The Correctness of Launchbury's Natural Semantics for Lazy Evaluation

Joachim Breitner
Programming Paradigms Group
Karlsruhe Institute for Technology
breitner@kit.edu

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In his seminal paper "Natural Semantics for Lazy Evaluation" [Lau93], John Launchbury proves his semantics correct with respect to a denotational semantics, and outlines an adequacy proof. We have formalized both semantics and machine-checked the correctness proof, clarifying some details. Furthermore, we provide a new and more direct adequacy proof that does not require intermediate operational semantics.

Contents

1 Introduction

The Natural Semantics for Lazy Evaluation [Lau93] created by John Launchbury in 1992 is often taken as the base for formal treatments of call-by-need evaluation, either to prove properties of lazy evaluation or as a base to describe extensions of the language or the implementation of the language. Therefore, assurance about the correctness and adequacy of the semantics is important in this field of research. Launchbury himself supports his semantics by defining a standard denotational semantics to prove both correctness and adequacy.

Although his proofs are already on the more rigorous side for pen-and-paper proofs, they have not yet been verified by transforming them to machine-checked proofs. The present work fills this gap by formalizing both semantics in the proof assistant Isabelle and proving both correctness and adequacy.

Our correctness formal proof is very close to the original proof. This is possible if the operator $\sqcup$ is understood as a right-sided update. If we were to understand $\sqcup$ as the least upper bound, then Theorem 2 in [Lau93], which is the generalization of the correctness statement used for Launchbury's inductive proof, is wrong. The main correctness result still holds, but needs a different proof; this is discussed in greater detail in [Bre13].

Launchbury outlines an adequacy proof via an intermediate operational semantics and resourced denotational semantics. The alternative operational semantics uses indirection instead of substitution for applications, does not update variable results and does not perform blackholing during evaluation of a variable. The equivalence of these two operational semantics is hard and tricky to prove. We found a direct proof for the adequacy of the original operational semantics and the (slightly modified) resourced denotational semantics. This is, as far as we know, the first complete and rigorous proof of adequacy of Launchbury's semantics.

In this development we extend Launchburys syntax and semantics with boolean values and an if-then-else construct, in order to base a subsequent work [**?**] on this. This extension does not affect the validity of the proven theorems, and the extra cases can simply be ignored if one is interested in the plain semantics. The next introductory section does exactly that. Unfortunately, such meta-level arguments are not easily implemented inside a theorem prover.

Our contributions are:

- We define the natural and denotational semantics given by Launchbury in the theorem prover Isabelle.
- We demonstrate how to use both the Nominal package (to handle name binding) [UK12] and the HOLCF [Huf12] package (for the domain-theoretic aspects) in the same development.
- We verify Launchbury's proof of correctness.

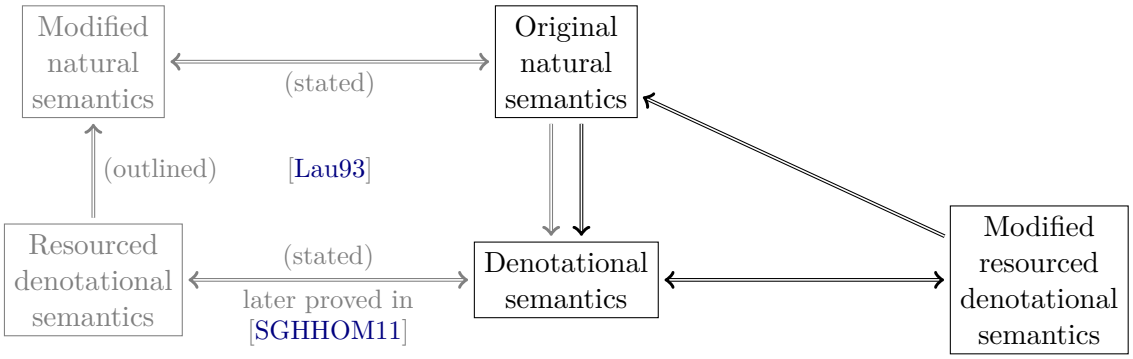
- We provide a new and more direct proof of adequacy.
- In order to do so, we formalize parts of [SGHHOM11], fixing a mistake in the proof.

1.1 Main definitions and theorems

For your convenience, the main definitions and theorems of the present work are assembled in this section. The following formulas are mechanically pretty-printed versions of the statements as defined resp. proven in Isabelle. Free variables are all-quantified. Some type conversion functions (like $set::'a\ list \Rightarrow 'a\ set$) are omitted. The relations $\sharp$ and $\sharp*$ come from the Nominal package and express freshness of the variables on the left with regard to the expressions on the right.

1.1.1 The big picture

The following picture gives an overview of the different semantics. Elements printed in black are formally defined and proved in the present work, while the gray square on the left shows the proofs and propositions in Launchbury's original work [Lau93].



1.1.2 Expressions

The type *var* of variables is abstract and provided by the Nominal package. All we know about it is that it is countably infinite. Expressions of type *exp* are given by the following grammar:

$e ::= \lambda x.\ e$		lambda abstraction
$\mid e\ x$		application
$\mid x$		variable
$\mid$ *let as in* e		recursive let

In the introduction we pretty-print expressions to resemble the notation in [Lau93] and omit the constructor names *Var*, *App*, *Lam* and *Let*. In the actual theories, these are visible. These expressions are, due to the machinery of the Nominal package, actually alpha-equivalency classes, so $\lambda x.\ x = \lambda y.\ y$ holds provably. This differs from Launchbury's original definition, which expects distinctly-named expressions and performs explicit alpha-renaming in the semantics.

The type *heap* is an abbreviation for $(var \times exp)\ list$. These are *not* alpha-equivalency classes, i.e. we manage the bindings in heaps explicitly.

1.1.3 The natural semantics

Launchbury's original semantics, extended with some technical overhead related to name binding (following [Ses97]), is defined as follows:

$$\frac{}{\Gamma : \lambda x.\ e \Downarrow_L \Gamma : \lambda x.\ e} \quad \textsc{Lambda}$$

$$\frac{y \sharp (\Gamma,\ e,\ x,\ L,\ \Delta,\ \Theta,\ z) \qquad \Gamma : e \Downarrow_L \Delta : \lambda y.\ e' \qquad \Delta : e'[y::=x] \Downarrow_L \Theta : z}{\Gamma : e\ x \Downarrow_L \Theta : z} \quad \textsc{Application}$$

$$\frac{(x,\ e) \in \Gamma \qquad \Gamma\backslash x : e \Downarrow_{x\ .\ L} \Delta : z}{\Gamma : x \Downarrow_L (x,\ z) \cdot \Delta : z} \quad \textsc{Variable}$$

$$\frac{\mathit{dom}\ \Delta \sharp* (\Gamma,\ L) \qquad \Delta\ @\ \Gamma : \mathit{body} \Downarrow_L \Theta : z}{\Gamma : \mathit{let}\ \Delta\ \mathit{in}\ \mathit{body} \Downarrow_L \Theta : z} \quad \textsc{Let}$$

1.1.4 The denotational semantics

The value domain of the denotational semantics is the initial solution to

$$D = [D \to D]_\bot$$

as introduced in [Abr90]. The type *Value*, together with the bottom value $\bot :: Value$, the injection $Fn :: (Value \to Value) \to Value$ and the projection $\_ \downarrow Fn\ \_ :: Value \to Value \to Value$, is constructed as a pointed chain-complete partial order from this equation by the HOLCF package. The type of semantic environments is $var \Rightarrow Value$.

The semantics of an expression $e :: exp$ in an environment $\varrho :: var \Rightarrow Value$ is written $[\![\ e\ ]\!]_\varrho :: Value$ and defined by the following equations:

$$\begin{aligned}
[\![\ \lambda x.\ e\ ]\!]_\varrho &= Fn \cdot (\Lambda\ v.\ [\![\ e\ ]\!]_{\varrho(x\ :=\ v)}) \\
[\![\ e\ x\ ]\!]_\varrho &= [\![\ e\ ]\!]_\varrho \downarrow Fn\ \varrho\ x \\
[\![\ x\ ]\!]_\varrho &= \varrho\ x \\
[\![\ \mathit{let}\ \Gamma\ \mathit{in}\ \mathit{body}\ ]\!]_\varrho &= [\![\ \mathit{body}\ ]\!]_{\{\!|\Gamma|\!\}\varrho}.
\end{aligned}$$

The expression $[\![\ \Gamma\ ]\!]_\varrho$ maps the evaluation function over a heap, returning an environment:

$$\begin{array}{ll} ([\![\ \Gamma\ ]\!]_\varrho)\ v = [\![\ e\ ]\!]_\varrho & \text{if } (v,\ e) \in \Gamma \\ ([\![\ \Gamma\ ]\!]_\varrho)\ v = \bot & \text{if } v \notin \mathit{dom}\ \Gamma \end{array}$$

The semantics $\{\!|\Gamma|\!\}\varrho :: var \Rightarrow Value$ of a heap $\Gamma :: heap$ in an environment $\varrho :: var \Rightarrow Value$ is defined by the recursive equation

$$\{\!|\Gamma|\!\}\varrho = \varrho +\!\!+_{\mathit{dom}\ \Gamma} [\![\ \Gamma\ ]\!]_{\{\!|\Gamma|\!\}\varrho}$$

where

$$\begin{array}{ll} (f +\!\!+_A g)\ a = f\ a & \text{if } a \notin A \\ (f +\!\!+_A g)\ a = g\ a & \text{if } a \in A. \end{array}$$

The semantics of the heap in the empty environment $\bot$ is abbreviated as $\{\!|\Gamma|\!\}$.

1.1.5 Correctness and Adequacy

The statement of correctness reads: If $\Gamma : e \Downarrow_L \Delta : v$ and, as a side condition, $fv\ (\Gamma,\ e) \subseteq L \cup \mathit{dom}\ \Gamma$ holds, then

$$[\![\ e\ ]\!]_{\{\!|\Gamma|\!\}\varrho} = [\![\ v\ ]\!]_{\{\!|\Delta|\!\}\varrho}.$$

The statement of adequacy reads:

$$\text{If } [\![\ e\ ]\!]_{\{\!|\Gamma|\!\}} \neq \bot \text{ then } \exists \Delta\ v.\ \Gamma : e \Downarrow_S \Delta : v.$$

1.2 Differences to our previous work

We have previously published [Bre13] of which the present work is a continuation. They differ in scope and focus:

1.2.1 The treatment of $\sqcup$

In [Bre13], the question of the precise meaning of $\sqcup$ is discussed in detail. The original paper is not clear about whether this operator denotes the least upper bound, or the right-sided override operator. A lemma stated in [Lau93] only holds if $\sqcup$ is the least upper bound, but with that definition, Launchbury's Theorem 2 – the generalized correctness theorem – is false; a counter-example is given in [Bre13].

We came up with an alternative operational semantics that keeps more of the evaluation context in the judgments and allows the correctness theorem to be proved inductively without the problematic generalization. We proved the two operational semantics equivalent and thus obtained the (non-generalized) correctness of Launchbury's semantics.

We also showed that if one takes $\sqcup$ to be the update operator, Theorem 2 holds and the proof goes through as it is. Furthermore, we showed that the resulting denotational semantics are identical for expressions, and can differ only for heaps. Therefore, the question of the precise meaning of $\sqcup$ can be considered of little importance and for the present work we soley work with right sided updates. We also avoid the ambiguos syntax $\sqcup$ and write $\_ +\!\!+_{\_} \_$ instead (the index indicates on what set the function on the right overrides the function on the left). The alternative operational semantics is not included in this work.

1.2.2 The types of environments

Another difference is the choice of the type for environments, which map variables to semantics values. A naive choice is $var \Rightarrow Value$, but this causes problems when defining the value semantics, for which

$$[\![\lambda x.\ e]\!]_\varrho = Fn\cdot(\Lambda\ v.\ [\![e]\!]_{\varrho(x := v)})$$

is a defining equation. The argument on the left hand side is the representative of an equivalence class (defined using the Nominal package), so this is only allowed if the right hand side is indeed independent of the actual choice of x. This is shown most commonly and easily if x is fresh in all the other arguments ($x \sharp \varrho$), and indeed the Nominal package allows us to specify this as a side condition to the defining equation, which is what we did in [Bre13].

But this convenience comes as a price: Such side-conditions are only allowed if the argument has finite support (otherwise there might no variable fulfilling $x \sharp \varrho$). More precisely: The type of the argument must be a member of the *fs* typeclass provided by the Nominal package. The type $var \Rightarrow Value$ cannot be made a member of this class, as there obviously are elements that have infinite support. The fix here was to introduce a new type constructor, *fmap*, for partial functions with finite domain. This is fine: Only functions with finite domain matter in our formalisation.

The introduction of *fmap* had further consequences. The main type class of the HOLCF package, which we use to define domains and continuous functions on them, is the class *cpo*, of chain-complete partial orders. With the usual ordering on partial functions, (*var*, *Value*) *fmap* cannot be a member of this class. The fix here is to use a different ordering and only let elements be compareable that have the same domain. In our formalisation, the domain is alway known (e.g. all variables bound on some heap), so this worked out.

But not without causing yet another issue: With this ordering, (*var*, *Value*) *fmap* is a *cpo*, but lacks a bottom element, i.e. now it is no *pcpo*, and HOLCF's built-in operator

$\mu\ x.\ f\ x$ for expressing least fixed-points, as they occur in the semantics of heaps, is not available. Furthermore, $\sqcup$ is not a total function, i.e. defined only on a subset of all possible arguments. The solution was a rather convoluted set of theories that formalize functions that are continuous on a specific set, fixed-points on such sets etc.

In the present work, this problems is solved in a much more elegant way. Using a small trick we defined the semantics functions so that

$$[\![\ \lambda x.\ e\ ]\!]_\varrho = \mathit{Fn}\cdot(\Lambda\ v.\ [\![\ e\ ]\!]_{\varrho(x\ :=\ v)})$$

holds unconditionally. The actual, technical definition is

$$[\![\ \lambda x.\ e\ ]\!]_\varrho = \mathit{Fn}\cdot(\Lambda\ v.\ [\![\ e\ ]\!]_{\varrho|_{\mathit{fv}\ (\lambda x.\ e)}(x\ :=\ v)})$$

where the right-hand-side can be shown to be invariant of the choice of x, as $x \notin \mathit{fv}\ (\lambda x.\ e)$. Once the function is defined, the equality $[\![\ e\ ]\!]_\varrho = [\![\ e\ ]\!]_{\varrho|_{\mathit{fv}\ e}}$ can be proved. With that, the desired equation for $[\![\ \lambda x.\ e\ ]\!]_\varrho$ follows. The same trick is applied to the equation for $[\![\ \mathsf{let}\ \Gamma\ \mathsf{in}\ \mathit{body}\ ]\!]_\varrho$.

This allows us to use the type $\mathit{var} \Rightarrow \mathit{Value}$ for the semantic envionments and considerably simplifies the formalization compared to [Bre13].

1.2.3 No type *assn*

The nominal package provides means to define types that are alpha-equivalence classes, and we use that to define our type *exp*, which contains a constructor *let binds in expr*. The desired type of the parameter for the binding is $(\mathit{var} \times \mathit{exp})\ \mathit{list}$, but the Nominal package does not support such nested recursion, and requires a mutual recursive definition with a custom type (*assn*) with constructors *ANil* and *ACons* that is isomorphic to $(\mathit{var} \times \mathit{exp})\ \mathit{list}$. In [Bre13], this type and conversion functions from and to $(\mathit{var} \times \mathit{exp})\ \mathit{list}$ cluttered the whole development. In the present work we improved this by defining the type with a "temporary" constructor $\mathit{LetA}::\mathit{assn} \Rightarrow \mathit{exp} \Rightarrow \mathit{exp}$. Afterwards we define conversions functions and the desired constructor $\mathit{Let}::(\mathit{var} \times \mathit{exp})\ \mathit{list} \Rightarrow \mathit{exp} \Rightarrow \mathit{exp}$, and re-state all lemmas produced by the Nominal package (such as type exhaustiveness, distinctiveness of constructors and the induction rules) with that constructor. From that point on, the development is free of the crutch *assn*.

In short, the notable changes in this work over [Bre13] are:

- We consider $\sqcup$ to be a right-sided update and do discuss neither the problem with $\sqcup$ denoting the least uppper bound, nor possible solutions.
- This, a simpler choice for the type of semantic environments and a better definition of the type for terms, considerably simplifies the work.
- Most importantly, this work contains a complete and formal proof of the adequacy of Launchbury's semantics.

1.3 Related work

Lidia Sánchez-Gil, Mercedes Hidalgo-Herrero and Yolanda Ortega-Mallén have worked on formal aspects of Launchbury's semantics as well.

They identified a step in his adequacy proof relating the standard and the resourced denotational semantics that is not as trivial as it seems at first and worked out a detailed pen-and-paper proof [SGHHOM11], where they first construct a similarity relation $\_ \lhd\rhd \_$ between the standard semantic domain (*Value*) and the resourced domain (*CValue*) and show that the denotation semantics yield similar results ($\varrho \lhd\rhd^* \sigma \Longrightarrow [\![e]\!]_\varrho \lhd\rhd (\mathcal{N}[\![e]\!]_\sigma)\cdot C^\infty$), which is one step in the adequacy proof. We formalized this (Sections 8.1 and 8.2), identifying and fixing a mistake in the paper (Lemma 2.3(3) does not hold; the problem can be fixed by applying an extra round of take-induction in the proof of Proposition 9).

Currently, they are working on completing the adequacy proof as outlined by Launchbury, i.e. by going via the alternative natural semantics given in [Lau93], which differs from the semantics above in that the application rule works with an indirection on the heap instead of a substitution and that the variable rule has no blackholing and no update. In [SGHHOM14], they relate the original semantics with one where indirections have been introduced. The next step, modifying the variable rule, is under development. Once that is done they can close the loop and have completed Launchbury's work.

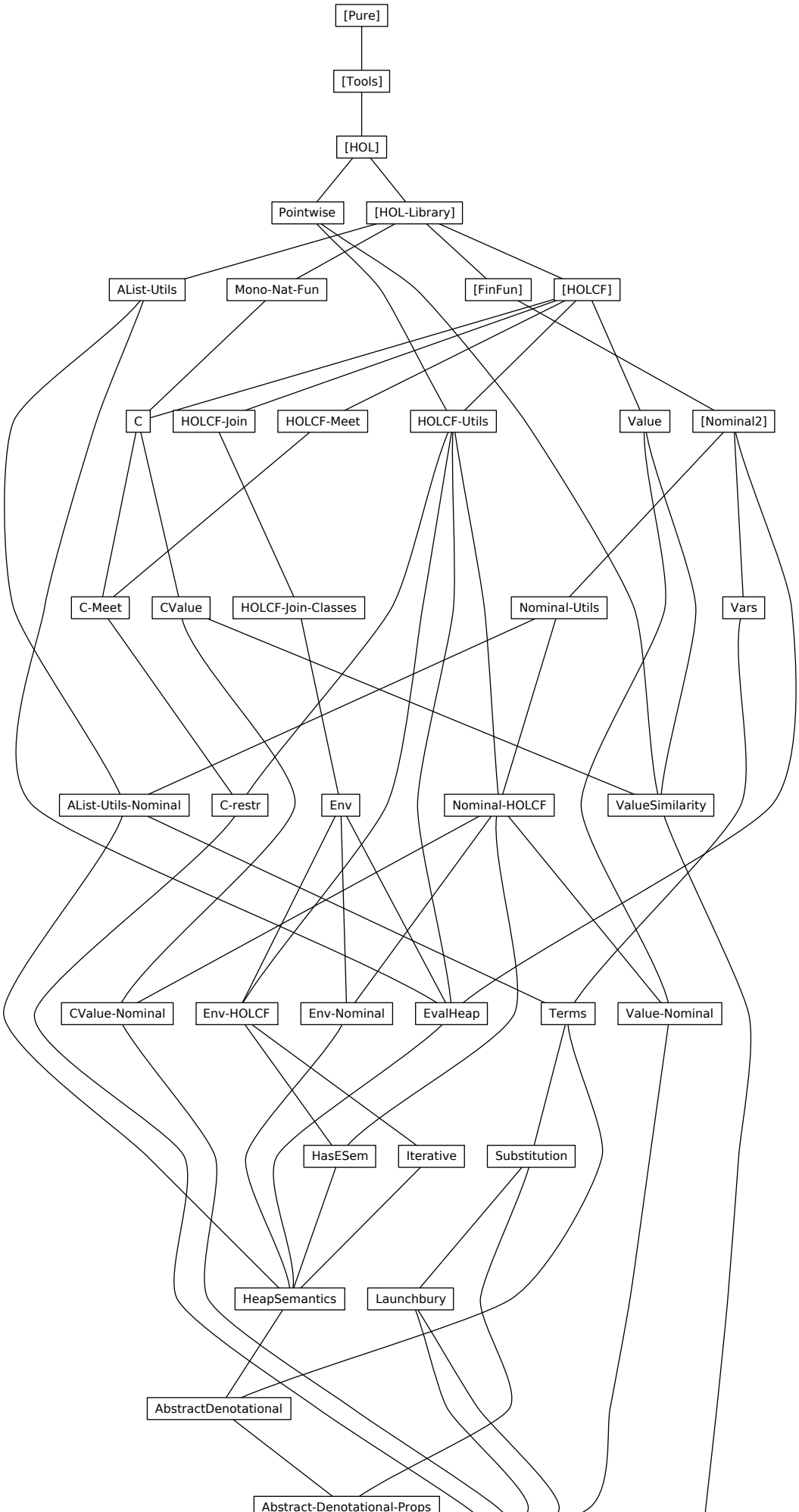
This work proves the adequacy as stated by Launchbury as well, but in contrast to his proof outline no alternative operational semantics is introduced. The problems of indirection vs. substitution and of blackholing is solved on the denotational side instead, which turned out to be much easier than proving the various operational semantics to be equivalent.

1.4 Theory overview

The following chapters contain the complete Isabelle theories, with one section per theory. Their interdependencies are visualized in Figure 1.

Chapter 2 contains auxiliary theories, not necessarily tied to Launchbury's semantics. The base theories are kept independent of Nominal and HOLCF where possible, the lemmas combining them are in theories of their own, creatively named by appending *-Nominal* resp. *-HOLCF*. You will find these theories:

- A definition for lifting a relation point-wise (*Pointwise*).
- A collection of definition related to associative lists (*AList-Utils*, *AList-Utils-Nominal*).
- A characterization of monotonous functions $\mathbb{N} \to \mathbb{N}$ (*Mono-Nat-Fun*).
- General utility functions extending Nominal (*Nominal-Utils*).


[Pure]
[Tools]
[HOL]
Pointwise
[HOL-Library]
AList-Utils
Mono-Nat-Fun
[FinFun]
[HOLCF]
C
HOLCF-Join
HOLCF-Meet
HOLCF-Utils
Value
[Nominal2]
C-Meet
CValue
HOLCF-Join-Classes
Nominal-Utils
Vars
AList-Utils-Nominal
C-restr
Env
Nominal-HOLCF
ValueSimilarity
CValue-Nominal
Env-HOLCF
Env-Nominal
EvalHeap
Terms
Value-Nominal
HasESem
Iterative
Substitution
HeapSemantics
Launchbury
AbstractDenotational
Abstract-Denotational-Props

- General utility functions extending HOLCF (*HOLCF-Utils*).
- Binary meets in the context of HOLCF (*HOLCF-Meet*).
- A theory combining notions from HOLCF and Nominal, e.g. continuity of permutation (*Nominal-HOLCF*).
- A theory for working with pcpo-valued functions as semantic environments (*Env*, *Env-Nominal*, *Env-HOLCF*).
- A function *evalHeap* that converts between associative lists and functions. (*Eval-Heap*)

Chapter 3 defines the syntax and Launchbury's natural semantics.

Chapter 4 sets the stage for the denotational semantics by defining a locale *semantic-domain* for denotational domains, and an instantiation for the standard domain.

Chapter 5 defines the denotational semantics. It also introduces the locale *has-ESem* which abstracts over the value semantics when defining the semantics of heaps.

Chapter 6 defines the resourced denotational semantics.

Chapter 7 proves the correctness of Launchbury's semantics with regard to both denotational semantics. We need the correctness with regard to the resourced semantics in the adequacy proof.

Chapter 8 proves the two denotational semantics related, which is used in

Chapter 9, where finally the adequacy is proved.

