

Local Lexing

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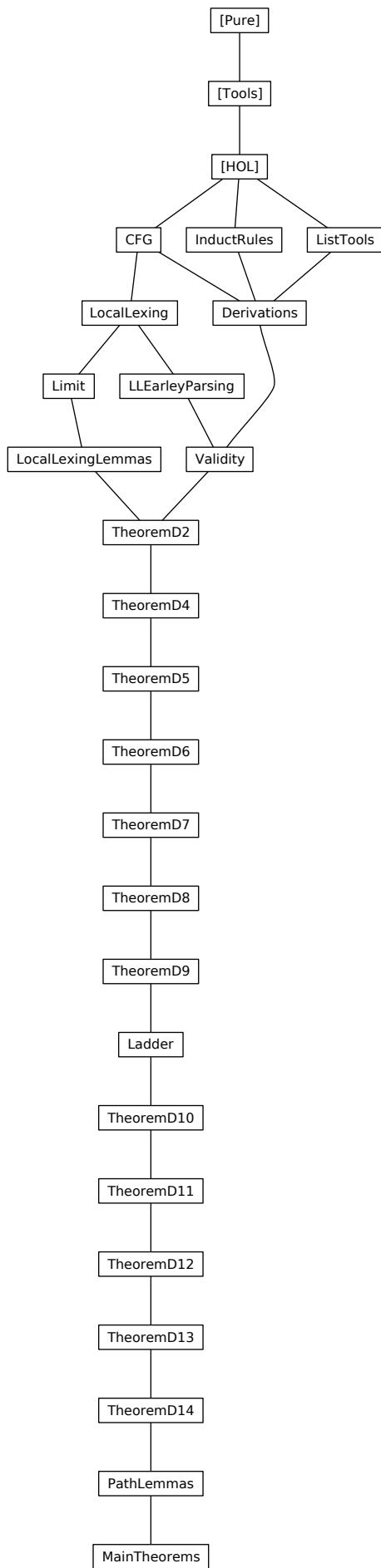
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Abstract

This formalisation accompanies the paper Local Lexing¹, which introduces a novel parsing concept of the same name. The paper also gives a high-level algorithm for local lexing as an extension of Earley's algorithm. This formalisation proves the algorithm to be correct with respect to its local lexing semantics. As a special case, this formalisation thus also contains a proof of the correctness of Earley's algorithm. The paper contains a short outline of how this formalisation is organised.

Contents

¹<https://arxiv.org/abs/1702.03277>



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theory CFG
imports Main
begin

typedecl symbol

type-synonym rule = symbol × symbol list

type-synonym sentence = symbol list

locale CFG =
  fixes Ω :: symbol set
  fixes Σ :: symbol set
  fixes R :: rule set
  fixes S :: symbol
  assumes disjunct-symbols: Ω ∩ Σ = {}
  assumes startsymbol-dom: S ∈ Ω
  assumes validRules: ∀ (N, α) ∈ R. N ∈ Ω ∧ (∀ s ∈ set α. s ∈ Ω ∪ Σ)
begin

definition is-terminal :: symbol ⇒ bool
where
  is-terminal s = (s ∈ Σ)

definition is-nonterminal :: symbol ⇒ bool
where
  is-nonterminal s = (s ∈ Ω)

lemma is-nonterminal-startsymbol:is-nonterminal S
  ⟨proof⟩

definition is-symbol :: symbol ⇒ bool
where
  is-symbol s = (is-terminal s ∨ is-nonterminal s)

definition is-sentence :: sentence ⇒ bool
where
  is-sentence s = list-all is-symbol s

definition is-word :: sentence ⇒ bool
where
  is-word s = list-all is-terminal s

definition derives1 :: sentence ⇒ sentence ⇒ bool
where
  derives1 u v =
    (Ǝ x y N α.
      u = x @ [N] @ y
      ∧ v = x @ α @ y)

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 $\wedge \text{is-sentence } x$ 
 $\wedge \text{is-sentence } y$ 
 $\wedge (N, \alpha) \in \mathfrak{R}$ )

definition derivations1 :: (sentence × sentence) set
where
  derivations1 = { (u,v) | u v. derives1 u v }

definition derivations :: (sentence × sentence) set
where
  derivations = derivations1 $^*$ 

definition derives :: sentence  $\Rightarrow$  sentence  $\Rightarrow$  bool
where
  derives u v = ((u, v)  $\in$  derivations)

definition is-derivation :: sentence  $\Rightarrow$  bool
where
  is-derivation u = derives [S] u

definition L :: sentence set
where
  L = { v | v. is-word v  $\wedge$  is-derivation v}

definition LP :: sentence set
where
  LP = { u | u v. is-word u  $\wedge$  is-derivation (u@v) }

end

end
theory LocalLexing
imports CFG
begin

typeddecl character

type-synonym lexer = character list  $\Rightarrow$  nat  $\Rightarrow$  nat set

type-synonym token = symbol  $\times$  character list

type-synonym tokens = token list

definition terminal-of-token :: token  $\Rightarrow$  symbol
where
  terminal-of-token t = fst t

definition terminals :: tokens  $\Rightarrow$  sentence
where

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terminals ts = map terminal-of-token ts

definition chars-of-token :: token ⇒ character list
where
  chars-of-token t = snd t

fun chars :: tokens ⇒ character list
where
  chars [] = []
  | chars (t#ts) = (chars-of-token t) @ (chars ts)

fun charslength :: tokens ⇒ nat
where
  charslength cs = length (chars cs)

definition is-lexer :: lexer ⇒ bool
where
  is-lexer lexer =
    (forall D p l. (p ≤ length D ∧ l ∈ lexer D p → p + l ≤ length D) ∧
      (p > length D → lexer D p = {}))

type-synonym selector = token set ⇒ token set ⇒ token set

definition is-selector :: selector ⇒ bool
where
  is-selector sel = (forall A B. A ⊆ B → (A ⊆ sel A B ∧ sel A B ⊆ B))

fun by-length :: nat ⇒ tokens set ⇒ tokens set
where
  by-length l tss = { ts . ts ∈ tss ∧ length (chars ts) = l }

fun funpower :: ('a ⇒ 'a) ⇒ nat ⇒ ('a ⇒ 'a)
where
  funpower f 0 x = x
  | funpower f (Suc n) x = f (funpower f n x)

definition natUnion :: (nat ⇒ 'a set) ⇒ 'a set
where
  natUnion f = ∪ { f n | n. True }

definition limit :: ('a set ⇒ 'a set) ⇒ 'a set ⇒ 'a set
where
  limit f x = natUnion (λ n. funpower f n x)

locale LocalLexing = CFG +
  fixes Lex :: symbol ⇒ lexer
  fixes Sel :: selector
  assumes Lex-is-lexer: ∀ t ∈ Σ. is-lexer (Lex t)
  assumes Sel-is-selector: is-selector Sel

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fixes Doc :: character list
begin

definition admissible :: tokens  $\Rightarrow$  bool
where
  admissible ts = (terminals ts  $\in$   $\mathcal{L}_P$ )

definition Append :: token set  $\Rightarrow$  nat  $\Rightarrow$  tokens set  $\Rightarrow$  tokens set
where
  Append Z k P = P  $\cup$ 
    { p @ [t] | p t. p  $\in$  by-length k P  $\wedge$  t  $\in$  Z  $\wedge$  admissible (p @ [t]) }

definition X :: nat  $\Rightarrow$  token set
where
  X k = {(t,  $\omega$ ) | t l  $\omega$ . t  $\in$   $\mathfrak{T}$   $\wedge$  l  $\in$  Lex t Doc k  $\wedge$   $\omega$  = take l (drop k Doc) }

definition W :: tokens set  $\Rightarrow$  nat  $\Rightarrow$  token set
where
  W P k = { u. u  $\in$  X k  $\wedge$  ( $\exists$  p  $\in$  by-length k P. admissible (p@[u])) }

definition Y :: token set  $\Rightarrow$  tokens set  $\Rightarrow$  nat  $\Rightarrow$  token set
where
  Y T P k = Sel T (W P k)

fun P :: nat  $\Rightarrow$  nat  $\Rightarrow$  tokens set
and Q :: nat  $\Rightarrow$  tokens set
and Z :: nat  $\Rightarrow$  nat  $\Rightarrow$  token set
where
  P 0 0 = []
  | P k (Suc u) = limit (Append (Z k (Suc u)) k) (P k u)
  | P (Suc k) 0 = Q k
  | Z k 0 = []
  | Z k (Suc u) = Y (Z k u) (P k u) k
  | Q k = natUnion (P k)

definition P :: tokens set
where
  P = Q (length Doc)

definition ll :: tokens set
where
  ll = { p . p  $\in$  P  $\wedge$  charslength p = length Doc  $\wedge$  terminals p  $\in$   $\mathcal{L}$  }

end

end
theory LLEarleyParsing
imports LocalLexing
begin

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datatype item =
  Item
    (item-rule: rule)
    (item-dot : nat)
    (item-origin : nat)
    (item-end : nat)

type-synonym items = item set

definition item-nonterminal :: item ⇒ symbol
where
  item-nonterminal x = fst (item-rule x)

definition item-rhs :: item ⇒ sentence
where
  item-rhs x = snd (item-rule x)

definition item-α :: item ⇒ sentence
where
  item-α x = take (item-dot x) (item-rhs x)

definition item-β :: item ⇒ sentence
where
  item-β x = drop (item-dot x) (item-rhs x)

definition init-item :: rule ⇒ nat ⇒ item
where
  init-item r k = Item r 0 k k

definition is-complete :: item ⇒ bool
where
  is-complete x = (item-dot x ≥ length (item-rhs x))

definition next-symbol :: item ⇒ symbol option
where
  next-symbol x = (if is-complete x then None else Some ((item-rhs x) ! (item-dot x)))

definition inc-item :: item ⇒ nat ⇒ item
where
  inc-item x k = Item (item-rule x) (item-dot x + 1) (item-origin x) k

definition bin :: items ⇒ nat ⇒ items
where
  bin I k = { x . x ∈ I ∧ item-end x = k }

context LocalLexing begin

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definition Init :: items
where
  Init = { init-item r 0 | r. r ∈  $\mathfrak{R}$  ∧ fst r =  $\mathfrak{S}$  }

definition Predict :: nat ⇒ items ⇒ items
where
  Predict k I = I ∪
    { init-item r k | r x. r ∈  $\mathfrak{R}$  ∧ x ∈ bin I k ∧
      next-symbol x = Some(fst r) }

definition Complete :: nat ⇒ items ⇒ items
where
  Complete k I = I ∪ { inc-item x k | x y.
    x ∈ bin I (item-origin y) ∧ y ∈ bin I k ∧ is-complete y ∧
    next-symbol x = Some (item-nonterminal y) }

definition TokensAt :: nat ⇒ items ⇒ token set
where
  TokensAt k I = { (t, s) | t s x l. x ∈ bin I k ∧
    next-symbol x = Some t ∧ is-terminal t ∧
    l ∈ Lex t Doc k ∧ s = take l (drop k Doc) }

definition Tokens :: nat ⇒ token set ⇒ items ⇒ token set
where
  Tokens k T I = Sel T (TokensAt k I)

definition Scan :: token set ⇒ nat ⇒ items ⇒ items
where
  Scan T k I = I ∪
    { inc-item x (k + length c) | x t c. x ∈ bin I k ∧ (t, c) ∈ T ∧
      next-symbol x = Some t }

definition  $\pi$  :: nat ⇒ token set ⇒ items ⇒ items
where
   $\pi k T I$  =
    limit (λ I. Scan T k (Complete k (Predict k I))) I

fun  $\mathcal{J}$  :: nat ⇒ nat ⇒ items
and  $\mathcal{I}$  :: nat ⇒ items
and  $\mathcal{T}$  :: nat ⇒ nat ⇒ token set
where
   $\mathcal{J} 0 0 = \pi 0 \{\} \text{ Init}$ 
  |  $\mathcal{J} k (\text{Suc } u) = \pi k (\mathcal{T} k (\text{Suc } u)) (\mathcal{J} k u)$ 
  |  $\mathcal{J} (\text{Suc } k) 0 = \pi (\text{Suc } k) \{\} (\mathcal{I} k)$ 
  |  $\mathcal{T} k 0 = \{\}$ 
  |  $\mathcal{T} k (\text{Suc } u) = \text{Tokens } k (\mathcal{T} k u) (\mathcal{J} k u)$ 
  |  $\mathcal{I} k = \text{natUnion } (\mathcal{J} k)$ 

definition  $\mathfrak{I}$  :: items

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where
 $\mathfrak{I} = \mathcal{I} (\text{length } \text{Doc})$ 

definition is-finished :: item  $\Rightarrow$  bool where
  is-finished  $x = (\text{item-nonterminal } x = \mathfrak{S} \wedge \text{item-origin } x = 0 \wedge \text{item-end } x =$ 
  length Doc  $\wedge$ 
    is-complete  $x)$ 

definition earley-recognised :: bool
where
  earley-recognised  $= (\exists x \in \mathfrak{I}. \text{is-finished } x)$ 

end

end
theory Limit
imports LocalLexing
begin

definition setmonotone ::  $(\text{'a set} \Rightarrow \text{'a set}) \Rightarrow \text{bool}$ 
where
  setmonotone  $f = (\forall X. X \subseteq f X)$ 

lemma setmonotone-funpower: setmonotone  $f \implies \text{setmonotone} (\text{funpower } f n)$ 
   $\langle \text{proof} \rangle$ 

lemma subset-setmonotone: setmonotone  $f \implies X \subseteq f X$ 
   $\langle \text{proof} \rangle$ 

lemma elem-setmonotone: setmonotone  $f \implies x \in X \implies x \in f X$ 
   $\langle \text{proof} \rangle$ 

lemma elem-natUnion:  $(\forall n. x \in f n) \implies x \in \text{natUnion } f$ 
   $\langle \text{proof} \rangle$ 

lemma subset-natUnion:  $(\forall n. X \subseteq f n) \implies X \subseteq \text{natUnion } f$ 
   $\langle \text{proof} \rangle$ 

lemma setmonotone-limit:
  assumes fmono: setmonotone  $f$ 
  shows setmonotone (limit  $f$ )
   $\langle \text{proof} \rangle$ 

lemma[simp]: funpower id n = id
   $\langle \text{proof} \rangle$ 

lemma[simp]: limit id = id
   $\langle \text{proof} \rangle$ 

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lemma natUnion-decompose[consumes 1, case-names Decompose]:
  assumes p:  $p \in \text{natUnion } S$ 
  assumes decompose:  $\bigwedge n. p. p \in S n \implies P p$ 
  shows P p
  ⟨proof⟩

lemma limit-induct[consumes 1, case-names Init Iterate]:
  assumes p:  $(p :: 'a) \in \text{limit } f X$ 
  assumes init:  $\bigwedge p. p \in X \implies P p$ 
  assumes iterate:  $\bigwedge p Y. (\bigwedge q. q \in Y \implies P q) \implies p \in f Y \implies P p$ 
  shows P p
  ⟨proof⟩

definition chain ::  $(\text{nat} \Rightarrow 'a \text{ set}) \Rightarrow \text{bool}$ 
where
  chain C =  $(\forall i. C i \subseteq C (i + 1))$ 

definition continuous ::  $('a \text{ set} \Rightarrow 'b \text{ set}) \Rightarrow \text{bool}$ 
where
  continuous f =  $(\forall C. \text{chain } C \longrightarrow (\text{chain } (f o C) \wedge f (\text{natUnion } C) = \text{natUnion } (f o C)))$ 

lemma continuous-apply:
  continuous f  $\implies$  chain C  $\implies f (\text{natUnion } C) = \text{natUnion } (f o C)$ 
  ⟨proof⟩

lemma continuous-imp-mono:
  assumes continuous: continuous f
  shows mono f
  ⟨proof⟩

lemma mono-maps-chain-to-chain:
  assumes f: mono f
  assumes C: chain C
  shows chain (f o C)
  ⟨proof⟩

lemma natUnion-upperbound:
   $(\bigwedge n. f n \subseteq G) \implies (\text{natUnion } f) \subseteq G$ 
  ⟨proof⟩

lemma funpower-upperbound:
   $(\bigwedge I. I \subseteq G \implies f I \subseteq G) \implies I \subseteq G \implies \text{funpower } f n I \subseteq G$ 
  ⟨proof⟩

lemma limit-upperbound:
   $(\bigwedge I. I \subseteq G \implies f I \subseteq G) \implies I \subseteq G \implies \text{limit } f I \subseteq G$ 
  ⟨proof⟩

```

lemma *elem-limit-simp*: $x \in \text{limit } f X = (\exists n. x \in \text{funpower } f n X)$
 $\langle \text{proof} \rangle$

definition *pointwise* :: $('a \text{ set} \Rightarrow 'b \text{ set}) \Rightarrow \text{bool}$ **where**
 $\text{pointwise } f = (\forall X. f X = \bigcup \{ f \{x\} \mid x. x \in X\})$

lemma *pointwise-simp*:
assumes $f: \text{pointwise } f$
shows $f X = \bigcup \{ f \{x\} \mid x. x \in X\}$
 $\langle \text{proof} \rangle$

lemma *natUnion-elem*: $x \in f n \implies x \in \text{natUnion } f$
 $\langle \text{proof} \rangle$

lemma *limit-elem*: $x \in \text{funpower } f n X \implies x \in \text{limit } f X$
 $\langle \text{proof} \rangle$

lemma *limit-step-pointwise*:
assumes $x: x \in \text{limit } f X$
assumes $f: \text{pointwise } f$
assumes $y: y \in f \{x\}$
shows $y \in \text{limit } f X$
 $\langle \text{proof} \rangle$

definition *pointbase* :: $('a \text{ set} \Rightarrow 'b \text{ set}) \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set}$ **where**
 $\text{pointbase } F I = \bigcup \{ F X \mid X. \text{finite } X \wedge X \subseteq I \}$

definition *pointbased* :: $('a \text{ set} \Rightarrow 'b \text{ set}) \Rightarrow \text{bool}$ **where**
 $\text{pointbased } f = (\exists F. f = \text{pointbase } F)$

lemma *pointwise-implies-pointbased*:
assumes $\text{pointwise}: \text{pointwise } f$
shows $\text{pointbased } f$
 $\langle \text{proof} \rangle$

lemma *pointbase-is-mono*:
mono (*pointbase* f)
 $\langle \text{proof} \rangle$

lemma *chain-implies-mono*: *chain* $C \implies \text{mono } C$
 $\langle \text{proof} \rangle$

lemma *chain-cover-witness*: *finite* $X \implies \text{chain } C \implies X \subseteq \text{natUnion } C \implies \exists n.$
 $X \subseteq C n$
 $\langle \text{proof} \rangle$

lemma *pointbase-is-continuous*:
continuous (*pointbase* f)
 $\langle \text{proof} \rangle$

```

lemma pointbased-implies-continuous:
  pointbased f  $\Rightarrow$  continuous f
   $\langle proof \rangle$ 

lemma setmonotone-implies-chain-funpower:
  assumes setmonotone: setmonotone f
  shows chain ( $\lambda n. \text{funpower } f n I$ )
   $\langle proof \rangle$ 

lemma natUnion-subset: ( $\bigwedge n. \exists m. f n \subseteq g m$ )  $\Rightarrow$  natUnion f  $\subseteq$  natUnion g
   $\langle proof \rangle$ 

lemma natUnion-eq[case-names Subset Superset]:
  ( $\bigwedge n. \exists m. f n \subseteq g m$ )  $\Rightarrow$  ( $\bigwedge n. \exists m. g n \subseteq f m$ )  $\Rightarrow$  natUnion f = natUnion
  g
   $\langle proof \rangle$ 

lemma natUnion-shift[symmetric]:
  assumes chain: chain C
  shows natUnion C = natUnion ( $\lambda n. C (n + m)$ )
   $\langle proof \rangle$ 

definition regular :: ('a set  $\Rightarrow$  'a set)  $\Rightarrow$  bool
where
  regular f = (setmonotone f  $\wedge$  continuous f)

lemma regular-fixpoint:
  assumes regular: regular f
  shows f (limit f I) = limit f I
   $\langle proof \rangle$ 

lemma fix-is-fix-of-limit:
  assumes fixpoint: f I = I
  shows limit f I = I
   $\langle proof \rangle$ 

lemma limit-is-idempotent: regular f  $\Rightarrow$  limit f (limit f I) = limit f I
   $\langle proof \rangle$ 

definition mk-regular1 :: ('b  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  ('b  $\Rightarrow$  'a  $\Rightarrow$  'a)  $\Rightarrow$  'a set  $\Rightarrow$  'a set
where
  mk-regular1 P F I = I  $\cup$  { F q x | q x. x  $\in$  I  $\wedge$  P q x }

definition mk-regular2 :: ('b  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  ('b  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a)  $\Rightarrow$  'a set
 $\Rightarrow$  'a set where
  mk-regular2 P F I = I  $\cup$  { F q x y | q x y. x  $\in$  I  $\wedge$  y  $\in$  I  $\wedge$  P q x y }

lemma setmonotone-mk-regular1: setmonotone (mk-regular1 P F)

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⟨proof⟩

lemma setmonotone-mk-regular2: setmonotone (mk-regular2 P F)
⟨proof⟩

lemma pointbased-mk-regular1: pointbased (mk-regular1 P F)
⟨proof⟩

lemma pointbased-mk-regular2: pointbased (mk-regular2 P F)
⟨proof⟩

lemma regular1:regular (mk-regular1 P F)
⟨proof⟩

lemma regular2: regular (mk-regular2 P F)
⟨proof⟩

lemma continuous-comp:
  assumes f: continuous f
  assumes g: continuous g
  shows continuous (g o f)
⟨proof⟩

lemma setmonotone-comp:
  assumes f: setmonotone f
  assumes g: setmonotone g
  shows setmonotone (g o f)
⟨proof⟩

lemma regular-comp:
  assumes f: regular f
  assumes g: regular g
  shows regular (g o f)
⟨proof⟩

lemma setmonotone-id[simp]: setmonotone id
⟨proof⟩

lemma continuous-id[simp]: continuous id
⟨proof⟩

lemma regular-id[simp]: regular id
⟨proof⟩

lemma regular-funpower: regular f  $\implies$  regular (funpower f n)
⟨proof⟩

lemma mono-id[simp]: mono id
⟨proof⟩

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lemma mono-funpower:
  assumes mono: mono f
  shows mono (funpower f n)
  ⟨proof⟩

lemma mono-limit:
  assumes mono: mono f
  shows mono (limit f)
  ⟨proof⟩

lemma continuous-funpower:
  assumes continuous: continuous f
  shows continuous (funpower f n)
  ⟨proof⟩

lemma natUnion-swap:
  natUnion (λ i. natUnion (λ j. f i j)) = natUnion (λ j. natUnion (λ i. f i j))
  ⟨proof⟩

lemma continuous-limit:
  assumes continuous: continuous f
  shows continuous (limit f)
  ⟨proof⟩

lemma regular-limit: regular f  $\implies$  regular (limit f)
  ⟨proof⟩

lemma regular-implies-mono: regular f  $\implies$  mono f
  ⟨proof⟩

lemma regular-implies-setmonotone: regular f  $\implies$  setmonotone f
  ⟨proof⟩

lemma regular-implies-continuous: regular f  $\implies$  continuous f
  ⟨proof⟩

end
theory LocalLexingLemmas
imports LocalLexing Limit
begin

context LocalLexing begin

lemma[simp]: setmonotone (Append Z k) ⟨proof⟩

lemma subset-PSuc:  $\mathcal{P} k u \subseteq \mathcal{P} k (\text{Suc } u)$ 
  ⟨proof⟩

