

# Knot Theory

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## Abstract

This work contains a formalization of some topics in knot theory. The concepts that were formalized include definitions of tangles, links, framed links and link/tangle equivalence. The formalization is based on a formulation of links in terms of tangles. We further construct and prove the invariance of the Bracket polynomial. Bracket polynomial is an invariant of framed links closely linked to the Jones polynomial. This is perhaps the first attempt to formalize any aspect of knot theory in an interactive proof assistant.

For further reference, one can refer to the paper "Formalising Knot Theory in Isabelle/HOL" in Interactive Theorem Proving, 6th International Conference, ITP 2015, Nanjing, China, August 24-27, 2015, Proceedings.

## Contents

<b>1 Preliminaries: Definitions of tangles and links</b>	<b>1</b>
<b>2 Tangles: Definition as a type and basic functions on tangles</b>	<b>6</b>
<b>3 Tangle_Algebra: Tensor product of tangles and its properties</b>	<b>8</b>
<b>4 Definition of tensor product of walls</b>	<b>8</b>
<b>5 Properties of tensor product of tangles</b>	<b>9</b>
<b>6 Tangle_Moves: Defining moves on tangles</b>	<b>12</b>
<b>7 Link_Algebra: Defining equivalence of tangles and links</b>	<b>17</b>
<b>8 Showing equivalence of links: An example</b>	<b>19</b>
<b>9 Kauffman Matrix and Kauffman Bracket- Definitions and Properties</b>	<b>20</b>

<b>10 Rational Functions</b>	<b>20</b>
<b>11 Kauffman matrices</b>	<b>22</b>
<b>12 Computations: This section can be skipped</b>	<b>30</b>
<b>13 Tangle moves and Kauffman bracket</b>	<b>33</b>
<b>14 Kauffman_Invariance: Proving the invariance of Kauffman Bracket</b>	<b>37</b>

## 1 Preliminaries: Definitions of tangles and links

```
theory Preliminaries
imports Main
begin
```

This theory contains the definition of a link. A link is defined as link diagrams upto equivalence moves. Link diagrams are defined in terms of the constituent tangles

each block is a horizontal block built by putting basic link bricks next to each other. (1) vert is the straight line (2) cup is the up facing cup (3) cap is the bottom facing (4) over is the positive cross (5) under is the negative cross

```
datatype brick = vert
| cup
| cap
| over
| under
```

block is obtained by putting bricks next to each other

```
type-synonym block = brick list
```

wall are link diagrams obtained by placing a horizontal blocks a top each other

```
datatype wall = basic block
| prod block wall (infixr <*> 66)
```

Concatenate gives us the block obtained by putting two blocks next to each other

```
primrec concatenate :: block => block => block (infixr <⊗> 65) where
concatenates-Nil: [] ⊗ ys = ys |
concatenates-Cons: ((x#xs)⊗ys) = x#(xs⊗ys)
```

```
lemma empty-concatenate: xs ⊗ Nil = xs
⟨proof⟩
```

Associativity properties of Conscatenation

**lemma** *leftright-associativity*:  $(x \otimes y) \otimes z = x \otimes (y \otimes z)$   
*⟨proof⟩*

**lemma** *left-associativity*:  $(x \otimes y) \otimes z = x \otimes y \otimes z$   
*⟨proof⟩*

**lemma** *right-associativity*:  $x \otimes (y \otimes z) = x \otimes y \otimes z$   
*⟨proof⟩*

Compose gives us the wall obtained by putting a wall above another, perhaps in an invalid way.

```
primrec compose :: wall => wall => wall (infixr <circ> 66) where
  compose-Nil: (basic x) <circ> ys = prod x ys |
  compose-Cons: ((prod x xs) <circ> ys) = prod x (xs <circ> ys)
```

Associativity properties of composition

**lemma** *compose-leftassociativity*:  $((x :: wall) \circ y) \circ z = (x \circ y \circ z)$   
*⟨proof⟩*

**lemma** *compose-rightassociativity*:  $(x :: wall) \circ (y \circ z) = (x \circ y \circ z)$   
*⟨proof⟩*

block-length of a block is the number of bricks in a given block

```
primrec block-length::block => nat
where
  block-length [] = 0 |
  block-length (Cons x y) = 1 + (block-length y)
```

```
primrec domain::brick => int
where
  domain vert = 1 |
  domain cup = 0 |
  domain cap = 2 |
  domain over = 2 |
  domain under = 2
```

**lemma** *domain-non-negative*:  $\forall x. (\text{domain } x) \geq 0$   
*⟨proof⟩*

```
primrec codomain::brick => int
```

```

where
codomain vert = 1|
codomain cup = 2|
codomain cap = 0|
codomain over = 2|
codomain under = 2

```

```

primrec domain-block::block  $\Rightarrow$  int
where
domain-block [] = 0
|domain-block (Cons x y) = (domain x + (domain-block y))

```

```

lemma domain-block-non-negative:domain-block xs  $\geq$  0
⟨proof⟩

```

```

primrec codomain-block::block  $\Rightarrow$  int
where
codomain-block [] = 0
|codomain-block (Cons x y) = (codomain x + (codomain-block y))

```

```

primrec domain-wall:: wall  $\Rightarrow$  int where
domain-wall (basic x) = domain-block x
|domain-wall (x*ys) = domain-block x

```

```

fun codomain-wall:: wall  $\Rightarrow$  int where
codomain-wall (basic x) = codomain-block x
|codomain-wall (x*ys) = codomain-wall ys

```

```

lemma domain-wall-compose: domain-wall (xs oys) = domain-wall xs
⟨proof⟩

```

```

lemma codomain-wall-compose: codomain-wall (xs oys) = codomain-wall ys
⟨proof⟩

```

this lemma tells us the number of incoming and outgoing strands of a composition of two wall

absolute value

```

definition abs::int  $\Rightarrow$  int where

```

*abs*  $x \equiv \text{if } (x \geq 0) \text{ then } x \text{ else } (0 - x)$

theorems about *abs*

**lemma** *abs-zero*: **assumes** *abs*  $x = 0$  **shows**  $x = 0$   
*{proof}*

**lemma** *abs-zero-equality*: **assumes** *abs*  $(x - y) = 0$  **shows**  $x = y$   
*{proof}*

**lemma** *abs-non-negative*: *abs*  $x \geq 0$   
*{proof}*

**lemma** *abs-non-negative-sum*: **assumes** *abs*  $x + \text{abs } y = 0$   
**shows** *abs*  $x = 0$  **and** *abs*  $y = 0$   
*{proof}*

The following lemmas tell us that the number of incoming and outgoing strands of every brick is a non negative integer

**lemma** *domain-nonnegative*:  $(\text{domain } x) \geq 0$   
*{proof}*

**lemma** *codomain-nonnegative*:  $(\text{codomain } x) \geq 0$   
*{proof}*

The following lemmas tell us that the number of incoming and outgoing strands of every block is a non negative integer

**lemma** *domain-block-nonnegative*:  $(\text{domain-block } x) \geq 0$   
*{proof}*

**lemma** *codomain-block-nonnegative*:  $(\text{codomain-block } x) \geq 0$   
*{proof}*

The following lemmas tell us that if a block is appended to a block with incoming strands, then the resultant block has incoming strands

**lemma** *domain-positive*:  $((\text{domain-block } (x \# \text{Nil})) > 0) \vee ((\text{domain-block } y) > 0)$

$\implies (\text{domain-block } (x \# y) > 0)$   
*{proof}*

**lemma** *domain-additive*:  $(\text{domain-block } (x \otimes y)) = (\text{domain-block } x) + (\text{domain-block } y)$   
*{proof}*

**lemma** *codomain-additive*:  $(\text{codomain-block } (x \otimes y)) = (\text{codomain-block } x) + (\text{codomain-block } y)$

$\langle proof \rangle$

**lemma** *domain-zero-sum*: **assumes**  $(domain-block x) + (domain-block y) = 0$   
**shows**  $domain-block x = 0$  **and**  $domain-block y = 0$   
 $\langle proof \rangle$

**lemma** *domain-block-positive*: **fixes** or **assumes**  $domain-block y > 0$  or  $domain-block y > 0$   
**shows**  $(domain-block (x \otimes y)) > 0$   
 $\langle proof \rangle$

**lemma** *codomain-block-positive*: **fixes** or **assumes**  $codomain-block y > 0$  or  $codomain-block y > 0$   
**shows**  $(codomain-block (x \otimes y)) > 0$   
 $\langle proof \rangle$

We prove that if the first count of a block is zero, then it is composed of cups and empty bricks. In order to do that we define the functions brick-is-cup and is-cup which check if a given block is composed of cups or if the blocks are composed of blocks

**primrec** *brick-is-cup*::*brick*  $\Rightarrow$  *bool*  
**where**  
*brick-is-cup vert* = *False* |  
*brick-is-cup cup* = *True* |  
*brick-is-cup cap* = *False* |  
*brick-is-cup over* = *False* |  
*brick-is-cup under* = *False*

**primrec** *is-cup*::*block*  $\Rightarrow$  *bool*  
**where**  
*is-cup []* = *True* |  
*is-cup (x # y)* = (*if* (*x* = *cup*) *then* (*is-cup y*) *else* *False*)

**lemma** *brickcount-zero-implies-cup*:  $(domain x = 0) \implies (x = cup)$   
 $\langle proof \rangle$

**lemma** *brickcount-zero-implies-brick-is-cup*:  $(domain x = 0) \implies (\text{brick-is-cup } x)$   
 $\langle proof \rangle$

**lemma** *domain-zero-implies-is-cup*:  $(domain-block x = 0) \implies (\text{is-cup } x)$   
 $\langle proof \rangle$

We need a function that checks if a wall represents a knot diagram.

