

Formalization of Recursive Path Orders for Lambda-Free Higher-Order Terms

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Abstract

This Isabelle/HOL formalization defines recursive path orders (RPOs) for higher-order terms without λ -abstraction and proves many useful properties about them. The main order fully coincides with the standard RPO on first-order terms also in the presence of currying, distinguishing it from previous work. An optimized variant is formalized as well. It appears promising as the basis of a higher-order superposition calculus.

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1 Introduction

This Isabelle/HOL formalization defines recursive path orders (RPOs) for higher-order terms without λ -abstraction and proves many useful properties about them. The main order fully coincides with the standard RPO on first-order terms also in the presence of currying, distinguishing it from previous work. An optimized variant is formalized as well. It appears promising as the basis of a higher-order superposition calculus.

We refer to our FoSSaCS 2017 paper for details.¹

2 Utilities for Lambda-Free Orders

```
theory Lambda_Free_Util
imports HOL-Library.Extended_Nat HOL-Library.Multiset_Order
begin
```

This theory gathers various lemmas that likely belong elsewhere in Isabelle or the *Archive of Formal Proofs*. Most (but certainly not all) of them are used to formalize orders on λ -free higher-order terms.

```
hide-const (open) Complex.arg
```

2.1 Finite Sets

```
lemma finite_set_fold_singleton[simp]: Finite_Set.fold f z {x} = f x z
⟨proof⟩
```

2.2 Function Power

```
lemma funpow_lesseq_iter:
  fixes f :: ('a::order) ⇒ 'a
  assumes mono:  $\bigwedge k. k \leq f k$  and m_le_n:  $m \leq n$ 
  shows  $(f \text{ ^^ } m) k \leq (f \text{ ^^ } n) k$ 
  ⟨proof⟩
```

```
lemma funpow_less_iter:
  fixes f :: ('a::order) ⇒ 'a
  assumes mono:  $\bigwedge k. k < f k$  and m_lt_n:  $m < n$ 
  shows  $(f \text{ ^^ } m) k < (f \text{ ^^ } n) k$ 
  ⟨proof⟩
```

¹https://www21.in.tum.de/~blanchet/lambda_free_rpo_conf.pdf

2.3 Least Operator

lemma *Least_eq[simp]*: $(LEAST y. y = x) = x$ and $(LEAST y. x = y) = x$ for $x :: 'a::order$
 ⟨proof⟩

lemma *Least_in_nonempty_set_imp_ex*:
fixes $f :: 'b \Rightarrow ('a::wellorder)$
assumes
 $A_nemp: A \neq \{\}$ and
 $P_least: P (LEAST y. \exists x \in A. y = f x)$
shows $\exists x \in A. P (f x)$
 ⟨proof⟩

lemma *Least_eq_0_enat*: $P 0 \implies (LEAST x :: enat. P x) = 0$
 ⟨proof⟩

2.4 Antisymmetric Relations

lemma *irrefl_trans_imp_antisym*: $irrefl\ r \implies trans\ r \implies antisym\ r$
 ⟨proof⟩

lemma *irreflp_transp_imp_antisymP*: $irreflp\ p \implies transp\ p \implies antisymp\ p$
 ⟨proof⟩

2.5 Acyclic Relations

lemma *finite_nonempty_ex_succ_imp_cyclic*:
assumes
 $fin: finite\ A$ and
 $nemp: A \neq \{\}$ and
 $ex_y: \forall x \in A. \exists y \in A. (y, x) \in r$
shows $\neg acyclic\ r$
 ⟨proof⟩

2.6 Reflexive, Transitive Closure

lemma *relcomp_subset_left_imp_relcomp_trancl_subset_left*:
assumes $sub: R\ O\ S \subseteq R$
shows $R\ O\ S^* \subseteq R$
 ⟨proof⟩

lemma *f_chain_in_rtrancl*:
assumes $m_le_n: m \leq n$ and $f_chain: \forall i \in \{m..<n\}. (f\ i, f\ (Suc\ i)) \in R$
shows $(f\ m, f\ n) \in R^*$
 ⟨proof⟩

lemma *f_rev_chain_in_rtrancl*:
assumes $m_le_n: m \leq n$ and $f_chain: \forall i \in \{m..<n\}. (f\ (Suc\ i), f\ i) \in R$
shows $(f\ n, f\ m) \in R^*$
 ⟨proof⟩

2.7 Well-Founded Relations

lemma *wf_app*: $wf\ r \implies wf\ \{(x, y). (f\ x, f\ y) \in r\}$
 ⟨proof⟩

lemma *wfP_app*: $wfP\ p \implies wfP\ (\lambda x\ y. p\ (f\ x)\ (f\ y))$
 ⟨proof⟩

lemma *wf_exists_minimal*:
assumes $wf: wf\ r$ and $Q: Q\ x$
shows $\exists x. Q\ x \wedge (\forall y. (f\ y, f\ x) \in r \longrightarrow \neg Q\ y)$
 ⟨proof⟩

lemma *wfP_exists_minimal*:
assumes *wf*: *wfP* *p* **and** *Q*: *Q* *x*
shows $\exists x. Q\ x \wedge (\forall y. p\ (f\ y)\ (f\ x) \longrightarrow \neg Q\ y)$
<proof>

lemma *finite_irrefl_trans_imp_wf*: *finite* *r* \implies *irrefl* *r* \implies *trans* *r* \implies *wf* *r*
<proof>

lemma *finite_irreflp_transp_imp_wfp*:
finite $\{(x, y). p\ x\ y\} \implies$ *irreflp* *p* \implies *transp* *p* \implies *wfP* *p*
<proof>

lemma *wf_infinite_down_chain_compatible*:
assumes
wf *R*: *wf* *R* **and**
inf_chain_RS: $\forall i. (f\ (Suc\ i), f\ i) \in R \cup S$ **and**
O_subset: $R\ O\ S \subseteq R$
shows $\exists k. \forall i. (f\ (Suc\ (i + k)), f\ (i + k)) \in S$
<proof>

2.8 Wellorders

lemma (*in_wellorder*) *exists_minimal*:
fixes *x* :: 'a
assumes *P* *x*
shows $\exists x. P\ x \wedge (\forall y. P\ y \longrightarrow y \geq x)$
<proof>

2.9 Lists

lemma *rev_induct2*[*consumes* 1, *case_names* *Nil* *snoc*]:
length *xs* = *length* *ys* \implies *P* [] [] \implies
 $(\bigwedge x\ xs\ y\ ys. \text{length}\ xs = \text{length}\ ys \implies P\ xs\ ys \implies P\ (xs\ @\ [x])\ (ys\ @\ [y])) \implies P\ xs\ ys$
<proof>

lemma *hd_in_set*: *length* *xs* $\neq 0 \implies$ *hd* *xs* \in *set* *xs*
<proof>

lemma *in_lists_iff_set*: *xs* \in *lists* *A* \longleftrightarrow *set* *xs* \subseteq *A*
<proof>

lemma *butlast_append_Cons*[*simp*]: *butlast* (*xs* @ *y* # *ys*) = *xs* @ *butlast* (*y* # *ys*)
<proof>

lemma *rev_in_lists*[*simp*]: *rev* *xs* \in *lists* *A* \longleftrightarrow *xs* \in *lists* *A*
<proof>

lemma *hd_le_sum_list*:
fixes *xs* :: 'a::ordered_ab_semigroup_monoid_add_imp_le *list*
assumes *xs* $\neq []$ **and** $\forall i < \text{length}\ xs. xs\ !\ i \geq 0$
shows *hd* *xs* \leq *sum_list* *xs*
<proof>

lemma *sum_list_ge_length_times*:
fixes *a* :: 'a::ordered_ab_semigroup_monoid_add_semiring_1
assumes $\forall i < \text{length}\ xs. xs\ !\ i \geq a$
shows *sum_list* *xs* \geq *of_nat* (*length* *xs*) * *a*
<proof>

lemma *prod_list_nonneg*:
fixes *xs* :: ('a :: {ordered_semiring_0, linordered_nonzero_semiring}) *list*
assumes $\bigwedge x. x \in \text{set}\ xs \implies x \geq 0$
shows *prod_list* *xs* ≥ 0
<proof>

lemma *zip_append_0_upt*:
 $zip\ (xs\ @\ ys)\ [0..<length\ xs + length\ ys] =$
 $zip\ xs\ [0..<length\ xs] @ zip\ ys\ [length\ xs..<length\ xs + length\ ys]$
 ⟨proof⟩

lemma *zip_eq_butlast_last*:
assumes *len_gt0*: $length\ xs > 0$ **and** *len_eq*: $length\ xs = length\ ys$
shows $zip\ xs\ ys = zip\ (butlast\ xs)\ (butlast\ ys) @ [(last\ xs, last\ ys)]$
 ⟨proof⟩

2.10 Extended Natural Numbers

lemma *the_enat_0[simp]*: $the_enat\ 0 = 0$
 ⟨proof⟩

lemma *the_enat_1[simp]*: $the_enat\ 1 = 1$
 ⟨proof⟩

lemma *enat_le_minus_1_imp_lt*: $m \leq n - 1 \implies n \neq \infty \implies n \neq 0 \implies m < n$ **for** $m\ n :: enat$
 ⟨proof⟩

lemma *enat_diff_diff_eq*: $k - m - n = k - (m + n)$ **for** $k\ m\ n :: enat$
 ⟨proof⟩

lemma *enat_sub_add_same[intro]*: $n \leq m \implies m = m - n + n$ **for** $m\ n :: enat$
 ⟨proof⟩

lemma *enat_the_enat_iden[simp]*: $n \neq \infty \implies enat\ (the_enat\ n) = n$
 ⟨proof⟩

lemma *the_enat_minus_nat*: $m \neq \infty \implies the_enat\ (m - enat\ n) = the_enat\ m - n$
 ⟨proof⟩

lemma *enat_the_enat_le*: $enat\ (the_enat\ x) \leq x$
 ⟨proof⟩

lemma *enat_the_enat_minus_le*: $enat\ (the_enat\ (x - y)) \leq x$
 ⟨proof⟩

lemma *enat_le_imp_minus_le*: $k \leq m \implies k - n \leq m$ **for** $k\ m\ n :: enat$
 ⟨proof⟩

lemma *add_diff_assoc2_enat*: $m \geq n \implies m - n + p = m + p - n$ **for** $m\ n\ p :: enat$
 ⟨proof⟩

lemma *enat_mult_minus_distrib*: $enat\ x * (y - z) = enat\ x * y - enat\ x * z$
 ⟨proof⟩

2.11 Multisets

lemma *add_mset_lt_left_lt*: $a < b \implies add_mset\ a\ A < add_mset\ b\ A$
 ⟨proof⟩

lemma *add_mset_le_left_le*: $a \leq b \implies add_mset\ a\ A \leq add_mset\ b\ A$ **for** $a :: 'a :: linorder$
 ⟨proof⟩

lemma *add_mset_lt_right_lt*: $A < B \implies add_mset\ a\ A < add_mset\ a\ B$
 ⟨proof⟩

lemma *add_mset_le_right_le*: $A \leq B \implies add_mset\ a\ A \leq add_mset\ a\ B$
 ⟨proof⟩

lemma *add_mset_lt_lt_lt*:

assumes $a_lt_b: a < b$ **and** $A_le_B: A < B$
shows $add_mset\ a\ A < add_mset\ b\ B$
 $\langle proof \rangle$

lemma $add_mset_lt_lt_le: a < b \implies A \leq B \implies add_mset\ a\ A < add_mset\ b\ B$
 $\langle proof \rangle$

lemma $add_mset_lt_le_lt: a \leq b \implies A < B \implies add_mset\ a\ A < add_mset\ b\ B$ **for** $a :: 'a :: linorder$
 $\langle proof \rangle$

lemma $add_mset_le_le_le:$
fixes $a :: 'a :: linorder$
assumes $a_le_b: a \leq b$ **and** $A_le_B: A \leq B$
shows $add_mset\ a\ A \leq add_mset\ b\ B$
 $\langle proof \rangle$

declare $filter_eq_replicate_mset$ [simp] $image_mset_subseq_mono$ [intro]

lemma $nonempty_subseq_mset_eq_singleton: M \neq \{\#\} \implies M \subseteq\# \{\#x\# \} \implies M = \{\#x\# \}$
 $\langle proof \rangle$

lemma $nonempty_subseq_mset_iff_singleton: (M \neq \{\#\} \wedge M \subseteq\# \{\#x\# \} \wedge P) \longleftrightarrow M = \{\#x\# \} \wedge P$
 $\langle proof \rangle$