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lemma subset-fSuc-strict:
  assumes  $f: \bigwedge u. f u \subseteq f (\text{Suc } u)$ 
  shows  $u < v \implies f u \subseteq f v$ 
   $\langle proof \rangle$ 

lemma subset-fSuc:
  assumes  $f: \bigwedge u. f u \subseteq f (\text{Suc } u)$ 
  shows  $u \leq v \implies f u \subseteq f v$ 
   $\langle proof \rangle$ 

lemma subset-Pk:  $u \leq v \implies \mathcal{P} k u \subseteq \mathcal{P} k v$ 
   $\langle proof \rangle$ 

lemma subset-PQk:  $\mathcal{P} k u \subseteq \mathcal{Q} k$   $\langle proof \rangle$ 

lemma subset-QPSuc:  $\mathcal{Q} k \subseteq \mathcal{P} (\text{Suc } k) u$ 
   $\langle proof \rangle$ 

lemma subset-QSuc:  $\mathcal{Q} k \subseteq \mathcal{Q} (\text{Suc } k)$ 
   $\langle proof \rangle$ 

lemma subset-Q:  $i \leq j \implies \mathcal{Q} i \subseteq \mathcal{Q} j$ 
   $\langle proof \rangle$ 

lemma empty-X[simp]:  $k > \text{length } Doc \implies \mathcal{X} k = \{\}$ 
   $\langle proof \rangle$ 

lemma Sel-empty[simp]:  $\text{Sel } \{\} \{\} = \{\}$ 
   $\langle proof \rangle$ 

lemma empty-Z[simp]:  $k > \text{length } Doc \implies \mathcal{Z} k u = \{\}$ 
   $\langle proof \rangle$ 

lemma[simp]: Append  $\{\} k = id$   $\langle proof \rangle$ 

lemma[simp]:  $k > \text{length } Doc \implies \mathcal{P} k v = \mathcal{P} k 0$ 
   $\langle proof \rangle$ 

lemma QSucEq:  $k \geq \text{length } Doc \implies \mathcal{Q} (\text{Suc } k) = \mathcal{Q} k$ 
   $\langle proof \rangle$ 

lemma Q-converges:
  assumes  $k: k \geq \text{length } Doc$ 
  shows  $\mathcal{Q} k = \mathfrak{P}$ 
   $\langle proof \rangle$ 

lemma P-covers-Q:  $\mathcal{Q} k \subseteq \mathfrak{P}$ 
   $\langle proof \rangle$ 

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lemma *Sel-upper-bound*: $A \subseteq B \implies \text{Sel } A \ B \subseteq B$
 $\langle \text{proof} \rangle$

lemma *Sel-lower-bound*: $A \subseteq B \implies A \subseteq \text{Sel } A \ B$
 $\langle \text{proof} \rangle$

lemma *\mathcal{P} -covers- \mathcal{P}* : $\mathcal{P} \ k \ u \subseteq \mathfrak{P}$
 $\langle \text{proof} \rangle$

lemma *\mathcal{W} -montone*: $P \subseteq Q \implies \mathcal{W} \ P \ k \subseteq \mathcal{W} \ Q \ k$
 $\langle \text{proof} \rangle$

lemma *Sel-precondition*:
 $\mathcal{Z} \ k \ u \subseteq \mathcal{W} (\mathcal{P} \ k \ u) \ k$
 $\langle \text{proof} \rangle$

lemma *\mathcal{W} -bounded-by- \mathcal{X}* : $\mathcal{W} \ P \ k \subseteq \mathcal{X} \ k$
 $\langle \text{proof} \rangle$

lemma *\mathcal{Z} -subset- \mathcal{X}* : $\mathcal{Z} \ k \ n \subseteq \mathcal{X} \ k$
 $\langle \text{proof} \rangle$

lemma *\mathcal{Z} -subset-Suc*: $\mathcal{Z} \ k \ n \subseteq \mathcal{Z} \ k \ (\text{Suc } n)$
 $\langle \text{proof} \rangle$

lemma *\mathcal{Y} -upper-bound*: $\mathcal{Y} (\mathcal{Z} \ k \ u) (\mathcal{P} \ k \ u) \ k \subseteq \mathcal{W} (\mathcal{P} \ k \ u) \ k$
 $\langle \text{proof} \rangle$

lemma *\mathfrak{P} -induct*[consumes 1, case-names Base Induct]:
assumes $p: p \in \mathfrak{P}$
assumes $\text{base}: P []$
assumes $\text{induct}: \bigwedge p \ k \ u. (\bigwedge q. q \in \mathcal{P} \ k \ u \implies P q) \implies p \in \mathcal{P} \ k \ (\text{Suc } u) \implies P p$
shows $P p$
 $\langle \text{proof} \rangle$

lemma *Append-mono*: $U \subseteq V \implies P \subseteq Q \implies \text{Append } U \ k \ P \subseteq \text{Append } V \ k \ Q$
 $\langle \text{proof} \rangle$

lemma *pointwise-Append*: *pointwise* (*Append* $T \ k$)
 $\langle \text{proof} \rangle$

lemma *regular-Append*: *regular* (*Append* $T \ k$)
 $\langle \text{proof} \rangle$

end

end
theory *InductRules*

```

imports Main
begin

lemma disjCases2[consumes 1, case-names 1 2]:
  assumes AB:  $A \vee B$ 
  and AP:  $A \implies P$ 
  and BP:  $B \implies P$ 
  shows P
  ⟨proof⟩

lemma disjCases3[consumes 1, case-names 1 2 3]:
  assumes AB:  $A \vee B \vee C$ 
  and AP:  $A \implies P$ 
  and BP:  $B \implies P$ 
  and CP:  $C \implies P$ 
  shows P
  ⟨proof⟩

lemma disjCases4[consumes 1, case-names 1 2 3 4]:
  assumes AB:  $A \vee B \vee C \vee D$ 
  and AP:  $A \implies P$ 
  and BP:  $B \implies P$ 
  and CP:  $C \implies P$ 
  and DP:  $D \implies P$ 
  shows P
  ⟨proof⟩

lemma disjCases5[consumes 1, case-names 1 2 3 4 5]:
  assumes AB:  $A \vee B \vee C \vee D \vee E$ 
  and AP:  $A \implies P$ 
  and BP:  $B \implies P$ 
  and CP:  $C \implies P$ 
  and DP:  $D \implies P$ 
  and EP:  $E \implies P$ 
  shows P
  ⟨proof⟩

lemma minimal-witness-ex:
  assumes k: P (k::nat)
  shows  $\exists k_0. k_0 \leq k \wedge P k_0 \wedge (\forall k. k < k_0 \longrightarrow \neg (P k))$ 
  ⟨proof⟩

lemma minimal-witness[consumes 1, case-names Minimal]:
  assumes P (k::nat)
  and  $\bigwedge K. K \leq k \implies P K \implies (\bigwedge k. k < K \implies \neg (P k)) \implies Q$ 
  shows Q
  ⟨proof⟩

lemma ex-minimal-witness[consumes 1, case-names Minimal]:

```

```

assumes  $\exists k. P(k::nat)$ 
and  $\bigwedge K. P K \implies (\bigwedge k. k < K \implies \neg(P k)) \implies Q$ 
shows  $Q$ 
⟨proof⟩

end
theory ListTools
imports Main
begin

definition is-first :: "'a :: type list ⇒ bool"
where
  is-first  $x u = (\exists v. u = [x]@v)$ 

definition is-last :: "'a :: type list ⇒ bool"
where
  is-last  $x u = (\exists v. u = v@[x])$ 

definition is-prefix :: "'a list ⇒ 'a list ⇒ bool"
where
  is-prefix  $u v = (\exists w. u@w = v)$ 

definition is-proper-prefix :: "'a list ⇒ 'a list ⇒ bool"
where
  is-proper-prefix  $u v = (\exists w. w \neq [] \wedge u@w = v)$ 

lemma is-prefix-eq-proper-prefix: is-prefix  $a b = (a = b \vee$  is-proper-prefix  $a b)$ 
⟨proof⟩

lemma is-proper-prefix-eq-prefix: is-proper-prefix  $a b = (a \neq b \wedge$  is-prefix  $a b)$ 
⟨proof⟩

definition is-suffix :: "'a list ⇒ 'a list ⇒ bool"
where
  is-suffix  $u v = (\exists w. w@u = v)$ 

definition is-proper-suffix :: "'a list ⇒ 'a list ⇒ bool"
where
  is-proper-suffix  $u v = (\exists w. w \neq [] \wedge w@u = v)$ 

lemma is-suffix-eq-proper-suffix: is-suffix  $a b = (a = b \vee$  is-proper-suffix  $a b)$ 
⟨proof⟩

lemma is-proper-suffix-eq-suffix: is-proper-suffix  $a b = (a \neq b \wedge$  is-suffix  $a b)$ 
⟨proof⟩

lemma is-prefix-unsplit: is-prefix  $u a \implies u @ (drop (length u) a) = a$ 
⟨proof⟩

```

```

lemma le-take-same:  $i \leq j \implies \text{take } j a = \text{take } i a$   $\implies \text{take } i a = \text{take } i b$ 
   $\langle \text{proof} \rangle$ 

lemma is-first-drop-length:
  assumes  $k \leq \text{length } a$ 
  and  $k > \text{length } u$ 
  and  $v = X \# w$ 
  and  $\text{take } k a = \text{take } k (u @ v)$ 
  shows  $\text{is-first } X (\text{drop} (\text{length } u) a)$ 
   $\langle \text{proof} \rangle$ 

lemma is-first-cons:  $\text{is-first } x (y \# ys) = (x = y)$ 
   $\langle \text{proof} \rangle$ 

lemma list-all-pos-neg-ex:  $\text{list-all } P D \implies \neg (\text{list-all } Q D) \implies$ 
   $\exists k. k < \text{length } D \wedge P(D ! k) \wedge \neg(Q(D ! k))$ 
   $\langle \text{proof} \rangle$ 

lemma split-list-at:  $k < \text{length } D \implies D = (\text{take } k D) @ [D ! k] @ (\text{drop} (\text{Suc } k) D)$ 
   $\langle \text{proof} \rangle$ 

lemma take-eq-take-append:  $i \leq j \implies j \leq \text{length } a \implies \exists u. \text{take } j a = \text{take } i a$ 
   $\text{@ } u$ 
   $\langle \text{proof} \rangle$ 

lemma is-proper-suffix-length-cmp:  $\text{is-proper-suffix } a b \implies \text{length } a < \text{length } b$ 
   $\langle \text{proof} \rangle$ 

end
theory Derivations
imports CFG ListTools InductRules
begin

context CFG begin

lemma [simp]:  $\text{is-terminal } t \implies \text{is-symbol } t$ 
   $\langle \text{proof} \rangle$ 

lemma [simp]:  $\text{is-sentence } [] \langle \text{proof} \rangle$ 

lemma [simp]:  $\text{is-word } [] \langle \text{proof} \rangle$ 

lemma [simp]:  $\text{is-word } u \implies \text{is-sentence } u$ 
   $\langle \text{proof} \rangle$ 

definition leftderives1 :: sentence  $\Rightarrow$  sentence  $\Rightarrow$  bool
where

```

leftderives1 $u \ v =$
 $(\exists \ x \ y \ N \ \alpha.$
 $\quad u = x @ [N] @ y$
 $\quad \wedge \ v = x @ \alpha @ y$
 $\quad \wedge \ is-word \ x$
 $\quad \wedge \ is-sentence \ y$
 $\quad \wedge \ (N, \ \alpha) \in \mathfrak{R})$

lemma *leftderives1-implies-derives1* [*simp*]: *leftderives1* $u \ v \implies$ *derives1* $u \ v$
 $\langle proof \rangle$

definition *leftderivations1* :: (*sentence* × *sentence*) set
where
leftderivations1 = { $(u, v) \mid u \ v. \ leftderives1 \ u \ v$ }

lemma [*simp*]: *leftderivations1* ⊆ *derivations1*
 $\langle proof \rangle$

definition *leftderivations* :: (*sentence* × *sentence*) set
where
leftderivations = *leftderivations1* ${}^{\wedge}$ *

lemma *rtrancl-subset-implies*: $a \subseteq b \implies a \subseteq b {}^{\wedge}$ * $\langle proof \rangle$

lemma *leftderivations-subset-derivations* [*simp*]: *leftderivations* ⊆ *derivations*
 $\langle proof \rangle$

definition *leftderives* :: *sentence* ⇒ *sentence* ⇒ *bool*
where
leftderives $u \ v = ((u, \ v) \in \text{leftderivations})$

lemma *leftderives-implies-derives* [*simp*]: *leftderives* $u \ v \implies$ *derives* $u \ v$
 $\langle proof \rangle$

definition *is-leftderivation* :: *sentence* ⇒ *bool*
where
is-leftderivation $u = \text{leftderives} [\mathfrak{S}] \ u$

lemma *leftderivation-implies-derivation* [*simp*]:
is-leftderivation $u \implies$ *is-derivation* u
 $\langle proof \rangle$

lemma *leftderives-refl* [*simp*]: *leftderives* $u \ u$
 $\langle proof \rangle$

lemma *leftderives1-implies-leftderives* [*simp*]: *leftderives1* $a \ b \implies$ *leftderives* $a \ b$
 $\langle proof \rangle$

lemma *leftderives-trans*: *leftderives* $a \ b \implies$ *leftderives* $b \ c \implies$ *leftderives* $a \ c$

```

⟨proof⟩

lemma leftderives1-eq-leftderivations1: leftderives1 x y = ((x, y) ∈ leftderivations1)
  ⟨proof⟩

lemma leftderives-induct[consumes 1, case-names Base Step]:
  assumes derives: leftderives a b
  assumes Pa: P a
  assumes induct:  $\bigwedge y z. \text{leftderives } a y \implies \text{leftderives1 } y z \implies P y \implies P z$ 
  shows P b
  ⟨proof⟩

end

context CFG begin

lemma derives1-implies-derives[simp]:derives1 a b  $\implies$  derives a b
  ⟨proof⟩

lemma derives-trans: derives a b  $\implies$  derives b c  $\implies$  derives a c
  ⟨proof⟩

lemma derives1-eq-derivations1: derives1 x y = ((x, y) ∈ derivations1)
  ⟨proof⟩

lemma derives-induct[consumes 1, case-names Base Step]:
  assumes derives: derives a b
  assumes Pa: P a
  assumes induct:  $\bigwedge y z. \text{derives } a y \implies \text{derives1 } y z \implies P y \implies P z$ 
  shows P b
  ⟨proof⟩

end

context CFG begin

definition Derives1 :: sentence  $\Rightarrow$  nat  $\Rightarrow$  rule  $\Rightarrow$  sentence  $\Rightarrow$  bool
where
  Derives1 u i r v =
    ( $\exists x y N \alpha.$ 
      u = x @ [N] @ y
       $\wedge v = x @ \alpha @ y$ 
       $\wedge \text{is-sentence } x$ 
       $\wedge \text{is-sentence } y$ 
       $\wedge (N, \alpha) \in \mathfrak{R}$ 
       $\wedge r = (N, \alpha) \wedge i = \text{length } x$ )

```

lemma *Derives1-split*:

Derives1 u i r v $\implies \exists x y. u = x @ [fst r] @ y \wedge v = x @ (snd r) @ y \wedge length x = i$
 $\langle proof \rangle$

lemma *Derives1-implies-derives1*: *Derives1 u i r v* $\implies derives1 u v$
 $\langle proof \rangle$

lemma *derives1-implies-Derives1*: *derives1 u v* $\implies \exists i r. Derives1 u i r v$
 $\langle proof \rangle$

lemma *Derives1-unique-dest*: *Derives1 u i r v* $\implies Derives1 u i r w \implies v = w$
 $\langle proof \rangle$

lemma *Derives1-unique-src*: *Derives1 u i r w* $\implies Derives1 v i r w \implies u = v$
 $\langle proof \rangle$

type-synonym *derivation* = (*nat* × *rule*) *list*

fun *Derivation* :: *sentence* \Rightarrow *derivation* \Rightarrow *sentence* \Rightarrow *bool*
where

Derivation a [] b = (*a* = *b*)
 $| Derivation a (d \# D) b = (\exists x. Derives1 a (fst d) (snd d) x \wedge Derivation x D b)$

lemma *Derivation-implies-derives*: *Derivation a D b* $\implies derives a b$
 $\langle proof \rangle$

lemma *Derivation-Derives1*: *Derivation a S y* $\implies Derives1 y i r z \implies Derivation a (S @ [(i, r)]) z$
 $\langle proof \rangle$

lemma *derives-implies-Derivation*: *derives a b* $\implies \exists D. Derivation a D b$
 $\langle proof \rangle$

lemma *Derives1-take*: *Derives1 a i r b* $\implies take i a = take i b$
 $\langle proof \rangle$

lemma *Derives1-drop*: *Derives1 a i r b* $\implies drop (Suc i) a = drop (i + length (snd r)) b$
 $\langle proof \rangle$

lemma *Derives1-bound*: *Derives1 a i r b* $\implies i < length a$
 $\langle proof \rangle$

lemma *Derives1-length*: *Derives1 a i r b* $\implies length b = length a + length (snd r) - 1$
 $\langle proof \rangle$

```

definition leftmost :: nat  $\Rightarrow$  sentence  $\Rightarrow$  bool
where
  leftmost i s = ( $i < \text{length } s \wedge \text{is-word} (\text{take } i s) \wedge \text{is-nonterminal} (s ! i)$ )
lemma set-take: set (take n s) = { $s ! i \mid i. i < n \wedge i < \text{length } s$ }
   $\langle \text{proof} \rangle$ 
lemma list-all-take: list-all P (take n s) = ( $\forall i. i < n \wedge i < \text{length } s \longrightarrow P (s ! i)$ )
   $\langle \text{proof} \rangle$ 
lemma is-sentence-concat: is-sentence (x@y) = (is-sentence x  $\wedge$  is-sentence y)
   $\langle \text{proof} \rangle$ 
lemma is-sentence-cons: is-sentence (x#xs) = (is-symbol x  $\wedge$  is-sentence xs)
   $\langle \text{proof} \rangle$ 
lemma rule-nonterminal-type[simp]: ( $N, \alpha$ )  $\in \mathfrak{R} \implies$  is-nonterminal N
   $\langle \text{proof} \rangle$ 
lemma rule-alpha-type[simp]: ( $N, \alpha$ )  $\in \mathfrak{R} \implies$  is-sentence  $\alpha$ 
   $\langle \text{proof} \rangle$ 
lemma [simp]: is-nonterminal N  $\implies$  is-symbol N
   $\langle \text{proof} \rangle$ 
lemma Derives1-sentence1[elim]: Derives1 a i r b  $\implies$  is-sentence a
   $\langle \text{proof} \rangle$ 
lemma Derives1-sentence2[elim]: Derives1 a i r b  $\implies$  is-sentence b
   $\langle \text{proof} \rangle$ 
lemma [elim]: Derives1 a i r b  $\implies$  r  $\in \mathfrak{R}$ 
   $\langle \text{proof} \rangle$ 
lemma is-sentence-symbol: is-sentence a  $\implies$  i < length a  $\implies$  is-symbol (a ! i)
   $\langle \text{proof} \rangle$ 
lemma is-symbol-distinct: is-symbol x  $\implies$  is-terminal x  $\neq$  is-nonterminal x
   $\langle \text{proof} \rangle$ 
lemma is-terminal-nonterminal: is-terminal x  $\implies$  is-nonterminal x  $\implies$  False
   $\langle \text{proof} \rangle$ 
lemma Derives1-leftmost:
  assumes Derives1 a i r b
  shows  $\exists j. \text{leftmost } j a \wedge j \leq i$ 
   $\langle \text{proof} \rangle$ 

```

lemma *Derivation-leftmost*: $D \neq [] \implies \text{Derivation } a D b \implies \exists i. \text{leftmost } i a$
 $\langle \text{proof} \rangle$

lemma *nonword-has-nonterminal*:
 $\text{is-sentence } a \implies \neg (\text{is-word } a) \implies \exists k. k < \text{length } a \wedge \text{is-nonterminal } (a ! k)$
 $\langle \text{proof} \rangle$

lemma *leftmost-cons-nonterminal*:
 $\text{is-nonterminal } x \implies \text{leftmost } 0 (x \# xs)$
 $\langle \text{proof} \rangle$

lemma *leftmost-cons-terminal*:
 $\text{is-terminal } x \implies \text{leftmost } i (x \# xs) = (i > 0 \wedge \text{leftmost } (i - 1) xs)$
 $\langle \text{proof} \rangle$

lemma *is-nonterminal-cons-terminal*:
 $\text{is-terminal } x \implies k < \text{length } (x \# a) \implies \text{is-nonterminal } ((x \# a) ! k) \implies$
 $k > 0 \wedge k - 1 < \text{length } a \wedge \text{is-nonterminal } (a ! (k - 1))$
 $\langle \text{proof} \rangle$

lemma *leftmost-exists*:
 $\text{is-sentence } a \implies k < \text{length } a \implies \text{is-nonterminal } (a ! k) \implies$
 $\exists i. \text{leftmost } i a \wedge i \leq k$
 $\langle \text{proof} \rangle$

lemma *nonword-leftmost-exists*:
 $\text{is-sentence } a \implies \neg (\text{is-word } a) \implies \exists i. \text{leftmost } i a$
 $\langle \text{proof} \rangle$

lemma *leftmost-unaffected-Derives1*: $\text{leftmost } j a \implies j < i \implies \text{Derives1 } a i r b$
 $\implies \text{leftmost } j b$
 $\langle \text{proof} \rangle$

definition *derivation-ge* :: *derivation* \Rightarrow *nat* \Rightarrow *bool*
where
 $\text{derivation-ge } D i = (\forall d \in \text{set } D. \text{fst } d \geq i)$

lemma *derivation-ge-cons*: $\text{derivation-ge } (d \# D) i = (\text{fst } d \geq i \wedge \text{derivation-ge } D i)$
 $\langle \text{proof} \rangle$

lemma *derivation-ge-append*:
 $\text{derivation-ge } (D @ E) i = (\text{derivation-ge } D i \wedge \text{derivation-ge } E i)$
 $\langle \text{proof} \rangle$

lemma *leftmost-unaffected-Derivation*:
 $\text{derivation-ge } D (\text{Suc } i) \implies \text{leftmost } i a \implies \text{Derivation } a D b \implies \text{leftmost } i b$
 $\langle \text{proof} \rangle$

```

lemma le-Derives1-take:
  assumes le:  $i \leq j$ 
  and D: Derives1 a j r b
  shows take i a = take i b
  ⟨proof⟩

lemma Derivation-take: derivation-ge D i  $\implies$  Derivation a D b  $\implies$  take i a =
take i b
  ⟨proof⟩

lemma leftmost-cons-less:  $i < \text{length } u \implies \text{leftmost } i (u @ v) = \text{leftmost } i u$ 
  ⟨proof⟩

lemma leftmost-is-nonterminal: leftmost i u  $\implies$  is-nonterminal (u ! i)
  ⟨proof⟩

lemma is-word-is-terminal:  $i < \text{length } u \implies \text{is-word } u \implies \text{is-terminal } (u ! i)$ 
  ⟨proof⟩

lemma leftmost-append:
  assumes leftmost: leftmost i (u @ v)
  and is-word: is-word u
  shows length u  $\leq i$ 
  ⟨proof⟩

lemma derivation-ge-empty[simp]: derivation-ge [] i
  ⟨proof⟩

lemma leftmost-notword: leftmost i a  $\implies$   $j > i \implies \neg (\text{is-word} (\text{take } j a))$ 
  ⟨proof⟩

lemma leftmost-unique: leftmost i a  $\implies$  leftmost j a  $\implies$  i = j
  ⟨proof⟩

lemma leftmost-Derives1: leftmost i a  $\implies$  Derives1 a j r b  $\implies$  i  $\leq j$ 
  ⟨proof⟩

lemma leftmost-Derives1-propagate:
  assumes leftmost: leftmost i a
  and Derives1: Derives1 a j r b
  shows (is-word b  $\wedge$  i = j)  $\vee$  ( $\exists k$ . leftmost k b  $\wedge$  i  $\leq k$ )
  ⟨proof⟩

lemma is-word-Derives1[elim]: is-word a  $\implies$  Derives1 a i r b  $\implies$  False
  ⟨proof⟩

lemma is-word-Derivation[elim]: is-word a  $\implies$  Derivation a D b  $\implies$  D = []
  ⟨proof⟩

