

# Decision Procedures for MSO on Words Based on Derivatives of Regular Expressions

Dmitriy Traytel and Tobias Nipkow

February 23, 2021

## Abstract

Monadic second-order logic on finite words (MSO) is a decidable yet expressive logic into which many decision problems can be encoded. Since MSO formulas correspond to regular languages, equivalence of MSO formulas can be reduced to the equivalence of some regular structures (e.g. automata). We verify an executable decision procedure for MSO formulas that is not based on automata but on regular expressions.

Decision procedures for regular expression equivalence have been formalized before (e.g. in Isabelle/HOL [1]), usually based on Brzozowski derivatives. Yet, for a straightforward embedding of MSO formulas into regular expressions an extension of regular expressions with a projection operation is required. We prove total correctness and completeness of an equivalence checker for regular expressions extended in that way. We also define a language-preserving translation of formulas into regular expressions with respect to two different semantics of MSO.

The formalization is described in the ICFP 2013 functional pearl [2].

## Contents

<b>1</b>	<b>Regular Sets</b>	<b>3</b>
1.1	Concatenation of Languages . . . . .	3
1.2	Iteration of Languages . . . . .	4
1.3	Left-Quotients of Languages . . . . .	6
1.4	Right-Quotients of Languages . . . . .	7
1.5	Two-Sided-Quotients of Languages . . . . .	8
1.6	Arden's Lemma . . . . .	10
1.7	Lists of Fixed Length . . . . .	10
<b>2</b>	<b><math>\Pi</math>-Extended Regular Expressions</b>	<b>10</b>
2.1	Syntax of regular expressions . . . . .	10
2.2	ACI normalization . . . . .	11

2.3	Finality . . . . .	14
2.4	Wellformedness w.r.t. an alphabet . . . . .	15
2.5	Language . . . . .	16
<b>3</b>	<b>Derivatives of <math>\Pi</math>-Extended Regular Expressions</b>	<b>17</b>
3.1	Syntactic Derivatives . . . . .	18
3.2	Finiteness of ACI-Equivalent Derivatives . . . . .	18
3.3	Wellformedness and language of derivatives . . . . .	20
3.4	Deriving preserves ACI-equivalence . . . . .	21
<b>4</b>	<b>Some Useful Regular Operators</b>	<b>21</b>
4.1	Quotienting by the same letter . . . . .	24
4.2	Suffix and Prefix Languages . . . . .	27
<b>5</b>	<b><math>\Pi</math>-Extended Dual Regular Expressions</b>	<b>28</b>
5.1	Syntax of regular expressions . . . . .	28
<b>6</b>	<b>Deciding Equivalence of <math>\Pi</math>-Extended Regular Expressions</b>	<b>33</b>
<b>7</b>	<b>Initial Normalization of the Input</b>	<b>41</b>
<b>8</b>	<b>Partial Derivatives-like Normalization</b>	<b>46</b>
<b>9</b>	<b>Monadic Second-Order Logic Formulas</b>	<b>48</b>
9.1	Interpretations and Encodings . . . . .	48
9.2	Syntax and Semantics of MSO . . . . .	48
9.3	ENC . . . . .	50
<b>10</b>	<b>M2L</b>	<b>52</b>
10.1	Encodings . . . . .	52
10.2	Welldefinedness of enc wrt. Models . . . . .	55
10.3	From M2L to Regular expressions . . . . .	56
<b>11</b>	<b>Normalization of M2L Formulas</b>	<b>59</b>
<b>12</b>	<b>Deciding Equivalence of M2L Formulas</b>	<b>61</b>
<b>13</b>	<b>WS1S</b>	<b>64</b>
13.1	Encodings . . . . .	64
13.2	Welldefinedness of enc wrt. Models . . . . .	70
13.3	From WS1S to Regular expressions . . . . .	71
<b>14</b>	<b>Normalization of WS1S Formulas</b>	<b>76</b>
<b>15</b>	<b>Deciding Equivalence of WS1S Formulas</b>	<b>77</b>

# 1 Regular Sets

**type-synonym** 'a lang = 'a list set

**definition** conc :: 'a lang  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang (infixr @@ 75) where  
 $A @@ B = \{xs@ys \mid xs \text{ ys. } xs:A \ \& \ ys:B\}$

**lemma** [code]:

$A @@ B = (\%)(xs, ys). xs @ ys) \text{ ' } (A \times B)$   
<proof>

**overloading** word-pow == compow :: nat  $\Rightarrow$  'a list  $\Rightarrow$  'a list

**begin**

**primrec** word-pow :: nat  $\Rightarrow$  'a list  $\Rightarrow$  'a list **where**

word-pow 0 w = [] |

word-pow (Suc n) w = w @ word-pow n w

**end**

**overloading** lang-pow == compow :: nat  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang

**begin**

**primrec** lang-pow :: nat  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang **where**

lang-pow 0 A = {[]} |

lang-pow (Suc n) A = A @@ (lang-pow n A)

**end**

**lemma** word-pow-alt: compow n w = concat (replicate n w)

<proof>

**definition** star :: 'a lang  $\Rightarrow$  'a lang **where**

star A = ( $\bigcup n. A \overset{\sim}{\sim} n$ )

## 1.1 Concatenation of Languages

**lemma** concI[simp,intro]:  $u : A \Longrightarrow v : B \Longrightarrow u@v : A @@ B$

<proof>

**lemma** concE[elim]:

**assumes**  $w \in A @@ B$

**obtains**  $u \ v$  **where**  $u \in A \ v \in B \ w = u@v$

<proof>

**lemma** conc-mono:  $A \subseteq C \Longrightarrow B \subseteq D \Longrightarrow A @@ B \subseteq C @@ D$

<proof>

**lemma** conc-empty[simp]: **shows**  $\{\} @@ A = \{\}$  **and**  $A @@ \{\} = \{\}$

<proof>

**lemma** conc-epsilon[simp]: **shows**  $\{\}\} @@ A = A$  **and**  $A @@ \{\}\} = A$

<proof>

**lemma conc-assoc:**  $(A @@@ B) @@@ C = A @@@ (B @@@ C)$   
 ⟨proof⟩

**lemma conc-Un-distrib:**  
**shows**  $A @@@ (B \cup C) = A @@@ B \cup A @@@ C$   
**and**  $(A \cup B) @@@ C = A @@@ C \cup B @@@ C$   
 ⟨proof⟩

**lemma conc-UNION-distrib:**  
**shows**  $A @@@ \bigcup (M \text{ ' } I) = \bigcup ((\%i. A @@@ M i) \text{ ' } I)$   
**and**  $\bigcup (M \text{ ' } I) @@@ A = \bigcup ((\%i. M i @@@ A) \text{ ' } I)$   
 ⟨proof⟩

**lemma hom-image-conc:**  $\llbracket \bigwedge xs\ ys. f (xs @ ys) = f xs @ f ys \rrbracket \implies f \text{ ' } (A @@@ B) = f \text{ ' } A @@@ f \text{ ' } B$   
 ⟨proof⟩

**lemma map-image-conc[simp]:**  $map f \text{ ' } (A @@@ B) = map f \text{ ' } A @@@ map f \text{ ' } B$   
 ⟨proof⟩

**lemma conc-subset-lists:**  $A \subseteq lists\ S \implies B \subseteq lists\ S \implies A @@@ B \subseteq lists\ S$   
 ⟨proof⟩

## 1.2 Iteration of Languages

**lemma lang-pow-add:**  $A \text{ } \sim (n + m) = A \text{ } \sim n @@@ A \text{ } \sim m$   
 ⟨proof⟩

**lemma lang-pow-simps:**  $(A \text{ } \sim Suc\ n) = (A \text{ } \sim n @@@ A)$   
 ⟨proof⟩

**lemma lang-pow-empty:**  $\{\} \text{ } \sim n = (\text{if } n = 0 \text{ then } \{\} \text{ else } \{\})$   
 ⟨proof⟩

**lemma lang-pow-empty-Suc[simp]:**  $(\{\} :: \text{'a lang}) \text{ } \sim Suc\ n = \{\}$   
 ⟨proof⟩

**lemma conc-pow-comm:**  
**shows**  $A @@@ (A \text{ } \sim n) = (A \text{ } \sim n) @@@ A$   
 ⟨proof⟩

**lemma length-lang-pow-ub:**  
 $ALL\ w : A. length\ w \leq k \implies w : A \text{ } \sim n \implies length\ w \leq k * n$   
 ⟨proof⟩

**lemma length-lang-pow-lb:**  
 $ALL\ w : A. length\ w \geq k \implies w : A \text{ } \sim n \implies length\ w \geq k * n$   
 ⟨proof⟩

**lemma** *lang-pow-subset-lists*:  $A \subseteq \text{lists } S \implies A \text{ } \sim \sim n \subseteq \text{lists } S$   
*<proof>*

**lemma** *star-subset-lists*:  $A \subseteq \text{lists } S \implies \text{star } A \subseteq \text{lists } S$   
*<proof>*

**lemma** *star-if-lang-pow[simp]*:  $w : A \text{ } \sim \sim n \implies w : \text{star } A$   
*<proof>*

**lemma** *Nil-in-star[iff]*:  $[] : \text{star } A$   
*<proof>*

**lemma** *star-if-lang[simp]*: **assumes**  $w : A$  **shows**  $w : \text{star } A$   
*<proof>*

**lemma** *append-in-starI[simp]*:  
**assumes**  $u : \text{star } A$  **and**  $v : \text{star } A$  **shows**  $u @ v : \text{star } A$   
*<proof>*

**lemma** *conc-star-star*:  $\text{star } A @ @ \text{star } A = \text{star } A$   
*<proof>*

**lemma** *conc-star-comm*:  
**shows**  $A @ @ \text{star } A = \text{star } A @ @ A$   
*<proof>*

**lemma** *star-induct[consumes 1, case-names Nil append, induct set: star]*:  
**assumes**  $w : \text{star } A$   
**and**  $P []$   
**and step**:  $!!u v. u : A \implies v : \text{star } A \implies P v \implies P (u @ v)$   
**shows**  $P w$   
*<proof>*

**lemma** *star-empty[simp]*:  $\text{star } \{\} = \{\{\}\}$   
*<proof>*

**lemma** *star-epsilon[simp]*:  $\text{star } \{\{\}\} = \{\{\}\}$   
*<proof>*

**lemma** *star-idemp[simp]*:  $\text{star } (\text{star } A) = \text{star } A$   
*<proof>*

**lemma** *star-unfold-left*:  $\text{star } A = A @ @ \text{star } A \cup \{\{\}\}$  (**is**  $?L = ?R$ )  
*<proof>*

**lemma** *concat-in-star*:  $\text{set } ws \subseteq A \implies \text{concat } ws : \text{star } A$   
*<proof>*

**lemma** *in-star-iff-concat*:

$w : \text{star } A = (\text{EX } ws. \text{set } ws \subseteq A \ \& \ w = \text{concat } ws \ \& \ [] \notin \text{set } ws)$

(**is**  $- = (\text{EX } ws. \ ?R \ w \ ws)$ )

$\langle \text{proof} \rangle$

**lemma** *star-conv-concat*:  $\text{star } A = \{\text{concat } ws \mid ws. \text{set } ws \subseteq A \ \& \ [] \notin \text{set } ws\}$

$\langle \text{proof} \rangle$

**lemma** *star-insert-eps[simp]*:  $\text{star } (\text{insert } [] \ A) = \text{star}(A)$

$\langle \text{proof} \rangle$

**lemma** *star-decom*:

**assumes**  $a: x \in \text{star } A \ x \neq []$

**shows**  $\exists a \ b. x = a \ @ \ b \ \wedge \ a \neq [] \ \wedge \ a \in A \ \wedge \ b \in \text{star } A$

$\langle \text{proof} \rangle$

**lemma** *Ball-starI*:  $\forall a \in \text{set } as. [a] \in A \implies as \in \text{star } A$

$\langle \text{proof} \rangle$

**lemma** *map-image-star[simp]*:  $\text{map } f \ ' \ \text{star } A = \text{star } (\text{map } f \ ' \ A)$

$\langle \text{proof} \rangle$

### 1.3 Left-Quotients of Languages

**definition** *lQuot* ::  $'a \Rightarrow 'a \ \text{lang} \Rightarrow 'a \ \text{lang}$

**where**  $lQuot \ x \ A = \{xs. x \# xs \in A\}$

**definition** *lQuots* ::  $'a \ \text{list} \Rightarrow 'a \ \text{lang} \Rightarrow 'a \ \text{lang}$

**where**  $lQuots \ xs \ A = \{ys. xs \ @ \ ys \in A\}$

**abbreviation**

$lQuotss \ :: \ 'a \ \text{list} \Rightarrow 'a \ \text{lang set} \Rightarrow 'a \ \text{lang}$

**where**

$lQuotss \ s \ As \equiv \bigcup (lQuots \ s \ ' \ As)$

**lemma** *lQuot-empty[simp]*:  $lQuot \ a \ \{\} = \{\}$

**and** *lQuot-epsilon[simp]*:  $lQuot \ a \ \{\} = \{\}$

**and** *lQuot-char[simp]*:  $lQuot \ a \ \{[b]\} = (\text{if } a = b \ \text{then } \{\} \ \text{else } \{\})$

**and** *lQuot-chars[simp]*:  $lQuot \ a \ \{[b] \mid b. P \ b\} = (\text{if } P \ a \ \text{then } \{\} \ \text{else } \{\})$

**and** *lQuot-union[simp]*:  $lQuot \ a \ (A \cup B) = lQuot \ a \ A \cup lQuot \ a \ B$

**and** *lQuot-inter[simp]*:  $lQuot \ a \ (A \cap B) = lQuot \ a \ A \cap lQuot \ a \ B$

**and** *lQuot-compl[simp]*:  $lQuot \ a \ (-A) = - \ lQuot \ a \ A$

$\langle \text{proof} \rangle$

**lemma** *lQuot-conc-subset*:  $lQuot \ a \ A \ @ \ @ \ B \subseteq lQuot \ a \ (A \ @ \ @ \ B)$  (**is**  $?L \subseteq ?R$ )

$\langle \text{proof} \rangle$

**lemma** *lQuot-conc [simp]*:  $lQuot \ c \ (A \ @ \ @ \ B) = (lQuot \ c \ A) \ @ \ @ \ B \cup (\text{if } [] \in A$

then  $lQuot\ c\ B$  else  $\{\}$   
 ⟨proof⟩

**lemma**  $lQuot\text{-}star$  [simp]:  $lQuot\ c\ (star\ A) = (lQuot\ c\ A)\ @@\ star\ A$   
 ⟨proof⟩

**lemma**  $lQuot\text{-}diff$  [simp]:  $lQuot\ c\ (A - B) = lQuot\ c\ A - lQuot\ c\ B$   
 ⟨proof⟩

**lemma**  $lQuot\text{-}lists$  [simp]:  $c : S \implies lQuot\ c\ (lists\ S) = lists\ S$   
 ⟨proof⟩

**lemma**  $lQuots\text{-}simps$  [simp]:  
 shows  $lQuots\ []\ A = A$   
 and  $lQuots\ (c\ \#\ s)\ A = lQuots\ s\ (lQuot\ c\ A)$   
 and  $lQuots\ (s1\ @\ s2)\ A = lQuots\ s2\ (lQuots\ s1\ A)$   
 ⟨proof⟩

**lemma**  $lQuots\text{-}append$  [iff]:  $v \in lQuots\ w\ A \longleftrightarrow w\ @\ v \in A$   
 ⟨proof⟩

## 1.4 Right-Quotients of Languages

**definition**  $rQuot :: 'a \Rightarrow 'a\ lang \Rightarrow 'a\ lang$   
**where**  $rQuot\ x\ A = \{ xs.\ xs\ @\ [x] \in A \}$

**definition**  $rQuots :: 'a\ list \Rightarrow 'a\ lang \Rightarrow 'a\ lang$   
**where**  $rQuots\ xs\ A = \{ ys.\ ys\ @\ rev\ xs \in A \}$

### abbreviation

$rQuotss :: 'a\ list \Rightarrow 'a\ lang\ set \Rightarrow 'a\ lang$   
**where**  
 $rQuotss\ s\ As \equiv \bigcup (rQuots\ s\ `As)$

**lemma**  $rQuot\text{-}rev\text{-}lQuot$ :  $rQuot\ x\ A = rev\ `lQuot\ x\ (rev\ `A)$   
 ⟨proof⟩

**lemma**  $rQuots\text{-}rev\text{-}lQuots$ :  $rQuots\ x\ A = rev\ `lQuots\ x\ (rev\ `A)$   
 ⟨proof⟩

**lemma**  $rQuot\text{-}empty$  [simp]:  $rQuot\ a\ \{\} = \{\}$   
**and**  $rQuot\text{-}epsilon$  [simp]:  $rQuot\ a\ \{\}\ = \{\}$   
**and**  $rQuot\text{-}char$  [simp]:  $rQuot\ a\ \{[b]\} = (if\ a = b\ then\ \{\}\ else\ \{\})$   
**and**  $rQuot\text{-}union$  [simp]:  $rQuot\ a\ (A \cup B) = rQuot\ a\ A \cup rQuot\ a\ B$   
**and**  $rQuot\text{-}inter$  [simp]:  $rQuot\ a\ (A \cap B) = rQuot\ a\ A \cap rQuot\ a\ B$   
**and**  $rQuot\text{-}compl$  [simp]:  $rQuot\ a\ (-A) = -\ rQuot\ a\ A$   
 ⟨proof⟩

**lemma**  $lQuot\text{-}rQuot$ :  $lQuot\ a\ (rQuot\ b\ A) = rQuot\ b\ (lQuot\ a\ A)$

*<proof>*

**lemma** *rQuot-lQuot*:  $rQuot\ a\ (lQuot\ b\ A) = lQuot\ b\ (rQuot\ a\ A)$   
*<proof>*

**lemma** *rev-simp-invert*:  $(xs\ @\ [x] = rev\ zs) = (zs = x\ \#\ rev\ xs)$   
*<proof>*

**lemma** *rev-append-invert*:  $(xs\ @\ ys = rev\ zs) = (zs = rev\ ys\ @\ rev\ xs)$   
*<proof>*

**lemma** *image-rev-lists[simp]*:  $rev\ 'lists\ S = lists\ S$   
*<proof>*

**lemma** *image-rev-conc[simp]*:  $rev\ '(A\ @\@ B) = rev\ 'B\ @\@ rev\ 'A$   
*<proof>*

**lemma** *image-rev-star[simp]*:  $rev\ 'star\ A = star\ (rev\ 'A)$   
*<proof>*

**lemma** *rQuot-conc [simp]*:  $rQuot\ c\ (A\ @\@ B) = A\ @\@ (rQuot\ c\ B) \cup (if\ [] \in B$   
*then rQuot c A else {})*  
*<proof>*

**lemma** *rQuot-star [simp]*:  $rQuot\ c\ (star\ A) = star\ A\ @\@ (rQuot\ c\ A)$   
*<proof>*

**lemma** *rQuot-diff[simp]*:  $rQuot\ c\ (A - B) = rQuot\ c\ A - rQuot\ c\ B$   
*<proof>*

**lemma** *rQuot-lists[simp]*:  $c : S \implies rQuot\ c\ (lists\ S) = lists\ S$   
*<proof>*

**lemma** *rQuots-simps [simp]*:  
**shows**  $rQuots\ []\ A = A$   
**and**  $rQuots\ (c\ \#\ s)\ A = rQuots\ s\ (rQuot\ c\ A)$   
**and**  $rQuots\ (s1\ @\ s2)\ A = rQuots\ s2\ (rQuots\ s1\ A)$   
*<proof>*

**lemma** *rQuots-append[iff]*:  $v \in rQuots\ w\ A \iff v\ @\ rev\ w \in A$   
*<proof>*

## 1.5 Two-Sided-Quotients of Languages

**definition** *biQuot* ::  $'a \Rightarrow 'a \Rightarrow 'a\ lang \Rightarrow 'a\ lang$   
**where**  $biQuot\ x\ y\ A = \{ xs.\ x\ \#\ xs\ @\ [y] \in A \}$

**definition** *biQuots* ::  $'a\ list \Rightarrow 'a\ list \Rightarrow 'a\ lang \Rightarrow 'a\ lang$   
**where**  $biQuots\ xs\ ys\ A = \{ zs.\ xs\ @\ zs\ @\ rev\ ys \in A \}$



**abbreviation**

$biQuotss :: 'a list \Rightarrow 'a list \Rightarrow 'a lang set \Rightarrow 'a lang$

**where**

$biQuotss\ xs\ ys\ As \equiv \bigcup (biQuots\ xs\ ys\ 'As)$

**lemma**  $biQuot-rQuot-lQuot$ :  $biQuot\ x\ y\ A = rQuot\ y\ (lQuot\ x\ A)$   
 ⟨proof⟩

**lemma**  $biQuot-lQuot-rQuot$ :  $biQuot\ x\ y\ A = lQuot\ x\ (rQuot\ y\ A)$   
 ⟨proof⟩

**lemma**  $biQuots-rQuots-lQuots$ :  $biQuots\ x\ y\ A = rQuots\ y\ (lQuots\ x\ A)$   
 ⟨proof⟩

**lemma**  $biQuots-lQuots-rQuots$ :  $biQuots\ x\ y\ A = lQuots\ x\ (rQuots\ y\ A)$   
 ⟨proof⟩

**lemma**  $biQuot-empty[simp]$ :  $biQuot\ a\ b\ \{\} = \{\}$   
**and**  $biQuot-epsilon[simp]$ :  $biQuot\ a\ b\ \{\ \} = \{\}$   
**and**  $biQuot-char[simp]$ :  $biQuot\ a\ b\ \{[c]\} = \{\}$   
**and**  $biQuot-union[simp]$ :  $biQuot\ a\ b\ (A \cup B) = biQuot\ a\ b\ A \cup biQuot\ a\ b\ B$   
**and**  $biQuot-inter[simp]$ :  $biQuot\ a\ b\ (A \cap B) = biQuot\ a\ b\ A \cap biQuot\ a\ b\ B$   
**and**  $biQuot-compl[simp]$ :  $biQuot\ a\ b\ (-A) = -\ biQuot\ a\ b\ A$   
 ⟨proof⟩

**lemma**  $biQuot-conc\ [simp]$ :  $biQuot\ a\ b\ (A\ @@\ B) =$   
 $lQuot\ a\ A\ @@\ rQuot\ b\ B \cup$   
*(if*  $\ \ \in A \wedge \ \ \in B$  *then*  $biQuot\ a\ b\ A \cup biQuot\ a\ b\ B$   
*else if*  $\ \ \in A$  *then*  $biQuot\ a\ b\ B$   
*else if*  $\ \ \in B$  *then*  $biQuot\ a\ b\ A$   
*else*  $\{\}$ )  
 ⟨proof⟩

**lemma**  $biQuot-star\ [simp]$ :  $biQuot\ a\ b\ (star\ A) = biQuot\ a\ b\ A \cup lQuot\ a\ A\ @@\$   
 $star\ A\ @@\ rQuot\ b\ A$   
 ⟨proof⟩

**lemma**  $biQuot-diff[simp]$ :  $biQuot\ a\ b\ (A - B) = biQuot\ a\ b\ A - biQuot\ a\ b\ B$   
 ⟨proof⟩

**lemma**  $biQuot-lists[simp]$ :  $a : S \Longrightarrow b : S \Longrightarrow biQuot\ a\ b\ (lists\ S) = lists\ S$   
 ⟨proof⟩

**lemma**  $biQuots-simps\ [simp]$ :  
**shows**  $biQuots\ \ \ A = A$   
**and**  $biQuots\ (a\ \#as)\ (b\ \#bs)\ A = biQuots\ as\ bs\ (biQuot\ a\ b\ A)$   
**and**  $\ [length\ s1 = length\ t1; length\ s2 = length\ t2] \Longrightarrow$   
 $biQuots\ (s1\ @\ s2)\ (t1\ @\ t2)\ A = biQuots\ s2\ t2\ (biQuots\ s1\ t1\ A)$

*<proof>*

**lemma** *biQuots-append[iff]*:  $v \in \text{biQuots } u \ w \ A \longleftrightarrow u @ v @ \text{rev } w \in A$   
*<proof>*

## 1.6 Arden's Lemma

**lemma** *arden-helper*:

**assumes** *eq*:  $X = A @ @ X \cup B$

**shows**  $X = (A \sim \text{Suc } n) @ @ X \cup (\bigcup m \leq n. (A \sim m) @ @ B)$   
*<proof>*

**lemma** *Arden*:

**assumes**  $\square \notin A$

**shows**  $X = A @ @ X \cup B \longleftrightarrow X = \text{star } A @ @ B$   
*<proof>*

**lemma** *reversed-arden-helper*:

**assumes** *eq*:  $X = X @ @ A \cup B$

**shows**  $X = X @ @ (A \sim \text{Suc } n) \cup (\bigcup m \leq n. B @ @ (A \sim m))$   
*<proof>*

**theorem** *reversed-Arden*:

**assumes** *nemp*:  $\square \notin A$

**shows**  $X = X @ @ A \cup B \longleftrightarrow X = B @ @ \text{star } A$   
*<proof>*

## 1.7 Lists of Fixed Length

**abbreviation** *listsN* where  $\text{listsN } n \ S \equiv \{xs. xs \in \text{lists } S \wedge \text{length } xs = n\}$

**lemma** *tl-listsN*:  $A \subseteq \text{listsN } (n + 1) \ S \implies \text{tl } 'A \subseteq \text{listsN } n \ S$   
*<proof>*

**lemma** *map-tl-listsN*:  $A \subseteq \text{lists } (\text{listsN } (n + 1) \ S) \implies \text{map } \text{tl } 'A \subseteq \text{lists } (\text{listsN } n \ S)$   
*<proof>*

## 2 $\Pi$ -Extended Regular Expressions

### 2.1 Syntax of regular expressions

**datatype** *'a rexp* =

*Zero* |

*Full* |

*One* |

*Atom* *'a* |

*Plus* (*'a rexp*) (*'a rexp*) |

```

    Times ('a rexp) ('a rexp) |
    Star ('a rexp) |
    Not ('a rexp) |
    Inter ('a rexp) ('a rexp) |
    Pr ('a rexp)
derive linorder rexp

```

Lifting constructors to lists

```

fun rexp-of-list where
  rexp-of-list OPERATION N [] = N
| rexp-of-list OPERATION N [x] = x
| rexp-of-list OPERATION N (x # xs) = OPERATION x (rexp-of-list OPERA-
TION N xs)

```

**abbreviation** PLUS  $\equiv$  rexp-of-list Plus Zero

**abbreviation** TIMES  $\equiv$  rexp-of-list Times One

**abbreviation** INTERSECT  $\equiv$  rexp-of-list Inter Full

**lemma** list-singleton-induct [case-names nil single cons]:

```

  assumes nil: P []
  assumes single:  $\bigwedge x. P [x]$ 
  assumes cons:  $\bigwedge x y xs. P (y \# xs) \implies P (x \# (y \# xs))$ 
  shows P xs
  <proof>

```

## 2.2 ACI normalization

**fun** toplevel-summands :: 'a rexp  $\Rightarrow$  'a rexp set **where**

```

  toplevel-summands (Plus r s) = toplevel-summands r  $\cup$  toplevel-summands s
| toplevel-summands r = {r}

```

**abbreviation** (input) flatten LISTOP X  $\equiv$  LISTOP (sorted-list-of-set X)

**lemma** toplevel-summands-nonempty[simp]:

```

  toplevel-summands r  $\neq$  {}
  <proof>

```

**lemma** toplevel-summands-finite[simp]:

```

  finite (toplevel-summands r)
  <proof>

```

**primrec** ACI-norm :: ('a::linorder) rexp  $\Rightarrow$  'a rexp («-») **where**

```

  «Zero» = Zero
| «Full» = Full
| «One» = One
| «Atom a» = Atom a
| «Plus r s» = flatten PLUS (toplevel-summands (Plus «r» «s»))
| «Times r s» = Times «r» «s»
| «Star r» = Star «r»

```

| «Not r» = Not «r»  
 | «Inter r s» = Inter «r» «s»  
 | «Pr r» = Pr «r»

**lemma** *Plus-toplevel-summands*:

*Plus r s* ∈ *toplevel-summands t* ⇒ *False*  
 ⟨*proof*⟩

**lemma** *toplevel-summands-not-Plus[simp]*:

(∀ r s. *x* ≠ *Plus r s*) ⇒ *toplevel-summands x* = {*x*}  
 ⟨*proof*⟩

**lemma** *toplevel-summands-PLUS-strong*:

[[*xs* ≠ []; *list-all* (λ*x*. ¬(∃ r s. *x* = *Plus r s*)) *xs*] ⇒ *toplevel-summands (PLUS xs)* = *set xs*  
 ⟨*proof*⟩

**lemma** *toplevel-summands-flatten*:

[[*X* ≠ {}; *finite X*; ∀ *x* ∈ *X*. ¬(∃ r s. *x* = *Plus r s*)] ⇒ *toplevel-summands (flatten PLUS X)* = *X*  
 ⟨*proof*⟩

**lemma** *ACI-norm-Plus*:

«*r*» = *Plus s t* ⇒ ∃ *s t*. *r* = *Plus s t*  
 ⟨*proof*⟩

**lemma** *toplevel-summands-flatten-ACI-norm-image*:

*toplevel-summands (flatten PLUS (ACI-norm ‘*toplevel-summands r*’))* = *ACI-norm ‘*toplevel-summands r*’*  
 ⟨*proof*⟩

**lemma** *toplevel-summands-flatten-ACI-norm-image-Union*:

*toplevel-summands (flatten PLUS (ACI-norm ‘*toplevel-summands r* ∪ ACI-norm ‘*toplevel-summands s*’))* =  
*ACI-norm ‘*toplevel-summands r* ∪ ACI-norm ‘*toplevel-summands s*’*  
 ⟨*proof*⟩

**lemma** *toplevel-summands-ACI-norm*:

*toplevel-summands* «*r*» = *ACI-norm ‘*toplevel-summands r*’*  
 ⟨*proof*⟩

**lemma** *ACI-norm-flatten*:

«*r*» = *flatten PLUS (ACI-norm ‘*toplevel-summands r*’)*  
 ⟨*proof*⟩

**theorem** *ACI-norm-idem[simp]*:

««*r*»» = «*r*»  
 ⟨*proof*⟩

**fun** *ACI-nPlus* :: 'a::linorder rexp ⇒ 'a rexp ⇒ 'a rexp

**where**

*ACI-nPlus* (*Plus* *r1* *r2*) *s* = *ACI-nPlus* *r1* (*ACI-nPlus* *r2* *s*)  
| *ACI-nPlus* *r* (*Plus* *s1* *s2*) =  
  (*if* *r* = *s1* *then* *Plus* *s1* *s2*)  
  *else if* *r* < *s1* *then* *Plus* *r* (*Plus* *s1* *s2*)  
  *else* *Plus* *s1* (*ACI-nPlus* *r* *s2*)  
| *ACI-nPlus* *r* *s* =  
  (*if* *r* = *s* *then* *r*)  
  *else if* *r* < *s* *then* *Plus* *r* *s*  
  *else* *Plus* *s* *r*)

**fun** *ACI-norm-alt* **where**

*ACI-norm-alt* *Zero* = *Zero*  
| *ACI-norm-alt* *Full* = *Full*  
| *ACI-norm-alt* *One* = *One*  
| *ACI-norm-alt* (*Atom* *a*) = *Atom* *a*  
| *ACI-norm-alt* (*Plus* *r* *s*) = *ACI-nPlus* (*ACI-norm-alt* *r*) (*ACI-norm-alt* *s*)  
| *ACI-norm-alt* (*Times* *r* *s*) = *Times* (*ACI-norm-alt* *r*) (*ACI-norm-alt* *s*)  
| *ACI-norm-alt* (*Star* *r*) = *Star* (*ACI-norm-alt* *r*)  
| *ACI-norm-alt* (*Not* *r*) = *Not* (*ACI-norm-alt* *r*)  
| *ACI-norm-alt* (*Inter* *r* *s*) = *Inter* (*ACI-norm-alt* *r*) (*ACI-norm-alt* *s*)  
| *ACI-norm-alt* (*Pr* *r*) = *Pr* (*ACI-norm-alt* *r*)

**lemma** *toplevel-summands-ACI-nPlus*:

*toplevel-summands* (*ACI-nPlus* *r* *s*) = *toplevel-summands* (*Plus* *r* *s*)  
⟨*proof*⟩

**lemma** *toplevel-summands-ACI-norm-alt*:

*toplevel-summands* (*ACI-norm-alt* *r*) = *ACI-norm-alt* ' *toplevel-summands* *r*  
⟨*proof*⟩

