

Quantifier Elimination for Linear Arithmetic

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Abstract

This article formalizes quantifier elimination procedures for dense linear orders, linear real arithmetic and Presburger arithmetic. In each case both a DNF-based non-elementary algorithm and one or more (doubly) exponential NNF-based algorithms are formalized, including the well-known algorithms by Ferrante and Rackoff and by Cooper. The NNF-based algorithms for dense linear orders are new but based on Ferrante and Rackoff and on an algorithm by Loos and Weispfenning which simulates infinitesimals.

All algorithms are directly executable. In particular, they yield reflective quantifier elimination procedures for HOL itself.

The formalization makes heavy use of locales and is therefore highly modular.

For an exposition of the DNF-based procedures see [5], for the NNF-based procedures see [4].

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1 Logic

```
theory Logic
imports Main HOL-Library.FuncSet
begin
```

We start with a generic formalization of quantified logical formulae using de Bruijn notation. The syntax is parametric in the type of atoms.

```
declare Let-def[simp]
```

```
datatype (atoms: 'a) fm =
  TrueF | FalseF | Atom 'a | And 'a fm 'a fm | Or 'a fm 'a fm |
  Neg 'a fm | ExQ 'a fm
```

```
notation map-fm (⟨mapfm⟩)
```

```
abbreviation Imp where Imp φ₁ φ₂ ≡ Or (Neg φ₁) φ₂
abbreviation AllQ where AllQ φ ≡ Neg(ExQ(Neg φ))
```

```
definition neg where
```

```
neg φ = (if φ=TrueF then FalseF else if φ=FalseF then TrueF else Neg φ)
```

```
definition and :: 'a fm ⇒ 'a fm ⇒ 'a fm where
```

```
and φ₁ φ₂ =
  (if φ₁=TrueF then φ₂ else if φ₂=TrueF then φ₁ else
   if φ₁=FalseF ∨ φ₂=FalseF then FalseF else And φ₁ φ₂)
```

```
definition or :: 'a fm ⇒ 'a fm ⇒ 'a fm where
```

```
or φ₁ φ₂ =
  (if φ₁=FalseF then φ₂ else if φ₂=FalseF then φ₁ else
```

if $\varphi_1 = \text{TrueF} \vee \varphi_2 = \text{TrueF}$ *then* TrueF *else* $\text{Or } \varphi_1 \varphi_2$)

definition $\text{list-conj} :: 'a \text{ fm list} \Rightarrow 'a \text{ fm where}$
 $\text{list-conj fs} = \text{foldr and fs TrueF}$

definition $\text{list-disj} :: 'a \text{ fm list} \Rightarrow 'a \text{ fm where}$
 $\text{list-disj fs} = \text{foldr or fs FalseF}$

abbreviation $\text{Disj is f} \equiv \text{list-disj (map f is)}$

lemmas $\text{atoms-map-fm[simp]} = \text{fm.set-map}$

fun $\text{amap-fm} :: ('a \Rightarrow 'b \text{ fm}) \Rightarrow 'a \text{ fm} \Rightarrow 'b \text{ fm} (\langle \text{amap}_{fm} \rangle) \text{ where}$
 $\text{amap}_{fm} h \text{ TrueF} = \text{TrueF} |$
 $\text{amap}_{fm} h \text{ FalseF} = \text{FalseF} |$
 $\text{amap}_{fm} h (\text{Atom } a) = h a |$
 $\text{amap}_{fm} h (\text{And } \varphi_1 \varphi_2) = \text{and} (\text{amap}_{fm} h \varphi_1) (\text{amap}_{fm} h \varphi_2) |$
 $\text{amap}_{fm} h (\text{Or } \varphi_1 \varphi_2) = \text{or} (\text{amap}_{fm} h \varphi_1) (\text{amap}_{fm} h \varphi_2) |$
 $\text{amap}_{fm} h (\text{Neg } \varphi) = \text{neg} (\text{amap}_{fm} h \varphi)$

lemma $\text{amap-fm-list-disj}:$

$\text{amap}_{fm} h (\text{list-disj fs}) = \text{list-disj} (\text{map} (\text{amap}_{fm} h) \text{ fs})$
 $\langle \text{proof} \rangle$

fun $\text{qfree} :: 'a \text{ fm} \Rightarrow \text{bool} \text{ where}$
 $\text{qfree}(\text{ExQ } f) = \text{False} |$
 $\text{qfree}(\text{And } \varphi_1 \varphi_2) = (\text{qfree } \varphi_1 \wedge \text{qfree } \varphi_2) |$
 $\text{qfree}(\text{Or } \varphi_1 \varphi_2) = (\text{qfree } \varphi_1 \wedge \text{qfree } \varphi_2) |$
 $\text{qfree}(\text{Neg } \varphi) = (\text{qfree } \varphi) |$
 $\text{qfree } \varphi = \text{True}$

lemma $\text{qfree-and[simp]}: [\![\text{qfree } \varphi_1; \text{qfree } \varphi_2]\!] \implies \text{qfree}(\text{and } \varphi_1 \varphi_2)$
 $\langle \text{proof} \rangle$

lemma $\text{qfree-or[simp]}: [\![\text{qfree } \varphi_1; \text{qfree } \varphi_2]\!] \implies \text{qfree}(\text{or } \varphi_1 \varphi_2)$
 $\langle \text{proof} \rangle$

lemma $\text{qfree-neg[simp]}: \text{qfree}(\text{neg } \varphi) = \text{qfree } \varphi$
 $\langle \text{proof} \rangle$

lemma $\text{qfree-foldr-Or[simp]}:$
 $\text{qfree}(\text{foldr Or fs } \varphi) = (\text{qfree } \varphi \wedge (\forall \varphi \in \text{set fs}. \text{qfree } \varphi))$
 $\langle \text{proof} \rangle$

lemma $\text{qfree-list-conj[simp]}:$
assumes $\forall \varphi \in \text{set fs}. \text{qfree } \varphi$ **shows** $\text{qfree}(\text{list-conj fs})$
 $\langle \text{proof} \rangle$

lemma $\text{qfree-list-disj[simp]}:$

assumes $\forall \varphi \in \text{set } fs. \text{ qfree } \varphi$ **shows** $\text{qfree}(\text{list-disj } fs)$
 $\langle \text{proof} \rangle$

lemma qfree-map-fm : $\text{qfree}(\text{map}_{fm} f \varphi) = \text{qfree } \varphi$
 $\langle \text{proof} \rangle$

lemma atoms-list-disjE :
 $a \in \text{atoms}(\text{list-disj } fs) \implies a \in (\bigcup_{\varphi \in \text{set } fs. \text{ atoms } \varphi} \varphi)$
 $\langle \text{proof} \rangle$

lemma atoms-list-conjE :
 $a \in \text{atoms}(\text{list-conj } fs) \implies a \in (\bigcup_{\varphi \in \text{set } fs. \text{ atoms } \varphi} \varphi)$
 $\langle \text{proof} \rangle$

```
fun dnf :: 'a fm ⇒ 'a list list where
dnf TrueF = []
dnf FalseF = []
dnf (Atom φ) = [[φ]]
dnf (And φ1 φ2) = [d1 @ d2. d1 ← dnf φ1, d2 ← dnf φ2] |
dnf (Or φ1 φ2) = dnf φ1 @ dnf φ2
```

```
fun nqfree :: 'a fm ⇒ bool where
nqfree(Atom a) = True |
nqfree TrueF = True |
nqfree FalseF = True |
nqfree (And φ1 φ2) = (nqfree φ1 ∧ nqfree φ2) |
nqfree (Or φ1 φ2) = (nqfree φ1 ∨ nqfree φ2) |
nqfree φ = False
```

lemma $nqfree\text{-qfree}[simp]$: $nqfree \varphi \implies \text{qfree } \varphi$
 $\langle \text{proof} \rangle$

lemma $nqfree\text{-map-fm}$: $nqfree(\text{map}_{fm} f \varphi) = nqfree \varphi$
 $\langle \text{proof} \rangle$

```
fun interpret :: ('a ⇒ 'b list ⇒ bool) ⇒ 'a fm ⇒ 'b list ⇒ bool where
interpret h TrueF xs = True |
interpret h FalseF xs = False |
interpret h (Atom a) xs = h a xs |
interpret h (And φ1 φ2) xs = (interpret h φ1 xs ∧ interpret h φ2 xs) |
interpret h (Or φ1 φ2) xs = (interpret h φ1 xs ∨ interpret h φ2 xs) |
interpret h (Neg φ) xs = (¬ interpret h φ xs) |
interpret h (ExQ φ) xs = (∃ x. interpret h φ (x#xs))
```

1.1 Atoms

The locale ATOM of atoms provides a minimal framework for the generic formulation of theory-independent algorithms, in particular quantifier elimination.

```

locale ATOM =
fixes aneg :: 'a  $\Rightarrow$  'a fm
fixes anormal :: 'a  $\Rightarrow$  bool
assumes nqfree-aneg: nqfree(aneg a)
assumes anormal-aneg: anormal a  $\Longrightarrow$   $\forall b \in \text{atoms}(\text{aneg } a)$ . anormal b

fixes Ia :: 'a  $\Rightarrow$  'b list  $\Rightarrow$  bool
assumes Ia-aneg: interpret Ia (aneg a) xs = ( $\neg$  Ia a xs)

fixes depends0 :: 'a  $\Rightarrow$  bool
and decr :: 'a  $\Rightarrow$  'a
assumes not-dep-decr:  $\neg$  depends0 a  $\Longrightarrow$  Ia a (x#xs) = Ia (decr a) xs
assumes anormal-decr:  $\neg$  depends0 a  $\Longrightarrow$  anormal a  $\Longrightarrow$  anormal(decr a)

begin

fun atoms0 :: 'a fm  $\Rightarrow$  'a list where
  atoms0 TrueF = [] |
  atoms0 FalseF = [] |
  atoms0 (Atom a) = (if depends0 a then [a] else []) |
  atoms0 (And φ1 φ2) = atoms0 φ1 @ atoms0 φ2 |
  atoms0 (Or φ1 φ2) = atoms0 φ1 @ atoms0 φ2 |
  atoms0 (Neg φ) = atoms0 φ

abbreviation I where I  $\equiv$  interpret Ia

fun nnf :: 'a fm  $\Rightarrow$  'a fm where
  nnf (And φ1 φ2) = And (nnf φ1) (nnf φ2) |
  nnf (Or φ1 φ2) = Or (nnf φ1) (nnf φ2) |
  nnf (Neg TrueF) = FalseF |
  nnf (Neg FalseF) = TrueF |
  nnf (Neg (Neg φ)) = (nnf φ) |
  nnf (Neg (And φ1 φ2)) = (Or (nnf (Neg φ1)) (nnf (Neg φ2))) |
  nnf (Neg (Or φ1 φ2)) = (And (nnf (Neg φ1)) (nnf (Neg φ2))) |
  nnf (Neg (Atom a)) = aneg a |
  nnf φ = φ

lemma nqfree-nnf: qfree φ  $\Longrightarrow$  nqfree(nnf φ)
  <proof>

lemma qfree-nnf[simp]: qfree(nnf φ) = qfree φ
  <proof>

