

Local Lexing

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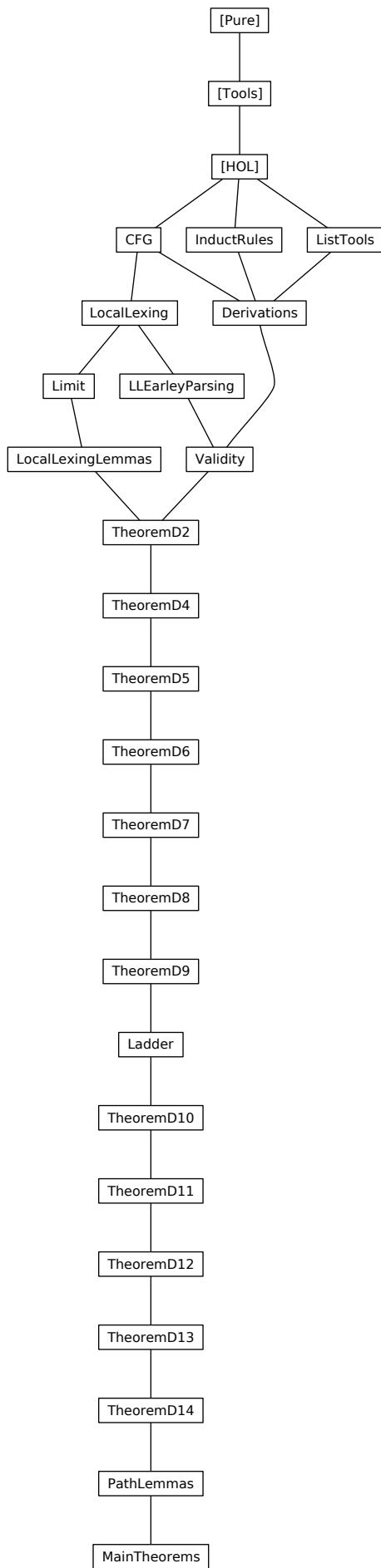
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Abstract

This formalisation accompanies the paper Local Lexing¹, which introduces a novel parsing concept of the same name. The paper also gives a high-level algorithm for local lexing as an extension of Earley's algorithm. This formalisation proves the algorithm to be correct with respect to its local lexing semantics. As a special case, this formalisation thus also contains a proof of the correctness of Earley's algorithm. The paper contains a short outline of how this formalisation is organised.

Contents

¹<https://arxiv.org/abs/1702.03277>



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theory CFG
imports Main
begin

typedecl symbol

type-synonym rule = symbol × symbol list

type-synonym sentence = symbol list

locale CFG =
  fixes Ω :: symbol set
  fixes Σ :: symbol set
  fixes R :: rule set
  fixes S :: symbol
  assumes disjunct-symbols: Ω ∩ Σ = {}
  assumes startsymbol-dom: S ∈ Ω
  assumes validRules: ∀ (N, α) ∈ R. N ∈ Ω ∧ (∀ s ∈ set α. s ∈ Ω ∪ Σ)
begin

definition is-terminal :: symbol ⇒ bool
where
  is-terminal s = (s ∈ Σ)

definition is-nonterminal :: symbol ⇒ bool
where
  is-nonterminal s = (s ∈ Ω)

lemma is-nonterminal-startsymbol: is-nonterminal S
  by (simp add: is-nonterminal-def startsymbol-dom)

definition is-symbol :: symbol ⇒ bool
where
  is-symbol s = (is-terminal s ∨ is-nonterminal s)

definition is-sentence :: sentence ⇒ bool
where
  is-sentence s = list-all is-symbol s

definition is-word :: sentence ⇒ bool
where
  is-word s = list-all is-terminal s

definition derives1 :: sentence ⇒ sentence ⇒ bool
where
  derives1 u v =
    (Ǝ x y N α.
      u = x @ [N] @ y
      ∧ v = x @ α @ y)

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 $\wedge \text{is-sentence } x$ 
 $\wedge \text{is-sentence } y$ 
 $\wedge (N, \alpha) \in \mathfrak{R}$ )

definition derivations1 :: (sentence × sentence) set
where
  derivations1 = { (u,v) | u v. derives1 u v }

definition derivations :: (sentence × sentence) set
where
  derivations = derivations1 $^*$ 

definition derives :: sentence  $\Rightarrow$  sentence  $\Rightarrow$  bool
where
  derives u v = ((u, v)  $\in$  derivations)

definition is-derivation :: sentence  $\Rightarrow$  bool
where
  is-derivation u = derives [S] u

definition L :: sentence set
where
  L = { v | v. is-word v  $\wedge$  is-derivation v}

definition LP :: sentence set
where
  LP = { u | u v. is-word u  $\wedge$  is-derivation (u@v) }

end

end
theory LocalLexing
imports CFG
begin

typeddecl character

type-synonym lexer = character list  $\Rightarrow$  nat  $\Rightarrow$  nat set

type-synonym token = symbol  $\times$  character list

type-synonym tokens = token list

definition terminal-of-token :: token  $\Rightarrow$  symbol
where
  terminal-of-token t = fst t

definition terminals :: tokens  $\Rightarrow$  sentence
where

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terminals ts = map terminal-of-token ts

definition chars-of-token :: token ⇒ character list
where
  chars-of-token t = snd t

fun chars :: tokens ⇒ character list
where
  chars [] = []
  | chars (t#ts) = (chars-of-token t) @ (chars ts)

fun charslength :: tokens ⇒ nat
where
  charslength cs = length (chars cs)

definition is-lexer :: lexer ⇒ bool
where
  is-lexer lexer =
    (forall D p l. (p ≤ length D ∧ l ∈ lexer D p → p + l ≤ length D) ∧
      (p > length D → lexer D p = {}))

type-synonym selector = token set ⇒ token set ⇒ token set

definition is-selector :: selector ⇒ bool
where
  is-selector sel = (forall A B. A ⊆ B → (A ⊆ sel A B ∧ sel A B ⊆ B))

fun by-length :: nat ⇒ tokens set ⇒ tokens set
where
  by-length l tss = { ts . ts ∈ tss ∧ length (chars ts) = l }

fun funpower :: ('a ⇒ 'a) ⇒ nat ⇒ ('a ⇒ 'a)
where
  funpower f 0 x = x
  | funpower f (Suc n) x = f (funpower f n x)

definition natUnion :: (nat ⇒ 'a set) ⇒ 'a set
where
  natUnion f = ∪ { f n | n. True }

definition limit :: ('a set ⇒ 'a set) ⇒ 'a set ⇒ 'a set
where
  limit f x = natUnion (λ n. funpower f n x)

locale LocalLexing = CFG +
  fixes Lex :: symbol ⇒ lexer
  fixes Sel :: selector
  assumes Lex-is-lexer: ∀ t ∈ Σ. is-lexer (Lex t)
  assumes Sel-is-selector: is-selector Sel

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fixes Doc :: character list
begin

definition admissible :: tokens  $\Rightarrow$  bool
where
  admissible ts = (terminals ts  $\in$   $\mathcal{L}_P$ )

definition Append :: token set  $\Rightarrow$  nat  $\Rightarrow$  tokens set  $\Rightarrow$  tokens set
where
  Append Z k P = P  $\cup$ 
    { p @ [t] | p t. p  $\in$  by-length k P  $\wedge$  t  $\in$  Z  $\wedge$  admissible (p @ [t]) }

definition X :: nat  $\Rightarrow$  token set
where
  X k = {(t,  $\omega$ ) | t l  $\omega$ . t  $\in$   $\mathfrak{T}$   $\wedge$  l  $\in$  Lex t Doc k  $\wedge$   $\omega$  = take l (drop k Doc) }

definition W :: tokens set  $\Rightarrow$  nat  $\Rightarrow$  token set
where
  W P k = { u. u  $\in$  X k  $\wedge$  ( $\exists$  p  $\in$  by-length k P. admissible (p@[u])) }

definition Y :: token set  $\Rightarrow$  tokens set  $\Rightarrow$  nat  $\Rightarrow$  token set
where
  Y T P k = Sel T (W P k)

fun P :: nat  $\Rightarrow$  nat  $\Rightarrow$  tokens set
and Q :: nat  $\Rightarrow$  tokens set
and Z :: nat  $\Rightarrow$  nat  $\Rightarrow$  token set
where
  P 0 0 = []
  | P k (Suc u) = limit (Append (Z k (Suc u)) k) (P k u)
  | P (Suc k) 0 = Q k
  | Z k 0 = {}
  | Z k (Suc u) = Y (Z k u) (P k u) k
  | Q k = natUnion (P k)

definition P :: tokens set
where
  P = Q (length Doc)

definition ll :: tokens set
where
  ll = { p . p  $\in$  P  $\wedge$  charslength p = length Doc  $\wedge$  terminals p  $\in$   $\mathcal{L}$  }

end

end
theory LLEarleyParsing
imports LocalLexing
begin

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datatype item =
  Item
    (item-rule: rule)
    (item-dot : nat)
    (item-origin : nat)
    (item-end : nat)

type-synonym items = item set

definition item-nonterminal :: item  $\Rightarrow$  symbol
where
  item-nonterminal x = fst (item-rule x)

definition item-rhs :: item  $\Rightarrow$  sentence
where
  item-rhs x = snd (item-rule x)

definition item- $\alpha$  :: item  $\Rightarrow$  sentence
where
  item- $\alpha$  x = take (item-dot x) (item-rhs x)

definition item- $\beta$  :: item  $\Rightarrow$  sentence
where
  item- $\beta$  x = drop (item-dot x) (item-rhs x)

definition init-item :: rule  $\Rightarrow$  nat  $\Rightarrow$  item
where
  init-item r k = Item r 0 k k

definition is-complete :: item  $\Rightarrow$  bool
where
  is-complete x = (item-dot x  $\geq$  length (item-rhs x))

definition next-symbol :: item  $\Rightarrow$  symbol option
where
  next-symbol x = (if is-complete x then None else Some ((item-rhs x) ! (item-dot x)))

definition inc-item :: item  $\Rightarrow$  nat  $\Rightarrow$  item
where
  inc-item x k = Item (item-rule x) (item-dot x + 1) (item-origin x) k

definition bin :: items  $\Rightarrow$  nat  $\Rightarrow$  items
where
  bin I k = { x . x  $\in$  I  $\wedge$  item-end x = k }

context LocalLexing begin

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definition Init :: items
where
  Init = { init-item r 0 | r. r ∈  $\mathfrak{R}$  ∧ fst r =  $\mathfrak{S}$  }

definition Predict :: nat ⇒ items ⇒ items
where
  Predict k I = I ∪
    { init-item r k | r x. r ∈  $\mathfrak{R}$  ∧ x ∈ bin I k ∧
      next-symbol x = Some(fst r) }

definition Complete :: nat ⇒ items ⇒ items
where
  Complete k I = I ∪ { inc-item x k | x y.
    x ∈ bin I (item-origin y) ∧ y ∈ bin I k ∧ is-complete y ∧
    next-symbol x = Some (item-nonterminal y) }

definition TokensAt :: nat ⇒ items ⇒ token set
where
  TokensAt k I = { (t, s) | t s x l. x ∈ bin I k ∧
    next-symbol x = Some t ∧ is-terminal t ∧
    l ∈ Lex t Doc k ∧ s = take l (drop k Doc) }

definition Tokens :: nat ⇒ token set ⇒ items ⇒ token set
where
  Tokens k T I = Sel T (TokensAt k I)

definition Scan :: token set ⇒ nat ⇒ items ⇒ items
where
  Scan T k I = I ∪
    { inc-item x (k + length c) | x t c. x ∈ bin I k ∧ (t, c) ∈ T ∧
      next-symbol x = Some t }

definition  $\pi$  :: nat ⇒ token set ⇒ items ⇒ items
where
   $\pi k T I$  =
    limit (λ I. Scan T k (Complete k (Predict k I))) I

fun  $\mathcal{J}$  :: nat ⇒ nat ⇒ items
and  $\mathcal{I}$  :: nat ⇒ items
and  $\mathcal{T}$  :: nat ⇒ nat ⇒ token set
where
   $\mathcal{J} 0 0 = \pi 0 \{\} \text{ Init}$ 
  |  $\mathcal{J} k (\text{Suc } u) = \pi k (\mathcal{T} k (\text{Suc } u)) (\mathcal{J} k u)$ 
  |  $\mathcal{J} (\text{Suc } k) 0 = \pi (\text{Suc } k) \{\} (\mathcal{I} k)$ 
  |  $\mathcal{T} k 0 = \{\}$ 
  |  $\mathcal{T} k (\text{Suc } u) = \text{Tokens } k (\mathcal{T} k u) (\mathcal{J} k u)$ 
  |  $\mathcal{I} k = \text{natUnion } (\mathcal{J} k)$ 

definition  $\mathfrak{I}$  :: items

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where
 $\mathfrak{I} = \mathcal{I} (\text{length } \text{Doc})$ 

definition is-finished :: item  $\Rightarrow$  bool where
  is-finished x = (item-nonterminal x =  $\mathfrak{S}$   $\wedge$  item-origin x = 0  $\wedge$  item-end x =
  length Doc  $\wedge$ 
    is-complete x)

definition earley-recognised :: bool
where
  earley-recognised = ( $\exists$  x  $\in$   $\mathfrak{I}$ . is-finished x)

end

end
theory Limit
imports LocalLexing
begin

definition setmonotone :: ('a set  $\Rightarrow$  'a set)  $\Rightarrow$  bool
where
  setmonotone f = ( $\forall$  X. X  $\subseteq$  f X)

lemma setmonotone-funpower: setmonotone f  $\implies$  setmonotone (funpower f n)
  by (induct n, auto simp add: setmonotone-def)

lemma subset-setmonotone: setmonotone f  $\implies$  X  $\subseteq$  f X
  by (simp add: setmonotone-def)

lemma elem-setmonotone: setmonotone f  $\implies$  x  $\in$  X  $\implies$  x  $\in$  f X
  by (auto simp add: setmonotone-def)

lemma elem-natUnion: ( $\forall$  n. x  $\in$  f n)  $\implies$  x  $\in$  natUnion f
  by (auto simp add: natUnion-def)

lemma subset-natUnion: ( $\forall$  n. X  $\subseteq$  f n)  $\implies$  X  $\subseteq$  natUnion f
  by (auto simp add: natUnion-def)

lemma setmonotone-limit:
  assumes fmono: setmonotone f
  shows setmonotone (limit f)
proof –
  show setmonotone (limit f)
    apply (auto simp add: setmonotone-def limit-def)
    apply (rule elem-natUnion, auto)
    apply (rule elem-setmonotone[OF setmonotone-funpower])
    by (auto simp add: fmono)
qed

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lemma[simp]: funpower id n = id
  by (rule ext, induct n, simp-all)

lemma[simp]: limit id = id
  by (rule ext, auto simp add: limit-def natUnion-def)

lemma natUnion-decompose[consumes 1, case-names Decompose]:
  assumes p: p ∈ natUnion S
  assumes decompose:  $\bigwedge n. p \in S \rightarrow P p$ 
  shows P p
proof -
  from p have  $\exists n. p \in S n$ 
    by (auto simp add: natUnion-def)
  then obtain n where p ∈ S n by blast
  from decompose[OF this] show ?thesis .
qed

lemma limit-induct[consumes 1, case-names Init Iterate]:
  assumes p: (p :: 'a) ∈ limit f X
  assumes init:  $\bigwedge p. p \in X \rightarrow P p$ 
  assumes iterate:  $\bigwedge p Y. (\bigwedge q. q \in Y \rightarrow P q) \rightarrow p \in f Y \rightarrow P p$ 
  shows P p
proof -
  from p have p-in-natUnion: p ∈ natUnion ( $\lambda n. \text{funpower } f n X$ )
    by (simp add: limit-def)
  {
    fix p :: 'a
    fix n :: nat
    have p ∈ funpower f n X  $\rightarrow$  P p
    proof (induct n arbitrary: p)
      case 0 thus ?case using init[OF 0[simplified]] by simp
    next
      case (Suc n) show ?case
        using iterate[OF Suc(1) Suc(2)[simplified], simplified] by simp
    qed
  }
  with p-in-natUnion show ?thesis
    by (induct rule: natUnion-decompose)
qed

definition chain :: (nat ⇒ 'a set) ⇒ bool
where
  chain C = ( $\forall i. C i \subseteq C (i + 1)$ )

definition continuous :: ('a set ⇒ 'b set) ⇒ bool
where
  continuous f = ( $\forall C. \text{chain } C \rightarrow (\text{chain } (f o C) \wedge f (\text{natUnion } C) = \text{natUnion } (f o C))$ )

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lemma continuous-apply:
  continuous f  $\Rightarrow$  chain C  $\Rightarrow$  f (natUnion C) = natUnion (f o C)
  by (simp add: continuous-def)

lemma continuous-imp-mono:
  assumes continuous: continuous f
  shows mono f
  proof -
    {
      fix A :: 'a set
      fix B :: 'a set
      assume sub: A  $\subseteq$  B
      let ?C =  $\lambda (i:\text{nat}). \text{if } (i = 0) \text{ then } A \text{ else } B$ 
      have chain ?C by (simp add: chain-def sub)
      then have fC: chain (f o ?C) using continuous continuous-def by blast
      then have f (?C 0)  $\subseteq$  f (?C (0 + 1))
      proof -
        have  $\bigwedge f n. \neg \text{chain } f \vee (f n::'b \text{ set}) \subseteq f (\text{Suc } n)$ 
        by (metis Suc-eq-plus1 chain-def)
        then show ?thesis using fC by fastforce
      qed
      then have f A  $\subseteq$  f B by auto
    }
    then show mono f by (simp add: monoI)
  qed

lemma mono-maps-chain-to-chain:
  assumes f: mono f
  assumes C: chain C
  shows chain (f o C)
  by (metis C comp-def f chain-def mono-def)

lemma natUnion-upperbound:
   $(\bigwedge n. f n \subseteq G) \Rightarrow (\text{natUnion } f) \subseteq G$ 
  by (auto simp add: natUnion-def)

lemma funpower-upperbound:
   $(\bigwedge I. I \subseteq G \Rightarrow f I \subseteq G) \Rightarrow I \subseteq G \Rightarrow \text{funpower } f n I \subseteq G$ 
  proof (induct n)
    case 0 thus ?case by simp
  next
    case (Suc n) thus ?case by simp
  qed

lemma limit-upperbound:
   $(\bigwedge I. I \subseteq G \Rightarrow f I \subseteq G) \Rightarrow I \subseteq G \Rightarrow \text{limit } f I \subseteq G$ 
  by (simp add: funpower-upperbound limit-def natUnion-upperbound)

lemma elem-limit-simp:  $x \in \text{limit } f X = (\exists n. x \in \text{funpower } f n X)$ 

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by (auto simp add: limit-def natUnion-def)

definition pointwise :: ('a set ⇒ 'b set) ⇒ bool where
  pointwise f = ( ∀ X. f X = ⋃ { f {x} | x. x ∈ X })

lemma pointwise-simp:
  assumes f: pointwise f
  shows f X = ⋃ { f {x} | x. x ∈ X }
proof -
  from f have ∀ X. f X = ⋃ { f {x} | x. x ∈ X }
    by (rule iffD1[OF pointwise-def[where f=f]])
  then show ?thesis by blast
qed

lemma natUnion-elem: x ∈ f n ⇒ x ∈ natUnion f
using natUnion-def by fastforce

lemma limit-elem: x ∈ funpower f n X ⇒ x ∈ limit f X
by (simp add: limit-def natUnion-elem)

lemma limit-step-pointwise:
  assumes x: x ∈ limit f X
  assumes f: pointwise f
  assumes y: y ∈ f {x}
  shows y ∈ limit f X
proof -
  from x have ∃ n. x ∈ funpower f n X
    by (simp add: elem-limit-simp)
  then obtain n where n: x ∈ funpower f n X by blast
  have y ∈ funpower f (Suc n) X
    apply simp
    apply (subst pointwise-simp[OF f])
    using y n by auto
  then show y ∈ limit f X by (meson limit-elem)
qed

definition pointbase :: ('a set ⇒ 'b set) ⇒ 'a set ⇒ 'b set where
  pointbase F I = ⋃ { F X | X. finite X ∧ X ⊆ I }

definition pointbased :: ('a set ⇒ 'b set) ⇒ bool where
  pointbased f = ( ∃ F. f = pointbase F)

lemma pointwise-implies-pointbased:
  assumes pointwise: pointwise f
  shows pointbased f
proof -
  let ?F = λ X. f X
  {
    fix I :: 'a set

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fix x :: 'b
have lr:  $x \in \text{pointbase} ?F I \implies x \in f I$ 
proof -
  assume x:  $x \in \text{pointbase} ?F I$ 
  have  $\exists X. x \in f X \wedge X \subseteq I$ 
    proof -
      have  $x \in \bigcup \{f A \mid A. \text{finite } A \wedge A \subseteq I\}$ 
        by (metis pointbase-def x)
      then show ?thesis
        by blast
    qed
  then obtain X where  $X: x \in f X \wedge X \subseteq I$  by blast
  have  $\exists y. y \in I \wedge x \in f \{y\}$ 
    using X apply (simp add: pointwise-simp[OF pointwise, where X=X])
    by blast
  then show  $x \in f I$ 
    apply (simp add: pointwise-simp[OF pointwise, where X=I])
    by blast
  qed
  have rl:  $x \in f I \implies x \in \text{pointbase} ?F I$ 
  proof -
    assume x:  $x \in f I$ 
    have  $\exists y. y \in I \wedge x \in f \{y\}$ 
      using x apply (simp add: pointwise-simp[OF pointwise, where X=I])
      by blast
    then obtain y where  $y \in I \wedge x \in f \{y\}$  by blast
    then have  $\exists X. x \in f X \wedge \text{finite } X \wedge X \subseteq I$  by blast
    then show  $x \in \text{pointbase} f I$ 
      apply (simp add: pointbase-def)
      by blast
    qed
    note lr rl
  }
then have  $\bigwedge I. \text{pointbase } f I = f I$  by blast
then have  $\text{pointbase } f = f$  by blast
then show ?thesis by (metis pointbased-def)
qed

lemma pointbase-is-mono:
  mono (pointbase f)
proof -
  {
    fix A :: 'a set
    fix B :: 'a set
    assume subset:  $A \subseteq B$ 
    have  $(\text{pointbase } f) A \subseteq (\text{pointbase } f) B$ 
      apply (simp add: pointbase-def)
      using subset by fastforce
  }

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then show ?thesis by (simp add: mono-def)
qed

lemma chain-implies-mono: chain C  $\Rightarrow$  mono C
by (simp add: chain-def mono-iff-le-Suc)

lemma chain-cover-witness: finite X  $\Rightarrow$  chain C  $\Rightarrow$  X  $\subseteq$  natUnion C  $\Rightarrow$   $\exists$  n.
X  $\subseteq$  C n
proof (induct rule: finite.induct)
  case emptyI thus ?case by blast
next
  case (insertI X x)
  then have X  $\subseteq$  natUnion C by simp
  with insertI have  $\exists$  n. X  $\subseteq$  C n by blast
  then obtain n where n: X  $\subseteq$  C n by blast
  have x: x  $\in$  natUnion C using insertI.preds(2) by blast
  then have  $\exists$  m. x  $\in$  C m
  proof –
    have x  $\in$   $\bigcup\{A. \exists n. A = C n\}$  by (metis x natUnion-def)
    then show ?thesis by blast
  qed
  then obtain m where m: x  $\in$  C m by blast
  have mono-C:  $\bigwedge i j. i \leq j \Rightarrow C i \subseteq C j$ 
    using chain-implies-mono insertI(3) mono-def by blast
  show ?case
    apply (rule-tac x=max n m in exI)
    apply auto
    apply (meson contra-subsetD m max.cobounded2 mono-C)
    by (metis max-def mono-C n subsetCE)
  qed

lemma pointbase-is-continuous:
  continuous (pointbase f)
proof –
{
  fix C :: nat  $\Rightarrow$  'a set
  assume C: chain C
  have mono: chain ((pointbase f) o C)
    by (simp add: C mono-maps-chain-to-chain pointbase-is-mono)
  have subset1: natUnion ((pointbase f) o C)  $\subseteq$  (pointbase f) (natUnion C)
  proof (auto)
    fix y :: 'b
    assume y  $\in$  natUnion ((pointbase f) o C)
    then show y  $\in$  (pointbase f) (natUnion C)
    proof (induct rule: natUnion-decompose)
      case (Decompose n p)
        thus ?case by (metis comp-apply contra-subsetD mono-def natUnion-elem
          pointbase-is-mono subsetI)
    qed
}

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qed
have subset2: (pointbase f) (natUnion C) ⊆ natUnion ((pointbase f) o C)
proof (auto)
fix y :: 'b
assume y: y ∈ (pointbase f) (natUnion C)
have ∃ X. finite X ∧ X ⊆ natUnion C ∧ y ∈ f X
proof -
have y ∈ ∪ {f A | A. finite A ∧ A ⊆ natUnion C}
by (metis y pointbase-def)
then show ?thesis by blast
qed
then obtain X where X: finite X ∧ X ⊆ natUnion C ∧ y ∈ f X by blast
then have ∃ n. X ⊆ C n using chain-cover-witness C by blast
then obtain n where X-sub-C: X ⊆ C n by blast
show y ∈ natUnion ((pointbase f) o C)
apply (rule-tac natUnion-elem[where n=n])
proof -
have y ∈ ∪ {f A | A. finite A ∧ A ⊆ C n}
using X X-sub-C by blast
then show y ∈ (pointbase f o C) n by (simp add: pointbase-def)
qed
qed
note mono subset1 subset2
}

then show ?thesis by (simp add: continuous-def subset-antisym)
qed

lemma pointbased-implies-continuous:
pointbased f ==> continuous f
using pointbase-is-continuous pointbased-def by force

lemma setmonotone-implies-chain-funpower:
assumes setmonotone: setmonotone f
shows chain (λ n. funpower f n I)
by (simp add: chain-def setmonotone subset-setmonotone)

lemma natUnion-subset: (∀ n. ∃ m. f n ⊆ g m) ==> natUnion f ⊆ natUnion g
by (meson natUnion-elem natUnion-upperbound subset-iff)

lemma natUnion-eq[case-names Subset Superset]:
(∀ n. ∃ m. f n ⊆ g m) ==> (∀ n. ∃ m. g n ⊆ f m) ==> natUnion f = natUnion g
by (simp add: natUnion-subset subset-antisym)

lemma natUnion-shift[symmetric]:
assumes chain: chain C
shows natUnion C = natUnion (λ n. C (n + m))
proof (induct rule: natUnion-eq)
case (Subset n)

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show ?case using chain chain-implies-mono le-add1 mono-def by blast
next
  case (Superset n)
    show ?case by blast
qed

definition regular :: ('a set ⇒ 'a set) ⇒ bool
where
  regular f = (setmonotone f ∧ continuous f)

lemma regular-fixpoint:
  assumes regular: regular f
  shows f (limit f I) = limit f I
proof -
  have setmonotone: setmonotone f using regular regular-def by blast
  have continuous: continuous f using regular regular-def by blast

  let ?C = λ n. funpower f n I
  have chain: chain ?C
    by (simp add: setmonotone setmonotone-implies-chain-funpower)
  have f (limit f I) = f (natUnion ?C)
    using limit-def by metis
  also have f (natUnion ?C) = natUnion (f o ?C)
    by (metis continuous continuous-def chain)
  also have natUnion (f o ?C) = natUnion (λ n. f(funpower f n I))
    by (meson comp-apply)
  also have natUnion (λ n. f(funpower f n I)) = natUnion (λ n. ?C (n + 1))
    by simp
  also have natUnion (λ n. ?C(n + 1)) = natUnion ?C
    apply (subst natUnion-shift)
    using chain by (blast+)
  finally show ?thesis by (simp add: limit-def)
qed

lemma fix-is-fix-of-limit:
  assumes fixpoint: f I = I
  shows limit f I = I
proof -
  have funpower: ∀ n. funpower f n I = I
  proof -
    fix n :: nat
    from fixpoint show funpower f n I = I
      by (induct n, auto)
  qed
  show ?thesis by (simp add: limit-def funpower natUnion-def)
qed

lemma limit-is-idempotent: regular f ⇒ limit f (limit f I) = limit f I
by (simp add: fix-is-fix-of-limit regular-fixpoint)

```

```

definition mk-regular1 :: ('b ⇒ 'a ⇒ bool) ⇒ ('b ⇒ 'a ⇒ 'a) ⇒ 'a set ⇒ 'a set
where
  mk-regular1 P F I = I ∪ { F q x | q x. x ∈ I ∧ P q x }

definition mk-regular2 :: ('b ⇒ 'a ⇒ 'a ⇒ bool) ⇒ ('b ⇒ 'a ⇒ 'a ⇒ 'a) ⇒ 'a set
  ⇒ 'a set where
  mk-regular2 P F I = I ∪ { F q x y | q x y. x ∈ I ∧ y ∈ I ∧ P q x y }

lemma setmonotone-mk-regular1: setmonotone (mk-regular1 P F)
by (simp add: mk-regular1-def setmonotone-def)

lemma setmonotone-mk-regular2: setmonotone (mk-regular2 P F)
by (simp add: mk-regular2-def setmonotone-def)

lemma pointbased-mk-regular1: pointbased (mk-regular1 P F)
proof -
  let ?f = λ X. X ∪ { F q x | q x. x ∈ X ∧ P q x }
  {
    fix I :: 'a set
    have 1: pointbase ?f I ⊆ mk-regular1 P F I
      by (auto simp add: pointbase-def mk-regular1-def)
    have 2: mk-regular1 P F I ⊆ pointbase ?f I
      apply (simp add: pointbase-def mk-regular1-def)
      apply blast
      done
    from 1 2 have pointbase ?f I = mk-regular1 P F I by blast
  }
  then show ?thesis
    apply (subst pointbased-def)
    apply (rule-tac x=?f in exI)
    by blast
  qed

lemma pointbased-mk-regular2: pointbased (mk-regular2 P F)
proof -
  let ?f = λ X. X ∪ { F q x y | q x y. x ∈ X ∧ y ∈ X ∧ P q x y }
  {
    fix I :: 'a set
    have 1: pointbase ?f I ⊆ mk-regular2 P F I
      by (auto simp add: pointbase-def mk-regular2-def)
    have 2: mk-regular2 P F I ⊆ pointbase ?f I
      apply (auto simp add: pointbase-def mk-regular2-def)
      apply blast
    proof -
      fix q x y
      assume x: x ∈ I
      assume y: y ∈ I
      assume P: P q x y

```

```

let ?X = {x, y}
let ?A = ?X ∪ {F q x y | q x y. x ∈ ?X ∧ y ∈ ?X ∧ P q x y}
show ∃ A. (∃ X. A = X ∪ {F q x y | q x y. x ∈ X ∧ y ∈ X ∧ P q x y} ∧
finite X ∧ X ⊆ I) ∧ F q x y ∈ A
apply (rule-tac x=?A in exI)
apply (rule conjI)
apply (rule-tac x=?X in exI)
apply (auto simp add: x y)[1]
using x y P by blast
qed
from 1 2 have pointbase ?f I = mk-regular2 P F I by blast
}
then show ?thesis
apply (subst pointbased-def)
apply (rule-tac x=?f in exI)
by blast
qed

lemma regular1:regular (mk-regular1 P F)
by (simp add: pointbased-implies-continuous pointbased-mk-regular1 regular-def
setmonotone-mk-regular1)

lemma regular2: regular (mk-regular2 P F)
by (simp add: pointbased-implies-continuous pointbased-mk-regular2 regular-def
setmonotone-mk-regular2)

lemma continuous-comp:
assumes f: continuous f
assumes g: continuous g
shows continuous (g o f)
by (metis (no-types, lifting) comp-assoc comp-def continuous-def f g)

lemma setmonotone-comp:
assumes f: setmonotone f
assumes g: setmonotone g
shows setmonotone (g o f)
by (metis (mono-tags, lifting) comp-def f g rev-subsetD setmonotone-def subsetI)

lemma regular-comp:
assumes f: regular f
assumes g: regular g
shows regular (g o f)
using continuous-comp f g regular-def setmonotone-comp by blast

lemma setmonotone-id[simp]: setmonotone id
by (simp add: id-def setmonotone-def)

lemma continuous-id[simp]: continuous id
by (simp add: continuous-def)

```

```

lemma regular-id[simp]: regular id
  by (simp add: regular-def)

lemma regular-funpower: regular f  $\Rightarrow$  regular (funpower f n)
proof (induct n)
  case 0 thus ?case by (simp add: id-def[symmetric])
next
  case (Suc n)
  have funpower: funpower f (Suc n) = f o (funpower f n)
    apply (rule ext)
    by simp
  with Suc show ?case
    by (auto simp only: funpower regular-comp)
qed

lemma mono-id[simp]: mono id
  by (simp add: mono-def id-def)

lemma mono-funpower:
  assumes mono: mono f
  shows mono (funpower f n)
proof (induct n)
  case 0 thus ?case by (simp add: id-def[symmetric])
next
  case (Suc n)
  show ?case by (simp add: Suc.hyps mono monoD monoI)
qed

lemma mono-limit:
  assumes mono: mono f
  shows mono (limit f)
proof(auto simp add: mono-def limit-def)
  fix A :: 'a set
  fix B :: 'a set
  fix x
  assume subset: A  $\subseteq$  B
  assume x ∈ natUnion (λn. funpower f n A)
  then have  $\exists n. x \in \text{funpower } f n A$  using elem-limit-simp limit-def by fastforce

  then obtain n where n: x ∈ funpower f n A by blast
  then have mono: mono (funpower f n) by (simp add: mono mono-funpower)
  then have x ∈ funpower f n B by (meson contra-subsetD monoD n subset)
  then show x ∈ natUnion (λn. funpower f n B) by (simp add: natUnion-elem)
qed

lemma continuous-funpower:
  assumes continuous: continuous f
  shows continuous (funpower f n)

```

```

proof (induct n)
  case 0 thus ?case by (simp add: id-def[symmetric])
next
  case (Suc n)
    have mono: mono (funpower f (Suc n))
      by (simp add: continuous continuous-imp-mono mono-funpower)
    have chain:  $\forall C. \text{chain } C \longrightarrow \text{chain } ((\text{funpower } f (\text{Suc } n)) o C)$ 
      by (simp del: funpower.simps add: mono mono-maps-chain-to-chain)
    have limit:  $\bigwedge C. \text{chain } C \implies (\text{funpower } f (\text{Suc } n)) (\text{natUnion } C) =$ 
       $\text{natUnion } ((\text{funpower } f (\text{Suc } n)) o C)$ 
      apply simp
      apply (subst continuous-apply[OF Suc])
      apply simp
      apply (subst continuous-apply[OF continuous])
      apply (simp add: Suc.hyps continuous-imp-mono mono-maps-chain-to-chain)
      apply (rule arg-cong[where f=natUnion])
      apply (rule ext)
      by simp
    from chain limit show ?case using continuous-def by blast
qed

lemma natUnion-swap:
  natUnion ( $\lambda i. \text{natUnion } (\lambda j. f i j)$ ) = natUnion ( $\lambda j. \text{natUnion } (\lambda i. f i j)$ )
  by (metis (no-types, lifting) natUnion-elem natUnion-upperbound subsetI subset-antisym)

lemma continuous-limit:
  assumes continuous: continuous f
  shows continuous (limit f)
proof -
  have mono: mono (limit f)
    by (simp add: continuous continuous-imp-mono mono-limit)
  have chain:  $\bigwedge C. \text{chain } C \implies \text{chain } ((\text{limit } f) o C)$ 
    by (simp add: mono mono-maps-chain-to-chain)
  have  $\bigwedge C. \text{chain } C \implies (\text{limit } f) (\text{natUnion } C) = \text{natUnion } ((\text{limit } f) o C)$ 
proof -
  fix C :: nat  $\Rightarrow$  'a set
  assume chain-C: chain C
  have contpower:  $\bigwedge n. \text{continuous } (\text{funpower } f n)$ 
    by (simp add: continuous continuous-funpower)
  have comp:  $\bigwedge n F. F o C = (\lambda i. F (C i))$ 
    by auto
  have (limit f) (natUnion C) = natUnion ( $\lambda n. \text{funpower } f n (\text{natUnion } C)$ )
    by (simp add: limit-def)
  also have natUnion ( $\lambda n. \text{funpower } f n (\text{natUnion } C)$ ) =
    natUnion ( $\lambda n. \text{natUnion } ((\text{funpower } f n) o C)$ )
    apply (subst continuous-apply[OF contpower])
    apply (simp add: chain-C)+
    done
  also have natUnion ( $\lambda n. \text{natUnion } ((\text{funpower } f n) o C)$ ) =

```

```

natUnion (λ n. natUnion (λ i. funpower f n (C i)))
apply (subst comp)
apply blast
done
also have natUnion (λ n. natUnion (λ i. funpower f n (C i))) =
  natUnion (λ i. natUnion (λ n. funpower f n (C i)))
apply (subst natUnion-swap)
apply blast
done
also have natUnion (λ i. natUnion (λ n. funpower f n (C i))) =
  (natUnion (λ i. limit f (C i)))
apply (simp add: limit-def)
done
also have natUnion (λ i. limit f (C i)) = natUnion ((limit f) o C)
apply (subst comp)
apply simp
done
finally show (limit f) (natUnion C) = natUnion ((limit f) o C) by blast
qed
with chain show ?thesis by (simp add: continuous-def)
qed

lemma regular-limit: regular f ==> regular (limit f)
by (simp add: continuous-limit regular-def setmonotone-limit)

lemma regular-implies-mono: regular f ==> mono f
by (simp add: continuous-imp-mono regular-def)

lemma regular-implies-setmonotone: regular f ==> setmonotone f
by (simp add: regular-def)

lemma regular-implies-continuous: regular f ==> continuous f
by (simp add: regular-def)

end
theory LocalLexingLemmas
imports LocalLexing Limit
begin

context LocalLexing begin

lemma [simp]: setmonotone (Append Z k) by (auto simp add: Append-def setmonotone-def)

lemma subset-PSuc: P k u ⊆ P k (Suc u)
by (simp add: subset-setmonotone[OF setmonotone-limit])

lemma subset-fSuc-strict:
assumes f: ∀ u. f u ⊆ f (Suc u)

```

```

shows  $u < v \implies f u \subseteq f v$ 
proof (induct v)
  show  $u < 0 \implies f u \subseteq f 0$ 
    by auto
next
  fix v
  assume a:( $u < v \implies f u \subseteq f v$ )
  assume b: $u < \text{Suc } v$ 
  from b have c:  $f u \subseteq f v$ 
    apply (case-tac  $u < v$ )
    apply (simp add: a)
    apply (case-tac  $u = v$ )
    apply simp
    by auto
  show  $f u \subseteq f (\text{Suc } v)$ 
    apply (rule subset-trans[OF c])
    by (rule f)
qed

lemma subset-fSuc:
  assumes f:  $\bigwedge u. f u \subseteq f (\text{Suc } u)$ 
  shows  $u \leq v \implies f u \subseteq f v$ 
  apply (case-tac  $u < v$ )
  apply (rule subset-fSuc-strict[where  $f=f$ , OF f])
  by auto

lemma subset-Pk:  $u \leq v \implies \mathcal{P} k u \subseteq \mathcal{P} k v$ 
  by (rule subset-fSuc, rule subset-PSuc)

lemma subset-PQk:  $\mathcal{P} k u \subseteq \mathcal{Q} k$  by (auto simp add: natUnion-def)

lemma subset-QPSuc:  $\mathcal{Q} k \subseteq \mathcal{P} (\text{Suc } k) u$ 
proof -
  have a:  $\mathcal{Q} k \subseteq \mathcal{P} (\text{Suc } k) 0$  by simp
  show ?thesis
    apply (case-tac  $u = 0$ )
    apply (simp add: a)
    apply (rule subset-trans[OF a subset-Pk])
    by auto
qed

lemma subset-QSuc:  $\mathcal{Q} k \subseteq \mathcal{Q} (\text{Suc } k)$ 
  by (rule subset-trans[OF subset-QPSuc subset-PQk])

lemma subset-Q:  $i \leq j \implies \mathcal{Q} i \subseteq \mathcal{Q} j$ 
  by (rule subset-fSuc[where  $u=i$  and  $v=j$  and  $f = \mathcal{Q}$ , OF subset-QSuc])

lemma empty-X[simp]:  $k > \text{length Doc} \implies \mathcal{X} k = \{\}$ 
  apply (simp add: X-def)

```

```

apply (insert Lex-is-lexer)
by (simp add: is-lexer-def)

lemma Sel-empty[simp]: Sel {} {} = {}
apply (insert Sel-is-selector)
by (auto simp add: is-selector-def)

lemma empty-Z[simp]: k > length Doc  $\implies$  Z k u = {}
apply (induct u)
by (simp-all add: Y-def W-def)

lemma[simp]: Append {} k = id by (auto simp add: Append-def)

lemma[simp]: k > length Doc  $\implies$  P k v = P k 0
by (induct v, simp-all add: Y-def W-def)

lemma QSucEq: k  $\geq$  length Doc  $\implies$  Q (Suc k) = Q k
by (simp add: natUnion-def)

lemma Q-converges:
assumes k: k  $\geq$  length Doc
shows Q k = P
proof -
{
  fix n
  have Q (length Doc + n) = P
  proof (induct n)
    show Q (length Doc + 0) = P by (simp add: P-def)
  next
    fix n
    assume hyp: Q (length Doc + n) = P
    have Q (Suc (length Doc + n)) = P
      by (rule trans[OF QSucEq hyp], auto)
    then show Q (length Doc + Suc n) = P
      by auto
  qed
}
note helper = this
from k have  $\exists$  n. k = length Doc + n by presburger
then obtain n where n: k = length Doc + n by blast
then show ?thesis
  apply (simp only: n)
  by (rule helper)
qed

lemma P-covers-Q: Q k  $\subseteq$  P
proof (case-tac k  $\geq$  length Doc)
  assume k  $\geq$  length Doc
  then have Q: Q k = P by (rule Q-converges)

```

```

then show  $\mathcal{Q}$   $k \subseteq \mathfrak{P}$  by (simp only:  $\mathcal{Q}$ )
next
  assume  $\neg \text{length } \text{Doc} \leq k$ 
  then have  $k < \text{length } \text{Doc}$  by auto
  then show ?thesis
    apply (simp only:  $\mathfrak{P}\text{-def}$ )
    apply (rule subset- $\mathcal{Q}$ )
    by auto
qed

lemma Sel-upper-bound:  $A \subseteq B \implies \text{Sel } A B \subseteq B$ 
by (metis Sel-is-selector is-selector-def)

lemma Sel-lower-bound:  $A \subseteq B \implies A \subseteq \text{Sel } A B$ 
by (metis Sel-is-selector is-selector-def)

lemma  $\mathfrak{P}\text{-covers-}\mathcal{P}$ :  $\mathcal{P} k u \subseteq \mathfrak{P}$ 
by (rule subset-trans[OF subset- $\mathcal{P} Q k$   $\mathfrak{P}\text{-covers-}\mathcal{Q}$ ])

lemma  $\mathcal{W}\text{-montone}$ :  $P \subseteq Q \implies \mathcal{W} P k \subseteq \mathcal{W} Q k$ 
by (auto simp add:  $\mathcal{W}\text{-def}$ )

lemma Sel-precondition:
   $\mathcal{Z} k u \subseteq \mathcal{W} (\mathcal{P} k u) k$ 
proof (induct u)
  case 0 thus ?case by simp
next
  case ( $\text{Suc } u$ )
  have 1:  $\mathcal{Y} (\mathcal{Z} k u) (\mathcal{P} k u) k \subseteq \mathcal{W} (\mathcal{P} k u) k$ 
    apply (simp add:  $\mathcal{Y}\text{-def}$ )
    apply (rule-tac Sel-upper-bound)
    using Suc by simp
  have 2:  $\mathcal{W} (\mathcal{P} k u) k \subseteq \mathcal{W} (\mathcal{P} k (\text{Suc } u)) k$ 
    by (metis  $\mathcal{W}\text{-montone}$  subset- $\mathcal{P} \text{Suc}$ )
  show ?case
    apply (rule-tac subset-trans[where  $B=\mathcal{W} (\mathcal{P} k u) k$ ])
    apply (simp add: 1)
    apply (simp only: 2)
    done
qed

lemma  $\mathcal{W}\text{-bounded-by-}\mathcal{X}$ :  $\mathcal{W} P k \subseteq \mathcal{X} k$ 
by (metis (no-types, lifting)  $\mathcal{W}\text{-def}$  mem-Collect-eq subsetI)

lemma  $\mathcal{Z}\text{-subset-}\mathcal{X}$ :  $\mathcal{Z} k n \subseteq \mathcal{X} k$ 
by (metis Sel-precondition  $\mathcal{W}\text{-bounded-by-}\mathcal{X}$  rev-subsetD subsetI)

lemma  $\mathcal{Z}\text{-subset-Suc}$ :  $\mathcal{Z} k n \subseteq \mathcal{Z} k (\text{Suc } n)$ 
apply (induct n)

```

```

apply simp
by (metis Sel-lower-bound Sel-precondition Y-def Z.simps(2))

lemma Y-upper-bound: Y (Z k u) (P k u) k ⊆ W (P k u) k
  apply (simp add: Y-def)
  by (metis Sel-precondition Sel-upper-bound)

lemma P-induct[consumes 1, case-names Base Induct]:
  assumes p: p ∈ P
  assumes base: P []
  assumes induct: ∀ p k u. ( ∧ q. q ∈ P k u ⇒ P q) ⇒ p ∈ P k (Suc u) ⇒
  P p
  shows P p
proof -
{
  fix p :: tokens
  fix k :: nat
  fix u :: nat
  have p ∈ P k u ⇒ P p
  proof (induct k arbitrary: p u)
    case 0
    have p ∈ P 0 u ⇒ P p
    proof (induct u arbitrary: p)
      case 0 thus ?case using base by simp
    next
      case (Suc u) show ?case
        apply (rule induct[OF - Suc(2)])
        apply (rule Suc(1))
        by simp
    qed
    with 0 show ?case by simp
  next
    case (Suc k)
    have p ∈ P (Suc k) u ⇒ P p
    proof (induct u arbitrary: p)
      case 0 thus ?case
        apply simp
        apply (induct rule: natUnion-decompose)
        using Suc by simp
    next
      case (Suc u) show ?case
        apply (rule induct[OF - Suc(2)])
        apply (rule Suc(1))
        by simp
    qed
    with Suc show ?case by simp
  qed
}
note all = this

```

```

from p show ?thesis
  apply (simp add: P-def)
  apply (induct rule: natUnion-decompose)
    using all by simp
qed

lemma Append-mono:  $U \subseteq V \implies P \subseteq Q \implies \text{Append } U k P \subseteq \text{Append } V k Q$ 
  by (auto simp add: Append-def)

lemma pointwise-Append: pointwise (Append T k)
  by (auto simp add: pointwise-def Append-def)

lemma regular-Append: regular (Append T k)
proof -
  have pointwise (Append T k) using pointwise-Append by blast
  then have pointbased (Append T k) using pointwise-implies-pointbased by blast
  then have continuous (Append T k) using pointbased-implies-continuous by blast
  moreover have setmonotone (Append T k) by (simp add: setmonotone-def Append-def)
  ultimately show ?thesis using regular-def by blast
qed

end

end
theory InductRules
imports Main
begin

lemma disjCases2[consumes 1, case-names 1 2]:
  assumes AB:  $A \vee B$ 
  and AP:  $A \implies P$ 
  and BP:  $B \implies P$ 
  shows P
proof -
  from AB AP BP show ?thesis by blast
qed

lemma disjCases3[consumes 1, case-names 1 2 3]:
  assumes AB:  $A \vee B \vee C$ 
  and AP:  $A \implies P$ 
  and BP:  $B \implies P$ 
  and CP:  $C \implies P$ 
  shows P
proof -
  from AB AP BP CP show ?thesis by blast
qed

```

```

lemma disjCases4[consumes 1, case-names 1 2 3 4]:
  assumes AB:  $A \vee B \vee C \vee D$ 
  and AP:  $A \implies P$ 
  and BP:  $B \implies P$ 
  and CP:  $C \implies P$ 
  and DP:  $D \implies P$ 
  shows P
proof -
  from AB AP BP CP DP show ?thesis by blast
qed

lemma disjCases5[consumes 1, case-names 1 2 3 4 5]:
  assumes AB:  $A \vee B \vee C \vee D \vee E$ 
  and AP:  $A \implies P$ 
  and BP:  $B \implies P$ 
  and CP:  $C \implies P$ 
  and DP:  $D \implies P$ 
  and EP:  $E \implies P$ 
  shows P
proof -
  from AB AP BP CP DP EP show ?thesis by blast
qed

lemma minimal-witness-ex:
  assumes k: P (k::nat)
  shows  $\exists k_0. k_0 \leq k \wedge P k_0 \wedge (\forall k. k < k_0 \longrightarrow \neg (P k))$ 
proof -
  let ?K = { h. h ≤ k ∧ P h }
  have finite-K: finite ?K by auto
  have k ∈ ?K by (simp add: k)
  then have nonempty-K: ?K ≠ {} by auto
  let ?k = Min ?K
  have witness: ?k ≤ k ∧ P ?k
    by (metis (mono-tags, lifting) Min-in finite-K mem-Collect-eq nonempty-K)
  have minimal:  $\forall h. h < ?k \longrightarrow \neg (P h)$ 
    by (metis Min-le witness dual-order.strict-implies-order
      dual-order.trans finite-K leD mem-Collect-eq)
  from witness minimal show ?thesis by metis
qed

lemma minimal-witness[consumes 1, case-names Minimal]:
  assumes P (k::nat)
  and  $\bigwedge K. K \leq k \implies P K \implies (\bigwedge k. k < K \implies \neg (P k)) \implies Q$ 
  shows Q
proof -
  from assms minimal-witness-ex show ?thesis by metis
qed

lemma ex-minimal-witness[consumes 1, case-names Minimal]:

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assumes  $\exists k. P (k::nat)$ 
and  $\bigwedge K. P K \implies (\bigwedge k. k < K \implies \neg (P k)) \implies Q$ 
shows  $Q$ 
proof -
  from assms minimal-witness-ex show ?thesis by metis
qed

end
theory ListTools
imports Main
begin

definition is-first :: 'a list ⇒ bool
where
  is-first  $x u = (\exists v. u = [x]@v)$ 

definition is-last :: 'a list ⇒ bool
where
  is-last  $x u = (\exists v. u = v@[x])$ 

definition is-prefix :: 'a list ⇒ 'a list ⇒ bool
where
  is-prefix  $u v = (\exists w. u@w = v)$ 

definition is-proper-prefix :: 'a list ⇒ 'a list ⇒ bool
where
  is-proper-prefix  $u v = (\exists w. w \neq [] \wedge u@w = v)$ 

lemma is-prefix-eq-proper-prefix: is-prefix  $a b = (a = b \vee$  is-proper-prefix  $a b)$ 
by (metis append-Nil2 is-prefix-def is-proper-prefix-def)

lemma is-proper-prefix-eq-prefix: is-proper-prefix  $a b = (a \neq b \wedge$  is-prefix  $a b)$ 
by (metis append-self-conv is-prefix-eq-proper-prefix is-proper-prefix-def)

definition is-suffix :: 'a list ⇒ 'a list ⇒ bool
where
  is-suffix  $u v = (\exists w. w@u = v)$ 

definition is-proper-suffix :: 'a list ⇒ 'a list ⇒ bool
where
  is-proper-suffix  $u v = (\exists w. w \neq [] \wedge w@u = v)$ 

lemma is-suffix-eq-proper-suffix: is-suffix  $a b = (a = b \vee$  is-proper-suffix  $a b)$ 
by (metis append-Nil is-proper-suffix-def is-suffix-def)

lemma is-proper-suffix-eq-suffix: is-proper-suffix  $a b = (a \neq b \wedge$  is-suffix  $a b)$ 
by (metis is-proper-suffix-def is-suffix-eq-proper-suffix self-append-conv2)

lemma is-prefix-unsplit: is-prefix  $u a \implies u @ (drop (length u) a) = a$ 

```

```

by (metis append-eq-conv-conj is-prefix-def)

lemma le-take-same:  $i \leq j \implies \text{take } j a = \text{take } j b \implies \text{take } i a = \text{take } i b$ 
  by (metis min.absorb1 take-take)

lemma is-first-drop-length:
  assumes  $k \leq \text{length } a$ 
  and  $k > \text{length } u$ 
  and  $v = X \# w$ 
  and  $\text{take } k a = \text{take } k (u @ v)$ 
  shows  $\text{is-first } X (\text{drop} (\text{length } u) a)$ 
proof -
  let ?d =  $k - \text{length } u$ 
  from assms have pos-d': ?d > 0 by auto
  from assms have take-d'-v:  $\text{take } ?d (\text{drop} (\text{length } u) a) = \text{take } ?d v$ 
    by (metis append-eq-conv-conj drop-take)
  then have take 1 (drop (length u) a) = take 1 v
    by (metis One-nat-def Suc-leI le-take-same pos-d')
  then have take 1 (drop (length u) a) = [X]
    by (simp add: assms)
  then show ?thesis
    by (metis append-take-drop-id is-first-def)
qed

lemma is-first-cons:  $\text{is-first } x (y \# ys) = (x = y)$ 
  by (auto simp add: is-first-def)

lemma list-all-pos-neg-ex:  $\text{list-all } P D \implies \neg (\text{list-all } Q D) \implies$ 
   $\exists k. k < \text{length } D \wedge P(D ! k) \wedge \neg(Q(D ! k))$ 
using list-all-length by blast

lemma split-list-at:  $k < \text{length } D \implies D = (\text{take } k D) @ [D ! k] @ (\text{drop} (\text{Suc } k) D)$ 
  by (metis append-Cons append-Nil id-take-nth-drop)

lemma take-eq-take-append:  $i \leq j \implies j \leq \text{length } a \implies \exists u. \text{take } j a = \text{take } i a$ 
  @ u
  by (metis le-Suc-ex take-add)

lemma is-proper-suffix-length-cmp:  $\text{is-proper-suffix } a b \implies \text{length } a < \text{length } b$ 
  by (metis add-diff-cancel-right' append-Nil append-eq-append-conv
    diff-is-0-eq is-proper-suffix-def leI length-append list.size(3))

end
theory Derivations
imports CFG ListTools InductRules
begin

```

```

context CFG begin

lemma [simp]: is-terminal t  $\implies$  is-symbol t
  by (auto simp add: is-terminal-def is-symbol-def)

lemma [simp]: is-sentence [] by (auto simp add: is-sentence-def)

lemma [simp]: is-word [] by (auto simp add: is-word-def)

lemma [simp]: is-word u  $\implies$  is-sentence u
  by (induct u, auto simp add: is-word-def is-sentence-def)

definition leftderives1 :: sentence  $\Rightarrow$  sentence  $\Rightarrow$  bool
where
  leftderives1 u v =
    ( $\exists$  x y N  $\alpha$ .
      u = x @ [N] @ y
       $\wedge$  v = x @  $\alpha$  @ y
       $\wedge$  is-word x
       $\wedge$  is-sentence y
       $\wedge$  (N,  $\alpha$ )  $\in$   $\Re$ )

lemma leftderives1-implies-derives1 [simp]: leftderives1 u v  $\implies$  derives1 u v
  apply (auto simp add: leftderives1-def derives1-def)
  apply (rule-tac x=x in exI)
  apply (rule-tac x=y in exI)
  apply (rule-tac x=N in exI)
  by auto

definition leftderivations1 :: (sentence  $\times$  sentence) set
where
  leftderivations1 = { (u,v) | u v. leftderives1 u v }

lemma [simp]: leftderivations1  $\subseteq$  derivations1
  by (auto simp add: leftderivations1-def derivations1-def)

definition leftderivations :: (sentence  $\times$  sentence) set
where
  leftderivations = leftderivations1 $^*$ 

lemma rtrancl-subset-implies: a  $\subseteq$  b  $\implies$  a  $\subseteq$  b $^*$  by blast

lemma leftderivations-subset-derivations [simp]: leftderivations  $\subseteq$  derivations
  apply (simp add: leftderivations-def derivations-def)
  apply (rule rtrancl-subset-rtrancl)
  apply (rule rtrancl-subset-implies)
  by simp

definition leftderives :: sentence  $\Rightarrow$  sentence  $\Rightarrow$  bool

```

```

where
  leftderives u v = ((u, v) ∈ leftderivations)

lemma leftderives-implies-derives[simp]: leftderives u v  $\implies$  derives u v
  apply (auto simp add: leftderives-def derives-def)
  by (rule subsetD[OF leftderivations-subset-derivations])

definition is-leftderivation :: sentence  $\Rightarrow$  bool
where
  is-leftderivation u = leftderives [S] u

lemma leftderivation-implies-derivation[simp]:
  is-leftderivation u  $\implies$  is-derivation u
  by (simp add: is-leftderivation-def is-derivation-def)

lemma leftderives-refl[simp]: leftderives u u
  by (auto simp add: leftderives-def leftderivations-def)

lemma leftderives1-implies-leftderives[simp]: leftderives1 a b  $\implies$  leftderives a b
  by (auto simp add: leftderives-def leftderivations-def leftderivations1-def)

lemma leftderives-trans: leftderives a b  $\implies$  leftderives b c  $\implies$  leftderives a c
  by (auto simp add: leftderives-def leftderivations-def)

lemma leftderives1-eq-leftderivations1: leftderives1 x y = ((x, y) ∈ leftderivations1)
  by (simp add: leftderivations1-def)

lemma leftderives-induct[consumes 1, case-names Base Step]:
  assumes derives: leftderives a b
  assumes Pa: P a
  assumes induct:  $\bigwedge y z$ . leftderives a y  $\implies$  leftderives1 y z  $\implies$  P y  $\implies$  P z
  shows P b
proof -
  note rtrancl-lemma = rtrancl-induct[where a = a and b = b and r = leftderivations1 and P=P]
  from derives Pa induct rtrancl-lemma show P b
  by (metis leftderives-def leftderivations-def leftderives1-eq-leftderivations1)
qed

end

context CFG begin

lemma derives1-implies-derives[simp]: derives1 a b  $\implies$  derives a b
  by (auto simp add: derives-def derivations-def derivations1-def)

lemma derives-trans: derives a b  $\implies$  derives b c  $\implies$  derives a c

```

```

by (auto simp add: derives-def derivations-def)

lemma derives1-eq-derivations1: derives1 x y = ((x, y) ∈ derivations1)
  by (simp add: derivations1-def)

lemma derives-induct[consumes 1, case-names Base Step]:
  assumes derives: derives a b
  assumes Pa: P a
  assumes induct: ∀y z. derives a y ⇒ derives1 y z ⇒ P y ⇒ P z
  shows P b
proof -
  note rtranc1-lemma = rtranc1-induct[where a = a and b = b and r = derivations1 and P=P]
  from derives Pa induct rtranc1-lemma show P b
  by (metis derives-def derivations-def derives1-eq-derivations1)
qed

end

context CFG begin

definition Derives1 :: sentence ⇒ nat ⇒ rule ⇒ sentence ⇒ bool
where
Derives1 u i r v =
  (Ǝ x y N α.
    u = x @ [N] @ y
    ∧ v = x @ α @ y
    ∧ is-sentence x
    ∧ is-sentence y
    ∧ (N, α) ∈ ℜ
    ∧ r = (N, α) ∧ i = length x)

lemma Derives1-split:
  Derives1 u i r v ⇒ ∃ x y. u = x @ [fst r] @ y ∧ v = x @ (snd r) @ y ∧ length
  x = i
  by (metis Derives1-def fst-conv snd-conv)

lemma Derives1-implies-derives1: Derives1 u i r v ⇒ derives1 u v
  by (auto simp add: Derives1-def derives1-def)

lemma derives1-implies-Derives1: derives1 u v ⇒ ∃ i r. Derives1 u i r v
  by (auto simp add: Derives1-def derives1-def)

lemma Derives1-unique-dest: Derives1 u i r v ⇒ Derives1 u i r w ⇒ v = w
  by (auto simp add: Derives1-def derives1-def)

lemma Derives1-unique-src: Derives1 u i r w ⇒ Derives1 v i r w ⇒ u = v
  by (auto simp add: Derives1-def derives1-def)

```

```

type-synonym derivation = (nat × rule) list

fun Derivation :: sentence ⇒ derivation ⇒ sentence ⇒ bool
where
  Derivation a [] b = (a = b)
  | Derivation a (d#D) b = (∃ x. Derives1 a (fst d) (snd d) x ∧ Derivation x D b)

lemma Derivation-implies-derives: Derivation a D b ⇒ derives a b
proof (induct D arbitrary: a b)
  case Nil thus ?case
    by (simp add: derives-def derivations-def)
  next
    case (Cons d D)
    note ihyps = this
    from ihyps have ∃ x. Derives1 a (fst d) (snd d) x ∧ Derivation x D b by auto
    then obtain x where Derives1 a (fst d) (snd d) x and xb: Derivation x D b
    by blast
    with Derives1-implies-derives1 have d1: derives a x by auto
    from ihyps xb have d2: derives x b by simp
    show derives a b by (rule derives-trans[OF d1 d2])
  qed

lemma Derivation-Derives1: Derivation a S y ⇒ Derives1 y i r z ⇒ Derivation
a (S@[i,r]) z
proof (induct S arbitrary: a y z i r)
  case Nil thus ?case by simp
  next
    case (Cons s S) thus ?case
      by (metis Derivation.simps(2) append-Cons)
  qed

lemma derives-implies-Derivation: derives a b ⇒ ∃ D. Derivation a D b
proof (induct rule: derives-induct)
  case Base
  show ?case by (rule exI[where x=[]], simp)
  next
    case (Step y z)
    note ihyps = this
    from ihyps obtain D where ay: Derivation a D y by blast
    from ihyps derives1-implies-Derives1 obtain i r where yz: Derives1 y i r z by
    blast
    from Derivation-Derives1[OF ay yz] show ?case by auto
  qed

lemma Derives1-take: Derives1 a i r b ⇒ take i a = take i b
by (auto simp add: Derives1-def)

lemma Derives1-drop: Derives1 a i r b ⇒ drop (Suc i) a = drop (i + length (snd

```

```

r)) b
  by (auto simp add: Derives1-def)

lemma Derives1-bound: Derives1 a i r b ==> i < length a
  by (auto simp add: Derives1-def)

lemma Derives1-length: Derives1 a i r b ==> length b = length a + length (snd r)
  - 1
  by (auto simp add: Derives1-def)

definition leftmost :: nat ⇒ sentence ⇒ bool
where
  leftmost i s = (i < length s ∧ is-word (take i s) ∧ is-nonterminal (s ! i))

lemma set-take: set (take n s) = { s ! i | i. i < n ∧ i < length s}
proof (cases n ≤ length s)
  case True thus ?thesis
    by (subst List.nth-image[symmetric], auto)
next
  case False thus ?thesis
    apply (subst set-conv-nth)
    by (metis less-trans linear not-le take-all)
qed

lemma list-all-take: list-all P (take n s) = (∀ i. i < n ∧ i < length s → P (s ! i))
  by (auto simp add: set-take list-all-iff)

lemma is-sentence-concat: is-sentence (x@y) = (is-sentence x ∧ is-sentence y)
  by (auto simp add: is-sentence-def)

lemma is-sentence-cons: is-sentence (x#xs) = (is-symbol x ∧ is-sentence xs)
  by (auto simp add: is-sentence-def)

lemma rule-nonterminal-type[simp]: (N, α) ∈ ℜ ==> is-nonterminal N
  apply (insert validRules)
  by (auto simp add: is-nonterminal-def)

lemma rule-α-type[simp]: (N, α) ∈ ℜ ==> is-sentence α
  apply (insert validRules)
  by (auto simp add: is-sentence-def is-symbol-def list-all-iff is-terminal-def is-nonterminal-def)

lemma [simp]: is-nonterminal N ==> is-symbol N
  by (simp add: is-symbol-def)

lemma Derives1-sentence1[elim]: Derives1 a i r b ==> is-sentence a
  by (auto simp add: Derives1-def is-sentence-cons is-sentence-concat)

lemma Derives1-sentence2[elim]: Derives1 a i r b ==> is-sentence b

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by (auto simp add: Derives1-def is-sentence-cons is-sentence-concat)

lemma [elim]: Derives1 a i r b ==> r ∈ ℙ
  using Derives1-def by auto

lemma is-sentence-symbol: is-sentence a ==> i < length a ==> is-symbol (a ! i)
  by (simp add: is-sentence-def list-all-iff)

lemma is-symbol-distinct: is-symbol x ==> is-terminal x ≠ is-nonterminal x
  apply (insert disjunct-symbols)
  apply (auto simp add: is-symbol-def is-terminal-def is-nonterminal-def)
  done

lemma is-terminal-nonterminal: is-terminal x ==> is-nonterminal x ==> False
  by (metis is-symbol-def is-symbol-distinct)

lemma Derives1-leftmost:
  assumes Derives1 a i r b
  shows ∃ j. leftmost j a ∧ j ≤ i
proof -
  let ?J = {j . j < length a ∧ is-nonterminal (a ! j)}
  let ?M = Min ?J
  from assms have J1:i ∈ ?J
    apply (auto simp add: Derives1-def is-nonterminal-def)
    by (metis (mono-tags, lifting) prod.simps(2) validRules)
  have J2:finite ?J by auto
  note J = J1 J2
  from J have M1: ?M ∈ ?J by (rule-tac Min-in, auto)
  {
    fix j
    assume j ∈ ?J
    with J have ?M ≤ j by auto
  }
  note M3 = this[OF J1]
  from assms have a-sentence: is-sentence a by (simp add: Derives1-sentence1)
  have is-word: is-word (take ?M a)
    apply (auto simp add: is-word-def list-all-take)
  proof -
    fix i
    assume i-less-M: i < ?M
    assume i-inbounds: i < length a
    show is-terminal (a ! i)
      proof(cases is-terminal (a ! i))
        case True thus ?thesis by auto
      next
        case False
        then have is-nonterminal (a ! i)
          using i-inbounds a-sentence is-sentence-symbol is-symbol-distinct by blast
        then have i ∈ ?J by (metis i-inbounds mem-Collect-eq)
      qed
  qed
qed

```

```

then have ?M < i by (metis J2 Min-le i-less-M leD)
then have False by (metis i-less-M less-asym')
then show ?thesis by auto
qed
qed
show ?thesis
apply (rule-tac exI[where x=?M])
apply (simp add: leftmost-def)
by (metis (mono-tags, lifting) M1 M3 is-word mem-Collect-eq)
qed

lemma Derivation-leftmost: D ≠ []  $\implies$  Derivation a D b  $\implies \exists i. \text{leftmost } i a$ 
apply (case-tac D)
apply (auto)
apply (metis Derives1-leftmost)
done

lemma nonword-has-nonterminal:
is-sentence a  $\implies \neg (\text{is-word } a) \implies \exists k. k < \text{length } a \wedge \text{is-nonterminal } (a ! k)$ 
apply (auto simp add: is-sentence-def list-all-iff is-word-def)
by (metis in-set-conv-nth is-symbol-distinct)

lemma leftmost-cons-nonterminal:
is-nonterminal x  $\implies$  leftmost 0 (x#xs)
by (metis CFG.is-word-def CFG-axioms leftmost-def length-greater-0-conv list.distinct(1))

list-all-simps(2) nth-Cons-0 take-Cons'
lemma leftmost-cons-terminal:
is-terminal x  $\implies$  leftmost i (x#xs) = (i > 0  $\wedge$  leftmost (i - 1) xs)
by (metis Suc-diff-1 Suc-less-eq is-terminal-nonterminal is-word-def leftmost-def length-Cons)
list-all-simps(1) not-gr0 nth-Cons' take-Cons'

lemma is-nonterminal-cons-terminal:
is-terminal x  $\implies k < \text{length } (x \# a) \implies \text{is-nonterminal } ((x \# a) ! k) \implies$ 
k > 0  $\wedge$  k - 1 < length a  $\wedge$  is-nonterminal (a ! (k - 1))
by (metis One-nat-def Suc-leI is-terminal-nonterminal less-diff-conv2
list.size(4) nth-non-equal-first-eq)

lemma leftmost-exists:
is-sentence a  $\implies k < \text{length } a \implies \text{is-nonterminal } (a ! k) \implies$ 
 $\exists i. \text{leftmost } i a \wedge i \leq k$ 
proof (induct a arbitrary: k)
case Nil thus ?case by auto
next
case (Cons x a)
show ?case
proof(cases is-nonterminal x)

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```

case True thus ?thesis
  apply(rule-tac exI[where x=0])
  apply (simp add: leftmost-cons-nonterminal)
  done
next
  case False
  then have x: is-terminal x
    by (metis Cons.preds(1) is-sentence-cons is-symbol-distinct)
  note k = is-nonterminal-cons-terminal[OF x Cons(3) Cons(4)]
  with Cons have  $\exists i. \text{leftmost } i \text{ a} \wedge i \leq k - 1$  by (metis is-sentence-cons)
  then show ?thesis
    apply (auto simp add: leftmost-cons-terminal[OF x])
    by (metis le-diff-conv2 Suc-leI add-Suc-right add-diff-cancel-right' k
          le-0-eq le-imp-less-Suc nat-le-linear)
  qed
qed

lemma nonword-leftmost-exists:
  is-sentence a  $\implies \neg (\text{is-word } a) \implies \exists i. \text{leftmost } i \text{ a}$ 
  by (metis leftmost-exists nonword-has-nonterminal)

lemma leftmost-unaffected-Derives1: leftmost j a  $\implies j < i \implies \text{Derives1 } a \ i \ r \ b$ 
 $\implies \text{leftmost } j \ b$ 
apply (simp add: leftmost-def)
proof -
  assume a1:  $j < \text{length } a \wedge \text{is-word } (\text{take } j \ a) \wedge \text{is-nonterminal } (a ! j)$ 
  assume a2:  $j < i$ 
  assume Derives1 a i r b
  then have f3:  $\text{take } i \ a = \text{take } i \ b$ 
    by (metis Derives1-take)
  have f4:  $\bigwedge n \ ss \ ssa. \text{take } (\text{length } (\text{take } n \ (ss::symbol \ list))) \ (ssa::symbol \ list) =$ 
 $\text{take } (\text{length } ss) \ (\text{take } n \ ssa)$ 
    by (metis length-take take-take)
  have f5:  $\bigwedge ss. \text{take } j \ (ss::symbol \ list) = \text{take } i \ (\text{take } j \ ss)$ 
    using a2 by (metis dual-order.strict-implies-order min.absorb2 take-take)
  have f6:  $\text{length } (\text{take } j \ a) = j$ 
    using a1 by (metis dual-order.strict-implies-order length-take min.absorb2)
  then have f7:  $\bigwedge n. \min j n = \text{length } (\text{take } n \ (take j \ a))$ 
    by (metis length-take)
  have f8:  $\bigwedge n \ ss. n = \text{length } (\text{take } n \ (ss::symbol \ list)) \vee \text{length } ss < n$ 
    by (metis leI length-take min.absorb2)
  have f9:  $\bigwedge ss. \text{take } j \ (ss::symbol \ list) = \text{take } j \ (\text{take } i \ ss)$ 
    using f7 f6 f5 by (metis take-take)
  have f10:  $\bigwedge ss \ n. \text{length } (ss::symbol \ list) \leq n \vee \text{length } (\text{take } n \ ss) = n$ 
    using f8 by (metis dual-order.strict-implies-order)
  then have f11:  $\bigwedge ss \ ssa. \text{length } (ss::symbol \ list) = \text{length } (\text{take } (\text{length } ss) \ (ss::symbol \ list)) \vee \text{length } (\text{take } (\text{length } ssa) \ ss) = \text{length } ssa$ 
    by (metis length-take min.absorb2)
  have f12:  $\bigwedge ss \ ssa \ n. \text{take } (\text{length } (ss::symbol \ list)) \ (ssa::symbol \ list) = \text{take } n$ 

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```

(take (length ss) ssa) ∨ length (take n ss) = n
  using f10 by (metis min.absorb2 take-take)
{ assume ¬ j < j
{ assume ¬ j < j ∧ i ≠ j
  moreover
  { assume length a ≠ j ∧ length (take i a) ≠ i
    then have ∃ ss. length (take (length (take i (take (length a) (ss::symbol list)))) (take j ss)) ≠ length (take i (take (length a) ss))
      using f12 f11 f6 f5 f4 by metis
    then have ∃ ss ssa. take (length (ss::symbol list)) (take j (ssa::symbol list)) ≠ take (length ss) (take i (take (length a) ssa))
      using f11 by metis
    then have length b ≠ j
      using f9 f4 f3 by metis }
    ultimately have length b ≠ j
      using f7 f6 f5 f3 a1 by (metis length-take) }
  then have length b = j → j < j
    using a2 by metis }
then have j < length b
  using f9 f8 f7 f6 f4 f3 by metis
then show j < length b ∧ is-word (take j b) ∧ is-nonterminal (b ! j)
  using f9 f3 a2 a1 by (metis nth-take)
qed

definition derivation-ge :: derivation ⇒ nat ⇒ bool
where
derivation-ge D i = (∀ d ∈ set D. fst d ≥ i)

lemma derivation-ge-cons: derivation-ge (d#D) i = (fst d ≥ i ∧ derivation-ge D i)
by (auto simp add: derivation-ge-def)

lemma derivation-ge-append:
derivation-ge (D@E) i = (derivation-ge D i ∧ derivation-ge E i)
by (auto simp add: derivation-ge-def)

lemma leftmost-unaffected-Derivation:
derivation-ge D (Suc i) ⇒ leftmost i a ⇒ Derivation a D b ⇒ leftmost i b
proof (induct D arbitrary: a)
  case Nil thus ?case by auto
next
  case (Cons d D)
  then have ∃ x. Derives1 a (fst d) (snd d) x ∧ Derivation x D b by simp
  then obtain x where x1: Derives1 a (fst d) (snd d) x and x2: Derivation x D b by blast
  with Cons have leftmost-x: leftmost i x
  apply (rule-tac leftmost-unaffected-Derives1[
    where a=a and j=i and b=x and i=fst d and r=snd d])
  by (auto simp add: derivation-ge-def)

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```

with Cons x2 show ?case by (auto simp add: derivation-ge-def)
qed

lemma le-Derives1-take:
assumes le: i ≤ j
and D: Derives1 a j r b
shows take i a = take i b
proof -
  note Derives1-take[where a=a and i=j and r=r and b=b]
  with le D show ?thesis by (rule-tac le-take-same[where i=i and j=j], auto)
qed

lemma Derivation-take: derivation-ge D i ==> Derivation a D b ==> take i a =
take i b
proof(induct D arbitrary: a b)
  case Nil thus ?case by auto
next
  case (Cons d D)
  then have ∃ x. Derives1 a (fst d) (snd d) x ∧ Derivation x D b
  by simp
  then obtain x where ax: Derives1 a (fst d) (snd d) x and xb: Derivation x D b
  by blast
  from derivation-ge-cons Cons(2) have d: i ≤ fst d and D: derivation-ge D i by
  auto
  note take-i-xb = Cons(1)[OF D xb]
  note take-i-ax = le-Derives1-take[OF d ax]
  from take-i-xb take-i-ax show ?case by auto
qed

lemma leftmost-cons-less: i < length u ==> leftmost i (u@v) = leftmost i u
by (auto simp add: leftmost-def nth-append)

lemma leftmost-is-nonterminal: leftmost i u ==> is-nonterminal (u ! i)
by (metis leftmost-def)

lemma is-word-is-terminal: i < length u ==> is-word u ==> is-terminal (u ! i)
by (metis is-word-def list-all-length)

lemma leftmost-append:
assumes leftmost: leftmost i (u@v)
and is-word: is-word u
shows length u ≤ i
proof (cases i < length u)
  case False thus ?thesis by auto
next
  case True
  with leftmost have leftmost i u using leftmost-cons-less[OF True] by simp
  then have is-nonterminal: is-nonterminal (u ! i) by (rule leftmost-is-nonterminal)
  note is-terminal = is-word-is-terminal[OF True is-word]

```

```

note is-terminal-nonterminal[OF is-terminal is-nonterminal]
then show ?thesis by auto
qed

lemma derivation-ge-empty[simp]: derivation-ge [] i
by (simp add: derivation-ge-def)

lemma leftmost-notword: leftmost i a  $\implies j > i \implies \neg (\text{is-word} (\text{take } j a))$ 
by (metis is-terminal-nonterminal is-word-def leftmost-def list-all-take)

lemma leftmost-unique: leftmost i a  $\implies \text{leftmost } j a \implies i = j$ 
by (metis leftmost-def leftmost-notword linorder-neqE-nat)

lemma leftmost-Derives1: leftmost i a  $\implies \text{Derives1 } a j r b \implies i \leq j$ 
by (metis Derives1-leftmost leftmost-unique)

lemma leftmost-Derives1-propagate:
assumes leftmost: leftmost i a
and Derives1: Derives1 a j r b
shows (is-word b  $\wedge$  i = j)  $\vee (\exists k. \text{leftmost } k b \wedge i \leq k)$ 
proof -
from leftmost-Derives1[OF leftmost Derives1] have ij: i ≤ j by auto
show ?thesis
proof (cases is-word b)
case True show ?thesis
by (metis Derives1 True ij le-neq-implies-less leftmost
leftmost-unaffected-Derives1 order-refl)
next
case False show ?thesis
by (metis (no-types, opaque-lifting) Derives1 Derives1-bound Derives1-sentence2

Derives1-take append-take-drop-id ij le-neq-implies-less leftmost
leftmost-append leftmost-cons-less leftmost-def length-take
min.absorb2 nat-le-linear nonword-leftmost-exists not-le)

qed
qed

lemma is-word-Derives1[elim]: is-word a  $\implies \text{Derives1 } a i r b \implies \text{False}$ 
by (metis Derives1-leftmost is-terminal-nonterminal is-word-is-terminal leftmost-def)

lemma is-word-Derivation[elim]: is-word a  $\implies \text{Derivation } a D b \implies D = []$ 
by (metis Derivation-leftmost is-terminal-nonterminal is-word-def
leftmost-def list-all-length)

lemma leftmost-Derivation:
leftmost i a  $\implies \text{Derivation } a D b \implies j \leq i \implies \text{derivation-ge } D j$ 
proof (induct D arbitrary: a b i j)
case Nil thus ?case by auto
next

```

