

Karatsuba Multiplication for Integers

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Abstract

We give a verified implementation of the Karatsuba Multiplication on Integers [1] as well as verified runtime bounds. Integers are represented as LSBF (least significant bit first) boolean lists, on which the algorithm by Karatsuba [1] is implemented. The running time of $O(n^{\log_2 3})$ is verified using the Time Monad defined in [2].

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1 Preliminaries

Some general preliminaries.

theory *Karatsuba-Preliminaries*

imports *Main Expander-Graphs.Extra-Congruence-Method HOL-Number-Theory.Residues*
begin

lemma *prop-iffI*:

assumes $Q \implies P$ R

assumes $\neg Q \implies P$ S

shows P (if Q then R else S)
 using *assms* by *argo*

lemma *let-prop-cong*:
 assumes $T = T'$
 assumes $P (f T) (f' T')$
 shows $P (\text{let } x = T \text{ in } f x) (\text{let } x = T' \text{ in } f' x)$
 using *assms* by *simp*

lemma *set-subseteqD*:
 assumes $\text{set } xs \subseteq A$
 shows $\bigwedge i. i < \text{length } xs \implies xs ! i \in A$
 using *assms* by *fastforce*

lemma *set-subseteqI*:
 assumes $\bigwedge i. i < \text{length } xs \implies xs ! i \in A$
 shows $\text{set } xs \subseteq A$
 using *assms*
 by (*metis in-set-conv-nth subsetI*)

lemma *Nat-max-le-sum*: $\max (a :: \text{nat}) b \leq a + b$
 by *simp*

lemma *upt-add-eq-append'*:
 assumes $a \leq b$ $b \leq c$
 shows $[a..<c] = [a..<b] @ [b..<c]$
 using *assms* *upt-add-eq-append*[of a b $c - b$] by *auto*

lemma *map-add-const-upt*: $\text{map } (\lambda j. j + c) [a..<b] = [a + c..<b + c]$
proof (*cases* $a < b$)
 case *True*
 then have $\text{map } (\lambda j. j + c) [a..<b] = \text{map } (\lambda j. j + c) (\text{map } (\lambda j. j + a) [0..<b-a])$
 using *map-add-upt*[of a $b - a$] by *simp*
 also have $\dots = \text{map } (\lambda j. j + (a + c)) [0..<b-a]$
 by *simp*
 also have $\dots = [a+c..<b+c]$
 using *map-add-upt*[of $a + c$ $b - a$] *True* by *simp*
 finally show ?thesis .
 next
 case *False*
 then show ?thesis by *simp*
qed

lemma *filter-even-upt-even*: $\text{filter even } [0..<2*n] = \text{map } ((* 2) [0..<n]$
 by (*induction* n) *simp-all*

lemma *filter-even-upt-odd*: $\text{filter even } [0..<2*n + 1] = \text{map } ((* 2) [0..<n + 1]$
 by (*simp* *add: filter-even-upt-even*)

lemma *filter-odd-upt-even*: $\text{filter odd } [0..<2*n] = \text{map } (\lambda i. 2*i + 1) [0..<n]$

by (induction n) simp-all
lemma filter-odd-upt-odd: filter odd [0.. $2 * n + 1$] = map ($\lambda i. 2 * i + 1$) [0.. n]
 by (simp add: filter-odd-upt-even)

lemma length-filter-even: length (filter even [0.. n]) = (if even n then n div 2 else n div 2 + 1)
 by (induction n) simp-all
lemma length-filter-odd: length (filter odd [0.. n]) = n div 2
 by (induction n) simp-all

lemma filter-even-nth:
 assumes $i < \text{length (filter even [0.. n])}$
 shows filter even [0.. n] ! $i = 2 * i$
proof (cases even n)
 case True
 then obtain n' where $n = 2 * n'$ by blast
 then show ?thesis using filter-even-upt-even[of n'] assms by auto
next
 case False
 then obtain n' where $n = 2 * n' + 1$ using oddE by blast
 show ?thesis
 using assms
 apply (simp only: $\langle n = 2 * n' + 1 \rangle$ filter-even-upt-odd length-map nth-map)
 apply (intro arg-cong[where $f = (*) 2$])
 by (metis add-0 diff-zero length-upt nth-upt)
qed

lemma filter-odd-nth:
 assumes $i < \text{length (filter odd [0.. n])}$
 shows filter odd [0.. n] ! $i = 2 * i + 1$
proof (cases even n)
 case True
 then obtain n' where $n = 2 * n'$ by blast
 then show ?thesis using filter-odd-upt-even assms by auto
next
 case False
 then obtain n' where $n = 2 * n' + 1$ using oddE by blast
 then show ?thesis
 using assms
 by (simp only: filter-odd-upt-odd length-map)
 (simp add: $\langle n = 2 * n' + 1 \rangle$ length-filter-odd)
qed

fun sublist where
 sublist 0 n xs = take n xs
 | sublist (Suc m) (Suc n) (a # xs) = sublist m n xs
 | sublist (Suc m) 0 xs = []
 | sublist (Suc m) (Suc n) [] = []

lemma *length-sublist[simp]*: $\text{length} (\text{sublist } m \ n \ xs) = \text{card} (\{m..<n\} \cap \{0..<\text{length } xs\})$

by (*induction m n xs rule: sublist.induct*) *simp-all*

lemma *length-sublist'*:

assumes $m \leq n$

assumes $n \leq \text{length } xs$

shows $\text{length} (\text{sublist } m \ n \ xs) = n - m$

using *assms* **by** *simp*

lemma *nth-sublist*:

assumes $m \leq n$

assumes $n \leq \text{length } xs$

assumes $i < n - m$

shows $\text{sublist } m \ n \ xs \ ! \ i = xs \ ! \ (m + i)$

using *assms*

by (*induction m n xs arbitrary: i rule: sublist.induct*) *simp-all*

lemma *filter-map-map2*:

assumes $\text{length } b = m$

assumes $\text{length } c = m$

shows $[f \ (b!i) \ (c!i). \ i \leftarrow [0..<m]] = \text{map2 } f \ b \ c$

using *assms* **by** (*intro nth-equalityI*) *simp-all*

fun *map3* **where**

$\text{map3 } f \ (x \ \# \ xs) \ (y \ \# \ ys) \ (z \ \# \ zs) = f \ x \ y \ z \ \# \ \text{map3 } f \ xs \ ys \ zs$

| $\text{map3 } f \ - \ - \ - = []$

lemma *map3-as-map*: $\text{map3 } f \ xs \ ys \ zs = \text{map} (\lambda((x, y), z). f \ x \ y \ z) (\text{zip} (\text{zip } xs \ ys) \ zs)$

by (*induction f xs ys zs rule: map3.induct; simp*)

lemma *filter-map-map3*:

assumes $\text{length } b = m$

assumes $\text{length } c = m$

shows $[f \ (b!i) \ (c!i) \ i. \ i \leftarrow [0..<m]] = \text{map3 } f \ b \ c \ [0..<m]$

using *assms*

apply (*intro nth-equalityI*)

unfolding *map3-as-map* **by** *simp-all*

fun *map4* **where**

$\text{map4 } f \ (x \ \# \ xs) \ (y \ \# \ ys) \ (z \ \# \ zs) \ (w \ \# \ ws) = f \ x \ y \ z \ w \ \# \ \text{map4 } f \ xs \ ys \ zs \ ws$

| $\text{map4 } f \ - \ - \ - \ - = []$

lemma *map4-as-map*: $\text{map4 } f \ xs \ ys \ zs \ ws = \text{map} (\lambda(((x,y),z),w). f \ x \ y \ z \ w) (\text{zip} (\text{zip } xs \ ys) \ zs) \ ws)$

by (*induction f xs ys zs ws rule: map4.induct; simp*)

lemma *nth-map2*:

assumes $i < \text{length } xs$
assumes $i < \text{length } ys$
shows $\text{map2 } f \text{ } xs \text{ } ys ! i = f (xs ! i) (ys ! i)$
using *assms* **by** *simp*

lemma *nth-map3*:
assumes $i < \text{length } xs$
assumes $i < \text{length } ys$
assumes $i < \text{length } zs$
shows $\text{map3 } f \text{ } xs \text{ } ys \text{ } zs ! i = f (xs ! i) (ys ! i) (zs ! i)$
using *assms* **unfolding** *map3-as-map* **by** *simp*

lemma *nth-map4*:
assumes $i < \text{length } xs$
assumes $i < \text{length } ys$
assumes $i < \text{length } zs$
assumes $i < \text{length } ws$
shows $\text{map4 } f \text{ } xs \text{ } ys \text{ } zs \text{ } ws ! i = f (xs ! i) (ys ! i) (zs ! i) (ws ! i)$
using *assms* **unfolding** *map4-as-map* **by** *simp*

lemma *nth-map4'*:
assumes $i < l$
assumes $\text{length } xs = l$
assumes $\text{length } ys = l$
assumes $\text{length } zs = l$
assumes $\text{length } ws = l$
shows $\text{map4 } f \text{ } xs \text{ } ys \text{ } zs \text{ } ws ! i = f (xs ! i) (ys ! i) (zs ! i) (ws ! i)$
using *assms* **unfolding** *map4-as-map* **by** *simp*

lemma *map2-of-map-r*: $\text{map2 } f \text{ } xs (\text{map } g \text{ } ys) = \text{map2 } (\lambda x y. f x (g y)) \text{ } xs \text{ } ys$
by (*intro nth-equalityI*) *simp-all*

lemma *map2-of-map-l*: $\text{map2 } f (\text{map } g \text{ } xs) \text{ } ys = \text{map2 } (\lambda x y. f (g x) y) \text{ } xs \text{ } ys$
by (*intro nth-equalityI*) *simp-all*

lemma *map2-of-map2-r*: $\text{map2 } f \text{ } xs (\text{map2 } g \text{ } ys \text{ } zs) = \text{map3 } (\lambda x y z. f x (g y z)) \text{ } xs \text{ } ys \text{ } zs$
unfolding *map3-as-map* **by** (*intro nth-equalityI*) *simp-all*

lemma *map-of-map3*: $\text{map } f (\text{map3 } g \text{ } xs \text{ } ys \text{ } zs) = \text{map3 } (\lambda x y z. f (g x y z)) \text{ } xs \text{ } ys \text{ } zs$
unfolding *map3-as-map* **by** (*intro nth-equalityI*) *simp-all*

lemma *cyclic-index-lemma*:
fixes $n :: \text{nat}$
assumes $\sigma < n \ \varrho < n \ i < n$
shows $(\sigma + \varrho) \bmod n = i \iff \varrho = (n + i - \sigma) \bmod n$
proof
assume $(\sigma + \varrho) \bmod n = i$
then have $(\text{int } \sigma + \text{int } \varrho) \bmod (\text{int } n) = \text{int } i$
using *zmod-int* **by** *fastforce*
also have $\dots = (\text{int } n + \text{int } i) \bmod \text{int } n$
using $\langle i < n \rangle$ **by** *auto*
finally have $(\text{int } \sigma + \text{int } \varrho - \text{int } \sigma) \bmod (\text{int } n) = (\text{int } n + \text{int } i - \text{int } \sigma) \bmod \text{int } n$

```

    using mod-diff-cong by blast
  then have  $(\text{int } \varrho) \bmod (\text{int } n) = (\text{int } n + \text{int } i - \text{int } \sigma) \bmod (\text{int } n)$ 
    by simp
  also have  $\dots = (\text{int } (n + i - \sigma)) \bmod (\text{int } n)$ 
    using assms by (simp add: int-ops(6))
  finally show  $\varrho = (n + i - \sigma) \bmod n$ 
    using zmod-int assms by (metis mod-less of-nat-eq-iff)
next
assume  $\varrho = (n + i - \sigma) \bmod n$ 
then have  $(\sigma + \varrho) \bmod n = (\sigma + (n + i - \sigma)) \bmod n$ 
  by presburger
also have  $\dots = (n + i) \bmod n$ 
  using assms by simp
also have  $\dots = i$ 
  using assms by simp
finally show  $(\sigma + \varrho) \bmod n = i$  .
qed

```

```

lemma (in residues) residues-minus-eq:  $x \ominus_R y = (x - y) \bmod m$ 
proof -
  have  $x \ominus_R y = x \oplus_R (\ominus_R y)$ 
    using a-minus-def by fast
  also have  $\ominus_R y = (-y) \bmod m$ 
    using res-neg-eq[of y] .
  also have  $x \oplus_R ((-y) \bmod m) = (x + ((-y) \bmod m)) \bmod m$ 
    by (simp add: R-m-def residue-ring-def)
  also have  $\dots = (x - y) \bmod m$ 
    by (simp add: mod-add-right-eq)
  finally show ?thesis .
qed

```

```

lemma residue-ring-carrier-eq:  $\{0..(n::\text{int}) - 1\} = \{0..<n\}$ 
  by auto

```

```

context ring
begin

```

```

fun nat-embedding :: nat  $\Rightarrow$  'a where
  nat-embedding 0 = 0
| nat-embedding (Suc n) = nat-embedding n  $\oplus$  1
fun int-embedding :: int  $\Rightarrow$  'a where
  int-embedding n = (if  $n \geq 0$  then nat-embedding (nat n) else  $\ominus$  nat-embedding (nat
(-n)))

```

```

lemma nat-embedding-closed[simp]: nat-embedding  $x \in$  carrier  $R$ 
  by (induction x)(simp-all)
lemma int-embedding-closed[simp]: int-embedding  $x \in$  carrier  $R$ 
  by simp

```


lemma *nat-embedding-a-hom*: $\text{nat-embedding } (x + y) = \text{nat-embedding } x \oplus \text{nat-embedding } y$
apply (*induction x arbitrary: y*)
using *a-comm a-assoc* **by** *simp-all*

lemma *nat-embedding-m-hom*: $\text{nat-embedding } (x * y) = \text{nat-embedding } x \otimes \text{nat-embedding } y$
apply (*induction x arbitrary: y*)
by (*simp-all add: nat-embedding-a-hom l-distr a-comm*)

lemma *nat-embedding-exp-hom*: $\text{nat-embedding } (x \wedge y) = \text{nat-embedding } x [\wedge] y$
apply (*induction y*)
by (*simp-all add: nat-embedding-m-hom group-commutes-pow*)

lemma *int-embedding-neg-hom*: $\text{int-embedding } (- x) = \ominus \text{int-embedding } x$
by *simp*

end

lemma *int-exp-hom*: $\text{int } x \wedge i = \text{int } (x \wedge i)$
by *simp*

end

2 Auxiliary Sum Lemmas

theory *Karatsuba-Sum-Lemmas*

imports *Karatsuba-Preliminaries Expander-Graphs.Extra-Congruence-Method*

begin

lemma *sum-list-eq*: $(\bigwedge x. x \in \text{set } xs \implies f x = g x) \implies \text{sum-list } (\text{map } f xs) = \text{sum-list } (\text{map } g xs)$
by (*rule arg-cong[OF list.map-cong0]*)

lemma *sum-list-split-0*: $(\sum i \leftarrow [0..<Suc n]. f i) = f 0 + (\sum i \leftarrow [1..<Suc n]. f i)$

using *upt-eq-Cons-conv*

proof –

have $[0..<Suc n] = 0 \# [1..<Suc n]$ **using** *upt-eq-Cons-conv* **by** *auto*

then show *?thesis* **by** *simp*

qed

lemma *sum-list-index-trafo*: $(\sum i \leftarrow xs. f (g i)) = (\sum i \leftarrow \text{map } g xs. f i)$

by (*induction xs*) *simp-all*

lemma *sum-list-index-shift*: $(\sum i \leftarrow [a..<b]. f (i + c)) = (\sum i \leftarrow [a+c..<b+c]. f i)$

proof –

have $(\sum i \leftarrow [a..<b]. f (i + c)) = (\sum i \leftarrow (\text{map } (\lambda j. j + c) [a..<b]). f i)$

by (*intro sum-list-index-trafo*)

also have $\text{map } (\lambda j. j + c) [a..<b] = [a+c..<b+c]$

using *map-add-const-upt* **by** *simp*

finally show *?thesis* .

qed

lemma *list-sum-index-shift*: $n = j - k \implies (\sum i \leftarrow [k+1..<j+1]. f i) = (\sum i \leftarrow [k..<j]. f (i + 1))$
using *sum-list-index-trafo*[**where** $g = \lambda l. l + 1$ **and** $xs = [k..<j]$ **and** $f = f$, *symmetric*]
using *map-Suc-upt* **by** *simp*

lemma *list-sum-index-shift'*: $(\sum i \leftarrow [0..<m]. a (i + c)) = (\sum i \leftarrow [c..<m+c]. a i)$
by (*induction m arbitrary: a c*) *auto*

lemma *list-sum-index-concat*: $(\sum i \leftarrow [0..<m]. a i) + (\sum i \leftarrow [m..<m+c]. a i) = (\sum i \leftarrow [0..<m+c]. a i)$

proof –

have $(\sum i \leftarrow [0..<m+c]. a i) = (\sum i \leftarrow [0..<m] @ [m..<m+c]. a i)$
using *upt-add-eq-append*[*of 0 m c*] **by** *simp*
then show *?thesis* **using** *sum-list-append* **by** *simp*

qed

lemma *sum-list-linear*:

assumes $\bigwedge a b. f (a + b) = f a + f b$
assumes $f 0 = 0$
shows $f (\sum i \leftarrow xs. g i) = (\sum i \leftarrow xs. f (g i))$
using *assms*
by (*induction xs*) *simp-all*

lemma *sum-list-int*:

shows $\text{int} (\sum i \leftarrow xs. g i) = (\sum i \leftarrow xs. \text{int} (g i))$
by (*intro sum-list-linear int-ops(5) int-ops(1)*)

lemma *sum-list-split-Suc*:

assumes $n = \text{Suc } n'$
shows $(\sum i \leftarrow [0..<n]. f i) = (\sum i \leftarrow [0..<n']. f i) + f n'$
using *assms* **by** *simp*

lemma *sum-list-estimation-leq*:

assumes $\bigwedge i. i \in \text{set } xs \implies f i \leq B$
shows $(\sum i \leftarrow xs. f i) \leq \text{length } xs * B$
using *assms* **by** (*induction xs*)(*simp, fastforce*)

lemma *sum-list-estimation-le*:

assumes $\bigwedge i. i \in \text{set } xs \implies f i < B$
assumes $xs \neq []$
shows $(\sum i \leftarrow xs. f i) < \text{length } xs * B$

proof –

from $\langle xs \neq [] \rangle$ **have** $\text{length } xs > 0$ **by** *simp*
from $\langle xs \neq [] \rangle$ **obtain** x **where** $x \in \text{set } xs$ **by** *fastforce*
then have $B > 0$ **using** *assms(1)* **by** *fastforce*
then obtain B' **where** $B = \text{Suc } B'$ **using** *not0-implies-Suc* **by** *blast*
with *assms(1)* **have** $\bigwedge i. i \in \text{set } xs \implies f i \leq B'$ **by** *fastforce*

with *sum-list-estimation-leq* **have** $(\sum i \leftarrow xs. f i) \leq \text{length } xs * B'$ **by** *blast*
also have $\dots < \text{length } xs * B$ **using** $\langle B = \text{Suc } B' \rangle \langle \text{length } xs > 0 \rangle$ **by** *simp*
finally show *?thesis* .
qed

2.1 *semiring-1* Sums

lemma (**in** *semiring-1*) *of-bool-mult*: $\text{of-bool } x * a = (\text{if } x \text{ then } a \text{ else } 0)$
by *simp*

lemma (**in** *semiring-1-cancel*) *of-bool-disj*: $\text{of-bool } (x \vee y) = \text{of-bool } x + \text{of-bool } y$
 $- \text{of-bool } x * \text{of-bool } y$
by *simp*

lemma (**in** *semiring-1*) *of-bool-disj-excl*: $\neg (x \wedge y) \implies \text{of-bool } (x \vee y) = \text{of-bool } x + \text{of-bool } y$
by *simp*

lemma (**in** *semiring-1*) *of-bool-var-swap*:

$(\sum i \leftarrow xs. \text{of-bool } (i = j) * f i) = (\sum i \leftarrow xs. \text{of-bool } (i = j) * f j)$
by (*induction xs*) *simp-all*

lemma $(\sum i \leftarrow xs. \text{of-bool } (i = j) * f i) = \text{count-list } xs \ j * f j$
by (*induction xs*) *simp-all*

lemma (**in** *semiring-1*) *of-bool-distinct*:

$\text{distinct } xs \implies (\sum i \leftarrow xs. \text{of-bool } (i = j) * f i j) = \text{of-bool } (j \in \text{set } xs) * f j j$
by (*induction xs*) *auto*

lemma (**in** *semiring-1*) *of-bool-distinct-in*:

$\text{distinct } xs \implies j \in \text{set } xs \implies (\sum i \leftarrow xs. \text{of-bool } (i = j) * f i j) = f j j$
using *of-bool-distinct[of xs j f]* *of-bool-mult* **by** *simp*

lemma (**in** *linordered-semiring-1*) *of-bool-sum-leq-1*:

assumes *distinct xs*

assumes $\bigwedge i j. i \in \text{set } xs \implies j \in \text{set } xs \implies P i \implies P j \implies i = j$

shows $(\sum l \leftarrow xs. \text{of-bool } (P l)) \leq 1$

using *assms*

proof (*induction xs*)

case *Nil*

then show *?case* **by** *simp*

next

case (*Cons a xs*)

consider $P a \mid \neg P a$ **by** *blast*

then show *?case*

proof *cases*

case *1*

then have $r: (\sum l \leftarrow a \# xs. \text{of-bool } (P l)) = 1 + (\sum l \leftarrow xs. \text{of-bool } (P l))$

by *simp*

have $\text{of-bool } (P l) = 0$ **if** $l \in \text{set } xs$ **for** l

proof $-$

from that have $a \neq l$ **using** *Cons* **by** *auto*

then have $\neg P l$ **using** *Cons* $\langle l \in \text{set } xs \rangle$ *1* **by** *force*

```

    then show  $of\text{-}bool (P l) = 0$  by simp
  qed
  then have  $(\sum l \leftarrow xs. of\text{-}bool (P l)) = (\sum l \leftarrow xs. 0)$ 
    using  $list.map\text{-}cong0[of\ xs]$  by metis
  then show  $?thesis$  using  $r$  by simp
next
  case 2
  then have  $(\sum l \leftarrow a \# xs. of\text{-}bool (P l)) = (\sum l \leftarrow xs. of\text{-}bool (P l))$ 
    by simp
  then show  $?thesis$  using  $Cons$  by simp
qed
qed
instantiation nat :: linordered-semiring-1
begin
  instance ..
end

```

```

lemma (in semiring-1) sum-list-mult-sum-list:  $(\sum i \leftarrow xs. f i) * (\sum j \leftarrow ys. g j)$ 
=  $(\sum i \leftarrow xs. \sum j \leftarrow ys. f i * g j)$ 
  by (simp add: sum-list-const-mult sum-list-mult-const)

```

```

lemma (in semiring-1) semiring-1-sum-list-eq:
 $(\bigwedge i. i \in set\ xs \implies f i = g i) \implies (\sum i \leftarrow xs. f i) = (\sum i \leftarrow xs. g i)$ 
  using  $arg\text{-}cong[OF\ list.map\text{-}cong0]$  by blast

```

```

lemma (in semiring-1) sum-swap:
 $(\sum i \leftarrow xs. (\sum j \leftarrow ys. f i j)) = (\sum j \leftarrow ys. (\sum i \leftarrow xs. f i j))$ 
proof (induction  $xs$ )
  case ( $Cons\ a\ xs$ )
  have  $(\sum i \leftarrow (a \# xs). (\sum j \leftarrow ys. f i j)) = (\sum j \leftarrow ys. f a j) + (\sum i \leftarrow xs. (\sum j \leftarrow ys. f i j))$ 
    by simp
  also have  $\dots = (\sum j \leftarrow ys. f a j) + (\sum j \leftarrow ys. (\sum i \leftarrow xs. f i j))$ 
    using  $Cons$  by simp
  also have  $\dots = (\sum j \leftarrow ys. f a j + (\sum i \leftarrow xs. f i j))$ 
    using  $sum\text{-}list\text{-}addf[of\ \lambda j. f a j - ys]$  by simp
  also have  $\dots = (\sum j \leftarrow ys. (\sum i \leftarrow (a \# xs). f i j))$  by simp
  finally show  $?case$  .
qed simp

```

```

lemma (in semiring-1) sum-append:
 $(\sum i \leftarrow (xs @ ys). f i) = (\sum i \leftarrow xs. f i) + (\sum i \leftarrow ys. f i)$ 
  by (induction xs) (simp-all add: add.assoc)

```

```

lemma (in semiring-1) sum-append':
  assumes  $zs = xs @ ys$ 
  shows  $(\sum i \leftarrow zs. f i) = (\sum i \leftarrow xs. f i) + (\sum i \leftarrow ys. f i)$ 
  using assms sum-append by blast

```

2.1.1 Power Sums

lemma (in *semiring-1*) *sum-list-of-bool-filter*: $(\sum i \leftarrow xs. \text{of-bool } (P \ i) * f \ i) =$
 $(\sum i \leftarrow \text{filter } P \ xs. f \ i)$
by (*induction xs; simp*)

lemma *upt-filter-less*: *filter* $(\lambda i. i < c) [a..<b] = [a..<\text{min } b \ c]$
by (*induction b; simp*)

lemma *upt-filter-geq*: *filter* $(\lambda i. i \geq c) [a..<b] = [\text{max } a \ c..<b]$
by (*induction b; simp*)

lemma (in *semiring-1*) *sum-list-of-bool-less*: $(\sum i \leftarrow [a..<b]. \text{of-bool } (i < c) * f \ i)$
 $= (\sum i \leftarrow [a..<\text{min } b \ c]. f \ i)$
unfolding *sum-list-of-bool-filter upt-filter-less* **by** (*rule refl*)

lemma (in *semiring-1*) *sum-list-of-bool-geq*: $(\sum i \leftarrow [a..<b]. \text{of-bool } (i \geq c) * f \ i)$
 $= (\sum i \leftarrow [\text{max } a \ c..<b]. f \ i)$
unfolding *sum-list-of-bool-filter upt-filter-geq* **by** (*rule refl*)

lemma (in *semiring-1*) *sum-list-of-bool-range*: $(\sum i \leftarrow [a..<b]. \text{of-bool } (i \in \text{set } [c..<d]) * f \ i) =$
 $(\sum i \leftarrow [\text{max } a \ c..<\text{min } b \ d]. f \ i)$

proof –

have $(\sum i \leftarrow [a..<b]. \text{of-bool } (i \in \text{set } [c..<d]) * f \ i) =$
 $(\sum i \leftarrow [a..<b]. \text{of-bool } (i \geq c) * (\text{of-bool } (i < d) * f \ i))$
by (*intro semiring-1-sum-list-geq; simp*)

then show *?thesis unfolding sum-list-of-bool-geq sum-list-of-bool-less* .

qed

lemma (in *comm-semiring-1*) *cauchy-product*:
 $(\sum i \leftarrow [0..<n]. f \ i) * (\sum j \leftarrow [0..<m]. g \ j) =$
 $(\sum k \leftarrow [0..<n + m - 1]. \sum l \leftarrow [k + 1 - m..<\text{min } (k + 1) \ n]. f \ l * g \ (k - l))$

proof –

have $(\sum i \leftarrow [0..<n]. f \ i) * (\sum j \leftarrow [0..<m]. g \ j) =$
 $(\sum i \leftarrow [0..<n]. \sum j \leftarrow [0..<m]. f \ i * g \ j)$
unfolding *sum-list-mult-const[symmetric]*
unfolding *sum-list-const-mult[symmetric]*
by (*rule refl*)

also have $\dots = (\sum i \leftarrow [0..<n]. \sum j \leftarrow [0..<m]. \sum k \leftarrow [0..<n + m - 1].$
 $\text{of-bool } (k = i + j) * (f \ i * g \ j))$

by (*intro semiring-1-sum-list-geq of-bool-distinct-in[symmetric]; simp*)

also have $\dots = (\sum k \leftarrow [0..<n + m - 1]. \sum i \leftarrow [0..<n]. \sum j \leftarrow [0..<m].$
 $\text{of-bool } (k = i + j) * (f \ i * g \ j))$

unfolding *sum-swap[where xs = [0..<m] and ys = [0..<n + m - 1]]*

unfolding *sum-swap[where xs = [0..<n] and ys = [0..<n + m - 1]]*

by (*rule refl*)

also have $\dots = (\sum k \leftarrow [0..<n + m - 1]. \sum i \leftarrow [0..<n]. \sum j \leftarrow [0..<m].$
 $\text{of-bool } (k \geq i \wedge j = k - i) * (f \ i * g \ j))$

by (*intro semiring-1-sum-list-eq; simp*)
 also have ... = $(\sum k \leftarrow [0..<n + m - 1]. \sum i \leftarrow [0..<n]. \sum j \leftarrow [0..<m].$
of-bool ($j = k - i$) * (*of-bool* ($k \geq i$) * ($f i * g j$)))
 by (*intro semiring-1-sum-list-eq; simp*)
 also have ... = $(\sum k \leftarrow [0..<n + m - 1]. \sum i \leftarrow [0..<n].$ *of-bool* ($k - i \in \text{set}$
 $[0..<m]$) * ((*of-bool* ($k \geq i$) * ($f i * g (k - i)$))))
 by (*intro semiring-1-sum-list-eq of-bool-distinct distinct-upt*)
 also have ... = $(\sum k \leftarrow [0..<n + m - 1]. \sum i \leftarrow [0..<n].$ *of-bool* ($i \geq k + 1 -$
 m) * ((*of-bool* ($k + 1 > i$) * ($f i * g (k - i)$))))
 by (*intro semiring-1-sum-list-eq; auto*)
 also have ... = $(\sum k \leftarrow [0..<n + m - 1]. \sum l \leftarrow [k + 1 - m..<\text{min } (k + 1)$
 $n]. f l * g (k - l))$
 apply (*intro semiring-1-sum-list-eq*)
 unfolding *sum-list-of-bool-geq sum-list-of-bool-less max-0L min.commute*[*of n*]
 by (*rule refl*)
 finally show ?thesis .
 qed

lemma (in *comm-semiring-1*) *power-sum-product*:

assumes $m > 0$

assumes $n \geq m$

shows

$$\begin{aligned}
 & (\sum i \leftarrow [0..<n]. f i * x^{\wedge} i) * (\sum j \leftarrow [0..<m]. g j * x^{\wedge} j) = \\
 & (\sum k \leftarrow [0..<m]. (\sum i \leftarrow [0..<\text{Suc } k]. f i * g (k - i)) * x^{\wedge} k) + \\
 & (\sum k \leftarrow [m..<n]. (\sum i \leftarrow [\text{Suc } k - m..<\text{Suc } k]. f i * g (k - i)) * x^{\wedge} k) + \\
 & (\sum k \leftarrow [n..<n + m - 1]. (\sum i \leftarrow [\text{Suc } k - m..<n]. f i * g (k - i)) * x^{\wedge} k)
 \end{aligned}$$

proof –

have 1: $[0..<n + m - 1] = [0..<m] @ [m..<n] @ [n..<n + m - 1]$

using *upt-add-eq-append'*[*of 0 m n + m - 1*] *upt-add-eq-append'*[*of m n n + m - 1*] *assms* by *simp*

$$\begin{aligned}
 & \text{have } (\sum i \leftarrow [0..<n]. f i * x^{\wedge} i) * (\sum j \leftarrow [0..<m]. g j * x^{\wedge} j) = \\
 & (\sum k \leftarrow [0..<n + m - 1]. \sum l \leftarrow [k + 1 - m..<\text{min } (k + 1) n]. (f l * x^{\wedge} \\
 & l) * (g (k - l) * x^{\wedge} (k - l)))
 \end{aligned}$$

by (*rule cauchy-product*)

also have ... = $(\sum k \leftarrow [0..<n + m - 1]. \sum l \leftarrow [k + 1 - m..<\text{min } (k + 1)$
 $n]. f l * g (k - l) * x^{\wedge} k)$

apply (*intro semiring-1-sum-list-eq*)

using *mult.commute mult.assoc power-add*[*symmetric*]

by *simp*

also have ... = $(\sum k \leftarrow [0..<n + m - 1]. (\sum l \leftarrow [k + 1 - m..<\text{min } (k + 1)$
 $n]. f l * g (k - l)) * x^{\wedge} k)$

by (*intro semiring-1-sum-list-eq sum-list-mult-const*)

also have ... = $(\sum k \leftarrow [0..<m]. (\sum i \leftarrow [k + 1 - m..<\text{min } (k + 1) n]. f i * g (k$
 $- i)) * x^{\wedge} k) +$
 $(\sum k \leftarrow [m..<n]. (\sum i \leftarrow [k + 1 - m..<\text{min } (k + 1) n]. f i * g (k - i)) * x^{\wedge}$
 $k) +$
 $(\sum k \leftarrow [n..<n + m - 1]. (\sum i \leftarrow [k + 1 - m..<\text{min } (k + 1) n]. f i * g (k -$
 $i)) * x^{\wedge} k)$

unfolding 1 sum-append add.assoc by (rule refl)
also have ... = $(\sum k \leftarrow [0..<m]. (\sum i \leftarrow [0..<Suc\ k]. f\ i * g\ (k - i)) * x \wedge k) +$
 $(\sum k \leftarrow [m..<n]. (\sum i \leftarrow [Suc\ k - m..<Suc\ k]. f\ i * g\ (k - i)) * x \wedge k) +$
 $(\sum k \leftarrow [n..<n + m - 1]. (\sum i \leftarrow [Suc\ k - m..<n]. f\ i * g\ (k - i)) * x \wedge k)$
using *assms* **by** (*intro-cong [cong-tag-2 (+)] more: semiring-1-sum-list-eq; simp*)
finally show ?thesis .
qed

lemma (in *comm-semiring-1*) *power-sum-product-same-length*:

assumes $n > 0$

shows $(\sum i \leftarrow [0..<n]. f\ i * x \wedge i) * (\sum j \leftarrow [0..<n]. g\ j * x \wedge j) =$
 $(\sum k \leftarrow [0..<n]. (\sum i \leftarrow [0..<Suc\ k]. f\ i * g\ (k - i)) * x \wedge k) +$
 $(\sum k \leftarrow [n..<2 * n - 1]. (\sum i \leftarrow [Suc\ k - n..<n]. f\ i * g\ (k - i)) * x \wedge k)$
using *power-sum-product[of n n f x g, OF assms order.refl]*
by (*simp add: semiring-numeral-class.mult-2*)

lemma (in *semiring-1*) *sum-index-transformation*:

shows $(\sum i \leftarrow xs. f\ (g\ i)) = (\sum j \leftarrow map\ g\ xs. f\ j)$
by (*induction xs*) *simp-all*

lemma (in *comm-semiring-1*) *power-sum-split*:

fixes $f :: nat \Rightarrow 'a$

fixes $x :: 'a$

fixes $c :: nat$

assumes $j \leq n$

shows $(\sum i \leftarrow [0..<n]. f\ i * x \wedge (i * c)) =$
 $(\sum i \leftarrow [0..<j]. f\ i * x \wedge (i * c)) +$
 $x \wedge (j * c) * (\sum i \leftarrow [0..<n - j]. f\ (j + i) * x \wedge (i * c))$

proof -

have $(\lambda i. i + j) = (+)\ j$ **by** *fastforce*

have $(\sum i \leftarrow [0..<n]. f\ i * x \wedge (i * c)) =$

$(\sum i \leftarrow [0..<j]. f\ i * x \wedge (i * c)) + (\sum i \leftarrow [j..<n]. f\ i * x \wedge (i * c))$

apply (*intro sum-append' upt-add-eq-append'*) **using** $\langle j \leq n \rangle$ **by** *auto*

also have $(\sum i \leftarrow [j..<n]. f\ i * x \wedge (i * c)) =$

$(\sum i \leftarrow map\ ((+)\ j)\ [0..<n - j]. f\ i * x \wedge (i * c))$

apply (*intro-cong [cong-tag-1 sum-list, cong-tag-2 map] more: refl*)

using $\langle j \leq n \rangle$ *map-add-upt[of j n - j]* $\langle \lambda i. i + j = (+)\ j \rangle$ **by** *simp*

also have ... = $(\sum i \leftarrow [0..<n - j]. f\ (j + i) * x \wedge ((j + i) * c))$

by (*intro sum-index-transformation[symmetric]*)

also have ... = $(\sum i \leftarrow [0..<n - j]. x \wedge (j * c) * (f\ (j + i) * x \wedge (i * c)))$

apply (*intro semiring-1-sum-list-eq*)

using *mult.commute mult.assoc* **by** (*simp add: power-add add-mult-distrib*)

also have ... = $x \wedge (j * c) * (\sum i \leftarrow [0..<n - j]. (f\ (j + i) * x \wedge (i * c)))$

by (*intro sum-list-const-mult*)

finally show ?thesis .

qed

2.2 nat Sums

lemma *geo-sum-nat*:

assumes $(q :: nat) > 1$

shows $(q - 1) * (\sum i \leftarrow [0..<n]. q \wedge i) = q \wedge n - 1$

proof (*induction n*)

case (*Suc n*)

have $(q - 1) * (\sum i \leftarrow [0..<Suc\ n]. q \wedge i) = (q - 1) * (q \wedge n + (\sum i \leftarrow [0..<n]. q \wedge i))$

by *simp*

also have $\dots = (q - 1) * q \wedge n + (q - 1) * (\sum i \leftarrow [0..<n]. q \wedge i)$

using *add-mult-distrib mult commute by metis*

also have $\dots = (q - 1) * q \wedge n + (q \wedge n - 1)$

using *Suc.IH by simp*

also have $\dots = q * q \wedge n - 1$ **using** $\langle q > 1 \rangle$ **by** (*simp add: diff-mult-distrib*)

finally show *?case by simp*

qed *simp*

lemma *geo-sum-bound*:

assumes $(q :: nat) > 1$

assumes $\bigwedge i. i < n \implies f\ i < q$

shows $(\sum i \leftarrow [0..<n]. f\ i * q \wedge i) < q \wedge n$

proof –

from *assms* **have** $\bigwedge i. i < n \implies f\ i \leq (q - 1)$ **by** *fastforce*

then have $(\sum i \leftarrow [0..<n]. f\ i * q \wedge i) \leq (\sum i \leftarrow [0..<n]. (q - 1) * q \wedge i)$

apply (*intro sum-list-mono mult-le-mono1*)

using *assms by simp*

also have $\dots = (q - 1) * (\sum i \leftarrow [0..<n]. q \wedge i)$

by (*intro sum-list-const-mult*)

also have $\dots = q \wedge n - 1$

by (*intro geo-sum-nat assms*)

also have $\dots < q \wedge n$ **using** $\langle q > 1 \rangle$ **by** *simp*

finally show *?thesis .*

qed

lemma *power-sum-nat-split-div-mod*:

assumes $x > 1$

assumes $c > 0$

assumes $\bigwedge i. i < n \implies (f\ i :: nat) < x \wedge c$

assumes $j \leq n$

shows $(\sum i \leftarrow [0..<n]. f\ i * x \wedge (i * c)) \text{ div } x \wedge (j * c)$

$= (\sum i \leftarrow [0..<n - j]. f\ (j + i) * x \wedge (i * c))$

$(\sum i \leftarrow [0..<n]. f\ i * x \wedge (i * c)) \text{ mod } x \wedge (j * c)$

$= (\sum i \leftarrow [0..<j]. f\ i * x \wedge (i * c))$

proof –

define *sum* **where** $sum = (\sum i \leftarrow [0..<n]. f\ i * x \wedge (i * c))$

then have $sum = (\sum i \leftarrow [0..<j]. f\ i * x \wedge (i * c)) +$

$x \wedge (j * c) * (\sum i \leftarrow [0..<n - j]. f\ (j + i) * x \wedge (i * c))$

(**is** $sum = ?sum1 + x \wedge (j * c) * ?sum2$)

using *power-sum-split* $\langle j \leq n \rangle$ **by** *blast*


```

have ?sum1 = (∑ i ← [0..<j]. f i * (x ^ c) ^ i)
  apply (intro-cong [cong-tag-2 (*)] more: semiring-1-sum-list-eq refl)
  using power-mult mult.commute by metis
also have ... < (x ^ c) ^ j
  apply (intro geo-sum-bound)
  subgoal using assms one-less-power by blast
  subgoal using assms by simp
done
finally have ?sum1 < x ^ (j * c) by (simp add: power-mult mult.commute)
then show sum mod x ^ (j * c) = ?sum1 sum div (x ^ (j * c)) = ?sum2 using
⟨sum = ?sum1 + x ^ (j * c) * ?sum2⟩
  using assms(1) by fastforce+
qed

