

# Decision Procedures for MSO on Words Based on Derivatives of Regular Expressions

Dmitriy Traytel and Tobias Nipkow

September 13, 2023

## Abstract

Monadic second-order logic on finite words (MSO) is a decidable yet expressive logic into which many decision problems can be encoded. Since MSO formulas correspond to regular languages, equivalence of MSO formulas can be reduced to the equivalence of some regular structures (e.g. automata). We verify an executable decision procedure for MSO formulas that is not based on automata but on regular expressions.

Decision procedures for regular expression equivalence have been formalized before (e.g. in Isabelle/HOL [1]), usually based on Brzozowski derivatives. Yet, for a straightforward embedding of MSO formulas into regular expressions an extension of regular expressions with a projection operation is required. We prove total correctness and completeness of an equivalence checker for regular expressions extended in that way. We also define a language-preserving translation of formulas into regular expressions with respect to two different semantics of MSO.

The formalization is described in the ICFP 2013 functional pearl [2].

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# 1 Regular Sets

**type-synonym**  $'a lang = 'a list set$

**definition**  $conc :: 'a lang \Rightarrow 'a lang \Rightarrow 'a lang$  (**infixr** @@ 75) **where**  
 $A @@ B = \{xs@ys \mid xs ys. xs:A \& ys:B\}$

**lemma** [code]:

$A @@ B = (\% (xs, ys). xs @ ys) ` (A \times B)$   
**unfolding**  $conc\text{-}def$  **by** *auto*

**overloading**  $word\text{-}pow == compow :: nat \Rightarrow 'a list \Rightarrow 'a list$   
**begin**

**primrec**  $word\text{-}pow :: nat \Rightarrow 'a list \Rightarrow 'a list$  **where**  
 $word\text{-}pow 0 w = []$  |  
 $word\text{-}pow (Suc n) w = w @ word\text{-}pow n w$

**end**

**overloading**  $lang\text{-}pow == compow :: nat \Rightarrow 'a lang \Rightarrow 'a lang$   
**begin**

**primrec**  $lang\text{-}pow :: nat \Rightarrow 'a lang \Rightarrow 'a lang$  **where**  
 $lang\text{-}pow 0 A = \{[]\}$  |  
 $lang\text{-}pow (Suc n) A = A @@ (lang\text{-}pow n A)$

**end**

**lemma**  $word\text{-}pow\text{-}alt: compow n w = concat (replicate n w)$   
**by** (induct n) *auto*

**definition**  $star :: 'a lang \Rightarrow 'a lang$  **where**  
 $star A = (\bigcup n. A \wedge^n n)$

## 1.1 Concatenation of Languages

**lemma**  $concI[simp,intro]: u : A \implies v : B \implies u@v : A @@ B$   
**by** (auto simp add:  $conc\text{-}def$ )

**lemma**  $concE[elim]:$

**assumes**  $w \in A @@ B$   
**obtains**  $u v$  **where**  $u \in A$   $v \in B$   $w = u@v$   
**using**  $assms$  **by** (auto simp:  $conc\text{-}def$ )

**lemma**  $conc\text{-}mono: A \subseteq C \implies B \subseteq D \implies A @@ B \subseteq C @@ D$   
**by** (auto simp:  $conc\text{-}def$ )

**lemma**  $conc\text{-}empty[simp]: \text{shows } \{\} @@ A = \{\} \text{ and } A @@ \{\} = \{\}$   
**by** *auto*

**lemma**  $conc\text{-}epsilon[simp]: \text{shows } \{[]\} @@ A = A \text{ and } A @@ \{[]\} = A$   
**by** (simp-all add:  $conc\text{-}def$ )

**lemma** *conc-assoc*:  $(A @\@ B) @\@ C = A @\@ (B @\@ C)$   
**by** (*auto elim!*: *conce*) (*simp only*: *append-assoc[symmetric]* *concI*)

**lemma** *conc-Un-distrib*:  
**shows**  $A @\@ (B \cup C) = A @\@ B \cup A @\@ C$   
**and**  $(A \cup B) @\@ C = A @\@ C \cup B @\@ C$   
**by** *auto*

**lemma** *conc-UNION-distrib*:  
**shows**  $A @\@ \bigcup(M ` I) = \bigcup((\%i. A @\@ M i) ` I)$   
**and**  $\bigcup(M ` I) @\@ A = \bigcup((\%i. M i @\@ A) ` I)$   
**by** *auto*

**lemma** *hom-image-conc*:  $\llbracket \bigwedge xs ys. f (xs @ ys) = f xs @ f ys \rrbracket \implies f ` (A @\@ B) = f ` A @\@ f ` B$   
**unfolding** *conc-def* **by** (*auto simp*: *image-iff*) *metis*

**lemma** *map-image-conc*[*simp*]:  $\text{map } f ` (A @\@ B) = \text{map } f ` A @\@ \text{map } f ` B$   
**by** (*simp add*: *hom-image-conc*)

**lemma** *conc-subset-lists*:  $A \subseteq \text{lists } S \implies B \subseteq \text{lists } S \implies A @\@ B \subseteq \text{lists } S$   
**by** (*fastforce simp*: *conc-def in-lists-conv-set*)

## 1.2 Iteration of Languages

**lemma** *lang-pow-add*:  $A \sim (n + m) = A \sim n @\@ A \sim m$   
**by** (*induct n*) (*auto simp*: *conc-assoc*)

**lemma** *lang-pow-simps*:  $(A \sim \text{Suc } n) = (A \sim n @\@ A)$   
**using** *lang-pow-add*[*of n Suc 0 A*] **by** *auto*

**lemma** *lang-pow-empty*:  $\{\} \sim n = (\text{if } n = 0 \text{ then } [] \text{ else } \{\})$   
**by** (*induct n*) *auto*

**lemma** *lang-pow-empty-Suc*[*simp*]:  $(\{\} :: 'a lang) \sim \text{Suc } n = \{\}$   
**by** (*simp add*: *lang-pow-empty*)

**lemma** *conc-pow-comm*:  
**shows**  $A @\@ (A \sim n) = (A \sim n) @\@ A$   
**by** (*induct n*) (*simp-all add*: *conc-assoc[symmetric]*)

**lemma** *length-lang-pow-ub*:  
*ALL*  $w : A$ .  $\text{length } w \leq k \implies w : A \sim n \implies \text{length } w \leq k * n$   
**by** (*induct n arbitrary*: *w*) (*fastforce simp*: *conc-def*)+

**lemma** *length-lang-pow-lb*:  
*ALL*  $w : A$ .  $\text{length } w \geq k \implies w : A \sim n \implies \text{length } w \geq k * n$   
**by** (*induct n arbitrary*: *w*) (*fastforce simp*: *conc-def*)+

```

lemma lang-pow-subset-lists:  $A \subseteq \text{lists } S \implies A^{\wedge\wedge} n \subseteq \text{lists } S$ 
  by (induct n) (auto simp: conc-subset-lists)

lemma star-subset-lists:  $A \subseteq \text{lists } S \implies \text{star } A \subseteq \text{lists } S$ 
  unfolding star-def by(blast dest: lang-pow-subset-lists)

lemma star-if-lang-pow[simp]:  $w : A^{\wedge\wedge} n \implies w : \text{star } A$ 
  by (auto simp: star-def)

lemma Nil-in-star[iff]:  $\emptyset : \text{star } A$ 
proof (rule star-if-lang-pow)
  show  $\emptyset : A^{\wedge\wedge} 0$  by simp
qed

lemma star-if-lang[simp]: assumes  $w : A$  shows  $w : \text{star } A$ 
proof (rule star-if-lang-pow)
  show  $w : A^{\wedge\wedge} 1$  using ‹ $w : A$ › by simp
qed

lemma append-in-starI[simp]:
assumes  $u : \text{star } A$  and  $v : \text{star } A$  shows  $u @ v : \text{star } A$ 
proof –
  from ‹ $u : \text{star } A$ › obtain  $m$  where  $u : A^{\wedge\wedge} m$  by (auto simp: star-def)
  moreover
  from ‹ $v : \text{star } A$ › obtain  $n$  where  $v : A^{\wedge\wedge} n$  by (auto simp: star-def)
  ultimately have  $u @ v : A^{\wedge\wedge} (m+n)$  by (simp add: lang-pow-add)
  thus ?thesis by simp
qed

lemma conc-star-star:  $\text{star } A @ @ \text{star } A = \text{star } A$ 
  by (auto simp: conc-def)

lemma conc-star-comm:
  shows  $A @ @ \text{star } A = \text{star } A @ @ A$ 
  unfolding star-def conc-pow-comm conc-UNION-distrib
  by simp

lemma star-induct[consumes 1, case-names Nil append, induct set: star]:
assumes  $w : \text{star } A$ 
  and  $P \emptyset$ 
  and step:  $!!u v. u : A \implies v : \text{star } A \implies P v \implies P (u @ v)$ 
shows  $P w$ 
proof –
  { fix  $n$  have  $w : A^{\wedge\wedge} n \implies P w$ 
    by (induct n arbitrary: w) (auto intro: ‹ $P \emptyset$ › step star-if-lang-pow) }
  with ‹ $w : \text{star } A$ › show  $P w$  by (auto simp: star-def)
qed

```

```

lemma star-empty[simp]: star {} = {}
  by (auto elim: star-induct)

lemma star-epsilon[simp]: star [] = {}
  by (auto elim: star-induct)

lemma star-idemp[simp]: star (star A) = star A
  by (auto elim: star-induct)

lemma star-unfold-left: star A = A @@ star A ∪ {} (is ?L = ?R)
proof
  show ?L ⊆ ?R by (rule, erule star-induct) auto
qed auto

lemma concat-in-star: set ws ⊆ A ==> concat ws : star A
  by (induct ws) simp-all

lemma in-star-iff-concat:
  w : star A = (EX ws. set ws ⊆ A & w = concat ws & [] ∉ set ws)
  (is - = (EX ws. ?R w ws))
proof
  assume w : star A thus EX ws. ?R w ws
  proof induct
    case Nil have ?R [] [] by simp
    thus ?case ..
  next
    case (append u v)
    moreover
    then obtain ws where set ws ⊆ A & v = concat ws & [] ∉ set ws by blast
    ultimately have ?R (u@v) (if u = [] then ws else u#ws) by auto
    thus ?case ..
  qed
next
  assume EX us. ?R w us thus w : star A
  by (auto simp: concat-in-star)
qed

lemma star-conv-concat: star A = {concat ws | ws. set ws ⊆ A & [] ∉ set ws}
  by (fastforce simp: in-star-iff-concat)

lemma star-insert-eps[simp]: star (insert [] A) = star(A)
proof-
  { fix us
  have set us ⊆ insert [] A ==> EX vs. concat us = concat vs & set vs ⊆ A
  (is ?P ==> EX vs. ?Q vs)
  proof
    let ?vs = filter (%u. u ≠ []) us
    show ?P ==> ?Q ?vs by (induct us) auto
  qed
}

```

```

} thus ?thesis by (auto simp: star-conv-concat)
qed

```

**lemma** *star-decom*:

```

assumes a:  $x \in \text{star } A$   $x \neq []$ 
shows  $\exists a b. x = a @ b \wedge a \neq [] \wedge a \in A \wedge b \in \text{star } A$ 
using a by (induct rule: star-induct) (blast)+
```

**lemma** *Ball-starI*:  $\forall a \in \text{set as}. [a] \in A \implies as \in \text{star } A$   
**by** (induct as rule: rev-induct) auto

**lemma** *map-image-star*[simp]:  $\text{map } f ` \text{star } A = \text{star } (\text{map } f ` A)$   
**by** (auto elim: star-induct) (auto elim: star-induct simp del: map-append simp:  
*map-append[symmetric]* intro!: imageI)

### 1.3 Left-Quotients of Languages

**definition** *lQuot* :: ' $a \Rightarrow 'a \text{ lang} \Rightarrow 'a \text{ lang}$ '  
**where**  $\text{lQuot } x A = \{ xs. x \# xs \in A \}$

**definition** *lQuots* :: ' $a \text{ list} \Rightarrow 'a \text{ lang} \Rightarrow 'a \text{ lang}$ '  
**where**  $\text{lQuots } xs A = \{ ys. xs @ ys \in A \}$

**abbreviation**

```

lQuotss :: ' $a \text{ list} \Rightarrow 'a \text{ lang set} \Rightarrow 'a \text{ lang}$ '  

where  

lQuotss  $s As \equiv \bigcup (lQuots s ` As)$ 
```

**lemma** *lQuot-empty*[simp]:  $\text{lQuot } a \{\} = \{\}$   
**and** *lQuot-epsilon*[simp]:  $\text{lQuot } a \{[]\} = \{\}$   
**and** *lQuot-char*[simp]:  $\text{lQuot } a \{[b]\} = (\text{if } a = b \text{ then } \{\} \text{ else } \{\})$   
**and** *lQuot-chars*[simp]:  $\text{lQuot } a \{[b] \mid b. P b\} = (\text{if } P a \text{ then } \{\} \text{ else } \{\})$   
**and** *lQuot-union*[simp]:  $\text{lQuot } a (A \cup B) = \text{lQuot } a A \cup \text{lQuot } a B$   
**and** *lQuot-inter*[simp]:  $\text{lQuot } a (A \cap B) = \text{lQuot } a A \cap \text{lQuot } a B$   
**and** *lQuot-compl*[simp]:  $\text{lQuot } a (-A) = - \text{lQuot } a A$   
**by** (auto simp: lQuot-def)

**lemma** *lQuot-conc-subset*:  $\text{lQuot } a A @ @ B \subseteq \text{lQuot } a (A @ @ B)$  (**is** ?L  $\subseteq$  ?R)  
**proof**

```

fix w assume w:  $w \in ?L$ 
then obtain u v where w:  $w = u @ v$   $a \# u \in A$   $v \in B$ 
  by (auto simp: lQuot-def)
then have a:  $a \# w \in A @ @ B$ 
  by (auto intro: concI[of a # u, simplified])
thus w:  $w \in ?R$  by (auto simp: lQuot-def)
qed
```

**lemma** *lQuot-conc* [simp]:  $\text{lQuot } c (A @ @ B) = (\text{lQuot } c A) @ @ B \cup (\text{if } [] \in A$

```

then lQuot c B else {})
  unfolding lQuot-def conc-def
  by (auto simp add: Cons-eq-append-conv)

lemma lQuot-star [simp]: lQuot c (star A) = (lQuot c A) @@ star A
proof -
  have incl: [] ∈ A ⟹ lQuot c (star A) ⊆ (lQuot c A) @@ star A
  unfolding lQuot-def conc-def
  apply(auto simp add: Cons-eq-append-conv)
  apply(drule star-decom)
  apply(auto simp add: Cons-eq-append-conv)
  done

  have lQuot c (star A) = lQuot c (A @@ star A ∪ {[]})
  by (simp only: star-unfold-left[symmetric])
  also have ... = lQuot c (A @@ star A)
  by (simp only: lQuot-union) (simp)
  also have ... = (lQuot c A) @@ (star A) ∪ (if [] ∈ A then lQuot c (star A) else {})
  by simp
  also have ... = (lQuot c A) @@ star A
  using incl by auto
  finally show lQuot c (star A) = (lQuot c A) @@ star A .
qed

lemma lQuot-diff[simp]: lQuot c (A - B) = lQuot c A - lQuot c B
by(auto simp add: lQuot-def)

lemma lQuot-lists[simp]: c : S ⟹ lQuot c (lists S) = lists S
by(auto simp add: lQuot-def)

lemma lQuots-simps [simp]:
shows lQuots [] A = A
and lQuots (c # s) A = lQuots s (lQuot c A)
and lQuots (s1 @ s2) A = lQuots s2 (lQuots s1 A)
unfolding lQuots-def lQuot-def by auto

lemma lQuots-append[iff]: v ∈ lQuots w A ⟷ w @ v ∈ A
by (induct w arbitrary: v A) (auto simp add: lQuot-def)

```

## 1.4 Right-Quotients of Languages

```

definition rQuot :: 'a ⇒ 'a lang ⇒ 'a lang
where rQuot x A = { xs. xs @ [x] ∈ A }

```

```

definition rQuots :: 'a list ⇒ 'a lang ⇒ 'a lang
where rQuots xs A = { ys. ys @ rev xs ∈ A }

```

**abbreviation**

```

rQuotss :: 'a list ⇒ 'a lang set ⇒ 'a lang
where
  rQuotss s As ≡ ⋃ (rQuots s ` As)

lemma rQuot-rev-lQuot: rQuot x A = rev ` lQuot x (rev ` A)
  unfolding rQuot-def lQuot-def by (auto simp: rev-swap[symmetric])

lemma rQuots-rev-lQuots: rQuots x A = rev ` lQuots x (rev ` A)
  unfolding rQuots-def lQuots-def by (auto simp: rev-swap[symmetric])

lemma rQuot-empty[simp]: rQuot a {} = {}
  and rQuot-epsilon[simp]: rQuot a {[]} = {}
  and rQuot-char[simp]: rQuot a {[b]} = (if a = b then {} else {})
  and rQuot-union[simp]: rQuot a (A ∪ B) = rQuot a A ∪ rQuot a B
  and rQuot-inter[simp]: rQuot a (A ∩ B) = rQuot a A ∩ rQuot a B
  and rQuot-compl[simp]: rQuot a (−A) = − rQuot a A
  by (auto simp: rQuot-def)

lemma lQuot-rQuot: lQuot a (rQuot b A) = rQuot b (lQuot a A)
  unfolding lQuot-def rQuot-def by auto

lemma rQuot-lQuot: rQuot a (lQuot b A) = lQuot b (rQuot a A)
  unfolding lQuot-def rQuot-def by auto

lemma rev-simp-invert: (xs @ [x] = rev zs) = (zs = x # rev xs)
  by (induct zs) auto

lemma rev-append-invert: (xs @ ys = rev zs) = (zs = rev ys @ rev xs)
  by (induct xs arbitrary: ys rule: rev-induct) auto

lemma image-rev-lists[simp]: rev ` lists S = lists S
  proof (intro set-eqI)
    fix xs
    show xs ∈ rev ` lists S ↔ xs ∈ lists S
    proof (induct xs rule: rev-induct)
      case (snoc x xs)
      thus ?case by (auto intro!: image-eqI[of - rev] simp: rev-simp-invert)
    qed simp
  qed

lemma image-rev-conc[simp]: rev ` (A @@ B) = rev ` B @@ rev ` A
  by auto (auto simp: rev-append[symmetric] simp del: rev-append)

lemma image-rev-star[simp]: rev ` star A = star (rev ` A)
  by (auto elim: star-induct) (auto elim: star-induct simp: rev-append[symmetric]
    simp del: rev-append)

lemma rQuot-conc [simp]: rQuot c (A @@ B) = A @@ (rQuot c B) ∪ (if [] ∈ B
  then rQuot c A else {})

```

```

unfolding rQuot-rev-lQuot by (auto simp: image-image image-Un)

lemma rQuot-star [simp]: rQuot c (star A) = star A @@ (rQuot c A)
unfolding rQuot-rev-lQuot by (auto simp: image-image)

lemma rQuot-diff[simp]: rQuot c (A - B) = rQuot c A - rQuot c B
by(auto simp add: rQuot-def)

lemma rQuot-lists[simp]: c : S  $\implies$  rQuot c (lists S) = lists S
by(auto simp add: rQuot-def)

lemma rQuots-simps [simp]:
shows rQuots [] A = A
and rQuots (c # s) A = rQuots s (rQuot c A)
and rQuots (s1 @ s2) A = rQuots s2 (rQuots s1 A)
unfolding rQuots-def rQuot-def by auto

lemma rQuots-append[iff]: v  $\in$  rQuots w A  $\longleftrightarrow$  v @ rev w  $\in$  A
by (induct w arbitrary: v A) (auto simp add: rQuot-def)

```

## 1.5 Two-Sided-Quotients of Languages

```

definition biQuot :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang
where biQuot x y A = { xs. x # xs @ [y]  $\in$  A }

definition biQuots :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang
where biQuots xs ys A = { zs. xs @ zs @ rev ys  $\in$  A }

```

### abbreviation

```

biQuotss :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a lang set  $\Rightarrow$  'a lang
where
biQuotss xs ys As  $\equiv$   $\bigcup$  (biQuots xs ys ` As)

```

```

lemma biQuot-rQuot-lQuot: biQuot x y A = rQuot y (lQuot x A)
unfolding biQuot-def rQuot-def lQuot-def by auto

lemma biQuot-lQuot-rQuot: biQuot x y A = lQuot x (rQuot y A)
unfolding biQuot-def rQuot-def lQuot-def by auto

lemma biQuots-rQuots-lQuots: biQuots x y A = rQuots y (lQuots x A)
unfolding biQuots-def rQuots-def lQuots-def by auto

lemma biQuots-lQuots-rQuots: biQuots x y A = lQuots x (rQuots y A)
unfolding biQuots-def rQuots-def lQuots-def by auto

```

```

lemma biQuot-empty[simp]: biQuot a b {} = {}
and biQuot-epsilon[simp]: biQuot a b {[]} = {}
and biQuot-char[simp]: biQuot a b {[c]} = {}
and biQuot-union[simp]: biQuot a b (A  $\cup$  B) = biQuot a b A  $\cup$  biQuot a b B

```

**and** *biQuot-inter*[simp]:  $\text{biQuot } a \ b \ (A \cap B) = \text{biQuot } a \ b \ A \cap \text{biQuot } a \ b \ B$   
**and** *biQuot-compl*[simp]:  $\text{biQuot } a \ b \ (-A) = - \text{biQuot } a \ b \ A$   
**by** (auto simp: *biQuot-def*)

**lemma** *biQuot-conc* [simp]:  $\text{biQuot } a \ b \ (A @\@ B) =$   
 $l\text{Quot } a \ A @\@ r\text{Quot } b \ B \cup$   
 $(if [] \in A \wedge [] \in B \text{ then } \text{biQuot } a \ b \ A \cup \text{biQuot } a \ b \ B$   
 $\text{else if } [] \in A \text{ then } \text{biQuot } a \ b \ B$   
 $\text{else if } [] \in B \text{ then } \text{biQuot } a \ b \ A$   
 $\text{else } \{\})$   
**unfolding** *biQuot-rQuot-lQuot* **by** auto

**lemma** *biQuot-star* [simp]:  $\text{biQuot } a \ b \ (\text{star } A) = \text{biQuot } a \ b \ A \cup l\text{Quot } a \ A @\@$   
 $\text{star } A @\@ r\text{Quot } b \ A$   
**unfolding** *biQuot-rQuot-lQuot* **by** auto

**lemma** *biQuot-diff*[simp]:  $\text{biQuot } a \ b \ (A - B) = \text{biQuot } a \ b \ A - \text{biQuot } a \ b \ B$   
**by**(auto simp add: *biQuot-def*)

**lemma** *biQuot-lists*[simp]:  $a : S \implies b : S \implies \text{biQuot } a \ b \ (\text{lists } S) = \text{lists } S$   
**by**(auto simp add: *biQuot-def*)

**lemma** *biQuots-simps* [simp]:  
**shows** *biQuots* [] []  $A = A$   
**and** *biQuots* (a#as) (b#bs)  $A = \text{biQuots as bs} \ (\text{biQuot } a \ b \ A)$   
**and**  $[\text{length } s1 = \text{length } t1; \text{length } s2 = \text{length } t2] \implies$   
 $\text{biQuots } (s1 @ s2) \ (t1 @ t2) \ A = \text{biQuots } s2 \ t2 \ (\text{biQuots } s1 \ t1 \ A)$   
**unfolding** *biQuots-def biQuot-def* **by** auto

**lemma** *biQuots-append*[iff]:  $v \in \text{biQuots } u \ w \ A \longleftrightarrow u @ v @ \text{rev } w \in A$   
**unfolding** *biQuots-def* **by** auto

## 1.6 Arden's Lemma

**lemma** *arden-helper*:  
**assumes** *eq*:  $X = A @\@ X \cup B$   
**shows**  $X = (A \ \widehat{\wedge} \ \text{Suc } n) @\@ X \cup (\bigcup_{m \leq n} (A \ \widehat{\wedge} \ m) @\@ B)$   
**proof** (induct n)  
**case** 0  
**show**  $X = (A \ \widehat{\wedge} \ \text{Suc } 0) @\@ X \cup (\bigcup_{m \leq 0} (A \ \widehat{\wedge} \ m) @\@ B)$   
**using** *eq* **by** simp  
**next**  
**case** (*Suc* n)  
**have** *ih*:  $X = (A \ \widehat{\wedge} \ \text{Suc } n) @\@ X \cup (\bigcup_{m \leq n} (A \ \widehat{\wedge} \ m) @\@ B)$  **by** fact  
**also have** ... =  $(A \ \widehat{\wedge} \ \text{Suc } n) @\@ (A @\@ X \cup B) \cup (\bigcup_{m \leq n} (A \ \widehat{\wedge} \ m) @\@ B)$   
**using** *eq* **by** simp  
**also have** ... =  $(A \ \widehat{\wedge} \ \text{Suc } (\text{Suc } n)) @\@ X \cup ((A \ \widehat{\wedge} \ \text{Suc } n) @\@ B) \cup (\bigcup_{m \leq n} (A \ \widehat{\wedge} \ m) @\@ B)$   
**by** (simp add: *conc-Un-distrib conc-assoc[symmetric]* *conc-pow-comm*)

```

also have ... = ( $A \sim Suc (Suc n)$ ) @@@  $X \cup (\bigcup m \leq Suc n. (A \sim m) @@@ B)$ 
  by (auto simp add: atMost-Suc)
finally show  $X = (A \sim Suc (Suc n)) @@@ X \cup (\bigcup m \leq Suc n. (A \sim m) @@@ B)$ 
.
qed

lemma Arden:
assumes []  $\notin A$ 
shows  $X = A @@@ X \cup B \longleftrightarrow X = star A @@@ B$ 
proof
assume eq:  $X = A @@@ X \cup B$ 
{ fix w assume w :  $X$ 
  let ?n = size w
  from <[]  $\notin A$ > have ALL u : A. length u  $\geq 1$ 
    by (metis Suc-eq-plus1 add-leD2 le-0-eq length-0-conv not-less-eq-eq)
  hence ALL u :  $A \sim (?n+1)$ . length u  $\geq ?n+1$ 
    by (metis length-lang-pow-lb nat-mult-1)
  hence ALL u :  $A \sim (?n+1) @@@ X$ . length u  $\geq ?n+1$ 
    by (auto simp only: conc-def length-append)
  hence w  $\notin A \sim (?n+1) @@@ X$  by auto
  hence w : star A @@@ B using <w : X> using arden-helper[OF eq, where
n=?n]
    by (auto simp add: star-def conc-UNION-distrib)
} moreover
{ fix w assume w : star A @@@ B
  hence EX n. w :  $A \sim n @@@ B$  by (auto simp: conc-def star-def)
  hence w : X using arden-helper[OF eq] by blast
} ultimately show  $X = star A @@@ B$  by blast
next
assume eq:  $X = star A @@@ B$ 
have star A =  $A @@@ star A \cup \{\}\}$ 
  by (rule star-unfold-left)
then have star A @@@ B = ( $A @@@ star A \cup \{\}\}) @@@ B$ 
  by metis
also have ... = ( $A @@@ star A$ ) @@@ B  $\cup B$ 
  unfolding conc-Un-distrib by simp
also have ... =  $A @@@ (star A @@@ B) \cup B$ 
  by (simp only: conc-assoc)
finally show  $X = A @@@ X \cup B$ 
  using eq by blast
qed

```

```

lemma reversed-arden-helper:
assumes eq:  $X = X @@@ A \cup B$ 
shows  $X = X @@@ (A \sim Suc n) \cup (\bigcup m \leq n. B @@@ (A \sim m))$ 
proof (induct n)
case 0
show  $X = X @@@ (A \sim Suc 0) \cup (\bigcup m \leq 0. B @@@ (A \sim m))$ 

```

```

using eq by simp
next
  case (Suc n)
    have ih:  $X = X @\@ (A \sim\sim Suc n) \cup (\bigcup_{m \leq n} B @\@ (A \sim\sim m))$  by fact
    also have ... =  $(X @\@ A \cup B) @\@ (A \sim\sim Suc n) \cup (\bigcup_{m \leq n} B @\@ (A \sim\sim m))$ 
  using eq by simp
  also have ... =  $X @\@ (A \sim\sim Suc (Suc n)) \cup (B @\@ (A \sim\sim Suc n)) \cup (\bigcup_{m \leq n} B @\@ (A \sim\sim m))$ 
    by (simp add: conc-Un-distrib conc-assoc)
  also have ... =  $X @\@ (A \sim\sim Suc (Suc n)) \cup (\bigcup_{m \leq Suc n} B @\@ (A \sim\sim m))$ 
    by (auto simp add: atMost-Suc)
  finally show  $X = X @\@ (A \sim\sim Suc (Suc n)) \cup (\bigcup_{m \leq Suc n} B @\@ (A \sim\sim m))$ 
.
qed

```

```

theorem reversed-Arden:
assumes nemp: []  $\notin A$ 
shows  $X = X @\@ A \cup B \longleftrightarrow X = B @\@ star A$ 
proof
  assume eq:  $X = X @\@ A \cup B$ 
  { fix w assume w :  $X$ 
    let ?n = size w
    from []  $\notin A$  have ALL u : A. length u  $\geq 1$ 
      by (metis Suc-eq-plus1 add-leD2 le-0-eq length-0-conv not-less-eq-eq)
    hence ALL u :  $A \sim\sim (?n+1)$ . length u  $\geq ?n+1$ 
      by (metis length-lang-pow-lb nat-mult-1)
    hence ALL u :  $X @\@ A \sim\sim (?n+1)$ . length u  $\geq ?n+1$ 
      by (auto simp only: conc-def length-append)
    hence w  $\notin X @\@ A \sim\sim (?n+1)$  by auto
    hence w :  $B @\@ star A$  using `w : X` using reversed-arden-helper[OF eq,
    where n=?n]
      by (auto simp add: star-def conc-UNION-distrib)
  } moreover
  { fix w assume w :  $B @\@ star A$ 
    hence EX n. w :  $B @\@ A \sim\sim n$  by (auto simp: conc-def star-def)
    hence w :  $X$  using reversed-arden-helper[OF eq] by blast
  } ultimately show  $X = B @\@ star A$  by blast
next
  assume eq:  $X = B @\@ star A$ 
  have star A = {[]}  $\cup star A @\@ A$ 
    unfolding conc-star-comm[symmetric]
    by (metis Un-commute star-unfold-left)
  then have  $B @\@ star A = B @\@ (\{[]\} \cup star A @\@ A)$ 
    by metis
  also have ... =  $B \cup B @\@ (star A @\@ A)$ 
    unfolding conc-Un-distrib by simp
  also have ... =  $B \cup (B @\@ star A) @\@ A$ 
    by (simp only: conc-assoc)
  finally show  $X = X @\@ A \cup B$ 

```

```

  using eq by blast
qed

```

## 1.7 Lists of Fixed Length

**abbreviation** *listsN* **where** *listsN n S*  $\equiv \{xs. xs \in lists\ S \wedge length\ xs = n\}$

**lemma** *tl-listsN*:  $A \subseteq listsN\ (n + 1)\ S \implies tl\ A \subseteq listsN\ n\ S$

**proof** (*intro image-subsetI*)

fix *xs* **assume**  $A \subseteq listsN\ (n + 1)\ S$   $xs \in A$   
**thus**  $tl\ xs \in listsN\ n\ S$  **by** (*induct xs*) *auto*

qed

**lemma** *map-tl-listsN*:  $A \subseteq lists\ (listsN\ (n + 1)\ S) \implies map\ tl\ A \subseteq lists\ (listsN\ n\ S)$

**proof** (*intro image-subsetI*)

fix *xss* **assume**  $A \subseteq lists\ (listsN\ (n + 1)\ S)$   $xss \in A$   
**hence**  $set\ xss \subseteq listsN\ (n + 1)\ S$  **by** *auto*  
**hence**  $\forall xs \in set\ xss. tl\ xs \in listsN\ n\ S$  **using** *tl-listsN*[*of set xss S n*] **by** *auto*  
**thus**  $map\ tl\ xss \in lists\ (listsN\ n\ S)$  **by** (*induct xss*) *auto*

qed

## 2 $\Pi$ -Extended Regular Expressions

### 2.1 Syntax of regular expressions

**datatype** *'a rexpx* =

*Zero* |

*Full* |

*One* |

*Atom* *'a* |

*Plus* (*'a rexpx*) (*'a rexpx*) |

*Times* (*'a rexpx*) (*'a rexpx*) |

*Star* (*'a rexpx*) |

*Not* (*'a rexpx*) |

*Inter* (*'a rexpx*) (*'a rexpx*) |

*Pr* (*'a rexpx*)

**derive** *linorder rexpx*

Lifting constructors to lists

**fun** *rexpx-of-list* **where**

*rexpx-of-list OPERATION N [] = N*

| *rexpx-of-list OPERATION N [x] = x*

| *rexpx-of-list OPERATION N (x # xs) = OPERATION x (rexpx-of-list OPERATION N xs)*

**abbreviation** *PLUS*  $\equiv$  *rexpx-of-list Plus Zero*

**abbreviation** *TIMES*  $\equiv$  *rexpx-of-list Times One*

**abbreviation** *INTERSECT*  $\equiv$  *rexp-of-list Inter Full*

```
lemma list-singleton-induct [case-names nil single cons]:
  assumes nil: P []
  assumes single:  $\bigwedge x. P [x]$ 
  assumes cons:  $\bigwedge x y xs. P (y \# xs) \implies P (x \# (y \# xs))$ 
  shows P xs
  using assms
proof (induct xs)
  case (Cons x xs) thus ?case by (cases xs) auto
qed simp
```

## 2.2 ACI normalization

```
fun toplevel-summands :: 'a rexp  $\Rightarrow$  'a rexp set where
  toplevel-summands (Plus r s) = toplevel-summands r  $\cup$  toplevel-summands s
  | toplevel-summands r = {r}
```

**abbreviation** (*input*) *flatten LISTOP X*  $\equiv$  *LISTOP (sorted-list-of-set X)*

```
lemma toplevel-summands-nonempty[simp]:
  toplevel-summands r  $\neq \{\}$ 
  by (induct r) auto
```

```
lemma toplevel-summands-finite[simp]:
  finite (toplevel-summands r)
  by (induct r) auto
```

```
primrec ACI-norm :: ('a::linorder) rexp  $\Rightarrow$  'a rexp («-») where
  «Zero» = Zero
  | «Full» = Full
  | «One» = One
  | «Atom a» = Atom a
  | «Plus r s» = flatten PLUS (toplevel-summands (Plus «r» «s»))
  | «Times r s» = Times «r» «s»
  | «Star r» = Star «r»
  | «Not r» = Not «r»
  | «Inter r s» = Inter «r» «s»
  | «Pr r» = Pr «r»
```

```
lemma Plus-toplevel-summands:
  Plus r s  $\in$  toplevel-summands t  $\implies$  False
  by (induct t) auto
```

```
lemma toplevel-summands-not-Plus[simp]:
  ( $\forall r s. x \neq$  Plus r s)  $\implies$  toplevel-summands x = {x}
  by (induct x) auto
```

**lemma** toplevel-summands-PLUS-strong:

```

 $\llbracket xs \neq [] ; list\text{-}all (\lambda x. \neg(\exists r s. x = Plus r s)) xs \rrbracket \implies toplevel\text{-}summands (PLUS xs) = set xs$ 
by (induct xs rule: list-singleton-induct) auto

lemma toplevel-summands-flatten:
 $\llbracket X \neq \{\}; finite X; \forall x \in X. \neg(\exists r s. x = Plus r s) \rrbracket \implies toplevel\text{-}summands (flatten PLUS X) = X$ 
using toplevel-summands-PLUS-strong[of sorted-list-of-set X]
unfolding list-all-iff by fastforce

lemma ACI-norm-Plus:
 $\llbracket r \rrbracket = Plus s t \implies \exists s t. r = Plus s t$ 
by (induct r) auto

lemma toplevel-summands-flatten-ACI-norm-image:
 $toplevel\text{-}summands (flatten PLUS (ACI-norm ` toplevel\text{-}summands r)) = ACI-norm ` toplevel\text{-}summands r$ 
by (intro toplevel-summands-flatten) (auto dest!: ACI-norm-Plus intro: Plus-toplevel-summands)

lemma toplevel-summands-flatten-ACI-norm-image-Union:
 $toplevel\text{-}summands (flatten PLUS (ACI-norm ` toplevel\text{-}summands r \cup ACI-norm ` toplevel\text{-}summands s)) = ACI-norm ` toplevel\text{-}summands r \cup ACI-norm ` toplevel\text{-}summands s$ 
by (intro toplevel-summands-flatten) (auto dest!: ACI-norm-Plus[OF sym] intro: Plus-toplevel-summands)

lemma toplevel-summands-ACI-norm:
 $toplevel\text{-}summands \llbracket r \rrbracket = ACI-norm ` toplevel\text{-}summands r$ 
by (induct r) (auto simp: toplevel-summands-flatten-ACI-norm-image-Union)

lemma ACI-norm-flatten:
 $\llbracket r \rrbracket = flatten PLUS (ACI-norm ` toplevel\text{-}summands r)$ 
by (induct r) (auto simp: image-Un toplevel-summands-flatten-ACI-norm-image)

theorem ACI-norm-idem[simp]:
 $\llbracket \llbracket r \rrbracket \rrbracket = \llbracket r \rrbracket$ 
proof (induct r)
case (Plus r s)
have  $\llbracket \llbracket Plus r s \rrbracket \rrbracket = \llbracket flatten PLUS (toplevel\text{-}summands \llbracket r \rrbracket \cup toplevel\text{-}summands \llbracket s \rrbracket) \rrbracket$ 
is - =  $\llbracket flatten PLUS ?U \rrbracket$  by simp
also have ... =  $flatten PLUS (ACI-norm ` toplevel\text{-}summands (flatten PLUS ?U))$ 
by (simp only: ACI-norm-flatten)
also have toplevel-summands (flatten PLUS ?U) = ?U
by (intro toplevel-summands-flatten) (auto intro: Plus-toplevel-summands)
also have flatten PLUS (ACI-norm ` ?U) = flatten PLUS (toplevel\text{-}summands \llbracket r \rrbracket \cup toplevel\text{-}summands \llbracket s \rrbracket)
by (simp only: image-Un toplevel-summands-ACI-norm[symmetric] Plus)

```

```

finally show ?case by simp
qed auto

fun ACI-nPlus :: 'a::linorder rexp  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp
where
  ACI-nPlus (Plus r1 r2) s = ACI-nPlus r1 (ACI-nPlus r2 s)
  | ACI-nPlus r (Plus s1 s2) =
    (if r = s1 then Plus s1 s2
     else if r < s1 then Plus r (Plus s1 s2)
     else Plus s1 (ACI-nPlus r s2))
  | ACI-nPlus r s =
    (if r = s then r
     else if r < s then Plus r s
     else Plus s r)

fun ACI-norm-alt where
  ACI-norm-alt Zero = Zero
  | ACI-norm-alt Full = Full
  | ACI-norm-alt One = One
  | ACI-norm-alt (Atom a) = Atom a
  | ACI-norm-alt (Plus r s) = ACI-nPlus (ACI-norm-alt r) (ACI-norm-alt s)
  | ACI-norm-alt (Times r s) = Times (ACI-norm-alt r) (ACI-norm-alt s)
  | ACI-norm-alt (Star r) = Star (ACI-norm-alt r)
  | ACI-norm-alt (Not r) = Not (ACI-norm-alt r)
  | ACI-norm-alt (Inter r s) = Inter (ACI-norm-alt r) (ACI-norm-alt s)
  | ACI-norm-alt (Pr r) = Pr (ACI-norm-alt r)

lemma toplevel-summands-ACI-nPlus:
  toplevel-summands (ACI-nPlus r s) = toplevel-summands (Plus r s)
  by (induct r s rule: ACI-nPlus.induct) auto

lemma toplevel-summands-ACI-norm-alt:
  toplevel-summands (ACI-norm-alt r) = ACI-norm-alt ` toplevel-summands r
  by (induct r) (auto simp: toplevel-summands-ACI-nPlus)

lemma ACI-norm-alt-Plus:
  ACI-norm-alt r = Plus s t  $\Longrightarrow$   $\exists$  s t. r = Plus s t
  by (induct r) auto

lemma toplevel-summands-flatten-ACI-norm-alt-image:
  toplevel-summands (flatten PLUS (ACI-norm-alt ` toplevel-summands r)) = ACI-norm-alt
  ` toplevel-summands r
  by (intro toplevel-summands-flatten) (auto dest!: ACI-norm-alt-Plus intro: Plus-toplevel-summands)

lemma ACI-norm-ACI-norm-alt: «ACI-norm-alt r» = «r»
proof (induction r)
  case (Plus r s) show ?case
    using ACI-norm-flatten [of ACI-norm-alt (Plus r s)] ACI-norm-flatten [of Plus
    r s]

```

```

by (auto simp: image-Un toplevel-summands-ACI-nPlus)
  (metis Plus.IH toplevel-summands-ACI-norm)
qed auto

lemma ACI-nPlus-singleton-PLUS:
  [|xs ≠ []; sorted xs; distinct xs; ∀x ∈ {x} ∪ set xs. ¬(∃r s. x = Plus r s)|] ==>
  ACI-nPlus x (PLUS xs) = (if x ∈ set xs then PLUS xs else PLUS (insort x xs))
proof (induct xs rule: list-singleton-induct)
  case (single y)
  thus ?case
    by (cases x y rule: linorder-cases) (induct x y rule: ACI-nPlus.induct, auto) +
next
  case (cons y1 y2 ys) thus ?case by (cases x) (auto)
qed simp

lemma ACI-nPlus-PLUS:
  [|xs1 ≠ []; xs2 ≠ []; ∀x ∈ set (xs1 @ xs2). ¬(∃r s. x = Plus r s); sorted xs2;
  distinct xs2|] ==>
  ACI-nPlus (PLUS xs1) (PLUS xs2) = flatten PLUS (set (xs1 @ xs2))
proof (induct xs1 arbitrary: xs2 rule: list-singleton-induct)
  case (single x1) thus ?case
    apply (auto intro!: trans[OF ACI-nPlus-singleton-PLUS] simp: insert-absorb
      simp del: sorted-list-of-set-insert-remove)
    apply (metis finite-set finite-sorted-distinct-unique sorted-list-of-set)
    apply (metis remdups-id-iff-distinct sorted-list-of-set-sort-remdups sorted-sort-id)
    done
next
  case (cons x11 x12 xs1) thus ?case
    apply (simp del: sorted-list-of-set-insert-remove)
    apply (rule trans[OF ACI-nPlus-singleton-PLUS])
    apply (auto simp del: sorted-list-of-set-insert-remove simp add: insert-commute[of
      x11])
      apply (auto simp only: Un-insert-left[of x11, symmetric] insert-absorb) []
      apply (auto simp only: Un-insert-right[of - x11, symmetric] insert-absorb) []
      apply (auto simp add: insert-commute[of x12])
    done
qed simp

lemma ACI-nPlus-flatten-PLUS:
  [|X1 ≠ {}; X2 ≠ {}; finite X1; finite X2; ∀x ∈ X1 ∪ X2. ¬(∃r s. x = Plus r
  s)|] ==>
  ACI-nPlus (flatten PLUS X1) (flatten PLUS X2) = flatten PLUS (X1 ∪ X2)
  by (rule trans[OF ACI-nPlus-PLUS]) auto

lemma ACI-nPlus-ACI-norm[simp]: ACI-nPlus «r» «s» = «Plus r s»
  using ACI-norm-flatten [of r] ACI-norm-flatten [of s] ACI-norm-flatten [of Plus
  r s]
  apply (auto intro!: trans [OF ACI-nPlus-flatten-PLUS])
  apply (auto simp: image-Un Un-assoc intro!: trans [OF ACI-nPlus-flatten-PLUS])

```

```

apply (metis ACI-norm-Plus Plus-toplevel-summands)+
done

```

```
lemma ACI-norm-alt:
```

```
  ACI-norm-alt r = «r»
  by (induct r) auto
```

```
declare ACI-norm-alt[symmetric, code]
```

## 2.3 Finality

```
primrec final :: 'a rexpr  $\Rightarrow$  bool
where
```

```
  final Zero = False
  | final Full = True
  | final One = True
  | final (Atom -) = False
  | final (Plus r s) = (final r  $\vee$  final s)
  | final (Times r s) = (final r  $\wedge$  final s)
  | final (Star -) = True
  | final (Not r) = ( $\sim$  final r)
  | final (Inter r1 r2) = (final r1  $\wedge$  final r2)
  | final (Pr r) = final r
```

```
lemma toplevel-summands-final:
```

```
  final s = ( $\exists$  r $\in$ toplevel-summands s. final r)
  by (induct s) auto
```

```
lemma final-PLUS:
```

```
  final (PLUS xs) = ( $\exists$  r  $\in$  set xs. final r)
  by (induct xs rule: list-singleton-induct) auto
```

```
theorem ACI-norm-final[simp]:
```

```
  final «r» = final r
```

```
proof (induct r)
```

```
  case (Plus r1 r2) thus ?case using toplevel-summands-final by (auto simp:
    final-PLUS)
  qed auto
```

## 2.4 Wellformedness w.r.t. an alphabet

```
locale alphabet =
```

```
  fixes  $\Sigma$  :: nat  $\Rightarrow$  'a set ( $\Sigma$  -)
```

```
  and wf-atom :: nat  $\Rightarrow$  'b :: linorder  $\Rightarrow$  bool
```

```
  begin
```

```
primrec wf :: nat  $\Rightarrow$  'b rexpr  $\Rightarrow$  bool
```

```
where
```

```
  wf n Zero = True |
  wf n Full = True |
```

```

wf n One = True |
wf n (Atom a) = (wf-atom n a) |
wf n (Plus r s) = (wf n r ∧ wf n s) |
wf n (Times r s) = (wf n r ∧ wf n s) |
wf n (Star r) = wf n r |
wf n (Not r) = wf n r |
wf n (Inter r s) = (wf n r ∧ wf n s) |
wf n (Pr r) = wf (n + 1) r

primrec wf-word where
  wf-word n [] = True
| wf-word n (w # ws) = ((w ∈ Σ n) ∧ wf-word n ws)

lemma wf-word-snoc[simp]: wf-word n (ws @ [w]) = ((w ∈ Σ n) ∧ wf-word n ws)
  by (induct ws) auto

lemma wf-word-append[simp]: wf-word n (ws @ vs) = (wf-word n ws ∧ wf-word n vs)
  by (induct ws arbitrary: vs) auto

lemma wf-word: wf-word n w = (w ∈ lists (Σ n))
  by (induct w) auto

lemma toplevel-summands-wf:
  wf n s = (∀ r ∈ toplevel-summands s. wf n r)
  by (induct s) auto

lemma wf-PLUS[simp]:
  wf n (PLUS xs) = (∀ r ∈ set xs. wf n r)
  by (induct xs rule: list-singleton-induct) auto

lemma wf-TIMES[simp]:
  wf n (TIMES xs) = (∀ r ∈ set xs. wf n r)
  by (induct xs rule: list-singleton-induct) auto

lemma wf-flatten-PLUS[simp]:
  finite X ==> wf n (flatten PLUS X) = (∀ r ∈ X. wf n r)
  using wf-PLUS[of n sorted-list-of-set X] by fastforce

theorem ACI-norm-wf[simp]:
  wf n «r» = wf n r
  proof (induct r arbitrary: n)
    case (Plus r1 r2) thus ?case
      using toplevel-summands-wf[of n «r1»] toplevel-summands-wf[of n «r2»] by
      auto
    qed auto

lemma wf-INTERSECT[simp]:
  wf n (INTERSECT xs) = (∀ r ∈ set xs. wf n r)

```

```

by (induct xs rule: list-singleton-induct) auto

lemma wf-flatten-INTERSECT[simp]:
finite X ==> wf n (flatten INTERSECT X) = (∀ r ∈ X. wf n r)
using wf-INTERSECT[of n sorted-list-of-set X] by fastforce

end

```

## 2.5 Language

```

locale project =
alphabet Σ wf-atom for Σ :: nat ⇒ 'a set and wf-atom :: nat ⇒ 'b :: linorder ⇒
bool +
fixes project :: 'a ⇒ 'a
and lookup :: 'b ⇒ 'a ⇒ bool
assumes project: ∀ a. a ∈ Σ (Suc n) ==> project a ∈ Σ n
begin

primrec lang :: nat ⇒ 'b rexpr => 'a lang where
lang n Zero = {} |
lang n Full = lists (Σ n) |
lang n One = {[]} |
lang n (Atom b) = {[x] | x. lookup b x ∧ x ∈ Σ n} |
lang n (Plus r s) = (lang n r) ∪ (lang n s) |
lang n (Times r s) = conc (lang n r) (lang n s) |
lang n (Star r) = star (lang n r) |
lang n (Not r) = lists (Σ n) − lang n r |
lang n (Inter r s) = (lang n r ∩ lang n s) |
lang n (Pr r) = map project ` lang (n + 1) r

lemma wf-word-map-project[simp]: wf-word (Suc n) ws ==> wf-word n (map project
ws)
by (induct ws arbitrary: n) (auto intro: project)

lemma wf-lang-wf-word: wf n r ==> ∀ w ∈ lang n r. wf-word n w
by (induct r arbitrary: n) (auto elim: rev-subsetD[OF - conc-mono] star-induct
intro: iffD2[OF wf-word])

lemma lang-subset-lists: wf n r ==> lang n r ⊆ lists (Σ n)
proof (induct r arbitrary: n)
case Pr thus ?case by (fastforce intro!: project)
qed (auto simp: conc-subset-lists star-subset-lists)

lemma toplevel-summands-lang:
r ∈ toplevel-summands s ==> lang n r ⊆ lang n s
by (induct s) auto

lemma toplevel-summands-lang-UN:
lang n s = (⋃ r∈toplevel-summands s. lang n r)

```

```

by (induct s) auto

lemma toplevel-summands-in-lang:
  w ∈ lang n s = (exists r ∈ toplevel-summands s. w ∈ lang n r)
  by (induct s) auto

lemma lang-PLUS[simp]:
  lang n (PLUS xs) = (bigcup r ∈ set xs. lang n r)
  by (induct xs rule: list-singleton-induct) auto

lemma lang-TIMES[simp]:
  lang n (TIMES xs) = foldr (@@) (map (lang n) xs) {[]}
  by (induct xs rule: list-singleton-induct) auto

lemma lang-flatten-PLUS:
  finite X ==> lang n (flatten PLUS X) = (bigcup r ∈ X. lang n r)
  using lang-PLUS[of n sorted-list-of-set X] by fastforce

theorem ACI-norm-lang[simp]:
  lang n «r» = lang n r
  proof (induct r arbitrary: n)
    case (Plus r1 r2)
    moreover
      from Plus[symmetric] have lang n (Plus r1 r2) ⊆ lang n «Plus r1 r2»
      using toplevel-summands-in-lang[of - n «r1»] toplevel-summands-in-lang[of - n «r2»]
      by auto
    ultimately show ?case by (fastforce dest!: toplevel-summands-lang)
  qed auto

lemma lang-final: final r = ([] ∈ lang n r)
  using concI[of [] - []] by (induct r arbitrary: n) auto

lemma in-lang-INTERSECT:
  wf-word n w ==> w ∈ lang n (INTERSECT xs) = (forall r ∈ set xs. w ∈ lang n r)
  by (induct xs rule: list-singleton-induct) (auto simp: wf-word)

lemma lang-INTERSECT:
  lang n (INTERSECT xs) = (if xs = [] then lists (Σ n) else bigcap r ∈ set xs. lang n r)
  by (induct xs rule: list-singleton-induct) auto

lemma lang-flatten-INTERSECT[simp]:
  assumes finite X X ≠ []
  shows w ∈ lang n (flatten INTERSECT X) = (forall r ∈ X. w ∈ lang n r) (is ?L = ?R)
  proof
    assume ?L
    thus ?R using in-lang-INTERSECT[OF bspec[OF wf-lang-wf-word[OF iffD2[OF

```

```

wf-flatten-INTERSECT]]],
  OF assms(1,3) ‹?L›, of sorted-list-of-set X] assms(1)
  by auto
next
  assume ?R
  with assms show ?L by (intro iffD2[OF in-lang-INTERSECT]) (auto dest:
wf-lang-wf-word)
qed
end

```

### 3 Derivatives of $\Pi$ -Extended Regular Expressions

```

locale embed = project  $\Sigma$  wf-atom project lookup
  for  $\Sigma :: nat \Rightarrow 'a set$ 
  and wf-atom ::  $nat \Rightarrow 'b :: linorder \Rightarrow bool$ 
  and project ::  $'a \Rightarrow 'a$ 
  and lookup ::  $'b \Rightarrow 'a \Rightarrow bool +$ 
fixes embed ::  $'a \Rightarrow 'a list$ 
assumes embed:  $\bigwedge a. a \in \Sigma \Rightarrow b \in set(embed a) = (b \in \Sigma \wedge project b = a)$ 
begin

```

#### 3.1 Syntactic Derivatives

```

primrec lderiv ::  $'a \Rightarrow 'b rexpr \Rightarrow 'b rexpr$  where
  lderiv - Zero = Zero
| lderiv - Full = Full
| lderiv - One = Zero
| lderiv a (Atom b) = (if lookup b a then One else Zero)
| lderiv a (Plus r s) = Plus (lderiv a r) (lderiv a s)
| lderiv a (Times r s) =
  (let r's = Times (lderiv a r) s
   in if final r then Plus r's (lderiv a s) else r's)
| lderiv a (Star r) = Times (lderiv a r) (Star r)
| lderiv a (Not r) = Not (lderiv a r)
| lderiv a (Inter r s) = Inter (lderiv a r) (lderiv a s)
| lderiv a (Pr r) = Pr (PLUS (map ( $\lambda a'. lderiv a' r$ ) (embed a)))

```

```

primrec lderivs where
  lderivs [] r = r
| lderivs (w#ws) r = lderivs ws (lderiv w r)

