

# Unification Utilities for Isabelle/ML

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October 4, 2023

## Abstract

This article provides various unification utilities for Isabelle/ML, most prominently:

1. First-order and higher-order pattern **E-unification** and E-matching. While unifiers in Isabelle/ML only consider the  $\alpha\beta\eta$ -equational theory of the  $\lambda$ -calculus, unifiers in this article may take an extra background theory, in the form of an equational prover, into account. For example, the unification problem  $n + 1 \equiv ?m + Suc\ 0$  may be solved by providing a prover for the background theory  $\forall n. n + 1 \equiv n + Suc\ 0$ .
2. Tactics, methods, and attributes with adjustable unifiers (e.g. resolution, fact, assumption, OF).
3. A generalisation of unification hints [1]. Unification hints are a flexible extension for unifiers. Among other things, they can be used for reflective tactics, to provide canonical unification instances, or to simply strengthen the background theory of a unifier in a controlled manner.
4. Simplifier integration for e-unifiers.
5. Practical combinations of unification algorithms, e.g. a combination of first-order and higher-order pattern unification.
6. A hierarchical logger for Isabelle/ML, including per logger configurations with log levels, output channels, message filters.

While this entry works with every object logic, some extra setup for Isabelle/HOL and application examples are provided. All unifiers are tested with SpecCheck [2].

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## 1 ML Code Utils

```
theory ML-Code-Utils
  imports Pure
begin
```

**Summary** Utilities to generate and manipulate (parsed) ML code.

*<ML>*

end

## 2 ML Attributes

```
theory ML-Attributes
  imports ML-Code-Utils
begin
```

**Summary** ML code as attributes.

*<ML>*

end

## 3 ML Logger

```
theory ML-Logger
  imports
    ML-Attributes
begin
```

**Summary** Generic logging, at some places inspired by Apache's Log4J 2  
<https://logging.apache.org/log4j/2.x/manual/customloglevels.html>.

*<ML>*

end

### 3.1 Setup Result Commands

```
theory Setup-Result-Commands
  imports Pure
  keywords setup-result :: thy-decl
  and local-setup-result :: thy-decl
begin
```

**Summary** Setup and local setup with result commands

⟨ML⟩

end

### 3.2 Examples

```
theory ML-Logger-Examples
  imports
    ML-Logger
    Setup-Result-Commands
begin
```

First some simple, barebone logging: print some information.

⟨ML⟩

To guarantee the existence of a "logger" in an ML structure, one should use the *HAS-LOGGER* signature.

⟨ML⟩

We can set up a hierarchy of loggers

⟨ML⟩

We can use different log levels to show/surpress messages. The log levels are based on Apache's Log4J 2 <https://logging.apache.org/log4j/2.x/manual/customloglevels.html>.

⟨ML⟩

```
declare [[ML-map-context <Logger.set-log-level parent1 Logger.DEBUG>]]
```

⟨ML⟩

We can set options for all loggers below a given logger. Below, we set the log level for all loggers below (and including) **parent1** to error, thus disabling warning messages.

⟨ML⟩

```
declare [[ML-map-context <Logger.set-log-levels parent1 Logger.ERR>]]
```

⟨ML⟩

```
declare [[ML-map-context <Logger.set-log-levels parent1 Logger.INFO>]]
```

We can set message filters.

```
declare [[ML-map-context  $\langle$ Logger.set-msg-filters Logger.root-logger (match-string
Third) $\rangle$ ]]
```

$\langle$ *ML* $\rangle$

```
declare [[ML-map-context  $\langle$ Logger.set-msg-filters Logger.root-logger (K true) $\rangle$ ]]
```

One can also use different output channels (e.g. files) and hide/show some additional logging information. Ctrl+click on below values and explore.

$\langle$ *ML* $\rangle$

To set up (local) loggers outside ML environments, *ML-Unification.Setup-Result-Commands* contains two commands, **setup-result** and **local-setup-result**.

```
experiment
```

```
begin
```

```
local-setup-result local-logger =  $\langle$ Logger.new-logger Logger.root-logger Local $\rangle$ 
```

$\langle$ *ML* $\rangle$

```
end
```

*local-logger* is no longer available. The follow thus does not work:

Let us create another logger in the global context.

```
setup-result some-logger =  $\langle$ Logger.new-logger Logger.root-logger Some-Logger $\rangle$ 
```

$\langle$ *ML* $\rangle$

Let us delete it again.

```
declare [[ML-map-context  $\langle$ Logger.delete-logger some-logger $\rangle$ ]]
```

The logger can no longer be found in the logger hierarchy

$\langle$ *ML* $\rangle$

```
end
```

## 4 ML Attribute Utils

```
theory ML-Attribute-Utils
```

```
imports
```

```
Pure
```

```
begin
```

**Summary** Utilities for attributes.

$\langle$ *ML* $\rangle$

```
end
```

## 5 ML Conversion Utils

```
theory ML-Conversion-Utils
```

```
imports  
  Pure  
begin
```

**Summary** Utilities for conversions.

```
lemma meta-eq-symmetric:  $(A \equiv B) \equiv (B \equiv A)$   
  <proof>  
<ML>
```

```
end
```