**primrec** *is-tangle-diagram*::*wall*  $\Rightarrow$  *bool*  
**where**

```

is-tangle-diagram (basic x) = True
|is-tangle-diagram (x*xs) = (if is-tangle-diagram xs
                                then (codomain-block x = domain-wall xs)
                                else False)

definition is-link-diagram::wall  $\Rightarrow$  bool
where
  is-link-diagram x  $\equiv$  (if (is-tangle-diagram x)
                            then (abs (domain-wall x) + abs(codomain-wall x) = 0)
                            else False)

end

```

## 2 Tangles: Definition as a type and basic functions on tangles

```

theory Tangles
imports Preliminaries
begin

```

well-defined wall as a type called diagram. The morphisms Abs\_diagram maps a well defined wall to its diagram type and Rep\_diagram maps the diagram back to the wall

```

typedef Tangle-Diagram = {(x::wall). is-tangle-diagram x}
   $\langle proof \rangle$ 

```

```

typedef Link-Diagram = {(x::wall). is-link-diagram x}
   $\langle proof \rangle$ 

```

The next few lemmas list the properties of well defined diagrams

For a well defined diagram, the morphism Rep\_diagram acts as an inverse of Abs\_diagram the morphism which maps a well defined wall to its representative in the type diagram

```

lemma Abs-Rep-well-defined:
  assumes is-tangle-diagram x
  shows Rep-Tangle-Diagram (Abs-Tangle-Diagram x) = x
   $\langle proof \rangle$ 

```

The map Abs\_diagram is injective

```

lemma Rep-Abs-well-defined:
  assumes is-tangle-diagram x
    and is-tangle-diagram y
    and (Abs-Tangle-Diagram x) = (Abs-Tangle-Diagram y)
  shows x = y

```

*⟨proof⟩*

restating the property of well-defined wall in terms of diagram

In order to locally defined moves, it helps to prove that if composition of two wall is a well defined wall then the number of outgoing strands of the wall below are equal to the number of incoming strands of the wall above. The following lemmas prove that for a well defined wall, the number of incoming and outgoing strands are zero

**lemma** *is-tangle-left-compose*:

*is-tangle-diagram*  $(x \circ y) \implies$  *is-tangle-diagram*  $x$   
*{proof}*

**lemma** *is-tangle-right-compose*:

*is-tangle-diagram*  $(x \circ y) \implies$  *is-tangle-diagram*  $y$   
*{proof}*

**lemma** *comp-of-tangle-dgms:*

**assumes *is-tangle-diagram***

**shows** ((*is-tangle-diagram*  $x$ ))

$\wedge(\text{codomain-wall } x = \text{domain-wall } y))$

$\implies$  is-tangle-diagram  $(x \circ y)$

*⟨proof⟩*

**lemma** *composition-of-tangle-diagrams:*

**assumes** *is-tangle-diagram*  $x$

and *is-tangle-diagram*  $y$

**and** (*domain-wall y = codomain-wall x*)

shows *is-tangle-diagram* ( $x \circ y$ )

$\langle proof \rangle$

**lemma** *converse-composition-of-tangle-diagrams*:

*is-tangle-diagram* ( $x \circ y$ )  $\implies$  (*domain-wall*  $y$ ) = (*codomain-wall*  $x$ )

*⟨proof⟩*

**definition** *compose-Tangle*::*Tangle-Diagram*  $\Rightarrow$  *Tangle-Diagram*  $\Rightarrow$  *Tangle-Diagram*

(infixl  $\circ$  65)

where

*compose-Tangle*  $x\ y = \text{Abs-Tangle-Diagram}$

$((Rep\text{-}Tangle\text{-}Diagram}\ x)\circ(Rep\text{-}Tangle\text{-}Diagram}\ y))$

**theorem** *well-defined-compose:*

**assumes** *is-tangle-diagram*  $x$

```

and is-tangle-diagram y
and domain-wall x = codomain-wall y
shows (Abs-Tangle-Diagram x)  $\circ$  (Abs-Tangle-Diagram y)
      = (Abs-Tangle-Diagram (x  $\circ$  y))
<proof>

```

```

definition domain-Tangle::Tangle-Diagram  $\Rightarrow$  int
where
domain-Tangle x = domain-wall(Rep-Tangle-Diagram x)

```

```

definition codomain-Tangle::Tangle-Diagram  $\Rightarrow$  int
where
codomain-Tangle x = codomain-wall(Rep-Tangle-Diagram x)
end

```

### 3 Tangle\_Algebra: Tensor product of tangles and its properties

```

theory Tangle-Algebra
imports Tangles
begin

```

### 4 Definition of tensor product of walls

the following definition is used to construct a block of n vert strands

```

primrec make-vert-block::nat  $\Rightarrow$  block
where
make-vert-block 0 = []
| make-vert-block (Suc n) = vert#(make-vert-block n)

```

```

lemma domain-make-vert:domain-block (make-vert-block n) = int n
<proof>

```

```

lemma codomain-make-vert:codomain-block (make-vert-block n) = int n
<proof>

```

```

fun tensor::wall => wall => wall (infixr  $\langle \otimes \rangle$  65)
where
1:tensor (basic x) (basic y) = (basic (x  $\otimes$  y))
| 2:tensor (x*xs) (basic y) =
    if (codomain-block y = 0)

```

```

then  $(x \otimes y) * xs$ 
else
 $(x \otimes y)$ 
 $*(xs \otimes (\text{basic } (\text{make-vert-block } (\text{nat } (\text{codomain-block } y)))))$ )
| $\beta:\text{tensor } (\text{basic } x) (y * ys) = ($ 
  if ( $\text{codomain-block } x = 0$ )
    then  $(x \otimes y) * ys$ 
  else
     $(x \otimes y)$ 
     $*((\text{basic } (\text{make-vert-block } (\text{nat } (\text{codomain-block } x)))) \otimes ys))$ )
| $4:\text{tensor } (x * xs) (y * ys) = (x \otimes y) * (xs \otimes ys)$ )

```

## 5 Properties of tensor product of tangles

**lemma** *Nil-left-tensor*: $xs \otimes (\text{basic } ([])) = xs$   
*{proof}*

**lemma** *Nil-right-tensor*: $(\text{basic } ([])) \otimes xs = xs$   
*{proof}*

The definition of tensors is extended to diagrams by using the following function

**definition** *tensor-Tangle* :: *Tangle-Diagram*  $\Rightarrow$  *Tangle-Diagram*  $\Rightarrow$  *Tangle-Diagram*  
**(infixl**  $\langle \otimes \rangle$  65)  
**where**  
 $\text{tensor-Tangle } x y = \text{Abs-Tangle-Diagram } ((\text{Rep-Tangle-Diagram } x) \otimes (\text{Rep-Tangle-Diagram } y))$

**lemma** *tensor*  $(\text{basic } [\text{vert}]) (\text{basic } [\text{vert}]) = (\text{basic } ([\text{vert}] \otimes [\text{vert}]))$   
*{proof}*

domain\_wall of a tensor product of two walls is the sum of the domain\_wall of each of the tensor products

**lemma** *tensor-domain-wall-additivity*:  
 $\text{domain-wall } (xs \otimes ys) = \text{domain-wall } xs + \text{domain-wall } ys$   
*{proof}*

codomain of tensor of two walls is the sum of the respective codomain's is shown by the following theorem

**lemma** *tensor-codomain-wall-additivity*:  
 $\text{codomain-wall } (xs \otimes ys) = \text{codomain-wall } xs + \text{codomain-wall } ys$   
*{proof}*

**theorem** *is-tangle-make-vert-right*:

$(is\text{-}tangle\text{-}diagram\ xs)$   
 $\implies is\text{-}tangle\text{-}diagram\ (xs \otimes (basic\ (make\text{-}vert\text{-}block\ n)))$   
 $\langle proof \rangle$

**theorem** *is-tangle-make-vert-left*:  
 $(is\text{-}tangle\text{-}diagram\ xs) \implies is\text{-}tangle\text{-}diagram\ ((basic\ (make\text{-}vert\text{-}block\ n)) \otimes xs)$   
 $\langle proof \rangle$

**lemma** *simp1*:  $(codomain\text{-}block\ y) \neq 0 \implies$   
 $is\text{-}tangle\text{-}diagram\ (xs)$   
 $\wedge is\text{-}tangle\text{-}diagram\ ((basic\ (make\text{-}vert\text{-}block\ (nat\ (codomain\text{-}block\ y)))))) \longrightarrow$   
 $is\text{-}tangle\text{-}diagram\ (xs \otimes ((basic\ (make\text{-}vert\text{-}block\ (nat\ (codomain\text{-}block\ y))))))) \implies$   
 $(is\text{-}tangle\text{-}diagram\ (x * xs) \wedge is\text{-}tangle\text{-}diagram\ (basic\ y)) \longrightarrow is\text{-}tangle\text{-}diagram\ (x * xs \otimes basic\ y))$

$\langle proof \rangle$

**lemma** *simp2*:  
 $(codomain\text{-}block\ x) \neq 0$   
 $\implies$   
 $is\text{-}tangle\text{-}diagram\ (basic\ (make\text{-}vert\text{-}block\ (nat\ (codomain\text{-}block\ x)))))$   
 $\wedge is\text{-}tangle\text{-}diagram\ (ys)$   
 $\longrightarrow$   
 $is\text{-}tangle\text{-}diagram\ ((basic\ (make\text{-}vert\text{-}block\ (nat\ (codomain\text{-}block\ x)))) \otimes ys)$   
 $\implies$   
 $(is\text{-}tangle\text{-}diagram\ (basic\ x)$   
 $\wedge is\text{-}tangle\text{-}diagram\ (y * ys))$   
 $\longrightarrow is\text{-}tangle\text{-}diagram\ ((basic\ x) \otimes (y * ys)))$   
 $\langle proof \rangle$

**lemma** *simp3*:  
 $is\text{-}tangle\text{-}diagram\ xs \wedge is\text{-}tangle\text{-}diagram\ ys \longrightarrow is\text{-}tangle\text{-}diagram\ (xs \otimes ys)$   
 $\implies$   
 $is\text{-}tangle\text{-}diagram\ (x * xs) \wedge is\text{-}tangle\text{-}diagram\ (y * ys)$   
 $\longrightarrow is\text{-}tangle\text{-}diagram\ (x * xs \otimes y * ys)$   
 $\langle proof \rangle$