```

case (Cons d D)
then have  $\exists x. \text{Derives1 } a (\text{fst } d) (\text{snd } d) x \wedge \text{Derivation } x D b$  by auto
then obtain x where ax:Derives1 a (fst d) (snd d) x and xb:Derivation x D b
by blast
note ji = Cons(4)
note i-fstd = leftmost-Derives1[OF Cons(2) ax]
note disj = leftmost-Derives1-propagate[OF Cons(2) ax]
thus ?case
proof(induct rule: disjCases2)
  case 1
    with xb have D = [] by auto
    with 1 ji show ?case by (simp add: derivation-ge-def)
  next
    case 2
    then obtain k where k: leftmost k x and ik:  $i \leq k$  by blast
    note ge = Cons(1)[OF k xb, where j=j]
    from ji ik i-fstd ge show ?case
      by (simp add: derivation-ge-cons)
  qed
qed

lemma derivation-ge-list-all: derivation-ge D i = list-all ( $\lambda d. \text{fst } d \geq i$ ) D
by (simp add: Ball-set derivation-ge-def)

lemma split-derivation-leftmost:
assumes derivation-ge D i
and  $\neg(\text{derivation-ge } D (\text{Suc } i))$ 
shows  $\exists E F r. D = E@[(i, r)]@F \wedge \text{derivation-ge } E (\text{Suc } i)$ 
proof -
  from assms have  $\exists k. k < \text{length } D \wedge \text{fst}(D ! k) \geq i \wedge \neg(\text{fst}(D ! k) \geq \text{Suc } i)$ 
    by (metis derivation-ge-def in-set-conv-nth)
  then have  $\exists k. k < \text{length } D \wedge \text{fst}(D ! k) = i$  by auto
  then show ?thesis
  proof(induct rule: ex-minimal-witness)
    case (Minimal k)
      then have k-len:  $k < \text{length } D$  and k-i:  $\text{fst } (D ! k) = i$  by auto
      let ?E = take k D
      let ?r = snd (D ! k)
      let ?F = drop (Suc k) D
      note split = split-list-at[OF k-len]
      from k-i have D-k:  $D ! k = (i, ?r)$  by auto
      show ?case
        apply (rule exI[where x=?E])
        apply (rule exI[where x=?F])
        apply (rule exI[where x=?r])
        apply (subst D-k[symmetric])
        apply (rule conjI)
        apply (rule split)
        by (metis (mono-tags, lifting) Minimal.hyps(2) Suc-leI assms(1))

```

derivation-ge-list-all le-neq-implies-less list-all-length list-all-take)

qed

qed

lemma Derives1-Derives1-swap:

assumes $i < j$
and Derives1 $a j p b$
and Derives1 $b i q c$
shows $\exists b'. \text{Derives1 } a i q b' \wedge \text{Derives1 } b' (j - 1 + \text{length } (\text{snd } q)) p c$

proof –

from Derives1-split[*OF assms(2)*] obtain $a1\ a2$ where
a-src: $a = a1 @ [\text{fst } p] @ a2$ **and** *a-dest*: $b = a1 @ \text{snd } p @ a2$
and *a1-len*: $\text{length } a1 = j$ by blast
note $a = \text{this}$
from *a* have *is-sentence-a1*: *is-sentence a1*
using Derives1-sentence2 assms(2) *is-sentence-concat* by blast
from *a* have *is-sentence-a2*: *is-sentence a2*
using Derives1-sentence2 assms(2) *is-sentence-concat* by blast
from *a* have *is-symbol-fst-p*: *is-symbol (fst p)*
by (metis Derives1-sentence1 assms(2) *is-sentence-concat* *is-sentence-cons*)
from Derives1-split[*OF assms(3)*] obtain $b1\ b2$ where
b-src: $b = b1 @ [\text{fst } q] @ b2$ **and** *b-dest*: $c = b1 @ \text{snd } q @ b2$
and *b1-len*: $\text{length } b1 = i$ by blast
have *a-take-j*: $a1 = \text{take } j a$ by (metis *a1-len* *a-src* *append-eq-conv-conj*)
have *b-take-i*: $b1 @ [\text{fst } q] = \text{take } (\text{Suc } i) b$
by (metis *append-assoc* *append-eq-conv-conj* *b1-len* *b-src* *length-append-singleton*)

from *a-take-j* *b-take-i* *take-eq-take-append*[**where** $j=j$ **and** $i=\text{Suc } i$ **and** $a=a$]
have $\exists u. a1 = (b1 @ [\text{fst } q]) @ u$
by (metis *le-iff-add* *Suc-leI* *a1-len* *a-dest* *append-eq-conv-conj* *assms(1)* *take-add*)

then obtain *u* where *u1*: $a1 = (b1 @ [\text{fst } q]) @ u$ by blast
then have *j-i-u*: $j = i + 1 + \text{length } u$
using *Suc-eq-plus1* *a1-len* *b1-len* *length-append-singleton* by auto
from *u1* *is-sentence-a1* have *is-sentence-b1-u*: *is-sentence b1* \wedge *is-sentence u*
using *is-sentence-concat* by blast
have *u2*: $b2 = u @ \text{snd } p @ a2$ by (metis *a-dest* *append-assoc* *b-src* *same-append-eq* *u1*)
let $?b = b1 @ (\text{snd } q) @ u @ [\text{fst } p] @ a2$
from *assms* have *q-dom*: $q \in \mathfrak{R}$ by auto
have *a-b'*: Derives1 $a i q ?b$
apply (*subst Derives1-def*)
apply (*rule exI[where x=b1]*)
apply (*rule exI[where x=u@[fst p]@a2]*)
apply (*rule exI[where x=fst q]*)
apply (*rule exI[where x=snd q]*)
apply (*auto simp add: b1-len is-sentence-cons is-sentence-concat*
is-sentence-a2 is-symbol-fst-p is-sentence-b1-u a-src u1 q-dom)
done

```

from assms have p-dom:  $p \in \mathfrak{R}$  by auto
have is-sentence-snd-q: is-sentence (snd q)
  using Derives1-sentence2 a-b' is-sentence-concat by blast
have b'-c: Derives1 ?b (j - 1 + length (snd q)) p c
  apply (subst Derives1-def)
  apply (rule exI[where x=b1 @ (snd q) @ u])
  apply (rule exI[where x=a2])
  apply (rule exI[where x=fst p])
  apply (rule exI[where x=snd p])
  apply (auto simp add: is-sentence-concat is-sentence-b1-u is-sentence-a2 p-dom
         is-sentence-snd-q b-dest u2 b1-len j-i-u)
  done
show ?thesis
  apply (rule exI[where x=?b])
  apply (rule conjI)
  apply (rule a-b')
  apply (rule b'-c)
  done
qed

definition derivation-shift :: derivation  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  derivation
where
  derivation-shift D left right = map ( $\lambda d. (fst d - left + right, snd d)$ ) D

lemma derivation-shift-empty[simp]: derivation-shift [] left right = []
  by (auto simp add: derivation-shift-def)

lemma derivation-shift-cons[simp]:
  derivation-shift (d#D) left right = ((fst d - left + right, snd d) # (derivation-shift
  D left right))
  by (simp add: derivation-shift-def)

lemma Derivation-append: Derivation a (D@E) c = ( $\exists$  b. Derivation a D b  $\wedge$ 
Derivation b E c)
proof(induct D arbitrary: a c E)
  case Nil thus ?case by auto
next
  case (Cons d D) thus ?case by auto
qed

lemma Derivation-implies-append:
  Derivation a D b  $\Longrightarrow$  Derivation b E c  $\Longrightarrow$  Derivation a (D@E) c
  using Derivation-append by blast

lemma Derivation-swap-single-end-to-front:
  i < j  $\Longrightarrow$  derivation-ge D j  $\Longrightarrow$  Derivation a (D@[i,r]) b  $\Longrightarrow$ 
  Derivation a ((i,r) # (derivation-shift D 1 (length (snd r)))) b
proof(induct D arbitrary: a)
  case Nil thus ?case by auto

```

```

next
  case (Cons d D)
    from Cons have  $\exists c. \text{Derives1 } a (\text{fst } d) (\text{snd } d) c \wedge \text{Derivation } c (D @ [(i, r)])$ 
    b
      by simp
    then obtain c where ac:  $\text{Derives1 } a (\text{fst } d) (\text{snd } d) c$ 
      and cb:  $\text{Derivation } c (D @ [(i, r)]) b$  by blast
    from Cons(3) have  $D-j: \text{derivation-ge } D j$  by (simp add: derivation-ge-cons)
    from Cons(1)[OF Cons(2) D-j cb, simplified]
    obtain x where cx:  $\text{Derives1 } c i r x$  and
      xb:  $\text{Derivation } x (\text{derivation-shift } D 1 (\text{length } (\text{snd } r))) b$  by auto
    have i-fst-d:  $i < \text{fst } d$  using Cons derivation-ge-cons by auto
    from Derives1-Derives1-swap[OF i-fst-d ac cx]
    obtain b' where ab':  $\text{Derives1 } a i r b'$  and
      b'x:  $\text{Derives1 } b' (\text{fst } d - 1 + \text{length } (\text{snd } r)) (\text{snd } d) x$  by blast
    show ?case using ab' b'x xb by auto
  qed

lemma Derivation-swap-single-mid-to-front:
  assumes  $i < j$ 
  and derivation-ge D j
  and Derivation a (D@[(i,r)]@E) b
  shows Derivation a ((i,r) # (derivation-shift D 1 (length (snd r)))@E) b
proof –
  from assms have  $\exists x. \text{Derivation a (D@[(i, r)]) } x \wedge \text{Derivation } x E b$ 
    using Derivation-append by auto
  then obtain x where ax:  $\text{Derivation a (D@[(i, r)]) } x$  and xb:  $\text{Derivation } x E b$ 
    by blast
  with assms have Derivation a ((i, r) # (derivation-shift D 1 (length (snd r)))) x
    using Derivation-swap-single-end-to-front by blast
  then show ?thesis using Derivation-append xb by auto
  qed

lemma length-derivation-shift[simp]:
  length(derivation-shift D left right) = length D
  by (simp add: derivation-shift-def)

definition LeftDerives1 :: sentence  $\Rightarrow$  nat  $\Rightarrow$  rule  $\Rightarrow$  sentence  $\Rightarrow$  bool
where
  LeftDerives1 u i r v = (leftmost i u \wedge Derives1 u i r v)

lemma LeftDerives1-implies-leftderives1:  $\text{LeftDerives1 } u i r v \implies \text{leftderives1 } u v$ 
by (metis Derives1-def LeftDerives1-def append-eq-conv-conj leftderives1-def leftmost-def)

lemma leftmost-Derives1-leftderives:
  leftmost i a \implies Derives1 a i r b \implies leftderives b c \implies leftderives a c
using LeftDerives1-def LeftDerives1-implies-leftderives1
  leftderives1-implies-leftderives leftderives-trans by blast

```

```

theorem Derivation-implies-leftderives-gen:
  Derivation a D (u@v) ==> is-word u ==> ( $\exists$  w.
    leftderives a (u@w)  $\wedge$ 
    (v = []  $\longrightarrow$  w = [])  $\wedge$ 
    ( $\forall$  X. is-first X v  $\longrightarrow$  is-first X w))
proof (induct length D arbitrary: D a u v)
  case 0
    then have a = u@v by auto
    thus ?case by (rule-tac x = v in exI, auto)
  next
    case (Suc n)
      from Suc have D  $\neq$  [] by auto
      with Suc Derivation-leftmost have  $\exists$  i. leftmost i a by auto
      then obtain i where i: leftmost i a by blast
      show ?case
      proof (cases derivation-ge D (Suc i))
        case True
          with Suc have leftmost: leftmost i (u@v)
            by (rule-tac leftmost-unaffected-Derivation[OF True i], auto)
          have length-u: length u  $\leq$  i
            using leftmost-append[OF leftmost Suc(4)] .
          have take-Suc: take (Suc i) a = take (Suc i) (u@v)
            using Derivation-take[OF True Suc(3)] .
          with length-u have is-prefix-u: is-prefix u a
            by (metis append-assoc append-take-drop-id dual-order.strict-implies-order

              is-prefix-def le-imp-less-Suc take-all take-append)
          have a: u @ drop (length u) a = a
            using is-prefix-unsplit[OF is-prefix-u] .
          from take-Suc have length-take-Suc: length (take (Suc i) a) = Suc i
            by (metis Suc-leI i leftmost-def length-take min.absorb2)
          have v: v  $\neq$  []
          proof(cases v = [])
            case False thus ?thesis by auto
          next
            case True
              with length-u have right: length(take (Suc i) (u@v)) = length u by simp
              note left = length-take-Suc
              from left right take-Suc have Suc i = length u by auto
              with length-u show ?thesis by auto
            qed
            then have  $\exists$  X w. v = X#w by (cases v, auto)
            then obtain X w where v: v = X#w by blast
            have is-first-X: is-first X (drop (length u) a)
              apply (rule-tac is-first-drop-length[where v=v and w=w and k=Suc i])
              apply (simp-all add: take-Suc v)
              apply (metis Suc-leI i leftmost-def)
              apply (insert length-u)

```

```

apply arith
done
show ?thesis
  apply (rule exI[where x=drop (length u) a])
  by (simp add: a v is-first-cons is-first-X)
next
  case False
  have Di: derivation-ge D i
  using leftmost-Derivation[OF i Suc(3), where j=i, simplified] .
  from split-derivation-leftmost[OF Di False]
  obtain E F r where D-split: D = E @ [(i, r)] @ F
    and E-Suc: derivation-ge E (Suc i) by auto
  let ?D = (derivation-shift E 1 (length (snd r)))@F
  from D-split
  have Derivation a ((i,r) # ?D) (u @ v)
  using Derivation-swap-single-mid-to-front E-Suc Suc.prems(1) lessI by blast
  then have  $\exists y. \text{Derives1 } a \ i \ r \ y \wedge \text{Derivation } y \ ?D \ (u @ v)$  by simp
  then obtain y where ay:Derives1 a i r y
    and yuv: Derivation y ?D (u @ v) by blast
  have length-D': length ?D = n using D-split Suc.hyps(2) by auto
  from Suc(1)[OF length-D'[symmetric] yuv Suc(4)]
  obtain w where leftderives y (u @ w) and (v = []  $\longrightarrow$  w = [])
    and  $\forall X. \text{is-first } X \ v \longrightarrow \text{is-first } X \ w$  by blast
  then show ?thesis using ay i leftmost-Derives1-leftderives by blast
qed
qed

lemma derives-implies-leftderives-gen: derives a (u@v)  $\Longrightarrow$  is-word u  $\Longrightarrow$  ( $\exists w.$ 
  leftderives a (u@w)  $\wedge$ 
  ( $v = [] \longrightarrow w = []$ )  $\wedge$ 
  ( $\forall X. \text{is-first } X \ v \longrightarrow \text{is-first } X \ w$ ))
using Derivation-implies-leftderives-gen derives-implies-Derivation by blast

lemma derives-implies-leftderives: derives a b  $\Longrightarrow$  is-word b  $\Longrightarrow$  leftderives a b
using derives-implies-leftderives-gen by force

fun LeftDerivation :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  sentence  $\Rightarrow$  bool
where
  LeftDerivation a [] b = (a = b)
  | LeftDerivation a (d#D) b = ( $\exists x. \text{LeftDerives1 } a (\text{fst } d) (\text{snd } d) x \wedge \text{LeftDerivation } x D b$ )

lemma LeftDerives1-implies-Derives1: LeftDerives1 a i r b  $\Longrightarrow$  Derives1 a i r b
by (metis LeftDerives1-def)

lemma LeftDerivation-implies-Derivation:
  LeftDerivation a D b  $\Longrightarrow$  Derivation a D b
proof (induct D arbitrary: a)
  case (Nil) thus ?case by simp

```

```

next
  case (Cons d D)
  thus ?case
    using CFG.LeftDerivation.simps(2) CFG-axioms Derivation.simps(2)
      LeftDerives1-implies-Derives1 by blast
qed

lemma LeftDerivation-implies-leftderives: LeftDerivation a D b  $\implies$  leftderives a b
proof (induct D arbitrary: a b)
  case Nil thus ?case by simp
next
  case (Cons d D)
  note ihyps = this
  from ihyps have  $\exists x.$  LeftDerives1 a (fst d) (snd d) x  $\wedge$  LeftDerivation x D b
  by auto
  then obtain x where LeftDerives1 a (fst d) (snd d) x and xb: LeftDerivation
  x D b by blast
  with LeftDerives1-implies-leftderives1 have d1: leftderives a x by auto
  from ihyps xb have d2:leftderives x b by simp
  show leftderives a b by (rule leftderives-trans[OF d1 d2])
qed

lemma leftmost-witness[simp]: leftmost (length x) (x@( $N \# y$ )) = (is-word x  $\wedge$ 
is-nonterminal N)
by (auto simp add: leftmost-def)

lemma leftderives1-implies-LeftDerives1:
assumes leftderives1: leftderives1 u v
shows  $\exists i r.$  LeftDerives1 u i r v
proof -
  from leftderives1 have
     $\exists x y N \alpha. u = x @ [N] @ y \wedge v = x @ \alpha @ y \wedge$  is-word x  $\wedge$  is-sentence y  $\wedge$ 
     $(N, \alpha) \in \mathfrak{R}$ 
    by (simp add: leftderives1-def)
  then obtain x y N alpha where
    u:u = x @ [N] @ y and
    v:v = x @ alpha @ y and
    x:is-word x and
    y:is-sentence y and
    r:(N, alpha)  $\in \mathfrak{R}$ 
    by blast
  show ?thesis
    apply (rule-tac x=length x in exI)
    apply (rule-tac x=(N, alpha) in exI)
    apply (auto simp add: LeftDerives1-def)
    apply (simp add: leftmost-def x u rule-nonterminal-type[OF r])
    apply (simp add: Derives1-def u v)
    apply (rule-tac x=x in exI)
    apply (rule-tac x=y in exI)

```

```

apply (auto simp add: x y r)
done
qed

lemma LeftDerivation-LeftDerives1:
  LeftDerivation a S y ==> LeftDerives1 y i r z ==> LeftDerivation a (S@[i,r]) z
proof (induct S arbitrary: a y z i r)
  case Nil thus ?case by simp
next
  case (Cons s S) thus ?case
    by (metis LeftDerivation.simps(2) append-Cons)
qed

lemma leftderives-implies-LeftDerivation: leftderives a b ==> ∃ D. LeftDerivation
a D b
proof (induct rule: leftderives-induct)
  case Base
    show ?case by (rule exI[where x=[]], simp)
  next
    case (Step y z)
    note ihyps = this
    from ihyps obtain D where ay: LeftDerivation a D y by blast
    from ihyps leftderives1-implies-LeftDerives1 obtain i r where yz: LeftDerives1
y i r z by blast
    from LeftDerivation-LeftDerives1[OF ay yz] show ?case by auto
qed

lemma LeftDerivation-append:
  LeftDerivation a (D@E) c = (∃ b. LeftDerivation a D b ∧ LeftDerivation b E c)
proof(induct D arbitrary: a c E)
  case Nil thus ?case by auto
next
  case (Cons d D) thus ?case by auto
qed

lemma LeftDerivation-implies-append:
  LeftDerivation a D b ==> LeftDerivation b E c ==> LeftDerivation a (D@E) c
using LeftDerivation-append by blast

lemma Derivation-unique-dest: Derivation a D b ==> Derivation a D c ==> b = c
  apply (induct D arbitrary: a b c)
  apply auto
  using Derives1-unique-dest by blast

lemma Derivation-unique-src: Derivation a D c ==> Derivation b D c ==> a = b
  apply (induct D arbitrary: a b c)
  apply auto
  using Derives1-unique-src by blast

```

```

lemma LeftDerives1-unique:  $\text{LeftDerives1 } a \ i \ r \ b \implies \text{LeftDerives1 } a \ j \ s \ b \implies i = j \wedge r = s$ 
using Derives1-def LeftDerives1-def leftmost-unique by auto

lemma leftlang:  $\mathcal{L} = \{ v \mid v. \text{is-word } v \wedge \text{is-leftderivation } v \}$ 
by (metis (no-types, lifting) CFG.is-derivation-def CFG.is-leftderivation-def
    CFG.leftderivation-implies-derivation CFG-axioms Collect-cong
    L-def derives-implies-leftderives)

lemma leftprefixlang:  $\mathcal{L}_P = \{ u \mid u \text{ v. is-word } u \wedge \text{is-leftderivation } (u@v) \}$ 
apply (auto simp add: L_P-def)
using derives-implies-leftderives-gen is-derivation-def is-leftderivation-def apply
blast
using leftderivation-implies-derivation by blast

lemma derives-implies-leftderives-cons:
  is-word a  $\implies$  derives u (a@X#b)  $\implies \exists c. \text{leftderives } u \ (a@X#c)$ 
by (metis append-Cons append-Nil derives-implies-leftderives-gen is-first-def)

lemma is-word-append[simp]: is-word (a@b) = (is-word a  $\wedge$  is-word b)
by (auto simp add: is-word-def)

lemma L_P-split: a@b  $\in \mathcal{L}_P \implies a \in \mathcal{L}_P$ 
by (auto simp add: L_P-def)

lemma L_P-is-word: a  $\in \mathcal{L}_P \implies \text{is-word } a$ 
by (metis (no-types, lifting) leftprefixlang mem-Collect-eq)

definition Derive :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  sentence
where
  Derive a D = (THE b. Derivation a D b)

lemma Derivation-dest-ex-unique: Derivation a D b  $\implies \exists! x. \text{Derivation } a \ D \ x$ 
using CFG.Derivation-unique-dest CFG-axioms by blast

lemma Derive:
  assumes ab: Derivation a D b
  shows Derive a D = b
  proof -
    note the1-equality[OF Derivation-dest-ex-unique[OF ab] ab]
    thus ?thesis by (simp add: Derive-def)
  qed

  end

  end
theory Validity
imports LLearleyParsing Derivations
begin

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context LocalLexing begin

  definition wellformed-token :: token  $\Rightarrow$  bool
  where
    wellformed-token  $t = \text{is-terminal}(\text{terminal-of-token } t)$ 

  definition wellformed-tokens :: tokens  $\Rightarrow$  bool
  where
    wellformed-tokens  $ts = \text{list-all wellformed-token } ts$ 

  definition doc-tokens :: tokens  $\Rightarrow$  bool
  where
    doc-tokens  $p = (\text{wellformed-tokens } p \wedge \text{is-prefix}(\text{chars } p) \text{ Doc})$ 

  definition wellformed-item :: item  $\Rightarrow$  bool
  where
    wellformed-item  $x = ($ 
      item-rule  $x \in \mathfrak{R} \wedge$ 
      item-origin  $x \leq \text{item-end } x \wedge$ 
      item-end  $x \leq \text{length Doc} \wedge$ 
      item-dot  $x \leq \text{length}(\text{item-rhs } x))$ 

  definition wellformed-items :: items  $\Rightarrow$  bool
  where
    wellformed-items  $X = (\forall x \in X. \text{wellformed-item } x)$ 

  lemma is-word-terminals: wellformed-tokens  $p \implies \text{is-word}(\text{terminals } p)$ 
  by (simp add: is-word-def list-all-length terminals-def wellformed-token-def well-formed-tokens-def)

  lemma is-word-subset: is-word  $x \implies \text{set } y \subseteq \text{set } x \implies \text{is-word } y$ 
  by (metis (mono-tags, opaque-lifting) in-set-conv-nth is-word-def list-all-length subsetCE)

  lemma is-word-terminals-take: wellformed-tokens  $p \implies \text{is-word}(\text{terminals}(\text{take } n p))$ 
  by (metis append-take-drop-id is-word-terminals list-all-append wellformed-tokens-def)

  lemma is-word-terminals-drop: wellformed-tokens  $p \implies \text{is-word}(\text{terminals}(\text{drop } n p))$ 
  by (metis append-take-drop-id is-word-terminals list-all-append wellformed-tokens-def)

  definition pvalid :: tokens  $\Rightarrow$  item  $\Rightarrow$  bool
  where
    pvalid  $p x = (\exists u \gamma.$ 
      wellformed-tokens  $p \wedge$ 
      wellformed-item  $x \wedge$ 
       $u \leq \text{length } p \wedge$ 

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 $\text{charslength } p = \text{item-end } x \wedge$ 
 $\text{charslength } (\text{take } u \ p) = \text{item-origin } x \wedge$ 
 $\text{is-derivation } (\text{terminals } (\text{take } u \ p) @ [\text{item-nonterminal } x] @ \gamma) \wedge$ 
 $\text{derives } (\text{item-}\alpha \ x) (\text{terminals } (\text{drop } u \ p)) )$ 

definition Gen :: tokens set  $\Rightarrow$  items
where
  Gen P = { x | x p. p  $\in$  P  $\wedge$  pvalid p x }

lemma wellformed-items (Gen P)
  by (auto simp add: Gen-def pvalid-def wellformed-items-def)

lemma wellformed-items (Init)
  by (auto simp add: wellformed-items-def Init-def init-item-def wellformed-item-def)

definition pvalid-left :: tokens  $\Rightarrow$  item  $\Rightarrow$  bool
where
  pvalid-left p x = ( $\exists$  u  $\gamma$ .
    wellformed-tokens p  $\wedge$ 
    wellformed-item x  $\wedge$ 
    u  $\leq$  length p  $\wedge$ 
    charslength p = item-end x  $\wedge$ 
    charslength (take u p) = item-origin x  $\wedge$ 
    is-leftderivation (terminals (take u p) @ [item-nonterminal x] @  $\gamma$ )  $\wedge$ 
    leftderives (item- $\alpha$  x) (terminals (drop u p)) )

lemma pvalid-left: pvalid p x = pvalid-left p x
proof -
  have right-imp-left: pvalid-left p x  $\Longrightarrow$  pvalid p x
    by (meson CFG.leftderives-implies-derives CFG-axioms LocalLexing.pvalid-def
      LocalLexing.pvalid-left-def LocalLexing-axioms leftderivation-implies-derivation)
  have left-imp-right: pvalid p x  $\Longrightarrow$  pvalid-left p x
  proof -
    assume pvalid p x
    then obtain u  $\gamma$  where
      wellformed-tokens p  $\wedge$ 
      wellformed-item x  $\wedge$ 
      u  $\leq$  length p  $\wedge$ 
      charslength p = item-end x  $\wedge$ 
      charslength (take u p) = item-origin x  $\wedge$ 
      is-derivation (terminals (take u p) @ [item-nonterminal x] @  $\gamma$ )  $\wedge$ 
      derives (item- $\alpha$  x) (terminals (drop u p)) by (simp add: pvalid-def, blast)
    thus ?thesis
      apply (auto simp add: pvalid-left-def)
      apply (rule-tac x=u in exI)
      apply auto
      apply (simp add: is-leftderivation-def)
      apply (rule-tac derives-implies-leftderives-cons[where b= $\gamma$ ])
      apply (erule is-word-terminals-take)

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apply (simp add: is-derivation-def)
by (metis derives-implies-leftderives is-word-terminals-drop)
qed
show ?thesis by (metis left-imp-right right-imp-left)
qed

lemma  $\mathcal{L}_P$ -wellformed-tokens: terminals  $p \in \mathcal{L}_P \implies$  wellformed-tokens  $p$ 
by (metis (mono-tags, lifting)  $\mathcal{L}_P$ -is-word is-word-def length-map list-all-length
nth-map terminals-def wellformed-token-def wellformed-tokens-def)

end

end
theory TheoremD2
imports LocalLexingLemmas Validity Derivations
begin

context LocalLexing begin

definition splits-at :: sentence  $\Rightarrow$  nat  $\Rightarrow$  sentence  $\Rightarrow$  symbol  $\Rightarrow$  sentence  $\Rightarrow$  bool
where
  splits-at  $\delta$   $i$   $\alpha$   $N$   $\beta$  = ( $i < \text{length } \delta \wedge \alpha = \text{take } i \delta \wedge N = \delta ! i \wedge \beta = \text{drop } (\text{Suc } i) \delta$ )

lemma splits-at-combine: splits-at  $\delta$   $i$   $\alpha$   $N$   $\beta \implies \delta = \alpha @ [N] @ \beta$ 
  by (simp add: id-take-nth-drop splits-at-def)

lemma splits-at-combine-dest: Derives1  $a$   $i$   $r$   $b \implies$  splits-at  $a$   $i$   $\alpha$   $N$   $\beta \implies b = \alpha$ 
@ (snd  $r$ ) @  $\beta$ 
  by (metis (no-types, lifting) Derives1-drop Derives1-split append-assoc append-eq-conv-conj
length-append splits-at-def)

lemma Derives1-nonterminal:
assumes Derives1  $a$   $i$   $r$   $b$ 
assumes splits-at  $a$   $i$   $\alpha$   $N$   $\beta$ 
shows fst  $r = N \wedge$  is-nonterminal  $N$ 
proof -
  from assms have fst: fst  $r = N$ 
    by (metis Derives1-split append-Cons nth-append-length splits-at-def)
  then have is-nonterminal  $N$ 
    by (metis Derives1-def assms(1) prod.collapse rule-nonterminal-type)
    with fst show ?thesis by auto
qed

lemma splits-at-ex: Derives1  $\delta$   $i$   $r$   $s \implies \exists \alpha N \beta. \text{splits-at } \delta i \alpha N \beta$ 
by (simp add: Derives1-bound splits-at-def)

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lemma splits-at- $\alpha$ : Derives1  $\delta i r s \implies$  splits-at  $\delta i \alpha N \beta \implies$ 
 $\alpha = \text{take } i \delta \wedge \alpha = \text{take } i s \wedge \text{length } \alpha = i$ 
by (metis Derives1-split append-eq-conv-conj splits-at-def)

lemma LeftDerives1-splits-at-is-word: LeftDerives1  $\delta i r s \implies$  splits-at  $\delta i \alpha N \beta \implies$ 
 $\text{is-word } \alpha$ 
by (metis LeftDerives1-def leftmost-def splits-at-def)

lemma splits-at- $\beta$ : Derives1  $\delta i r s \implies$  splits-at  $\delta i \alpha N \beta \implies$ 
 $\beta = \text{drop } (\text{Suc } i) \delta \wedge \beta = \text{drop } (i + \text{length } (\text{snd } r)) s \wedge \text{length } \beta = \text{length } \delta - i - 1$ 
by (metis Derives1-drop Suc-eq-plus1 diff-diff-left length-drop splits-at-def)

lemma Derives1-prefix:
assumes ab: Derives1  $\delta i r (a @ b)$ 
assumes split: splits-at  $\delta i \alpha N \beta$ 
shows is-prefix  $\alpha a \vee$  is-prefix  $a \alpha$ 
proof -
  have take:  $\alpha = \text{take } i (a @ b)$  using ab split splits-at- $\alpha$  by blast
  show ?thesis
  proof (cases  $i \leq \text{length } a$ )
    case True
    then have  $\alpha = \text{take } i a$  by (simp add: take)
    then have is-prefix  $\alpha a$ 
    by (metis append-take-drop-id is-prefix-def)
    with True show ?thesis by auto
  next
    case False
    then have is-prefix  $a \alpha$ 
    by (simp add: is-prefix-def nat-le-linear take)
    with False show ?thesis by auto
  qed
qed

lemma Derives1-suffix:
assumes ab: Derives1  $\delta i r (a @ b)$ 
assumes split: splits-at  $\delta i \alpha N \beta$ 
shows is-suffix  $\beta b \vee$  is-suffix  $b \beta$ 
proof -
  have drop1:  $\beta = \text{drop } (i + \text{length } (\text{snd } r)) (a @ b)$  using ab split splits-at- $\beta$  by blast
  have drop2:  $b = \text{drop } (\text{length } a) (a @ b)$  by simp
  show ?thesis
  proof (cases  $(i + \text{length } (\text{snd } r)) \leq \text{length } a$ )
    case True
    with drop1 drop2 have is-suffix  $b \beta$  by (simp add: is-suffix-def)
    then show ?thesis by auto
  next
    case False

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```

then have length a ≤ (i + length (snd r)) by arith
with drop1 drop2 have is-suffix β b
by (metis append-Nil append-take-drop-id drop-append drop-eq-Nil is-suffix-def)
then show ?thesis by auto
qed
qed

lemma Derives1-skip-prefix:
length a ≤ i  $\implies$  Derives1 (a@b) i r (a@c)  $\implies$  Derives1 b (i - length a) r c
apply (auto simp add: Derives1-def)
by (metis append-eq-append-conv-if is-sentence-concat is-sentence-cons is-symbol-def

length-drop rule-nonterminal-type)

lemma cancel-suffix:
assumes a @ c = b @ d
assumes length c ≤ length d
shows a = b @ (take (length d - length c) d)
proof -
have a @ c = (b @ take (length d - length c) d) @ drop (length d - length c) d
by (metis append-assoc append-take-drop-id assms(1))
then show ?thesis
by (metis append-eq-append-conv assms(2) diff-diff-cancel length-drop)
qed

lemma is-sentence-take:
is-sentence y  $\implies$  is-sentence (take n y)
by (metis append-take-drop-id is-sentence-concat)

lemma Derives1-skip-suffix:
assumes i: i < length a
assumes D: Derives1 (a@c) i r (b@c)
shows Derives1 a i r b
proof -
note Derives1-def[where u=a@c and v=b@c and i=i and r=r]
then have  $\exists x y N \alpha$ .
a @ c = x @ [N] @ y ∧
b @ c = x @ α @ y ∧ is-sentence x ∧ is-sentence y ∧ (N, α) ∈ ℝ ∧ r = (N,
α) ∧ i = length x
using D by blast
then obtain x y N α where split:
a @ c = x @ [N] @ y ∧
b @ c = x @ α @ y ∧ is-sentence x ∧ is-sentence y ∧ (N, α) ∈ ℝ ∧ r = (N,
α) ∧ i = length x
by blast
from split have length (a@c) = length (x @ [N] @ y) by auto
then have length a + length c = length x + length y + 1 by simp
with split have length a + length c = i + length y + 1 by simp
with i have len-c-y: length c ≤ length y by arith

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let ?y = take (length y - length c) y
from split have ac: a @ c = (x @ [N]) @ y by auto
note cancel-suffix[where a=a and c = c and b = x@[N] and d = y, OF ac
len-c-y]
then have a: a = x @ [N] @ ?y by auto
from split have bc: b @ c = (x @ α) @ y by auto
note cancel-suffix[where a=b and c = c and b = x@α and d = y, OF bc
len-c-y]
then have b: b = x @ α @ ?y by auto
from split len-c-y a b show ?thesis
apply (simp only: Derives1-def)
apply (rule-tac x=x in exI)
apply (rule-tac x=?y in exI)
apply (rule-tac x=N in exI)
apply (rule-tac x=α in exI)
apply auto
by (rule is-sentence-take)
qed

lemma drop-cancel-suffix: a@c = drop n (b@c) ==> a = drop n b
proof -
assume a1: a @ c = drop n (b @ c)
have length (drop n b) = length b + length c - n - length c
  by (metis add-diff-cancel-right' diff-commute length-drop)
then show ?thesis
  using a1 by (metis add-diff-cancel-right' append-eq-append-conv drop-append
length-append length-drop)
qed

lemma drop-keep-last: u ≠ [] ==> u = drop n (a@[X]) ==> u = drop n a @ [X]
by (metis append-take-drop-id drop-butlast last-appendR snoc-eq-iff-butlast)

lemma Derives1-X-is-part-of-rule[consumes 2, case-names Suffix Prefix]:
assumes aXb: Derives1 δ i r (a@[X]@b)
assumes split: splits-at δ i α N β
assumes prefix: ∧ β. δ = a @ [X] @ β ==> length a < i ==>
Derives1 β (i - length a - 1) r b ==> False
assumes suffix: ∧ α. δ = α @ [X] @ b ==> Derives1 α i r a ==> False
shows ∃ u v. a = α @ u ∧ b = v @ β ∧ (snd r) = u@[X]@v
proof -
have prefix-or: is-prefix α a ∨ is-proper-prefix a α
  by (metis Derives1-prefix split aXb is-prefix-eq-proper-prefix)
have is-proper-prefix a α ==> False
proof -
assume proper:is-proper-prefix a α
then have ∃ u. u ≠ [] ∧ α = a@u by (metis is-proper-prefix-def)
then obtain u where u: u ≠ [] ∧ α = a@u by blast
note splits-at = splits-at-α[OF aXb split] splits-at-combine[OF split]
from splits-at have α1: α = take i δ by blast

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from splits-at have α2: α = take i (a@[X]@b) by blast
from splits-at have lenα: length α = i by blast
with proper have lena: length a < i
  using append-eq-conv-conj drop-eq-Nil leI u by auto
from u α2 have a@u = take i (a@[X]@b) by auto
with lena have u = take (i - length a) ([X]@b) by (simp add: less-or-eq-imp-le)

with lena have uX: u = [X]@(take (i - length a - 1) b) by (simp add: not-less
take-Cons')
let ?β = (take (i - length a - 1) b) @ [N] @ β
from splits-at have f1: δ = α @ [N] @ β by blast
with u uX have f2: δ = a @ [X] @ ?β by simp
note skip = Derives1-skip-prefix[where a = a @ [X] and b = ?β and
r = r and i = i and c = b]
then have D: Derives1 ?β (i - length a - 1) r b
  using One-nat-def Suc-leI aXb append-assoc diff-diff-left f2 lena length-Cons
  length-append length-append-singleton list.size(3) by fastforce
note prefix[OF f2 lena D]
then show False .
qed
with prefix-or have is-prefix: is-prefix α a by blast

from aXb have aXb': Derives1 δ i r ((a@[X])@b) by auto
note Derives1-suffix[OF aXb' split]
then have suffix-or: is-suffix β b ∨ is-proper-suffix b β
  by (metis is-suffix-eq-proper-suffix)
have is-proper-suffix b β ⟹ False
proof -
assume proper: is-proper-suffix b β
then have ∃ u. u ≠ [] ∧ β = u@b by (metis is-proper-suffix-def)
then obtain u where u: u ≠ [] ∧ β = u@b by blast
note splits-at = splits-at-β[OF aXb split] splits-at-combine[OF split]
from splits-at have β1: β = drop (Suc i) δ by blast
from splits-at have β2: β = drop (i + length (snd r)) (a @ [X] @ b) by blast
from splits-at have lenβ: length β = length δ - i - 1 by blast
with proper have lenb: length b < length β by (metis is-proper-suffix-length-cmp)

from u β2 have u@b = drop (i + length (snd r)) ((a @ [X]) @ b) by auto
hence u = drop (i + length (snd r)) (a @ [X])
  by (metis drop-cancel-suffix)
hence uX: u = drop (i + length (snd r)) a @ [X] by (metis drop-keep-last u)
let ?α = α @ [N] @ (drop (i + length (snd r)) a)
from splits-at have f1: δ = α @ [N] @ β by blast
with u uX have f2: δ = ?α @ [X] @ b by simp
note skip = Derives1-skip-suffix[where a = ?α and c = [X]@b and b=a and
r = r and i = i]
have f3: i < length (α @ [N] @ drop (i + length (snd r)) a)
proof -
  have f1: 1 + i + length b = length [X] + length b + i

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by (metis Groups.add-ac(2) Suc-eq-plus1-left length-Cons list.size(3) list.size(4)
semiring-normalization-rules(22))
have f2: length δ - i - 1 = length ((α @ [N] @ drop (i + length (snd r)) a)
@ [X] @ b) - Suc i
  by (metis f2 length-drop splits-at(1))
have length ([]::symbol list) ≠ length δ - i - 1 - length b
  by (metis add-diff-cancel-right' append-Nil2 append-eq-append-conv lenβ
length-append u)
then have length ([]::symbol list) ≠ length α + length ([N] @ drop (i + length
(snd r)) a) - i
  using f2 f1 by (metis Suc-eq-plus1-left add-diff-cancel-right' diff-diff-left
length-append)
then show ?thesis
  by auto
qed
from aXb f2 have D: Derives1 (?α @ [X] @ b) i r (a@[X]@b) by auto
note skip[OF f3 D]
note suffix[OF f2 skip[OF f3 D]]
then show False .
qed
with suffix-or have is-suffix: is-suffix β b by blast

from is-prefix have ∃ u. a = α @ u by (auto simp add: is-prefix-def)
then obtain u where u: a = α @ u by blast
from is-suffix have ∃ v. b = v @ β by (auto simp add: is-suffix-def)
then obtain v where v: b = v @ β by blast

from u v splits-at-combine[OF split] aXb have D:Derives1 (α@[N]@β) i r (α@(u@[X]@v)@β)
  by simp
from splits-at-α[OF aXb split] have i: length α = i by blast
from i have i1: length α ≤ i and i2: i ≤ length α by auto
note Derives1-skip-suffix[OF - Derives1-skip-prefix[OF i1 D], simplified, OF i2]
then have Derives1 [N] 0 r (u @ [X] @ v) by auto
then have r: snd r = u @ [X] @ v
  by (metis Derives1-split append-Cons append-Nil length-0-conv list.inject self-append-conv)

show ?thesis using u v r by auto
qed

lemma LP-derives: a ∈ LP ⇒ ∃ b. derives [S] (a@b)
by (simp add: LP-def is-derivation-def)

lemma LP-leftderives: a ∈ LP ⇒ ∃ b. leftderives [S] (a@b)
by (metis LP-derives LP-is-word derives-implies-leftderives-gen)

lemma Derives1-rule: Derives1 a i r b ⇒ r ∈ R
by (auto simp add: Derives1-def)

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lemma is-prefix-empty[simp]: is-prefix [] a
  by (simp add: is-prefix-def)

lemma is-prefix-cons: is-prefix (x # a) b = ( $\exists$  c. b = x # c  $\wedge$  is-prefix a c)
  by (metis append-Cons is-prefix-def)

lemma is-prefix-cancel[simp]: is-prefix (a@b) (a@c) = is-prefix b c
  by (metis append-assoc is-prefix-def same-append-eq)

lemma is-prefix-chars: is-prefix a b  $\implies$  is-prefix (chars a) (chars b)
proof (induct a arbitrary: b)
  case Nil thus ?case by simp
next
  case (Cons x a)
  from Cons(2) have  $\exists$  c. b = x # c  $\wedge$  is-prefix a c
    by (simp add: is-prefix-cons)
  then obtain c where c: b = x # c  $\wedge$  is-prefix a c by blast
  from c Cons(1) show ?case by simp
qed

lemma is-prefix-length: is-prefix a b  $\implies$  length a  $\leq$  length b
  by (auto simp add: is-prefix-def)

lemma is-prefix-take[simp]: is-prefix (take n a) a
apply (auto simp add: is-prefix-def)
apply (rule-tac x=drop n a in exI)
by simp

lemma doc-tokens-length: doc-tokens p  $\implies$  length (chars p)  $\leq$  length Doc
  by (metis doc-tokens-def is-prefix-length)

fun count-terminals :: sentence  $\Rightarrow$  nat where
  count-terminals [] = 0
  | count-terminals (x#xs) = (if (is-terminal x) then Suc (count-terminals xs) else (count-terminals xs))

lemma count-terminals-upper-bound: count-terminals p  $\leq$  length p
  by (induct p, auto)

lemma count-terminals-append[simp]: count-terminals (a@b) = count-terminals a + count-terminals b
  by (induct a arbitrary: b, auto)

lemma Derives1-count-terminals:
  assumes D: Derives1 a i r b
  shows count-terminals b = count-terminals a + count-terminals (snd r)
proof -
  have  $\exists$   $\alpha$  N  $\beta$ . splits-at a i  $\alpha$  N  $\beta$  using D splits-at-ex by simp
  then obtain  $\alpha$  N  $\beta$  where split: splits-at a i  $\alpha$  N  $\beta$  by blast

```

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from D split have N: is-nonterminal N by (simp add: Derives1-nonterminal)
have a: a = α @ [N] @ β by (metis split splits-at-combine)
from D split have b: b = α @ (snd r) @ β using splits-at-combine-dest by simp
show ?thesis
  apply (simp add: a b)
  using N by (metis is-terminal-nonterminal)
qed

lemma Derives1-count-terminals-leq:
  assumes D: Derives1 a i r b
  shows count-terminals a ≤ count-terminals b
by (metis Derives1-count-terminals assms le-less-linear not-add-less1)

lemma Derivation-count-terminals-leq:
  Derivation a E b ⇒ count-terminals a ≤ count-terminals b
proof (induct E arbitrary: a)
  case Nil thus ?case by auto
next
  case (Cons e E)
  then have ∃ x i r. Derives1 a i r x ∧ Derivation x E b using Derivation.simps(2)
  by blast
  then obtain x i r where axb: Derives1 a i r x ∧ Derivation x E b by blast
  from axb have ax: count-terminals a ≤ count-terminals x
    using Derives1-count-terminals-leq by blast
  from axb have xb: count-terminals x ≤ count-terminals b using Cons by simp
  show ?case using ax xb by arith
qed

lemma derives-count-terminals-leq: derives a b ⇒ count-terminals a ≤ count-terminals b
using Derivation-count-terminals-leq derives-implies-Derivation by force

lemma is-word-cons[simp]: is-word (x#xs) = (is-terminal x ∧ is-word xs)
  by (simp add: is-word-def)

lemma count-terminals-of-word: is-word w ⇒ count-terminals w = length w
  by (induct w, auto)

lemma length-terminals[simp]: length (terminals p) = length p
  by (auto simp add: terminals-def)

lemma path-length-is-upper-bound:
  assumes p: wellformed-tokens p
  assumes α: is-word α
  assumes derives: derives (α@u) (terminals p)
  shows length α ≤ length p
proof -
  have counts: count-terminals α ≤ count-terminals (terminals p)
    using derives derives-count-terminals-leq by fastforce

```

```

have len1: length  $\alpha$  = count-terminals  $\alpha$  by (simp add:  $\alpha$  count-terminals-of-word)
have len2: length (terminals  $p$ ) = count-terminals (terminals  $p$ )
  by (simp add: count-terminals-of-word is-word-terminals  $p$ )
show ?thesis using counts len1 len2 by auto
qed

lemma is-word-Derives1-index:
assumes  $w$ : is-word  $w$ 
assumes derives1: Derives1 ( $w@a$ )  $i r b$ 
shows  $i \geq \text{length } w$ 
proof -
  from derives1 have  $n$ : is-nonterminal (( $w@a$ ) !  $i$ )
    using Derives1-nonterminal splits-at-def splits-at-ex by auto
  from  $w$  have  $t$ :  $i < \text{length } w \implies$  is-terminal (( $w@a$ ) !  $i$ )
    by (simp add: is-word-is-terminal nth-append)
  show ?thesis
    by (metis  $t n$  is-terminal-nonterminal less-le-not-le nat-le-linear)
qed

lemma is-word-Derivation-derivation-ge:
assumes  $w$ : is-word  $w$ 
assumes  $D$ : Derivation ( $w@a$ )  $D b$ 
shows derivation-ge  $D$  (length  $w$ )
by (metis  $D$  Derivation-leftmost derivation-ge-empty leftmost-Derivation leftmost-append  $w$ )

lemma derives-word-is-prefix:
assumes  $w$ : is-word  $w$ 
assumes derives: derives ( $w@a$ )  $b$ 
shows is-prefix  $w b$ 
by (metis Derivation-take append-eq-conv-conj derives derives-implies-Derivation
  is-prefix-take is-word-Derivation-derivation-ge  $w$ )

lemma terminals-take[simp]: terminals (take  $n p$ ) = take  $n$  (terminals  $p$ )
by (simp add: take-map terminals-def)

lemma terminals-drop[simp]: terminals (drop  $n p$ ) = drop  $n$  (terminals  $p$ )
by (simp add: drop-map terminals-def)

lemma take-prefix[simp]: is-prefix  $a b \implies$  take (length  $a$ )  $b = a$ 
by (metis append-eq-conv-conj is-prefix-unsplit)

lemma Derives1-drop-prefixword:
assumes  $w$ : is-word  $w$ 
assumes wa-b: Derives1 ( $w@a$ )  $i r b$ 
shows Derives1  $a$  ( $i - \text{length } w$ )  $r$  (drop (length  $w$ )  $b$ )
proof -
  have  $i: \text{length } w \leq i$  using wa-b is-word-Derives1-index  $w$  by blast
  have is-prefix  $w b$  by (metis append-eq-conv-conj  $i$  is-prefix-take le-Derives1-take

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wa-b)
then have b:  $b = w @ (\text{drop}(\text{length } w) b)$  by (simp add: is-prefix-unsplit)
show ?thesis
  apply (rule-tac Derives1-skip-prefix[OF i])
  by (simp add: b[symmetric] wa-b)
qed

lemma derives1-drop-prefixword:
assumes w: is-word w
assumes wa-b: derives1 (w@a) b
shows derives1 a (drop (length w) b)
by (metis Derives1-drop-prefixword Derives1-implies-derives1 derives1-implies-Derives1
w wa-b)

lemma derives1-is-word-is-prefix-drop:
assumes w: is-word w
assumes w-a: is-prefix w a
assumes ab: derives1 a b
shows derives1 (drop (length w) a) (drop (length w) b)
by (metis ab append-take-drop-id derives1-drop-prefixword take-prefix w w-a)

lemma derives-drop-prefixword-helper:
derives a b  $\Rightarrow$  is-word w  $\Rightarrow$  is-prefix w a  $\Rightarrow$  derives (drop (length w) a) (drop
(length w) b)
proof (induct rule: derives-induct)
  case Base thus ?case by auto
next
  case (Step y z)
    have is-prefix-w-y: is-prefix w y
    by (metis Step.hyps(1) Step.prems(1) Step.prems(2) derives-word-is-prefix
is-prefix-def)
    thus ?case
    by (metis Step.hyps(2) Step.hyps(3) Step.prems(1) Step.prems(2) derives1-implies-derives
derives1-is-word-is-prefix-drop derives-trans)
qed

lemma derive-drop-prefixword:
is-word w  $\Rightarrow$  derives (w@a) b  $\Rightarrow$  derives a (drop (length w) b)
by (metis append-eq-conv-conj derives-drop-prefixword-helper is-prefix-take)

lemma thmD2':
assumes X: is-terminal X
assumes p: doc-tokens p
assumes pX: (terminals p)@[X]  $\in \mathcal{L}_P$ 
shows  $\exists x. p\text{valid } p x \wedge \text{next-symbol } x = \text{Some } X$ 
proof -
  from p have wellformed-p: wellformed-tokens p by (simp add: doc-tokens-def)
  have  $\exists \omega. \text{leftderives } [\mathfrak{S}] (((\text{terminals } p)@[X]) @ \omega)$  using  $\mathcal{L}_P\text{-leftderives } pX$  by

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blast
then obtain  $\omega$  where leftderives [ $\mathfrak{S}$ ] (((terminals  $p$ )@[ $X$ ]) @  $\omega$ ) by blast
then have  $\exists D$ . LeftDerivation [ $\mathfrak{S}$ ]  $D$  (((terminals  $p$ )@[ $X$ ]) @  $\omega$ )
  using leftderives-implies-LeftDerivation by blast
then obtain  $D$  where  $D$ : LeftDerivation [ $\mathfrak{S}$ ]  $D$  (((terminals  $p$ )@[ $X$ ]) @  $\omega$ ) by
blast
let ?P =  $\lambda k$ . ( $\exists a b$ . LeftDerivation [ $\mathfrak{S}$ ] (take  $k D$ ) ( $a$ @[ $X$ ]@ $b$ )  $\wedge$  derives  $a$ 
(terminals  $p$ ))
have ?P (length  $D$ )
  apply (rule-tac  $x=\text{terminals } p$  in exI)
  apply (rule-tac  $x=\omega$  in exI)
  using  $D$  by simp
then show ?thesis
proof (induct rule: minimal-witness[where  $P=?P$ ])
case (Minimal  $K$ )
from Minimal(2) obtain  $a b$  where
   $aXb$ : LeftDerivation [ $\mathfrak{S}$ ] (take  $K D$ ) ( $a$  @ [ $X$ ] @  $b$ ) and
   $a$ : derives  $a$  (terminals  $p$ ) by blast
have  $KD$ :  $K > 0 \wedge \text{length } D > 0$ 
proof (cases  $K = 0 \vee \text{length } D = 0$ )
case True
  hence take  $K D = []$  by auto
  with True  $aXb$  have [ $\mathfrak{S}$ ] =  $a$  @ [  $X$  ] @  $b$  by simp
  hence  $\mathfrak{S} = X$ 
    by (metis Nil-is-append-conv append-self-conv2 butlast.simps(2)
        butlast-append hd-append2 list.sel(1) not-Cons-self2)
then have False
  using  $X$  is-nonterminal-startsymbol is-terminal-nonterminal by auto
  then show ?thesis by blast
next
  case False thus ?thesis by arith
qed
then have take  $K D = \text{take } (K - 1) D @ [D ! (K - 1)]$ 
  by (metis Minimal.hyps(1) One-nat-def Suc-less-eq Suc-pred hd-drop-conv-nth
      le-imp-less-Suc take-hd-drop)
then have  $\exists \delta$ . LeftDerivation [ $\mathfrak{S}$ ] (take  $(K - 1) D$ )  $\delta \wedge$  LeftDerivation  $\delta$  [ $D$ 
!  $(K - 1)$ ] ( $a$ @[ $X$ ]@ $b$ )
  by (metis LeftDerivation-append aXb)
then obtain  $\delta$  where
   $\delta_1$ : LeftDerivation [ $\mathfrak{S}$ ] (take  $(K - 1) D$ )  $\delta$ 
  and  $\delta_2$ : LeftDerivation  $\delta$  [ $D ! (K - 1)$ ] ( $a$ @[ $X$ ]@ $b$ )
  by blast
from  $\delta_2$  have  $\exists i r$ . LeftDerives1  $\delta i r$  ( $a$ @[ $X$ ]@ $b$ ) by fastforce
then obtain  $i r$  where LeftDerives1- $\delta$ : LeftDerives1  $\delta i r$  ( $a$ @[ $X$ ]@ $b$ ) by blast
then have Derives1- $\delta$ : Derives1  $\delta i r$  ( $a$ @[ $X$ ]@ $b$ )
  by (metis LeftDerives1-implies-Derives1)
then have  $\exists \alpha N \beta$  . splits-at  $\delta i \alpha N \beta$  by (simp add: splits-at-ex)
then obtain  $\alpha N \beta$  where split- $\delta$ : splits-at  $\delta i \alpha N \beta$  by blast

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have is-word- $\alpha$ : is-word  $\alpha$  by (metis LeftDerives1- $\delta$  LeftDerives1-splits-at-is-word
split- $\delta$ )
  have  $\neg (?P (K - 1))$  using KD_Minimal(3) by auto
  with  $\delta_1$  have min- $\delta$ :  $\neg (\exists a b. \delta = a@X@b \wedge \text{derives } a (\text{terminals } p))$  by
blast
  from Derives1- $\delta$  split- $\delta$  have  $\exists u v. a = \alpha @ u \wedge b = v @ \beta \wedge (\text{snd } r) =$ 
 $u@X@v$ 
  proof (induction rule: Derives1-X-is-part-of-rule)
    case (Suffix  $\gamma$ )
      from min- $\delta$  Suffix(1) a show ?case by auto
    next
      case (Prefix  $\gamma$ )
        have derives  $\gamma$  (terminals  $p$ )
        by (metis Derives1-implies-derives1 Prefix(2) a
             derives1-implies-derives derives-trans)
        with min- $\delta$  Prefix(1) show ?case by auto
    qed
    then obtain  $u v$  where  $uXv: a = \alpha @ u \wedge b = v @ \beta \wedge (\text{snd } r) = u@X@v$ 
by blast
    let ?l = length  $\alpha$ 
    let ?q = take ?l  $p$ 
    let ?x = Item  $r$  (length  $u$ ) (charslength ?q) (charslength  $p$ )
    have item-rhs ?x = snd  $r$  by (simp add: item-rhs-def)
    then have item-rhs-x: item-rhs ?x =  $u@X@v$  using uXv by simp
    have wellformed-x: wellformed-item ?x
      apply (auto simp add: wellformed-item-def)
      apply (metis Derives1- $\delta$  Derives1-rule)
      apply (rule is-prefix-length)
      apply (rule is-prefix-chars)
      apply simp
      apply (simp add: doc-tokens-length[OF p])
      using item-rhs-x by simp
    from item-rhs-x have next-symbol-x: next-symbol ?x = Some  $X$ 
      by (auto simp add: next-symbol-def is-complete-def)
    have len- $\alpha$ -p: length  $\alpha \leq$  length  $p$ 
      apply (rule-tac path-length-is-upper-bound[where u=u])
      apply (simp add: wellformed-p)
      apply (simp add: is-word- $\alpha$ )
      using a uXv by blast
    have item-nonterminal-x: item-nonterminal ?x =  $N$ 
      apply (simp add: item-nonterminal-def)
      using Derives1- $\delta$  Derives1-nonterminal split- $\delta$  by blast
    have take-terminals: take (length  $\alpha$ ) (terminals  $p$ ) =  $\alpha$ 
      apply (rule-tac take-prefix)
      using a derives-word-is-prefix is-word- $\alpha$  uXv by blast
    have item- $\alpha$ -x: item- $\alpha$  ?x =  $u$  using item- $\alpha$ -def item-rhs-x by auto
    from wellformed-x next-symbol-x len- $\alpha$ -p show ?thesis
      apply (rule-tac x=?x in exI)
      apply (auto simp add: pvalid-def wellformed-p)

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apply (rule-tac x=length α in exI)
apply (auto)
using item-nonterminal-x apply (simp)
apply (simp add: take-terminals)
apply (rule-tac x=β in exI)
using LeftDerivation-implies-leftderives δ1 is-leftderivation-def split-δ splits-at-combine

apply auto[1]
using item-α-x apply simp
by (metis a derive-drop-prefixword is-word-α uXv)
qed
qed

lemma admissible-wellformed-tokens: admissible p ==> wellformed-tokens p
by (auto simp add: admissible-def L_P-wellformed-tokens)

lemma chars-append[simp]: chars (a@b) = (chars a)@(chars b)
by (induct a arbitrary: b, auto)

lemma chars-of-token-simp[simp]: chars-of-token (a, b) = b
by (simp add: chars-of-token-def)

lemma X-is-prefix: t ∈ X k ==> is-prefix (snd t) (drop k Doc)
by (auto simp add: X-def)

lemma is-prefix-append: is-prefix (a@b) D = (is-prefix a D ∧ is-prefix b (drop (length a) D))
by (metis append-assoc is-prefix-cancel is-prefix-def is-prefix-unsplit)

lemma P-are-doc-tokens: p ∈ P ==> doc-tokens p
proof (induct rule: P-induct)
case Base thus ?case
by (simp add: doc-tokens-def wellformed-tokens-def)
next
case (Induct p k u)
from Induct(2)[simplified] show ?case
proof (induct rule: limit-induct)
case (Init p) from Induct(1)[OF Init] show ?case .
next
case (Iterate p Y)
have Y-is-prefix: ⋀ p. p ∈ Y ==> is-prefix (chars p) Doc
apply (drule Iteration(1))
by (simp add: doc-tokens-def)
have Z (Z k u) (P k u) k ⊆ X k by (metis Z.simps(2) Z-subset-X)
then have 1: Append (Y (Z k u) (P k u) k) k Y ⊆ Append (X k) k Y
by (rule Append-mono, simp)
have 2: p ∈ Append (X k) k Y ==> doc-tokens p
apply (auto simp add: Append-def)
apply (simp add: Iteration)

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apply (auto simp add: doc-tokens-def admissible-wellformed-tokens
      is-prefix-append Y-is-prefix)
by (metis X-is-prefix snd-conv)
show ?case
  apply (rule 2)
  by (metis (mono-tags, lifting) 1 Iterate(2) subsetCE)
qed
qed

theorem thmD2:
assumes X: is-terminal X
assumes p: p ∈ ℙ
assumes pX: (terminals p)@[X] ∈ ℒ_P
shows ∃ x. pvalid p x ∧ next-symbol x = Some X
by (metis X ℙ-are-doc-tokens p pX thmD2')

end

end
theory TheoremD4
imports TheoremD2
begin

context LocalLexing begin

lemma X-are-terminals: u ∈ X k ⇒ is-terminal (terminal-of-token u)
  by (auto simp add: X-def is-terminal-def terminal-of-token-def)

lemma terminals-append[simp]: terminals (a@b) = ((terminals a) @ (terminals b))
  by (auto simp add: terminals-def)

lemma terminals-singleton[simp]: terminals [u] = [terminal-of-token u]
  by (simp add: terminals-def)

lemma terminal-of-token-simp[simp]: terminal-of-token (a, b) = a
  by (simp add: terminal-of-token-def)

lemma pvalid-item-end: pvalid p x ⇒ item-end x = charlength p
  by (metis pvalid-def)

lemma W-elem-in-TokensAt:
assumes P: P ⊆ ℙ
assumes u-in-W: u ∈ W P k
shows u ∈ TokensAt k (Gen P)
proof -
  have u: u ∈ X k ∧ (∃ p ∈ by-length k P. admissible (p @ [u])) using u-in-W
    by (auto simp add: W-def)
  then obtain p where p: p ∈ by-length k P ∧ admissible (p @ [u]) by blast

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then have charslength-p: charslength p = k
by (metis (mono-tags, lifting) by-length.simps charslength.simps mem-Collect-eq

from u have u: u ∈ X k by blast
from p have p-in-Ρ: p ∈ Ρ
by (metis (no-types, lifting) P by-length.simps mem-Collect-eq subsetCE)
then have doc-tokens-p: doc-tokens p by (metis Ρ-are-doc-tokens)
let ?X = terminal-of-token u
have X-is-terminal: is-terminal ?X by (metis X-are-terminals u)
from p have terminals p @ [terminal-of-token u] ∈ L_P
by (auto simp add: admissible-def)
from thmD2[OF X-is-terminal p-in-Ρ this] obtain x where
x: pvalid p x ∧ next-symbol x = Some (terminal-of-token u) by blast
have x-is-in-Gen-P: x ∈ Gen P
by (metis (mono-tags, lifting) Gen-def by-length.simps mem-Collect-eq p x)
have u-split[dest!]:  $\bigwedge t s. u = (t, s) \implies t = \text{terminal-of-token } u \wedge s = \text{chars-of-token } u$ 
by (metis chars-of-token-simp fst-conv terminal-of-token-def)
show ?thesis
apply (auto simp add: TokensAt-def bin-def)
apply (rule-tac x=x in exI)
apply (auto simp add: x-is-in-Gen-P x X-is-terminal)
using x charslength-p pvalid-item-end apply (simp, blast)
using u by (auto simp add: X-def)
qed

lemma is-derivation-is-sentence: is-derivation s  $\implies$  is-sentence s
by (metis (no-types, lifting) Derives1-sentence2 derives1-implies-Derives1 derives-induct is-derivation-def is-nonterminal-startsymbol is-sentence-cons is-sentence-def is-symbol-def list.pred-inject(1)

lemma is-sentence-cons: is-sentence (N#s) = (is-symbol N ∧ is-sentence s)
by (auto simp add: is-sentence-def)

lemma is-derivation-step:
assumes uNv: is-derivation (u@[N]@v)
assumes Nα: (N, α) ∈ Ρ
shows is-derivation (u@α@v)
proof –
from uNv have is-sentence (u@[N]@v) by (metis is-derivation-is-sentence)
with is-sentence-concat is-sentence-cons
have u-is-sentence: is-sentence u and v-is-sentence: is-sentence v
by auto
from Nα have derives1 (u@[N]@v) (u@α@v)
apply (auto simp add: derives1-def)
apply (rule-tac x=u in exI)
apply (rule-tac x=v in exI)
apply (rule-tac x=N in exI)
by (auto simp add: u-is-sentence v-is-sentence)

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then show ?thesis
  by (metis derives1-implies-derives derives-trans is-derivation-def uNv)
qed

lemma is-derivation-derives:
  derives  $\alpha \beta \Rightarrow$  is-derivation ( $u @ \alpha @ v \Rightarrow$  is-derivation ( $u @ \beta @ v$ ))
proof (induct rule: derives-induct)
  case Base thus ?case by simp
next
  case (Step  $y z$ )
    from Step have 1: is-derivation ( $u @ y @ v$ ) by auto
    from Step have 2: derives1  $y z$  by auto
    from 1 2 show ?case by (metis append-assoc derives1-def is-derivation-step)
qed

lemma item-rhs-split: item-rhs  $x = (\text{item-}\alpha\ x) @ (\text{item-}\beta\ x)$ 
  by (metis append-take-drop-id item- $\alpha$ -def item- $\beta$ -def)

lemma pvalid-is-derivation-terminals-item- $\beta$ :
  assumes pvalid: pvalid  $p x$ 
  shows  $\exists \delta. \text{is-derivation} ((\text{terminals } p) @ (\text{item-}\beta\ x) @ \delta)$ 
proof -
  from pvalid have  $\exists u \gamma. \text{is-derivation} (\text{terminals} (\text{take } u p) @ [\text{item-nonterminal } x] @ \gamma) \wedge$ 
    derives (item- $\alpha$   $x$ ) (terminals (drop  $u p$ ))
  by (auto simp add: pvalid-def)
  then obtain  $u \gamma$  where 1: is-derivation ((terminals (take  $u p$ ) @ [item-nonterminal  $x$ ] @  $\gamma$ )  $\wedge$ 
    derives (item- $\alpha$   $x$ ) (terminals (drop  $u p$ )) by blast
  have x-rule: (item-nonterminal  $x$ , item-rhs  $x$ )  $\in \mathfrak{R}$ 
  by (metis (no-types, lifting) LocalLexing.pvalid-def LocalLexing-axioms assms
  case-prodE item-nonterminal-def item-rhs-def prod.sel(1) snd-conv validRules well-
  formed-item-def)
  from 1 x-rule is-derivation-step have
    is-derivation ((take  $u$  (terminals  $p$ )) @ (item-rhs  $x$ ) @  $\gamma$ )
  by auto
  then have is-derivation ((take  $u$  (terminals  $p$ )) @ ((item- $\alpha$   $x$ ) @ (item- $\beta$   $x$ ) @  $\gamma$ )
  by (simp add: item-rhs-split)
  then have is-derivation ((take  $u$  (terminals  $p$ )) @ (item- $\alpha$   $x$ ) @ ((item- $\beta$   $x$ ) @  $\gamma$ ))
  by simp
  then have is-derivation ((take  $u$  (terminals  $p$ )) @ (drop  $u$  (terminals  $p$ )) @
  ((item- $\beta$   $x$ ) @  $\gamma$ ))
  by (metis 1 is-derivation-derives terminals-drop)
  then have is-derivation ((terminals  $p$ ) @ ((item- $\beta$   $x$ ) @  $\gamma$ ))
  by (metis append-assoc append-take-drop-id)
  then show ?thesis by auto
qed

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lemma next-symbol-not-complete: next-symbol x = Some t  $\implies \neg (\text{is-complete } x)$ 
by (metis next-symbol-def option.discI)

lemma next-symbol-starts-item- $\beta$ :
assumes wf: wellformed-item x
assumes next-symbol: next-symbol x = Some t
shows  $\exists \delta. \text{item-}\beta x = t\#\delta$ 
proof -
  from next-symbol have nc:  $\neg (\text{is-complete } x)$  using next-symbol-not-complete by
  auto
  from next-symbol have atdot: item-rhs x ! item-dot x = t by (simp add: next-symbol-def
  nc)
  from nc have inrange: item-dot x < length (item-rhs x)
  by (simp add: is-complete-def)
  from inrange atdot show ?thesis
    apply (simp add: item- $\beta$ -def)
    by (metis Cons-nth-drop-Suc)
qed

lemma pvalid-prefixlang:
assumes pvalid: pvalid p x
assumes is-terminal: is-terminal t
assumes next-symbol: next-symbol x = Some t
shows (terminals p) @ [t]  $\in \mathcal{L}_P$ 
proof -
  have  $\exists \delta. \text{item-}\beta x = t\#\delta$ 
  by (metis next-symbol next-symbol-starts-item- $\beta$  pvalid pvalid-def)
  then obtain  $\delta$  where  $\delta: \text{item-}\beta x = t\#\delta$  by blast
  have  $\exists \omega. \text{is-derivation } ((\text{terminals } p) @ (\text{item-}\beta x) @ \omega)$ 
  by (metis pvalid pvalid-is-derivation-terminals-item- $\beta$ )
  then obtain  $\omega$  where  $\text{is-derivation } ((\text{terminals } p) @ (\text{item-}\beta x) @ \omega)$  by blast
  then have  $\text{is-derivation } ((\text{terminals } p) @ (t\#\delta) @ \omega)$  by (metis  $\delta$ )
  then have  $\text{is-derivation } (((\text{terminals } p) @ [t]) @ (\delta @ \omega))$  by simp
  then show ?thesis
  by (metis (no-types, lifting) CFG.L_P-def CFG-axioms
    append-Nil2 is-terminal is-word-append is-word-cons
    is-word-terminals mem-Collect-eq pvalid pvalid-def)
qed

lemma TokensAt-elem-in-W:
assumes P:  $P \subseteq \mathfrak{P}$ 
assumes u-in-Tokens-at:  $u \in \text{TokensAt } k \ (\text{Gen } P)$ 
shows  $u \in \mathcal{W} P k$ 
proof -
  have  $\exists t s x l.$ 
     $u = (t, s) \wedge$ 
     $x \in \text{bin } (\text{Gen } P) k \wedge$ 
     $\text{next-symbol } x = \text{Some } t \wedge \text{is-terminal } t \wedge l \in \text{Lex } t \text{ Doc } k \wedge s = \text{take } l$ 

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(drop k Doc)
  using u-in-Tokens-at by (auto simp add: TokensAt-def)
  then obtain t s x l where
    u: u = (t, s) ∧
    x ∈ bin (Gen P) k ∧
    next-symbol x = Some t ∧ is-terminal t ∧ l ∈ Lex t Doc k ∧ s = take l
(drop k Doc)
  by blast
from u have t: t = terminal-of-token u by (metis terminal-of-token-simp)
from u have s: s = chars-of-token u by (metis chars-of-token-simp)
from u have item-end-x: item-end x = k by (metis (mono-tags, lifting) bin-def
mem-Collect-eq)
from u have ∃ p ∈ P. pvalid p x by (auto simp add: bin-def Gen-def)
then obtain p where p: p ∈ P and pvalid: pvalid p x by blast
have p-len: length (chars p) = k
  by (metis charslength.simps item-end-x pvalid pvalid-item-end)
have u-in-X: u ∈ X k
  apply (simp add: X-def)
  apply (rule-tac x=t in exI)
  apply (rule-tac x=l in exI)
  using u by (simp add: is-terminal-def)
show ?thesis
  apply (auto simp add: W-def)
  apply (simp add: u-in-X)
  apply (rule-tac x=p in exI)
  apply (simp add: p p-len)
  apply (simp add: admissible-def t[symmetric])
  apply (rule pvalid-prefixlang[where x=x])
  apply (simp add: pvalid)
  apply (simp add: u)
  apply (simp add: u)
done
qed

theorem thmD4:
  assumes P: P ⊆ ℙ
  shows W P k = TokensAt k (Gen P)
  using W-elem-in-TokensAt TokensAt-elem-in-W
  by (metis Collect-cong Collect-mem-eq assms)

end

end
theory TheoremD5
imports TheoremD4
begin

context LocalLexing begin

```

```

lemma Scan-empty: Scan {} k I = I
  by (simp add: Scan-def)

lemma π-no-tokens: π k {} I = limit (λ I. Complete k (Predict k I)) I
  by (simp add: π-def Scan-empty)

lemma bin-elem: x ∈ bin I k ==> x ∈ I
  by (auto simp add: bin-def)

lemma Gen-implies-pvalid: x ∈ Gen P ==> ∃ p ∈ P. pvalid p x
  by (auto simp add: Gen-def)

lemma wellformed-init-item[simp]: r ∈ ℜ ==> k ≤ length Doc ==> wellformed-item
  (init-item r k)
  by (simp add: init-item-def wellformed-item-def)

lemma init-item-origin[simp]: item-origin (init-item r k) = k
  by (auto simp add: item-origin-def init-item-def)

lemma init-item-end[simp]: item-end (init-item r k) = k
  by (auto simp add: item-end-def init-item-def)

lemma init-item-nonterminal[simp]: item-nonterminal (init-item r k) = fst r
  by (auto simp add: init-item-def item-nonterminal-def)

lemma init-item-α[simp]: item-α (init-item r k) = []
  by (auto simp add: init-item-def item-α-def)

lemma Predict-elem-in-Gen:
  assumes I-in-Gen-P: I ⊆ Gen P
  assumes k: k ≤ length Doc
  assumes x-in-Predict: x ∈ Predict k I
  shows x ∈ Gen P
proof –
  have x ∈ I ∨ (∃ r y. r ∈ ℜ ∧ x = init-item r k ∧ y ∈ bin I k ∧ next-symbol y
  = Some(fst r))
  using x-in-Predict by (auto simp add: Predict-def)
  then show ?thesis
  proof (induct rule: disjCases2)
    case 1 thus ?case using I-in-Gen-P by blast
  next
    case 2
    then obtain r y where ry: r ∈ ℜ ∧ x = init-item r k ∧ y ∈ bin I k ∧
    next-symbol y = Some (fst r) by blast
    then have ∃ p ∈ P. pvalid p y
    using Gen-implies-pvalid I-in-Gen-P bin-elem subsetCE by blast
    then obtain p where p: p ∈ P ∧ pvalid p y by blast
    have wellformed-p: wellformed-tokens p using p by (auto simp add: pvalid-def)
    have wellformed-x: wellformed-item x

```

```

by (simp add: ry k)
from ry have item-end y = k by (auto simp add: bin-def)
with p have charlength-p[simplified]: charlength p = k by (auto simp add:
pvalid-def)
have item-end-x: item-end x = k by (simp add: ry)
have pvalid-x: pvalid p x
apply (auto simp add: pvalid-def)
apply (simp add: wellformed-p)
apply (simp add: wellformed-x)
apply (rule-tac x=length p in exI)
apply (auto simp add: charlength-p ry)
by (metis append-Cons next-symbol-starts-item-β p pvalid-def
    pvalid-is-derivation-terminals-item-β ry)
then show ?case using Gen-def mem-Collect-eq p by blast
qed
qed

lemma Predict-subset-Gen:
assumes I ⊆ Gen P
assumes k ≤ length Doc
shows Predict k I ⊆ Gen P
using Predict-elem-in-Gen assms by blast

lemma nth-superfluous-append[simp]: i < length a ==> (a@b)!i = a!i
by (simp add: nth-append)

lemma tokens-nth-in-Z:
p ∈ ℙ ==> ∀ i. i < length p → (∃ u. p ! i ∈ Z (charlength (take i p)) u)
proof (induct rule: ℙ-induct)
  case Base thus ?case by simp
next
  case (Induct p k u)
  then have p ∈ limit (Append (Z k (Suc u)) k) (P k u) by simp
  then show ?case
  proof (induct rule: limit-induct)
    case (Init p) thus ?case using Induct by auto
  next
    case (Iterate p Y)
    from Iterate(2) have p ∈ Y ∨ (∃ q t. p = q@[t] ∧ q ∈ by-length k Y ∧ t ∈ Z
      k (Suc u) ∧
      admissible (q @ [t]))
    by (auto simp add: Append-def)
    then show ?case
    proof (induct rule: disjCases2)
      case 1 thus ?case using Iterate(1) by auto
    next
      case 2
      then obtain q t where
        qt: p = q @ [t] ∧ q ∈ by-length k Y ∧ t ∈ Z k (Suc u) ∧ admissible (q @

```

```

[ $t$ ]) by blast
  then have  $q\text{-in-}Y: q \in Y$  by auto
  with  $qt$  have  $k: k = \text{charslength } q$  by auto
  with  $qt$  have  $t: t \in \mathcal{Z} k (\text{Suc } u)$  by auto
  show ?case
  proof(auto simp add: qt)
    fix  $i$ 
    assume  $i: i < \text{Suc } (\text{length } q)$ 
    then have  $i < \text{length } q \vee i = \text{length } q$  by arith
    then show  $\exists u. (q @ [t]) ! i \in \mathcal{Z} (\text{length } (\text{chars } (\text{take } i q))) u$ 
    proof(induct rule: disjCases2)
      case 1
        from Iterate(1)[OF  $q\text{-in-}Y$ ]
        show ?case by (simp add: 1)
      next
        case 2
        show ?case
        apply (auto simp add: 2)
        apply (rule-tac  $x=\text{Suc } u$  in exI)
        using  $k t$  by auto
      qed
    qed
  qed
qed
qed
qed
qed
qed

lemma path-append-token:
  assumes  $p: p \in \mathcal{P} k u$ 
  assumes  $t: t \in \mathcal{Z} k (\text{Suc } u)$ 
  assumes  $pt: \text{admissible } (p @ [t])$ 
  assumes  $k: \text{charslength } p = k$ 
  shows  $p @ [t] \in \mathcal{P} k (\text{Suc } u)$ 
apply (simp only:  $\mathcal{P}.\text{simps}$ )
apply (rule-tac  $n=\text{Suc } 0$  in limit-elem)
using  $p t pt k$  apply (auto simp only: Append-def funpower.simps)
by fastforce

definition indexlt-rel ::  $((\text{nat} \times \text{nat}) \times (\text{nat} \times \text{nat})) \text{ set}$  where
  indexlt-rel = less-than  $<*\text{lex}*>$  less-than

definition indexlt ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$  where
  indexlt  $k' u' k u = (((k', u'), (k, u)) \in \text{indexlt-rel})$ 

lemma indexlt-simp:  $\text{indexlt } k' u' k u = (k' < k \vee (k' = k \wedge u' < u))$ 
by (auto simp add: indexlt-def indexlt-rel-def)

lemma wf-indexlt-rel:  $\text{wf indexlt-rel}$ 
using indexlt-rel-def pair-less-def by auto

```

```

lemma  $\mathcal{P}$ -induct[consumes 1, case-names Induct]:
  assumes  $p \in \mathcal{P} k u$ 
  assumes induct:  $\bigwedge p k u . (\bigwedge p' k' u'. p' \in \mathcal{P} k' u' \implies \text{indexlt } k' u' k u \implies P p' k' u')$ 
   $\qquad\qquad\qquad \implies p \in \mathcal{P} k u \implies P p k u$ 
  shows  $P p k u$ 
  proof -
    let ?R = indexlt-rel <*lex*> {}
    have wf-R: wf ?R by (auto simp add: wf-indexlt-rel)
    let ?P =  $\lambda a. \text{snd } a \in \mathcal{P} (\text{fst } (\text{fst } a)) (\text{snd } (\text{fst } a)) \longrightarrow P (\text{snd } a) (\text{fst } (\text{fst } a))$ 
    ( $\text{snd } (\text{fst } a)$ )
    have  $p \in \mathcal{P} k u \longrightarrow P p k u$ 
    apply (rule wf-induct[OF wf-R, where P = ?P and a = ((k, u), p), simplified])
    apply (auto simp add: indexlt-def[symmetric])
    apply (rule-tac p=ba and k=a and u=b in induct)
    by auto
    thus ?thesis using assms by auto
  qed

lemma nonempty-path-indices:
  assumes p:  $p \in \mathcal{P} k u$ 
  assumes nonempty:  $p \neq []$ 
  shows  $k > 0 \vee u > 0$ 
  proof (cases u = 0)
    case True
    note u = True
    have k > 0
    proof (cases k = 0)
      case True
      with p u have p = [] by simp
      with nonempty have False by auto
      then show ?thesis by auto
    next
      case False
      then show ?thesis by arith
    qed
    then show ?thesis by blast
  next
    case False
    then show ?thesis by arith
  qed

lemma base-paths:
  assumes p:  $p \in \mathcal{P} k 0$ 
  assumes k:  $k > 0$ 
  shows  $\exists u. p \in \mathcal{P} (k - 1) u$ 
  proof -
    from k have  $\exists i. k = \text{Suc } i$  by arith
    then obtain i where i:  $k = \text{Suc } i$  by blast