lemma $count_gt_imp_in_mset$ [intro]: $count\ M\ x > n \implies x \in\# M$
 $\langle proof \rangle$

lemma $size_lt_imp_ex_count_lt: size\ M < size\ N \implies \exists x \in\# N. count\ M\ x < count\ N\ x$
 $\langle proof \rangle$

lemma $filter_filter_mset$ [simp]: $\{\#x \in\# \{\#x \in\# M. Q\ x\#\}. P\ x\#\} = \{\#x \in\# M. P\ x \wedge Q\ x\#\}$
 $\langle proof \rangle$

lemma $size_filter_unsat_elem:$
assumes $x \in\# M$ **and** $\neg P\ x$
shows $size\ \{\#x \in\# M. P\ x\#\} < size\ M$
 $\langle proof \rangle$

lemma $size_filter_ne_elem: x \in\# M \implies size\ \{\#y \in\# M. y \neq x\#\} < size\ M$
 $\langle proof \rangle$

lemma $size_eq_ex_count_lt:$
assumes
 $sz_m_eq_n: size\ M = size\ N$ **and**
 $m_eq_n: M \neq N$
shows $\exists x. count\ M\ x < count\ N\ x$
 $\langle proof \rangle$

lemma $count_image_mset_lt_imp_lt_raw:$
assumes
 $finite\ A$ **and**
 $A = set_mset\ M \cup set_mset\ N$ **and**
 $count\ (image_mset\ f\ M)\ b < count\ (image_mset\ f\ N)\ b$
shows $\exists x. f\ x = b \wedge count\ M\ x < count\ N\ x$
 $\langle proof \rangle$

lemma $count_image_mset_lt_imp_lt:$
assumes $cnt_b: count\ (image_mset\ f\ M)\ b < count\ (image_mset\ f\ N)\ b$
shows $\exists x. f\ x = b \wedge count\ M\ x < count\ N\ x$
 $\langle proof \rangle$

lemma $count_image_mset_le_imp_lt_raw:$
assumes

finite A **and**
 $A = \text{set_mset } M \cup \text{set_mset } N$ **and**
 $\text{count } (\text{image_mset } f M) (f a) + \text{count } N a < \text{count } (\text{image_mset } f N) (f a) + \text{count } M a$
shows $\exists b. f b = f a \wedge \text{count } M b < \text{count } N b$
 $\langle \text{proof} \rangle$

lemma $\text{count_image_mset_le_imp_lt}$:
assumes
 $\text{count } (\text{image_mset } f M) (f a) \leq \text{count } (\text{image_mset } f N) (f a)$ **and**
 $\text{count } M a > \text{count } N a$
shows $\exists b. f b = f a \wedge \text{count } M b < \text{count } N b$
 $\langle \text{proof} \rangle$

lemma Max_in_mset : $M \neq \{\#\} \implies \text{Max_mset } M \in\# M$
 $\langle \text{proof} \rangle$

lemma $\text{Max_lt_imp_lt_mset}$:
assumes $n_nemp: N \neq \{\#\}$ **and** $max: \text{Max_mset } M < \text{Max_mset } N$ (**is** $?max_M < ?max_N$)
shows $M < N$
 $\langle \text{proof} \rangle$

lemma $\text{fold_mset_singleton[simp]}$: $\text{fold_mset } f z \{\#x\# \} = f x z$
 $\langle \text{proof} \rangle$

end

3 Lambda-Free Higher-Order Terms

theory Lambda_Free_Term
imports Lambda_Free_Util
abbrevs $>s = >_s$
and $>h = >_{hd}$
and $\leq\geq h = \leq\geq_{hd}$
begin

This theory defines λ -free higher-order terms and related locales.

3.1 Precedence on Symbols

locale $gt_sym =$
fixes
 $gt_sym :: 's \Rightarrow 's \Rightarrow \text{bool}$ (**infix** $>_s$ 50)
assumes
 $gt_sym_irrefl: \neg f >_s f$ **and**
 $gt_sym_trans: h >_s g \implies g >_s f \implies h >_s f$ **and**
 $gt_sym_total: f >_s g \vee g >_s f \vee g = f$ **and**
 $gt_sym_wf: wfP (\lambda f g. g >_s f)$
begin

lemma $gt_sym_antisym: f >_s g \implies \neg g >_s f$
 $\langle \text{proof} \rangle$

end

3.2 Heads

datatype (plugins del: size) ($\text{syms_hd: 's, vars_hd: 'v}$) $hd =$
 $is_Var: \text{Var } (var: 'v)$
 $| \text{Sym } (sym: 's)$

abbreviation $is_Sym :: ('s, 'v) hd \Rightarrow \text{bool}$ **where**
 $is_Sym \zeta \equiv \neg is_Var \zeta$

lemma *finite_vars_hd[simp]*: *finite (vars_hd ζ)*
 ⟨*proof*⟩

lemma *finite_syms_hd[simp]*: *finite (syms_hd ζ)*
 ⟨*proof*⟩

3.3 Terms

consts *head0* :: 'a

datatype (*syms*: 's, *vars*: 'v) *tm* =
 | *is_Hd*: *Hd* (*head*: ('s, 'v) *hd*)
 | *App* (*fun*: ('s, 'v) *tm*) (*arg*: ('s, 'v) *tm*)

where

head (*App* *s* _) = *head0 s*
 | *fun* (*Hd* ζ) = *Hd* ζ
 | *arg* (*Hd* ζ) = *Hd* ζ

overloading *head0* \equiv *head0* :: ('s, 'v) *tm* \Rightarrow ('s, 'v) *hd*
begin

primrec *head0* :: ('s, 'v) *tm* \Rightarrow ('s, 'v) *hd* **where**
head0 (*Hd* ζ) = ζ
 | *head0* (*App* *s* _) = *head0 s*

end

lemma *head_App[simp]*: *head (App s t) = head s*
 ⟨*proof*⟩

declare *tm.sel(2)[simp del]*

lemma *head_fun[simp]*: *head (fun s) = head s*
 ⟨*proof*⟩

abbreviation *ground* :: ('s, 'v) *tm* \Rightarrow *bool* **where**
ground *t* \equiv *vars* *t* = {}

abbreviation *is_App* :: ('s, 'v) *tm* \Rightarrow *bool* **where**
is_App *s* \equiv \neg *is_Hd* *s*

lemma

size_fun_lt: *is_App* *s* \Longrightarrow *size* (*fun* *s*) < *size* *s* **and**
size_arg_lt: *is_App* *s* \Longrightarrow *size* (*arg* *s*) < *size* *s*
 ⟨*proof*⟩

lemma

finite_vars[simp]: *finite* (*vars* *s*) **and**
finite_syms[simp]: *finite* (*syms* *s*)
 ⟨*proof*⟩

lemma

vars_head_subseteq: *vars_hd* (*head* *s*) \subseteq *vars* *s* **and**
syms_head_subseteq: *syms_hd* (*head* *s*) \subseteq *syms* *s*
 ⟨*proof*⟩

fun *args* :: ('s, 'v) *tm* \Rightarrow ('s, 'v) *tm* *list* **where**

args (*Hd* _) = []
 | *args* (*App* *s* *t*) = *args* *s* @ [*t*]

lemma *set_args_fun*: *set* (*args* (*fun* *s*)) \subseteq *set* (*args* *s*)
 ⟨*proof*⟩

lemma *arg_in_args*: *is_App* *s* \Longrightarrow *arg* *s* \in *set* (*args* *s*)

<proof>

lemma

vars_args_subseteq: $si \in \text{set } (\text{args } s) \implies \text{vars } si \subseteq \text{vars } s$ **and**
syms_args_subseteq: $si \in \text{set } (\text{args } s) \implies \text{syms } si \subseteq \text{syms } s$
<proof>

lemma *args_Nil_iff_is_Hd*: $\text{args } s = [] \iff \text{is_Hd } s$

<proof>

abbreviation *num_args* :: $('s, 'v) \text{ tm} \Rightarrow \text{nat}$ **where**

num_args $s \equiv \text{length } (\text{args } s)$

lemma *size_ge_num_args*: $\text{size } s \geq \text{num_args } s$

<proof>

lemma *Hd_head_id*: $\text{num_args } s = 0 \implies \text{Hd } (\text{head } s) = s$

<proof>

lemma *one_arg_imp_Hd*: $\text{num_args } s = 1 \implies s = \text{App } t \ u \implies t = \text{Hd } (\text{head } t)$

<proof>

lemma *size_in_args*: $s \in \text{set } (\text{args } t) \implies \text{size } s < \text{size } t$

<proof>

primrec *apps* :: $('s, 'v) \text{ tm} \Rightarrow ('s, 'v) \text{ tm list} \Rightarrow ('s, 'v) \text{ tm}$ **where**

apps $s [] = s$

| *apps* $s (t \# ts) = \text{apps } (\text{App } s \ t) \ ts$

lemma

vars_apps[simp]: $\text{vars } (\text{apps } s \ ss) = \text{vars } s \cup (\bigcup s \in \text{set } ss. \text{vars } s)$ **and**
syms_apps[simp]: $\text{syms } (\text{apps } s \ ss) = \text{syms } s \cup (\bigcup s \in \text{set } ss. \text{syms } s)$ **and**
head_apps[simp]: $\text{head } (\text{apps } s \ ss) = \text{head } s$ **and**
args_apps[simp]: $\text{args } (\text{apps } s \ ss) = \text{args } s \ @ \ ss$ **and**
is_App_apps[simp]: $\text{is_App } (\text{apps } s \ ss) \iff \text{args } (\text{apps } s \ ss) \neq []$ **and**
fun_apps_Nil[simp]: $\text{fun } (\text{apps } s \ []) = \text{fun } s$ **and**
fun_apps_Cons[simp]: $\text{fun } (\text{apps } (\text{App } s \ sa) \ ss) = \text{apps } s \ (\text{butlast } (sa \ # \ ss))$ **and**
arg_apps_Nil[simp]: $\text{arg } (\text{apps } s \ []) = \text{arg } s$ **and**
arg_apps_Cons[simp]: $\text{arg } (\text{apps } (\text{App } s \ sa) \ ss) = \text{last } (sa \ # \ ss)$
<proof>

lemma *apps_append[simp]*: $\text{apps } s \ (ss \ @ \ ts) = \text{apps } (\text{apps } s \ ss) \ ts$

<proof>

lemma *App_apps*: $\text{App } (\text{apps } s \ ts) \ t = \text{apps } s \ (ts \ @ \ [t])$

<proof>

lemma *tm_inject_apps[iff, induct_simp]*: $\text{apps } (\text{Hd } \zeta) \ ss = \text{apps } (\text{Hd } \xi) \ ts \iff \zeta = \xi \wedge ss = ts$

<proof>

lemma *tm_collapse_apps[simp]*: $\text{apps } (\text{Hd } (\text{head } s)) \ (\text{args } s) = s$

<proof>

lemma *tm_expand_apps*: $\text{head } s = \text{head } t \implies \text{args } s = \text{args } t \implies s = t$

<proof>

lemma *tm_exhaust_apps_sel[case_names apps]*: $(s = \text{apps } (\text{Hd } (\text{head } s)) \ (\text{args } s) \implies P) \implies P$

<proof>

lemma *tm_exhaust_apps[case_names apps]*: $(\bigwedge \zeta \ ss. s = \text{apps } (\text{Hd } \zeta) \ ss \implies P) \implies P$

<proof>

lemma *tm_induct_apps[case_names apps]*:

assumes $\bigwedge \zeta ss. (\bigwedge s. s \in \text{set } ss \implies P s) \implies P (\text{apps } (\text{Hd } \zeta) ss)$
shows $P s$
 $\langle \text{proof} \rangle$

lemma

$\text{ground_fun}: \text{ground } s \implies \text{ground } (\text{fun } s)$ **and**
 $\text{ground_arg}: \text{ground } s \implies \text{ground } (\text{arg } s)$
 $\langle \text{proof} \rangle$

lemma $\text{ground_head}: \text{ground } s \implies \text{is_Sym } (\text{head } s)$
 $\langle \text{proof} \rangle$

lemma $\text{ground_args}: t \in \text{set } (\text{args } s) \implies \text{ground } s \implies \text{ground } t$
 $\langle \text{proof} \rangle$

primrec $\text{vars_mset} :: ('s, 'v) \text{tm} \Rightarrow 'v \text{ multiset}$ **where**
 $\text{vars_mset } (\text{Hd } \zeta) = \text{mset_set } (\text{vars_hd } \zeta)$
 $| \text{vars_mset } (\text{App } s t) = \text{vars_mset } s + \text{vars_mset } t$

lemma $\text{set_vars_mset}[\text{simp}]: \text{set_mset } (\text{vars_mset } t) = \text{vars } t$
 $\langle \text{proof} \rangle$

lemma $\text{vars_mset_empty_iff}[\text{iff}]: \text{vars_mset } s = \{\#\} \iff \text{ground } s$
 $\langle \text{proof} \rangle$

lemma $\text{vars_mset_fun}[\text{intro}]: \text{vars_mset } (\text{fun } t) \subseteq\# \text{vars_mset } t$
 $\langle \text{proof} \rangle$

lemma $\text{vars_mset_arg}[\text{intro}]: \text{vars_mset } (\text{arg } t) \subseteq\# \text{vars_mset } t$
 $\langle \text{proof} \rangle$