```

#### 3.2 Finiteness of ACI-Equivalent Derivatives

lemma toplevel-summands-lderiv:

```

toplevel-summands (lderiv as r) = ( $\bigcup_{s \in \text{toplevel-summands } r} \text{toplevel-summands } (lderiv as s)$ )
by (induct r) (auto simp: Let-def)

lemma lderivs-Zero[simp]: lderivs xs Zero = Zero
by (induct xs) auto

lemma lderivs-Full[simp]: lderivs xs Full = Full
by (induct xs) auto

lemma lderivs-One: lderivs xs One ∈ {Zero, One}
by (induct xs) auto

lemma lderivs-Atom: lderivs xs (Atom as) ∈ {Zero, One, Atom as}
proof (induct xs)
  case (Cons x xs) thus ?case by (auto intro: insertE[OF lderivs-One])
qed simp

lemma lderivs-Plus: lderivs xs (Plus r s) = Plus (lderivs xs r) (lderivs xs s)
by (induct xs arbitrary: r s) auto

lemma lderivs-PLUS: lderivs xs (PLUS ys) = PLUS (map (lderivs xs) ys)
by (induct ys rule: list-singleton-induct) (auto simp: lderivs-Plus)

lemma toplevel-summands-lderivs-Times: toplevel-summands (lderivs xs (Times r s)) ⊆
  {Times (lderivs xs r) s} ∪
  {r'. ∃ ys zs. r' ∈ toplevel-summands (lderivs ys s) ∧ ys ≠ [] ∧ zs @ ys = xs}
proof (induct xs arbitrary: r s)
  case (Cons x xs)
  thus ?case by (auto simp: Let-def lderivs-Plus) (fastforce intro: exI[of - x#xs])+
qed simp

lemma toplevel-summands-lderivs-Star-nonempty:
  xs ≠ [] ==> toplevel-summands (lderivs xs (Star r)) ⊆
  {Times (lderivs ys r) (Star r) | ys. ∃ zs. ys ≠ [] ∧ zs @ ys = xs}
proof (induct xs rule: length-induct)
  case (1 xs)
  then obtain y ys where xs = y # ys by (cases xs) auto
  thus ?case using spec[OF 1(1)]
    by (auto dest!: subsetD[OF toplevel-summands-lderivs-Times] intro: exI[of - y#ys])
      (auto elim!: impE dest!: meta-spec subsetD)
qed

lemma toplevel-summands-lderivs-Star:
  toplevel-summands (lderivs xs (Star r)) ⊆
  {Star r} ∪ {Times (lderivs ys r) (Star r) | ys. ∃ zs. ys ≠ [] ∧ zs @ ys = xs}
by (cases xs = []) (auto dest!: toplevel-summands-lderivs-Star-nonempty)

```

```

lemma ex-lderivs-Pr:  $\exists s. \text{lderivs } ass (\text{Pr } r) = \text{Pr } s$ 
  by (induct ass arbitrary: r) auto

lemma toplevel-summands-PLUS:
   $xs \neq [] \implies \text{toplevel-summands} (\text{PLUS} (\text{map } f xs)) = (\bigcup r \in \text{set } xs. \text{toplevel-summands} (f r))$ 
  by (induct xs rule: list-singleton-induct) simp-all

lemma lderiv-toplevel-summands-Zero:
   $[\text{lderivs } xs (\text{Pr } r) = \text{Pr } s; \text{toplevel-summands } r = \{\text{Zero}\}] \implies \text{toplevel-summands } s = \{\text{Zero}\}$ 
  proof (induct xs arbitrary: r s)
    case (Cons y ys)
      from Cons.prem(1) have toplevel-summands (PLUS (map ( $\lambda a. \text{lderiv } a r$ ) (embed y))) = {Zero}
      proof (cases embed y = [])
        case False
          show ?thesis using Cons.prem(2) unfolding toplevel-summands-PLUS[OF False]
          by (subst toplevel-summands-lderiv) (simp add: False)
        qed simp
        with Cons show ?case by simp
      qed simp

lemma toplevel-summands-lderivs-Pr:
   $[xs \neq []; \text{lderivs } xs (\text{Pr } r) = \text{Pr } s] \implies$ 
   $\text{toplevel-summands } s \subseteq \{\text{Zero}\} \vee \text{toplevel-summands } s \subseteq (\bigcup xs. \text{toplevel-summands} (\text{lderivs } xs r))$ 
  proof (induct xs arbitrary: r s)
    case (Cons y ys) note * = this
    show ?case
    proof (cases embed y = [])
      case True with Cons show ?thesis by (cases ys = []) (auto dest: lderiv-toplevel-summands-Zero)
      next
        case False
        show ?thesis
        proof (cases ys)
          case Nil with * show ?thesis
          by (auto simp: toplevel-summands-PLUS[OF False]) (metis lderivs.simps)
        next
          case (Cons z zs)
          have toplevel-summands s  $\subseteq \{\text{Zero}\} \vee \text{toplevel-summands } s \subseteq$ 
             $(\bigcup xs. \text{toplevel-summands} (\text{lderivs } xs (\text{PLUS} (\text{map } (\lambda a. \text{lderiv } a r) (\text{embed } y))))))$  (is -  $\vee$  ?B)
          by (rule *(1)) (auto simp: Cons *(3)[symmetric])
          thus ?thesis
          proof
            assume ?B

```

```

also have ... ⊆ (⋃ xs. toplevel-summands (lderivs xs r))
  by (auto simp: lderivs-PLUS toplevel-summands-PLUS[OF False]) (metis
lderivs.simps(2))
  finally show ?thesis ..
qed blast
qed
qed
qed simp

lemma lderivs-Pr:
{lderivs xs (Pr r) | xs. True} ⊆
{Pr s | s. toplevel-summands s ⊆ {Zero} ∨
toplevel-summands s ⊆ (⋃ xs. toplevel-summands (lderivs xs r))} {is ?L ⊆ ?R}
proof (rule subsetI)
fix s assume s ∈ ?L
then obtain xs where s = lderivs xs (Pr r) by blast
moreover obtain t where lderivs xs (Pr r) = Pr t using ex-lderivs-Pr by blast
ultimately show s ∈ ?R
by (cases xs = []) (auto dest!: toplevel-summands-lderivs-Pr elim!: allE[of - []])
qed

lemma ACI-norm-toplevel-summands-Zero: toplevel-summands r ⊆ {Zero} ==>
«r» = Zero
by (subst ACI-norm-flatten) (auto dest: subset-singletonD)

lemma ACI-norm-lderivs-Pr:
ACI-norm ‘{lderivs xs (Pr r) | xs. True} ⊆
{Pr Zero} ∪ {Pr «s» | s. toplevel-summands s ⊆ (⋃ xs. toplevel-summands
«lderivs xs r»)}
proof (intro subset-trans[OF image-mono[OF lderivs-Pr]] subsetI,
elim imageE CollectE exE conjE disjE)
fix x x' s :: 'b rexp
assume *: x = «x'» x' = Pr s and toplevel-summands s ⊆ {Zero}
hence «Pr s» = Pr Zero using ACI-norm-toplevel-summands-Zero by simp
thus x ∈ {Pr Zero} ∪
{Pr «s» | s. toplevel-summands s ⊆ (⋃ xs. toplevel-summands «lderivs xs r»)}
  unfolding * by blast
next
fix x x' s :: 'b rexp
assume *: x = «x'» x' = Pr s and toplevel-summands s ⊆ (⋃ xs. toplevel-summands
(lderivs xs r))
hence toplevel-summands «s» ⊆ (⋃ xs. toplevel-summands «lderivs xs r»)
  by (fastforce simp: toplevel-summands-ACI-norm)
moreover have x = Pr ««s»» unfolding * ACI-norm-idem ACI-norm.simps(10)
..
ultimately show x ∈ {Pr Zero} ∪
{Pr «s» | s. toplevel-summands s ⊆ (⋃ xs. toplevel-summands «lderivs xs r»)}
  by blast

```

**qed**

```

lemma finite-ACI-norm-toplevel-summands: finite B ==> finite {f «s» | s. toplevel-summands
s ⊆ B}
  apply (elim finite-surj [OF iffD2 [OF finite-Pow-iff], of - - f o flatten PLUS o
image ACI-norm])
  apply (subst ACI-norm-flatten)
  apply auto
  done

lemma lderivs-Not: lderivs xs (Not r) = Not (lderivs xs r)
  by (induct xs arbitrary: r) auto

lemma lderivs-Inter: lderivs xs (Inter r s) = Inter (lderivs xs r) (lderivs xs s)
  by (induct xs arbitrary: r s) auto

theorem finite-lderivs: finite {«lderivs xs r» | xs . True}
  proof (induct r)
    case Zero show ?case by simp
    next
      case Full show ?case by simp
    next
      case One show ?case
        by (rule finite-surj[of {Zero, One}]) (blast intro: insertE[OF lderivs-One])+  

    next
      case (Atom as) show ?case
        by (rule finite-surj[of {Zero, One, Atom as}]) (blast intro: insertE[OF lderivs-Atom])+  

    next
      case (Plus r s)
        show ?case by (auto simp: lderivs-Plus intro!: finite-surj[OF finite-cartesian-product[OF
Plus]])  

    next
      case (Times r s)
        hence finite (UN (toplevel-summands `{{lderivs xs s} | xs . True})) by auto
        moreover have {{r'} | r'. ∃ ys. r' ∈ toplevel-summands (lderivs ys s)} =
          {r'. ∃ ys. r' ∈ toplevel-summands «lderivs ys s»}
        unfolding toplevel-summands-ACI-norm by auto
        ultimately have fin: finite {{r'} | r'. ∃ ys. r' ∈ toplevel-summands (lderivs ys
s)}
          by (fastforce intro: finite-subset[of - UN (toplevel-summands `{{lderivs xs s} |
xs . True})])
        let ?X = λxs. {Times (lderivs ys r) s | ys. True} ∪ {r'. r' ∈ (UN ys. toplevel-summands
(lderivs ys s))}  

        show ?case
        proof (simp only: ACI-norm-flatten,
          rule finite-surj[of {X. ∃ xs. X ⊆ ACI-norm `?X xs` - flatten PLUS])
        show finite {X. ∃ xs. X ⊆ ACI-norm `?X xs`}
          using fin by (fastforce simp: image-Un elim: finite-subset[rotated] intro:
finite-surj[OF Times(1), of - λr. Times r «s»])

```

```

qed (fastforce dest!: subsetD[OF toplevel-summands-lderivs-Times] intro!: imageI)
next
  case (Star r)
  let ?f = λf r'. Times r' (Star (f r))
  let ?X = {Star r} ∪ ?f id ‘{r'. r' ∈ {lderivs ys r|ys. True}}’
  show ?case
    proof (simp only: ACI-norm-flatten,
      rule finite-surj[of {X. X ⊆ ACI-norm ‘?X} - flatten PLUS])
      have *: ⋀X. ACI-norm ‘?f (λx. x) ‘ X = ?f ACI-norm ‘ACI-norm ‘ X by
        (auto simp: image-def)
      show finite {X. X ⊆ ACI-norm ‘?X}
        by (rule finite-Collect-subsets)
        (auto simp: * intro!: finite-imageI[of - ?f ACI-norm] intro: finite-subset[OF - Star])
      qed (fastforce dest!: subsetD[OF toplevel-summands-lderivs-Star] intro!: imageI)
    next
      case (Not r) thus ?case by (auto simp: lderivs-Not) (blast intro: finite-surj)
    next
      case (Inter r s)
      show ?case by (auto simp: lderivs-Inter intro!: finite-surj[OF finite-cartesian-product[OF Inter]])
    next
      case (Pr r)
      hence *: finite (⋃ (toplevel-summands ‘{«lderivs xs r» | xs . True})) by auto
      have finite (⋃ xs. toplevel-summands «lderivs xs r») by (rule finite-subset[OF -*]) auto
      hence fin: finite {Pr «s» | s. toplevel-summands s ⊆ (⋃ xs. toplevel-summands «lderivs xs r»)}
        by (intro finite-ACI-norm-toplevel-summands)
      have {s. ∃ xs. s = «lderivs xs (Pr r)»} = {«s» | s. ∃ xs. s = lderivs xs (Pr r)} by auto
      thus ?case using finite-subset[OF ACI-norm-lderivs-Pr, of r] fin unfolding
        image-Collect by auto
    qed

```

### 3.3 Wellformedness and language of derivatives

**lemma** wf-lderiv[simp]: wf n r  $\implies$  wf n (lderiv w r)  
**by** (induct r arbitrary: n w) (auto simp add: Let-def)

**lemma** wf-lderivs[simp]: wf n r  $\implies$  wf n (lderivs ws r)  
**by** (induct ws arbitrary: r) (auto intro: wf-lderiv)

**lemma** lQuot-map-project:  
**assumes** as  $\in \Sigma$  n A  $\subseteq$  lists ( $\Sigma$  (Suc n))  
**shows** lQuot as (map project ‘A) = map project ‘(⋃ a  $\in$  set (embed as). lQuot a A) (is ?L = ?R)  
**proof** (intro equalityI image-subsetI subsetI)

```

fix xss assume xss ∈ ?L
with assms obtain zss
  where zss: zss ∈ A as # xss = map project zss
    unfolding lQuot-def by fastforce
  hence xss = map project (tl zss) by auto
  with zss assms(2) show xss ∈ ?R using embed[OF project, of - n] unfolding
lQuot-def by fastforce
next
fix xss assume xss ∈ (⋃ a ∈ set (embed as). lQuot a A)
  with assms(1) show map project xss ∈ lQuot as (map project ` A) unfolding
lQuot-def
  by (fastforce intro!: rev-image-eqI simp: embed)
qed

lemma lang-lderiv: [wf n r; w ∈ Σ n] ⇒ lang n (lderiv w r) = lQuot w (lang n
r)
proof (induct r arbitrary: n w)
  case (Pr r)
  hence *: wf (Suc n) r ∧ w'. w' ∈ set (embed w) ⇒ w' ∈ Σ (Suc n) by (auto
simp: embed)
  from Pr(1)[OF *] lQuot-map-project[OF Pr(3) lang-subset-lists[OF *(1)]] show
?case
  by (auto simp: wf-lderiv[OF *(1)])
qed (auto simp: Let-def lang-final[symmetric])

lemma lang-lderivs: [wf n r; wf-word n ws] ⇒ lang n (lderivs ws r) = lQuots ws
(lang n r)
by (induct ws arbitrary: r) (auto simp: lang-lderiv)

corollary lderivs-final:
assumes wf n r wf-word n ws
shows final (lderivs ws r) ↔ ws ∈ lang n r
using lang-lderivs[OF assms] lang-final[of lderivs ws r n] by auto

abbreviation lderivs-set n r s ≡ {⟨lderivs w r⟩, ⟨lderivs w s⟩) | w. wf-word n
w}

```

### 3.4 Deriving preserves ACI-equivalence

```

lemma ACI-norm-PLUS:
  list-all2 (λr s. «r» = «s») xs ys ⇒ «PLUS xs» = «PLUS ys»
proof (induct rule: list-all2-induct)
  case (Cons x xs y ys)
  hence length xs = length ys by (elim list-all2-lengthD)
  thus ?case using Cons by (induct xs ys rule: list-induct2) auto
qed simp

lemma toplevel-summands-ACI-norm-lderiv:
  (⋃ a ∈ toplevel-summands r. toplevel-summands «lderiv as «a»») = toplevel-summands

```

```

«lderiv as «r»»
proof (induct r)
  case (Plus r1 r2) thus ?case
    unfolding toplevel-summands.simps toplevel-summands-ACI-norm
      toplevel-summands-lderiv[of as «Plus r1 r2»] image-Un Union-Un-distrib
    by (simp add: image-UN)
  qed (auto simp: Let-def)

theorem ACI-norm-lderiv:
  «lderiv as «r»» = «lderiv as r»
  proof (induct r arbitrary: as)
    case (Plus r1 r2) thus ?case
      unfolding lderiv.simps ACI-norm-flatten[of lderiv as «Plus r1 r2»]
        toplevel-summands-lderiv[of as «Plus r1 r2»] image-Un image-UN
      by (auto simp: toplevel-summands-ACI-norm toplevel-summands-flatten-ACI-norm-image-Union)
        (auto simp: toplevel-summands-ACI-norm[symmetric] toplevel-summands-ACI-norm-lderiv)
  next
    case (Pr r)
    hence list-all2 ( $\lambda r s. \langle\langle r \rangle\rangle = \langle\langle s \rangle\rangle$ )
      (map ( $\lambda a. lderiv a \langle\langle r \rangle\rangle$ ) (embed as)) (map ( $\lambda a. lderiv a r$ ) (embed as))
    unfolding list-all2-map1 list-all2-map2 by (intro list-all2-refl)
    thus ?case unfolding lderiv.simps ACI-norm.simps by (blast intro: ACI-norm-PLUS)
  qed (simp-all add: Let-def)

corollary lderiv-preserves: «r» = «s»  $\implies$  «lderiv as r» = «lderiv as s»
  by (rule box-equals[OF - ACI-norm-lderiv ACI-norm-lderiv]) (erule arg-cong)

lemma lderivs-snoc[simp]: lderivs (ws @ [w]) r = (lderiv w (lderivs ws r))
  by (induct ws arbitrary: r) auto

theorem ACI-norm-lderivs:
  «lderivs ws «r»» = «lderivs ws r»
  proof (induct ws arbitrary: r rule: rev-induct)
    case (snoc w ws) thus ?case
      using ACI-norm-lderiv[of w lderivs ws r] ACI-norm-lderiv[of w lderivs ws «r»]
    by auto
  qed simp

lemma lderivs-alt: «lderivs w r» = fold ( $\lambda a r. \langle\langle lderiv a r \rangle\rangle$ ) w «r»
  by (induct w arbitrary: r) (auto simp: ACI-norm-lderiv)

lemma finite-fold-lderiv: finite {fold ( $\lambda a r. \langle\langle lderiv a r \rangle\rangle$ ) w «s» | w. True}
  using finite-lderivs unfolding lderivs-alt .

end

```

## 4 Some Useful Regular Operators

```

primrec REV :: 'a rexpr  $\Rightarrow$  'a rexpr where
  REV Zero = Zero
  | REV Full = Full
  | REV One = One
  | REV (Atom a) = Atom a
  | REV (Plus r s) = Plus (REV r) (REV s)
  | REV (Times r s) = Times (REV s) (REV r)
  | REV (Star r) = Star (REV r)
  | REV (Not r) = Not (REV r)
  | REV (Inter r s) = Inter (REV r) (REV s)
  | REV (Pr r) = Pr (REV r)

lemma REV-REV[simp]: REV (REV r) = r
  by (induct r) auto

lemma final-REV[simp]: final (REV r) = final r
  by (induct r) auto

lemma REV-PLUS: REV (PLUS xs) = PLUS (map REV xs)
  by (induct xs rule: list-singleton-induct) auto

lemma (in alphabet) wf-REV[simp]: wf n r  $\implies$  wf n (REV r)
  by (induct r arbitrary: n) auto

lemma (in project) lang-REV[simp]: lang n (REV r) = rev ` lang n r
  by (induct r arbitrary: n) (auto simp: image-image rev-map image-set-diff)

context embed
begin

primrec rderiv :: 'a  $\Rightarrow$  'b rexpr  $\Rightarrow$  'b rexpr where
  rderiv - Zero = Zero
  | rderiv - Full = Full
  | rderiv - One = Zero
  | rderiv a (Atom b) = (if lookup b a then One else Zero)
  | rderiv a (Plus r s) = Plus (rderiv a r) (rderiv a s)
  | rderiv a (Times r s) =
    (let rs' = Times r (rderiv a s)
     in if final s then Plus rs' (rderiv a r) else rs')
  | rderiv a (Star r) = Times (Star r) (rderiv a r)
  | rderiv a (Not r) = Not (rderiv a r)
  | rderiv a (Inter r s) = Inter (rderiv a r) (rderiv a s)
  | rderiv a (Pr r) = Pr (PLUS (map (λa'. rderiv a' r) (embed a)))

primrec rderivs where
  rderivs [] r = r

```

```

| rderivs (w#ws) r = rderivs ws (rderiv w r)

lemma rderivs-snoc: rderivs (ws @ [w]) r = rderiv w (rderivs ws r)
  by (induct ws arbitrary: r) auto

lemma rderivs-append: rderivs (ws @ ws') r = rderivs ws' (rderivs ws r)
  by (induct ws arbitrary: r) auto

lemma rderiv-lderiv: rderiv as r = REV (lderiv as (REV r))
  by (induct r arbitrary: as) (auto simp: Let-def o-def REV-PLUS)

lemma rderivs-lderivs: rderivs w r = REV (lderivs w (REV r))
  by (induct w arbitrary: r) (auto simp: rderiv-lderiv)

lemma wf-rderiv[simp]: wf n r ==> wf n (rderiv w r)
  unfolding rderiv-lderiv by (rule wf-REV[OF wf-lderiv[OF wf-REV]])

lemma wf-rderivs[simp]: wf n r ==> wf n (rderivs ws r)
  unfolding rderivs-lderivs by (rule wf-REV[OF wf-lderivs[OF wf-REV]])

lemma lang-rderiv: [[wf n r; as ∈ Σ n]] ==> lang n (rderiv as r) = rQuot as (lang n r)
  unfolding rderiv-lderiv rQuot-rev-lQuot by (simp add: lang-lderiv)

lemma lang-rderivs: [[wf n r; wf-word n w]] ==> lang n (rderivs w r) = rQuots w (lang n r)
  unfolding rderivs-lderivs rQuots-rev-lQuots by (simp add: lang-lderivs)

corollary rderivs-final:
  assumes wf n r wf-word n w
  shows final (rderivs w r) ↔ rev w ∈ lang n r
    using lang-rderivs[OF assms] lang-final[of rderivs w r n] by auto

lemma toplevel-summands-REV[simp]: toplevel-summands (REV r) = REV ` toplevel-summands r
  by (induct r) auto

lemma ACI-norm-REV: «REV «r»» = «REV r»
  proof (induct r)
    case (Plus r s)
      show ?case
        using [[unfold-abs-def = false]]
        unfolding REV.simps ACI-norm.simps Plus[symmetric] image-Un[symmetric]
        toplevel-summands.simps(1) toplevel-summands-ACI-norm toplevel-summands-REV
        unfolding toplevel-summands.simps(1)[symmetric] ACI-norm-flatten toplevel-summands-REV
        unfolding ACI-norm-flatten[symmetric] toplevel-summands-ACI-norm
        ..
      qed auto

```

**lemma** *ACI-norm-rderiv*: «*rderiv as r»» = «*rderiv as r»»  
**unfolding** *rderiv-lderiv* **by** (*metis ACI-norm-REV ACI-norm-lderiv*)**

**lemma** *ACI-norm-rderivs*: «*rderivs w r»» = «*rderivs w r»»  
**unfolding** *rderivs-lderivs* **by** (*metis ACI-norm-REV ACI-norm-lderivs*)**

**theorem** *finite-rderivs*: finite {«*rderivs xs r»» | *xs* . True}  
**unfolding** *rderivs-lderivs*  
**by** (*subst ACI-norm-REV[symmetric]*) (*auto intro: finite-surj[OF finite-lderivs, of - λr. «REV r»]*)*

**lemma** *lderiv-PLUS[simp]*: *lderiv a (PLUS xs)* = *PLUS (map (lderiv a) xs)*  
**by** (*induct xs rule: list-singleton-induct*) *auto*

**lemma** *rderiv-PLUS[simp]*: *rderiv a (PLUS xs)* = *PLUS (map (rderiv a) xs)*  
**by** (*induct xs rule: list-singleton-induct*) *auto*

**lemma** *lang-rderiv-lderiv*: *lang n (rderiv a (lderiv b r))* = *lang n (lderiv b (rderiv a r))*  
**by** (*induct r arbitrary: n a b*) (*auto simp: Let-def conc-assoc*)

**lemma** *lang-lderiv-rderiv*: *lang n (lderiv a (rderiv b r))* = *lang n (rderiv b (lderiv a r))*  
**by** (*induct r arbitrary: n a b*) (*auto simp: Let-def conc-assoc*)

**lemma** *lang-rderiv-lderivs[simp]*:  $\llbracket wf\ n\ r; wf\text{-word}\ n\ w; a \in \Sigma\ n \rrbracket \implies lang\ n\ (rderiv\ a\ (lderiv\ w\ r)) = lang\ n\ (lderiv\ w\ (rderiv\ a\ r))$   
**by** (*induct w arbitrary: n r*)  
*(auto, auto simp: lang-lderivs lang-lderiv lang-rderiv lQuot-rQuot)*

**lemma** *lang-lderiv-rderivs[simp]*:  $\llbracket wf\ n\ r; wf\text{-word}\ n\ w; a \in \Sigma\ n \rrbracket \implies lang\ n\ (lderiv\ a\ (rderiv\ w\ r)) = lang\ n\ (rderiv\ w\ (lderiv\ a\ r))$   
**by** (*induct w arbitrary: n r*)  
*(auto, auto simp: lang-rderivs lang-lderiv lang-rderiv lQuot-rQuot)*

**definition** *biderivs w1 w2* = *rderivs w2 o lderivs w1*

**lemma** *lang-biderivs*:  $\llbracket wf\ n\ r; wf\text{-word}\ n\ w1; wf\text{-word}\ n\ w2 \rrbracket \implies lang\ n\ (biderivs\ w1\ w2\ r) = biQuots\ w1\ w2\ (lang\ n\ r)$   
**unfolding** *biderivs-def* **by** (*auto simp: lang-rderivs lang-lderivs in-lists-conv-set*)

**lemma** *wf-biderivs[simp]*: *wf n r*  $\implies wf\ n\ (biderivs\ w1\ w2\ r)$   
**unfolding** *biderivs-def* **by** *simp*

**corollary** *biderivs-final*:  
**assumes** *wf n r wf-word n w1 wf-word n w2*  
**shows** *final (biderivs w1 w2 r) ↔ w1 @ rev w2 ∈ lang n r*  
**using** *lang-biderivs[OF assms] lang-final[of biderivs w1 w2 r n]* **by** *auto*

```

lemma ACI-norm-biderivs: «biderivs w1 w2 «r»» = «biderivs w1 w2 r»
  unfolding biderivs-def by (metis ACI-norm-lderivs ACI-norm-rderivs o-apply)

lemma finite {«biderivs w1 w2 r» | w1 w2 . True}
proof -
  have {«biderivs w1 w2 r» | w1 w2 . True} =
    ( $\bigcup s \in \{«lderivs as r» | as . True\} . \{«rderivs bs s» | bs . True\}$ )
    unfolding biderivs-def by (fastforce simp: ACI-norm-rderivs)
  also have finite ... by (rule iffD2[OF finite-UN[OF finite-lderivs] ballI[OF finite-rderivs]])
  finally show ?thesis .
qed

end

```

#### 4.1 Quotoning by the same letter

```

definition fin-cut-same x xs = take (LEAST n. drop n xs = replicate (length xs - n) x) xs

```

```

lemma fin-cut-same-Nil[simp]: fin-cut-same x [] = []
  unfolding fin-cut-same-def by simp

lemma Least-fin-cut-same: (LEAST n. drop n xs = replicate (length xs - n) y) =
  length xs - length (takeWhile ( $\lambda x. x = y$ ) (rev xs))
  (is Least ?P = ?min)
proof (rule Least-equality)
  show ?P ?min by (induct xs rule: rev-induct) (auto simp: Suc-diff-le replicate-append-same)
next
  fix m assume ?P m
  have length xs - m  $\leq$  length (takeWhile ( $\lambda x. x = y$ ) (rev xs))
  proof (intro length-takeWhile-less-P-nth)
    fix i assume i < length xs - m
    hence rev xs ! i  $\in$  set (drop m xs)
      by (induct xs arbitrary: i rule: rev-induct) (auto simp: nth-Cons')
      with ‹?P m› show rev xs ! i = y by simp
    qed simp
    thus ?min  $\leq$  m by linarith
  qed

```

```

lemma takeWhile-takes-all: length xs = m  $\implies$  m  $\leq$  length (takeWhile P xs)  $\longleftrightarrow$ 
Ball (set xs) P
by hypsubst-thin (induct xs, auto)

```

```

lemma fin-cut-same-Cons[simp]: fin-cut-same x (y # xs) =
  (if fin-cut-same x xs = [] then if x = y then [] else [y] else y # fin-cut-same x xs)
  unfolding fin-cut-same-def Least-fin-cut-same

```

```

apply auto
apply (simp add: takeWhile-takes-all)
apply (simp add: takeWhile-takes-all)
apply auto
apply (metis (full-types) Suc-diff-le length-rev length-takeWhile-le take-Suc-Cons)
apply (simp add: takeWhile-takes-all)
apply (subst takeWhile-append2)
apply auto
apply (simp add: takeWhile-takes-all)
apply auto
apply (metis (full-types) Suc-diff-le length-rev length-takeWhile-le take-Suc-Cons)
done

lemma fin-cut-same-singleton[simp]: fin-cut-same x (xs @ [x]) = fin-cut-same x xs
by (induct xs) auto

lemma fin-cut-same-replicate[simp]: fin-cut-same x (xs @ replicate n x) = fin-cut-same
x xs
by (induct n arbitrary: xs)
(auto simp: replicate-append-same[symmetric] append-assoc[symmetric] simp
del: append-assoc)

lemma fin-cut-sameE: fin-cut-same x xs = ys ==> ∃ m. xs = ys @ replicate m x
apply (induct xs arbitrary: ys)
apply auto
apply (metis replicate-Suc)
apply metis
apply metis
done

definition SAMEQUOT a A = {fin-cut-same a x @ replicate m a | x m. x ∈ A}

lemma SAMEQUOT-mono: A ⊆ B ==> SAMEQUOT a A ⊆ SAMEQUOT a B
unfolding SAMEQUOT-def by auto

locale embed2 = embed Σ wf-atom project lookup embed
for Σ :: nat ⇒ 'a set
and wf-atom :: nat ⇒ 'b :: linorder ⇒ bool
and project :: 'a ⇒ 'a
and lookup :: 'b ⇒ 'a ⇒ bool
and embed :: 'a ⇒ 'a list +
fixes singleton :: 'a ⇒ 'b
assumes wf-singleton[simp]: a ∈ Σ n ==> wf-atom n (singleton a)
assumes lookup-singleton[simp]: lookup (singleton a) a' = (a = a')
begin

lemma finite-rderivs-same: finite {«rderivs (replicate m a) r» | m . True}
by (auto intro: finite-subset[OF - finite-rderivs])

```

```

lemma wf-word-replicate[simp]:  $a \in \Sigma$   $n \implies \text{wf-word } n (\text{replicate } m a)$ 
by (induct m) auto

lemma star-singleton[simp]:  $\text{star } \{[x]\} = \{\text{replicate } m x \mid m . \text{True}\}$ 
proof (intro equalityI subsetI)
  fix xs assume xs  $\in \text{star } \{[x]\}$ 
  thus xs  $\in \{\text{replicate } m x \mid m . \text{True}\}$  by (induct xs) (auto, metis replicate-Suc)
qed (auto intro: Ball-starI)

definition samequot a r = Times (flatten PLUS {«rderivs (replicate m a) r» | m . True}) (Star (Atom (singleton a)))

lemma wf-samequot:  $\llbracket \text{wf } n r; a \in \Sigma \text{ } n \rrbracket \implies \text{wf } n (\text{samequot } a r)$ 
unfolding samequot-def wf.simps wf-flatten-PLUS[OF finite-rderivs-same] by
auto

lemma lang-samequot:  $\llbracket \text{wf } n r; a \in \Sigma \text{ } n \rrbracket \implies$ 
  lang n (samequot a r) = SAMEQUOT a (lang n r)
unfolding SAMEQUOT-def samequot-def lang.simps lang-flatten-PLUS[OF fi-
nite-rderivs-same]
  apply (rule sym)
  apply (auto simp: lang-rderivs)
  apply (intro concI)
  apply auto
  apply (insert fin-cut-sameE[OF refl, of - a])
  apply (drule meta-spec)
  apply (erule exE)
  apply (intro exI conjI)
  apply (rule refl)
  apply (auto simp: lang-rderivs)
  apply (erule subst)
  apply assumption
  apply (erule conce)
  apply (auto simp: lang-rderivs)
  apply (drule meta-spec)
  apply (erule exE)
  apply (intro exI conjI)
  defer
  apply assumption
unfolding fin-cut-same-replicate
  apply (erule trans)
unfolding fin-cut-same-replicate
  apply (rule refl)
done

fun rderiv-and-add where
  rderiv-and-add as (- :: bool, rs) =
    (let

```

$r = \langle\langle rderiv \text{ as } (hd \text{ rs}) \rangle\rangle$   
 in if  $r \in \text{set rs}$  then ( $\text{False}$ ,  $rs$ ) else ( $\text{True}$ ,  $r \# rs$ )

```

definition invar-rderiv-and-add as r brs ≡
  (if fst brs then True else ⟨⟨rderiv as (hd (snd brs))⟩⟩ ∈ set (snd brs)) ∧
  snd brs ≠ [] ∧ distinct (snd brs) ∧
  (forall i < length (snd brs). snd brs ! i = ⟨⟨rderivs (replicate (length (snd brs) - 1 -
  i) as) r⟩⟩)

lemma invar-rderiv-and-add-init: invar-rderiv-and-add as r (True, [⟨⟨r⟩⟩])
  unfolding invar-rderiv-and-add-def by auto

lemma invar-rderiv-and-add-step: invar-rderiv-and-add as r brs ==> fst brs ==>
  invar-rderiv-and-add as r (rderiv-and-add as brs)
  unfolding invar-rderiv-and-add-def by (cases brs) (auto simp:
    Let-def nth-Cons' ACI-norm-rderiv rderivs-snoc[symmetric] neq-Nil-conv replicate-append-same)

lemma rderivs-replicate-mult: [⟨⟨rderivs (replicate i as) r⟩⟩ = ⟨⟨r⟩⟩; i > 0] ==>
  ⟨⟨rderivs (replicate (m * i) as) r⟩⟩ = ⟨⟨r⟩⟩
  proof (induct m arbitrary: r)
    case (Suc m)
    hence ⟨⟨rderivs (replicate (m * i) as) ⟨⟨rderivs (replicate i as) r⟩⟩⟩ = ⟨⟨r⟩⟩
      by (auto simp: ACI-norm-rderivs)
    thus ?case by (auto simp: ACI-norm-rderivs replicate-add rderivs-append)
  qed simp

lemma rderivs-replicate-mult-rest:
  assumes ⟨⟨rderivs (replicate i as) r⟩⟩ = ⟨⟨r⟩⟩ k < i
  shows ⟨⟨rderivs (replicate (m * i + k) as) r⟩⟩ = ⟨⟨rderivs (replicate k as) r⟩⟩ (is ?L = ?R)
  proof –
    have ?L = ⟨⟨rderivs (replicate k as) ⟨⟨rderivs (replicate (m * i) as) r⟩⟩⟩
      by (simp add: ACI-norm-rderivs replicate-add rderivs-append)
    also have ⟨⟨rderivs (replicate (m * i) as) r⟩⟩ = ⟨⟨r⟩⟩ using assms
      by (simp add: rderivs-replicate-mult)
    finally show ?thesis by (simp add: ACI-norm-rderivs)
  qed

lemma rderivs-replicate-mod:
  assumes ⟨⟨rderivs (replicate i as) r⟩⟩ = ⟨⟨r⟩⟩ i > 0
  shows ⟨⟨rderivs (replicate m as) r⟩⟩ = ⟨⟨rderivs (replicate (m mod i) as) r⟩⟩ (is ?L =
  ?R)
  by (subst div-mult-mod-eq[symmetric, of m i])
    (intro rderivs-replicate-mult-rest[OF assms(1)] mod-less-divisor[OF assms(2)])

lemma rderivs-replicate-diff: [⟨⟨rderivs (replicate i as) r⟩⟩ = ⟨⟨rderivs (replicate j as) r⟩⟩; i > j] ==>
  ⟨⟨rderivs (replicate (i - j) as) (rderivs (replicate j as) r)⟩⟩ = ⟨⟨rderivs (replicate j as) r⟩⟩

```

```

as) r»
  unfolding rderivs-append[symmetric] replicate-add[symmetric] by auto

lemma samequot-wf:
  assumes wf n r while-option fst (rderiv-and-add as) (True, [«r»]) = Some (b,
  rs)
  shows wf n (PLUS rs)
proof -
  have ¬ b using while-option-stop[OF assms(2)] by simp
  from while-option-rule[where P=invar-rderiv-and-add as r,
  OF invar-rderiv-and-add-step assms(2) invar-rderiv-and-add-init]
  have *: invar-rderiv-and-add as r (b, rs) by simp
  thus wf n (PLUS rs) unfolding invar-rderiv-and-add-def wf-PLUS
    by (auto simp: in-set-conv-nth wf-rderivs[OF assms(1)])
qed

lemma samequot-soundness:
  assumes while-option fst (rderiv-and-add as) (True, [«r»]) = Some (b, rs)
  shows lang n (PLUS rs) = ∪ (lang n ‘ {«rderivs (replicate m as) r» | m. True})
proof -
  have ¬ b using while-option-stop[OF assms] by simp
  moreover
  from while-option-rule[where P=invar-rderiv-and-add as r,
  OF invar-rderiv-and-add-step assms invar-rderiv-and-add-init]
  have *: invar-rderiv-and-add as r (b, rs) by simp
  ultimately obtain i where i: i < length rs and «rderivs (replicate (length rs
  - Suc i) as) r» =
    «rderivs (replicate (Suc (length rs - Suc 0)) as) r» (is «rderivs ?x r» = -)
    unfolding invar-rderiv-and-add-def by (auto simp: in-set-conv-nth hd-conv-nth
    ACI-norm-rderiv
      rderivs-snoc[symmetric] replicate-append-same)
  with * have «rderivs ?x r» = «rderivs (replicate (length rs) as) r»
    by (auto simp: invar-rderiv-and-add-def)
  with i have cyc: «rderivs (replicate (Suc i) as) (rderivs ?x r)» = «rderivs ?x r»
    by (fastforce dest: rderivs-replicate-diff[OF sym])
  { fix m
    have ∃ i < length rs. rs ! i = «rderivs (replicate m as) r»
    proof (cases m > length rs - Suc i)
      case True
      with i obtain m' where m: m = m' + length rs - Suc i
        by atomize-elim (auto intro: exI[of - m - (length rs - Suc i)])
      with i have «rderivs (replicate m as) r» = «rderivs (replicate m' as) (rderivs
        ?x r)»
        unfolding replicate-add[symmetric] rderivs-append[symmetric] by (simp add:
        add.commute)
      also from cyc have ... = «rderivs (replicate (m' mod (Suc i)) as) (rderivs
        ?x r)»
        by (elim rderivs-replicate-mod) simp
      also from i have ... = «rderivs (replicate (m' mod (Suc i)) + length rs -

```

```

Suc i) as) r»
  unfolding rderivs-append[symmetric] replicate-add[symmetric] by (simp
add: add.commute)
  also from m i have ... = «rderivs (replicate ((m - (length rs - Suc i)) mod
(Suc i) + length rs - Suc i) as) r»
    by simp
  also have ... = «rderivs (replicate (length rs - Suc (i - (m - (length rs -
Suc i)) mod (Suc i))) as) r»
    by (subst Suc-diff-le[symmetric])
    (metis less-Suc-eq-le mod-less-divisor zero-less-Suc, simp add: add.commute)
  finally have  $\exists j < \text{length } rs. \langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle = \langle\langle \text{rderivs} (\text{replicate } (length rs - Suc j) \text{ as}) r \rangle\rangle$ 
    using i by (metis less-imp-diff-less)
  with * show ?thesis unfolding invar-rderiv-and-add-def by auto
next
  case False
  with i have  $\exists j < \text{length } rs. m = \text{length } rs - Suc j$ 
    by (induct m)
    (metis diff-self-eq-0 gr-implies-not0 lessI nat.exhaust,
    metis (no-types) One-nat-def Suc-diff-Suc diff-Suc-1 gr0-conv-Suc less-imp-diff-less
    not-less-eq not-less-iff-gr-or-eq)
  with * show ?thesis unfolding invar-rderiv-and-add-def by auto
qed
}
hence  $\bigcup (\text{lang } n ' \{ \langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle \mid m. \text{True} \}) \subseteq \text{lang } n (\text{PLUS } rs)$ 
  by (fastforce simp: in-set-conv-nth intro!: bexI[rotated])
moreover from * have  $\text{lang } n (\text{PLUS } rs) \subseteq \bigcup (\text{lang } n ' \{ \langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle \mid m. \text{True} \})$ 
  unfolding invar-rderiv-and-add-def by (fastforce simp: in-set-conv-nth)
ultimately show  $\text{lang } n (\text{PLUS } rs) = \bigcup (\text{lang } n ' \{ \langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle \mid m. \text{True} \})$  by blast
qed

lemma length-subset-card:  $\llbracket \text{finite } X; \text{distinct } (x \# xs); \text{set } (x \# xs) \subseteq X \rrbracket \implies \text{length } xs < \text{card } X$ 
  by (metis card-mono distinct-card impossible-Cons not-le-imp-less order-trans)

lemma samequot-termination:
  assumes while-option fst (rderiv-and-add as) (True, [ $\langle\langle r \rangle\rangle$ ]) = None (is ?cl = None)
  shows False
proof -
  let ?D =  $\{ \langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle \mid m . \text{True} \}$ 
  let ?f =  $\lambda(b, rs). \text{card } ?D + 1 - \text{length } rs + (\text{if } b \text{ then } 1 \text{ else } 0)$ 
  have  $\exists st. ?cl = \text{Some } st$ 
    apply (rule measure-while-option-Some[of invar-rderiv-and-add as r - - ?f])
    apply (auto simp: invar-rderiv-and-add-init invar-rderiv-and-add-step)
    apply (auto simp: invar-rderiv-and-add-def Let-def neq-Nil-conv in-set-conv-nth
    intro!: diff-less-mono2 length-subset-card[OF finite-rderivs-same, simplified])

```

```

apply auto []
apply fastforce
apply (metis Suc-less-eq nth-Cons-Suc)
done
with assms show False by auto
qed

definition samequot-exec a r =
  Times (PLUS (snd (the (while-option fst (rderiv-and-add a) (True, [«r»])))))
  (Star (Atom (singleton a)))

lemma wf-samequot-exec: [wf n r; as ∈ Σ n] ⇒ wf n (samequot-exec as r)
  unfolding samequot-exec-def
  by (cases while-option fst (rderiv-and-add as) (True, [«r»]))
    (auto dest: samequot-termination samequot-wf)

lemma samequot-exec-samequot: lang n (samequot-exec as r) = lang n (samequot
as r)
  unfolding samequot-exec-def samequot-def lang.simps lang-flatten-PLUS[OF fi-
nite-rderivs-same]
  by (cases while-option fst (rderiv-and-add as) (True, [«r»]))
    (auto dest: samequot-termination dest!: samequot-soundness[of - - - n] simp
del: ACI-norm-lang)

lemma lang-samequot-exec:
  [wf n r; as ∈ Σ n] ⇒ lang n (samequot-exec as r) = SAMEQUOT as (lang n
r)
  unfolding samequot-exec-samequot by (rule lang-samequot)

end

```

## 4.2 Suffix and Prefix Languages

**definition** *Suffix* :: 'a lang ⇒ 'a lang **where**  
 $Suffix L = \{w. \exists u. u @ w \in L\}$

**definition** *Prefix* :: 'a lang ⇒ 'a lang **where**  
 $Prefix L = \{w. \exists u. w @ u \in L\}$

**lemma** *Prefix-Suffix*:  $Prefix L = rev ' Suffix (rev ' L)$   
 unfolding *Prefix-def* *Suffix-def*  
 by (auto simp: rev-append-invert  
 intro: image-eqI[of - rev, OF rev-rev-ident[symmetric]]  
 image-eqI[of - rev, OF rev-append[symmetric]])

**definition** *Root* :: 'a lang ⇒ 'a lang **where**  
 $Root L = \{x . \exists n > 0. x \wedge^n n \in L\}$

**definition** *Cycle* :: 'a lang ⇒ 'a lang **where**

```

Cycle L = {u @ w | u w. w @ u ∈ L}

context embed
begin

context
fixes n :: nat
begin

definition SUFFIX :: 'b rexpr ⇒ 'b rexpr where
  SUFFIX r = flatten PLUS {«lderivs w r»| w. wf-word n w}

lemma finite-lderivs-wf: finite {«lderivs w r»| w. wf-word n w}
  by (auto intro: finite-subset[OF - finite-lderivs])

definition PREFIX :: 'b rexpr ⇒ 'b rexpr where
  PREFIX r = REV (SUFFIX (REV r))

lemma wf-SUFFIX[simp]: wf n r ⇒ wf n (SUFFIX r)
  unfolding SUFFIX-def by (intro iffD2[OF wf-flatten-PLUS[OF finite-lderivs-wf]])
  auto

lemma lang-SUFFIX[simp]: wf n r ⇒ lang n (SUFFIX r) = Suffix (lang n r)
  unfolding SUFFIX-def Suffix-def
  using lang-flatten-PLUS[OF finite-lderivs-wf] lang-lderivs wf-lang-wf-word
  by fastforce

lemma wf-PREFIX[simp]: wf n r ⇒ wf n (PREFIX r)
  unfolding PREFIX-def by auto

lemma lang-PREFIX[simp]: wf n r ⇒ lang n (PREFIX r) = Prefix (lang n r)
  unfolding PREFIX-def by (auto simp: Prefix-Suffix)

end

lemma take-drop-CycleI[intro!]: x ∈ L ⇒ drop i x @ take i x ∈ Cycle L
  unfolding Cycle-def by fastforce

lemma take-drop-CycleI'[intro!]: drop i x @ take i x ∈ L ⇒ x ∈ Cycle L
  by (drule take-drop-CycleI[of _ - length x - i]) auto

end

```

## 5 Π-Extended Dual Regular Expressions

### 5.1 Syntax of regular expressions

datatype 'a rexpr-dual =

```

CoZero (co: bool) |
CoOne (co: bool) |
CoAtom (co: bool) 'a |
CoPlus (co: bool) 'a rexp-dual 'a rexp-dual |
CoTimes (co: bool) 'a rexp-dual 'a rexp-dual |
CoStar (co: bool) 'a rexp-dual |
CoPr (co: bool) 'a rexp-dual
derive linorder rexp-dual

abbreviation CoPLUS-dual b  $\equiv$  rexp-of-list (CoPlus b) (CoZero b)
abbreviation bool-unop-dual b  $\equiv$  (if b then id else HOL.Not)
abbreviation bool-binop-dual b  $\equiv$  (if b then ( $\vee$ ) else ( $\wedge$ ))
abbreviation set-binop-dual b  $\equiv$  (if b then ( $\cup$ ) else ( $\cap$ ))

primrec final-dual :: 'a rexp-dual  $\Rightarrow$  bool
where
  final-dual (CoZero b) = ( $\neg$  b)
  | final-dual (CoOne b) = b
  | final-dual (CoAtom b -) = ( $\neg$  b)
  | final-dual (CoPlus b r s) = bool-binop-dual b (final-dual r) (final-dual s)
  | final-dual (CoTimes b r s) = bool-binop-dual ( $\neg$  b) (final-dual r) (final-dual s)
  | final-dual (CoStar b -) = b
  | final-dual (CoPr - r) = final-dual r

context alphabet
begin

primrec wf-dual :: nat  $\Rightarrow$  'b rexp-dual  $\Rightarrow$  bool
where
  wf-dual n (CoZero -) = True |
  wf-dual n (CoOne -) = True |
  wf-dual n (CoAtom - a) = (wf-atom n a) |
  wf-dual n (CoPlus - r s) = (wf-dual n r  $\wedge$  wf-dual n s) |
  wf-dual n (CoTimes - r s) = (wf-dual n r  $\wedge$  wf-dual n s) |
  wf-dual n (CoStar - r) = wf-dual n r |
  wf-dual n (CoPr - r) = wf-dual (n + 1) r

lemma wf-dual-PLUS-dual[simp]:
  wf-dual n (CoPLUS-dual b xs) = ( $\forall$  r  $\in$  set xs. wf-dual n r)
  by (induct xs rule: list-singleton-induct) auto

abbreviation set-unop-dual n b A  $\equiv$  if b then A else lists ( $\Sigma$  n) - A

end

context project
begin

primrec lang-dual :: nat  $\Rightarrow$  'b rexp-dual  $=>$  'a lang where

```

```

lang-dual n (CoZero b) = set-unop-dual n b {} |
lang-dual n (CoOne b) = set-unop-dual n b {}[] |
lang-dual n (CoAtom b a) = set-unop-dual n b {[x] | x. lookup a x ∧ x ∈ Σ n} |
lang-dual n (CoPlus b r s) = set-binop-dual b (lang-dual n r) (lang-dual n s) |
lang-dual n (CoTimes b r s) = set-unop-dual n b
  (set-unop-dual n b (lang-dual n r) @@ set-unop-dual n b (lang-dual n s)) |
lang-dual n (CoStar b r) = set-unop-dual n b (star (set-unop-dual n b (lang-dual n r))) |
lang-dual n (CoPr b r) = set-unop-dual n b (map project ` (set-unop-dual (n + 1)
b (lang-dual (n + 1) r)))

lemma wf-dual-lang-dual-wf-word: wf-dual n r ==> ∀ w ∈ lang-dual n r. wf-word n
w
by (induct r arbitrary: n) (auto elim: rev-subsetD[OF - conc-mono] star-induct
intro: iffD2[OF wf-word] wf-word-map-project)

lemma lang-dual-subset-lists: wf-dual n r ==> lang-dual n r ⊆ lists (Σ n)
proof (induct r arbitrary: n)
  case (CoPr b r) thus ?case by (cases b) (fastforce intro!: project) +
qed (auto simp: conc-subset-lists star-subset-lists)

lemma lang-dual-final-dual: final-dual r = ([] ∈ lang-dual n r)
by (induct r arbitrary: n) (auto intro: concI[of [] - [], simplified])

lemma lang-dual-PLUS-dual[simp]:
lang-dual n (CoPLUS-dual True xs) = (∪ r ∈ set xs. lang-dual n r)
by (induct xs rule: list-singleton-induct) auto

lemma lang-dual-CoPLUS-dual[simp]:
lang-dual n (CoPLUS-dual False xs) = (if xs = [] then lists (Σ n) else ∩ r ∈ set
xs. lang-dual n r)
by (induct xs rule: list-singleton-induct) auto

end

context embed
begin

primrec lderiv-dual :: 'a ⇒ 'b rexp-dual ⇒ 'b rexp-dual where
lderiv-dual - (CoZero b) = (CoZero b)
| lderiv-dual - (CoOne b) = (CoZero b)
| lderiv-dual a (CoAtom b c) = (if lookup c a then CoOne b else CoZero b)
| lderiv-dual a (CoPlus b r s) = CoPlus b (lderiv-dual a r) (lderiv-dual a s)
| lderiv-dual a (CoTimes b r s) =
  (let r's = CoTimes b (lderiv-dual a r) s
   in if bool-unop-dual b (final-dual r) then CoPlus b r's (lderiv-dual a s) else r's)
| lderiv-dual a (CoStar b r) = CoTimes b (lderiv-dual a r) (CoStar b r)
| lderiv-dual a (CoPr b r) = CoPr b (CoPLUS-dual b (map (λa'. lderiv-dual a' r)
(embed a)))

```

```

primrec lderivs-dual where
  lderivs-dual [] r = r
  | lderivs-dual (w#ws) r = lderivs-dual ws (lderiv-dual w r)

lemma wf-dual-lderiv-dual[simp]: wf-dual n r  $\implies$  wf-dual n (lderiv-dual w r)
  by (induct r arbitrary: n w) (auto simp add: Let-def)

lemma wf-dual-lderivs-dual[simp]: wf-dual n r  $\implies$  wf-dual n (lderivs-dual ws r)
  by (induct ws arbitrary: r) (auto intro: wf-dual-lderiv-dual)

lemma lang-dual-lderiv-dual: [wf-dual n r; w  $\in$   $\Sigma$  n]  $\implies$ 
  lang-dual n (lderiv-dual w r) = lQuot w (lang-dual n r)
proof (induct r arbitrary: n w)
  case (CoPr b r)
  hence *: wf-dual (Suc n) r  $\wedge$  w'  $\in$  set (embed w)  $\implies$  w'  $\in$   $\Sigma$  (Suc n) by
  (auto simp: embed)
  then show ?case using lQuot-map-project[OF CoPr(3) lang-dual-subset-lists[OF
  *(1)]]
    lQuot-map-project[OF CoPr(3) Diff-subset, of lang-dual (n + 1) r]
    by (simp-all add: CoPr(1,3))
  qed (auto 0 3 simp: Let-def lang-dual-final-dual[symmetric])

lemma lang-dual-lderivs-dual: [wf-dual n r; wf-word n ws]  $\implies$ 
  lang-dual n (lderivs-dual ws r) = lQuots ws (lang-dual n r)
  by (induct ws arbitrary: r) (auto simp: lang-dual-lderiv-dual)

corollary lderivs-dual-final-dual:
  assumes wf-dual n r wf-word n ws
  shows final-dual (lderivs-dual ws r)  $\longleftrightarrow$  ws  $\in$  lang-dual n r
  using lang-dual-lderivs-dual[OF assms] lang-dual-final-dual[of lderivs-dual ws r
  n] by auto

end

fun pnCoPlus :: bool  $\Rightarrow$  'a::linorder rexp-dual  $\Rightarrow$  'a rexp-dual  $\Rightarrow$  'a rexp-dual where
  pnCoPlus b1 (CoZero b2) r = (if b1 = b2 then r else CoZero b2)
  | pnCoPlus b1 r (CoZero b2) = (if b1 = b2 then r else CoZero b2)
  | pnCoPlus b1 (CoPlus b2 r s) t =
    (if b1 = b2 then pnCoPlus b2 r (pnCoPlus b2 s t) else CoPlus b1 (CoPlus b2 r
    s) t)
  | pnCoPlus b1 r (CoPlus b2 s t) =
    (if b1 = b2 then
      (if r = s then (CoPlus b2 s t)
       else if r  $\leq$  s then CoPlus b2 r (CoPlus b2 s t)
       else CoPlus b2 s (pnCoPlus b2 r t))
     else CoPlus b1 r (CoPlus b2 s t))
  | pnCoPlus b r s =
    (if r = s then r
     else CoPlus b r (CoPlus b s t))

