

# Karatsuba Multiplication for Integers

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## Abstract

We give a verified implementation of the Karatsuba Multiplication on Integers [1] as well as verified runtime bounds. Integers are represented as LSBF (least significant bit first) boolean lists, on which the algorithm by Karatsuba [1] is implemented. The running time of  $O(n^{\log_2 3})$  is verified using the Time Monad defined in [2].

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## 1 Preliminaries

Some general preliminaries.

**theory** *Karatsuba-Preliminaries*

**imports** *Main Expander-Graphs.Extra-Congruence-Method HOL-Number-Theory.Residues*  
**begin**

**lemma** *prop-iffI*:

**assumes**  $Q \implies P$   $R$

**assumes**  $\neg Q \implies P$   $S$

**shows**  $P$  (if  $Q$  then  $R$  else  $S$ )  
*<proof>*

**lemma** *let-prop-cong*:  
**assumes**  $T = T'$   
**assumes**  $P (f T) (f' T')$   
**shows**  $P (\text{let } x = T \text{ in } f x) (\text{let } x = T' \text{ in } f' x)$   
*<proof>*

**lemma** *set-subseteqD*:  
**assumes**  $\text{set } xs \subseteq A$   
**shows**  $\bigwedge i. i < \text{length } xs \implies xs ! i \in A$   
*<proof>*

**lemma** *set-subseteqI*:  
**assumes**  $\bigwedge i. i < \text{length } xs \implies xs ! i \in A$   
**shows**  $\text{set } xs \subseteq A$   
*<proof>*

**lemma** *Nat-max-le-sum*:  $\max (a :: \text{nat}) b \leq a + b$   
*<proof>*

**lemma** *upt-add-eq-append'*:  
**assumes**  $a \leq b$   $b \leq c$   
**shows**  $[a..<c] = [a..<b] @ [b..<c]$   
*<proof>*

**lemma** *map-add-const-upt*:  $\text{map } (\lambda j. j + c) [a..<b] = [a + c..<b + c]$   
*<proof>*

**lemma** *filter-even-upt-even*:  $\text{filter even } [0..<2*n] = \text{map } ((* 2) [0..<n]$   
*<proof>*

**lemma** *filter-even-upt-odd*:  $\text{filter even } [0..<2*n + 1] = \text{map } ((* 2) [0..<n + 1]$   
*<proof>*

**lemma** *filter-odd-upt-even*:  $\text{filter odd } [0..<2*n] = \text{map } (\lambda i. 2*i + 1) [0..<n]$   
*<proof>*

**lemma** *filter-odd-upt-odd*:  $\text{filter odd } [0..<2*n + 1] = \text{map } (\lambda i. 2*i + 1) [0..<n]$   
*<proof>*

**lemma** *length-filter-even*:  $\text{length } (\text{filter even } [0..<n]) = (\text{if even } n \text{ then } n \text{ div } 2 \text{ else } n \text{ div } 2 + 1)$   
*<proof>*

**lemma** *length-filter-odd*:  $\text{length } (\text{filter odd } [0..<n]) = n \text{ div } 2$   
*<proof>*

**lemma** *filter-even-nth*:  
**assumes**  $i < \text{length } (\text{filter even } [0..<n])$   
**shows**  $\text{filter even } [0..<n] ! i = 2 * i$

*<proof>*

**lemma** *filter-odd-nth*:

**assumes**  $i < \text{length } (\text{filter odd } [0..<n])$

**shows**  $\text{filter odd } [0..<n] ! i = 2 * i + 1$

*<proof>*

**fun** *sublist* **where**

$\text{sublist } 0 \ n \ xs = \text{take } n \ xs$

$| \text{sublist } (\text{Suc } m) \ (\text{Suc } n) \ (a \ \# \ xs) = \text{sublist } m \ n \ xs$

$| \text{sublist } (\text{Suc } m) \ 0 \ xs = []$

$| \text{sublist } (\text{Suc } m) \ (\text{Suc } n) \ [] = []$

**lemma** *length-sublist[simp]*:  $\text{length } (\text{sublist } m \ n \ xs) = \text{card } (\{m..<n\} \cap \{0..<\text{length } xs\})$

*<proof>*

**lemma** *length-sublist'*:

**assumes**  $m \leq n$

**assumes**  $n \leq \text{length } xs$

**shows**  $\text{length } (\text{sublist } m \ n \ xs) = n - m$

*<proof>*

**lemma** *nth-sublist*:

**assumes**  $m \leq n$

**assumes**  $n \leq \text{length } xs$

**assumes**  $i < n - m$

**shows**  $\text{sublist } m \ n \ xs ! i = xs ! (m + i)$

*<proof>*

**lemma** *filter-map-map2*:

**assumes**  $\text{length } b = m$

**assumes**  $\text{length } c = m$

**shows**  $[f \ (b!i) \ (c!i). \ i \leftarrow [0..<m]] = \text{map2 } f \ b \ c$

*<proof>*

**fun** *map3* **where**

$\text{map3 } f \ (x \ \# \ xs) \ (y \ \# \ ys) \ (z \ \# \ zs) = f \ x \ y \ z \ \# \ \text{map3 } f \ xs \ ys \ zs$

$| \text{map3 } f \ - \ - \ - = []$

**lemma** *map3-as-map*:  $\text{map3 } f \ xs \ ys \ zs = \text{map } (\lambda((x, y), z). f \ x \ y \ z) \ (\text{zip } (\text{zip } xs \ ys) \ zs)$

*<proof>*

**lemma** *filter-map-map3*:

**assumes**  $\text{length } b = m$

**assumes**  $\text{length } c = m$

**shows**  $[f \ (b!i) \ (c!i) \ i. \ i \leftarrow [0..<m]] = \text{map3 } f \ b \ c \ [0..<m]$

*<proof>*

**fun** *map4* **where**

*map4* *f* (*x* # *xs*) (*y* # *ys*) (*z* # *zs*) (*w* # *ws*) = *f* *x* *y* *z* *w* # *map4* *f* *xs* *ys* *zs* *ws*  
| *map4* *f* - - - = []

**lemma** *map4-as-map*: *map4* *f* *xs* *ys* *zs* *ws* = *map* ( $\lambda((x,y),z),w). f\ x\ y\ z\ w$ ) (*zip* (*zip* *xs* *ys*) *zs*) *ws*)  
<proof>

**lemma** *nth-map2*:

**assumes** *i* < *length* *xs*  
**assumes** *i* < *length* *ys*  
**shows** *map2* *f* *xs* *ys* ! *i* = *f* (*xs* ! *i*) (*ys* ! *i*)  
<proof>

**lemma** *nth-map3*:

**assumes** *i* < *length* *xs*  
**assumes** *i* < *length* *ys*  
**assumes** *i* < *length* *zs*  
**shows** *map3* *f* *xs* *ys* *zs* ! *i* = *f* (*xs* ! *i*) (*ys* ! *i*) (*zs* ! *i*)  
<proof>

**lemma** *nth-map4*:

**assumes** *i* < *length* *xs*  
**assumes** *i* < *length* *ys*  
**assumes** *i* < *length* *zs*  
**assumes** *i* < *length* *ws*  
**shows** *map4* *f* *xs* *ys* *zs* *ws* ! *i* = *f* (*xs* ! *i*) (*ys* ! *i*) (*zs* ! *i*) (*ws* ! *i*)  
<proof>

**lemma** *nth-map4'*:

**assumes** *i* < *l*  
**assumes** *length* *xs* = *l*  
**assumes** *length* *ys* = *l*  
**assumes** *length* *zs* = *l*  
**assumes** *length* *ws* = *l*  
**shows** *map4* *f* *xs* *ys* *zs* *ws* ! *i* = *f* (*xs* ! *i*) (*ys* ! *i*) (*zs* ! *i*) (*ws* ! *i*)  
<proof>

**lemma** *map2-of-map-r*: *map2* *f* *xs* (*map* *g* *ys*) = *map2* ( $\lambda x\ y. f\ x\ (g\ y)$ ) *xs* *ys*  
<proof>

**lemma** *map2-of-map-l*: *map2* *f* (*map* *g* *xs*) *ys* = *map2* ( $\lambda x\ y. f\ (g\ x)\ y$ ) *xs* *ys*  
<proof>

**lemma** *map2-of-map2-r*: *map2* *f* *xs* (*map2* *g* *ys* *zs*) = *map3* ( $\lambda x\ y\ z. f\ x\ (g\ y\ z)$ ) *xs* *ys* *zs*  
<proof>

**lemma** *map-of-map3*: *map* *f* (*map3* *g* *xs* *ys* *zs*) = *map3* ( $\lambda x\ y\ z. f\ (g\ x\ y\ z)$ ) *xs* *ys* *zs*  
<proof>

**lemma** *cyclic-index-lemma*:

**fixes** *n* :: *nat*

**assumes**  $\sigma < n \ \varrho < n \ i < n$   
**shows**  $(\sigma + \varrho) \bmod n = i \iff \varrho = (n + i - \sigma) \bmod n$   
 $\langle \text{proof} \rangle$

**lemma** (*in residues*) *residues-minus-eq*:  $x \ominus_R y = (x - y) \bmod m$   
 $\langle \text{proof} \rangle$

**lemma** *residue-ring-carrier-eq*:  $\{0..(n::\text{int}) - 1\} = \{0..<n\}$   
 $\langle \text{proof} \rangle$

**context** *ring*  
**begin**

**fun** *nat-embedding* ::  $\text{nat} \Rightarrow 'a$  **where**  
*nat-embedding* 0 = **0**  
| *nat-embedding* (Suc n) = *nat-embedding* n  $\oplus$  **1**  
**fun** *int-embedding* ::  $\text{int} \Rightarrow 'a$  **where**  
*int-embedding* n = (if  $n \geq 0$  then *nat-embedding* (nat n) else  $\ominus$  *nat-embedding* (nat (-n)))

**lemma** *nat-embedding-closed[simp]*: *nat-embedding*  $x \in \text{carrier } R$   
 $\langle \text{proof} \rangle$

**lemma** *int-embedding-closed[simp]*: *int-embedding*  $x \in \text{carrier } R$   
 $\langle \text{proof} \rangle$

**lemma** *nat-embedding-a-hom*: *nat-embedding*  $(x + y) = \text{nat-embedding } x \oplus \text{nat-embedding } y$   
 $\langle \text{proof} \rangle$

**lemma** *nat-embedding-m-hom*: *nat-embedding*  $(x * y) = \text{nat-embedding } x \otimes \text{nat-embedding } y$   
 $\langle \text{proof} \rangle$

**lemma** *nat-embedding-exp-hom*: *nat-embedding*  $(x \hat{=} y) = \text{nat-embedding } x [\hat{=}] y$   
 $\langle \text{proof} \rangle$

**lemma** *int-embedding-neg-hom*: *int-embedding*  $(- x) = \ominus \text{int-embedding } x$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *int-exp-hom*: *int*  $x \hat{=} i = \text{int } (x \hat{=} i)$   
 $\langle \text{proof} \rangle$

**end**

## 2 Auxiliary Sum Lemmas

**theory** *Karatsuba-Sum-Lemmas*

**imports** *Karatsuba-Preliminaries Expander-Graphs.Extra-Congruence-Method*  
**begin**

**lemma** *sum-list-eq*:  $(\bigwedge x. x \in \text{set } xs \implies f x = g x) \implies \text{sum-list } (\text{map } f xs) = \text{sum-list } (\text{map } g xs)$

*<proof>*

**lemma** *sum-list-split-0*:  $(\sum i \leftarrow [0..< \text{Suc } n]. f i) = f 0 + (\sum i \leftarrow [1..< \text{Suc } n]. f i)$

*<proof>*

**lemma** *sum-list-index-trafo*:  $(\sum i \leftarrow xs. f (g i)) = (\sum i \leftarrow \text{map } g xs. f i)$

*<proof>*

**lemma** *sum-list-index-shift*:  $(\sum i \leftarrow [a..<b]. f (i + c)) = (\sum i \leftarrow [a+c..<b+c]. f i)$

*<proof>*

**lemma** *list-sum-index-shift*:  $n = j - k \implies (\sum i \leftarrow [k+1..<j+1]. f i) = (\sum i \leftarrow [k..<j]. f (i + 1))$

*<proof>*

**lemma** *list-sum-index-shift'*:  $(\sum i \leftarrow [0..<m]. a (i + c)) = (\sum i \leftarrow [c..<m+c]. a i)$

*<proof>*

**lemma** *list-sum-index-concat*:  $(\sum i \leftarrow [0..<m]. a i) + (\sum i \leftarrow [m..<m+c]. a i) = (\sum i \leftarrow [0..<m+c]. a i)$

*<proof>*

**lemma** *sum-list-linear*:

**assumes**  $\bigwedge a b. f (a + b) = f a + f b$

**assumes**  $f 0 = 0$

**shows**  $f (\sum i \leftarrow xs. g i) = (\sum i \leftarrow xs. f (g i))$

*<proof>*

**lemma** *sum-list-int*:

**shows**  $\text{int } (\sum i \leftarrow xs. g i) = (\sum i \leftarrow xs. \text{int } (g i))$

*<proof>*

**lemma** *sum-list-split-Suc*:

**assumes**  $n = \text{Suc } n'$

**shows**  $(\sum i \leftarrow [0..<n]. f i) = (\sum i \leftarrow [0..<n']. f i) + f n'$

*<proof>*

**lemma** *sum-list-estimation-leq*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \leq B$

**shows**  $(\sum i \leftarrow xs. f i) \leq \text{length } xs * B$

*<proof>*

**lemma** *sum-list-estimation-le*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i < B$

**assumes**  $xs \neq []$

**shows**  $(\sum i \leftarrow xs. f i) < \text{length } xs * B$

*<proof>*



## 2.1 *semiring-1* Sums

**lemma** (in *semiring-1*) *of-bool-mult*:  $of\text{-}bool\ x * a = (if\ x\ then\ a\ else\ 0)$   
 ⟨*proof*⟩

**lemma** (in *semiring-1-cancel*) *of-bool-disj*:  $of\text{-}bool\ (x \vee y) = of\text{-}bool\ x + of\text{-}bool\ y - of\text{-}bool\ x * of\text{-}bool\ y$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *of-bool-disj-excl*:  $\neg (x \wedge y) \implies of\text{-}bool\ (x \vee y) = of\text{-}bool\ x + of\text{-}bool\ y$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *of-bool-var-swap*:  
 $(\sum i \leftarrow xs.\ of\text{-}bool\ (i = j) * f\ i) = (\sum i \leftarrow xs.\ of\text{-}bool\ (i = j) * f\ j)$   
 ⟨*proof*⟩

**lemma**  $(\sum i \leftarrow xs.\ of\text{-}bool\ (i = j) * f\ i) = count\text{-}list\ xs\ j * f\ j$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *of-bool-distinct*:  
 $distinct\ xs \implies (\sum i \leftarrow xs.\ of\text{-}bool\ (i = j) * f\ i\ j) = of\text{-}bool\ (j \in set\ xs) * f\ j\ j$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *of-bool-distinct-in*:  
 $distinct\ xs \implies j \in set\ xs \implies (\sum i \leftarrow xs.\ of\text{-}bool\ (i = j) * f\ i\ j) = f\ j\ j$   
 ⟨*proof*⟩

**lemma** (in *linordered-semiring-1*) *of-bool-sum-leq-1*:  
 assumes *distinct xs*  
 assumes  $\bigwedge i\ j.\ i \in set\ xs \implies j \in set\ xs \implies P\ i \implies P\ j \implies i = j$   
 shows  $(\sum l \leftarrow xs.\ of\text{-}bool\ (P\ l)) \leq 1$   
 ⟨*proof*⟩

**instantiation** *nat :: linordered-semiring-1*  
**begin**  
 instance ⟨*proof*⟩  
**end**

**lemma** (in *semiring-1*) *sum-list-mult-sum-list*:  $(\sum i \leftarrow xs.\ f\ i) * (\sum j \leftarrow ys.\ g\ j) = (\sum i \leftarrow xs.\ \sum j \leftarrow ys.\ f\ i * g\ j)$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *semiring-1-sum-list-eq*:  
 $(\bigwedge i.\ i \in set\ xs \implies f\ i = g\ i) \implies (\sum i \leftarrow xs.\ f\ i) = (\sum i \leftarrow xs.\ g\ i)$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *sum-swap*:  
 $(\sum i \leftarrow xs.\ (\sum j \leftarrow ys.\ f\ i\ j)) = (\sum j \leftarrow ys.\ (\sum i \leftarrow xs.\ f\ i\ j))$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *sum-append*:  
 $(\sum i \leftarrow (xs\ @\ ys).\ f\ i) = (\sum i \leftarrow xs.\ f\ i) + (\sum i \leftarrow ys.\ f\ i)$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *sum-append'*:  
**assumes**  $zs = xs @ ys$   
**shows**  $(\sum i \leftarrow zs. f i) = (\sum i \leftarrow xs. f i) + (\sum i \leftarrow ys. f i)$   
 ⟨*proof*⟩

### 2.1.1 Power Sums

**lemma** (in *semiring-1*) *sum-list-of-bool-filter*:  $(\sum i \leftarrow xs. \text{of-bool } (P i) * f i) =$   
 $(\sum i \leftarrow \text{filter } P xs. f i)$   
 ⟨*proof*⟩

**lemma** *upt-filter-less*:  $\text{filter } (\lambda i. i < c) [a..<b] = [a..<\min b c]$   
 ⟨*proof*⟩

**lemma** *upt-filter-geq*:  $\text{filter } (\lambda i. i \geq c) [a..<b] = [\max a c..<b]$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *sum-list-of-bool-less*:  $(\sum i \leftarrow [a..<b]. \text{of-bool } (i < c) * f i)$   
 $= (\sum i \leftarrow [a..<\min b c]. f i)$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *sum-list-of-bool-geq*:  $(\sum i \leftarrow [a..<b]. \text{of-bool } (i \geq c) * f i)$   
 $= (\sum i \leftarrow [\max a c..<b]. f i)$   
 ⟨*proof*⟩

**lemma** (in *semiring-1*) *sum-list-of-bool-range*:  $(\sum i \leftarrow [a..<b]. \text{of-bool } (i \in \text{set } [c..<d]) * f i) =$   
 $(\sum i \leftarrow [\max a c..<\min b d]. f i)$   
 ⟨*proof*⟩

**lemma** (in *comm-semiring-1*) *cauchy-product*:  
 $(\sum i \leftarrow [0..<n]. f i) * (\sum j \leftarrow [0..<m]. g j) =$   
 $(\sum k \leftarrow [0..<n + m - 1]. \sum l \leftarrow [k + 1 - m..<\min (k + 1) n]. f l * g (k - l))$   
 ⟨*proof*⟩

**lemma** (in *comm-semiring-1*) *power-sum-product*:

**assumes**  $m > 0$

**assumes**  $n \geq m$

**shows**

$(\sum i \leftarrow [0..<n]. f i * x ^ i) * (\sum j \leftarrow [0..<m]. g j * x ^ j) =$   
 $(\sum k \leftarrow [0..<m]. (\sum i \leftarrow [0..<\text{Suc } k]. f i * g (k - i)) * x ^ k) +$   
 $(\sum k \leftarrow [m..<n]. (\sum i \leftarrow [\text{Suc } k - m..<\text{Suc } k]. f i * g (k - i)) * x ^ k) +$   
 $(\sum k \leftarrow [n..<n + m - 1]. (\sum i \leftarrow [\text{Suc } k - m..<n]. f i * g (k - i)) * x ^ k)$   
 ⟨*proof*⟩

**lemma** (in *comm-semiring-1*) *power-sum-product-same-length*:

**assumes**  $n > 0$

**shows**  $(\sum i \leftarrow [0..<n]. f i * x ^ i) * (\sum j \leftarrow [0..<n]. g j * x ^ j) =$

$$\begin{aligned}
& (\sum k \leftarrow [0..<n]. (\sum i \leftarrow [0..<Suc\ k]. f\ i * g\ (k - i)) * x^{\wedge} k) + \\
& (\sum k \leftarrow [n..<2 * n - 1]. (\sum i \leftarrow [Suc\ k - n..<n]. f\ i * g\ (k - i)) * x^{\wedge} k) \\
& \langle proof \rangle
\end{aligned}$$

**lemma** (in *semiring-1*) *sum-index-transformation*:  
**shows**  $(\sum i \leftarrow xs. f\ (g\ i)) = (\sum j \leftarrow map\ g\ xs. f\ j)$   
 $\langle proof \rangle$

**lemma** (in *comm-semiring-1*) *power-sum-split*:  
**fixes**  $f :: nat \Rightarrow 'a$   
**fixes**  $x :: 'a$   
**fixes**  $c :: nat$   
**assumes**  $j \leq n$   
**shows**  $(\sum i \leftarrow [0..<n]. f\ i * x^{\wedge}(i * c)) =$   
 $(\sum i \leftarrow [0..<j]. f\ i * x^{\wedge}(i * c)) +$   
 $x^{\wedge}(j * c) * (\sum i \leftarrow [0..<n - j]. f\ (j + i) * x^{\wedge}(i * c))$   
 $\langle proof \rangle$

## 2.2 nat Sums

**lemma** *geo-sum-nat*:  
**assumes**  $(q :: nat) > 1$   
**shows**  $(q - 1) * (\sum i \leftarrow [0..<n]. q^{\wedge} i) = q^{\wedge} n - 1$   
 $\langle proof \rangle$

**lemma** *geo-sum-bound*:  
**assumes**  $(q :: nat) > 1$   
**assumes**  $\bigwedge i. i < n \implies f\ i < q$   
**shows**  $(\sum i \leftarrow [0..<n]. f\ i * q^{\wedge} i) < q^{\wedge} n$   
 $\langle proof \rangle$

**lemma** *power-sum-nat-split-div-mod*:  
**assumes**  $x > 1$   
**assumes**  $c > 0$   
**assumes**  $\bigwedge i. i < n \implies (f\ i :: nat) < x^{\wedge} c$   
**assumes**  $j \leq n$   
**shows**  $(\sum i \leftarrow [0..<n]. f\ i * x^{\wedge}(i * c)) \mathit{div}\ x^{\wedge}(j * c)$   
 $= (\sum i \leftarrow [0..<n - j]. f\ (j + i) * x^{\wedge}(i * c))$   
 $(\sum i \leftarrow [0..<n]. f\ i * x^{\wedge}(i * c)) \mathit{mod}\ x^{\wedge}(j * c)$   
 $= (\sum i \leftarrow [0..<j]. f\ i * x^{\wedge}(i * c))$   
 $\langle proof \rangle$

**lemma** *power-sum-nat-extract-coefficient*:  
**assumes**  $x > 1$   
**assumes**  $c > 0$   
**assumes**  $\bigwedge i. i < n \implies (f\ i :: nat) < x^{\wedge} c$   
**assumes**  $j < n$   
**shows**  $((\sum i \leftarrow [0..<n]. f\ i * x^{\wedge}(i * c)) \mathit{div}\ x^{\wedge}(j * c)) \mathit{mod}\ x^{\wedge} c = f\ j$   
 $\langle proof \rangle$

```

lemma power-sum-nat-eq:
  assumes  $x > 1$ 
  assumes  $c > 0$ 
  assumes  $\bigwedge i. i < n \implies (f\ i :: nat) < x^c$ 
  assumes  $\bigwedge i. i < n \implies g\ i < x^c$ 
  assumes  $(\sum i \leftarrow [0..<n]. f\ i * x^{(i * c)}) = (\sum i \leftarrow [0..<n]. g\ i * x^{(i * c)})$ 
    (is  $?sum\ f = ?sum\ g$ )
  shows  $\bigwedge i. i < n \implies f\ i = g\ i$ 
  <proof>

end

```

### 3 Sums in Monoids

**theory** *Monoid-Sums*

**imports** *HOL-Algebra.Ring Expander-Graphs.Extra-Congruence-Method Karat-suba-Preliminaries HOL-Library.Multiset HOL-Number-Theory.Residues Karat-suba-Sum-Lemmas*

**begin**

This section contains a version of *sum-list* for entries in some abelian monoid. Contrary to *sum-list*, which is defined for the type class *comm-monoid-add*, this version is for the locale *abelian-monoid*. After the definition, some simple lemmas about sums are proven for this sum function.