3.4 Substitutions

primrec $\text{subst} :: ('v \Rightarrow ('s, 'v) \text{tm}) \Rightarrow ('s, 'v) \text{tm} \Rightarrow ('s, 'v) \text{tm}$ **where**
 $\text{subst } \varrho (\text{Hd } \zeta) = (\text{case } \zeta \text{ of } \text{Var } x \Rightarrow \varrho x \mid \text{Sym } f \Rightarrow \text{Hd } (\text{Sym } f))$
 $| \text{subst } \varrho (\text{App } s t) = \text{App } (\text{subst } \varrho s) (\text{subst } \varrho t)$

lemma $\text{subst_apps}[\text{simp}]: \text{subst } \varrho (\text{apps } s \text{ ts}) = \text{apps } (\text{subst } \varrho s) (\text{map } (\text{subst } \varrho) \text{ ts})$
 $\langle \text{proof} \rangle$

lemma $\text{head_subst}[\text{simp}]: \text{head } (\text{subst } \varrho s) = \text{head } (\text{subst } \varrho (\text{Hd } (\text{head } s)))$
 $\langle \text{proof} \rangle$

lemma $\text{args_subst}[\text{simp}]:$
 $\text{args } (\text{subst } \varrho s) = (\text{case } \text{head } s \text{ of } \text{Var } x \Rightarrow \text{args } (\varrho x) \mid \text{Sym } f \Rightarrow []) @ \text{map } (\text{subst } \varrho) (\text{args } s)$
 $\langle \text{proof} \rangle$

lemma $\text{ground_imp_subst_iden}: \text{ground } s \implies \text{subst } \varrho s = s$
 $\langle \text{proof} \rangle$

lemma $\text{vars_mset_subst}[\text{simp}]: \text{vars_mset } (\text{subst } \varrho s) = (\bigcup\# \{\#\text{vars_mset } (\varrho x). x \in\# \text{vars_mset } s\#})$
 $\langle \text{proof} \rangle$

lemma $\text{vars_mset_subst_subteq}: \text{vars_mset } t \supseteq\# \text{vars_mset } s \implies \text{vars_mset } (\text{subst } \varrho t) \supseteq\# \text{vars_mset } (\text{subst } \varrho s)$
 $\langle \text{proof} \rangle$

lemma $\text{vars_subst_subteq}: \text{vars } t \supseteq \text{vars } s \implies \text{vars } (\text{subst } \varrho t) \supseteq \text{vars } (\text{subst } \varrho s)$
 $\langle \text{proof} \rangle$

3.5 Subterms

inductive $\text{sub} :: ('s, 'v) \text{tm} \Rightarrow ('s, 'v) \text{tm} \Rightarrow \text{bool}$ **where**
 $\text{sub_refl}: \text{sub } s s$

| *sub_fun*: $sub\ s\ t \implies sub\ s\ (App\ u\ t)$
 | *sub_arg*: $sub\ s\ t \implies sub\ s\ (App\ t\ u)$

inductive-cases *sub_HdE*[*simplified*, *elim*]: $sub\ s\ (Hd\ \xi)$
inductive-cases *sub_AppE*[*simplified*, *elim*]: $sub\ s\ (App\ t\ u)$
inductive-cases *sub_Hd_HdE*[*simplified*, *elim*]: $sub\ (Hd\ \zeta)\ (Hd\ \xi)$
inductive-cases *sub_Hd_AppE*[*simplified*, *elim*]: $sub\ (Hd\ \zeta)\ (App\ t\ u)$

lemma *in_vars_imp_sub*: $x \in vars\ s \iff sub\ (Hd\ (Var\ x))\ s$
 ⟨*proof*⟩

lemma *sub_args*: $s \in set\ (args\ t) \implies sub\ s\ t$
 ⟨*proof*⟩

lemma *sub_size*: $sub\ s\ t \implies size\ s \leq size\ t$
 ⟨*proof*⟩

lemma *sub_subst*: $sub\ s\ t \implies sub\ (subst\ \rho\ s)\ (subst\ \rho\ t)$
 ⟨*proof*⟩

abbreviation *proper_sub* :: $('s, 'v)\ tm \Rightarrow ('s, 'v)\ tm \Rightarrow bool$ **where**
proper_sub $s\ t \equiv sub\ s\ t \wedge s \neq t$

lemma *proper_sub_Hd*[*simp*]: $\neg\ proper_sub\ s\ (Hd\ \zeta)$
 ⟨*proof*⟩

lemma *proper_sub_subst*:
assumes *psub*: *proper_sub* $s\ t$
shows *proper_sub* $(subst\ \rho\ s)\ (subst\ \rho\ t)$
 ⟨*proof*⟩

3.6 Maximum Arities

locale *arity* =
fixes
arity_sym :: $'s \Rightarrow enat$ **and**
arity_var :: $'v \Rightarrow enat$
begin

primrec *arity_hd* :: $('s, 'v)\ hd \Rightarrow enat$ **where**
arity_hd $(Var\ x) = arity_var\ x$
 | *arity_hd* $(Sym\ f) = arity_sym\ f$

definition *arity* :: $('s, 'v)\ tm \Rightarrow enat$ **where**
arity $s = arity_hd\ (head\ s) - num_args\ s$

lemma *arity_simps*[*simp*]:
arity $(Hd\ \zeta) = arity_hd\ \zeta$
arity $(App\ s\ t) = arity\ s - 1$
 ⟨*proof*⟩

lemma *arity_apps*[*simp*]: $arity\ (apps\ s\ ts) = arity\ s - length\ ts$
 ⟨*proof*⟩

inductive *wary* :: $('s, 'v)\ tm \Rightarrow bool$ **where**
wary_Hd[*intro*]: *wary* $(Hd\ \zeta)$
 | *wary_App*[*intro*]: *wary* $s \implies wary\ t \implies num_args\ s < arity_hd\ (head\ s) \implies wary\ (App\ s\ t)$

inductive-cases *wary_HdE*: *wary* $(Hd\ \zeta)$
inductive-cases *wary_AppE*: *wary* $(App\ s\ t)$
inductive-cases *wary_binaryE*[*simplified*]: *wary* $(App\ (App\ s\ t)\ u)$

lemma *wary_fun*[*intro*]: *wary* $t \implies wary\ (fun\ t)$
 ⟨*proof*⟩

lemma *wary_arg*[*intro*]: $wary\ t \implies wary\ (arg\ t)$
 ⟨*proof*⟩

lemma *wary_args*: $s \in set\ (args\ t) \implies wary\ t \implies wary\ s$
 ⟨*proof*⟩

lemma *wary_sub*: $sub\ s\ t \implies wary\ t \implies wary\ s$
 ⟨*proof*⟩

lemma *wary_inf_ary*: $(\bigwedge \zeta. arity_hd\ \zeta = \infty) \implies wary\ s$
 ⟨*proof*⟩

lemma *wary_num_args_le_arity_head*: $wary\ s \implies num_args\ s \leq arity_hd\ (head\ s)$
 ⟨*proof*⟩

lemma *wary_apps*:
 $wary\ s \implies (\bigwedge sa. sa \in set\ ss \implies wary\ sa) \implies length\ ss \leq arity\ s \implies wary\ (apps\ s\ ss)$
 ⟨*proof*⟩

lemma *wary_cases_apps*[*consumes 1, case_names apps*]:
assumes
 $wary_t: wary\ t$ **and**
 $apps: \bigwedge \zeta\ ss. t = apps\ (Hd\ \zeta)\ ss \implies (\bigwedge sa. sa \in set\ ss \implies wary\ sa) \implies length\ ss \leq arity_hd\ \zeta \implies P$
shows P
 ⟨*proof*⟩

lemma *arity_hd_head*: $wary\ s \implies arity_hd\ (head\ s) = arity\ s + num_args\ s$
 ⟨*proof*⟩

lemma *arity_head_ge*: $arity_hd\ (head\ s) \geq arity\ s$
 ⟨*proof*⟩

inductive *wary_fo* :: $('s, 'v)\ tm \Rightarrow bool$ **where**
wary_foI[*intro*]: $is_Hd\ s \vee is_Sym\ (head\ s) \implies length\ (args\ s) = arity_hd\ (head\ s) \implies$
 $(\forall t \in set\ (args\ s). wary_fo\ t) \implies wary_fo\ s$

lemma *wary_fo_args*: $s \in set\ (args\ t) \implies wary_fo\ t \implies wary_fo\ s$
 ⟨*proof*⟩

lemma *wary_fo_arg*: $wary_fo\ (App\ s\ t) \implies wary_fo\ t$
 ⟨*proof*⟩

end

3.7 Potential Heads of Ground Instances of Variables

locale *ground_heads* = $gt_sym\ (>_s) + arity\ arity_sym\ arity_var$
for
 $gt_sym :: 's \Rightarrow 's \Rightarrow bool$ (**infix** $>_s\ 50$) **and**
 $arity_sym :: 's \Rightarrow enat$ **and**
 $arity_var :: 'v \Rightarrow enat +$
fixes
 $ground_heads_var :: 'v \Rightarrow 's\ set$
assumes
 $ground_heads_var_arity: f \in ground_heads_var\ x \implies arity_sym\ f \geq arity_var\ x$ **and**
 $ground_heads_var_nonempty: ground_heads_var\ x \neq \{\}$
begin

primrec *ground_heads* :: $('s, 'v)\ hd \Rightarrow 's\ set$ **where**
 $ground_heads\ (Var\ x) = ground_heads_var\ x$
 $| ground_heads\ (Sym\ f) = \{f\}$

lemma *ground_heads_arity*: $f \in ground_heads\ \zeta \implies arity_sym\ f \geq arity_hd\ \zeta$

<proof>

lemma *ground_heads_nonempty*[simp]: *ground_heads* $\zeta \neq \{\}$
<proof>

lemma *sym_in_ground_heads*: *is_Sym* $\zeta \implies \text{sym } \zeta \in \text{ground_heads } \zeta$
<proof>

lemma *ground_hd_in_ground_heads*: *ground* $s \implies \text{sym } (\text{head } s) \in \text{ground_heads } (\text{head } s)$
<proof>

lemma *some_ground_head_arity*: *arity_sym* (*SOME* $f. f \in \text{ground_heads } (\text{Var } x)$) $\geq \text{arity_var } x$
<proof>

definition *wary_subst* :: $('v \Rightarrow ('s, 'v) \text{tm}) \Rightarrow \text{bool}$ **where**
wary_subst $\rho \longleftrightarrow$
 $(\forall x. \text{wary } (\rho x) \wedge \text{arity } (\rho x) \geq \text{arity_var } x \wedge \text{ground_heads } (\text{head } (\rho x)) \subseteq \text{ground_heads_var } x)$

definition *strict_wary_subst* :: $('v \Rightarrow ('s, 'v) \text{tm}) \Rightarrow \text{bool}$ **where**
strict_wary_subst $\rho \longleftrightarrow$
 $(\forall x. \text{wary } (\rho x) \wedge \text{arity } (\rho x) \in \{\text{arity_var } x, \infty\} \wedge \text{ground_heads } (\text{head } (\rho x)) \subseteq \text{ground_heads_var } x)$

lemma *strict_imp_wary_subst*: *strict_wary_subst* $\rho \implies \text{wary_subst } \rho$
<proof>

lemma *wary_subst_wary*:
assumes *wary_rho*: *wary_subst* ρ **and** *wary_s*: *wary* s
shows *wary* (*subst* ρ s)
<proof>

lemmas *strict_wary_subst_wary* = *wary_subst_wary*[*OF* *strict_imp_wary_subst*]