```

*else if  $r \leq s$  then  $\text{CoPlus } b \ r \ s$   
*else  $\text{CoPlus } b \ s \ r$**

**lemma (in alphabet) wf-dual-pnCoPlus[simp]:**  $\llbracket \text{wf-dual } n \ r; \text{wf-dual } n \ s \rrbracket \implies \text{wf-dual } n \ (\text{pnCoPlus } b \ r \ s)$   
**by (induct b r s rule: pnCoPlus.induct) auto**

**lemma (in project) lang-dual-pnCoPlus[simp]:**  $\llbracket \text{wf-dual } n \ r; \text{wf-dual } n \ s \rrbracket \implies \text{lang-dual } n \ (\text{pnCoPlus } b \ r \ s) = \text{lang-dual } n \ (\text{CoPlus } b \ r \ s)$

**proof (induct b r s rule: pnCoPlus.induct)**

**case 1 thus ?case by (auto dest: lang-dual-subset-lists)**

**next**

**case 2-1 thus ?case by auto**

**next**

**case 2-2 thus ?case by auto**

**next**

**case 2-3 thus ?case by (auto dest: lang-dual-subset-lists)**

**next**

**case 2-4 thus ?case by (auto dest!: lang-dual-subset-lists dest:**

**subsetD[OF conc-subset-lists, unfolded in-lists-conv-set, rotated -1]]**

**next**

**case 2-5 thus ?case by (auto dest!: lang-dual-subset-lists dest:**

**subsetD[OF star-subset-lists, unfolded in-lists-conv-set, rotated -1]]**

**next**

**case 2-6 thus ?case by (auto 4 4 dest!: lang-dual-subset-lists intro: project)**

**next**

**case 3-1 thus ?case by auto**

**next**

**case 3-2 thus ?case by auto**

**next**

**case 3-3 thus ?case by auto**

**next**

**case 3-4 thus ?case by auto**

**next**

**case 3-5 thus ?case by auto**

**next**

**case 3-6 thus ?case by auto**

**next**

**case 4-1 thus ?case by auto**

**next**

**case 4-2 thus ?case by auto**

**next**

**case 4-3 thus ?case by auto**

**next**

**case 4-4 thus ?case by auto**

**next**

**case 4-5 thus ?case by auto**

**next**

**case 5-1 thus ?case by auto**

```

next
  case 5-2 thus ?case by auto
next
  case 5-3 thus ?case by auto
next
  case 5-4 thus ?case by auto
next
  case 5-5 thus ?case by auto
next
  case 5-6 thus ?case by auto
next
  case 5-7 thus ?case by auto
next
  case 5-8 thus ?case by auto
next
  case 5-9 thus ?case by auto
next
  case 5-10 thus ?case
    by auto (metis (no-types, opaque-lifting) Cons-in-lists-iff Diff-iff imageI list.simps(8)
list.simps(9) lists.Nil)+
next
  case 5-11 thus ?case by auto
next
  case 5-12 thus ?case by auto
next
  case 5-13 thus ?case by auto
next
  case 5-14 thus ?case by auto
next
  case 5-15 thus ?case by auto
next
  case 5-16 thus ?case by auto
next
  case 5-17 thus ?case by auto
next
  case 5-18 thus ?case by auto
next
  case 5-19 thus ?case by (auto dest!: lang-dual-subset-lists dest:
    subsetD[OF star-subset-lists, unfolded in-lists-conv-set, rotated -1])
next
  case 5-20 thus ?case by auto
next
  case 5-21 thus ?case by auto
next
  case 5-22 thus ?case
    by auto (metis (no-types, opaque-lifting) Cons-in-lists-iff Diff-iff imageI list.simps(8)
list.simps(9) lists.Nil)+
next
  case 5-23 thus ?case by auto

```

```

next
  case 5-24 thus ?case by auto
next
  case 5-25 thus ?case by auto
qed

fun pnCoTimes :: bool  $\Rightarrow$  'a::linorder rexp-dual  $\Rightarrow$  'a rexp-dual  $\Rightarrow$  'a rexp-dual
where
  pnCoTimes b1 (CoZero b2) r = (if b1 = b2 then CoZero b1 else CoTimes b1 (CoZero b2) r)
  | pnCoTimes b1 (CoOne b2) r = (if b1 = b2 then r else CoTimes b1 (CoOne b2) r)
  | pnCoTimes b1 (CoPlus b2 r s) t = (if b1 = b2 then pnCoPlus b2 (pnCoTimes b2 r t) (pnCoTimes b2 s t)
    else CoTimes b1 (CoPlus b2 r s) t)
  | pnCoTimes b r s = CoTimes b r s

lemma (in alphabet) wf-dual-pnCoTimes[simp]:  $\llbracket \text{wf-dual } n \ r; \text{wf-dual } n \ s \rrbracket \implies \text{wf-dual } n \ (\text{pnCoTimes } b \ r \ s)$ 
  by (induct b r s rule: pnCoTimes.induct) auto

lemma (in project) lang-dual-pnCoTimes[simp]:  $\llbracket \text{wf-dual } n \ r; \text{wf-dual } n \ s \rrbracket \implies \text{lang-dual } n \ (\text{pnCoTimes } b \ r \ s) = \text{lang-dual } n \ (\text{CoTimes } b \ r \ s)$ 
  apply (induct b r s rule: pnCoTimes.induct)
  apply (auto, auto dest!: lang-dual-subset-lists dest: project
    subsetD[OF star-subset-lists, unfolded in-lists-conv-set, rotated -1]
    subsetD[OF conc-subset-lists, unfolded in-lists-conv-set, rotated -1])
  by (metis (full-types) Diff-iff conc-epsilon(1) double-diff empty-subsetI in-listsI insert-subset lists.Nil subset-refl)

fun pnCoPr :: bool  $\Rightarrow$  'a::linorder rexp-dual  $\Rightarrow$  'a rexp-dual where
  pnCoPr b1 (CoZero b2) = (if b1 = b2 then CoZero b2 else CoPr b1 (CoZero b2))
  | pnCoPr b1 (CoOne b2) = (if b1 = b2 then CoOne b2 else CoPr b1 (CoOne b2))
  | pnCoPr b1 (CoPlus b2 r s) = (if b1 = b2 then pnCoPlus b2 (pnCoPr b2 r) (pnCoPr b2 s)
    else CoPr b1 (CoPlus b2 r s))
  | pnCoPr b r = CoPr b r

lemma (in alphabet) wf-dual-pnCoPr[simp]: wf-dual (Suc n) r  $\implies$  wf-dual n (pnCoPr b r)
  by (induct b r rule: pnCoPr.induct) auto

lemma (in project) lang-dual-pnCoPr[simp]: wf-dual (Suc n) r  $\implies$  lang-dual n (pnCoPr b r) = lang-dual n (CoPr b r)
  by (induct b r rule: pnCoPr.induct) auto

primrec pnorm-dual :: 'a::linorder rexp-dual  $\Rightarrow$  'a rexp-dual where
  pnorm-dual (CoZero b) = (CoZero b)

```

```

| pnorm-dual (CoOne b) = (CoOne b)
| pnorm-dual (CoAtom b a) = (CoAtom b a)
| pnorm-dual (CoPlus b r s) = pnCoPlus b (pnorm-dual r) (pnorm-dual s)
| pnorm-dual (CoTimes b r s) = pnCoTimes b (pnorm-dual r) s
| pnorm-dual (CoStar b r) = CoStar b r
| pnorm-dual (CoPr b r) = pnCoPr b (pnorm-dual r)

lemma (in alphabet) wf-dual-pnorm-dual[simp]: wf-dual n r ==> wf-dual n (pnorm-dual r)
by (induct r arbitrary: n) auto

lemma (in project) lang-dual-pnorm-dual[simp]: wf-dual n r ==> lang-dual n (pnorm-dual r) = lang-dual n r
by (induct r arbitrary: n) auto

primrec CoNot where
  CoNot (CoZero b) = CoZero ( $\neg$  b)
| CoNot (CoOne b) = CoOne ( $\neg$  b)
| CoNot (CoAtom b a) = CoAtom ( $\neg$  b) a
| CoNot (CoPlus b r s) = CoPlus ( $\neg$  b) (CoNot r) (CoNot s)
| CoNot (CoTimes b r s) = CoTimes ( $\neg$  b) (CoNot r) (CoNot s)
| CoNot (CoStar b r) = CoStar ( $\neg$  b) (CoNot r)
| CoNot (CoPr b r) = CoPr ( $\neg$  b) (CoNot r)

primrec rexp-dual-of where
  rexp-dual-of Zero = CoZero True
| rexp-dual-of Full = CoZero False
| rexp-dual-of One = CoOne True
| rexp-dual-of (Atom a) = CoAtom True a
| rexp-dual-of (Plus r s) = CoPlus True (rexp-dual-of r) (rexp-dual-of s)
| rexp-dual-of (Times r s) = CoTimes True (rexp-dual-of r) (rexp-dual-of s)
| rexp-dual-of (Star r) = CoStar True (rexp-dual-of r)
| rexp-dual-of (Not r) = CoNot (rexp-dual-of r)
| rexp-dual-of (Inter r s) = CoPlus False (rexp-dual-of r) (rexp-dual-of s)
| rexp-dual-of (Pr r) = CoPr True (rexp-dual-of r)

lemma (in alphabet) wf-dual-CoNot[simp]: wf-dual n r ==> wf-dual n (CoNot r)
by (induct r arbitrary: n) auto

lemma (in project) lang-dual-CoNot[simp]: wf-dual n r ==> lang-dual n (CoNot r) = lists ( $\Sigma$  n) – lang-dual n r
apply (induct r arbitrary: n)
apply (auto dest!: lang-dual-subset-lists simp: double-diff intro!: project)
apply force
apply (metis (full-types) Diff-subset contra-subsetD in-listsD star-subset-lists)
done

lemma (in alphabet) wf-dual-rexp-dual-of[simp]: wf n r ==> wf-dual n (rexp-dual-of r)

```

```

by (induct r arbitrary: n) auto

lemma (in project) lang-dual-rexp-dual-of[simp]: wf n r ==> lang-dual n (rexp-dual-of
r) = lang n r
  by (induct r arbitrary: n) auto

end

```

## 6 Deciding Equivalence of $\Pi$ -Extended Regular Expressions

```

lemma image2p-in-rel: BNF-Greatest-Fixpoint.image2p f g (in-rel R) = in-rel
(map-prod f g ` R)
  unfolding image2p-def fun-eq-iff by auto

lemma image2p-apply: BNF-Greatest-Fixpoint.image2p f g R x y = ( $\exists x' y'. R x'$ 
 $y' \wedge f x' = x \wedge g y' = y$ )
  unfolding image2p-def fun-eq-iff by auto

lemma rtrancl-fold-product:
shows {((r, s), (f a r, f a s)) | r s a. a ∈ A}  $\widehat{\cdot}$ * =
{((r, s), (fold f w r, fold f w s)) | r s w. w ∈ lists A} (is ?L = ?R)
proof-
  { fix x :: ('a × 'a) × 'a × 'a
    obtain r s r' s' where x: x = ((r, s), (r', s')) by (cases x) auto
    have ((r, s), (r', s')) ∈ ?L ==> ((r, s), (r', s')) ∈ ?R
    proof(induction rule: converse-rtrancl-induct2)
      case refl show ?case by(force intro!: fold-simps(1)[symmetric])
    next
      case step thus ?case by(force intro!: fold-simps(2)[symmetric])
    qed
    with x have x ∈ ?L ==> x ∈ ?R by simp
  } moreover
  { fix x :: ('a × 'a) × 'a × 'a
    obtain r s r' s' where x: x = ((r, s), (r', s')) by (cases x) auto
    { fix w have ∀ x ∈ set w. x ∈ A ==> ((r, s), fold f w r, fold f w s) ∈ ?L
      proof(induction w rule: rev-induct)
        case Nil show ?case by simp
      next
        case snoc thus ?case by (auto elim!: rtrancl-into-rtrancl)
      qed
    }
    hence ((r, s), (r', s')) ∈ ?R ==> ((r, s), (r', s')) ∈ ?L by auto
    with x have x ∈ ?R ==> x ∈ ?L by simp
  } ultimately show ?thesis by blast
qed

```

```

lemma in-fold-lQuot:  $v \in \text{fold } l\text{Quot } w L \longleftrightarrow w @ v \in L$ 
  by (induct w arbitrary:  $L$ ) (simp-all add: lQuot-def)

lemma (in project) lang-eq-ext:  $\llbracket wf n r; wf n s \rrbracket \implies (\text{lang } n r = \text{lang } n s) =$ 
   $(\forall w \in \text{lists}(\Sigma n). w \in \text{lang } n r \longleftrightarrow w \in \text{lang } n s)$ 
  by (auto dest!: lang-subset-lists)

lemma (in project) lang-eq-ext-Nil-fold-Deriv:
  fixes  $r s n$ 
  assumes WF:  $wf n r wf n s$ 
  defines  $\mathfrak{B} \equiv \{( \text{fold } l\text{Quot } w (\text{lang } n r), \text{fold } l\text{Quot } w (\text{lang } n s)) \mid w. w \in \text{lists } (\Sigma n)\}$ 
  shows  $\text{lang } n r = \text{lang } n s \longleftrightarrow (\forall (K, L) \in \mathfrak{B}. [] \in K \longleftrightarrow [] \in L)$ 
  unfolding lang-eq-ext[OF WF] B-def
  by (subst (1 2) in-fold-lQuot[of []], simplified, symmetric) auto

locale rexp-DA = project set o σ wf-atom project lookup
  for  $\sigma :: \text{nat} \Rightarrow 'a \text{ list}$ 
  and wf-atom ::  $\text{nat} \Rightarrow 'b :: \text{linorder} \Rightarrow \text{bool}$ 
  and project ::  $'a \Rightarrow 'a$ 
  and lookup ::  $'b \Rightarrow 'a \Rightarrow \text{bool} +$ 
  fixes init ::  $'b \text{ rexp} \Rightarrow 's$ 
  fixes delta ::  $'a \Rightarrow 's \Rightarrow 's$ 
  fixes final ::  $'s \Rightarrow \text{bool}$ 
  fixes wf-state ::  $'s \Rightarrow \text{bool}$ 
  fixes post ::  $'s \Rightarrow 's$ 
  fixes L ::  $'s \Rightarrow 'a \text{ lang}$ 
  fixes n ::  $\text{nat}$ 
  assumes L-init[simp]:  $wf n r \implies L (\text{init } r) = \text{lang } n r$ 
  assumes L-delta[simp]:  $\llbracket a \in \text{set } (\sigma n); \text{wf-state } s \rrbracket \implies L (\text{delta } a s) = l\text{Quot } a (L s)$ 
  assumes final-iff-Nil[simp]:  $\text{final } s \longleftrightarrow [] \in L s$ 
  assumes L-wf-state[dest]:  $\text{wf-state } s \implies L s \subseteq \text{lists } (\text{set } (\sigma n))$ 
  assumes init-wf-state[simp]:  $wf n r \implies \text{wf-state } (\text{init } r)$ 
  assumes delta-wf-state[simp]:  $\llbracket a \in \text{set } (\sigma n); \text{wf-state } s \rrbracket \implies \text{wf-state } (\text{delta } a s)$ 
  assumes L-post[simp]:  $\text{wf-state } s \implies L (\text{post } s) = L s$ 
  assumes wf-state-post[simp]:  $\text{wf-state } s \implies \text{wf-state } (\text{post } s)$ 
begin

lemma L-deltas[simp]:  $\llbracket \text{wf-word } n w; \text{wf-state } s \rrbracket \implies L (\text{fold } \text{delta } w s) = \text{fold } l\text{Quot } w (L s)$ 
  by (induction w arbitrary:  $s$ ) auto

definition progression (infix → 60) where
   $R \rightarrow S = (\forall s1 s2. R s1 s2 \longrightarrow \text{wf-state } s1 \wedge \text{wf-state } s2 \wedge \text{final } s1 = \text{final } s2 \wedge$ 
   $(\forall x \in \text{set } (\sigma n). \text{BNF-Greatest-Fixpoint.image2p post post } S (\text{post } (\text{delta } x s1))$ 
   $(\text{post } (\text{delta } x s2))))$ 

lemma SUPR-progression[intro!]:  $\forall n. \exists m. X n \rightarrow Y m \implies (\text{SUP } n. X n) \rightarrow$ 

```

```

(SUP n. Y n)
  unfolding progression-def image2p-def by fastforce

definition bisimulation where
  bisimulation R = R → R

definition bisimulation-upto where
  bisimulation-upto R f = R → f R

declare image2pI[intro!] image2pE[elim!]
lemmas bisim-def = bisimulation-def progression-def
lemmas bisim-upto-def = bisimulation-upto-def progression-def

definition compatible where
  compatible f = (mono f ∧ (∀ R S. R → S → f R → f S))

lemmas compat-def = compatible-def progression-def

lemma bisimulation-upto-bisimulation:
  assumes compatible f bisimulation-upto R f
  obtains S where bisimulation S R ≤ S
proof
  { fix n from assms have (f``n) R → (f``Suc n) R
    by (induct n) (auto simp: bisimulation-upto-def compatible-def) }
  then show bisimulation (SUP n. (f``n) R)
    unfolding bisimulation-def by (auto simp del: funpow.simps)
  show R ≤ (SUP n. (f``n) R) by (auto intro!: exI[of - 0])
qed

lemma bisimulation-eqL: bisimulation (λs1 s2. wf-state s1 ∧ wf-state s2 ∧ L s1
= L s2)
  unfolding bisim-def by (auto simp: lQuot-def)

lemma coinduction:
  assumes bisim[unfolded bisim-def]: bisimulation R and
    WF: wf-state s1 wf-state s2 and R: R s1 s2
  shows L s1 = L s2
proof (rule set-eqI)
  fix w
  from R WF show w ∈ L s1 ↔ w ∈ L s2
  proof (induction w arbitrary: s1 s2)
    case Nil then show ?case using bisim by simp
  next
    case (Cons a w s1 s2)
    show ?case
    proof cases
      assume a: a ∈ set (σ n)
      with ⟨R s1 s2⟩ obtain s1' s2' where R s1' s2' wf-state s1' wf-state s2' and
        *[symmetric]: post s1' = post (delta a s1) post s2' = post (delta a s2)
    qed
  qed
qed

```

```

using bisim unfolding image2p-apply by blast
then have  $w \in L (\text{post} (\delta a s1)) \longleftrightarrow w \in L (\text{post} (\delta a s2))$ 
  unfolding * using Cons.IH[of  $s1' s2'$ ] by simp
  with a Cons.prems(2,3) show ?case by (simp add: lQuot-def)
next
  assume  $a \notin \text{set} (\sigma n)$ 
  thus ?case using Cons.prems bisim by force
qed
qed
qed

lemma coinduction-upto:
assumes bisimulation-upto  $R f$  and WF: wf-state  $s1$  wf-state  $s2$  and  $R s1 s2$ 
compatible  $f$ 
shows  $L s1 = L s2$ 
proof (rule bisimulation-upto-bisimulation[OF assms(5,1)])
fix  $S$  assume  $R \leq S$ 
assume bisimulation  $S$ 
then show  $L s1 = L s2$ 
proof (rule coinduction[OF - WF])
from  $\langle R \leq S \rangle \langle R s1 s2 \rangle$  show  $S s1 s2$  by blast
qed
qed

fun test-invariant where
test-invariant  $(ws, - :: ('s \times 's) list, - :: 's rel) = (\text{case } ws \text{ of } [] \Rightarrow \text{False} \mid (w :: 'a list, p, q) \# - \Rightarrow \text{final } p = \text{final } q)$ 
fun test where test  $(ws, - :: 's rel) = (\text{case } ws \text{ of } [] \Rightarrow \text{False} \mid (p, q) \# - \Rightarrow \text{final } p = \text{final } q)$ 

fun step-invariant where step-invariant  $(ws, ps, N) =$ 
(let
   $(w, r, s) = \text{hd } ws;$ 
   $ps' = (r, s) \# ps;$ 
   $\text{succs} = \text{map} (\lambda a.$ 
    let  $r' = \delta a r; s' = \delta a s$ 
    in  $((a \# w, r', s'), (\text{post } r', \text{post } s')) (\sigma n);$ 
    new = remdups' snd (filter ( $\lambda (-, rs). rs \notin N$ ) succs);
     $ws' = \text{tl } ws @ \text{map fst new};$ 
     $N' = \text{set} (\text{map snd new}) \cup N$ 
    in  $(ws', ps', N')$ 
)

fun step where step  $(ws, N) =$ 
(let
   $(r, s) = \text{hd } ws;$ 
   $\text{succs} = \text{map} (\lambda a.$ 
    let  $r' = \delta a r; s' = \delta a s$ 
    in  $((r', s'), (\text{post } r', \text{post } s')) (\sigma n);$ 
    new = remdups' snd (filter ( $\lambda (-, rs). rs \notin N$ ) succs)
)

```

*in* (*tl ws* @ *map fst new*, *set* (*map snd new*)  $\cup$  *N*))

**definition** *closure-invariant where* *closure-invariant* = *while-option test-invariant step-invariant*  
**definition** *closure where* *closure* = *while-option test step*

**definition** *invariant where*

*invariant r s* = ( $\lambda(ws, ps, N).$   
 $(r, s) \in snd \text{ ' set ws} \cup \text{set ps} \wedge$   
 $\text{distinct } (\text{map snd ws} @ ps) \wedge$   
 $\text{bij-betw } (\text{map-prod post post}) (\text{set } (\text{map snd ws} @ ps)) N \wedge$   
 $(\forall(w, r', s') \in \text{set ws}. \text{fold delta } (\text{rev } w) r = r' \wedge \text{fold delta } (\text{rev } w) s = s' \wedge$   
 $\text{wf-word } n \text{ (rev } w) \wedge \text{wf-state } r' \wedge \text{wf-state } s') \wedge$   
 $(\forall(r', s') \in \text{set ps}. (\exists w. \text{fold delta } w r = r' \wedge \text{fold delta } w s = s') \wedge$   
 $\text{wf-state } r' \wedge \text{wf-state } s' \wedge (\text{final } r' \longleftrightarrow \text{final } s') \wedge$   
 $(\forall a \in \text{set } (\sigma n). (\text{post } (\text{delta } a r'), \text{post } (\text{delta } a s')) \in N)))$

**lemma** *invariant-start:*

$\llbracket \text{wf-state } r; \text{wf-state } s \rrbracket \implies \text{invariant } r s ([([[], r, s)], [], \{\{\text{post } r, \text{post } s\}\})$   
**by** (*auto simp add: invariant-def bij-betw-def*)

**lemma** *step-invariant-mono:*

**assumes** *step-invariant* (*ws, ps, N*) = (*ws', ps', N'*)  
**shows** *snd ' set ws*  $\cup$  *set ps*  $\subseteq$  *snd ' set ws'*  $\cup$  *set ps'*  
**using assms proof** (*intro subsetI, elim UnE*)  
**fix** *x assume* *x*  $\in$  *snd ' set ws*  
**with assms show** *x*  $\in$  *snd ' set ws'*  $\cup$  *set ps'*  
**proof** (*cases x = snd (hd ws)*)  
**case** *False with*  $\langle x \in \text{image } \text{snd } (\text{set ws}) \rangle$  **have** *x*  $\in$  *snd ' set (tl ws)* **by** (*cases ws*) *auto*  
**with assms show** *?thesis* **by** (*auto split: prod.splits simp: Let-def*)  
**qed** (*auto split: prod.splits simp: Let-def*)  
**qed** (*auto split: prod.splits simp: Let-def*)

**lemma** *step-invatiant-unfold: step-invariant* (*w # ws, ps, N*) = (*ws', ps', N'*)  $\implies$   
 $(\exists xs r s.$   
 $w = (xs, r, s) \wedge ps' = (r, s) \# ps \wedge$   
 $ws' = ws @ \text{remdups}' (\text{map-prod post post o snd}) (\text{filter } (\lambda(-, p). \text{map-prod post post } p \notin N)$   
 $(\text{map } (\lambda a. (a \# xs, \text{delta } a r, \text{delta } a s)) (\sigma n))) \wedge$   
 $N' = \text{set } (\text{map } (\lambda a. (\text{post } (\text{delta } a r), \text{post } (\text{delta } a s))) (\sigma n)) \cup N)$   
**by** (*auto split: prod.splits dest!: mp-remdups' simp: Let-def filter-map set-n-lists image-Collect image-image comp-def*)

**lemma** *invariant: invariant r s st*  $\implies$  *test-invariant st*  $\implies$  *invariant r s (step-invariant st)*  
**proof** (*unfold invariant-def, (split prod.splits)+, elim case-prodE conjE, clarify, intro allI impI conjI*)  
**fix** *ws ps N ws' ps' N'*

```

assume test-invariant: test-invariant (ws, ps, N)
and step-invariant: step-invariant (ws, ps, N) = (ws', ps', N')
and rs: (r, s) ∈ snd ` set ws ∪ set ps
and distinct: distinct (map snd ws @ ps)
and bij: bij-betw (map-prod post post) (set (map snd ws @ ps)) N
and ws: ∀(w, r', s') ∈ set ws. fold delta (rev w) r = r' ∧ fold delta (rev w) s = s' ∧
wf-word n (rev w) ∧ wf-state r' ∧ wf-state s'
(is ∀(w, r', s') ∈ set ws. ?ws w r' s')
and ps: ∀(r', s') ∈ set ps. (∃w. fold delta w r = r' ∧ fold delta w s = s') ∧
wf-state r' ∧ wf-state s' ∧ (final r' ↔ final s') ∧
(∀a∈set (σ n). (post (delta a r'), post (delta a s')) ∈ N)
(is ∀(r, s) ∈ set ps. ?ps r s N)
from test-invariant obtain x xs where ws-Cons: ws = x # xs by (cases ws)
auto
obtain w r' s' where x: x = (w, r', s') and ps': ps' = (r', s') # ps
and ws': ws' = xs @ remdups' (map-prod post post o snd)
(filter (λ(-, p). map-prod post post p ≠ N)
(map (λa. (a # w, delta a r', delta a s')) (σ n)))
and N': N' = (set (map (λa. (post (delta a r'), post (delta a s')))) (σ n)) - N
∪ N
using step-invatiant-unfold[OF step-invariant[unfolded ws-Cons]] by blast
hence ws'ps': set (map snd ws' @ ps') =
set (remdups' (map-prod post post) (filter (λp. map-prod post post p ≠ N)
(map (λa. (delta a r', delta a s')) (σ n)))) ∪ (set (map snd ws @ ps))
unfolding ws' ps' ws-Cons x by (auto dest!: mp-remdups' simp: filter-map
image-image image-Un o-def)
from rs step-invariant show (r, s) ∈ snd ` set ws' ∪ set ps' by (blast dest:
step-invariant-mono)

from distinct ps' ws' ws-Cons x bij show distinct (map snd ws' @ ps')
by (auto simp: bij-betw-def
intro!: imageI[of -- map-prod post post] distinct-remdups'-strong
map-prod-imageI[of -- post post]
dest!: mp-remdups'
elim: image-eqI[of - snd, OF sym[OF snd-conv]])

from ps' ws' N' ws x bij show bij-betw (map-prod post post) (set (map snd ws'
@ ps')) N'
unfolding ws'ps' N' by (intro bij-betw-combine[OF - bij]) (auto simp: bij-betw-def
map-prod-def)

from ws x ws-Cons have wr's': ?ws w r' s' by auto
with ws ws-Cons show ∀(w, r', s') ∈ set ws'. ?ws w r' s' unfolding ws'
by (auto dest!: mp-remdups' elim!: subsetD)

from ps wr's' test-invariant[unfolded ws-Cons x] show ∀(r', s') ∈ set ps'. ?ps r'
s' N' unfolding ps' N'
by (fastforce simp: image-Collect)

```

**qed**

```

lemma step-commute:  $ws \neq [] \Rightarrow$ 
  (case step-invariant ( $ws, ps, N$ ) of ( $ws', ps', N'$ )  $\Rightarrow$  (map snd ws',  $N')$ ) = step
  (map snd ws,  $N$ )
apply (auto split: prod.splits)
apply (auto simp only: step-invariant.simps step.simps Let-def map-apfst-remdups'
  filter-map list.map-comp apfst-def map-prod-def snd-conv id-def)
apply (auto simp: filter-map comp-def map-tl hd-map)
apply (intro image-eqI, auto) +
done

lemma closure-invariant-closure:
  map-option ( $\lambda(ws, ps, N)$ . (map snd ws,  $N$ )) (closure-invariant ( $ws, ps, N$ )) =
  closure (map snd ws,  $N$ )
unfolding closure-invariant-def closure-def
by (rule trans[OF while-option-commute[of - test - - step]])
  (auto split: list.splits simp del: step-invariant.simps step.simps list.map simp:
  step-commute)

lemma
assumes result: closure-invariant ([[], init r, init s)], [], { $(post (init r), post (init s))$ } =
  Some( $ws, ps, N$ ) (is closure-invariant ([[], ?r, ?s]), -) = -
and WF: wf n r wf n s
shows closure-invariant-sound:  $ws = [] \Rightarrow lang n r = lang n s$  and
  counterexample:  $ws \neq [] \Rightarrow rev (fst (hd ws)) \in lang n r \longleftrightarrow rev (fst (hd ws)) \notin lang n s$ 
proof -
  from WF have wf-state: wf-state ?r wf-state ?s by simp-all
  from invariant invariant-start[OF wf-state] have invariant-ps: invariant ?r ?s
  ( $ws, ps, N$ )
  by (rule while-option-rule[OF - result[unfolded closure-invariant-def]])
  { assume ws = []
    with invariant-ps have bisimulation (in-rel (set ps)) (?r, ?s)  $\in$  set ps
    by (auto simp: bij-betw-def invariant-def bisimulation-def progression-def im-
    age2p-in-rel)
    with wf-state have L ?r = L ?s by (auto dest: coinduction)
    with WF show lang n r = lang n s by simp
  }
  { assume ws  $\neq []$ 
    then obtain w r' s' ws' where ws:  $ws = (w, r', s') \# ws'$  by (cases ws) auto
    with invariant-ps have r' = fold delta (rev w) (init r) s' = fold delta (rev w)
    (init s)
    wf-word n (rev w) unfolding invariant-def by auto
    moreover have  $\neg test-invariant ((w, r', s') \# ws', ps, N)$ 
    by (rule while-option-stop[OF result[unfolded ws closure-invariant-def]])
    ultimately have rev (fst (hd ws))  $\in L ?r \longleftrightarrow rev (fst (hd ws)) \notin L ?s$ 
    unfolding ws using wf-state by (simp add: in-fold-lQuot)
  }

```

```

with WF show rev (fst (hd ws)) ∈ lang n r  $\longleftrightarrow$  rev (fst (hd ws)) ∉ lang n s
by simp
}
qed

lemma closure-sound:
assumes result: closure ([(init r, init s)], {(post (init r), post (init s))}) = Some ([], N)
and WF: wf n r wf n s
shows lang n r = lang n s
using trans[OF closure-invariant-closure[of ([([], init r, init s)], simplified] result]
by (auto dest: closure-invariant-sound[OF - WF])

definition check-eqv where
check-eqv r s =
(let r' = init r; s' = init s in (case closure ([(r', s')], {(post r', post s')}) of
Some ([], -) => True | - => False))

lemma check-eqv-sound:
assumes check-eqv r s and WF: wf n r wf n s
shows lang n r = lang n s
using closure-sound assms
by (auto simp: check-eqv-def Let-def split: option.splits list.splits)

definition counterexample where
counterexample r s =
(let r' = init r; s' = init s in (case closure-invariant ([([], r', s')], [], {(post r',
post s')})) of
Some((w,-,-) # -, -) => Some (rev w) | - => None))

lemma counterexample-sound:
assumes result: counterexample r s = Some w and WF: wf n r wf n s
shows w ∈ lang n r  $\longleftrightarrow$  w ∉ lang n s
using assms unfolding counterexample-def Let-def
by (auto dest!: counterexample[of r s] split: option.splits list.splits)

Auxiliary executable functions:

definition reachable :: 'b rexpr  $\Rightarrow$  's set where
reachable s = snd (the (rtrancld-while (λ-. True) (λs. map (λa. post (delta a s)) (σ n)) (init s)))

definition automaton :: 'b rexpr  $\Rightarrow$  (('s * 'a) * 's) set where
automaton s =
snd (the
(let i = init s;
start = (([i], {post i}), {});
test-invariant = λ((ws, Z), A). ws ≠ [];
step-invariant = λ((ws, Z), A).
(let s = hd ws;

```

```

new-edges = map (λa. ((s, a), delta a s)) (σ n);
new = remdups (filter (λss. post ss ∈ Z) (map snd new-edges))
in ((new @ tl ws, post ` set new ∪ Z), set new-edges ∪ A))
in while-option test-invariant step-invariant start)

definition match :: 'b rexpr ⇒ 'a list ⇒ bool where
match s w = final (fold delta w (init s))

lemma match-correct: [wf-word n w; wf n s] ⇒ match s w ↔ w ∈ lang n s
unfolding match-def
by (induct w arbitrary: s) (auto simp: in-fold-lQuot lQuot-def)

end

locale rexpr-DFA = rexpr-DA σ wf-atom project lookup init delta final wf-state post
L n
for σ :: nat ⇒ 'a list
and wf-atom :: nat ⇒ 'b :: linorder ⇒ bool
and project :: 'a ⇒ 'a
and lookup :: 'b ⇒ 'a ⇒ bool
and init :: 'b rexpr ⇒ 's
and delta :: 'a ⇒ 's ⇒ 's
and final :: 's ⇒ bool
and wf-state :: 's ⇒ bool
and post :: 's ⇒ 's
and L :: 's ⇒ 'a lang
and n :: nat +
assumes fin: finite {fold delta w (init s) | w. True}
begin

abbreviation Reachable s ≡ {fold delta w (init s) | w. True}

lemma closure-invariant-termination:
assumes WF: wf n r wf n s
and result: closure-invariant ([([], init r, init s)], [], {(post (init r), post (init
s))}) = None
(is closure-invariant ([([], ?r, ?s)], -) = None is ?cl = None)
shows False
proof -
let ?D = post ` Reachable r × post ` Reachable s
let ?X = λps. ?D - map-prod post post ` set ps
let ?f = λ(ws, ps, N). card (?X ps)
have ∃ st. ?cl = Some st unfolding closure-invariant-def
proof (rule measure-while-option-Some[of invariant ?r ?s - - ?f], intro conjI)
fix st assume base: invariant ?r ?s st and test-invariant st
hence step: invariant ?r ?s (step-invariant st) by (rule invariant)
obtain ws ps N where st: st = (ws, ps, N) by (cases st) blast
hence finite (?X ps) by (blast intro: finite-cartesian-product fin)
moreover obtain ws' ps' N' where step-invariant: step-invariant (ws, ps, N)

```

```

= (ws', ps', N')
  by (cases step-invariant (ws, ps, N)) blast
  moreover
  { have map-prod post post ` set ps ⊆ ?D using base[unfolded st invariant-def]
  by fast
    moreover
    have map-prod post post ` set ps' ⊆ ?D using step[unfolded st step-invariant
invariant-def]
      by fast
      moreover
      { have distinct (map snd ws @ ps) inj-on (map-prod post post) (set (map snd
ws @ ps))
        using base[unfolded st invariant-def] by (auto simp: bij-betw-def)
        hence distinct (map (map-prod post post) (map snd ws @ ps)) unfolding
distinct-map ..
        hence map-prod post post ` set ps ⊂ map-prod post post ` set (snd (hd ws)
# ps)
          using <test-invariant st> st by (cases ws) (simp-all, blast)
        moreover have map-prod post post ` set ps' = map-prod post post ` set (snd
(hd ws) # ps)
          using step-invariant by (auto split: prod.splits)
        ultimately have map-prod post post ` set ps ⊂ map-prod post post ` set ps'
by simp
      }
      ultimately have ?X ps' ⊂ ?X ps by (auto simp add: image-set simp del:
set-map)
    }
    ultimately show ?f (step-invariant st) < ?f st unfolding st step-invariant
      using psubset-card-mono[of ?X ps ?X ps'] by simp
qed (auto simp add: invariant-start WF invariant)
then show False using result by auto
qed

```

```

lemma closure-termination:
  assumes WF: wf n r wf n s
  and result: closure([(init r, init s)], {(post (init r), post (init s))}) = None
  shows False
  using trans[OF closure-invariant-closure[of [([], init r, init s)], simplified] result]
  by (auto intro: closure-invariant-termination[OF WF])

```

```

lemma closure-invariant-complete:
  assumes eq: lang n r = lang n s
  and WF: wf n r wf n s
  shows ∃ ps N. closure-invariant([([], init r, init s)], [], {(post (init r), post (init
s))}) =
  Some([], ps, N) (is ∃ - -. closure-invariant([([], ?r, ?s)], -) = - is ∃ - -. ?cl = -)
  proof (cases ?cl)
    case (Some st)
    moreover obtain ws ps N where ws-ps-N: st = (ws, ps, N) by (cases st) blast

```

```

ultimately show ?thesis
proof (cases ws)
  case (Cons wrs ws)
    with Some obtain w where counterexample r s = Some w unfolding counterexample-def
      by (cases wrs) (auto simp: ws-ps-N)
      with eq counterexample-sound[OF - WF] show ?thesis by blast
    qed blast
  qed (auto intro: closure-invariant-termination[OF WF])

lemma closure-complete:
  assumes lang n r = lang n s wf n r wf n s
  shows ∃ N. closure ([(init r, init s)], {(post (init r), post (init s))}) = Some ([], N)
  using closure-invariant-complete[OF assms]
  by (subst closure-invariant-closure[of ([]) init r init s], simplified, symmetric)
  auto

lemma check-equiv-complete:
  assumes lang n r = lang n s wf n r wf n s
  shows check-equiv r s
  using closure-complete[OF assms] by (auto simp: check-equiv-def)

lemma counterexample-complete:
  assumes lang n r ≠ lang n s and WF: wf n r wf n s
  shows ∃ w. counterexample r s = Some w
  using closure-invariant-sound[OF - WF] closure-invariant-termination[OF WF]
  assms
  by (fastforce simp: counterexample-def Let-def split: option.splits list.splits)

end

locale rexp-DA-no-post = rexp-DA σ wf-atom project lookup init delta final wf-state
id L n
  for σ :: nat ⇒ 'a list
  and wf-atom :: nat ⇒ 'b :: linorder ⇒ bool
  and project :: 'a ⇒ 'a
  and lookup :: 'b ⇒ 'a ⇒ bool
  and init :: 'b rexp ⇒ 's
  and delta :: 'a ⇒ 's ⇒ 's
  and final :: 's ⇒ bool
  and wf-state :: 's ⇒ bool
  and L :: 's ⇒ 'a lang
  and n :: nat
begin

lemma step-efficient[code]: step (ws, N) =
  (let
    (r, s) = hd ws;

```

```

new = remdups (filter (λ(r,s). (r,s) ∉ N) (map (λa. (delta a r, delta a s)) (σ
n)))
in (tl ws @ new, set new ∪ N))
by (force simp: Let-def map-apfst-remdups' filter-map o-def split: prod.splits)

end

locale rexpl-DFA-no-post = rexpl-DFA σ wf-atom project lookup init delta final
wf-state id L
for σ :: nat ⇒ 'a list
and wf-atom :: nat ⇒ 'b :: linorder ⇒ bool
and project :: 'a ⇒ 'a
and lookup :: 'b ⇒ 'a ⇒ bool
and init :: 'b rexpl ⇒ 's
and delta :: 'a ⇒ 's ⇒ 's
and final :: 's ⇒ bool
and wf-state :: 's ⇒ bool
and L :: 's ⇒ 'a lang
begin

sublocale rexpl-DA-no-post by unfold-locales

end

locale rexpl-DA-sim = project set o σ wf-atom project lookup
for σ :: nat ⇒ 'a list
and wf-atom :: nat ⇒ 'b :: linorder ⇒ bool
and project :: 'a ⇒ 'a
and lookup :: 'b ⇒ 'a ⇒ bool +
fixes init :: 'b rexpl ⇒ 's
fixes sim-delta :: 's ⇒ 's list
fixes final :: 's ⇒ bool
fixes wf-state :: 's ⇒ bool
fixes L :: 's ⇒ 'a lang
fixes post :: 's ⇒ 's
fixes n :: nat
assumes L-init[simp]: wf n r ⇒ L (init r) = lang n r
assumes final-iff-Nil[simp]: final s ↔ [] ∈ L s
assumes L-wf-state[dest]: wf-state s ⇒ L s ⊆ lists (set (σ n))
assumes init-wf-state[simp]: wf n r ⇒ wf-state (init r)
assumes L-post[simp]: wf-state s ⇒ L (post s) = L s
assumes wf-state-post[simp]: wf-state s ⇒ wf-state (post s)
assumes L-sim-delta[simp]: wf-state s ⇒ map L (sim-delta s) = map (λa. lQuot
a (L s)) (σ n)
assumes sim-delta-wf-state[simp]: wf-state s ⇒ ∀ s' ∈ set (sim-delta s). wf-state
s'
begin

definition delta a s = sim-delta s ! index (σ n) a

```

```

lemma length-sim-delta[simp]: wf-state s  $\implies$  length (sim-delta s) = length ( $\sigma$  n)
by (metis L-sim-delta length-map)

lemma L-delta[simp]:  $\llbracket a \in \text{set } (\sigma n); \text{wf-state } s \rrbracket \implies L(\delta a s) = lQuot a (L s)$ 
using L-sim-delta[of s] unfolding delta-def in-set-conv-nth
by (subst (asm) list-eq-iff-nth-eq) auto

lemma delta-wf-state[simp]:  $\llbracket a \in \text{set } (\sigma n); \text{wf-state } s \rrbracket \implies \text{wf-state } (\delta a s)$ 
unfolding delta-def by (auto intro: bspec[OF sim-delta-wf-state nth-mem])

sublocale rexp-DA  $\sigma$  wf-atom project lookup init delta final wf-state post L
by unfold-locales auto

sublocale rexp-DA-sim-no-post: rexp-DA-no-post  $\sigma$  wf-atom project lookup init
delta final wf-state L
by unfold-locales auto

end

```

## 7 Initial Normalization of the Input

```

fun toplevel-inters where
  toplevel-inters (Inter r s) = toplevel-inters r  $\cup$  toplevel-inters s
  | toplevel-inters r = {r}

lemma toplevel-inters-nonempty[simp]:
  toplevel-inters r  $\neq \{\}$ 
by (induct r) auto

lemma toplevel-inters-finite[simp]:
  finite (toplevel-inters r)
by (induct r) auto

context alphabet
begin

lemma toplevel-inters-wf:
  wf n s = ( $\forall r \in \text{toplevel-inters } s. \text{wf } n r$ )
by (induct s) auto

end

context project
begin

```

```

lemma toplevel-inters-lang:
   $r \in \text{toplevel-inters } s \implies \text{lang } n \ s \subseteq \text{lang } n \ r$ 
  by (induct s) auto

lemma toplevel-inters-lang-INT:
   $\text{lang } n \ s = (\bigcap_{r \in \text{toplevel-inters } s} \text{lang } n \ r)$ 
  by (induct s) auto

lemma toplevel-inters-in-lang:
   $w \in \text{lang } n \ s = (\forall r \in \text{toplevel-inters } s. w \in \text{lang } n \ r)$ 
  by (induct s) auto

lemma lang-flatten-INTERSECT-finite[simp]:
   $\text{finite } X \implies w \in \text{lang } n \ (\text{flatten INTERSECT } X) =$ 
   $(\text{if } X = \{\} \text{ then } w \in \text{lists } (\Sigma n) \text{ else } (\forall r \in X. w \in \text{lang } n \ r))$ 
  unfolding lang-INTERSECT by auto

end

fun merge-distinct where
  merge-distinct [] xs = xs
  | merge-distinct xs [] = xs
  | merge-distinct (a # xs) (b # ys) =
    (if a = b then merge-distinct xs (b # ys)
     else if a < b then a # merge-distinct xs (b # ys)
     else b # merge-distinct (a # xs) ys)

lemma set-merge-distinct[simp]: set (merge-distinct xs ys) = set xs ∪ set ys
  by (induct xs ys rule: merge-distinct.induct) auto

lemma sorted-merge-distinct[simp]: [sorted xs; sorted ys]  $\implies$  sorted (merge-distinct xs ys)
  by (induct xs ys rule: merge-distinct.induct) (auto)

lemma distinct-merge-distinct[simp]: [sorted xs; distinct xs; sorted ys; distinct ys]
 $\implies$ 
  distinct (merge-distinct xs ys)
  by (induct xs ys rule: merge-distinct.induct) (auto)

lemma sorted-list-of-set-merge-distinct[simp]: [sorted xs; distinct xs; sorted ys; distinct ys]
 $\implies$ 
  merge-distinct xs ys = sorted-list-of-set (set xs ∪ set ys)
  by (auto intro: sorted-distinct-set-unique)

fun zip-with-option where
  zip-with-option f (Some a) (Some b) = Some (f a b)
  | zip-with-option _ _ = None

```

```

lemma zip-with-option-eq-Some[simp]:
  zip-with-option f x y = Some z  $\longleftrightarrow$  ( $\exists a b. z = f a b \wedge x = \text{Some } a \wedge y = \text{Some } b$ )
  by (induct f x y rule: zip-with-option.induct) auto

fun Pluss where
  Pluss (Plus r s) = zip-with-option merge-distinct (Pluss r) (Pluss s)
  | Pluss Zero = Some []
  | Pluss Full = None
  | Pluss r = Some [r]

lemma Pluss-None[symmetric]: Pluss r = None  $\longleftrightarrow$  Full  $\in$  toplevel-summands r
  by (induct r) auto

lemma Pluss-Some: Pluss r = Some xs  $\longleftrightarrow$ 
  (Full  $\notin$  set xs  $\wedge$  xs = sorted-list-of-set (toplevel-summands r - {Zero}))
  proof (induct r arbitrary: xs)
    case (Plus r s)
      show ?case
      proof safe
        assume Pluss (Plus r s) = Some xs
        then obtain a b where *: Pluss r = Some a Pluss s = Some b xs =
        merge-distinct a b by auto
        with Plus(1)[of a] Plus(2)[of b]
          show xs = sorted-list-of-set (toplevel-summands (Plus r s) - {Zero}) by
          (simp add: Un-Diff)
          assume Full  $\in$  set xs with Plus(1)[of a] Plus(2)[of b] * show False by (simp
          add: Pluss-None)
        next
          assume Full  $\notin$  set (sorted-list-of-set (toplevel-summands (Plus r s) - {Zero}))
          with Plus(1)[of sorted-list-of-set (toplevel-summands r - {Zero})]
            Plus(2)[of sorted-list-of-set (toplevel-summands s - {Zero})]
            show Pluss (Plus r s) = Some (sorted-list-of-set (toplevel-summands (Plus r
            s) - {Zero})) by (simp add: Un-Diff)
          qed
        qed force+

fun Inters where
  Inters (Inter r s) = zip-with-option merge-distinct (Inters r) (Inters s)
  | Inters Zero = None
  | Inters Full = Some []
  | Inters r = Some [r]

lemma Inters-None[symmetric]: Inters r = None  $\longleftrightarrow$  Zero  $\in$  toplevel-inters r
  by (induct r) auto

lemma Inters-Some: Inters r = Some xs  $\longleftrightarrow$ 
  (Zero  $\notin$  set xs  $\wedge$  xs = sorted-list-of-set (toplevel-inters r - {Full}))

```

```

proof (induct r arbitrary: xs)
  case (Inter r s)
    show ?case
    proof safe
      assume Inters (Inter r s) = Some xs
      then obtain a b where *: Inters r = Some a Inters s = Some b xs =
      merge-distinct a b by auto
      with Inter(1)[of a] Inter(2)[of b]
        show xs = sorted-list-of-set (toplevel-inters (Inter r s) - {Full}) by (simp
        add: Un-Diff)
        assume Zero ∈ set xs with Inter(1)[of a] Inter(2)[of b] * show False by (simp
        add: Inters-None)
      next
        assume Zero ∉ set (sorted-list-of-set (toplevel-inters (Inter r s) - {Full}))
        with Inter(1)[of sorted-list-of-set (toplevel-inters r - {Full})]
          Inter(2)[of sorted-list-of-set (toplevel-inters s - {Full})]
          show Inters (Inter r s) = Some (sorted-list-of-set (toplevel-inters (Inter r s)
          - {Full})) by (simp add: Un-Diff)
        qed
      qed force+

definition inPlus where
  inPlus r s = (case Pluss (Plus r s) of None ⇒ Full | Some rs ⇒ PLUS rs)

lemma inPlus-alt: inPlus r s = (let X = toplevel-summands (Plus r s) - {Zero}
in
  flatten PLUS (if Full ∈ X then {Full} else X))
proof (cases Pluss r Pluss s rule: option.exhaust[case-product option.exhaust])
  case Some-Some then show ?thesis by (simp add: inPlus-def Pluss-None) (simp
  add: Pluss-Some Un-Diff)
  qed (simp-all add: inPlus-def Pluss-None)

fun inTimes where
  inTimes Zero - = Zero
  | inTimes - Zero = Zero
  | inTimes One r = r
  | inTimes r One = r
  | inTimes (Times r s) t = Times r (inTimes s t)
  | inTimes r s = Times r s

fun inStar where
  inStar Zero = One
  | inStar Full = Full
  | inStar One = One
  | inStar (Star r) = Star r
  | inStar r = Star r

definition inInter where

```

```

inInter r s = (case Inters (Inter r s) of None => Zero | Some rs => INTERSECT
rs)

lemma inInter-alt: inInter r s = (let X = toplevel-inters (Inter r s) - {Full} in
  flatten INTERSECT (if Zero ∈ X then {Zero} else X))
proof (cases Inters r Inters s rule: option.exhaust[case-product option.exhaust])
  case Some-Some then show ?thesis by (simp add: inInter-def Inters-None)
  (simp add: Inters-Some Un-Diff)
qed (simp-all add: inInter-def Inters-None)

fun inNot where
  inNot Zero = Full
| inNot Full = Zero
| inNot (Not r) = r
| inNot (Plus r s) = Inter (inNot r) (inNot s)
| inNot (Inter r s) = Plus (inNot r) (inNot s)
| inNot r = Not r

fun inPr where
  inPr Zero = Zero
| inPr One = One
| inPr (Plus r s) = Plus (inPr r) (inPr s)
| inPr r = Pr r

primrec inorm where
  inorm Zero = Zero
| inorm Full = Full
| inorm One = One
| inorm (Atom a) = Atom a
| inorm (Plus r s) = Plus (inorm r) (inorm s)
| inorm (Times r s) = Times (inorm r) (inorm s)
| inorm (Star r) = inStar (inorm r)
| inorm (Not r) = inNot (inorm r)
| inorm (Inter r s) = inInter (inorm r) (inorm s)
| inorm (Pr r) = inPr (inorm r)

context alphabet begin

lemma wf-inPlus[simp]: [wf n r; wf n s] ==> wf n (inPlus r s)
  by (subst (asm) (1 2) toplevel-summands-wf) (auto simp: inPlus-alt)

lemma wf-inTimes[simp]: [wf n r; wf n s] ==> wf n (inTimes r s)
  by (induct r s rule: inTimes.induct) auto

lemma wf-inStar[simp]: wf n r ==> wf n (inStar r)
  by (induct r rule: inStar.induct) auto

lemma wf-inInter[simp]: [wf n r; wf n s] ==> wf n (inInter r s)
  by (subst (asm) (1 2) toplevel-inters-wf) (auto simp: inInter-alt)

```

```

lemma wf-inNot[simp]: wf n r  $\implies$  wf n (inNot r)
  by (induct r rule: inNot.induct) auto

lemma wf-inPr[simp]: wf (Suc n) r  $\implies$  wf n (inPr r)
  by (induct r rule: inPr.induct) auto

lemma wf-inorm[simp]: wf n r  $\implies$  wf n (inorm r)
  by (induct r arbitrary: n) auto

end

context project begin

lemma lang-inPlus[simp]: [wf n r; wf n s]  $\implies$  lang n (inPlus r s) = lang n (Plus r s)
  by (auto 0 3 simp: inPlus-alt toplevel-summands-in-lang[of - n r] toplevel-summands-in-lang[of - n s]
    dest: lang-subset-lists intro: bexI[of - Full])

lemma lang-inTimes[simp]: [wf n r; wf n s]  $\implies$  lang n (inTimes r s) = lang n (Times r s)
  by (induct r s rule: inTimes.induct) (auto simp: conc-assoc)

lemma lang-inStar[simp]: wf n r  $\implies$  lang n (inStar r) = lang n (Star r)
  by (induct r rule: inStar.induct)
    (auto intro: star-if-lang dest: subsetD[OF star-subset-lists, rotated])

lemma Zero-toplevel-inters[dest]: Zero  $\in$  toplevel-inters r  $\implies$  lang n r = {}
  by (metis lang.simps(1) subset-empty toplevel-inters-lang)

lemma toplevel-inters-Full: [toplevel-inters r = {Full}; wf n r]  $\implies$  lang n r =
  lists ( $\Sigma$  n)
  by (metis antisym lang.simps(2) subsetI toplevel-inters.simps(3) toplevel-inters-in-lang)

lemma toplevel-inters-subset-singleton[simp]: toplevel-inters r  $\subseteq$  {s}  $\longleftrightarrow$  toplevel-inters
r = {s}
  by (metis subset-refl subset-singletonD toplevel-inters-nonempty)

lemma lang-inInter[simp]: [wf n r; wf n s]  $\implies$  lang n (inInter r s) = lang n (Inter r s)
  using lang-subset-lists[of n, unfolded lang.simps(2)[symmetric]]
    toplevel-inters-nonempty[of r] toplevel-inters-nonempty[of s]
  apply (auto 0 2 simp: inInter-alt toplevel-inters-in-lang[of - n r] toplevel-inters-in-lang[of - n s]
    toplevel-inters-wf[of n r] toplevel-inters-wf[of n s] Ball-def simp del: toplevel-inters-nonempty
    dest!: toplevel-inters-Full[of - n] split: if-splits)
  by fastforce+