**theorem** *is-tangle-diagramness*:  
**shows**  $(is\text{-}tangle\text{-}diagram\ x) \wedge (is\text{-}tangle\text{-}diagram\ y) \longrightarrow is\text{-}tangle\text{-}diagram\ (tensor\ x\ y)$   
 $\langle proof \rangle$

```

theorem tensor-preserves-is-tangle:
  assumes is-tangle-diagram x
    and is-tangle-diagram y
  shows is-tangle-diagram (x  $\otimes$  y)
  ⟨proof⟩

definition Tensor-Tangle::Tangle-Diagram  $\Rightarrow$  Tangle-Diagram  $\Rightarrow$  Tangle-Diagram

  (infixl  $\diamond$  65)
  where
  Tensor-Tangle x y =
    Abs-Tangle-Diagram ((Rep-Tangle-Diagram x)  $\otimes$  (Rep-Tangle-Diagram y))

theorem well-defined-compose:
  assumes is-tangle-diagram x
    and is-tangle-diagram y
  shows (Abs-Tangle-Diagram x)  $\otimes$  (Abs-Tangle-Diagram y) = (Abs-Tangle-Diagram
  (x  $\otimes$  y))
  ⟨proof⟩

end
theory Tangle-Relation
imports Main
begin

lemma symmetry1: assumes symp R
shows  $\forall x y. (x, y) \in \{(x, y). R x y\}^* \rightarrow (y, x) \in \{(x, y). R x y\}^*$ 
⟨proof⟩

lemma symmetry2: assumes  $\forall x y. (x, y) \in \{(x, y). R x y\}^* \rightarrow (y, x) \in \{(x,$ 
 $y). R x y\}^*$ 
shows symp R  $\widehat{**}$ 
⟨proof⟩

lemma symmetry3: assumes symp R shows symp R  $\widehat{**}$  ⟨proof⟩

lemma symm-trans: assumes symp R shows symp R  $\widehat{++}$  ⟨proof⟩

end

```

## 6 Tangle\_Moves: Defining moves on tangles

```

theory Tangle-Moves
imports Tangles Tangle-Algebra Tangle-Relation

```

**begin**

Two Links diagrams represent the same link if and only if the diagrams can be related by a set of moves called the reidemeister moves. For links defined through Tangles, addition set of moves are needed to account for different tangle representations of the same link diagram.

We formalise these 'moves' in terms of relations. Each move is defined as a relation on diagrams. Two diagrams are then stated to be equivalent if the reflexive-symmetric-transitive closure of the disjunction of above relations holds true. A Link is defined as an element of the quotient type of diagrams modulo equivalence relations. We formalise the definition of framed links on similar lines.

In terms of formalising the moves, there is a trade off between choosing a small number of moves from which all other moves can be obtained, which is conducive to probe invariance of a function on diagrams. However, such an approach might not be conducive to establish equivalence of two diagrams. We opt for the former approach of minimising the number of tangle moves. However, the moves that would be useful in practise are proved as theorems in

**type-synonym**  $relation = wall \Rightarrow wall \Rightarrow bool$

Link uncross

**abbreviation**  $right-over::wall$

**where**

$right-over \equiv ((basic [vert,cup]) \circ (basic [over,vert])) \circ (basic [vert,cap]))$

**abbreviation**  $left-over::wall$

**where**

$left-over \equiv ((basic (cup\#vert\#\[])) \circ (basic (vert\#over\#\[])) \circ (basic (cap\#vert\#\[])))$

**abbreviation**  $right-under::wall$

**where**

$right-under \equiv ((basic (vert\#cup\#\[])) \circ (basic (under\#vert\#\[])) \circ (basic (vert\#cap\#\[])))$

**abbreviation**  $left-under::wall$

**where**

$left-under \equiv ((basic (cup\#vert\#\[])) \circ (basic (vert\#under\#\[])) \circ (basic (cap\#vert\#\[])))$

**abbreviation**  $straight-line::wall$

**where**

$straight-line \equiv (basic (vert\#\[])) \circ (basic (vert\#\[])) \circ (basic (vert\#\[]))$

```

definition uncross-positive-flip::relation
where
uncross-positive-flip x y ≡ ((x = right-over) ∧ (y = left-over))

definition uncross-positive-straighten::relation
where
uncross-positive-straighten x y ≡ ((x = right-over) ∧ (y = straight-line))

definition uncross-negative-flip::relation
where
uncross-negative-flip x y ≡ ((x = right-under) ∧ (y = left-under))

definition uncross-negative-straighten::relation
where
uncross-negative-straighten x y ≡ ((x = left-under) ∧ (y = straight-line))

definition uncross
where
uncross x y ≡ ((uncross-positive-straighten x y) ∨ (uncross-positive-flip x y)
                ∨ (uncross-negative-straighten x y) ∨ (uncross-negative-flip x y))

swing begins

abbreviation r-over-braid::wall
where
r-over-braid ≡ ((basic ((over#vert#[])) ∘ (basic ((vert#over#[])))
                  ∘ (basic (over# vert#[])))))

abbreviation l-over-braid::wall
where
l-over-braid ≡ (basic (vert#over#[])) ∘ (basic (over#vert#[]))
                  ∘ (basic (vert#over#[])))

abbreviation r-under-braid::wall
where
r-under-braid ≡ ((basic ((under#vert#[])) ∘ (basic ((vert#under#[])))
                  ∘ (basic (under# vert#[])))))

abbreviation l-under-braid::wall
where
l-under-braid ≡ (basic (vert#under#[])) ∘ (basic (under#vert#[]))
                  ∘ (basic (vert#under#[])))

definition swing-pos::wall ⇒ wall ⇒ bool
where

```

```

swing-pos  $x$   $y \equiv (x = r\text{-over-braid}) \wedge (y = l\text{-over-braid})$ 

definition swing-neg::wall  $\Rightarrow$  wall  $\Rightarrow$  bool
where
swing-neg  $x$   $y \equiv (x = r\text{-under-braid}) \wedge (y = l\text{-under-braid})$ 

definition swing::relation
where
swing  $x$   $y \equiv (\text{swing-pos } x \ y) \vee (\text{swing-neg } x \ y)$ 

pull begins

definition pull-posneg::relation
where
pull-posneg  $x$   $y \equiv ((x = ((\text{basic} (\text{over}\#[])) \circ (\text{basic} (\text{under}\#[]))))$ 
 $\wedge (y = ((\text{basic} (\text{vert}\#\text{vert}\#[])) \circ (\text{basic} ((\text{vert}\#\text{vert}\#[]))))))$ 

definition pull-negpos::relation
where
pull-negpos  $x$   $y \equiv ((x = ((\text{basic} (\text{under}\#[])) \circ (\text{basic} (\text{over}\#[]))))$ 
 $\wedge (y = ((\text{basic} (\text{vert}\#\text{vert}\#[])) \circ (\text{basic} ((\text{vert}\#\text{vert}\#[]))))))$ 

pull definition

definition pull::relation
where
pull  $x$   $y \equiv ((\text{pull-posneg } x \ y) \vee (\text{pull-negpos } x \ y))$ 

linkrel-pull ends

linkrel-straighten

definition straighten-topdown::relation
where
straighten-topdown  $x$   $y \equiv ((x = ((\text{basic} ((\text{vert}\#\text{cup}\#[])) \circ (\text{basic} ((\text{cap}\#\text{vert}\#[]))))))$ 
 $\wedge (y = ((\text{basic} (\text{vert}\#[])) \circ (\text{basic} (\text{vert}\#[]))))))$ 

definition straighten-downtop::relation
where
straighten-downtop  $x$   $y \equiv ((x = ((\text{basic} ((\text{cup}\#\text{vert}\#[])) \circ (\text{basic} ((\text{vert}\#\text{cap}\#[]))))))$ 
 $\wedge (y = ((\text{basic} (\text{vert}\#[])) \circ (\text{basic} (\text{vert}\#[]))))))$ 

definition straighten

definition straighten::relation
where

```

*straighten*  $x$   $y \equiv ((\text{straighten-topdown } x \ y) \vee (\text{straighten-downtop } x \ y))$

straighten ends

rotate moves

**definition** *rotate-toppos::relation*

**where**

$$\begin{aligned} \text{rotate-toppos } x \ y \equiv & ((x = ((\text{basic } ((\text{vert} \ # \text{over} \ # \emptyset)))) \\ & \circ (\text{basic } ((\text{cap} \ # \ \text{vert} \ # \emptyset)))))) \\ & \wedge (y = ((\text{basic } ((\text{under} \ # \text{vert} \ # \emptyset))) \\ & \circ (\text{basic } ((\text{vert} \ # \text{cap} \ # \emptyset)))))) \end{aligned}$$

**definition** *rotate-topneg::wall  $\Rightarrow$  wall  $\Rightarrow$  bool*

**where**

$$\begin{aligned} \text{rotate-topneg } x \ y \equiv & ((x = ((\text{basic } ((\text{vert} \ # \text{under} \ # \emptyset)))) \\ & \circ (\text{basic } ((\text{cap} \ # \ \text{vert} \ # \emptyset)))))) \\ & \wedge (y = ((\text{basic } ((\text{over} \ # \text{vert} \ # \emptyset))) \\ & \circ (\text{basic } ((\text{vert} \ # \text{cap} \ # \emptyset)))))) \end{aligned}$$

**definition** *rotate-downpos::wall  $\Rightarrow$  wall  $\Rightarrow$  bool*

**where**

$$\begin{aligned} \text{rotate-downpos } x \ y \equiv & ((x = ((\text{basic } ((\text{cup} \ # \text{vert} \ # \emptyset)))) \\ & \circ (\text{basic } ((\text{vert} \ # \text{over} \ # \emptyset)))))) \\ & \wedge (y = ((\text{basic } ((\text{vert} \ # \text{cup} \ # \emptyset))) \\ & \circ (\text{basic } ((\text{under} \ # \text{vert} \ # \emptyset)))))) \end{aligned}$$