```

lemma *leftmost-Derivation*:

leftmost i a \implies *Derivation a D b* \implies $j \leq i \implies \text{derivation-ge } D j$
(proof)

lemma *derivation-ge-list-all*: *derivation-ge D i = list-all* ($\lambda d. \text{fst } d \geq i$) *D*
(proof)

lemma *split-derivation-leftmost*:

assumes *derivation-ge D i*
and $\neg (\text{derivation-ge } D (\text{Suc } i))$
shows $\exists E F r. D = E @ [(i, r)] @ F \wedge \text{derivation-ge } E (\text{Suc } i)$
(proof)

lemma *Derives1-Derives1-swap*:

assumes $i < j$
and *Derives1 a j p b*
and *Derives1 b i q c*
shows $\exists b'. \text{Derives1 a i q b}' \wedge \text{Derives1 b' (j - 1 + length (snd q)) p c}$
(proof)

definition *derivation-shift* :: *derivation* \Rightarrow *nat* \Rightarrow *nat* \Rightarrow *derivation*

where

derivation-shift D left right = *map* ($\lambda d. (\text{fst } d - \text{left} + \text{right}, \text{snd } d)$) *D*

lemma *derivation-shift-empty[simp]*: *derivation-shift [] left right = []*
(proof)

lemma *derivation-shift-cons[simp]*:

derivation-shift (d#D) left right = $((\text{fst } d - \text{left} + \text{right}, \text{snd } d) \# (\text{derivation-shift } D \text{ left right}))$
(proof)

lemma *Derivation-append*: *Derivation a (D@E) c = (* $\exists b. \text{Derivation a D b} \wedge \text{Derivation b E c}$ *)*
(proof)

lemma *Derivation-implies-append*:

Derivation a D b \implies *Derivation b E c* \implies *Derivation a (D@E) c*
(proof)

lemma *Derivation-swap-single-end-to-front*:

$i < j \implies \text{derivation-ge } D j \implies \text{Derivation a (D@[(i,r)]) b} \implies$
Derivation a ((i,r) # (derivation-shift D 1 (length (snd r)))) b
(proof)

lemma *Derivation-swap-single-mid-to-front*:

assumes $i < j$
and *derivation-ge D j*
and *Derivation a (D@[(i,r)]@E) b*

shows Derivation a ((i,r) #((derivation-shift D 1 (length (snd r))) @ E)) b
 $\langle proof \rangle$

lemma length-derivation-shift[simp]:
 $length(derivation-shift D left right) = length D$
 $\langle proof \rangle$

definition LeftDerives1 :: sentence \Rightarrow nat \Rightarrow rule \Rightarrow sentence \Rightarrow bool
where

$$LeftDerives1 u i r v = (leftmost i u \wedge Derives1 u i r v)$$

lemma LeftDerives1-implies-leftderives1: LeftDerives1 u i r v \implies leftderives1 u v
 $\langle proof \rangle$

lemma leftmost-Derives1-leftderives:
 $leftmost i a \implies Derives1 a i r b \implies leftderives b c \implies leftderives a c$
 $\langle proof \rangle$

theorem Derivation-implies-leftderives-gen:
 $Derivation a D (u @ v) \implies is-word u \implies (\exists w.$
 $leftderives a (u @ w) \wedge$
 $(v = [] \longrightarrow w = []) \wedge$
 $(\forall X. is-first X v \longrightarrow is-first X w))$
 $\langle proof \rangle$

lemma derives-implies-leftderives-gen: derives a (u @ v) \implies is-word u \implies ($\exists w.$
 $leftderives a (u @ w) \wedge$
 $(v = [] \longrightarrow w = []) \wedge$
 $(\forall X. is-first X v \longrightarrow is-first X w))$
 $\langle proof \rangle$

lemma derives-implies-leftderives: derives a b \implies is-word b \implies leftderives a b
 $\langle proof \rangle$

fun LeftDerivation :: sentence \Rightarrow derivation \Rightarrow sentence \Rightarrow bool
where

$LeftDerivation a [] b = (a = b)$
 $| LeftDerivation a (d \# D) b = (\exists x. LeftDerives1 a (fst d) (snd d) x \wedge LeftDerivation x D b)$

lemma LeftDerives1-implies-Derives1: LeftDerives1 a i r b \implies Derives1 a i r b
 $\langle proof \rangle$

lemma LeftDerivation-implies-Derivation:
 $LeftDerivation a D b \implies Derivation a D b$
 $\langle proof \rangle$

lemma LeftDerivation-implies-leftderives: LeftDerivation a D b \implies leftderives a b
 $\langle proof \rangle$

lemma *leftmost-witness*[simp]: *leftmost* (*length* *x*) (*x@(N#y)*) = (*is-word* *x* \wedge *is-nonterminal* *N*)
{proof}

lemma *leftderives1-implies-LeftDerives1*:
assumes *leftderives1*: *leftderives1 u v*
shows $\exists i r.$ *LeftDerives1 u i r v*
{proof}

lemma *LeftDerivation-LeftDerives1*:
LeftDerivation a S y \Longrightarrow *LeftDerives1 y i r z* \Longrightarrow *LeftDerivation a (S@[i,r]) z*
{proof}

lemma *leftderives-implies-LeftDerivation*: *leftderives a b* \Longrightarrow $\exists D.$ *LeftDerivation a D b*
{proof}

lemma *LeftDerivation-append*:
LeftDerivation a (D@E) c = ($\exists b.$ *LeftDerivation a D b* \wedge *LeftDerivation b E c*)
{proof}

lemma *LeftDerivation-implies-append*:
LeftDerivation a D b \Longrightarrow *LeftDerivation b E c* \Longrightarrow *LeftDerivation a (D@E) c*
{proof}

lemma *Derivation-unique-dest*: *Derivation a D b* \Longrightarrow *Derivation a D c* \Longrightarrow *b = c*
{proof}

lemma *Derivation-unique-src*: *Derivation a D c* \Longrightarrow *Derivation b D c* \Longrightarrow *a = b*
{proof}

lemma *LeftDerives1-unique*: *LeftDerives1 a i r b* \Longrightarrow *LeftDerives1 a j s b* \Longrightarrow *i = j* \wedge *r = s*
{proof}

lemma *leftlang*: $\mathcal{L} = \{ v \mid v. \text{is-word } v \wedge \text{is-leftderivation } v \}$
{proof}

lemma *leftprefixlang*: $\mathcal{L}_P = \{ u \mid u v. \text{is-word } u \wedge \text{is-leftderivation } (u@v) \}$
{proof}

lemma *derives-implies-leftderives-cons*:
is-word a \Longrightarrow *derives u (a@X#b)* \Longrightarrow $\exists c.$ *leftderives u (a@X#c)*
{proof}

lemma *is-word-append*[simp]: *is-word (a@b)* = (*is-word a* \wedge *is-word b*)
{proof}

```

lemma  $\mathcal{L}_P\text{-split}$ :  $a @ b \in \mathcal{L}_P \implies a \in \mathcal{L}_P$ 
   $\langle proof \rangle$ 

lemma  $\mathcal{L}_P\text{-is-word}$ :  $a \in \mathcal{L}_P \implies \text{is-word } a$ 
   $\langle proof \rangle$ 

definition  $\text{Derive} :: sentence \Rightarrow derivation \Rightarrow sentence$ 
where
   $\text{Derive } a D = (\text{THE } b. \text{Derivation } a D b)$ 

lemma  $\text{Derivation-dest-ex-unique}$ :  $\text{Derivation } a D b \implies \exists! x. \text{Derivation } a D x$ 
   $\langle proof \rangle$ 

lemma  $\text{Derive}$ :
  assumes  $ab: \text{Derivation } a D b$ 
  shows  $\text{Derive } a D = b$ 
   $\langle proof \rangle$ 

end

end
theory  $\text{Validity}$ 
imports  $\text{LLEarleyParsing Derivations}$ 
begin

context  $\text{LocalLexing}$  begin

definition  $\text{wellformed-token} :: token \Rightarrow \text{bool}$ 
where
   $\text{wellformed-token } t = \text{is-terminal} (\text{terminal-of-token } t)$ 

definition  $\text{wellformed-tokens} :: tokens \Rightarrow \text{bool}$ 
where
   $\text{wellformed-tokens } ts = \text{list-all wellformed-token } ts$ 

definition  $\text{doc-tokens} :: tokens \Rightarrow \text{bool}$ 
where
   $\text{doc-tokens } p = (\text{wellformed-tokens } p \wedge \text{is-prefix} (\text{chars } p) \text{ Doc})$ 

definition  $\text{wellformed-item} :: item \Rightarrow \text{bool}$ 
where
   $\text{wellformed-item } x = ($ 
     $\text{item-rule } x \in \mathfrak{R} \wedge$ 
     $\text{item-origin } x \leq \text{item-end } x \wedge$ 
     $\text{item-end } x \leq \text{length Doc} \wedge$ 
     $\text{item-dot } x \leq \text{length} (\text{item-rhs } x))$ 

definition  $\text{wellformed-items} :: items \Rightarrow \text{bool}$ 
where

```

wellformed-items $X = (\forall x \in X. \text{wellformed-item } x)$

lemma *is-word-terminals*: *wellformed-tokens* $p \implies \text{is-word}(\text{terminals } p)$
 $\langle \text{proof} \rangle$

lemma *is-word-subset*: *is-word* $x \implies \text{set } y \subseteq \text{set } x \implies \text{is-word } y$
 $\langle \text{proof} \rangle$

lemma *is-word-terminals-take*: *wellformed-tokens* $p \implies \text{is-word}(\text{terminals}(\text{take } n p))$
 $\langle \text{proof} \rangle$

lemma *is-word-terminals-drop*: *wellformed-tokens* $p \implies \text{is-word}(\text{terminals}(\text{drop } n p))$
 $\langle \text{proof} \rangle$

definition *pvalid* :: *tokens* \Rightarrow *item* \Rightarrow *bool*

where

$\text{pvalid } p \ x = (\exists u \gamma.$
 $\quad \text{wellformed-tokens } p \wedge$
 $\quad \text{wellformed-item } x \wedge$
 $\quad u \leq \text{length } p \wedge$
 $\quad \text{charslength } p = \text{item-end } x \wedge$
 $\quad \text{charslength } (\text{take } u p) = \text{item-origin } x \wedge$
 $\quad \text{is-derivation } (\text{terminals } (\text{take } u p) @ [\text{item-nonterminal } x] @ \gamma) \wedge$
 $\quad \text{derives } (\text{item-}\alpha\ x) (\text{terminals } (\text{drop } u p)))$

definition *Gen* :: *tokens set* \Rightarrow *items*

where

$\text{Gen } P = \{ x \mid x \text{ p. } p \in P \wedge \text{pvalid } p \ x \}$

lemma *wellformed-items* (*Gen P*)

$\langle \text{proof} \rangle$

lemma *wellformed-items* (*Init*)

$\langle \text{proof} \rangle$

definition *pvalid-left* :: *tokens* \Rightarrow *item* \Rightarrow *bool*

where

$\text{pvalid-left } p \ x = (\exists u \gamma.$
 $\quad \text{wellformed-tokens } p \wedge$
 $\quad \text{wellformed-item } x \wedge$
 $\quad u \leq \text{length } p \wedge$
 $\quad \text{charslength } p = \text{item-end } x \wedge$
 $\quad \text{charslength } (\text{take } u p) = \text{item-origin } x \wedge$
 $\quad \text{is-leftderivation } (\text{terminals } (\text{take } u p) @ [\text{item-nonterminal } x] @ \gamma) \wedge$
 $\quad \text{leftderives } (\text{item-}\alpha\ x) (\text{terminals } (\text{drop } u p)))$

lemma *pvalid-left*: $\text{pvalid } p \ x = \text{pvalid-left } p \ x$

```

⟨proof⟩

lemma  $\mathcal{L}_P$ -wellformed-tokens: terminals  $p \in \mathcal{L}_P \implies$  wellformed-tokens  $p$ 
⟨proof⟩

end

end
theory TheoremD2
imports LocalLexingLemmas Validity Derivations
begin

context LocalLexing begin

definition splits-at :: sentence  $\Rightarrow$  nat  $\Rightarrow$  sentence  $\Rightarrow$  symbol  $\Rightarrow$  sentence  $\Rightarrow$  bool
where
  splits-at  $\delta$   $i$   $\alpha$   $N$   $\beta = (i < \text{length } \delta \wedge \alpha = \text{take } i \delta \wedge N = \delta ! i \wedge \beta = \text{drop } (\text{Suc } i) \delta)$ 

lemma splits-at-combine: splits-at  $\delta$   $i$   $\alpha$   $N$   $\beta \implies \delta = \alpha @ [N] @ \beta$ 
⟨proof⟩

lemma splits-at-combine-dest: Derives1  $a$   $i$   $r$   $b \implies$  splits-at  $a$   $i$   $\alpha$   $N$   $\beta \implies b = \alpha @ (\text{snd } r) @ \beta$ 
⟨proof⟩

lemma Derives1-nonterminal:
  assumes Derives1  $a$   $i$   $r$   $b$ 
  assumes splits-at  $a$   $i$   $\alpha$   $N$   $\beta$ 
  shows fst  $r = N \wedge$  is-nonterminal  $N$ 
⟨proof⟩

lemma splits-at-ex: Derives1  $\delta$   $i$   $r$   $s \implies \exists \alpha N \beta.$  splits-at  $\delta$   $i$   $\alpha$   $N$   $\beta$ 
⟨proof⟩

lemma splits-at- $\alpha$ : Derives1  $\delta$   $i$   $r$   $s \implies$  splits-at  $\delta$   $i$   $\alpha$   $N$   $\beta \implies$ 
 $\alpha = \text{take } i \delta \wedge \alpha = \text{take } i s \wedge \text{length } \alpha = i$ 
⟨proof⟩

lemma LeftDerives1-splits-at-is-word: LeftDerives1  $\delta$   $i$   $r$   $s \implies$  splits-at  $\delta$   $i$   $\alpha$   $N$   $\beta \implies$ 
is-word  $\alpha$ 
⟨proof⟩

lemma splits-at- $\beta$ : Derives1  $\delta$   $i$   $r$   $s \implies$  splits-at  $\delta$   $i$   $\alpha$   $N$   $\beta \implies$ 
 $\beta = \text{drop } (\text{Suc } i) \delta \wedge \beta = \text{drop } (i + \text{length } (\text{snd } r)) s \wedge \text{length } \beta = \text{length } \delta - i - 1$ 
⟨proof⟩

```

```

lemma Derives1-prefix:
  assumes ab: Derives1 δ i r (a@b)
  assumes split: splits-at δ i α N β
  shows is-prefix α a ∨ is-prefix a α
  ⟨proof⟩

lemma Derives1-suffix:
  assumes ab: Derives1 δ i r (a@b)
  assumes split: splits-at δ i α N β
  shows is-suffix β b ∨ is-suffix b β
  ⟨proof⟩

lemma Derives1-skip-prefix:
  length a ≤ i ⇒ Derives1 (a@b) i r (a@c) ⇒ Derives1 b (i - length a) r c
  ⟨proof⟩

lemma cancel-suffix:
  assumes a @ c = b @ d
  assumes length c ≤ length d
  shows a = b @ (take (length d - length c) d)
  ⟨proof⟩

lemma is-sentence-take:
  is-sentence y ⇒ is-sentence (take n y)
  ⟨proof⟩

lemma Derives1-skip-suffix:
  assumes i: i < length a
  assumes D: Derives1 (a@c) i r (b@c)
  shows Derives1 a i r b
  ⟨proof⟩

lemma drop-cancel-suffix: a@c = drop n (b@c) ⇒ a = drop n b
  ⟨proof⟩

lemma drop-keep-last: u ≠ [] ⇒ u = drop n (a@[X]) ⇒ u = drop n a @ [X]
  ⟨proof⟩

lemma Derives1-X-is-part-of-rule[consumes 2, case-names Suffix Prefix]:
  assumes aXb: Derives1 δ i r (a@[X]@b)
  assumes split: splits-at δ i α N β
  assumes prefix: ∧ β. δ = a @ [X] @ β ⇒ length a < i ⇒
    Derives1 β (i - length a - 1) r b ⇒ False
  assumes suffix: ∧ α. δ = α @ [X] @ b ⇒ Derives1 α i r a ⇒ False
  shows ∃ u v. a = α @ u ∧ b = v @ β ∧ (snd r) = u@[X]@v
  ⟨proof⟩

lemma LP-derives: a ∈ LP ⇒ ∃ b. derives [S] (a@b)
  ⟨proof⟩

```

lemma $\mathcal{L}_P\text{-leftderives}$: $a \in \mathcal{L}_P \implies \exists b. \text{leftderives } [\mathfrak{S}] (a @ b)$
 $\langle \text{proof} \rangle$

lemma Derives1-rule : $\text{Derives1 } a i r b \implies r \in \mathfrak{R}$
 $\langle \text{proof} \rangle$

lemma $\text{is-prefix-empty[simp]}$: $\text{is-prefix } [] a$
 $\langle \text{proof} \rangle$

lemma is-prefix-cons : $\text{is-prefix } (x \# a) b = (\exists c. b = x \# c \wedge \text{is-prefix } a c)$
 $\langle \text{proof} \rangle$

lemma $\text{is-prefix-cancel[simp]}$: $\text{is-prefix } (a @ b) (a @ c) = \text{is-prefix } b c$
 $\langle \text{proof} \rangle$

lemma is-prefix-chars : $\text{is-prefix } a b \implies \text{is-prefix } (\text{chars } a) (\text{chars } b)$
 $\langle \text{proof} \rangle$

lemma is-prefix-length : $\text{is-prefix } a b \implies \text{length } a \leq \text{length } b$
 $\langle \text{proof} \rangle$

lemma $\text{is-prefix-take[simp]}$: $\text{is-prefix } (\text{take } n a) a$
 $\langle \text{proof} \rangle$

lemma doc-tokens-length : $\text{doc-tokens } p \implies \text{length } (\text{chars } p) \leq \text{length } \text{Doc}$
 $\langle \text{proof} \rangle$

fun $\text{count-terminals} :: \text{sentence} \Rightarrow \text{nat}$ **where**
 $\text{count-terminals } [] = 0$
 $| \text{count-terminals } (x \# xs) = (\text{if } (\text{is-terminal } x) \text{ then } \text{Suc } (\text{count-terminals } xs) \text{ else } (\text{count-terminals } xs))$

lemma $\text{count-terminals-upper-bound}$: $\text{count-terminals } p \leq \text{length } p$
 $\langle \text{proof} \rangle$

lemma $\text{count-terminals-append[simp]}$: $\text{count-terminals } (a @ b) = \text{count-terminals } a + \text{count-terminals } b$
 $\langle \text{proof} \rangle$

lemma $\text{Derives1-count-terminals}$:
assumes $D: \text{Derives1 } a i r b$
shows $\text{count-terminals } b = \text{count-terminals } a + \text{count-terminals } (\text{snd } r)$
 $\langle \text{proof} \rangle$

lemma $\text{Derives1-count-terminals-leg}$:
assumes $D: \text{Derives1 } a i r b$
shows $\text{count-terminals } a \leq \text{count-terminals } b$
 $\langle \text{proof} \rangle$

```

lemma Derivation-count-terminals-leq:
  Derivation a E b  $\implies$  count-terminals a  $\leq$  count-terminals b
   $\langle proof \rangle$ 

lemma derives-count-terminals-leq: derives a b  $\implies$  count-terminals a  $\leq$  count-terminals b
   $\langle proof \rangle$ 

lemma is-word-cons[simp]: is-word (x#xs) = (is-terminal x  $\wedge$  is-word xs)
   $\langle proof \rangle$ 

lemma count-terminals-of-word: is-word w  $\implies$  count-terminals w = length w
   $\langle proof \rangle$ 

lemma length-terminals[simp]: length (terminals p) = length p
   $\langle proof \rangle$ 

lemma path-length-is-upper-bound:
  assumes p: wellformed-tokens p
  assumes  $\alpha$ : is-word  $\alpha$ 
  assumes derives: derives ( $\alpha @ u$ ) (terminals p)
  shows length  $\alpha \leq$  length p
   $\langle proof \rangle$ 

lemma is-word-Derives1-index:
  assumes w: is-word w
  assumes derives1: Derives1 (w@a) i r b
  shows i  $\geq$  length w
   $\langle proof \rangle$ 

lemma is-word-Derivation-derivation-ge:
  assumes w: is-word w
  assumes D: Derivation (w@a) D b
  shows derivation-ge D (length w)
   $\langle proof \rangle$ 

lemma derives-word-is-prefix:
  assumes w: is-word w
  assumes derives: derives (w@a) b
  shows is-prefix w b
   $\langle proof \rangle$ 

lemma terminals-take[simp]: terminals (take n p) = take n (terminals p)
   $\langle proof \rangle$ 

lemma terminals-drop[simp]: terminals (drop n p) = drop n (terminals p)
   $\langle proof \rangle$ 

