

Unification Utilities for Isabelle/ML

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Abstract

This article provides various unification utilities for Isabelle/ML, most prominently:

1. First-order and higher-order pattern **E-unification** and E-matching. While unifiers in Isabelle/ML only consider the $\alpha\beta\eta$ -equational theory of the λ -calculus, unifiers in this article may take an extra background theory, in the form of an equational prover, into account. For example, the unification problem $n + 1 \equiv ?m + Suc\ 0$ may be solved by providing a prover for the background theory $\forall n. n + 1 \equiv n + Suc\ 0$.
2. Tactics, methods, and attributes with adjustable unifiers (e.g. resolution, fact, assumption, OF).
3. A generalisation of unification hints [1]. Unification hints are a flexible extension for unifiers. Among other things, they can be used for reflective tactics, to provide canonical unification instances, or to simply strengthen the background theory of a unifier in a controlled manner.
4. Simplifier integration for e-unifiers.
5. Practical combinations of unification algorithms, e.g. a combination of first-order and higher-order pattern unification.
6. A hierarchical logger for Isabelle/ML, including per logger configurations with log levels, output channels, message filters.

While this entry works with every object logic, some extra setup for Isabelle/HOL and application examples are provided. All unifiers are tested with SpecCheck [2].

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1 ML Code Utils

```
theory ML-Code-Utils
  imports Pure
begin
```

Summary Utilities to generate and manipulate (parsed) ML code.

<ML>

end

2 ML Attributes

```
theory ML-Attributes
  imports ML-Code-Utils
begin
```

Summary ML code as attributes.

<ML>

end

3 ML Logger

```
theory ML-Logger
  imports
    ML-Attributes
begin
```

Summary Generic logging, at some places inspired by Apache's Log4J 2 <https://logging.apache.org/log4j/2.x/manual/customloglevels.html>.

⟨ML⟩

end

3.1 Setup Result Commands

```
theory Setup-Result-Commands
  imports Pure
  keywords setup-result :: thy-decl
  and local-setup-result :: thy-decl
begin
```

Summary Setup and local setup with result commands

⟨ML⟩

end

3.2 Examples

```
theory ML-Logger-Examples
  imports
    ML-Logger
    Setup-Result-Commands
begin
```

First some simple, barebone logging: print some information.

⟨ML⟩

To guarantee the existence of a "logger" in an ML structure, one should use the *HAS-LOGGER* signature.

⟨ML⟩

We can set up a hierarchy of loggers

⟨ML⟩

We can use different log levels to show/surpress messages. The log levels are based on Apache's Log4J 2 <https://logging.apache.org/log4j/2.x/manual/customloglevels.html>.

⟨ML⟩

```
declare [[ML-map-context ⟨Logger.set-log-level parent1 Logger.DEBUG⟩]]
```

⟨ML⟩

We can set options for all loggers below a given logger. Below, we set the log level for all loggers below (and including) *parent1* to error, thus disabling warning messages.

⟨ML⟩

```
declare [[ML-map-context ⟨Logger.set-log-levels parent1 Logger.ERR⟩]]
```

⟨ML⟩
declare [[*ML-map-context* ⟨*Logger.set-log-levels parent1 Logger.INFO*⟩]]

We can set message filters.

declare [[*ML-map-context* ⟨*Logger.set-msg-filters Logger.root (match-string Third)*⟩]]
⟨ML⟩
declare [[*ML-map-context* ⟨*Logger.set-msg-filters Logger.root (K true)*⟩]]

One can also use different output channels (e.g. files) and hide/show some additional logging information. Ctrl+click on below values and explore.

⟨ML⟩
To set up (local) loggers outside ML environments, *ML-Unification.Setup-Result-Commands* contains two commands, **setup-result** and **local-setup-result**.

experiment
begin
local-setup-result *local-logger* = ⟨*Logger.new-logger Logger.root Local*⟩

⟨ML⟩
end

local-logger is no longer available. The follow thus does not work:

Let us create another logger in the global context.

setup-result *some-logger* = ⟨*Logger.new-logger Logger.root Some-Logger*⟩
⟨ML⟩

Let us delete it again.

declare [[*ML-map-context* ⟨*Logger.delete-logger some-logger*⟩]]

The logger can no longer be found in the logger hierarchy

⟨ML⟩
end

4 ML Attribute Utils

theory *ML-Attribute-Utils*
imports
 Pure
begin

Summary Utilities for attributes.

⟨ML⟩
end

5 ML Conversion Utils

```
theory ML-Conversion-Utils  
  imports  
    Pure  
begin
```

Summary Utilities for conversions.

```
lemma meta-eq-symmetric:  $(A \equiv B) \equiv (B \equiv A)$   
   $\langle proof \rangle$   
 $\langle ML \rangle$ 
```

```
end
```

6 ML Parsing Utils

```
theory ML-Parsing-Utils  
  imports  
    ML-Attributes  
    ML-Attribute-Utils  
begin
```

Summary Parsing utilities for ML. We provide an antiquotation that takes a list of keys and creates a corresponding record with getters and mappers and a parser for corresponding key-value pairs.

```
 $\langle ML \rangle$ 
```

```
Example  $\langle ML \rangle$ 
```

```
end
```

7 ML Functor Instances

```
theory ML-Functor-Instances  
  imports  
    ML-Parsing-Utils  
begin
```

Summary Utilities for ML functors that create context data.

```
 $\langle ML \rangle$ 
```

```
Example  $\langle ML \rangle$ 
```

```
end
```

8 General ML Utils

```
theory ML-General-Utils  
  imports Pure  
begin
```

Summary General ML utilities.