```

lemma *power-sum-nat-extract-coefficient*:

```

assumes x > 1
assumes c > 0
assumes ∧i. i < n ⇒ (f i :: nat) < x ^ c
assumes j < n
shows ((∑ i ← [0..<n]. f i * x ^ (i * c)) div x ^ (j * c)) mod x ^ c = f j
proof -
have (∑ i ← [0..<n]. f i * x ^ (i * c)) div x ^ (j * c) =
  (∑ i ← [0..<n - j]. f (j + i) * x ^ (i * c)) (is ?sum = -)
  apply (intro power-sum-nat-split-div-mod(1) assms)
  using assms by simp-all
moreover have ... mod x ^ (1 * c) = (∑ i ← [0..<1]. f (j + i) * x ^ (i * c))
  apply (intro power-sum-nat-split-div-mod(2) assms)
  using assms by simp-all
ultimately show ?sum mod x ^ c = f j by simp
qed

```

lemma *power-sum-nat-eq*:

```

assumes x > 1
assumes c > 0
assumes ∧i. i < n ⇒ (f i :: nat) < x ^ c
assumes ∧i. i < n ⇒ g i < x ^ c
assumes (∑ i ← [0..<n]. f i * x ^ (i * c)) = (∑ i ← [0..<n]. g i * x ^ (i * c))
  (is ?sumf = ?sumg)
shows ∧i. i < n ⇒ f i = g i
proof -
fix i
assume i < n
then have f i = (?sumf div x ^ (i * c)) mod x ^ c
  apply (intro power-sum-nat-extract-coefficient[symmetric] assms) by assumption
also have ... = (?sumg div x ^ (i * c)) mod x ^ c
  using assms by argo
also have ... = g i
  apply (intro power-sum-nat-extract-coefficient assms) using ⟨i < n⟩ by simp-all

```

finally show $f i = g i$.
qed

end

3 Sums in Monoids

theory *Monoid-Sums*

imports *HOL-Algebra.Ring Expander-Graphs.Extra-Congruence-Method Karat-suba-Preliminaries HOL-Library.Multiset HOL-Number-Theory.Residues Karat-suba-Sum-Lemmas*

begin

This section contains a version of *sum-list* for entries in some abelian monoid. Contrary to *sum-list*, which is defined for the type class *comm-monoid-add*, this version is for the locale *abelian-monoid*. After the definition, some simple lemmas about sums are proven for this sum function.

context *abelian-monoid*
begin

fun *monoid-sum-list* :: [$'c \Rightarrow 'a$, $'c \text{ list}$] $\Rightarrow 'a$ **where**
 $\text{monoid-sum-list } f [] = \mathbf{0}$
 $| \text{monoid-sum-list } f (x \# xs) = f x \oplus \text{monoid-sum-list } f xs$

lemma *monoid-sum-list f xs = foldr (\oplus) (map f xs) $\mathbf{0}$*
by (*induction xs*) *simp-all*

end

The syntactic sugar used for *finsum* is adapted accordingly.

syntax

$\text{-monoid-sum-list} :: \text{index} \Rightarrow \text{idt} \Rightarrow 'c \text{ list} \Rightarrow 'c \Rightarrow 'a$
 $\langle \langle \exists \oplus \text{ -- } \leftarrow \cdot \text{ --} \rangle \rangle [1000, 0, 51, 10] 10$

syntax-consts

$\text{-monoid-sum-list} \equiv \text{abelian-monoid.monoid-sum-list}$

translations

$\oplus_{G^{i \leftarrow xs}} b \equiv \text{CONST abelian-monoid.monoid-sum-list } G (\lambda i. b) xs$

context *abelian-monoid*
begin

lemma *monoid-sum-list-finsum:*

assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$

assumes *distinct xs*

shows $(\oplus i \leftarrow xs. f i) = (\oplus i \in \text{set } xs. f i)$

using *assms*

proof (*induction xs*)

case *Nil*

then show *?case* **by** *simp*
next
case (*Cons a xs*)
then show *?case* **using** *finsum-insert*[*of set xs a f*] **by** *simp*
qed

lemma *monoid-sum-list-cong*:
assumes $\bigwedge i. i \in \text{set } xs \implies f i = g i$
shows $(\bigoplus i \leftarrow xs. f i) = (\bigoplus i \leftarrow xs. g i)$
using *assms* **by** (*induction xs*) *simp-all*

lemma *monoid-sum-list-closed*[*simp*]:
assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$
shows $(\bigoplus i \leftarrow xs. f i) \in \text{carrier } G$
using *assms* **by** (*induction xs*) *simp-all*

lemma *monoid-sum-list-add-in*:
assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$
assumes $\bigwedge i. i \in \text{set } xs \implies g i \in \text{carrier } G$
shows $(\bigoplus i \leftarrow xs. f i) \oplus (\bigoplus i \leftarrow xs. g i) =$
 $(\bigoplus i \leftarrow xs. f i \oplus g i)$
using *assms*
proof (*induction xs*)
case (*Cons a xs*)
have $(\bigoplus i \leftarrow (a \# xs). f i) \oplus (\bigoplus i \leftarrow (a \# xs). g i)$
 $= (f a \oplus (\bigoplus i \leftarrow xs. f i)) \oplus (g a \oplus (\bigoplus i \leftarrow xs. g i))$
by *simp*
also have $\dots = (f a \oplus g a) \oplus ((\bigoplus i \leftarrow xs. f i) \oplus (\bigoplus i \leftarrow xs. g i))$
using *a-comm a-assoc Cons.prem*s **by** *simp*
also have $\dots = (f a \oplus g a) \oplus (\bigoplus i \leftarrow xs. f i \oplus g i)$
using *Cons* **by** *simp*
finally show *?case* **by** *simp*
qed *simp*

lemma *monoid-sum-list-0*[*simp*]: $(\bigoplus i \leftarrow xs. \mathbf{0}) = \mathbf{0}$
by (*induction xs*) *simp-all*

lemma *monoid-sum-list-swap*:
assumes[*simp*]: $\bigwedge i j. i \in \text{set } xs \implies j \in \text{set } ys \implies f i j \in \text{carrier } G$
shows $(\bigoplus i \leftarrow xs. (\bigoplus j \leftarrow ys. f i j)) =$
 $(\bigoplus j \leftarrow ys. (\bigoplus i \leftarrow xs. f i j))$
using *assms*
proof (*induction xs arbitrary: ys*)
case (*Cons a xs*)
have $(\bigoplus i \leftarrow (a \# xs). (\bigoplus j \leftarrow ys. f i j))$
 $= (\bigoplus j \leftarrow ys. f a j) \oplus (\bigoplus i \leftarrow xs. (\bigoplus j \leftarrow ys. f i j))$
by *simp*
also have $\dots = (\bigoplus j \leftarrow ys. f a j) \oplus (\bigoplus j \leftarrow ys. (\bigoplus i \leftarrow xs. f i j))$
using *Cons* **by** *simp*

also have ... = $(\bigoplus j \leftarrow ys. f a j \oplus (\bigoplus i \leftarrow xs. f i j))$
using *monoid-sum-list-add-in*[of *ys* $\lambda j. f a j \lambda j. (\bigoplus i \leftarrow xs. f i j)$] *Cons.prem*s
by *simp*
finally show ?*case* **by** *simp*
qed *simp*

lemma *monoid-sum-list-index-transformation*:
 $(\bigoplus i \leftarrow (\text{map } g \text{ } xs). f i) = (\bigoplus i \leftarrow xs. f (g i))$
by (*induction xs*) *simp-all*

lemma *monoid-sum-list-index-shift-0*:
 $(\bigoplus i \leftarrow [c..<c+n]. f i) = (\bigoplus i \leftarrow [0..<n]. f (c + i))$
using *monoid-sum-list-index-transformation*[of *f* $\lambda i. c + i [0..<n]$]
by (*simp add: add.commute map-add-upt*)

lemma *monoid-sum-list-index-shift*:
 $(\bigoplus l \leftarrow [a..<b]. f (l+c)) = (\bigoplus l \leftarrow [(a+c)..<(b+c)]. f l)$
using *monoid-sum-list-index-transformation*[of *f* $\lambda i. i + c [a..<b]$]
by (*simp add: map-add-const-upt*)

lemma *monoid-sum-list-app*:
assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$
assumes $\bigwedge i. i \in \text{set } ys \implies f i \in \text{carrier } G$
shows $(\bigoplus i \leftarrow xs @ ys. f i) = (\bigoplus i \leftarrow xs. f i) \oplus (\bigoplus i \leftarrow ys. f i)$
using *assms*
by (*induction xs*) (*simp-all add: a-assoc*)

lemma *monoid-sum-list-app'*:
assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$
assumes $\bigwedge i. i \in \text{set } ys \implies f i \in \text{carrier } G$
assumes $xs @ ys = zs$
shows $(\bigoplus i \leftarrow zs. f i) = (\bigoplus i \leftarrow xs. f i) \oplus (\bigoplus i \leftarrow ys. f i)$
using *monoid-sum-list-app assms* **by** *blast*

lemma *monoid-sum-list-extract*:
assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$
assumes $\bigwedge i. i \in \text{set } ys \implies f i \in \text{carrier } G$
assumes $f x \in \text{carrier } G$
shows $(\bigoplus i \leftarrow xs @ x \# ys. f i) = f x \oplus (\bigoplus i \leftarrow (xs @ ys). f i)$
proof –
have $(\bigoplus i \leftarrow xs @ x \# ys. f i) = (\bigoplus i \leftarrow xs. f i) \oplus f x \oplus (\bigoplus i \leftarrow ys. f i)$
using *assms monoid-sum-list-app*[of *xs* *f x* $\#$ *ys*]
using *a-assoc* **by** *auto*
also have ... = $f x \oplus ((\bigoplus i \leftarrow xs. f i) \oplus (\bigoplus i \leftarrow ys. f i))$
using *assms a-assoc a-comm* **by** *auto*
finally show ?*thesis* **using** *monoid-sum-list-app*[of *xs* *f* *ys*] *assms* **by** *algebra*
qed

lemma *monoid-sum-list-Suc*:

assumes $\bigwedge i. i < \text{Suc } r \implies f i \in \text{carrier } G$
shows $(\bigoplus i \leftarrow [0..<\text{Suc } r]. f i) = (\bigoplus i \leftarrow [0..<r]. f i) \oplus f r$
using *assms monoid-sum-list-app[of [0..<r] f [r]]*
by *simp*

lemma *bij-betw-diff-singleton*: $a \in A \implies b \in B \implies \text{bij-betw } f A B \implies f a = b$
 $\implies \text{bij-betw } f (A - \{a\}) (B - \{b\})$
by (*metis (no-types, lifting) DiffE Diff-Diff-Int Diff-cancel Diff-empty Int-insert-right-if1 Un-Diff-Int notIn-Un-bij-betw3 singleton-iff*)

lemma $a \in A \implies \text{bij-betw } f A B \implies \text{bij-betw } f (A - \{a\}) (B - \{f a\})$
using *bij-betw-diff-singleton[of a A f a B f]*
by (*simp add: bij-betwE*)

lemma *monoid-sum-list-multiset-eq*:

assumes $\text{mset } xs = \text{mset } ys$
assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } G$
shows $(\bigoplus i \leftarrow xs. f i) = (\bigoplus i \leftarrow ys. f i)$
using *assms*
proof (*induction xs arbitrary: ys*)
case *Nil*
then show *?case by simp*
next
case (*Cons a xs*)
then have $a \in \text{set } ys$ **using** *mset-eq-setD* **by** *fastforce*
then obtain $ys1 \ ys2$ **where** $ys = ys1 @ a \# ys2$ **by** (*meson split-list*)
with *Cons.prem1* **have** $1: \text{mset } xs = \text{mset } (ys1 @ ys2)$ **by** *simp*
from *Cons.prem1 mset-eq-setD* **have** $\bigwedge i. i \in \text{set } ys \implies f i \in \text{carrier } G$ **by** *blast*
then have [*simp*]: $\bigwedge i. i \in \text{set } ys1 \implies f i \in \text{carrier } G$ $f a \in \text{carrier } G$ $\bigwedge i. i \in \text{set } ys2 \implies f i \in \text{carrier } G$
using $\langle ys = ys1 @ a \# ys2 \rangle$ **by** *simp-all*
from 1 **have** $(\bigoplus i \leftarrow xs. f i) = (\bigoplus i \leftarrow (ys1 @ ys2). f i)$
using *Cons* **by** *simp*
also have $\dots = (\bigoplus i \leftarrow ys1. f i) \oplus (\bigoplus i \leftarrow ys2. f i)$
by (*intro monoid-sum-list-app*) *simp-all*
also have $f a \oplus \dots = (\bigoplus i \leftarrow ys1. f i) \oplus (f a \oplus (\bigoplus i \leftarrow ys2. f i))$
using *a-comm a-assoc monoid-sum-list-closed* **by** *simp*
also have $\dots = (\bigoplus i \leftarrow ys1. f i) \oplus (\bigoplus i \leftarrow (a \# ys2). f i)$
by *simp*
also have $\dots = (\bigoplus i \leftarrow ys. f i)$
unfolding $\langle ys = ys1 @ a \# ys2 \rangle$
by (*intro monoid-sum-list-app[symmetric]*) *auto*
finally show *?case by simp*

qed

lemma *monoid-sum-list-index-permutation*:

assumes *distinct xs*
assumes $\text{distinct } ys \vee \text{length } xs = \text{length } ys$
assumes $\text{bij-betw } f (\text{set } xs) (\text{set } ys)$
assumes $\bigwedge i. i \in \text{set } ys \implies g i \in \text{carrier } G$

shows $(\bigoplus i \leftarrow ys. g i) = (\bigoplus i \leftarrow xs. g (f i))$
using *assms*
proof (*induction xs arbitrary: ys*)
case *Nil*
then have $ys = []$ **using** *bij-betw-same-card* **by** *fastforce*
then show *?case* **by** *simp*
next
case (*Cons a xs*)
then have $length\ ys = length\ (a \# xs)$ *distinct ys*
by (*metis bij-betw-same-card distinct-card, metis bij-betw-same-card distinct-card card-distinct*)

have $0: \bigwedge i. i \in set\ (a \# xs) \implies g\ (f\ i) \in carrier\ G$
proof –
fix *i*
assume $i \in set\ (a \# xs)$
then have $f\ i \in set\ ys$ **using** *Cons.prem3* **by** (*simp add: bij-betw-apply*)
then show $g\ (f\ i) \in carrier\ G$ **using** *Cons.prem4* **by** *blast*
qed

define *b* **where** $b = f\ a$
then have $b \in set\ ys$ **using** *Cons4* *bij-betw-apply* **by** *fastforce*
then obtain *ys1 ys2* **where** $ys = ys1\ @\ b\ \# \ ys2$ **by** (*meson split-list*)
then have $b \notin set\ ys1\ b \notin set\ ys2$ **using** *<distinct ys>* **by** *simp-all*
have *bij-betw* $f\ (set\ xs)\ (set\ (ys1\ @\ ys2))$
using *<ys = ys1 @ b # ys2>* *Cons4* *b-def*
using *bij-betw-diff-singleton*[*of a set (a # xs) f a set ys f*]
using *Cons.prem1* *<distinct ys>* **by** *auto*
moreover have $length\ (ys1\ @\ ys2) = length\ xs$ **using** *<length ys = length (a # xs)>* *<ys = ys1 @ b # ys2>*
by *simp*
ultimately have $1: (\bigoplus i \leftarrow (ys1@ys2). g i) = (\bigoplus i \leftarrow xs. g (f i))$ **using** *Cons.IH*[*of ys1@ys2*] *Cons.prem4*
using *Cons.prem1* $0\ \langle ys = ys1\ @\ b\ \# \ ys2 \rangle$ **by** *auto*

have $(\bigoplus i \leftarrow (a \# xs). g (f i)) = g\ b \oplus (\bigoplus i \leftarrow xs. g (f i))$
using *<b = f a>* **by** *simp*
also have $\dots = g\ b \oplus (\bigoplus i \leftarrow (ys1@ys2). g i)$ **using** *1* **by** *simp*
also have $\dots = (\bigoplus i \leftarrow (ys1@b\#\ys2). g i)$
apply (*intro monoid-sum-list-extract*[*symmetric*])
using *Cons.prem4* *<ys = ys1 @ b # ys2>* **by** *simp-all*
finally show $(\bigoplus i \leftarrow ys. g i) = (\bigoplus i \leftarrow (a \# xs). g (f i))$
using *<ys = ys1 @ b # ys2>* **by** *simp*
qed

lemma *monoid-sum-list-split*:
assumes[*simp*]: $\bigwedge i. i < b + c \implies f\ i \in carrier\ G$
shows $(\bigoplus l \leftarrow [0..<b]. f l) \oplus (\bigoplus l \leftarrow [b..<b+c]. f l) = (\bigoplus l \leftarrow [0..<b+c]. f l)$
f l

using *monoid-sum-list-app*[of $[0..<b]$ f $[b..<b+c]$, *symmetric*]
using *upt-add-eq-append*[of 0 b c]
by *simp*

lemma *monoid-sum-list-splice*:

assumes[*simp*]: $\bigwedge i. i < 2 * n \implies f i \in \text{carrier } G$

shows $(\bigoplus i \leftarrow [0..<2*n]. f i) = (\bigoplus i \leftarrow [0..<n]. f (2*i)) \oplus (\bigoplus i \leftarrow [0..<n]. f (2*i+1))$

proof –

let $?es = \text{filter even } [0..<2*n]$

let $?os = \text{filter odd } [0..<2*n]$

have 1: $(\bigoplus i \leftarrow [0..<2*n]. f i) = (\bigoplus i \in \{0..<2*n\}. f i)$

using *monoid-sum-list-finsum*[of $[0..<2*n]$ f] **by** *simp*

let $?E = \{i \in \{0..<2*n\}. \text{even } i\}$

let $?O = \{i \in \{0..<2*n\}. \text{odd } i\}$

have $?E \cap ?O = \{\}$ **by** *blast*

moreover **have** $?E \cup ?O = \{0..<2*n\}$ **by** *blast*

ultimately **have** $(\bigoplus i \in \{0..<2*n\}. f i) = (\bigoplus i \in ?E. f i) \oplus (\bigoplus i \in ?O. f i)$

using *finsum-Un-disjoint*[of $?E$ $?O$ f] *assms* **by** *auto*

moreover **have** $?E = \text{set } ?es$ $?O = \text{set } ?os$ **by** *simp-all*

ultimately **have** $(\bigoplus i \in \{0..<2*n\}. f i) = (\bigoplus i \in \text{set } ?es. f i) \oplus (\bigoplus i \in \text{set } ?os. f i)$

by *presburger*

also **have** $(\bigoplus i \in \text{set } ?es. f i) = (\bigoplus i \leftarrow ?es. f i)$

using *monoid-sum-list-finsum*[of $?es$ f] **by** *simp*

also **have** $\dots = (\bigoplus i \leftarrow [0..<n]. f (2*i))$

using *monoid-sum-list-index-transformation*[of f $\lambda i. 2 * i$ $[0..<n]$]

using *filter-even-upt-even*

by *algebra*

also **have** $(\bigoplus i \in \text{set } ?os. f i) = (\bigoplus i \leftarrow ?os. f i)$

using *monoid-sum-list-finsum*[of $?os$ f] **by** *simp*

also **have** $\dots = (\bigoplus i \leftarrow [0..<n]. f (2*i + 1))$

using *monoid-sum-list-index-transformation*[of f $\lambda i. 2 * i + 1$ $[0..<n]$]

using *filter-odd-upt-even*

by *algebra*

finally **show** *?thesis* **using** 1 **by** *presburger*

qed

lemma *monoid-sum-list-even-odd-split*:

assumes *even* ($n::\text{nat}$)

assumes $\bigwedge i. i < n \implies f i \in \text{carrier } G$

shows $(\bigoplus i \leftarrow [0..<n]. f i) = (\bigoplus i \leftarrow [0..<n \text{ div } 2]. f (2*i)) \oplus (\bigoplus i \leftarrow [0..<n \text{ div } 2]. f (2*i+1))$

using *assms monoid-sum-list-splice* **by** *auto*

end

context *abelian-group*

begin

lemma *monoid-sum-list-minus-in*:

assumes $\bigwedge i. i \in \text{set } xs \implies f\ i \in \text{carrier } G$
shows $\ominus (\bigoplus i \leftarrow xs. f\ i) = (\bigoplus i \leftarrow xs. \ominus f\ i)$
using *assms* **by** (*induction xs*) (*simp-all add: minus-add*)

lemma *monoid-sum-list-diff-in*:

assumes[*simp*]: $\bigwedge i. i \in \text{set } xs \implies f\ i \in \text{carrier } G$
assumes[*simp*]: $\bigwedge i. i \in \text{set } xs \implies g\ i \in \text{carrier } G$
shows $(\bigoplus i \leftarrow xs. f\ i) \ominus (\bigoplus i \leftarrow xs. g\ i) =$
 $(\bigoplus i \leftarrow xs. f\ i \ominus g\ i)$

proof –

have $(\bigoplus i \leftarrow xs. f\ i) \ominus (\bigoplus i \leftarrow xs. g\ i) = (\bigoplus i \leftarrow xs. f\ i) \oplus (\ominus (\bigoplus i \leftarrow xs. g\ i))$

unfolding *minus-eq* **by** *simp*

also have $\dots = (\bigoplus i \leftarrow xs. f\ i) \oplus (\bigoplus i \leftarrow xs. \ominus g\ i)$

using *monoid-sum-list-minus-in*[*of xs g*] **by** *simp*

also have $\dots = (\bigoplus i \leftarrow xs. f\ i \oplus (\ominus g\ i))$

using *monoid-sum-list-add-in*[*of xs f*] **by** *simp*

finally show *?thesis* **unfolding** *minus-eq* .

qed

end

context *ring*

begin

lemma *monoid-sum-list-const*:

assumes[*simp*]: $c \in \text{carrier } R$
shows $(\bigoplus i \leftarrow xs. c) = (\text{nat-embedding } (\text{length } xs)) \otimes c$
apply (*induction xs*)
using *a-comm l-distr* **by** *auto*

lemma *monoid-sum-list-in-right*:

assumes $y \in \text{carrier } R$
assumes $\bigwedge i. i \in \text{set } xs \implies f\ i \in \text{carrier } R$
shows $(\bigoplus i \leftarrow xs. f\ i \otimes y) = (\bigoplus i \leftarrow xs. f\ i) \otimes y$
using *assms* **by** (*induction xs*) (*simp-all add: l-distr*)

lemma *monoid-sum-list-in-left*:

assumes $y \in \text{carrier } R$
assumes $\bigwedge i. i \in \text{set } xs \implies f\ i \in \text{carrier } R$
shows $(\bigoplus i \leftarrow xs. y \otimes f\ i) = y \otimes (\bigoplus i \leftarrow xs. f\ i)$
using *assms* **by** (*induction xs*) (*simp-all add: r-distr*)

lemma *monoid-sum-list-prod*:

assumes $\bigwedge i. i \in \text{set } xs \implies f\ i \in \text{carrier } R$
assumes $\bigwedge i. i \in \text{set } ys \implies g\ i \in \text{carrier } R$

shows $(\bigoplus i \leftarrow xs. f i) \otimes (\bigoplus j \leftarrow ys. g j) = (\bigoplus i \leftarrow xs. (\bigoplus j \leftarrow ys. f i \otimes g j))$
proof –
 have $(\bigoplus i \leftarrow xs. f i) \otimes (\bigoplus j \leftarrow ys. g j) = (\bigoplus i \leftarrow xs. f i \otimes (\bigoplus j \leftarrow ys. g j))$
 apply (intro monoid-sum-list-in-right[symmetric])
 using assms by simp-all
 also have $\dots = (\bigoplus i \leftarrow xs. (\bigoplus j \leftarrow ys. f i \otimes g j))$
 apply (intro monoid-sum-list-cong monoid-sum-list-in-left[symmetric])
 using assms by simp-all
 finally show ?thesis .
qed

3.1 Kronecker delta

definition *delta where*

delta i j = (if i = j then 1 else 0)

lemma *delta-closed[simp]: delta i j ∈ carrier R*

unfolding *delta-def by simp*

lemma *delta-sym: delta i j = delta j i*

unfolding *delta-def by simp*

lemma *delta-refl[simp]: delta i i = 1*

unfolding *delta-def by simp*

lemma *monoid-sum-list-delta[simp]:*

assumes*[simp]:* $\bigwedge i. i < n \implies f i \in \text{carrier } R$

assumes*[simp]:* $j < n$

shows $(\bigoplus i \leftarrow [0..<n]. \text{delta } i j \otimes f i) = f j$

proof –

from *assms have* $0: [0..<n] = [0..<j] @ j \# [Suc j..<n]$

by (*metis le-add1 le-add-same-cancel1 less-imp-add-positive upt-add-eq-append upt-conv-Cons*)

then have $[0..<n] = [0..<j] @ [j] @ [Suc j..<n]$

by *simp*

moreover have $1: \bigwedge i. i \in \text{set } [0..<j] \implies \text{delta } i j \otimes f i \in \text{carrier } R$

using 0 *assms delta-closed m-closed atLeastLessThan-iff*

by (*metis le-add1 less-imp-add-positive linorder-le-less-linear set-upt upt-conv-Nil*)

moreover have $2: \bigwedge i. i \in \text{set } ([j] @ [Suc j..<n]) \implies \text{delta } i j \otimes f i \in \text{carrier } R$

using 0 *assms delta-closed m-closed*

by *auto*

ultimately have $(\bigoplus i \leftarrow [0..<n]. \text{delta } i j \otimes f i) = (\bigoplus i \leftarrow [0..<j]. \text{delta } i j \otimes f i) \oplus (\bigoplus i \leftarrow [j] @ [Suc j..<n]. \text{delta } i j \otimes f i)$

using *monoid-sum-list-app[of [0..<j] λi. delta i j ⊗ f i [j] @ [Suc j..<n]]*

by *presburger*

also have $(\bigoplus i \leftarrow [j] @ [Suc j..<n]. \text{delta } i j \otimes f i) = (\bigoplus i \leftarrow [j]. \text{delta } i j \otimes f i) \oplus (\bigoplus i \leftarrow [Suc j..<n]. \text{delta } i j \otimes f i)$

using 2 *monoid-sum-list-app[of [j] λi. delta i j ⊗ f i [Suc j..<n]]*

by *simp*

also have $(\bigoplus i \leftarrow [0..<j]. \text{delta } i \ j \otimes f \ i) = \mathbf{0}$
using *monoid-sum-list-0*[of $[0..<j]$] *monoid-sum-list-cong*[of $[0..<j]$ $\lambda i. \mathbf{0} \ \lambda i.$
*delta } i \ j \otimes f \ i]
unfolding *delta-def* **using** $\langle j < n \rangle$ **by** *simp*
also have $(\bigoplus i \leftarrow [\text{Suc } j..<n]. \text{delta } i \ j \otimes f \ i) = \mathbf{0}$
using *monoid-sum-list-0*[of $[\text{Suc } j..<n]$] *monoid-sum-list-cong*[of $[\text{Suc } j..<n]$
 $\lambda i. \mathbf{0} \ \lambda i. \text{delta } i \ j \otimes f \ i]$
unfolding *delta-def* **by** *simp*
also have $(\bigoplus i \leftarrow [j]. \text{delta } i \ j \otimes f \ i) = f \ j$ **by** *simp*
finally show *?thesis* **by** *simp*
qed*

lemma *monoid-sum-list-only-delta*[*simp*]:
 $j < n \implies (\bigoplus i \leftarrow [0..<n]. \text{delta } i \ j) = \mathbf{1}$
using *monoid-sum-list-delta*[of $n \ \lambda i. \mathbf{1} \ j$] **by** *simp*

3.2 Power sums

lemma *geo-monoid-list-sum*:
assumes[*simp*]: $x \in \text{carrier } R$
shows $(\mathbf{1} \ominus x) \otimes (\bigoplus l \leftarrow [0..<r]. x \ [\lceil] \ l) = (\mathbf{1} \ominus x \ [\lceil] \ r)$
using *assms*
proof (*induction r*)
case 0
then show *?case* **using** *assms* **by** (*simp, algebra*)
next
case (*Suc r*)
have $(\mathbf{1} \ominus x) \otimes (\bigoplus l \leftarrow [(0::\text{nat})..<\text{Suc } r]. x \ [\lceil] \ l) = (\mathbf{1} \ominus x) \otimes (x \ [\lceil] \ r \oplus (\bigoplus l$
 $\leftarrow [0..<r]. x \ [\lceil] \ l))$
using *monoid-sum-list-Suc*[of $r \ \lambda l. x \ [\lceil] \ l$] *a-comm*
by *simp*

also have $\dots = (\mathbf{1} \ominus x) \otimes x \ [\lceil] \ r \oplus (\mathbf{1} \ominus x) \otimes (\bigoplus l \leftarrow [0..<r]. x \ [\lceil] \ l)$
using *r-distr* **by** *auto*
also have $\dots = x \ [\lceil] \ r \oplus x \ [\lceil] \ (\text{Suc } r) \oplus (\mathbf{1} \ominus x) \otimes (\bigoplus l \leftarrow [0..<r]. x \ [\lceil] \ l)$
apply (*intro arg-cong2*[**where** $f = (\oplus)$] *refl*)
unfolding *minus-eq*
 $l\text{-distr}[OF \ \text{one-closed } a\text{-inv-closed}[OF \ \langle x \in \text{carrier } R \rangle] \ \text{nat-pow-closed}[OF \ \langle x$
 $\in \text{carrier } R \rangle]]$
using $\langle x \in \text{carrier } R \rangle$
using *l-minus nat-pow-Suc2* **by** *force*
also have $\dots = x \ [\lceil] \ r \oplus x \ [\lceil] \ (\text{Suc } r) \oplus (\mathbf{1} \ominus x \ [\lceil] \ r)$
using *Suc* **by** *presburger*
also have $\dots = \mathbf{1} \ominus x \ [\lceil] \ (\text{Suc } r)$
using *one-closed minus-add assms nat-pow-closed*[of x] **by** *algebra*
finally show *?case* .
qed

rewrite $?x \in \text{carrier } R \implies (?x \ [\lceil] \ ?n) \ [\lceil] \ ?m = ?x \ [\lceil] \ (?n * ?m)$ and $?a * ?b = ?b * ?a$ inside power sum

lemma *monoid-pow-sum-nat-pow-pow*:

assumes $x \in \text{carrier } R$

shows $(\bigoplus i \leftarrow xs. f i \otimes x [\ulcorner] ((g i :: \text{nat}) * h i)) = (\bigoplus i \leftarrow xs. f i \otimes (x [\ulcorner] h i) [\ulcorner] g i)$

apply (*intro-cong* [*cong-tag-2* (\otimes)] *more: monoid-sum-list-cong refl*)

using *nat-pow-pow*[*OF assms*] **by** (*simp add: mult commute*)

end

context *cring*

begin

Split a power sum at some term

lemma *monoid-pow-sum-list-split*:

assumes $l + k = n$

assumes $\bigwedge i. i < n \implies f i \in \text{carrier } R$

assumes $x \in \text{carrier } R$

shows $(\bigoplus i \leftarrow [0..<n]. f i \otimes x [\ulcorner] i) =$

$$(\bigoplus i \leftarrow [0..<l]. f i \otimes x [\ulcorner] i) \oplus x [\ulcorner] l \otimes (\bigoplus i \leftarrow [0..<k]. f (l + i) \otimes x [\ulcorner] i)$$

proof –

have $(\bigoplus i \leftarrow [0..<n]. f i \otimes x [\ulcorner] i) =$

$$(\bigoplus i \leftarrow [0..<l]. f i \otimes x [\ulcorner] i) \oplus$$

$$(\bigoplus i \leftarrow [l..<n]. f i \otimes x [\ulcorner] i)$$

apply (*intro monoid-sum-list-app'* *m-closed nat-pow-closed upt-add-eq-append'*[*symmetric*])

using *assms* **by** *simp-all*

also have $(\bigoplus i \leftarrow [l..<n]. f i \otimes x [\ulcorner] i) =$

$$(\bigoplus i \leftarrow [0..<k]. f (l + i) \otimes x [\ulcorner] (l + i))$$

using *monoid-sum-list-index-shift-0*[*of - l n - l*] $\langle l + k = n \rangle$

by *fastforce*

also have $\dots = (\bigoplus i \leftarrow [0..<k]. x [\ulcorner] l \otimes (f (l + i) \otimes x [\ulcorner] i))$

apply (*intro monoid-sum-list-cong*)

using *assms m-comm m-assoc nat-pow-mult*[*symmetric, OF* $\langle x \in \text{carrier } R \rangle$]

by *simp*

also have $\dots = x [\ulcorner] l \otimes (\bigoplus i \leftarrow [0..<k]. f (l + i) \otimes x [\ulcorner] i)$

apply (*intro monoid-sum-list-in-left* *m-closed nat-pow-closed*)

using *assms* **by** *simp-all*

finally show *?thesis* .

qed

split power sum at term, more general

lemma *monoid-pow-sum-split*:

assumes $l + k = n$

assumes $\bigwedge i. i < n \implies f i \in \text{carrier } R$

assumes $x \in \text{carrier } R$

shows $(\bigoplus i \leftarrow [0..<n]. f i \otimes x [\ulcorner] (i * c)) =$

$$(\bigoplus i \leftarrow [0..<l]. f i \otimes x [\ulcorner] (i * c)) \oplus$$

$$x [\ulcorner] (l * c) \otimes (\bigoplus i \leftarrow [0..<k]. f (l + i) \otimes x [\ulcorner] (i * c))$$

proof –

have $(\bigoplus i \leftarrow [0..<n]. f i \otimes x [\uparrow] (i * c)) = (\bigoplus i \leftarrow [0..<n]. f i \otimes (x [\uparrow] c) [\uparrow] i)$
by (*intro monoid-pow-sum-nat-pow-pow* $\langle x \in \text{carrier } R \rangle$)
also have $\dots = (\bigoplus i \leftarrow [0..<l]. f i \otimes (x [\uparrow] c) [\uparrow] i) \oplus (x [\uparrow] c) [\uparrow] l \otimes (\bigoplus i \leftarrow [0..<k]. f (l + i) \otimes (x [\uparrow] c) [\uparrow] i)$
by (*intro monoid-pow-sum-list-split assms nat-pow-closed*) *argo*
also have $\dots = (\bigoplus i \leftarrow [0..<l]. f i \otimes x [\uparrow] (i * c)) \oplus x [\uparrow] (c * l) \otimes (\bigoplus i \leftarrow [0..<k]. f (l + i) \otimes x [\uparrow] (i * c))$
by (*intro-cong* [*cong-tag-2* (\oplus), *cong-tag-2* (\otimes)] *more: monoid-pow-sum-nat-pow-pow*[*symmetric*] *nat-pow-pow* $\langle x \in \text{carrier } R \rangle$)
also have $\dots = (\bigoplus i \leftarrow [0..<l]. f i \otimes x [\uparrow] (i * c)) \oplus x [\uparrow] (l * c) \otimes (\bigoplus i \leftarrow [0..<k]. f (l + i) \otimes x [\uparrow] (i * c))$
by (*intro-cong* [*cong-tag-2* (\oplus), *cong-tag-2* (\otimes), *cong-tag-2* ($[\uparrow]$)] *more: refl mult commute*)
finally show *?thesis* .
qed

3.2.1 Algebraic operations

addition

lemma *monoid-pow-sum-add*:

assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } R$

assumes $\bigwedge i. i \in \text{set } xs \implies g i \in \text{carrier } R$

assumes $x \in \text{carrier } R$

shows $(\bigoplus i \leftarrow xs. f i \otimes x [\uparrow] (i::\text{nat})) \oplus (\bigoplus i \leftarrow xs. g i \otimes x [\uparrow] i) = (\bigoplus i \leftarrow xs. (f i \oplus g i) \otimes x [\uparrow] i)$

proof –

have $(\bigoplus i \leftarrow xs. f i \otimes x [\uparrow] i) \oplus (\bigoplus i \leftarrow xs. g i \otimes x [\uparrow] i) =$

$(\bigoplus i \leftarrow xs. (f i \otimes x [\uparrow] i) \oplus (g i \otimes x [\uparrow] i))$

apply (*intro monoid-sum-list-add-in m-closed nat-pow-closed assms*) **by** *assumption+*

also have $\dots = (\bigoplus i \leftarrow xs. (f i \oplus g i) \otimes x [\uparrow] i)$

apply (*intro monoid-sum-list-cong l-distr*[*symmetric*] *nat-pow-closed assms*) **by** *assumption+*

finally show *?thesis* .

qed

lemma *monoid-pow-sum-add'*:

assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } R$

assumes $\bigwedge i. i \in \text{set } xs \implies g i \in \text{carrier } R$

assumes $x \in \text{carrier } R$

shows $(\bigoplus i \leftarrow xs. f i \otimes x [\uparrow] ((i::\text{nat}) * c)) \oplus (\bigoplus i \leftarrow xs. g i \otimes x [\uparrow] (i * c)) = (\bigoplus i \leftarrow xs. (f i \oplus g i) \otimes x [\uparrow] (i * c))$

proof –

have $(\bigoplus i \leftarrow xs. f i \otimes x [\uparrow] ((i::\text{nat}) * c)) \oplus (\bigoplus i \leftarrow xs. g i \otimes x [\uparrow] (i * c)) =$

$(\bigoplus i \leftarrow xs. (f i \otimes (x [\uparrow] c) [\uparrow] i)) \oplus (\bigoplus i \leftarrow xs. (g i \otimes (x [\uparrow] c) [\uparrow] i))$

by (*intro-cong* [*cong-tag-2* (\oplus)] *more: monoid-pow-sum-nat-pow-pow* $\langle x \in \text{carrier } R \rangle$)

also have $\dots = (\bigoplus i \leftarrow xs. (f i \oplus g i) \otimes (x [\uparrow] c) [\uparrow] i)$

apply (*intro monoid-pow-sum-add nat-pow-closed*) **using** *assms* **by** *simp-all*
also have ... = $(\bigoplus i \leftarrow xs. (f i \oplus g i) \otimes x [\ulcorner (i * c)])$
by (*intro monoid-pow-sum-nat-pow-pow[symmetric]* $\langle x \in \text{carrier } R \rangle$)
finally show ?thesis .
qed

unary minus

lemma *monoid-pow-sum-minus*:

assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } R$
assumes $x \in \text{carrier } R$
shows $\ominus (\bigoplus i \leftarrow xs. f i \otimes x [\ulcorner (i :: \text{nat})]) = (\bigoplus i \leftarrow xs. (\ominus f i) \otimes x [\ulcorner i])$
proof –
have $\ominus (\bigoplus i \leftarrow xs. f i \otimes x [\ulcorner (i :: \text{nat})]) = (\bigoplus i \leftarrow xs. \ominus (f i \otimes x [\ulcorner (i :: \text{nat})]))$
apply (*intro monoid-sum-list-minus-in m-closed nat-pow-closed assms*) **by** *assumption*
also have ... = $(\bigoplus i \leftarrow xs. (\ominus f i) \otimes x [\ulcorner i])$
apply (*intro monoid-sum-list-cong l-minus[symmetric]* *nat-pow-closed assms*)
by *assumption*
finally show ?thesis .
qed

minus

lemma *monoid-pow-sum-diff*:

assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } R$
assumes $\bigwedge i. i \in \text{set } xs \implies g i \in \text{carrier } R$
assumes $x \in \text{carrier } R$
shows $(\bigoplus i \leftarrow xs. f i \otimes x [\ulcorner (i :: \text{nat})]) \ominus (\bigoplus i \leftarrow xs. g i \otimes x [\ulcorner (i :: \text{nat})]) =$
 $(\bigoplus i \leftarrow xs. (f i \ominus g i) \otimes x [\ulcorner i])$
using *assms*
by (*simp add: minus-eq monoid-pow-sum-add[symmetric] monoid-pow-sum-minus*)

lemma *monoid-pow-sum-diff'*:

assumes $\bigwedge i. i \in \text{set } xs \implies f i \in \text{carrier } R$
assumes $\bigwedge i. i \in \text{set } xs \implies g i \in \text{carrier } R$
assumes $x \in \text{carrier } R$
shows $(\bigoplus i \leftarrow xs. f i \otimes x [\ulcorner ((i :: \text{nat}) * c)]) \ominus (\bigoplus i \leftarrow xs. g i \otimes x [\ulcorner (i * c)]) =$
 $(\bigoplus i \leftarrow xs. (f i \ominus g i) \otimes x [\ulcorner (i * c)])$
proof –
have $(\bigoplus i \leftarrow xs. f i \otimes x [\ulcorner ((i :: \text{nat}) * c)]) \ominus (\bigoplus i \leftarrow xs. g i \otimes x [\ulcorner (i * c)]) =$
 $(\bigoplus i \leftarrow xs. f i \otimes (x [\ulcorner c] [\ulcorner i]) \ominus (\bigoplus i \leftarrow xs. g i \otimes (x [\ulcorner c] [\ulcorner i])))$
by (*intro-cong [cong-tag-2] ($\lambda i j. i \ominus j$)*) *more: monoid-pow-sum-nat-pow-pow*
 $\langle x \in \text{carrier } R \rangle$
also have ... = $(\bigoplus i \leftarrow xs. (f i \ominus g i) \otimes (x [\ulcorner c] [\ulcorner i]))$
apply (*intro monoid-pow-sum-diff nat-pow-closed*) **using** *assms* **by** *simp-all*
also have ... = $(\bigoplus i \leftarrow xs. (f i \ominus g i) \otimes x [\ulcorner (i * c)])$
by (*intro monoid-pow-sum-nat-pow-pow[symmetric]* $\langle x \in \text{carrier } R \rangle$)
finally show ?thesis .
qed

end

3.3 monoid-sum-list in the context residues

context residues

begin

lemma monoid-sum-list-eq-sum-list:

$$(\bigoplus_R i \leftarrow xs. f i) = (\sum i \leftarrow xs. f i) \text{ mod } m$$

apply (induction xs)

subgoal by (simp add: zero-cong)

subgoal for a xs by (simp add: mod-add-right-eq res-add-eq)

done

lemma monoid-sum-list-mod-in:

$$(\bigoplus_R i \leftarrow xs. f i) = (\bigoplus_R i \leftarrow xs. (f i) \text{ mod } m)$$

by (induction xs) (simp-all add: mod-add-left-eq res-add-eq)

lemma monoid-sum-list-eq-sum-list':

$$(\bigoplus_R i \leftarrow xs. f i \text{ mod } m) = (\sum i \leftarrow xs. f i) \text{ mod } m$$

using monoid-sum-list-eq-sum-list monoid-sum-list-mod-in by metis

end

end

4 The estimation tactic

theory Estimation-Method

imports Main HOL-Eisbach.Eisbach-Tools

begin

A few useful lemmas for working with inequalities.