```

```

lemma take-prefix[simp]: is-prefix a b  $\implies$  take (length a) b = a
⟨proof⟩

lemma Derives1-drop-prefixword:
assumes w: is-word w
assumes wa-b: Derives1 (w@a) i r b
shows Derives1 a (i - length w) r (drop (length w) b)
⟨proof⟩

lemma derives1-drop-prefixword:
assumes w: is-word w
assumes wa-b: derives1 (w@a) b
shows derives1 a (drop (length w) b)
⟨proof⟩

lemma derives1-is-word-is-prefix-drop:
assumes w: is-word w
assumes w-a: is-prefix w a
assumes ab: derives1 a b
shows derives1 (drop (length w) a) (drop (length w) b)
⟨proof⟩

lemma derives-drop-prefixword-helper:
derives a b  $\implies$  is-word w  $\implies$  is-prefix w a  $\implies$  derives (drop (length w) a) (drop
(length w) b)
⟨proof⟩

lemma derive-drop-prefixword:
is-word w  $\implies$  derives (w@a) b  $\implies$  derives a (drop (length w) b)
⟨proof⟩

lemma thmD2':
assumes X: is-terminal X
assumes p: doc-tokens p
assumes pX: (terminals p)@[X]  $\in \mathcal{L}_P$ 
shows  $\exists x. pvalid p x \wedge next-symbol x = Some X$ 
⟨proof⟩

lemma admissible-wellformed-tokens: admissible p  $\implies$  wellformed-tokens p
⟨proof⟩

lemma chars-append[simp]: chars (a@b) = (chars a)@(chars b)
⟨proof⟩

lemma chars-of-token-simp[simp]: chars-of-token (a, b) = b
⟨proof⟩

lemma X-is-prefix: t  $\in \mathcal{X}$  k  $\implies$  is-prefix (snd t) (drop k Doc)
⟨proof⟩

```

```

lemma is-prefix-append: is-prefix (a@b) D = (is-prefix a D ∧ is-prefix b (drop
(length a) D))
⟨proof⟩

lemma ℙ-are-doc-tokens: p ∈ ℙ ⇒ doc-tokens p
⟨proof⟩

theorem thmD2:
  assumes X: is-terminal X
  assumes p: p ∈ ℙ
  assumes pX: (terminals p)@[X] ∈ ℒ_P
  shows ∃ x. pvalid p x ∧ next-symbol x = Some X
⟨proof⟩

end

end
theory TheoremD4
imports TheoremD2
begin

context LocalLexing begin

lemma ℬ-are-terminals: u ∈ ℬ k ⇒ is-terminal (terminal-of-token u)
⟨proof⟩

lemma terminals-append[simp]: terminals (a@b) = ((terminals a) @ (terminals
b))
⟨proof⟩

lemma terminals-singleton[simp]: terminals [u] = [terminal-of-token u]
⟨proof⟩

lemma terminal-of-token-simp[simp]: terminal-of-token (a, b) = a
⟨proof⟩

lemma pvalid-item-end: pvalid p x ⇒ item-end x = charlength p
⟨proof⟩

lemma Ⅎ-elem-in-TokensAt:
  assumes P: P ⊆ ℙ
  assumes u-in-ℳ: u ∈ ℳ P k
  shows u ∈ TokensAt k (Gen P)
⟨proof⟩

lemma is-derivation-is-sentence: is-derivation s ⇒ is-sentence s
⟨proof⟩

```

lemma *is-sentence-cons*: *is-sentence* ($N \# s$) = (*is-symbol* $N \wedge *is-sentence* s)
(proof)$

lemma *is-derivation-step*:
assumes uNv : *is-derivation* ($u @ [N] @ v$)
assumes $N\alpha$: $(N, \alpha) \in \mathfrak{R}$
shows *is-derivation* ($u @ \alpha @ v$)
(proof)

lemma *is-derivation derives*:
derives $\alpha \beta \implies$ *is-derivation* ($u @ \alpha @ v \implies$ *is-derivation* ($u @ \beta @ v$))
(proof)

lemma *item-rhs-split*: *item-rhs* $x = (\text{item-}\alpha\text{ }x) @ (\text{item-}\beta\text{ }x)$
(proof)

lemma *pvalid-is-derivation-terminals-item-beta*:
assumes *pvalid*: *pvalid p x*
shows $\exists \delta$. *is-derivation* ((*terminals p*) @ (*item-}\beta\text{ }x) @ δ)
*(proof)**

lemma *next-symbol-not-complete*: *next-symbol* $x = \text{Some } t \implies \neg (\text{is-complete } x)$
(proof)

lemma *next-symbol-starts-item-beta*:
assumes *wf*: *wellformed-item x*
assumes *next-symbol*: *next-symbol x = Some t*
shows $\exists \delta$. *item-}\beta\text{ }x = t \# \delta
*(proof)**

lemma *pvalid-prefixlang*:
assumes *pvalid*: *pvalid p x*
assumes *is-terminal*: *is-terminal t*
assumes *next-symbol*: *next-symbol x = Some t*
shows (*terminals p*) @ [t] $\in \mathcal{L}_P$
(proof)

lemma *TokensAt-elem-in-W*:
assumes $P: P \subseteq \mathfrak{P}$
assumes *u-in-Tokens-at*: $u \in \text{TokensAt } k (\text{Gen } P)$
shows $u \in \mathcal{W} P k$
(proof)

theorem *thmD4*:
assumes $P: P \subseteq \mathfrak{P}$
shows $\mathcal{W} P k = \text{TokensAt } k (\text{Gen } P)$
(proof)

end

```

end
theory TheoremD5
imports TheoremD4
begin

context LocalLexing begin

lemma Scan-empty: Scan {} k I = I
  <proof>

lemma π-no-tokens: π k {} I = limit (λ I. Complete k (Predict k I)) I
  <proof>

lemma bin-elem: x ∈ bin I k ==> x ∈ I
  <proof>

lemma Gen-implies-pvalid: x ∈ Gen P ==> ∃ p ∈ P. pvalid p x
  <proof>

lemma wellformed-init-item[simp]: r ∈ ℜ ==> k ≤ length Doc ==> wellformed-item
  (init-item r k)
  <proof>

lemma init-item-origin[simp]: item-origin (init-item r k) = k
  <proof>

lemma init-item-end[simp]: item-end (init-item r k) = k
  <proof>

lemma init-item-nonterminal[simp]: item-nonterminal (init-item r k) = fst r
  <proof>

lemma init-item-α[simp]: item-α (init-item r k) = []
  <proof>

lemma Predict-elem-in-Gen:
  assumes I-in-Gen-P: I ⊆ Gen P
  assumes k: k ≤ length Doc
  assumes x-in-Predict: x ∈ Predict k I
  shows x ∈ Gen P
<proof>

lemma Predict-subset-Gen:
  assumes I ⊆ Gen P
  assumes k ≤ length Doc
  shows Predict k I ⊆ Gen P
<proof>

```

```

lemma nth-superfluous-append[simp]:  $i < \text{length } a \implies (a @ b)!i = a!i$ 
(proof)

lemma tokens-nth-in-Z:
 $p \in \mathfrak{P} \implies \forall i. i < \text{length } p \longrightarrow (\exists u. p !i \in \mathcal{Z} (\text{charslength} (\text{take } i p)) u)$ 
(proof)

lemma path-append-token:
assumes  $p: p \in \mathcal{P} k u$ 
assumes  $t: t \in \mathcal{Z} k (\text{Suc } u)$ 
assumes  $pt: \text{admissible } (p @ [t])$ 
assumes  $k: \text{charslength } p = k$ 
shows  $p @ [t] \in \mathcal{P} k (\text{Suc } u)$ 
(proof)

definition indexlt-rel ::  $((\text{nat} \times \text{nat}) \times (\text{nat} \times \text{nat})) \text{ set where}$ 
  indexlt-rel = less-than <*lex*> less-than

definition indexlt ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool where}$ 
  indexlt  $k' u' k u = (((k', u'), (k, u)) \in \text{indexlt-rel})$ 

lemma indexlt-simp:  $\text{indexlt } k' u' k u = (k' < k \vee (k' = k \wedge u' < u))$ 
(proof)

lemma wf-indexlt-rel:  $\text{wf } \text{indexlt-rel}$ 
(proof)

lemma P-induct[consumes 1, case-names Induct]:
assumes  $p \in \mathcal{P} k u$ 
assumes  $\text{induct}: \bigwedge p k u . (\bigwedge p' k' u'. p' \in \mathcal{P} k' u' \implies \text{indexlt } k' u' k u \implies P p' k' u')$ 
 $\qquad \implies p \in \mathcal{P} k u \implies P p k u$ 
shows  $P p k u$ 
(proof)

lemma nonempty-path-indices:
assumes  $p: p \in \mathcal{P} k u$ 
assumes  $\text{nonempty}: p \neq []$ 
shows  $k > 0 \vee u > 0$ 
(proof)

lemma base-paths:
assumes  $p: p \in \mathcal{P} k 0$ 
assumes  $k: k > 0$ 
shows  $\exists u. p \in \mathcal{P} (k - 1) u$ 
(proof)

lemma indexlt-trans:  $\text{indexlt } k'' u'' k' u' \implies \text{indexlt } k' u' k u \implies \text{indexlt } k'' u'' k u$ 

```

$\langle proof \rangle$

definition *is-continuation* :: $nat \Rightarrow nat \Rightarrow tokens \Rightarrow tokens \Rightarrow bool$ **where**
 $is\text{-continuation } k u q ts = (q \in \mathcal{P} k u \wedge \text{charslength } q = k \wedge \text{admissible } (q@ts)$
 \wedge
 $(\forall t \in \text{set } ts. t \in \mathcal{Z} k (\text{Suc } u)) \wedge (\forall t \in \text{set } (\text{butlast } ts). \text{chars-of-token } t = []))$

lemma *limit-Append-path-nonelem-split*: $p \in \text{limit } (\text{Append } T k) (\mathcal{P} k u) \implies p \notin \mathcal{P} k u \implies$
 $\exists q ts. p = q@ts \wedge q \in \mathcal{P} k u \wedge \text{charslength } q = k \wedge \text{admissible } (q@ts) \wedge (\forall t \in \text{set } ts. t \in T) \wedge$
 $(\forall t \in \text{set } (\text{butlast } ts). \text{chars-of-token } t = [])$
 $\langle proof \rangle$

lemma *limit-Append-path-nonelem-split'*:
 $p \in \text{limit } (\text{Append } (\mathcal{Z} k (\text{Suc } u)) k) (\mathcal{P} k u) \implies p \notin \mathcal{P} k u \implies$
 $\exists q ts. p = q@ts \wedge is\text{-continuation } k u q ts$
 $\langle proof \rangle$

lemma *final-step-of-path*: $p \in \mathcal{P} k u \implies p \neq [] \implies (\exists q ts k' u'. p = q@ts \wedge$
 $\wedge \text{indexlt } k' u' k u$
 $\wedge is\text{-continuation } k' u' q ts)$
 $\langle proof \rangle$

lemma *terminals-empty[simp]*: $\text{terminals } [] = []$
 $\langle proof \rangle$

lemma *empty-in-L_P[simp]*: $[] \in \mathcal{L}_P$
 $\langle proof \rangle$

lemma *admissible-empty[simp]*: $\text{admissible } []$
 $\langle proof \rangle$

lemma *P-are-admissible*: $p \in \mathfrak{P} \implies \text{admissible } p$
 $\langle proof \rangle$

lemma *prefix-of-empty-is-empty*: *is-prefix* $q [] \implies q = []$
 $\langle proof \rangle$

lemma *subset-P* :
assumes *leq*: $k' < k \vee (k' = k \wedge u' \leq u)$
shows $\mathcal{P} k' u' \subseteq \mathcal{P} k u$
 $\langle proof \rangle$

lemma *empty-path-is-elem[simp]*: $[] \in \mathcal{P} k u$
 $\langle proof \rangle$

lemma *is-prefix-of-append*:
assumes *is-prefix* $p (a@b)$

shows *is-prefix* p $a \vee (\exists b'. b' \neq [] \wedge \text{is-prefix } b' b \wedge p = a @ b')$
 $\langle \text{proof} \rangle$

lemma *prefix-is-continuation*: *is-continuation* k u p $ts \implies \text{is-prefix } ts' ts \implies \text{is-continuation } k u p ts'$
 $\langle \text{proof} \rangle$

lemma *charslength-0*: $(\forall t \in \text{set } ts. \text{chars-of-token } t = []) = (\text{charslength } ts = 0)$
 $\langle \text{proof} \rangle$

lemma *is-continuation-in-P*: *is-continuation* k u p $ts \implies p @ ts \in \mathcal{P} k (\text{Suc } u)$
 $\langle \text{proof} \rangle$

lemma *indexlt-subset-P*: *indexlt* k' u' k $u \implies \mathcal{P} k' (\text{Suc } u') \subseteq \mathcal{P} k u$
 $\langle \text{proof} \rangle$

lemma *prefixes-are-paths*: $p \in \mathcal{P} k u \implies \text{is-prefix } x p \implies x \in \mathcal{P} k u$
 $\langle \text{proof} \rangle$

lemma *empty-or-last-of-suffix*:
assumes $q = q' @ [t]$
assumes $q = p @ ts$
shows $ts = [] \vee (\exists ts'. q' = p @ ts' \wedge ts' @ [t] = ts)$
 $\langle \text{proof} \rangle$

lemma *is-prefix-butlast*: *is-prefix* q (*butlast* p) $\implies \text{is-prefix } q p$
 $\langle \text{proof} \rangle$

lemma *last-step-of-path*:
 $q \in \mathcal{P} k u \implies q = q' @ [t] \implies$
 $\exists k' u'. \text{indexlt } k' u' k u \wedge q \in \mathcal{P} k' (\text{Suc } u') \wedge \text{charslength } q' = k' \wedge t \in \mathcal{Z} k'$
 $(\text{Suc } u')$
 $\langle \text{proof} \rangle$

lemma *charslength-of-butlast-0*: $p \in \mathcal{P} k 0 \implies p = q @ [t] \implies \text{charslength } q < k$
 $\langle \text{proof} \rangle$

lemma *charslength-of-butlast*: $p \in \mathcal{P} k u \implies p = q @ [t] \implies \text{charslength } q \leq k$
 $\langle \text{proof} \rangle$

lemma *last-token-of-path*:
assumes $q \in \mathcal{P} k u$
assumes $q = q' @ [t]$
assumes $\text{charslength } q' = k$
shows $t \in \mathcal{Z} k u$
 $\langle \text{proof} \rangle$

lemma *final-step-of-path'*: $p \in \mathcal{P} k u \implies p \notin \mathcal{P} k (u - 1) \implies$
 $\exists q ts. u > 0 \wedge p = q @ ts \wedge \text{is-continuation } k (u - 1) q ts$

$\langle proof \rangle$

lemma *is-continuation-continue*:
assumes *is-continuation* $k u q ts$
assumes *charslength* $ts = 0$
assumes $t \in \mathcal{Z} k (\text{Suc } u)$
assumes *admissible* ($q @ ts @ [t]$)
shows *is-continuation* $k u q (ts @ [t])$
 $\langle proof \rangle$

theorem *compatibility-def*:
assumes *p-in-dom*: $p \in \mathcal{P} k u$
assumes *q-in-dom*: $q \in \mathcal{P} k u$
assumes *p-charslength*: *charslength* $p = k$
assumes *q-split*: $q = q' @ [t]$
assumes *q'len*: *charslength* $q' = k$
assumes *admissible*: *admissible* ($p @ [t]$)
shows $p @ [t] \in \mathcal{P} k u$
 $\langle proof \rangle$

lemma *is-prefix-admissible*:
assumes *is-prefix* $a b$
assumes *admissible* b
shows *admissible* a
 $\langle proof \rangle$

lemma *butlast-split*: $n < \text{length } q \implies \text{butlast } q = (\text{take } n q) @ (\text{drop } n (\text{butlast } q))$
 $\langle proof \rangle$

lemma *in-P-charslength*:
assumes *p-dom*: $p \in \mathcal{P} k u$
shows $\exists v. p \in \mathcal{P} (\text{charslength } p) v$
 $\langle proof \rangle$

theorem *general-compatibility*:
 $p \in \mathcal{P} k u \implies q \in \mathcal{P} k u \implies \text{charslength } p = \text{charslength } (\text{take } n q)$
 $\implies \text{charslength } p \leq k \implies \text{admissible } (p @ (\text{drop } n q)) \implies p @ (\text{drop } n q) \in \mathcal{P} k u$
 $\langle proof \rangle$

lemma *wellformed-item derives*:
assumes *wellformed*: *wellformed-item* x
shows *derives* [*item-nonterminal* x] (*item-rhs* x)
 $\langle proof \rangle$

lemma *wellformed-complete-item-β*:
assumes *wellformed*: *wellformed-item* x

```

assumes complete: is-complete x
shows item- $\beta$  x = []
⟨proof⟩

lemma wellformed-complete-item derives:
assumes wellformed: wellformed-item x
assumes complete: is-complete x
shows derives [item-nonterminal x] (item- $\alpha$  x)
⟨proof⟩

lemma is-derivation-implies-admissible:
is-derivation (terminals p @  $\delta$ )  $\Rightarrow$  is-word (terminals p)  $\Rightarrow$  admissible p
⟨proof⟩

lemma item-rhs-of-inc-item[simp]: item-rhs (inc-item x k) = item-rhs x
⟨proof⟩

lemma item-rule-of-inc-item[simp]: item-rule (inc-item x k) = item-rule x
⟨proof⟩

lemma item-origin-of-inc-item[simp]: item-origin (inc-item x k) = item-origin x
⟨proof⟩

lemma item-end-of-inc-item[simp]: item-end (inc-item x k) = k
⟨proof⟩

lemma item-dot-of-inc-item[simp]: item-dot (inc-item x k) = (item-dot x) + 1
⟨proof⟩

lemma item-nonterminal-of-inc-item[simp]: item-nonterminal (inc-item x k) =
item-nonterminal x
⟨proof⟩

lemma wellformed-inc-item:
assumes wellformed: wellformed-item x
assumes next-symbol: next-symbol x = Some s
assumes k-upper-bound: k  $\leq$  length Doc
assumes k-lower-bound: k  $\geq$  item-end x
shows wellformed-item (inc-item x k)
⟨proof⟩

lemma item- $\alpha$ -of-inc-item:
assumes wellformed: wellformed-item x
assumes next-symbol: next-symbol x = Some s
shows item- $\alpha$  (inc-item x k) = item- $\alpha$  x @ [s]
⟨proof⟩

lemma derives1-pad:
assumes derives1: derives1  $\alpha$   $\beta$ 

```

assumes u : *is-sentence* u

assumes v : *is-sentence* v

shows *derives1* $(u @ \alpha @ v)$ $(u @ \beta @ v)$

$\langle proof \rangle$

lemma *derives-pad*:

derives $\alpha \beta \implies$ *is-sentence* $u \implies$ *is-sentence* $v \implies$ *derives* $(u @ \alpha @ v)$ $(u @ \beta @ v)$

$\langle proof \rangle$

lemma *derives1-is-sentence*: *derives1* $\alpha \beta \implies$ *is-sentence* $\alpha \wedge$ *is-sentence* β

$\langle proof \rangle$

lemma *derives-is-sentence*: *derives* $\alpha \beta \implies (\alpha = \beta) \vee (\text{is-sentence } \alpha \wedge \text{is-sentence } \beta)$

$\langle proof \rangle$

lemma *derives-append*:

assumes au : *derives* $a u$

assumes bv : *derives* $b v$

assumes *is-sentence-a*: *is-sentence* a

assumes *is-sentence-b*: *is-sentence* b

shows *derives* $(a @ b)$ $(u @ v)$

$\langle proof \rangle$

lemma *is-sentence-item- α* : *wellformed-item* $x \implies$ *is-sentence* $(\text{item-}\alpha\ x)$

$\langle proof \rangle$

lemma *is-nonterminal-item-nonterminal*: *wellformed-item* $x \implies$ *is-nonterminal* $(\text{item-nonterminal } x)$

$\langle proof \rangle$

lemma *Complete-elem-in-Gen*:

assumes *I-in-Gen*: $I \subseteq \text{Gen} (\mathcal{P} k u)$

assumes k : $k \leq \text{length Doc}$

assumes *x-in-Complete*: $x \in \text{Complete} k I$

shows $x \in \text{Gen} (\mathcal{P} k u)$

$\langle proof \rangle$

lemma *Complete-subset-Gen*:

assumes *I-in-Gen-P*: $I \subseteq \text{Gen} (\mathcal{P} k u)$

assumes k : $k \leq \text{length Doc}$

shows $\text{Complete} k I \subseteq \text{Gen} (\mathcal{P} k u)$

$\langle proof \rangle$

lemma *P-are-admissible*: $p \in \mathcal{P} k u \implies \text{admissible } p$

$\langle proof \rangle$

lemma *is-continuation-base*:

assumes *p-dom*: $p \in \mathcal{P} k u$

```

assumes charslength-p: charslength p = k
shows is-continuation k u p []
⟨proof⟩

lemma is-continuation-empty-chars:
is-continuation k u q ts  $\implies$  charslength (q@ts) = k  $\implies$  chars ts = []
⟨proof⟩

lemma Z-subset: u ≤ v  $\implies$  Z k u ⊆ Z k v
⟨proof⟩

lemma is-continuation-increase-u:
assumes cont: is-continuation k u q ts
assumes uv: u ≤ v
shows is-continuation k v q ts
⟨proof⟩

lemma pvalid-next-symbol-derivable:
assumes pvalid: pvalid p x
assumes next-symbol: next-symbol x = Some s
shows  $\exists \delta. \text{is-derivation}((\text{terminals } p)@[s]@\delta)$ 
⟨proof⟩

lemma pvalid-admissible:
assumes pvalid: pvalid p x
shows admissible p
⟨proof⟩

lemma pvalid-next-terminal-admissible:
assumes pvalid: pvalid p x
assumes next-symbol: next-symbol x = Some t
assumes terminal: is-terminal t
shows admissible (p@[t, c])
⟨proof⟩

lemma X-wellformed: t ∈ X k  $\implies$  wellformed-token t
⟨proof⟩

lemma Z-wellformed: t ∈ Z k u  $\implies$  wellformed-token t
⟨proof⟩

lemma Scan-elem-in-Gen:
assumes I-in-Gen: I ⊆ Gen (P k u)
assumes k: k ≤ length Doc
assumes T: T ⊆ Z k u
assumes x-in-Scan: x ∈ Scan T k I
shows x ∈ Gen (P k u)
⟨proof⟩

```

```

lemma Scan-subset-Gen:
  assumes I-in-Gen:  $I \subseteq Gen(\mathcal{P} k u)$ 
  assumes k:  $k \leq length Doc$ 
  assumes T:  $T \subseteq \mathcal{Z} k u$ 
  shows Scan T k I  $\subseteq Gen(\mathcal{P} k u)$ 
  ⟨proof⟩

theorem thmD5:
  assumes I:  $I \subseteq Gen(\mathcal{P} k u)$ 
  assumes k:  $k \leq length Doc$ 
  assumes T:  $T \subseteq \mathcal{Z} k u$ 
  shows  $\pi k T I \subseteq Gen(\mathcal{P} k u)$ 
  ⟨proof⟩

end

end
theory TheoremD6
imports TheoremD5
begin

context LocalLexing begin

definition inc-dot :: nat  $\Rightarrow$  item  $\Rightarrow$  item
where
  inc-dot d x = Item (item-rule x) (item-dot x + d) (item-origin x) (item-end x)

lemma inc-dot-0[simp]: inc-dot 0 x = x
  ⟨proof⟩

lemma Predict-mk-regular1:
   $\exists (P :: rule \Rightarrow item \Rightarrow bool) F. Predict k = mk-regular1 P F$ 
  ⟨proof⟩

lemma Complete-mk-regular2:
   $\exists (P :: dummy \Rightarrow item \Rightarrow item \Rightarrow bool) F. Complete k = mk-regular2 P F$ 
  ⟨proof⟩

lemma Scan-mk-regular1:
   $\exists (P :: token \Rightarrow item \Rightarrow bool) F. Scan T k = mk-regular1 P F$ 
  ⟨proof⟩

lemma Predict-regular: regular (Predict k)
  ⟨proof⟩

lemma Complete-regular: regular (Complete k)
  ⟨proof⟩

lemma Scan-regular: regular (Scan T k)