## 6 ML Parsing Utils

```
theory ML-Parsing-Utils  
  imports  
    ML-Attributes  
    ML-Attribute-Utils  
begin
```

**Summary** Parsing utilities for ML. We provide an antiquotation that takes a list of keys and creates a corresponding record with getters and mappers and a parser for corresponding key-value pairs.

```
<ML>
```

```
Example <ML>
```

```
end
```

## 7 ML Functor Instances

```
theory ML-Functor-Instances  
  imports  
    ML-Parsing-Utils  
begin
```

**Summary** Utilities for ML functors that create context data.

```
<ML>
```

```
Example <ML>
```

```
end
```

## 8 General ML Utils

```
theory ML-General-Utils
```

```
  imports Pure
begin
```

**Summary** General ML utilities.

*<ML>*

end

## 9 ML Generic Data Utils

```
theory ML-Generic-Data-Utils
  imports Pure
begin
```

**Summary** Utilities for `Generic_Data`.

*<ML>*

end

## 10 ML Method Utils

```
theory ML-Method-Utils
  imports Pure
begin
```

**Summary** Utilities for methods.

*<ML>*

end

```
theory ML-Priorities
  imports ML-Parsing-Utils
begin
```

**Summary** Priorities for ML tactics.

*<ML>*

end

## 11 ML-Normalisations

```
theory ML-Normalisations
  imports
    Pure
begin
```

**Summary** Normalisation functions for terms, types, and theorems.

*<ML>*

**end**

## 12 ML-Binders

```
theory ML-Binders
  imports
    ML-General-Utills
    ML-Normalisations
begin
```

**Summary** Binders for ML.

*<ML>*

**end**

## 13 ML Term Utills

```
theory ML-Term-Utills
  imports ML-Binders
begin
```

**Summary** Utilities for terms.

*<ML>*

**end**

## 14 ML Tactic Utills

```
theory ML-Tactic-Utills
  imports
    ML-Logger
    ML-Term-Utills
    ML-Conversion-Utills
begin
```

**Summary** Utilities for tactics.

*<ML>*

**end**



## 15 ML Theorem Utils

```
theory ML-Theorem-Utils  
  imports Pure  
begin
```

**Summary** Utilities for theorems.

*<ML>*

```
end
```

## 16 ML Utils

```
theory ML-Utils  
  imports  
    ML-Attribute-Utils  
    ML-Conversion-Utils  
    ML-Functor-Instances  
    ML-General-Utils  
    ML-Generic-Data-Utils  
    ML-Method-Utils  
    ML-Attributes  
    ML-Code-Utils  
    ML-Parsing-Utils  
    ML-Priorities  
    ML-Tactic-Utils  
    ML-Term-Utils  
    ML-Theorem-Utils
```

```
begin
```

```
end
```

## 17 ML Unification Basics

```
theory ML-Unification-Base  
  imports  
    ML-Logger  
    ML-Binders  
    ML-Normalisations
```

```
begin
```

**Summary** Basic definitions and utilities for unification algorithms.

*<ML>*

```
end
```

## 18 Simps To

```
theory Simps-To
  imports
    ML-Tactic-Utills
    ML-Theorem-Utills
    ML-Unification-Base
    Setup-Result-Commands
begin
```

**Summary** Simple frameworks to ask for the simp-normal form of a term on the user-level.

```
setup-result simps-to-base-logger = ⟨Logger.new-logger Logger.root-logger Simps-To-Base⟩
```

**Using Simplification On Left Term** definition *SIMPS-TO*  $s t \equiv (s \equiv t)$

```
lemma SIMPS-TO-eq: SIMPS-TO  $s t \equiv (s \equiv t)$ 
  ⟨proof⟩
```

Prevent simplification of second/right argument

```
lemma SIMPS-TO-cong [cong]:  $s \equiv s' \implies \text{SIMPS-TO } s t \equiv \text{SIMPS-TO } s' t$ 
  ⟨proof⟩
```

```
lemma SIMPS-TOI: PROP SIMPS-TO  $s s$  ⟨proof⟩
```

```
lemma SIMPS-TOD: PROP SIMPS-TO  $s t \implies s \equiv t$  ⟨proof⟩
```