**definition** *rotate-downneg::wall  $\Rightarrow$  wall  $\Rightarrow$  bool*

**where**

$$\begin{aligned} \text{rotate-downneg } x \ y \equiv & ((x = ((\text{basic } ((\text{cup} \ # \text{vert} \ # \emptyset)))) \\ & \circ (\text{basic } ((\text{vert} \ # \text{under} \ # \emptyset)))))) \\ & \wedge (y = ((\text{basic } ((\text{vert} \ # \text{cup} \ # \emptyset))) \\ & \circ (\text{basic } ((\text{over} \ # \text{vert} \ # \emptyset)))))) \end{aligned}$$

rotate definition

**definition** *rotate::wall  $\Rightarrow$  wall  $\Rightarrow$  bool*

**where**

$$\begin{aligned} \text{rotate } x \ y \equiv & ((\text{rotate-toppos } x \ y) \vee (\text{rotate-topneg } x \ y)) \\ & \vee (\text{rotate-downpos } x \ y) \vee (\text{rotate-downneg } x \ y)) \end{aligned}$$

rotate ends

Compress - Compress has two levels of equivalences. It is a composition of Compress-null, compbelow and compabove. compbelow and compabove are further written as disjunction of many other relations. Compbelow refers to when the bottom row is extended or compressed. Compabove refers to when the row above is extended or compressed

```

definition compress-top1::wall  $\Rightarrow$  wall  $\Rightarrow$  bool
where
compress-top1 x y  $\equiv$   $\exists B.((x = (\text{basic}(\text{make-vert-block}(\text{nat}(\text{domain-wall } B)))) \circ$ 
 $B)$ 
 $\wedge(y = B) \wedge(\text{codomain-wall } B \neq 0)$ 
 $\wedge(\text{is-tangle-diagram } B))$ 

definition compress-bottom1::wall  $\Rightarrow$  wall  $\Rightarrow$  bool
where
compress-bottom1 x y  $\equiv$   $\exists B.((x = B \circ (\text{basic}(\text{make-vert-block}(\text{nat}(\text{codomain-wall } B)))) \circ$ 
 $B)))$ 
 $\wedge(y = B) \wedge(\text{domain-wall } B \neq 0)$ 
 $\wedge(\text{is-tangle-diagram } B))$ 

definition compress-bottom::wall  $\Rightarrow$  wall  $\Rightarrow$  bool
where
compress-bottom x y  $\equiv$   $\exists B.((x = B \circ (\text{basic}(\text{make-vert-block}(\text{nat}(\text{codomain-wall } B)))) \circ$ 
 $B)))$ 
 $\wedge(y = ((\text{basic}([]) \circ B))) \wedge(\text{domain-wall } B = 0)$ 
 $\wedge(\text{is-tangle-diagram } B))$ 

definition compress-top::wall  $\Rightarrow$  wall  $\Rightarrow$  bool
where
compress-top x y  $\equiv$   $\exists B.((x = (\text{basic}(\text{make-vert-block}(\text{nat}(\text{domain-wall } B)))) \circ$ 
 $B)$ 
 $\wedge(y = (B \circ (\text{basic}([])))) \wedge(\text{codomain-wall } B = 0)$ 
 $\wedge(\text{is-tangle-diagram } B))$ 

```

```

definition compress::wall  $\Rightarrow$  wall  $\Rightarrow$  bool
where
compress x y = ((compress-top x y)  $\vee$  (compress-bottom x y))

```

slide relation refer to the relation where a crossing is slided over a vertical strand

```

definition slide::wall  $\Rightarrow$  wall  $\Rightarrow$  bool
where
slide x y  $\equiv$   $\exists B.((x = ((\text{basic}(\text{make-vert-block}(\text{nat}(\text{domain-block } B)))) \circ (\text{basic} B)))$ 
 $\wedge(y = ((\text{basic } B) \circ (\text{basic}(\text{make-vert-block}(\text{nat}(\text{codomain-block } B)))))))$ 
 $\wedge((\text{domain-block } B) \neq 0))$ 

```

linkrel-definition

```

definition linkrel::wall  $\Rightarrow$  wall  $\Rightarrow$  bool
where
linkrel x y = ((uncross x y)  $\vee$  (pull x y)  $\vee$  (straighten x y)
 $\vee$  (swing x y)  $\vee$  (rotate x y)  $\vee$  (compress x y)  $\vee$  (slide x y))

```

```

definition framed-uncross::wall  $\Rightarrow$  wall  $\Rightarrow$  bool
where
framed-uncross x y  $\equiv$  ((uncross-positive-flip x y)  $\vee$  (uncross-negative-flip x y))

definition framed-linkrel::wall  $\Rightarrow$  wall  $\Rightarrow$  bool
where
framed-linkrel x y = ((framed-uncross x y)  $\vee$  (pull x y)  $\vee$  (straighten x y)
 $\vee$  (swing x y)  $\vee$  (rotate x y)  $\vee$  (compress x y)  $\vee$  (slide x y))

lemma framed-uncross-implies-uncross: (framed-uncross x y)  $\Rightarrow$  (uncross x y)
⟨proof⟩

end

```

## 7 Link\_Algebra: Defining equivalence of tangles and links

```

theory Link-Algebra
imports Tangles Tangle-Algebra Tangle-Moves
begin

inductive Tangle-Equivalence :: wall  $\Rightarrow$  wall  $\Rightarrow$  bool (infixl  $\sim$  64)
where
refl [intro!, Pure.intro!, simp]: a  $\sim$  a
| equality [Pure.intro]: linkrel a b  $\Rightarrow$  a  $\sim$  b
| domain-compose:(domain-wall a = 0)  $\wedge$  (is-tangle-diagram a)  $\Rightarrow$  a  $\sim$  ((basic [])
 $\circ$  a)
| codomain-compose:(codomain-wall a = 0)  $\wedge$  (is-tangle-diagram a)  $\Rightarrow$  a  $\sim$  (a  $\circ$  (basic []))
| compose-eq:((B::wall)  $\sim$  D)  $\wedge$  ((A::wall)  $\sim$  C)
 $\wedge$  (is-tangle-diagram A)  $\wedge$  (is-tangle-diagram B)
 $\wedge$  (is-tangle-diagram C)  $\wedge$  (is-tangle-diagram D)
 $\wedge$  (domain-wall B) = (codomain-wall A)
 $\wedge$  (domain-wall D) = (codomain-wall C)
 $\Rightarrow$  ((A::wall)  $\circ$  B)  $\sim$  (C  $\circ$  D)
| trans: A  $\sim$  B  $\Rightarrow$  B  $\sim$  C  $\Rightarrow$  A  $\sim$  C
| sym: A  $\sim$  B  $\Rightarrow$  B  $\sim$  A
| tensor-eq: ((B::wall)  $\sim$  D)  $\wedge$  ((A::wall)  $\sim$  C)  $\wedge$  (is-tangle-diagram A)  $\wedge$  (is-tangle-diagram B)
 $\wedge$  (is-tangle-diagram C)  $\wedge$  (is-tangle-diagram D)  $\Rightarrow$  ((A::wall)  $\otimes$  B)  $\sim$  (C  $\otimes$  D)

inductive Framed-Tangle-Equivalence :: wall  $\Rightarrow$  wall  $\Rightarrow$  bool (infixl  $\sim_f$  64)
where

```

```

refl [intro!, Pure.intro!, simp]: a ~f a
|equality [Pure.intro]: framed-linkrel a b ==> a ~f b
|domain-compose:(domain-wall a = 0) ∧ (is-tangle-diagram a) ==> a ~f ((basic
[])○a)
|codomain-compose:(codomain-wall a = 0) ∧ (is-tangle-diagram a) ==> a ~f (a ○
(basic []))
|compose-eq:((B::wall) ~f D) ∧ ((A::wall) ~f C)
    ∧(is-tangle-diagram A) ∧(is-tangle-diagram B)
    ∧(is-tangle-diagram C) ∧(is-tangle-diagram D)
    ∧(domain-wall B)= (codomain-wall A)
    ∧(domain-wall D)= (codomain-wall C)
    ==>((A::wall) ○ B) ~f (C ○ D)
|trans: A ~f B ==> B ~f C ==> A ~f C
|sym: A ~f B ==> B ~f A
|tensor-eq: ((B::wall) ~f D) ∧ ((A::wall) ~f C) ∧(is-tangle-diagram A) ∧(is-tangle-diagram
B)
    ∧(is-tangle-diagram C) ∧(is-tangle-diagram D) ==> ((A::wall) ⊗ B) ~f (C ⊗ D)

```

**definition** Tangle-Diagram-Equivalence::Tangle-Diagram  $\Rightarrow$  Tangle-Diagram  $\Rightarrow$

bool

(infixl  $\sim T$  64)

**where**

Tangle-Diagram-Equivalence T1 T2  $\equiv$

(Rep-Tangle-Diagram T1)  $\sim$  (Rep-Tangle-Diagram T2)

**definition** Link-Diagram-Equivalence::Link-Diagram  $\Rightarrow$  Link-Diagram  $\Rightarrow$  bool

(infixl  $\sim L$  64)

**where**

Link-Diagram-Equivalence T1 T2  $\equiv$  (Rep-Link-Diagram T1)  $\sim$  (Rep-Link-Diagram T2)

**quotient-type** Tangle = Tangle-Diagram / Tangle-Diagram-Equivalence

**morphisms** Rep-Tangles Abs-Tangles

$\langle proof \rangle$

**quotient-type** Link = Link-Diagram / Link-Diagram-Equivalence

**morphisms** Rep-Links Abs-Links

$\langle proof \rangle$

**definition** Framed-Tangle-Diagram-Equivalence::Tangle-Diagram  $\Rightarrow$  Tangle-Diagram

$\Rightarrow$  bool

(infixl  $\sim T$  64)