lemma if-prop-cong:

assumes $C = C'$

assumes $C \implies P A A'$

assumes $\neg C \implies P B B'$

shows P (if C then A else B) (if C' then A' else B')

using assms by simp

lemma if-leqI:

assumes $C \implies A \leq t$

assumes $\neg C \implies B \leq t$

shows (if C then A else B) $\leq t$

using assms by simp

lemma if-le-max:

(if C then ($t1 :: 'a :: \text{linorder}$) else $t2$) $\leq \max t1 t2$

by simp

Prove some inequality by showing a chain of inequalities via an intermediate term.

```
method itrans for step :: 'a :: order =
  (match conclusion in s ≤ t for s t :: 'a ⇒ ⟨rule order.trans[of s step t]⟩)
```

A collection of monotonicity intro rules that will be automatically used by *estimation*.

```
lemmas mono-intros =
  order.refl add-mono diff-mono mult-le-mono max.mono min.mono power-increasing
power-mono
  iffD2[OF Suc-le-mono] if-prop-cong[where P = (≤)] Nat.le0 one-le-numeral
```

Try to apply a given estimation rule *estimate* in a forward-manner.

```
method estimation uses estimate =
  (match estimate in  $\bigwedge a. f a \leq h a$  (multi) for f h ⇒ ⟨
    match conclusion in g f ≤ t for g and t :: nat ⇒
    ⟨rule order.trans[of g f g h t], intro mono-intros refl estimate⟩⟩

  | x ≤ y for x y ⇒ ⟨
    match conclusion in g x ≤ t for g and t :: nat ⇒
    ⟨rule order.trans[of g x g y t], intro mono-intros refl estimate⟩⟩
```

```
end
theory Time-Monad-Extended
  imports Root-Balanced-Tree.Time-Monad
begin
```

5 Some Automation for *Root-Balanced-Tree.Time-Monad*

A bit of automation for statements involving the *time* component.

```
lemma time-bind-tm: time (s ≫= f) = time s + time (f (val s))
  unfolding bind-tm-def
  by (simp split: tm.splits)
```

```
lemma time-tick: time (tick s) = 1
  by (simp add: tick-def)
```

```
lemmas tm-time-simps[simp] = time-bind-tm time-return time-tick if-distrib[of
time]
```

```
lemma bind-tm-cong[fundef-cong]:
  assumes f1 = f2
  assumes g1 (val f1) = g2 (val f2)
  shows f1 ≫= g1 = f2 ≫= g2
  using assms unfolding bind-tm-def
  by (auto split: tm.splits)
```

Introduce *val-simp* as named theorem. The idea is to collect simplification rules for the *Time-Monad.val* component that can be unfolded on their own.

```

named-theorems val-simp
declare val-simps[val-simp]

end
theory Main-TM
  imports Main Time-Monad-Extended Estimation-Method
begin

```

6 Running Time Formalization for some functions available in *Main*

6.1 Functions on *bool*

6.1.1 Not

```

fun Not-tm :: bool  $\Rightarrow$  bool tm where
  Not-tm True = 1 return False
  | Not-tm False = 1 return True

```

```

lemma val-Not-tm[simp, val-simp]: val (Not-tm x) = Not x
  by (cases x; simp)

```

```

lemma time-Not-tm[simp]: time (Not-tm x) = 1
  by (cases x; simp)

```

6.1.2 disj / conj

```

definition disj-tm where disj-tm x y = 1 return (x  $\vee$  y)
definition conj-tm where conj-tm x y = 1 return (x  $\wedge$  y)

```

```

lemma val-disj-tm[simp, val-simp]: val (disj-tm x y) = (x  $\vee$  y)
  by (simp add: disj-tm-def)

```

```

lemma time-disj-tm[simp]: time (disj-tm x y) = 1
  by (simp add: disj-tm-def)

```

```

lemma val-conj-tm[simp, val-simp]: val (conj-tm x y) = (x  $\wedge$  y)
  by (simp add: conj-tm-def)

```

```

lemma time-conj-tm[simp]: time (conj-tm x y) = 1
  by (simp add: conj-tm-def)

```

6.1.3 equal

```

fun equal-bool-tm :: bool  $\Rightarrow$  bool  $\Rightarrow$  bool tm where
  equal-bool-tm True p = 1 return p
  | equal-bool-tm False p = 1 Not-tm p

```

```

lemma val-equal-bool-tm[simp, val-simp]: val (equal-bool-tm x y) = (x = y)
  by (cases x; simp)

```


lemma *time-equal-bool-tm-le*: $\text{time } (\text{equal-bool-tm } x \ y) \leq 2$
by (*cases* *x*; *simp*)

6.2 Functions involving pairs

6.2.1 *fst* / *snd*

fun *fst-tm* :: $'a \times 'b \Rightarrow 'a \ \text{tm}$ **where**
fst-tm (*x*, *y*) = 1 return *x*
fun *snd-tm* :: $'a \times 'b \Rightarrow 'b \ \text{tm}$ **where**
snd-tm (*x*, *y*) = 1 return *y*

lemma *val-fst-tm*[*simp*, *val-simp*]: $\text{val } (\text{fst-tm } p) = \text{fst } p$
by (*subst prod.collapse*[*symmetric*], *unfold fst-tm.simps*, *simp*)

lemma *time-fst-tm*[*simp*]: $\text{time } (\text{fst-tm } p) = 1$
by (*subst prod.collapse*[*symmetric*], *unfold fst-tm.simps*, *simp*)

lemma *val-snd-tm*[*simp*, *val-simp*]: $\text{val } (\text{snd-tm } p) = \text{snd } p$
by (*subst prod.collapse*[*symmetric*], *unfold snd-tm.simps*, *simp*)

lemma *time-snd-tm*[*simp*]: $\text{time } (\text{snd-tm } p) = 1$
by (*subst prod.collapse*[*symmetric*], *unfold snd-tm.simps*, *simp*)

6.3 Functions on *nat*

6.3.1 (+)

fun *plus-nat-tm* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \ \text{tm}$ **where**
plus-nat-tm (*Suc m*) *n* = 1 *plus-nat-tm m* (*Suc n*)
| *plus-nat-tm* 0 *n* = 1 return *n*

lemma *val-plus-nat-tm*[*simp*, *val-simp*]: $\text{val } (\text{plus-nat-tm } m \ n) = m + n$
by (*induction m n rule: plus-nat-tm.induct*) *simp-all*

lemma *time-plus-nat-tm*[*simp*]: $\text{time } (\text{plus-nat-tm } m \ n) = m + 1$
by (*induction m n rule: plus-nat-tm.induct*) *simp-all*

6.3.2 (*)

fun *times-nat-tm* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \ \text{tm}$ **where**
times-nat-tm 0 *n* = 1 return 0
| *times-nat-tm* (*Suc m*) *n* = 1 do {
 r ← *times-nat-tm m n*;
 plus-nat-tm n r
}

lemma *val-times-nat-tm*[*simp*]: $\text{val } (\text{times-nat-tm } m \ n) = m * n$
by (*induction m n rule: times-nat-tm.induct*) *simp-all*

lemma *time-times-nat-tm*[*simp*]: $\text{time } (\text{times-nat-tm } m \ n) = m * (n + 2) + 1$
by (*induction m n rule: times-nat-tm.induct*) *simp-all*

6.3.3 (\wedge)

fun *power-nat-tm* :: *nat* \Rightarrow *nat* \Rightarrow *nat tm* **where**

```
power-nat-tm a 0 = 1 return 1
| power-nat-tm a (Suc n) = 1 do {
  r  $\leftarrow$  power-nat-tm a n;
  times-nat-tm a r
}
```

lemma *val-power-nat-tm*[*simp*, *val-simp*]: *val* (*power-nat-tm* a n) = $a \wedge n$
by (*induction* a n *rule*: *power-nat-tm.induct*) *simp-all*

lemma *time-power-nat-tm-aux0*: *time* (*power-nat-tm* 0 n) = $2 * n + 1$
by (*induction* n) *simp-all*

lemma *time-power-nat-tm-aux1*: *time* (*power-nat-tm* 1 n) = $5 * n + 1$
by (*induction* n) *simp-all*

lemma *time-power-nat-tm-aux2*:

assumes $m \geq 2$

shows *time* (*power-nat-tm* m n) $\leq (2 * n + m \wedge n) * m + 2 * n + 1$

proof (*induction* n)

case 0

then have *time* (*power-nat-tm* m 0) = 1 **by** *simp*

then show ?*case* **by** *simp*

next

case (*Suc* n)

have *time* (*power-nat-tm* m (*Suc* n)) \leq *time* (*power-nat-tm* m n) + ($m \wedge n + 2$) * m + 2

by *simp*

also have ... $\leq (2 * n + m \wedge n) * m + 2 * n + 1 + (m \wedge n + 2) * m + 2$
using *Suc* **by** *simp*

also have ... = $(2 * n + m \wedge n) * m + (m \wedge n + 2) * m + 2 * \text{Suc } n + 1$
by *simp*

also have ... = $(2 * \text{Suc } n + 2 * m \wedge n) * m + 2 * \text{Suc } n + 1$
using *add-mult-distrib* **by** *simp*

also have ... $\leq (2 * \text{Suc } n + m \wedge \text{Suc } n) * m + 2 * \text{Suc } n + 1$
using *assms* **by** *simp*

finally show ?*case* .

qed

lemma *time-power-nat-tm-le*: *time* (*power-nat-tm* m n) $\leq 3 * m \wedge \text{Suc } n + 5 * n + 1$

proof –

consider $m = 0$ | $m = 1$ | $m \geq 2$ **by** *linarith*

then show ?*thesis*

proof *cases*

case 1

then show ?*thesis* **using** *time-power-nat-tm-aux0*[*of* n] **by** *simp*

next

```

    case 2
    then show ?thesis using time-power-nat-tm-aux1[of n] by simp
next
case 3
then have  $2^n \leq m^n$  using power-mono by fast
moreover have  $n < 2^n$  by simp
ultimately have  $n \leq m^n$  by linarith
have time (power-nat-tm m n)  $\leq (2 * m^n + m^n) * m + 2 * n + 1$ 
  apply (estimation estimate: time-power-nat-tm-aux2[OF 3, of n])
  using n-le-m-pow-n by simp
also have ... =  $3 * m^{Suc n} + 2 * n + 1$  by simp
finally show ?thesis by simp
qed
qed

```

```

lemma time-power-nat-tm-2-le: time (power-nat-tm 2 n)  $\leq 12 * 2^n$ 
proof -
  have time (power-nat-tm 2 n)  $\leq 3 * 2^{Suc n} + 5 * n + 1$ 
    by (fact time-power-nat-tm-le)
  also have ...  $\leq 3 * 2^{Suc n} + 5 * 2^n + 2^n$ 
    apply (intro add-mono mult-le-mono order.refl)
    using less-exp[of n] by simp-all
  finally show ?thesis by simp
qed

```

6.3.4 (−)

```

fun minus-nat-tm :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat tm where
  minus-nat-tm m 0 = 1 return m
| minus-nat-tm 0 m = 1 return 0
| minus-nat-tm (Suc m) (Suc n) = 1 minus-nat-tm m n

```

```

lemma val-minus-nat-tm[simp, val-simp]: val (minus-nat-tm m n) = m - n
  by (induction m n rule: minus-nat-tm.induct) simp-all

```

```

lemma time-minus-nat-tm[simp]: time (minus-nat-tm m n) = min m n + 1
  by (induction m n rule: minus-nat-tm.induct) simp-all

```

6.3.5 (<) / (\leq)

```

fun less-eq-nat-tm :: nat  $\Rightarrow$  nat  $\Rightarrow$  bool tm and less-nat-tm :: nat  $\Rightarrow$  nat  $\Rightarrow$  bool
tm where
  less-eq-nat-tm (Suc m) n = 1 less-nat-tm m n
| less-eq-nat-tm 0 n = 1 return True
| less-nat-tm m (Suc n) = 1 less-eq-nat-tm m n
| less-nat-tm m 0 = 1 return False

```

```

lemma val-less-eq-nat-tm[simp, val-simp]: (val (less-eq-nat-tm n m) = (n  $\leq$  m))
and val-less-nat-tm[simp, val-simp]: (val (less-nat-tm m n) = (m < n))
  by (induction m and n rule: less-eq-nat-tm-less-nat-tm.induct) auto

```

lemma *time-less-eq-nat-tm-aux*: $\text{time } (\text{less-eq-nat-tm } (m + k) (n + k)) = 2 * k + \text{time } (\text{less-eq-nat-tm } m n)$
by (*induction k*) *simp-all*

lemma *time-less-nat-tm-aux*: $\text{time } (\text{less-nat-tm } (m + k) (n + k)) = 2 * k + \text{time } (\text{less-nat-tm } m n)$
by (*induction k*) *simp-all*

lemma *time-less-eq-nat-tm*: $\text{time } (\text{less-eq-nat-tm } n m) = 2 * \min n m + 1 + \text{of-bool } (m < n)$
proof (*cases m < n*)

case *True*
then obtain *k* **where** $n = m + \text{Suc } k$ **by** (*metis add-Suc-right less-natE*)
then have $\text{time } (\text{less-eq-nat-tm } n m) = 2 * m + 2$
using *time-less-eq-nat-tm-aux*[*of Suc k m 0*] **by** (*simp add: add.commute*)
then show *?thesis* **using** *True* **by** *simp*

next

case *False*
then obtain *k* **where** $m = n + k$ **using** *nat-le-iff-add*[*of n m*] **by** *auto*
then have $\text{time } (\text{less-eq-nat-tm } n m) = 2 * n + 1$
using *time-less-eq-nat-tm-aux*[*of 0 n k*] **by** (*simp add: add.commute*)
then show *?thesis* **using** *False* **by** *simp*

qed

lemma *time-less-nat-tm*: $\text{time } (\text{less-nat-tm } m n) = 2 * \min m n + 1 + \text{of-bool } (m < n)$

proof (*cases m < n*)

case *True*
then obtain *k* **where** $n = m + \text{Suc } k$ **by** (*metis add-Suc-right less-natE*)
then have $\text{time } (\text{less-nat-tm } m n) = 2 * m + 2$
using *time-less-nat-tm-aux*[*of 0 m Suc k*] **by** (*simp add: add.commute*)
then show *?thesis* **using** *True* **by** *simp*

next

case *False*
then obtain *k* **where** $m = n + k$ **using** *nat-le-iff-add*[*of n m*] **by** *auto*
then have $\text{time } (\text{less-nat-tm } m n) = 2 * n + 1$
using *time-less-nat-tm-aux*[*of k n 0*] **by** (*simp add: add.commute*)
then show *?thesis* **using** *False* **by** *simp*

qed

lemma *time-less-eq-nat-tm-le*: $\text{time } (\text{less-eq-nat-tm } n m) \leq 2 * \min n m + 2$
by (*simp add: time-less-eq-nat-tm*)

lemma *time-less-nat-tm-le*: $\text{time } (\text{less-nat-tm } m n) \leq 2 * \min m n + 2$
by (*simp add: time-less-nat-tm*)

6.3.6 (=)

fun *equal-nat-tm* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool } tm$ **where**
equal-nat-tm 0 0 = 1 *return True*
| *equal-nat-tm (Suc x) 0 = 1* *return False*

| *equal-nat-tm* 0 (Suc y) =1 return False
 | *equal-nat-tm* (Suc x) (Suc y) =1 *equal-nat-tm* x y

lemma *val-equal-nat-tm*[*simp*, *val-simp*]: *val* (*equal-nat-tm* x y) = (x = y)
 by (*induction* x y *rule*: *equal-nat-tm.induct*) *simp-all*

lemma *time-equal-nat-tm*: *time* (*equal-nat-tm* x y) = *min* x y + 1
 by (*induction* x y *rule*: *equal-nat-tm.induct*) *simp-all*

6.3.7 *max*

fun *max-nat-tm* :: *nat* \Rightarrow *nat* \Rightarrow *nat tm* **where**
max-nat-tm x y =1 do {
 b \leftarrow *less-eq-nat-tm* x y;
 if b then return y else return x
}

lemma *val-max-nat-tm*[*simp*, *val-simp*]: *val* (*max-nat-tm* x y) = *max* x y
 by *simp*

lemma *time-max-nat-tm*: *time* (*max-nat-tm* x y) = 2 * *min* x y + 2 + *of-bool* (y < x)
 by (*simp* *add*: *time-less-eq-nat-tm*)

lemma *time-max-nat-tm-le*: *time* (*max-nat-tm* x y) \leq 2 * *min* x y + 3
 unfolding *time-max-nat-tm* by *simp*

6.3.8 (*div*) / (*mod*)

fun *divmod-nat-tm* :: *nat* \Rightarrow *nat* \Rightarrow (*nat* \times *nat*) *tm* **where**
divmod-nat-tm m n =1 do {
 n0 \leftarrow *equal-nat-tm* n 0;
 m-lt-n \leftarrow *less-nat-tm* m n;
 b \leftarrow *disj-tm* n0 m-lt-n;
 if b then return (0, m) else do {
 m-minus-n \leftarrow *minus-nat-tm* m n;
 (q, r) \leftarrow *divmod-nat-tm* m-minus-n n;
 return (Suc q, r)
}

declare *divmod-nat-tm.simps*[*simp del*]

lemma *val-divmod-nat-tm*[*simp*, *val-simp*]: *val* (*divmod-nat-tm* m n) = *Euclidean-Rings.divmod-nat* m n

proof (*induction* m n *rule*: *divmod-nat-tm.induct*)
 case (1 m n)
 show ?case
proof (*cases* n = 0 \vee m < n)
 case True

```

then show ?thesis unfolding divmod-nat-tm.simps[of m n] by (simp add: Euclidean-Rings.divmod-nat-if)
next
  case False
  then have val (divmod-nat-tm m n) = (let (q, r) = val (divmod-nat-tm (m - n) n) in (Suc q, r))
  unfolding divmod-nat-tm.simps[of m n]
  by (simp add: Let-def split: prod.splits)
  also have ... = (let (q, r) = Euclidean-Rings.divmod-nat (m - n) n in (Suc q, r))
  using 1 False by simp
  also have ... = Euclidean-Rings.divmod-nat m n
  unfolding Euclidean-Rings.divmod-nat-if[of m n]
  by (simp add: False split: prod.splits)
  finally show ?thesis .
qed
qed

```

```

lemma time-divmod-nat-tm-aux:
  assumes r < n
  assumes n > 0
  shows time (divmod-nat-tm (n * k + r) n) = 5 * k + 3 * n * k + time (divmod-nat-tm r n)
  using assms
  proof (induction k)
  case 0
  then show ?case by simp
next
  case (Suc k)
  then show ?case
  unfolding divmod-nat-tm.simps[of n * (Suc k) + r n]
  by (simp add: time-equal-nat-tm time-less-nat-tm split: prod.splits)
qed

```

```

lemma time-divmod-nat-tm-le: time (divmod-nat-tm m n) ≤ 8 * m + 2 * n + 5
proof (cases n = 0 ∨ m < n)
  case True
  have time (divmod-nat-tm m n) = time (equal-nat-tm n 0) + time (less-nat-tm m n) + 2
  unfolding divmod-nat-tm.simps[of m n]
  by (simp add: True)
  also have ... ≤ 2 * min m n + 5
  apply (subst time-equal-nat-tm)
  apply (estimation estimate: time-less-nat-tm-le)
  by simp
  finally show ?thesis by simp
next
  case False

```

```

define  $k\ r$  where  $k = m \text{ div } n\ r = m \text{ mod } n$ 
then have  $k\ r\ n$ :  $m = n * k + r$  by simp
from  $k\ r\ n$ -def have  $r < n$  using False by simp
have  $\text{time}(\text{divmod-nat-tm } m\ n) = 5 * k + 3 * n * k + \text{time}(\text{divmod-nat-tm } r\ n)$ 
apply (subst  $k\ r\ n$ , intro time-divmod-nat-tm-aux, intro  $\langle r < n \rangle$ )
using False by simp
also have  $\text{time}(\text{divmod-nat-tm } r\ n) = \text{time}(\text{equal-nat-tm } n\ 0) + \text{time}(\text{less-nat-tm } r\ n) + 2$ 
unfolding divmod-nat-tm.simps[of  $r\ n$ ]
by (simp add:  $\langle r < n \rangle$ )
also have  $\dots \leq 2 * \min\ r\ n + 5$ 
apply (subst time-equal-nat-tm)
apply (estimation estimate: time-less-nat-tm-le)
by simp
finally have  $\text{time}(\text{divmod-nat-tm } m\ n) \leq 5 * k + 3 * n * k + 2 * n + 5$ 
by simp
also have  $\dots \leq 5 * k + 3 * m + 2 * n + 5$ 
using  $k\ r\ n$ -def by simp
also have  $\dots \leq 8 * m + 2 * n + 5$ 
using  $k\ r\ n$ -def by simp
finally show ?thesis .
qed

```

definition *divide-nat-tm* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat tm}$ **where**
divide-nat-tm $m\ n = 1 \text{ divmod-nat-tm } m\ n \gg= \text{fst-tm}$

lemma *val-divide-nat-tm*[*simp*, *val-simp*]: $\text{val}(\text{divide-nat-tm } m\ n) = m \text{ div } n$
by (*simp* *add*: *divide-nat-tm-def* *Euclidean-Rings.divmod-nat-def*)

lemma *time-divide-nat-tm-le*: $\text{time}(\text{divide-nat-tm } m\ n) \leq 8 * m + 2 * n + 7$
using *time-divmod-nat-tm-le*[*of* $m\ n$] **by** (*simp* *add*: *divide-nat-tm-def*)

definition *mod-nat-tm* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat tm}$ **where**
mod-nat-tm $m\ n = 1 \text{ divmod-nat-tm } m\ n \gg= \text{snd-tm}$

lemma *val-mod-nat-tm*[*simp*, *val-simp*]: $\text{val}(\text{mod-nat-tm } m\ n) = m \text{ mod } n$
by (*simp* *add*: *mod-nat-tm-def* *Euclidean-Rings.divmod-nat-def*)

lemma *time-mod-nat-tm-le*: $\text{time}(\text{mod-nat-tm } m\ n) \leq 8 * m + 2 * n + 7$
using *time-divmod-nat-tm-le*[*of* $m\ n$] **by** (*simp* *add*: *mod-nat-tm-def*)

definition *dvd-tm* **where** $\text{dvd-tm } a\ b = 1$ **do** {
 $b \text{ mod } a \leftarrow \text{mod-nat-tm } b\ a$;
 $\text{equal-nat-tm } b \text{ mod } a\ 0$
}

6.3.9 (dvd)

lemma *val-dvd-tm*[*simp*, *val-simp*]: *val* (*dvd-tm* *a* *b*) = (*a* *dvd* *b*)
unfolding *dvd-tm-def* *dvd-eq-mod-eq-0* **by** *simp*

lemma *time-dvd-tm-le*: *time* (*dvd-tm* *a* *b*) $\leq 8 * b + 2 * a + 9$
unfolding *dvd-tm-def* *tm-time-simps* *val-mod-nat-tm* *time-equal-nat-tm*
using *time-mod-nat-tm-le*[of *b* *a*] **by** *simp*

6.3.10 even / odd

definition *even-tm* **where** *even-tm* *a* = *dvd-tm* 2 *a*

lemma *val-even-tm*[*simp*, *val-simp*]: *val* (*even-tm* *a*) = *even* *a*
unfolding *even-tm-def* **by** *simp*

lemma *time-even-tm-le*: *time* (*even-tm* *a*) $\leq 8 * a + 13$
unfolding *even-tm-def* *tm-time-simps*
using *time-dvd-tm-le*[of 2 *a*] **by** *simp*

definition *odd-tm* **where** *odd-tm* *a* = *dvd-tm* 2 *a* \gg *Not-tm*

lemma *val-odd-tm*[*simp*, *val-simp*]: *val* (*odd-tm* *a*) = *odd* *a*
unfolding *odd-tm-def* **by** *simp*

lemma *time-odd-tm-le*: *time* (*odd-tm* *a*) $\leq 8 * a + 14$
unfolding *odd-tm-def* *tm-time-simps*
using *time-dvd-tm-le*[of 2 *a*] **by** *simp*

6.4 List functions

6.4.1 take

fun *take-tm* :: *nat* \Rightarrow '*a* *list* \Rightarrow '*a* *list* *tm* **where**
take-tm *n* [] = 1 *return* []
| *take-tm* *n* (*x* # *xs*) = 1 (case *n* of 0 \Rightarrow *return* [] | *Suc* *m* \Rightarrow
 do {
 r \leftarrow *take-tm* *m* *xs*;
 return (*x* # *r*)
 })

lemma *val-take-tm*[*simp*, *val-simp*]: *val* (*take-tm* *n* *xs*) = *take* *n* *xs*
by (*induction* *n* *xs* *rule*: *take-tm.induct*) (*simp-all* *split*: *nat.splits*)

lemma *time-take-tm*: *time* (*take-tm* *n* *xs*) = *min* *n* (*length* *xs*) + 1
by (*induction* *n* *xs* *rule*: *take-tm.induct*) (*simp-all* *split*: *nat.splits*)

lemma *time-take-tm-le*: *time* (*take-tm* *n* *xs*) $\leq n + 1$
by (*simp* *add*: *time-take-tm*)

6.4.2 drop

```
fun drop-tm :: nat ⇒ 'a list ⇒ 'a list tm where
drop-tm n [] =1 return []
| drop-tm n (x # xs) =1 (case n of 0 ⇒ return (x # xs) | Suc m ⇒
  do {
    r ← drop-tm m xs;
    return r
  })
```

lemma val-drop-tm[*simp*, *val-simp*]: val (drop-tm n xs) = drop n xs
by (induction n xs rule: drop-tm.induct) (simp-all split: nat.splits)

lemma time-drop-tm: time (drop-tm n xs) = min n (length xs) + 1
by (induction n xs rule: drop-tm.induct) (simp-all split: nat.splits)

lemma time-drop-tm-le: time (drop-tm n xs) ≤ n + 1
by (simp add: time-drop-tm)

6.4.3 (@)

```
fun append-tm :: 'a list ⇒ 'a list ⇒ 'a list tm where
append-tm [] ys =1 return ys
| append-tm (x # xs) ys =1 do {
  r ← append-tm xs ys;
  return (x # r)
}
```

lemma val-append-tm[*simp*, *val-simp*]: val (append-tm xs ys) = append xs ys
by (induction xs ys rule: append-tm.induct) simp-all

lemma time-append-tm[*simp*]: time (append-tm xs ys) = length xs + 1
by (induction xs ys rule: append-tm.induct) simp-all

6.4.4 fold

```
fun fold-tm where
fold-tm f [] s =1 return s
| fold-tm f (x # xs) s =1 do {
  r ← f x s;
  fold-tm f xs r
}
```

lemma val-fold-tm[*simp*, *val-simp*]: val (fold-tm f xs s) = fold (λx y. val (f x y))
xs s
by (induction xs s rule: fold-tm.induct; simp)

lemma time-fold-tm-Cons: time (fold-tm (λx y. return (x # y)) xs s) = length xs
+ 1
by (induction xs arbitrary: s; simp)

6.4.5 rev

definition *rev-tm* **where** *rev-tm* $xs = 1$ *fold-tm* $(\lambda x y. \text{return } (x \# y))$ xs []

lemma *val-rev-tm*[*simp*, *val-simp*]: *val* (*rev-tm* xs) = *rev* xs
by (*induction* xs ; *simp* *add*: *rev-tm-def* *fold-Cons-rev*)

lemma *time-rev-tm-le*[*simp*]: *time* (*rev-tm* xs) = *length* xs + 2
unfolding *rev-tm-def* **using** *time-fold-tm-Cons* **by** *auto*

6.4.6 replicate

fun *replicate-tm* :: $\text{nat} \Rightarrow 'a \Rightarrow 'a \text{ list } tm$ **where**
replicate-tm 0 $x = 1$ *return* []
| *replicate-tm* (*Suc* n) $x = 1$ *do* {
 $r \leftarrow$ *replicate-tm* n x ;
 return ($x \# r$)
}

lemma *val-replicate-tm*[*simp*, *val-simp*]: *val* (*replicate-tm* n x) = *replicate* n x
by (*induction* n x *rule*: *replicate-tm.induct*) *simp-all*

lemma *time-replicate-tm*: *time* (*replicate-tm* n x) = $n + 1$
by (*induction* n x *rule*: *replicate-tm.induct*) *simp-all*

6.4.7 length

fun *gen-length-tm* :: $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow \text{nat } tm$ **where**
gen-length-tm n [] = 1 *return* n
| *gen-length-tm* n ($x \# xs$) = 1 *gen-length-tm* (*Suc* n) xs

lemma *val-gen-length-tm*[*simp*, *val-simp*]: *val* (*gen-length-tm* n xs) = *List.gen-length* n xs
by (*induction* n xs *rule*: *gen-length-tm.induct*) (*simp-all* *add*: *List.gen-length-def*)

lemma *time-gen-length-tm*[*simp*]: *time* (*gen-length-tm* n xs) = *length* xs + 1
by (*induction* n xs *rule*: *gen-length-tm.induct*) *simp-all*

definition *length-tm* :: $'a \text{ list} \Rightarrow \text{nat } tm$ **where**
length-tm xs = *gen-length-tm* 0 xs

lemma *val-length-tm*[*simp*, *val-simp*]: *val* (*length-tm* xs) = *length* xs
by (*simp* *add*: *length-tm-def* *length-code*)

lemma *time-length-tm*[*simp*]: *time* (*length-tm* xs) = *length* xs + 1
by (*simp* *add*: *length-tm-def*)

6.4.8 List.null

fun *null-tm* :: $'a \text{ list} \Rightarrow \text{bool } tm$ **where**

null-tm [] =1 return True
 | *null-tm* (x # xs) =1 return False

lemma *val-null-tm*[simp, val-simp]: val (*null-tm* xs) = List.null xs
 by (cases xs; simp add: List.null-def)

lemma *time-null-tm*[simp]: time (*null-tm* xs) = 1
 by (cases xs; simp)

6.4.9 butlast

fun *butlast-tm* :: 'a list \Rightarrow 'a list tm **where**
butlast-tm [] =1 return []
 | *butlast-tm* (x # xs) =1 do {
 b \leftarrow *null-tm* xs;
 if b then return [] else do {
 r \leftarrow *butlast-tm* xs;
 return (x # r)
 }
 }

lemma *val-butlast-tm*[simp, val-simp]: val (*butlast-tm* xs) = butlast xs
 by (induction xs rule: *butlast-tm.induct*) (simp-all add: List.null-def)

lemma *time-butlast-tm*: time (*butlast-tm* xs) = 2 * (length xs - 1) + 1 + of-bool (length xs \geq 1)
 by (induction xs rule: *butlast-tm.induct*) (auto simp: List.null-def not-less-eq-eq)

lemma *time-butlast-tm-le*: time (*butlast-tm* xs) \leq 2 * length xs + 1
 unfolding *time-butlast-tm* by (cases xs; simp)

6.4.10 map

fun *map-tm* :: ('a \Rightarrow 'b tm) \Rightarrow 'a list \Rightarrow 'b list tm **where**
map-tm f [] =1 return []
 | *map-tm* f (x # xs) =1 do {
 r \leftarrow f x;
 rs \leftarrow *map-tm* f xs;
 return (r # rs)
 }

lemma *val-map-tm*[simp, val-simp]: val (*map-tm* f xs) = map ($\lambda x. \text{val } (f x)$) xs
 by (induction f xs rule: *map-tm.induct*) simp-all

lemma *time-map-tm*: time (*map-tm* f xs) = ($\sum i \leftarrow xs. \text{time } (f i)$) + length xs + 1
 by (induction f xs rule: *map-tm.induct*) (simp-all)

lemma *time-map-tm-constant*:
 assumes $\bigwedge i. i \in \text{set } xs \implies \text{time } (f i) = c$

shows $\text{time} (\text{map-tm } f \text{ } xs) = (c + 1) * \text{length } xs + 1$
proof –
have $\text{time} (\text{map-tm } f \text{ } xs) = (\sum i \leftarrow xs. \text{time} (f i)) + \text{length } xs + 1$
by (*simp add: time-map-tm*)
also have $\dots = (\sum i \leftarrow xs. c) + \text{length } xs + 1$
using *assms iffD2[OF map-eq-conv, of xs]* **by** *metis*
also have $\dots = c * \text{length } xs + \text{length } xs + 1$
using *sum-list-triv[of c xs]* **by** *simp*
finally show *?thesis* **by** *simp*
qed

lemma *time-map-tm-bounded*:
assumes $\bigwedge i. i \in \text{set } xs \implies \text{time} (f i) \leq c$
shows $\text{time} (\text{map-tm } f \text{ } xs) \leq (c + 1) * \text{length } xs + 1$
proof –
have $\text{time} (\text{map-tm } f \text{ } xs) = (\sum i \leftarrow xs. \text{time} (f i)) + \text{length } xs + 1$
by (*simp add: time-map-tm*)
also have $\dots \leq (\sum i \leftarrow xs. c) + \text{length } xs + 1$
by (*intro add-mono order.refl sum-list-mono assms*) *argo*
also have $\dots = c * \text{length } xs + \text{length } xs + 1$
using *sum-list-triv[of c xs]* **by** *simp*
finally show *?thesis* **by** *simp*
qed

6.4.11 *foldl*

fun *foldl-tm* :: ('a \Rightarrow 'b \Rightarrow 'a tm) \Rightarrow 'a \Rightarrow 'b list \Rightarrow 'a tm **where**
foldl-tm f a [] =1 return a
| *foldl-tm* f a (x # xs) =1 do {
 r \leftarrow f a x;
 foldl-tm f r xs
}

lemma *val-foldl-tm[simp, val-simp]*: $\text{val} (\text{foldl-tm } f \text{ } a \text{ } xs) = \text{foldl} (\lambda x y. \text{val} (f x y))$
a xs
by (*induction f a xs rule: foldl-tm.induct; simp*)

6.4.12 *concat*

fun *concat-tm* **where**
concat-tm [] =1 return []
| *concat-tm* (x # xs) =1 do {
 r \leftarrow *concat-tm* xs;
 append-tm x r
}

lemma *val-concat-tm[simp, val-simp]*: $\text{val} (\text{concat-tm } xs) = \text{concat } xs$
by (*induction xs; simp*)

lemma *time-concat-tm[simp]*: $\text{time} (\text{concat-tm } xs) = 1 + 2 * \text{length } xs + \text{length} (\text{concat } xs)$

by (*induction xs; simp*)

6.4.13 (!)

fun *nth-tm* **where**

nth-tm ($x \# xs$) 0 =1 return x
| *nth-tm* ($x \# xs$) (Suc i) =1 *nth-tm* xs i
| *nth-tm* [] - =1 undefined

lemma *val-nth-tm[simp, val-simp]*:

assumes $i < \text{length } xs$

shows $\text{val} (\text{nth-tm } xs \ i) = xs \ ! \ i$

using *assms*

proof (*induction i arbitrary: xs*)

case 0

then show ?case **using** *length-greater-0-conv[of xs] neq-Nil-conv[of xs]* **by** *auto*

next

case (Suc i)

then obtain $x \ xs'$ **where** $xsr: xs = x \# xs'$ **by** (*meson Suc-lessE length-Suc-conv*)

then have $i < \text{length } xs'$ **using** *Suc.prem*s **by** *simp*

from *Suc.IH[OF this]* **show** ?case **unfolding** xsr **by** *simp*

qed

lemma *time-nth-tm[simp]*:

assumes $i < \text{length } xs$

shows $\text{time} (\text{nth-tm } xs \ i) = i + 1$

using *assms*

proof (*induction i arbitrary: xs*)

case 0

then show ?case **using** *length-greater-0-conv[of xs] neq-Nil-conv[of xs]* **by** *auto*

next

case (Suc i)

then obtain $x \ xs'$ **where** $xsr: xs = x \# xs'$ **by** (*meson Suc-lessE length-Suc-conv*)

then have $i < \text{length } xs'$ **using** *Suc.prem*s **by** *simp*

from *Suc.IH[OF this]* **show** ?case **unfolding** xsr **by** *simp*

qed

6.4.14 zip

fun *zip-tm* :: 'a list \Rightarrow 'b list \Rightarrow ('a \times 'b) list **tm** **where**

zip-tm xs [] =1 return []

| *zip-tm* [] ys =1 return []

| *zip-tm* ($x \# xs$) ($y \# ys$) =1 do { $rs \leftarrow \text{zip-tm } xs \ ys$; return ($(x, y) \# rs$) }

lemma *val-zip-tm[simp, val-simp]*: $\text{val} (\text{zip-tm } xs \ ys) = \text{zip } xs \ ys$

by (*induction xs ys rule: zip-tm.induct; simp*)

lemma *time-zip-tm[simp]*: $\text{time} (\text{zip-tm } xs \ ys) = \min (\text{length } xs) (\text{length } ys) + 1$

by (induction xs ys rule: zip-tm.induct; simp)

6.4.15 map2

definition map2-tm where

```
map2-tm f xs ys =1 do {  
  xys ← zip-tm xs ys;  
  map-tm (λ(x,y). f x y) xys  
}
```

lemma val-map2-tm[simp, val-simp]: val (map2-tm f xs ys) = map2 (λx y. val (f x y)) xs ys

unfolding map2-tm-def by (simp split: prod.splits)

lemma time-map2-tm-bounded:

assumes length xs = length ys

assumes $\bigwedge x y. x \in \text{set } xs \implies y \in \text{set } ys \implies \text{time } (f x y) \leq c$

shows time (map2-tm f xs ys) $\leq (c + 2) * \text{length } xs + 3$

proof –

have time (map2-tm f xs ys) = length xs + 2 + time (map-tm (λ(x, y). f x y) (zip xs ys))

unfolding map2-tm-def by (simp add: assms)

also have ... $\leq \text{length } xs + 2 + ((c + 1) * \text{length } (\text{zip } xs \text{ } ys) + 1)$

apply (intro add-mono order.refl time-map-tm-bounded)

using assms by (auto split: prod.splits elim: in-set-zipE)

also have ... = $(c + 2) * \text{length } xs + 3$

using assms by simp

finally show ?thesis .