1.5 Acknowledgements

I'd like to thank Lidia Sánchez-Gil, Mercedes Hidalgo-Herrero and Yolanda Ortega-Mallén for inviting me to Madrid to discuss our respective approaches.
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2 Auxiliary theories

2.1 Pointwise

theory *Pointwise* **imports** *Main* **begin**

Lifting a relation to a function.

definition *pointwise* **where** *pointwise P m m'* = (∀ *x*. *P* (*m x*) (*m' x*))

lemma *pointwiseI*[*intro*]: (⋀ *x*. *P* (*m x*) (*m' x*)) ⟹ *pointwise P m m'* ⟨*proof*⟩

end

2.2 AList-Utils

theory *AList−Utils*
imports *Main HOL−Library.AList*
begin
declare *implies-True-equals* [*simp*] *False-implies-equals*[*simp*]

We want to have *delete* and *update* back in the namespace.

abbreviation *delete* **where** *delete* ≡ *AList.delete*
abbreviation *update* **where** *update* ≡ *AList.update*
abbreviation *restrictA* **where** *restrictA* ≡ *AList.restrict*
abbreviation *clearjunk* **where** *clearjunk* ≡ *AList.clearjunk*

lemmas *restrict-eq* = *AList.restrict-eq*
and *delete-eq* = *AList.delete-eq*

lemma *restrictA-append*: *restrictA S* (*a*@*b*) = *restrictA S a* @ *restrictA S b*
⟨*proof*⟩

lemma *length-restrictA-le*: *length* (*restrictA S a*) ≤ *length a*
⟨*proof*⟩

2.2.1 The domain of an associative list

definition *domA*
where *domA h* = *fst* ‘ *set h*

lemma *domA-append*[*simp*]:*domA* (*a* @ *b*) = *domA a* ∪ *domA b*
and [*simp*]:*domA* ((*v*,*e*) # *h*) = *insert v* (*domA h*)
and [*simp*]:*domA* (*p* # *h*) = *insert* (*fst p*) (*domA h*)
and [*simp*]:*domA* [] = {}
⟨*proof*⟩

lemma *domA-from-set*:

$(x,\ e) \in set\ h \Longrightarrow x \in domA\ h$
⟨*proof*⟩

lemma *finite-domA*[*simp*]:
$finite\ (domA\ \Gamma)$
⟨*proof*⟩

lemma *domA-delete*[*simp*]:
$domA\ (delete\ x\ \Gamma) = domA\ \Gamma - \{x\}$
⟨*proof*⟩

lemma *domA-restrictA*[*simp*]:
$domA\ (restrictA\ S\ \Gamma) = domA\ \Gamma \cap S$
⟨*proof*⟩

lemma *delete-not-domA*[*simp*]:
$x \notin domA\ \Gamma \Longrightarrow\ delete\ x\ \Gamma = \Gamma$
⟨*proof*⟩

lemma *deleted-not-domA*: $x \notin domA\ (delete\ x\ \Gamma)$
⟨*proof*⟩

lemma *dom-map-of-conv-domA*:
$dom\ (map\text{-}of\ \Gamma) = domA\ \Gamma$
⟨*proof*⟩

lemma *domA-map-of-Some-the*:
$x \in domA\ \Gamma \Longrightarrow map\text{-}of\ \Gamma\ x = Some\ (the\ (map\text{-}of\ \Gamma\ x))$
⟨*proof*⟩

lemma *domA-clearjunk*[*simp*]: $domA\ (clearjunk\ \Gamma) = domA\ \Gamma$
⟨*proof*⟩

lemma *the-map-option-domA*[*simp*]: $x \in domA\ \Gamma \Longrightarrow the\ (map\text{-}option\ f\ (map\text{-}of\ \Gamma\ x)) = f\ (the\ (map\text{-}of\ \Gamma\ x))$
⟨*proof*⟩

lemma *map-of-domAD*: $map\text{-}of\ \Gamma\ x = Some\ e \Longrightarrow x \in domA\ \Gamma$
⟨*proof*⟩

lemma *restrictA-noop*: $domA\ \Gamma \subseteq S \Longrightarrow restrictA\ S\ \Gamma = \Gamma$
⟨*proof*⟩

lemma *restrictA-cong*:
$(\bigwedge x.\ x \in domA\ m1 \Longrightarrow x \in V \longleftrightarrow x \in V') \Longrightarrow m1 = m2 \Longrightarrow restrictA\ V\ m1 = restrictA\ V'\ m2$
⟨*proof*⟩

2.2.2 Other lemmas about associative lists

lemma *delete-set-none*: $(map\text{-}of\ l)(x := None) = map\text{-}of\ (delete\ x\ l)$
⟨*proof*⟩

lemma *list-size-delete*[*simp*]: $size\text{-}list\ size\ (delete\ x\ l) < Suc\ (size\text{-}list\ size\ l)$
⟨*proof*⟩

lemma *delete-append*[*simp*]: $delete\ x\ (l1\ @\ l2) = delete\ x\ l1\ @\ delete\ x\ l2$
⟨*proof*⟩

lemma *map-of-delete-insert*:
assumes $map\text{-}of\ \Gamma\ x = Some\ e$
shows $map\text{-}of\ ((x,e)\ \#\ delete\ x\ \Gamma) = map\text{-}of\ \Gamma$
⟨*proof*⟩

lemma *map-of-delete-iff*[*simp*]: $map\text{-}of\ (delete\ x\ \Gamma)\ xa = Some\ e \longleftrightarrow (map\text{-}of\ \Gamma\ xa = Some\ e) \wedge xa \neq x$
⟨*proof*⟩

lemma *map-add-domA*[*simp*]:
$x \in domA\ \Gamma \Longrightarrow (map\text{-}of\ \Delta\ ++\ map\text{-}of\ \Gamma)\ x = map\text{-}of\ \Gamma\ x$
$x \notin domA\ \Gamma \Longrightarrow (map\text{-}of\ \Delta\ ++\ map\text{-}of\ \Gamma)\ x = map\text{-}of\ \Delta\ x$
⟨*proof*⟩

lemma *set-delete-subset*: $set\ (delete\ k\ al) \subseteq set\ al$
⟨*proof*⟩

lemma *dom-delete-subset*: $snd\ `\ set\ (delete\ k\ al) \subseteq snd\ `\ set\ al$
⟨*proof*⟩

lemma *map-ran-cong*[*fundef-cong*]:
$[\![\bigwedge x\ .\ x \in set\ m1 \Longrightarrow f1\ (fst\ x)\ (snd\ x) = f2\ (fst\ x)\ (snd\ x)\ ;\ m1 = m2\ ]\!]$
$\Longrightarrow map\text{-}ran\ f1\ m1 = map\text{-}ran\ f2\ m2$
⟨*proof*⟩

lemma *domA-map-ran*[*simp*]: $domA\ (map\text{-}ran\ f\ m) = domA\ m$
⟨*proof*⟩

lemma *map-ran-delete*:
$map\text{-}ran\ f\ (delete\ x\ \Gamma) = delete\ x\ (map\text{-}ran\ f\ \Gamma)$
⟨*proof*⟩

lemma *map-ran-restrictA*:
$map\text{-}ran\ f\ (restrictA\ V\ \Gamma) = restrictA\ V\ (map\text{-}ran\ f\ \Gamma)$
⟨*proof*⟩

lemma *map-ran-append*:
$map\text{-}ran\ f\ (\Gamma@\Delta) = map\text{-}ran\ f\ \Gamma\ @\ map\text{-}ran\ f\ \Delta$

⟨*proof*⟩

2.2.3 Syntax for map comprehensions

definition *mapCollect* :: $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a \rightharpoonup 'b) \Rightarrow 'c\ set$
where $mapCollect\ f\ m = \{f\ k\ v \mid k\ v\ .\ m\ k = Some\ v\}$

syntax
-*MapCollect* :: $'c \Rightarrow pttrn \Rightarrow pttrn \Rightarrow 'a \rightharpoonup 'b \Rightarrow 'c\ set$ ((1{- |/-/↦/-/∈/-/}))
translations
$\{e \mid k{\mapsto}v \in m\} == CONST\ mapCollect\ (\lambda k\ v.\ e)\ m$

lemma *mapCollect-empty*[*simp*]: $\{f\ k\ v \mid k \mapsto v \in Map.empty\} = \{\}$
⟨*proof*⟩

lemma *mapCollect-const*[*simp*]:
$m \neq Map.empty \Longrightarrow \{e \mid k{\mapsto}v{\in}m\} = \{e\}$
⟨*proof*⟩

lemma *mapCollect-cong*[*fundef-cong*]:
$(\bigwedge k\ v.\ m1\ k = Some\ v \Longrightarrow f1\ k\ v = f2\ k\ v) \Longrightarrow m1 = m2 \Longrightarrow mapCollect\ f1\ m1 = mapCollect\ f2\ m2$
⟨*proof*⟩

lemma *mapCollectE*[*elim*!]:
assumes $x \in \{f\ k\ v \mid k \mapsto v \in m\}$
obtains $k\ v$ **where** $m\ k = Some\ v$ **and** $x = f\ k\ v$
⟨*proof*⟩

lemma *mapCollectI*[*intro*]:
assumes $m\ k = Some\ v$
shows $f\ k\ v \in \{f\ k\ v \mid k \mapsto v \in m\}$
⟨*proof*⟩

lemma *ball-mapCollect*[*simp*]:
$(\forall\ x \in \{f\ k\ v \mid k \mapsto v \in m\}.\ P\ x) \longleftrightarrow (\forall\ k\ v.\ m\ k = Some\ v \longrightarrow P\ (f\ k\ v))$
⟨*proof*⟩

lemma *image-mapCollect*[*simp*]:
$g\ `\ \{f\ k\ v \mid k \mapsto v \in m\} = \{\ g\ (f\ k\ v) \mid k \mapsto v \in m\}$
⟨*proof*⟩

lemma *mapCollect-map-upd*[*simp*]:
$mapCollect\ f\ (m(k{\mapsto}v)) = insert\ (f\ k\ v)\ (mapCollect\ f\ (m(k := None)))$
⟨*proof*⟩

definition *mapCollectFilter* :: $('a \Rightarrow 'b \Rightarrow (bool \times 'c)) \Rightarrow ('a \rightharpoonup 'b) \Rightarrow 'c\ set$

where *mapCollectFilter f m* = {*snd* (*f k v*) | *k v* . *m k* = *Some v* ∧ *fst* (*f k v*)}

syntax
-*MapCollectFilter* :: *'c* ⇒ *pttrn* ⇒ *pttrn* ⇒ (*'a* ⇀ *'b*) ⇒ *bool* ⇒ *'c set* ((*1*{- |/-/↦/-/∈/-/./ -}))
translations
{*e* | *k*↦*v* ∈ *m* . *P* } == *CONST mapCollectFilter* (λ*k v*. (*P*,*e*)) *m*

lemma *mapCollectFilter-const-False*[*simp*]:
{*e* | *k*↦*v* ∈ *m* . *False* } = {}
⟨*proof*⟩

lemma *mapCollectFilter-const-True*[*simp*]:
{*e* | *k*↦*v* ∈ *m* . *True* } = {*e* | *k*↦*v* ∈ *m*}
⟨*proof*⟩

end

2.3 Mono-Nat-Fun

theory *Mono−Nat−Fun*
imports *HOL−Library.Infinite-Set*
begin

The following lemma proves that a monotonous function from and to the natural numbers is either eventually constant or unbounded.

lemma *nat-mono-characterization*:
fixes *f* :: *nat* ⇒ *nat*
assumes *mono f*
obtains *n* **where** ⋀*m* . *n* ≤ *m* ⟹ *f n* = *f m* | ⋀ *m* . ∃ *n* . *m* ≤ *f n*
⟨*proof*⟩

end

2.4 Nominal-Utils

theory *Nominal−Utils*
imports *Nominal2.Nominal2 HOL−Library.AList*
begin

2.4.1 Lemmas helping with equivariance proofs

lemma *perm-rel-lemma*:
assumes ⋀ *π x y*. *r* (*π* ∙ *x*) (*π* ∙ *y*) ⟹ *r x y*
shows *r* (*π* ∙ *x*) (*π* ∙ *y*) ⟷ *r x y* (**is** *?l* ⟷ *?r*)
⟨*proof*⟩

lemma *perm-rel-lemma2*:
assumes $\bigwedge \pi\ x\ y.\ r\ x\ y \Longrightarrow r\ (\pi \cdot x)\ (\pi \cdot y)$
shows $r\ x\ y \longleftrightarrow r\ (\pi \cdot x)\ (\pi \cdot y)$ (**is** *?l* $\longleftrightarrow$ *?r*)
⟨*proof*⟩

lemma *fun-eqvtI*:
assumes *f-eqvt*[*eqvt*]: $(\bigwedge\ p\ x.\ p \cdot (f\ x) = f\ (p \cdot x))$
shows $p \cdot f = f$ ⟨*proof*⟩

lemma *eqvt-at-apply*:
assumes *eqvt-at f x*
shows $(p \cdot f)\ x = f\ x$
⟨*proof*⟩

lemma *eqvt-at-apply′*:
assumes *eqvt-at f x*
shows $p \cdot f\ x = f\ (p \cdot x)$
⟨*proof*⟩

lemma *eqvt-at-apply″*:
assumes *eqvt-at f x*
shows $(p \cdot f)\ (p \cdot x) = f\ (p \cdot x)$
⟨*proof*⟩

lemma *size-list-eqvt*[*eqvt*]: $p \cdot$ *size-list f x* = *size-list* $(p \cdot f)\ (p \cdot x)$
⟨*proof*⟩

2.4.2 Freshness via equivariance

lemma *eqvt-fresh-cong1*: $(\bigwedge p\ x.\ p \cdot (f\ x) = f\ (p \cdot x)) \Longrightarrow a \sharp x \Longrightarrow a \sharp f\ x$
⟨*proof*⟩

lemma *eqvt-fresh-cong2*:
assumes *eqvt*: $(\bigwedge p\ x\ y.\ p \cdot (f\ x\ y) = f\ (p \cdot x)\ (p \cdot y))$
and *fresh1*: $a \sharp x$ **and** *fresh2*: $a \sharp y$
shows $a \sharp f\ x\ y$
⟨*proof*⟩

lemma *eqvt-fresh-star-cong1*:
assumes *eqvt*: $(\bigwedge p\ x.\ p \cdot (f\ x) = f\ (p \cdot x))$
and *fresh1*: $a \sharp* x$
shows $a \sharp* f\ x$
⟨*proof*⟩

lemma *eqvt-fresh-star-cong2*:
assumes *eqvt*: $(\bigwedge p\ x\ y.\ p \cdot (f\ x\ y) = f\ (p \cdot x)\ (p \cdot y))$
and *fresh1*: $a \sharp* x$ **and** *fresh2*: $a \sharp* y$

shows $a \sharp* f\ x\ y$
⟨*proof*⟩

lemma *eqvt-fresh-cong3*:
assumes *eqvt*: $(\bigwedge p\ x\ y\ z.\ p \cdot (f\ x\ y\ z) = f\ (p \cdot x)\ (p \cdot y)\ (p \cdot z))$
and *fresh1*: $a \sharp x$ **and** *fresh2*: $a \sharp y$ **and** *fresh3*: $a \sharp z$
shows $a \sharp f\ x\ y\ z$
⟨*proof*⟩

lemma *eqvt-fresh-star-cong3*:
assumes *eqvt*: $(\bigwedge p\ x\ y\ z.\ p \cdot (f\ x\ y\ z) = f\ (p \cdot x)\ (p \cdot y)\ (p \cdot z))$
and *fresh1*: $a \sharp* x$ **and** *fresh2*: $a \sharp* y$ **and** *fresh3*: $a \sharp* z$
shows $a \sharp* f\ x\ y\ z$
⟨*proof*⟩

2.4.3 Additional simplification rules

lemma *not-self-fresh*[*simp*]: $atom\ x \sharp x \longleftrightarrow False$
⟨*proof*⟩

lemma *fresh-star-singleton*: $\{\ x\ \} \sharp* e \longleftrightarrow x \sharp e$
⟨*proof*⟩

2.4.4 Additional equivariance lemmas

lemma *eqvt-cases*:
fixes $f\ x\ \pi$
assumes *eqvt*: $\bigwedge x.\ \pi \cdot f\ x = f\ (\pi \cdot x)$
obtains $f\ x\ f\ (\pi \cdot x) \mid \neg f\ x \quad \neg f\ (\pi \cdot x)$
⟨*proof*⟩

lemma *range-eqvt*: $\pi \cdot range\ Y = range\ (\pi \cdot Y)$
⟨*proof*⟩

lemma *case-option-eqvt*[*eqvt*]:
$\pi \cdot case\text{-}option\ d\ f\ x = case\text{-}option\ (\pi \cdot d)\ (\pi \cdot f)\ (\pi \cdot x)$
⟨*proof*⟩

lemma *supp-option-eqvt*:
$supp\ (case\text{-}option\ d\ f\ x) \subseteq supp\ d \cup supp\ f \cup supp\ x$
⟨*proof*⟩

lemma *funpow-eqvt*[*simp*,*eqvt*]:
$\pi \cdot ((f :: 'a \Rightarrow 'a{::}pt)\ ^{\frown}\ n) = (\pi \cdot f)\ ^{\frown}\ (\pi \cdot n)$
⟨*proof*⟩

lemma *delete-eqvt*[*eqvt*]:
$\pi \cdot AList.delete\ x\ \Gamma = AList.delete\ (\pi \cdot x)\ (\pi \cdot \Gamma)$
⟨*proof*⟩

lemma *restrict-eqvt*[*eqvt*]:
$\pi \cdot \mathit{AList.restrict}\ S\ \Gamma = \mathit{AList.restrict}\ (\pi \cdot S)\ (\pi \cdot \Gamma)$
⟨*proof*⟩

lemma *supp-restrict*:
$\mathit{supp}\ (\mathit{AList.restrict}\ S\ \Gamma) \subseteq \mathit{supp}\ \Gamma$
⟨*proof*⟩

lemma *clearjunk-eqvt*[*eqvt*]:
$\pi \cdot \mathit{AList.clearjunk}\ \Gamma = \mathit{AList.clearjunk}\ (\pi \cdot \Gamma)$
⟨*proof*⟩

lemma *map-ran-eqvt*[*eqvt*]:
$\pi \cdot \mathit{map\text{-}ran}\ f\ \Gamma = \mathit{map\text{-}ran}\ (\pi \cdot f)\ (\pi \cdot \Gamma)$
⟨*proof*⟩

lemma *dom-perm*:
$\mathit{dom}\ (\pi \cdot f) = \pi \cdot (\mathit{dom}\ f)$
⟨*proof*⟩

lemmas *dom-perm-rev*[*simp*,*eqvt*] = *dom-perm*[*symmetric*]

lemma *ran-perm*[*simp*]:
$\pi \cdot (\mathit{ran}\ f) = \mathit{ran}\ (\pi \cdot f)$
⟨*proof*⟩

lemma *map-add-eqvt*[*eqvt*]:
$\pi \cdot (m1\ +\!\!+\ m2) = (\pi \cdot m1)\ +\!\!+\ (\pi \cdot m2)$
⟨*proof*⟩

lemma *map-of-eqvt*[*eqvt*]:
$\pi \cdot \mathit{map\text{-}of}\ l = \mathit{map\text{-}of}\ (\pi \cdot l)$
⟨*proof*⟩

lemma *concat-eqvt*[*eqvt*]: $\pi \cdot \mathit{concat}\ l = \mathit{concat}\ (\pi \cdot l)$
⟨*proof*⟩

lemma *tranclp-eqvt*[*eqvt*]: $\pi \cdot \mathit{tranclp}\ P\ v_1\ v_2 = \mathit{tranclp}\ (\pi \cdot P)\ (\pi \cdot v_1)\ (\pi \cdot v_2)$
⟨*proof*⟩

lemma *rtranclp-eqvt*[*eqvt*]: $\pi \cdot \mathit{rtranclp}\ P\ v_1\ v_2 = \mathit{rtranclp}\ (\pi \cdot P)\ (\pi \cdot v_1)\ (\pi \cdot v_2)$
⟨*proof*⟩

lemma *Set-filter-eqvt*[*eqvt*]: $\pi \cdot \mathit{Set.filter}\ P\ S = \mathit{Set.filter}\ (\pi \cdot P)\ (\pi \cdot S)$
⟨*proof*⟩

lemma *Sigma-eqvt′*[*eqvt*]: $\pi \cdot \mathit{Sigma} = \mathit{Sigma}$
⟨*proof*⟩

lemma *override-on-eqvt*[*eqvt*]:
$\pi \cdot (override\text{-}on\ m1\ m2\ S) = override\text{-}on\ (\pi \cdot m1)\ (\pi \cdot m2)\ (\pi \cdot S)$
⟨*proof*⟩

lemma *card-eqvt*[*eqvt*]:
$\pi \cdot (card\ S) = card\ (\pi \cdot S)$
⟨*proof*⟩

lemma *Projl-permute*:
assumes *a*: $\exists\, y.\ f = Inl\ y$
shows $(p \cdot (Sum\text{-}Type.projl\ f)) = Sum\text{-}Type.projl\ (p \cdot f)$
⟨*proof*⟩

lemma *Projr-permute*:
assumes *a*: $\exists\, y.\ f = Inr\ y$
shows $(p \cdot (Sum\text{-}Type.projr\ f)) = Sum\text{-}Type.projr\ (p \cdot f)$
⟨*proof*⟩

2.4.5 Freshness lemmas

lemma *fresh-list-elem*:
assumes $a \sharp \Gamma$
and $e \in set\ \Gamma$
shows $a \sharp e$
⟨*proof*⟩

lemma *set-not-fresh*:
$x \in set\ L \Longrightarrow \neg(atom\ x \sharp L)$
⟨*proof*⟩

lemma *pure-fresh-star*[*simp*]: $a \sharp* (x :: 'a :: pure)$
⟨*proof*⟩

lemma *supp-set-mem*: $x \in set\ L \Longrightarrow supp\ x \subseteq supp\ L$
⟨*proof*⟩

lemma *set-supp-mono*: $set\ L \subseteq set\ L2 \Longrightarrow supp\ L \subseteq supp\ L2$
⟨*proof*⟩

lemma *fresh-star-at-base*:
fixes $x :: 'a :: at\text{-}base$
shows $S \sharp* x \longleftrightarrow atom\ x \notin S$
⟨*proof*⟩

2.4.6 Freshness and support for subsets of variables

lemma *supp-mono*: $finite\ (B::'a::fs\ set) \Longrightarrow A \subseteq B \Longrightarrow supp\ A \subseteq supp\ B$
⟨*proof*⟩

lemma *fresh-subset*:
finite $B \Longrightarrow x \sharp (B :: 'a::at\text{-}base\ set) \Longrightarrow A \subseteq B \Longrightarrow x \sharp A$
⟨*proof*⟩

lemma *fresh-star-subset*:
finite $B \Longrightarrow x \sharp* (B :: 'a::at\text{-}base\ set) \Longrightarrow A \subseteq B \Longrightarrow x \sharp* A$
⟨*proof*⟩

lemma *fresh-star-set-subset*:
$x \sharp* (B :: 'a::at\text{-}base\ list) \Longrightarrow set\ A \subseteq set\ B \Longrightarrow x \sharp* A$
⟨*proof*⟩

2.4.7 The set of free variables of an expression

definition $fv :: 'a::pt \Rightarrow 'b::at\text{-}base\ set$
where $fv\ e = \{v.\ atom\ v \in supp\ e\}$

lemma *fv-eqvt*[*simp*,*eqvt*]: $\pi \cdot (fv\ e) = fv\ (\pi \cdot e)$
⟨*proof*⟩

lemma *fv-Nil*[*simp*]: $fv\ [] = \{\}$
⟨*proof*⟩
lemma *fv-Cons*[*simp*]: $fv\ (x \#\ xs) = fv\ x \cup fv\ xs$
⟨*proof*⟩
lemma *fv-Pair*[*simp*]: $fv\ (x,\ y) = fv\ x \cup fv\ y$
⟨*proof*⟩
lemma *fv-append*[*simp*]: $fv\ (x\ @\ y) = fv\ x \cup fv\ y$
⟨*proof*⟩
lemma *fv-at-base*[*simp*]: $fv\ a = \{a::'a::at\text{-}base\}$
⟨*proof*⟩
lemma *fv-pure*[*simp*]: $fv\ (a::'a::pure) = \{\}$
⟨*proof*⟩

lemma *fv-set-at-base*[*simp*]: $fv\ (l :: ('a :: at\text{-}base)\ list) = set\ l$
⟨*proof*⟩

lemma *flip-not-fv*: $a \notin fv\ x \Longrightarrow b \notin fv\ x \Longrightarrow (a \leftrightarrow b) \cdot x = x$
⟨*proof*⟩

lemma *fv-not-fresh*: $atom\ x \sharp e \longleftrightarrow x \notin fv\ e$
⟨*proof*⟩

lemma *fresh-fv*: *finite* $(fv\ e :: 'a\ set) \Longrightarrow\ atom\ (x :: ('a::at\text{-}base)) \sharp (fv\ e :: 'a\ set) \longleftrightarrow atom\ x \sharp e$
⟨*proof*⟩

lemma *finite-fv*[*simp*]: *finite* $(fv\ (e::'a::fs) :: ('b::at\text{-}base)\ set)$
⟨*proof*⟩

definition *fv-list* :: *'a::fs* ⇒ *'b::at-base list*
  where *fv-list e* = (*SOME l. set l* = *fv e*)

lemma *set-fv-list*[*simp*]: *set* (*fv-list e*) = (*fv e* :: (*'b::at-base*) *set*)
⟨*proof*⟩

lemma *fresh-fv-list*[*simp*]:
  a ♯ (*fv-list e* :: *'b::at-base list*) ⟷ *a* ♯ (*fv e* :: *'b::at-base set*)
⟨*proof*⟩

2.4.8 Other useful lemmas

lemma *pure-permute-id*: *permute p* = (λ *x*. (*x::'a::pure*))
  ⟨*proof*⟩

lemma *supp-set-elem-finite*:
  assumes *finite S*
  and (*m::'a::fs*) ∈ *S*
  and *y* ∈ *supp m*
  shows *y* ∈ *supp S*
  ⟨*proof*⟩

lemmas *fresh-star-Cons* = *fresh-star-list*(*2*)

lemma *mem-permute-set*:
  shows *x* ∈ *p* ∙ *S* ⟷ (− *p* ∙ *x*) ∈ *S*
  ⟨*proof*⟩

lemma *flip-set-both-not-in*:
  assumes *x* ∉ *S* **and** *x'* ∉ *S*
  shows ((*x'* ↔ *x*) ∙ *S*) = *S*
  ⟨*proof*⟩

lemma *inj-atom*: *inj atom* ⟨*proof*⟩

lemmas *image-Int*[*OF inj-atom*, *simp*]

lemma *eqvt-uncurry*: *eqvt f* ⟹ *eqvt* (*case-prod f*)
  ⟨*proof*⟩

lemma *supp-fun-app-eqvt2*:
  assumes *a*: *eqvt f*
  shows *supp* (*f x y*) ⊆ *supp x* ∪ *supp y*
⟨*proof*⟩

lemma *supp-fun-app-eqvt3*:
  assumes *a*: *eqvt f*
  shows *supp* (*f x y z*) ⊆ *supp x* ∪ *supp y* ∪ *supp z*

⟨*proof*⟩

lemma *permute-0*[*simp*]: *permute 0* = (λ *x*. *x*)
⟨*proof*⟩
lemma *permute-comp*[*simp*]: *permute x* ∘ *permute y* = *permute* (*x* + *y*) ⟨*proof*⟩

lemma *map-permute*: *map* (*permute p*) = *permute p*
⟨*proof*⟩

lemma *fresh-star-restrictA*[*intro*]: *a* ♯∗ Γ ⟹ *a* ♯∗ *AList.restrict V* Γ
⟨*proof*⟩

lemma *Abs-lst-Nil-eq*[*simp*]: [[]]*lst*. (*x*::′*a*::*fs*) = [*xs*]*lst*. *x*′ ⟷ ((),*x*) = (*xs*, *x*′))
⟨*proof*⟩

lemma *Abs-lst-Nil-eq2*[*simp*]: [*xs*]*lst*. (*x*::′*a*::*fs*) = [[]]*lst*. *x*′ ⟷ ((*xs*,*x*) = ([], *x*′))
⟨*proof*⟩

end

2.5 AList-Utils-Nominal

theory *AList−Utils−Nominal*
imports *AList−Utils Nominal−Utils*
begin

2.5.1 Freshness lemmas related to associative lists

lemma *domA-not-fresh*:
x ∈ *domA* Γ ⟹ ¬(*atom x* ♯ Γ)
⟨*proof*⟩

lemma *fresh-delete*:
assumes *a* ♯ Γ
shows *a* ♯ *delete v* Γ
⟨*proof*⟩

lemma *fresh-star-delete*:
assumes *S* ♯∗ Γ
shows *S* ♯∗ *delete v* Γ
⟨*proof*⟩

lemma *fv-delete-subset*:
fv (*delete v* Γ) ⊆ *fv* Γ
⟨*proof*⟩

lemma *fresh-heap-expr*:
assumes *a* ♯ Γ
and (*x*,*e*) ∈ *set* Γ
shows *a* ♯ *e*
⟨*proof*⟩

lemma *fresh-heap-expr′*:
assumes *a* ♯ Γ
and *e* ∈ *snd* ‘ *set* Γ
shows *a* ♯ *e*
⟨*proof*⟩

lemma *fresh-star-heap-expr′*:
assumes *S* ♯∗ Γ
and *e* ∈ *snd* ‘ *set* Γ
shows *S* ♯∗ *e*
⟨*proof*⟩

lemma *fresh-map-of*:
assumes *x* ∈ *domA* Γ
assumes *a* ♯ Γ
shows *a* ♯ *the* (*map-of* Γ *x*)
⟨*proof*⟩

lemma *fresh-star-map-of*:
assumes *x* ∈ *domA* Γ
assumes *a* ♯∗ Γ
shows *a* ♯∗ *the* (*map-of* Γ *x*)
⟨*proof*⟩

lemma *domA-fv-subset*: *domA* Γ ⊆ *fv* Γ
⟨*proof*⟩

lemma *map-of-fv-subset*: *x* ∈ *domA* Γ ⟹ *fv* (*the* (*map-of* Γ *x*)) ⊆ *fv* Γ
⟨*proof*⟩

lemma *map-of-Some-fv-subset*: *map-of* Γ *x* = *Some e* ⟹ *fv e* ⊆ *fv* Γ
⟨*proof*⟩

2.5.2 Equivariance lemmas

lemma *domA*[*eqvt*]:
π ∙ *domA* Γ = *domA* (π ∙ Γ)
⟨*proof*⟩

lemma *mapCollect*[*eqvt*]:
$\pi \cdot mapCollect\ f\ m = mapCollect\ (\pi \cdot f)\ (\pi \cdot m)$
⟨*proof*⟩