**where**

Framed-Tangle-Diagram-Equivalence T1 T2

$\equiv$  (Rep-Tangle-Diagram T1)  $\sim$  (Rep-Tangle-Diagram T2)

**definition** Framed-Link-Diagram-Equivalence::Link-Diagram  $\Rightarrow$  Link-Diagram  $\Rightarrow$

```

bool
(infixl  $\sim L$  64)
where
Framed-Link-Diagram-Equivalence T1 T2
 $\equiv$  (Rep-Link-Diagram T1)  $\sim$  (Rep-Link-Diagram T2)

quotient-type Framed-Tangle = Tangle-Diagram
/Framed-Tangle-Diagram-Equivalence
morphisms Rep-Framed-Tangles Abs-Framed-Tangles
⟨proof⟩

quotient-type Framed-Link = Link-Diagram/Framed-Link-Diagram-Equivalence
morphisms Rep-Framed-Links Abs-Framed-Links
⟨proof⟩

end

```

## 8 Showing equivalence of links: An example

```

theory Example
imports Link-Algebra
begin

```

We prove that a link diagram with a single crossing is equivalent to the unknot

```

lemma transitive: assumes a $\sim$ b and b $\sim$ c shows a $\sim$ c
⟨proof⟩

```

```

lemma prelim-cup-compress:
((basic (cup#[]))  $\circ$  (basic (vert # vert # [])))  $\sim$ 
((basic [])  $\circ$  (basic (cup#[])))
⟨proof⟩

```

```

lemma cup-compress:
(basic (cup#[]))  $\circ$  (basic (vert # vert # []))  $\sim$  (basic (cup#[]))
⟨proof⟩

```

```

abbreviation x::wall
where
x  $\equiv$  (basic [cup,cup])  $\circ$  (basic [vert,over,vert])  $\circ$  (basic [cap,cap])

```

```

abbreviation y::wall
where
y  $\equiv$  (basic [cup])  $\circ$  (basic [cap])

```

```

lemma uncross-straighten-left-over:left-over  $\sim$  straight-line
⟨proof⟩

```

**theorem** *Example:*

$x \sim y$   
 $\langle proof \rangle$

**end**

## 9 Kauffman Matrix and Kauffman Bracket- Definitions and Properties

```
theory Kauffman-Matrix
imports
  Matrix-Tensor.Matrix-Tensor
  Link-Algebra
  HOL-Computational-Algebra.Polynomial
  HOL-Computational-Algebra.Fraction-Field
begin
```

## 10 Rational Functions

`intpoly` is the type of integer polynomials

**type-synonym** `intpoly = int poly`

**lemma** `eval-pCons: poly (pCons 0 1) x = x`  
 $\langle proof \rangle$

**lemma** `pCons2: (pCons 0 1) ≠ (1::int poly)`  
 $\langle proof \rangle$

**definition** `var-def: x = (pCons 0 1)`

**lemma** `non-zero:x ≠ 0`  
 $\langle proof \rangle$

`rat_poly` is the fraction field of integer polynomials. In other words, it is the type of rational functions

**type-synonym** `rat-poly = intpoly fract`

`A` is defined to be  $x/1$ , while `B` is defined to be  $1/x$

**definition** `var-def1:A = Fract x 1`

**definition** `var-def2: B = Fract 1 x`

**lemma** assumes  $b \neq 0$  and  $d \neq 0$   
**shows**  $\text{Fract } a \ b = \text{Fract } c \ d \longleftrightarrow a * d = c * b$   
 $\langle proof \rangle$

**lemma**  $A\text{-non-zero}:A \neq (0:\text{rat-poly})$   
 $\langle proof \rangle$

**lemma**  $\text{mult-inv-non-zero}:$   
**assumes**  $(p:\text{rat-poly}) \neq 0$   
**and**  $p*q = (1:\text{rat-poly})$   
**shows**  $q \neq 0$   
 $\langle proof \rangle$

**abbreviation**  $\text{rat-poly-times}:\text{rat-poly} \Rightarrow \text{rat-poly} \Rightarrow \text{rat-poly}$   
**where**  
 $\text{rat-poly-times } p \ q \equiv p*q$

**abbreviation**  $\text{rat-poly-plus}:\text{rat-poly} \Rightarrow \text{rat-poly} \Rightarrow \text{rat-poly}$   
**where**  
 $\text{rat-poly-plus } p \ q \equiv p+q$

**abbreviation**  $\text{rat-poly-inv}:\text{rat-poly} \Rightarrow \text{rat-poly}$   
**where**  
 $\text{rat-poly-inv } p \equiv (- p)$

**interpretation**  $\text{rat-poly:semiring-0}$   $\text{rat-poly-plus } 0$   $\text{rat-poly-times}$   
 $\langle proof \rangle$

**interpretation**  $\text{rat-poly:semiring-1 }$   $1$   $\text{rat-poly-times}$   $\text{rat-poly-plus } 0$   
 $\langle proof \rangle$

**lemma**  $\text{mat1-equiv:mat1 } (1:\text{nat}) = [[(1:\text{rat-poly})]]$   
 $\langle proof \rangle$

rat\_poly is an interpretation of the locale plus\_mult

**interpretation**  $\text{rat-poly:plus-mult } 1$   $\text{rat-poly-times } 0$   $\text{rat-poly-plus}$   
 $\text{rat-poly-inv}$   
 $\langle proof \rangle$

**lemma**  $\text{rat-poly.matrix-mult } [[A, 1], [0, A]] [[A, 0], [0, A]] = [[A*A, A], [0, A*A]]$   
 $\langle proof \rangle$

**abbreviation**

*rat-polymat-tensor*::*rat-poly mat*  $\Rightarrow$  *rat-poly mat*  $\Rightarrow$  *rat-poly mat*  
(**infixl**  $\langle\otimes\rangle$  65)

**where**

*rat-polymat-tensor p q*  $\equiv$  *rat-poly.Tensor p q*

**lemma assumes** (*j::nat*) *div a = i div a*  
and *j mod a = i mod a*  
**shows** *j = i*  
 $\langle proof \rangle$

**lemma** [[1]]  $\otimes M = M$   
 $\langle proof \rangle$

**lemma**  $M \otimes [[1]] = M$   
 $\langle proof \rangle$

## 11 Kauffman matrices

We assign every brick to a matrix of rational polynomials

**primrec** *brickmat*::*brick*  $\Rightarrow$  *rat-poly mat*

**where**

*brickmat vert* = [[1,0],[0,1]]  
| *brickmat cup* = [[0],[A],[-B],[0]]  
| *brickmat cap* = [[0,-A,B,0]]  
| *brickmat over* = [[A,0,0,0],  
[0,0,B,0],  
[0,B,A-(B\*B\*B),0],  
[0,0,0,A]]  
| *brickmat under* = [[B,0,0,0],  
[0,B-(A\*A\*A),A,0],  
[0,A,0,0],  
[0,0,0,B]]

**lemma** *inverse1:rat-poly-times A B = 1*  
 $\langle proof \rangle$

**lemma** *inverse2:rat-poly-times B A = 1*  
 $\langle proof \rangle$

**lemma** *B-non-zero:B ≠ 0*  
 $\langle proof \rangle$

**lemma** *rat-poly-times p (q + r)*  
 $= (\text{rat-poly-times } p \ q) + (\text{rat-poly-times } p \ r)$   
 $\langle proof \rangle$

**lemma** *minus-left-distributivity*:

$$\begin{aligned} \text{rat-poly-times } p (q - r) &= (\text{rat-poly-times } p q) - (\text{rat-poly-times } p r) \\ \langle\text{proof}\rangle \end{aligned}$$

**lemma** *minus-right-distributivity*:

$$\begin{aligned} \text{rat-poly-times } (p - q) r &= (\text{rat-poly-times } p r) - (\text{rat-poly-times } q r) \\ \langle\text{proof}\rangle \end{aligned}$$

**lemma** *equation*:

$$\begin{aligned} \text{rat-poly-plus} \\ (\text{rat-poly-times } B (B - \text{rat-poly-times } (\text{rat-poly-times } A A) A)) \\ (\text{rat-poly-times } (A - \text{rat-poly-times } (\text{rat-poly-times } B B) B) A) \\ = 0 \\ \langle\text{proof}\rangle \end{aligned}$$

**lemma** *rat-poly.matrix-mult* (*brickmat over*) (*brickmat under*)  
 $= [[1,0,0,0],[0,1,0,0],[0,0,1,0],[0,0,0,1]]$   
 $\langle\text{proof}\rangle$

**lemma** *rat-poly-inv*  $A = -A$   
 $\langle\text{proof}\rangle$

**lemma** *vert-dim:rat-poly.row-length* (*brickmat vert*)  $= 2 \wedge \text{length } (\text{brickmat vert})$   
 $= 2$   
 $\langle\text{proof}\rangle$

**lemma** *cup-dim:rat-poly.row-length* (*brickmat cup*)  $= 1$  **and** *length* (*brickmat cup*)  
 $= 4$   
 $\langle\text{proof}\rangle$

**lemma** *cap-dim:rat-poly.row-length* (*brickmat cap*)  $= 4$  **and** *length* (*brickmat cap*)  
 $= 1$   
 $\langle\text{proof}\rangle$

**lemma** *over-dim:rat-poly.row-length* (*brickmat over*)  $= 4$  **and** *length* (*brickmat over*)  
 $= 4$   
 $\langle\text{proof}\rangle$

**lemma** *under-dim:rat-poly.row-length* (*brickmat under*)  $= 4$  **and** *length* (*brickmat under*)  
 $= 4$   
 $\langle\text{proof}\rangle$