lemma *wary_subst_ground_heads*:
assumes *wary_rho*: *wary_subst* ρ
shows *ground_heads* (*head* (*subst* ρ s)) $\subseteq \text{ground_heads } (\text{head } s)$
<proof>

lemmas *strict_wary_subst_ground_heads* = *wary_subst_ground_heads*[*OF* *strict_imp_wary_subst*]

definition *grounding_rho* :: $'v \Rightarrow ('s, 'v) \text{tm}$ **where**
grounding_rho $x = (\text{let } s = \text{Hd } (\text{Sym } (\text{SOME } f. f \in \text{ground_heads_var } x)) \text{ in}$
 $\text{apps } s (\text{replicate } (\text{the_enat } (\text{arity } s - \text{arity_var } x)) s))$

lemma *ground_grounding_rho*: *ground* (*subst* *grounding_rho* s)
<proof>

lemma *strict_wary_grounding_rho*: *strict_wary_subst* *grounding_rho*
<proof>

lemmas *wary_grounding_rho* = *strict_wary_grounding_rho*[*THEN* *strict_imp_wary_subst*]

definition *gt_hd* :: $('s, 'v) \text{hd} \Rightarrow ('s, 'v) \text{hd} \Rightarrow \text{bool}$ (**infix** $>_{hd}$ 50) **where**
 $\xi >_{hd} \zeta \longleftrightarrow (\forall g \in \text{ground_heads } \xi. \forall f \in \text{ground_heads } \zeta. g >_s f)$

definition *comp_hd* :: $('s, 'v) \text{hd} \Rightarrow ('s, 'v) \text{hd} \Rightarrow \text{bool}$ (**infix** \leq_{hd} 50) **where**
 $\xi \leq_{hd} \zeta \longleftrightarrow \xi = \zeta \vee \xi >_{hd} \zeta \vee \zeta >_{hd} \xi$

lemma *gt_hd_irrefl*: $\neg \zeta >_{hd} \zeta$
<proof>

lemma *gt_hd_trans*: $\chi >_{hd} \xi \implies \xi >_{hd} \zeta \implies \chi >_{hd} \zeta$
<proof>

lemma *gt_sym_imp_hd*: $g >_s f \implies \text{Sym } g >_{hd} \text{Sym } f$
 <proof>

lemma *not_comp_hd_imp_Var*: $\neg \xi \leq_{hd} \zeta \implies \text{is_Var } \zeta \vee \text{is_Var } \xi$
 <proof>

end

end

4 Infinite (Non-Well-Founded) Chains

theory *Infinite_Chain*
imports *Lambda_Free_Util*
begin

This theory defines the concept of a minimal bad (or non-well-founded) infinite chain, as found in the term rewriting literature to prove the well-foundedness of syntactic term orders.

context
fixes $p :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
begin

definition *inf_chain* :: $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$ **where**
inf_chain $f \longleftrightarrow (\forall i. p (f i) (f (\text{Suc } i)))$

lemma *wfP_iff_no_inf_chain*: $\text{wfP } (\lambda x y. p y x) \longleftrightarrow (\nexists f. \text{inf_chain } f)$
 <proof>

lemma *inf_chain_offset*: $\text{inf_chain } f \implies \text{inf_chain } (\lambda j. f (j + i))$
 <proof>

definition *bad* :: $'a \Rightarrow \text{bool}$ **where**
bad $x \longleftrightarrow (\exists f. \text{inf_chain } f \wedge f 0 = x)$

lemma *inf_chain_bad*:
assumes *bad_f*: $\text{inf_chain } f$
shows *bad* $(f i)$
 <proof>

context
fixes $gt :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
assumes *wf*: $\text{wf } \{(x, y). gt y x\}$
begin

primrec *worst_chain* :: $\text{nat} \Rightarrow 'a$ **where**
worst_chain 0 = $(\text{SOME } x. \text{bad } x \wedge (\forall y. \text{bad } y \longrightarrow \neg gt x y))$
 | *worst_chain* (Suc i) = $(\text{SOME } x. \text{bad } x \wedge p (\text{worst_chain } i) x \wedge$
 $(\forall y. \text{bad } y \wedge p (\text{worst_chain } i) y \longrightarrow \neg gt x y))$

declare *worst_chain.simps*[*simp del*]

context
fixes $x :: 'a$
assumes *x_bad*: $\text{bad } x$
begin

lemma
bad_worst_chain_0: $\text{bad } (\text{worst_chain } 0)$ **and**
min_worst_chain_0: $\neg gt (\text{worst_chain } 0) x$
 <proof>

lemma
bad_worst_chain_Suc: $\text{bad } (\text{worst_chain } (\text{Suc } i))$ **and**

```

  worst_chain_pred: p (worst_chain i) (worst_chain (Suc i)) and
  min_worst_chain_Suc: p (worst_chain i) x  $\implies$   $\neg$  gt (worst_chain (Suc i)) x
<proof>

```

```

lemma bad_worst_chain: bad (worst_chain i)
<proof>

```

```

lemma worst_chain_bad: inf_chain worst_chain
<proof>

```

end

context

```

  fixes x :: 'a

```

```

  assumes

```

```

    x_bad: bad x and

```

```

    p_trans:  $\bigwedge$  z y x. p z y  $\implies$  p y x  $\implies$  p z x

```

```

begin

```

```

lemma worst_chain_not_gt:  $\neg$  gt (worst_chain i) (worst_chain (Suc i)) for i
<proof>

```

end

end

end

```

lemma inf_chain_subset: inf_chain p f  $\implies$  p  $\leq$  q  $\implies$  inf_chain q f
<proof>

```

```

hide-fact (open) bad_worst_chain_0 bad_worst_chain_Suc

```

end

5 Extension Orders

```

theory Extension_Orders

```

```

imports Lambda_Free_Util Infinite_Chain HOL-Cardinals.Wellorder_Extension

```

```

begin

```

This theory defines locales for categorizing extension orders used for orders on λ -free higher-order terms and defines variants of the lexicographic and multiset orders.

5.1 Locales

```

locale ext =

```

```

  fixes ext :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool

```

```

  assumes

```

```

    mono_strong: ( $\forall$  y  $\in$  set ys.  $\forall$  x  $\in$  set xs. gt y x  $\longrightarrow$  gt' y x)  $\implies$  ext gt ys xs  $\implies$  ext gt' ys xs and

```

```

    map: finite A  $\implies$  ys  $\in$  lists A  $\implies$  xs  $\in$  lists A  $\implies$  ( $\forall$  x  $\in$  A.  $\neg$  gt (f x) (f x))  $\implies$ 

```

```

      ( $\forall$  z  $\in$  A.  $\forall$  y  $\in$  A.  $\forall$  x  $\in$  A. gt (f z) (f y)  $\longrightarrow$  gt (f y) (f x)  $\longrightarrow$  gt (f z) (f x))  $\implies$ 

```

```

      ( $\forall$  y  $\in$  A.  $\forall$  x  $\in$  A. gt y x  $\longrightarrow$  gt (f y) (f x))  $\implies$  ext gt ys xs  $\implies$  ext gt (map f ys) (map f xs)

```

```

begin

```

```

lemma mono[mono]: gt  $\leq$  gt'  $\implies$  ext gt  $\leq$  ext gt'
<proof>

```

end

```

locale ext_irrefl = ext +

```

```

  assumes irrefl: ( $\forall$  x  $\in$  set xs.  $\neg$  gt x x)  $\implies$   $\neg$  ext gt xs xs

```

locale *ext_trans* = *ext* +
assumes *trans*: $zs \in \text{lists } A \implies ys \in \text{lists } A \implies xs \in \text{lists } A \implies$
 $(\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x) \implies \text{ext } \text{gt } zs \ ys \implies \text{ext } \text{gt } ys \ xs \implies$
 $\text{ext } \text{gt } zs \ xs$

locale *ext_irrefl_before_trans* = *ext_irrefl* +
assumes *trans_from_irrefl*: $\text{finite } A \implies zs \in \text{lists } A \implies ys \in \text{lists } A \implies xs \in \text{lists } A \implies$
 $(\forall x \in A. \neg \text{gt } x \ x) \implies (\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x) \implies \text{ext } \text{gt } zs \ ys \implies$
 $\text{ext } \text{gt } ys \ xs \implies \text{ext } \text{gt } zs \ xs$

locale *ext_trans_before_irrefl* = *ext_trans* +
assumes *irrefl_from_trans*: $(\forall z \in \text{set } xs. \forall y \in \text{set } xs. \forall x \in \text{set } xs. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x) \implies$
 $(\forall x \in \text{set } xs. \neg \text{gt } x \ x) \implies \neg \text{ext } \text{gt } xs \ xs$

locale *ext_irrefl_trans_strong* = *ext_irrefl* +
assumes *trans_strong*: $(\forall z \in \text{set } zs. \forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x) \implies$
 $\text{ext } \text{gt } zs \ ys \implies \text{ext } \text{gt } ys \ xs \implies \text{ext } \text{gt } zs \ xs$

sublocale *ext_irrefl_trans_strong* < *ext_irrefl_before_trans*
<proof>

sublocale *ext_irrefl_trans_strong* < *ext_trans*
<proof>

sublocale *ext_irrefl_trans_strong* < *ext_trans_before_irrefl*
<proof>

locale *ext_snoc* = *ext* +
assumes *snoc*: $\text{ext } \text{gt } (xs \ @ \ [x]) \ xs$

locale *ext_compat_cons* = *ext* +
assumes *compat_cons*: $\text{ext } \text{gt } ys \ xs \implies \text{ext } \text{gt } (x \ # \ ys) (x \ # \ xs)$
begin

lemma *compat_append_left*: $\text{ext } \text{gt } ys \ xs \implies \text{ext } \text{gt } (zs \ @ \ ys) (zs \ @ \ xs)$
<proof>

end

locale *ext_compat_snoc* = *ext* +
assumes *compat_snoc*: $\text{ext } \text{gt } ys \ xs \implies \text{ext } \text{gt } (ys \ @ \ [x]) (xs \ @ \ [x])$
begin

lemma *compat_append_right*: $\text{ext } \text{gt } ys \ xs \implies \text{ext } \text{gt } (ys \ @ \ zs) (xs \ @ \ zs)$
<proof>

end

locale *ext_compat_list* = *ext* +
assumes *compat_list*: $y \neq x \implies \text{gt } y \ x \implies \text{ext } \text{gt } (xs \ @ \ y \ # \ xs') (xs \ @ \ x \ # \ xs')$

locale *ext_singleton* = *ext* +
assumes *singleton*: $y \neq x \implies \text{ext } \text{gt } [y] [x] \longleftrightarrow \text{gt } y \ x$

locale *ext_compat_list_strong* = *ext_compat_cons* + *ext_compat_snoc* + *ext_singleton*
begin

lemma *compat_list*: $y \neq x \implies \text{gt } y \ x \implies \text{ext } \text{gt } (xs \ @ \ y \ # \ xs') (xs \ @ \ x \ # \ xs')$
<proof>

end

sublocale *ext_compat_list_strong* < *ext_compat_list*

<proof>

locale *ext_total* = *ext* +
assumes *total*: $(\forall y \in B. \forall x \in A. gt\ y\ x \vee gt\ x\ y \vee y = x) \implies ys \in lists\ B \implies xs \in lists\ A \implies$
 $ext\ gt\ ys\ xs \vee ext\ gt\ xs\ ys \vee ys = xs$

locale *ext_wf* = *ext* +
assumes *wf*: $wfP\ (\lambda x\ y. gt\ y\ x) \implies wfP\ (\lambda xs\ ys. ext\ gt\ ys\ xs)$

locale *ext_hd_or_tl* = *ext* +
assumes *hd_or_tl*: $(\forall z\ y\ x. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x) \implies (\forall y\ x. gt\ y\ x \vee gt\ x\ y \vee y = x) \implies$
 $length\ ys = length\ xs \implies ext\ gt\ (y\ \#\ ys)\ (x\ \#\ xs) \implies gt\ y\ x \vee ext\ gt\ ys\ xs$

locale *ext_wf_bounded* = *ext_irrefl_before_trans* + *ext_hd_or_tl*
begin

context

fixes *gt* :: 'a \Rightarrow 'a \Rightarrow bool

assumes

gt_irrefl: $\bigwedge z. \neg gt\ z\ z$ **and**

gt_trans: $\bigwedge z\ y\ x. gt\ z\ y \implies gt\ y\ x \implies gt\ z\ x$ **and**

gt_total: $\bigwedge y\ x. gt\ y\ x \vee gt\ x\ y \vee y = x$ **and**

gt_wf: $wfP\ (\lambda x\ y. gt\ y\ x)$

begin

lemma *irrefl_gt*: $\neg ext\ gt\ xs\ xs$

<proof>

lemma *trans_gt*: $ext\ gt\ zs\ ys \implies ext\ gt\ ys\ xs \implies ext\ gt\ zs\ xs$