```

lemma $I\text{-}neg[\text{simp}]$: $I\ (\text{neg } \varphi) \ xs = I\ (\text{Neg } \varphi) \ xs$
 $\langle \text{proof} \rangle$

lemma $I\text{-}and[\text{simp}]$: $I\ (\text{and } \varphi_1 \varphi_2) \ xs = I\ (\text{And } \varphi_1 \varphi_2) \ xs$
 $\langle \text{proof} \rangle$

lemma $I\text{-list-conj}[\text{simp}]$:
 $I\ (\text{list-conj } fs) \ xs = (\forall \varphi \in \text{set } fs. \ I\ \varphi \ xs)$
 $\langle \text{proof} \rangle$

lemma $I\text{-or}[\text{simp}]$: $I\ (\text{or } \varphi_1 \varphi_2) \ xs = I\ (\text{Or } \varphi_1 \varphi_2) \ xs$
 $\langle \text{proof} \rangle$

lemma $I\text{-list-disj}[\text{simp}]$:
 $I\ (\text{list-disj } fs) \ xs = (\exists \varphi \in \text{set } fs. \ I\ \varphi \ xs)$
 $\langle \text{proof} \rangle$

lemma $I\text{-nnf}$: $I\ (\text{nnf } \varphi) \ xs = I\ \varphi \ xs$
 $\langle \text{proof} \rangle$

lemma $I\text{-dnf}$:
 $\text{nqfree } \varphi \implies (\exists a \in \text{set } (\text{dnf } \varphi). \ \forall a \in \text{set } as. \ I_a \ a \ xs) = I\ \varphi \ xs$
 $\langle \text{proof} \rangle$

definition $\text{normal } \varphi = (\forall a \in \text{atoms } \varphi. \ \text{anormal } a)$

lemma $\text{normal-simps}[\text{simp}]$:
 $\text{normal } \text{TrueF}$
 $\text{normal } \text{FalseF}$
 $\text{normal } (\text{Atom } a) \longleftrightarrow \text{anormal } a$
 $\text{normal } (\text{And } \varphi_1 \varphi_2) \longleftrightarrow \text{normal } \varphi_1 \wedge \text{normal } \varphi_2$
 $\text{normal } (\text{Or } \varphi_1 \varphi_2) \longleftrightarrow \text{normal } \varphi_1 \wedge \text{normal } \varphi_2$
 $\text{normal } (\text{Neg } \varphi) \longleftrightarrow \text{normal } \varphi$
 $\text{normal } (\text{ExQ } \varphi) \longleftrightarrow \text{normal } \varphi$
 $\langle \text{proof} \rangle$

lemma $\text{normal-aneg}[\text{simp}]$: $\text{anormal } a \implies \text{normal } (\text{aneg } a)$
 $\langle \text{proof} \rangle$

lemma $\text{normal-and}[\text{simp}]$:
 $\text{normal } \varphi_1 \implies \text{normal } \varphi_2 \implies \text{normal } (\text{and } \varphi_1 \varphi_2)$
 $\langle \text{proof} \rangle$

lemma $\text{normal-or}[\text{simp}]$:
 $\text{normal } \varphi_1 \implies \text{normal } \varphi_2 \implies \text{normal } (\text{or } \varphi_1 \varphi_2)$
 $\langle \text{proof} \rangle$

lemma $\text{normal-list-disj}[\text{simp}]$:
 $\forall \varphi \in \text{set } fs. \ \text{normal } \varphi \implies \text{normal } (\text{list-disj } fs)$

$\langle proof \rangle$

lemma *normal-nnf*: *normal* $\varphi \implies \text{normal}(\text{nnf } \varphi)$
 $\langle proof \rangle$

lemma *normal-map-fm*:

$\forall a. \text{anormal}(f a) = \text{anormal}(a) \implies \text{normal}(\text{map}_{fm} f \varphi) = \text{normal } \varphi$
 $\langle proof \rangle$

lemma *anormal-nnf*:

$qfree \varphi \implies \text{normal } \varphi \implies \forall a \in \text{atoms}(\text{nnf } \varphi). \text{anormal } a$

$\langle proof \rangle$

lemma *atoms-dnf*: *nqfree* $\varphi \implies \text{as} \in \text{set}(\text{dnf } \varphi) \implies a \in \text{set as} \implies a \in \text{atoms } \varphi$
 $\langle proof \rangle$

lemma *anormal-dnf-nnf*:

$\text{as} \in \text{set}(\text{dnf}(\text{nnf } \varphi)) \implies qfree \varphi \implies \text{normal } \varphi \implies a \in \text{set as} \implies \text{anormal } a$
 $\langle proof \rangle$

end

end

2 Quantifier elimination

theory *QE*
imports *Logic*
begin

The generic, i.e. theory-independent part of quantifier elimination. Both DNF and an NNF-based procedures are defined and proved correct.

notation (*input*) *Collect* ($\langle \cdot | \cdot \rangle$)

2.1 No Equality

context *ATOM*
begin

2.1.1 DNF-based

Taking care of atoms independent of variable 0:

definition

qelim qe as =
(let *qf* = *qe* [$a \leftarrow \text{as}$. *depends*₀ a];
 indep = [Atom(*decr* a). $a \leftarrow \text{as}$, $\neg \text{depends}_0 a$]
 in and *qf* (*list-conj* *indep*))

abbreviation *is-dnf-qe* :: (*'a list* \Rightarrow *'a fm*) \Rightarrow *'a list* \Rightarrow *bool* **where**
is-dnf-qe qe as \equiv $\forall xs. I(qe as) xs = (\exists x. \forall a \in set as. I_a a (x \# xs))$

Note that the exported abbreviation will have as a first parameter the type '*b*' of values *xs* ranges over.

lemma *I-qelim*:

assumes *qe*: $\bigwedge as. (\forall a \in set as. depends_0 a) \implies is-dnf-qe qe as$
shows *is-dnf-qe (qelim qe)* as (**is** $\forall xs. ?P xs$)
(proof)

The generic DNF-based quantifier elimination procedure:

```
fun lift-dnf-qe :: ('a list  $\Rightarrow$  'a fm)  $\Rightarrow$  'a fm  $\Rightarrow$  'a fm where
lift-dnf-qe qe (And  $\varphi_1 \varphi_2$ ) = and (lift-dnf-qe qe  $\varphi_1$ ) (lift-dnf-qe qe  $\varphi_2$ ) |
lift-dnf-qe qe (Or  $\varphi_1 \varphi_2$ ) = or (lift-dnf-qe qe  $\varphi_1$ ) (lift-dnf-qe qe  $\varphi_2$ ) |
lift-dnf-qe qe (Neg  $\varphi$ ) = neg(lift-dnf-qe qe  $\varphi$ ) |
lift-dnf-qe qe (ExQ  $\varphi$ ) = Disj (dnf(nnf(lift-dnf-qe qe  $\varphi$ ))) (qelim qe) |
lift-dnf-qe qe  $\varphi$  =  $\varphi$ 
```

lemma *qfree-lift-dnf-qe*: ($\bigwedge as. (\forall a \in set as. depends_0 a) \implies qfree(qe as)$)
 $\implies qfree(lift-dnf-qe qe \varphi)$
(proof)

lemma *qfree-lift-dnf-qe2*: $qe \in lists | depends_0 | \rightarrow |qfree|$
 $\implies qfree(lift-dnf-qe qe \varphi)$
(proof)

lemma *lem*: $\forall P A. (\exists x \in A. \exists y. P x y) = (\exists y. \exists x \in A. P x y)$ *(proof)*

lemma *I-lift-dnf-qe*:

assumes $\bigwedge as. (\forall a \in set as. depends_0 a) \implies qfree(qe as)$
and $\bigwedge as. (\forall a \in set as. depends_0 a) \implies is-dnf-qe qe as$
shows *I (lift-dnf-qe qe φ) xs* = *I φ xs*
(proof)

lemma *I-lift-dnf-qe2*:

assumes $qe \in lists | depends_0 | \rightarrow |qfree|$
and $\forall as \in lists | depends_0 |. is-dnf-qe qe as$
shows *I (lift-dnf-qe qe φ) xs* = *I φ xs*
(proof)

Quantifier elimination with invariant (needed for Presburger):

lemma *I-qelim-anormal*:

assumes *qe*: $\bigwedge xs as. \forall a \in set as. depends_0 a \wedge anormal a \implies is-dnf-qe qe as$
and *nm*: $\forall a \in set as. anormal a$
shows *I (qelim qe as) xs* = $(\exists x. \forall a \in set as. I_a a (x \# xs))$
(proof)

context notes [[simp-depth-limit = 5]]

```

begin

lemma anormal-atoms-qelim:
  ( $\bigwedge as. \forall a \in set as. depends_0 a \wedge anormal a \implies normal(qe as)$ )  $\implies$ 
   $\forall a \in set as. anormal a \implies a \in atoms(qelim qe as) \implies anormal a$ 
  ⟨proof⟩

lemma normal-lift-dnf-qe:
  assumes  $\bigwedge as. \forall a \in set as. depends_0 a \implies qfree(qe as)$ 
  and  $\bigwedge as. \forall a \in set as. depends_0 a \wedge anormal a \implies normal(qe as)$ 
  shows  $normal \varphi \implies normal(lift-dnf-qe qe \varphi)$ 
  ⟨proof⟩

end

context notes [[simp-depth-limit = 9]]
begin

lemma I-lift-dnf-qe-anormal:
  assumes  $\bigwedge as. \forall a \in set as. depends_0 a \implies qfree(qe as)$ 
  and  $\bigwedge as. \forall a \in set as. depends_0 a \wedge anormal a \implies normal(qe as)$ 
  and  $\bigwedge xs as. \forall a \in set as. depends_0 a \wedge anormal a \implies is-dnf-qe qe as$ 
  shows  $normal f \implies I(lift-dnf-qe qe f) xs = I f xs$ 
  ⟨proof⟩

end

lemma I-lift-dnf-qe-anormal2:
  assumes  $qe \in lists |depends_0| \rightarrow |qfree|$ 
  and  $qe \in lists ( |depends_0| \cap |anormal| ) \rightarrow |normal|$ 
  and  $\forall as \in lists( |depends_0| \cap |anormal| ). is-dnf-qe qe as$ 
  shows  $normal f \implies I(lift-dnf-qe qe f) xs = I f xs$ 
  ⟨proof⟩

```

2.1.2 NNF-based

```

fun lift-nnf-qe :: ('a fm  $\Rightarrow$  'a fm)  $\Rightarrow$  'a fm  $\Rightarrow$  'a fm where
lift-nnf-qe qe (And  $\varphi_1 \varphi_2$ ) = and (lift-nnf-qe qe  $\varphi_1$ ) (lift-nnf-qe qe  $\varphi_2$ ) |
lift-nnf-qe qe (Or  $\varphi_1 \varphi_2$ ) = or (lift-nnf-qe qe  $\varphi_1$ ) (lift-nnf-qe qe  $\varphi_2$ ) |
lift-nnf-qe qe (Neg  $\varphi$ ) = neg(lift-nnf-qe qe  $\varphi$ ) |
lift-nnf-qe qe (ExQ  $\varphi$ ) = qe(nnf(lift-nnf-qe qe  $\varphi$ )) |
lift-nnf-qe qe  $\varphi$  =  $\varphi$ 

lemma qfree-lift-nnf-qe: ( $\bigwedge \varphi. nqfree \varphi \implies qfree(qe \varphi)$ )
   $\implies qfree(lift-nnf-qe qe \varphi)$ 
  ⟨proof⟩

lemma qfree-lift-nnf-qe2:
   $qe \in |nqfree| \rightarrow |qfree| \implies qfree(lift-nnf-qe qe \varphi)$ 