2.5.3 Freshness and distinctness

lemma *fresh-distinct*:
assumes $atom\ `\ S\ \sharp*\ \Gamma$
shows $S \cap domA\ \Gamma = \{\}$
⟨*proof*⟩

lemma *fresh-distinct-list*:
assumes $atom\ `\ S\ \sharp*\ l$
shows $S \cap set\ l = \{\}$
⟨*proof*⟩

lemma *fresh-distinct-fv*:
assumes $atom\ `\ S\ \sharp*\ l$
shows $S \cap fv\ l = \{\}$
⟨*proof*⟩

2.5.4 Pure codomains

lemma *domA-fv-pure*:
fixes $\Gamma :: ('a::at\text{-}base \times 'b::pure)\ list$
shows $fv\ \Gamma = domA\ \Gamma$
⟨*proof*⟩

lemma *domA-fresh-pure*:
fixes $\Gamma :: ('a::at\text{-}base \times 'b::pure)\ list$
shows $x \in domA\ \Gamma \longleftrightarrow \neg(atom\ x\ \sharp\ \Gamma)$
⟨*proof*⟩

end

2.6 HOLCF-Utils

theory *HOLCF−Utils*
imports *HOLCF Pointwise*
begin

default-sort *type*

lemmas *cont-fun*[*simp*]
lemmas *cont2cont-fun*[*simp*]

lemma *cont-compose2*:
assumes $\bigwedge y.\ cont\ (\lambda\ x.\ c\ x\ y)$
assumes $\bigwedge x.\ cont\ (\lambda\ y.\ c\ x\ y)$

assumes *cont f*
assumes *cont g*
shows *cont* ($\lambda x.\ c\ (f\ x)\ (g\ x)$)
⟨*proof*⟩

lemma *pointwise-adm*:
fixes $P :: 'a{::}pcpo \Rightarrow 'b{::}pcpo \Rightarrow bool$
assumes *adm* ($\lambda\ x.\ P\ (fst\ x)\ (snd\ x)$)
shows *adm* ($\lambda\ m.\ pointwise\ P\ (fst\ m)\ (snd\ m)$)
⟨*proof*⟩

lemma *cfun-beta-Pair*:
assumes *cont* ($\lambda\ p.\ f\ (fst\ p)\ (snd\ p)$)
shows *csplit*·($\Lambda\ a\ b\ .\ f\ a\ b$)·$(x,\ y) = f\ x\ y$
⟨*proof*⟩

lemma *fun-upd-mono*:
$\varrho 1 \sqsubseteq \varrho 2 \Longrightarrow v1 \sqsubseteq v2 \Longrightarrow \varrho 1(x := v1) \sqsubseteq \varrho 2(x := v2)$
⟨*proof*⟩

lemma *fun-upd-cont*[*simp*,*cont2cont*]:
assumes *cont f* **and** *cont h*
shows *cont* ($\lambda\ x.\ (f\ x)(v := h\ x) :: 'a \Rightarrow 'b{::}pcpo$)
⟨*proof*⟩

lemma *fun-upd-belowI*:
assumes $\bigwedge\ z\ .\ z \neq x \Longrightarrow \varrho\ z \sqsubseteq \varrho'\ z$
assumes $y \sqsubseteq \varrho'\ x$
shows $\varrho(x := y) \sqsubseteq \varrho'$
⟨*proof*⟩

lemma *cont-if-else-above*:
assumes *cont f*
assumes *cont g*
assumes $\bigwedge\ x.\ f\ x \sqsubseteq g\ x$
assumes $\bigwedge\ x\ y.\ x \sqsubseteq y \Longrightarrow P\ y \Longrightarrow P\ x$
assumes *adm P*
shows *cont* ($\lambda x.$ *if P x then f x else g x*) (**is** *cont ?I*)
⟨*proof*⟩

fun *up2option* :: $'a{::}cpo_\perp \Rightarrow 'a\ option$
where *up2option Ibottom* = *None*
| *up2option* (*Iup a*) = *Some a*

lemma *up2option-simps*[*simp*]:
up2option $\perp$ = *None*
up2option (*up*·*x*) = *Some x*

⟨*proof*⟩

fun *option2up* :: *'a option* ⇒ *'a*::*cpo*$_\perp$
  where *option2up None* = ⊥
  | *option2up* (*Some a*) = *up*·*a*

lemma *option2up-up2option*[*simp*]:
  option2up (*up2option x*) = *x*
  ⟨*proof*⟩
lemma *up2option-option2up*[*simp*]:
  up2option (*option2up x*) = *x*
  ⟨*proof*⟩

lemma *adm-subst2*: *cont f* ⟹ *cont g* ⟹ *adm* (λ*x*. *f* (*fst x*) = *g* (*snd x*))
  ⟨*proof*⟩

2.6.1 Composition of fun and cfun

lemma *cont2cont-comp* [*simp*, *cont2cont*]:
  assumes *cont f*
  assumes ⋀ *x*. *cont* (*f x*)
  assumes *cont g*
  shows *cont* (λ *x*. (*f x*) ∘ (*g x*))
  ⟨*proof*⟩

definition *cfun-comp* :: (*'a*::*pcpo* → *'b*::*pcpo*) → (*'c*::*type* ⇒ *'a*) → (*'c*::*type* ⇒ *'b*)
  where *cfun-comp* = (Λ *f* ϱ. (λ *x*. *f*·*x*) ∘ ϱ)

lemma [*simp*]: *cfun-comp*·*f*·(ϱ(*x* := *v*)) = (*cfun-comp*·*f*·ϱ)(*x* := *f*·*v*)
  ⟨*proof*⟩

lemma *cfun-comp-app*[*simp*]: (*cfun-comp*·*f*·ϱ) *x* = *f*·(ϱ *x*)
  ⟨*proof*⟩

lemma *fix-eq-fix*:
  f·(*fix*·*g*) ⊑ *fix*·*g* ⟹ *g*·(*fix*·*f*) ⊑ *fix*·*f* ⟹ *fix*·*f* = *fix*·*g*
  ⟨*proof*⟩

2.6.2 Additional transitivity rules

These collect side-conditions of the form *cont f*, so the usual way to discharge them is to write *by this* (*intro cont2cont*)+ at the end.

lemma *below-trans-cong*[*trans*]:
  a ⊑ *f x* ⟹ *x* ⊑ *y* ⟹ *cont f* ⟹ *a* ⊑ *f y*
⟨*proof*⟩

lemma *not-bot-below-trans*[*trans*]:
  a ≠ ⊥ ⟹ *a* ⊑ *b* ⟹ *b* ≠ ⊥

⟨*proof*⟩

lemma *not-bot-below-trans-cong*[*trans*]:
$f\ a \neq \bot \Longrightarrow a \sqsubseteq b \Longrightarrow cont\ f \Longrightarrow f\ b \neq \bot$
⟨*proof*⟩

end

2.7 HOLCF-Meet

theory *HOLCF−Meet*
imports *HOLCF*
begin

This theory defines the $\sqcap$ operator on HOLCF domains, and introduces a type class for domains where all finite meets exist.

2.7.1 Towards meets: Lower bounds

context *po*
begin
definition *is-lb* :: $'a\ set \Rightarrow 'a \Rightarrow bool$ (**infix** $>|$ *55*) **where**
$S >|\ x \longleftrightarrow (\forall y{\in}S.\ x \sqsubseteq y)$

lemma *is-lbI*: $(!!x.\ x \in S ==> l \sqsubseteq x) ==> S >|\ l$
⟨*proof*⟩

lemma *is-lbD*: $[|S >|\ l;\ x \in S|] ==> l \sqsubseteq x$
⟨*proof*⟩

lemma *is-lb-empty* [*simp*]: $\{\} >|\ l$
⟨*proof*⟩

lemma *is-lb-insert* [*simp*]: $(insert\ x\ A) >|\ y = (y \sqsubseteq x \wedge A >|\ y)$
⟨*proof*⟩

lemma *is-lb-downward*: $[|S >|\ l;\ y \sqsubseteq l|] ==> S >|\ y$
⟨*proof*⟩

2.7.2 Greatest lower bounds

definition *is-glb* :: $'a\ set \Rightarrow 'a \Rightarrow bool$ (**infix** $>>|$ *55*) **where**
$S >>|\ x \longleftrightarrow S >|\ x \wedge (\forall u.\ S >|\ u \dashrightarrow u \sqsubseteq x)$

definition *glb* :: $'a\ set \Rightarrow 'a$ ($\bigsqcap$- [*60*]*60*) **where**
$glb\ S = (THE\ x.\ S >>|\ x)$

Access to the definition as inference rule

lemma *is-glbD1*: $S >>| x ==> S >| x$
⟨*proof*⟩

lemma *is-glbD2*: $[|S >>| x; S >| u|] ==> u \sqsubseteq x$
⟨*proof*⟩

lemma (**in** *po*) *is-glbI*: $[|S >| x; !!u.\ S >| u ==> u \sqsubseteq x|] ==> S >>| x$
⟨*proof*⟩

lemma *is-glb-above-iff*: $S >>| x ==> u \sqsubseteq x \longleftrightarrow S >| u$
⟨*proof*⟩

glbs are unique

lemma *is-glb-unique*: $[|S >>| x; S >>| y|] ==> x = y$
⟨*proof*⟩

technical lemmas about *glb* and $(>>|)$

lemma *is-glb-glb*: $M >>| x ==> M >>| glb\ M$
⟨*proof*⟩

lemma *glb-eqI*: $M >>| l ==> glb\ M = l$
⟨*proof*⟩

lemma *is-glb-singleton*: $\{x\} >>| x$
⟨*proof*⟩

lemma *glb-singleton* [*simp*]: $glb\ \{x\} = x$
⟨*proof*⟩

lemma *is-glb-bin*: $x \sqsubseteq y ==> \{x, y\} >>| x$
⟨*proof*⟩

lemma *glb-bin*: $x \sqsubseteq y ==> glb\ \{x, y\} = x$
⟨*proof*⟩

lemma *is-glb-maximal*: $[|S >| x; x \in S|] ==> S >>| x$
⟨*proof*⟩

lemma *glb-maximal*: $[|S >| x; x \in S|] ==> glb\ S = x$
⟨*proof*⟩

lemma *glb-above*: $S >>| z \Longrightarrow x \sqsubseteq glb\ S \longleftrightarrow S >| x$
⟨*proof*⟩
end

lemma (**in** *cpo*) *Meet-insert*: $S >>| l \Longrightarrow \{x, l\} >>| l2 \Longrightarrow insert\ x\ S >>| l2$
⟨*proof*⟩

Binary, hence finite meets.

class *Finite-Meet-cpo* = *cpo* +
  assumes *binary-meet-exists*: $\exists\ l.\ l \sqsubseteq x \wedge l \sqsubseteq y \wedge (\forall\ z.\ z \sqsubseteq x \longrightarrow z \sqsubseteq y \longrightarrow z \sqsubseteq l)$
begin

  lemma *binary-meet-exists'*: $\exists l.\ \{x, y\} >>| l$
    ⟨*proof*⟩

  lemma *finite-meet-exists*:
    assumes $S \neq \{\}$
    and *finite* S
    shows $\exists x.\ S >>| x$
  ⟨*proof*⟩
end

definition *meet* :: *'a*::*cpo* ⇒ *'a* ⇒ *'a* (**infix** ⊓ *80*) **where**
  $x \sqcap y = (\mathit{if}\ \exists\ z.\ \{x, y\} >>|\ z\ \mathit{then}\ \mathit{glb}\ \{x, y\}\ \mathit{else}\ x)$

lemma *meet-def'*: (*x*::*'a*::*Finite-Meet-cpo*) ⊓ *y* = *glb* {*x*, *y*}
  ⟨*proof*⟩

lemma *meet-comm*: (*x*::*'a*::*Finite-Meet-cpo*) ⊓ *y* = *y* ⊓ *x* ⟨*proof*⟩

lemma *meet-bot1*[*simp*]:
  fixes *y* :: *'a* :: {*Finite-Meet-cpo*,*pcpo*}
  shows (⊥ ⊓ *y*) = ⊥ ⟨*proof*⟩
lemma *meet-bot2*[*simp*]:
  fixes *x* :: *'a* :: {*Finite-Meet-cpo*,*pcpo*}
  shows (*x* ⊓ ⊥) = ⊥ ⟨*proof*⟩

lemma *meet-below1*[*intro*]:
  fixes *x y* :: *'a* :: *Finite-Meet-cpo*
  assumes $x \sqsubseteq z$
  shows $(x \sqcap y) \sqsubseteq z$ ⟨*proof*⟩
lemma *meet-below2*[*intro*]:
  fixes *x y* :: *'a* :: *Finite-Meet-cpo*
  assumes $y \sqsubseteq z$
  shows $(x \sqcap y) \sqsubseteq z$ ⟨*proof*⟩

lemma *meet-above-iff*:
  fixes *x y z* :: *'a* :: *Finite-Meet-cpo*
  shows $z \sqsubseteq x \sqcap y \longleftrightarrow z \sqsubseteq x \wedge z \sqsubseteq y$
⟨*proof*⟩

lemma *below-meet*[*simp*]:
  fixes *x y* :: *'a* :: *Finite-Meet-cpo*
  assumes $x \sqsubseteq z$
  shows $(x \sqcap z) = x$ ⟨*proof*⟩

lemma *below-meet2*[*simp*]:
fixes $x\ y :: 'a :: Finite\text{-}Meet\text{-}cpo$
assumes $z \sqsubseteq x$
shows $(x \sqcap z) = z$ ⟨*proof*⟩

lemma *meet-aboveI*:
fixes $x\ y\ z :: 'a :: Finite\text{-}Meet\text{-}cpo$
shows $z \sqsubseteq x \Longrightarrow z \sqsubseteq y \Longrightarrow z \sqsubseteq x \sqcap y$ ⟨*proof*⟩

lemma *is-meetI*:
fixes $x\ y\ z :: 'a :: Finite\text{-}Meet\text{-}cpo$
assumes $z \sqsubseteq x$
assumes $z \sqsubseteq y$
assumes $\bigwedge a.\ [\![\ a \sqsubseteq x\ ;\ a \sqsubseteq y\ ]\!] \Longrightarrow a \sqsubseteq z$
shows $x \sqcap y = z$
⟨*proof*⟩

lemma *meet-assoc*[*simp*]: $((x::'a::Finite\text{-}Meet\text{-}cpo) \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$
⟨*proof*⟩

lemma *meet-self*[*simp*]: $r \sqcap r = (r::'a::Finite\text{-}Meet\text{-}cpo)$
⟨*proof*⟩

lemma [*simp*]: $(r::'a::Finite\text{-}Meet\text{-}cpo) \sqcap (r \sqcap x) = r \sqcap x$
⟨*proof*⟩

lemma *meet-monofun1*:
fixes $y :: 'a :: Finite\text{-}Meet\text{-}cpo$
shows *monofun* $(\lambda x.\ (x \sqcap y))$
⟨*proof*⟩

lemma *chain-meet1*:
fixes $y :: 'a :: Finite\text{-}Meet\text{-}cpo$
assumes *chain* Y
shows *chain* $(\lambda\ i.\ Y\ i \sqcap y)$
⟨*proof*⟩

class *cont-binary-meet* = *Finite-Meet-cpo* +
assumes *meet-cont'*: *chain* $Y \Longrightarrow (\bigsqcup\ i.\ Y\ i) \sqcap y = (\bigsqcup\ i.\ Y\ i \sqcap y)$

lemma *meet-cont1*:
fixes $y :: 'a :: cont\text{-}binary\text{-}meet$
shows *cont* $(\lambda x.\ (x \sqcap y))$
⟨*proof*⟩

lemma *meet-cont2*:
fixes $x :: 'a :: cont\text{-}binary\text{-}meet$
shows *cont* $(\lambda y.\ (x \sqcap y))$ ⟨*proof*⟩

lemma *meet-cont*[*cont2cont*,*simp*]:*cont f* $\Longrightarrow$ *cont g* $\Longrightarrow$ *cont* ($\lambda x.$ (*f x* $\sqcap$ (*g x*::'*a*::*cont-binary-meet*)))
⟨*proof*⟩

end

2.8 Nominal-HOLCF

theory *Nominal−HOLCF*
imports
Nominal−Utils HOLCF−Utils
begin

2.8.1 Type class of continuous permutations and variations thereof

class *cont-pt* =
cpo +
pt +
assumes *perm-cont*: $\bigwedge p.$ *cont* ((*permute p*) :: '*a*::{*cpo*,*pt*} $\Rightarrow$ '*a*)

class *discr-pt* =
discrete-cpo +
pt

class *pcpo-pt* =
cont-pt +
pcpo

instance *pcpo-pt* $\subseteq$ *cont-pt*
⟨*proof*⟩

instance *discr-pt* $\subseteq$ *cont-pt*
⟨*proof*⟩

lemma (**in** *cont-pt*) *perm-cont-simp*[*simp*]: $\pi \cdot x \sqsubseteq \pi \cdot y \longleftrightarrow x \sqsubseteq y$
⟨*proof*⟩

lemma (**in** *cont-pt*) *perm-below-to-right*: $\pi \cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq - \pi \cdot y$
⟨*proof*⟩

lemma *perm-is-ub-simp*[*simp*]: $\pi \cdot S <| \pi \cdot (x::'a::cont\text{-}pt) \longleftrightarrow S <| x$
⟨*proof*⟩

lemma *perm-is-ub-eqvt*[*simp*,*eqvt*]: $S <| (x::'a::cont\text{-}pt) \Longrightarrow \pi \cdot S <| \pi \cdot x$
⟨*proof*⟩

lemma *perm-is-lub-simp*[*simp*]: $\pi \cdot S <<| \pi \cdot (x::'a::cont\text{-}pt) \longleftrightarrow S <<| x$
⟨*proof*⟩

lemma *perm-is-lub-eqvt*[*simp*,*eqvt*]: $S <<| (x::'a::cont\text{-}pt) ==> \pi \cdot S <<| \pi \cdot x$

⟨*proof*⟩

lemmas *perm-cont2cont*[*simp*,*cont2cont*] = *cont-compose*[*OF perm-cont*]

lemma *perm-still-cont*: *cont* (π ∙ *f*) = *cont* (*f* :: ('*a* :: *cont-pt*) ⇒ ('*b* :: *cont-pt*))
⟨*proof*⟩

lemma *perm-bottom*[*simp*,*eqvt*]: π ∙ ⊥ = (⊥::'*a*::{*cont-pt*,*pcpo*})
⟨*proof*⟩

lemma *bot-supp*[*simp*]: *supp* (⊥ :: '*a* :: *pcpo-pt*) = {}
⟨*proof*⟩

lemma *bot-fresh*[*simp*]: *a* ♯ (⊥ :: '*a* :: *pcpo-pt*)
⟨*proof*⟩

lemma *bot-fresh-star*[*simp*]: *a* ♯* (⊥ :: '*a* :: *pcpo-pt*)
⟨*proof*⟩

lemma *below-eqvt* [*eqvt*]:
π ∙ (*x* ⊑ *y*) = (π ∙ *x* ⊑ π ∙ (*y*::'*a*::*cont-pt*)) ⟨*proof*⟩

lemma *lub-eqvt*[*simp*]:
(∃ *z*. *S* <<| (*z*::'*a*::{*cont-pt*})) ⟹ π ∙ *lub S* = *lub* (π ∙ *S*)
⟨*proof*⟩

lemma *chain-eqvt*[*eqvt*]:
fixes *F* :: *nat* ⇒ '*a*::*cont-pt*
shows *chain F* ⟹ *chain* (π ∙ *F*)
⟨*proof*⟩

2.8.2 Instance for *cfun*

instantiation *cfun* :: (*cont-pt*, *cont-pt*) *pt*
begin
definition *p* ∙ (*f* :: '*a* → '*b*) = (Λ *x*. *p* ∙ (*f* ∙ (− *p* ∙ *x*)))

instance
⟨*proof*⟩
end

lemma *permute-cfun-eq*: *permute p* = (λ *f*. (*Abs-cfun* (*permute p*)) *oo f oo* (*Abs-cfun* (*permute* (−*p*))))
⟨*proof*⟩

lemma *Cfun-app-eqvt*[*eqvt*]:
π ∙ (*f* ∙ *x*) = (π ∙ *f*) ∙ (π ∙ *x*)
⟨*proof*⟩

lemma *permute-Lam*: $cont\ f \Longrightarrow p \cdot (\Lambda\ x.\ f\ x) = (\Lambda\ x.\ (p \cdot f)\ x)$
⟨*proof*⟩

lemma *Abs-cfun-eqvt*: $cont\ f \Longrightarrow (p \cdot Abs\text{-}cfun)\ f = Abs\text{-}cfun\ f$
⟨*proof*⟩

lemma *cfun-eqvtI*: $(\bigwedge x.\ p \cdot (f \cdot x) = f' \cdot (p \cdot x)) \Longrightarrow p \cdot f = f'$
⟨*proof*⟩

lemma *ID-eqvt*[*eqvt*]: $\pi \cdot ID = ID$
⟨*proof*⟩

instance *cfun* :: (*cont-pt*, *cont-pt*) *cont-pt*
⟨*proof*⟩

instance *cfun* :: ({*pure*,*cont-pt*}, {*pure*,*cont-pt*}) *pure*
⟨*proof*⟩

instance *cfun* :: (*cont-pt*, *pcpo-pt*) *pcpo-pt*
⟨*proof*⟩

2.8.3 Instance for *fun*

lemma *permute-fun-eq*: $permute\ p = (\lambda\ f.\ (permute\ p) \circ f \circ (permute\ (-p)))$
⟨*proof*⟩

instance *fun* :: (*pt*, *cont-pt*) *cont-pt*
⟨*proof*⟩

lemma *fix-eqvt*[*eqvt*]:
$\pi \cdot fix = (fix :: ('a \to 'a) \to 'a::\{cont\text{-}pt,pcpo\})$
⟨*proof*⟩

2.8.4 Instance for *u*

instantiation *u* :: (*cont-pt*) *pt*
begin
definition $p \cdot (x :: 'a\ u) = fup{\cdot}(\Lambda\ x.\ up{\cdot}(p \cdot x)){\cdot}x$
instance
⟨*proof*⟩
end

instance *u* :: (*cont-pt*) *cont-pt*
⟨*proof*⟩

instance *u* :: (*cont-pt*) *pcpo-pt* ⟨*proof*⟩

class *pure-cont-pt* = *pure* + *cont-pt*

instance *u* :: (*pure-cont-pt*) *pure*

⟨*proof*⟩

lemma *up-eqvt*[*eqvt*]: $\pi \cdot up = up$
⟨*proof*⟩

lemma *fup-eqvt*[*eqvt*]: $\pi \cdot fup = fup$
⟨*proof*⟩

2.8.5 Instance for *lift*

instantiation *lift* :: (*pt*) *pt*
begin
definition $p \cdot (x :: 'a\ lift) = case\text{-}lift\ \bot\ (\lambda\ x.\ Def\ (p \cdot x))\ x$
instance
⟨*proof*⟩
end

instance *lift* :: (*pt*) *cont-pt*
⟨*proof*⟩

instance *lift* :: (*pt*) *pcpo-pt* ⟨*proof*⟩

instance *lift* :: (*pure*) *pure*
⟨*proof*⟩

lemma *Def-eqvt*[*eqvt*]: $\pi \cdot (Def\ x) = Def\ (\pi \cdot x)$
⟨*proof*⟩

lemma *case-lift-eqvt*[*eqvt*]: $\pi \cdot case\text{-}lift\ d\ f\ x = case\text{-}lift\ (\pi \cdot d)\ (\pi \cdot f)\ (\pi \cdot x)$
⟨*proof*⟩

2.8.6 Instance for *prod*

instance *prod* :: (*cont-pt*, *cont-pt*) *cont-pt*
⟨*proof*⟩

end

2.9 Env

theory *Env*
imports *Main HOLCF−Join−Classes*
begin

default-sort *type*

Our type for environments is a function with a pcpo as the co-domain; this theory collects related definitions.