⟨*ML*⟩

**Using Simplification On Left Term Followed By Unification** definition *SIMPS-TO-UNIF*  $s t \equiv (s \equiv t)$

Prevent simplification

```
lemma SIMPS-TO-UNIF-cong [cong]: SIMPS-TO-UNIF  $s t \equiv \text{SIMPS-TO-UNIF } s t$ 
  ⟨proof⟩
```

```
lemma SIMPS-TO-UNIF-eq: SIMPS-TO-UNIF  $s t \equiv (s \equiv t)$  ⟨proof⟩
```

```
lemma SIMPS-TO-UNIFI: PROP SIMPS-TO  $s s' \implies s' \equiv t \implies \text{PROP } \text{SIMPS-TO-UNIF } s t$ 
  ⟨proof⟩
```

```
lemma SIMPS-TO-UNIFD: PROP SIMPS-TO-UNIF  $s t \implies s \equiv t$ 
  ⟨proof⟩
```

⟨*ML*⟩

**Examples** experiment

```
begin
lemma
```

```

assumes [simp]:  $P \equiv Q$ 
and [simp]:  $Q \equiv R$ 
shows PROP SIMPS-TO P Q
  ⟨proof⟩
  ⟨ML⟩
  ⟨proof⟩

schematic-goal
  assumes [simp]:  $P \equiv Q$ 
  and [simp]:  $Q \equiv R$ 
  shows PROP SIMPS-TO P ?Q
    ⟨proof⟩
end

end

```

## 19 ML Unifiers

```

theory ML-Unifiers
  imports
    ML-Unification-Base
    ML-Functor-Instances
    ML-Priorities
    Simps-To
begin

```

**Summary** Unification modulo equations and combinators for unifiers.

**Combinators** ⟨*ML*⟩

**Standard Unifiers** ⟨*ML*⟩

**Unification via Tactics** ⟨*ML*⟩

**Unification via Simplification** ⟨*ML*⟩

**Mixture of Unifiers** ⟨*ML*⟩

```

declare [[ucombine add = ⟨Standard-Unification-Combine.eunif-data
  (Simplifier-Unification.simp-unify
  |> Unification-Combinator.norm-closed-unifier
  (#norm-term Standard-Mixed-Unification.norms-first-higherp-first-comb-higher-unify)
  |> Unification-Combinator.unifier-from-closed-unifier
  |> K)
  (Standard-Unification-Combine.default-metadata binding ⟨simp-unif⟩)⟩]]

```

**end**

## 20 Unification Parsers

```
theory ML-Unification-Parsers
  imports
    ML-Parsing-Utils
begin
```

**Summary** Common parsers needed for unification attributes, tactics, methods.

*<ML>*

**end**

### 20.1 Assumption Tactic

```
theory Unify-Assumption-Tactic
  imports
    ML-Functor-Instances
    ML-Unifiers
    ML-Unification-Parsers
begin
```

**Summary** Assumption tactic and method with adjustable unifier.

*<ML>*

```
Examples experiment
begin
```

```
lemma PROP P  $\implies$  PROP P
  <proof>
```

```
lemma
  assumes h:  $\bigwedge P$ . PROP P
  shows PROP P x
  <proof>
```

```
schematic-goal  $\bigwedge x$ . PROP P (c :: 'a')  $\implies$  PROP ?Y (x :: 'a')
  <proof>
```

```
schematic-goal a: PROP ?P (y :: 'a')  $\implies$  PROP ?P (?x :: 'a')
  <proof>
```

```
schematic-goal
  PROP ?P (x :: 'a')  $\implies$  PROP P (?x :: 'a')
  <proof>
```

**schematic-goal**

$$\bigwedge x. PROP D \implies (\bigwedge y. PROP P y x) \implies PROP C \implies PROP P x$$

*<proof>*

Unlike *assumption*, *uassm* will not close the goal if the order of premises of the assumption and the goal are different. Compare the following two examples:

**lemma**  $\bigwedge x. PROP D \implies (\bigwedge y. PROP A y \implies PROP B x) \implies PROP C \implies PROP A x \implies PROP B x$

*<proof>*

**lemma**  $\bigwedge x. PROP D \implies (\bigwedge y. PROP A y \implies PROP B x) \implies PROP A x \implies PROP C \implies PROP B x$

*<proof>*

end

end

**20.2 Resolution Tactics****theory** *Unify-Resolve-Tactics***imports***Unify-Assumption-Tactic**ML-Method-Utils***begin****Summary** Resolution tactics and methods with adjustable unifier.*<ML>***Examples** **experiment****begin****lemma****assumes**  $h: \bigwedge x. PROP D x \implies PROP C x$ **shows**  $\bigwedge x. PROP A x \implies PROP B x \implies PROP C x$ *<proof>***lemma****assumes**  $h: PROP C x$ **shows**  $PROP C x$ *<proof>***lemma****assumes**  $h: \bigwedge x. PROP A x \implies PROP D x$ **shows**  $\bigwedge x. PROP A x \implies PROP B x \implies PROP C x$ 

— use (r,e,d,f) to specify the resolution mode (resolution, elim, dest, forward)

*<proof>*

You can specify how chained facts should be used. By default, *urule* works like *rule*: it uses chained facts to resolve against the premises of the passed rules.