```

```

from p show ?thesis
  by (auto simp add: i natUnion-def)
qed

lemma indexlt-trans: indexlt k'' u'' k' u'  $\implies$  indexlt k' u' k u  $\implies$  indexlt k'' u'' k u
using dual-order.strict-trans indexlt-simp by auto

definition is-continuation :: nat  $\Rightarrow$  nat  $\Rightarrow$  tokens  $\Rightarrow$  tokens  $\Rightarrow$  bool where
  is-continuation k u q ts = (q  $\in$  P k u  $\wedge$  charslength q = k  $\wedge$  admissible (q@ts)
   $\wedge$ 
  ( $\forall$  t  $\in$  set ts. t  $\in$  Z k (Suc u))  $\wedge$  ( $\forall$  t  $\in$  set (butlast ts). chars-of-token t = []))

lemma limit-Append-path-nonelem-split: p  $\in$  limit (Append T k) (P k u)  $\implies$  p  $\notin$ 
P k u  $\implies$ 
   $\exists$  q ts. p = q@ts  $\wedge$  q  $\in$  P k u  $\wedge$  charslength q = k  $\wedge$  admissible (q@ts)  $\wedge$  ( $\forall$  t
   $\in$  set ts. t  $\in$  T)  $\wedge$ 
  ( $\forall$  t  $\in$  set (butlast ts). chars-of-token t = [])
proof (induct rule: limit-induct)
  case (Init p) thus ?case by auto
next
  case (Iterate p Y)
  show ?case
  proof (cases p  $\in$  Y)
    case True
    from Iterate(1)[OF True Iterate(3)] show ?thesis by blast
next
  case False
  with Append-def Iterate(2)
  have  $\exists$  q t. p = q@[t]  $\wedge$  q  $\in$  by-length k Y  $\wedge$  t  $\in$  T  $\wedge$  admissible (q @ [t]) by
  auto
  then obtain q t where qt: p = q@[t]  $\wedge$  q  $\in$  by-length k Y  $\wedge$  t  $\in$  T  $\wedge$  admissible
  (q @ [t])
  by blast
  from qt have qlen: charslength q = k by auto
  have q  $\in$  P k u  $\vee$  q  $\notin$  P k u by blast
  then show ?thesis
  proof(induct rule: disjCases2)
    case 1
    show ?case
      apply (rule-tac x=q in exI)
      apply (rule-tac x=[t] in exI)
      using qlen by (simp add: qt 1)
next
  case 2
  have q-in-Y: q  $\in$  Y using qt by auto
  from Iterate(1)[OF q-in-Y 2]
  obtain q' ts where
    q'ts: q = q' @ ts  $\wedge$  q'  $\in$  P k u  $\wedge$  charslength q' = k  $\wedge$  ( $\forall$  t  $\in$  set ts. t  $\in$  T)  $\wedge$ 

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```

 $(\forall t \in set(butlast ts). \text{chars-of-token } t = [])$ 
by blast
with qlen have charslength ts = 0 by auto
hence empty:  $\forall t \in set(ts). \text{chars-of-token } t = []$ 
apply (induct ts)
by auto
show ?case
apply (rule-tac x=q' in exI)
apply (rule-tac x=ts@[t] in exI)
using qt q'ts empty by auto
qed
qed
qed

lemma limit-Append-path-nonelem-split':
 $p \in \text{limit}(\text{Append}(\mathcal{Z} k (\text{Suc } u)) k) (\mathcal{P} k u) \implies p \notin \mathcal{P} k u \implies$ 
 $\exists q ts. p = q@ts \wedge \text{is-continuation } k u q ts$ 
apply (simp only: is-continuation-def)
apply (rule-tac limit-Append-path-nonelem-split)
by auto

lemma final-step-of-path:  $p \in \mathcal{P} k u \implies p \neq [] \implies (\exists q ts k' u'. p = q@ts \wedge$ 
indexlt k' u' k u
 $\wedge \text{is-continuation } k' u' q ts)$ 
proof (induct rule: P-induct)
case (Induct p k u)
from Induct(2) Induct(3) have ku-0:  $k > 0 \vee u > 0$ 
using nonempty-path-indices by blast
show ?case
proof (cases u = 0)
case True
with ku-0 have k-0:  $k > 0$  by arith
with True Induct(2) base-paths have  $\exists u'. p \in \mathcal{P}(k - 1) u'$  by auto
then obtain u' where u':  $p \in \mathcal{P}(k - 1) u'$  by blast
have indexlt: indexlt(k - 1) u' k u by (simp add: indexlt-simp k-0)
from Induct(1)[OF u' indexlt Induct(3)] show ?thesis
using indexlt indexlt-trans by blast
next
case False
then have  $\exists u'. u = \text{Suc } u'$  by arith
then obtain u' where u':  $u = \text{Suc } u'$  by blast
with Induct(2) have p-limit:  $p \in \text{limit}(\text{Append}(\mathcal{Z} k (\text{Suc } u')) k) (\mathcal{P} k u')$ 
using P.simps(2) by blast
from u' have indexlt: indexlt k u' k u by (simp add: indexlt-simp)
have p ∈ P k u' ∨ p ∉ P k u' by blast
then show ?thesis
proof (induct rule: disjCases2)
case 1
from Induct(1)[OF 1 indexlt Induct(3)] show ?case

```

```

    using indexlt indexlt-trans by blast
next
  case 2
  from limit-Append-path-nonelem-split'[OF p-limit 2]
  show ?case using indexlt u' by auto
qed
qed
qed

lemma terminals-empty[simp]: terminals [] = []
  by (auto simp add: terminals-def)

lemma empty-in-L_P[simp]: [] ∈ L_P
  apply (simp add: L_P-def is-derivation-def)
  apply (rule-tac x=[S] in exI)
  by simp

lemma admissible-empty[simp]: admissible []
  by (auto simp add: admissible-def)

lemma P-are-admissible: p ∈ P ⇒ admissible p
proof (induct rule: P-induct)
  case Base thus ?case by simp
next
  case (Induct p k u)
  from Induct(2)[simplified] show ?case
  proof (induct rule: limit-induct)
    case (Init p) from Induct(1)[OF Init] show ?case .
  next
    case (Iterate p Y)
    have Y (Z k u) (P k u) k ⊆ X k by (metis Z.simps(2) Z-subset-X)
    then have 1: Append (Y (Z k u) (P k u) k) k Y ⊆ Append (X k) k Y
      by (rule Append-mono, simp)
    have 2: p ∈ Append (X k) k Y ⇒ admissible p
      apply (auto simp add: Append-def)
      by (simp add: Iterate)
    show ?case
      apply (rule 2)
      using 1 Iterate(2) by blast
  qed
qed

lemma prefix-of-empty-is-empty: is-prefix q [] ⇒ q = []
  by (metis is-prefix-cons neq-Nil-conv)

lemma subset-P :
  assumes leq: k' < k ∨ (k' = k ∧ u' ≤ u)
  shows P k' u' ⊆ P k u
proof -

```

```

from leg show ?thesis
proof (induct rule: disjCases2)
  case 1
  have s1:  $\mathcal{P} k' u' \subseteq \mathcal{Q} k'$  by (rule-tac subset- $\mathcal{P}\mathcal{Q}k$ )
  have s2:  $\mathcal{Q} k' \subseteq \mathcal{Q} (k - 1)$ 
    apply (rule-tac subset- $\mathcal{Q}$ )
    using 1 by arith
  from subset- $\mathcal{Q}\mathcal{P}Suc$ [where k=k-1] 1 have s3:  $\mathcal{Q} (k - 1) \subseteq \mathcal{P} k 0$ 
    by simp
  have s4:  $\mathcal{P} k 0 \subseteq \mathcal{P} k u$  by (rule-tac subset- $\mathcal{P}k$ , simp)
  from s1 s2 s3 s4 subset-trans show ?case by blast
next
  case 2 thus ?case by (simp add : subset- $\mathcal{P}k$ )
qed
qed

lemma empty-path-is-elem[simp]:  $[] \in \mathcal{P} k u$ 
proof -
  have [] ∈  $\mathcal{P} 0 0$  by simp
  then show [] ∈  $\mathcal{P} k u$  by (metis le0 not-gr0 subsetCE subset- $\mathcal{P}$ )
qed

lemma is-prefix-of-append:
  assumes is-prefix p (a@b)
  shows is-prefix p a ∨ (∃ b'. b' ≠ [] ∧ is-prefix b' b ∧ p = a@b')
apply (auto simp add: is-prefix-def)
by (metis append-Nil2 append-eq-append-conv2 assms is-prefix-cancel is-prefix-def)

lemma prefix-is-continuation: is-continuation k u p ts ⇒ is-prefix ts' ts ⇒
  is-continuation k u p ts'
apply (auto simp add: is-continuation-def is-prefix-def)
apply (metis LP-split admissible-def append-assoc terminals-append)
using in-set-butlast-appendI by fastforce

lemma charslength-0: (∀ t ∈ set ts. chars-of-token t = []) = (charslength ts = 0)
by (induct ts, auto)

lemma is-continuation-in- $\mathcal{P}$ : is-continuation k u p ts ⇒ p@ts ∈  $\mathcal{P} k (Suc u)$ 
proof(induct ts rule: rev-induct)
  case Nil thus ?case
    apply (auto simp add: is-continuation-def)
    using subset- $\mathcal{P}Suc$  by fastforce
next
  case (snoc t ts)
    from snoc(2) have is-continuation k u p ts
      by (metis append-Nil2 is-prefix-cancel is-prefix-empty prefix-is-continuation)
    note induct = snoc(1)[OF this]
    then have pts: p@ts ∈ limit (Append ( $\mathcal{Z} k (Suc u)$ ) k) ( $\mathcal{P} k u$ ) by simp
    note is-cont = snoc(2)

```

```

then have admissible: admissible (p@ts@[t]) by (simp add: is-continuation-def)
from is-cont have t: t ∈ Z k (Suc u) by (simp add: is-continuation-def)
from is-cont have ∀ t ∈ set ts. chars-of-token t = [] by (simp add: is-continuation-def)
then have charlength-ts: charlength ts = 0 by (simp only: charlength-0)
from is-cont have plen: charlength p = k by (simp add: is-continuation-def)
show ?case
apply (simp only: P.simps)
apply (rule-tac limit-step-pointwise[OF pts])
apply (simp add: pointwise-Append)
apply (auto simp add: Append-def)
apply (rule-tac x=fst t in exI)
apply (rule-tac x=snd t in exI)
apply (auto simp add: admissible)
using charlength-ts apply simp
using plen apply simp
using t by simp
qed

lemma indexlt-subset-P: indexlt k' u' k u ⟹ P k' (Suc u') ⊆ P k u
apply (rule-tac subset-P)
apply (simp add: indexlt-simp)
apply arith
done

lemma prefixes-are-paths: p ∈ P k u ⟹ is-prefix x p ⟹ x ∈ P k u
proof (induct arbitrary: x rule: P-induct)
case (Induct p k u)
show ?case
proof (cases p = [])
case True
then have x = []
using Induct.preds prefix-of-empty-is-empty by blast
then show x ∈ P k u by simp
next
case False
from final-step-of-path[OF Induct(2) False]
obtain q ts k' u' where step: p = q@ts ∧ indexlt k' u' k u ∧ is-continuation
k' u' q ts
by blast
have subset: P k' u' ⊆ P k u
by (metis indexlt-simp less-or-eq-imp-le step subset-P)
have is-prefix x q ∨ (∃ ts'. ts' ≠ [] ∧ is-prefix ts' ts ∧ x = q@ts')
apply (rule-tac is-prefix-of-append)
using Induct(3) step by auto
then show ?thesis
proof (induct rule: disjCases2)
case 1
have x: x ∈ P k' u'
using 1 Induct step by (auto simp add: is-continuation-def)

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then show  $x \in \mathcal{P} k u$  using subset subsetCE by blast
next
  case 2
  then obtain  $ts'$  where  $ts': \text{is-prefix } ts' ts \wedge x = q @ ts'$  by blast
  have  $\text{is-continuation } k' u' q ts'$  using step prefix-is-continuation  $ts'$  by blast
  with  $ts'$  have  $x \in \mathcal{P} k' (\text{Suc } u')$ 
    apply (simp only:  $ts'$ )
    apply (rule-tac is-continuation-in- $\mathcal{P}$ )
    by simp
  with subset show  $x \in \mathcal{P} k u$  using indexlt-subset- $\mathcal{P}$  step by blast
qed
qed
qed

lemma empty-or-last-of-suffix:
assumes  $q = q' @ [t]$ 
assumes  $q = p @ ts$ 
shows  $ts = [] \vee (\exists ts'. q' = p @ ts' \wedge ts' @ [t] = ts)$ 
by (metis assms(1) assms(2) butlast-append last-appendR snoc-eq-iff-butlast)

lemma is-prefix-butlast: is-prefix  $q$  (butlast  $p$ )  $\implies$  is-prefix  $q p$ 
by (metis butlast-conv-take is-prefix-append is-prefix-def is-prefix-take)

lemma last-step-of-path:
 $q \in \mathcal{P} k u \implies q = q' @ [t] \implies$ 
 $\exists k' u'. \text{indexlt } k' u' k u \wedge q \in \mathcal{P} k' (\text{Suc } u') \wedge \text{charslength } q' = k' \wedge t \in \mathcal{Z} k'$ 
( $\text{Suc } u'$ )
proof (induct arbitrary:  $q' t$  rule:  $\mathcal{P}$ -induct)
  case (Induct  $q k u$ )
    have  $\exists p ts k' u'. q = p @ ts \wedge \text{indexlt } k' u' k u \wedge \text{is-continuation } k' u' p ts$ 
      apply (rule-tac final-step-of-path)
      apply (simp add: Induct(2))
      apply (simp add: Induct(3))
      done
    then obtain  $p ts k' u'$  where pts:  $q = p @ ts \wedge \text{indexlt } k' u' k u \wedge \text{is-continuation } k' u' p ts$ 
      by blast
    then have indexlt:  $\text{indexlt } k' u' k u$  by auto
    from pts have  $ts = [] \vee (\exists ts'. q' = p @ ts' \wedge ts' @ [t] = ts)$ 
      by (metis empty-or-last-of-suffix Induct(3))
    then show ?case
  proof (induct rule: disjCases2)
    case 1
      with pts have q:  $q \in \mathcal{P} k' u'$  by (auto simp add: is-continuation-def)
      from Induct(1)[OF this indexlt Induct(3)] show ?case
        using indexlt_indexlt-trans by blast
  next
    case 2
    then obtain  $ts'$  where  $q' = p @ ts' \wedge ts' @ [t] = ts$  by blast

```

```

then have is-prefix ts' ts using is-prefix-def by blast
then have is-continuation k' u' p ts' by (metis prefix-is-continuation pts)
have charslength ts' = 0 using charslength-0 is-continuation-def pts ts' by
auto
then have q'len: charslength q' = k' using is-continuation-def pts ts' by
auto
have t ∈ set ts using ts' by auto
with pts have t-in-Z: t ∈ Z k' (Suc u') using is-continuation-def by blast
have q-dom: q ∈ P k' (Suc u') using pts is-continuation-in-P by blast
show ?case
  apply (rule-tac x=k' in exI)
  apply (rule-tac x=u' in exI)
  by (simp only: indexlt q'len t-in-Z q-dom)
qed
qed

lemma charslength-of-butlast-0: p ∈ P k 0  $\implies$  p = q@[t]  $\implies$  charslength q < k
using last-step-of-path LocalLexing-axioms indexlt-simp by blast

lemma charslength-of-butlast: p ∈ P k u  $\implies$  p = q@[t]  $\implies$  charslength q ≤ k
by (metis indexlt-simp last-step-of-path eq-imp-le less-imp-le-nat)

lemma last-token-of-path:
assumes q ∈ P k u
assumes q = q'@[t]
assumes charslength q' = k
shows t ∈ Z k u
proof –
  from assms have  $\exists k' u'. \text{indexlt } k' u' k u \wedge q \in P k' (\text{Suc } u') \wedge \text{charlength } q' = k' \wedge$ 
   $t \in Z k' (\text{Suc } u')$  using last-step-of-path by blast
  then obtain k' u' where th:  $\text{indexlt } k' u' k u \wedge q \in P k' (\text{Suc } u') \wedge \text{charlength } q' = k'$ 
   $\wedge t \in Z k' (\text{Suc } u')$  by blast
  with assms(3) have k': k' = k by blast
  with th have t ∈ Z k' (Suc u')  $\wedge u' < u$  using indexlt-simp by auto
  then show ?thesis
  by (metis (no-types, opaque-lifting) Z-subset-Suc k' linorder-neqE-nat not-less-eq

  subsetCE subset-fSuc-strict)
qed

lemma final-step-of-path': p ∈ P k u  $\implies$  p ∉ P k (u - 1)  $\implies$ 
 $\exists q ts. u > 0 \wedge p = q@ts \wedge \text{is-continuation } k (u - 1) q ts$ 
by (metis Suc-diff-1 P.simps(2) diff-0-eq-0 limit-Append-path-nonelem-split' not-gr0)

lemma is-continuation-continue:
assumes is-continuation k u q ts
assumes charslength ts = 0

```

```

assumes  $t \in \mathcal{Z} k$  ( $Suc u$ )
assumes  $admissible (q @ ts @ [t])$ 
shows  $is-continuation k u q (ts @ [t])$ 
proof -
  from assms show ?thesis
    by (simp add: is-continuation-def charslength-0)
qed

theorem compatibility-def:
assumes  $p\text{-in-dom}: p \in \mathcal{P} k u$ 
assumes  $q\text{-in-dom}: q \in \mathcal{P} k u$ 
assumes  $p\text{-charslength}: charslength p = k$ 
assumes  $q\text{-split}: q = q' @ [t]$ 
assumes  $q'\text{len}: charslength q' = k$ 
assumes  $admissible: admissible (p @ [t])$ 
shows  $p @ [t] \in \mathcal{P} k u$ 
proof -
  have  $u: u > 0$ 
  proof (cases  $u = 0$ )
    case True
      then have  $charslength q' < k$ 
      using charslength-of-butlast-0 q-in-dom q-split by blast
      with  $q'\text{len}$  have False by arith
      then show ?thesis by blast
    next
    case False
      then show ?thesis by arith
  qed
  have  $t\text{-dom}: t \in \mathcal{Z} k u$  using last-token-of-path  $q'\text{len}$   $q\text{-in-dom}$   $q\text{-split}$  by blast
  have  $p \in \mathcal{P} k (u - 1) \vee p \notin \mathcal{P} k (u - 1)$  by blast
  then show ?thesis
  proof (induct rule: disjCases2)
    case 1
      with  $t\text{-dom}$   $p\text{-charslength}$   $admissible u$  have  $is-continuation k (u - 1) p [t]$ 
        by (auto simp add: is-continuation-def)
      with  $u$  show  $p @ [t] \in \mathcal{P} k u$ 
        by (metis One-nat-def Suc-pred is-continuation-in-P)
    next
    case 2
      from final-step-of-path'[OF p-in-dom 2]
      obtain  $p' ts$  where  $p': p = p' @ ts \wedge is-continuation k (u - 1) p' ts$ 
        by blast
      from  $p'$   $p\text{-charslength}$  is-continuation-def have  $charslength-ts: charslength ts = 0$ 
        by auto
      from  $u$  have  $u': Suc (u - 1) = u$  by arith
      have  $is-continuation k (u - 1) p' (ts @ [t])$ 

```

```

apply (rule-tac is-continuation-continue)
using p' apply blast
using charslength-ts apply blast
apply (simp only: u' t-dom)
using admissible p' apply auto
done
from is-continuation-in- $\mathcal{P}$ [OF this] show ?case by (simp only: p' u', simp)
qed
qed

lemma is-prefix-admissible:
assumes is-prefix a b
assumes admissible b
shows admissible a
proof -
  from assms show ?thesis
    by (auto simp add: is-prefix-def admissible-def  $\mathcal{L}_P$ -def)
qed

lemma butlast-split:  $n < \text{length } q \implies \text{butlast } q = (\text{take } n \ q) @ (\text{drop } n \ (\text{butlast } q))$ 
by (metis append-take-drop-id take-butlast)

lemma in- $\mathcal{P}$ -charlength:
assumes p-dom:  $p \in \mathcal{P} \ k \ u$ 
shows  $\exists v. p \in \mathcal{P} (\text{charlength } p) \ v$ 
proof (cases charlength p  $\geq k$ )
  case True
    show ?thesis
      apply (rule-tac x=u in exI)
      by (metis True le-neq-implies-less p-dom subsetCE subset- $\mathcal{P}$ )
next
  case False
    then have charlength: charlength p  $< k$  by arith
    have p = []  $\vee p \neq []$  by blast
    thus ?thesis
  proof (induct rule: disjCases2)
    case 1 thus ?case by simp
  next
    case 2
      from final-step-of-path[OF p-dom 2] obtain q ts k' u' where
        step:  $p = q @ ts \wedge \text{indexlt } k' \ u' \ k \ u \wedge \text{is-continuation } k' \ u' \ q \ ts$  by blast
      from step have k': charlength q = k' using is-continuation-def by blast
      from step have charlength q  $\leq \text{charlength } p$  by simp
      with k' have k':  $k' \leq \text{charlength } p$  by simp
      from step have p ∈  $\mathcal{P} \ k'$  (Suc u') using is-continuation-in- $\mathcal{P}$  by blast
      with k' have p ∈  $\mathcal{P} (\text{charlength } p)$  (Suc u')
        by (metis le-neq-implies-less subsetCE subset- $\mathcal{P}$ )
      then show ?case by blast
  qed

```

qed

theorem *general-compatibility*:

```

 $p \in \mathcal{P} k u \implies q \in \mathcal{P} k u \implies \text{charslength } p = \text{charslength} (\text{take } n q)$ 
 $\implies \text{charslength } p \leq k \implies \text{admissible } (p @ (\text{drop } n q)) \implies p @ (\text{drop } n q) \in$ 
 $\mathcal{P} k u$ 
proof (induct length q - n arbitrary: p q n k u)
  case 0
    from 0 have  $0 = \text{length } q - n$  by auto
    then have  $n: n \geq \text{length } q$  by arith
    then have  $\text{drop } n q = []$  by auto
    then show ?case by (simp add: 0.prems(1))
  next
    case (Suc l)
      have  $n \geq \text{length } q \vee n < \text{length } q$  by arith
      then show ?case
      proof (induct rule: disjCases2)
        case 1
          then have  $\text{drop } n q = []$  by auto
          then show ?case by (simp add: Suc.prems(1))
        next
          case 2
            then have  $\text{length } q > 0$  by auto
            then have  $q\text{-nonempty}: q \neq []$  by auto
            let ?q' = butlast q
            from q-nonempty Suc(2) have h1:  $l = \text{length } ?q' - n$  by auto
            have h2:  $?q' \in \mathcal{P} k u$ 
              by (metis Suc.prems(2) butlast-conv-take is-prefix-take prefixes-are-paths)
            have h3:  $\text{charslength } p = \text{charslength} (\text{take } n ?q')$ 
              using 2.hyps Suc.prems(3) take-butlast by force
            have is-prefix  $(p @ \text{drop } n ?q') (p @ \text{drop } n q)$ 
              by (simp add: butlast-conv-take drop-take)
            note h4 = is-prefix-admissible[OF this Suc.prems(5)]
            note induct = Suc(1)[OF h1 Suc(3) h2 h3 Suc.prems(4) h4]
            let ?p' =  $p @ (\text{drop } n (\text{butlast } q))$ 
            from induct have ?p'  $\in \mathcal{P} k u$ .
            let ?i =  $\text{charslength } ?p'$ 
            have charslength-i[symmetric]:  $\text{charslength } ?q' = ?i$ 
              using Suc.prems(3) apply simp
              apply (subst butlast-split[OF 2])
              by simp
            have q-split:  $q = ?q' @ [\text{last } q]$  by (simp add: q-nonempty)
              with Suc.prems(2) charslength-of-butlast have charslength-q':  $\text{charslength } ?q' \leq k$ 
                by blast
              from q-nonempty have p'last:  $?p' @ [\text{last } q] = p @ (\text{drop } n q)$ 
                by (metis 2.hyps append-assoc drop-eq-Nil drop-keep-last not-le q-split)
              have ?i  $\leq k$  by (simp only: charslength-i charslength-q')
              then have ?i = k  $\vee$  ?i  $< k$  by auto

```

```

then show ?case
proof (induct rule: disjCases2)
  case 1
    have charslength-q': charslength ?q' = k using charslength-i[symmetric]
  1 by blast
    from compatibility-def[OF induct Suc.prems(2) 1 q-split charslength-q']
    show ?case by (simp only: p'last Suc.prems(5))
  next
    case 2
      from in-P-charslength[OF induct]
      obtain v1 where v1: ?p' ∈ P ?i v1 by blast
      from last-step-of-path[OF Suc.prems(2) q-split]
      have ∃ u. q ∈ P ?i u by (metis charslength-i)
      then obtain v2 where v2: q ∈ P ?i v2 by blast
      let ?v = max v1 v2
      have v1 ≤ ?v by auto
      with v1 have dom1: ?p' ∈ P ?i ?v by (metis (no-types, opaque-lifting)
subsetCE subset-Pk)
      have v2 ≤ ?v by auto
      with v2 have dom2: q ∈ P ?i ?v by (metis (no-types, opaque-lifting)
subsetCE subset-Pk)
      from compatibility-def[OF dom1 dom2 - q-split]
      have p @ drop n q ∈ P ?i ?v
      by (simp only: p'last charslength-i[symmetric] Suc.prems(5))
      then show p @ drop n q ∈ P k u by (meson 2.hyps subsetCE subset-P)
    qed
  qed
qed

lemma wellformed-item derives:
  assumes wellformed: wellformed-item x
  shows derives [item-nonterminal x] (item-rhs x)
proof -
  from wellformed have (item-nonterminal x, item-rhs x) ∈ R
  by (simp add: item-nonterminal-def item-rhs-def wellformed-item-def)
  then show ?thesis
  by (metis append-Nil2 derives1-def derives1-implies derives is-sentence-concat
        rule-α-type self-append-conv2)
qed

lemma wellformed-complete-item-β:
  assumes wellformed: wellformed-item x
  assumes complete: is-complete x
  shows item-β x = []
  using complete is-complete-def item-β-def by auto

lemma wellformed-complete-item derives:
  assumes wellformed: wellformed-item x
  assumes complete: is-complete x

```

```

shows derives [item-nonterminal  $x$ ] ( $item\text{-}\alpha$   $x$ )
using complete is-complete-def item- $\alpha$ -def wellformed wellformed-item derives by
auto

lemma is-derivation-implies-admissible:
  is-derivation (terminals  $p @ \delta$ )  $\Rightarrow$  is-word (terminals  $p$ )  $\Rightarrow$  admissible  $p$ 
using  $\mathcal{L}_P$ -def admissible-def by blast

lemma item-rhs-of-inc-item[simp]: item-rhs (inc-item  $x k$ ) = item-rhs  $x$ 
by (auto simp add: inc-item-def item-rhs-def)

lemma item-rule-of-inc-item[simp]: item-rule (inc-item  $x k$ ) = item-rule  $x$ 
by (simp add: inc-item-def)

lemma item-origin-of-inc-item[simp]: item-origin (inc-item  $x k$ ) = item-origin  $x$ 
by (simp add: inc-item-def)

lemma item-end-of-inc-item[simp]: item-end (inc-item  $x k$ ) =  $k$ 
by (simp add: inc-item-def)

lemma item-dot-of-inc-item[simp]: item-dot (inc-item  $x k$ ) = (item-dot  $x$ ) + 1
by (simp add: inc-item-def)

lemma item-nonterminal-of-inc-item[simp]: item-nonterminal (inc-item  $x k$ ) =
item-nonterminal  $x$ 
by (simp add: inc-item-def item-nonterminal-def)

lemma wellformed-inc-item:
  assumes wellformed: wellformed-item  $x$ 
  assumes next-symbol: next-symbol  $x = \text{Some } s$ 
  assumes k-upper-bound:  $k \leq \text{length } Doc$ 
  assumes k-lower-bound:  $k \geq \text{item-end } x$ 
  shows wellformed-item (inc-item  $x k$ )
proof -
  have k-lower-bound':  $k \geq \text{item-origin } x$ 
  using k-lower-bound wellformed wellformed-item-def by auto
  show ?thesis
    apply (auto simp add: wellformed-item-def k-upper-bound k-lower-bound')
    using wellformed wellformed-item-def apply blast
    using is-complete-def next-symbol next-symbol-not-complete not-less-eq-eq by
blast
qed

lemma item- $\alpha$ -of-inc-item:
  assumes wellformed: wellformed-item  $x$ 
  assumes next-symbol: next-symbol  $x = \text{Some } s$ 
  shows item- $\alpha$  (inc-item  $x k$ ) = item- $\alpha$   $x @ [s]$ 
by (metis (mono-tags, lifting) item-dot-of-inc-item item-rhs-of-inc-item
One-nat-def add.right-neutral add-Suc-right is-complete-def item- $\alpha$ -def item- $\beta$ -def

```

```

le-neq-implies-less list.sel(1) next-symbol next-symbol-not-complete next-symbol-starts-item-β
take-hd-drop wellformed wellformed-item-def)

lemma derives1-pad:
  assumes derives1: derives1 α β
  assumes u: is-sentence u
  assumes v: is-sentence v
  shows derives1 (u@α@v) (u@β@v)
proof -
  from derives1 have
     $\exists x y N \delta. \alpha = x @ [N] @ y \wedge \beta = x @ \delta @ y \wedge \text{is-sentence } x \wedge \text{is-sentence } y$ 
     $\wedge (N, \delta) \in \mathfrak{R}$ 
    by (auto simp add: derives1-def)
  then obtain x y N δ where
    1:  $\alpha = x @ [N] @ y \wedge \beta = x @ \delta @ y \wedge \text{is-sentence } x \wedge \text{is-sentence } y \wedge (N, \delta)$ 
     $\in \mathfrak{R}$  by blast
  show ?thesis
    apply (simp only: derives1-def)
    apply (rule-tac x=u@x in exI)
    apply (rule-tac x=y@v in exI)
    apply (rule-tac x=N in exI)
    apply (rule-tac x=δ in exI)
    using 1 u v is-sentence-concat by auto
qed

lemma derives-pad:
  derives α β  $\implies$  is-sentence u  $\implies$  is-sentence v  $\implies$  derives (u@α@v) (u@β@v)
proof (induct rule: derives-induct)
  case Base thus ?case by simp
  next
    case (Step y z)
      from Step have 1: derives (u@α@v) (u@y@v) by auto
      from Step have 2: derives1 y z by auto
      then have derives1 (u@y@v) (u@z@v) by (simp add: Step.preds derives1-pad)

    then show ?case
      using 1 derives1-implies derives-trans by blast
qed

lemma derives1-is-sentence: derives1 α β  $\implies$  is-sentence α  $\wedge$  is-sentence β
using Derives1-sentence1 Derives1-sentence2 derives1-implies-Derives1 by blast

lemma derives-is-sentence: derives α β  $\implies$  ( $\alpha = \beta$ )  $\vee$  (is-sentence α  $\wedge$  is-sentence β)
proof (induct rule: derives-induct)
  case Base thus ?case by simp
  next

```

```

case (Step y z)
  show ?case using Step.hyps(2) Step.hyps(3) derives1-is-sentence by blast
qed

lemma derives-append:
  assumes au: derives a u
  assumes bv: derives b v
  assumes is-sentence-a: is-sentence a
  assumes is-sentence-b: is-sentence b
  shows derives (a@b) (u@v)
proof -
  from au have a = u ∨ (is-sentence a ∧ is-sentence u)
  using derives-is-sentence by blast
  then have au-sentences: is-sentence a ∧ is-sentence u using is-sentence-a by blast
  from bv have b = v ∨ (is-sentence b ∧ is-sentence v)
  using derives-is-sentence by blast
  then have bv-sentences: is-sentence b ∧ is-sentence v using is-sentence-b by blast
  have 1: derives (a@b) (u@b)
  apply (rule-tac derives-pad[OF au, where u=[], simplified])
  using is-sentence-b by auto
  have 2: derives (u@b) (u@v)
  apply (rule-tac derives-pad[OF bv, where v=[], simplified])
  apply (simp add: au-sentences)
  done
  from 1 2 derives-trans show ?thesis by blast
qed

lemma is-sentence-item-α: wellformed-item x ⇒ is-sentence (item-α x)
  by (metis is-sentence-take item-α-def item-rhs-def prod.collapse rule-α-type well-formed-item-def)

lemma is-nonterminal-item-nonterminal: wellformed-item x ⇒ is-nonterminal (item-nonterminal x)
  by (metis item-nonterminal-def prod.collapse rule-nonterminal-type wellformed-item-def)

lemma Complete-elem-in-Gen:
  assumes I-in-Gen: I ⊆ Gen (P k u)
  assumes k: k ≤ length Doc
  assumes x-in-Complete: x ∈ Complete k I
  shows x ∈ Gen (P k u)
proof -
  let ?P = P k u
  from x-in-Complete have x ∈ I ∨ (exists x1 x2. x = inc-item x1 k ∧ x1 ∈ bin I (item-origin x2) ∧ x2 ∈ bin I k ∧ is-complete x2 ∧ next-symbol x1 = Some (item-nonterminal x2))
  by (auto simp add: Complete-def)
  then show ?thesis

```

```

proof (induct rule: disjCases2)
  case 1 thus ?case using I-in-Gen subsetCE by blast
next
  case 2
    then obtain  $x_1 x_2$  where  $x_{12} : x = \text{inc-item } x_1 k \wedge$ 
       $x_1 \in \text{bin } I (\text{item-origin } x_2) \wedge x_2 \in \text{bin } I k \wedge \text{is-complete } x_2 \wedge$ 
       $\text{next-symbol } x_1 = \text{Some } (\text{item-nonterminal } x_2)$  by blast
    from  $x_{12}$  have  $\exists p_1 p_2. p_1 \in ?P \wedge \text{pvalid } p_1 x_1 \wedge p_2 \in ?P \wedge \text{pvalid } p_2 x_2$ 
      by (meson Gen-implies-pvalid I-in-Gen bin-elem subsetCE)
    then obtain  $p_1 p_2$  where  $p_1 : p_1 \in ?P \wedge \text{pvalid } p_1 x_1$  and  $p_2 : p_2 \in ?P \wedge$ 
       $\text{pvalid } p_2 x_2$ 
      by blast
    from  $p_1$  obtain  $w \delta$  where  $\text{p1valid}:$ 
      wellformed-tokens  $p_1 \wedge$ 
      wellformed-item  $x_1 \wedge$ 
       $w \leq \text{length } p_1 \wedge$ 
      charslength  $p_1 = \text{item-end } x_1 \wedge$ 
      charslength (take  $w p_1$ ) = item-origin  $x_1 \wedge$ 
      is-derivation (terminals (take  $w p_1$ ) @ [item-nonterminal  $x_1$ ] @  $\delta$ )  $\wedge$ 
      derives (item- $\alpha$   $x_1$ ) (terminals (drop  $w p_1$ ))
      using pvalid-def by blast
    from  $p_2$  obtain  $y \gamma$  where  $\text{p2valid}:$ 
      wellformed-tokens  $p_2 \wedge$ 
      wellformed-item  $x_2 \wedge$ 
       $y \leq \text{length } p_2 \wedge$ 
      charslength  $p_2 = \text{item-end } x_2 \wedge$ 
      charslength (take  $y p_2$ ) = item-origin  $x_2 \wedge$ 
      is-derivation (terminals (take  $y p_2$ ) @ [item-nonterminal  $x_2$ ] @  $\gamma$ )  $\wedge$ 
      derives (item- $\alpha$   $x_2$ ) (terminals (drop  $y p_2$ ))
      using pvalid-def by blast
    let  $?r = p_1 @ (\text{drop } y p_2)$ 
    have charslength- $p_1\text{-eq}$ : charslength  $p_1 = \text{item-end } x_1$  by (simp only: p1valid)
    from  $x_{12}$  have item-end- $x_1$ : item-end  $x_1 = \text{item-origin } x_2$ 
      using bin-def mem-Collect-eq by blast
    have item-end- $x_2$ : item-end  $x_2 = k$  using bin-def  $x_{12}$  by blast
    then have charslength- $p_1\text{-leg}$ : charslength  $p_1 \leq k$ 
      using charslength- $p_1\text{-eq}$  item-end- $x_1$  p2valid wellformed-item-def by auto
    have  $\exists \delta'. \text{item-}\beta x_1 = [\text{item-nonterminal } x_2] @ \delta'$ 
      by (simp add: next-symbol-starts-item- $\beta$  p1valid  $x_{12}$ )
    then obtain  $\delta'$  where  $\delta': \text{item-}\beta x_1 = [\text{item-nonterminal } x_2] @ \delta'$  by blast
    have is-derivation ((terminals (take  $w p_1$ ))@((item-rhs  $x_1$ )@ $\delta$ )
      using is-derivation-derives p1valid wellformed-item-derives by blast
    then have is-derivation ((terminals (take  $w p_1$ ))@((item- $\alpha$   $x_1$  @ item- $\beta$   $x_1$ )@ $\delta$ )
      by (simp add: item-rhs-split)
    then have is-derivation ((terminals (take  $w p_1$ ))@((terminals (drop  $w p_1$ )) @
      item- $\beta$   $x_1$ )@ $\delta$ )
      using is-derivation-derives p1valid by auto
    then have is-derivation ((terminals  $p_1$ )@((item- $\beta$   $x_1$ )@ $\delta$ )
      by (metis append-assoc append-take-drop-id terminals-append)
  
```

```

then have is-derivation ((terminals p1)@[item-nonterminal x2] @ δ')@δ)
  using is-derivation-derives δ' by auto
then have is-derivation ((terminals p1)@(terminals (drop y p2)) @ δ' @δ)
  using is-complete-def is-derivation-derives is-derivation-step item-α-def
    item-nonterminal-def item-rhs-def p2valid wellformed-item-def x12 by auto
then have is-derivation (terminals (p1 @ (drop y p2)) @ (δ' @ δ)) by simp
then have admissible-r: admissible (p1 @ (drop y p2))
  apply (rule-tac is-derivation-implies-admissible)
  apply auto
  apply (rule is-word-terminals)
  apply (simp add: p1valid)
  using p2valid using is-word-terminals-drop terminals-drop by auto
have r-in-dom: ?r ∈ P k u
  apply (rule-tac general-compatibility)
  apply (simp add: p1)
  apply (simp add: p2)
  apply (simp only: p2valid charlength-p1-eq item-end-x1)
  apply (simp only: charlength-p1-leq)
  by (simp add: admissible-r)
have wellformed-r: wellformed-tokens ?r
  using admissible-r admissible-wellformed-tokens by blast
have wellformed-x: wellformed-item x
  apply (simp add: x12)
  apply (rule-tac wellformed-inc-item)
  apply (simp add: p1valid)
  apply (simp add: x12)
  apply (simp add: k)
  using charlength-p1-eq charlength-p1-leq by auto
have charlength-p1-as-p2: charlength p1 = charlength (take y p2)
  using charlength-p1-eq item-end-x1 p2valid by linarith
then have charlength-r: charlength ?r = item-end x
  apply (simp add: x12)
  apply (subst length-append[symmetric])
  apply (subst chars-append[symmetric])
  apply (subst append-take-drop-id)
  using item-end-x2 p2valid by auto
have item-α-x: item-α x = item-α x1 @ [item-nonterminal x2]
  using x12 p1valid by (simp add: item-α-of-inc-item)
from p2valid have derives-item-nonterminal-x2:
  derives [item-nonterminal x2] (terminals (drop y p2))
  using derives-trans wellformed-complete-item-derives x12 by blast
have pvalid ?r x
  apply (auto simp only: pvalid-def)
  apply (rule-tac x=w in exI)
  apply (rule-tac x=δ in exI)
  apply (auto simp only:)
  apply (simp add: wellformed-r)
  apply (simp add: wellformed-x)
  using p1valid apply simp

```

```

apply (simp only: charslength-r)
using x12 p1valid apply simp
using x12 p1valid apply simp
apply (simp add: item-α-x)
apply (rule-tac derives-append)
using p1valid apply simp
using derives-item-nonterminal-x2 p1valid apply auto[1]
using is-sentence-item-α p1valid apply blast
using is-derivation-is-sentence is-sentence-concat p2valid by blast
with r-in-dom show ?case using Gen-def mem-Collect-eq by blast
qed
qed

lemma Complete-subset-Gen:
assumes I-in-Gen-P:  $I \subseteq \text{Gen}(\mathcal{P} k u)$ 
assumes k:  $k \leq \text{length } \text{Doc}$ 
shows Complete k I  $\subseteq \text{Gen}(\mathcal{P} k u)$ 
using Complete-elem-in-Gen I-in-Gen-P k by blast

lemma  $\mathcal{P}$ -are-admissible:  $p \in \mathcal{P} k u \implies \text{admissible } p$ 
apply (rule-tac  $\mathfrak{P}$ -are-admissible)
using  $\mathfrak{P}$ -covers- $\mathcal{P}$  subsetCE by blast

lemma is-continuation-base:
assumes p-dom:  $p \in \mathcal{P} k u$ 
assumes charslength-p:  $\text{charslength } p = k$ 
shows is-continuation k u p []
apply (auto simp add: is-continuation-def)
apply (simp add: p-dom)
using charslength-p apply simp
using  $\mathcal{P}$ -are-admissible p-dom by blast

lemma is-continuation-empty-chars:
is-continuation k u q ts  $\implies \text{charslength } (q @ ts) = k \implies \text{chars } ts = []$ 
by (simp add: is-continuation-def)

lemma  $\mathcal{Z}$ -subset:  $u \leq v \implies \mathcal{Z} k u \subseteq \mathcal{Z} k v$ 
using  $\mathcal{Z}$ -subset-Suc subset-fSuc by blast

lemma is-continuation-increase-u:
assumes cont: is-continuation k u q ts
assumes uv:  $u \leq v$ 
shows is-continuation k v q ts
proof -
have q ∈  $\mathcal{P} k u$  using cont is-continuation-def by blast
with uv have q-dom:  $q \in \mathcal{P} k v$  by (meson subsetCE subset- $\mathcal{P} k$ )
from uv have Z:  $\bigwedge t. t \in \mathcal{Z} k (\text{Suc } u) \implies t \in \mathcal{Z} k (\text{Suc } v)$ 
using  $\mathcal{Z}$ -subset le-neq-implies-less less-imp-le-nat not-less-eq subsetCE by blast

```

```

show ?thesis
  apply (auto simp only: is-continuation-def)
  apply (simp add: q-dom)
  using cont is-continuation-def apply simp
  using cont is-continuation-def apply simp
  using cont is-continuation-def Z apply simp
  using cont is-continuation-def apply (simp only:)
  done
qed

lemma pvalid-next-symbol-derivable:
  assumes pvalid: pvalid p x
  assumes next-symbol: next-symbol x = Some s
  shows  $\exists \delta. \text{is-derivation}((\text{terminals } p) @ [s] @ \delta)$ 
proof -
  from pvalid pvalid-def have wellformed-x: wellformed-item x by auto
  from next-symbol-starts-item- $\beta$ [OF wellformed-x next-symbol]
  obtain  $\omega$  where  $\omega: \text{item-}\beta x = [s] @ \omega$  by auto
  from pvalid have  $\exists \gamma. \text{is-derivation}((\text{terminals } p) @ (\text{item-}\beta x) @ \gamma)$ 
    using pvalid-is-derivation-terminals-item- $\beta$  by blast
  then obtain  $\gamma$  where  $\text{is-derivation}((\text{terminals } p) @ (\text{item-}\beta x) @ \gamma)$  by blast
  with  $\omega$  have  $\text{is-derivation}((\text{terminals } p) @ [s] @ \omega @ \gamma)$  by auto
  then show ?thesis by blast
qed

lemma pvalid-admissible:
  assumes pvalid: pvalid p x
  shows admissible p
proof -
  have  $\exists \delta. \text{is-derivation}((\text{terminals } p) @ (\text{item-}\beta x) @ \delta)$ 
    by (simp add: pvalid pvalid-is-derivation-terminals-item- $\beta$ )
  then obtain  $\delta$  where  $\delta: \text{is-derivation}((\text{terminals } p) @ (\text{item-}\beta x) @ \delta)$  by blast
  have is-word: is-word (terminals p)
    using pvalid-def is-word-terminals pvalid by blast
  show ?thesis using  $\delta$  is-derivation-implies-admissible is-word by blast
qed

lemma pvalid-next-terminal-admissible:
  assumes pvalid: pvalid p x
  assumes next-symbol: next-symbol x = Some t
  assumes terminal: is-terminal t
  shows admissible (p@[t, c])
proof -
  have is-word (terminals p)
    using is-word-terminals pvalid pvalid-def by blast
  then show ?thesis
    using is-derivation-implies-admissible next-symbol pvalid pvalid-next-symbol-derivable
      terminal by fastforce

```

qed

lemma \mathcal{X} -wellformed: $t \in \mathcal{X} k \implies \text{wellformed-token } t$
by (*simp add:* \mathcal{X} -are-terminals wellformed-token-def)

lemma \mathcal{Z} -wellformed: $t \in \mathcal{Z} k u \implies \text{wellformed-token } t$
using \mathcal{X} -wellformed \mathcal{Z} -subset- \mathcal{X} **by** blast

lemma Scan-elem-in-Gen:

assumes I -in-Gen: $I \subseteq \text{Gen } (\mathcal{P} k u)$
assumes $k: k \leq \text{length } \text{Doc}$
assumes $T: T \subseteq \mathcal{Z} k u$
assumes x -in-Scan: $x \in \text{Scan } T k I$
shows $x \in \text{Gen } (\mathcal{P} k u)$

proof –

have $u = 0 \implies x \in I$

proof –

assume $u = 0$

then have $\mathcal{Z} k u = \{\}$ **by** simp

then have $T = \{\}$ **using** T **by** blast

then have $\text{Scan } T k I = I$ **by** (*simp add:* Scan-empty)

then show $x \in I$ **using** x -in-Scan **by** simp

qed

then have $x \in I \vee (u > 0 \wedge (\exists y t c. x = \text{inc-item } y (k + \text{length } c) \wedge y \in \text{bin } I k \wedge$

$(t, c) \in T \wedge \text{next-symbol } y = \text{Some } t))$ **using** x -in-Scan Scan-def **by** auto

then show ?thesis

proof (induct rule: disjCases2)

case 1 thus ?case **using** I -in-Gen **by** blast

next

case 2

then obtain $y t c$ **where** x -is-scan: $x = \text{inc-item } y (k + \text{length } c) \wedge y \in \text{bin } I$
 $k \wedge$

$(t, c) \in T \wedge \text{next-symbol } y = \text{Some } t$ **by** blast

have $u\text{-gt-0}: 0 < u$ **using** ? by blast

have $\exists p \in \mathcal{P} k u. \text{pvalid } p y$ **using** Gen-implies-pvalid I -in-Gen bin-elem
 x -is-scan **by** blast

then obtain p **where** $p: p \in \mathcal{P} k u \wedge \text{pvalid } p y$ **by** blast

have $p\text{-dom}: p \in \mathcal{P} k u$ **using** p **by** blast

from p **pvalid-def** x -is-scan **have** $\text{charslength-}p: \text{charslength } p = k$

using bin-def mem-Collect-eq **by** auto

obtain tok **where** $\text{tok}: \text{tok} = (t, c)$ **using** x -is-scan **by** blast

have $\text{tok}\text{-dom}: \text{tok} \in \mathcal{Z} k u$ **using** tok x -is-scan T **by** blast

have $p = [] \vee p \neq []$ **by** blast

then have $\exists q ts u'. p = q@ts \wedge u' < u \wedge \text{charslength } ts = 0 \wedge \text{is-continuation}$

$k u' q ts$

proof (induct rule: disjCases2)

case 1 thus ?case

apply (rule-tac $x=p$ in exI)

```

apply (rule-tac  $x=[]$  in exI)
apply (rule-tac  $x=0$  in exI)
apply (simp add: 2 is-continuation-def)
using charlength-p by simp
next
case 2
from final-step-of-path[ $OF\ p\text{-dom } 2$ ] obtain q ts k' u'
  where final-step:  $p = q @ ts \wedge indexlt k' u' k u \wedge is\text{-continuation } k' u' q ts$ 
by blast
  then have  $k' \leq k$  using indexlt-simp by auto
  then have  $k' < k \vee k' = k$  by arith
  then show ?case
  proof (induct rule: disjCases2)
    case 1
      have  $p \in \mathcal{P} k'$  ( $Suc u'$ ) using final-step is-continuation-in- $\mathcal{P}$  by blast
      then have p-dom:  $p \in \mathcal{P} k 0$  by (meson 1 subsetCE subset- $\mathcal{P}$ )
        with charlength-p have is-continuation  $k 0 p []$  using is-continuation-base
      by blast
      then show ?case
        apply (rule-tac  $x=p$  in exI)
        apply (rule-tac  $x=[]$  in exI)
        apply (rule-tac  $x=0$  in exI)
        apply (simp add: u-gt-0)
        done
    next
    case 2
      with final-step indexlt-simp have  $u' < u$  by auto
      then show ?case
        apply (rule-tac  $x=q$  in exI)
        apply (rule-tac  $x=ts$  in exI)
        apply (rule-tac  $x=u'$  in exI)
        using final-step 2 apply auto
        using charlength-p is-continuation-empty-chars by blast
      qed
    qed
  then obtain q ts u' where
    p-split:  $p = q @ ts \wedge u' < u \wedge charlength ts = 0 \wedge is\text{-continuation } k u' q ts$ 
  by blast
  then have  $\exists u''. u' \leq u'' \wedge Suc u'' = u$  by (auto, arith)
  then obtain u'':  $u'' \leq u'' \wedge Suc u'' = u$  by blast
  with p-split have cont-u'': is-continuation  $k u'' q ts$ 
    using is-continuation-increase-u by blast
  have admissible: admissible ( $p @ [tok]$ )
    apply (simp add: tok)
    apply (rule-tac pvalid-next-terminal-admissible[where  $x=y$ ])
    apply (simp add: p)
    apply (simp add: x-is-scan)
    using Z-wellformed tok tok-dom wellformed-token-def by auto
  have is-continuation  $k u'' q (ts @ [tok])$ 

```

```

apply (rule is-continuation-continue)
apply (simp add: cont-u'')
using p-split apply simp
using u'' tok-dom apply simp
using admissible p-split by auto
with p-split u'' have ptok-dom: p@[tok] ∈ ℙ k u
  using append-assoc is-continuation-in-ℙ by auto
from p obtain i γ where valid:
  wellformed-tokens p ∧
  wellformed-item y ∧
  i ≤ length p ∧
  charslength p = item-end y ∧
  charslength (take i p) = item-origin y ∧
  is-derivation (terminals (take i p) @ [item-nonterminal y] @ γ) ∧
  derives (item-α y) (terminals (drop i p)) using pvalid-def by blast
have clen-ptok: k + length c = charslength (p@[tok])
  using charslength-p tok by simp
from ptok-dom have ptok-doc-tokens: doc-tokens (p@[tok])
  using ℙ-are-doc-tokens ℙ-covers-ℙ rev-subsetD by blast
have wellformed-x: wellformed-item x
  apply (simp add: x-is-scan)
  apply (rule-tac wellformed-inc-item)
  apply (simp add: valid)
  apply (simp add: x-is-scan)
  apply (simp only: clen-ptok)
  using ptok-doc-tokens charslength.simps doc-tokens-length apply presburger
  apply (simp only: clen-ptok)
  using valid by auto
have pvalid (p@[tok]) x
  apply (auto simp only: pvalid-def)
  apply (rule-tac x=i in exI)
  apply (rule-tac x=γ in exI)
  apply (auto simp only:)
  using ptok-dom admissible admissible-wellformed-tokens apply blast
  apply (simp add: wellformed-x)
  using valid apply simp
  apply (simp add: x-is-scan clen-ptok)
  using valid apply (simp add: x-is-scan)
  using valid apply (simp add: x-is-scan)
  using valid apply (simp add: x-is-scan)
  apply (subst item-α-of-inc-item)
  using valid apply simp
  using x-is-scan apply simp
  apply (rule-tac derives-append)
  apply simp
  apply (simp add: tok)
  using is-sentence-item-α apply blast
by (meson pvalid-next-symbol-derivable LocalLexing-axioms is-derivation-is-sentence

```

```

is-sentence-concat p x-is-scan)
with ptok-dom show ?thesis
  using Gen-def mem-Collect-eq by blast
qed
qed

lemma Scan-subset-Gen:
assumes I-in-Gen:  $I \subseteq \text{Gen}(\mathcal{P} k u)$ 
assumes k:  $k \leq \text{length } \text{Doc}$ 
assumes T:  $T \subseteq \mathcal{Z} k u$ 
shows Scan T k I  $\subseteq \text{Gen}(\mathcal{P} k u)$ 
using I-in-Gen Scan-elem-in-Gen T k by blast

theorem thmD5:
assumes I:  $I \subseteq \text{Gen}(\mathcal{P} k u)$ 
assumes k:  $k \leq \text{length } \text{Doc}$ 
assumes T:  $T \subseteq \mathcal{Z} k u$ 
shows  $\pi k T I \subseteq \text{Gen}(\mathcal{P} k u)$ 
apply (simp add: π-def)
apply (rule-tac limit-upperbound)
using I k T Predict-subset-Gen Complete-subset-Gen Scan-subset-Gen apply metis
by (simp add: I)

end

end
theory TheoremD6
imports TheoremD5
begin

context LocalLexing begin

definition inc-dot :: nat ⇒ item ⇒ item
where
inc-dot d x = Item (item-rule x) (item-dot x + d) (item-origin x) (item-end x)

lemma inc-dot-0[simp]: inc-dot 0 x = x
by (simp add: inc-dot-def)

lemma Predict-mk-regular1:
 $\exists (P :: \text{rule} \Rightarrow \text{item} \Rightarrow \text{bool}) F. \text{Predict } k = \text{mk-regular1 } P F$ 
proof -
let ?P =  $\lambda r x::\text{item}. r \in \mathfrak{R} \wedge \text{item-end } x = k \wedge \text{next-symbol } x = \text{Some}(\text{fst } r)$ 
let ?F =  $\lambda r (x::\text{item}). \text{init-item } r k$ 
show ?thesis
apply (rule-tac x=?P in exI)
apply (rule-tac x=?F in exI)
apply (rule-tac ext)
by (auto simp add: mk-regular1-def bin-def Predict-def)

```

qed

lemma *Complete-mk-regular2*:

$\exists (P :: \text{dummy} \Rightarrow \text{item} \Rightarrow \text{item} \Rightarrow \text{bool}) F. \text{Complete } k = \text{mk-regular2 } P F$

proof –

let $?P = \lambda (r::\text{dummy}) x y. \text{item-end } x = \text{item-origin } y \wedge \text{item-end } y = k \wedge \text{is-complete } y \wedge$

$\text{next-symbol } x = \text{Some } (\text{item-nonterminal } y)$

let $?F = \lambda (r::\text{dummy}) x y. \text{inc-item } x k$

show $?thesis$

apply (*rule-tac* $x=?P$ **in** *exI*)

apply (*rule-tac* $x=?F$ **in** *exI*)

apply (*rule-tac* *ext*)

by (*auto simp add:* *mk-regular2-def bin-def Complete-def*)

qed

lemma *Scan-mk-regular1*:

$\exists (P :: \text{token} \Rightarrow \text{item} \Rightarrow \text{bool}) F. \text{Scan } T k = \text{mk-regular1 } P F$

proof –

let $?P = \lambda (tok::\text{token}) (x::\text{item}). \text{item-end } x = k \wedge tok \in T \wedge \text{next-symbol } x = \text{Some } (\text{fst } tok)$

let $?F = \lambda (tok::\text{token}) (x::\text{item}). \text{inc-item } x (k + \text{length } (\text{snd } tok))$

show $?thesis$

apply (*rule-tac* $x=?P$ **in** *exI*)

apply (*rule-tac* $x=?F$ **in** *exI*)

apply (*rule-tac* *ext*)

by (*auto simp add:* *mk-regular1-def bin-def Scan-def*)

qed

lemma *Predict-regular: regular* (*Predict k*)

by (*metis Predict-mk-regular1 regular1*)

lemma *Complete-regular: regular* (*Complete k*)

by (*metis Complete-mk-regular2 regular2*)

lemma *Scan-regular: regular* (*Scan T k*)

by (*metis Scan-mk-regular1 regular1*)

lemma *π -functional: $\pi k T = \text{limit } ((\text{Scan } T k) o (\text{Complete } k) o (\text{Predict } k))$*

proof –

have $\pi k T = \text{limit } (\lambda I. \text{Scan } T k (\text{Complete } k (\text{Predict } k I)))$

using $\pi\text{-def}$ **by** *blast*

moreover have $(\lambda I. \text{Scan } T k (\text{Complete } k (\text{Predict } k I))) =$

$(\text{Scan } T k) o (\text{Complete } k) o (\text{Predict } k)$

apply (*rule ext*)

by *simp*

ultimately show $?thesis$ **by** *simp*

qed

```

lemma  $\pi\text{-step-regular}: \text{regular } ((\text{Scan } T k) \circ (\text{Complete } k) \circ (\text{Predict } k))$ 
  by (simp add: Complete-regular Predict-regular Scan-regular regular-comp)

lemma  $\pi\text{-regular}: \text{regular } (\pi k T)$ 
  by (simp add: pi-functional pi-step-regular regular-limit)

lemma  $\pi\text{-fix}: \text{Scan } T k (\text{Complete } k (\text{Predict } k (\pi k T I))) = \pi k T I$ 
  using  $\pi\text{-functional pi-step-regular regular-fixpoint}$  by fastforce

lemma  $\pi\text{-fix}': ((\text{Scan } T k) \circ (\text{Complete } k) \circ (\text{Predict } k)) (\pi k T I) = \pi k T I$ 
  using  $\pi\text{-functional pi-step-regular regular-fixpoint}$  by fastforce

lemma setmonotone-cases:
  assumes  $f: \text{setmonotone } f$ 
  shows  $f X = X \vee X \subset f X$ 
  using assms elem-setmonotone by fastforce

lemma distribute-fixpoint-over-setmonotone-comp:
  assumes  $f: \text{setmonotone } f$ 
  assumes  $g: \text{setmonotone } g$ 
  assumes  $\text{fixpoint}: (f \circ g) I = I$ 
  shows  $f I = I \wedge g I = I$ 
  proof -
    from setmonotone-cases[OF g, where X=I] show ?thesis
    proof(induct rule: disjCases2)
      case 1
        thus ?case using fixpoint by simp
      next
        case 2
          with  $f$  have  $I \subset (f \circ g) I$ 
            by (metis comp-apply fixpoint less-asym' setmonotone-cases)
          with fixpoint have False by simp
          then show ?case by blast
    qed
  qed

lemma distribute-fixpoint-over-setmonotone-comp-3:
  assumes  $f: \text{setmonotone } f$ 
  assumes  $g: \text{setmonotone } g$ 
  assumes  $h: \text{setmonotone } h$ 
  assumes  $\text{fixpoint}: (f \circ g \circ h) I = I$ 
  shows  $f I = I \wedge g I = I \wedge h I = I$ 
  by (meson distribute-fixpoint-over-setmonotone-comp f fixpoint g h setmonotone-comp)

lemma Predict-pi-fix:  $\text{Predict } k (\pi k T I) = \pi k T I$ 
  by (meson Complete-regular Predict-regular Scan-regular pi-fix'
    distribute-fixpoint-over-setmonotone-comp-3 regular-implies-setmonotone)

lemma Scan-pi-fix:  $\text{Scan } T k (\pi k T I) = \pi k T I$ 

```

```

by (meson Complete-regular Predict-regular Scan-regular π-fix'
    distribute-fixpoint-over-setmonotone-comp-3 regular-implies-setmonotone)

lemma Complete-π-fix: Complete k (π k T I) = π k T I
by (meson Complete-regular Predict-regular Scan-regular π-fix'
    distribute-fixpoint-over-setmonotone-comp-3 regular-implies-setmonotone)

lemma π-idempotent: π k T (π k T I) = π k T I
by (simp add: π-functional π-step-regular limit-is-idempotent)

lemma derivation-shift-identity[simp]: derivation-shift D 0 0 = D
by (simp add: derivation-shift-def)

lemma Derivation-skip-prefix: Derivation (u@v) D w ==> derivation-ge D (length
u) ==>
Derivation v (derivation-shift D (length u) 0) (drop (length u) w)
proof (induct D arbitrary: u v w)
case Nil
thus ?case by (simp add: append-eq-conv-conj)
next
case (Cons d D)
from Cons have ∃ x. Derives1 (u@v) (fst d) (snd d) x ∧ Derivation x D w by
auto
then obtain x where x: Derives1 (u@v) (fst d) (snd d) x ∧ Derivation x D
w by blast
from Cons have d: fst d ≥ length u and D: derivation-ge D (length u)
using derivation-ge-cons apply blast
using Cons.preds(2) derivation-ge-cons by blast
have ∃ x'. x = u@x' by (metis append-eq-conv-conj d le-Derives1-take x)
then obtain x' where x': x = u@x' by blast
show ?case
apply simp
apply (rule-tac x=x' in exI)
using Cons.hyps D Derives1-skip-prefix d x x' by blast
qed

lemma leftmost-skip-prefix: leftmost i (u@v) ==> i ≥ length u ==> leftmost (i -
length u) v
by (simp add: leftmost-def less-diff-conv2 nth-append)

lemma LeftDerivation-skip-prefix: LeftDerivation (u@v) D w ==> derivation-ge D
(length u) ==>
LeftDerivation v (derivation-shift D (length u) 0) (drop (length u) w)
proof (induct D arbitrary: u v w)
case Nil
thus ?case by (simp add: append-eq-conv-conj)
next
case (Cons d D)
from Cons have ∃ x. LeftDerives1 (u@v) (fst d) (snd d) x ∧ LeftDerivation x

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```

 $D w$  by auto
  then obtain  $x$  where  $x: LeftDerives1 (u@v) (fst d) (snd d) x \wedge LeftDerivation x D w$  by blast
    from Cons have  $d: fst d \geq length u$  and  $D: derivation-ge D (length u)$ 
      using derivation-ge-cons apply blast
      using Cons.prem(2) derivation-ge-cons by blast
    have  $\exists x'. x = u@x'$ 
      by (metis LeftDerives1-implies-Derives1 append-eq-conv-conj d le-Derives1-take x)
    then obtain  $x'$  where  $x': x = u@x'$  by blast
    have leftmost:  $leftmost (fst d) (u@v)$  using LeftDerives1-def x by blast
    have 1:  $LeftDerives1 v (fst d - length u) (snd d) x'$ 
      apply (auto simp add: LeftDerives1-def)
      apply (simp add: leftmost d leftmost-skip-prefix)
      using Derives1-skip-prefix LeftDerives1-implies-Derives1 d x x' by blast
    have 2:  $LeftDerivation x' (derivation-shift D (length u) 0) (drop (length u) w)$ 
      using Cons.hyps D x x' by blast
    show ?case
      apply simp
      apply (rule-tac  $x=x'$  in exI)
      using 1 2 by blast
qed

lemma splits-at-append:  $splits-at u i u1 N u2 \Rightarrow splits-at (u@v) i u1 N (u2@v)$ 
by (auto simp add: splits-at-def)

lemma LeftDerives1-append-leftmost-unique:  $LeftDerives1 (a@b) i r c \Rightarrow leftmost j a \Rightarrow i = j$ 
by (meson LeftDerives1-def leftmost-cons-less leftmost-def leftmost-unique)

lemma drop-derivation-shift:
   $drop n (derivation-shift D left right) = derivation-shift (drop n D) left right$ 
by (auto simp add: derivation-shift-def drop-map)

lemma take-derivation-shift:
   $take n (derivation-shift D left right) = derivation-shift (take n D) left right$ 
by (auto simp add: derivation-shift-def take-map)

lemma derivation-shift-0-shift:  $derivation-shift (derivation-shift D left1 0) left2 right2 = derivation-shift D (left1 + left2) right2$ 
by (auto simp add: derivation-shift-def)

lemma splits-at-append-prefix:
   $splits-at v i \alpha N \beta \Rightarrow splits-at (u@v) (i + length u) (u@\alpha) N \beta$ 
  apply (auto simp add: splits-at-def)
  by (simp add: nth-append)

lemma splits-at-implies-Derives1:  $splits-at \delta i \alpha N \beta \Rightarrow is-sentence \delta \Rightarrow r \in \mathfrak{R}$ 

```

```

 $\implies \text{fst } r = N$ 
 $\implies \text{Derives1 } \delta i r (\alpha @ (\text{snd } r) @ \beta)$ 
by (metis (no-types, lifting) Derives1-def is-sentence-concat length-take
less-or-eq-imp-le min.absorb2 prod.collapse splits-at-combine splits-at-def)

lemma Derives1-append-prefix:
assumes Derives1: Derives1 v i r w
assumes u: is-sentence u
shows Derives1 (u@v) (i + length u) r (u@w)
proof -
  have  $\exists \alpha N \beta. \text{splits-at } v i \alpha N \beta$  using assms splits-at-ex by auto
  then obtain  $\alpha N \beta$  where split-v: splits-at v i  $\alpha N \beta$  by blast
  have split-w:  $w = \alpha @ (\text{snd } r) @ \beta$  using assms split-v splits-at-combine-dest by
  blast
  have split-uv: splits-at (u@v) (i + length u) (u@ $\alpha$ )  $N \beta$ 
  by (simp add: split-v splits-at-append-prefix)
  have is-sentence-uv: is-sentence (u@v)
  using Derives1 Derives1-sentence1 is-sentence-concat u by blast
  show ?thesis
  by (metis Derives1 Derives1-nonterminal Derives1-rule append-assoc is-sentence-uv

  split-uv split-v split-w splits-at-implies-Derives1)
qed

lemma leftmost-prepend-word: leftmost i v  $\implies$  is-word u  $\implies$  leftmost (i + length
u) (u@v)
by (simp add: leftmost-def nth-append)

lemma LeftDerives1-append-prefix:
assumes Derives1: LeftDerives1 v i r w
assumes u: is-word u
shows LeftDerives1 (u@v) (i + length u) r (u@w)
proof -
  have 1: Derives1 v i r w
  by (simp add: Derives1 LeftDerives1-implies-Derives1)
  have 2: leftmost i v
  using Derives1 LeftDerives1-def by blast
  have 3: is-sentence u using u by fastforce
  have 4: Derives1 (u@v) (i + length u) r (u@w)
  by (simp add: 1 3 Derives1-append-prefix)
  have 5: leftmost (i + length u) (u@v)
  by (simp add: 2 leftmost-prepend-word u)
  show ?thesis
  by (simp add: 4 5 LeftDerives1-def)
qed

lemma Derivation-append-prefix: Derivation v D w  $\implies$  is-sentence u  $\implies$ 
Derivation (u@v) (derivation-shift D 0 (length u)) (u@w)
proof (induct D arbitrary: u v w)

```

```

case Nil thus ?case by auto
next
  case (Cons d D)
    then have  $\exists x. \text{Derives1 } v (\text{fst } d) (\text{snd } d) x \wedge \text{Derivation } x D w$  by auto
    then obtain x where x: Derives1 v (fst d) (snd d) x  $\wedge$  Derivation x D w by blast
    with Cons have induct: Derivation (u@x) (derivation-shift D 0 (length u))
  (u@w) by auto
    have Derives1: Derives1 (u@v) ((fst d) + length u) (snd d) (u@x)
      by (simp add: Cons.prems(2) Derives1-append-prefix x)
    show ?case
      apply simp
      apply (rule-tac x=u@x in exI)
      by (simp add: Cons.hyps Cons.prems(2) Derives1 x)
qed

lemma LeftDerivation-append-prefix: LeftDerivation v D w  $\implies$  is-word u  $\implies$ 
  LeftDerivation (u@v) (derivation-shift D 0 (length u)) (u@w)
proof (induct D arbitrary: u v w)
  case Nil thus ?case by auto
next
  case (Cons d D)
    then have  $\exists x. \text{LeftDerives1 } v (\text{fst } d) (\text{snd } d) x \wedge \text{LeftDerivation } x D w$  by auto
    then obtain x where x: LeftDerives1 v (fst d) (snd d) x  $\wedge$  LeftDerivation x
  D w by blast
    with Cons have induct: LeftDerivation (u@x) (derivation-shift D 0 (length u))
  (u@w) by auto
    have Derives1: LeftDerives1 (u@v) ((fst d) + length u) (snd d) (u@x)
      by (simp add: Cons.prems(2) LeftDerives1-append-prefix x)
    show ?case
      apply simp
      apply (rule-tac x=u@x in exI)
      by (simp add: Cons.hyps Cons.prems(2) Derives1 x)
qed

lemma derivation-ge-shift-simp: derivation-ge D i  $\implies$  i  $\geq$  l  $\implies$  r  $\geq$  l  $\implies$ 
  derivation-shift D l r = derivation-shift D 0 (r - l)
proof (induct D)
  case Nil thus ?case by auto
next
  case (Cons d D)
  have fst-d: fst d  $\geq$  l
    using Cons.prems(1) Cons.prems(2) derivation-ge-cons le-trans by blast
  show ?case
    apply auto
    using Cons.fst-d apply arith
    using Cons.derivation-ge-cons apply auto
  done

```

qed

lemma *append-dropped-prefix*: *is-prefix u v* \Rightarrow *drop (length u) v = w* \Rightarrow *u@w = v*
using *is-prefix-unsplit* **by** *blast*

lemma *derivation-ge-shift-plus*:
assumes *derivation-ge D u*
assumes *derivation-ge (derivation-shift D u 0) v*
shows *derivation-ge D (u + v)*
proof –
from *assms show ?thesis*
apply (*auto simp add: derivation-ge-def derivation-shift-def*)
by *fastforce*
qed

lemma *LeftDerivation-breakdown*:
LeftDerivation (u@v) D w \Rightarrow $\exists n w1 w2. w = w1 @ w2 \wedge$
LeftDerivation u (take n D) w1 \wedge
derivation-ge (drop n D) (length w1) \wedge
LeftDerivation v (derivation-shift (drop n D) (length w1) 0) w2
proof (*induct length D arbitrary: u v D w*)
case 0
then have *D: D = [] by auto*
with 0 **have** *u@v = w by auto*
with *D show ?case*
apply (*rule-tac x=0 in exI*)
apply (*rule-tac x=u in exI*)
apply (*rule-tac x=v in exI*)
by *auto*
next
case (*Suc l*)
then have $\exists d D'. D = d \# D'$
by (*metis LeftDerivation.elims(2) length-0-conv nat.simps(3)*)
then obtain *d D' where D-split: D = d#D' by blast*
from *Suc* **have** *is-sentence-uv: is-sentence (u@v)*
by (*metis D-split Derives1-sentence1 LeftDerivation.simps(2) LeftDerives1-implies-Derives1*)
then have *is-sentence-u: is-sentence u and is-sentence-v: is-sentence v*
by (*simp add: is-sentence-concat*)
have *is-word u \vee (\neg is-word u) by blast*
then show *?case*
proof(induct rule: disjCases2)
case 1
then have *derivation-ge-u: derivation-ge D (length u)*
using *LeftDerivation-implies-Derivation Suc.preds is-word-Derivation-derivation-ge*
by *blast*
have *is-prefix: is-prefix u w*
using *1.hyps LeftDerivation-implies-leftderives Suc.preds derives-word-is-prefix leftderives-implies-derives by blast*

```

have u-w:  $w = u @ (\text{drop}(\text{length } u) w)$ 
  by (metis 1.hyps LeftDerivation-implies-leftderives Suc.prems
       derives-word-is-prefix is-prefix-unsplit leftderives-implies-derives)
show ?case
  apply (rule-tac  $x=0$  in exI)
  apply (rule-tac  $x=u$  in exI)
  apply (rule-tac  $x=\text{drop}(\text{length } u) w$  in exI)
  apply (auto)
  apply (rule u-w)
  apply (rule derivation-ge-u)
  by (simp add: LeftDerivation-skip-prefix Suc.prems derivation-ge-u)

next
  case 2
  with is-sentence-u have  $\exists i u1 N u2. \text{splits-at } u i u1 N u2 \wedge \text{leftmost } i u$ 
    using leftmost-def nonword-leftmost-exists splits-at-def by auto
    then obtain i u1 N u2 where split-u:  $\text{splits-at } u i u1 N u2 \wedge \text{leftmost } i u$  by blast
    have is-word-u1: is-word u1 by (metis leftmost-def split-u splits-at-def)
    have LeftDerivation (u@v) (d#D') w using D-split Suc.prems by blast
    then have  $\exists x. \text{LeftDerives1 } (u@v) (\text{fst } d) (\text{snd } d) x \wedge \text{LeftDerivation } x$ 
      D' w
      by simp
      then obtain x where x:  $\text{LeftDerives1 } (u@v) (\text{fst } d) (\text{snd } d) x \wedge \text{LeftDerivation } x D' w$ 
        by blast
      then have fst-d-eq-i:  $\text{fst } d = i$  using
        splits-at-combine LeftDerives1-append-leftmost-unique split-u
        by metis
      have split-uv:  $\text{splits-at } (u@v) i u1 N (u2@v)$  by (simp add: split-u
        splits-at-append)
      have split-x:  $x = u1 @ ((\text{snd } (\text{snd } d)) @ u2 @ v)$ 
        using LeftDerives1-implies-Derives1 fst-d-eq-i split-uv
        splits-at-combine-dest x by blast
      have derivation-ge-D': derivation-ge D' (length u1)
        using LeftDerivation-implies-Derivation is-word-Derivation-derivation-ge

        leftmost-def split-u split-x splits-at-def x by fastforce
      have D1:  $\text{LeftDerivation } ((\text{snd } (\text{snd } d)) @ u2 @ v) (\text{derivation-shift } D'$ 
        (length u1) 0)
        (drop (length u1) w)
        using LeftDerivation-skip-prefix derivation-ge-D' split-x x by blast
      then have D2:  $\text{LeftDerivation } (((\text{snd } (\text{snd } d)) @ u2) @ v) (\text{derivation-shift } D'$ 
        (length u1) 0)
        (drop (length u1) w) by auto
      have l = length (derivation-shift D' (length u1) 0)
        using D-split Suc.hyps(2) by auto
      from Suc(1)[OF this D2] obtain n w1 w2 where induct:
        drop (length u1) w = w1 @ w2  $\wedge$ 
        LeftDerivation (snd (snd d) @ u2)

```

```

(take n (derivation-shift D' (length u1) 0)) w1 ∧
derivation-ge (drop n (derivation-shift D' (length u1) 0)) (length w1) ∧
LeftDerivation v (derivation-shift (drop n (derivation-shift D' (length
u1) 0)) (length w1) 0) w2 by blast
have derivation-ge-D'-u1-w1: derivation-ge (drop n D') (length u1 + length
w1)
proof -
  from induct have 1: derivation-ge (derivation-shift (drop n D') (length
u1) 0) (length w1)
    apply (subst drop-derivation-shift[symmetric])
    by blast
  have 2: derivation-ge (drop n D') (length u1)
    by (metis append-take-drop-id derivation-ge-D' derivation-ge-append)
  show ?thesis using 1 2 derivation-ge-shift-plus by blast
qed
have LeftDerivation (u1@(snd (snd d) @ u2)) (derivation-shift
(take n (derivation-shift D' (length u1) 0) 0 (length u1)) (u1@w1))
using induct LeftDerivation-append-prefix is-word-u1 by blast
then have der1: LeftDerivation (u1@(snd (snd d) @ u2))
  (derivation-shift (take n D') (length u1) (length u1)) (u1@w1)
  using take-derivation-shift derivation-shift-0-shift by auto
have eq1: derivation-shift (take n D') (length u1) (length u1) = take n D'
  apply (subst derivation-ge-shift-simp[where i = length u1])
  apply auto
  by (metis append-take-drop-id derivation-ge-D' derivation-ge-append)
from der1 eq1 have der2: LeftDerivation (u1@(snd (snd d) @ u2)) (take
n D') (u1@w1)
  by auto
have eq2: take (Suc n) D = d #(take n D')
  by (simp add: D-split)
have der3: LeftDerivation u (take (Suc n) D) (u1@w1)
  apply (simp add: eq2)
  apply (rule-tac x=u1@(snd (snd d) @ u2) in exI)
by (metis Derives1-skip-suffix LeftDerives1-def append-assoc der2 fst-d-eq-i

split-u split-x splits-at-def x)
have is-prefix u1 w
  using LeftDerivation-implies-leftderives derives-word-is-prefix is-word-u1

leftderives-implies-derives split-x x by blast
then have eq3: u1 @ (w1@w2) = w
  apply (rule-tac append-dropped-prefix)
  apply (auto simp add: induct)
  done
show ?case
  apply (rule-tac x=Suc n in exI)
  apply (rule-tac x=u1@w1 in exI)
  apply (rule-tac x=w2 in exI)

```

```

apply auto
apply (simp add: eq3)
apply (simp add: der3)
apply (simp add: D-split)
apply (rule derivation-ge-D'-u1-w1)
apply (simp add: D-split)
using induct derivation-shift-0-shift drop-derivation-shift apply auto
done
qed
qed

lemma Derives1-terminals-stay:
assumes Derives1: Derives1 u i r v
assumes t-dom: t ∈ set u
assumes terminal: is-terminal t
shows t ∈ set v
proof -
have ∃ α β N. splits-at u i α N β using Derives1 splits-at-ex by blast
then obtain α β N where split-u: splits-at u i α N β by blast
then have t ∈ set (α @ [N] @ β) using splits-at-combine t-dom by auto
then have t-possible-locations: t ∈ set α ∨ t = N ∨ t ∈ set β by auto
have is-nonterminal: is-nonterminal N using Derives1 Derives1-nonterminal
split-u by auto
with t-possible-locations terminal have t-locations: t ∈ set α ∨ t ∈ set β
using is-terminal-nonterminal by blast
from Derives1 split-u have v = α @ (snd r) @ β by (simp add: splits-at-combine-dest)

with t-locations show ?thesis by auto
qed

lemma Derivation-terminals-stay: Derivation u D v ⟹ t ∈ set u ⟹ is-terminal
t ⟹ t ∈ set v
proof (induct D arbitrary: u v)
case Nil thus ?case by auto
next
case (Cons d D)
then have ∃ x. Derives1 u (fst d) (snd d) x ∧ Derivation x D v by auto
then obtain x where x: Derives1 u (fst d) (snd d) x ∧ Derivation x D v by
auto
show ?case using Cons Derives1-terminals-stay x by blast
qed

lemma Derivation-empty-no-terminals: Derivation u D [] ⟹ t ∈ set u ⟹ is-nonterminal
t
by (metis Ball-set Derivation-implies derives Derivation-terminals-stay
derives-is-sentence is-sentence-def is-symbol-distinct list.pred-inject(1))

lemma mono-subset-elem: mono f ⟹ A ⊆ B ⟹ x ∈ f A ⟹ x ∈ f B using
mono-def by blast