2.9.1 The domain of a pcpo-valued function

definition *edom* :: (*'key* ⇒ *'value*::*pcpo*) ⇒ *'key set*
where *edom m* = {*x*. *m x* ≠ ⊥}

lemma *bot-edom*[*simp*]: *edom* ⊥ = {} ⟨*proof*⟩

lemma *bot-edom2*[*simp*]: *edom* (λ- . ⊥) = {} ⟨*proof*⟩

lemma *edomIff*: (*a* ∈ *edom m*) = (*m a* ≠ ⊥) ⟨*proof*⟩
lemma *edom-iff2*: (*m a* = ⊥) ⟷ (*a* ∉ *edom m*) ⟨*proof*⟩

lemma *edom-empty-iff-bot*: *edom m* = {} ⟷ *m* = ⊥
⟨*proof*⟩

lemma *lookup-not-edom*: *x* ∉ *edom m* ⟹ *m x* = ⊥ ⟨*proof*⟩

lemma *lookup-edom*[*simp*]: *m x* ≠ ⊥ ⟹ *x* ∈ *edom m* ⟨*proof*⟩

lemma *edom-mono*: *x* ⊑ *y* ⟹ *edom x* ⊆ *edom y*
⟨*proof*⟩

lemma *edom-subset-adm*[*simp*]:
adm (λ*ae'*. *edom ae'* ⊆ *S*)
⟨*proof*⟩

2.9.2 Updates

lemma *edom-fun-upd-subset*: *edom* (*h* (*x* := *v*)) ⊆ *insert x* (*edom h*)
⟨*proof*⟩

declare *fun-upd-same*[*simp*] *fun-upd-other*[*simp*]

2.9.3 Restriction

definition *env-restr* :: *'a set* ⇒ (*'a* ⇒ *'b*::*pcpo*) ⇒ (*'a* ⇒ *'b*)
where *env-restr S m* = (λ *x*. *if x* ∈ *S then m x else* ⊥)

abbreviation *env-restr-rev* (**infixl** *f*|‘ *110*)
where *env-restr-rev m S* ≡ *env-restr S m*

notation (*latex* **output**) *env-restr-rev* (-|_)

lemma *env-restr-empty-iff*[*simp*]: *m f*|‘ *S* = ⊥ ⟷ *edom m* ∩ *S* = {}
⟨*proof*⟩

lemmas *env-restr-empty* = *iffD2*[*OF env-restr-empty-iff*, *simp*]

lemma *lookup-env-restr*[*simp*]: $x \in S \Longrightarrow (m\ f|`\ S)\ x = m\ x$
⟨*proof*⟩

lemma *lookup-env-restr-not-there*[*simp*]: $x \notin S \Longrightarrow (\mathit{env\text{-}restr}\ S\ m)\ x = \bot$
⟨*proof*⟩

lemma *lookup-env-restr-eq*: $(m\ f|`\ S)\ x = (\mathit{if}\ x \in S\ \mathit{then}\ m\ x\ \mathit{else}\ \bot)$
⟨*proof*⟩

lemma *env-restr-eqI*: $(\bigwedge x.\ x \in S \Longrightarrow m_1\ x = m_2\ x) \Longrightarrow m_1\ f|`\ S = m_2\ f|`\ S$
⟨*proof*⟩

lemma *env-restr-eqD*: $m_1\ f|`\ S = m_2\ f|`\ S \Longrightarrow x \in S \Longrightarrow m_1\ x = m_2\ x$
⟨*proof*⟩

lemma *env-restr-belowI*: $(\bigwedge x.\ x \in S \Longrightarrow m_1\ x \sqsubseteq m_2\ x) \Longrightarrow m_1\ f|`\ S \sqsubseteq m_2\ f|`\ S$
⟨*proof*⟩

lemma *env-restr-belowD*: $m_1\ f|`\ S \sqsubseteq m_2\ f|`\ S \Longrightarrow x \in S \Longrightarrow m_1\ x \sqsubseteq m_2\ x$
⟨*proof*⟩

lemma *env-restr-env-restr*[*simp*]:
$x\ f|`\ d2\ f|`\ d1 = x\ f|`\ (d1 \cap d2)$
⟨*proof*⟩

lemma *env-restr-env-restr-subset*:
$d1 \subseteq d2 \Longrightarrow x\ f|`\ d2\ f|`\ d1 = x\ f|`\ d1$
⟨*proof*⟩

lemma *env-restr-useless*: $\mathit{edom}\ m \subseteq S \Longrightarrow m\ f|`\ S = m$
⟨*proof*⟩

lemma *env-restr-UNIV*[*simp*]: $m\ f|`\ \mathit{UNIV} = m$
⟨*proof*⟩

lemma *env-restr-fun-upd*[*simp*]: $x \in S \Longrightarrow m1(x := v)\ f|`\ S = (m1\ f|`\ S)(x := v)$
⟨*proof*⟩

lemma *env-restr-fun-upd-other*[*simp*]: $x \notin S \Longrightarrow m1(x := v)\ f|`\ S = m1\ f|`\ S$
⟨*proof*⟩

lemma *env-restr-eq-subset*:
assumes $S \subseteq S'$
and $m1\ f|`\ S' = m2\ f|`\ S'$
shows $m1\ f|`\ S = m2\ f|`\ S$
⟨*proof*⟩

lemma *env-restr-below-subset*:
assumes $S \subseteq S'$
and $m1\ f|`\ S' \sqsubseteq m2\ f|`\ S'$
shows $m1\ f|`\ S \sqsubseteq m2\ f|`\ S$
⟨*proof*⟩

lemma *edom-env*[*simp*]:
$edom\ (m\ f|`\ S) = edom\ m \cap S$
⟨*proof*⟩

lemma *env-restr-below-self*: $f\ f|`\ S \sqsubseteq f$
⟨*proof*⟩

lemma *env-restr-below-trans*:
$m1\ f|`\ S1 \sqsubseteq m2\ f|`\ S1 \Longrightarrow m2\ f|`\ S2 \sqsubseteq m3\ f|`\ S2 \Longrightarrow m1\ f|`\ (S1 \cap S2) \sqsubseteq m3\ f|`\ (S1 \cap S2)$
⟨*proof*⟩

lemma *env-restr-cont*: *cont* (*env-restr S*)
⟨*proof*⟩

lemma *env-restr-mono*: $m1 \sqsubseteq m2 \Longrightarrow\ m1\ f|`\ S \sqsubseteq m2\ f|`\ S$
⟨*proof*⟩

lemma *env-restr-mono2*: $S2 \subseteq S1 \Longrightarrow m\ f|`\ S2 \sqsubseteq m\ f|`\ S1$
⟨*proof*⟩

lemmas *cont-compose*[*OF env-restr-cont*, *cont2cont*, *simp*]

lemma *env-restr-cong*: $(\bigwedge x.\ edom\ m \subseteq S \cap S' \cup -S \cap -S') \Longrightarrow m\ f|`\ S = m\ f|`\ S'$
⟨*proof*⟩

2.9.4 Deleting

definition *env-delete* :: $'a \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b{::}pcpo)$
where $env\text{-}delete\ x\ m = m(x := \bot)$

lemma *lookup-env-delete*[*simp*]:
$x' \neq x \Longrightarrow env\text{-}delete\ x\ m\ x' = m\ x'$
⟨*proof*⟩

lemma *lookup-env-delete-None*[*simp*]:
$env\text{-}delete\ x\ m\ x = \bot$
⟨*proof*⟩

lemma *edom-env-delete*[*simp*]:
$edom\ (env\text{-}delete\ x\ m) = edom\ m - \{x\}$
⟨*proof*⟩

lemma *edom-env-delete-subset*:
edom (*env-delete x m*) ⊆ *edom m* ⟨*proof*⟩

lemma *env-delete-fun-upd*[*simp*]:
env-delete x (*m*(*x* := *v*)) = *env-delete x m*
⟨*proof*⟩

lemma *env-delete-fun-upd2*[*simp*]:
(*env-delete x m*)(*x* := *v*) = *m*(*x* := *v*)
⟨*proof*⟩

lemma *env-delete-fun-upd3*[*simp*]:
x ≠ *y* ⟹ *env-delete x* (*m*(*y* := *v*)) = (*env-delete x m*)(*y* := *v*)
⟨*proof*⟩

lemma *env-delete-noop*[*simp*]:
x ∉ *edom m* ⟹ *env-delete x m* = *m*
⟨*proof*⟩

lemma *fun-upd-env-delete*[*simp*]: *x* ∈ *edom* Γ ⟹ (*env-delete x* Γ)(*x* := Γ *x*) = Γ
⟨*proof*⟩

lemma *env-restr-env-delete-other*[*simp*]: *x* ∉ *S* ⟹ *env-delete x m f*|‘ *S* = *m f*|‘ *S*
⟨*proof*⟩

lemma *env-delete-restr*: *env-delete x m* = *m f*|‘ (−{*x*})
⟨*proof*⟩

lemma *below-env-deleteI*: *f x* = ⊥ ⟹ *f* ⊑ *g* ⟹ *f* ⊑ *env-delete x g*
⟨*proof*⟩

lemma *env-delete-below-cong*[*intro*]:
assumes *x* ≠ *v* ⟹ *e1 x* ⊑ *e2 x*
shows *env-delete v e1 x* ⊑ *env-delete v e2 x*
⟨*proof*⟩

lemma *env-delete-env-restr-swap*:
env-delete x (*env-restr S e*) = *env-restr S* (*env-delete x e*)
⟨*proof*⟩

lemma *env-delete-mono*:
m ⊑ *m*′ ⟹ *env-delete x m* ⊑ *env-delete x m*′
⟨*proof*⟩

lemma *env-delete-below-arg*:
env-delete x m ⊑ *m*
⟨*proof*⟩

2.9.5 Merging of two functions

We'd like to have some nice syntax for *override-on*.

abbreviation *override-on-syn* (- ++_ - [100, 0, 100] 100) **where** $f1 ++_S f2 \equiv$ *override-on f1 f2 S*

lemma *override-on-bot*[*simp*]:
$\bot ++_S m = m\ f|`\ S$
$m ++_S \bot = m\ f|`\ (-S)$
⟨*proof*⟩

lemma *edom-override-on*[*simp*]: *edom* $(m1 ++_S m2) =$ *edom* $m1 - S) \cup$ (*edom* $m2 \cap S)$
⟨*proof*⟩

lemma *lookup-override-on-eq*: $(m1 ++_S m2)\ x =$ (*if* $x \in S$ *then* $m2\ x$ *else* $m1\ x$)
⟨*proof*⟩

lemma *override-on-upd-swap*:
$x \notin S \Longrightarrow \varrho(x := z) ++_S \varrho' = (\varrho ++_S \varrho')(x := z)$
⟨*proof*⟩

lemma *override-on-upd*:
$x \in S \Longrightarrow \varrho ++_S (\varrho'(x := z)) = (\varrho ++_{S - \{x\}} \varrho')(x := z)$
⟨*proof*⟩

lemma *env-restr-add*: $(m1 ++_{S2} m2)\ f|`\ S = m1\ f|`\ S ++_{S2} m2\ f|`\ S$
⟨*proof*⟩

lemma *env-delete-add*: *env-delete* $x\ (m1 ++_S m2) =$ *env-delete* $x\ m1 ++_{S - \{x\}}$ *env-delete* $x\ m2$
⟨*proof*⟩

2.9.6 Environments with binary joins

lemma *edom-join*[*simp*]: *edom* $(f \sqcup (g::('a::type \Rightarrow 'b::\{Finite\text{-}Join\text{-}cpo,pcpo\}))) =$ *edom* $f \cup$ *edom* g
⟨*proof*⟩

lemma *env-delete-join*[*simp*]: *env-delete* $x\ (f \sqcup (g::('a::type \Rightarrow 'b::\{Finite\text{-}Join\text{-}cpo,pcpo\}))) =$ *env-delete* $x\ f \sqcup$ *env-delete* $x\ g$
⟨*proof*⟩

lemma *env-restr-join*:
fixes $m1\ m2 :: 'a::type \Rightarrow 'b::\{Finite\text{-}Join\text{-}cpo,pcpo\}$
shows $(m1 \sqcup m2)\ f|`\ S = (m1\ f|`\ S) \sqcup (m2\ f|`\ S\ )$
⟨*proof*⟩

lemma *env-restr-join2*:
fixes $m :: 'a::type \Rightarrow 'b::\{Finite\text{-}Join\text{-}cpo,pcpo\}$

shows $m\ f|`\ S \sqcup m\ f|`\ S' = m\ f|`\ (S \cup S')$
⟨*proof*⟩

lemma *join-env-restr-UNIV*:
fixes $m :: 'a{::}type \Rightarrow 'b{::}\{Finite\text{-}Join\text{-}cpo,pcpo\}$
shows $S1 \cup S2 = UNIV \Longrightarrow (m\ f|`\ S1) \sqcup (m\ f|`\ S2) = m$
⟨*proof*⟩

lemma *env-restr-split*:
fixes $m :: 'a{::}type \Rightarrow 'b{::}\{Finite\text{-}Join\text{-}cpo,pcpo\}$
shows $m = m\ f|`\ S \sqcup m\ f|`\ (-\ S)$
⟨*proof*⟩

lemma *env-restr-below-split*:
$m\ f|`\ S \sqsubseteq m' \Longrightarrow m\ f|`\ (-\ S) \sqsubseteq m' \Longrightarrow m \sqsubseteq m'$
⟨*proof*⟩

2.9.7 Singleton environments

definition *esing* :: $'a \Rightarrow 'b{::}\{pcpo\} \to ('a \Rightarrow 'b)$
where $esing\ x = (\Lambda\ a.\ (\lambda\ y\ .\ (if\ x = y\ then\ a\ else\ \bot)))$

lemma *esing-bot*[*simp*]: $esing\ x \cdot \bot = \bot$
⟨*proof*⟩

lemma *esing-simps*[*simp*]:
$(esing\ x \cdot n)\ x = n$
$x' \neq x \Longrightarrow (esing\ x \cdot n)\ x' = \bot$
⟨*proof*⟩

lemma *esing-eq-up-iff*[*simp*]: $(esing\ x{\cdot}(up{\cdot}a))\ y = up{\cdot}a' \longleftrightarrow (x = y \wedge a = a')$
⟨*proof*⟩

lemma *esing-below-iff*[*simp*]: $esing\ x \cdot a \sqsubseteq ae \longleftrightarrow a \sqsubseteq ae\ x$
⟨*proof*⟩

lemma *edom-esing-subset*: $edom\ (esing\ x{\cdot}n) \subseteq \{x\}$
⟨*proof*⟩

lemma *edom-esing-up*[*simp*]: $edom\ (esing\ x \cdot (up \cdot n)) = \{x\}$
⟨*proof*⟩

lemma *env-delete-esing*[*simp*]: $env\text{-}delete\ x\ (esing\ x \cdot n) = \bot$
⟨*proof*⟩

lemma *env-restr-esing*[*simp*]:
$x{\in}\ S \Longrightarrow esing\ x{\cdot}v\ f|`\ S = esing\ x{\cdot}v$
⟨*proof*⟩

lemma *env-restr-esing2*[*simp*]:
$x \notin S \Longrightarrow esing\ x{\cdot}v\ f|`\ S = \bot$
⟨*proof*⟩

lemma *esing-eq-iff*[*simp*]:
$esing\ x{\cdot}v = esing\ x{\cdot}v' \longleftrightarrow v = v'$
⟨*proof*⟩

end

2.10 Env-Nominal

theory *Env−Nominal*
imports *Env Nominal−Utils Nominal−HOLCF*
begin

2.10.1 Equivariance lemmas

lemma *edom-perm*:
fixes $f :: 'a{::}pt \Rightarrow 'b{::}\{pcpo\text{-}pt\}$
shows $edom\ (\pi \cdot f) = \pi \cdot (edom\ f)$
⟨*proof*⟩

lemmas *edom-perm-rev*[*simp*,*eqvt*] = *edom-perm*[*symmetric*]

lemma *mem-edom-perm*[*simp*]:
fixes $\varrho :: 'a{::}at\text{-}base \Rightarrow 'b{::}\{pcpo\text{-}pt\}$
shows $xa \in edom\ (p \cdot \varrho) \longleftrightarrow -\ p \cdot xa \in edom\ \varrho$
⟨*proof*⟩

lemma *env-restr-eqvt*[*eqvt*]:
fixes $m :: 'a{::}pt \Rightarrow 'b{::}\{cont\text{-}pt,pcpo\}$
shows $\pi \cdot m\ f|`\ d = (\pi \cdot m)\ f|`\ (\pi \cdot d)$
⟨*proof*⟩

lemma *env-delete-eqvt*[*eqvt*]:
fixes $m :: 'a{::}pt \Rightarrow 'b{::}\{cont\text{-}pt,pcpo\}$
shows $\pi \cdot env\text{-}delete\ x\ m = env\text{-}delete\ (\pi \cdot x)\ (\pi \cdot m)$
⟨*proof*⟩

lemma *esing-eqvt*[*eqvt*]: $\pi \cdot (esing\ x) = esing\ (\pi \cdot x)$
⟨*proof*⟩

2.10.2 Permutation and restriction

lemma *env-restr-perm*:
fixes $\varrho :: 'a{::}at\text{-}base \Rightarrow 'b{::}\{pcpo\text{-}pt,pure\}$
assumes $supp\ p \sharp* S$ **and** [*simp*]: *finite S*

shows $(p \cdot \varrho)\ f|`S = \varrho\ f|`S$
⟨*proof*⟩

lemma *env-restr-perm'*:
fixes $\varrho :: 'a::at\text{-}base \Rightarrow 'b::\{pcpo\text{-}pt,pure\}$
assumes *supp* $p \sharp* S$ **and** [*simp*]: *finite* S
shows $p \cdot (\varrho\ f|`S) = \varrho\ f|`S$
⟨*proof*⟩

lemma *env-restr-flip*:
fixes $\varrho :: 'a::at\text{-}base \Rightarrow 'b::\{pcpo\text{-}pt,pure\}$
assumes $x \notin S$ **and** $x' \notin S$
shows $((x' \leftrightarrow x) \cdot \varrho)\ f|`S = \varrho\ f|`S$
⟨*proof*⟩

lemma *env-restr-flip'*:
fixes $\varrho :: 'a::at\text{-}base \Rightarrow 'b::\{pcpo\text{-}pt,pure\}$
assumes $x \notin S$ **and** $x' \notin S$
shows $(x' \leftrightarrow x) \cdot (\varrho\ f|`S) = \varrho\ f|`S$
⟨*proof*⟩

2.10.3 Pure codomains

lemma *edom-fv-pure*:
fixes $f :: ('a::at\text{-}base \Rightarrow 'b::\{pcpo,pure\})$
assumes *finite* $(edom\ f)$
shows $fv\ f \subseteq edom\ f$
⟨*proof*⟩

end

2.11 Env-HOLCF

theory *Env−HOLCF*
imports *Env HOLCF−Utils*
begin

2.11.1 Continuity and pcpo-valued functions

lemma *override-on-belowI*:
assumes $\bigwedge a.\ a \in S \Longrightarrow y\ a \sqsubseteq z\ a$
and $\bigwedge a.\ a \notin S \Longrightarrow x\ a \sqsubseteq z\ a$
shows $x +\!\!+_S y \sqsubseteq z$
⟨*proof*⟩

lemma *override-on-cont1*: *cont* $(\lambda\ x.\ x +\!\!+_S m)$
⟨*proof*⟩

lemma *override-on-cont2*: *cont* $(\lambda\ x.\ m +\!\!+_S x)$
⟨*proof*⟩

lemma *override-on-cont2cont*[*simp*, *cont2cont*]:
assumes *cont f*
assumes *cont g*
shows *cont* $(\lambda\ x.\ f\ x +\!\!+_S g\ x)$
⟨*proof*⟩

lemma *override-on-mono*:
assumes $x1 \sqsubseteq (x2 :: 'a{::}type \Rightarrow 'b{::}cpo)$
assumes $y1 \sqsubseteq y2$
shows $x1 +\!\!+_S y1 \sqsubseteq x2 +\!\!+_S y2$
⟨*proof*⟩

lemma *fun-upd-below-env-deleteI*:
assumes *env-delete x ϱ* $\sqsubseteq$ *env-delete x ϱ′*
assumes $y \sqsubseteq \varrho'\ x$
shows $\varrho(x := y) \sqsubseteq \varrho'$
⟨*proof*⟩

lemma *fun-upd-belowI2*:
assumes $\bigwedge z\ .\ z \neq x \Longrightarrow \varrho\ z \sqsubseteq \varrho'\ z$
assumes $\varrho\ x \sqsubseteq y$
shows $\varrho \sqsubseteq \varrho'(x := y)$
⟨*proof*⟩

lemma *env-restr-belowI*:
assumes $\bigwedge x.\ x \in S \Longrightarrow (m1\ f|`\ S)\ x \sqsubseteq (m2\ f|`\ S)\ x$
shows *m1 f*|‘ *S* $\sqsubseteq$ *m2 f*|‘ *S*
⟨*proof*⟩

lemma *env-restr-belowI2*:
assumes $\bigwedge x.\ x \in S \Longrightarrow m1\ x \sqsubseteq m2\ x$
shows *m1 f*|‘ *S* $\sqsubseteq$ *m2*
⟨*proof*⟩

lemma *env-restr-below-itself*:
shows *m f*|‘ *S* $\sqsubseteq$ *m*
⟨*proof*⟩

lemma *env-restr-cont*: *cont* (*env-restr S*)
⟨*proof*⟩

lemma *env-restr-belowD*:
assumes *m1 f*|‘ *S* $\sqsubseteq$ *m2 f*|‘ *S*
assumes $x \in S$

shows *m1 x* $\sqsubseteq$ *m2 x*
⟨*proof*⟩

lemma *env-restr-eqD*:
assumes *m1 f*|‘ *S* = *m2 f*|‘ *S*
assumes *x* ∈ *S*
shows *m1 x* = *m2 x*
⟨*proof*⟩

lemma *env-restr-below-subset*:
assumes *S* ⊆ *S′*
and *m1 f*|‘ *S′* $\sqsubseteq$ *m2 f*|‘ *S′*
shows *m1 f*|‘ *S* $\sqsubseteq$ *m2 f*|‘ *S*
⟨*proof*⟩

lemma *override-on-below-restrI*:
assumes *x f*|‘ (−*S*) $\sqsubseteq$ *z f*|‘ (−*S*)
and *y f*|‘ *S* $\sqsubseteq$ *z f*|‘ *S*
shows *x* $+\!\!+_S$ *y* $\sqsubseteq$ *z*
⟨*proof*⟩

lemma *fmap-below-add-restrI*:
assumes *x f*|‘ (−*S*) $\sqsubseteq$ *y f*|‘ (−*S*)
and *x f*|‘ *S* $\sqsubseteq$ *z f*|‘ *S*
shows *x* $\sqsubseteq$ *y* $+\!\!+_S$ *z*
⟨*proof*⟩

lemmas *env-restr-cont2cont*[*simp*,*cont2cont*] = *cont-compose*[*OF env-restr-cont*]

lemma *env-delete-cont*: *cont* (*env-delete x*)
⟨*proof*⟩
lemmas *env-delete-cont2cont*[*simp*,*cont2cont*] = *cont-compose*[*OF env-delete-cont*]

end

2.12 EvalHeap

theory *EvalHeap*
imports *AList−Utils Env Nominal2.Nominal2 HOLCF−Utils*
begin

2.12.1 Conversion from heaps to environments

fun
evalHeap :: (*′var* × *′exp*) *list* ⇒ (*′exp* ⇒ *′value*::{*pure*,*pcpo*}) ⇒ *′var* ⇒ *′value*
where

evalHeap [] - = $\bot$
| *evalHeap* ((*x*,*e*)#*h*) *eval* = (*evalHeap h eval*) (*x* := *eval e*)

lemma *cont2cont-evalHeap*[*simp*, *cont2cont*]:
(⋀ *e* . *e* ∈ *snd* ‘ *set h* ⟹ *cont* (λϱ. *eval* ϱ *e*)) ⟹ *cont* (λ ϱ. *evalHeap h* (*eval* ϱ))
⟨*proof*⟩

lemma *evalHeap-eqvt*[*eqvt*]:
π ∙ *evalHeap h eval* = *evalHeap* (π ∙ *h*) (π ∙ *eval*)
⟨*proof*⟩

lemma *edom-evalHeap-subset*:*edom* (*evalHeap h eval*) ⊆ *domA h*
⟨*proof*⟩

lemma *evalHeap-cong*[*fundef-cong*]:
⟦ *heap1* = *heap2* ; (⋀ *e*. *e* ∈ *snd* ‘ *set heap2* ⟹ *eval1 e* = *eval2 e*) ⟧
⟹ *evalHeap heap1 eval1* = *evalHeap heap2 eval2*
⟨*proof*⟩

lemma *lookupEvalHeap*:
assumes *v* ∈ *domA h*
shows (*evalHeap h f*) *v* = *f* (*the* (*map-of h v*))
⟨*proof*⟩

lemma *lookupEvalHeap′*:
assumes *map-of* Γ *v* = *Some e*
shows (*evalHeap* Γ *f*) *v* = *f e*
⟨*proof*⟩

lemma *lookupEvalHeap-other*[*simp*]:
assumes *v* ∉ *domA* Γ
shows (*evalHeap* Γ *f*) *v* = $\bot$
⟨*proof*⟩

lemma *env-restr-evalHeap-noop*:
domA h ⊆ *S* ⟹ *env-restr S* (*evalHeap h eval*) = *evalHeap h eval*
⟨*proof*⟩

lemma *env-restr-evalHeap-same*[*simp*]:
env-restr (*domA h*) (*evalHeap h eval*) = *evalHeap h eval*
⟨*proof*⟩

lemma *evalHeap-cong′*:
⟦ (⋀ *x*. *x* ∈ *domA heap* ⟹ *eval1* (*the* (*map-of heap x*)) = *eval2* (*the* (*map-of heap x*))) ⟧
⟹ *evalHeap heap eval1* = *evalHeap heap eval2*
⟨*proof*⟩

lemma *lookupEvalHeapNotAppend*[*simp*]:
assumes *x* ∉ *domA* Γ

shows (*evalHeap* (Γ@*h*) *f*) *x* = *evalHeap h f x*
⟨*proof*⟩

lemma *evalHeap-delete*[*simp*]: *evalHeap* (*delete x* Γ) *eval* = *env-delete x* (*evalHeap* Γ *eval*)
⟨*proof*⟩

lemma *evalHeap-mono*:
x ∉ *domA* Γ ⟹
evalHeap Γ *eval* ⊑ *evalHeap* ((*x*, *e*) # Γ) *eval*
⟨*proof*⟩

2.12.2 Reordering lemmas

lemma *evalHeap-reorder*:
assumes *map-of* Γ = *map-of* Δ
shows *evalHeap* Γ *h* = *evalHeap* Δ *h*
⟨*proof*⟩

lemma *evalHeap-reorder-head*:
assumes *x* ≠ *y*
shows *evalHeap* ((*x*,*e1*)#(*y*,*e2*)#Γ) *eval* = *evalHeap* ((*y*,*e2*)#(*x*,*e1*)#Γ) *eval*
⟨*proof*⟩

lemma *evalHeap-reorder-head-append*:
assumes *x* ∉ *domA* Γ
shows *evalHeap* ((*x*,*e*)#Γ@Δ) *eval* = *evalHeap* (Γ @ ((*x*,*e*)#Δ)) *eval*
⟨*proof*⟩

lemma *evalHeap-subst-exp*:
assumes *eval e* = *eval e′*
shows *evalHeap* ((*x*,*e*)#Γ) *eval* = *evalHeap* ((*x*,*e′*)#Γ) *eval*
⟨*proof*⟩

end

3 Launchbury's natural semantics

3.1 Vars

theory *Vars*
imports *Nominal2.Nominal2*
begin

The type of variables is abstract and provided by the Nominal package. All we know is that it is countable.

atom-decl *var*

end

3.2 Terms

theory *Terms*
imports *Nominal−Utils Vars ListUtils−Nominal*
begin

3.2.1 Expressions

This is the main data type of the development; our minimal lambda calculus with recursive let-bindings. It is created using the nominal_datatype command, which creates alpha-equivalence classes.

The package does not support nested recursion, so the bindings of the let cannot simply be of type (*var*, *exp*) *list*. Instead, the definition of lists have to be inlined here, as the custom type *assn*. Later we create conversion functions between these two types, define a properly typed *let* and redo the various lemmas in terms of that, so that afterwards, the type *assn* is no longer referenced.

nominal-datatype *exp* =
Var var
| *App exp var*
| *LetA as*::*assn body*::*exp* **binds** *bn as* **in** *body as*
| *Lam x*::*var body*::*exp* **binds** *x* **in** *body* (*Lam* [-]. - [*100*, *100*] *100*)
| *Bool bool*
| *IfThenElse exp exp exp* (((-)/ *?* (-)/ : (-)) [*0*, *0*, *10*] *10*)
and *assn* =
ANil | *ACons var exp assn*
binder
bn :: *assn* ⇒ *atom list*
where *bn ANil* = [] | *bn* (*ACons x t as*) = (*atom x*) # (*bn as*)

notation (*latex* **output**) *Terms.Var* (-)
notation (*latex* **output**) *Terms.App* (- -)

notation (*latex* **output**) *Terms.Lam* (λ-. - [*100*, *100*] *100*)

type-synonym *heap* = (*var* × *exp*) *list*

lemma *exp-assn-size-eqvt*[*eqvt*]: *p* ∙ (*size* :: *exp* ⇒ *nat*) = *size*
⟨*proof*⟩

3.2.2 Rewriting in terms of heaps

We now work towards using *heap* instead of *assn*. All this could be skipped if Nominal supported nested recursion.