**lemma** *ACI-norm-alt-Plus*:

*ACI-norm-alt* *r* = *Plus* *s* *t* ⇒ ∃ *s* *t*. *r* = *Plus* *s* *t*  
⟨*proof*⟩

**lemma** *toplevel-summands-flatten-ACI-norm-alt-image*:

*toplevel-summands* (*flatten* *PLUS* (*ACI-norm-alt* ' *toplevel-summands* *r*)) =  
*ACI-norm-alt* ' *toplevel-summands* *r*  
⟨*proof*⟩

**lemma** *ACI-norm-ACI-norm-alt*: «*ACI-norm-alt* *r*» = «*r*»

⟨*proof*⟩

**lemma** *ACI-nPlus-singleton-PLUS*:

[[*xs* ≠ []; *sorted* *xs*; *distinct* *xs*; ∀ *x* ∈ {*x*} ∪ *set* *xs*. ¬(∃ *r* *s*. *x* = *Plus* *r* *s*)] ⇒  
*ACI-nPlus* *x* (*PLUS* *xs*) = (*if* *x* ∈ *set* *xs* *then* *PLUS* *xs* *else* *PLUS* (*insort* *x* *xs*))  
⟨*proof*⟩

**lemma** *ACI-nPlus-PLUS*:

$\llbracket xs1 \neq []; xs2 \neq []; \forall x \in \text{set } (xs1 @ xs2). \neg(\exists r s. x = \text{Plus } r s); \text{sorted } xs2; \text{distinct } xs2 \rrbracket \implies$   
 $\text{ACI-nPlus } (\text{PLUS } xs1) (\text{PLUS } xs2) = \text{flatten PLUS } (\text{set } (xs1 @ xs2))$   
 ⟨proof⟩

**lemma** *ACI-nPlus-flatten-PLUS*:

$\llbracket X1 \neq \{\}; X2 \neq \{\}; \text{finite } X1; \text{finite } X2; \forall x \in X1 \cup X2. \neg(\exists r s. x = \text{Plus } r s) \rrbracket \implies$   
 $\text{ACI-nPlus } (\text{flatten PLUS } X1) (\text{flatten PLUS } X2) = \text{flatten PLUS } (X1 \cup X2)$   
 ⟨proof⟩

**lemma** *ACI-nPlus-ACI-norm[simp]*:  $\text{ACI-nPlus } \langle r \rangle \langle s \rangle = \langle \text{Plus } r s \rangle$   
 ⟨proof⟩

**lemma** *ACI-norm-alt*:

$\text{ACI-norm-alt } r = \langle r \rangle$   
 ⟨proof⟩

**declare** *ACI-norm-alt[symmetric, code]*

## 2.3 Finality

**primrec** *final* :: 'a rexp  $\Rightarrow$  bool

**where**

$\text{final Zero} = \text{False}$   
 $|\ \text{final Full} = \text{True}$   
 $|\ \text{final One} = \text{True}$   
 $|\ \text{final (Atom -)} = \text{False}$   
 $|\ \text{final (Plus } r s) = (\text{final } r \vee \text{final } s)$   
 $|\ \text{final (Times } r s) = (\text{final } r \wedge \text{final } s)$   
 $|\ \text{final (Star -)} = \text{True}$   
 $|\ \text{final (Not } r) = (\sim \text{final } r)$   
 $|\ \text{final (Inter } r1 r2) = (\text{final } r1 \wedge \text{final } r2)$   
 $|\ \text{final (Pr } r) = \text{final } r$

**lemma** *toplevel-summands-final*:

$\text{final } s = (\exists r \in \text{toplevel-summands } s. \text{final } r)$   
 ⟨proof⟩

**lemma** *final-PLUS*:

$\text{final } (\text{PLUS } xs) = (\exists r \in \text{set } xs. \text{final } r)$   
 ⟨proof⟩

**theorem** *ACI-norm-final[simp]*:

$\text{final } \langle r \rangle = \text{final } r$   
 ⟨proof⟩

## 2.4 Wellformedness w.r.t. an alphabet

**locale** *alphabet* =  
**fixes**  $\Sigma :: \text{nat} \Rightarrow 'a \text{ set } (\Sigma \ -)$   
**and** *wf-atom* ::  $\text{nat} \Rightarrow 'b :: \text{linorder} \Rightarrow \text{bool}$   
**begin**

**primrec** *wf* ::  $\text{nat} \Rightarrow 'b \text{ rexp} \Rightarrow \text{bool}$

**where**

*wf n Zero* = *True* |  
*wf n Full* = *True* |  
*wf n One* = *True* |  
*wf n (Atom a)* = (*wf-atom n a*) |  
*wf n (Plus r s)* = (*wf n r*  $\wedge$  *wf n s*) |  
*wf n (Times r s)* = (*wf n r*  $\wedge$  *wf n s*) |  
*wf n (Star r)* = *wf n r* |  
*wf n (Not r)* = *wf n r* |  
*wf n (Inter r s)* = (*wf n r*  $\wedge$  *wf n s*) |  
*wf n (Pr r)* = *wf (n + 1) r*

**primrec** *wf-word* **where**

*wf-word n []* = *True*  
| *wf-word n (w # ws)* = ((*w*  $\in$   $\Sigma$  *n*)  $\wedge$  *wf-word n ws*)

**lemma** *wf-word-snoc[simp]*: *wf-word n (ws @ [w])* = ((*w*  $\in$   $\Sigma$  *n*)  $\wedge$  *wf-word n ws*)  
*<proof>*

**lemma** *wf-word-append[simp]*: *wf-word n (ws @ vs)* = (*wf-word n ws*  $\wedge$  *wf-word n vs*)  
*<proof>*

**lemma** *wf-word*: *wf-word n w* = (*w*  $\in$  *lists* ( $\Sigma$  *n*))  
*<proof>*

**lemma** *toplevel-summands-wf*:  
*wf n s* = ( $\forall r \in \text{toplevel-summands } s. \text{wf } n \ r$ )  
*<proof>*

**lemma** *wf-PLUS[simp]*:  
*wf n (PLUS xs)* = ( $\forall r \in \text{set } xs. \text{wf } n \ r$ )  
*<proof>*

**lemma** *wf-TIMES[simp]*:  
*wf n (TIMES xs)* = ( $\forall r \in \text{set } xs. \text{wf } n \ r$ )  
*<proof>*

**lemma** *wf-flatten-PLUS[simp]*:  
*finite X*  $\implies$  *wf n (flatten PLUS X)* = ( $\forall r \in X. \text{wf } n \ r$ )  
*<proof>*

**theorem** *ACI-norm-wf*[simp]:

$wf\ n\ \llbracket r \rrbracket = wf\ n\ r$   
*<proof>*

**lemma** *wf-INTERSECT*[simp]:

$wf\ n\ (INTERSECT\ xs) = (\forall r \in set\ xs.\ wf\ n\ r)$   
*<proof>*

**lemma** *wf-flatten-INTERSECT*[simp]:

$finite\ X \implies wf\ n\ (flatten\ INTERSECT\ X) = (\forall r \in X.\ wf\ n\ r)$   
*<proof>*

**end**

## 2.5 Language

**locale** *project* =

*alphabet*  $\Sigma$  *wf-atom* **for**  $\Sigma :: nat \Rightarrow 'a\ set$  **and** *wf-atom*  $:: nat \Rightarrow 'b :: linorder \Rightarrow$   
*bool* +

**fixes** *project*  $:: 'a \Rightarrow 'a$

**and** *lookup*  $:: 'b \Rightarrow 'a \Rightarrow bool$

**assumes** *project*:  $\bigwedge a.\ a \in \Sigma\ (Suc\ n) \implies project\ a \in \Sigma\ n$

**begin**

**primrec** *lang*  $:: nat \Rightarrow 'b\ rexp \Rightarrow 'a\ lang$  **where**

*lang*  $n\ Zero = \{\}$  |

*lang*  $n\ Full = lists\ (\Sigma\ n)$  |

*lang*  $n\ One = \{\}\}$  |

*lang*  $n\ (Atom\ b) = \{[x] \mid x.\ lookup\ b\ x \wedge x \in \Sigma\ n\}$  |

*lang*  $n\ (Plus\ r\ s) = (lang\ n\ r) \cup (lang\ n\ s)$  |

*lang*  $n\ (Times\ r\ s) = conc\ (lang\ n\ r)\ (lang\ n\ s)$  |

*lang*  $n\ (Star\ r) = star\ (lang\ n\ r)$  |

*lang*  $n\ (Not\ r) = lists\ (\Sigma\ n) - lang\ n\ r$  |

*lang*  $n\ (Inter\ r\ s) = (lang\ n\ r \cap lang\ n\ s)$  |

*lang*  $n\ (Pr\ r) = map\ project\ `lang\ (n + 1)\ r$

**lemma** *wf-word-map-project*[simp]:  $wf\ word\ (Suc\ n)\ ws \implies wf\ word\ n\ (map\ project\ ws)$

*<proof>*

**lemma** *wf-lang-wf-word*:  $wf\ n\ r \implies \forall w \in lang\ n\ r.\ wf\ word\ n\ w$

*<proof>*

**lemma** *lang-subset-lists*:  $wf\ n\ r \implies lang\ n\ r \subseteq lists\ (\Sigma\ n)$

*<proof>*

**lemma** *oplevel-summands-lang*:

$r \in toplevel\ summands\ s \implies lang\ n\ r \subseteq lang\ n\ s$

*<proof>*



**lemma** *toplevel-summands-lang-UN*:

$$\text{lang } n \ s = (\bigcup r \in \text{toplevel-summands } s. \text{lang } n \ r)$$

*<proof>*

**lemma** *toplevel-summands-in-lang*:

$$w \in \text{lang } n \ s = (\exists r \in \text{toplevel-summands } s. w \in \text{lang } n \ r)$$

*<proof>*

**lemma** *lang-PLUS[simp]*:

$$\text{lang } n \ (\text{PLUS } xs) = (\bigcup r \in \text{set } xs. \text{lang } n \ r)$$

*<proof>*

**lemma** *lang-TIMES[simp]*:

$$\text{lang } n \ (\text{TIMES } xs) = \text{foldr } (@@) \ (\text{map } (\text{lang } n) \ xs) \ {\ [] }$$

*<proof>*

**lemma** *lang-flatten-PLUS*:

$$\text{finite } X \implies \text{lang } n \ (\text{flatten } \text{PLUS } X) = (\bigcup r \in X. \text{lang } n \ r)$$

*<proof>*

**theorem** *ACI-norm-lang[simp]*:

$$\text{lang } n \ \langle r \rangle = \text{lang } n \ r$$

*<proof>*

**lemma** *lang-final*: *final*  $r = (\ [] \in \text{lang } n \ r)$

*<proof>*

**lemma** *in-lang-INTERSECT*:

$$\text{wf-word } n \ w \implies w \in \text{lang } n \ (\text{INTERSECT } xs) = (\forall r \in \text{set } xs. w \in \text{lang } n \ r)$$

*<proof>*

**lemma** *lang-INTERSECT*:

$$\text{lang } n \ (\text{INTERSECT } xs) = (\text{if } xs = \ [] \ \text{then lists } (\Sigma \ n) \ \text{else } \bigcap r \in \text{set } xs. \text{lang } n \ r)$$

*<proof>*

**lemma** *lang-flatten-INTERSECT[simp]*:

**assumes** *finite*  $X \ X \neq \ {} \ \forall r \in X. \text{wf } n \ r$

**shows**  $w \in \text{lang } n \ (\text{flatten } \text{INTERSECT } X) = (\forall r \in X. w \in \text{lang } n \ r)$  (**is**  $?L = ?R$ )

*<proof>*

**end**

### 3 Derivatives of $\Pi$ -Extended Regular Expressions

**locale** *embed* = *project*  $\Sigma$  *wf-atom* *project* *lookup*  
**for**  $\Sigma :: \text{nat} \Rightarrow 'a \text{ set}$   
**and** *wf-atom* ::  $\text{nat} \Rightarrow 'b :: \text{linorder} \Rightarrow \text{bool}$   
**and** *project* ::  $'a \Rightarrow 'a$   
**and** *lookup* ::  $'b \Rightarrow 'a \Rightarrow \text{bool} +$   
**fixes** *embed* ::  $'a \Rightarrow 'a \text{ list}$   
**assumes** *embed*:  $\bigwedge a. a \in \Sigma n \implies b \in \text{set } (\text{embed } a) = (b \in \Sigma (\text{Suc } n) \wedge \text{project } b = a)$   
**begin**

### 3.1 Syntactic Derivatives

**primrec** *lderiv* ::  $'a \Rightarrow 'b \text{ rexp} \Rightarrow 'b \text{ rexp}$  **where**  
*lderiv* - *Zero* = *Zero*  
| *lderiv* - *Full* = *Full*  
| *lderiv* - *One* = *Zero*  
| *lderiv* *a* (*Atom* *b*) = (if *lookup* *b* *a* then *One* else *Zero*)  
| *lderiv* *a* (*Plus* *r* *s*) = *Plus* (*lderiv* *a* *r*) (*lderiv* *a* *s*)  
| *lderiv* *a* (*Times* *r* *s*) =  
    (*let* *r's* = *Times* (*lderiv* *a* *r*) *s*  
    in if *final* *r* then *Plus* *r's* (*lderiv* *a* *s*) else *r's*)  
| *lderiv* *a* (*Star* *r*) = *Times* (*lderiv* *a* *r*) (*Star* *r*)  
| *lderiv* *a* (*Not* *r*) = *Not* (*lderiv* *a* *r*)  
| *lderiv* *a* (*Inter* *r* *s*) = *Inter* (*lderiv* *a* *r*) (*lderiv* *a* *s*)  
| *lderiv* *a* (*Pr* *r*) = *Pr* (*PLUS* (*map* ( $\lambda a'. \text{lderiv } a' \text{ } r$ ) (*embed* *a*)))

**primrec** *lderivs* **where**  
*lderivs* [] *r* = *r*  
| *lderivs* (*w#ws*) *r* = *lderivs* *ws* (*lderiv* *w* *r*)

### 3.2 Finiteness of ACI-Equivalent Derivatives

**lemma** *toplevel-summands-lderiv*:  
*toplevel-summands* (*lderiv* *as* *r*) = ( $\bigcup s \in \text{toplevel-summands } r. \text{toplevel-summands } (\text{lderiv } as \ s)$ )  
<proof>

**lemma** *lderivs-Zero[simp]*: *lderivs* *xs* *Zero* = *Zero*  
<proof>

**lemma** *lderivs-Full[simp]*: *lderivs* *xs* *Full* = *Full*  
<proof>

**lemma** *lderivs-One*: *lderivs* *xs* *One*  $\in \{\text{Zero}, \text{One}\}$   
<proof>

**lemma** *lderivs-Atom*: *lderivs* *xs* (*Atom* *as*)  $\in \{\text{Zero}, \text{One}, \text{Atom } as\}$   
<proof>

**lemma** *lderivs-Plus*: *lderivs* *xs* (*Plus* *r* *s*) = *Plus* (*lderivs* *xs* *r*) (*lderivs* *xs* *s*)

*<proof>*

**lemma** *lderiv-PLUS*:  $lderiv\ xs\ (PLUS\ ys) = PLUS\ (map\ (lderiv\ xs)\ ys)$   
*<proof>*

**lemma** *toplevel-summands-lderiv-Times*:  $toplevel-summands\ (lderiv\ xs\ (Times\ r\ s)) \subseteq$   
 $\{Times\ (lderiv\ xs\ r)\ s\} \cup$   
 $\{r'.\ \exists\ ys\ zs.\ r' \in\ toplevel-summands\ (lderiv\ ys\ s) \wedge\ ys \neq [] \wedge\ zs @\ ys = xs\}$   
*<proof>*

**lemma** *toplevel-summands-lderiv-Star-nonempty*:  
 $xs \neq [] \implies toplevel-summands\ (lderiv\ xs\ (Star\ r)) \subseteq$   
 $\{Times\ (lderiv\ ys\ r)\ (Star\ r) \mid ys.\ \exists\ zs.\ ys \neq [] \wedge\ zs @\ ys = xs\}$   
*<proof>*

**lemma** *toplevel-summands-lderiv-Star*:  
 $toplevel-summands\ (lderiv\ xs\ (Star\ r)) \subseteq$   
 $\{Star\ r\} \cup \{Times\ (lderiv\ ys\ r)\ (Star\ r) \mid ys.\ \exists\ zs.\ ys \neq [] \wedge\ zs @\ ys = xs\}$   
*<proof>*

**lemma** *ex-lderiv-Pr*:  $\exists\ s.\ lderiv\ ass\ (Pr\ r) = Pr\ s$   
*<proof>*

**lemma** *toplevel-summands-PLUS*:  
 $xs \neq [] \implies toplevel-summands\ (PLUS\ (map\ f\ xs)) = (\bigcup\ r \in\ set\ xs.\ toplevel-summands\ (f\ r))$   
*<proof>*

**lemma** *lderiv-toplevel-summands-Zero*:  
 $\llbracket lderiv\ xs\ (Pr\ r) = Pr\ s;\ toplevel-summands\ r = \{Zero\} \rrbracket \implies toplevel-summands\ s = \{Zero\}$   
*<proof>*

**lemma** *toplevel-summands-lderiv-Pr*:  
 $\llbracket xs \neq [];\ lderiv\ xs\ (Pr\ r) = Pr\ s \rrbracket \implies$   
 $toplevel-summands\ s \subseteq \{Zero\} \vee toplevel-summands\ s \subseteq (\bigcup\ xs.\ toplevel-summands\ (lderiv\ xs\ r))$   
*<proof>*

**lemma** *lderiv-Pr*:  
 $\{lderiv\ xs\ (Pr\ r) \mid xs.\ True\} \subseteq$   
 $\{Pr\ s \mid s.\ toplevel-summands\ s \subseteq \{Zero\} \vee$   
 $toplevel-summands\ s \subseteq (\bigcup\ xs.\ toplevel-summands\ (lderiv\ xs\ r))\}$   
 $(is\ ?L \subseteq ?R)$   
*<proof>*

**lemma** *ACI-norm-toplevel-summands-Zero*:  $toplevel-summands\ r \subseteq \{Zero\} \implies$   
 $\llbracket r \rrbracket = Zero$

*<proof>*

**lemma** *ACI-norm-lderivs-Pr*:

$ACI\text{-norm} \text{ ' } \{\text{lderivs } xs \text{ (Pr } r) \mid xs. \text{ True}\} \subseteq$   
 $\{\text{Pr Zero}\} \cup \{\text{Pr «s»} \mid s. \text{ toplevel-summands } s \subseteq (\bigcup xs. \text{ toplevel-summands}$   
 $\ll\text{lderivs } xs \text{ r}\rangle\}$   
*<proof>*

**lemma** *finite-ACI-norm-toplevel-summands*:  $\text{finite } B \implies \text{finite } \{f \text{ «s»} \mid s. \text{ toplevel-summands}$   
 $s \subseteq B\}$   
*<proof>*

**lemma** *lderivs-Not*:  $\text{lderivs } xs \text{ (Not } r) = \text{Not } (\text{lderivs } xs \text{ } r)$   
*<proof>*

**lemma** *lderivs-Inter*:  $\text{lderivs } xs \text{ (Inter } r \text{ } s) = \text{Inter } (\text{lderivs } xs \text{ } r) \text{ (lderivs } xs \text{ } s)$   
*<proof>*

**theorem** *finite-lderivs*:  $\text{finite } \{\ll\text{lderivs } xs \text{ r}\rangle \mid xs. \text{ True}\}$   
*<proof>*

### 3.3 Wellformedness and language of derivatives

**lemma** *wf-lderiv[simp]*:  $\text{wf } n \text{ } r \implies \text{wf } n \text{ (lderiv } w \text{ } r)$   
*<proof>*

**lemma** *wf-lderivs[simp]*:  $\text{wf } n \text{ } r \implies \text{wf } n \text{ (lderivs } ws \text{ } r)$   
*<proof>*

**lemma** *lQuot-map-project*:

**assumes**  $as \in \Sigma \text{ } n \text{ } A \subseteq \text{lists } (\Sigma \text{ (Suc } n))$

**shows**  $\text{lQuot } as \text{ (map project ' } A) = \text{map project ' } (\bigcup a \in \text{set } (\text{embed } as). \text{lQuot } a$   
 $A) \text{ (is ?L = ?R)}$   
*<proof>*

**lemma** *lang-lderiv*:  $\ll\text{wf } n \text{ } r; w \in \Sigma \text{ } n \rrangle \implies \text{lang } n \text{ (lderiv } w \text{ } r) = \text{lQuot } w \text{ (lang } n$   
 $r)$   
*<proof>*

**lemma** *lang-lderivs*:  $\ll\text{wf } n \text{ } r; \text{wf-word } n \text{ } ws \rrangle \implies \text{lang } n \text{ (lderivs } ws \text{ } r) = \text{lQuots } ws$   
 $(\text{lang } n \text{ } r)$   
*<proof>*

**corollary** *lderivs-final*:

**assumes**  $\text{wf } n \text{ } r \text{ wf-word } n \text{ } ws$

**shows**  $\text{final } (\text{lderivs } ws \text{ } r) \longleftrightarrow ws \in \text{lang } n \text{ } r$   
*<proof>*

**abbreviation** *lderivs-set*  $n \text{ } r \text{ } s \equiv \{(\ll\text{lderivs } w \text{ } r\rangle, \ll\text{lderivs } w \text{ } s\rangle) \mid w. \text{wf-word } n \text{ } w\}$

### 3.4 Deriving preserves ACI-equivalence

**lemma** *ACI-norm-PLUS*:

$list-all2 (\lambda r s. \langle\langle r \rangle\rangle = \langle\langle s \rangle\rangle) xs ys \implies \langle\langle PLUS xs \rangle\rangle = \langle\langle PLUS ys \rangle\rangle$   
*<proof>*

**lemma** *toplevel-summands-ACI-norm-ldderiv*:

$(\bigcup a \in toplevel-summands r. toplevel-summands \langle\langle lderiv as a \rangle\rangle) = toplevel-summands \langle\langle lderiv as r \rangle\rangle$   
*<proof>*

**theorem** *ACI-norm-ldderiv*:

$\langle\langle lderiv as r \rangle\rangle = \langle\langle lderiv as r \rangle\rangle$   
*<proof>*

**corollary** *ldderiv-preserves*:  $\langle\langle r \rangle\rangle = \langle\langle s \rangle\rangle \implies \langle\langle lderiv as r \rangle\rangle = \langle\langle lderiv as s \rangle\rangle$

*<proof>*

**lemma** *ldderivs-snoc[simp]*:  $ldderivs (ws @ [w]) r = (ldderiv w (ldderivs ws r))$

*<proof>*

**theorem** *ACI-norm-ldderivs*:

$\langle\langle lderivs ws r \rangle\rangle = \langle\langle lderivs ws r \rangle\rangle$   
*<proof>*

**lemma** *ldderivs-alt*:  $\langle\langle lderivs w r \rangle\rangle = fold (\lambda a r. \langle\langle lderiv a r \rangle\rangle) w \langle\langle r \rangle\rangle$

*<proof>*

**lemma** *finite-fold-ldderiv*:  $finite \{fold (\lambda a r. \langle\langle lderiv a r \rangle\rangle) w \langle\langle s \rangle\rangle \mid w. True\}$

*<proof>*

**end**

## 4 Some Useful Regular Operators

**primrec** *REV* :: 'a rexp  $\Rightarrow$  'a rexp **where**

$REV Zero = Zero$   
 $REV Full = Full$   
 $REV One = One$   
 $REV (Atom a) = Atom a$   
 $REV (Plus r s) = Plus (REV r) (REV s)$   
 $REV (Times r s) = Times (REV r) (REV s)$   
 $REV (Star r) = Star (REV r)$   
 $REV (Not r) = Not (REV r)$   
 $REV (Inter r s) = Inter (REV r) (REV s)$   
 $REV (Pr r) = Pr (REV r)$

**lemma** *REV-REV[simp]*:  $REV (REV r) = r$   
*<proof>*

**lemma** *final-REV[simp]*:  $final (REV r) = final r$   
*<proof>*

**lemma** *REV-PLUS*:  $REV (PLUS xs) = PLUS (map REV xs)$   
*<proof>*

**lemma** (*in alphabet*) *wf-REV[simp]*:  $wf\ n\ r \implies wf\ n\ (REV\ r)$   
*<proof>*

**lemma** (*in project*) *lang-REV[simp]*:  $lang\ n\ (REV\ r) = rev\ 'lang\ n\ r$   
*<proof>*

**context** *embed*  
**begin**

**primrec** *rderiv* :: 'a  $\Rightarrow$  'b *rexp*  $\Rightarrow$  'b *rexp* **where**  
  *rderiv* - *Zero* = *Zero*  
  | *rderiv* - *Full* = *Full*  
  | *rderiv* - *One* = *Zero*  
  | *rderiv* *a* (*Atom* *b*) = (*if* *lookup* *b* *a* *then One* *else Zero*)  
  | *rderiv* *a* (*Plus* *r* *s*) = *Plus* (*rderiv* *a* *r*) (*rderiv* *a* *s*)  
  | *rderiv* *a* (*Times* *r* *s*) =  
    (*let* *rs'* = *Times* *r* (*rderiv* *a* *s*)  
      in if *final* *s* *then Plus* *rs'* (*rderiv* *a* *r*) *else rs'*)  
  | *rderiv* *a* (*Star* *r*) = *Times* (*Star* *r*) (*rderiv* *a* *r*)  
  | *rderiv* *a* (*Not* *r*) = *Not* (*rderiv* *a* *r*)  
  | *rderiv* *a* (*Inter* *r* *s*) = *Inter* (*rderiv* *a* *r*) (*rderiv* *a* *s*)  
  | *rderiv* *a* (*Pr* *r*) = *Pr* (*PLUS* (*map* ( $\lambda a'$ . *rderiv* *a'* *r*) (*embed* *a*)))

**primrec** *rderivs* **where**  
  *rderivs* [] *r* = *r*  
  | *rderivs* (*w#ws*) *r* = *rderivs* *ws* (*rderiv* *w* *r*)

**lemma** *rderivs-snoc*:  $rderivs\ (ws\ @\ [w])\ r = rderiv\ w\ (rderivs\ ws\ r)$   
*<proof>*

**lemma** *rderivs-append*:  $rderivs\ (ws\ @\ ws')\ r = rderivs\ ws'\ (rderivs\ ws\ r)$   
*<proof>*

**lemma** *rderiv-ldderiv*:  $rderiv\ as\ r = REV\ (ldderiv\ as\ (REV\ r))$   
*<proof>*

**lemma** *rderivs-ldderivs*:  $rderivs\ w\ r = REV\ (ldderivs\ w\ (REV\ r))$   
*<proof>*

**lemma** *wf-rderiv[simp]*:  $wf\ n\ r \implies wf\ n\ (rderiv\ w\ r)$   
*<proof>*

**lemma** *wf-rderivs[simp]*:  $wf\ n\ r \implies wf\ n\ (rderivs\ ws\ r)$   
*<proof>*

**lemma** *lang-rderiv*:  $\llbracket wf\ n\ r; as \in \Sigma\ n \rrbracket \implies lang\ n\ (rderiv\ as\ r) = rQuot\ as\ (lang\ n\ r)$   
*<proof>*

**lemma** *lang-rderivs*:  $\llbracket wf\ n\ r; wf\text{-word}\ n\ w \rrbracket \implies lang\ n\ (rderivs\ w\ r) = rQuots\ w\ (lang\ n\ r)$   
*<proof>*

**corollary** *rderivs-final*:  
**assumes**  $wf\ n\ r\ wf\text{-word}\ n\ w$   
**shows**  $final\ (rderivs\ w\ r) \longleftrightarrow rev\ w \in lang\ n\ r$   
*<proof>*

**lemma** *toplevel-summands-REV[simp]*:  $toplevel\text{-summands}\ (REV\ r) = REV\ `toplevel\text{-summands}\ r$   
*<proof>*

**lemma** *ACI-norm-REV*:  $\langle\langle REV\ \langle r \rangle \rangle\rangle = \langle\langle REV\ r \rangle\rangle$   
*<proof>*

**lemma** *ACI-norm-rderiv*:  $\langle\langle rderiv\ as\ \langle r \rangle \rangle\rangle = \langle\langle rderiv\ as\ r \rangle\rangle$   
*<proof>*

**lemma** *ACI-norm-rderivs*:  $\langle\langle rderivs\ w\ \langle r \rangle \rangle\rangle = \langle\langle rderivs\ w\ r \rangle\rangle$   
*<proof>*

**theorem** *finite-rderivs*:  $finite\ \{\langle\langle rderivs\ xs\ r \rangle\rangle \mid xs.\ True\}$   
*<proof>*

**lemma** *lderiv-PLUS[simp]*:  $lderiv\ a\ (PLUS\ xs) = PLUS\ (map\ (lderiv\ a)\ xs)$   
*<proof>*

**lemma** *rderiv-PLUS[simp]*:  $rderiv\ a\ (PLUS\ xs) = PLUS\ (map\ (rderiv\ a)\ xs)$   
*<proof>*

**lemma** *lang-rderiv-lderiv*:  $lang\ n\ (rderiv\ a\ (lderiv\ b\ r)) = lang\ n\ (lderiv\ b\ (rderiv\ a\ r))$   
*<proof>*

**lemma** *lang-lderiv-rderiv*:  $lang\ n\ (lderiv\ a\ (rderiv\ b\ r)) = lang\ n\ (rderiv\ b\ (lderiv\ a\ r))$   
*<proof>*

**lemma** *lang-rderiv-lderivs[simp]*:  $\llbracket wf\ n\ r; wf\text{-word}\ n\ w; a \in \Sigma\ n \rrbracket \implies$   
 $lang\ n\ (rderiv\ a\ (lderivs\ w\ r)) = lang\ n\ (lderivs\ w\ (rderiv\ a\ r))$   
 $\langle proof \rangle$

**lemma** *lang-lderiv-rderivs[simp]*:  $\llbracket wf\ n\ r; wf\text{-word}\ n\ w; a \in \Sigma\ n \rrbracket \implies$   
 $lang\ n\ (lderiv\ a\ (rderivs\ w\ r)) = lang\ n\ (rderivs\ w\ (lderiv\ a\ r))$   
 $\langle proof \rangle$

**definition** *biderivs*  $w1\ w2 = rderivs\ w2\ o\ lderivs\ w1$

**lemma** *lang-biderivs*:  $\llbracket wf\ n\ r; wf\text{-word}\ n\ w1; wf\text{-word}\ n\ w2 \rrbracket \implies$   
 $lang\ n\ (biderivs\ w1\ w2\ r) = biQuots\ w1\ w2\ (lang\ n\ r)$   
 $\langle proof \rangle$

**lemma** *wf-biderivs[simp]*:  $wf\ n\ r \implies wf\ n\ (biderivs\ w1\ w2\ r)$   
 $\langle proof \rangle$

**corollary** *biderivs-final*:

**assumes**  $wf\ n\ r\ wf\text{-word}\ n\ w1\ wf\text{-word}\ n\ w2$

**shows**  $final\ (biderivs\ w1\ w2\ r) \longleftrightarrow w1\ @\ rev\ w2 \in lang\ n\ r$

$\langle proof \rangle$

**lemma** *ACI-norm-biderivs*:  $\llbracket biderivs\ w1\ w2\ \llbracket r \rrbracket \rrbracket = \llbracket biderivs\ w1\ w2\ r \rrbracket$   
 $\langle proof \rangle$

**lemma** *finite*  $\{\llbracket biderivs\ w1\ w2\ r \rrbracket \mid w1\ w2 . True\}$   
 $\langle proof \rangle$

**end**

## 4.1 Quotienting by the same letter

**definition** *fin-cut-same*  $x\ xs = take\ (LEAST\ n.\ drop\ n\ xs = replicate\ (length\ xs - n)\ x)\ xs$

**lemma** *fin-cut-same-Nil[simp]*:  $fin\text{-cut-same}\ x\ [] = []$   
 $\langle proof \rangle$

**lemma** *Least-fin-cut-same*:  $(LEAST\ n.\ drop\ n\ xs = replicate\ (length\ xs - n)\ y) =$   
 $length\ xs - length\ (takeWhile\ (\lambda x.\ x = y)\ (rev\ xs))$   
 $(is\ Least\ ?P = ?min)$   
 $\langle proof \rangle$

**lemma** *takeWhile-takes-all*:  $length\ xs = m \implies m \leq length\ (takeWhile\ P\ xs) \longleftrightarrow$   
 $Ball\ (set\ xs)\ P$   
 $\langle proof \rangle$

