

# Formalization of Recursive Path Orders for Lambda-Free Higher-Order Terms

Jasmin Christian Blanchette, Uwe Waldmann, and Daniel Wand

December 14, 2021

## Abstract

This Isabelle/HOL formalization defines recursive path orders (RPOs) for higher-order terms without  $\lambda$ -abstraction and proves many useful properties about them. The main order fully coincides with the standard RPO on first-order terms also in the presence of currying, distinguishing it from previous work. An optimized variant is formalized as well. It appears promising as the basis of a higher-order superposition calculus.

## Contents

|          |                                                                  |           |
|----------|------------------------------------------------------------------|-----------|
| <b>1</b> | <b>Introduction</b>                                              | <b>1</b>  |
| <b>2</b> | <b>Utilities for Lambda-Free Orders</b>                          | <b>1</b>  |
| 2.1      | Finite Sets . . . . .                                            | 1         |
| 2.2      | Function Power . . . . .                                         | 1         |
| 2.3      | Least Operator . . . . .                                         | 2         |
| 2.4      | Antisymmetric Relations . . . . .                                | 2         |
| 2.5      | Acyclic Relations . . . . .                                      | 2         |
| 2.6      | Reflexive, Transitive Closure . . . . .                          | 2         |
| 2.7      | Well-Founded Relations . . . . .                                 | 2         |
| 2.8      | Wellorders . . . . .                                             | 3         |
| 2.9      | Lists . . . . .                                                  | 3         |
| 2.10     | Extended Natural Numbers . . . . .                               | 4         |
| 2.11     | Multisets . . . . .                                              | 4         |
| <b>3</b> | <b>Lambda-Free Higher-Order Terms</b>                            | <b>6</b>  |
| 3.1      | Precedence on Symbols . . . . .                                  | 6         |
| 3.2      | Heads . . . . .                                                  | 6         |
| 3.3      | Terms . . . . .                                                  | 7         |
| 3.4      | hsize . . . . .                                                  | 9         |
| 3.5      | Substitutions . . . . .                                          | 10        |
| 3.6      | Subterms . . . . .                                               | 10        |
| 3.7      | Maximum Arities . . . . .                                        | 11        |
| 3.8      | Potential Heads of Ground Instances of Variables . . . . .       | 12        |
| <b>4</b> | <b>Infinite (Non-Well-Founded) Chains</b>                        | <b>13</b> |
| <b>5</b> | <b>Extension Orders</b>                                          | <b>15</b> |
| 5.1      | Locales . . . . .                                                | 15        |
| 5.2      | Lexicographic Extension . . . . .                                | 17        |
| 5.3      | Reverse (Right-to-Left) Lexicographic Extension . . . . .        | 18        |
| 5.4      | Generic Length Extension . . . . .                               | 20        |
| 5.5      | Length-Lexicographic Extension . . . . .                         | 20        |
| 5.6      | Reverse (Right-to-Left) Length-Lexicographic Extension . . . . . | 21        |
| 5.7      | Dershowitz–Manna Multiset Extension . . . . .                    | 23        |
| 5.8      | Huet–Oppen Multiset Extension . . . . .                          | 24        |
| 5.9      | Componentwise Extension . . . . .                                | 26        |

|           |                                                                                       |           |
|-----------|---------------------------------------------------------------------------------------|-----------|
| <b>6</b>  | <b>The Applicative Recursive Path Order for Lambda-Free Higher-Order Terms</b>        | <b>28</b> |
| <b>7</b>  | <b>The Graceful Recursive Path Order for Lambda-Free Higher-Order Terms</b>           | <b>28</b> |
| 7.1       | Setup                                                                                 | 29        |
| 7.2       | Inductive Definitions                                                                 | 29        |
| 7.3       | Transitivity                                                                          | 30        |
| 7.4       | Irreflexivity                                                                         | 30        |
| 7.5       | Subterm Property                                                                      | 30        |
| 7.6       | Compatibility with Functions                                                          | 30        |
| 7.7       | Compatibility with Arguments                                                          | 30        |
| 7.8       | Stability under Substitution                                                          | 31        |
| 7.9       | Totality on Ground Terms                                                              | 31        |
| 7.10      | Well-foundedness                                                                      | 31        |
| <b>8</b>  | <b>The Optimized Graceful Recursive Path Order for Lambda-Free Higher-Order Terms</b> | <b>31</b> |
| 8.1       | Setup                                                                                 | 31        |
| 8.2       | Definition of the Order                                                               | 31        |
| 8.3       | Transitivity                                                                          | 32        |
| 8.4       | Conditional Equivalence with Unoptimized Version                                      | 32        |
| <b>9</b>  | <b>An Encoding of Lambdas in Lambda-Free Higher-Order Logic</b>                       | <b>32</b> |
| <b>10</b> | <b>Recursive Path Orders for Lambda-Free Higher-Order Terms</b>                       | <b>33</b> |

## 1 Introduction

This Isabelle/HOL formalization defines recursive path orders (RPOs) for higher-order terms without  $\lambda$ -abstraction and proves many useful properties about them. The main order fully coincides with the standard RPO on first-order terms also in the presence of currying, distinguishing it from previous work. An optimized variant is formalized as well. It appears promising as the basis of a higher-order superposition calculus.

We refer to the following conference paper for details:

Jasmin Christian Blanchette, Uwe Waldmann, Daniel Wand:  
 A Lambda-Free Higher-Order Recursive Path Order.  
 FoSSaCS 2017: 461-479  
[https://www.cs.vu.nl/~jbe248/lambda\\_free\\_rpo\\_conf.pdf](https://www.cs.vu.nl/~jbe248/lambda_free_rpo_conf.pdf)

## 2 Utilities for Lambda-Free Orders

```
theory Lambda_Free_Util
imports HOL-Library.Extended_Nat HOL-Library.Multiset_Order
begin
```

This theory gathers various lemmas that likely belong elsewhere in Isabelle or the *Archive of Formal Proofs*. Most (but certainly not all) of them are used to formalize orders on  $\lambda$ -free higher-order terms.

### 2.1 Finite Sets

```
lemma finite_set_fold_singleton[simp]: Finite_Set.fold f z {x} = f x z
⟨proof⟩
```

### 2.2 Function Power

```
lemma funpow_lesseq_iter:
fixes f :: ('a::order)  $\Rightarrow$  'a
assumes mono:  $\bigwedge k. k \leq f k$  and m_le_n:  $m \leq n$ 
shows  $(f \hat{\sim} m) k \leq (f \hat{\sim} n) k$ 
⟨proof⟩
```

**lemma** *funpow\_less\_iter*:  
**fixes**  $f :: ('a::order) \Rightarrow 'a$   
**assumes**  $mono: \bigwedge k. k < f k$  **and**  $m\_lt\_n: m < n$   
**shows**  $(f \overset{\sim}{\sim} m) k < (f \overset{\sim}{\sim} n) k$   
 $\langle proof \rangle$

## 2.3 Least Operator

**lemma** *Least\_eq[simp]*:  $(LEAST y. y = x) = x$  **and**  $(LEAST y. x = y) = x$  **for**  $x :: 'a::order$   
 $\langle proof \rangle$

**lemma** *Least\_in\_nonempty\_set\_imp\_ex*:  
**fixes**  $f :: 'b \Rightarrow ('a::wellorder)$   
**assumes**  
 $A\_nemp: A \neq \{\}$  **and**  
 $P\_least: P (LEAST y. \exists x \in A. y = f x)$   
**shows**  $\exists x \in A. P (f x)$   
 $\langle proof \rangle$

**lemma** *Least\_eq\_0\_enat*:  $P 0 \Longrightarrow (LEAST x :: enat. P x) = 0$   
 $\langle proof \rangle$

## 2.4 Antisymmetric Relations

**lemma** *irrefl\_trans\_imp\_antisym*:  $irrefl\ r \Longrightarrow trans\ r \Longrightarrow antisym\ r$   
 $\langle proof \rangle$

**lemma** *irreflp\_transp\_imp\_antisymP*:  $irreflp\ p \Longrightarrow transp\ p \Longrightarrow antisymp\ p$   
 $\langle proof \rangle$

## 2.5 Acyclic Relations

**lemma** *finite\_nonempty\_ex\_succ\_imp\_cyclic*:  
**assumes**  
 $fin: finite\ A$  **and**  
 $nemp: A \neq \{\}$  **and**  
 $ex\_y: \forall x \in A. \exists y \in A. (y, x) \in r$   
**shows**  $\neg acyclic\ r$   
 $\langle proof \rangle$

## 2.6 Reflexive, Transitive Closure

**lemma** *relcomp\_subset\_left\_imp\_relcomp\_trancl\_subset\_left*:  
**assumes**  $sub: R\ O\ S \subseteq R$   
**shows**  $R\ O\ S^* \subseteq R$   
 $\langle proof \rangle$

**lemma** *f\_chain\_in\_rtrancl*:  
**assumes**  $m\_le\_n: m \leq n$  **and**  $f\_chain: \forall i \in \{m..<n\}. (f\ i, f\ (Suc\ i)) \in R$   
**shows**  $(f\ m, f\ n) \in R^*$   
 $\langle proof \rangle$

**lemma** *f\_rev\_chain\_in\_rtrancl*:  
**assumes**  $m\_le\_n: m \leq n$  **and**  $f\_chain: \forall i \in \{m..<n\}. (f\ (Suc\ i), f\ i) \in R$   
**shows**  $(f\ n, f\ m) \in R^*$   
 $\langle proof \rangle$

## 2.7 Well-Founded Relations

**lemma** *wf\_app*:  $wf\ r \Longrightarrow wf\ \{(x, y). (f\ x, f\ y) \in r\}$   
 $\langle proof \rangle$

**lemma** *wfP\_app*:  $wfP\ p \Longrightarrow wfP\ (\lambda x\ y. p\ (f\ x)\ (f\ y))$   
 $\langle proof \rangle$

**lemma** *wf\_exists\_minimal*:  
**assumes** *wf*: *wf* *r* **and** *Q*: *Q* *x*  
**shows**  $\exists x. Q\ x \wedge (\forall y. (f\ y, f\ x) \in r \longrightarrow \neg Q\ y)$   
*<proof>*

**lemma** *wfP\_exists\_minimal*:  
**assumes** *wf*: *wfP* *p* **and** *Q*: *Q* *x*  
**shows**  $\exists x. Q\ x \wedge (\forall y. p\ (f\ y)\ (f\ x) \longrightarrow \neg Q\ y)$   
*<proof>*

**lemma** *finite\_irrefl\_trans\_imp\_wf*: *finite* *r*  $\implies$  *irrefl* *r*  $\implies$  *trans* *r*  $\implies$  *wf* *r*  
*<proof>*

**lemma** *finite\_irreflp\_transp\_imp\_wfp*:  
*finite*  $\{(x, y). p\ x\ y\}$   $\implies$  *irreflp* *p*  $\implies$  *transp* *p*  $\implies$  *wfP* *p*  
*<proof>*

**lemma** *wf\_infinite\_down\_chain\_compatible*:  
**assumes**  
*wf* *R*: *wf* *R* **and**  
*inf\_chain\_RS*:  $\forall i. (f\ (Suc\ i), f\ i) \in R \cup S$  **and**  
*O\_subset*:  $R\ O\ S \subseteq R$   
**shows**  $\exists k. \forall i. (f\ (Suc\ (i + k)), f\ (i + k)) \in S$   
*<proof>*

## 2.8 Wellorders

**lemma** (*in\_wellorder*) *exists\_minimal*:  
**fixes** *x* :: 'a  
**assumes** *P* *x*  
**shows**  $\exists x. P\ x \wedge (\forall y. P\ y \longrightarrow y \geq x)$   
*<proof>*

## 2.9 Lists

**lemma** *rev\_induct2*[*consumes* 1, *case\_names* *Nil* *snoc*]:  
*length* *xs* = *length* *ys*  $\implies$  *P* [] []  $\implies$   
 $(\bigwedge x\ xs\ y\ ys. \text{length}\ xs = \text{length}\ ys \implies P\ xs\ ys \implies P\ (xs\ @\ [x])\ (ys\ @\ [y])) \implies P\ xs\ ys$   
*<proof>*

**lemma** *hd\_in\_set*: *length* *xs*  $\neq$  0  $\implies$  *hd* *xs*  $\in$  *set* *xs*  
*<proof>*

**lemma** *in\_lists\_iff\_set*: *xs*  $\in$  *lists* *A*  $\longleftrightarrow$  *set* *xs*  $\subseteq$  *A*  
*<proof>*

**lemma** *butlast\_append\_Cons*[*simp*]: *butlast* (*xs* @ *y* # *ys*) = *xs* @ *butlast* (*y* # *ys*)  
*<proof>*

**lemma** *rev\_in\_lists*[*simp*]: *rev* *xs*  $\in$  *lists* *A*  $\longleftrightarrow$  *xs*  $\in$  *lists* *A*  
*<proof>*

**lemma** *hd\_le\_sum\_list*:  
**fixes** *xs* :: 'a::ordered\_ab\_semigroup\_monoid\_add\_imp\_le *list*  
**assumes** *xs*  $\neq$  [] **and**  $\forall i < \text{length}\ xs. xs\ !\ i \geq 0$   
**shows** *hd* *xs*  $\leq$  *sum\_list* *xs*  
*<proof>*

**lemma** *sum\_list\_ge\_length\_times*:  
**fixes** *a* :: 'a::{ordered\_ab\_semigroup\_add,semiring\_1}  
**assumes**  $\forall i < \text{length}\ xs. xs\ !\ i \geq a$   
**shows** *sum\_list* *xs*  $\geq$  *of\_nat* (*length* *xs*) \* *a*  
*<proof>*

**lemma** *prod\_list\_nonneg*:  
**fixes**  $xs :: ('a :: \{\text{ordered\_semiring}_0, \text{linordered\_nonzero\_semiring}\}) \text{ list}$   
**assumes**  $\bigwedge x. x \in \text{set } xs \implies x \geq 0$   
**shows**  $\text{prod\_list } xs \geq 0$   
*<proof>*

**lemma** *zip\_append\_0\_upt*:  
 $\text{zip } (xs @ ys) [0..<\text{length } xs + \text{length } ys] =$   
 $\text{zip } xs [0..<\text{length } xs] @ \text{zip } ys [\text{length } xs..<\text{length } xs + \text{length } ys]$   
*<proof>*

**lemma** *zip\_eq\_butlast\_last*:  
**assumes**  $\text{len\_gt0}: \text{length } xs > 0$  **and**  $\text{len\_eq}: \text{length } xs = \text{length } ys$   
**shows**  $\text{zip } xs \text{ } ys = \text{zip } (\text{butlast } xs) (\text{butlast } ys) @ [(\text{last } xs, \text{last } ys)]$   
*<proof>*

## 2.10 Extended Natural Numbers

**lemma** *the\_enat\_0[simp]*:  $\text{the\_enat } 0 = 0$   
*<proof>*

**lemma** *the\_enat\_1[simp]*:  $\text{the\_enat } 1 = 1$   
*<proof>*

**lemma** *enat\_le\_minus\_1\_imp\_lt*:  $m \leq n - 1 \implies n \neq \infty \implies n \neq 0 \implies m < n$  **for**  $m \ n \ :: \ \text{enat}$   
*<proof>*