Conversion from *assn* to *heap*.

nominal-function *asToHeap* :: *assn* ⇒ *heap*
where *ANilToHeap*: *asToHeap ANil* = []
| *AConsToHeap*: *asToHeap* (*ACons v e as*) = (*v*, *e*) # *asToHeap as*
⟨*proof*⟩
nominal-termination(*eqvt*) ⟨*proof*⟩

lemma *asToHeap-eqvt*: *eqvt asToHeap*
⟨*proof*⟩

The other direction.

fun *heapToAssn* :: *heap* ⇒ *assn*
where *heapToAssn* [] = *ANil*
| *heapToAssn* ((*v*,*e*)#Γ) = *ACons v e* (*heapToAssn* Γ)

declare *heapToAssn.simps*[*simp del*]

lemma *heapToAssn-eqvt*[*simp*,*eqvt*]: *p* ∙ *heapToAssn* Γ = *heapToAssn* (*p* ∙ Γ)
⟨*proof*⟩

lemma *bn-heapToAssn*: *bn* (*heapToAssn* Γ) = *map* (λ*x*. *atom* (*fst x*)) Γ
⟨*proof*⟩

lemma *set-bn-to-atom-domA*:
set (*bn as*) = *atom* ‘ *domA* (*asToHeap as*)
⟨*proof*⟩

They are inverse to each other.

lemma *heapToAssn-asToHeap*[*simp*]:
heapToAssn (*asToHeap as*) = *as*
⟨*proof*⟩

lemma *asToHeap-heapToAssn*[*simp*]:
asToHeap (*heapToAssn as*) = *as*
⟨*proof*⟩

lemma *heapToAssn-inject*[*simp*]:
heapToAssn x = *heapToAssn y* ⟷ *x* = *y*
⟨*proof*⟩

They are transparent to various notions from the Nominal package.

lemma *supp-heapToAssn*: *supp* (*heapToAssn* Γ) = *supp* Γ
⟨*proof*⟩

lemma *supp-asToHeap*: *supp* (*asToHeap as*) = *supp as*
⟨*proof*⟩

lemma *fv-asToHeap*: *fv* (*asToHeap* Γ) = *fv* Γ
⟨*proof*⟩

lemma *fv-heapToAssn*: *fv* (*heapToAssn* Γ) = *fv* Γ
⟨*proof*⟩

lemma [*simp*]: *size* (*heapToAssn* Γ) = *size-list* (λ (*v*,*e*) . *size e*) Γ
⟨*proof*⟩

lemma *Lam-eq-same-var*[*simp*]: *Lam* [*y*]. *e* = *Lam* [*y*]. *e*′ ⟷ *e* = *e*′
⟨*proof*⟩

Now we define the Let constructor in the form that we actually want.

hide-const *HOL.Let*
definition *Let* :: *heap* ⇒ *exp* ⇒ *exp*
where *Let* Γ *e* = *LetA* (*heapToAssn* Γ) *e*

notation (*latex* **output**) *Let* (*let - in -*)

abbreviation
LetBe :: *var*⇒*exp*⇒*exp*⇒*exp* (*let - be - in -* [*100*,*100*,*100*] *100*)
where
let x be t1 in t2 ≡ *Let* [(*x*,*t1*)] *t2*

We rewrite all (relevant) lemmas about *LetA* in terms of *Let*.

lemma *size-Let*[*simp*]: *size* (*Let* Γ *e*) = *size-list* (λ*p*. *size* (*snd p*)) Γ + *size e* + *Suc 0*
⟨*proof*⟩

lemma *Let-distinct*[*simp*]:
Var v ≠ *Let* Γ *e*
Let Γ *e* ≠ *Var v*
App e v ≠ *Let* Γ *e*′
Lam [*v*]. *e*′ ≠ *Let* Γ *e*
Let Γ *e* ≠ *Lam* [*v*]. *e*′
Let Γ *e*′ ≠ *App e v*
Bool b ≠ *Let* Γ *e*

Let Γ *e* ≠ *Bool b*
(*scrut ? e1 : e2*) ≠ *Let* Γ *e*
Let Γ *e* ≠ (*scrut ? e1 : e2*)
⟨*proof*⟩

lemma *Let-perm-simps*[*simp*,*eqvt*]:
p ∙ *Let* Γ *e* = *Let* (*p* ∙ Γ) (*p* ∙ *e*)
⟨*proof*⟩

lemma *Let-supp*:
supp (*Let* Γ *e*) = (*supp e* ∪ *supp* Γ) − *atom* ‘ (*domA* Γ)
⟨*proof*⟩

lemma *Let-fresh*[*simp*]:
a ♯ *Let* Γ *e* = (*a* ♯ *e* ∧ *a* ♯ Γ ∨ *a* ∈ *atom* ‘ *domA* Γ)
⟨*proof*⟩

lemma *Abs-eq-cong*:
assumes ⋀ *p*. (*p* ∙ *x* = *x*′) ⟷ (*p* ∙ *y* = *y*′)
assumes *supp y* = *supp x*
assumes *supp y*′ = *supp x*′
shows ([*a*]*lst*. *x* = [*a*′]*lst*. *x*′) ⟷ ([*a*]*lst*. *y* = [*a*′]*lst*. *y*′)
⟨*proof*⟩

lemma *Let-eq-iff*[*simp*]:
(*Let* Γ *e* = *Let* Γ′ *e*′) = ([*map* (λ*x*. *atom* (*fst x*)) Γ]*lst*. (*e*, Γ) = [*map* (λ*x*. *atom* (*fst x*)) Γ′]*lst*. (*e*′,Γ′))
⟨*proof*⟩

lemma *exp-strong-exhaust*:
fixes *c* :: ′*a* :: *fs*
assumes ⋀*var*. *y* = *Var var* ⟹ *P*
assumes ⋀*exp var*. *y* = *App exp var* ⟹ *P*
assumes ⋀Γ *exp*. *atom* ‘ *domA* Γ ♯∗ *c* ⟹ *y* = *Let* Γ *exp* ⟹ *P*
assumes ⋀*var exp*. {*atom var*} ♯∗ *c* ⟹ *y* = *Lam* [*var*]. *exp* ⟹ *P*
assumes ⋀ *b*. (*y* = *Bool b*) ⟹ *P*
assumes ⋀ *scrut e1 e2*. *y* = (*scrut ? e1 : e2*) ⟹ *P*
shows *P*
⟨*proof*⟩

And finally the induction rules with *Let*.

lemma *exp-heap-induct*[*case-names Var App Let Lam Bool IfThenElse Nil Cons*]:
assumes ⋀*b var*. *P1* (*Var var*)
assumes ⋀*exp var*. *P1 exp* ⟹ *P1* (*App exp var*)
assumes ⋀Γ *exp*. *P2* Γ ⟹ *P1 exp* ⟹ *P1* (*Let* Γ *exp*)
assumes ⋀*var exp*. *P1 exp* ⟹ *P1* (*Lam* [*var*]. *exp*)
assumes ⋀ *b*. *P1* (*Bool b*)
assumes ⋀ *scrut e1 e2*. *P1 scrut* ⟹ *P1 e1* ⟹ *P1 e2* ⟹ *P1* (*scrut ? e1 : e2*)
assumes *P2* []

assumes $\bigwedge var\ exp\ \Gamma.\ P1\ exp \Longrightarrow P2\ \Gamma \Longrightarrow P2\ ((var,\ exp)\#\Gamma)$
shows $P1\ e$ **and** $P2\ \Gamma$
⟨*proof*⟩

lemma *exp-heap-strong-induct*[*case-names Var App Let Lam Bool IfThenElse Nil Cons*]:
assumes $\bigwedge var\ c.\ P1\ c\ (Var\ var)$
assumes $\bigwedge exp\ var\ c.\ (\bigwedge c.\ P1\ c\ exp) \Longrightarrow P1\ c\ (App\ exp\ var)$
assumes $\bigwedge \Gamma\ exp\ c.\ atom\ `\ domA\ \Gamma\ \sharp*\ c \Longrightarrow (\bigwedge c.\ P2\ c\ \Gamma) \Longrightarrow (\bigwedge c.\ P1\ c\ exp) \Longrightarrow P1\ c\ (Let\ \Gamma\ exp)$
assumes $\bigwedge var\ exp\ c.\ \{atom\ var\}\ \sharp*\ c \Longrightarrow (\bigwedge c.\ P1\ c\ exp) \Longrightarrow P1\ c\ (Lam\ [var].\ exp)$
assumes $\bigwedge\ b\ c.\ P1\ c\ (Bool\ b)$
assumes $\bigwedge\ scrut\ e1\ e2\ c.\ (\bigwedge\ c.\ P1\ c\ scrut) \Longrightarrow (\bigwedge\ c.\ P1\ c\ e1) \Longrightarrow (\bigwedge\ c.\ P1\ c\ e2) \Longrightarrow P1\ c\ (scrut\ ?\ e1\ :\ e2)$
assumes $\bigwedge c.\ P2\ c\ []$
assumes $\bigwedge var\ exp\ \Gamma\ c.\ (\bigwedge c.\ P1\ c\ exp) \Longrightarrow (\bigwedge c.\ P2\ c\ \Gamma) \Longrightarrow P2\ c\ ((var,exp)\#\Gamma)$
fixes $c :: 'a :: fs$
shows $P1\ c\ e$ **and** $P2\ c\ \Gamma$
⟨*proof*⟩

3.2.3 Nice induction rules

These rules can be used instead of the original induction rules, which require a separate goal for *assn*.

lemma *exp-induct*[*case-names Var App Let Lam Bool IfThenElse*]:
assumes $\bigwedge var.\ P\ (Var\ var)$
assumes $\bigwedge exp\ var.\ P\ exp \Longrightarrow P\ (App\ exp\ var)$
assumes $\bigwedge \Gamma\ exp.\ (\bigwedge\ x.\ x \in domA\ \Gamma \Longrightarrow\ P\ (the\ (map\text{-}of\ \Gamma\ x))) \Longrightarrow P\ exp \Longrightarrow P\ (Let\ \Gamma\ exp)$
assumes $\bigwedge var\ exp.\ \ P\ exp \Longrightarrow P\ (Lam\ [var].\ exp)$
assumes $\bigwedge\ b.\ P\ (Bool\ b)$
assumes $\bigwedge\ scrut\ e1\ e2.\ P\ scrut \Longrightarrow P\ e1 \Longrightarrow P\ e2 \Longrightarrow P\ (scrut\ ?\ e1\ :\ e2)$
shows $P\ exp$
⟨*proof*⟩

lemma *exp-strong-induct-set*[*case-names Var App Let Lam Bool IfThenElse*]:
assumes $\bigwedge var\ c.\ P\ c\ (Var\ var)$
assumes $\bigwedge exp\ var\ c.\ (\bigwedge c.\ P\ c\ exp) \Longrightarrow P\ c\ (App\ exp\ var)$
assumes $\bigwedge \Gamma\ exp\ c.$
$atom\ `\ domA\ \Gamma\ \sharp*\ c \Longrightarrow (\bigwedge c\ x\ e.\ (x,e) \in set\ \Gamma \Longrightarrow\ P\ c\ e) \Longrightarrow (\bigwedge c.\ P\ c\ exp) \Longrightarrow P\ c\ (Let\ \Gamma\ exp)$
assumes $\bigwedge var\ exp\ c.\ \{atom\ var\}\ \sharp*\ c \Longrightarrow (\bigwedge c.\ P\ c\ exp) \Longrightarrow P\ c\ (Lam\ [var].\ exp)$
assumes $\bigwedge b\ c.\ P\ c\ (Bool\ b)$
assumes $\bigwedge scrut\ e1\ e2\ c.\ (\bigwedge\ c.\ P\ c\ scrut) \Longrightarrow (\bigwedge\ c.\ P\ c\ e1) \Longrightarrow (\bigwedge\ c.\ P\ c\ e2) \Longrightarrow P\ c\ (scrut\ ?\ e1\ :\ e2)$
shows $P\ (c::'a::fs)\ exp$
⟨*proof*⟩

lemma *exp-strong-induct*[*case-names Var App Let Lam Bool IfThenElse*]:
assumes $\bigwedge$*var c. P c* (*Var var*)
assumes $\bigwedge$*exp var c.* ($\bigwedge$*c. P c exp*) $\Longrightarrow$ *P c* (*App exp var*)
assumes $\bigwedge\Gamma$ *exp c.*
atom ‘ *domA* Γ ♯* *c* $\Longrightarrow$ ($\bigwedge$*c x. x* ∈ *domA* Γ $\Longrightarrow$ *P c* (*the* (*map-of* Γ *x*))) $\Longrightarrow$ ($\bigwedge$*c. P c exp*) $\Longrightarrow$ *P c* (*Let* Γ *exp*)
assumes $\bigwedge$*var exp c.* {*atom var*} ♯* *c* $\Longrightarrow$ ($\bigwedge$*c. P c exp*) $\Longrightarrow$ *P c* (*Lam* [*var*]. *exp*)
assumes $\bigwedge$*b c. P c* (*Bool b*)
assumes $\bigwedge$ *scrut e1 e2 c.* ($\bigwedge$ *c. P c scrut*) $\Longrightarrow$ ($\bigwedge$ *c. P c e1*) $\Longrightarrow$ ($\bigwedge$ *c. P c e2*) $\Longrightarrow$ *P c* (*scrut ? e1 : e2*)
shows *P* (*c*::′*a*::*fs*) *exp*
⟨*proof*⟩

3.2.4 Testing alpha equivalence

lemma *alpha-test*:
shows *Lam* [*x*]. (*Var x*) = *Lam* [*y*]. (*Var y*)
⟨*proof*⟩

lemma *alpha-test2*:
shows *let x be* (*Var x*) *in* (*Var x*) = *let y be* (*Var y*) *in* (*Var y*)
⟨*proof*⟩

lemma *alpha-test3*:
shows
Let [(*x*, *Var y*), (*y*, *Var x*)] (*Var x*)
=
Let [(*y*, *Var x*), (*x*, *Var y*)] (*Var y*) (**is** *Let ?la ?lb* = -)
⟨*proof*⟩

3.2.5 Free variables

lemma *fv-supp-exp*: *supp e* = *atom* ‘ (*fv* (*e*::*exp*) :: *var set*) **and** *fv-supp-as*: *supp as* = *atom* ‘ (*fv* (*as*::*assn*) :: *var set*)
⟨*proof*⟩

lemma *fv-supp-heap*: *supp* (Γ::*heap*) = *atom* ‘ (*fv* Γ :: *var set*)
⟨*proof*⟩

lemma *fv-Lam*[*simp*]: *fv* (*Lam* [*x*]. *e*) = *fv e* − {*x*}
⟨*proof*⟩
lemma *fv-Var*[*simp*]: *fv* (*Var x*) = {*x*}
⟨*proof*⟩
lemma *fv-App*[*simp*]: *fv* (*App e x*) = *insert x* (*fv e*)
⟨*proof*⟩
lemma *fv-Let*[*simp*]: *fv* (*Let* Γ *e*) = (*fv* Γ ∪ *fv e*) − *domA* Γ
⟨*proof*⟩
lemma *fv-Bool*[*simp*]: *fv* (*Bool b*) = {}
⟨*proof*⟩
lemma *fv-IfThenElse*[*simp*]: *fv* (*scrut ? e1 : e2*) = *fv scrut* ∪ *fv e1* ∪ *fv e2*

⟨*proof*⟩

lemma *fv-delete-heap*:
assumes *map-of* Γ *x* = *Some e*
shows *fv* (*delete x* Γ, *e*) ∪ {*x*} ⊆ (*fv* (Γ, *Var x*) :: *var set*)
⟨*proof*⟩

3.2.6 Lemmas helping with nominal definitions

lemma *eqvt-lam-case*:
assumes *Lam* [*x*]. *e* = *Lam* [*x'*]. *e'*
assumes ⋀ π . *supp* (−π) ♯∗ (*fv* (*Lam* [*x*]. *e*) :: *var set*) ⟹
supp π ♯∗ (*Lam* [*x*]. *e*) ⟹
F (π ∙ *e*) (π ∙ *x*) (*Lam* [*x*]. *e*) = *F e x* (*Lam* [*x*]. *e*)
shows *F e x* (*Lam* [*x*]. *e*) = *F e' x'* (*Lam* [*x'*]. *e'*)
⟨*proof*⟩

lemma *eqvt-let-case*:
assumes *Let as body* = *Let as' body'*
assumes ⋀ π .
supp (−π) ♯∗ (*fv* (*Let as body*) :: *var set*) ⟹
supp π ♯∗ *Let as body* ⟹
F (π ∙ *as*) (π ∙ *body*) (*Let as body*) = *F as body* (*Let as body*)
shows *F as body* (*Let as body*) = *F as' body'* (*Let as' body'*)
⟨*proof*⟩

3.2.7 A smart constructor for lets

Certian program transformations might change the bound variables, possibly making it an empty list. This smart constructor avoids the empty let in the resulting expression. Semantically, it should not make a difference.

definition *SmartLet* :: *heap* => *exp* => *exp*
where *SmartLet* Γ *e* = (*if* Γ = [] *then e else Let* Γ *e*)

lemma *SmartLet-eqvt*[*eqvt*]: π ∙ (*SmartLet* Γ *e*) = *SmartLet* (π ∙ Γ) (π ∙ *e*)
⟨*proof*⟩

lemma *SmartLet-supp*:
supp (*SmartLet* Γ *e*) = (*supp e* ∪ *supp* Γ) − *atom* ‘ (*domA* Γ)
⟨*proof*⟩

lemma *fv-SmartLet*[*simp*]: *fv* (*SmartLet* Γ *e*) = (*fv* Γ ∪ *fv e*) − *domA* Γ
⟨*proof*⟩

3.2.8 A predicate for value expressions

nominal-function *isLam* :: *exp* ⇒ *bool* **where**
isLam (*Var x*) = *False* |
isLam (*Lam* [*x*]. *e*) = *True* |
isLam (*App e x*) = *False* |
isLam (*Let as e*) = *False* |
isLam (*Bool b*) = *False* |
isLam (*scrut ? e1 : e2*) = *False*
⟨*proof*⟩
nominal-termination (*eqvt*) ⟨*proof*⟩

lemma *isLam-Lam*: *isLam* (*Lam* [*x*]. *e*) ⟨*proof*⟩

lemma *isLam-obtain-fresh*:
assumes *isLam z*
obtains *y e′*
where *z* = (*Lam* [*y*]. *e′*) **and** *atom y* ♯ (*c*::′*a*::*fs*)
⟨*proof*⟩

nominal-function *isVal* :: *exp* ⇒ *bool* **where**
isVal (*Var x*) = *False* |
isVal (*Lam* [*x*]. *e*) = *True* |
isVal (*App e x*) = *False* |
isVal (*Let as e*) = *False* |
isVal (*Bool b*) = *True* |
isVal (*scrut ? e1 : e2*) = *False*
⟨*proof*⟩
nominal-termination (*eqvt*) ⟨*proof*⟩

lemma *isVal-Lam*: *isVal* (*Lam* [*x*]. *e*) ⟨*proof*⟩
lemma *isVal-Bool*: *isVal* (*Bool b*) ⟨*proof*⟩

3.2.9 The notion of thunks

definition *thunks* :: *heap* ⇒ *var set* **where**
thunks Γ = {*x* . *case map-of* Γ *x of Some e* ⇒ ¬ *isVal e* | *None* ⇒ *False*}

lemma *thunks-Nil*[*simp*]: *thunks* [] = {} ⟨*proof*⟩

lemma *thunks-domA*: *thunks* Γ ⊆ *domA* Γ
⟨*proof*⟩

lemma *thunks-Cons*: *thunks* ((*x*,*e*)#Γ) = (*if isVal e then thunks* Γ − {*x*} *else insert x* (*thunks* Γ))
⟨*proof*⟩

lemma *thunks-append*[*simp*]: *thunks* (Δ@Γ) = *thunks* Δ ∪ (*thunks* Γ − *domA* Δ)
⟨*proof*⟩

lemma *thunks-delete*[*simp*]: *thunks* (*delete x* Γ) = *thunks* Γ − {*x*}
⟨*proof*⟩

lemma *thunksI*[*intro*]: *map-of* Γ *x* = *Some e* ⟹ ¬ *isVal e* ⟹ *x* ∈ *thunks* Γ
⟨*proof*⟩

lemma *thunksE*[*intro*]: *x* ∈ *thunks* Γ ⟹ *map-of* Γ *x* = *Some e* ⟹ ¬ *isVal e*
⟨*proof*⟩

lemma *thunks-cong*: *map-of* Γ = *map-of* Δ ⟹ *thunks* Γ = *thunks* Δ
⟨*proof*⟩

lemma *thunks-eqvt*[*eqvt*]:
π ∙ *thunks* Γ = *thunks* (π ∙ Γ)
⟨*proof*⟩

3.2.10 Non-recursive Let bindings

definition *nonrec* :: *heap* ⇒ *bool* **where**
nonrec Γ = (∃ *x e*. Γ = [(*x*,*e*)] ∧ *x* ∉ *fv e*)

lemma *nonrecE*:
assumes *nonrec* Γ
obtains *x e* **where** Γ = [(*x*,*e*)] **and** *x* ∉ *fv e*
⟨*proof*⟩

lemma *nonrec-eqvt*[*eqvt*]:
nonrec Γ ⟹ *nonrec* (π ∙ Γ)
⟨*proof*⟩

lemma *exp-induct-rec*[*case-names Var App Let Let-nonrec Lam Bool IfThenElse*]:
assumes ⋀*var*. *P* (*Var var*)
assumes ⋀*exp var*. *P exp* ⟹ *P* (*App exp var*)
assumes ⋀Γ *exp*. ¬ *nonrec* Γ ⟹ (⋀ *x*. *x* ∈ *domA* Γ ⟹ *P* (*the* (*map-of* Γ *x*))) ⟹ *P exp*
⟹ *P* (*Let* Γ *exp*)
assumes ⋀*x e exp*. *x* ∉ *fv e* ⟹ *P e* ⟹ *P exp* ⟹ *P* (*let x be e in exp*)
assumes ⋀*var exp*. *P exp* ⟹ *P* (*Lam* [*var*]. *exp*)
assumes ⋀*b*. *P* (*Bool b*)
assumes ⋀ *scrut e1 e2*. *P scrut* ⟹ *P e1* ⟹ *P e2* ⟹ *P* (*scrut ? e1* : *e2*)
shows *P exp*
⟨*proof*⟩

lemma *exp-strong-induct-rec*[*case-names Var App Let Let-nonrec Lam Bool IfThenElse*]:
assumes ⋀*var c*. *P c* (*Var var*)
assumes ⋀*exp var c*. (⋀*c*. *P c exp*) ⟹ *P c* (*App exp var*)
assumes ⋀Γ *exp c*.
atom ‘ *domA* Γ ♯* *c* ⟹ ¬ *nonrec* Γ ⟹ (⋀*c x*. *x* ∈ *domA* Γ ⟹ *P c* (*the* (*map-of* Γ *x*)))
⟹ (⋀*c*. *P c exp*) ⟹ *P c* (*Let* Γ *exp*)

assumes $\bigwedge x\ e\ exp\ c.\ \{atom\ x\} \sharp* c \Longrightarrow x \notin fv\ e \Longrightarrow (\bigwedge c.\ P\ c\ e) \Longrightarrow (\bigwedge c.\ P\ c\ exp) \Longrightarrow P\ c\ (let\ x\ be\ e\ in\ exp)$
assumes $\bigwedge var\ exp\ c.\ \{atom\ var\} \sharp* c \Longrightarrow (\bigwedge c.\ P\ c\ exp) \Longrightarrow P\ c\ (Lam\ [var].\ exp)$
assumes $\bigwedge b\ c.\ P\ c\ (Bool\ b)$
assumes $\bigwedge\ scrut\ e1\ e2\ c.\ (\bigwedge c.\ P\ c\ scrut) \Longrightarrow (\bigwedge c.\ P\ c\ e1) \Longrightarrow (\bigwedge c.\ P\ c\ e2) \Longrightarrow P\ c\ (scrut\ ?\ e1 : e2)$
shows $P\ (c::'a::fs)\ exp$
⟨*proof*⟩

lemma *exp-strong-induct-rec-set*[*case-names Var App Let Let-nonrec Lam Bool IfThenElse*]:
assumes $\bigwedge var\ c.\ P\ c\ (Var\ var)$
assumes $\bigwedge exp\ var\ c.\ (\bigwedge c.\ P\ c\ exp) \Longrightarrow P\ c\ (App\ exp\ var)$
assumes $\bigwedge \Gamma\ exp\ c.$
$atom\ `\ domA\ \Gamma\ \sharp* c \Longrightarrow \neg\ nonrec\ \Gamma \Longrightarrow (\bigwedge c\ x\ e.\ (x,e) \in set\ \Gamma \Longrightarrow\ P\ c\ e) \Longrightarrow (\bigwedge c.\ P\ c\ exp) \Longrightarrow P\ c\ (Let\ \Gamma\ exp)$
assumes $\bigwedge x\ e\ exp\ c.\ \{atom\ x\} \sharp* c \Longrightarrow x \notin fv\ e \Longrightarrow (\bigwedge c.\ P\ c\ e) \Longrightarrow (\bigwedge c.\ P\ c\ exp) \Longrightarrow P\ c\ (let\ x\ be\ e\ in\ exp)$
assumes $\bigwedge var\ exp\ c.\ \{atom\ var\} \sharp* c \Longrightarrow (\bigwedge c.\ P\ c\ exp) \Longrightarrow P\ c\ (Lam\ [var].\ exp)$
assumes $\bigwedge b\ c.\ P\ c\ (Bool\ b)$
assumes $\bigwedge\ scrut\ e1\ e2\ c.\ (\bigwedge c.\ P\ c\ scrut) \Longrightarrow (\bigwedge c.\ P\ c\ e1) \Longrightarrow (\bigwedge c.\ P\ c\ e2) \Longrightarrow P\ c\ (scrut\ ?\ e1 : e2)$
shows $P\ (c::'a::fs)\ exp$
⟨*proof*⟩

3.2.11 Renaming a lambda-bound variable

lemma *change-Lam-Variable*:
assumes $y' \neq y \Longrightarrow atom\ y' \sharp (e,\ y)$
shows $Lam\ [y].\ e = Lam\ [y'].\ ((y \leftrightarrow y') \cdot e)$
⟨*proof*⟩

end

3.3 Substitution

theory *Substitution*
imports *Terms*
begin

Defining a substitution function on terms turned out to be slightly tricky.