**lemma** *mat-vert:mat 2 2* (*brickmat vert*)  
 $\langle\text{proof}\rangle$

**lemma** *mat-cup:mat 1 4* (*brickmat cup*)  
 $\langle\text{proof}\rangle$

**lemma** *mat-cap:mat 4 1* (*brickmat cap*)  
 $\langle\text{proof}\rangle$

**lemma** *mat-over:mat 4 4* (*brickmat over*)  
 $\langle\text{proof}\rangle$

**lemma** *mat-under:mat 4 4* (*brickmat under*)

$\langle proof \rangle$

**primrec** *rowlength*::*nat*  $\Rightarrow$  *nat*  
**where**

*rowlength* 0 = 1  
| *rowlength* (*Suc k*) = 2\*(*Suc k*)

**lemma** (*rat-poly.row-length* (*brickmat d*)) =  $(2^{\wedge}(\text{nat } (\text{domain } d)))$   
 $\langle proof \rangle$

**lemma** *rat-poly.row-length* (*brickmat cup*) = 1  
 $\langle proof \rangle$

**lemma** *two*:(*Suc (Suc 0)*) = 2  
 $\langle proof \rangle$

we assign every block to a matrix of rational function as follows

**primrec** *blockmat*::*block*  $\Rightarrow$  *rat-poly mat*

**where**

*blockmat* [] = [[1]]  
| *blockmat* (*l#ls*) = (*brickmat l*)  $\otimes$  (*blockmat ls*)

**lemma** *blockmat [a]* = *brickmat a*  
 $\langle proof \rangle$

**lemma** *nat-sum*:  
**assumes** *a*  $\geq$  0 **and** *b*  $\geq$  0  
**shows** *nat (a+b)* = (*nat a*) + (*nat b*)  
 $\langle proof \rangle$

**lemma** *rat-poly.row-length* (*blockmat ls*) =  $(2^{\wedge}(\text{nat } ((\text{domain-block } ls))))$   
 $\langle proof \rangle$

**lemma** *row-length-domain-block*:  
*rat-poly.row-length* (*blockmat ls*) =  $(2^{\wedge}(\text{nat } ((\text{domain-block } ls))))$   
 $\langle proof \rangle$

**lemma** *length-codomain-block:length* (*blockmat ls*)  
=  $(2^{\wedge}(\text{nat } ((\text{codomain-block } ls))))$   
 $\langle proof \rangle$

**lemma** *matrix-blockmat*:  
*mat*  
(*rat-poly.row-length* (*blockmat ls*))  
(*length* (*blockmat ls*)))

(blockmat ls)  
 $\langle proof \rangle$

The function kauff\_mat below associates every wall to a matrix. We call this the Kauffman matrix. When the wall represents a well defined tangle diagram, the Kauffman matrix is a  $1 \times 1$  matrix whose entry is the Kauffman bracket.

```
primrec kauff-mat::wall  $\Rightarrow$  rat-poly mat
where
kauff-mat (basic w) = (blockmat w)
| kauff-mat (w*ws) = rat-poly.matrix-mult (blockmat w) (kauff-mat ws)
```

The following theorem tells us that if a wall represents a tangle diagram, then its Kauffman matrix is a ‘valid’ matrix.

```
theorem matrix-kauff-mat:
((is-tangle-diagram ws)
 $\implies$  (rat-poly.row-length (kauff-mat ws)) = 2^(nat (domain-wall ws))
 $\wedge$  (length (kauff-mat ws)) = 2^(nat (codomain-wall ws))
 $\wedge$  (mat
  (rat-poly.row-length (kauff-mat ws))
  (length (kauff-mat ws))
  (kauff-mat ws)))
 $\langle proof \rangle$ 
```

```
theorem effective-matrix-kauff-mat:
assumes is-tangle-diagram ws
shows (rat-poly.row-length (kauff-mat ws)) = 2^(nat (domain-wall ws))
and length (kauff-mat ws) = 2^(nat (codomain-wall ws))
and mat (rat-poly.row-length (kauff-mat ws)) (length (kauff-mat ws))
  (kauff-mat ws)
 $\langle proof \rangle$ 
```

```
lemma mat-mult-equiv:
rat-poly.matrix-mult m1 m2 = mat-mult (rat-poly.row-length m1) m1 m2
 $\langle proof \rangle$ 
```

```
theorem associative-rat-poly-mat:
assumes mat (rat-poly.row-length m1) (rat-poly.row-length m2) m1
and mat (rat-poly.row-length m2) (rat-poly.row-length m3) m2
and mat (rat-poly.row-length m3) nc m3
shows rat-poly.matrix-mult m1 (rat-poly.matrix-mult m2 m3)
  = rat-poly.matrix-mult (rat-poly.matrix-mult m1 m2) m3
 $\langle proof \rangle$ 
```

It follows from this result that the Kauffman Matrix of a wall representing a link diagram, is a  $1 \times 1$  matrix. Thus it establishes a correspondence between links and rational functions.

```

theorem link-diagram-matrix:
  assumes is-link-diagram ws
  shows mat 1 1 (kauff-mat ws)
  ⟨proof⟩

theorem tangle-compose-matrix:
  ((is-tangle-diagram ws1) ∧ (is-tangle-diagram ws2)
  ∧ (domain-wall ws2 = codomain-wall ws1)) ⇒
  kauff-mat (ws1 ∘ ws2) = rat-poly.matrix-mult (kauff-mat ws1) (kauff-mat ws2)
  ⟨proof⟩

theorem left-mat-compose:
  assumes is-tangle-diagram ws
    and codomain-wall ws = 0
  shows kauff-mat ws = (kauff-mat (ws ∘ (basic [])))
  ⟨proof⟩

theorem right-mat-compose:
  assumes is-tangle-diagram ws and domain-wall ws = 0
    shows kauff-mat ws = (kauff-mat ((basic []) ∘ ws))
  ⟨proof⟩

lemma left-id-blockmat: blockmat [] ⊗ blockmat b = blockmat b
  ⟨proof⟩

lemma tens-assoc:
  ∀ a xs ys.(brickmat a ⊗ (blockmat xs ⊗ blockmat ys)
  = (brickmat a ⊗ blockmat xs) ⊗ blockmat ys)
  ⟨proof⟩

lemma kauff-mat-tensor-distrib:
  ∀ xs.∀ ys.(kauff-mat (basic xs ⊗ basic ys)
  = kauff-mat (basic xs) ⊗ kauff-mat (basic ys))
  ⟨proof⟩

lemma blockmat-tensor-distrib:
  (blockmat (a ⊗ b)) = (blockmat a) ⊗ (blockmat b)
  ⟨proof⟩

lemma blockmat-non-empty: ∀ bs.(blockmat bs ≠ [])
  ⟨proof⟩

```

The kauffman matrix of a wall representing a tangle diagram is non empty

```

lemma kauff-mat-non-empty:
  fixes ws
  assumes is-tangle-diagram ws
  shows kauff-mat ws ≠ []

```

$\langle proof \rangle$

```
lemma is-tangle-diagram-length-rowlength:
  assumes is-tangle-diagram (w*ws)
  shows length (blockmat w) = rat-poly.row-length (kauff-mat ws)
  ⟨proof⟩
```

```
lemma is-tangle-diagram-matrix-match:
  assumes is-tangle-diagram (w1*ws1)
    and is-tangle-diagram (w2*ws2)
  shows rat-poly.matrix-match (blockmat w1)
    (kauff-mat ws1) (blockmat w2) (kauff-mat ws2)
  ⟨proof⟩
```

The following function constructs a  $2^n \times 2^n$  identity matrix for a given  $n$

```
primrec make-vert-equiv::nat ⇒ rat-poly mat
where
make-vert-equiv 0 = [[1]]
|make-vert-equiv (Suc k) = ((mat1 2) ⊗ (make-vert-equiv k))
```

```
lemma mve1:make-vert-equiv 1 = (mat1 2)
⟨proof⟩
```

```
lemma
assumes i<2 and j<2
shows (make-vert-equiv 1)!i!j = (if i = j then 1 else 0)
⟨proof⟩
```

```
lemma mat1-vert-equiv:(mat1 2) = (brickmat vert) (is ?l = ?r)
⟨proof⟩
```

```
lemma blockmat-make-vert:
blockmat (make-vert-block n) = (make-vert-equiv n)
⟨proof⟩
```

```
lemma prop-make-vert-equiv:
shows rat-poly.row-length (make-vert-equiv n) = 2^n
and length (make-vert-equiv n) = 2^n
and mat
  (rat-poly.row-length (make-vert-equiv n))
  (length (make-vert-equiv n))
  (make-vert-equiv n)
⟨proof⟩
```

```
abbreviation nat-mult::nat ⇒ nat ⇒ nat  (infixl <*n> 65)
where
nat-mult a b ≡ ((a::nat)*b)
```

```

lemma equal-div-mod:assumes (( $j$ ::nat) div  $a$ ) = ( $i$  div  $a$ )
    and ( $j$  mod  $a$ ) = ( $i$  mod  $a$ )
    shows  $j = i$ 
    ⟨proof⟩

lemma equal-div-mod2:((( $j$ ::nat) div  $a$ ) = ( $i$  div  $a$ )
     $\wedge$  (( $j$  mod  $a$ ) = ( $i$  mod  $a$ ))) = ( $j = i$ )
    ⟨proof⟩

lemma impl-rule:
assumes ( $\forall i < m. \forall j < n. (P i) \wedge (Q j)$ )
    and  $\forall i j. (P i) \wedge (Q j) \rightarrow R i j$ 
shows ( $\forall i < m. \forall j < n. R i j$ )
    ⟨proof⟩

lemma implic:
assumes  $\forall i j. ((P i j) \rightarrow (Q i j))$ 
    and  $\forall i j. ((Q i j) \rightarrow (R i j))$ 
shows  $\forall i j. ((P i j) \rightarrow (R i j))$ 
    ⟨proof⟩

lemma assumes  $a < (b*c)$ 
shows (( $a$ ::nat) div  $b$ ) <  $c$ 
    ⟨proof⟩

lemma mult-if-then:(( $v = (\text{if } P \text{ then } 1 \text{ else } 0)$ )
     $\wedge$  ( $w = (\text{if } Q \text{ then } 1 \text{ else } 0)$ ))
     $\implies$  (rat-poly-times  $v w = (\text{if } (P \wedge Q) \text{ then } 1 \text{ else } 0)$ )
    ⟨proof⟩

lemma rat-poly-unity:rat-poly-times 1 1 = 1
    ⟨proof⟩

lemma (( $P \wedge Q$ ) →  $R$ )  $\implies$  ( $P \rightarrow Q \rightarrow R$ )
    ⟨proof⟩
lemma length (mat1 2) = 2
    ⟨proof⟩

theorem make-vert-equiv-mat:
make-vert-equiv  $n = (\text{mat1 } (2^n))$ 
    ⟨proof⟩

theorem make-vert-block-map-blockmat:
blockmat (make-vert-block  $n$ ) = (mat1 ( $2^n$ ))
    ⟨proof⟩

lemma mat1-rt-mult:assumes mat nr nc m1