<ML>

end

9 ML Generic Data Utils

```
theory ML-Generic-Data-Utils  
  imports Pure  
begin
```

Summary Utilities for `Generic_Data`.

<ML>

end

10 ML Method Utils

```
theory ML-Method-Utils  
  imports Pure  
begin
```

Summary Utilities for methods.

<ML>

end

11 Priorities

```
theory ML-Priorities  
  imports ML-Parsing-Utils  
begin
```

Summary Priorities for ML tactics.

<ML>

end

12 ML-Normalisations

```
theory ML-Normalisations  
  imports  
    ML-Conversion-Utils  
begin
```

Summary Normalisation functions for terms, types, and theorems.

<ML>

end

13 ML-Binders

```
theory ML-Binders  
  imports  
    ML-General-Utils  
    ML-Normalisations  
begin
```

Summary Binders for ML.

<ML>

end

14 ML Term Utills

```
theory ML-Term-Utills  
  imports ML-Binders  
begin
```

Summary Utilities for terms.

<ML>

end

15 ML Theorem Utills

```
theory ML-Theorem-Utills  
  imports ML-Logger  
begin
```

Summary Utilities for theorems.

<ML>

end

16 ML Unification Basics

```
theory ML-Unification-Base  
  imports  
    ML-Logger  
    ML-Binders  
    ML-Normalisations  
    ML-Theorem-Utils  
    SpecCheck.SpecCheck-Show  
begin
```

Summary Basic definitions and utilities for unification algorithms.

<ML>

end

17 ML Tactic Utils

```
theory ML-Tactic-Utils  
  imports  
    ML-Logger  
    ML-Term-Utils  
    ML-Conversion-Utils  
    ML-Unification-Base  
begin
```

Summary Utilities for tactics.

<ML>

end

18 ML Utils

```
theory ML-Utils  
  imports  
    ML-Attribute-Utils  
    ML-Conversion-Utils  
    ML-Functor-Instances  
    ML-General-Utils  
    ML-Generic-Data-Utils  
    ML-Method-Utils  
    ML-Attributes  
    ML-Code-Utils  
    ML-Parsing-Utils  
    ML-Priorities  
    ML-Tactic-Utils  
    ML-Term-Utils
```

```

    ML-Theorem-Utils
begin

end

```

19 ML Unifiers

```

theory ML-Unifiers-Base
  imports
    ML-Unification-Base
    ML-Tactic-Utils
begin

```

Summary Unification modulo equations and combinators for unifiers.

Combinators $\langle ML \rangle$

Type Unifiers $\langle ML \rangle$

Standard Unifiers $\langle ML \rangle$

Unification via Tactics $\langle ML \rangle$

```

end

```

20 Simps To

```

theory Simps-To
  imports
    ML-Unifiers-Base
    Setup-Result-Commands
begin

```

Summary Simple frameworks to ask for the simp-normal form of a term on the user-level.

setup-result *simps-to-base-logger* = $\langle \text{Logger.new-logger Logger.root Simps-To-Base} \rangle$

Using Simplification On Left Term **definition** *SIMPS-TO* $s\ t \equiv (s \equiv t)$

lemma *SIMPS-TO-eq*: *SIMPS-TO* $s\ t \equiv (s \equiv t)$
 $\langle \text{proof} \rangle$

Prevent simplification of second/right argument

lemma *SIMPS-TO-cong* [*cong*]: $s \equiv s' \implies \text{SIMPS-TO } s\ t \equiv \text{SIMPS-TO } s'\ t$
 $\langle \text{proof} \rangle$

lemma *SIMPS-TOI*: *PROP SIMPS-TO s s* $\langle proof \rangle$
lemma *SIMPS-TOD*: *PROP SIMPS-TO s t* $\implies s \equiv t$ $\langle proof \rangle$

$\langle ML \rangle$

Using Simplification On Left Term Followed By Unification definition *SIMPS-TO-UNIF s t* $\equiv (s \equiv t)$

Prevent simplification

lemma *SIMPS-TO-UNIF-cong* [*cong*]: *SIMPS-TO-UNIF s t* \equiv *SIMPS-TO-UNIF s t* $\langle proof \rangle$

lemma *SIMPS-TO-UNIF-eq*: *SIMPS-TO-UNIF s t* $\equiv (s \equiv t)$ $\langle proof \rangle$

lemma *SIMPS-TO-UNIFI*: *PROP SIMPS-TO s s'* $\implies s' \equiv t \implies$ *PROP SIMPS-TO-UNIF s t* $\langle proof \rangle$

lemma *SIMPS-TO-UNIFD*: *PROP SIMPS-TO-UNIF s t* $\implies s \equiv t$ $\langle proof \rangle$

$\langle ML \rangle$

Examples experiment
begin

schematic-goal
assumes [*simp*]: $P \equiv Q$
and [*simp*]: $Q \equiv R$
shows *PROP SIMPS-TO-UNIF P ?A*
 $\langle proof \rangle$

end

end

theory *ML-Unifiers*
imports
ML-Function-Instances
ML-Priorities
ML-Unifiers-Base
Simps-To
begin

Summary More unifiers.