```

```

lemma lang-inNot[simp]: wf n r  $\implies$  lang n (inNot r) = lang n (Not r)
by (induct r rule: inNot.induct) (auto dest: lang-subset-lists)

lemma lang-inPr[simp]: wf (Suc n) r  $\implies$  lang n (inPr r) = lang n (Pr r)
by (induct r rule: inPr.induct) auto

lemma lang-inorm[simp]: wf n r  $\implies$  lang n (inorm r) = lang n r
by (induct r arbitrary: n) auto

end

```

## 8 Partial Derivatives-like Normalization

```

fun pnPlus :: 'a::linorder rexp  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp where
  pnPlus Zero r = r
  | pnPlus r Zero = r
  | pnPlus r (Plus r s) t = pnPlus r (pnPlus s t)
  | pnPlus r (Plus s t) =
    (if r = s then (Plus s t)
     else if r  $\leq$  s then Plus r (Plus s t)
     else Plus s (pnPlus r t))
  | pnPlus r s =
    (if r = s then r
     else if r  $\leq$  s then Plus r s
     else Plus s r)

lemma (in alphabet) wf-pnPlus[simp]: [wf n r; wf n s]  $\implies$  wf n (pnPlus r s)
by (induct r s rule: pnPlus.induct) auto

lemma (in project) lang-pnPlus[simp]: [wf n r; wf n s]  $\implies$  lang n (pnPlus r s) =
lang n (Plus r s)
by (induct r s rule: pnPlus.induct) (auto dest!: lang-subset-lists dest: project
subsetD[OF star-subset-lists, unfolded in-lists-conv-set, rotated -1]
subsetD[OF conc-subset-lists, unfolded in-lists-conv-set, rotated -1])

fun pnTimes :: 'a::linorder rexp  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp where
  pnTimes Zero r = Zero
  | pnTimes One r = r
  | pnTimes (Plus r s) t = pnPlus (pnTimes r t) (pnTimes s t)
  | pnTimes r s = Times r s

lemma (in alphabet) wf-pnTimes[simp]: [wf n r; wf n s]  $\implies$  wf n (pnTimes r s)
by (induct r s rule: pnTimes.induct) auto

lemma (in project) lang-pnTimes[simp]: [wf n r; wf n s]  $\implies$  lang n (pnTimes r s) =
lang n (Times r s)
by (induct r s rule: pnTimes.induct) auto

```

```

fun pnInter :: 'a::linorder rexp  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp where
| pnInter Zero r = Zero
| pnInter r Zero = Zero
| pnInter Full r = r
| pnInter r Full = r
| pnInter (Plus r s) t = pnPlus (pnInter r t) (pnInter s t)
| pnInter r (Plus s t) = pnPlus (pnInter r s) (pnInter r t)
| pnInter (Inter r s) t = pnInter r (pnInter s t)
| pnInter r (Inter s t) =
  (if r = s then Inter s t
   else if r  $\leq$  s then Inter r (Inter s t)
   else Inter s (pnInter r t))
| pnInter r s =
  (if r = s then s
   else if r  $\leq$  s then Inter r s
   else Inter s r)

lemma (in alphabet) wf-pnInter[simp]:  $\llbracket \text{wf } n \ r; \text{wf } n \ s \rrbracket \implies \text{wf } n \ (\text{pnInter } r \ s)$ 
by (induct r s rule: pnInter.induct) auto

lemma (in project) lang-pnInter[simp]:  $\llbracket \text{wf } n \ r; \text{wf } n \ s \rrbracket \implies \text{lang } n \ (\text{pnInter } r \ s)$ 
= lang n (Inter r s)
by (induct r s rule: pnInter.induct) (auto, auto dest!: lang-subset-lists dest: project
subsetD[OF star-subset-lists, unfolded in-lists-conv-set, rotated -1]
subsetD[OF conc-subset-lists, unfolded in-lists-conv-set, rotated -1])

fun pnNot :: 'a::linorder rexp  $\Rightarrow$  'a rexp where
| pnNot (Plus r s) = pnInter (pnNot r) (pnNot s)
| pnNot (Inter r s) = pnPlus (pnNot r) (pnNot s)
| pnNot Full = Zero
| pnNot Zero = Full
| pnNot (Not r) = r
| pnNot r = Not r

lemma (in alphabet) wf-pnNot[simp]: wf n r  $\implies$  wf n (pnNot r)
by (induct r rule: pnNot.induct) auto

lemma (in project) lang-pnNot[simp]: wf n r  $\implies$  lang n (pnNot r) = lang n (Not r)
by (induct r rule: pnNot.induct) (auto dest: lang-subset-lists)

fun pnPr :: 'a::linorder rexp  $\Rightarrow$  'a rexp where
| pnPr Zero = Zero
| pnPr One = One
| pnPr (Plus r s) = pnPlus (pnPr r) (pnPr s)
| pnPr r = Pr r

lemma (in alphabet) wf-pnPr[simp]: wf (Suc n) r  $\implies$  wf n (pnPr r)
by (induct r rule: pnPr.induct) auto

```

```

lemma (in project) lang-pnPr[simp]: wf (Suc n) r  $\implies$  lang n (pnPr r) = lang n (Pr r)
  by (induct r rule: pnPr.induct) auto

primrec pnorm :: 'a::linorder rexp  $\Rightarrow$  'a rexp where
  pnorm Zero = Zero
  | pnorm Full = Full
  | pnorm One = One
  | pnorm (Atom a) = Atom a
  | pnorm (Plus r s) = pnPlus (pnorm r) (pnorm s)
  | pnorm (Times r s) = pnTimes (pnorm r) s
  | pnorm (Star r) = Star r
  | pnorm (Inter r s) = pnInter (pnorm r) (pnorm s)
  | pnorm (Not r) = pnNot (pnorm r)
  | pnorm (Pr r) = pnPr (pnorm r)

lemma (in alphabet) wf-pnorm[simp]: wf n r  $\implies$  wf n (pnorm r)
  by (induct r arbitrary: n) auto

lemma (in project) lang-pnorm[simp]: wf n r  $\implies$  lang n (pnorm r) = lang n r
  by (induct r arbitrary: n) auto

```

## 9 Monadic Second-Order Logic Formulas

### 9.1 Interpretations and Encodings

**type-synonym** 'a interp = 'a list  $\times$  (nat + nat set) list

**abbreviation** enc-atom-bool I n  $\equiv$  map ( $\lambda x.$  case x of Inl p  $\Rightarrow$  n = p | Inr P  $\Rightarrow$  n  $\in$  P) I

**abbreviation** enc-atom I n a  $\equiv$  (a, enc-atom-bool I n)

### 9.2 Syntax and Semantics of MSO

```

datatype 'a formula =
  FQ 'a nat
  | FLess nat nat
  | FIn nat nat
  | FNot 'a formula
  | FOr 'a formula 'a formula
  | FAnd 'a formula 'a formula
  | FExists 'a formula
  | FEXISTS 'a formula

```

```

primrec FOV :: 'a formula  $\Rightarrow$  nat set where
  FOV (FQ a m) = {m}

```

```

|  $\text{FOV}(\text{FLess } m1 \ m2) = \{m1, m2\}$ 
|  $\text{FOV}(\text{FIn } m \ M) = \{m\}$ 
|  $\text{FOV}(\text{FNot } \varphi) = \text{FOV } \varphi$ 
|  $\text{FOV}(\text{For } \varphi_1 \ \varphi_2) = \text{FOV } \varphi_1 \cup \text{FOV } \varphi_2$ 
|  $\text{FOV}(\text{FAnd } \varphi_1 \ \varphi_2) = \text{FOV } \varphi_1 \cup \text{FOV } \varphi_2$ 
|  $\text{FOV}(\text{FExists } \varphi) = (\lambda x. x - 1) ` (\text{FOV } \varphi - \{0\})$ 
|  $\text{FOV}(\text{FEXISTS } \varphi) = (\lambda x. x - 1) ` \text{FOV } \varphi$ 

primrec  $\text{SOV} :: 'a \text{ formula} \Rightarrow \text{nat set where}$ 
   $\text{SOV}(\text{FQ } a \ m) = \{\}$ 
|  $\text{SOV}(\text{FLess } m1 \ m2) = \{\}$ 
|  $\text{SOV}(\text{FIn } m \ M) = \{M\}$ 
|  $\text{SOV}(\text{FNot } \varphi) = \text{SOV } \varphi$ 
|  $\text{SOV}(\text{For } \varphi_1 \ \varphi_2) = \text{SOV } \varphi_1 \cup \text{SOV } \varphi_2$ 
|  $\text{SOV}(\text{FAnd } \varphi_1 \ \varphi_2) = \text{SOV } \varphi_1 \cup \text{SOV } \varphi_2$ 
|  $\text{SOV}(\text{FExists } \varphi) = (\lambda x. x - 1) ` \text{SOV } \varphi$ 
|  $\text{SOV}(\text{FEXISTS } \varphi) = (\lambda x. x - 1) ` (\text{SOV } \varphi - \{0\})$ 

definition  $\sigma = (\lambda \Sigma \ n. \ \text{concat}(\text{map}(\lambda bs. \ \text{map}(\lambda a. (a, bs)) \ \Sigma)) \ (\text{List.n-lists } n [\text{True}, \ \text{False}]))$ 
definition  $\pi = (\lambda(a, bs). (a, \text{tl } bs))$ 
definition  $\varepsilon = (\lambda \Sigma \ (a::'a, bs). \ \text{if } a \in \text{set } \Sigma \ \text{then } [(a, \text{True} \# bs), (a, \text{False} \# bs)] \ \text{else } [])$ 

datatype  $'a \text{ atom} =$ 
   $\text{Singleton } 'a \text{ bool list}$ 
|  $AQ \ \text{nat } 'a$ 
|  $\text{Arbitrary-Except } \text{nat bool}$ 
|  $\text{Arbitrary-Except2 } \text{nat nat}$ 
derive  $\text{linorder atom}$ 

fun  $wf\text{-atom where}$ 
   $wf\text{-atom } \Sigma \ n \ (\text{Singleton } a \ bs) = (a \in \text{set } \Sigma \wedge \text{length } bs = n)$ 
|  $wf\text{-atom } \Sigma \ n \ (AQ \ m \ a) = (a \in \text{set } \Sigma \wedge m < n)$ 
|  $wf\text{-atom } \Sigma \ n \ (\text{Arbitrary-Except } m \ -) = (m < n)$ 
|  $wf\text{-atom } \Sigma \ n \ (\text{Arbitrary-Except2 } m1 \ m2) = (m1 < n \wedge m2 < n)$ 

fun  $lookup \text{ where}$ 
   $lookup(\text{Singleton } a' \ bs') \ (a, bs) = (a = a' \wedge bs = bs')$ 
|  $lookup(AQ \ m \ a') \ (a, bs) = (a = a' \wedge bs ! m)$ 
|  $lookup(\text{Arbitrary-Except } m \ b) \ (-, bs) = (bs ! m = b)$ 
|  $lookup(\text{Arbitrary-Except2 } m1 \ m2) \ (-, bs) = (bs ! m1 \wedge bs ! m2)$ 

lemma  $\pi\text{-}\sigma: \pi ` (\text{set } o \ \sigma \ \Sigma) \ (n + 1) = (\text{set } o \ \sigma \ \Sigma) \ n$ 
  unfolding  $\pi\text{-def } \sigma\text{-def set-map[symmetric]}$   $o\text{-apply map-concat by auto}$ 

locale  $\text{formula} = \text{embed2 set } o \ (\sigma \ \Sigma) \ wf\text{-atom } \Sigma \ \pi \ \text{lookup } \varepsilon \ \Sigma \ \text{case-prod Singleton}$ 
for  $\Sigma :: 'a :: \text{linorder list} +$ 
assumes  $\text{nonempty}: \Sigma \neq []$ 

```

**begin**

**abbreviation**  $\Sigma\text{-product-lists } n \equiv$   
 $\text{List.maps } (\lambda \text{bools}. \text{ map } (\lambda a. (a, \text{bools})) \Sigma) (\text{bool-product-lists } n)$

**primrec**  $\text{pre-wf-formula} :: \text{nat} \Rightarrow 'a \text{ formula} \Rightarrow \text{bool}$  **where**  
 $\text{pre-wf-formula } n (FQ a m) = (a \in \text{set } \Sigma \wedge m < n)$   
 $\text{pre-wf-formula } n (FLess m1 m2) = (m1 < n \wedge m2 < n)$   
 $\text{pre-wf-formula } n (FIn m M) = (m < n \wedge M < n)$   
 $\text{pre-wf-formula } n (FNot \varphi) = \text{pre-wf-formula } n \varphi$   
 $\text{pre-wf-formula } n (FOr \varphi_1 \varphi_2) = (\text{pre-wf-formula } n \varphi_1 \wedge \text{pre-wf-formula } n \varphi_2)$   
 $\text{pre-wf-formula } n (FAnd \varphi_1 \varphi_2) = (\text{pre-wf-formula } n \varphi_1 \wedge \text{pre-wf-formula } n \varphi_2)$   
 $\text{pre-wf-formula } n (FExists \varphi) = (\text{pre-wf-formula } (n + 1) \varphi \wedge 0 \in \text{FOV } \varphi \wedge 0 \notin \text{SOV } \varphi)$   
 $\text{pre-wf-formula } n (FEXISTS \varphi) = (\text{pre-wf-formula } (n + 1) \varphi \wedge 0 \notin \text{FOV } \varphi \wedge 0 \in \text{SOV } \varphi)$

**abbreviation**  $\text{closed} \equiv \text{pre-wf-formula } 0$

**definition** [*simp*]:  $\text{wf-formula } n \varphi \equiv \text{pre-wf-formula } n \varphi \wedge \text{FOV } \varphi \cap \text{SOV } \varphi = \{\}$

**lemma**  $\text{max-idx-vars}: \text{pre-wf-formula } n \varphi \implies \forall p \in \text{FOV } \varphi \cup \text{SOV } \varphi. p < n$   
**by** (*induct*  $\varphi$  *arbitrary*:  $n$ )  
(*auto split*: *if-split-asm*, (*metis Un-iff diff-Suc-less less-SucE less-imp-diff-less*)+)

**lemma**  $\text{finite-FOV}: \text{finite } (\text{FOV } \varphi)$   
**by** (*induct*  $\varphi$ ) (*auto split*: *if-split-asm*)

### 9.3 ENC

**definition**  $\text{valid-ENC} :: \text{nat} \Rightarrow \text{nat} \Rightarrow ('a \text{ atom}) \text{ rexp}$  **where**  
 $\text{valid-ENC } n p = (\text{if } n = 0 \text{ then } \text{Full} \text{ else}$   
 $\text{TIMES} [$   
 $\text{Star } (\text{Atom } (\text{Arbitrary-Except } p \text{ False})),$   
 $\text{Atom } (\text{Arbitrary-Except } p \text{ True}),$   
 $\text{Star } (\text{Atom } (\text{Arbitrary-Except } p \text{ False})))]$

**lemma**  $\text{wf-rexp-valid-ENC}: n = 0 \vee p < n \implies \text{wf } n (\text{valid-ENC } n p)$   
**unfolding** *valid-ENC-def* **by** *auto*

**definition**  $\text{ENC} :: \text{nat} \Rightarrow \text{nat set} \Rightarrow ('a \text{ atom}) \text{ rexp}$  **where**  
 $\text{ENC } n V = \text{flatten INTERSECT } (\text{valid-ENC } n ` V)$

**lemma**  $\text{wf-rexp-ENC}: [\![\text{finite } V; n = 0 \vee (\forall v \in V. v < n)]\!] \implies \text{wf } n (\text{ENC } n V)$   
**unfolding** *ENC-def*  
**by** (*intro iffD2[OF wf-flatten-INTERSECT]*) (*auto simp: wf-rexp-valid-ENC*)

**lemma**  $\text{enc-atom-}\sigma\text{-eq}: i < \text{length } w \implies$

( $\text{length } I = n \wedge p \in \text{set } \Sigma) \longleftrightarrow \text{enc-atom } I i p \in \text{set } (\sigma \Sigma n)$   
**by** (auto simp:  $\sigma\text{-def set-}n\text{-lists intro!: exI[of - enc-atom-bool } I i]$  imageI)

**lemmas** enc-atom- $\sigma$  = iffD1[ $\text{OF enc-atom-}\sigma\text{-eq, OF - conjI}$ ]

**lemma** enc-atom-bool-take-drop-True:

$\llbracket r < \text{length } I; \text{case } I ! r \text{ of Inl } p' \Rightarrow p = p' \mid \text{Inr } P \Rightarrow p \in P \rrbracket \implies$   
 $\text{enc-atom-bool } I p = \text{take } r (\text{enc-atom-bool } I p) @ \text{True} \# \text{drop } (\text{Suc } r)$   
 $(\text{enc-atom-bool } I p)$   
**by** (auto intro: trans[ $\text{OF id-take-nth-drop}$ ])

**lemma** enc-atom-bool-take-drop-True2:

$\llbracket r < \text{length } I; \text{case } I ! r \text{ of Inl } p' \Rightarrow p = p' \mid \text{Inr } P \Rightarrow p \in P;$   
 $s < \text{length } I; \text{case } I ! s \text{ of Inl } p' \Rightarrow p = p' \mid \text{Inr } P \Rightarrow p \in P; r < s \rrbracket \implies$   
 $\text{enc-atom-bool } I p = \text{take } r (\text{enc-atom-bool } I p) @ \text{True} \#$   
 $\text{take } (s - \text{Suc } r) (\text{drop } (\text{Suc } r) (\text{enc-atom-bool } I p)) @ \text{True} \#$   
 $\text{drop } (\text{Suc } s) (\text{enc-atom-bool } I p)$   
**using** id-take-nth-drop[of  $r \text{ enc-atom-bool } I p$ ]  
id-take-nth-drop[of  $s - r - 1 \text{ drop } (\text{Suc } r) (\text{enc-atom-bool } I p)$ ]  
**by** auto

**lemma** enc-atom-bool-take-drop-False:

$\llbracket r < \text{length } I; \text{case } I ! r \text{ of Inl } p' \Rightarrow p \neq p' \mid \text{Inr } P \Rightarrow p \notin P \rrbracket \implies$   
 $\text{enc-atom-bool } I p = \text{take } r (\text{enc-atom-bool } I p) @ \text{False} \# \text{drop } (\text{Suc } r)$   
 $(\text{enc-atom-bool } I p)$   
**by** (auto intro: trans[ $\text{OF id-take-nth-drop}$ ] split: sum.splits)

**lemma** enc-atom-lang-AQ:  $\llbracket r < \text{length } I;$

$\text{case } I ! r \text{ of Inl } p' \Rightarrow p = p' \mid \text{Inr } P \Rightarrow p \in P; \text{length } I = n; a \in \text{set } \Sigma \rrbracket \implies$

$[\text{enc-atom } I p a] \in \text{lang } n (\text{Atom } (\text{AQ } r a))$

**unfolding** lang.simps

**by** (force intro!: enc-atom-bool-take-drop-True  
image-eqI[of - - ( $\lambda J.$  take  $r J @ \text{drop } (r + 1) J$ ) (enc-atom-bool  $I p$ )]  
simp:  $\sigma\text{-def set-}n\text{-lists})$

**lemma** enc-atom-lang-Arbitrary-Except-True:  $\llbracket r < \text{length } I;$

$\text{case } I ! r \text{ of Inl } p' \Rightarrow p = p' \mid \text{Inr } P \Rightarrow p \in P; \text{length } I = n; a \in \text{set } \Sigma \rrbracket \implies$

$[\text{enc-atom } I p a] \in \text{lang } n (\text{Atom } (\text{Arbitrary-Except } r \text{ True}))$

**unfolding** lang.simps

**by** (force intro!: enc-atom-bool-take-drop-True  
image-eqI[of - - ( $\lambda J.$  take  $r J @ \text{drop } (r + 1) J$ ) (enc-atom-bool  $I p$ )]  
simp:  $\sigma\text{-def set-}n\text{-lists})$

**lemma** enc-atom-lang-Arbitrary-Except2:  $\llbracket r < \text{length } I; s < \text{length } I;$

$\text{case } I ! r \text{ of Inl } p' \Rightarrow p = p' \mid \text{Inr } P \Rightarrow p \in P;$

$\text{case } I ! s \text{ of Inl } p' \Rightarrow p = p' \mid \text{Inr } P \Rightarrow p \in P; \text{length } I = n; a \in \text{set } \Sigma \rrbracket \implies$

$[\text{enc-atom } I p a] \in \text{lang } n (\text{Atom } (\text{Arbitrary-Except2 } r s))$

**unfolding** lang.simps

**by** (force intro!: enc-atom-bool-take-drop-True2

```

simp: set-n-lists σ-def take-Cons' drop-Cons' numeral-eq-Suc)

lemma enc-atom-lang-Arbitrary-Except-False: [|r < length I;
case I ! r of Inl p' ⇒ p ≠ p' | Inr P ⇒ p ∉ P; length I = n; a ∈ set Σ|] ⇒
[enc-atom I p a] ∈ lang n (Atom (Arbitrary-Except r False))
unfolding lang.simps
by (force intro!: enc-atom-bool-take-drop-False
image-eqI[of - - (λJ. take r J @ drop (r + 1) J) (enc-atom-bool I p)]
simp: set-n-lists σ-def split: sum.splits)

lemma AQ-D:
assumes v ∈ lang n (Atom (AQ m a)) m < n a ∈ set Σ
shows ∃x. v = [x] ∧ fst x = a ∧ snd x ! m
using assms by auto

lemma Arbitrary-ExceptD:
assumes v ∈ lang n (Atom (Arbitrary-Except r b)) r < n
shows ∃x. v = [x] ∧ snd x ! r = b
using assms by auto

lemma Arbitrary-Except2D:
assumes v ∈ lang n (Atom (Arbitrary-Except2 r s)) r < n s < n
shows ∃x. v = [x] ∧ snd x ! r ∧ snd x ! s
using assms by auto

lemma star-Arbitrary-ExceptD:
 [|v ∈ star (lang n (Atom (Arbitrary-Except r b))); r < n; i < length v|] ⇒
snd (v ! i) ! r = b
proof (induct arbitrary: i rule: star-induct)
case (append u v) thus ?case by (cases i) (auto dest: Arbitrary-ExceptD)
qed simp

end

end

```

## 10 M2L

### 10.1 Encodings

```

context formula
begin

fun enc :: 'a interp ⇒ ('a × bool list) list where
enc (w, I) = map-index (enc-atom I) w

abbreviation wf-interp w I ≡ (length w > 0 ∧
(∀a ∈ set w. a ∈ set Σ) ∧
(∀x ∈ set I. case x of Inl p ⇒ p < length w | Inr P ⇒ ∀p ∈ P. p < length w))

```

```

fun wf-interp-for-formula :: 'a interp  $\Rightarrow$  'a formula  $\Rightarrow$  bool where
  wf-interp-for-formula ( $w, I$ )  $\varphi$  =
    (wf-interp  $w I \wedge$ 
     ( $\forall n \in FOV \varphi. \text{case } I ! n \text{ of Inl } - \Rightarrow True | - \Rightarrow False$ )  $\wedge$ 
     ( $\forall n \in SOV \varphi. \text{case } I ! n \text{ of Inl } - \Rightarrow False | - \Rightarrow True$ ))

fun satisfies :: 'a interp  $\Rightarrow$  'a formula  $\Rightarrow$  bool (infix  $\models 50$ ) where
  ( $w, I$ )  $\models FQ a m = (w ! (\text{case } I ! m \text{ of Inl } p \Rightarrow p) = a)$ 
  | ( $w, I$ )  $\models FLess m1 m2 = ((\text{case } I ! m1 \text{ of Inl } p \Rightarrow p) < (\text{case } I ! m2 \text{ of Inl } p \Rightarrow p))$ 
  | ( $w, I$ )  $\models FIn m M = ((\text{case } I ! m \text{ of Inl } p \Rightarrow p) \in (\text{case } I ! M \text{ of Inr } P \Rightarrow P))$ 
  | ( $w, I$ )  $\models FNot \varphi = (\neg (w, I) \models \varphi)$ 
  | ( $w, I$ )  $\models FOr \varphi_1 \varphi_2 = ((w, I) \models \varphi_1 \vee (w, I) \models \varphi_2)$ 
  | ( $w, I$ )  $\models FAnd \varphi_1 \varphi_2 = ((w, I) \models \varphi_1 \wedge (w, I) \models \varphi_2)$ 
  | ( $w, I$ )  $\models FExists \varphi = (\exists p. p \in \{0 .. \text{length } w - 1\} \wedge (w, \text{Inl } p \# I) \models \varphi)$ 
  | ( $w, I$ )  $\models FEXISTS \varphi = (\exists P. P \subseteq \{0 .. \text{length } w - 1\} \wedge (w, \text{Inr } P \# I) \models \varphi)$ 

definition langM2L :: nat  $\Rightarrow$  'a formula  $\Rightarrow$  ('a  $\times$  bool list) list set where
  langM2L  $n \varphi = \{\text{enc } (w, I) \mid w I.$ 
   $\text{length } I = n \wedge \text{wf-interp-for-formula } (w, I) \varphi \wedge \text{satisfies } (w, I) \varphi\}$ 

definition dec-word  $\equiv$  map fst

definition positions-in-row  $w i =$ 
  Option.these (set (map-index ( $\lambda p$  a-bs. if  $n$ th ( $\text{snd } a$ -bs)  $i$  then Some  $p$  else None)
   $w))$ 

definition dec-interp  $n FO (w :: ('a \times \text{bool list}) \text{ list}) \equiv$  map ( $\lambda i.$ 
   $\text{if } i \in FO$ 
   $\text{then Inl } (\text{the-elem } (\text{positions-in-row } w i))$ 
   $\text{else Inr } (\text{positions-in-row } w i)) [0..<n]$ 

lemma positions-in-row: positions-in-row  $w i = \{p. p < \text{length } w \wedge \text{snd } (w ! p) ! i\}$ 
unfolding positions-in-row-def Option.these-def by (auto intro!: image-eqI[of - the])
lemma positions-in-row-unique:  $\exists! p. p < \text{length } w \wedge \text{snd } (w ! p) ! i \implies$ 
  the-elem (positions-in-row  $w i$ ) = (THE  $p. p < \text{length } w \wedge \text{snd } (w ! p) ! i$ )
  by (rule the1I2) (auto simp: the-elem-def positions-in-row)

lemma positions-in-row-length:  $\exists! p. p < \text{length } w \wedge \text{snd } (w ! p) ! i \implies$ 
  the-elem (positions-in-row  $w i$ )  $< \text{length } w$ 
  unfolding positions-in-row-unique by (rule the1I2) auto

lemma dec-interp-Inl:  $\llbracket i \in FO; i < n \rrbracket \implies \exists p. \text{dec-interp } n FO x ! i = \text{Inl } p$ 
  unfolding dec-interp-def using nth-map[of  $n [0..<n]$ ] by auto

```

**lemma** *dec-interp-not-Inr*:  $\llbracket \text{dec-interp } n \text{ FO } x ! i = \text{Inr } P; i \in \text{FO}; i < n \rrbracket \implies \text{False}$   
**unfolding** *dec-interp-def* **using** *nth-map[of n [0..<n]]* **by** *auto*

**lemma** *dec-interp-Inr*:  $\llbracket i \notin \text{FO}; i < n \rrbracket \implies \exists P. \text{dec-interp } n \text{ FO } x ! i = \text{Inr } P$   
**unfolding** *dec-interp-def* **using** *nth-map[of n [0..<n]]* **by** *auto*

**lemma** *dec-interp-not-Inl*:  $\llbracket \text{dec-interp } n \text{ FO } x ! i = \text{Inl } p; i \notin \text{FO}; i < n \rrbracket \implies \text{False}$   
**unfolding** *dec-interp-def* **using** *nth-map[of n [0..<n]]* **by** *auto*

**lemma** *Inl-dec-interp-length*:  
**assumes**  $\forall i \in \text{FO}. \exists !p. p < \text{length } w \wedge \text{snd } (w ! p) ! i$   
**shows**  $\text{Inl } p \in \text{set } (\text{dec-interp } n \text{ FO } w) \implies p < \text{length } w$   
**unfolding** *dec-interp-def* **by** (*auto intro: positions-in-row-length[OF bspec[OF assms]]*)

**lemma** *Inr-dec-interp-length*:  $\llbracket \text{Inr } P \in \text{set } (\text{dec-interp } n \text{ FO } w); p \in P \rrbracket \implies p < \text{length } w$   
**unfolding** *dec-interp-def* **by** (*auto simp: positions-in-row*)

**lemma** *the-elem-Collect[simp]*:  
**assumes**  $\exists !x. P x$   
**shows** *the-elem (Collect P) = (The P)*  
**proof** (*unfold the-elem-def, rule the-equality*)  
**from** *assms have P (The P) by (rule theI')*  
**with** *assms show Collect P = {The P} by auto*

**fix** *x* **assume** *Collect P = {x}*  
**then show** *x = The P by (auto intro: the-equality[symmetric])*  
**qed**

**lemma** *enc-atom-dec*:  
 $\llbracket \text{wf-word } n \text{ w}; \forall i \in \text{FO}. i < n \longrightarrow (\exists !p. p < \text{length } w \wedge \text{snd } (w ! p) ! i); p < \text{length } w \rrbracket \implies$   
*enc-atom (dec-interp n FO w) p (fst (w ! p)) = w ! p*  
**unfolding** *wf-word dec-interp-def map-filter-def Let-def*  
**by** (*auto 0 4 simp: comp-def σ-def set-n-lists positions-in-row dest: nth-mem[of p w]*)  
*intro!: trans[OF iffD2[OF prod.inject] prod.collapse] nth-equalityI the-equality[symmetric]*  
*intro: theI2[of λp. p < length w ∧ snd (w ! p) ! i λp. snd (w ! p) ! i for i]*  
*elim!: contrapos-np[of - False])*

**lemma** *enc-dec*:  
 $\llbracket \text{wf-word } n \text{ w}; \forall i \in \text{FO}. i < n \longrightarrow (\exists !p. p < \text{length } w \wedge \text{snd } (w ! p) ! i) \rrbracket \implies$   
*enc (dec-word w, dec-interp n FO w) = w*  
**unfolding** *enc.simps dec-word-def*  
**by** (*intro trans[OF map-index-map map-index-congL] allI impI enc-atom-dec*)

*assumption* +

**lemma** *dec-word-enc*: *dec-word* (*enc* (*w*, *I*)) = *w*  
**unfolding** *dec-word-def* **by** *auto*

**lemma** *enc-unique*:

**assumes** *wf-interp w I i < length I*  
**shows**  $\exists p. I ! i = \text{Inl } p \implies \exists !p. p < \text{length} (\text{enc} (w, I)) \wedge \text{snd} (\text{enc} (w, I) ! p) = i$   
**proof** (*erule exE*)  
  **fix** *p* **assume** *I ! i = Inl p*  
  **with assms show ?thesis by** (*auto simp: map-index all-set-conv-all-nth intro!: exI[of - p]*)  
**qed**

**lemma** *dec-interp-enc-Inl*:

$\llbracket \text{dec-interp } n \text{ FO } (\text{enc} (w, I)) ! i = \text{Inl } p'; I ! i = \text{Inl } p; i \in \text{FO}; i < n; \text{length } I = n; p < \text{length } w; \text{wf-interp } w I \rrbracket \implies p = p'$   
**unfolding** *dec-interp-def* **using** *nth-map[of - [0..<n]] positions-in-row-unique[OF enc-unique]*  
**by** (*auto intro: sym[OF the-equality]*)

**lemma** *dec-interp-enc-Inr*:

$\llbracket \text{dec-interp } n \text{ FO } (\text{enc} (w, I)) ! i = \text{Inr } P'; I ! i = \text{Inr } P; i \notin \text{FO}; i < n; \text{length } I = n; \forall p \in P. p < \text{length } w \rrbracket \implies P = P'$   
**unfolding** *dec-interp-def positions-in-row* **by** *auto*

**lemma** *length-dec-interp[simp]*: *length* (*dec-interp n FO x*) = *n*  
**by** (*auto simp: dec-interp-def*)

**lemma** *nth-dec-interp[simp]*: *i < n*  $\implies$  *dec-interp n {} x ! i = Inr (positions-in-row x i)*  
**by** (*auto simp: dec-interp-def*)

**lemma** *set-σD[simp]*:  $(a, bs) \in \text{set } (\sigma \Sigma n) \implies a \in \text{set } \Sigma$   
**unfolding** *σ-def* **by** *auto*

**lemma** *lang-ENC*:

**assumes**  $\text{FO} \subseteq \{0 .. < n\}$   $\text{SO} \subseteq \{0 .. < n\} - \text{FO}$   
**shows**  $\text{lang } n (\text{ENC } n \text{ FO}) - \{\}\} = \{\text{enc} (w, I) \mid w I . \text{length } I = n \wedge \text{wf-interp } w I \wedge (\forall i \in \text{FO}. \text{case } I ! i \text{ of Inl } - \Rightarrow \text{True} \mid \text{Inr } - \Rightarrow \text{False}) \wedge (\forall i \in \text{SO}. \text{case } I ! i \text{ of Inl } - \Rightarrow \text{False} \mid \text{Inr } - \Rightarrow \text{True})\}$   
**(is ?L = ?R)**  
**proof** (*cases FO = {}*)  
  **case** *True* **with assms show ?thesis**  
    **by** (*force simp: ENC-def dec-word-def wf-word*)

```

in-set-conv-nth[of - dec-interp n {} x for x] positions-in-row Ball-def
intro!: enc-atom- $\sigma$  exI[of - dec-word x :: 'a list for x] exI[of - dec-interp n {}]
x for x]
enc-dec[of n - {}, symmetric, unfolded dec-word-def enc.simps map-index-map])
next
case False
hence nonempty: valid-ENC n ` FO  $\neq \{\}$  by simp
have finite: finite (valid-ENC n ` FO)
by (intro finite-imageI[OF finite-subset[OF assms(1)]]) auto
from False assms(1) have 0 < n by auto
with wf-rexp-valid-ENC assms(1) have wf-rexp:  $\forall x \in \text{valid-ENC } n \text{ ` } FO. \text{ wf } n$ 
x by auto
{ fix r w I assume r  $\in FO$  and *: length I = n wf-interp w I
  ( $\forall i \in FO. \text{ case } I ! i \text{ of Inl } - \Rightarrow \text{True} \mid \text{Inr } - \Rightarrow \text{False}$ )
  ( $\forall i \in SO. \text{ case } I ! i \text{ of Inl } - \Rightarrow \text{False} \mid \text{Inr } - \Rightarrow \text{True}$ )
  then obtain p where p: I ! r = Inl p by (cases I ! r) auto
  moreover from ⟨r  $\in FO$ ⟩ assms(1) *(1) have r < length I by auto
  ultimately have Inl p  $\in \text{set } I$  by (auto simp add: in-set-conv-nth)
  with *(2) have p < length w by auto
  with *(2) obtain a where a: w ! p = a a  $\in \text{set } \Sigma$  by auto
  from ⟨r < length I⟩ p *(1) ⟨a  $\in \text{set } \Sigma$ ⟩
  have [enc-atom I p a]  $\in \text{lang } n$  (Atom (Arbitrary-Except r True))
  by (intro enc-atom-lang-Arbitrary-Except-True) auto
  moreover
  from ⟨r < length I⟩ p *(1) ⟨a  $\in \text{set } \Sigma$ ⟩ *(2) ⟨p < length w⟩
  have take p (enc (w, I))  $\in \text{star } (\text{lang } n (\text{Atom (Arbitrary-Except r False})))$ 
  by (auto simp: in-set-conv-nth simp del: lang.simps
    intro!: Ball-starI enc-atom-lang-Arbitrary-Except-False) auto
  moreover
  from ⟨r < length I⟩ p *(1) ⟨a  $\in \text{set } \Sigma$ ⟩ *(2) ⟨p < length w⟩
  have drop (Suc p) (enc (w, I))  $\in \text{star } (\text{lang } n (\text{Atom (Arbitrary-Except r False))))$ 
  by (auto simp: in-set-conv-nth simp del: lang.simps
    intro!: Ball-starI enc-atom-lang-Arbitrary-Except-False) auto
  ultimately have take p (enc (w, I)) @ [enc-atom I p a] @ drop (p + 1) (enc (w, I))  $\in$ 
    lang n (valid-ENC n r) using ⟨0 < n⟩ unfolding valid-ENC-def by (auto
    simp del: append.simps)
  with ⟨p < length w⟩ a have enc (w, I)  $\in \text{lang } n (\text{valid-ENC } n \text{ r})$ 
  using id-take-nth-drop[of p enc (w, I)] by auto
}
hence ?R  $\subseteq$  ?L using lang-flatten-INTERSECT[OF finite nonempty wf-rexp]
by (auto simp add: ENC-def)
moreover
{ fix x assume x  $\in (\bigcap r \in \text{valid-ENC } n \text{ ` } FO. \text{ lang } n \text{ r})$ 
  hence r:  $\forall r \in FO. x \in \text{lang } n (\text{valid-ENC } n \text{ r})$  by blast
  have length (dec-interp n FO x) = n unfolding dec-interp-def by simp
  moreover
{ fix r assume r  $\in FO$ 

```

```

with assms have r < n by auto
from ⟨r ∈ FO⟩ r obtain u v w where uvw: x = u @ v @ w
  u ∈ star (lang n (Atom (Arbitrary-Except r False)))
  v ∈ lang n (Atom (Arbitrary-Except r True))
  w ∈ star (lang n (Atom (Arbitrary-Except r False))) using ⟨0 < n⟩ unfolding
valid-ENC-def
  by (fastforce simp del: lang.simps(4))
  hence length u < length x ∧ i < length x → snd (x ! i) ! r ↔ i = length
u
  by (auto simp: nth-append nth-Cons' split: if-split-asm simp del: lang.simps
dest!: Arbitrary-ExceptD[OF - ⟨r < n⟩]
dest: star-Arbitrary-ExceptD[OF - ⟨r < n⟩, of u]
elim!: iffD1[OF star-Arbitrary-ExceptD[OF - ⟨r < n⟩, of w False]]) auto
  hence ∃!p. p < length x ∧ snd (x ! p) ! r by auto
} note * = this
  have x-wf-word: wf-word n x using wf-lang-wf-word[OF wf-rexp-valid-ENC]
False r assms(1)
  by auto
  with * have x = enc (dec-word x, dec-interp n FO x) by (intro sym[OF
enc-dec]) auto
  moreover
  from * have wf-interp (dec-word x) (dec-interp n FO x)
    ( ∀ i ∈ FO. case dec-interp n FO x ! i of Inl - ⇒ True | Inr - ⇒ False)
    ( ∀ i ∈ SO. case dec-interp n FO x ! i of Inl - ⇒ False | Inr - ⇒ True)
    using False x-wf-word[unfolded wf-word, unfolded σ-def o-apply set-concat
set-map set-n-lists, simplified] assms
    Inl-dec-interp-length[OF ballII, of FO x - n] Inr-dec-interp-length[of - n FO
x]
    dec-interp-Inl[of - FO n x] dec-interp-Inr[of - FO n x]
    by (fastforce simp add: dec-word-def split: sum.split) +
  ultimately have x ∈ ?R by blast
}
  with False lang-flatten-INTERSECT[OF finite nonempty wf-rexp] have ?L ⊆
?R by (auto simp add: ENC-def)
  ultimately show ?thesis by blast
qed

lemma lang-ENC-formula:
  assumes wf.formula n φ
  shows lang n (ENC n (FOV φ)) - {[]} = {enc (w, I) | w I . length I = n ∧
wf-interp-for-formula (w, I) φ}
proof -
  from assms max-idx-vars have *: FOV φ ⊆ {0 ..< n} SOV φ ⊆ {0 ..< n} -
FOV φ by auto
  show ?thesis unfolding lang-ENC[OF *] by simp
qed

```

## 10.2 Welldefinedness of enc wrt. Models

**lemma** *enc-alt-def*:

*enc* (*w*, *x* # *I*) = *map-index* ( $\lambda n (a, bs)$ . (*a*, (*case x of Inl p*  $\Rightarrow$  *n* = *p* | *Inr P*  $\Rightarrow$  *n*  $\in$  *P*) # *bs*)) (*enc* (*w*, *I*))  
**by** (*auto simp: comp-def*)

**lemma** *enc-extend-interp*: *enc* (*w*, *I*) = *enc* (*w'*, *I'*)  $\Rightarrow$  *enc* (*w*, *x* # *I*) = *enc* (*w'*, *x* # *I'*)  
**unfolding** *enc-alt-def* **by** *auto*

**lemma** *wf-interp-for-formula-FExists*:

$\llbracket \text{wf-formula}(\text{length } I) (\text{FExists } \varphi); w \neq [] \rrbracket \Rightarrow$   
 $\text{wf-interp-for-formula}(w, I) (\text{FExists } \varphi) \leftrightarrow$   
 $(\forall p < \text{length } w. \text{wf-interp-for-formula}(w, \text{Inl } p \# I) \varphi)$   
**by** (*auto simp: nth-Cons' split: if-split-asm*)

**lemma** *wf-interp-for-formula-any-Inl*: *wf-interp-for-formula* (*w*, *Inl p* # *I*)  $\varphi \Rightarrow$   
 $\forall p < \text{length } w. \text{wf-interp-for-formula}(w, \text{Inl } p \# I) \varphi$   
**by** (*auto simp: nth-Cons' split: if-split-asm*)

**lemma** *wf-interp-for-formula-FEXISTS*:

$\llbracket \text{wf-formula}(\text{length } I) (\text{FEXISTS } \varphi); w \neq [] \rrbracket \Rightarrow$   
 $\text{wf-interp-for-formula}(w, I) (\text{FEXISTS } \varphi) \leftrightarrow (\forall P \subseteq \{0 .. \text{length } w - 1\}. \text{wf-interp-for-formula}(w, \text{Inr } P \# I) \varphi)$   
**by** (*auto simp: neq-Nil-conv nth-Cons'*)

**lemma** *wf-interp-for-formula-any-Inr*: *wf-interp-for-formula* (*w*, *Inr P* # *I*)  $\varphi \Rightarrow$   
 $\forall P \subseteq \{0 .. \text{length } w - 1\}. \text{wf-interp-for-formula}(w, \text{Inr } P \# I) \varphi$   
**by** (*cases w*) (*auto simp: nth-Cons' split: if-split-asm*)

**lemma** *enc-word-length*: *enc* (*w*, *I*) = *enc* (*w'*, *I'*)  $\Rightarrow$  *length w* = *length w'*  
**by** (*auto elim: map-index-eq-imp-length-eq*)

**lemma** *enc-length*:

**assumes** *w*  $\neq []$  *enc* (*w*, *I*) = *enc* (*w'*, *I'*)  
**shows** *length I* = *length I'*  
**using assms**  
*length-map[of ( $\lambda x. \text{case } x \text{ of Inl } p \Rightarrow 0 = p \mid \text{Inr } P \Rightarrow 0 \in P$ ) I]*  
*length-map[of ( $\lambda x. \text{case } x \text{ of Inl } p \Rightarrow 0 = p \mid \text{Inr } P \Rightarrow 0 \in P$ ) I']*  
**by** (*induct rule: list-induct2[OF enc-word-length[OF assms(2)]]*) *auto*

**lemma** *wf-interp-for-formula-FOr*:

*wf-interp-for-formula* (*w*, *I*) (*FOr*  $\varphi_1 \varphi_2$ ) =  
 $(\text{wf-interp-for-formula}(w, I) \varphi_1 \wedge \text{wf-interp-for-formula}(w, I) \varphi_2)$   
**by** *auto*

**lemma** *wf-interp-for-formula-FAnd*:

*wf-interp-for-formula* (*w*, *I*) (*FAnd*  $\varphi_1 \varphi_2$ ) =  
 $(\text{wf-interp-for-formula}(w, I) \varphi_1 \wedge \text{wf-interp-for-formula}(w, I) \varphi_2)$

by auto

```

lemma enc-wf-interp:
  assumes wf-formula (length I)  $\varphi$  wf-interp-for-formula (w, I)  $\varphi$ 
  shows wf-interp-for-formula (dec-word (enc (w, I)), dec-interp (length I) (FOV
 $\varphi$ ) (enc (w, I)))  $\varphi$ 
  (is wf-interp-for-formula (-, ?dec)  $\varphi$ )
  unfolding dec-word-enc
proof -
  { fix i assume i:  $i \in FOV \varphi$ 
    with assms(2) have  $\exists p. I ! i = Inl p$  by (cases I ! i) auto
    with i assms have  $\exists !p. p < length (enc (w, I)) \wedge snd (enc (w, I) ! p) ! i$ 
      by (intro enc-unique[of w I i]) (auto intro!: bspec[OF max-idx-vars] split:
    sum.splits)
  } note * = this
  have  $\forall x \in set ?dec. case-sum (\lambda p. p < length w) (\lambda P. \forall p \in P. p < length w) x$ 
  proof (intro ballI, split sum.split, safe)
    fix p assume Inl p  $\in$  set ?dec
    thus  $p < length w$  using Inl-dec-interp-length[OF ballI[OF *]] by auto
  next
    fix p P assume Inr P  $\in$  set ?dec  $p \in P$ 
    thus  $p < length w$  using Inr-dec-interp-length by fastforce
  qed
  thus wf-interp-for-formula (w, ?dec)  $\varphi$ 
  using assms
    dec-interp-Inl[of - FOV  $\varphi$  length I enc (w, I), OF - bspec[OF max-idx-vars]]
    dec-interp-Inr[of - FOV  $\varphi$  length I enc (w, I), OF - bspec[OF max-idx-vars]]
  by (fastforce split: sum.splits)
qed

```

```

lemma enc-welldef:  $\llbracket enc (w, I) = enc (w', I'); wf-formula (length I) \varphi;$ 
   $wf-interp-for-formula (w, I) \varphi; wf-interp-for-formula (w', I') \varphi \rrbracket \implies$ 
  satisfies (w, I)  $\varphi \longleftrightarrow$  satisfies (w', I')  $\varphi$ 
proof (induction  $\varphi$  arbitrary: I I')
  case (FQ a m)
  let ?dec =  $\lambda w I. (dec-word (enc (w, I)), dec-interp (length I) (FOV (FQ a m)))$ 
  (enc (w, I)))
  from FQ(2,3) have satisfies (w, I) (FQ a m) = satisfies (?dec w I) (FQ a m)
  unfolding dec-word-enc
  using dec-interp-enc-Inl[of length I {m} w I m]
  by (auto intro: nth-mem dest: dec-interp-not-Inr split: sum.splits) (metis nth-mem) +
  moreover
  from FQ(3) have *:  $w \neq []$  by simp
  from FQ(2,4) have satisfies (w', I') (FQ a m) = satisfies (?dec w' I') (FQ a
  m)
  unfolding dec-word-enc enc-length[OF * FQ(1)]
  using dec-interp-enc-Inl[of length I' {m} w' I' m]
  by (auto dest: dec-interp-not-Inr split: sum.splits) (metis nth-mem) +
  ultimately show ?case unfolding FQ(1) enc-length[OF * FQ(1)] ..