```

**shows** *rat-poly.matrix-mult m1 (mat1 (nc)) = m1*  
*(proof)*

**lemma** *mat1-vert-block*:

*rat-poly.matrix-mult*  

$$(blockmat b)$$
  

$$(blockmat (make-vert-block (nat (codomain-block b))))$$
  

$$= (blockmat b)$$

*(proof)*

The following list of theorems deal with distributivity properties of tensor product of matrices (with entries as rational functions) and composition

**definition** *weak-matrix-match*::

*rat-poly mat  $\Rightarrow$  rat-poly mat  $\Rightarrow$  rat-poly mat  $\Rightarrow$  bool*

**where**

*weak-matrix-match A1 A2 B1  $\equiv$  (mat (rat-poly.row-length A1) (length A1) A1)*  
 $\wedge$ (*mat (rat-poly.row-length A2) (length A2) A2*)  
 $\wedge$ (*mat (rat-poly.row-length B1) 1 B1*)  
 $\wedge$ (*A1  $\neq$  []*) $\wedge$ (*A2  $\neq$  []*) $\wedge$ (*B1  $\neq$  []*)  
 $\wedge$  (*length A1 = rat-poly.row-length A2*)

**theorem** *weak-distributivity1*:

*weak-matrix-match A1 A2 B1*  
 $\implies ((rat\text{-}poly.matrix\text{-}mult A1 A2) \otimes B1)$   
 $= (rat\text{-}poly.matrix\text{-}mult (A1 \otimes B1) (A2))$

*(proof)*

**definition** *weak-matrix-match2*::

*rat-poly mat  $\Rightarrow$  rat-poly mat  $\Rightarrow$  rat-poly mat  $\Rightarrow$  bool*

**where**

*weak-matrix-match2 A1 B1 B2  $\equiv$  (mat (rat-poly.row-length A1) 1 A1)*  
 $\wedge$ (*mat (rat-poly.row-length B1) (length B1) B1*)  
 $\wedge$ (*mat (rat-poly.row-length B2) (length B2) B2*)  
 $\wedge$ (*A1  $\neq$  []*) $\wedge$ (*B1  $\neq$  []*) $\wedge$ (*B2  $\neq$  []*)  
 $\wedge$  (*length B1 = rat-poly.row-length B2*)

**theorem** *weak-distributivity2*:

*weak-matrix-match2 A1 B1 B2*  
 $\implies (A1 \otimes (rat\text{-}poly.matrix\text{-}mult B1 B2))$   
 $= (rat\text{-}poly.matrix\text{-}mult (A1 \otimes B1) (B2))$

*(proof)*

**lemma** *is-tangle-diagram-weak-matrix-match*:

**assumes** *is-tangle-diagram (w1\*ws1)*

**and** *codomain-block w2 = 0*

**shows** *weak-matrix-match (blockmat w1) (kauff-mat ws1) (blockmat w2)*  
*(proof)*

```

lemma is-tangle-diagram-weak-matrix-match2:
  assumes is-tangle-diagram (w2*ws2)
    and codomain-block w1 = 0
  shows weak-matrix-match2 (blockmat w1) (blockmat w2) (kauff-mat ws2)
  ⟨proof⟩

```

```

lemma is-tangle-diagram-vert-block:
  is-tangle-diagram (b*(basic (make-vert-block (nat (codomain-block b)))))
  ⟨proof⟩

```

The following theorem tells us that the the map *kauff\_mat* when restricted to walls representing tangles preserves the tensor product

```

theorem Tensor-Invariance:
  (is-tangle-diagram ws1)  $\wedge$  (is-tangle-diagram ws2)
   $\implies$  (kauff-mat (ws1  $\otimes$  ws2)) = (kauff-mat ws1)  $\otimes$  (kauff-mat ws2)
  ⟨proof⟩

```

**end**

## 12 Computations: This section can be skipped

```

theory Computations
imports Kauffman-Matrix
begin

```

```

lemma unlink-computation:
  rat-poly-plus (rat-poly-times (rat-poly-times A A) (rat-poly-times A A))
  (rat-poly-plus
    (rat-poly-times 2 (rat-poly-times A (rat-poly-times A (rat-poly-times B B))))
    (rat-poly-times (rat-poly-times B B) (rat-poly-times B B))) =
    ((A4) + (B4) + 2)
  ⟨proof⟩

```

```

lemma computation-swingpos:
  rat-poly-plus (rat-poly-times B (rat-poly-times (A − rat-poly-times (rat-poly-times B B) B) B))
  (rat-poly-times (A − rat-poly-times (rat-poly-times B B) B))
    (rat-poly-times A (A − rat-poly-times (rat-poly-times B B) B))) =
  rat-poly-times A (rat-poly-times (A − rat-poly-times (rat-poly-times B B) B) A)
  (is ?l = ?r)
  ⟨proof⟩

```

```

lemma computation2:

```

```

rat-poly-plus (rat-poly-times A (rat-poly-times (B - rat-poly-times (rat-poly-times
A A) A) A))
  (rat-poly-times (B - rat-poly-times (rat-poly-times A A) A)
    (rat-poly-times B (B - rat-poly-times (rat-poly-times A A) A))) =
  rat-poly-times B (rat-poly-times (B - rat-poly-times (rat-poly-times A A) A) B)
(is ?l = ?r)
⟨proof⟩

lemma computation-swingneg:rat-poly-times B (rat-poly-times (B - rat-poly-times
(rat-poly-times A A) A) B) =
rat-poly-plus
  (rat-poly-times (B - rat-poly-times (rat-poly-times A A) A)
    (rat-poly-times B (B - rat-poly-times (rat-poly-times A A) A)))
  (rat-poly-times A (rat-poly-times (B - rat-poly-times (rat-poly-times A A) A)
A))
⟨proof⟩

lemma computation-toppos:rat-poly-inv (rat-poly-times (A - rat-poly-times (rat-poly-times
B B) B) A) =
rat-poly-times (B - rat-poly-times (rat-poly-times A A) A) B(is ?l = ?r)
⟨proof⟩

lemma computation-downpos-prelim:
rat-poly-inv (rat-poly-times (B - rat-poly-times (rat-poly-times A A) A) B) =
rat-poly-times (A - rat-poly-times (rat-poly-times B B) B) A(is ?l = ?r)
⟨proof⟩

lemma computation-downpos:rat-poly-times A (A - rat-poly-times (rat-poly-times
B B) B) =
rat-poly-inv (rat-poly-times B (B - rat-poly-times (rat-poly-times A A) A))
⟨proof⟩

lemma computatition-positive-flip:rat-poly-plus
  (rat-poly-inv (rat-poly-times A (rat-poly-times (A - rat-poly-times (rat-poly-times
B B) B) A))) =
  (rat-poly-inv (rat-poly-times B (rat-poly-times A B))) =
  rat-poly-inv (rat-poly-times A (rat-poly-times A A)) (is ?l = ?r)
⟨proof⟩

lemma computation-negative-flip:rat-poly-plus
  (rat-poly-inv (rat-poly-times B (rat-poly-times (B - rat-poly-times (rat-poly-times
A A) A) B))) =
  (rat-poly-inv (rat-poly-times A (rat-poly-times B A))) =
  rat-poly-inv (rat-poly-times B (rat-poly-times B B)) (is ?l = ?r)
⟨proof⟩

```

```

lemma computation-pull-pos-neg:
rat-poly-plus (rat-poly-times B (B - rat-poly-times (rat-poly-times A A) A))
  (rat-poly-times (A - rat-poly-times (rat-poly-times B B) B) A) = 0
⟨proof⟩

lemma aux1:(A - rat-poly-times (rat-poly-times B B) B)
= A - (B^3)
⟨proof⟩

lemma square-subtract:(((p::rat-poly) - (q::rat-poly))^2)
= (p^2) - (2*p*q) + (q^2)
⟨proof⟩

lemma cube-minus:∀ p q.(((p::rat-poly) - (q::rat-poly))^3)
= (p^3) - 3*(p^2)*(q) + 3*(p)*(q^2) - (q^3)
⟨proof⟩

lemma power-mult: ((p::rat-poly)^m)^n = (p)^(m*(n::nat))
⟨proof⟩

lemma cube-minus2:
fixes p q
shows (((p::rat-poly) - (q::rat-poly))^3)
= (p^3) - 3*(p^2)*(q) + 3*(p)*(q^2) - (q^3)
⟨proof⟩

lemma subst-poly:assumes a = b shows (p::rat-poly)*a = p*b
⟨proof⟩

lemma sub1:
assumes p*q = 1
shows r*(p*q) = r*1
⟨proof⟩

lemma n-distrib:(A^(n::nat))*(B^n) = (A*B)^n
⟨proof⟩

lemma rat-poly-id-pow:(1::rat-poly)^n = 1
⟨proof⟩

lemma power-prod:(A^(n::nat))*(B^n) = (1::rat-poly)
⟨proof⟩
lemma (pCons 0 1) ≠ 0
⟨proof⟩