Derived Unifiers $\langle ML \rangle$

Unification via Simplification lemma *eq-if-SIMPS-TO-UNIF-if-SIMPS-TO-UNIF*:
 assumes *PROP SIMPS-TO-UNIF t t'*
 and *PROP SIMPS-TO-UNIF s t'*
 shows $s \equiv t$
 ⟨*proof*⟩

⟨*ML*⟩

Combining Unifiers ⟨*ML*⟩

Mixture of Unifiers ⟨*ML*⟩

```
declare [[ucombine add = ⟨Standard-Unification-Combine.eunif-data
  (Var-Higher-Order-Pattern-Unification.e-unify Unification-Combinator.fail-unify
  |> Unification-Combinator.norm-unifier
  (Unification-Util.inst-norm-term'
    Standard-Mixed-Unification.norms-first-higherp-decomp-comb-higher-unify)
  |> K)
  (Standard-Unification-Combine.metadata binding ⟨var-hop-unif⟩ Prio.HIGH)⟩]]
```

```
declare [[ucombine add = ⟨
  let
    open Term-Normalisation
    (*ignore changes of schematic variables to avoid loops due to index-raising of
    some tactics*)
    val eq-beta-eta-dummy-vars = apply2 (beta-eta-short #> dummy-vars) #> op
  aconv
    val unif = Standard-Mixed-Unification.first-higherp-decomp-comb-higher-unify
    val norms = Standard-Mixed-Unification.norms-first-higherp-decomp-comb-higher-unify
  in
    Standard-Unification-Combine.eunif-data
    (Simplifier-Unification.simp-unify-progress eq-beta-eta-dummy-vars
      (Simplifier-Unification.simp-unify norms unif norms)
      (Unification-Util.inst-norm-term' norms)
      unif
      |> Type-Unification.e-unify Unification-Util.unify-types
      |> K)
    (Standard-Unification-Combine.default-metadata binding ⟨simp-unif⟩)
  end⟩]]
```

end

21 Unification Parsers

```
theory ML-Unification-Parsers
imports
  ML-Parsing-Utils
begin
```

Summary Common parsers needed for unification attributes, tactics, methods.

<ML>

end

21.1 Assumption Tactic

theory *Unify-Assumption-Tactic-Base*

imports

ML-Functor-Instances

ML-Tactic-Utills

ML-Unification-Parsers

begin

Summary Assumption tactic and method with adjustable unifier.

<ML>

end

theory *Unify-Assumption-Tactic*

imports

Unify-Assumption-Tactic-Base

ML-Unifiers

begin

Summary Setup of assumption tactic and examples.

<ML>

Examples **experiment**

begin

lemma *PROP P \implies PROP P*

<proof>

lemma

assumes *h: $\bigwedge P. PROP P$*

shows *PROP P x*

<proof>

schematic-goal *$\bigwedge x. PROP P (c :: 'a) \implies PROP ?Y (x :: 'a)$*

<proof>

schematic-goal *a: PROP ?P (y :: 'a) \implies PROP ?P (?x :: 'a)*

<proof>

schematic-goal

$PROP\ ?P\ (x :: 'a) \implies PROP\ P\ (?x :: 'a)$
 $\langle proof \rangle$

schematic-goal

$\bigwedge x. PROP\ D \implies (\bigwedge y. PROP\ P\ y\ x) \implies PROP\ C \implies PROP\ P\ x$
 $\langle proof \rangle$

Unlike *assumption*, *uassm* will not close the goal if the order of premises of the assumption and the goal are different. Compare the following two examples:

lemma $\bigwedge x. PROP\ D \implies (\bigwedge y. PROP\ A\ y \implies PROP\ B\ x) \implies PROP\ C \implies PROP\ A\ x \implies PROP\ B\ x$
 $\langle proof \rangle$

lemma $\bigwedge x. PROP\ D \implies (\bigwedge y. PROP\ A\ y \implies PROP\ B\ x) \implies PROP\ A\ x \implies PROP\ C \implies PROP\ B\ x$
 $\langle proof \rangle$

end

end

21.2 Resolution Tactics

theory *Unify-Resolve-Tactics-Base*

imports

Unify-Assumption-Tactic-Base

ML-Unifiers-Base

ML-Method-Utils

begin

Summary Resolution tactics and methods with adjustable unifier.

$\langle ML \rangle$

end

21.3 Resolution Tactics

theory *Unify-Resolve-Tactics*

imports

Unify-Resolve-Tactics-Base

ML-Unifiers

begin

Summary Setup of resolution tactics and examples.

$\langle ML \rangle$

Examples experiment
begin

lemma

assumes $h: \bigwedge x. PROP D x \implies PROP C x$
shows $\bigwedge x. PROP A x \implies PROP B x \implies PROP C x$
<proof>

lemma

assumes $h: PROP C x$
shows $PROP C x$
<proof>

lemma

assumes $h: \bigwedge x. PROP A x \implies PROP D x$
shows $\bigwedge x. PROP A x \implies PROP B x \implies PROP C x$
— use (r,e,d,f) to specify the resolution mode (resolution, elim, dest, forward)
<proof>

lemma

assumes $h1: \bigwedge x. PROP A x \implies PROP D x$
and $h2: \bigwedge x. PROP D x \implies PROP E x$
shows $\bigwedge x. PROP A x \implies PROP B x \implies PROP C x$
— use (rr,re,rd,rf) to use repetition; in particular: (*urule* (rr)) \simeq *intro*
<proof>