**lemma** *fin-cut-same-Cons[simp]*:  $fin\text{-cut-same}\ x\ (y\ \# xs) =$



(if *fin-cut-same*  $x$   $xs = []$  then if  $x = y$  then  $[]$  else  $[y]$  else  $y \#$  *fin-cut-same*  $x$   $xs$ )  
 ⟨proof⟩

**lemma** *fin-cut-same-singleton*[simp]: *fin-cut-same*  $x$  ( $xs @ [x]$ ) = *fin-cut-same*  $x$   $xs$   
 ⟨proof⟩

**lemma** *fin-cut-same-replicate*[simp]: *fin-cut-same*  $x$  ( $xs @ replicate\ n\ x$ ) = *fin-cut-same*  $x$   $xs$   
 ⟨proof⟩

**lemma** *fin-cut-sameE*: *fin-cut-same*  $x$   $xs = ys \implies \exists m. xs = ys @ replicate\ m\ x$   
 ⟨proof⟩

**definition** *SAMEQUOT*  $a\ A = \{fin-cut-same\ a\ x @ replicate\ m\ a \mid x\ m. x \in A\}$

**lemma** *SAMEQUOT-mono*:  $A \subseteq B \implies SAMEQUOT\ a\ A \subseteq SAMEQUOT\ a\ B$   
 ⟨proof⟩

**locale** *embed2* = *embed*  $\Sigma$  *wf-atom* *project* *lookup* *embed*

**for**  $\Sigma :: nat \Rightarrow 'a\ set$

**and** *wf-atom* ::  $nat \Rightarrow 'b :: linorder \Rightarrow bool$

**and** *project* ::  $'a \Rightarrow 'a$

**and** *lookup* ::  $'b \Rightarrow 'a \Rightarrow bool$

**and** *embed* ::  $'a \Rightarrow 'a\ list +$

**fixes** *singleton* ::  $'a \Rightarrow 'b$

**assumes** *wf-singleton*[simp]:  $a \in \Sigma\ n \implies wf-atom\ n\ (singleton\ a)$

**assumes** *lookup-singleton*[simp]: *lookup* (*singleton*  $a$ )  $a' = (a = a')$

**begin**

**lemma** *finite-rderivs-same*: *finite*  $\{\llbracket rderivs\ (replicate\ m\ a)\ r \rrbracket \mid m. True\}$   
 ⟨proof⟩

**lemma** *wf-word-replicate*[simp]:  $a \in \Sigma\ n \implies wf-word\ n\ (replicate\ m\ a)$   
 ⟨proof⟩

**lemma** *star-singleton*[simp]: *star*  $\{[x]\} = \{replicate\ m\ x \mid m. True\}$   
 ⟨proof⟩

**definition** *samequot*  $a\ r = Times\ (flatten\ PLUS\ \{\llbracket rderivs\ (replicate\ m\ a)\ r \rrbracket \mid m. True\})\ (Star\ (Atom\ (singleton\ a)))$

**lemma** *wf-samequot*:  $\llbracket wf\ n\ r; a \in \Sigma\ n \rrbracket \implies wf\ n\ (samequot\ a\ r)$   
 ⟨proof⟩

**lemma** *lang-samequot*:  $\llbracket wf\ n\ r; a \in \Sigma\ n \rrbracket \implies lang\ n\ (samequot\ a\ r) = SAMEQUOT\ a\ (lang\ n\ r)$   
 ⟨proof⟩

**fun** *rderiv-and-add* **where**  
*rderiv-and-add* as ( $- :: \text{bool}, rs$ ) =  
 (let  
    $r = \llbracket \text{rderiv as (hd rs)} \rrbracket$   
 in if  $r \in \text{set } rs$  then ( $\text{False}, rs$ ) else ( $\text{True}, r \# rs$ )

**definition** *invar-rderiv-and-add* as  $r \text{ brs} \equiv$   
 (if *fst* *brs* then  $\text{True}$  else  $\llbracket \text{rderiv as (hd (snd brs))} \rrbracket \in \text{set (snd brs)}$ )  $\wedge$   
 $\text{snd brs} \neq [] \wedge \text{distinct (snd brs)} \wedge$   
 $(\forall i < \text{length (snd brs)}. \text{snd brs} ! i = \llbracket \text{rderivs (replicate (length (snd brs) - 1 - i) as) } r \rrbracket)$

**lemma** *invar-rderiv-and-add-init*: *invar-rderiv-and-add* as  $r$  ( $\text{True}, [\llbracket r \rrbracket]$ )  
*<proof>*

**lemma** *invar-rderiv-and-add-step*: *invar-rderiv-and-add* as  $r \text{ brs} \implies \text{fst brs} \implies$   
*invar-rderiv-and-add* as  $r$  (*rderiv-and-add* as *brs*)  
*<proof>*

**lemma** *rderivs-replicate-mult*:  $\llbracket \llbracket \text{rderivs (replicate } i \text{ as) } r \rrbracket = \llbracket r \rrbracket; i > 0 \rrbracket \implies$   
 $\llbracket \text{rderivs (replicate (} m * i \text{) as) } r \rrbracket = \llbracket r \rrbracket$   
*<proof>*

**lemma** *rderivs-replicate-mult-rest*:  
**assumes**  $\llbracket \text{rderivs (replicate } i \text{ as) } r \rrbracket = \llbracket r \rrbracket \ k < i$   
**shows**  $\llbracket \text{rderivs (replicate (} m * i + k \text{) as) } r \rrbracket = \llbracket \text{rderivs (replicate } k \text{ as) } r \rrbracket$  (**is**  
 $?L = ?R$ )  
*<proof>*

**lemma** *rderivs-replicate-mod*:  
**assumes**  $\llbracket \text{rderivs (replicate } i \text{ as) } r \rrbracket = \llbracket r \rrbracket \ i > 0$   
**shows**  $\llbracket \text{rderivs (replicate } m \text{ as) } r \rrbracket = \llbracket \text{rderivs (replicate (} m \bmod i \text{) as) } r \rrbracket$  (**is**  $?L$   
 $= ?R$ )  
*<proof>*

**lemma** *rderivs-replicate-diff*:  $\llbracket \llbracket \text{rderivs (replicate } i \text{ as) } r \rrbracket = \llbracket \text{rderivs (replicate } j \text{ as) } r \rrbracket; i > j \rrbracket \implies$   
 $\llbracket \text{rderivs (replicate (} i - j \text{) as) (rderivs (replicate } j \text{ as) } r) \rrbracket = \llbracket \text{rderivs (replicate } j \text{ as) } r \rrbracket$   
*<proof>*

**lemma** *samequot-wf*:  
**assumes** *wf*  $n \ r$  *while-option* *fst* (*rderiv-and-add* as) ( $\text{True}, [\llbracket r \rrbracket]$ ) = *Some* ( $b, rs$ )  
**shows** *wf*  $n$  (*PLUS* *rs*)  
*<proof>*

**lemma** *samequot-soundness*:  
**assumes** *while-option* *fst* (*rderiv-and-add* as) ( $\text{True}, [\llbracket r \rrbracket]$ ) = *Some* ( $b, rs$ )  
**shows** *lang*  $n$  (*PLUS* *rs*) =  $\bigcup (\text{lang } n \text{ ' } \{ \llbracket \text{rderivs (replicate } m \text{ as) } r \rrbracket \mid m. \text{True} \})$

*<proof>*

**lemma** *length-subset-card*:  $\llbracket \text{finite } X; \text{ distinct } (x \# xs); \text{ set } (x \# xs) \subseteq X \rrbracket \implies \text{length } xs < \text{card } X$   
*<proof>*

**lemma** *samequot-termination*:

**assumes** *while-option fst (rderiv-and-add as) (True, [«r»]) = None (is ?cl = None)*

**shows** *False*

*<proof>*

**definition** *samequot-exec a r =*

*Times (PLUS (snd (the (while-option fst (rderiv-and-add a) (True, [«r»]))) (Star (Atom (singleton a))))*

**lemma** *wf-samequot-exec*:  $\llbracket \text{wf } n \text{ r}; \text{ as } \in \Sigma \text{ n} \rrbracket \implies \text{wf } n \text{ (samequot-exec as r)}$   
*<proof>*

**lemma** *samequot-exec-samequot*:  $\text{lang } n \text{ (samequot-exec as r)} = \text{lang } n \text{ (samequot as r)}$   
*<proof>*

**lemma** *lang-samequot-exec*:

$\llbracket \text{wf } n \text{ r}; \text{ as } \in \Sigma \text{ n} \rrbracket \implies \text{lang } n \text{ (samequot-exec as r)} = \text{SAMEQUOT as (lang } n \text{ r)}$   
*<proof>*

**end**

## 4.2 Suffix and Prefix Languages

**definition** *Suffix* :: *'a lang*  $\Rightarrow$  *'a lang* **where**

*Suffix L = {w.  $\exists u. u @ w \in L$ }*

**definition** *Prefix* :: *'a lang*  $\Rightarrow$  *'a lang* **where**

*Prefix L = {w.  $\exists u. w @ u \in L$ }*

**lemma** *Prefix-Suffix*: *Prefix L = rev ' Suffix (rev ' L)*

*<proof>*

**definition** *Root* :: *'a lang*  $\Rightarrow$  *'a lang* **where**

*Root L = {x .  $\exists n > 0. x \overset{\sim}{\sim} n \in L$ }*

**definition** *Cycle* :: *'a lang*  $\Rightarrow$  *'a lang* **where**

*Cycle L = {u @ w | u w. w @ u  $\in L$ }*

**context** *embed*

**begin**

**context**

**fixes**  $n :: \text{nat}$

**begin**

**definition** *SUFFIX* :: 'b rexp  $\Rightarrow$  'b rexp **where**

*SUFFIX*  $r = \text{flatten PLUS } \{\llbracket \text{lderivs } w \ r \rrbracket \mid w. \text{wf-word } n \ w\}$

**lemma** *finite-lderivs-wf*: *finite*  $\{\llbracket \text{lderivs } w \ r \rrbracket \mid w. \text{wf-word } n \ w\}$

*<proof>*

**definition** *PREFIX* :: 'b rexp  $\Rightarrow$  'b rexp **where**

*PREFIX*  $r = \text{REV } (\text{SUFFIX } (\text{REV } r))$

**lemma** *wf-SUFFIX[simp]*: *wf*  $n \ r \Longrightarrow \text{wf } n \ (\text{SUFFIX } r)$

*<proof>*

**lemma** *lang-SUFFIX[simp]*: *wf*  $n \ r \Longrightarrow \text{lang } n \ (\text{SUFFIX } r) = \text{Suffix } (\text{lang } n \ r)$

*<proof>*

**lemma** *wf-PREFIX[simp]*: *wf*  $n \ r \Longrightarrow \text{wf } n \ (\text{PREFIX } r)$

*<proof>*

**lemma** *lang-PREFIX[simp]*: *wf*  $n \ r \Longrightarrow \text{lang } n \ (\text{PREFIX } r) = \text{Prefix } (\text{lang } n \ r)$

*<proof>*

**end**

**lemma** *take-drop-CycleI[intro!]*:  $x \in L \Longrightarrow \text{drop } i \ x \ @ \ \text{take } i \ x \in \text{Cycle } L$

*<proof>*

**lemma** *take-drop-CycleI'[intro!]*:  $\text{drop } i \ x \ @ \ \text{take } i \ x \in L \Longrightarrow x \in \text{Cycle } L$

*<proof>*

**end**

## 5 $\Pi$ -Extended Dual Regular Expressions

### 5.1 Syntax of regular expressions

**datatype** 'a rexp-dual =

*CoZero* (*co*: bool) |

*CoOne* (*co*: bool) |

*CoAtom* (*co*: bool) 'a |

*CoPlus* (*co*: bool) 'a rexp-dual 'a rexp-dual |

*CoTimes* (*co*: bool) 'a rexp-dual 'a rexp-dual |

*CoStar* (*co*: bool) 'a rexp-dual |

*CoPr* (*co*: bool) 'a rexp-dual

**derive** *linorder* rexp-dual

**abbreviation**  $CoPLUS\text{-}dual\ b \equiv rexp\text{-}of\text{-}list\ (CoPlus\ b)\ (CoZero\ b)$

**abbreviation**  $bool\text{-}unop\text{-}dual\ b \equiv (if\ b\ then\ id\ else\ HOL.Not)$

**abbreviation**  $bool\text{-}binop\text{-}dual\ b \equiv (if\ b\ then\ (\vee)\ else\ (\wedge))$

**abbreviation**  $set\text{-}binop\text{-}dual\ b \equiv (if\ b\ then\ (\cup)\ else\ (\cap))$

**primrec**  $final\text{-}dual :: 'a\ rexp\text{-}dual \Rightarrow bool$

**where**

$final\text{-}dual\ (CoZero\ b) = (\neg\ b)$   
|  $final\text{-}dual\ (CoOne\ b) = b$   
|  $final\text{-}dual\ (CoAtom\ b\ -) = (\neg\ b)$   
|  $final\text{-}dual\ (CoPlus\ b\ r\ s) = bool\text{-}binop\text{-}dual\ b\ (final\text{-}dual\ r)\ (final\text{-}dual\ s)$   
|  $final\text{-}dual\ (CoTimes\ b\ r\ s) = bool\text{-}binop\text{-}dual\ (\neg\ b)\ (final\text{-}dual\ r)\ (final\text{-}dual\ s)$   
|  $final\text{-}dual\ (CoStar\ b\ -) = b$   
|  $final\text{-}dual\ (CoPr\ -\ r) = final\text{-}dual\ r$

**context**  $alphabet$

**begin**

**primrec**  $wf\text{-}dual :: nat \Rightarrow 'b\ rexp\text{-}dual \Rightarrow bool$

**where**

$wf\text{-}dual\ n\ (CoZero\ -) = True$  |  
 $wf\text{-}dual\ n\ (CoOne\ -) = True$  |  
 $wf\text{-}dual\ n\ (CoAtom\ -\ a) = (wf\text{-}atom\ n\ a)$  |  
 $wf\text{-}dual\ n\ (CoPlus\ -\ r\ s) = (wf\text{-}dual\ n\ r \wedge wf\text{-}dual\ n\ s)$  |  
 $wf\text{-}dual\ n\ (CoTimes\ -\ r\ s) = (wf\text{-}dual\ n\ r \wedge wf\text{-}dual\ n\ s)$  |  
 $wf\text{-}dual\ n\ (CoStar\ -\ r) = wf\text{-}dual\ n\ r$  |  
 $wf\text{-}dual\ n\ (CoPr\ -\ r) = wf\text{-}dual\ (n + 1)\ r$

**lemma**  $wf\text{-}dual\text{-}PLUS\text{-}dual[simp]$ :

$wf\text{-}dual\ n\ (CoPLUS\text{-}dual\ b\ xs) = (\forall r \in set\ xs.\ wf\text{-}dual\ n\ r)$   
(*proof*)

**abbreviation**  $set\text{-}unop\text{-}dual\ n\ b\ A \equiv if\ b\ then\ A\ else\ lists\ (\Sigma\ n) - A$

**end**

**context**  $project$

**begin**

**primrec**  $lang\text{-}dual :: nat \Rightarrow 'b\ rexp\text{-}dual \Rightarrow 'a\ lang\ \mathbf{where}$

$lang\text{-}dual\ n\ (CoZero\ b) = set\text{-}unop\text{-}dual\ n\ b\ \{\}$  |  
 $lang\text{-}dual\ n\ (CoOne\ b) = set\text{-}unop\text{-}dual\ n\ b\ \{\{\}\}$  |  
 $lang\text{-}dual\ n\ (CoAtom\ b\ a) = set\text{-}unop\text{-}dual\ n\ b\ \{[x] \mid x.\ lookup\ a\ x \wedge x \in \Sigma\ n\}$  |  
 $lang\text{-}dual\ n\ (CoPlus\ b\ r\ s) = set\text{-}binop\text{-}dual\ b\ (lang\text{-}dual\ n\ r)\ (lang\text{-}dual\ n\ s)$  |  
 $lang\text{-}dual\ n\ (CoTimes\ b\ r\ s) = set\text{-}unop\text{-}dual\ n\ b$   
     $(set\text{-}unop\text{-}dual\ n\ b\ (lang\text{-}dual\ n\ r)\ @\@ set\text{-}unop\text{-}dual\ n\ b\ (lang\text{-}dual\ n\ s))$  |  
 $lang\text{-}dual\ n\ (CoStar\ b\ r) = set\text{-}unop\text{-}dual\ n\ b\ (star\ (set\text{-}unop\text{-}dual\ n\ b\ (lang\text{-}dual\ n\ r)))$  |

$lang\text{-}dual\ n\ (CoPr\ b\ r) = set\text{-}unop\text{-}dual\ n\ b\ (map\ project\ '\ (set\text{-}unop\text{-}dual\ (n + 1)\ b\ (lang\text{-}dual\ (n + 1)\ r)))$

**lemma** *wf-dual-lang-dual-wf-word*:  $wf\text{-}dual\ n\ r \implies \forall w \in lang\text{-}dual\ n\ r. wf\text{-}word\ n\ w$   
 ⟨proof⟩

**lemma** *lang-dual-subset-lists*:  $wf\text{-}dual\ n\ r \implies lang\text{-}dual\ n\ r \subseteq lists\ (\Sigma\ n)$   
 ⟨proof⟩

**lemma** *lang-dual-final-dual*:  $final\text{-}dual\ r = (\square \in lang\text{-}dual\ n\ r)$   
 ⟨proof⟩

**lemma** *lang-dual-PLUS-dual[simp]*:  
 $lang\text{-}dual\ n\ (CoPLUS\text{-}dual\ True\ xs) = (\bigcup\ r \in set\ xs. lang\text{-}dual\ n\ r)$   
 ⟨proof⟩

**lemma** *lang-dual-CoPLUS-dual[simp]*:  
 $lang\text{-}dual\ n\ (CoPLUS\text{-}dual\ False\ xs) = (if\ xs = \square\ then\ lists\ (\Sigma\ n)\ else\ \bigcap\ r \in set\ xs. lang\text{-}dual\ n\ r)$   
 ⟨proof⟩

**end**

**context** *embed*  
**begin**

**primrec** *lderiv-dual* :: '*a*  $\Rightarrow$  '*b* *rexp-dual*  $\Rightarrow$  '*b* *rexp-dual* **where**  
 $lderiv\text{-}dual\ -\ (CoZero\ b) = (CoZero\ b)$   
 $| lderiv\text{-}dual\ -\ (CoOne\ b) = (CoZero\ b)$   
 $| lderiv\text{-}dual\ a\ (CoAtom\ b\ c) = (if\ lookup\ c\ a\ then\ CoOne\ b\ else\ CoZero\ b)$   
 $| lderiv\text{-}dual\ a\ (CoPlus\ b\ r\ s) = CoPlus\ b\ (lderiv\text{-}dual\ a\ r)\ (lderiv\text{-}dual\ a\ s)$   
 $| lderiv\text{-}dual\ a\ (CoTimes\ b\ r\ s) =$   
    $(let\ r's = CoTimes\ b\ (lderiv\text{-}dual\ a\ r)\ s$   
    $in\ if\ bool\text{-}unop\text{-}dual\ b\ (final\text{-}dual\ r)\ then\ CoPlus\ b\ r's\ (lderiv\text{-}dual\ a\ s)\ else\ r's)$   
 $| lderiv\text{-}dual\ a\ (CoStar\ b\ r) = CoTimes\ b\ (lderiv\text{-}dual\ a\ r)\ (CoStar\ b\ r)$   
 $| lderiv\text{-}dual\ a\ (CoPr\ b\ r) = CoPr\ b\ (CoPLUS\text{-}dual\ b\ (map\ (\lambda a'. lderiv\text{-}dual\ a'\ r)\ (embed\ a)))$

**primrec** *lderivs-dual* **where**  
 $lderivs\text{-}dual\ \square\ r = r$   
 $| lderivs\text{-}dual\ (w\#ws)\ r = lderivs\text{-}dual\ ws\ (lderiv\text{-}dual\ w\ r)$

**lemma** *wf-dual-lderiv-dual[simp]*:  $wf\text{-}dual\ n\ r \implies wf\text{-}dual\ n\ (lderiv\text{-}dual\ w\ r)$   
 ⟨proof⟩

**lemma** *wf-dual-lderivs-dual[simp]*:  $wf\text{-}dual\ n\ r \implies wf\text{-}dual\ n\ (lderivs\text{-}dual\ ws\ r)$   
 ⟨proof⟩

**lemma** *lang-dual-lderiv-dual*:  $\llbracket wf\text{-dual } n \ r; \ w \in \Sigma \ n \rrbracket \implies$   
 $lang\text{-dual } n \ (lderiv\text{-dual } w \ r) = lQuot \ w \ (lang\text{-dual } n \ r)$   
 $\langle proof \rangle$

**lemma** *lang-dual-lderivs-dual*:  $\llbracket wf\text{-dual } n \ r; \ wf\text{-word } n \ ws \rrbracket \implies$   
 $lang\text{-dual } n \ (lderivs\text{-dual } ws \ r) = lQuots \ ws \ (lang\text{-dual } n \ r)$   
 $\langle proof \rangle$

**corollary** *lderivs-dual-final-dual*:

**assumes** *wf-dual*  $n \ r$  *wf-word*  $n \ ws$

**shows** *final-dual*  $(lderivs\text{-dual } ws \ r) \longleftrightarrow ws \in lang\text{-dual } n \ r$

$\langle proof \rangle$

**end**

**fun** *pnCoPlus* ::  $bool \Rightarrow 'a::linorder \ rexp\text{-dual} \Rightarrow 'a \ rexp\text{-dual} \Rightarrow 'a \ rexp\text{-dual}$  **where**  
 $pnCoPlus \ b1 \ (CoZero \ b2) \ r = (if \ b1 = b2 \ then \ r \ else \ CoZero \ b2)$   
 $| \ pnCoPlus \ b1 \ r \ (CoZero \ b2) = (if \ b1 = b2 \ then \ r \ else \ CoZero \ b2)$   
 $| \ pnCoPlus \ b1 \ (CoPlus \ b2 \ r \ s) \ t =$   
 $\quad (if \ b1 = b2 \ then \ pnCoPlus \ b2 \ r \ (pnCoPlus \ b2 \ s \ t) \ else \ CoPlus \ b1 \ (CoPlus \ b2 \ r \ s) \ t)$   
 $| \ pnCoPlus \ b1 \ r \ (CoPlus \ b2 \ s \ t) =$   
 $\quad (if \ b1 = b2 \ then$   
 $\quad \quad (if \ r = s \ then \ (CoPlus \ b2 \ s \ t)$   
 $\quad \quad \quad else \ if \ r \leq s \ then \ CoPlus \ b2 \ r \ (CoPlus \ b2 \ s \ t)$   
 $\quad \quad \quad \quad else \ CoPlus \ b2 \ s \ (pnCoPlus \ b2 \ r \ t))$   
 $\quad \quad \quad else \ CoPlus \ b1 \ r \ (CoPlus \ b2 \ s \ t))$   
 $| \ pnCoPlus \ b \ r \ s =$   
 $\quad (if \ r = s \ then \ r$   
 $\quad \quad else \ if \ r \leq s \ then \ CoPlus \ b \ r \ s$   
 $\quad \quad \quad else \ CoPlus \ b \ s \ r)$

**lemma** (**in** *alphabet*) *wf-dual-pnCoPlus[simp]*:  $\llbracket wf\text{-dual } n \ r; \ wf\text{-dual } n \ s \rrbracket \implies$   
 $wf\text{-dual } n \ (pnCoPlus \ b \ r \ s) = lang\text{-dual } n \ (CoPlus \ b \ r \ s)$   
 $\langle proof \rangle$

**lemma** (**in** *project*) *lang-dual-pnCoPlus[simp]*:  $\llbracket wf\text{-dual } n \ r; \ wf\text{-dual } n \ s \rrbracket \implies$   
 $lang\text{-dual } n \ (pnCoPlus \ b \ r \ s) = lang\text{-dual } n \ (CoPlus \ b \ r \ s)$   
 $\langle proof \rangle$

**fun** *pnCoTimes* ::  $bool \Rightarrow 'a::linorder \ rexp\text{-dual} \Rightarrow 'a \ rexp\text{-dual} \Rightarrow 'a \ rexp\text{-dual}$  **where**

$pnCoTimes \ b1 \ (CoZero \ b2) \ r = (if \ b1 = b2 \ then \ CoZero \ b1 \ else \ CoTimes \ b1 \ (CoZero \ b2) \ r)$   
 $| \ pnCoTimes \ b1 \ (CoOne \ b2) \ r = (if \ b1 = b2 \ then \ r \ else \ CoTimes \ b1 \ (CoOne \ b2) \ r)$   
 $| \ pnCoTimes \ b1 \ (CoPlus \ b2 \ r \ s) \ t = (if \ b1 = b2 \ then \ pnCoPlus \ b2 \ (pnCoTimes \ b2 \ r \ t) \ (pnCoTimes \ b2 \ s \ t)$   
 $\quad \quad \quad else \ CoTimes \ b1 \ (CoPlus \ b2 \ r \ s) \ t)$

|  $pnCoTimes\ b\ r\ s = CoTimes\ b\ r\ s$

**lemma** (in *alphabet*) *wf-dual-pnCoTimes[simp]*:  $\llbracket wf\text{-dual}\ n\ r; wf\text{-dual}\ n\ s \rrbracket \implies wf\text{-dual}\ n\ (pnCoTimes\ b\ r\ s)$   
 ⟨*proof*⟩

**lemma** (in *project*) *lang-dual-pnCoTimes[simp]*:  $\llbracket wf\text{-dual}\ n\ r; wf\text{-dual}\ n\ s \rrbracket \implies lang\text{-dual}\ n\ (pnCoTimes\ b\ r\ s) = lang\text{-dual}\ n\ (CoTimes\ b\ r\ s)$   
 ⟨*proof*⟩

**fun** *pnCoPr* :: *bool*  $\Rightarrow$  '*a*::*linorder rexp-dual*  $\Rightarrow$  '*a rexp-dual* **where**  
 | *pnCoPr* *b1* (*CoZero* *b2*) = (if *b1* = *b2* then *CoZero* *b2* else *CoPr* *b1* (*CoZero* *b2*))  
 | *pnCoPr* *b1* (*CoOne* *b2*) = (if *b1* = *b2* then *CoOne* *b2* else *CoPr* *b1* (*CoOne* *b2*))  
 | *pnCoPr* *b1* (*CoPlus* *b2* *r* *s*) = (if *b1* = *b2* then *pnCoPlus* *b2* (*pnCoPr* *b2* *r*) (*pnCoPr* *b2* *s*)  
   else *CoPr* *b1* (*CoPlus* *b2* *r* *s*))  
 | *pnCoPr* *b* *r* = *CoPr* *b* *r*

**lemma** (in *alphabet*) *wf-dual-pnCoPr[simp]*:  $wf\text{-dual}\ (Suc\ n)\ r \implies wf\text{-dual}\ n\ (pnCoPr\ b\ r)$   
 ⟨*proof*⟩

**lemma** (in *project*) *lang-dual-pnCoPr[simp]*:  $wf\text{-dual}\ (Suc\ n)\ r \implies lang\text{-dual}\ n\ (pnCoPr\ b\ r) = lang\text{-dual}\ n\ (CoPr\ b\ r)$   
 ⟨*proof*⟩

**primrec** *pnorm-dual* :: '*a*::*linorder rexp-dual*  $\Rightarrow$  '*a rexp-dual* **where**  
 | *pnorm-dual* (*CoZero* *b*) = (*CoZero* *b*)  
 | *pnorm-dual* (*CoOne* *b*) = (*CoOne* *b*)  
 | *pnorm-dual* (*CoAtom* *b* *a*) = (*CoAtom* *b* *a*)  
 | *pnorm-dual* (*CoPlus* *b* *r* *s*) = *pnCoPlus* *b* (*pnorm-dual* *r*) (*pnorm-dual* *s*)  
 | *pnorm-dual* (*CoTimes* *b* *r* *s*) = *pnCoTimes* *b* (*pnorm-dual* *r*) *s*  
 | *pnorm-dual* (*CoStar* *b* *r*) = *CoStar* *b* *r*  
 | *pnorm-dual* (*CoPr* *b* *r*) = *pnCoPr* *b* (*pnorm-dual* *r*)

**lemma** (in *alphabet*) *wf-dual-pnorm-dual[simp]*:  $wf\text{-dual}\ n\ r \implies wf\text{-dual}\ n\ (pnorm\text{-dual}\ r)$   
 ⟨*proof*⟩

**lemma** (in *project*) *lang-dual-pnorm-dual[simp]*:  $wf\text{-dual}\ n\ r \implies lang\text{-dual}\ n\ (pnorm\text{-dual}\ r) = lang\text{-dual}\ n\ r$   
 ⟨*proof*⟩

**primrec** *CoNot* **where**  
 | *CoNot* (*CoZero* *b*) = *CoZero* ( $\neg$  *b*)  
 | *CoNot* (*CoOne* *b*) = *CoOne* ( $\neg$  *b*)  
 | *CoNot* (*CoAtom* *b* *a*) = *CoAtom* ( $\neg$  *b*) *a*  
 | *CoNot* (*CoPlus* *b* *r* *s*) = *CoPlus* ( $\neg$  *b*) (*CoNot* *r*) (*CoNot* *s*)  
 | *CoNot* (*CoTimes* *b* *r* *s*) = *CoTimes* ( $\neg$  *b*) (*CoNot* *r*) (*CoNot* *s*)



|  $\text{CoNot} (\text{CoStar } b \ r) = \text{CoStar } (\neg b) (\text{CoNot } r)$   
|  $\text{CoNot} (\text{CoPr } b \ r) = \text{CoPr } (\neg b) (\text{CoNot } r)$

**primrec** *rexp-dual-of* **where**

*rexp-dual-of Zero* = *CoZero True*  
| *rexp-dual-of Full* = *CoZero False*  
| *rexp-dual-of One* = *CoOne True*  
| *rexp-dual-of (Atom a)* = *CoAtom True a*  
| *rexp-dual-of (Plus r s)* = *CoPlus True (rexp-dual-of r) (rexp-dual-of s)*  
| *rexp-dual-of (Times r s)* = *CoTimes True (rexp-dual-of r) (rexp-dual-of s)*  
| *rexp-dual-of (Star r)* = *CoStar True (rexp-dual-of r)*  
| *rexp-dual-of (Not r)* = *CoNot (rexp-dual-of r)*  
| *rexp-dual-of (Inter r s)* = *CoPlus False (rexp-dual-of r) (rexp-dual-of s)*  
| *rexp-dual-of (Pr r)* = *CoPr True (rexp-dual-of r)*

**lemma** (in *alphabet*) *wf-dual-CoNot[simp]*:  $wf\text{-dual } n \ r \Longrightarrow wf\text{-dual } n \ (\text{CoNot } r)$   
⟨*proof*⟩

**lemma** (in *project*) *lang-dual-CoNot[simp]*:  $wf\text{-dual } n \ r \Longrightarrow lang\text{-dual } n \ (\text{CoNot } r)$   
= *lists* ( $\Sigma \ n$ ) – *lang-dual } n \ r*  
⟨*proof*⟩

**lemma** (in *alphabet*) *wf-dual-rexp-dual-of[simp]*:  $wf \ n \ r \Longrightarrow wf\text{-dual } n \ (\text{rexp-dual-of } r)$   
⟨*proof*⟩

**lemma** (in *project*) *lang-dual-rexp-dual-of[simp]*:  $wf \ n \ r \Longrightarrow lang\text{-dual } n \ (\text{rexp-dual-of } r)$   
= *lang } n \ r*  
⟨*proof*⟩

**end**

## 6 Deciding Equivalence of $\Pi$ -Extended Regular Expressions

**lemma** *image2p-in-rel*:  $\text{BNF-Greatest-Fixpoint.image2p } f \ g \ (\text{in-rel } R) = \text{in-rel } (\text{map-prod } f \ g \ \text{' } R)$   
⟨*proof*⟩

**lemma** *image2p-apply*:  $\text{BNF-Greatest-Fixpoint.image2p } f \ g \ R \ x \ y = (\exists x' \ y'. R \ x' \ y' \wedge f \ x' = x \wedge g \ y' = y)$   
⟨*proof*⟩

**lemma** *rtrancl-fold-product*:

**shows**  $\{((r, s), (f \ a \ r, f \ a \ s)) \mid r \ s \ a. a \in A\}^{\wedge *} = \{((r, s), (\text{fold } f \ w \ r, \text{fold } f \ w \ s)) \mid r \ s \ w. w \in \text{lists } A\}$  (is ?L = ?R)  
⟨*proof*⟩