**lemma** *enat\_diff\_diff\_eq*:  $k - m - n = k - (m + n)$  **for**  $k \ m \ n \ :: \ \text{enat}$   
*<proof>*

**lemma** *enat\_sub\_add\_same[intro]*:  $n \leq m \implies m = m - n + n$  **for**  $m \ n \ :: \ \text{enat}$   
*<proof>*

**lemma** *enat\_the\_enat\_iden[simp]*:  $n \neq \infty \implies \text{enat } (\text{the\_enat } n) = n$   
*<proof>*

**lemma** *the\_enat\_minus\_nat*:  $m \neq \infty \implies \text{the\_enat } (m - \text{enat } n) = \text{the\_enat } m - n$   
*<proof>*

**lemma** *enat\_the\_enat\_le*:  $\text{enat } (\text{the\_enat } x) \leq x$   
*<proof>*

**lemma** *enat\_the\_enat\_minus\_le*:  $\text{enat } (\text{the\_enat } (x - y)) \leq x$   
*<proof>*

**lemma** *enat\_le\_imp\_minus\_le*:  $k \leq m \implies k - n \leq m$  **for**  $k \ m \ n \ :: \ \text{enat}$   
*<proof>*

**lemma** *add\_diff\_assoc2\_enat*:  $m \geq n \implies m - n + p = m + p - n$  **for**  $m \ n \ p \ :: \ \text{enat}$   
*<proof>*

**lemma** *enat\_mult\_minus\_distrib*:  $\text{enat } x * (y - z) = \text{enat } x * y - \text{enat } x * z$   
*<proof>*

## 2.11 Multisets

**lemma** *add\_mset\_lt\_left\_lt*:  $a < b \implies \text{add\_mset } a \ A < \text{add\_mset } b \ A$   
*<proof>*

**lemma** *add\_mset\_le\_left\_le*:  $a \leq b \implies \text{add\_mset } a \ A \leq \text{add\_mset } b \ A$  **for**  $a \ :: \ 'a \ :: \ \text{linorder}$   
*<proof>*

**lemma** *add\_mset\_lt\_right\_lt*:  $A < B \implies \text{add\_mset } a \ A < \text{add\_mset } a \ B$

*<proof>*

**lemma** *add\_mset\_le\_right\_le*:  $A \leq B \implies \text{add\_mset } a \ A \leq \text{add\_mset } a \ B$   
*<proof>*

**lemma** *add\_mset\_lt\_lt\_lt*:  
**assumes** *a\_lt\_b*:  $a < b$  **and** *A\_le\_B*:  $A < B$   
**shows**  $\text{add\_mset } a \ A < \text{add\_mset } b \ B$   
*<proof>*

**lemma** *add\_mset\_lt\_lt\_le*:  $a < b \implies A \leq B \implies \text{add\_mset } a \ A < \text{add\_mset } b \ B$   
*<proof>*

**lemma** *add\_mset\_lt\_le\_lt*:  $a \leq b \implies A < B \implies \text{add\_mset } a \ A < \text{add\_mset } b \ B$  **for**  $a :: 'a :: \text{linorder}$   
*<proof>*

**lemma** *add\_mset\_le\_le\_le*:  
**fixes**  $a :: 'a :: \text{linorder}$   
**assumes** *a\_le\_b*:  $a \leq b$  **and** *A\_le\_B*:  $A \leq B$   
**shows**  $\text{add\_mset } a \ A \leq \text{add\_mset } b \ B$   
*<proof>*

**declare** *filter\_eq\_replicate\_mset* [*simp*] *image\_mset\_subseteq\_mono* [*intro*]

**lemma** *nonempty\_subseteq\_mset\_eq\_singleton*:  $M \neq \{\#\} \implies M \subseteq\# \{\#x\# \} \implies M = \{\#x\# \}$   
*<proof>*

**lemma** *nonempty\_subseteq\_mset\_iff\_singleton*:  $(M \neq \{\#\} \wedge M \subseteq\# \{\#x\# \} \wedge P) \longleftrightarrow M = \{\#x\# \} \wedge P$   
*<proof>*

**lemma** *count\_gt\_imp\_in\_mset*[*intro*]:  $\text{count } M \ x > n \implies x \in\# M$   
*<proof>*

**lemma** *size\_lt\_imp\_ex\_count\_lt*:  $\text{size } M < \text{size } N \implies \exists x \in\# N. \text{count } M \ x < \text{count } N \ x$   
*<proof>*

**lemma** *filter\_filter\_mset*[*simp*]:  $\{\#x \in\# \{\#x \in\# M. Q \ x\#\}. P \ x\#\} = \{\#x \in\# M. P \ x \wedge Q \ x\#\}$   
*<proof>*

**lemma** *size\_filter\_unsat\_elem*:  
**assumes**  $x \in\# M$  **and**  $\neg P \ x$   
**shows**  $\text{size } \{\#x \in\# M. P \ x\#\} < \text{size } M$   
*<proof>*

**lemma** *size\_filter\_ne\_elem*:  $x \in\# M \implies \text{size } \{\#y \in\# M. y \neq x\#\} < \text{size } M$   
*<proof>*

**lemma** *size\_eq\_ex\_count\_lt*:  
**assumes**  
  *sz\_m\_eq\_n*:  $\text{size } M = \text{size } N$  **and**  
  *m\_eq\_n*:  $M \neq N$   
**shows**  $\exists x. \text{count } M \ x < \text{count } N \ x$   
*<proof>*

**lemma** *count\_image\_mset\_lt\_imp\_lt\_raw*:  
**assumes**  
  *finite A* **and**  
   $A = \text{set\_mset } M \cup \text{set\_mset } N$  **and**  
   $\text{count } (\text{image\_mset } f \ M) \ b < \text{count } (\text{image\_mset } f \ N) \ b$   
**shows**  $\exists x. f \ x = b \wedge \text{count } M \ x < \text{count } N \ x$   
*<proof>*

**lemma** *count\_image\_mset\_lt\_imp\_lt*:

**assumes**  $cnt\_b$ :  $count (image\_mset f M) b < count (image\_mset f N) b$   
**shows**  $\exists x. f x = b \wedge count M x < count N x$   
 $\langle proof \rangle$

**lemma**  $count\_image\_mset\_le\_imp\_lt\_raw$ :

**assumes**  
 $finite A$  **and**  
 $A = set\_mset M \cup set\_mset N$  **and**  
 $count (image\_mset f M) (f a) + count N a < count (image\_mset f N) (f a) + count M a$   
**shows**  $\exists b. f b = f a \wedge count M b < count N b$   
 $\langle proof \rangle$

**lemma**  $count\_image\_mset\_le\_imp\_lt$ :

**assumes**  
 $count (image\_mset f M) (f a) \leq count (image\_mset f N) (f a)$  **and**  
 $count M a > count N a$   
**shows**  $\exists b. f b = f a \wedge count M b < count N b$   
 $\langle proof \rangle$

**lemma**  $Max\_in\_mset$ :  $M \neq \{\#\} \implies Max\_mset M \in \# M$   
 $\langle proof \rangle$

**lemma**  $Max\_lt\_imp\_lt\_mset$ :

**assumes**  $n\_nemp$ :  $N \neq \{\#\}$  **and**  $max$ :  $Max\_mset M < Max\_mset N$  (**is**  $?max\_M < ?max\_N$ )  
**shows**  $M < N$   
 $\langle proof \rangle$

**lemma**  $fold\_mset\_singleton[simp]$ :  $fold\_mset f z \{\#x\# \} = f x z$   
 $\langle proof \rangle$

**end**

### 3 Lambda-Free Higher-Order Terms

**theory**  $Lambda\_Free\_Term$   
**imports**  $Lambda\_Free\_Util$   
**abbrevs**  $>s = >_s$   
**and**  $>h = >_{hd}$   
**and**  $\leq\geq h = \leq\geq_{hd}$   
**begin**

This theory defines  $\lambda$ -free higher-order terms and related locales.

#### 3.1 Precedence on Symbols

**locale**  $gt\_sym =$   
**fixes**  
 $gt\_sym :: 's \Rightarrow 's \Rightarrow bool$  (**infix**  $>s$  50)  
**assumes**  
 $gt\_sym\_irrefl$ :  $\neg f >s f$  **and**  
 $gt\_sym\_trans$ :  $h >s g \implies g >s f \implies h >s f$  **and**  
 $gt\_sym\_total$ :  $f >s g \vee g >s f \vee g = f$  **and**  
 $gt\_sym\_wf$ :  $wfP (\lambda f g. g >s f)$   
**begin**

**lemma**  $gt\_sym\_antisym$ :  $f >s g \implies \neg g >s f$   
 $\langle proof \rangle$

**end**

#### 3.2 Heads

**datatype** ( $plugins del: size$ ) ( $syms\_hd: 's, vars\_hd: 'v$ )  $hd =$

```

  is_Var: Var (var: 'v)
| Sym (sym: 's)

```

**abbreviation**  $is\_Sym :: ('s, 'v) hd \Rightarrow bool$  **where**  
 $is\_Sym \zeta \equiv \neg is\_Var \zeta$

**lemma**  $finite\_vars\_hd[simp]: finite (vars\_hd \zeta)$   
 $\langle proof \rangle$

**lemma**  $finite\_syms\_hd[simp]: finite (syms\_hd \zeta)$   
 $\langle proof \rangle$

### 3.3 Terms

**consts**  $head0 :: 'a$

**datatype** ( $syms: 's, vars: 'v$ )  $tm =$   
 $is\_Hd: Hd (head: ('s, 'v) hd)$   
 $| App (fun: ('s, 'v) tm) (arg: ('s, 'v) tm)$   
**where**  
 $head (App s \_) = head0 s$   
 $| fun (Hd \zeta) = Hd \zeta$   
 $| arg (Hd \zeta) = Hd \zeta$

**overloading**  $head0 \equiv head0 :: ('s, 'v) tm \Rightarrow ('s, 'v) hd$   
**begin**

**primrec**  $head0 :: ('s, 'v) tm \Rightarrow ('s, 'v) hd$  **where**  
 $head0 (Hd \zeta) = \zeta$   
 $| head0 (App s \_) = head0 s$

**end**

**lemma**  $head\_App[simp]: head (App s t) = head s$   
 $\langle proof \rangle$

**declare**  $tm.sel(2)[simp del]$

**lemma**  $head\_fun[simp]: head (fun s) = head s$   
 $\langle proof \rangle$

**abbreviation**  $ground :: ('s, 'v) tm \Rightarrow bool$  **where**  
 $ground t \equiv vars t = \{\}$

**abbreviation**  $is\_App :: ('s, 'v) tm \Rightarrow bool$  **where**  
 $is\_App s \equiv \neg is\_Hd s$

**lemma**  
 $size\_fun\_lt: is\_App s \Longrightarrow size (fun s) < size s$  **and**  
 $size\_arg\_lt: is\_App s \Longrightarrow size (arg s) < size s$   
 $\langle proof \rangle$

**lemma**  
 $finite\_vars[simp]: finite (vars s)$  **and**  
 $finite\_syms[simp]: finite (syms s)$   
 $\langle proof \rangle$

**lemma**  
 $vars\_head\_subsetq: vars\_hd (head s) \subseteq vars s$  **and**  
 $syms\_head\_subsetq: syms\_hd (head s) \subseteq syms s$   
 $\langle proof \rangle$

**fun**  $args :: ('s, 'v) tm \Rightarrow ('s, 'v) tm list$  **where**  
 $args (Hd \_) = []$

|  $args (App\ s\ t) = args\ s\ @\ [t]$

**lemma**  $set\_args\_fun$ :  $set\ (args\ (fun\ s)) \subseteq set\ (args\ s)$   
(proof)

**lemma**  $arg\_in\_args$ :  $is\_App\ s \implies arg\ s \in set\ (args\ s)$   
(proof)

**lemma**  
 $vars\_args\_subteq$ :  $si \in set\ (args\ s) \implies vars\ si \subseteq vars\ s$  **and**  
 $syms\_args\_subteq$ :  $si \in set\ (args\ s) \implies syms\ si \subseteq syms\ s$   
(proof)

**lemma**  $args\_Nil\_iff\_is\_Hd$ :  $args\ s = [] \longleftrightarrow is\_Hd\ s$   
(proof)

**abbreviation**  $num\_args$  ::  $('s, 'v)\ tm \Rightarrow nat$  **where**  
 $num\_args\ s \equiv length\ (args\ s)$

**lemma**  $size\_ge\_num\_args$ :  $size\ s \geq num\_args\ s$   
(proof)

**lemma**  $Hd\_head\_id$ :  $num\_args\ s = 0 \implies Hd\ (head\ s) = s$   
(proof)

**lemma**  $one\_arg\_imp\_Hd$ :  $num\_args\ s = 1 \implies s = App\ t\ u \implies t = Hd\ (head\ t)$   
(proof)

**lemma**  $size\_in\_args$ :  $s \in set\ (args\ t) \implies size\ s < size\ t$   
(proof)

**primrec**  $apps$  ::  $('s, 'v)\ tm \Rightarrow ('s, 'v)\ tm\ list \Rightarrow ('s, 'v)\ tm$  **where**  
 $apps\ s\ [] = s$   
|  $apps\ s\ (t\ \#\ ts) = apps\ (App\ s\ t)\ ts$

**lemma**  
 $vars\_apps[simp]$ :  $vars\ (apps\ s\ ss) = vars\ s \cup (\bigcup s \in set\ ss.\ vars\ s)$  **and**  
 $syms\_apps[simp]$ :  $syms\ (apps\ s\ ss) = syms\ s \cup (\bigcup s \in set\ ss.\ syms\ s)$  **and**  
 $head\_apps[simp]$ :  $head\ (apps\ s\ ss) = head\ s$  **and**  
 $args\_apps[simp]$ :  $args\ (apps\ s\ ss) = args\ s\ @\ ss$  **and**  
 $is\_App\_apps[simp]$ :  $is\_App\ (apps\ s\ ss) \longleftrightarrow args\ (apps\ s\ ss) \neq []$  **and**  
 $fun\_apps\_Nil[simp]$ :  $fun\ (apps\ s\ []) = fun\ s$  **and**  
 $fun\_apps\_Cons[simp]$ :  $fun\ (apps\ (App\ s\ sa)\ ss) = apps\ s\ (butlast\ (sa\ \#\ ss))$  **and**  
 $arg\_apps\_Nil[simp]$ :  $arg\ (apps\ s\ []) = arg\ s$  **and**  
 $arg\_apps\_Cons[simp]$ :  $arg\ (apps\ (App\ s\ sa)\ ss) = last\ (sa\ \#\ ss)$   
(proof)

**lemma**  $apps\_append[simp]$ :  $apps\ s\ (ss\ @\ ts) = apps\ (apps\ s\ ss)\ ts$   
(proof)

**lemma**  $App\_apps$ :  $App\ (apps\ s\ ts)\ t = apps\ s\ (ts\ @\ [t])$   
(proof)

**lemma**  $tm\_inject\_apps[iff, induct\_simp]$ :  $apps\ (Hd\ \zeta)\ ss = apps\ (Hd\ \xi)\ ts \longleftrightarrow \zeta = \xi \wedge ss = ts$   
(proof)