You can specify how chained facts should be used. By default, *urule* works like *rule*: it uses chained facts to resolve against the premises of the passed rules.

lemma

assumes $h1: \bigwedge x. (PROP F x \implies PROP E x) \implies PROP C x$
and $h2: \bigwedge x. PROP F x \implies PROP E x$
shows $\bigwedge x. PROP A x \implies PROP B x \implies PROP C x$
— Compare all of the following calls:

<proof>

You can specify whether any or every rule must resolve against the goal:

lemma

assumes $h1: \bigwedge x y. PROP C y \implies PROP D x \implies PROP C x$
and $h2: \bigwedge x y. PROP C x \implies PROP D x$
and $h3: \bigwedge x y. PROP C x$
shows $\bigwedge x. PROP A x \implies PROP B x \implies PROP C x$
<proof>

```

lemma
  assumes  $h1: \bigwedge x y. PROP C y \implies PROP A x \implies PROP C x$ 
  and  $h2: \bigwedge x y. PROP C x \implies PROP B x \implies PROP D x$ 
  and  $h3: \bigwedge x y. PROP C x$ 
  shows  $\bigwedge x. PROP A x \implies PROP B x \implies PROP C x$ 
   $\langle proof \rangle$ 

end

end

```

21.4 Fact Tactic

```

theory Unify-Fact-Tactic-Base
  imports
    Unify-Resolve-Tactics-Base
begin

```

Summary Fact tactic with adjustable unifier.

$\langle ML \rangle$

end

21.5 Fact Tactic

```

theory Unify-Fact-Tactic
  imports
    Unify-Fact-Tactic-Base
    ML-Unifiers
begin

```

Summary Setup of fact tactic and examples.

$\langle ML \rangle$

Examples **experiment**

```

begin
lemma
  assumes  $h: \bigwedge x y. PROP P x y$ 
  shows  $PROP P x y$ 
   $\langle proof \rangle$ 

```

```

lemma
  assumes  $\bigwedge P y. PROP P y x$ 
  shows  $PROP P x$ 
   $\langle proof \rangle$ 

```

```

lemma

```



```

    assumes  $\bigwedge x y. PROP A x \implies PROP B x \implies PROP P x$ 
    shows  $\bigwedge x y. PROP A x \implies PROP B x \implies PROP P x$ 
    <proof>
end

end

```

22 Unification Tactics

```

theory Unification-Tactics
  imports
    Unify-Assumption-Tactic
    Unify-Resolve-Tactics
    Unify-Fact-Tactic
begin

```

Summary Tactics with adjustable unifiers.

```
end
```

23 Unification Attributes

```

theory Unification-Attributes-Base
  imports Unify-Resolve-Tactics-Base
begin

```

Summary OF attribute with adjustable unifier.

```
<ML>
```

```
end
```

```

theory Unification-Attributes
  imports
    Unification-Attributes-Base
    ML-Unifiers
begin

```

Summary Setup of OF attribute with adjustable unifier.

```
<ML>
```

Examples experiment

```
begin
```

```
lemma
```

```
  assumes  $h1: (PROP A \implies PROP D) \implies PROP E \implies PROP C$ 
```

```
  assumes  $h2: PROP B \implies PROP D$ 
```

```
  and  $h3: PROP F \implies PROP E$ 
```

```
  shows  $(PROP A \implies PROP B) \implies PROP F \implies PROP C$ 
```

<proof>

lemma

assumes $h1: (PROP A \implies PROP A)$
assumes $h2: (PROP A \implies PROP A) \implies PROP B$
shows $PROP B$
<proof>

lemma

assumes $h1: \bigwedge x y z. PROP P x y \implies PROP P y y \implies (PROP A \implies PROP A) \implies$
 $(PROP A \implies PROP B) \implies PROP C$
and $h2: \bigwedge x y. PROP P x y$
and $h3: PROP A \implies PROP A$
and $h4: PROP D \implies PROP B$
shows $(PROP A \implies PROP D) \implies PROP C$
<proof>

lemma

assumes $h1: \bigwedge P x. PROP P x \implies PROP E P x$
and $h2: PROP P x$
shows $PROP E P x$
<proof>

We can also specify the unifier to be used:

lemma

assumes $h1: \bigwedge P. PROP P \implies PROP E$
and $h2: \bigwedge P. PROP P$
shows $PROP E$
<proof>

end

end

24 Term Indexing

theory *ML-Term-Index*

imports

ML-Normalisations

begin

Summary Termin indexes signatures and implementations.

<ML>

end

25 Unification Hints

```
theory ML-Unification-Hints-Base
imports
  ML-Conversion-Utils
  ML-Constructor-Instances
  ML-Generic-Data-Utils
  ML-Priorities
  ML-Term-Index
  ML-Tactic-Utils
  ML-Term-Utils
  ML-Unifiers-Base
  ML-Unification-Parsers
begin
```

Summary A generalisation of unification hints, originally introduced in [1]. We support a generalisation that

1. allows additional universal variables in premises
2. allows non-atomic left-hand sides for premises
3. allows arbitrary functions to perform the matching/unification of a hint with a disagreement pair.

General shape of a hint: $\bigwedge y1 \dots yn. (\bigwedge x1 \dots xn1. lhs1 \equiv rhs1) \implies \dots \implies (\bigwedge x1 \dots xnk. lhsk \equiv rhsk) \implies lhs \equiv rhs$

$\langle ML \rangle$

end

26 Unification Hints

```
theory ML-Unification-Hints
imports
  ML-Unification-Hints-Base
  ML-Unifiers
begin
```

Summary Setup of unification hints.