```

$\langle proof \rangle$

lemma *I-lift-nnf-qe*:

assumes $\bigwedge \varphi. \text{ngfree } \varphi \implies \text{qfree}(\text{qe } \varphi)$
and $\bigwedge \text{xs } \varphi. \text{ngfree } \varphi \implies I(\text{qe } \varphi) \text{ xs} = (\exists x. I \varphi (x \# \text{xs}))$
shows $I(\text{lift-nnf-qe } \text{qe } \varphi) \text{ xs} = I \varphi \text{ xs}$
 $\langle proof \rangle$

lemma *I-lift-nnf-qe2*:

assumes $qe \in |\text{ngfree}| \rightarrow |\text{qfree}|$
and $\forall \varphi \in |\text{ngfree}|. \forall \text{xs}. I(\text{qe } \varphi) \text{ xs} = (\exists x. I \varphi (x \# \text{xs}))$
shows $I(\text{lift-nnf-qe } \text{qe } \varphi) \text{ xs} = I \varphi \text{ xs}$
 $\langle proof \rangle$

lemma *normal-lift-nnf-qe*:

assumes $\bigwedge \varphi. \text{ngfree } \varphi \implies \text{qfree}(\text{qe } \varphi)$
and $\bigwedge \varphi. \text{ngfree } \varphi \implies \text{normal } \varphi \implies \text{normal}(\text{qe } \varphi)$
shows $\text{normal } \varphi \implies \text{normal}(\text{lift-nnf-qe } \text{qe } \varphi)$
 $\langle proof \rangle$

lemma *I-lift-nnf-qe-normal*:

assumes $\bigwedge \varphi. \text{ngfree } \varphi \implies \text{qfree}(\text{qe } \varphi)$
and $\bigwedge \varphi. \text{ngfree } \varphi \implies \text{normal } \varphi \implies \text{normal}(\text{qe } \varphi)$
and $\bigwedge \text{xs } \varphi. \text{normal } \varphi \implies \text{ngfree } \varphi \implies I(\text{qe } \varphi) \text{ xs} = (\exists x. I \varphi (x \# \text{xs}))$
shows $\text{normal } \varphi \implies I(\text{lift-nnf-qe } \text{qe } \varphi) \text{ xs} = I \varphi \text{ xs}$
 $\langle proof \rangle$

lemma *I-lift-nnf-qe-normal2*:

assumes $qe \in |\text{ngfree}| \rightarrow |\text{qfree}|$
and $qe \in |\text{ngfree}| \cap |\text{normal}| \rightarrow |\text{normal}|$
and $\forall \varphi \in |\text{normal}| \cap |\text{ngfree}|. \forall \text{xs}. I(\text{qe } \varphi) \text{ xs} = (\exists x. I \varphi (x \# \text{xs}))$
shows $\text{normal } \varphi \implies I(\text{lift-nnf-qe } \text{qe } \varphi) \text{ xs} = I \varphi \text{ xs}$
 $\langle proof \rangle$

end

2.2 With equality

DNF-based quantifier elimination can accommodate equality atoms in a generic fashion.

```
locale ATOM-EQ = ATOM +
fixes solvable0 :: "'a ⇒ bool"
and trivial :: "'a ⇒ bool"
and subst0 :: "'a ⇒ 'a ⇒ 'a"
assumes subst0:
  [| solvable0 eq; ¬trivial eq; I_a eq (x#xs); depends0 a |]
    ⇒ I_a (subst0 eq a) xs = I_a a (x#xs)
and trivial: trivial eq ⇒ I_a eq xs
and solvable: solvable0 eq ⇒ ∃x. I_a eq (x#xs)
```

and *is-triv-self-subst*: $\text{solvable}_0 \text{ eq} \implies \text{trivial} (\text{subst}_0 \text{ eq} \text{ eq})$

begin

definition *lift-eq-qe* :: $('a \text{ list} \Rightarrow 'a \text{ fm}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ fm}$ **where**
lift-eq-qe *qe as* =
 $(\text{let } as = [a \leftarrow as. \neg \text{trivial } a]$
 $\text{in case } [a \leftarrow as. \text{solvable}_0 a] \text{ of}$
 $\quad [] \Rightarrow qe as$
 $\quad | \text{eq} \# \text{eqs} \Rightarrow$
 $\quad (\text{let } ineqs = [a \leftarrow as. \neg \text{solvable}_0 a]$
 $\quad \text{in } \text{list-conj} (\text{map} (\text{Atom} \circ (\text{subst}_0 \text{ eq})) (\text{eqs} @ \text{ineqs})))$

theorem *I-lift-eq-qe*:

assumes *dep*: $\forall a \in \text{set as}. \text{depends}_0 a$
assumes *qe*: $\bigwedge as. (\forall a \in \text{set as}. \text{depends}_0 a \wedge \neg \text{solvable}_0 a) \implies$
 $I (\text{qe as}) xs = (\exists x. \forall a \in \text{set as}. I_a a (x \# xs))$
shows $I (\text{lift-eq-qe qe as}) xs = (\exists x. \forall a \in \text{set as}. I_a a (x \# xs))$
 $(\text{is } ?L = ?R)$
 $\langle \text{proof} \rangle$

definition *lift-dnfseq-qe* = *lift-dnf-qe* \circ *lift-eq-qe*

lemma *qfree-lift-eq-qe*:

$(\bigwedge as. \forall a \in \text{set as}. \text{depends}_0 a \implies \text{qfree} (\text{qe as})) \implies$
 $\forall a \in \text{set as}. \text{depends}_0 a \implies \text{qfree} (\text{lift-eq-qe qe as})$
 $\langle \text{proof} \rangle$

lemma *qfree-lift-dnfseq-qe*: $(\bigwedge as. (\forall a \in \text{set as}. \text{depends}_0 a) \implies \text{qfree} (\text{qe as})) \implies$
 $\text{qfree} (\text{lift-dnfseq-qe qe } \varphi)$
 $\langle \text{proof} \rangle$

lemma *I-lift-dnfseq-qe*:

$(\bigwedge as. (\forall a \in \text{set as}. \text{depends}_0 a) \implies \text{qfree} (\text{qe as})) \implies$
 $(\bigwedge as. (\forall a \in \text{set as}. \text{depends}_0 a \wedge \neg \text{solvable}_0 a) \implies \text{is-dnf-qe qe as}) \implies$
 $I (\text{lift-dnfseq-qe qe } \varphi) xs = I \varphi xs$
 $\langle \text{proof} \rangle$

lemma *I-lift-dnfseq-qe2*:

$qe \in \text{lists} |\text{depends}_0| \rightarrow |\text{qfree}| \implies$
 $(\forall as \in \text{lists} (|\text{depends}_0| \cap -|\text{solvable}_0|). \text{is-dnf-qe qe as}) \implies$
 $I (\text{lift-dnfseq-qe qe } \varphi) xs = I \varphi xs$
 $\langle \text{proof} \rangle$

end

end

3 DLO

```
theory DLO
imports QE Complex-Main
begin
```

3.1 Basics

```
class dlo = linorder +
assumes dense:  $x < z \implies \exists y. x < y \wedge y < z$ 
and no-ub:  $\exists u. x < u$  and no-lb:  $\exists l. l < x$ 
```

```
instance real :: dlo
⟨proof⟩
```

```
datatype atom = Less nat nat | Eq nat nat
```

```
fun is-Less :: atom ⇒ bool where
is-Less (Less i j) = True |
is-Less f = False
```

```
abbreviation is-Eq ≡ Not ∘ is-Less
```

```
lemma is-Less-iff: is-Less a = ( $\exists i j. a = \text{Less } i j$ )
⟨proof⟩
lemma is-Eq-iff: ( $\forall i j. a \neq \text{Less } i j$ ) = ( $\exists i j. a = \text{Eq } i j$ )
⟨proof⟩
lemma not-is-Eq-iff: ( $\forall i j. a \neq \text{Eq } i j$ ) = ( $\exists i j. a = \text{Less } i j$ )
⟨proof⟩
```

```
fun negdlo :: atom ⇒ atom fm where
negdlo (Less i j) = Or (Atom(Less i j)) (Atom(Eq i j)) |
negdlo (Eq i j) = Or (Atom(Eq i j)) (Atom(Less i j))
```

```
fun I_dlo :: atom ⇒ 'a::dlo list ⇒ bool where
I_dlo (Eq i j) xs = (xs!i = xs!j) |
I_dlo (Less i j) xs = (xs!i < xs!j)
```

```
fun dependsdlo :: atom ⇒ bool where
dependsdlo (Eq i j) = (i=0 | j=0) |
dependsdlo (Less i j) = (i=0 | j=0)
```

```
fun decrevdlo :: atom ⇒ atom where
decrevdlo (Less i j) = Less (i - 1) (j - 1) |
decrevdlo (Eq i j) = Eq (i - 1) (j - 1)
```

```
definition [code del]: nnf = ATOM.nnf negdlo
definition [code del]: qelim = ATOM.qelim dependsdlo decrevdlo
definition [code del]: lift-dnf-qe = ATOM.lift-dnf-qe negdlo dependsdlo decrevdlo
```

```

definition [code del]: lift-nnf-qe = ATOM.lift-nnf-qe negdlo

hide-const nnf qelim lift-dnf-qe lift-nnf-qe

lemmas DLO-code-lemmas = nnf-def qelim-def lift-dnf-qe-def lift-nnf-qe-def

interpretation DLO:
  ATOM negdlo ( $\lambda a. \text{True}$ ) Idlo dependsdlo decrdlo
  ⟨proof⟩

lemmas [folded DLO-code-lemmas, code] =
  DLO.nnf.simps DLO.qelim-def DLO.lift-dnf-qe.simps DLO.lift-dnf-qe.simps

⟨ML⟩

definition lbounds where lbounds as = [i. Less (Suc i) 0  $\leftarrow$  as]
definition ubounds where ubounds as = [i. Less 0 (Suc i)  $\leftarrow$  as]
definition ebounds where
  ebounds as = [i. Eq (Suc i) 0  $\leftarrow$  as] @ [i. Eq 0 (Suc i)  $\leftarrow$  as]

lemma set-lbounds: set(lbounds as) = {i. Less (Suc i) 0  $\in$  set as}
  ⟨proof⟩
lemma set-ubounds: set(ubounds as) = {i. Less 0 (Suc i)  $\in$  set as}
  ⟨proof⟩
lemma set-ebounds:
  set(ebounds as) = {k. Eq (Suc k) 0  $\in$  set as  $\vee$  Eq 0 (Suc k)  $\in$  set as}
  ⟨proof⟩

abbreviation LB f xs ≡ {xs!i|i. Less (Suc i) 0  $\in$  set(DLO.atoms0 f)}
abbreviation UB f xs ≡ {xs!i|i. Less 0 (Suc i)  $\in$  set(DLO.atoms0 f)}
definition EQ f xs = {xs!k|k.
  Eq (Suc k) 0  $\in$  set(DLO.atoms0 f)  $\vee$  Eq 0 (Suc k)  $\in$  set(DLO.atoms0 f)}
```

\vee

```

lemma EQ-And[simp]: EQ (And f g) xs = (EQ f xs  $\cup$  EQ g xs)
  ⟨proof⟩
lemma EQ-Or[simp]: EQ (Or f g) xs = (EQ f xs  $\cup$  EQ g xs)
  ⟨proof⟩

lemma EQ-conv-set-ebounds:
  x  $\in$  EQ f xs = ( $\exists e \in$  set(ebounds(DLO.atoms0 f)). x = xs!e)
  ⟨proof⟩

fun issubst where issubst k 0 = k | issubst k (Suc i) = i
fun assubst :: nat  $\Rightarrow$  atom  $\Rightarrow$  atom where

```

$$\begin{aligned} asubst k (\text{Less } i j) &= \text{Less } (\text{isubst } k i) (\text{isubst } k j) \\ asubst k (\text{Eq } i j) &= \text{Eq } (\text{isubst } k i) (\text{isubst } k j) \end{aligned}$$

abbreviation $\text{subst } \varphi k \equiv \text{map}_{fm} (\text{asubst } k) \varphi$

lemma $I\text{-subst}:$

$$\begin{aligned} \text{qfree } f \implies DLO.I (\text{subst } f k) xs &= DLO.I f (xs!k \# xs) \\ \langle \text{proof} \rangle \end{aligned}$$

```
fun amin-inf :: atom  $\Rightarrow$  atom fm where
amin-inf (Less - 0) = FalseF |
amin-inf (Less 0 -) = TrueF |
amin-inf (Less (Suc i) (Suc j)) = Atom(Less i j) |
amin-inf (Eq 0 0) = TrueF |
amin-inf (Eq 0 -) = FalseF |
amin-inf (Eq - 0) = FalseF |
amin-inf (Eq (Suc i) (Suc j)) = Atom(Eq i j)
```

abbreviation $\text{min-inf} :: \text{atom fm} \Rightarrow \text{atom fm} (\langle \text{inf}_- \rangle) \text{ where}$
 $\text{inf}_- \equiv \text{amap}_{fm} \text{ amin-inf}$

```
fun aplus-inf :: atom  $\Rightarrow$  atom fm where
aplus-inf (Less 0 -) = FalseF |
aplus-inf (Less - 0) = TrueF |
aplus-inf (Less (Suc i) (Suc j)) = Atom(Less i j) |
aplus-inf (Eq 0 0) = TrueF |
aplus-inf (Eq 0 -) = FalseF |
aplus-inf (Eq - 0) = FalseF |
aplus-inf (Eq (Suc i) (Suc j)) = Atom(Eq i j)
```

abbreviation $\text{plus-inf} :: \text{atom fm} \Rightarrow \text{atom fm} (\langle \text{inf}_+ \rangle) \text{ where}$
 $\text{inf}_+ \equiv \text{amap}_{fm} \text{ aplus-inf}$

lemma $\text{min-inf}:$

$$\begin{aligned} \text{nqfree } f \implies \exists x. \forall y \leq x. DLO.I (\text{inf}_- f) xs &= DLO.I f (y \# xs) \\ (\text{is } - \implies \exists x. ?P f x) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma $\text{plus-inf}:$

$$\begin{aligned} \text{nqfree } f \implies \exists x. \forall y \geq x. DLO.I (\text{inf}_+ f) xs &= DLO.I f (y \# xs) \\ (\text{is } - \implies \exists x. ?P f x) \\ \langle \text{proof} \rangle \end{aligned}$$

context notes [[simp-depth-limit=2]]
begin

lemma $L\text{Bex}:$

$$[\![\text{nqfree } f; DLO.I f (x \# xs); \neg DLO.I (\text{inf}_- f) xs; x \notin EQ f xs]\!]$$