**context** *abelian-monoid*

**begin**

```

fun monoid-sum-list :: [ $'c \Rightarrow 'a$ ,  $'c\ list$ ]  $\Rightarrow 'a$  where
  monoid-sum-list f [] = 0
  | monoid-sum-list f (x # xs) = f x  $\oplus$  monoid-sum-list f xs

```

```

lemma monoid-sum-list f xs = foldr ( $\oplus$ ) (map f xs) 0
  <proof>

```

**end**

The syntactic sugar used for *finsum* is adapted accordingly.

**syntax**

```

-monoid-sum-list ::  $index \Rightarrow idt \Rightarrow 'c\ list \Rightarrow 'c \Rightarrow 'a$ 
  (( $\exists \oplus \dashv\leftarrow \cdot$ ) [1000, 0, 51, 10] 10)

```

**translations**

```

 $\oplus_{G^{i \leftarrow xs}}$  b  $\equiv$  CONST abelian-monoid.monoid-sum-list G ( $\lambda i. b$ ) xs

```

**context** *abelian-monoid*

**begin**

**lemma** *monoid-sum-list-finsum*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$   
**assumes** *distinct xs*  
**shows**  $(\bigoplus i \leftarrow xs. f i) = (\bigoplus i \in \text{set } xs. f i)$   
*<proof>*

**lemma** *monoid-sum-list-cong*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i = g i$   
**shows**  $(\bigoplus i \leftarrow xs. f i) = (\bigoplus i \leftarrow xs. g i)$   
*<proof>*

**lemma** *monoid-sum-list-closed[simp]*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$   
**shows**  $(\bigoplus i \leftarrow xs. f i) \in \text{carrier } G$   
*<proof>*

**lemma** *monoid-sum-list-add-in*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$   
**assumes**  $\bigwedge i. i \in \text{set } xs \implies g i \in \text{carrier } G$   
**shows**  $(\bigoplus i \leftarrow xs. f i) \oplus (\bigoplus i \leftarrow xs. g i) =$   
 $(\bigoplus i \leftarrow xs. f i \oplus g i)$   
*<proof>*

**lemma** *monoid-sum-list-0[simp]*:  $(\bigoplus i \leftarrow xs. \mathbf{0}) = \mathbf{0}$

*<proof>*

**lemma** *monoid-sum-list-swap*:

**assumes**[simp]:  $\bigwedge i j. i \in \text{set } xs \implies j \in \text{set } ys \implies f i j \in \text{carrier } G$   
**shows**  $(\bigoplus i \leftarrow xs. (\bigoplus j \leftarrow ys. f i j)) =$   
 $(\bigoplus j \leftarrow ys. (\bigoplus i \leftarrow xs. f i j))$   
*<proof>*

**lemma** *monoid-sum-list-index-transformation*:

$(\bigoplus i \leftarrow (\text{map } g \text{ } xs). f i) = (\bigoplus i \leftarrow xs. f (g i))$   
*<proof>*

**lemma** *monoid-sum-list-index-shift-0*:

$(\bigoplus i \leftarrow [c..<c+n]. f i) = (\bigoplus i \leftarrow [0..<n]. f (c + i))$   
*<proof>*

**lemma** *monoid-sum-list-index-shift*:

$(\bigoplus l \leftarrow [a..<b]. f (l+c)) = (\bigoplus l \leftarrow [(a+c)..<(b+c)]. f l)$   
*<proof>*

**lemma** *monoid-sum-list-app*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$   
**assumes**  $\bigwedge i. i \in \text{set } ys \implies f i \in \text{carrier } G$   
**shows**  $(\bigoplus i \leftarrow xs @ ys. f i) = (\bigoplus i \leftarrow xs. f i) \oplus (\bigoplus i \leftarrow ys. f i)$   
*<proof>*

**lemma monoid-sum-list-app'**:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$

**assumes**  $\bigwedge i. i \in \text{set } ys \implies f i \in \text{carrier } G$

**assumes**  $xs @ ys = zs$

**shows**  $(\bigoplus i \leftarrow zs. f i) = (\bigoplus i \leftarrow xs. f i) \oplus (\bigoplus i \leftarrow ys. f i)$

*<proof>*

**lemma monoid-sum-list-extract**:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$

**assumes**  $\bigwedge i. i \in \text{set } ys \implies f i \in \text{carrier } G$

**assumes**  $f x \in \text{carrier } G$

**shows**  $(\bigoplus i \leftarrow xs @ x \# ys. f i) = f x \oplus (\bigoplus i \leftarrow (xs @ ys). f i)$

*<proof>*

**lemma monoid-sum-list-Suc**:

**assumes**  $\bigwedge i. i < \text{Suc } r \implies f i \in \text{carrier } G$

**shows**  $(\bigoplus i \leftarrow [0..<\text{Suc } r]. f i) = (\bigoplus i \leftarrow [0..<r]. f i) \oplus f r$

*<proof>*

**lemma bij-betw-diff-singleton**:  $a \in A \implies b \in B \implies \text{bij-betw } f A B \implies f a = b$   
 $\implies \text{bij-betw } f (A - \{a\}) (B - \{b\})$

*<proof>*

**lemma**  $a \in A \implies \text{bij-betw } f A B \implies \text{bij-betw } f (A - \{a\}) (B - \{f a\})$

*<proof>*

**lemma monoid-sum-list-multiset-eq**:

**assumes**  $mset xs = mset ys$

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$

**shows**  $(\bigoplus i \leftarrow xs. f i) = (\bigoplus i \leftarrow ys. f i)$

*<proof>*

**lemma monoid-sum-list-index-permutation**:

**assumes** *distinct*  $xs$

**assumes**  $\text{distinct } ys \vee \text{length } xs = \text{length } ys$

**assumes**  $\text{bij-betw } f (\text{set } xs) (\text{set } ys)$

**assumes**  $\bigwedge i. i \in \text{set } ys \implies g i \in \text{carrier } G$

**shows**  $(\bigoplus i \leftarrow ys. g i) = (\bigoplus i \leftarrow xs. g (f i))$

*<proof>*

**lemma monoid-sum-list-split**:

**assumes**<sub>[simp]</sub>  $\bigwedge i. i < b + c \implies f i \in \text{carrier } G$

**shows**  $(\bigoplus l \leftarrow [0..<b]. f l) \oplus (\bigoplus l \leftarrow [b..<b + c]. f l) = (\bigoplus l \leftarrow [0..<b + c]. f l)$

*<proof>*

**lemma monoid-sum-list-splice**:

**assumes**<sub>[simp]</sub>  $\bigwedge i. i < 2 * n \implies f i \in \text{carrier } G$

**shows**  $(\bigoplus i \leftarrow [0..<2 * n]. f i) = (\bigoplus i \leftarrow [0..<n]. f (2*i)) \oplus (\bigoplus i \leftarrow [0..<n]. f (2*i+1))$

*<proof>*

**lemma** *monoid-sum-list-even-odd-split:*

**assumes** *even* (*n::nat*)

**assumes**  $\bigwedge i. i < n \implies f\ i \in \text{carrier } G$

**shows**  $(\bigoplus i \leftarrow [0..<n]. f\ i) = (\bigoplus i \leftarrow [0..<n \text{ div } 2]. f\ (2*i)) \oplus (\bigoplus i \leftarrow [0..<n \text{ div } 2]. f\ (2*i+1))$

*<proof>*

**end**

**context** *abelian-group*

**begin**

**lemma** *monoid-sum-list-minus-in:*

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f\ i \in \text{carrier } G$

**shows**  $\ominus (\bigoplus i \leftarrow xs. f\ i) = (\bigoplus i \leftarrow xs. \ominus f\ i)$

*<proof>*

**lemma** *monoid-sum-list-diff-in:*

**assumes***[simp]*:  $\bigwedge i. i \in \text{set } xs \implies f\ i \in \text{carrier } G$

**assumes***[simp]*:  $\bigwedge i. i \in \text{set } xs \implies g\ i \in \text{carrier } G$

**shows**  $(\bigoplus i \leftarrow xs. f\ i) \ominus (\bigoplus i \leftarrow xs. g\ i) =$   
 $(\bigoplus i \leftarrow xs. f\ i \ominus g\ i)$

*<proof>*

**end**

**context** *ring*

**begin**

**lemma** *monoid-sum-list-const:*

**assumes***[simp]*:  $c \in \text{carrier } R$

**shows**  $(\bigoplus i \leftarrow xs. c) = (\text{nat-embedding } (\text{length } xs)) \otimes c$

*<proof>*

**lemma** *monoid-sum-list-in-right:*

**assumes**  $y \in \text{carrier } R$

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f\ i \in \text{carrier } R$

**shows**  $(\bigoplus i \leftarrow xs. f\ i \otimes y) = (\bigoplus i \leftarrow xs. f\ i) \otimes y$

*<proof>*

**lemma** *monoid-sum-list-in-left:*

**assumes**  $y \in \text{carrier } R$

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f\ i \in \text{carrier } R$

**shows**  $(\bigoplus i \leftarrow xs. y \otimes f\ i) = y \otimes (\bigoplus i \leftarrow xs. f\ i)$

*<proof>*

**lemma** *monoid-sum-list-prod:*

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } R$   
**assumes**  $\bigwedge i. i \in \text{set } ys \implies g i \in \text{carrier } R$   
**shows**  $(\bigoplus i \leftarrow xs. f i) \otimes (\bigoplus j \leftarrow ys. g j) = (\bigoplus i \leftarrow xs. (\bigoplus j \leftarrow ys. f i \otimes g j))$   
 <proof>

### 3.1 Kronecker delta

**definition** *delta where*

*delta*  $i j = (\text{if } i = j \text{ then } \mathbf{1} \text{ else } \mathbf{0})$

**lemma** *delta-closed*[simp]:  $\text{delta } i j \in \text{carrier } R$   
 <proof>

**lemma** *delta-sym*:  $\text{delta } i j = \text{delta } j i$   
 <proof>

**lemma** *delta-refl*[simp]:  $\text{delta } i i = \mathbf{1}$   
 <proof>

**lemma** *monoid-sum-list-delta*[simp]:  
**assumes**[simp]:  $\bigwedge i. i < n \implies f i \in \text{carrier } R$   
**assumes**[simp]:  $j < n$   
**shows**  $(\bigoplus i \leftarrow [0..<n]. \text{delta } i j \otimes f i) = f j$   
 <proof>

**lemma** *monoid-sum-list-only-delta*[simp]:  
 $j < n \implies (\bigoplus i \leftarrow [0..<n]. \text{delta } i j) = \mathbf{1}$   
 <proof>

### 3.2 Power sums

**lemma** *geo-monoid-list-sum*:  
**assumes**[simp]:  $x \in \text{carrier } R$   
**shows**  $(\mathbf{1} \oplus x) \otimes (\bigoplus l \leftarrow [0..<r]. x [\uparrow] l) = (\mathbf{1} \oplus x [\uparrow] r)$   
 <proof>

rewrite  $?x \in \text{carrier } R \implies (?x [\uparrow] ?n) [\uparrow] ?m = ?x [\uparrow] (?n * ?m)$  and  $?a * ?b = ?b * ?a$  inside power sum

**lemma** *monoid-pow-sum-nat-pow-pow*:  
**assumes**  $x \in \text{carrier } R$   
**shows**  $(\bigoplus i \leftarrow xs. f i \otimes x [\uparrow] ((g i :: \text{nat}) * h i)) = (\bigoplus i \leftarrow xs. f i \otimes (x [\uparrow] h i) [\uparrow] g i)$   
 <proof>

**end**

**context** *cring*  
**begin**

Split a power sum at some term



**lemma** *monoid-pow-sum-list-split*:

**assumes**  $l + k = n$

**assumes**  $\bigwedge i. i < n \implies f i \in \text{carrier } R$

**assumes**  $x \in \text{carrier } R$

**shows**  $(\bigoplus i \leftarrow [0..<n]. f i \otimes x [\ulcorner] i) =$

$(\bigoplus i \leftarrow [0..<l]. f i \otimes x [\ulcorner] i) \oplus$

$x [\ulcorner] l \otimes (\bigoplus i \leftarrow [0..<k]. f (l + i) \otimes x [\ulcorner] i)$

*<proof>*

split power sum at term, more general

**lemma** *monoid-pow-sum-split*:

**assumes**  $l + k = n$

**assumes**  $\bigwedge i. i < n \implies f i \in \text{carrier } R$

**assumes**  $x \in \text{carrier } R$

**shows**  $(\bigoplus i \leftarrow [0..<n]. f i \otimes x [\ulcorner] (i * c)) =$

$(\bigoplus i \leftarrow [0..<l]. f i \otimes x [\ulcorner] (i * c)) \oplus$

$x [\ulcorner] (l * c) \otimes (\bigoplus i \leftarrow [0..<k]. f (l + i) \otimes x [\ulcorner] (i * c))$

*<proof>*

### 3.2.1 Algebraic operations

addition

**lemma** *monoid-pow-sum-add*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } R$

**assumes**  $\bigwedge i. i \in \text{set } xs \implies g i \in \text{carrier } R$

**assumes**  $x \in \text{carrier } R$

**shows**  $(\bigoplus i \leftarrow xs. f i \otimes x [\ulcorner] (i :: \text{nat})) \oplus (\bigoplus i \leftarrow xs. g i \otimes x [\ulcorner] i) = (\bigoplus i \leftarrow xs. (f i \oplus g i) \otimes x [\ulcorner] i)$

*<proof>*

**lemma** *monoid-pow-sum-add'*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } R$

**assumes**  $\bigwedge i. i \in \text{set } xs \implies g i \in \text{carrier } R$

**assumes**  $x \in \text{carrier } R$

**shows**  $(\bigoplus i \leftarrow xs. f i \otimes x [\ulcorner] ((i :: \text{nat}) * c)) \oplus (\bigoplus i \leftarrow xs. g i \otimes x [\ulcorner] (i * c)) = (\bigoplus i \leftarrow xs. (f i \oplus g i) \otimes x [\ulcorner] (i * c))$

*<proof>*

unary minus

**lemma** *monoid-pow-sum-minus*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } R$

**assumes**  $x \in \text{carrier } R$

**shows**  $\ominus (\bigoplus i \leftarrow xs. f i \otimes x [\ulcorner] (i :: \text{nat})) = (\bigoplus i \leftarrow xs. (\ominus f i) \otimes x [\ulcorner] i)$

*<proof>*

minus

**lemma** *monoid-pow-sum-diff*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } R$

**assumes**  $\bigwedge i. i \in \text{set } xs \implies g \ i \in \text{carrier } R$   
**assumes**  $x \in \text{carrier } R$   
**shows**  $(\bigoplus i \leftarrow xs. f \ i \otimes x \ [\uparrow] (i::nat)) \ominus (\bigoplus i \leftarrow xs. g \ i \otimes x \ [\uparrow] (i::nat)) =$   
 $(\bigoplus i \leftarrow xs. (f \ i \ominus g \ i) \otimes x \ [\uparrow] i)$   
 $\langle \text{proof} \rangle$

**lemma** *monoid-pow-sum-diff'*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies f \ i \in \text{carrier } R$   
**assumes**  $\bigwedge i. i \in \text{set } xs \implies g \ i \in \text{carrier } R$   
**assumes**  $x \in \text{carrier } R$   
**shows**  $(\bigoplus i \leftarrow xs. f \ i \otimes x \ [\uparrow] ((i::nat) * c)) \ominus (\bigoplus i \leftarrow xs. g \ i \otimes x \ [\uparrow] (i * c)) =$   
 $(\bigoplus i \leftarrow xs. (f \ i \ominus g \ i) \otimes x \ [\uparrow] (i * c))$   
 $\langle \text{proof} \rangle$

**end**

### 3.3 monoid-sum-list in the context residues

**context** *residues*

**begin**

**lemma** *monoid-sum-list-eq-sum-list*:

$(\bigoplus_R i \leftarrow xs. f \ i) = (\sum i \leftarrow xs. f \ i) \text{ mod } m$   
 $\langle \text{proof} \rangle$

**lemma** *monoid-sum-list-mod-in*:

$(\bigoplus_R i \leftarrow xs. f \ i) = (\bigoplus_R i \leftarrow xs. (f \ i) \text{ mod } m)$   
 $\langle \text{proof} \rangle$

**lemma** *monoid-sum-list-eq-sum-list'*:

$(\bigoplus_R i \leftarrow xs. f \ i \text{ mod } m) = (\sum i \leftarrow xs. f \ i) \text{ mod } m$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 4 The estimation tactic

**theory** *Estimation-Method*

**imports** *Main HOL-Eisbach.Eisbach-Tools*

**begin**

A few useful lemmas for working with inequalities.

**lemma** *if-prop-cong*:

**assumes**  $C = C'$

**assumes**  $C \implies P \ A \ A'$

**assumes**  $\neg C \implies P \ B \ B'$

**shows**  $P \ (\text{if } C \ \text{then } A \ \text{else } B) \ (\text{if } C' \ \text{then } A' \ \text{else } B')$

⟨proof⟩

**lemma** *if-leqI*:

**assumes**  $C \implies A \leq t$   
**assumes**  $\neg C \implies B \leq t$   
**shows** (if  $C$  then  $A$  else  $B$ )  $\leq t$   
⟨proof⟩

**lemma** *if-le-max*:

(if  $C$  then ( $t1 :: 'a :: \text{linorder}$ ) else  $t2$ )  $\leq \max t1 t2$   
⟨proof⟩

Prove some inequality by showing a chain of inequalities via an intermediate term.

**method** *itrans* **for**  $step :: 'a :: \text{order} =$

(*match conclusion in*  $s \leq t$  **for**  $s t :: 'a \Rightarrow \langle \text{rule } \text{order.trans}[of\ s\ step\ t] \rangle$ )

A collection of monotonicity intro rules that will be automatically used by *estimation*.

**lemmas** *mono-intros* =

*order.refl add-mono diff-mono mult-le-mono max.mono min.mono power-increasing*  
*power-mono*  
*iffD2[OF Suc-le-mono] if-prop-cong[where  $P = (\leq)$ ] Nat.le0 one-le-numeral*

Try to apply a given estimation rule *estimate* in a forward-manner.