We now set up two unifiers using unification hints. The first one allows for recursive applications of unification hints when unifying a hint's conclusion $lhs \equiv rhs$ with a goal $lhs' \equiv rhs'$. The second disallows recursive applications of unification hints. Recursive applications have to be made explicit in the hint itself (cf. `../Examples`).

While the former can be convenient for local hint registrations and quick developments, it is advisable to use the second for global hints to avoid unexpected looping behaviour.

⟨ML⟩

Standard unification hints using `Standard_Mixed_Unification.first_higherp_decomp_comb_h` when looking for hints are accessible via `rec-uhint`.

Note: when we retrieve a potential unification hint with conclusion $lhs \equiv rhs$ for a goal $lhs' \equiv rhs'$, we consider those hints whose lhs or rhs potentially higher-order unifies with lhs' or rhs' *without using hints*. For otherwise, any hint $lhs \equiv rhs$ applied to a goal $rhs \equiv lhs$ leads to an immediate loop. The retrieval can be further restricted and modified by via the retrieval setting of `rec-uhint`.

```
declare [[ucombine add = ⟨Standard-Unification-Combine.eunif-data
  (Standard-Unification-Hints-Rec.try-hints
  |> Unification-Combinator.norm-unifier
    (Unification-Util.inst-norm-term'
      Standard-Mixed-Unification.norms-first-higherp-decomp-comb-higher-unify)
  |> K)
  (Standard-Unification-Combine.metadata Standard-Unification-Hints-Rec.binding
Prio.LOW)⟩]]
```

⟨ML⟩

```
declare [[uhint where concl-unifier = ⟨fn binders =>
  Standard-Unification-Combine.delete-eunif-data
  (Standard-Unification-Combine.metadata Standard-Unification-Hints.binding (Prio.inc
Prio.LOW))
  (*TODO: should we also remove the recursive hint unifier here? time will tell...*)
  (*#> Standard-Unification-Combine.delete-eunif-data
  (Standard-Unification-Combine.metadata Standard-Unification-Hints-Rec.binding
Prio.LOW)*
  |> Context.proof-map
  #> Standard-Mixed-Unification.first-higherp-decomp-comb-higher-unify binders⟩]]
```

Standard unification hints using `Standard_Mixed_Unification.first_higherp_decomp_comb_h` when looking for hints, without using fallback list of unifiers, are accessible via `uhint`.

Note: there will be no recursive usage of unification hints when searching for potential unification hints in this case. See also `../Examples`.

```
declare [[ucombine add = ⟨Standard-Unification-Combine.eunif-data
  (Standard-Unification-Hints.try-hints
  |> Unification-Combinator.norm-unifier
    (Unification-Util.inst-norm-term'
      Standard-Mixed-Unification.norms-first-higherp-decomp-comb-higher-unify)
  |> K)
  (Standard-Unification-Combine.metadata Standard-Unification-Hints.binding (Prio.inc
Prio.LOW))⟩]]
```

Examples see ../Examples.

end

27 Setup for HOL

theory *ML-Unification-HOL-Setup*

imports

HOL.HOL

ML-Unification-Hints

begin

lemma *eq-eq-True*: $P \equiv (P \equiv \text{Trueprop True})$ *<proof>*

declare [[*uhint* **where** *hint-preprocessor* = *<Unification-Hints-Base.obj-logic-hint-preprocessor @{thm atomize-eq[symmetric]} (Conv.rewr-conv @{thm eq-eq-True})>*]]

and [[*rec-uhint* **where** *hint-preprocessor* = *<Unification-Hints-Base.obj-logic-hint-preprocessor @{thm atomize-eq[symmetric]} (Conv.rewr-conv @{thm eq-eq-True})>*]]

lemma *eq-TrueI*: $PROP P \implies PROP P \equiv \text{Trueprop True}$ *<proof>*

declare [[*ucombine* *add* = *<Standard-Unification-Combine.eunif-data*

(Simplifier-Unification.SIMPS-TO-unify @{thm eq-TrueI}

|> *Unification-Combinator.norm-unifier (Unification-Util.inst-norm-term'*

Standard-Mixed-Unification.norms-first-higherp-decomp-comb-higher-unify)

|> *K)*

*(Standard-Unification-Combine.metadata **binding** <SIMPS-TO-unif> Prio.HIGH)>*]]

declare [[*ucombine* *add* = *<*

let

open Term-Normalisation

*(*ignore changes of schematic variables to avoid loops due to index-raising of some tactics*)*

val eq-beta-eta-dummy-vars = apply2 (beta-eta-short #> dummy-vars) #> op

aconv

in

Standard-Unification-Combine.eunif-data

(Simplifier-Unification.simp-unify-progress eq-beta-eta-dummy-vars

(Simplifier-Unification.SIMPS-TO-UNIF-unify @{thm eq-TrueI}

Standard-Mixed-Unification.norms-first-higherp-decomp-comb-higher-unify)

(Unification-Util.inst-norm-term'

Standard-Mixed-Unification.norms-first-higherp-decomp-comb-higher-unify)

