median-5*: *median-5* $a\ b\ c\ d\ e = \text{median}\ [a, b, c, d, e]$

by (*auto simp: median-5-def Let-def median-5-aux intro: median-cong*)

fun *median-le-5* **where**

median-le-5 $[a] = a$
 $|$ *median-le-5* $[a, b] = a$
 $|$ *median-le-5* $[a, b, c] = \text{median-3}\ a\ b\ c$
 $|$ *median-le-5* $[a, b, c, d] = \text{median-3}\ a\ b\ c$
 $|$ *median-le-5* $[a, b, c, d, e] = \text{median-5}\ a\ b\ c\ d\ e$
 $|$ *median-le-5* - = *undefined*

lemma *median-5-in-set*: *median-5* $a\ b\ c\ d\ e \in \{a, b, c, d, e\}$

proof -

have *median-5* $a\ b\ c\ d\ e \in \text{set}\ [a, b, c, d, e]$

unfolding *median-5* **by** (*rule median-in-set*) *auto*

thus ?thesis **by** *simp*

qed

lemma *median-le-5-in-set*:

assumes $xs \neq []\ \text{length}\ xs \leq 5$

shows *median-le-5* $xs \in \text{set}\ xs$

proof (*cases xs rule: median-le-5.cases*)

case (5 $a\ b\ c\ d\ e$)

with *median-5-in-set*[of $a\ b\ c\ d\ e$] **show** ?thesis **by** *simp*

qed (*insert assms, auto simp: median-3-def min-def max-def*)

lemma *median-le-5*:

assumes $xs \neq []\ \text{length}\ xs \leq 5$

shows *is-median* (*median-le-5* xs) xs

proof (*cases xs rule: median-le-5.cases*)

```

  case (3 a b c)
  have is-median (median xs) xs by simp
  also have median xs = median-3 a b c by (simp add: median-3 3)
  finally show ?thesis using 3 by simp
next
  case (4 a b c d)
  have is-median (median [a,b,c]) [a,b,c] by simp
  also have median [a,b,c] = median-3 a b c by (simp add: median-3 4)
  finally have is-median (median-3 a b c) (d # [a,b,c]) by (rule is-median-Cons-odd)
auto
  also have ?this  $\longleftrightarrow$  is-median (median-3 a b c) [a,b,c,d] by (intro is-median-cong)
auto
  finally show ?thesis using 4 by simp
next
  case (5 a b c d e)
  have is-median (median xs) xs by simp
  also have median xs = median-5 a b c d e by (simp add: median-5 5)
  finally show ?thesis using 5 by simp
qed (insert assms, auto simp: is-median-def)

```

1.9 Median-of-medians selection algorithm

The fast selection function now simply computes the median-of-medians of the chopped-up list as a pivot, partitions the list into with respect to that pivot, and recurses into one of the resulting sublists.

```

function fast-select where
  fast-select k xs = (
    if length xs  $\leq$  20 then
      sort xs ! k
    else
      let x = fast-select (((length xs + 4) div 5 - 1) div 2) (map median-le-5
(chop 5 xs));
      (ls, es, gs) = threeway-partition x xs
      in
      if k < length ls then fast-select k ls
      else if k < length ls + length es then x
      else fast-select (k - length ls - length es) gs
  )
by auto

```

The correctness of this is obvious from the above theorems, but the proof is still somewhat complicated by the fact that termination depends on the correctness of the function.

```

lemma fast-select-correct-aux:
  assumes fast-select-dom (k, xs) k < length xs
  shows fast-select k xs = select k xs
  using assms
proof induction

```

```

case (1 k xs)
show ?case
proof (cases length xs ≤ 20)
  case True
  thus ?thesis using 1.prem1 1.hyps
  by (subst fast-select.psimps) (auto simp: select-def)
next
  case False
  define x where
    x = fast-select (((length xs + 4) div 5 - Suc 0) div 2) (map median-le-5
(chop 5 xs))
  define ls where ls = filter (λy. y < x) xs
  define es where es = filter (λy. y = x) xs
  define gs where gs = filter (λy. y > x) xs
  define nl ne where nl = length ls and ne = length es
  note defs = nl-def ne-def x-def ls-def es-def gs-def
  have tw: (ls, es, gs) = threeway-partition (fast-select (((length xs + 4) div 5 -
1) div 2)
    (map median-le-5 (chop 5 xs))) xs
  unfolding threeway-partition-def defs One-nat-def ..
  have tw': (ls, es, gs) = threeway-partition x xs
  by (simp add: tw x-def)

  have fast-select k xs = (if k < nl then fast-select k ls else if k < nl + ne then x
    else fast-select (k - nl - ne) gs) using 1.hyps False
  by (subst fast-select.psimps) (simp-all add: threeway-partition-def defs [symmetric])
  also have ... = (if k < nl then select k ls else if k < nl + ne then x
    else select (k - nl - ne) gs)
  proof (intro if-cong refl)
    assume *: k < nl
    show fast-select k ls = select k ls
    by (rule 1; (rule refl tw)?)
    (insert *, auto simp: False threeway-partition-def ls-def x-def nl-def)+
  next
    assume *: ¬k < nl ¬k < nl + ne
    have **: length xs = length ls + length es + length gs
    unfolding ls-def es-def gs-def by (induction xs) (auto simp del: filter-True)
    show fast-select (k - nl - ne) gs = select (k - nl - ne) gs
    unfolding nl-def ne-def
    by (rule 1; (rule refl tw)?) (insert False * ** ⟨k < length xs⟩, auto simp:
nl-def ne-def)
  qed
  also have ... = select k xs using ⟨k < length xs⟩
  by (subst (3) select-rec-threeway-partition[of 5::nat - - x])
    (unfold Let-def nl-def ne-def ls-def gs-def es-def x-def threeway-partition-def,
simp-all)
  finally show ?thesis .
qed
qed