```

```

next
  case (FLess m n)
    let ?dec =  $\lambda w I.$  (dec-word (enc (w, I)), dec-interp (length I) (FOV (FLess m n)) (enc (w, I)))
      from FLess(2,3) have satisfies (w, I) (FLess m n) = satisfies (?dec (TYPE ('a)) w I) (FLess m n)
        unfolding dec-word-enc
        using dec-interp-enc-Inl[of length I {m, n} w I m] dec-interp-enc-Inl[of length I {m, n} w I n]
          by (fastforce intro: nth-mem dest: dec-interp-not-Inr split: sum.splits)
    moreover
      from FLess(3) have *: w ≠ [] by simp
      from FLess(2,4) have satisfies (w', I') (FLess m n) = satisfies (?dec (TYPE ('a)) w' I') (FLess m n)
        unfolding dec-word-enc enc-length[OF * FLess(1)]
        using dec-interp-enc-Inl[of length I' {m, n} w' I' m] dec-interp-enc-Inl[of length I' {m, n} w' I' n]
          by (fastforce intro: nth-mem dest: dec-interp-not-Inr split: sum.splits)
      ultimately show ?case unfolding FLess(1) enc-length[OF * FLess(1)] ..
next
  case (FIn m M)
    let ?dec =  $\lambda w I.$  (dec-word (enc (w, I)), dec-interp (length I) (FOV (FIn m M)) (enc (w, I)))
      from FIn(2,3) have satisfies (w, I) (FIn m M) = satisfies (?dec (TYPE ('a)) w I) (FIn m M)
        unfolding dec-word-enc
        using dec-interp-enc-Inl[of length I {m} w I m] dec-interp-enc-Inr[of length I {m} w I M]
          by (auto dest: dec-interp-not-Inr dec-interp-not-Inl split: sum.splits) (metis nth-mem)+
    moreover
      from FIn(3) have *: w ≠ [] by simp
      from FIn(2,4) have satisfies (w', I') (FIn m M) = satisfies (?dec (TYPE ('a)) w' I') (FIn m M)
        unfolding dec-word-enc enc-length[OF * FIn(1)]
        using dec-interp-enc-Inl[of length I' {m} w' I' m] dec-interp-enc-Inr[of length I' {m} w' I' M]
          by (auto dest: dec-interp-not-Inr dec-interp-not-Inl split: sum.splits) (metis nth-mem)+
      ultimately show ?case unfolding FIn(1) enc-length[OF * FIn(1)] ..
next
  case (FOr φ1 φ2) show ?case unfolding satisfies.simps(5)
    proof (intro disj-cong)
      from FOr(3–6) show satisfies (w, I)  $\varphi_1 = \text{satisfies}$  (w', I')  $\varphi_1$ 
        by (intro FOr(1)) auto
    next
      from FOr(3–6) show satisfies (w, I)  $\varphi_2 = \text{satisfies}$  (w', I')  $\varphi_2$ 
        by (intro FOr(2)) auto
    qed

```

```

next
  case (FAnd  $\varphi_1 \varphi_2$ ) show ?case unfolding satisfies.simps(6)
    proof (intro conj-cong)
      from FAnd(3–6) show satisfies ( $w, I$ )  $\varphi_1 = \text{satisfies}$  ( $w', I'$ )  $\varphi_1$ 
        by (intro FAnd(1)) auto
next
  from FAnd(3–6) show satisfies ( $w, I$ )  $\varphi_2 = \text{satisfies}$  ( $w', I'$ )  $\varphi_2$ 
    by (intro FAnd(2)) auto
qed
next
  case (FExists  $\varphi$ )
  hence  $w \neq [] \ w' \neq []$  by auto
  hence length: length  $w = \text{length } w' \ \text{length } I = \text{length } I'$ 
    using enc-word-length[OF FExists.prems(1)] enc-length[OF - FExists.prems(1)]
  by auto
  show ?case
  proof
    assume satisfies ( $w, I$ ) (FExists  $\varphi$ )
    with FExists.prems(3) obtain  $p$  where  $p < \text{length } w$  satisfies ( $w, \text{Inl } p \# I$ )
     $\varphi$ 
      by (auto intro: ord-less-eq-trans[OF le-imp-less-Suc Suc-pred])
    moreover
      with FExists.prems have satisfies ( $w', \text{Inl } p \# I'$ )  $\varphi$ 
      proof (intro iffD1[OF FExists.IH[of Inl p # I Inl p # I']])
        from FExists.prems(2,3)  $\langle p < \text{length } w \rangle$  show wf-interp-for-formula ( $w, \text{Inl } p \# I$ )  $\varphi$ 
          by (blast dest: wf-interp-for-formula-FExists[of I, OF - (w \neq [])])
    next
      from FExists.prems(2,4)  $\langle p < \text{length } w \rangle$  show wf-interp-for-formula ( $w', \text{Inl } p \# I'$ )  $\varphi$ 
        unfolding length by (blast dest: wf-interp-for-formula-FExists[of I', OF - (w' \neq [])])
        qed (auto elim: enc-extend-interp simp del: enc.simps)
        ultimately show satisfies ( $w', I'$ ) (FExists  $\varphi$ ) using length by (auto intro!: exI[of - p])
    next
      assume satisfies ( $w', I'$ ) (FExists  $\varphi$ )
      with FExists.prems(1,2,4) obtain  $p$  where  $p < \text{length } w'$  satisfies ( $w', \text{Inl } p \# I'$ )  $\varphi$ 
        by (auto intro: ord-less-eq-trans[OF le-imp-less-Suc Suc-pred])
      moreover
        with FExists.prems have satisfies ( $w, \text{Inl } p \# I$ )  $\varphi$ 
        proof (intro iffD2[OF FExists.IH[of Inl p # I Inl p # I']])
          from FExists.prems(2,3)  $\langle p < \text{length } w' \rangle$  show wf-interp-for-formula ( $w, \text{Inl } p \# I$ )  $\varphi$ 
            unfolding length[symmetric] by (blast dest: wf-interp-for-formula-FExists[of I, OF - (w \neq [])])
        next
          from FExists.prems(2,4)  $\langle p < \text{length } w' \rangle$  show wf-interp-for-formula ( $w', \text{Inl }$ 

```

```

 $p \# I' \varphi$ 
  unfolding length by (blast dest: wf-interp-for-formula-FExists[of  $I'$ , OF -  $\langle w' \neq [] \rangle$ ])
    qed (auto elim: enc-extend-interp simp del: enc.simps)
    ultimately show satisfies ( $w, I$ ) (FExists  $\varphi$ ) using length by (auto intro!: exI[of -  $p$ ])
      qed
  next
    case (FEXISTS  $\varphi$ )
    hence  $w \neq []$   $w' \neq []$  by auto
    hence length: length  $w =$  length  $w'$  length  $I =$  length  $I'$ 
      using enc-word-length[OF FEXISTS.prems(1)] enc-length[OF - FEXISTS.prems(1)]
    by auto
    show ?case
    proof
      assume satisfies ( $w, I$ ) (FEXISTS  $\varphi$ )
      then obtain  $P$  where  $P: P \subseteq \{0 .. \text{length } w - 1\}$  satisfies ( $w, \text{Inr } P \# I$ )  $\varphi$ 
    by auto
    moreover
      with FEXISTS.prems have satisfies ( $w', \text{Inr } P \# I'$ )  $\varphi$ 
      proof (intro iffD1[OF FEXISTS.IH[of Inr  $P \# I$  Inr  $P \# I'$ ]])
        from FEXISTS.prems(2,3) P(1) show wf-interp-for-formula ( $w, \text{Inr } P \# I$ )  $\varphi$ 
          by (blast dest: wf-interp-for-formula-FEXISTS[of  $I$ , OF -  $\langle w \neq [] \rangle$ ])
      next
        from FEXISTS.prems(2,4) P(1) show wf-interp-for-formula ( $w', \text{Inr } P \# I'$ )  $\varphi$ 
          unfolding length by (blast dest: wf-interp-for-formula-FEXISTS[of  $I'$ , OF -  $\langle w' \neq [] \rangle$ ])
          qed (auto elim: enc-extend-interp simp del: enc.simps)
          ultimately show satisfies ( $w', I'$ ) (FEXISTS  $\varphi$ ) using length by (auto intro!: exI[of -  $P$ ])
      next
        assume satisfies ( $w', I'$ ) (FEXISTS  $\varphi$ )
        then obtain  $P$  where  $P: P \subseteq \{0 .. \text{length } w' - 1\}$  satisfies ( $w', \text{Inr } P \# I'$ )
      by auto
        moreover
          with FEXISTS.prems have satisfies ( $w, \text{Inr } P \# I$ )  $\varphi$ 
          proof (intro iffD2[OF FEXISTS.IH[of Inr  $P \# I$  Inr  $P \# I'$ ]])
            from FEXISTS.prems(2,3) P(1) show wf-interp-for-formula ( $w, \text{Inr } P \# I$ )  $\varphi$ 
              unfolding length[symmetric] by (blast dest: wf-interp-for-formula-FEXISTS[of  $I$ , OF -  $\langle w \neq [] \rangle$ ])
            next
              from FEXISTS.prems(2,4) P(1) show wf-interp-for-formula ( $w', \text{Inr } P \# I'$ )  $\varphi$ 
                unfolding length by (blast dest: wf-interp-for-formula-FEXISTS[of  $I'$ , OF -  $\langle w' \neq [] \rangle$ ])
                qed (auto elim: enc-extend-interp simp del: enc.simps)
  
```

```

ultimately show satisfies (w, I) (FEXISTS  $\varphi$ ) using length by (auto intro!
exI[of - P])
qed
qed auto

lemma langM2L-FOr:
assumes wf-formula n (FOr  $\varphi_1 \varphi_2$ )
shows langM2L n (FOr  $\varphi_1 \varphi_2$ )  $\subseteq$ 
  (langM2L n  $\varphi_1 \cup$  langM2L n  $\varphi_2$ )  $\cap$  {enc (w, I) | w I. length I = n  $\wedge$ 
wf-interp-for-formula (w, I) (FOr  $\varphi_1 \varphi_2$ )}
  (is -  $\subseteq$  (?L1  $\cup$  ?L2)  $\cap$  ?ENC)
proof (intro equalityI subsetI)
fix x assume x  $\in$  langM2L n (FOr  $\varphi_1 \varphi_2$ )
then obtain w I where
*: x = enc (w, I) wf-interp-for-formula (w, I) (FOr  $\varphi_1 \varphi_2$ ) length I = n length
w > 0 and
  satisfies (w, I)  $\varphi_1 \vee$  satisfies (w, I)  $\varphi_2$  unfolding langM2L-def by auto
thus x  $\in$  (?L1  $\cup$  ?L2)  $\cap$  ?ENC
proof (elim disjE)
assume satisfies (w, I)  $\varphi_1$ 
with * have x  $\in$  ?L1 using assms unfolding langM2L-def by (fastforce)
with * show ?thesis by auto
next
assume satisfies (w, I)  $\varphi_2$ 
with * have x  $\in$  ?L2 using assms unfolding langM2L-def by (fastforce)
with * show ?thesis by auto
qed
qed

lemma langM2L-FAnd:
assumes wf-formula n (FAnd  $\varphi_1 \varphi_2$ )
shows langM2L n (FAnd  $\varphi_1 \varphi_2$ )  $\subseteq$ 
  langM2L n  $\varphi_1 \cap$  langM2L n  $\varphi_2 \cap$  {enc (w, I) | w I. length I = n  $\wedge$  wf-interp-for-formula
(w, I) (FAnd  $\varphi_1 \varphi_2$ )}
  (is -  $\subseteq$  ?L1  $\cap$  ?L2  $\cap$  ?ENC)
using assms unfolding langM2L-def by (fastforce)

```

### 10.3 From M2L to Regular expressions

```

fun rexp-of :: nat  $\Rightarrow$  'a formula  $\Rightarrow$  ('a atom) rexp where
  rexp-of n (FQ a m) = Inter (TIMES [Full, Atom (AQ m a), Full]) (ENC n {m})
| rexp-of n (FLess m1 m2) = (if m1 = m2 then Zero else Inter
  (TIMES [Full, Atom (Arbitrary-Except m1 True), Full, Atom (Arbitrary-Except
  m2 True), Full])
  (ENC n {m1, m2}))
| rexp-of n (FIn m M) =
  Inter (TIMES [Full, Atom (Arbitrary-Except2 m M), Full]) (ENC n {m})
| rexp-of n (FNot  $\varphi$ ) = Inter (rexp.Not (rexp-of n  $\varphi$ )) (ENC n (FOV (FNot  $\varphi$ )))
| rexp-of n (FOr  $\varphi_1 \varphi_2$ ) = Inter (Plus (rexp-of n  $\varphi_1$ ) (rexp-of n  $\varphi_2$ )) (ENC n (FOV

```

```

(For  $\varphi_1 \varphi_2)))$ 
|  $\text{rexp-of } n (\text{FAnd } \varphi_1 \varphi_2) = \text{INTERSECT} [\text{rexp-of } n \varphi_1, \text{rexp-of } n \varphi_2, \text{ENC } n (\text{FOV } (\text{FAnd } \varphi_1 \varphi_2))]$ 
|  $\text{rexp-of } n (\text{FExists } \varphi) = \text{Pr} (\text{rexp-of } (n + 1) \varphi)$ 
|  $\text{rexp-of } n (\text{FEXISTS } \varphi) = \text{Pr} (\text{rexp-of } (n + 1) \varphi)$ 

```

```

fun  $\text{rexp-of-alt} :: \text{nat} \Rightarrow \text{'a formula} \Rightarrow (\text{'a atom}) \text{ rexpl where}$ 
   $\text{rexp-of-alt } n (\text{FQ } a m) = \text{TIMES} [\text{Full}, \text{Atom} (\text{AQ } m a), \text{Full}]$ 
  |  $\text{rexp-of-alt } n (\text{FLess } m1 m2) = (\text{if } m1 = m2 \text{ then Zero else}$ 
     $\text{TIMES} [\text{Full}, \text{Atom} (\text{Arbitrary-Except } m1 \text{ True}), \text{Full}, \text{Atom} (\text{Arbitrary-Except }$ 
 $m2 \text{ True}), \text{Full}]$ 
  |  $\text{rexp-of-alt } n (\text{FIn } m M) = \text{TIMES} [\text{Full}, \text{Atom} (\text{Arbitrary-Except2 } m M), \text{Full}]$ 
  |  $\text{rexp-of-alt } n (\text{FNot } \varphi) = \text{rexpl.Not} (\text{rexp-of-alt } n \varphi)$ 
  |  $\text{rexp-of-alt } n (\text{For } \varphi_1 \varphi_2) = \text{Plus} (\text{rexp-of-alt } n \varphi_1) (\text{rexp-of-alt } n \varphi_2)$ 
  |  $\text{rexp-of-alt } n (\text{FAnd } \varphi_1 \varphi_2) = \text{Inter} (\text{rexp-of-alt } n \varphi_1) (\text{rexp-of-alt } n \varphi_2)$ 
  |  $\text{rexp-of-alt } n (\text{FExists } \varphi) = \text{Pr} (\text{Inter} (\text{rexp-of-alt } (n + 1) \varphi) (\text{ENC } (n + 1) (\text{FOV } \varphi)))$ 
  |  $\text{rexp-of-alt } n (\text{FEXISTS } \varphi) = \text{Pr} (\text{Inter} (\text{rexp-of-alt } (n + 1) \varphi) (\text{ENC } (n + 1) (\text{FOV } \varphi)))$ 

```

**definition**  $\text{rexp-of}' n \varphi = \text{Inter} (\text{rexp-of-alt } n \varphi) (\text{ENC } n (\text{FOV } \varphi))$

```

fun  $\text{rexp-of-alt}' :: \text{nat} \Rightarrow \text{'a formula} \Rightarrow (\text{'a atom}) \text{ rexpl where}$ 
   $\text{rexp-of-alt}' n (\text{FQ } a m) = \text{TIMES} [\text{Full}, \text{Atom} (\text{AQ } m a), \text{Full}]$ 
  |  $\text{rexp-of-alt}' n (\text{FLess } m1 m2) = (\text{if } m1 = m2 \text{ then Zero else}$ 
     $\text{TIMES} [\text{Full}, \text{Atom} (\text{Arbitrary-Except } m1 \text{ True}), \text{Full}, \text{Atom} (\text{Arbitrary-Except }$ 
 $m2 \text{ True}), \text{Full}]$ 
  |  $\text{rexp-of-alt}' n (\text{FIn } m M) = \text{TIMES} [\text{Full}, \text{Atom} (\text{Arbitrary-Except2 } m M), \text{Full}]$ 
  |  $\text{rexp-of-alt}' n (\text{FNot } \varphi) = \text{rexpl.Not} (\text{rexp-of-alt}' n \varphi)$ 
  |  $\text{rexp-of-alt}' n (\text{For } \varphi_1 \varphi_2) = \text{Plus} (\text{rexp-of-alt}' n \varphi_1) (\text{rexp-of-alt}' n \varphi_2)$ 
  |  $\text{rexp-of-alt}' n (\text{FAnd } \varphi_1 \varphi_2) = \text{Inter} (\text{rexp-of-alt}' n \varphi_1) (\text{rexp-of-alt}' n \varphi_2)$ 
  |  $\text{rexp-of-alt}' n (\text{FExists } \varphi) = \text{Pr} (\text{Inter} (\text{rexp-of-alt}' (n + 1) \varphi) (\text{ENC } (n + 1) \{0\}))$ 
  |  $\text{rexp-of-alt}' n (\text{FEXISTS } \varphi) = \text{Pr} (\text{rexp-of-alt}' (n + 1) \varphi)$ 

```

**definition**  $\text{rexp-of}'' n \varphi = \text{Inter} (\text{rexp-of-alt}' n \varphi) (\text{ENC } n (\text{FOV } \varphi))$

```

theorem  $\text{lang}_{M2L}\text{-rexp-of}: \text{wf-formula } n \varphi \implies \text{lang}_{M2L} n \varphi = \text{lang } n (\text{rexp-of } n \varphi) - \{\emptyset\}$ 
  (is  $- \implies - = ?L n \varphi$ )
proof (induct  $\varphi$  arbitrary:  $n$ )
  case ( $\text{FQ } a m$ )
  show  $?case$ 
  proof (intro  $\text{equalityI}$   $\text{subsetI}$ )
    fix  $x$  assume  $x \in \text{lang}_{M2L} n (\text{FQ } a m)$ 
    then obtain  $w I$  where
       $*: x = \text{enc } (w, I) \text{ wf-interp-for-formula } (w, I) (\text{FQ } a m) \text{ satisfies } (w, I) (\text{FQ } a m)$ 
       $\text{length } I = n$ 

```

```

unfolding langM2L-def by blast
with FQ(1) obtain p where p: p < length w I ! m = Inl p w ! p = a
  by (auto simp: all-set-conv-all-nth split: sum.splits)
  with *(1) have x = take p (enc (w, I)) @ [enc-atom I p a] @ drop (p + 1)
    (enc (w, I))
    using id-take-nth-drop[of p enc (w, I)] by auto
  moreover from *(4) FQ(1) p(2)
    have [enc-atom I p a] ∈ lang n (Atom (AQ m a))
      by (intro enc-atom-lang-AQ) auto
  moreover from *(2,4) have take p (enc (w, I)) ∈ lang n (Full)
    by (auto intro!: enc-atom-σ dest!: in-set-takeD)
  moreover from *(2,4) have drop (Suc p) (enc (w, I)) ∈ lang n (Full)
    by (auto intro!: enc-atom-σ dest!: in-set-dropD)
  ultimately show x ∈ ?L n (FQ a m) using *(1,2,4)
  unfolding rexp-of.simps lang.simps(6,9) rexp-of-list.simps Int-Diff
    lang-ENC-formula[OF FQ, unfolded FOV.simps]
    by (auto elim: ssubst simp del: o-apply append.simps lang.simps)
next
fix x assume x: x ∈ ?L n (FQ a m)
with FQ obtain w I p where m: I ! m = Inl p m < length I and
  wI: x = enc (w, I) length I = n wf-interp-for-formula (w, I) (FQ a m)
  unfolding rexp-of.simps lang.simps lang-ENC-formula[OF FQ, unfolded
  FOV.simps] Int-Diff
  by atomize-elim (auto split: sum.splits)
  hence wf-interp-for-formula (dec-word x, dec-interp n {m} x) (FQ a m) unfolding wI(1)
    using enc-wf-interp[OF FQ(1)[folded wI(2)]] by auto
  moreover
  from x obtain u1 u u2 where x = u1 @ u @ u2 u ∈ lang n (Atom (AQ m
    a))
    unfolding rexp-of.simps lang.simps rexp-of-list.simps using concE by fast
  with FQ(1) obtain v where v: x = u1 @ [v] @ u2 snd v ! m fst v = a
    using AQ-D[of u n m a] by fastforce
  hence u: length u1 < length x by auto
  { from v have snd (x ! length u1) ! m by auto
    moreover
    from m wI have p < length x snd (x ! p) ! m
      by (fastforce intro: nth-mem split: sum.splits)+
    moreover
    from m wI have ex1: ∃!p. p < length x ∧ snd (x ! p) ! m unfolding wI(1)
    by (intro enc-unique) auto
    ultimately have p = length u1 using u by auto
  } note *=this
  from v have v = enc (w, I) ! length u1 unfolding wI(1) by simp
  hence a = w ! length u1 using nth-map[OF u, of fst] unfolding wI(1)
  v(3)[symmetric] by auto
  with * m wI have satisfies (dec-word x, dec-interp n {m} x) (FQ a m)
  unfolding dec-word-enc[of w I, folded wI(1)]
  by (auto simp del: enc.simps dest: dec-interp-not-Inr split: sum.splits)

```

```

(fastforce dest!: dec-interp-enc-Inl intro: nth-mem split: sum.splits)
moreover from wI have wf-word n x unfolding wf-word by (auto intro!
enc-atom- $\sigma$ )
ultimately show x  $\in$  langM2L n (FQ a m) unfolding langM2L-def using m
wI(3)
by (auto simp del: enc.simps intro!: exI[of - dec-word x] exI[of - dec-interp n
{m} x]
intro: sym[OF enc-dec[OF - ballI[OF impI[OF enc-unique[of w I, folded
wI(1)]]]])
qed
next
case (FLess m m')
show ?case
proof (cases m = m')
case False
thus ?thesis
proof (intro equalityI subsetI)
fix x assume x  $\in$  langM2L n (FLess m m')
then obtain w I where
*: x = enc (w, I) wf-interp-for-formula (w, I) (FLess m m') satisfies (w, I)
(FLess m m')
length I = n
unfolding langM2L-def by blast
with FLess(1) obtain p q where pq: p < length w I ! m = Inl p q < length
w I ! m' = Inl q p < q
by (auto simp: all-set-conv-all-nth split: sum.splits)
with *(1) have x = take p (enc (w, I)) @ [enc-atom I p (w ! p)] @ drop (p
+ 1) (enc (w, I))
using id-take-nth-drop[of p enc (w, I)] by auto
also have drop (p + 1) (enc (w, I)) = take (q - p - 1) (drop (p + 1) (enc
(w, I))) @
[enc-atom I q (w ! q)] @ drop (q - p) (drop (p + 1) (enc (w, I))) (is - =
?LHS)
using id-take-nth-drop[of q - p - 1 drop (p + 1) (enc (w, I))] pq by auto
finally have x = take p (enc (w, I)) @ [enc-atom I p (w ! p)] @ ?LHS .
moreover from *(2,4) FLess(1) pq(1,2)
have [enc-atom I p (w ! p)]  $\in$  lang n (Atom (Arbitrary-Except m True))
by (intro enc-atom-lang-Arbitrary-Except-True) auto
moreover from *(2,4) FLess(1) pq(3,4)
have [enc-atom I q (w ! q)]  $\in$  lang n (Atom (Arbitrary-Except m' True))
by (intro enc-atom-lang-Arbitrary-Except-True) auto
moreover from *(2,4) have take p (enc (w, I))  $\in$  lang n (Full)
by (auto intro!: enc-atom- $\sigma$  dest!: in-set-takeD)
moreover from *(2,4) have take (q - p - 1) (drop (Suc p) (enc (w, I)))
 $\in$  lang n (Full)
by (auto intro!: enc-atom- $\sigma$  dest!: in-set-dropD)
moreover from *(2,4) have drop (q - p) (drop (Suc p) (enc (w, I)))  $\in$  lang
n (Full)
by (auto intro!: enc-atom- $\sigma$  dest!: in-set-dropD)

```

```

ultimately show  $x \in ?L n (FLess m m')$  using  $*(1,2,4)$ 
  unfolding  $rexp-of.simps lang.simps(6,9) rexp-of-list.simps Int-Diff$ 
     $lang\text{-}ENC\text{-}formula[OF FLess, unfolded FOV.simps] if-not-P[OF False]$ 
    by (auto elim: ssubst simp del: o-apply append.simps lang.simps)
next
fix  $x$  assume  $x: x \in ?L n (FLess m m')$ 
with  $FLess$  obtain  $w I$  where
   $wI: x = enc(w, I) length I = n wf\text{-}interp\text{-}for\text{-}formula(w, I) (FLess m m')$ 
  unfolding  $rexp-of.simps lang.simps lang\text{-}ENC\text{-}formula[OF FLess, unfolded$ 
 $FOV.simps] Int-Diff$ 
   $if\text{-}not\text{-}P[OF False]$ 
  by (fastforce split: sum.splits)
with  $FLess$  obtain  $p p'$  where  $m: I ! m = Inl p m < length I I ! m' = Inl$ 
 $p' m' < length I$ 
  by (auto split: sum.splits)
  with  $wI$  have  $wf\text{-}interp\text{-}for\text{-}formula(dec-word x, dec-interp n \{m, m'\} x)$ 
( $FLess m m'$ ) unfolding  $wI(1)$ 
  using  $enc\text{-}wf\text{-}interp[OF FLess(1)[folded wI(2)]]$  by auto
moreover
from  $x$  obtain  $u1 u u2 u' u3$  where  $x = u1 @ u @ u2 @ u' @ u3$ 
   $u \in lang n (Atom (Arbitrary-Except m True))$ 
   $u' \in lang n (Atom (Arbitrary-Except m' True))$ 
  unfolding  $rexp-of.simps lang.simps rexp-of-list.simps if-not-P[OF False]$ 
using  $concE$  by fast
  with  $FLess(1)$  obtain  $v v'$  where  $v: x = u1 @ [v] @ u2 @ [v'] @ u3$ 
 $snd v ! m$ 
  using  $Arbitrary\text{-}ExceptD[of u n m True] Arbitrary\text{-}ExceptD[of u' n m' True]$ 
by fastforce
  hence  $u: length u1 < length x$  and  $u': Suc (length u1 + length u2) < length$ 
 $x$  (is  $?u' < -$ ) by auto
  { from  $v$  have  $snd(x ! length u1) ! m$  by auto
  moreover
  from  $m wI$  have  $p < length x snd (x ! p) ! m$ 
    by (fastforce intro: nth-mem split: sum.splits)+
  moreover
  from  $m wI$  have  $ex1: \exists !p. p < length x \wedge snd(x ! p) ! m$  unfolding  $wI(1)$ 
by (intro enc-unique) auto
  ultimately have  $p = length u1$  using  $u$  by auto
}
{ from  $v$  have  $snd(x ! ?u') ! m'$  by (auto simp: nth-append)
  moreover
  from  $m wI$  have  $p' < length x snd (x ! p') ! m'$ 
    by (fastforce intro: nth-mem split: sum.splits)+
  moreover
  from  $m wI$  have  $ex1: \exists !p. p < length x \wedge snd(x ! p) ! m'$  unfolding  $wI(1)$ 
by (intro enc-unique) auto
  ultimately have  $p' = ?u'$  using  $u'$  by auto
} note  $* = this \langle p = length u1 \rangle$ 
with  $* m wI$  have satisfies (dec-word x, dec-interp n {m, m'}) x) ( $FLess m$ 

```

$m')$   
**unfolding** *dec-word-enc*[of  $w I$ , folded  $wI(1)$ ]  
**by** (auto simp del: *enc.simps dest: dec-interp-not-Inr split: sum.splits*)  
    (*fastforce dest!: dec-interp-enc-Inl intro: nth-mem split: sum.splits*)  
**moreover from**  $wI$  **have** *wf-word n x unfolding wf-word by* (auto intro!:  
*enc-atom- $\sigma$* )  
    **ultimately show**  $x \in lang_{M2L} n (FLess m m')$  **unfolding** *lang<sub>M2L</sub>-def*  
**using**  $m wI(3)$   
    **by** (auto simp del: *enc.simps intro!: exI[of - dec-word x] exI[of - dec-interp*  
 $n \{m, m'\} x]$   
        *intro: sym[OF enc-dec[OF - ballI[OF impI[OF enc-unique[of w I, folded*  
 $wI(1)]]]])$   
    **qed**  
**qed** (*simp add: lang<sub>M2L</sub>-def del: o-apply*)  
**next**  
**case** (*FIn m M*)  
**show** ?*case*  
**proof** (*intro equalityI subsetI*)  
**fix**  $x$  **assume**  $x \in lang_{M2L} n (FIn m M)$   
**then obtain**  $w I$  **where**  
    \*:  $x = enc (w, I)$  *wf-interp-for-formula (w, I) (FIn m M) satisfies (w, I)*  
(*FIn m M*)  
    *length I = n*  
    **unfolding** *lang<sub>M2L</sub>-def* **by** *blast*  
    **with** *FIn(1)* **obtain**  $p P$  **where**  $p < length w I ! m = Inl p I ! M = Inr P$   
 $p \in P$   
    **by** (auto simp: all-set-conv-all-nth split: sum.splits)  
    **with** \*(1) **have**  $x = take p (enc (w, I)) @ [enc-atom I p (w ! p)] @ drop (p +$   
1) (*enc (w, I)*)  
    **using** *id-take-nth-drop[of p enc (w, I)]* **by** *auto*  
    **moreover**  
**from** \*(2,4) *FIn(1) p have* [*enc-atom I p (w ! p)*]  $\in lang n (Atom (Arbitrary-Except2  
 $m M))$   
    **by** (*intro enc-atom-lang-Arbitrary-Except2*) *auto*  
    **moreover from** *(2,4) **have** *take p (enc (w, I))*  $\in lang n (Full)$   
    **by** (auto intro!: *enc-atom- $\sigma$  dest!: in-set-takeD*)  
    **moreover from** *(2,4) **have** *drop (Suc p) (enc (w, I))*  $\in lang n (Full)$   
    **by** (auto intro!: *enc-atom- $\sigma$  dest!: in-set-dropD*)  
    **ultimately show**  $x \in ?L n (FIn m M)$  **using** *(1,2,4)  
    **unfolding** *rexp-of.simps lang.simps(6,9) rexpo-list.simps Int-Diff*  
        *lang-ENC-formula[OF FIn, unfolded FOV.simps]*  
    **by** (auto elim: *ssubst simp del: o-apply append.simps lang.simps*)  
**next**  
**fix**  $x$  **assume**  $x: x \in ?L n (FIn m M)$   
**with** *FIn obtain*  $w I$  **where**  $wI: x = enc (w, I)$  *length I = n wf-interp-for-formula*  
 $(w, I)$  (*FIn m M*)  
    **unfolding** *rexpo-of.simps lang.simps lang-ENC-formula[OF FIn, unfolded*  
*FOV.simps]* *Int-Diff*  
    **by** (*fastforce split: sum.splits*)$

```

with  $FIn$  obtain  $p P$  where  $m: I ! m = Inl p m < length I I ! M = Inr P M$ 
<  $length I$  by (auto split: sum.splits)
with  $wI$  have wf-interp-for-formula (dec-word  $x$ , dec-interp  $n \{m\} x$ ) ( $FIn m$ 
 $M$ ) unfolding  $wI(1)$ 
  using enc-wf-interp[ $OF FIn(1)[folded wI(2)]$ ] by auto
moreover
from  $x$  obtain  $u1 u u2$  where  $x = u1 @ u @ u2$ 
 $u \in lang n$  (Atom (Arbitrary-Except2  $m M$ ))
unfolding rexp-of.simps lang.simps rexp-of-list.simps using concE by fast
with  $FIn(1)$  obtain  $v$  where  $v: x = u1 @ [v] @ u2$   $snd v ! m$   $snd v ! M$ 
  using Arbitrary-Except2D[of  $u n m M$ ] by fastforce
from  $v$  have  $u: length u1 < length x$  by auto
{ from  $v$  have  $snd (x ! length u1) ! m$  by auto
  moreover
  from  $m wI$  have  $p < length x$   $snd (x ! p) ! m$ 
    by (fastforce intro: nth-mem split: sum.splits)+
  moreover
  from  $m wI$  have  $ex1: \exists!p. p < length x \wedge snd (x ! p) ! m$  unfolding  $wI(1)$ 
by (intro enc-unique) auto
ultimately have  $p = length u1$  using  $u$  by auto
} note  $* = this$ 
from  $v$  have  $v = enc(w, I) ! length u1$  unfolding  $wI(1)$  by simp
with  $v(3) m(3,4) u wI(1)$  have  $length u1 \in P$  by auto
with  $* m wI$  have satisfies (dec-word  $x$ , dec-interp  $n \{m\} x$ ) ( $FIn m M$ )
  unfolding dec-word-enc[of  $w I$ , folded  $wI(1)$ ]
  by (auto simp del: enc.simps dest: dec-interp-not-Inr dec-interp-not-Inl split:
sum.splits)
  (auto simp del: enc.simps dest!: dec-interp-enc-Inl dec-interp-enc-Inr dest:
nth-mem split: sum.splits)
moreover from  $wI$  have wf-word  $n x$  unfolding wf-word by (auto intro!:
enc-atom- $\sigma$ )
ultimately show  $x \in lang_{M2L} n$  ( $FIn m M$ ) unfolding  $lang_{M2L}\text{-def}$  using
 $m wI(3)$ 
  by (auto simp del: enc.simps intro!: exI[of - dec-word  $x$ ] exI[of - dec-interp  $n \{m\} x$ ]
  intro: sym[ $OF enc-dec[OF - ballI[OF impI[OF enc-unique[of w I, folded$ 
 $wI(1)]]]]$ ])
qed
next
case (For  $\varphi_1 \varphi_2$ )
from For(3) have IH1:  $lang_{M2L} n \varphi_1 = lang n$  (rexp-of  $n \varphi_1$ ) - {[]}
  by (intro For(1)) auto
from For(3) have IH2:  $lang_{M2L} n \varphi_2 = lang n$  (rexp-of  $n \varphi_2$ ) - {[]}
  by (intro For(2)) auto
show ?case
proof (intro equalityI subsetI)
fix  $x$  assume  $x \in lang_{M2L} n$  (For  $\varphi_1 \varphi_2$ ) thus  $x \in lang n$  (rexp-of  $n$  (For
 $\varphi_1 \varphi_2$ ) - {[]})
  using langM2L-For[ $OF For(3)$ ] unfolding lang-ENC-formula[ $OF For(3)$ ]

```

```

rexp-of.simps lang.simps
IH1 IH2 Int-Diff by auto
next
fix x assume x ∈ lang n (rexp-of n (FOr φ1 φ2)) – {[]}
then obtain w I where or: x ∈ langM2L n φ1 ∨ x ∈ langM2L n φ2 and wI:
x = enc (w, I) length I = n
wf-interp-for-formula (w, I) (FOr φ1 φ2)
unfolding lang-ENC-formula[OF FOr(3)] rexp-of.simps lang.simps IH1 IH2
Int-Diff by auto
have satisfies (w, I) φ1 ∨ satisfies (w, I) φ2
proof (intro mp[OF disj-mono[OF impI impI] or])
assume x ∈ langM2L n φ1
with wI(2,3) FOr(3) show satisfies (w, I) φ1
unfolding langM2L-def wI(1) wf-interp-for-formula-FOr
by (auto simp del: enc.simps dest!: iffD2[OF enc-welldef[of ---- φ1]])
next
assume x ∈ langM2L n φ2
with wI(2,3) FOr(3) show satisfies (w, I) φ2
unfolding langM2L-def wI(1) wf-interp-for-formula-FOr
by (auto simp del: enc.simps dest!: iffD2[OF enc-welldef[of ---- φ2]])
qed
with wI show x ∈ langM2L n (FOr φ1 φ2) unfolding langM2L-def by auto
qed
next
case (FAnd φ1 φ2)
from FAnd(3) have IH1: langM2L n φ1 = lang n (rexp-of n φ1) – {[]}
by (intro FAnd(1)) auto
from FAnd(3) have IH2: langM2L n φ2 = lang n (rexp-of n φ2) – {[]}
by (intro FAnd(2)) auto
show ?case
proof (intro equalityI subsetI)
fix x assume x ∈ langM2L n (FAnd φ1 φ2) thus x ∈ lang n (rexp-of n (FAnd
φ1 φ2)) – {[]}
using langM2L-FAnd[OF FAnd(3)] unfolding lang-ENC-formula[OF FAnd(3)]
rexp-of.simps
rexp-of-list.simps lang.simps IH1 IH2 Int-Diff by auto
next
fix x assume x ∈ lang n (rexp-of n (FAnd φ1 φ2)) – {[]}
then obtain w I where and: x ∈ langM2L n φ1 ∧ x ∈ langM2L n φ2 and
wI: x = enc (w, I) length I = n
wf-interp-for-formula (w, I) (FAnd φ1 φ2)
unfolding lang-ENC-formula[OF FAnd(3)] rexp-of.simps rexp-of-list.simps
lang.simps IH1 IH2
Int-Diff by auto
have satisfies (w, I) φ1 ∧ satisfies (w, I) φ2
proof (intro mp[OF conj-mono[OF impI impI] and])
assume x ∈ langM2L n φ1
with wI(2,3) FAnd(3) show satisfies (w, I) φ1
unfolding langM2L-def wI(1) wf-interp-for-formula-FAnd

```

```

by (auto simp del: enc.simps dest!: iffD2[OF enc-welldef[of --- φ1]])
next
  assume x ∈ langM2L n φ2
  with wI(2,3) FAnd(3) show satisfies (w, I) φ2
    unfolding langM2L-def wI(1) wf-interp-for-formula-FAnd
    by (auto simp del: enc.simps dest!: iffD2[OF enc-welldef[of --- φ2]])
qed
with wI show x ∈ langM2L n (FAnd φ1 φ2) unfolding langM2L-def by auto
qed
next
case (FNot φ)
hence IH: ?L n φ = langM2L n φ by simp
show ?case
proof (intro equalityI subsetI)
fix x assume x ∈ langM2L n (FNot φ)
then obtain w I where
  #: x = enc (w, I) wf-interp-for-formula (w, I) φ length I = n length w > 0
  and unsat: ¬ (satisfies (w, I) φ)
  unfolding langM2L-def by auto
{ assume x ∈ ?L n φ
  with IH have satisfies (w, I) φ using enc-welldef[of -- w I φ, OF --- *(2)]
FNot(2)
  unfolding *(1,3) langM2L-def by auto
}
with unsat have x ∉ ?L n φ by blast
with * show x ∈ ?L n (FNot φ) unfolding rexp-of.simps lang.simps
using lang-ENC-formula[OF FNot(2)] by (auto simp: comp-def intro: enc-atom-σ)
next
fix x assume x ∈ ?L n (FNot φ)
with IH have x ∈ lang n (ENC n (FOV (FNot φ))) - {[]} and x: x ∉ langM2L
n φ by (auto simp del: o-apply)
then obtain w I where #: x = enc (w, I) wf-interp-for-formula (w, I) (FNot
φ) length I = n
  unfolding lang-ENC-formula[OF FNot(2)] by blast
{ assume ¬ satisfies (w, I) (FNot φ)
  with * have x ∈ langM2L n φ unfolding langM2L-def by auto
}
with x * show x ∈ langM2L n (FNot φ) unfolding langM2L-def by blast
qed
next
case (FExists φ)
show ?case
proof (intro equalityI subsetI)
fix x assume x ∈ langM2L n (FExists φ)
then obtain w I p where
  #: x = enc (w, I) wf-interp-for-formula (w, I) (FExists φ)
  length I = n length w > 0 p ∈ {0 .. length w - 1} satisfies (w, Inl p # I) φ
  unfolding langM2L-def by auto
with FExists(2) have enc (w, Inl p # I) ∈ ?L (Suc n) φ

```

```

    by (intro subsetD[OF equalityD1[OF FExists(1)], of Suc n enc (w, Inl p # I)])
        (auto simp: langM2L-def nth-Cons' ord-less-eq-trans[OF le-imp-less-Suc
Suc-pred[OF *(4)]]
        split: if-split-asm sum.splits intro!: exI[of - w] exI[of - Inl p # I])
    with *(1) show x ∈ ?L n (FExists φ)
        by (auto simp: map-index intro!: image-eqI[of - map π] simp del: o-apply)
    (auto simp: π-def)
    next
    fix x assume x ∈ ?L n (FExists φ)
    then obtain x' where x: x = map π x' and x' ∈ ?L (Suc n) φ by (auto simp
del: o-apply)
    with FExists(2) have x' ∈ langM2L (Suc n) φ
        by (intro subsetD[OF equalityD2[OF FExists(1)], of Suc n x'])
            (auto split: if-split-asm sum.splits)
    then obtain w I' where
        #: x' = enc (w, I') wf-interp-for-formula (w, I') φ length I' = Suc n satisfies
(w, I') φ
        unfolding langM2L-def by auto
    moreover then obtain I₀ I where I' = I₀ # I by (cases I') auto
    moreover with FExists(2) *(2) obtain p where I₀ = Inl p p < length w
        by (auto simp: nth-Cons' split: sum.splits if-split-asm)
    ultimately have x = enc (w, I) wf-interp-for-formula (w, I) (FExists φ) length
I = n
        length w > 0 satisfies (w, I) (FExists φ) using FExists(2) unfolding x
        by (auto simp: map-tl nth-Cons' split: if-split-asm simp del: o-apply) (auto
simp: π-def)
        thus x ∈ langM2L n (FExists φ) unfolding langM2L-def by (auto intro!: exI[of
- w] exI[of - I])
    qed
    next
    case (FEXISTS φ)
    show ?case
    proof (intro equalityI subsetI)
        fix x assume x ∈ langM2L n (FEXISTS φ)
        then obtain w I P where
            #: x = enc (w, I) wf-interp-for-formula (w, I) (FEXISTS φ)
            length I = n length w > 0 P ⊆ {0 .. length w - 1} satisfies (w, Inr P # I)
φ
            unfolding langM2L-def by auto
        from *(4,5) have ∀ p ∈ P. p < length w by (cases w) auto
        with *(2-4,6) FEXISTS(2) have enc (w, Inr P # I) ∈ ?L (Suc n) φ
            by (intro subsetD[OF equalityD1[OF FEXISTS(1)], of Suc n enc (w, Inr P
# I)])
                (auto simp: langM2L-def nth-Cons' split: if-split-asm sum.splits
                intro!: exI[of - w] exI[of - Inr P # I])
        with *(1) show x ∈ ?L n (FEXISTS φ)
            by (auto simp: map-index intro!: image-eqI[of - map π] simp del: o-apply)
    (auto simp: π-def)

```

**next**

```
fix x assume x ∈ ?L n (FEXISTS φ)
then obtain x' where x: x = map π x' and x': length x' > 0 and x' ∈ ?L
(Suc n) φ by (auto simp del: o-apply)
with FEXISTS(2) have x' ∈ langM2L (Suc n) φ
by (intro subsetD[OF equalityD2[OF FEXISTS(1)], of Suc n x'])
(auto split: if-split-asm sum.splits)
then obtain w I' where
*: x' = enc (w, I') wf-interp-for-formula (w, I') φ length I' = Suc n satisfies
(w, I') φ
unfolding langM2L-def by auto
moreover then obtain I₀ I where I' = I₀ # I by (cases I') auto
moreover with FEXISTS(2) *(2) obtain P where I₀ = Inr P
by (auto simp: nth-Cons' split: sum.splits if-split-asm)
moreover have length w ≥ 1 using x' *(1) by (cases w) auto
ultimately have x = enc (w, I) wf-interp-for-formula (w, I) (FEXISTS φ)
length I = n
length w > 0 satisfies (w, I) (FEXISTS φ) using FEXISTS(2) unfolding x
by (auto simp add: map-tl nth-Cons' split: if-split-asm
intro!: exI[of - P] simp del: o-apply) (auto simp: π-def)
thus x ∈ langM2L n (FEXISTS φ) unfolding langM2L-def by (auto intro!:
exI[of - w] exI[of - I])
qed
qed
```

**lemma** wf-rexp-of: wf-formula n φ  $\Rightarrow$  wf n (rexp-of n φ)  
by (induct φ arbitrary: n) (auto intro: wf-rexp-ENC simp: finite-FOV max-idx-vars)

**lemma** wf-rexp-of-alt: wf-formula n φ  $\Rightarrow$  wf n (rexp-of-alt n φ)  
by (induct φ arbitrary: n) (auto simp: wf-rexp-ENC finite-FOV max-idx-vars)

**lemma** wf-rexp-of': wf-formula n φ  $\Rightarrow$  wf n (rexp-of' n φ)  
unfolding rexp-of'-def by (auto simp: wf-rexp-ENC wf-rexp-of-alt finite-FOV
max-idx-vars)

**lemma** wf-rexp-of-alt': wf-formula n φ  $\Rightarrow$  wf n (rexp-of-alt' n φ)  
by (induct φ arbitrary: n) (auto simp: wf-rexp-ENC)

**lemma** wf-rexp-of'': wf-formula n φ  $\Rightarrow$  wf n (rexp-of'' n φ)  
unfolding rexp-of''-def by (auto simp: wf-rexp-ENC wf-rexp-of-alt' finite-FOV
max-idx-vars)

**lemma** ENC-Not: ENC n (FOV (FNot φ)) = ENC n (FOV φ)  
unfolding ENC-def by auto

**lemma** ENC-And:  
wf-formula n (FAnd φ ψ)  $\Rightarrow$  lang n (ENC n (FOV (FAnd φ ψ))) − {[]} ⊆ lang
n (ENC n (FOV φ)) ∩ lang n (ENC n (FOV ψ)) − {[]}

**proof**

```

fix x assume wf: wf-formula n (FAnd φ ψ) and x: x ∈ lang n (ENC n (FOV
(FAnd φ ψ))) – {[]}
hence wf1: wf-formula n φ and wf2: wf-formula n ψ by auto
from x obtain w I where wI: x = enc (w, I) wf-interp-for-formula (w, I)
(FAnd φ ψ) length I = n
using lang-ENC-formula[OF wf] by blast
hence wf-interp-for-formula (w, I) φ wf-interp-for-formula (w, I) ψ
unfolding wf-interp-for-formula-FAnd by auto
hence x ∈ (lang n (ENC n (FOV φ)) – {[]}) ∩ (lang n (ENC n (FOV ψ)) –
{[]})
unfolding lang-ENC-formula[OF wf1] lang-ENC-formula[OF wf2] using wI
by auto
thus x ∈ lang n (ENC n (FOV φ)) ∩ lang n (ENC n (FOV ψ)) – {[]} by blast
qed

```

**lemma** ENC-Or:

wf-formula n (For φ ψ)  $\implies$  lang n (ENC n (FOV (For φ ψ))) – {[]}  $\subseteq$  lang
n (ENC n (FOV φ)) ∩ lang n (ENC n (FOV ψ)) – {[]}

**proof**

```

fix x assume wf: wf-formula n (For φ ψ) and x: x ∈ lang n (ENC n (FOV
(For φ ψ))) – {[]}
hence wf1: wf-formula n φ and wf2: wf-formula n ψ by auto
from x obtain w I where wI: x = enc (w, I) wf-interp-for-formula (w, I) (For
φ ψ) length I = n
using lang-ENC-formula[OF wf] by blast
hence wf-interp-for-formula (w, I) φ wf-interp-for-formula (w, I) ψ
unfolding wf-interp-for-formula-For by auto
hence x ∈ (lang n (ENC n (FOV φ)) – {[]}) ∩ (lang n (ENC n (FOV ψ)) –
{[]})
unfolding lang-ENC-formula[OF wf1] lang-ENC-formula[OF wf2] using wI
by auto
thus x ∈ lang n (ENC n (FOV φ)) ∩ lang n (ENC n (FOV ψ)) – {[]} by blast
qed

```

**lemma** project-enc: map π (enc (w, x # I)) = enc (w, I)

**unfolding** π-def **by auto**

**lemma** list-list-eqI:

```

assumes ∀(–, x) ∈ set xs. x ≠ [] ∀(–, y) ∈ set ys. y ≠ []
map (λ(–, x). hd x) xs = map (λ(–, x). hd x) ys map π xs = map π ys
shows xs = ys

```

**proof** –

```

from assms(4) have length xs = length ys by (metis length-map)
then show ?thesis using assms by (induct rule: list-induct2) (auto simp: π-def
neq-Nil-conv)
qed

```

**lemma** project-enc-extend:

**assumes** map π x = enc (w, I)  $\forall(–, x) \in \text{set } x. x \neq []$

```

shows  $x = enc(w, Inr(positions-in-row x 0) \# I)$ 
proof –
  from arg-cong[ $OF assms(1)$ , of map fst] have  $w: w = map fst x$  by (auto simp:  $\pi\text{-def}$ )
    show ?thesis
    proof (rule list-list-eqI[ $OF assms(2)$ ], unfold project-enc)
      show  $map(\lambda(-, x). hd x) x = map(\lambda(-, x). hd x)(enc(w, Inr(positions-in-row x 0) \# I))$ 
        using assms(2) unfolding enc.simps map-index positions-in-row w
        by (intro nth-equalityI) (auto dest!: nth-mem simp: hd-conv-nth)
      qed (auto simp: assms(1))
  qed

lemma ENC-Exists:
 $wf\text{-formula } n(F\text{Exists } \varphi) \implies lang(n)(ENC(n)(FOV(F\text{Exists } \varphi))) - \{\emptyset\} = map\pi`lang(Suc(n))(ENC(Suc(n))(FOV \varphi)) - \{\emptyset\}$ 
proof (intro equalityI subsetI)
  fix  $x$  assume  $wf: wf\text{-formula } n(F\text{Exists } \varphi)$  and  $x: x \in lang(n)(ENC(n)(FOV(F\text{Exists } \varphi))) - \{\emptyset\}$ 
  hence  $wf1: wf\text{-formula } (Suc(n)) \varphi$  by auto
  from  $x$  obtain  $w I$  where  $wI: x = enc(w, I)$  wf-interp-for-formula  $(w, I)(F\text{Exists } \varphi)$  length  $I = n$ 
    using lang-ENC-formula[ $OF wf$ ] by blast
    with  $x$  have  $w \neq \emptyset$  by (cases w) auto
    from  $wI(2)$  obtain  $p$  where  $p < length w$  wf-interp-for-formula  $(w, Inl p \# I)$ 
     $\varphi$ 
    using wf-interp-for-formula-FExists[ $OF wf[folded wI(3)] \langle w \neq \emptyset \rangle$ ] by auto
    with  $wI(3)$  have  $x \in map\pi`lang(Suc(n))(ENC(Suc(n))(FOV \varphi)) - \{\emptyset\}$ 
    unfolding  $wI(1)$  lang-ENC-formula[ $OF wf1$ ] project-enc[symmetric, of  $w I Inl p$ ]
     $p]$ 
    by (intro imageI CollectI exI[of - w] exI[of - Inl p # I]) auto
    thus  $x \in map\pi`lang(Suc(n))(ENC(Suc(n))(FOV \varphi)) - \{\emptyset\}$  by blast
  next
    fix  $x$  assume  $wf: wf\text{-formula } n(F\text{Exists } \varphi)$  and  $x \in map\pi`lang(Suc(n))(ENC(Suc(n))(FOV \varphi)) - \{\emptyset\}$ 
    hence  $wf1: wf\text{-formula } (Suc(n)) \varphi$  and  $0 \in FOV \varphi$  and  $x: x \in map\pi`lang(Suc(n))(ENC(Suc(n))(FOV \varphi)) - \{\emptyset\}$  by auto
    from  $x$  obtain  $w I$  where  $wI: x = map\pi(enc(w, I))$  wf-interp-for-formula  $(w, I)\varphi$  length  $I = Suc n$ 
      using lang-ENC-formula[ $OF wf1$ ] by auto
      with  $\langle 0 \in FOV \varphi \rangle$  obtain  $p I'$  where  $I: I = Inl p \# I'$  by (cases I) (fastforce split: sum.splits)+
      with  $wI$  have  $wI: x = enc(w, I')$  length  $I' = n$  unfolding  $\pi\text{-def}$  by auto
      with  $x$  have  $w \neq \emptyset$  by (cases w) auto
      have wf-interp-for-formula  $(w, I') (F\text{Exists } \varphi)$ 
      using wf-interp-for-formula-FExists[ $OF wf[folded wI(2)] \langle w \neq \emptyset \rangle$ ]
        wf-interp-for-formula-any-Inl[ $OF wI(2)[unfolded I]$ ] ..
      with  $wI$  show  $x \in lang(n)(ENC(n)(FOV(F\text{Exists } \varphi))) - \{\emptyset\}$  unfolding lang-ENC-formula[ $OF wf$ ] by blast

```

qed

**lemma** ENC-EXISTS:

wf-formula  $n$  (FEXISTS  $\varphi$ )  $\implies$  lang  $n$  (ENC  $n$  (FOV (FEXISTS  $\varphi$ ))) - {[]} = map  $\pi$  ‘ lang (Suc  $n$ ) (ENC (Suc  $n$ ) (FOV  $\varphi$ )) - {[]}

**proof** (intro equalityI subsetI)

fix  $x$  assume wf: wf-formula  $n$  (FEXISTS  $\varphi$ ) and  $x: x \in$  lang  $n$  (ENC  $n$  (FOV (FEXISTS  $\varphi$ ))) - {[]}

hence wf1: wf-formula (Suc  $n$ )  $\varphi$  by auto

from  $x$  obtain  $w I$  where  $wI: x = enc (w, I)$  wf-interp-for-formula ( $w, I$ ) (FEXISTS  $\varphi$ ) length  $I = n$

using lang-ENC-formula[OF wf] by blast

with  $x$  have  $w \neq []$  by (cases  $w$ ) auto

from  $wI(2)$  obtain  $P$  where  $\forall p \in P. p < length w$  wf-interp-for-formula ( $w, Inr P \# I$ )  $\varphi$

using wf-interp-for-formula-FEXISTS[OF wf[folded  $wI(3)$ ] ‘ $w \neq []$ ’] by auto

with  $wI(3)$  have  $x \in$  map  $\pi$  ‘ (lang (Suc  $n$ ) (ENC (Suc  $n$ ) (FOV  $\varphi$ ))) - {[]})

unfolding  $wI(1)$  lang-ENC-formula[OF wf1] project-enc[symmetric, of  $w I Inr P$ ]

by (intro imageI CollectI exI[of -  $w$ ] exI[of -  $Inr P \# I$ ]) auto

thus  $x \in$  map  $\pi$  ‘ lang (Suc  $n$ ) (ENC (Suc  $n$ ) (FOV  $\varphi$ )) - {[]} by blast

next

fix  $x$  assume wf: wf-formula  $n$  (FEXISTS  $\varphi$ ) and  $x \in$  map  $\pi$  ‘ lang (Suc  $n$ ) (ENC (Suc  $n$ ) (FOV  $\varphi$ )) - {[]}

hence wf1: wf-formula (Suc  $n$ )  $\varphi$  and  $0 \in SOV \varphi$  and  $x: x \in$  map  $\pi$  ‘ (lang (Suc  $n$ ) (ENC (Suc  $n$ ) (FOV  $\varphi$ ))) - {[]}) by auto

from  $x$  obtain  $w I$  where  $wI: x = map \pi (enc (w, I))$  wf-interp-for-formula ( $w, I$ )  $\varphi$  length  $I = Suc n$

using lang-ENC-formula[OF wf1] by auto

with ‘ $0 \in SOV \varphi$ ’ obtain  $P I'$  where  $I: I = Inr P \# I'$  by (cases  $I$ ) (fastforce split: sum.splits)+

with  $wI$  have  $wI: x = enc (w, I')$  length  $I' = n$  unfolding  $\pi$ -def by auto

with  $x$  have  $w \neq []$  by (cases  $w$ ) auto

have wf-interp-for-formula ( $w, I'$ ) (FEXISTS  $\varphi$ )

using wf-interp-for-formula-FEXISTS[OF wf[folded  $wI(2)$ ] ‘ $w \neq []$ ’]

wf-interp-for-formula-any-Inr[OF  $wI(2)[unfolded I]$ ] ..

with  $wI$  show  $x \in$  lang  $n$  (ENC  $n$  (FOV (FEXISTS  $\varphi$ ))) - {[]} unfolding lang-ENC-formula[OF wf] by blast

qed

**lemma** map-project-empty: map  $\pi$  ‘  $A - \{[]\}$  = map  $\pi$  ‘ ( $A - \{[]\}$ )

by auto

**lemma** lang<sub>M2L</sub>-rexp-of-rexp-of':

wf-formula  $n$   $\varphi \implies$  lang  $n$  (rexp-of  $n$   $\varphi$ ) - {[]} = lang  $n$  (rexp-of'  $n$   $\varphi$ ) - {[]}

unfolding rexp-of'-def proof (induction  $\varphi$  arbitrary:  $n$ )

case (FNot  $\varphi$ )

hence wf-formula  $n$   $\varphi$  by simp

```

with FNot.IH show ?case unfolding rexp-of.simps rexp-of-alt.simps lang.simps
ENC-Not by blast
next
  case (FAnd  $\varphi_1 \varphi_2$ )
  hence wf1: wf-formula n  $\varphi_1$  and wf2: wf-formula n  $\varphi_2$  by force+
  from FAnd.IH(1)[OF wf1] FAnd.IH(2)[OF wf2] show ?case using ENC-And[OF
FAnd.simps]
  unfolding rexp-of.simps rexp-of-alt.simps lang.simps rexp-of-list.simps by blast
next
  case (For  $\varphi_1 \varphi_2$ )
  hence wf1: wf-formula n  $\varphi_1$  and wf2: wf-formula n  $\varphi_2$  by force+
  from For.IH(1)[OF wf1] For.IH(2)[OF wf2] show ?case using ENC-Or[OF
For.simps]
  unfolding rexp-of.simps rexp-of-alt.simps lang.simps by blast
next
  case (FExists  $\varphi$ )
  hence wf: wf-formula (n + 1)  $\varphi$  by auto
  show ?case using ENC-Exists[OF FExists.simps]
  unfolding rexp-of.simps rexp-of-alt.simps lang.simps map-project-empty FExists.IH[OF wf] by auto
next
  case (FEXISTS  $\varphi$ )
  hence wf: wf-formula (n + 1)  $\varphi$  by auto
  show ?case using ENC-EISTS[OF FEXISTS.simps]
  unfolding rexp-of.simps rexp-of-alt.simps lang.simps map-project-empty FEXISTS.IH[OF wf] by auto
qed auto

lemma Int-Diff-both: A ∩ B - C = (A - C) ∩ (B - C)
by auto

lemma lang-ENC-split:
assumes finite X X = Y1 ∪ Y2 n = 0 ∨ (∀ p ∈ X. p < n)
shows lang n (ENC n X) = lang n (ENC n Y1) ∩ lang n (ENC n Y2)
unfolding ENC-def lang-INTERSECT using assms lang-subset-lists[OF wf-rexp-valid-ENC,
of n] by auto

lemma map-project-Int-ENC:
assumes 0 ∉ X X ⊆ {0 ..< n + 1} Z ⊆ lists ((set o σ Σ) (n + 1))
shows map π ‘(Z ∩ lang (n + 1) (ENC (n + 1) X) - {[]}) =
map π ‘Z ∩ lang n (ENC n ((λx. x - 1) ‘X)) - {[]}
proof –
  let ?Y = {0 ..< n + 1} - X
  let ?fX = (λx. x - 1) ‘X
  let ?fY = {0 ..< n} - (λx. x - 1) ‘X
  from assms have *: (λx. x - 1) ‘X ⊆ {0 ..< n} by (cases n) auto
  show ?thesis unfolding Int-Diff lang-ENC[OF assms(2) subset-refl] lang-ENC[OF
* subset-refl]
  proof (safe elim!: imageI)