qed

6.4.16 upt

function upt-tm where

```
upt-tm i j =1 do {  
  b ← less-nat-tm i j;  
  (if b then do {  
    rs ← upt-tm (Suc i) j;  
    return (i # rs)  
  } else return [] )  
}
```

by pat-completeness auto

termination by (relation Wellfounded.measure (λ(i, j). j - i)) simp-all

declare upt-tm.simps[simp del]

lemma val-upt-tm[simp, val-simp]: val (upt-tm i j) = [i..<j]

apply (induction i j rule: upt-tm.induct)

subgoal for i j

by (cases i < j; simp add: upt-tm.simps[of i j] upt-conv-Cons)

done

lemma time-upt-tm-le: time (upt-tm i j) $\leq (j - i) * (2 * j + 3) + 2 * j + 2$

```

proof (induction i j rule: upt-tm.induct)
  case (1 i j)
  then show ?case
  proof (cases i < j)
    case True
    then have time (upt-tm i j) = (2 * i + 3) + time (upt-tm (Suc i) j)
    unfolding upt-tm.simps[of i j] tm-time.simps by (simp add: time-less-nat-tm)
    also have ... ≤ (2 * j + 3) + ((j - Suc i) * (2 * j + 3) + 2 * j + 2)
    apply (intro add-mono mult-le-mono order.refl)
    subgoal using True by simp
    subgoal using 1 True by simp
    done
    also have ... = (j - Suc i + 1) * (2 * j + 3) + 2 * j + 2
    by simp
    also have j - Suc i + 1 = (j - i)
    using True by simp
    finally show ?thesis .
  next
  case False
  then show ?thesis by (simp add: upt-tm.simps[of i j] time-less-nat-tm)
qed
qed

```

```

lemma time-upt-tm-le': time (upt-tm i j) ≤ 2 * j * j + 5 * j + 2
  apply (intro order.trans[OF time-upt-tm-le[of i j]])
  apply (estimation estimate: diff-le-self)
  by (simp add: add-mult-distrib2)

```

6.5 Syntactic sugar

```

consts equal-tm :: 'a ⇒ 'a ⇒ bool tm
adhoc-overloading equal-tm ⇒ equal-nat-tm
adhoc-overloading equal-tm ⇒ equal-bool-tm

```

```

consts plus-tm :: 'a ⇒ 'a ⇒ 'a tm
adhoc-overloading plus-tm ⇒ plus-nat-tm

```

```

consts times-tm :: 'a ⇒ 'a ⇒ 'a tm
adhoc-overloading times-tm ⇒ times-nat-tm

```

```

consts power-tm :: 'a ⇒ nat ⇒ 'a tm
adhoc-overloading power-tm ⇒ power-nat-tm

```

```

consts minus-tm :: 'a ⇒ 'a ⇒ 'a tm
adhoc-overloading minus-tm ⇒ minus-nat-tm

```

```

consts less-tm :: 'a ⇒ 'a ⇒ bool tm
adhoc-overloading less-tm ⇒ less-nat-tm

```

```

consts less-eq-tm :: 'a ⇒ 'a ⇒ bool tm
adhoc-overloading less-eq-tm ⇒ less-eq-nat-tm

```

```

consts divide-tm :: 'a ⇒ 'a ⇒ 'a tm
adhoc-overloading divide-tm ⇒ divide-nat-tm

```

```

consts mod-tm :: 'a ⇒ 'a ⇒ 'a tm
adhoc-overloading mod-tm ⇒ mod-nat-tm

```

```

open-bundle main-tm-syntax
begin
notation equal-tm (infixl <=ₜ> 51)
notation Not-tm (<¬ₜ -> [40] 40)
notation conj-tm (infixr <∧ₜ> 35)
notation disj_tm (infixr <∨ₜ> 30)
notation append_tm (infixr <@ₜ> 65)
notation plus_tm (infixl <+ₜ> 65)
notation times_tm (infixl <*ₜ> 70)
notation power_tm (infixr <^ₜ> 80)
notation minus_tm (infixl <-ₜ> 65)
notation less_tm (infix <<ₜ> 50)
notation less-eq_tm (infix <≤ₜ> 50)
notation mod_tm (infixl <modₜ> 70)
notation divide_tm (infixl <divₜ> 70)
notation dvd_tm (infix <dvdₜ> 50)
end

```

```

end

```

7 Representations

7.1 Abstract Representations

```

theory Abstract-Representations
  imports Main
begin

```

Idea: some type $'a$ is represented non-uniquely by some type $'b$. The function f produces a unique representant.

```

locale abstract-representation =
  fixes from-type :: 'a ⇒ 'b
  fixes to-type :: 'b ⇒ 'a
  fixes f :: 'b ⇒ 'b
  assumes to-from: to-type ∘ from-type = id
  assumes from-to: from-type ∘ to-type = f
begin

```

```

lemma to-from-elim[simp]: to-type (from-type x) = x
  using to-from by (metis comp-apply id-apply)

```


lemma *from-to-elim*: $from\text{-}type\ (to\text{-}type\ x) = f\ x$
using *from-to* **by** (*metis comp-apply*)

lemma *f-idem*: $f \circ f = f$
proof –
have $f \circ f = from\text{-}type \circ to\text{-}type \circ from\text{-}type \circ to\text{-}type$
using *from-to* **by** *fastforce*
also have $\dots = from\text{-}type \circ to\text{-}type$
using *to-from* **by** (*simp add: rewriteR-comp-comp*)
finally show *?thesis* **using** *from-to* **by** *simp*
qed

corollary *f-idem-elim[simp]*: $f\ (f\ x) = f\ x$
using *f-idem* **by** (*metis comp-apply*)

lemma *f-from*: $f \circ from\text{-}type = from\text{-}type$
proof –
have $f \circ from\text{-}type = from\text{-}type \circ to\text{-}type \circ from\text{-}type$
using *from-to* **by** *simp*
also have $\dots = from\text{-}type$
using *to-from* **by** (*simp add: rewriteR-comp-comp*)
finally show *?thesis* .
qed

corollary *f-from-elim[simp]*: $f\ (from\text{-}type\ x) = from\text{-}type\ x$
using *f-from* **by** (*metis comp-apply*)

lemma *to-f*: $to\text{-}type \circ f = to\text{-}type$
proof –
have $to\text{-}type \circ f = to\text{-}type \circ from\text{-}type \circ to\text{-}type$
using *from-to* **by** *fastforce*
also have $\dots = to\text{-}type$ **using** *to-from* **by** *simp*
finally show *?thesis* .
qed

corollary *to-f-elim[simp]*: $to\text{-}type\ (f\ x) = to\text{-}type\ x$
using *to-f* **by** (*metis comp-apply*)

lemma *f-fixed-point-iff*: $f\ x = x \longleftrightarrow (\exists y. x = from\text{-}type\ y)$
proof
assume $f\ x = x$
then show $\exists y. x = from\text{-}type\ y$ **using** *from-to-elim* **by** *metis*
next
assume $\exists y. x = from\text{-}type\ y$
then obtain y **where** $x = from\text{-}type\ y$ **by** *blast*
then show $f\ x = x$ **by** *simp*
qed

lemma *f-fixed-point-iff'*: $f\ x = x \longleftrightarrow x = from\text{-}type\ (to\text{-}type\ x)$

```

using from-to by auto

lemma range-f-range-from: range f = range from-type
proof (standard; standard)
  fix x
  assume x ∈ range f
  then obtain x' where x = f x' by blast
  then have f x = x by simp
  then show x ∈ range from-type using f-fixed-point-iff by blast
next
  fix x
  assume x ∈ range from-type
  then obtain y where x = from-type y by blast
  then have f x = x using f-fixed-point-iff by simp
  then show x ∈ range f by (metis rangeI)
qed

lemma to-eq-iff-f-eq: to-type x = to-type y ⟷ f x = f y
proof
  show to-type x = to-type y ⟹ f x = f y using from-to-elem[symmetric] by simp
next
  show f x = f y ⟹ to-type x = to-type y using to-f-elem by metis
qed

lemma from-inj: inj from-type
  using to-from by (metis inj-on-id inj-on-imageI2)

end

lemma from-to-f-criterion:
  assumes to-type ∘ from-type = id
  assumes f ∘ from-type = from-type
  assumes  $\bigwedge x y. \text{to-type } x = \text{to-type } y \implies f x = f y$ 
  shows from-type ∘ to-type = f
proof
  fix x
  have to-type (from-type (to-type x)) = to-type x
    using assms(1) by (metis comp-apply id-apply)
  hence f (from-type (to-type x)) = f x
    using assms(3) by metis
  hence from-type (to-type x) = f x
    using assms(2) by (metis comp-apply)
  thus (from-type ∘ to-type) x = f x
    by (metis comp-apply)
qed

end

```

7.2 Abstract Representations 2

```
theory Abstract-Representations-2  
  imports Main  
begin
```

Idea: a subset *represented-set* of some type *'a* is represented non-uniquely by some type *'b*.

```
locale abstract-representation-2 =  
  fixes from-type :: 'a  $\Rightarrow$  'b  
  fixes to-type :: 'b  $\Rightarrow$  'a  
  fixes represented-set :: 'a set  
  assumes to-from:  $\bigwedge x. x \in \text{represented-set} \implies \text{to-type } (\text{from-type } x) = x$   
  assumes to-type-in-represented-set:  $\bigwedge y. \text{to-type } y \in \text{represented-set}$   
begin
```

```
definition reduce where  
reduce x  $\equiv$  from-type (to-type x)
```

```
abbreviation reduced where  
reduced x  $\equiv$  reduce x = x
```

```
lemma reduce-reduce[simp]: reduced (reduce x)  
  unfolding reduce-def  
  by (simp add: to-from to-type-in-represented-set)
```

```
definition representations where  
representations  $\equiv$  from-type ' represented-set
```

```
lemma range-reduce: representations = range reduce  
  unfolding representations-def reduce-def  
  image-def  
  apply (intro equalityI subsetI)  
  subgoal for x  
  proof –  
    assume  $x \in \{y. \exists x \in \text{represented-set}. y = \text{from-type } x\}$   
    then have  $\exists y \in \text{represented-set}. x = \text{from-type } y$  by simp  
    then obtain y where  $x = \text{from-type } y$   $y \in \text{represented-set}$  by blast  
    then have to-type  $x = y$  using to-from by simp  
    then have  $x = \text{from-type } (\text{to-type } x)$  using  $\langle x = \text{from-type } y \rangle$  by simp  
    then show ?thesis by blast  
  qed  
  subgoal for x  
    using to-type-in-represented-set by blast  
  done
```

```
corollary reduced-from-type[simp]:  $x \in \text{represented-set} \implies \text{reduced } (\text{from-type } x)$   
  using range-reduce representations-def reduce-reduce by force
```

```
lemma to-type-reduce: to-type (reduce x) = to-type x
```

```

unfolding reduce-def
by (simp add: to-from to-type-in-represented-set)

lemma reduced-iff: reduced  $x \longleftrightarrow (\exists y \in \text{represented-set}. x = \text{from-type } y)$ 
apply standard
subgoal
  using reduce-def to-type-in-represented-set by metis
subgoal
  by fastforce
done

lemma to-eq-iff-f-eq: to-type  $x = \text{to-type } y \longleftrightarrow \text{reduce } x = \text{reduce } y$ 
proof
  show to-type  $x = \text{to-type } y \implies \text{reduce } x = \text{reduce } y$  unfolding reduce-def by
  simp
next
  show  $\text{reduce } x = \text{reduce } y \implies \text{to-type } x = \text{to-type } y$  using to-type-reduce by
  metis
qed

lemma from-inj: inj-on from-type represented-set
unfolding inj-on-def
apply standard+
subgoal for  $x y$ 
  using to-from[of  $x$ , symmetric] to-from[of  $y$ ] by simp
done

corollary from-bij-betw: bij-betw from-type represented-set representations
unfolding representations-def
using from-inj
by (simp add: inj-on-imp-bij-betw)

lemma correctness-to-from:
fixes  $h :: 'a \Rightarrow 'a \Rightarrow 'a$ 
fixes  $g :: 'b \Rightarrow 'b \Rightarrow 'b$ 
assumes  $\bigwedge x y. \text{to-type } (g x y) = h (\text{to-type } x) (\text{to-type } y)$ 
shows  $\bigwedge x y. x \in \text{represented-set} \implies y \in \text{represented-set} \implies \text{reduce } (g (\text{from-type } x) (\text{from-type } y)) = \text{from-type } (h x y)$ 
proof -
fix  $x y$ 
assume  $x \in \text{represented-set } y \in \text{represented-set}$ 
have  $\text{reduce } (g (\text{from-type } x) (\text{from-type } y)) = \text{from-type } (\text{to-type } (g (\text{from-type } x) (\text{from-type } y)))$ 
unfolding reduce-def by simp
also have  $\dots = \text{from-type } (h (\text{to-type } (\text{from-type } x)) (\text{to-type } (\text{from-type } y)))$ 
using assms by simp
also have  $\dots = \text{from-type } (h x y)$ 
using to-from  $\langle x \in \text{represented-set} \rangle \langle y \in \text{represented-set} \rangle$  by simp
finally show  $\text{reduce } (g (\text{from-type } x) (\text{from-type } y)) = \text{from-type } (h x y)$  .

```

qed

end

lemma *from-to-f-criterion*:

assumes $\bigwedge x. x \in \text{represented-set} \implies \text{to-type } (\text{from-type } x) = x$
assumes $\bigwedge x. x \in \text{represented-set} \implies f (\text{from-type } x) = \text{from-type } x$
assumes $\bigwedge x y. \text{to-type } x = \text{to-type } y \implies f x = f y$
assumes $\bigwedge y. \text{to-type } y \in \text{represented-set}$
shows $\bigwedge x. \text{from-type } (\text{to-type } x) = f x$

proof –

fix x
have $\text{to-type } (\text{from-type } (\text{to-type } x)) = \text{to-type } x$
using *assms(1) assms(4)* **by** *simp*
hence $f (\text{from-type } (\text{to-type } x)) = f x$
using *assms(3)* **by** *metis*
thus $\text{from-type } (\text{to-type } x) = f x$
using *assms(2) assms(4)* **by** *simp*

qed

end

theory *Nat-LSBF*

imports *Main ../Preliminaries/Karatsuba-Sum-Lemmas Abstract-Representations
HOL-Library.Log-Nat*

begin

8 Representing *nat* in LSBF

In this theory, a representation of *nat* is chosen and simple algorithms implemented thereon.

lemma *list-isolate-nth*: $i < \text{length } xs \implies \exists xs1\ xs2. xs = xs1 @ (xs ! i) \# xs2 \wedge \text{length } xs1 = i$

using *id-take-nth-drop* **by** *fastforce*

lemma *list-is-replicate-iff*: $xs = \text{replicate } (\text{length } xs) x \longleftrightarrow (\forall i \in \{0..<\text{length } xs\}. xs ! i = x)$

proof

assume $1: xs = \text{replicate } (\text{length } xs) x$
show $\forall i \in \{0..<\text{length } xs\}. xs ! i = x$
using 1 *nth-replicate[of - length xs x]* **by** *auto*

next

assume $\forall i \in \{0..<\text{length } xs\}. xs ! i = x$
then have $\forall i \in \{0..<\text{length } xs\}. xs ! i = (\text{replicate } (\text{length } xs) x) ! i$
using *nth-replicate* **by** *auto*
then show $xs = \text{replicate } (\text{length } xs) x$
using *nth-equalityI[of xs replicate (length xs) x]* **by** *simp*

qed

lemma *list-is-replicate-iff2*: $xs = \text{replicate } (\text{length } xs) \ x \longleftrightarrow \text{set } xs = \{x\} \vee xs = []$

by (*metis empty-replicate length-0-conv replicate-eqI set-replicate singleton-iff*)

lemma *set-bool-list*: $\text{set } xs \subseteq \{\text{True}, \text{False}\}$

by *auto*

lemma *bool-list-is-replicate-if*:

assumes $a \notin \text{set } xs$ **shows** $xs = \text{replicate } (\text{length } xs) \ (\neg a)$

proof (*intro iffD2[OF list-is-replicate-iff2]*)

from *assms set-bool-list* **have** $\text{set } xs \subseteq \{\neg a\}$ **by** *fastforce*

then have $\text{set } xs = \{\neg a\} \vee \text{set } xs = \{\}$ **by** (*meson subset-singletonD*)

then show $\text{set } xs = \{\neg a\} \vee xs = []$ **by** *simp*

qed

lemma *bit-strong-decomp-2*: $\exists ys \ zs. xs = ys @ a \# zs \implies \exists ys' \ n. xs = ys' @ a \# (\text{replicate } n \ (\neg a))$

proof *–*

assume $\exists ys \ zs. xs = ys @ a \# zs$

then have $a \in \text{set } xs$ **by** *auto*

from *split-list-last[OF this]* **obtain** $ys \ zs$ **where** $xs = ys @ a \# zs$ $a \notin \text{set } zs$ **by** *blast*

from *this(2)* **have** $zs = \text{replicate } (\text{length } zs) \ (\neg a)$

by (*intro bool-list-is-replicate-if*)

with $\langle xs = ys @ a \# zs \rangle$ **show** *?thesis* **by** *blast*

qed

lemma *bit-strong-decomp-1*: $\exists ys \ zs. xs = ys @ a \# zs \implies \exists ys' \ n. xs = (\text{replicate } n \ (\neg a) @ a \# ys')$

proof *–*

assume $\exists ys \ zs. xs = ys @ a \# zs$

then obtain $ys \ zs$ **where** $xs = ys @ a \# zs$ **by** *blast*

then have $\text{rev } xs = \text{rev } zs @ [a] @ \text{rev } ys$ **by** *simp*

then obtain $n \ ys'$ **where** $\text{rev } xs = ys' @ [a] @ \text{replicate } n \ (\neg a)$

using *bit-strong-decomp-2[of rev xs a]* **by** *auto*

then have $xs = \text{replicate } n \ (\neg a) @ [a] @ \text{rev } ys'$

by (*metis append-assoc rev-append rev-replicate rev-rev-ident rev-singleton-conv*)

thus *?thesis* **by** *auto*

qed

8.1 Type definition

type-synonym *nat-lsbf* = *bool list*

8.2 Conversions

fun *eval-bool* :: *bool* \Rightarrow *nat* **where**

eval-bool *True* = 1

| *eval-bool* *False* = 0

lemma *eval-bool-is-of-bool[simp]*: *eval-bool* = *of-bool*

```

    by auto

lemma eval-bool-leq-1: eval-bool a ≤ 1
  by (cases a) simp-all

lemma eval-bool-inj: eval-bool a = eval-bool b ⇒ a = b
  by (cases a; cases b) simp-all

fun to-nat :: nat-lsbf ⇒ nat where
to-nat [] = 0
| to-nat (x#xs) = (eval-bool x) + 2 * to-nat xs

fun from-nat :: nat ⇒ nat-lsbf where
from-nat 0 = []
| from-nat x = (if x mod 2 = 0 then False else True)#(from-nat (x div 2))

value from-nat 103
value to-nat (from-nat 103)

lemma to-nat-from-nat[simp]: to-nat (from-nat x) = x
proof (induction x rule: less-induct)
  case (less x)
  consider x = 0 | x > 0 by auto
  then show ?case
  proof (cases)
    case 1
    then show ?thesis by simp
  next
    case 2
    then have to-nat (from-nat x) = eval-bool (if x mod 2 = 0 then False else
True) + 2 * to-nat (from-nat (x div 2))
    by (metis from-nat.elims nat-less-le to-nat.simps(2))
    also have ... = (x mod 2) + 2 * to-nat (from-nat (x div 2))
    by simp
    also have ... = (x mod 2) + 2 * (x div 2)
    using less 2 by simp
    also have ... = x by simp
    finally show ?thesis .
  qed
qed

lemma to-nat-explicitly: to-nat xs = (∑ i ← [0..<length xs]. eval-bool (xs ! i) * 2
^ i)
proof (induction xs rule: to-nat.induct)
  case 1
  then show ?case by simp
next
  case (2 x xs)
  let ?xs = λi. eval-bool ((x # xs) ! i)

```

have $(\sum i \leftarrow [0..<\text{length } (x \# xs)]. ?xs \ i * 2^i)$
 $= ?xs \ 0 + (\sum i \leftarrow [1..<\text{length } (x \# xs)]. ?xs \ i * 2^i)$
by (*simp add: upt-rec*)
also have $\dots = ?xs \ 0 + (\sum i \leftarrow [0..<\text{length } xs]. ?xs \ (i + 1) * 2^{(i + 1)})$
using *list-sum-index-shift[of - length xs 0 λi. ?xs i * 2^i]* **by** *simp*
also have $\dots = ?xs \ 0 + 2 * (\sum i \leftarrow [0..<\text{length } xs]. ?xs \ (i + 1) * 2^i)$
by (*simp add: sum-list-const-mult mult.left-commute*)
also have $\dots = ?xs \ 0 + 2 * \text{to-nat } xs$
using *2* **by** *simp*
also have $\dots = \text{to-nat } (x \# xs)$ **by** *simp*
finally show *?case* **by** *simp*
qed

lemma *to-nat-app*: $\text{to-nat } (xs @ ys) = \text{to-nat } xs + (2^{\text{length } xs}) * \text{to-nat } ys$
by (*induction xs*) *auto*

lemma *to-nat-length-upper-bound*: $\text{to-nat } xs \leq 2^{\text{length } xs} - 1$

proof (*induction xs*)

case *Nil*

then show *?case* **by** *simp*

next

case (*Cons a xs*)

then have $\text{to-nat } (a \# xs) = \text{eval-bool } a + 2 * \text{to-nat } xs$ **by** *simp*

also have $\dots \leq \text{eval-bool } a + 2 * (2^{\text{length } xs} - 1)$ **using** *Cons.IH* **by** *simp*

also have $\dots \leq 1 + 2 * (2^{\text{length } xs} - 1)$ **using** *eval-bool-leq-1[of a]* **by** *simp*

also have $\dots = 1 + (2^{\text{length } xs + 1} - 1 - 1)$ **by** *simp*

also have $\dots = 2^{\text{length } xs + 1} - 1$

apply (*intro add-diff-inverse-nat*)

using *power-increasing[of 1 length xs + 1 2::nat]*

by (*simp add: add.commute*)

finally show *?case* **by** *simp*

qed

lemma *to-nat-length-bound*: $\text{to-nat } xs < 2^{\text{length } xs}$

using *to-nat-length-upper-bound[of xs]*

using *le-eq-less-or-eq* **by** *fastforce*

lemma *to-nat-length-lower-bound*: $\text{to-nat } (xs @ [True]) \geq 2^{\text{length } xs}$

by (*induction xs*) *auto*

lemma *to-nat-replicate-false[simp]*: $\text{to-nat } (\text{replicate } n \text{ False}) = 0$

by (*induction n*) *simp-all*

lemma *to-nat-one-bit[simp]*: $\text{to-nat } (\text{replicate } n \text{ False} @ [True]) = 2^n$

by (*simp add: to-nat-app*)

lemma *to-nat-replicate-true[simp]*: $\text{to-nat } (\text{replicate } n \text{ True}) = 2^n - 1$

proof (*induction n*)

case *0*

then show *?case* **by** *simp*

next
case (*Suc n*)
have $2^{\wedge}(\text{Suc } n) \geq (2 :: \text{nat})$ **by** *simp*
hence $1: 2^{\wedge}(\text{Suc } n) - 1 \geq (1 :: \text{nat})$ **by** *linarith*
have $\text{to-nat } (\text{replicate } (\text{Suc } n) \text{ True}) = 1 + 2 * \text{to-nat } (\text{replicate } n \text{ True})$
by *simp*
also have $\dots = 1 + 2 * (2^{\wedge} n - 1)$
using *Suc.IH* **by** *simp*
also have $\dots = 2^{\wedge}(\text{Suc } n) - 1$
using *le-add-diff-inverse*[of 1 $2^{\wedge}(\text{Suc } n) - 1$]
using 1 **by** *simp*
finally show ?*case* .
qed

lemma $\text{to-nat } xs = 0 \iff (\exists n. xs = \text{replicate } n \text{ False})$

proof

show $\text{to-nat } xs = 0 \implies \exists n. xs = \text{replicate } n \text{ False}$

proof (*induction xs*)

case *Nil*

then show ?*case* **by** *simp*

next

case (*Cons a xs*)

then have $a = \text{False}$ $\text{to-nat } xs = 0$ **by** *auto*

then obtain n **where** $xs = \text{replicate } n \text{ False}$ **using** *Cons.IH* **by** *auto*

hence $a \# xs = \text{replicate } (\text{Suc } n) \text{ False}$ **using** $\langle a = \text{False} \rangle$ **by** *simp*

then show ?*case* **by** *blast*

qed

show $\exists n. xs = \text{replicate } n \text{ False} \implies \text{to-nat } xs = 0$

using *to-nat-replicate-false* **by** *auto*

qed

lemma *to-nat-app-replicate*[*simp*]: $\text{to-nat } (xs @ \text{replicate } n \text{ False}) = \text{to-nat } xs$

by (*induction xs*) *auto*

lemma *change-bit-ineq*: $\text{length } xs = \text{length } ys \implies \text{to-nat } (xs @ \text{False} \# zs) < \text{to-nat } (ys @ \text{True} \# zs)$

proof –

assume $\text{length } xs = \text{length } ys$

have $\text{to-nat } (xs @ \text{False} \# zs) = \text{to-nat } xs + 2^{\wedge}(\text{length } xs + 1) * \text{to-nat } zs$

using *to-nat-app-replicate*[of xs 1] *to-nat-app* **by** *simp*

also have $\dots \leq 2^{\wedge}(\text{length } xs) - 1 + 2^{\wedge}(\text{length } xs + 1) * \text{to-nat } zs$

using *to-nat-length-upper-bound*[of xs] **by** *linarith*

also have $\dots < 2^{\wedge}(\text{length } xs) + 2^{\wedge}(\text{length } xs + 1) * \text{to-nat } zs$ **by** *simp*

also have $\dots = 2^{\wedge}(\text{length } ys) + 2^{\wedge}(\text{length } ys + 1) * \text{to-nat } zs$

using $\langle \text{length } xs = \text{length } ys \rangle$ **by** *simp*

also have $\dots \leq \text{to-nat } (ys @ [\text{True}]) + 2^{\wedge}(\text{length } ys + 1) * \text{to-nat } zs$

using *to-nat-length-lower-bound*[of ys] **by** *simp*

also have $\dots = \text{to-nat } (ys @ \text{True} \# zs)$

using *to-nat-app* **by** *simp*

finally show *?thesis* .
qed

lemma *to-nat-ineq-imp-False-bit*: $to\text{-}nat\ xs < 2 \wedge length\ xs - 1 \implies \exists ys\ zs. xs = ys @ False \# zs$

proof (*rule ccontr*)

assume $\nexists ys\ zs. xs = ys @ False \# zs$

then have $\forall i \in \{0..<length\ xs\}. xs ! i = True$

by (*metis(full-types) atLeastLessThan-iff in-set-conv-decomp-first in-set-conv-nth*)

then have $xs = replicate\ (length\ xs)\ True$ **using** *list-is-replicate-iff* **by** *fast*

then have $to\text{-}nat\ xs = 2 \wedge length\ xs - 1$ **using** *to-nat-replicate-true* **by** *metis*

thus $to\text{-}nat\ xs < 2 \wedge length\ xs - 1 \implies False$ **by** *simp*

qed

lemma *to-nat-bound-to-length-bound*: $to\text{-}nat\ xs \geq 2 \wedge n \implies length\ xs \geq n + 1$

proof (*rule ccontr*)

assume $to\text{-}nat\ xs \geq 2 \wedge n$

assume $\neg n + 1 \leq length\ xs$

then have $n \geq length\ xs$ **by** *simp*

then have $to\text{-}nat\ xs \geq 2 \wedge length\ xs$ **using** $\langle to\text{-}nat\ xs \geq 2 \wedge n \rangle$

using *power-increasing le-trans one-le-numeral* **by** *meson*

then show *False* **using** *to-nat-length-bound[of xs]* **by** *simp*

qed

lemma *to-nat-drop-take*: $to\text{-}nat\ xs = to\text{-}nat\ (take\ k\ xs) + 2 \wedge k * to\text{-}nat\ (drop\ k\ xs)$

proof –

have $xs = take\ k\ xs @ drop\ k\ xs$ **by** *simp*

then have $to\text{-}nat\ xs = to\text{-}nat\ (take\ k\ xs) + 2 \wedge (length\ (take\ k\ xs)) * to\text{-}nat\ (drop\ k\ xs)$

using *to-nat-app* **by** *metis*

also have $2 \wedge (length\ (take\ k\ xs)) * to\text{-}nat\ (drop\ k\ xs) = 2 \wedge k * to\text{-}nat\ (drop\ k\ xs)$

by (*cases length xs < k*) *simp-all*

finally show *?thesis* .

qed

lemma *to-nat-take*: $to\text{-}nat\ (take\ k\ xs) = to\text{-}nat\ xs \bmod 2 \wedge k$

proof –

have $to\text{-}nat\ xs = to\text{-}nat\ (take\ k\ xs) + 2 \wedge k * to\text{-}nat\ (drop\ k\ xs)$

by (*simp add: to-nat-drop-take*)

then have $to\text{-}nat\ xs \bmod 2 \wedge k = to\text{-}nat\ (take\ k\ xs) \bmod 2 \wedge k$ **by** *simp*

moreover have $to\text{-}nat\ (take\ k\ xs) < 2 \wedge k$

using *to-nat-length-bound[of take k xs] length-take[of k xs]*

by (*metis add-leD1 leI min-absorb2 min-def to-nat-bound-to-length-bound*)

ultimately show *?thesis* **by** *simp*

qed

lemma *to-nat-drop*: $to\text{-}nat\ (drop\ k\ xs) = to\text{-}nat\ xs \div 2 \wedge k$

proof –
have $to\text{-}nat\ xs = to\text{-}nat\ xs\ mod\ 2^k + 2^k * to\text{-}nat\ (drop\ k\ xs)$
using $to\text{-}nat\text{-}drop\text{-}take[of\ xs\ k]$ $to\text{-}nat\text{-}take[of\ k\ xs]$ **by** $argo$
then have $to\text{-}nat\ xs\ div\ 2^k = to\text{-}nat\ (drop\ k\ xs)$
by ($metis\ add.\text{right}\text{-}neutral\ bits\text{-}mod\text{-}div\text{-}trivial\ div\text{-}mult\text{-}self2\ power\text{-}not\text{-}zero$
 $zero\text{-}neq\text{-}numeral$)
thus $?thesis$ **by** $rule$
qed

lemma $to\text{-}nat\text{-}nth\text{-}True\text{-}bound$:

assumes $i < length\ xs$

assumes $xs ! i = True$

shows $to\text{-}nat\ xs \geq 2^i$

proof –

from $assms$ **have** $xs = (take\ i\ xs\ @ [True]) @ drop\ (Suc\ i)\ xs$

using $id\text{-}take\text{-}nth\text{-}drop$ **by** $fastforce$

then show $to\text{-}nat\ xs \geq 2^i$

using $to\text{-}nat\text{-}app[of\ -\ drop\ (Suc\ i)\ xs]$ $to\text{-}nat\text{-}length\text{-}lower\text{-}bound[of\ take\ i\ xs]$ $\langle i < length\ xs \rangle$

by ($metis\ append\text{-}eq\text{-}conv\text{-}conj\ le\text{-}add1\ le\text{-}eq\text{-}less\text{-}or\text{-}eq\ list\text{-}isolate\text{-}nth\ trans\text{-}less\text{-}add1$)
qed

8.3 Truncating and filling

fun $truncate\text{-}reversed :: bool\ list \Rightarrow bool\ list$ **where**

$truncate\text{-}reversed\ [] = []$

$| truncate\text{-}reversed\ (x\#\ xs) = (if\ x\ then\ x\#\ xs\ else\ truncate\text{-}reversed\ xs)$

definition $truncate :: nat\text{-}lsbf \Rightarrow nat\text{-}lsbf$ **where**

$truncate\ xs = rev\ (truncate\text{-}reversed\ (rev\ xs))$

abbreviation $truncated$ **where** $truncated\ x \equiv truncate\ x = x$

lemma $truncate\text{-}reversed\text{-}eqI[simp]$: $xs = (replicate\ n\ False) @ ys \Longrightarrow truncate\text{-}reversed\ xs = truncate\text{-}reversed\ ys$

by ($induction\ n\ arbitrary:\ xs\ ys$) $auto$

corollary $truncate\text{-}eqI[simp]$: $xs = ys @ (replicate\ n\ False) \Longrightarrow truncate\ xs = truncate\ ys$

by ($simp\ add:\ truncate\text{-}def$)

lemma $replicate\text{-}truncate\text{-}reversed$: $\exists n. (replicate\ n\ False) @ truncate\text{-}reversed\ xs = xs$

proof ($induction\ xs$)

case Nil

then show $?case$ **by** $simp$

next

case ($Cons\ a\ xs$)

then obtain n **where** $1: replicate\ n\ False @ truncate\text{-}reversed\ xs = xs$ **by** $blast$

hence $a \# xs = a \# replicate\ n\ False @ truncate\text{-}reversed\ xs$ **by** $simp$

```

show ?case
proof (cases a)
  case True
    then have truncate-reversed (a # xs) = a # xs by simp
    also have ... = replicate 0 False @ a # xs by simp
    finally show ?thesis by simp
  next
    case False
      then have truncate-reversed (a # xs) = truncate-reversed xs by simp
      hence replicate (Suc n) False @ truncate-reversed (a # xs) = False # replicate
n False @ truncate-reversed xs
        by simp
      with 1 False have replicate (Suc n) False @ truncate-reversed (a # xs) = a #
xs by simp
      then show ?thesis by blast
    qed
  qed
corollary truncate-replicate:  $\exists n. \text{truncate } xs @ (\text{replicate } n \text{ False}) = xs$ 
proof -
  from replicate-truncate-reversed[of rev xs]
  obtain n where replicate n False @ truncate-reversed (rev xs) = rev xs by blast
  hence rev (truncate-reversed (rev xs)) @ rev (replicate n False) = xs
    using rev-append[symmetric, of truncate-reversed (rev xs) replicate n False]
    using rev-rev-ident[of xs]
    by simp
  hence truncate xs @ replicate n False = xs by (simp add: truncate-def)
  thus ?thesis by blast
qed
lemma decompose-trailing-zeros:  $xs = \text{truncate } xs @ (\text{replicate } (\text{length } xs - \text{length }
(\text{truncate } xs)) \text{ False})$ 
  using truncate-replicate[of xs]
  by (metis add-diff-cancel-left' length-append length-replicate)

lemma truncate-reversed-length-ineq:  $\text{length } (\text{truncate-reversed } xs) \leq \text{length } xs$ 
  by (induction xs) simp-all
lemma truncate-length-ineq:  $\text{length } (\text{truncate } xs) \leq \text{length } xs$ 
  by (metis Nat-LSBF.truncate-def length-rev truncate-reversed-length-ineq)

lemma truncate-reversed-fixed-point-iff:  $\text{truncate-reversed } x = x \longleftrightarrow (x = [] \vee \text{hd }
x = \text{True})$ 
proof (induction x)
  case Nil
    then show ?case by simp
  next
    case (Cons a x)
      then have (a # x = []  $\vee$  hd (a # x) = True) = a by simp
      moreover have a  $\implies$  truncate-reversed (a # x) = a # x by simp
      moreover have  $\neg a \implies \text{truncate-reversed } (a \# x) = \text{truncate-reversed } x$  by
simp

```

hence $\neg a \implies \text{length } (\text{truncate-reversed } (a \# x)) \leq \text{length } x$
using *truncate-reversed-length-ineq*[of *x*] **by** *simp*
hence $\neg a \implies \text{truncate-reversed } (a \# x) \neq (a \# x)$
using *neq-if-length-neq*[of *a#x x*] **by** *force*
ultimately show *?case* **by** *simp*
qed

lemma *truncated-iff*: $\text{truncated } x \longleftrightarrow (x = [] \vee \text{last } x = \text{True})$
proof –
have $\text{truncated } x \longleftrightarrow \text{truncate-reversed } (\text{rev } x) = \text{rev } x$
by (*simp add: truncate-def rev-swap*)
also have $\dots \longleftrightarrow \text{rev } x = [] \vee \text{hd } (\text{rev } x) = \text{True}$
using *truncate-reversed-fixed-point-iff*[of *rev x*].
also have $\dots \longleftrightarrow x = [] \vee \text{last } x = \text{True}$
by (*simp add: hd-rev*)
finally show *?thesis*.
qed

lemma *hd-truncate-reversed*: $\text{truncate-reversed } xs \neq [] \implies \text{hd } (\text{truncate-reversed } xs) = \text{True}$
proof (*induction xs*)
case *Nil*
then show *?case* **by** *simp*
next
case (*Cons a xs*)
show *?case*
proof (*rule ccontr*)
assume *1*: $\text{hd } (\text{truncate-reversed } (a \# xs)) \neq \text{True}$
then have $a = \text{False}$ **by** *auto*
with *1* **have** $\text{hd } (\text{truncate-reversed } xs) \neq \text{True}$ **by** *simp*
hence $\text{truncate-reversed } xs = []$ **using** *Cons.IH* **by** *blast*
hence $\text{truncate-reversed } (a \# xs) = []$ **using** $\langle a = \text{False} \rangle$ **by** *simp*
thus *False* **using** *Cons.prem*s **by** *simp*
qed
qed

lemma *last-truncate*: $\text{truncate } xs \neq [] \implies \text{last } (\text{truncate } xs) = \text{True}$
using *hd-truncate-reversed last-rev* **by** (*auto simp: truncate-def*)

lemma *truncate-truncate*[*simp*]: $\text{truncate } (\text{truncate } xs) = \text{truncate } xs$
using *truncated-iff*[of *truncate xs*] *last-truncate* **by** *auto*

lemma *truncate-reversed-Nil-iff*: $\text{truncate-reversed } xs = [] \longleftrightarrow (\exists n. xs = \text{replicate } n \text{ False})$
proof
show $\text{truncate-reversed } xs = [] \implies \exists n. xs = \text{replicate } n \text{ False}$
proof (*induction xs*)
case *Nil*

```

    then show ?case by simp
  next
    case (Cons a xs)
    then have a = False truncate-reversed (a#xs) = truncate-reversed xs
      by (auto split: if-splits)
    then obtain n where xs = replicate n False using Cons by auto
    hence a # xs = replicate (Suc n) False using ⟨a = False⟩ by simp
    thus ?case by blast
  qed
next
show  $\exists n. xs = replicate\ n\ False \implies truncate-reversed\ xs = []$ 
proof (induction xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case
    by (metis Cons-replicate-eq truncate-reversed.simps(2))
qed
qed

```

lemma *truncate-Nil-iff*: $truncate\ xs = [] \longleftrightarrow (\exists n. xs = replicate\ n\ False)$
using *truncate-reversed-Nil-iff*[of *rev xs*]
by (*auto simp: truncate-def*) (*metis rev-replicate rev-rev-ident*)

corollary *truncate-neq-Nil*: $truncate\ xs \neq [] \implies \exists ys\ zs. xs = ys @ True \# zs$
using *truncate-Nil-iff*[of *xs*]
by (*metis (full-types) hd-Cons-tl hd-truncate-reversed replicate-truncate-reversed truncate-reversed-Nil-iff*)

lemma *truncate-Cons*: $truncate\ (a \# xs) = (if\ \neg a \wedge (truncate\ xs = [])\ then\ []\ else\ a \# truncate\ xs)$

```

proof (cases truncate xs = [])
  case True
  then obtain n where xs = replicate n False using truncate-Nil-iff by blast
  then have truncate (a # xs) = truncate [a] by simp
  then show ?thesis using True by (simp add: truncate-def)
next
  case False
  then obtain ys n where xs = ys @ True # (replicate n False)
    using truncate-neq-Nil[of xs] bit-strong-decomp-2[of xs True] by auto
  then have truncate xs = ys @ [True] by (auto simp: truncate-def)
  moreover have truncate (a # xs) = a # ys @ [True]
    using ⟨xs = ys @ True # (replicate n False)⟩ by (auto simp: truncate-def)
  ultimately show ?thesis by simp
qed