$\implies \exists l \in LB f xs. l < x$
 $\langle proof \rangle$

lemma *UBex*:

$\llbracket \text{ngfree } f; DLO.I f (x \# xs); \neg DLO.I (\text{inf}_+ f) xs; x \notin EQ f xs \rrbracket$
 $\implies \exists u \in UB f xs. x < u$
 $\langle proof \rangle$

end

lemma *finite-LB*: $\text{finite}(LB f xs)$
 $\langle proof \rangle$

lemma *finite-UB*: $\text{finite}(UB f xs)$
 $\langle proof \rangle$

lemma *qfree-amin-inf*: $\text{qfree}(\text{amin-inf } a)$
 $\langle proof \rangle$

lemma *qfree-min-inf*: $\text{ngfree } \varphi \implies \text{qfree}(\text{inf}_- \varphi)$
 $\langle proof \rangle$

lemma *qfree-aplus-inf*: $\text{qfree}(\text{aplus-inf } a)$
 $\langle proof \rangle$

lemma *qfree-plus-inf*: $\text{ngfree } \varphi \implies \text{qfree}(\text{inf}_+ \varphi)$
 $\langle proof \rangle$

end

theory *QEdlo*
imports *DLO*
begin

3.2 DNF-based quantifier elimination

definition *qe-dlo1* :: *atom list* \Rightarrow *atom fm* **where**
qe-dlo1 as =
 $(\text{if } \text{Less } 0 0 \in \text{set as} \text{ then } \text{FalseF} \text{ else}$
 $\text{let } lbs = [i. \text{Less } (\text{Suc } i) 0 \leftarrow as]; ubs = [j. \text{Less } 0 (\text{Suc } j) \leftarrow as];$
 $\text{pairs} = [\text{Atom}(\text{Less } i j). i \leftarrow lbs, j \leftarrow ubs]$
 $\text{in } \text{list-conj pairs})$

theorem *I-qe-dlo1*:
assumes *less*: $\forall a \in \text{set as}. \text{is-Less } a$ **and** *dep*: $\forall a \in \text{set as}. \text{depends}_{dlo} a$
shows *DLO.I* (*qe-dlo1 as*) *xs* = $(\exists x. \forall a \in \text{set as}. I_{dlo} a (x \# xs))$
 $(\text{is } ?L = ?R)$

$\langle proof \rangle$

lemma $I\text{-}qe\text{-}dlo_1\text{-}pretty}$:

$\forall a \in \text{set as}. \text{is-Less } a \wedge \text{depends}_{dlo} a \implies DLO.\text{is-dnf-qe} - qe\text{-}dlo_1 \text{ as}$
 $\langle proof \rangle$

definition $\text{subst} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \text{ where}$

$\text{subst } i \ j \ k = (\text{if } k=0 \text{ then if } i=0 \text{ then } j \text{ else } i \text{ else } k) - 1$

fun $\text{subst}_0 :: \text{atom} \Rightarrow \text{atom} \Rightarrow \text{atom} \text{ where}$

$\text{subst}_0 (\text{Eq } i \ j) \ a = (\text{case } a \text{ of}$

$\text{Less } m \ n \Rightarrow \text{Less} (\text{subst } i \ j \ m) (\text{subst } i \ j \ n)$

$\mid \text{Eq } m \ n \Rightarrow \text{Eq} (\text{subst } i \ j \ m) (\text{subst } i \ j \ n))$

lemma $\text{subst}_0\text{-pretty}$:

$\text{subst}_0 (\text{Eq } i \ j) (\text{Less } m \ n) = \text{Less} (\text{subst } i \ j \ m) (\text{subst } i \ j \ n)$

$\text{subst}_0 (\text{Eq } i \ j) (\text{Eq } m \ n) = \text{Eq} (\text{subst } i \ j \ m) (\text{subst } i \ j \ n)$

$\langle proof \rangle$

interpretation DLO_e :

$\text{ATOM-EQ } \text{neg}_{dlo} (\lambda a. \text{True}) \ I_{dlo} \ \text{depends}_{dlo} \ \text{decr}_{dlo}$

$(\lambda \text{Eq } i \ j \Rightarrow i=0 \vee j=0 \mid a \Rightarrow \text{False})$

$(\lambda \text{Eq } i \ j \Rightarrow i=j \mid a \Rightarrow \text{False}) \ \text{subst}_0$

$\langle proof \rangle$

$\langle ML \rangle$

definition $qe\text{-}dlo = DLO_e.\text{lift-dnfeq-qe } qe\text{-}dlo_1$

lemma $qfree\text{-}qe\text{-}dlo_1$: $qfree (qe\text{-}dlo_1 \text{ as})$

$\langle proof \rangle$

theorem $I\text{-}qe\text{-}dlo$: $DLO.I (qe\text{-}dlo } \varphi) \ xs = DLO.I \varphi \ xs$

$\langle proof \rangle$

theorem $qfree\text{-}qe\text{-}dlo$: $qfree (qe\text{-}dlo } \varphi)$

$\langle proof \rangle$

end

theory $QEdlo-ex$ **imports** $QEdlo$

begin

definition $\text{interpret} :: \text{atom fm} \Rightarrow 'a::dlo \text{ list} \Rightarrow \text{bool} \text{ where}$

$\text{interpret} = \text{Logic.interpret } I_{dlo}$

lemma interpret-Atoms :

$\text{interpret} (\text{Atom } (\text{Eq } i \ j)) \ xs = (xs!i = xs!j)$

$\text{interpret} (\text{Atom } (\text{Less } i \ j)) \ xs = (xs!i < xs!j)$

$\langle proof \rangle$

```

lemma interpret-others:
  interpret (Neg(ExQ (Neg f))) xs = ( $\forall x.$  interpret f (x#xs))
  interpret (Or (Neg f1) f2) xs = (interpret f1 xs  $\longrightarrow$  interpret f2 xs)
  {proof}

```

```

lemmas reify-eqs =
  Logic.interpret.simps(1,2,4–7)[of I_dlo, folded interpret-def]
  interpret-others interpret-Atoms

```

$\langle ML \rangle$

```

declare I_dlo.simps(1)[code]
declare Logic.interpret.simps[code del]
declare Logic.interpret.simps(1–2)[code]

```

3.3 Examples

```

lemma  $\forall x::real.$   $\neg x < x$ 
{proof}

```

```

lemma  $\forall x y::real.$   $\exists z.$   $x < y \longrightarrow x < z \wedge z < y$ 
{proof}

```

```

lemma  $\exists x::real.$   $a+b < x \wedge x < c*d$ 
{proof}

```

```

lemma  $\forall x::real.$   $\neg x < x$ 
{proof}

```

```

lemma  $\forall x y::real.$   $\exists z.$   $x < y \longrightarrow x < z \wedge z < y$ 
{proof}

```

```

lemma  $\neg(\exists x y z.$   $\forall u::real.$   $x < x \vee \neg x < u \vee x < y \wedge y < z \wedge \neg x < z)$ 
{proof}

```

```

lemma qe-dlo(AllQ (Imp (Atom(Less 0 1)) (Atom(Less 1 0)))) = FalseF
{proof}

```

```

lemma qe-dlo(AllQ(AllQ (Imp (Atom(Less 0 1)) (Atom(Less 0 1)))) = TrueF
{proof}

```

```

lemma
  qe-dlo(AllQ(ExQ(AllQ (And (Atom(Less 2 1)) (Atom(Less 1 0)))))) = FalseF
{proof}

```

```

lemma qe-dlo(AllQ(ExQ(ExQ (And (Atom(Less 1 2)) (Atom(Less 2 0)))))) =

```

```

TrueF
⟨proof⟩

lemma
qe-dlo(AllQ(AllQ(ExQ (And (Atom(Less 1 0)) (Atom(Less 0 2)))))) = FalseF
⟨proof⟩

lemma qe-dlo(AllQ(AllQ(ExQ (Imp (Atom(Less 1 2)) (And (Atom(Less 1 0))
(Atom(Less 0 2)))))) = TrueF
⟨proof⟩

value qe-dlo(AllQ (Imp (Atom(Less 0 1)) (Atom(Less 0 2))))

end

```

```

theory QEdlo-fr
imports DLO
begin

```

3.4 Interior Point Method

This section formalizes a new quantifier elimination procedure based on the idea of Ferrante and Rackoff [2] (see also §4.3) of taking a point between each lower and upper bound as a test point. For dense linear orders it is not obvious how to realize this because we cannot name any intermediate point directly.

```

fun asubst2 :: nat ⇒ nat ⇒ atom ⇒ atom fm where
asubst2 l u (Less 0 0) = FalseF |
asubst2 l u (Less 0 (Suc j)) = Or (Atom(Less u j)) (Atom(Eq u j)) |
asubst2 l u (Less (Suc i) 0) = Or (Atom(Less i l)) (Atom(Eq i l)) |
asubst2 l u (Less (Suc i) (Suc j)) = Atom(Less i j) |
asubst2 l u (Eq 0 0) = TrueF |
asubst2 l u (Eq 0 -) = FalseF |
asubst2 l u (Eq - 0) = FalseF |
asubst2 l u (Eq (Suc i) (Suc j)) = Atom(Eq i j)

```

```

abbreviation subst2 l u ≡ amapfm (asubst2 l u)

```

```

lemma I-subst21:
nqfree f ⇒ xs!l < xs!u ⇒ DLO.I (subst2 l u f) xs
⇒ xs!l < x ⇒ x < xs!u ⇒ DLO.If (x#xs)
⟨proof⟩

```

definition

nolub f xs l x u ↔ (forall *y* ∈ {*l <.. < x*}. *y* ∉ *LB f xs*) ∧ (forall *y* ∈ {*x <.. < u*}. *y* ∉ *UB f xs*)

lemma *nolub-And[simp]*:

nolub (And f g) xs l x u = (nolub f xs l x u \wedge nolub g xs l x u)
 $\langle proof \rangle$

lemma *nolub-Or[simp]*:

nolub (Or f g) xs l x u = (nolub f xs l x u \wedge nolub g xs l x u)
 $\langle proof \rangle$

context notes [[simp-depth-limit=3]]
begin

lemma *innermost-intvl*:

$\llbracket \text{nqfree } f; \text{nolub } f \text{ xs l x u}; l < x; x < u; x \notin \text{EQ } f \text{ xs};$
 $DLO.I f (x\#xs); l < y; y < u \rrbracket$
 $\implies DLO.I f (y\#xs)$
 $\langle proof \rangle$

lemma *I-subst₂2*:

$\text{nqfree } f \implies xs!l < x \wedge x < xs!u \implies \text{nolub } f \text{ xs } (xs!l) \ x \ (xs!u)$
 $\implies \forall x \in \{xs!l < .. < xs!u\}. DLO.I f (x\#xs) \wedge x \notin \text{EQ } f \text{ xs}$
 $\implies DLO.I (\text{subst}_2 l u f) \text{ xs}$
 $\langle proof \rangle$

end

definition

qe-interior₁ φ =
(let as = DLO.atoms₀ φ ; lbs = lbounds as; ubs = ubounds as; ebs = ebounds as;
intrs = [And (Atom(Less l u)) (subst₂ l u φ). l \leftarrow lbs, u \leftarrow ubs]
in list-disj (inf₋ φ # inf₊ φ # intrs @ map (subst φ) ebs))

lemma *dense-interval*:

assumes finite L finite U $l \in L$ $u \in U$ $l < x < u$ $P(x::'a::dlo)$
and dense: $\bigwedge y l u. [\forall y \in \{l < .. < x\}. y \notin L; \forall y \in \{x < .. < u\}. y \notin U;$
 $l < x; x < u; l < y; y < u] \implies P y$
shows $\exists l \in L. \exists u \in U. l < x \wedge x < u \wedge (\forall y \in \{l < .. < x\}. y \notin L) \wedge (\forall y \in \{x < .. < u\}. y \notin U)$
 $\wedge (\forall y. l < y \wedge y < u \longrightarrow P y)$
 $\langle proof \rangle$

theorem *I-interior1*:

assumes nqfree φ **shows** $DLO.I (\text{qe-interior}_1 \varphi) \text{ xs} = (\exists x. DLO.I \varphi (x\#xs))$
(is ?QE = ?EX)
 $\langle proof \rangle$

lemma *qfree-asubst₂*: *qfree (asubst₂ l u a)*
 $\langle proof \rangle$

lemma *qfree-subst₂*: *nqfree $\varphi \implies qfree (\text{subst}_2 l u \varphi)$*
 $\langle proof \rangle$

```

lemma qfree-interior1: nqfree  $\varphi \implies \text{qfree}(\text{qe-interior}_1 \varphi)$ 
⟨proof⟩

definition qe-interior = DLO.lift-nnf-qe qe-interior1

lemma qfree-qe-interior: qfree(qe-interior  $\varphi$ )
⟨proof⟩

lemma I-qe-interior: DLO.I (qe-interior  $\varphi$ ) xs = DLO.I  $\varphi$  xs
⟨proof⟩

end

theory QEdlo-inf
imports DLO
begin