**lemma**  $tm\_collapse\_apps[simp]$ :  $apps\ (Hd\ (head\ s))\ (args\ s) = s$   
(proof)

**lemma**  $tm\_expand\_apps$ :  $head\ s = head\ t \implies args\ s = args\ t \implies s = t$   
(proof)

**lemma**  $tm\_exhaust\_apps\_sel[case\_names\ apps]$ :  $(s = apps\ (Hd\ (head\ s))\ (args\ s) \implies P) \implies P$

*<proof>*

**lemma** *tm\_exhaust\_apps*[*case\_names apps*]:  $(\bigwedge \zeta \text{ ss. } s = \text{apps } (\text{Hd } \zeta) \text{ ss} \implies P) \implies P$   
*<proof>*

**lemma** *tm\_induct\_apps*[*case\_names apps*]:  
**assumes**  $\bigwedge \zeta \text{ ss. } (\bigwedge s. s \in \text{set } \text{ss} \implies P \ s) \implies P \ (\text{apps } (\text{Hd } \zeta) \ \text{ss})$   
**shows**  $P \ s$   
*<proof>*

**lemma**  
*ground\_fun*:  $\text{ground } s \implies \text{ground } (\text{fun } s)$  **and**  
*ground\_arg*:  $\text{ground } s \implies \text{ground } (\text{arg } s)$   
*<proof>*

**lemma** *ground\_head*:  $\text{ground } s \implies \text{is\_Sym } (\text{head } s)$   
*<proof>*

**lemma** *ground\_args*:  $t \in \text{set } (\text{args } s) \implies \text{ground } s \implies \text{ground } t$   
*<proof>*

**primrec** *vars\_mset* ::  $(\text{'s}, \text{'v}) \text{tm} \Rightarrow \text{'v} \text{ multiset}$  **where**  
*vars\_mset* (*Hd*  $\zeta$ ) = *mset\_set* (*vars\_hd*  $\zeta$ )  
| *vars\_mset* (*App*  $s \ t$ ) = *vars\_mset*  $s$  + *vars\_mset*  $t$

**lemma** *set\_vars\_mset*[*simp*]:  $\text{set\_mset } (\text{vars\_mset } t) = \text{vars } t$   
*<proof>*

**lemma** *vars\_mset\_empty\_iff*[*iff*]:  $\text{vars\_mset } s = \{\#\} \longleftrightarrow \text{ground } s$   
*<proof>*

**lemma** *vars\_mset\_fun*[*intro*]:  $\text{vars\_mset } (\text{fun } t) \subseteq\# \text{vars\_mset } t$   
*<proof>*

**lemma** *vars\_mset\_arg*[*intro*]:  $\text{vars\_mset } (\text{arg } t) \subseteq\# \text{vars\_mset } t$   
*<proof>*

### 3.4 hsize

The *hsize* of a term is the number of heads (Syms or Vars) in the term.

**primrec** *hsize* ::  $(\text{'s}, \text{'v}) \text{tm} \Rightarrow \text{nat}$  **where**  
*hsize* (*Hd*  $\zeta$ ) = 1  
| *hsize* (*App*  $s \ t$ ) = *hsize*  $s$  + *hsize*  $t$

**lemma** *hsize\_size*:  $\text{hsize } t * 2 = \text{size } t + 1$   
*<proof>*

**lemma** *hsize\_pos*[*simp*]:  $\text{hsize } t > 0$   
*<proof>*

**lemma** *hsize\_fun\_lt*:  $\text{is\_App } s \implies \text{hsize } (\text{fun } s) < \text{hsize } s$   
*<proof>*

**lemma** *hsize\_arg\_lt*:  $\text{is\_App } s \implies \text{hsize } (\text{arg } s) < \text{hsize } s$   
*<proof>*

**lemma** *hsize\_ge\_num\_args*:  $\text{hsize } s \geq \text{hsize } s$   
*<proof>*

**lemma** *hsize\_in\_args*:  $s \in \text{set } (\text{args } t) \implies \text{hsize } s < \text{hsize } t$   
*<proof>*

**lemma** *hsize\_apps*:  $\text{hsize } (\text{apps } t \ \text{ts}) = \text{hsize } t + \text{sum\_list } (\text{map } \text{hsize } \text{ts})$

*<proof>*

**lemma** *hsize\_args*:  $1 + \text{sum\_list } (\text{map } \text{hsize } (\text{args } t)) = \text{hsize } t$   
*<proof>*

### 3.5 Substitutions

**primrec** *subst* ::  $(\text{'v} \Rightarrow (\text{'s}, \text{'v}) \text{ tm}) \Rightarrow (\text{'s}, \text{'v}) \text{ tm} \Rightarrow (\text{'s}, \text{'v}) \text{ tm}$  **where**  
  *subst*  $\varrho$  (*Hd*  $\zeta$ ) = (*case*  $\zeta$  *of* *Var*  $x \Rightarrow \varrho x$  | *Sym*  $f \Rightarrow \text{Hd } (\text{Sym } f)$ )  
| *subst*  $\varrho$  (*App*  $s t$ ) = *App* (*subst*  $\varrho s$ ) (*subst*  $\varrho t$ )

**lemma** *subst\_apps[simp]*:  $\text{subst } \varrho (\text{apps } s \text{ ts}) = \text{apps } (\text{subst } \varrho s) (\text{map } (\text{subst } \varrho) \text{ ts})$   
*<proof>*

**lemma** *head\_subst[simp]*:  $\text{head } (\text{subst } \varrho s) = \text{head } (\text{subst } \varrho (\text{Hd } (\text{head } s)))$   
*<proof>*

**lemma** *args\_subst[simp]*:  
   $\text{args } (\text{subst } \varrho s) = (\text{case } \text{head } s \text{ of } \text{Var } x \Rightarrow \text{args } (\varrho x) \mid \text{Sym } f \Rightarrow []) @ \text{map } (\text{subst } \varrho) (\text{args } s)$   
*<proof>*

**lemma** *ground\_imp\_subst\_iden*:  $\text{ground } s \Longrightarrow \text{subst } \varrho s = s$   
*<proof>*

**lemma** *vars\_mset\_subst[simp]*:  $\text{vars\_mset } (\text{subst } \varrho s) = (\sum \# \{ \# \text{vars\_mset } (\varrho x). x \in \# \text{vars\_mset } s \# \})$   
*<proof>*

**lemma** *vars\_mset\_subst\_subseteq*:  
   $\text{vars\_mset } t \supseteq \# \text{vars\_mset } s \Longrightarrow \text{vars\_mset } (\text{subst } \varrho t) \supseteq \# \text{vars\_mset } (\text{subst } \varrho s)$   
*<proof>*

**lemma** *vars\_subst\_subseteq*:  $\text{vars } t \supseteq \text{vars } s \Longrightarrow \text{vars } (\text{subst } \varrho t) \supseteq \text{vars } (\text{subst } \varrho s)$   
*<proof>*

### 3.6 Subterms

**inductive** *sub* ::  $(\text{'s}, \text{'v}) \text{ tm} \Rightarrow (\text{'s}, \text{'v}) \text{ tm} \Rightarrow \text{bool}$  **where**  
  *sub\_refl*:  $\text{sub } s s$   
| *sub\_fun*:  $\text{sub } s t \Longrightarrow \text{sub } s (\text{App } u t)$   
| *sub\_arg*:  $\text{sub } s t \Longrightarrow \text{sub } s (\text{App } t u)$

**inductive-cases** *sub\_HdE[simplified, elim]*:  $\text{sub } s (\text{Hd } \xi)$   
**inductive-cases** *sub\_AppE[simplified, elim]*:  $\text{sub } s (\text{App } t u)$   
**inductive-cases** *sub\_Hd\_HdE[simplified, elim]*:  $\text{sub } (\text{Hd } \zeta) (\text{Hd } \xi)$   
**inductive-cases** *sub\_Hd\_AppE[simplified, elim]*:  $\text{sub } (\text{Hd } \zeta) (\text{App } t u)$

**lemma** *in\_vars\_imp\_sub*:  $x \in \text{vars } s \longleftrightarrow \text{sub } (\text{Hd } (\text{Var } x)) s$   
*<proof>*

**lemma** *sub\_args*:  $s \in \text{set } (\text{args } t) \Longrightarrow \text{sub } s t$   
*<proof>*

**lemma** *sub\_size*:  $\text{sub } s t \Longrightarrow \text{size } s \leq \text{size } t$   
*<proof>*

**lemma** *sub\_subst*:  $\text{sub } s t \Longrightarrow \text{sub } (\text{subst } \varrho s) (\text{subst } \varrho t)$   
*<proof>*

**abbreviation** *proper\_sub* ::  $(\text{'s}, \text{'v}) \text{ tm} \Rightarrow (\text{'s}, \text{'v}) \text{ tm} \Rightarrow \text{bool}$  **where**  
   $\text{proper\_sub } s t \equiv \text{sub } s t \wedge s \neq t$

**lemma** *proper\_sub\_Hd[simp]*:  $\neg \text{proper\_sub } s (\text{Hd } \zeta)$   
*<proof>*

**lemma** *proper\_sub\_subst*:  
**assumes** *psub*: *proper\_sub s t*  
**shows** *proper\_sub (subst ρ s) (subst ρ t)*  
⟨*proof*⟩

### 3.7 Maximum Arities

**locale** *arity* =

**fixes**

*arity\_sym* ::  $'s \Rightarrow \text{enat}$  **and**

*arity\_var* ::  $'v \Rightarrow \text{enat}$

**begin**

**primrec** *arity\_hd* ::  $('s, 'v) \text{hd} \Rightarrow \text{enat}$  **where**

*arity\_hd* (*Var* *x*) = *arity\_var* *x*

| *arity\_hd* (*Sym* *f*) = *arity\_sym* *f*

**definition** *arity* ::  $('s, 'v) \text{tm} \Rightarrow \text{enat}$  **where**

*arity* *s* = *arity\_hd* (*head* *s*) - *num\_args* *s*

**lemma** *arity\_simps*[*simp*]:

*arity* (*Hd*  $\zeta$ ) = *arity\_hd*  $\zeta$

*arity* (*App* *s* *t*) = *arity* *s* - 1

⟨*proof*⟩

**lemma** *arity\_apps*[*simp*]: *arity* (*apps* *s* *ts*) = *arity* *s* - *length* *ts*

⟨*proof*⟩

**inductive** *wary* ::  $('s, 'v) \text{tm} \Rightarrow \text{bool}$  **where**

*wary\_Hd*[*intro*]: *wary* (*Hd*  $\zeta$ )

| *wary\_App*[*intro*]: *wary* *s*  $\Longrightarrow$  *wary* *t*  $\Longrightarrow$  *num\_args* *s* < *arity\_hd* (*head* *s*)  $\Longrightarrow$  *wary* (*App* *s* *t*)

**inductive-cases** *wary\_HdE*: *wary* (*Hd*  $\zeta$ )

**inductive-cases** *wary\_AppE*: *wary* (*App* *s* *t*)

**inductive-cases** *wary\_binaryE*[*simplified*]: *wary* (*App* (*App* *s* *t*) *u*)

**lemma** *wary\_fun*[*intro*]: *wary* *t*  $\Longrightarrow$  *wary* (*fun* *t*)

⟨*proof*⟩

**lemma** *wary\_arg*[*intro*]: *wary* *t*  $\Longrightarrow$  *wary* (*arg* *t*)

⟨*proof*⟩

**lemma** *wary\_args*: *s* ∈ *set* (*args* *t*)  $\Longrightarrow$  *wary* *t*  $\Longrightarrow$  *wary* *s*

⟨*proof*⟩

**lemma** *wary\_sub*: *sub* *s* *t*  $\Longrightarrow$  *wary* *t*  $\Longrightarrow$  *wary* *s*

⟨*proof*⟩

**lemma** *wary\_inf\_ary*:  $(\bigwedge \zeta. \text{arity\_hd } \zeta = \infty) \Longrightarrow \text{wary } s$

⟨*proof*⟩

**lemma** *wary\_num\_args\_le\_arity\_head*: *wary* *s*  $\Longrightarrow$  *num\_args* *s* ≤ *arity\_hd* (*head* *s*)

⟨*proof*⟩

**lemma** *wary\_apps*:

*wary* *s*  $\Longrightarrow$   $(\bigwedge sa. sa \in \text{set } ss \Longrightarrow \text{wary } sa) \Longrightarrow \text{length } ss \leq \text{arity } s \Longrightarrow \text{wary } (\text{apps } s \text{ } ss)$

⟨*proof*⟩

**lemma** *wary\_cases\_apps*[*consumes 1*, *case\_names apps*]:

**assumes**

*wary\_t*: *wary* *t* **and**

*apps*:  $\bigwedge \zeta ss. t = \text{apps } (\text{Hd } \zeta) \text{ } ss \Longrightarrow (\bigwedge sa. sa \in \text{set } ss \Longrightarrow \text{wary } sa) \Longrightarrow \text{length } ss \leq \text{arity\_hd } \zeta \Longrightarrow P$

**shows** *P*

⟨*proof*⟩

**lemma** *arity\_hd\_head*:  $wary\ s \implies arity\_hd\ (head\ s) = arity\ s + num\_args\ s$   
 ⟨proof⟩

**lemma** *arity\_head\_ge*:  $arity\_hd\ (head\ s) \geq arity\ s$   
 ⟨proof⟩

**inductive** *wary\_fo* :: ('s, 'v) tm  $\Rightarrow$  bool **where**  
*wary\_foI*[intro]:  $is\_Hd\ s \vee is\_Sym\ (head\ s) \implies length\ (args\ s) = arity\_hd\ (head\ s) \implies$   
 $(\forall t \in set\ (args\ s). wary\_fo\ t) \implies wary\_fo\ s$

**lemma** *wary\_fo\_args*:  $s \in set\ (args\ t) \implies wary\_fo\ t \implies wary\_fo\ s$   
 ⟨proof⟩

**lemma** *wary\_fo\_arg*:  $wary\_fo\ (App\ s\ t) \implies wary\_fo\ t$   
 ⟨proof⟩

end

### 3.8 Potential Heads of Ground Instances of Variables

**locale** *ground\_heads* = *gt\_sym* ( $>_s$ ) + *arity\_arity\_sym\_arity\_var*  
**for**

*gt\_sym* :: 's  $\Rightarrow$  's  $\Rightarrow$  bool (**infix**  $>_s$  50) **and**  
*arity\_sym* :: 's  $\Rightarrow$  enat **and**  
*arity\_var* :: 'v  $\Rightarrow$  enat +

**fixes**

*ground\_heads\_var* :: 'v  $\Rightarrow$  's set

**assumes**

*ground\_heads\_var\_arity*:  $f \in ground\_heads\_var\ x \implies arity\_sym\ f \geq arity\_var\ x$  **and**  
*ground\_heads\_var\_nonempty*:  $ground\_heads\_var\ x \neq \{\}$

**begin**

**primrec** *ground\_heads* :: ('s, 'v) hd  $\Rightarrow$  's set **where**  
*ground\_heads* (Var  $x$ ) = *ground\_heads\_var*  $x$   
 | *ground\_heads* (Sym  $f$ ) = { $f$ }