```

lemma *truncate-eq-Cons*: $truncate\ xs = truncate\ ys \implies truncate\ (a \# xs) = truncate\ (a \# ys)$

```

using truncate-Cons by simp

lemma truncate-as-take:  $\bigwedge xs. \exists n. truncate\ xs = take\ n\ xs$ 
  using truncate-replicate append-eq-conv-conj by blast

lemma to-nat-zero-iff:  $to-nat\ xs = 0 \iff truncate\ xs = []$ 
proof (induction xs)
  case Nil
  then show ?case by (simp add: truncate-def)
next
  case (Cons a xs)
  have  $to-nat\ (a \# xs) = 0 \iff (eval-bool\ a = 0 \wedge to-nat\ xs = 0)$  by simp
  also have  $\dots \iff (a = False \wedge to-nat\ xs = 0)$  using eval-bool-inj[of a False] by
  auto
  also have  $\dots \iff (a = False \wedge truncate\ xs = [])$  using Cons.IH by simp
  also have  $\dots \iff (truncate\ (a \# xs) = [])$  using truncate-Cons by simp
  finally show ?case .
qed

lemma to-nat-eq-imp-truncate-eq:  $to-nat\ xs = to-nat\ ys \implies truncate\ xs = truncate\ ys$ 
proof (induction xs arbitrary: ys)
  case Nil
  then show ?case using to-nat-zero-iff by (simp add: truncate-def)
next
  case (Cons a xs)
  show ?case
  proof (cases ys = [])
    case True
    then have  $to-nat\ ys = 0$  by simp
    hence  $to-nat\ (a \# xs) = 0$  using Cons.prem1 by simp
    with  $\langle to-nat\ ys = 0 \rangle$  show  $truncate\ (a \# xs) = truncate\ ys$ 
    using to-nat-zero-iff[of a # xs] to-nat-zero-iff[of ys] by simp
  next
    case False
    then obtain b zs where  $ys = b \# zs$  by (meson neq-Nil-conv)
    then have  $to-nat\ (a \# xs) = to-nat\ (b \# zs)$  using Cons.prem1 by simp
    then have 1:  $eval-bool\ a + 2 * to-nat\ xs = eval-bool\ b + 2 * to-nat\ zs$  by simp
    then have  $eval-bool\ a = eval-bool\ b$ 
    by (metis add-cancel-right-left double-not-eq-Suc-double eval-bool.elims plus-1-eq-Suc)
    hence  $a = b$  using eval-bool-inj by simp
    from 1 have  $to-nat\ xs = to-nat\ zs$ 
    using  $\langle eval-bool\ a = eval-bool\ b \rangle$  by auto
    hence  $truncate\ xs = truncate\ zs$  using Cons.IH by simp
    hence  $truncate\ (a \# xs) = truncate\ (b \# zs)$  using  $\langle a = b \rangle$ 
    using truncate-eq-Cons[of xs zs a] by simp
    thus ?thesis using  $\langle ys = b \# zs \rangle$  by simp
  qed
qed

```

lemma *truncate-from-nat*[simp]: $\text{truncate } (\text{from-nat } x) = \text{from-nat } x$
unfolding *truncated-iff*
by (*induction x rule: from-nat.induct*) *auto*

lemma *truncate-and-length-eq-imp-eq*:
assumes $\text{truncate } xs = \text{truncate } ys$ $\text{length } xs = \text{length } ys$
shows $xs = ys$
proof –
obtain n **where** $1: xs = \text{truncate } xs @ \text{replicate } n \text{ False}$
by (*metis truncate-replicate*)
then have $2: \text{length } xs = \text{length } (\text{truncate } xs) + n$
by (*metis length-append length-replicate*)
obtain m **where** $3: ys = \text{truncate } ys @ \text{replicate } m \text{ False}$
by (*metis truncate-replicate*)
then have $\text{length } ys = \text{length } (\text{truncate } ys) + m$
by (*metis length-append length-replicate*)
with 2 *assms* **have** $n = m$ **by** *simp*
with 1 3 *assms* **show** *?thesis* **by** *algebra*
qed

lemma *nat-lsbf-eqI*:
assumes $\text{to-nat } xs = \text{to-nat } ys$
assumes $\text{length } xs = \text{length } ys$
shows $xs = ys$
using *assms*
using *to-nat-eq-imp-truncate-eq truncate-and-length-eq-imp-eq* **by** *blast*

interpretation *nat-lsbf*: *abstract-representation from-nat to-nat truncate*
proof
show $\text{to-nat} \circ \text{from-nat} = \text{id}$
using *to-nat-from-nat comp-apply* **by** *fastforce*
next
show $\text{from-nat} \circ \text{to-nat} = \text{truncate}$
using *from-to-f-criterion*[*of to-nat from-nat truncate*]
using *to-nat-from-nat truncate-from-nat to-nat-eq-imp-truncate-eq*
using *comp-apply*
by *fastforce*
qed

lemma *truncated-Cons-imp-truncated-tl*: $\text{truncated } (x \# xs) \implies \text{truncated } xs$
using *truncated-iff* **by** *fastforce*

definition *fill* **where** $\text{fill } n \text{ } xs = xs @ \text{replicate } (n - \text{length } xs) \text{ False}$

lemma *to-nat-fill*[simp]: $\text{to-nat } (\text{fill } n \text{ } xs) = \text{to-nat } xs$
by (*simp add: fill-def*)

lemma *length-fill[intro]*: $\text{length } xs \leq n \implies \text{length } (\text{fill } n \text{ } xs) = n$
by (*simp add: fill-def*)

lemma *take-id*: $\text{length } xs = k \implies \text{take } k \text{ } xs = xs$
by *simp*

lemma *fill-id*: $\text{length } xs \geq k \implies \text{fill } k \text{ } xs = xs$
unfolding *fill-def* **by** *simp*

lemma *length-fill'*: $\text{length } (\text{fill } n \text{ } xs) = \max n \text{ } (\text{length } xs)$
by (*simp add: fill-def*)

lemma *length-fill-max[simp]*:
 $\text{length } (\text{fill } (\max (\text{length } xs) (\text{length } ys)) \text{ } xs) = \max (\text{length } xs) (\text{length } ys)$
 $\text{length } (\text{fill } (\max (\text{length } xs) (\text{length } ys)) \text{ } ys) = \max (\text{length } xs) (\text{length } ys)$
by (*intro length-fill, simp*)⁺

lemma *truncate-fill*: $\text{truncate } (\text{fill } k \text{ } xs) = \text{truncate } xs$
by (*simp add: fill-def*)

lemma *fill-truncate*: $\text{length } xs \leq k \implies \text{fill } k \text{ } (\text{truncate } xs) = \text{fill } k \text{ } xs$

proof –

assume $\text{length } xs \leq k$
obtain n **where** *n-def*: $xs = \text{truncate } xs \text{ } @ \text{ replicate } n \text{ } \text{False}$
using *truncate-replicate* **by** *metis*
then have $\text{length } xs = \text{length } (\text{truncate } xs) + n$ **by** (*metis length-append length-replicate*)
then have $\text{length } (\text{truncate } xs) + n \leq k$ **using** $\langle \text{length } xs \leq k \rangle$ **by** *simp*
from *n-def* **have** $\text{fill } k \text{ } xs = (\text{truncate } xs \text{ } @ \text{ replicate } n \text{ } \text{False}) \text{ } @ \text{ replicate } (k -$
 $\text{length } (\text{truncate } xs \text{ } @ \text{ replicate } n \text{ } \text{False})) \text{ } \text{False}$
using *fill-def* **by** *presburger*
also have $\dots = \text{truncate } xs \text{ } @ \text{ replicate } (n + (k - \text{length } (\text{truncate } xs \text{ } @ \text{ replicate } n \text{ } \text{False}))) \text{ } \text{False}$
by (*simp add: replicate-add*)
also have $\dots = \text{truncate } xs \text{ } @ \text{ replicate } (n + (k - (\text{length } (\text{truncate } xs) + n)))$
 False
by *simp*
also have $\dots = \text{truncate } xs \text{ } @ \text{ replicate } (k - (\text{length } (\text{truncate } xs))) \text{ } \text{False}$
using $\langle \text{length } (\text{truncate } xs) + n \leq k \rangle$ **by** *simp*
also have $\dots = \text{fill } k \text{ } (\text{truncate } xs)$ **by** (*simp add: fill-def*)
finally show *?thesis* **by** *simp*

qed

lemma *fill-take-com*: $\text{fill } k \text{ } (\text{take } k \text{ } xs) = \text{take } k \text{ } (\text{fill } k \text{ } xs)$
using *fill-def* **by** *fastforce*

lemma *to-nat-length-lower-bound-truncated*: $xs \neq [] \implies \text{truncated } xs \implies \text{to-nat } xs \geq 2 \wedge (\text{length } xs - 1)$

proof –

assume $xs \neq []$ *truncated xs*

then obtain xs' **where** $xs = xs' @ [True]$
by (*metis(full-types) append-butlast-last-id last-truncate*)
then show *?thesis* **using** *to-nat-length-lower-bound[of xs']* **by** *simp*
qed

lemma *to-nat-length-bound-truncated*: $truncated\ xs \implies to-nat\ xs < 2 \wedge n \implies length\ xs \leq n$

proof (*rule ccontr*)

assume $truncated\ xs\ to-nat\ xs < 2 \wedge n \neg length\ xs \leq n$

show *False*

proof (*cases xs = []*)

case *True*

then show *?thesis* **using** $\langle \neg length\ xs \leq n \rangle$ **by** *simp*

next

case *False*

have $length\ xs \geq n + 1$ **using** $\langle \neg length\ xs \leq n \rangle$ **by** *simp*

then have $to-nat\ xs \geq 2 \wedge n$

using *to-nat-length-lower-bound-truncated[of xs]*

using *False* $\langle truncated\ xs \rangle$

by (*meson add-le-imp-le-diff dual-order.trans one-le-numeral power-increasing*)

then show *?thesis* **using** $\langle to-nat\ xs < 2 \wedge n \rangle$ **by** *simp*

qed

qed

8.4 Right-shifts

definition *shift-right* :: $nat \Rightarrow nat-lsbf \Rightarrow nat-lsbf$ **where**

shift-right $n\ xs = (replicate\ n\ False) @ xs$

lemma *to-nat-shift-right[simp]*: $to-nat\ (shift-right\ n\ xs) = 2 \wedge n * to-nat\ xs$

unfolding *shift-right-def* **using** *to-nat-app* **by** *simp*

lemma *length-shift-right[simp]*: $length\ (shift-right\ n\ xs) = n + length\ xs$

unfolding *shift-right-def* **by** *simp*

8.5 Subdividing lists

8.5.1 Splitting a list in two blocks

fun *split-at* :: $nat \Rightarrow 'a\ list \Rightarrow 'a\ list \times 'a\ list$ **where**

split-at $m\ xs = (take\ m\ xs, drop\ m\ xs)$

definition *split* :: $nat-lsbf \Rightarrow nat-lsbf \times nat-lsbf$ **where**

split $xs = (let\ n = length\ xs\ div\ (2::nat)\ in\ split-at\ n\ xs)$

lemma *app-split*: $split\ xs = (x0, x1) \implies xs = x0 @ x1$

unfolding *split-def* *Let-def* **using** *append-take-drop-id[of length xs div 2 xs]* **by** *simp*

lemma *length-split*: $\text{length } xs \bmod 2 = 0 \implies \text{split } xs = (x0, x1) \implies \text{length } x0 = \text{length } xs \text{ div } 2 \wedge \text{length } x1 = \text{length } xs \text{ div } 2$

unfolding *split-def* **by** *fastforce*

lemma *length-split-le*:

assumes $\text{split } xs = (x0, x1)$

shows $\text{length } x0 \leq \text{length } xs$ **and** $\text{length } x1 \leq \text{length } xs$

using *app-split[OF assms]* **by** *simp-all*

8.5.2 Splitting a list in multiple blocks

subdivide n xs divides the list *xs* into blocks of size *n*.

fun *subdivide* :: $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list list}$ **where**

subdivide 0 xs = undefined

| *subdivide n [] = []*

| *subdivide n xs = take n xs # subdivide n (drop n xs)*

value *concat* $[[0..<2], [4..<7], [1..<5]]$

value *subdivide 2* $[0..<6]$

value *subdivide 3* $[0..<6]$

value *subdivide* $(2 \wedge 2)$ $[0..<2 \wedge 6]$

lemma *concat-subdivide*: $n > 0 \implies \text{concat } (\text{subdivide } n \ xs) = xs$

by (*induction n xs rule: subdivide.induct*) *simp-all*

lemma *subdivide-step*:

assumes $n > 0$

assumes $xs \neq []$

assumes $\text{length } xs = n * k$

obtains $ys \ zs$ **where** $xs = ys @ zs$ $\text{length } ys = n$ $\text{length } zs = n * (k - 1)$

$\text{subdivide } n \ xs = ys \# \text{subdivide } n \ zs$

proof –

from *assms* **obtain** $a \ xs'$ **where** $xs = a \# xs'$ **using** *list.exhaust* **by** *blast*

from *assms* **have** $k > 0$

using *zero-less-iff-neq-zero* **by** *fastforce*

then obtain k' **where** $k = \text{Suc } k'$ **using** *gr0-implies-Suc* **by** *auto*

then have $\text{length } xs = n + n * k'$ **using** *assms(3)* **by** *simp*

define $ys \ zs$ **where** $ys = \text{take } n \ xs$ $zs = \text{drop } n \ xs$

with $\langle \text{length } xs = n + n * k' \rangle$ **have** $xs = ys @ zs$ $\text{length } ys = n$ $\text{length } zs = n * k'$ **by** *simp-all*

moreover have $\text{subdivide } n \ xs = ys \# \text{subdivide } n \ zs$ **using** *ys-zs-def* *assms(1)* *assms(2)* *Suc-diff-1* *subdivide.simps(3)*

$\langle xs = a \# xs' \rangle$ **by** *metis*

ultimately show $(\bigwedge ys \ zs.$

$xs = ys @ zs \implies$

$\text{length } ys = n \implies$

$\text{length } zs = n * (k - 1) \implies$

$\text{subdivide } n \ xs = ys \# \text{subdivide } n \ zs \implies \text{thesis}) \implies$

thesis
 by (simp add: ‹k = Suc k'›)
 qed

lemma *subdivide-step'*:
 assumes $n > 0$
 assumes $xs \neq []$
 shows $subdivide\ n\ xs = (take\ n\ xs) \# subdivide\ n\ (drop\ n\ xs)$
 using *assms*
 by (cases n; cases xs; simp-all)

lemma *subdivide-correct*:
 assumes $n > 0$
 assumes $length\ xs = n * k$
 shows $length\ (subdivide\ n\ xs) = k \wedge (x \in set\ (subdivide\ n\ xs) \longrightarrow length\ x = n)$
 using *assms*
 proof (induction k arbitrary: xs n x)
 case 0
 then have $subdivide\ n\ xs = []$ using 0 *gr0-conv-Suc* by force
 then show ?case by simp

next
 case (Suc k)
 then have $xs \neq []$ by force
 from *subdivide-step'*[OF ‹n > 0› this ‹length xs = n * Suc k›] obtain ys zs
 where *ys-zs*:
 $xs = ys @ zs$
 $length\ ys = n$
 $length\ zs = n * (Suc\ k - 1)$
 $subdivide\ n\ xs = ys \# subdivide\ n\ zs$
 by blast
 then have $length\ zs = n * k$ by simp
 note *IH* = *Suc.IH*[OF ‹n > 0› this]
 from *IH* show ?case using *ys-zs* by simp
 qed

lemma *nth-nth-subdivide*:
 assumes $n > 0$
 assumes $length\ xs = n * k$
 assumes $i < k\ j < n$
 shows $subdivide\ n\ xs ! i ! j = xs ! (i * n + j)$
 using *assms*
 proof (induction k arbitrary: xs i)
 case 0
 then show ?case by simp
 next
 case (Suc k)
 then have $xs \neq []$ by auto
 with *Suc* *subdivide-step* obtain ys zs where $xs = ys @ zs$ $length\ ys = n$ $length\ zs = n * (Suc\ k - 1)$

```

    subdivide n xs = ys # subdivide n zs by blast
then have length zs = n * k by simp
show ?case
proof (cases i)
  case 0
    then have subdivide n xs ! i ! j = ys ! (i * n + j) using ⟨subdivide n xs = ys
# subdivide n zs⟩ by simp
    then show ?thesis using ⟨xs = ys @ zs⟩ 0 ⟨j < n⟩ ⟨length ys = n⟩
    by (simp add: nth-append)
  next
    case (Suc i')
    then have subdivide n xs ! i ! j = subdivide n zs ! i' ! j
    using ⟨subdivide n xs = ys # subdivide n zs⟩ by simp
    also have ... = zs ! (i' * n + j)
    apply (intro Suc.IH[of zs i'])
    subgoal using ⟨n > 0⟩ .
    subgoal using ⟨length zs = n * k⟩ .
    subgoal using ⟨i < Suc k⟩ ⟨i = Suc i'⟩ by simp
    subgoal using ⟨j < n⟩ .
    done
    also have ... = xs ! (i * n + j)
    using ⟨i = Suc i'⟩ ⟨xs = ys @ zs⟩ ⟨length ys = n⟩
    by (metis ab-semigroup-add-class.add-ac(1) mult-Suc nth-append-length-plus)
    finally show ?thesis .
qed
qed

```

lemma *subdivide-concat*:

```

assumes n > 0
assumes  $\bigwedge i. i < \text{length } xs \implies \text{length } (xs ! i) = n$ 
shows subdivide n (concat xs) = xs
proof (intro iffD1[OF concat-eq-concat-iff])
show concat (subdivide n (concat xs)) = concat xs
using concat-subdivide[OF ⟨n > 0⟩] .
have map length xs = replicate (length xs) n
apply (intro replicate-eqI)
subgoal by simp
subgoal using assms by (metis in-set-conv-nth length-map nth-map)
done
then have length (concat xs) = length xs * n
by (simp add: length-concat sum-list-replicate)
then show length (subdivide n (concat xs)) = length xs
apply (intro conjunct1[OF subdivide-correct] ⟨n > 0⟩) by simp
show  $\forall (x, y) \in \text{set } (\text{zip } (\text{subdivide } n \text{ (concat } xs)) \text{ } xs). \text{length } x = \text{length } y$ 
proof
  fix z
  assume a: z ∈ set (zip (subdivide n (concat xs)) xs)
  then obtain x y where z = (x, y) by fastforce
  from a obtain i where i < length xs z = zip (subdivide n (concat xs)) xs ! i

```

using $\langle \text{length (subdivide } n \text{ (concat } xs)) = \text{length } xs \rangle$
by (*metis (no-types, lifting) gen-length-def in-set-conv-nth length-code length-zip min-0R min-add-distrib-left*)
then have $\text{subdivide } n \text{ (concat } xs) ! i = x \text{ } xs ! i = y$
using $\langle z = (x, y) \rangle \langle \text{length (subdivide } n \text{ (concat } xs)) = \text{length } xs \rangle$ **by** *simp-all*
then have $\text{length } x = n$ **using** $\langle i < \text{length } xs \rangle \langle \text{length (subdivide } n \text{ (concat } xs)) = \text{length } xs \rangle$
using $\langle \text{length (concat } xs) = \text{length } xs * n \rangle$
 $\langle n > 0 \rangle$ *mult.commute[of n length xs]*
by (*metis nth-mem subdivide-correct*)
moreover from $\langle xs ! i = y \rangle \langle i < \text{length } xs \rangle$ **have** $\text{length } y = n$ **using** *assms*
by *blast*
ultimately show *case z of (x, y) \Rightarrow length x = length y* **using** $\langle z = (x, y) \rangle$
by *simp*
qed
qed

lemma *to-nat-subdivide:*

assumes $n > 0$
assumes $\text{length } xs = n * k$
shows $\text{to-nat } xs = (\sum i \leftarrow [0..<k]. \text{to-nat (subdivide } n \text{ } xs ! i) * 2^{\wedge}(i * n))$
using *assms*
proof (*induction k arbitrary: xs*)
case 0
then show *?case by simp*
next
case (*Suc k*)
then have $\text{length (take } n \text{ } xs) = n \text{ length (drop } n \text{ } xs) = n * k$ **by** *simp-all*
from *Suc* **have** $xs \neq []$ **by** *auto*
have $(\sum i \leftarrow [0..<\text{Suc } k]. \text{to-nat (subdivide } n \text{ } xs ! i) * 2^{\wedge}(i * n))$
 $= \text{to-nat (subdivide } n \text{ } xs ! 0) * 2^{\wedge}(0 * n) + (\sum i \leftarrow [1..<\text{Suc } k]. \text{to-nat (subdivide } n \text{ } xs ! i) * 2^{\wedge}(i * n))$
by (*intro sum-list-split-0*)
also have $\text{subdivide } n \text{ } xs ! 0 = \text{take } n \text{ } xs$
using *Suc* $\langle xs \neq [] \rangle$ *subdivide-step'[OF <0 < n> <xs ≠ []>]* **by** *simp*
also have $(\sum i \leftarrow [1..<\text{Suc } k]. \text{to-nat (subdivide } n \text{ } xs ! i) * 2^{\wedge}(i * n))$
 $= (\sum i \leftarrow [0..<k]. \text{to-nat (subdivide } n \text{ } xs ! (i + 1)) * 2^{\wedge}((i + 1) * n))$
using *sum-list-index-shift[of λi. to-nat (subdivide } n \text{ } xs ! i) * 2^{\wedge}(i * n) 1 0 k]*
by *simp*
also have $\dots = (\sum i \leftarrow [0..<k]. \text{to-nat (subdivide } n \text{ (drop } n \text{ } xs) ! i) * 2^{\wedge}((i + 1) * n))$
using *subdivide-step'[OF <0 < n> <xs ≠ []>]* **by** *simp*
also have $\dots = (\sum i \leftarrow [0..<k]. (\text{to-nat (subdivide } n \text{ (drop } n \text{ } xs) ! i) * (2^{\wedge} n * 2^{\wedge}(i * n))))$
by (*simp add: power-add*)
also have $\dots = (\sum i \leftarrow [0..<k]. 2^{\wedge} n * (\text{to-nat (subdivide } n \text{ (drop } n \text{ } xs) ! i) * 2^{\wedge}(i * n))$
by (*simp add: mult.left-commute*)
also have $\dots = 2^{\wedge} n * (\sum i \leftarrow [0..<k]. \text{to-nat (subdivide } n \text{ (drop } n \text{ } xs) ! i) * 2^{\wedge}(i * n))$

$\wedge (i * n)$
 by (*simp add: sum-list-const-mult*)
 also have $\dots = 2 \wedge n * \text{to-nat } (\text{drop } n \text{ } xs)$
 using *Suc.IH*[*OF* $\langle 0 < n \rangle \langle \text{length } (\text{drop } n \text{ } xs) = n * k \rangle$] by *argo*
 finally have $(\sum i \leftarrow [0..< \text{Suc } k]. \text{to-nat } (\text{subdivide } n \text{ } xs ! i) * 2 \wedge (i * n))$
 $= \text{to-nat } (\text{take } n \text{ } xs) + 2 \wedge n * \text{to-nat } (\text{drop } n \text{ } xs)$
 by *simp*
 also have $\dots = \text{to-nat } (\text{take } n \text{ } xs @ \text{drop } n \text{ } xs)$
 by (*simp only: to-nat-app* $\langle \text{length } (\text{take } n \text{ } xs) = n \rangle$)
 also have $\dots = \text{to-nat } xs$ by *simp*
 finally show $\text{to-nat } xs = (\sum i \leftarrow [0..< \text{Suc } k]. \text{to-nat } (\text{subdivide } n \text{ } xs ! i) * 2 \wedge (i * n))$
 by *simp*
 qed

8.6 The *bitsize* function

bitsize n calculates how many bits are needed in the LSBF encoding of n .

fun *bitsize* :: *nat* \Rightarrow *nat* **where**

bitsize 0 = 0

| *bitsize* $n = 1 + \text{bitsize } (n \text{ div } 2)$

lemma *bitsize-is-floorlog*: *bitsize* = *floorlog* 2

apply (*intro ext*)

subgoal for n

apply (*induction n rule: bitsize.induct*)

by (*auto simp add: floorlog-eq-zero-iff compute-floorlog*)

done

corollary *bitsize-bitlen*: *int* (*bitsize* n) = *bitlen* (*int* n)

unfolding *bitsize-is-floorlog bitlen-def* **by** *simp*

lemma *bitsize-eq*: *bitsize* $n = \text{length } (\text{from-nat } n)$

proof (*induction n rule: less-induct*)

case (*less* n)

then show *?case*

proof (*cases n = 0*)

case *True*

then show *?thesis* **by** *simp*

next

case *False*

then have 1: *bitsize* $n = 1 + \text{bitsize } (n \text{ div } 2)$

by (*metis bitsize.elims*)

from *False* **have** $\text{length } (\text{from-nat } n) = \text{length } ((\text{if } n \text{ mod } 2 = 0 \text{ then } \text{False} \text{ else } \text{True}) \# \text{from-nat } (n \text{ div } 2))$

by (*metis from-nat.elims*)

also have $\dots = 1 + \text{bitsize } (n \text{ div } 2)$ **using** *less[of n div 2] False* **by** *simp*

finally show *bitsize* $n = \text{length } (\text{from-nat } n)$ **using** 1 **by** *simp*

qed

qed

lemma *bitsize-zero-iff*: $\text{bitsize } n = 0 \longleftrightarrow n = 0$
by (*simp add: bitsize-is-floorlog floorlog-eq-zero-iff*)

lemma *truncated-iff'*: $\text{truncated } x \longleftrightarrow \text{length } x = \text{bitsize } (\text{to-nat } x)$
proof

assume *truncated x*
then have $x = \text{from-nat } (\text{to-nat } x)$ **unfolding** *nat-lsbf.f-fixed-point-iff'* .
then show $\text{length } x = \text{bitsize } (\text{to-nat } x)$ **unfolding** *bitsize-eq* **by** *simp*
next
assume $\text{length } x = \text{bitsize } (\text{to-nat } x)$
then have $\text{length } x = \text{length } (\text{from-nat } (\text{to-nat } x))$ **unfolding** *bitsize-eq* .
moreover have $\text{to-nat } x = \text{to-nat } (\text{from-nat } (\text{to-nat } x))$ **by** *simp*
ultimately show *truncated x* **unfolding** *nat-lsbf.f-fixed-point-iff'*
by (*intro nat-lsbf-eqI; argo*)

qed

lemma *bitsize-length*: $\text{bitsize } n \leq k \longleftrightarrow n < 2 \wedge k$
unfolding *bitsize-is-floorlog floorlog-le-iff* **by** *simp*

lemma *two-pow-bitsize-pos-bound*: $n > 0 \implies 2 \wedge \text{bitsize } n \leq 2 * n$
proof –

assume $n > 0$
then have $2 \wedge (\text{bitsize } n - 1) \leq n$
using *bitsize-length*[of n *bitsize n - 1*] **by** *fastforce*
then have $2 \wedge (\text{bitsize } n - 1 + 1) \leq 2 * n$ **by** *simp*
also have $\text{bitsize } n - 1 + 1 = \text{bitsize } n$ **using** *bitsize-zero-iff*[of n] $\langle n > 0 \rangle$ **by**
simp
finally show *?thesis* .
qed

lemma *two-pow-bitsize-bound*: $2 \wedge \text{bitsize } n \leq 2 * n + 1$
using *two-pow-bitsize-pos-bound*[of n] **by** (*cases n*) *simp-all*

lemma *bitsize-mono*: $n1 \leq n2 \implies \text{bitsize } n1 \leq \text{bitsize } n2$
unfolding *bitsize-is-floorlog* **by** (*rule floorlog-mono*)

8.6.1 The next-power-of-2 function

lemma *power-of-2-recursion*: $(\exists k. (n::\text{nat}) = 2 \wedge k) \longleftrightarrow (n = 1 \vee (n \bmod 2 = 0 \wedge (\exists k. n \text{ div } 2 = 2 \wedge k)))$

proof

assume $\exists k. n = 2 \wedge k$
then obtain *k* **where** *k-def*: $n = 2 \wedge k$ **by** *blast*
show $n = 1 \vee (n \bmod 2 = 0 \wedge (\exists k. n \text{ div } 2 = 2 \wedge k))$
using *k-def* **by** (*cases k*) *simp-all*
next
assume $n = 1 \vee (n \bmod 2 = 0 \wedge (\exists k. n \text{ div } 2 = 2 \wedge k))$


```

then consider  $n = 1 \mid n \bmod 2 = 0 \wedge (\exists k. n \operatorname{div} 2 = 2^k)$  by argo
then show  $\exists k. n = 2^k$ 
proof cases
  case 1
    then have  $n = 2^0$  by simp
    then show ?thesis by blast
  next
  case 2
    then obtain  $k$  where  $n \operatorname{div} 2 = 2^k$  by blast
    with 2 have  $n = 2^{\operatorname{Suc} k}$  by auto
    then show ?thesis by blast
qed

```

```

fun is-power-of-2 :: nat  $\Rightarrow$  bool where
is-power-of-2 0 = False
| is-power-of-2 (Suc 0) = True
| is-power-of-2 n = ((n mod 2 = 0)  $\wedge$  is-power-of-2 (n div 2))

```

```

lemma is-power-of-2-correct: is-power-of-2 n  $\longleftrightarrow$  ( $\exists k. n = 2^k$ )
proof (induction n rule: is-power-of-2.induct)
  case 1
    then show ?case by simp
  next
  case 2
    then show ?case by (metis is-power-of-2.simps(2) nat-power-eq-Suc-0-iff)
  next
  case (3 va)
    let  $?n = \operatorname{Suc} (\operatorname{Suc} va)$ 
    have is-power-of-2  $?n = ((?n \bmod 2 = 0) \wedge \text{is-power-of-2 } (?n \operatorname{div} 2))$ 
      by simp
    also have  $\dots = ((?n \bmod 2 = 0) \wedge (\exists k. (?n \operatorname{div} 2) = 2^k))$ 
      using 3 by argo
    also have  $\dots = (\exists k. ?n = 2^k)$ 
      using power-of-2-recursion[of  $?n$ ] by simp
    finally show ?case .
qed

```

```

fun next-power-of-2 :: nat  $\Rightarrow$  nat where
next-power-of-2 n = (if is-power-of-2 n then n else  $2^{\operatorname{bitsize} n}$ )

```

```

lemma next-power-of-2-lower-bound: next-power-of-2 k  $\geq$  k
apply (cases is-power-of-2 k)
subgoal by simp
subgoal premises prems
proof -
  from prems have next-power-of-2  $k - 1 = 2^{\operatorname{bitsize} k - 1}$  by simp
  also have  $\dots = 2^{\operatorname{length} (\operatorname{from-nat} k) - 1}$  using bitsize-eq by simp

```

also have $\dots \geq k$ **using** *to-nat-length-upper-bound*[of *from-nat k*] **by** *simp*
finally show *?thesis* **by** *simp*
qed
done

lemma *next-power-of-2-upper-bound*:

assumes $k \neq 0$
shows *next-power-of-2* $k \leq 2 * k$
apply (*cases is-power-of-2 k*)
subgoal by *simp*
subgoal premises *prems*
proof –
 have $2^{\wedge}(\text{length } (\text{from-nat } k) - 1) \leq \text{to-nat } (\text{from-nat } k)$
 apply (*intro to-nat-length-lower-bound-truncated*)
 subgoal using *assms* **by** (*cases k; simp*)
 subgoal by *simp*
 done
 then have $2^{\wedge} \text{length } (\text{from-nat } k) \leq 2 * \text{to-nat } (\text{from-nat } k)$
 using *assms* **by** (*cases k; simp*)
 also have $\dots = 2 * k$ **by** *simp*
 also have $2^{\wedge} \text{length } (\text{from-nat } k) = \text{next-power-of-2 } k$
 using *prems bitsize-eq* **by** *simp*
 finally show *?thesis* .
qed
done

lemma *next-power-of-2-upper-bound'*: *next-power-of-2* $k \leq 2 * k + 1$

apply (*cases k*)
subgoal by *simp*
subgoal using *next-power-of-2-upper-bound*[of *k*] **by** *simp*
done

lemma *next-power-of-2-is-power-of-2*: $\exists k. \text{next-power-of-2 } n = 2^{\wedge} k$

using *is-power-of-2-correct* **by** *simp*

8.7 Addition

fun *bit-add-carry* :: *bool* \Rightarrow *bool* \Rightarrow *bool* \Rightarrow *bool* \times *bool* **where**

bit-add-carry *False False* *False* = (*False*, *False*)
| *bit-add-carry* *False False* *True* = (*True*, *False*)
| *bit-add-carry* *False True* *False* = (*True*, *False*)
| *bit-add-carry* *False True* *True* = (*False*, *True*)
| *bit-add-carry* *True False* *False* = (*True*, *False*)
| *bit-add-carry* *True False* *True* = (*False*, *True*)
| *bit-add-carry* *True True* *False* = (*False*, *True*)
| *bit-add-carry* *True True* *True* = (*True*, *True*)

lemma *bit-add-carry-correct*: *bit-add-carry* *c x y* = (*a*, *b*) \implies *eval-bool c* + *eval-bool x* + *eval-bool y* = *eval-bool a* + $2 * \text{eval-bool } b$

by (cases c; cases x; cases y) auto

8.7.1 Increment operation

fun *inc-nat* :: *nat-lsbf* \Rightarrow *nat-lsbf* **where**
inc-nat [] = [True]
| *inc-nat* (False # *xs*) = True # *xs*
| *inc-nat* (True # *xs*) = False # (*inc-nat* *xs*)

lemma *length-inc-nat'*: $\text{length } (\text{inc-nat } xs) = \text{length } xs + \text{of-bool } (\text{to-nat } xs + 1 \geq 2 \wedge \text{length } xs)$

proof (*induction* *xs* *rule*: *inc-nat.induct*)

case 1

then show ?case by simp

next

case (2 *xs*)

then show ?case using *to-nat-length-bound*[of *xs*] by simp

next

case (3 *xs*)

then show ?case by simp

qed

lemma *length-inc-nat-lower*: $\text{length } (\text{inc-nat } xs) \geq \text{length } xs$
unfolding *length-inc-nat'* by simp

lemma *length-inc-nat-upper*: $\text{length } (\text{inc-nat } xs) \leq \text{length } xs + 1$
unfolding *length-inc-nat'* by simp

lemma *inc-nat-nonempty*: $\text{inc-nat } xs \neq []$
by (*induction* *xs* *rule*: *inc-nat.induct*) simp-all

lemma *inc-nat-replicate-True*: $\text{inc-nat } (\text{replicate } m \text{ True}) = \text{replicate } m \text{ False } @ [\text{True}]$
by (*induction* *m*) simp-all

lemma *inc-nat-replicate-True-2*: $\text{inc-nat } (\text{replicate } m \text{ True } @ \text{False } \# \text{ys}) = \text{replicate } m \text{ False } @ \text{True } \# \text{ys}$
by (*induction* *m*) simp-all

lemma *length-inc-nat-iff*: $\text{length } (\text{inc-nat } xs) = \text{length } xs \iff (\exists \text{ys } zs. xs = \text{ys } @ \text{False } \# zs)$

proof (*intro* *iffI*, *rule* *ccontr*)

assume $\nexists \text{ys } zs. xs = \text{ys } @ \text{False } \# zs$

then have $\forall i \in \{0..<\text{length } xs\}. xs!i = \text{True}$

by (*metis*(*full-types*) *atLeastLessThan-iff* *in-set-conv-nth* *split-list*)

then have $xs = \text{replicate } (\text{length } xs) \text{ True}$

by (*simp* *only*: *list-is-replicate-iff*)

then show $\text{length } (\text{inc-nat } xs) = \text{length } xs \implies \text{False}$

using *inc-nat-replicate-True*

```

    by (metis length-append-singleton length-rotate n-not-Suc-n)
next
  assume  $\exists ys zs. xs = ys @ False \# zs$ 
  then have  $\exists n zs'. xs = replicate n True @ False \# zs'$ 
    using bit-strong-decomp-1 by fastforce
  then show  $length (inc-nat xs) = length xs$ 
    using inc-nat-rotate-True-2 by fastforce
qed

lemma inc-nat-last-bit-True:  $length (inc-nat xs) = Suc (length xs) \implies \exists zs. inc-nat xs = zs @ [True]$ 
  by (induction xs rule: inc-nat.induct) auto

lemma inc-nat-truncated:  $truncated xs \implies truncated (inc-nat xs)$ 
proof (induction xs rule: inc-nat.induct)
  case 1
  then show ?case using truncate-def by simp
next
  case (2 xs)
  then show ?case by (simp add: truncated-iff)
next
  case (3 xs)
  then show ?case by (simp add: truncated-iff inc-nat-nonempty split: if-splits)
qed

lemma inc-nat-correct:  $to-nat (inc-nat xs) = to-nat xs + 1$ 
  by (induction xs rule: inc-nat.induct) simp-all

lemma length-inc-nat:  $length (inc-nat xs) = max (length xs) (floorlog 2 (to-nat xs + 1))$ 
proof (induction xs rule: inc-nat.induct)
  case 1
  then show ?case by (simp add: compute-floorlog)
next
  case (2 xs)
  then show ?case using to-nat-length-bound[of False # xs]
    by (simp add: floorlog-leI)
next
  case (3 xs)
  then have  $length (inc-nat (True \# xs)) = Suc (max (length xs) (floorlog 2 (Suc (to-nat xs))))$ 
    by simp
  also have  $\dots = max (length (True \# xs)) (Suc (floorlog 2 (Suc (to-nat xs))))$ 
    by simp
  also have  $\dots = max (length (True \# xs)) (floorlog 2 (2 * Suc (to-nat xs)))$ 
    apply (intro arg-cong2[where f = max] refl)
    by (simp add: compute-floorlog)
  finally show ?case by simp
qed

```

8.7.2 Addition with a carry bit

fun *add-carry* :: *bool* ⇒ *nat-lsbf* ⇒ *nat-lsbf* ⇒ *nat-lsbf* **where**

```

add-carry False [] y = y
| add-carry False x [] = x
| add-carry True [] y = inc-nat y
| add-carry True x [] = inc-nat x
| add-carry c (x#xs) (y#ys) = (let (a, b) = bit-add-carry c x y in a#(add-carry b
xs ys))

```

lemma *add-carry-correct*: *to-nat* (*add-carry* *c* *x* *y*) = *eval-bool* *c* + *to-nat* *x* + *to-nat* *y*

proof (*induction* *c* *x* *y* *rule*: *add-carry.induct*)

case (*1* *y*)

then show ?*case* **by** *simp*

next

case (*2* *v* *va*)

then show ?*case* **by** *simp*

next

case (*3* *y*)

then show ?*case* **using** *inc-nat-correct* **by** *simp*

next

case (*4* *v* *va*)

then show ?*case* **using** *inc-nat-correct* **by** *simp*

next

case (*5* *c* *x* *xs* *y* *ys*)

define *a* *b* **where** *a* = *fst* (*bit-add-carry* *c* *x* *y*) *b* = *snd* (*bit-add-carry* *c* *x* *y*)

then have *to-nat* (*add-carry* *c* (*x#xs*) (*y#ys*)) = *to-nat* (*a* # *add-carry* *b* *xs* *ys*)

by (*simp* *add*: *case-prod-beta'* *Let-def*)

also have ... = *eval-bool* *a* + 2 * *to-nat* (*add-carry* *b* *xs* *ys*) **by** *simp*

also have ... = *eval-bool* *a* + 2 * (*eval-bool* *b* + *to-nat* *xs* + *to-nat* *ys*)

using 5 *a-b-def* *prod.collapse*[*of* *bit-add-carry* *c* *x* *y*] **by** *algebra*

also have ... = *eval-bool* *c* + *eval-bool* *x* + *eval-bool* *y* + 2 * (*to-nat* *xs* + *to-nat* *ys*)

using *bit-add-carry-correct* *a-b-def* **by** (*simp* *add*: *prod-eq-iff*)

also have ... = *eval-bool* *c* + *to-nat* (*x#xs*) + *to-nat* (*y#ys*) **by** *simp*

finally show ?*case* .