```

```

fix w I
assume*: length I = n + 1 w ≠ []
  ∀ i∈X. case I ! i of Inl x ⇒ True | Inr x ⇒ False
  ∀ i∈?Y. case I ! i of Inl x ⇒ False | Inr x ⇒ True
  ∀ a∈set w. a ∈ set Σ Ball (set I) (case-sum (λp. p < length w) (λP. ∀ p∈P.
  p < length w))
then obtain p Is where I = p # Is by (cases I) auto
then show ∃ w' I'.
  map π (enc (w, I)) = enc (w', I') ∧
  length I' = n ∧ (0 < length w' ∧ (∀ a∈set w'. a ∈ set Σ) ∧
  Ball (set I') (case-sum (λp. p < length w') (λP. ∀ p∈P. p < length w'))) ∧
  (∀ i∈?fx. case I' ! i of Inl x ⇒ True | Inr x ⇒ False) ∧
  (∀ i∈?fy. case I' ! i of Inl x ⇒ False | Inr x ⇒ True)
proof (hypsubst, intro exI[of - w] exI[of - Is] conjI ballI project-enc)
  fix i assume i ∈ ?fy
  then show case Is ! i of Inl x ⇒ False | Inr x ⇒ True
  using*[unfolded ‹I = p # Is›] assms(1)
  by (cases i = 0) (fastforce simp: nth-Cons' image-iff split: sum.splits
  if-splits)+
  qed (insert *[unfolded ‹I = p # Is›] assms(1), auto simp: nth-Cons' split:
  sum.splits if-splits)
next
  fix x w I
  assume*: w ≠ [] x ∈ Z map π x = enc (w, I)
  ∀ i∈?fx. case I ! i of Inl x ⇒ True | Inr x ⇒ False
  ∀ i∈{0 ..< length I} – ?fx. case I ! i of Inl x ⇒ False | Inr x ⇒ True
  ∀ a∈set w. a ∈ set Σ Ball (set I) (case-sum (λp. p < length w) (λP. ∀ p∈P.
  p < length w))
  moreover from assms(1) have ∀ x ∈ X. x > 0 ∧ x y. x – Suc 0 = y – Suc
  0 ↔
    x = y ∨ (x = 0 ∧ y = Suc 0) ∨ (x = Suc 0 ∧ y = 0)
    by (metis neq0-conv) (metis One-nat-def Suc-diff-1 diff-0-eq-0 diff-self-eq-0
  neq0-conv)
    moreover from *(2) assms(3) have x = enc (w, Inr (positions-in-row x 0)
  # I)
    apply (intro project-enc-extend [OF *(3)])
    apply (simp only: σ-def)
    apply auto
    done
    moreover from arg-cong[OF *(3), of length] have length w = length x by
  simp
    ultimately show map π x ∈ map π ‘
      (Z ∩ {enc (w, I') | w I'. length I' = length I + 1 ∧ (0 < length w ∧ (∀ a∈set
      w. a ∈ set Σ) ∧
      Ball (set I') (case-sum (λp. p < length w) (λP. ∀ p∈P. p < length w))) ∧
      (∀ i∈X. case I' ! i of Inl x ⇒ True | Inr x ⇒ False) ∧
      (∀ i∈{0..<length I + 1} – X. case I' ! i of Inl x ⇒ False | Inr x ⇒
      True)})}
    by (intro imageI CollectI conjI IntI exI[of - w] exI[of - Inr (positions-in-row

```

```

 $x \ 0) \ # \ I]$ 
  (auto simp: nth-Cons' positions-in-row elim!: bspec simp del: enc.simps)
qed
qed

lemma map-project-ENC:
assumes  $X \subseteq \{0 .. < n + 1\}$   $Z \subseteq lists ((set o \sigma \Sigma) (n + 1))$ 
shows  $map \pi ` (Z \cap lang (n + 1) (ENC (n + 1) X) - \{\}) =$ 
  (if  $0 \in X$ 
    then  $map \pi ` (Z \cap lang (n + 1) (ENC (n + 1) \{0\})) \cap lang n (ENC n ((\lambda x. x - 1) ` (X - \{0\}))) - \{\})$ 
    else  $map \pi ` Z \cap lang n (ENC n ((\lambda x. x - 1) ` (X - \{0\}))) - \{\})$ 
  (is ?L = (if - then ?R1 else ?R2))
proof (split if-splits, intro conjI impI)
assume  $0: 0 \notin X$ 
from assms have fin: finite  $X$  finite  $((\lambda x. x - 1) ` X)$ 
  by (auto elim: finite-subset intro!: finite-imageI[of X])
from 0 show ?L = ?R2 using map-project-Int-ENC[OF 0 assms]
  unfolding lists-image[symmetric]  $\pi \circ \sigma$ 
    Int-absorb1[OF lang-subset-lists[OF wf-rexp-ENC[OF fin(1)]], of n + 1]
    Int-absorb1[OF lang-subset-lists[OF wf-rexp-ENC[OF fin(2)]], of n]
  by auto
next
assume  $0 \in X$ 
hence  $0: 0 \notin X - \{0\}$  and  $X: X = \{0\} \cup (X - \{0\})$  by auto
from assms have fin: finite  $X$ 
  by (auto elim: finite-subset intro!: finite-imageI[of X])
have ?L =  $map \pi ` ((Z \cap lang (n + 1) (ENC (n + 1) \{0\})) \cap lang (n + 1) (ENC (n + 1) (X - \{0\})) - \{\})$ 
  unfolding Int-assoc using assms by (subst lang-ENC-split[OF fin X, of n + 1]) auto
also have ... = ?R1
  using 0 assms by (elim map-project-Int-ENC) auto
finally show ?L = ?R1 .
qed

```

**abbreviation**  $\mathfrak{L} \equiv project.lang (set \circ \sigma \Sigma) \pi$

```

lemma langM2L-rexp-of'-rexp-of'':
wf-formula  $n \varphi \implies lang n (rexp-of' n \varphi) - \{\} = lang n (rexp-of'' n \varphi) - \{\}$ 
unfolding rexp-of'-def rexp-of''-def
proof (induction  $\varphi$  arbitrary:  $n$ )
case (FNot  $\varphi$ )
hence wf-formula  $n \varphi$  by simp
with FNot.IH show ?case unfolding rexp-of-alt.simps rexp-of-alt'.simps lang.simps
ENC-Not by blast
next
case (FAnd  $\varphi_1 \varphi_2$ )
hence wf1: wf-formula  $n \varphi_1$  and wf2: wf-formula  $n \varphi_2$  by force+

```

```

from FAnd.IH(1)[OF wf1] FAnd.IH(2)[OF wf2] show ?case using ENC-And[OF
FAnd.prems]
  unfolding rexp-of-alt.simps rexp-of-alt'.simps lang.simps rexp-of-list.simps by
blast
next
  case (FOr  $\varphi_1 \varphi_2$ )
  hence wf1: wf-formula n  $\varphi_1$  and wf2: wf-formula n  $\varphi_2$  by force+
  from FOr.IH(1)[OF wf1] FOr.IH(2)[OF wf2] show ?case using ENC-Or[OF
FOr.prems]
  unfolding rexp-of-alt.simps rexp-of-alt'.simps lang.simps rexp-of-list.simps by
blast
next
  case (FExists  $\varphi$ )
  hence wf: wf-formula (n + 1)  $\varphi$  and 0:  $0 \in FOV \varphi$  by auto
  then show ?case
  using ENC-Exists[OF FExists.prems] map-project-ENC[of FOV  $\varphi$  n] max-idx-vars[of
n + 1  $\varphi$ ]
    wf-rexp-of-alt'[OF wf] 0
  unfolding rexp-of-alt.simps rexp-of-alt'.simps lang.simps Int-Diff-both
  unfolding map-project-empty FExists.IH[OF wf, unfolded lang.simps]
  by (intro trans[OF arg-cong2[of ---- (()), OF map-project-ENC[OF - lang-subset-lists]
refl]])
    fastforce+
next
  case (FEXISTS  $\varphi$ )
  hence wf: wf-formula (n + 1)  $\varphi$  and 0:  $0 \notin FOV \varphi$  by auto
  then show ?case
  using ENC-EXISTS[OF FEXISTS.prems] map-project-ENC[of FOV  $\varphi$  n]
max-idx-vars[of n + 1  $\varphi$ ]
    wf-rexp-of-alt'[OF wf] 0
  unfolding rexp-of-alt.simps rexp-of-alt'.simps lang.simps Int-Diff-both
  unfolding map-project-empty FEXISTS.IH[OF wf, unfolded lang.simps]
  by (intro trans[OF arg-cong2[of ---- (()), OF map-project-ENC[OF - lang-subset-lists]
refl]])
    fastforce+
qed simp-all

theorem langM2L-rexp-of': wf-formula n  $\varphi \implies lang_{M2L} n \varphi = lang n (rexp-of'$ 
n  $\varphi) - \{\}\}$ 
  unfolding langM2L-rexp-of-rexp-of'[symmetric] by (rule langM2L-rexp-of)

theorem langM2L-rexp-of'': wf-formula n  $\varphi \implies lang_{M2L} n \varphi = lang n (rexp-of''$ 
n  $\varphi) - \{\}\}$ 
  unfolding langM2L-rexp-of'-rexp-of''[symmetric] by (rule langM2L-rexp-of')

end

```

## 11 Normalization of M2L Formulas

```

fun nNot where
  nNot (FNot  $\varphi$ ) =  $\varphi$ 
| nNot (FAnd  $\varphi_1 \varphi_2$ ) = FOr (nNot  $\varphi_1$ ) (nNot  $\varphi_2$ )
| nNot (FOr  $\varphi_1 \varphi_2$ ) = FAnd (nNot  $\varphi_1$ ) (nNot  $\varphi_2$ )
| nNot  $\varphi$  = FNot  $\varphi$ 

primrec norm where
  norm (FQ a m) = FQ a m
| norm (FLess m n) = FLess m n
| norm (FIn m M) = FIn m M
| norm (FOr  $\varphi \psi$ ) = FOr (norm  $\varphi$ ) (norm  $\psi$ )
| norm (FAnd  $\varphi \psi$ ) = FAnd (norm  $\varphi$ ) (norm  $\psi$ )
| norm (FNot  $\varphi$ ) = nNot (norm  $\varphi$ )
| norm (FExists  $\varphi$ ) = FExists (norm  $\varphi$ )
| norm (FEXISTS  $\varphi$ ) = FEXISTS (norm  $\varphi$ )

context formula
begin

lemma satisfies-nNot[simp]: satisfies (w, I) (nNot  $\varphi$ ) = satisfies (w,I) (FNot  $\varphi$ )
  by (induct  $\varphi$  rule: nNot.induct) auto

lemma FOV-nNot[simp]: FOV (nNot  $\varphi$ ) = FOV (FNot  $\varphi$ )
  by (induct  $\varphi$  rule: nNot.induct) auto

lemma SOV-nNot[simp]: SOV (nNot  $\varphi$ ) = SOV (FNot  $\varphi$ )
  by (induct  $\varphi$  rule: nNot.induct) auto

lemma pre-wf-formula-nNot[simp]: pre-wf-formula n (nNot  $\varphi$ ) = pre-wf-formula
n (FNot  $\varphi$ )
  by (induct  $\varphi$  rule: nNot.induct) auto

lemma FOV-norm[simp]: FOV (norm  $\varphi$ ) = FOV  $\varphi$ 
  by (induct  $\varphi$ ) auto

lemma SOV-norm[simp]: SOV (norm  $\varphi$ ) = SOV  $\varphi$ 
  by (induct  $\varphi$ ) auto

lemma pre-wf-formula-norm[simp]: pre-wf-formula n (norm  $\varphi$ ) = pre-wf-formula
n  $\varphi$ 
  by (induct  $\varphi$  arbitrary: n) auto

lemma satisfies-norm[simp]: satisfies (w, I) (norm  $\varphi$ ) = satisfies (w, I)  $\varphi$ 
  by (induct  $\varphi$  arbitrary: I) auto

lemma langM2L-norm[simp]: langM2L n (norm  $\varphi$ ) = langM2L n  $\varphi$ 

```

**unfolding**  $\text{lang}_{M2L}\text{-def}$  **by**  $\text{auto}$

**end**

## 12 Deciding Equivalence of M2L Formulas

**global-interpretation**  $\text{embed set } o \sigma \Sigma \text{ wf-atom } \Sigma \pi \text{ lookup } \varepsilon \Sigma$

**for**  $\Sigma :: 'a :: \text{linorder list}$

**defines**

$\mathfrak{D} = \text{embed.lderiv lookup } (\varepsilon \Sigma)$

**and**  $\text{Co}\mathfrak{D} = \text{embed.lderiv-dual lookup } (\varepsilon \Sigma)$

**by**  $\text{unfold-locales (auto simp: } \sigma\text{-def } \pi\text{-def } \varepsilon\text{-def set-n-lists)}$

**lemma**  $\text{enum-not-empty}[simp]: \text{Enum.enum} \neq [] (\mathbf{is} \ ?\text{enum} \neq [])$

**proof** (*rule notI*)

**assume**  $?enum = []$

**hence**  $\text{set } ?enum = \{\} \text{ by simp}$

**thus**  $\text{False unfolding UNIV-enum[symmetric] by simp}$

**qed**

**global-interpretation**  $\Phi: \text{formula } \text{Enum.enum} :: 'a :: \{\text{enum}, \text{linorder}\} \text{ list}$

**defines**

$\text{pre-wf-formula} = \Phi.\text{pre-wf-formula}$

**and**  $\text{wf-formula} = \Phi.\text{wf-formula}$

**and**  $\text{rexp-of} = \Phi.\text{rexp-of}$

**and**  $\text{rexp-of-alt} = \Phi.\text{rexp-of-alt}$

**and**  $\text{rexp-of-alt}' = \Phi.\text{rexp-of-alt}'$

**and**  $\text{rexp-of}' = \Phi.\text{rexp-of}'$

**and**  $\text{rexp-of}'' = \Phi.\text{rexp-of}''$

**and**  $\text{valid-ENC} = \Phi.\text{valid-ENC}$

**and**  $\text{ENC} = \Phi.\text{ENC}$

**and**  $\text{dec-interp} = \Phi.\text{dec-interp}$

**by**  $\text{unfold-locales (auto simp: } \sigma\text{-def } \pi\text{-def set-n-lists)}$

**lemma**  $\text{lang-Plus-Zero}: \text{lang } \Sigma n (\text{Plus } r \text{ One}) = \text{lang } \Sigma n (\text{Plus } s \text{ One}) \longleftrightarrow \text{lang}$

$\Sigma n r - \{\}\} = \text{lang } \Sigma n s - \{\}\}$

**by**  $\text{auto}$

**lemmas**  $\text{lang}_{M2L}\text{-rexp-of-norm} = \text{trans}[OF \text{ sym}[OF \Phi.\text{lang}_{M2L}\text{-norm}] \Phi.\text{lang}_{M2L}\text{-rexp-of}]$

**lemmas**  $\text{lang}_{M2L}\text{-rexp-of}'\text{-norm} = \text{trans}[OF \text{ sym}[OF \Phi.\text{lang}_{M2L}\text{-norm}] \Phi.\text{lang}_{M2L}\text{-rexp-of}']$

**lemmas**  $\text{lang}_{M2L}\text{-rexp-of}''\text{-norm} = \text{trans}[OF \text{ sym}[OF \Phi.\text{lang}_{M2L}\text{-norm}] \Phi.\text{lang}_{M2L}\text{-rexp-of}'']$

**setup**  $\langle \text{Sign.map-naming } (\text{Name-Space.mandatory-path slow}) \rangle$

**global-interpretation**  $D: \text{rexp-DFA } \sigma \Sigma \text{ wf-atom } \Sigma \pi \text{ lookup } \lambda x. \langle\langle \text{pnorm } (\text{inorm } x) \rangle\rangle$

$\lambda a r. \langle\langle \mathfrak{D} \Sigma a r \rangle\rangle \text{ final alphabet.wf } (\text{wf-atom } \Sigma) n \text{ pnorm lang } \Sigma n n$

```

for  $\Sigma :: 'a :: \text{linorder list}$  and  $n :: \text{nat}$ 
defines

   $\text{test} = \text{rexp-DA.test} (\text{final} :: 'a \text{ atom rexp} \Rightarrow \text{bool})$ 
  and  $\text{step} = \text{rexp-DA.step} (\sigma \Sigma) (\lambda a r. \langle\!\langle \mathfrak{D} \Sigma a r \rangle\!\rangle) \text{ pnorm } n$ 
  and  $\text{closure} = \text{rexp-DA.closure} (\sigma \Sigma) (\lambda a r. \langle\!\langle \mathfrak{D} \Sigma a r \rangle\!\rangle) \text{ final pnorm } n$ 
  and  $\text{check-eqvRE} = \text{rexp-DA.check-eqv} (\sigma \Sigma) (\lambda x. \langle\!\langle \text{pnorm} (\text{inorm } x) \rangle\!\rangle) (\lambda a r. \langle\!\langle \mathfrak{D} \Sigma a r \rangle\!\rangle) \text{ final pnorm } n$ 
  and  $\text{test-invariant} = \text{rexp-DA.test-invariant} (\text{final} :: 'a \text{ atom rexp} \Rightarrow \text{bool}) :: (('a \times \text{bool list}) \text{ list} \times - \Rightarrow \text{bool})$ 
  and  $\text{step-invariant} = \text{rexp-DA.step-invariant} (\sigma \Sigma) (\lambda a r. \langle\!\langle \mathfrak{D} \Sigma a r \rangle\!\rangle) \text{ pnorm } n$ 
  and  $\text{closure-invariant} = \text{rexp-DA.closure-invariant} (\sigma \Sigma) (\lambda a r. \langle\!\langle \mathfrak{D} \Sigma a r \rangle\!\rangle) \text{ final pnorm } n$ 
  and  $\text{counterexampleRE} = \text{rexp-DA.counterexample} (\sigma \Sigma) (\lambda x. \langle\!\langle \text{pnorm} (\text{inorm } x) \rangle\!\rangle) (\lambda a r. \langle\!\langle \mathfrak{D} \Sigma a r \rangle\!\rangle) \text{ final pnorm } n$ 
  and  $\text{reachable} = \text{rexp-DA.reachable} (\sigma \Sigma) (\lambda x. \langle\!\langle \text{pnorm} (\text{inorm } x) \rangle\!\rangle) (\lambda a r. \langle\!\langle \mathfrak{D} \Sigma a r \rangle\!\rangle) \text{ pnorm } n$ 
  and  $\text{automaton} = \text{rexp-DA.automaton} (\sigma \Sigma) (\lambda x. \langle\!\langle \text{pnorm} (\text{inorm } x) \rangle\!\rangle) (\lambda a r. \langle\!\langle \mathfrak{D} \Sigma a r \rangle\!\rangle) \text{ pnorm } n$ 
by unfold-locales (auto simp only: comp-apply  
ACI-norm-wf ACI-norm-lang wf-inorm lang-inorm wf-pnorm lang-pnorm wf-lderiv  
lang-lderiv  
lang-final finite-fold-lderiv dest!: lang-subset-lists)

definition  $\text{check-eqv}$  where
 $\text{check-eqv } n \varphi \psi \longleftrightarrow \text{wf-formula } n (\text{For } \varphi \psi) \wedge$ 
 $\text{slow.check-eqvRE } \text{Enum.enum } n (\text{Plus} (\text{rexp-of}'' n (\text{norm } \varphi)) \text{ One}) (\text{Plus} (\text{rexp-of}'' n (\text{norm } \psi)) \text{ One})$ 

definition  $\text{counterexample}$  where
 $\text{counterexample } n \varphi \psi =$ 
 $\text{map-option} (\lambda w. \text{dec-interp } n (\text{FOV} (\text{For } \varphi \psi)) w)$ 
 $(\text{slow.counterexampleRE } \text{Enum.enum } n (\text{Plus} (\text{rexp-of}'' n (\text{norm } \varphi)) \text{ One}) (\text{Plus} (\text{rexp-of}'' n (\text{norm } \psi)) \text{ One}))$ 

lemma soundness: slow.check-eqv n φ ψ ==> Φ.langM2L n φ = Φ.langM2L n ψ
by (rule box-equals[OF iffD1[OF lang-Plus-Zero, OF slow.D.check-eqv-sound]  
sym[OF trans[OF langM2L-rexp-of"-norm]] sym[OF trans[OF langM2L-rexp-of"-norm]]])  
(auto simp: slow.check-eqv-def intro!: Φ.wf-rexp-of")

lemma completeness:
assumes  $\Phi.\text{langM2L } n \varphi = \Phi.\text{langM2L } n \psi \text{ wf-formula } n (\text{For } \varphi \psi)$ 
shows  $\text{slow.check-eqv } n \varphi \psi$ 
using assms(2) unfolding slow.check-eqv-def
by (intro conjI[OF assms(2) slow.D.check-eqv-complete[OF iffD2[OF lang-Plus-Zero]],  
OF box-equals[OF assms(1) langM2L-rexp-of"-norm langM2L-rexp-of"-norm]])  
(auto intro!: Φ.wf-rexp-of")

setup ⟨Sign.map-naming Name-Space.parent-path⟩

```

```

setup ⟨Sign.map-naming (Name-Space.mandatory-path fast)⟩

global-interpretation D: rexp-DA-no-post  $\sigma \Sigma$  wf-atom  $\Sigma \pi$  lookup  $\lambda x.$  pnorm
(inorm  $x$ )
 $\lambda a r.$  pnorm ( $\mathfrak{D} \Sigma a r$ ) final alphabet.wf (wf-atom  $\Sigma$ )  $n$  lang  $\Sigma n n$ 
for  $\Sigma :: 'a ::$  linorder list and  $n ::$  nat
defines
  test = rexp-DA.test (final :: 'a atom rexp  $\Rightarrow$  bool)
  and step = rexp-DA.step ( $\sigma \Sigma$ ) ( $\lambda a r.$  pnorm ( $\mathfrak{D} \Sigma a r$ )) id  $n$ 
  and closure = rexp-DA.closure ( $\sigma \Sigma$ ) ( $\lambda a r.$  pnorm ( $\mathfrak{D} \Sigma a r$ )) final id  $n$ 
  and check-eqvRE = rexp-DA.check-eqv ( $\sigma \Sigma$ ) ( $\lambda x.$  pnorm (inorm  $x$ )) ( $\lambda a r.$  pnorm
( $\mathfrak{D} \Sigma a r$ )) final id  $n$ 
  and test-invariant = rexp-DA.test-invariant (final :: 'a atom rexp  $\Rightarrow$  bool) :: 
    (('a × bool list) list × -) list × -  $\Rightarrow$  bool
  and step-invariant = rexp-DA.step-invariant ( $\sigma \Sigma$ ) ( $\lambda a r.$  pnorm ( $\mathfrak{D} \Sigma a r$ )) id
 $n$ 
  and closure-invariant = rexp-DA.closure-invariant ( $\sigma \Sigma$ ) ( $\lambda a r.$  pnorm ( $\mathfrak{D} \Sigma a$ 
 $r$ )) final id  $n$ 
  and counterexampleRE = rexp-DA.counterexample ( $\sigma \Sigma$ ) ( $\lambda x.$  pnorm (inorm  $x$ ))
( $\lambda a r.$  pnorm ( $\mathfrak{D} \Sigma a r$ )) final id  $n$ 
  and reachable = rexp-DA.reachable ( $\sigma \Sigma$ ) ( $\lambda x.$  pnorm (inorm  $x$ )) ( $\lambda a r.$  pnorm
( $\mathfrak{D} \Sigma a r$ )) id  $n$ 
  and automaton = rexp-DA.automaton ( $\sigma \Sigma$ ) ( $\lambda x.$  pnorm (inorm  $x$ )) ( $\lambda a r.$  pnorm
( $\mathfrak{D} \Sigma a r$ )) id  $n$ 
  by unfold-locales (auto simp only: comp-apply
  ACI-norm-wf ACI-norm-lang wf-inorm lang-inorm wf-pnorm lang-pnorm wf-lderiv
lang-lderiv id-apply
  lang-final dest!: lang-subset-lists)

definition check-eqv where
check-eqv  $n \varphi \psi \longleftrightarrow$  wf-formula  $n$  (FOr  $\varphi \psi$ )  $\wedge$ 
  fast.check-eqvRE Enum.enum  $n$  (Plus (rexp-of''  $n$  (norm  $\varphi$ )) One) (Plus (rexp-of'' 
 $n$  (norm  $\psi$ )) One)

definition counterexample where
counterexample  $n \varphi \psi =$ 
  map-option ( $\lambda w.$  dec-interp  $n$  (FOV (FOr  $\varphi \psi$ ))  $w$ )
  (fast.counterexampleRE Enum.enum  $n$  (Plus (rexp-of''  $n$  (norm  $\varphi$ )) One) (Plus
  (rexp-of''  $n$  (norm  $\psi$ )) One))

lemma soundness: fast.check-eqv  $n \varphi \psi \implies \Phi.lang_{M2L} n \varphi = \Phi.lang_{M2L} n \psi$ 
  by (rule box-equals[OF iffD1[OF lang-Plus-Zero, OF fast.D.check-eqv-sound]]
sym[OF trans[OF lang_{M2L}-rexp-of''-norm]] sym[OF trans[OF lang_{M2L}-rexp-of''-norm]]])
  (auto simp: fast.check-eqv-def intro!: Φ.wf-rexp-of'')
```

**setup** ⟨Sign.map-naming Name-Space.parent-path⟩

**setup** ⟨Sign.map-naming (Name-Space.mandatory-path dual)⟩

```

global-interpretation D: rexp-DA-no-post σ Σ wf-atom Σ π lookup
  λx. pnorm-dual (rexp-dual-of (inorm x)) λa r. pnorm-dual (CoΩ Σ a r) final-dual
  alphabet.wf-dual (wf-atom Σ) n lang-dual Σ n n
  for Σ :: 'a :: linorder list and n :: nat
  defines
    test = rexp-DA.test (final-dual :: 'a atom rexp-dual ⇒ bool)
    and step = rexp-DA.step (σ Σ) (λa r. pnorm-dual (CoΩ Σ a r)) id n
    and closure = rexp-DA.closure (σ Σ) (λa r. pnorm-dual (CoΩ Σ a r)) final-dual
    id n
    and check-eqvRE = rexp-DA.check-eqv (σ Σ) (λx. pnorm-dual (rexp-dual-of
    (inorm x))) (λa r. pnorm-dual (CoΩ Σ a r)) final-dual id n
    and test-invariant = rexp-DA.test-invariant (final-dual :: 'a atom rexp-dual ⇒
    bool) :: (('a × bool list) list × -) list × - ⇒ bool
    and step-invariant = rexp-DA.step-invariant (σ Σ) (λa r. pnorm-dual (CoΩ Σ
    a r)) id n
    and closure-invariant = rexp-DA.closure-invariant (σ Σ) (λa r. pnorm-dual
    (CoΩ Σ a r)) final-dual id n
    and counterexampleRE = rexp-DA.counterexample (σ Σ) (λx. pnorm-dual (rexp-dual-of
    (inorm x))) (λa r. pnorm-dual (CoΩ Σ a r)) final-dual id n
    and reachable = rexp-DA.reachable (σ Σ) (λx. pnorm-dual (rexp-dual-of (inorm
    x))) (λa r. pnorm-dual (CoΩ Σ a r)) id n
    and automaton = rexp-DA.automaton (σ Σ) (λx. pnorm-dual (rexp-dual-of (inorm
    x))) (λa r. pnorm-dual (CoΩ Σ a r)) id n
  by unfold-locales (auto simp only: comp-apply id-apply
    wf-inorm lang-inorm
    wf-dual-pnorm-dual lang-dual-pnorm-dual
    wf-dual-rexp-dual-of lang-dual-rexp-dual-of
    wf-dual-lderiv-dual lang-dual-lderiv-dual
    lang-dual-final-dual dest!: lang-dual-subset-lists)

definition check-eqv where
  check-eqv n φ ψ ←→ wf-formula n (FOr φ ψ) ∧
    dual.check-eqvRE Enum.enum n (Plus (rexp-of'' n (norm φ)) One) (Plus (rexp-of'' n (norm ψ)) One)

definition counterexample where
  counterexample n φ ψ =
    map-option (λw. dec-interp n (FOV (FOr φ ψ)) w)
    (dual.counterexampleRE Enum.enum n (Plus (rexp-of'' n (norm φ)) One) (Plus
    (rexp-of'' n (norm ψ)) One))

lemma soundness: dual.check-eqv n φ ψ ==> Φ.langM2L n φ = Φ.langM2L n ψ
  by (rule box-equals[OF iffD1[OF lang-Plus-Zero, OF dual.D.check-eqv-sound]
  sym[OF trans[OF langM2L-rexp-of''-norm]] sym[OF trans[OF langM2L-rexp-of''-norm]]])
  (auto simp: dual.check-eqv-def intro!: Φ.uf-rexp-of'')

```

**setup** ⟨Sign.map-naming Name-Space.parent-path⟩

## 13 WS1S

### 13.1 Encodings

```
definition cut-same x s = stake (LEAST n. sdrop n s = sconst x) s

abbreviation poss I ≡ (⋃ x∈set I. case x of Inl p ⇒ {p} | Inr P ⇒ P)

declare smap-sconst[simp]

lemma (in wellorder) min-Least:
  [∃ n. P n; ∃ n. Q n] ⇒ min (Least P) (Least Q) = (LEAST n. P n ∨ Q n)
proof (intro sym[OF Least-equality])
  fix y assume P y ∨ Q y
  thus min (Least P) (Least Q) ≤ y
  proof (elim disjE)
    assume P y
    hence Least P ≤ y by (auto intro: LeastI2-wellorder)
    thus min (Least P) (Least Q) ≤ y unfolding min-def by auto
  next
    assume Q y
    hence Least Q ≤ y by (auto intro: LeastI2-wellorder)
    thus min (Least P) (Least Q) ≤ y unfolding min-def by auto
  qed
qed (metis LeastI-ex min-def)

lemma sconst-collapse: y ## sconst y = sconst y
by (subst (2) siterate.ctr) auto

lemma shift-sconst-inj: [length x = length y; x @- sconst z = y @- sconst z] ⇒
x = y
by (induct rule: list-induct2) auto

context formula
begin

definition any ≡ hd Σ

lemma any-Σ[simp]: any ∈ set Σ
unfolding any-def by (auto simp: nonempty_intro: someI[of - hd Σ])

lemma any-σ[simp]: length bs = n ⇒ (any, bs) ∈ set (σ Σ n)
by (auto simp: σ-def set-n-lists)

fun stream-enc :: 'a interp ⇒ ('a × bool list) stream where
stream-enc (w, I) = smap2 (enc-atom I) nats (w @- sconst any)

lemma tl-stream-enc[simp]: smap π (stream-enc (w, x # I)) = stream-enc (w, I)
by (auto simp: comp-def π-def)
```

```

lemma enc-atom-max:  $\llbracket \forall x \in \text{set } I. \text{ case } x \text{ of } \text{Inl } p \Rightarrow p \leq n \mid \text{Inr } P \Rightarrow \forall p \in P. p \leq n; n \leq n \rrbracket \implies$ 
  enc-atom I (Suc n') a = (a, replicate (length I) False)
  by (induct I) (auto split: sum.splits)

lemma ex-Loop-stream-enc:
assumes  $\forall x \in \text{set } I. \text{ case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}$ 
shows  $\exists n. \text{sdrop } n (\text{stream-enc } (w, I)) = \text{sconst } (\text{any}, \text{replicate } (\text{length } I) \text{ False})$ 
proof -
  from assms have  $\exists n > \text{length } w. \forall x \in \text{set } I. \text{ case } x \text{ of } \text{Inl } p \Rightarrow p \leq n \mid \text{Inr } P \Rightarrow \forall p \in P. p \leq n$ 
  proof (induct I)
    case (Cons x I)
    then obtain n where IH:  $\text{length } w < n$ 
       $\forall x \in \text{set } I. \text{ case } x \text{ of } \text{Inl } p \Rightarrow p \leq n \mid \text{Inr } P \Rightarrow \forall p \in P. p \leq n$  by auto
    thus ?case
    proof (cases x)
      case (Inl p)
      with IH show ?thesis
        by (intro exI[of - max p n]) (fastforce split: sum.splits)
    next
      case (Inr P)
      with IH Cons(2) show ?thesis
        by (intro exI[of - max (Max P) n]) (fastforce dest: Max-ge split: sum.splits)
    qed
    qed auto
    then obtain n where  $\text{length } w < n \forall x \in \text{set } I. \text{ case } x \text{ of } \text{Inl } p \Rightarrow p \leq n \mid \text{Inr } P \Rightarrow \forall p \in P. p \leq n$ 
    by (elim exE conjE)
    hence sddrop (Suc n) (stream-enc (w, I)) = sconst (any, replicate (length I) False)
    (is ?s1 n = ?s2)
    by (intro stream.coinduct[of λs1 s2. ∃n' ≥ n. s1 = ?s1 n' ∧ s2 = ?s2])
      (auto simp: enc-atom-max dest: le-SucI)
    thus ?thesis by blast
  qed

lemma length-snth-enc[simp]:  $\text{length } (\text{snd } (\text{stream-enc } (w, I) !! n)) = \text{length } I$ 
  by auto

lemma sset-singleton[simp]:  $\text{sset } s \subseteq \{x\} \iff \text{sset } s = \{x\}$ 
  by (cases s) auto

lemma drop-sconstE:  $\llbracket \text{drop } n w @- \text{sconst } y = \text{sconst } y; p < \text{length } w; \neg p < n \rrbracket \implies w ! p = y$ 
  unfolding not-less sconst-alt proof (induct p arbitrary: w n)
  case (Suc p)
  with Suc(1)[of 0 tl w] show ?case
    by (cases w n rule: list.exhaust[case-product nat.exhaust]) auto

```

```

qed (auto simp add: neq-Nil-conv)

lemma less-length-cut-same:
   $\llbracket (w @- sconst y) !! p = a \rrbracket \implies a = y \vee (p < length (\text{cut-same } y (w @- sconst y)) \wedge w ! p = a)$ 
  unfolding cut-same-def length-stake
  by (rule LeastI2-ex[OF exI[of - length w]])
    (auto simp: sdrop-shift shift-snth split: if-split-asm elim!: drop-sconstE)

lemma less-length-cut-same-Inl:
   $\llbracket (\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}); r < \text{length } I; I ! r = \text{Inl } p \rrbracket \implies$ 
   $p < \text{length } (\text{cut-same } (\text{any}, \text{replicate } (\text{length } I) \text{ False}) (\text{stream-enc } (w, I)))$ 
  unfolding cut-same-def length-stake
  by (erule LeastI2-ex[OF ex-Loop-stream-enc ccontr],
    auto simp: smap2-alt list-eq-iff-nth-eq add.commute dest!: add-diff-inverse split:
    sum.splits,
    metis)

lemma less-length-cut-same-Inr:
   $\llbracket (\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}); r < \text{length } I; I ! r = \text{Inr } P \rrbracket \implies$ 
   $\forall p \in P. p < \text{length } (\text{cut-same } (\text{any}, \text{replicate } (\text{length } I) \text{ False}) (\text{stream-enc } (w, I)))$ 
  unfolding cut-same-def length-stake
  by (rule ballI, erule LeastI2-ex[OF ex-Loop-stream-enc ccontr],
    auto simp: smap2-alt list-eq-iff-nth-eq add.commute dest!: add-diff-inverse split:
    sum.splits,
    metis)

fun enc :: 'a interp  $\Rightarrow$  ('a  $\times$  bool list) list set where
  enc (w, I) = {x.  $\exists n. x = (\text{cut-same } (\text{any}, \text{replicate } (\text{length } I) \text{ False}) (\text{stream-enc } (w, I))) @$ 
    replicate n (any, replicate (length I) False))}

lemma cut-same-all[simp]: cut-same x (sconst x) = []
  unfolding cut-same-def by (auto intro: Least-equality)

lemma cut-same-stop[simp]:
  assumes x  $\neq$  y
  shows cut-same x (xs @- y ## sconst x) = xs @ [y] (is cut-same x ?s = -)
proof -
  have (LEAST n. sdrop n ?s = sconst x) = Suc (length xs)
  proof (rule Least-equality)
    show sdrop (Suc (length xs)) ?s = sconst x by (auto simp: sdrop-shift)
  next
  fix m assume *: sdrop m ?s = sconst x
  { assume m < Suc (length xs)
    hence m  $\leq$  length xs by simp
  }

```

```

then obtain ys where sdrop m ?s = ys @- y ## sconst x
  by atomize-elim (induct m arbitrary: xs, auto)
with * obtain ys @- y ## sconst x = sconst x by simp
from arg-cong[OF this, of sdrop (length ys)] have y ## sconst x = sconst x
  by (auto simp: sdrop-shift)
with assms have False by (metis siterate.code stream.inject)
}
thus Suc (length xs) ≤ m by (blast intro: leI)
qed
thus ?thesis unfolding cut-same-def stake-shift by simp
qed

lemma cut-same-shift-sconst: ∃ n. w = cut-same x (w @- sconst x) @ replicate n x
proof (induct w rule: rev-induct)
case (snoc a w)
then obtain n where w = cut-same x (w @- sconst x) @ replicate n x by blast
thus ?case
  by (cases a = x)
  (auto simp: id-def[symmetric] siterate.code[of id, simplified id-apply, symmetric]
    replicate-append-same[symmetric] intro!: exI[of - Suc n])
qed (simp add: id-def[symmetric])

lemma set-cut-same: set (cut-same x (w @- sconst x)) ⊆ set w
proof (induct w rule: rev-induct)
case (snoc a w)
thus ?case by (cases a = x)
  (auto simp: id-def[symmetric] siterate.code[of id, simplified id-apply, symmetric])
qed (simp add: id-def[symmetric])

lemma stream-enc-cut-same:
assumes (∀ x ∈ set I. case x of Inr P ⇒ finite P | - ⇒ True)
shows stream-enc (w, I) = cut-same (any, replicate (length I) False) (stream-enc (w, I)) @-
  sconst (any, replicate (length I) False)
unfolding cut-same-def
by (rule trans[OF sym[OF stake-sdrop] arg-cong2[of ---- (@-), OF refl]])
  (rule LeastI-ex[OF ex-Loop-stream-enc[OF assms]]))

lemma stream-enc-enc:
assumes (∀ x ∈ set I. case x of Inr P ⇒ finite P | - ⇒ True) and v: v ∈ enc (w, I)
shows stream-enc (w, I) = v @- sconst (any, replicate (length I) False)
(is ?s = ?v @- sconst ?F)
proof -
  from assms(1) obtain n where sdrop n (stream-enc (w, I)) = sconst ?F by
    (metis ex-Loop-stream-enc)
  moreover from v obtain m where ?v = cut-same ?F ?s @ replicate m ?F by
    auto

```

```

ultimately show ?s = v @- sconst ?F
  by (auto simp del: stream-enc.simps intro: stream-enc-cut-same[OF assms(1)])
qed

lemma stream-enc-enc-some:
  assumes ( $\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}$ )
  shows stream-enc (w, I) = (SOME v. v  $\in$  enc (w, I)) @- sconst (any, replicate (length I) False)
  by (rule stream-enc-enc[OF assms], rule someI-ex) auto

lemma enc-unique-length: v  $\in$  enc (w, I)  $\implies \forall v'. \text{length } v' = \text{length } v \wedge v' \in \text{enc}$  (w, I)  $\longrightarrow v = v'$ 
  by auto

lemma sdrop-sconst: sdrop n s = sconst x  $\implies n \leq m \implies s !! m = x$ 
  by (metis le-iff-add sdrop-snth snth-siterate[of id, simplified id-funpow id-apply])

lemma fin-cut-same-tl:
  assumes  $\exists n. \text{sdrop } n s = \text{sconst } x$ 
  shows fin-cut-same ( $\pi x$ ) (map  $\pi$  (cut-same x s)) = cut-same ( $\pi x$ ) (smap  $\pi$  s)
proof -
  define min where min = (LEAST n. sdrop n s = sconst x)
  from assms have min: sdrop min s = sconst x  $\wedge$  m. sdrop m s = sconst x  $\implies$  min  $\leq m$ 
  unfolding min-def by (auto intro: LeastI Least-le)
  have Ex:  $\exists n. \text{drop } n (\text{map } \pi (\text{stake } \text{min } s)) = \text{replicate } (\text{length } (\text{map } \pi (\text{stake } \text{min } s)) - n) (\pi x)$ 
    by (auto intro: exI[of - length (map  $\pi$  (stake min s))])
  have fin-cut-same ( $\pi x$ ) (map  $\pi$  (cut-same x s)) =
    map  $\pi$  (stake (LEAST n.
      map  $\pi$  (stake (min - n) (sdrop n s)) = replicate (min - n) ( $\pi x$ )  $\vee$  sdrop n s = sconst x) s)
  unfolding fin-cut-same-def cut-same-def take-map take-stake min-Least[OF Ex assms, folded min-def]
    min-def[symmetric] by (auto simp: drop-map drop-stake)
  also have ( $\lambda n. \text{map } \pi (\text{stake } (\text{min} - n) (\text{sdrop } n s)) = \text{replicate } (\text{min} - n) (\pi x) \vee \text{sdrop } n s = \text{sconst } x$ ) =
    ( $\lambda n. \text{smap } \pi (\text{sdrop } n s) = \text{sconst } (\pi x))$ 
  proof (rule ext, unfold smap-alt snth-siterate[of id, simplified id-funpow id-apply], safe)
    fix n m
    assume map  $\pi$  (stake (min - n) (sdrop n s)) = replicate (min - n) ( $\pi x$ )
    hence  $\forall y \in \text{set } (\text{stake } (\text{min} - n) (\text{sdrop } n s)). \pi y = \pi x$ 
      by (intro iffD1[OF map-eq-conv]) (metis length-stake map-replicate-const)
    hence  $\forall i < \text{min} - n. \pi (\text{sdrop } n s !! i) = \pi x$ 
    unfolding all-set-conv-all-nth by (auto simp: sdrop-snth)
    thus  $\pi (\text{sdrop } n s !! m) = \pi x$ 
    proof (cases m < min - n)
      case False

```

```

hence  $\min \leq n + m$  by linarith
hence  $sdrop\ n\ s\ !!\ m = x$  unfolding  $sdrop\text{-}snth$  by (rule  $sdrop\text{-}sconst[OF\ min(1)]$ )
thus ?thesis by simp
qed auto
next
fix n
assume  $\forall m. \pi(sdrop\ n\ s\ !!\ m) = \pi\ x$ 
thus map  $\pi(stake(min - n)(sdrop\ n\ s)) = replicate(min - n)(\pi\ x)$ 
unfolding stake-smap[symmetric] smap-alt[symmetric, of  $\pi$ ]  $sdrop\ n\ s\ sconst(\pi\ x)$ , simplified]
by (auto simp: map-replicate-const)
qed auto
finally show ?thesis unfolding cut-same-def  $sdrop\text{-}smap$  stake-smap .
qed

```

**lemma**  $tl\text{-}enc[simp]$ :

```

assumes  $\forall x \in set(x \# I). case x of Inr P \Rightarrow finite P \mid - \Rightarrow True$ 
shows SAMEQUOT (any, replicate (length I) False) (map  $\pi`enc(w, x \# I)$ ) = enc (w, I)
unfolding SAMEQUOT-def
by (fastforce simp: assms  $\pi\text{-def}$ 
fin-cut-same-tl[ $OF\ ex\text{-}Loop\text{-}stream\text{-}enc[OF\ assms]$ , unfolded  $\pi\text{-def}$ , simplified, symmetric])

```

**lemma**  $encD$ :

```

 $\llbracket v \in enc(w, I); (\forall x \in set I. case x of Inr P \Rightarrow finite P \mid - \Rightarrow True) \rrbracket \implies$ 
 $v = map(case-prod(enc-atom I))(zip[0 .. < length v](stake(length v)(w @- sconst any)))$ 
by (erule box-equals[ $OF\ sym[OF\ arg\text{-}cong[of\ -\ -\ stake(length v), OF\ stream\text{-}enc\text{-}enc]]$ ])
(auto simp: stake-shift  $sdrop\text{-}shift$  stake-add[symmetric] map-replicate-const)

```

**lemma**  $enc\text{-}Inl$ :  $\llbracket x \in enc(w, I); (\forall x \in set I. case x of Inr P \Rightarrow finite P \mid - \Rightarrow True)$ ;

```

 $m < length I; I ! m = Inl p \implies p < length x \wedge snd(x ! p) ! m$ 
by (auto dest!: less-length-cut-same-Inl[of\ -\ -\ w] simp: nth-append cut-same-def)

```

**lemma**  $enc\text{-}Inr$ : **assumes**  $x \in enc(w, I) \forall x \in set I. case x of Inr P \Rightarrow finite P \mid - \Rightarrow True$

```

 $M < length I I ! M = Inr P$ 
shows  $p \in P \longleftrightarrow p < length x \wedge snd(x ! p) ! M$ 

```

**proof**

```

assume  $p \in P$  with assms show  $p < length x \wedge snd(x ! p) ! M$ 
by (auto dest!: less-length-cut-same-Inr[of\ -\ -\ -\ w] simp: nth-append cut-same-def)

```

**next**

```

assume  $p < length x \wedge snd(x ! p) ! M$ 
thus  $p \in P$  using assms by (subst (asm) (2)  $encD[OF\ assms(1,2)]$ ) auto
qed

```

```

lemma enc-length:
  assumes enc (w, I) = enc (w', I')
  shows length I = length I'
proof -
  let ?cL =  $\lambda w I. \text{cut-same}(\text{any}, \text{replicate}(\text{length } I) \text{ False}) (\text{stream-enc} (w, I))$ 
  let ?w =  $\lambda w I m. ?cL w I @ \text{replicate}(m - \text{length} (?cL w I)) (\text{any}, \text{replicate}(\text{length } I) \text{ False})$ 
  let ?max = max (length (?cL w I)) (length (?cL w' I')) + 1
  from assms have ?w w I ?max ∈ enc (w, I) ?w w' I' ?max ∈ enc (w', I') by
  auto
  hence ?w w I ?max = ?w w' I' ?max using enc-unique-length assms by (simp
  del: enc.simps)
  moreover have last (?w w I ?max) = (any, replicate (length I) False)
    last (?w w' I' ?max) = (any, replicate (length I') False) by auto
  ultimately show length I = length I' by auto
qed

lemma enc-stream-enc:
   $\llbracket (\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid \text{-} \Rightarrow \text{True});$ 
   $\llbracket (\forall x \in \text{set } I'. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid \text{-} \Rightarrow \text{True});$ 
   $\llbracket \text{enc} (w, I) = \text{enc} (w', I') \rrbracket \implies \text{stream-enc} (w, I) = \text{stream-enc} (w', I')$ 
  by (rule box-equals[OF - sym[OF stream-enc-enc-some] sym[OF stream-enc-enc-some]])
    (auto dest: enc-length simp del: enc.simps)

abbreviation wf-interp w I ≡
   $(\forall a \in \text{set } w. a \in \text{set } \Sigma) \wedge (\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid \text{-} \Rightarrow \text{True})$ 

fun wf-interp-for-formula :: 'a interp ⇒ 'a formula ⇒ bool where
  wf-interp-for-formula (w, I) φ =
    (wf-interp w I ∧
       $(\forall n \in \text{FOV } \varphi. \text{case } I ! n \text{ of Inl } \text{-} \Rightarrow \text{True} \mid \text{-} \Rightarrow \text{False}) \wedge$ 
       $(\forall n \in \text{SOV } \varphi. \text{case } I ! n \text{ of Inl } \text{-} \Rightarrow \text{False} \mid \text{Inr } \text{-} \Rightarrow \text{True}))$ 

fun satisfies :: 'a interp ⇒ 'a formula ⇒ bool (infix  $\models$  50) where
   $(w, I) \models FQ a m = ((\text{case } I ! m \text{ of Inl } p \Rightarrow \text{if } p < \text{length } w \text{ then } w ! p \text{ else any})$ 
   $= a)$ 
  |  $(w, I) \models FLess m1 m2 = ((\text{case } I ! m1 \text{ of Inl } p \Rightarrow p) < (\text{case } I ! m2 \text{ of Inl } p \Rightarrow p))$ 
  |  $(w, I) \models FIn m M = ((\text{case } I ! m \text{ of Inl } p \Rightarrow p) \in (\text{case } I ! M \text{ of Inr } P \Rightarrow P))$ 
  |  $(w, I) \models FNot \varphi = (\neg (w, I) \models \varphi)$ 
  |  $(w, I) \models FOr \varphi_1 \varphi_2 = ((w, I) \models \varphi_1 \vee (w, I) \models \varphi_2)$ 
  |  $(w, I) \models FAnd \varphi_1 \varphi_2 = ((w, I) \models \varphi_1 \wedge (w, I) \models \varphi_2)$ 
  |  $(w, I) \models FExists \varphi = (\exists p. (w, Inl p \# I) \models \varphi)$ 
  |  $(w, I) \models FEXISTS \varphi = (\exists P. \text{finite } P \wedge (w, Inr P \# I) \models \varphi)$ 

definition langWS1S :: nat ⇒ 'a formula ⇒ ('a × bool list) list set where
  langWS1S n φ =  $\bigcup \{ \text{enc} (w, I) \mid w I . \text{length } I = n \wedge \text{wf-interp-for-formula} (w,$ 
   $I) \varphi \wedge (w, I) \models \varphi \}$ 

```

```

lemma encD-ex:  $\llbracket x \in \text{enc}(w, I); (\forall x \in \text{set } I. \text{case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}) \rrbracket \implies$ 
 $\exists n. x = \text{map}(\text{case-prod}(\text{enc-atom } I))(\text{zip}[0..<n](\text{stake } n(w @- \text{sconst } \text{any})))$ 
by (auto dest!: encD simp del: enc.simps)

lemma enc-set- $\sigma$ :  $\llbracket x \in \text{enc}(w, I); (\forall x \in \text{set } I. \text{case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True});$ 
 $\text{length } I = n; a \in \text{set } x; \text{set } w \subseteq \text{set } \Sigma \rrbracket \implies a \in \text{set } (\sigma \Sigma n)$ 
by (force dest: encD-ex intro: enc-atom- $\sigma$  simp: in-set-zip shift-snth simp del: enc.simps)

definition positions-in-row s i =
  Option.these (sset (smap2 ( $\lambda p (-, bs)$ ). if nth bs i then Some p else None) nats s))

lemma positions-in-row: positions-in-row s i = {p. snd(s !! p) ! i}
unfolding positions-in-row-def Option.these-def smap2-szip stream.set-map sset-range
by (auto split: if-split-asm intro!: image-eqI[of - the] split: prod.splits)

lemma positions-in-row-unique:  $\exists! p. \text{snd}(s !! p) ! i \implies$ 
  the-elem(positions-in-row s i) = (THE p. snd(s !! p) ! i)
by (rule the1I2) (auto simp: the-elem-def positions-in-row)

lemma positions-in-row-nth:  $\exists! p. \text{snd}(s !! p) ! i \implies$ 
  snd(s !! the-elem(positions-in-row s i)) ! i
unfolding positions-in-row-unique by (rule the1I2) auto

definition dec-word s = cut-same any (smap fst s)

lemma dec-word-stream-enc: dec-word (stream-enc(w, I)) = cut-same any (w @- sconst any)
unfolding dec-word-def by (auto intro!: arg-cong[of - - cut-same any] simp: smap2-alt)

definition stream-dec n FO (s :: ('a × bool list) stream) = map ( $\lambda i.$ 
  if  $i \in FO$ 
  then Inl (the-elem(positions-in-row s i))
  else Inr (positions-in-row s i)) [0..<n]

lemma stream-dec-Inl:  $\llbracket i \in FO; i < n \rrbracket \implies \exists p. \text{stream-dec } n \text{ FO } s ! i = \text{Inl } p$ 
unfolding stream-dec-def using nth-map[of n [0..<n]] by auto

lemma stream-dec-not-Inr:  $\llbracket \text{stream-dec } n \text{ FO } s ! i = \text{Inr } P; i \in FO; i < n \rrbracket \implies$ 
  False
unfolding stream-dec-def using nth-map[of n [0..<n]] by auto

lemma stream-dec-Inr:  $\llbracket i \notin FO; i < n \rrbracket \implies \exists P. \text{stream-dec } n \text{ FO } s ! i = \text{Inr } P$ 
unfolding stream-dec-def using nth-map[of n [0..<n]] by auto

```

**lemma** *stream-dec-not-Inl*:  $\llbracket \text{stream-dec } n \text{ FO } s ! i = \text{Inl } p; i \notin \text{FO}; i < n \rrbracket \implies \text{False}$

**unfolding** *stream-dec-def* **using** *nth-map*[*of n [0..<n]*] **by** *auto*

**lemma** *Inr-dec-finite*:  $\llbracket \forall i < n. \text{finite } \{p. \text{snd } (s !! p) ! i\}; \text{Inr } P \in \text{set } (\text{stream-dec } n \text{ FO } s) \rrbracket \implies \text{finite } P$

**unfolding** *stream-dec-def* **by** (*auto simp: positions-in-row*)

**lemma** *enc-atom-dec*:

$\llbracket \forall p. \text{length } (\text{snd } (s !! p)) = n; \forall i \in \text{FO}. i < n \longrightarrow (\exists !p. \text{snd } (s !! p) ! i); a = \text{fst } (s !! p) \rrbracket \implies$

*enc-atom* (*stream-dec n FO s*) *p a = s !! p*

**unfolding** *stream-dec-def*

**by** (*rule sym, subst surjective-pairing*[*of s !! p*])

(*auto intro!: nth-equalityI simp: positions-in-row simp del: prod.collapse split: if-split-asm,*  
*(metis positions-in-row positions-in-row-nth)+*)

**lemma** *length-stream-dec*[*simp*]:  $\text{length } (\text{stream-dec } n \text{ FO } x) = n$

**unfolding** *stream-dec-def* **by** *auto*

**lemma** *stream-enc-dec*:

$\llbracket \exists n. \text{sdrop } n \text{ (smap fst } s) = \text{sconst any};$

*stream-all* ( $\lambda x. \text{length } (\text{snd } x) = n$ ) *s;*  $\forall i \in \text{FO}. (\exists !p. \text{snd } (s !! p) ! i)$   $\rrbracket \implies$

*stream-enc* (*dec-word s, stream-dec n FO s*)  $= s$

**unfolding** *dec-word-def*

**by** (*drule LeastI-ex*)

(*auto intro!: enc-atom-dec simp: smap2-alt cut-same-def*  
*simp del: stake-smap sdrop-smap*  
*intro!: trans[*OF arg-cong2*[*of - - - (!!)*] *snth-smap*]*  
*trans[*OF arg-cong2*[*of - - - (@-)*] *stake-sdrop*]*)