```

$\langle proof \rangle$

lemma π -functional: $\pi k T = limit ((Scan T k) o (Complete k) o (Predict k))$
 $\langle proof \rangle$

lemma π -step-regular: regular $((Scan T k) o (Complete k) o (Predict k))$
 $\langle proof \rangle$

lemma π -regular: regular $(\pi k T)$
 $\langle proof \rangle$

lemma π -fix: $Scan T k (Complete k (Predict k (\pi k T I))) = \pi k T I$
 $\langle proof \rangle$

lemma π -fix': $((Scan T k) o (Complete k) o (Predict k)) (\pi k T I) = \pi k T I$
 $\langle proof \rangle$

lemma setmonotone-cases:
assumes f : setmonotone
shows $f X = X \vee X \subset f X$
 $\langle proof \rangle$

lemma distribute-fixpoint-over-setmonotone-comp:
assumes f : setmonotone f
assumes g : setmonotone g
assumes fixpoint: $(f o g) I = I$
shows $f I = I \wedge g I = I$
 $\langle proof \rangle$

lemma distribute-fixpoint-over-setmonotone-comp-3:
assumes f : setmonotone f
assumes g : setmonotone g
assumes h : setmonotone h
assumes fixpoint: $(f o g o h) I = I$
shows $f I = I \wedge g I = I \wedge h I = I$
 $\langle proof \rangle$

lemma Predict- π -fix: $Predict k (\pi k T I) = \pi k T I$
 $\langle proof \rangle$

lemma Scan- π -fix: $Scan T k (\pi k T I) = \pi k T I$
 $\langle proof \rangle$

lemma Complete- π -fix: $Complete k (\pi k T I) = \pi k T I$
 $\langle proof \rangle$

lemma π -idempotent: $\pi k T (\pi k T I) = \pi k T I$
 $\langle proof \rangle$

lemma *derivation-shift-identity*[simp]: *derivation-shift D 0 0 = D*
(proof)

lemma *Derivation-skip-prefix*: *Derivation (u@v) D w* \implies *derivation-ge D (length u)* \implies
Derivation v (derivation-shift D (length u) 0) (drop (length u) w)
(proof)

lemma *leftmost-skip-prefix*: *leftmost i (u@v)* \implies *i ≥ length u* \implies *leftmost (i - length u) v*
(proof)

lemma *LeftDerivation-skip-prefix*: *LeftDerivation (u@v) D w* \implies *derivation-ge D (length u)* \implies
LeftDerivation v (derivation-shift D (length u) 0) (drop (length u) w)
(proof)

lemma *splits-at-append*: *splits-at u i u1 N u2* \implies *splits-at (u@v) i u1 N (u2@v)*
(proof)

lemma *LeftDerives1-append-leftmost-unique*: *LeftDerives1 (a@b) i r c* \implies *leftmost j a* \implies *i = j*
(proof)

lemma *drop-derivation-shift*:
 $\text{drop } n \ (\text{derivation-shift } D \text{ left right}) = \text{derivation-shift} \ (\text{drop } n \ D) \text{ left right}$
(proof)

lemma *take-derivation-shift*:
 $\text{take } n \ (\text{derivation-shift } D \text{ left right}) = \text{derivation-shift} \ (\text{take } n \ D) \text{ left right}$
(proof)

lemma *derivation-shift-0-shift*: *derivation-shift (derivation-shift D left1 0) left2 right2* =
derivation-shift D (left1 + left2) right2
(proof)

lemma *splits-at-append-prefix*:
 $\text{splits-at } v \ i \ \alpha \ N \ \beta \implies \text{splits-at} \ (u@v) \ (i + \text{length } u) \ (u@\alpha) \ N \ \beta$
(proof)

lemma *splits-at-implies-Derives1*: *splits-at δ i α N β* \implies *is-sentence δ* \implies $r \in \mathfrak{R}$
 $\implies \text{fst } r = N$
 $\implies \text{Derives1 } δ \ i \ r \ (\alpha @ (\text{snd } r) @ \beta)$
(proof)

lemma *Derives1-append-prefix*:
assumes *Derives1*: *Derives1 v i r w*
assumes *u*: *is-sentence u*

shows *Derives1* ($u@v$) ($i + \text{length } u$) r ($u@w$)
 $\langle \text{proof} \rangle$

lemma *leftmost-prepend-word*: $\text{leftmost } i v \implies \text{is-word } u \implies \text{leftmost } (i + \text{length } u) (u@v)$
 $\langle \text{proof} \rangle$

lemma *LeftDerives1-append-prefix*:
assumes *Derives1*: $\text{LeftDerives1 } v i r w$
assumes u : *is-word* u
shows *LeftDerives1* ($u@v$) ($i + \text{length } u$) r ($u@w$)
 $\langle \text{proof} \rangle$

lemma *Derivation-append-prefix*: *Derivation* $v D w \implies \text{is-sentence } u \implies$
 $\text{Derivation } (u@v) (\text{derivation-shift } D 0 (\text{length } u)) (u@w)$
 $\langle \text{proof} \rangle$

lemma *LeftDerivation-append-prefix*: *LeftDerivation* $v D w \implies \text{is-word } u \implies$
 $\text{LeftDerivation } (u@v) (\text{derivation-shift } D 0 (\text{length } u)) (u@w)$
 $\langle \text{proof} \rangle$

lemma *derivation-ge-shift-simp*: *derivation-ge* $D i \implies i \geq l \implies r \geq l \implies$
 $\text{derivation-shift } D l r = \text{derivation-shift } D 0 (r - l)$
 $\langle \text{proof} \rangle$

lemma *append-dropped-prefix*: *is-prefix* $u v \implies \text{drop } (\text{length } u) v = w \implies u@w$
 $= v$
 $\langle \text{proof} \rangle$

lemma *derivation-ge-shift-plus*:
assumes *derivation-ge* $D u$
assumes *derivation-ge* (*derivation-shift* $D u 0$) v
shows *derivation-ge* $D (u + v)$
 $\langle \text{proof} \rangle$

lemma *LeftDerivation-breakdown*:
 $\text{LeftDerivation } (u@v) D w \implies \exists n w1 w2. w = w1 @ w2 \wedge$
 $\text{LeftDerivation } u (\text{take } n D) w1 \wedge$
 $\text{derivation-ge } (\text{drop } n D) (\text{length } w1) \wedge$
 $\text{LeftDerivation } v (\text{derivation-shift } (\text{drop } n D) (\text{length } w1) 0) w2$
 $\langle \text{proof} \rangle$

lemma *Derives1-terminals-stay*:
assumes *Derives1*: *Derives1* $u i r v$
assumes *t-dom*: $t \in \text{set } u$
assumes *terminal*: *is-terminal* t
shows $t \in \text{set } v$
 $\langle \text{proof} \rangle$

lemma *Derivation-terminals-stay*: $\text{Derivation } u D v \implies t \in \text{set } u \implies \text{is-terminal } t \implies t \in \text{set } v$
 $\langle \text{proof} \rangle$

lemma *Derivation-empty-no-terminals*: $\text{Derivation } u D [] \implies t \in \text{set } u \implies \text{is-nonterminal } t$
 $\langle \text{proof} \rangle$

lemma *mono-subset-elem*: $\text{mono } f \implies A \subseteq B \implies x \in f A \implies x \in f B$ $\langle \text{proof} \rangle$

lemma *wellformed-inc-dot*: $\text{wellformed-item } x \implies \text{item-dot } x + d \leq \text{length } (\text{item-rhs } x)$
 $\implies \text{wellformed-item}(\text{inc-dot } d x)$
 $\langle \text{proof} \rangle$

lemma *init-item-dot[simp]*: $\text{item-dot } (\text{init-item } r k) = 0$
 $\langle \text{proof} \rangle$

lemma *init-item-rhs[simp]*: $\text{item-rhs } (\text{init-item } r k) = \text{snd } r$
 $\langle \text{proof} \rangle$

lemma *init-item-β[simp]*: $\text{item-}\beta\text{ } (\text{init-item } r k) = \text{snd } r$
 $\langle \text{proof} \rangle$

lemma *mono-π*: $\text{mono } (\pi k T)$
 $\langle \text{proof} \rangle$

lemma *π-subset-elem-trans*:
assumes $Y: Y \subseteq \pi k T X$
assumes $z: z \in \pi k T Y$
shows $z \in \pi k T X$
 $\langle \text{proof} \rangle$

lemma *inc-dot-origin[simp]*: $\text{item-origin } (\text{inc-dot } d x) = \text{item-origin } x$
 $\langle \text{proof} \rangle$

lemma *inc-dot-end[simp]*: $\text{item-end } (\text{inc-dot } d x) = \text{item-end } x$
 $\langle \text{proof} \rangle$

lemma *inc-dot-rhs[simp]*: $\text{item-rhs } (\text{inc-dot } d x) = \text{item-rhs } x$
 $\langle \text{proof} \rangle$

lemma *inc-dot-dot[simp]*: $\text{item-dot } (\text{inc-dot } d x) = \text{item-dot } x + d$
 $\langle \text{proof} \rangle$

lemma *inc-dot-nonterminal[simp]*: $\text{item-nonterminal } (\text{inc-dot } d x) = \text{item-nonterminal } x$
 $\langle \text{proof} \rangle$

```

lemma Predict-subset- $\pi$ : Predict k X  $\subseteq$   $\pi$  k T X
⟨proof⟩

lemma Complete-subset- $\pi$ : Complete k X  $\subseteq$   $\pi$  k T X
⟨proof⟩

lemma inc-inc-dot[simp]: inc-dot a (inc-dot b x) = inc-dot (a + b) x
⟨proof⟩

lemma thmD6-Left: wellformed-item x  $\implies$  item- $\beta$  x =  $\delta$  @  $\omega$   $\implies$  item-end x =
k  $\implies$ 
    LeftDerivation  $\delta$  D []  $\implies$  inc-dot (length  $\delta$ ) x  $\in$   $\pi$  k {} {x}
⟨proof⟩

lemma derives-empty-implies-LeftDerivation: derives  $\delta$  []  $\implies$   $\exists$  D. LeftDerivation
 $\delta$  D []
⟨proof⟩

lemma thmD6: wellformed-item x  $\implies$  item- $\beta$  x =  $\delta$  @  $\omega$   $\implies$  item-end x = k  $\implies$ 
    derives  $\delta$  []  $\implies$  inc-dot (length  $\delta$ ) x  $\in$   $\pi$  k {} {x}
⟨proof⟩

end

end
theory TheoremD7
imports TheoremD6
begin

context LocalLexing begin

lemma Derives1-keep-first-terminal: Derives1 (x#u) i r (y#v)  $\implies$  is-terminal x
 $\implies$  x = y
⟨proof⟩

lemma Derives1-nonterminal-head:
assumes Derives1 u i r (N#v)
assumes is-nonterminal N
shows  $\exists$  u' M. u = M#u'  $\wedge$  is-nonterminal M
⟨proof⟩

lemma sentence-starts-with-nonterminal:
assumes is-nonterminal N
assumes derives u []
shows  $\exists$  X r. u@[N] = X#r  $\wedge$  is-nonterminal X
⟨proof⟩

lemma Derives1-nonterminal-head':

```

```

assumes Derives1 u i r (v1@[N]@v2)
assumes is-nonterminal N
assumes derives v1 []
shows ∃ u' M. u = M#u' ∧ is-nonterminal M
⟨proof⟩

lemma thmD7-helper:
assumes LeftDerivation [S] D (N#w)
assumes is-nonterminal N
assumes S ≠ N
shows ∃ n M a a1 a2 w. n < length D ∧ (M, a) ∈ R ∧ LeftDerivation [S] (take
n D) (M#w) ∧
a = a1 @ [N] @ a2 ∧ derives a1 []
⟨proof⟩

lemma head-of-item-β-is-next-symbol:
wellformed-item x ⇒ item-β x = t#δ ⇒ next-symbol x = Some t
⟨proof⟩

lemma next-symbol-predicts: next-symbol x = Some N ⇒ (N, a) ∈ R ⇒ k =
item-end x ⇒
init-item (N, a) k ∈ Predict k {x}
⟨proof⟩

lemma thmD7-LeftDerivation: LeftDerivation [S] D (N#γ) ⇒ is-nonterminal N
⇒ (N, α) ∈ R ⇒
init-item (N, α) 0 ∈ π 0 {} Init
⟨proof⟩

theorem thmD7: is-derivation (N#γ) ⇒ is-nonterminal N ⇒ (N, α) ∈ R ⇒
init-item (N, α) 0 ∈ π 0 {} Init
⟨proof⟩

end

end
theory TheoremD8
imports TheoremD7
begin

context LocalLexing begin

lemma wellformed-tokens-empty-path[simp]: wellformed-tokens []
⟨proof⟩

lemma P-0-0-Gen: Gen (P 0 0) = { x . wellformed-item x ∧ item-origin x = 0
∧ item-end x = 0 ∧
derives (item-α x) [] ∧ (∃ γ. is-derivation ([item-nonterminal x] @ γ)) }

```

```

⟨proof⟩

lemma Init-subset-Gen: Init ⊆ Gen (P 0 0)
⟨proof⟩

lemma J-0-0-subset-Gen: J 0 0 ⊆ Gen (P 0 0)
⟨proof⟩

lemma inc-dot-rule[simp]: item-rule (inc-dot d x) = item-rule x
⟨proof⟩

lemma init-item-rule[simp]: item-rule (init-item r k) = r
⟨proof⟩

lemma item-dot-is-α-length: wellformed-item x ==> item-dot x = length (item-α
x)
⟨proof⟩

lemma Gen-subset-J-0-0-helper:
assumes wellformed-item x
assumes item-origin x = 0
assumes item-end x = 0
assumes derives (item-α x) []
assumes is-derivation (item-nonterminal x # γ)
shows x ∈ π 0 {} Init
⟨proof⟩

lemma Gen-subset-J-0-0: Gen (P 0 0) ⊆ J 0 0
⟨proof⟩

theorem thmD8: J 0 0 = Gen (P 0 0)
⟨proof⟩

end

end
theory TheoremD9
imports TheoremD8
begin

context LocalLexing begin

definition items-le :: nat ⇒ items ⇒ items
where
  items-le k I = { x . x ∈ I ∧ item-end x ≤ k }

definition items-eq :: nat ⇒ items ⇒ items
where
  items-eq k I = { x . x ∈ I ∧ item-end x = k }

```

```

definition paths-le :: nat  $\Rightarrow$  tokens set  $\Rightarrow$  tokens set
where
  paths-le k P = { p . p  $\in$  P  $\wedge$  charlength p  $\leq$  k }

definition paths-eq :: nat  $\Rightarrow$  tokens set  $\Rightarrow$  tokens set
where
  paths-eq k P = { p . p  $\in$  P  $\wedge$  charlength p = k }

lemma items-le-pointwise: pointwise (items-le k)
  ⟨proof⟩

lemma items-le-is-filter: items-le k I  $\subseteq$  I
  ⟨proof⟩

lemma items-eq-pointwise: pointwise (items-eq k)
  ⟨proof⟩

lemma items-eq-is-filter: items-eq k I  $\subseteq$  I
  ⟨proof⟩

lemma paths-le-pointwise: pointwise (paths-le k)
  ⟨proof⟩

lemma paths-le-continuous: continuous (paths-le k)
  ⟨proof⟩

lemma paths-le-mono: mono (paths-le k)
  ⟨proof⟩

lemma paths-le-is-filter: paths-le k P  $\subseteq$  P
  ⟨proof⟩

lemma paths-eq-pointwise: pointwise (paths-eq k)
  ⟨proof⟩

lemma paths-eq-is-filter: paths-eq k P  $\subseteq$  P
  ⟨proof⟩

lemma Predict-item-end: x  $\in$  Predict k Y  $\implies$  item-end x = k  $\vee$  x  $\in$  Y
  ⟨proof⟩

lemma Complete-item-end: x  $\in$  Complete k Y  $\implies$  item-end x = k  $\vee$  x  $\in$  Y
  ⟨proof⟩

lemma J-0-0-item-end: x  $\in$  J 0 0  $\implies$  item-end x = 0
  ⟨proof⟩

lemma items-le-J-0-0: items-le 0 (J 0 0) = J 0 0

```

$\langle proof \rangle$

lemma *paths-le-P-0-0*: $\text{paths-le } 0 (\mathcal{P} 0 0) = \mathcal{P} 0 0$
 $\langle proof \rangle$

definition *empty-tokens* :: *token set* \Rightarrow *token set*
where

$\text{empty-tokens } T = \{ t . t \in T \wedge \text{chars-of-token } t = [] \}$

lemma *items-le-Predict*: $\text{items-le } k (\text{Predict } k I) = \text{Predict } k (\text{items-le } k I)$
 $\langle proof \rangle$

lemma *items-le-Complete*:
 $\text{wellformed-items } I \implies \text{items-le } k (\text{Complete } k I) = \text{Complete } k (\text{items-le } k I)$
 $\langle proof \rangle$

lemma *items-le-Scan*:
 $\text{items-le } k (\text{Scan } T k I) = \text{Scan } (\text{empty-tokens } T) k (\text{items-le } k I)$
 $\langle proof \rangle$

lemma *wellformed-items-Gen*: *wellformed-items* (*Gen P*)
 $\langle proof \rangle$

lemma *wellformed-J-0-0*: *wellformed-items* ($\mathcal{J} 0 0$)
 $\langle proof \rangle$

lemma *wellformed-items-Predict*:
 $\text{wellformed-items } I \implies \text{wellformed-items } (\text{Predict } k I)$
 $\langle proof \rangle$

lemma *wellformed-items-Complete*:
 $\text{wellformed-items } I \implies \text{wellformed-items } (\text{Complete } k I)$
 $\langle proof \rangle$

lemma *X-length-bound*: $(t, c) \in \mathcal{X} k \implies k + \text{length } c \leq \text{length Doc}$
 $\langle proof \rangle$

lemma *wellformed-items-Scan*:
 $\text{wellformed-items } I \implies T \subseteq \mathcal{X} k \implies \text{wellformed-items } (\text{Scan } T k I)$
 $\langle proof \rangle$

lemma *wellformed-items-pi*:
assumes *wellformed-items* *I*
assumes $T \subseteq \mathcal{X} k$
shows *wellformed-items* ($\pi k T I$)
 $\langle proof \rangle$

lemma *J-subset-Suc-u*: $\mathcal{J} k u \subseteq \mathcal{J} k (\text{Suc } u)$
 $\langle proof \rangle$

lemma *mono-TokensAt*: *mono* (*TokensAt k*)
(proof)

lemma *T-subset-TokensAt*: $\mathcal{T} k u \subseteq \text{TokensAt } k (\mathcal{J} k u)$
(proof)

lemma *TokensAt-subset-X*: *TokensAt k I* $\subseteq \mathcal{X} k$
(proof)

lemma *wellformed-items-J-induct-u*:
assumes *wellformed-items* (*J k u*)
shows *wellformed-items* (*J k (Suc u)*)
(proof)

lemma *wellformed-items-J-k-u-if-0*: *wellformed-items* (*J k 0*) \implies *wellformed-items* (*J k u*)
(proof)

lemma *wellformed-items-natUnion*: $(\bigwedge k. \text{wellformed-items} (I k)) \implies \text{wellformed-items} (\text{natUnion } I)$
(proof)

lemma *wellformed-items-I-k-if-0*: *wellformed-items* (*J k 0*) \implies *wellformed-items* (*I k*)
(proof)

lemma *wellformed-items-J-I*: *wellformed-items* (*J k u*) \wedge *wellformed-items* (*I k*)
(proof)

lemma *wellformed-items-J*: *wellformed-items* (*J k u*)
(proof)

lemma *wellformed-items-I*: *wellformed-items* (*I k*)
(proof)

lemma *funpower-consume-function*:
assumes *law*: $\bigwedge X. P X \implies f(g X) = h(f X) \wedge P(g X)$
shows *P I* $\implies P(\text{funpower } g n I) \wedge f(\text{funpower } g n I) = \text{funpower } h n (f I)$
(proof)

lemma *limit-consume-function*:
assumes *continuous*: *continuous f*
assumes *law*: $\bigwedge X. P X \implies f(g X) = h(f X) \wedge P(g X)$
assumes *setmonotone*: *setmonotone g*
shows *P I* $\implies f(\text{limit } g I) = \text{limit } h(f I)$
(proof)

lemma *items-le-pi-swap*:

```

assumes wellformed-I: wellformed-items I
assumes T:  $T \subseteq \mathcal{X}$  k
shows items-le k ( $\pi$  k T I) =  $\pi$  k (empty-tokens T) (items-le k I)
⟨proof⟩

lemma items-le-idempotent: items-le k (items-le k I) = items-le k I
⟨proof⟩

lemma paths-le-idempotent: paths-le k (paths-le k P) = paths-le k P
⟨proof⟩

lemma items-le-fix-D:
assumes items-le-fix: items-le k I = I
assumes x-dom:  $x \in I$ 
shows item-end x ≤ k
⟨proof⟩

lemma remove-paths-le-in-subset-Gen:
assumes items-le k I = I
assumes I ⊆ Gen P
shows I ⊆ Gen (paths-le k P)
⟨proof⟩

lemma mono-Gen: mono Gen
⟨proof⟩

lemma empty-tokens-idempotent: empty-tokens (empty-tokens T) = empty-tokens T
⟨proof⟩

lemma empty-tokens-is-filter: empty-tokens T ⊆ T
⟨proof⟩

lemma items-le-paths-le: items-le k (Gen P) = Gen (paths-le k P)
⟨proof⟩

lemma bin-items-le[symmetric]: bin I k = bin (items-le k I) k
⟨proof⟩

lemma TokensAt-items-le[symmetric]: TokensAt k I = TokensAt k (items-le k I)
⟨proof⟩

lemma by-length-paths-le[symmetric]: by-length k P = by-length k (paths-le k P)
⟨proof⟩

lemma W-paths-le[symmetric]: W P k = W (paths-le k P) k
⟨proof⟩

theorem T>equals-Z-induct-step:

```

```

assumes induct: items-le k ( $\mathcal{J}$  k u) = Gen (paths-le k ( $\mathcal{P}$  k u))
assumes induct-tokens:  $\mathcal{T}$  k u =  $\mathcal{Z}$  k u
shows  $\mathcal{T}$  k (Suc u) =  $\mathcal{Z}$  k (Suc u)
⟨proof⟩

theorem thmD9:
assumes induct: items-le k ( $\mathcal{J}$  k u) = Gen (paths-le k ( $\mathcal{P}$  k u))
assumes induct-tokens:  $\mathcal{T}$  k u =  $\mathcal{Z}$  k u
assumes k: k ≤ length Doc
shows items-le k ( $\mathcal{J}$  k (Suc u)) ⊆ Gen (paths-le k ( $\mathcal{P}$  k (Suc u)))
⟨proof⟩

end

end
theory Ladder
imports TheoremD9
begin

context LocalLexing begin

definition LeftDerivationFix :: sentence ⇒ nat ⇒ derivation ⇒ nat ⇒ sentence
⇒ bool
where
LeftDerivationFix α i D j β = (is-sentence α ∧ is-sentence β
∧ LeftDerivation α D β ∧ i < length α ∧ j < length β
∧ α ! i = β ! j ∧ (exists E F. D = E@(derivation-shift F 0 (Suc j)) ∧
LeftDerivation (take i α) E (take j β) ∧
LeftDerivation (drop (Suc i) α) F (drop (Suc j) β)))

definition LeftDerivationIntro :: 
sentence ⇒ nat ⇒ rule ⇒ nat ⇒ derivation ⇒ nat ⇒ sentence ⇒ bool
where
LeftDerivationIntro α i r ix D j γ = (exists β. LeftDerives1 α i r β ∧
ix < length (snd r) ∧ (snd r) ! ix = γ ! j ∧
LeftDerivationFix β (i + ix) D j γ)

lemma LeftDerivationFix-empty[simp]: is-sentence α ⇒ i < length α ⇒ LeftDerivationFix α i [] i α
⟨proof⟩

lemma Derive-empty[simp]: Derive a [] = a
⟨proof⟩

lemma LeftDerivation-append1: LeftDerivation a (D@[i, r]) c ⇒ ∃ b. LeftDerivation a D b
∧ LeftDerives1 b i r c
⟨proof⟩

```

lemma *Derivation-append1*: *Derivation a (D@[i, r]) c* $\implies \exists b. \text{Derivation a } D b$

$\wedge \text{Derives1 } b \ i \ r \ c$

$\langle \text{proof} \rangle$

lemma *Derivation-take-derive*:

assumes *Derivation a D b*

shows *Derivation a (take n D) (Derive a (take n D))*

$\langle \text{proof} \rangle$

lemma *LeftDerivation-take-derive*:

assumes *LeftDerivation a D b*

shows *LeftDerivation a (take n D) (Derive a (take n D))*

$\langle \text{proof} \rangle$

lemma *Derivation-Derive-take-Derives1*:

assumes $N \neq 0$

assumes $N \leq \text{length } D$

assumes *Derivation a D b*

assumes $\alpha: \alpha = \text{Derive a (take } (N - 1) \ D)$

assumes $\beta = \text{Derive a (take } N \ D)$

shows *Derives1 α (fst (D ! (N - 1))) (snd (D ! (N - 1))) β*

$\langle \text{proof} \rangle$

lemma *LeftDerivation-Derive-take-LeftDerives1*:

assumes $N \neq 0$

assumes $N \leq \text{length } D$

assumes *LeftDerivation a D b*

assumes $\alpha: \alpha = \text{Derive a (take } (N - 1) \ D)$

assumes $\beta = \text{Derive a (take } N \ D)$

shows *LeftDerives1 α (fst (D ! (N - 1))) (snd (D ! (N - 1))) β*

$\langle \text{proof} \rangle$

lemma *LeftDerives1-skip-prefix*:

$\text{length } a \leq i \implies \text{LeftDerives1 } (a @ b) \ i \ r \ (a @ c) \implies \text{LeftDerives1 } b \ (i - \text{length } a) \ r \ c$

$\langle \text{proof} \rangle$

lemma *LeftDerives1-skip-suffix*:

assumes $i: i < \text{length } a$

assumes $D: \text{LeftDerives1 } (a @ c) \ i \ r \ (b @ c)$

shows *LeftDerives1 a i r b*

$\langle \text{proof} \rangle$

lemma *LeftDerives1-X-is-part-of-rule*[consumes 2, case-names Suffix Prefix]:

assumes $aXb: \text{LeftDerives1 } \delta \ i \ r \ (a @ [X] @ b)$

assumes *split: splits-at δ i α N β*

assumes *prefix: $\bigwedge \beta. \delta = a @ [X] @ \beta \implies \text{length } a < i \implies \text{is-word } (a @ [X])$*

\implies

LeftDerives1 β ($i - \text{length } a - 1$) $r b \implies \text{False}$

assumes $\text{suffix}: \bigwedge \alpha. \delta = \alpha @ [X] @ b \implies \text{LeftDerives1 } \alpha i r a \implies \text{False}$

shows $\exists u v. a = \alpha @ u \wedge b = v @ \beta \wedge (\text{snd } r) = u @ [X] @ v$

$\langle \text{proof} \rangle$

lemma *LeftDerivationFix-grow-suffix*:

assumes $LDF: \text{LeftDerivationFix } (b1 @ [X] @ b2) (\text{length } b1) D j c$

assumes $\text{suffix-}b2: \text{LeftDerives1 } \text{suffix } e r b2$

assumes $\text{is-word-}b1X: \text{is-word } (b1 @ [X])$

shows $\text{LeftDerivationFix } (b1 @ [X] @ \text{suffix}) (\text{length } b1) ((e + \text{length } (b1 @ [X])), r) \# D) j c$

$\langle \text{proof} \rangle$

lemma *Derives1-append-suffix*:

assumes $\text{Derives1}: \text{Derives1 } v i r w$

assumes $u: \text{is-sentence } u$

shows $\text{Derives1 } (v @ u) i r (w @ u)$

$\langle \text{proof} \rangle$

lemma *leftmost-append-suffix*: $\text{leftmost } i v \implies \text{leftmost } i (v @ u)$

$\langle \text{proof} \rangle$

lemma *LeftDerives1-append-suffix*:

assumes $\text{Derives1}: \text{LeftDerives1 } v i r w$

assumes $u: \text{is-sentence } u$

shows $\text{LeftDerives1 } (v @ u) i r (w @ u)$

$\langle \text{proof} \rangle$

lemma *LeftDerivationFix-is-sentence*:

$\text{LeftDerivationFix } a i D j b \implies \text{is-sentence } a \wedge \text{is-sentence } b$

$\langle \text{proof} \rangle$

lemma *LeftDerivationIntro-is-sentence*:

$\text{LeftDerivationIntro } \alpha i r ix D j \gamma \implies \text{is-sentence } \alpha \wedge \text{is-sentence } \gamma$

$\langle \text{proof} \rangle$

lemma *LeftDerivationFix-grow-prefix*:

assumes $LDF: \text{LeftDerivationFix } (b1 @ [X] @ b2) (\text{length } b1) D j c$

assumes $\text{prefix-}b1: \text{LeftDerives1 } \text{prefix } e r b1$

shows $\text{LeftDerivationFix } (\text{prefix} @ [X] @ b2) (\text{length prefix}) ((e, r) \# D) j c$

$\langle \text{proof} \rangle$

lemma *LeftDerivationFixOrIntro*:

$\text{LeftDerivation } a D \gamma \implies \text{is-sentence } \gamma \implies j < \text{length } \gamma \implies$

$(\exists i. \text{LeftDerivationFix } a i D j \gamma) \vee$

$(\exists d \alpha ix. d < \text{length } D \wedge \text{LeftDerivation } a (\text{take } d D) \alpha \wedge$

$\text{LeftDerivationIntro } \alpha (\text{fst } (D ! d)) (\text{snd } (D ! d)) ix (\text{drop } (\text{Suc } d) D) j \gamma)$

$\langle \text{proof} \rangle$

```

type-synonym deriv = nat × nat × nat
type-synonym ladder = deriv list

definition deriv-n :: deriv ⇒ nat where
  deriv-n d = fst d

definition deriv-j :: deriv ⇒ nat where
  deriv-j d = fst (snd d)

definition deriv-ix :: deriv ⇒ nat where
  deriv-ix d = snd (snd d)

definition deriv-i :: deriv ⇒ nat where
  deriv-i d = snd (snd d)

definition ladder-j :: ladder ⇒ nat ⇒ nat where
  ladder-j L index = deriv-j (L ! index)

definition ladder-i :: ladder ⇒ nat ⇒ nat where
  ladder-i L index = (if index = 0 then deriv-i (hd L) else ladder-j L (index - 1))

definition ladder-n :: ladder ⇒ nat ⇒ nat where
  ladder-n L index = deriv-n (L ! index)

definition ladder-prev-n :: ladder ⇒ nat ⇒ nat where
  ladder-prev-n L index = (if index = 0 then 0 else (ladder-n L (index - 1)))

definition ladder-ix :: ladder ⇒ nat ⇒ nat where
  ladder-ix L index = (if index = 0 then undefined else deriv-ix (L ! index))

definition ladder-last-j :: ladder ⇒ nat where
  ladder-last-j L = ladder-j L (length L - 1)

definition ladder-last-n :: ladder ⇒ nat where
  ladder-last-n L = ladder-n L (length L - 1)

definition is-ladder :: derivation ⇒ ladder ⇒ bool where
  is-ladder D L = (L ≠ [] ∧
    (forall u. u < length L → ladder-n L u ≤ length D) ∧
    (forall u v. u < v ∧ v < length L → ladder-n L u < ladder-n L v) ∧
    ladder-last-n L = length D)

definition ladder-γ :: sentence ⇒ derivation ⇒ ladder ⇒ nat ⇒ sentence where
  ladder-γ a D L index = Derive a (take (ladder-n L index) D)

definition ladder-α :: sentence ⇒ derivation ⇒ ladder ⇒ nat ⇒ sentence where
  ladder-α a D L index = (if index = 0 then a else ladder-γ a D L (index - 1))

definition LeftDerivationIntrosAt :: sentence ⇒ derivation ⇒ ladder ⇒ nat ⇒

```

```

bool where
LeftDerivationIntrosAt a D L index = (
  let  $\alpha$  = ladder- $\alpha$  a D L index in
  let  $i$  = ladder- $i$  L index in
  let  $j$  = ladder- $j$  L index in
  let  $ix$  = ladder- $ix$  L index in
  let  $\gamma$  = ladder- $\gamma$  a D L index in
  let  $n$  = ladder- $n$  L (index - 1) in
  let  $m$  = ladder- $n$  L index in
  let  $e$  =  $D ! n$  in
  let  $E$  = drop ( $Suc n$ ) (take  $m D$ ) in
   $i = fst e \wedge$ 
  LeftDerivationIntro  $\alpha i (snd e) ix E j \gamma$ )

definition LeftDerivationIntros :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  ladder  $\Rightarrow$  bool where
LeftDerivationIntros a D L = (
   $\forall$  index.  $1 \leq index \wedge index < length L \longrightarrow$  LeftDerivationIntrosAt a D L
  index)

definition LeftDerivationLadder :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  ladder  $\Rightarrow$  sentence
 $\Rightarrow$  bool where
LeftDerivationLadder a D L b = (
  LeftDerivation a D b  $\wedge$ 
  is-ladder D L  $\wedge$ 
  LeftDerivationFix a (ladder- $i$  L 0) (take (ladder- $n$  L 0) D) (ladder- $j$  L 0)
  (ladder- $\gamma$  a D L 0)  $\wedge$ 
  LeftDerivationIntros a D L)

definition mk-deriv-fix :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  deriv where
mk-deriv-fix i n j = (n, j, i)

definition mk-deriv-intro :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  deriv where
mk-deriv-intro ix n j = (n, j, ix)

lemma mk-deriv-fix-i[simp]: deriv-i (mk-deriv-fix i n j) = i
⟨proof⟩

lemma mk-deriv-fix-j[simp]: deriv-j (mk-deriv-fix i n j) = j
⟨proof⟩

lemma mk-deriv-fix-n[simp]: deriv-n (mk-deriv-fix i n j) = n
⟨proof⟩

lemma mk-deriv-intro-i[simp]: deriv-i (mk-deriv-intro i n j) = i
⟨proof⟩

lemma mk-deriv-intro-ix[simp]: deriv-ix (mk-deriv-intro ix n j) = ix
⟨proof⟩

```

lemma *mk-deriv-intro-j*[simp]: *deriv-j* (*mk-deriv-intro i n j*) = *j*
(proof)

lemma *mk-deriv-intro-n*[simp]: *deriv-n* (*mk-deriv-intro i n j*) = *n*
(proof)

lemma *LeftDerivationFix-implies-ex-ladder*:
LeftDerivationFix a i D j γ $\implies \exists L. \text{LeftDerivationLadder } a D L \gamma \wedge$
ladder-last-j L = j \wedge *ladder-last-n L = length D*
(proof)

lemma *trivP*[case-names prems]: *P* $\implies P$ *(proof)*

lemma *LeftDerivationLadder-ladder-n-bound*:
assumes *LeftDerivationLadder a D L b*
assumes *index < length L*
shows *ladder-n L index ≤ length D*
(proof)

lemma *LeftDerivationLadder-deriv-n-bound*:
assumes *LeftDerivationLadder a D L b*
assumes *index < length L*
shows *deriv-n (L ! index) ≤ length D*
(proof)

lemma *ladder-n-simp1*[simp]: *u < length L* $\implies \text{ladder-n } (L @ L') u = \text{ladder-n } L
u
(proof)$

lemma *ladder-n-simp2*[simp]: *ladder-n (L @ [d]) (length L) = deriv-n d*
(proof)

lemma *ladder-j-simp1*[simp]: *u < length L* $\implies \text{ladder-j } (L @ L') u = \text{ladder-j } L u
(proof)$

lemma *ladder-j-simp2*[simp]: *ladder-j (L @ [d]) (length L) = deriv-j d*
(proof)

lemma *ladder-i-simp1*[simp]: *u < length L* $\implies \text{ladder-i } (L @ L') u = \text{ladder-i } L u
(proof)$

lemma *ladder-ix-simp1*[simp]: *u < length L* $\implies \text{ladder-ix } (L @ L') u = \text{ladder-ix } L
u
(proof)$

lemma *ladder-ix-simp2*[simp]: *L ≠ []* $\implies \text{ladder-ix } (L @ [d]) (length L) = \text{deriv-ix } d
d
(proof)$

lemma *ladder- γ -simp1*[simp]: $u < \text{length } L \implies \text{ladder-}\gamma \text{ a } D (L @ L') u = \text{ladder-}\gamma \text{ a } D L u$
(proof)

lemma *ladder- γ -simp2*[simp]: $u < \text{length } L \implies \text{is-ladder } D L \implies \text{ladder-}\gamma \text{ a } (D @ D') L u = \text{ladder-}\gamma \text{ a } D L u$
(proof)

lemma *ladder- α -simp1*[simp]: $u < \text{length } L \implies \text{ladder-}\alpha \text{ a } D (L @ L') u = \text{ladder-}\alpha \text{ a } D L u$
(proof)

lemma *ladder- α -simp2*[simp]: $u < \text{length } L \implies \text{is-ladder } D L \implies \text{ladder-}\alpha \text{ a } (D @ D') L u = \text{ladder-}\alpha \text{ a } D L u$
(proof)

lemma *ladder-n-minus-1-bound*: $\text{is-ladder } D L \implies \text{index} \geq 1 \implies \text{index} < \text{length } L \implies \text{ladder-}n \text{ L } (\text{index} - \text{Suc } 0) < \text{length } D$
(proof)

lemma *LeftDerivationIntrosAt-ignore-appendix*:
assumes *is-ladder*: *is-ladder* $D L$
assumes *hyp*: *LeftDerivationIntrosAt* $a D L \text{ index}$
assumes *index-ge*: $\text{index} \geq 1$
assumes *index-less*: $\text{index} < \text{length } L$
shows *LeftDerivationIntrosAt* $a (D @ D') (L @ L') \text{ index}$
(proof)

lemma *ladder-i-eq-last-j*: $L \neq [] \implies \text{ladder-}i (L @ L') (\text{length } L) = \text{ladder-}last\text{-}j L$
(proof)

lemma *ladder-last-n-intro*: $L \neq [] \implies \text{ladder-}n L (\text{length } L - \text{Suc } 0) = \text{ladder-}last\text{-}n L$
(proof)

lemma *is-ladder-not-empty*: *is-ladder* $D L \implies L \neq []$
(proof)

lemma *last-ladder- γ* :
assumes *is-ladder*: *is-ladder* $D L$
assumes *ladder-last-n*: *ladder-last-n* $L = \text{length } D$
shows *ladder- γ* $a D L (\text{length } L - \text{Suc } 0) = \text{Derive } a D$
(proof)

lemma *ladder- α -full*:
assumes *is-ladder*: *is-ladder* $D L$
assumes *ladder-last-n*: *ladder-last-n* $L = \text{length } D$

shows ladder- α a ($D @ D'$) ($L @ L'$) ($\text{length } L$) = Derive a D
 $\langle \text{proof} \rangle$

lemma LeftDerivationIntro-implies-LeftDerivation:

LeftDerivationIntro $\alpha i r ix D j \gamma \implies$ LeftDerivation $\alpha ((i,r)\#D) \gamma$
 $\langle \text{proof} \rangle$

lemma LeftDerivationLadder-grow:

LeftDerivationLadder a $D L \alpha \implies$ ladder-last- $j L = i \implies$
 LeftDerivationIntro $\alpha i r ix E j \gamma \implies$
 LeftDerivationLadder a ($D @ [(i, r)] @ E$) ($L @ [\text{mk-deriv-intro } ix (\text{Suc } \text{length } D + \text{length } E)] j$) γ
 $\langle \text{proof} \rangle$

lemma LeftDerivationIntro-bounds-ij:

LeftDerivationIntro $\alpha i r ix D j \beta \implies i < \text{length } \alpha \wedge j < \text{length } \beta$
 $\langle \text{proof} \rangle$

theorem LeftDerivationLadder-exists: LeftDerivation a $D \gamma \implies$ is-sentence $\gamma \implies$
 $j < \text{length } \gamma \implies$

$\exists L.$ LeftDerivationLadder a $D L \gamma \wedge$ ladder-last- $j L = j$
 $\langle \text{proof} \rangle$

lemma LeftDerivationLadder-L-0:

assumes LeftDerivationLadder $\alpha D L \beta$
 assumes $\text{length } L = 1$
 shows $\exists i.$ LeftDerivationFix $\alpha i D (\text{ladder-last-}j L) \beta$
 $\langle \text{proof} \rangle$

lemma LeftDerivationFix-splits-at derives:

assumes LeftDerivationFix $a i D j b$
 shows $\exists U a1 a2 b1 b2.$ splits-at $a i a1 U a2 \wedge$ splits-at $b j b1 U b2 \wedge$
 $\text{derives } a1 b1 \wedge \text{derives } a2 b2$
 $\langle \text{proof} \rangle$

lemma LeftDerivation-append-suffix:

LeftDerivation a $D b \implies$ is-sentence $c \implies$ LeftDerivation $(a @ c) D (b @ c)$
 $\langle \text{proof} \rangle$

lemma LeftDerivation-impossible: LeftDerivation a $D b \implies i < \text{length } a \implies$
 $\text{is-nonterminal } (a ! i) \implies$ derivation-ge $D (\text{Suc } i) \implies D = []$
 $\langle \text{proof} \rangle$

lemma derivation-ge-shift: derivation-ge (derivation-shift $F 0 j) j$
 $\langle \text{proof} \rangle$

lemma LeftDerivationFix-splits-at-nonterminal:

assumes LeftDerivationFix $a i D j b$
 assumes is-nonterminal $(a ! i)$

shows $\exists U a1 a2 b1 . \text{splits-at } a i a1 U a2 \wedge \text{splits-at } b j b1 U a2 \wedge \text{LeftDerivation } a1 D b1$
 $\langle \text{proof} \rangle$

lemma *LeftDerivationIntro-implies-nonterminal*:
 $\text{LeftDerivationIntro } \alpha i (\text{snd } e) ix E j \gamma \implies \text{is-nonterminal } (\alpha ! i)$
 $\langle \text{proof} \rangle$

lemma *LeftDerivationIntrosAt-implies-nonterminal*:
 $\text{LeftDerivationIntrosAt } a D L \text{ index} \implies \text{is-nonterminal}((\text{ladder-}\alpha a D L \text{ index}) ! (\text{ladder-}\alpha L \text{ index}))$
 $\langle \text{proof} \rangle$

lemma *LeftDerivationIntro-examine-rule*:
 $\text{LeftDerivationIntro } \alpha i r ix D j \gamma \implies \text{splits-at } \alpha i \alpha1 M \alpha2 \implies$
 $\exists \eta. M = \text{fst } r \wedge \eta = \text{snd } r \wedge (M, \eta) \in \mathfrak{R}$
 $\langle \text{proof} \rangle$

lemma *LeftDerivation-skip-prefixword-ex*:
assumes $\text{LeftDerivation } (u @ v) D w$
assumes $\text{is-word } u$
shows $\exists w'. w = u @ w' \wedge \text{LeftDerivation } v (\text{derivation-shift } D (\text{length } u) 0) w'$
 $\langle \text{proof} \rangle$

definition *ladder-cut* :: $\text{ladder} \Rightarrow \text{nat} \Rightarrow \text{ladder}$
where $\text{ladder-cut } L n = (\text{let } i = \text{length } L - 1 \text{ in } L[i := (n, \text{snd } (L ! i))])$

fun *deriv-shift* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{deriv} \Rightarrow \text{deriv}$
where $\text{deriv-shift } dn dj (n, j, i) = (n - dn, j - dj, i)$

definition *ladder-shift* :: $\text{ladder} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{ladder}$
where $\text{ladder-shift } L dn dj = \text{map } (\text{deriv-shift } dn dj) L$

lemma *splits-at-append-suffix-prevails*:
assumes $\text{splits-at } (a @ b) i u N v$
assumes $i < \text{length } a$
shows $\exists v'. v = v' @ b \wedge a = u @ [N] @ v'$
 $\langle \text{proof} \rangle$

lemma *derivation-shift-right-left-cancel*:
 $\text{derivation-shift } (\text{derivation-shift } D 0 r) r 0 = D$
 $\langle \text{proof} \rangle$

lemma *derivation-shift-left-right-cancel*:
assumes $\text{derivation-ge } D r$
shows $\text{derivation-shift } (\text{derivation-shift } D r 0) 0 r = D$
 $\langle \text{proof} \rangle$

lemma *LeftDerivation-ge-take*:

```

assumes derivation-ge D k
assumes LeftDerivation a D b
assumes D ≠ []
shows take k a = take k b ∧ is-word (take k a)
⟨proof⟩

lemma LeftDerivationFix-splits-at-symbol:
assumes LeftDerivationFix a i D j b
shows ∃ U a1 a2 b1 b2 n. splits-at a i a1 U a2 ∧ splits-at b j b1 U b2 ∧
    n ≤ length D ∧ LeftDerivation a1 (take n D) b1 ∧ derivation-ge (drop n D)
    (Suc(length b1)) ∧
        LeftDerivation a2 (derivation-shift (drop n D) (Suc(length b1)) 0) b2 ∧
        (n = length D ∨ (n < length D ∧ is-word (b1@[U])))
⟨proof⟩

lemma LeftDerivation-breakdown': LeftDerivation (u @ v) D w ==>
    ∃ n w1 w2.
    n ≤ length D ∧
    w = w1 @ w2 ∧
    LeftDerivation u (take n D) w1 ∧
    derivation-ge (drop n D) (length w1) ∧
    LeftDerivation v (derivation-shift (drop n D) (length w1) 0) w2
⟨proof⟩

lemma LeftDerives1-append-replace-in-left:
assumes ld1: LeftDerives1 (α@δ) i r β
assumes i-bound: i < length α
shows ∃ α'. β = α'@δ ∧ LeftDerives1 α i r α' ∧ i + length (snd r) ≤ length α'
⟨proof⟩

lemma LeftDerivationIntro-propagate:
assumes intro: LeftDerivationIntro (α@δ) i r ix D j γ
assumes i-α: i < length α
assumes non: is-nonterminal (γ ! j)
shows ∃ ω. LeftDerivation α ((i,r) # D) ω ∧ γ = ω@δ ∧ j < length ω
⟨proof⟩

lemma LeftDerivationIntro-finish:
assumes intro: LeftDerivationIntro (α@δ) i r ix D j γ
assumes i-α: i < length α
shows ∃ k ω δ'.
    k ≤ length D ∧
    LeftDerivation α ((i, r) #(take k D)) ω ∧
    LeftDerivation (α @ δ) ((i, r) #(take k D)) (ω @ δ) ∧
    derivation-ge (drop k D) (length ω) ∧
    LeftDerivation δ (derivation-shift (drop k D) (length ω) 0) δ' ∧
    γ = ω @ δ' ∧ j < length ω
⟨proof⟩