qed

lemma *length-add-carry'*: *length* (*add-carry* *c* *xs* *ys*) = *max* (*length* *xs*) (*length* *ys*) + *of-bool* (*to-nat* *xs* + *to-nat* *ys* + *of-bool* *c* ≥ 2 ^ *max* (*length* *xs*) (*length* *ys*))

proof (*induction* *c* *xs* *ys* *rule*: *add-carry.induct*)

case (*1* *y*)

then show ?*case* **using** *to-nat-length-bound*[*of* *y*] **by** *simp*

next

case (*2* *v* *va*)

then show ?*case*

using *to-nat-length-bound*[*of* *va*] **by** *simp*

next

case (*3* *y*)

```

then show ?case by (simp add: length-inc-nat')
next
case (4 v va)
then show ?case by (simp add: length-inc-nat')
next
case (5 c x xs y ys)

have l:  $2^{\wedge} \text{Suc } a \leq 2 * b + 1 \iff 2^{\wedge} \text{Suc } a \leq 2 * b$  for  $a b :: \text{nat}$ 
  by fastforce

obtain a b where bit-add-carry c x y = (a, b) by fastforce
then have add-carry c (x # xs) (y # ys) = a # (add-carry b xs ys) by simp
then have length (add-carry c (x # xs) (y # ys)) = 1 + max (length xs) (length ys) + of-bool (2^max (length xs) (length ys) ≤ to-nat xs + to-nat ys + of-bool b)
  using 5.IH[OF ⟨bit-add-carry c x y = (a, b)⟩[symmetric] refl] by (simp only: length-Cons)
also have ... = max (length (x # xs)) (length (y # ys)) + of-bool (2^max (length xs) (length ys) ≤ to-nat xs + to-nat ys + of-bool b)
  by simp
also have ... = max (length (x # xs)) (length (y # ys)) + of-bool (2^max (length (x # xs)) (length (y # ys)) ≤ to-nat (x # xs) + to-nat (y # ys) + of-bool c)
  by (intro arg-cong2[where f = (+)] refl arg-cong[where f = of-bool])
have to-nat (x # xs) + to-nat (y # ys) + of-bool c =
  2 * to-nat xs + 2 * to-nat ys + of-bool x + of-bool y + of-bool c
  by simp
also have ... = 2 * to-nat xs + 2 * to-nat ys + of-bool a + 2 * of-bool b
  using bit-add-carry-correct[OF ⟨bit-add-carry c x y = (a, b)⟩] by simp
finally have r: to-nat (x # xs) + to-nat (y # ys) + of-bool c = ... .
show (2^max (length xs) (length ys) ≤ to-nat xs + to-nat ys + of-bool b) =
  (2^max (length (x # xs)) (length (y # ys)) ≤ to-nat (x # xs) + to-nat (y # ys) + of-bool c)
  unfolding r using l[of max (length xs) (length ys) to-nat xs + to-nat ys + of-bool b]
  by auto
qed
finally show ?case .
qed

lemma length-add-carry: length (add-carry c xs ys) = max (max (length xs) (length ys)) (floorlog 2 (of-bool c + to-nat xs + to-nat ys))
proof (induction c xs ys rule: add-carry.induct)
case (1 y)
then show ?case using to-nat-length-bound[of y]
  by (simp add: floorlog-leI)
next
case (2 v va)
then show ?case using to-nat-length-bound[of v # va]
  by (simp add: floorlog-leI)

```

```

next
  case (3 y)
  then show ?case by (simp add: length-inc-nat)
next
  case (4 v va)
  then show ?case by (simp add: length-inc-nat)
next
  case (5 c x xs y ys)
  obtain a b where bit-add-carry c x y = (a, b) by fastforce
  then have add-carry c (x # xs) (y # ys) = a # (add-carry b xs ys) by simp
  then have length (add-carry c (x # xs) (y # ys)) = Suc (max (max (length xs)
(length ys)) (floorlog 2 (of-bool b + to-nat xs + to-nat ys)))
    using 5 ⟨bit-add-carry c x y = (a, b)⟩ by (simp only: length-Cons)
  also have ... = max (max (length (x # xs)) (length (y # ys))) (1 + floorlog 2
(of-bool b + to-nat xs + to-nat ys))
    by simp
  also have ... = max (max (length (x # xs)) (length (y # ys))) (floorlog 2 (of-bool
c + to-nat (x # xs) + to-nat (y # ys)))
  proof (cases of-bool a + 2 * (of-bool b + to-nat xs + to-nat ys) > 0)
    case True
    then show ?thesis
    proof (intro arg-cong2[where f = max] refl)
      have floorlog 2 (of-bool c + to-nat (x # xs) + to-nat (y # ys)) =
        floorlog 2 ((of-bool c + of-bool x + of-bool y) + 2 * (to-nat xs + to-nat
ys))
      by simp
      also have ... = floorlog 2 ((of-bool a + 2 * of-bool b) + 2 * (to-nat xs +
to-nat ys))
      using bit-add-carry-correct[OF ⟨bit-add-carry c x y = (a, b)⟩] by simp
      also have ... = floorlog 2 (of-bool a + 2 * (of-bool b + to-nat xs + to-nat ys))
      by simp
      also have ... = 1 + floorlog 2 (of-bool b + to-nat xs + to-nat ys)
      using compute-floorlog[of 2 of-bool a + 2 * (of-bool b + to-nat xs + to-nat
ys)] True
      by simp
      finally show ... = floorlog 2 (of-bool c + to-nat (x # xs) + to-nat (y # ys))
    by simp
  qed
next
  case False
  then have 01: of-bool a = 0 of-bool b = 0 to-nat xs = 0 to-nat ys = 0 by
simp-all
  then have 02: of-bool c = 0 of-bool x = 0 of-bool y = 0
  using bit-add-carry-correct[OF ⟨bit-add-carry c x y = (a, b)⟩] by simp-all
  from 01 02 show ?thesis by (simp add: floorlog-def)
qed
finally show ?case .
qed

```

lemma *length-add-carry-lower*: $\text{length} (\text{add-carry } c \text{ } xs \text{ } ys) \geq \max (\text{length } xs) (\text{length } ys)$

unfolding *length-add-carry'* **by** *simp*

lemma *length-add-carry-upper*: $\text{length} (\text{add-carry } c \text{ } xs \text{ } ys) \leq \max (\text{length } xs) (\text{length } ys) + 1$

unfolding *length-add-carry'* **by** *simp*

lemma *add-carry-last-bit-True*: $\text{length} (\text{add-carry } c \text{ } xs \text{ } ys) = \max (\text{length } xs) (\text{length } ys) + 1 \implies \exists zs. \text{add-carry } c \text{ } xs \text{ } ys = zs \text{ } @ \text{ } [True]$

proof (*induction c xs ys rule: add-carry.induct*)

case (1 *y*)

then show *?case* **by** *simp*

next

case (2 *v va*)

then show *?case* **by** *simp*

next

case (3 *y*)

then show *?case* **by** (*simp add: inc-nat-last-bit-True*)

next

case (4 *v va*)

then show *?case* **by** (*simp add: inc-nat-last-bit-True*)

next

case (5 *c x xs y ys*)

obtain *a b* **where** *bit-add-carry c x y = (a, b)* **by** *fastforce*

then have 1: *add-carry c (x # xs) (y # ys) = a # (add-carry b xs ys)*

by *simp*

from 5 **have** $\text{length} (\text{add-carry } b \text{ } xs \text{ } ys) = \max (\text{length } (x \# xs)) (\text{length } (y \# ys))$

using $\langle \text{bit-add-carry } c \text{ } x \text{ } y = (a, b) \rangle$ **by** *auto*

also have ... = $\max (\text{length } xs) (\text{length } ys) + 1$ **by** *simp*

finally obtain *zs* **where** *add-carry b xs ys = zs @ [True]* **using** 5 $\langle \text{bit-add-carry } c \text{ } x \text{ } y = (a, b) \rangle$

by *presburger*

then show *?case* **using** 1 **by** *simp*

qed

lemma *add-carry-com*: $\text{add-carry } c \text{ } xs \text{ } ys = \text{add-carry } c \text{ } ys \text{ } xs$

apply (*intro nat-lsbf-eqI*)

subgoal by (*simp add: add-carry-correct*)

subgoal by (*simp only: length-add-carry' max.commute add.commute*)

done

lemma *add-carry-rNil[simp]*: $\text{add-carry } True \text{ } y \text{ } [] = \text{inc-nat } y$

by (*cases y; simp*)

lemma *add-carry-rNil-nocarry[simp]*: $\text{add-carry } False \text{ } y \text{ } [] = y$

by (*cases y; simp*)

lemma *add-carry-True-inc-nat*:
 $add_carry\ True\ xs\ ys = inc_nat\ (add_carry\ False\ xs\ ys) \wedge$
 $add_carry\ True\ xs\ ys = add_carry\ False\ (inc_nat\ xs)\ ys \wedge$
 $add_carry\ True\ xs\ ys = add_carry\ False\ xs\ (inc_nat\ ys)$
proof (*induction xs arbitrary: ys*)
 case *Nil*
 then show ?case
 apply (*intro conjI*)
 subgoal by *simp*
 subgoal
 apply (*cases ys*)
 subgoal by *simp*
 subgoal for *a ys'*
 by (*cases a*) *simp-all*
 done
 subgoal by *simp*
 done
 next
 case (*Cons a xs*)
 then show ?case
 apply (*cases a; cases ys*)
 subgoal by *simp*
 subgoal for *b ys'*
 apply (*cases b*)
 subgoal by *fastforce*
 subgoal by *simp*
 done
 subgoal by (*simp add: add-carry-com*)
 subgoal for *b ys'*
 apply (*cases b*)
 subgoal by *fastforce*
 subgoal by *simp*
 done
 done
 qed

lemma *inc-nat-add-carry*:
 $inc_nat\ (add_carry\ c\ xs\ ys) = add_carry\ c\ (inc_nat\ xs)\ ys \wedge$
 $inc_nat\ (add_carry\ c\ xs\ ys) = add_carry\ c\ xs\ (inc_nat\ ys)$
proof (*cases c*)
 case *True*
 then have
 $add_carry\ c\ (inc_nat\ xs)\ ys = inc_nat\ (add_carry\ False\ (inc_nat\ xs)\ ys)$
 $add_carry\ c\ xs\ (inc_nat\ ys) = inc_nat\ (add_carry\ False\ xs\ (inc_nat\ ys))$
 using *add-carry-True-inc-nat* by *simp-all*
 moreover have
 $add_carry\ False\ (inc_nat\ xs)\ ys = inc_nat\ (add_carry\ False\ xs\ ys)$
 using *add-carry-True-inc-nat*[*of xs ys*] by *argo*
 moreover have $add_carry\ False\ xs\ (inc_nat\ ys) = inc_nat\ (add_carry\ False\ xs\ ys)$

```

ys)
  using add-carry-True-inc-nat[of xs ys] by argo
  ultimately show ?thesis using add-carry-True-inc-nat True by simp
next
  case False
  then show ?thesis using add-carry-True-inc-nat[of xs ys] by auto
qed

```

lemma *add-carry-inc-nat-simps:*

```

add-carry True xs ys = inc-nat (add-carry False xs ys)
add-carry False (inc-nat xs) ys = inc-nat (add-carry False xs ys)
add-carry False xs (inc-nat ys) = inc-nat (add-carry False xs ys)
using inc-nat-add-carry[of - xs ys] add-carry-True-inc-nat[of xs ys]
by argo+

```

lemma *add-carry-assoc:* $add-carry\ c2\ (add-carry\ c1\ xs\ ys)\ zs = add-carry\ c1\ xs\ (add-carry\ c2\ ys\ zs)$

```

apply (intro nat-lsbf-eqI)
subgoal by (simp add: add-carry-correct)
subgoal
proof -
  let ?t1 = of-bool c1 + to-nat xs + to-nat ys
  let ?t2 = of-bool c2 + to-nat ys + to-nat zs
  let ?t3 = of-bool c1 + of-bool c2 + to-nat xs + to-nat ys + to-nat zs

  have length (add-carry c2 (add-carry c1 xs ys) zs) = max (max (max (max
(length xs) (length ys) (floorlog 2 ?t1)) (length zs)
(floorlog 2 ?t3)
  unfolding length-add-carry add-carry-correct eval-bool-is-of-bool
  by (intro arg-cong2[where f = max] refl arg-cong2[where f = floorlog]) simp
  also have ... = max (max (max (max (floorlog 2 ?t1) (floorlog 2 ?t3)) (length
xs)) (length ys)) (length zs)
  using max.commute max.assoc by presburger
  also have ... = max (max (max (floorlog 2 ?t3) (length xs)) (length ys)) (length
zs) (is ... = ?t4)
  by (intro arg-cong2[where f = max] refl max.absorb2 floorlog-mono) simp
  finally have 1: length (add-carry c2 (add-carry c1 xs ys) zs) = ?t4 .

```

```

  have length (add-carry c1 xs (add-carry c2 ys zs)) = max (max (length xs)
(max (max (length ys) (length zs) (floorlog 2 ?t2)))
(floorlog 2 ?t3)
  unfolding length-add-carry add-carry-correct eval-bool-is-of-bool
  by (intro arg-cong2[where f = max] refl arg-cong2[where f = floorlog]) simp
  also have ... = max (max (max (max (floorlog 2 ?t2) (floorlog 2 ?t3)) (length
xs)) (length ys)) (length zs)
  using max.commute max.assoc by presburger
  also have ... = max (max (max (floorlog 2 ?t3) (length xs)) (length ys)) (length
zs)
  by (intro arg-cong2[where f = max] refl max.absorb2 floorlog-mono) simp

```

finally have 2: $\text{length } (\text{add-carry } c1 \text{ } xs \text{ } (\text{add-carry } c2 \text{ } ys \text{ } zs)) = ?t4$.

show *?thesis* **unfolding** 1 2 **by** (rule refl)
qed
done

lemma *truncated-add-carry*:

assumes *truncated xs truncated ys*

shows *truncated (add-carry c xs ys)*

proof –

have $\text{length } (\text{add-carry } c \text{ } xs \text{ } ys) =$

$\text{max } (\text{max } (\text{length } xs) \text{ } (\text{length } ys)) \text{ } (\text{bit-size } (\text{of-bool } c \text{ } + \text{to-nat } xs \text{ } + \text{to-nat } ys))$

unfolding *length-add-carry bit-size-is-floorlog* **by** *argo*

also have $\dots = \text{max } (\text{max } (\text{bit-size } (\text{to-nat } xs)) \text{ } (\text{bit-size } (\text{to-nat } ys))) \text{ } (\text{bit-size } (\text{of-bool } c \text{ } + \text{to-nat } xs \text{ } + \text{to-nat } ys))$

using *truncated-iff' assms* **by** *algebra*

also have $\dots = \text{bit-size } (\text{of-bool } c \text{ } + \text{to-nat } xs \text{ } + \text{to-nat } ys)$

using *bit-size-mono* **by** *simp*

also have $\dots = \text{bit-size } (\text{to-nat } (\text{add-carry } c \text{ } xs \text{ } ys))$

by (*simp add: add-carry-correct*)

finally show *?thesis* **unfolding** *truncated-iff'* .

qed

8.7.3 Addition

definition *add-nat* :: $\text{nat-lsb} \Rightarrow \text{nat-lsb} \Rightarrow \text{nat-lsb}$ **where**

add-nat x y = add-carry False x y

corollary *length-add-nat-lower*: $\text{length } (\text{add-nat } xs \text{ } ys) \geq \text{max } (\text{length } xs) \text{ } (\text{length } ys)$

unfolding *add-nat-def* **by** (*simp only: length-add-carry-lower*)

corollary *length-add-nat-upper*: $\text{length } (\text{add-nat } xs \text{ } ys) \leq \text{max } (\text{length } xs) \text{ } (\text{length } ys) + 1$

unfolding *add-nat-def* **using** *length-add-carry-upper[of False xs ys]* **by** *simp*

corollary *add-nat-last-bit-True*: $\text{length } (\text{add-nat } xs \text{ } ys) = \text{max } (\text{length } xs) \text{ } (\text{length } ys) + 1 \implies \exists zs. \text{add-nat } xs \text{ } ys = zs \text{ } @ \text{[True]}$

unfolding *add-nat-def* **by** (*simp add: add-carry-last-bit-True*)

lemma *add-nat-correct*: $\text{to-nat } (\text{add-nat } x \text{ } y) = \text{to-nat } x \text{ } + \text{to-nat } y$

unfolding *add-nat-def* **using** *add-carry-correct* **by** *simp*

corollary *add-nat-com*: $\text{add-nat } xs \text{ } ys = \text{add-nat } ys \text{ } xs$

unfolding *add-nat-def* **by** (*simp add: add-carry-com*)

corollary *add-nat-assoc*: $\text{add-nat } xs \text{ } (\text{add-nat } ys \text{ } zs) = \text{add-nat } (\text{add-nat } xs \text{ } ys) \text{ } zs$

unfolding *add-nat-def* **using** *add-carry-assoc* **by** *simp*

corollary *truncated-add-nat*:
assumes *truncated xs truncated ys*
shows *truncated (add-nat xs ys)*
unfolding *add-nat-def*
by (*intro truncated-add-carry assms*)

8.8 Comparison and subtraction

8.8.1 Comparison

fun *compare-nat-same-length-reversed* :: *bool list* \Rightarrow *bool list* \Rightarrow *bool* **where**
compare-nat-same-length-reversed [] [] = *True*
| *compare-nat-same-length-reversed* (*False#xs*) (*False#ys*) = *compare-nat-same-length-reversed xs ys*
| *compare-nat-same-length-reversed* (*True#xs*) (*False#ys*) = *False*
| *compare-nat-same-length-reversed* (*False#xs*) (*True#ys*) = *True*
| *compare-nat-same-length-reversed* (*True#xs*) (*True#ys*) = *compare-nat-same-length-reversed xs ys*
| *compare-nat-same-length-reversed* - - = *undefined*

lemma *compare-nat-same-length-reversed-correct*:

length xs = length ys \implies *compare-nat-same-length-reversed xs ys* \longleftrightarrow *to-nat (rev xs) \leq to-nat (rev ys)*

proof (*induction xs ys rule: compare-nat-same-length-reversed.induct*)

case 1

then show *?case* **by** *simp*

next

case (2 *xs ys*)

have *to-nat (rev (False # xs)) = to-nat (rev xs) to-nat (rev (False # ys)) = to-nat (rev ys)*

using *to-nat-app* **by** *simp-all*

then have *to-nat (rev (False # xs)) \leq to-nat (rev (False # ys)) \longleftrightarrow to-nat (rev xs) \leq to-nat (rev ys)*

by *simp*

then show *?case* **using** 2 **by** *simp*

next

case (3 *xs ys*)

have *to-nat (rev (True # xs)) = 2 $^$ (length xs) + to-nat (rev xs)*

using *to-nat-app* **by** *simp*

also have ... $>$ *to-nat (rev ys)*

using 3 *to-nat-length-upper-bound*[*of rev ys*] *leI le-add-diff-inverse2* **by** *fastforce*

also have *to-nat (rev ys) = to-nat (rev (False # ys))*

using *to-nat-app* **by** *simp*

finally have *to-nat (rev (True # xs)) $>$ to-nat (rev (False # ys))* .

thus *?case* **using** 3 **by** *simp*

next

case (4 *xs ys*)

have *to-nat (rev (False # xs)) = to-nat (rev xs)*

using *to-nat-app* **by** *simp*

also have ... \leq 2 $^$ (length *xs*)

```

    using to-nat-length-upper-bound[of rev xs] by simp
  also have ... ≤ to-nat (rev (True # ys))
    using to-nat-app 4 by simp
  finally have to-nat (rev (False # xs)) ≤ to-nat (rev (True # ys)) .
  thus ?case using 4 by simp
next
  case (5 xs ys)
  have to-nat (rev (True # xs)) = 2 ^ (length xs) + to-nat (rev xs)
  to-nat (rev (True # ys)) = 2 ^ (length ys) + to-nat (rev ys)
    using to-nat-app by simp-all
  then have to-nat (rev (True # xs)) ≤ to-nat (rev (True # ys))
  ↔ to-nat (rev xs) ≤ to-nat (rev ys)
    using 5 by simp
  then show ?case using 5 by simp
next
  case (6-1 va)
  then show ?case by simp
next
  case (6-2 v va)
  then show ?case by simp
next
  case (6-3 v va)
  then show ?case by simp
next
  case (6-4 va)
  then show ?case by simp
qed

```

```

fun compare-nat-same-length :: nat-lsbf ⇒ nat-lsbf ⇒ bool where
  compare-nat-same-length xs ys = compare-nat-same-length-reversed (rev xs) (rev ys)

```

```

lemma compare-nat-same-length-correct:
  length xs = length ys ⇒ compare-nat-same-length xs ys = (to-nat xs ≤ to-nat ys)
  using compare-nat-same-length-reversed-correct by simp

```

```

definition make-same-length :: nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf × nat-lsbf where
  make-same-length xs ys = (let n = max (length xs) (length ys) in ((fill n xs), (fill n ys)))

```

```

lemma make-same-length-correct:
  assumes (fill-xs, fill-ys) = make-same-length xs ys
  shows length fill-ys = length fill-xs
  length fill-xs = max (length xs) (length ys)
  to-nat fill-xs = to-nat xs
  to-nat fill-ys = to-nat ys
  using assms by (simp-all add: Let-def make-same-length-def)

```

definition *compare-nat* :: *nat-lsbf* \Rightarrow *nat-lsbf* \Rightarrow *bool* **where**
compare-nat *xs ys* = (let (*fill-xs*, *fill-ys*) = *make-same-length xs ys* in *compare-nat-same-length fill-xs fill-ys*)

lemma *compare-nat-correct*: *compare-nat xs ys* = (*to-nat xs* \leq *to-nat ys*)

proof –

obtain *fill-xs fill-ys* **where** *fills-def*: *make-same-length xs ys* = (*fill-xs*, *fill-ys*)

by *fastforce*

then show *?thesis* **unfolding** *compare-nat-def* *Let-def*

using *make-same-length-correct*[*OF* *fills-def*[*symmetric*]]

using *compare-nat-same-length-reversed-correct*[*of rev fill-xs rev fill-ys*]

by *simp*

qed

8.8.2 Subtraction

definition *subtract-nat* :: *nat-lsbf* \Rightarrow *nat-lsbf* \Rightarrow *nat-lsbf* **where**

subtract-nat xs ys = (if *compare-nat xs ys* then [] else

let (*fill-xs*, *fill-ys*) = *make-same-length xs ys* in

butlast (add-carry True fill-xs (map Not fill-ys)))

lemma *add-complement*: *add-nat xs (map Not xs)* = *replicate (length xs) True*

proof (*induction xs*)

case *Nil*

then show *?case* **unfolding** *add-nat-def* **by** *simp*

next

case (*Cons a xs*)

have *add-nat (a # xs) (map Not (a # xs))* = *True # (add-carry False xs (map Not xs))*

unfolding *add-nat-def* **by** (*cases a*) *simp-all*

also have ... = *True # (replicate (length xs) True)*

using *Cons.IH* **by** (*simp add: add-nat-def*)

finally show *?case* **by** *simp*

qed

lemma *to-nat-complement*: *to-nat (map Not xs)* = $2^{\text{length } xs} - 1 - \text{to-nat } xs$

using *add-complement*[*of xs*] *to-nat-replicate-true*[*of length xs*] *add-nat-correct*[*of xs map Not xs*]

by *simp*

lemma *to-nat-butlast*: *zs* = *xs* @ [*True*] \Longrightarrow *to-nat (butlast zs)* = *to-nat zs* - $2^{\text{length } xs}$

using *to-nat-app*[*of xs [True]*] **by** *simp*

lemma *inc-nat-true-prefix*[*simp*]: *inc-nat (replicate n True @ [False] @ ys)* = *replicate n False @ [True] @ ys*

by (*induction n arbitrary: ys*) *simp-all*

lemma *length-inc-nat-aux*: $zs = \text{replicate } n \text{ True } @ [False] @ ys \implies \text{length } (\text{inc-nat } zs) = \text{length } zs$

using *inc-nat-true-prefix*[of n ys] **by** *simp*

lemma *length-inc-nat-aux-2*: $\text{length } (\text{inc-nat } (xs @ [False] @ ys)) = \text{length } (xs @ [False] @ ys)$

proof –

define zs **where** $zs = xs @ [False] @ ys$

with *bit-strong-decomp-1*[of zs $False$] **obtain** $ys' n$ **where** $zs = \text{replicate } n \text{ True } @ [False] @ ys'$

by *auto*

then show *?thesis* **using** *length-inc-nat-aux* zs -def **by** *simp*

qed

lemma *subtract-nat-aux*: $\text{to-nat } (\text{subtract-nat } xs \ ys) = (\text{to-nat } xs) - (\text{to-nat } ys) \wedge \text{length } (\text{subtract-nat } xs \ ys) \leq \max (\text{length } xs) (\text{length } ys)$

proof (*cases compare-nat* $xs \ ys$)

case *True*

then show *?thesis* **using** *compare-nat-correct* **unfolding** *subtract-nat-def* **by** *simp*

next

case *False*

obtain $fill\text{-}xs \ fill\text{-}ys$ **where** *fills-def*: $\text{make-same-length } xs \ ys = (fill\text{-}xs, fill\text{-}ys)$

by *fastforce*

note *fills-props* = *make-same-length-correct*[*OF* *fills-def*[*symmetric*]]

define n **where** $n = \max (\text{length } xs) (\text{length } ys)$

then have $\text{length } fill\text{-}xs = n \ \text{length } fill\text{-}ys = n$ **using** *fills-props* **by** *auto*

from *False* **have** $\text{to-nat } fill\text{-}xs > \text{to-nat } fill\text{-}ys$

using *fills-props* *compare-nat-correct* **by** *simp*

then have $n > 0$ **using** $\langle \text{length } fill\text{-}xs = n \rangle$ **by** *auto*

let $?add = \text{add-carry } True \ fill\text{-}xs \ (\text{map } Not \ fill\text{-}ys)$

have *subtract-nat-xs-ys*: $\text{subtract-nat } xs \ ys = \text{butlast } ?add$

unfolding *subtract-nat-def* **using** *False* *fills-def* **by** *simp*

have $\text{to-nat } fill\text{-}ys \leq 2^n - 1 \ \text{to-nat } fill\text{-}xs \leq 2^n - 1 \ \text{to-nat } (\text{map } Not \ fill\text{-}ys) \leq 2^n - 1$

subgoal **using** *to-nat-length-upper-bound*[of $fill\text{-}ys$] $\langle \text{length } fill\text{-}ys = n \rangle$ **by** *argo*

subgoal **using** *to-nat-length-upper-bound*[of $fill\text{-}xs$] $\langle \text{length } fill\text{-}xs = n \rangle$ **by** *argo*

subgoal **using** *to-nat-length-upper-bound*[of $\text{map } Not \ fill\text{-}ys$] $\langle \text{length } fill\text{-}ys = n \rangle$ **by** *simp*

done

then have $\text{to-nat } ?add \leq (2^n - 1) + (2^n - 1) + 1$ **unfolding** *add-carry-correct* **by** *simp*

also have $\dots = 2^{n+1} - 2 + 1$ **by** *simp*

also have $\dots = 2^{n+1} - 1$

using *Nat.diff-diff-right*[of 1 2 2 \wedge (n + 1)] *Nat.diff-add-assoc2*[of 2 2 \wedge (n + 1) 1]
by *simp*
finally have *to-nat ?add* \leq

from \langle *to-nat fill-xs* \rangle *to-nat fill-ys* \rangle **have** *to-nat fill-xs* \geq *to-nat fill-ys* + 1 **by**
simp
then have *to-nat fill-xs* + 2 \wedge n \geq 2 \wedge n + *to-nat fill-ys* + 1 **by** *simp*
then have *to-nat fill-xs* + (2 \wedge n - 1 - *to-nat fill-ys*) \geq 2 \wedge n **by** *simp*
then have *to-nat fill-xs* + *to-nat (map Not fill-ys)* \geq 2 \wedge n
using *to-nat-complement*[of *fill-ys*] \langle *length fill-ys* = n \rangle **by** *simp*
then have *to-nat ?add* \geq 2 \wedge n
using *add-carry-correct fills-props* **by** *simp*
then have *length ?add* \geq n + 1
using *to-nat-bound-to-length-bound* **by** *simp*
then have *length ?add* = n + 1
using *length-add-carry-upper*[of *True fill-xs map Not fill-ys*] \langle *length fill-xs* = n \rangle
 \langle *length fill-ys* = n \rangle
by *simp*

then obtain *zs* **where** *?add* = *zs* @ [*True*] *length zs* = n
using *add-carry-last-bit-True*[of *True fill-xs map Not fill-ys*] \langle *length fill-xs* = n \rangle
 \langle *length fill-ys* = n \rangle
by *auto*
then have 1: *to-nat (butlast ?add)* = *to-nat fill-xs* + *to-nat (map Not fill-ys)* +
1 - 2 \wedge n
unfolding *to-nat-butlast*[*OF* \langle ?add = *zs* @ [*True*] \rangle]
using *add-carry-correct* **by** (*metis Suc-eq-plus1 add.assoc eval-bool.simps*(1)
plus-1-eq-Suc)
also have ... = *to-nat fill-xs* + (2 \wedge n - 1 - *to-nat fill-ys*) + 1 - 2 \wedge n
unfolding *to-nat-complement*[of *fill-ys*] \langle *length fill-ys* = n \rangle **by** (*rule refl*)
also have ... = *to-nat fill-xs* + (2 \wedge n - 1) - *to-nat fill-ys* + 1 - 2 \wedge n
using *le-add-diff-inverse*[*OF* \langle *to-nat fill-ys* \leq 2 \wedge n - 1 \rangle] **by** *linarith*
also have ... = *to-nat fill-xs* - *to-nat fill-ys* + (2 \wedge n - 1) - (2 \wedge n - 1)
using \langle *to-nat fill-xs* \rangle *to-nat fill-ys* \rangle **by** *simp*
also have ... = *to-nat fill-xs* - *to-nat fill-ys* **by** *simp*
finally have 2: *to-nat (subtract-nat xs ys)* = *to-nat xs* - *to-nat ys*
unfolding *subtract-nat-xs-ys fills-props* .

have 3: *length (butlast ?add)* = n
using \langle *length ?add* = n + 1 \rangle **by** *simp*

show *?thesis*
apply (*intro conjI*)
subgoal by (*fact 2*)
subgoal using 3 **unfolding** *subtract-nat-xs-ys n-def*[*symmetric*] **by** *simp*
done

qed

corollary *subtract-nat-correct*: $to\text{-}nat\ (subtract\text{-}nat\ xs\ ys) = (to\text{-}nat\ xs) - (to\text{-}nat\ ys)$

using *subtract-nat-aux* **by** *simp*

corollary *length-subtract-nat-le*: $length\ (subtract\text{-}nat\ xs\ ys) \leq \max\ (length\ xs)\ (length\ ys)$

using *subtract-nat-aux* **by** *simp*

8.9 (Grid) Multiplication

fun *grid-mul-nat* :: $nat\text{-}lsbf \Rightarrow nat\text{-}lsbf \Rightarrow nat\text{-}lsbf$ **where**

grid-mul-nat [] - = []

| *grid-mul-nat* (False#xs) y = False # (*grid-mul-nat* xs y)

| *grid-mul-nat* (True#xs) y = add-nat (False # (*grid-mul-nat* xs y)) y

lemma *grid-mul-nat-correct*: $to\text{-}nat\ (grid\text{-}mul\text{-}nat\ x\ y) = to\text{-}nat\ x * to\text{-}nat\ y$

by (*induction* x y *rule*: *grid-mul-nat.induct*) (*simp-all* add: *add-nat-correct*)

lemma *length-grid-mul-nat*: $length\ (grid\text{-}mul\text{-}nat\ xs\ ys) \leq length\ xs + length\ ys$

proof (*induction* xs ys *rule*: *grid-mul-nat.induct*)

case (1 uu)

then show ?case **by** *simp*

next

case (2 xs y)

then show ?case **by** *simp*

next

case (3 xs y)

show ?case

proof (*rule* *ccontr*)

assume $\neg length\ (grid\text{-}mul\text{-}nat\ (True\ \# xs)\ y) \leq length\ (True\ \# xs) + length\ y$

then have l: $length\ (grid\text{-}mul\text{-}nat\ (True\ \# xs)\ y) = length\ xs + length\ y + 2$

using *length-add-nat-upper*[of False # *grid-mul-nat* xs y y] 3 **by** *simp*

then have $length\ (add\text{-}nat\ (False\ \# grid\text{-}mul\text{-}nat\ xs\ y)\ y) = \max\ (length\ (False\ \# grid\text{-}mul\text{-}nat\ xs\ y))\ (length\ y) + 1$

using *length-add-nat-upper*[of False # *grid-mul-nat* xs y y] 3 **by** *simp*

then obtain as **where** $add\text{-}nat\ (False\ \# grid\text{-}mul\text{-}nat\ xs\ y)\ y = as\ @\ [True]$

using *add-nat-last-bit-True*[of False # *grid-mul-nat* xs y y] **by** *auto*

then have as-def: $grid\text{-}mul\text{-}nat\ (True\ \# xs)\ y = as\ @\ [True]$ **by** *simp*

then have *length-as*: $length\ as = length\ xs + length\ y + 1$ **using** l **by** *simp*

from as-def **have** m: $to\text{-}nat\ (True\ \# xs) * to\text{-}nat\ y = to\text{-}nat\ (as\ @\ [True])$

using *grid-mul-nat-correct* **by** *metis*

also have $to\text{-}nat\ (as\ @\ [True]) \geq 2^{\text{length}\ as}$

using *to-nat-length-lower-bound* **by** *simp*

also have $2^{\text{length}\ as} = 2^{\text{length}\ xs + \text{length}\ y + 1}$ **using** *length-as* **by** *simp*

also have $to\text{-}nat\ (True\ \# xs) * to\text{-}nat\ y < 2^{\text{length}\ xs + 1} * 2^{\text{length}\ y}$

```

    apply (intro mult-less-le-imp-less)
    subgoal using to-nat-length-upper-bound[of True # xs] by simp
    subgoal using to-nat-length-upper-bound[of y] by simp
    subgoal by simp
    subgoal
      apply (rule ccontr)
      using m to-nat-length-lower-bound[of as] by simp
    done
  finally show False by (simp add: power-add)
qed
qed

```

8.10 Syntax bundles

abbreviation *shift-right-flip* $xs\ n \equiv \text{shift-right } n\ xs$

```

open-bundle nat-lsbf-syntax
begin
  notation add-nat (infixl <+n> 65)
  notation compare-nat (infixl <≤n> 50)
  notation subtract-nat (infixl <-n> 65)
  notation grid-mul-nat (infixl <*_n> 70)
  notation shift-right-flip (infixl <>>n> 55)
end

```

```

end
theory Karatsuba-Runtime-Lemmas
  imports Complex-Main Akra-Bazzi.Akra-Bazzi-Method
begin

```

An explicit bound for a specific class of recursive functions.

```

context
  fixes a b c d :: nat
  fixes f :: nat ⇒ nat
  assumes small-bounds:  $f\ 0 \leq a$   $f\ (\text{Suc } 0) \leq a$ 
  assumes recursive-bound:  $\bigwedge n. n > 1 \implies f\ n \leq c * n + d + f\ (n\ \text{div } 2)$ 
begin

```

```

private fun g where
  g 0 = a
  | g (Suc 0) = a
  | g n = c * n + d + g (n div 2)

```

```

private lemma f-g-bound:  $f\ n \leq g\ n$ 
  apply (induction n rule: g.induct)
  subgoal using small-bounds by simp
  subgoal using small-bounds by simp
  subgoal for x using recursive-bound[of Suc (Suc x)] by auto
done

```

private lemma *g-mono-aux*: $a \leq g\ n$
by (*induction n rule: g.induct*) *simp-all*

private lemma *g-mono*: $m \leq n \implies g\ m \leq g\ n$
proof (*induction m arbitrary: n rule: g.induct*)
case 1
then show *?case* **using** *g-mono-aux* **by** *simp*
next
case 2
then show *?case* **using** *g-mono-aux* **by** *simp*
next
case (3 *x*)
then obtain *y* **where** $n = \text{Suc} (\text{Suc } y)$ **using** *Suc-le-D* **by** *blast*
have $g (\text{Suc} (\text{Suc } x)) = c * \text{Suc} (\text{Suc } x) + d + g (\text{Suc} (\text{Suc } x) \text{ div } 2)$
by *simp*
also have $\dots \leq c * n + d + g (n \text{ div } 2)$
using 3
by (*metis add-mono add-mono-thms-linordered-semiring(3) div-le-mono nat-mult-le-cancel-disj*)
finally show *?case* **using** $\langle n = \text{Suc} (\text{Suc } y) \rangle$ **by** *simp*
qed

private lemma *g-powers-of-2*: $g (2 \wedge n) = d * n + c * (2 \wedge (n + 1) - 2) + a$
proof (*induction n*)
case (*Suc n*)
then obtain *n'* **where** $2 \wedge \text{Suc } n = \text{Suc} (\text{Suc } n')$
by (*metis g.cases less-exp not-less-eq zero-less-Suc*)
then have $g (2 \wedge \text{Suc } n) = c * 2 \wedge \text{Suc } n + d + g (2 \wedge n)$
by (*metis g.simps(3) nonzero-mult-div-cancel-right power-Suc2 zero-neq-numeral*)
also have $\dots = c * 2 \wedge \text{Suc } n + d + d * n + c * (2 \wedge (n + 1) - 2) + a$
using *Suc* **by** *simp*
also have $\dots = d * \text{Suc } n + c * (2 \wedge \text{Suc } n + (2 \wedge (n + 1) - 2)) + a$
using *add-mult-distrib2[symmetric, of c]* **by** *simp*
finally show *?case* **by** *simp*
qed *simp*

private lemma *pow-ineq*:
assumes $m \geq (1 :: \text{nat})$
assumes $p \geq 2$
shows $p \wedge m > m$
using *assms*
apply (*induction m*)
subgoal by *simp*
subgoal for *m*
by (*cases m*) (*simp-all add: less-trans-Suc*)
done

private lemma *next-power-of-2*:
assumes $m \geq (1 :: \text{nat})$

shows $\exists n k. m = 2^n + k \wedge k < 2^n$
proof –
from *ex-power-ivl* [*OF order.refl assms*] **obtain** n **where** $2^n \leq m < 2^{n+1}$
by *auto*
then have $m = 2^n + (m - 2^n)$ $m - 2^n < 2^n$ **by** *simp-all*
then show *?thesis* **by** *blast*
qed

lemma *div-2-recursion-linear*: $f\ n \leq (2 * d + 4 * c) * n + a$
proof (*cases n ≥ 1*)
case *True*
then obtain $m\ k$ **where** $n = 2^m + k$ $k < 2^m$ **using** *next-power-of-2* **by**
blast
have $f\ n \leq g\ n$ **using** *f-g-bound* **by** *simp*
also have $\dots \leq g\ (2^m + 2^m)$ **using** $\langle n = 2^m + k \rangle$ $\langle k < 2^m \rangle$ *g-mono*
by *simp*
also have $\dots = d * \text{Suc}\ m + c * (2^{(\text{Suc}\ m + 1)} - 2) + a$
using *g-powers-of-2* [*of Suc m*]
apply (*subst mult-2* [*symmetric*])
apply (*subst power-Suc* [*symmetric*])
also have $\dots \leq d * \text{Suc}\ m + c * 2^{(\text{Suc}\ m + 1)} + a$ **by** *simp*
also have $\dots \leq d * 2^{\text{Suc}\ m} + c * 2^{(\text{Suc}\ m + 1)} + a$ **using** *less-exp* [*of Suc m*]
by (*meson add-le-mono less-or-eq-imp-le mult-le-mono*)
also have $\dots = (2 * d + 4 * c) * 2^m + a$ **using** *mult.assoc add-mult-distrib*
by *simp*
also have $\dots \leq (2 * d + 4 * c) * n + a$
using $\langle n = 2^m + k \rangle$ *power-increasing* [*of m n*] **by** *simp*
finally show *?thesis* .
next
case *False*
then have $n = 0$ **by** *simp*
then show *?thesis* **using** *small-bounds* **by** *simp*
qed

end

General Lemmas for Landau notation.