**lemma**

**assumes**  $h1: \bigwedge x. (PROP\ F\ x \implies PROP\ E\ x) \implies PROP\ C\ x$

**and**  $h2: \bigwedge x. PROP\ F\ x \implies PROP\ E\ x$

**shows**  $\bigwedge x. PROP\ A\ x \implies PROP\ B\ x \implies PROP\ C\ x$

— Compare all of the following calls:

*<proof>*

You can specify whether any or every rule must resolve against the goal:

**lemma**

**assumes**  $h1: \bigwedge x\ y. PROP\ C\ y \implies PROP\ D\ x \implies PROP\ C\ x$

**and**  $h2: \bigwedge x\ y. PROP\ C\ x \implies PROP\ D\ x$

**and**  $h3: \bigwedge x\ y. PROP\ C\ x$

**shows**  $\bigwedge x. PROP\ A\ x \implies PROP\ B\ x \implies PROP\ C\ x$

*<proof>*

**lemma**

**assumes**  $h1: \bigwedge x\ y. PROP\ C\ y \implies PROP\ A\ x \implies PROP\ C\ x$

**and**  $h2: \bigwedge x\ y. PROP\ C\ x \implies PROP\ B\ x \implies PROP\ D\ x$

**and**  $h3: \bigwedge x\ y. PROP\ C\ x$

**shows**  $\bigwedge x. PROP\ A\ x \implies PROP\ B\ x \implies PROP\ C\ x$

*<proof>*

**end**

**end**

### 20.3 Fact Tactic

**theory** *Unify-Fact-Tactic*

**imports**

*Unify-Resolve-Tactics*

**begin**

**Summary** Fact tactic with adjustable unifier.

*<ML>*

**Examples** `experiment`

**begin**

**lemma**

```
assumes h:  $\bigwedge x y. PROP P x y$ 
shows  $PROP P x y$ 
<proof>
```

```
lemma
assumes  $\bigwedge P y. PROP P y x$ 
shows  $PROP P x$ 
<proof>
```

```
lemma
assumes  $\bigwedge x y. PROP A x \implies PROP B x \implies PROP P x$ 
shows  $\bigwedge x y. PROP A x \implies PROP B x \implies PROP P x$ 
<proof>
end
```

```
end
```

## 21 Unification Tactics

```
theory Unification-Tactics
imports
  Unify-Assumption-Tactic
  Unify-Resolve-Tactics
  Unify-Fact-Tactic
begin
```

```
Summary Tactics with adjustable unifiers.
end
```

## 22 Unification Attributes

```
theory Unification-Attributes
imports Unify-Resolve-Tactics
begin
```

```
Summary OF attribute with adjustable unifier.
<ML>
```

```
Examples experiment
begin
lemma
assumes h1:  $(PROP A \implies PROP D) \implies PROP E \implies PROP C$ 
assumes h2:  $PROP B \implies PROP D$ 
and h3:  $PROP F \implies PROP E$ 
shows  $(PROP A \implies PROP B) \implies PROP F \implies PROP C$ 
<proof>
```

```

lemma
  assumes  $h1: (PROP A \implies PROP A)$ 
  assumes  $h2: (PROP A \implies PROP A) \implies PROP B$ 
  shows  $PROP B$ 
   $\langle proof \rangle$ 

```

```

lemma
  assumes  $h1: \bigwedge x y z. PROP P x y \implies PROP P y y \implies (PROP A \implies PROP A) \implies$ 
   $(PROP A \implies PROP B) \implies PROP C$ 
  and  $h2: \bigwedge x y. PROP P x y$ 
  and  $h3: PROP A \implies PROP A$ 
  and  $h4: PROP D \implies PROP B$ 
  shows  $(PROP A \implies PROP D) \implies PROP C$ 
   $\langle proof \rangle$ 

```

```

lemma
  assumes  $h1: \bigwedge P x. PROP P x \implies PROP E P x$ 
  and  $h2: PROP P x$ 
  shows  $PROP E P x$ 
   $\langle proof \rangle$ 

```

We can also specify the unifier to be used:

```

lemma
  assumes  $h1: \bigwedge P. PROP P \implies PROP E$ 
  and  $h2: \bigwedge P. PROP P$ 
  shows  $PROP E$ 
   $\langle proof \rangle$ 

```

**end**

**end**

## 23 Term Indexing

```

theory ML-Term-Index
  imports
    ML-Normalisations
  begin

```

**Summary** Termin indexes signatures and implementations.

$\langle ML \rangle$

**end**



## 24 Unification Hints

```
theory ML-Unification-Hints
imports
  ML-Generic-Data-Utils
  ML-Term-Index
  ML-Unifiers
  ML-Unification-Parsers
begin
```

**Summary** A generalisation of unification hints, originally introduced in [1]. We support a generalisation that

1. allows additional universal variables in premises
2. allows non-atomic left-hand sides for premises
3. allows arbitrary functions to perform the matching/unification of a hint with a disagreement pair.