```

lemma *LeftDerivationLadder-propagate*:

LeftDerivationLadder ($\alpha @ \delta$) $D L \gamma \implies \text{ladder-}i L 0 < \text{length } \alpha \implies n = \text{ladder-}n L \text{ index}$

$\implies \text{index} < \text{length } L \implies$

if ($\text{index} + 1 < \text{length } L$) *then*

$(\exists \beta. \text{LeftDerivation } \alpha (\text{take } n D) \beta \wedge \text{ladder-}\gamma (\alpha @ \delta) D L \text{ index} = \beta @ \delta \wedge \text{ladder-}j L \text{ index} < \text{length } \beta)$

else

$(\exists n' \beta \delta'. (\text{index} = 0 \vee \text{ladder-prev-}n L \text{ index} < n') \wedge n' \leq n \wedge \text{LeftDerivation } \alpha (\text{take } n' D) \beta \wedge$

$\text{LeftDerivation } (\alpha @ \delta) (\text{take } n' D) (\beta @ \delta) \wedge$

$\text{derivation-ge } (\text{drop } n' D) (\text{length } \beta) \wedge$

$\text{LeftDerivation } \delta (\text{derivation-shift } (\text{drop } n' D) (\text{length } \beta) 0) \delta' \wedge$

$\text{ladder-}\gamma (\alpha @ \delta) D L \text{ index} = \beta @ \delta' \wedge \text{ladder-}j L \text{ index} < \text{length } \beta)$

{proof}

lemma *ladder-i-of-cut-at-0*:

assumes *L-non-empty*: $L \neq []$

shows *ladder-*i** (*ladder-cut* $L n$) $0 = \text{ladder-}i L 0$

{proof}

lemma *ladder-last-j-of-cut*:

assumes *L-non-empty*: $L \neq []$

shows *ladder-last-j* (*ladder-cut* $L n$) $= \text{ladder-last-}j L$

{proof}

lemma *length-ladder-cut*:

assumes *L-non-empty*: $L \neq []$

shows *length* (*ladder-cut* $L n$) $= \text{length } L$

{proof}

lemma *ladder-last-n-of-cut*:

assumes *L-non-empty*: $L \neq []$

shows *ladder-last-n* (*ladder-cut* $L n$) $= n$

{proof}

lemma *ladder-n-of-cut*:

assumes *L-non-empty*: $L \neq []$

assumes *index* $< \text{length } L - 1$

shows *ladder-n* (*ladder-cut* $L n$) *index* $= \text{ladder-}n L \text{ index}$

{proof}

lemma *ladder-n-prev-bound*:

assumes *ladder*: *is-ladder* $D L$

assumes *u-bound*: $u < \text{length } L - 1$

shows *ladder-n* $L u \leq \text{ladder-prev-}n L (\text{length } L - 1)$

{proof}

lemma *ladder-n-last-is-length*:

```

assumes is-ladder D L
shows ladder-n L (length L - 1) = length D
⟨proof⟩

lemma derivation-ge-shift-implies-derivation-ge:
assumes dge: derivation-ge (derivation-shift F 0 j) k
shows derivation-ge F (k - j)
⟨proof⟩

lemma Derives1-bound': Derives1 a i r b  $\implies$  i ≤ length b
⟨proof⟩

lemma LeftDerivation-Derives1-last:
assumes LeftDerivation a D b
assumes D ≠ []
shows Derives1 (Derive a (take (length D - 1) D)) (fst (last D)) (snd (last D))
b
⟨proof⟩

lemma last-of-prefix-in-set:
assumes n < length E
assumes D = E@F
shows last E ∈ set (drop n D)
⟨proof⟩

lemma LeftDerivationFix-cut-appendix:
assumes ldfx: LeftDerivationFix (α@δ) i D j (β@δ')
assumes α-β: LeftDerivation α (take n D) β
assumes n-bound: n ≤ length D
assumes dge: derivation-ge (drop n D) (length β)
assumes i-in: i < length α
assumes j-in: j < length β
shows LeftDerivationFix α i (take n D) j β
⟨proof⟩

lemma LeftDerivationFix-cut-appendix':
assumes ldfx: LeftDerivationFix (α@δ) i D j (β@δ')
assumes α-β: LeftDerivation α D β
assumes i-in: i < length α
assumes j-in: j < length β
shows LeftDerivationFix α i D j β
⟨proof⟩

lemma LeftDerivationIntro-cut-appendix:
assumes ldfx: LeftDerivationIntro (α@δ) i r ix D j (β@δ')
assumes α-β: LeftDerivation α ((i,r)#[take n D]) β
assumes n-bound: n ≤ length D
assumes dge: derivation-ge (drop n D) (length β)
assumes i-in: i < length α

```

```

assumes j-in:  $j < \text{length } \beta$ 
shows LeftDerivationIntro  $\alpha i r ix (\text{take } n D) j \beta$ 
⟨proof⟩

lemma LeftDerivationIntro-cut-appendix':
assumes ldfix: LeftDerivationIntro  $(\alpha @ \delta) i r ix D j (\beta @ \delta')$ 
assumes α-β: LeftDerivation  $\alpha ((i, r) \# D) \beta$ 
assumes i-in:  $i < \text{length } \alpha$ 
assumes j-in:  $j < \text{length } \beta$ 
shows LeftDerivationIntro  $\alpha i r ix D j \beta$ 
⟨proof⟩

lemma ladder-n-monotone: is-ladder  $D L \implies u \leq v \implies v < \text{length } L \implies \text{ladder-}n L u \leq \text{ladder-}n L v$ 
⟨proof⟩

lemma ladder-i-cut:
assumes index-bound:  $\text{index} < \text{length } L$ 
shows ladder-i (ladder-cut  $L n$ ) index = ladder-i  $L \text{ index}$ 
⟨proof⟩

lemma ladder-j-cut:
assumes index-bound:  $\text{index} < \text{length } L$ 
shows ladder-j (ladder-cut  $L n$ ) index = ladder-j  $L \text{ index}$ 
⟨proof⟩

lemma ladder-ix-cut:
assumes index-lower-bound:  $\text{index} > 0$ 
assumes index-upper-bound:  $\text{index} < \text{length } L$ 
shows ladder-ix (ladder-cut  $L n$ ) index = ladder-ix  $L \text{ index}$ 
⟨proof⟩

lemma LeftDerivation-from-in-between:
assumes α-β: LeftDerivation  $\alpha (\text{take } u D) \beta$ 
assumes α-γ: LeftDerivation  $\alpha (\text{take } v D) \gamma$ 
assumes u-le-v:  $u \leq v$ 
shows LeftDerivation  $\beta (\text{drop } u (\text{take } v D)) \gamma$ 
⟨proof⟩

lemma LeftDerivationLadder-cut-appendix-helper:
assumes LDladder: LeftDerivationLadder  $(\alpha @ \delta) D L \gamma$ 
assumes ladder-i-in-α: ladder-i  $L 0 < \text{length } \alpha$ 
shows  $\exists E F \gamma_1 \gamma_2 L'. D = E @ F \wedge \gamma = \gamma_1 @ \gamma_2 \wedge$ 
 $\text{LeftDerivationLadder } \alpha E L' \gamma_1 \wedge$ 
 $\text{derivation-ge } F (\text{length } \gamma_1) \wedge$ 
 $\text{LeftDerivation } \delta (\text{derivation-shift } F (\text{length } \gamma_1) 0) \gamma_2 \wedge$ 
 $L' = \text{ladder-cut } L (\text{length } E)$ 
⟨proof⟩

```

```

theorem LeftDerivationLadder-cut-appendix:
  assumes LDladder: LeftDerivationLadder ( $\alpha @ \delta$ ) D L  $\gamma$ 
  assumes ladder-i-in- $\alpha$ : ladder-i L 0 < length  $\alpha$ 
  shows  $\exists E F \gamma_1 \gamma_2 L'. D = E @ F \wedge$ 
     $\gamma = \gamma_1 @ \gamma_2 \wedge$ 
    LeftDerivationLadder  $\alpha E L' \gamma_1 \wedge$ 
    derivation-ge F (length  $\gamma_1$ )  $\wedge$ 
    LeftDerivation  $\delta$  (derivation-shift F (length  $\gamma_1$ ) 0)  $\gamma_2 \wedge$ 
    length L' = length L  $\wedge$  ladder-i L' 0 = ladder-i L 0  $\wedge$ 
    ladder-last-j L' = ladder-last-j L
  ⟨proof⟩

definition ladder-stepdown-diff :: ladder  $\Rightarrow$  nat where
  ladder-stepdown-diff L = Suc (ladder-n L 0)

definition ladder-stepdown- $\alpha$ -0 :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  ladder  $\Rightarrow$  sentence
where
  ladder-stepdown- $\alpha$ -0 a D L = Derive a (take (ladder-stepdown-diff L) D)

lemma LeftDerivationIntro-LeftDerives1:
  assumes LeftDerivationIntro  $\alpha i r ix D j \gamma$ 
  assumes splits-at  $\alpha i a_1 A a_2$ 
  shows LeftDerives1  $\alpha i r (a_1 @ (\text{snd } r) @ a_2)$ 
⟨proof⟩

lemma LeftDerives1-Derive:
  assumes LeftDerives1  $\alpha i r \gamma$ 
  shows Derive  $\alpha [(i, r)] = \gamma$ 
⟨proof⟩

lemma ladder-stepdown- $\alpha$ -0-altdef:
  assumes ladder: LeftDerivationLadder  $\alpha D L \gamma$ 
  assumes length-L: length L > 1
  assumes split: splits-at (ladder- $\alpha$   $\alpha D L 1$ ) (ladder-i L 1) a1 A a2
  shows ladder-stepdown- $\alpha$ -0  $\alpha D L = a_1 @ (\text{snd } (\text{snd } (D ! (\text{ladder-n } L 0)))) @ a_2$ 
⟨proof⟩

lemma ladder-i-0-bound:
  assumes ld: LeftDerivationLadder  $\alpha D L \gamma$ 
  shows ladder-i L 0 < length  $\alpha$ 
⟨proof⟩

lemma ladder-j-bound:
  assumes ld: LeftDerivationLadder  $\alpha D L \gamma$ 
  assumes index-bound: index < length L
  shows ladder-j L index < length (ladder- $\gamma$   $\alpha D L$  index)
⟨proof⟩

```

```

lemma ladder-last-j-bound:
  assumes ld: LeftDerivationLadder  $\alpha$  D L  $\gamma$ 
  shows ladder-last-j L < length  $\gamma$ 
   $\langle proof \rangle$ 

fun ladder-shift-n :: nat  $\Rightarrow$  ladder  $\Rightarrow$  ladder where
  ladder-shift-n N [] = []
  | ladder-shift-n N ((n, j, i) # L) = ((n - N, j, i) # (ladder-shift-n N L))

fun ladder-stepdown :: ladder  $\Rightarrow$  ladder
where
  ladder-stepdown [] = undefined
  | ladder-stepdown [v] = undefined
  | ladder-stepdown ((n0, j0, i0) # (n1, j1, ix1) # L) =
    (n1 - Suc n0, j1, j0 + ix1) # (ladder-shift-n (Suc n0) L)

lemma ladder-shift-n-length:
  length (ladder-shift-n N L) = length L
   $\langle proof \rangle$ 

lemma ladder-stepdown-prepare:
  assumes length L > 1
  shows L = (ladder-n L 0, ladder-j L 0, ladder-i L 0) #
    (ladder-n L 1, ladder-j L 1, ladder-ix L 1) # (drop 2 L)
   $\langle proof \rangle$ 

lemma ladder-stepdown-length:
  assumes length L > 1
  shows length (ladder-stepdown L) = length L - 1
   $\langle proof \rangle$ 

lemma ladder-stepdown-i-0:
  assumes length L > 1
  shows ladder-i (ladder-stepdown L) 0 = ladder-i L 1 + ladder-ix L 1
   $\langle proof \rangle$ 

lemma ladder-shift-n-cons: ladder-shift-n N (x # L) = (fst x - N, snd x) # (ladder-shift-n N L)
   $\langle proof \rangle$ 

lemma ladder-shift-n-drop: ladder-shift-n N (drop n L) = drop n (ladder-shift-n N L)
   $\langle proof \rangle$ 

lemma drop-2-shift:
  assumes index > 0
  assumes length L > 1
  shows drop 2 L ! (index - Suc 0) = L ! Suc index

```

$\langle proof \rangle$

lemma ladder-shift-n-at:

assumes index < length L \implies (ladder-shift-n N L) ! index = (fst (L ! index) - N, snd (L ! index))
 $\langle proof \rangle$

lemma ladder-stepdown-j:

assumes length-L-greater-1: length L > 1
 assumes L': L' = ladder-stepdown L
 assumes index-bound: index < length L'
 shows ladder-j L' index = ladder-j L (Suc index)
 $\langle proof \rangle$

lemma ladder-stepdown-last-j:

assumes length-L-greater-1: length L > 1
 shows ladder-last-j (ladder-stepdown L) = ladder-last-j L
 $\langle proof \rangle$

lemma ladder-stepdown-n:

assumes length-L-greater-1: length L > 1
 assumes L': L' = ladder-stepdown L
 assumes index-bound: index < length L'
 shows ladder-n L' index = ladder-n L (Suc index) - ladder-stepdown-diff L
 $\langle proof \rangle$

lemma ladder-stepdown-ix:

assumes length-L-greater-1: length L > 1
 assumes L': L' = ladder-stepdown L
 assumes index-lower-bound: 0 < index
 assumes index-upper-bound: index < length L'
 shows ladder-ix L' index = ladder-ix L (Suc index)
 $\langle proof \rangle$

lemma Derive-Derive:

assumes Derivation α (D@E) γ
 shows Derive (Derive α D) E = Derive α (D@E)
 $\langle proof \rangle$

lemma drop-at-shift:

assumes $n \leq$ index
 assumes index < length D
 shows drop n D ! (index - n) = D ! index
 $\langle proof \rangle$

theorem LeftDerivationLadder-stepdown:

assumes ldl: LeftDerivationLadder α D L γ
 assumes length-L: length L > 1
 shows \exists L'. LeftDerivationLadder (ladder-stepdown- α -0 α D L) (drop (ladder-stepdown-diff

$L) D)$
 $L' \gamma \wedge \text{length } L' = \text{length } L - 1 \wedge \text{ladder-}i\ L' 0 = \text{ladder-}i\ L 1 + \text{ladder-}ix$
 $L 1 \wedge$
 $\text{ladder-}last\text{-}j\ L' = \text{ladder-}last\text{-}j\ L$
 $\langle proof \rangle$

fun $\text{ladder-}shift\text{-}j :: \text{nat} \Rightarrow \text{ladder} \Rightarrow \text{ladder}$ **where**
 $\text{ladder-}shift\text{-}j d [] = []$
 $| \text{ladder-}shift\text{-}j d ((n, j, i)\#L) = ((n, j - d, i)\#(\text{ladder-}shift\text{-}j d L))$

definition $\text{ladder-}cut\text{-}prefix :: \text{nat} \Rightarrow \text{ladder} \Rightarrow \text{ladder}$
where
 $\text{ladder-}cut\text{-}prefix d L =$
 $(\text{ladder-}shift\text{-}j d L)[0 := (\text{ladder-}n L 0, \text{ladder-}j L 0 - d, \text{ladder-}i L 0 - d)]$

lemma $\text{ladder-}shift\text{-}j\text{-length}:$
 $\text{length } (\text{ladder-}shift\text{-}j d L) = \text{length } L$
 $\langle proof \rangle$

lemma $\text{ladder-}cut\text{-}prefix\text{-length}:$
shows $\text{length } (\text{ladder-}cut\text{-}prefix d L) = \text{length } L$
 $\langle proof \rangle$

lemma $\text{ladder-}shift\text{-}j\text{-cons}:$ $\text{ladder-}shift\text{-}j d (x\#L) = (\text{fst } x, \text{fst } (\text{snd } x) - d, \text{snd } (\text{snd } x))\#$
 $(\text{ladder-}shift\text{-}j d L)$
 $\langle proof \rangle$

lemma $\text{deriv-}j\text{-ladder-}shift\text{-}j:$
 $\text{index} < \text{length } L \implies \text{deriv-}j\ (\text{ladder-}shift\text{-}j d L ! \text{index}) = \text{deriv-}j\ (L ! \text{index}) - d$
 $\langle proof \rangle$

lemma $\text{deriv-}n\text{-ladder-}shift\text{-}j:$
 $\text{index} < \text{length } L \implies \text{deriv-}n\ (\text{ladder-}shift\text{-}j d L ! \text{index}) = \text{deriv-}n\ (L ! \text{index})$
 $\langle proof \rangle$

lemma $\text{deriv-}ix\text{-ladder-}shift\text{-}j:$
 $\text{index} < \text{length } L \implies \text{deriv-}ix\ (\text{ladder-}shift\text{-}j d L ! \text{index}) = \text{deriv-}ix\ (L ! \text{index})$
 $\langle proof \rangle$

lemma $\text{ladder-}cut\text{-}prefix\text{-}j:$
assumes $\text{index-bound}: \text{index} < \text{length } L$
assumes $\text{length-}L: \text{length } L > 0$
shows $\text{ladder-}j\ (\text{ladder-}cut\text{-}prefix d L) \text{ index} = \text{ladder-}j\ L \text{ index} - d$
 $\langle proof \rangle$

lemma $\text{hd-}0\text{-subst}:$ $\text{length } L > 0 \implies \text{hd } (L [0 := x]) = x$
 $\langle proof \rangle$

```

lemma ladder-cut-prefix-i:
  assumes index-bound:  $\text{index} < \text{length } L$ 
  assumes length-L:  $\text{length } L > 0$ 
  shows ladder-i (ladder-cut-prefix d L)  $\text{index} = \text{ladder-i } L \text{ index} - d$ 
  ⟨proof⟩

lemma ladder-cut-prefix-n:
  assumes index-bound:  $\text{index} < \text{length } L$ 
  assumes length-L:  $\text{length } L > 0$ 
  shows ladder-n (ladder-cut-prefix d L)  $\text{index} = \text{ladder-n } L \text{ index}$ 
  ⟨proof⟩

lemma ladder-cut-prefix-ix:
  assumes index-bound:  $\text{index} < \text{length } L$ 
  assumes length-L:  $\text{length } L > 0$ 
  shows ladder-ix (ladder-cut-prefix d L)  $\text{index} = \text{ladder-ix } L \text{ index}$ 
  ⟨proof⟩

lemma LeftDerivationFix-derivation-ge-is-nonterminal:
  assumes ldfix: LeftDerivationFix  $\alpha i D j \gamma$ 
  assumes derivation-ge-d: derivation-ge D d
  assumes is-nonterminal: is-nonterminal ( $\gamma ! j$ )
  shows ( $D = [] \wedge \alpha = \gamma \wedge i = j$ )  $\vee (i > d \wedge j \geq d)$ 
  ⟨proof⟩

lemma LeftDerivationFix-derivation-ge:
  assumes ldfix: LeftDerivationFix  $\alpha i D j \gamma$ 
  assumes derivation-ge-d: derivation-ge D d
  shows  $i = j \vee (i > d \wedge j \geq d)$ 
  ⟨proof⟩

lemma LeftDerivationIntro-derivation-ge:
  assumes ldintro: LeftDerivationIntro  $\alpha i r ix D j \gamma$ 
  assumes i-ge-d:  $i \geq d$ 
  assumes derivation-ge-d: derivation-ge D d
  shows  $j \geq d$ 
  ⟨proof⟩

lemma derivation-ge-LeftDerivationLadder:
  assumes derivation-ge-d: derivation-ge D d
  assumes ladder: LeftDerivationLadder  $\alpha D L \gamma$ 
  assumes ladder-i-0: ladder-i L 0  $\geq d$ 
  shows  $\text{index} < \text{length } L \implies \text{ladder-i } L \text{ index} \geq d \wedge \text{ladder-j } L \text{ index} \geq d$ 
  ⟨proof⟩

lemma derivation-shift-append:
  derivation-shift (A@B) left right =
    (derivation-shift A left right) @ (derivation-shift B left right)