**lemma** *in-fold-lQuot*:  $v \in \text{fold } l\text{Quot } w \ L \longleftrightarrow w \ @ \ v \in L$   
 ⟨proof⟩

**lemma** (**in project**) *lang-eq-ext*:  $\llbracket wf \ n \ r; \ wf \ n \ s \rrbracket \implies (\text{lang } n \ r = \text{lang } n \ s) =$   
 $(\forall w \in \text{lists}(\Sigma \ n). \ w \in \text{lang } n \ r \longleftrightarrow w \in \text{lang } n \ s)$   
 ⟨proof⟩

**lemma** (**in project**) *lang-eq-ext-Nil-fold-Deriv*:

**fixes**  $r \ s \ n$   
**assumes**  $WF: wf \ n \ r \ wf \ n \ s$   
**defines**  $\mathfrak{B} \equiv \{(\text{fold } l\text{Quot } w \ (\text{lang } n \ r), \ \text{fold } l\text{Quot } w \ (\text{lang } n \ s)) \mid w. \ w \in \text{lists} \ (\Sigma \ n)\}$   
**shows**  $\text{lang } n \ r = \text{lang } n \ s \longleftrightarrow (\forall (K, L) \in \mathfrak{B}. \ [] \in K \longleftrightarrow [] \in L)$   
 ⟨proof⟩

**locale** *rexp-DA = project set o  $\sigma$  wf-atom project lookup*

**for**  $\sigma :: \text{nat} \Rightarrow 'a \ \text{list}$   
**and**  $wf\text{-atom} :: \text{nat} \Rightarrow 'b :: \text{linorder} \Rightarrow \text{bool}$   
**and**  $\text{project} :: 'a \Rightarrow 'a$   
**and**  $\text{lookup} :: 'b \Rightarrow 'a \Rightarrow \text{bool} +$   
**fixes**  $\text{init} :: 'b \ \text{rexp} \Rightarrow 's$   
**fixes**  $\text{delta} :: 'a \Rightarrow 's \Rightarrow 's$   
**fixes**  $\text{final} :: 's \Rightarrow \text{bool}$   
**fixes**  $wf\text{-state} :: 's \Rightarrow \text{bool}$   
**fixes**  $\text{post} :: 's \Rightarrow 's$   
**fixes**  $L :: 's \Rightarrow 'a \ \text{lang}$   
**fixes**  $n :: \text{nat}$   
**assumes**  $L\text{-init}[simp]: wf \ n \ r \implies L \ (\text{init } r) = \text{lang } n \ r$   
**assumes**  $L\text{-delta}[simp]: \llbracket a \in \text{set} \ (\sigma \ n); \ wf\text{-state } s \rrbracket \implies L \ (\text{delta } a \ s) = l\text{Quot } a \ (L \ s)$   
**assumes**  $\text{final-iff-Nil}[simp]: \text{final } s \longleftrightarrow [] \in L \ s$   
**assumes**  $L\text{-wf-state}[dest]: wf\text{-state } s \implies L \ s \subseteq \text{lists} \ (\text{set} \ (\sigma \ n))$   
**assumes**  $\text{init-wf-state}[simp]: wf \ n \ r \implies wf\text{-state} \ (\text{init } r)$   
**assumes**  $\text{delta-wf-state}[simp]: \llbracket a \in \text{set} \ (\sigma \ n); \ wf\text{-state } s \rrbracket \implies wf\text{-state} \ (\text{delta } a \ s)$   
**assumes**  $L\text{-post}[simp]: wf\text{-state } s \implies L \ (\text{post } s) = L \ s$   
**assumes**  $wf\text{-state-post}[simp]: wf\text{-state } s \implies wf\text{-state} \ (\text{post } s)$   
**begin**

**lemma**  $L\text{-deltas}[simp]: \llbracket wf\text{-word } n \ w; \ wf\text{-state } s \rrbracket \implies L \ (\text{fold } \text{delta } w \ s) = \text{fold } l\text{Quot } w \ (L \ s)$   
 ⟨proof⟩

**definition** *progression (infix  $\rightarrow$  60) where*

$R \rightarrow S = (\forall s1 \ s2. \ R \ s1 \ s2 \longrightarrow wf\text{-state } s1 \wedge wf\text{-state } s2 \wedge \text{final } s1 = \text{final } s2 \wedge$   
 $(\forall x \in \text{set} \ (\sigma \ n). \ \text{BNF-Greatest-Fixpoint.image2p } \text{post } \text{post } S \ (\text{post} \ (\text{delta } x \ s1))$   
 $(\text{post} \ (\text{delta } x \ s2))))$

**lemma**  $SUPR\text{-progression}[intro!]: \forall n. \ \exists m. \ X \ n \rightarrow Y \ m \implies (SUP \ n. \ X \ n) \rightarrow$

(SUP n. Y n)  
<proof>

**definition** *bisimulation* **where**  
*bisimulation*  $R = R \rightarrow R$

**definition** *bisimulation-upto* **where**  
*bisimulation-upto*  $R f = R \rightarrow f R$

**declare** *image2pI*[intro!] *image2pE*[elim!]  
**lemmas** *bisim-def* = *bisimulation-def* *progression-def*  
**lemmas** *bisim-upto-def* = *bisimulation-upto-def* *progression-def*

**definition** *compatible* **where**  
*compatible*  $f = (\text{mono } f \wedge (\forall R S. R \rightarrow S \longrightarrow f R \rightarrow f S))$

**lemmas** *compat-def* = *compatible-def* *progression-def*

**lemma** *bisimulation-upto-bisimulation*:  
**assumes** *compatible* *f* *bisimulation-upto*  $R f$   
**obtains**  $S$  **where** *bisimulation*  $S R \leq S$   
<proof>

**lemma** *bisimulation-eqL*: *bisimulation*  $(\lambda s1 s2. \text{wf-state } s1 \wedge \text{wf-state } s2 \wedge L s1 = L s2)$   
<proof>

**lemma** *coinduction*:  
**assumes** *bisim*[*unfolded bisim-def*]: *bisimulation*  $R$  **and**  
*WF*: *wf-state*  $s1$  *wf-state*  $s2$  **and**  $R: R s1 s2$   
**shows**  $L s1 = L s2$   
<proof>

**lemma** *coinduction-upto*:  
**assumes** *bisimulation-upto*  $R f$  **and** *WF*: *wf-state*  $s1$  *wf-state*  $s2$  **and**  $R s1 s2$   
*compatible*  $f$   
**shows**  $L s1 = L s2$   
<proof>

**fun** *test-invariant* **where**  
*test-invariant*  $(ws, - :: ('s \times 's) \text{ list}, - :: 's \text{ rel}) = (\text{case } ws \text{ of } [] \Rightarrow \text{False} \mid (w::'a \text{ list}, p, q)\#- \Rightarrow \text{final } p = \text{final } q)$   
**fun** *test* **where** *test*  $(ws, - :: 's \text{ rel}) = (\text{case } ws \text{ of } [] \Rightarrow \text{False} \mid (p, q)\#- \Rightarrow \text{final } p = \text{final } q)$

**fun** *step-invariant* **where** *step-invariant*  $(ws, ps, N) =$   
(let  
   $(w, r, s) = \text{hd } ws;$   
   $ps' = (r, s) \# ps;$

```

succs = map (λa.
  let r' = delta a r; s' = delta a s
  in ((a # w, r', s'), (post r', post s'))) (σ n);
new = remdups' snd (filter (λ(-, rs). rs ∉ N) succs);
ws' = tl ws @ map fst new;
N' = set (map snd new) ∪ N
in (ws', ps', N')

```

```

fun step where step (ws, N) =
  (let
    (r, s) = hd ws;
    succs = map (λa.
      let r' = delta a r; s' = delta a s
      in ((r', s'), (post r', post s'))) (σ n);
    new = remdups' snd (filter (λ(-, rs). rs ∉ N) succs)
  in (tl ws @ map fst new, set (map snd new) ∪ N))

```

**definition** *closure-invariant* **where** *closure-invariant* = *while-option test-invariant step-invariant*

**definition** *closure* **where** *closure* = *while-option test step*

**definition** *invariant* **where**

```

invariant r s = (λ(ws, ps, N).
  (r, s) ∈ snd ' set ws ∪ set ps ∧
  distinct (map snd ws @ ps) ∧
  bij-betw (map-prod post post) (set (map snd ws @ ps)) N ∧
  (∀ (w, r', s') ∈ set ws. fold delta (rev w) r = r' ∧ fold delta (rev w) s = s' ∧
    wf-word n (rev w) ∧ wf-state r' ∧ wf-state s') ∧
  (∀ (r', s') ∈ set ps. (∃ w. fold delta w r = r' ∧ fold delta w s = s') ∧
    wf-state r' ∧ wf-state s' ∧ (final r' ↔ final s') ∧
    (∀ a ∈ set (σ n). (post (delta a r'), post (delta a s')) ∈ N)))

```

**lemma** *invariant-start*:

```

[ wf-state r; wf-state s ] ⇒ invariant r s ([[], r, s], [], {(post r, post s)})
⟨proof⟩

```

**lemma** *step-invariant-mono*:

```

assumes step-invariant (ws, ps, N) = (ws', ps', N')
shows snd ' set ws ∪ set ps ⊆ snd ' set ws' ∪ set ps'
⟨proof⟩

```

**lemma** *step-invariant-unfold*: *step-invariant* (w # ws, ps, N) = (ws', ps', N') ⇒ (∃ xs r s.

```

  w = (xs, r, s) ∧ ps' = (r, s) # ps ∧
  ws' = ws @ remdups' (map-prod post post o snd) (filter (λ(-, p). map-prod post
  post p ∉ N)
    (map (λa. (a # xs, delta a r, delta a s)) (σ n))) ∧
  N' = set (map (λa. (post (delta a r), post (delta a s))) (σ n)) ∪ N)
⟨proof⟩

```

**lemma invariant:**  $\text{invariant } r \ s \ st \implies \text{test-invariant } st \implies \text{invariant } r \ s \ (\text{step-invariant } st)$   
 ⟨proof⟩

**lemma step-commute:**  $ws \neq [] \implies$   
 (case  $\text{step-invariant } (ws, ps, N)$  of  $(ws', ps', N') \Rightarrow (\text{map snd } ws', N') = \text{step}$   
 $(\text{map snd } ws, N)$ )  
 ⟨proof⟩

**lemma closure-invariant-closure:**  
 $\text{map-option } (\lambda(ws, ps, N). (\text{map snd } ws, N)) (\text{closure-invariant } (ws, ps, N)) =$   
 $\text{closure } (\text{map snd } ws, N)$   
 ⟨proof⟩

**lemma**  
**assumes result:**  $\text{closure-invariant } ([([], \text{init } r, \text{init } s)], [], \{(post (\text{init } r), post (\text{init } s))\}) =$   
 $\text{Some}(ws, ps, N)$  (**is closure-invariant**  $([([], ?r, ?s)], -) = -$ )  
**and WF:**  $wf \ n \ r \ wf \ n \ s$   
**shows closure-invariant-sound:**  $ws = [] \implies \text{lang } n \ r = \text{lang } n \ s$  **and**  
**counterexample:**  $ws \neq [] \implies \text{rev } (fst (hd \ ws)) \in \text{lang } n \ r \longleftrightarrow \text{rev } (fst (hd \ ws))$   
 $\notin \text{lang } n \ s$   
 ⟨proof⟩

**lemma closure-sound:**  
**assumes result:**  $\text{closure } ([(\text{init } r, \text{init } s)], \{(post (\text{init } r), post (\text{init } s))\}) = \text{Some}$   
 $([], N)$   
**and WF:**  $wf \ n \ r \ wf \ n \ s$   
**shows**  $\text{lang } n \ r = \text{lang } n \ s$   
 ⟨proof⟩

**definition check-equiv where**  
 $\text{check-equiv } r \ s =$   
 (let  $r' = \text{init } r$ ;  $s' = \text{init } s$  in (case  $\text{closure } ([(r', s')], \{(post \ r', post \ s')\})$  of  
 $\text{Some } ([[], -]) \Rightarrow \text{True} \mid - \Rightarrow \text{False}$ ))

**lemma check-equiv-sound:**  
**assumes**  $\text{check-equiv } r \ s$  **and WF:**  $wf \ n \ r \ wf \ n \ s$   
**shows**  $\text{lang } n \ r = \text{lang } n \ s$   
 ⟨proof⟩

**definition counterexample where**  
 $\text{counterexample } r \ s =$   
 (let  $r' = \text{init } r$ ;  $s' = \text{init } s$  in (case  $\text{closure-invariant } ([([], r', s')], [], \{(post \ r',$   
 $post \ s')\})$  of  
 $\text{Some}((w, -, -) \# -, -) \Rightarrow \text{Some } (\text{rev } w) \mid - \Rightarrow \text{None}$ ))

**lemma counterexample-sound:**

**assumes** *result*: *counterexample*  $r\ s = \text{Some } w$  **and** *WF*:  $wf\ n\ r\ wf\ n\ s$   
**shows**  $w \in lang\ n\ r \longleftrightarrow w \notin lang\ n\ s$   
 ⟨*proof*⟩

Auxiliary executable functions:

**definition** *reachable* :: 'b *rexp*  $\Rightarrow$  's *set* **where**  
*reachable*  $s = snd\ (the\ (rtrancl\text{-}while\ (\lambda\cdot\ True)\ (\lambda s.\ map\ (\lambda a.\ post\ (delta\ a\ s))\ (\sigma\ n))\ (init\ s)))$

**definition** *automaton* :: 'b *rexp*  $\Rightarrow$  (('s \* 'a) \* 's) *set* **where**  
*automaton*  $s =$   
*snd* (*the*  
 (*let*  $i = init\ s;$   
*start* = ( $([i], \{post\ i\}), \{\}$ );  
*test-invariant* =  $\lambda((ws, Z), A).\ ws \neq [];$   
*step-invariant* =  $\lambda((ws, Z), A).$   
 (*let*  $s = hd\ ws;$   
*new-edges* =  $map\ (\lambda a.\ ((s, a), delta\ a\ s))\ (\sigma\ n);$   
*new* =  $remdups\ (filter\ (\lambda ss.\ post\ ss \notin Z)\ (map\ snd\ new\ edges))$   
*in* ( $(new\ @\ tl\ ws,\ post\ \text{'set}\ new\ \cup\ Z),\ set\ new\ edges\ \cup\ A)$ )  
*in while-option test-invariant step-invariant start*)

**definition** *match* :: 'b *rexp*  $\Rightarrow$  'a *list*  $\Rightarrow$  *bool* **where**  
*match*  $s\ w = final\ (fold\ delta\ w\ (init\ s))$

**lemma** *match-correct*:  $\llbracket wf\text{-}word\ n\ w;\ wf\ n\ s \rrbracket \Longrightarrow match\ s\ w \longleftrightarrow w \in lang\ n\ s$   
 ⟨*proof*⟩

**end**

**locale** *rexp-DFA* = *rexp-DA*  $\sigma$  *wf-atom* *project* *lookup* *init* *delta* *final* *wf-state* *post*  
*L* *n*

**for**  $\sigma :: nat \Rightarrow 'a\ list$   
**and** *wf-atom* ::  $nat \Rightarrow 'b :: linorder \Rightarrow bool$   
**and** *project* :: 'a  $\Rightarrow$  'a  
**and** *lookup* :: 'b  $\Rightarrow$  'a  $\Rightarrow bool$   
**and** *init* :: 'b *rexp*  $\Rightarrow$  's  
**and** *delta* :: 'a  $\Rightarrow$  's  $\Rightarrow$  's  
**and** *final* :: 's  $\Rightarrow bool$   
**and** *wf-state* :: 's  $\Rightarrow bool$   
**and** *post* :: 's  $\Rightarrow$  's  
**and** *L* :: 's  $\Rightarrow$  'a *lang*  
**and** *n* :: *nat* +  
**assumes** *fin*: *finite*  $\{fold\ delta\ w\ (init\ s) \mid w.\ True\}$   
**begin**

**abbreviation** *Reachable*  $s \equiv \{fold\ delta\ w\ (init\ s) \mid w.\ True\}$

**lemma** *closure-invariant-termination*:

**assumes** *WF*:  $wf\ n\ r\ wf\ n\ s$   
**and result**:  $closure\text{-}invariant\ (([],\ init\ r,\ init\ s),\ [],\ \{(post\ (init\ r),\ post\ (init\ s))\}) = None$   
**(is closure-invariant**  $(([],\ ?r,\ ?s),\ -) = None$  **is**  $?cl = None$ )  
**shows** *False*  
 $\langle proof \rangle$

**lemma** *closure-termination*:  
**assumes** *WF*:  $wf\ n\ r\ wf\ n\ s$   
**and result**:  $closure\ ((init\ r,\ init\ s),\ \{(post\ (init\ r),\ post\ (init\ s))\}) = None$   
**shows** *False*  
 $\langle proof \rangle$

**lemma** *closure-invariant-complete*:  
**assumes** *eq*:  $lang\ n\ r = lang\ n\ s$   
**and** *WF*:  $wf\ n\ r\ wf\ n\ s$   
**shows**  $\exists ps\ N.\ closure\text{-}invariant\ (([],\ init\ r,\ init\ s),\ [],\ \{(post\ (init\ r),\ post\ (init\ s))\}) =$   
 $Some([],\ ps,\ N)$  **(is**  $\exists -.\ closure\text{-}invariant\ (([],\ ?r,\ ?s),\ -) = -$  **is**  $\exists -.\ ?cl = -$ )  
 $\langle proof \rangle$

**lemma** *closure-complete*:  
**assumes**  $lang\ n\ r = lang\ n\ s\ wf\ n\ r\ wf\ n\ s$   
**shows**  $\exists N.\ closure\ ((init\ r,\ init\ s),\ \{(post\ (init\ r),\ post\ (init\ s))\}) = Some([],\ N)$   
 $\langle proof \rangle$

**lemma** *check-*eqv*-complete*:  
**assumes**  $lang\ n\ r = lang\ n\ s\ wf\ n\ r\ wf\ n\ s$   
**shows** *check-*eqv**  $r\ s$   
 $\langle proof \rangle$

**lemma** *counterexample-complete*:  
**assumes**  $lang\ n\ r \neq lang\ n\ s$  **and** *WF*:  $wf\ n\ r\ wf\ n\ s$   
**shows**  $\exists w.\ counterexample\ r\ s = Some\ w$   
 $\langle proof \rangle$

**end**

**locale** *rexp-DA-no-post* = *rexp-DA*  $\sigma\ wf\text{-}atom\ project\ lookup\ init\ delta\ final\ wf\text{-}state$   
*id*  $L\ n$

**for**  $\sigma :: nat \Rightarrow 'a\ list$   
**and** *wf-atom*  $:: nat \Rightarrow 'b :: linorder \Rightarrow bool$   
**and** *project*  $:: 'a \Rightarrow 'a$   
**and** *lookup*  $:: 'b \Rightarrow 'a \Rightarrow bool$   
**and** *init*  $:: 'b\ rexp \Rightarrow 's$   
**and** *delta*  $:: 'a \Rightarrow 's \Rightarrow 's$   
**and** *final*  $:: 's \Rightarrow bool$   
**and** *wf-state*  $:: 's \Rightarrow bool$

```

and L :: 's ⇒ 'a lang
and n :: nat
begin

lemma step-efficient[code]: step (ws, N) =
  (let
    (r, s) = hd ws;
    new = remdups (filter (λ(r,s). (r,s) ∉ N) (map (λa. (delta a r, delta a s)) (σ
n)))
  in (tl ws @ new, set new ∪ N))
  ⟨proof⟩

end

locale rexp-DFA-no-post = rexp-DFA σ wf-atom project lookup init delta final
wf-state id L
  for σ :: nat ⇒ 'a list
  and wf-atom :: nat ⇒ 'b :: linorder ⇒ bool
  and project :: 'a ⇒ 'a
  and lookup :: 'b ⇒ 'a ⇒ bool
  and init :: 'b rexp ⇒ 's
  and delta :: 'a ⇒ 's ⇒ 's
  and final :: 's ⇒ bool
  and wf-state :: 's ⇒ bool
  and L :: 's ⇒ 'a lang
begin

sublocale rexp-DA-no-post ⟨proof⟩

end

locale rexp-DA-sim = project set o σ wf-atom project lookup
  for σ :: nat ⇒ 'a list
  and wf-atom :: nat ⇒ 'b :: linorder ⇒ bool
  and project :: 'a ⇒ 'a
  and lookup :: 'b ⇒ 'a ⇒ bool +
  fixes init :: 'b rexp ⇒ 's
  fixes sim-delta :: 's ⇒ 's list
  fixes final :: 's ⇒ bool
  fixes wf-state :: 's ⇒ bool
  fixes L :: 's ⇒ 'a lang
  fixes post :: 's ⇒ 's
  fixes n :: nat
  assumes L-init[simp]: wf n r ⇒ L (init r) = lang n r
  assumes final-iff-Nil[simp]: final s ⇔ [] ∈ L s
  assumes L-wf-state[dest]: wf-state s ⇒ L s ⊆ lists (set (σ n))
  assumes init-wf-state[simp]: wf n r ⇒ wf-state (init r)
  assumes L-post[simp]: wf-state s ⇒ L (post s) = L s
  assumes wf-state-post[simp]: wf-state s ⇒ wf-state (post s)

```



**assumes**  $L\text{-sim-delta}[simp]$ :  $wf\text{-state } s \implies map\ L\ (sim\text{-delta } s) = map\ (\lambda a. lQuot\ a\ (L\ s))\ (\sigma\ n)$   
**assumes**  $sim\text{-delta-wf-state}[simp]$ :  $wf\text{-state } s \implies \forall s' \in set\ (sim\text{-delta } s). wf\text{-state } s'$   
**begin**

**definition**  $delta\ a\ s = sim\text{-delta } s ! index\ (\sigma\ n)\ a$

**lemma**  $length\text{-sim-delta}[simp]$ :  $wf\text{-state } s \implies length\ (sim\text{-delta } s) = length\ (\sigma\ n)$   
 $\langle proof \rangle$

**lemma**  $L\text{-delta}[simp]$ :  $\llbracket a \in set\ (\sigma\ n); wf\text{-state } s \rrbracket \implies L\ (delta\ a\ s) = lQuot\ a\ (L\ s)$   
 $\langle proof \rangle$

**lemma**  $delta\text{-wf-state}[simp]$ :  $\llbracket a \in set\ (\sigma\ n); wf\text{-state } s \rrbracket \implies wf\text{-state } (delta\ a\ s)$   
 $\langle proof \rangle$

**sublocale**  $rexp\text{-DA } \sigma\ wf\text{-atom } project\ lookup\ init\ delta\ final\ wf\text{-state } post\ L$   
 $\langle proof \rangle$

**sublocale**  $rexp\text{-DA-sim-no-post}$ :  $rexp\text{-DA-no-post } \sigma\ wf\text{-atom } project\ lookup\ init\ delta\ final\ wf\text{-state } L$   
 $\langle proof \rangle$

**end**

## 7 Initial Normalization of the Input

**fun**  $toplevel\text{-inters}$  **where**  
 $toplevel\text{-inters } (Inter\ r\ s) = toplevel\text{-inters } r \cup toplevel\text{-inters } s$   
 $| toplevel\text{-inters } r = \{r\}$

**lemma**  $toplevel\text{-inters-nonempty}[simp]$ :  
 $toplevel\text{-inters } r \neq \{\}$   
 $\langle proof \rangle$

**lemma**  $toplevel\text{-inters-finite}[simp]$ :  
 $finite\ (toplevel\text{-inters } r)$   
 $\langle proof \rangle$

**context**  $alphabet$   
**begin**

**lemma**  $toplevel\text{-inters-wf}$ :  
 $wf\ n\ s = (\forall r \in toplevel\text{-inters } s. wf\ n\ r)$   
 $\langle proof \rangle$

**end**

**context** *project*  
**begin**

**lemma** *toplevel-inters-lang*:  
 $r \in \text{toplevel-inters } s \implies \text{lang } n \ s \subseteq \text{lang } n \ r$   
(*proof*)

**lemma** *toplevel-inters-lang-INT*:  
 $\text{lang } n \ s = (\bigcap_{r \in \text{toplevel-inters } s} \text{lang } n \ r)$   
(*proof*)

**lemma** *toplevel-inters-in-lang*:  
 $w \in \text{lang } n \ s = (\forall r \in \text{toplevel-inters } s. w \in \text{lang } n \ r)$   
(*proof*)

**lemma** *lang-flatten-INTERSECT-finite[simp]*:  
 $\text{finite } X \implies w \in \text{lang } n \ (\text{flatten } \text{INTERSECT } X) =$   
(*if*  $X = \{\}$  *then*  $w \in \text{lists } (\Sigma \ n)$  *else*  $(\forall r \in X. w \in \text{lang } n \ r)$ )  
(*proof*)

**end**

**fun** *merge-distinct* **where**  
   $\text{merge-distinct } [] \ xs = xs$   
|  $\text{merge-distinct } xs \ [] = xs$   
|  $\text{merge-distinct } (a \ \# \ xs) \ (b \ \# \ ys) =$   
  (*if*  $a = b$  *then*  $\text{merge-distinct } xs \ (b \ \# \ ys)$   
  *else if*  $a < b$  *then*  $a \ \# \ \text{merge-distinct } xs \ (b \ \# \ ys)$   
  *else*  $b \ \# \ \text{merge-distinct } (a \ \# \ xs) \ ys$ )

**lemma** *set-merge-distinct[simp]*:  $\text{set } (\text{merge-distinct } xs \ ys) = \text{set } xs \cup \text{set } ys$   
(*proof*)

**lemma** *sorted-merge-distinct[simp]*:  $\llbracket \text{sorted } xs; \text{sorted } ys \rrbracket \implies \text{sorted } (\text{merge-distinct } xs \ ys)$   
(*proof*)

**lemma** *distinct-merge-distinct[simp]*:  $\llbracket \text{sorted } xs; \text{distinct } xs; \text{sorted } ys; \text{distinct } ys \rrbracket$   
 $\implies$   
 $\text{distinct } (\text{merge-distinct } xs \ ys)$   
(*proof*)

**lemma** *sorted-list-of-set-merge-distinct[simp]*:  $\llbracket \text{sorted } xs; \text{distinct } xs; \text{sorted } ys; \text{distinct } ys \rrbracket \implies$   
 $\text{merge-distinct } xs \ ys = \text{sorted-list-of-set } (\text{set } xs \cup \text{set } ys)$   
(*proof*)

**fun** *zip-with-option* **where**  
*zip-with-option*  $f$  (*Some*  $a$ ) (*Some*  $b$ ) = *Some* ( $f$   $a$   $b$ )  
| *zip-with-option* - - - = *None*

**lemma** *zip-with-option-eq-Some*[*simp*]:  
*zip-with-option*  $f$   $x$   $y$  = *Some*  $z$   $\longleftrightarrow$  ( $\exists a b. z = f a b \wedge x = \text{Some } a \wedge y = \text{Some } b$ )  
⟨*proof*⟩

**fun** *Pluss* **where**  
*Pluss* (*Plus*  $r$   $s$ ) = *zip-with-option merge-distinct* (*Pluss*  $r$ ) (*Pluss*  $s$ )  
| *Pluss* *Zero* = *Some* []  
| *Pluss* *Full* = *None*  
| *Pluss*  $r$  = *Some* [ $r$ ]

**lemma** *Pluss-None*[*symmetric*]: *Pluss*  $r$  = *None*  $\longleftrightarrow$  *Full*  $\in$  *toplevel-summands*  $r$   
⟨*proof*⟩

**lemma** *Pluss-Some*: *Pluss*  $r$  = *Some*  $xs$   $\longleftrightarrow$   
(*Full*  $\notin$  *set*  $xs \wedge xs = \text{sorted-list-of-set} (\text{toplevel-summands } r - \{\text{Zero}\})$ )  
⟨*proof*⟩

**fun** *Inters* **where**  
*Inters* (*Inter*  $r$   $s$ ) = *zip-with-option merge-distinct* (*Inters*  $r$ ) (*Inters*  $s$ )  
| *Inters* *Zero* = *None*  
| *Inters* *Full* = *Some* []  
| *Inters*  $r$  = *Some* [ $r$ ]

**lemma** *Inters-None*[*symmetric*]: *Inters*  $r$  = *None*  $\longleftrightarrow$  *Zero*  $\in$  *toplevel-inters*  $r$   
⟨*proof*⟩

**lemma** *Inters-Some*: *Inters*  $r$  = *Some*  $xs$   $\longleftrightarrow$   
(*Zero*  $\notin$  *set*  $xs \wedge xs = \text{sorted-list-of-set} (\text{toplevel-inters } r - \{\text{Full}\})$ )  
⟨*proof*⟩

**definition** *inPlus* **where**  
*inPlus*  $r$   $s$  = (*case* *Pluss* (*Plus*  $r$   $s$ ) *of* *None*  $\Rightarrow$  *Full* | *Some*  $rs \Rightarrow$  *PLUS*  $rs$ )

**lemma** *inPlus-alt*: *inPlus*  $r$   $s$  = (*let*  $X = \text{toplevel-summands} (\text{Plus } r s) - \{\text{Zero}\}$   
*in*  
*flatten* *PLUS* (*if* *Full*  $\in$   $X$  *then*  $\{\text{Full}\}$  *else*  $X$ )  
⟨*proof*⟩

**fun** *inTimes* **where**  
*inTimes* *Zero* - = *Zero*  
| *inTimes* - *Zero* = *Zero*  
| *inTimes* *One*  $r$  =  $r$   
| *inTimes*  $r$  *One* =  $r$

|  $inTimes (Times r s) t = Times r (inTimes s t)$   
|  $inTimes r s = Times r s$

**fun** *inStar* **where**

*inStar* Zero = One  
| *inStar* Full = Full  
| *inStar* One = One  
| *inStar* (Star r) = Star r  
| *inStar* r = Star r

**definition** *inInter* **where**

*inInter* r s = (case *Inters* (Inter r s) of None  $\Rightarrow$  Zero | Some rs  $\Rightarrow$  INTERSECT rs)

**lemma** *inInter-alt*:  $inInter r s = (let X = toplevel-inters (Inter r s) - \{Full\}$  in  
  flatten INTERSECT (if Zero  $\in$  X then {Zero} else X))  
⟨proof⟩

**fun** *inNot* **where**

*inNot* Zero = Full  
| *inNot* Full = Zero  
| *inNot* (Not r) = r  
| *inNot* (Plus r s) = Inter (*inNot* r) (*inNot* s)  
| *inNot* (Inter r s) = Plus (*inNot* r) (*inNot* s)  
| *inNot* r = Not r

**fun** *inPr* **where**

*inPr* Zero = Zero  
| *inPr* One = One  
| *inPr* (Plus r s) = Plus (*inPr* r) (*inPr* s)  
| *inPr* r = Pr r

**primrec** *inorm* **where**

*inorm* Zero = Zero  
| *inorm* Full = Full  
| *inorm* One = One  
| *inorm* (Atom a) = Atom a  
| *inorm* (Plus r s) = Plus (*inorm* r) (*inorm* s)  
| *inorm* (Times r s) = Times (*inorm* r) (*inorm* s)  
| *inorm* (Star r) = *inStar* (*inorm* r)  
| *inorm* (Not r) = *inNot* (*inorm* r)  
| *inorm* (Inter r s) = *inInter* (*inorm* r) (*inorm* s)  
| *inorm* (Pr r) = *inPr* (*inorm* r)

**context** *alphabet* **begin**

**lemma** *wf-inPlus[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \Longrightarrow wf\ n\ (inPlus\ r\ s)$   
⟨proof⟩

**lemma** *wf-inTimes[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies wf\ n\ (inTimes\ r\ s)$   
*<proof>*

**lemma** *wf-inStar[simp]*:  $wf\ n\ r \implies wf\ n\ (inStar\ r)$   
*<proof>*

**lemma** *wf-inInter[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies wf\ n\ (inInter\ r\ s)$   
*<proof>*

**lemma** *wf-inNot[simp]*:  $wf\ n\ r \implies wf\ n\ (inNot\ r)$   
*<proof>*

**lemma** *wf-inPr[simp]*:  $wf\ (Suc\ n)\ r \implies wf\ n\ (inPr\ r)$   
*<proof>*

**lemma** *wf-inorm[simp]*:  $wf\ n\ r \implies wf\ n\ (inorm\ r)$   
*<proof>*

**end**

**context** *project begin*

**lemma** *lang-inPlus[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies lang\ n\ (inPlus\ r\ s) = lang\ n\ (Plus\ r\ s)$   
*<proof>*