General shape of a hint:  $\bigwedge y1 \dots yn. (\bigwedge x1 \dots xn1. lhs1 \equiv rhs1) \implies \dots$   
 $\implies (\bigwedge x1 \dots xnk. lhsk \equiv rhsk) \implies lhs \equiv rhs$

$\langle ML \rangle$

Standard unification hints are accessible via *uhint*.

```
declare [[ucombine add =  $\langle$ Standard-Unification-Combine.eunif-data
  (Standard-Unification-Hints.try-hints
  |> Unification-Combinator.norm-unifier
  (#norm-term Standard-Mixed-Unification.norms-first-higherp-first-comb-higher-unify)
  |> K)
  (Standard-Unification-Combine.default-metadata Standard-Unification-Hints.binding) $\rangle$ ]]
```

Examples see ../Examples.

```
end
```

## 25 Setup for HOL

```
theory ML-Unification-HOL-Setup
imports
  HOL.HOL
  ML-Unification-Hints
begin
```

**lemma** *eq-eq-True*:  $P \equiv (P \equiv \text{Trueprop True})$   $\langle$ *proof* $\rangle$

```
declare [[uhint where hint-preprocessor =  $\langle$ Unification-Hints-Base.obj-logic-hint-preprocessor
  @{thm atomize-eq[symmetric]} (Conv.rewr-conv @{thm eq-eq-True}) $\rangle$ ]]
```

**lemma** *eq-TrueI*:  $PROP P \implies PROP P \equiv \text{Trueprop True}$   $\langle$ *proof* $\rangle$

```

declare [[ucombine add = ⟨Standard-Unification-Combine.eunif-data
  (Simplifier-Unification.SIMPS-TO-unify @{thm eq-TrueI}
  |> Unification-Combinator.norm-closed-unifier
  (#norm-term Standard-Mixed-Unification.norms-first-higherp-first-comb-higher-unify)
  |> Unification-Combinator.unifier-from-closed-unifier
  |> K)
  (Standard-Unification-Combine.default-metadata binding ⟨SIMPS-TO-unif⟩)⟩]]

declare [[ucombine add = ⟨Standard-Unification-Combine.eunif-data
  (Simplifier-Unification.SIMPS-TO-UNIF-unify @{thm eq-TrueI}
  Standard-Mixed-Unification.norms-first-higherp-first-comb-higher-unify
  (Standard-Mixed-Unification.first-higherp-first-comb-higher-unify
  |> Unification-Combinator.norm-unifier Envir-Normalisation.beta-norm-term-unif)
  |> Unification-Combinator.norm-unifier
  (#norm-term Standard-Mixed-Unification.norms-first-higherp-first-comb-higher-unify)
  |> K)
  (Standard-Unification-Combine.default-metadata binding ⟨SIMPS-TO-UNIF-unif⟩)⟩]]

end

```

## 26 E-Unification Examples

```

theory E-Unification-Examples
  imports
    Main
    ML-Unification-HOL-Setup
    Unify-Fact-Tactic
begin

```

**Summary** Sample applications of e-unifiers, methods, etc. introduced in this session.

```

experiment
begin

```

### 26.1 Using The Simplifier For Unification.

```

inductive-set even :: nat set where
  zero:  $0 \in \text{even}$  |
  step:  $n \in \text{even} \implies \text{Suc } (n) \in \text{even}$ 

```

Premises of the form *SIMPS-TO-UNIF lhs rhs* are solved by `Simplifier_Unification`. It first normalises *lhs* and then unifies the normalisation with *rhs*. See also *ML-Unification.ML-Unification-HOL-Setup*.

```

lemma [uhint where prio = Prio.LOW]:  $n \neq 0 \implies \text{PROP } \text{SIMPS-TO-UNIF } (n - 1) m \implies n \equiv \text{Suc } m$ 
  ⟨proof⟩

```

By default, below unification methods use `Standard_Mixed_Unification.first_higherp_first` which is a combination of various practical unification algorithms.

**schematic-goal**  $(\bigwedge x. x + 4 = n) \implies \text{Suc } ?x = n$   
*<proof>*

**lemma**  $6 \in \text{even}$   
*<proof>*

**lemma**  $(220 + (80 - 2 * 2)) \in \text{even}$   
*<proof>*

**lemma**  
**assumes**  $[a, b, c] = [c, b, a]$   
**shows**  $[a] @ [b, c] = [c, b, a]$   
*<proof>*

**lemma**  $x \in (\{z, y, x\} \cup S) \cap \{x\}$   
*<proof>*

**lemma**  $(x + (y :: \text{nat}))^2 \leq x^2 + 2*x*y + y^2 + 4 * y + x - y$   
*<proof>*

**lemma**  
**assumes**  $\bigwedge s. P (\text{Suc } (\text{Suc } 0)) (s(x := (1 :: \text{nat}), x := 1 + 1 * 4 - 3))$   
**shows**  $P 2 (s(x := 2))$   
*<proof>*