```

3.5 Quantifier elimination with infinitesimals

This section presents a new quantifier elimination procedure for dense linear orders based on (the simulation of) infinitesimals. It is a fairly straightforward adaptation of the analogous algorithm by Loos and Weispfenning for linear arithmetic described in §4.4.

```

fun asubst-peps :: nat  $\Rightarrow$  atom  $\Rightarrow$  atom fm (⟨asubst+⟩) where
  asubst-peps k (Less 0 0) = FalseF |
  asubst-peps k (Less 0 (Suc j)) = Atom(Less k j) |
  asubst-peps k (Less (Suc i) 0) = (if i=k then TrueF
    else Or (Atom(Less i k)) (Atom(Eq i k))) |
  asubst-peps k (Less (Suc i) (Suc j)) = Atom(Less i j) |
  asubst-peps k (Eq 0 0) = TrueF |
  asubst-peps k (Eq 0 -) = FalseF |
  asubst-peps k (Eq - 0) = FalseF |
  asubst-peps k (Eq (Suc i) (Suc j)) = Atom(Eq i j)

```

```

abbreviation subst-peps :: atom fm  $\Rightarrow$  nat  $\Rightarrow$  atom fm (⟨subst+⟩) where
  subst+  $\varphi$  k  $\equiv$  amapfm (asubst+ k)  $\varphi$ 

```

definition nolb φ xs l x = ($\forall y \in \{l < .. < x\}$. $y \notin LB \varphi$ xs)

lemma nolb-And[simp]:
 $nolb (\text{And } \varphi_1 \varphi_2) \text{ xs l x} = (nolb \varphi_1 \text{ xs l x} \wedge nolb \varphi_2 \text{ xs l x})$
⟨proof⟩

lemma nolb-Or[simp]:
 $nolb (\text{Or } \varphi_1 \varphi_2) \text{ xs l x} = (nolb \varphi_1 \text{ xs l x} \wedge nolb \varphi_2 \text{ xs l x})$
⟨proof⟩

```

context notes [[simp-depth-limit=3]]
begin

lemma innermost-intvl:
 $\llbracket \text{nqfree } \varphi; \text{nolb } \varphi \text{ xs } l \text{ x}; l < x; x \notin \text{EQ } \varphi \text{ xs}; \text{DLO.I } \varphi \text{ (x#xs)}; l < y; y \leq x \rrbracket$ 
 $\implies \text{DLO.I } \varphi \text{ (y#xs)}$ 
⟨proof⟩

lemma I-subst-peps2:
 $\text{nqfree } \varphi \implies \text{xs!l} < x \implies \text{nolb } \varphi \text{ xs } (\text{xs!l}) \text{ x} \implies x \notin \text{EQ } \varphi \text{ xs}$ 
 $\implies \forall y \in \{\text{xs!l} <.. x\}. \text{DLO.I } \varphi \text{ (y#xs)}$ 
 $\implies \text{DLO.I } (\text{subst}_+ \varphi \text{ l}) \text{ xs}$ 
⟨proof⟩

end

lemma dense-interval:
assumes finite L l ∈ L l < x P(x::'a::dlo)
and dense:  $\bigwedge y l. \llbracket \forall y \in \{l <.. x\}. y \notin L; l < x; l < y; y \leq x \rrbracket \implies P y$ 
shows  $\exists l \in L. l < x \wedge (\forall y \in \{l <.. x\}. y \notin L) \wedge (\forall y. l < y \wedge y \leq x \longrightarrow P y)$ 
⟨proof⟩

lemma I-subst-peps:
 $\text{nqfree } \varphi \implies \text{DLO.I } (\text{subst}_+ \varphi \text{ l}) \text{ xs} \longrightarrow$ 
 $(\exists \text{leps} > \text{xs!l}. \forall x. \text{xs!l} < x \wedge x \leq \text{leps} \longrightarrow \text{DLO.I } \varphi \text{ (x#xs)})$ 
⟨proof⟩

definition
qe-eps1( $\varphi$ ) =
(let as = DLO.atoms0  $\varphi$ ; lbs = lbounds as; ebs = ebounds as
in list-disj (inf-  $\varphi$  # map (subst+  $\varphi$ ) lbs @ map (subst  $\varphi$ ) ebs))

theorem I-qe-eps1:
assumes nqfree  $\varphi$  shows DLO.I (qe-eps1  $\varphi$ ) xs = ( $\exists x. \text{DLO.I } \varphi \text{ (x#xs)}$ )
(is ?QE = ?EX)
⟨proof⟩

lemma qfree-asubst-peps: qfree (asubst+ k a)
⟨proof⟩

lemma qfree-subst-peps: nqfree  $\varphi \implies \text{qfree } (\text{subst}_+ \varphi \text{ k})$ 
⟨proof⟩

lemma qfree-qe-eps1: nqfree  $\varphi \implies \text{qfree } (\text{qe-eps}_1 \varphi)$ 
⟨proof⟩

```

```

definition qe-eps = DLO.lift-nnf-qe qe-eps1

lemma qfree-qe-eps: qfree(qe-eps  $\varphi$ )
⟨proof⟩

lemma I-qe-eps: DLO.I (qe-eps  $\varphi$ ) xs = DLO.I  $\varphi$  xs
⟨proof⟩

end

```

4 Linear real arithmetic

```

theory LinArith
imports QE HOL-Library.ListVector Complex-Main
begin

declare iprod-assoc[simp]

```

4.1 Basics

4.1.1 Syntax and Semantics

```
datatype atom = Less real real list | Eq real real list
```

```

fun is-Less :: atom  $\Rightarrow$  bool where
is-Less (Less r rs) = True |
is-Less f = False

```

```
abbreviation is-Eq  $\equiv$  Not o is-Less
```

```

lemma is-Less-iff: is-Less f = ( $\exists$  r rs. f = Less r rs)
⟨proof⟩

```

```

lemma is-Eq-iff: ( $\forall$  i j. a  $\neq$  Less i j) = ( $\exists$  i j. a = Eq i j)
⟨proof⟩

```

```

fun negR :: atom  $\Rightarrow$  atom fm where
negR (Less r t) = Or (Atom(Less (-r) (-t))) (Atom(Eq r t)) |
negR (Eq r t) = Or (Atom(Less r t)) (Atom(Less (-r) (-t)))

```

```

fun hd-coeff :: atom  $\Rightarrow$  real where
hd-coeff (Less r cs) = (case cs of []  $\Rightarrow$  0 | c#-  $\Rightarrow$  c) |
hd-coeff (Eq r cs) = (case cs of []  $\Rightarrow$  0 | c#-  $\Rightarrow$  c)

```

```
definition dependsR a = (hd-coeff a  $\neq$  0)
```

```

fun decrR :: atom  $\Rightarrow$  atom where
decrR (Less r rs) = Less r (tl rs) |

```

```

 $decr_R (Eq r rs) = Eq r (tl rs)$ 

fun  $I_R :: atom \Rightarrow real\ list \Rightarrow bool$  where
 $I_R (Less r cs) xs = (r < \langle cs, xs \rangle) |$ 
 $I_R (Eq r cs) xs = (r = \langle cs, xs \rangle)$ 

definition  $atoms_0 = ATOM.atoms_0$   $depends_R$ 

```

interpretation $R: ATOM$ $neg_R (\lambda a. True)$ I_R $depends_R$ $decr_R$
rewrites $ATOM.atoms_0$ $depends_R = atoms_0$
 $\langle proof \rangle$

$\langle ML \rangle$

4.1.2 Shared constructions

```

fun  $combine :: (real * real\ list) \Rightarrow (real * real\ list) \Rightarrow atom$  where
 $combine (r_1, cs_1) (r_2, cs_2) = Less (r_1 - r_2) (cs_2 - cs_1)$ 

definition  $lbounds\ as = [(r/c, (-1/c) *_s cs). Less r (c \# cs) \leftarrow as, c > 0]$ 
definition  $ubounds\ as = [(r/c, (-1/c) *_s cs). Less r (c \# cs) \leftarrow as, c < 0]$ 
definition  $ebounds\ as = [(r/c, (-1/c) *_s cs). Eq r (c \# cs) \leftarrow as, c \neq 0]$ 

lemma  $set-lbounds:$ 
 $set(lbounds\ as) = \{(r/c, (-1/c) *_s cs) | r \in c \text{ cs. } Less r (c \# cs) \in set\ as \wedge c > 0\}$ 
 $\langle proof \rangle$ 
lemma  $set-ubounds:$ 
 $set(ubounds\ as) = \{(r/c, (-1/c) *_s cs) | r \in c \text{ cs. } Less r (c \# cs) \in set\ as \wedge c < 0\}$ 
 $\langle proof \rangle$ 
lemma  $set-ebounds:$ 
 $set(ebounds\ as) = \{(r/c, (-1/c) *_s cs) | r \in c \text{ cs. } Eq r (c \# cs) \in set\ as \wedge c \neq 0\}$ 
 $\langle proof \rangle$ 

```

abbreviation EQ **where**
 $EQ f\ xs \equiv \{(r - \langle cs, xs \rangle)/c | r \in c \text{ cs. } Eq r (c \# cs) \in set(R.atoms_0 f) \wedge c \neq 0\}$
abbreviation LB **where**
 $LB f\ xs \equiv \{(r - \langle cs, xs \rangle)/c | r \in c \text{ cs. } Less r (c \# cs) \in set(R.atoms_0 f) \wedge c > 0\}$
abbreviation UB **where**
 $UB f\ xs \equiv \{(r - \langle cs, xs \rangle)/c | r \in c \text{ cs. } Less r (c \# cs) \in set(R.atoms_0 f) \wedge c < 0\}$

```

fun  $asubst :: real * real\ list \Rightarrow atom \Rightarrow atom$  where
 $asubst (r, cs) (Less s (d \# ds)) = Less (s - d * r) (d *_s cs + ds) |$ 
 $asubst (r, cs) (Eq s (d \# ds)) = Eq (s - d * r) (d *_s cs + ds) |$ 
 $asubst (r, cs) (Less s []) = Less s [] |$ 

```

asubst (r, cs) (*Eq* $s []$) = *Eq* $s []$

abbreviation *subst* φ *rcs* \equiv *map_{fm}* (*asubst rcs*) φ

definition *eval* :: *real * real list* \Rightarrow *real list* \Rightarrow *real* **where**
eval rcs xs = *fst rcs* + \langle *snd rcs, xs* \rangle

lemma *I-asubst*:

I_R (*asubst t a*) *xs* = I_R *a* (*eval t xs* # *xs*)
 \langle *proof* \rangle

lemma *I-subst*:

qfree φ $\implies R.I$ (*subst* φ *t*) *xs* = $R.I$ φ (*eval t xs* # *xs*)
 \langle *proof* \rangle

lemma *I-subst-pretty*:

qfree φ $\implies R.I$ (*subst* φ (r, cs)) *xs* = $R.I$ φ ($(r + \langle cs, xs \rangle)$ # *xs*)
 \langle *proof* \rangle

fun *min-inf* :: *atom fm* \Rightarrow *atom fm* ($\langle inf_- \rangle$) **where**
 $inf_- (And \varphi_1 \varphi_2) = and (inf_- \varphi_1) (inf_- \varphi_2) |$
 $inf_- (Or \varphi_1 \varphi_2) = or (inf_- \varphi_1) (inf_- \varphi_2) |$
 $inf_- (Atom(Less r (c#cs))) =$
 $(if c < 0 then TrueF else if c > 0 then FalseF else Atom(Less r cs)) |$
 $inf_- (Atom(Eq r (c#cs))) = (if c = 0 then Atom(Eq r cs) else FalseF) |$
 $inf_- \varphi = \varphi$

fun *plus-inf* :: *atom fm* \Rightarrow *atom fm* ($\langle inf_+ \rangle$) **where**
 $inf_+ (And \varphi_1 \varphi_2) = and (inf_+ \varphi_1) (inf_+ \varphi_2) |$
 $inf_+ (Or \varphi_1 \varphi_2) = or (inf_+ \varphi_1) (inf_+ \varphi_2) |$
 $inf_+ (Atom(Less r (c#cs))) =$
 $(if c > 0 then TrueF else if c < 0 then FalseF else Atom(Less r cs)) |$
 $inf_+ (Atom(Eq r (c#cs))) = (if c = 0 then Atom(Eq r cs) else FalseF) |$
 $inf_+ \varphi = \varphi$

lemma *qfree-min-inf*: *qfree* φ $\implies qfree(inf_- \varphi)$
 \langle *proof* \rangle

lemma *qfree-plus-inf*: *qfree* φ $\implies qfree(inf_+ \varphi)$
 \langle *proof* \rangle

lemma *min-inf*:

nqfree f $\implies \exists x. \forall y \leq x. R.I (inf_- f) xs = R.I f (y \# xs)$
 $(is - \implies \exists x. ?P f x)$
 \langle *proof* \rangle

lemma *plus-inf*:

nqfree f $\implies \exists x. \forall y \geq x. R.I (inf_+ f) xs = R.I f (y \# xs)$
 $(is - \implies \exists x. ?P f x)$

$\langle proof \rangle$

context notes [[simp-depth-limit = 4]]
begin

lemma *L_Bex*:

$$\begin{aligned} & [\text{ngfree } f; R.I f (x \# xs); \neg R.I (\inf_- f) xs; x \notin EQ f xs] \\ & \implies \exists l \in LB f xs. l < x \end{aligned}$$

$\langle proof \rangle$

lemma *U_Bex*:

$$\begin{aligned} & [\text{ngfree } f; R.I f (x \# xs); \neg R.I (\inf_+ f) xs; x \notin EQ f xs] \\ & \implies \exists u \in UB f xs. x < u \end{aligned}$$

$\langle proof \rangle$

end

lemma *finite-LB*: $\text{finite}(LB f xs)$
 $\langle proof \rangle$

lemma *finite-UB*: $\text{finite}(UB f xs)$
 $\langle proof \rangle$

end

theory *QElin*
imports *LinArith*
begin

4.2 Fourier

definition *qe-FM₁* :: *atom list* \Rightarrow *atom fm* **where**
qe-FM₁ *as* = *list-conj* [*Atom*(*combine p q*). *p* \leftarrow -*lbounds as*, *q* \leftarrow -*ubounds as*]

theorem *I-qe-FM₁*:

assumes *less*: $\forall a \in \text{set as}. \text{is-Less } a$ **and** *dep*: $\forall a \in \text{set as}. \text{depends}_R a$
shows *R.I* (*qe-FM₁* *as*) *xs* = $(\exists x. \forall a \in \text{set as}. I_R a (x \# xs))$ (**is** $?L = ?R$)
 $\langle proof \rangle$

corollary *I-qe-FM₁-pretty*:

$\forall a \in \text{set as}. \text{is-Less } a \wedge \text{depends}_R a \implies R.\text{is-dnf-qe } \text{qe-FM}_1 \text{ as}$
 $\langle proof \rangle$

fun *subst₀* :: *atom* \Rightarrow *atom* \Rightarrow *atom* **where**
subst₀ (*Eq r (c # cs)*) *a* = (*case a of*
Less s (d # ds) \Rightarrow *Less (s - (r * d) / c) (ds - (d / c) *_s cs)*

| $\text{Eq } s \ (d\#ds) \Rightarrow \text{Eq } (s - (r*d)/c) \ (ds - (d/c) *_s cs)$

lemma subst_0 -pretty:

$\text{subst}_0 \ (\text{Eq } r \ (c\#cs)) \ (\text{Less } s \ (d\#ds)) = \text{Less } (s - (r*d)/c) \ (ds - (d/c) *_s cs)$
 $\text{subst}_0 \ (\text{Eq } r \ (c\#cs)) \ (\text{Eq } s \ (d\#ds)) = \text{Eq } (s - (r*d)/c) \ (ds - (d/c) *_s cs)$
 $\langle \text{proof} \rangle$

lemma $I\text{-}\text{subst}_0$: $\text{depends}_R \ a \Rightarrow c \neq 0 \Rightarrow$

$I_R \ (\text{subst}_0 \ (\text{Eq } r \ (c\#cs)) \ a) \ xs = I_R \ a \ ((r - \langle cs, xs \rangle)/c \ # \ xs)$
 $\langle \text{proof} \rangle$

interpretation R_e :

$\text{ATOM-EQ } \text{neg}_R \ (\lambda a. \ \text{True}) \ I_R \ \text{depends}_R \ \text{decr}_R$
 $(\lambda \text{Eq } - \ (c\#-) \Rightarrow c \neq 0 \mid - \Rightarrow \text{False})$
 $(\lambda \text{Eq } r \ cs \Rightarrow r=0 \wedge (\forall c \in \text{set } cs. \ c=0) \mid - \Rightarrow \text{False}) \ \text{subst}_0$
 $\langle \text{proof} \rangle$

definition $qe\text{-FM} = R_e.\text{lift-dnfeq-qe}$ $qe\text{-FM}_1$

lemma $qfree\text{-}qe\text{-FM}_1$: $qfree \ (qe\text{-FM}_1 \ as)$
 $\langle \text{proof} \rangle$

corollary $I\text{-}qe\text{-FM}$: $R.I \ (qe\text{-FM} \ \varphi) \ xs = R.I \ \varphi \ xs$
 $\langle \text{proof} \rangle$

theorem $qfree\text{-}qe\text{-FM}$: $qfree \ (qe\text{-FM} \ f)$
 $\langle \text{proof} \rangle$

4.2.1 Tests

lemmas $qesimps = qe\text{-FM-def}$ $R_e.\text{lift-dnfeq-qe-def}$ $R_e.\text{lift-eq-qe-def}$ $R.\text{qelim-def}$ $qe\text{-FM}_1\text{-def}$
 $lbounds\text{-def}$ $ubounds\text{-def}$ $list\text{-conj-def}$ $list\text{-disj-def}$ $and\text{-def}$ $or\text{-def}$ $\text{depends}_R\text{-def}$

lemma $qe\text{-FM}(\text{TrueF}) = \text{TrueF}$
 $\langle \text{proof} \rangle$

lemma

$qe\text{-FM}(\text{ExQ} \ (\text{And} \ (\text{Atom}(\text{Less } 0 \ [1])) \ (\text{Atom}(\text{Less } 0 \ [-1])))) = \text{Atom}(\text{Less } 0 \ [])$
 $\langle \text{proof} \rangle$

lemma

$qe\text{-FM}(\text{ExQ} \ (\text{And} \ (\text{Atom}(\text{Less } 0 \ [1])) \ (\text{Atom}(\text{Less } (- 1) \ [-1])))) = \text{Atom}(\text{Less } (- 1) \ [])$
 $\langle \text{proof} \rangle$

end

```

theory QElin-opt
imports QElin
begin

```

4.2.2 An optimization

Atoms are simplified asap.

definition

```

asimp a = (case a of
  Less r cs => (if ∀ c ∈ set cs. c = 0
    then if r < 0 then TrueF else FalseF
    else Atom a) |
  Eq r cs => (if ∀ c ∈ set cs. c = 0
    then if r = 0 then TrueF else FalseF else Atom a))

```

lemma asimp-pretty:

```

asimp (Less r cs) =
(if ∀ c ∈ set cs. c = 0
then if r < 0 then TrueF else FalseF
else Atom(Less r cs))
asimp (Eq r cs) =
(if ∀ c ∈ set cs. c = 0
then if r = 0 then TrueF else FalseF
else Atom(Eq r cs))
⟨proof⟩

```

definition qe-FMo₁ :: atom list ⇒ atom fm **where**

qe-FMo₁ as = list-conj [asimp(combine p q). p ← lbounds as, q ← ubounds as]

lemma I-asimp: R.I (asimp a) xs = I_R a xs
 ⟨proof⟩

lemma I-qe-FMo₁: R.I (qe-FMo₁ as) xs = R.I (qe-FM₁ as) xs
 ⟨proof⟩

definition qe-FMo = R_e.lift-dnfeq-qe qe-FMo₁

lemma qfree-qe-FMo₁: qfree (qe-FMo₁ as)
 ⟨proof⟩

corollary I-qe-FMo: R.I (qe-FMo φ) xs = R.I φ xs
 ⟨proof⟩

theorem qfree-qe-FMo: qfree (qe-FMo f)
 ⟨proof⟩

end

```

theory FRE
imports LinArith
begin

4.3 Ferrante-Rackoff

This section formalizes a slight variant of Ferrante and Rackoff's algorithm [2].
We consider equalities separately, which improves performance.

fun between :: real * real list ⇒ real * real list ⇒ real * real list
where between (r,cs) (s,ds) = ((r+s)/2, (1/2) *s (cs+ds))

definition FR1 :: atom fm ⇒ atom fm where
FR1 φ =
(let as = R.atoms0 φ; lbs = lbounds as; ubs = ubounds as; ebs = ebounds as;
 intrs = [subst φ (between l u) . l ← lbs, u ← ubs]
 in list-disj (inf- φ # inf+ φ # intrs @ map (subst φ) ebs))

lemma dense-interval:
assumes finite L finite U l ∈ L u ∈ U l < x x < u P(x::real)
and dense: ∀y l u. [ ∀y∈{l<..<x}. y ∉ L; ∀y∈{x<..<u}. y ∉ U;
l < x; x < u; l < y; y < u ] ⇒ P y
shows ∃l∈L. ∃u∈U. l < u ∧ (∀y. l < y ∧ y < u → P y)
⟨proof⟩

lemma dense:
[ nqfree f; ∀y∈{l<..<x}. y ∉ LB f xs; ∀y∈{x<..<u}. y ∉ UB f xs;
l < x; x < u; x ∉ EQ f xs; R.I f (x#xs); l < y; y < u ]
⇒ R.I f (y#xs)
⟨proof⟩

theorem I-FR1:
assumes nqfree φ shows R.I (FR1 φ) xs = (∃x. R.I φ (x#xs))
(is ?FR = ?EX)
⟨proof⟩