```

```

lemma wellformed-inc-dot: wellformed-item  $x \Rightarrow \text{item-dot } x + d \leq \text{length}(\text{item-rhs } x) \Rightarrow$   

wellformed-item(inc-dot  $d x)$   

by (simp add: inc-dot-def item-rhs-def wellformed-item-def)

lemma init-item-dot[simp]: item-dot (init-item  $r k$ ) = 0  

by (simp add: init-item-def)

lemma init-item-rhs[simp]: item-rhs (init-item  $r k$ ) = snd  $r$   

by (simp add: init-item-def item-rhs-def)

lemma init-item- $\beta$ [simp]: item- $\beta$  (init-item  $r k$ ) = snd  $r$   

by (simp add: item- $\beta$ -def)

lemma mono- $\pi$ : mono ( $\pi k T$ )  

by (simp add:  $\pi$ -regular regular-implies-mono)

lemma  $\pi$ -subset-elem-trans:  

assumes  $Y : Y \subseteq \pi k T X$   

assumes  $z : z \in \pi k T Y$   

shows  $z \in \pi k T X$   

proof –  

  from  $Y$  have  $\pi k T Y \subseteq \pi k T (\pi k T X)$  by (simp add: monoD mono- $\pi$ )  

  then have  $\pi k T Y \subseteq \pi k T X$  using  $\pi$ -idempotent by blast  

  with  $z$  show ?thesis using contra-subsetD by blast  

qed

lemma inc-dot-origin[simp]: item-origin (inc-dot  $d x$ ) = item-origin  $x$   

by (simp add: inc-dot-def)

lemma inc-dot-end[simp]: item-end (inc-dot  $d x$ ) = item-end  $x$   

by (simp add: inc-dot-def)

lemma inc-dot-rhs[simp]: item-rhs (inc-dot  $d x$ ) = item-rhs  $x$   

by (simp add: inc-dot-def item-rhs-def)

lemma inc-dot-dot[simp]: item-dot (inc-dot  $d x$ ) = item-dot  $x + d$   

by (simp add: inc-dot-def)

lemma inc-dot-nonterminal[simp]: item-nonterminal (inc-dot  $d x$ ) = item-nonterminal  $x$   

by (simp add: inc-dot-def item-nonterminal-def)

lemma Predict-subset- $\pi$ : Predict  $k X \subseteq \pi k T X$   

proof –  

  have setmonotone ( $\pi k T$ )  

    by (simp add:  $\pi$ -regular regular-implies-setmonotone)  

  then have  $s : X \subseteq \pi k T X$  by (simp add: subset-setmonotone)

```

```

have mono (Predict k) by (simp add: Predict-regular regular-implies-mono)
with s have Predict k X ⊆ Predict k (π k T X) by (simp add: monoD)
then show Predict k X ⊆ π k T X by (simp add: Predict-π-fix)
qed

lemma Complete-subset-π: Complete k X ⊆ π k T X
proof -
  have setmonotone (π k T)
    by (simp add: π-regular regular-implies-setmonotone)
  then have s: X ⊆ π k T X by (simp add: subset-setmonotone)
  have mono (Complete k) by (simp add: Complete-regular regular-implies-mono)

  with s have Complete k X ⊆ Complete k (π k T X) by (simp add: monoD)
  then show Complete k X ⊆ π k T X by (simp add: Complete-π-fix)
qed

lemma inc-inc-dot[simp]: inc-dot a (inc-dot b x) = inc-dot (a + b) x
  by (simp add: inc-dot-def)

lemma thmD6-Left: wellformed-item x ==> item-β x = δ @ ω ==> item-end x =
k ==>
  LeftDerivation δ D [] ==> inc-dot (length δ) x ∈ π k {} {x}
proof (induct length D arbitrary: x δ ω D rule: less-induct)
  case less
    have length δ = 0 ∨ length δ = 1 ∨ length δ ≥ 2 by arith
    then show ?case
      proof (induct rule: disjCases3)
        case 1
          then have δ = [] by auto
          then show ?case by (simp add: π-regular elem-setmonotone regular-implies-setmonotone)
        next
        case 2
          then have ∃ N. δ = [N]
            by (metis One-nat-def append-self-conv2 drop-all id-take-nth-drop
                le-numeral-extra(4) lessI take-0)
          then obtain N where N: δ = [N] by blast
          then have N ∈ set δ by auto
          then have is-nonterminal-N: is-nonterminal N using Derivation-empty-no-terminals

          LeftDerivation-implies-Derivation less.preds(4) by blast
          have D ≠ [] using LeftDerivation.elims(2) N less.preds(4) by blast
          then have ∃ e E. D = e#E using LeftDerivation.elims(2) less.preds(4)
          by blast
          then obtain e E where eE: D = e#E by blast
          then have ∃ γ. LeftDerives1 δ (fst e) (snd e) γ ∧
            LeftDerivation γ E [] using LeftDerivation.simps(2) less.preds(4) by blast
            then obtain γ where γ: LeftDerives1 δ (fst e) (snd e) γ ∧ LeftDerivation
            γ E [] by blast

```

```

with  $N$  have  $\gamma\text{-def} : \gamma = \text{snd} (\text{snd } e)$   

by (metis 2.hyps Derives1-split LeftDerives1-def One-nat-def append-Cons

append-Nil append-Nil2 leftmost-def length-0-conv less-nat-zero-code
linorder-neqE-nat
list.inject not-less-eq)
have next-symbol-x: next-symbol  $x = \text{Some } N$ 
using  $N$  less.prems(1) less.prems(2) next-symbol-def next-symbol-starts-item- $\beta$ 

wellformed-complete-item- $\beta$  by fastforce
have  $x\text{-subset} : \{\mathbf{x}\} \subseteq \pi k \{\} \{\mathbf{x}\}$ 
using  $\pi\text{-regular regular-implies-setmonotone subset-setmonotone}$  by blast
let ?y = init-item (snd e) k
have ?y ∈ Predict k {x}
apply (simp add: Predict-def)
apply (rule disjI2)
apply (rule-tac x=fst (snd e) in exI)
apply (rule-tac x=snd (snd e) in exI)
apply auto
using Derives1-rule LeftDerives1-implies-Derives1 γ apply blast
apply (rule-tac x=x in exI)
by (metis (mono-tags, lifting) Derives1-split LeftDerives1-def N γ
append.simps(1) append.simps(2) bin-def is-nonterminal-N left-
most-cons-nonterminal
leftmost-unique length-greater-0-conv less.prems(3) less-nat-zero-code
list.inject mem-Collect-eq next-symbol-x singletonI)
then have y-dom: ?y ∈ π k {} {x} using Predict-subset-π by blast
let ?z = inc-dot (length γ) ?y
have item-dot ?y = 0 and item-rhs ?y = γ by (auto simp add: γ-def)
note y-props = this
then have wellformed-y: wellformed-item ?y
using Derives1-rule LeftDerives1-implies-Derives1 γ less.prems(1) less.prems(3)

wellformed-init-item wellformed-item-def by blast
with y-props have wellformed-z: wellformed-item ?z by (simp add: well-
formed-inc-dot)
have item-β-y: item-β ?y = γ @ [] using item-rhs-split y-props(2) by auto
have is-complete-z: is-complete ?z by (simp add: is-complete-def γ-def)
have ?z ∈ π k {} {?y}
apply (rule less(1)[where D=E])
apply (auto simp add: eE wellformed-y γ)
apply (simp add: γ-def)
done
with y-dom have z-dom: ?z ∈ π k {} {x}
using π-subset-elem-trans empty-subsetI insert-subset by blast
let ?w = inc-dot (length δ) x
have ?w ∈ Complete k {x, ?z}
apply (simp add: Complete-def)
apply (rule-tac disjI2)+
```

```

apply (rule-tac x=x in exI)
apply (auto simp add: 2)
apply (simp add: inc-dot-def inc-item-def less)
apply (rule-tac x=?z in exI)
apply (auto simp add: bin-def less is-complete-z next-symbol-x)
by (metis Derives1-split LeftDerives1-def N γ append-Cons append-self-conv2

      is-nonterminal-N leftmost-cons-nonterminal leftmost-unique length-0-conv
      list.inject)
  then have ?w ∈ π k {} {x, ?z} using Complete-subset-π by blast
  then show ?case by (meson π-subset-elem-trans insert-subset x-subset z-dom)

next
  case 3
  then have ∃ N α. δ = [N] @ α
  by (metis append-Cons append-Nil count-terminals.cases le0 le-0-eq list.size(3)

      numeral-le-iff semiring-norm(69))
  then obtain N α where δ-split: δ = [N] @ α by blast
  with 3 have α-nonempty: α ≠ []
  by (metis (full-types) One-nat-def Suc-eq-plus1 append-Nil2 impossible-Cons
      length-Cons
      list.size(3) nat-1-add-1)
  have LeftDerivation ([N] @ α) D [] using δ-split less.preds(4) by blast
  from LeftDerivation-breakdown[Of this, simplified]
  obtain n where n: LeftDerivation [N] (take n D) [] ∧ LeftDerivation α (drop
  n D) [] by blast
  let ?E = take n D
  from n have E: LeftDerivation [N] ?E [] by auto
  let ?F = drop n D
  from n have F: LeftDerivation α ?F [] by auto
  have length-add: length ?E + length ?F = length D by simp
  have ?E ≠ [] using E by force
  then have length-E-0: length ?E > 0 by auto
  have ?F ≠ [] using F α-nonempty by force
  then have length-F-0: length ?F > 0 by auto
  from length-add length-E-0 length-F-0
  have length ?E < length D ∧ length ?F < length D
  using add.commute nat-add-left-cancel-less nat-neq-iff not-add-less2 by
  linarith
  then have length-E: length ?E < length D and length-F: length ?F < length
  D by auto
  let ?y = inc-dot (length [N]) x
  have y-dom: ?y ∈ π k {} {x}
  apply (rule-tac less(1)[where D=?E and ω=α@ω])
  apply (rule length-E)
  by (auto simp add: less δ-split E)
  let ?z = inc-dot (length α) ?y
  have wellformed-y: wellformed-item ?y

```

```

using δ-split is-complete-def less.prems(1) less.prems(2) wellformed-complete-item-β
      wellformed-inc-dot by fastforce
have ?z ∈ π k {} {?y}
  apply (rule-tac less(1)[where D=?F and ω=ω])
  apply (rule length-F)
  apply (rule wellformed-y)
  apply (auto simp add: F less)
  by (metis δ-split add.commute append-assoc append-eq-conv-conj drop-drop
inc-dot-dot
  inc-dot-rhs item-β-def length-Cons less.prems(2) list.size(3))
then have z-dom: ?z ∈ π k {} {x} using π-subset-elem-trans y-dom by blast

have ?z = inc-dot (length δ) x by (simp add: δ-split)
with z-dom show ?case by auto
qed
qed

lemma derives-empty-implies-LeftDerivation: derives δ []  $\implies \exists D. LeftDerivation$ 
δ D []
using derives-implies-leftderives is-word-def leftderives-implies-LeftDerivation
list.pred-inject(1) by blast

lemma thmD6: wellformed-item x  $\implies$  item-β x = δ @ ω  $\implies$  item-end x = k  $\implies$ 
derives δ []  $\implies$  inc-dot (length δ) x ∈ π k {} {x}
using derives-empty-implies-LeftDerivation thmD6-Left by blast

end

end
theory TheoremD7
imports TheoremD6
begin

context LocalLexing begin

lemma Derives1-keep-first-terminal: Derives1 (x#u) i r (y#v)  $\implies$  is-terminal x
 $\implies$  x = y
by (metis Derives1-leftmost Derives1-take leftmost-cons-terminal list.sel(1) not-le
take-Cons')

lemma Derives1-nonterminal-head:
assumes Derives1 u i r (N#v)
assumes is-nonterminal N
shows  $\exists u' M. u = M\#u' \wedge is-nonterminal M$ 
proof -
from assms have nonempty-u: u ≠ []
by (metis Derives1-bound less-nat-zero-code list.size(3))

```

```

have  $\exists u' M. u = M \# u'$ 
  using count-terminals.cases nonempty-u by blast
then obtain  $u' M$  where split-u:  $u = M \# u'$  by blast
have is-sentence-u: is-sentence  $u$  using assms
  using Derives1-sentence1 by blast
then have is-terminal  $M \vee$  is-nonterminal  $M$ 
  using is-sentence-cons is-symbol-distinct split-u by blast
then show ?thesis
proof (induct rule: disjCases2)
  case 1
    have is-terminal  $N$ 
      using 1.hyps Derives1-keep-first-terminal
      assms(1) split-u by blast
    with assms have False using is-terminal-nonterminal by blast
    then show ?case by blast
  next
    case 2 with split-u show ?case by blast
  qed
qed

lemma sentence-starts-with-nonterminal:
assumes is-nonterminal  $N$ 
assumes derives  $u []$ 
shows  $\exists X r. u @ [N] = X \# r \wedge$  is-nonterminal  $X$ 
proof (cases  $u = []$ )
  case True thus ?thesis using assms(1) by blast
next
  case False
    then have  $\exists X r. u = X \# r$  using count-terminals.cases by blast
    then obtain  $X r$  where  $Xr: u = X \# r$  by blast
    then have is-nonterminal  $X$ 
      by (metis False assms(2) count-terminals.simps derives-count-terminals-leq
           derives-is-sentence is-sentence-cons is-symbol-distinct not-le zero-less-Suc)
    with  $Xr$  show ?thesis by auto
  qed

lemma Derives1-nonterminal-head':
assumes Derives1  $u i r$  ( $v1 @ [N] @ v2$ )
assumes is-nonterminal  $N$ 
assumes derives  $v1 []$ 
shows  $\exists u' M. u = M \# u' \wedge$  is-nonterminal  $M$ 
proof -
  from sentence-starts-with-nonterminal[OF assms(2,3)]
  obtain  $X r$  where  $v1 @ [N] = X \# r \wedge$  is-nonterminal  $X$  by blast
  then show ?thesis
    by (metis Derives1-nonterminal-head append-Cons append-assoc assms(1))
qed

lemma thmD7-helper:

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assumes LeftDerivation [ $\mathfrak{S}$ ] D ( $N \# v$ )
assumes is-nonterminal N
assumes  $\mathfrak{S} \neq N$ 
shows  $\exists n M a a1 a2 w. n < \text{length } D \wedge (M, a) \in \mathfrak{R} \wedge \text{LeftDerivation } [\mathfrak{S}] (\text{take } n D) (M \# w) \wedge$ 
 $a = a1 @ [N] @ a2 \wedge \text{derives } a1 []$ 
proof -
have  $\exists n u v. \text{LeftDerivation } [\mathfrak{S}] (\text{take } n D) (u @ [N] @ v) \wedge \text{derives } u []$ 
apply (rule-tac  $x = \text{length } D$  in exI)
apply (rule-tac  $x = []$  in exI)
apply (rule-tac  $x = v$  in exI)
using assms by simp
then show ?thesis
proof (induct rule: ex-minimal-witness)
case (Minimal K)
have nonzero-K:  $K \neq 0$ 
proof (cases  $K = 0$ )
case True
with Minimal have  $\exists u v. [\mathfrak{S}] = u @ [N] @ v$ 
using LeftDerivation.simps(1) take-0 by auto
with assms have False by (simp add: Cons-eq-append-conv)
then show ?thesis by simp
next
case False
then show ?thesis by arith
qed
from Minimal(1)
obtain u v where uv: LeftDerivation [ $\mathfrak{S}$ ] ( $\text{take } K D$ ) ( $u @ [N] @ v$ )  $\wedge \text{derives } u []$  by blast
from nonzero-K have  $\text{take } K D \neq []$ 
using Minimal.hyps(2) less-nat-zero-code nat-neq-iff take-eq-Nil uv by force

then have  $\exists E e. (\text{take } K D) = E @ [e]$  using rev-exhaust by blast
then obtain E e where Ee:  $\text{take } K D = E @ [e]$  by blast
with uv have  $\exists x. \text{LeftDerivation } [\mathfrak{S}] E x \wedge \text{LeftDerives1 } x (\text{fst } e) (\text{snd } e)$ 
( $u @ [N] @ v$ )
by (simp add: LeftDerivation-append)
then obtain x where x: LeftDerivation [ $\mathfrak{S}$ ] E x  $\wedge \text{LeftDerives1 } x (\text{fst } e) (\text{snd } e)$ 
( $u @ [N] @ v$ )
by blast
then have  $\exists w M. x = M \# w \wedge \text{is-nonterminal } M$ 
using Derives1-nonterminal-head' LeftDerives1-implies-Derives1 assms(2)
uv by blast
then obtain w M where split-x:  $x = M \# w$  and is-nonterminal-M:  $\text{is-nonterminal } M$  by blast
from Ee nonzero-K have E:  $E = \text{take } (K - 1) D$ 
by (metis Minimal.hyps(2) butlast-snoc butlast-take dual-order.strict-implies-order
le-less-linear take-all uv)

```

```

have leftmost (fst e) (M#w) using x LeftDerives1-def split-x by blast
with is-nonterminal-M have fst-e: fst e = 0
  by (simp add: leftmost-cons-nonterminal leftmost-unique)
have Derives1: Derives1 x 0 (snd e) (u @ [N] @ v)
  using LeftDerives1-implies-Derives1 fst-e x by auto
have x-splits-at: splits-at x 0 [] M w
  by (simp add: split-x splits-at-def)
from Derives1 x-splits-at
have pq:  $\exists p q. u = [] @ p \wedge v = q @ w \wedge \text{snd } (\text{snd } e) = p @ [N] @ q$ 
proof (induct rule: Derives1-X-is-part-of-rule)
  case (Suffix  $\alpha$ ) thus ?case by blast
next
  case (Prefix  $\beta$ )
    then have derives- $\beta$ : derives  $\beta$  []
      using Derives1-implies-derives1 derives1-implies-derives derives-trans uv
by blast
  with Prefix(1) x Minimal E nonzero-K show False
    by (meson diff-less less-nat-zero-code less-one nat-neq-iff)
qed
from this[simplified] obtain q where q:  $v = q @ w \wedge \text{snd } (\text{snd } e) = u @ N$ 
# q by blast
have M-def: fst (snd e) = M
  using Derives1 Derives1-nonterminal x-splits-at by blast
show ?case
  apply (rule-tac x=K-1 in exI)
  apply (rule-tac x=M in exI)
  apply (rule-tac x=snd (snd e) in exI)
  apply (rule-tac x=u in exI)
  apply (rule-tac x=q in exI)
  apply (rule-tac x=w in exI)
  by (metis Derives1 Derives1-rule E Ee M-def One-nat-def Suc-pred pq
append-Nil
  append-same-eq dual-order.strict-implies-order le-less-linear nonzero-K
not-Cons-self2
  not-gr0 not-less-eq prod.collapse q self-append-conv split-x take-all uv x)
qed
qed

lemma head-of-item- $\beta$ -is-next-symbol:
  wellformed-item  $x \Rightarrow \text{item-}\beta x = t \# \delta \Rightarrow \text{next-symbol } x = \text{Some } t$ 
  using next-symbol-def next-symbol-starts-item- $\beta$  wellformed-complete-item- $\beta$  by
fastforce

lemma next-symbol-predicts: next-symbol  $x = \text{Some } N \Rightarrow (N, a) \in \mathfrak{R} \Rightarrow k =$ 
item-end  $x \Rightarrow$ 
  init-item  $(N, a) k \in \text{Predict } k \{x\}$ 
using Predict-def bin-def by auto

lemma thmD7-LeftDerivation: LeftDerivation [ $\mathfrak{S}$ ] D ( $N \# \gamma$ )  $\Rightarrow$  is-nonterminal N

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```

 $\Rightarrow (N, \alpha) \in \mathfrak{R} \Rightarrow$ 
  init-item  $(N, \alpha)$   $0 \in \pi 0 \{\} \text{ Init}$ 
proof (induct length D arbitrary: D N γ α rule: less-induct)
case less
  let ?trivial =  $\mathfrak{S} = N$ 
  have ?trivial  $\vee \neg ?\text{trivial}$  by blast
  then show ?case
  proof (induct rule: disjCases2)
    case 1
      then have init-item  $(N, \alpha)$   $0 \in \text{Init}$ 
      apply (subst Init-def)
      by (auto simp add: less)
      then show ?case
      by (meson π-regular contra-subsetD regular-implies-setmonotone sub-
        set-setmonotone)
    next
    case 2
      from thmD7-helper[OF less(2) less(3) 2]
      obtain n M a a1 a2 w where  $n < \text{length } D$  and  $(M, a) \in \mathfrak{R}$  and
        LeftDerivation [ $\mathfrak{S}$ ] (take n D) (M # w) and  $a = a1 @ [N] @ a2$  and
        derives a1 []
      by blast
      note M = this
      let ?x = init-item  $(M, a)$  0
      have x-dom:  $?x \in \pi 0 \{\} \text{ Init}$ 
      apply (rule less(1)[OF - M(3) - M(2)])
      using M(1) apply simp
      using M(2) by simp
      have wellformed-x: wellformed-item ?x by (simp add: M(2))
      let ?y = inc-dot (length a1) ?x
      have ?y  $\in \pi 0 \{\} \{?x\}$ 
      apply (rule thmD6[where ω=[N] @ a2])
      using wellformed-x by (auto simp add: M)
      with x-dom have y-dom:  $?y \in \pi 0 \{\} \text{ Init}$ 
      using π-subset-elem-trans empty-subsetI insert-subset by blast
      have wellformed-y: wellformed-item ?y
      using M(4) wellformed-inc-dot wellformed-x by auto
      have item-β ?y = N#a2 by (simp add: M(4) item-β-def)
      then have next-symbol-y: next-symbol ?y = Some N
      by (simp add: head-of-item-β-is-next-symbol wellformed-y)
      let ?z = init-item  $(N, \alpha)$  0
      have ?z  $\in \text{Predict } 0 \{?y\}$ 
      by (simp add: less.prems(3) next-symbol-predicts next-symbol-y)
      then have ?z  $\in \pi 0 \{\} \{?y\}$  using Predict-subset-π by auto
      with y-dom show ?z  $\in \pi 0 \{\} \text{ Init}$ 
      using π-subset-elem-trans empty-subsetI insert-subset by blast
    qed
  qed

```

```

theorem thmD7: is-derivation ( $N \# \gamma$ )  $\implies$  is-nonterminal  $N \implies (N, \alpha) \in \mathfrak{R} \implies
init-item ( $N, \alpha$ )  $0 \in \pi 0 \{\} \text{ Init}$ 
by (metis  $\mathcal{L}_P$ -is-word derives-implies-leftderives-cons empty-in-L_P is-derivation-def
leftderives-implies-LeftDerivation self-append-conv2 thmD7-LeftDerivation)
end

end
theory TheoremD8
imports TheoremD7
begin

context LocalLexing begin

lemma wellformed-tokens-empty-path[simp]: wellformed-tokens []
by (simp add: wellformed-tokens-def)

lemma P-0-0-Gen: Gen ( $\mathcal{P} 0 0$ ) = {  $x . \text{wellformed-item } x \wedge \text{item-origin } x = 0$ 
 $\wedge \text{item-end } x = 0 \wedge$ 
 $\text{derives } (\text{item-}\alpha\ x) [] \wedge (\exists \gamma. \text{is-derivation } ([\text{item-nonterminal } x] @ \gamma))$  }
by (auto simp add: Gen-def pvalid-def)

lemma Init-subset-Gen: Init  $\subseteq$  Gen ( $\mathcal{P} 0 0$ )
apply (subst P-0-0-Gen)
apply (auto simp add: Init-def)
apply (rule-tac  $x = []$  in exI)
apply (simp add: is-derivation-def)
done

lemma J-0-0-subset-Gen:  $\mathcal{J} 0 0 \subseteq \text{Gen } (\mathcal{P} 0 0)$ 
apply (simp only:  $\mathcal{J}.\text{simps}$ )
apply (rule-tac thmD5)
apply (rule Init-subset-Gen)
by auto

lemma inc-dot-rule[simp]: item-rule ( $\text{inc-dot } d x$ ) = item-rule  $x$ 
by (simp add: inc-dot-def)

lemma init-item-rule[simp]: item-rule (init-item  $r k$ ) =  $r$ 
by (simp add: init-item-def)

lemma item-dot-is-alpha-length: wellformed-item  $x \implies \text{item-dot } x = \text{length } (\text{item-}\alpha\ x)$ 
apply (simp add: item-}\alpha\def)
by (simp add: min-absorb2 wellformed-item-def)

lemma Gen-subset-J-0-0-helper:$ 
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assumes wellformed-item x
assumes item-origin x = 0
assumes item-end x = 0
assumes derives (item- $\alpha$  x) []
assumes is-derivation (item-nonterminal x #  $\gamma$ )
shows x ∈ π 0 {} Init
proof -
  let ?y = init-item (item-nonterminal x, item-rhs x) 0
  have y-dom: ?y ∈ π 0 {} Init
    apply (rule-tac thmD7)
    using assms apply auto
    using is-nonterminal-item-nonterminal apply blast
    by (simp add: item-nonterminal-def item-rhs-def wellformed-item-def)
  let ?x = inc-dot (length (item- $\alpha$  x)) ?y
  have x1: item-rule x = item-rule ?x
    apply (simp)
    by (simp add: item-nonterminal-def item-rhs-def)
  have x2: item-dot x = item-dot ?x
    apply simp
    by (simp add: assms(1) item-dot-is- $\alpha$ -length)
  have x3: item-origin x = item-origin ?x
    using assms by auto
  have x4: item-end x = item-end ?x
    using assms by auto
  from x1 x2 x3 x4 have x-is-inc: x = ?x using item.expand by blast
  have wellformed-item-y: wellformed-item ?y
    using assms(1) item-nonterminal-def item-rhs-def wellformed-item-def by auto
  have x ∈ π 0 {} {?y}
    apply (subst x-is-inc)
    apply (rule-tac thmD6)
    apply (simp add: wellformed-item-y)
    apply (simp add: item-rhs-split)
    apply simp
    using assms apply simp
    done
  with y-dom show ?thesis
    using π-subset-elem-trans empty-subsetI insert-subset by blast
qed

lemma Gen-subset-J-0-0: Gen (P 0 0) ⊆ J 0 0
  apply (subst P-0-0-Gen)
  apply auto
  using Gen-subset-J-0-0-helper by blast

theorem thmD8: J 0 0 = Gen (P 0 0)
  using Gen-subset-J-0-0 J-0-0-subset-Gen by blast

end

```

```

end
theory TheoremD9
imports TheoremD8
begin

context LocalLexing begin

definition items-le :: nat ⇒ items ⇒ items
where
  items-le k I = { x . x ∈ I ∧ item-end x ≤ k }

definition items-eq :: nat ⇒ items ⇒ items
where
  items-eq k I = { x . x ∈ I ∧ item-end x = k }

definition paths-le :: nat ⇒ tokens set ⇒ tokens set
where
  paths-le k P = { p . p ∈ P ∧ charslength p ≤ k }

definition paths-eq :: nat ⇒ tokens set ⇒ tokens set
where
  paths-eq k P = { p . p ∈ P ∧ charslength p = k }

lemma items-le-pointwise: pointwise (items-le k)
  by (auto simp add: pointwise-def items-le-def)

lemma items-le-is-filter: items-le k I ⊆ I
  by (auto simp add: items-le-def)

lemma items-eq-pointwise: pointwise (items-eq k)
  by (auto simp add: pointwise-def items-eq-def)

lemma items-eq-is-filter: items-eq k I ⊆ I
  by (auto simp add: items-eq-def)

lemma paths-le-pointwise: pointwise (paths-le k)
  by (auto simp add: pointwise-def paths-le-def)

lemma paths-le-continuous: continuous (paths-le k)
  by (simp add: paths-le-pointwise pointbased-implies-continuous pointwise-implies-pointbased)

lemma paths-le-mono: mono (paths-le k)
  by (simp add: continuous-imp-mono paths-le-continuous)

lemma paths-le-is-filter: paths-le k P ⊆ P
  by (auto simp add: paths-le-def)

lemma paths-eq-pointwise: pointwise (paths-eq k)
  by (auto simp add: pointwise-def paths-eq-def)

```

```

lemma paths-eq-is-filter: paths-eq k P ⊆ P
  by (auto simp add: paths-eq-def)

lemma Predict-item-end: x ∈ Predict k Y ==> item-end x = k ∨ x ∈ Y
  using Predict-def by auto

lemma Complete-item-end: x ∈ Complete k Y ==> item-end x = k ∨ x ∈ Y
  using Complete-def by auto

lemma J-0-0-item-end: x ∈ J 0 0 ==> item-end x = 0
  apply (simp add: π-def)
  proof (induct rule: limit-induct)
    case (Init x) thus ?case by (auto simp add: Init-def)
  next
    case (Iterate x Y)
    then have x ∈ Complete 0 (Predict 0 Y) by (simp add: Scan-empty)
    then have item-end x = 0 ∨ x ∈ Predict 0 Y using Complete-item-end by
      blast
    then have item-end x = 0 ∨ x ∈ Y using Predict-item-end by blast
    then show ?case using Iterate by blast
  qed

lemma items-le-J-0-0: items-le 0 (J 0 0) = J 0 0
  using LocalLexing.J-0-0-item-end LocalLexing.items-le-def LocalLexing-axioms
  by blast

lemma paths-le-P-0-0: paths-le 0 (P 0 0) = P 0 0
  by (auto simp add: paths-le-def)

definition empty-tokens :: token set => token set
where
  empty-tokens T = { t . t ∈ T ∧ chars-of-token t = [] }

lemma items-le-Predict: items-le k (Predict k I) = Predict k (items-le k I)
  by (auto simp add: items-le-def Predict-def bin-def)

lemma items-le-Complete:
  wellformed-items I ==> items-le k (Complete k I) = Complete k (items-le k I)
  by (auto simp add: items-le-def Complete-def bin-def is-complete-def wellformed-items-def
    wellformed-item-def)

lemma items-le-Scan:
  items-le k (Scan T k I) = Scan (empty-tokens T) k (items-le k I)
  by (auto simp add: items-le-def Scan-def bin-def empty-tokens-def)

lemma wellformed-items-Gen: wellformed-items (Gen P)
  using Gen-implies-pvalid pvalid-def wellformed-items-def by blast

```

```

lemma wellformed-J-0-0: wellformed-items ( $\mathcal{J} 0 0$ )
  using thmD8 wellformed-items-Gen by auto

lemma wellformed-items-Predict:
  wellformed-items  $I \implies$  wellformed-items (Predict  $k I$ )
  by (auto simp add: wellformed-items-def wellformed-item-def Predict-def bin-def)

lemma wellformed-items-Complete:
  wellformed-items  $I \implies$  wellformed-items (Complete  $k I$ )
  apply (auto simp add: wellformed-items-def wellformed-item-def Complete-def
bin-def)
  apply (metis dual-order.trans)
  using is-complete-def next-symbol-not-complete not-less-eq-eq by blast

lemma X-length-bound:  $(t, c) \in \mathcal{X} k \implies k + \text{length } c \leq \text{length } \text{Doc}$ 
  using X-is-prefix is-prefix-length not-le by fastforce

lemma wellformed-items-Scan:
  wellformed-items  $I \implies T \subseteq \mathcal{X} k \implies$  wellformed-items (Scan  $T k I$ )
  apply (auto simp add: wellformed-items-def wellformed-item-def Scan-def bin-def
X-length-bound)
  using is-complete-def next-symbol-not-complete not-less-eq-eq by blast

lemma wellformed-items-pi:
  assumes wellformed-items  $I$ 
  assumes  $T \subseteq \mathcal{X} k$ 
  shows wellformed-items ( $\pi k T I$ )
  proof -
  {
    fix  $x :: \text{item}$ 
    have  $x \in \pi k T I \implies$  wellformed-item  $x$ 
    proof (simp add: pi-def, induct rule: limit-induct)
      case (Init  $x$ ) thus ?case using assms(1) by (simp add: wellformed-items-def)

    next
      case (Iterate  $x Y$ )
      have wellformed-items  $Y$  by (simp add: Iterate.hyps(1) wellformed-items-def)
      then have wellformed-items (Scan  $T k (\text{Complete } k (\text{Predict } k Y))$ )
      by (simp add: assms(2) wellformed-items-Complete wellformed-items-Predict
wellformed-items-Scan)
      then show ?case by (simp add: Iterate.hyps(2) wellformed-items-def)
    qed
  }
  then show ?thesis using wellformed-items-def by auto
qed

lemma J-subset-Suc-u:  $\mathcal{J} k u \subseteq \mathcal{J} k (\text{Suc } u)$ 

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```

by (metis Complete- $\pi$ -fix Complete-subset- $\pi$   $\mathcal{J}.simp(1)$   $\mathcal{J}.simp(2)$   $\mathcal{J}.simp(3)$ 
not0-implies-Suc)

lemma mono-TokensAt: mono (TokensAt k)
  by (auto simp add: mono-def TokensAt-def bin-def)

lemma  $\mathcal{T}$ -subset-TokensAt:  $\mathcal{T} k u \subseteq \text{TokensAt } k (\mathcal{J} k u)$ 
proof (induct u)
  case 0 thus ?case by simp
next
  case (Suc u)
    have 1: Tokens k ( $\mathcal{T} k u$ ) ( $\mathcal{J} k u$ ) = Sel ( $\mathcal{T} k u$ ) (TokensAt k ( $\mathcal{J} k u$ ))
      by (simp add: Tokens-def)
    have 2: Sel ( $\mathcal{T} k u$ ) (TokensAt k ( $\mathcal{J} k u$ ))  $\subseteq \text{TokensAt } k (\mathcal{J} k u)$ 
      by (simp add: Sel-upper-bound Suc.hyps)
    have  $\mathcal{T} k (\text{Suc } u) \subseteq \text{TokensAt } k (\mathcal{J} k u)$ 
      by (simp add: 1 2)
    then show ?case
      by (meson  $\mathcal{T}$ -subset-Suc-u mono-TokensAt mono-subset-elem subset-iff)
qed

lemma TokensAt-subset- $\mathcal{X}$ : TokensAt k I  $\subseteq \mathcal{X} k$ 
  using TokensAt-def  $\mathcal{X}$ -def is-terminal-def by auto

lemma wellformed-items- $\mathcal{J}$ -induct-u:
  assumes wellformed-items ( $\mathcal{J} k u$ )
  shows wellformed-items ( $\mathcal{J} k (\text{Suc } u)$ )
proof -
  {
    fix x :: item
    have  $x \in \mathcal{J} k (\text{Suc } u) \implies \text{wellformed-item } x$ 
    proof (simp add:  $\pi$ -def, induct rule: limit-induct)
      case (Init x)
        with assms show ?case by (auto simp add: wellformed-items-def)
    next
      case (Iterate p Y)
        from Iterate(1) have wellformed-Y: wellformed-items Y
          by (auto simp add: wellformed-items-def)
        then have wellformed-items (Complete k (Predict k Y))
          by (simp add: wellformed-items-Complete wellformed-items-Predict)
        then have wellformed-items (Scan (Tokens k ( $\mathcal{T} k u$ ) ( $\mathcal{J} k u$ )) k (Complete
          k (Predict k Y)))
          apply (rule-tac wellformed-items-Scan)
          apply simp
          apply (simp add: Tokens-def)
          by (meson Sel-upper-bound TokensAt-subset- $\mathcal{X}$   $\mathcal{T}$ -subset-TokensAt sub-
            set-trans)
        then show ?case
          using Iterate.hyps(2) wellformed-items-def by blast
  }

```

```

qed
}
then show ?thesis
  using wellformed-items-def by blast
qed

lemma wellformed-items-J-k-u-if-0: wellformed-items ( $\mathcal{J} k 0$ )  $\Rightarrow$  wellformed-items ( $\mathcal{J} k u$ )
  apply (induct u)
  apply (simp)
  using wellformed-items-J-induct-u by blast

lemma wellformed-items-natUnion: ( $\bigwedge k. \text{wellformed-items } (\mathcal{I} k)$ )  $\Rightarrow$  wellformed-items (natUnion I)
  by (auto simp add: natUnion-def wellformed-items-def)

lemma wellformed-items-I-k-if-0: wellformed-items ( $\mathcal{J} k 0$ )  $\Rightarrow$  wellformed-items ( $\mathcal{I} k$ )
  apply (simp)
  apply (rule wellformed-items-natUnion)
  using wellformed-items-J-k-u-if-0 by blast

lemma wellformed-items-J-I: wellformed-items ( $\mathcal{J} k u$ )  $\wedge$  wellformed-items ( $\mathcal{I} k$ )
proof (induct k arbitrary: u)
  case 0
    show ?case
    using wellformed-J-0-0 wellformed-items-I-k-if-0 wellformed-items-J-k-u-if-0
  by blast
next
  case (Suc k)
    have 0: wellformed-items ( $\mathcal{J} (\text{Suc } k) 0$ )
    apply simp
    using Suc.hyps wellformed-items-π by auto
    then show ?case
    using wellformed-items-I-k-if-0 wellformed-items-J-k-u-if-0 by blast
qed

lemma wellformed-items-J: wellformed-items ( $\mathcal{J} k u$ )
by (simp add: wellformed-items-J-I)

lemma wellformed-items-I: wellformed-items ( $\mathcal{I} k$ )
using wellformed-items-J-I by blast

lemma funpower-consume-function:
assumes law:  $\bigwedge X. P X \Rightarrow f(g X) = h(f X) \wedge P(g X)$ 
shows  $P I \Rightarrow P(\text{funpower } g n I) \wedge f(\text{funpower } g n I) = \text{funpower } h n (f I)$ 
proof (induct n)
  case 0
  then show ?case by simp

```

```

next
  case (Suc n)
    then have S1:  $P(\text{funpower } g \ n \ I)$  and S2:  $f(\text{funpower } g \ n \ I) = \text{funpower } h \ n \ (f \ I)$ 
    by auto
    have law1:  $\bigwedge X. P \ X \implies f(g \ X) = h(f \ X)$  using law by auto
    have law2:  $\bigwedge X. P \ X \implies P(g \ X)$  using law by auto
    show ?case
      apply simp
      apply (subst law1[where  $X=\text{funpower } g \ n \ I$ ])
      apply (simp add: S1)
      apply (subst S2)
      apply auto
      apply (rule law2)
      apply (simp add: S1)
      done
qed

lemma limit-consume-function:
  assumes continuous: continuous f
  assumes law:  $\bigwedge X. P \ X \implies f(g \ X) = h(f \ X) \wedge P(g \ X)$ 
  assumes setmonotone: setmonotone g
  shows  $P \ I \implies f(\text{limit } g \ I) = \text{limit } h(f \ I)$ 
proof –
  have 1:  $f(\text{limit } g \ I) = f(\text{natUnion } (\lambda n. \text{funpower } g \ n \ I))$ 
  by (simp add: limit-def)
  have chain ( $\lambda n. \text{funpower } g \ n \ I$ ) by (simp add: setmonotone setmonotone-implies-chain-funpower)
  from continuous-apply[OF continuous this]
  have swap:  $f(\text{natUnion } (\lambda n. \text{funpower } g \ n \ I)) = \text{natUnion } (f \circ (\lambda n. \text{funpower } g \ n \ I))$  by blast
  have  $f \circ (\lambda n. \text{funpower } g \ n \ I) = (\lambda n. f(\text{funpower } g \ n \ I))$  by auto
  also have  $P \ I \implies (\lambda n. f(\text{funpower } g \ n \ I)) = (\lambda n. \text{funpower } h \ n \ (f \ I))$ 
  by (metis funpower-consume-function[where  $P=P$  and  $f=f$  and  $g=g$  and  $h=h$ , OF law, simplified])
  ultimately have  $P \ I \implies f \circ (\lambda n. \text{funpower } g \ n \ I) = (\lambda n. \text{funpower } h \ n \ (f \ I))$ 
  by auto
  with swap have 2:  $P \ I \implies f(\text{natUnion } (\lambda n. \text{funpower } g \ n \ I)) = \text{natUnion } (\lambda n. \text{funpower } h \ n \ (f \ I))$ 
  by (simp add: limit-def)
  assume P I
  with 1 2 3 show ?thesis by auto
qed

lemma items-le-π-swap:
  assumes wellformed-I: wellformed-items I
  assumes T:  $T \subseteq \mathcal{X}^k$ 
  shows items-le k ( $\pi^k T \ I$ ) =  $\pi^k (\text{empty-tokens } T) (\text{items-le } k \ I)$ 

```

```

proof -
  let ?g = (Scan T k) o (Complete k) o (Predict k)
  let ?h = (Scan (empty-tokens T) k) o (Complete k) o (Predict k)
  have law1:  $\bigwedge I. \text{wellformed-items } I \implies \text{items-le } k (?g I) = ?h (\text{items-le } k I)$ 
  using LocalLexing.wellformed-items-Predict LocalLexing-axioms items-le-Complete

    items-le-Predict items-le-Scan by auto
  have law2:  $\bigwedge I. \text{wellformed-items } I \implies \text{wellformed-items } (?g I)$ 
    by (simp add: T wellformed-items-Complete wellformed-items-Predict well-
        formed-items-Scan)
  show ?thesis
    apply (subst  $\pi$ -functional)
    apply (subst limit-consume-function[where P=wellformed-items and h=?h])
    apply (simp add: items-le-pointwise pointbased-implies-continuous pointwise-implies-pointbased)
    using law1 law2 apply blast
    apply (simp add:  $\pi$ -step-regular regular-implies-setmonotone)
    apply (rule wellformed-I)
    apply (subst  $\pi$ -functional)
    apply blast
    done
qed

lemma items-le-idempotent: items-le k (items-le k I) = items-le k I
  using items-le-def by auto

lemma paths-le-idempotent: paths-le k (paths-le k P) = paths-le k P
  using paths-le-def by auto

lemma items-le-fix-D:
  assumes items-le-fix: items-le k I = I
  assumes x-dom:  $x \in I$ 
  shows item-end x  $\leq k$ 
  using items-le-def items-le-fix x-dom by blast

lemma remove-paths-le-in-subset-Gen:
  assumes items-le k I = I
  assumes  $I \subseteq \text{Gen } P$ 
  shows  $I \subseteq \text{Gen} (\text{paths-le } k P)$ 
proof -
{
  fix x :: item
  assume x-dom:  $x \in I$ 
  then have x-item-end: item-end x  $\leq k$  using assms items-le-fix-D by auto
  have x ∈ Gen P using assms x-dom by auto
  then obtain p where p:  $p \in P \wedge \text{pvalid } p x$  using Gen-implies-pvalid by blast

    have charslength-p: charslength p  $\leq k$  using p pvalid-item-end x-item-end by
    auto
    then have p ∈ paths-le k P by (simp add: p paths-le-def)

```

```

then have  $x \in Gen(\text{paths-le } k P)$  using  $\text{Gen-def } p$  by blast
}
then show ?thesis by blast
qed

lemma  $\text{mono-Gen} : \text{mono } Gen$ 
      by (auto simp add:  $\text{mono-def } Gen\text{-def}$ )

lemma  $\text{empty-tokens-idempotent} : \text{empty-tokens}(\text{empty-tokens } T) = \text{empty-tokens } T$ 
      by (auto simp add:  $\text{empty-tokens-def}$ )

lemma  $\text{empty-tokens-is-filter} : \text{empty-tokens } T \subseteq T$ 
      by (auto simp add:  $\text{empty-tokens-def}$ )

lemma  $\text{items-le-paths-le} : \text{items-le } k (\text{Gen } P) = \text{Gen}(\text{paths-le } k P)$ 
      using LocalLexing.Gen-def LocalLexing.items-le-def LocalLexing-axioms paths-le-def
      pvalid-item-end by auto

lemma  $\text{bin-items-le[symmetric]} : \text{bin } I k = \text{bin}(\text{items-le } k I) k$ 
      by (auto simp add:  $\text{bin-def } \text{items-le-def}$ )

lemma  $\text{TokensAt-items-le[symmetric]} : \text{TokensAt } k I = \text{TokensAt } k (\text{items-le } k I)$ 
      using  $\text{TokensAt-def } \text{bin-items-le}$  by blast

lemma  $\text{by-length-paths-le[symmetric]} : \text{by-length } k P = \text{by-length } k (\text{paths-le } k P)$ 
      using  $\text{by-length.simps } \text{paths-le-def}$  by auto

lemma  $\mathcal{W}\text{-paths-le[symmetric]} : \mathcal{W} P k = \mathcal{W}(\text{paths-le } k P) k$ 
      using  $\mathcal{W}\text{-def } \text{by-length-paths-le}$  by blast

theorem  $\mathcal{T}\text{-equals-Z-induct-step}$ :
  assumes  $\text{induct} : \text{items-le } k (\mathcal{J} k u) = \text{Gen}(\text{paths-le } k (\mathcal{P} k u))$ 
  assumes  $\text{induct-tokens} : \mathcal{T} k u = \mathcal{Z} k u$ 
  shows  $\mathcal{T} k (\text{Suc } u) = \mathcal{Z} k (\text{Suc } u)$ 
proof –
  have  $\text{TokensAt } k (\mathcal{J} k u) = \text{TokensAt } k (\text{items-le } k (\mathcal{J} k u))$ 
    using  $\text{TokensAt-items-le}$  by blast
  also have  $\text{TokensAt } k (\text{items-le } k (\mathcal{J} k u)) = \text{TokensAt } k (\text{Gen}(\text{paths-le } k (\mathcal{P} k u)))$ 
    using  $\text{induct}$  by auto
  ultimately have  $\text{TokensAt-le} : \text{TokensAt } k (\mathcal{J} k u) = \text{TokensAt } k (\text{Gen}(\text{paths-le } k (\mathcal{P} k u)))$ 
    by auto
  have  $\text{TokensAt } k (\mathcal{J} k u) = \mathcal{W}(\mathcal{P} k u) k$ 
    apply (subst  $\text{TokensAt-le}$ )
    apply (subst  $\mathcal{W}\text{-paths-le[symmetric]}$ )
    apply (rule-tac thmD4[symmetric])

```

```

using  $\mathcal{P}$ -covers- $\mathcal{P}$  paths-le-is-filter by blast
then show ?thesis
  by (simp add: induct-tokens Tokens-def Y-def)
qed

theorem thmD9:
  assumes induct: items-le k ( $\mathcal{J}$  k u) = Gen (paths-le k ( $\mathcal{P}$  k u))
  assumes induct-tokens:  $\mathcal{T}$  k u =  $\mathcal{Z}$  k u
  assumes k: k ≤ length Doc
  shows items-le k ( $\mathcal{J}$  k (Suc u)) ⊆ Gen (paths-le k ( $\mathcal{P}$  k (Suc u)))
proof -
  have t1: items-le k ( $\mathcal{J}$  k (Suc u)) = items-le k ( $\pi$  k ( $\mathcal{T}$  k (Suc u)) ( $\mathcal{J}$  k u))
    by auto
  have t2: items-le k ( $\pi$  k ( $\mathcal{T}$  k (Suc u)) ( $\mathcal{J}$  k u)) =
     $\pi$  k (empty-tokens ( $\mathcal{T}$  k (Suc u))) (items-le k ( $\mathcal{J}$  k u))
    apply (subst items-le-π-swap)
    apply (simp add: wellformed-items- $\mathcal{J}$ )
    using TokensAt-subset- $\mathcal{X}$   $\mathcal{T}$ -subset-TokensAt apply blast
    by blast
  have t3:  $\pi$  k (empty-tokens ( $\mathcal{T}$  k (Suc u))) (items-le k ( $\mathcal{J}$  k u)) =
     $\pi$  k (empty-tokens ( $\mathcal{T}$  k (Suc u))) (Gen (paths-le k ( $\mathcal{P}$  k u)))
    using induct by auto
  have  $\mathcal{P}$ -subset:  $\mathcal{P}$  k u ⊆  $\mathcal{P}$  k (Suc u) using subset- $\mathcal{P}$ Suc by blast
  then have paths-le k ( $\mathcal{P}$  k u) ⊆ paths-le k ( $\mathcal{P}$  k (Suc u))
    by (simp add: mono-subset-elem paths-le-mono subsetI)
  with mono-Gen have Gen (paths-le k ( $\mathcal{P}$  k u)) ⊆ Gen (paths-le k ( $\mathcal{P}$  k (Suc u)))
    by (simp add: mono-subset-elem subsetI)
  then have t4:  $\pi$  k (empty-tokens ( $\mathcal{T}$  k (Suc u))) (Gen (paths-le k ( $\mathcal{P}$  k u))) ⊆
     $\pi$  k (empty-tokens ( $\mathcal{T}$  k (Suc u))) (Gen (paths-le k ( $\mathcal{P}$  k (Suc u))))
    by (rule monoD[OF mono-π])
  have  $\mathcal{T}$ -eq- $\mathcal{Z}$ :  $\mathcal{T}$  k (Suc u) =  $\mathcal{Z}$  k (Suc u)
    using  $\mathcal{T}$ -equals- $\mathcal{Z}$ -induct-step assms(1) induct-tokens by blast
  have t5:  $\pi$  k (empty-tokens ( $\mathcal{T}$  k (Suc u))) (Gen (paths-le k ( $\mathcal{P}$  k (Suc u)))) ⊆
    Gen (paths-le k ( $\mathcal{P}$  k (Suc u)))
    apply (rule-tac remove-paths-le-in-subset-Gen)
    apply (subst items-le-π-swap)
    using wellformed-items-Gen apply blast
    using  $\mathcal{T}$ -eq- $\mathcal{Z}$   $\mathcal{Z}$ -subset- $\mathcal{X}$  empty-tokens-is-filter apply blast
    apply (simp only: empty-tokens-idempotent paths-le-idempotent items-le-paths-le)
    apply (rule-tac thmD5)
    using items-le-is-filter items-le-paths-le apply blast
    apply (rule k)
    using  $\mathcal{T}$ -eq- $\mathcal{Z}$  empty-tokens-is-filter by blast
  from t1 t2 t3 t4 t5 show ?thesis using subset-trans by blast
qed

end

end

```

```

theory Ladder
imports TheoremD9
begin

context LocalLexing begin

definition LeftDerivationFix :: sentence ⇒ nat ⇒ derivation ⇒ nat ⇒ sentence
⇒ bool
where
  LeftDerivationFix α i D j β = (is-sentence α ∧ is-sentence β
  ∧ LeftDerivation α D β ∧ i < length α ∧ j < length β
  ∧ α ! i = β ! j ∧ (∃ E F. D = E@derivation-shift F 0 (Suc j)) ∧
  LeftDerivation (take i α) E (take j β) ∧
  LeftDerivation (drop (Suc i) α) F (drop (Suc j) β))

definition LeftDerivationIntro :: 
  sentence ⇒ nat ⇒ rule ⇒ nat ⇒ derivation ⇒ nat ⇒ sentence ⇒ bool
where
  LeftDerivationIntro α i r ix D j γ = (∃ β. LeftDerives1 α i r β ∧
  ix < length (snd r) ∧ (snd r) ! ix = γ ! j ∧
  LeftDerivationFix β (i + ix) D j γ)

lemma LeftDerivationFix-empty[simp]: is-sentence α ==> i < length α ==> Left-
DerivationFix α i [] i α
  apply (auto simp add: LeftDerivationFix-def)
  apply (rule-tac x=[] in exI)
  apply auto
  done

lemma Derive-empty[simp]: Derive a [] = a
  by (auto simp add: Derive-def)

lemma LeftDerivation-append1: LeftDerivation a (D@[i, r]) c ==> ∃ b. Left-
Derivation a D b
  ∧ LeftDerives1 b i r c
  by (simp add: LeftDerivation-append)

lemma Derivation-append1: Derivation a (D@[i, r]) c ==> ∃ b. Derivation a D
b
  ∧ Derives1 b i r c
  by (simp add: Derivation-append)

lemma Derivation-take-derive:
  assumes Derivation a D b
  shows Derivation a (take n D) (Derive a (take n D))
  by (metis Derivation-append Derive append-take-drop-id assms)

lemma LeftDerivation-take-derive:
  assumes LeftDerivation a D b

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shows LeftDerivation a (take n D) (Derive a (take n D))
by (metis Derive LeftDerivation-append LeftDerivation-implies-Derivation append-take-drop-id
assms)

lemma Derivation-Derive-take-Derives1:
assumes N ≠ 0
assumes N ≤ length D
assumes Derivation a D b
assumes α: α = Derive a (take (N – 1) D)
assumes β = Derive a (take N D)
shows Derives1 α (fst (D ! (N – 1))) (snd (D ! (N – 1))) β
proof –
  let ?D1 = take (N – 1) D
  let ?D2 = take N D
  from assms have app: ?D2 = ?D1 @ [D ! (N – 1)]
    apply auto
    by (metis Suc-less-eq Suc-pred le-imp-less-Suc take-Suc-conv-app-nth)
  from assms have Derivation a ?D2 β
    using Derivation-take-derive by blast
  with app show ?thesis
    using Derivation.simps Derivation-append Derive α by auto
qed

lemma LeftDerivation-Derive-take-LeftDerives1:
assumes N ≠ 0
assumes N ≤ length D
assumes LeftDerivation a D b
assumes α: α = Derive a (take (N – 1) D)
assumes β = Derive a (take N D)
shows LeftDerives1 α (fst (D ! (N – 1))) (snd (D ! (N – 1))) β
proof –
  let ?D1 = take (N – 1) D
  let ?D2 = take N D
  from assms have app: ?D2 = ?D1 @ [D ! (N – 1)]
    apply auto
    by (metis Suc-less-eq Suc-pred le-imp-less-Suc take-Suc-conv-app-nth)
  from assms have LeftDerivation a ?D2 β
    using LeftDerivation-take-derive by blast
  with app show ?thesis
    by (metis Derive LeftDerivation-append1 LeftDerivation-implies-Derivation α
prod.collapse)
qed

lemma LeftDerives1-skip-prefix:
length a ≤ i  $\implies$  LeftDerives1 (a@b) i r (a@c)  $\implies$  LeftDerives1 b (i – length
a) r c
apply (auto simp add: LeftDerives1-def)
using leftmost-skip-prefix apply blast
by (simp add: Derives1-skip-prefix)

```

```

lemma LeftDerives1-skip-suffix:
  assumes i:  $i < \text{length } a$ 
  assumes D:  $\text{LeftDerives1 } (a @ c) i r (b @ c)$ 
  shows  $\text{LeftDerives1 } a i r b$ 
proof -
  note Derives1-def[where  $u=a @ c$  and  $v=b @ c$  and  $i=i$  and  $r=r$ ]
  then have  $\exists x y N \alpha$ .
    a @ c = x @ [N] @ y ∧
    b @ c = x @ α @ y ∧ is-sentence x ∧ is-sentence y ∧ (N, α) ∈ ℝ ∧ r = (N,
    α) ∧ i = length x
    using D LeftDerives1-implies-Derives1 by auto
  then obtain x y N α where split:
    a @ c = x @ [N] @ y ∧
    b @ c = x @ α @ y ∧ is-sentence x ∧ is-sentence y ∧ (N, α) ∈ ℝ ∧ r = (N,
    α) ∧ i = length x
    by blast
  from split have length (a @ c) = length (x @ [N] @ y) by auto
  then have length a + length c = length x + length y + 1 by simp
  with split have length a + length c = i + length y + 1 by simp
  with i have len-c-y: length c ≤ length y by arith
  let ?y = take (length y - length c) y
  from split have ac: a @ c = (x @ [N]) @ y by auto
  note cancel-suffix[where a=a and c=c and b=x@[N] and d=y, OF ac
  len-c-y]
  then have a: a = x @ [N] @ ?y by auto
  from split have bc: b @ c = (x @ α) @ y by auto
  note cancel-suffix[where a=b and c=c and b=x@α and d=y, OF bc
  len-c-y]
  then have b: b = x @ α @ ?y by auto
  from split len-c-y a b show ?thesis
    apply (simp only: LeftDerives1-def Derives1-def)
    apply (rule-tac conjI)
    using D LeftDerives1-def i leftmost-cons-less apply blast
    apply (rule-tac x=x in exI)
    apply (rule-tac x=?y in exI)
    apply (rule-tac x=N in exI)
    apply (rule-tac x=α in exI)
    apply auto
    by (rule is-sentence-take)
qed

lemma LeftDerives1-X-is-part-of-rule[consumes 2, case-names Suffix Prefix]:
  assumes axb: LeftDerives1 δ i r (a @ [X] @ b)
  assumes split: splits-at δ i α N β
  assumes prefix:  $\wedge \beta. \delta = a @ [X] @ \beta \Rightarrow \text{length } a < i \Rightarrow \text{is-word } (a @ [X])$ 
  implies
    LeftDerives1 β (i - length a - 1) r b ⇒ False
  assumes suffix:  $\wedge \alpha. \delta = \alpha @ [X] @ b \Rightarrow \text{LeftDerives1 } \alpha i r a \Rightarrow \text{False}$ 

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shows  $\exists u v. a = \alpha @ u \wedge b = v @ \beta \wedge (\text{snd } r) = u@[X]@v$ 
proof -
have  $aXb\text{-old}: \text{Derives1 } \delta i r (a@[X]@b)$ 
  using LeftDerives1-implies-Derives1 aXb by blast
have  $\text{prefix-or}: \text{is-prefix } \alpha a \vee \text{is-proper-prefix } a \alpha$ 
  by (metis Derives1-prefix split aXb-old is-prefix-eq-proper-prefix)
have  $\text{is-word-}\alpha: \text{is-word } \alpha$ 
  using LeftDerives1-splits-at-is-word aXb assms(2) by blast
have  $\text{is-proper-prefix } a \alpha \implies \text{False}$ 
proof -
assume  $\text{proper:is-proper-prefix } a \alpha$ 
then have  $\exists u. u \neq [] \wedge \alpha = a@u$  by (metis is-proper-prefix-def)
then obtain  $u$  where  $u: u \neq [] \wedge \alpha = a@u$  by blast
note  $\text{splits-at} = \text{splits-at-}\alpha[\text{OF aXb-old split}] \text{splits-at-combine}[\text{OF split}]$ 
from splits-at have  $\alpha_1: \alpha = \text{take } i \delta$  by blast
from splits-at have  $\alpha_2: \alpha = \text{take } i (a@[X]@b)$  by blast
from splits-at have  $\text{len}\alpha: \text{length } \alpha = i$  by blast
with proper have  $\text{len}\alpha: \text{length } a < i$ 
  using append-eq-conv-conj drop-eq-Nil leI u by auto
with  $\text{is-word-}\alpha \alpha_2$  have  $\text{is-word-aX: is-word } (a@[X])$ 
  by (simp add: is-word-terminals not-less take-Cons' u)
from  $u \alpha_2$  have  $a@u = \text{take } i (a@[X]@b)$  by auto
with  $\text{len}\alpha$  have  $u = \text{take } (i - \text{length } a) ([X]@b)$  by (simp add: less-or-eq-imp-le)

with  $\text{len}\alpha$  have  $uX: u = [X]@(\text{take } (i - \text{length } a - 1) b)$  by (simp add: not-less take-Cons')
let  $\beta = (\text{take } (i - \text{length } a - 1) b) @ [N] @ \beta$ 
from splits-at have  $f1: \delta = \alpha @ [N] @ \beta$  by blast
with  $u uX$  have  $f2: \delta = a @ [X] @ \beta$  by simp
note  $\text{skip} = \text{LeftDerives1-skip-prefix}[\text{where } a = a @ [X] \text{ and } b = \beta \text{ and }$ 
 $r = r \text{ and } i = i \text{ and } c = b]$ 
then have  $D: \text{LeftDerives1 } \beta (i - \text{length } a - 1) r b$ 
  using One-nat-def Suc-leI aXb append-assoc diff-diff-left f2 lena length-Cons
  length-append length-append-singleton list.size(3) by fastforce
note  $\text{prefix}[\text{OF } f2 \text{ lena is-word-aX D}]$ 
then show False .
qed
with prefix-or have  $\text{is-prefix: is-prefix } \alpha a$  by blast

from aXb have  $aXb': \text{LeftDerives1 } \delta i r ((a@[X])@b)$  by auto
then have  $aXb'\text{-old}: \text{Derives1 } \delta i r ((a@[X])@b)$  by (simp add: LeftDerives1-implies-Derives1)

note  $\text{Derives1-suffix}[\text{OF aXb'-old split}]$ 
then have  $\text{suffix-or: is-suffix } \beta b \vee \text{is-proper-suffix } b \beta$ 
  by (metis is-suffix-eq-proper-suffix)
have  $\text{is-proper-suffix } b \beta \implies \text{False}$ 
proof -
assume  $\text{proper: is-proper-suffix } b \beta$ 
then have  $\exists u. u \neq [] \wedge \beta = u@b$  by (metis is-proper-suffix-def)

```

then obtain u **where** $u \neq [] \wedge \beta = u @ b$ **by** *blast*
note $splits-at = splits-at-\beta[OF\ axb-old\ split]$ $splits-at-combine[OF\ split]$
from $splits-at$ **have** $\beta 1: \beta = drop(Suc\ i)\ \delta$ **by** *blast*
from $splits-at$ **have** $\beta 2: \beta = drop(i + length(snd\ r))\ (a @ [X] @ b)$ **by** *blast*
from $splits-at$ **have** $len\beta: length\ \beta = length\ \delta - i - 1$ **by** *blast*
with proper have $lenb: length\ b < length\ \beta$ **by** (*metis is-proper-suffix-length-cmp*)

from $u\ \beta 2$ **have** $u @ b = drop(i + length(snd\ r))\ ((a @ [X]) @ b)$ **by** *auto*
hence $u = drop(i + length(snd\ r))\ (a @ [X])$
by (*metis drop-cancel-suffix*)
hence $uX: u = drop(i + length(snd\ r))\ a @ [X]$ **by** (*metis drop-keep-last u*)
let $? \alpha = \alpha @ [N] @ (drop(i + length(snd\ r))\ a)$
from $splits-at$ **have** $f1: \delta = \alpha @ [N] @ \beta$ **by** *blast*
with uX **have** $f2: \delta = ? \alpha @ [X] @ b$ **by** *simp*
note $skip = LeftDerives1\text{-}skip\text{-}suffix$ [**where** $a = ? \alpha$ **and** $c = [X] @ b$ **and** $b = a$]
and
 $r = r$ **and** $i = i$
have $f3: i < length(\alpha @ [N] @ drop(i + length(snd\ r))\ a)$
proof –
 have $f1: 1 + i + length\ b = length[X] + length\ b + i$
 by (*metis Groups.add-ac(2) Suc-eq-plus1-left length-Cons list.size(3) list.size(4) semiring-normalization-rules(22)*)
 have $f2: length\ \delta - i - 1 = length((\alpha @ [N] @ drop(i + length(snd\ r))\ a) @ [X] @ b) - Suc\ i$
 by (*metis f2 length-drop splits-at(1)*)
 have $length([]::symbol\ list) \neq length\ \delta - i - 1 - length\ b$
 by (*metis add-diff-cancel-right' append-Nil2 append-eq-append-conv len\beta length-append u*)
 then have $length([]::symbol\ list) \neq length\ \alpha + length([N] @ drop(i + length(snd\ r))\ a) - i$
 using $f2\ f1$ **by** (*metis Suc-eq-plus1-left add-diff-cancel-right' diff-diff-left length-append*)
 then show $?thesis$
 by *auto*
qed
from $aXb\ f2$ **have** $D: LeftDerives1\ (? \alpha @ [X] @ b)\ i\ r\ (a @ [X] @ b)$ **by** *auto*
note $skip[OF\ f3\ D]$
note $suffix[OF\ f2\ skip[OF\ f3\ D]]$
then show *False*.
qed
with $suffix\text{-}or$ **have** $is\text{-}suffix: is\text{-}suffix\ \beta\ b$ **by** *blast*

from $is\text{-}prefix$ **have** $\exists\ u. a = \alpha @ u$ **by** (*auto simp add: is-prefix-def*)
then obtain u **where** $u: a = \alpha @ u$ **by** *blast*
from $is\text{-}suffix$ **have** $\exists\ v. b = v @ \beta$ **by** (*auto simp add: is-suffix-def*)
then obtain v **where** $v: b = v @ \beta$ **by** *blast*

from $u\ v$ $splits-at-combine[OF\ split]\ aXb$ **have** $D: LeftDerives1\ (\alpha @ [N] @ \beta)\ i\ r$
 $(\alpha @ (u @ [X] @ v) @ \beta)$

```

    by simp
from splits-at- $\alpha$ [OF aXb-old split] have i: length  $\alpha = i$  by blast
from i have i1: length  $\alpha \leq i$  and i2:  $i \leq \text{length } \alpha$  by auto
note LeftDerives1-skip-suffix[OF - LeftDerives1-skip-prefix[OF i1 D], simplified,
OF i2]
then have LeftDerives1 [N] 0 r (u @ [X] @ v) by auto
then have Derives1 [N] 0 r (u @ [X] @ v)
using LeftDerives1-implies-Derives1 by auto
then have r: snd r = u @ [X] @ v
by (metis Derives1-split append-Cons append-Nil length-0-conv list.inject self-append-conv)

show ?thesis using u v r by auto
qed

lemma LeftDerivationFix-grow-suffix:
assumes LDF: LeftDerivationFix (b1@[X]@b2) (length b1) D j c
assumes suffix-b2: LeftDerives1 suffix e r b2
assumes is-word-b1X: is-word (b1@[X])
shows LeftDerivationFix (b1@[X]@suffix) (length b1) ((e + length (b1@[X]),
r)#D) j c
proof -
from LDF have LDF': is-sentence (b1@[X]@b2) ∧ is-sentence c ∧
LeftDerivation (b1 @[X] @ b2) D c ∧ length b1 < length (b1 @[X] @ b2) ∧
j < length c ∧
(b1 @[X] @ b2) ! length b1 = c ! j ∧
(∃ E F. D = E @ derivation-shift F 0 (Suc j) ∧
LeftDerivation (take (length b1) (b1 @[X] @ b2)) E (take j c) ∧
LeftDerivation (drop (Suc (length b1)) (b1 @[X] @ b2)) F (drop (Suc j) c))
using LeftDerivationFix-def by blast
then obtain E F where EF: D = E @ derivation-shift F 0 (Suc j) ∧
LeftDerivation (take (length b1) (b1 @[X] @ b2)) E (take j c) ∧
LeftDerivation (drop (Suc (length b1)) (b1 @[X] @ b2)) F (drop (Suc j) c)
by blast
then have LD-b1-c: LeftDerivation b1 E (take j c) by simp
with is-word-b1X have E: E = []
using LeftDerivation-implies-Derivation is-word-Derivation is-word-append by
blast
then have b1-def: b1 = take j c using LD-b1-c by auto
then have b1-len: j = length b1
by (simp add: LDF' dual-order.strict-implies-order min.absorb2)
have D: D = derivation-shift F 0 (Suc j) using EF E by simp
have step: LeftDerives1 (b1 @ [X] @ suffix) (Suc (e + length b1)) r (b1 @ [X]
@ b2) ∧
LeftDerivation (b1 @ [X] @ b2) D c
by (metis LDF' LeftDerives1-append-prefix add-Suc-right append-assoc assms(2)
is-word-b1X
length-append-singleton)
then have is-sentence-b1Xsuffix: is-sentence (b1 @ [X] @ suffix)
using Derives1-sentence1 LeftDerives1-implies-Derives1 by blast

```

```

have X-eq-cj:  $X = c ! j$  using LDF' by auto
show ?thesis
  apply (simp add: LeftDerivationFix-def)
  apply (rule conjI)
  using is-sentence-b1Xsuffix apply simp
  apply (rule conjI)
  using LDF' apply simp
  apply (rule conjI)
  using step apply force
  apply (rule conjI)
  using LDF' apply simp
  apply (rule conjI)
  apply (rule X-eq-cj)
  apply (rule-tac  $x=[]$  in exI)
  apply (rule-tac  $x=(e, r)\#F$  in exI)
  apply auto
  apply (rule b1-len[symmetric])
  apply (rule D)
  apply (rule b1-def)
  apply (rule-tac  $x=b2$  in exI)
  apply (simp add: suffix-b2)
  using EF by auto
qed

lemma Derives1-append-suffix:
  assumes Derives1: Derives1 v i r w
  assumes u: is-sentence u
  shows Derives1 (v@u) i r (w@u)
proof -
  have  $\exists \alpha N \beta. \text{splits-at } v i \alpha N \beta$  using assms splits-at-ex by auto
  then obtain  $\alpha N \beta$  where split-v: splits-at v i  $\alpha N \beta$  by blast
  have split-w:  $w = \alpha @ (\text{snd } r) @ \beta$  using assms split-v splits-at-combine-dest by
  blast
  have split-uv: splits-at (v@u) i  $\alpha N (\beta @ u)$ 
    by (simp add: split-v splits-at-append)
  have is-sentence-uv: is-sentence (v@u)
    using Derives1 Derives1-sentence1 is-sentence-concat u by blast
  show ?thesis
    by (metis Derives1 Derives1-nonterminal Derives1-rule append-assoc is-sentence-uv
        split-uv split-v split-w splits-at-implies-Derives1)
qed

lemma leftmost-append-suffix: leftmost i v  $\implies$  leftmost i (v@u)
by (simp add: leftmost-def nth-append)

lemma LeftDerives1-append-suffix:
  assumes Derives1: LeftDerives1 v i r w
  assumes u: is-sentence u

```

```

shows LeftDerives1 (v@u) i r (w@u)
proof -
have 1: Derives1 v i r w
  by (simp add: Derives1 LeftDerives1-implies-Derives1)
have 2: leftmost i v
  using Derives1 LeftDerives1-def by blast
have 3: is-sentence u using u by fastforce
have 4: Derives1 (v@u) i r (w@u)
  by (simp add: 1 3 Derives1-append-suffix)
have 5: leftmost i (v@u)
  by (simp add: 2 leftmost-append-suffix u)
show ?thesis
  by (simp add: 4 5 LeftDerives1-def)
qed

lemma LeftDerivationFix-is-sentence:
LeftDerivationFix a i D j b ==> is-sentence a ∧ is-sentence b
using LeftDerivationFix-def by blast

lemma LeftDerivationIntro-is-sentence:
LeftDerivationIntro α i r ix D j γ ==> is-sentence α ∧ is-sentence γ
  by (meson Derives1-sentence1 LeftDerivationFix-is-sentence LeftDerivationIntro-def
       LeftDerives1-implies-Derives1)

lemma LeftDerivationFix-grow-prefix:
assumes LDF: LeftDerivationFix (b1@[X]@b2) (length b1) D j c
assumes prefix-b1: LeftDerives1 prefix e r b1
shows LeftDerivationFix (prefix@[X]@b2) (length prefix) ((e, r)#D) j c
proof -
from LDF have LDF': LeftDerivation (b1 @ [X] @ b2) D c ∧
  length b1 < length (b1 @ [X] @ b2) ∧
  j < length c ∧
  (b1 @ [X] @ b2) ! length b1 = c ! j ∧
  (∃ E F. D = E @ derivation-shift F 0 (Suc j) ∧
    LeftDerivation (take (length b1) (b1 @ [X] @ b2)) E (take j c) ∧
    LeftDerivation (drop (Suc (length b1)) (b1 @ [X] @ b2)) F (drop (Suc j) c))
  using LeftDerivationFix-def by blast
then obtain E F where EF: D = E @ derivation-shift F 0 (Suc j) ∧
  LeftDerivation (take (length b1) (b1 @ [X] @ b2)) E (take j c) ∧
  LeftDerivation (drop (Suc (length b1)) (b1 @ [X] @ b2)) F (drop (Suc j) c)
by blast
then have E-b1-c: LeftDerivation b1 E (take j c) by simp
with EF have F-b2-c: LeftDerivation b2 F (drop (Suc j) c) by simp
have step: LeftDerives1 (prefix @ [X] @ b2) e r (b1 @ [X] @ b2)
  using LDF LeftDerivationFix-is-sentence LeftDerives1-append-suffix
  is-sentence-concat prefix-b1 by blast
show ?thesis
  apply (simp add: LeftDerivationFix-def)

```

```

apply (rule conjI)
apply (metis Derives1-sentence1 LDF LeftDerivationFix-def LeftDerives1-implies-Derives1

    is-sentence-concat is-sentence-cons prefix-b1)
apply (rule conjI)
using LDF LeftDerivationFix-is-sentence apply blast
apply (rule conjI)
apply (rule-tac x=b1@[X]@b2 in exI)
using step apply simp
using LDF' apply auto[1]
apply (rule conjI)
using LDF' apply simp
apply (rule conjI)
using LDF' apply auto[1]
apply (rule-tac x=(e,r)#E in exI)
apply (rule-tac x=F in exI)
apply (auto simp add: EF F-b2-c)
apply (rule-tac x=b1 in exI)
apply (simp add: prefix-b1 E-b1-c)
done
qed

lemma LeftDerivationFixOrIntro:
LeftDerivation a D γ ==> is-sentence γ ==> j < length γ ==>
(∃ i. LeftDerivationFix a i D j γ) ∨
(∃ d α ix. d < length D ∧ LeftDerivation a (take d D) α ∧
LeftDerivationIntro α (fst (D ! d)) (snd (D ! d)) ix (drop (Suc d) D) j γ)
proof (induct length D arbitrary: a D γ j rule: less-induct)

case less
have length D = 0 ∨ length D ≠ 0 by blast
then show ?case
proof (induct rule: disjCases2)
case 1
then have D: D = [] by auto
with less have ∃ i. LeftDerivationFix a i D j γ
apply (rule-tac x=j in exI)
by auto
then show ?case by blast
next
case 2
note less2 = 2
have ∃ n β i. n ≤ length D ∧ β = Derive a (take n D) ∧ LeftDerivationFix β
i (drop n D) j γ
apply (rule-tac x=length D in exI)
apply auto
using Derive LeftDerivationFix-empty LeftDerivation-implies-Derivation less
by blast
then show ?case

```

```

proof (induct rule: ex-minimal-witness)
  case (Minimal N)
    then obtain  $\beta$  i where Minimal-N:
       $N \leq \text{length } D \wedge \beta = \text{Derive } a (\text{take } N D) \wedge \text{LeftDerivationFix } \beta i (\text{drop } N D)$ 
      j  $\gamma$  by blast
      have  $N = 0 \vee N \neq 0$  by blast
      then show ?case
      proof (induct rule: disjCases2)
        case 1
          with Minimal-N have  $\beta = a$  by auto
          with 1 Minimal-N show ?case
            apply (rule-tac disjI1)
            by auto
        next
        case 2
          let ? $\delta$  = Derive a (take (N - 1) D)
          have LeftDerives1- $\delta$ : LeftDerives1 ? $\delta$  (fst (D ! (N - 1))) (snd (D ! (N - 1)))
          by blast
          then have Derives1- $\delta$ : Derives1 ? $\delta$  (fst (D ! (N - 1))) (snd (D ! (N - 1)))
           $\beta$ 
          using 2.hyps LeftDerivation-Derive-take-LeftDerives1 Minimal-N less.prems(1)
          have LeftDerives1-implies-Derives1 by blast
          have i-len:  $i < \text{length } \beta$  using Minimal-N
            by (auto simp add: LeftDerivationFix-def)
          then have  $\exists X \beta\text{-}1 \beta\text{-}2. \text{splits-at } \beta i \beta\text{-}1 X \beta\text{-}2$ 
            using splits-at-def by blast
          then obtain X  $\beta\text{-}1 \beta\text{-}2$  where  $\beta\text{-split}: \text{splits-at } \beta i \beta\text{-}1 X \beta\text{-}2$  by blast
          then have  $\beta\text{-combine}: \beta = \beta\text{-}1 @ [X] @ \beta\text{-}2$  using splits-at-combine by
          blast
          then have LeftDerives1- $\delta$ -hyp:
            LeftDerives1 ? $\delta$  (fst (D ! (N - 1))) (snd (D ! (N - 1))) ( $\beta\text{-}1 @ [X] @ \beta\text{-}2$ )
            using LeftDerives1- $\delta$  by blast
          from  $\beta\text{-split}$  have i-def:  $i = \text{length } \beta\text{-}1$ 
            by (simp add: dual-order.strict-implies-order min.absorb2 splits-at-def)
          have  $\exists Y \delta\text{-}1 \delta\text{-}2. \text{splits-at } ?\delta (\text{fst } (D ! (N - 1))) \delta\text{-}1 Y \delta\text{-}2$ 
            using Derives1- $\delta$  splits-at-ex by blast
          then obtain Y  $\delta\text{-}1 \delta\text{-}2$  where  $\delta\text{-split}: \text{splits-at } ?\delta (\text{fst } (D ! (N - 1))) \delta\text{-}1 Y \delta\text{-}2$  by blast
          have NFix: LeftDerivationFix ( $\beta\text{-}1 @ [X] @ \beta\text{-}2$ ) (length  $\beta\text{-}1$ ) (drop N D)
          j  $\gamma$ 
          using Minimal-N  $\beta\text{-combine}$  i-def by auto
          from LeftDerives1- $\delta$ -hyp  $\delta\text{-split}$ 
          have  $\exists u v. \beta\text{-}1 = \delta\text{-}1 @ u \wedge \beta\text{-}2 = v @ \delta\text{-}2 \wedge \text{snd } (\text{snd } (D ! (N - 1))) = u @ [X] @ v$ 
          proof (induct rule: LeftDerives1-X-is-part-of-rule)
            case (Suffix suffix)
              let ?k = N - 1

```

```

let ?β = Derive a (take ?k D)
let ?i = length β-1
have k-less: ?k < length D using 2.hyps Minimal-N by linarith
then have k-leq: ?k ≤ length D by auto
have drop-k-d: drop ?k D = (D ! (N - 1))#(drop N D)
using 2.hyps Cons-nth-drop-Suc k-less by fastforce
from LeftDerivationFix-grow-suffix[OF NFix Suffix(4) Suffix(3)] Suffix(1)
Suffix(2) 2
have LeftDerivationFix ?β ?i (drop ?k D) j γ
apply auto
by (metis One-nat-def drop-k-d)
with Minimal(2)[where k=?k] show False
using 2.hyps k-leq by auto
next
case (Prefix prefix)
have collapse: (fst (D ! (N - 1)), snd (D ! (N - 1))) # drop N D =
drop (N - 1) D
by (metis 2.hyps Cons-nth-drop-Suc Minimal-N Suc-diff-1 neq0-conv
not-less
not-less-eq prod.collapse)
from LeftDerivationFix-grow-prefix[OF NFix Prefix(2)] Prefix(1) collapse
have LeftDerivationFix ?δ (length prefix) (drop (N - 1) D) j γ by auto
with Minimal(2)[where k = N - 1] show False
by (metis Minimal-N collapse diff-le-self le-neq-implies-less less-imp-diff-less
less-or-eq-imp-le not-Cons-self2)
qed
then obtain u v where uv:
β-1 = δ-1 @ u ∧ β-2 = v @ δ-2 ∧ snd (snd (D ! (N - 1))) = u @ [X]
@ v by blast
have X-1: snd (snd (D ! (Suc 0))) ! length u = X using uv by auto
have X-2: γ ! j = X using LeftDerivationFix-def NFix by auto
show ?case
apply (rule disjI2)
apply (rule-tac x=N - 1 in exI)
apply (rule-tac x=?δ in exI)
apply (rule-tac x=length u in exI)
apply (rule conjI)
using Minimal-N less2 apply linarith
apply (rule conjI)
using LeftDerivation-take-derive less.preds(1) apply blast
apply (subst LeftDerivationIntro-def)
apply (rule-tac x=β in exI)
apply auto
using LeftDerives1-δ One-nat-def apply presburger
using uv apply auto[1]
using X-1 X-2 apply auto[1]
by (metis (no-types, lifting) 2.hyps Derives1-δ Derives1-split Minimal-N
One-nat-def)