lemma *landau-o-plus-aux'*:
fixes $f\ g$
assumes $f \in o[F](g)$
shows $O[F](g) = O[F](\lambda x. f\ x + g\ x)$
apply (*intro equalityI subsetI*)
subgoal using *landau-o.big.trans* [*OF - landau-o.plus-aux* [*OF assms*]] **by** *simp*
subgoal for h
using *assms* **by** *simp*
done

lemma *powr-bigo-linear-index-transformation*:

fixes $fl :: nat \Rightarrow nat$
fixes $f :: nat \Rightarrow real$
assumes $(\lambda x. real (fl x)) \in O(\lambda n. real n)$
assumes $f \in O(\lambda n. real n \text{ powr } p)$
assumes $p > 0$
shows $f \circ fl \in O(\lambda n. real n \text{ powr } p)$

proof –

obtain $c1$ **where** $c1 > 0 \forall_F x$ *in sequentially.* $norm (real (fl x)) \leq c1 * norm (real x)$

using *landau-o.bigE[OF assms(1)]* **by** *auto*

then obtain $N1$ **where** *fl-bound:* $\forall x. x \geq N1 \longrightarrow norm (real (fl x)) \leq c1 * norm (real x)$

unfolding *eventually-at-top-linorder* **by** *blast*

obtain $c2$ **where** $c2 > 0 \forall_F x$ *in sequentially.* $norm (f x) \leq c2 * norm (real x \text{ powr } p)$

using *landau-o.bigE[OF assms(2)]* **by** *auto*

then obtain $N2$ **where** *f-bound:* $\forall x. x \geq N2 \longrightarrow norm (f x) \leq c2 * norm (real x \text{ powr } p)$

unfolding *eventually-at-top-linorder* **by** *blast*

define $cf :: real$ **where** $cf = Max \{norm (f y) \mid y. y \leq N2\}$

then have $cf \geq 0$ **using** *Max-in[of {norm (f y) \mid y. y \leq N2}]* *norm-ge-zero* **by** *fastforce*

define c **where** $c = c2 * c1 \text{ powr } p$

then have $c > 0$ **using** $\langle c1 > 0 \rangle \langle c2 > 0 \rangle$ **by** *simp*

have $\forall x. x \geq N1 \longrightarrow norm (f (fl x)) \leq cf + c * norm (real x) \text{ powr } p$

proof (*intro allI impI*)

fix x

assume $x \geq N1$

show $norm (f (fl x)) \leq cf + c * norm (real x) \text{ powr } p$

proof (*cases fl x \geq N2*)

case *True*

then have $norm (f (fl x)) \leq c2 * norm (real (fl x) \text{ powr } p)$

using *f-bound* **by** *simp*

also have $\dots = c2 * norm (real (fl x) \text{ powr } p)$

by *simp*

also have $\dots \leq c2 * (c1 * norm (real x) \text{ powr } p)$

apply (*intro mult-mono order.refl powr-mono2 norm-ge-zero*)

subgoal using $\langle p > 0 \rangle$ **by** *simp*

subgoal using *fl-bound* $\langle x \geq N1 \rangle$ **by** *simp*

subgoal using $\langle c2 > 0 \rangle$ **by** *simp*

subgoal by *simp*

done

also have $\dots = c2 * (c1 \text{ powr } p * norm (real x) \text{ powr } p)$

by (*intro arg-cong[where f = (*) c2] powr-mult norm-ge-zero*)

also have $\dots = c * norm (real x) \text{ powr } p$ **unfolding** *c-def* **by** *simp*

also have $\dots \leq cf + c * norm (real\ x)\ powr\ p$ **using** $\langle cf \geq 0 \rangle$ **by** *simp*
finally show *?thesis* .

next
case *False*
then have $norm (f (fl\ x)) \leq cf$ **unfolding** *cf-def*
by *(intro Max-ge) auto*
also have $\dots \leq cf + c * norm (real\ x)\ powr\ p$
using $\langle c > 0 \rangle$ **by** *simp*
finally show *?thesis* .

qed
qed
then have $f \circ fl \in O(\lambda x. cf + c * (real\ x)\ powr\ p)$
apply *(intro landau-o.big-mono)*
unfolding *eventually-at-top-linorder comp-apply* **by** *fastforce*
also have $\dots = O(\lambda x. c * (real\ x)\ powr\ p)$
proof *(intro landau-o-plus-aux'[symmetric])*
have $(\lambda x. cf) \in O(\lambda x. real\ x\ powr\ 0)$ **by** *simp*
moreover have $(\lambda x. real\ x\ powr\ 0) \in o(\lambda x. real\ x\ powr\ p)$
using *iffD2[OF powr-smallo-iff, OF filterlim-real-sequentially-sequentially-bot*
 $\langle p > 0 \rangle]$.
ultimately have $(\lambda x. cf) \in o(\lambda x. real\ x\ powr\ p)$
by *(rule landau-o.big-small-trans)*
also have $\dots = o(\lambda x. c * (real\ x)\ powr\ p)$
using *landau-o.small.cmult* $\langle c > 0 \rangle$ **by** *simp*
finally show $(\lambda x. cf) \in \dots$.

qed
also have $\dots = O(\lambda x. (real\ x)\ powr\ p)$ **using** *landau-o.big.cmult* $\langle c > 0 \rangle$ **by** *simp*
finally show *?thesis* .

qed

lemma *real-mono*: $(a \leq b) = (real\ a \leq real\ b)$
by *simp*

lemma *real-linear*: $real\ (a + b) = real\ a + real\ b$
by *simp*

lemma *real-multiplicative*: $real\ (a * b) = real\ a * real\ b$
by *simp*

lemma *(in landau-pair) big-1-mult-left*:
fixes $f\ g\ h$
assumes $f \in L\ F\ (g)\ h \in L\ F\ (\lambda-. 1)$
shows $(\lambda x. h\ x * f\ x) \in L\ F\ (g)$
proof –
have $(\lambda x. f\ x * h\ x) \in L\ F\ (g)$ **using** *assms* **by** *(rule big-1-mult)*
also have $(\lambda x. f\ x * h\ x) = (\lambda x. h\ x * f\ x)$ **by** *auto*
finally show *?thesis* .

qed

lemma *norm-nonneg*: $x \geq 0 \implies \text{norm } x = x$ **by** *simp*

lemma *landau-mono-always*:

fixes $f\ g$
assumes $\bigwedge x. f\ x \geq (0 :: \text{real}) \wedge x. g\ x \geq 0$
assumes $\bigwedge x. f\ x \leq g\ x$
shows $f \in O[F](g)$
apply (*intro landau-o.bigI[of 1]*)
using *assms* **by** *simp-all*

end

9 Running time of *Nat-LSBF*

theory *Nat-LSBF-TM*

imports *Nat-LSBF ../Karatsuba-Runtime-Lemmas ../Main-TM ../Estimation-Method*
begin

9.1 Truncating and filling

fun *truncate-reversed-tm* :: $\text{nat-lsb}f \Rightarrow \text{nat-lsb}f\ \text{tm}$ **where**
truncate-reversed-tm [] = 1 **return** []
| *truncate-reversed-tm* ($x \# xs$) = 1 (if x then **return** ($x \# xs$) else *truncate-reversed-tm* xs)

lemma *val-truncate-reversed-tm[simp, val-simp]*: $\text{val } (\text{truncate-reversed-tm } xs) = \text{truncate-reversed } xs$

by (*induction xs rule: truncate-reversed-tm.induct*) *simp-all*

lemma *time-truncate-reversed-tm-le*: $\text{time } (\text{truncate-reversed-tm } xs) \leq \text{length } xs + 1$

by (*induction xs rule: truncate-reversed-tm.induct*) *simp-all*

definition *truncate-tm* :: $\text{nat-lsb}f \Rightarrow \text{nat-lsb}f\ \text{tm}$ **where**

truncate-tm $xs = 1$ **do** {
 $\text{rev-}xs \leftarrow \text{rev-tm } xs$;
 $\text{truncate-rev-}xs \leftarrow \text{truncate-reversed-tm } \text{rev-}xs$;
 $\text{rev-tm } \text{truncate-rev-}xs$
}

lemma *val-truncate-tm[simp, val-simp]*: $\text{val } (\text{truncate-tm } xs) = \text{truncate } xs$

by (*simp add: truncate-tm-def Nat-LSBF.truncate-def*)

lemma *time-truncate-tm-le*: $\text{time } (\text{truncate-tm } xs) \leq 3 * \text{length } xs + 6$

using *add-mono[OF time-truncate-reversed-tm-le[of rev xs] truncate-reversed-length-ineq[of rev xs]]*

by (*simp add: truncate-tm-def*)

definition *fill-tm* :: $\text{nat} \Rightarrow \text{nat-lsb}f \Rightarrow \text{nat-lsb}f\ \text{tm}$ **where**

```

fill-tm n xs =1 do {
  k ← length-tm xs;
  l ← n -t k;
  zeros ← replicate-tm l False;
  xs @t zeros
}

```

lemma *val-fill-tm*[simp, val-simp]: *val* (fill-tm n xs) = fill n xs
by (simp add: fill-tm-def fill-def)

lemma *com-f-of-min-max*: $f a b = f b a \implies f (\min a b) (\max a b) = f a b$
by (cases $a \leq b$; simp add: max-def min-def)

lemma *add-min-max*: $\min (a::'a:: \text{ordered-ab-semigroup-add}) b + \max a b = a + b$
by (intro com-f-of-min-max add commute)

lemma *time-fill-tm*: $\text{time} (\text{fill-tm } n \text{ } xs) = 2 * \text{length } xs + n + 5$
by (simp add: fill-tm-def time-replicate-tm add-min-max)

lemma *time-fill-tm-le*: $\text{time} (\text{fill-tm } n \text{ } xs) \leq 3 * \max n (\text{length } xs) + 5$
unfolding time-fill-tm **by** simp

9.2 Right-shifts

definition *shift-right-tm* :: $\text{nat} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf } tm$ **where**
shift-right-tm n xs =1 do {
 r ← replicate-tm n False;
 r @_t xs
}

lemma *val-shift-right-tm*[simp, val-simp]: *val* (shift-right-tm n xs) = xs >>_n n
by (simp add: shift-right-tm-def shift-right-def)

lemma *time-shift-right-tm*[simp]: $\text{time} (\text{shift-right-tm } n \text{ } xs) = 2 * n + 3$
by (simp add: shift-right-tm-def time-replicate-tm)

9.3 Subdividing lists

9.3.1 Splitting a list in two blocks

definition *split-at-tm* :: $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow ('a \text{ list} \times 'a \text{ list}) tm$ **where**
split-at-tm k xs =1 do {
 xs1 ← take-tm k xs;
 xs2 ← drop-tm k xs;
 return (xs1, xs2)
}

lemma *val-split-at-tm*[simp, val-simp]: *val* (split-at-tm k xs) = split-at k xs
unfolding split-at-tm-def **by** simp

lemma *time-split-at-tm*: $\text{time } (\text{split-at-tm } k \text{ } xs) = 2 * \min k (\text{length } xs) + 3$
unfolding *split-at-tm-def tm-time-simps time-take-tm time-drop-tm* **by** *simp*

definition *split-tm* :: $\text{nat-lsbf} \Rightarrow (\text{nat-lsbf} \times \text{nat-lsbf}) \text{ tm}$ **where**
split-tm $xs = 1$ **do** {
 $n \leftarrow \text{length-tm } xs$;
 $n\text{-div-2} \leftarrow n \text{ div}_t 2$;
split-at-tm $n\text{-div-2} \text{ } xs$
}

lemma *val-split-tm*[*simp, val-simp*]: $\text{val } (\text{split-tm } xs) = \text{split } xs$
by (*simp add: split-tm-def split-def Let-def*)

lemma *time-split-tm-le*: $\text{time } (\text{split-tm } xs) \leq 10 * \text{length } xs + 16$
using *time-divide-nat-tm-le*[*of length xs 2*]
by (*simp add: split-tm-def time-split-at-tm*)

9.3.2 Splitting a list in multiple blocks

fun *subdivide-tm* :: $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list list tm}$ **where**
subdivide-tm $0 \text{ } xs = 1$ *undefined*
| *subdivide-tm* $n \ [] = 1$ *return []*
| *subdivide-tm* $n \text{ } xs = 1$ **do** {
 $r \leftarrow \text{take-tm } n \text{ } xs$;
 $s \leftarrow \text{drop-tm } n \text{ } xs$;
 $rs \leftarrow \text{subdivide-tm } n \text{ } s$;
return ($r \# rs$)
}

lemma *val-subdivide-tm*[*simp, val-simp*]: $n > 0 \implies \text{val } (\text{subdivide-tm } n \text{ } xs) = \text{subdivide } n \text{ } xs$
by (*induction n xs rule: subdivide.induct*) *simp-all*

lemma *time-subdivide-tm-le-aux*:

assumes $n > 0$

shows $\text{time } (\text{subdivide-tm } n \text{ } xs) \leq k * (2 * n + 3) + \text{time } (\text{subdivide-tm } n \text{ } (\text{drop } (k * n) \text{ } xs))$

proof (*induction k arbitrary: xs*)

case (*Suc k*)

show *?case*

proof (*cases xs*)

case *Nil*

then show *?thesis* **by** *simp*

next

case (*Cons a l*)

then have $\text{time } (\text{subdivide-tm } n \text{ } (a \# l)) \leq 2 * n + 3 + \text{time } (\text{subdivide-tm } n \text{ } (\text{drop } n \text{ } (a \# l)))$

using *gr0-implies-Suc*[*OF assms*] **by** (*auto simp: time-take-tm time-drop-tm*)

also have $\dots \leq 2 * n + 3 + (k * (2 * n + 3) + \text{time } (\text{subdivide-tm } n \text{ } (\text{drop } (k * n) \text{ } xs)))$

```

(k * n) (drop n (a # l))))
  by (intro add-mono order.refl Suc)
  also have ... = Suc k * (2 * n + 3) + time (subdivide-tm n (drop (Suc k * n)
(a # l)))
  by (simp add: add.commute)
  finally show ?thesis using Cons by simp
qed
qed simp

```

lemma *time-subdivide-tm-le*:

```

fixes xs :: 'a list
assumes n > 0
shows time (subdivide-tm n xs) ≤ 5 * length xs + 2 * n + 4
proof -
  define k where k = length xs div n + 1
  then have k * n ≥ length xs using assms
  by (meson div-less-iff-less-mult less-add-one order-less-imp-le)
  then have drop-Nil: drop (k * n) xs = [] by simp
  have time (subdivide-tm n xs) ≤ k * (2 * n + 3) + time (subdivide-tm n ([] ::
'a list))
  using time-subdivide-tm-le-aux[OF assms, of xs k] unfolding drop-Nil .
  also have ... = k * (2 * n + 3) + 1 using gr0-implies-Suc[OF assms] by auto
  also have ... = (2 * n * (length xs div n) + 2 * n) + 3 * (length xs div n) + 4
  unfolding k-def by (simp add: add-mult-distrib2)
  also have ... ≤ 5 * length xs + 2 * n + 4
  using times-div-less-eq-dividend[of n length xs] div-le-dividend[of length xs n]
by linarith
  finally show ?thesis .
qed

```

9.4 The *bitsize* function

fun *bitsize-tm* :: *nat* ⇒ *nat tm* **where**

```

bitsize-tm 0 = 1 return 0
| bitsize-tm n = 1 do {
  n-div-2 ← n divt 2;
  r ← bitsize-tm n-div-2;
  1 +t r
}

```

lemma *val-bitsize-tm*[*simp, val-simp*]: *val* (*bitsize-tm* n) = *bitsize* n

by (*induction* n *rule*: *bitsize-tm.induct*) *simp-all*

fun *time-bitsize-tm-bound* :: *nat* ⇒ *nat* **where**

```

time-bitsize-tm-bound 0 = 1
| time-bitsize-tm-bound n = 14 + 8 * n + time-bitsize-tm-bound (n div 2)

```

lemma *time-bitsize-tm-aux*:

time (*bitsize-tm* n) ≤ *time-bitsize-tm-bound* n

```

apply (induction n rule: bitsize-tm.induct)
subgoal by simp
subgoal for n using time-divide-nat-tm-le[of Suc n 2] by simp
done

```

lemma *time-bitsize-tm-aux2*: *time-bitsize-tm-bound* $n \leq (2 * 8 + 4 * 14) * n + 2^3$

```

apply (intro div-2-recursion-linear)
using less-iff-Suc-add by auto

```

lemma *time-bitsize-tm-le*: *time* (*bitsize-tm* n) $\leq 72 * n + 2^3$
using order.trans[*OF time-bitsize-tm-aux time-bitsize-tm-aux2*] by simp

9.4.1 The *is-power-of-2* function

fun *is-power-of-2-tm* :: *nat* \Rightarrow *bool tm* **where**

```

is-power-of-2-tm 0 =1 return False
| is-power-of-2-tm (Suc 0) =1 return True
| is-power-of-2-tm n =1 do {
  n-mod-2  $\leftarrow$  n modt 2;
  n-div-2  $\leftarrow$  n divt 2;
  c1  $\leftarrow$  n-mod-2 =t 0;
  c2  $\leftarrow$  is-power-of-2-tm n-div-2;
  c1  $\wedge$ t c2
}

```

lemma *val-is-power-of-2-tm*[*simp, val-simp*]: *val* (*is-power-of-2-tm* n) = *is-power-of-2* n

by (*induction n rule: is-power-of-2-tm.induct*) *simp-all*

lemma *time-is-power-of-2-tm-le*: *time* (*is-power-of-2-tm* n) $\leq 114 * n + 1$

proof –

have *time* (*is-power-of-2-tm* n) $\leq (2 * 25 + 4 * 16) * n + 1$

apply (*intro div-2-recursion-linear*)

subgoal by simp

subgoal by simp

subgoal premises *prems* **for** n

proof –

from *prems* **obtain** n' **where** $n = \text{Suc} (\text{Suc } n')$

by (*metis Suc-diff-1 Suc-diff-Suc order-less-trans zero-less-one*)

then have *time* (*is-power-of-2-tm* n) =

time ($n \text{ mod}_t 2$) +

time ($n \text{ div}_t 2$) +

time (*is-power-of-2-tm* ($n \text{ div } 2$)) + 3

by (*simp add: time-equal-nat-tm*)

also have ... $\leq 16 * n + \text{time} (\text{is-power-of-2-tm } (n \text{ div } 2)) + 25$

apply (*estimation estimate: time-mod-nat-tm-le*)

apply (*estimation estimate: time-divide-nat-tm-le*)

```

    apply simp
  done
  finally show ?thesis by simp
qed
done
then show ?thesis by simp
qed

```

definition *next-power-of-2-tm* :: nat ⇒ nat tm **where**

```

next-power-of-2-tm n = 1 do {
  b ← is-power-of-2-tm n;
  if b then return n else do {
    r ← bitsize-tm n;
    2 ^t r
  }
}

```

lemma *val-next-power-of-2-tm*[*simp*, *val-simp*]: val (*next-power-of-2-tm* n) = *next-power-of-2* n
by (*simp add: next-power-of-2-tm-def*)

lemma *time-next-power-of-2-tm-le*: time (*next-power-of-2-tm* n) ≤ 208 * n + 37

proof (*cases is-power-of-2 n*)

case *True*

then show ?thesis

using *time-is-power-of-2-tm-le*[*of n*]

by (*simp add: next-power-of-2-tm-def*)

next

case *False*

then have time (*next-power-of-2-tm* n) =

time (*is-power-of-2-tm* n) +

time (*bitsize-tm* n) +

time (*power-nat-tm* 2 (*bitsize* n)) + 1

by (*simp add: next-power-of-2-tm-def*)

also have ... ≤ 186 * n + 6 * 2^(bitsize n) + 5 * bitsize n + 26

apply (*estimation estimate: time-is-power-of-2-tm-le*)

apply (*estimation estimate: time-bitsize-tm-le*)

apply (*estimation estimate: time-power-nat-tm-le*)

by *simp*

also have ... ≤ 186 * n + 11 * 2^(bitsize n) + 26

by *simp*

also have ... ≤ 208 * n + 37

by (*estimation estimate: two-pow-bitsize-bound*) *simp*

finally show ?thesis .

qed

9.5 Addition

fun *bit-add-carry-tm* :: bool ⇒ bool ⇒ bool ⇒ (bool × bool) tm **where**

```

bit-add-carry-tm False False False =1 return (False, False)
| bit-add-carry-tm False False True =1 return (True, False)
| bit-add-carry-tm False True False =1 return (True, False)
| bit-add-carry-tm False True True =1 return (False, True)
| bit-add-carry-tm True False False =1 return (True, False)
| bit-add-carry-tm True False True =1 return (False, True)
| bit-add-carry-tm True True False =1 return (False, True)
| bit-add-carry-tm True True True =1 return (True, True)

```

lemma *val-bit-add-carry-tm*[simp, val-simp]: *val* (bit-add-carry-tm *x y z*) = bit-add-carry *x y z*

by (induction *x y z* rule: bit-add-carry-tm.induct; simp)

lemma *time-bit-add-carry-tm*[simp]: *time* (bit-add-carry-tm *x y z*) = 1

by (induction *x y z* rule: bit-add-carry-tm.induct; simp)

fun *inc-nat-tm* :: *nat-lsbf* ⇒ *nat-lsbf tm* **where**

```

inc-nat-tm [] =1 return [True]
| inc-nat-tm (False # xs) =1 return (True # xs)
| inc-nat-tm (True # xs) =1 do {
  r ← inc-nat-tm xs;
  return (False # r)
}

```

lemma *val-inc-nat-tm*[simp, val-simp]: *val* (inc-nat-tm *xs*) = inc-nat *xs*

by (induction *xs* rule: inc-nat-tm.induct) simp-all

lemma *time-inc-nat-tm-le*: *time* (inc-nat-tm *xs*) ≤ length *xs* + 1

by (induction *xs* rule: inc-nat-tm.induct) simp-all

fun *add-carry-tm* :: *bool* ⇒ *nat-lsbf* ⇒ *nat-lsbf* ⇒ *nat-lsbf tm* **where**

```

add-carry-tm False [] y =1 return y
| add-carry-tm False (x # xs) [] =1 return (x # xs)
| add-carry-tm True [] y =1 do {
  r ← inc-nat-tm y;
  return r
}
| add-carry-tm True (x # xs) [] =1 do {
  r ← inc-nat-tm (x # xs);
  return r
}
| add-carry-tm c (x # xs) (y # ys) =1 do {
  (a, b) ← bit-add-carry-tm c x y;
  r ← add-carry-tm b xs ys;
  return (a # r)
}

```

lemma *val-add-carry-tm*[simp, val-simp]: *val* (add-carry-tm *c xs ys*) = add-carry *c xs ys*

by (induction *c xs ys* rule: add-carry-tm.induct) (simp-all split: prod.splits)

lemma *time-add-carry-tm-le*: $\text{time} (\text{add-carry-tm } c \text{ } xs \text{ } ys) \leq 2 * \max (\text{length } xs) (\text{length } ys) + 2$
proof (*induction c xs ys rule: add-carry-tm.induct*)
 case ($\exists y$)
 then show *?case using time-inc-nat-tm-le[of y]* **by** *simp*
next
 case ($\exists x xs$)
 then show *?case using time-inc-nat-tm-le[of x # xs]* **by** *simp*
qed (*simp-all split: prod.splits*)

definition *add-nat-tm* :: $\text{nat-lsbf} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf } tm$ **where**
add-nat-tm xs ys =1 do {
 r \leftarrow *add-carry-tm False xs ys*;
 return r
}

lemma *val-add-nat-tm[simp, val-simp]*: $\text{val} (\text{add-nat-tm } xs \text{ } ys) = xs +_n ys$
by (*simp add: add-nat-tm-def add-nat-def*)

lemma *time-add-nat-tm-le*: $\text{time} (\text{add-nat-tm } xs \text{ } ys) \leq 2 * \max (\text{length } xs) (\text{length } ys) + 3$
using *time-add-carry-tm-le[of - xs ys]* **by** (*simp add: add-nat-tm-def*)

9.6 Comparison and subtraction

fun *compare-nat-same-length-reversed-tm* :: $\text{bool list} \Rightarrow \text{bool list} \Rightarrow \text{bool } tm$ **where**
compare-nat-same-length-reversed-tm [] [] =1 return True
| *compare-nat-same-length-reversed-tm (False # xs) (False # ys) =1 compare-nat-same-length-reversed-tm xs ys*
| *compare-nat-same-length-reversed-tm (True # xs) (False # ys) =1 return False*
| *compare-nat-same-length-reversed-tm (False # xs) (True # ys) =1 return True*
| *compare-nat-same-length-reversed-tm (True # xs) (True # ys) =1 compare-nat-same-length-reversed-tm xs ys*
| *compare-nat-same-length-reversed-tm - - =1 undefined*

lemma *val-compare-nat-same-length-reversed-tm[simp, val-simp]*:
 assumes $\text{length } xs = \text{length } ys$
 shows $\text{val} (\text{compare-nat-same-length-reversed-tm } xs \text{ } ys) = \text{compare-nat-same-length-reversed } xs \text{ } ys$
using *assms by (induction xs ys rule: compare-nat-same-length-reversed-tm.induct) simp-all*

lemma *time-compare-nat-same-length-reversed-tm-le*:
 $\text{length } xs = \text{length } ys \implies \text{time} (\text{compare-nat-same-length-reversed-tm } xs \text{ } ys) \leq \text{length } xs + 1$
by (*induction xs ys rule: compare-nat-same-length-reversed-tm.induct*) *simp-all*

fun *compare-nat-same-length-tm* :: $\text{nat-lsbf} \Rightarrow \text{nat-lsbf} \Rightarrow \text{bool } tm$ **where**

```

compare-nat-same-length-tm xs ys = 1 do {
  rev-xs ← rev-tm xs;
  rev-ys ← rev-tm ys;
  compare-nat-same-length-reversed-tm rev-xs rev-ys
}

```

lemma *val-compare-nat-same-length-tm*[simp, val-simp]:
assumes *length xs = length ys*
shows *val (compare-nat-same-length-tm xs ys) = compare-nat-same-length xs ys*
using *assms* **by** *simp*

lemma *time-compare-nat-same-length-tm-le*:
length xs = length ys \implies *time (compare-nat-same-length-tm xs ys) \leq 3 * length xs + 6*
using *time-compare-nat-same-length-reversed-tm-le*[of *rev xs rev ys*]
by *simp*

definition *make-same-length-tm* :: *nat-lsbf* \Rightarrow *nat-lsbf* \Rightarrow (*nat-lsbf* \times *nat-lsbf*) *tm*
where

```

make-same-length-tm xs ys = 1 do {
  len-xs ← length-tm xs;
  len-ys ← length-tm ys;
  n ← max-nat-tm len-xs len-ys;
  fill-xs ← fill-tm n xs;
  fill-ys ← fill-tm n ys;
  return (fill-xs, fill-ys)
}

```

lemma *val-make-same-length-tm*[simp, val-simp]: *val (make-same-length-tm xs ys) = make-same-length xs ys*
by (*simp add: make-same-length-tm-def make-same-length-def del: max-nat-tm.simps*)

lemma *time-make-same-length-tm-le*: *time (make-same-length-tm xs ys) \leq 10 * max (length xs) (length ys) + 16*

proof –

```

have time (make-same-length-tm xs ys) = 13 + 3 * length xs + 3 * length ys +
  (time (max-nat-tm (length xs) (length ys)) + 2 * max (length xs) (length ys))
by (simp add: make-same-length-tm-def time-fill-tm del: max-nat-tm.simps)
also have ...  $\leq$  10 * max (length xs) (length ys) + 16
using time-max-nat-tm-le[of length xs length ys] by simp
finally show ?thesis .

```

qed

definition *compare-nat-tm* :: *nat-lsbf* \Rightarrow *nat-lsbf* \Rightarrow *bool tm* **where**

```

compare-nat-tm xs ys = 1 do {
  (fill-xs, fill-ys) ← make-same-length-tm xs ys;
  compare-nat-same-length-tm fill-xs fill-ys
}

```

lemma *val-compare-nat-tm*[*simp, val-simp*]: *val (compare-nat-tm xs ys) = (xs ≤_n ys)*
using *make-same-length-correct*[**where** *xs = xs and ys = ys*]
by (*simp add: compare-nat-tm-def compare-nat-def del: compare-nat-same-length-tm.simps compare-nat-same-length.simps split: prod.splits*)

lemma *time-compare-nat-tm-le*: *time (compare-nat-tm xs ys) ≤ 13 * max (length xs) (length ys) + 23*

proof –

obtain *fill-xs fill-ys* **where** *fills-defs: make-same-length xs ys = (fill-xs, fill-ys)*
by *fastforce*

then have *time (compare-nat-tm xs ys) = time (make-same-length-tm xs ys) + time (compare-nat-same-length-tm fill-xs fill-ys) + 1*

by (*simp add: compare-nat-tm-def del: compare-nat-same-length-tm.simps*)

also have *... ≤ (10 * max (length xs) (length ys) + 16) + (3 * max (length xs) (length ys) + 6) + 1*

apply (*intro add-mono order.refl time-make-same-length-tm-le*)

using *time-compare-nat-same-length-tm-le*[*of fill-xs fill-ys*]

using *make-same-length-correct*[*OF fills-defs*][*symmetric*] **by** *argo*

finally show *?thesis* **by** *simp*

qed

definition *subtract-nat-tm* :: *nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf tm* **where**

subtract-nat-tm xs ys = 1 **do** {
b ← *compare-nat-tm xs ys*;
if *b* **then return** [] **else do** {
(fill-xs, fill-ys) ← *make-same-length-tm xs ys*;
fill-ys-comp ← *map-tm Not-tm fill-ys*;
a ← *add-carry-tm True fill-xs fill-ys-comp*;
butlast-tm a
}
}
}

lemma *val-subtract-nat-tm*[*simp, val-simp*]: *val (subtract-nat-tm xs ys) = xs -_n ys*

by (*simp add: subtract-nat-tm-def subtract-nat-def Let-def split: prod.splits*)

lemma *time-map-tm-Not-tm*: *time (map-tm Not-tm xs) = 2 * length xs + 1*

using *time-map-tm-constant*[*of xs Not-tm 1*] **by** *simp*

lemma *time-subtract-nat-tm-le*: *time (subtract-nat-tm xs ys) ≤ 30 * max (length xs) (length ys) + 48*

proof –

obtain *x1 x2* **where** *x12: make-same-length xs ys = (x1, x2)* **by** *fastforce*

note *x12-simps = make-same-length-correct*[*OF x12*][*symmetric*]

then have *max12: max (length x1) (length x2) = max (length xs) (length ys)*

by *simp*

show *?thesis*

proof (*cases compare-nat xs ys*)

case *True*


```

then show ?thesis
  using time-compare-nat-tm-le[of xs ys]
  by (simp add: subtract-nat-tm-def)
next
case False
then have time (subtract-nat-tm xs ys) =
  Suc (time (compare-nat-tm xs ys) +
    (time (make-same-length-tm xs ys) +
      (time (map-tm Not-tm x2) +
        (time (add-carry-tm True x1 (map Not x2)) +
          (time (butlast-tm (add-carry True x1 (map Not x2))))))))))
  by (simp add: subtract-nat-tm-def x12)
also have ... ≤ 30 * max (length xs) (length ys) + 48
apply (subst Suc-eq-plus1)
apply (estimation estimate: time-compare-nat-tm-le)
apply (estimation estimate: time-make-same-length-tm-le)
apply (subst time-map-tm-Not-tm)
apply (estimation estimate: time-add-carry-tm-le)
apply (estimation estimate: time-butlast-tm-le)
apply (estimation estimate: time-inc-nat-tm-le)
apply (estimation estimate: length-add-carry-upper)
apply (subst length-map)+
apply (subst max12)+
apply (subst x12-simps)+
apply simp
done
finally show ?thesis .
qed
qed

```

9.7 (Grid) Multiplication

```

fun grid-mul-nat-tm :: nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf tm where
  grid-mul-nat-tm [] ys =1 return []
  | grid-mul-nat-tm (False # xs) ys =1 do {
    r ← grid-mul-nat-tm xs ys;
    return (False # r)
  }
  | grid-mul-nat-tm (True # xs) ys =1 do {
    r ← grid-mul-nat-tm xs ys;
    add-nat-tm (False # r) ys
  }

```

lemma val-grid-mul-nat-tm[simp, val-simp]: val (grid-mul-nat-tm xs ys) = xs *_n ys
by (induction xs ys rule: grid-mul-nat-tm.induct) simp-all

lemma euler-sum-bound: $\sum \{..(n::nat)\} \leq n * n$
by (induction n) simp-all

lemma *time-grid-mul-nat-tm-le*:
 $time (grid-mul-nat-tm\ xs\ ys) \leq 8 * length\ xs * max (length\ xs) (length\ ys) + 1$
proof –
have $time (grid-mul-nat-tm\ xs\ ys) \leq 2 * (\sum \{..length\ xs\}) + length\ xs * (2 * length\ ys + 4) + 1$
proof (*induction xs ys rule: grid-mul-nat-tm.induct*)
case (1 *ys*)
then show *?case* **by** *simp*
next
case (2 *xs ys*)
then show *?case* **by** *simp*
next
case (3 *xs ys*)
then have $time (grid-mul-nat-tm (True \# xs) ys) \leq$
 $time (grid-mul-nat-tm\ xs\ ys) +$
 $time (add-nat-tm (False \# grid-mul-nat\ xs\ ys) ys) + 1$ (**is** $?l \leq ?i + - + 1$)
by *simp*
also have $... \leq ?i + 2 * max (1 + length (grid-mul-nat\ xs\ ys)) (length\ ys) + 4$
by (*estimation estimate: time-add-nat-tm-le*) *simp*
also have $... \leq ?i + 2 * (length\ xs + length\ ys + 1) + 4$
apply (*estimation estimate: length-grid-mul-nat[of xs ys]*)
by (*simp-all add: length-grid-mul-nat*)
also have $... = ?i + 2 * (length (True \# xs)) + 2 * length\ ys + 4$
by *simp*
also have $... \leq 2 * (\sum \{..length (True \# xs)\}) + length (True \# xs) * (2 * length\ ys + 4) + 1$
using 3 **by** *simp*
finally show *?case* .
qed
also have $... \leq 2 * length\ xs * length\ xs + 2 * length\ xs * length\ ys + 4 * length\ xs + 1$
by (*estimation estimate: euler-sum-bound*) (*simp add: distrib-left*)
also have $... \leq 6 * length\ xs * length\ xs + 2 * length\ xs * length\ ys + 1$
by (*simp add: leI*)
also have $... \leq 8 * length\ xs * max (length\ xs) (length\ ys) + 1$
by (*simp add: add.commute add-mult-distrib nat-mult-max-right*)
finally show *?thesis* .
qed

9.8 Syntax bundles

abbreviation *shift-right-tm-flip* **where** $shift-right-tm-flip\ xs\ n \equiv shift-right-tm\ n\ xs$

open-bundle *nat-lsbf-tm-syntax*

begin

notation *add-nat-tm* (**infixl** $\langle +_{nat} \rangle$ 65)

notation *compare-nat-tm* (**infixl** $\langle \leq_{nat} \rangle$ 50)

```

notation subtract-nat-tm (infixl <-nt> 65)
notation grid-mul-nat-tm (infixl <*_nt> 70)
notation shift-right-tm-flip (infixl <>_nt> 55)
end

end
theory Int-LSBF
  imports Nat-LSBF HOL-Algebra.IntRing
begin

```

10 Representing *int* in LSBF

10.1 Type definition

```

datatype sign = Positive | Negative
type-synonym int-lsbf = sign × nat-lsbf

```

10.2 Conversions

```

fun from-int :: int ⇒ int-lsbf where
from-int x = (if x ≥ 0 then (Positive, from-nat (nat x)) else (Negative, from-nat
(nat (-x))))
fun to-int :: int-lsbf ⇒ int where
to-int (Positive, xs) = int (to-nat xs)
| to-int (Negative, xs) = - int (to-nat xs)

```

```

lemma to-int-from-int[simp]: to-int (from-int x) = x
by (cases x ≥ 0) simp-all

```

```

fun truncate-int :: int-lsbf ⇒ int-lsbf where
truncate-int (Positive, xs) = (Positive, truncate xs)
| truncate-int (Negative, xs) = (let ys = truncate xs in if ys = [] then (Positive, [])
else (Negative, ys))

```

```

lemma to-int-truncate[simp]: to-int (truncate-int xs) = to-int xs
by (induction xs rule: truncate-int.induct) (simp-all add: Let-def to-nat-zero-iff)

```

```

lemma truncate-from-int[simp]: truncate-int (from-int x) = from-int x
apply (cases x ≥ 0)
subgoal by simp
subgoal unfolding Let-def
proof -
  assume ¬ x ≥ 0
  then have to-nat (from-nat (nat (-x))) > 0 by simp
  then have truncate (from-nat (nat (-x))) ≠ [] using to-nat-zero-iff nless-le
by blast
  then show ?thesis by simp
qed
done

```

```

lemma pos-and-neg-imp-zero:
  assumes to-int (Positive, x) = to-int (Negative, y)
  shows to-nat x = 0 ∧ to-nat y = 0
proof -
  have to-int (Positive, x) ≥ 0 to-int (Negative, y) ≤ 0 by simp-all
  with assms have to-int (Positive, x) = 0 to-int (Negative, y) = 0 by simp-all
  thus ?thesis by simp-all
qed

lemma to-int-eq-imp-truncate-int-eq: to-int (a, x) = to-int (b, y) ⇒ truncate-int
(a, x) = truncate-int (b, y)
  apply (cases a; cases b)
  subgoal by (simp add: to-nat-eq-imp-truncate-eq[of x y])
  subgoal
    using pos-and-neg-imp-zero[of x y] to-nat-zero-iff
    by fastforce
  subgoal using to-nat-zero-iff by (simp add: Let-def)
  subgoal by (simp add: to-nat-eq-imp-truncate-eq[of x y])
  done

lemma from-int-to-int: from-int ∘ to-int = truncate-int
proof -
  have ( $\bigwedge x y. to-int\ x = to-int\ y \Rightarrow truncate-int\ x = truncate-int\ y$ )
    using to-int-eq-imp-truncate-int-eq by auto
  thus ?thesis
    using from-to-f-criterion[of to-int from-int truncate-int]
    using truncate-from-int to-int-from-int
    using comp-apply
    by fastforce
qed

interpretation int-lsbf: abstract-representation from-int to-int truncate-int
proof
  show to-int ∘ from-int = id
    using to-int-from-int comp-apply by fastforce
next
  show from-int ∘ to-int = truncate-int
    using from-int-to-int comp-apply by fastforce
qed

```

10.3 Addition

```

fun add-int :: int-lsbf ⇒ int-lsbf ⇒ int-lsbf where
  add-int (Negative, xs) (Negative, ys) = (Negative, add-nat xs ys)
  | add-int (Positive, xs) (Positive, ys) = (Positive, add-nat xs ys)
  | add-int (Positive, xs) (Negative, ys) = (if compare-nat xs ys then (Negative, sub-
tract-nat ys xs) else (Positive, subtract-nat xs ys))
  | add-int (Negative, xs) (Positive, ys) = (if compare-nat xs ys then (Positive, sub-

```

tract-nat ys xs) else (Negative, subtract-nat xs ys))

lemma *add-int-correct: to-int (add-int x y) = to-int x + to-int y*
apply (*induction x y rule: add-int.induct*)
subgoal by (*simp add: add-nat-correct*)
subgoal by (*simp add: add-nat-correct*)
apply (*auto simp only: add-int.simps compare-nat-correct subtract-nat-correct to-int.simps split: if-splits*)
done

fun *nat-mul-to-int-mul :: (nat-lsbf \Rightarrow nat-lsbf \Rightarrow nat-lsbf) \Rightarrow int-lsbf \Rightarrow int-lsbf \Rightarrow int-lsbf* **where**
nat-mul-to-int-mul f (x, xs) (y, ys) = ((if x = y then Positive else Negative), f xs ys)

lemma *nat-mul-to-int-mul-correct:*
assumes $\bigwedge x y. \text{to-nat } (f x y) = \text{to-nat } x * \text{to-nat } y$
shows $\bigwedge x y xs ys. \text{to-int } (\text{nat-mul-to-int-mul } f (x, xs) (y, ys)) = \text{to-int } (x, xs) * \text{to-int } (y, ys)$
subgoal for *x y xs ys*
by (*cases x; cases y (simp-all add: assms)*)
done

10.4 Grid Multiplication

fun *grid-mul-int* **where** *grid-mul-int x y = nat-mul-to-int-mul grid-mul-nat x y*

corollary *grid-mul-int-correct: to-int (grid-mul-int x y) = to-int x * to-int y*
using *nat-mul-to-int-mul-correct[OF grid-mul-nat-correct]*
by (*metis grid-mul-int.elims surj-pair*)

end

11 Karatsuba Multiplication

theory *Karatsuba*
imports *../Binary-Representations/Nat-LSBF ../Binary-Representations/Int-LSBF ../Estimation-Method*
begin

This theory contains an implementation of the Karatsuba Multiplication on type *nat-lsbf*.