**lemma** *ground\_heads\_arity*:  $f \in ground\_heads\ \zeta \implies arity\_sym\ f \geq arity\_hd\ \zeta$   
 ⟨proof⟩

**lemma** *ground\_heads\_nonempty[simp]*:  $ground\_heads\ \zeta \neq \{\}$   
 ⟨proof⟩

**lemma** *sym\_in\_ground\_heads*:  $is\_Sym\ \zeta \implies sym\ \zeta \in ground\_heads\ \zeta$   
 ⟨proof⟩

**lemma** *ground\_hd\_in\_ground\_heads*:  $ground\ s \implies sym\ (head\ s) \in ground\_heads\ (head\ s)$   
 ⟨proof⟩

**lemma** *some\_ground\_head\_arity*:  $arity\_sym\ (SOME\ f. f \in ground\_heads\ (Var\ x)) \geq arity\_var\ x$   
 ⟨proof⟩

**definition** *wary\_subst* :: ('v  $\Rightarrow$  ('s, 'v) tm)  $\Rightarrow$  bool **where**

*wary\_subst*  $\varrho \iff$   
 $(\forall x. wary\ (\varrho\ x) \wedge arity\ (\varrho\ x) \geq arity\_var\ x \wedge ground\_heads\ (head\ (\varrho\ x)) \subseteq ground\_heads\_var\ x)$

**definition** *strict\_wary\_subst* :: ('v  $\Rightarrow$  ('s, 'v) tm)  $\Rightarrow$  bool **where**

*strict\_wary\_subst*  $\varrho \iff$   
 $(\forall x. wary\ (\varrho\ x) \wedge arity\ (\varrho\ x) \in \{arity\_var\ x, \infty\}$   
 $\wedge ground\_heads\ (head\ (\varrho\ x)) \subseteq ground\_heads\_var\ x)$

**lemma** *strict\_imp\_wary\_subst*:  $strict\_wary\_subst\ \varrho \implies wary\_subst\ \varrho$   
 ⟨proof⟩

**lemma** *wary\_subst\_wary*:

**assumes** *wary\_ρ*: *wary\_subst ρ* **and** *wary\_s*: *wary s*  
**shows** *wary (subst ρ s)*  
*<proof>*

**lemmas** *strict\_wary\_subst\_wary = wary\_subst\_wary*[*OF strict\_imp\_wary\_subst*]

**lemma** *wary\_subst\_ground\_heads*:

**assumes** *wary\_ρ*: *wary\_subst ρ*  
**shows** *ground\_heads (head (subst ρ s)) ⊆ ground\_heads (head s)*  
*<proof>*

**lemmas** *strict\_wary\_subst\_ground\_heads = wary\_subst\_ground\_heads*[*OF strict\_imp\_wary\_subst*]

**definition** *grounding\_ρ* :: '*v* ⇒ ('*s*, '*v*) *tm* **where**

*grounding\_ρ x = (let s = Hd (Sym (SOME f. f ∈ ground\_heads\_var x)) in*  
*apps s (replicate (the\_enat (arity s - arity\_var x)) s))*

**lemma** *ground\_grounding\_ρ*: *ground (subst grounding\_ρ s)*

*<proof>*

**lemma** *strict\_wary\_grounding\_ρ*: *strict\_wary\_subst grounding\_ρ*

*<proof>*

**lemmas** *wary\_grounding\_ρ = strict\_wary\_grounding\_ρ*[*THEN strict\_imp\_wary\_subst*]

**definition** *gt\_hd* :: ('*s*, '*v*) *hd* ⇒ ('*s*, '*v*) *hd* ⇒ *bool* (**infix** *><sub>hd</sub>* 50) **where**

*ξ ><sub>hd</sub> ζ* ⇔ (∀ *g* ∈ *ground\_heads ξ*. ∀ *f* ∈ *ground\_heads ζ*. *g ><sub>s</sub> f*)

**definition** *comp\_hd* :: ('*s*, '*v*) *hd* ⇒ ('*s*, '*v*) *hd* ⇒ *bool* (**infix** *<=><sub>hd</sub>* 50) **where**

*ξ <=><sub>hd</sub> ζ* ⇔ *ξ = ζ* ∨ *ξ ><sub>hd</sub> ζ* ∨ *ζ ><sub>hd</sub> ξ*

**lemma** *gt\_hd\_irrefl*: *¬ ζ ><sub>hd</sub> ζ*

*<proof>*

**lemma** *gt\_hd\_trans*: *χ ><sub>hd</sub> ξ* ⇒ *ξ ><sub>hd</sub> ζ* ⇒ *χ ><sub>hd</sub> ζ*

*<proof>*

**lemma** *gt\_sym\_imp\_hd*: *g ><sub>s</sub> f* ⇒ *Sym g ><sub>hd</sub> Sym f*

*<proof>*

**lemma** *not\_comp\_hd\_imp\_Var*: *¬ ξ <=><sub>hd</sub> ζ* ⇒ *is\_Var ζ* ∨ *is\_Var ξ*

*<proof>*

**end**

**end**

## 4 Infinite (Non-Well-Founded) Chains

**theory** *Infinite\_Chain*

**imports** *Lambda\_Free\_Util*

**begin**

This theory defines the concept of a minimal bad (or non-well-founded) infinite chain, as found in the term rewriting literature to prove the well-foundedness of syntactic term orders.

**context**

**fixes** *p* :: '*a* ⇒ '*a* ⇒ *bool*

**begin**

**definition** *inf\_chain* :: (*nat* ⇒ '*a*) ⇒ *bool* **where**

*inf\_chain f* ⇔ (∀ *i*. *p (f i) (f (Suc i))*)

```

lemma wfP_iff_no_inf_chain: wfP ( $\lambda x y. p\ y\ x$ )  $\longleftrightarrow$  ( $\nexists f. \text{inf\_chain } f$ )
  <proof>

lemma inf_chain_offset: inf_chain f  $\implies$  inf_chain ( $\lambda j. f\ (j + i)$ )
  <proof>

definition bad :: 'a  $\implies$  bool where
  bad x  $\longleftrightarrow$  ( $\exists f. \text{inf\_chain } f \wedge f\ 0 = x$ )

lemma inf_chain_bad:
  assumes bad_f: inf_chain f
  shows bad (f i)
  <proof>

context
  fixes gt :: 'a  $\implies$  'a  $\implies$  bool
  assumes wf: wf {(x, y). gt y x}
begin

primrec worst_chain :: nat  $\implies$  'a where
  worst_chain 0 = (SOME x. bad x  $\wedge$  ( $\forall y. \text{bad } y \longrightarrow \neg \text{gt } x\ y$ ))
| worst_chain (Suc i) = (SOME x. bad x  $\wedge$  p (worst_chain i) x  $\wedge$ 
  ( $\forall y. \text{bad } y \wedge p\ (\text{worst\_chain } i)\ y \longrightarrow \neg \text{gt } x\ y$ ))

declare worst_chain.simps[simp del]

context
  fixes x :: 'a
  assumes x_bad: bad x
begin

lemma
  bad_worst_chain_0: bad (worst_chain 0) and
  min_worst_chain_0:  $\neg \text{gt } (\text{worst\_chain } 0)\ x$ 
  <proof>

lemma
  bad_worst_chain_Suc: bad (worst_chain (Suc i)) and
  worst_chain_pred: p (worst_chain i) (worst_chain (Suc i)) and
  min_worst_chain_Suc: p (worst_chain i) x  $\implies$   $\neg \text{gt } (\text{worst\_chain } (\text{Suc } i))\ x$ 
  <proof>

lemma bad_worst_chain: bad (worst_chain i)
  <proof>

lemma worst_chain_bad: inf_chain worst_chain
  <proof>

end

context
  fixes x :: 'a
  assumes
    x_bad: bad x and
    p_trans:  $\bigwedge z\ y\ x. p\ z\ y \implies p\ y\ x \implies p\ z\ x$ 
begin

lemma worst_chain_not_gt:  $\neg \text{gt } (\text{worst\_chain } i)\ (\text{worst\_chain } (\text{Suc } i))$  for i
  <proof>

end

end

```

end

**lemma** *inf\_chain\_subset*:  $\text{inf\_chain } p \ f \implies p \leq q \implies \text{inf\_chain } q \ f$   
{proof}

**hide-fact** (open) *bad\_worst\_chain\_0 bad\_worst\_chain\_Suc*

end

## 5 Extension Orders

**theory** *Extension\_Orders*  
**imports** *Lambda\_Free\_Util Infinite\_Chain HOL-Cardinals.Wellorder\_Extension*  
**begin**

This theory defines locales for categorizing extension orders used for orders on  $\lambda$ -free higher-order terms and defines variants of the lexicographic and multiset orders.

### 5.1 Locales

**locale** *ext* =

**fixes** *ext* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \ \text{list} \Rightarrow 'a \ \text{list} \Rightarrow \text{bool}$

**assumes**

*mono\_strong*:  $(\forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } y \ x \longrightarrow \text{gt}' \ y \ x) \implies \text{ext } \text{gt } \text{ys } \text{xs} \implies \text{ext } \text{gt}' \ \text{ys } \text{xs}$  **and**  
*map*:  $\text{finite } A \implies \text{ys} \in \text{lists } A \implies \text{xs} \in \text{lists } A \implies (\forall x \in A. \neg \text{gt } (f \ x) (f \ x)) \implies$   
 $(\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } (f \ z) (f \ y) \longrightarrow \text{gt } (f \ y) (f \ x) \longrightarrow \text{gt } (f \ z) (f \ x)) \implies$   
 $(\forall y \in A. \forall x \in A. \text{gt } y \ x \longrightarrow \text{gt } (f \ y) (f \ x)) \implies \text{ext } \text{gt } \text{ys } \text{xs} \implies \text{ext } \text{gt } (\text{map } f \ \text{ys}) (\text{map } f \ \text{xs})$

**begin**

**lemma** *mono[mono]*:  $\text{gt} \leq \text{gt}' \implies \text{ext } \text{gt} \leq \text{ext } \text{gt}'$   
{proof}

end

**locale** *ext\_irrefl* = *ext* +

**assumes** *irrefl*:  $(\forall x \in \text{set } xs. \neg \text{gt } x \ x) \implies \neg \text{ext } \text{gt } \text{xs } \text{xs}$

**locale** *ext\_trans* = *ext* +

**assumes** *trans*:  $\text{zs} \in \text{lists } A \implies \text{ys} \in \text{lists } A \implies \text{xs} \in \text{lists } A \implies$   
 $(\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x) \implies \text{ext } \text{gt } \text{zs } \text{ys} \implies \text{ext } \text{gt } \text{ys } \text{xs} \implies$   
 $\text{ext } \text{gt } \text{zs } \text{xs}$

**locale** *ext\_irrefl\_before\_trans* = *ext\_irrefl* +

**assumes** *trans\_from\_irrefl*:  $\text{finite } A \implies \text{zs} \in \text{lists } A \implies \text{ys} \in \text{lists } A \implies \text{xs} \in \text{lists } A \implies$   
 $(\forall x \in A. \neg \text{gt } x \ x) \implies (\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x) \implies \text{ext } \text{gt } \text{zs } \text{ys} \implies$   
 $\text{ext } \text{gt } \text{ys } \text{xs} \implies \text{ext } \text{gt } \text{zs } \text{xs}$

**locale** *ext\_trans\_before\_irrefl* = *ext\_trans* +

**assumes** *irrefl\_from\_trans*:  $(\forall z \in \text{set } xs. \forall y \in \text{set } xs. \forall x \in \text{set } xs. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x) \implies$   
 $(\forall x \in \text{set } xs. \neg \text{gt } x \ x) \implies \neg \text{ext } \text{gt } \text{xs } \text{xs}$

**locale** *ext\_irrefl\_trans\_strong* = *ext\_irrefl* +

**assumes** *trans\_strong*:  $(\forall z \in \text{set } zs. \forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x) \implies$   
 $\text{ext } \text{gt } \text{zs } \text{ys} \implies \text{ext } \text{gt } \text{ys } \text{xs} \implies \text{ext } \text{gt } \text{zs } \text{xs}$

**sublocale** *ext\_irrefl\_trans\_strong* < *ext\_irrefl\_before\_trans*  
{proof}

**sublocale** *ext\_irrefl\_trans\_strong* < *ext\_trans*  
{proof}

**sublocale** *ext\_irrefl\_trans\_strong* < *ext\_trans\_before\_irrefl*

```

⟨proof⟩

locale ext_snoc = ext +
  assumes snoc: ext gt (xs @ [x]) xs

locale ext_compat_cons = ext +
  assumes compat_cons: ext gt ys xs  $\implies$  ext gt (x # ys) (x # xs)
begin

lemma compat_append_left: ext gt ys xs  $\implies$  ext gt (zs @ ys) (zs @ xs)
  ⟨proof⟩

end

locale ext_compat_snoc = ext +
  assumes compat_snoc: ext gt ys xs  $\implies$  ext gt (ys @ [x]) (xs @ [x])
begin

lemma compat_append_right: ext gt ys xs  $\implies$  ext gt (ys @ zs) (xs @ zs)
  ⟨proof⟩

end

locale ext_compat_list = ext +
  assumes compat_list:  $y \neq x \implies$  gt y x  $\implies$  ext gt (xs @ y # xs') (xs @ x # xs')

locale ext_singleton = ext +
  assumes singleton:  $y \neq x \implies$  ext gt [y] [x]  $\longleftrightarrow$  gt y x

locale ext_compat_list_strong = ext_compat_cons + ext_compat_snoc + ext_singleton
begin

lemma compat_list:  $y \neq x \implies$  gt y x  $\implies$  ext gt (xs @ y # xs') (xs @ x # xs')
  ⟨proof⟩

end

sublocale ext_compat_list_strong < ext_compat_list
  ⟨proof⟩

locale ext_total = ext +
  assumes total:  $(\forall y \in A. \forall x \in A. gt y x \vee gt x y \vee y = x) \implies$   $ys \in lists A \implies xs \in lists A \implies$ 
   $ext gt ys xs \vee ext gt xs ys \vee ys = xs$ 

locale ext_wf = ext +
  assumes wf:  $wfP (\lambda x y. gt y x) \implies wfP (\lambda xs ys. ext gt ys xs)$ 

locale ext_hd_or_tl = ext +
  assumes hd_or_tl:  $(\forall z y x. gt z y \longrightarrow gt y x \longrightarrow gt z x) \implies$   $(\forall y x. gt y x \vee gt x y \vee y = x) \implies$ 
   $length ys = length xs \implies ext gt (y # ys) (x # xs) \implies gt y x \vee ext gt ys xs$ 

locale ext_wf_bounded = ext_irrefl_before_trans + ext_hd_or_tl
begin

context
  fixes gt :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
  assumes
    gt_irrefl:  $\bigwedge z. \neg gt z z$  and
    gt_trans:  $\bigwedge z y x. gt z y \implies gt y x \implies gt z x$  and
    gt_total:  $\bigwedge y x. gt y x \vee gt x y \vee y = x$  and
    gt_wf:  $wfP (\lambda x y. gt y x)$ 
begin