<proof>

lemma *hd_or_tl_gt*: $length\ ys = length\ xs \implies ext\ gt\ (y\ \#\ ys)\ (x\ \#\ xs) \implies gt\ y\ x \vee ext\ gt\ ys\ xs$

<proof>

lemma *wf_same_length_if_total*: $wfP\ (\lambda xs\ ys. length\ ys = n \wedge length\ xs = n \wedge ext\ gt\ ys\ xs)$

<proof>

lemma *wf_bounded_if_total*: $wfP\ (\lambda xs\ ys. length\ ys \leq n \wedge length\ xs \leq n \wedge ext\ gt\ ys\ xs)$

<proof>

end

context

fixes *gt* :: 'a \Rightarrow 'a \Rightarrow bool

assumes

gt_irrefl: $\bigwedge z. \neg gt\ z\ z$ **and**

gt_wf: $wfP\ (\lambda x\ y. gt\ y\ x)$

begin

lemma *wf_bounded*: $wfP\ (\lambda xs\ ys. length\ ys \leq n \wedge length\ xs \leq n \wedge ext\ gt\ ys\ xs)$

<proof>

end

end

5.2 Lexicographic Extension

inductive *lexext* :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a list \Rightarrow 'a list \Rightarrow bool **for** *gt* **where**

lexext_Nil: *lexext* *gt* (y # ys) []

| *lexext_Cons*: *gt* *y* *x* \implies *lexext* *gt* (y # ys) (x # xs)

| *lexext_Cons_eq*: *lexext* *gt* *ys* *xs* \implies *lexext* *gt* (x # ys) (x # xs)

lemma *lexext_simps*[*simp*]:

$lexext\ gt\ ys\ [] \longleftrightarrow ys \neq []$
 $\neg lexext\ gt\ []\ xs$
 $lexext\ gt\ (y\ \#\ ys)\ (x\ \#\ xs) \longleftrightarrow gt\ y\ x \vee x = y \wedge lexext\ gt\ ys\ xs$
 <proof>

lemma *lexext_mono_strong*:
assumes
 $\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt'\ y\ x$ **and**
 $lexext\ gt\ ys\ xs$
shows $lexext\ gt'\ ys\ xs$
 <proof>

lemma *lexext_map_strong*:
 $(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt\ (f\ y)\ (f\ x)) \implies lexext\ gt\ ys\ xs \implies$
 $lexext\ gt\ (map\ f\ ys)\ (map\ f\ xs)$
 <proof>

lemma *lexext_irrefl*:
assumes $\forall x \in set\ xs. \neg gt\ x\ x$
shows $\neg lexext\ gt\ xs\ xs$
 <proof>

lemma *lexext_trans_strong*:
assumes
 $\forall z \in set\ zs. \forall y \in set\ ys. \forall x \in set\ xs. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x$ **and**
 $lexext\ gt\ zs\ ys$ **and** $lexext\ gt\ ys\ xs$
shows $lexext\ gt\ zs\ xs$
 <proof>

lemma *lexext_snoc*: $lexext\ gt\ (xs\ @\ [x])\ xs$
 <proof>

lemmas *lexext_compat_cons* = *lexext_Cons_eq*

lemma *lexext_compat_snoc_if_same_length*:
assumes $length\ ys = length\ xs$ **and** $lexext\ gt\ ys\ xs$
shows $lexext\ gt\ (ys\ @\ [x])\ (xs\ @\ [x])$
 <proof>

lemma *lexext_compat_list*: $gt\ y\ x \implies lexext\ gt\ (xs\ @\ y\ \#\ xs')\ (xs\ @\ x\ \#\ xs')$
 <proof>

lemma *lexext_singleton*: $lexext\ gt\ [y]\ [x] \longleftrightarrow gt\ y\ x$
 <proof>

lemma *lexext_total*: $(\forall y \in B. \forall x \in A. gt\ y\ x \vee gt\ x\ y \vee y = x) \implies ys \in lists\ B \implies xs \in lists\ A \implies$
 $lexext\ gt\ ys\ xs \vee lexext\ gt\ xs\ ys \vee ys = xs$
 <proof>

lemma *lexext_hd_or_tl*: $lexext\ gt\ (y\ \#\ ys)\ (x\ \#\ xs) \implies gt\ y\ x \vee lexext\ gt\ ys\ xs$
 <proof>

interpretation *lexext*: *ext_lexext*
 <proof>

interpretation *lexext*: *ext_irrefl_trans_strong_lexext*
 <proof>

interpretation *lexext*: *ext_snoc_lexext*
 <proof>

interpretation *lexext*: *ext_compat_cons_lexext*
 <proof>

interpretation *lexext*: *ext_compat_list lexext*
<proof>

interpretation *lexext*: *ext_singleton lexext*
<proof>

interpretation *lexext*: *ext_total lexext*
<proof>

interpretation *lexext*: *ext_hd_or_tl lexext*
<proof>

interpretation *lexext*: *ext_wf_bounded lexext*
<proof>

5.3 Reverse (Right-to-Left) Lexicographic Extension

abbreviation *lexext_rev* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a list ⇒ bool **where**
lexext_rev gt ys xs ≡ *lexext gt (rev ys) (rev xs)*

lemma *lexext_rev_simps*[*simp*]:
lexext_rev gt ys [] ↔ *ys* ≠ []
¬ *lexext_rev gt [] xs*
lexext_rev gt (ys @ [y]) (xs @ [x]) ↔ *gt y x* ∨ *x = y* ∧ *lexext_rev gt ys xs*
<proof>

lemma *lexext_rev_cons_cons*:
assumes *length ys = length xs*
shows *lexext_rev gt (y # ys) (x # xs)* ↔ *lexext_rev gt ys xs* ∨ *ys = xs* ∧ *gt y x*
<proof>

lemma *lexext_rev_mono_strong*:
assumes
 ∀ y ∈ set ys. ∀ x ∈ set xs. gt y x → *gt' y x* **and**
 lexext_rev gt ys xs
shows *lexext_rev gt' ys xs*
<proof>

lemma *lexext_rev_map_strong*:
(*∀ y ∈ set ys. ∀ x ∈ set xs. gt y x* → *gt (f y) (f x)*) ⇒ *lexext_rev gt ys xs* ⇒
lexext_rev gt (map f ys) (map f xs)
<proof>

lemma *lexext_rev_irrefl*:
assumes *∀ x ∈ set xs. ¬ gt x x*
shows ¬ *lexext_rev gt xs xs*
<proof>

lemma *lexext_rev_trans_strong*:
assumes
 ∀ z ∈ set zs. ∀ y ∈ set ys. ∀ x ∈ set xs. gt z y → *gt y x* → *gt z x* **and**
 lexext_rev gt zs ys **and** *lexext_rev gt ys xs*
shows *lexext_rev gt zs xs*
<proof>

lemma *lexext_rev_compat_cons_if_same_length*:
assumes *length ys = length xs* **and** *lexext_rev gt ys xs*
shows *lexext_rev gt (x # ys) (x # xs)*
<proof>

lemma *lexext_rev_compat_snoc*: *lexext_rev gt ys xs* ⇒ *lexext_rev gt (ys @ [x]) (xs @ [x])*
<proof>

lemma *lexext_rev_compat_list*: $gt\ y\ x \implies lexext_rev\ gt\ (xs\ @\ y\ \# \ xs')\ (xs\ @\ x\ \# \ xs')$
 ⟨proof⟩

lemma *lexext_rev_singleton*: $lexext_rev\ gt\ [y]\ [x] \longleftrightarrow gt\ y\ x$
 ⟨proof⟩

lemma *lexext_rev_total*:
 $(\forall y \in B. \forall x \in A. gt\ y\ x \vee gt\ x\ y \vee y = x) \implies ys \in lists\ B \implies xs \in lists\ A \implies$
 $lexext_rev\ gt\ ys\ xs \vee lexext_rev\ gt\ xs\ ys \vee ys = xs$
 ⟨proof⟩

lemma *lexext_rev_hd_or_tl*:
assumes
 $length\ ys = length\ xs$ **and**
 $lexext_rev\ gt\ (y\ \# \ ys)\ (x\ \# \ xs)$
shows $gt\ y\ x \vee lexext_rev\ gt\ ys\ xs$
 ⟨proof⟩

interpretation *lexext_rev*: *ext lexext_rev*
 ⟨proof⟩

interpretation *lexext_rev*: *ext_irrefl_trans_strong lexext_rev*
 ⟨proof⟩

interpretation *lexext_rev*: *ext_compat_snoc lexext_rev*
 ⟨proof⟩

interpretation *lexext_rev*: *ext_compat_list lexext_rev*
 ⟨proof⟩

interpretation *lexext_rev*: *ext_singleton lexext_rev*
 ⟨proof⟩

interpretation *lexext_rev*: *ext_total lexext_rev*
 ⟨proof⟩

interpretation *lexext_rev*: *ext_hd_or_tl lexext_rev*
 ⟨proof⟩

interpretation *lexext_rev*: *ext_wf_bounded lexext_rev*
 ⟨proof⟩

5.4 Generic Length Extension

definition *lenext* :: $('a\ list \Rightarrow 'a\ list \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool$ **where**
 $lenext\ gts\ ys\ xs \longleftrightarrow length\ ys > length\ xs \vee length\ ys = length\ xs \wedge gts\ ys\ xs$

lemma
lenext_mono_strong: $(gts\ ys\ xs \implies gts'\ ys\ xs) \implies lenext\ gts\ ys\ xs \implies lenext\ gts'\ ys\ xs$ **and**
lenext_map_strong: $(length\ ys = length\ xs \implies gts\ ys\ xs \implies gts\ (map\ f\ ys)\ (map\ f\ xs)) \implies$
 $lenext\ gts\ ys\ xs \implies lenext\ gts\ (map\ f\ ys)\ (map\ f\ xs)$ **and**
lenext_irrefl: $\neg gts\ xs\ xs \implies \neg lenext\ gts\ xs\ xs$ **and**
lenext_trans: $(gts\ zs\ ys \implies gts\ ys\ xs \implies gts\ zs\ xs) \implies lenext\ gts\ zs\ ys \implies lenext\ gts\ ys\ xs \implies$
 $lenext\ gts\ zs\ xs$ **and**
lenext_snoc: $lenext\ gts\ (xs\ @\ [x])\ xs$ **and**
lenext_compat_cons: $(length\ ys = length\ xs \implies gts\ ys\ xs \implies gts\ (x\ \# \ ys)\ (x\ \# \ xs)) \implies$
 $lenext\ gts\ ys\ xs \implies lenext\ gts\ (x\ \# \ ys)\ (x\ \# \ xs)$ **and**
lenext_compat_snoc: $(length\ ys = length\ xs \implies gts\ ys\ xs \implies gts\ (ys\ @\ [x])\ (xs\ @\ [x])) \implies$
 $lenext\ gts\ ys\ xs \implies lenext\ gts\ (ys\ @\ [x])\ (xs\ @\ [x])$ **and**
lenext_compat_list: $gts\ (xs\ @\ y\ \# \ xs')\ (xs\ @\ x\ \# \ xs') \implies$
 $lenext\ gts\ (xs\ @\ y\ \# \ xs')\ (xs\ @\ x\ \# \ xs')$ **and**
lenext_singleton: $lenext\ gts\ [y]\ [x] \longleftrightarrow gts\ [y]\ [x]$ **and**
lenext_total: $(gts\ ys\ xs \vee gts\ xs\ ys \vee ys = xs) \implies$
 $lenext\ gts\ ys\ xs \vee lenext\ gts\ xs\ ys \vee ys = xs$ **and**

lenext_hd_or_tl: $(\text{length } ys = \text{length } xs \implies \text{gts } (y \# ys) (x \# xs) \implies \text{gt } y \ x \vee \text{gts } ys \ xs) \implies$
 $\text{lenext gts } (y \# ys) (x \# xs) \implies \text{gt } y \ x \vee \text{lenext gts } ys \ xs$
 ⟨proof⟩