```

end

## 13 Tangle moves and Kauffman bracket

```
theory Linkrel-Kauffman
imports Computations
begin

lemma mat1-vert-wall-left:
assumes is-tangle-diagram b
shows
rat-poly.matrix-mult (blockmat (make-vert-block (nat (domain-wall b)))) (kauff-mat
b)
= (kauff-mat b)
⟨proof⟩

lemma mat1-vert-wall-right:
assumes is-tangle-diagram b
shows
rat-poly.matrix-mult (kauff-mat b) (blockmat (make-vert-block (nat (codomain-wall
b))))
= (kauff-mat b)
⟨proof⟩

lemma compress-top-inv:(compress-top w1 w2) ==> kauff-mat w1 = kauff-mat w2
⟨proof⟩

lemma domain-make-vert-int:(n ≥ 0) ==> (domain-block (make-vert-block (nat
n)))
= n
⟨proof⟩

lemma compress-bottom-inv:(compress-bottom w1 w2) ==> kauff-mat w1 = kauff-mat
w2
⟨proof⟩

theorem compress-inv:compress w1 w2 ==> (kauff-mat w1 = kauff-mat w2)
⟨proof⟩

lemma striaghten-topdown-computation:kauff-mat ((basic ([vert,cup]))o(basic ([cap,vert])))
= kauff-mat ((basic ([vert]))o(basic ([vert])))
⟨proof⟩

theorem straighten-topdown-inv:straighten-topdown w1 w2 ==> (kauff-mat w1) =
(kauff-mat w2)
⟨proof⟩
```

**lemma** *straighten-downtop-computation:kauff-mat*  $((\text{basic } ([\text{cup}, \text{vert}])) \circ (\text{basic } ([\text{vert}, \text{cap}])))$   
 $= \text{kauff-mat } ((\text{basic } ([\text{vert}])) \circ (\text{basic } ([\text{vert}])))$   
 $\langle \text{proof} \rangle$

**theorem** *straighten-downtop-inv:straighten-downtop*  $w1 \ w2 \implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**theorem** *straighten-inv:straighten*  $w1 \ w2 \implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**lemma** *kauff-mat-swingpos:*  
 $\text{kauff-mat } (\text{r-over-braid}) = \text{kauff-mat } (\text{l-over-braid})$   
 $\langle \text{proof} \rangle$

**lemma** *swing-pos-inv:swing-pos*  $w1 \ w2 \implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**lemma** *kauff-mat-swingneg:*  
 $\text{kauff-mat } (\text{r-under-braid}) = \text{kauff-mat } (\text{l-under-braid})$   
 $\langle \text{proof} \rangle$

**lemma** *swing-neg-inv:swing-neg*  $w1 \ w2 \implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**theorem** *swing-inv:*  
 $\text{swing } w1 \ w2 \implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-toppos-kauff-mat:kauff-mat*  $((\text{basic } [\text{vert}, \text{over}]) \circ (\text{basic } [\text{cap}, \text{vert}]))$   
 $= \text{kauff-mat } ((\text{basic } [\text{under}, \text{vert}]) \circ (\text{basic } [\text{vert}, \text{cap}]))$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-toppos-inv:rotate-toppos*  $w1 \ w2 \implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-topneg-kauff-mat:kauff-mat*  $((\text{basic } [\text{vert}, \text{under}]) \circ (\text{basic } [\text{cap}, \text{vert}]))$   
 $= \text{kauff-mat } ((\text{basic } [\text{over}, \text{vert}]) \circ (\text{basic } [\text{vert}, \text{cap}]))$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-topneg-inv:rotate-topneg*  $w1 \ w2 \implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-downpos-kauff-mat*:  
 $\text{kauff-mat} ((\text{basic} [\text{cup}, \text{vert}]) \circ (\text{basic} [\text{vert}, \text{over}]))) = \text{kauff-mat} ((\text{basic} [\text{vert}, \text{cup}]) \circ (\text{basic} [\text{under}, \text{vert}]))$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-downpos-inv:rotate-downpos w1 w2*  $\implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-downneg-kauff-mat*:  
 $\text{kauff-mat} ((\text{basic} [\text{cup}, \text{vert}]) \circ (\text{basic} [\text{vert}, \text{under}]))) = \text{kauff-mat} ((\text{basic} [\text{vert}, \text{cup}]) \circ (\text{basic} [\text{over}, \text{vert}]))$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-downneg-inv:rotate-downneg w1 w2*  $\implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**theorem** *rotate-inv:rotate w1 w2*  $\implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**lemma** *positive-flip-kauff-mat*:  
 $\text{kauff-mat} (\text{left-over}) = \text{kauff-mat} (\text{right-over})$   
 $\langle \text{proof} \rangle$

**lemma** *uncross-positive-flip-inv: uncross-positive-flip w1 w2*  $\implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**lemma** *negative-flip-kauff-mat*:  $\text{kauff-mat} (\text{left-under}) = \text{kauff-mat} (\text{right-under})$   
 $\langle \text{proof} \rangle$

**lemma** *uncross-negative-flip-inv: uncross-negative-flip w1 w2*  $\implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

**theorem** *framed-uncross-inv:(framed-uncross w1 w2)*  $\implies (\text{kauff-mat } w1) = (\text{kauff-mat } w2)$   
 $\langle \text{proof} \rangle$

```

lemma pos-neg-kauff-mat:
kauff-mat ((basic [over]) o (basic [under]))
= kauff-mat ((basic [vert,vert]) o (basic [vert,vert]))
⟨proof⟩

lemma pull-posneg-inv: pull-posneg w1 w2  $\implies$  (kauff-mat w1) = (kauff-mat w2)
⟨proof⟩

lemma neg-pos-kauff-mat:kauff-mat ((basic [under]) o (basic [over]))
= kauff-mat ((basic [vert,vert]) o (basic [vert,vert]))
⟨proof⟩

lemma pull-negpos-inv:pull-negpos w1 w2  $\implies$  (kauff-mat w1) = (kauff-mat w2)
⟨proof⟩

theorem pull-inv:pull w1 w2  $\implies$  (kauff-mat w1) = (kauff-mat w2)
⟨proof⟩

theorem slide-inv:slide w1 w2  $\implies$  (kauff-mat w1) = (kauff-mat w2)
⟨proof⟩

theorem framed-linkrel-inv:framed-linkrel w1 w2  $\implies$  (kauff-mat w1) = (kauff-mat w2)
⟨proof⟩

end

```

## 14 Kauffman\_Invariance: Proving the invariance of Kauffman Bracket

```

theory Kauffman-Invariance
imports Link-Algebra Linkrel-Kauffman
begin

```

In the following theorem, we prove that the kauffman matrix is invariant of framed link invariance

```

theorem kauffman-invariance:(w1::wall)  $\sim_f$  w2  $\implies$  kauff-mat w1 = kauff-mat w2
⟨proof⟩

```

```

lemma rat-poly-times A B = 1
⟨proof⟩

```

we calculate kauffman bracket of a few links

kauffman bracket of an unknot with zero crossings

**lemma** *kauff-mat ([cup]\*([basic [cap]])) = [[-(A^2) - (B^2)]]*  
*<proof>*

kauffman bracket of an a two component unlinked unknot with zero crossings

**lemma** *kauff-mat ([cup,cup]\*([basic [cap,cap]]))= [[[((A^4)+(B^4)) + 2]]*  
*<proof>*

**definition** *trefoil-polynomial::rat-poly*

**where**

*trefoil-polynomial*  $\equiv$

*rat-poly-plus*

$$\begin{aligned}
 & (\text{rat-poly-times} (\text{rat-poly-times} A A) \\
 & \quad (\text{rat-poly-plus} \\
 & \quad \quad (\text{rat-poly-times} B \\
 & \quad \quad \quad (\text{rat-poly-times} B \\
 & \quad \quad \quad \quad (\text{rat-poly-times} (A - \text{rat-poly-times} (\text{rat-poly-times} B B) B) \\
 & \quad \quad \quad \quad \quad (\text{rat-poly-times} A A))) \\
 & \quad \quad \quad (\text{rat-poly-times} (A - \text{rat-poly-times} (\text{rat-poly-times} B B) B) \\
 & \quad \quad \quad \quad (\text{rat-poly-plus} (\text{rat-poly-times} B (\text{rat-poly-times} B (\text{rat-poly-times} A A))) \\
 & \quad \quad \quad \quad \quad (\text{rat-poly-times} (A - \text{rat-poly-times} (\text{rat-poly-times} B B) B) \\
 & \quad \quad \quad \quad \quad \quad (\text{rat-poly-times} (A - \text{rat-poly-times} (\text{rat-poly-times} B B) B) \\
 & \quad \quad \quad \quad \quad \quad \quad (\text{rat-poly-times} A A)))))) \\
 & \quad (\text{rat-poly-plus} \\
 & \quad \quad (\text{rat-poly-times} 2 \\
 & \quad \quad \quad (\text{rat-poly-times} A \\
 & \quad \quad \quad \quad (\text{rat-poly-times} A \\
 & \quad \quad \quad \quad \quad (\text{rat-poly-times} A \\
 & \quad \quad \quad \quad \quad \quad (\text{rat-poly-times} A (\text{rat-poly-times} A (\text{rat-poly-times} B B)))))) \\
 & \quad (\text{rat-poly-times} (\text{rat-poly-times} B B) \\
 & \quad \quad (\text{rat-poly-times} B \\
 & \quad \quad \quad (\text{rat-poly-times} (A - \text{rat-poly-times} (\text{rat-poly-times} B B) B) \\
 & \quad \quad \quad \quad (\text{rat-poly-times} B (\text{rat-poly-times} B B))))))
 \end{aligned}$$

kauffman bracket of trefoil

**lemma** *trefoil:*  
*kauff-mat ([cup,cup]\*[vert,over,vert]\*[vert,over,vert]\*[vert,over,vert]*  
*\*([basic [cap,cap]])*  
*= [[trefoil-polynomial]]*  
*<proof>*

**end**

**theory** *Knot-Theory*

**imports** *Kauffman-Invariance Example*

**begin**

**end**