## 26.2 Providing Canonical Solutions With Unification Hints

**lemma**  $[\text{uhint}]: xs \equiv [] \implies \text{length } xs \equiv 0$  *<proof>*

**schematic-goal**  $\text{length } ?xs = 0$   
*<proof>*

**lemma**  $[\text{uhint}]: (n :: \text{nat}) \equiv m \implies n - m \equiv 0$  *<proof>*

**schematic-goal**  $n - ?m = (0 :: \text{nat})$   
*<proof>*

The following fails because, by default, `Standard_Unification_Hints.try_hints` uses the higher-order pattern unifier to unify hints against a given disagreement pair, and  $0::'a$  cannot be higher-order pattern unified with  $\text{length } []$ . The unification of the hint requires the use of yet another hint, namely  $\text{length } xs = 0$  (cf. above).

**schematic-goal**  $n - ?m = \text{length } []$   
— by (ufact refl)  
*<proof>*

There are two ways to fix this:

1. We allow the recursive uses of unification hints when searching for suitable unification hints.

2. We use a different unification hint that the recursive use of hints explicit.

Solution 1: recursive usages of hints. Warning: such recursive applications easily loop.

**schematic-goal**  $n - ?m = length []$   
 $\langle proof \rangle$

Solution 2: make the recursion explicit in the hint.

**lemma**  $[uhint]: k \equiv 0 \implies (n :: nat) \equiv m \implies n - m \equiv k \langle proof \rangle$

**schematic-goal**  $n - ?m = length []$   
 $\langle proof \rangle$

### 26.3 Strengthen Unification With Unification Hints

**lemma**  
**assumes**  $[uhint]: n = m$   
**shows**  $n - m = (0 :: nat)$   
 $\langle proof \rangle$

**lemma**  
**assumes**  $x = y$   
**shows**  $y = x$   
 $\langle proof \rangle$

**Unfolding definitions.** **definition**  $mysuc\ n = Suc\ n$

**lemma**  
**assumes**  $\bigwedge m. Suc\ n > mysuc\ m$   
**shows**  $mysuc\ n > Suc\ 3$   
 $\langle proof \rangle$

**Discharging meta implications with object-level implications** **lemma**  
 $[uhint]:$   
 $Trueprop\ A \equiv A' \implies Trueprop\ B \equiv B' \implies Trueprop\ (A \longrightarrow B) \equiv (PROP\ A'$   
 $\implies PROP\ B')$   
 $\langle proof \rangle$

**lemma**  
**assumes**  $A \longrightarrow (B \longrightarrow C) \longrightarrow D$   
**shows**  $A \implies (B \implies C) \implies D$   
 $\langle proof \rangle$

### 26.4 Better Control Over Meta Variable Instantiations

Consider the following type-inference problem.

**schematic-goal**

```

assumes app-typeI:  $\bigwedge f x. (\bigwedge x. \text{ArgT } x \implies \text{DomT } x (f x)) \implies \text{ArgT } x \implies$ 
 $\text{DomT } x (f x)$ 
and f-type:  $\bigwedge x. \text{ArgT } x \implies \text{DomT } x (f x)$ 
and x-type:  $\text{ArgT } x$ 
shows  $?T (f x)$ 
  <proof>

end

end

```

## 27 Examples: Reification Via Unification Hints

```

theory Unification-Hints-Reification-Examples
imports
  HOL.Rat
  ML-Unification-HOL-Setup
  Unify-Fact-Tactic
begin

```

**Summary** Reification via unification hints. For an introduction to unification hints refer to [1]. We support a generalisation of unification hints as described in *ML-Unification.ML-Unification-Hints*.

### 27.1 Setup

One-time setup to obtain a unifier with unification hints for the purpose of reification. We could also simply use the standard unification hints *uhint*, but having separate instances is a cleaner approach.

*<ML>*

Premises of hints should again be unified by the reification unifier.

```

declare [[reify-uhint where prems-unifier = reify-unify]]

```

### 27.2 Formulas with Quantifiers and Environment

The following example is taken from *HOL-Library.Reflection\_Examples*. It is recommended to compare the approach presented here with the reflection tactic presented in said theory.

```

datatype form =
  TrueF
| FalseF
| Less nat nat
| And form form
| Or form form

```

| *Neg form*  
| *ExQ form*

**primrec** *interp* :: *form*  $\Rightarrow$  (*'a::ord*) *list*  $\Rightarrow$  *bool*

**where**

*interp TrueF vs*  $\longleftrightarrow$  *True*  
| *interp FalseF vs*  $\longleftrightarrow$  *False*  
| *interp (Less i j) vs*  $\longleftrightarrow$  *vs ! i < vs ! j*  
| *interp (And f1 f2) vs*  $\longleftrightarrow$  *interp f1 vs*  $\wedge$  *interp f2 vs*  
| *interp (Or f1 f2) vs*  $\longleftrightarrow$  *interp f1 vs*  $\vee$  *interp f2 vs*  
| *interp (Neg f) vs*  $\longleftrightarrow$   $\neg$  *interp f vs*  
| *interp (ExQ f) vs*  $\longleftrightarrow$   $(\exists v. \text{interp } f (v \# vs))$