5.5 Length-Lexicographic Extension

abbreviation *len_lexext* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$ **where**
len_lexext gt $\equiv \text{lenext } (\text{lexext } \text{gt})$

lemma *len_lexext_mono_strong*:

$(\forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } y \ x \longrightarrow \text{gt}' \ y \ x) \implies \text{len_lexext } \text{gt } ys \ xs \implies \text{len_lexext } \text{gt}' \ ys \ xs$
 ⟨proof⟩

lemma *len_lexext_map_strong*:

$(\forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } y \ x \longrightarrow \text{gt } (f \ y) (f \ x)) \implies \text{len_lexext } \text{gt } ys \ xs \implies$
 $\text{len_lexext } \text{gt } (\text{map } f \ ys) (\text{map } f \ xs)$
 ⟨proof⟩

lemma *len_lexext_irrefl*: $(\forall x \in \text{set } xs. \neg \text{gt } x \ x) \implies \neg \text{len_lexext } \text{gt } xs \ xs$

⟨proof⟩

lemma *len_lexext_trans_strong*:

$(\forall z \in \text{set } zs. \forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x) \implies \text{len_lexext } \text{gt } zs \ ys \implies$
 $\text{len_lexext } \text{gt } ys \ xs \implies \text{len_lexext } \text{gt } zs \ xs$
 ⟨proof⟩

lemma *len_lexext_snoc*: $\text{len_lexext } \text{gt } (xs \ @ \ [x]) \ xs$

⟨proof⟩

lemma *len_lexext_compat_cons*: $\text{len_lexext } \text{gt } ys \ xs \implies \text{len_lexext } \text{gt } (x \ # \ ys) (x \ # \ xs)$

⟨proof⟩

lemma *len_lexext_compat_snoc*: $\text{len_lexext } \text{gt } ys \ xs \implies \text{len_lexext } \text{gt } (ys \ @ \ [x]) (xs \ @ \ [x])$

⟨proof⟩

lemma *len_lexext_compat_list*: $\text{gt } y \ x \implies \text{len_lexext } \text{gt } (xs \ @ \ y \ # \ xs') (xs \ @ \ x \ # \ xs')$

⟨proof⟩

lemma *len_lexext_singleton[simp]*: $\text{len_lexext } \text{gt } [y] [x] \longleftrightarrow \text{gt } y \ x$

⟨proof⟩

lemma *len_lexext_total*: $(\forall y \in B. \forall x \in A. \text{gt } y \ x \vee \text{gt } x \ y \vee y = x) \implies ys \in \text{lists } B \implies xs \in \text{lists } A \implies$

$\text{len_lexext } \text{gt } ys \ xs \vee \text{len_lexext } \text{gt } xs \ ys \vee ys = xs$

⟨proof⟩

lemma *len_lexext_iff_lenlex*: $\text{len_lexext } \text{gt } ys \ xs \longleftrightarrow (xs, ys) \in \text{lenlex } \{(x, y). \text{gt } y \ x\}$

⟨proof⟩

lemma *len_lexext_wf*: $\text{wfP } (\lambda x \ y. \text{gt } y \ x) \implies \text{wfP } (\lambda xs \ ys. \text{len_lexext } \text{gt } ys \ xs)$

⟨proof⟩

lemma *len_lexext_hd_or_tl*: $\text{len_lexext } \text{gt } (y \ # \ ys) (x \ # \ xs) \implies \text{gt } y \ x \vee \text{len_lexext } \text{gt } ys \ xs$

⟨proof⟩

interpretation *len_lexext*: *ext len_lexext*

⟨proof⟩

interpretation *len_lexext*: *ext_irrefl_trans_strong len_lexext*

⟨proof⟩

interpretation *len_lexext*: *ext_snoc len_lexext*

⟨proof⟩

interpretation *len_lexext*: *ext_compat_cons len_lexext*

<proof>

interpretation $len_lexext: ext_compat_snoc\ len_lexext$
<proof>

interpretation $len_lexext: ext_compat_list\ len_lexext$
<proof>

interpretation $len_lexext: ext_singleton\ len_lexext$
<proof>

interpretation $len_lexext: ext_total\ len_lexext$
<proof>

interpretation $len_lexext: ext_wf\ len_lexext$
<proof>

interpretation $len_lexext: ext_hd_or_tl\ len_lexext$
<proof>

interpretation $len_lexext: ext_wf_bounded\ len_lexext$
<proof>

5.6 Reverse (Right-to-Left) Length-Lexicographic Extension

abbreviation $len_lexext_rev :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool$ **where**
 $len_lexext_rev\ gt \equiv lenext\ (lexext_rev\ gt)$

lemma $len_lexext_rev_mono_strong:$
 $(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt'\ y\ x) \Longrightarrow len_lexext_rev\ gt\ ys\ xs \Longrightarrow len_lexext_rev\ gt'\ ys\ xs$
<proof>

lemma $len_lexext_rev_map_strong:$
 $(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt\ (f\ y)\ (f\ x)) \Longrightarrow len_lexext_rev\ gt\ ys\ xs \Longrightarrow$
 $len_lexext_rev\ gt\ (map\ f\ ys)\ (map\ f\ xs)$
<proof>

lemma $len_lexext_rev_irrefl: (\forall x \in set\ xs. \neg gt\ x\ x) \Longrightarrow \neg len_lexext_rev\ gt\ xs\ xs$
<proof>

lemma $len_lexext_rev_trans_strong:$
 $(\forall z \in set\ zs. \forall y \in set\ ys. \forall x \in set\ xs. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x) \Longrightarrow len_lexext_rev\ gt\ zs\ ys \Longrightarrow$
 $len_lexext_rev\ gt\ ys\ xs \Longrightarrow len_lexext_rev\ gt\ zs\ xs$
<proof>

lemma $len_lexext_rev_snoc: len_lexext_rev\ gt\ (xs\ @\ [x])\ xs$
<proof>

lemma $len_lexext_rev_compat_cons: len_lexext_rev\ gt\ ys\ xs \Longrightarrow len_lexext_rev\ gt\ (x\ \#\ ys)\ (x\ \#\ xs)$
<proof>

lemma $len_lexext_rev_compat_snoc: len_lexext_rev\ gt\ ys\ xs \Longrightarrow len_lexext_rev\ gt\ (ys\ @\ [x])\ (xs\ @\ [x])$
<proof>

lemma $len_lexext_rev_compat_list: gt\ y\ x \Longrightarrow len_lexext_rev\ gt\ (xs\ @\ y\ \#\ xs')\ (xs\ @\ x\ \#\ xs')$
<proof>

lemma $len_lexext_rev_singleton[simp]: len_lexext_rev\ gt\ [y]\ [x] \longleftrightarrow gt\ y\ x$
<proof>

lemma $len_lexext_rev_total: (\forall y \in B. \forall x \in A. gt\ y\ x \vee gt\ x\ y \vee y = x) \Longrightarrow ys \in lists\ B \Longrightarrow$
 $xs \in lists\ A \Longrightarrow len_lexext_rev\ gt\ ys\ xs \vee len_lexext_rev\ gt\ xs\ ys \vee ys = xs$
<proof>

lemma *len_lexext_rev_iff_len_lexext*: $len_lexext_rev\ gt\ ys\ xs \longleftrightarrow len_lexext\ gt\ (rev\ ys)\ (rev\ xs)$
 ⟨proof⟩

lemma *len_lexext_rev_wf*: $wfP\ (\lambda x\ y.\ gt\ y\ x) \implies wfP\ (\lambda xs\ ys.\ len_lexext_rev\ gt\ ys\ xs)$
 ⟨proof⟩

lemma *len_lexext_rev_hd_or_tl*:
 $len_lexext_rev\ gt\ (y\ \#\ ys)\ (x\ \#\ xs) \implies gt\ y\ x \vee len_lexext_rev\ gt\ ys\ xs$
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext len_lexext_rev*
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext_irrefl_trans_strong len_lexext_rev*
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext_snoc len_lexext_rev*
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext_compat_cons len_lexext_rev*
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext_compat_snoc len_lexext_rev*
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext_compat_list len_lexext_rev*
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext_singleton len_lexext_rev*
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext_total len_lexext_rev*
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext_wf len_lexext_rev*
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext_hd_or_tl len_lexext_rev*
 ⟨proof⟩

interpretation *len_lexext_rev*: *ext_wf_bounded len_lexext_rev*
 ⟨proof⟩

5.7 Dershowitz–Manna Multiset Extension

definition *msetext_dersh* **where**

$msetext_dersh\ gt\ ys\ xs = (let\ N = mset\ ys;\ M = mset\ xs\ in$
 $(\exists\ Y\ X.\ Y \neq \{\#\} \wedge Y \subseteq_{\#} N \wedge M = (N - Y) + X \wedge (\forall x.\ x \in_{\#} X \longrightarrow (\exists y.\ y \in_{\#} Y \wedge gt\ y\ x))))$

The following proof is based on that of *less_multiset_{DM}_imp_mult*.

lemma *msetext_dersh_imp_mult_rel*:

assumes

$ys_a: ys \in lists\ A$ **and** $xs_a: xs \in lists\ A$ **and**

$ys_gt_xs: msetext_dersh\ gt\ ys\ xs$

shows $(mset\ xs, mset\ ys) \in mult\ \{(x, y).\ x \in A \wedge y \in A \wedge gt\ y\ x\}$

⟨proof⟩

lemma *msetext_dersh_imp_mult*: $msetext_dersh\ gt\ ys\ xs \implies (mset\ xs, mset\ ys) \in mult\ \{(x, y).\ gt\ y\ x\}$
 ⟨proof⟩

lemma *mult_imp_msetext_dersh_rel*:

assumes

$ys_a: set_mset\ (mset\ ys) \subseteq A$ **and** $xs_a: set_mset\ (mset\ xs) \subseteq A$ **and**

$in_mult: (mset\ xs, mset\ ys) \in mult\ \{(x, y).\ x \in A \wedge y \in A \wedge gt\ y\ x\}$ **and**

trans: $\forall z \in A. \forall y \in A. \forall x \in A. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x$
shows *msetext_dersh* *gt* *ys* *xs*
 ⟨*proof*⟩

lemma *msetext_dersh_mono_strong*:
 $(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt'\ y\ x) \implies msetext_dersh\ gt\ ys\ xs \implies$
msetext_dersh *gt'* *ys* *xs*
 ⟨*proof*⟩

lemma *msetext_dersh_map_strong*:
assumes
compat_f: $\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt\ (f\ y)\ (f\ x)$ **and**
ys_gt_xs: *msetext_dersh* *gt* *ys* *xs*
shows *msetext_dersh* *gt* (*map* *f* *ys*) (*map* *f* *xs*)
 ⟨*proof*⟩

lemma *msetext_dersh_trans*:
assumes
zs_a: *zs* \in *lists* *A* **and**
ys_a: *ys* \in *lists* *A* **and**
xs_a: *xs* \in *lists* *A* **and**
trans: $\forall z \in A. \forall y \in A. \forall x \in A. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x$ **and**
zs_gt_ys: *msetext_dersh* *gt* *zs* *ys* **and**
ys_gt_xs: *msetext_dersh* *gt* *ys* *xs*
shows *msetext_dersh* *gt* *zs* *xs*
 ⟨*proof*⟩

lemma *msetext_dersh_irrefl_from_trans*:
assumes
trans: $\forall z \in set\ xs. \forall y \in set\ xs. \forall x \in set\ xs. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x$ **and**
irrefl: $\forall x \in set\ xs. \neg gt\ x\ x$
shows $\neg msetext_dersh\ gt\ xs\ xs$
 ⟨*proof*⟩

lemma *msetext_dersh_snoc*: *msetext_dersh* *gt* (*xs* @ [*x*]) *xs*
 ⟨*proof*⟩

lemma *msetext_dersh_compat_cons*:
assumes *ys_gt_xs*: *msetext_dersh* *gt* *ys* *xs*
shows *msetext_dersh* *gt* (*x* # *ys*) (*x* # *xs*)
 ⟨*proof*⟩

lemma *msetext_dersh_compat_snoc*: *msetext_dersh* *gt* *ys* *xs* $\implies msetext_dersh\ gt\ (ys\ @\ [x])\ (xs\ @\ [x])$
 ⟨*proof*⟩

lemma *msetext_dersh_compat_list*:
assumes *y_gt_x*: *gt* *y* *x*
shows *msetext_dersh* *gt* (*xs* @ *y* # *xs'*) (*xs* @ *x* # *xs'*)
 ⟨*proof*⟩

lemma *msetext_dersh_singleton*: *msetext_dersh* *gt* [*y*] [*x*] $\longleftrightarrow gt\ y\ x$
 ⟨*proof*⟩

lemma *msetext_dersh_wf*:
assumes *wf_gt*: *wfP* ($\lambda x\ y. gt\ y\ x$)
shows *wfP* ($\lambda xs\ ys. msetext_dersh\ gt\ ys\ xs$)
 ⟨*proof*⟩

interpretation *msetext_dersh*: *ext* *msetext_dersh*
 ⟨*proof*⟩

interpretation *msetext_dersh*: *ext_trans_before_irrefl* *msetext_dersh*
 ⟨*proof*⟩

interpretation *msetext_dersh*: *ext_snoc msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_compat_cons msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_compat_snoc msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_compat_list msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_singleton msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_wf msetext_dersh*
(*proof*)