```

**lemma** *irrefl\_gt*:  $\neg \text{ext } gt \text{ } xs \text{ } xs$   
 ⟨proof⟩

**lemma** *trans\_gt*:  $\text{ext } gt \text{ } zs \text{ } ys \implies \text{ext } gt \text{ } ys \text{ } xs \implies \text{ext } gt \text{ } zs \text{ } xs$   
 ⟨proof⟩

**lemma** *hd\_or\_tl\_gt*:  $\text{length } ys = \text{length } xs \implies \text{ext } gt \text{ } (y \# ys) \text{ } (x \# xs) \implies gt \text{ } y \text{ } x \vee \text{ext } gt \text{ } ys \text{ } xs$   
 ⟨proof⟩

**lemma** *wf\_same\_length\_if\_total*:  $wfP (\lambda xs \text{ } ys. \text{length } ys = n \wedge \text{length } xs = n \wedge \text{ext } gt \text{ } ys \text{ } xs)$   
 ⟨proof⟩

**lemma** *wf\_bounded\_if\_total*:  $wfP (\lambda xs \text{ } ys. \text{length } ys \leq n \wedge \text{length } xs \leq n \wedge \text{ext } gt \text{ } ys \text{ } xs)$   
 ⟨proof⟩

**end**

**context**

**fixes** *gt* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool

**assumes**

*gt\_irrefl*:  $\bigwedge z. \neg gt \text{ } z \text{ } z$  **and**

*gt\_wf*:  $wfP (\lambda x \text{ } y. gt \text{ } y \text{ } x)$

**begin**

**lemma** *wf\_bounded*:  $wfP (\lambda xs \text{ } ys. \text{length } ys \leq n \wedge \text{length } xs \leq n \wedge \text{ext } gt \text{ } ys \text{ } xs)$   
 ⟨proof⟩

**end**

**end**

## 5.2 Lexicographic Extension

**inductive** *lexext* :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool **for** *gt* **where**

*lexext\_Nil*:  $\text{lexext } gt \text{ } (y \# ys) \text{ } []$

| *lexext\_Cons*:  $gt \text{ } y \text{ } x \implies \text{lexext } gt \text{ } (y \# ys) \text{ } (x \# xs)$

| *lexext\_Cons\_eq*:  $\text{lexext } gt \text{ } ys \text{ } xs \implies \text{lexext } gt \text{ } (x \# ys) \text{ } (x \# xs)$

**lemma** *lexext\_simps*[*simp*]:

$\text{lexext } gt \text{ } ys \text{ } [] \iff ys \neq []$

$\neg \text{lexext } gt \text{ } [] \text{ } xs$

$\text{lexext } gt \text{ } (y \# ys) \text{ } (x \# xs) \iff gt \text{ } y \text{ } x \vee x = y \wedge \text{lexext } gt \text{ } ys \text{ } xs$

⟨proof⟩

**lemma** *lexext\_mono\_strong*:

**assumes**

$\forall y \in \text{set } ys. \forall x \in \text{set } xs. gt \text{ } y \text{ } x \longrightarrow gt' \text{ } y \text{ } x$  **and**

$\text{lexext } gt \text{ } ys \text{ } xs$

**shows**  $\text{lexext } gt' \text{ } ys \text{ } xs$

⟨proof⟩

**lemma** *lexext\_map\_strong*:

$(\forall y \in \text{set } ys. \forall x \in \text{set } xs. gt \text{ } y \text{ } x \longrightarrow gt \text{ } (f \text{ } y) \text{ } (f \text{ } x)) \implies \text{lexext } gt \text{ } ys \text{ } xs \implies$

$\text{lexext } gt \text{ } (\text{map } f \text{ } ys) \text{ } (\text{map } f \text{ } xs)$

⟨proof⟩

**lemma** *lexext\_irrefl*:

**assumes**  $\forall x \in \text{set } xs. \neg gt \text{ } x \text{ } x$

**shows**  $\neg \text{lexext } gt \text{ } xs \text{ } xs$

⟨proof⟩

**lemma** *lexext\_trans\_strong*:

**assumes**

$\forall z \in \text{set } zs. \forall y \in \text{set } ys. \forall x \in \text{set } xs. gt \text{ } z \text{ } y \longrightarrow gt \text{ } y \text{ } x \longrightarrow gt \text{ } z \text{ } x$  **and**

*lexext gt zs ys* **and** *lexext gt ys xs*  
**shows** *lexext gt zs xs*  
 ⟨proof⟩

**lemma** *lexext\_snoc*: *lexext gt (xs @ [x]) xs*  
 ⟨proof⟩

**lemmas** *lexext\_compat\_cons = lexext\_Cons\_eq*

**lemma** *lexext\_compat\_snoc\_if\_same\_length*:  
**assumes** *length ys = length xs* **and** *lexext gt ys xs*  
**shows** *lexext gt (ys @ [x]) (xs @ [x])*  
 ⟨proof⟩

**lemma** *lexext\_compat\_list*: *gt y x  $\implies$  lexext gt (xs @ y # xs') (xs @ x # xs')*  
 ⟨proof⟩

**lemma** *lexext\_singleton*: *lexext gt [y] [x]  $\longleftrightarrow$  gt y x*  
 ⟨proof⟩

**lemma** *lexext\_total*:  $(\forall y \in B. \forall x \in A. gt y x \vee gt x y \vee y = x) \implies ys \in lists B \implies xs \in lists A \implies$   
*lexext gt ys xs  $\vee$  lexext gt xs ys  $\vee$  ys = xs*  
 ⟨proof⟩

**lemma** *lexext\_hd\_or\_tl*: *lexext gt (y # ys) (x # xs)  $\implies$  gt y x  $\vee$  lexext gt ys xs*  
 ⟨proof⟩

**interpretation** *lexext*: *ext lexext*  
 ⟨proof⟩

**interpretation** *lexext*: *ext\_irrefl\_trans\_strong lexext*  
 ⟨proof⟩

**interpretation** *lexext*: *ext\_snoc lexext*  
 ⟨proof⟩

**interpretation** *lexext*: *ext\_compat\_cons lexext*  
 ⟨proof⟩

**interpretation** *lexext*: *ext\_compat\_list lexext*  
 ⟨proof⟩

**interpretation** *lexext*: *ext\_singleton lexext*  
 ⟨proof⟩

**interpretation** *lexext*: *ext\_total lexext*  
 ⟨proof⟩

**interpretation** *lexext*: *ext\_hd\_or\_tl lexext*  
 ⟨proof⟩

**interpretation** *lexext*: *ext\_wf\_bounded lexext*  
 ⟨proof⟩

### 5.3 Reverse (Right-to-Left) Lexicographic Extension

**abbreviation** *lexext\_rev* ::  $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a list \Rightarrow 'a list \Rightarrow bool$  **where**  
*lexext\_rev gt ys xs  $\equiv$  lexext gt (rev ys) (rev xs)*

**lemma** *lexext\_rev\_simps[simp]*:  
*lexext\_rev gt ys []  $\longleftrightarrow$  ys  $\neq$  []*  
 $\neg$  *lexext\_rev gt [] xs*  
*lexext\_rev gt (ys @ [y]) (xs @ [x])  $\longleftrightarrow$  gt y x  $\vee$  x = y  $\wedge$  lexext\_rev gt ys xs*  
 ⟨proof⟩

**lemma** *lexext\_rev\_cons\_cons*:

**assumes**  $\text{length } ys = \text{length } xs$

**shows**  $\text{lexext\_rev } gt (y \# ys) (x \# xs) \longleftrightarrow \text{lexext\_rev } gt \text{ } ys \text{ } xs \vee ys = xs \wedge gt \text{ } y \text{ } x$

*<proof>*

**lemma** *lexext\_rev\_mono\_strong*:

**assumes**

$\forall y \in \text{set } ys. \forall x \in \text{set } xs. gt \text{ } y \text{ } x \longrightarrow gt' \text{ } y \text{ } x$  **and**

$\text{lexext\_rev } gt \text{ } ys \text{ } xs$

**shows**  $\text{lexext\_rev } gt' \text{ } ys \text{ } xs$

*<proof>*

**lemma** *lexext\_rev\_map\_strong*:

$(\forall y \in \text{set } ys. \forall x \in \text{set } xs. gt \text{ } y \text{ } x \longrightarrow gt (f \text{ } y) (f \text{ } x)) \implies \text{lexext\_rev } gt \text{ } ys \text{ } xs \implies$

$\text{lexext\_rev } gt (map \text{ } f \text{ } ys) (map \text{ } f \text{ } xs)$

*<proof>*

**lemma** *lexext\_rev\_irrefl*:

**assumes**  $\forall x \in \text{set } xs. \neg gt \text{ } x \text{ } x$

**shows**  $\neg \text{lexext\_rev } gt \text{ } xs \text{ } xs$

*<proof>*

**lemma** *lexext\_rev\_trans\_strong*:

**assumes**

$\forall z \in \text{set } zs. \forall y \in \text{set } ys. \forall x \in \text{set } xs. gt \text{ } z \text{ } y \longrightarrow gt \text{ } y \text{ } x \longrightarrow gt \text{ } z \text{ } x$  **and**

$\text{lexext\_rev } gt \text{ } zs \text{ } ys$  **and**  $\text{lexext\_rev } gt \text{ } ys \text{ } xs$

**shows**  $\text{lexext\_rev } gt \text{ } zs \text{ } xs$

*<proof>*

**lemma** *lexext\_rev\_compat\_cons\_if\_same\_length*:

**assumes**  $\text{length } ys = \text{length } xs$  **and**  $\text{lexext\_rev } gt \text{ } ys \text{ } xs$

**shows**  $\text{lexext\_rev } gt (x \# ys) (x \# xs)$

*<proof>*

**lemma** *lexext\_rev\_compat\_snoc*:  $\text{lexext\_rev } gt \text{ } ys \text{ } xs \implies \text{lexext\_rev } gt (ys @ [x]) (xs @ [x])$

*<proof>*

**lemma** *lexext\_rev\_compat\_list*:  $gt \text{ } y \text{ } x \implies \text{lexext\_rev } gt (xs @ y \# xs') (xs @ x \# xs')$

*<proof>*

**lemma** *lexext\_rev\_singleton*:  $\text{lexext\_rev } gt [y] [x] \longleftrightarrow gt \text{ } y \text{ } x$

*<proof>*

**lemma** *lexext\_rev\_total*:

$(\forall y \in B. \forall x \in A. gt \text{ } y \text{ } x \vee gt \text{ } x \text{ } y \vee y = x) \implies ys \in \text{lists } B \implies xs \in \text{lists } A \implies$

$\text{lexext\_rev } gt \text{ } ys \text{ } xs \vee \text{lexext\_rev } gt \text{ } xs \text{ } ys \vee ys = xs$

*<proof>*

**lemma** *lexext\_rev\_hd\_or\_tl*:

**assumes**

$\text{length } ys = \text{length } xs$  **and**

$\text{lexext\_rev } gt (y \# ys) (x \# xs)$

**shows**  $gt \text{ } y \text{ } x \vee \text{lexext\_rev } gt \text{ } ys \text{ } xs$

*<proof>*

**interpretation** *lexext\_rev*: *ext lexext\_rev*

*<proof>*

**interpretation** *lexext\_rev*: *ext\_irrefl\_trans\_strong lexext\_rev*

*<proof>*

**interpretation** *lexext\_rev*: *ext\_compat\_snoc lexext\_rev*

*<proof>*

**interpretation** *lexext\_rev*: *ext\_compat\_list lexext\_rev*  
*<proof>*

**interpretation** *lexext\_rev*: *ext\_singleton lexext\_rev*  
*<proof>*

**interpretation** *lexext\_rev*: *ext\_total lexext\_rev*  
*<proof>*

**interpretation** *lexext\_rev*: *ext\_hd\_or\_tl lexext\_rev*  
*<proof>*

**interpretation** *lexext\_rev*: *ext\_wf\_bounded lexext\_rev*  
*<proof>*

## 5.4 Generic Length Extension

**definition** *lenext* :: ('a list  $\Rightarrow$  'a list  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool **where**  
*lenext gts ys xs*  $\longleftrightarrow$  *length ys* > *length xs*  $\vee$  *length ys* = *length xs*  $\wedge$  *gts ys xs*

**lemma**

*lenext\_mono\_strong*: (*gts ys xs*  $\Longrightarrow$  *gts' ys xs*)  $\Longrightarrow$  *lenext gts ys xs*  $\Longrightarrow$  *lenext gts' ys xs* **and**  
*lenext\_map\_strong*: (*length ys* = *length xs*  $\Longrightarrow$  *gts ys xs*  $\Longrightarrow$  *gts (map f ys) (map f xs)*)  $\Longrightarrow$   
*lenext gts ys xs*  $\Longrightarrow$  *lenext gts (map f ys) (map f xs)* **and**  
*lenext\_irrefl*:  $\neg$  *gts xs xs*  $\Longrightarrow$   $\neg$  *lenext gts xs xs* **and**  
*lenext\_trans*: (*gts zs ys*  $\Longrightarrow$  *gts ys xs*  $\Longrightarrow$  *gts zs xs*)  $\Longrightarrow$  *lenext gts zs ys*  $\Longrightarrow$  *lenext gts ys xs*  $\Longrightarrow$   
*lenext gts zs xs* **and**  
*lenext\_snoc*: *lenext gts (xs @ [x]) xs* **and**  
*lenext\_compat\_cons*: (*length ys* = *length xs*  $\Longrightarrow$  *gts ys xs*  $\Longrightarrow$  *gts (x # ys) (x # xs)*)  $\Longrightarrow$   
*lenext gts ys xs*  $\Longrightarrow$  *lenext gts (x # ys) (x # xs)* **and**  
*lenext\_compat\_snoc*: (*length ys* = *length xs*  $\Longrightarrow$  *gts ys xs*  $\Longrightarrow$  *gts (ys @ [x]) (xs @ [x])*)  $\Longrightarrow$   
*lenext gts ys xs*  $\Longrightarrow$  *lenext gts (ys @ [x]) (xs @ [x])* **and**  
*lenext\_compat\_list*: *gts (xs @ y # xs')* (*xs @ x # xs'*)  $\Longrightarrow$   
*lenext gts (xs @ y # xs')* (*xs @ x # xs'*) **and**  
*lenext\_singleton*: *lenext gts [y] [x]*  $\longleftrightarrow$  *gts [y] [x]* **and**  
*lenext\_total*: (*gts ys xs*  $\vee$  *gts xs ys*  $\vee$  *ys* = *xs*)  $\Longrightarrow$   
*lenext gts ys xs*  $\vee$  *lenext gts xs ys*  $\vee$  *ys* = *xs* **and**  
*lenext\_hd\_or\_tl*: (*length ys* = *length xs*  $\Longrightarrow$  *gts (y # ys) (x # xs)*)  $\Longrightarrow$  *gt y x*  $\vee$  *gts ys xs*  $\Longrightarrow$   
*lenext gts (y # ys) (x # xs)*  $\Longrightarrow$  *gt y x*  $\vee$  *lenext gts ys xs*  
*<proof>*

## 5.5 Length-Lexicographic Extension

**abbreviation** *len\_lexext* :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool **where**  
*len\_lexext gt*  $\equiv$  *lenext (lexext gt)*