```

```

Suc-diff-1 δ-split append-eq-conv-conj i-def length-append neq0-conv
splits-at-def uv)
qed
qed
qed
qed

type-synonym deriv = nat × nat × nat
type-synonym ladder = deriv list

definition deriv-n :: deriv ⇒ nat where
  deriv-n d = fst d

definition deriv-j :: deriv ⇒ nat where
  deriv-j d = fst (snd d)

definition deriv-ix :: deriv ⇒ nat where
  deriv-ix d = snd (snd d)

definition deriv-i :: deriv ⇒ nat where
  deriv-i d = snd (snd d)

definition ladder-j :: ladder ⇒ nat ⇒ nat where
  ladder-j L index = deriv-j (L ! index)

definition ladder-i :: ladder ⇒ nat ⇒ nat where
  ladder-i L index = (if index = 0 then deriv-i (hd L) else ladder-j L (index - 1))

definition ladder-n :: ladder ⇒ nat ⇒ nat where
  ladder-n L index = deriv-n (L ! index)

definition ladder-prev-n :: ladder ⇒ nat ⇒ nat where
  ladder-prev-n L index = (if index = 0 then 0 else (ladder-n L (index - 1)))

definition ladder-ix :: ladder ⇒ nat ⇒ nat where
  ladder-ix L index = (if index = 0 then undefined else deriv-ix (L ! index))

definition ladder-last-j :: ladder ⇒ nat where
  ladder-last-j L = ladder-j L (length L - 1)

definition ladder-last-n :: ladder ⇒ nat where
  ladder-last-n L = ladder-n L (length L - 1)

definition is-ladder :: derivation ⇒ ladder ⇒ bool where
  is-ladder D L = (L ≠ [] ∧
    (forall u. u < length L → ladder-n L u ≤ length D) ∧
    (forall u v. u < v ∧ v < length L → ladder-n L u < ladder-n L v) ∧
    ladder-last-n L = length D)

```

```

definition ladder- $\gamma$  :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  ladder  $\Rightarrow$  nat  $\Rightarrow$  sentence where
ladder- $\gamma$  a D L index = Derive a (take (ladder-n L index) D)

definition ladder- $\alpha$  :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  ladder  $\Rightarrow$  nat  $\Rightarrow$  sentence where
ladder- $\alpha$  a D L index = (if index = 0 then a else ladder- $\gamma$  a D L (index - 1))

definition LeftDerivationIntrosAt :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  ladder  $\Rightarrow$  nat  $\Rightarrow$ 
bool where
LeftDerivationIntrosAt a D L index = (
  let  $\alpha$  = ladder- $\alpha$  a D L index in
  let i = ladder-i L index in
  let j = ladder-j L index in
  let ix = ladder-ix L index in
  let  $\gamma$  = ladder- $\gamma$  a D L index in
  let n = ladder-n L (index - 1) in
  let m = ladder-n L index in
  let e = D ! n in
  let E = drop (Suc n) (take m D) in
  i = fst e  $\wedge$ 
  LeftDerivationIntro  $\alpha$  i (snd e) ix E j  $\gamma$ )

definition LeftDerivationIntros :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  ladder  $\Rightarrow$  bool where
LeftDerivationIntros a D L = (
   $\forall$  index.  $1 \leq index \wedge index < length L \longrightarrow$  LeftDerivationIntrosAt a D L
index)

definition LeftDerivationLadder :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  ladder  $\Rightarrow$  sentence
 $\Rightarrow$  bool where
LeftDerivationLadder a D L b = (
  LeftDerivation a D b  $\wedge$ 
  is-ladder D L  $\wedge$ 
  LeftDerivationFix a (ladder-i L 0) (take (ladder-n L 0) D) (ladder-j L 0)
(ladder- $\gamma$  a D L 0)  $\wedge$ 
  LeftDerivationIntros a D L)

definition mk-deriv-fix :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  deriv where
mk-deriv-fix i n j = (n, j, i)

definition mk-deriv-intro :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  deriv where
mk-deriv-intro ix n j = (n, j, ix)

lemma mk-deriv-fix-i[simp]: deriv-i (mk-deriv-fix i n j) = i
by (simp add: deriv-i-def mk-deriv-fix-def)

lemma mk-deriv-fix-j[simp]: deriv-j (mk-deriv-fix i n j) = j
by (simp add: deriv-j-def mk-deriv-fix-def)

lemma mk-deriv-fix-n[simp]: deriv-n (mk-deriv-fix i n j) = n
by (simp add: deriv-n-def mk-deriv-fix-def)

```

```

lemma mk-deriv-intro-i[simp]: deriv-i (mk-deriv-intro i n j) = i
  by (simp add: deriv-i-def mk-deriv-intro-def)

lemma mk-deriv-intro-ix[simp]: deriv-ix (mk-deriv-intro ix n j) = ix
  by (simp add: deriv-ix-def mk-deriv-intro-def)

lemma mk-deriv-intro-j[simp]: deriv-j (mk-deriv-intro i n j) = j
  by (simp add: deriv-j-def mk-deriv-intro-def)

lemma mk-deriv-intro-n[simp]: deriv-n (mk-deriv-intro i n j) = n
  by (simp add: deriv-n-def mk-deriv-intro-def)

lemma LeftDerivationFix-implies-ex-ladder:
  LeftDerivationFix a i D j γ ==> ∃ L. LeftDerivationLadder a D L γ ∧
    ladder-last-j L = j ∧ ladder-last-n L = length D
  apply (rule-tac x=[mk-deriv-fix i (length D) j] in exI)
  apply (auto simp add: LeftDerivationLadder-def)
  apply (simp add: LeftDerivationFix-def)
  apply (simp add: is-ladder-def)
  apply (auto simp add: ladder-i-def ladder-j-def ladder-n-def ladder-γ-def)
  apply (simp add: ladder-last-n-def ladder-n-def)
  using Derive LeftDerivationFix-def LeftDerivation-implies-Derivation apply blast
  apply (simp add: LeftDerivationIntros-def)
  apply (simp add: ladder-last-j-def ladder-j-def)
  apply (simp add: ladder-last-n-def ladder-n-def)
  done

lemma trivP[case-names prems]: P ==> P by blast

lemma LeftDerivationLadder-ladder-n-bound:
  assumes LeftDerivationLadder a D L b
  assumes index < length L
  shows ladder-n L index ≤ length D
  using LeftDerivationLadder-def assms(1) assms(2) is-ladder-def by blast

lemma LeftDerivationLadder-deriv-n-bound:
  assumes LeftDerivationLadder a D L b
  assumes index < length L
  shows deriv-n (L ! index) ≤ length D
  using LeftDerivationLadder-def assms(1) assms(2) is-ladder-def ladder-n-def by auto

lemma ladder-n-simp1[simp]: u < length L ==> ladder-n (L@L') u = ladder-n L
  u
  by (simp add: ladder-n-def)

lemma ladder-n-simp2[simp]: ladder-n (L@[d]) (length L) = deriv-n d
  by (simp add: ladder-n-def)

```

```

lemma ladder-j-simp1[simp]:  $u < \text{length } L \implies \text{ladder-}j(L @ L') u = \text{ladder-}j L u$ 
by (simp add: ladder-j-def)

lemma ladder-j-simp2[simp]:  $\text{ladder-}j(L @ [d]) (\text{length } L) = \text{deriv-}j d$ 
by (simp add: ladder-j-def)

lemma ladder-i-simp1[simp]:  $u < \text{length } L \implies \text{ladder-}i(L @ L') u = \text{ladder-}i L u$ 
by (auto simp add: ladder-i-def)

lemma ladder-ix-simp1[simp]:  $u < \text{length } L \implies \text{ladder-}ix(L @ L') u = \text{ladder-}ix L u$ 
by (auto simp add: ladder-ix-def)

lemma ladder-ix-simp2[simp]:  $L \neq [] \implies \text{ladder-}ix(L @ [d]) (\text{length } L) = \text{deriv-}ix d$ 
by (auto simp add: ladder-ix-def)

lemma ladder-gamma-simp1[simp]:  $u < \text{length } L \implies \text{ladder-}\gamma a D (L @ L') u = \text{ladder-}\gamma a D L u$ 
by (simp add: ladder-gamma-def)

lemma ladder-gamma-simp2[simp]:  $u < \text{length } L \implies \text{is-ladder } D L \implies$ 
 $\text{ladder-}\gamma a (D @ D') L u = \text{ladder-}\gamma a D L u$ 
by (simp add: is-ladder-def ladder-gamma-def)

lemma ladder-alpha-simp1[simp]:  $u < \text{length } L \implies \text{ladder-}\alpha a D (L @ L') u = \text{ladder-}\alpha a D L u$ 
by (simp add: ladder-alpha-def)

lemma ladder-alpha-simp2[simp]:  $u < \text{length } L \implies \text{is-ladder } D L \implies$ 
 $\text{ladder-}\alpha a (D @ D') L u = \text{ladder-}\alpha a D L u$ 
by (simp add: is-ladder-def ladder-alpha-def)

lemma ladder-n-minus-1-bound:  $\text{is-ladder } D L \implies \text{index} \geq 1 \implies \text{index} < \text{length } L \implies$ 
 $\text{ladder-}n L (\text{index} - \text{Suc } 0) < \text{length } D$ 
by (metis (no-types, lifting) One-nat-def Suc-diff-1 Suc-le-lessD dual-order.strict-implies-order
is-ladder-def le-neq-implies-less not-less)

lemma LeftDerivationIntrosAt-ignore-appendix:
assumes is-ladder:  $\text{is-ladder } D L$ 
assumes hyp:  $\text{LeftDerivationIntrosAt } a D L \text{ index}$ 
assumes index-ge:  $\text{index} \geq 1$ 
assumes index-less:  $\text{index} < \text{length } L$ 
shows  $\text{LeftDerivationIntrosAt } a (D @ D') (L @ L') \text{ index}$ 
proof -
have index-minus-1:  $\text{index} - \text{Suc } 0 < \text{length } L$ 

```

```

using index-less by arith
have is-0: ladder-n L index - length D = 0
  using index-less is-ladder is-ladder-def by auto
from index-ge index-less show ?thesis
  apply (simp add: LeftDerivationIntrosAt-def Let-def)
  apply (simp add: index-minus-1 is-ladder ladder-n-minus-1-bound is-0)
  using hyp apply (auto simp add: LeftDerivationIntrosAt-def Let-def)
  done
qed

lemma ladder-i-eq-last-j: L ≠ [] ==> ladder-i (L @ L') (length L) = ladder-last-j
L
by (simp add: ladder-i-def ladder-last-j-def)

lemma ladder-last-n-intro: L ≠ [] ==> ladder-n L (length L - Suc 0) = ladder-last-n
L
by (simp add: ladder-last-n-def)

lemma is-ladder-not-empty: is-ladder D L ==> L ≠ []
using is-ladder-def by blast

lemma last-ladder-γ:
assumes is-ladder: is-ladder D L
assumes ladder-last-n: ladder-last-n L = length D
shows ladder-γ a D L (length L - Suc 0) = Derive a D
proof -
  from is-ladder is-ladder-not-empty have L ≠ [] by blast
  then show ?thesis
    by (simp add: ladder-γ-def ladder-last-n-intro ladder-last-n)
qed

lemma ladder-α-full:
assumes is-ladder: is-ladder D L
assumes ladder-last-n: ladder-last-n L = length D
shows ladder-α a (D @ D') (L @ L') (length L) = Derive a D
proof -
  from is-ladder have L-not-empty: L ≠ [] by (simp add: is-ladder-def)
  with is-ladder ladder-last-n show ?thesis
    apply (simp add: ladder-α-def)
    apply (simp add: last-ladder-γ)
    done
qed

lemma LeftDerivationIntro-implies-LeftDerivation:
LeftDerivationIntro α i r ix D j γ ==> LeftDerivation α ((i,r) # D) γ
using LeftDerivationFix-def LeftDerivationIntro-def by auto

lemma LeftDerivationLadder-grow:
LeftDerivationLadder a D L α ==> ladder-last-j L = i ==>

```

```

LeftDerivationIntro α i r ix E j γ ==>
LeftDerivationLadder a (D@[i, r]@E) (L@[mk-deriv-intro ix (Suc(length D +
length E)) j]) γ
proof (induct arbitrary: a D L α i r ix E j γ rule: trivP)
  case prems
  {
    fix u :: nat
    assume u < Suc (length L)
    then have u < length L ∨ u = length L by arith
    then have ladder-n (L @ [mk-deriv-intro ix (Suc (length D + length E)) j]) u
    ≤
      Suc (length D + length E)
    proof (induct rule: disjCases2)
      case 1
      then show ?case
        apply simp
        by (meson LeftDerivationLadder-ladder-n-bound le-Suc-eq le-add1 le-trans
            prems(1))
      next
        case 2
        then show ?case
          by (simp add: ladder-n-def)
      qed
    }
    note ladder-n-ineqs = this
    {
      fix u :: nat
      fix v :: nat
      assume u-less-v: u < v
      assume v < Suc (length L)
      then have v < length L ∨ v = length L by arith
      then have ladder-n (L @ [mk-deriv-intro ix (Suc (length D + length E)) j]) u
        < ladder-n (L @ [mk-deriv-intro ix (Suc (length D + length E)) j]) v
      proof (induct rule: disjCases2)
        case 1
        with u-less-v have u-bound: u < length L by arith
        show ?case using 1 u-bound apply simp
        using prems u-less-v LeftDerivationLadder-def is-ladder-def by auto
      next
        case 2
        with u-less-v have u-bound: u < length L by arith
        have deriv-n (L ! u) ≤ length D
          using LeftDerivationLadder-deriv-n-bound prems(1) u-bound by blast
        then show ?case
          apply (simp add: u-bound)
          apply (simp add: ladder-n-def 2)
          done
      qed
    }
  }

```

```

note ladder-n-ineqs = ladder-n-ineqs this
have is-ladder:
  is-ladder (D @ (i, r) # E) (L @ [mk-deriv-intro ix (Suc (length D + length E))
j])
  apply (auto simp add: is-ladder-def)
  using ladder-n-ineqs apply auto
  apply (simp add: ladder-last-n-def)
  done
have is-ladder-L: is-ladder D L
  using LeftDerivationLadder-def prems.prems(1) by blast
have ladder-last-n-eq-length: ladder-last-n L = length D
  using is-ladder-L is-ladder-def by blast
have L-not-empty: L ≠ []
  using LeftDerivationLadder-def is-ladder-def prems(1) by blast
{
  fix index :: nat
  assume index-ge: Suc 0 ≤ index
  assume index < Suc (length L)
  then have index < length L ∨ index = length L by arith
  then have LeftDerivationIntrosAt a (D @ (i, r) # E)
    (L @ [mk-deriv-intro ix (Suc (length D + length E)) j]) index
proof (induct rule: disjCases2)
  case 1
  then show ?case
  using LeftDerivationIntrosAt-ignore-appendix
    LeftDerivationIntros-def LeftDerivationLadder-def One-nat-def
    index-ge prems.prems(1) by presburger
next
  case 2
  have min-simp: ∧ n E. min n (Suc (n + length E)) = n
    by auto
  with 2 prems is-ladder-L ladder-last-n-eq-length show ?case
    apply (simp add: LeftDerivationIntrosAt-def)
    apply (simp add: L-not-empty ladder-i-i-eq-last-j ladder-last-n-intro)
    apply (simp add: ladder-α-full min-simp)
    apply (simp add: ladder-γ-def)
    by (metis Derive LeftDerivationIntro-implies-LeftDerivation LeftDerivation-
Ladder-def
    LeftDerivation-implies-Derivation LeftDerivation-implies-append)
  qed
}
then show ?case
  apply (auto simp add: LeftDerivationLadder-def)
  using prems apply (auto simp add: LeftDerivationLadder-def)[1]
  using LeftDerivationFix-def LeftDerivationIntro-def LeftDerivation-append ap-
  ply auto[1]
  using is-ladder apply simp
  using L-not-empty apply simp
  using LeftDerivationLadder-def LeftDerivationLadder-ladder-n-bound ladder-γ-def

```

```

prems.prems(1) apply auto[1]
apply (subst LeftDerivationIntros-def)
apply auto
done
qed

lemma LeftDerivationIntro-bounds-ij:
LeftDerivationIntro  $\alpha$  i r ix D j  $\beta \implies i < \text{length } \alpha \wedge j < \text{length } \beta$ 
by (meson Derives1-bound LeftDerivationFix-def LeftDerivationIntro-def
LeftDerives1-implies-Derives1)

theorem LeftDerivationLadder-exists: LeftDerivation a D  $\gamma \implies \text{is-sentence } \gamma \implies$ 
 $j < \text{length } \gamma \implies$ 
 $\exists L. \text{LeftDerivationLadder } a D L \gamma \wedge \text{ladder-last-}j L = j$ 
proof (induct length D arbitrary: a D  $\gamma$  j rule: less-induct)
case less
from LeftDerivationFixOrIntro[OF less(2,3,4)] show ?case
proof (induct rule: disjCases2)
case 1
then obtain i where LeftDerivationFix a i D j  $\gamma$  by blast
show ?case
using 1.hyps LeftDerivationFix-implies-ex-ladder by blast
next
case 2
then obtain d  $\alpha$  ix where inductrule:  $d < \text{length } D \wedge$ 
LeftDerivation a (take d D)  $\alpha \wedge$ 
LeftDerivationIntro  $\alpha$  (fst (D ! d)) (snd (D ! d)) ix (drop (Suc d) D) j  $\gamma$  by
blast
then have less-length-D:  $\text{length } (\text{take } d D) < \text{length } D$ 
and LeftDerivation- $\alpha$ : LeftDerivation a (take d D)  $\alpha$  by auto
have is-sentence- $\alpha$ : is-sentence  $\alpha$  using LeftDerivationIntro-is-sentence inductrule by blast
have fst (D ! d) < length  $\alpha$  using LeftDerivationIntro-bounds-ij inductrule by blast
from less(1)[OF less-length-D LeftDerivation- $\alpha$  is-sentence- $\alpha$ , where j= fst (D ! d), OF this]
obtain L where induct-Ladder:
LeftDerivationLadder a (take d D) L  $\alpha$  and induct-last: ladder-last- $j$  L = fst (D ! d)
by blast
have induct-intro: LeftDerivationIntro  $\alpha$  (fst (D ! d)) (snd (D ! d)) ix (drop (Suc d) D) j  $\gamma$ 
using inductrule by blast
have d < length D using inductrule by blast
then have simp-to-D: take d D @ D ! d # drop (Suc d) D = D
using id-take-nth-drop by force
from LeftDerivationLadder-grow[OF induct-Ladder induct-last induct-intro]
simp-to-D

```

```

show ?case
apply auto
apply (rule-tac x=
  L @ [mk-deriv-intro ix (Suc (min (length D) d + (length D - Suc d))) j] in
exI)
apply (simp add: ladder-last-j-def)
done
qed
qed

lemma LeftDerivationLadder-L-0:
assumes LeftDerivationLadder α D L β
assumes length L = 1
shows ∃ i. LeftDerivationFix α i D (ladder-last-j L) β
proof -
have is-ladder D L using assms by (auto simp add: LeftDerivationLadder-def)
then have ladder-n: ladder-n L 0 = length D
  by (simp add: assms(2) is-ladder-def ladder-last-n-def)
show ?thesis
apply (rule-tac x = ladder-i L 0 in exI)
using assms(1) apply (auto simp add: LeftDerivationLadder-def)
by (metis Derive LeftDerivationFix-def LeftDerivation-implies-Derivation One-nat-def
assms(2)
diff-Suc-1 ladder-last-j-def ladder-n order-refl take-all)
qed

lemma LeftDerivationFix-splits-at derives:
assumes LeftDerivationFix a i D j b
shows ∃ U a1 a2 b1 b2. splits-at a i a1 U a2 ∧ splits-at b j b1 U b2 ∧
derives a1 b1 ∧ derives a2 b2
proof -
note hyp = LeftDerivationFix-def[where α=a and β=b and D=D and i=i
and j=j]
from hyp obtain E F where EF:
  D = E @ derivation-shift F 0 (Suc j) ∧
    LeftDerivation (take i a) E (take j b) ∧ LeftDerivation (drop (Suc i) a) F
  (drop (Suc j) b)
  using assms by blast
show ?thesis
apply (rule-tac x=a ! i in exI)
apply (rule-tac x=take i a in exI)
apply (rule-tac x=drop (Suc i) a in exI)
apply (rule-tac x=take j b in exI)
apply (rule-tac x=drop (Suc j) b in exI)
using Derivation-implies derives LeftDerivation-implies-Derivation assms hyp
splits-at-def by blast
qed

lemma LeftDerivation-append-suffix:

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LeftDerivation a D b ==> is-sentence c ==> LeftDerivation (a@c) D (b@c)
proof (induct D arbitrary: a b c)
  case Nil
    then show ?case by auto
  next
    case (Cons d D)
    then show ?case
      apply auto
      apply (rule-tac x=x@c in exI)
      apply auto
      using LeftDerives1-append-suffix by simp
qed

lemma LeftDerivation-impossible: LeftDerivation a D b ==> i < length a ==>
  is-nonterminal (a ! i) ==> derivation-ge D (Suc i) ==> D = []
proof (induct D)
  case Nil then show ?case by auto
  next
    case (Cons d D)
    then have lm: & j. leftmost j a ==> j ≤ i
      by (metis Derives1-sentence1 LeftDerivation.simps(2) LeftDerives1-implies-Derives1
          leftmost-exists leftmost-unique)
    from Cons show ?case
      apply auto
      apply (auto simp add: derivation-ge-def LeftDerives1-def)
      using lm[where j=fst d] by arith
qed

lemma derivation-ge-shift: derivation-ge (derivation-shift F 0 j) j
  apply (induct F)
  apply (auto simp add: derivation-ge-def)
  done

lemma LeftDerivationFix-splits-at-nonterminal:
  assumes LeftDerivationFix a i D j b
  assumes is-nonterminal (a ! i)
  shows <math>\exists U a1 a2 b1. \text{splits-at } a i a1 U a2 \wedge \text{splits-at } b j b1 U a2 \wedge \text{LeftDerivation } a1 D b1</math>
proof -
  note hyp = LeftDerivationFix-def[where <math>\alpha=a</math> and <math>\beta=b</math> and <math>D=D</math> and <math>i=i</math> and <math>j=j</math>]
  from hyp obtain E F where EF:
    D = E @ derivation-shift F 0 (Suc j) & LeftDerivation (take i a) E (take j b)
  &
    LeftDerivation (drop (Suc i) a) F (drop (Suc j) b)
  using assms by blast
  have <math>\exists \beta. \text{LeftDerivation } a E \beta \wedge \text{LeftDerivation } \beta (\text{derivation-shift } F 0 (\text{Suc } j)) b</math>

```

```

using EF LeftDerivation-append assms(1) hyp by blast
then obtain  $\beta$  where  $\beta\text{-intro}:$ 
  LeftDerivation a E  $\beta \wedge$  LeftDerivation  $\beta$  (derivation-shift F 0 (Suc j)) b by
  blast
  have LeftDerivation ((take i a)@(drop i a)) E ((take j b)@(drop i a))
    by (metis EF LeftDerivation-append-suffix append-take-drop-id assms(1) hyp
      is-sentence-concat)
  then have LeftDerivation a E ((take j b)@(drop i a)) by simp
  then have  $\beta\text{-decomposed}:$   $\beta = (\text{take } j \text{ } b) @ (\text{drop } i \text{ } a)$ 
    using Derivation-unique-dest LeftDerivation-implies-Derivation  $\beta\text{-intro}$  by blast
  then have  $\beta ! j = a ! i$ 
    by (metis Cons-nth-drop-Suc assms(1) hyp length-take min.absorb2 nth-append-length
      order.strict-implies-order)
  then have is-nt: is-nonterminal ( $\beta ! j$ ) by (simp add: assms(2))
  have index-j:  $j < \text{length } \beta$  using  $\beta\text{-decomposed}$  assms(1) hyp by auto
  have derivation: LeftDerivation  $\beta$  (derivation-shift F 0 (Suc j)) b
    by (simp add:  $\beta\text{-intro}$ )
  from LeftDerivation-impossible[OF derivation index-j is-nt derivation-ge-shift]
  have F:  $F = []$  by (metis length-0-conv length-derivation-shift)
  then have  $\beta\text{-is-b}:$   $\beta = b$  using  $\beta\text{-intro}$  by auto
  show ?thesis
    apply (rule-tac x=a ! i in exI)
    apply (rule-tac x=take i a in exI)
    apply (rule-tac x=drop (Suc i) a in exI)
    apply (rule-tac x=take j b in exI)
    using EF F assms(1) hyp splits-at-def by auto
qed

lemma LeftDerivationIntro-implies-nonterminal:
  LeftDerivationIntro  $\alpha$  i (snd e) ix E j  $\gamma \implies$  is-nonterminal ( $\alpha ! i$ )
  by (simp add: LeftDerivationIntro-def LeftDerives1-def leftmost-is-nonterminal)

lemma LeftDerivationIntrosAt-implies-nonterminal:
  LeftDerivationIntrosAt a D L index  $\implies$  is-nonterminal((ladder- $\alpha$  a D L index) !
  (ladder-i L index))
  by (meson LeftDerivationIntro-implies-nonterminal LeftDerivationIntrosAt-def)

lemma LeftDerivationIntro-examine-rule:
  LeftDerivationIntro  $\alpha$  i r ix D j  $\gamma \implies$  splits-at  $\alpha$  i  $\alpha 1$  M  $\alpha 2 \implies$ 
   $\exists \eta. M = \text{fst } r \wedge \eta = \text{snd } r \wedge (M, \eta) \in \mathfrak{R}$ 
  by (metis Derives1-nonterminal Derives1-rule LeftDerivationIntro-def LeftDerives1-implies-Derives1
    prod.collapse)

lemma LeftDerivation-skip-prefixword-ex:
  assumes LeftDerivation (u@v) D w
  assumes is-word u

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```

shows  $\exists w'. w = u@w' \wedge \text{LeftDerivation } v (\text{derivation-shift } D (\text{length } u) 0) w'$ 
by (metis LeftDerivation.simps(1) LeftDerivation-breakdown LeftDerivation-implies-Derivation
LeftDerivation-skip-prefix append-eq-conv-conj assms(1) assms(2) is-word-Derivation
is-word-Derivation-derivation-ge)

definition ladder-cut :: ladder  $\Rightarrow$  nat  $\Rightarrow$  ladder
where ladder-cut L n = (let i = length L - 1 in L[i := (n, snd (L ! i))])

fun deriv-shift :: nat  $\Rightarrow$  nat  $\Rightarrow$  deriv  $\Rightarrow$  deriv
where deriv-shift dn dj (n, j, i) = (n - dn, j - dj, i)

definition ladder-shift :: ladder  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  ladder
where ladder-shift L dn dj = map (deriv-shift dn dj) L

lemma splits-at-append-suffix-prevails:
assumes splits-at (a@b) i u N v
assumes i < length a
shows  $\exists v'. v = v'@b \wedge a=u@[N]@v'$ 
proof -
have min (length a) (Suc i) = Suc i
using Suc-leI assms(2) min.absorb2 by blast
then show ?thesis
by (metis (no-types) append-assoc append-eq-conv-conj append-take-drop-id
assms(1)
hd-drop-conv-nth length-take splits-at-def take-hd-drop)
qed

lemma derivation-shift-right-left-cancel:
derivation-shift (derivation-shift D 0 r) r 0 = D
by (induct D, auto)

lemma derivation-shift-left-right-cancel:
assumes derivation-ge D r
shows derivation-shift (derivation-shift D r 0) 0 r = D
using assms derivation-ge-shift-simp derivation-shift-0-shift by auto

lemma LeftDerivation-ge-take:
assumes derivation-ge D k
assumes LeftDerivation a D b
assumes D  $\neq []$ 
shows take k a = take k b  $\wedge$  is-word (take k a)
proof -
obtain d D' where d # D' = D using assms(3) list.exhaust by blast
then have  $\exists x. \text{LeftDerives1 } a (\text{fst } d) (\text{snd } d) x \wedge \text{LeftDerivation } x D' b$ 
using LeftDerivation.simps(2) assms(2) by blast
then obtain x where x: LeftDerives1 a (fst d) (snd d) x  $\wedge$  LeftDerivation x D'
b by blast

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```

have fst-d-k: fst d ≥ k using d assms(1) derivation-ge-cons by blast
from x fst-d-k have is-word: is-word (take k a)
  by (metis LeftDerives1-def append-take-drop-id is-word-append leftmost-def
       min.absorb2 take-append take-take)
have is-eq: take k a = take k b
  using Derivation-take LeftDerivation-implies-Derivation assms(1) assms(2) by
blast
show ?thesis using is-word is-eq by blast
qed

lemma LeftDerivationFix-splits-at-symbol:
assumes LeftDerivationFix a i D j b
shows ∃ U a1 a2 b1 b2 n. splits-at a i a1 U a2 ∧ splits-at b j b1 U b2 ∧
  n ≤ length D ∧ LeftDerivation a1 (take n D) b1 ∧ derivation-ge (drop n D)
  (Suc(length b1)) ∧
  LeftDerivation a2 (derivation-shift (drop n D) (Suc(length b1)) 0) b2 ∧
  (n = length D ∨ (n < length D ∧ is-word (b1@[U])))
proof -
  note hyp = LeftDerivationFix-def[where α=a and β=b and D=D and i=i
and j=j]
  from hyp obtain E F where EF:
    D = E @ derivation-shift F 0 (Suc j) ∧ LeftDerivation (take i a) E (take j b)
  ∧
    LeftDerivation (drop (Suc i) a) F (drop (Suc j) b)
  using assms by blast
  have ∃ β. LeftDerivation a E β ∧ LeftDerivation β (derivation-shift F 0 (Suc
j)) b
    using EF LeftDerivation-append assms(1) hyp by blast
  then obtain β where β-intro:
    LeftDerivation a E β ∧ LeftDerivation β (derivation-shift F 0 (Suc j)) b by
blast
  have LeftDerivation ((take i a)@(drop i a)) E ((take j b)@(drop i a))
    by (metis EF LeftDerivation-append-suffix append-take-drop-id assms(1) hyp
is-sentence-concat)
  then have LeftDerivation a E ((take j b)@(drop i a)) by simp
  then have β-decomposed: β = (take j b)@(drop i a)
    using Derivation-unique-dest LeftDerivation-implies-Derivation β-intro by blast

  have derivation: LeftDerivation β (derivation-shift F 0 (Suc j)) b
    by (simp add: β-intro)
  have ∃ n. n ≤ length D ∧ E = take n D
    by (metis EF append-eq-conv-conj is-prefix-length is-prefix-take)
  then obtain n where n: n ≤ length D ∧ E = take n D by blast
  have F-def: drop n D = derivation-shift F 0 (Suc j)
    by (metis EF append-eq-conv-conj length-take min.absorb2 n)
  have min-j: min (length b) j = j using assms hyp by linarith
  have derivation-ge-Suc-j: derivation-ge (drop n D) (Suc j)
    using F-def derivation-ge-shift by simp
  have LeftDerivation-β-b: LeftDerivation β (drop n D) b by (simp add: F-def)

```

$\beta\text{-intro})$

```

have is-word-Suc-j-b:  $n \neq \text{length } D \implies \text{is-word}(\text{take}(\text{Suc } j) b)$ 
by (metis EF F-def LeftDerivation-ge-take  $\beta\text{-intro}$  append-Nil2 derivation-ge-Suc-j

  length-take min.absorb2 n)
have take-Suc-j-b-decompose:  $\text{take}(\text{Suc } j) b = \text{take } j b @ [a ! i]$ 
  using assms hyp take-Suc-conv-app-nth by auto
show ?thesis
  apply (rule-tac  $x=a ! i$  in exI)
  apply (rule-tac  $x=\text{take } i a$  in exI)
  apply (rule-tac  $x=\text{drop}(\text{Suc } i) a$  in exI)
  apply (rule-tac  $x=\text{take } j b$  in exI)
  apply (rule-tac  $x=\text{drop}(\text{Suc } j) b$  in exI)
  apply (rule-tac  $x=n$  in exI)
  apply (auto simp add: min-j)
  using assms hyp splits-at-def apply blast
  using assms hyp splits-at-def apply blast
  using n apply blast
  using EF n apply simp
  using F-def apply simp
  using derivation-ge-shift apply blast
  using F-def derivation-shift-right-left-cancel apply simp
  using EF apply blast
  using n apply arith
  using is-word-Suc-j-b take-Suc-j-b-decompose is-word-append apply simp+
  done
qed

```

lemma LeftDerivation-breakdown': $\text{LeftDerivation}(u @ v) D w \implies$

$$\exists n w1 w2.$$

$$n \leq \text{length } D \wedge$$

$$w = w1 @ w2 \wedge$$

$$\text{LeftDerivation } u (\text{take } n D) w1 \wedge$$

$$\text{derivation-ge}(\text{drop } n D) (\text{length } w1) \wedge$$

$$\text{LeftDerivation } v (\text{derivation-shift}(\text{drop } n D) (\text{length } w1) 0) w2$$

proof –

```

assume hyp:  $\text{LeftDerivation}(u @ v) D w$ 
from LeftDerivation-breakdown[OF hyp] obtain n w1 w2 where breakdown:
   $w = w1 @ w2 \wedge$ 
   $\text{LeftDerivation } u (\text{take } n D) w1 \wedge$ 
   $\text{derivation-ge}(\text{drop } n D) (\text{length } w1) \wedge$ 
   $\text{LeftDerivation } v (\text{derivation-shift}(\text{drop } n D) (\text{length } w1) 0) w2$  by blast
obtain m where m:  $m = \min(\text{length } D) n$  by blast
have take-m:  $\text{take } m D = \text{take } n D$  using m is-prefix-take take-prefix by fastforce
have drop-m:  $\text{drop } m D = \text{drop } n D$ 
  by (metis append-eq-conv-conj append-take-drop-id length-take m)
have m-bound:  $m \leq \text{length } D$  by (simp add: m)
show ?thesis
  apply (rule-tac  $x=m$  in exI)

```

```

apply (rule-tac x=w1 in exI)
apply (rule-tac x=w2 in exI)
using breakdown m-bound take-m drop-m by auto
qed

lemma LeftDerives1-append-replace-in-left:
assumes ld1: LeftDerives1 ( $\alpha @ \delta$ ) i r  $\beta$ 
assumes i-bound:  $i < \text{length } \alpha$ 
shows  $\exists \alpha'. \beta = \alpha' @ \delta \wedge \text{LeftDerives1 } \alpha \ i \ r \ \alpha' \wedge i + \text{length } (\text{snd } r) \leq \text{length } \alpha'$ 
proof -
obtain  $\alpha'$  where  $\alpha': \alpha' = (\text{take } i \ \alpha) @ (\text{snd } r) @ (\text{drop } (i+1) \ \alpha)$  by blast
have fst-r:  $\text{fst } r = \alpha ! \ i$ 
proof -
have  $\forall ss \ n \ p \ ssa. \neg \text{LeftDerives1 } ss \ n \ p \ ssa \vee \text{Derives1 } ss \ n \ p \ ssa$ 
using LeftDerives1-implies-Derives1 by blast
then have Derives1 ( $\alpha @ \delta$ ) i r  $\beta$ 
using ld1 by blast
then show ?thesis
using Derives1-nonterminal i-bound splits-at-def by auto
qed
have Derives1  $\alpha \ i \ r \ \alpha'$ 
using i-bound ld1
apply (auto simp add:  $\alpha' \text{Derives1-def}$ )
apply (rule-tac x=take i  $\alpha$  in exI)
apply (rule-tac x=drop (i+1)  $\alpha$  in exI)
apply (rule-tac x=fst r in exI)
apply auto
apply (simp add: fst-r)
using id-take-nth-drop apply blast
using Derives1-sentence1 LeftDerives1-implies-Derives1 is-sentence-concat
is-sentence-take apply blast
apply (metis Derives1-sentence1 LeftDerives1-implies-Derives1 append-take-drop-id

is-sentence-concat)
using Derives1-rule LeftDerives1-implies-Derives1 by blast
then have leftderives1- $\alpha-\alpha'$ : LeftDerives1  $\alpha \ i \ r \ \alpha'$ 
using LeftDerives1-def i-bound ld1 leftmost-cons-less by auto
have i-bound- $\alpha'$ :  $i + \text{length } (\text{snd } r) \leq \text{length } \alpha'$ 
using  $\alpha' \text{i-bound}$ 
by (simp add: add-mono-thms-linordered-semiring(2) le-add1 less-or-eq-imp-le
min.absorb2)
have is-sentence- $\delta$ : is-sentence  $\delta$ 
using Derives1-sentence1 LeftDerives1-implies-Derives1 is-sentence-concat ld1
by blast
then have  $\beta: \beta = \alpha' @ \delta$ 
using ld1 leftderives1- $\alpha-\alpha'$  Derives1-append-suffix Derives1-unique-dest
LeftDerives1-implies-Derives1 by blast
show ?thesis
apply (rule-tac x= $\alpha'$  in exI)

```

using β *i-bound- α'* *leftderives1- $\alpha-\alpha'$* **by** *blast*
qed

lemma *LeftDerivationIntro-propagate*:

assumes *intro*: *LeftDerivationIntro* ($\alpha @ \delta$) $i r ix D j \gamma$

assumes *i- α* : $i < length \alpha$

assumes *non*: *is-nonterminal* ($\gamma ! j$)

shows $\exists \omega$. *LeftDerivation* $\alpha ((i,r)\#D) \omega \wedge \gamma = \omega @ \delta \wedge j < length \omega$

proof –

from *intro LeftDerivationIntro-def[where* $\alpha=\alpha @ \delta$ **and** $i=i$ **and** $r=r$ **and** $ix=ix$

and $D=D$ **and**

$j=j$ **and** $\gamma=\gamma$]

obtain β **where** *ld- β* : *LeftDerives1* ($\alpha @ \delta$) $i r \beta$ **and**

$ix: ix < length (snd r) \wedge snd r ! ix = \gamma ! j$ **and**

β -fix: *LeftDerivationFix* $\beta (i + ix) D j \gamma$

by *blast*

from *LeftDerives1-append-replace-in-left[OF ld- β i- α]*

obtain α' **where** $\alpha': \beta = \alpha' @ \delta \wedge \text{LeftDerives1 } \alpha i r \alpha' \wedge i + length (snd r)$

$\leq length \alpha'$

by *blast*

have *i-plus-ix-bound*: $i + ix < length \alpha'$ **using** α' *ix* **by** *linarith*

have *ld- γ* : *LeftDerivationFix* ($\alpha' @ \delta$) $(i + ix) D j \gamma$

using β -fix α' **by** *simp*

then have *non-i-ix*: *is-nonterminal* ($((\alpha' @ \delta) ! (i + ix))$)

by (*simp add: LeftDerivationFix-def non*)

from *LeftDerivationFix-splits-at-nonterminal[OF ld- γ non-i-ix]*

obtain $U a1 a2 b1$ **where** U :

$splits-at (\alpha' @ \delta) (i + ix) a1 U a2 \wedge splits-at \gamma j b1 U a2 \wedge \text{LeftDerivation } a1 D b1$

by *blast*

have $\exists q. a2 = q @ \delta \wedge \alpha' = a1 @ [U] @ q$

using *splits-at-append-suffix-prevails[OF - i-plus-ix-bound, where* $b=\delta$] *U* **by** *blast*

then obtain q **where** $q: a2 = q @ \delta \wedge \alpha' = a1 @ [U] @ q$ **by** *blast*

show ?thesis

apply (*rule-tac* $x=b1@[U]@q$ **in** *exI*)

apply *auto*

apply (*rule-tac* $x=\alpha'$ **in** *exI*)

apply (*metis LeftDerivationFix-def LeftDerivation-append-suffix* $U \alpha'$

$q append\text{-}Cons append\text{-}Nil is\text{-}sentence\text{-}concat ld- γ)$

using $U q$ *splits-at-combine* **apply** *auto[1]*

using U *splits-at-def* **by** *auto*

qed

lemma *LeftDerivationIntro-finish*:

assumes *intro*: *LeftDerivationIntro* ($\alpha @ \delta$) $i r ix D j \gamma$

assumes *i- α* : $i < length \alpha$

shows $\exists k \omega \delta'.$

$k \leq length D \wedge$

$\text{LeftDerivation } \alpha ((i, r) \# (\text{take } k D)) \omega \wedge$
 $\text{LeftDerivation } (\alpha @ \delta) ((i, r) \# (\text{take } k D)) (\omega @ \delta) \wedge$
 $\text{derivation-ge } (\text{drop } k D) (\text{length } \omega) \wedge$
 $\text{LeftDerivation } \delta (\text{derivation-shift } (\text{drop } k D) (\text{length } \omega) 0) \delta' \wedge$
 $\gamma = \omega @ \delta' \wedge j < \text{length } \omega$

proof –

from intro LeftDerivationIntro-def [**where** $\alpha = \alpha @ \delta$ **and** $i = i$ **and** $r = r$ **and** $ix = ix$ **and** $D = D$ **and**
 $j = j$ **and** $\gamma = \gamma$]

obtain β **where** ld- β : LeftDerives1 $(\alpha @ \delta) i r \beta$ **and**
 $ix: ix < \text{length } (\text{snd } r) \wedge \text{snd } r ! ix = \gamma ! j$ **and**
 $\beta\text{-fix}: \text{LeftDerivationFix } \beta (i + ix) D j \gamma$
by blast

from LeftDerives1-append-replace-in-left[*OF* ld- β $i\text{-}\alpha$]

obtain α' **where** $\alpha': \beta = \alpha' @ \delta \wedge \text{LeftDerives1 } \alpha i r \alpha' \wedge i + \text{length } (\text{snd } r) \leq \text{length } \alpha'$
by blast

have $i\text{-plus-}ix\text{-bound}: i + ix < \text{length } \alpha'$ **using** $\alpha' ix$ **by** linarith

have $ld\text{-}\gamma$: LeftDerivationFix $(\alpha' @ \delta) (i + ix) D j \gamma$
using $\beta\text{-fix } \alpha'$ **by** simp

from LeftDerivationFix-splits-at-symbol[*OF* ld- γ]

obtain $U a1 a2 b1 b2 n$ **where** U :
 $splits\text{-at } (\alpha' @ \delta) (i + ix) a1 U a2 \wedge$
 $splits\text{-at } \gamma j b1 U b2 \wedge$
 $n \leq \text{length } D \wedge$
 $\text{LeftDerivation } a1 (\text{take } n D) b1 \wedge$
 $\text{derivation-ge } (\text{drop } n D) (\text{Suc } (\text{length } b1)) \wedge$
 $\text{LeftDerivation } a2 (\text{derivation-shift } (\text{drop } n D) (\text{Suc } (\text{length } b1)) 0) b2 \wedge$
 $(n = \text{length } D \vee n < \text{length } D \wedge \text{is-word } (b1 @ [U]))$
by blast

have $n\text{-bound}: n \leq \text{length } D$ **using** U **by** blast

have $\exists q. a2 = q @ \delta \wedge \alpha' = a1 @ [U] @ q$
using splits-at-append-suffix-prevails[*OF* - $i\text{-plus-}ix\text{-bound}$, **where** $b = \delta$] U **by**
 blast

then obtain q **where** $q: a2 = q @ \delta \wedge \alpha' = a1 @ [U] @ q$ **by** blast

have $j: j = \text{length } b1$
using U **by** (simp add: dual-order.strict-implies-order min.absorb2 splits-at-def)

have $n = \text{length } D \vee n < \text{length } D \wedge \text{is-word } (b1 @ [U])$ **using** U **by** blast

then show ?thesis

proof (induct rule: disjCases2)

case 1

from 1 **have** drop-n-D: $\text{drop } n D = []$ **by** (simp add: U)

then have LeftDerivation a2 [] b2 **using** U **by** simp

then have a2-eq-b2: $a2 = b2$ **by** simp

show ?case

apply (rule-tac $x = n$ **in** exI)

apply (rule-tac $x = b1 @ [U] @ q$ **in** exI)

apply (rule-tac $x = \delta$ **in** exI)

apply auto

```

apply (simp add: 1)
apply (rule-tac x=α' in exI)
apply (metis LeftDerivationFix-is-sentence LeftDerivation-append-suffix U
α'
append-Cons append-Nil is-sentence-concat ld-γ q)
apply (rule-tac x=α' @ δ in exI)
apply (metis 1.hyps LeftDerivationFix-def U α' a2-eq-b2 id-take-nth-drop
ld-β
ld-γ q splits-at-def take-all)
apply (simp add: drop-n-D)+)
apply (metis U a2-eq-b2 id-take-nth-drop q splits-at-def)
using j apply arith
done

next
case 2
obtain E where E: E = (derivation-shift (drop n D) (Suc (length b1)) 0)
by blast
then have LeftDerivation (q@δ) E b2 using U q by simp
from LeftDerivation-breakdown'[OF this] obtain n' w1 w2 where w1w2:
n' ≤ length E ∧
b2 = w1 @ w2 ∧
LeftDerivation q (take n' E) w1 ∧
derivation-ge (drop n' E) (length w1) ∧
LeftDerivation δ (derivation-shift (drop n' E) (length w1) 0) w2 by blast
have length-E-D: length E = length D - n using E n-bound by simp
have n-plus-n'-bound: n + n' ≤ length D using length-E-D w1w2 n-bound
by arith
have take-breakdown: take (n + n') D = (take n D) @ (take n' (drop n D))
using take-add by blast
have q-w1: LeftDerivation q (take n' E) w1 using w1w2 by blast
have isw: is-word (b1 @ [U]) using 2 by blast
have take-n': take n' (drop n D) = derivation-shift (take n' E) 0 (Suc (length
b1))
by (metis E U derivation-shift-left-right-cancel take-derivation-shift)
have α'-derives-b1-U-w1: LeftDerivation α' (take (n + n') D) (b1 @ U #
w1)
apply (subst take-breakdown)
apply (rule-tac LeftDerivation-implies-append[where b=b1@[U]@q])
apply (metis LeftDerivationFix-is-sentence LeftDerivation-append-suffix U
is-sentence-concat ld-γ q)
apply (simp add: take-n')
by (rule LeftDerivation-append-prefix[OF q-w1, where u = b1@[U], OF
isw, simplified])
have dge: derivation-ge (drop (n + n') D) (Suc (length b1 + length w1))
proof -
have derivation-ge (drop n' (drop n D)) (length b1 + 1 + length w1)
by (metis (no-types) E Suc-eq-plus1 U append-take-drop-id derivation-ge-append derivation-ge-shift-plus drop-derivation-shift w1w2)
then show derivation-ge (drop (n + n') D) (Suc (length b1 + length w1))

```

```

by (metis (no-types) Suc-eq-plus1 add.commute drop-drop semiring-normalization-rules(23))
qed
show ?case
  apply (rule-tac x=n+n' in exI)
  apply (rule-tac x=b1 @ [U] @ w1 in exI)
  apply (rule-tac x=w2 in exI)
  apply auto
  using n-plus-n'-bound apply simp
  apply (rule-tac x=α' in exI)
  using α' α'-derives-b1-U-w1 apply blast
  apply (rule-tac x=α' @ δ in exI)
    apply (metis Cons-eq-appendI LeftDerivationFix-is-sentence LeftDerivation-
  append-suffix
    α' α'-derives-b1-U-w1 append-assoc is-sentence-concat ld-β ld-γ)
  apply (rule dge)
  apply (metis E Suc-eq-plus1 add.commute derivation-shift-0-shift drop-derivation-shift

    drop-drop w1w2)
  using U splits-at-combine w1w2 apply auto[1]
  by (simp add: j)
qed
qed

lemma LeftDerivationLadder-propagate:
  LeftDerivationLadder (α@δ) D L γ ⟹ ladder-i L 0 < length α ⟹ n = ladder-n
  L index
  ⟹ index < length L ⟹
    if (index + 1 < length L) then
      (exists β. LeftDerivation α (take n D) β ∧ ladder-γ (α@δ) D L index = β@δ ∧
        ladder-j L index < length β)
    else
      (exists n' β δ'. (index = 0 ∨ ladder-prev-n L index < n') ∧ n' ≤ n ∧ LeftDerivation
      α (take n' D) β ∧
        LeftDerivation (α@δ) (take n' D) (β@δ) ∧
        derivation-ge (drop n' D) (length β) ∧
        LeftDerivation δ (derivation-shift (drop n' D) (length β) 0) δ' ∧
        ladder-γ (α@δ) D L index = β@δ' ∧ ladder-j L index < length β)
proof (induct index arbitrary: n)
  case 0
  have ldfix:
    LeftDerivationFix (α@δ) (ladder-i L 0) (take n D) (ladder-j L 0) (ladder-γ
    (α@δ) D L 0)
    using 0.prems(1) 0.prems(3) LeftDerivationLadder-def by blast
  from 0 have 1 < length L ∨ 1 = length L by arith
  then show ?case
  proof (induct rule: disjCases2)
    case 1
    have LeftDerivationIntrosAt (α@δ) D L 1
    using 0.prems(1) 1.hyps LeftDerivationIntros-def LeftDerivationLadder-def

```

```

by blast
from LeftDerivationIntrosAt-implies-nonterminal[OF this]
have is-nonterminal (ladder- $\gamma$  ( $\alpha @ \delta$ ) D L 0 ! ladder-j L 0)
  by (simp add: ladder- $\alpha$ -def ladder-i-def)
  with ldfix have is-nonterminal (( $\alpha @ \delta$ ) ! (ladder-i L 0)) by (simp add: Left-
DerivationFix-def)
from LeftDerivationFix-splits-at-nonterminal[OF ldfix this] obtain U a1 a2 b
where thesplit:
  splits-at ( $\alpha @ \delta$ ) (ladder-i L 0) a1 U a2 ∧
  splits-at (ladder- $\gamma$  ( $\alpha @ \delta$ ) D L 0) (ladder-j L 0) b U a2 ∧
  LeftDerivation a1 (take n D) b by blast
have  $\exists \delta'. a2 = \delta' @ \delta \wedge \alpha = a1 @ [U] @ \delta'$ 
using thesplit splits-at-append-suffix-prevails using 0.prems(2) by blast
then obtain  $\delta'$  where  $\delta': a2 = \delta' @ \delta \wedge \alpha = a1 @ ([U] @ \delta')$  by blast
obtain  $\beta$  where  $\beta: \beta = b @ ([U] @ \delta')$  by blast
have is-sentence  $\alpha$  using LeftDerivationFix-is-sentence is-sentence-concat ldfix
by blast
then have is-sentence ([U] @  $\delta'$ ) using  $\delta'$  is-sentence-concat by blast
with  $\delta'$  thesplit have LeftDerivation (a1 @ ([U] @  $\delta'$ )) (take n D) (b @ ([U]
@  $\delta'$ ))
  using LeftDerivation-append-suffix by blast
then have  $\alpha$ -derives- $\beta$ : LeftDerivation  $\alpha$  (take n D)  $\beta$  using  $\beta$   $\delta'$  by blast
have  $\beta$ -append- $\delta$ : ladder- $\gamma$  ( $\alpha @ \delta$ ) D L 0 =  $\beta @ \delta$ 
  by (metis  $\beta$   $\delta'$  append-assoc splits-at-combine thesplit)
have ladder-j-bound: ladder-j L 0 < length  $\beta$ 
  by (metis One-nat-def  $\beta$  diff-add-inverse dual-order.strict-implies-order leD
le-add1
  length-Cons length-append length-take list.size(3) min.absorb2 neq0-conv
splits-at-def
  thesplit zero-less-diff zero-less-one)
show ?case
  using 1 apply simp
  apply (rule-tac x= $\beta$  in exI)
  by (auto simp add:  $\alpha$ -derives- $\beta$   $\beta$ -append- $\delta$  ladder-j-bound)
next
case 2
note case-2 = 2
have n-def:  $n = \text{length } D$ 
by (metis 0.prems(1) 0.prems(3) 2.hyps LeftDerivationLadder-def One-nat-def

diff-Suc-1 is-ladder-def ladder-last-n-intro)
then have take-n-D: take n D = D by (simp add: eq-imp-le)
from LeftDerivationFix-splits-at-symbol[OF ldfix] obtain U a1 a2 b1 b2 m
where U:
  splits-at ( $\alpha @ \delta$ ) (ladder-i L 0) a1 U a2 ∧
  splits-at (ladder- $\gamma$  ( $\alpha @ \delta$ ) D L 0) (ladder-j L 0) b1 U b2 ∧
   $m \leq \text{length} (\text{take } n D)$  ∧
  LeftDerivation a1 (take m (take n D)) b1 ∧
  derivation-ge (drop m (take n D)) (Suc (length b1)) ∧

```

```

LeftDerivation a2 (derivation-shift (drop m (take n D)) (Suc (length b1)) 0)
b2 ∧
  (m = length (take n D) ∨ (m < length (take n D) ∧ is-word (b1 @ [U])))
by blast
obtain D' where D': D' = derivation-shift (drop m D) (Suc (length b1)) 0 by
blast
then have a2-derives-b2: LeftDerivation a2 D' b2 using U take-n-D by auto
from U have m-leq-n: m ≤ n
  by (simp add: 0.prems(1) 0.prems(3) 0.prems(4) LeftDerivationLadder-def
is-ladder-def
min.absorb2)
from U have splits-at (α @ δ) (ladder-i L 0) a1 U a2 by blast
from splits-at-append-suffix-prevails[OF this 0(2)] obtain v' where
  v': a2 = v' @ δ ∧ α = a1 @ [U] @ v' by blast
have a1-derives-b1: LeftDerivation a1 (take m D) b1 using m-leq-n U
  by (metis 0.prems(1) 0.prems(3) 2.hyps LeftDerivationLadder-def One-nat-def

cancel-comm-monoid-add-class.diff-cancel is-ladder-def ladder-last-n-intro
order-refl
take-all)
have LeftDerivation (v' @ δ) D' b2 using a2-derives-b2 v' by simp
from LeftDerivation-breakdown'[OF this] obtain m' w1 w2 where w12:
  b2 = w1 @ w2 ∧
  m' ≤ length D' ∧
  LeftDerivation v' (take m' D') w1 ∧
  derivation-ge (drop m' D') (length w1) ∧
  LeftDerivation δ (derivation-shift (drop m' D') (length w1) 0) w2 by blast
have length D' ≤ length D - m by (simp add: D')
then have m' ≤ length D - m using w12 dual-order.trans by blast
then have m-m'-leq-n: m + m' ≤ n using n-def m-leq-n le-diff-conv2 add.commute
  by linarith
obtain β where β: β = b1 @ ([U] @ w1) by blast
have is-sentence ([U] @ v')
  using LeftDerivationFix-is-sentence is-sentence-concat ldfix v' by blast
then have LeftDerivation (a1 @ ([U] @ v')) (take m D) (b1 @ ([U] @ v'))
  using LeftDerivation-append-suffix a1-derives-b1 by blast
then have α-derives-pre-β: LeftDerivation α (take m D) (b1 @ ([U] @ v'))
  using v' by blast
have m = n ∨ (m < n ∧ is-word (b1 @ [U]))
  using U n-def[symmetric] take-n-D by simp
then have pre-β-derives-β: LeftDerivation (b1 @ ([U] @ v')) (take m' (drop m
D)) β
proof (induct rule: disjCases2)
  case 1
    then have m' = 0 using m-m'-leq-n by arith
    then show ?case
      apply (simp add: β)
      using w12 by simp

```

```

next
case 2
  then have is-word-prefix: is-word (b1 @ [U]) by blast
  have take-drop-eq: take m' (drop m D) = derivation-shift (take m' D')
    0 (length (b1 @ [U]))
    apply (simp add: D' take-derivation-shift)
    by (metis U derivation-shift-left-right-cancel take-derivation-shift take-n-D)
  have v'-derives-w1: LeftDerivation v' (take m' D') w1
    by (simp add: w12)
  with is-word-prefix have
    LeftDerivation ((b1 @ [U]) @ v') (derivation-shift (take m' D')
      0 (length (b1 @ [U]))) ((b1 @ [U]) @ w1)
    using LeftDerivation-append-prefix by blast
    with take-drop-eq show ?case by (simp add: β)
  qed
  have (take m D) @ (take m' (drop m D)) = (take (m + m') D)
    by (simp add: take-add)
  then have α-derives-β: LeftDerivation α (take (m + m') D) β
    using LeftDerivation-implies-append α-derives-pre-β pre-β-derives-β by fast-
  force
  have derivation-ge-drop-m-m': derivation-ge (drop (m + m') D) (length β)
  proof -
    have f1: drop m' (drop m D) = drop (m + m') D
      by (simp add: add.commute)
    have derivation-ge (drop m' (drop m D)) (Suc (length b1))
      by (metis (no-types) U append-take-drop-id derivation-ge-append take-n-D)
    then show derivation-ge (drop (m + m') D) (length β)
      using f1 by (metis (no-types) D' β append-assoc derivation-ge-shift-plus
        drop-derivation-shift length-append length-append-singleton w12)
  qed
  have δ-derives-w2: LeftDerivation δ (derivation-shift (drop (m + m') D) (length
  β) 0) w2
  proof -
    have derivation-shift (drop m' D') (length w1) 0 = derivation-shift (drop
    (m + m') D) (length β) 0
      by (simp add: D' β add.commute derivation-shift-0-shift drop-derivation-shift)
      then show LeftDerivation δ (derivation-shift (drop (m + m') D) (length β)
    0) w2
      using w12 by presburger
  qed
  have ladder-γ-def: ladder-γ (α @ δ) D L 0 = β @ w2
    using U β splits-at-combine w12 by auto
  have ladder-j-bound: ladder-j L 0 < length β using U β splits-at-def by auto
  show ?case
    using 2 apply simp
    apply (rule-tac x=m + m' in exI)
    apply (auto simp add: m-m'-leq-n)
    apply (rule-tac x=β in exI)
    apply (auto simp add: α-derives-β)

```

```

using LeftDerivationFix-is-sentence LeftDerivation-append-suffix  $\alpha$ -derives- $\beta$ 

is-sentence-concat ldfix apply blast
using derivation-ge-drop-m-m' apply blast
apply (rule-tac  $x=w2$  in exI)
apply auto
using  $\delta$ -derives- $w2$  apply blast
using ladder- $\gamma$ -def apply blast
using ladder-j-bound apply blast
done
qed
next
case (Suc index)
have step: LeftDerivationIntrosAt ( $\alpha @ \delta$ ) D L (Suc index)
by (metis LeftDerivationIntros-def LeftDerivationLadder-def Suc.prems(1) Suc.prems(4)

Suc-eq-plus1-left le-add1)
have index-plus-1-bound: index + 1 < length L
  using Suc.prems(4) by linarith
then have index-bound: index < length L by arith
obtain n' where n': n' = ladder-n L index by blast
from Suc.hyps[OF Suc.prems(1) Suc.prems(2) n' index-bound] index-plus-1-bound

have  $\exists \alpha'. \text{LeftDerivation } \alpha (\text{take } n' D) \alpha' \wedge$ 
  ladder- $\gamma$  ( $\alpha @ \delta$ ) D L index =  $\alpha' @ \delta \wedge \text{ladder-}j L \text{ index} < \text{length } \alpha'$ 
  by auto
then obtain  $\alpha'$  where  $\alpha': \text{LeftDerivation } \alpha (\text{take } n' D) \alpha' \wedge$ 
  ladder- $\gamma$  ( $\alpha @ \delta$ ) D L index =  $\alpha' @ \delta \wedge \text{ladder-}j L \text{ index} < \text{length } \alpha'$ 
  by blast
have Suc-index-bound: Suc index < length L using Suc.prems by auto
have is-ladder: is-ladder D L using Suc.prems LeftDerivationLadder-def by auto

have n-def: n = ladder-n L (Suc index)
  using Suc-index-bound n' by (simp add: Suc.prems(3))
with n' have n-less-n: n' < n using is-ladder Suc-index-bound is-ladder-def
lessI by blast
have ladder-alpha-is-gamma: ladder-alpha ( $\alpha @ \delta$ ) D L (Suc index) = ladder-gamma ( $\alpha @ \delta$ ) D L index
  by (simp add: ladder-alpha-def)
obtain i where i: i = ladder-i L (Suc index) by blast
obtain e where e: e = (D ! n') by blast
obtain E where E: E = drop (Suc n') (take n D) by blast
obtain ix where ix: ix = ladder-ix L (Suc index) by blast
obtain j where j: j = ladder-j L (Suc index) by blast
obtain gamma where gamma: gamma = ladder-gamma ( $\alpha @ \delta$ ) D L (Suc index) by blast
have intro: LeftDerivationIntro ( $\alpha' @ \delta$ ) i (snd e) ix E j gamma
  by (metis E LeftDerivationIntrosAt-def alpha' gamma ladder-alpha-is-gamma
    diff-Suc-1 e i ix j local.step n' n-def)
have is-eq-fst-e: i = fst e
  by (metis LeftDerivationIntrosAt-def diff-Suc-1 e i local.step n')

```

```

have i-less- $\alpha'$ :  $i < \text{length } \alpha'$  using  $i \alpha' \text{ladder-}i\text{-def}$  by simp
have ( $\text{Suc } \text{index}$ ) + 1 <  $\text{length } L \vee (\text{Suc } \text{index}) + 1 = \text{length } L$ 
  using Suc-index-bound by arith
then show ?case
proof (induct rule: disjCases2)
  case 1
    from 1 have LeftDerivationIntrosAt ( $\alpha @ \delta$ ) D L ( $\text{Suc } (\text{Suc } \text{index})$ )
      by (metis LeftDerivationIntros-def LeftDerivationLadder-def Suc.prems(1)
           Suc-eq-plus1 Suc-eq-plus1-left le-add1)
    from LeftDerivationIntrosAt-implies-nonterminal[OF this] have
      is-nonterminal ( $\text{ladder-} \alpha (\alpha @ \delta) D L (\text{Suc } (\text{Suc } \text{index})) ! \text{ladder-}i L (\text{Suc } (\text{Suc } \text{index}))$ )
      by blast
    then have non- $\gamma$ - $j$ : is-nonterminal ( $\gamma ! j$ ) by (simp add:  $\gamma j \text{ladder-} \alpha\text{-def ladder-}i\text{-def}$ )
    from LeftDerivationIntro-propagate[OF intro i-less- $\alpha'$  non- $\gamma$ - $j$ ]
    obtain  $\omega$  where  $\omega: \text{LeftDerivation } \alpha' ((i, \text{snd } e) \# E) \omega \wedge \gamma = \omega @ \delta \wedge j < \text{length } \omega$ 
      by blast
    have  $\alpha \cdot \omega: \text{LeftDerivation } \alpha ((\text{take } n' D) @ ((i, \text{snd } e) \# E)) \omega$ 
      using  $\alpha' \omega \text{ LeftDerivation-implies-append}$  by blast
    have i-e:  $(i, \text{snd } e) = e$  by (simp add: is-eq-fst-e)
    have take-n-D-e:  $((\text{take } n' D) @ (e \# E)) = \text{take } n D$ 
    proof -
      have f2: ladder-last-n L = length D
        using is-ladder is-ladder-def by blast
      have f3: min (ladder-last-n L) n = n
        using is-ladder-def
      by (metis (no-types) Suc-eq-plus1 index-plus-1-bound is-ladder min.absorb2
          n-def)
    then have take n' (take n D) @ take n D ! n' # E = take n D
      using f2 by (metis E id-take-nth-drop length-take n'-less-n)
    then show ?thesis
      using f3 f2 by (metis (no-types) append-assoc append-eq-conv-conj
                     dual-order.strict-implies-order e length-take min.absorb2 n'-less-n
                     nth-append)
    qed
  from 1 show ?case
    apply auto
    apply (rule-tac x= $\omega$  in exI)
    apply auto
    using  $\alpha \cdot \omega$  i-e take-n-D-e apply auto[1]
    using  $\gamma \omega$  apply blast
    using  $\omega j$  by blast
  next
    case 2
    from LeftDerivationIntro-finish[OF intro i-less- $\alpha'$ ] obtain k  $\omega \delta'$  where  $k \omega \delta' :$ 
       $k \leq \text{length } E \wedge$ 
       $\text{LeftDerivation } \alpha' ((i, \text{snd } e) \# \text{take } k E) \omega \wedge$ 

```

```

LeftDerivation ( $\alpha' @ \delta$ ) (( $i, snd e$ ) # take  $k E$ ) ( $\omega @ \delta$ )  $\wedge$ 
derivation-ge (drop  $k E$ ) (length  $\omega$ )  $\wedge$ 
LeftDerivation  $\delta$  (derivation-shift (drop  $k E$ ) (length  $\omega$ ) 0)  $\delta'$   $\wedge$ 
 $\gamma = \omega @ \delta' \wedge j < length \omega$  by blast
have ladder-last-n-1: ladder-last-n  $L = n$ 
by (metis 2.hyps Suc-eq-plus1 diff-Suc-1 ladder-last-n-def n-def)
from is-ladder have ladder-last-n-2: ladder-last-n  $L = length D$ 
using is-ladder-def by blast
from ladder-last-n-1 ladder-last-n-2 have n-eq-length-D:  $n = length D$  by blast

have take-split: take (Suc (n' + k))  $D = (take n' D) @ ((i, snd e) # take k E)$ 
apply (simp add: E n-eq-length-D)
by (metis (no-types, lifting) Cons-eq-appendI add-Suc append-eq-appendI e
is-eq-fst-e n'-less-n n-eq-length-D prod.collapse
self-append-conv2 take-Suc-conv-app-nth take-add)
have  $\alpha \cdot \omega$ : LeftDerivation  $\alpha$  (take (Suc (n' + k))  $D$ )  $\omega$ 
apply (subst take-split)
apply (rule LeftDerivation-implies-append[where b= $\alpha'$ ])
apply (simp add:  $\alpha'$ )
using kw $\delta'$  by blast
have Suc-n'-k-bound: Suc (n' + k)  $\leq n$  using E kw $\delta'$  n'-less-n by auto[1]
from 2 show ?case
apply auto
apply (rule-tac x=Suc (n' + k) in exI)
apply auto
apply (simp add: ladder-prev-n-def n')
using Suc-n'-k-bound apply blast
apply (rule-tac x= $\omega$  in exI)
apply auto
using  $\alpha \cdot \omega$  apply blast
using  $\alpha \cdot \omega$  LeftDerivationFix-def LeftDerivationLadder-def LeftDerivation-append-suffix

Suc.preds(1) is-sentence-concat apply auto[1]
apply (metis E add.commute add-Suc-right drop-drop kw $\delta'$  n-eq-length-D
nat-le-linear
take-all)
apply (rule-tac x= $\delta'$  in exI)
apply auto
apply (metis E LeftDerivationLadder-n-bound Suc.preds(1) Suc-index-bound

add.commute add-Suc-right drop-drop kw $\delta'$  n-def n-eq-length-D take-all)
using  $\gamma$  kw $\delta'$  apply blast
using j kw $\delta'$  by blast
qed
qed

lemma ladder-i-of-cut-at-0:
assumes L-non-empty:  $L \neq []$ 
shows ladder-i (ladder-cut L n) 0 = ladder-i L 0

```

```

proof -
  have length L ≠ 0 using L-non-empty by auto
  then have length L = 1 ∨ length L > 1 by arith
  then show ?thesis
  proof (induct rule: disjCases2)
    case 1
      then show ?case
      apply (simp add: ladder-cut-def ladder-i-def deriv-i-def)
      by (simp add: assms hd-conv-nth)
    next
    case 2
      then show ?case
      apply (simp add: ladder-cut-def ladder-i-def deriv-i-def)
      by (metis diff-is-0-eq hd-conv-nth leD list-update-nonempty nth-list-update-neq)
    qed
  qed

lemma ladder-last-j-of-cut:
  assumes L-non-empty: L ≠ []
  shows ladder-last-j (ladder-cut L n) = ladder-last-j L
proof -
  have length-L-nonzero: length L ≠ 0 using L-non-empty by auto
  then have length-ladder-cut: length (ladder-cut L n) = length L
  by (metis ladder-cut-def length-list-update)
  show ?thesis
  apply (simp add: ladder-last-j-def length-ladder-cut)
  apply (simp add: ladder-cut-def ladder-j-def deriv-j-def)
  by (metis length-L-nonzero diff-less neq0-conv nth-list-update-eq snd-conv zero-less-Suc)
qed

lemma length-ladder-cut:
  assumes L-non-empty: L ≠ []
  shows length (ladder-cut L n) = length L
  by (metis ladder-cut-def length-list-update)

lemma ladder-last-n-of-cut:
  assumes L-non-empty: L ≠ []
  shows ladder-last-n (ladder-cut L n) = n
proof -
  show ?thesis
  apply (simp add: ladder-last-n-def length-ladder-cut[OF L-non-empty])
  apply (simp add: ladder-n-def ladder-cut-def deriv-n-def)
  by (metis assms diff-Suc-less fst-conv length-greater-0-conv nth-list-update-eq)
qed

lemma ladder-n-of-cut:
  assumes L-non-empty: L ≠ []
  assumes index < length L - 1
  shows ladder-n (ladder-cut L n) index = ladder-n L index

```

```

by (metis assms(2) ladder-cut-def ladder-n-def nat-neq-iff nth-list-update-neq)

lemma ladder-n-prev-bound:
  assumes ladder: is-ladder D L
  assumes u-bound: u < length L - 1
  shows ladder-n L u ≤ ladder-prev-n L (length L - 1)
proof -
  have ladder-n L u ≤ ladder-n L (length L - 2)
  proof -
    have u < Suc (length L - 2)
    using u-bound by linarith
    then show ?thesis
    by (metis (no-types) diff-Suc-less is-ladder-def ladder leI length-greater-0-conv
        not-less-eq numeral-2-eq-2 order.order-iff-strict)
  qed
  then show ?thesis
  by (metis One-nat-def Suc-diff-Suc diff-Suc-1 ladder-prev-n-def neq0-conv not-less0
      numeral-2-eq-2 u-bound zero-less-diff)
qed

lemma ladder-n-last-is-length:
  assumes is-ladder D L
  shows ladder-n L (length L - 1) = length D
  using assms is-ladder-def ladder-last-n-intro by auto

lemma derivation-ge-shift-implies-derivation-ge:
  assumes dge: derivation-ge (derivation-shift F 0 j) k
  shows derivation-ge F (k - j)
proof -
  have ⋀ i r. (i, r) ∈ set (derivation-shift F 0 j) ⟹ i ≥ k
  using dge derivation-ge-def by auto
  {
    fix i :: nat
    fix r :: symbol × (symbol list)
    assume ir: (i, r) ∈ set F
    then have (i + j, r) ∈ set (derivation-shift F 0 j)
    proof -
      have (i + j, r) ∈ (λp. (fst p - 0 + j, snd p)) ` set F
      by (metis (lifting) ir diff-zero image-eqI prod.collapse prod.inject)
      then show ?thesis
      by (simp add: derivation-shift-def)
    qed
    then have i + j ≥ k using dge derivation-ge-def by auto
    then have i ≥ k - j by auto
  }
  then show ?thesis using derivation-ge-def by auto
qed

```

```

lemma Derives1-bound': Derives1 a i r b  $\implies i \leq \text{length } b$ 
by (metis Derives1-bound Derives1-take append-Nil2 append-take-drop-id drop-eq-Nil
dual-order.strict-implies-order length-take min.absorb2 nat-le-linear)

lemma LeftDerivation-Derives1-last:
assumes LeftDerivation a D b
assumes D  $\neq []$ 
shows Derives1 (Derive a (take (length D - 1) D)) (fst (last D)) (snd (last D))
b
by (metis Derive LeftDerivation-Derive-take-LeftDerives1 LeftDerivation-implies-Derivation
LeftDerives1-implies-Derives1 assms(1) assms(2) last-conv-nth le-refl length-0-conv
take-all)

lemma last-of-prefix-in-set:
assumes n < length E
assumes D = E@F
shows last E  $\in$  set (drop n D)
proof -
have f1: last (drop n E) = last E
by (simp add: assms(1))
have drop n E  $\neq []$ 
by (metis (no-types) Cons-nth-drop-Suc assms(1) list.simps(3))
then show ?thesis
using f1 by (metis (no-types) append.simps(2) append-butlast-last-id append-eq-conv-conj
assms(2) drop-append in-set-dropD insertCI list.set(2))
qed

lemma LeftDerivationFix-cut-appendix:
assumes ldfix: LeftDerivationFix ( $\alpha @ \delta$ ) i D j ( $\beta @ \delta'$ )
assumes  $\alpha @ \beta$ : LeftDerivation  $\alpha$  (take n D)  $\beta$ 
assumes n-bound: n  $\leq$  length D
assumes dge: derivation-ge (drop n D) (length  $\beta$ )
assumes i-in: i < length  $\alpha$ 
assumes j-in: j < length  $\beta$ 
shows LeftDerivationFix  $\alpha$  i (take n D) j  $\beta$ 
proof -
from LeftDerivationFix-def [where  $\alpha = \alpha @ \delta$  and i=i and D=D and j=j and
 $\beta = \beta @ \delta'$ ]
obtain E F where EF:
is-sentence ( $\alpha @ \delta$ )  $\wedge$ 
is-sentence ( $\beta @ \delta'$ )  $\wedge$ 
LeftDerivation ( $\alpha @ \delta$ ) D ( $\beta @ \delta'$ )  $\wedge$ 
i < length ( $\alpha @ \delta$ )  $\wedge$ 
j < length ( $\beta @ \delta'$ )  $\wedge$ 
( $\alpha @ \delta$ ) ! i = ( $\beta @ \delta'$ ) ! j  $\wedge$ 
D = E @ derivation-shift F 0 (Suc j)  $\wedge$ 

```

```

LeftDerivation (take i ( $\alpha$  @  $\delta$ )) E (take j ( $\beta$  @  $\delta'$ ))  $\wedge$ 
LeftDerivation (drop (Suc i) ( $\alpha$  @  $\delta$ )) F (drop (Suc j) ( $\beta$  @  $\delta'$ )) using ldfix
by auto
with i-in j-in have take-i-E-take-j: LeftDerivation (take i  $\alpha$ ) E (take j  $\beta$ )
  by (simp add: less-or-eq-imp-le)
obtain m where m: m = length E by blast
{
  assume n-less-m: n < m
  then have E-nonempty: E ≠ [] using gr-implies-not0 list.size(3) m by auto
  have last-E-in-set: last E ∈ set (drop n D)
    using last-of-prefix-in-set n-less-m m EF by blast
  obtain k r where kr: (k, r) = last E by (metis old.prod.exhaust)
  have k-lower-bound: k ≥ length  $\beta$  using dge last-E-in-set kr
    by (metis derivation-ge-def fst-conv)
  have  $\exists \alpha'. \text{Derives1 } \alpha' k r (\text{take } j \beta)$  using LeftDerivation-Derives1-last
    take-i-E-take-j
    by (metis E-nonempty kr fst-conv snd-conv)
  then have k ≤ j by (metis Derives1-bound' j-in length-take less-imp-le-nat
    min.absorb2)
  then have k-upper-bound: k < length  $\beta$  using j-in by arith
  from k-lower-bound k-upper-bound have False by arith
}
then have m-le-n: m ≤ n by arith
have take-i-E-take-j: LeftDerivation (take i  $\alpha$ ) E (take j  $\beta$ )
  by (simp add: take-i-E-take-j)
have take n D = E @ (take (n - m) (derivation-shift F 0 (Suc j)))
  using EF m m-le-n by auto
then have take-n-D: take n D = E @ (derivation-shift (take (n - m) F) 0 (Suc
j))
  using take-derivation-shift by auto
obtain F' where F': F' = derivation-shift (take (n - m) F) 0 (Suc j) by blast

have LeftDerivation ((take i  $\alpha$ )@(drop i  $\alpha$ )) E ((take j  $\beta$ )@(drop i  $\alpha$ ))
  using take-i-E-take-j
  by (metis EF LeftDerivation-append-suffix append-take-drop-id is-sentence-concat)

then have LeftDerivation  $\alpha$  E ((take j  $\beta$ )@(drop i  $\alpha$ )) by simp
with take-n-D have take-j-drop-i: LeftDerivation ((take j  $\beta$ )@(drop i  $\alpha$ )) F'  $\beta$ 
using F'
  by (metis Derivation-unique-dest LeftDerivation-append LeftDerivation-implies-Derivation
     $\alpha$ - $\beta$ )
  have F'-ge: derivation-ge F' (Suc j) using F' derivation-ge-shift by blast
  have drop-append: drop i  $\alpha$  = [ $\alpha$ !i] @ (drop (Suc i)  $\alpha$ ) by (simp add: Cons-nth-drop-Suc
    i-in)
  have take-append: take j  $\beta$  @ [ $\alpha$ !i] = take (Suc j)  $\beta$ 
  by (metis LeftDerivationFix-def i-in j-in ldfix nth-superfluous-append take-Suc-conv-app-nth)
  have take-drop-Suc: (take j  $\beta$ )@(drop i  $\alpha$ ) = (take (Suc j)  $\beta$ )@(drop (Suc i)  $\alpha$ )
    by (simp add: drop-append take-append)
with take-drop-Suc take-j-drop-i have LeftDerivation ((take (Suc j)  $\beta$ )@(drop

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```

(Suc i) α)) F' β
  by auto
note helper = LeftDerivation-skip-prefix[OF this]
have len-take: length (take (Suc j) β) = Suc j by (simp add: Suc-leI j-in
min.absorb2)
have F'-shift: derivation-shift F' (Suc j) 0 = take (n - m) F
  using F' derivation-shift-right-left-cancel by blast
have LeftDerivation-drop: LeftDerivation (drop (Suc i) α) (take (n - m) F)
(drop (Suc j) β)
  using helper len-take F'-shift F'-ge by auto
show ?thesis
  apply (auto simp add: LeftDerivationFix-def)
  using LeftDerivationFix-is-sentence is-sentence-concat ldfx apply blast
  using LeftDerivationFix-is-sentence is-sentence-concat ldfx apply blast
  using α-β apply blast
  using i-in apply blast
  using j-in apply blast
  using LeftDerivationFix-def i-in j-in ldfx apply auto[1]
  apply (rule-tac x=E in exI)
  apply (rule-tac x=take (n - m) F in exI)
  apply auto
  using take-n-D apply blast
  using take-i-E-take-j apply blast
  using LeftDerivation-drop by blast
qed

lemma LeftDerivationFix-cut-appendix':
  assumes ldfx: LeftDerivationFix (α@δ) i D j (β@δ')
  assumes α-β: LeftDerivation α D β
  assumes i-in: i < length α
  assumes j-in: j < length β
  shows LeftDerivationFix α i D j β
proof -
  obtain n where n: n = length D by blast
  have LeftDerivationFix α i (take n D) j β
    apply (rule-tac LeftDerivationFix-cut-appendix)
    apply (rule ldfx)
    using α-β n apply auto[1]
    using n apply auto[1]
    using n apply auto[1]
    using i-in apply blast
    using j-in apply blast
    done
  then show ?thesis using n by auto
qed

lemma LeftDerivationIntro-cut-appendix:
  assumes ldfx: LeftDerivationIntro (α@δ) i r ix D j (β@δ')
  assumes α-β: LeftDerivation α ((i,r) # (take n D)) β