5.8 Huet–Oppen Multiset Extension

definition *msetext_huet* where

msetext_huet *gt* *ys* *xs* = (let *N* = *mset* *ys*; *M* = *mset* *xs* in
 $M \neq N \wedge (\forall x. \text{count } M \ x > \text{count } N \ x \longrightarrow (\exists y. \text{gt } y \ x \wedge \text{count } N \ y > \text{count } M \ y)))$)

lemma *msetext_huet_imp_count_gt*:
assumes *ys_gt_xs*: *msetext_huet* *gt* *ys* *xs*
shows $\exists x. \text{count } (mset \ y) \ x > \text{count } (mset \ xs) \ x$
(*proof*)

lemma *msetext_huet_imp_dersh*:
assumes *huet*: *msetext_huet* *gt* *ys* *xs*
shows *msetext_dersh* *gt* *ys* *xs*
(*proof*)

The following proof is based on that of *mult_imp_less_multiset_{HO}*.

lemma *mult_imp_msetext_huet*:
assumes
irrefl: *irreflp* *gt* **and** *trans*: *transp* *gt* **and**
in_mult: $(mset \ xs, mset \ ys) \in mult \ \{(x, y). \text{gt } y \ x\}$
shows *msetext_huet* *gt* *ys* *xs*
(*proof*)

theorem *msetext_huet_eq_dersh*: *irreflp* *gt* \implies *transp* *gt* \implies *msetext_dersh* *gt* = *msetext_huet* *gt*
(*proof*)

lemma *msetext_huet_mono_strong*:
 $(\forall y \in set \ ys. \forall x \in set \ xs. \text{gt } y \ x \longrightarrow \text{gt}' \ y \ x) \implies msetext_huet \ gt \ ys \ xs \implies msetext_huet \ \text{gt}' \ ys \ xs$
(*proof*)

lemma *msetext_huet_map*:
assumes
fin: *finite* *A* **and**
ys_a: *ys* $\in lists \ A$ **and** *xs_a*: *xs* $\in lists \ A$ **and**
irrefl_f: $\forall x \in A. \neg \text{gt} \ (f \ x) \ (f \ x)$ **and**
trans_f: $\forall z \in A. \forall y \in A. \forall x \in A. \text{gt} \ (f \ z) \ (f \ y) \longrightarrow \text{gt} \ (f \ y) \ (f \ x) \longrightarrow \text{gt} \ (f \ z) \ (f \ x)$ **and**
compat_f: $\forall y \in A. \forall x \in A. \text{gt} \ y \ x \longrightarrow \text{gt} \ (f \ y) \ (f \ x)$ **and**
ys_gt_xs: *msetext_huet* *gt* *ys* *xs*
shows *msetext_huet* *gt* (*map* *f* *ys*) (*map* *f* *xs*) (is *msetext_huet* *?**fys* *?fxs*)
(*proof*)

lemma *msetext_huet_irrefl*: $(\forall x \in set \ xs. \neg \text{gt} \ x \ x) \implies \neg msetext_huet \ gt \ xs \ xs$
(*proof*)

lemma *msetext_huet_trans_from_irrefl*:

assumes

fin: finite *A* **and**

zs_a: *zs* ∈ lists *A* **and** *ys_a*: *ys* ∈ lists *A* **and** *xs_a*: *xs* ∈ lists *A* **and**

irrefl: $\forall x \in A. \neg \text{gt } x \ x$ **and**

trans: $\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x$ **and**

zs_gt_ys: *msetext_huet* *gt* *zs* *ys* **and**

ys_gt_xs: *msetext_huet* *gt* *ys* *xs*

shows *msetext_huet* *gt* *zs* *xs*

<proof>

lemma *msetext_huet_snoc*: *msetext_huet* *gt* (*xs* @ [*x*]) *xs*

<proof>

lemma *msetext_huet_compat_cons*: *msetext_huet* *gt* *ys* *xs* \implies *msetext_huet* *gt* (*x* # *ys*) (*x* # *xs*)

<proof>

lemma *msetext_huet_compat_snoc*: *msetext_huet* *gt* *ys* *xs* \implies *msetext_huet* *gt* (*ys* @ [*x*]) (*xs* @ [*x*])

<proof>

lemma *msetext_huet_compat_list*: *y* ≠ *x* \implies *gt* *y* *x* \implies *msetext_huet* *gt* (*xs* @ *y* # *xs'*) (*xs* @ *x* # *xs'*)

<proof>

lemma *msetext_huet_singleton*: *y* ≠ *x* \implies *msetext_huet* *gt* [*y*] [*x*] \longleftrightarrow *gt* *y* *x*

<proof>

lemma *msetext_huet_wf*: *wfP* ($\lambda x \ y. \text{gt } y \ x$) \implies *wfP* ($\lambda xs \ ys. \text{msetext_huet } \text{gt } ys \ xs$)

<proof>

lemma *msetext_huet_hd_or_tl*:

assumes

trans: $\forall z \ y \ x. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x$ **and**

total: $\forall y \ x. \text{gt } y \ x \vee \text{gt } x \ y \vee y = x$ **and**

len_eq: length *ys* = length *xs* **and**

ys_gt_xs: *msetext_huet* *gt* (*y* # *ys*) (*x* # *xs*)

shows *gt* *y* *x* \vee *msetext_huet* *gt* *ys* *xs*

<proof>

interpretation *msetext_huet*: ext *msetext_huet*

<proof>

interpretation *msetext_huet*: ext_irrefl_before_trans *msetext_huet*

<proof>

interpretation *msetext_huet*: ext_snoc *msetext_huet*

<proof>

interpretation *msetext_huet*: ext_compat_cons *msetext_huet*

<proof>

interpretation *msetext_huet*: ext_compat_snoc *msetext_huet*

<proof>

interpretation *msetext_huet*: ext_compat_list *msetext_huet*

<proof>

interpretation *msetext_huet*: ext_singleton *msetext_huet*

<proof>

interpretation *msetext_huet*: ext_wf *msetext_huet*

<proof>

interpretation *msetext_huet*: ext_hd_or_tl *msetext_huet*

<proof>

interpretation *msetext_huet*: *ext_wf_bounded msetext_huet*
<proof>

5.9 Componentwise Extension

definition *wiseext* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a list ⇒ bool **where**
wiseext gt ys xs ↔ *length ys = length xs*
∧ (∀ i < *length ys*. *gt (ys ! i) (xs ! i)* ∨ *ys ! i = xs ! i*)
∧ (∃ i < *length ys*. *gt (ys ! i) (xs ! i)*)

lemma *wiseext_imp_len_lexext*:
assumes *cw*: *wiseext gt ys xs*
shows *len_lexext gt ys xs*
<proof>

lemma *wiseext_mono_strong*:
(∀ y ∈ *set ys*. ∀ x ∈ *set xs*. *gt y x* → *gt' y x*) ⇒ *wiseext gt ys xs* ⇒ *wiseext gt' ys xs*
<proof>

lemma *wiseext_map_strong*:
(∀ y ∈ *set ys*. ∀ x ∈ *set xs*. *gt y x* → *gt (f y) (f x)*) ⇒ *wiseext gt ys xs* ⇒
wiseext gt (map f ys) (map f xs)
<proof>

lemma *wiseext_irrefl*: (∀ x ∈ *set xs*. ¬ *gt x x*) ⇒ ¬ *wiseext gt xs xs*
<proof>

lemma *wiseext_trans_strong*:
assumes
∀ z ∈ *set zs*. ∀ y ∈ *set ys*. ∀ x ∈ *set xs*. *gt z y* → *gt y x* → *gt z x* **and**
wiseext gt zs ys **and** *wiseext gt ys xs*
shows *wiseext gt zs xs*
<proof>

lemma *wiseext_compat_cons*: *wiseext gt ys xs* ⇒ *wiseext gt (x # ys) (x # xs)*
<proof>

lemma *wiseext_compat_snoc*: *wiseext gt ys xs* ⇒ *wiseext gt (ys @ [x]) (xs @ [x])*
<proof>

lemma *wiseext_compat_list*:
assumes *y_gt_x*: *gt y x*
shows *wiseext gt (xs @ y # xs') (xs @ x # xs')*
<proof>

lemma *wiseext_singleton*: *wiseext gt [y] [x]* ↔ *gt y x*
<proof>

lemma *wiseext_wf*: *wfP (λx y. gt y x)* ⇒ *wfP (λxs ys. wiseext gt ys xs)*
<proof>

lemma *wiseext_hd_or_tl*: *wiseext gt (y # ys) (x # xs)* ⇒ *gt y x* ∨ *wiseext gt ys xs*
<proof>

locale *ext_wiseext* = *ext_compat_list* + *ext_compat_cons*
begin

context
fixes *gt* :: 'a ⇒ 'a ⇒ bool
assumes
gt_irrefl: ¬ *gt x x* **and**
trans_gt: *ext gt zs ys* ⇒ *ext gt ys xs* ⇒ *ext gt zs xs*

```

begin

lemma
  assumes ys_gtcw_xs: cwiseext gt ys xs
  shows ext gt ys xs
  <proof>

end

end

interpretation cwiseext: ext cwiseext
  <proof>

interpretation cwiseext: ext_irrefl_trans_strong cwiseext
  <proof>

interpretation cwiseext: ext_compat_cons cwiseext
  <proof>

interpretation cwiseext: ext_compat_snoc cwiseext
  <proof>

interpretation cwiseext: ext_compat_list cwiseext
  <proof>

interpretation cwiseext: ext_singleton cwiseext
  <proof>

interpretation cwiseext: ext_wf cwiseext
  <proof>

interpretation cwiseext: ext_hd_or_tl cwiseext
  <proof>

interpretation cwiseext: ext_wf_bounded cwiseext
  <proof>

end

```

6 The Applicative Recursive Path Order for Lambda-Free Higher-Order Terms

```

theory Lambda_Free_RPO_App
imports Lambda_Free_Term_Extension_Orders
abbrevs  $>t = >t$ 
  and  $\geq t = \geq t$ 
begin

```

This theory defines the applicative recursive path order (RPO), a variant of RPO for λ -free higher-order terms. It corresponds to the order obtained by applying the standard first-order RPO on the applicative encoding of higher-order terms and assigning the lowest precedence to the application symbol.

```

locale rpo_app = gt_sym (>s)
  for gt_sym :: 's  $\Rightarrow$  's  $\Rightarrow$  bool (infix >s 50) +
  fixes ext :: (('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool)  $\Rightarrow$  ('s, 'v) tm list  $\Rightarrow$  ('s, 'v) tm list  $\Rightarrow$  bool
  assumes
    ext_ext_trans_before_irrefl: ext_trans_before_irrefl ext and
    ext_ext_compat_list: ext_compat_list ext
begin

```

```

lemma ext_mono[mono]: gt  $\leq$  gt'  $\implies$  ext gt  $\leq$  ext gt'
  <proof>

```

inductive $gt :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool$ (**infix** $>_t$ 50) **where**
 $gt_sub: is_App\ t \Longrightarrow (fun\ t\ >_t\ s \vee fun\ t = s) \vee (arg\ t\ >_t\ s \vee arg\ t = s) \Longrightarrow t\ >_t\ s$
 $| gt_sym_sym: g\ >_s\ f \Longrightarrow Hd\ (Sym\ g)\ >_t\ Hd\ (Sym\ f)$
 $| gt_sym_app: Hd\ (Sym\ g)\ >_t\ s1 \Longrightarrow Hd\ (Sym\ g)\ >_t\ s2 \Longrightarrow Hd\ (Sym\ g)\ >_t\ App\ s1\ s2$
 $| gt_app_app: ext\ (>_t)\ [t1, t2]\ [s1, s2] \Longrightarrow App\ t1\ t2\ >_t\ s1 \Longrightarrow App\ t1\ t2\ >_t\ s2 \Longrightarrow$
 $App\ t1\ t2\ >_t\ App\ s1\ s2$

abbreviation $ge :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool$ (**infix** \geq_t 50) **where**
 $t\ \geq_t\ s \equiv t\ >_t\ s \vee t = s$

end

end

7 The Graceful Recursive Path Order for Lambda-Free Higher-Order Terms

theory *Lambda_Free_RPO_Std*
imports *Lambda_Free_Term_Extension_Orders*
abbrevs $>t = >_t$
and $\geq t = \geq_t$
begin

This theory defines the graceful recursive path order (RPO) for λ -free higher-order terms.