**lemma** *len\_lexext\_mono\_strong*:

( $\forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } y \ x \longrightarrow \text{gt}' \ y \ x$ )  $\Longrightarrow$  *len\_lexext gt ys xs*  $\Longrightarrow$  *len\_lexext gt' ys xs*  
*<proof>*

**lemma** *len\_lexext\_map\_strong*:

( $\forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } y \ x \longrightarrow \text{gt } (f \ y) \ (f \ x)$ )  $\Longrightarrow$  *len\_lexext gt ys xs*  $\Longrightarrow$   
*len\_lexext gt (map f ys) (map f xs)*  
*<proof>*

**lemma** *len\_lexext\_irrefl*: ( $\forall x \in \text{set } xs. \neg \text{gt } x \ x$ )  $\Longrightarrow$   $\neg$  *len\_lexext gt xs xs*  
*<proof>*

**lemma** *len\_lexext\_trans\_strong*:

( $\forall z \in \text{set } zs. \forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x$ )  $\Longrightarrow$  *len\_lexext gt zs ys*  $\Longrightarrow$   
*len\_lexext gt ys xs*  $\Longrightarrow$  *len\_lexext gt zs xs*  
*<proof>*

**lemma** *len\_lexext\_snoc*:  $\text{len\_lexext } gt \ (xs \ @ \ [x]) \ xs$   
*<proof>*

**lemma** *len\_lexext\_compat\_cons*:  $\text{len\_lexext } gt \ ys \ xs \implies \text{len\_lexext } gt \ (x \ # \ ys) \ (x \ # \ xs)$   
*<proof>*

**lemma** *len\_lexext\_compat\_snoc*:  $\text{len\_lexext } gt \ ys \ xs \implies \text{len\_lexext } gt \ (ys \ @ \ [x]) \ (xs \ @ \ [x])$   
*<proof>*

**lemma** *len\_lexext\_compat\_list*:  $gt \ y \ x \implies \text{len\_lexext } gt \ (xs \ @ \ y \ # \ xs') \ (xs \ @ \ x \ # \ xs')$   
*<proof>*

**lemma** *len\_lexext\_singleton[simp]*:  $\text{len\_lexext } gt \ [y] \ [x] \longleftrightarrow gt \ y \ x$   
*<proof>*

**lemma** *len\_lexext\_total*:  $(\forall y \in B. \forall x \in A. gt \ y \ x \vee gt \ x \ y \vee y = x) \implies ys \in \text{lists } B \implies xs \in \text{lists } A \implies \text{len\_lexext } gt \ ys \ xs \vee \text{len\_lexext } gt \ xs \ ys \vee ys = xs$   
*<proof>*

**lemma** *len\_lexext\_iff\_lenlex*:  $\text{len\_lexext } gt \ ys \ xs \longleftrightarrow (xs, ys) \in \text{lenlex } \{(x, y). gt \ y \ x\}$   
*<proof>*

**lemma** *len\_lexext\_wf*:  $wfP \ (\lambda x \ y. gt \ y \ x) \implies wfP \ (\lambda xs \ ys. \text{len\_lexext } gt \ ys \ xs)$   
*<proof>*

**lemma** *len\_lexext\_hd\_or\_tl*:  $\text{len\_lexext } gt \ (y \ # \ ys) \ (x \ # \ xs) \implies gt \ y \ x \vee \text{len\_lexext } gt \ ys \ xs$   
*<proof>*

**interpretation** *len\_lexext*: *ext len\_lexext*  
*<proof>*

**interpretation** *len\_lexext*: *ext\_irrefl\_trans\_strong len\_lexext*  
*<proof>*

**interpretation** *len\_lexext*: *ext\_snoc len\_lexext*  
*<proof>*

**interpretation** *len\_lexext*: *ext\_compat\_cons len\_lexext*  
*<proof>*

**interpretation** *len\_lexext*: *ext\_compat\_snoc len\_lexext*  
*<proof>*

**interpretation** *len\_lexext*: *ext\_compat\_list len\_lexext*  
*<proof>*

**interpretation** *len\_lexext*: *ext\_singleton len\_lexext*  
*<proof>*

**interpretation** *len\_lexext*: *ext\_total len\_lexext*  
*<proof>*

**interpretation** *len\_lexext*: *ext\_wf len\_lexext*  
*<proof>*

**interpretation** *len\_lexext*: *ext\_hd\_or\_tl len\_lexext*  
*<proof>*

**interpretation** *len\_lexext*: *ext\_wf\_bounded len\_lexext*  
*<proof>*

## 5.6 Reverse (Right-to-Left) Length-Lexicographic Extension

**abbreviation**  $len\_lexext\_rev :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool$  where  
 $len\_lexext\_rev\ gt \equiv lenext\ (lexext\_rev\ gt)$

**lemma**  $len\_lexext\_rev\_mono\_strong$ :

$(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt'\ y\ x) \Longrightarrow len\_lexext\_rev\ gt\ ys\ xs \Longrightarrow len\_lexext\_rev\ gt'\ ys\ xs$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_map\_strong$ :

$(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt\ (f\ y)\ (f\ x)) \Longrightarrow len\_lexext\_rev\ gt\ ys\ xs \Longrightarrow$   
 $len\_lexext\_rev\ gt\ (map\ f\ ys)\ (map\ f\ xs)$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_irrefl$ :  $(\forall x \in set\ xs. \neg\ gt\ x\ x) \Longrightarrow \neg\ len\_lexext\_rev\ gt\ xs\ xs$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_trans\_strong$ :

$(\forall z \in set\ zs. \forall y \in set\ ys. \forall x \in set\ xs. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x) \Longrightarrow len\_lexext\_rev\ gt\ zs\ ys \Longrightarrow$   
 $len\_lexext\_rev\ gt\ ys\ xs \Longrightarrow len\_lexext\_rev\ gt\ zs\ xs$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_snoc$ :  $len\_lexext\_rev\ gt\ (xs\ @\ [x])\ xs$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_compat\_cons$ :  $len\_lexext\_rev\ gt\ ys\ xs \Longrightarrow len\_lexext\_rev\ gt\ (x\ \#\ ys)\ (x\ \#\ xs)$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_compat\_snoc$ :  $len\_lexext\_rev\ gt\ ys\ xs \Longrightarrow len\_lexext\_rev\ gt\ (ys\ @\ [x])\ (xs\ @\ [x])$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_compat\_list$ :  $gt\ y\ x \Longrightarrow len\_lexext\_rev\ gt\ (xs\ @\ y\ \#\ xs')\ (xs\ @\ x\ \#\ xs')$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_singleton[simp]$ :  $len\_lexext\_rev\ gt\ [y]\ [x] \longleftrightarrow gt\ y\ x$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_total$ :  $(\forall y \in B. \forall x \in A. gt\ y\ x \vee gt\ x\ y \vee y = x) \Longrightarrow ys \in lists\ B \Longrightarrow$   
 $xs \in lists\ A \Longrightarrow len\_lexext\_rev\ gt\ ys\ xs \vee len\_lexext\_rev\ gt\ xs\ ys \vee ys = xs$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_iff\_len\_lexext$ :  $len\_lexext\_rev\ gt\ ys\ xs \longleftrightarrow len\_lexext\ gt\ (rev\ ys)\ (rev\ xs)$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_wf$ :  $wfP\ (\lambda x\ y. gt\ y\ x) \Longrightarrow wfP\ (\lambda xs\ ys. len\_lexext\_rev\ gt\ ys\ xs)$   
 $\langle proof \rangle$

**lemma**  $len\_lexext\_rev\_hd\_or\_tl$ :

$len\_lexext\_rev\ gt\ (y\ \#\ ys)\ (x\ \#\ xs) \Longrightarrow gt\ y\ x \vee len\_lexext\_rev\ gt\ ys\ xs$   
 $\langle proof \rangle$

**interpretation**  $len\_lexext\_rev$ :  $ext\ len\_lexext\_rev$   
 $\langle proof \rangle$

**interpretation**  $len\_lexext\_rev$ :  $ext\_irrefl\_trans\_strong\ len\_lexext\_rev$   
 $\langle proof \rangle$

**interpretation**  $len\_lexext\_rev$ :  $ext\_snoc\ len\_lexext\_rev$   
 $\langle proof \rangle$

**interpretation**  $len\_lexext\_rev$ :  $ext\_compat\_cons\ len\_lexext\_rev$   
 $\langle proof \rangle$

**interpretation**  $len\_lexext\_rev$ :  $ext\_compat\_snoc\ len\_lexext\_rev$

*<proof>*

**interpretation** *len\_lexext\_rev: ext\_compat\_list len\_lexext\_rev*  
*<proof>*

**interpretation** *len\_lexext\_rev: ext\_singleton len\_lexext\_rev*  
*<proof>*

**interpretation** *len\_lexext\_rev: ext\_total len\_lexext\_rev*  
*<proof>*

**interpretation** *len\_lexext\_rev: ext\_wf len\_lexext\_rev*  
*<proof>*

**interpretation** *len\_lexext\_rev: ext\_hd\_or\_tl len\_lexext\_rev*  
*<proof>*

**interpretation** *len\_lexext\_rev: ext\_wf\_bounded len\_lexext\_rev*  
*<proof>*

## 5.7 Dershowitz–Manna Multiset Extension

**definition** *msetext\_dersh* **where**

*msetext\_dersh* *gt* *ys* *xs* = (let *N* = *mset* *ys*; *M* = *mset* *xs* in  
( $\exists Y X. Y \neq \{\#\} \wedge Y \subseteq \# N \wedge M = (N - Y) + X \wedge (\forall x. x \in \# X \longrightarrow (\exists y. y \in \# Y \wedge gt\ y\ x))$ ))

The following proof is based on that of *less\_multiset<sub>DM</sub>\_imp\_mult*.

**lemma** *msetext\_dersh\_imp\_mult\_rel*:

**assumes**

*ys\_a*: *ys* ∈ *lists* *A* **and** *xs\_a*: *xs* ∈ *lists* *A* **and**

*ys\_gt\_xs*: *msetext\_dersh* *gt* *ys* *xs*

**shows** (*mset* *xs*, *mset* *ys*) ∈ *mult* {(*x*, *y*). *x* ∈ *A* ∧ *y* ∈ *A* ∧ *gt* *y* *x*}

*<proof>*

**lemma** *msetext\_dersh\_imp\_mult*: *msetext\_dersh* *gt* *ys* *xs*  $\implies$  (*mset* *xs*, *mset* *ys*) ∈ *mult* {(*x*, *y*). *gt* *y* *x*}

*<proof>*

**lemma** *mult\_imp\_msetext\_dersh\_rel*:

**assumes**

*ys\_a*: *set\_mset* (*mset* *ys*) ⊆ *A* **and** *xs\_a*: *set\_mset* (*mset* *xs*) ⊆ *A* **and**

*in\_mult*: (*mset* *xs*, *mset* *ys*) ∈ *mult* {(*x*, *y*). *x* ∈ *A* ∧ *y* ∈ *A* ∧ *gt* *y* *x*} **and**

*trans*:  $\forall z \in A. \forall y \in A. \forall x \in A. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x$

**shows** *msetext\_dersh* *gt* *ys* *xs*

*<proof>*

**lemma** *msetext\_dersh\_mono\_strong*:

$(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt'\ y\ x) \implies msetext\_dersh\ gt\ ys\ xs \implies$

*msetext\_dersh* *gt'* *ys* *xs*

*<proof>*

**lemma** *msetext\_dersh\_map\_strong*:

**assumes**

*compat\_f*:  $\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt\ (f\ y)\ (f\ x)$  **and**

*ys\_gt\_xs*: *msetext\_dersh* *gt* *ys* *xs*

**shows** *msetext\_dersh* *gt* (*map* *f* *ys*) (*map* *f* *xs*)

*<proof>*

**lemma** *msetext\_dersh\_trans*:

**assumes**

*zs\_a*: *zs* ∈ *lists* *A* **and**

*ys\_a*: *ys* ∈ *lists* *A* **and**

*xs\_a*: *xs* ∈ *lists* *A* **and**

*trans*:  $\forall z \in A. \forall y \in A. \forall x \in A. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x$  **and**

*zs\_gt\_ys*: *msetext\_dersh* *gt* *zs* *ys* **and**

*ys\_gt\_xs: msetext\_dersh gt ys xs*  
**shows** *msetext\_dersh gt zs xs*  
 ⟨proof⟩

**lemma** *msetext\_dersh\_irrefl\_from\_trans:*  
**assumes**  
*trans:  $\forall z \in \text{set } xs. \forall y \in \text{set } xs. \forall x \in \text{set } xs. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x$  and*  
*irrefl:  $\forall x \in \text{set } xs. \neg \text{gt } x \ x$*   
**shows**  $\neg \text{msetext\_dersh } \text{gt } xs \ xs$   
 ⟨proof⟩

**lemma** *msetext\_dersh\_snoc: msetext\_dersh gt (xs @ [x]) xs*  
 ⟨proof⟩

**lemma** *msetext\_dersh\_compat\_cons:*  
**assumes** *ys\_gt\_xs: msetext\_dersh gt ys xs*  
**shows** *msetext\_dersh gt (x # ys) (x # xs)*  
 ⟨proof⟩

**lemma** *msetext\_dersh\_compat\_snoc: msetext\_dersh gt ys xs  $\implies$  msetext\_dersh gt (ys @ [x]) (xs @ [x])*  
 ⟨proof⟩

**lemma** *msetext\_dersh\_compat\_list:*  
**assumes** *y\_gt\_x: gt y x*  
**shows** *msetext\_dersh gt (xs @ y # xs') (xs @ x # xs')*  
 ⟨proof⟩

**lemma** *msetext\_dersh\_singleton: msetext\_dersh gt [y] [x]  $\longleftrightarrow$  gt y x*  
 ⟨proof⟩

**lemma** *msetext\_dersh\_wf:*  
**assumes** *wf\_gt: wfP ( $\lambda x \ y. \text{gt } y \ x$ )*  
**shows** *wfP ( $\lambda xs \ ys. \text{msetext\_dersh } \text{gt } ys \ xs$ )*  
 ⟨proof⟩

**interpretation** *msetext\_dersh: ext msetext\_dersh*  
 ⟨proof⟩

**interpretation** *msetext\_dersh: ext\_trans\_before\_irrefl msetext\_dersh*  
 ⟨proof⟩

**interpretation** *msetext\_dersh: ext\_snoc msetext\_dersh*  
 ⟨proof⟩

**interpretation** *msetext\_dersh: ext\_compat\_cons msetext\_dersh*  
 ⟨proof⟩

**interpretation** *msetext\_dersh: ext\_compat\_snoc msetext\_dersh*  
 ⟨proof⟩

**interpretation** *msetext\_dersh: ext\_compat\_list msetext\_dersh*  
 ⟨proof⟩

**interpretation** *msetext\_dersh: ext\_singleton msetext\_dersh*  
 ⟨proof⟩

**interpretation** *msetext\_dersh: ext\_wf msetext\_dersh*  
 ⟨proof⟩

## 5.8 Huet–Oppen Multiset Extension

**definition** *msetext\_huet* **where**  
*msetext\_huet gt ys xs = (let N = mset ys; M = mset xs in*  
*M  $\neq$  N  $\wedge$  ( $\forall x. \text{count } M \ x > \text{count } N \ x \longrightarrow (\exists y. \text{gt } y \ x \wedge \text{count } N \ y > \text{count } M \ y))$ )*

**lemma** *msetext\_huet\_imp\_count\_gt*:  
**assumes** *ys\_gt\_xs*: *msetext\_huet gt ys xs*  
**shows**  $\exists x. \text{count} (\text{mset } ys) x > \text{count} (\text{mset } xs) x$   
⟨*proof*⟩

**lemma** *msetext\_huet\_imp\_dersh*:  
**assumes** *huet*: *msetext\_huet gt ys xs*  
**shows** *msetext\_dersh gt ys xs*  
⟨*proof*⟩

The following proof is based on that of *mult\_imp\_less\_multiset<sub>HO</sub>*.