**lemma** *stream-enc-unique*:

$i < \text{length } I \implies \exists p. I ! i = \text{Inl } p \implies \exists !p. \text{snd } (\text{stream-enc } (w, I) !! p) ! i$

**by** *auto*

**lemma** *stream-dec-enc-Inl*:

$\llbracket \text{stream-dec } n \text{ FO } (\text{stream-enc } (w, I)) ! i = \text{Inl } p'; I ! i = \text{Inl } p; i \in \text{FO}; i < n;$

*length I = n*  $\rrbracket \implies$

$p = p'$

**unfolding** *stream-dec-def*

**by** (*auto intro!: trans[*OF - sym*[*OF positions-in-row-unique*[*OF stream-enc-unique*]]]*  
*simp del: stream-enc.simps*) *simp*

**lemma** *stream-dec-enc-Inr*:

$\llbracket \text{stream-dec } n \text{ FO } (\text{stream-enc } (w, I)) ! i = \text{Inr } P'; I ! i = \text{Inr } P; i \notin \text{FO}; i <$

*n; length I = n*  $\rrbracket \implies$

$P = P'$

**unfolding** stream-dec-def positions-in-row **by** auto

**lemma** Collect-snth:  $\{p. P ((x \# s) !! p)\} \subseteq \{0\} \cup Suc` \{p. P (s !! p)\}$   
**unfolding** image-def **by** (auto simp: gr0-conv-Suc)

**lemma** finite-True-in-row:  $\forall i < n. \text{finite } \{p. \text{snd } ((w @- \text{sconst } (\text{any}, \text{replicate } n \text{ False})) !! p) ! i\}$   
**by** (induct w) (auto simp: id-def[symmetric] intro: finite-subset[OF Collect-snth])

**lemma** lang-ENC:

**assumes**  $FO \subseteq \{0 .. < n\}$   $SO \subseteq \{0 .. < n\} - FO$   
**shows**  $\text{lang } n (ENC n FO) = \bigcup \{\text{enc } (w, I) \mid w I . \text{length } I = n \wedge \text{wf-interp } w I$   
 $\wedge$   
 $(\forall i \in FO. \text{case } I ! i \text{ of Inl } \dashrightarrow \text{True} \mid \text{Inr } \dashrightarrow \text{False}) \wedge$   
 $(\forall i \in SO. \text{case } I ! i \text{ of Inl } \dashrightarrow \text{False} \mid \text{Inr } \dashrightarrow \text{True})\}$   
 $(\text{is } ?L = ?R)$

**proof** (intro equalityI subsetI)

fix x **assume**  $L: x \in ?L$

**from** assms(1) **have** fin: finite  $FO$  **by** (auto simp: finite-subset)

**have**  $*: \text{set } x \subseteq \text{set } (\sigma \Sigma n)$  **using** subsetD[OF assms(1)]

**bspec**[OF wf-lang-wf-word[OF wf-rexp-ENC[OF fin]] L]

**by** (cases n) (auto simp: wf-word)

let  $?s = x @- \text{sconst } (\text{any}, \text{replicate } n \text{ False})$

**from** assms **have** list-all ( $\lambda b. \text{length } (snd b) = n$ ) x

**using** \* **by** (auto simp: list-all-iff σ-def set-n-lists)

**hence** stream-all ( $\lambda x. \text{length } (snd x) = n$ ) ( $x @- \text{sconst } (\text{any}, \text{replicate } n \text{ False})$ )

**by** (auto simp only: stream-all-shift sset-sconst length-replicate snd-conv)

**moreover**

{ fix m **assume**  $m \in FO$

**with** assms **have**  $m < n$  **by** (auto simp: max-idx-vars)

**with**  $L \langle m \in FO \rangle$  assms **obtain**  $u z v$  **where**  $uzv: x = u @ z @ v$

$u \in \text{star } (\text{lang } n (\text{Atom } (\text{Arbitrary-Except } m \text{ False})))$

$z \in \text{lang } n (\text{Atom } (\text{Arbitrary-Except } m \text{ True}))$

$v \in \text{star } (\text{lang } n (\text{Atom } (\text{Arbitrary-Except } m \text{ False})))$  **unfolding** ENC-def

**by** (cases n)

(auto simp: not-less max-idx-vars valid-ENC-def fin intro!: wf-rexp-valid-ENC finite-FOV

dest!: iffD1[OF lang-flatten-INTERSECT, rotated -1], fast)

**with**  $\langle m < n \rangle$  **have**  $\exists!p. \text{snd } (x ! p) ! m \wedge p < \text{length } x$

**proof** (intro exI[of - length u])

fix p **assume**  $m < n$   $\text{snd } (x ! p) ! m \wedge p < \text{length } x$

**with** star-Arbitrary-ExceptD[OF uzv(2)] Arbitrary-ExceptD[OF uzv(3)] star-Arbitrary-ExceptD[OF uzv(4)]

**show**  $p = \text{length } u$  **by** (cases rule: linorder-cases) (auto simp: nth-append uzv(1))

**qed** (auto dest!: Arbitrary-ExceptD)

**then obtain** p **where**  $p: p < \text{length } x \text{ snd } (x ! p) ! m$

$\wedge q. \text{snd } (x ! q) ! m \wedge q < \text{length } x \longrightarrow q = p$  **by** auto

**from** this(1,2) **have**  $\exists!p. \text{snd } (?s !! p) ! m$

```

proof (intro ex1I[of - p])
  fix q from p(1,2) p(3)[of q] <math>\langle m < n \rangle</math> show snd (?s !! q) ! m  $\implies$  q = p
    by (cases q < length x) auto
  qed auto
}
moreover have sdrop (length x) (smap fst (x @- sconst (any, replicate n False)))
= sconst any
  unfolding sdrop-smap by (simp add: sdrop-shift)
  ultimately have enc-dec: stream-enc (dec-word ?s, stream-dec n FO ?s) =
    x @- sconst (any, replicate n False) by (intro stream-enc-dec) auto
  define I where I = stream-dec n FO ?s
  with assms have wf-interp (dec-word ?s) I  $\wedge$ 
    ( $\forall i \in FO. \text{case } I ! i \text{ of Inl } \dashrightarrow \text{True} \mid \text{Inr } \dashrightarrow \text{False}$ )  $\wedge$ 
    ( $\forall i \in SO. \text{case } I ! i \text{ of Inl } \dashrightarrow \text{False} \mid \text{Inr } \dashrightarrow \text{True}$ ) unfolding I-def dec-word-def
    by (auto dest: stream-dec-not-Inr stream-dec-not-Inl simp: sigma-def max-idx-vars
      dest!: subsetD[OF set-cut-same[of any map fst x]] subsetD[OF *] split: sum.splits)
    (auto simp: stream-dec-def positions-in-row finite-True-in-row)
  moreover have length I = n unfolding I-def by simp
  moreover have x  $\in$  enc (dec-word ?s, I) unfolding I-def
    by (simp add: enc-dec cut-same-shift-sconst del: stream-enc.simps)
  ultimately show x  $\in$  ?R by blast
next
  fix x assume x  $\in$  ?R
  then obtain w I where I: x  $\in$  enc (w, I) wf-interp w I  $\wedge$ 
    ( $\forall i \in FO. \text{case } I ! i \text{ of Inl } \dashrightarrow \text{True} \mid \text{Inr } \dashrightarrow \text{False}$ )  $\wedge$ 
    ( $\forall i \in SO. \text{case } I ! i \text{ of Inl } \dashrightarrow \text{False} \mid \text{Inr } \dashrightarrow \text{True}$ ) length I = n by blast
  { fix i from I(2) have (w @- sconst any) !! i  $\in$  set  $\Sigma$  by (cases i < length w)
    auto } note * = this
  from I have x @- sconst (any, replicate (length I) False) = stream-enc (w, I)
  (is x @- ?F = ?s)
    by (intro stream-enc-enc[symmetric]) auto
  with * <math>\langle \text{length } I = n \rangle</math> have  $\forall x \in \text{set } x. \text{length } (\text{snd } x) = n \wedge \text{fst } x \in \text{set } \Sigma$ 
    by (auto dest!: shift-snth-less[of - - ?F, symmetric] simp: in-set-conv-nth)
  thus x  $\in$  ?L
  proof (cases FO = {})
    case False
    hence nonempty: valid-ENC n `FO  $\neq \{\}$  by simp
    have finite: finite (valid-ENC n `FO) by (rule finite-imageI[OF finite-subset[OF assms(1)]]) simp
    from False assms(1) have 0 < n by (cases n) (auto split: dest!: max-idx-vars)
    with wf-rexp-valid-ENC assms have wf-rexp:  $\forall x \in \text{valid-ENC } n ` \text{FO}. \text{wf } n x$ 
      by (auto simp: max-idx-vars)
    { fix r assume r  $\in$  FO
      with I(2) obtain p where p: I ! r = Inl p by (cases I ! r) auto
      from <r  $\in$  FO> assms I(2,3) have r: r < length I by (auto dest!: max-idx-vars)
      from p I(1,2) r have p < length x
        using less-length-cut-same-Inl[of I r p w] by auto
      with p I r *
    }
  
```

```

have  $[x ! p] \in lang n (Atom (Arbitrary-Except r True))$ 
by (subst encD[of x w I]) (auto simp del: lang.simps intro!: enc-atom-lang-Arbitrary-Except-True)
moreover
from p I r * have take p x  $\in star (lang n (Atom (Arbitrary-Except r False)))$ 
by (subst encD[of x]) (auto simp del: lang.simps simp: in-set-conv-nth intro!:
Ball-starI enc-atom-lang-Arbitrary-Except-False)
moreover
from p I r * have drop (Suc p) x  $\in star (lang n (Atom (Arbitrary-Except r False)))$ 
by (subst encD[of x]) (auto simp: in-set-conv-nth simp del: lang.simps
snth.simps intro!: Ball-starI enc-atom-lang-Arbitrary-Except-False)
ultimately have take p x @ [x ! p] @ drop (p + 1) x  $\in lang n (valid-ENC n r)$ 
using ‹0 < n› unfolding valid-ENC-def by (auto simp del: append.simps)
hence x  $\in lang n (valid-ENC n r)$  using id-take-nth-drop[OF ‹p < length x›]
by auto
}
with False lang-flatten-INTERSECT[OF finite nonempty wf-rexp] show ?thesis
by (auto simp: ENC-def)
qed (simp add: ENC-def, auto simp: σ-def set-n-lists image-iff)
qed

lemma lang-ENC-formula:
assumes wf-formula n φ
shows lang n (ENC n (FOV φ)) = ⋃{enc (w, I) | w I . length I = n ∧
wf-interp-for-formula (w, I) φ}
proof –
from assms max-idx-vars have *: FOV φ ⊆ {0 .. $n$ } SOV φ ⊆ {0 .. $n$ } –
FOV φ by auto
show ?thesis unfolding lang-ENC[OF *] by simp
qed

```

### 13.2 Welldefinedness of enc wrt. Models

```

lemma wf-interp-for-formula-FExists:
[|wf-formula (length I) (FExists φ)|] ==>
wf-interp-for-formula (w, I) (FExists φ)  $\longleftrightarrow$  (forall p. wf-interp-for-formula (w, Inl
p # I) φ)
by (auto simp: nth-Cons' split: if-split-asm)

lemma wf-interp-for-formula-any-Inl: wf-interp-for-formula (w, Inl p # I) φ ==>
forall p. wf-interp-for-formula (w, Inl p # I) φ
by (auto simp: nth-Cons' split: if-split-asm)

lemma wf-interp-for-formula-FEXISTS:
[|wf-formula (length I) (FEXISTS φ)|] ==>
wf-interp-for-formula (w, I) (FEXISTS φ)  $\longleftrightarrow$  (forall P. finite P —> wf-interp-for-formula
(w, Inr P # I) φ)
by (auto simp: nth-Cons' split: if-split-asm)

```

```

lemma wf-interp-for-formula-any-Inr: wf-interp-for-formula (w, Inr P # I) φ ==>
  ∀ P. finite P —> wf-interp-for-formula (w, Inr P # I) φ
  by (auto simp: nth-Cons' split: if-split-asm)

lemma wf-interp-for-formula-FOr:
  wf-interp-for-formula (w, I) (FOr φ1 φ2) =
    (wf-interp-for-formula (w, I) φ1 ∧ wf-interp-for-formula (w, I) φ2)
  by auto

lemma wf-interp-for-formula-FAnd:
  wf-interp-for-formula (w, I) (FAnd φ1 φ2) =
    (wf-interp-for-formula (w, I) φ1 ∧ wf-interp-for-formula (w, I) φ2)
  by auto

lemma enc-wf-interp:
  [|wf-formula (length I) φ; wf-interp-for-formula (w, I) φ; x ∈ enc (w, I)|] ==>
  wf-interp-for-formula (dec-word (x @- sconst (any, replicate (length I) False)),
  stream-dec (length I) (FOV φ) (x @- sconst (any, replicate (length I) False)))
φ
  using
    stream-dec-Inl[of - FOV φ length I stream-enc (w, I), OF - bspec[OF max-idx-vars]]
    stream-dec-Inr[of - FOV φ length I stream-enc (w, I), OF - bspec[OF max-idx-vars]]
  by (auto split: sum.splits intro: Inr-dec-finite[OF finite-True-in-row] simp: max-idx-vars
dec-word-def
  dest!: stream-dec-not-Inl stream-dec-not-Inr subsetD[OF set-cut-same] simp del:
  stream-enc.simps)
  (auto simp: cut-same-def in-set-zip smap2-alt shift-snth)

lemma enc-atom-welldef: ∀ x a. enc-atom I x a = enc-atom I' x a ==> m < length
I ==>
  (case (I ! m, I' ! m) of (Inl p, Inl q) => p = q | (Inr P, Inr Q) => P = Q | - =>
  True)
proof (induct length I arbitrary: I I' m)
  case (Suc n I I')
  then obtain x xs x' xs' where *: I = x # xs I' = x' # xs'
  by (fastforce simp: Suc-length-conv map-eq-Cons-conv)
  with Suc show ?case
  proof (cases m)
    case 0 thus ?thesis using Suc(3) unfolding *
    by (cases x x' rule: sum.exhaust[case-product sum.exhaust]) auto
  qed auto
  qed simp

lemma stream-enc-welldef: [|stream-enc (w, I) = stream-enc (w', I'); wf-formula
(length I) φ;
  wf-interp-for-formula (w, I) φ; wf-interp-for-formula (w', I') φ|] ==>
  (w, I) ⊨ φ ↔ (w', I') ⊨ φ
proof (induction φ arbitrary: w w' I I')

```

```

case (FQ a m) thus ?case using enc-atom-welldef[of I I' m]
  by (simp split: sum.splits add: smap2-alt shift-snth)
    (metis snth-siterate[of id, simplified id-funpow id-apply])
next
  case (FLess m1 m2) thus ?case using enc-atom-welldef[of II' m1] enc-atom-welldef[of
I I' m2]
    by (auto split: sum.splits simp add: smap2-alt)
next
  case (FIn m M) thus ?case using enc-atom-welldef[of II' m] enc-atom-welldef[of
I I' M]
    by (auto split: sum.splits simp add: smap2-alt)
next
  case (FOr φ1 φ2) show ?case unfolding satisfies.simps(5)
  proof (intro disj-cong)
    from FOr(3–6) show (w, I) ⊨ φ1 ↔ (w', I') ⊨ φ1
      by (intro FOr(1)) auto
  next
    from FOr(3–6) show (w, I) ⊨ φ2 ↔ (w', I') ⊨ φ2
      by (intro FOr(2)) auto
  qed
next
  case (FAnd φ1 φ2) show ?case unfolding satisfies.simps(6)
  proof (intro conj-cong)
    from FAnd(3–6) show (w, I) ⊨ φ1 ↔ (w', I') ⊨ φ1
      by (intro FAnd(1)) auto
  next
    from FAnd(3–6) show (w, I) ⊨ φ2 ↔ (w', I') ⊨ φ2
      by (intro FAnd(2)) auto
  qed
next
  case (FExists φ)
  hence length: length I' = length I by (metis length-snth-enc)
  show ?case
  proof
    assume (w, I) ⊨ FExists φ
    with FExists.preds(3) obtain p where (w, Inl p # I) ⊨ φ by auto
    moreover
    with FExists.preds(1,2) have (w', Inl p # I') ⊨ φ
    proof (intro iffD1[OF FExists.IH[w Inl p # I w' Inl p # I']])
      from FExists.preds(2,3) show wf-interp-for-formula (w, Inl p # I) φ
        by (blast dest: wf-interp-for-formula-FExists[of I])
    next
      from FExists.preds(2,4) show wf-interp-for-formula (w', Inl p # I') φ
        by (blast dest: wf-interp-for-formula-FExists[of I', unfolded length])
    qed (auto simp: smap2-alt split: sum.splits if-split-asm)
    ultimately show (w', I') ⊨ FExists φ by auto
  next
    assume (w', I') ⊨ FExists φ
    with FExists.preds(1,2,4) obtain p where (w', Inl p # I') ⊨ φ by auto

```

```

moreover
with FExists.prems(1,2) have (w, Inl p # I) ⊨ φ
proof (intro iffD2[OF FExists.IH[of w Inl p # I w' Inl p # I']])
  from FExists.prems(2,3) show wf-interp-for-formula (w, Inl p # I) φ
    by (blast dest: wf-interp-for-formula-FExists[of I])
next
  from FExists.prems(2,4) show wf-interp-for-formula (w', Inl p # I') φ
    by (blast dest: wf-interp-for-formula-FExists[of I', unfolded length])
qed (auto simp: smap2-alt split: sum.splits if-split-asm)
ultimately show (w, I) ⊨ FExists φ by auto
qed
next
  case (FEXISTS φ)
  hence length: length I' = length I by (metis length-snth-enc)
  show ?case
  proof
    assume (w, I) ⊨ FEXISTS φ
    with FEXISTS.prems(3) obtain P where finite P (w, Inr P # I) ⊨ φ by
    auto
    moreover
    with FEXISTS.prems(1,2) have (w', Inr P # I') ⊨ φ
    proof (intro iffD1[OF FEXISTS.IH[of w Inr P # I w' Inr P # I']])
      from FEXISTS.prems(2,3) ⟨finite P⟩ show wf-interp-for-formula (w, Inr P
# I) φ
        by (blast dest: wf-interp-for-formula-FEXISTS[of I])
    next
      from FEXISTS.prems(2,4) ⟨finite P⟩ show wf-interp-for-formula (w', Inr P
# I') φ
        by (blast dest: wf-interp-for-formula-FEXISTS[of I', unfolded length])
    qed (auto simp: smap2-alt split: sum.splits if-split-asm)
    ultimately show (w', I') ⊨ FEXISTS φ by auto
  next
    assume (w', I') ⊨ FEXISTS φ
    with FEXISTS.prems(1,2,4) obtain P where finite P (w', Inr P # I') ⊨ φ
    by auto
    moreover
    with FEXISTS.prems have (w, Inr P # I) ⊨ φ
    proof (intro iffD2[OF FEXISTS.IH[of w Inr P # I w' Inr P # I']])
      from FEXISTS.prems(2,3) ⟨finite P⟩ show wf-interp-for-formula (w, Inr P
# I) φ
        by (blast dest: wf-interp-for-formula-FEXISTS[of I])
    next
      from FEXISTS.prems(2,4) ⟨finite P⟩ show wf-interp-for-formula (w', Inr P
# I') φ
        by (blast dest: wf-interp-for-formula-FEXISTS[of I', unfolded length])
    qed (auto simp: smap2-alt split: sum.splits if-split-asm)
    ultimately show (w, I) ⊨ FEXISTS φ by auto
  qed
  qed auto

```

```

lemma langWS1S-FOr:
  assumes wf-formula n (FOr  $\varphi_1 \varphi_2$ )
  shows langWS1S n (FOr  $\varphi_1 \varphi_2$ )  $\subseteq$ 
    (langWS1S n  $\varphi_1 \cup$  langWS1S n  $\varphi_2) \cap \bigcup\{\text{enc } (w, I) \mid w \text{ } I. \text{ length } I = n \wedge$ 
  wf-interp-for-formula  $(w, I)$  (FOr  $\varphi_1 \varphi_2\}$ )
  (is -  $\subseteq$  (?L1  $\cup$  ?L2)  $\cap$  ?ENC)
  proof (intro equalityI subsetI)
    fix x assume  $x \in$  langWS1S n (FOr  $\varphi_1 \varphi_2$ )
    then obtain w I where
      *:  $x \in \text{enc } (w, I)$  wf-interp-for-formula  $(w, I)$  (FOr  $\varphi_1 \varphi_2)$  length  $I = n$  and
        satisfies  $(w, I) \varphi_1 \vee$  satisfies  $(w, I) \varphi_2$  unfolding langWS1S-def by auto
      thus  $x \in$  (?L1  $\cup$  ?L2)  $\cap$  ?ENC
      proof (elim disjE)
        assume satisfies  $(w, I) \varphi_1$ 
        with * have  $x \in$  ?L1 using assms unfolding langWS1S-def by (fastforce
        simp del: enc.simps)
        with * show ?thesis by auto
      next
        assume satisfies  $(w, I) \varphi_2$ 
        with * have  $x \in$  ?L2 using assms unfolding langWS1S-def by (fastforce simp
        del: enc.simps)
        with * show ?thesis by auto
      qed
    qed

```

```

lemma langWS1S-FAnd:
  assumes wf-formula n (FAnd  $\varphi_1 \varphi_2$ )
  shows langWS1S n (FAnd  $\varphi_1 \varphi_2$ )  $\subseteq$ 
    langWS1S n  $\varphi_1 \cap$  langWS1S n  $\varphi_2 \cap \bigcup\{\text{enc } (w, I) \mid w \text{ } I. \text{ length } I = n \wedge$ 
  wf-interp-for-formula  $(w, I)$  (FAnd  $\varphi_1 \varphi_2\}$ )
  using assms unfolding langWS1S-def by (fastforce simp del: enc.simps)

```

### 13.3 From WS1S to Regular expressions

```

fun rexp-of :: nat  $\Rightarrow$  'a formula  $\Rightarrow$  ('a atom) rexp where
  rexp-of n (FQ a m) =
    Inter (TIMES [rexp.Not Zero, Atom (AQ m a), rexp.Not Zero])
    (ENC n (FOV (FQ a m)))
  | rexp-of n (FLess m1 m2) = (if m1 = m2 then Zero else
    Inter (TIMES [rexp.Not Zero, Atom (Arbitrary-Except m1 True),
      rexp.Not Zero, Atom (Arbitrary-Except m2 True),
      rexp.Not Zero]) (ENC n (FOV (FLess m1 m2 :: 'a formula))))
  | rexp-of n (FIn m M) =
    Inter (TIMES [rexp.Not Zero, Atom (Arbitrary-Except2 m M), rexp.Not Zero])
    (ENC n (FOV (FIn m M :: 'a formula)))
  | rexp-of n (FNot  $\varphi$ ) = Inter (rexp.Not (rexp-of n  $\varphi$ )) (ENC n (FOV (FNot  $\varphi$ )))
  | rexp-of n (FOr  $\varphi_1 \varphi_2$ ) = Inter (Plus (rexp-of n  $\varphi_1$ ) (rexp-of n  $\varphi_2$ )) (ENC n (FOV
    (FOr  $\varphi_1 \varphi_2$ )))

```

```

|  $\text{rexp-of } n (\text{FAnd } \varphi_1 \varphi_2) = \text{INTERSECT} [\text{rexp-of } n \varphi_1, \text{rexp-of } n \varphi_2, \text{ENC } n (\text{FOV } (\text{FAnd } \varphi_1 \varphi_2))]$ 
|  $\text{rexp-of } n (\text{FExists } \varphi) = \text{samequot-exec} (\text{any}, \text{replicate } n \text{ False}) (\Pr (\text{rexp-of } (n + 1) \varphi))$ 
|  $\text{rexp-of } n (\text{FEXISTS } \varphi) = \text{samequot-exec} (\text{any}, \text{replicate } n \text{ False}) (\Pr (\text{rexp-of } (n + 1) \varphi))$ 

```

```

fun  $\text{rexp-of-alt} :: \text{nat} \Rightarrow \text{'a formula} \Rightarrow (\text{'a atom}) \text{ rexp where}$ 
   $\text{rexp-of-alt } n (\text{FQ } a m) =$ 
     $\text{TIMES} [\text{rexp.Not Zero}, \text{Atom } (\text{AQ } m a), \text{rexp.Not Zero}]$ 
  |  $\text{rexp-of-alt } n (\text{FLess } m1 m2) = (\text{if } m1 = m2 \text{ then Zero else}$ 
     $\text{TIMES} [\text{rexp.Not Zero}, \text{Atom } (\text{Arbitrary-Except } m1 \text{ True}),$ 
     $\text{rexp.Not Zero}, \text{Atom } (\text{Arbitrary-Except } m2 \text{ True}),$ 
     $\text{rexp.Not Zero}])$ 
  |  $\text{rexp-of-alt } n (\text{FIn } m M) =$ 
     $\text{TIMES} [\text{rexp.Not Zero}, \text{Atom } (\text{Arbitrary-Except2 } m M), \text{rexp.Not Zero}]$ 
  |  $\text{rexp-of-alt } n (\text{FNot } \varphi) = \text{rexp.Not} (\text{rexp-of-alt } n \varphi)$ 
  |  $\text{rexp-of-alt } n (\text{FOr } \varphi_1 \varphi_2) = \text{Plus} (\text{rexp-of-alt } n \varphi_1) (\text{rexp-of-alt } n \varphi_2)$ 
  |  $\text{rexp-of-alt } n (\text{FAnd } \varphi_1 \varphi_2) = \text{Inter} (\text{rexp-of-alt } n \varphi_1) (\text{rexp-of-alt } n \varphi_2)$ 
  |  $\text{rexp-of-alt } n (\text{FExists } \varphi) = \text{samequot-exec} (\text{any}, \text{replicate } n \text{ False}) (\Pr (\text{Inter} (\text{rexp-of-alt } (n + 1) \varphi) (\text{ENC } (\text{Suc } n) (\text{FOV } \varphi))))$ 
  |  $\text{rexp-of-alt } n (\text{FEXISTS } \varphi) = \text{samequot-exec} (\text{any}, \text{replicate } n \text{ False}) (\Pr (\text{Inter} (\text{rexp-of-alt } (n + 1) \varphi) (\text{ENC } (\text{Suc } n) (\text{FOV } \varphi))))$ 

```

**definition**  $\text{rexp-of}' n \varphi = \text{Inter} (\text{rexp-of-alt } n \varphi) (\text{ENC } n (\text{FOV } \varphi))$

```

fun  $\text{rexp-of-alt}' :: \text{nat} \Rightarrow \text{'a formula} \Rightarrow (\text{'a atom}) \text{ rexp where}$ 
   $\text{rexp-of-alt}' n (\text{FQ } a m) = \text{TIMES} [\text{Full}, \text{Atom } (\text{AQ } m a), \text{Full}]$ 
  |  $\text{rexp-of-alt}' n (\text{FLess } m1 m2) = (\text{if } m1 = m2 \text{ then Zero else}$ 
     $\text{TIMES} [\text{Full}, \text{Atom } (\text{Arbitrary-Except } m1 \text{ True}), \text{Full}, \text{Atom } (\text{Arbitrary-Except }$ 
     $m2 \text{ True}), \text{Full}]$ 
  |  $\text{rexp-of-alt}' n (\text{FIn } m M) = \text{TIMES} [\text{Full}, \text{Atom } (\text{Arbitrary-Except2 } m M), \text{Full}]$ 
  |  $\text{rexp-of-alt}' n (\text{FNot } \varphi) = \text{rexp.Not} (\text{rexp-of-alt}' n \varphi)$ 
  |  $\text{rexp-of-alt}' n (\text{FOr } \varphi_1 \varphi_2) = \text{Plus} (\text{rexp-of-alt}' n \varphi_1) (\text{rexp-of-alt}' n \varphi_2)$ 
  |  $\text{rexp-of-alt}' n (\text{FAnd } \varphi_1 \varphi_2) = \text{Inter} (\text{rexp-of-alt}' n \varphi_1) (\text{rexp-of-alt}' n \varphi_2)$ 
  |  $\text{rexp-of-alt}' n (\text{FExists } \varphi) = \text{samequot-exec} (\text{any}, \text{replicate } n \text{ False}) (\Pr (\text{Inter} (\text{rexp-of-alt}' (n + 1) \varphi) (\text{ENC } (n + 1) \{0\})))$ 
  |  $\text{rexp-of-alt}' n (\text{FEXISTS } \varphi) = \text{samequot-exec} (\text{any}, \text{replicate } n \text{ False}) (\Pr (\text{rexp-of-alt}' (n + 1) \varphi))$ 

```

**definition**  $\text{rexp-of}'' n \varphi = \text{Inter} (\text{rexp-of-alt}' n \varphi) (\text{ENC } n (\text{FOV } \varphi))$

**lemma**  $\text{enc-eqI}:$

**assumes**  $x \in \text{enc } (w, I) \quad x \in \text{enc } (w', I') \quad \text{wf-interp-for-formula } (w, I) \varphi \quad \text{wf-interp-for-formula } (w', I') \varphi$   
 $\text{length } I = \text{length } I'$   
**shows**  $\text{enc } (w, I) = \text{enc } (w', I')$

**proof –**  
**from**  $\text{assms}$  **have**  $\text{stream-enc } (w, I) = \text{stream-enc } (w', I')$

```

by (intro box-equals[OF - stream-enc-enc[symmetric] stream-enc-enc[symmetric]])
auto
thus ?thesis using assms(5) by auto
qed

lemma enc-eq-welldef:
   $\llbracket \text{enc } (w, I) = \text{enc } (w', I'); \text{wf-formula } (\text{length } I) \varphi; \text{wf-interp-for-formula } (w, I) \varphi ; \text{wf-interp-for-formula } (w', I') \varphi \rrbracket \implies$ 
   $(w, I) \models \varphi \longleftrightarrow (w', I') \models \varphi$ 
by (intro stream-enc-welldef) (auto simp del: stream-enc.simps intro!: enc-stream-enc)

lemma enc-welldef:
   $\llbracket x \in \text{enc } (w, I); x \in \text{enc } (w', I'); \text{length } I = \text{length } I'; \text{wf-formula } (\text{length } I) \varphi; \text{wf-interp-for-formula } (w, I) \varphi ; \text{wf-interp-for-formula } (w', I') \varphi \rrbracket \implies$ 
   $(w, I) \models \varphi \longleftrightarrow (w', I') \models \varphi$ 
by (intro enc-eq-welldef[OF enc-eqI])

lemma wf-rexp-of: wf-formula n  $\varphi \implies$  wf n (rexp-of n  $\varphi$ )
by (induct  $\varphi$  arbitrary: n)
  (auto intro!: wf-samequot-exec wf-rexp-ENC,
  auto simp: max-idx-vars finite-FOV)

theorem langWS1S-rexp-of: wf-formula n  $\varphi \implies$  langWS1S n  $\varphi = \text{lang } n \text{ (rexp-of}
n  $\varphi)$ 
  (is -  $\implies$  - = ?L n  $\varphi$ )
proof (induct  $\varphi$  arbitrary: n)
  case (FQ a m)
  show ?case
    proof (intro equalityI subsetI)
      fix x assume x  $\in$  langWS1S n (FQ a m)
      then obtain w I where
         $*: x \in \text{enc } (w, I) \text{ wf-interp-for-formula } (w, I) \text{ (FQ } a \text{ m) } \text{length } I = n \text{ (w, I)}$ 
         $\models FQ \text{ a m}$ 
        unfolding langWS1S-def by blast
        hence x-alt: x = map (case-prod (enc-atom I) (zip [0 .. < length x] (stake (length x) (w @- sconst any))))
        by (intro encD) auto
        from FQ(1)*(2,4) obtain p where p: I ! m = Inl p
        by (auto simp: all-set-conv-all-nth split: sum.splits)
        with FQ(1) * have p-less: p < length x
        by (auto simp del: stream-enc.simps intro: trans-less-add1[OF less-length-cut-same-Inl])
        hence enc-atom: x ! p = enc-atom I p ((w @- sconst any) !! p) (is - = enc-atom
        - - ?p)
        by (subst x-alt, simp)
        with *(1) p-less(1) have x = take p x @ [enc-atom I p ?p] @ drop (p + 1) x
        using id-take-nth-drop[of p x] by auto
        moreover
        from *(2,3,4) FQ(1) p have [enc-atom I p ?p]  $\in$  lang n (Atom (AQ m a))
        by (auto simp del: lang.simps intro!: enc-atom-lang-AQ)$ 
```

```

moreover from *(2,3) have take p x ∈ lang n (rexp.Not Zero)
  by (subst x-alt) (auto simp: in-set-zip shift-snth intro!: enc-atom-σ dest!:
in-set-takeD)
moreover from *(2,3) have drop (Suc p) x ∈ lang n (rexp.Not Zero)
  by (subst x-alt) (auto simp: in-set-zip shift-snth intro!: enc-atom-σ dest!:
in-set-dropD)
ultimately show x ∈ ?L n (FQ a m) using *(1,2,3)
  unfolding rexp-of.simps lang.simps(6,9) rexp-of-list.simps lang-ENC-formula[OF
FQ]
  by (auto elim: ssubst simp del: o-apply append.simps lang.simps enc.simps)
next
fix x let ?x = x @- sconst (any, replicate n False)
assume x: x ∈ ?L n (FQ a m)
with FQ obtain w I where
  I: x ∈ enc (w, I) length I = n wf-interp-for-formula (w, I) (FQ a m)
  unfolding rexp-of.simps lang.simps lang-ENC-formula[OF FQ] by fastforce
hence stream-enc: stream-enc (w, I) = ?x using stream-enc-enc by auto
from I FQ obtain p where m: I ! m = Inl p m < length I by (auto split:
sum.splits)
with I have wf-interp-for-formula (dec-word ?x, stream-dec n {m} ?x) (FQ a
m) unfolding I(1)
  using enc-wf-interp[OF FQ(1)[folded I(2)]] by auto
moreover
from x obtain u1 u u2 where x = u1 @ u @ u2 u ∈ lang n (Atom (AQ m
a))
  unfolding rexp-of.simps lang.simps rexp-of-list.simps using conce by fast
with FQ(1) obtain v where v: x = u1 @ [v] @ u2 snd v ! m fst v = a
  using AQ-D[of u n m a] by fastforce
from v have u: length u1 < length x by auto
{ from v have snd (x ! length u1) ! m by auto
  moreover
    from m I have p < length x snd (x ! p) ! m by (auto dest: enc-Inl simp del:
enc.simps)
  moreover
    from m I have ex1: ∃!p. snd (stream-enc (w, I) !! p) ! m by (intro
stream-enc-unique) auto
    ultimately have p = length u1 unfolding stream-enc using u I(3) by auto
  } note * = this
from v have v = x ! length u1 by simp
with u have ?x !! length u1 = v by (auto simp: shift-snth)
with * m I v(3) have (dec-word ?x, stream-dec n {m} ?x) ⊢ FQ a m
  using stream-enc-enc[OF - I(1), symmetric] less-length-cut-same[of w any
length u1 a]
  by (auto simp del: enc.simps stream-enc.simps simp: dec-word-stream-enc
dest!:
  stream-dec-enc-Inl stream-dec-not-Inr split: sum.splits)
  (auto simp: smap2-alt cut-same-def)
moreover from m I(2)
have stream-enc-dec: stream-enc (dec-word (stream-enc (w, I))), stream-dec n

```

```

{m} (stream-enc (w, I))) = stream-enc (w, I)
  by (intro stream-enc-dec)
    (auto simp: smap2-alt sdrop-snth shift-snth intro: stream-enc-unique,
     auto simp: smap2-szip stream.set-map)
  moreover from I have wf-word n x unfolding wf-word by (auto elim:
  enc-set-σ simp del: enc.simps)
  ultimately show x ∈ langWS1S n (FQ a m) unfolding langWS1S-def using
  m I(1,3)
    by (auto simp del: enc.simps stream-enc.simps intro!: exI[of - enc (dec-word
    ?x, stream-dec n {m} ?x)],
        fastforce simp del: enc.simps stream-enc.simps,
        auto simp del: stream-enc.simps simp: stream-enc[symmetric] I(2))
qed
next
case (FLess m m')
show ?case
proof (cases m = m')
  case False
  thus ?thesis
  proof (intro equalityI subsetI)
    fix x assume x ∈ langWS1S n (FLess m m')
    then obtain w I where
      *: x ∈ enc (w, I) wf-interp-for-formula (w, I) (FLess m m') length I = n
      (w, I) ⊨ FLess m m'
      unfolding langWS1S-def by blast
      hence x-alt: x = map (case-prod (enc-atom I)) (zip [0 .. < length x] (stake
      (length x) (w @- sconst any)))
      by (intro encD) auto
      from FLess(1) *(2,4) obtain p q where pq: I ! m = Inl p I ! m' = Inl q p
      < q
      by (auto simp: all-set-conv-all-nth split: sum.splits)
      with FLess(1) *(1,2,3) have pq-less: p < length x q < length x
      by (auto simp del: stream-enc.simps intro!: trans-less-add1[OF less-length-cut-same-Inl])
      hence enc-atom: x ! p = enc-atom I p ((w @- sconst any) !! p) (is - =
      enc-atom - - ?p)
        x ! q = enc-atom I q ((w @- sconst any) !! q) (is - = enc-atom
        - - ?q) by (subst x-alt, simp) +
        with *(1) pq-less(1) have x = take p x @ [enc-atom I p ?p] @ drop (p + 1)
        x
        using id-take-nth-drop[of p x] by auto
        also have drop (p + 1) x = take (q - p - 1) (drop (p + 1) x) @
        [enc-atom I q ?q] @ drop (q - p) (drop (p + 1) x) (is - = ?LHS)
        using id-take-nth-drop[of q - p - 1 drop (p + 1) x] pq pq-less(2) enc-atom(2)
    by auto
    finally have x = take p x @ [enc-atom I p ?p] @ ?LHS .
    moreover from *(2,3) FLess(1) pq(1)
    have [enc-atom I p ?p] ∈ lang n (Atom (Arbitrary-Except m True))
      by (intro enc-atom-lang-Arbitrary-Except-True) (auto simp: shift-snth)
    moreover from *(2,3) FLess(1) pq(2)
  
```

```

have [enc-atom I q ?q] ∈ lang n (Atom (Arbitrary-Except m' True))
  by (intro enc-atom-lang-Arbitrary-Except-True) (auto simp: shift-snth)
moreover from *(2,3) have take p x ∈ lang n (rexp.Not Zero)
  by (subst x-alt) (auto simp: in-set-zip shift-snth intro!: enc-atom-σ dest!:
in-set-takeD)
moreover from *(2,3) have take (q - p - 1) (drop (Suc p) x) ∈ lang n
(rexp.Not Zero)
  by (subst x-alt) (auto simp: in-set-zip shift-snth intro!: enc-atom-σ dest!:
in-set-dropD in-set-takeD)
moreover from *(2,3) have drop (q - p) (drop (Suc p) x) ∈ lang n (rexp.Not
Zero)
  by (subst x-alt) (auto simp: in-set-zip shift-snth intro!: enc-atom-σ dest!:
in-set-dropD)
ultimately show x ∈ ?L n (FLess m m') using *(1,2,3)
unfolding rexp-of.simps lang.simps(6,9) rexp-of-list.simps Int-Diff lang-ENC-formula[OF
FLess] if-not-P[OF False]
  by (auto elim: ssubst simp del: o-apply append.simps lang.simps enc.simps)
next
fix x let ?x = x @- sconst (any, replicate n False)
assume x: x ∈ ?L n (FLess m m')
with FLess obtain w I where
  I: x ∈ enc (w, I) length I = n wf-interp-for-formula (w, I) (FLess m m')
  unfolding rexp-of.simps lang.simps lang-ENC-formula[OF FLess] if-not-P[OF
False] by fastforce
hence stream-enc: stream-enc (w, I) = x @- sconst (any, replicate n False)
using stream-enc-enc by auto
from I FLess obtain p p' where m: I ! m = Inl p m < length I I ! m' = Inl
p' m' < length I
  by (auto split: sum.splits)
with I have wf-interp-for-formula (dec-word ?x, stream-dec n {m, m'} ?x)
(FLess m m') unfolding I(1)
  using enc-wf-interp[OF FLess(1)[folded I(2)]] by auto
moreover
from x obtain u1 u u2 u' u3 where x = u1 @ u @ u2 @ u' @ u3
u ∈ lang n (Atom (Arbitrary-Except m True)) u' ∈ lang n (Atom (Arbitrary-Except
m' True))
  unfolding rexp-of.simps lang.simps rexp-of-list.simps if-not-P[OF False]
using concE by fast
with FLess(1) obtain v v' where v: x = u1 @ [v] @ u2 @ [v'] @ u3
  snd v ! m snd v' ! m' fst v ∈ set Σ fst v' ∈ set Σ
  using Arbitrary-ExceptD[of u n m True] Arbitrary-ExceptD[of u' n m' True]
    by simp (auto simp: σ-def)
hence u: length u1 < length x and u': Suc (length u1 + length u2) < length
x (is ?u' < -) by auto
{ from v have snd (x ! length u1) ! m by auto
  moreover
  from m I have p < length x snd (x ! p) ! m by (auto dest: enc-Inl simp
del: enc.simps)
  moreover

```

```

from m I have ex1:  $\exists! p. \text{snd}(\text{stream-enc}(w, I) !! p) ! m$  by (intro
stream-enc-unique) auto
ultimately have  $p = \text{length } u1$  unfolding stream-enc using  $u I(3)$  by
auto
}
{ from v have  $\text{snd}(x ! ?u') ! m'$  by (auto simp: nth-append)
moreover
from m I have  $p' < \text{length } x$   $\text{snd}(x ! p') ! m'$  by (auto dest: enc-Inl simp
del: enc.simps)
moreover
from m I have ex1:  $\exists! p. \text{snd}(\text{stream-enc}(w, I) !! p) ! m'$  unfolding  $I(1)$ 
by (intro stream-enc-unique) auto
ultimately have  $p' = ?u'$  unfolding stream-enc using  $u' I(3)$  by auto
(metis shift-snth-less)
} note * = this `p = length u1`
with m I have (dec-word ?x, stream-dec n {m, m'} ?x)  $\models F\text{Less } m m'$ 
using stream-enc-enc[OF - I(1), symmetric]
by (auto dest: stream-dec-not-Inr stream-dec-enc-Inl split: sum.splits simp
del: stream-enc.simps)
moreover from m I(2)
have stream-enc-dec: stream-enc (dec-word (stream-enc (w, I)), stream-dec
n {m, m'} (stream-enc (w, I))) = stream-enc (w, I)
by (intro stream-enc-dec)
(auto simp: smap2-alt sdrop-snth shift-snth intro: stream-enc-unique,
auto simp: smap2-szip stream.set-map)
moreover from I have wf-word n x unfolding wf-word by (auto elim:
enc-set- $\sigma$  simp del: enc.simps)
ultimately show  $x \in \text{lang}_{WS1S} n$  ( $F\text{Less } m m'$ ) unfolding langWS1S-def
using m I(1,3)
by (auto simp del: enc.simps stream-enc.simps intro!: exI[of - enc (dec-word
?x, stream-dec n {m, m'} ?x)],
fastforce simp del: enc.simps stream-enc.simps,
auto simp del: stream-enc.simps simp: stream-enc[symmetric] I(2))
qed
qed (simp add: langWS1S-def del: o-apply)
next
case (FIn m M)
show ?case
proof (intro equalityI subsetI)
fix x assume  $x \in \text{lang}_{WS1S} n$  (FIn m M)
then obtain w I where
*:  $x \in \text{enc}(w, I)$  wf-interp-for-formula (w, I) (FIn m M) length I = n (w,
I)  $\models F\text{In } m M$ 
unfolding langWS1S-def by blast
hence x-alt:  $x = \text{map}(\text{case-prod}(\text{enc-atom } I)) (\text{zip} [0 .. < \text{length } x] (\text{stake}(\text{length } x) (w @- \text{sconst any})))$ 
by (intro encD) auto
from FIn(1)*(2,4) obtain p P where p:  $I ! m = \text{Inl } p$   $I ! M = \text{Inr } P$   $p \in P$ 
by (auto simp: all-set-conv-all-nth split: sum.splits)

```

**with**  $FIn(1) *_{(1,2,3)}$  **have**  $p < \text{length } x \forall p \in P. p < \text{length } x$   
**by** (auto simp del: stream-enc.simps intro: trans-less-add1[ $\text{OF less-length-cut-same-Inl}$ ]  
 $\text{trans-less-add1[OF bspec[OF less-length-cut-same-Inr]]}$ )  
**hence** enc-atom:  $x ! p = \text{enc-atom } I p ((w @- sconst \text{any}) !! p)$  (**is**  $- = \text{enc-atom}$   
 $- - ?p)$   
 $\forall p \in P. x ! p = \text{enc-atom } I p ((w @- sconst \text{any}) !! p)$  (**is** Ball -  $(\lambda p. -$   
 $= \text{enc-atom} - - (?P p))$ )  
**by** (subst x-alt, simp)+  
**with**  $*(1)$   $p < \text{length}(1)$  **have**  $x = \text{take } p x @ [\text{enc-atom } I p ?p] @ \text{drop } (p + 1) x$   
**using** id-take-nth-drop[of  $p x$ ] **by** auto  
**moreover**  
**from**  $*(2,3)$   $FIn(1) p$  **have**  $[\text{enc-atom } I p ?p] \in \text{lang } n (\text{Atom (Arbitrary-Except2}$   
 $m M))$   
**by** (intro enc-atom-lang-Arbitrary-Except2) (auto simp: shift-snth)  
**moreover from**  $*(2,3)$  **have**  $\text{take } p x \in \text{lang } n (\text{rexp.Not Zero})$   
**by** (subst x-alt) (auto simp: in-set-zip shift-snth intro!: enc-atom- $\sigma$  dest!: in-set-takeD)  
**moreover from**  $*(2,3)$  **have**  $\text{drop } (\text{Suc } p) x \in \text{lang } n (\text{rexp.Not Zero})$   
**by** (subst x-alt) (auto simp: in-set-zip shift-snth intro!: enc-atom- $\sigma$  dest!: in-set-dropD)  
**ultimately show**  $x \in ?L n (FIn m M)$  **using**  $*(1,2,3)$   
**unfolding** rexp-of.simps lang.simps(6,9) rexp-of-list.simps Int-Diff lang-ENC-formula[ $\text{OF}$   
 $FIn]$   
**by** (auto elim: ssubst simp del: o-apply append.simps lang.simps enc.simps)  
**next**  
**fix**  $x$  **let**  $?x = x @- sconst (\text{any}, \text{replicate } n \text{ False})$   
**assume**  $x: x \in ?L n (FIn m M)$   
**with**  $FIn$  **obtain**  $w I$  **where**  
 $I: x \in \text{enc } (w, I) \text{ length } I = n \text{ wf-interp-for-formula } (w, I) (FIn m M)$   
**unfolding** rexp-of.simps lang.simps lang-ENC-formula[ $\text{OF } FIn$ ] **by** fastforce  
**hence** stream-enc:  $\text{stream-enc } (w, I) = ?x$  **using** stream-enc-enc **by** auto  
**from**  $I FIn$  **obtain**  $p P$  **where**  $m: I ! m = \text{Inl } p m < \text{length } I I ! M = \text{Inr } P$   
 $M < \text{length } I$   
**by** (auto split: sum.splits)  
**with**  $I$  **have** wf-interp-for-formula (dec-word  $?x$ , stream-dec  $n \{m\} ?x$ ) ( $FIn$   
 $m M)$  **unfolding**  $I(1)$   
**using** enc-wf-interp[ $\text{OF } FIn(1)[\text{folded } I(2)]$ ] **by** auto  
**moreover**  
**from**  $x$  **obtain**  $u1 u u2$  **where**  $x = u1 @ u @ u2$   
 $u \in \text{lang } n (\text{Atom (Arbitrary-Except2 } m M))$   
**unfolding** rexp-of.simps lang.simps rexp-of-list.simps **using** conce by fast  
**with**  $FIn(1)$  **obtain**  $v$  **where**  $v: x = u1 @ [v] @ u2 \text{ snd } v ! m \text{ snd } v ! M$  **and**  
 $\text{fst } v \in \text{set } \Sigma$   
**using** Arbitrary-Except2D[of  $u n m M$ ] **by** simp (auto simp:  $\sigma$ -def)  
**from**  $v$  **have**  $u: \text{length } u1 < \text{length } x$  **by** auto  
{ **from**  $v$  **have**  $\text{snd } (x ! \text{length } u1) ! m$  **by** auto  
**moreover**  
**from**  $m I$  **have**  $p < \text{length } x \text{ snd } (x ! p) ! m$  **by** (auto dest: enc-Inl simp del:  
enc.simps)

```

moreover
  from m I have ex1:  $\exists !p. \text{snd}(\text{stream-enc}(w, I) !! p) ! m$  by (intro
  stream-enc-unique) auto
    ultimately have  $p = \text{length } u_1$  unfolding stream-enc using  $u I(3)$  by auto
  } note * = this
  from v have  $v = x ! \text{length } u_1$  by simp
  with  $v(3) m(3,4) u I(1,3)$  have  $\text{length } u_1 \in P$  by (auto dest!: enc-Inr simp
  del: enc.simps)
  with * m I have (dec-word ?x, stream-dec n {m} ?x)  $\models FIn m M$ 
    using stream-enc-enc[OF - I(1), symmetric]
    by (auto simp del: stream-enc.simps dest: stream-dec-not-Inr stream-dec-not-Inl
    stream-dec-enc-Inl stream-dec-enc-Inr split: sum.splits)
  moreover from m I(2)
    have stream-enc-dec: stream-enc (dec-word (stream-enc (w, I)), stream-dec n
    {m} (stream-enc (w, I))) = stream-enc (w, I)
    by (intro stream-enc-dec)
      (auto simp: smap2-alt sdrop-snth shift-snth intro: stream-enc-unique,
      auto simp: smap2-szip stream.set-map)
    moreover from I have wf-word n x unfolding wf-word by (auto elim:
    enc-set- $\sigma$  simp del: enc.simps)
      ultimately show  $x \in \text{lang}_{WS1S} n (FIn m M)$  unfolding langWS1S-def using
      m I(1,3)
        by (auto simp del: enc.simps stream-enc.simps intro!: exI[of - enc (dec-word
        ?x, stream-dec n {m} ?x)],
        fastforce simp del: enc.simps stream-enc.simps,
        auto simp del: stream-enc.simps simp: stream-enc[symmetric] I(2))
    qed
  next
    case (For  $\varphi_1 \varphi_2$ )
    from For(3) have IH1:  $\text{lang}_{WS1S} n \varphi_1 = \text{lang } n (\text{rexp-of } n \varphi_1)$ 
      by (intro For(1)) auto
    from For(3) have IH2:  $\text{lang}_{WS1S} n \varphi_2 = \text{lang } n (\text{rexp-of } n \varphi_2)$ 
      by (intro For(2)) auto
    show ?case
      proof (intro equalityI subsetI)
        fix x assume  $x \in \text{lang}_{WS1S} n (For \varphi_1 \varphi_2)$  thus  $x \in \text{lang } n (\text{rexp-of } n (For \varphi_1 \varphi_2))$ 
          using langWS1S-For[OF For(3)] unfolding lang-ENC-formula[OF For(3)]
          rexp-of.simps lang.simps IH1 IH2 by blast
      next
        fix x assume  $x \in \text{lang } n (\text{rexp-of } n (For \varphi_1 \varphi_2))$ 
        then obtain w I where or:  $x \in \text{lang}_{WS1S} n \varphi_1 \vee x \in \text{lang}_{WS1S} n \varphi_2$  and
        I:  $x \in \text{enc}(w, I)$   $\text{length } I = n$ 
        wf-interp-for-formula (w, I) (For  $\varphi_1 \varphi_2$ )
        unfolding lang-ENC-formula[OF For(3)] rexp-of.simps lang.simps IH1 IH2
        Int-Diff by auto
        have  $(w, I) \models \varphi_1 \vee (w, I) \models \varphi_2$ 
        proof (intro mp[OF disj-mono[OF impI impI] or])
          assume  $x \in \text{lang}_{WS1S} n \varphi_1$ 

```

```

with I FOr(3) show (w, I) ⊨ φ₁
  unfolding langWS1S-def I(1) wf-interp-for-formula-FOr
  by (auto dest!: enc-welldef[of x w I - - φ₁] simp del: enc.simps)
next
  assume x ∈ langWS1S n φ₂
  with I FOr(3) show (w, I) ⊨ φ₂
    unfolding langWS1S-def I(1) wf-interp-for-formula-FOr
    by (auto dest!: enc-welldef[of x w I - - φ₂] simp del: enc.simps)
  qed
  with I show x ∈ langWS1S n (FOr φ₁ φ₂) unfolding langWS1S-def by auto
  qed
next
  case (FAnd φ₁ φ₂)
  from FAnd(3) have IH1: langWS1S n φ₁ = lang n (rexp-of n φ₁)
    by (intro FAnd(1)) auto
  from FAnd(3) have IH2: langWS1S n φ₂ = lang n (rexp-of n φ₂)
    by (intro FAnd(2)) auto
  show ?case
    proof (intro equalityI subsetI)
      fix x assume x ∈ langWS1S n (FAnd φ₁ φ₂) thus x ∈ lang n (rexp-of n (FAnd
φ₁ φ₂))
        using langWS1S-FAnd[OF FAnd(3)]
        unfolding lang-ENC-formula[OF FAnd(3)] rexp-of.simps rexp-of-list.simps
lang.simps IH1 IH2 Int-assoc
        by blast
    next
      fix x assume x ∈ lang n (rexp-of n (FAnd φ₁ φ₂))
      then obtain w I where and: x ∈ langWS1S n φ₁ ∧ x ∈ langWS1S n φ₂ and
I: x ∈ enc (w, I) length I = n
        wf-interp-for-formula (w, I) (FAnd φ₁ φ₂)
        unfolding lang-ENC-formula[OF FAnd(3)] rexp-of.simps rexp-of-list.simps
lang.simps IH1 IH2 Int-Diff by auto
        have (w, I) ⊨ φ₁ ∧ (w, I) ⊨ φ₂
        proof (intro mp[OF conj-mono[OF impI impI] and])
          assume x ∈ langWS1S n φ₁
          with I FAnd(3) show (w, I) ⊨ φ₁
            unfolding langWS1S-def I(1) wf-interp-for-formula-FAnd
            by (auto dest!: enc-welldef[of x w I - - φ₁] simp del: enc.simps)
        next
          assume x ∈ langWS1S n φ₂
          with I FAnd(3) show (w, I) ⊨ φ₂
            unfolding langWS1S-def I(1) wf-interp-for-formula-FAnd
            by (auto dest!: enc-welldef[of x w I - - φ₂] simp del: enc.simps)
        qed
        with I show x ∈ langWS1S n (FAnd φ₁ φ₂) unfolding langWS1S-def by auto
        qed
    next
      case (FNot φ)
      hence IH: ?L n φ = langWS1S n φ by simp