fun
$subst\text{-}var :: var \Rightarrow var \Rightarrow var \Rightarrow var\ (-[-::v=-]\ [1000,100,100]\ 1000)$
where $x[y ::v= z] = (if\ x = y\ then\ z\ else\ x)$

nominal-function $(default\ case\text{-}sum\ (\lambda x.\ Inl\ undefined)\ (\lambda x.\ Inr\ undefined),$
$invariant\ \lambda\ a\ r\ .\ (\forall\ \Gamma\ y\ z\ .\ ((a = Inr\ (\Gamma,\ y,\ z) \wedge atom\ `\ domA\ \Gamma\ \sharp* (y,\ z)) \longrightarrow map\ (\lambda x\ .\ atom\ (fst\ x))\ (Sum\text{-}Type.projr\ r) = map\ (\lambda x\ .\ atom\ (fst\ x))\ \Gamma)))$

subst :: *exp* ⇒ *var* ⇒ *var* ⇒ *exp* (-[-::=-] [*1000*,*100*,*100*] *1000*)
and
subst-heap :: *heap* ⇒ *var* ⇒ *var* ⇒ *heap* (-[-::*h*=-] [*1000*,*100*,*100*] *1000*)
where
(*Var x*)[*y* ::= *z*] = *Var* (*x*[*y* ::*v*= *z*])
| (*App e v*)[*y* ::= *z*] = *App* (*e*[*y* ::= *z*]) (*v*[*y* ::*v*= *z*])
| *atom* ‘ *domA* Γ ♯* (*y*,*z*) ⟹
(*Let* Γ *body*)[*y* ::= *z*] = *Let* (Γ[*y* ::*h*= *z*]) (*body*[*y* ::= *z*])
| *atom x* ♯ (*y*,*z*) ⟹ (*Lam* [*x*].*e*)[*y* ::= *z*] = *Lam* [*x*].(*e*[*y*::=*z*])
| (*Bool b*)[*y* ::= *z*] = *Bool b*
| (*scrut ? e1* : *e2*)[*y* ::= *z*] = (*scrut*[*y* ::= *z*] *? e1*[*y* ::= *z*] : *e2*[*y* ::= *z*])
| []*h*[*y* ::*h*= *z*] = []
| ((*v*,*e*)# Γ)[*y* ::*h*= *z*] = (*v*, *e*[*y* ::= *z*])# (Γ[*y* ::*h*= *z*])
⟨*proof*⟩

nominal-termination (*eqvt*) ⟨*proof*⟩

lemma shows
True **and** *bn-subst*[*simp*]: *domA* (*subst-heap* Γ *y z*) = *domA* Γ
⟨*proof*⟩

lemma *subst-noop*[*simp*]:
shows *e*[*y* ::= *y*] = *e* **and** Γ[*y*::*h*=*y*]= Γ
⟨*proof*⟩

lemma *subst-is-fresh*[*simp*]:
assumes *atom y* ♯ *z*
shows
atom y ♯ *e*[*y* ::= *z*]
and
atom ‘ *domA* Γ ♯* *y* ⟹ *atom y* ♯ Γ[*y*::*h*=*z*]
⟨*proof*⟩

lemma
subst-pres-fresh: *atom x* ♯ *e* ∨ *x* = *y* ⟹ *atom x* ♯ *z* ⟹ *atom x* ♯ *e*[*y* ::= *z*]
and
atom x ♯ Γ ∨ *x* = *y* ⟹ *atom x* ♯ *z* ⟹ *x* ∉ *domA* Γ ⟹ *atom x* ♯ (Γ[*y* ::*h*= *z*])
⟨*proof*⟩

lemma *subst-fresh-noop*: *atom x* ♯ *e* ⟹ *e*[*x* ::= *y*] = *e*
and *subst-heap-fresh-noop*: *atom x* ♯ Γ ⟹ Γ[*x* ::*h*= *y*] = Γ
⟨*proof*⟩

lemma *supp-subst-eq*: *supp* (*e*[*y*::=*x*]) = (*supp e* − {*atom y*}) ∪ (*if atom y* ∈ *supp e then* {*atom x*} *else* {})
and *atom* ‘ *domA* Γ ♯* *y* ⟹ *supp* (Γ[*y*::*h*=*x*]) = (*supp* Γ − {*atom y*}) ∪ (*if atom y* ∈ *supp* Γ *then* {*atom x*} *else* {})
⟨*proof*⟩

lemma *supp-subst*: $supp\ (e[y::=x]) \subseteq (supp\ e - \{atom\ y\}) \cup \{atom\ x\}$
⟨*proof*⟩

lemma *fv-subst-eq*: $fv\ (e[y::=x]) = (fv\ e - \{y\}) \cup (if\ y \in fv\ e\ then\ \{x\}\ else\ \{\})$
and $atom\ `\ domA\ \Gamma\ \sharp*\ y \Longrightarrow fv\ (\Gamma[y::h=x]) = (fv\ \Gamma - \{y\}) \cup (if\ y \in fv\ \Gamma\ then\ \{x\}\ else\ \{\})$
⟨*proof*⟩

lemma *fv-subst-subset*: $fv\ (e[y ::= x]) \subseteq (fv\ e - \{y\}) \cup \{x\}$
⟨*proof*⟩

lemma *fv-subst-int*: $x \notin S \Longrightarrow y \notin S \Longrightarrow fv\ (e[y ::= x]) \cap S = fv\ e \cap S$
⟨*proof*⟩

lemma *fv-subst-int2*: $x \notin S \Longrightarrow y \notin S \Longrightarrow S \cap fv\ (e[y ::= x]) = S \cap fv\ e$
⟨*proof*⟩

lemma *subst-swap-same*: $atom\ x\ \sharp\ e \Longrightarrow\ (x \leftrightarrow y) \cdot e = e[y ::=x]$
and $atom\ x\ \sharp\ \Gamma \Longrightarrow atom\ `domA\ \Gamma\ \sharp*\ y \Longrightarrow (x \leftrightarrow y) \cdot \Gamma = \Gamma[y ::h= x]$
⟨*proof*⟩

lemma *subst-subst-back*: $atom\ x\ \sharp\ e \Longrightarrow\ e[y::=x][x::=y] = e$
and $atom\ x\ \sharp\ \Gamma \Longrightarrow atom\ `domA\ \Gamma\ \sharp*\ y\ \Longrightarrow \Gamma[y::h=x][x::h=y] = \Gamma$
⟨*proof*⟩

lemma *subst-heap-delete*[*simp*]: $(delete\ x\ \Gamma)[y ::h= z] = delete\ x\ (\Gamma[y ::h= z])$
⟨*proof*⟩

lemma *subst-nil-iff*[*simp*]: $\Gamma[x ::h= z] = [] \longleftrightarrow \Gamma = []$
⟨*proof*⟩

lemma *subst-SmartLet*[*simp*]:
$atom\ `\ domA\ \Gamma\ \sharp*\ (y,z) \Longrightarrow (SmartLet\ \Gamma\ body)[y ::= z] = SmartLet\ (\Gamma[y ::h= z])\ (body[y ::= z])$
⟨*proof*⟩

lemma *subst-let-be*[*simp*]:
$atom\ x'\ \sharp\ y \Longrightarrow atom\ x'\ \sharp\ x \Longrightarrow (let\ x'\ be\ e\ in\ exp\ )[y::=x] = (let\ x'\ be\ e[y::=x]\ in\ exp[y::=x])$
⟨*proof*⟩

lemma *isLam-subst*[*simp*]: $isLam\ e[x::=y] = isLam\ e$
⟨*proof*⟩

lemma *isVal-subst*[*simp*]: $isVal\ e[x::=y] = isVal\ e$
⟨*proof*⟩

lemma *thunks-subst*[*simp*]:
$thunks\ \Gamma[y::h=x] = thunks\ \Gamma$

⟨*proof*⟩

lemma *map-of-subst*:
map-of (Γ[*x*::*h*=*y*]) *k* = *map-option* (λ *e* . *e*[*x*::=*y*]) (*map-of* Γ *k*)
⟨*proof*⟩

lemma *mapCollect-subst*[*simp*]:
{*e k v* | *k*↦*v*∈*map-of* Γ[*x*::*h*=*y*]} = {*e k v*[*x*::=*y*] | *k*↦*v*∈*map-of* Γ}
⟨*proof*⟩

lemma *subst-eq-Cons*:
Γ[*x*::*h*=*y*] = (*x'*, *e*)#Δ ⟷ (∃ *e' Γ'*. Γ = (*x'*,*e'*)#Γ' ∧ *e'*[*x*::=*y*] = *e* ∧ Γ'[*x*::*h*=*y*] = Δ)
⟨*proof*⟩

lemma *nonrec-subst*:
atom ‘ *domA* Γ ♯* *x* ⟹ *atom* ‘ *domA* Γ ♯* *y* ⟹ *nonrec* Γ[*x*::*h*=*y*] ⟷ *nonrec* Γ
⟨*proof*⟩

end

3.4 Launchbury

theory *Launchbury*
imports *Terms Substitution*
begin

3.4.1 The natural semantics

This is the semantics as in [Lau93], with two differences:

- Explicit freshness requirements for bound variables in the application and the Let rule.
- An additional parameter that stores variables that have to be avoided, but do not occur in the judgement otherwise, follwing [Ses97].

inductive
reds :: *heap* ⇒ *exp* ⇒ *var list* ⇒ *heap* ⇒ *exp* ⇒ *bool*
(- : - ⇓_ - : - [*50*,*50*,*50*,*50*] *50*)
where
Lambda:
Γ : (*Lam* [*x*]. *e*) ⇓$_L$ Γ : (*Lam* [*x*]. *e*)
| *Application*: ⟦
atom y ♯ (Γ,*e*,*x*,*L*,Δ,Θ,*z*) ;
Γ : *e* ⇓$_L$ Δ : (*Lam* [*y*]. *e'*);
Δ : *e'*[*y* ::= *x*] ⇓$_L$ Θ : *z*
⟧ ⟹
Γ : *App e x* ⇓$_L$ Θ : *z*
| *Variable*: ⟦

map-of Γ *x* = *Some e*; *delete x* Γ : *e* $\Downarrow_{x\#L}$ Δ : *z*
⟧ ⟹
Γ : *Var x* $\Downarrow_L$ (*x*, *z*) # Δ : *z*
| *Let*: ⟦
atom ‘ *domA* Δ ♯∗ (Γ, *L*);
Δ @ Γ : *body* $\Downarrow_L$ Θ : *z*
⟧ ⟹
Γ : *Let* Δ *body* $\Downarrow_L$ Θ : *z*
| *Bool*:
Γ : *Bool b* $\Downarrow_L$ Γ : *Bool b*
| *IfThenElse*: ⟦
Γ : *scrut* $\Downarrow_L$ Δ : (*Bool b*);
Δ : (*if b then* e_1 *else* e_2) $\Downarrow_L$ Θ : *z*
⟧ ⟹
Γ : (*scrut ?* e_1 : e_2) $\Downarrow_L$ Θ : *z*

equivariance *reds*

nominal-inductive *reds*
avoids *Application*: *y*
⟨*proof*⟩

3.4.2 Example evaluations

lemma *eval-test*:
[] : (*Let* [(*x*, *Lam* [*y*]. *Var y*)] (*Var x*)) $\Downarrow_{[]}$ [(*x*, *Lam* [*y*]. *Var y*)] : (*Lam* [*y*]. *Var y*)
⟨*proof*⟩

lemma *eval-test2*:
y ≠ *x* ⟹ *n* ≠ *y* ⟹ *n* ≠ *x* ⟹[] : (*Let* [(*x*, *Lam* [*y*]. *Var y*)] (*App* (*Var x*) *x*)) $\Downarrow_{[]}$ [(*x*, *Lam* [*y*]. *Var y*)] : (*Lam* [*y*]. *Var y*)
⟨*proof*⟩

3.4.3 Better introduction rules

This variant do not require freshness.

lemma *reds-ApplicationI*:
assumes Γ : *e* $\Downarrow_L$ Δ : *Lam* [*y*]. *e′*
assumes Δ : *e′*[*y*::=*x*] $\Downarrow_L$ Θ : *z*
shows Γ : *App e x* $\Downarrow_L$ Θ : *z*
⟨*proof*⟩

lemma *reds-SmartLet*: ⟦
atom ‘ *domA* Δ ♯∗ (Γ, *L*);
Δ @ Γ : *body* $\Downarrow_L$ Θ : *z*
⟧ ⟹
Γ : *SmartLet* Δ *body* $\Downarrow_L$ Θ : *z*
⟨*proof*⟩

A single rule for values

lemma *reds-isValI*:
$isVal\ z \Longrightarrow \Gamma : z \Downarrow_L \Gamma : z$
⟨*proof*⟩

3.4.4 Properties of the semantics

Heap entries are never removed.

lemma *reds-doesnt-forget*:
$\Gamma : e \Downarrow_L \Delta : z \Longrightarrow domA\ \Gamma \subseteq domA\ \Delta$
⟨*proof*⟩

Live variables are not added to the heap.

lemma *reds-avoids-live'*:
assumes $\Gamma : e \Downarrow_L \Delta : z$
shows $(domA\ \Delta - domA\ \Gamma) \cap set\ L = \{\}$
⟨*proof*⟩

lemma *reds-avoids-live*:
$[\![\Gamma : e \Downarrow_L \Delta : z;$
$x \in set\ L;$
$x \notin domA\ \Gamma$
$]\!] \Longrightarrow x \notin domA\ \Delta$
⟨*proof*⟩

Fresh variables either stay fresh or are added to the heap.

lemma *reds-fresh*: $[\![\Gamma : e \Downarrow_L \Delta : z;$
$atom\ (x::var) \sharp (\Gamma, e)$
$]\!] \Longrightarrow atom\ x \sharp (\Delta, z) \vee x \in (domA\ \Delta - set\ L)$
⟨*proof*⟩

lemma *reds-fresh-fv*: $[\![\Gamma : e \Downarrow_L \Delta : z;$
$x \in fv\ (\Delta, z) \wedge (x \notin domA\ \Delta \vee\ x \in set\ L)$
$]\!] \Longrightarrow x \in fv\ (\Gamma, e)$
⟨*proof*⟩

lemma *new-free-vars-on-heap*:
assumes $\Gamma : e \Downarrow_L \Delta : z$
shows $fv\ (\Delta, z) - domA\ \Delta \subseteq fv\ (\Gamma, e) - domA\ \Gamma$
⟨*proof*⟩

lemma *reds-pres-closed*:
assumes $\Gamma : e \Downarrow_L \Delta : z$
and $fv\ (\Gamma, e) \subseteq set\ L \cup domA\ \Gamma$
shows $fv\ (\Delta, z) \subseteq set\ L \cup domA\ \Delta$
⟨*proof*⟩

Reducing the set of variables to avoid is always possible.

lemma *reds-smaller-L*: $[\![\Gamma : e \Downarrow_L \Delta : z;$
$\quad set\ L' \subseteq set\ L$
$\ ]\!] \Longrightarrow \Gamma : e \Downarrow_{L'} \Delta : z$
⟨*proof*⟩

Things are evaluated to a lambda expression, and the variable can be freely chose.

lemma *result-evaluated*:
$\Gamma : e \Downarrow_L \Delta : z \Longrightarrow isVal\ z$
⟨*proof*⟩

lemma *result-evaluated-fresh*:
assumes $\Gamma : e \Downarrow_L \Delta : z$
obtains $y\ e'$
where $z = (Lam\ [y].\ e')$ **and** $atom\ y \sharp (c::'a::fs) \mid b$ **where** $z = Bool\ b$
⟨*proof*⟩

end

4 Denotational domain

4.1 Value

theory *Value*
imports *HOLCF*
begin

4.1.1 The semantic domain for values and environments

domain $Value = Fn\ (\textbf{lazy}\ Value \to Value) \mid B\ (\textbf{lazy}\ bool\ discr)$

fixrec *Fn-project* :: $Value \to Value \to Value$
where $Fn\text{-}project{\cdot}(Fn{\cdot}f) = f$

abbreviation *Fn-project-abbr* (**infix** $\downarrow Fn\ 55$)
where $f \downarrow Fn\ v \equiv Fn\text{-}project{\cdot}f{\cdot}v$

lemma [*simp*]:
$\bot \downarrow Fn\ x = \bot$
$(B{\cdot}b) \downarrow Fn\ x = \bot$
⟨*proof*⟩

fixrec *B-project* :: $Value \to Value \to Value \to Value$ **where**
$B\text{-}project{\cdot}(B{\cdot}db){\cdot}v_1{\cdot}v_2 = (if\ undiscr\ db\ then\ v_1\ else\ v_2)$

lemma [*simp*]:

B-project·(*B*·(*Discr b*))·v_1·v_2 = (*if b then* v_1 *else* v_2)
B-project·⊥·v_1·v_2 = ⊥
B-project·(*Fn*·*f*)·v_1·v_2 = ⊥
⟨*proof*⟩

A chain in the domain *Value* is either always bottom, or eventually *Fn* of another chain

lemma *Value-chainE*[*consumes 1*, *case-names bot B Fn*]:
assumes *chain Y*
obtains *Y* = (λ - . ⊥) |
n b **where** *Y* = (λ *m*. (*if m* < *n then* ⊥ *else B*·*b*)) |
n Y′ **where** *Y* = (λ *m*. (*if m* < *n then* ⊥ *else Fn*·(*Y′* (*m*−*n*)))) *chain Y′*
⟨*proof*⟩

end

4.2 Value-Nominal

theory *Value*−*Nominal*
imports *Value Nominal*−*Utils Nominal*−*HOLCF*
begin

Values are pure, i.e. contain no variables.

instantiation *Value* :: *pure*
begin
definition *p* ∙ (*v*::*Value*) = *v*
instance
⟨*proof*⟩
end

instance *Value* :: *pcpo-pt*
⟨*proof*⟩

end

5 Denotational semantics

5.1 Iterative

theory *Iterative*
imports *Env−HOLCF*
begin

A setup for defining a fixed point of mutual recursive environments iteratively

locale *iterative* =
  fixes ϱ :: $'a$::*type* ⇒ $'b$::*pcpo*
   and *e1* :: ($'a$ ⇒ $'b$) → ($'a$ ⇒ $'b$)
   and *e2* :: ($'a$ ⇒ $'b$) → $'b$
   and S :: $'a$ *set* **and** x :: $'a$
  assumes *ne*:$x \notin S$
begin
  abbreviation $L == (\Lambda\ \varrho'.\ (\varrho +\!\!+_S e1 \cdot \varrho')(x := e2 \cdot \varrho'))$
  abbreviation $H == (\lambda\ \varrho'.\ \Lambda\ \varrho''.\ \varrho' +\!\!+_S e1 \cdot \varrho'')$
  abbreviation $R == (\Lambda\ \varrho'.\ (\varrho +\!\!+_S (fix \cdot (H\ \varrho')))(x := e2 \cdot \varrho'))$
  abbreviation $R' == (\Lambda\ \varrho'.\ (\varrho +\!\!+_S (fix \cdot (H\ \varrho')))(x := e2 \cdot (fix \cdot (H\ \varrho'))))$

  lemma *split-x*:
    fixes y
    obtains $y = x$ **and** $y \notin S \mid y \in S$ **and** $y \neq x \mid y \notin S$ **and** $y \neq x$ ⟨*proof*⟩
  lemmas *below* = *fun-belowI*[*OF split-x*, **where** *y1* = $\lambda x.\ x$]
  lemmas *eq* = *ext*[*OF split-x*, **where** *y1* = $\lambda x.\ x$]

  lemma *lookup-fix*[*simp*]:
    fixes y **and** F :: ($'a$ ⇒ $'b$) → ($'a$ ⇒ $'b$)
    shows $(fix \cdot F)\ y = (F \cdot (fix \cdot F))\ y$
    ⟨*proof*⟩

  lemma *R-S*: $\bigwedge y.\ y \in S \Longrightarrow (fix \cdot R)\ y = (e1 \cdot (fix \cdot (H\ (fix \cdot R))))\ y$
    ⟨*proof*⟩

  lemma *R′-S*: $\bigwedge y.\ y \in S \Longrightarrow (fix \cdot R')\ y = (e1 \cdot (fix \cdot (H\ (fix \cdot R'))))\ y$
    ⟨*proof*⟩

  lemma *HR-is-R*[*simp*]: $fix \cdot (H\ (fix \cdot R)) = fix \cdot R$
    ⟨*proof*⟩

  lemma *HR′-is-R′*[*simp*]: $fix \cdot (H\ (fix \cdot R')) = fix \cdot R'$
    ⟨*proof*⟩

  lemma *H-noop*:
    fixes ϱ' ϱ''
    assumes $\bigwedge y.\ y \in S \Longrightarrow y \neq x \Longrightarrow (e1 \cdot \varrho'')\ y \sqsubseteq \varrho'\ y$
    shows $H\ \varrho' \cdot \varrho'' \sqsubseteq \varrho'$
      ⟨*proof*⟩

lemma *HL-is-L*[*simp*]: *fix* · (*H* (*fix* · *L*)) = *fix* · *L*
⟨*proof*⟩

lemma *iterative-override-on*:
shows *fix* · *L* = *fix* · *R*
⟨*proof*⟩

lemma *iterative-override-on′*:
shows *fix* · *L* = *fix* · *R′*
⟨*proof*⟩
end

end

5.2 HasESem

theory *HasESem*
imports *Nominal−HOLCF Env−HOLCF*
begin

A local to work abstract in the expression type and semantics.

locale *has-ESem* =
fixes *ESem* :: *′exp*::*pt* ⇒ (*′var*::*at-base* ⇒ *′value*) → *′value*::{*pure*,*pcpo*}
begin
abbreviation *ESem-syn* (⟦ - ⟧- [0,0] 110) **where** ⟦*e*⟧ϱ ≡ *ESem e* · ϱ
end

locale *has-ignore-fresh-ESem* = *has-ESem* +
assumes *fv-supp*: *supp e* = *atom* ‘ (*fv e* :: *′b set*)
assumes *ESem-considers-fv*: ⟦ *e* ⟧ϱ = ⟦ *e* ⟧ϱ *f*|‘ (*fv e*)

end

5.3 HeapSemantics

theory *HeapSemantics*
imports *EvalHeap AList−Utils−Nominal HasESem Iterative Env−Nominal*
begin

5.3.1 A locale for heap semantics, abstract in the expression semantics

context *has-ESem*
begin

abbreviation *EvalHeapSem-syn* (⟦ - ⟧- [0,0] 110)
where *EvalHeapSem-syn* Γ ϱ ≡ *evalHeap* Γ (λ *e*. ⟦*e*⟧ϱ)

definition
HSem :: (*'var* × *'exp*) *list* ⇒ (*'var* ⇒ *'value*) → (*'var* ⇒ *'value*)
where *HSem* Γ = (Λ ϱ . (μ ϱ′. ϱ ++$_{domA\ \Gamma}$ ⟦Γ⟧$_{\varrho'}$))

abbreviation *HSem-syn* ({| - |}- [0,60] 60)
where {|Γ|}ϱ ≡ *HSem* Γ · ϱ

lemma *HSem-def'*: {|Γ|}ϱ = (μ ϱ′. ϱ ++$_{domA\ \Gamma}$ ⟦Γ⟧$_{\varrho'}$)
⟨*proof*⟩

5.3.2 Induction and other lemmas about *HSem*

lemma *HSem-ind*:
assumes *adm P*
assumes *P* ⊥
assumes *step*: ⋀ ϱ′. *P* ϱ′ ⟹ *P* (ϱ ++$_{domA\ \Gamma}$ ⟦Γ⟧$_{\varrho'}$)
shows *P* ({|Γ|}ϱ)
⟨*proof*⟩

lemma *HSem-below*:
assumes *rho*: ⋀*x*. *x* ∉ *domA h* ⟹ ϱ *x* ⊑ *r x*
assumes *h*: ⋀*x*. *x* ∈ *domA h* ⟹ ⟦*the* (*map-of h x*)⟧$_r$ ⊑ *r x*
shows {|*h*|}ϱ ⊑ *r*
⟨*proof*⟩

lemma *HSem-bot-below*:
assumes *h*: ⋀*x*. *x* ∈ *domA h* ⟹ ⟦*the* (*map-of h x*)⟧$_r$ ⊑ *r x*
shows {|*h*|}⊥ ⊑ *r*
⟨*proof*⟩

lemma *HSem-bot-ind*:
assumes *adm P*
assumes *P* ⊥
assumes *step*: ⋀ ϱ′. *P* ϱ′ ⟹ *P* (⟦ Γ ⟧$_{\varrho'}$)
shows *P* ({|Γ|}⊥)
⟨*proof*⟩

lemma *parallel-HSem-ind*:
assumes *adm* (λϱ′. *P* (*fst* ϱ′) (*snd* ϱ′))
assumes *P* ⊥ ⊥
assumes *step*: ⋀*y z*. *P y z* ⟹
P (ϱ$_1$ ++$_{domA\ \Gamma_1}$ ⟦Γ$_1$⟧*y*) (ϱ$_2$ ++$_{domA\ \Gamma_2}$ ⟦Γ$_2$⟧*z*)
shows *P* ({|Γ$_1$|}ϱ$_1$) ({|Γ$_2$|}ϱ$_2$)
⟨*proof*⟩

lemma *HSem-eq*:
shows {|Γ|}ϱ = ϱ ++$_{domA\ \Gamma}$ ⟦Γ⟧$_{\{|\Gamma|\}\varrho}$
⟨*proof*⟩

lemma *HSem-bot-eq*:
shows $\{\!|\Gamma|\!\}\bot = [\![\Gamma]\!]_{\{\!|\Gamma|\!\}\bot}$
⟨*proof*⟩

lemma *lookup-HSem-other*:
assumes $y \notin domA\ h$
shows $(\{\!|h|\!\}\varrho)\ y = \varrho\ y$
⟨*proof*⟩

lemma *lookup-HSem-heap*:
assumes $y \in domA\ h$
shows $(\{\!|h|\!\}\varrho)\ y = [\![\ the\ (map\text{-}of\ h\ y)\ ]\!]_{\{\!|h|\!\}\varrho}$
⟨*proof*⟩

lemma *HSem-edom-subset*: $edom\ (\{\!|\Gamma|\!\}\varrho) \subseteq edom\ \varrho \cup domA\ \Gamma$
⟨*proof*⟩

lemma (**in** −) *env-restr-override-onI*:$-S2 \subseteq S \Longrightarrow env\text{-}restr\ S\ \varrho 1\ +\!\!+_{S2}\ \varrho 2 = \varrho 1\ +\!\!+_{S2}\ \varrho 2$
⟨*proof*⟩

lemma *HSem-restr*:
$\{\!|h|\!\}(\varrho\ f|`(-\ domA\ h)) = \{\!|h|\!\}\varrho$
⟨*proof*⟩

lemma *HSem-restr-cong*:
assumes $\varrho\ f|`(-\ domA\ h) = \varrho'\ f|`(-\ domA\ h)$
shows $\{\!|h|\!\}\varrho = \{\!|h|\!\}\varrho'$
⟨*proof*⟩

lemma *HSem-restr-cong-below*:
assumes $\varrho\ f|`(-\ domA\ h) \sqsubseteq \varrho'\ f|`(-\ domA\ h)$
shows $\{\!|h|\!\}\varrho \sqsubseteq \{\!|h|\!\}\varrho'$
⟨*proof*⟩

lemma *HSem-reorder*:
assumes $map\text{-}of\ \Gamma = map\text{-}of\ \Delta$
shows $\{\!|\Gamma|\!\}\varrho = \{\!|\Delta|\!\}\varrho$
⟨*proof*⟩

lemma *HSem-reorder-head*:
assumes $x \neq y$
shows $\{\!|(x,e1)\#(y,e2)\#\Gamma|\!\}\varrho = \{\!|(y,e2)\#(x,e1)\#\Gamma|\!\}\varrho$
⟨*proof*⟩

lemma *HSem-reorder-head-append*:
assumes $x \notin domA\ \Gamma$
shows $\{\!|(x,e)\#\Gamma@\Delta|\!\}\varrho = \{\!|\Gamma\ @\ ((x,e)\#\Delta)|\!\}\varrho$
⟨*proof*⟩

lemma *env-restr-HSem*:
assumes $domA\ \Gamma \cap S = \{\}$
shows $(\{\!|\ \Gamma\ |\!\}\varrho)\ f|`\ S = \varrho\ f|`\ S$
⟨*proof*⟩

lemma *env-restr-HSem-noop*:
assumes $domA\ \Gamma \cap edom\ \varrho = \{\}$
shows $(\{\!|\ \Gamma\ |\!\}\varrho)\ f|`\ edom\ \varrho = \varrho$
⟨*proof*⟩

lemma *HSem-Nil*[*simp*]: $\{\!|[]|\!\}\varrho = \varrho$
⟨*proof*⟩

5.3.3 Substitution

lemma *HSem-subst-exp*:
assumes $\bigwedge\varrho'.\ [\![\ e\ ]\!]_{\varrho'} = [\![\ e'\ ]\!]_{\varrho'}$
shows $\{\!|(x,\ e)\ \#\ \Gamma|\!\}\varrho = \{\!|(x,\ e')\ \#\ \Gamma|\!\}\varrho$
⟨*proof*⟩

lemma *HSem-subst-expr-below*:
assumes *below*: $[\![\ e1\ ]\!]_{\{\!|(x,\ e2)\ \#\ \Gamma|\!\}\varrho} \sqsubseteq [\![\ e2\ ]\!]_{\{\!|(x,\ e2)\ \#\ \Gamma|\!\}\varrho}$
shows $\{\!|(x,\ e1)\ \#\ \Gamma|\!\}\varrho \sqsubseteq \{\!|(x,\ e2)\ \#\ \Gamma|\!\}\varrho$
⟨*proof*⟩

lemma *HSem-subst-expr*:
assumes *below1*: $[\![\ e1\ ]\!]_{\{\!|(x,\ e2)\ \#\ \Gamma|\!\}\varrho} \sqsubseteq [\![\ e2\ ]\!]_{\{\!|(x,\ e2)\ \#\ \Gamma|\!\}\varrho}$
assumes *below2*: $[\![\ e2\ ]\!]_{\{\!|(x,\ e1)\ \#\ \Gamma|\!\}\varrho} \sqsubseteq [\![\ e1\ ]\!]_{\{\!|(x,\ e1)\ \#\ \Gamma|\!\}\varrho}$
shows $\{\!|(x,\ e1)\ \#\ \Gamma|\!\}\varrho = \{\!|(x,\ e2)\ \#\ \Gamma|\!\}\varrho$
⟨*proof*⟩

5.3.4 Re-calculating the semantics of the heap is idempotent

lemma *HSem-redo*:
shows $\{\!|\Gamma|\!\}(\{\!|\Gamma\ @\ \Delta|\!\}\varrho)\ f|`\ (edom\ \varrho \cup domA\ \Delta) = \{\!|\Gamma\ @\ \Delta|\!\}\varrho$ (**is** *?LHS = ?RHS*)
⟨*proof*⟩