**method** *estimation uses estimate* =

(*match estimate in*  $\bigwedge a. f\ a \leq h\ a$  (*multi*) **for**  $f\ h \Rightarrow \langle$   
*match conclusion in*  $g\ f \leq t$  **for**  $g$  and  $t :: \text{nat} \Rightarrow$   
 $\langle \text{rule } \text{order.trans}[of\ g\ f\ g\ h\ t], \text{intro } \text{mono-intros refl estimate} \rangle \rangle$

|  $x \leq y$  **for**  $x\ y \Rightarrow \langle$   
*match conclusion in*  $g\ x \leq t$  **for**  $g$  and  $t :: \text{nat} \Rightarrow$   
 $\langle \text{rule } \text{order.trans}[of\ g\ x\ g\ y\ t], \text{intro } \text{mono-intros refl estimate} \rangle \rangle$

**end**

**theory** *Time-Monad-Extended*

**imports** *Root-Balanced-Tree.Time-Monad*

**begin**

## 5 Some Automation for *Root-Balanced-Tree.Time-Monad*

A bit of automation for statements involving the *time* component.

**lemma** *time-bind-tm*:  $\text{time } (s \ggg f) = \text{time } s + \text{time } (f\ (\text{val } s))$   
⟨proof⟩

**lemma** *time-tick*:  $\text{time } (\text{tick } s) = 1$   
⟨proof⟩

**lemmas** *tm-time-simps*[*simp*] = *time-bind-tm time-return time-tick if-distrib*[*of time*]

**lemma** *bind-tm-cong*[*fundef-cong*]:  
**assumes**  $f1 = f2$   
**assumes**  $g1 (val f1) = g2 (val f2)$   
**shows**  $f1 \ggg g1 = f2 \ggg g2$   
 $\langle proof \rangle$

Introduce *val-simp* as named theorem. The idea is to collect simplification rules for the *Time-Monad.val* component that can be unfolded on their own.

**named-theorems** *val-simp*  
**declare** *val-simps*[*val-simp*]

**end**  
**theory** *Main-TM*  
**imports** *Main Time-Monad-Extended Estimation-Method*  
**begin**

## 6 Running Time Formalization for some functions available in *Main*

### 6.1 Functions on *bool*

#### 6.1.1 Not

**fun** *Not-tm* :: *bool*  $\Rightarrow$  *bool tm* **where**  
*Not-tm True = 1 return False*  
 $|$  *Not-tm False = 1 return True*

**lemma** *val-Not-tm*[*simp, val-simp*]:  $val (Not\text{-}tm\ x) = Not\ x$   
 $\langle proof \rangle$

**lemma** *time-Not-tm*[*simp*]:  $time (Not\text{-}tm\ x) = 1$   
 $\langle proof \rangle$

#### 6.1.2 disj / conj

**definition** *disj-tm* **where** *disj-tm x y = 1 return (x  $\vee$  y)*  
**definition** *conj-tm* **where** *conj-tm x y = 1 return (x  $\wedge$  y)*

**lemma** *val-disj-tm*[*simp, val-simp*]:  $val (disj\text{-}tm\ x\ y) = (x \vee y)$   
 $\langle proof \rangle$

**lemma** *time-disj-tm*[*simp*]:  $time (disj\text{-}tm\ x\ y) = 1$   
 $\langle proof \rangle$

**lemma** *val-conj-tm*[*simp, val-simp*]:  $val (conj\text{-}tm\ x\ y) = (x \wedge y)$   
 $\langle proof \rangle$

**lemma** *time-conj-tm*[*simp*]:  $time (conj\text{-}tm\ x\ y) = 1$

*<proof>*

### 6.1.3 equal

**fun** *equal-bool-tm* :: *bool*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool tm* **where**  
*equal-bool-tm* *True* *p* =1 *return p*  
| *equal-bool-tm* *False* *p* =1 *Not-tm p*

**lemma** *val-equal-bool-tm[simp, val-simp]*: *val (equal-bool-tm x y) = (x = y)*  
*<proof>*

**lemma** *time-equal-bool-tm-le*: *time (equal-bool-tm x y)  $\leq$  2*  
*<proof>*

## 6.2 Functions involving pairs

### 6.2.1 fst / snd

**fun** *fst-tm* :: '*a*  $\times$  '*b*  $\Rightarrow$  '*a tm* **where**  
*fst-tm* (*x*, *y*) =1 *return x*  
**fun** *snd-tm* :: '*a*  $\times$  '*b*  $\Rightarrow$  '*b tm* **where**  
*snd-tm* (*x*, *y*) =1 *return y*

**lemma** *val-fst-tm[simp, val-simp]*: *val (fst-tm p) = fst p*  
*<proof>*

**lemma** *time-fst-tm[simp]*: *time (fst-tm p) = 1*  
*<proof>*

**lemma** *val-snd-tm[simp, val-simp]*: *val (snd-tm p) = snd p*  
*<proof>*

**lemma** *time-snd-tm[simp]*: *time (snd-tm p) = 1*  
*<proof>*

## 6.3 Functions on nat

### 6.3.1 (+)

**fun** *plus-nat-tm* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat tm* **where**  
*plus-nat-tm* (*Suc m*) *n* =1 *plus-nat-tm m (Suc n)*  
| *plus-nat-tm* 0 *n* =1 *return n*

**lemma** *val-plus-nat-tm[simp, val-simp]*: *val (plus-nat-tm m n) = m + n*  
*<proof>*

**lemma** *time-plus-nat-tm[simp]*: *time (plus-nat-tm m n) = m + 1*  
*<proof>*

### 6.3.2 (\*)

**fun** *times-nat-tm* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat tm* **where**  
*times-nat-tm* 0 *n* =1 *return 0*  
| *times-nat-tm* (*Suc m*) *n* =1 *do {*

```

    r ← times-nat-tm m n;
    plus-nat-tm n r
  }

```

**lemma** *val-times-nat-tm[simp]*: *val* (times-nat-tm m n) = m \* n  
 ⟨proof⟩

**lemma** *time-times-nat-tm[simp]*: *time* (times-nat-tm m n) = m \* (n + 2) + 1  
 ⟨proof⟩

### 6.3.3 ( $\wedge$ )

```

fun power-nat-tm :: nat ⇒ nat ⇒ nat tm where
  power-nat-tm a 0 =1 return 1
| power-nat-tm a (Suc n) =1 do {
  r ← power-nat-tm a n;
  times-nat-tm a r
}

```

**lemma** *val-power-nat-tm[simp, val-simp]*: *val* (power-nat-tm a n) = a  $\wedge$  n  
 ⟨proof⟩

**lemma** *time-power-nat-tm-aux0*: *time* (power-nat-tm 0 n) = 2 \* n + 1  
 ⟨proof⟩

**lemma** *time-power-nat-tm-aux1*: *time* (power-nat-tm 1 n) = 5 \* n + 1  
 ⟨proof⟩

**lemma** *time-power-nat-tm-aux2*:  
**assumes** m ≥ 2  
**shows** *time* (power-nat-tm m n) ≤ (2 \* n + m  $\wedge$  n) \* m + 2 \* n + 1  
 ⟨proof⟩

**lemma** *time-power-nat-tm-le*: *time* (power-nat-tm m n) ≤ 3 \* m  $\wedge$  Suc n + 5 \* n  
 + 1  
 ⟨proof⟩

**lemma** *time-power-nat-tm-2-le*: *time* (power-nat-tm 2 n) ≤ 12 \* 2  $\wedge$  n  
 ⟨proof⟩

### 6.3.4 ( $-$ )

```

fun minus-nat-tm :: nat ⇒ nat ⇒ nat tm where
  minus-nat-tm m 0 =1 return m
| minus-nat-tm 0 m =1 return 0
| minus-nat-tm (Suc m) (Suc n) =1 minus-nat-tm m n

```

**lemma** *val-minus-nat-tm[simp, val-simp]*: *val* (minus-nat-tm m n) = m - n  
 ⟨proof⟩

**lemma** *time-minus-nat-tm[simp]*:  $\text{time } (\text{minus-nat-tm } m \ n) = \min m \ n + 1$   
 ⟨proof⟩

### 6.3.5 ( $<$ ) / ( $\leq$ )

**fun** *less-eq-nat-tm* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$  *tm* **and** *less-nat-tm* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$   
*tm* **where**

*less-eq-nat-tm* (*Suc* *m*) *n* =1 *less-nat-tm* *m* *n*  
 | *less-eq-nat-tm* 0 *n* =1 *return True*  
 | *less-nat-tm* *m* (*Suc* *n*) =1 *less-eq-nat-tm* *m* *n*  
 | *less-nat-tm* *m* 0 =1 *return False*

**lemma** *val-less-eq-nat-tm[simp, val-simp]*:  $(\text{val } (\text{less-eq-nat-tm } n \ m) = (n \leq m))$   
**and** *val-less-nat-tm[simp, val-simp]*:  $(\text{val } (\text{less-nat-tm } m \ n) = (m < n))$   
 ⟨proof⟩

**lemma** *time-less-eq-nat-tm-aux*:  $\text{time } (\text{less-eq-nat-tm } (m + k) \ (n + k)) = 2 * k$   
 $+ \text{time } (\text{less-eq-nat-tm } m \ n)$   
 ⟨proof⟩

**lemma** *time-less-nat-tm-aux*:  $\text{time } (\text{less-nat-tm } (m + k) \ (n + k)) = 2 * k + \text{time}$   
 $(\text{less-nat-tm } m \ n)$   
 ⟨proof⟩

**lemma** *time-less-eq-nat-tm*:  $\text{time } (\text{less-eq-nat-tm } n \ m) = 2 * \min n \ m + 1 +$   
 $\text{of-bool } (m < n)$   
 ⟨proof⟩

**lemma** *time-less-nat-tm*:  $\text{time } (\text{less-nat-tm } m \ n) = 2 * \min m \ n + 1 + \text{of-bool}$   
 $(m < n)$   
 ⟨proof⟩

**lemma** *time-less-eq-nat-tm-le*:  $\text{time } (\text{less-eq-nat-tm } n \ m) \leq 2 * \min n \ m + 2$   
 ⟨proof⟩

**lemma** *time-less-nat-tm-le*:  $\text{time } (\text{less-nat-tm } m \ n) \leq 2 * \min m \ n + 2$   
 ⟨proof⟩

### 6.3.6 (=)

**fun** *equal-nat-tm* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$  *tm* **where**  
*equal-nat-tm* 0 0 =1 *return True*  
 | *equal-nat-tm* (*Suc* *x*) 0 =1 *return False*  
 | *equal-nat-tm* 0 (*Suc* *y*) =1 *return False*  
 | *equal-nat-tm* (*Suc* *x*) (*Suc* *y*) =1 *equal-nat-tm* *x* *y*

**lemma** *val-equal-nat-tm[simp, val-simp]*:  $\text{val } (\text{equal-nat-tm } x \ y) = (x = y)$   
 ⟨proof⟩

**lemma** *time-equal-nat-tm*:  $\text{time } (\text{equal-nat-tm } x \ y) = \min x \ y + 1$   
 ⟨proof⟩

### 6.3.7 *max*

**fun** *max-nat-tm* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat tm* **where**

```
max-nat-tm x y =1 do {  
  b  $\leftarrow$  less-eq-nat-tm x y;  
  if b then return y else return x  
}
```

**lemma** *val-max-nat-tm*[*simp*, *val-simp*]: *val* (*max-nat-tm* *x y*) = *max* *x y*  
(*proof*)

**lemma** *time-max-nat-tm*: *time* (*max-nat-tm* *x y*) = 2 \* *min* *x y* + 2 + *of-bool* (*y* < *x*)  
(*proof*)

**lemma** *time-max-nat-tm-le*: *time* (*max-nat-tm* *x y*)  $\leq$  2 \* *min* *x y* + 3  
(*proof*)

### 6.3.8 (*div*) / (*mod*)

**fun** *divmod-nat-tm* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *tm* **where**

```
divmod-nat-tm m n =1 do {  
  n0  $\leftarrow$  equal-nat-tm n 0;  
  m-lt-n  $\leftarrow$  less-nat-tm m n;  
  b  $\leftarrow$  disj-tm n0 m-lt-n;  
  if b then return (0, m) else do {  
    m-minus-n  $\leftarrow$  minus-nat-tm m n;  
    (q, r)  $\leftarrow$  divmod-nat-tm m-minus-n n;  
    return (Suc q, r)  
  }  
}
```

**declare** *divmod-nat-tm.simps*[*simp del*]

**lemma** *val-divmod-nat-tm*[*simp*, *val-simp*]: *val* (*divmod-nat-tm* *m n*) = *Euclidean-Rings*.*divmod-nat* *m n*  
(*proof*)

**lemma** *time-divmod-nat-tm-aux*:

```
assumes r < n  
assumes n > 0  
shows time (divmod-nat-tm (n * k + r) n) = 5 * k + 3 * n * k + time  
(divmod-nat-tm r n)  
(proof)
```

**lemma** *time-divmod-nat-tm-le*: *time* (*divmod-nat-tm* *m n*)  $\leq$  8 \* *m* + 2 \* *n* + 5  
(*proof*)

**definition** *divide-nat-tm* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat tm* **where**  
*divide-nat-tm* *m n* =1 *divmod-nat-tm* *m n*  $\gg=$  *fst-tm*



**lemma** *val-divide-nat-tm*[simp, val-simp]: *val* (*divide-nat-tm* *m n*) = *m div n*  
⟨*proof*⟩

**lemma** *time-divide-nat-tm-le*: *time* (*divide-nat-tm* *m n*) ≤ 8 \* *m* + 2 \* *n* + 7  
⟨*proof*⟩

**definition** *mod-nat-tm* :: *nat* ⇒ *nat* ⇒ *nat tm* **where**  
*mod-nat-tm* *m n* = 1 *divmod-nat-tm* *m n* ≫= *snd-tm*

**lemma** *val-mod-nat-tm*[simp, val-simp]: *val* (*mod-nat-tm* *m n*) = *m mod n*  
⟨*proof*⟩

**lemma** *time-mod-nat-tm-le*: *time* (*mod-nat-tm* *m n*) ≤ 8 \* *m* + 2 \* *n* + 7  
⟨*proof*⟩

**definition** *dvd-tm* **where** *dvd-tm* *a b* = 1 *do* {  
  *b-mod-a* ← *mod-nat-tm* *b a*;  
  *equal-nat-tm* *b-mod-a* 0  
}

### 6.3.9 (dvd)

**lemma** *val-dvd-tm*[simp, val-simp]: *val* (*dvd-tm* *a b*) = (*a dvd b*)  
⟨*proof*⟩

**lemma** *time-dvd-tm-le*: *time* (*dvd-tm* *a b*) ≤ 8 \* *b* + 2 \* *a* + 9  
⟨*proof*⟩

### 6.3.10 even / odd

**definition** *even-tm* **where** *even-tm* *a* = *dvd-tm* 2 *a*

**lemma** *val-even-tm*[simp, val-simp]: *val* (*even-tm* *a*) = *even a*  
⟨*proof*⟩

**lemma** *time-even-tm-le*: *time* (*even-tm* *a*) ≤ 8 \* *a* + 13  
⟨*proof*⟩

**definition** *odd-tm* **where** *odd-tm* *a* = *dvd-tm* 2 *a* ≫= *Not-tm*

**lemma** *val-odd-tm*[simp, val-simp]: *val* (*odd-tm* *a*) = *odd a*  
⟨*proof*⟩

**lemma** *time-odd-tm-le*: *time* (*odd-tm* *a*) ≤ 8 \* *a* + 14  
⟨*proof*⟩

## 6.4 List functions

### 6.4.1 take

```
fun take-tm :: nat ⇒ 'a list ⇒ 'a list tm where
take-tm n [] =1 return []
| take-tm n (x # xs) =1 (case n of 0 ⇒ return [] | Suc m ⇒
  do {
    r ← take-tm m xs;
    return (x # r)
  })
```

**lemma** val-take-tm[simp, val-simp]: val (take-tm n xs) = take n xs  
⟨proof⟩

**lemma** time-take-tm: time (take-tm n xs) = min n (length xs) + 1  
⟨proof⟩

**lemma** time-take-tm-le: time (take-tm n xs) ≤ n + 1  
⟨proof⟩

### 6.4.2 drop

```
fun drop-tm :: nat ⇒ 'a list ⇒ 'a list tm where
drop-tm n [] =1 return []
| drop-tm n (x # xs) =1 (case n of 0 ⇒ return (x # xs) | Suc m ⇒
  do {
    r ← drop-tm m xs;
    return r
  })
```

**lemma** val-drop-tm[simp, val-simp]: val (drop-tm n xs) = drop n xs  
⟨proof⟩

**lemma** time-drop-tm: time (drop-tm n xs) = min n (length xs) + 1  
⟨proof⟩

**lemma** time-drop-tm-le: time (drop-tm n xs) ≤ n + 1  
⟨proof⟩

### 6.4.3 (@)

```
fun append-tm :: 'a list ⇒ 'a list ⇒ 'a list tm where
append-tm [] ys =1 return ys
| append-tm (x # xs) ys =1 do {
  r ← append-tm xs ys;
  return (x # r)
}
```

**lemma** val-append-tm[simp, val-simp]: val (append-tm xs ys) = append xs ys

*<proof>*

**lemma** *time-append-tm[simp]*:  $\text{time} (\text{append-tm } xs \ ys) = \text{length } xs + 1$   
*<proof>*

#### 6.4.4 fold

**fun** *fold-tm* **where**  
*fold-tm*  $f$  []  $s = 1$  *return*  $s$   
| *fold-tm*  $f$  ( $x \# xs$ )  $s = 1$  *do* {  
     $r \leftarrow f \ x \ s$ ;  
    *fold-tm*  $f$   $xs$   $r$   
}

**lemma** *val-fold-tm[simp, val-simp]*:  $\text{val} (\text{fold-tm } f \ xs \ s) = \text{fold} (\lambda x \ y. \text{val} (f \ x \ y))$   
 $xs \ s$   
*<proof>*

**lemma** *time-fold-tm-Cons*:  $\text{time} (\text{fold-tm} (\lambda x \ y. \text{return } (x \# y)) \ xs \ s) = \text{length } xs$   
 $+ 1$   
*<proof>*

#### 6.4.5 rev

**definition** *rev-tm* **where** *rev-tm*  $xs = 1$  *fold-tm* ( $\lambda x \ y. \text{return } (x \# y)$ )  $xs$  []

**lemma** *val-rev-tm[simp, val-simp]*:  $\text{val} (\text{rev-tm } xs) = \text{rev } xs$   
*<proof>*

**lemma** *time-rev-tm-le[simp]*:  $\text{time} (\text{rev-tm } xs) = \text{length } xs + 2$   
*<proof>*

#### 6.4.6 replicate

**fun** *replicate-tm* ::  $\text{nat} \Rightarrow 'a \Rightarrow 'a \text{ list } \text{tm}$  **where**  
*replicate-tm* 0  $x = 1$  *return* []  
| *replicate-tm* (*Suc*  $n$ )  $x = 1$  *do* {  
     $r \leftarrow \text{replicate-tm } n \ x$ ;  
    *return* ( $x \# r$ )  
}

**lemma** *val-replicate-tm[simp, val-simp]*:  $\text{val} (\text{replicate-tm } n \ x) = \text{replicate } n \ x$   
*<proof>*

**lemma** *time-replicate-tm*:  $\text{time} (\text{replicate-tm } n \ x) = n + 1$   
*<proof>*

#### 6.4.7 length

**fun** *gen-length-tm* ::  $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow \text{nat } \text{tm}$  **where**

*gen-length-tm*  $n \ [] = 1$  return  $n$   
 | *gen-length-tm*  $n (x \# xs) = 1$  *gen-length-tm* (*Suc*  $n$ )  $xs$

**lemma** *val-gen-length-tm*[*simp*, *val-simp*]: *val* (*gen-length-tm*  $n xs$ ) = *List.gen-length*  $n xs$   
 ⟨*proof*⟩

**lemma** *time-gen-length-tm*[*simp*]: *time* (*gen-length-tm*  $n xs$ ) = *length*  $xs + 1$   
 ⟨*proof*⟩

**definition** *length-tm* :: 'a list  $\Rightarrow$  nat tm **where**  
*length-tm*  $xs =$  *gen-length-tm* 0  $xs$

**lemma** *val-length-tm*[*simp*, *val-simp*]: *val* (*length-tm*  $xs$ ) = *length*  $xs$   
 ⟨*proof*⟩

**lemma** *time-length-tm*[*simp*]: *time* (*length-tm*  $xs$ ) = *length*  $xs + 1$   
 ⟨*proof*⟩

#### 6.4.8 *List.null*

**fun** *null-tm* :: 'a list  $\Rightarrow$  bool tm **where**  
*null-tm*  $[] = 1$  return *True*  
 | *null-tm*  $(x \# xs) = 1$  return *False*