**lemma** *lang-inTimes[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies lang\ n\ (inTimes\ r\ s) = lang\ n\ (Times\ r\ s)$   
*<proof>*

**lemma** *lang-inStar[simp]*:  $wf\ n\ r \implies lang\ n\ (inStar\ r) = lang\ n\ (Star\ r)$   
*<proof>*

**lemma** *Zero-toplevel-inters[dest]*:  $Zero \in toplevel-inters\ r \implies lang\ n\ r = \{\}$   
*<proof>*

**lemma** *toplevel-inters-Full*:  $\llbracket toplevel-inters\ r = \{Full\}; wf\ n\ r \rrbracket \implies lang\ n\ r = lists\ (\Sigma\ n)$   
*<proof>*

**lemma** *toplevel-inters-subset-singleton[simp]*:  $toplevel-inters\ r \subseteq \{s\} \iff toplevel-inters\ r = \{s\}$   
*<proof>*

**lemma** *lang-inInter[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies lang\ n\ (inInter\ r\ s) = lang\ n\ (Inter\ r\ s)$   
*<proof>*

**lemma** *lang-inNot[simp]*:  $wf\ n\ r \implies lang\ n\ (inNot\ r) = lang\ n\ (Not\ r)$

*<proof>*

**lemma** *lang-inPr[simp]*:  $wf (Suc\ n)\ r \implies lang\ n\ (inPr\ r) = lang\ n\ (Pr\ r)$   
*<proof>*

**lemma** *lang-inorm[simp]*:  $wf\ n\ r \implies lang\ n\ (inorm\ r) = lang\ n\ r$   
*<proof>*

**end**

## 8 Partial Derivatives-like Normalization

**fun** *pnPlus* ::  $'a::linorder\ rexp \Rightarrow 'a\ rexp \Rightarrow 'a\ rexp$  **where**  
  *pnPlus Zero*  $r = r$   
  | *pnPlus r Zero*  $= r$  | *pnPlus (Plus r s) t*  $= pnPlus\ r\ (pnPlus\ s\ t)$   
  | *pnPlus r (Plus s t)*  $=$   
    *(if r = s then (Plus s t)*  
    *else if r ≤ s then Plus r (Plus s t)*  
    *else Plus s (pnPlus r t)*  
  | *pnPlus r s*  $=$   
    *(if r = s then r*  
    *else if r ≤ s then Plus r s*  
    *else Plus s r)*

**lemma** (**in** *alphabet*) *wf-pnPlus[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies wf\ n\ (pnPlus\ r\ s)$   
*<proof>*

**lemma** (**in** *project*) *lang-pnPlus[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies lang\ n\ (pnPlus\ r\ s) =$   
 $lang\ n\ (Plus\ r\ s)$   
*<proof>*

**fun** *pnTimes* ::  $'a::linorder\ rexp \Rightarrow 'a\ rexp \Rightarrow 'a\ rexp$  **where**  
  *pnTimes Zero*  $r = Zero$   
  | *pnTimes One*  $r = r$   
  | *pnTimes (Plus r s) t*  $= pnPlus\ (pnTimes\ r\ t)\ (pnTimes\ s\ t)$   
  | *pnTimes r s*  $= Times\ r\ s$

**lemma** (**in** *alphabet*) *wf-pnTimes[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies wf\ n\ (pnTimes\ r\ s)$   
*<proof>*

**lemma** (**in** *project*) *lang-pnTimes[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies lang\ n\ (pnTimes\ r\ s) =$   
 $lang\ n\ (Times\ r\ s)$   
*<proof>*

**fun** *pnInter* ::  $'a::linorder\ rexp \Rightarrow 'a\ rexp \Rightarrow 'a\ rexp$  **where**  
  *pnInter Zero*  $r = Zero$   
  | *pnInter r Zero*  $= Zero$

```

| pnInter Full r = r
| pnInter r Full = r
| pnInter (Plus r s) t = pnPlus (pnInter r t) (pnInter s t)
| pnInter r (Plus s t) = pnPlus (pnInter r s) (pnInter r t)
| pnInter (Inter r s) t = pnInter r (pnInter s t)
| pnInter r (Inter s t) =
  (if r = s then Inter s t
   else if r ≤ s then Inter r (Inter s t)
   else Inter s (pnInter r t))
| pnInter r s =
  (if r = s then s
   else if r ≤ s then Inter r s
   else Inter s r)

```

**lemma** (in *alphabet*) *wf-pnInter[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies wf\ n\ (pnInter\ r\ s)$   
 ⟨*proof*⟩

**lemma** (in *project*) *lang-pnInter[simp]*:  $\llbracket wf\ n\ r; wf\ n\ s \rrbracket \implies lang\ n\ (pnInter\ r\ s)$   
 =  $lang\ n\ (Inter\ r\ s)$   
 ⟨*proof*⟩

```

fun pnNot :: 'a::linorder rexp ⇒ 'a rexp where
  pnNot (Plus r s) = pnInter (pnNot r) (pnNot s)
| pnNot (Inter r s) = pnPlus (pnNot r) (pnNot s)
| pnNot Full = Zero
| pnNot Zero = Full
| pnNot (Not r) = r
| pnNot r = Not r

```

**lemma** (in *alphabet*) *wf-pnNot[simp]*:  $wf\ n\ r \implies wf\ n\ (pnNot\ r)$   
 ⟨*proof*⟩

**lemma** (in *project*) *lang-pnNot[simp]*:  $wf\ n\ r \implies lang\ n\ (pnNot\ r) = lang\ n\ (Not\ r)$   
 ⟨*proof*⟩

```

fun pnPr :: 'a::linorder rexp ⇒ 'a rexp where
  pnPr Zero = Zero
| pnPr One = One
| pnPr (Plus r s) = pnPlus (pnPr r) (pnPr s)
| pnPr r = Pr r

```

**lemma** (in *alphabet*) *wf-pnPr[simp]*:  $wf\ (Suc\ n)\ r \implies wf\ n\ (pnPr\ r)$   
 ⟨*proof*⟩

**lemma** (in *project*) *lang-pnPr[simp]*:  $wf\ (Suc\ n)\ r \implies lang\ n\ (pnPr\ r) = lang\ n\ (Pr\ r)$   
 ⟨*proof*⟩

**primrec**  $pnorm :: 'a::linorder\ rexp \Rightarrow 'a\ rexp$  **where**

$pnorm\ Zero = Zero$   
 $| pnorm\ Full = Full$   
 $| pnorm\ One = One$   
 $| pnorm\ (Atom\ a) = Atom\ a$   
 $| pnorm\ (Plus\ r\ s) = pnPlus\ (pnorm\ r)\ (pnorm\ s)$   
 $| pnorm\ (Times\ r\ s) = pnTimes\ (pnorm\ r)\ s$   
 $| pnorm\ (Star\ r) = Star\ r$   
 $| pnorm\ (Inter\ r\ s) = pnInter\ (pnorm\ r)\ (pnorm\ s)$   
 $| pnorm\ (Not\ r) = pnNot\ (pnorm\ r)$   
 $| pnorm\ (Pr\ r) = pnPr\ (pnorm\ r)$

**lemma** (in *alphabet*)  $wf\text{-}pnorm[simp]: wf\ n\ r \Longrightarrow wf\ n\ (pnorm\ r)$   
*<proof>*

**lemma** (in *project*)  $lang\text{-}pnorm[simp]: lang\ n\ r \Longrightarrow lang\ n\ (pnorm\ r) = lang\ n\ r$   
*<proof>*

## 9 Monadic Second-Order Logic Formulas

### 9.1 Interpretations and Encodings

**type-synonym**  $'a\ interp = 'a\ list \times (nat + nat\ set)\ list$

**abbreviation**  $enc\text{-}atom\text{-}bool\ I\ n \equiv map\ (\lambda x. case\ x\ of\ Inl\ p \Rightarrow n = p \mid Inr\ P \Rightarrow n \in P)\ I$

**abbreviation**  $enc\text{-}atom\ I\ n\ a \equiv (a, enc\text{-}atom\text{-}bool\ I\ n)$

### 9.2 Syntax and Semantics of MSO

**datatype**  $'a\ formula =$   
 $FQ\ 'a\ nat$   
 $| FLess\ nat\ nat$   
 $| FIn\ nat\ nat$   
 $| FNot\ 'a\ formula$   
 $| FOr\ 'a\ formula\ 'a\ formula$   
 $| FAnd\ 'a\ formula\ 'a\ formula$   
 $| FExists\ 'a\ formula$   
 $| FEXISTS\ 'a\ formula$

**primrec**  $FOV :: 'a\ formula \Rightarrow nat\ set$  **where**

$FOV\ (FQ\ a\ m) = \{m\}$   
 $| FOV\ (FLess\ m1\ m2) = \{m1, m2\}$   
 $| FOV\ (FIn\ m\ M) = \{m\}$   
 $| FOV\ (FNot\ \varphi) = FOV\ \varphi$   
 $| FOV\ (FOr\ \varphi_1\ \varphi_2) = FOV\ \varphi_1 \cup FOV\ \varphi_2$   
 $| FOV\ (FAnd\ \varphi_1\ \varphi_2) = FOV\ \varphi_1 \cup FOV\ \varphi_2$   
 $| FOV\ (FExists\ \varphi) = (\lambda x. x - 1) ` (FOV\ \varphi - \{0\})$



|  $FOV (FEXISTS \varphi) = (\lambda x. x - 1) \text{ ' } FOV \varphi$

**primrec**  $SOV :: \text{'a formula} \Rightarrow \text{nat set where}$

$SOV (FQ a m) = \{\}$   
|  $SOV (FLess m1 m2) = \{\}$   
|  $SOV (FIn m M) = \{M\}$   
|  $SOV (FNot \varphi) = SOV \varphi$   
|  $SOV (FOr \varphi_1 \varphi_2) = SOV \varphi_1 \cup SOV \varphi_2$   
|  $SOV (FAnd \varphi_1 \varphi_2) = SOV \varphi_1 \cup SOV \varphi_2$   
|  $SOV (FExists \varphi) = (\lambda x. x - 1) \text{ ' } SOV \varphi$   
|  $SOV (FEXISTS \varphi) = (\lambda x. x - 1) \text{ ' } (SOV \varphi - \{0\})$

**definition**  $\sigma = (\lambda \Sigma n. concat (map (\lambda bs. map (\lambda a. (a, bs)) \Sigma) (List.n-lists n [True, False])))$

**definition**  $\pi = (\lambda (a, bs). (a, tl bs))$

**definition**  $\varepsilon = (\lambda \Sigma (a::\text{'a}, bs). \text{if } a \in \text{set } \Sigma \text{ then } [(a, True \# bs), (a, False \# bs)] \text{ else } [])$

**datatype**  $\text{'a atom} =$

$Singleton \text{'a bool list}$   
|  $AQ \text{ nat 'a}$   
|  $Arbitrary-Except \text{ nat bool}$   
|  $Arbitrary-Except2 \text{ nat nat}$

**derive**  $linorder \text{ atom}$

**fun**  $wf\text{-atom where}$

$wf\text{-atom } \Sigma n (Singleton a bs) = (a \in \text{set } \Sigma \wedge \text{length } bs = n)$   
|  $wf\text{-atom } \Sigma n (AQ m a) = (a \in \text{set } \Sigma \wedge m < n)$   
|  $wf\text{-atom } \Sigma n (Arbitrary-Except m -) = (m < n)$   
|  $wf\text{-atom } \Sigma n (Arbitrary-Except2 m1 m2) = (m1 < n \wedge m2 < n)$

**fun**  $lookup \text{ where}$

$lookup (Singleton a' bs') (a, bs) = (a = a' \wedge bs = bs')$   
|  $lookup (AQ m a') (a, bs) = (a = a' \wedge bs ! m)$   
|  $lookup (Arbitrary-Except m b) (-, bs) = (bs ! m = b)$   
|  $lookup (Arbitrary-Except2 m1 m2) (-, bs) = (bs ! m1 \wedge bs ! m2)$

**lemma**  $\pi\text{-}\sigma: \pi \text{ ' } (\text{set } o \sigma \Sigma) (n + 1) = (\text{set } o \sigma \Sigma) n$   
 $\langle \text{proof} \rangle$

**locale**  $\text{formula} = \text{embed2 set } o (\sigma \Sigma) wf\text{-atom } \Sigma \pi \text{ lookup } \varepsilon \Sigma \text{ case-prod Singleton}$

**for**  $\Sigma :: \text{'a} :: \text{linorder list} +$

**assumes**  $\text{nonempty: } \Sigma \neq []$

**begin**

**abbreviation**  $\Sigma\text{-product-lists } n \equiv$

$List.maps (\lambda bools. map (\lambda a. (a, bools)) \Sigma) (\text{bool-product-lists } n)$

**primrec** *pre-wf-formula* :: *nat*  $\Rightarrow$  '*a formula*  $\Rightarrow$  *bool* **where**

$pre-wf-formula\ n\ (FQ\ a\ m) = (a \in set\ \Sigma \wedge m < n)$   
 $| pre-wf-formula\ n\ (FLess\ m1\ m2) = (m1 < n \wedge m2 < n)$   
 $| pre-wf-formula\ n\ (FIn\ m\ M) = (m < n \wedge M < n)$   
 $| pre-wf-formula\ n\ (FNot\ \varphi) = pre-wf-formula\ n\ \varphi$   
 $| pre-wf-formula\ n\ (FOr\ \varphi_1\ \varphi_2) = (pre-wf-formula\ n\ \varphi_1 \wedge pre-wf-formula\ n\ \varphi_2)$   
 $| pre-wf-formula\ n\ (FAnd\ \varphi_1\ \varphi_2) = (pre-wf-formula\ n\ \varphi_1 \wedge pre-wf-formula\ n\ \varphi_2)$   
 $| pre-wf-formula\ n\ (FExists\ \varphi) = (pre-wf-formula\ (n + 1)\ \varphi \wedge \theta \in FOV\ \varphi \wedge \theta \notin SOV\ \varphi)$   
 $| pre-wf-formula\ n\ (FEXISTS\ \varphi) = (pre-wf-formula\ (n + 1)\ \varphi \wedge \theta \notin FOV\ \varphi \wedge \theta \in SOV\ \varphi)$

**abbreviation** *closed*  $\equiv pre-wf-formula\ 0$

**definition** [*simp*]: *wf-formula* *n*  $\varphi \equiv pre-wf-formula\ n\ \varphi \wedge FOV\ \varphi \cap SOV\ \varphi = \{\}$

**lemma** *max-idx-vars*: *pre-wf-formula* *n*  $\varphi \implies \forall p \in FOV\ \varphi \cup SOV\ \varphi. p < n$   
*<proof>*

**lemma** *finite-FOV*: *finite* (*FOV*  $\varphi$ )  
*<proof>*

### 9.3 ENC

**definition** *valid-ENC* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  ('*a atom*) *rexp* **where**

$valid-ENC\ n\ p = (if\ n = 0\ then\ Full\ else$   
 $\quad TIMES\ [$   
 $\quad\quad Star\ (Atom\ (Arbitrary-Except\ p\ False)),$   
 $\quad\quad Atom\ (Arbitrary-Except\ p\ True),$   
 $\quad\quad Star\ (Atom\ (Arbitrary-Except\ p\ False))])$

**lemma** *wf-rexp-valid-ENC*:  $n = 0 \vee p < n \implies wf\ n\ (valid-ENC\ n\ p)$   
*<proof>*

**definition** *ENC* :: *nat*  $\Rightarrow$  *nat* *set*  $\Rightarrow$  ('*a atom*) *rexp* **where**

$ENC\ n\ V = flatten\ INTERSECT\ (valid-ENC\ n\ `V)$

**lemma** *wf-rexp-ENC*:  $\llbracket finite\ V; n = 0 \vee (\forall v \in V. v < n) \rrbracket \implies wf\ n\ (ENC\ n\ V)$   
*<proof>*

**lemma** *enc-atom- $\sigma$ -eq*:  $i < length\ w \implies$   
 $(length\ I = n \wedge p \in set\ \Sigma) \longleftrightarrow enc-atom\ I\ i\ p \in set\ (\sigma\ \Sigma\ n)$   
*<proof>*

**lemmas** *enc-atom- $\sigma$*  = *iffD1*[*OF* *enc-atom- $\sigma$ -eq*, *OF* - *conjI*]

**lemma** *enc-atom-bool-take-drop-True*:

$\llbracket r < length\ I; case\ I\ !\ r\ of\ Inl\ p' \Rightarrow p = p' \mid Inr\ P \Rightarrow p \in P \rrbracket \implies$   
 $enc-atom-bool\ I\ p = take\ r\ (enc-atom-bool\ I\ p) @ True \# drop\ (Suc\ r)$

(*enc-atom-bool I p*)  
 ⟨*proof*⟩

**lemma** *enc-atom-bool-take-drop-True2*:

[[*r < length I*; *case I ! r of Inl p' ⇒ p = p' | Inr P ⇒ p ∈ P*;  
*s < length I*; *case I ! s of Inl p' ⇒ p = p' | Inr P ⇒ p ∈ P*; *r < s*]] ⇒  
*enc-atom-bool I p = take r (enc-atom-bool I p) @ True #*  
*take (s - Suc r) (drop (Suc r) (enc-atom-bool I p)) @ True #*  
*drop (Suc s) (enc-atom-bool I p)*  
 ⟨*proof*⟩

**lemma** *enc-atom-bool-take-drop-False*:

[[*r < length I*; *case I ! r of Inl p' ⇒ p ≠ p' | Inr P ⇒ p ∉ P*]] ⇒  
*enc-atom-bool I p = take r (enc-atom-bool I p) @ False # drop (Suc r)*  
 (*enc-atom-bool I p*)  
 ⟨*proof*⟩

**lemma** *enc-atom-lang-AQ*: [[*r < length I*;

*case I ! r of Inl p' ⇒ p = p' | Inr P ⇒ p ∈ P*; *length I = n*; *a ∈ set Σ*]] ⇒  
 [*enc-atom I p a*] ∈ *lang n (Atom (AQ r a))*  
 ⟨*proof*⟩

**lemma** *enc-atom-lang-Arbitrary-Except-True*: [[*r < length I*;

*case I ! r of Inl p' ⇒ p = p' | Inr P ⇒ p ∈ P*; *length I = n*; *a ∈ set Σ*]] ⇒  
 [*enc-atom I p a*] ∈ *lang n (Atom (Arbitrary-Except r True))*  
 ⟨*proof*⟩

**lemma** *enc-atom-lang-Arbitrary-Except2*: [[*r < length I*; *s < length I*;

*case I ! r of Inl p' ⇒ p = p' | Inr P ⇒ p ∈ P*;  
*case I ! s of Inl p' ⇒ p = p' | Inr P ⇒ p ∈ P*; *length I = n*; *a ∈ set Σ*]] ⇒  
 [*enc-atom I p a*] ∈ *lang n (Atom (Arbitrary-Except2 r s))*  
 ⟨*proof*⟩

**lemma** *enc-atom-lang-Arbitrary-Except-False*: [[*r < length I*;

*case I ! r of Inl p' ⇒ p ≠ p' | Inr P ⇒ p ∉ P*; *length I = n*; *a ∈ set Σ*]] ⇒  
 [*enc-atom I p a*] ∈ *lang n (Atom (Arbitrary-Except r False))*  
 ⟨*proof*⟩

**lemma** *AQ-D*:

**assumes** *v ∈ lang n (Atom (AQ m a)) m < n a ∈ set Σ*  
**shows**  $\exists x. v = [x] \wedge \text{fst } x = a \wedge \text{snd } x ! m$   
 ⟨*proof*⟩

**lemma** *Arbitrary-ExceptD*:

**assumes** *v ∈ lang n (Atom (Arbitrary-Except r b)) r < n*  
**shows**  $\exists x. v = [x] \wedge \text{snd } x ! r = b$   
 ⟨*proof*⟩

**lemma** *Arbitrary-Except2D*:

**assumes**  $v \in \text{lang } n \text{ (Atom (Arbitrary-Except2 } r \text{ } s))}$   $r < n$   $s < n$   
**shows**  $\exists x. v = [x] \wedge \text{snd } x ! r \wedge \text{snd } x ! s$   
 $\langle \text{proof} \rangle$

**lemma** *star-Arbitrary-ExceptD*:

$\llbracket v \in \text{star (lang } n \text{ (Atom (Arbitrary-Except } r \text{ } b)))}; r < n; i < \text{length } v \rrbracket \implies$   
 $\text{snd } (v ! i) ! r = b$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 10 M2L

### 10.1 Encodings

**context** *formula*

**begin**

**fun** *enc* :: 'a *interp*  $\Rightarrow$  ('a  $\times$  *bool list*) *list* **where**  
 $\text{enc } (w, I) = \text{map-index (enc-atom } I) w$

**abbreviation** *wf-interp*  $w I \equiv (\text{length } w > 0 \wedge$   
 $(\forall a \in \text{set } w. a \in \text{set } \Sigma) \wedge$   
 $(\forall x \in \text{set } I. \text{case } x \text{ of Inl } p \Rightarrow p < \text{length } w \mid \text{Inr } P \Rightarrow \forall p \in P. p < \text{length } w))$

**fun** *wf-interp-for-formula* :: 'a *interp*  $\Rightarrow$  'a *formula*  $\Rightarrow$  *bool* **where**  
 $\text{wf-interp-for-formula } (w, I) \varphi =$   
 $(\text{wf-interp } w I \wedge$   
 $(\forall n \in \text{FOV } \varphi. \text{case } I ! n \text{ of Inl } - \Rightarrow \text{True} \mid - \Rightarrow \text{False}) \wedge$   
 $(\forall n \in \text{SOV } \varphi. \text{case } I ! n \text{ of Inl } - \Rightarrow \text{False} \mid - \Rightarrow \text{True}))$

**fun** *satisfies* :: 'a *interp*  $\Rightarrow$  'a *formula*  $\Rightarrow$  *bool* (**infix**  $\models$  50) **where**  
 $(w, I) \models \text{FQ } a \text{ } m = (w ! (\text{case } I ! m \text{ of Inl } p \Rightarrow p) = a)$   
 $\mid (w, I) \models \text{FLess } m1 \text{ } m2 = ((\text{case } I ! m1 \text{ of Inl } p \Rightarrow p) < (\text{case } I ! m2 \text{ of Inl } p \Rightarrow p))$   
 $\mid (w, I) \models \text{FIn } m \text{ } M = ((\text{case } I ! m \text{ of Inl } p \Rightarrow p) \in (\text{case } I ! M \text{ of Inr } P \Rightarrow P))$   
 $\mid (w, I) \models \text{FNot } \varphi = (\neg (w, I) \models \varphi)$   
 $\mid (w, I) \models \text{FOR } \varphi_1 \text{ } \varphi_2 = ((w, I) \models \varphi_1 \vee (w, I) \models \varphi_2)$   
 $\mid (w, I) \models \text{FAnd } \varphi_1 \text{ } \varphi_2 = ((w, I) \models \varphi_1 \wedge (w, I) \models \varphi_2)$   
 $\mid (w, I) \models \text{FExists } \varphi = (\exists p. p \in \{0 .. \text{length } w - 1\} \wedge (w, \text{Inl } p \# I) \models \varphi)$   
 $\mid (w, I) \models \text{FEXISTS } \varphi = (\exists P. P \subseteq \{0 .. \text{length } w - 1\} \wedge (w, \text{Inr } P \# I) \models \varphi)$

**definition** *lang<sub>M2L</sub>* :: *nat*  $\Rightarrow$  'a *formula*  $\Rightarrow$  ('a  $\times$  *bool list*) *list set* **where**  
 $\text{lang}_{M2L} n \varphi = \{\text{enc } (w, I) \mid w I.$   
 $\text{length } I = n \wedge \text{wf-interp-for-formula } (w, I) \varphi \wedge \text{satisfies } (w, I) \varphi\}$

**definition** *dec-word*  $\equiv \text{map fst}$

**definition** *positions-in-row*  $w\ i =$   
*Option.these* (set (map-index ( $\lambda p\ a\ bs.$  if nth (snd a-bs)  $i$  then Some  $p$  else None)  
 $w$ ))

**definition** *dec-interp*  $n\ FO\ (w :: ('a \times bool\ list)\ list) \equiv map\ (\lambda i.$   
 if  $i \in FO$   
 then *Inl* (*the-elem* (*positions-in-row*  $w\ i$ ))  
 else *Inr* (*positions-in-row*  $w\ i$ ) [0.. $n$ ]

**lemma** *positions-in-row*: *positions-in-row*  $w\ i = \{p.\ p < length\ w \wedge snd\ (w\ !\ p)\ !\ i\}$   
 ⟨proof⟩

**lemma** *positions-in-row-unique*:  $\exists!p.\ p < length\ w \wedge snd\ (w\ !\ p)\ !\ i \implies$   
*the-elem* (*positions-in-row*  $w\ i$ ) = (*THE*  $p.\ p < length\ w \wedge snd\ (w\ !\ p)\ !\ i$ )  
 ⟨proof⟩

**lemma** *positions-in-row-length*:  $\exists!p.\ p < length\ w \wedge snd\ (w\ !\ p)\ !\ i \implies$   
*the-elem* (*positions-in-row*  $w\ i$ ) < length  $w$   
 ⟨proof⟩

**lemma** *dec-interp-Inl*:  $\llbracket i \in FO; i < n \rrbracket \implies \exists p.\ dec-interp\ n\ FO\ x\ !\ i = Inl\ p$   
 ⟨proof⟩

**lemma** *dec-interp-not-Inr*:  $\llbracket dec-interp\ n\ FO\ x\ !\ i = Inr\ P; i \in FO; i < n \rrbracket \implies$   
*False*  
 ⟨proof⟩

**lemma** *dec-interp-Inr*:  $\llbracket i \notin FO; i < n \rrbracket \implies \exists P.\ dec-interp\ n\ FO\ x\ !\ i = Inr\ P$   
 ⟨proof⟩

**lemma** *dec-interp-not-Inl*:  $\llbracket dec-interp\ n\ FO\ x\ !\ i = Inl\ p; i \notin FO; i < n \rrbracket \implies$   
*False*  
 ⟨proof⟩

**lemma** *Inl-dec-interp-length*:  
**assumes**  $\forall i \in FO.\ \exists!p.\ p < length\ w \wedge snd\ (w\ !\ p)\ !\ i$   
**shows**  $Inl\ p \in set\ (dec-interp\ n\ FO\ w) \implies p < length\ w$   
 ⟨proof⟩

**lemma** *Inr-dec-interp-length*:  $\llbracket Inr\ P \in set\ (dec-interp\ n\ FO\ w); p \in P \rrbracket \implies p <$   
 length  $w$   
 ⟨proof⟩

**lemma** *the-elem-Collect[simp]*:  
**assumes**  $\exists!x.\ P\ x$   
**shows** *the-elem* (*Collect*  $P$ ) = (*The*  $P$ )  
 ⟨proof⟩

**lemma** *enc-atom-dec*:

$\llbracket \text{wf-word } n \ w; \forall i \in FO. i < n \longrightarrow (\exists ! p. p < \text{length } w \wedge \text{snd } (w ! p) ! i); p < \text{length } w \rrbracket \Longrightarrow$   
 $\text{enc-atom } (\text{dec-interp } n \ FO \ w) \ p \ (\text{fst } (w ! p)) = w ! p$   
 $\langle \text{proof} \rangle$

**lemma** *enc-dec*:

$\llbracket \text{wf-word } n \ w; \forall i \in FO. i < n \longrightarrow (\exists ! p. p < \text{length } w \wedge \text{snd } (w ! p) ! i) \rrbracket \Longrightarrow$   
 $\text{enc } (\text{dec-word } w, \text{dec-interp } n \ FO \ w) = w$   
 $\langle \text{proof} \rangle$

**lemma** *dec-word-enc*:  $\text{dec-word } (\text{enc } (w, I)) = w$

$\langle \text{proof} \rangle$

**lemma** *enc-unique*:

**assumes**  $\text{wf-interp } w \ I \ i < \text{length } I$

**shows**  $\exists p. I ! i = \text{Inl } p \Longrightarrow \exists ! p. p < \text{length } (\text{enc } (w, I)) \wedge \text{snd } (\text{enc } (w, I) ! p) ! i$

$\langle \text{proof} \rangle$

**lemma** *dec-interp-enc-Inl*:

$\llbracket \text{dec-interp } n \ FO \ (\text{enc } (w, I)) ! i = \text{Inl } p'; I ! i = \text{Inl } p; i \in FO; i < n; \text{length } I = n; p < \text{length } w; \text{wf-interp } w \ I \rrbracket \Longrightarrow$   
 $p = p'$   
 $\langle \text{proof} \rangle$

**lemma** *dec-interp-enc-Inr*:

$\llbracket \text{dec-interp } n \ FO \ (\text{enc } (w, I)) ! i = \text{Inr } P'; I ! i = \text{Inr } P; i \notin FO; i < n; \text{length } I = n; \forall p \in P. p < \text{length } w \rrbracket \Longrightarrow$   
 $P = P'$   
 $\langle \text{proof} \rangle$

**lemma** *length-dec-interp[simp]*:  $\text{length } (\text{dec-interp } n \ FO \ x) = n$

$\langle \text{proof} \rangle$

**lemma** *nth-dec-interp[simp]*:  $i < n \Longrightarrow \text{dec-interp } n \ \{ \} \ x ! i = \text{Inr } (\text{positions-in-row } x \ i)$

$\langle \text{proof} \rangle$

**lemma** *set- $\sigma D$ [simp]*:  $(a, bs) \in \text{set } (\sigma \ \Sigma \ n) \Longrightarrow a \in \text{set } \Sigma$

$\langle \text{proof} \rangle$

**lemma** *lang-ENC*:

**assumes**  $FO \subseteq \{0 ..< n\}$   $SO \subseteq \{0 ..< n\} - FO$

**shows**  $\text{lang } n \ (\text{ENC } n \ FO) - \{ \} = \{ \text{enc } (w, I) \mid w \ I . \text{length } I = n \wedge \text{wf-interp } w \ I \wedge$

$(\forall i \in FO. \text{case } I ! i \text{ of } \text{Inl } - \Rightarrow \text{True} \mid \text{Inr } - \Rightarrow \text{False}) \wedge$

$(\forall i \in SO. \text{case } I ! i \text{ of } Inl - \Rightarrow \text{False} \mid Inr - \Rightarrow \text{True})\}$   
 $(\text{is } ?L = ?R)$   
 $\langle \text{proof} \rangle$

**lemma** *lang-ENC-formula:*

**assumes** *wf-formula*  $n \ \varphi$   
**shows**  $\text{lang } n \ (\text{ENC } n \ (\text{FOV } \varphi)) - \{\ [] \} = \{ \text{enc } (w, I) \mid w \ I . \text{length } I = n \wedge$   
 $\text{wf-interp-for-formula } (w, I) \ \varphi \}$   
 $\langle \text{proof} \rangle$

## 10.2 Welldefinedness of enc wrt. Models

**lemma** *enc-alt-def:*

$\text{enc } (w, x \# I) = \text{map-index } (\lambda n \ (a, bs). \ (a, (\text{case } x \text{ of } Inl \ p \Rightarrow n = p \mid Inr \ P \Rightarrow$   
 $n \in P) \# bs)) \ (\text{enc } (w, I))$   
 $\langle \text{proof} \rangle$

**lemma** *enc-extend-interp:*  $\text{enc } (w, I) = \text{enc } (w', I') \Longrightarrow \text{enc } (w, x \# I) = \text{enc}$   
 $(w', x \# I')$   
 $\langle \text{proof} \rangle$

**lemma** *wf-interp-for-formula-FExists:*

$\llbracket \text{wf-formula } (\text{length } I) \ (\text{FExists } \varphi); w \neq [] \rrbracket \Longrightarrow$   
 $\text{wf-interp-for-formula } (w, I) \ (\text{FExists } \varphi) \longleftrightarrow$   
 $(\forall p < \text{length } w. \ \text{wf-interp-for-formula } (w, Inl \ p \# I) \ \varphi)$   
 $\langle \text{proof} \rangle$

**lemma** *wf-interp-for-formula-any-Inl:*  $\text{wf-interp-for-formula } (w, Inl \ p \# I) \ \varphi \Longrightarrow$   
 $\forall p < \text{length } w. \ \text{wf-interp-for-formula } (w, Inl \ p \# I) \ \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *wf-interp-for-formula-FEXISTS:*