Standard-Mixed-Unification.first-higherp-decomp-comb-higher-unify

|> *K)*

*(Standard-Unification-Combine.metadata **binding** <SIMPS-TO-UNIF-unif>*

Prio.HIGH)

end>]]

end

28 E-Unification Examples

```
theory E-Unification-Examples
  imports
    Main
    ML-Unification-HOL-Setup
    Unify-Assumption-Tactic
    Unify-Fact-Tactic
    Unify-Resolve-Tactics
begin
```

Summary Sample applications of e-unifiers, methods, etc. introduced in this session.

```
experiment
begin
```

28.1 Using The Simplifier For Unification.

```
inductive-set even :: nat set where
  zero: 0 ∈ even |
  step: n ∈ even ⇒ Suc (Suc n) ∈ even
```

Premises of the form *SIMPS-TO-UNIF lhs rhs* are solved by `Simplifier_Unification`. It first normalises *lhs* and then unifies the normalisation with *rhs*. See also *ML-Unification.ML-Unification-HOL-Setup*.

```
lemma [uhint where prio = Prio.LOW]: n ≠ 0 ⇒ PROP SIMPS-TO-UNIF (n
- 1) m ⇒ n ≡ Suc m
  <proof>
```

By default, below unification methods use `Standard_Mixed_Unification.first_higherp_decom` which is a combination of various practical unification algorithms.

```
schematic-goal (∧x. x + 4 = n) ⇒ Suc ?x = n
  <proof>
```

```
lemma 6 ∈ even
  <proof>
```

```
lemma (220 + (80 - 2 * 2)) ∈ even
  <proof>
```

```
lemma
  assumes [a,b,c] = [c,b,a]
  shows [a] @ [b,c] = [c,b,a]
  <proof>
```

```
lemma x ∈ ({z, y, x} ∪ S) ∩ {x}
  <proof>
```

schematic-goal $(x + (y :: nat))^2 \leq x^2 + 2*x*y + y^2 + 4 * y + x - y$
 ⟨proof⟩

lemma

assumes $\bigwedge s. P (Suc (Suc 0)) (s(x := (1 :: nat), x := 1 + 1 * 4 - 3))$
shows $P 2 (s(x := 2))$
 ⟨proof⟩

28.2 Providing Canonical Solutions With Unification Hints

lemma *sub-self-eq-zero* [uhint]: $(n :: nat) - n \equiv 0$ ⟨proof⟩

schematic-goal $n - ?m = (0 :: nat)$
 ⟨proof⟩

The following example shows a non-trivial interplay of the simplifier and unification hints: Using just unification, the hint $?n - ?n \equiv 0$ is not applicable in the following example since 0 cannot be unified with $length []$. However, the simplifier can rewrite $length []$ to 0 and the hint can then be applied.

schematic-goal $n - ?m = length []$
 ⟨proof⟩

There are also two ways to solve this using only unification hints:

1. We allow the recursive use of unification hints when unifying $?n - ?n \equiv 0$ and our goal and register $length [] = 0$ as an additional hint.
2. We use an alternative for $?n - ?n \equiv 0$ that makes the recursive use of unification hints explicit and register $length [] = 0$ as an additional hint.

lemma *length-nil-eq* [uhint]: $length [] = 0$ ⟨proof⟩

Solution 1: we can use *rec-uhint* for recursive usages of hints. Warning: recursive hint applications easily loop.

schematic-goal $n - ?m = length []$
 ⟨proof⟩

Solution 2: make the recursion explicit in the hint.

lemma [uhint]: $k \equiv 0 \implies (n :: nat) \equiv m \implies n - m \equiv k$ ⟨proof⟩

schematic-goal $n - ?m = length []$
 ⟨proof⟩

28.3 Strengthen Unification With Unification Hints

lemma

assumes $[uhint]: n = m$
shows $n - m = (0 :: nat)$
 $\langle proof \rangle$

lemma

assumes $x = y$
shows $y = x$
 $\langle proof \rangle$

Unfolding definitions. **definition** $mysuc\ n = Suc\ n$

lemma

assumes $\wedge m. Suc\ n > mysuc\ m$
shows $mysuc\ n > Suc\ 3$
 $\langle proof \rangle$

Discharging meta implications with object-level implications **lemma**

$[uhint]:$
 $Trueprop\ A \equiv A' \implies Trueprop\ B \equiv B' \implies Trueprop\ (A \longrightarrow B) \equiv (PROP\ A'$
 $\implies PROP\ B')$
 $\langle proof \rangle$

lemma

assumes $A \longrightarrow (B \longrightarrow C) \longrightarrow D$
shows $A \implies (B \implies C) \implies D$
 $\langle proof \rangle$

lemma

assumes $A \longrightarrow ((B \longrightarrow C) \longrightarrow D) \longrightarrow E$
shows $A \implies ((B \implies C) \implies D) \implies E$
 $\langle proof \rangle$

28.4 Better Control Over Meta Variable Instantiations

Consider the following type-inference problem.

schematic-goal

assumes $app\text{-}typeI: \wedge f\ x. (\wedge x. ArgT\ x \implies DomT\ x\ (f\ x)) \implies ArgT\ x \implies$
 $DomT\ x\ (f\ x)$
and $f\text{-}type: \wedge x. ArgT\ x \implies DomT\ x\ (f\ x)$
and $x\text{-}type: ArgT\ x$
shows $?T\ (f\ x)$
 $\langle proof \rangle$

end

end

29 Examples: Reification Via Unification Hints

```
theory Unification-Hints-Reification-Examples
imports
  HOL.Rat
  ML-Unification-HOL-Setup
  Unify-Fact-Tactic
  Unify-Resolve-Tactics
begin
```

Summary Reification via unification hints. For an introduction to unification hints refer to [1]. We support a generalisation of unification hints as described in *ML-Unification.ML-Unification-Hints*.