**lemma** *mult\_imp\_msetext\_huet*:  
**assumes**  
*irrefl*: *irreflp gt and trans: transp gt and*  
*in\_mult*:  $(\text{mset } xs, \text{mset } ys) \in \text{mult} \{(x, y). \text{gt } y x\}$   
**shows** *msetext\_huet gt ys xs*  
⟨*proof*⟩

**theorem** *msetext\_huet\_eq\_dersh*: *irreflp gt  $\implies$  transp gt  $\implies$  msetext\_dersh gt = msetext\_huet gt*  
⟨*proof*⟩

**lemma** *msetext\_huet\_mono\_strong*:  
 $(\forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } y x \longrightarrow \text{gt}' y x) \implies \text{msetext\_huet } gt \text{ } ys \text{ } xs \implies \text{msetext\_huet } \text{gt}' \text{ } ys \text{ } xs$   
⟨*proof*⟩

**lemma** *msetext\_huet\_map*:  
**assumes**  
*fin*: *finite A and*  
*ys\_a*: *ys  $\in$  lists A and xs\_a*: *xs  $\in$  lists A and*  
*irrefl\_f*:  $\forall x \in A. \neg \text{gt} (f x) (f x)$  **and**  
*trans\_f*:  $\forall z \in A. \forall y \in A. \forall x \in A. \text{gt} (f z) (f y) \longrightarrow \text{gt} (f y) (f x) \longrightarrow \text{gt} (f z) (f x)$  **and**  
*compat\_f*:  $\forall y \in A. \forall x \in A. \text{gt } y x \longrightarrow \text{gt} (f y) (f x)$  **and**  
*ys\_gt\_xs*: *msetext\_huet gt ys xs*  
**shows** *msetext\_huet gt (map f ys) (map f xs)* (**is** *msetext\_huet \_ ?fys ?fxs*)  
⟨*proof*⟩

**lemma** *msetext\_huet\_irrefl*:  $(\forall x \in \text{set } xs. \neg \text{gt } x x) \implies \neg \text{msetext\_huet } gt \text{ } xs \text{ } xs$   
⟨*proof*⟩

**lemma** *msetext\_huet\_trans\_from\_irrefl*:  
**assumes**  
*fin*: *finite A and*  
*zs\_a*: *zs  $\in$  lists A and ys\_a*: *ys  $\in$  lists A and xs\_a*: *xs  $\in$  lists A and*  
*irrefl*:  $\forall x \in A. \neg \text{gt } x x$  **and**  
*trans*:  $\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z y \longrightarrow \text{gt } y x \longrightarrow \text{gt } z x$  **and**  
*zs\_gt\_ys*: *msetext\_huet gt zs ys* **and**  
*ys\_gt\_xs*: *msetext\_huet gt ys xs*  
**shows** *msetext\_huet gt zs xs*  
⟨*proof*⟩

**lemma** *msetext\_huet\_snoc*: *msetext\_huet gt (xs @ [x]) xs*  
⟨*proof*⟩

**lemma** *msetext\_huet\_compat\_cons*: *msetext\_huet gt ys xs  $\implies$  msetext\_huet gt (x # ys) (x # xs)*  
⟨*proof*⟩

**lemma** *msetext\_huet\_compat\_snoc*: *msetext\_huet gt ys xs  $\implies$  msetext\_huet gt (ys @ [x]) (xs @ [x])*  
⟨*proof*⟩

**lemma** *msetext\_huet\_compat\_list*: *y  $\neq$  x  $\implies$  gt y x  $\implies$  msetext\_huet gt (xs @ y # xs') (xs @ x # xs')*  
⟨*proof*⟩

**lemma** *msetext\_huet\_singleton*:  $y \neq x \implies \text{msetext\_huet } gt [y] [x] \longleftrightarrow gt y x$   
 ⟨proof⟩

**lemma** *msetext\_huet\_wf*:  $wfP (\lambda x y. gt y x) \implies wfP (\lambda xs ys. \text{msetext\_huet } gt ys xs)$   
 ⟨proof⟩

**lemma** *msetext\_huet\_hd\_or\_tl*:  
**assumes**  
*trans*:  $\forall z y x. gt z y \longrightarrow gt y x \longrightarrow gt z x$  **and**  
*total*:  $\forall y x. gt y x \vee gt x y \vee y = x$  **and**  
*len\_eq*:  $length\ ys = length\ xs$  **and**  
*yys\_gt\_xxs*:  $\text{msetext\_huet } gt (y \# ys) (x \# xs)$   
**shows**  $gt y x \vee \text{msetext\_huet } gt ys xs$   
 ⟨proof⟩

**interpretation** *msetext\_huet*: *ext msetext\_huet*  
 ⟨proof⟩

**interpretation** *msetext\_huet*: *ext\_irrefl\_before\_trans msetext\_huet*  
 ⟨proof⟩

**interpretation** *msetext\_huet*: *ext\_snoc msetext\_huet*  
 ⟨proof⟩

**interpretation** *msetext\_huet*: *ext\_compat\_cons msetext\_huet*  
 ⟨proof⟩

**interpretation** *msetext\_huet*: *ext\_compat\_snoc msetext\_huet*  
 ⟨proof⟩

**interpretation** *msetext\_huet*: *ext\_compat\_list msetext\_huet*  
 ⟨proof⟩

**interpretation** *msetext\_huet*: *ext\_singleton msetext\_huet*  
 ⟨proof⟩

**interpretation** *msetext\_huet*: *ext\_wf msetext\_huet*  
 ⟨proof⟩

**interpretation** *msetext\_huet*: *ext\_hd\_or\_tl msetext\_huet*  
 ⟨proof⟩

**interpretation** *msetext\_huet*: *ext\_wf\_bounded msetext\_huet*  
 ⟨proof⟩

## 5.9 Componentwise Extension

**definition** *cwiseext* ::  $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool$  **where**  
*cwiseext* *gt* *ys* *xs*  $\longleftrightarrow length\ ys = length\ xs$   
 $\wedge (\forall i < length\ ys. gt (ys ! i) (xs ! i) \vee ys ! i = xs ! i)$   
 $\wedge (\exists i < length\ ys. gt (ys ! i) (xs ! i))$

**lemma** *cwiseext\_imp\_len\_lexext*:  
**assumes** *cw*: *cwiseext* *gt* *ys* *xs*  
**shows** *len\_lexext* *gt* *ys* *xs*  
 ⟨proof⟩

**lemma** *cwiseext\_mono\_strong*:  
 $(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt'\ y\ x) \implies \text{cwiseext } gt\ ys\ xs \implies \text{cwiseext } gt'\ ys\ xs$   
 ⟨proof⟩

**lemma** *cwiseext\_map\_strong*:  
 $(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt (f\ y) (f\ x)) \implies \text{cwiseext } gt\ ys\ xs \implies \text{cwiseext } gt (map\ f\ ys) (map\ f\ xs)$

*<proof>*

**lemma** *wiseext\_irrefl*:  $(\forall x \in \text{set } xs. \neg \text{gt } x \ x) \implies \neg \text{wiseext } \text{gt } xs \ xs$   
*<proof>*

**lemma** *wiseext\_trans\_strong*:

**assumes**

$\forall z \in \text{set } zs. \forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x$  **and**  
*wiseext* *gt* *zs* *ys* **and** *wiseext* *gt* *ys* *xs*

**shows** *wiseext* *gt* *zs* *xs*

*<proof>*

**lemma** *wiseext\_compat\_cons*: *wiseext* *gt* *ys* *xs*  $\implies$  *wiseext* *gt* (*x* # *ys*) (*x* # *xs*)  
*<proof>*

**lemma** *wiseext\_compat\_snoc*: *wiseext* *gt* *ys* *xs*  $\implies$  *wiseext* *gt* (*ys* @ [*x*]) (*xs* @ [*x*])  
*<proof>*

**lemma** *wiseext\_compat\_list*:

**assumes** *y* *gt* *x*: *gt* *y* *x*

**shows** *wiseext* *gt* (*xs* @ *y* # *xs'*) (*xs* @ *x* # *xs'*)

*<proof>*

**lemma** *wiseext\_singleton*: *wiseext* *gt* [*y*] [*x*]  $\longleftrightarrow$  *gt* *y* *x*  
*<proof>*

**lemma** *wiseext\_wf*: *wfP*  $(\lambda x \ y. \text{gt } y \ x) \implies$  *wfP*  $(\lambda xs \ ys. \text{wiseext } \text{gt } ys \ xs)$   
*<proof>*

**lemma** *wiseext\_hd\_or\_tl*: *wiseext* *gt* (*y* # *ys*) (*x* # *xs*)  $\implies$  *gt* *y* *x*  $\vee$  *wiseext* *gt* *ys* *xs*  
*<proof>*

**locale** *ext\_wiseext* = *ext\_compat\_list* + *ext\_compat\_cons*  
**begin**

**context**

**fixes** *gt* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool

**assumes**

*gt\_irrefl*:  $\neg \text{gt } x \ x$  **and**

*trans\_gt*: *ext* *gt* *zs* *ys*  $\implies$  *ext* *gt* *ys* *xs*  $\implies$  *ext* *gt* *zs* *xs*

**begin**

**lemma**

**assumes** *ys* *gtcw* *xs*: *wiseext* *gt* *ys* *xs*

**shows** *ext* *gt* *ys* *xs*

*<proof>*

**end**

**end**

**interpretation** *wiseext*: *ext* *wiseext*  
*<proof>*

**interpretation** *wiseext*: *ext\_irrefl\_trans\_strong* *wiseext*  
*<proof>*

**interpretation** *wiseext*: *ext\_compat\_cons* *wiseext*  
*<proof>*

**interpretation** *wiseext*: *ext\_compat\_snoc* *wiseext*  
*<proof>*

**interpretation** *cwiseext*: *ext\_compat\_list cwiseext*  
 ⟨*proof*⟩

**interpretation** *cwiseext*: *ext\_singleton cwiseext*  
 ⟨*proof*⟩

**interpretation** *cwiseext*: *ext\_wf cwiseext*  
 ⟨*proof*⟩

**interpretation** *cwiseext*: *ext\_hd\_or\_tl cwiseext*  
 ⟨*proof*⟩

**interpretation** *cwiseext*: *ext\_wf\_bounded cwiseext*  
 ⟨*proof*⟩

**end**

## 6 The Applicative Recursive Path Order for Lambda-Free Higher-Order Terms

**theory** *Lambda\_Free\_RPO\_App*  
**imports** *Lambda\_Free\_Term\_Extension\_Orders*  
**abbrevs**  $>t = >t$   
**and**  $\geq t = \geq t$   
**begin**

This theory defines the applicative recursive path order (RPO), a variant of RPO for  $\lambda$ -free higher-order terms. It corresponds to the order obtained by applying the standard first-order RPO on the applicative encoding of higher-order terms and assigning the lowest precedence to the application symbol.

**locale** *rpo\_app = gt\_sym (><sub>s</sub>)*  
**for** *gt\_sym* ::  $'s \Rightarrow 's \Rightarrow \text{bool}$  (**infix**  $>_s$  50) +  
**fixes** *ext* ::  $(( 's, 'v) \text{tm} \Rightarrow ( 's, 'v) \text{tm} \Rightarrow \text{bool}) \Rightarrow ( 's, 'v) \text{tm list} \Rightarrow ( 's, 'v) \text{tm list} \Rightarrow \text{bool}$   
**assumes**  
*ext\_ext\_trans\_before\_irrefl*: *ext\_trans\_before\_irrefl ext* **and**  
*ext\_ext\_compat\_list*: *ext\_compat\_list ext*  
**begin**

**lemma** *ext\_mono[mono]*:  $gt \leq gt' \Longrightarrow ext\ gt \leq ext\ gt'$   
 ⟨*proof*⟩

**inductive** *gt* ::  $( 's, 'v) \text{tm} \Rightarrow ( 's, 'v) \text{tm} \Rightarrow \text{bool}$  (**infix**  $>_t$  50) **where**  
*gt\_sub*:  $is\_App\ t \Longrightarrow (fun\ t\ >_t\ s\ \vee\ fun\ t = s) \vee (arg\ t\ >_t\ s\ \vee\ arg\ t = s) \Longrightarrow t\ >_t\ s$   
| *gt\_sym\_sym*:  $g\ >_s\ f \Longrightarrow Hd\ (Sym\ g)\ >_t\ Hd\ (Sym\ f)$   
| *gt\_sym\_app*:  $Hd\ (Sym\ g)\ >_t\ s1 \Longrightarrow Hd\ (Sym\ g)\ >_t\ s2 \Longrightarrow Hd\ (Sym\ g)\ >_t\ App\ s1\ s2$   
| *gt\_app\_app*:  $ext\ (>_t)\ [t1,\ t2]\ [s1,\ s2] \Longrightarrow App\ t1\ t2\ >_t\ s1 \Longrightarrow App\ t1\ t2\ >_t\ s2 \Longrightarrow$   
*App\ t1\ t2\ >\_t\ App\ s1\ s2*

**abbreviation** *ge* ::  $( 's, 'v) \text{tm} \Rightarrow ( 's, 'v) \text{tm} \Rightarrow \text{bool}$  (**infix**  $\geq_t$  50) **where**  
 $t\ \geq_t\ s \equiv t\ >_t\ s\ \vee\ t = s$

**end**

**end**

## 7 The Graceful Recursive Path Order for Lambda-Free Higher-Order Terms

**theory** *Lambda\_Free\_RPO\_Std*  
**imports** *Lambda\_Free\_Term\_Extension\_Orders Nested\_Multisets\_Ordinals.Multiset\_More*  
**abbrevs**  $>t = >t$   
**and**  $\geq t = \geq t$

**begin**

This theory defines the graceful recursive path order (RPO) for  $\lambda$ -free higher-order terms.