**Reification with unification and recursive hint unification for conclusion** The following illustrates how to use the equations *interp TrueF ?vs = True*

*interp FalseF ?vs = False*  
*interp (Less ?i ?j) ?vs = (?vs ! ?i < ?vs ! ?j)*  
*interp (And ?f1.0 ?f2.0) ?vs = (interp ?f1.0 ?vs  $\wedge$  interp ?f2.0 ?vs)*  
*interp (Or ?f1.0 ?f2.0) ?vs = (interp ?f1.0 ?vs  $\vee$  interp ?f2.0 ?vs)*  
*interp (Neg ?f) ?vs = ( $\neg$  interp ?f ?vs)*  
*interp (ExQ ?f) ?vs = ( $\exists v. \text{interp } ?f (v \# ?vs)$ )* directly as unification

hints for reification.

**experiment**

**begin**

Hints for list lookup.

**declare** *List.nth-Cons-Suc*[*reify-uhint* **where** *prio = Prio.LOW*]  
**and** *List.nth-Cons-0*[*reify-uhint*]

Hints to reify formulas of type *bool* into formulas of type *form*.

**declare** *interp.simps*[*reify-uhint*]

We have to allow the hint unifier to recursively look for hints during unification of the hint's conclusion.

**declare** [[*reify-uhint* **where** *concl-unifier = reify-unify*]]

**schematic-goal**

*interp ?f (?vs :: ('a :: ord) list) = ( $\exists (x :: 'a). x < y \wedge \neg(\exists (z :: 'a). v < z \vee \neg False)$ )*  
*<proof>*

While this all works nicely if set up correctly, it can be rather difficult to understand and debug the recursive unification process for a hint's con-

clusion. In the next paragraph, we present an alternative that is closer to the examples presented in the original unification hints paper [1].

**end**

**Reification with matching without recursion for conclusion** We disallow the hint unifier to recursively look for hints while unifying the conclusion; instead, we only allow the hint unifier to match the hint's conclusion against the disagreement terms.

**declare** [[*reify-uhint* **where** *concl-unifier* = *Higher-Order-Pattern-Unification.match*]]

However, this also means that we now have to write our hints such that the hint's conclusion can successfully be matched against the disagreement terms. In particular, the disagreement terms may still contain meta variables that we want to instantiate with the help of the unification hints. Essentially, a hint then describes a canonical instantiation for these meta variables.

**experiment**  
**begin**

**lemma** [*reify-uhint* **where** *prio* = *Prio.LOW*]:  
 $n \equiv \text{Suc } n' \implies vs \equiv v \# vs' \implies vs' ! n' \equiv x \implies vs ! n \equiv x$   
 ⟨*proof*⟩

**lemma** [*reify-uhint*]:  $n \equiv 0 \implies vs \equiv x \# vs' \implies vs ! n \equiv x$   
 ⟨*proof*⟩

**lemma** [*reify-uhint*]:  
 $\llbracket e \equiv \text{ExQ } f; \bigwedge v. \text{interp } f (v \# vs) \equiv P v \rrbracket \implies \text{interp } e \text{ vs} \equiv \exists v. P v$   
 $\llbracket e \equiv \text{Less } i j; x \equiv vs ! i; y \equiv vs ! j \rrbracket \implies \text{interp } e \text{ vs} \equiv x < y$   
 $\llbracket e \equiv \text{And } f1 f2; \text{interp } f1 \text{ vs} \equiv r1; \text{interp } f2 \text{ vs} \equiv r2 \rrbracket \implies \text{interp } e \text{ vs} \equiv r1 \wedge r2$   
 $\llbracket e \equiv \text{Or } f1 f2; \text{interp } f1 \text{ vs} \equiv r1; \text{interp } f2 \text{ vs} \equiv r2 \rrbracket \implies \text{interp } e \text{ vs} \equiv r1 \vee r2$   
 $e \equiv \text{Neg } f \implies \text{interp } f \text{ vs} \equiv r \implies \text{interp } e \text{ vs} \equiv \neg r$   
 $e \equiv \text{TrueF} \implies \text{interp } e \text{ vs} \equiv \text{True}$   
 $e \equiv \text{FalseF} \implies \text{interp } e \text{ vs} \equiv \text{False}$   
 ⟨*proof*⟩

**schematic-goal**

$\text{interp } ?f (?vs :: ('a :: \text{ord}) \text{ list}) = (\exists (x :: 'a). x < y \wedge \neg(\exists (z :: 'a). v < z \vee \neg \text{False}))$   
 ⟨*proof*⟩

**end**

The next examples are modification from [1].