```

definition FR = R.lift-nnf-qe FR₁

lemma qfree-FR₁: nqfree φ ⇒ qfree (FR₁ φ)
⟨proof⟩

theorem I-FR: R.I (FR φ) xs = R.I φ xs
⟨proof⟩

theorem qfree-FR: qfree (FR φ)
⟨proof⟩

end

```
theory QElin-inf
imports LinArith
begin
```

4.4 Quantifier elimination with infinitesimals

This section formalizes Loos and Weispfenning's quantifier elimination procedure based on (the simulation of) infinitesimals [3].

```
fun asubst-peps :: real * real list ⇒ atom ⇒ atom fm (⟨asubst+⟩) where
  asubst-peps (r,cs) (Less s (d#ds)) =
    (if d=0 then Atom(Less s ds) else
      let u = s - d*r; v = d *_s cs + ds; less = Atom(Less u v)
      in if d<0 then less else Or less (Atom(Eq u v))) |
  asubst-peps rcs (Eq r (d#ds)) = (if d=0 then Atom(Eq r ds) else FalseF) |
  asubst-peps rcs a = Atom a
```

```
abbreviation subst-peps :: atom fm ⇒ real * real list ⇒ atom fm (⟨subst+⟩)
where subst+ φ rcs ≡ amapfm (asubst+ rcs) φ
```

definition nolb f xs l x = ($\forall y \in \{l < .. < x\}. y \notin LB f xs$)

lemma nolb-And[simp]:

```
nolb (And f g) xs l x = (nolb f xs l x ∧ nolb g xs l x)
⟨proof⟩
```

lemma nolb-Or[simp]:

```
nolb (Or f g) xs l x = (nolb f xs l x ∨ nolb g xs l x)
⟨proof⟩
```

```
context notes [[simp-depth-limit=4]]
begin
```

lemma innermost-intvl:

```
[[ nqfree f; nolb f xs l x; l < x; x ∉ EQ f xs; R.I f (x#xs); l < y; y ≤ x ]]
  ==> R.I f (y#xs)
⟨proof⟩
```

definition EQ2 = EQ

lemma EQ2-Or[simp]: EQ2 (Or f g) xs = (EQ2 f xs ∪ EQ2 g xs)
⟨proof⟩

lemma EQ2-And[simp]: EQ2 (And f g) xs = (EQ2 f xs ∪ EQ2 g xs)
⟨proof⟩

lemma *innermost-intvl2*:

$$\begin{aligned} & \llbracket \text{nqfree } f; \text{nolb } f \text{ xs } l \text{ } x; l < x; x \notin \text{EQ2 } f \text{ xs}; R.I \text{ } f \text{ (x}\# \text{xs)}; l < y; y \leq x \rrbracket \\ & \implies R.I \text{ } f \text{ (y}\# \text{xs)} \end{aligned}$$

$\langle \text{proof} \rangle$

lemma *I-subst-peps2*:

$$\begin{aligned} \text{nqfree } f & \implies r + \langle cs, xs \rangle < x \implies \text{nolb } f \text{ xs } (r + \langle cs, xs \rangle) \text{ } x \\ & \implies \forall y \in \{r + \langle cs, xs \rangle <.. x\}. R.I \text{ } f \text{ (y}\# \text{xs)} \wedge y \notin \text{EQ2 } f \text{ xs} \\ & \implies R.I \text{ (subst}_+ \text{ } f \text{ (r, cs)) xs} \end{aligned}$$

$\langle \text{proof} \rangle$

end

lemma *I-subst-peps*:

$$\begin{aligned} \text{nqfree } f & \implies R.I \text{ (subst}_+ \text{ } f \text{ (r, cs)) xs} \implies \\ & (\exists \text{leps} > r + \langle cs, xs \rangle. \forall x. r + \langle cs, xs \rangle < x \wedge x \leq \text{leps} \longrightarrow R.I \text{ } f \text{ (x}\# \text{xs)}) \end{aligned}$$

$\langle \text{proof} \rangle$

lemma *dense-interval*:

assumes finite L $l \in L$ $l < x$ $P(x::real)$

and dense: $\bigwedge y \text{ } l. \llbracket \forall y \in \{l <.. x\}. y \notin L; l < x; l < y; y \leq x \rrbracket \implies P y$

shows $\exists l \in L. l < x \wedge (\forall y \in \{l <.. x\}. y \notin L) \wedge (\forall y. l < y \wedge y \leq x \longrightarrow P y)$

$\langle \text{proof} \rangle$

definition

$qe\text{-eps}_1(f) =$
 $(\text{let } as = R.\text{atoms}_0 \text{ } f; lbs = \text{lbounds } as; ebs = \text{ebounds } as$
 $\text{in } \text{list-disj} \text{ (inf}_- \text{ } f \# \text{ map } (\text{subst}_+ \text{ } f) \text{ } lbs @ \text{ map } (\text{subst } f) \text{ } ebs))$

theorem *I-eps1*:

assumes $\text{nqfree } f$ **shows** $R.I \text{ (qe-eps}_1 \text{ } f) \text{ xs} = (\exists x. R.I \text{ } f \text{ (x}\# \text{xs)})$
 $(\text{is } ?QE = ?EX)$

$\langle \text{proof} \rangle$

lemma *qfree-asubst-peps*: $\text{qfree } (\text{asubst}_+ \text{ rcs } a)$

$\langle \text{proof} \rangle$

lemma *qfree-subst-peps*: $\text{nqfree } \varphi \implies \text{qfree } (\text{subst}_+ \varphi \text{ rcs})$

$\langle \text{proof} \rangle$

lemma *qfree-qe-eps1*: $\text{nqfree } \varphi \implies \text{qfree } (\text{qe-eps}_1 \varphi)$

$\langle \text{proof} \rangle$

definition $qe\text{-eps} = R.\text{lift-nnf-}qe \text{ qe-eps}_1$

lemma *qfree-qe-eps*: $\text{qfree } (\text{qe-eps } \varphi)$

$\langle \text{proof} \rangle$

lemma *I-qe-eps*: $R.I \text{ (qe-eps } \varphi) \text{ xs} = R.I \varphi \text{ xs}$

$\langle proof \rangle$

end

5 Presburger arithmetic

```
theory PresArith
imports QE HOL-Library.ListVector
begin

declare iprod-assoc[simp]

5.1 Syntax

datatype atom =
  Le int int list | Dvd int int int list | NDvd int int int list

fun divisor :: atom ⇒ int where
  divisor (Le i ks) = 1 |
  divisor (Dvd d i ks) = d |
  divisor (NDvd d i ks) = d

fun negZ :: atom ⇒ atom fm where
  negZ (Le i ks) = Atom(Le (1-i) (-ks)) |
  negZ (Dvd d i ks) = Atom(NDvd d i ks) |
  negZ (NDvd d i ks) = Atom(Dvd d i ks)

fun hd-coeff :: atom ⇒ int where
  hd-coeff (Le i ks) = (case ks of [] ⇒ 0 | k#- ⇒ k) |
  hd-coeff (Dvd d i ks) = (case ks of [] ⇒ 0 | k#- ⇒ k) |
  hd-coeff (NDvd d i ks) = (case ks of [] ⇒ 0 | k#- ⇒ k)

fun decrZ :: atom ⇒ atom where
  decrZ (Le i ks) = Le i (tl ks) |
  decrZ (Dvd d i ks) = Dvd d i (tl ks) |
  decrZ (NDvd d i ks) = NDvd d i (tl ks)

fun IZ :: atom ⇒ int list ⇒ bool where
  IZ (Le i ks) xs = (i ≤ ⟨ks,xs⟩) |
  IZ (Dvd d i ks) xs = (d dvd i + ⟨ks,xs⟩) |
  IZ (NDvd d i ks) xs = (¬ d dvd i + ⟨ks,xs⟩)

definition atoms0 = ATOM.atoms0 (λa. hd-coeff a ≠ 0)
```

interpretation Z:

ATOM negZ (λa. divisor a ≠ 0) IZ (λa. hd-coeff a ≠ 0) decrZ
rewrites ATOM.atoms0 (λa. hd-coeff a ≠ 0) = atoms0

$\langle proof \rangle$

$\langle ML \rangle$

abbreviation

hd-coeff-is1 $a \equiv$
 $(\text{case } a \text{ of } Le \dashv \Rightarrow \text{hd-coeff } a \in \{1, -1\} \mid \dashv \Rightarrow \text{hd-coeff } a = 1)$

fun *asubst* :: $\text{int} \Rightarrow \text{int list} \Rightarrow \text{atom} \Rightarrow \text{atom}$ **where**
 $\text{asubst } i' \text{ ks}' (\text{Le } i \text{ (k\#ks)}) = \text{Le } (i - k*i') (k *_s \text{ks}' + \text{ks}) \mid$
 $\text{asubst } i' \text{ ks}' (\text{Dvd } d \text{ i (k\#ks)}) = \text{Dvd } d (i + k*i') (k *_s \text{ks}' + \text{ks}) \mid$
 $\text{asubst } i' \text{ ks}' (\text{NDvd } d \text{ i (k\#ks)}) = \text{NDvd } d (i + k*i') (k *_s \text{ks}' + \text{ks}) \mid$
 $\text{asubst } i' \text{ ks}' a = a$

abbreviation *subst* :: $\text{int} \Rightarrow \text{int list} \Rightarrow \text{atom fm} \Rightarrow \text{atom fm}$
where *subst* $i \text{ ks} \equiv \text{map}_{fm} (\text{asubst } i \text{ ks})$

lemma *IZ-asubst*: $I_Z (\text{asubst } i \text{ ks } a) \text{ xs} = I_Z a ((i + \langle \text{ks}, \text{xs} \rangle) \# \text{xs})$
 $\langle \text{proof} \rangle$

lemma *I-subst*:
 $\text{qfree } \varphi \implies Z.I \varphi ((i + \langle \text{ks}, \text{xs} \rangle) \# \text{xs}) = Z.I (\text{subst } i \text{ ks } \varphi) \text{ xs}$
 $\langle \text{proof} \rangle$

lemma *divisor-asubst[simp]*: $\text{divisor} (\text{asubst } i \text{ ks } a) = \text{divisor } a$
 $\langle \text{proof} \rangle$

definition *lbounds as* = $[(i, \text{ks}) \mid \text{Le } i \text{ (k\#ks)} \leftarrow \text{as}, k > 0]$
definition *ubounds as* = $[(i, \text{ks}) \mid \text{Le } i \text{ (k\#ks)} \leftarrow \text{as}, k < 0]$
lemma *set-lbounds*:
 $\text{set}(\text{lbounds as}) = \{(i, \text{ks}) \mid i \in \text{ks}, \text{Le } i \text{ (k\#ks)} \in \text{set as} \wedge k > 0\}$
 $\langle \text{proof} \rangle$
lemma *set-ubounds*:
 $\text{set}(\text{ubounds as}) = \{(i, \text{ks}) \mid i \in \text{ks}, \text{Le } i \text{ (k\#ks)} \in \text{set as} \wedge k < 0\}$
 $\langle \text{proof} \rangle$

lemma *lbounds-append[simp]*: $\text{lbounds}(\text{as} @ \text{bs}) = \text{lbounds as} @ \text{lbounds bs}$
 $\langle \text{proof} \rangle$

5.2 LCM and lemmas

fun *zlcms* :: $\text{int list} \Rightarrow \text{int}$ **where**
 $\text{zlcms } [] = 1 \mid$
 $\text{zlcms } (i \# is) = \text{lcm } i (\text{zlcms } is)$

lemma *dvd-zlcms*: $i \in \text{set is} \implies i \text{ dvd zlcms is}$

$\langle proof \rangle$

lemma *zlcms-pos*: $\forall i \in set is. i \neq 0 \implies zlcms is > 0$
 $\langle proof \rangle$

lemma *zlcms0-iff[simp]*: $(zlcms is = 0) = (\emptyset \in set is)$
 $\langle proof \rangle$

lemma *elem-le-zlcms*: $\forall i \in set is. i \neq 0 \implies i \in set is \implies i \leq zlcms is$
 $\langle proof \rangle$

5.3 Setting coefficients to 1 or -1

```
fun hd-coeff1 :: int => atom => atom where
hd-coeff1 m (Le i (k#ks)) =
  (if k=0 then Le i (k#ks)
   else let m' = m div (abs k) in Le (m'*i) (sgn k # (m' *_s ks))) |
hd-coeff1 m (Dvd d i (k#ks)) =
  (if k=0 then Dvd d i (k#ks)
   else let m' = m div k in Dvd (m'*d) (m'*i) (1 # (m' *_s ks))) |
hd-coeff1 m (NDvd d i (k#ks)) =
  (if k=0 then NDvd d i (k#ks)
   else let m' = m div k in NDvd (m'*d) (m'*i) (1 # (m' *_s ks))) |
hd-coeff1 - a = a
```

The def of *hd-coeff1* on *Dvd* and *NDvd* is different from the *Le* because it allows the resulting head coefficient to be 1 rather than 1 or -1. We show that the other version has the same semantics:

lemma $\llbracket k \neq 0; k \text{ dvd } m \rrbracket \implies$
 $I_Z (hd-coeff1 m (Dvd d i (k#ks))) (x#e) = (\text{let } m' = m \text{ div } (\text{abs } k) \text{ in}$
 $I_Z (Dvd (m'*d) (m'*i) (sgn k # (m' *_s ks))) (x#e))$
 $\langle proof \rangle$

lemma *I-hd-coeff1-mult-a*: **assumes** $m > 0$
shows $hd-coeff a \text{ dvd } m \mid hd-coeff a = 0 \implies I_Z (hd-coeff1 m a) (m*x#xs) = I_Z a (x#xs)$
 $\langle proof \rangle$

lemma *I-hd-coeff1-mult*: **assumes** $m > 0$
shows $qfree \varphi \implies \forall a \in set(Z.atoms_0 \varphi). hd-coeff a \text{ dvd } m \implies$
 $Z.I (map_{f_m} (hd-coeff1 m) \varphi) (m*x#xs) = Z.I \varphi (x#xs)$
 $\langle proof \rangle$

end

theory *QEpres*

```

imports PresArith
begin

```

5.4 DNF-based quantifier elimination

definition

```

hd-coeffs1 as =
(let m = zlcms(map hd-coeff as)
 in Dvd m 0 [1] # map (hd-coeff1 m) as)