## 7.1 Setup

```
locale rpo_basis = ground_heads ( $>_s$ ) arity_sym arity_var
  for
    gt_sym :: 's  $\Rightarrow$  's  $\Rightarrow$  bool (infix  $>_s$  50) and
    arity_sym :: 's  $\Rightarrow$  enat and
    arity_var :: 'v  $\Rightarrow$  enat +
  fixes
    extf :: 's  $\Rightarrow$  (('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool)  $\Rightarrow$  ('s, 'v) tm list  $\Rightarrow$  ('s, 'v) tm list  $\Rightarrow$  bool
  assumes
    extf_ext_trans_before_irrefl: ext_trans_before_irrefl (extf f) and
    extf_ext_compat_cons: ext_compat_cons (extf f) and
    extf_ext_compat_list: ext_compat_list (extf f)
begin

lemma extf_ext_trans: ext_trans (extf f)
  <proof>

lemma extf_ext: ext (extf f)
  <proof>

lemmas extf_mono_strong = ext.mono_strong[OF extf_ext]
lemmas extf_mono = ext.mono[OF extf_ext, mono]
lemmas extf_map = ext.map[OF extf_ext]
lemmas extf_trans = ext_trans.trans[OF extf_ext_trans]
lemmas extf_irrefl_from_trans =
  ext_trans_before_irrefl.irrefl_from_trans[OF extf_ext_trans_before_irrefl]
lemmas extf_compat_append_left = ext_compat_cons.compat_append_left[OF extf_ext_compat_cons]
lemmas extf_compat_list = ext_compat_list.compat_list[OF extf_ext_compat_list]

definition chkvar :: ('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool where
  [simp]: chkvar t s  $\iff$  vars_hd (head s)  $\subseteq$  vars t

end

locale rpo = rpo_basis __ arity_sym arity_var
  for
    arity_sym :: 's  $\Rightarrow$  enat and
    arity_var :: 'v  $\Rightarrow$  enat
begin
```

## 7.2 Inductive Definitions

**definition**

chksubs :: (('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool)  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool

**where**

[simp]: chksubs gt t s  $\iff$  (case s of App s1 s2  $\Rightarrow$  gt t s1  $\wedge$  gt t s2 | \_  $\Rightarrow$  True)

**lemma** chksubs\_mono[mono]: gt  $\leq$  gt'  $\implies$  chksubs gt  $\leq$  chksubs gt'

<proof>

**inductive** gt :: ('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool (**infix**  $>_t$  50) **where**

gt\_sub: is\_App t  $\implies$  (fun t  $>_t$  s  $\vee$  fun t = s)  $\vee$  (arg t  $>_t$  s  $\vee$  arg t = s)  $\implies$  t  $>_t$  s  
| gt\_diff: head t  $>_{hd}$  head s  $\implies$  chkvar t s  $\implies$  chksubs ( $>_t$ ) t s  $\implies$  t  $>_t$  s  
| gt\_same: head t = head s  $\implies$  chksubs ( $>_t$ ) t s  $\implies$   
( $\forall f \in$  ground\_heads (head t). extf f ( $>_t$ ) (args t) (args s))  $\implies$  t  $>_t$  s

**abbreviation** ge :: ('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool (**infix**  $\geq_t$  50) **where**

t  $\geq_t$  s  $\equiv$  t  $>_t$  s  $\vee$  t = s

**inductive**  $gt\_sub :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool$  **where**  
 $gt\_subI: is\_App\ t \Longrightarrow fun\ t \geq_t\ s \vee arg\ t \geq_t\ s \Longrightarrow gt\_sub\ t\ s$

**inductive**  $gt\_diff :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool$  **where**  
 $gt\_diffI: head\ t >_{hd}\ head\ s \Longrightarrow chkvar\ t\ s \Longrightarrow chksubs\ (>_t)\ t\ s \Longrightarrow gt\_diff\ t\ s$

**inductive**  $gt\_same :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool$  **where**  
 $gt\_sameI: head\ t = head\ s \Longrightarrow chksubs\ (>_t)\ t\ s \Longrightarrow$   
 $(\forall f \in ground\_heads\ (head\ t).\ extf\ f\ (>_t)\ (args\ t)\ (args\ s)) \Longrightarrow gt\_same\ t\ s$

**lemma**  $gt\_iff\_sub\_diff\_same: t >_t\ s \longleftrightarrow gt\_sub\ t\ s \vee gt\_diff\ t\ s \vee gt\_same\ t\ s$   
 $\langle proof \rangle$

### 7.3 Transitivity

**lemma**  $gt\_fun\_imp: fun\ t >_t\ s \Longrightarrow t >_t\ s$   
 $\langle proof \rangle$

**lemma**  $gt\_arg\_imp: arg\ t >_t\ s \Longrightarrow t >_t\ s$   
 $\langle proof \rangle$

**lemma**  $gt\_imp\_vars: t >_t\ s \Longrightarrow vars\ t \supseteq vars\ s$   
 $\langle proof \rangle$

**theorem**  $gt\_trans: u >_t\ t \Longrightarrow t >_t\ s \Longrightarrow u >_t\ s$   
 $\langle proof \rangle$

### 7.4 Irreflexivity

**theorem**  $gt\_irrefl: \neg s >_t\ s$   
 $\langle proof \rangle$

**lemma**  $gt\_antisym: t >_t\ s \Longrightarrow \neg s >_t\ t$   
 $\langle proof \rangle$

### 7.5 Subterm Property

**lemma**  
 $gt\_sub\_fun: App\ s\ t >_t\ s$  **and**  
 $gt\_sub\_arg: App\ s\ t >_t\ t$   
 $\langle proof \rangle$

**theorem**  $gt\_proper\_sub: proper\_sub\ s\ t \Longrightarrow t >_t\ s$   
 $\langle proof \rangle$

### 7.6 Compatibility with Functions

**lemma**  $gt\_compat\_fun:$   
**assumes**  $t'_gt\_t: t' >_t\ t$   
**shows**  $App\ s\ t' >_t\ App\ s\ t$   
 $\langle proof \rangle$

**theorem**  $gt\_compat\_fun\_strong:$   
**assumes**  $t'_gt\_t: t' >_t\ t$   
**shows**  $apps\ s\ (t' \# us) >_t\ apps\ s\ (t \# us)$   
 $\langle proof \rangle$

### 7.7 Compatibility with Arguments

**theorem**  $gt\_diff\_same\_compat\_arg:$   
**assumes**  
 $extf\_compat\_snoc: \bigwedge f. ext\_compat\_snoc\ (extf\ f)$  **and**  
 $diff\_same: gt\_diff\ s'\ s \vee gt\_same\ s'\ s$   
**shows**  $App\ s'\ t >_t\ App\ s\ t$

*<proof>*

## 7.8 Stability under Substitution

**lemma** *gt\_imp\_chksubs\_gt*:

**assumes** *t\_gt\_s*:  $t >_t s$

**shows** *chksubs* ( $>_t$ ) *t s*

*<proof>*

**theorem** *gt\_subst*:

**assumes** *wary\_ρ*: *wary\_subst* *ρ*

**shows**  $t >_t s \implies \text{subst } \rho \ t >_t \text{subst } \rho \ s$

*<proof>*

## 7.9 Totality on Ground Terms

**theorem** *gt\_total\_ground*:

**assumes** *extf\_total*:  $\bigwedge f. \text{ext\_total } (\text{extf } f)$

**shows**  $\text{ground } t \implies \text{ground } s \implies t >_t s \vee s >_t t \vee t = s$

*<proof>*

## 7.10 Well-foundedness

**abbreviation** *gtg* ::  $('s, 'v) \text{tm} \Rightarrow ('s, 'v) \text{tm} \Rightarrow \text{bool}$  (**infix**  $>_{tg}$  50) **where**

$(>_{tg}) \equiv \lambda t s. \text{ground } t \wedge t >_t s$

**theorem** *gt\_wf*:

**assumes** *extf\_wf*:  $\bigwedge f. \text{ext\_wf } (\text{extf } f)$

**shows** *wfP*  $(\lambda s t. t >_t s)$

*<proof>*

**end**

**end**

# 8 The Optimized Graceful Recursive Path Order for Lambda-Free Higher-Order Terms

**theory** *Lambda\_Free\_RPO\_Optim*

**imports** *Lambda\_Free\_RPO\_Std*

**begin**

This theory defines the optimized variant of the graceful recursive path order (RPO) for  $\lambda$ -free higher-order terms.

## 8.1 Setup

**locale** *rpo\_optim* = *rpo\_basis* \_\_ *arity\_sym* *arity\_var*

**for**

*arity\_sym* ::  $'s \Rightarrow \text{enat}$  **and**

*arity\_var* ::  $'v \Rightarrow \text{enat} +$

**assumes** *extf\_ext\_snoc*: *ext\_snoc* (*extf* *f*)

**begin**

**lemmas** *extf\_snoc* = *ext\_snoc.snoc*[*OF extf\_ext\_snoc*]

## 8.2 Definition of the Order

**definition**

*chkargs* ::  $(('s, 'v) \text{tm} \Rightarrow ('s, 'v) \text{tm} \Rightarrow \text{bool}) \Rightarrow ('s, 'v) \text{tm} \Rightarrow ('s, 'v) \text{tm} \Rightarrow \text{bool}$

**where**

[*simp*]: *chkargs* *gt* *t s*  $\longleftrightarrow (\forall s' \in \text{set } (\text{args } s). \text{gt } t s')$

**lemma** *chkargs\_mono*[*mono*]:  $gt \leq gt' \implies \text{chkargs } gt \leq \text{chkargs } gt'$   
 ⟨*proof*⟩

**inductive** *gt* :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool (**infix** ><sub>t</sub> 50) **where**  
 | *gt\_arg*:  $ti \in \text{set } (\text{args } t) \implies ti >_t s \vee ti = s \implies t >_t s$   
 | *gt\_diff*:  $\text{head } t >_{hd} \text{head } s \implies \text{chkvar } t \ s \implies \text{chkargs } (>_t) \ t \ s \implies t >_t s$   
 | *gt\_same*:  $\text{head } t = \text{head } s \implies \text{chkargs } (>_t) \ t \ s \implies$   
    $(\forall f \in \text{ground\_heads } (\text{head } t). \text{extf } f (>_t) (\text{args } t) (\text{args } s)) \implies t >_t s$

**abbreviation** *ge* :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool (**infix** ≥<sub>t</sub> 50) **where**  
 $t \geq_t s \equiv t >_t s \vee t = s$

### 8.3 Transitivity

**lemma** *gt\_in\_args\_imp*:  $ti \in \text{set } (\text{args } t) \implies ti >_t s \implies t >_t s$   
 ⟨*proof*⟩

**lemma** *gt\_imp\_vars*:  $t >_t s \implies \text{vars } t \supseteq \text{vars } s$   
 ⟨*proof*⟩

**lemma** *gt\_trans*:  $u >_t t \implies t >_t s \implies u >_t s$   
 ⟨*proof*⟩

**lemma** *gt\_sub\_fun*:  $\text{App } s \ t >_t s$   
 ⟨*proof*⟩

end

### 8.4 Conditional Equivalence with Unoptimized Version

**context** *rpo*  
**begin**

**context**  
**assumes** *extf\_ext\_snoc*:  $\bigwedge f. \text{ext\_snoc } (\text{extf } f)$   
**begin**

**lemma** *rpo\_optim*:  $\text{rpo\_optim } \text{ground\_heads\_var } (>_s) \ \text{extf } \text{arity\_sym } \text{arity\_var}$   
 ⟨*proof*⟩

**abbreviation**  
 $\text{chkargs} :: ((s, 'v) \text{tm} \Rightarrow (s, 'v) \text{tm} \Rightarrow \text{bool}) \Rightarrow (s, 'v) \text{tm} \Rightarrow (s, 'v) \text{tm} \Rightarrow \text{bool}$   
**where**  
 $\text{chkargs} \equiv \text{rpo\_optim.chkargs}$

**abbreviation** *gt\_optim* :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool (**infix** ><sub>t<sub>o</sub></sub> 50) **where**  
 $(>_{t_o}) \equiv \text{rpo\_optim.gt } \text{ground\_heads\_var } (>_s) \ \text{extf}$

**abbreviation** *ge\_optim* :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool (**infix** ≥<sub>t<sub>o</sub></sub> 50) **where**  
 $(\geq_{t_o}) \equiv \text{rpo\_optim.ge } \text{ground\_heads\_var } (>_s) \ \text{extf}$

**theorem** *gt\_iff\_optim*:  $t >_t s \iff t >_{t_o} s$   
 ⟨*proof*⟩

end

end

end

## 9 An Encoding of Lambdas in Lambda-Free Higher-Order Logic

```
theory Lambda_Encoding
imports Lambda_Free_Term
begin
```

This theory defines an encoding of  $\lambda$ -expressions as  $\lambda$ -free higher-order terms.

```
locale lambda_encoding =
  fixes
    lam :: 's and
    db :: nat  $\Rightarrow$  's
begin
```

```
definition is_db :: 's  $\Rightarrow$  bool where
  is_db f  $\longleftrightarrow$  ( $\exists$  i. f = db i)
```

```
fun subst_db :: nat  $\Rightarrow$  'v  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm where
  subst_db i x (Hd  $\zeta$ ) = Hd (if  $\zeta$  = Var x then Sym (db i) else  $\zeta$ )
| subst_db i x (App s t) =
  App (subst_db i x s) (subst_db (if head s = Sym lam then i + 1 else i) x t)
```

```
definition raw_db_subst :: nat  $\Rightarrow$  'v  $\Rightarrow$  'v  $\Rightarrow$  ('s, 'v) tm where
  raw_db_subst i x = ( $\lambda$ y. Hd (if y = x then Sym (db i) else Var y))
```

```
lemma vars_mset_subst_db: vars_mset (subst_db i x s) = {#y  $\in$  # vars_mset s. y  $\neq$  x#}
  <proof>
```

```
lemma head_subst_db: head (subst_db i x s) = head (subst (raw_db_subst i x) s)
  <proof>
```

```
lemma args_subst_db:
  args (subst_db i x s) = map (subst_db (if head s = Sym lam then i + 1 else i) x) (args s)
  <proof>
```

```
lemma var_mset_subst_db_subseteq:
  vars_mset s  $\subseteq$  # vars_mset t  $\implies$  vars_mset (subst_db i x s)  $\subseteq$  # vars_mset (subst_db i x t)
  <proof>
```

```
end
```

```
end
```

## 10 Recursive Path Orders for Lambda-Free Higher-Order Terms

```
theory Lambda_Free_RPOs
imports Lambda_Free_RPO_App Lambda_Free_RPO_Optim Lambda_Encoding
begin
```

```
locale simple_rpo_instances
begin
```

```
definition arity_sym :: nat  $\Rightarrow$  enat where
  arity_sym n =  $\infty$ 
```

```
definition arity_var :: nat  $\Rightarrow$  enat where
  arity_var n =  $\infty$ 
```

```
definition ground_head_var :: nat  $\Rightarrow$  nat set where
  ground_head_var x = UNIV
```

```
definition gt_sym :: nat  $\Rightarrow$  nat  $\Rightarrow$  bool where
  gt_sym g f  $\longleftrightarrow$  g > f
```

**sublocale** *app*: *rpo\_app gt\_sym len\_lexext*  
⟨*proof*⟩

**sublocale** *std*: *rpo ground\_head\_var gt\_sym λf. len\_lexext arity\_sym arity\_var*  
⟨*proof*⟩

**sublocale** *optim*: *rpo\_optim ground\_head\_var gt\_sym λf. len\_lexext arity\_sym arity\_var*  
⟨*proof*⟩

**end**

**end**