5.3.5 Iterative definition of the heap semantics

lemma *iterative-HSem*:
assumes $x \notin domA\ \Gamma$
shows $\{\!|(x,e)\ \#\ \Gamma|\!\}\varrho = (\mu\ \varrho'.\ (\varrho\ +\!\!+_{domA\ \Gamma}\ (\{\!|\Gamma|\!\}\varrho'))(\ x := [\![e]\!]_{\varrho'}))$
⟨*proof*⟩

lemma *iterative-HSem′*:
assumes $x \notin domA\ \Gamma$
shows $(\mu\ \varrho'.\ (\varrho\ +\!\!+_{domA\ \Gamma}\ (\{\!|\Gamma|\!\}\varrho'))(\ x := [\![e]\!]_{\varrho'}))$
$\quad = (\mu\ \varrho'.\ (\varrho\ +\!\!+_{domA\ \Gamma}\ (\{\!|\Gamma|\!\}\varrho'))(\ x := [\![e]\!]_{\{\!|\Gamma|\!\}\varrho'}))$
⟨*proof*⟩

5.3.6 Fresh variables on the heap are irrelevant

lemma *HSem-ignores-fresh-restr'*:
assumes $fv\ \Gamma \subseteq S$
assumes $\bigwedge x\ \varrho.\ x \in domA\ \Gamma \Longrightarrow [\![\ the\ (map\text{-}of\ \Gamma\ x)\ ]\!]_\varrho = [\![\ the\ (map\text{-}of\ \Gamma\ x)\ ]\!]_{\varrho\ f|`\ (fv\ (the\ (map\text{-}of\ \Gamma\ x)))}$
shows $(\{\!|\Gamma|\!\}\varrho)\ f|`\ S = \{\!|\Gamma|\!\}\varrho\ f|`\ S$
⟨*proof*⟩
end

5.3.7 Freshness

context *has-ignore-fresh-ESem* **begin**

lemma *ESem-fresh-cong*:
assumes $\varrho\ f|`\ (fv\ e) = \varrho'\ f|`\ (fv\ e)$
shows $[\![\ e\ ]\!]_\varrho = [\![\ e\ ]\!]_{\varrho'}$
⟨*proof*⟩

lemma *ESem-fresh-cong-subset*:
assumes $fv\ e \subseteq S$
assumes $\varrho\ f|`\ S = \varrho'\ f|`\ S$
shows $[\![\ e\ ]\!]_\varrho = [\![\ e\ ]\!]_{\varrho'}$
⟨*proof*⟩

lemma *ESem-fresh-cong-below*:
assumes $\varrho\ f|`\ (fv\ e) \sqsubseteq \varrho'\ f|`\ (fv\ e)$
shows $[\![\ e\ ]\!]_\varrho \sqsubseteq [\![\ e\ ]\!]_{\varrho'}$
⟨*proof*⟩

lemma *ESem-fresh-cong-below-subset*:
assumes $fv\ e \subseteq S$
assumes $\varrho\ f|`\ S \sqsubseteq \varrho'\ f|`\ S$
shows $[\![\ e\ ]\!]_\varrho \sqsubseteq [\![\ e\ ]\!]_{\varrho'}$
⟨*proof*⟩

lemma *ESem-ignores-fresh-restr*:
assumes $atom\ `\ S \sharp* e$
shows $[\![\ e\ ]\!]_\varrho = [\![\ e\ ]\!]_{\varrho\ f|`\ (-\ S)}$
⟨*proof*⟩

lemma *ESem-ignores-fresh-restr'*:
assumes $atom\ `\ (edom\ \varrho - S) \sharp* e$
shows $[\![\ e\ ]\!]_\varrho = [\![\ e\ ]\!]_{\varrho\ f|`\ S}$
⟨*proof*⟩

lemma *HSem-ignores-fresh-restr''*:
assumes $fv\ \Gamma \subseteq S$
shows $(\{\!|\Gamma|\!\}\varrho)\ f|`\ S = \{\!|\Gamma|\!\}\varrho\ f|`\ S$
⟨*proof*⟩

lemma *HSem-ignores-fresh-restr*:
assumes *atom ‘ S ♯∗ Γ*
shows *(⦇Γ⦈ϱ) f|‘ (− S) = ⦇Γ⦈ϱ f|‘ (− S)*
⟨*proof*⟩

lemma *HSem-fresh-cong-below*:
assumes *ϱ f|‘ ((S ∪ fv Γ) − domA Γ) ⊑ ϱ′ f|‘ ((S ∪ fv Γ) − domA Γ)*
shows *(⦇Γ⦈ϱ) f|‘ S ⊑ (⦇Γ⦈ϱ′) f|‘ S*
⟨*proof*⟩

lemma *HSem-fresh-cong*:
assumes *ϱ f|‘ ((S ∪ fv Γ) − domA Γ) = ϱ′ f|‘ ((S ∪ fv Γ) − domA Γ)*
shows *(⦇Γ⦈ϱ) f|‘ S = (⦇Γ⦈ϱ′) f|‘ S*
⟨*proof*⟩

5.3.8 Adding a fresh variable to a heap does not affect its semantics

lemma *HSem-add-fresh′*:
assumes *fresh*: *atom x ♯ Γ*
assumes *x ∉ edom ϱ*
assumes *step*: *⋀e ϱ′. e ∈ snd ‘ set Γ ⟹ ⟦ e ⟧ϱ′ = ⟦ e ⟧env-delete x ϱ′*
shows *env-delete x (⦇(x, e) # Γ⦈ϱ) = ⦇Γ⦈ϱ*
⟨*proof*⟩

lemma *HSem-add-fresh*:
assumes *atom x ♯ Γ*
assumes *x ∉ edom ϱ*
shows *env-delete x (⦇(x, e) # Γ⦈ϱ) = ⦇Γ⦈ϱ*
⟨*proof*⟩

5.3.9 Mutual recursion with fresh variables

lemma *HSem-subset-below*:
assumes *fresh*: *atom ‘ domA Γ ♯∗ Δ*
shows *⦇Δ⦈(ϱ f|‘ (− domA Γ)) ⊑ (⦇Δ@Γ⦈ϱ) f|‘ (− domA Γ)*
⟨*proof*⟩

In the following lemma we show that the semantics of fresh variables can be be calculated together with the presently bound variables, or separately.

lemma *HSem-merge*:
assumes *fresh*: *atom ‘ domA Γ ♯∗ Δ*
shows *⦇Γ⦈⦇Δ⦈ϱ = ⦇Γ@Δ⦈ϱ*
⟨*proof*⟩
end

5.3.10 Parallel induction

lemma *parallel-HSem-ind-different-ESem*:

assumes *adm* ($\lambda\varrho'$. *P* (*fst* ϱ') (*snd* ϱ'))
assumes *P* $\bot$ $\bot$
assumes $\bigwedge$*y z*. *P y z* $\Longrightarrow$ *P* (ϱ ++$_{domA\ h}$ *evalHeap h* (λ*e*. *ESem1 e* $\cdot$ *y*)) (ϱ*2* ++$_{domA\ h2}$ *evalHeap h2* (λ*e*. *ESem2 e* $\cdot$ *z*))
shows *P* (*has-ESem.HSem ESem1 h*·ϱ) (*has-ESem.HSem ESem2 h2*·ϱ*2*)
⟨*proof*⟩

5.3.11 Congruence rule

lemma *HSem-cong*[*fundef-cong*]:
⟦ ($\bigwedge$ *e*. *e* ∈ *snd* ‘ *set heap2* $\Longrightarrow$ *ESem1 e* = *ESem2 e*); *heap1* = *heap2* ⟧
$\Longrightarrow$ *has-ESem.HSem ESem1 heap1* = *has-ESem.HSem ESem2 heap2*
⟨*proof*⟩

5.3.12 Equivariance of the heap semantics

lemma *HSem-eqvt*[*eqvt*]:
π $\cdot$ *has-ESem.HSem ESem* Γ = *has-ESem.HSem* (π $\cdot$ *ESem*) (π $\cdot$ Γ)
⟨*proof*⟩

end

5.4 AbstractDenotational

theory *AbstractDenotational*
imports *HeapSemantics Terms*
begin

5.4.1 The denotational semantics for expressions

Because we need to define two semantics later on, we are abstract in the actual domain.

locale *semantic-domain* =
fixes *Fn* :: (*'Value* → *'Value*) → (*'Value*::{*pcpo-pt*,*pure*})
fixes *Fn-project* :: *'Value* → (*'Value* → *'Value*)
fixes *B* :: *bool discr* → *'Value*
fixes *B-project* :: *'Value* → *'Value* → *'Value* → *'Value*
fixes *tick* :: *'Value* → *'Value*
begin

nominal-function
ESem :: *exp* ⇒ (*var* ⇒ *'Value*) → *'Value*
where
ESem (*Lam* [*x*]. *e*) = (Λ ϱ. *tick*·(*Fn*·(Λ *v*. *ESem e*·((ϱ *f*|‘ *fv* (*Lam* [*x*]. *e*))(*x* := *v*)))))
| *ESem* (*App e x*) = (Λ ϱ. *tick*·(*Fn-project*·(*ESem e*·ϱ)·(ϱ *x*)))
| *ESem* (*Var x*) = (Λ ϱ. *tick*·(ϱ *x*))
| *ESem* (*Let as body*) = (Λ ϱ. *tick*·(*ESem body*·(*has-ESem.HSem ESem as*·(ϱ *f*|‘ *fv* (*Let as body*)))))
| *ESem* (*Bool b*) = (Λ ϱ. *tick*·(*B*·(*Discr b*)))

| *ESem* (*scrut ? e1 : e2*) = (Λ *ϱ*. *tick*·((*B-project*·(*ESem scrut*·*ϱ*))·(*ESem e1*·*ϱ*)·(*ESem e2*·*ϱ*)))
⟨*proof*⟩

nominal-termination (**in** *semantic-domain*) (*no-eqvt*) ⟨*proof*⟩

sublocale *has-ESem ESem*⟨*proof*⟩

notation *ESem-syn* (⟦ - ⟧- [*60*,*60*] *60*)
notation *EvalHeapSem-syn* (⟦ - ⟧- [*0*,*0*] *110*)
notation *HSem-syn* (⦃-⦄- [*60*,*60*] *60*)
abbreviation *AHSem-bot* (⦃-⦄ [*60*] *60*) **where** ⦃Γ⦄ ≡ ⦃Γ⦄⊥

end
end

5.5 Abstract-Denotational-Props

theory *Abstract−Denotational−Props*
imports *AbstractDenotational Substitution*
begin

context *semantic-domain*
begin

5.5.1 The semantics ignores fresh variables

lemma *ESem-considers-fv′*: ⟦ *e* ⟧*ϱ* = ⟦ *e* ⟧*ϱ f|‘* (*fv e*)
⟨*proof*⟩

sublocale *has-ignore-fresh-ESem ESem*
⟨*proof*⟩

5.5.2 Nicer equations for ESem, without freshness requirements

lemma *ESem-Lam*[*simp*]: ⟦ *Lam* [*x*]. *e* ⟧*ϱ* = *tick* · (*Fn* · (Λ *v*. ⟦ *e* ⟧*ϱ*(*x* := *v*)))
⟨*proof*⟩
declare *ESem.simps*(*1*)[*simp del*]

lemma *ESem-Let*[*simp*]: ⟦*Let as body*⟧*ϱ* = *tick* · (⟦*body*⟧⦃*as*⦄*ϱ*)
⟨*proof*⟩
declare *ESem.simps*(*4*)[*simp del*]

5.5.3 Denotation of Substitution

lemma *ESem-subst-same*: *ϱ x* = *ϱ y* ⟹ ⟦ *e* ⟧*ϱ* = ⟦ *e*[*x*::= *y*] ⟧*ϱ*
and
ϱ x = *ϱ y* ⟹ (⟦ *as* ⟧*ϱ*) = ⟦ *as*[*x*::*h*=*y*] ⟧*ϱ*
⟨*proof*⟩

lemma *ESem-subst*:
shows $[\![e]\!]_{\sigma(x := \sigma\ y)} = [\![e[x::= y]]\!]_\sigma$
⟨*proof*⟩

end

end

5.6 Denotational

theory *Denotational*
imports *Abstract−Denotational−Props Value−Nominal*
begin

This is the actual denotational semantics as found in [Lau93].

interpretation *semantic-domain Fn Fn-project B B-project* (Λ *x. x*)⟨*proof*⟩

notation *ESem-syn* ($[\![$ - $]\!]_-$ [*60*,*60*] *60*)
notation *EvalHeapSem-syn* ($[\![$ - $]\!]_-$ [*0*,*0*] *110*)
notation *HSem-syn* ($\{\!|$-$|\!\}_-$ [*60*,*60*] *60*)
notation *AHSem-bot* ($\{\!|$-$|\!\}$ [*60*] *60*)

lemma *ESem-simps-as-defined*:
$[\![Lam\ [x].\ e]\!]_\varrho = Fn{\cdot}(\Lambda\ v.\ [\![e]\!]_{(\varrho\ f|`(fv\ (Lam\ [x].e)))(x := v)})$
$[\![App\ e\ x]\!]_\varrho = [\![e]\!]_\varrho \downarrow Fn\ \varrho\ x$
$[\![Var\ x]\!]_\varrho = \varrho\ x$
$[\![Bool\ b]\!]_\varrho = B{\cdot}(Discr\ b)$
$[\![(scrut\ ?\ e_1 : e_2)]\!]_\varrho = B\text{-}project{\cdot}([\![scrut]\!]_\varrho){\cdot}([\![e_1]\!]_\varrho){\cdot}([\![e_2]\!]_\varrho)$
$[\![Let\ \Gamma\ body]\!]_\varrho = [\![body]\!]_{\{\!|\Gamma|\!\}(\varrho\ f|`\ fv\ (Let\ \Gamma\ body))}$
⟨*proof*⟩

lemma *ESem-simps*:
$[\![Lam\ [x].\ e]\!]_\varrho = Fn{\cdot}(\Lambda\ v.\ [\![e]\!]_{\varrho(x := v)})$
$[\![App\ e\ x]\!]_\varrho = [\![e]\!]_\varrho \downarrow Fn\ \varrho\ x$
$[\![Var\ x]\!]_\varrho = \varrho\ x$
$[\![Bool\ b]\!]_\varrho = B{\cdot}(Discr\ b)$
$[\![(scrut\ ?\ e_1 : e_2)]\!]_\varrho = B\text{-}project{\cdot}([\![scrut]\!]_\varrho){\cdot}([\![e_1]\!]_\varrho){\cdot}([\![e_2]\!]_\varrho)$
$[\![Let\ \Gamma\ body]\!]_\varrho = [\![body]\!]_{\{\!|\Gamma|\!\}\varrho}$
⟨*proof*⟩⟨*proof*⟩⟨*proof*⟩

end

6 Resourced denotational domain

6.1 C

theory *C*
imports *HOLCF Mono−Nat−Fun*
begin

default-sort *cpo*

The initial solution to the domain equation $C = C_\perp$, i.e. the completion of the natural numbers.

domain $C = C$ (**lazy** C)

lemma *below-C*: $x \sqsubseteq C{\cdot}x$
⟨*proof*⟩

definition *Cinf* (C^∞) **where** $C^\infty = \mathit{fix}{\cdot}C$

lemma *C-Cinf*[*simp*]: $C{\cdot}C^\infty = C^\infty$ ⟨*proof*⟩

abbreviation *Cpow* ($C^{\text{-}}$) **where** $C^n \equiv \mathit{iterate}\ n{\cdot}C{\cdot}\perp$

lemma *C-below-C*[*simp*]: $(C^i \sqsubseteq C^j) \longleftrightarrow i \leq j$
⟨*proof*⟩

lemma *below-Cinf*[*simp*]: $r \sqsubseteq C^\infty$
⟨*proof*⟩

lemma *C-eq-Cinf*[*simp*]: $C^i \neq C^\infty$
⟨*proof*⟩

lemma *Cinf-eq-C*[*simp*]: $C^\infty = C \cdot r \longleftrightarrow C^\infty = r$
⟨*proof*⟩

lemma *C-eq-C*[*simp*]: $(C^i = C^j) \longleftrightarrow i = j$
⟨*proof*⟩

lemma *case-of-C-below*: $(\mathit{case}\ r\ \mathit{of}\ C{\cdot}y \Rightarrow x) \sqsubseteq x$
⟨*proof*⟩

lemma *C-case-below*: *C-case* $\cdot\ f \sqsubseteq f$
⟨*proof*⟩

lemma *C-case-bot*[*simp*]: *C-case* $\cdot\ \perp = \perp$
⟨*proof*⟩

lemma *C-case-cong*:

assumes $\bigwedge r'.\ r = C{\cdot}r' \Longrightarrow f{\cdot}r' = g{\cdot}r'$
shows $C\text{-}case{\cdot}f{\cdot}r = C\text{-}case{\cdot}g{\cdot}r$
⟨*proof*⟩

lemma *C-cases*:
obtains n **where** $r = C^n \mid r = C^\infty$
⟨*proof*⟩

lemma *C-case-Cinf*[*simp*]: $C\text{-}case \cdot f \cdot C^\infty = f \cdot C^\infty$
⟨*proof*⟩

end

6.2 C-Meet

theory *C−Meet*
imports *C HOLCF−Meet*
begin

instantiation C :: *Finite-Meet-cpo* **begin**
fixrec *C-meet* :: $C \to C \to C$
where $C\text{-}meet{\cdot}(C{\cdot}a){\cdot}(C{\cdot}b) = C{\cdot}(C\text{-}meet{\cdot}a{\cdot}b)$

lemma[*simp*]: $C\text{-}meet{\cdot}\bot{\cdot}y = \bot$ $C\text{-}meet{\cdot}x{\cdot}\bot = \bot$ ⟨*proof*⟩

instance
⟨*proof*⟩
end

lemma *C-meet-is-meet*: $(z \sqsubseteq C\text{-}meet{\cdot}x{\cdot}y) = (z \sqsubseteq x \wedge z \sqsubseteq y)$
⟨*proof*⟩

instance C :: *cont-binary-meet*
⟨*proof*⟩

lemma [*simp*]: $C{\cdot}r \sqcap r = r$
⟨*proof*⟩

lemma [*simp*]: $r \sqcap C{\cdot}r = r$
⟨*proof*⟩

lemma [*simp*]: $C{\cdot}r \sqcap C{\cdot}r' = C{\cdot}(r \sqcap r')$
⟨*proof*⟩

end

6.3 C-restr

theory *C−restr*
imports *C C−Meet HOLCF−Utils*
begin

6.3.1 The demand of a C-function

The demand is the least amount of resources required to produce a non-bottom element, if at all.

definition *demand* :: $(C \to 'a{::}pcpo) \Rightarrow C$ **where**
  $demand\ f = (if\ f{\cdot}C^{\infty} \neq \bot\ then\ C^{(LEAST\ n.\ f{\cdot}C^{n} \neq \bot)}\ else\ C^{\infty})$

Because of continuity, a non-bottom value can always be obtained with finite resources.

lemma *finite-resources-suffice*:
  assumes $f{\cdot}C^{\infty} \neq \bot$
  obtains n **where** $f{\cdot}C^{n} \neq \bot$
⟨*proof*⟩

Because of monotonicity, a non-bottom value can always be obtained with more resources.

lemma *more-resources-suffice*:
  assumes $f{\cdot}r \neq \bot$ **and** $r \sqsubseteq r'$
  shows $f{\cdot}r' \neq \bot$
  ⟨*proof*⟩

lemma *infinite-resources-suffice*:
  shows $f{\cdot}r \neq \bot \Longrightarrow f{\cdot}C^{\infty} \neq \bot$
  ⟨*proof*⟩

lemma *demand-suffices*:
  assumes $f{\cdot}C^{\infty} \neq \bot$
  shows $f{\cdot}(demand\ f) \neq \bot$
  ⟨*proof*⟩

lemma *not-bot-demand*:
  $f{\cdot}r \neq \bot \longleftrightarrow demand\ f \neq C^{\infty} \wedge demand\ f \sqsubseteq r$
⟨*proof*⟩

lemma *infinity-bot-demand*:
  $f{\cdot}C^{\infty} = \bot \longleftrightarrow demand\ f = C^{\infty}$
  ⟨*proof*⟩

lemma *demand-suffices'*:
  assumes $demand\ f = C^{n}$
  shows $f{\cdot}(demand\ f) \neq \bot$
  ⟨*proof*⟩

lemma *demand-Suc-Least*:
assumes [*simp*]: $f{\cdot}\bot = \bot$
assumes *demand* $f \neq C^{\infty}$
shows *demand* $f = C^{(Suc\ (LEAST\ n.\ f{\cdot}C^{Suc\ n} \neq \bot))}$
⟨*proof*⟩

lemma *demand-C-case*[*simp*]: *demand* $(C\text{-}case{\cdot}f) = C \cdot (demand\ f)$
⟨*proof*⟩

lemma *demand-contravariant*:
assumes $f \sqsubseteq g$
shows *demand* $g \sqsubseteq$ *demand* f
⟨*proof*⟩

6.3.2 Restricting functions with domain C

fixrec *C-restr* :: $C \to (C \to 'a{::}pcpo) \to (C \to 'a)$
where $C\text{-}restr{\cdot}r{\cdot}f{\cdot}r' = (f{\cdot}(r \sqcap r'))$

abbreviation *C-restr-syn* :: $(C \to 'a{::}pcpo) \Rightarrow C \Rightarrow (C \to 'a)$ (-|- [*111*,*110*] *110*)
where $f|_r \equiv C\text{-}restr{\cdot}r{\cdot}f$

lemma [*simp*]: $\bot|_r = \bot$ ⟨*proof*⟩
lemma [*simp*]: $f{\cdot}\bot = \bot \Longrightarrow f|_{\bot} = \bot$ ⟨*proof*⟩

lemma *C-restr-C-restr*[*simp*]: $(v|_{r'})|_r = v|_{(r' \sqcap r)}$
⟨*proof*⟩

lemma *C-restr-eqD*:
assumes $f|_r = g|_r$
assumes $r' \sqsubseteq r$
shows $f{\cdot}r' = g{\cdot}r'$
⟨*proof*⟩

lemma *C-restr-eq-lower*:
assumes $f|_r = g|_r$
assumes $r' \sqsubseteq r$
shows $f|_{r'} = g|_{r'}$
⟨*proof*⟩

lemma *C-restr-below*[*intro*, *simp*]:
$x|_r \sqsubseteq x$
⟨*proof*⟩

lemma *C-restr-below-cong*:
$(\bigwedge r'.\ r' \sqsubseteq r \Longrightarrow f \cdot r' \sqsubseteq g \cdot r') \Longrightarrow f|_r \sqsubseteq g|_r$
⟨*proof*⟩

lemma *C-restr-cong*:
$(\bigwedge r'.\ r' \sqsubseteq r \Longrightarrow f \cdot r' = g \cdot r') \Longrightarrow f|_r = g|_r$
⟨*proof*⟩

lemma *C-restr-C-cong*:
$(\bigwedge r'.\ r' \sqsubseteq r \Longrightarrow f \cdot (C{\cdot}r') = g \cdot (C{\cdot}r')) \Longrightarrow f{\cdot}\bot{=}g{\cdot}\bot \Longrightarrow f|_{C\cdot r} = g|_{C\cdot r}$
⟨*proof*⟩

lemma *C-restr-C-case*[*simp*]:
$(C\text{-}case{\cdot}f)|_{C\cdot r} = C\text{-}case{\cdot}(f|_r)$
⟨*proof*⟩

lemma *C-restr-bot-demand*:
assumes $C{\cdot}r \sqsubseteq demand\ f$
shows $f|_r = \bot$
⟨*proof*⟩

6.3.3 Restricting maps of C-ranged functions

definition *env-C-restr* :: $C \to ('var{::}type \Rightarrow (C \to 'a{::}pcpo)) \to ('var \Rightarrow (C \to 'a))$ **where**
env-C-restr $= (\Lambda\ r\ f.\ \ cfun\text{-}comp{\cdot}(C\text{-}restr{\cdot}r){\cdot}f)$

abbreviation *env-C-restr-syn* :: $('var{::}type \Rightarrow (C \to 'a{::}pcpo)) \Rightarrow C \Rightarrow\ ('var \Rightarrow (C \to 'a))$ (
-|°_ [*111*,*110*] *110*)
where $f|^\circ_r \equiv$ *env-C-restr*·*r*·*f*

lemma *env-C-restr-upd*[*simp*]: $(\varrho(x := v))|^\circ_r = (\varrho|^\circ_r)(x := v|_r)$
⟨*proof*⟩

lemma *env-C-restr-lookup*[*simp*]: $(\varrho|^\circ_r)\ v = \varrho\ v|_r$
⟨*proof*⟩

lemma *env-C-restr-bot*[*simp*]: $\bot|^\circ_r = \bot$
⟨*proof*⟩

lemma *env-C-restr-restr-below*[*intro*]: $\varrho|^\circ_r \sqsubseteq \varrho$
⟨*proof*⟩

lemma *env-C-restr-env-C-restr*[*simp*]: $(v|^\circ_{r'})|^\circ_r = v|^\circ_{(r' \sqcap r)}$
⟨*proof*⟩

lemma *env-C-restr-cong*:
$(\bigwedge x\ r'.\ r' \sqsubseteq r \Longrightarrow f\ x \cdot r' = g\ x \cdot r') \Longrightarrow f|^\circ_r = g|^\circ_r$
⟨*proof*⟩

end

6.4 CValue

theory *CValue*
imports *C*
begin

domain *CValue*
  = *CFn* (**lazy** (*C* → *CValue*) → (*C* → *CValue*))
  | *CB* (**lazy** *bool discr*)

fixrec *CFn-project* :: *CValue* → (*C* → *CValue*) → (*C* → *CValue*)
 where *CFn-project*·(*CFn*·*f*)·*v* = *f* · *v*

abbreviation *CFn-project-abbr* (**infix** ↓*CFn* 55)
  where *f* ↓*CFn v* ≡ *CFn-project*·*f*·*v*

lemma *CFn-project-strict*[*simp*]:
  ⊥ ↓*CFn v* = ⊥
  CB·*b* ↓*CFn v* = ⊥
  ⟨*proof*⟩

lemma *CB-below*[*simp*]: *CB*·*b* ⊑ *v* ⟷ *v* = *CB*·*b*
  ⟨*proof*⟩

fixrec *CB-project* :: *CValue* → *CValue* → *CValue* → *CValue* **where**
  CB-project·(*CB*·*db*)·v_1·v_2 = (*if undiscr db then* v_1 *else* v_2)

lemma [*simp*]:
  CB-project·(*CB*·(*Discr b*))·v_1·v_2 = (*if b then* v_1 *else* v_2)
  CB-project·⊥·v_1·v_2 = ⊥
  CB-project·(*CFn*·*f*)·v_1·v_2 = ⊥
⟨*proof*⟩

lemma *CB-project-not-bot*:
  CB-project·*scrut*·v_1·v_2 ≠ ⊥ ⟷ (∃ *b*. *scrut* = *CB*·(*Discr b*) ∧ (*if b then* v_1 *else* v_2) ≠ ⊥)
  ⟨*proof*⟩

HOLCF provides us *CValue-take*::*nat* ⇒ *CValue* → *CValue*; we want a similar function for *C* → *CValue*.