```

Termination of the algorithm is reasonably obvious because the lists that are recursed into never contain the pivot (the median-of-medians), while the original list clearly does. The proof is still somewhat technical though.

lemma *fast-select-termination*: All *fast-select-dom*

proof (*relation measure (length \circ snd); (safe)?, goal-cases*)

case (*1 k xs*)

thus ?*case*

by (*auto simp: length-chop nat-less-iff ceiling-less-iff*)

next

fix *k :: nat* and *xs ls es gs :: 'a list*

define *x* where *x* = *fast-select* (((*length xs* + 4) div 5 - 1) div 2) (*map median-le-5 (chop 5 xs)*)

assume *A*: $\neg \text{length } xs \leq 20$

(*ls, es, gs*) = *threeway-partition x xs*

fast-select-dom (((*length xs* + 4) div 5 - 1) div 2,
map *median-le-5 (chop 5 xs)*)

from *A* have *eq*: *ls* = *filter* ($\lambda y. y < x$) *xs* *gs* = *filter* ($\lambda y. y > x$) *xs*

by (*simp-all add: x-def threeway-partition-def*)

have *len*: (*length xs* + 4) div 5 = nat $\lceil \text{length } xs / 5 \rceil$ by *linarith*

have *less*: (nat $\lceil \text{real } (\text{length } xs) / 5 \rceil$ - Suc 0) div 2 < nat $\lceil \text{real } (\text{length } xs) / 5 \rceil$

using *A(1)* by *linarith*

have *x* = *select* (((*length xs* + 4) div 5 - 1) div 2) (*map median-le-5 (chop 5 xs)*)

using *less* unfolding *x-def* by (*intro fast-select-correct-aux A*) (*auto simp: length-chop len*)

also have ... = *median* (*map median-le-5 (chop 5 xs)*) by (*simp add: median-def len length-chop*)

finally have *x*: *x* =

moreover {

have *x* \in set (*map median-le-5 (chop 5 xs)*)

using *A(1)* unfolding *x* by (*intro median-in-set*) *auto*

also have ... $\subseteq (\bigcup ys \in \text{set } (\text{chop } 5 \text{ } xs). \{\text{median-le-5 } ys\})$ by *auto*

also have ... $\subseteq (\bigcup ys \in \text{set } (\text{chop } 5 \text{ } xs). \text{set } ys)$ using *A(1)*

by (*intro UN-mono*) (*auto simp: median-le-5-in-set length-chop-part-le*)

also have ... = set *xs* by (*subst UN-sets-chop*) *auto*

finally have *x* \in set *xs* .

}

ultimately show ((*k, ls*), *k, xs*) \in *measure* (*length \circ snd*)

and ((*k* - *length ls* - *length es, gs*), *k, xs*) \in *measure* (*length \circ snd*)

using *A(1)* by (*auto simp: eq intro!: length-filter-less[of x]*)

qed

We now have all the ingredients to show that *fast-select* terminates and does, indeed, compute the *k*-th order statistic.

termination *fast-select* by (*rule fast-select-termination*)

theorem *fast-select-correct*: *k* < *length xs* \implies *fast-select k xs* = *select k xs*

using *fast-select-termination* by (*intro fast-select-correct-aux*) *auto*

The following version is then suitable for code export.

lemma *fast-select-code* [code]:

```

fast-select k xs = (
  if length xs ≤ 20 then
    fold insort xs [] ! k
  else
    let x = fast-select (((length xs + 4) div 5 - 1) div 2) (map median-le-5
(chop 5 xs));
    (ls, es, gs) = threeway-partition x xs;
    nl = length ls; ne = nl + length es
  in
    if k < nl then fast-select k ls
    else if k < ne then x
    else fast-select (k - ne) gs
)
by (subst fast-select.simps) (simp-all only: Let-def algebra-simps sort-conv-fold)

```

lemma *select-code* [code]:

```

select k xs = (if k < length xs then fast-select k xs
               else Code.abort (STR "Selection index out of bounds.") (λ-. select
k xs))
proof (cases k < length xs)
  case True
    thus ?thesis by (simp only: if-True fast-select-correct)
qed (simp-all only: Code.abort-def if-False)

end

```

References

- [1] T. H. Cormen, C. E. Leiserson, R. L. Rivest, and C. Stein. *Introduction to Algorithms, 3rd Edition*. MIT Press, 2009.