29.1 Setup

One-time setup to obtain a unifier with unification hints for the purpose of reification.

<ML>

Premises of hints should again be unified by the reification unifier.

```
declare [[reify-uhint where prems-unifier = reify-unify]]
```

29.2 Formulas with Quantifiers and Environment

The following example is taken from *HOL-Library.Reflection_Examples*. It is recommended to compare the approach presented here with the reflection tactic presented in said theory.

```
datatype form =
  TrueF
| FalseF
| Less nat nat
| And form form
| Or form form
| Neg form
| ExQ form
```

```
primrec interp :: form  $\Rightarrow$  ('a::ord) list  $\Rightarrow$  bool
```

```
where
```

```
  interp TrueF vs  $\longleftrightarrow$  True
| interp FalseF vs  $\longleftrightarrow$  False
| interp (Less i j) vs  $\longleftrightarrow$  vs ! i < vs ! j
| interp (And f1 f2) vs  $\longleftrightarrow$  interp f1 vs  $\wedge$  interp f2 vs
| interp (Or f1 f2) vs  $\longleftrightarrow$  interp f1 vs  $\vee$  interp f2 vs
| interp (Neg f) vs  $\longleftrightarrow$   $\neg$  interp f vs
| interp (ExQ f) vs  $\longleftrightarrow$  ( $\exists v.$  interp f (v # vs))
```

Reification with unification and recursive hint unification for conclusion The following illustrates how to use the equations $interp\ TrueF\ ?vs = True$

```

  interp FalseF ?vs = False
  interp (Less ?i ?j) ?vs = (?vs ! ?i < ?vs ! ?j)
  interp (And ?f1.0 ?f2.0) ?vs = (interp ?f1.0 ?vs ∧ interp ?f2.0 ?vs)
  interp (Or ?f1.0 ?f2.0) ?vs = (interp ?f1.0 ?vs ∨ interp ?f2.0 ?vs)
  interp (Neg ?f) ?vs = (¬ interp ?f ?vs)
  interp (ExQ ?f) ?vs = (∃ v. interp ?f (v # ?vs)) directly as unification

```

hints for reification.

experiment

begin

Hints for list lookup.

```

declare List.nth-Cons-Suc[reify-uhint where prio = Prio.LOW]
and List.nth-Cons-0[reify-uhint]

```

Hints to reify formulas of type *bool* into formulas of type *form*.

```

declare interp.simps[reify-uhint]

```

We have to allow the hint unifier to recursively look for hints during unification of the hint's conclusion.

```

declare [[reify-uhint where concl-unifier = reify-unify]]

```

schematic-goal

```

  interp ?f (?vs :: ('a :: ord) list) = (∃ (x :: 'a). x < y ∧ ¬(∃ (z :: 'a). v < z ∨
  ¬False))
  ⟨proof⟩

```

While this all works nicely if set up correctly, it can be rather difficult to understand and debug the recursive unification process for a hint's conclusion. In the next paragraph, we present an alternative that is closer to the examples presented in the original unification hints paper [1].

end

Reification with matching without recursion for conclusion We disallow the hint unifier to recursively look for hints while unifying the conclusion; instead, we only allow the hint unifier to match the hint's conclusion against the disagreement terms.

```

declare [[reify-uhint where concl-unifier =
  ⟨Higher-Order-Pattern-Unification.match |> Type-Unification.e-match Unifica-
  tion-Util.match-types⟩
and retrieval = ⟨Term-Index-Unification-Hints-Args.mk-retrieval-sym

```

(*Term-Index-Unification-Hints-Args.retrieve-left Reification-Unification-Hints.TI.unifiables*)
Reification-Unification-Hints.TI.norm-term)]

However, this also means that we now have to write our hints such that the hint's conclusion can successfully be matched against the disagreement terms. In particular, the disagreement terms may still contain meta variables that we want to instantiate with the help of the unification hints. Essentially, a hint then describes a canonical instantiation for these meta variables.

experiment
begin

lemma [*reify-uhint* **where** *prio = Prio.LOW*]:
 $n \equiv \text{Suc } n' \implies vs \equiv v \# vs' \implies vs' ! n' \equiv x \implies vs ! n \equiv x$
 ⟨*proof*⟩

lemma [*reify-uhint*]: $n \equiv 0 \implies vs \equiv x \# vs' \implies vs ! n \equiv x$
 ⟨*proof*⟩

lemma [*reify-uhint*]:
 $\llbracket e \equiv \text{ExQ } f; \bigwedge v. \text{interp } f (v \# vs) \equiv P v \rrbracket \implies \text{interp } e \text{ vs} \equiv \exists v. P v$
 $\llbracket e \equiv \text{Less } i j; x \equiv vs ! i; y \equiv vs ! j \rrbracket \implies \text{interp } e \text{ vs} \equiv x < y$
 $\llbracket e \equiv \text{And } f1 f2; \text{interp } f1 \text{ vs} \equiv r1; \text{interp } f2 \text{ vs} \equiv r2 \rrbracket \implies \text{interp } e \text{ vs} \equiv r1 \wedge r2$
 $\llbracket e \equiv \text{Or } f1 f2; \text{interp } f1 \text{ vs} \equiv r1; \text{interp } f2 \text{ vs} \equiv r2 \rrbracket \implies \text{interp } e \text{ vs} \equiv r1 \vee r2$
 $e \equiv \text{Neg } f \implies \text{interp } f \text{ vs} \equiv r \implies \text{interp } e \text{ vs} \equiv \neg r$
 $e \equiv \text{TrueF} \implies \text{interp } e \text{ vs} \equiv \text{True}$
 $e \equiv \text{FalseF} \implies \text{interp } e \text{ vs} \equiv \text{False}$
 ⟨*proof*⟩