$\llbracket \text{wf-formula } (\text{length } I) \ (\text{FEXISTS } \varphi); w \neq [] \rrbracket \Longrightarrow$   
 $\text{wf-interp-for-formula } (w, I) \ (\text{FEXISTS } \varphi) \longleftrightarrow (\forall P \subseteq \{0 .. \text{length } w - 1\}.$   
 $\text{wf-interp-for-formula } (w, Inr \ P \# I) \ \varphi)$   
 $\langle \text{proof} \rangle$

**lemma** *wf-interp-for-formula-any-Inr:*  $\text{wf-interp-for-formula } (w, Inr \ P \# I) \ \varphi \Longrightarrow$   
 $\forall P \subseteq \{0 .. \text{length } w - 1\}. \ \text{wf-interp-for-formula } (w, Inr \ P \# I) \ \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *enc-word-length:*  $\text{enc } (w, I) = \text{enc } (w', I') \Longrightarrow \text{length } w = \text{length } w'$   
 $\langle \text{proof} \rangle$

**lemma** *enc-length:*

**assumes**  $w \neq [] \ \text{enc } (w, I) = \text{enc } (w', I')$   
**shows**  $\text{length } I = \text{length } I'$   
 $\langle \text{proof} \rangle$

**lemma** *wf-interp-for-formula-FOr*:

*wf-interp-for-formula* ( $w, I$ ) (*FOr*  $\varphi_1 \varphi_2$ ) =  
 (*wf-interp-for-formula* ( $w, I$ )  $\varphi_1 \wedge$  *wf-interp-for-formula* ( $w, I$ )  $\varphi_2$ )  
 ⟨*proof*⟩

**lemma** *wf-interp-for-formula-FAnd*:

*wf-interp-for-formula* ( $w, I$ ) (*FAnd*  $\varphi_1 \varphi_2$ ) =  
 (*wf-interp-for-formula* ( $w, I$ )  $\varphi_1 \wedge$  *wf-interp-for-formula* ( $w, I$ )  $\varphi_2$ )  
 ⟨*proof*⟩

**lemma** *enc-wf-interp*:

**assumes** *wf-formula* (*length*  $I$ )  $\varphi$  *wf-interp-for-formula* ( $w, I$ )  $\varphi$   
**shows** *wf-interp-for-formula* (*dec-word* (*enc* ( $w, I$ )), *dec-interp* (*length*  $I$ ) (*FOV*  $\varphi$ ) (*enc* ( $w, I$ )))  $\varphi$   
 (**is** *wf-interp-for-formula* ( $-, ?dec$ )  $\varphi$ )  
 ⟨*proof*⟩

**lemma** *enc-welldef*:  $\llbracket$ *enc* ( $w, I$ ) = *enc* ( $w', I'$ ); *wf-formula* (*length*  $I$ )  $\varphi$ ;  
*wf-interp-for-formula* ( $w, I$ )  $\varphi$ ; *wf-interp-for-formula* ( $w', I'$ )  $\varphi \rrbracket \implies$   
*satisfies* ( $w, I$ )  $\varphi \longleftrightarrow$  *satisfies* ( $w', I'$ )  $\varphi$   
 ⟨*proof*⟩

**lemma** *lang<sub>M2L</sub>-FOr*:

**assumes** *wf-formula*  $n$  (*FOr*  $\varphi_1 \varphi_2$ )  
**shows** *lang<sub>M2L</sub>*  $n$  (*FOr*  $\varphi_1 \varphi_2$ )  $\subseteq$   
 (*lang<sub>M2L</sub>*  $n$   $\varphi_1 \cup$  *lang<sub>M2L</sub>*  $n$   $\varphi_2$ )  $\cap$  {*enc* ( $w, I$ ) |  $w I$ . *length*  $I$  =  $n \wedge$   
*wf-interp-for-formula* ( $w, I$ ) (*FOr*  $\varphi_1 \varphi_2$ )}  
 (**is**  $\subseteq$  ( $?L1 \cup ?L2$ )  $\cap$   $?ENC$ )  
 ⟨*proof*⟩

**lemma** *lang<sub>M2L</sub>-FAnd*:

**assumes** *wf-formula*  $n$  (*FAnd*  $\varphi_1 \varphi_2$ )  
**shows** *lang<sub>M2L</sub>*  $n$  (*FAnd*  $\varphi_1 \varphi_2$ )  $\subseteq$   
*lang<sub>M2L</sub>*  $n$   $\varphi_1 \cap$  *lang<sub>M2L</sub>*  $n$   $\varphi_2 \cap$  {*enc* ( $w, I$ ) |  $w I$ . *length*  $I$  =  $n \wedge$   
*wf-interp-for-formula* ( $w, I$ ) (*FAnd*  $\varphi_1 \varphi_2$ )}  
 (**is**  $\subseteq$   $?L1 \cap ?L2 \cap ?ENC$ )  
 ⟨*proof*⟩

### 10.3 From M2L to Regular expressions

**fun** *rexp-of* ::  $\text{nat} \Rightarrow 'a \text{ formula} \Rightarrow ('a \text{ atom}) \text{ rexp where}$

*rexp-of*  $n$  (*FQ*  $a m$ ) = *Inter* (*TIMES* [*Full*, *Atom* (*AQ*  $m a$ ), *Full*]) (*ENC*  $n$  { $m$ })  
 | *rexp-of*  $n$  (*FLess*  $m_1 m_2$ ) = (*if*  $m_1 = m_2$  *then* *Zero* *else* *Inter*  
 (*TIMES* [*Full*, *Atom* (*Arbitrary-Except*  $m_1$  *True*), *Full*, *Atom* (*Arbitrary-Except*  
 $m_2$  *True*), *Full*])  
 (*ENC*  $n$  { $m_1, m_2$ }))  
 | *rexp-of*  $n$  (*FIn*  $m M$ ) =  
*Inter* (*TIMES* [*Full*, *Atom* (*Arbitrary-Except2*  $m M$ ), *Full*]) (*ENC*  $n$  { $m$ })  
 | *rexp-of*  $n$  (*FNot*  $\varphi$ ) = *Inter* (*rexp.Not* (*rexp-of*  $n$   $\varphi$ )) (*ENC*  $n$  (*FOV* (*FNot*  $\varphi$ )))



|  $\text{rexp-of } n \text{ (FOr } \varphi_1 \varphi_2) = \text{Inter (Plus (rexp-of } n \varphi_1) \text{ (rexp-of } n \varphi_2)) (ENC } n \text{ (FOV (FOr } \varphi_1 \varphi_2)))$   
 |  $\text{rexp-of } n \text{ (FAnd } \varphi_1 \varphi_2) = \text{INTERSECT [rexp-of } n \varphi_1, \text{ rexp-of } n \varphi_2, \text{ ENC } n \text{ (FOV (FAnd } \varphi_1 \varphi_2))}]$   
 |  $\text{rexp-of } n \text{ (FExists } \varphi) = \text{Pr (rexp-of (} n + 1) \varphi)$   
 |  $\text{rexp-of } n \text{ (FEXISTS } \varphi) = \text{Pr (rexp-of (} n + 1) \varphi)$

**fun**  $\text{rexp-of-alt} :: \text{nat} \Rightarrow 'a \text{ formula} \Rightarrow ('a \text{ atom}) \text{ rexp where}$   
 $\text{rexp-of-alt } n \text{ (FQ } a \text{ m)} = \text{TIMES [Full, Atom (AQ } m \text{ a), Full]}$   
 |  $\text{rexp-of-alt } n \text{ (FLess } m1 \text{ m2)} = \text{(if } m1 = m2 \text{ then Zero else } \text{TIMES [Full, Atom (Arbitrary-Except } m1 \text{ True), Full, Atom (Arbitrary-Except } m2 \text{ True), Full])}$   
 |  $\text{rexp-of-alt } n \text{ (FIn } m \text{ M)} = \text{TIMES [Full, Atom (Arbitrary-Except2 } m \text{ M), Full]}$   
 |  $\text{rexp-of-alt } n \text{ (FNot } \varphi) = \text{rexp.Not (rexp-of-alt } n \varphi)$   
 |  $\text{rexp-of-alt } n \text{ (FOr } \varphi_1 \varphi_2) = \text{Plus (rexp-of-alt } n \varphi_1) \text{ (rexp-of-alt } n \varphi_2)$   
 |  $\text{rexp-of-alt } n \text{ (FAnd } \varphi_1 \varphi_2) = \text{Inter (rexp-of-alt } n \varphi_1) \text{ (rexp-of-alt } n \varphi_2)$   
 |  $\text{rexp-of-alt } n \text{ (FExists } \varphi) = \text{Pr (Inter (rexp-of-alt (} n + 1) \varphi) \text{ (ENC (} n + 1) \text{ (FOV } \varphi)))}$   
 |  $\text{rexp-of-alt } n \text{ (FEXISTS } \varphi) = \text{Pr (Inter (rexp-of-alt (} n + 1) \varphi) \text{ (ENC (} n + 1) \text{ (FOV } \varphi)))}$

**definition**  $\text{rexp-of}' n \varphi = \text{Inter (rexp-of-alt } n \varphi) \text{ (ENC } n \text{ (FOV } \varphi))$

**fun**  $\text{rexp-of-alt}' :: \text{nat} \Rightarrow 'a \text{ formula} \Rightarrow ('a \text{ atom}) \text{ rexp where}$   
 $\text{rexp-of-alt}' n \text{ (FQ } a \text{ m)} = \text{TIMES [Full, Atom (AQ } m \text{ a), Full]}$   
 |  $\text{rexp-of-alt}' n \text{ (FLess } m1 \text{ m2)} = \text{(if } m1 = m2 \text{ then Zero else } \text{TIMES [Full, Atom (Arbitrary-Except } m1 \text{ True), Full, Atom (Arbitrary-Except } m2 \text{ True), Full])}$   
 |  $\text{rexp-of-alt}' n \text{ (FIn } m \text{ M)} = \text{TIMES [Full, Atom (Arbitrary-Except2 } m \text{ M), Full]}$   
 |  $\text{rexp-of-alt}' n \text{ (FNot } \varphi) = \text{rexp.Not (rexp-of-alt}' n \varphi)$   
 |  $\text{rexp-of-alt}' n \text{ (FOr } \varphi_1 \varphi_2) = \text{Plus (rexp-of-alt}' n \varphi_1) \text{ (rexp-of-alt}' n \varphi_2)$   
 |  $\text{rexp-of-alt}' n \text{ (FAnd } \varphi_1 \varphi_2) = \text{Inter (rexp-of-alt}' n \varphi_1) \text{ (rexp-of-alt}' n \varphi_2)$   
 |  $\text{rexp-of-alt}' n \text{ (FExists } \varphi) = \text{Pr (Inter (rexp-of-alt}' (} n + 1) \varphi) \text{ (ENC (} n + 1) \text{ \{0\})})}$   
 |  $\text{rexp-of-alt}' n \text{ (FEXISTS } \varphi) = \text{Pr (rexp-of-alt}' (} n + 1) \varphi)$

**definition**  $\text{rexp-of}'' n \varphi = \text{Inter (rexp-of-alt}' n \varphi) \text{ (ENC } n \text{ (FOV } \varphi))$

**theorem**  $\text{lang}_{M2L}\text{-rexp-of: wf-formula } n \varphi \Longrightarrow \text{lang}_{M2L} n \varphi = \text{lang } n \text{ (rexp-of } n \varphi) - \{\square\}$   
 (is  $- \Longrightarrow - = ?L n \varphi$ )  
 <proof>

**lemma**  $\text{wf-rexp-of: wf-formula } n \varphi \Longrightarrow \text{wf } n \text{ (rexp-of } n \varphi)$   
 <proof>

**lemma**  $\text{wf-rexp-of-alt: wf-formula } n \varphi \Longrightarrow \text{wf } n \text{ (rexp-of-alt } n \varphi)$   
 <proof>

**lemma** *wf-rexp-of'*:  $wf\text{-formula } n \ \varphi \implies wf \ n \ (rexp\text{-of}' \ n \ \varphi)$   
 ⟨proof⟩

**lemma** *wf-rexp-of-alt'*:  $wf\text{-formula } n \ \varphi \implies wf \ n \ (rexp\text{-of}\text{-alt}' \ n \ \varphi)$   
 ⟨proof⟩

**lemma** *wf-rexp-of''*:  $wf\text{-formula } n \ \varphi \implies wf \ n \ (rexp\text{-of}'' \ n \ \varphi)$   
 ⟨proof⟩

**lemma** *ENC-Not*:  $ENC \ n \ (FOV \ (FNot \ \varphi)) = ENC \ n \ (FOV \ \varphi)$   
 ⟨proof⟩

**lemma** *ENC-And*:  
 $wf\text{-formula } n \ (FAnd \ \varphi \ \psi) \implies lang \ n \ (ENC \ n \ (FOV \ (FAnd \ \varphi \ \psi))) - \{\}\subseteq lang \ n \ (ENC \ n \ (FOV \ \varphi)) \cap lang \ n \ (ENC \ n \ (FOV \ \psi)) - \{\}$   
 ⟨proof⟩

**lemma** *ENC-Or*:  
 $wf\text{-formula } n \ (FOr \ \varphi \ \psi) \implies lang \ n \ (ENC \ n \ (FOV \ (FOr \ \varphi \ \psi))) - \{\}\subseteq lang \ n \ (ENC \ n \ (FOV \ \varphi)) \cap lang \ n \ (ENC \ n \ (FOV \ \psi)) - \{\}$   
 ⟨proof⟩

**lemma** *project-enc*:  $map \ \pi \ (enc \ (w, \ x \ \# \ I)) = enc \ (w, \ I)$   
 ⟨proof⟩

**lemma** *list-list-eqI*:  
**assumes**  $\forall (-, \ x) \in set \ xs. \ x \neq [] \ \forall (-, \ y) \in set \ ys. \ y \neq []$   
 $map \ (\lambda(-, \ x). \ hd \ x) \ xs = map \ (\lambda(-, \ x). \ hd \ x) \ ys \ map \ \pi \ xs = map \ \pi \ ys$   
**shows**  $xs = ys$   
 ⟨proof⟩

**lemma** *project-enc-extend*:  
**assumes**  $map \ \pi \ x = enc \ (w, \ I) \ \forall (-, \ x) \in set \ x. \ x \neq []$   
**shows**  $x = enc \ (w, \ Inr \ (positions\text{-in}\text{-row} \ x \ 0) \ \# \ I)$   
 ⟨proof⟩

**lemma** *ENC-Exists*:  
 $wf\text{-formula } n \ (FExists \ \varphi) \implies lang \ n \ (ENC \ n \ (FOV \ (FExists \ \varphi))) - \{\} = map \ \pi \text{' } lang \ (Suc \ n) \ (ENC \ (Suc \ n) \ (FOV \ \varphi)) - \{\}$   
 ⟨proof⟩

**lemma** *ENC-EXISTS*:  
 $wf\text{-formula } n \ (FEXISTS \ \varphi) \implies lang \ n \ (ENC \ n \ (FOV \ (FEXISTS \ \varphi))) - \{\} = map \ \pi \text{' } lang \ (Suc \ n) \ (ENC \ (Suc \ n) \ (FOV \ \varphi)) - \{\}$   
 ⟨proof⟩

**lemma** *map-project-empty*:  $map \ \pi \text{' } A - \{\} = map \ \pi \text{' } (A - \{\})$   
 ⟨proof⟩

**lemma** *lang<sub>M2L</sub>-rexp-of-rexp-of'*:

*wf-formula*  $n \varphi \implies \text{lang } n (\text{rexp-of } n \varphi) - \{\square\} = \text{lang } n (\text{rexp-of}' n \varphi) - \{\square\}$   
 ⟨proof⟩

**lemma** *Int-Diff-both*:  $A \cap B - C = (A - C) \cap (B - C)$

⟨proof⟩

**lemma** *lang-ENC-split*:

**assumes** *finite*  $X \ X = Y1 \cup Y2 \ n = 0 \vee (\forall p \in X. p < n)$

**shows**  $\text{lang } n (\text{ENC } n \ X) = \text{lang } n (\text{ENC } n \ Y1) \cap \text{lang } n (\text{ENC } n \ Y2)$

⟨proof⟩

**lemma** *map-project-Int-ENC*:

**assumes**  $0 \notin X \ X \subseteq \{0 \dots n + 1\} \ Z \subseteq \text{lists } ((\text{set } o \ \sigma \ \Sigma) \ (n + 1))$

**shows**  $\text{map } \pi \ ' (Z \cap \text{lang } (n + 1) (\text{ENC } (n + 1) \ X) - \{\square\}) =$

$\text{map } \pi \ ' Z \cap \text{lang } n (\text{ENC } n \ ((\lambda x. x - 1) \ ' X)) - \{\square\}$

⟨proof⟩

**lemma** *map-project-ENC*:

**assumes**  $X \subseteq \{0 \dots n + 1\} \ Z \subseteq \text{lists } ((\text{set } o \ \sigma \ \Sigma) \ (n + 1))$

**shows**  $\text{map } \pi \ ' (Z \cap \text{lang } (n + 1) (\text{ENC } (n + 1) \ X) - \{\square\}) =$

*(if*  $0 \in X$

*then*  $\text{map } \pi \ ' (Z \cap \text{lang } (n + 1) (\text{ENC } (n + 1) \ \{0\})) \cap \text{lang } n (\text{ENC } n \ ((\lambda x. x - 1) \ ' (X - \{0\}))) - \{\square\}$

*else*  $\text{map } \pi \ ' Z \cap \text{lang } n (\text{ENC } n \ ((\lambda x. x - 1) \ ' (X - \{0\}))) - \{\square\}$

*(is*  $?L = (\text{if } - \text{ then } ?R1 \ \text{ else } ?R2)$

⟨proof⟩

**abbreviation**  $\mathfrak{L} \equiv \text{project.lang } (\text{set } o \ \sigma \ \Sigma) \ \pi$

**lemma** *lang<sub>M2L</sub>-rexp-of'-rexp-of''*:

*wf-formula*  $n \varphi \implies \text{lang } n (\text{rexp-of}' n \varphi) - \{\square\} = \text{lang } n (\text{rexp-of}'' n \varphi) - \{\square\}$   
 ⟨proof⟩

**theorem** *lang<sub>M2L</sub>-rexp-of'*: *wf-formula*  $n \varphi \implies \text{lang}_{M2L} \ n \ \varphi = \text{lang } n (\text{rexp-of}' n \varphi) - \{\square\}$

⟨proof⟩

**theorem** *lang<sub>M2L</sub>-rexp-of''*: *wf-formula*  $n \varphi \implies \text{lang}_{M2L} \ n \ \varphi = \text{lang } n (\text{rexp-of}'' n \varphi) - \{\square\}$

⟨proof⟩

**end**

## 11 Normalization of M2L Formulas

**fun** *nNot* **where**

$nNot (FNot \varphi) = \varphi$   
|  $nNot (FAnd \varphi1 \varphi2) = FOr (nNot \varphi1) (nNot \varphi2)$   
|  $nNot (FOr \varphi1 \varphi2) = FAnd (nNot \varphi1) (nNot \varphi2)$   
|  $nNot \varphi = FNot \varphi$

**primrec** *norm* **where**

$norm (FQ a m) = FQ a m$   
|  $norm (FLess m n) = FLess m n$   
|  $norm (FIn m M) = FIn m M$   
|  $norm (FOr \varphi \psi) = FOr (norm \varphi) (norm \psi)$   
|  $norm (FAnd \varphi \psi) = FAnd (norm \varphi) (norm \psi)$   
|  $norm (FNot \varphi) = nNot (norm \varphi)$   
|  $norm (FExists \varphi) = FExists (norm \varphi)$   
|  $norm (FEXISTS \varphi) = FEXISTS (norm \varphi)$

**context** *formula*

**begin**

**lemma** *satisfies-nNot[simp]*:  $satisfies (w, I) (nNot \varphi) = satisfies (w, I) (FNot \varphi)$   
*<proof>*

**lemma** *FOV-nNot[simp]*:  $FOV (nNot \varphi) = FOV (FNot \varphi)$   
*<proof>*

**lemma** *SOV-nNot[simp]*:  $SOV (nNot \varphi) = SOV (FNot \varphi)$   
*<proof>*

**lemma** *pre-wf-formula-nNot[simp]*:  $pre-wf-formula n (nNot \varphi) = pre-wf-formula n (FNot \varphi)$   
*<proof>*

**lemma** *FOV-norm[simp]*:  $FOV (norm \varphi) = FOV \varphi$   
*<proof>*

**lemma** *SOV-norm[simp]*:  $SOV (norm \varphi) = SOV \varphi$   
*<proof>*

**lemma** *pre-wf-formula-norm[simp]*:  $pre-wf-formula n (norm \varphi) = pre-wf-formula n \varphi$   
*<proof>*

**lemma** *satisfies-norm[simp]*:  $satisfies (w, I) (norm \varphi) = satisfies (w, I) \varphi$   
*<proof>*

**lemma** *lang<sub>M2L</sub>-norm[simp]*:  $lang_{M2L} n (norm \varphi) = lang_{M2L} n \varphi$   
*<proof>*

**end**

## 12 Deciding Equivalence of M2L Formulas

**global-interpretation** *embed set o  $\sigma$   $\Sigma$  wf-atom  $\Sigma$   $\pi$  lookup  $\varepsilon$   $\Sigma$*   
**for**  $\Sigma :: 'a :: \text{linorder list}$   
**defines**  
 $\mathfrak{D} = \text{embed.lderiv lookup } (\varepsilon \Sigma)$   
**and**  $\text{Co}\mathfrak{D} = \text{embed.lderiv-dual lookup } (\varepsilon \Sigma)$   
 $\langle \text{proof} \rangle$

**lemma** *enum-not-empty[simp]: Enum.enum  $\neq []$  (is ?enum  $\neq []$ )*  
 $\langle \text{proof} \rangle$

**global-interpretation**  $\Phi$ : *formula Enum.enum :: 'a :: {enum, linorder} list*  
**defines**  
 $\text{pre-wf-formula} = \Phi.\text{pre-wf-formula}$   
**and**  $\text{wf-formula} = \Phi.\text{wf-formula}$   
**and**  $\text{rexp-of} = \Phi.\text{rexp-of}$   
**and**  $\text{rexp-of-alt} = \Phi.\text{rexp-of-alt}$   
**and**  $\text{rexp-of-alt}' = \Phi.\text{rexp-of-alt}'$   
**and**  $\text{rexp-of}' = \Phi.\text{rexp-of}'$   
**and**  $\text{rexp-of}'' = \Phi.\text{rexp-of}''$   
**and**  $\text{valid-ENC} = \Phi.\text{valid-ENC}$   
**and**  $\text{ENC} = \Phi.\text{ENC}$   
**and**  $\text{dec-interp} = \Phi.\text{dec-interp}$   
 $\langle \text{proof} \rangle$

**lemma** *lang-Plus-Zero: lang  $\Sigma$  n (Plus r One) = lang  $\Sigma$  n (Plus s One)  $\longleftrightarrow$  lang  $\Sigma$  n r -  $\{\{\}\}$  = lang  $\Sigma$  n s -  $\{\{\}\}$*   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{lang}_{M2L}\text{-rexp-of-norm} = \text{trans}[OF \text{sym}[OF \Phi.\text{lang}_{M2L}\text{-norm}] \Phi.\text{lang}_{M2L}\text{-rexp-of}]$   
**lemmas**  $\text{lang}_{M2L}\text{-rexp-of}'\text{-norm} = \text{trans}[OF \text{sym}[OF \Phi.\text{lang}_{M2L}\text{-norm}] \Phi.\text{lang}_{M2L}\text{-rexp-of}']$   
**lemmas**  $\text{lang}_{M2L}\text{-rexp-of}''\text{-norm} = \text{trans}[OF \text{sym}[OF \Phi.\text{lang}_{M2L}\text{-norm}] \Phi.\text{lang}_{M2L}\text{-rexp-of}'']$

$\langle ML \rangle$

**global-interpretation**  $D$ : *rexp-DFA  $\sigma$   $\Sigma$  wf-atom  $\Sigma$   $\pi$  lookup  $\lambda x. \langle \text{pnorm } (\text{inorm } x) \rangle$*   
 $\lambda a r. \langle \mathfrak{D} \Sigma a r \rangle \text{ final alphabet.wf } (\text{wf-atom } \Sigma) n \text{ pnorm lang } \Sigma n n$   
**for**  $\Sigma :: 'a :: \text{linorder list}$  **and**  $n :: \text{nat}$   
**defines**  
 $\text{test} = \text{rexp-DA.test } (\text{final} :: 'a \text{ atom rexp} \Rightarrow \text{bool})$   
**and**  $\text{step} = \text{rexp-DA.step } (\sigma \Sigma) (\lambda a r. \langle \mathfrak{D} \Sigma a r \rangle) \text{ pnorm } n$   
**and**  $\text{closure} = \text{rexp-DA.closure } (\sigma \Sigma) (\lambda a r. \langle \mathfrak{D} \Sigma a r \rangle) \text{ final pnorm } n$   
**and**  $\text{check-quivRE} = \text{rexp-DA.check-quiv } (\sigma \Sigma) (\lambda x. \langle \text{pnorm } (\text{inorm } x) \rangle) (\lambda a r. \langle \mathfrak{D} \Sigma a r \rangle) \text{ final pnorm } n$

**and** *test-invariant* = *rexp-DA.test-invariant* (*final* :: 'a atom rexp ⇒ bool) ::  
 (('a × bool list) list × -) list × - ⇒ bool  
**and** *step-invariant* = *rexp-DA.step-invariant* (σ Σ) (λa r. « $\mathfrak{D}$  Σ a r») pnorm n  
**and** *closure-invariant* = *rexp-DA.closure-invariant* (σ Σ) (λa r. « $\mathfrak{D}$  Σ a r») final  
 pnorm n  
**and** *counterexampleRE* = *rexp-DA.counterexample* (σ Σ) (λx. «pnorm (inorm  
 x)») (λa r. « $\mathfrak{D}$  Σ a r») final pnorm n  
**and** *reachable* = *rexp-DA.reachable* (σ Σ) (λx. «pnorm (inorm x)») (λa r. « $\mathfrak{D}$  Σ  
 a r») pnorm n  
**and** *automaton* = *rexp-DA.automaton* (σ Σ) (λx. «pnorm (inorm x)») (λa r. « $\mathfrak{D}$   
 Σ a r») pnorm n  
 ⟨proof⟩

**definition** *check-equiv where*

*check-equiv* n φ ψ ↔ *wf-formula* n (FOr φ ψ) ∧  
*slow.check-equivRE* Enum.enum n (Plus (*rexp-of''* n (norm φ)) One) (Plus  
 (*rexp-of''* n (norm ψ)) One)

**definition** *counterexample where*

*counterexample* n φ ψ =  
*map-option* (λw. *dec-interp* n (FOV (FOr φ ψ)) w)  
 (*slow.counterexampleRE* Enum.enum n (Plus (*rexp-of''* n (norm φ)) One) (Plus  
 (*rexp-of''* n (norm ψ)) One))

**lemma** *soundness*: *slow.check-equiv* n φ ψ ⇒ Φ.*lang*<sub>M2L</sub> n φ = Φ.*lang*<sub>M2L</sub> n ψ  
 ⟨proof⟩

**lemma** *completeness*:

**assumes** Φ.*lang*<sub>M2L</sub> n φ = Φ.*lang*<sub>M2L</sub> n ψ *wf-formula* n (FOr φ ψ)  
**shows** *slow.check-equiv* n φ ψ  
 ⟨proof⟩

⟨ML⟩

**global-interpretation** *D*: *rexp-DA-no-post* σ Σ *wf-atom* Σ π *lookup* λx. pnorm  
 (inorm x)

λa r. pnorm ( $\mathfrak{D}$  Σ a r) final *alphabet.wf* (*wf-atom* Σ) n *lang* Σ n n

**for** Σ :: 'a :: linorder list **and** n :: nat

**defines**

*test* = *rexp-DA.test* (*final* :: 'a atom rexp ⇒ bool)

**and** *step* = *rexp-DA.step* (σ Σ) (λa r. pnorm ( $\mathfrak{D}$  Σ a r)) id n

**and** *closure* = *rexp-DA.closure* (σ Σ) (λa r. pnorm ( $\mathfrak{D}$  Σ a r)) final id n

**and** *check-equivRE* = *rexp-DA.check-equiv* (σ Σ) (λx. pnorm (inorm x)) (λa r. pnorm  
 ( $\mathfrak{D}$  Σ a r)) final id n

**and** *test-invariant* = *rexp-DA.test-invariant* (*final* :: 'a atom rexp ⇒ bool) ::

((a × bool list) list × -) list × - ⇒ bool

**and** *step-invariant* = *rexp-DA.step-invariant* (σ Σ) (λa r. pnorm ( $\mathfrak{D}$  Σ a r)) id  
 n

**and** *closure-invariant* = *rexp-DA.closure-invariant* (σ Σ) (λa r. pnorm ( $\mathfrak{D}$  Σ a

$r$ ) *final id n*  
**and** *counterexampleRE* = *rexp-DA.counterexample* ( $\sigma \Sigma$ ) ( $\lambda x. \text{pnorm } (\text{inorm } x)$ )  
( $\lambda a r. \text{pnorm } (\mathfrak{D} \Sigma a r)$ ) *final id n*  
**and** *reachable* = *rexp-DA.reachable* ( $\sigma \Sigma$ ) ( $\lambda x. \text{pnorm } (\text{inorm } x)$ ) ( $\lambda a r. \text{pnorm}$   
( $\mathfrak{D} \Sigma a r$ ) *id n*  
**and** *automaton* = *rexp-DA.automaton* ( $\sigma \Sigma$ ) ( $\lambda x. \text{pnorm } (\text{inorm } x)$ ) ( $\lambda a r. \text{pnorm}$   
( $\mathfrak{D} \Sigma a r$ ) *id n*  
*<proof>*

**definition** *check- $eqv$  where*

*check- $eqv$  n  $\varphi \psi \longleftrightarrow wf\text{-formula } n (FOr \varphi \psi) \wedge$*   
*fast.check- $eqvRE$  Enum.enum n (Plus (rexp-of'' n (norm  $\varphi$ )) One) (Plus (rexp-of''*  
*n (norm  $\psi$ )) One)*

**definition** *counterexample where*

*counterexample n  $\varphi \psi =$*   
*map-option ( $\lambda w. \text{dec-interp } n (FOV (FOr \varphi \psi)) w$ )*  
*(fast.counterexampleRE Enum.enum n (Plus (rexp-of'' n (norm  $\varphi$ )) One) (Plus*  
*(rexp-of'' n (norm  $\psi$ )) One))*

**lemma** *soundness: fast.check- $eqv$  n  $\varphi \psi \implies \Phi.lang_{M2L} n \varphi = \Phi.lang_{M2L} n \psi$*   
*<proof>*

*<ML>*

**global-interpretation** *D: rexp-DA-no-post  $\sigma \Sigma wf\text{-atom } \Sigma \pi \text{lookup}$*

$\lambda x. \text{pnorm-dual } (\text{rexp-dual-of } (\text{inorm } x)) \lambda a r. \text{pnorm-dual } (Co\mathfrak{D} \Sigma a r)$  *final-dual*  
*alphabet.wf-dual (wf-atom  $\Sigma$ ) n lang-dual  $\Sigma$  n n*

**for**  $\Sigma :: 'a :: \text{linorder list}$  **and**  $n :: \text{nat}$

**defines**

*test* = *rexp-DA.test* (*final-dual* :: *'a atom rexp-dual  $\implies$  bool*)

**and** *step* = *rexp-DA.step* ( $\sigma \Sigma$ ) ( $\lambda a r. \text{pnorm-dual } (Co\mathfrak{D} \Sigma a r)$ ) *id n*

**and** *closure* = *rexp-DA.closure* ( $\sigma \Sigma$ ) ( $\lambda a r. \text{pnorm-dual } (Co\mathfrak{D} \Sigma a r)$ ) *final-dual*  
*id n*

**and** *check- $eqvRE$*  = *rexp-DA.check- $eqv$*  ( $\sigma \Sigma$ ) ( $\lambda x. \text{pnorm-dual } (\text{rexp-dual-of}$   
(*inorm x*)) ( $\lambda a r. \text{pnorm-dual } (Co\mathfrak{D} \Sigma a r)$ ) *final-dual id n*

**and** *test-invariant* = *rexp-DA.test-invariant* (*final-dual* :: *'a atom rexp-dual  $\implies$*   
*bool*) ::

*(('a  $\times$  bool list) list  $\times$  -) list  $\times$  -  $\implies$  bool*

**and** *step-invariant* = *rexp-DA.step-invariant* ( $\sigma \Sigma$ ) ( $\lambda a r. \text{pnorm-dual } (Co\mathfrak{D} \Sigma$   
*a r)*) *id n*