**lemma** *val-null-tm*[*simp*, *val-simp*]: *val* (*null-tm*  $xs$ ) = *List.null*  $xs$   
 ⟨*proof*⟩

**lemma** *time-null-tm*[*simp*]: *time* (*null-tm*  $xs$ ) = 1  
 ⟨*proof*⟩

#### 6.4.9 *butlast*

**fun** *butlast-tm* :: 'a list  $\Rightarrow$  'a list tm **where**  
*butlast-tm*  $[] = 1$  return  $[]$   
 | *butlast-tm*  $(x \# xs) = 1$  do {  
    $b \leftarrow$  *null-tm*  $xs$ ;  
   if  $b$  then return  $[]$  else do {  
      $r \leftarrow$  *butlast-tm*  $xs$ ;  
     return  $(x \# r)$   
   }  
 }

**lemma** *val-butlast-tm*[*simp*, *val-simp*]: *val* (*butlast-tm*  $xs$ ) = *butlast*  $xs$   
 ⟨*proof*⟩

**lemma** *time-butlast-tm*: *time* (*butlast-tm*  $xs$ ) =  $2 * (\text{length } xs - 1) + 1 + \text{of\_bool}$  (*length*  $xs \geq 1$ )  
 ⟨*proof*⟩

**lemma** *time-butlast-tm-le*:  $\text{time} (\text{butlast-tm } xs) \leq 2 * \text{length } xs + 1$   
 ⟨proof⟩

#### 6.4.10 map

**fun** *map-tm* :: ('a ⇒ 'b tm) ⇒ 'a list ⇒ 'b list tm **where**  
*map-tm* f [] =1 return []  
 | *map-tm* f (x # xs) =1 do {  
   r ← f x;  
   rs ← *map-tm* f xs;  
   return (r # rs)  
 }

**lemma** *val-map-tm[simp, val-simp]*:  $\text{val} (\text{map-tm } f \ xs) = \text{map} (\lambda x. \text{val} (f \ x)) \ xs$   
 ⟨proof⟩

**lemma** *time-map-tm*:  $\text{time} (\text{map-tm } f \ xs) = (\sum i \leftarrow xs. \text{time} (f \ i)) + \text{length } xs + 1$   
 ⟨proof⟩

**lemma** *time-map-tm-constant*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies \text{time} (f \ i) = c$   
**shows**  $\text{time} (\text{map-tm } f \ xs) = (c + 1) * \text{length } xs + 1$   
 ⟨proof⟩

**lemma** *time-map-tm-bounded*:

**assumes**  $\bigwedge i. i \in \text{set } xs \implies \text{time} (f \ i) \leq c$   
**shows**  $\text{time} (\text{map-tm } f \ xs) \leq (c + 1) * \text{length } xs + 1$   
 ⟨proof⟩

#### 6.4.11 foldl

**fun** *foldl-tm* :: ('a ⇒ 'b ⇒ 'a tm) ⇒ 'a ⇒ 'b list ⇒ 'a tm **where**  
*foldl-tm* f a [] =1 return a  
 | *foldl-tm* f a (x # xs) =1 do {  
   r ← f a x;  
   *foldl-tm* f r xs  
 }

**lemma** *val-foldl-tm[simp, val-simp]*:  $\text{val} (\text{foldl-tm } f \ a \ xs) = \text{foldl} (\lambda x \ y. \text{val} (f \ x \ y))$   
 a xs  
 ⟨proof⟩

#### 6.4.12 concat

**fun** *concat-tm* **where**  
*concat-tm* [] =1 return []  
 | *concat-tm* (x # xs) =1 do {  
   r ← *concat-tm* xs;  
   *append-tm* x r  
 }

}

**lemma** *val-concat-tm*[simp, val-simp]: *val (concat-tm xs) = concat xs*  
⟨proof⟩

**lemma** *time-concat-tm*[simp]: *time (concat-tm xs) = 1 + 2 \* length xs + length (concat xs)*  
⟨proof⟩

### 6.4.13 (!)

**fun** *nth-tm* **where**

*nth-tm (x # xs) 0 = 1 return x*  
*| nth-tm (x # xs) (Suc i) = 1 nth-tm xs i*  
*| nth-tm [] - = 1 undefined*

**lemma** *val-nth-tm*[simp, val-simp]:  
**assumes** *i < length xs*  
**shows** *val (nth-tm xs i) = xs ! i*  
⟨proof⟩

**lemma** *time-nth-tm*[simp]:  
**assumes** *i < length xs*  
**shows** *time (nth-tm xs i) = i + 1*  
⟨proof⟩

### 6.4.14 zip

**fun** *zip-tm* :: *'a list ⇒ 'b list ⇒ ('a × 'b) list tm* **where**  
*zip-tm xs [] = 1 return []*  
*| zip-tm [] ys = 1 return []*  
*| zip-tm (x # xs) (y # ys) = 1 do { rs ← zip-tm xs ys; return ((x, y) # rs) }*

**lemma** *val-zip-tm*[simp, val-simp]: *val (zip-tm xs ys) = zip xs ys*  
⟨proof⟩

**lemma** *time-zip-tm*[simp]: *time (zip-tm xs ys) = min (length xs) (length ys) + 1*  
⟨proof⟩

### 6.4.15 map2

**definition** *map2-tm* **where**

*map2-tm f xs ys = 1 do {*  
*xys ← zip-tm xs ys;*  
*map-tm (λ(x,y). f x y) xys*  
*}*

**lemma** *val-map2-tm*[simp, val-simp]: *val (map2-tm f xs ys) = map2 (λx y. val (f x y)) xs ys*  
⟨proof⟩

**lemma** *time-map2-tm-bounded*:  
**assumes** *length xs = length ys*  
**assumes**  $\bigwedge x y. x \in \text{set } xs \implies y \in \text{set } ys \implies \text{time } (f x y) \leq c$   
**shows**  $\text{time } (\text{map2-tm } f xs ys) \leq (c + 2) * \text{length } xs + 3$   
 $\langle \text{proof} \rangle$

#### 6.4.16 *upt*

**function** *upt-tm* **where**

```

upt-tm i j = 1 do {
  b ← less-nat-tm i j;
  (if b then do {
    rs ← upt-tm (Suc i) j;
    return (i # rs)
  } else return [])
}

```

$\langle \text{proof} \rangle$

**termination**  $\langle \text{proof} \rangle$

**declare** *upt-tm.simps*[*simp del*]

**lemma** *val-upt-tm*[*simp, val-simp*]:  $\text{val } (\text{upt-tm } i j) = [i..<j]$   
 $\langle \text{proof} \rangle$

**lemma** *time-upt-tm-le*:  $\text{time } (\text{upt-tm } i j) \leq (j - i) * (2 * j + 3) + 2 * j + 2$   
 $\langle \text{proof} \rangle$

**lemma** *time-upt-tm-le'*:  $\text{time } (\text{upt-tm } i j) \leq 2 * j * j + 5 * j + 2$   
 $\langle \text{proof} \rangle$

## 6.5 Syntactic sugar

**consts** *equal-tm* ::  $'a \Rightarrow 'a \Rightarrow \text{bool } tm$

**adhoc-overloading** *equal-tm* *equal-nat-tm*

**adhoc-overloading** *equal-tm* *equal-bool-tm*

**consts** *plus-tm* ::  $'a \Rightarrow 'a \Rightarrow 'a \text{ tm}$

**adhoc-overloading** *plus-tm* *plus-nat-tm*

**consts** *times-tm* ::  $'a \Rightarrow 'a \Rightarrow 'a \text{ tm}$

**adhoc-overloading** *times-tm* *times-nat-tm*

**consts** *power-tm* ::  $'a \Rightarrow \text{nat} \Rightarrow 'a \text{ tm}$

**adhoc-overloading** *power-tm* *power-nat-tm*

**consts** *minus-tm* ::  $'a \Rightarrow 'a \Rightarrow 'a \text{ tm}$

**adhoc-overloading** *minus-tm* *minus-nat-tm*

**consts** *less-tm* ::  $'a \Rightarrow 'a \Rightarrow \text{bool } tm$

**adhoc-overloading** *less-tm* *less-nat-tm*

```
consts less-eq-tm :: 'a ⇒ 'a ⇒ bool tm
adhoc-overloading less-eq-tm less-eq-nat-tm
```

```
consts divide-tm :: 'a ⇒ 'a ⇒ 'a tm
adhoc-overloading divide-tm divide-nat-tm
```

```
consts mod-tm :: 'a ⇒ 'a ⇒ 'a tm
adhoc-overloading mod-tm mod-nat-tm
```

```
bundle main-tm-syntax
```

```
begin
```

```
notation equal-tm (infixl =t 51)
notation Not-tm (¬t - [40] 40)
notation conj-tm (infixr ∧t 35)
notation disj-tm (infixr ∨t 30)
notation append-tm (infixr @t 65)
notation plus-tm (infixl +t 65)
notation times-tm (infixl *t 70)
notation power-tm (infixr ^t 80)
notation minus-tm (infixl -t 65)
notation less-tm (infix <t 50)
notation less-eq-tm (infix ≤t 50)
notation mod-tm (infixl modt 70)
notation divide-tm (infixl divt 70)
notation dvd-tm (infix dvdt 50)
```

```
end
```

```
bundle no-main-tm-syntax
```

```
begin
```

```
no-notation equal-tm (infixl =t 51)
no-notation Not-tm (¬t - [40] 40)
no-notation conj-tm (infixr ∧t 35)
no-notation disj-tm (infixr ∨t 30)
no-notation append-tm (infixr @t 65)
no-notation plus-tm (infixl +t 65)
no-notation times-tm (infixl *t 70)
no-notation power-tm (infixr ^t 80)
no-notation minus-tm (infixl -t 65)
no-notation less-tm (infix <t 50)
no-notation less-eq-tm (infix ≤t 50)
no-notation mod-tm (infixl modt 70)
no-notation divide-tm (infixl divt 70)
no-notation dvd-tm (infix dvdt 50)
```

```
end
```

```
unbundle main-tm-syntax
```

```
end
```



## 7 Representations

### 7.1 Abstract Representations

```
theory Abstract-Representations
  imports Main
begin
```

Idea: some type  $'a$  is represented non-uniquely by some type  $'b$ . The function  $f$  produces a unique representant.

```
locale abstract-representation =
  fixes from-type ::  $'a \Rightarrow 'b$ 
  fixes to-type ::  $'b \Rightarrow 'a$ 
  fixes f ::  $'b \Rightarrow 'b$ 
  assumes to-from:  $to-type \circ from-type = id$ 
  assumes from-to:  $from-type \circ to-type = f$ 
begin
```

```
lemma to-from-elem[simp]:  $to-type (from-type x) = x$ 
   $\langle proof \rangle$ 
```

```
lemma from-to-elem:  $from-type (to-type x) = f x$ 
   $\langle proof \rangle$ 
```

```
lemma f-idem:  $f \circ f = f$ 
   $\langle proof \rangle$ 
```

```
corollary f-idem-elem[simp]:  $f (f x) = f x$ 
   $\langle proof \rangle$ 
```

```
lemma f-from:  $f \circ from-type = from-type$ 
   $\langle proof \rangle$ 
```

```
corollary f-from-elem[simp]:  $f (from-type x) = from-type x$ 
   $\langle proof \rangle$ 
```

```
lemma to-f:  $to-type \circ f = to-type$ 
   $\langle proof \rangle$ 
```

```
corollary to-f-elem[simp]:  $to-type (f x) = to-type x$ 
   $\langle proof \rangle$ 
```

```
lemma f-fixed-point-iff:  $f x = x \iff (\exists y. x = from-type y)$ 
   $\langle proof \rangle$ 
```

```
lemma f-fixed-point-iff':  $f x = x \iff x = from-type (to-type x)$ 
   $\langle proof \rangle$ 
```

```
lemma range-f-range-from:  $range f = range from-type$ 
   $\langle proof \rangle$ 
```

**lemma** *to-eq-iff-f-eq*:  $to\text{-}type\ x = to\text{-}type\ y \iff f\ x = f\ y$   
*<proof>*

**lemma** *from-inj*:  $inj\ from\text{-}type$   
*<proof>*

**end**

**lemma** *from-to-f-criterion*:  
  **assumes**  $to\text{-}type \circ from\text{-}type = id$   
  **assumes**  $f \circ from\text{-}type = from\text{-}type$   
  **assumes**  $\bigwedge x\ y. to\text{-}type\ x = to\text{-}type\ y \implies f\ x = f\ y$   
  **shows**  $from\text{-}type \circ to\text{-}type = f$   
*<proof>*

**end**

## 7.2 Abstract Representations 2

**theory** *Abstract-Representations-2*  
  **imports** *Main*  
**begin**

Idea: a subset *represented-set* of some type *'a* is represented non-uniquely by some type *'b*.

**locale** *abstract-representation-2* =  
  **fixes**  $from\text{-}type :: 'a \Rightarrow 'b$   
  **fixes**  $to\text{-}type :: 'b \Rightarrow 'a$   
  **fixes**  $represented\text{-}set :: 'a\ set$   
  **assumes**  $to\text{-}from: \bigwedge x. x \in represented\text{-}set \implies to\text{-}type\ (from\text{-}type\ x) = x$   
  **assumes**  $to\text{-}type\text{-}in\text{-}represented\text{-}set: \bigwedge y. to\text{-}type\ y \in represented\text{-}set$   
**begin**

**definition** *reduce* **where**  
 $reduce\ x \equiv from\text{-}type\ (to\text{-}type\ x)$

**abbreviation** *reduced* **where**  
 $reduced\ x \equiv reduce\ x = x$

**lemma** *reduce-reduce[simp]*:  $reduced\ (reduce\ x)$   
*<proof>*

**definition** *representations* **where**  
 $representations \equiv from\text{-}type\ 'represented\text{-}set$

**lemma** *range-reduce*:  $representations = range\ reduce$   
*<proof>*

**corollary** *reduced-from-type[simp]*:  $x \in represented\text{-}set \implies reduced\ (from\text{-}type\ x)$

*<proof>*

**lemma** *to-type-reduce*:  $to\text{-type} (reduce\ x) = to\text{-type}\ x$   
*<proof>*

**lemma** *reduced-iff*:  $reduced\ x \longleftrightarrow (\exists y \in represented\text{-set}. x = from\text{-type}\ y)$   
*<proof>*

**lemma** *to-eq-iff-f-eq*:  $to\text{-type}\ x = to\text{-type}\ y \longleftrightarrow reduce\ x = reduce\ y$   
*<proof>*

**lemma** *from-inj*: *inj-on from-type represented-set*  
*<proof>*

**corollary** *from-bij-betw*: *bij-betw from-type represented-set representations*  
*<proof>*

**lemma** *correctness-to-from*:

**fixes**  $h :: 'a \Rightarrow 'a \Rightarrow 'a$

**fixes**  $g :: 'b \Rightarrow 'b \Rightarrow 'b$

**assumes**  $\bigwedge x\ y. to\text{-type}\ (g\ x\ y) = h\ (to\text{-type}\ x)\ (to\text{-type}\ y)$

**shows**  $\bigwedge x\ y. x \in represented\text{-set} \Longrightarrow y \in represented\text{-set} \Longrightarrow reduce\ (g\ (from\text{-type}\ x)\ (from\text{-type}\ y)) = from\text{-type}\ (h\ x\ y)$

*<proof>*

**end**

**lemma** *from-to-f-criterion*:

**assumes**  $\bigwedge x. x \in represented\text{-set} \Longrightarrow to\text{-type}\ (from\text{-type}\ x) = x$

**assumes**  $\bigwedge x. x \in represented\text{-set} \Longrightarrow f\ (from\text{-type}\ x) = from\text{-type}\ x$

**assumes**  $\bigwedge x\ y. to\text{-type}\ x = to\text{-type}\ y \Longrightarrow f\ x = f\ y$

**assumes**  $\bigwedge y. to\text{-type}\ y \in represented\text{-set}$

**shows**  $\bigwedge x. from\text{-type}\ (to\text{-type}\ x) = f\ x$

*<proof>*

**end**

**theory** *Nat-LSBF*

**imports** *Main ../Preliminaries/Karatsuba-Sum-Lemmas Abstract-Representations HOL-Library.Log-Nat*

**begin**

## 8 Representing *nat* in LSBF

In this theory, a representation of *nat* is chosen and simple algorithms implemented thereon.

**lemma** *list-isolate-nth*:  $i < length\ xs \Longrightarrow \exists xs1\ xs2. xs = xs1\ @\ (xs\ !\ i)\ \#\ xs2 \wedge length\ xs1 = i$

*<proof>*

**lemma** *list-is-replicate-iff*:  $xs = replicate (length\ xs)\ x \longleftrightarrow (\forall i \in \{0..<length\ xs\}. xs\ !\ i = x)$   
 <proof>

**lemma** *list-is-replicate-iff2*:  $xs = replicate (length\ xs)\ x \longleftrightarrow set\ xs = \{x\} \vee xs = []$   
 <proof>

**lemma** *set-bool-list*:  $set\ xs \subseteq \{True, False\}$   
 <proof>

**lemma** *bool-list-is-replicate-if*:  
 assumes  $a \notin set\ xs$  shows  $xs = replicate (length\ xs)\ (\neg a)$   
 <proof>

**lemma** *bit-strong-decomp-2*:  $\exists ys\ zs. xs = ys @ a \# zs \implies \exists ys'\ n. xs = ys' @ a \# (replicate\ n\ (\neg a))$   
 <proof>

**lemma** *bit-strong-decomp-1*:  $\exists ys\ zs. xs = ys @ a \# zs \implies \exists ys'\ n. xs = (replicate\ n\ (\neg a) @ a \# ys')$   
 <proof>

## 8.1 Type definition

**type-synonym** *nat-lsbf* = *bool list*

## 8.2 Conversions

**fun** *eval-bool* :: *bool*  $\Rightarrow$  *nat* **where**  
*eval-bool* True = 1  
 | *eval-bool* False = 0

**lemma** *eval-bool-is-of-bool[simp]*: *eval-bool* = *of-bool*  
 <proof>

**lemma** *eval-bool-leq-1*: *eval-bool* a  $\leq$  1  
 <proof>

**lemma** *eval-bool-inj*: *eval-bool* a = *eval-bool* b  $\implies$  a = b  
 <proof>

**fun** *to-nat* :: *nat-lsbf*  $\Rightarrow$  *nat* **where**  
*to-nat* [] = 0  
 | *to-nat* (x#xs) = (*eval-bool* x) + 2 \* *to-nat* xs

**fun** *from-nat* :: *nat*  $\Rightarrow$  *nat-lsbf* **where**  
*from-nat* 0 = []  
 | *from-nat* x = (if x mod 2 = 0 then False else True)#(*from-nat* (x div 2))

**value** *from-nat 103*

**value** *to-nat (from-nat 103)*

**lemma** *to-nat-from-nat[simp]: to-nat (from-nat x) = x*  
*<proof>*

**lemma** *to-nat-explicitly: to-nat xs = (∑ i ← [0..<length xs]. eval-bool (xs ! i) \* 2<sup>i</sup>)*  
*<proof>*

**lemma** *to-nat-app: to-nat (xs @ ys) = to-nat xs + (2<sup>length xs</sup>) \* to-nat ys*  
*<proof>*

**lemma** *to-nat-length-upper-bound: to-nat xs ≤ 2<sup>(length xs) - 1</sup>*  
*<proof>*

**lemma** *to-nat-length-bound: to-nat xs < 2<sup>length xs</sup>*  
*<proof>*

**lemma** *to-nat-length-lower-bound: to-nat (xs @ [True]) ≥ 2<sup>length xs</sup>*  
*<proof>*

**lemma** *to-nat-replicate-false[simp]: to-nat (replicate n False) = 0*  
*<proof>*

**lemma** *to-nat-one-bit[simp]: to-nat (replicate n False @ [True]) = 2<sup>n</sup>*  
*<proof>*

**lemma** *to-nat-replicate-true[simp]: to-nat (replicate n True) = 2<sup>n</sup> - 1*  
*<proof>*

**lemma** *to-nat xs = 0 ↔ (∃ n. xs = replicate n False)*  
*<proof>*

**lemma** *to-nat-app-replicate[simp]: to-nat (xs @ replicate n False) = to-nat xs*  
*<proof>*

**lemma** *change-bit-ineq: length xs = length ys ⇒ to-nat (xs @ False # zs) < to-nat (ys @ True # zs)*  
*<proof>*

**lemma** *to-nat-ineq-imp-False-bit: to-nat xs < 2<sup>length xs</sup> - 1 ⇒ ∃ ys zs. xs = ys @ False # zs*  
*<proof>*

**lemma** *to-nat-bound-to-length-bound: to-nat xs ≥ 2<sup>n</sup> ⇒ length xs ≥ n + 1*  
*<proof>*

**lemma** *to-nat-drop-take: to-nat xs = to-nat (take k xs) + 2<sup>k</sup> \* to-nat (drop k xs)*  
*<proof>*

**lemma** *to-nat-take*:  $to\text{-}nat\ (take\ k\ xs) = to\text{-}nat\ xs\ mod\ 2^{\wedge}k$   
*<proof>*

**lemma** *to-nat-drop*:  $to\text{-}nat\ (drop\ k\ xs) = to\text{-}nat\ xs\ div\ 2^{\wedge}k$   
*<proof>*

**lemma** *to-nat-nth-True-bound*:

**assumes**  $i < length\ xs$

**assumes**  $xs\ !\ i = True$

**shows**  $to\text{-}nat\ xs \geq 2^{\wedge}i$

*<proof>*

### 8.3 Truncating and filling

**fun** *truncate-reversed* ::  $bool\ list \Rightarrow bool\ list$  **where**

*truncate-reversed* [] = []

| *truncate-reversed* (x#xs) = (if x then x#xs else *truncate-reversed* xs)