abbreviation *C-to-CValue-take* :: *nat* ⇒ (*C* → *CValue*) → (*C* → *CValue*)
  where *C-to-CValue-take n* ≡ *cfun-map*·*ID*·(*CValue-take n*)

lemma *C-to-CValue-chain-take*: *chain C-to-CValue-take*
  ⟨*proof*⟩

lemma *C-to-CValue-reach*: (⨆ *n*. *C-to-CValue-take n*·*x*) = *x*
  ⟨*proof*⟩

end

6.5 CValue-Nominal

theory *CValue−Nominal*
imports *CValue Nominal−Utils Nominal−HOLCF*
begin

instantiation C :: *pure*
begin
  definition $p \cdot (c{::}C) = c$
instance ⟨*proof*⟩
end
instance C :: *pcpo-pt*
  ⟨*proof*⟩

instantiation *CValue* :: *pure*
begin
  definition $p \cdot (v{::}CValue) = v$
instance
  ⟨*proof*⟩
end

instance *CValue* :: *pcpo-pt*
  ⟨*proof*⟩

end

6.6 ResourcedDenotational

theory *ResourcedDenotational*
imports *Abstract−Denotational−Props CValue−Nominal C−restr*
begin

type-synonym *CEnv* = *var* ⇒ (*C* → *CValue*)

interpretation *semantic-domain*
  Λ *f* . Λ *r*. *CFn*·(Λ *v*. (*f*·(*v*))|$_r$)
  Λ *x y*. (Λ *r*. (*x*·*r* ↓*CFn y*|$_r$)·*r*)
  Λ *b r*. *CB*·*b*
  Λ *scrut v1 v2 r*. *CB-project*·(*scrut*·*r*)·(*v1*·*r*)·(*v2*·*r*)
  C-case⟨*proof*⟩

notation *ESem-syn* (𝒩⟦ - ⟧- [60,60] 60)
notation *EvalHeapSem-syn* (**𝒩**⟦ - ⟧- [0,0] 110)
notation *HSem-syn* (𝒩{|-|}- [60,60] 60)
notation *AHSem-bot* (𝒩{|-|} [60] 60)

Here we re-state the simplification rules, cleaned up by beta-reducing the locale parameters.

lemma *CESem-simps*:
$\mathcal{N}[\![\mathit{Lam}\ [x].\ e\ ]\!]_\varrho = (\Lambda\ (C{\cdot}r).\ \mathit{CFn}{\cdot}(\Lambda\ v.\ (\mathcal{N}[\![e]\!]_{\varrho(x := v)})|_r))$
$\mathcal{N}[\![\mathit{App}\ e\ x\ ]\!]_\varrho = (\Lambda\ (C{\cdot}r).\ ((\mathcal{N}[\![e]\!]_\varrho){\cdot}r\ {\downarrow}\mathit{CFn}\ \varrho\ x|_r){\cdot}r)$
$\mathcal{N}[\![\mathit{Var}\ x\ ]\!]_\varrho = (\Lambda\ (C{\cdot}r).\ (\varrho\ \ x)\ \cdot\ r)$
$\mathcal{N}[\![\mathit{Bool}\ b\ ]\!]_\varrho = (\Lambda\ (C{\cdot}r).\ \mathit{CB}{\cdot}(\mathit{Discr}\ b))$
$\mathcal{N}[\![(\mathit{scrut}\ ?\ e_1 : e_2)\ ]\!]_\varrho = (\Lambda\ (C{\cdot}r).\ \mathit{CB\text{-}project}{\cdot}((\mathcal{N}[\![\mathit{scrut}\ ]\!]_\varrho){\cdot}r){\cdot}((\mathcal{N}[\![e_1\ ]\!]_\varrho){\cdot}r){\cdot}((\mathcal{N}[\![e_2\ ]\!]_\varrho){\cdot}r))$
$\mathcal{N}[\![\mathit{Let\ as\ body}\ ]\!]_\varrho = (\Lambda\ (C\ \cdot\ r).\ (\mathcal{N}[\![\mathit{body}]\!]_{\mathcal{N}\{\!|\mathit{as}|\!\}\varrho})\ \cdot\ r)$
⟨*proof*⟩

lemma *CESem-bot*[*simp*]:$(\mathcal{N}[\![e]\!]_\sigma){\cdot}\bot = \bot$
⟨*proof*⟩

lemma *CHSem-bot*[*simp*]:$((\mathcal{N}\{\!|\ \Gamma\ |\!\})\ x){\cdot}\bot = \bot$
⟨*proof*⟩

Sometimes we do not care much about the resource usage and just want a simpler formula.

lemma *CESem-simps-no-tick*:
$(\mathcal{N}[\![\mathit{Lam}\ [x].\ e\ ]\!]_\varrho){\cdot}r \sqsubseteq \mathit{CFn}{\cdot}(\Lambda\ v.\ (\mathcal{N}[\![e]\!]_{\varrho(x := v)})|_r)$
$(\mathcal{N}[\![\mathit{App}\ e\ x\ ]\!]_\varrho){\cdot}r \sqsubseteq ((\mathcal{N}[\![e]\!]_\varrho){\cdot}r\ {\downarrow}\mathit{CFn}\ \varrho\ x|_r){\cdot}r$
$\mathcal{N}[\![\mathit{Var}\ x\ ]\!]_\varrho \sqsubseteq \varrho\ x$
$(\mathcal{N}[\![(\mathit{scrut}\ ?\ e_1 : e_2)\ ]\!]_\varrho){\cdot}r \sqsubseteq \mathit{CB\text{-}project}{\cdot}((\mathcal{N}[\![\mathit{scrut}\ ]\!]_\varrho){\cdot}r){\cdot}((\mathcal{N}[\![e_1\ ]\!]_\varrho){\cdot}r){\cdot}((\mathcal{N}[\![e_2\ ]\!]_\varrho){\cdot}r)$
$\mathcal{N}[\![\mathit{Let\ as\ body}\ ]\!]_\varrho \sqsubseteq \mathcal{N}[\![\mathit{body}]\!]_{\mathcal{N}\{\!|\mathit{as}|\!\}\varrho}$
⟨*proof*⟩

lemma *CELam-no-restr*: $(\mathcal{N}[\![\mathit{Lam}\ [x].\ e\ ]\!]_\varrho){\cdot}r \sqsubseteq \mathit{CFn}{\cdot}(\Lambda\ v.\ (\mathcal{N}[\![e]\!]_{\varrho(x := v)}))$
⟨*proof*⟩

lemma *CEApp-no-restr*: $(\mathcal{N}[\![\mathit{App}\ e\ x\ ]\!]_\varrho){\cdot}r \sqsubseteq ((\mathcal{N}[\![e]\!]_\varrho){\cdot}r\ {\downarrow}\mathit{CFn}\ \varrho\ x){\cdot}r$
⟨*proof*⟩

end

7 Correctness of the natural semantics

7.1 CorrectnessOriginal

theory *CorrectnessOriginal*
imports *Denotational Launchbury*
begin

This is the main correctness theorem, Theorem 2 from [Lau93].

theorem *correctness*:
assumes $\Gamma : e \Downarrow_L \Delta : v$
and $fv\ (\Gamma,\ e) \subseteq set\ L \cup domA\ \Gamma$
shows $[\![e]\!]_{\{\!|\Gamma|\!\}\varrho} = [\![v]\!]_{\{\!|\Delta|\!\}\varrho}$
and $(\{\!|\Gamma|\!\}\varrho)\ f|`\ domA\ \Gamma = (\{\!|\Delta|\!\}\varrho)\ f|`\ domA\ \Gamma$
⟨*proof*⟩

end

7.2 CorrectnessResourced

theory *CorrectnessResourced*
imports *ResourcedDenotational Launchbury*
begin

theorem *correctness*:
assumes $\Gamma : e \Downarrow_L \Delta : z$
and $fv\ (\Gamma,\ e) \subseteq set\ L \cup domA\ \Gamma$
shows $\mathcal{N}[\![e]\!]_{\mathcal{N}\{\!|\Gamma|\!\}\varrho} \sqsubseteq \mathcal{N}[\![z]\!]_{\mathcal{N}\{\!|\Delta|\!\}\varrho}$ **and** $(\mathcal{N}\{\!|\Gamma|\!\}\varrho)\ f|`\ domA\ \Gamma \sqsubseteq (\mathcal{N}\{\!|\Delta|\!\}\varrho)\ f|`\ domA\ \Gamma$
⟨*proof*⟩

corollary *correctness-empty-env*:
assumes $\Gamma : e \Downarrow_L \Delta : z$
and $fv\ (\Gamma,\ e) \subseteq set\ L$
shows $\mathcal{N}[\![e]\!]_{\mathcal{N}\{\!|\Gamma|\!\}} \sqsubseteq \mathcal{N}[\![z]\!]_{\mathcal{N}\{\!|\Delta|\!\}}$ **and** $\mathcal{N}\{\!|\Gamma|\!\} \sqsubseteq \mathcal{N}\{\!|\Delta|\!\}$
⟨*proof*⟩

end

8 Equivalence of the denotational semantics

8.1 ValueSimilarity

theory *ValueSimilarity*
imports *Value CValue Pointwise*
begin

This theory formalizes Section 3 of [SGHHOM11]. Their domain D is our type *Value*, their domain E is our type *CValue* and A corresponds to $C \to CValue$.

In our case, the construction of the domains was taken care of by the HOLCF package ([Huf12]), so where [SGHHOM11] refers to elements of the domain approximations D_n resp. E_n, these are just elements of *Value* resp. *CValue* here. Therefore the *n-injection* $\phi_n^E : E_n \to E$ is the identity here.

The projections correspond to the take-functions generated by the HOLCF package:

$\psi_n^E : E \to E_n$	corresponds to	$CValue\text{-}take :: nat \Rightarrow CValue \to CValue$
$\psi_n^A : A \to A_n$	corresponds to	$C\text{-}to\text{-}CValue\text{-}take :: nat \Rightarrow (C \to CValue) \to C \to CValue$
$\psi_n^D : D \to D_n$	corresponds to	$Value\text{-}take :: nat \Rightarrow Value \to Value.$

The syntactic overloading of $e(a)(c)$ to mean either $\mathsf{Ap}^{\perp}_{E_n}$ or $\mathsf{AP}^{\perp}_{E}$ turns into our non-overloaded - $\downarrow CFn$ -$:: CValue \Rightarrow (C \to CValue) \Rightarrow C \to CValue$.

To have our presentation closer to [SGHHOM11], we introduce some notation:

notation *Value-take* (ψ^D _)
notation *C-to-CValue-take* (ψ^A _)
notation *CValue-take* (ψ^E _)

8.1.1 A note about section 2.3

Section 2.3 of [SGHHOM11] contains equations (2) and (3) which do not hold in general. We demonstrate that fact here using our corresponding definition, but the counter-example carries over to the original formulation. Lemma (2) is a generalisation of (3) to the resourced semantics, so the counter-example for (3) is the simpler and more educating:

lemma *counter-example*:
  assumes *Equation (3)*: $\bigwedge n\ d\ d'.\ \psi^D n{\cdot}(d \downarrow Fn\ d') = \psi^D{}_{Suc\ n}{\cdot}d \downarrow Fn\ \psi^D n{\cdot}d'$
  shows *False*
⟨*proof*⟩

For completeness, and to avoid making false assertions, the counter-example to equation (2):

lemma *counter-example2*:

assumes *Equation (2)*: $\bigwedge n\ e\ a\ c.\ \psi^E{}_n{\cdot}((e \downarrow CFn\ a){\cdot}c) = (\psi^E{}_{Suc\ n}{\cdot}e \downarrow CFn\ \psi^A{}_n{\cdot}a){\cdot}c$
shows *False*
⟨*proof*⟩

A suitable substitute for the lemma can be found in 4.3.5 (1) in [AO93], which in our setting becomes the following (note the extra invocation of $\psi^D{}_n$ on the left hand side):

lemma *Abramsky 4,3,5 (1)*:
$\psi^D{}_n{\cdot}(d \downarrow Fn\ \psi^D{}_n{\cdot}d') = \psi^D{}_{Suc\ n}{\cdot}d \downarrow Fn\ \psi^D{}_n{\cdot}d'$
⟨*proof*⟩

The problematic equations are used in the proof of the only-if direction of proposition 9 in [SGHHOM11]. It can be fixed by applying take-induction, which inserts the extra call to $\psi^D{}_n$ in the right spot.

8.1.2 Working with *Value* and *CValue*

Combined case distinguishing and induction rules.

lemma *value-CValue-cases*:
obtains
$x = \bot\ y = \bot$ |
f **where** $x = Fn{\cdot}f\ y = \bot$ |
g **where** $x = \bot\ y = CFn{\cdot}g$ |
$f\ g$ **where** $x = Fn{\cdot}f\ y = CFn \cdot g$ |
b_1 **where** $x = B{\cdot}(Discr\ b_1)\ y = \bot$ |
$b_1\ g$ **where** $x = B{\cdot}(Discr\ b_1)\ y = CFn{\cdot}g$ |
$b_1\ b_2$ **where** $x = B{\cdot}(Discr\ b_1)\ y = CB{\cdot}(Discr\ b_2)$ |
$f\ b_2$ **where** $x = Fn{\cdot}f\ y = CB{\cdot}(Discr\ b_2)$ |
b_2 **where** $x = \bot\ y = CB{\cdot}(Discr\ b_2)$
⟨*proof*⟩

lemma *Value-CValue-take-induct*:
assumes *adm* (*case-prod P*)
assumes $\bigwedge n.\ P\ (\psi^D{}_n{\cdot}x)\ (\psi^A{}_n{\cdot}y)$
shows $P\ x\ y$
⟨*proof*⟩

8.1.3 Restricted similarity is defined recursively

The base case

inductive *similar′-base* :: *Value* ⇒ *CValue* ⇒ *bool* **where**
bot-similar′-base[*simp*,*intro*]: *similar′-base* ⊥ ⊥

inductive-cases [*elim*!]:
similar′-base x y

The inductive case

inductive *similar′-step* :: (*Value* ⇒ *CValue* ⇒ *bool*) ⇒ *Value* ⇒ *CValue* ⇒ *bool* **for** *s* **where**
bot-similar′-step[*intro*!]: *similar′-step s* ⊥ ⊥ |
bool-similar′-step[*intro*]: *similar′-step s* (*B*·*b*) (*CB*·*b*) |
Fun-similar′-step[*intro*]: (⋀ *x y* . *s x* (*y*·C^∞) ⟹ *s* (*f*·*x*) (*g*·*y*·C^∞)) ⟹ *similar′-step s* (*Fn*·*f*) (*CFn*·*g*)

inductive-cases [*elim*!]:
similar′-step s x ⊥
similar′-step s ⊥ *y*
similar′-step s (*B*·*f*) (*CB*·*g*)
similar′-step s (*Fn*·*f*) (*CFn*·*g*)

We now create the restricted similarity relation, by primitive recursion over *n*.

This cannot be done using an inductive definition, as it would not be monotone.

fun *similar′* **where**
similar′ 0 = *similar′-base* |
similar′ (*Suc n*) = *similar′-step* (*similar′ n*)
declare *similar′.simps*[*simp del*]

abbreviation *similar′-syn* (- ◁▷_ - [*50*,*50*,*50*] *50*)
where *similar′-syn x n y* ≡ *similar′ n x y*

lemma *similar′-botI*[*intro*!,*simp*]: ⊥ ◁▷$_n$ ⊥
⟨*proof*⟩

lemma *similar′-FnI*[*intro*]:
assumes ⋀*x y*. *x* ◁▷$_n$ *y*·C^∞ ⟹ *f*·*x* ◁▷$_n$ *g*·*y*·C^∞
shows *Fn*·*f* ◁▷$_{Suc\ n}$ *CFn*·*g*
⟨*proof*⟩

lemma *similar′-FnE*[*elim*!]:
assumes *Fn*·*f* ◁▷$_{Suc\ n}$ *CFn*·*g*
assumes (⋀*x y*. *x* ◁▷$_n$ *y*·C^∞ ⟹ *f*·*x* ◁▷$_n$ *g*·*y*·C^∞) ⟹ *P*
shows *P*
⟨*proof*⟩

lemma *bot-or-not-bot′*:
x ◁▷$_n$ *y* ⟹ (*x* = ⊥ ⟷ *y* = ⊥)
⟨*proof*⟩

lemma *similar′-bot*[*elim-format*, *elim*!]:
⊥ ◁▷$_n$ *x* ⟹ *x* = ⊥
y ◁▷$_n$ ⊥ ⟹ *y* = ⊥
⟨*proof*⟩

lemma *similar′-typed*[*simp*]:
¬ *B*·*b* ◁▷$_n$ *CFn*·*g*
¬ *Fn*·*f* ◁▷$_n$ *CB*·*b*

⟨*proof*⟩

lemma *similar′-bool*[*simp*]:
$B{\cdot}b_1 \lhd\rhd_{Suc\ n} CB{\cdot}b_2 \longleftrightarrow b_1 = b_2$
⟨*proof*⟩

8.1.4 Moving up and down the similarity relations

These correspond to Lemma 7 in [SGHHOM11].

lemma *similar′-down*: $d \lhd\rhd_{Suc\ n} e \Longrightarrow \psi^D{}_n{\cdot}d \lhd\rhd_n \psi^E{}_n{\cdot}e$
and *similar′-up*: $d \lhd\rhd_n e \Longrightarrow \psi^D{}_n{\cdot}d \lhd\rhd_{Suc\ n} \psi^E{}_n{\cdot}e$
⟨*proof*⟩

A generalisation of the above, doing multiple steps at once.

lemma *similar′-up-le*: $n \leq m \Longrightarrow \psi^D{}_n{\cdot}d \lhd\rhd_n \psi^E{}_n{\cdot}e \Longrightarrow \psi^D{}_n{\cdot}d \lhd\rhd_m \psi^E{}_n{\cdot}e$
⟨*proof*⟩

lemma *similar′-down-le*: $n \leq m \Longrightarrow \psi^D{}_m{\cdot}d \lhd\rhd_m \psi^E{}_m{\cdot}e \Longrightarrow \psi^D{}_n{\cdot}d \lhd\rhd_n \psi^E{}_n{\cdot}e$
⟨*proof*⟩

lemma *similar′-take*: $d \lhd\rhd_n e \Longrightarrow \psi^D{}_n{\cdot}d \lhd\rhd_n \psi^E{}_n{\cdot}e$
⟨*proof*⟩

8.1.5 Admissibility

A technical prerequisite for induction is admissibility of the predicate, i.e. that the predicate holds for the limit of a chain, given that it holds for all elements.

lemma *similar′-base-adm*: *adm* (λ *x*. *similar′-base* (*fst x*) (*snd x*))
⟨*proof*⟩

lemma *similar′-step-adm*:
assumes *adm* (λ *x*. *s* (*fst x*) (*snd x*))
shows *adm* (λ *x*. *similar′-step s* (*fst x*) (*snd x*))
⟨*proof*⟩

lemma *similar′-adm*: *adm* (λ*x*. *fst x* $\lhd\rhd_n$ *snd x*)
⟨*proof*⟩

lemma *similar′-admI*: *cont f* ⟹ *cont g* ⟹ *adm* (λ*x*. *f x* $\lhd\rhd_n$ *g x*)
⟨*proof*⟩

8.1.6 The real similarity relation

This is the goal of the theory: A relation between *Value* and *CValue*.

definition *similar* :: *Value* ⇒ *CValue* ⇒ *bool* (**infix** $\lhd\rhd$ *50*) **where**

$x \mathrel{\lhd\rhd} y \longleftrightarrow (\forall\, n.\ {\psi^D}_n{\cdot}x \mathrel{\lhd\rhd}_n {\psi^E}_n{\cdot}y)$

lemma *similarI*:
$(\bigwedge\, n.\ {\psi^D}_n{\cdot}x \mathrel{\lhd\rhd}_n {\psi^E}_n{\cdot}y) \Longrightarrow x \mathrel{\lhd\rhd} y$
⟨*proof*⟩

lemma *similarE*:
$x \mathrel{\lhd\rhd} y \Longrightarrow {\psi^D}_n{\cdot}x \mathrel{\lhd\rhd}_n {\psi^E}_n{\cdot}y$
⟨*proof*⟩

lemma *similar-bot*[*simp*]: $\bot \mathrel{\lhd\rhd} \bot$ ⟨*proof*⟩

lemma *similar-bool*[*simp*]: $B{\cdot}b \mathrel{\lhd\rhd} CB{\cdot}b$
⟨*proof*⟩

lemma [*elim-format*, *elim*!]: $x \mathrel{\lhd\rhd} \bot \Longrightarrow x = \bot$
⟨*proof*⟩

lemma [*elim-format*, *elim*!]: $x \mathrel{\lhd\rhd} CB{\cdot}b \Longrightarrow x = B{\cdot}b$
⟨*proof*⟩

lemma [*elim-format*, *elim*!]: $\bot \mathrel{\lhd\rhd} y \Longrightarrow y = \bot$
⟨*proof*⟩

lemma [*elim-format*, *elim*!]: $B{\cdot}b \mathrel{\lhd\rhd} y \Longrightarrow y = CB{\cdot}b$
⟨*proof*⟩

lemma *take-similar'-similar*:
assumes $x \mathrel{\lhd\rhd}_n y$
shows ${\psi^D}_n{\cdot}x \mathrel{\lhd\rhd} {\psi^E}_n{\cdot}y$
⟨*proof*⟩

lemma *bot-or-not-bot*:
$x \mathrel{\lhd\rhd} y \Longrightarrow (x = \bot \longleftrightarrow y = \bot)$
⟨*proof*⟩

lemma *bool-or-not-bool*:
$x \mathrel{\lhd\rhd} y \Longrightarrow (x = B{\cdot}b) \longleftrightarrow (y = CB{\cdot}b)$
⟨*proof*⟩

lemma *slimilar-bot-cases*[*consumes 1*, *case-names bot bool Fn*]:
assumes $x \mathrel{\lhd\rhd} y$
obtains $x = \bot\ y = \bot$ |
b **where** $x = B{\cdot}(Discr\ b)\ y = CB{\cdot}(Discr\ b)$ |
$f\ g$ **where** $x = Fn{\cdot}f\ y = CFn\ \cdot\ g$
⟨*proof*⟩

lemma *similar-adm*: *adm* $(\lambda x.\ fst\ x \mathrel{\lhd\rhd} snd\ x)$
⟨*proof*⟩

lemma *similar-admI*: *cont f* $\Longrightarrow$ *cont g* $\Longrightarrow$ *adm* $(\lambda x.\ f\ x \lhd\rhd g\ x)$
⟨*proof*⟩

Having constructed the relation we can how show that it indeed is the desired relation, relating $\bot$ with $\bot$ and functions with functions, if they take related arguments to related values. This corresponds to Proposition 9 in [SGHHOM11].

lemma *similar-nice-def*: $x \lhd\rhd y \longleftrightarrow (x = \bot \wedge y = \bot \vee (\exists\ b.\ x = B{\cdot}(Discr\ b) \wedge y = CB{\cdot}(Discr\ b)) \vee (\exists\ f\ g.\ x = Fn{\cdot}f \wedge y = CFn{\cdot}g \wedge (\forall\ a\ b.\ a \lhd\rhd b{\cdot}C^\infty \longrightarrow f{\cdot}a \lhd\rhd g{\cdot}b{\cdot}C^\infty)))$
(**is** *?L* $\longleftrightarrow$ *?R*)
⟨*proof*⟩

lemma *similar-FnI*[*intro*]:
assumes $\bigwedge x\ y.\ \ x \lhd\rhd y{\cdot}C^\infty \Longrightarrow f{\cdot}x \lhd\rhd g{\cdot}y{\cdot}C^\infty$
shows $Fn{\cdot}f \lhd\rhd CFn{\cdot}g$
⟨*proof*⟩

lemma *similar-FnD*[*elim*!]:
assumes $Fn{\cdot}f \lhd\rhd CFn{\cdot}g$
assumes $x \lhd\rhd y{\cdot}C^\infty$
shows $f{\cdot}x \lhd\rhd g{\cdot}y{\cdot}C^\infty$
⟨*proof*⟩

lemma *similar-FnE*[*elim*!]:
assumes $Fn{\cdot}f \lhd\rhd CFn{\cdot}g$
assumes $(\bigwedge x\ y.\ \ x \lhd\rhd y{\cdot}C^\infty \Longrightarrow f{\cdot}x \lhd\rhd g{\cdot}y{\cdot}C^\infty) \Longrightarrow P$
shows P
⟨*proof*⟩

8.1.7 The similarity relation lifted pointwise to functions.

abbreviation *fun-similar* :: $('a{::}type \Rightarrow Value) \Rightarrow ('a \Rightarrow (C \to CValue)) \Rightarrow bool$ (**infix** $\lhd\rhd^*$ *50*) **where**
fun-similar $\equiv$ *pointwise* $(\lambda x\ y.\ x \lhd\rhd y{\cdot}C^\infty)$

lemma *fun-similar-fmap-bottom*[*simp*]: $\bot \lhd\rhd^* \bot$
⟨*proof*⟩

lemma *fun-similarE*[*elim*]:
assumes $m \lhd\rhd^* m'$
assumes $(\bigwedge x.\ (m\ \ x) \lhd\rhd (m'\ x){\cdot}C^\infty) \Longrightarrow Q$
shows Q
⟨*proof*⟩

end

8.2 Denotational-Related

theory *Denotational−Related*
imports *Denotational ResourcedDenotational ValueSimilarity*
begin

Given the similarity relation it is straight-forward to prove that the standard and the resourced denotational semantics produce similar results. (Theorem 10 in [SGHHOM11]).

theorem *denotational-semantics-similar*:
  assumes $\varrho \mathrel{\triangleleft\!\triangleright}^* \sigma$
  shows $[\![e]\!]_\varrho \mathrel{\triangleleft\!\triangleright} (\mathcal{N}[\![e]\!]_\sigma)\cdot C^\infty$
⟨*proof*⟩

corollary *evalHeap-similar*:
  $\bigwedge y\ z.\ y \mathrel{\triangleleft\!\triangleright}^* z \Longrightarrow [\![\ \Gamma\ ]\!]_y \mathrel{\triangleleft\!\triangleright}^* \boldsymbol{\mathcal{N}}[\![\ \Gamma\ ]\!]_z$
  ⟨*proof*⟩

theorem *heaps-similar*: $\{\!|\Gamma|\!\} \mathrel{\triangleleft\!\triangleright}^* \mathcal{N}\{\!|\Gamma|\!\}$
  ⟨*proof*⟩

end

9 Adequacy

9.1 ResourcedAdequacy

theory *ResourcedAdequacy*
imports *ResourcedDenotational Launchbury AList−Utils CorrectnessResourced*
begin

lemma *demand-not-0*: *demand* $(\mathcal{N}[\![e]\!]_\varrho) \neq \bot$
⟨*proof*⟩

The semantics of an expression, given only r resources, will only use values from the environment with less resources.

lemma *restr-can-restrict-env*: $(\mathcal{N}[\![\ e\ ]\!]_\varrho)|_{C\cdot r} = (\mathcal{N}[\![\ e\ ]\!]_{\varrho|^\circ r})|_{C\cdot r}$
⟨*proof*⟩

lemma *can-restrict-env*:
$(\mathcal{N}[\![e]\!]_\varrho)\cdot(C\cdot r) = (\mathcal{N}[\![\ e\ ]\!]_{\varrho|^\circ r})\cdot(C\cdot r)$
⟨*proof*⟩

When an expression e terminates, then we can remove such an expression from the heap and it still terminates. This is the crucial trick to handle black-holing in the resourced semantics.

lemma *add-BH*:
assumes *map-of* $\Gamma\ x = Some\ e$
assumes $(\mathcal{N}[\![e]\!]_{\mathcal{N}\{\!|\Gamma|\!\}})\cdot r' \neq \bot$
shows $(\mathcal{N}[\![e]\!]_{\mathcal{N}\{\!|delete\ x\ \Gamma|\!\}})\cdot r' \neq \bot$
⟨*proof*⟩

The semantics is continuous, so we can apply induction here:

lemma *resourced-adequacy*:
assumes $(\mathcal{N}[\![e]\!]_{\mathcal{N}\{\!|\Gamma|\!\}})\cdot r \neq \bot$
shows $\exists\ \Delta\ v.\ \Gamma : e \Downarrow_S \Delta : v$
⟨*proof*⟩

end

9.2 Adequacy

theory *Adequacy*
imports *ResourcedAdequacy Denotational−Related*
begin

theorem *adequacy*:
assumes $[\![e]\!]_{\{\!|\Gamma|\!\}} \neq \bot$

shows $\exists\ \Delta\ v.\ \Gamma : e \Downarrow_S \Delta : v$
⟨*proof*⟩

end

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