**and** *closure-invariant* = *rexp-DA.closure-invariant* ( $\sigma \Sigma$ ) ( $\lambda a r. \text{pnorm-dual } (Co\mathfrak{D}$   
 $\Sigma a r)$ ) *final-dual id n*

**and** *counterexampleRE* = *rexp-DA.counterexample* ( $\sigma \Sigma$ ) ( $\lambda x. \text{pnorm-dual } (\text{rexp-dual-of}$   
(*inorm x*)) ( $\lambda a r. \text{pnorm-dual } (Co\mathfrak{D} \Sigma a r)$ ) *final-dual id n*

**and** *reachable* = *rexp-DA.reachable* ( $\sigma \Sigma$ ) ( $\lambda x. \text{pnorm-dual } (\text{rexp-dual-of } (\text{inorm}$   
*x*)) ( $\lambda a r. \text{pnorm-dual } (Co\mathfrak{D} \Sigma a r)$ ) *id n*

**and** *automaton* = *rexp-DA.automaton* ( $\sigma \Sigma$ ) ( $\lambda x. \text{pnorm-dual } (\text{rexp-dual-of}$   
(*inorm x*)) ( $\lambda a r. \text{pnorm-dual } (Co\mathfrak{D} \Sigma a r)$ ) *id n*

$\langle \text{proof} \rangle$

**definition** *check-equiv* **where**

$\text{check-equiv } n \varphi \psi \longleftrightarrow \text{wf-formula } n (\text{FOr } \varphi \psi) \wedge$   
 $\text{dual.check-equivRE Enum.enum } n (\text{Plus } (\text{rexp-of'' } n (\text{norm } \varphi)) \text{ One}) (\text{Plus}$   
 $(\text{rexp-of'' } n (\text{norm } \psi)) \text{ One})$

**definition** *counterexample* **where**

$\text{counterexample } n \varphi \psi =$   
 $\text{map-option } (\lambda w. \text{dec-interp } n (\text{FOV } (\text{FOr } \varphi \psi)) w)$   
 $(\text{dual.counterexampleRE Enum.enum } n (\text{Plus } (\text{rexp-of'' } n (\text{norm } \varphi)) \text{ One}) (\text{Plus}$   
 $(\text{rexp-of'' } n (\text{norm } \psi)) \text{ One}))$

**lemma** *soundness*:  $\text{dual.check-equiv } n \varphi \psi \implies \Phi.\text{lang}_{M2L} n \varphi = \Phi.\text{lang}_{M2L} n \psi$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

## 13 WS1S

### 13.1 Encodings

**definition** *cut-same*  $x s = \text{stake } (\text{LEAST } n. \text{sdrop } n s = \text{sconst } x) s$

**abbreviation** *poss*  $I \equiv (\bigcup x \in \text{set } I. \text{case } x \text{ of } \text{Inl } p \Rightarrow \{p\} \mid \text{Inr } P \Rightarrow P)$

**declare** *smap-sconst*[*simp*]

**lemma** (in *wellorder*) *min-Least*:

$\llbracket \exists n. P n; \exists n. Q n \rrbracket \implies \text{min } (\text{Least } P) (\text{Least } Q) = (\text{LEAST } n. P n \vee Q n)$

$\langle \text{proof} \rangle$

**lemma** *sconst-collapse*:  $y \#\# \text{sconst } y = \text{sconst } y$

$\langle \text{proof} \rangle$

**lemma** *shift-sconst-inj*:  $\llbracket \text{length } x = \text{length } y; x @- \text{sconst } z = y @- \text{sconst } z \rrbracket \implies$

$x = y$

$\langle \text{proof} \rangle$

**context** *formula*

**begin**

**definition** *any*  $\equiv \text{hd } \Sigma$

**lemma** *any- $\Sigma$* [*simp*]:  $\text{any} \in \text{set } \Sigma$

$\langle \text{proof} \rangle$

**lemma** *any- $\sigma$* [*simp*]:  $\text{length } \text{bs} = n \implies (\text{any}, \text{bs}) \in \text{set } (\sigma \Sigma n)$

$\langle \text{proof} \rangle$



**fun** *stream-enc* :: 'a interp  $\Rightarrow$  ('a  $\times$  bool list) stream **where**  
*stream-enc* (w, I) = smap2 (enc-atom I) nats (w @- sconst any)

**lemma** *tl-stream-enc[simp]*: smap  $\pi$  (stream-enc (w, x # I)) = stream-enc (w, I)  
 <proof>

**lemma** *enc-atom-max*:  $\llbracket \forall x \in \text{set } I. \text{ case } x \text{ of } \text{Inl } p \Rightarrow p \leq n \mid \text{Inr } P \Rightarrow \forall p \in P. p \leq n; n \leq n \rrbracket \Longrightarrow$   
*enc-atom* I (Suc n') a = (a, replicate (length I) False)  
 <proof>

**lemma** *ex-Loop-stream-enc*:  
**assumes**  $\forall x \in \text{set } I. \text{ case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}$   
**shows**  $\exists n. \text{sdrop } n \text{ (stream-enc (w, I))} = \text{sconst (any, replicate (length I) False)}$   
 <proof>

**lemma** *length-snth-enc[simp]*: length (snd (stream-enc (w, I) !! n)) = length I  
 <proof>

**lemma** *sset-singleton[simp]*: sset s  $\subseteq$  {x}  $\longleftrightarrow$  sset s = {x}  
 <proof>

**lemma** *drop-sconstE*:  $\llbracket \text{drop } n \text{ w @- sconst } y = \text{sconst } y; p < \text{length } w; \neg p < n \rrbracket$   
 $\Longrightarrow w ! p = y$   
 <proof>

**lemma** *less-length-cut-same*:  
 $\llbracket (w @- \text{sconst } y) !! p = a \rrbracket \Longrightarrow a = y \vee (p < \text{length } (\text{cut-same } y \text{ (w @- sconst } y))) \wedge w ! p = a$   
 <proof>

**lemma** *less-length-cut-same-Inl*:  
 $\llbracket (\forall x \in \text{set } I. \text{ case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}); r < \text{length } I; I ! r = \text{Inl } p \rrbracket \Longrightarrow$   
 $p < \text{length } (\text{cut-same } (\text{any, replicate } (\text{length } I) \text{ False}) (\text{stream-enc } (w, I)))$   
 <proof>

**lemma** *less-length-cut-same-Inr*:  
 $\llbracket (\forall x \in \text{set } I. \text{ case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}); r < \text{length } I; I ! r = \text{Inr } P \rrbracket \Longrightarrow$   
 $\forall p \in P. p < \text{length } (\text{cut-same } (\text{any, replicate } (\text{length } I) \text{ False}) (\text{stream-enc } (w, I)))$   
 <proof>

**fun** *enc* :: 'a interp  $\Rightarrow$  ('a  $\times$  bool list) list set **where**  
*enc* (w, I) = {x.  $\exists n. x = (\text{cut-same } (\text{any, replicate } (\text{length } I) \text{ False}) (\text{stream-enc } (w, I))) @$   
 replicate n (any, replicate (length I) False)}

**lemma** *cut-same-all*[simp]:  $cut\text{-}same\ x\ (sconst\ x) = []$   
 ⟨proof⟩

**lemma** *cut-same-stop*[simp]:  
**assumes**  $x \neq y$   
**shows**  $cut\text{-}same\ x\ (xs\ @-\ y\ \#\#\ sconst\ x) = xs\ @\ [y]$  (**is**  $cut\text{-}same\ x\ ?s = -$ )  
 ⟨proof⟩

**lemma** *cut-same-shift-sconst*:  $\exists n. w = cut\text{-}same\ x\ (w\ @-\ sconst\ x)\ @\ replicate\ n\ x$   
 ⟨proof⟩

**lemma** *set-cut-same*:  $set\ (cut\text{-}same\ x\ (w\ @-\ sconst\ x)) \subseteq set\ w$   
 ⟨proof⟩

**lemma** *stream-enc-cut-same*:  
**assumes**  $(\forall x \in set\ I. case\ x\ of\ Inr\ P \Rightarrow finite\ P \mid - \Rightarrow True)$   
**shows**  $stream\text{-}enc\ (w, I) = cut\text{-}same\ (any, replicate\ (length\ I)\ False)\ (stream\text{-}enc\ (w, I))\ @-\ sconst\ (any, replicate\ (length\ I)\ False)$   
 ⟨proof⟩

**lemma** *stream-enc-enc*:  
**assumes**  $(\forall x \in set\ I. case\ x\ of\ Inr\ P \Rightarrow finite\ P \mid - \Rightarrow True)$  **and**  $v: v \in enc\ (w, I)$   
**shows**  $stream\text{-}enc\ (w, I) = v\ @-\ sconst\ (any, replicate\ (length\ I)\ False)$   
 (**is**  $?s = ?v\ @-\ sconst\ ?F$ )  
 ⟨proof⟩

**lemma** *stream-enc-enc-some*:  
**assumes**  $(\forall x \in set\ I. case\ x\ of\ Inr\ P \Rightarrow finite\ P \mid - \Rightarrow True)$   
**shows**  $stream\text{-}enc\ (w, I) = (SOME\ v. v \in enc\ (w, I))\ @-\ sconst\ (any, replicate\ (length\ I)\ False)$   
 ⟨proof⟩

**lemma** *enc-unique-length*:  $v \in enc\ (w, I) \Longrightarrow \forall v'. length\ v' = length\ v \wedge v' \in enc\ (w, I) \longrightarrow v = v'$   
 ⟨proof⟩

**lemma** *sdrop-sconst*:  $sdrop\ n\ s = sconst\ x \Longrightarrow n \leq m \Longrightarrow s\ !!\ m = x$   
 ⟨proof⟩

**lemma** *fin-cut-same-tl*:  
**assumes**  $\exists n. sdrop\ n\ s = sconst\ x$   
**shows**  $fin\text{-}cut\text{-}same\ (\pi\ x)\ (map\ \pi\ (cut\text{-}same\ x\ s)) = cut\text{-}same\ (\pi\ x)\ (smap\ \pi\ s)$   
 ⟨proof⟩

**lemma** *tl-enc*[simp]:

**assumes**  $\forall x \in \text{set } (x \# I)$ . *case x of Inr P  $\Rightarrow$  finite P | -  $\Rightarrow$  True*  
**shows** *SAMEQUOT (any, replicate (length I) False) (map  $\pi$  'enc (w, x # I))*  
 $= \text{enc } (w, I)$   
 $\langle \text{proof} \rangle$

**lemma** *encD:*

$\llbracket v \in \text{enc } (w, I); (\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}) \rrbracket \Longrightarrow$   
 $v = \text{map } (\text{case-prod } (\text{enc-atom } I)) (\text{zip } [0 \dots < \text{length } v] (\text{stake } (\text{length } v) (w @ \text{--}$   
 $\text{sconst any})))$   
 $\langle \text{proof} \rangle$

**lemma** *enc-Inl:*  $\llbracket x \in \text{enc } (w, I); (\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True});$   
 $m < \text{length } I; I ! m = \text{Inl } p \rrbracket \Longrightarrow p < \text{length } x \wedge \text{snd } (x ! p) ! m$   
 $\langle \text{proof} \rangle$

**lemma** *enc-Inr:* **assumes**  $x \in \text{enc } (w, I) \forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P$   
 $\mid - \Rightarrow \text{True}$   
 $M < \text{length } I \mid M = \text{Inr } P$   
**shows**  $p \in P \longleftrightarrow p < \text{length } x \wedge \text{snd } (x ! p) ! M$   
 $\langle \text{proof} \rangle$

**lemma** *enc-length:*

**assumes**  $\text{enc } (w, I) = \text{enc } (w', I')$   
**shows**  $\text{length } I = \text{length } I'$   
 $\langle \text{proof} \rangle$

**lemma** *enc-stream-enc:*

$\llbracket (\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True});$   
 $(\forall x \in \text{set } I'. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True});$   
 $\text{enc } (w, I) = \text{enc } (w', I') \rrbracket \Longrightarrow \text{stream-enc } (w, I) = \text{stream-enc } (w', I')$   
 $\langle \text{proof} \rangle$

**abbreviation** *wf-interp w I  $\equiv$*

$((\forall a \in \text{set } w. a \in \text{set } \Sigma) \wedge (\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}))$

**fun** *wf-interp-for-formula :: 'a interp  $\Rightarrow$  'a formula  $\Rightarrow$  bool where*

*wf-interp-for-formula (w, I)  $\varphi =$*   
 $(\text{wf-interp } w \ I \ \wedge$   
 $(\forall n \in \text{FOV } \varphi. \text{case } I ! n \text{ of Inl } - \Rightarrow \text{True} \mid - \Rightarrow \text{False}) \ \wedge$   
 $(\forall n \in \text{SOV } \varphi. \text{case } I ! n \text{ of Inl } - \Rightarrow \text{False} \mid \text{Inr } - \Rightarrow \text{True}))$

**fun** *satisfies :: 'a interp  $\Rightarrow$  'a formula  $\Rightarrow$  bool (infix  $\models$  50) where*

$(w, I) \models \text{FQ } a \ m = ((\text{case } I ! m \text{ of Inl } p \Rightarrow \text{if } p < \text{length } w \text{ then } w ! p \text{ else any})$   
 $= a)$   
 $\mid (w, I) \models \text{FLess } m1 \ m2 = ((\text{case } I ! m1 \text{ of Inl } p \Rightarrow p) < (\text{case } I ! m2 \text{ of Inl } p \Rightarrow$   
 $p))$   
 $\mid (w, I) \models \text{FIn } m \ M = ((\text{case } I ! m \text{ of Inl } p \Rightarrow p) \in (\text{case } I ! M \text{ of Inr } P \Rightarrow P))$   
 $\mid (w, I) \models \text{FNot } \varphi = (\neg (w, I) \models \varphi)$

$| (w, I) \models (FOr \varphi_1 \varphi_2) = ((w, I) \models \varphi_1 \vee (w, I) \models \varphi_2)$   
 $| (w, I) \models (FAnd \varphi_1 \varphi_2) = ((w, I) \models \varphi_1 \wedge (w, I) \models \varphi_2)$   
 $| (w, I) \models (FExists \varphi) = (\exists p. (w, Inl p \# I) \models \varphi)$   
 $| (w, I) \models (FEXISTS \varphi) = (\exists P. finite P \wedge (w, Inr P \# I) \models \varphi)$

**definition**  $lang_{WS1S} :: nat \Rightarrow 'a \text{ formula} \Rightarrow ('a \times bool \text{ list}) \text{ list set}$  **where**  
 $lang_{WS1S} n \varphi = \bigcup \{ enc (w, I) \mid w I . length I = n \wedge wf\text{-interp-for-formula} (w, I) \varphi \wedge (w, I) \models \varphi \}$

**lemma**  $encD\text{-ex}$ :  $\llbracket x \in enc (w, I); (\forall x \in set I. case x of Inr P \Rightarrow finite P \mid - \Rightarrow True) \rrbracket \Longrightarrow$   
 $\exists n. x = map (case\text{-prod} (enc\text{-atom} I)) (zip [0 ..< n] (stake n (w @- sconst any)))$   
 $\langle proof \rangle$

**lemma**  $enc\text{-set}\text{-}\sigma$ :  $\llbracket x \in enc (w, I); (\forall x \in set I. case x of Inr P \Rightarrow finite P \mid - \Rightarrow True);$   
 $length I = n; a \in set x; set w \subseteq set \Sigma \rrbracket \Longrightarrow a \in set (\sigma \Sigma n)$   
 $\langle proof \rangle$

**definition**  $positions\text{-in}\text{-}row s i =$   
 $Option.these (sset (smap2 (\lambda p (-, bs). if nth bs i then Some p else None) nats s))$

**lemma**  $positions\text{-in}\text{-}row$ :  $positions\text{-in}\text{-}row s i = \{p. snd (s !! p) ! i\}$   
 $\langle proof \rangle$

**lemma**  $positions\text{-in}\text{-}row\text{-}unique$ :  $\exists ! p. snd (s !! p) ! i \Longrightarrow$   
 $the\text{-elem} (positions\text{-in}\text{-}row s i) = (THE p. snd (s !! p) ! i)$   
 $\langle proof \rangle$

**lemma**  $positions\text{-in}\text{-}row\text{-}nth$ :  $\exists ! p. snd (s !! p) ! i \Longrightarrow$   
 $snd (s !! the\text{-elem} (positions\text{-in}\text{-}row s i)) ! i$   
 $\langle proof \rangle$

**definition**  $dec\text{-}word s = cut\text{-}same\ any (smap fst s)$

**lemma**  $dec\text{-}word\text{-}stream\text{-}enc$ :  $dec\text{-}word (stream\text{-}enc (w, I)) = cut\text{-}same\ any (w @- sconst any)$   
 $\langle proof \rangle$

**definition**  $stream\text{-}dec n FO (s :: ('a \times bool \text{ list}) \text{ stream}) = map (\lambda i.$   
 $if i \in FO$   
 $then Inl (the\text{-elem} (positions\text{-in}\text{-}row s i))$   
 $else Inr (positions\text{-in}\text{-}row s i) [0..<n]$

**lemma**  $stream\text{-}dec\text{-}Inl$ :  $\llbracket i \in FO; i < n \rrbracket \Longrightarrow \exists p. stream\text{-}dec n FO s ! i = Inl p$   
 $\langle proof \rangle$

**lemma**  $stream\text{-}dec\text{-}not\text{-}Inr$ :  $\llbracket stream\text{-}dec n FO s ! i = Inr P; i \in FO; i < n \rrbracket \Longrightarrow$

*False*  
*<proof>*

**lemma** *stream-dec-Inr*:  $\llbracket i \notin FO; i < n \rrbracket \implies \exists P. \text{stream-dec } n \text{ } FO \text{ } s ! i = \text{Inr } P$   
*<proof>*

**lemma** *stream-dec-not-Inl*:  $\llbracket \text{stream-dec } n \text{ } FO \text{ } s ! i = \text{Inl } p; i \notin FO; i < n \rrbracket \implies$   
*False*  
*<proof>*

**lemma** *Inr-dec-finite*:  $\llbracket \forall i < n. \text{finite } \{p. \text{snd } (s !! p) ! i\}; \text{Inr } P \in \text{set } (\text{stream-dec } n \text{ } FO \text{ } s) \rrbracket \implies$   
*finite } P*  
*<proof>*

**lemma** *enc-atom-dec*:  
 $\llbracket \forall p. \text{length } (\text{snd } (s !! p)) = n; \forall i \in FO. i < n \longrightarrow (\exists ! p. \text{snd } (s !! p) ! i); a = \text{fst } (s !! p) \rrbracket \implies$   
*enc-atom } (\text{stream-dec } n \text{ } FO \text{ } s) \text{ } p \text{ } a = s !! p*  
*<proof>*

**lemma** *length-stream-dec[simp]*:  $\text{length } (\text{stream-dec } n \text{ } FO \text{ } x) = n$   
*<proof>*

**lemma** *stream-enc-dec*:  
 $\llbracket \exists n. \text{sdrop } n \text{ } (\text{smap } \text{fst } s) = \text{sconst } \text{any}; \text{stream-all } (\lambda x. \text{length } (\text{snd } x) = n) \text{ } s; \forall i \in FO. (\exists ! p. \text{snd } (s !! p) ! i) \rrbracket \implies$   
*stream-enc } (\text{dec-word } s, \text{stream-dec } n \text{ } FO \text{ } s) = s*  
*<proof>*

**lemma** *stream-enc-unique*:  
 $i < \text{length } I \implies \exists p. I ! i = \text{Inl } p \implies \exists ! p. \text{snd } (\text{stream-enc } (w, I) !! p) ! i$   
*<proof>*

**lemma** *stream-dec-enc-Inl*:  
 $\llbracket \text{stream-dec } n \text{ } FO \text{ } (\text{stream-enc } (w, I)) ! i = \text{Inl } p'; I ! i = \text{Inl } p; i \in FO; i < n; \text{length } I = n \rrbracket \implies$   
*p = p'*  
*<proof>*

**lemma** *stream-dec-enc-Inr*:  
 $\llbracket \text{stream-dec } n \text{ } FO \text{ } (\text{stream-enc } (w, I)) ! i = \text{Inr } P'; I ! i = \text{Inr } P; i \notin FO; i < n; \text{length } I = n \rrbracket \implies$   
*P = P'*  
*<proof>*

**lemma** *Collect-snth*:  $\{p. P ((x \#\# s) !! p)\} \subseteq \{0\} \cup \text{Suc } \text{' } \{p. P (s !! p)\}$   
*<proof>*

**lemma** *finite-True-in-row*:  $\forall i < n. \text{finite } \{p. \text{snd } ((w \text{ @- } \text{sconst } (\text{any}, \text{replicate } n \text{ False})) \text{ !! } p) \text{ ! } i\}$

*<proof>*

**lemma** *lang-ENC*:

**assumes**  $FO \subseteq \{0 ..< n\}$   $SO \subseteq \{0 ..< n\} - FO$

**shows**  $\text{lang } n \text{ (ENC } n \text{ FO)} = \bigcup \{\text{enc } (w, I) \mid w \text{ I} . \text{length } I = n \wedge \text{wf-interp } w \text{ I}$

$\wedge$

$(\forall i \in FO. \text{case } I \text{ ! } i \text{ of Inl } - \Rightarrow \text{True} \mid \text{Inr } - \Rightarrow \text{False}) \wedge$

$(\forall i \in SO. \text{case } I \text{ ! } i \text{ of Inl } - \Rightarrow \text{False} \mid \text{Inr } - \Rightarrow \text{True})\}$

**(is ?L = ?R)**

*<proof>*

**lemma** *lang-ENC-formula*:

**assumes** *wf-formula*  $n \ \varphi$

**shows**  $\text{lang } n \text{ (ENC } n \text{ (FOV } \varphi)) = \bigcup \{\text{enc } (w, I) \mid w \text{ I} . \text{length } I = n \wedge \text{wf-interp-for-formula } (w, I) \ \varphi\}$

*<proof>*

## 13.2 Welldefinedness of enc wrt. Models

**lemma** *wf-interp-for-formula-FExists*:

$\llbracket \text{wf-formula } (\text{length } I) \text{ (FExists } \varphi) \rrbracket \Longrightarrow$

$\text{wf-interp-for-formula } (w, I) \text{ (FExists } \varphi) \longleftrightarrow (\forall p. \text{wf-interp-for-formula } (w, \text{Inl } p \# I) \ \varphi)$

*<proof>*

**lemma** *wf-interp-for-formula-any-Inl*:  $\text{wf-interp-for-formula } (w, \text{Inl } p \# I) \ \varphi \Longrightarrow$

$\forall p. \text{wf-interp-for-formula } (w, \text{Inl } p \# I) \ \varphi$

*<proof>*

**lemma** *wf-interp-for-formula-FEXISTS*:

$\llbracket \text{wf-formula } (\text{length } I) \text{ (FEXISTS } \varphi) \rrbracket \Longrightarrow$

$\text{wf-interp-for-formula } (w, I) \text{ (FEXISTS } \varphi) \longleftrightarrow (\forall P. \text{finite } P \longrightarrow \text{wf-interp-for-formula } (w, \text{Inr } P \# I) \ \varphi)$

*<proof>*

**lemma** *wf-interp-for-formula-any-Inr*:  $\text{wf-interp-for-formula } (w, \text{Inr } P \# I) \ \varphi \Longrightarrow$

$\forall P. \text{finite } P \longrightarrow \text{wf-interp-for-formula } (w, \text{Inr } P \# I) \ \varphi$

*<proof>*

**lemma** *wf-interp-for-formula-FOr*:

$\text{wf-interp-for-formula } (w, I) \text{ (FOr } \varphi1 \ \varphi2) =$

$(\text{wf-interp-for-formula } (w, I) \ \varphi1 \wedge \text{wf-interp-for-formula } (w, I) \ \varphi2)$

*<proof>*

**lemma** *wf-interp-for-formula-FAnd*:

$\text{wf-interp-for-formula } (w, I) \text{ (FAnd } \varphi1 \ \varphi2) =$

$(\text{wf-interp-for-formula } (w, I) \ \varphi1 \wedge \text{wf-interp-for-formula } (w, I) \ \varphi2)$

$\langle \text{proof} \rangle$

**lemma** *enc-wf-interp*:

$\llbracket \text{wf-formula } (\text{length } I) \ \varphi; \text{wf-interp-for-formula } (w, I) \ \varphi; x \in \text{enc } (w, I) \rrbracket \implies$   
 $\text{wf-interp-for-formula } (\text{dec-word } (x \ @- \ \text{sconst } (\text{any}, \text{replicate } (\text{length } I) \ \text{False})),$   
 $\text{stream-dec } (\text{length } I) \ (\text{FOV } \varphi) \ (x \ @- \ \text{sconst } (\text{any}, \text{replicate } (\text{length } I) \ \text{False})))$   
 $\varphi$   
 $\langle \text{proof} \rangle$

**lemma** *enc-atom-welldef*:  $\forall x \ a. \ \text{enc-atom } I \ x \ a = \text{enc-atom } I' \ x \ a \implies m < \text{length } I \implies$

$(\text{case } (I \ ! \ m, I' \ ! \ m) \ \text{of } (\text{Inl } p, \text{Inl } q) \Rightarrow p = q \mid (\text{Inr } P, \text{Inr } Q) \Rightarrow P = Q \mid - \Rightarrow$   
 $\text{True})$   
 $\langle \text{proof} \rangle$

**lemma** *stream-enc-welldef*:  $\llbracket \text{stream-enc } (w, I) = \text{stream-enc } (w', I'); \text{wf-formula } (\text{length } I) \ \varphi;$

$\text{wf-interp-for-formula } (w, I) \ \varphi; \text{wf-interp-for-formula } (w', I') \ \varphi \rrbracket \implies$   
 $(w, I) \models \varphi \longleftrightarrow (w', I') \models \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *lang<sub>WS1S</sub>-FOr*:

**assumes** *wf-formula*  $n \ (\text{FOr } \varphi_1 \ \varphi_2)$   
**shows**  $\text{lang}_{\text{WS1S}} \ n \ (\text{FOr } \varphi_1 \ \varphi_2) \subseteq$   
 $(\text{lang}_{\text{WS1S}} \ n \ \varphi_1 \cup \text{lang}_{\text{WS1S}} \ n \ \varphi_2) \cap \bigcup \{ \text{enc } (w, I) \mid w \ I. \ \text{length } I = n \wedge$   
 $\text{wf-interp-for-formula } (w, I) \ (\text{FOr } \varphi_1 \ \varphi_2) \}$   
**(is -  $\subseteq$  (?L1  $\cup$  ?L2)  $\cap$  ?ENC)**  
 $\langle \text{proof} \rangle$

**lemma** *lang<sub>WS1S</sub>-FAnd*:

**assumes** *wf-formula*  $n \ (\text{FAnd } \varphi_1 \ \varphi_2)$   
**shows**  $\text{lang}_{\text{WS1S}} \ n \ (\text{FAnd } \varphi_1 \ \varphi_2) \subseteq$   
 $\text{lang}_{\text{WS1S}} \ n \ \varphi_1 \cap \text{lang}_{\text{WS1S}} \ n \ \varphi_2 \cap \bigcup \{ \text{enc } (w, I) \mid w \ I. \ \text{length } I = n \wedge$   
 $\text{wf-interp-for-formula } (w, I) \ (\text{FAnd } \varphi_1 \ \varphi_2) \}$   
 $\langle \text{proof} \rangle$

### 13.3 From WS1S to Regular expressions

**fun** *rexp-of* ::  $\text{nat} \Rightarrow 'a \ \text{formula} \Rightarrow ('a \ \text{atom}) \ \text{rexp} \ \text{where}$

$\text{rexp-of } n \ (\text{FQ } a \ m) =$   
 $\text{Inter } (\text{TIMES } [\text{rexp.Not Zero}, \text{Atom } (\text{AQ } m \ a), \text{rexp.Not Zero}]$   
 $(\text{ENC } n \ (\text{FOV } (\text{FQ } a \ m))))$   
 $\mid \text{rexp-of } n \ (\text{FLess } m1 \ m2) = (\text{if } m1 = m2 \ \text{then Zero else}$   
 $\text{Inter } (\text{TIMES } [\text{rexp.Not Zero}, \text{Atom } (\text{Arbitrary-Except } m1 \ \text{True}),$   
 $\text{rexp.Not Zero}, \text{Atom } (\text{Arbitrary-Except } m2 \ \text{True}),$   
 $\text{rexp.Not Zero}] (\text{ENC } n \ (\text{FOV } (\text{FLess } m1 \ m2 :: 'a \ \text{formula}))))$   
 $\mid \text{rexp-of } n \ (\text{FIn } m \ M) =$   
 $\text{Inter } (\text{TIMES } [\text{rexp.Not Zero}, \text{Atom } (\text{Arbitrary-Except2 } m \ M), \text{rexp.Not Zero}]$   
 $(\text{ENC } n \ (\text{FOV } (\text{FIn } m \ M :: 'a \ \text{formula}))))$

|  $\text{rexp-of } n \text{ (FNot } \varphi) = \text{Inter } (\text{rexp.Not } (\text{rexp-of } n \varphi)) \text{ (ENC } n \text{ (FOV (FNot } \varphi)))$   
 |  $\text{rexp-of } n \text{ (FOr } \varphi_1 \varphi_2) = \text{Inter } (\text{Plus } (\text{rexp-of } n \varphi_1) (\text{rexp-of } n \varphi_2)) \text{ (ENC } n \text{ (FOV (FOr } \varphi_1 \varphi_2)))$   
 |  $\text{rexp-of } n \text{ (FAnd } \varphi_1 \varphi_2) = \text{INTERSECT } [\text{rexp-of } n \varphi_1, \text{rexp-of } n \varphi_2, \text{ENC } n \text{ (FOV (FAnd } \varphi_1 \varphi_2))]$   
 |  $\text{rexp-of } n \text{ (FExists } \varphi) = \text{samequot-exec } (\text{any, replicate } n \text{ False}) \text{ (Pr } (\text{rexp-of } (n + 1) \varphi))$   
 |  $\text{rexp-of } n \text{ (FEXISTS } \varphi) = \text{samequot-exec } (\text{any, replicate } n \text{ False}) \text{ (Pr } (\text{rexp-of } (n + 1) \varphi))$

**fun**  $\text{rexp-of-alt} :: \text{nat} \Rightarrow 'a \text{ formula} \Rightarrow ('a \text{ atom}) \text{ rexp where}$   
 $\text{rexp-of-alt } n \text{ (FQ } a \text{ m)} =$   
 $\text{TIMES } [\text{rexp.Not Zero, Atom (AQ } m \text{ a), rexp.Not Zero}]$   
 |  $\text{rexp-of-alt } n \text{ (FLess } m1 \text{ m2)} = (\text{if } m1 = m2 \text{ then Zero else}$   
 $\text{TIMES } [\text{rexp.Not Zero, Atom (Arbitrary-Except } m1 \text{ True),}$   
 $\text{rexp.Not Zero, Atom (Arbitrary-Except } m2 \text{ True),}$   
 $\text{rexp.Not Zero}]$   
 |  $\text{rexp-of-alt } n \text{ (FIn } m \text{ M)} =$   
 $\text{TIMES } [\text{rexp.Not Zero, Atom (Arbitrary-Except2 } m \text{ M), rexp.Not Zero}]$   
 |  $\text{rexp-of-alt } n \text{ (FNot } \varphi) = \text{rexp.Not } (\text{rexp-of-alt } n \varphi)$   
 |  $\text{rexp-of-alt } n \text{ (FOr } \varphi_1 \varphi_2) = \text{Plus } (\text{rexp-of-alt } n \varphi_1) (\text{rexp-of-alt } n \varphi_2)$   
 |  $\text{rexp-of-alt } n \text{ (FAnd } \varphi_1 \varphi_2) = \text{Inter } (\text{rexp-of-alt } n \varphi_1) (\text{rexp-of-alt } n \varphi_2)$   
 |  $\text{rexp-of-alt } n \text{ (FExists } \varphi) = \text{samequot-exec } (\text{any, replicate } n \text{ False}) \text{ (Pr (Inter (rexp-of-alt } (n + 1) \varphi) \text{ (ENC (Suc } n) \text{ (FOV } \varphi))))}$   
 |  $\text{rexp-of-alt } n \text{ (FEXISTS } \varphi) = \text{samequot-exec } (\text{any, replicate } n \text{ False}) \text{ (Pr (Inter (rexp-of-alt } (n + 1) \varphi) \text{ (ENC (Suc } n) \text{ (FOV } \varphi))))}$