```

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assumes n-bound:  $n \leq \text{length } D$ 
assumes dge: derivation-ge (drop  $n$   $D$ ) ( $\text{length } \beta$ )
assumes i-in:  $i < \text{length } \alpha$ 
assumes j-in:  $j < \text{length } \beta$ 
shows LeftDerivationIntro  $\alpha \ i \ r \ ix \ (\text{take } n \ D) \ j \ \beta$ 

proof -
  from LeftDerivationIntro-def[where  $\alpha=\alpha@\delta$  and  $i=i$  and  $r=r$  and  $ix=ix$  and
 $D=D$  and  $j=j$  and  $\gamma=\beta@\delta'$ ]
  obtain  $\omega$  where  $\omega$ : LeftDerives1  $(\alpha @ \delta) \ i \ r \ \omega \wedge$ 
     $ix < \text{length } (\text{snd } r) \wedge \text{snd } r ! \ ix = (\beta @ \delta') ! \ j \wedge \text{LeftDerivationFix } \omega \ (i +$ 
 $D) \ j \ (\beta @ \delta')$ 
    using ldfx by blast
  then have  $\exists \alpha'. \omega = \alpha' @ \delta \wedge i + \text{length } (\text{snd } r) \leq \text{length } \alpha'$ 
    using i-in using LeftDerives1-append-replace-in-left by blast
  then obtain  $\alpha'$  where  $\alpha': \omega = \alpha' @ \delta \wedge i + \text{length } (\text{snd } r) \leq \text{length } \alpha'$  by blast
  have  $\alpha-\alpha'$ : LeftDerives1  $\alpha \ i \ r \ \alpha'$  using  $\alpha' \ \omega$  using LeftDerives1-skip-suffix i-in
  by blast
  from  $\alpha-\beta$  obtain  $u$  where  $u$ : LeftDerives1  $\alpha \ i \ r \ u \wedge \text{LeftDerivation } u \ (\text{take } n$ 
 $D) \ \beta$  by auto
  with  $\alpha-\alpha'$  have  $u = \alpha'$  using Derives1-unique-dest LeftDerives1-implies-Derives1
  by blast
  with  $u$  have  $\alpha'-\beta$ : LeftDerivation  $\alpha' \ (\text{take } n \ D) \ \beta$  by auto
  have ldfx-append: LeftDerivationFix  $(\alpha' @ \delta) \ (i + ix) \ D \ j \ (\beta @ \delta')$  using  $\alpha' \ \omega$ 
  by blast
  have i-plus-ix-bound:  $i + ix < \text{length } \alpha'$  using  $\omega \ \alpha'$ 
  using add-lessD1 le-add-diff-inverse less-asym' linorder-neqE-nat nat-add-left-cancel-less

  by linarith
  from LeftDerivationFix-cut-appendix[OF ldfx-append  $\alpha'-\beta$  n-bound dge i-plus-ix-bound
j-in]
  have ldfx: LeftDerivationFix  $\alpha' \ (i + ix) \ (\text{take } n \ D) \ j \ \beta$  .
  show ?thesis
    apply (simp add: LeftDerivationIntro-def)
    apply (rule-tac  $x=\alpha'$  in exI)
    apply auto
    using  $\alpha-\alpha'$  apply blast
    using  $\omega$  apply blast
    apply (simp add:  $\omega$  j-in)
    using ldfx by blast
qed

lemma LeftDerivationIntro-cut-appendix':
assumes ldfx: LeftDerivationIntro  $(\alpha @ \delta) \ i \ r \ ix \ D \ j \ (\beta @ \delta')$ 
assumes  $\alpha-\beta$ : LeftDerivation  $\alpha \ ((i,r)\#D) \ \beta$ 
assumes i-in:  $i < \text{length } \alpha$ 
assumes j-in:  $j < \text{length } \beta$ 
shows LeftDerivationIntro  $\alpha \ i \ r \ ix \ D \ j \ \beta$ 

proof -
  obtain n where  $n: n = \text{length } D$  by blast

```

```

have LeftDerivationIntro α i r ix (take n D) j β
  apply (rule-tac LeftDerivationIntro-cut-appendix)
  apply (rule ldfx)
  using α-β n apply auto[1]
  using n apply auto[1]
  using n apply auto[1]
  using i-in apply blast
  using j-in apply blast
  done
then show ?thesis using n by auto
qed

lemma ladder-n-monotone: is-ladder D L ==> u ≤ v ==> v < length L ==> ladder-n
L u ≤ ladder-n L v
by (metis is-ladder-def le-neq-implies-less linear not-less)

lemma ladder-i-cut:
  assumes index-bound: index < length L
  shows ladder-i (ladder-cut L n) index = ladder-i L index
proof -
  have index = 0 ∨ index > 0 by arith
  then show ?thesis
  proof (induct rule: disjCases2)
    case 1
      with index-bound have L ≠ [] by (simp add: less-numeral-extra(3))
      then show ?case using 1 by (simp add: ladder-i-of-cut-at-0)
    next
      case 2
        then show ?case
        apply (auto simp add: ladder-i-def ladder-cut-def ladder-j-def deriv-j-def
Let-def)
        using index-bound by auto
    qed
  qed

lemma ladder-j-cut:
  assumes index-bound: index < length L
  shows ladder-j (ladder-cut L n) index = ladder-j L index
by (metis gr-implies-not0 index-bound ladder-cut-def ladder-j-def ladder-last-j-def
ladder-last-j-of-cut length-ladder-cut list.size(3) nth-list-update-neq)

lemma ladder-ix-cut:
  assumes index-lower-bound: index > 0
  assumes index-upper-bound: index < length L
  shows ladder-ix (ladder-cut L n) index = ladder-ix L index
proof -
  show ?thesis
  using index-lower-bound apply (simp add: ladder-ix-def deriv-ix-def)
  by (metis index-upper-bound ladder-cut-def nth-list-update-eq nth-list-update-neq)

```

snd-conv)
qed

lemma *LeftDerivation-from-in-between*:

assumes $\alpha\text{-}\beta$: *LeftDerivation* α (*take u D*) β
assumes $\alpha\text{-}\gamma$: *LeftDerivation* α (*take v D*) γ
assumes $u\text{-le-}v$: $u \leq v$
shows *LeftDerivation* β (*drop u (take v D)*) γ

proof –

have *take-split*: *take v D* = *take u D* @ (*drop u (take v D)*)
by (*metis u-le-v add-diff-cancel-left' drop-take le-Suc-ex take-add*)
then show ?*thesis* **using** $\alpha\text{-}\gamma$ $\alpha\text{-}\beta$
by (*metis (no-types, lifting) Derivation-unique-dest LeftDerivation-append LeftDerivation-implies-Derivation*)

qed

lemma *LeftDerivationLadder-cut-appendix-helper*:

assumes *LDLadder*: *LeftDerivationLadder* ($\alpha @ \delta$) *D L* γ
assumes *ladder-i-in-* α : *ladder-i L 0 < length* α
shows $\exists E F \gamma_1 \gamma_2 L'. D = E @ F \wedge$
 $\gamma = \gamma_1 @ \gamma_2 \wedge$
LeftDerivationLadder $\alpha E L' \gamma_1 \wedge$
derivation-ge F (*length* γ_1) \wedge
LeftDerivation δ (*derivation-shift* F (*length* γ_1) 0) $\gamma_2 \wedge$
 $L' = \text{ladder-cut } L \text{ (length } E\text{)}$

proof –

have *is-ladder-D-L*: *is-ladder D L* **using** *LDLadder LeftDerivationLadder-def* **by**
blast

obtain n **where** $n: n = \text{ladder-last-}n L$ **by** *blast*

then have *n-eq-ladder-n*: $n = \text{ladder-}n L$ (*length* $L - 1$) **using** *ladder-last-n-def*
by *blast*

have *length-L-nonzero*: *length L > 0*

using *LeftDerivationLadder-def assms(1) is-ladder-def* **by** *blast*

from *LeftDerivationLadder-propagate[OF LDLadder ladder-i-in-* α *n-eq-ladder-n]*
obtain $n' \beta \delta'$ **where** *finish*:

$(\text{length } L - 1 = 0 \vee \text{ladder-prev-}n L (\text{length } L - 1) < n') \wedge$
 $n' \leq n \wedge$

LeftDerivation α (*take n' D*) $\beta \wedge$

LeftDerivation ($\alpha @ \delta$) (*take n' D*) ($\beta @ \delta$) \wedge

derivation-ge (*drop n' D*) (*length* β) \wedge

LeftDerivation δ (*derivation-shift* (*drop n' D*) (*length* β) 0) $\delta' \wedge$

ladder-γ ($\alpha @ \delta$) *D L* (*length* $L - 1$) = $\beta @ \delta' \wedge \text{ladder-}j L (\text{length } L - 1) <$
length β

using *length-L-nonzero* **by** *auto*

obtain E **where** $E: E = \text{take } n' D$ **by** *blast*

obtain F **where** $F: F = \text{drop } n' D$ **by** *blast*

obtain L' **where** $L': L' = \text{ladder-cut } L \text{ (length } E\text{)}$ **by** *blast*

have *γ-ladder*: $\gamma = \text{ladder-}n (\alpha @ \delta) D L$ (*length* $L - 1$)

by (*metis Derive LDLadder LeftDerivationLadder-def LeftDerivation-implies-Derivation*)

```

append-Nil2 append-take-drop-id drop-eq-Nil is-ladder-def ladder-γ-def le-refl
n
  n-eq-ladder-n)
then have γ: γ = β @ δ' using finish by auto
have is-sentence (α@δ)
  using LDLadder LeftDerivationFix-is-sentence LeftDerivationLadder-def by
blast
then have is-sentence-α: is-sentence α using is-sentence-concat by blast
have is-sentence γ
  using Derivation-implies-derives LDLadder LeftDerivationFix-is-sentence
  LeftDerivationLadder-def LeftDerivation-implies-Derivation derives-is-sentence
by blast
then have is-sentence-β: is-sentence β using γ is-sentence-concat by blast
have length-L': length L' = length L
  by (metis L' ladder-cut-def length-list-update)
have ladder-last-n-L': ladder-last-n L' = length E
  using L' ladder-last-n-of-cut length-L-nonzero by blast
have length-D-eq-n: length D = n
  using LDLadder LeftDerivationLadder-def is-ladder-def n by auto
then have length-E-eq-n': length E = n' by (simp add: E finish min.absorb2)
{
fix u :: nat
assume u < length L'
then have u < length L' - 1 ∨ u = length L' - 1 by arith
then have ladder-n L' u ≤ length E
proof (induct rule: disjCases2)
  case 1
    have u-bound: u < length L - 1 using 1 by (simp add: length-L')
    then have L'-eq-L: ladder-n L' u = ladder-n L u using L' ladder-n-of-cut
      length-L-nonzero length-greater-0-conv by blast
    from u-bound have ladder-n L u ≤ ladder-prev-n L (length L - 1)
      using ladder-n-prev-bound LeftDerivationLadder-def assms(1) by blast
    then show ?case
      using L'-eq-L finish length-E-eq-n' u-bound by linarith
  next
    case 2
      then have ladder-n L' u = length E using ladder-last-n-L' ladder-last-n-def
by auto
      then show ?case by auto
qed
}
note ladder-n-bound = this
{
fix u :: nat
fix v :: nat
assume u-less-v: u < v
assume v-bound: v < length L'
have v < length L' - 1 ∨ v = length L' - 1 using v-bound by arith

```

```

then have ladder-n L' u < ladder-n L' v
proof (induct rule: disjCases2)
  case 1
    show ?case
      using 1.hyps L' LeftDerivationLadder-def assms(1) is-ladder-def ladder-n-of-cut
      length-L' u-less-v by auto
  next
    case 2
      note v-def = 2
      have v = 0 ∨ v ≠ 0 by arith
      then show ?case
      proof (induct rule: disjCases2)
        case 1
          then show ?case using u-less-v by auto
        next
          case 2
          then have ladder-prev-n L (length L - 1) < n' using finish v-def length-L'
            by linarith
          then show ?case
            by (metis (no-types, lifting) L' LeftDerivationLadder-def assms(1)
              ladder-last-n-L' ladder-last-n-def ladder-n-of-cut ladder-n-prev-bound
              le-neq-implies-less length-E-eq-n' length-L' length-greater-0-conv
              less-trans u-less-v v-def)
        qed
      qed
    }
    note ladder-n-pairwise-bound = this

have is-ladder-E-L': is-ladder E L'
  apply (auto simp add: is-ladder-def ladder-last-n-L')
  using length-L-nonzero length-L' apply simp
  using ladder-n-bound apply blast
  using ladder-n-pairwise-bound by blast

  {
    fix index :: nat
    assume index-bound: index + 1 < length L
    then have index-le: index < length L by arith
    from index-bound have len-L-minus-1: length L - 1 ≠ 0 by arith
    obtain m where m: m = ladder-n L index by blast
    from LeftDerivationLadder-propagate[OF LDLadder ladder-i-in-α m index-le]
    obtain ω where
      ω: LeftDerivation α (take m D) ω ∧ ladder-γ (α @ δ) D L index = ω @ δ ∧
      ladder-j L index < length ω using index-bound by auto
    have L'-Derive: ladder-γ α E L' index = Derive α (take (ladder-n L' index)
      E)
      by (simp add: ladder-γ-def)
  }

```

```

have ladder-n-L'-eq-L: ladder-n L' index = ladder-n L index
  using L' index-bound ladder-n-of-cut length-L-nonzero by auto
have ladder-prev-n L (length L - 1) < n' using finish len-L-minus-1 by blast
then have n'-is-upper-bound: ladder-n L (length L - 2) < n' using index-bound
  by (metis diff-diff-left ladder-prev-n-def len-L-minus-1 one-add-one)
have index-upper-bound: index ≤ length L - 2 using index-bound by linarith

have ladder-n-upper-bound: ladder-n L index ≤ ladder-n L (length L - 2)
  apply (rule-tac ladder-n-monotone)
  apply (rule-tac is-ladder-D-L)
  apply (rule index-upper-bound)
  using length-L-nonzero by linarith
with n'-is-upper-bound have m-le-n': m ≤ n'
  using dual-order.strict-implies-order le-less-trans m by linarith
then have take m E = take m D
  by (metis E le-take-same length-E-eq-n' order-refl take-all)
then have take-helper: (take (ladder-n L' index) E) = take m D
  by (simp add: ladder-n-L'-eq-L m)
then have Derive-eq-ω: Derive α (take (ladder-n L' index) E) = ω
  by (simp add: Derive LeftDerivation-implies-Derivation ω)
then have t1: ladder-γ (α@δ) D L index = (ladder-γ α E L' index) @ δ
  by (simp add: L'-Derive ω)
have ω-eq: ω = ladder-γ α E L' index by (simp add: Derive-eq-ω L'-Derive)
then have t2: LeftDerivation α (take (ladder-n L index) D) (ladder-γ α E L'
index)
  using ω m by blast
have t3: (take (ladder-n L' index) E) = take (ladder-n L index) D
  by (simp add: m take-helper)
have t4: ladder-j L index < length (ladder-γ α E L' index)
  using ω ω-eq by blast
have t5: E ! (ladder-n L' index) = D ! (ladder-n L index)
  using E ladder-n-L'-eq-L ladder-n-upper-bound n'-is-upper-bound by auto
note t = t1 t2 t3 t4 t5
}
note ladder-early-stage = this

{
fix index :: nat
assume index-bound: index < length L
have i: ladder-i L' index = ladder-i L index
  using L' ladder-i-cut by (simp add: index-bound)
have j: ladder-j L' index = ladder-j L index
  using L' ladder-j-cut by (simp add: index-bound)
have ix: index > 0 ==> ladder-ix L' index = ladder-ix L index
  using L' ladder-ix-cut by (simp add: index-bound)
have α: ladder-α (α@δ) D L index = (ladder-α α E L' index) @ δ
  by (simp add: index-bound ladder-α-def ladder-early-stage(1))
have i-bound: index > 0 ==> ladder-i L' index < length (ladder-α α E L' index)
  using i index-bound ladder-α-def ladder-early-stage(4) ladder-i-def by auto

```

```

    note  $ij = i j ix \alpha$   $i\text{-bound}$ 
}
note ladder-every-stage = this

{
fix  $u :: nat$ 
fix  $v :: nat$ 
assume  $u\text{-le-}v: u \leq v$ 
assume  $v\text{-bound}: v < length L$ 
have ladder-n  $L u \leq ladder-n L v$ 
  using is-ladder-D-L ladder-n-monotone  $u\text{-le-}v v\text{-bound}$  by blast
}
note ladder-L-n-pairwise-le = this

{
fix  $index :: nat$ 
assume index-lower-bound:  $index > 0$ 
assume index-upper-bound:  $index + 1 < length L$ 
note derivation = ladder-early-stage(2)
have derivation1:
  LeftDerivation  $\alpha$  (take (ladder-n  $L (index - Suc 0)$ )  $D$ ) (ladder- $\gamma$   $\alpha E L'$   $(index - Suc 0)$ )
    using derivation[of  $index - Suc 0$ ] index-lower-bound index-upper-bound
    using One-nat-def Suc-diff-1 Suc-eq-plus1 le-less-trans lessI less-or-eq-imp-le
  by linarith
  have derivation2:
    LeftDerivation  $\alpha$  (take (ladder-n  $L index$ )  $D$ ) (ladder- $\gamma$   $\alpha E L' index$ )
    using derivation[of  $index$ ] index-upper-bound by blast
    have ladder- $\alpha$ -is- $\gamma$ [symmetric]: ladder- $\gamma$   $\alpha E L' (index - Suc 0) = ladder-\alpha \alpha$ 
      E  $L' index$ 
      using index-lower-bound ladder- $\alpha$ -def by auto
    have ladder-n-le: ladder-n  $L (index - Suc 0) \leq ladder-n L index$ 
      apply (rule-tac ladder-L-n-pairwise-le)
      apply arith
      using index-upper-bound by arith
    from LeftDerivation-from-in-between[OF derivation1 derivation2 ladder-n-le]
    ladder- $\alpha$ -is- $\gamma$ 
    have LeftDerivation (ladder- $\alpha$   $\alpha E L' index$ ) (drop (ladder-n  $L' (index - Suc 0)$ )
      (take (ladder-n  $L' index$ )  $E$ ) (ladder- $\gamma$   $\alpha E L' index$ )
      by (metis L' Suc-leI add-lessD1 index-lower-bound index-upper-bound ladder-early-stage(3)
        ladder-n-of-cut le-add-diff-inverse2 length-L-nonzero length-greater-0-conv
        less-diff-conv)
    }
  note LeftDerivation-delta-early = this

  have LeftDerivationFix- $\alpha$ -0: LeftDerivationFix  $\alpha$  (ladder-i  $L' 0$ ) (take (ladder-n

```

```

 $L' 0) E)$ 
 $(ladder-j L' 0) (ladder- $\gamma$   $\alpha$  E L' 0)$ 
proof –
  have ldfix: LeftDerivationFix ( $\alpha @ \delta$ ) (ladder-i L 0) (take (ladder-n L 0) D)
  (ladder-j L 0)
     $(ladder- $\gamma$  (\mathbf{ $\alpha$ @ $\delta$ }) D L 0)$ 
  using LDLadder LeftDerivationLadder-def by blast
have ladder-i-L': ladder-i L' 0 = ladder-i L 0
  using L' ladder-i-of-cut-at-0 length-L-nonzero by blast
have ladder-j-L': ladder-j L' 0 = ladder-j L 0
  by (metis L' ladder-cut-def ladder-j-def ladder-last-j-def ladder-last-j-of-cut
    length-L' length-greater-0-conv nth-list-update-neq)
have length L = 1  $\vee$  length L > 1 using length-L-nonzero by linarith
then show ?thesis
proof (induct rule: disjCases2)
  case 1
    from 1 have ladder-n-L'-0: ladder-n L' 0 = n'
      using diff-is-0-eq' ladder-last-n-L' ladder-last-n-def length-E-eq-n'
        length-L' less-or-eq-imp-le by auto
      have take-n'-E: take n' E = E by (simp add: length-E-eq-n')
      from ladder-n-L'-0 take-n'-E have take-ladder-n-L': take (ladder-n L' 0) E
      = E by auto
      have ladder-n L 0 = length D
        by (simp add: 1.hyps length-D-eq-n n-eq-ladder-n)
      then have take-ladder-n-L-0: take (ladder-n L 0) D = D by simp
      have ladder- $\gamma$ - $\alpha$ : ladder- $\gamma$   $\alpha$  E L' 0 =  $\beta$ 
        apply (simp add: ladder- $\gamma$ -def take-ladder-n-L')
        by (simp add: Derive E LeftDerivation-implies-Derivation finish)
      have ladder-j-in- $\beta$ : ladder-j L 0 < length  $\beta$ 
        using finish 1.hyps by auto
      have ldfix-1: LeftDerivationFix ( $\alpha @ \delta$ ) (ladder-i L 0) D (ladder-j L 0) ( $\beta @ \delta'$ )
        using 1.hyps  $\gamma$   $\gamma$ -ladder ldfix take-ladder-n-L-0 by auto
      then have LeftDerivationFix  $\alpha$  (ladder-i L 0) E (ladder-j L 0)  $\beta$ 
        by (simp add: E LeftDerivationFix-cut-appendix finish ladder-i-in- $\alpha$  ladder-j-in- $\beta$ 
          length-D-eq-n)
      then show ?case
        by (simp add: ladder-i-L' ladder-j-L' take-ladder-n-L' ladder- $\gamma$ - $\alpha$ )
  next
    case 2
      have h:  $0 + 1 < \text{length } L$  using 2.hyps by auto
      note stage = ladder-early-stage[ $0$ , OF h]
      have ldfix0: LeftDerivationFix ( $\alpha @ \delta$ ) (ladder-i L 0) (take (ladder-n L 0)
      D) (ladder-j L 0)
         $((ladder- $\gamma$  \mathbf{ $\alpha$ } E L' 0) @ \delta)$ 
        using ladder-i-L' ladder-j-L' ldfix stage(1) stage(3) by auto
        from LeftDerivationFix-cut-appendix'[OF ldfix0 stage(2) ladder-i-in- $\alpha$ 
        stage(4)]
      show ?case

```

```

    by (simp add: ladder-i-L' ladder-j-L' stage(3))
qed
qed

{
fix index :: nat
assume index-bounds:  $1 \leq index \wedge index + 1 < length L$ 
have introsAt-appendix: LeftDerivationIntrosAt ( $\alpha @ \delta$ ) D L index
  using LDLadder LeftDerivationIntros-def LeftDerivationLadder-def add-lessD1
index-bounds
  by blast
have index-plus-1-upper-bound:  $index + 1 < length L$  using index-bounds by
arith
note early-stage = ladder-early-stage[of index, OF index-plus-1-upper-bound]
have ladder-i-L-index-eq-fst: ladder-i L index = fst (D ! ladder-n L (index -
Suc 0))
  using introsAt-appendix LeftDerivationIntrosAt-def index-bounds by (metis
One-nat-def)
have E-at-D-at: (E ! ladder-n L' (index - Suc 0)) = (D ! ladder-n L (index -
Suc 0))
  using ladder-early-stage[of index - Suc 0]
  by (metis One-nat-def add-lessD1 index-bounds le-add-diff-inverse2)
then have ladder-i-L'-index-eq-fst: ladder-i L' index = fst (E ! ladder-n L'
(index - Suc 0))
  using index-bounds ladder-i-L-index-eq-fst ladder-every-stage(1) le-add1 le-less-trans
by auto
have same-derivation: (drop (Suc (ladder-n L' (index - Suc 0))) (take (ladder-n
L' index) E)) =
  (drop (Suc (ladder-n L (index - Suc 0))) (take (ladder-n L index) D))
  using L' early-stage(3) index-bounds ladder-n-of-cut length-L-nonzero by auto
have deriv-split: (drop (ladder-n L' (index - Suc 0)) (take (ladder-n L' index)
E)) =
  ((ladder-i L' index, snd (E ! ladder-n L' (index - Suc 0))) #
  drop (Suc (ladder-n L' (index - Suc 0))) (take (ladder-n L' index) E))
  by (metis Cons-nth-drop-Suc One-nat-def Suc-le-lessD add-lessD1 diff-Suc-less
index-bounds
  ladder-i-L'-index-eq-fst ladder-n-bound ladder-n-pairwise-bound length-L'
  length-take min.absorb2 nth-take prod.collapse)
have LeftDerivationIntrosAt  $\alpha$  E L' index
  apply (auto simp add: LeftDerivationIntrosAt-def Let-def)
  using ladder-i-L'-index-eq-fst apply blast
  apply (rule-tac LeftDerivationIntro-cut-appendix'[where  $\delta = \delta$  and  $\delta' = \delta$ ])
  apply (metis E-at-D-at LeftDerivationIntrosAt-def One-nat-def Suc-le-lessD
add-lessD1
  early-stage(1) index-bounds introsAt-appendix ladder-every-stage(2)
  ladder-every-stage(3) ladder-every-stage(4) ladder-i-L'-index-eq-fst same-derivation)
  defer 1
  using index-bounds ladder-every-stage(5) apply auto[1]
  using early-stage(4) index-bounds ladder-every-stage(2) apply auto[1]
}

```

```

using LeftDerivation-delta-early deriv-split
by (metis One-nat-def Suc-le-eq index-bounds)
}
note LeftDerivationIntrosAt-early = this

{
fix index :: nat
assume index-bounds:  $1 \leq index \wedge index + 1 = length L$ 
have introsAt-appendix: LeftDerivationIntrosAt ( $\alpha @ \delta$ ) D L index
  using LDLadder LeftDerivationIntros-def LeftDerivationLadder-def add-lessD1
index-bounds
  by (metis Suc-eq-plus1 not-less-eq)
have ladder-i-L-index-eq-fst: ladder-i L index = fst (D ! ladder-n L (index - Suc 0))
  using introsAt-appendix LeftDerivationIntrosAt-def index-bounds by (metis One-nat-def)
have E-at-D-at: (E ! ladder-n L' (index - Suc 0)) = (D ! ladder-n L (index - Suc 0))
  using ladder-early-stage[of index - Suc 0]
  by (metis One-nat-def Suc-eq-plus1 index-bounds le-add-diff-inverse2 lessI)
  then have ladder-i-L'-index-eq-fst: ladder-i L' index = fst (E ! ladder-n L' (index - Suc 0))
    using index-bounds ladder-i-L-index-eq-fst ladder-every-stage(1) le-add1 le-less-trans
  by auto
obtain D' where D': D' = (drop (Suc (ladder-n L (index - Suc 0))) (take (ladder-n L index) D))
  by blast
obtain k where k: k = (ladder-n L' index) - (Suc (ladder-n L' (index - Suc 0)))
  by blast
have ladder-n-L'-index: ladder-n L' index = length E
  by (metis diff-add-inverse2 index-bounds ladder-last-n-L' ladder-last-n-def length-L')
have take-is-E: take (ladder-n L' index) E = E by (simp add: ladder-n-L'-index)
have ladder-n-L-index: ladder-n L index = length D
  by (metis diff-add-inverse2 index-bounds length-D-eq-n n-eq-ladder-n)
have take-is-D: take (ladder-n L index) D = D
  by (simp add: ladder-n-L-index)
have write-as-take-k-D': (drop (Suc (ladder-n L' (index - Suc 0))) E) = take k D'
  using take-is-D
  by (metis D' E L' One-nat-def Suc-le-lessD add-diff-cancel-right' diff-Suc-less
    drop-take index-bounds k ladder-n-L'-index ladder-n-of-cut length-E-eq-n'
    length-L-nonzero length-greater-0-conv)
have k-bound: k ≤ length D'
  by (metis le-iff-add append-take-drop-id k ladder-n-L'-index length-append
    length-drop write-as-take-k-D')
have D'-alt: D' = drop (Suc (ladder-n L (index - Suc 0))) D

```

```

by (simp add: D' take-is-D)
have LeftDerivationIntrosAt α E L' index
  apply (auto simp add: LeftDerivationIntrosAt-def Let-def)
  using ladder-i-L'-index-eq-fst apply blast
  apply (subst take-is-E)
  apply (subst write-as-take-k-D')
  apply (rule-tac LeftDerivationIntro-cut-appendix[where δ=δ and δ'=δ'])
  apply (metis D' Derive E E-at-D-at LeftDerivationIntrosAt-def
LeftDerivation-implies-Derivation One-nat-def Suc-le-lessD add-diff-cancel-right'
diff-Suc-less finish index-bounds introsAt-appendix ladder-γ-def ladder-every-stage(2)

ladder-every-stage(3) ladder-every-stage(4) ladder-i-L'-index-eq-fst length-L-nonzero
take-is-E)
apply (metis Cons-nth-drop-Suc E L' LeftDerivation-from-in-between Left-
Derivation-take-derive
One-nat-def Suc-le-lessD add-diff-cancel-right' diff-Suc-less finish index-bounds

ladder-α-def ladder-γ-def ladder-i-L'-index-eq-fst ladder-n-L'-index lad-
der-n-of-cut
ladder-prev-n-def length-E-eq-n' length-L-nonzero less-imp-le-nat less-numeral-extra(3)

list.size(3) prod.collapse take-is-E write-as-take-k-D')
using k-bound apply blast
using D'-alt apply (metis (no-types, lifting) Derive E L' LeftDerivation-implies-Derivation

One-nat-def Suc-leI Suc-le-lessD add-diff-cancel-right' diff-Suc-less drop-drop
finish
index-bounds k ladder-γ-def ladder-n-L'-index ladder-n-of-cut ladder-prev-n-def

le-add-diff-inverse2 length-E-eq-n' length-L-nonzero length-greater-0-conv
less-not-refl2 take-is-E)
using index-bounds ladder-every-stage(5) apply auto[1]
by (metis Derive E LeftDerivation-implies-Derivation One-nat-def add-diff-cancel-right'

diff-Suc-less finish index-bounds ladder-γ-def ladder-every-stage(2) length-L-nonzero
take-is-E)
}
note LeftDerivationIntrosAt-last = this

have ladder-E-L': LeftDerivationLadder α E L' β
  apply (auto simp add: LeftDerivationLadder-def)
  using finish E apply blast
  using is-ladder-E-L' apply blast
  using LeftDerivationFix-α-0 apply blast
  using LeftDerivationIntros-def LeftDerivationIntrosAt-early LeftDerivationIn-
trosAt-last

```

by (metis Suc-eq-plus1 Suc-leI le-neq-implies-less length-L')

```

show ?thesis
apply (rule-tac x=E in exI)
apply (rule-tac x=F in exI)
apply (rule-tac x=β in exI)
apply (rule-tac x=δ' in exI)
apply (rule-tac x=L' in exI)
apply auto
using E F apply simp
apply (rule γ)
using ladder-E-L' apply blast
using F finish apply blast
using F finish apply blast
by (rule L')
qed

```

theorem LeftDerivationLadder-cut-appendix:

```

assumes LDLadder: LeftDerivationLadder (α@δ) D L γ
assumes ladder-i-in-α: ladder-i L 0 < length α
shows ∃ E F γ1 γ2 L'. D = E@F ∧
γ = γ1 @ γ2 ∧
LeftDerivationLadder α E L' γ1 ∧
derivation-ge F (length γ1) ∧
LeftDerivation δ (derivation-shift F (length γ1) 0) γ2 ∧
length L' = length L ∧ ladder-i L' 0 = ladder-i L 0 ∧
ladder-last-j L' = ladder-last-j L

```

proof –

from LeftDerivationLadder-cut-appendix-helper[OF LDLadder ladder-i-in-α]

obtain E F γ1 γ2 L' where helper:

```

D = E @ F ∧
γ = γ1 @ γ2 ∧
LeftDerivationLadder α E L' γ1 ∧
derivation-ge F (length γ1) ∧
LeftDerivation δ (derivation-shift F (length γ1) 0) γ2 ∧ L' = ladder-cut L

```

(length E)

by blast

show ?thesis

```

apply (rule-tac x=E in exI)
apply (rule-tac x=F in exI)
apply (rule-tac x=γ1 in exI)
apply (rule-tac x=γ2 in exI)
apply (rule-tac x=L' in exI)

```

using helper LDLadder LeftDerivationLadder-def is-ladder-def ladder-i-of-cut-at-0

ladder-last-j-of-cut length-ladder-cut by force

qed

definition ladder-stepdown-diff :: ladder ⇒ nat **where**

```

ladder-stepdown-diff L = Suc (ladder-n L 0)

definition ladder-stepdown- $\alpha$ -0 :: sentence  $\Rightarrow$  derivation  $\Rightarrow$  ladder  $\Rightarrow$  sentence
where
  ladder-stepdown- $\alpha$ -0 a D L = Derive a (take (ladder-stepdown-diff L) D)

lemma LeftDerivationIntro-LeftDerives1:
  assumes LeftDerivationIntro  $\alpha$  i r ix D j  $\gamma$ 
  assumes splits-at  $\alpha$  i a1 A a2
  shows LeftDerives1  $\alpha$  i r (a1@(snd r)@a2)
by (metis LeftDerivationIntro-def LeftDerivationIntro-examine-rule LeftDerivation-
  Intro-is-sentence
    LeftDerives1-def assms(1) assms(2) prod.collapse splits-at-implies-Derives1)

lemma LeftDerives1-Derive:
  assumes LeftDerives1  $\alpha$  i r  $\gamma$ 
  shows Derive  $\alpha$  [(i, r)] =  $\gamma$ 
by (metis Derive LeftDerivation.simps(1) LeftDerivation-LeftDerives1
  LeftDerivation-implies-Derivation append-Nil assms)

lemma ladder-stepdown- $\alpha$ -0-altdef:
  assumes ladder: LeftDerivationLadder  $\alpha$  D L  $\gamma$ 
  assumes length-L: length L > 1
  assumes split: splits-at (ladder- $\alpha$   $\alpha$  D L 1) (ladder-i L 1) a1 A a2
  shows ladder-stepdown- $\alpha$ -0  $\alpha$  D L = a1 @ (snd (snd (D ! (ladder-n L 0)))) @
  a2
proof -
  have 1: ladder- $\alpha$   $\alpha$  D L 1 = Derive  $\alpha$  (take (ladder-n L 0) D)
    by (simp add: ladder- $\alpha$ -def ladder- $\gamma$ -def)
  obtain rule where rule: rule = snd (D ! (ladder-n L 0)) by blast
  have  $\exists$  E  $\omega$ . LeftDerivationIntro (ladder- $\alpha$   $\alpha$  D L 1) (ladder-i L 1) rule (ladder-ix
  L 1)
    E (ladder-j L 1)  $\omega$ 
    by (metis LeftDerivationIntrosAt-def LeftDerivationIntros-def LeftDerivation-
  Ladder-def
      One-nat-def diff-Suc-1 ladder length-L order-refl rule)
  then obtain E  $\omega$  where intro:
    LeftDerivationIntro (ladder- $\alpha$   $\alpha$  D L 1) (ladder-i L 1) rule (ladder-ix L 1) E
    (ladder-j L 1)  $\omega$ 
    by blast
  then have 2: LeftDerives1 (ladder- $\alpha$   $\alpha$  D L 1) (ladder-i L 1) rule (a1@(snd
  rule)@a2)
    using LeftDerivationIntro-LeftDerives1 split by blast
  have fst-D: fst (D ! (ladder-n L 0)) = ladder-i L 1
    by (metis LeftDerivationIntrosAt-def LeftDerivationIntros-def LeftDerivation-
  Ladder-def
      One-nat-def diff-Suc-1 ladder le-numeral-extra(4) length-L)
  have derive-derive: Derive  $\alpha$  (take (Suc (ladder-n L 0)) D) =
    Derive (Derive  $\alpha$  (take (ladder-n L 0) D)) [D ! (ladder-n L 0)]

```

```

proof -
  have f1: Derivation  $\alpha$  (take (Suc (ladder-n L 0)) D) (Derive  $\alpha$  (take (Suc (ladder-n L 0)) D))
    using Derivation-take-derive LeftDerivationLadder-def LeftDerivation-implies-Derivation
    ladder by blast
    have f2: length L - 1 < length L
      using length-L by linarith
    have 0 < length L - 1
      using length-L by linarith
    then have f3: take (Suc (ladder-n L 0)) D = take (ladder-n L 0) D @ [D ! ladder-n L 0]
      using f2 by (metis (full-types) LeftDerivationLadder-def is-ladder-def ladder
      ladder-last-n-def take-Suc-conv-app-nth)
    obtain sss :: symbol list  $\Rightarrow$  (nat  $\times$  symbol  $\times$  symbol list) list  $\Rightarrow$  (nat  $\times$  symbol
       $\times$  symbol list) list  $\Rightarrow$  symbol list  $\Rightarrow$  symbol list where
       $\forall x_0 x_1 x_2 x_3. (\exists v_4. \text{Derivation } x_3 x_2 v_4 \wedge \text{Derivation } v_4 x_1 x_0) = (\text{Derivation }$ 
       $x_3 x_2 (sss x_0 x_1 x_2 x_3) \wedge \text{Derivation } (sss x_0 x_1 x_2 x_3) x_1 x_0)$ 
      by moura
      then show ?thesis
        using f3 f1 Derivation-append Derive by auto
    qed
  then have 3: ladder-stepdown- $\alpha$ -0  $\alpha$  D L = Derive (ladder- $\alpha$   $\alpha$  D L 1) [D !
  (ladder-n L 0)]
    using 1 by (simp add: ladder-stepdown- $\alpha$ -0-def ladder-stepdown-diff-def)
    have 4: D ! (ladder-n L 0) = (ladder-i L 1, rule)
      using rule fst-D by (metis prod.collapse)
    then show ?thesis using 2 3 4 LeftDerives1-Derive snd-conv by auto
  qed

lemma ladder-i-0-bound:
  assumes ld: LeftDerivationLadder  $\alpha$  D L  $\gamma$ 
  shows ladder-i L 0 < length  $\alpha$ 
proof -
  have LeftDerivationFix  $\alpha$  (ladder-i L 0) (take (ladder-n L 0) D)
    (ladder-j L 0) (ladder- $\gamma$   $\alpha$  D L 0)
    using ld LeftDerivationLadder-def by simp
  then show ?thesis using LeftDerivationFix-def by simp
qed

lemma ladder-j-bound:
  assumes ld: LeftDerivationLadder  $\alpha$  D L  $\gamma$ 
  assumes index-bound: index < length L
  shows ladder-j L index < length (ladder- $\gamma$   $\alpha$  D L index)
proof -
  have ld': LeftDerivationLadder ( $\alpha @ []$ ) D L  $\gamma$  using ld by simp
  have ladder-i-0: ladder-i L 0 < length  $\alpha$  using ladder-i-0-bound ld by auto
  obtain n where n: n = ladder-n L index by blast
  note propagate = LeftDerivationLadder-propagate[OF ld' ladder-i-0 n index-bound]
  from index-bound have index + 1 < length L  $\vee$  index + 1 = length L by arith

```

```

then show ?thesis
proof (induct rule: disjCases2)
  case 1
    then have  $\exists \beta. \text{LeftDerivation } \alpha (\text{take } n D) \beta \wedge$ 
       $\text{ladder-}\gamma(\alpha @ []) D L \text{ index} = \beta @ [] \wedge \text{ladder-}j L \text{ index} < \text{length } \beta$ 
      using propagate by auto
    then show ?case by auto
  next
    case 2
      then have
         $\exists n' \beta \delta'. (index = 0 \vee \text{ladder-prev-}n L \text{ index} < n') \wedge$ 
         $n' \leq n \wedge$ 
         $\text{LeftDerivation } \alpha (\text{take } n' D) \beta \wedge$ 
         $\text{LeftDerivation } (\alpha @ []) (\text{take } n' D) (\beta @ []) \wedge$ 
         $\text{derivation-ge } (\text{drop } n' D) (\text{length } \beta) \wedge$ 
         $\text{LeftDerivation } [] (\text{derivation-shift } (\text{drop } n' D) (\text{length } \beta) 0) \delta' \wedge$ 
         $\text{ladder-}\gamma(\alpha @ []) D L \text{ index} = \beta @ \delta' \wedge \text{ladder-}j L \text{ index} < \text{length } \beta$ 
        using propagate by auto
      then show ?case by auto
  qed
qed

lemma ladder-last-j-bound:
  assumes ld: LeftDerivationLadder  $\alpha D L \gamma$ 
  shows ladder-last-j  $L < \text{length } \gamma$ 
proof –
  have  $\text{length } L - 1 < \text{length } L$ 
  using LeftDerivationLadder-def assms is-ladder-def by auto
  from ladder-j-bound[OF ld this]
  show ?thesis
  by (metis Derive LeftDerivationLadder-def LeftDerivation-implies-Derivation
  One-nat-def
  is-ladder-def ladder-last-j-def last-ladder- $\gamma$  ld)
qed

fun ladder-shift-n :: nat  $\Rightarrow$  ladder  $\Rightarrow$  ladder where
  ladder-shift-n N [] = []
  | ladder-shift-n N ((n, j, i) # L) = ((n - N, j, i) # (ladder-shift-n N L))

fun ladder-stepdown :: ladder  $\Rightarrow$  ladder
where
  ladder-stepdown [] = undefined
  | ladder-stepdown [v] = undefined
  | ladder-stepdown ((n0, j0, i0) # (n1, j1, ix1) # L) =
    (n1 - Suc n0, j1, j0 + ix1) # (ladder-shift-n (Suc n0) L)

lemma ladder-shift-n-length:
  length (ladder-shift-n N L) = length L

```

```

by (induct L, auto)

lemma ladder-stepdown-prepare:
  assumes length L > 1
  shows L = (ladder-n L 0, ladder-j L 0, ladder-i L 0)#
           (ladder-n L 1, ladder-j L 1, ladder-ix L 1)#
           (drop 2 L)
proof -
  have  $\exists n0\ j0\ i0\ n1\ j1\ ix1\ L'. L = ((n0, j0, i0) \# (n1, j1, ix1) \# L')$ 
    by (metis One-nat-def Suc-eq-plus1 assms ladder-stepdown.cases less-not-refl
      list.size(3)
      list.size(4) not-less0)
  then obtain n0 j0 i0 n1 j1 ix1 L' where L': L = ((n0, j0, i0) \# (n1, j1, ix1) \# L')
  by blast
  have n0: n0 = ladder-n L 0 using L'
    by (auto simp add: ladder-n-def deriv-n-def)
  show ?thesis using L'
    by (auto simp add: ladder-n-def deriv-n-def ladder-j-def deriv-j-def
      ladder-i-def deriv-i-def ladder-ix-def deriv-ix-def)
qed

lemma ladder-stepdown-length:
  assumes length L > 1
  shows length (ladder-stepdown L) = length L - 1
  apply (subst ladder-stepdown-prepare[OF assms(1)])
  apply (simp add: ladder-shift-n-length)
  using assms apply arith
  done

lemma ladder-stepdown-i-0:
  assumes length L > 1
  shows ladder-i (ladder-stepdown L) 0 = ladder-i L 1 + ladder-ix L 1
  using ladder-stepdown-prepare[OF assms] ladder-i-def ladder-j-def deriv-j-def
  by (metis One-nat-def deriv-i-def diff-Suc-1 ladder-stepdown.simps(3) list.sel(1))

  snd-conv zero-neq-one

lemma ladder-shift-n-cons: ladder-shift-n N (x#L) = (fst x - N, snd x)#
(ladder-shift-n N L)
  apply (induct L)
  by (cases x, simp)+

lemma ladder-shift-n-drop: ladder-shift-n N (drop n L) = drop n (ladder-shift-n N L)
proof (induct L arbitrary: n)
  case Nil then show ?case by simp
next
  case (Cons x L)
    show ?case
    proof (cases n)

```

```

case 0 then show ?thesis
  by simp
next
  case (Suc n) then show ?thesis
    by (simp add: ladder-shift-n-cons Cons)
qed
qed

lemma drop-2-shift:
  assumes index > 0
  assumes length L > 1
  shows drop 2 L ! (index - Suc 0) = L ! Suc index
proof -
  define l1 l2 and L' where l1 = L ! 0 l2 = L ! 1
  and L' = drop 2 L
  with <length L > 1 have L = l1 # l2 # L'
    by (cases L) (auto simp add: neq-Nil-conv)
  with <index > 0 show ?thesis
    by simp
qed

lemma ladder-shift-n-at:
  index < length L  $\implies$  (ladder-shift-n N L) ! index = (fst (L ! index) - N, snd (L ! index))
proof (induct L arbitrary: index)
  case Nil then show ?case by auto
next
  case (Cons x L)
  show ?case
    apply (simp add: ladder-shift-n-cons)
    apply (cases index)
    apply (auto)
    apply (rule-tac Cons(1))
    using Cons(2) by auto
qed

lemma ladder-stepdown-j:
  assumes length-L-greater-1: length L > 1
  assumes L': L' = ladder-stepdown L
  assumes index-bound: index < length L'
  shows ladder-j L' index = ladder-j L (Suc index)
proof -
  note L-prepare = ladder-stepdown-prepare[OF length-L-greater-1]
  have ladder-stepdown-L-def: ladder-stepdown L = ((ladder-n L (Suc 0) - Suc (ladder-n L 0), ladder-j L (Suc 0), ladder-j L 0 + ladder-ix L (Suc 0)) #
    ladder-shift-n (Suc (ladder-n L 0)) (drop 2 L))
    by (subst L-prepare, simp)
  have index = 0  $\vee$  index > 0 by arith
  then show ladder-j L' index = ladder-j L (Suc index)

```

```

proof (induct rule: disjCases2)
  case 1
    show ?case
      by (simp add: L' ladder-stepdown-L-def 1 ladder-j-def deriv-j-def)
  next
    case 2
      have index-bound': Suc index < length L
      using index-bound L' ladder-stepdown-length length-L-greater-1 by auto
      show ?case
        apply (simp add: L' ladder-stepdown-L-def 2 ladder-j-def ladder-shift-n-drop
drop-2-shift)
        apply (subst drop-2-shift)
        apply (simp add: 2)
        using length-L-greater-1 apply (simp add: ladder-shift-n-length)
        apply (simp add: deriv-j-def)
        apply (simp add: ladder-shift-n-at[OF index-bound'])
        done
  qed
qed

lemma ladder-stepdown-last-j:
  assumes length-L-greater-1: length L > 1
  shows ladder-last-j (ladder-stepdown L) = ladder-last-j L
  using ladder-stepdown-j Suc-diff-Suc diff-Suc-1 ladder-last-j-def ladder-stepdown-length

  length-L-greater-1 lessI by auto

lemma ladder-stepdown-n:
  assumes length-L-greater-1: length L > 1
  assumes L': L' = ladder-stepdown L
  assumes index-bound: index < length L'
  shows ladder-n L' index = ladder-n L (Suc index) - ladder-stepdown-diff L
proof -
  note L-prepare = ladder-stepdown-prepare[OF length-L-greater-1]
  have ladder-stepdown-L-def: ladder-stepdown L = ((ladder-n L (Suc 0) - Suc
(ladder-n L 0), ladder-j L (Suc 0), ladder-j L 0 + ladder-ix L (Suc 0)) #
ladder-shift-n (Suc (ladder-n L 0))) (drop 2 L))
  by (subst L-prepare, simp)
  have index = 0 ∨ index > 0 by arith
  then show ladder-n L' index = ladder-n L (Suc index) - ladder-stepdown-diff
L
proof (induct rule: disjCases2)
  case 1
    show ?case
      by (simp add: L' ladder-stepdown-L-def 1 ladder-n-def deriv-n-def lad-
der-stepdown-diff-def)
  next
    case 2
      have index-bound': Suc index < length L

```

```

using index-bound L' ladder-stepdown-length length-L-greater-1 by auto
show ?case
apply (simp add: L' ladder-stepdown-L-def 2 ladder-n-def ladder-shift-n-drop
drop-2-shift
      ladder-stepdown-diff-def)
apply (subst drop-2-shift)
apply (simp add: 2)
using length-L-greater-1 apply (simp add: ladder-shift-n-length)
apply (simp add: deriv-n-def)
apply (simp add: ladder-shift-n-at[OF index-bound])
done
qed
qed

lemma ladder-stepdown-ix:
assumes length-L-greater-1: length L > 1
assumes L': L' = ladder-stepdown L
assumes index-lower-bound: 0 < index
assumes index-upper-bound: index < length L'
shows ladder-ix L' index = ladder-ix L (Suc index)
proof -
note L-prepare = ladder-stepdown-prepare[OF length-L-greater-1]
have ladder-stepdown-L-def: ladder-stepdown L = ((ladder-n L (Suc 0) - Suc
(ladder-n L 0), ladder-j L (Suc 0), ladder-j L 0 + ladder-ix L (Suc 0)) #
ladder-shift-n (Suc (ladder-n L 0))) (drop 2 L))
by (subst L-prepare, simp)

have index-bound': Suc index < length L
using index-upper-bound L' ladder-stepdown-length length-L-greater-1 by auto
show ?thesis
apply (simp add: L' ladder-stepdown-L-def index-lower-bound ladder-ix-def
ladder-shift-n-drop)
apply (subst drop-2-shift)
apply (simp add: index-lower-bound)
using length-L-greater-1 apply (simp add: ladder-shift-n-length)
apply (simp add: deriv-ix-def)
apply (simp add: ladder-shift-n-at[OF index-bound])
using index-lower-bound by arith
qed

lemma Derive-Derive:
assumes Derivation α (D@E) γ
shows Derive (Derive α D) E = Derive α (D@E)
using Derivation-append Derive assms by fastforce

lemma drop-at-shift:
assumes n ≤ index
assumes index < length D
shows drop n D ! (index - n) = D ! index

```

```

using assms(1) assms(2) by auto

theorem LeftDerivationLadder-stepdown:
  assumes ldl: LeftDerivationLadder  $\alpha$  D L  $\gamma$ 
  assumes length-L: length L > 1
  shows  $\exists$  L'. LeftDerivationLadder (ladder-stepdown- $\alpha$ -0  $\alpha$  D L) (drop (ladder-stepdown-diff L) D)
    L'  $\gamma$   $\wedge$  length L' = length L - 1  $\wedge$  ladder-i L' 0 = ladder-i L 1 + ladder-ix
    L 1  $\wedge$ 
      ladder-last-j L' = ladder-last-j L
  proof -
    obtain L' where L': L' = ladder-stepdown L by blast
    have ldl1: LeftDerivation (ladder-stepdown- $\alpha$ -0  $\alpha$  D L) (drop (ladder-stepdown-diff L) D)  $\gamma$ 
    proof -
      have D-split: D = (take (ladder-stepdown-diff L) D) @ (drop (ladder-stepdown-diff L) D)
        by simp
      show ?thesis using D-split ldl
      proof -
        obtain sss :: symbol list  $\Rightarrow$  (nat  $\times$  symbol  $\times$  symbol list) list  $\Rightarrow$  (nat  $\times$  symbol  $\times$  symbol list) list  $\Rightarrow$  symbol list  $\Rightarrow$  symbol list where
           $\forall$  x0 x1 x2 x3. ( $\exists$  v4. LeftDerivation x3 x2 v4  $\wedge$  LeftDerivation v4 x1 x0) =
            (LeftDerivation x3 x2 (sss x0 x1 x2 x3)  $\wedge$  LeftDerivation (sss x0 x1 x2 x3) x1 x0)
          by moura
          then have ( $\neg$  LeftDerivation  $\alpha$  (take (ladder-stepdown-diff L) D @ drop (ladder-stepdown-diff L) D)  $\gamma$   $\vee$  LeftDerivation  $\alpha$  (take (ladder-stepdown-diff L) D) (sss  $\gamma$  (drop (ladder-stepdown-diff L) D) (take (ladder-stepdown-diff L) D)  $\alpha$ )  $\wedge$  LeftDerivation (sss  $\gamma$  (drop (ladder-stepdown-diff L) D) (take (ladder-stepdown-diff L) D)  $\alpha$ ) (drop (ladder-stepdown-diff L) D)  $\gamma$   $\wedge$  (LeftDerivation  $\alpha$  (take (ladder-stepdown-diff L) D) @ drop (ladder-stepdown-diff L) D)  $\gamma$   $\vee$  ( $\forall$  ss.  $\neg$  LeftDerivation  $\alpha$  (take (ladder-stepdown-diff L) D) ss  $\vee$   $\neg$  LeftDerivation ss (drop (ladder-stepdown-diff L) D)  $\gamma$ ))
            using LeftDerivation-append by blast
          then show ?thesis
            by (metis (no-types) D-split Derivation-take-derive Derivation-unique-dest
              LeftDerivationLadder-def LeftDerivation-implies-Derivation ladder-stepdown- $\alpha$ -0-def
              ldl)
          qed
        qed
      have L'-nonempty: L'  $\neq$  [] using L' ladder-stepdown-length length-L by fastforce
      {
        fix u :: nat
        assume u': u < length L'
        then have Suc-u: Suc u < length L using L' ladder-stepdown-length length-L
      by auto
        have ladder-n L (Suc u)  $\leq$  length D
        using ldl Suc-u by (simp add: LeftDerivationLadder-ladder-n-bound)
        then have ladder-n L' u  $\leq$  length D - ladder-stepdown-diff L
      
```

```

apply (subst ladder-stepdown-n[OF length-L L' u'])
by auto
}
note is-ladder-prop1 = this
{
fix u :: nat
fix v :: nat
assume u-less-v: u < v
assume v-L': v < length L'
from u-less-v v-L' have u-L': u < length L' by arith
have ladder-n L (Suc u) < ladder-n L (Suc v)
using ldl by (metis (no-types, lifting) L' LeftDerivationLadder-def One-nat-def
Suc-diff-1
Suc-lessD Suc-mono is-ladder-def ladder-stepdown-length length-L u-less-v
v-L')
then have ladder-n L' u < ladder-n L' v
apply (simp add: ladder-stepdown-n[OF length-L L'] u-L' v-L')
by (metis (no-types, lifting) L' LeftDerivationLadder-def Suc-eq-plus1 Suc-leI
diff-less-mono is-ladder-def ladder-stepdown-diff-def ladder-stepdown-length
ldl
length-L less-diff-conv u-L' zero-less-Suc)
}
note is-ladder-prop2 = this
have is-ladder-L': is-ladder (drop (ladder-stepdown-diff L) D) L'
apply (auto simp add: is-ladder-def)
using L'-nonempty apply blast
using is-ladder-prop1 apply blast
using is-ladder-prop2 apply blast
using ladder-last-n-def ladder-stepdown-n L' LeftDerivationLadder-def Suc-diff-Suc
diff-Suc-1
ladder-n-last-is-length ladder-stepdown-length ldl length-L lessI by auto
have ldfix: LeftDerivationFix (ladder-stepdown- $\alpha$ -0  $\alpha$  D L) (ladder-i L' 0)
(take (ladder-n L' 0) (drop (ladder-stepdown-diff L) D)) (ladder-j L' 0)
(ladder- $\gamma$  (ladder-stepdown- $\alpha$ -0  $\alpha$  D L) (drop (ladder-stepdown-diff L) D) L'
0)
proof -
have introsAt-L-1: LeftDerivationIntrosAt  $\alpha$  D L 1
using LeftDerivationIntros-def LeftDerivationLadder-def ldl length-L by blast
thm LeftDerivationIntrosAt-def
obtain n where n: n = ladder-n L 0 by blast
obtain m where m: m = ladder-n L 1 by blast
have LeftDerivationIntro (ladder- $\alpha$   $\alpha$  D L 1) (ladder-i L 1) (snd (D ! n))
(ladder-ix L 1) (drop (Suc n) (take m D)) (ladder-j L 1) (ladder- $\gamma$   $\alpha$  D L 1)
using n m introsAt-L-1 by (metis LeftDerivationIntrosAt-def One-nat-def
diff-Suc-1)
from iffD1[OF LeftDerivationIntro-def this] obtain  $\beta$  where  $\beta$ :
LeftDerives1 (ladder- $\alpha$   $\alpha$  D L 1) (ladder-i L 1) (snd (D ! n))  $\beta$  ^
ladder-ix L 1 < length (snd (snd (D ! n))) ^
snd (snd (D ! n)) ! ladder-ix L 1 = ladder- $\gamma$   $\alpha$  D L 1 ! ladder-j L 1 ^

```

```

LeftDerivationFix β (ladder-i L 1 + ladder-ix L 1) (drop (Suc n) (take m
D)) (ladder-j L 1)
  (ladder-γ α D L 1)
  by blast
have β = Derive (ladder-α α D L 1) [D ! n]
  by (metis (no-types, opaque-lifting) LeftDerivationIntrosAt-def LeftDerives1-Derive
β
  cancel-comm-monoid-add-class.diff-cancel introsAt-L-1 n prod.collapse)
then have β-def: β = ladder-stepdown-α-0 α D L
  proof -
    obtain sss :: nat ⇒ symbol list ⇒ symbol list and ss :: nat ⇒ symbol list
    ⇒ symbol and sssa :: nat ⇒ symbol list ⇒ symbol list where
      ∀ x2 x3. (exists v4 v5 v6. splits-at x3 x2 v4 v5 v6) = splits-at x3 x2 (sss x2 x3)
    (ss x2 x3) (sssa x2 x3)
    by moura
    then have f1: ∀ ssa n p ssb. ¬ Derives1 ssa n p ssb ∨ splits-at ssa n (sss n
    ssa) (ss n ssa) (sssa n ssa)
    using splits-at-ex by presburger
    then have β = sss (ladder-i L 1) (ladder-α α D L 1) @ snd (snd (D ! n))
    @ sssa (ladder-i L 1) (ladder-α α D L 1)
    by (meson LeftDerives1-implies-Derives1 β splits-at-combine-dest)
    then show ?thesis
    using f1 by (metis (no-types) LeftDerives1-implies-Derives1 β ladder-stepdown-α-0-altdef ldl length-L n)
  qed
have ladder-i-L'-0: ladder-i L' 0 = ladder-i L 1 + ladder-ix L 1
  using L' ladder-stepdown-i-0 length-L by blast
have derivation-eq: (take (ladder-n L' 0)) (drop (ladder-stepdown-diff L) D)) =
  (drop (Suc n) (take m D)) using n m
  by (metis L' L'-nonempty One-nat-def drop-take ladder-stepdown-diff-def
ladder-stepdown-n
  length-L length-greater-0-conv)
have ladder-j-L'-0: ladder-j L' 0 = ladder-j L 1
  using L' L'-nonempty ladder-stepdown-j length-L by auto
have ladder-γ: (ladder-γ (ladder-stepdown-α-0 α D L) (drop (ladder-stepdown-diff
L) D) L' 0) =
  ladder-γ α D L 1
  by (metis Derivation-take-derive Derivation-unique-dest LeftDerivationFix-def

  LeftDerivation-implies-Derivation β β-def derivation-eq ladder-γ-def ldl1)
from β-def β ladder-i-L'-0 derivation-eq ladder-j-L'-0 ladder-γ
show ?thesis by auto
qed
{
fix index :: nat
assume index-lower-bound: Suc 0 ≤ index
assume index-upper-bound: index < length L'
then have Suc-index-upper-bound: Suc index < length L

```

```

using L' Suc-diff-Suc Suc-less-eq diff-Suc-1 ladder-stepdown-length length-L
less-Suc-eq
by auto
then have intros-at-Suc-index: LeftDerivationIntrosAt α D L (Suc index)
by (metis LeftDerivationIntros-def LeftDerivationLadder-def Suc-eq-plus1-left
ldl le-add1)
from iffD1[OF LeftDerivationIntrosAt-def this] have ldintro:
let α' = ladder-α α D L (Suc index); i = ladder-i L (Suc index); j = ladder-j
L (Suc index);
ix = ladder-ix L (Suc index); γ = ladder-γ α D L (Suc index); n = ladder-n
L (Suc index - 1);
m = ladder-n L (Suc index); e = D ! n; E = drop (Suc n) (take m D)
in i = fst e ∧ LeftDerivationIntro α' i (snd e) ix E j γ by blast
have index-minus-Suc-0-bound: index - Suc 0 < length L'
by (simp add: index-upper-bound less-imp-diff-less)
note helpers = length-L L' index-minus-Suc-0-bound
have ladder-i-L'-index:
ladder-i L' index = fst (drop (ladder-stepdown-diff L) D ! ladder-n L' (index
- Suc 0))
apply (auto simp add: ladder-i-def)
using index-lower-bound apply arith
apply (simp add: ladder-stepdown-n[OF helpers] ladder-stepdown-j[OF helpers])
apply (subst drop-at-shift)
using LeftDerivationLadder-def Suc-index-upper-bound Suc-leI Suc-lessD
is-ladder-def
ladder-stepdown-diff-def ldl apply presburger
apply (metis LeftDerivationLadder-def One-nat-def Suc-eq-plus1 Suc-index-upper-bound

add.commute add-diff-cancel-right' ladder-n-minus-1-bound ldl le-add1)
by (metis LeftDerivationIntrosAt-def intros-at-Suc-index diff-Suc-1 ladder-i-def
nat.simps(3))
have intro-at-index:
LeftDerivationIntro (ladder-α (ladder-stepdown-α-0 α D L) (drop (ladder-stepdown-diff
L) D) L' index)
(ladder-i L' index) (snd (drop (ladder-stepdown-diff L) D ! ladder-n L' (index
- Suc 0)))
(ladder-ix L' index)
(drop (Suc (ladder-n L' (index - Suc 0))))
(take (ladder-n L' index) (drop (ladder-stepdown-diff L) D)))
(ladder-j L' index) (ladder-γ (ladder-stepdown-α-0 α D L))
(drop (ladder-stepdown-diff L) D) L' index
proof -
have arg1: (ladder-α (ladder-stepdown-α-0 α D L)
(drop (ladder-stepdown-diff L) D) L' index) = ladder-α α D L (Suc index)
apply (auto simp add: ladder-α-def ladder-γ-def)
using index-lower-bound apply arith
apply (simp add: ladder-stepdown-n[OF helpers] ladder-stepdown-α-0-def)
apply (subst Derive-Derive[where γ=ladder-γ α D L index])
apply (metis (no-types, lifting) Derivation-take-derive LeftDerivationLad-

```

```

der-def
LeftDerivation-implies-Derivation Suc-index-upper-bound Suc-leI Suc-lessD

add.commute is-ladder-def ladder-γ-def ladder-stepdown-diff-def ldl
le-add-diff-inverse2 take-add)
by (metis LeftDerivationLadder-def Suc-index-upper-bound Suc-leI Suc-lessD
add.commute
is-ladder-def ladder-stepdown-diff-def ldl le-add-diff-inverse2 take-add)
have arg2: ladder-i L' index = ladder-i L (Suc index)
using L' index-lower-bound index-minus-Suc-0-bound ladder-i-def lad-
der-stepdown-j
length-L by auto
obtain n where n: n = ladder-n L (Suc index - 1) by blast
have arg3: (snd (drop (ladder-stepdown-diff L) D ! ladder-n L' (index - Suc
0))) =
snd (D ! n)
apply (simp add: ladder-stepdown-n[OF helpers] index-lower-bound)
apply (subst drop-at-shift)
using index-lower-bound
apply (metis (no-types, opaque-lifting) L' LeftDerivationLadder-def One-nat-def
Suc-eq-plus1
add.commute diff-Suc-1 index-upper-bound is-ladder-def ladder-stepdown-diff-def

ladder-stepdown-length ldl le-add-diff-inverse2 length-L less-or-eq-imp-le n
nat.simps(3) neq0-conv not-less not-less-eq-eq)
using index-lower-bound
apply (metis LeftDerivationLadder-def One-nat-def Suc-index-upper-bound
Suc-le-lessD
Suc-pred diff-Suc-1 ladder-n-minus-1-bound ldl le-imp-less-Suc less-imp-le)

using index-lower-bound n by (simp add: Suc-diff-le)
have arg4: ladder-ix L' index = ladder-ix L (Suc index)
using ladder-stepdown-ix L' Suc-le-lessD index-lower-bound index-upper-bound
length-L
by auto
obtain m where m: m = ladder-n L (Suc index) by blast
have Suc-index-Suc: Suc (index - Suc 0) = index
using index-lower-bound by arith
have arg5: (drop (Suc (ladder-n L' (index - Suc 0))) (take (ladder-n L'
index)
(drop (ladder-stepdown-diff L) D))) = drop (Suc n) (take m D)
apply (simp add: ladder-stepdown-n[OF helpers]
ladder-stepdown-n[OF length-L L' index-upper-bound] n m Suc-index-Suc)
by (metis (no-types, lifting) LeftDerivationLadder-def Suc-eq-plus1-left
Suc-index-upper-bound Suc-leI Suc-le-lessD Suc-lessD drop-drop drop-take
index-lower-bound is-ladder-def ladder-stepdown-diff-def ldl le-add-diff-inverse2)
have arg6: ladder-j L' index = ladder-j L (Suc index)
using L' index-upper-bound ladder-stepdown-j length-L by blast
have arg7: (ladder-γ (ladder-stepdown-α-0 α D L))

```

```

(drop (ladder-stepdown-diff L) D) L' index) = ladder-γ α D L (Suc index)
apply (simp add: ladder-γ-def)
apply (simp add: ladder-stepdown-n[OF length-L L' index-upper-bound]
ladder-stepdown-α-0-def)
apply (subst Derive-Derive[where γ=ladder-γ α D L (Suc index)])
apply (metis (no-types, lifting) L' LeftDerivationLadder-def
LeftDerivation-implies-Derivation LeftDerivation-take-derive Suc-le-lessD
add-diff-inverse-nat diff-is-0-eq index-lower-bound index-upper-bound
is-ladder-L'
is-ladder-def ladder-γ-def ladder-stepdown-n ldl le-0-eq length-L less-numeral-extra(3)

less-or-eq-imp-le take-add)
by (metis (no-types, lifting) L' One-nat-def add-diff-inverse-nat diff-is-0-eq
index-lower-bound index-upper-bound is-ladder-L' is-ladder-def ladder-stepdown-n
le-0-eq
le-neq-implies-less length-L less-numeral-extra(3) less-or-eq-imp-le take-add
zero-less-one)
from ldintro arg1 arg2 arg3 arg4 arg5 arg6 arg7 show ?thesis
by (metis m n)
qed
have LeftDerivationIntrosAt (ladder-stepdown-α-0 α D L) (drop (ladder-stepdown-diff
L) D)
L' index
apply (auto simp add: LeftDerivationIntrosAt-def Let-def)
using ladder-i-L'-index apply blast
using intro-at-index by blast
}
note introsAt = this
show ?thesis
apply (rule-tac x=L' in exI)
apply auto
defer 1
using L' ladder-stepdown-length length-L apply auto[1]
using ladder-stepdown-i-0 length-L L' apply auto[1]
using ladder-stepdown-last-j L' length-L apply auto[1]
apply (auto simp add: LeftDerivationLadder-def)
using ldl1 apply blast
using is-ladder-L' apply blast
using ldfix apply blast
apply (auto simp add: LeftDerivationIntros-def)
apply (simp add: introsAt)
done
qed

fun ladder-shift-j :: nat ⇒ ladder ⇒ ladder where
ladder-shift-j d [] = []
| ladder-shift-j d ((n, j, i)#L) = ((n, j - d, i)#(ladder-shift-j d L))

definition ladder-cut-prefix :: nat ⇒ ladder ⇒ ladder

```

```

where
ladder-cut-prefix d L =
  (ladder-shift-j d L)[0 := (ladder-n L 0, ladder-j L 0 - d, ladder-i L 0 - d)]

lemma ladder-shift-j-length:
length (ladder-shift-j d L) = length L
by (induct L, auto)

lemma ladder-cut-prefix-length:
shows length (ladder-cut-prefix d L) = length L
apply (simp add: ladder-cut-prefix-def)
apply (simp add: ladder-shift-j-length)
done

lemma ladder-shift-j-cons: ladder-shift-j d (x#L) = (fst x, fst (snd x) - d, snd(snd
x))#
(ladder-shift-j d L)
apply (induct L)
by (cases x, simp)+

lemma deriv-j-ladder-shift-j:
index < length L ==> deriv-j (ladder-shift-j d L ! index) = deriv-j (L ! index) -
d
proof (induct L arbitrary: index)
case Nil
  then show ?case by auto
next
case (Cons x L)
  show ?case
    apply (subst ladder-shift-j-cons)
    apply (cases index)
    using Cons by (auto simp add: deriv-j-def)
qed

lemma deriv-n-ladder-shift-j:
index < length L ==> deriv-n (ladder-shift-j d L ! index) = deriv-n (L ! index)
proof (induct L arbitrary: index)
case Nil
  then show ?case by auto
next
case (Cons x L)
  show ?case
    apply (subst ladder-shift-j-cons)
    apply (cases index)
    using Cons by (auto simp add: deriv-n-def)
qed

lemma deriv-ix-ladder-shift-j:
index < length L ==> deriv-ix (ladder-shift-j d L ! index) = deriv-ix (L ! index)

```

```

proof (induct L arbitrary: index)
  case Nil
    then show ?case by auto
next
  case (Cons x L)
    show ?case
      apply (subst ladder-shift-j-cons)
      apply (cases index)
      using Cons by (auto simp add: deriv-ix-def)
qed

lemma ladder-cut-prefix-j:
  assumes index-bound: index < length L
  assumes length-L: length L > 0
  shows ladder-j (ladder-cut-prefix d L) index = ladder-j L index - d
  apply (simp add: ladder-j-def ladder-cut-prefix-def)
  apply (cases index)
  apply (auto simp add: length-L)
  apply (subst nth-list-update-eq)
  apply (simp only: ladder-shift-j-length length-L)
  apply (simp add: deriv-j-def)
  apply (subst deriv-j-ladder-shift-j)
  using index-bound apply arith
  by blast

lemma hd-0-subst: length L > 0  $\implies$  hd (L [0 := x]) = x
  using hd-conv-nth by (simp add: upd-conv-take-nth-drop)

lemma ladder-cut-prefix-i:
  assumes index-bound: index < length L
  assumes length-L: length L > 0
  shows ladder-i (ladder-cut-prefix d L) index = ladder-i L index - d
  apply (simp add: ladder-i-def ladder-cut-prefix-def)
  apply (cases index)
  apply auto[1]
  apply (subst hd-0-subst)
  using length-L ladder-shift-j-length apply metis
  apply (auto simp add: deriv-i-def)
  apply (case-tac nat)
  apply (simp add: ladder-j-def deriv-j-def)
  apply auto
  apply (subst nth-list-update-eq)
  using length-L ladder-shift-j-length apply auto[1]
  apply simp
  apply (simp add: ladder-j-def)
  apply (subst deriv-j-ladder-shift-j)
  using index-bound apply arith
  apply simp
done

```

```

lemma ladder-cut-prefix-n:
  assumes index-bound: index < length L
  assumes length-L: length L > 0
  shows ladder-n (ladder-cut-prefix d L) index = ladder-n L index
  apply (simp add: ladder-cut-prefix-def)
  apply (cases index)
  apply (auto simp add: ladder-n-def)
  apply (subst nth-list-update-eq)
  apply (simp add: ladder-shift-j-length)
  using length-L apply blast
  apply (simp add: deriv-n-def )
  apply (rule-tac deriv-n-ladder-shift-j)
  using index-bound by arith

lemma ladder-cut-prefix-ix:
  assumes index-bound: index < length L
  assumes length-L: length L > 0
  shows ladder-ix (ladder-cut-prefix d L) index = ladder-ix L index
  apply (simp add: ladder-cut-prefix-def)
  apply (cases index)
  apply (auto simp add: ladder-ix-def)
  apply (rule-tac deriv-ix-ladder-shift-j)
  using index-bound by arith

lemma LeftDerivationFix-derivation-ge-is-nonterminal:
  assumes ldfx: LeftDerivationFix α i D j γ
  assumes derivation-ge-d: derivation-ge D d
  assumes is-nonterminal: is-nonterminal (γ ! j)
  shows (D = [] ∧ α = γ ∧ i = j) ∨ (i > d ∧ j ≥ d)
proof -
  have is-nonterminal (α ! i) using ldfx is-nonterminal
    by (simp add: LeftDerivationFix-def)
  from LeftDerivationFix-splits-at-nonterminal[OF ldfx this] obtain U a1 a2 b1
  where U:
    splits-at α i a1 U a2 ∧ splits-at γ j b1 U a2 ∧ LeftDerivation a1 D b1 by blast
  have D = [] ∨ D ≠ [] by auto
  then show ?thesis
  proof (induct rule: disjCases2)
    case 1
      then have a1 = b1 using U by auto
      then have i-eq-j: i = j using U
        by (metis dual-order.strict-implies-order length-take min.absorb2 splits-at-def)
      from 1 have α = γ using ldfx LeftDerivationFix-def by auto
      with 1 i-eq-j show ?case by blast
    next
    case 2
      have ∃ a1'. LeftDerives1 a1 (fst (hd D)) (snd (hd D)) a1' using U 2

```

```

    by (metis LeftDerivation.elims(2) list.sel(1))
  then obtain a1' where a1': LeftDerives1 a1 (fst (hd D)) (snd (hd D)) a1'
  by blast
  then have (fst (hd D)) < length a1 using Derives1-bound LeftDerives1-implies-Derives1
  by blast
  then have fst-less-i: (fst (hd D)) < i using U
  by (simp add: leD min.absorb2 nat-le-linear splits-at-def)
  have d-le-fst: d ≤ fst (hd D) using derivation-ge-d 2 by (simp add: derivation-ge-def)
  with fst-less-i have d-less-i: d < i using le-less-trans by blast
  have ∃ b1'. LeftDerives1 b1' (fst (last D)) (snd (last D)) b1 using U 2
  by (metis Derive LeftDerivation-Derive-take-LeftDerives1 LeftDerivation-implies-Derivation

  last-conv-nth length-0-conv order-refl take-all)
  then obtain b1' where b1': LeftDerives1 b1' (fst (last D)) (snd (last D)) b1
  by blast
  then have fst (last D) ≤ length b1
  using Derives1-bound' LeftDerives1-implies-Derives1 by blast
  then have fst-le-j: fst (last D) ≤ j using U splits-at-def by auto
  have d ≤ fst (last D) using derivation-ge-d 2 using derivation-ge-def
  last-in-set by blast
  with fst-le-j have d ≤ j using order.trans by blast
  with d-less-i show ?thesis by auto
qed
qed

lemma LeftDerivationFix-derivation-ge:
assumes ldfix: LeftDerivationFix α i D j γ
assumes derivation-ge-d: derivation-ge D d
shows i = j ∨ (i > d ∧ j ≥ d)
proof –
  from LeftDerivationFix-splits-at-symbol[OF ldfix] obtain U a1 a2 b1 b2 n where
U:
  splits-at α i a1 U a2 ∧
  splits-at γ j b1 U b2 ∧
  n ≤ length D ∧
  LeftDerivation a1 (take n D) b1 ∧
  derivation-ge (drop n D) (Suc (length b1)) ∧
  LeftDerivation a2 (derivation-shift (drop n D) (Suc (length b1)) 0) b2 ∧
  (n = length D ∨ n < length D ∧ is-word (b1 @ [U])) by blast
  have n = 0 ∨ n > 0 by auto
  then show ?thesis
proof (induct rule: disjCases2)
  case 1
  then have a1 = b1 using U by auto
  then have i-eq-j: i = j using U
  by (metis dual-order.strict-implies-order length-take min.absorb2 splits-at-def)

  then show ?case by blast

```

```

next
case 2
  obtain E where  $E = \text{take } n D$  by blast
  have  $E\text{-nonempty}: E \neq []$  using  $E\text{ 2}$ 
    using  $U \text{ less-nat-zero-code list.size(3) take-eq-Nil}$  by auto
    have  $\exists a1'. \text{LeftDerives1 } a1 (\text{fst } (\text{hd } E)) (\text{snd } (\text{hd } E)) a1'$  using  $U E$ 
 $E\text{-nonempty}$ 
    by (metis LeftDerivation.simps(2) list.exhaust list.sel(1))
    then obtain  $a1'$  where  $a1': \text{LeftDerives1 } a1 (\text{fst } (\text{hd } E)) (\text{snd } (\text{hd } E)) a1'$ 
    by blast
    then have  $(\text{fst } (\text{hd } E)) < \text{length } a1$  using Derives1-bound LeftDerives1-implies-Derives1
    by blast
    then have  $\text{fst-less-i}: (\text{fst } (\text{hd } E)) < i$  using  $U$ 
      by (simp add: leD min.absorb2 nat-le-linear splits-at-def)
    have  $d\text{-le-fst}: d \leq \text{fst } (\text{hd } E)$  using derivation-ge-d  $E\text{-nonempty}$ 
      by (simp add: LeftDerivation.elims(2)  $U$  derivation-ge-def hd-conv-nth)
    with  $\text{fst-less-i}$  have  $d\text{-less-i}: d < i$  using le-less-trans by blast
    have  $\exists b1'. \text{LeftDerives1 } b1' (\text{fst } (\text{last } E)) (\text{snd } (\text{last } E)) b1$  using  $E\text{-nonempty}$ 
 $U E$ 
      by (metis LeftDerivation-append1 append-butlast-last-id prod.collapse)

    then obtain  $b1'$  where  $b1': \text{LeftDerives1 } b1' (\text{fst } (\text{last } E)) (\text{snd } (\text{last } E)) b1$ 
    by blast
    then have  $\text{fst } (\text{last } E) \leq \text{length } b1$ 
      using Derives1-bound' LeftDerives1-implies-Derives1 by blast
    then have  $\text{fst-le-j}: \text{fst } (\text{last } E) \leq j$  using  $U$  splits-at-def by auto
    have  $d \leq \text{fst } (\text{last } E)$  using derivation-ge-d  $E\text{-nonempty}$ 
      using derivation-ge-d last-in-set by (metis derivation-ge-def set-take-subset
subsetCE)
    with  $\text{fst-le-j}$  have  $d \leq j$  using order.trans by blast
    with  $d\text{-less-i}$  show ?thesis by auto
  qed
qed

lemma LeftDerivationIntro-derivation-ge:
assumes ldintro: LeftDerivationIntro  $\alpha i r ix D j \gamma$ 
assumes i-ge-d:  $i \geq d$ 
assumes derivation-ge-d: derivation-ge  $D d$ 
shows  $j \geq d$ 
proof -
  from iffD1[OF LeftDerivationIntro-def ldintro] obtain  $\beta$  where  $\beta$ :
     $\text{LeftDerives1 } \alpha i r \beta \wedge ix < \text{length } (\text{snd } r) \wedge \text{snd } r ! ix = \gamma ! j \wedge$ 
     $\text{LeftDerivationFix } \beta (i + ix) D j \gamma$  by blast
  then have  $(i + ix = j) \vee (i + ix > d \wedge j \geq d)$ 
    using LeftDerivationFix-derivation-ge derivation-ge-d by blast
  then show ?thesis
proof (induct rule: disjCases2)
  case 1 then show ?case using i-ge-d trans-le-add1 by blast
next

```

```

  case 2 then show ?case by simp
qed
qed

lemma derivation-ge-LeftDerivationLadder:
assumes derivation-ge-d: derivation-ge D d
assumes ladder: LeftDerivationLadder α D L γ
assumes ladder-i-0: ladder-i L 0 ≥ d
shows index < length L ==> ladder-i L index ≥ d ∧ ladder-j L index ≥ d
proof (induct index)
  case 0
    from iffD1[OF LeftDerivationLadder-def ladder]
    have ldfx: LeftDerivationFix α (ladder-i L 0)
      (take (ladder-n L 0) D) (ladder-j L 0) (ladder-γ α D L 0) by blast
    have derivation-ge (take (ladder-n L 0) D) d
      using derivation-ge-d by (metis append-take-drop-id derivation-ge-append)
    from ladder-i-0 derivation-ge-d LeftDerivationFix-derivation-ge[OF ldfx this]
    show ?case by linarith
  next
    case (Suc n)
      have ladder-i-Suc: ladder-i L (Suc n) ≥ d
        apply (auto simp add: ladder-i-def)
        using Suc by auto
      from iffD1[OF LeftDerivationLadder-def ladder] have LeftDerivationIntros α
      D L
        by blast
      then have LeftDerivationIntrosAt α D L (Suc n)
        using Suc.preds
        by (metis LeftDerivationIntros-def Suc-eq-plus1-left le-add1)
      from iffD1[OF LeftDerivationIntrosAt-def this]
      show ?case using ladder-i-Suc LeftDerivationIntro-derivation-ge
        by (metis append-take-drop-id derivation-ge-append derivation-ge-d)
  qed

lemma derivation-shift-append:
derivation-shift (A@B) left right =
  (derivation-shift A left right) @ (derivation-shift B left right)
by (induct A, simp+)

lemma derivation-shift-right-left-subtract:
right ≥ left ==> derivation-shift (derivation-shift L 0 right) left 0 =
  derivation-shift L 0 (right - left)
by (induct L, simp+)

lemma LeftDerivationFix-cut-prefix:
assumes LeftDerivationFix (δ@α) i D j γ
assumes derivation-ge D (length δ)
assumes i ≥ length δ
assumes is-word-δ: is-word δ

```