schematic-goal

$\text{interp } ?f (?vs :: ('a :: \text{ord}) \text{ list}) = (\exists (x :: 'a). x < y \wedge \neg(\exists (z :: 'a). v < z \vee \neg \text{False}))$
 ⟨*proof*⟩

end

The next examples are modification from [1].

29.3 Simple Arithmetic

datatype *add-expr* = *Var int* | *Add add-expr add-expr*

fun *eval-add-expr* :: *add-expr* \Rightarrow *int* **where**
 $\text{eval-add-expr } (\text{Var } i) = i$
 | $\text{eval-add-expr } (\text{Add } ex1 \ ex2) = \text{eval-add-expr } ex1 + \text{eval-add-expr } ex2$

lemma *eval-add-expr-Var* [*reify-uhint* **where** *prio = Prio.LOW*]:
 $e \equiv \text{Var } i \implies \text{eval-add-expr } e \equiv i$ ⟨*proof*⟩

lemma *eval-add-expr-add* [*reify-uhint*]:

$e \equiv \text{Add } e1 \ e2 \implies \text{eval-add-expr } e1 \equiv m \implies \text{eval-add-expr } e2 \equiv n \implies$
 $\text{eval-add-expr } e \equiv m + n$
 ⟨proof⟩

⟨ML⟩

schematic-goal $\text{eval-add-expr } ?e = (1 + (2 + 7) :: \text{int})$
 ⟨proof⟩

29.4 Arithmetic with Environment

datatype $\text{mul-expr} =$
 Unit
 | $\text{Var } \text{nat}$
 | $\text{Mul } \text{mul-expr } \text{mul-expr}$
 | $\text{Inv } \text{mul-expr}$

fun $\text{eval-mul-expr} :: \text{mul-expr} \times \text{rat list} \Rightarrow \text{rat}$ **where**
 $\text{eval-mul-expr } (\text{Unit}, \Gamma) = 1$
 | $\text{eval-mul-expr } (\text{Var } i, \Gamma) = \Gamma ! i$
 | $\text{eval-mul-expr } (\text{Mul } e1 \ e2, \Gamma) = \text{eval-mul-expr } (e1, \Gamma) * \text{eval-mul-expr } (e2, \Gamma)$
 | $\text{eval-mul-expr } (\text{Inv } e, \Gamma) = \text{inverse } (\text{eval-mul-expr } (e, \Gamma))$

Split e into an expression and an environment.

lemma [*reify-uhint where prio = Prio.VERY-LOW*]:
 $e \equiv (e1, \Gamma) \implies \text{eval-mul-expr } (e1, \Gamma) \equiv n \implies \text{eval-mul-expr } e \equiv n$
 ⟨proof⟩

Hints for environment lookup.

lemma [*reify-uhint where prio = Prio.LOW*]:
 $e \equiv \text{Var } (\text{Suc } p) \implies \Gamma \equiv s \# \Delta \implies n \equiv \text{eval-mul-expr } (\text{Var } p, \Delta) \implies$
 $\text{eval-mul-expr } (e, \Gamma) \equiv n$
 ⟨proof⟩

lemma [*reify-uhint*]: $e \equiv \text{Var } 0 \implies \Gamma \equiv n \# \Theta \implies \text{eval-mul-expr } (e, \Gamma) \equiv n$
 ⟨proof⟩

lemma [*reify-uhint*]:
 $e1 \equiv \text{Inv } e2 \implies n \equiv \text{eval-mul-expr } (e2, \Gamma) \implies \text{eval-mul-expr } (e1, \Gamma) \equiv \text{inverse } n$
 $e \equiv \text{Mul } e1 \ e2 \implies m \equiv \text{eval-mul-expr } (e1, \Gamma) \implies n \equiv \text{eval-mul-expr } (e2, \Gamma)$
 \implies
 $\text{eval-mul-expr } (e, \Gamma) \equiv m * n$
 $e \equiv \text{Unit} \implies \text{eval-mul-expr } (e, \Gamma) \equiv 1$
 ⟨proof⟩

⟨ML⟩

schematic-goal $\text{eval-mul-expr } ?e = (1 * \text{inverse } 3 * 5 :: \text{rat})$
 ⟨proof⟩

end

References

- [1] A. Asperti, W. Ricciotti, C. Sacerdoti Coen, and E. Tassi. Hints in unification. In S. Berghofer, T. Nipkow, C. Urban, and M. Wenzel, editors, *Theorem Proving in Higher Order Logics*, pages 84–98, Berlin, Heidelberg, 2009. Springer Berlin Heidelberg.
- [2] K. Kappelmann, L. Bulwahn, and S. Willenbrink. Speccheck - specification-based testing for isabelle/ml. *Archive of Formal Proofs*, July 2021. <https://isa-afp.org/entries/SpecCheck.html>, Formal proof development.