**definition**  $\text{rexp-of}' n \varphi = \text{Inter } (\text{rexp-of-alt } n \varphi) \text{ (ENC } n \text{ (FOV } \varphi))$

**fun**  $\text{rexp-of-alt}' :: \text{nat} \Rightarrow 'a \text{ formula} \Rightarrow ('a \text{ atom}) \text{ rexp where}$   
 $\text{rexp-of-alt}' n \text{ (FQ } a \text{ m)} = \text{TIMES } [\text{Full, Atom (AQ } m \text{ a), Full}]$   
 |  $\text{rexp-of-alt}' n \text{ (FLess } m1 \text{ m2)} = (\text{if } m1 = m2 \text{ then Zero else}$   
 $\text{TIMES } [\text{Full, Atom (Arbitrary-Except } m1 \text{ True), Full, Atom (Arbitrary-Except}$   
 $m2 \text{ True), Full])}$   
 |  $\text{rexp-of-alt}' n \text{ (FIn } m \text{ M)} = \text{TIMES } [\text{Full, Atom (Arbitrary-Except2 } m \text{ M), Full}]$   
 |  $\text{rexp-of-alt}' n \text{ (FNot } \varphi) = \text{rexp.Not } (\text{rexp-of-alt}' n \varphi)$   
 |  $\text{rexp-of-alt}' n \text{ (FOr } \varphi_1 \varphi_2) = \text{Plus } (\text{rexp-of-alt}' n \varphi_1) (\text{rexp-of-alt}' n \varphi_2)$   
 |  $\text{rexp-of-alt}' n \text{ (FAnd } \varphi_1 \varphi_2) = \text{Inter } (\text{rexp-of-alt}' n \varphi_1) (\text{rexp-of-alt}' n \varphi_2)$   
 |  $\text{rexp-of-alt}' n \text{ (FExists } \varphi) = \text{samequot-exec } (\text{any, replicate } n \text{ False}) \text{ (Pr (Inter (rexp-of-alt}' (n + 1) \varphi) \text{ (ENC (n + 1) \{0\})))}$   
 |  $\text{rexp-of-alt}' n \text{ (FEXISTS } \varphi) = \text{samequot-exec } (\text{any, replicate } n \text{ False}) \text{ (Pr (rexp-of-alt}' (n + 1) \varphi))$

**definition**  $\text{rexp-of}'' n \varphi = \text{Inter } (\text{rexp-of-alt}' n \varphi) \text{ (ENC } n \text{ (FOV } \varphi))$

**lemma**  $\text{enc-eqI}$ :

**assumes**  $x \in \text{enc } (w, I) \text{ } x \in \text{enc } (w', I') \text{ wf-interp-for-formula } (w, I) \varphi$   
 $\text{wf-interp-for-formula } (w', I') \varphi$   
 $\text{length } I = \text{length } I'$



**shows**  $enc(w, I) = enc(w', I')$   
 ⟨proof⟩

**lemma** *enc-eq-welldef*:

$\llbracket enc(w, I) = enc(w', I'); wf\text{-formula}(length\ I)\ \varphi; wf\text{-interp-for-formula}(w, I)\ \varphi; wf\text{-interp-for-formula}(w', I')\ \varphi \rrbracket \implies$   
 $(w, I) \models \varphi \longleftrightarrow (w', I') \models \varphi$   
 ⟨proof⟩

**lemma** *enc-welldef*:

$\llbracket x \in enc(w, I); x \in enc(w', I'); length\ I = length\ I'; wf\text{-formula}(length\ I)\ \varphi; wf\text{-interp-for-formula}(w, I)\ \varphi; wf\text{-interp-for-formula}(w', I')\ \varphi \rrbracket \implies$   
 $(w, I) \models \varphi \longleftrightarrow (w', I') \models \varphi$   
 ⟨proof⟩

**lemma** *wf-rexp-of*:  $wf\text{-formula}\ n\ \varphi \implies wf\ n\ (rexp\text{-of}\ n\ \varphi)$   
 ⟨proof⟩

**theorem** *lang<sub>WS1S</sub>-rexp-of*:  $wf\text{-formula}\ n\ \varphi \implies lang_{WS1S}\ n\ \varphi = lang\ n\ (rexp\text{-of}\ n\ \varphi)$   
 (is  $- \implies - = ?L\ n\ \varphi$ )  
 ⟨proof⟩

**lemma** *wf-rexp-of-alt*:  $wf\text{-formula}\ n\ \varphi \implies wf\ n\ (rexp\text{-of}\text{-alt}\ n\ \varphi)$   
 ⟨proof⟩

**lemma** *wf-rexp-of'*:  $wf\text{-formula}\ n\ \varphi \implies wf\ n\ (rexp\text{-of}'\ n\ \varphi)$   
 ⟨proof⟩

**lemma** *wf-rexp-of-alt'*:  $wf\text{-formula}\ n\ \varphi \implies wf\ n\ (rexp\text{-of}\text{-alt}'\ n\ \varphi)$   
 ⟨proof⟩

**lemma** *wf-rexp-of''*:  $wf\text{-formula}\ n\ \varphi \implies wf\ n\ (rexp\text{-of}''\ n\ \varphi)$   
 ⟨proof⟩

**lemma** *ENC-FNot*:  $ENC\ n\ (FOV\ (FNot\ \varphi)) = ENC\ n\ (FOV\ \varphi)$   
 ⟨proof⟩

**lemma** *ENC-FAnd*:

$wf\text{-formula}\ n\ (FAnd\ \varphi\ \psi) \implies lang\ n\ (ENC\ n\ (FOV\ (FAnd\ \varphi\ \psi))) \subseteq lang\ n\ (ENC\ n\ (FOV\ \varphi)) \cap lang\ n\ (ENC\ n\ (FOV\ \psi))$   
 ⟨proof⟩

**lemma** *ENC-FOr*:

$wf\text{-formula}\ n\ (FOr\ \varphi\ \psi) \implies lang\ n\ (ENC\ n\ (FOV\ (FOr\ \varphi\ \psi))) \subseteq lang\ n\ (ENC\ n\ (FOV\ \varphi)) \cap lang\ n\ (ENC\ n\ (FOV\ \psi))$   
 ⟨proof⟩

**lemma** *ENC-FExists:*

*wf-formula*  $n$  (*FExists*  $\varphi$ )  $\implies$  *lang*  $n$  (*ENC*  $n$  (*FOV* (*FExists*  $\varphi$ ))) =  
*SAMEQUOT* (*any, replicate*  $n$  *False*) (*map*  $\pi$  ' *lang* (*Suc*  $n$ ) (*ENC* (*Suc*  $n$ ) (*FOV*  
 $\varphi$ ))) (**is** -  $\implies$  ?*L* = ?*R*)  
 $\langle$ *proof* $\rangle$

**lemma** *ENC-FEXISTS:*

*wf-formula*  $n$  (*FEXISTS*  $\varphi$ )  $\implies$  *lang*  $n$  (*ENC*  $n$  (*FOV* (*FEXISTS*  $\varphi$ ))) =  
*SAMEQUOT* (*any, replicate*  $n$  *False*) (*map*  $\pi$  ' *lang* (*Suc*  $n$ ) (*ENC* (*Suc*  $n$ ) (*FOV*  
 $\varphi$ ))) (**is** -  $\implies$  ?*L* = ?*R*)  
 $\langle$ *proof* $\rangle$

**lemma** *lang<sub>W<sub>S1S</sub></sub>*-rexp-of-rexp-of':

*wf-formula*  $n$   $\varphi \implies$  *lang*  $n$  (*rexp-of*  $n$   $\varphi$ ) = *lang*  $n$  (*rexp-of'*  $n$   $\varphi$ )  
 $\langle$ *proof* $\rangle$

**lemma** *SAMEQUTO-UN[simp]:* *SAMEQUOT*  $x$  ( $\bigcup y \in A. B y$ ) = ( $\bigcup y \in A.$   
*SAMEQUOT*  $x$  ( $B y$ ))

$\langle$ *proof* $\rangle$

**lemma** *finite-positions-in-row[simp]:*

$n > 0 \implies$  *finite* (*positions-in-row* ( $x @- \text{sconst}$  (*any, replicate*  $n$  *False*)) 0)  
 $\langle$ *proof* $\rangle$

**lemma** *fin-cut-same-snoc:* *fin-cut-same*  $x$  ( $xs @ [y]$ ) = (*if*  $x = y$  *then fin-cut-same*  
 $x$  *xs* *else*  $xs @ [y]$ )

$\langle$ *proof* $\rangle$

**lemma** *fin-cut-same-idem:* *fin-cut-same*  $x$  (*fin-cut-same*  $x$   $xs$ ) = *fin-cut-same*  $x$   $xs$

$\langle$ *proof* $\rangle$

**lemma** *cut-same-sconst:* *cut-same*  $x$  ( $xs @- \text{sconst}$   $x$ ) = *fin-cut-same*  $x$   $xs$

$\langle$ *proof* $\rangle$

**lemma** *length-cut-same:* *length* (*cut-same*  $x$   $s$ ) = (*LEAST*  $n. \text{sdrop}$   $n$   $s = \text{sconst}$   
 $x$ )

$\langle$ *proof* $\rangle$

**lemma** *enc-alt:* *wf-interp*  $w$   $I \implies$

$x \in \text{enc}$  ( $w, I$ )  $\iff$   $x @- \text{sconst}$  ((*any, replicate* (*length*  $I$ ) *False*)) = *stream-enc*  
 $(w, I)$

$\langle$ *proof* $\rangle$

**lemma** *stream-stream-eqI:*  $\llbracket \forall (-, x) \in \text{sset } xs. x \neq []; \forall (-, x) \in \text{sset } ys. x \neq [];$

$\text{smap}$  ( $\lambda(-, x). \text{hd}$   $x$ )  $xs = \text{smap}$  ( $\lambda(-, x). \text{hd}$   $x$ )  $ys$ ;  $\text{smap}$   $\pi$   $xs = \text{smap}$   $\pi$   $ys$   $\implies$   
 $xs = ys$

$\langle$ *proof* $\rangle$

**lemma** *project-enc-extend:*

**fixes**  $x I$   
**defines**  $n \equiv \text{length } I$   
**defines**  $z \equiv \lambda n. (\text{any, replicate } n \text{ False})$   
**defines**  $I' \equiv \text{Inr } (\text{positions-in-row } (x @- \text{sconst } (z (\text{Suc } n))) 0) \# I$   
**assumes**  $wf: wf\text{-interp } w I$   
**assumes**  $enc: \text{fin-cut-same } (z n) (\text{map } \pi x) @ \text{replicate } m (z n) \in enc (w, I)$   
**assumes**  $\text{nonempty}: \forall (-, x) \in \text{set } x. x \neq []$   
**shows**  $x \in enc (w, I')$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{pred-case-conv}: x - \text{Suc } 0 = (\text{case } x \text{ of } 0 \Rightarrow 0 \mid \text{Suc } m \Rightarrow m)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{in-pred-image-iff}: 0 \notin X \Longrightarrow (x \in (\lambda x. x - \text{Suc } 0) ' X) = (\text{Suc } x \in X)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{map-project-Int-ENC}$ :

**fixes**  $X Z n$   
**defines**  $z \equiv (\text{any, replicate } n \text{ False})$   
**assumes**  $0 \notin X \ X \subseteq \{0 ..< n + 1\} \ Z \subseteq \text{lists } ((\text{set } o \ \sigma \ \Sigma) (n + 1))$   
**shows**  $\text{SAMEQUOT } z (\text{map } \pi ' (Z \cap \text{lang } (n + 1) (\text{ENC } (n + 1) X))) =$   
 $\text{SAMEQUOT } z (\text{map } \pi ' Z) \cap \text{lang } n (\text{ENC } n ((\lambda x. x - 1) ' X))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lang-ENC-split}$ :

**assumes**  $\text{finite } X \ X = Y1 \cup Y2 \ n = 0 \vee (\forall p \in X. p < n)$   
**shows**  $\text{lang } n (\text{ENC } n X) = \text{lang } n (\text{ENC } n Y1) \cap \text{lang } n (\text{ENC } n Y2)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{map-project-ENC}$ :

**fixes**  $n$   
**assumes**  $X \subseteq \{0 ..< n + 1\} \ Z \subseteq \text{lists } ((\text{set } o \ \sigma \ \Sigma) (n + 1))$   
**defines**  $z \equiv (\text{any, replicate } n \text{ False})$   
**shows**  $\text{SAMEQUOT } z (\text{map } \pi ' (Z \cap \text{lang } (n + 1) (\text{ENC } (n + 1) X))) =$   
 $(\text{if } 0 \in X$   
 $\text{then } \text{SAMEQUOT } z (\text{map } \pi ' (Z \cap \text{lang } (n + 1) (\text{ENC } (n + 1) \{0\}))) \cap \text{lang}$   
 $n (\text{ENC } n ((\lambda x. x - 1) ' (X - \{0\})))$   
 $\text{else } \text{SAMEQUOT } z (\text{map } \pi ' Z) \cap \text{lang } n (\text{ENC } n ((\lambda x. x - 1) ' (X - \{0\})))$   
 $(\text{is } ?L = (\text{if - then } ?R1 \text{ else } ?R2))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lang}_{M2L}\text{-rexp-of'-rexp-of''}$ :

$wf\text{-formula } n \ \varphi \Longrightarrow \text{lang } n (\text{rexp-of}' n \ \varphi) = \text{lang } n (\text{rexp-of}'' n \ \varphi)$   
 $\langle \text{proof} \rangle$

**theorem**  $\text{lang}_{WS1S}\text{-rexp-of'}$ :  $wf\text{-formula } n \ \varphi \Longrightarrow \text{lang}_{WS1S} n \ \varphi = \text{lang } n (\text{rexp-of}'$

$n \ \varphi)$   
 $\langle \text{proof} \rangle$

**theorem** *lang<sub>WS1S</sub>-rexp-of''*: *wf-formula*  $n \varphi \implies \text{lang}_{WS1S} n \varphi = \text{lang } n (\text{rexp-of''}$

$n \varphi)$   
 ⟨*proof*⟩

**end**

## 14 Normalization of WS1S Formulas

**fun** *nNot* **where**

$nNot (FNot \varphi) = \varphi$   
 |  $nNot (FAnd \varphi1 \varphi2) = FOr (nNot \varphi1) (nNot \varphi2)$   
 |  $nNot (FOr \varphi1 \varphi2) = FAnd (nNot \varphi1) (nNot \varphi2)$   
 |  $nNot \varphi = FNot \varphi$

**primrec** *norm* **where**

$norm (FQ a m) = FQ a m$   
 |  $norm (FLess m n) = FLess m n$   
 |  $norm (FIn m M) = FIn m M$   
 |  $norm (FOr \varphi \psi) = FOr (norm \varphi) (norm \psi)$   
 |  $norm (FAnd \varphi \psi) = FAnd (norm \varphi) (norm \psi)$   
 |  $norm (FNot \varphi) = nNot (norm \varphi)$   
 |  $norm (FExists \varphi) = FExists (norm \varphi)$   
 |  $norm (FEXISTS \varphi) = FEXISTS (norm \varphi)$

**context** *formula*

**begin**

**lemma** *satisfies-nNot[simp]*:  $(w, I) \models nNot \varphi \longleftrightarrow (w, I) \models FNot \varphi$

⟨*proof*⟩

**lemma** *FOV-nNot[simp]*:  $FOV (nNot \varphi) = FOV (FNot \varphi)$

⟨*proof*⟩

**lemma** *SOV-nNot[simp]*:  $SOV (nNot \varphi) = SOV (FNot \varphi)$

⟨*proof*⟩

**lemma** *pre-wf-formula-nNot[simp]*: *pre-wf-formula*  $n (nNot \varphi) = \text{pre-wf-formula}$

$n (FNot \varphi)$

⟨*proof*⟩

**lemma** *FOV-norm[simp]*:  $FOV (norm \varphi) = FOV \varphi$

⟨*proof*⟩

**lemma** *SOV-norm[simp]*:  $SOV (norm \varphi) = SOV \varphi$

⟨*proof*⟩

**lemma** *pre-wf-formula-norm[simp]*: *pre-wf-formula*  $n (norm \varphi) = \text{pre-wf-formula}$

$n \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *satisfies-norm[simp]*:  $wI \models \text{norm } \varphi \longleftrightarrow wI \models \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *lang<sub>WS1S</sub>-norm[simp]*:  $\text{lang}_{WS1S} n (\text{norm } \varphi) = \text{lang}_{WS1S} n \varphi$   
 $\langle \text{proof} \rangle$

**end**

## 15 Deciding Equivalence of WS1S Formulas

**global-interpretation** *embed2 set o  $\sigma$   $\Sigma$  wf-atom  $\Sigma$   $\pi$  lookup  $\varepsilon$   $\Sigma$  case-prod Singleton*

**for**  $\Sigma :: 'a :: \text{linorder list}$

**defines**

$\mathfrak{D} = \text{embed.lderiv lookup } (\varepsilon \Sigma)$

**and**  $\text{Co}\mathfrak{D} = \text{embed.lderiv-dual lookup } (\varepsilon \Sigma)$

**and**  $r\mathfrak{D} = \text{embed.rderiv lookup } (\varepsilon \Sigma)$

**and**  $r\mathfrak{D}\text{-add} = \text{embed2.rderiv-and-add lookup } (\varepsilon \Sigma)$

**and**  $\mathfrak{Q} = \text{embed2.samequot-exec lookup } (\varepsilon \Sigma)$  (*case-prod Singleton*)

$\langle \text{proof} \rangle$

**lemma** *enum-not-empty[simp]*:  $\text{Enum.enum} \neq []$  (**is**  $?enum \neq []$ )  
 $\langle \text{proof} \rangle$

**global-interpretation**  $\Phi$ : *formula Enum.enum :: 'a :: {enum, linorder} list*

**rewrites** *embed2.samequot-exec lookup* ( $\varepsilon$  ( $\text{Enum.enum} :: 'a :: \{\text{enum}, \text{linorder}\}$  list)) (*case-prod Singleton*) =  $\mathfrak{Q}$   $\text{Enum.enum}$

**defines**

*pre-wf-formula* =  $\Phi.\text{pre-wf-formula}$

**and** *wf-formula* =  $\Phi.\text{wf-formula}$

**and** *rexp-of* =  $\Phi.\text{rexp-of}$

**and** *rexp-of-alt* =  $\Phi.\text{rexp-of-alt}$

**and** *rexp-of-alt'* =  $\Phi.\text{rexp-of-alt}'$

**and** *rexp-of''* =  $\Phi.\text{rexp-of}''$

**and** *valid-ENC* =  $\Phi.\text{valid-ENC}$

**and** *ENC* =  $\Phi.\text{ENC}$

**and** *dec-interp* =  $\Phi.\text{stream-dec}$

**and** *any* =  $\Phi.\text{any}$

$\langle \text{proof} \rangle$

**lemmas** *lang<sub>WS1S</sub>-rexp-of-norm* = *trans*[*OF sym*[*OF*  $\Phi.\text{lang}_{WS1S}\text{-norm}$ ]  $\Phi.\text{lang}_{WS1S}\text{-rexp-of}$ ]

**lemmas** *lang<sub>WS1S</sub>-rexp-of'-norm* = *trans*[*OF sym*[*OF*  $\Phi.\text{lang}_{WS1S}\text{-norm}$ ]  $\Phi.\text{lang}_{WS1S}\text{-rexp-of}'$ ]

**lemmas** *lang<sub>WS1S</sub>-rexp-of''-norm* = *trans*[*OF sym*[*OF*  $\Phi.\text{lang}_{WS1S}\text{-norm}$ ]  $\Phi.\text{lang}_{WS1S}\text{-rexp-of}''$ ]

$\langle ML \rangle$

**global-interpretation**  $D$ :  $rexp\text{-}DFA \ \sigma \ \Sigma \ wf\text{-}atom \ \Sigma \ \pi \ lookup \ \lambda x. \ \langle pnorm \ (inorm \ x) \rangle$

$\lambda a \ r. \ \langle \mathfrak{D} \ \Sigma \ a \ r \rangle \ final \ alphabet.wf \ (wf\text{-}atom \ \Sigma) \ n \ pnorm \ lang \ \Sigma \ n \ n$   
**for**  $\Sigma :: 'a :: linorder \ list$  **and**  $n :: nat$   
**defines**  
   $test = rexp\text{-}DA.test \ (final :: 'a \ atom \ rexp \Rightarrow \ bool)$   
  **and**  $step = rexp\text{-}DA.step \ (\sigma \ \Sigma) \ (\lambda a \ r. \ \langle \mathfrak{D} \ \Sigma \ a \ r \rangle) \ pnorm \ n$   
  **and**  $closure = rexp\text{-}DA.closure \ (\sigma \ \Sigma) \ (\lambda a \ r. \ \langle \mathfrak{D} \ \Sigma \ a \ r \rangle) \ final \ pnorm \ n$   
  **and**  $check\text{-}eqvRE = rexp\text{-}DA.check\text{-}eqv \ (\sigma \ \Sigma) \ (\lambda x. \ \langle pnorm \ (inorm \ x) \rangle) \ (\lambda a \ r. \ \langle \mathfrak{D} \ \Sigma \ a \ r \rangle) \ final \ pnorm \ n$   
  **and**  $test\text{-}invariant = rexp\text{-}DA.test\text{-}invariant \ (final :: 'a \ atom \ rexp \Rightarrow \ bool) ::$   
     $(('a \times \ bool \ list) \ list \times \ -) \ list \times \ - \Rightarrow \ bool$   
  **and**  $step\text{-}invariant = rexp\text{-}DA.step\text{-}invariant \ (\sigma \ \Sigma) \ (\lambda a \ r. \ \langle \mathfrak{D} \ \Sigma \ a \ r \rangle) \ pnorm \ n$   
  **and**  $closure\text{-}invariant = rexp\text{-}DA.closure\text{-}invariant \ (\sigma \ \Sigma) \ (\lambda a \ r. \ \langle \mathfrak{D} \ \Sigma \ a \ r \rangle) \ final \ pnorm \ n$   
  **and**  $counterexampleRE = rexp\text{-}DA.counterexample \ (\sigma \ \Sigma) \ (\lambda x. \ \langle pnorm \ (inorm \ x) \rangle) \ (\lambda a \ r. \ \langle \mathfrak{D} \ \Sigma \ a \ r \rangle) \ final \ pnorm \ n$   
  **and**  $reachable = rexp\text{-}DA.reachable \ (\sigma \ \Sigma) \ (\lambda x. \ \langle pnorm \ (inorm \ x) \rangle) \ (\lambda a \ r. \ \langle \mathfrak{D} \ \Sigma \ a \ r \rangle) \ pnorm \ n$   
  **and**  $automaton = rexp\text{-}DA.automaton \ (\sigma \ \Sigma) \ (\lambda x. \ \langle pnorm \ (inorm \ x) \rangle) \ (\lambda a \ r. \ \langle \mathfrak{D} \ \Sigma \ a \ r \rangle) \ pnorm \ n$   
   $\langle proof \rangle$

**definition**  $check\text{-}eqv$  **where**

$check\text{-}eqv \ n \ \varphi \ \psi \longleftrightarrow wf\text{-}formula \ n \ (FOr \ \varphi \ \psi) \wedge$   
 $slow.check\text{-}eqvRE \ Enum.enum \ n \ (rexp\text{-}of'' \ n \ (norm \ \varphi)) \ (rexp\text{-}of'' \ n \ (norm \ \psi))$

**definition**  $counterexample$  **where**

$counterexample \ n \ \varphi \ \psi =$   
   $map\text{-}option \ (\lambda w. \ dec\text{-}interp \ n \ (FOV \ (FOr \ \varphi \ \psi)) \ (w \ @- \ sconst \ (any, \ replicate \ n \ False)))$   
   $(slow.counterexampleRE \ Enum.enum \ n \ (rexp\text{-}of'' \ n \ (norm \ \varphi)) \ (rexp\text{-}of'' \ n \ (norm \ \psi)))$

**lemma**  $soundness$ :  $slow.check\text{-}eqv \ n \ \varphi \ \psi \Longrightarrow \Phi.lang_{WS1S} \ n \ \varphi = \Phi.lang_{WS1S} \ n \ \psi$   
 $\langle proof \rangle$

**lemma**  $completeness$ :

**assumes**  $\Phi.lang_{WS1S} \ n \ \varphi = \Phi.lang_{WS1S} \ n \ \psi \ wf\text{-}formula \ n \ (FOr \ \varphi \ \psi)$   
  **shows**  $slow.check\text{-}eqv \ n \ \varphi \ \psi$   
   $\langle proof \rangle$

$\langle ML \rangle$

**global-interpretation**  $D$ :  $rexp\text{-}DA\text{-}no\text{-}post \ \sigma \ \Sigma \ wf\text{-}atom \ \Sigma \ \pi \ lookup \ \lambda x. \ pnorm \ (inorm \ x)$

$\lambda a r. \text{pnorm } (\mathfrak{D} \Sigma a r) \text{ final alphabet.wf } (\text{wf-atom } \Sigma) n \text{ lang } \Sigma n n$   
**for**  $\Sigma :: 'a :: \text{linorder list}$  **and**  $n :: \text{nat}$   
**defines**  
 $\text{test} = \text{rexp-DA.test } (\text{final} :: 'a \text{ atom rexp} \Rightarrow \text{bool})$   
**and**  $\text{step} = \text{rexp-DA.step } (\sigma \Sigma) (\lambda a r. \text{pnorm } (\mathfrak{D} \Sigma a r)) \text{ id } n$   
**and**  $\text{closure} = \text{rexp-DA.closure } (\sigma \Sigma) (\lambda a r. \text{pnorm } (\mathfrak{D} \Sigma a r)) \text{ final id } n$   
**and**  $\text{check-eqvRE} = \text{rexp-DA.check-eqv } (\sigma \Sigma) (\lambda x. \text{pnorm } (\text{inorm } x)) (\lambda a r. \text{pnorm } (\mathfrak{D} \Sigma a r)) \text{ final id } n$   
**and**  $\text{test-invariant} = \text{rexp-DA.test-invariant } (\text{final} :: 'a \text{ atom rexp} \Rightarrow \text{bool}) ::$   
 $(( 'a \times \text{bool list}) \text{ list} \times -) \text{ list} \times - \Rightarrow \text{bool}$   
**and**  $\text{step-invariant} = \text{rexp-DA.step-invariant } (\sigma \Sigma) (\lambda a r. \text{pnorm } (\mathfrak{D} \Sigma a r)) \text{ id } n$   
**and**  $\text{closure-invariant} = \text{rexp-DA.closure-invariant } (\sigma \Sigma) (\lambda a r. \text{pnorm } (\mathfrak{D} \Sigma a r)) \text{ final id } n$   
**and**  $\text{counterexampleRE} = \text{rexp-DA.counterexample } (\sigma \Sigma) (\lambda x. \text{pnorm } (\text{inorm } x)) (\lambda a r. \text{pnorm } (\mathfrak{D} \Sigma a r)) \text{ final id } n$   
**and**  $\text{reachable} = \text{rexp-DA.reachable } (\sigma \Sigma) (\lambda x. \text{pnorm } (\text{inorm } x)) (\lambda a r. \text{pnorm } (\mathfrak{D} \Sigma a r)) \text{ id } n$   
**and**  $\text{automaton} = \text{rexp-DA.automaton } (\sigma \Sigma) (\lambda x. \text{pnorm } (\text{inorm } x)) (\lambda a r. \text{pnorm } (\mathfrak{D} \Sigma a r)) \text{ id } n$   
 $\langle \text{proof} \rangle$

**definition check-eqv where**

$\text{check-eqv } n \varphi \psi \longleftrightarrow \text{wf-formula } n (\text{FOr } \varphi \psi) \wedge$   
 $\text{fast.check-eqvRE Enum.enum } n (\text{rexp-of'' } n (\text{norm } \varphi)) (\text{rexp-of'' } n (\text{norm } \psi))$

**definition counterexample where**

$\text{counterexample } n \varphi \psi =$   
 $\text{map-option } (\lambda w. \text{dec-interp } n (\text{FOV } (\text{FOr } \varphi \psi)) (w @- \text{sconst } (\text{any, replicate } n \text{ False})))$   
 $(\text{fast.counterexampleRE Enum.enum } n (\text{rexp-of'' } n (\text{norm } \varphi)) (\text{rexp-of'' } n (\text{norm } \psi)))$

**lemma soundness:**  $\text{fast.check-eqv } n \varphi \psi \Longrightarrow \Phi.\text{lang}_{WS1S} n \varphi = \Phi.\text{lang}_{WS1S} n \psi$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**global-interpretation D:**  $\text{rexp-DA-no-post } \sigma \Sigma \text{ wf-atom } \Sigma \pi \text{ lookup}$

$\lambda x. \text{pnorm-dual } (\text{rexp-dual-of } (\text{inorm } x)) \lambda a r. \text{pnorm-dual } (\text{Co}\mathfrak{D} \Sigma a r) \text{ final-dual}$   
 $\text{alphabet.wf-dual } (\text{wf-atom } \Sigma) n \text{ lang-dual } \Sigma n n$

**for**  $\Sigma :: 'a :: \text{linorder list}$  **and**  $n :: \text{nat}$

**defines**

$\text{test} = \text{rexp-DA.test } (\text{final-dual} :: 'a \text{ atom rexp-dual} \Rightarrow \text{bool})$   
**and**  $\text{step} = \text{rexp-DA.step } (\sigma \Sigma) (\lambda a r. \text{pnorm-dual } (\text{Co}\mathfrak{D} \Sigma a r)) \text{ id } n$   
**and**  $\text{closure} = \text{rexp-DA.closure } (\sigma \Sigma) (\lambda a r. \text{pnorm-dual } (\text{Co}\mathfrak{D} \Sigma a r)) \text{ final-dual id } n$   
**and**  $\text{check-eqvRE} = \text{rexp-DA.check-eqv } (\sigma \Sigma) (\lambda x. \text{pnorm-dual } (\text{rexp-dual-of } (\text{inorm } x))) (\lambda a r. \text{pnorm-dual } (\text{Co}\mathfrak{D} \Sigma a r)) \text{ final-dual id } n$

**and** *test-invariant* = *rexp-DA.test-invariant* (*final-dual* :: 'a atom *rexp-dual* ⇒ bool) ::  
 (('a × bool list) list × -) list × - ⇒ bool  
**and** *step-invariant* = *rexp-DA.step-invariant* (σ Σ) (λa r. *pnorm-dual* (CoD Σ a r)) id n  
**and** *closure-invariant* = *rexp-DA.closure-invariant* (σ Σ) (λa r. *pnorm-dual* (CoD Σ a r)) *final-dual* id n  
**and** *counterexampleRE* = *rexp-DA.counterexample* (σ Σ) (λx. *pnorm-dual* (*rexp-dual-of* (*inorm* x))) (λa r. *pnorm-dual* (CoD Σ a r)) *final-dual* id n  
**and** *reachable* = *rexp-DA.reachable* (σ Σ) (λx. *pnorm-dual* (*rexp-dual-of* (*inorm* x))) (λa r. *pnorm-dual* (CoD Σ a r)) id n  
**and** *automaton* = *rexp-DA.automaton* (σ Σ) (λx. *pnorm-dual* (*rexp-dual-of* (*inorm* x))) (λa r. *pnorm-dual* (CoD Σ a r)) id n  
 ⟨proof⟩

**definition** *check-eqv* **where**

*check-eqv* n φ ψ ↔ *wf-formula* n (FOr φ ψ) ∧  
*dual.check-eqvRE* Enum.enum n (*rexp-of''* n (*norm* φ)) (*rexp-of''* n (*norm* ψ))

**definition** *counterexample* **where**

*counterexample* n φ ψ =  
*map-option* (λw. *dec-interp* n (FOV (FOr φ ψ)) (w @- *sconst* (*any*, *replicate* n False)))  
 (*dual.counterexampleRE* Enum.enum n (*rexp-of''* n (*norm* φ)) (*rexp-of''* n (*norm* ψ)))

**lemma** *soundness*: *dual.check-eqv* n φ ψ ⇒ Φ.*lang*<sub>WS1S</sub> n φ = Φ.*lang*<sub>WS1S</sub> n ψ  
 ⟨proof⟩

⟨ML⟩

## References

- [1] A. Krauss and T. Nipkow. Regular sets and expressions. *Archive of Formal Proofs*, 2010. <http://isa-afp.org/entries/Regular-Sets.shtml>, Formal proof development.
- [2] D. Traytel and T. Nipkow. Verified decision procedures for MSO on words based on derivatives of regular expressions. In G. Morrisett and T. Uustalu, editors, *Proc. Int. Conf. Functional Programming, ICFP 2013*, pages 3–12. ACM, 2013.