```

shows  $\exists \gamma'. \gamma = \delta @ \gamma' \wedge$ 
 $LeftDerivationFix \alpha (i - length \delta) (derivation-shift D (length \delta) 0) (j - length$ 
 $\delta) \gamma'$ 
proof -
  have  $j \geq length \delta$ 
  using assms(3) LeftDerivationFix-derivation-ge[OF assms(1) assms(2)] by
  arith
  obtain  $\gamma'$  where  $\gamma': \gamma' = drop (length \delta) \gamma$  by blast
  from iffD1[OF LeftDerivationFix-def assms(1)] obtain  $E F$  where  $EF:$ 
     $is\text{-sentence} (\delta @ \alpha) \wedge$ 
     $is\text{-sentence} \gamma \wedge$ 
     $LeftDerivation (\delta @ \alpha) D \gamma \wedge$ 
     $i < length (\delta @ \alpha) \wedge$ 
     $j < length \gamma \wedge$ 
     $(\delta @ \alpha) ! i = \gamma ! j \wedge$ 
     $D = E @ derivation\text{-shift} F 0 (Suc j) \wedge$ 
     $LeftDerivation (take i (\delta @ \alpha)) E (take j \gamma) \wedge$ 
     $LeftDerivation (drop (Suc i) (\delta @ \alpha)) F (drop (Suc j) \gamma)$  by blast
  then have  $LeftDerivation (\delta @ \alpha) D \gamma$  by blast
  from LeftDerivation-skip-prefixword-ex[OF this is-word-δ]
  obtain  $\gamma'$  where  $\gamma': \gamma = \delta @ \gamma' \wedge LeftDerivation \alpha (derivation-shift D (length$ 
 $\delta) 0) \gamma'$  by blast
  have ldf1:  $is\text{-sentence} \alpha$  using EF is-sentence-concat by blast
  have ldf2:  $is\text{-sentence} \gamma'$  using EF  $\gamma'$  is-sentence-concat by blast
  have ldf3:  $i - length \delta < length \alpha$ 
  by (metis EF append-Nil assms(3) drop-append drop-eq-Nil not-le)
  have ldf4:  $j - length \delta < length \gamma'$ 
  by (metis EF append-Nil j-ge-d  $\gamma'$  drop-append drop-eq-Nil not-le)
  have ldf5:  $\alpha ! (i - length \delta) = \gamma' ! (j - length \delta)$ 
  by (metis  $\gamma'$  EF assms(3) j-ge-d leD nth-append)
  have D-split:  $D = E @ derivation\text{-shift} F 0 (Suc j)$  using EF by blast
  show ?thesis
    apply (rule-tac  $x=\gamma'$  in exI)
    apply (auto simp add:  $\gamma'$ )
    apply (auto simp add: LeftDerivationFix-def)
    using ldf1 apply blast
    using ldf2 apply blast
    using  $\gamma'$  apply blast
    using ldf3 apply blast
    using ldf4 apply blast
    using ldf5 apply blast
    apply (rule-tac  $x=derivation\text{-shift} E (length \delta) 0$  in exI)
    apply (rule-tac  $x=F$  in exI)
    apply auto
    apply (subst D-split)
    apply (simp add: derivation-shift-append)
    apply (subst derivation-shift-right-left-subtract)
    apply (simp add: j-ge-d le-Suc-eq)
    using j-ge-d apply (simp add: Suc-diff-le)

```

```

apply (metis EF LeftDerivation-implies-Derivation LeftDerivation-skip-prefix
      γ' append-eq-conv-conj assms(3) drop-take is-word-Derivation-derivation-ge is-word-δ
      take-all take-append)
using EF Suc-diff-le γ' assms(3) j-ge-d by auto
qed

lemma LeftDerives1-propagate-prefix:
LeftDerives1 (δ @ α) i r β ==> i ≥ length δ ==> is-prefix δ β
proof -
  assume a1: LeftDerives1 (δ @ α) i r β
  assume a2: length δ ≤ i
  have f3: take i (δ @ α) = take i β
  using a1 Derives1-take LeftDerives1-implies-Derives1 by blast
  then have f4: length (take i β) = i
  using a1 by (metis (no-types) Derives1-bound LeftDerives1-implies-Derives1
  dual-order.strict-implies-order length-take min.absorb2)
  have take (length δ) (take i β) = δ
  using f3 a2 by (simp add: append-eq-conv-conj)
  then show ?thesis
  using f4 a2 by (metis (no-types) append-Nil2 append-eq-conv-conj diff-is-0-eq'
  is-prefix-take take-0 take-append)
qed

lemma LeftDerivationIntro-cut-prefix:
assumes LeftDerivationIntro (δ@α) i r ix D j γ
assumes derivation-ge D (length δ)
assumes i ≥ length δ
assumes is-word-δ: is-word δ
shows ∃ γ'. γ = δ @ γ' ∧
LeftDerivationIntro α (i - length δ) r ix (derivation-shift D (length δ) 0) (j -
length δ) γ'
proof -
  from iffD1[OF LeftDerivationIntro-def assms(1)] obtain β where β:
    LeftDerives1 (δ @ α) i r β ∧
    ix < length (snd r) ∧ snd r ! ix = γ ! j ∧ LeftDerivationFix β (i + ix) D j γ
  by blast
  have ∃ β'. β = δ @ β'
  using LeftDerives1-propagate-prefix β assms(3) by (metis append-dropped-prefix)

  then obtain β' where β': β = δ @ β' by blast
  with β have LeftDerives1 (δ @ α) i r (δ @ β') by simp
  from LeftDerives1-skip-prefix[OF assms(3) this]
  have α-β': LeftDerives1 α (i - length δ) r β' by blast
  have ldfx: LeftDerivationFix (δ @ β') (i + ix) D j γ using β β' by auto
  have δ-le-i-plus-ix: length δ ≤ i + ix using assms(3) by arith
  from LeftDerivationFix-cut-prefix[OF ldfx assms(2) δ-le-i-plus-ix assms(4)]
  obtain γ' where γ': γ = δ @ γ' ∧

```

```

LeftDerivationFix  $\beta' (i + ix - \text{length } \delta) (\text{derivation-shift } D (\text{length } \delta) 0) (j - \text{length } \delta) \gamma'$ 
by blast
have same-symbol:  $\gamma ! j = \gamma' ! (j - \text{length } \delta)$ 
by (metis LeftDerivationFix-def  $\beta \beta' \delta\text{-le-}i\text{-plus-}ix \gamma' \text{leD nth-append}$ )
have  $\beta'\text{-}\gamma': \text{LeftDerivationFix } \beta' (i - \text{length } \delta + ix)$ 
( $\text{derivation-shift } D (\text{length } \delta) 0) (j - \text{length } \delta) \gamma'$  by (simp add:  $\gamma' \text{assms}(3)$ ))

show ?thesis
apply (simp add: LeftDerivationIntro-def)
apply (rule-tac  $x=\gamma'$  in exI)
apply (auto simp add:  $\gamma'$ )
apply (rule-tac  $x=\beta'$  in exI)
by (auto simp add:  $\beta \alpha\text{-}\beta' \text{same-symbol } \beta'\text{-}\gamma'$ )
qed

lemma LeftDerivationLadder-implies-LeftDerivation-at-index:
assumes LeftDerivationLadder  $\alpha D L \gamma$ 
assumes index < length L
shows LeftDerivation  $\alpha (\text{take} (\text{ladder-}n L \text{index}) D) (\text{ladder-}\gamma \alpha D L \text{index})$ 
using LeftDerivationLadder-def LeftDerivation-take-derive assms(1) ladder- $\gamma$ -def
by auto

lemma LeftDerivationLadder-cut-prefix-propagate:
assumes ladder: LeftDerivationLadder ( $\delta @ \alpha$ ) D L  $\gamma$ 
assumes is-word- $\delta$ : is-word  $\delta$ 
assumes derivation-ge- $\delta$ : derivation-ge D (length  $\delta$ )
assumes ladder-i-0: ladder-i L 0  $\geq$  length  $\delta$ 
assumes L':  $L' = \text{ladder-cut-prefix} (\text{length } \delta) L$ 
assumes D':  $D' = \text{derivation-shift } D (\text{length } \delta) 0$ 
shows index < length L  $\implies$ 
LeftDerivation  $\alpha (\text{take} (\text{ladder-}n L' \text{index}) D') (\text{ladder-}\gamma \alpha D' L' \text{index}) \wedge$ 
ladder- $\alpha$  ( $\delta @ \alpha$ ) D L index =  $\delta @ (\text{ladder-}\alpha \alpha D' L' \text{index}) \wedge$ 
ladder- $\gamma$  ( $\delta @ \alpha$ ) D L index =  $\delta @ (\text{ladder-}\gamma \alpha D' L' \text{index})$ 
proof (induct index)
case 0
have ladder- $\alpha$ : ladder- $\alpha$  ( $\delta @ \alpha$ ) D L 0 =  $\delta @ (\text{ladder-}\alpha \alpha D' L' 0)$ 
by (simp add: ladder- $\alpha$ -def)
have ldfix: LeftDerivationFix ( $\delta @ \alpha$ ) (ladder-i L 0) (take (ladder-n L 0) D)
(ladder-j L 0) (ladder- $\gamma$  ( $\delta @ \alpha$ ) D L 0) using ladder LeftDerivationLadder-def
by blast
have dge-take: derivation-ge (take (ladder-n L 0) D) (length  $\delta$ )
using derivation-ge- $\delta$  by (metis append-take-drop-id derivation-ge-append)
from LeftDerivationFix-cut-prefix[OF ldfix dge-take ladder-i-0 is-word- $\delta$ ]
obtain  $\gamma'$  where  $\gamma': \text{ladder-}\gamma (\delta @ \alpha) D L 0 = \delta @ \gamma' \wedge$ 
LeftDerivationFix  $\alpha (\text{ladder-}i L 0 - \text{length } \delta) (\text{derivation-shift} (\text{take} (\text{ladder-}n L 0) D) (\text{length } \delta) 0)$ 
(ladder-j L 0 - length  $\delta) \gamma'$  by blast
have ladder- $\gamma$ : ladder- $\gamma$  ( $\delta @ \alpha$ ) D L 0 =  $\delta @ (\text{ladder-}\gamma \alpha D' L' 0)$ 

```

```

using γ' by (metis 0.prems D' Derive L' LeftDerivationFix-def
LeftDerivation-implies-Derivation ladder-γ-def ladder-cut-prefix-n take-derivation-shift)
have LeftDerivation α (take (ladder-n L' 0) D') (ladder-γ α D' L' 0)
proof -
  have LeftDerivation (δ@α) (take (ladder-n L 0) D) (ladder-γ (δ@α) D L 0)
    using LeftDerivationLadder-implies-LeftDerivation-at-index ladder 0.prems
  by blast
  then show ?thesis
    by (metis D' LeftDerivationLadder-def LeftDerivation-skip-prefix
      LeftDerivation-take-derive derivation-ge-δ ladder ladder-γ-def)
qed
then show ?case using ladder-α ladder-γ by auto
next
  case (Suc index)
  have index-less-L: index < length L using Suc(2) by arith
  then have induct: ladder-γ (δ@α) D L index = δ@(ladder-γ α D' L' index)
    using Suc by blast
  then have ladder-α: ladder-α (δ@α) D L (Suc index) = δ@(ladder-α α D' L'
  (Suc index))
    by (simp add: ladder-α-def)
  have introsAt: LeftDerivationIntrosAt (δ@α) D L (Suc index)
    using Suc(2) ladder
    by (metis LeftDerivationIntros-def LeftDerivationLadder-def Suc-eq-plus1-left
      le-add1)
  obtain n m e E where n: n = ladder-n L (Suc index - 1) and
    m: m = ladder-n L (Suc index) and e: e = D ! n and E: E = drop (Suc n)
  (take m D)
    by blast
  from iffD1[OF LeftDerivationIntrosAt-def introsAt] have
    LeftDerivationIntro (ladder-α (δ @ α) D L (Suc index)) (ladder-i L (Suc
    index)) (snd e)
    (ladder-ix L (Suc index)) E (ladder-j L (Suc index)) (ladder-γ (δ @ α) D L
    (Suc index))
    using n m e E Let-def by meson
  then have ldintro:
    LeftDerivationIntro (δ@(ladder-α α D' L' (Suc index))) (ladder-i L (Suc
    index)) (snd e)
    (ladder-ix L (Suc index)) E (ladder-j L (Suc index)) (ladder-γ (δ @ α) D L
    (Suc index))
    by (simp add: ladder-α)
  have dge-E-δ: derivation-ge E (length δ)
    apply (simp add: E)
    using derivation-ge-δ
    by (metis append-take-drop-id derivation-ge-append)
  have ladder-i-Suc: length δ ≤ ladder-i L (Suc index)
    using Suc.prems derivation-ge-LeftDerivationLadder derivation-ge-δ ladder
    ladder-i-0
    by blast
  from LeftDerivationIntro-cut-prefix[OF ldintro dge-E-δ ladder-i-Suc is-word-δ]

```

```

obtain  $\gamma'$  where  $\gamma' : \text{ladder-}\gamma (\delta @ \alpha) D L (\text{Suc index}) = \delta @ \gamma' \wedge$ 
   $\text{LeftDerivationIntro} (\text{ladder-}\alpha \alpha D' L' (\text{Suc index})) (\text{ladder-}i L (\text{Suc index}) -$ 
   $\text{length } \delta) (\text{snd } e)$ 
   $(\text{ladder-}ix L (\text{Suc index})) (\text{derivation-shift } E (\text{length } \delta) 0) (\text{ladder-}j L (\text{Suc index}) -$ 
   $\text{length } \delta) \gamma'$ 
  by blast
then have  $\text{LeftDerivation} (\text{ladder-}\alpha \alpha D' L' (\text{Suc index}))$ 
   $((\text{ladder-}i L (\text{Suc index}) - \text{length } \delta, \text{snd } e) \# (\text{derivation-shift } E (\text{length } \delta) 0)) \gamma'$ 
  using  $\text{LeftDerivationIntro-implies-LeftDerivation}$  by blast
then have  $\text{LeftDerivation} (\text{ladder-}\gamma \alpha D' L' \text{ index})$ 
   $((\text{ladder-}i L (\text{Suc index}) - \text{length } \delta, \text{snd } e) \# (\text{derivation-shift } E (\text{length } \delta) 0)) \gamma'$ 
  by (auto simp add: ladder- $\alpha$ -def)
have  $ld : \text{LeftDerivation } \alpha (\text{take} (\text{ladder-}n L' (\text{Suc index})) D') (\text{ladder-}\gamma \alpha D'$ 
 $L' (\text{Suc index}))$ 
proof –
have  $\text{LeftDerivation} (\delta @ \alpha) (\text{take} (\text{ladder-}n L (\text{Suc index})) D) (\text{ladder-}\gamma (\delta @ \alpha) D L (\text{Suc index}))$ 
using  $\text{LeftDerivationLadder-implies-LeftDerivation-at-index}$  ladder Suc.prems
by blast
then show ?thesis
by (metis D' LeftDerivationLadder-def LeftDerivation-skip-prefix
  LeftDerivation-take-derive derivation-ge- $\delta$  ladder ladder- $\gamma$ -def)
qed
then show ?case
using  $\gamma' D' \text{Derive } L' \text{ LeftDerivationIntro-def } n m e E ld$ 
 $\text{LeftDerivation-implies-Derivation ladder-}\gamma\text{-def ladder-cut-prefix-}n \text{ take-derivation-shift}$ 
by (metis (no-types, lifting) LeftDerivationLadder-implies-LeftDerivation-at-index
  LeftDerivation-skip-prefixword-ex Suc.prems Suc-leI index-less-L is-word- $\delta$ 
ladder
  ladder- $\alpha$  le-0-eq neq0-conv)
qed

theorem  $\text{LeftDerivationLadder-cut-prefix}:$ 
assumes ladder:  $\text{LeftDerivationLadder} (\delta @ \alpha) D L \gamma$ 
assumes is-word- $\delta$ : is-word  $\delta$ 
assumes ladder-i-0:  $\text{ladder-}i L 0 \geq \text{length } \delta$ 
shows  $\exists D' L' \gamma'. \gamma = \delta @ \gamma' \wedge$ 
   $\text{LeftDerivationLadder } \alpha D' L' \gamma' \wedge$ 
   $D' = \text{derivation-shift } D (\text{length } \delta) 0 \wedge$ 
   $\text{length } L' = \text{length } L \wedge \text{ladder-}i L' 0 + \text{length } \delta = \text{ladder-}i L 0 \wedge$ 
   $\text{ladder-last-}j L' + \text{length } \delta = \text{ladder-last-}j L$ 
proof –
obtain  $D'$  where  $D' : \text{derivation-shift } D (\text{length } \delta) 0$  by blast
obtain  $L'$  where  $L' : \text{ladder-cut-prefix} (\text{length } \delta) L$  by blast
obtain  $\gamma'$  where  $\gamma' : \gamma' = \text{drop} (\text{length } \delta) \gamma$  by blast
have ladder-last-j-upper-bound:  $\text{ladder-last-}j L < \text{length } \gamma$  using ladder

```

```

using ladder-last-j-bound by blast
have derivation-ge- $\delta$ : derivation-ge  $D$  ( $\text{length } \delta$ ) using is-word- $\delta$  LeftDerivation-
Ladder-def
  LeftDerivation-implies-Derivation is-word-Derivation-derivation-ge ladder by
  blast
note derivation-ge-ladder =
  derivation-ge-LeftDerivationLadder[OF derivation-ge- $\delta$  ladder ladder-i-0]
have ladder-last-j-lower-bound: ladder-last-j  $L \geq \text{length } \delta$ 
using LeftDerivationLadder-def derivation-ge-ladder is-ladder-def ladder
ladder-last-j-def by auto
from ladder-last-j-upper-bound ladder-last-j-lower-bound
have  $\delta$ -less- $\gamma$ :  $\text{length } \delta < \text{length } \gamma$  by arith
then have  $\gamma\text{-def}$ :  $\gamma = \delta @ \gamma'$ 
by (metis LeftDerivation.simps(1) LeftDerivationLadder-def LeftDerivation-ge-take
 $\gamma'$ 
  append-eq-conv-conj derivation-ge- $\delta$  ladder)
have length-L-nonzero:  $\text{length } L \neq 0$ 
using LeftDerivationLadder-def is-ladder-def ladder by auto
have ladder-i-L'-thm:  $\bigwedge \text{index}. \text{index} < \text{length } L \implies \text{ladder-i } L' \text{ index} + \text{length }$ 
 $\delta = \text{ladder-i } L \text{ index}$ 
apply (simp add:  $L'$ )
apply (subst ladder-cut-prefix-i)
apply simp
using length-L-nonzero apply blast
using derivation-ge-ladder by auto
have ladder-j-L'-thm:  $\bigwedge \text{index}. \text{index} < \text{length } L \implies \text{ladder-j } L' \text{ index} + \text{length }$ 
 $\delta = \text{ladder-j } L \text{ index}$ 
apply (simp add:  $L'$ )
apply (subst ladder-cut-prefix-j)
using LeftDerivationLadder-def is-ladder-def ladder apply blast
using LeftDerivationLadder-def is-ladder-def ladder apply blast
using derivation-ge-ladder by auto
have length-L':  $\text{length } L' = \text{length } L$  using L' ladder-cut-prefix-length by simp
have  $\alpha\text{-}\gamma'$ : LeftDerivation  $\alpha D' \gamma'$ 
using D' LeftDerivationLadder-def LeftDerivation-skip-prefix  $\gamma'$  derivation-ge- $\delta$ 
ladder
by blast
have length-D':  $\text{length } D' = \text{length } D$  by (simp add:  $D'$ )
have is-ladder-D-L: is-ladder  $D L$  using LeftDerivationLadder-def ladder by
blast
{
  fix  $u :: \text{nat}$ 
  assume u-bound-L':  $u < \text{length } L'$ 
  have u-bound-L:  $u < \text{length } L$  using length-L' using u-bound-L' by simp
  have ladder-n L' u  $\leq \text{length } D'$ 
    apply (simp add: length-D' L')
    apply (subst ladder-cut-prefix-n)
    apply (simp add: u-bound-L)
    using length-L-nonzero apply arith
}

```

```

using u-bound-L is-ladder-D-L
by (simp add: is-ladder-def)
}
note is-ladder-1 = this
{
fix u :: nat
fix v :: nat
assume u-less-v: u < v
assume v-bound-L': v < length L'
then have v-bound-L: v < length L by (simp add: length-L')
with u-less-v have u-bound-L: u < length L by arith
have ladder-n L' u < ladder-n L' v
apply (simp add: L')
apply (subst ladder-cut-prefix-n)
using u-bound-L apply blast
using length-L-nonzero apply blast
apply (subst ladder-cut-prefix-n)
using v-bound-L apply blast
using length-L-nonzero apply blast
using u-less-v v-bound-L is-ladder-D-L by (simp add: is-ladder-def)
}
note is-ladder-2 = this
have is-ladder-3: ladder-last-n L' = length D'
apply (simp add: length-D' ladder-last-n-def L')
apply (subst ladder-cut-prefix-n)
apply (simp add: ladder-cut-prefix-length)
using length-L-nonzero apply auto[1]
using length-L-nonzero apply blast
apply (simp add: ladder-cut-prefix-length)
using is-ladder-D-L by (simp add: is-ladder-def ladder-last-n-def)
have is-ladder-4: LeftDerivationFix α (ladder-i L' 0) (take (ladder-n L' 0) D')
(ladder-j L' 0) (ladder-γ α D' L' 0)
proof -
have ldfix: LeftDerivationFix (δ@α) (ladder-i L 0) (take (ladder-n L 0) D)
(ladder-j L 0) (ladder-γ (δ@α) D L 0)
using ladder LeftDerivationLadder-def by blast
have dge: derivation-ge (take (ladder-n L 0) D) (length δ)
using derivation-ge-δ by (metis append-take-drop-id derivation-ge-append)
from LeftDerivationFix-cut-prefix[OF ldfix dge ladder-i-0 is-word-δ]
obtain γ' where γ': ladder-γ (δ @ α) D L 0 = δ @ γ' ∧
LeftDerivationFix α (ladder-i L 0 - length δ) (derivation-shift (take (ladder-n
L 0) D) (length δ) 0)
(ladder-j L 0 - length δ) γ' by blast
then show ?thesis
using LeftDerivationLadder-cut-prefix-propagate D' L' append-eq-conv-conj
derivation-ge-δ
is-word-δ ladder ladder-cut-prefix-i ladder-cut-prefix-j ladder-cut-prefix-n
ladder-i-0
length-0-conv length-L-nonzero length-greater-0-conv take-derivation-shift by

```

```

auto
qed
{
  fix index :: nat
  assume index-lower-bound: Suc 0 ≤ index
  assume index-upper-bound: index < length L'
  have introsAt: LeftDerivationIntrosAt (δ@α) D L index
    by (metis LeftDerivationIntros-def LeftDerivationLadder-def One-nat-def index-lower-bound
        index-upper-bound ladder length-L')
  then have ladder-i-L: ladder-i L index = fst (D ! ladder-n L (index - Suc 0))
    by (metis LeftDerivationIntrosAt-def One-nat-def `LeftDerivationIntrosAt (δ@α) D L index`)
  have ladder-i-L'-as-L: ladder-i L' index = ladder-i L index - (length δ)
  using ladder-cut-prefix-i L' index-upper-bound is-ladder-D-L is-ladder-not-empty
length-L'
    length-greater-0-conv by auto
  have length-L-gr-0: length L > 0 using length-L' length-L-nonzero by arith
  have index-Suc-upper-bound-L: index - Suc 0 < length L using index-upper-bound
length-L' by arith
  have fst (D' ! ladder-n L' (index - Suc 0)) = fst (D ! ladder-n L (index - Suc 0)) - (length δ)
    apply (subst D', subst L')
    apply (subst ladder-cut-prefix-n[OF index-Suc-upper-bound-L length-L-gr-0])
    apply (simp add: derivation-shift-def)
  using index-lower-bound index-upper-bound is-ladder-D-L ladder-n-minus-1-bound
length-L' by auto
  then have ladder-i-L': ladder-i L' index = fst (D' ! ladder-n L' (index - Suc 0))
    using ladder-i-L ladder-i-L'-as-L by auto
  have LeftDerivationIntro (ladder-α α D' L' index) (ladder-i L' index)
    (snd (D' ! ladder-n L' (index - Suc 0))) (ladder-ix L' index)
    (drop (Suc (ladder-n L' (index - Suc 0))) (take (ladder-n L' index) D'))
  (ladder-j L' index)
    (ladder-γ α D' L' index)
  proof -
    have LeftDerivationIntro (ladder-α (δ@α) D L index) (ladder-i L index)
      (snd (D ! ladder-n L (index - Suc 0))) (ladder-ix L index)
      (drop (Suc (ladder-n L (index - Suc 0))) (take (ladder-n L index) D))
  (ladder-j L index)
    (ladder-γ (δ@α) D L index) using introsAt
    by (metis LeftDerivationIntrosAt-def One-nat-def)
  then have ldintro: LeftDerivationIntro (δ@(ladder-α α D' L' index)) (ladder-i L index)
    (snd (D ! ladder-n L (index - Suc 0))) (ladder-ix L index)
    (drop (Suc (ladder-n L (index - Suc 0))) (take (ladder-n L index) D))
  (ladder-j L index)
    (ladder-γ (δ@α) D L index)
    using D' L' LeftDerivationLadder-cut-prefix-propagate derivation-ge-δ in-

```

```

dex-upper-bound
  is-word- $\delta$  ladder ladder-i-0 length-L' by auto
  have dge: derivation-ge (drop (Suc (ladder-n L (index - Suc 0)))
    (take (ladder-n L index) D)) (length  $\delta$ ) using derivation-ge- $\delta$ 
    by (metis append-take-drop-id derivation-ge-append)
  have  $\delta$ -le-i-L: length  $\delta$   $\leq$  ladder-i L index
    using derivation-ge-ladder index-upper-bound length-L' by auto
  from LeftDerivationIntro-cut-prefix[OF ldintro dge  $\delta$ -le-i-L is-word- $\delta$ ] obtain
 $\gamma'$  where  $\gamma'$ :
  ladder- $\gamma$  ( $\delta$  @  $\alpha$ ) D L index =  $\delta$  @  $\gamma'$  ^
    LeftDerivationIntro (ladder- $\alpha$   $\alpha$  D' L' index) (ladder-i L index - length
 $\delta$ )
    (snd (D ! ladder-n L (index - Suc 0))) (ladder-ix L index)
    (derivation-shift (drop (Suc (ladder-n L (index - Suc 0))) (take (ladder-n
L index) D))
      (length  $\delta$ ) 0) (ladder-j L index - length  $\delta$ )  $\gamma'$  by blast
  have h1: ladder-i L' index = ladder-i L index - length  $\delta$ 
    using L' ladder-cut-prefix-i ladder-i-L'-as-L by blast
  have h2: (snd (D' ! ladder-n L' (index - Suc 0))) = (snd (D ! ladder-n L
(index - Suc 0)))
    apply (subst L', subst ladder-cut-prefix-n)
  apply (simp add: index-Suc-upper-bound-L)
  using length-L-gr-0 apply auto[1]
  apply (subst D')
  apply (simp add: derivation-shift-def)
using index-lower-bound index-upper-bound is-ladder-D-L ladder-n-minus-1-bound

length-L' by auto
have h3: ladder-ix L' index = ladder-ix L index
  using ladder-cut-prefix-ix L' index-upper-bound length-L' length-L-gr-0 by
auto
  have h4: (drop (Suc (ladder-n L' (index - Suc 0))) (take (ladder-n L' index)
D')) =
    (derivation-shift (drop (Suc (ladder-n L (index - Suc 0))) (take (ladder-n
L index) D)))
      (length  $\delta$ ) 0)
    apply (subst D')
    apply (subst L')+
    apply (subst ladder-cut-prefix-n, simp add: index-Suc-upper-bound-L)
  using length-L-gr-0 apply blast
  apply (subst ladder-cut-prefix-n)
  using index-upper-bound length-L' apply arith
  using length-L-gr-0 apply blast
  apply (simp add: derivation-shift-def)
  by (simp add: drop-map take-map)
have h5: ladder-j L' index = ladder-j L index - length  $\delta$ 
  using ladder-cut-prefix-j L' index-upper-bound length-L' length-L-gr-0 by
auto
  have h6: ladder- $\gamma$   $\alpha$  D' L' index =  $\gamma'$ 

```

```

using D' L' LeftDerivationLadder-cut-prefix-propagate γ' derivation-ge-δ
index-upper-bound
  is-word-δ ladder ladder-i-0 length-L' by auto
  show ?thesis using h1 h2 h3 h4 h5 h6 γ' by simp
qed
then have LeftDerivationIntrosAt α D' L' index
  apply (auto simp add: LeftDerivationIntrosAt-def Let-def)
  using ladder-i-L' by blast
}
note is-ladder-5 = this
show ?thesis
  apply (rule-tac x=D' in exI)
  apply (rule-tac x=L' in exI)
  apply (rule-tac x=γ' in exI)
  apply auto
  using γ-def apply blast
  defer 1
  using D' apply blast
  using L' ladder-cut-prefix-length apply auto[1]
  apply (subst ladder-i-L'-thm)
  using LeftDerivationLadder-def is-ladder-def ladder apply blast
  apply simp
  apply (simp add: ladder-last-j-def)
  apply (subst ladder-j-L'-thm)
  apply (simp add: length-L')
  using length-L-nonzero apply arith
  apply (simp add: length-L')
  apply (auto simp add: LeftDerivationLadder-def)
  using α-γ' apply blast
  apply (auto simp add: is-ladder-def)
  using length-L-nonzero length-L' apply auto[1]
  using is-ladder-1 apply blast
  using is-ladder-2 apply blast
  using is-ladder-3 apply blast
  using is-ladder-4 apply blast
  by (auto simp add: LeftDerivationIntros-def is-ladder-5)
qed

end

end
theory TheoremD10
imports TheoremD9 Ladder
begin

context LocalLexing begin

lemma P-wellformed: p ∈ P k u ==> wellformed-tokens p
using P-are-admissible admissible-wellformed-tokens by blast

```

```

lemma  $\mathcal{X}$ -token-length:  $t \in \mathcal{X} k \implies k + \text{length}(\text{chars-of-token } t) \leq \text{length Doc}$ 
by (metis le-diff-conv2  $\mathcal{X}$ -is-prefix add.commute chars-of-token-def empty- $\mathcal{X}$ 
empty-iff is-prefix-length le-neq-implies-less length-drop linear)

lemma mono-Scan: mono (Scan T k)
by (simp add: Scan-regular regular-implies-mono)

lemma  $\pi$ -apply-setmonotone:  $x \in I \implies x \in \pi k T I$ 
using Complete-subset- $\pi$  LocalLexing.Complete-def LocalLexing-axioms by blast

lemma Scan-apply-setmonotone:  $x \in I \implies x \in \text{Scan } T k I$ 
by (simp add: Scan-def)

lemma leftderives-padfront:
assumes leftderives  $\alpha \beta$ 
assumes is-word u
shows leftderives  $(u@\alpha) (u@\beta)$ 
using LeftDerivation-append-prefix LeftDerivation-implies-leftderives assms(1) assms(2)

leftderives-implies-LeftDerivation by blast

lemma leftderives-padback:
assumes leftderives  $\alpha \beta$ 
assumes is-sentence u
shows leftderives  $(\alpha@u) (\beta@u)$ 
using LeftDerivation-append-suffix LeftDerivation-implies-leftderives assms(1) assms(2)

leftderives-implies-LeftDerivation by blast

lemma leftderives-pad:
assumes  $\alpha\beta$ : leftderives  $\alpha \beta$ 
assumes is-word: is-word u
assumes is-sentence: is-sentence v
shows leftderives  $(u@\alpha@v) (u@\beta@v)$ 
by (simp add:  $\alpha\beta$  is-sentence is-word leftderives-padback leftderives-padfront)

lemma leftderives-rule:
assumes  $(N, w) \in \mathfrak{R}$ 
shows leftderives  $[N] w$ 
by (metis append-Nil append-Nil2 assms is-sentence-def is-word-terminals leftderives1-def

leftderives1-implies-leftderives list.pred-inject(1) terminals-empty wellformed-tokens-empty-path)

lemma leftderives-rule-step:
assumes ld: leftderives a  $(u@[N]@v)$ 
assumes rule:  $(N, w) \in \mathfrak{R}$ 
assumes is-word: is-word u

```

```

assumes is-sentence: is-sentence v
shows leftderives a (u@w@v)
proof -
  have N-w: leftderives [N] w using rule leftderives-rule by blast
  then have leftderives (u@[N]@v) (u@w@v) using leftderives-pad is-word is-sentence by blast
  then show leftderives a (u@w@v) using leftderives-trans ld by blast
qed

lemma leftderives-trans-step:
  assumes ld: leftderives a (u@b@v)
  assumes rule: leftderives b c
  assumes is-word: is-word u
  assumes is-sentence: is-sentence v
  shows leftderives a (u@c@v)
proof -
  have leftderives (u@b@v) (u@c@v) using leftderives-pad ld rule is-word is-sentence by blast
  then show ?thesis using leftderives-trans ld by blast
qed

lemma charslength-of-prefix:
  assumes is-prefix a b
  shows charslength a ≤ charslength b
  by (simp add: assms is-prefix-chars is-prefix-length)

lemma item-rhs-simp[simp]: item-rhs (Item (N,  $\alpha$ ) d i j) =  $\alpha$ 
  by (simp add: item-rhs-def)

definition Prefixes :: 'a list ⇒ 'a list set
where
  Prefixes p = {q . is-prefix q p}

lemma  $\mathfrak{P}$ -wellformed: p ∈  $\mathfrak{P}$  ⇒ wellformed-tokens p
  by (simp add:  $\mathfrak{P}$ -are-admissible admissible-wellformed-tokens)

lemma Prefixes-reflexive[simp]: p ∈ Prefixes p
  by (simp add: Prefixes-def is-prefix-def)

lemma Prefixes-is-prefix: q ∈ Prefixes p = is-prefix q p
  by (simp add: Prefixes-def)

lemma prefixes-are-paths': p ∈  $\mathfrak{P}$  ⇒ is-prefix q p ⇒ q ∈  $\mathfrak{P}$ 
  using  $\mathcal{P}.$ simps(3)  $\mathfrak{P}$ -def prefixes-are-paths by blast

lemma thmD10-ladder:
  p ∈  $\mathfrak{P}$  ⇒
  charslength p = k ⇒
  X ∈ T ⇒

```

```

 $T \subseteq \mathcal{X} \ k \implies$ 
 $(N, \alpha @ \beta) \in \mathfrak{R} \implies$ 
 $r \leq \text{length } p \implies$ 
 $\text{leftderives } [\mathfrak{S}] ((\text{terminals } (\text{take } r p)) @ [N] @ \gamma) \implies$ 
 $\text{LeftDerivationLadder } \alpha D L (\text{terminals } ((\text{drop } r p) @ [X])) \implies$ 
 $\text{ladder-last-}j L = \text{length } (\text{drop } r p) \implies$ 
 $k' = k + \text{length } (\text{chars-of-token } X) \implies$ 
 $x = \text{Item } (N, \alpha @ \beta) (\text{length } \alpha) (\text{charslength } (\text{take } r p)) k' \implies$ 
 $I = \text{items-le } k' (\pi k' \{\} (\text{Scan } T k (\text{Gen } (\text{Prefixes } p))))$ 
 $\implies x \in I$ 
proof (induct length L arbitrary: N α β r γ D L x rule: less-induct)
case less
  have item-origin-x-def: item-origin x = (charslength (take r p))
  by (simp add: less.prems(11))
  then have x-k: item-origin x ≤ k
  using charslength.simps is-prefix-chars is-prefix-length is-prefix-take less.prems(2)
by force
  have item-end-x-def: item-end x = k' by (simp add: less.prems(11))
  have item-dot-x-def: item-dot x = length α by (simp add: less.prems(11))
  have k'-upperbound: k' ≤ length Doc
  using X-token-length less.prems(10) less.prems(3) less.prems(4) by blast
  note item-def = less.prems(11)
  note k' = less.prems(10)
  note rule-dom = less.prems(5)
  note p-charslength = less.prems(2)
  note p-dom = less.prems(1)
  note r = less.prems(6)
  note leftderives-start = less.prems(7)
  note X-dom = less.prems(3)
  have wellformed-x: wellformed-item x
    apply (auto simp add: wellformed-item-def item-def rule-dom p-charslength)
    apply (subst k')
    apply (subst charslength.simps[symmetric])
    apply (subst p-charslength[symmetric])
    using item-origin-x-def p-charslength x-k apply linarith
    apply (rule k'-upperbound)
    done
  have leftderives-α: leftderives α (terminals ((drop r p) @ [X]))
  using LeftDerivationLadder-def LeftDerivation-implies-leftderives less.prems(8)
by blast
  have is-sentence-drop-pX: is-sentence (drop r (terminals p) @ [terminal-of-token X])
  by (metis derives-is-sentence is-sentence-concat leftderives-α leftderives-implies-derives
    rule-α-type rule-dom terminals-append terminals-drop terminals-singleton)
  have snd-item-rule-x: snd (item-rule x) = α @ β by (simp add: item-def)
  from less have is-ladder D L using LeftDerivationLadder-def by blast
  then have length L ≠ 0 by (simp add: is-ladder-not-empty)
  then have length L = 1 ∨ length L > 1 by arith

```

```

then show ?case
proof (induct rule: disjCases2)
  case 1
    have  $\exists i. \text{LeftDerivationFix } \alpha i D (\text{length } (\text{drop } r p)) (\text{terminals } ((\text{drop } r p) @ [X]))$ 
      using 1.hyps LeftDerivationLadder-L-0 less.prems(8) less.prems(9) by fastforce
    then obtain i where LDF:
       $\text{LeftDerivationFix } \alpha i D (\text{length } (\text{drop } r p)) (\text{terminals } ((\text{drop } r p) @ [X]))$ 
    by blast
    from LeftDerivationFix-splits-at derives[OF this] obtain U a1 a2 b1 b2
    where decompose:
      splits-at  $\alpha i a1 U a2 \wedge \text{splits-at } (\text{terminals } (\text{drop } r p @ [X]))$ 
       $(\text{length } (\text{drop } r p)) b1 U b2 \wedge \text{derives } a1 b1 \wedge \text{derives } a2 b2$  by blast
    then have b1:  $b1 = \text{terminals } (\text{drop } r p)$ 
      by (simp add: append-eq-conv-conj splits-at-def)
    with decompose have U:  $U = \text{fst } X$ 
    by (metis length-terminals nth-append-length splits-at-def terminal-of-token-def

      terminals-append terminals-singleton)
    from decompose b1 U have b2:  $b2 = []$ 
      by (simp add: splits-at-combine splits-at-def)
    have D:  $\text{LeftDerivation } \alpha D (\text{terminals } ((\text{drop } r p) @ [X]))$ 
      using LDF LeftDerivationLadder-def less.prems(8) by blast
    let ?y = Item (item-rule x) (length a1) (item-origin x) k
    have wellformed-y: wellformed-item ?y
      using wellformed-x
      apply (auto simp add: wellformed-item-def x-k)
      using k' k'-upperbound apply arith
      apply (simp add: item-rhs-def snd-item-rule-x)
      using decompose splits-at-def
      by (simp add: is-prefix-length trans-le-add1)
    have y-nonterminal: item-nonterminal ?y = N
      by (simp add: item-def item-nonterminal-def)
    have item- $\alpha$ -y: item- $\alpha$  ?y = a1
      by (metis append-assoc append-eq-conv-conj append-take-drop-id decompose
item.sel(1)
      item.sel(2) item- $\alpha$ -def item-rhs-def snd-item-rule-x splits-at-def)
    have pvalid-y: pvalid p ?y
      apply (auto simp add: pvalid-def)
      using p-dom P-wellformed apply blast
      using wellformed-y apply auto[1]
      apply (rule-tac x=r in exI)
      apply (auto simp add: r)
      using p-charslength apply simp
      using item-def apply simp
      apply (rule-tac x= $\gamma$  in exI)
      using y-nonterminal apply simp
      using is-derivation-def leftderives-start apply auto[1]

```

```

apply (simp add: item- $\alpha$ -y)
using b1 decompose by auto
let ?z = inc-item ?y k'
have item-rhs-y: item-rhs ?y =  $\alpha @ \beta$ 
  by (simp add: item-def item-rhs-def)
have split- $\alpha$ :  $\alpha = a1 @ [U] @ a2$  using decompose splits-at-combine by blast
have next-symbol-y: next-symbol ?y = Some(fst X)
  by (auto simp add: next-symbol-def is-complete-def item-rhs-y split- $\alpha$  U)
have z-in-Scan-y: ?z ∈ Scan T k {?y}
  apply (simp add: Scan-def)
  apply (rule disjI2)
  apply (rule-tac x=?y in exI)
  apply (rule-tac x=fst X in exI)
  apply (rule-tac x=snd X in exI)
  apply (auto simp add: bin-def X-dom)
  using k' chars-of-token-def apply simp
  using next-symbol-y apply simp
  done
from pvalid-y have ?y ∈ Gen(Prefixes p)
  apply (simp add: Gen-def)
  apply (rule-tac x=p in exI)
  by (auto simp add: paths-le-def p-dom)
then have Scan T k {?y} ⊆ Scan T k (Gen(Prefixes p))
  apply (rule-tac monoD[OF mono-Scan])
  apply blast
  done
with z-in-Scan-y have z-in-Scan-Gen: ?z ∈ Scan T k (Gen(Prefixes p))
  using rev-subsetD by blast
have wellformed-z: wellformed-item ?z
  using k' k'-upperbound next-symbol-y wellformed-inc-item wellformed-y by
auto
have item- $\beta$ -z: item- $\beta$  ?z = a2 @  $\beta$ 
  apply (simp add: item- $\beta$ -def)
  apply (simp add: item-rhs-y split- $\alpha$ )
  done
have item-end-z: item-end ?z = k' by simp
have x-via-z: x = inc-dot (length a2) ?z
  by (simp add: inc-dot-def less.prems(11) split- $\alpha$ )
have x-in-z: x ∈ π k' {} {?z}
  apply (subst x-via-z)
  apply (rule-tac thmD6[OF wellformed-z item- $\beta$ -z item-end-z])
  using decompose b2 by blast
have π k' {} {?z} ⊆ π k' {} (Scan T k (Gen(Prefixes p)))
  apply (rule-tac monoD[OF mono-π])
  using z-in-Scan-Gen using empty-subsetI insert-subset by blast
then have x-in-Scan-Gen: x ∈ π k' {} (Scan T k (Gen(Prefixes p)))
  using x-in-z by blast
have item-end x = k' by (simp add: item-end-x-def)
with x-in-Scan-Gen show ?case

```

```

using items-le-def less.prems(12) mem-Collect-eq nat-le-linear by blast
next
case 2
obtain i where i: i = ladder-i L 0 by blast
obtain i' where i': i' = ladder-j L 0 by blast
obtain α' where α': α' = ladder-γ α D L 0 by blast
obtain n where n: n = ladder-n L 0 by blast
have ldfx: LeftDerivationFix α i (take n D) i' α'
  using LeftDerivationLadder-def α' i i' n less.prems(8) by blast
have α'-alt: α' = ladder-α α D L 1 using 2 by (simp add: α' ladder-α-def)

have i'-alt: i' = ladder-i L 1 using 2 by (simp add: i' ladder-i-def)
obtain e where e: e = D ! n by blast
obtain ix where ix: ix = ladder-ix L 1 by blast
obtain m where m: m = ladder-n L 1 by blast
obtain E where E: E = drop (Suc n) (take m D) by blast
have ldintro: LeftDerivationIntro α' i' (snd e) ix E (ladder-j L 1) (ladder-γ
  α D L 1)
  by (metis 2.hyps LeftDerivationIntrosAt-def LeftDerivationIntros-def
    LeftDerivationLadder-def One-nat-def α'-alt E diff-Suc-1 e i'-alt ix leI
    less.prems(8) m n not-less-eq zero-less-one)
have is-nonterminal-α'-at-i': is-nonterminal (α' ! i')
  using LeftDerivationIntro-implies-nonterminal ldintro by blast
then have is-nonterminal-α-at-i: is-nonterminal (α ! i)
  using LeftDerivationFix-def ldfx by auto
then have ∃ A a1 a2 a1'. splits-at α i a1 A a2 ∧ splits-at α' i' a1' A a2 ∧
  LeftDerivation a1 (take n D) a1'
  using LeftDerivationFix-splits-at-nonterminal ldfx by auto
then obtain A a1 a2 a1' where A: splits-at α i a1 A a2 ∧ splits-at α' i'
  a1' A a2 ∧
  LeftDerivation a1 (take n D) a1' by blast
have A-def: A = α' ! i' using A splits-at-def by blast
have is-nonterminal-A: is-nonterminal A using A-def is-nonterminal-α'-at-i'
  by blast
have ∃ rule. e = (i', rule)
  by (metis e 2.hyps LeftDerivationIntrosAt-def LeftDerivationIntros-def
    LeftDerivationLadder-def One-nat-def Suc-leI diff-Suc-1 i'-alt less.prems(8)

  n prod.collapse zero-less-one)
then obtain rule where rule: e = (i', rule) by blast
obtain w where w: w = snd rule by blast
obtain α'' where α'': α'' = a1' @ w @ a2 by blast
have α'-α'': LeftDerives1 α' i' rule α''
  by (metis A w LeftDerivationFix-is-sentence LeftDerivationIntro-def
    LeftDerivationIntro-examine-rule LeftDerives1-def α'' ldfx ldintro
    prod.collapse
    rule snd-conv splits-at-implies-Derives1)
then have is-word-a1': is-word a1' using LeftDerives1-splits-at-is-word A
  by blast

```

```

have A-eq-fst-rule: A = fst rule
  using A LeftDerivationIntro-examine-rule ldintro rule by fastforce
have ix-bound: ix < length w using ix w rule ldintro LeftDerivationIntro-def
  snd-conv
    by auto
then have  $\exists w1 W w2. \text{splits-at } w \text{ ix } w1 W w2$  using splits-at-def by blast
then obtain w1 W w2 where W: splits-at w ix w1 W w2 by blast
have a1'-w-a2: a1'@w@a2 = ladder-stepdown- $\alpha$ -0  $\alpha$  D L
  using ladder-stepdown- $\alpha$ -0-altdef 2.hyps A  $\alpha$ '-alt e i'-alt less.prems(8) n
rule
  snd-conv w by force
from LeftDerivationLadder-stepdown[OF less.prems(8) 2] obtain L' where
L':
  LeftDerivationLadder (a1'@(w@a2)) (drop (ladder-stepdown-diff L) D) L'
  (terminals (drop r p @ [X]))  $\wedge$ 
  length L' = length L - 1  $\wedge$ 
  ladder-i L' 0 = ladder-i L 1 + ladder-ix L 1  $\wedge$  ladder-last-j L' = ladder-last-j L
  using a1'-w-a2 by auto
have ladder-i-L'-0: ladder-i L' 0 = i' + ix using L' i'-alt ix by auto
have ladder-last-j-L': ladder-last-j L' = length (drop r p) using L' less.prems
by auto
  from L' have this1: LeftDerivationLadder (a1'@(w@a2)) (drop (ladder-stepdown-diff
  L) D) L'
    (terminals (drop r p @ [X])) by blast
  have this2: length a1'  $\leq$  ladder-i L' 0 using A ladder-i-L'-0 splits-at-def
by auto
from LeftDerivationLadder-cut-prefix[OF this1 is-word-a1' this2]
obtain D' L''  $\gamma'$  where L'':
  terminals (drop r p @ [X]) = a1' @  $\gamma'$   $\wedge$ 
  LeftDerivationLadder (w @ a2) D' L''  $\gamma'$   $\wedge$ 
  D' = derivation-shift (drop (ladder-stepdown-diff L) D) (length a1') 0  $\wedge$ 
  length L'' = length L'  $\wedge$ 
  ladder-i L'' 0 + length a1' = ladder-i L' 0  $\wedge$ 
  ladder-last-j L'' + length a1' = ladder-last-j L' by blast
  have length-a1'-bound: length a1'  $\leq$  length (drop r p) using L'' ladder-last-j-L'
  by linarith
then have is-prefix-a1'-drop-r-p: is-prefix a1' (terminals (drop r p))
proof -
  have f1:  $\forall ss ssa ssb. \neg \text{is-prefix } (ss::\text{symbol list}) (ssa @ ssb) \vee \text{is-prefix } ss$ 
  ssa  $\vee (\exists ssc. ssc \neq [] \wedge \text{is-prefix } ssc ssb \wedge ss = ssa @ ssc)$ 
    by (simp add: is-prefix-of-append)
  have f2:  $\bigwedge ss ssa. \text{is-prefix } ((ss::\text{symbol list}) @ ssa) ss \vee \neg \text{is-prefix } ssa []$ 
    by (metis (no-types) append-Nil2 is-prefix-cancel)
  have f3:  $\bigwedge ss. \text{is-prefix } ss [] \vee \neg \text{is-prefix } (\text{terminals } (drop r p) @ ss) a1'$ 
    by (metis (no-types) drop-eq-Nil is-prefix-append length-a1'-bound
length-terminals)

```

```

have is-prefix a1' (a1' @ γ') ∧ is-prefix a1' a1'
  by (metis (no-types) append-Nil2 is-prefix-cancel is-prefix-empty)
then show ?thesis
  using f3 f2 f1 by (metis L'' terminals-append)
qed
obtain r' where r': r' = r + i' by blast
have length-a1'-eq-i': length a1' = i'
  using A less-or-eq-imp-le min.absorb2 splits-at-def by auto
have a1'-r': r ≤ r' ∧ r' ≤ length p ∧
  terminals (drop r p) = a1' @ (terminals (drop r' p))
  using is-prefix-a1'-drop-r-p r'
proof -
  have ∃ q. terminals (drop r p) = a1' @ q
    using is-prefix-a1'-drop-r-p by (metis is-prefix-unsplit)
  then obtain q where q: terminals (drop r p) = a1' @ q by blast
  have q = drop i' (terminals (drop r p))
    using length-a1'-eq-i' q by (simp add: append-eq-conv-conj)
  then have q = terminals (drop i' (drop r p)) by simp
  then have q = terminals (drop r' p) by (simp add: r' add.commute)
  with q show ?thesis
    using add.commute diff-add-inverse le-Suc-ex le-add1 le-diff-conv
length-a1'-bound
  length-a1'-eq-i' length-drop r r' by auto
qed
have ladder-i-L'': ladder-i L'' 0 = ix using L'' ladder-i-L'-0 length-a1'-eq-i'
  add.commute add-left-cancel by linarith
have L''-ladder: LeftDerivationLadder (w @ a2) D' L'' γ' using L'' by
blast
have ladder-i L'' 0 < length w using ladder-i-L'' ix-bound by blast
from LeftDerivationLadder-cut-appendix[OF L''-ladder this]
obtain E' F' γ1' γ2' L''' where L'''':
  D' = E' @ F' ∧
  γ' = γ1' @ γ2' ∧
  LeftDerivationLadder w E' L''' γ1' ∧
  derivation-ge F' (length γ1') ∧
  LeftDerivation a2 (derivation-shift F' (length γ1') 0) γ2' ∧
  length L''' = length L'' ∧ ladder-i L''' 0 = ladder-i L'' 0 ∧
  ladder-last-j L''' = ladder-last-j L''
  by blast
obtain z where z: z = Item (A, w) (length w) (charslength (take r' p)) k'
by blast
have z1: length L''' < length L using 2.hyps L' L'' L''' by linarith
have z2: p ∈ ℙ by (simp add: p-dom)
have z3: charslength p = k using p-charslength by auto
have z4: X ∈ T by (simp add: X-dom)
have z5: T ⊆ ℜ k by (simp add: less.prems(4))
have rule ∈ ℜ
  using Derives1-rule LeftDerives1-implies-Derives1 α'-α'' by blast

```

```

then have z6:  $(A, w @ []) \in \mathfrak{R}$  using  $w A\text{-eq-fst-rule}$  by auto
have z7:  $r' \leq \text{length } p$  using  $a1'\text{-}r'$  by linarith
have leftderives [ $\mathfrak{S}$ ]  $((\text{terminals} (\text{take } r p)) @ [N] @ \gamma)$ 
  using leftderives-start by blast
then have leftderives [ $\mathfrak{S}$ ]  $((\text{terminals} (\text{take } r p)) @ (\alpha @ \beta) @ \gamma)$ 
by (metis  $\mathfrak{P}$ -wellformed is-derivation-def is-derivation-is-sentence is-sentence-concat

  is-word-terminals-take leftderives-implies-derives leftderives-rule-step
p-dom rule-dom)
then have leftderives [ $\mathfrak{S}$ ]  $((\text{terminals} (\text{take } r p)) @ a1 @ ([A] @ a2 @ \beta) @ \gamma)$ 
  using A splits-at-combine append-assoc by fastforce
then have z8-helper: leftderives [ $\mathfrak{S}$ ]  $((\text{terminals} (\text{take } r p)) @ a1' @ ([A] @ a2 @ \beta) @ \gamma)$ 
by (meson A LeftDerivation-implies-leftderives  $\mathfrak{P}$ -wellformed is-derivation-def

  is-derivation-is-sentence is-sentence-concat is-word-terminals-take
  leftderives-implies-derives leftderives-trans-step p-dom)
have join-terminals:  $(\text{terminals} (\text{take } r p)) @ a1' = \text{terminals} (\text{take } r' p)$ 
  by (metis is-prefix-a1'-drop-r-p length-a1'-eq-i' r' take-add take-prefix
  terminals-drop terminals-take)
from z8-helper join-terminals have z8:
  leftderives [ $\mathfrak{S}$ ]  $(\text{terminals} (\text{take } r' p) @ [A] @ a2 @ \beta @ \gamma)$ 
  by (metis append-assoc)
have  $\gamma'\text{-altdef}$ :  $\gamma' = \text{terminals} (\text{drop } r' p @ [X])$ 
  using L'' a1'-r' by auto
have ladder-last-j  $L''' + \text{length } a1' = \text{length } (\text{drop } r p)$ 
  using L'' L''' ladder-last-j-L' by linarith
then have ladder-last-j-L'''- $\gamma'$ :  $\text{ladder-last-j } L''' = \text{length } \gamma' - 1$ 
  by (simp add:  $\gamma'\text{-altdef length-a1'-eq-i' r'}$ )
then have length  $\gamma' - 1 < \text{length } \gamma 1'$ 
  using L''' ladder-last-j-bound by fastforce
then have length  $\gamma 1' + \text{length } \gamma 2' - 1 < \text{length } \gamma 1'$ 
  using L''' by simp
then have length  $\gamma 2' = 0$  by arith
then have  $\gamma 2': \gamma 2' = []$  by simp
then have  $\gamma 1': \gamma 1' = \text{terminals} (\text{drop } r' p @ [X])$  using  $\gamma'\text{-altdef } L'''$  by
auto
then have z9: LeftDerivationLadder w E' L'''  $(\text{terminals} (\text{drop } r' p @ [X]))$ 
  using L''' by blast
have z10:  $\text{ladder-last-j } L''' = \text{length } (\text{drop } r' p)$ 
  using  $\gamma'\text{-altdef ladder-last-j-L'''-}\gamma'$  by auto
note z11 = k'
have z12:  $z = \text{Item } (A, w @ []) (\text{length } w) (\text{charslength } (\text{take } r' p)) k'$ 
  using z by simp
note z13 = less.preds(12)
note induct = less.hyps(1)[of L''' A w [] r' a2@β@γ E' z]
note z-in-I = induct[OF z1 z2 z3 z4 z5 z6 z7 z8 z9 z10 z11 z12 z13]
have a2-derives-empty: derives a2 [] using L''' γ2'
  using LeftDerivation-implies-leftderives leftderives-implies-derives by blast

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obtain x1 where x1:  $x1 = \text{Item } (N, \alpha @ \beta) (\text{length } a1)$ 
  ( $\text{charslength } (\text{take } r p)$ ) ( $\text{charslength } (\text{take } r' p)$ ) by blast
obtain q where q:  $q = \text{take } r' p$  by blast
then have is-prefix-q-p:  $\text{is-prefix } q p$  by simp
then have q-in-Prefixes:  $q \in \text{Prefixes } p$  using Prefixes-is-prefix by blast
then have wellformed-q: wellformed-tokens q
  using p-dom is-prefix-q-p prefixes-are-paths'  $\mathfrak{P}$ -wellformed by blast
have item-rule-x1: item-rule x1 =  $(N, \alpha @ \beta)$ 
  using x1 by simp
have is-prefix-r-r': is-prefix (take r p) (take r' p)
  by (metis append-eq-conv-conj is-prefix-take r' take-add)
then have charslength-le-r-r':  $\text{charslength } (\text{take } r p) \leq \text{charslength } (\text{take } r'$ 
p)
  using charslength-of-prefix by blast
have is-prefix (take r' p) p by auto
then have charslength-r'-p:  $\text{charslength } (\text{take } r' p) \leq \text{charslength } p$ 
  using charslength-of-prefix by blast
have charslength p  $\leq \text{length } \text{Doc}$ 
  using less.preds(1) add-leE k' k'-upperbound z3 by blast
with charslength-r'-p have charslength-r'-Doc:
  charslength (take r' p)  $\leq \text{length } \text{Doc}$  by arith
have alpha-decompose:  $\alpha = a1 @ [A] @ a2$  using A splits-at-combine by blast
have wellformed-x1: wellformed-item x1
  apply (auto simp add: wellformed-item-def)
  using item-rule-x1 less.preds apply auto[1]
  using charslength-le-r-r' x1 apply auto[1]
  using charslength-r'-Doc x1 apply auto[1]
  using x1 alpha-decompose by simp
have item-nonterminal-x1: item-nonterminal x1 = N
  by (simp add: x1 item-nonterminal-def)
have r-q-p:  $\text{take } r (\text{terminals } q) = \text{terminals } (\text{take } r p)$ 
  by (metis q is-prefix-r-r' length-take min.absorb2 r take-prefix terminals-take)

have item-alpha-x1: item-alpha x1 = a1 by (simp add: alpha-decompose item-alpha-def x1)
have a1': a1' = drop r (terminals q)
  by (metis append-eq-conv-conj join-terminals length-take length-terminals
min.absorb2 q r)
have pvalid-q-x1: pvalid q x1
apply (simp add: pvalid-def wellformed-q wellformed-x1 item-nonterminal-x1)
  apply (rule-tac x=r in exI)
  apply auto
  apply (simp add: a1'-r' min.absorb2 q)
  apply (simp add: q x1)
  apply (simp add: q x1 r')
  using r-q-p less.preds(7) append-Cons is-leftderivation-def
    leftderivation-implies-derivation apply fastforce
  apply (simp add: item-alpha-x1)
    using a1' A LeftDerivation-implies-leftderives leftderives-implies-derives
by blast

```

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have item-end-x1-le-k': item-end x1 ≤ k'
  using charslength-r'-p
  apply (simp add: x1)
  using less.prems by auto
have x1-in-I: x1 ∈ I
  apply (subst less.prems(12))
  apply (auto simp add: items-le-def item-end-x1-le-k')
  apply (rule π-apply-setmonotone)
  apply (rule Scan-apply-setmonotone)
  apply (simp add: Gen-def)
  apply (rule-tac x=q in exI)
  by (simp add: pvalid-q-x1 paths-le-def q-in-Prefixes)
obtain x2 where x2: x2 = inc-item x1 k' by blast
have x1-in-bin: x1 ∈ bin I (item-origin z)
  using bin-def x1 x1-in-I z12 by auto
have x2-in-Complete: x2 ∈ Complete k' I
  apply (simp add: Complete-def)
  apply (rule disjI2)
  apply (rule-tac x=x1 in exI)
  apply (simp add: x2)
  apply (rule-tac x=z in exI)
  apply auto
  using x1-in-bin apply blast
  using bin-def z12 z-in-I apply auto[1]
  apply (simp add: is-complete-def z12)
  by (simp add: α-decompose is-complete-def item-nonterminal-def next-symbol-def
x1 z12)
  have wf-I': wellformed-items (π k' {} (Scan T k (Gen (Prefixes p))))
  by (simp add: wellformed-items-Gen wellformed-items-Scan wellformed-items-π
z5)
  from items-le-Complete[OF this] x2-in-Complete
  have x2-in-I: x2 ∈ I by (metis Complete-π-fix z13)
  have wellformed-items I
    using wf-I' items-le-is-filter wellformed-items-def z13 by auto
  with x2-in-I have wellformed-x2: wellformed-item x2
    by (simp add: wellformed-items-def)
  have item-dot-x2: item-dot x2 = Suc (length a1)
    by (simp add: x2 x1)
  have item-β-x2: item-β x2 = a2 @ β
    apply (simp add: item-β-def item-dot-x2)
    apply (simp add: item-rhs-def x2 x1 α-decompose)
    done
  have item-end-x2: item-end x2 = k' by (simp add: x2)
  note inc-dot-x2-by-a2 = thmD6[OF wellformed-x2 item-β-x2 item-end-x2
a2-derives-empty]
  have x = inc-dot (length a2) x2
    by (simp add: α-decompose inc-dot-def less.prems(11) x1 x2)
  with inc-dot-x2-by-a2 have x ∈ π k' {} {x2} by auto
  then have x ∈ π k' {} I using x2-in-I

```

```

by (meson contra-subsetD empty-subsetI insert-subset monoD mono-π)
then show x ∈ I
by (metis (no-types, lifting) π-subset-elem-trans dual-order.refl item-end-x-def
     items-le-def items-le-is-filter mem-Collect-eq z13)
qed
qed

theorem thmD10:
assumes p-dom: p ∈ ℙ
assumes p-charslength: charslength p = k
assumes X-dom: X ∈ T
assumes T-dom: T ⊆ ℳ k
assumes rule-dom: (N, α@β) ∈ ℜ
assumes r: r ≤ length p
assumes leftderives-start: leftderives [S] ((terminals (take r p))@[N]@γ)
assumes leftderives-α: leftderives α (terminals ((drop r p)@[X]))
assumes k': k' = k + length (chars-of-token X)
assumes item-def: x = Item (N, α@β) (length α) (charslength (take r p)) k'
assumes I: I = items-le k' (π k' {} (Scan T k (Gen (Prefixes p))))
shows x ∈ I
proof -
have ∃ D. LeftDerivation α D (terminals ((drop r p)@[X]))
  using leftderives-α leftderives-implies-LeftDerivation by blast
then obtain D where D: LeftDerivation α D (terminals ((drop r p)@[X])) by
blast
have is-sentence: is-sentence (terminals (drop r p @ [X]))
  using derives-is-sentence is-sentence-concat leftderives-α leftderives-implies-derives
rule-α-type rule-dom by blast
have ∃ L. LeftDerivationLadder α D L (terminals ((drop r p)@[X])) ∧
  ladder-last-j L = length (drop r p)
  apply (rule LeftDerivationLadder-exists)
  apply (rule D)
  apply (rule is-sentence)
  by auto
then obtain L where L: LeftDerivationLadder α D L (terminals ((drop r
p)@[X])) and
  L-ladder-last-j: ladder-last-j L = length (drop r p) by blast
from thmD10-ladder[OF assms(1) assms(2) assms(3) assms(4) assms(5) assms(6)
assms(7)]
  L L-ladder-last-j k' item-def I]
show ?thesis .
qed

end

end
theory TheoremD11

```

```

imports TheoremD10
begin

context LocalLexing begin

lemma LeftDerivationLadder-length-1:
assumes ladder: LeftDerivationLadder α D L γ
assumes singleton-L: length L = 1
shows LeftDerivationFix α (ladder-i L 0) D (ladder-last-j L) γ
proof -
have ldfx: LeftDerivationFix α (ladder-i L 0) (take (ladder-n L 0) D) (ladder-j
L 0)
(ladder-γ α D L 0)
using ladder LeftDerivationLadder-def by blast
have ladder-n-0: ladder-n L 0 = length D
using ladder singleton-L
by (metis LeftDerivationLadder-def One-nat-def diff-Suc-1 is-ladder-def lad-
der-last-n-intro)
from ldfx ladder-n-0 ladder singleton-L show ?thesis
by (metis Derivation-unique-dest LeftDerivationLadder-def
LeftDerivationLadder-implies-LeftDerivation-at-index LeftDerivationLadder-ladder-n-bound
LeftDerivation-implies-Derivation One-nat-def diff-Suc-1 ladder-last-j-def take-all
zero-less-one)
qed

lemma LeftDerivationFix-from-singleton-helper:
assumes LeftDerivationFix [A] 0 D (length u) (u @ [B] @ v)
shows D = []
proof -
from iffD1[OF LeftDerivationFix-def assms] obtain E F where EF:
is-sentence [A] ∧
is-sentence (u @ [B] @ v) ∧
LeftDerivation [A] D (u @ [B] @ v) ∧
0 < length [A] ∧
length u < length (u @ [B] @ v) ∧
[A] ! 0 = (u @ [B] @ v) ! length u ∧
D = E @ derivation-shift F 0 (Suc (length u)) ∧
LeftDerivation (take 0 [A]) E (take (length u) (u @ [B] @ v)) ∧
LeftDerivation (drop (Suc 0) [A]) F (drop (Suc (length u)) (u @ [B] @ v))
by blast
from EF have E: E = []
by (metis Derivation.elims(2) Derives1-split LeftDerivation-implies-Derivation
Nil-is-append-conv list.distinct(1) take-0)
from EF have F: F = []
by (metis E LeftDerivation.simps(1) LeftDerivation-ge-take LeftDerivation-implies-Derivation

```

append-eq-conv-conj derivation-ge-shift is-word-Derivation length-Cons length-derivation-shift

```

list.size(3) nth-Cons-0 nth-append self-append-conv2 take-0)
from EF E F show D = [] by auto
qed

lemma LeftDerivationFix-from-singleton:
assumes LeftDerivationFix [A] i D j γ
shows D = []
proof -
have ∃ u B v. splits-at γ j u B v using assms
using LeftDerivationFix-splits-at derives by blast
then obtain u B v where s: splits-at γ j u B v by blast
from s have s1: γ = u @ [B] @ v using splits-at-combine by blast
from s have s2: j = length u using splits-at-def by auto
from assms s1 s2 LeftDerivationFix-from-singleton-helper
show ?thesis by (metis LeftDerivationFix-def length-Cons less-Suc0 list.size(3))
qed

lemma LeftDerivationLadder-ladder-γ-last:
assumes LeftDerivationLadder α D L γ
shows γ = ladder-γ α D L (length L - 1)
by (metis Derive LeftDerivationLadder-def LeftDerivation-implies-Derivation One-nat-def
assms
is-ladder-def last-ladder-γ)

theorem thmD11-helper:
p ∈ ℙ ==>
charslength p = k ==>
X ∈ T ==>
T ⊆ ℬ k ==>
q = p @ [X] ==>
(N, α@β) ∈ ℑ ==>
r ≤ length q ==>
LeftDerivation [S] D ((terminals (take r q))@[N]@γ) ==>
leftderives α (terminals (drop r q)) ==>
k' = k + length (chars-of-token X) ==>
x = Item (N, α@β) (length α) (charslength (take r q)) k' ==>
I = items-le k' (π k' {} (Scan T k (Gen (Prefixes p)))) ==>
x ∈ I
proof (induct length D arbitrary: D r N γ α β x rule: less-induct)
case less
have D = [] ∨ D ≠ [] by blast
then show ?case
proof (induct rule: disjCases2)
case 1
then have r: r = 0
by (metis LeftDerivation.simps(1) diff-add-0 diff-add-inverse2 le-0-eq
length-0-conv)

```

```

length-append length-terminals less.prems(7) less.prems(8) list.size(4)
take-eq-Nil)
  with 1 have  $\gamma: \gamma = []$ 
  using LeftDerivation.simps(1) append-Cons append-self-conv2 less.prems(8)
list.inject
  take-eq-Nil terminals-empty by auto
  from r  $\gamma$  1 have start-is-N:  $\mathfrak{S} = N$ 
  using LeftDerivation.simps(1) append-eq-Cons-conv less.prems(8) list.inject
take-eq-Nil
  terminals-empty by auto
  have h1:  $r \leq \text{length } p$  using r by auto
  have h2: leftderives [ $\mathfrak{S}$ ] (terminals (take r p) @ [N] @  $\gamma$ )
    by (simp add: r  $\gamma$  start-is-N)
  have h3: leftderives  $\alpha$  (terminals (drop r p @ [X]))
    using less.prems by (simp add: r less.prems)
  have h4:  $x = \text{Item}(N, \alpha @ \beta)$  ( $\text{length } \alpha$ ) ( $\text{charlength}(\text{take } r p)$ )  $k'$ 
    using less.prems by (simp add: r less.prems)
    from thmD10[OF less.prems(1, 2, 3, 4, 6)] h1 h2 h3 less.prems(10) h4
less.prems(12)]
  show ?case .
next
case 2
note D-non-empty = 2
have  $r < \text{length } q \vee r = \text{length } q$  using less by arith
then show ?case
proof (induct rule: disjCases2)
  case 1
    have h1:  $r \leq \text{length } p$  using less.prems 1 by auto
    have take-q-p: take r q = take r p
      using 1 less.prems
      by (simp add: drop-keep-last le-neq-implies-less nat-le-linear not-less-eq
not-less-eq-eq)
    have h2: leftderives [ $\mathfrak{S}$ ] (terminals (take r p) @ [N] @  $\gamma$ )
      apply (simp only: take-q-p[symmetric])
      using less.prems LeftDerivation-implies-leftderives by blast
    have h3: leftderives  $\alpha$  (terminals (drop r p @ [X]))
      using less.prems(5, 9) h1 by simp
    have h4:  $k' = k + \text{length}(\text{chars-of-token } X)$  using less.prems by blast
    have h5:  $x = \text{Item}(N, \alpha @ \beta)$  ( $\text{length } \alpha$ ) ( $\text{charlength}(\text{take } r p)$ )  $k'$ 
      using less.prems take-q-p by simp
    from thmD10[OF less.prems(1, 2, 3, 4, 6)] h1 h2 h3 h4 h5 less.prems(12)]
  show ?case .
next
case 2
from 2 have ld: LeftDerivation [ $\mathfrak{S}$ ] D (terminals q @ [N] @  $\gamma$ )
  using less.prems(8) by auto
from 2 have  $\alpha\text{-derives-empty}: \text{derives } \alpha []$ 
  using less.prems(9) by auto
have is-sentence-p: is-sentence (terminals p)

```

```

using less.preds(1)  $\mathcal{L}_P$ -derives  $\mathfrak{P}$ -are-admissible admissible-def
is-derivation-def
  is-derivation-is-sentence is-sentence-concat by blast
  have is-symbol-X: is-symbol (terminal-of-token X)
    using less.preds(3, 4)  $\mathcal{X}$ -are-terminals is-symbol-def rev-subsetD by
blast
  have is-sentence-q: is-sentence (terminals q) using is-sentence-p
is-symbol-X
  less.preds LeftDerivation-implies-leftderives is-derivation-def
  is-derivation-is-sentence is-sentence-concat ld leftderives-implies-derives
by blast
  have is-symbol-N: is-symbol N
    using less.preds(6) is-symbol-def rule-nonterminal-type by blast
  have is-sentence- $\gamma$ : is-sentence  $\gamma$ 
  by (meson LeftDerivation-implies-leftderives is-derivation-def is-derivation-is-sentence

  is-sentence-concat ld leftderives-implies-derives)
  have ld-exists-h1: is-sentence (terminals q @ [N] @  $\gamma$ )
    using is-sentence-q is-sentence- $\gamma$  is-symbol-N is-sentence-concat
LeftDerivation-implies-leftderives is-derivation-def is-derivation-is-sentence
ld
  leftderives-implies-derives by blast
  have ld-exists-h2: length q < length (terminals q @ [N] @  $\gamma$ ) by simp
  from LeftDerivationLadder-exists[OF ld ld-exists-h1 ld-exists-h2] obtain
L where
  L: LeftDerivationLadder [ $\mathfrak{S}$ ] D L (terminals q @ [N] @  $\gamma$ ) and
  L-last-j: ladder-last-j L = length q by blast
  note r-eq-length-q = 2
  have ladder-i-0-eq-0: ladder-i L 0 = 0 using L append-Nil ladder-i-0-bound

  length-append-singleton less-Suc0 list.size(3) by fastforce
  have length L = 1  $\vee$  length L > 1 using L
    by (metis LeftDerivationLadder-def Suc-eq-plus1 Suc-eq-plus1-left
butlast-conv-take
  butlast-snoc diff-add-inverse2 is-ladder-def le-add1 le-neq-implies-less
  length-append-singleton old.nat.exhaust take-0)
  then show ?case
  proof (induct rule: disjCases2)
    case 1
      from LeftDerivationLadder-length-1[OF L 1] ladder-i-0-eq-0
      have ldfx: LeftDerivationFix [ $\mathfrak{S}$ ] 0 D (ladder-last-j L) (terminals q
@ [N] @  $\gamma$ )
        by auto
      with LeftDerivationFix-from-singleton have D = [] by blast
      with D-non-empty have False by auto
      then show ?case by blast
    next
    case 2
      obtain a where a: a = ladder- $\alpha$  [ $\mathfrak{S}$ ] D L (length L - 1) by blast

```

```

then have a-as- $\gamma$ :  $a = \text{ladder-}\gamma[\mathfrak{S}] D L (\text{length } L - 2)$  using 2
ladder- $\alpha$ -def
by (metis diff-diff-left diff-is-0-eq not-le one-add-one)
have introsAt: LeftDerivationIntrosAt [ $\mathfrak{S}$ ] D L ( $\text{length } L - 1$ ) using
L
by (metis 2.hyps LeftDerivationIntros-def LeftDerivationLadder-def
One-nat-def
  Suc-leI Suc-lessD diff-less less-not-refl not-less-eq zero-less-diff)
obtain i where i:  $i = \text{ladder-}i L (\text{length } L - 1)$  by blast
obtain j where j:  $j = \text{ladder-}j L (\text{length } L - 1)$  by blast
obtain ix where ix:  $ix = \text{ladder-}ix L (\text{length } L - 1)$  by blast
obtain c where c:  $c = \text{ladder-}\gamma[\mathfrak{S}] D L (\text{length } L - 1)$  by blast
obtain n where n:  $n = \text{ladder-}n L (\text{length } L - 1 - 1)$  by blast
obtain m where m:  $m = \text{ladder-}n L (\text{length } L - 1)$  by blast
obtain e where e:  $e = D ! n$  by blast
obtain E where E:  $E = \text{drop}(\text{Suc } n) (\text{take } m D)$  by blast
from iffD1[OF LeftDerivationIntrosAt-def introsAt]
have i = fst e  $\wedge$  LeftDerivationIntro a i (snd e) ix E j c
  by (metis E a c e i ix j m n)
then have i-eq-fst-e:  $i = \text{fst } e$  and
  ldintro: LeftDerivationIntro a i (snd e) ix E j c by auto
have c-def:  $c = \text{terminals } q @ [N] @ \gamma$ 
  using c L LeftDerivationLadder- $\gamma$ -last by simp
from iffD1[OF LeftDerivationIntro-def ldintro] obtain b where b:
  LeftDerives1 a i (snd e) b  $\wedge$  ix < length (snd (snd e))  $\wedge$ 
  snd (snd e) ! ix = c ! j  $\wedge$  LeftDerivationFix b (i + ix) E j c by blast
  obtain M  $\omega$  where M $\omega$ :  $(M, \omega) = \text{snd } e$  using prod.collapse by
blast
have j-q:  $j = \text{length } q$  using L-last-j j ladder-last-j-def by auto
with c-def have c-at-j:  $c ! j = N$ 
  by (metis append-Cons length-terminals nth-append-length)
with b M $\omega$  have omega-at-ix:  $\omega ! ix = N$  by (metis snd-conv)
obtain mu1 mu2 where split-omega: splits-at omega ix mu1 N mu2
  by (metis M $\omega$  omega-at-ix b snd-conv splits-at-def)
obtain a1 a2 where split-a: splits-at a i a1 M a2 using b
  by (metis LeftDerivationIntro-bounds-ij LeftDerivationIntro-examine-rule
M $\omega$ 
  fst-conv ldintro splits-at-def)
then have is-word-a1: is-word a1
  using LeftDerives1-splits-at-is-word b by blast
have b = a1 @  $\omega$  @ a2 using split-a b M $\omega$ 
  by (metis LeftDerives1-implies-Derives1 snd-conv splits-at-combine-dest)

then have b-def:  $b = a1 @ \mu1 @ [N] @ \mu2 @ a2$  using split-omega
splits-at-combine
  by simp
have is-nonterminal-N: is-nonterminal N
  using less.prems(6) rule-nonterminal-type by blast
with LeftDerivationFix-splits-at-nonterminal split-a b

```

```

have  $\exists U b1 b2 c1. \text{splits-at } b (i + ix) b1 U b2 \wedge \text{splits-at } c j c1 U$ 
 $b2 \wedge$ 
   $\text{LeftDerivation } b1 E c1 \text{ by (simp add: LeftDerivationFix-def c-at-j)}$ 
  then obtain  $b1 b2 c1$  where  $b1b2c1:$ 
     $\text{splits-at } b (i + ix) b1 N b2 \wedge \text{splits-at } c j c1 N b2 \wedge$ 
     $\text{LeftDerivation } b1 E c1 \text{ using c-at-j splits-at-def by auto}$ 
  then have  $c1\text{-}q: c1 = \text{terminals } q$  using  $c\text{-def } j\text{-}q$ 
    by (simp add: append-eq-conv-conj splits-at-def)
  have  $\text{length-}a1\text{-eq-}i: \text{length } a1 = i$  using  $\text{split-}a$  splits-at-def by auto
  have  $\text{length-}\mu1\text{-eq-}ix: \text{length } \mu1 = ix$  using  $\text{split-}\omega$  splits-at-def by
  auto
  have  $b1 = \text{take } (i + ix) b$  using  $b1b2c1$  splits-at-def by blast
    then have  $b1\text{-eq-}a1\text{-}\mu1: b1 = a1 @ \mu1$  using  $b\text{-def length-}a1\text{-eq-}i$ 
 $\text{length-}\mu1\text{-eq-}ix$ 
    by (simp add: append-eq-conv-conj take-add)
  have  $\text{LeftDerivation } (a1 @ \mu1) E c1$  using  $b1b2c1$   $b1\text{-eq-}a1\text{-}\mu1$  by
  blast
from  $\text{LeftDerivation-skip-prefixword-ex}[OF \text{ this is-word-}a1]$ 
obtain  $w$  where  $w: c1 = a1 @ w \wedge$ 
   $\text{LeftDerivation } \mu1 (\text{derivation-shift } E (\text{length } a1) 0) w$  by blast
have  $a1\text{-eq-take-}i: a1 = \text{take } i (\text{terminals } q)$ 
  using  $w$   $c1\text{-}q$   $\text{split-}a$  append-eq-conv-conj  $\text{length-}a1\text{-eq-}i$  by blast
  have  $\mu1\text{-leftderives: leftderives } \mu1 (\text{terminals } (\text{drop } i q))$  using  $w$ 
 $c1\text{-}q$   $\text{split-}a$ 
 $\text{LeftDerivation-implies-leftderives append-eq-conv-conj length-}a1\text{-eq-}i$ 
by auto
  have  $\text{LeftDerivation } [\mathfrak{S}] (\text{take } n D) a$ 
  by (metis 2.hyps L LeftDerivationLadder-implies-LeftDerivation-at-index

 $\text{One-nat-def Suc-lessD a-as-}\gamma \text{ diff-Suc-eq-diff-pred diff-Suc-less n}$ 
 $\text{numeral-2-eq-2})$ 
  then have  $LD\text{-to-}M: \text{LeftDerivation } [\mathfrak{S}] (\text{take } n D) ((\text{terminals } (\text{take } i q)) @ [M] @ a2)$ 
    using  $\text{split-}a$  splits-at-combine  $a1\text{-eq-take-}i$  terminals-take by auto
    have  $\text{is-ladder: is-ladder } D L$  using  $L$  by (simp add: LeftDerivation-
    Ladder-def)
  then have  $n\text{-less-}m: n < m$  using  $n m$  is-ladder-def
    by (metis (no-types, lifting) 2.hyps One-nat-def diff-Suc-less
    length-greater-0-conv zero-less-diff)
    have  $m\text{-le-}D: m \leq \text{length } D$  using  $m$  is-ladder-def is-ladder
    dual-order.refl
      ladder-n-last-is-length by auto
    have  $\text{length } (\text{take } n D) = n$  using  $n\text{-less-}m$   $m\text{-le-}D$ 
    using  $\text{length-take less-irrefl less-le-trans linear min.absorb2}$  by auto
    then have  $\text{length-take-}n\text{-}D: \text{length } (\text{take } n D) < \text{length } D$ 
      using  $n\text{-less-}m$   $m\text{-le-}D$  less-le-trans by linarith
    have  $\omega\text{-decompose: } \omega = \mu1 @ (N \# \mu2)$  using  $\text{split-}\omega$  splits-at-combine
  by simp
  have  $(M, \omega) \in \mathfrak{R}$  by (metis Derives1-rule LeftDerives1-implies-Derives1