```

```

show ?case
proof (intro equalityI subsetI)
fix x assume x ∈ langWS1S n (FNot φ)
then obtain w I where
*: x ∈ enc (w, I) wf-interp-for-formula (w, I) φ length I = n and unsat: ⊥
(w, I) ⊨ φ
unfolding langWS1S-def by auto
{ assume x ∈ ?L n φ
hence (w, I) ⊨ φ using enc-welldef[of x w I - - φ, OF *(1) - - - *(2)]
FNot(2)
unfolding *(3) langWS1S-def IH by auto
}
with unsat have x ∉ ?L n φ by blast
with * show x ∈ ?L n (FNot φ) unfolding rexp-of.simps lang.simps using
lang-ENC-formula[OF FNot(2)]
by (auto simp del: enc.simps simp: comp-def intro: enc-set-σ)
next
fix x assume x ∈ ?L n (FNot φ)
with IH have x ∈ lang n (ENC n (FOV (FNot φ))) and x: x ∉ langWS1S n
φ by (auto simp del: o-apply)
then obtain w I where *: x ∈ enc (w, I) wf-interp-for-formula (w, I) (FNot
φ) length I = n
unfolding lang-ENC-formula[OF FNot(2)] by blast
{ assume ⊥ (w, I) ⊨ FNot φ
with * have x ∈ langWS1S n φ unfolding langWS1S-def by auto
}
with x * show x ∈ langWS1S n (FNot φ) unfolding langWS1S-def by blast
qed
next
case (FExists φ)
have σ: (any, replicate n False) ∈ (set o σ Σ) n by (auto simp: σ-def set-n-lists
image-iff)
from FExists(2) have wf: wf n (Pr (rexp-of (Suc n) φ)) by (fastforce intro:
wf-rexp-of)
note lang-quot = lang-samequot-exec[OF wf σ]
show ?case
proof (intro equalityI subsetI)
fix x assume x ∈ langWS1S n (FExists φ)
then obtain w I p where
*: x ∈ enc (w, I) wf-interp-for-formula (w, I) (FExists φ) length I = n (w,
Inl p # I) ⊨ φ
unfolding langWS1S-def by auto
with FExists(2) have enc (w, Inl p # I) ⊆ ?L (Suc n) φ
by (subst FExists(1)[of Suc n, symmetric])
(fastforce simp del: enc.simps simp: langWS1S-def nth-Cons' intro!: exI[of -
enc (w, Inl p # I)])+
thus x ∈ ?L n (FExists φ) using *(1,2,3)
by (auto simp: lang-quot simp del: o-apply enc.simps elim: subsetD[OF SAME-
QUOT-mono[OF image-mono]])

```

```

next
fix x assume  $x \in ?L n (\text{FExists } \varphi)$ 
then obtain  $x' m$  where  $x' \in ?L (\text{Suc } n) \varphi$  and
 $x: x = \text{fin-cut-same} (\text{any}, \text{replicate } n \text{ False}) (\text{map } \pi x') @ \text{replicate } m (\text{any},$ 
 $\text{replicate } n \text{ False})$ 
by (auto simp: lang-quot SAMEQUOT-def simp del: o-apply enc.simps)
with FExists(2) have  $x' \in \text{lang}_{WS1S} (\text{Suc } n) \varphi$ 
by (intro subsetD[OF equalityD2[OF FExists(1)], of Suc n x'])
(auto split: if-split-asm sum.splits)
then obtain  $w I'$  where
 $*: x' \in \text{enc} (w, I') \text{ wf-interp-for-formula} (w, I') \varphi \text{ length } I' = \text{Suc } n (w, I')$ 
 $\models \varphi$ 
unfolding  $\text{lang}_{WS1S}\text{-def}$  by blast
moreover then obtain  $I_0 I$  where  $I' = I_0 \# I$  by (cases I') auto
moreover with FExists(2) *(2) obtain  $p$  where  $I_0 = \text{Inl } p$ 
by (auto simp: nth-Cons' split: sum.splits if-split-asm)
ultimately have  $x \in \text{enc} (w, I) \text{ wf-interp-for-formula} (w, I) (\text{FExists } \varphi) \text{ length }$ 
 $I = n$ 
 $(w, I) \models \text{FExists } \varphi$  using FExists(2) fin-cut-same-tl[OF ex-Loop-stream-enc,
of Inl p # I w]
unfolding  $x$  by (auto simp add: π-def nth-Cons' split: if-split-asm)
thus  $x \in \text{lang}_{WS1S} n (\text{FExists } \varphi)$  unfolding  $\text{lang}_{WS1S}\text{-def}$  by (auto intro!: exI[of - I])
qed
next
case (FEXISTS  $\varphi$ )
have  $\sigma: (\text{any}, \text{replicate } n \text{ False}) \in (\text{set } o \sigma \Sigma) n$  by (auto simp: σ-def set-n-lists
image-iff)
from FEXISTS(2) have  $wf: wf n (\text{Pr} (\text{rexp-of} (\text{Suc } n) \varphi))$  by (fastforce intro!: wf-rexp-of)
note lang-quot = lang-samequot-exec[OF wf σ]
show ?case
proof (intro equalityI subsetI)
fix x assume  $x \in \text{lang}_{WS1S} n (\text{FEXISTS } \varphi)$ 
then obtain  $w I P$  where
 $*: x \in \text{enc} (w, I) \text{ wf-interp-for-formula} (w, I) (\text{FEXISTS } \varphi) \text{ length } I = n$ 
finite  $P (w, \text{Inr } P \# I) \models \varphi$ 
unfolding  $\text{lang}_{WS1S}\text{-def}$  by auto
with FEXISTS(2) have  $\text{enc} (w, \text{Inr } P \# I) \subseteq ?L (\text{Suc } n) \varphi$ 
by (subst FEXISTS(1)[of Suc n, symmetric])
(fastforce simp del: enc.simps simp: lang_{WS1S}-def nth-Cons' intro!: exI[of -
enc (w, Inr P # I)])+
thus  $x \in ?L n (\text{FEXISTS } \varphi)$  using *(1,2,3,4)
by (auto simp: lang-quot simp del: o-apply enc.simps elim: subsetD[OF SAME-
QUOT-mono[OF image-mono]])
next
fix x assume  $x \in ?L n (\text{FEXISTS } \varphi)$ 
then obtain  $x' m$  where  $x' \in ?L (\text{Suc } n) \varphi$  and
 $x: x = \text{fin-cut-same} (\text{any}, \text{replicate } n \text{ False}) (\text{map } \pi x') @ \text{replicate } m (\text{any},$ 

```

```

replicate n False)
  by (auto simp: lang-quot SAMEQUOT-def simp del: o-apply enc.simps)
  with FEXISTS(2) have x' ∈ langWS1S (Suc n) φ
    by (intro subsetD[OF equalityD2[OF FEXISTS(1)], of Suc n x'])
      (auto split: if-split-asm sum.splits)
  then obtain w I' where
    *: x' ∈ enc (w, I') wf-interp-for-formula (w, I') φ length I' = Suc n (w, I')
    ⊨ φ
      unfolding langWS1S-def by blast
      moreover then obtain I₀ I where I' = I₀ # I by (cases I') auto
      moreover with FEXISTS(2) *(2) obtain P where I₀ = Inr P finite P
        by (auto simp: nth-Cons' split: sum.splits if-split-asm)
        ultimately have x ∈ enc (w, I) wf-interp-for-formula (w, I) (FEXISTS φ)
        length I = n
        (w, I) ⊨ FEXISTS φ using FEXISTS(2) fin-cut-same-tl[OF ex-Loop-stream-enc,
        of Inr P # I]
          unfolding x by (auto simp: nth-Cons' π-def split: if-split-asm)
          thus x ∈ langWS1S n (FEXISTS φ) unfolding langWS1S-def by (auto intro!:
          exI[of - I])
            qed
        qed
      qed

lemma wf-rexp-of-alt: wf-formula n φ ==> wf n (rexp-of-alt n φ)
  by (induct φ arbitrary: n)
    (auto intro!: wf-samequot-exec wf-rexp-ENC,
     auto simp: max-idx-vars finite-FOV)

lemma wf-rexp-of': wf-formula n φ ==> wf n (rexp-of' n φ)
  unfolding rexp-of'-def by (auto simp: max-idx-vars intro: wf-rexp-of-alt wf-rexp-ENC[OF
  finite-FOV])

lemma wf-rexp-of-alt': wf-formula n φ ==> wf n (rexp-of-alt' n φ)
  by (induct φ arbitrary: n)
    (auto intro!: wf-samequot-exec wf-rexp-ENC,
     auto simp: max-idx-vars finite-FOV)

lemma wf-rexp-of'': wf-formula n φ ==> wf n (rexp-of'' n φ)
  unfolding rexp-of''-def by (auto simp: wf-rexp-ENC wf-rexp-of-alt' finite-FOV
  max-idx-vars)

lemma ENC-FNot: ENC n (FOV (FNot φ)) = ENC n (FOV φ)
  unfolding ENC-def by auto

lemma ENC-FAnd:
  wf-formula n (FAnd φ ψ) ==> lang n (ENC n (FOV (FAnd φ ψ))) ⊆ lang n
  (ENC n (FOV φ)) ∩ lang n (ENC n (FOV ψ))
  proof
    fix x assume wf: wf-formula n (FAnd φ ψ) and x: x ∈ lang n (ENC n (FOV

```

```

(FAnd  $\varphi \psi)))$ 
  hence wf1: wf-formula n  $\varphi$  and wf2: wf-formula n  $\psi$  by auto
  from x obtain w I where I:  $x \in enc(w, I)$  wf-interp-for-formula (w, I) (FAnd
 $\varphi \psi)$  length I = n
    using lang-ENC-formula[OF wf] by auto
  hence wf-interp-for-formula (w, I)  $\varphi$  wf-interp-for-formula (w, I)  $\psi$ 
    using wf-interp-for-formula-FAnd by auto
  thus  $x \in lang(n(ENC n(FOV \varphi)) \cap lang(n(ENC n(FOV \psi)))$ 
    unfolding lang-ENC-formula[OF wf1] lang-ENC-formula[OF wf2] using I by
blast
qed

```

**lemma** ENC-FOr:

```

wf-formula n (FOr  $\varphi \psi) \implies lang(n(ENC n(FOV(FOr \varphi \psi))) \subseteq lang(n(ENC$ 
n(FOV  $\varphi)) \cap lang(n(ENC n(FOV \psi)))$ 

```

**proof**

```

fix x assume wf: wf-formula n (FOr  $\varphi \psi)$  and x:  $x \in lang(n(ENC n(FOV$ 
(FOr  $\varphi \psi)))$ 
  hence wf1: wf-formula n  $\varphi$  and wf2: wf-formula n  $\psi$  by auto
  from x obtain w I where I:  $x \in enc(w, I)$  wf-interp-for-formula (w, I) (FOr
 $\varphi \psi)$  length I = n
    using lang-ENC-formula[OF wf] by auto
  hence wf-interp-for-formula (w, I)  $\varphi$  wf-interp-for-formula (w, I)  $\psi$ 
    using wf-interp-for-formula-FOr by auto
  thus  $x \in lang(n(ENC n(FOV \varphi)) \cap lang(n(ENC n(FOV \psi)))$ 
    unfolding lang-ENC-formula[OF wf1] lang-ENC-formula[OF wf2] using I by
blast
qed

```

**lemma** ENC-FExists:

```

wf-formula n (FExists  $\varphi) \implies lang(n(ENC n(FOV(FExists \varphi))) =$ 
SAMEQUOT (any, replicate n False) (map  $\pi`lang(Suc n)(ENC(Suc n)(FOV$ 
 $\varphi)))$  (is -  $\implies ?L = ?R$ )

```

**proof** (intro equalityI subsetI)

```

fix x assume wf: wf-formula n (FExists  $\varphi)$  and x:  $x \in ?L$ 
  hence wf1: wf-formula (Suc n)  $\varphi$  by auto
  from x obtain w I where I:  $x \in enc(w, I)$  wf-interp-for-formula (w, I) (FExists
 $\varphi)$  length I = n
    using lang-ENC-formula[OF wf] by auto
  from I(2) obtain p where wf-interp-for-formula (w, Inl p # I)  $\varphi$ 
    using wf-interp-for-formula-FExists[OF wf[folded I(3)]] by blast
  with I(3) show  $x \in ?R$ 
    unfolding lang-ENC-formula[OF wf1] using I(1) tl-enc[of Inl p I, symmetric]
    by (simp del: enc.simps)
    (fastforce simp del: enc.simps elim!: rev-subsetD[OF - SAMEQUOT-mono[OF
image-mono]])
    intro: exI[of - enc (w, Inl p # I)])

```

**next**

```

fix x assume wf: wf-formula n (FExists  $\varphi)$  and x:  $x \in ?R$ 

```

```

hence wf1: wf-formula (Suc n)  $\varphi$  and  $0 \in FOV \varphi$  by auto
from x obtain w I where I:  $x \in SAMEQUOT(\text{any}, \text{replicate } n \text{ False})$  ( $\text{map } \pi$ 
‘  $\text{enc}(w, I)$ )
    wf-interp-for-formula (w, I)  $\varphi$   $\text{length } I = Suc n$ 
    using lang-ENC-formula[ $OF wf1$ ] unfolding SAMEQUOT-def by fast
    with  $\langle 0 \in FOV \varphi \rangle$  obtain p I' where I':  $I = Inl p \# I'$  by (cases I) (fastforce
    split: sum.splits)+
    with I have wtI:  $x \in \text{enc}(w, I')$   $\text{length } I' = n$  using tl-enc[of Inl p I' w] by
    auto
    have wf-interp-for-formula (w, I') (FExists  $\varphi$ )
    using wf-interp-for-formula-FExists[ $OF wf[\text{folded } wtI(2)]$ ]
        wf-interp-for-formula-any-Inr[ $OF I(2)[\text{unfolded } I']$ ] ..
    with wtI show x  $\in ?L$  unfolding lang-ENC-formula[ $OF wf$ ] by blast
qed

```

**lemma** ENC-FEXISTS:

```

wf-formula n (FEXISTS  $\varphi$ )  $\implies$  lang n (ENC n (FOV (FEXISTS  $\varphi$ ))) =
SAMEQUOT (any, replicate n False) ( $\text{map } \pi$  ‘ lang (Suc n) (ENC (Suc n) (FOV
 $\varphi$ ))) (is -  $\implies$  ?L = ?R)

```

**proof** (intro equalityI subsetI)

```

fix x assume wf: wf-formula n (FEXISTS  $\varphi$ ) and x:  $x \in ?L$ 
hence wf1: wf-formula (Suc n)  $\varphi$  by auto
from x obtain w I where I:  $x \in \text{enc}(w, I)$  wf-interp-for-formula (w, I)
(FEXISTS  $\varphi$ )  $\text{length } I = n$ 
using lang-ENC-formula[ $OF wf$ ] by auto
from I(2) obtain P where wf-interp-for-formula (w, Inr P # I)  $\varphi$ 
using wf-interp-for-formula-FEXISTS[ $OF wf[\text{folded } I(3)]$ ] by blast
with I(3) show x  $\in ?R$ 
unfolding lang-ENC-formula[ $OF wf1$ ] using I(1) tl-enc[of Inr P I, symmetric]
by (simp del: enc.simps)
    (fastforce simp del: enc.simps elim!: rev-subsetD[ $OF - SAMEQUOT\text{-mono}[OF$ 
    image-mono]]]
    intro: exI[of - enc (w, Inr P # I)])

```

**next**

```

fix x assume wf: wf-formula n (FEXISTS  $\varphi$ ) and x:  $x \in ?R$ 
hence wf1: wf-formula (Suc n)  $\varphi$  and  $0 \in SOV \varphi$  by auto
from x obtain w I where I:  $x \in SAMEQUOT(\text{any}, \text{replicate } n \text{ False})$  ( $\text{map } \pi$ 
‘  $\text{enc}(w, I)$ )
    wf-interp-for-formula (w, I)  $\varphi$   $\text{length } I = Suc n$ 
    using lang-ENC-formula[ $OF wf1$ ] unfolding SAMEQUOT-def by fast
    with  $\langle 0 \in SOV \varphi \rangle$  obtain P I' where I':  $I = Inr P \# I'$  by (cases I) (fastforce
    split: sum.splits)+
    with I have wtI:  $x \in \text{enc}(w, I')$   $\text{length } I' = n$  using tl-enc[of Inr P I' w] by
    auto
    have wf-interp-for-formula (w, I') (FEXISTS  $\varphi$ )
    using wf-interp-for-formula-FExists[ $OF wf[\text{folded } wtI(2)]$ ]
        wf-interp-for-formula-any-Inr[ $OF I(2)[\text{unfolded } I']$ ] ..
    with wtI show x  $\in ?L$  unfolding lang-ENC-formula[ $OF wf$ ] by blast
qed

```

```

lemma langWS1S-rexp-of-rexp-of':
  wf-formula n φ ==> lang n (rexp-of n φ) = lang n (rexp-of' n φ)
  unfolding rexp-of'-def proof (induction φ arbitrary: n)
    case (FNot φ)
    hence wf-formula n φ by simp
    with FNot.IH show ?case unfolding rexp-of.simps rexp-of-alt.simps lang.simps
      ENC-FNot by blast
  next
    case (FAnd φ1 φ2)
    hence wf1: wf-formula n φ1 and wf2: wf-formula n φ2 by force+
    from FAnd.IH(1)[OF wf1] FAnd.IH(2)[OF wf2] show ?case using ENC-FAnd[OF
      FAnd.simps]
    unfolding rexp-of.simps rexp-of-alt.simps lang.simps rexp-of-list.simps by blast
  next
    case (FOr φ1 φ2)
    hence wf1: wf-formula n φ1 and wf2: wf-formula n φ2 by force+
    from FOr.IH(1)[OF wf1] FOr.IH(2)[OF wf2] show ?case using ENC-FOr[OF
      FOr.simps]
    unfolding rexp-of.simps rexp-of-alt.simps lang.simps by blast
  next
    case (FExists φ)
    from FExists(2) have IH: lang (n + 1) (rexp-of (n + 1) φ) =
      lang (n + 1) (Inter (rexp-of-alt (n + 1) φ) (ENC (n + 1) (FOV φ))) by
      (intro FExists.IH) auto
    have σ: (any, replicate n False) ∈ (set o σ Σ) n by (auto simp: σ-def set-n-lists
      image-iff)
    from FExists(2) have wf: wf n (Pr (rexp.Inter (rexp-of-alt (n + 1) φ) (ENC
      (n + 1) (FOV φ)))) wf n (Pr (rexp-of (n + 1) φ)) by (fastforce simp: max-idx-vars intro!: wf-rexp-of
      wf-rexp-of-alt wf-rexp-ENC[OF finite-FOV])+
    note lang-quot = lang-samequot-exec[OF wf(1) σ] lang-samequot-exec[OF wf(2)
      σ]
    show ?case unfolding rexp-of.simps rexp-of-alt.simps lang.simps IH lang-quot
      Suc-eq-plus1
      ENC-FExists[OF FExists.simps, unfolded Suc-eq-plus1] by (auto simp add:
      SAMEQUOT-def)
  next
    case (FEXISTS φ)
    from FEXISTS(2) have IH: lang (n + 1) (rexp-of (n + 1) φ) =
      lang (n + 1) (Inter (rexp-of-alt (n + 1) φ) (ENC (n + 1) (FOV φ))) by
      (intro FEXISTS.IH) auto
    have σ: (any, replicate n False) ∈ (set o σ Σ) n by (auto simp: σ-def set-n-lists
      image-iff)
    from FEXISTS(2) have wf: wf n (Pr (rexp.Inter (rexp-of-alt (n + 1) φ) (ENC
      (n + 1) (FOV φ)))) wf n (Pr (rexp-of (n + 1) φ)) by (fastforce simp: max-idx-vars intro!: wf-rexp-of
      wf-rexp-of-alt wf-rexp-ENC[OF finite-FOV])+
    note lang-quot = lang-samequot-exec[OF wf(1) σ] lang-samequot-exec[OF wf(2)]

```

$\sigma]$

```

show ?case unfolding rexp-of.simps rexp-of-alt.simps lang.simps IH lang-quot
Suc-eq-plus1
  ENC-FEXISTS[OF FEXISTS.prem, unfolded Suc-eq-plus1] by (auto simp add:
SAMEQUOT-def)
qed auto

lemma SAMEQUTO-UN[simp]: SAMEQUOT x ( $\bigcup y \in A. B y$ ) = ( $\bigcup y \in A.$ 
SAMEQUOT x (B y))
unfolding SAMEQUOT-def by auto

lemma finite-positions-in-row[simp]:
n > 0  $\implies$  finite (positions-in-row (x @- sconst (any, replicate n False)) 0)
unfolding positions-in-row shift-snth by auto

lemma fin-cut-same-snoc: fin-cut-same x (xs @ [y]) = (if x = y then fin-cut-same
x xs else xs @ [y])
by (induct xs) auto

lemma fin-cut-same-idem: fin-cut-same x (fin-cut-same x xs) = fin-cut-same x xs
by (induct xs) auto

lemma cut-same-sconst: cut-same x (xs @- sconst x) = fin-cut-same x xs
proof (induct xs rule: rev-induct)
case (snoc y ys)
  then show ?case by (auto simp del: id-apply simp add: fin-cut-same-snoc
sconst-collapse)
qed (simp del: id-apply)

lemma length-cut-same: length (cut-same x s) = (LEAST n. sdrop n s = sconst
x)
unfolding cut-same-def by simp

lemma enc-alt: wf-interp w I  $\implies$ 
x ∈ enc (w, I)  $\longleftrightarrow$  x @- sconst ((any, replicate (length I) False)) = stream-enc
(w, I)
unfolding enc.simps
by (force simp only: shift-append shift-replicate-sconst stream-enc-cut-same[symmetric]
length-append length-replicate length-cut-same sdrop-shift drop-all diff-self-eq-0
shift.simps sdrop.simps
dest: sym[of - stream-enc (w, I)]
intro: shift-sconst-inj[rotated, of - (any, replicate (length I) False)] Least-le
exI[of - length x - length (cut-same (any, replicate (length I) False) (stream-enc
(w, I)))]
le-add-diff-inverse[symmetric] )

lemma stream-stream-eqI:  $\llbracket \forall (-, x) \in sset xs. x \neq []; \forall (-, x) \in sset ys. x \neq [];$ 
 $smap (\lambda(-, x). hd x) xs = smap (\lambda(-, x). hd x) ys; smap \pi xs = smap \pi ys \rrbracket \implies$ 
 $xs = ys$ 

```

```

proof (coinduction arbitrary: xs ys)
  case Eq-stream
  then show ?case
  proof (cases xs ys rule: stream.exhaust[case-product stream.exhaust])
    case (SCons-SCons h1 t1 h2 t2)
    with Eq-stream show ?thesis
      by (cases snd h1 snd h2 rule: list.exhaust[case-product list.exhaust])
        (auto simp: π-def split: prod.splits)
  qed
qed

lemma project-enc-extend:
  fixes x I
  defines n ≡ length I
  defines z ≡ λn. (any, replicate n False)
  defines I' ≡ Inr (positions-in-row (x @- sconst (z (Suc n))) 0) # I
  assumes wf: wf-interp w I
  assumes enc: fin-cut-same (z n) (map π x) @ replicate m (z n) ∈ enc (w, I)
  assumes nonempty: ∀(-, x) ∈ set x. x ≠ []
  shows x ∈ enc (w, I')
  proof –
    have [simp]: π (z (Suc n)) = z n
    and z-def: ∀n. z n = (any, replicate n False) unfolding π-def z-def by auto
    have wf': wf-interp w I' by (simp add: wf I'-def z-def del: replicate-Suc)
    note simps[simp del] = stream-enc.simps
    show ?thesis unfolding enc-alt[OF wf']
    proof (rule stream-stream-eqI)
      from nonempty stream-smap-nats[of map (λ(-, y). hd y) x @- sconst False]
      smap-szip-fst
      show smap (λ(-, x). hd x) (x @- sconst (any, replicate (length I') False)) =
        smap (λ(-, x). hd x) (stream-enc (w, I'))
      by (auto simp add: stream-enc.simps I'-def z-def smap2-szip stream.map-comp
        o-def split-def
          positions-in-row shift-snth hd-conv-nth intro: smap-szip-fst[symmetric]
          cong: stream.map-cong)
    next
      from wf have fin-cut-same (z n) (map π x) = cut-same (z n) (stream-enc (w, I))
      using stream-enc-enc[OF - enc] by (auto simp add: cut-same-sconst z-def
        n-def fin-cut-same-idem)
      then obtain m' where πx: map π x = cut-same (z n) (stream-enc (w, I)) @
        replicate m' (z n)
      by (auto dest!: fin-cut-sameE)
      with wf show smap π (x @- sconst (any, replicate (length I') False)) =
        smap π (stream-enc (w, I'))
      by (simp del: replicate-Suc add: n-def[symmetric] z-def[symmetric] I'-def
        stream-enc-cut-same[of I, symmetric, folded n-def z-def])
    qed (insert nonempty, simp-all add: stream-enc.simps I'-def split-beta smap2-szip
      stream.set-map)

```

qed

**lemma** *pred-case-conv*:  $x - Suc 0 = (\text{case } x \text{ of } 0 \Rightarrow 0 \mid Suc m \Rightarrow m)$   
**by** (*cases*  $x$ ) *auto*

**lemma** *in-pred-image-iff*:  $0 \notin X \implies (x \in (\lambda x. x - Suc 0) ' X) = (Suc x \in X)$   
**by** (*auto simp*: *pred-case-conv split*: *nat.splits*)

**lemma** *map-project-Int-ENC*:

**fixes**  $X Z n$

**defines**  $z \equiv (\text{any}, \text{replicate } n \text{ False})$

**assumes**  $0 \notin X$   $X \subseteq \{0 .. < n + 1\}$   $Z \subseteq \text{lists} ((\text{set } o \sigma \Sigma) (n + 1))$

**shows** *SAMEQUOT*  $z$  (*map*  $\pi$  ‘ $(Z \cap \text{lang} (n + 1) (\text{ENC} (n + 1) X))$ ) =  
*SAMEQUOT*  $z$  (*map*  $\pi$  ‘ $Z$ )  $\cap \text{lang} n (\text{ENC} n ((\lambda x. x - 1) ' X))$

**proof** –

**let**  $?Y = \{0 .. < n + 1\} - X$

**let**  $?fX = (\lambda x. x - 1) ' X$

**let**  $?fY = \{0 .. < n\} - (\lambda x. x - 1) ' X$

**from assms have**  $*: (\lambda x. x - 1) ' X \subseteq \{0 .. < n\}$  **by** (*cases*  $n$ ) *auto*

**show**  $?thesis$

**proof** (*safe elim!*: *subsetD*[*OF SAMEQUOT-mono*[*OF subset-trans*[*OF image-Int-subset Int-lower1*]]])

**fix**  $w$  **assume**  $w \in \text{SAMEQUOT} z (\text{map } \pi ' (Z \cap \text{lang} (n + 1) (\text{ENC} (n + 1) X)))$

**then have**  $w \in \text{SAMEQUOT} z (\text{map } \pi ' \text{lang} (n + 1) (\text{ENC} (n + 1) X))$

**by** (*rule rev-subsetD*[*OF - SAMEQUOT-mono*]) *auto*

**with assms(2) show**  $w \in \text{lang} n (\text{ENC} n ((\lambda x. x - 1) ' X))$

**unfolding** *lang-ENC*[*OF assms(3) subset-refl*] *lang-ENC*[*OF \* subset-refl*]

**by** (*auto simp*: *image-Union* *z-def* *length-Suc-conv* *simp del*: *enc.simps*

*intro!*: *exI*[*of - enc* ( $w, I$ ) **for**  $w I$ , *OF conjI*[*of - x ∈ A for x A*]])

*(fastforce simp*: *nth-Cons image-iff split*: *nat.splits sum.splits*)

**next**

**fix**  $w$  **assume**  $w \in \text{SAMEQUOT} z (\text{map } \pi ' Z)$   $w \in \text{lang} n (\text{ENC} n ((\lambda x. x - 1) ' X))$

**then show**  $w \in \text{SAMEQUOT} z (\text{map } \pi ' (Z \cap \text{lang} (n + 1) (\text{ENC} (n + 1) X)))$

**unfolding** *z-def SAMEQUOT-def* **proof** (*safe, intro exI conjI*)

**fix**  $m x$

**assume**  $\pi x: \text{fin-cut-same} (\text{any}, \text{replicate } n \text{ False}) (\text{map } \pi x) @$

$\text{replicate } m (\text{any}, \text{replicate } n \text{ False}) \in \text{lang} n (\text{ENC} n ((\lambda x. x - 1) ' X))$  **and**

$x \in Z$

**show**  $\text{map } \pi x \in \text{map } \pi ' (Z \cap \text{lang} (n + 1) (\text{ENC} (n + 1) X))$

**proof** (*intro imageI IntI*)

**from**  $\langle x \in Z \rangle$  *assms(4) have*  $\forall (-, x) \in \text{set } x. x \neq []$  **by** (*auto simp*: *σ-def*)

**with**  $\pi x$  *assms(2) show*  $x \in \text{lang} (n + 1) (\text{ENC} (n + 1) X)$

**unfolding** *lang-ENC*[*OF assms(3) subset-refl*] *lang-ENC*[*OF \* subset-refl*]

**proof** (*safe, intro UnionI*[*OF - project-enc-extend[rotated]*] *CollectI exI*

*conjI*)

**fix**  $w$  **and**  $I :: (\text{nat} + \text{nat set}) \text{ list}$

```

assume Ball (set I) (case-sum ( $\lambda a.$  True) finite)
then show Ball (set
  (Inr (positions-in-row (x @- sconst (any, replicate (Suc (length I))
  False)) 0) #I))
  (case-sum ( $\lambda a.$  True) finite) by (auto simp del: replicate-Suc)
  qed (auto simp add: nth-Cons' Ball-def in-pred-image-iff)
  qed (rule { $x \in Z$ })
  qed (rule refl)
qed
qed

lemma lang-ENC-split:
assumes finite X X = Y1  $\cup$  Y2 n = 0  $\vee$  ( $\forall p \in X.$  p < n)
shows lang n (ENC n X) = lang n (ENC n Y1)  $\cap$  lang n (ENC n Y2)
unfolding ENC-def lang-INTERSECT using assms lang-subset-lists[OF wf-rexp-valid-ENC,
of n] by auto

lemma map-project-ENC:
fixes n
assumes X  $\subseteq$  {0 .. $n + 1$ } Z  $\subseteq$  lists ((set o  $\sigma$   $\Sigma$ ) (n + 1))
defines z  $\equiv$  (any, replicate n False)
shows SAMEQUOT z (map  $\pi`$  (Z  $\cap$  lang (n + 1) (ENC (n + 1) X))) =
(if 0  $\in$  X
  then SAMEQUOT z (map  $\pi`$  (Z  $\cap$  lang (n + 1) (ENC (n + 1) {0})))  $\cap$  lang
  n (ENC n (( $\lambda x.$  x - 1) ` (X - {0})))
  else SAMEQUOT z (map  $\pi`$  Z)  $\cap$  lang n (ENC n (( $\lambda x.$  x - 1) ` (X - {0})))
  (is ?L = (if - then ?R1 else ?R2))
proof (split if-splits, intro conjI impI)
assume 0: 0  $\notin$  X
from assms have fin: finite X finite (( $\lambda x.$  x - 1) ` X)
  by (auto elim: finite-subset intro!: finite-imageI[of X])
from 0 show ?L = ?R2 using map-project-Int-ENC[OF 0 assms(1,2)]
unfolding lists-image[symmetric]  $\pi`$ 
  Int-absorb1[OF lang-subset-lists[OF wf-rexp-ENC[OF fin(1)]], of n + 1]
  Int-absorb1[OF lang-subset-lists[OF wf-rexp-ENC[OF fin(2)]], of n] unfolding
z-def
  by auto
next
assume 0  $\in$  X
hence 0: 0  $\notin$  X - {0} and X: X = {0}  $\cup$  (X - {0}) by auto
from assms have fin: finite X
  by (auto elim: finite-subset intro!: finite-imageI[of X])
have ?L = SAMEQUOT z (map  $\pi`$  ((Z  $\cap$  lang (n + 1) (ENC (n + 1) {0})))
 $\cap$  lang (n + 1) (ENC (n + 1) (X - {0})))
  unfolding Int-assoc z-def using assms by (subst lang-ENC-split[OF fin X, of
n + 1]) auto
also have ... = ?R1 unfolding z-def
  using assms(1,2) by (intro map-project-Int-ENC) auto
finally show ?L = ?R1 .

```

**qed**

```
lemma langM2L-rexp-of'-rexp-of'':
  wf-formula n φ ==> lang n (rexp-of' n φ) = lang n (rexp-of'' n φ)
unfolding rexp-of'-def rexp-of''-def
proof (induction φ arbitrary: n)
  case (FNot φ)
    hence wf-formula n φ by simp
    with FNot.IH show ?case unfolding rexp-of-alt.simps rexp-of-alt'.simps lang.simps
ENC-FNot by blast
next
  case (FAnd φ1 φ2)
    hence wf1: wf-formula n φ1 and wf2: wf-formula n φ2 by force+
    from FAnd.IH(1)[OF wf1] FAnd.IH(2)[OF wf2] show ?case using ENC-FAnd[OF
FAnd.prem]
    unfolding rexp-of-alt.simps rexp-of-alt'.simps lang.simps rexp-of-list.simps by
blast
next
  case (FOr φ1 φ2)
    hence wf1: wf-formula n φ1 and wf2: wf-formula n φ2 by force+
    from FOr.IH(1)[OF wf1] FOr.IH(2)[OF wf2] show ?case using ENC-FOr[OF
FOr.prem]
    unfolding rexp-of-alt.simps rexp-of-alt'.simps lang.simps rexp-of-list.simps by
blast
next
  case (FExists φ)
    hence wf: wf-formula (n + 1) φ and 0: 0 ∈ FOV φ by auto
    then show ?case
    using max-idx-vars[of n + 1 φ] wf-rexp-of-alt'[OF wf]
    unfolding rexp-of-alt.simps rexp-of-alt'.simps lang.simps Suc-eq-plus1
    proof (subst (1 2) lang-samequot-exec)
      show SAMEQUOT (any, replicate n False)
      (lang n (Pr (Inter (rexp-of-alt (n + 1) φ) (ENC (n + 1) (FOV φ))))) ∩
      lang n (ENC n (FOV (FExists φ))) =
      SAMEQUOT (any, replicate n False)
      (lang n (Pr (Inter (rexp-of-alt' (n + 1) φ) (ENC (n + 1) {0})))) ∩
      lang n (ENC n (FOV (FExists φ)))
      using wf 0 max-idx-vars[of n + 1 φ] wf-rexp-of-alt'[OF wf]
      unfolding lang.simps FExists.IH[OF wf, unfolded lang.simps]
      by (subst (1) map-project-ENC) (auto dest: subsetD[OF lang-subset-lists])
    qed (auto simp add: wf-rexp-of-alt finite-FOV wf-rexp-ENC)
next
  case (FEXISTS φ)
    hence wf: wf-formula (n + 1) φ and 0: 0 ∉ FOV φ by auto
    then show ?case
    using max-idx-vars[of n + 1 φ] wf-rexp-of-alt'[OF wf]
    unfolding rexp-of-alt.simps rexp-of-alt'.simps lang.simps Suc-eq-plus1
    proof (subst (1 2) lang-samequot-exec)
      show SAMEQUOT (any, replicate n False)
```

```

(lang n (Pr (Inter (rexp-of-alt (n + 1) φ) (ENC (n + 1) (FOV φ))))) ∩
lang n (ENC n (FOV (FEXISTS φ))) =
SAMEQUOT (any, replicate n False)
(lang n (Pr (rexp-of-alt' (n + 1) φ))) ∩
lang n (ENC n (FOV (FEXISTS φ)))
using wf 0 max-idx-vars[of n + 1 φ] wf-rexp-of-alt'[OF wf]
unfolding lang.simps FEXISTS.IH[OF wf, unfolded lang.simps]
by (subst (1) map-project-ENC) (auto dest: subsetD[OF lang-subset-lists])
qed (auto simp add: wf-rexp-of-alt finite-FOV wf-rexp-ENC)
qed simp-all

theorem langWS1S-rexp-of': wf-formula n φ ==> langWS1S n φ = lang n (rexp-of'
n φ)
unfolding langWS1S-rexp-of-rexp-of'[symmetric] by (rule langWS1S-rexp-of)

theorem langWS1S-rexp-of'': wf-formula n φ ==> langWS1S n φ = lang n (rexp-of'''
n φ)
unfolding langM2L-rexp-of'-rexp-of'''[symmetric] by (rule langWS1S-rexp-of')

end

```

## 14 Normalization of WS1S Formulas

```

fun nNot where
| nNot (FNot φ) = φ
| nNot (FAnd φ1 φ2) = FOr (nNot φ1) (nNot φ2)
| nNot (FOr φ1 φ2) = FAnd (nNot φ1) (nNot φ2)
| nNot φ = FNot φ

primrec norm where
| norm (FQ a m) = FQ a m
| norm (FLess m n) = FLess m n
| norm (FIn m M) = FIn m M
| norm (FOr φ ψ) = FOr (norm φ) (norm ψ)
| norm (FAnd φ ψ) = FAnd (norm φ) (norm ψ)
| norm (FNot φ) = nNot (norm φ)
| norm (FExists φ) = FExists (norm φ)
| norm (FEXISTS φ) = FEXISTS (norm φ)

context formula
begin

lemma satisfies-nNot[simp]: (w, I) ⊨ nNot φ ↔ (w, I) ⊨ FNot φ
by (induct φ rule: nNot.induct) auto

lemma FOV-nNot[simp]: FOV (nNot φ) = FOV (FNot φ)
by (induct φ rule: nNot.induct) auto

```

```

lemma SOV-nNot[simp]: SOV (nNot  $\varphi$ ) = SOV (FNot  $\varphi$ )
  by (induct  $\varphi$  rule: nNot.induct) auto

lemma pre-wf-formula-nNot[simp]: pre-wf-formula n (nNot  $\varphi$ ) = pre-wf-formula
n (FNot  $\varphi$ )
  by (induct  $\varphi$  rule: nNot.induct) auto

lemma FOV-norm[simp]: FOV (norm  $\varphi$ ) = FOV  $\varphi$ 
  by (induct  $\varphi$ ) auto

lemma SOV-norm[simp]: SOV (norm  $\varphi$ ) = SOV  $\varphi$ 
  by (induct  $\varphi$ ) auto

lemma pre-wf-formula-norm[simp]: pre-wf-formula n (norm  $\varphi$ ) = pre-wf-formula
n  $\varphi$ 
  by (induct  $\varphi$  arbitrary: n) auto

lemma satisfies-norm[simp]: wI  $\models$  norm  $\varphi \longleftrightarrow wI \models \varphi$ 
  by (induct  $\varphi$  arbitrary: wI) auto

lemma langWS1S-norm[simp]: langWS1S n (norm  $\varphi$ ) = langWS1S n  $\varphi$ 
  unfolding langWS1S-def by auto

end

```

## 15 Deciding Equivalence of WS1S Formulas

```

global-interpretation embed2 set o  $\sigma$   $\Sigma$  wf-atom  $\Sigma$   $\pi$  lookup  $\varepsilon$   $\Sigma$  case-prod Singleton
  for  $\Sigma :: 'a :: linorder list$ 
  defines
     $\mathfrak{D} = embed.lderiv lookup (\varepsilon \Sigma)$ 
    and  $Co\mathfrak{D} = embed.lderiv-dual lookup (\varepsilon \Sigma)$ 
    and  $r\mathfrak{D} = embed.rderiv lookup (\varepsilon \Sigma)$ 
    and  $r\mathfrak{D}\text{-add} = embed2.rderiv-and-add lookup (\varepsilon \Sigma)$ 
    and  $\mathfrak{Q} = embed2.samequot-exec lookup (\varepsilon \Sigma)$  (case-prod Singleton)
    by unfold-locales (auto simp:  $\sigma$ -def  $\pi$ -def  $\varepsilon$ -def set-n-lists)

lemma enum-not-empty[simp]: Enum.enum  $\neq []$  (is ?enum  $\neq []$ )
  proof (rule notI)
    assume ?enum = []
    hence set ?enum = {} by simp
    thus False unfolding UNIV-enum[symmetric] by simp
  qed

global-interpretation  $\Phi$ : formula Enum.enum :: ' $a :: \{enum, linorder\}$  list

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rewrites embed2.samequot-exec lookup ( $\varepsilon$  (Enum.enum :: 'a :: {enum, linorder} list)) (case-prod Singleton) =  $\mathfrak{Q}$  Enum.enum
defines
  pre-wf-formula =  $\Phi$ .pre-wf-formula
  and wf-formula =  $\Phi$ .wf-formula
  and rexp-of =  $\Phi$ .rexp-of
  and rexp-of-alt =  $\Phi$ .rexp-of-alt
  and rexp-of-alt' =  $\Phi$ .rexp-of-alt'
  and rexp-of' =  $\Phi$ .rexp-of'
  and rexp-of'' =  $\Phi$ .rexp-of''
  and valid-ENC =  $\Phi$ .valid-ENC
  and ENC =  $\Phi$ .ENC
  and dec-interp =  $\Phi$ .stream-dec
  and any =  $\Phi$ .any
  by unfold-locales (auto simp:  $\sigma$ -def  $\pi$ -def  $\mathfrak{Q}$ -def)

lemmas langWS1S-rexp-of-norm = trans[ $OF\ sym[OF\ \Phi.langWS1S\text{-norm}]\ \Phi.langWS1S\text{-rexp-of}]$ 
lemmas langWS1S-rexp-of'-norm = trans[ $OF\ sym[OF\ \Phi.langWS1S\text{-norm}]\ \Phi.langWS1S\text{-rexp-of}'$ ]
lemmas langWS1S-rexp-of''-norm = trans[ $OF\ sym[OF\ \Phi.langWS1S\text{-norm}]\ \Phi.langWS1S\text{-rexp-of}''$ ]

setup <Sign.map-naming (Name-Space.mandatory-path slow)>

global-interpretation D: rexp-DFA  $\sigma$   $\Sigma$  wf-atom  $\Sigma$   $\pi$  lookup  $\lambda x$ . «pnorm (inorm x)»
   $\lambda a r$ . « $\mathfrak{D}\ \Sigma\ a\ r$ » final alphabet.wf (wf-atom  $\Sigma$ ) n pnorm lang  $\Sigma$  n n
  for  $\Sigma :: 'a :: linorder list$  and n :: nat
  defines
    test = rexp-DA.test (final :: 'a atom rexp  $\Rightarrow$  bool)
    and step = rexp-DA.step ( $\sigma$   $\Sigma$ ) ( $\lambda a r$ . « $\mathfrak{D}\ \Sigma\ a\ r$ ») pnorm n
    and closure = rexp-DA.closure ( $\sigma$   $\Sigma$ ) ( $\lambda a r$ . « $\mathfrak{D}\ \Sigma\ a\ r$ ») final pnorm n
    and check-eqvRE = rexp-DA.check-eqv ( $\sigma$   $\Sigma$ ) ( $\lambda x$ . «pnorm (inorm x)») ( $\lambda a r$ . « $\mathfrak{D}\ \Sigma\ a\ r$ ») final pnorm n
    and test-invariant = rexp-DA.test-invariant (final :: 'a atom rexp  $\Rightarrow$  bool) :: (('a  $\times$  bool list) list  $\times$  -) list  $\times$  -  $\Rightarrow$  bool
    and step-invariant = rexp-DA.step-invariant ( $\sigma$   $\Sigma$ ) ( $\lambda a r$ . « $\mathfrak{D}\ \Sigma\ a\ r$ ») pnorm n
    and closure-invariant = rexp-DA.closure-invariant ( $\sigma$   $\Sigma$ ) ( $\lambda a r$ . « $\mathfrak{D}\ \Sigma\ a\ r$ ») final pnorm n
    and counterexampleRE = rexp-DA.counterexample ( $\sigma$   $\Sigma$ ) ( $\lambda x$ . «pnorm (inorm x)») ( $\lambda a r$ . « $\mathfrak{D}\ \Sigma\ a\ r$ ») final pnorm n
    and reachable = rexp-DA.reachable ( $\sigma$   $\Sigma$ ) ( $\lambda x$ . «pnorm (inorm x)») ( $\lambda a r$ . « $\mathfrak{D}\ \Sigma\ a\ r$ ») pnorm n
    and automaton = rexp-DA.automaton ( $\sigma$   $\Sigma$ ) ( $\lambda x$ . «pnorm (inorm x)») ( $\lambda a r$ . « $\mathfrak{D}\ \Sigma\ a\ r$ ») pnorm n
    by unfold-locales (auto simp only: comp-apply
      ACI-norm-wf ACI-norm-lang wf-inorm lang-inorm wf-pnorm lang-pnorm wf-llderiv
      lang-llderiv
      lang-final finite-fold-llderiv dest!: lang-subset-lists)

definition check-eqv where

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check-equiv n φ ψ  $\longleftrightarrow$  wf-formula n (FOr φ ψ) ∧
slow.check-equivRE Enum.enum n (rexp-of'' n (norm φ)) (rexp-of'' n (norm ψ))

definition counterexample where
counterexample n φ ψ =
  map-option (λw. dec-interp n (FOV (FOr φ ψ)) (w @- sconst (any, replicate
n False)))
  (slow.counterexampleRE Enum.enum n (rexp-of'' n (norm φ)) (rexp-of'' n (norm
ψ)))

lemma soundness: slow.check-equiv n φ ψ  $\implies$  Φ.langWS1S n φ = Φ.langWS1S n ψ
by (rule box-equals[OF slow.D.check-equiv-sound
sym[OF trans[OF langWS1S-rexp-of''-norm]] sym[OF trans[OF langWS1S-rexp-of''-norm]]])
(auto simp: slow.check-equiv-def intro!: Φ.wf-rexp-of'')

lemma completeness:
assumes Φ.langWS1S n φ = Φ.langWS1S n ψ wf-formula n (FOr φ ψ)
shows slow.check-equiv n φ ψ
using assms(2) unfolding slow.check-equiv-def
by (intro conjI[OF assms(2)] slow.D.check-equiv-complete,
      OF box-equals[OF assms(1) langWS1S-rexp-of''-norm langWS1S-rexp-of''-norm])
(auto intro!: Φ.wf-rexp-of'')

setup ⟨Sign.map-naming Name-Space.parent-path⟩

setup ⟨Sign.map-naming (Name-Space.mandatory-path fast)⟩

global-interpretation D: rexp-DA-no-post σ Σ wf-atom Σ π lookup λx. pnorm
(inorm x)
  λa r. pnorm (D Σ a r) final alphabet.wf (wf-atom Σ) n lang Σ n n
  for Σ :: 'a :: linorder list and n :: nat
  defines
    test = rexp-DA.test (final :: 'a atom rexp  $\Rightarrow$  bool)
    and step = rexp-DA.step (σ Σ) (λa r. pnorm (D Σ a r)) id n
    and closure = rexp-DA.closure (σ Σ) (λa r. pnorm (D Σ a r)) final id n
    and check-equivRE = rexp-DA.check-equiv (σ Σ) (λx. pnorm (inorm x)) (λa r. pnorm
(D Σ a r)) final id n
    and test-invariant = rexp-DA.test-invariant (final :: 'a atom rexp  $\Rightarrow$  bool) :: (('a × bool list) list × -) list × -  $\Rightarrow$  bool
    and step-invariant = rexp-DA.step-invariant (σ Σ) (λa r. pnorm (D Σ a r)) id
n
    and closure-invariant = rexp-DA.closure-invariant (σ Σ) (λa r. pnorm (D Σ a
r)) final id n
    and counterexampleRE = rexp-DA.counterexample (σ Σ) (λx. pnorm (inorm x))
(λa r. pnorm (D Σ a r)) final id n
    and reachable = rexp-DA.reachable (σ Σ) (λx. pnorm (inorm x)) (λa r. pnorm
(D Σ a r)) id n
    and automaton = rexp-DA.automaton (σ Σ) (λx. pnorm (inorm x)) (λa r. pnorm
(D Σ a r)) id n

```

```

by unfold-locales (auto simp only: comp-apply
ACI-norm-wf ACI-norm-lang wf-inorm lang-inorm wf-pnorm lang-pnorm wf-lderiv
lang-lderiv id-apply
lang-final dest!: lang-subset-lists)

definition check-eqv where
check-eqv n φ ψ ↔ wf-formula n (FOr φ ψ) ∧
fast.check-eqvRE Enum.enum n (rexp-of'' n (norm φ)) (rexp-of'' n (norm ψ))

definition counterexample where
counterexample n φ ψ =
map-option (λw. dec-interp n (FOV (FOr φ ψ)) (w @- sconst (any, replicate
n False)))
(fast.counterexampleRE Enum.enum n (rexp-of'' n (norm φ)) (rexp-of'' n (norm
ψ)))

lemma soundness: fast.check-eqv n φ ψ ⟹ Φ.langWS1S n φ = Φ.langWS1S n ψ
by (rule box-equals[OF fast.D.check-eqv-sound
sym[OF trans[OF langWS1S-rexp-of''-norm]] sym[OF trans[OF langWS1S-rexp-of''-norm]]])
(auto simp: fast.check-eqv-def intro!: Φ.wf-rexp-of'')

setup `Sign.map-naming Name-Space.parent-path`
setup `Sign.map-naming (Name-Space.mandatory-path dual)`

global-interpretation D: rexp-DA-no-post σ Σ wf-atom Σ π lookup
λx. pnorm-dual (rexp-dual-of (inorm x)) λa r. pnorm-dual (CoD Σ a r) final-dual
alphabet.wf-dual (wf-atom Σ) n lang-dual Σ n n
for Σ :: 'a :: linorder list and n :: nat
defines
test = rexp-DA.test (final-dual :: 'a atom rexp-dual ⇒ bool)
and step = rexp-DA.step (σ Σ) (λa r. pnorm-dual (CoD Σ a r)) id n
and closure = rexp-DA.closure (σ Σ) (λa r. pnorm-dual (CoD Σ a r)) final-dual
id n
and check-eqvRE = rexp-DA.check-eqv (σ Σ) (λx. pnorm-dual (rexp-dual-of
(inorm x))) (λa r. pnorm-dual (CoD Σ a r)) final-dual id n
and test-invariant = rexp-DA.test-invariant (final-dual :: 'a atom rexp-dual ⇒
bool) ::
(('a × bool list) list × -) list × - ⇒ bool
and step-invariant = rexp-DA.step-invariant (σ Σ) (λa r. pnorm-dual (CoD Σ
a r)) id n
and closure-invariant = rexp-DA.closure-invariant (σ Σ) (λa r. pnorm-dual
(CoD Σ a r)) final-dual id n
and counterexampleRE = rexp-DA.counterexample (σ Σ) (λx. pnorm-dual (rexp-dual-of
(inorm x))) (λa r. pnorm-dual (CoD Σ a r)) final-dual id n
and reachable = rexp-DA.reachable (σ Σ) (λx. pnorm-dual (rexp-dual-of (inorm
x))) (λa r. pnorm-dual (CoD Σ a r)) id n
and automaton = rexp-DA.automaton (σ Σ) (λx. pnorm-dual (rexp-dual-of (inorm
x))) (λa r. pnorm-dual (CoD Σ a r)) id n

```

```

by unfold-locales (auto simp only: comp-apply id-apply
  wf-inorm lang-inorm
  wf-dual-pnorm-dual lang-dual-pnorm-dual
  wf-dual-rexp-dual-of lang-dual-rexp-dual-of
  wf-dual-lderiv-dual lang-dual-lderiv-dual
  lang-dual-final-dual dest!: lang-dual-subset-lists)

definition check-eqv where
  check-eqv n φ ψ  $\longleftrightarrow$  wf-formula n (FOr φ ψ) ∧
    dual.check-eqvRE Enum.enum n (rexp-of'' n (norm φ)) (rexp-of'' n (norm ψ))

definition counterexample where
  counterexample n φ ψ =
    map-option (λw. dec-interp n (FOV (FOr φ ψ)) (w @- sconst (any, replicate
    n False)))
    (dual.counterexampleRE Enum.enum n (rexp-of'' n (norm φ)) (rexp-of'' n (norm
    ψ)))

lemma soundness: dual.check-eqv n φ ψ  $\implies$  Φ.langWS1S n φ = Φ.langWS1S n ψ
  by (rule box-equals[OF dual.D.check-eqv-sound
    sym[OF trans[OF langWS1S-rexp-of''-norm]] sym[OF trans[OF langWS1S-rexp-of''-norm]]])
  (auto simp: dual.check-eqv-def intro!: Φ.wf-rexp-of'')

setup <Sign.map-naming Name-Space.parent-path>

```

## References

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- [2] D. Traytel and T. Nipkow. Verified decision procedures for MSO on words based on derivatives of regular expressions. In G. Morrisett and T. Uustalu, editors, *Proc. Int. Conf. Functional Programming, ICFP 2013*, pages 3–12. ACM, 2013.