```

lemma *I-hd-coeffs1*:

```

assumes 0: ∀ a ∈ set as. hd-coeff a ≠ 0 shows
  (exists x. ∀ a ∈ set (hd-coeffs1 as). I_Z a (x#xs)) =
  (exists x. ∀ a ∈ set as. I_Z a (x#xs)) (is ?B = ?A)
  ⟨proof⟩

```

abbreviation *is-dvd a* ≡ *case a of Le - - ⇒ False | - ⇒ True*

definition

```

qe-pres1 as =
(let ds = filter is-dvd as; (d:int) = zlcms(map divisor ds); ls = lbounds as
in if ls = []
  then Disj [0..d - 1] (λn. list-conj(map (Atom o asubst n []) ds))
  else
    Disj ls (λ(li,lks).
      Disj [0..d - 1] (λn.
        list-conj(map (Atom o asubst (li + n) (-lks)) as))))

```

Note the optimization in the case *ls* = []: only the divisibility atoms are tested, not the inequalities. This complicates the proof.

lemma *I-cyclic*:

```

assumes is-dvd a and hd-coeff a = 1 and i mod divisor a = j mod divisor a
shows I_Z a (i#e) = I_Z a (j#e)
⟨proof⟩

```

lemma *I-qe-pres1*:

```

assumes norm: ∀ a ∈ set as. divisor a ≠ 0
and hd: ∀ a ∈ set as. hd-coeff-is1 a
shows Z.I (qe-pres1 as) xs = (exists x. ∀ a ∈ set as. I_Z a (x#xs))
⟨proof⟩

```

lemma *divisors-hd-coeffs1*:

```

assumes div0: ∀ a ∈ set as. divisor a ≠ 0 and hd0: ∀ a ∈ set as. hd-coeff a ≠ 0
and a: a ∈ set (hd-coeffs1 as) shows divisor a ≠ 0
⟨proof⟩

```

lemma *hd-coeff-is1-hd-coeffs1*:

```

assumes hd0: ∀ a ∈ set as. hd-coeff a ≠ 0

```

and $a \in \text{set}(\text{hd-coeffs1 } as)$ **shows** $\text{hd-coeff-is1 } a$
 $\langle \text{proof} \rangle$

lemma $I\text{-qe-pres}_1\text{-o}$:

$\llbracket \forall a \in \text{set } as. \text{ divisor } a \neq 0; \forall a \in \text{set } as. \text{ hd-coeff } a \neq 0 \rrbracket \implies$
 $Z.I((\text{qe-pres}_1 \circ \text{hd-coeffs1}) as) e = (\exists x. \forall a \in \text{set } as. I_Z a (x \# e))$
 $\langle \text{proof} \rangle$

definition $\text{qe-pres} = Z.\text{lift-dnf-qe}(\text{qe-pres}_1 \circ \text{hd-coeffs1})$

lemma qfree-qe-pres-o : $\text{qfree}((\text{qe-pres}_1 \circ \text{hd-coeffs1}) as)$
 $\langle \text{proof} \rangle$

lemma $\text{normal-qe-pres}_1\text{-o}$:

$\forall a \in \text{set } as. \text{ hd-coeff } a \neq 0 \wedge \text{ divisor } a \neq 0 \implies$
 $Z.\text{normal}((\text{qe-pres}_1 \circ \text{hd-coeffs1}) as)$
 $\langle \text{proof} \rangle$

theorem $I\text{-pres-qe}$: $Z.\text{normal } \varphi \implies Z.I(\text{qe-pres } \varphi) xs = Z.I \varphi xs$
 $\langle \text{proof} \rangle$

theorem qfree-pes-qe : $\text{qfree}(\text{qe-pres } f)$
 $\langle \text{proof} \rangle$

end

theory *Cooper*
imports *PresArith*
begin

5.5 Cooper

This section formalizes Cooper's algorithm [1].

lemma set-atoms0-iff :

$\text{qfree } \varphi \implies a \in \text{set}(Z.\text{atoms}_0 \varphi) \iff a \in \text{atoms } \varphi \wedge \text{hd-coeff } a \neq 0$
 $\langle \text{proof} \rangle$

definition

$\text{hd-coeffs1 } \varphi =$
 $(\text{let } m = \text{zlcms}(\text{map } \text{hd-coeff } (Z.\text{atoms}_0 \varphi))$
 $\text{in And } (\text{Atom}(Dvd } m \ 0 \ [1])) \ (\text{map}_{fm}(\text{hd-coeff1 } m) \ \varphi))$

lemma $I\text{-hd-coeffs1}$:

assumes $\text{qfree } \varphi$
shows $(\exists x. Z.I(\text{hd-coeffs1 } \varphi) (x \# xs)) = (\exists x. Z.I \varphi (x \# xs))$ (**is** $?L = ?R$)
 $\langle \text{proof} \rangle$

```

fun min-inf :: atom fm  $\Rightarrow$  atom fm ( $\langle inf_{-} \rangle$ ) where
inf- (And  $\varphi_1 \varphi_2$ ) = and (inf-  $\varphi_1$ ) (inf-  $\varphi_2$ ) |
inf- (Or  $\varphi_1 \varphi_2$ ) = or (inf-  $\varphi_1$ ) (inf-  $\varphi_2$ ) |
inf- (Atom(Le i (k#ks))) =
  (if k<0 then TrueF else if k>0 then FalseF else Atom(Le i (0#ks))) |
inf-  $\varphi$  =  $\varphi$ 

```

definition

```

qe-cooper1  $\varphi$  =
(let as = Z.atoms0  $\varphi$ ; d = zlcms(map divisor as); ls = lbounds as
in or (Disj [0..d - 1] (\lambda n. subst n [] (inf-  $\varphi$ )))
  (Disj ls (\lambda(i,ks).
    Disj [0..d - 1] (\lambda n. subst (i + n) (-ks)  $\varphi$ ))))

```

lemma min-inf:

```

nqfree f  $\implies$   $\forall a \in set(Z.atoms_0 f)$ . hd-coeff-is1 a
 $\implies \exists x. \forall y < x. Z.I (inf_{-} f) (y \# xs) = Z.I f (y \# xs)$ 
(is -  $\implies$  -  $\implies \exists x. ?P f x$ )
⟨proof⟩

```

lemma min-inf-repeats:

```

nqfree  $\varphi$   $\implies$   $\forall a \in set(Z.atoms_0 \varphi)$ . divisor a dvd d  $\implies$ 
Z.I (inf-  $\varphi$ ) ((x - k*d) # xs) = Z.I (inf-  $\varphi$ ) (x # xs)
⟨proof⟩

```

lemma atoms-subset: qfree f \implies set(Z.atoms₀(f::atom fm)) \leq atoms f
⟨proof⟩

lemma β :

```

[ nqfree  $\varphi$ ;  $\forall a \in set(Z.atoms_0 \varphi)$ . hd-coeff-is1 a;
   $\forall a \in set(Z.atoms_0 \varphi)$ . divisor a dvd d; d > 0;
   $\neg(\exists j \in \{0 .. d - 1\}. \exists (i,ks) \in set(lbounds(Z.atoms_0 \varphi)).$ 
     $x = i - \langle ks, xs \rangle + j$ ; Z.I  $\varphi (x \# xs)$ ]
 $\implies Z.I \varphi ((x - d) \# xs)$ 
⟨proof⟩

```

lemma periodic-finite-ex:

```

assumes dpos: (0::int) < d and modd:  $\forall x k. P x = P(x - k*d)$ 
shows ( $\exists x. P x$ ) = ( $\exists j \in \{0 .. d - 1\}. P j$ )
(is ?LHS = ?RHS)
⟨proof⟩

```

lemma *cpmi-eq*: $(0::int) < D \implies (\exists z. \forall x. x < z \longrightarrow (P x = P1 x))$
 $\implies \forall x. \neg(\exists j \in \{0..D-1\}. \exists b \in B. P(b+j)) \longrightarrow P(x) \longrightarrow P(x-D)$
 $\implies \forall x. \forall k. P1 x = P1(x - k*D)$
 $\implies (\exists x. P(x)) = ((\exists j \in \{0..D-1\}. P1(j)) \vee (\exists j \in \{0..D-1\}. \exists b \in B. P(b+j)))$
 $\langle proof \rangle$

theorem *cp-thm*:

assumes *nq*: *nqfree* φ
and *u*: $\forall a \in set(Z.atoms_0 \varphi). hd-coeff-is1 a$
and *d*: $\forall a \in set(Z.atoms_0 \varphi). divisor a \text{ dvd } d$
and *dp*: $d > 0$
shows $(\exists x. Z.I \varphi (x \# xs)) =$
 $(\exists j \in \{0..d-1\}. Z.I (\inf_- \varphi) (j \# xs)) \vee$
 $(\exists (i, ks) \in set(lbounds(Z.atoms_0 \varphi)). Z.I \varphi ((i - \langle ks, xs \rangle + j) \# xs))$
 $(\text{is } (\exists x. ?P(x)) = (\exists j \in ?D. ?M j \vee (\exists (i, ks) \in ?B. ?P(?I i ks + j))))$
 $\langle proof \rangle$

lemma *qfree-min-inf[simp]*: *qfree* $\varphi \implies qfree (\inf_- \varphi)$
 $\langle proof \rangle$

lemma *I-qe-cooper1*:

assumes *norm*: $\forall a \in atoms \varphi. divisor a \neq 0$
and *hd*: $\forall a \in set(Z.atoms_0 \varphi). hd-coeff-is1 a$ **and** *nqfree* φ
shows $Z.I (qe-cooper1 \varphi) xs = (\exists x. Z.I \varphi (x \# xs))$
 $\langle proof \rangle$

lemma *divisor-hd-coeff1-neq0*:

qfree $\varphi \implies a \in atoms \varphi \implies divisor a \neq 0 \implies$
 $divisor (hd-coeff1 (zlcms (map hd-coeff (Z.atoms_0 \varphi))) a) \neq 0$
 $\langle proof \rangle$

lemma *hd-coeff-is1-hd-coeff1*:

hd-coeff $(hd-coeff1 m a) \neq 0 \longrightarrow hd-coeff-is1 (hd-coeff1 m a)$
 $\langle proof \rangle$

lemma *I-cooper1-hd-coeffs1*: *Z.normal* $\varphi \implies nqfree \varphi$

$\implies Z.I (qe-cooper1 (hd-coeffs1 \varphi)) xs = (\exists x. Z.I \varphi (x \# xs))$
 $\langle proof \rangle$

definition *qe-cooper* = *Z.lift-nnf-qe* (*qe-cooper1* \circ *hd-coeffs1*)

lemma *qfree-cooper1-hd-coeffs1*: *qfree* $\varphi \implies qfree (qe-cooper1 (hd-coeffs1 \varphi))$
 $\langle proof \rangle$

```

lemma normal-min-inf:  $Z.\text{normal } \varphi \implies Z.\text{normal}(\inf_-\varphi)$   

  ⟨proof⟩

lemma normal-cooper1:  $Z.\text{normal } \varphi \implies Z.\text{normal}(qe\text{-cooper}_1 \varphi)$   

  ⟨proof⟩

lemma normal-hd-coeffs1:  $qfree \varphi \implies Z.\text{normal } \varphi \implies Z.\text{normal}(hd\text{-coeffs}_1 \varphi)$   

  ⟨proof⟩

theorem I-cooper:  $Z.\text{normal } \varphi \implies Z.I(qe\text{-cooper } \varphi) \text{ xs} = Z.I \varphi \text{ xs}$   

  ⟨proof⟩

theorem qfree-cooper:  $qfree(qe\text{-cooper } \varphi)$   

  ⟨proof⟩

end

```

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