**definition** *truncate* ::  $nat\text{-}lsbf \Rightarrow nat\text{-}lsbf$  **where**

*truncate* xs = rev (*truncate-reversed* (rev xs))

**abbreviation** *truncated* **where** *truncated* x  $\equiv truncate\ x = x$

**lemma** *truncate-reversed-eqI[simp]*:  $xs = (replicate\ n\ False) @ ys \Longrightarrow truncate\text{-}reversed\ xs = truncate\text{-}reversed\ ys$

*<proof>*

**corollary** *truncate-eqI[simp]*:  $xs = ys @ (replicate\ n\ False) \Longrightarrow truncate\ xs = truncate\ ys$

*<proof>*

**lemma** *replicate-truncate-reversed*:  $\exists n. (replicate\ n\ False) @ truncate\text{-}reversed\ xs = xs$

*<proof>*

**corollary** *truncate-replicate*:  $\exists n. truncate\ xs @ (replicate\ n\ False) = xs$

*<proof>*

**lemma** *decompose-trailing-zeros*:  $xs = truncate\ xs @ (replicate\ (length\ xs - length\ (truncate\ xs))\ False)$

*<proof>*

**lemma** *truncate-reversed-length-ineq*:  $length\ (truncate\text{-}reversed\ xs) \leq length\ xs$

*<proof>*

**lemma** *truncate-length-ineq*:  $length\ (truncate\ xs) \leq length\ xs$

*<proof>*

**lemma** *truncate-reversed-fixed-point-iff*:  $truncate\text{-}reversed\ x = x \longleftrightarrow (x = [] \vee hd\ x = True)$

*<proof>*

**lemma** *truncated-iff*:  $\text{truncated } x \longleftrightarrow (x = [] \vee \text{last } x = \text{True})$   
(proof)

**lemma** *hd-truncate-reversed*:  $\text{truncate-reversed } xs \neq [] \implies \text{hd } (\text{truncate-reversed } xs) = \text{True}$   
(proof)

**lemma** *last-truncate*:  $\text{truncate } xs \neq [] \implies \text{last } (\text{truncate } xs) = \text{True}$   
(proof)

**lemma** *truncate-truncate[simp]*:  $\text{truncate } (\text{truncate } xs) = \text{truncate } xs$   
(proof)

**lemma** *truncate-reversed-Nil-iff*:  $\text{truncate-reversed } xs = [] \longleftrightarrow (\exists n. xs = \text{replicate } n \text{ False})$   
(proof)

**lemma** *truncate-Nil-iff*:  $\text{truncate } xs = [] \longleftrightarrow (\exists n. xs = \text{replicate } n \text{ False})$   
(proof)

**corollary** *truncate-neq-Nil*:  $\text{truncate } xs \neq [] \implies \exists ys zs. xs = ys @ \text{True} \# zs$   
(proof)

**lemma** *truncate-Cons*:  $\text{truncate } (a \# xs) = (\text{if } \neg a \wedge (\text{truncate } xs = []) \text{ then } [] \text{ else } a \# \text{truncate } xs)$   
(proof)

**lemma** *truncate-eq-Cons*:  $\text{truncate } xs = \text{truncate } ys \implies \text{truncate } (a \# xs) = \text{truncate } (a \# ys)$   
(proof)

**lemma** *truncate-as-take*:  $\bigwedge xs. \exists n. \text{truncate } xs = \text{take } n \text{ } xs$   
(proof)

**lemma** *to-nat-zero-iff*:  $\text{to-nat } xs = 0 \longleftrightarrow \text{truncate } xs = []$   
(proof)

**lemma** *to-nat-eq-imp-truncate-eq*:  $\text{to-nat } xs = \text{to-nat } ys \implies \text{truncate } xs = \text{truncate } ys$   
(proof)

**lemma** *truncate-from-nat[simp]*:  $\text{truncate } (\text{from-nat } x) = \text{from-nat } x$   
(proof)

**lemma** *truncate-and-length-eq-imp-eq*:  
  **assumes**  $\text{truncate } xs = \text{truncate } ys$   $\text{length } xs = \text{length } ys$   
  **shows**  $xs = ys$   
(proof)

**lemma** *nat-lsbf-eqI*:

**assumes**  $to\text{-}nat\ x = to\text{-}nat\ y$

**assumes**  $length\ x = length\ y$

**shows**  $x = y$

*<proof>*

**interpretation** *nat-lsbf*: *abstract-representation from-nat to-nat truncate*

*<proof>*

**lemma** *truncated-Cons-imp-truncated-tl*:  $truncated\ (x \# xs) \implies truncated\ xs$

*<proof>*

**definition** *fill where*  $fill\ n\ xs = xs @ replicate\ (n - length\ xs)\ False$

**lemma** *to-nat-fill[simp]*:  $to\text{-}nat\ (fill\ n\ xs) = to\text{-}nat\ xs$

*<proof>*

**lemma** *length-fill[intro]*:  $length\ xs \leq n \implies length\ (fill\ n\ xs) = n$

*<proof>*

**lemma** *take-id*:  $length\ xs = k \implies take\ k\ xs = xs$

*<proof>*

**lemma** *fill-id*:  $length\ xs \geq k \implies fill\ k\ xs = xs$

*<proof>*

**lemma** *length-fill'*:  $length\ (fill\ n\ xs) = max\ n\ (length\ xs)$

*<proof>*

**lemma** *length-fill-max[simp]*:

$length\ (fill\ (max\ (length\ xs)\ (length\ ys))\ xs) = max\ (length\ xs)\ (length\ ys)$

$length\ (fill\ (max\ (length\ xs)\ (length\ ys))\ ys) = max\ (length\ xs)\ (length\ ys)$

*<proof>*

**lemma** *truncate-fill*:  $truncate\ (fill\ k\ xs) = truncate\ xs$

*<proof>*

**lemma** *fill-truncate*:  $length\ xs \leq k \implies fill\ k\ (truncate\ xs) = fill\ k\ xs$

*<proof>*

**lemma** *fill-take-com*:  $fill\ k\ (take\ k\ xs) = take\ k\ (fill\ k\ xs)$

*<proof>*

**lemma** *to-nat-length-lower-bound-truncated*:  $xs \neq [] \implies truncated\ xs \implies to\text{-}nat\ xs \geq 2^{\wedge}(length\ xs - 1)$

*<proof>*



**lemma** *to-nat-length-bound-truncated*:  $\text{truncated } xs \implies \text{to-nat } xs < 2 \wedge n \implies \text{length } xs \leq n$   
 ⟨proof⟩

## 8.4 Right-shifts

**definition** *shift-right* ::  $\text{nat} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf}$  **where**  
*shift-right*  $n$   $xs = (\text{replicate } n \text{ False}) @ xs$

**lemma** *to-nat-shift-right[simp]*:  $\text{to-nat } (\text{shift-right } n \text{ } xs) = 2 \wedge n * \text{to-nat } xs$   
 ⟨proof⟩

**lemma** *length-shift-right[simp]*:  $\text{length } (\text{shift-right } n \text{ } xs) = n + \text{length } xs$   
 ⟨proof⟩

## 8.5 Subdividing lists

### 8.5.1 Splitting a list in two blocks

**fun** *split-at* ::  $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \times 'a \text{ list}$  **where**  
*split-at*  $m$   $xs = (\text{take } m \text{ } xs, \text{drop } m \text{ } xs)$

**definition** *split* ::  $\text{nat-lsbf} \Rightarrow \text{nat-lsbf} \times \text{nat-lsbf}$  **where**  
*split*  $xs = (\text{let } n = \text{length } xs \text{ div } (2::\text{nat}) \text{ in } \text{split-at } n \text{ } xs)$

**lemma** *app-split*:  $\text{split } xs = (x0, x1) \implies xs = x0 @ x1$   
 ⟨proof⟩

**lemma** *length-split*:  $\text{length } xs \bmod 2 = 0 \implies \text{split } xs = (x0, x1) \implies \text{length } x0 = \text{length } xs \text{ div } 2 \wedge \text{length } x1 = \text{length } xs \text{ div } 2$   
 ⟨proof⟩

**lemma** *length-split-le*:  
**assumes**  $\text{split } xs = (x0, x1)$   
**shows**  $\text{length } x0 \leq \text{length } xs$  **and**  $\text{length } x1 \leq \text{length } xs$   
 ⟨proof⟩

### 8.5.2 Splitting a list in multiple blocks

*subdivide*  $n$   $xs$  divides the list  $xs$  into blocks of size  $n$ .

**fun** *subdivide* ::  $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list list}$  **where**  
*subdivide*  $0$   $xs = \text{undefined}$   
 | *subdivide*  $n$   $[] = []$   
 | *subdivide*  $n$   $xs = \text{take } n \text{ } xs \# \text{subdivide } n \text{ } (\text{drop } n \text{ } xs)$

**value** *concat*  $[[0..<2], [4..<7], [1..<5]]$

**value** *subdivide*  $2$   $[0..<6]$

**value** *subdivide*  $3$   $[0..<6]$

**value** *subdivide* (2 ^ 2) [0..*2 ^ 6*]

**lemma** *concat-subdivide*:  $n > 0 \implies \text{concat } (\text{subdivide } n \text{ } xs) = xs$   
<proof>

**lemma** *subdivide-step*:

**assumes**  $n > 0$

**assumes**  $xs \neq []$

**assumes**  $\text{length } xs = n * k$

**obtains**  $ys \ zs$  **where**  $xs = ys @ zs$   $\text{length } ys = n$   $\text{length } zs = n * (k - 1)$   
 $\text{subdivide } n \ xs = ys \# \text{subdivide } n \ zs$

<proof>

**lemma** *subdivide-step'*:

**assumes**  $n > 0$

**assumes**  $xs \neq []$

**shows**  $\text{subdivide } n \ xs = (\text{take } n \ xs) \# \text{subdivide } n \ (\text{drop } n \ xs)$

<proof>

**lemma** *subdivide-correct*:

**assumes**  $n > 0$

**assumes**  $\text{length } xs = n * k$

**shows**  $\text{length } (\text{subdivide } n \ xs) = k \wedge (x \in \text{set } (\text{subdivide } n \ xs) \implies \text{length } x = n)$

<proof>

**lemma** *nth-nth-subdivide*:

**assumes**  $n > 0$

**assumes**  $\text{length } xs = n * k$

**assumes**  $i < k$   $j < n$

**shows**  $\text{subdivide } n \ xs ! i ! j = xs ! (i * n + j)$

<proof>

**lemma** *subdivide-concat*:

**assumes**  $n > 0$

**assumes**  $\bigwedge i. i < \text{length } xs \implies \text{length } (xs ! i) = n$

**shows**  $\text{subdivide } n \ (\text{concat } xs) = xs$

<proof>

**lemma** *to-nat-subdivide*:

**assumes**  $n > 0$

**assumes**  $\text{length } xs = n * k$

**shows**  $\text{to-nat } xs = (\sum i \leftarrow [0..*k*]. \text{to-nat } (\text{subdivide } n \ xs ! i) * 2 ^ (i * n))$

<proof>

## 8.6 The *bitsize* function

*bitsize*  $n$  calculates how many bits are needed in the LSBF encoding of  $n$ .

**fun** *bitsize* ::  $\text{nat} \Rightarrow \text{nat}$  **where**

*bitsize* 0 = 0

|  $\text{bitsize } n = 1 + \text{bitsize } (n \text{ div } 2)$

**lemma** *bitsize-is-floorlog*:  $\text{bitsize} = \text{floorlog } 2$   
<proof>

**corollary** *bitsize-bitlen*:  $\text{int } (\text{bitsize } n) = \text{bitlen } (\text{int } n)$   
<proof>

**lemma** *bitsize-eq*:  $\text{bitsize } n = \text{length } (\text{from-nat } n)$   
<proof>

**lemma** *bitsize-zero-iff*:  $\text{bitsize } n = 0 \longleftrightarrow n = 0$   
<proof>

**lemma** *truncated-iff'*:  $\text{truncated } x \longleftrightarrow \text{length } x = \text{bitsize } (\text{to-nat } x)$   
<proof>

**lemma** *bitsize-length*:  $\text{bitsize } n \leq k \longleftrightarrow n < 2 \wedge k$   
<proof>

**lemma** *two-pow-bitsize-pos-bound*:  $n > 0 \implies 2 \wedge \text{bitsize } n \leq 2 * n$   
<proof>

**lemma** *two-pow-bitsize-bound*:  $2 \wedge \text{bitsize } n \leq 2 * n + 1$   
<proof>

**lemma** *bitsize-mono*:  $n1 \leq n2 \implies \text{bitsize } n1 \leq \text{bitsize } n2$   
<proof>

### 8.6.1 The *next-power-of-2* function

**lemma** *power-of-2-recursion*:  $(\exists k. (n::\text{nat}) = 2 \wedge k) \longleftrightarrow (n = 1 \vee (n \text{ mod } 2 = 0 \wedge (\exists k. n \text{ div } 2 = 2 \wedge k)))$   
<proof>

**fun** *is-power-of-2* ::  $\text{nat} \Rightarrow \text{bool}$  **where**  
*is-power-of-2* 0 = *False*  
| *is-power-of-2* (*Suc* 0) = *True*  
| *is-power-of-2* n =  $((n \text{ mod } 2 = 0) \wedge \text{is-power-of-2 } (n \text{ div } 2))$

**lemma** *is-power-of-2-correct*:  $\text{is-power-of-2 } n \longleftrightarrow (\exists k. n = 2 \wedge k)$   
<proof>

**fun** *next-power-of-2* ::  $\text{nat} \Rightarrow \text{nat}$  **where**  
*next-power-of-2* n =  $(\text{if } \text{is-power-of-2 } n \text{ then } n \text{ else } 2 \wedge (\text{bitsize } n))$

**lemma** *next-power-of-2-lower-bound*:  $\text{next-power-of-2 } k \geq k$   
<proof>

**lemma** *next-power-of-2-upper-bound*:

**assumes**  $k \neq 0$

**shows**  $\text{next-power-of-2 } k \leq 2 * k$

*<proof>*

**lemma** *next-power-of-2-upper-bound'*:  $\text{next-power-of-2 } k \leq 2 * k + 1$

*<proof>*

**lemma** *next-power-of-2-is-power-of-2*:  $\exists k. \text{next-power-of-2 } n = 2 \wedge k$

*<proof>*

## 8.7 Addition

**fun** *bit-add-carry* ::  $\text{bool} \Rightarrow \text{bool} \Rightarrow \text{bool} \Rightarrow \text{bool} \times \text{bool}$  **where**

*bit-add-carry* *False False False* = (*False*, *False*)

| *bit-add-carry* *False False True* = (*True*, *False*)

| *bit-add-carry* *False True False* = (*True*, *False*)

| *bit-add-carry* *False True True* = (*False*, *True*)

| *bit-add-carry* *True False False* = (*True*, *False*)

| *bit-add-carry* *True False True* = (*False*, *True*)

| *bit-add-carry* *True True False* = (*False*, *True*)

| *bit-add-carry* *True True True* = (*True*, *True*)

**lemma** *bit-add-carry-correct*:  $\text{bit-add-carry } c \ x \ y = (a, b) \implies \text{eval-bool } c + \text{eval-bool } x + \text{eval-bool } y = \text{eval-bool } a + 2 * \text{eval-bool } b$

*<proof>*

### 8.7.1 Increment operation

**fun** *inc-nat* ::  $\text{nat-lsbf} \Rightarrow \text{nat-lsbf}$  **where**

*inc-nat* [] = [*True*]

| *inc-nat* (*False* # *xs*) = *True* # *xs*

| *inc-nat* (*True* # *xs*) = *False* # (*inc-nat* *xs*)

**lemma** *length-inc-nat'*:  $\text{length } (\text{inc-nat } xs) = \text{length } xs + \text{of-bool } (\text{to-nat } xs + 1 \geq 2 \wedge \text{length } xs)$

*<proof>*

**lemma** *length-inc-nat-lower*:  $\text{length } (\text{inc-nat } xs) \geq \text{length } xs$

*<proof>*

**lemma** *length-inc-nat-upper*:  $\text{length } (\text{inc-nat } xs) \leq \text{length } xs + 1$

*<proof>*

**lemma** *inc-nat-nonempty*:  $\text{inc-nat } xs \neq []$

*<proof>*

**lemma** *inc-nat-replicate-True*:  $\text{inc-nat } (\text{replicate } m \ \text{True}) = \text{replicate } m \ \text{False} @ [\text{True}]$

*<proof>*

**lemma** *inc-nat-replicate-True-2*:  $\text{inc-nat } (\text{replicate } m \text{ True } @ \text{ False } \# \text{ ys}) = \text{replicate } m \text{ False } @ \text{ True } \# \text{ ys}$   
*<proof>*

**lemma** *length-inc-nat-iff*:  $\text{length } (\text{inc-nat } xs) = \text{length } xs \iff (\exists \text{ ys zs. } xs = \text{ys } @ \text{ False } \# \text{ zs})$   
*<proof>*

**lemma** *inc-nat-last-bit-True*:  $\text{length } (\text{inc-nat } xs) = \text{Suc } (\text{length } xs) \implies \exists \text{ zs. } \text{inc-nat } xs = \text{zs } @ [\text{True}]$   
*<proof>*

**lemma** *inc-nat-truncated*:  $\text{truncated } xs \implies \text{truncated } (\text{inc-nat } xs)$   
*<proof>*

**lemma** *inc-nat-correct*:  $\text{to-nat } (\text{inc-nat } xs) = \text{to-nat } xs + 1$   
*<proof>*

**lemma** *length-inc-nat*:  $\text{length } (\text{inc-nat } xs) = \max (\text{length } xs) (\text{floorlog } 2 (\text{to-nat } xs + 1))$   
*<proof>*

### 8.7.2 Addition with a carry bit

**fun** *add-carry* ::  $\text{bool} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf}$  **where**  
*add-carry* *False* [] *y* = *y*  
| *add-carry* *False* *x* [] = *x*  
| *add-carry* *True* [] *y* = *inc-nat y*  
| *add-carry* *True* *x* [] = *inc-nat x*  
| *add-carry* *c* (*x*#*xs*) (*y*#*ys*) = (*let* (*a*, *b*) = *bit-add-carry c x y* *in a*#(*add-carry b xs ys*)

**lemma** *add-carry-correct*:  $\text{to-nat } (\text{add-carry } c \text{ } x \text{ } y) = \text{eval-bool } c + \text{to-nat } x + \text{to-nat } y$   
*<proof>*

**lemma** *length-add-carry'*:  $\text{length } (\text{add-carry } c \text{ } xs \text{ } ys) = \max (\text{length } xs) (\text{length } ys) + \text{of-bool } (\text{to-nat } xs + \text{to-nat } ys + \text{of-bool } c \geq 2 \wedge \max (\text{length } xs) (\text{length } ys))$   
*<proof>*

**lemma** *length-add-carry*:  $\text{length } (\text{add-carry } c \text{ } xs \text{ } ys) = \max (\max (\text{length } xs) (\text{length } ys)) (\text{floorlog } 2 (\text{of-bool } c + \text{to-nat } xs + \text{to-nat } ys))$   
*<proof>*

**lemma** *length-add-carry-lower*:  $\text{length } (\text{add-carry } c \text{ } xs \text{ } ys) \geq \max (\text{length } xs) (\text{length } ys)$   
*<proof>*

**lemma** *length-add-carry-upper*:  $\text{length } (\text{add-carry } c \text{ } xs \text{ } ys) \leq \max (\text{length } xs) (\text{length } ys) + 1$   
 ⟨proof⟩

**lemma** *add-carry-last-bit-True*:  $\text{length } (\text{add-carry } c \text{ } xs \text{ } ys) = \max (\text{length } xs) (\text{length } ys) + 1 \implies \exists zs. \text{add-carry } c \text{ } xs \text{ } ys = zs \text{ } @ \text{ } [True]$   
 ⟨proof⟩

**lemma** *add-carry-com*:  $\text{add-carry } c \text{ } xs \text{ } ys = \text{add-carry } c \text{ } ys \text{ } xs$   
 ⟨proof⟩

**lemma** *add-carry-rNil[simp]*:  $\text{add-carry } True \text{ } y \text{ } [] = \text{inc-nat } y$   
 ⟨proof⟩

**lemma** *add-carry-rNil-nocarry[simp]*:  $\text{add-carry } False \text{ } y \text{ } [] = y$   
 ⟨proof⟩

**lemma** *add-carry-True-inc-nat*:  
 $\text{add-carry } True \text{ } xs \text{ } ys = \text{inc-nat } (\text{add-carry } False \text{ } xs \text{ } ys) \wedge$   
 $\text{add-carry } True \text{ } xs \text{ } ys = \text{add-carry } False \text{ } (\text{inc-nat } xs) \text{ } ys \wedge$   
 $\text{add-carry } True \text{ } xs \text{ } ys = \text{add-carry } False \text{ } xs \text{ } (\text{inc-nat } ys)$   
 ⟨proof⟩