### 27.3 Simple Arithmetic

**datatype** *add-expr* = *Var int* | *Add add-expr add-expr*

**fun** *eval-add-expr* :: *add-expr*  $\Rightarrow$  *int* **where**  
*eval-add-expr* (*Var* *i*) = *i*  
| *eval-add-expr* (*Add* *ex1* *ex2*) = *eval-add-expr* *ex1* + *eval-add-expr* *ex2*

**lemma** *eval-add-expr-Var* [*reify-uhint* **where** *prio* = *Prio.LOW*]:  
 $e \equiv \text{Var } i \Longrightarrow \text{eval-add-expr } e \equiv i$  *<proof>*

**lemma** *eval-add-expr-add* [*reify-uhint*]:  
 $e \equiv \text{Add } e1 \ e2 \Longrightarrow \text{eval-add-expr } e1 \equiv m \Longrightarrow \text{eval-add-expr } e2 \equiv n \Longrightarrow$   
 $\text{eval-add-expr } e \equiv m + n$   
*<proof>*

*<ML>*

**schematic-goal** *eval-add-expr* ?*e* = (1 + (2 + 7) :: *int*)  
*<proof>*

## 27.4 Arithmetic with Environment

**datatype** *mul-expr* =  
*Unit*  
| *Var* *nat*  
| *Mul* *mul-expr* *mul-expr*  
| *Inv* *mul-expr*

**fun** *eval-mul-expr* :: *mul-expr*  $\times$  *rat list*  $\Rightarrow$  *rat* **where**  
*eval-mul-expr* (*Unit*,  $\Gamma$ ) = 1  
| *eval-mul-expr* (*Var* *i*,  $\Gamma$ ) =  $\Gamma ! i$   
| *eval-mul-expr* (*Mul* *e1* *e2*,  $\Gamma$ ) = *eval-mul-expr* (*e1*,  $\Gamma$ ) \* *eval-mul-expr* (*e2*,  $\Gamma$ )  
| *eval-mul-expr* (*Inv* *e*,  $\Gamma$ ) = *inverse* (*eval-mul-expr* (*e*,  $\Gamma$ ))

Split *e* into an expression and an environment.

**lemma** [*reify-uhint* **where** *prio* = *Prio.VERY-LOW*]:  
 $e \equiv (e1, \Gamma) \Longrightarrow \text{eval-mul-expr } (e1, \Gamma) \equiv n \Longrightarrow \text{eval-mul-expr } e \equiv n$   
*<proof>*

Hints for environment lookup.

**lemma** [*reify-uhint* **where** *prio* = *Prio.LOW*]:  
 $e \equiv \text{Var } (\text{Suc } p) \Longrightarrow \Gamma \equiv s \# \Delta \Longrightarrow n \equiv \text{eval-mul-expr } (\text{Var } p, \Delta) \Longrightarrow$   
 $\text{eval-mul-expr } (e, \Gamma) \equiv n$   
*<proof>*

**lemma** [*reify-uhint*]:  $e \equiv \text{Var } 0 \Longrightarrow \Gamma \equiv n \# \Theta \Longrightarrow \text{eval-mul-expr } (e, \Gamma) \equiv n$   
*<proof>*

**lemma** [*reify-uhint*]:  
 $e1 \equiv \text{Inv } e2 \Longrightarrow n \equiv \text{eval-mul-expr } (e2, \Gamma) \Longrightarrow \text{eval-mul-expr } (e1, \Gamma) \equiv \text{inverse } n$   
 $e \equiv \text{Mul } e1 \ e2 \Longrightarrow m \equiv \text{eval-mul-expr } (e1, \Gamma) \Longrightarrow$   
 $n \equiv \text{eval-mul-expr } (e2, \Gamma) \Longrightarrow \text{eval-mul-expr } (e, \Gamma) \equiv m * n$



$e \equiv \text{Unit} \implies \text{eval-mul-expr } (e, \Gamma) \equiv 1$   
*<proof>*

*<ML>*

**schematic-goal** *eval-mul-expr ?e = (1 \* inverse 3 \* 5 :: rat)*  
*<proof>*

**end**

## References

- [1] A. Asperti, W. Ricciotti, C. Sacerdoti Coen, and E. Tassi. Hints in unification. In S. Berghofer, T. Nipkow, C. Urban, and M. Wenzel, editors, *Theorem Proving in Higher Order Logics*, pages 84–98, Berlin, Heidelberg, 2009. Springer Berlin Heidelberg.
- [2] K. Kappelmann, L. Bulwahn, and S. Willenbrink. Speccheck - specification-based testing for isabelle/ml. *Archive of Formal Proofs*, July 2021. <https://isa-afp.org/entries/SpecCheck.html>, Formal proof development.