```

$\langle proof \rangle$

lemma derivation-shift-right-left-subtract:
 $right \geq left \implies derivation-shift (derivation-shift L 0 right) left 0 =$
 $derivation-shift L 0 (right - left)$
 $\langle proof \rangle$

lemma LeftDerivationFix-cut-prefix:
assumes LeftDerivationFix ($\delta @ \alpha$) $i D j \gamma$
assumes derivation-ge D (length δ)
assumes $i \geq$ length δ
assumes is-word- δ : is-word δ
shows $\exists \gamma'. \gamma = \delta @ \gamma' \wedge$
 $LeftDerivationFix \alpha (i - \text{length } \delta) (derivation-shift D (\text{length } \delta) 0) (j - \text{length } \delta) \gamma'$
 $\langle proof \rangle$

lemma LeftDerives1-propagate-prefix:
 $LeftDerives1 (\delta @ \alpha) i r \beta \implies i \geq \text{length } \delta \implies \text{is-prefix } \delta \beta$
 $\langle proof \rangle$

lemma LeftDerivationIntro-cut-prefix:
assumes LeftDerivationIntro ($\delta @ \alpha$) $i r ix D j \gamma$
assumes derivation-ge D (length δ)
assumes $i \geq$ length δ
assumes is-word- δ : is-word δ
shows $\exists \gamma'. \gamma = \delta @ \gamma' \wedge$
 $LeftDerivationIntro \alpha (i - \text{length } \delta) r ix (derivation-shift D (\text{length } \delta) 0) (j - \text{length } \delta) \gamma'$
 $\langle proof \rangle$

lemma LeftDerivationLadder-implies-LeftDerivation-at-index:
assumes LeftDerivationLadder $\alpha D L \gamma$
assumes index < length L
shows LeftDerivation $\alpha (\text{take} (\text{ladder-}n L \text{ index}) D) (\text{ladder-} \gamma \alpha D L \text{ index})$
 $\langle proof \rangle$

lemma LeftDerivationLadder-cut-prefix-propagate:
assumes ladder: LeftDerivationLadder ($\delta @ \alpha$) $D L \gamma$
assumes is-word- δ : is-word δ
assumes derivation-ge- δ : derivation-ge D (length δ)
assumes ladder-i-0: ladder-i $L 0 \geq$ length δ
assumes $L': L' = ladder-cut-prefix (\text{length } \delta) L$
assumes $D': D' = derivation-shift D (\text{length } \delta) 0$
shows index < length $L \implies$
 $LeftDerivation \alpha (\text{take} (\text{ladder-}n L' \text{ index}) D') (\text{ladder-} \gamma \alpha D' L' \text{ index}) \wedge$
 $\text{ladder-} \alpha (\delta @ \alpha) D L \text{ index} = \delta @ (\text{ladder-} \alpha \alpha D' L' \text{ index}) \wedge$
 $\text{ladder-} \gamma (\delta @ \alpha) D L \text{ index} = \delta @ (\text{ladder-} \gamma \alpha D' L' \text{ index})$
 $\langle proof \rangle$

```

theorem LeftDerivationLadder-cut-prefix:
  assumes ladder: LeftDerivationLadder ( $\delta @ \alpha$ ) D L  $\gamma$ 
  assumes is-word- $\delta$ : is-word  $\delta$ 
  assumes ladder-i-0: ladder-i L 0  $\geq$  length  $\delta$ 
  shows  $\exists D' L' \gamma'. \gamma = \delta @ \gamma' \wedge$ 
    LeftDerivationLadder  $\alpha D' L' \gamma' \wedge$ 
     $D' = \text{derivation-shift } D (\text{length } \delta) 0 \wedge$ 
    length  $L' = \text{length } L \wedge \text{ladder-i } L' 0 + \text{length } \delta = \text{ladder-i } L 0 \wedge$ 
    ladder-last-j  $L' + \text{length } \delta = \text{ladder-last-j } L$ 
   $\langle proof \rangle$ 

end

end
theory TheoremD10
imports TheoremD9 Ladder
begin

context LocalLexing begin

lemma  $\mathcal{P}$ -wellformed:  $p \in \mathcal{P} k u \implies \text{wellformed-tokens } p$ 
 $\langle proof \rangle$ 

lemma  $\mathcal{X}$ -token-length:  $t \in \mathcal{X} k \implies k + \text{length } (\text{chars-of-token } t) \leq \text{length } \text{Doc}$ 
 $\langle proof \rangle$ 

lemma mono-Scan: mono (Scan T k)
 $\langle proof \rangle$ 

lemma  $\pi$ -apply-setmonotone:  $x \in I \implies x \in \pi k T I$ 
 $\langle proof \rangle$ 

lemma Scan-apply-setmonotone:  $x \in I \implies x \in \text{Scan } T k I$ 
 $\langle proof \rangle$ 

lemma leftderives-padfront:
  assumes leftderives  $\alpha \beta$ 
  assumes is-word u
  shows leftderives  $(u @ \alpha) (u @ \beta)$ 
 $\langle proof \rangle$ 

lemma leftderives-padback:
  assumes leftderives  $\alpha \beta$ 
  assumes is-sentence u
  shows leftderives  $(\alpha @ u) (\beta @ u)$ 
 $\langle proof \rangle$ 

```

```

lemma leftderives-pad:
  assumes  $\alpha\text{-}\beta$ : leftderives  $\alpha \beta$ 
  assumes is-word: is-word  $u$ 
  assumes is-sentence: is-sentence  $v$ 
  shows leftderives  $(u@\alpha@v) (u@\beta@v)$ 
  ⟨proof⟩

lemma leftderives-rule:
  assumes  $(N, w) \in \mathfrak{N}$ 
  shows leftderives  $[N] w$ 
  ⟨proof⟩

lemma leftderives-rule-step:
  assumes ld: leftderives  $a (u@[N]@v)$ 
  assumes rule:  $(N, w) \in \mathfrak{R}$ 
  assumes is-word: is-word  $u$ 
  assumes is-sentence: is-sentence  $v$ 
  shows leftderives  $a (u@w@v)$ 
  ⟨proof⟩

lemma leftderives-trans-step:
  assumes ld: leftderives  $a (u@b@v)$ 
  assumes rule: leftderives  $b c$ 
  assumes is-word: is-word  $u$ 
  assumes is-sentence: is-sentence  $v$ 
  shows leftderives  $a (u@c@v)$ 
  ⟨proof⟩

lemma charslength-of-prefix:
  assumes is-prefix  $a b$ 
  shows charslength  $a \leq$  charslength  $b$ 
  ⟨proof⟩

lemma item-rhs-simp[simp]: item-rhs  $(Item (N, \alpha) d i j) = \alpha$ 
  ⟨proof⟩

definition Prefixes :: 'a list  $\Rightarrow$  'a list set
where
  Prefixes  $p = \{ q . \text{is-prefix } q p \}$ 

lemma  $\mathfrak{P}$ -wellformed:  $p \in \mathfrak{P} \implies \text{wellformed-tokens } p$ 
  ⟨proof⟩

lemma Prefixes-reflexive[simp]:  $p \in \text{Prefixes } p$ 
  ⟨proof⟩

lemma Prefixes-is-prefix:  $q \in \text{Prefixes } p = \text{is-prefix } q p$ 
  ⟨proof⟩

```

lemma prefixes-are-paths': $p \in \mathfrak{P} \Rightarrow \text{is-prefix } q \ p \Rightarrow q \in \mathfrak{P}$
 $\langle \text{proof} \rangle$

lemma thmD10-ladder:

```

 $p \in \mathfrak{P} \Rightarrow$ 
 $\text{charslength } p = k \Rightarrow$ 
 $X \in T \Rightarrow$ 
 $T \subseteq \mathcal{X} \ k \Rightarrow$ 
 $(N, \alpha @ \beta) \in \mathfrak{R} \Rightarrow$ 
 $r \leq \text{length } p \Rightarrow$ 
 $\text{leftderives } [\mathfrak{S}] ((\text{terminals } (\text{take } r \ p)) @ [N] @ \gamma) \Rightarrow$ 
 $\text{LeftDerivationLadder } \alpha \ D \ L \ (\text{terminals } ((\text{drop } r \ p) @ [X])) \Rightarrow$ 
 $\text{ladder-last-j } L = \text{length } (\text{drop } r \ p) \Rightarrow$ 
 $k' = k + \text{length } (\text{chars-of-token } X) \Rightarrow$ 
 $x = \text{Item } (N, \alpha @ \beta) (\text{length } \alpha) (\text{charslength } (\text{take } r \ p)) \ k' \Rightarrow$ 
 $I = \text{items-le } k' (\pi \ k' \ \{\}) (\text{Scan } T \ k \ (\text{Gen } (\text{Prefixes } p)))$ 
 $\Rightarrow x \in I$ 
 $\langle \text{proof} \rangle$ 
```

theorem thmD10:

```

assumes  $p\text{-dom: } p \in \mathfrak{P}$ 
assumes  $p\text{-charslength: } \text{charslength } p = k$ 
assumes  $X\text{-dom: } X \in T$ 
assumes  $T\text{-dom: } T \subseteq \mathcal{X} \ k$ 
assumes rule-dom:  $(N, \alpha @ \beta) \in \mathfrak{R}$ 
assumes  $r: r \leq \text{length } p$ 
assumes leftderives-start:  $\text{leftderives } [\mathfrak{S}] ((\text{terminals } (\text{take } r \ p)) @ [N] @ \gamma)$ 
assumes leftderives- $\alpha$ :  $\text{leftderives } \alpha \ (\text{terminals } ((\text{drop } r \ p) @ [X]))$ 
assumes  $k': k' = k + \text{length } (\text{chars-of-token } X)$ 
assumes item-def:  $x = \text{Item } (N, \alpha @ \beta) (\text{length } \alpha) (\text{charslength } (\text{take } r \ p)) \ k'$ 
assumes  $I: I = \text{items-le } k' (\pi \ k' \ \{\}) (\text{Scan } T \ k \ (\text{Gen } (\text{Prefixes } p)))$ 
shows  $x \in I$ 
 $\langle \text{proof} \rangle$ 
```

end

end

theory TheoremD11
imports TheoremD10
begin

context LocalLexing **begin**

lemma LeftDerivationLadder-length-1:
assumes ladder: $\text{LeftDerivationLadder } \alpha \ D \ L \ \gamma$
assumes singleton-L: $\text{length } L = 1$
shows $\text{LeftDerivationFix } \alpha \ (\text{ladder-i } L \ 0) \ D \ (\text{ladder-last-j } L) \ \gamma$
 $\langle \text{proof} \rangle$

```

lemma LeftDerivationFix-from-singleton-helper:
  assumes LeftDerivationFix [A] 0 D (length u) (u @ [B] @ v)
  shows D = []
  ⟨proof⟩

lemma LeftDerivationFix-from-singleton:
  assumes LeftDerivationFix [A] i D j γ
  shows D = []
  ⟨proof⟩

lemma LeftDerivationLadder-ladder-γ-last:
  assumes LeftDerivationLadder α D L γ
  shows γ = ladder-γ α D L (length L - 1)
  ⟨proof⟩

theorem thmD11-helper:
  p ∈ ℙ ==>
  charslength p = k ==>
  X ∈ T ==>
  T ⊆ ℳ k ==>
  q = p @ [X] ==>
  (N, α@β) ∈ ℜ ==>
  r ≤ length q ==>
  LeftDerivation [S] D ((terminals (take r q))@[N]@γ) ==>
  leftderives α (terminals (drop r q)) ==>
  k' = k + length (chars-of-token X) ==>
  x = Item (N, α@β) (length α) (charslength (take r q)) k' ==>
  I = items-le k' (π k' {} (Scan T k (Gen (Prefixes p)))) ==>
  x ∈ I
  ⟨proof⟩

theorem thmD11:
  assumes p-dom: p ∈ ℙ
  assumes p-charslength: charslength p = k
  assumes X-dom: X ∈ T
  assumes T-dom: T ⊆ ℳ k
  assumes q-def: q = p @ [X]
  assumes rule-dom: (N, α@β) ∈ ℜ
  assumes r: r ≤ length q
  assumes leftderives-start: leftderives [S] ((terminals (take r q))@[N]@γ)
  assumes leftderives-α: leftderives α (terminals (drop r q))
  assumes k': k' = k + length (chars-of-token X)
  assumes item-def: x = Item (N, α@β) (length α) (charslength (take r q)) k'
  assumes I: I = items-le k' (π k' {} (Scan T k (Gen (Prefixes p))))
  shows x ∈ I
  ⟨proof⟩

end

```

```

end
theory TheoremD12
imports TheoremD11
begin

context LocalLexing begin

lemma charslength-appendix-is-empty:
  charslength (p@ts) = charslength p  $\Rightarrow$  ( $\bigwedge t. t \in set ts \Rightarrow chars\text{-of}\text{-token } t = []$ )
   $\langle proof \rangle$ 

lemma empty-tokens-have-charslength-0:
  ( $\bigwedge t. t \in set ts \Rightarrow chars\text{-of}\text{-token } t = []$ )  $\Rightarrow$  charslength ts = 0
   $\langle proof \rangle$ 

lemma pi-idempotent':  $\pi k \{ \} (\pi k T I) = \pi k T I$ 
   $\langle proof \rangle$ 

theorem thmD12:
  assumes induct: items-le k (J k u) = Gen (paths-le k (P k u))
  assumes induct-tokens: T k u = Z k u
  shows items-le k (J k (Suc u))  $\supseteq$  Gen (paths-le k (P k (Suc u)))
   $\langle proof \rangle$ 

end

end
theory TheoremD13
imports TheoremD12
begin

context LocalLexing begin

lemma pointwise-natUnion-swap:
  assumes pointwise-f: pointwise f
  shows f (natUnion G) = natUnion ( $\lambda u. f (G u)$ )
   $\langle proof \rangle$ 

lemma pointwise-Gen: pointwise Gen
   $\langle proof \rangle$ 

lemma thmD13-part1:
  assumes start: items-le k (J k 0) = Gen (paths-le k (P k 0))
  assumes valid-k: k  $\leq$  length Doc
  shows items-le k (J k u) = Gen (paths-le k (P k u))  $\wedge$  T k u = Z k u
   $\langle proof \rangle$ 

lemma thmD13-part2:

```

```

assumes start: items-le k ( $\mathcal{J}$  k 0) = Gen (paths-le k ( $\mathcal{P}$  k 0))
assumes valid-k:  $k \leq \text{length } \text{Doc}$ 
shows items-le k ( $\mathcal{I}$  k) = Gen (paths-le k ( $\mathcal{Q}$  k))
⟨proof⟩

theorem thmD13:
assumes start: items-le k ( $\mathcal{J}$  k 0) = Gen (paths-le k ( $\mathcal{P}$  k 0))
assumes valid-k:  $k \leq \text{length } \text{Doc}$ 
shows items-le k ( $\mathcal{J}$  k u) = Gen (paths-le k ( $\mathcal{P}$  k u))  $\wedge$   $\mathcal{T}$  k u =  $\mathcal{Z}$  k u
 $\wedge$  items-le k ( $\mathcal{I}$  k) = Gen (paths-le k ( $\mathcal{Q}$  k))
⟨proof⟩

end

end
theory TheoremD14
imports TheoremD13
begin

context LocalLexing begin

lemma empty-tokens-of-empty[simp]: empty-tokens {} = {}
⟨proof⟩

lemma items-le-split-via-eq: items-le (Suc k) J = items-le k J  $\cup$  items-eq (Suc k)
J
⟨proof⟩

lemma paths-le-split-via-eq: paths-le (Suc k) P = paths-le k P  $\cup$  paths-eq (Suc k)
P
⟨proof⟩

lemma natUnion-superset:
shows g i ⊆ natUnion g
⟨proof⟩

definition indexle :: nat ⇒ nat ⇒ nat ⇒ nat ⇒ bool where
indexle k' u' k u = ((indexlt k' u' k u)  $\vee$  (k' = k  $\wedge$  u' = u))

definition produced-by-scan-step :: item ⇒ nat ⇒ nat ⇒ bool where
produced-by-scan-step x k u = ( $\exists$  k' u' y X. indexle k' u' k u  $\wedge$  y ∈  $\mathcal{J}$  k' u'  $\wedge$ 
item-end y = k'  $\wedge$  X ∈ ( $\mathcal{T}$  k' u')  $\wedge$  x = inc-item y (k' + length (chars-of-token
X))  $\wedge$ 
next-symbol y = Some (terminal-of-token X))

lemma indexle-trans: indexle k'' u'' k' u'  $\implies$  indexle k' u' k u  $\implies$  indexle k'' u''  

k u
⟨proof⟩

```

```

lemma produced-by-scan-step-trans:
  assumes indexle k' u' k u
  assumes produced-by-scan-step x k' u'
  shows produced-by-scan-step x k u
  ⟨proof⟩

lemma  $\mathcal{J}$ -induct[consumes 1, case-names Induct]:
  assumes  $x \in \mathcal{J} k u$ 
  assumes induct:  $\bigwedge x k u . (\bigwedge x' k' u'. x' \in \mathcal{J} k' u' \Rightarrow \text{indexlt } k' u' k u \Rightarrow P x' k' u')$ 
   $\qquad\qquad\qquad \Rightarrow x \in \mathcal{J} k u \Rightarrow P x k u$ 
  shows  $P x k u$ 
  ⟨proof⟩

lemma π-no-tokens-item-end:
  assumes x-in-π:  $x \in \pi k \{\} I$ 
  shows item-end x = k ∨ x ∈ I
  ⟨proof⟩

lemma natUnion-ex:  $x \in \text{natUnion } f \Rightarrow \exists i. x \in f i$ 
  ⟨proof⟩

lemma locate-in-limit:
  assumes x-in-limit:  $x \in \text{limit } f X$ 
  assumes x-notin-X:  $x \notin X$ 
  shows  $\exists n. x \in \text{funpower } f (\text{Suc } n) X \wedge x \notin \text{funpower } f n X$ 
  ⟨proof⟩

lemma produced-by-scan-step:
   $x \in \mathcal{J} k u \Rightarrow \text{item-end } x > k \Rightarrow \text{produced-by-scan-step } x k u$ 
  ⟨proof⟩

lemma limit-single-step:
  assumes  $x \in f X$ 
  shows  $x \in \text{limit } f X$ 
  ⟨proof⟩

lemma Gen-union:  $\text{Gen } (A \cup B) = \text{Gen } A \cup \text{Gen } B$ 
  ⟨proof⟩

lemma is-prefix-Prefixes-subset:
  assumes is-prefix q p
  shows Prefixes q ⊆ Prefixes p
  ⟨proof⟩

lemma Prefixes-subset- $\mathcal{P}$ :
  assumes  $p \in \mathcal{P} k u$ 
  shows Prefixes p ⊆  $\mathcal{P} k u$ 
  ⟨proof⟩

```

lemma *Prefixes-subset-paths-le*:
assumes *Prefixes p ⊆ P*
shows *Prefixes p ⊆ paths-le (charslength p) P*
(proof)

lemma *Scan-J-subset-J*:
Scan (T k (Suc u)) k (J k u) ⊆ J k (Suc u)
(proof)

lemma *subset-Jk*: $u \leq v \implies J k u \subseteq J k v$
thm *J-subset-Suc-u*
(proof)

lemma *subset-JIk*: $J k u \subseteq I k$ *(proof)*

lemma *subset-IJSuc*: $I k \subseteq J (Suc k) u$
(proof)

lemma *subset-IISuc*: $I k \subseteq I (Suc k)$
(proof)

lemma *subset-I*: $i \leq j \implies I i \subseteq I j$
(proof)

lemma *subset-J* :
assumes *leq: k' < k ∨ (k' = k ∧ u' ≤ u)*
shows *J k' u' ⊆ J k u*
(proof)

lemma *J-subset*:
assumes *indexle k' u' k u*
shows *J k' u' ⊆ J k u*
(proof)

lemma *Scan-items-le*:
assumes *bounded-T: ∏ t . t ∈ T ⇒ length (chars-of-token t) ≤ l*
shows *Scan T k (items-le k P) ⊆ items-le (k + l) (Scan T k P)*
(proof)

lemma *Scan-mono-tokens*:
P ⊆ Q ⇒ Scan P k I ⊆ Scan Q k I
(proof)

theorem *thmD14*: $k \leq \text{length Doc} \implies \text{items-le k (J k u)} = \text{Gen (paths-le k (P k u))} \wedge \text{T k u} = \mathcal{Z} k u$
 $\wedge \text{items-le k (I k)} = \text{Gen (paths-le k (Q k))}$
(proof)

```

end

end
theory PathLemmas
imports TheoremD14
begin

context LocalLexing begin

lemma characterize- $\mathcal{P}$ :
   $(\forall i < \text{length } p. \exists u. p ! i \in \mathcal{Z} (\text{charslength} (\text{take } i p)) u) \implies \text{admissible } p \implies$ 
   $\exists u. p \in \mathcal{P} (\text{charslength } p) u$ 
   $\langle \text{proof} \rangle$ 

lemma drop-empty-tokens:
  assumes  $p: p \in \mathfrak{P}$ 
  assumes  $r: r \leq \text{length } p$ 
  assumes empty:  $\text{charslength} (\text{take } r p) = 0$ 
  assumes admissible:  $\text{admissible} (\text{drop } r p)$ 
  shows  $\text{drop } r p \in \mathfrak{P}$ 
   $\langle \text{proof} \rangle$ 

end

end
theory MainTheorems
imports PathLemmas
begin

context LocalLexing begin

theorem  $\mathfrak{I}$ -is-generated-by- $\mathfrak{P}$ :  $\mathfrak{I} = \text{Gen } \mathfrak{P}$ 
   $\langle \text{proof} \rangle$ 

definition finished-item :: symbol list  $\Rightarrow$  item
where
  finished-item  $\alpha = \text{Item } (\mathfrak{S}, \alpha) (\text{length } \alpha) 0 (\text{length } \text{Doc})$ 

lemma item-rule-finished-item[simp]: item-rule (finished-item  $\alpha$ ) =  $(\mathfrak{S}, \alpha)$ 
   $\langle \text{proof} \rangle$ 

lemma item-origin-finished-item[simp]: item-origin (finished-item  $\alpha$ ) = 0
   $\langle \text{proof} \rangle$ 

lemma item-end-finished-item[simp]: item-end (finished-item  $\alpha$ ) = length Doc
   $\langle \text{proof} \rangle$ 

lemma item-dot-finished-item[simp]: item-dot (finished-item  $\alpha$ ) = length  $\alpha$ 
   $\langle \text{proof} \rangle$ 

```

lemma *item-rhs-finished-item*[simp]: *item-rhs* (*finished-item* α) = α
 $\langle proof \rangle$

lemma *item- α -finished-item*[simp]: *item- α* (*finished-item* α) = α
 $\langle proof \rangle$

lemma *item-nonterminal-finished-item*[simp]: *item-nonterminal* (*finished-item* α)
= \mathfrak{S}
 $\langle proof \rangle$

lemma *Derives1-of-singleton*:

assumes *Derives1* [N] $i \ r \ \alpha$
shows $i = 0 \wedge r = (N, \alpha)$

$\langle proof \rangle$

definition *pvalid-with* :: *tokens* \Rightarrow *item* \Rightarrow *nat* \Rightarrow *symbol list* \Rightarrow *bool*
where

pvalid-with $p \ x \ u \ \gamma$ =
 $(wellformed-tokens \ p \wedge$
 $wellformed-item \ x \wedge$
 $u \leq length \ p \wedge$
 $charslength \ p = item-end \ x \wedge$
 $charslength \ (take \ u \ p) = item-origin \ x \wedge$
 $is-derivation \ (terminals \ (take \ u \ p)) @ [item-nonterminal \ x] @ \gamma \wedge$
 $derives \ (item-\alpha \ x) \ (terminals \ (drop \ u \ p)))$

lemma *pvalid-with*: *pvalid* $p \ x = (\exists \ u \ \gamma. \ pvalid-with \ p \ x \ u \ \gamma)$
 $\langle proof \rangle$

theorem *Completeness*:

assumes *p-in-ll*: $p \in ll$
shows $\exists \ \alpha. \ pvalid-with \ p \ (finished-item \ \alpha) \ 0 \ [] \wedge finished-item \ \alpha \in \mathfrak{I}$
 $\langle proof \rangle$

theorem *Soundness*:

assumes *finished-item-* α : *finished-item* $\alpha \in \mathfrak{I}$
shows $\exists \ p. \ pvalid-with \ p \ (finished-item \ \alpha) \ 0 \ [] \wedge p \in ll$
 $\langle proof \rangle$

lemma *is-finished-and-finished-item*:

assumes *wellformed-x*: *wellformed-item* x
shows *is-finished* $x = (\exists \ \alpha. \ x = finished-item \ \alpha)$
 $\langle proof \rangle$

theorem *Correctness*:

shows $(ll \neq \{\}) = earley-recognised$
 $\langle proof \rangle$

end

end