**lemma** *inc-nat-add-carry*:  
 $\text{inc-nat } (\text{add-carry } c \text{ } xs \text{ } ys) = \text{add-carry } c \text{ } (\text{inc-nat } xs) \text{ } ys \wedge$   
 $\text{inc-nat } (\text{add-carry } c \text{ } xs \text{ } ys) = \text{add-carry } c \text{ } xs \text{ } (\text{inc-nat } ys)$   
 ⟨proof⟩

**lemma** *add-carry-inc-nat-simps*:  
 $\text{add-carry } True \text{ } xs \text{ } ys = \text{inc-nat } (\text{add-carry } False \text{ } xs \text{ } ys)$   
 $\text{add-carry } False \text{ } (\text{inc-nat } xs) \text{ } ys = \text{inc-nat } (\text{add-carry } False \text{ } xs \text{ } ys)$   
 $\text{add-carry } False \text{ } xs \text{ } (\text{inc-nat } ys) = \text{inc-nat } (\text{add-carry } False \text{ } xs \text{ } ys)$   
 ⟨proof⟩

**lemma** *add-carry-assoc*:  $\text{add-carry } c2 \text{ } (\text{add-carry } c1 \text{ } xs \text{ } ys) \text{ } zs = \text{add-carry } c1 \text{ } xs \text{ } (\text{add-carry } c2 \text{ } ys \text{ } zs)$   
 ⟨proof⟩

**lemma** *truncated-add-carry*:  
**assumes** *truncated xs truncated ys*  
**shows** *truncated (add-carry c xs ys)*  
 ⟨proof⟩

### 8.7.3 Addition

**definition** *add-nat* ::  $\text{nat-lsbf} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf}$  **where**  
 $\text{add-nat } x \text{ } y = \text{add-carry } False \text{ } x \text{ } y$

**corollary** *length-add-nat-lower*:  $\text{length } (\text{add-nat } xs \ ys) \geq \max (\text{length } xs) (\text{length } ys)$

*<proof>*

**corollary** *length-add-nat-upper*:  $\text{length } (\text{add-nat } xs \ ys) \leq \max (\text{length } xs) (\text{length } ys) + 1$

*<proof>*

**corollary** *add-nat-last-bit-True*:  $\text{length } (\text{add-nat } xs \ ys) = \max (\text{length } xs) (\text{length } ys) + 1 \implies \exists zs. \text{add-nat } xs \ ys = zs \text{ @ } [True]$

*<proof>*

**lemma** *add-nat-correct*:  $\text{to-nat } (\text{add-nat } x \ y) = \text{to-nat } x + \text{to-nat } y$

*<proof>*

**corollary** *add-nat-com*:  $\text{add-nat } xs \ ys = \text{add-nat } ys \ xs$

*<proof>*

**corollary** *add-nat-assoc*:  $\text{add-nat } xs \ (\text{add-nat } ys \ zs) = \text{add-nat } (\text{add-nat } xs \ ys) \ zs$

*<proof>*

**corollary** *truncated-add-nat*:

**assumes** *truncated xs truncated ys*

**shows** *truncated (add-nat xs ys)*

*<proof>*

## 8.8 Comparison and subtraction

### 8.8.1 Comparison

**fun** *compare-nat-same-length-reversed* :: *bool list*  $\Rightarrow$  *bool list*  $\Rightarrow$  *bool* **where**

*compare-nat-same-length-reversed* [] [] = *True*

| *compare-nat-same-length-reversed* (*False#xs*) (*False#ys*) = *compare-nat-same-length-reversed xs ys*

| *compare-nat-same-length-reversed* (*True#xs*) (*False#ys*) = *False*

| *compare-nat-same-length-reversed* (*False#xs*) (*True#ys*) = *True*

| *compare-nat-same-length-reversed* (*True#xs*) (*True#ys*) = *compare-nat-same-length-reversed xs ys*

| *compare-nat-same-length-reversed* - - = *undefined*

**lemma** *compare-nat-same-length-reversed-correct*:

$\text{length } xs = \text{length } ys \implies \text{compare-nat-same-length-reversed } xs \ ys \iff \text{to-nat } (\text{rev } xs) \leq \text{to-nat } (\text{rev } ys)$

*<proof>*

**fun** *compare-nat-same-length* :: *nat-lsbf*  $\Rightarrow$  *nat-lsbf*  $\Rightarrow$  *bool* **where**

*compare-nat-same-length xs ys* = *compare-nat-same-length-reversed (rev xs) (rev ys)*

**lemma** *compare-nat-same-length-correct*:

$length\ xs = length\ ys \implies compare\text{-}nat\text{-}same\text{-}length\ xs\ ys = (to\text{-}nat\ xs \leq to\text{-}nat\ ys)$   
 ⟨proof⟩

**definition**  $make\text{-}same\text{-}length :: nat\text{-}lsbf \Rightarrow nat\text{-}lsbf \Rightarrow nat\text{-}lsbf \times nat\text{-}lsbf$  **where**  
 $make\text{-}same\text{-}length\ xs\ ys = (let\ n = max\ (length\ xs)\ (length\ ys)\ in\ ((fill\ n\ xs), (fill\ n\ ys)))$

**lemma**  $make\text{-}same\text{-}length\text{-}correct$ :  
**assumes**  $(fill\ xs, fill\ ys) = make\text{-}same\text{-}length\ xs\ ys$   
**shows**  $length\ fill\ ys = length\ fill\ xs$   
 $length\ fill\ xs = max\ (length\ xs)\ (length\ ys)$   
 $to\text{-}nat\ fill\ xs = to\text{-}nat\ xs$   
 $to\text{-}nat\ fill\ ys = to\text{-}nat\ ys$   
 ⟨proof⟩

**definition**  $compare\text{-}nat :: nat\text{-}lsbf \Rightarrow nat\text{-}lsbf \Rightarrow bool$  **where**  
 $compare\text{-}nat\ xs\ ys = (let\ (fill\ xs, fill\ ys) = make\text{-}same\text{-}length\ xs\ ys\ in\ compare\text{-}nat\text{-}same\text{-}length\ fill\ xs\ fill\ ys)$

**lemma**  $compare\text{-}nat\text{-}correct$ :  $compare\text{-}nat\ xs\ ys = (to\text{-}nat\ xs \leq to\text{-}nat\ ys)$   
 ⟨proof⟩

## 8.8.2 Subtraction

**definition**  $subtract\text{-}nat :: nat\text{-}lsbf \Rightarrow nat\text{-}lsbf \Rightarrow nat\text{-}lsbf$  **where**  
 $subtract\text{-}nat\ xs\ ys = (if\ compare\text{-}nat\ xs\ ys\ then\ []\ else$   
 $let\ (fill\ xs, fill\ ys) = make\text{-}same\text{-}length\ xs\ ys\ in$   
 $butlast\ (add\text{-}carry\ True\ fill\ xs\ (map\ Not\ fill\ ys)))$

**lemma**  $add\text{-}complement$ :  $add\text{-}nat\ xs\ (map\ Not\ xs) = replicate\ (length\ xs)\ True$   
 ⟨proof⟩

**lemma**  $to\text{-}nat\text{-}complement$ :  $to\text{-}nat\ (map\ Not\ xs) = 2^{length\ xs} - 1 - to\text{-}nat\ xs$   
 ⟨proof⟩

**lemma**  $to\text{-}nat\text{-}butlast$ :  $zs = xs @ [True] \implies to\text{-}nat\ (butlast\ zs) = to\text{-}nat\ zs - 2^{length\ xs}$   
 ⟨proof⟩

**lemma**  $inc\text{-}nat\text{-}true\text{-}prefix[simp]$ :  $inc\text{-}nat\ (replicate\ n\ True @ [False] @ ys) = replicate\ n\ False @ [True] @ ys$   
 ⟨proof⟩

**lemma**  $length\text{-}inc\text{-}nat\text{-}aux$ :  $zs = replicate\ n\ True @ [False] @ ys \implies length\ (inc\text{-}nat\ zs) = length\ zs$   
 ⟨proof⟩



**lemma** *length-inc-nat-aux-2*:  $\text{length } (\text{inc-nat } (xs \text{ @ } [\text{False}] \text{ @ } ys)) = \text{length } (xs \text{ @ } [\text{False}] \text{ @ } ys)$   
 ⟨proof⟩

**lemma** *subtract-nat-aux*:  $\text{to-nat } (\text{subtract-nat } xs \ ys) = (\text{to-nat } xs) - (\text{to-nat } ys) \wedge \text{length } (\text{subtract-nat } xs \ ys) \leq \max (\text{length } xs) (\text{length } ys)$   
 ⟨proof⟩

**corollary** *subtract-nat-correct*:  $\text{to-nat } (\text{subtract-nat } xs \ ys) = (\text{to-nat } xs) - (\text{to-nat } ys)$   
 ⟨proof⟩

**corollary** *length-subtract-nat-le*:  $\text{length } (\text{subtract-nat } xs \ ys) \leq \max (\text{length } xs) (\text{length } ys)$   
 ⟨proof⟩

## 8.9 (Grid) Multiplication

**fun** *grid-mul-nat* ::  $\text{nat-lsbf} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf}$  **where**  
*grid-mul-nat* [] - = []  
 | *grid-mul-nat* (False#xs) y = False # (*grid-mul-nat* xs y)  
 | *grid-mul-nat* (True#xs) y = add-nat (False # (*grid-mul-nat* xs y)) y

**lemma** *grid-mul-nat-correct*:  $\text{to-nat } (\text{grid-mul-nat } x \ y) = \text{to-nat } x * \text{to-nat } y$   
 ⟨proof⟩

**lemma** *length-grid-mul-nat*:  $\text{length } (\text{grid-mul-nat } xs \ ys) \leq \text{length } xs + \text{length } ys$   
 ⟨proof⟩

## 8.10 Syntax bundles

**abbreviation** *shift-right-flip*  $xs \ n \equiv \text{shift-right } n \ xs$

**bundle** *nat-lsbf-syntax*

**begin**

**notation** *add-nat* (**infixl**  $+_n$  65)  
**notation** *compare-nat* (**infixl**  $\leq_n$  50)  
**notation** *subtract-nat* (**infixl**  $-_n$  65)  
**notation** *grid-mul-nat* (**infixl**  $*_n$  70)  
**notation** *shift-right-flip* (**infixl**  $>>_n$  55)

**end**

**bundle** *no-nat-lsbf-syntax*

**begin**

**no-notation** *add-nat* (**infixl**  $+_n$  65)  
**no-notation** *compare-nat* (**infixl**  $\leq_n$  50)  
**no-notation** *subtract-nat* (**infixl**  $-_n$  65)  
**no-notation** *grid-mul-nat* (**infixl**  $*_n$  70)  
**no-notation** *shift-right-flip* (**infixl**  $>>_n$  55)

**end**

**unbundle** *nat-lsbf-syntax*

**end**

**theory** *Karatsuba-Runtime-Lemmas*

**imports** *Complex-Main Akra-Bazzi.Akra-Bazzi-Method*

**begin**

An explicit bound for a specific class of recursive functions.

**context**

**fixes**  $a\ b\ c\ d :: \text{nat}$

**fixes**  $f :: \text{nat} \Rightarrow \text{nat}$

**assumes** *small-bounds*:  $f\ 0 \leq a\ f\ (\text{Suc}\ 0) \leq a$

**assumes** *recursive-bound*:  $\bigwedge n. n > 1 \implies f\ n \leq c * n + d + f\ (n\ \text{div}\ 2)$

**begin**

**private fun**  $g$  **where**

$g\ 0 = a$

$| g\ (\text{Suc}\ 0) = a$

$| g\ n = c * n + d + g\ (n\ \text{div}\ 2)$

**private lemma** *f-g-bound*:  $f\ n \leq g\ n$

*<proof>* **lemma** *g-mono-aux*:  $a \leq g\ n$

*<proof>* **lemma** *g-mono*:  $m \leq n \implies g\ m \leq g\ n$

*<proof>* **lemma** *g-powers-of-2*:  $g\ (2^n) = d * n + c * (2^{n+1} - 2) + a$

*<proof>* **lemma** *pow-ineq*:

**assumes**  $m \geq (1 :: \text{nat})$

**assumes**  $p \geq 2$

**shows**  $p^m > m$

*<proof>* **lemma** *next-power-of-2*:

**assumes**  $m \geq (1 :: \text{nat})$

**shows**  $\exists n\ k. m = 2^n + k \wedge k < 2^n$

*<proof>*

**lemma** *div-2-recursion-linear*:  $f\ n \leq (2 * d + 4 * c) * n + a$

*<proof>*

**end**

General Lemmas for Landau notation.

**lemma** *landau-o-plus-aux'*:

**fixes**  $f\ g$

**assumes**  $f \in o[F](g)$

**shows**  $O[F](g) = O[F](\lambda x. f\ x + g\ x)$

*<proof>*

**lemma** *powr-bigo-linear-index-transformation*:

**fixes**  $fl :: \text{nat} \Rightarrow \text{nat}$

**fixes**  $f :: \text{nat} \Rightarrow \text{real}$

**assumes**  $(\lambda x. \text{real}\ (fl\ x)) \in O(\lambda n. \text{real}\ n)$

```

assumes  $f \in O(\lambda n. \text{real } n \text{ powr } p)$ 
assumes  $p > 0$ 
shows  $f \circ \text{fl} \in O(\lambda n. \text{real } n \text{ powr } p)$ 
⟨proof⟩

lemma real-mono:  $(a \leq b) = (\text{real } a \leq \text{real } b)$ 
⟨proof⟩

lemma real-linear:  $\text{real } (a + b) = \text{real } a + \text{real } b$ 
⟨proof⟩

lemma real-multiplicative:  $\text{real } (a * b) = \text{real } a * \text{real } b$ 
⟨proof⟩

lemma (in landau-pair) big-1-mult-left:
  fixes  $f g h$ 
  assumes  $f \in L F (g) h \in L F (\lambda-. 1)$ 
  shows  $(\lambda x. h x * f x) \in L F (g)$ 
⟨proof⟩

lemma norm-nonneg:  $x \geq 0 \implies \text{norm } x = x$  ⟨proof⟩

lemma landau-mono-always:
  fixes  $f g$ 
  assumes  $\bigwedge x. f x \geq (0 :: \text{real}) \bigwedge x. g x \geq 0$ 
  assumes  $\bigwedge x. f x \leq g x$ 
  shows  $f \in O[F](g)$ 
⟨proof⟩

end

```

## 9 Running time of *Nat-LSBF*

```

theory Nat-LSBF-TM
  imports Nat-LSBF ../Karatsuba-Runtime-Lemmas ../Main-TM ../Estimation-Method
begin

```

### 9.1 Truncating and filling

```

fun truncate-reversed-tm ::  $\text{nat-lsbf} \Rightarrow \text{nat-lsbf } tm$  where
  truncate-reversed-tm [] = 1 return []
  | truncate-reversed-tm (x # xs) = 1 (if x then return (x # xs) else truncate-reversed-tm xs)

```

```

lemma val-truncate-reversed-tm[simp, val-simp]:  $\text{val } (\text{truncate-reversed-tm } xs) = \text{truncate-reversed } xs$ 
⟨proof⟩

```

```

lemma time-truncate-reversed-tm-le:  $\text{time } (\text{truncate-reversed-tm } xs) \leq \text{length } xs +$ 

```

1  
⟨proof⟩

**definition** *truncate-tm* :: *nat-lsbf* ⇒ *nat-lsbf tm* **where**  
*truncate-tm xs =1 do* {  
  *rev-xs* ← *rev-tm xs*;  
  *truncate-rev-xs* ← *truncate-reversed-tm rev-xs*;  
  *rev-tm truncate-rev-xs*  
}

**lemma** *val-truncate-tm[simp, val-simp]*: *val (truncate-tm xs) = truncate xs*  
⟨proof⟩

**lemma** *time-truncate-tm-le*: *time (truncate-tm xs) ≤ 3 \* length xs + 6*  
⟨proof⟩

**definition** *fill-tm* :: *nat* ⇒ *nat-lsbf* ⇒ *nat-lsbf tm* **where**  
*fill-tm n xs =1 do* {  
  *k* ← *length-tm xs*;  
  *l* ← *n -<sub>t</sub> k*;  
  *zeros* ← *replicate-tm l False*;  
  *xs @<sub>t</sub> zeros*  
}

**lemma** *val-fill-tm[simp, val-simp]*: *val (fill-tm n xs) = fill n xs*  
⟨proof⟩

**lemma** *com-f-of-min-max*: *f a b = f b a ⇒ f (min a b) (max a b) = f a b*  
⟨proof⟩

**lemma** *add-min-max*: *min (a::'a:: ordered-ab-semigroup-add) b + max a b = a + b*  
⟨proof⟩

**lemma** *time-fill-tm*: *time (fill-tm n xs) = 2 \* length xs + n + 5*  
⟨proof⟩

**lemma** *time-fill-tm-le*: *time (fill-tm n xs) ≤ 3 \* max n (length xs) + 5*  
⟨proof⟩

## 9.2 Right-shifts

**definition** *shift-right-tm* :: *nat* ⇒ *nat-lsbf* ⇒ *nat-lsbf tm* **where**  
*shift-right-tm n xs =1 do* {  
  *r* ← *replicate-tm n False*;  
  *r @<sub>t</sub> xs*  
}

**lemma** *val-shift-right-tm[simp, val-simp]*: *val (shift-right-tm n xs) = xs >><sub>n</sub> n*  
⟨proof⟩

**lemma** *time-shift-right-tm*[simp]:  $\text{time } (\text{shift-right-tm } n \text{ } xs) = 2 * n + 3$   
 ⟨proof⟩

### 9.3 Subdividing lists

#### 9.3.1 Splitting a list in two blocks

**definition** *split-at-tm* ::  $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ tm}$  **where**  
*split-at-tm*  $k \text{ } xs = 1$  do {  
    $xs1 \leftarrow \text{take-tm } k \text{ } xs$ ;  
    $xs2 \leftarrow \text{drop-tm } k \text{ } xs$ ;  
   return  $(xs1, xs2)$   
}

**lemma** *val-split-at-tm*[simp, val-simp]:  $\text{val } (\text{split-at-tm } k \text{ } xs) = \text{split-at } k \text{ } xs$   
 ⟨proof⟩

**lemma** *time-split-at-tm*:  $\text{time } (\text{split-at-tm } k \text{ } xs) = 2 * \min k (\text{length } xs) + 3$   
 ⟨proof⟩

**definition** *split-tm* ::  $\text{nat-lsbf} \Rightarrow (\text{nat-lsbf} \times \text{nat-lsbf}) \text{ tm}$  **where**  
*split-tm*  $xs = 1$  do {  
    $n \leftarrow \text{length-tm } xs$ ;  
    $n\text{-div-2} \leftarrow n \text{ div}_t 2$ ;  
   *split-at-tm*  $n\text{-div-2} \text{ } xs$   
}

**lemma** *val-split-tm*[simp, val-simp]:  $\text{val } (\text{split-tm } xs) = \text{split } xs$   
 ⟨proof⟩

**lemma** *time-split-tm-le*:  $\text{time } (\text{split-tm } xs) \leq 10 * \text{length } xs + 16$   
 ⟨proof⟩

#### 9.3.2 Splitting a list in multiple blocks

**fun** *subdivide-tm* ::  $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list list tm}$  **where**  
*subdivide-tm*  $0 \text{ } xs = 1$  undefined  
 | *subdivide-tm*  $n \text{ } [] = 1$  return []  
 | *subdivide-tm*  $n \text{ } xs = 1$  do {  
    $r \leftarrow \text{take-tm } n \text{ } xs$ ;  
    $s \leftarrow \text{drop-tm } n \text{ } xs$ ;  
    $rs \leftarrow \text{subdivide-tm } n \text{ } s$ ;  
   return  $(r \# rs)$   
}

**lemma** *val-subdivide-tm*[simp, val-simp]:  $n > 0 \implies \text{val } (\text{subdivide-tm } n \text{ } xs) = \text{subdivide } n \text{ } xs$   
 ⟨proof⟩

**lemma** *time-subdivide-tm-le-aux*:

**assumes**  $n > 0$

**shows**  $\text{time } (\text{subdivide-tm } n \text{ } xs) \leq k * (2 * n + 3) + \text{time } (\text{subdivide-tm } n \text{ } (\text{drop } (k * n) \text{ } xs))$

*<proof>*

**lemma** *time-subdivide-tm-le*:

**fixes**  $xs :: 'a \text{ list}$

**assumes**  $n > 0$

**shows**  $\text{time } (\text{subdivide-tm } n \text{ } xs) \leq 5 * \text{length } xs + 2 * n + 4$

*<proof>*

## 9.4 The *bitsize* function

**fun** *bitsize-tm* ::  $\text{nat} \Rightarrow \text{nat tm}$  **where**

*bitsize-tm* 0 =1 return 0

| *bitsize-tm* n =1 do {

$n\text{-div-2} \leftarrow n \text{ div}_t 2$ ;