```

$M\omega b)$

with ω -decompose **have** M -rule: $(M, \mu_1 @ (N \# \mu_2)) \in \mathfrak{R}$ **by** simp
have $i \leq \text{length } q$ **using** $a1\text{-eq-take-}i$ $\text{length-}a1\text{-eq-}i$ **by** auto
obtain y **where**
 $y: y = \text{Item } (M, \mu_1 @ N \# \mu_2) (\text{length } \mu_1) (\text{charslength } (\text{take } i q)) k'$ **by** blast
from less.hyps[*OF length-take-n-D less.prems(1, 2, 3, 4, 5)*] M -rule
 $i \leq q$ **LD-to-M**
 $\mu_1\text{-leftderives less.prems(10)} y \text{ less.prems(12)}$
have $y \in I$ **by** blast
obtain z **where** $z: z = \text{Item } (N, \alpha @ \beta) 0 k' k'$ **by** blast
then have $z\text{-is-init-item}$: $z = \text{init-item } (N, \alpha @ \beta) k'$ **by** (simp add:
init-item-def)
have $z \in \text{Predict } k' \{y\}$
apply (simp add: *z-is-init-item*)
apply (rule next-symbol-predicts)
apply (simp add: *is-complete-def next-symbol-def y*)
apply (simp add: less.prems(6))
apply (simp add: *y item-end-def*)
done
then have $z \in \text{Predict } k' I$ **using** *Predict-def bin-def y-in-I* **by** auto
then have $z \in I$ **by** (metis *Predict-π-fix items-le-Predict less.prems(12)*)
have $\text{length-chars-}q: \text{length } (\text{chars } q) = k'$ **using** less.prems **by** simp
have $x \text{-is-inc-dot}$: $x = \text{inc-dot } (\text{length } \alpha) z$
by (simp add: less.prems(11) *r-eq-length-q length-chars-q z inc-dot-def*)
have $\text{wellformed-items-}I$: $\text{wellformed-items } I$
apply (subst less.prems(12))
by (meson LocalLexing.items-le-is-filter LocalLexing.wellformed-items-Gen
LocalLexing-axioms empty-subsetI less.prems(4) subsetCE
wellformed-items-Scan
wellformed-items-π wellformed-items-def)
with $z \in I$ **have** $\text{wellformed-}z$: $\text{wellformed-item } z$
using *wellformed-items-def* **by** blast
have $\text{item-}\beta\text{-}z$: $\text{item-}\beta z = \alpha @ \beta$ **by** (simp add: *z-is-init-item*)
have $\text{item-end-}z$: $\text{item-end } z = k'$ **by** (simp add: *z-is-init-item*)
have $x \in \pi k' \{\} \{z\}$
apply (simp add: *x-is-inc-dot*)
apply (rule thmD6)
apply (rule *wellformed-z*)
apply (rule *item-β-z*)
apply (rule *item-end-z*)
by (simp add: *α derives-empty*)
then have $x \in \pi k' \{\} I$ **using** *z-in-I*
by (meson contra-subsetD empty-subsetI insert-subset monoD mono-π)
then show ?case

```

by (metis (no-types, lifting) LocalLexing.wellformed-item-def
LocalLexing-axioms
 $\pi$ -subset-elem-trans item.sel(3) item.sel(4) items-le-def items-le-is-filter

less.prems(11) less.prems(12) mem-Collect-eq wellformed-z z)

qed
qed
qed
qed

theorem thmD11:
assumes p-dom:  $p \in \mathfrak{P}$ 
assumes p-charslength: charslength  $p = k$ 
assumes X-dom:  $X \in T$ 
assumes T-dom:  $T \subseteq \mathcal{X}$   $k$ 
assumes q-def:  $q = p @ [X]$ 
assumes rule-dom:  $(N, \alpha @ \beta) \in \mathfrak{R}$ 
assumes r:  $r \leq \text{length } q$ 
assumes leftderives-start: leftderives [ $\mathfrak{S}$ ] ((terminals (take r q))@[N]@ $\gamma$ )
assumes leftderives- $\alpha$ : leftderives  $\alpha$  (terminals (drop r q))
assumes k':  $k' = k + \text{length}(\text{chars-of-token } X)$ 
assumes item-def:  $x = \text{Item}(N, \alpha @ \beta)$  ( $\text{length } \alpha$ ) ( $\text{charslength}(\text{take } r q)$ )  $k'$ 
assumes I:  $I = \text{items-le } k' (\pi k' \{\}) (\text{Scan } T k (\text{Gen}(\text{Prefixes } p)))$ 
shows  $x \in I$ 

proof -
have  $\exists D. \text{LeftDerivation } [\mathfrak{S}] D ((\text{terminals}(\text{take } r q))@[N]@ $\gamma$ )$ 
using leftderives-start leftderives-implies-LeftDerivation by blast
then obtain D where D: LeftDerivation [ $\mathfrak{S}$ ] D ((terminals (take r q))@[N]@ $\gamma$ )
by blast
from thmD11-helper[OF assms(1, 2, 3, 4, 5, 6, 7) D assms(9, 10, 11, 12)]
show ?thesis .
qed

end

end
theory TheoremD12
imports TheoremD11
begin

context LocalLexing begin

lemma charslength-appendix-is-empty:
charslength ( $p @ ts$ ) = charslength  $p \implies (\bigwedge t. t \in \text{set } ts \implies \text{chars-of-token } t = [])$ 
proof (induct ts)
case Nil then show ?case by auto
next
case (Cons s ts)

```

```

have charslength (p @ s # ts) = charslength (p @ ts) + length (chars-of-token s)
  by simp
then have charslength (p @ s # ts) = charslength p + charslength ts + length (chars-of-token s)
  by simp
with Cons.prems(1) have charslength ts + length (chars-of-token s) = 0 by
arith
then show ?case using Cons.prems(2) charslength-0 by auto
qed

lemma empty-tokens-have-charslength-0:
  ( $\bigwedge t. t \in \text{set } ts \implies \text{chars-of-token } t = []$ )  $\implies \text{charslength } ts = 0$ 
proof (induct ts)
  case Nil then show ?case by simp
next
  case (Cons t ts)
    then show ?case by auto
qed

lemma  $\pi$ -idempotent':  $\pi k \{\} (\pi k T I) = \pi k T I$ 
apply (simp add:  $\pi$ -no-tokens)
by (simp add: Complete- $\pi$ -fix Predict- $\pi$ -fix fix-is-fix-of-limit)

theorem thmD12:
assumes induct: items-le k (J k u) = Gen (paths-le k (P k u))
assumes induct-tokens: T k u = Z k u
shows items-le k (J k (Suc u))  $\supseteq$  Gen (paths-le k (P k (Suc u)))
proof -
{
  fix x :: item
  assume x-dom:  $x \in \text{Gen}(\text{paths-le } k (\mathcal{P} k (\text{Suc } u)))$ 
  have  $\exists q. \text{pvalid } q x \wedge q \in \mathcal{P} k (\text{Suc } u) \wedge \text{charslength } q \leq k$ 
  proof -
    have  $\bigwedge i I n. i \in I \vee i \notin \text{items-le } n I$ 
    by (meson items-le-is-filter subsetCE)
    then show ?thesis
    by (metis Gen-implies-pvalid x-dom items-le-fix-D items-le-idempotent
items-le-paths-le
pvalid-item-end)
  qed
  then obtain q where q: pvalid q x  $\wedge$  q  $\in \mathcal{P} k (\text{Suc } u) \wedge \text{charslength } q \leq k$  by
blast
  have q  $\in \mathcal{P} k u \vee q \notin \mathcal{P} k u$  by blast
  then have x  $\in \text{items-le } k (\mathcal{J} k (\text{Suc } u))$ 
  proof (induct rule: disjCases2)
    case 1
      with q have x  $\in \text{Gen}(\text{paths-le } k (\mathcal{P} k u))$ 
      apply (auto simp add: Gen-def)

```

```

apply (rule-tac x=q in exI)
  by (simp add: paths-le-def)
with induct have x ∈ items-le k (J k u) by simp
then show ?case
  using LocalLexing.items-le-def LocalLexing-axioms J-subset-Suc-u by
fastforce
next
  case 2
    have q-is-limit: q ∈ limit (Append (Y (Z k u) (P k u) k) k) (P k u) using
q by auto
    from limit-Append-path-nonelem-split[OF q-is-limit 2] obtain p ts where
p-ts:
      q = p @ ts ∧
      p ∈ P k u ∧
      charslength p = k ∧
      admissible (p @ ts) ∧
      (∀ t∈set ts. t ∈ Y (Z k u) (P k u) k) ∧ (∀ t∈set (butlast ts). chars-of-token
t = [])
      by blast
    then have ts-nonempty: ts ≠ [] using 2 using self-append-conv by auto
    obtain T where T: T = Y (Z k u) (P k u) k by blast
    obtain J where J: J = π k T (Gen (paths-le k (P k u))) by blast
    from q p-ts have chars-of-token-is-empty: ∀ t. t∈set ts ⇒ chars-of-token
t = []
      using charslength-appendix-is-empty chars-append charslength.simps le-add1
le-imp-less-Suc
      le-neq-implies-less length-append not-less-eq by auto
    {
      fix sss :: token list
      have is-prefix sss ts ⇒ pvalid (p @ sss) x ⇒ x ∈ J
      proof (induct length sss arbitrary: sss x rule: less-induct)
        case less
          have sss = [] ∨ sss ≠ [] by blast
          then show ?case
          proof (induct rule: disjCases2)
            case 1
              with less have pvalid-p-x: pvalid p x by auto
              have charslength-p: charslength p ≤ k using p-ts by blast
              with p-ts have p ∈ paths-le k (P k u)
                by (simp add: paths-le-def)
              with pvalid-p-x have x ∈ Gen (paths-le k (P k u))
                using Gen-def mem-Collect-eq by blast
                then have x ∈ π k T (Gen (paths-le k (P k u))) using
π-apply-setmonotone
                by blast
              then show x ∈ J using pvalid-item-end q J LocalLexing.items-le-def
                LocalLexing-axioms charslength-p mem-Collect-eq pvalid-p-x by
auto
            end
          end
        end
      end
    end
  end
end

```

```

next
case 2
  then have  $\exists a ss. sss = ss@[a]$  using rev-exhaust by blast
  then obtain  $a ss$  where  $snoc: sss = ss@[a]$  by blast
  obtain  $p'$  where  $p': p' = p @ ss$  by auto
  then have  $pvalid-left(p'@[a]) x$  using snoc less pvalid-left by simp
  from iffD1[OF pvalid-left-def this] obtain  $r \omega$  where  $pvalid:$ 
    wellformed-tokens ( $p' @ [a]$ )  $\wedge$ 
    wellformed-item  $x \wedge$ 
     $r \leq length(p' @ [a]) \wedge$ 
    charslength ( $p' @ [a]$ ) = item-end  $x \wedge$ 
    charslength (take  $r(p' @ [a])$ ) = item-origin  $x \wedge$ 
    is-leftderivation (terminals (take  $r(p' @ [a])$ ) @ [item-nonterminal
 $x] @ \omega) \wedge$ 
    leftderives (item- $\alpha$   $x$ ) (terminals (drop  $r(p' @ [a])$ )) by blast
  obtain  $q'$  where  $q': q' = p'@[a]$  by blast
  have is-prefix-ss-ts: is-prefix ss ts using snoc less
    by (simp add: is-prefix-append)
  then have is-prefix ( $p@ss$ )  $q$  using  $p' snoc p-ts$  by simp
  then have is-prefix  $p' q$  using  $p'$  by simp
    then have  $h1: p' \in \mathfrak{P}$  using  $q \mathfrak{P}\text{-covers-}\mathcal{P}$  prefixes-are-paths'
subsetCE by blast
  have charslength-ss: charslength ss = 0
  apply (rule empty-tokens-have-charslength-0)
    by (metis is-prefix-ss-ts append-is-Nil-conv chars-append
chars-of-token-is-empty
  charslength.simps charslength-0 is-prefix-def length-greater-0-conv
list.size(3))
  then have  $h2: charslength p' = k$  using  $p' p-ts$  by auto
  have a-in-ts:  $a \in set ts$ 
  by (metis in-set-dropD is-prefix-append is-prefix-cons list.set-intros(1)

  snoc less(2))
  then have  $h3: a \in T$  using  $T p-ts$  by blast
  have  $h4: T \subseteq \mathcal{X} k$ 
    using LocalLexing.Z.simps(2) LocalLexing-axioms  $T \mathcal{Z}\text{-subset-}\mathcal{X}$ 
by blast
  note  $h5 = q'$ 
  obtain  $N$  where  $N: N = item-nonterminal x$  by blast
  obtain  $\alpha$  where  $\alpha: \alpha = item-\alpha x$  by blast
  obtain  $\beta$  where  $\beta: \beta = item-\beta x$  by blast
  have item-rule-x: item-rule  $x = (N, \alpha @ \beta)$ 
    using  $N \alpha \beta$  item-nonterminal-def item-rhs-def item-rhs-split by
auto
  have wellformed-item  $x$  using pvalid by blast
  then have  $h6: (N, \alpha @ \beta) \in \mathfrak{R}$  using item-rule-x
    by (simp add: wellformed-item-def)
  have  $h7: r \leq length q'$  using pvalid  $q'$  by blast
  have  $h8: leftderives [\mathfrak{S}] (terminals (take r q') @ [N] @ \omega)$ 

```

```

    using N is-leftderivation-def pvalid q' by blast
have h9: leftderives α (terminals (drop r q')) using α pvalid q' by
blast
have h10: k = k + length (chars-of-token a)
by (simp add: a-in-ts chars-of-token-is-empty)
have h11: x = Item (N, α @ β) (length α) (charslength (take r q'))
k
by (metis α charslength-ss a-in-ts append-Nil2 chars.simps(2)
chars-append
            chars-of-token-is-empty charslength.simps h2 item.collapse
item-dot-is-α-length
            item-rule-x length-greater-0-conv list.size(3) pvalid q')
have x-dom: x ∈ items-le k (π k {}) (Scan T k (Gen (Prefixes p')))
using thmD11[OF h1 h2 h3 h4 h5 h6 h7 h8 h9 h10 h11] by auto
{
fix y :: item
fix toks :: token list
assume pvalid-toks-y: pvalid toks y
assume is-prefix-toks-p': is-prefix toks p'
then have charslength-toks: charslength toks ≤ k
using charslength-of-prefix h2 by blast
then have item-end-y: item-end y ≤ k using pvalid-item-end
pvalid-toks-y
by auto
have is-prefix toks p ∨ (∃ ss'. is-prefix ss' ss ∧ toks = p@ss')
using is-prefix-of-append is-prefix-toks-p' p' by auto
then have y ∈ J
proof (induct rule: disjCases2)
case 1
then have toks ∈ P k u using p-ts prefixes-are-paths by blast
with charslength-toks have toks ∈ paths-le k (P k u)
by (simp add: paths-le-def)
then have y ∈ Gen (paths-le k (P k u)) using pvalid-toks-y
Gen-def mem-Collect-eq by blast
then have y ∈ π k T (Gen (paths-le k (P k u))) using
π-apply-setmonotone
by blast
then show y ∈ J by (simp add: J items-le-def item-end-y)
next
case 2
then obtain ss' where ss': is-prefix ss' ss ∧ toks = p@ss' by
blast
then have l1: length ss' < length sss
using append-eq-conv-conj append-self-conv is-prefix-length
length-append
            less-le-trans nat-neq-iff not-Cons-self2 not-add-less1 snoc by
fastforce
have l2: is-prefix ss' ts using ss' is-prefix-ss-ts
by (metis append-dropped-prefix is-prefix-append)

```

```

have l3: pvalid (p @ ss') y using ss' pvalid-toks-y by simp
show ?case using less.hyps[OF l1 l2 l3] by blast
qed
}
then have Gen (Prefixes p') ⊆ J
by (meson Gen-implies-pvalid Prefixes-is-prefix subsetI)
with x-dom have r0: x ∈ items-le k (π k {} (Scan T k J))
by (metis (no-types, lifting) LocalLexing.items-le-def LocalLex-
ing-axioms
mem-Collect-eq mono-Scan mono-π mono-subset-elem subsetI)
then have x-in-π: x ∈ π k {} (Scan T k J)
using LocalLexing.items-le-is-filter LocalLexing-axioms subsetCE
by blast
have r1: Scan T k J = J
by (simp add: J Scan-π-fix)
have r2: π k {} J = J using π-idempotent' using J by blast
from x-in-π r1 r2 show x ∈ J by auto
qed
qed
}
note th = this
have x-in-J: x ∈ J
apply (rule th[of ts])
apply (simp add: is-prefix-eq-proper-prefix)
using p-ts q by blast
have T-eq-Z: T k (Suc u) = Z k (Suc u)
using induct induct-tokens T>equals-Z-induct-step by blast
have T-alt: T = T k (Suc u) using T-eq-Z T by simp
have J = π k T (items-le k (J k u)) using induct J by simp
then have J ⊆ π k T (J k u) by (simp add: items-le-is-filter monoD
mono-π)
with T-alt have J ⊆ J k (Suc u) using J.simps(2) by blast
with x-in-J have x ∈ J k (Suc u) by blast
then show ?case
using LocalLexing.items-le-def LocalLexing-axioms pvalid-item-end q by
auto
qed
}
then show ?thesis by auto
qed

end

end
theory TheoremD13
imports TheoremD12
begin

context LocalLexing begin

```

```

lemma pointwise-natUnion-swap:
  assumes pointwise-f: pointwise f
  shows f (natUnion G) = natUnion (λ u. f (G u))
  proof –
    note f-simp = pointwise-simp[OF pointwise-f]
    have h1: f (natUnion G) = ∪ {f {x} | x. x ∈ (natUnion G)} using f-simp by blast
    have h2: ∏ x. f (G x) = ∪ {f {y} | y. y ∈ G x} using f-simp by metis
    show ?thesis
      apply (subst h1)
      apply (subst h2)
      apply (simp add: natUnion-def)
      by blast
  qed

lemma pointwise-Gen: pointwise Gen
  by (simp add: pointwise-def Gen-def, blast)

lemma thmD13-part1:
  assumes start: items-le k (J k 0) = Gen (paths-le k (P k 0))
  assumes valid-k: k ≤ length Doc
  shows items-le k (J k u) = Gen (paths-le k (P k u)) ∧ T k u = Z k u
  proof (induct u)
    case 0
      then show ?case using start by auto
    next
      case (Suc u)
        from Suc have sub: items-le k (J k (Suc u)) ⊆ Gen (paths-le k (P k (Suc u)))
          using thmD9 valid-k by blast
        from Suc have sup: items-le k (J k (Suc u)) ⊇ Gen (paths-le k (P k (Suc u)))
          using thmD12 by blast
        from Suc have tokens: T k (Suc u) = Z k (Suc u)
          using T-equals-Z-induct-step by blast
        from sub sup tokens show ?case by blast
    qed

lemma thmD13-part2:
  assumes start: items-le k (J k 0) = Gen (paths-le k (P k 0))
  assumes valid-k: k ≤ length Doc
  shows items-le k (I k) = Gen (paths-le k (Q k))
  proof –
    note part1 = thmD13-part1[OF start valid-k]
    have e1: items-le k (I k) = natUnion (λ u. items-le k (J k u))
      using items-le-pointwise pointwise-natUnion-swap by auto
    have e2: natUnion (λ u. items-le k (J k u)) = natUnion (λ u. Gen (paths-le k (P k u)))
      using part1 by auto
    have e3: natUnion (λ u. Gen (paths-le k (P k u))) = Gen (natUnion (λ u.

```

```

paths-le k (P k u)))
  using pointwise-Gen pointwise-natUnion-swap by fastforce
  have e4: natUnion (λ u. paths-le k (P k u)) = paths-le k (natUnion (λ u. P k
u))
    using paths-le-pointwise pointwise-natUnion-swap by fastforce
    from e1 e2 e3 e4 show ?thesis by simp
qed

theorem thmD13:
  assumes start: items-le k (J k 0) = Gen (paths-le k (P k 0))
  assumes valid-k: k ≤ length Doc
  shows items-le k (J k u) = Gen (paths-le k (P k u)) ∧ T k u = Z k u
    ∧ items-le k (I k) = Gen (paths-le k (Q k))
using thmD13-part1[OF start valid-k] thmD13-part2[OF start valid-k] by blast

end

end
theory TheoremD14
imports TheoremD13
begin

context LocalLexing begin

lemma empty-tokens-of-empty[simp]: empty-tokens {} = {}
  using empty-tokens-is-filter by blast

lemma items-le-split-via-eq: items-le (Suc k) J = items-le k J ∪ items-eq (Suc k)
J
  by (auto simp add: items-le-def items-eq-def)

lemma paths-le-split-via-eq: paths-le (Suc k) P = paths-le k P ∪ paths-eq (Suc k)
P
  by (auto simp add: paths-le-def paths-eq-def)

lemma natUnion-superset:
  shows g i ⊆ natUnion g
  by (meson natUnion-elem subset-eq)

definition indexle :: nat ⇒ nat ⇒ nat ⇒ nat ⇒ bool where
  indexle k' u' k u = ((indexlt k' u' k u) ∨ (k' = k ∧ u' = u))

definition produced-by-scan-step :: item ⇒ nat ⇒ nat ⇒ bool where
  produced-by-scan-step x k u = (exists k' u' y X. indexle k' u' k u ∧ y ∈ J k' u' ∧
    item-end y = k' ∧ X ∈ (T k' u') ∧ x = inc-item y (k' + length (chars-of-token
X)) ∧
    next-symbol y = Some (terminal-of-token X))

lemma indexle-trans: indexle k'' u'' k' u' ⟹ indexle k' u' k u ⟹ indexle k'' u''
```

```

k u
  using indexle-def indexlt-trans
proof -
  assume a1: indexle k'' u'' k' u'
  assume a2: indexle k' u' k u
  then have f3:  $\bigwedge n na. u' = u \vee \text{indexlt } n na k u \vee \neg \text{indexlt } n na k' u'$ 
    by (meson indexle-def indexlt-trans)
  have  $\bigwedge n na. k' = k \vee \text{indexlt } n na k u \vee \neg \text{indexlt } n na k' u'$ 
    using a2 by (meson indexle-def indexlt-trans)
  then show ?thesis
    using f3 a2 a1 indexle-def by auto
qed

lemma produced-by-scan-step-trans:
  assumes indexle k' u' k u
  assumes produced-by-scan-step x k' u'
  shows produced-by-scan-step x k u
proof -
  from iffD1[OF produced-by-scan-step-def assms(2)] obtain k'a u'a y X where
  produced-k'-u':
    indexle k'a u'a k' u' ∧
    y ∈ J k'a u'a ∧
    item-end y = k'a ∧
    X ∈ T k'a u'a ∧
    x = inc-item y (k'a + length (chars-of-token X)) ∧ next-symbol y = Some
    (terminal-of-token X)
    by blast
  then show ?thesis using indexle-trans assms(1) produced-by-scan-step-def by
  blast
qed

lemma J-induct[consumes 1, case-names Induct]:
  assumes x ∈ J k u
  assumes induct:  $\bigwedge x k u . (\bigwedge x' k' u'. x' \in J k' u' \implies \text{indexlt } k' u' k u \implies P x' k' u')$ 
     $\implies x \in J k u \implies P x k u$ 
  shows P x k u
proof -
  let ?R = indexlt-rel <*lex*> {}
  have wf-R: wf ?R by (auto simp add: wf-indexlt-rel)
  let ?P = λ a. snd a ∈ J (fst (fst a)) (snd (fst a)) → P (snd a) (fst (fst a))
  (snd (fst a))
  have x ∈ J k u → P x k u
  apply (rule wf-induct[OF wf-R, where P = ?P and a = ((k, u), x), simplified])
  apply (auto simp add: indexlt-def[symmetric])
  apply (rule-tac x=ba and k=a and u=b in induct)
  by auto
  thus ?thesis using assms by auto
qed

```

```

lemma π-no-tokens-item-end:
  assumes x-in-π:  $x \in \pi k \{ \} I$ 
  shows item-end  $x = k \vee x \in I$ 
proof -
  have x-in-limit:  $x \in \text{limit} (\lambda I. \text{Complete } k (\text{Predict } k I)) I$ 
  using x-in-π π-no-tokens by auto
  then show ?thesis
  proof (induct rule: limit-induct)
    case (Init x) then show ?case by auto
  next
    case (Iterate x J)
      from Iterate(2) have item-end  $x = k \vee x \in \text{Predict } k J$ 
      using Complete-item-end by auto
      then show ?case
      proof (induct rule: disjCases2)
        case 1 then show ?case by blast
      next
        case 2
          then have item-end  $x = k \vee x \in J$ 
          using Predict-item-end by auto
          then show ?case
          proof (induct rule: disjCases2)
            case 1 then show ?case by blast
          next
            case 2 then show ?case using Iterate(1)[OF 2] by blast
          qed
        qed
      qed
    qed
  qed

lemma natUnion-ex:  $x \in \text{natUnion } f \implies \exists i. x \in f_i$ 
  by (metis (no-types, opaque-lifting) mk-disjoint-insert natUnion-superset natUnion-upperbound
    subsetCE subset-insert)

lemma locate-in-limit:
  assumes x-in-limit:  $x \in \text{limit } f X$ 
  assumes x-notin-X:  $x \notin X$ 
  shows  $\exists n. x \in \text{funpower } f (\text{Suc } n) X \wedge x \notin \text{funpower } f n X$ 
proof -
  have  $\exists N. x \in \text{funpower } f N X$  using x-in-limit limit-def natUnion-ex by fastforce
  then obtain N where N:  $x \in \text{funpower } f N X$  by blast
  {
    fix n :: nat
    have  $x \in \text{funpower } f n X \implies \exists m < n. x \in \text{funpower } f (\text{Suc } m) X \wedge x \notin \text{funpower } f m X$ 
    proof (induct n)
      case 0

```

```

with  $x \notin \text{funpower } f n X$  show ?case by auto
next
  case (Suc n)
    have  $x \notin \text{funpower } f n X \vee x \in \text{funpower } f n X$  by blast
    then show ?case
    proof (induct rule: disjCases2)
      case 1
        then show ?case using Suc by fastforce
      next
        case 2
          from Suc(1)[OF 2] show ?case using less-SucI by blast
        qed
      qed
    }
  with N show ?thesis by auto
qed

lemma produced-by-scan-step:
 $x \in \mathcal{J} k u \implies \text{item-end } x > k \implies \text{produced-by-scan-step } x k u$ 
proof (induct rule: J-induct)
  case (Induct x k u)
    have  $(k = 0 \wedge u = 0) \vee (k > 0 \wedge u = 0) \vee (u > 0)$  by arith
    then show ?case
    proof (induct rule: disjCases3)
      case 1
        with Induct have item-end  $x = 0$  using J-0-0-item-end by blast
        with Induct have False by arith
        then show ?case by blast
      next
        case 2
          then obtain  $k'$  where  $k' = \text{Suc } k'$  using Suc-pred' by blast
          with Induct 2 have  $x \in \mathcal{J} (\text{Suc } k') 0$  by auto
          then have  $x \in \pi k \{\} (\mathcal{I} k')$  by (simp add: k')
          then have item-end  $x = k \vee x \in \mathcal{I} k'$  using pi-no-tokens-item-end by blast
          then show ?case
        proof (induct rule: disjCases2)
          case 1
            with Induct have False by auto
            then show ?case by blast
          next
            case 2
              then have  $\exists u'. x \in \mathcal{J} k' u'$  using I.simps natUnion-ex by fastforce
              then obtain  $u'$  where  $u': x \in \mathcal{J} k' u'$  by blast
              have  $k'$ -bound:  $k' < \text{item-end } x$  using k' Induct by arith
              have indexlt: indexlt  $k' u' k u$  by (simp add: indexlt-simp k')
              from Induct(1)[OF u' this k'-bound]
              have pred-produced: produced-by-scan-step  $x k' u'$ .
              then show ?case using indexlt produced-by-scan-step-trans indexle-def
            by blast
        qed
      qed
    qed
  qed
qed

```

```

qed
next
case 3
then have ex-u':  $\exists u'. u = \text{Suc } u'$  by arith
then obtain u' where u':  $u = \text{Suc } u'$  by blast
with Induct have x ∈ J k (Suc u') by metis
then have x-in-π:  $x \in \pi k (\mathcal{T} k u) (\mathcal{J} k u')$  using u' J.simps by metis
have x ∈ J k u' ∨ x ∉ J k u' by blast
then show ?case
proof (induct rule: disjCases2)
case 1
have indexlt: indexlt k u' k u by (simp add: indexlt-simp u')
with Induct(1)[OF 1 indexlt Induct(3)] show ?case
using indexle-def produced-by-scan-step-trans by blast
next
case 2
have item-end-x:  $k < \text{item-end } x$  using Induct by auto
obtain f where f:  $f = \text{Scan } (\mathcal{T} k u) k \circ \text{Complete } k \circ \text{Predict } k$  by blast
have x ∈ limit f (J k u')
using x-in-π π-functional f by simp
from locate-in-limit[OF this 2] obtain n where n:
x ∈ funpower f (Suc n) (J k u') ∧
x ∉ funpower f n (J k u') by blast
obtain Y where Y:  $Y = \text{funpower } f n (\mathcal{J} k u')$ 
by blast
have x-f-Y:  $x \in f Y \wedge x \notin Y$  using Y n by auto
then have x ∈ Scan (T k u) k (Complete k (Predict k Y)) using
comp-apply f by simp
then have x ∈ (Complete k (Predict k Y)) ∨
x ∈ { inc-item x' (k + length c) | x' t c. x' ∈ bin (Complete k (Predict
k Y)) k ∧
(t, c) ∈ (T k u) ∧ next-symbol x' = Some t } using Scan-def by
simp
then show ?case
proof (induct rule: disjCases2)
case 1
then have False using item-end-x x-f-Y Complete-item-end Predict-item-end
using less-not-refl3 by blast
then show ?case by auto
next
case 2
have Y ⊆ limit f (J k u') using Y limit-def natUnion-superset by
fastforce
then have Y ⊆ π k (T k u) (J k u') using f by (simp add:
π-functional)
then have Y-in-J:  $Y \subseteq \mathcal{J} k u$  using u' by simp
then have in-J:  $\text{Complete } k (\text{Predict } k Y) \subseteq \mathcal{J} k u$ 
proof -

```

```

have  $f1: \forall f I Ia i. (\neg \text{mono } f \vee \neg (I::item\ set) \subseteq Ia \vee (i::item) \notin f\ I) \vee i \in f\ Ia$ 
  by (meson mono-subset-elem)
obtain  $ii :: item\ set \Rightarrow item\ set \Rightarrow item$  where
   $\forall x0\ x1. (\exists v2. v2 \in x1 \wedge v2 \notin x0) = (ii\ x0\ x1 \in x1 \wedge ii\ x0\ x1 \notin x0)$ 
  by moura
then have  $f2: \forall I Ia. ii\ Ia\ I \in I \wedge ii\ Ia\ I \notin Ia \vee I \subseteq Ia$ 
  by blast
obtain  $nn :: nat$  where
   $f3: u = Suc\ nn$ 
  using ex-u' by presburger
moreover
{ assume  $ii\ (\mathcal{T}\ k\ u)\ (\text{Complete } k\ (\text{Predict } k\ Y)) \in \text{Complete } k\ (\pi\ k\ (\mathcal{T}\ k\ (\text{Suc } nn))\ (\mathcal{T}\ k\ nn))$ 
  then have ?thesis
    using f3 f2 Complete- $\pi$ -fix by auto }
ultimately show ?thesis
  using f2 f1 by (metis (full-types) Complete-regular Predict- $\pi$ -fix
Predict-regular
   $\mathcal{T}.\text{simps}(2)\ Y\text{-in-}\mathcal{T}\ \text{regular-implies-mono}$ )
qed
from 2 obtain  $x'\ t\ c$  where  $x'\text{-t-c}:$ 
   $x = \text{inc-item } x'\ (k + \text{length } c) \wedge x' \in \text{bin } (\text{Complete } k\ (\text{Predict } k\ Y))\ k \wedge$ 
   $(t, c) \in \mathcal{T}\ k\ u \wedge \text{next-symbol } x' = \text{Some } t$  by blast
show ?case
  apply (simp add: produced-by-scan-step-def)
  apply (rule-tac x=k in exI)
  apply (rule-tac x=u in exI)
  apply (simp add: indexle-def)
  apply (rule-tac x=x' in exI)
  apply auto
  using x'-t-c bin-def in- $\mathcal{T}$  apply auto[1]
  using x'-t-c bin-def apply blast
  apply (rule-tac x=t in exI)
  apply (rule-tac x=c in exI)
  using x'-t-c by auto
qed
qed
qed
qed

lemma limit-single-step:
assumes  $x \in f\ X$ 
shows  $x \in \text{limit } f\ X$ 
by (metis assms elem-limit-simp funpower.simps(1) funpower.simps(2))

lemma Gen-union:  $\text{Gen } (A \cup B) = \text{Gen } A \cup \text{Gen } B$ 

```

```

by (simp add: Gen-def, blast)

lemma is-prefix-Prefixes-subset:
  assumes is-prefix q p
  shows Prefixes q ⊆ Prefixes p
proof -
  show ?thesis
  apply (auto simp add: Prefixes-def)
  using assms by (metis is-prefix-append is-prefix-def)
qed

lemma Prefixes-subset- $\mathcal{P}$ :
  assumes  $p \in \mathcal{P} k u$ 
  shows Prefixes p ⊆  $\mathcal{P} k u$ 
  using Prefixes-is-prefix assms prefixes-are-paths by blast

lemma Prefixes-subset-paths-le:
  assumes Prefixes p ⊆ P
  shows Prefixes p ⊆ paths-le (charslength p) P
  using Prefixes-is-prefix assms charslength-of-prefix paths-le-def by auto

lemma Scan- $\mathcal{J}$ -subset- $\mathcal{J}$ :
  Scan ( $\mathcal{T} k (\text{Suc } u)$ ) k ( $\mathcal{J} k u$ ) ⊆  $\mathcal{J} k (\text{Suc } u)$ 
  by (metis (no-types, lifting) Scan- $\pi$ -fix  $\mathcal{J}$ .simp(2)  $\mathcal{J}$ -subset-Suc-u monoD mono-Scan)

lemma subset- $\mathcal{J}k$ :  $u \leq v \implies \mathcal{J} k u \subseteq \mathcal{J} k v$ 
  thm  $\mathcal{J}$ -subset-Suc-u
  by (rule subset-fSuc, rule  $\mathcal{J}$ -subset-Suc-u)

lemma subset- $\mathcal{J}\mathcal{I}k$ :  $\mathcal{J} k u \subseteq \mathcal{I} k$  by (auto simp add: natUnion-def)

lemma subset- $\mathcal{I}\mathcal{J}Suc$ :  $\mathcal{I} k \subseteq \mathcal{J} (\text{Suc } k) u$ 
proof -
  have a:  $\mathcal{I} k \subseteq \mathcal{J} (\text{Suc } k) 0$ 
  apply (simp only:  $\mathcal{J}$ .simp)
  using  $\pi$ -apply-setmonotone by blast
  show ?thesis
  apply (case-tac u = 0)
  apply (simp only: a)
  apply (rule subset-trans[OF a subset- $\mathcal{J}k$ ])
  by auto
qed

lemma subset- $\mathcal{ISuc}$ :  $\mathcal{I} k \subseteq \mathcal{I} (\text{Suc } k)$ 
  by (rule subset-trans[OF subset- $\mathcal{I}\mathcal{J}Suc$  subset- $\mathcal{J}\mathcal{I}k$ ])

lemma subset- $\mathcal{I}$ :  $i \leq j \implies \mathcal{I} i \subseteq \mathcal{I} j$ 
  by (rule subset-fSuc[where u=i and v=j and f =  $\mathcal{I}$ , OF subset- $\mathcal{ISuc}$ ])

```

```

lemma subset-J :
  assumes leq:  $k' < k \vee (k' = k \wedge u' \leq u)$ 
  shows  $\mathcal{J} k' u' \subseteq \mathcal{J} k u$ 
proof -
  from leq show ?thesis
  proof (induct rule: disjCases2)
    case 1
    have s1:  $\mathcal{J} k' u' \subseteq \mathcal{I} k'$  by (rule-tac subset-JIk)
    have s2:  $\mathcal{I} k' \subseteq \mathcal{I} (k - 1)$ 
    apply (rule-tac subset-I)
    using 1 by arith
    from subset-IJSuc[where k=k-1] 1 have s3:  $\mathcal{I} (k - 1) \subseteq \mathcal{J} k 0$ 
    by simp
    have s4:  $\mathcal{J} k 0 \subseteq \mathcal{J} k u$  by (rule-tac subset-Jk, simp)
    from s1 s2 s3 s4 subset-trans show ?case by blast
  next
    case 2 thus ?case by (simp add : subset-Jk)
  qed
qed

lemma J-subset:
  assumes indexle k' u' k u
  shows  $\mathcal{J} k' u' \subseteq \mathcal{J} k u$ 
  using subset-J indexle-def indexlt-simp
  by (metis assms less-imp-le-nat order-refl)

lemma Scan-items-le:
  assumes bounded-T:  $\bigwedge t . t \in T \implies \text{length}(\text{chars-of-token } t) \leq l$ 
  shows  $\text{Scan } T k (\text{items-le } k P) \subseteq \text{items-le } (k + l) (\text{Scan } T k P)$ 
proof -
  {
    fix x :: item
    assume x-dom:  $x \in \text{Scan } T k (\text{items-le } k P)$ 
    then have x-dom':  $x \in \text{Scan } T k P$ 
    by (meson items-le-is-filter mono-Scan mono-subset-elem)
    from x-dom have x ∈ items-le k P ∨
      ( $\exists y t c. x = \text{inc-item } y (k + \text{length } c) \wedge y \in \text{bin } (\text{items-le } k P) k \wedge (t, c) \in T$ 
        $\wedge \text{next-symbol } y = \text{Some } t$ )
    using Scan-def using Une mem-Collect-eq by auto
    then have item-end x ≤ k + l
    proof (induct rule: disjCases2)
      case 1 then show ?case
      by (meson items-le-fix-D items-le-idempotent trans-le-add1)
    next
      case 2
      then obtain y t c where y:  $x = \text{inc-item } y (k + \text{length } c) \wedge y \in \text{bin } (\text{items-le } k P) k \wedge (t, c) \in T \wedge \text{next-symbol } y = \text{Some } t$  by blast
  }

```

```

then have item-end-x: item-end x = (k + length c) by simp
from bounded-T y have length c ≤ l
  using chars-of-token-simp by auto
  with item-end-x show ?case by arith
qed
with x-dom' have x ∈ items-le (k + l) (Scan T k P)
  using items-le-def mem-Collect-eq by blast
}
then show ?thesis by blast
qed

lemma Scan-mono-tokens:
P ⊆ Q ⟹ Scan P k I ⊆ Scan Q k I
by (auto simp add: Scan-def)

theorem thmD14: k ≤ length Doc ⟹ items-le k (J k u) = Gen (paths-le k (P k u)) ∧ T k u = Z k u
  ∧ items-le k (I k) = Gen (paths-le k (Q k))
proof (induct k arbitrary: u rule: less-induct)
  case (less k)
    have k = 0 ∨ k ≠ 0 by arith
    then show ?case
    proof (induct rule: disjCases2)
      case 1
        have J-eq-P: items-le k (J k 0) = Gen (paths-le k (P k 0))
        by (simp only: 1 thmD8 items-le-paths-le)
        show ?case using thmD13[OF J-eq-P less.preds] by blast
      next
      case 2
        have ∃ k'. k = Suc k' using 2 by arith
        then obtain k' where k': k = Suc k' by blast
        have k'-less-k: k' < k using k' by arith
        have items-le k (J k 0) = Gen (paths-le k (P k 0))
        proof -
          have simp-left: items-le k (J k 0) = π k {} (items-le k (I k')) using items-le-π-swap k' wellformed-items-I by auto
          have simp-right: Gen (paths-le k (P k 0)) = natUnion (λ v. Gen (paths-le k (P k' v))) by (simp add: k' paths-le-pointwise pointwise-Gen pointwise-natUnion-swap)
          {
            fix v :: nat
            have split-J: items-le k (J k' v) = items-le k' (J k' v) ∪ items-eq k (J k' v)
              using k' items-le-split-via-eq by blast
            have sub1: items-le k' (J k' v) ⊆ natUnion (λ v. Gen (paths-le k (P k' v)))
              proof -
                have h: items-le k' (J k' v) ⊆ Gen (paths-le k (P k' v))
              qed
          }
        qed
      qed
    qed
  qed
qed

```

```

proof -
  have f1: items-le k' (Gen (P k' v)) ∪ items-eq (Suc k') (Gen (P k'
v)) =
    Gen (paths-le k (P k' v))
  using LocalLexing.items-le-split-via-eq LocalLexing-axioms items-le-paths-le
k'
  by blast
  have k' ≤ length Doc
  by (metis (no-types) dual-order.trans k' less.prems lessI less-imp-le-nat)
  then have items-le k' (J k' v) = items-le k' (Gen (P k' v))
    by (simp add: items-le-paths-le k' less.hyps)
  then show ?thesis
    using f1 by blast
  qed
  have Gen (paths-le k (P k' v)) ⊆ natUnion (λ v. Gen (paths-le k (P
k' v)))
    using natUnion-superset by fastforce
    then show ?thesis using h by blast
  qed
  {
    fix x :: item
    assume x-dom: x ∈ items-eq k (J k' v)
    have x-in-J: x ∈ J k' v using x-dom items-eq-def by auto
    have item-end-x: item-end x = k using x-dom items-eq-def by auto
    then have k'-bound: k' < item-end x using k' by arith
    from produced-by-scan-step[OF x-in-J k'-bound]
    have produced-by-scan-step x k' v .
    from iffD1[OF produced-by-scan-step-def this] obtain k'' v'' y X where
      scan-step:
        indexle k'' v'' k' v ∧ y ∈ J k'' v'' ∧ item-end y = k'' ∧ X ∈ T k''
v'' ∧
        x = inc-item y (k'' + length (chars-of-token X)) ∧
        next-symbol y = Some (terminal-of-token X) by blast
    then have y-in-items-le: y ∈ items-le k'' (J k'' v'')
      using items-le-def LocalLexing-axioms le-refl mem-Collect-eq by blast
    have y-in-Gen: y ∈ Gen(paths-le k'' (P k'' v''))
      proof -
        have f1: ∀ n. k' < n ∨ ¬ k < n
          using Suc-lessD k' by blast
        have f2: k'' = k' ∨ k'' < k'
          using indexle-def indexlt-simp scan-step by force
        have f3: k' < k
          using k' by blast
        have f4: k' ≤ length Doc
          using f1 by (meson less.prems less-Suc-eq-le)
        have k'' ≤ length Doc ∨ k' = k''
          using f2 f1 by (meson Suc-lessD less.prems less-Suc-eq-le
less-trans-Suc)
        then show ?thesis
  
```

```

using f4 f3 f2 Suc-lessD y-in-items-le less.hyps less-trans-Suc by
blast
qed
then have  $\exists p. p \in \mathcal{P} k'' v'' \wedge pvalid p y$ 
  by (meson Gen-implies-pvalid paths-le-is-filter rev-subsetD)
then obtain p where  $p: p \in \mathcal{P} k'' v'' \wedge pvalid p y$  by blast
  then have charlength-p:  $charlength p = k''$  using pvalid-item-end
scan-step by auto
  have pvalid-p-y:  $pvalid p y$  using p by blast
  have admissible (p@[fst X, snd X])
    apply (rule pvalid-next-terminal-admissible)
    apply (rule pvalid-p-y)
    using scan-step apply (simp add: terminal-of-token-def)
    using scan-step by (metis TokensAt-subset-X T-subset-TokensAt
X-are-terminals
      rev-subsetD terminal-of-token-def)
  then have admissible-p-X:  $admissible (p@[X])$  by simp
  have X-in-Z:  $X \in \mathcal{Z} k'' (Suc v'')$  by (metis (no-types, lifting) Suc-lessD
Z-subset-Suc
      k'-bound dual-order.trans indexle-def indexlt-simp item-end-of-inc-item
item-end-x
      le-add1 le-neq-implies-less less.hyps less.prems not-less-eq scan-step
subsetCE)
  have pX-in-P-k''-v'':  $p@[X] \in \mathcal{P} k'' (Suc v'')$ 
    apply (simp only: P.simps)
    apply (rule limit-single-step)
    apply (auto simp only: Append-def)
    apply (rule-tac x=p in exI)
    apply (rule-tac x=X in exI)
    apply (simp only: admissible-p-X X-in-Z)
    using charlength-p p by auto
  have indexle k'' v'' k' v using scan-step by simp
  then have indexle k'' (Suc v'') k' (Suc v)
    by (simp add: indexle-def indexlt-simp)
  then have  $\mathcal{P} k'' (Suc v'') \subseteq \mathcal{P} k' (Suc v)$ 
    by (metis indexle-def indexlt-simp less-or-eq-imp-le subset-P)
  with pX-in-P-k''-v'' have pX-in-P-k':  $p@[X] \in \mathcal{P} k' (Suc v)$  by blast
  have charlength (p@[X]) =  $k'' + length (chars-of-token X)$ 
    using charlength-p by auto
  then have charlength (p@[X]) = item-end x using scan-step by simp
  then have charlength-p-X:  $charlength (p@[X]) = k$  using item-end-x
by simp
  then have pX-dom:  $p@[X] \in paths-le k (\mathcal{P} k' (Suc v))$ 
    using lessI less-Suc-eq-le mem-Collect-eq pX-in-P-k' paths-le-def by
auto
  have wellformed-x: wellformed-item x
    using item-end-x less.prems scan-step wellformed-inc-item well-
formed-items-J
    wellformed-items-def by auto

```

```

have wellformed-p-X: wellformed-tokens (p@[X])
  using P-wellformed pX-in-P-k"-v'' by blast
from iffD1[OF pvalid-def pvalid-p-y] obtain r γ where r-γ:
  wellformed-tokens p ∧
  wellformed-item y ∧
  r ≤ length p ∧
  charslength p = item-end y ∧
  charslength (take r p) = item-origin y ∧
  is-derivation (terminals (take r p) @ [item-nonterminal y] @ γ) ∧
  derives (item-α y) (terminals (drop r p)) by blast
have r-le-p: r ≤ length p by (simp add: r-γ)
have item-nonterminal-x: item-nonterminal x = item-nonterminal y
  by (simp add: scan-step)
have item-α-x: item-α x = (item-α y) @ [terminal-of-token X]
  by (simp add: item-α-of-inc-item r-γ scan-step)
have pvalid-x: pvalid (p@[X]) x
  apply (auto simp add: pvalid-def wellformed-x wellformed-p-X)
  apply (rule-tac x=r in exI)
  apply auto
  apply (simp add: le-SucI r-γ)
  using r-γ scan-step apply auto[1]
  using r-γ scan-step apply auto[1]
  apply (rule-tac x=γ in exI)
  apply (simp add: r-le-p item-nonterminal-x)
  using r-γ apply simp
  apply (simp add: r-le-p item-α-x)
  by (metis terminals-singleton append-Nil2
    derives-implies-leftderives derives-is-sentence is-sentence-concat
    is-sentence-cons is-symbol-def is-word-append is-word-cons
    is-word-terminals
    is-word-terminals-drop leftderives-implies-derives leftderives-padback
    leftderives-refl r-γ terminals-append terminals-drop wellformed-p-X)
  then have x ∈ Gen (paths-le k (P k' (Suc v))) using pX-dom Gen-def
    LocalLexing-axioms mem-Collect-eq by auto
}
then have sub2: items-eq k (J k' v) ⊆ natUnion (λ v. Gen (paths-le k
(P k' v)))
  by (meson dual-order.trans natUnion-superset subsetI)
  have suffices3: items-le k (J k' v) ⊆ natUnion (λ v. Gen (paths-le k (P
k' v)))
    using split-J sub1 sub2 by blast
    have items-le k (J k' v) ⊆ Gen (paths-le k (P k 0))
      using suffices3 simp-right by blast
}
note suffices2 = this
have items-le-natUnion-swap: items-le k (I k') = natUnion(λ v. items-le
k (J k' v))
  by (simp add: items-le-pointwise pointwise-natUnion-swap)
then have suffices1: items-le k (I k') ⊆ Gen (paths-le k (P k 0))

```

```

using suffices2 natUnion-upperbound by metis
have sub-lemma: items-le k (J k 0) ⊆ Gen (paths-le k (P k 0))
proof -
  have items-le k (J k 0) ⊆ Gen (P k 0)
    apply (subst simp-left)
    apply (rule thmD5)
    apply (auto simp only: less)
    using suffices1 items-le-is-filter items-le-paths-le subsetCE by blast
  then show ?thesis
    by (simp add: items-le-idempotent remove-paths-le-in-subset-Gen)
qed
have eq1: π k {} (items-le k (I k')) = π k {} (items-le k (natUnion (J
k'))) by simp
then have eq2: π k {} (items-le k (natUnion (J k'))) =
  π k {} (natUnion (λ v. items-le k (J k' v)))
  using items-le-natUnion-swap by auto
from simp-left eq1 eq2
have simp-left': items-le k (J k 0) = π k {} (natUnion (λ v. items-le k
(J k' v)))
  by metis
{
  fix v :: nat
  fix q :: token list
  fix x :: item
  assume q-dom: q ∈ paths-eq k (P k' v)
  assume pvalid-q-x: pvalid q x
  have q-in-P: q ∈ P k' v using q-dom paths-eq-def by auto
  have charslength-q: charslength q = k using q-dom paths-eq-def by auto
  with k'-less-k have q-nonempty: q ≠ []
    using 2.hyps chars.simps(1) charslength.simps list.size(3) by auto
  then have ∃ p X. q = p @ [X] by (metis append-butlast-last-id)
  then obtain p X where pX: q = p @ [X] by blast
  from last-step-of-path[OF q-in-P pX] obtain k'' v'' where k'':
    indexlt k'' v'' k' v ∧ q ∈ P k'' (Suc v'') ∧ charslength p = k'' ∧
    X ∈ Z k'' (Suc v'') by blast
  have h1: p ∈ ℙ
    by (metis (no-types, lifting) LocalLexing.ℙ-covers-P LocalLexing-axioms
      append-Nil2 is-prefix-cancel is-prefix-empty pX prefixes-are-paths q-in-P
      subsetCE)
    have h2: charslength p = k'' using k'' by blast
    obtain T where T: T = {X} by blast
    have h3: X ∈ T using T by blast
    have h4: T ⊆ X k'' using Z-subset-X T k'' by blast
    obtain N where N: N = item-nonterminal x by blast
    obtain α where α: α = item-α x by blast
    obtain β where β: β = item-β x by blast
    have wellformed-x: wellformed-item x using pvalid-def pvalid-q-x by
blast

```

```

then have h5:  $(N, \alpha @ \beta) \in \mathfrak{R}$ 
using  $N \alpha \beta$  item-nonterminal-def item-rhs-def item-rhs-split prod.collapse

wellformed-item-def by auto
have pvalid-left-q-x: pvalid-left q x using pvalid-q-x by (simp add:
pvalid-left)
from iffD1[OF pvalid-left-def pvalid-left-q-x] obtain r γ where r-γ:
wellformed-tokens q ∧
wellformed-item x ∧
r ≤ length q ∧
charslength q = item-end x ∧
charslength (take r q) = item-origin x ∧
is-leftderivation (terminals (take r q) @ [item-nonterminal x] @ γ) ∧
leftderives (item-α x) (terminals (drop r q)) by blast
have h6: r ≤ length q using r-γ by blast
have h7: leftderives (S) (terminals (take r q) @ [N] @ γ)
using r-γ N is-leftderivation-def by blast
have h8: leftderives α (terminals (drop r q)) using r-γ α by metis
have h9: k = k'' + length (chars-of-token X) using r-γ
using charslength-q h2 pX by auto
have h10: x = Item (N, α @ β) (length α) (charslength (take r q)) k
by (metis N α β charslength-q item.collapse item-dot-is-α-length
item-nonterminal-def
item-rhs-def item-rhs-split prod.collapse r-γ)
from thmD11[OF h1 h2 h3 h4 pX h5 h6 h7 h8 h9 h10]
have x ∈ items-le k (π k {} (Scan T k'' (Gen (Prefixes p)))) by blast
then have x-in: x ∈ π k {} (Scan T k'' (Gen (Prefixes p)))
using items-le-is-filter by blast
have subset1: Prefixes p ⊆ Prefixes q
apply (rule is-prefix-Prefixes-subset)
by (simp add: pX is-prefix-def)
have subset2: Prefixes q ⊆ P k'' (Suc v'')
apply (rule Prefixes-subset-P)
using k'' by blast
from subset1 subset2 have Prefixes p ⊆ P k'' (Suc v'') by blast
then have Prefixes p ⊆ paths-le k'' (P k'' (Suc v''))
using k'' Prefixes-subset-paths-le by blast
then have subset3: Gen (Prefixes p) ⊆ Gen (paths-le k'' (P k'' (Suc
v''))) using Gen-def LocalLexing-axioms by auto
have k''-less-k: k'' < k using k'' k' using indexlt-simp less-Suc-eq by
auto
then have k''-Doc-bound: k'' ≤ length Doc using less by auto
from less(1)[OF k''-less-k k''-Doc-bound, of Suc v'']
have induct1: items-le k'' (J k'' (Suc v'')) = Gen (paths-le k'' (P k'' (Suc v'')))
by blast
from less(1)[OF k''-less-k k''-Doc-bound, of Suc(Suc v'')]

```

```

have induct2:  $\mathcal{T} k'' (\text{Suc } (\text{Suc } v'')) = \mathcal{Z} k'' (\text{Suc } (\text{Suc } v''))$  by blast
have subset4:  $\text{Gen } (\text{Prefixes } p) \subseteq \text{items-le } k'' (\mathcal{J} k'' (\text{Suc } v''))$ 
  using subset3 induct1 by auto
from induct1 subset4
have subset6:  $\text{Scan } T k'' (\text{Gen } (\text{Prefixes } p)) \subseteq$ 
   $\text{Scan } T k'' (\text{items-le } k'' (\mathcal{J} k'' (\text{Suc } v'')))$ 
  apply (rule-tac monoD[OF mono-Scan])
  by blast
have  $k'' + \text{length } (\text{chars-of-token } X) = k$ 
  by (simp add: h9)
have  $\bigwedge t. t \in T \implies \text{length } (\text{chars-of-token } t) \leq \text{length } (\text{chars-of-token } X)$ 
  using T by auto
from Scan-items-le[of T, OF this, simplified, of  $k'' \mathcal{J} k'' (\text{Suc } v'')$ ] h9
have subset7:  $\text{Scan } T k'' (\text{items-le } k'' (\mathcal{J} k'' (\text{Suc } v''))) \subseteq$ 
   $\text{items-le } k (\text{Scan } T k'' (\mathcal{J} k'' (\text{Suc } v''))) \text{ by simp}$ 
have  $T \subseteq \mathcal{Z} k'' (\text{Suc } (\text{Suc } v''))$  using T k''
  using Z-subset-Suc rev-subsetD singletonD subsetI by blast
then have T-subset-T:  $T \subseteq \mathcal{T} k'' (\text{Suc } (\text{Suc } v''))$  using induct2 by auto
have subset8:  $\text{Scan } T k'' (\mathcal{J} k'' (\text{Suc } v'')) \subseteq$ 
   $\text{Scan } (\mathcal{T} k'' (\text{Suc } (\text{Suc } v''))) k'' (\mathcal{J} k'' (\text{Suc } v''))$ 
  using T-subset-T Scan-mono-tokens by blast
have subset9:  $\text{Scan } (\mathcal{T} k'' (\text{Suc } (\text{Suc } v''))) k'' (\mathcal{J} k'' (\text{Suc } v'')) \subseteq \mathcal{J} k'' (\text{Suc } (\text{Suc } v''))$ 
  by (rule Scan-J-subset-J)
have subset10:  $(\text{Scan } T k'' (\mathcal{J} k'' (\text{Suc } v''))) \subseteq \mathcal{J} k'' (\text{Suc } (\text{Suc } v''))$ 
  using subset8 subset9 by blast
have  $k'' \leq k'$  using k'' indexlt-simp by auto
then have indexle k'' (Suc (Suc v'')) k' (Suc (Suc v'')) using indexlt-simp
  using indexle-def le-neq-implies-less by auto
then have subset11:  $\mathcal{J} k'' (\text{Suc } (\text{Suc } v'')) \subseteq \mathcal{J} k' (\text{Suc } (\text{Suc } v''))$ 
  using J-subset by blast
have subset12:  $\text{Scan } T k'' (\mathcal{J} k'' (\text{Suc } v'')) \subseteq \mathcal{J} k' (\text{Suc } (\text{Suc } v''))$ 
  using subset8 subset9 subset10 subset11 by blast
then have subset13:  $\text{items-le } k (\text{Scan } T k'' (\mathcal{J} k'' (\text{Suc } v''))) \subseteq$ 
   $\text{items-le } k (\mathcal{J} k' (\text{Suc } (\text{Suc } v'')))$ 
  using items-le-def mem-Collect-eq rev-subsetD subsetI by auto
have subset14:  $\text{Scan } T k'' (\text{Gen } (\text{Prefixes } p)) \subseteq \text{items-le } k (\mathcal{J} k' (\text{Suc } (\text{Suc } v'')))$ 
  using subset6 subset7 subset13 by blast
then have x-in':  $x \in \pi k \{\} (\text{items-le } k (\mathcal{J} k' (\text{Suc } (\text{Suc } v''))))$ 
  using x-in
  by (meson π-apply-setmonotone π-subset-elem-trans subsetCE subsetI)
from x-in' have x ∈ π k {} (natUnion (λ v. items-le k (J k' v)))
  by (meson k' mono-π mono-subset-elem natUnion-superset)
}
note suffices6 = this
{
fix v :: nat

```

```

have Gen (paths-eq k (P k' v)) ⊆ π k {} (natUnion (λ v. items-le k (J
k' v)))
  using suffices6 by (meson Gen-implies-pvalid subsetI)
}
note suffices5 = this
{
fix v :: nat
have paths-le k (P k' v) = paths-le k' (P k' v) ∪ paths-eq k (P k' v)
  using paths-le-split-via-eq k' by metis
then have Gen-split: Gen (paths-le k (P k' v)) =
  Gen (paths-le k' (P k' v)) ∪ Gen(paths-eq k (P k' v)) using Gen-union
by metis
have case-le: Gen (paths-le k' (P k' v)) ⊆ π k {} (natUnion (λ v.
items-le k (J k' v)))
proof -
  from less k'-less-k have k' ≤ length Doc by arith
  from less(1)[OF k'-less-k this]
  have items-le k' (J k' v) = Gen (paths-le k' (P k' v)) by blast
  then have Gen (paths-le k' (P k' v)) ⊆ natUnion (λ v. items-le k (J
k' v))
    using items-le-def LocalLexing-axioms k'-less-k natUnion-superset by
    fastforce
    then show ?thesis using π-apply-setmonotone by blast
qed
have Gen (paths-le k (P k' v)) ⊆ π k {} (natUnion (λ v. items-le k (J
k' v)))
  using Gen-split case-le suffices5 UnE rev-subsetD subsetI by blast
}
note suffices4 = this
have super-lemma: Gen (paths-le k (P k 0)) ⊆ items-le k (J k 0)
  apply (subst simp-right)
  apply (subst simp-left')
  using suffices4 by (meson natUnion-ex rev-subsetD subsetI)
  from super-lemma sub-lemma show ?thesis by blast
qed
then show ?case using thmD13 less.preds by blast
qed
qed
end

end
theory PathLemmas
imports TheoremD14
begin

context LocalLexing begin

lemma characterize-P:

```

```

 $(\forall i < \text{length } p. \exists u. p ! i \in \mathcal{Z} (\text{charslength} (\text{take } i p)) u) \implies \text{admissible } p \implies$ 
 $\exists u. p \in \mathcal{P} (\text{charslength } p) u$ 
proof (induct p rule: rev-induct)
  case Nil
    show ?case by simp
  next
    case (snoc a p)
      from snoc.prems have admissible-p: admissible p
        by (metis append-Nil2 is-prefix-admissible is-prefix-cancel is-prefix-empty)
      {
        fix i :: nat
        assume ilen: i < length p
        then have i < length (p@[a])
          by (simp add: Suc-leI Suc-le-lessD trans-le-add1)
        with snoc have  $\exists u. (p @ [a]) ! i \in \mathcal{Z} (\text{charslength} (\text{take } i (p @ [a]))) u$ 
          by blast
        then obtain u where u:  $(p @ [a]) ! i \in \mathcal{Z} (\text{charslength} (\text{take } i (p @ [a]))) u$ 
        by blast
        from ilen have p-at:  $(p @ [a]) ! i = p ! i$  by (simp add: nth-append)
        from ilen have p-take:  $\text{take } i (p @ [a]) = \text{take } i p$  by (simp add: less-or-eq-imp-le)
        from u p-at p-take have p-i:  $p ! i \in \mathcal{Z} (\text{charslength} (\text{take } i p)) u$  by simp
        then have  $\exists u. p ! i \in \mathcal{Z} (\text{charslength} (\text{take } i p)) u$  by blast
      }
      then have  $\forall i < \text{length } p. \exists u. p ! i \in \mathcal{Z} (\text{charslength} (\text{take } i p)) u$  by auto
      with admissible-p snoc.hyps obtain u where u: p ∈ P (charslength p) u by
      blast
      have  $\exists u. (p @ [a]) ! (\text{length } p) \in \mathcal{Z} (\text{charslength} (\text{take } (\text{length } p) (p @ [a]))) u$ 
        using snoc
        by (metis (no-types, opaque-lifting) add-Suc-right append-Nil2 length-Cons
          length-append
          less-Suc-eq-le less-or-eq-imp-le)
      then obtain v where  $(p @ [a]) ! (\text{length } p) \in \mathcal{Z} (\text{charslength} (\text{take } (\text{length } p)$ 
         $(p @ [a]))) v$ 
        by blast
      then have v: a ∈ Z (charslength p) v by simp
      {
        assume v-leq-u:  $v \leq u$ 
        then have a ∈ Z (charslength p) (Suc u) using v
          by (meson LocalLexing.substring-fSuc LocalLexing-axioms Z-subset-Suc
            subsetCE)
        from path-append-token[OF u this snoc.prems(2)]
        have  $p @ [a] \in \mathcal{P} (\text{charslength } p) (\text{Suc } u)$  by blast
        then have ?case using in-P-charslength by blast
      }
      note case-v-leq-u = this
      {
        assume u-less-v:  $u < v$ 
        then obtain w where w:  $v = \text{Suc } w$  using less-imp-Suc-add by blast
      }
    }
  
```

```

with  $u \text{-less-} v$  have  $u \leq w$  by arith
with  $u$  have  $p \in \mathcal{P}$  ( $\text{charslength } p$ )  $w$  by (meson subsetCE subset- $\mathcal{P}k$ )
from  $v w$  path-append-token[ $\text{OF this - snoc.preds(2)}$ ]
have  $p @ [a] \in \mathcal{P}$  ( $\text{charslength } p$ ) ( $\text{Suc } w$ ) by blast
then have ?case using in- $\mathcal{P}$ -charslength by blast
}
note case- $u$ -less- $v = this$ 

show ?case using case- $v$ -leq- $u$  case- $u$ -less- $v$  not-le by blast
qed

lemma drop-empty-tokens:
assumes  $p: p \in \mathfrak{P}$ 
assumes  $r: r \leq \text{length } p$ 
assumes empty:  $\text{charslength}(\text{take } r p) = 0$ 
assumes admissible:  $\text{admissible}(\text{drop } r p)$ 
shows  $\text{drop } r p \in \mathfrak{P}$ 
proof -
have  $p\text{-Z}: \forall i < \text{length } p. \exists u. p ! i \in \mathcal{Z} (\text{charslength}(\text{take } i p)) u$  using  $p$ 
using tokens-nth-in- $\mathcal{Z}$  by blast
obtain  $q$  where  $q: q = \text{drop } r p$  by blast
{
fix  $j :: nat$ 
assume  $j : j < \text{length } q$ 
have length-p-q-r:  $\text{length } p = \text{length } q + r$ 
using  $r q \text{ add.commute diff-add-inverse le-Suc-ex length-drop}$  by simp
have j-plus-r-bound:  $j + r < \text{length } p$  by (simp add:  $j \text{ length-p-q-r}$ )
with p-Z have  $\exists u. p ! (j + r) \in \mathcal{Z} (\text{charslength}(\text{take } (j + r) p)) u$  by blast
then obtain  $u$  where  $u: p ! (j + r) \in \mathcal{Z} (\text{charslength}(\text{take } (j + r) p)) u$  by blast
have p-at-is-q-at:  $p ! (j + r) = q ! j$  by (simp add: add.commute  $q r$ )
have take (j + r) p = (take r p) @ (take j q) by (metis add.commute q take-add)
with empty have charslength (take (j + r) p) = charslength (take j q) by auto
with u p-at-is-q-at have  $q ! j \in \mathcal{Z} (\text{charslength}(\text{take } j q)) u$  by simp
then have  $\exists u. q ! j \in \mathcal{Z} (\text{charslength}(\text{take } j q)) u$  by auto
}
then have  $\forall i < \text{length } q. \exists u. q ! i \in \mathcal{Z} (\text{charslength}(\text{take } i q)) u$  by blast
from characterize- $\mathcal{P}$ [ $\text{OF this}$ ] have  $\exists u. q \in \mathcal{P} (\text{charslength } q) u$  using admissible  $q$  by auto
then show ?thesis using  $\mathfrak{P}$ -covers- $\mathcal{P}$  q by blast
qed

end

end
theory MainTheorems
imports PathLemmas
begin

```

```

context LocalLexing begin

theorem  $\mathfrak{I}$ -is-generated-by- $\mathfrak{P}$ :  $\mathfrak{I} = \text{Gen } \mathfrak{P}$ 
proof -
  have wellformed-items ( $\mathcal{I}$  (length Doc))
  using wellformed-items- $\mathcal{I}$  by auto
  then have  $\bigwedge x. x \in \mathcal{I}$  (length Doc)  $\implies$  item-end  $x \leq$  length Doc
  using wellformed-item-def wellformed-items-def by blast
  then have  $\mathcal{I}$  (length Doc)  $\subseteq$  items-le (length Doc) ( $\mathcal{I}$  (length Doc))
  by (auto simp only: items-le-def)
  then have  $\mathcal{I}$  (length Doc) = items-le (length Doc) ( $\mathcal{I}$  (length Doc))
  using items-le-is-filter by blast
  then have  $\mathfrak{I}$ -altdef:  $\mathfrak{I} = \text{items-le (length Doc) } (\mathcal{I} \text{ (length Doc)})$  using  $\mathfrak{I}$ -def by auto
  have  $\bigwedge p. p \in (\mathcal{Q} \text{ (length Doc)}) \implies \text{charslength } p \leq \text{length Doc}$ 
  using  $\mathfrak{P}$ -are-doc-tokens  $\mathfrak{P}$ -def doc-tokens-length by auto
  then have  $\mathcal{Q}$  (length Doc)  $\subseteq$  paths-le (length Doc) ( $\mathcal{Q}$  (length Doc))
  by (auto simp only: paths-le-def)
  then have  $\mathcal{Q}$  (length Doc) = paths-le (length Doc) ( $\mathcal{Q}$  (length Doc))
  using paths-le-is-filter by blast
  then have  $\mathfrak{P}$ -altdef:  $\mathfrak{P} = \text{paths-le (length Doc) } (\mathcal{Q} \text{ (length Doc)})$  using  $\mathfrak{P}$ -def by auto
  show ?thesis using  $\mathfrak{I}$ -altdef  $\mathfrak{P}$ -altdef thmD14 by auto
qed

definition finished-item :: symbol list  $\Rightarrow$  item
where
  finished-item  $\alpha = \text{Item } (\mathfrak{S}, \alpha)$  (length  $\alpha$ ) 0 (length Doc)

lemma item-rule-finished-item[simp]: item-rule (finished-item  $\alpha$ ) = ( $\mathfrak{S}, \alpha$ )
  by (simp add: finished-item-def)

lemma item-origin-finished-item[simp]: item-origin (finished-item  $\alpha$ ) = 0
  by (simp add: finished-item-def)

lemma item-end-finished-item[simp]: item-end (finished-item  $\alpha$ ) = length Doc
  by (simp add: finished-item-def)

lemma item-dot-finished-item[simp]: item-dot (finished-item  $\alpha$ ) = length  $\alpha$ 
  by (simp add: finished-item-def)

lemma item-rhs-finished-item[simp]: item-rhs (finished-item  $\alpha$ ) =  $\alpha$ 
  by (simp add: finished-item-def)

lemma item-alpha-finished-item[simp]: item-alpha (finished-item  $\alpha$ ) =  $\alpha$ 
  by (simp add: finished-item-def item-alpha-def)

lemma item-nonterminal-finished-item[simp]: item-nonterminal (finished-item  $\alpha$ )
=  $\mathfrak{S}$ 

```

```

by (simp add: finished-item-def item-nonterminal-def)

lemma Derives1-of-singleton:
  assumes Derives1 [N] i r α
  shows i = 0 ∧ r = (N, α)
proof -
  from assms have i = 0 using Derives1-bound
  using length-Cons less-Suc0 list.size(3) by fastforce
  then show ?thesis using assms
  using Derives1-def append-Cons append-self-conv append-self-conv2 length-0-conv
  list.inject by auto
qed

definition pvalid-with :: tokens ⇒ item ⇒ nat ⇒ symbol list ⇒ bool
where
  pvalid-with p x u γ =
    (wellformed-tokens p ∧
     wellformed-item x ∧
     u ≤ length p ∧
     charslength p = item-end x ∧
     charslength (take u p) = item-origin x ∧
     is-derivation (terminals (take u p) @ [item-nonterminal x] @ γ) ∧
     derives (item-α x) (terminals (drop u p)))

lemma pvalid-with: pvalid p x = (Ǝ u γ. pvalid-with p x u γ)
  using pvalid-def pvalid-with-def by blast

theorem Completeness:
  assumes p-in-ll: p ∈ ll
  shows Ǝ α. pvalid-with p (finished-item α) 0 [] ∧ finished-item α ∈ ℐ
proof -
  have p: p ∈ ℙ ∧ charslength p = length Doc ∧ terminals p ∈ ℒ
  using p-in-ll ll-def by auto
  then have derives-p: derives [S] (terminals p)
  using ℒ-def is-derivation-def mem-Collect-eq by blast
  then have Ǝ D. Derivation [S] D (terminals p)
  by (simp add: derives-implies-Derivation)
  then obtain D where D: Derivation [S] D (terminals p) by blast
  have is-word-p: is-word (terminals p) using leftlang p by blast
  have not-is-word-S: ¬ (is-word [S]) using is-nonterminal-startsymbol is-terminal-nonterminal
  is-word-cons by blast
  have D ≠ [] using D is-word-p not-is-word-S using Derivation.simps(1) by
  force
  then have Ǝ d D'. D = d # D' using D Derivation.elims(2) by blast
  then obtain d D' where d: D = d # D' by blast
  have Ǝ α. Derives1 [S] (fst d) (snd d) α ∧ derives α (terminals p)
  using d D Derivation.simps(2) Derivation-implies-derives by blast

```

```

then obtain  $\alpha$  where  $\alpha: Derives1[\mathfrak{S}](fst d)(snd d)\alpha \wedge derives\alpha(terminals p)$  by blast
then have  $snd-d-in-\mathfrak{R}: snd d \in \mathfrak{R}$  using Derives1-rule by blast
with  $\alpha$  have  $snd-d: snd d = (\mathfrak{S}, \alpha)$  using Derives1-of-singleton by blast
have wellformed-p: wellformed-tokens p by (simp add:  $\mathfrak{P}$ -wellformed p)
have wellformed-finished-item: wellformed-item (finished-item  $\alpha$ )
apply (auto simp add: wellformed-item-def)
using snd-d snd-d-in- $\mathfrak{R}$  by metis
have pvalid-with: pvalid-with p (finished-item  $\alpha$ ) 0 []
apply (auto simp add: pvalid-with-def)
using wellformed-p apply blast
using wellformed-finished-item apply blast
using p apply (simp add: finished-item-def)
apply (simp add: is-derivation-def)
by (simp add:  $\alpha$ )
then have pvalid p (finished-item  $\alpha$ ) using pvalid-def pvalid-with-def by blast
then have finished-item  $\alpha \in Gen \mathfrak{P}$  using Gen-def mem-Collect-eq p by blast
then have finished-item  $\alpha \in \mathfrak{I}$  using  $\mathfrak{I}$ -is-generated-by- $\mathfrak{P}$  by blast
with pvalid-with show ?thesis by blast
qed

```

theorem Soundness:

```

assumes finished-item- $\alpha$ : finished-item  $\alpha \in \mathfrak{I}$ 
shows  $\exists p. pvalid-with p (finished-item \alpha) 0 [] \wedge p \in ll$ 
proof -
have finished-item  $\alpha \in Gen \mathfrak{P}$ 
using  $\mathfrak{I}$ -is-generated-by- $\mathfrak{P}$  finished-item- $\alpha$  by auto
then obtain p where p:  $p \in \mathfrak{P} \wedge pvalid p (finished-item \alpha)$ 
using Gen-implies-pvalid by blast
have pvalid-p-finished-item: pvalid p (finished-item  $\alpha$ ) using p by blast
from iffD1[OF pvalid-def this, simplified] obtain r  $\gamma$  where pvalid:
wellformed-tokens p  $\wedge$ 
wellformed-item (finished-item  $\alpha$ )  $\wedge$ 
r  $\leq$  length p  $\wedge$ 
length (chars p) = length Doc  $\wedge$ 
chars (take r p) = []  $\wedge$ 
is-derivation (take r (terminals p) @  $\mathfrak{S} \# \gamma$ )  $\wedge$  derives  $\alpha$  (drop r (terminals p))
by blast
have item-rule (finished-item  $\alpha$ )  $\in \mathfrak{R}$  using pvalid
using wellformed-item-def by blast
then have  $(\mathfrak{S}, \alpha) \in \mathfrak{R}$  by simp
then have is-derivation- $\alpha$ : is-derivation  $\alpha$  by (simp add: is-derivation-def left-derives-rule)
have drop-r-p-in- $\mathfrak{P}$ : drop r p  $\in \mathfrak{P}$ 
apply (rule drop-empty-tokens)
using p apply blast
using pvalid apply blast
using pvalid apply simp

```

```

by (metis append-Nil2 derives-trans is-derivation- $\alpha$  is-derivation-def
      is-derivation-implies-admissible is-word-terminals-drop pvalid terminals-drop)
then have in-ll: drop r p  $\in$  ll
apply (auto simp add: ll-def)
apply (metis append-Nil append-take-drop-id chars-append pvalid)
using is-derivation- $\alpha$  pvalid
by (metis (no-types, lifting) L-def derives-trans is-derivation-def
      is-word-terminals-drop mem-Collect-eq terminals-drop)
have pvalid-with (drop r p) (finished-item  $\alpha$ ) 0 []
apply (auto simp add: pvalid-with-def)
using  $\mathfrak{P}$ -wellformed drop-r-p-in- $\mathfrak{P}$  apply blast
using pvalid apply blast
apply (metis append-Nil append-take-drop-id chars-append pvalid)
apply (simp add: is-derivation-def)
using pvalid by blast
with in-ll show ?thesis by auto
qed

lemma is-finished-and-finished-item:
assumes wellformed-x: wellformed-item x
shows is-finished x = ( $\exists$   $\alpha$ . x = finished-item  $\alpha$ )
proof -
{
  assume is-finished-x: is-finished x
  obtain  $\alpha$  where  $\alpha$ :  $\alpha$  = item-rhs x by blast
  have x = finished-item  $\alpha$ 
    apply (rule item.expand)
    apply auto
    using  $\alpha$  is-finished-def is-finished-x item-nonterminal-def item-rhs-def apply
    auto[1]
    using  $\alpha$  assms is-complete-def is-finished-def is-finished-x wellformed-item-def
    apply auto[1]
      using is-finished-def is-finished-x apply blast
      using is-finished-def is-finished-x by auto
      then have  $\exists$   $\alpha$ . x = finished-item  $\alpha$  by blast
}
note left-implies-right = this
{
  assume  $\exists$   $\alpha$ . x = finished-item  $\alpha$ 
  then obtain  $\alpha$  where  $\alpha$ : x = finished-item  $\alpha$  by blast
  have is-finished x by (simp add:  $\alpha$  is-finished-def is-complete-def)
}
note right-implies-left = this
show ?thesis using left-implies-right right-implies-left by blast
qed

theorem Correctness:
shows (ll  $\neq$  {}) = earley-recognised
proof -

```

```
have 1: ( $ll \neq \{\}$ ) = ( $\exists \alpha. finished\text{-item } \alpha \in \mathfrak{I}$ )
  using Soundness Completeness ex-in-conv by fastforce
have 2: ( $\exists \alpha. finished\text{-item } \alpha \in \mathfrak{I}$ ) = ( $\exists x \in \mathfrak{I}. is\text{-finished } x$ )
  using  $\mathfrak{I}\text{-def } is\text{-finished-and-finished-item wellformed-items-}\mathcal{I}$  wellformed-items-def
by auto
  show ?thesis using earley-recognised-def 1 2 by blast
qed

end

end
```