7.1 Setup

locale $rpo_basis = ground_heads (>_s) arity_sym\ arity_var$
for
 $gt_sym :: 's \Rightarrow 's \Rightarrow bool$ (**infix** $>_s$ 50) **and**
 $arity_sym :: 's \Rightarrow enat$ **and**
 $arity_var :: 'v \Rightarrow enat +$
fixes
 $extf :: 's \Rightarrow (('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool) \Rightarrow ('s, 'v) tm\ list \Rightarrow ('s, 'v) tm\ list \Rightarrow bool$
assumes
 $extf_ext_trans_before_irrefl: ext_trans_before_irrefl\ (extf\ f)$ **and**
 $extf_ext_compat_cons: ext_compat_cons\ (extf\ f)$ **and**
 $extf_ext_compat_list: ext_compat_list\ (extf\ f)$
begin

lemma $extf_ext_trans: ext_trans\ (extf\ f)$
 $\langle proof \rangle$

lemma $extf_ext: ext\ (extf\ f)$
 $\langle proof \rangle$

lemmas $extf_mono_strong = ext.mono_strong[OF\ extf_ext]$

lemmas $extf_mono = ext.mono[OF\ extf_ext, mono]$

lemmas $extf_map = ext.map[OF\ extf_ext]$

lemmas $extf_trans = ext_trans.trans[OF\ extf_ext_trans]$

lemmas $extf_irrefl_from_trans =$

$ext_trans_before_irrefl.irrefl_from_trans[OF\ extf_ext_trans_before_irrefl]$

lemmas $extf_compat_append_left = ext_compat_cons.compat_append_left[OF\ extf_ext_compat_cons]$

lemmas $extf_compat_list = ext_compat_list.compat_list[OF\ extf_ext_compat_list]$

definition $chkvar :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool$ **where**
 $[simp]: chkvar\ t\ s \iff vars_hd\ (head\ s) \subseteq vars\ t$

end

locale $rpo = rpo_basis\ _ _ arity_sym\ arity_var$

for
 arity_sym :: 's ⇒ enat and
 arity_var :: 'v ⇒ enat
 begin

7.2 Inductive Definitions

definition

chksubs :: (('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool) ⇒ ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool

where

[simp]: chksubs gt t s ⟷ (case s of App s1 s2 ⇒ gt t s1 ∧ gt t s2 | _ ⇒ True)

lemma chksubs_mono[mono]: gt ≤ gt' ⟹ chksubs gt ≤ chksubs gt'

⟨proof⟩

inductive gt :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool (infix >_t 50) **where**

gt_sub: is_App t ⟹ (fun t >_t s ∨ fun t = s) ∨ (arg t >_t s ∨ arg t = s) ⟹ t >_t s
 | gt_diff: head t >_{hd} head s ⟹ chkvar t s ⟹ chksubs (>_t) t s ⟹ t >_t s
 | gt_same: head t = head s ⟹ chksubs (>_t) t s ⟹
 (∀ f ∈ ground_heads (head t). extf f (>_t) (args t) (args s)) ⟹ t >_t s

abbreviation ge :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool (infix ≥_t 50) **where**

t ≥_t s ≡ t >_t s ∨ t = s

inductive gt_sub :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool **where**

gt_subI: is_App t ⟹ fun t ≥_t s ∨ arg t ≥_t s ⟹ gt_sub t s

inductive gt_diff :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool **where**

gt_diffI: head t >_{hd} head s ⟹ chkvar t s ⟹ chksubs (>_t) t s ⟹ gt_diff t s

inductive gt_same :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool **where**

gt_sameI: head t = head s ⟹ chksubs (>_t) t s ⟹
 (∀ f ∈ ground_heads (head t). extf f (>_t) (args t) (args s)) ⟹ gt_same t s

lemma gt_iff_sub_diff_same: t >_t s ⟷ gt_sub t s ∨ gt_diff t s ∨ gt_same t s

⟨proof⟩

7.3 Transitivity

lemma gt_fun_imp: fun t >_t s ⟹ t >_t s

⟨proof⟩

lemma gt_arg_imp: arg t >_t s ⟹ t >_t s

⟨proof⟩

lemma gt_imp_vars: t >_t s ⟹ vars t ⊇ vars s

⟨proof⟩

theorem gt_trans: u >_t t ⟹ t >_t s ⟹ u >_t s

⟨proof⟩

7.4 Irreflexivity

theorem gt_irrefl: ¬ s >_t s

⟨proof⟩

lemma gt_antisym: t >_t s ⟹ ¬ s >_t t

⟨proof⟩

7.5 Subterm Property

lemma

gt_sub_fun: App s t >_t s and

gt_sub_arg: App s t >_t t

<proof>

theorem *gt_proper_sub*: *proper_sub s t* \implies *t* $>_t$ *s*
<proof>

7.6 Compatibility with Functions

lemma *gt_compat_fun*:
 assumes *t'_gt_t*: *t'* $>_t$ *t*
 shows *App s t'* $>_t$ *App s t*
<proof>

theorem *gt_compat_fun_strong*:
 assumes *t'_gt_t*: *t'* $>_t$ *t*
 shows *apps s (t' # us)* $>_t$ *apps s (t # us)*
<proof>

7.7 Compatibility with Arguments

theorem *gt_diff_same_compat_arg*:
 assumes
 extf_compat_snoc: $\bigwedge f. \text{ext_compat_snoc } (extf\ f)$ and
 diff_same: *gt_diff s' s* \vee *gt_same s' s*
 shows *App s' t* $>_t$ *App s t*
<proof>

7.8 Stability under Substitution

lemma *gt_imp_chksubs_gt*:
 assumes *t_gt_s*: *t* $>_t$ *s*
 shows *chksubs (>_t) t s*
<proof>

theorem *gt_subst*:
 assumes *wary_ρ*: *wary_subst ρ*
 shows *t* $>_t$ *s* \implies *subst ρ t* $>_t$ *subst ρ s*
<proof>

7.9 Totality on Ground Terms

theorem *gt_total_ground*:
 assumes *extf_total*: $\bigwedge f. \text{ext_total } (extf\ f)$
 shows *ground t* \implies *ground s* \implies *t* $>_t$ *s* \vee *s* $>_t$ *t* \vee *t = s*
<proof>

7.10 Well-foundedness

abbreviation *gtg* :: (*'s*, *'v*) *tm* \Rightarrow (*'s*, *'v*) *tm* \Rightarrow *bool* (**infix** $>_{tg}$ 50) **where**
 ($>_{tg}$) \equiv $\lambda t\ s. \text{ground } t \wedge t >_t s$

theorem *gt_wf*:
 assumes *extf_wf*: $\bigwedge f. \text{ext_wf } (extf\ f)$
 shows *wfP* ($\lambda s\ t. t >_t s$)
<proof>

end

end

8 The Optimized Graceful Recursive Path Order for Lambda-Free Higher-Order Terms

theory *Lambda_Free_RPO_Optim*

```
imports Lambda_Free_RPO_Std
begin
```

This theory defines the optimized variant of the graceful recursive path order (RPO) for λ -free higher-order terms.

8.1 Setup

```
locale rpo_optim = rpo_basis _ _ arity_sym arity_var
  for
    arity_sym :: 's  $\Rightarrow$  enat and
    arity_var :: 'v  $\Rightarrow$  enat +
  assumes extf_ext_snoc: ext_snoc (extf f)
begin
```

```
lemmas extf_snoc = ext_snoc.snoc[OF extf_ext_snoc]
```

8.2 Definition of the Order

definition

```
chkargs :: (('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool)  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool
```

where

```
[simp]: chkargs gt t s  $\iff$  ( $\forall s' \in \text{set } (\text{args } s)$ . gt t s')
```

```
lemma chkargs_mono[mono]: gt  $\leq$  gt'  $\implies$  chkargs gt  $\leq$  chkargs gt'
  <proof>
```

inductive gt :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool (infix $>_t$ 50) **where**

```
  gt_arg: ti  $\in$  set (args t)  $\implies$  ti  $>_t$  s  $\vee$  ti = s  $\implies$  t  $>_t$  s
| gt_diff: head t  $>_{hd}$  head s  $\implies$  chkvar t s  $\implies$  chkargs ( $>_t$ ) t s  $\implies$  t  $>_t$  s
| gt_same: head t = head s  $\implies$  chkargs ( $>_t$ ) t s  $\implies$ 
  ( $\forall f \in \text{ground\_heads } (\text{head } t)$ . extf f ( $>_t$ ) (args t) (args s))  $\implies$  t  $>_t$  s
```

abbreviation ge :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool (infix \geq_t 50) **where**
 $t \geq_t s \equiv t >_t s \vee t = s$

8.3 Transitivity

```
lemma gt_in_args_imp: ti  $\in$  set (args t)  $\implies$  ti  $>_t$  s  $\implies$  t  $>_t$  s
  <proof>
```

```
lemma gt_imp_vars: t  $>_t$  s  $\implies$  vars t  $\supseteq$  vars s
  <proof>
```

```
lemma gt_trans: u  $>_t$  t  $\implies$  t  $>_t$  s  $\implies$  u  $>_t$  s
  <proof>
```

```
lemma gt_sub_fun: App s t  $>_t$  s
  <proof>
```

end

8.4 Conditional Equivalence with Unoptimized Version

```
context rpo
begin
```

context

```
assumes extf_ext_snoc:  $\bigwedge f$ . ext_snoc (extf f)
```

begin

```
lemma rpo_optim: rpo_optim ground_heads_var ( $>_s$ ) extf arity_sym arity_var
  <proof>
```


abbreviation
 $chkargs :: ((s, v) tm \Rightarrow (s, v) tm \Rightarrow bool) \Rightarrow (s, v) tm \Rightarrow (s, v) tm \Rightarrow bool$
where
 $chkargs \equiv rpo_optim.chkargs$

abbreviation $gt_optim :: (s, v) tm \Rightarrow (s, v) tm \Rightarrow bool$ (**infix** $>_{to}$ 50) **where**
 $(>_{to}) \equiv rpo_optim.gt_ground_heads_var (>_s) extf$

abbreviation $ge_optim :: (s, v) tm \Rightarrow (s, v) tm \Rightarrow bool$ (**infix** \geq_{to} 50) **where**
 $(\geq_{to}) \equiv rpo_optim.ge_ground_heads_var (>_s) extf$

theorem $gt_iff_optim: t >_t s \longleftrightarrow t >_{to} s$
 $\langle proof \rangle$

end

end

end

9 Recursive Path Orders for Lambda-Free Higher-Order Terms

theory *Lambda_Free_RPOs*
imports *Lambda_Free_RPO_App Lambda_Free_RPO_Optim*
begin

locale *simple_rpo_instances*
begin

definition $arity_sym :: nat \Rightarrow enat$ **where**
 $arity_sym\ n = \infty$

definition $arity_var :: nat \Rightarrow enat$ **where**
 $arity_var\ n = \infty$

definition $ground_head_var :: nat \Rightarrow nat\ set$ **where**
 $ground_head_var\ x = UNIV$

definition $gt_sym :: nat \Rightarrow nat \Rightarrow bool$ **where**
 $gt_sym\ g\ f \longleftrightarrow g > f$

sublocale $app: rpo_app\ gt_sym\ len_lexext$
 $\langle proof \rangle$

sublocale $std: rpo\ ground_head_var\ gt_sym\ \lambda f. len_lexext\ arity_sym\ arity_var$
 $\langle proof \rangle$

sublocale $optim: rpo_optim\ ground_head_var\ gt_sym\ \lambda f. len_lexext\ arity_sym\ arity_var$
 $\langle proof \rangle$

end

end