$r \leftarrow \text{bitsize-tm } n\text{-div-2}$ ;

$1 +_t r$

}

**lemma** *val-bitsize-tm*[*simp*, *val-simp*]:  $\text{val } (\text{bitsize-tm } n) = \text{bitsize } n$

*<proof>*

**fun** *time-bitsize-tm-bound* ::  $\text{nat} \Rightarrow \text{nat}$  **where**

*time-bitsize-tm-bound* 0 = 1

| *time-bitsize-tm-bound* n =  $14 + 8 * n + \text{time-bitsize-tm-bound } (n \text{ div } 2)$

**lemma** *time-bitsize-tm-aux*:

$\text{time } (\text{bitsize-tm } n) \leq \text{time-bitsize-tm-bound } n$

*<proof>*

**lemma** *time-bitsize-tm-aux2*:  $\text{time-bitsize-tm-bound } n \leq (2 * 8 + 4 * 14) * n + 23$

*<proof>*

**lemma** *time-bitsize-tm-le*:  $\text{time } (\text{bitsize-tm } n) \leq 72 * n + 23$

*<proof>*

### 9.4.1 The *is-power-of-2* function

**fun** *is-power-of-2-tm* ::  $\text{nat} \Rightarrow \text{bool tm}$  **where**

*is-power-of-2-tm* 0 =1 return False

| *is-power-of-2-tm* (Suc 0) =1 return True

| *is-power-of-2-tm* n =1 do {

$n\text{-mod-2} \leftarrow n \text{ mod}_t 2$ ;

$n\text{-div-2} \leftarrow n \text{ div}_t 2$ ;

$c1 \leftarrow n\text{-mod-2} =_t 0$ ;

$c2 \leftarrow \text{is-power-of-2-tm } n\text{-div-2}$ ;

```

    c1  $\wedge_t$  c2
  }

```

**lemma** *val-is-power-of-2-tm*[simp, val-simp]: *val (is-power-of-2-tm n) = is-power-of-2 n*  
 <proof>

**lemma** *time-is-power-of-2-tm-le*: *time (is-power-of-2-tm n)  $\leq$  114 \* n + 1*  
 <proof>

**definition** *next-power-of-2-tm* :: *nat  $\Rightarrow$  nat tm* **where**  
*next-power-of-2-tm n = 1* do {  
 b  $\leftarrow$  *is-power-of-2-tm n*;  
 if b then return n else do {  
 r  $\leftarrow$  *bitsize-tm n*;  
 2  $\hat{\wedge}_t$  r  
 }  
}

**lemma** *val-next-power-of-2-tm*[simp, val-simp]: *val (next-power-of-2-tm n) = next-power-of-2 n*  
 <proof>

**lemma** *time-next-power-of-2-tm-le*: *time (next-power-of-2-tm n)  $\leq$  208 \* n + 37*  
 <proof>

## 9.5 Addition

**fun** *bit-add-carry-tm* :: *bool  $\Rightarrow$  bool  $\Rightarrow$  bool  $\Rightarrow$  (bool  $\times$  bool) tm* **where**  
*bit-add-carry-tm False False False = 1* return (False, False)  
 | *bit-add-carry-tm False False True = 1* return (True, False)  
 | *bit-add-carry-tm False True False = 1* return (True, False)  
 | *bit-add-carry-tm False True True = 1* return (False, True)  
 | *bit-add-carry-tm True False False = 1* return (True, False)  
 | *bit-add-carry-tm True False True = 1* return (False, True)  
 | *bit-add-carry-tm True True False = 1* return (False, True)  
 | *bit-add-carry-tm True True True = 1* return (True, True)

**lemma** *val-bit-add-carry-tm*[simp, val-simp]: *val (bit-add-carry-tm x y z) = bit-add-carry x y z*  
 <proof>

**lemma** *time-bit-add-carry-tm*[simp]: *time (bit-add-carry-tm x y z) = 1*  
 <proof>

**fun** *inc-nat-tm* :: *nat-lsbf  $\Rightarrow$  nat-lsbf tm* **where**  
*inc-nat-tm [] = 1* return [True]  
 | *inc-nat-tm (False # xs) = 1* return (True # xs)  
 | *inc-nat-tm (True # xs) = 1* do {  
 r  $\leftarrow$  *inc-nat-tm xs*;

```

    return (False # r)
  }

```

**lemma** *val-inc-nat-tm*[simp, val-simp]: *val (inc-nat-tm xs) = inc-nat xs*  
 ⟨proof⟩

**lemma** *time-inc-nat-tm-le*: *time (inc-nat-tm xs) ≤ length xs + 1*  
 ⟨proof⟩

```

fun add-carry-tm :: bool ⇒ nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf tm where
  add-carry-tm False [] y =1 return y
| add-carry-tm False (x # xs) [] =1 return (x # xs)
| add-carry-tm True [] y =1 do {
  r ← inc-nat-tm y;
  return r
}
| add-carry-tm True (x # xs) [] =1 do {
  r ← inc-nat-tm (x # xs);
  return r
}
| add-carry-tm c (x # xs) (y # ys) =1 do {
  (a, b) ← bit-add-carry-tm c x y;
  r ← add-carry-tm b xs ys;
  return (a # r)
}

```

**lemma** *val-add-carry-tm*[simp, val-simp]: *val (add-carry-tm c xs ys) = add-carry c xs ys*  
 ⟨proof⟩

**lemma** *time-add-carry-tm-le*: *time (add-carry-tm c xs ys) ≤ 2 \* max (length xs) (length ys) + 2*  
 ⟨proof⟩

```

definition add-nat-tm :: nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf tm where
  add-nat-tm xs ys =1 do {
    r ← add-carry-tm False xs ys;
    return r
  }

```

**lemma** *val-add-nat-tm*[simp, val-simp]: *val (add-nat-tm xs ys) = xs +<sub>n</sub> ys*  
 ⟨proof⟩

**lemma** *time-add-nat-tm-le*: *time (add-nat-tm xs ys) ≤ 2 \* max (length xs) (length ys) + 3*  
 ⟨proof⟩



## 9.6 Comparison and subtraction

```

fun compare-nat-same-length-reversed-tm :: bool list ⇒ bool list ⇒ bool tm where
  compare-nat-same-length-reversed-tm [] [] = 1 return True
| compare-nat-same-length-reversed-tm (False # xs) (False # ys) = 1 compare-nat-same-length-reversed-tm
  xs ys
| compare-nat-same-length-reversed-tm (True # xs) (False # ys) = 1 return False
| compare-nat-same-length-reversed-tm (False # xs) (True # ys) = 1 return True
| compare-nat-same-length-reversed-tm (True # xs) (True # ys) = 1 compare-nat-same-length-reversed-tm
  xs ys
| compare-nat-same-length-reversed-tm - - = 1 undefined

```

**lemma** *val-compare-nat-same-length-reversed-tm*[simp, val-simp]:  
**assumes** length xs = length ys  
**shows** val (compare-nat-same-length-reversed-tm xs ys) = compare-nat-same-length-reversed  
 xs ys  
 ⟨proof⟩

**lemma** *time-compare-nat-same-length-reversed-tm-le*:  
 length xs = length ys ⇒ time (compare-nat-same-length-reversed-tm xs ys) ≤  
 length xs + 1  
 ⟨proof⟩

```

fun compare-nat-same-length-tm :: nat-lsbf ⇒ nat-lsbf ⇒ bool tm where
  compare-nat-same-length-tm xs ys = 1 do {
    rev-xs ← rev-tm xs;
    rev-ys ← rev-tm ys;
    compare-nat-same-length-reversed-tm rev-xs rev-ys
  }

```

**lemma** *val-compare-nat-same-length-tm*[simp, val-simp]:  
**assumes** length xs = length ys  
**shows** val (compare-nat-same-length-tm xs ys) = compare-nat-same-length xs ys  
 ⟨proof⟩

**lemma** *time-compare-nat-same-length-tm-le*:  
 length xs = length ys ⇒ time (compare-nat-same-length-tm xs ys) ≤ 3 \* length  
 xs + 6  
 ⟨proof⟩

**definition** *make-same-length-tm* :: nat-lsbf ⇒ nat-lsbf ⇒ (nat-lsbf × nat-lsbf) tm  
**where**  
 make-same-length-tm xs ys = 1 do {  
 len-xs ← length-tm xs;  
 len-ys ← length-tm ys;  
 n ← max-nat-tm len-xs len-ys;  
 fill-xs ← fill-tm n xs;  
 fill-ys ← fill-tm n ys;  
 return (fill-xs, fill-ys)  
 }

**lemma** *val-make-same-length-tm*[simp, val-simp]: *val (make-same-length-tm xs ys) = make-same-length xs ys*  
 ⟨proof⟩

**lemma** *time-make-same-length-tm-le*: *time (make-same-length-tm xs ys) ≤ 10 \* max (length xs) (length ys) + 16*  
 ⟨proof⟩

**definition** *compare-nat-tm* :: *nat-lsbf ⇒ nat-lsbf ⇒ bool tm where*  
*compare-nat-tm xs ys = 1 do {*  
   *(fill-xs, fill-ys) ← make-same-length-tm xs ys;*  
   *compare-nat-same-length-tm fill-xs fill-ys*  
*}*

**lemma** *val-compare-nat-tm*[simp, val-simp]: *val (compare-nat-tm xs ys) = (xs ≤<sub>n</sub> ys)*  
 ⟨proof⟩

**lemma** *time-compare-nat-tm-le*: *time (compare-nat-tm xs ys) ≤ 13 \* max (length xs) (length ys) + 23*  
 ⟨proof⟩

**definition** *subtract-nat-tm* :: *nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf tm where*  
*subtract-nat-tm xs ys = 1 do {*  
   *b ← compare-nat-tm xs ys;*  
   *if b then return [] else do {*  
   *(fill-xs, fill-ys) ← make-same-length-tm xs ys;*  
   *fill-ys-comp ← map-tm Not-tm fill-ys;*  
   *a ← add-carry-tm True fill-xs fill-ys-comp;*  
   *butlast-tm a*  
   *}*  
*}*

**lemma** *val-subtract-nat-tm*[simp, val-simp]: *val (subtract-nat-tm xs ys) = xs -<sub>n</sub> ys*  
 ⟨proof⟩

**lemma** *time-map-tm-Not-tm*: *time (map-tm Not-tm xs) = 2 \* length xs + 1*  
 ⟨proof⟩

**lemma** *time-subtract-nat-tm-le*: *time (subtract-nat-tm xs ys) ≤ 30 \* max (length xs) (length ys) + 48*  
 ⟨proof⟩

## 9.7 (Grid) Multiplication

**fun** *grid-mul-nat-tm* :: *nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf tm where*  
*grid-mul-nat-tm [] ys = 1 return []*  
*| grid-mul-nat-tm (False # xs) ys = 1 do {*

```

    r ← grid-mul-nat-tm xs ys;
    return (False # r)
  }
| grid-mul-nat-tm (True # xs) ys = 1 do {
  r ← grid-mul-nat-tm xs ys;
  add-nat-tm (False # r) ys
}

```

**lemma** *val-grid-mul-nat-tm*[simp, val-simp]:  $\text{val } (\text{grid-mul-nat-tm } xs \text{ } ys) = xs *_{nt} ys$   
 ⟨proof⟩

**lemma** *euler-sum-bound*:  $\sum \{..(n::nat)\} \leq n * n$   
 ⟨proof⟩

**lemma** *time-grid-mul-nat-tm-le*:  
 $\text{time } (\text{grid-mul-nat-tm } xs \text{ } ys) \leq 8 * \text{length } xs * \max (\text{length } xs) (\text{length } ys) + 1$   
 ⟨proof⟩

## 9.8 Syntax bundles

**abbreviation** *shift-right-tm-flip* **where**  $\text{shift-right-tm-flip } xs \text{ } n \equiv \text{shift-right-tm } n \text{ } xs$

**bundle** *nat-lsbf-tm-syntax*  
**begin**  
**notation** *add-nat-tm* (**infixl**  $+_{nt}$  65)  
**notation** *compare-nat-tm* (**infixl**  $\leq_{nt}$  50)  
**notation** *subtract-nat-tm* (**infixl**  $-_{nt}$  65)  
**notation** *grid-mul-nat-tm* (**infixl**  $*_{nt}$  70)  
**notation** *shift-right-tm-flip* (**infixl**  $>>_{nt}$  55)  
**end**

**bundle** *no-nat-lsbf-tm-syntax*  
**begin**  
**no-notation** *add-nat-tm* (**infixl**  $+_{nt}$  65)  
**no-notation** *compare-nat-tm* (**infixl**  $\leq_{nt}$  50)  
**no-notation** *subtract-nat-tm* (**infixl**  $-_{nt}$  65)  
**no-notation** *grid-mul-nat-tm* (**infixl**  $*_{nt}$  70)  
**no-notation** *shift-right-tm-flip* (**infixl**  $>>_{nt}$  55)  
**end**

**unbundle** *nat-lsbf-tm-syntax*

**end**  
**theory** *Int-LSBF*  
**imports** *Nat-LSBF HOL-Algebra.IntRing*  
**begin**

## 10 Representing *int* in LSBF

### 10.1 Type definition

**datatype** *sign* = *Positive* | *Negative*  
**type-synonym** *int-lsbf* = *sign* × *nat-lsbf*

### 10.2 Conversions

**fun** *from-int* :: *int* ⇒ *int-lsbf* **where**  
*from-int* *x* = (if *x* ≥ 0 then (*Positive*, *from-nat* (*nat* *x*)) else (*Negative*, *from-nat* (*nat* (*-x*))))

**fun** *to-int* :: *int-lsbf* ⇒ *int* **where**  
*to-int* (*Positive*, *xs*) = *int* (*to-nat* *xs*)  
| *to-int* (*Negative*, *xs*) = - *int* (*to-nat* *xs*)

**lemma** *to-int-from-int[simp]*: *to-int* (*from-int* *x*) = *x*  
⟨*proof*⟩

**fun** *truncate-int* :: *int-lsbf* ⇒ *int-lsbf* **where**  
*truncate-int* (*Positive*, *xs*) = (*Positive*, *truncate* *xs*)  
| *truncate-int* (*Negative*, *xs*) = (let *ys* = *truncate* *xs* in if *ys* = [] then (*Positive*, []) else (*Negative*, *ys*))

**lemma** *to-int-truncate[simp]*: *to-int* (*truncate-int* *xs*) = *to-int* *xs*  
⟨*proof*⟩

**lemma** *truncate-from-int[simp]*: *truncate-int* (*from-int* *x*) = *from-int* *x*  
⟨*proof*⟩

**lemma** *pos-and-neg-imp-zero*:  
  **assumes** *to-int* (*Positive*, *x*) = *to-int* (*Negative*, *y*)  
  **shows** *to-nat* *x* = 0 ∧ *to-nat* *y* = 0  
⟨*proof*⟩

**lemma** *to-int-eq-imp-truncate-int-eq*: *to-int* (*a*, *x*) = *to-int* (*b*, *y*) ⇒ *truncate-int* (*a*, *x*) = *truncate-int* (*b*, *y*)  
⟨*proof*⟩

**lemma** *from-int-to-int*: *from-int* ∘ *to-int* = *truncate-int*  
⟨*proof*⟩

**interpretation** *int-lsbf*: *abstract-representation from-int to-int truncate-int*  
⟨*proof*⟩

### 10.3 Addition

**fun** *add-int* :: *int-lsbf* ⇒ *int-lsbf* ⇒ *int-lsbf* **where**  
*add-int* (*Negative*, *xs*) (*Negative*, *ys*) = (*Negative*, *add-nat* *xs* *ys*)  
| *add-int* (*Positive*, *xs*) (*Positive*, *ys*) = (*Positive*, *add-nat* *xs* *ys*)

| *add-int* (*Positive*, *xs*) (*Negative*, *ys*) = (if *compare-nat xs ys* then (*Negative*, *subtract-nat ys xs*) else (*Positive*, *subtract-nat xs ys*))  
 | *add-int* (*Negative*, *xs*) (*Positive*, *ys*) = (if *compare-nat xs ys* then (*Positive*, *subtract-nat ys xs*) else (*Negative*, *subtract-nat xs ys*))

**lemma** *add-int-correct*: *to-int* (*add-int x y*) = *to-int x* + *to-int y*  
 ⟨*proof*⟩

**fun** *nat-mul-to-int-mul* :: (*nat-lsbf* ⇒ *nat-lsbf* ⇒ *nat-lsbf*) ⇒ *int-lsbf* ⇒ *int-lsbf*  
 ⇒ *int-lsbf* **where**  
*nat-mul-to-int-mul f* (*x*, *xs*) (*y*, *ys*) = ((if *x = y* then *Positive* else *Negative*), *f xs ys*)

**lemma** *nat-mul-to-int-mul-correct*:  
**assumes**  $\bigwedge x y. \text{to-nat } (f \ x \ y) = \text{to-nat } x * \text{to-nat } y$   
**shows**  $\bigwedge x y \ xs \ ys. \text{to-int } (\text{nat-mul-to-int-mul } f \ (x, xs) \ (y, ys)) = \text{to-int } (x, xs) * \text{to-int } (y, ys)$   
 ⟨*proof*⟩

## 10.4 Grid Multiplication

**fun** *grid-mul-int* **where** *grid-mul-int x y* = *nat-mul-to-int-mul grid-mul-nat x y*

**corollary** *grid-mul-int-correct*: *to-int* (*grid-mul-int x y*) = *to-int x* \* *to-int y*  
 ⟨*proof*⟩

**end**

## 11 Karatsuba Multiplication

**theory** *Karatsuba*  
**imports** ../*Binary-Representations/Nat-LSBF* ../*Binary-Representations/Int-LSBF*  
 ../*Estimation-Method*  
**begin**

This theory contains an implementation of the Karatsuba Multiplication on type *nat-lsbf*.

**definition** *abs-diff* :: *nat-lsbf* ⇒ *nat-lsbf* ⇒ *nat-lsbf* **where**  
*abs-diff x y* = (*x*  $-_n$  *y*)  $+_n$  (*y*  $-_n$  *x*)

**lemma** *abs-diff-correct*: *int* (*to-nat* (*abs-diff x y*)) = *abs* (*int* (*to-nat x*) - *int* (*to-nat y*))  
 ⟨*proof*⟩

**lemma** *abs-diff-length*: *length* (*abs-diff xs ys*) ≤ *max* (*length xs*) (*length ys*)  
 ⟨*proof*⟩

For small inputs, implementations of Karatsuba Multiplication usually switch to grid multiplication. The threshold does not matter for the asymptotic

running time, hence we will just arbitrarily choose  $42$ .

**definition** *karatsuba-lower-bound* :: nat **where**  
*karatsuba-lower-bound*  $\equiv 42$

**lemma** *karatsuba-lower-bound-requirement*:  
*karatsuba-lower-bound*  $\geq 1$   
 ⟨*proof*⟩

A first version of the algorithm assumes the input numbers have a length which is a power of 2. The function *karatsuba-on-power-of-2-length* takes the specified length as additional first argument.

**fun** *karatsuba-on-power-of-2-length* :: nat  $\Rightarrow$  nat-lsbf  $\Rightarrow$  nat-lsbf  $\Rightarrow$  nat-lsbf **where**  
*karatsuba-on-power-of-2-length* k x y =  
 (if k  $\leq$  *karatsuba-lower-bound*  
 then x  $\ast_n$  y  
 else let  
 (x0, x1) = split x;  
 (y0, y1) = split y;  
 k-div-2 = (k div 2);  
 prod0 = *karatsuba-on-power-of-2-length* k-div-2 x0 y0;  
 prod1 = *karatsuba-on-power-of-2-length* k-div-2 x1 y1;  
 prod2 = *karatsuba-on-power-of-2-length* k-div-2  
 (fill k-div-2 (abs-diff x0 x1))  
 (fill k-div-2 (abs-diff y0 y1));  
 add01 = prod0  $+_n$  prod1;  
 r = (if (x1  $\leq_n$  x0) = (y1  $\leq_n$  y0)  
 then add01  $-_n$  prod2  
 else add01  $+_n$  prod2)  
 in prod0  $+_n$  (r  $>>_n$  k-div-2)  $+_n$  (prod1  $>>_n$  k))

**declare** *karatsuba-on-power-of-2-length.simps*[simp del]

**locale** *karatsuba-context* =  
 fixes k l :: nat  
 fixes x y :: nat-lsbf  
 assumes k-power-of-2: k = 2  $\wedge$  l  
 assumes length-x: length x = k  
 assumes length-y: length y = k  
 assumes recursion-condition:  $\neg$  k  $\leq$  *karatsuba-lower-bound*  
**begin**

**definition** x0 **where** x0 = fst (split x)

**definition** x1 **where** x1 = snd (split x)

**definition** y0 **where** y0 = fst (split y)

**definition** y1 **where** y1 = snd (split y)

**definition** k-div-2 **where** k-div-2 = k div 2

**definition** prod0 **where** prod0 = *karatsuba-on-power-of-2-length* k-div-2 x0 y0

**definition** prod1 **where** prod1 = *karatsuba-on-power-of-2-length* k-div-2 x1 y1

**definition** prod2 **where** prod2 = *karatsuba-on-power-of-2-length* k-div-2

$(\text{fill } k\text{-div-2 } (\text{abs-diff } x0 \ x1))$   
 $(\text{fill } k\text{-div-2 } (\text{abs-diff } y0 \ y1))$

**definition** *add01* **where**  $\text{add01} = \text{prod0} +_n \text{prod1}$

**definition** *r* **where**  $r = (\text{if } (x1 \leq_n x0) = (y1 \leq_n y0)$   
 $\text{then } \text{add01} -_n \text{prod2}$   
 $\text{else } \text{add01} +_n \text{prod2})$

**lemma** *split-x*:  $\text{split } x = (x0, x1) \langle \text{proof} \rangle$

**lemma** *split-y*:  $\text{split } y = (y0, y1) \langle \text{proof} \rangle$

**lemmas** *defs1* =  $\text{split-x } \text{split-y}$

**lemmas** *defs2* =  $\text{prod0-def } \text{prod1-def } \text{prod2-def } k\text{-div-2-def } \text{add01-def } r\text{-def}$

**lemma** *recursive: karatsuba-on-power-of-2-length*  $k \ x \ y =$   
 $\text{prod0} +_n (r \gg_n k\text{-div-2}) +_n (\text{prod1} \gg_n k)$   
 $\langle \text{proof} \rangle$

**lemma** *l-ge-1*:  $l \geq 1$   
 $\langle \text{proof} \rangle$

**lemma** *k-even*:  $k \bmod 2 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *k-div-2*:  $k\text{-div-2} = 2^{\wedge} (l - 1)$   
 $\langle \text{proof} \rangle$

**lemma** *k-div-2-less-k*:  $k\text{-div-2} < k$   
 $\langle \text{proof} \rangle$

**lemma** *length-x-split*:  $\text{length } x0 = k\text{-div-2} \ \text{length } x1 = k\text{-div-2}$   
 $\langle \text{proof} \rangle$

**lemma** *length-y-split*:  $\text{length } y0 = k\text{-div-2} \ \text{length } y1 = k\text{-div-2}$   
 $\langle \text{proof} \rangle$

**lemma** *length-abs-diff-x0-x1*:  $\text{length } (\text{abs-diff } x0 \ x1) \leq k\text{-div-2}$   
 $\langle \text{proof} \rangle$

**lemma** *length-fill-abs-diff-x0-x1*:  $\text{length } (\text{fill } k\text{-div-2 } (\text{abs-diff } x0 \ x1)) = k\text{-div-2}$   
 $\langle \text{proof} \rangle$

**lemma** *length-abs-diff-y0-y1*:  $\text{length } (\text{abs-diff } y0 \ y1) \leq k\text{-div-2}$   
 $\langle \text{proof} \rangle$

**lemma** *length-fill-abs-diff-y0-y1*:  $\text{length } (\text{fill } k\text{-div-2 } (\text{abs-diff } y0 \ y1)) = k\text{-div-2}$   
 $\langle \text{proof} \rangle$

**lemmas** *IH-prems1* =  $\text{recursion-condition } \text{split-x}[\text{symmetric}] \ \text{refl } \text{split-y}[\text{symmetric}]$   
 $\text{refl } k\text{-div-2-def}$   
 $k\text{-div-2 } \text{length-x-split}(1) \ \text{length-y-split}(1)$

**lemmas** *IH-prems2* = recursion-condition split-x[symmetric] refl split-y[symmetric]  
 refl k-div-2-def

prod0-def k-div-2 length-x-split(2) length-y-split(2)

**lemmas** *IH-prems3* = recursion-condition split-x[symmetric] refl split-y[symmetric]  
 refl k-div-2-def

prod0-def prod1-def k-div-2 length-fill-abs-diff-x0-x1 length-fill-abs-diff-y0-y1

**end**

**lemma** *karatsuba-on-power-of-2-length-correct*:

**assumes**  $k = 2 \wedge l$

**assumes**  $\text{length } x = k \text{ length } y = k$

**shows**  $\text{to-nat } (\text{karatsuba-on-power-of-2-length } k \ x \ y) = \text{to-nat } x * \text{to-nat } y$

*<proof>*

**function** *len-kar-bound* **where**

*len-kar-bound*  $l = (\text{if } 2 \wedge l \leq \text{karatsuba-lower-bound} \text{ then } 2 * \text{karatsuba-lower-bound}$   
 else  $2 \wedge l + \text{len-kar-bound } (l - 1) + 4$ )

*<proof>*

**termination**

*<proof>*

**declare** *len-kar-bound.simps*[simp del]

**lemma** *length-karatsuba-on-power-of-2-aux*:

**assumes**  $k = 2 \wedge l$

**assumes**  $\text{length } x = k \text{ length } y = k$

**shows**  $\text{length } (\text{karatsuba-on-power-of-2-length } k \ x \ y) \leq \text{len-kar-bound } l$

*<proof>*

**lemma** *len-kar-bound-le*:  $\text{len-kar-bound } l \leq 6 * 2 \wedge l + 2 * \text{karatsuba-lower-bound}$   
*<proof>*

The following is a pretty crude estimate for the length of the result of our Karatsuba implementation, but it suffices for our purposes.

**lemma** *length-karatsuba-on-power-of-2-length-le*:

**assumes**  $k = 2 \wedge l$

**assumes**  $\text{length } x = k \text{ length } y = k$

**shows**  $\text{length } (\text{karatsuba-on-power-of-2-length } k \ x \ y) \leq 6 * k + 2 * \text{karatsuba-lower-bound}$

*<proof>*

In order to multiply two integers of arbitrary length using Karatsuba multiplication, the input numbers can just be zero-padded.

**fun** *karatsuba-mul-nat* ::  $\text{nat-lsbf} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf}$  **where**

*karatsuba-mul-nat*  $x \ y = (\text{let } k = \text{next-power-of-2 } (\max (\text{length } x) (\text{length } y)) \text{ in}$   
 $\text{karatsuba-on-power-of-2-length } k \ (\text{fill } k \ x) \ (\text{fill } k \ y))$



We verify the correctness of Karatsuba multiplication:

**theorem** *karatsuba-mul-nat-correct*:  $to\text{-}nat (karatsuba\text{-}mul\text{-}nat\ x\ y) = to\text{-}nat\ x * to\text{-}nat\ y$   
 $\langle proof \rangle$

**lemma** *length-karatsuba-mul-nat-le*:  $length (karatsuba\text{-}mul\text{-}nat\ x\ y) \leq 12 * max (length\ x) (length\ y) + (6 + 2 * karatsuba\text{-}lower\text{-}bound)$   
 $\langle proof \rangle$

Formally, we only implemented Karatsuba multiplication on natural numbers (not all integers). However, this does not really matter, as the multiplication can just be lifted to the integers. This lifting has already been done on other types, but for the sake of completeness we will just add it here as well:

**fun** *karatsuba-mul-int* **where**  
*karatsuba-mul-int*  $x\ y = nat\text{-}mul\text{-}to\text{-}int\text{-}mul\ karatsuba\text{-}mul\text{-}nat\ x\ y$

**corollary** *karatsuba-mul-int-correct*:  
 $to\text{-}int (karatsuba\text{-}mul\text{-}int\ x\ y) = to\text{-}int\ x * to\text{-}int\ y$   
 $\langle proof \rangle$

**end**

## 12 Running Time of Karatsuba Multiplication

**theory** *Karatsuba-TM*  
**imports** *Karatsuba* *../Binary-Representations/Nat-LSBF-TM*  
*../Estimation-Method*  
**begin**

This theory contains a time monad version of Karatsuba multiplication, which is used to verify the asymptotic running time of  $\mathcal{O}(n^{\log_2 3})$ .

**definition** *abs-diff-tm* ::  $nat\text{-}lsbf \Rightarrow nat\text{-}lsbf \Rightarrow nat\text{-}lsbf\ tm$  **where**  
 $abs\text{-}diff\text{-}tm\ xs\ ys = 1\ do\ \{$   
 $\quad r1 \leftarrow xs\ \text{-}_{nt}\ ys;$   
 $\quad r2 \leftarrow ys\ \text{-}_{nt}\ xs;$   
 $\quad r1\ \text{+}_{nt}\ r2$   
 $\}$

**lemma** *val-abs-diff-tm[simp, val-simp]*:  $val (abs\text{-}diff\text{-}tm\ xs\ ys) = abs\text{-}diff\ xs\ ys$   
 $\langle proof \rangle$

**lemma** *time-abs-diff-tm-le*:  $time (abs\text{-}diff\text{-}tm\ xs\ ys) \leq 62 * max (length\ xs) (length\ ys) + 100$   
 $\langle proof \rangle$

**context** *karatsuba-context*

**begin**

**definition** *fill-abs-diff-x* **where** *fill-abs-diff-x* = *fill k-div-2 (abs-diff x0 x1)*

**definition** *fill-abs-diff-y* **where** *fill-abs-diff-y* = *fill k-div-2 (abs-diff y0 y1)*

**definition** *sgnx* **where** *sgnx* = *(x1 ≤<sub>n</sub> x0)*

**definition** *sgny* **where** *sgny* = *(y1 ≤<sub>n</sub> y0)*

**definition** *sgnxy* **where** *sgnxy* = *(sgnx = sgny)*

**definition** *r'* **where** *r'* = *(if sgnxy then add01 -<sub>n</sub> prod2 else add01 +<sub>n</sub> prod2)*

**definition** *sr* **where** *sr* = *r >><sub>n</sub> k-div-2*

**definition** *add0sr* **where** *add0sr* = *prod0 +<sub>n</sub> sr*

**definition** *s1* **where** *s1* = *prod1 >><sub>n</sub> k*

**lemma** *r-r'*: *r = r'*

*<proof>*

**lemmas** *defs3* = *fill-abs-diff-x-def fill-abs-diff-y-def sgnx-def sgny-def sgnxy-def r-r'*  
*r'-def sr-def add0sr-def s1-def*

**end**

**lemma** *add-nat-carry-aux*:

**assumes** *length x ≤ k*

**assumes** *length y ≤ k*

**assumes** *length (x +<sub>n</sub> y) = k + 1*

**shows** *max (length x) (length y) = k Nat-LSBF.to-nat x + Nat-LSBF.to-nat y*  
*≥ 2 ^ k*

*<proof>*

**context begin**

**private fun** *f* **where**

*f k = (if k ≤ karatsuba-lower-bound then 2 \* k else f (k div 2) + k + 4)*

**declare** *f.simps[simp del]*

**private lemma** *f-linear*: *f k ≤ 6 \* k*

*<proof>* **lemma** *f-bound*:

**assumes** *k = 2 ^ l*

**assumes** *length x = k*

**assumes** *length y = k*

**shows** *length (karatsuba-on-power-of-2-length k x y) ≤ f k*

*<proof>*

**lemma** *length-karatsuba-on-power-of-2-length*:

**assumes** *k = 2 ^ l*

**assumes** *length x = k*

**assumes** *length y = k*

**shows** *length (karatsuba-on-power-of-2-length k x y) ≤ 6 \* k*

*<proof>*

**end**

**function** *karatsuba-on-power-of-2-length-tm* :: *nat*  $\Rightarrow$  *nat-lsbf*  $\Rightarrow$  *nat-lsbf*  $\Rightarrow$  *nat-lsbf*  
*tm* **where**

```
karatsuba-on-power-of-2-length-tm k xs ys = 1 do {
  b  $\leftarrow$  k  $\leq_t$  karatsuba-lower-bound;
  (if b then grid-mul-nat-tm xs ys else do {
    (x0, x1)  $\leftarrow$  split-tm xs;
    (y0, y1)  $\leftarrow$  split-tm ys;
    k-div-2  $\leftarrow$  k divt 2;
    prod0  $\leftarrow$  karatsuba-on-power-of-2-length-tm k-div-2 x0 y0;
    prod1  $\leftarrow$  karatsuba-on-power-of-2-length-tm k-div-2 x1 y1;
    abs-diff-x  $\leftarrow$  (abs-diff-tm x0 x1  $\gg$  fill-tm k-div-2);
    abs-diff-y  $\leftarrow$  (abs-diff-tm y0 y1  $\gg$  fill-tm k-div-2);
    prod2  $\leftarrow$  karatsuba-on-power-of-2-length-tm k-div-2 abs-diff-x abs-diff-y;
    sgnx  $\leftarrow$  x1  $\leq_{nt}$  x0;
    sgny  $\leftarrow$  y1  $\leq_{nt}$  y0;
    sgnxy  $\leftarrow$  sgnx =t sgny;
    — construct return value
    add01  $\leftarrow$  prod0 +nt prod1;
    r  $\leftarrow$  (if sgnxy then add01 -nt prod2 else add01 +nt prod2);
    sr  $\leftarrow$  r  $\gg_{nt}$  k-div-2;
    add0sr  $\leftarrow$  prod0 +nt sr;
    s1  $\leftarrow$  prod1  $\gg_{nt}$  k;
    add0sr +nt s1
  })
}
⟨proof⟩
termination
⟨proof⟩
```

**declare** *karatsuba-on-power-of-2-length-tm.simps*[*simp del*]

**lemma** *val-karatsuba-on-power-of-2-length-tm*[*simp*, *val-simp*]:

```
assumes k = 2 ^ l
assumes length xs = k length ys = k
shows val (karatsuba-on-power-of-2-length-tm k xs ys) = karatsuba-on-power-of-2-length
k xs ys
⟨proof⟩
```

**fun** *h* **where**

```
h k = (if k  $\leq$  karatsuba-lower-bound then 2 * k + 8 * k * k + 3
  else 407 + 224 * k + 3 * h (k div 2))
```

**declare** *h.simps*[*simp del*]

**lemma** *time-karatsuba-on-power-of-2-length-tm-le-h*:

```
assumes k = 2 ^ l
assumes length xs = k length ys = k
```

**shows**  $\text{time}(\text{karatsuba-on-power-of-2-length-tm } k \text{ } xs \text{ } ys) \leq h \ k$   
 ⟨proof⟩

**lemma**  $n\text{-div-2}$ :  $n \text{ div } 2 = \text{nat } \lfloor \text{real } n / 2 \rfloor$   
 ⟨proof⟩

**function**  $h\text{-real} :: \text{nat} \Rightarrow \text{real}$  **where**  
 $x \leq \text{karatsuba-lower-bound} \Rightarrow h\text{-real } x = 8 * x * x + 2 * x + 3$   
 $| x > \text{karatsuba-lower-bound} \Rightarrow h\text{-real } x = 407 + 224 * x + 3 * h\text{-real } (\text{nat } (\lfloor \text{real } x / 2 \rfloor))$   
 ⟨proof⟩

**termination**  
 ⟨proof⟩

**lemma**  $h\text{-h-real}$ :  $\text{real } (h \ k) = h\text{-real } k$   
 ⟨proof⟩

**lemma**  $h\text{-real-bigo}$ :  $h\text{-real} \in O(\lambda n. \text{real } n \text{ powr } \log 2 \ 3)$   
 ⟨proof⟩

**definition**  $\text{karatsuba-mul-nat-tm} :: \text{nat-lsbf} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf } tm$  **where**  
 $\text{karatsuba-mul-nat-tm } xs \text{ } ys = 1 \text{ do } \{$   
 $\text{lenx} \leftarrow \text{length-tm } xs;$   
 $\text{leny} \leftarrow \text{length-tm } ys;$   
 $k \leftarrow \text{max-nat-tm } \text{lenx } \text{leny} \gg \text{next-power-of-2-tm};$   
 $\text{fillx} \leftarrow \text{fill-tm } k \text{ } xs;$   
 $\text{filly} \leftarrow \text{fill-tm } k \text{ } ys;$   
 $\text{karatsuba-on-power-of-2-length-tm } k \text{ } \text{fillx } \text{filly}$   
 $\}$

**lemma**  $\text{val-karatsuba-mul-nat-tm}[\text{simp}, \text{val-simp}]$ :  $\text{val } (\text{karatsuba-mul-nat-tm } xs \text{ } ys)$   
 $= \text{karatsuba-mul-nat } xs \text{ } ys$   
 ⟨proof⟩

**definition**  $\text{time-karatsuba-mul-nat-bound}$  **where**  
 $\text{time-karatsuba-mul-nat-bound } m = 53 + 218 * (\text{next-power-of-2 } m) + h (\text{next-power-of-2 } m)$

The following two lemmas are one way to formally express the more informal statement "Karatsuba Multiplication needs  $\mathcal{O}(n^{\log_2 3})$  bit operations for input numbers of length  $n$ ".

**theorem**  $\text{time-karatsuba-mul-nat-tm-le}$ :  
**assumes**  $\text{max } (\text{length } xs) (\text{length } ys) = m$   
**shows**  $\text{time}(\text{karatsuba-mul-nat-tm } xs \text{ } ys) \leq \text{time-karatsuba-mul-nat-bound } m$   
 ⟨proof⟩

**theorem**  $\text{time-karatsuba-mul-nat-bound-bigo}$ :  $\text{time-karatsuba-mul-nat-bound} \in O(\lambda m. m \text{ powr } \log 2 \ 3)$   
 ⟨proof⟩

end

## 13 Code Generation

**theory** *Karatsuba-Code-Nat*  
  **imports** *Main HOL-Library.Code-Binary-Nat Karatsuba*  
**begin**

In this theory, the Karatsuba Multiplication implemented in *Karatsuba* is used for code generation. This is not really practical (except beginning at 3000 decimal digits), but merely a nice gimmick.

**fun** *from-numeral* :: *num*  $\Rightarrow$  *nat-lsbf* **where**  
  *from-numeral* *num.One* = [*True*]  
  | *from-numeral* (*num.Bit0* *x*) = *False* # *from-numeral* *x*  
  | *from-numeral* (*num.Bit1* *x*) = *True* # *from-numeral* *x*

**lemma** *from-numeral-nonempty*: *from-numeral* *x*  $\neq$  []  
   $\langle$ *proof* $\rangle$

**lemma** *from-numeral-truncated*: *truncated* (*from-numeral* *x*)  
   $\langle$ *proof* $\rangle$

**lemma** *to-nat-from-numeral-neq-zero*: *to-nat* (*from-numeral* *x*)  $\neq$  0  
   $\langle$ *proof* $\rangle$

**fun** *to-numeral-of-truncated* :: *nat-lsbf*  $\Rightarrow$  *num* **where**  
  *to-numeral-of-truncated* [] = *num.One*  
  | *to-numeral-of-truncated* [*True*] = *num.One*  
  | *to-numeral-of-truncated* (*True* # *xs*) = *num.Bit1* (*to-numeral-of-truncated* *xs*)  
  | *to-numeral-of-truncated* (*False* # *xs*) = *num.Bit0* (*to-numeral-of-truncated* *xs*)

**lemma** *to-numeral-of-truncated-from-numeral*:  
  *to-numeral-of-truncated* (*from-numeral* *x*) = *x*  
   $\langle$ *proof* $\rangle$

**lemma** *nat-of-num-to-numeral-of-truncated*:  
  **assumes** *truncated* *xs*  
  **assumes** *xs*  $\neq$  []  
  **shows** *nat-of-num* (*to-numeral-of-truncated* *xs*) = *to-nat* *xs*  
   $\langle$ *proof* $\rangle$

**definition** *to-numeral* :: *nat-lsbf*  $\Rightarrow$  *num* **where**  
  *to-numeral* *xs* = (*let* *xs'* = *Nat-LSBF.truncate* *xs* *in to-numeral-of-truncated* *xs'*)

**lemma** *to-numeral-from-numeral*: *to-numeral* (*from-numeral* *x*) = *x*  
   $\langle$ *proof* $\rangle$

```

lemma nat-of-num-to-numeral:
  assumes to-nat xs ≠ 0
  shows nat-of-num (to-numeral xs) = to-nat xs
  ⟨proof⟩

lemma l0:
  assumes truncated xs
  shows to-numeral-of-truncated xs = num-of-nat (to-nat xs)
  ⟨proof⟩

lemma l1: to-numeral xs = num-of-nat (to-nat xs)
  ⟨proof⟩

lemma l2: to-nat (from-numeral x) = nat-of-num x
  ⟨proof⟩

lemma[code]:
  (x::num) * y = to-numeral (karatsuba-mul-nat (from-numeral x) (from-numeral y))
  ⟨proof⟩

end

```

## References

- [1] A. Karatsuba and Y. Ofman. Multiplication of many-digital numbers by automatic computers. *Dokl. Akad. Nauk SSSR*, 145:293–294, 1962. <http://mi.mathnet.ru/dan26729>.
- [2] T. Nipkow. Verified root-balanced trees. In B.-Y. E. Chang, editor, *Asian Symposium on Programming Languages and Systems, APLAS 2017*, volume 10695 of *LNCS*, pages 255–272. Springer, 2017. <https://www21.in.tum.de/~nipkow/pubs/aplas17.pdf>.