definition *abs-diff :: nat-lsbf \Rightarrow nat-lsbf \Rightarrow nat-lsbf* **where**
abs-diff x y = (x $-_n$ y) $+_n$ (y $-_n$ x)

lemma *abs-diff-correct: int (to-nat (abs-diff x y)) = abs (int (to-nat x) - int (to-nat y))*
unfolding *abs-diff-def* **by** (*simp add: add-nat-correct subtract-nat-correct*)

```

lemma abs-diff-length:  $\text{length } (\text{abs-diff } xs \ ys) \leq \max (\text{length } xs) (\text{length } ys)$ 
proof (cases compare-nat xs ys)
  case True
    then have  $xs \ -_n \ ys = []$  by (simp add: subtract-nat-def)
    then have  $\text{abs-diff } xs \ ys = ys \ -_n \ xs$  by (simp add: abs-diff-def add-nat-def)
    then show ?thesis using length-subtract-nat-le[of ys xs] by simp
  next
    case False
    then have  $ys \leq_n \ xs$  by (simp only: compare-nat-correct)
    then have  $ys \ -_n \ xs = []$  by (simp add: subtract-nat-def)
    then have  $\text{abs-diff } xs \ ys = xs \ -_n \ ys$  by (simp add: abs-diff-def add-nat-com
add-nat-def)
    then show ?thesis using length-subtract-nat-le[of xs ys] by simp
qed

```

For small inputs, implementations of Karatsuba Multiplication usually switch to grid multiplication. The threshold does not matter for the asymptotic running time, hence we will just arbitrarily choose 42.

definition *karatsuba-lower-bound* :: *nat* **where**
karatsuba-lower-bound $\equiv 42$

lemma *karatsuba-lower-bound-requirement*:
karatsuba-lower-bound ≥ 1
unfolding *karatsuba-lower-bound-def* **by** *simp*

A first version of the algorithm assumes the input numbers have a length which is a power of 2. The function *karatsuba-on-power-of-2-length* takes the specified length as additional first argument.

```

fun karatsuba-on-power-of-2-length :: nat  $\Rightarrow$  nat-lsbf  $\Rightarrow$  nat-lsbf  $\Rightarrow$  nat-lsbf where
karatsuba-on-power-of-2-length k x y =
  (if  $k \leq \text{karatsuba-lower-bound}$ 
  then  $x \ *_n \ y$ 
  else let
    (x0, x1) = split x;
    (y0, y1) = split y;
    k-div-2 = ( $k \ \text{div} \ 2$ );
    prod0 = karatsuba-on-power-of-2-length k-div-2 x0 y0;
    prod1 = karatsuba-on-power-of-2-length k-div-2 x1 y1;
    prod2 = karatsuba-on-power-of-2-length k-div-2
      (fill k-div-2 ( $\text{abs-diff } x0 \ x1$ ))
      (fill k-div-2 ( $\text{abs-diff } y0 \ y1$ ));
    add01 = prod0  $+_n$  prod1;
    r = (if ( $x1 \leq_n \ x0$ ) = ( $y1 \leq_n \ y0$ )
      then add01  $-_n$  prod2
      else add01  $+_n$  prod2)
    in prod0  $+_n$  ( $r \ \gg_n \ k\text{-div-2}$ )  $+_n$  (prod1  $\gg_n \ k$ )
  )

```

declare *karatsuba-on-power-of-2-length.simps*[*simp del*]

```

locale karatsuba-context =
  fixes k l :: nat
  fixes x y :: nat-lsbf
  assumes k-power-of-2: k = 2 ^ l
  assumes length-x: length x = k
  assumes length-y: length y = k
  assumes recursion-condition: ¬ k ≤ karatsuba-lower-bound
begin

definition x0 where x0 = fst (split x)
definition x1 where x1 = snd (split x)
definition y0 where y0 = fst (split y)
definition y1 where y1 = snd (split y)
definition k-div-2 where k-div-2 = k div 2
definition prod0 where prod0 = karatsuba-on-power-of-2-length k-div-2 x0 y0
definition prod1 where prod1 = karatsuba-on-power-of-2-length k-div-2 x1 y1
definition prod2 where prod2 = karatsuba-on-power-of-2-length k-div-2
  (fill k-div-2 (abs-diff x0 x1))
  (fill k-div-2 (abs-diff y0 y1))
definition add01 where add01 = prod0 +n prod1
definition r where r = (if (x1 ≤n x0) = (y1 ≤n y0)
  then add01 -n prod2
  else add01 +n prod2)

lemma split-x: split x = (x0, x1) using x0-def x1-def by simp
lemma split-y: split y = (y0, y1) using y0-def y1-def by simp

lemmas defs1 = split-x split-y
lemmas defs2 = prod0-def prod1-def prod2-def k-div-2-def add01-def r-def

lemma recursive: karatsuba-on-power-of-2-length k x y =
  prod0 +n (r >>n k-div-2) +n (prod1 >>n k)
  unfolding karatsuba-on-power-of-2-length.simps[of k x y]
  using defs1 defs2 recursion-condition
  by (simp only: if-False Let-def case-prod-conv)

lemma l-ge-1: l ≥ 1
  using karatsuba-lower-bound-requirement recursion-condition k-power-of-2
  by (cases l; simp)

lemma k-even: k mod 2 = 0
  using k-power-of-2 l-ge-1 by simp

lemma k-div-2: k-div-2 = 2 ^ (l - 1)
  unfolding k-div-2-def using k-power-of-2 l-ge-1 by (simp add: power-diff)

lemma k-div-2-less-k: k-div-2 < k
  unfolding k-div-2-def using k-power-of-2 by simp

```

lemma *length-x-split*: $\text{length } x0 = k\text{-div-2 } \text{length } x1 = k\text{-div-2}$
unfolding *k-div-2-def* **using** *k-even length-split[OF - split-x]* *length-x* **by** *argo+*

lemma *length-y-split*: $\text{length } y0 = k\text{-div-2 } \text{length } y1 = k\text{-div-2}$
unfolding *k-div-2-def* **using** *k-even length-split[OF - split-y]* *length-y* **by** *argo+*

lemma *length-abs-diff-x0-x1*: $\text{length } (\text{abs-diff } x0 \ x1) \leq k\text{-div-2}$
using *abs-diff-length[of x0 x1]* *length-x-split* **by** *simp*

lemma *length-fill-abs-diff-x0-x1*: $\text{length } (\text{fill } k\text{-div-2 } (\text{abs-diff } x0 \ x1)) = k\text{-div-2}$
by (*intro length-fill length-abs-diff-x0-x1*)

lemma *length-abs-diff-y0-y1*: $\text{length } (\text{abs-diff } y0 \ y1) \leq k\text{-div-2}$
using *abs-diff-length[of y0 y1]* *length-y-split* **by** *simp*

lemma *length-fill-abs-diff-y0-y1*: $\text{length } (\text{fill } k\text{-div-2 } (\text{abs-diff } y0 \ y1)) = k\text{-div-2}$
by (*intro length-fill length-abs-diff-y0-y1*)

lemmas *IH-prems1 = recursion-condition split-x[symmetric] refl split-y[symmetric]*
refl k-div-2-def
k-div-2 length-x-split(1) length-y-split(1)

lemmas *IH-prems2 = recursion-condition split-x[symmetric] refl split-y[symmetric]*
refl k-div-2-def
prod0-def k-div-2 length-x-split(2) length-y-split(2)

lemmas *IH-prems3 = recursion-condition split-x[symmetric] refl split-y[symmetric]*
refl k-div-2-def
prod0-def prod1-def k-div-2 length-fill-abs-diff-x0-x1 length-fill-abs-diff-y0-y1

end

lemma *karatsuba-on-power-of-2-length-correct*:

assumes $k = 2 \wedge l$

assumes $\text{length } x = k \ \text{length } y = k$

shows $\text{to-nat } (\text{karatsuba-on-power-of-2-length } k \ x \ y) = \text{to-nat } x * \text{to-nat } y$

using *assms* **proof** (*induction k x y arbitrary; l rule: karatsuba-on-power-of-2-length.induct*)

case ($1 \ k \ x \ y \ l$)

show *?case*

proof (*cases k ≤ karatsuba-lower-bound*)

case *True*

then show *?thesis*

unfolding *karatsuba-on-power-of-2-length.simps[of k x y]*

by (*simp add: grid-mul-nat-correct*)

next

case *False*

then interpret *r: karatsuba-context k l x y* **using** *1.prem*

by (*unfold-locales; simp*)

from *r.l-ge-1* **obtain** *l'* **where** $l = \text{Suc } l'$

by (*metis less-eqE plus-1-eq-Suc*)

then have $k \ \text{div } 2 = 2 \wedge l'$ **using** $\langle k = 2 \wedge l \rangle$ **by** *simp*

have *to-nat-x*: $to\text{-}nat\ x = to\text{-}nat\ r.x0 + 2^{\wedge}(k\ div\ 2) * to\text{-}nat\ r.x1$
unfolding *r.k-div-2-def*[*symmetric*]
using *app-split*[*OF r.split-x*] *to-nat-app*[*of r.x0 r.x1*] *r.length-x-split* **by** *algebra*

have *to-nat-y*: $to\text{-}nat\ y = to\text{-}nat\ r.y0 + 2^{\wedge}(k\ div\ 2) * to\text{-}nat\ r.y1$
unfolding *r.k-div-2-def*[*symmetric*]
using *app-split*[*OF r.split-y*] *to-nat-app*[*of r.y0 r.y1*] *r.length-y-split* **by** *algebra*

have *4*: $to\text{-}nat\ r.prod0 = to\text{-}nat\ r.x0 * to\text{-}nat\ r.y0$
unfolding *r.prod0-def*
by (*intro 1(1)*[*OF r.IH-prems1*])
have *5*: $to\text{-}nat\ r.prod1 = to\text{-}nat\ r.x1 * to\text{-}nat\ r.y1$
unfolding *r.prod1-def*
by (*intro 1(2)*[*OF r.IH-prems2*])
have $to\text{-}nat\ r.prod2 = to\text{-}nat\ (fill\ r.k\text{-}div\ 2\ (abs\text{-}diff\ r.x0\ r.x1)) * to\text{-}nat\ (fill\ r.k\text{-}div\ 2\ (abs\text{-}diff\ r.y0\ r.y1))$
unfolding *r.prod2-def*
by (*intro 1(3)*[*OF r.IH-prems3*])
hence $int\ (to\text{-}nat\ r.prod2) = abs\ (int\ (to\text{-}nat\ r.x0) - int\ (to\text{-}nat\ r.x1)) * abs\ (int\ (to\text{-}nat\ r.y0) - int\ (to\text{-}nat\ r.y1))$
using *abs-diff-correct* **by** *simp*
then have $int\ (to\text{-}nat\ r.prod2) = abs\ ((int\ (to\text{-}nat\ r.x0) - int\ (to\text{-}nat\ r.x1)) * (int\ (to\text{-}nat\ r.y0) - int\ (to\text{-}nat\ r.y1)))$
by (*subst abs-mult, assumption*)
then have *6*: (*if* (*compare-nat r.x1 r.x0*) = (*compare-nat r.y1 r.y0*) *then* $int\ (to\text{-}nat\ r.prod2)$ *else* $- int\ (to\text{-}nat\ r.prod2)$) = $(int\ (to\text{-}nat\ r.x0) - int\ (to\text{-}nat\ r.x1)) * (int\ (to\text{-}nat\ r.y0) - int\ (to\text{-}nat\ r.y1))$
apply (*cases to-nat r.x0 ≥ to-nat r.x1; cases to-nat r.y0 ≥ to-nat r.y1*)
by (*simp-all add: compare-nat-correct mult-nonneg-nonpos mult-nonneg-nonpos2 mult-nonpos-nonpos*)

have *7*: $int\ (to\text{-}nat\ r.r) = int\ (to\text{-}nat\ r.x0) * int\ (to\text{-}nat\ r.y1) + int\ (to\text{-}nat\ r.x1) * int\ (to\text{-}nat\ r.y0)$
proof (*cases (r.x1 ≤_n r.x0) = (r.y1 ≤_n r.y0)*)
case *True*
then have *int-p*: $int\ (to\text{-}nat\ r.r) = int\ (to\text{-}nat\ r.prod0 + to\text{-}nat\ r.prod1 - to\text{-}nat\ r.prod2)$
unfolding *r.r-def r.add01-def*
by (*simp add: subtract-nat-correct add-nat-correct*)
have *int-prod2*: $int\ (to\text{-}nat\ r.prod2) = (int\ (to\text{-}nat\ r.x0) - int\ (to\text{-}nat\ r.x1)) * (int\ (to\text{-}nat\ r.y0) - int\ (to\text{-}nat\ r.y1))$
using *6 True* **by** *simp*
have $-(int\ (to\text{-}nat\ r.x0) * int\ (to\text{-}nat\ r.y1)) ≤ int\ (to\text{-}nat\ r.x1) * int\ (to\text{-}nat\ r.y0)$
apply (*intro order.trans*[*of - (int (to-nat r.x0) * int (to-nat r.y1)) 0 int (to-nat r.x1) * int (to-nat r.y0)*])
by *simp-all*
then have $to\text{-}nat\ r.prod0 + to\text{-}nat\ r.prod1 ≥ to\text{-}nat\ r.prod2$

```

    apply (intro iffD1[OF zle-int])
    by (simp add: 4 5 int-prod2 left-diff-distrib right-diff-distrib)
  then have int (to-nat r.r) = int (to-nat r.prod0) + int (to-nat r.prod1) -
  int (to-nat r.prod2)
    using int-p by simp
  then show ?thesis using int-prod2 by (simp add: left-diff-distrib right-diff-distrib
  4 5)
next
  case False
  then have int (to-nat r.r) = int (to-nat r.prod0) + int (to-nat r.prod1) +
  int (to-nat r.prod2)
    unfolding r.r-def
    by (simp add: add-nat-correct r.add01-def)
  moreover from False 6 have - int (to-nat r.prod2) = (int (to-nat r.x0) -
  int (to-nat r.x1)) * (int (to-nat r.y0) - int (to-nat r.y1))
    by simp
  then have int (to-nat r.prod2) = - (int (to-nat r.x0) - int (to-nat r.x1))
  * (int (to-nat r.y0) - int (to-nat r.y1))
    by linarith
  ultimately show ?thesis by (simp add: 4 5 left-diff-distrib right-diff-distrib)
qed

```

```

from r.recursive have int (to-nat (karatsuba-on-power-of-2-length k x y)) =
  int (to-nat (r.prod0 +n (r.r >>n r.k-div-2) +n (r.prod1 >>n k))) by simp
also have ... = int (to-nat r.prod0) + int (to-nat (shift-right r.k-div-2 r.r)) +
  int (to-nat (shift-right k r.prod1))
  by (simp add: add-nat-correct)
also have ... = int (to-nat r.prod0) + int (2 ^ (k div 2) * to-nat r.r) + int (2
  ^ k * to-nat r.prod1)
  by (simp only: to-nat-shift-right r.k-div-2-def)
also have ... = int (to-nat r.prod0) + 2 ^ (k div 2) * int (to-nat r.r) + 2 ^ k
  * int (to-nat r.prod1)
  by simp
also have ... = int (to-nat r.x0) * int (to-nat r.y0) + 2 ^ (k div 2) * (int
  (to-nat r.x0) * int (to-nat r.y1) + int (to-nat r.x1) * int (to-nat r.y0)) + 2 ^ k
  * int (to-nat r.x1) * int (to-nat r.y1)
  using 7 4 5
  by simp
also have ... = (int (to-nat r.x0) + 2 ^ (k div 2) * (int (to-nat r.x1)))
  * (int (to-nat r.y0) + 2 ^ (k div 2) * (int (to-nat r.y1)))
proof -
  have 2 * (k div 2) = k
    using r.k-even by force
  have (int (to-nat r.x0) + 2 ^ (k div 2) * (int (to-nat r.x1)))
    * (int (to-nat r.y0) + 2 ^ (k div 2) * (int (to-nat r.y1)))
  = int (to-nat r.x0) * int (to-nat r.y0)
    + (2::int) ^ (k div 2) * (int (to-nat r.x1)) * (int (to-nat r.y0))
    + (int (to-nat r.x0)) * 2 ^ (k div 2) * (int (to-nat r.y1))

```

```

      + (2::int) ^ (k div 2) * (int (to-nat r.x1)) * 2 ^ (k div 2) * (int (to-nat
r.y1))
    using distrib-left[of (int (to-nat r.x0) + 2 ^ (k div 2) * (int (to-nat r.x1)))
int (to-nat r.y0) 2 ^ (k div 2) * (int (to-nat r.y1))]
    by (simp add: ring-class.ring-distrib(2))
    also have ... = int (to-nat r.x0) * int (to-nat r.y0)
      + (2::int) ^ (k div 2) * (int (to-nat r.x1)) * (int (to-nat r.y0))
      + (int (to-nat r.x0)) * 2 ^ (k div 2) * (int (to-nat r.y1))
      + ((2::int) ^ (k div 2) * 2 ^ (k div 2)) * (int (to-nat r.x1)) * (int (to-nat
r.y1))
    by simp
    also have (2::int) ^ (k div 2) * 2 ^ (k div 2) = 2 ^ k
    using power-add[of 2::int k div 2 k div 2, symmetric]
    using ⟨2 * (k div 2) = k⟩
    by simp
    finally have (int (to-nat r.x0) + 2 ^ (k div 2) * (int (to-nat r.x1)))
      * (int (to-nat r.y0) + 2 ^ (k div 2) * (int (to-nat r.y1)))
    = int (to-nat r.x0) * int (to-nat r.y0)
      + 2 ^ (k div 2) * (int (to-nat r.x1)) * (int (to-nat r.y0))
      + (int (to-nat r.x0)) * 2 ^ (k div 2) * (int (to-nat r.y1))
      + (2::int) ^ k * (int (to-nat r.x1)) * (int (to-nat r.y1)) by simp
    also have ... = int (to-nat r.x0) * int (to-nat r.y0)
      + ((2::int) ^ (k div 2) * (int (to-nat r.x1)) * (int (to-nat r.y0)))
      + (2::int) ^ (k div 2) * (int (to-nat r.x0)) * (int (to-nat r.y1))
      + (2::int) ^ k * (int (to-nat r.x1)) * (int (to-nat r.y1))
    by simp
    also have ... = int (to-nat r.x0) * int (to-nat r.y0)
      + (2::int) ^ (k div 2) * (int (to-nat r.x1)) * int (to-nat r.y0) + int (to-nat
r.x0) * int (to-nat r.y1))
      + (2::int) ^ k * (int (to-nat r.x1)) * (int (to-nat r.y1))
    using distrib-left[of (2::int) ^ (k div 2)] by simp
    finally show ?thesis by simp
  qed
  also have ... = int (to-nat x) * int (to-nat y)
  by (simp add: to-nat-x to-nat-y)
  finally have int (to-nat (karatsuba-on-power-of-2-length k x y)) = int (to-nat
x * to-nat y)
  by simp
  thus ?thesis by presburger
qed
qed

```

function *len-kar-bound* **where**
len-kar-bound *l* = (if $2^l \leq \text{karatsuba-lower-bound}$ then $2 * \text{karatsuba-lower-bound}$
else $2^l + \text{len-kar-bound } (l - 1) + 4$)
 by *pat-completeness auto*

termination
 apply (relation *Wellfounded.measure* ($\lambda l. l$))
 subgoal by *simp*

```

subgoal for l
  using karatsuba-lower-bound-requirement by (cases l; simp)
done

declare len-kar-bound.simps[simp del]

lemma length-karatsuba-on-power-of-2-aux:
  assumes  $k = 2^l$ 
  assumes  $\text{length } x = k \text{ length } y = k$ 
  shows  $\text{length } (\text{karatsuba-on-power-of-2-length } k \ x \ y) \leq \text{len-kar-bound } l$ 
  using assms proof (induction k x y arbitrary: l rule: karatsuba-on-power-of-2-length.induct)
  case (1 k x y)
  then show ?case
  proof (cases  $k \leq \text{karatsuba-lower-bound}$ )
  case True
  then have  $\text{karatsuba-on-power-of-2-length } k \ x \ y = \text{grid-mul-nat } x \ y$ 
    unfolding karatsuba-on-power-of-2-length.simps[of k x y] by argo
  also have  $\text{length } \dots \leq \text{length } x + \text{length } y$ 
    by (rule length-grid-mul-nat)
  also have  $\dots = 2 * k$  using 1 by linarith
  also have  $\dots \leq \text{len-kar-bound } l$ 
    unfolding len-kar-bound.simps[of l] using 1.prem True by simp
  finally show ?thesis .
  next
  case False
  then interpret r: karatsuba-context k l x y using 1.prem by unfold-locales
  simp-all
  from r.recursive have  $\text{length } (\text{karatsuba-on-power-of-2-length } k \ x \ y) =$ 
     $\text{length } (r.\text{prod0} +_n (r.r \gg_n r.k\text{-div-2}) +_n$ 
     $(r.\text{prod1} \gg_n k))$ 
  by argo
  also have  $\dots \leq \max (\max (\text{length } r.\text{prod0})$ 
     $(2^{l-1}) +$ 
     $\max (\max (\text{length } r.\text{prod0}) (\text{length } r.\text{prod1}) + 1) (\text{length } r.\text{prod2}) + 1)$ 
    + 1)
     $(k + \text{length } r.\text{prod1}) + 1$ 
  unfolding r.r-def r.add01-def
  apply (estimation estimate: length-add-nat-upper)
  apply (estimation estimate: length-add-nat-upper)
  unfolding length-shift-right r.k-div-2 if-distrib[of length]
  apply (estimation estimate: if-le-max)
  apply (estimation estimate: length-add-nat-upper)
  apply (estimation estimate: length-subtract-nat-le)
  apply (estimation estimate: length-add-nat-upper)
  by simp
  also have  $\dots \leq \max (\max (\text{len-kar-bound } (l - 1))$ 
     $(2^{l-1}) +$ 
     $\max (\max (\text{len-kar-bound } (l - 1)) (\text{len-kar-bound } (l - 1)) + 1)$ 
     $(\text{len-kar-bound } (l - 1)) + 1) + 1)$ 

```

```

(k + len-kar-bound (l - 1)) + 1
  unfolding r.prod0-def r.prod1-def r.prod2-def
  apply (estimation estimate: 1.IH(1)[OF r.IH-prems1])
  apply (estimation estimate: 1.IH(2)[OF r.IH-prems2])
  apply (estimation estimate: 1.IH(3)[OF r.IH-prems3])
  by (rule order.refl)
also have ... = max (2 ^ (l - 1) + len-kar-bound (l - 1) + 3)
(2 ^ l + len-kar-bound (l - 1)) + 1
  unfolding max.idem r.k-power-of-2 by (simp del: One-nat-def)
also have ... ≤ (2 ^ l + len-kar-bound (l - 1) + 3) + 1
  apply (intro add-mono order.refl)
  apply (intro max.boundedI)
  subgoal
    apply (intro add-mono order.refl) by simp
  subgoal by simp
  done
also have ... = len-kar-bound l
  unfolding len-kar-bound.simps[of l] using False r.k-power-of-2 by simp
finally show ?thesis .
qed
qed

lemma len-kar-bound-le: len-kar-bound l ≤ 6 * 2 ^ l + 2 * karatsuba-lower-bound
proof (induction l rule: less-induct)
  case (less l)
  then show ?case
  proof (cases 2 ^ l ≤ karatsuba-lower-bound)
    case True
    then show ?thesis
    unfolding len-kar-bound.simps[of l] by simp
  next
  case False
  then have l - 1 < l using karatsuba-lower-bound-requirement by (cases l;
simp)
  then have l > 0 by simp
  from False have len-kar-bound l = 2 ^ l + len-kar-bound (l - 1) + 4
  unfolding len-kar-bound.simps[of l] by argo
  also have ... ≤ 2 ^ l + (6 * 2 ^ (l - 1) + 2 * karatsuba-lower-bound) + 4
  using less[OF ⟨l - 1 < l⟩] by simp
  also have ... = 2 * (2 ^ (l - 1)) + (6 * 2 ^ (l - 1) + 2 * karatsuba-lower-bound)
+ 4
  unfolding power-Suc[symmetric] Suc-diff-1[OF ⟨l > 0⟩] by (rule refl)
  also have ... = 8 * 2 ^ (l - 1) + 4 + 2 * karatsuba-lower-bound by simp
  also have ... ≤ 8 * 2 ^ (l - 1) + 4 * 2 ^ (l - 1) + 2 * karatsuba-lower-bound
by simp
  also have ... = 12 * 2 ^ (l - 1) + 2 * karatsuba-lower-bound by simp
  also have ... = 6 * 2 ^ l + 2 * karatsuba-lower-bound
  using Suc-diff-1[OF ⟨l > 0⟩, symmetric] power-Suc[of 2::nat l - 1] by simp
  finally show ?thesis .

```

qed
qed

The following is a pretty crude estimate for the length of the result of our Karatsuba implementation, but it suffices for our purposes.

lemma *length-karatsuba-on-power-of-2-length-le*:
assumes $k = 2 \wedge l$
assumes $\text{length } x = k \text{ length } y = k$
shows $\text{length } (\text{karatsuba-on-power-of-2-length } k \ x \ y) \leq 6 * k + 2 * \text{karatsuba-lower-bound}$
using *order.trans[OF length-karatsuba-on-power-of-2-aux[OF assms] len-kar-bound-le]*
unfolding *assms* .

In order to multiply two integers of arbitrary length using Karatsuba multiplication, the input numbers can just be zero-padded.

fun *karatsuba-mul-nat* :: $\text{nat-lsb} \Rightarrow \text{nat-lsb} \Rightarrow \text{nat-lsb}$ **where**
karatsuba-mul-nat $x \ y = (\text{let } k = \text{next-power-of-2 } (\max (\text{length } x) (\text{length } y)) \text{ in } \text{karatsuba-on-power-of-2-length } k \ (\text{fill } k \ x) \ (\text{fill } k \ y))$

We verify the correctness of Karatsuba multiplication:

theorem *karatsuba-mul-nat-correct*: $\text{to-nat } (\text{karatsuba-mul-nat } x \ y) = \text{to-nat } x * \text{to-nat } y$
proof –
define k **where** $k = \text{next-power-of-2 } (\max (\text{length } x) (\text{length } y))$
then obtain l **where** $k = 2 \wedge l$ **using** *next-power-of-2-is-power-of-2* **by** *blast*
have $1: \text{to-nat } (\text{fill } k \ x) = \text{to-nat } x \ \text{to-nat } (\text{fill } k \ y) = \text{to-nat } y$ **by** *simp-all*
have $k \geq \text{length } x \ k \geq \text{length } y$
using *next-power-of-2-lower-bound[of max (length x) (length y)] k-def*
by *simp-all*
hence $\text{length } (\text{fill } k \ x) = k \ \text{length } (\text{fill } k \ y) = k$ **using** *length-fill* **by** *simp-all*
show *?thesis* **unfolding** *k-def[symmetric] karatsuba-lower-bound-def*
using *karatsuba-on-power-of-2-length-correct[OF <k = 2 ^ l> <length (fill k x) = k> <length (fill k y) = k>]*
by (*simp only: karatsuba-mul-nat.simps Let-def k-def[symmetric] to-nat-fill*)
qed

lemma *length-karatsuba-mul-nat-le*: $\text{length } (\text{karatsuba-mul-nat } x \ y) \leq 12 * \max (\text{length } x) (\text{length } y) + (6 + 2 * \text{karatsuba-lower-bound})$

proof –
let $?m = \max (\text{length } x) (\text{length } y)$
define k **where** $k = \text{next-power-of-2 } ?m$
then obtain l **where** $k = 2 \wedge l$ **using** *next-power-of-2-is-power-of-2* **by** *auto*
from *k-def* **have** $?m \leq k$ **using** *next-power-of-2-lower-bound* **by** *simp*
from *k-def* **have** $\text{karatsuba-mul-nat } x \ y = \text{karatsuba-on-power-of-2-length } k \ (\text{fill } k \ x) \ (\text{fill } k \ y)$
unfolding *karatsuba-mul-nat.simps Let-def* **by** *argo*
also have $\text{length } \dots \leq 6 * k + 2 * \text{karatsuba-lower-bound}$
apply (*intro length-karatsuba-on-power-of-2-length-le[OF <k = 2 ^ l>] length-fill*)

```

    subgoal using ⟨?m ≤ k⟩ by simp
    subgoal using ⟨?m ≤ k⟩ by simp
    done
    also have ... ≤ 6 * (2 * ?m + 1) + 2 * karatsuba-lower-bound
    apply (intro add-mono mult-le-mono order.refl)
    unfolding k-def by (rule next-power-of-2-upper-bound)
    also have ... = 12 * ?m + (6 + 2 * karatsuba-lower-bound)
    by simp
    finally show ?thesis .
qed

```

Formally, we only implemented Karatsuba multiplication on natural numbers (not all integers). However, this does not really matter, as the multiplication can just be lifted to the integers. This lifting has already been done on other types, but for the sake of completeness we will just add it here as well:

```

fun karatsuba-mul-int where
  karatsuba-mul-int x y = nat-mul-to-int-mul karatsuba-mul-nat x y

corollary karatsuba-mul-int-correct:
  to-int (karatsuba-mul-int x y) = to-int x * to-int y
  using nat-mul-to-int-mul-correct[of karatsuba-mul-nat] karatsuba-mul-nat-correct
  by (metis karatsuba-mul-int.simps surj-pair)

end

```

12 Running Time of Karatsuba Multiplication

```

theory Karatsuba-TM
  imports Karatsuba ../Binary-Representations/Nat-LSBF-TM
  ../Estimation-Method
begin

```

This theory contains a time monad version of Karatsuba multiplication, which is used to verify the asymptotic running time of $\mathcal{O}(n^{\log_2 3})$.

```

definition abs-diff-tm :: nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf tm where
  abs-diff-tm xs ys =1 do {
    r1 ← xs -nt ys;
    r2 ← ys -nt xs;
    r1 +nt r2
  }

```

```

lemma val-abs-diff-tm[simp, val-simp]: val (abs-diff-tm xs ys) = abs-diff xs ys
  by (simp add: abs-diff-tm-def abs-diff-def)

```

```

lemma time-abs-diff-tm-le: time (abs-diff-tm xs ys) ≤ 62 * max (length xs) (length
  ys) + 100
proof -

```

have $time (abs\text{-}diff\text{-}tm\ x\ y) \leq time (x\ -_{nt}\ y) + time (y\ -_{nt}\ x) +$
 $time ((x\ -_n\ y) +_{nt}\ (y\ -_n\ x)) + 1$
by (*simp add: abs-diff-tm-def*)
also have $\dots \leq 62 * max (length\ x)\ (length\ y) + 100$
apply (*estimation estimate: time-subtract-nat-tm-le*)
apply (*estimation estimate: time-subtract-nat-tm-le*)
apply (*estimation estimate: time-add-nat-tm-le*)
using *length-subtract-nat-le[of x y]* *length-subtract-nat-le[of y x]*
by *linarith*
finally show *?thesis* .
qed

context *karatsuba-context*
begin

definition *fill-abs-diff-x* **where** $fill\text{-}abs\text{-}diff\text{-}x = fill\ k\text{-}div\text{-}2\ (abs\text{-}diff\ x\ 0\ x)$
definition *fill-abs-diff-y* **where** $fill\text{-}abs\text{-}diff\text{-}y = fill\ k\text{-}div\text{-}2\ (abs\text{-}diff\ y\ 0\ y)$
definition *sgnx* **where** $sgnx = (x\ 1 \leq_n\ x\ 0)$
definition *sgny* **where** $sgny = (y\ 1 \leq_n\ y\ 0)$
definition *sgnxy* **where** $sgnxy = (sgnx = sgny)$
definition *r'* **where** $r' = (if\ sgnxy\ then\ add01\ -_n\ prod2\ else\ add01\ +_n\ prod2)$
definition *sr* **where** $sr = r\ >>_n\ k\text{-}div\text{-}2$
definition *add0sr* **where** $add0sr = prod0\ +_n\ sr$
definition *s1* **where** $s1 = prod1\ >>_n\ k$

lemma *r-r'*: $r = r'$
unfolding *r-def r'-def sgnxy-def sgnx-def sgny-def* **by** *argo*

lemmas *defs3* = *fill-abs-diff-x-def fill-abs-diff-y-def sgnx-def sgny-def sgnxy-def r-r'*
r'-def sr-def add0sr-def s1-def

end

lemma *add-nat-carry-aux*:
assumes $length\ x \leq k$
assumes $length\ y \leq k$
assumes $length\ (x\ +_n\ y) = k + 1$
shows $max (length\ x)\ (length\ y) = k\ Nat\text{-}LSBF.to\text{-}nat\ x + Nat\text{-}LSBF.to\text{-}nat\ y$
 $\geq 2^k$
proof –
have $length\ x = k \vee length\ y = k$
proof (*rule ccontr*)
assume $\neg (length\ x = k \vee length\ y = k)$
then have $max (length\ x)\ (length\ y) < k$ **using** *assms* **by** *simp*
then have $length\ (add\text{-}nat\ x\ y) < k + 1$ **using** *length-add-nat-upper[of x y]*
by *linarith*
then show *False* **using** *assms* **by** *simp*
qed
then show $max (length\ x)\ (length\ y) = k$ **using** *assms* **by** *linarith*


```

then obtain  $z$  where  $\text{add-nat } x \ y = z$  @ [True]
using add-nat-last-bit-True assms by blast
from this[symmetric] have  $\text{Nat-LSBF.to-nat } x + \text{Nat-LSBF.to-nat } y \geq 2^{\text{length } z}$ 
using add-nat-correct[of  $x \ y$ ] to-nat-length-lower-bound[of  $z$ ] by argo
also have  $2^{\text{length } z} = 2^k$  using  $\langle \text{add-nat } x \ y = z \text{ @ [True]} \rangle$  assms by simp
finally show  $\text{Nat-LSBF.to-nat } x + \text{Nat-LSBF.to-nat } y \geq 2^k$  by simp
qed

context begin

private fun  $f$  where
 $f \ k = (\text{if } k \leq \text{karatsuba-lower-bound} \text{ then } 2 * k \text{ else } f (k \ \text{div } 2) + k + 4)$ 

declare  $f.\text{simps}$ [simp del]

private lemma f-linear:  $f \ k \leq 6 * k$ 
apply (induction k rule: f.induct)
subgoal for  $k$ 
apply (cases k ≤ karatsuba-lower-bound)
subgoal by (simp add: f.simps[of  $k$ ])
subgoal premises prems
proof (cases k ≥ 5)
case True
then show ?thesis using prems unfolding  $f.\text{simps}$ [of  $k$ ] by simp
next
case False
then consider  $k = 2 \mid k = 3 \mid k = 4$  using prems karatsuba-lower-bound-requirement
by linarith
then show ?thesis using prems unfolding  $f.\text{simps}$ [of  $k$ ] by fastforce
qed
done
done

private lemma f-bound:
assumes  $k = 2^l$ 
assumes  $\text{length } x = k$ 
assumes  $\text{length } y = k$ 
shows  $\text{length } (\text{karatsuba-on-power-of-2-length } k \ x \ y) \leq f \ k$ 
using assms
proof (induction k x y arbitrary: l rule: karatsuba-on-power-of-2-length.induct)
case ( $1 \ k \ x \ y$ )
show ?case
proof (cases k ≤ karatsuba-lower-bound)
case True
then show ?thesis unfolding karatsuba-on-power-of-2-length.simps[of  $k \ x \ y$ ]
using length-grid-mul-nat[of  $x \ y$ ] 1.prems  $f.\text{simps}$ [of  $k$ ] by simp
next
case False

```

```

then interpret r : karatsuba-context k l x y
  using 1.prem1 by (unfold-locales; simp)
have len0: length r.prod0 ≤ f (k div 2)
  unfolding r.prod0-def r.k-div-2-def[symmetric]
  by (intro 1(1)[OF r.IH-prems1])
have len1: length r.prod1 ≤ f (k div 2)
  unfolding r.prod1-def r.k-div-2-def[symmetric]
  by (intro 1(2)[OF r.IH-prems2])
have len2: length r.prod2 ≤ f (k div 2)
  unfolding r.prod2-def r.k-div-2-def[symmetric]
  by (intro 1(3)[OF r.IH-prems3])

have len-p01: length (r.prod0 +n r.prod1) ≤ f (k div 2) + 1
  using length-add-nat-upper[of r.prod0 r.prod1] len0 len1 by linarith
then have length (r.prod0 +n r.prod1 +n r.prod2) ≤ f (k div 2) + 2
  using length-add-nat-upper[of r.prod0 +n r.prod1 r.prod2] len2 by linarith
moreover have length (r.prod0 +n r.prod1 -n r.prod2) ≤ f (k div 2) + 1
  using length-subtract-nat-le[of r.prod0 +n r.prod1 r.prod2] len-p01 len2
  by linarith
ultimately have lenif: length (if r.sgnxy then r.prod0 +n r.prod1 -n r.prod2
  else r.prod0 +n r.prod1 +n r.prod2) ≤ f (k div 2) + 2 (is length ?if ≤
-)
  by simp

have length (karatsuba-on-power-of-2-length k x y) ≤ max (r.k-div-2 + f (k div
2)) (k + f (k div 2)) + 4
  unfolding r.recursive
  apply (estimation estimate: length-add-nat-upper)
  apply (subst length-shift-right)
  apply (estimation estimate: length-add-nat-upper)
  apply (subst length-shift-right)
  unfolding r.r-def r.add01-def
  apply (subst if-distrib[of length])
  apply (estimation estimate: length-add-nat-upper)
  apply (estimation estimate: length-subtract-nat-le)
  apply (estimation estimate: length-add-nat-upper)
  apply (estimation estimate: len0)
  apply (estimation estimate: len1)
  apply (estimation estimate: len2)
  by auto
also have ... = k + f (k div 2) + 4
  using r.k-div-2-less-k by simp
finally show ?thesis unfolding f.simps[of k] using False by simp
qed
qed

lemma length-karatsuba-on-power-of-2-length:
  assumes k = 2 ^ l
  assumes length x = k

```

```

assumes length y = k
shows length (karatsuba-on-power-of-2-length k x y) ≤ 6 * k
using f-bound[OF assms] f-linear[of k] by simp

end

function karatsuba-on-power-of-2-length-tm :: nat ⇒ nat-lsbf ⇒ nat-lsbf ⇒ nat-lsbf
tm where
karatsuba-on-power-of-2-length-tm k xs ys = 1 do {
  b ← k ≤t karatsuba-lower-bound;
  (if b then grid-mul-nat-tm xs ys else do {
    (x0, x1) ← split-tm xs;
    (y0, y1) ← split-tm ys;
    k-div-2 ← k divt 2;
    prod0 ← karatsuba-on-power-of-2-length-tm k-div-2 x0 y0;
    prod1 ← karatsuba-on-power-of-2-length-tm k-div-2 x1 y1;
    abs-diff-x ← (abs-diff-tm x0 x1 ≫≡ fill-tm k-div-2);
    abs-diff-y ← (abs-diff-tm y0 y1 ≫≡ fill-tm k-div-2);
    prod2 ← karatsuba-on-power-of-2-length-tm k-div-2 abs-diff-x abs-diff-y;
    sgnx ← x1 ≤nt x0;
    sgny ← y1 ≤nt y0;
    sgnxy ← sgnx =t sgny;
    — construct return value
    add01 ← prod0 +nt prod1;
    r ← (if sgnxy then add01 -nt prod2 else add01 +nt prod2);
    sr ← r >>nt k-div-2;
    add0sr ← prod0 +nt sr;
    s1 ← prod1 >>nt k;
    add0sr +nt s1
  })
}
by pat-completeness simp
termination
by (relation Wellfounded.measure (λp. size (fst p))) simp-all

declare karatsuba-on-power-of-2-length-tm.simps[simp del]

lemma val-karatsuba-on-power-of-2-length-tm[simp, val-simp]:
assumes k = 2 ^ l
assumes length xs = k length ys = k
shows val (karatsuba-on-power-of-2-length-tm k xs ys) = karatsuba-on-power-of-2-length
k xs ys
using assms proof (induction k arbitrary: l xs ys rule: less-induct)
case (less k)
show ?case
proof (cases k ≤ karatsuba-lower-bound)
case True
then show ?thesis
unfolding karatsuba-on-power-of-2-length-tm.simps[of k xs ys]

```

```

    karatsuba-on-power-of-2-length.simps[of k xs ys]
    val-bind-tm val-less-eq-nat-tm val-simps val-grid-mul-nat-tm
  by simp
next
case False
interpret r: karatsuba-context k l xs ys
using less False by unfold-locales simp-all
have val0: val (karatsuba-on-power-of-2-length-tm r.k-div-2 r.x0 r.y0) = r.prod0
  unfolding r.prod0-def
  by (intro less.IH[OF r.k-div-2-less-k r.k-div-2 r.length-x-split(1) r.length-y-split(1)])
have val1: val (karatsuba-on-power-of-2-length-tm r.k-div-2 r.x1 r.y1) = r.prod1
  unfolding r.prod1-def
  by (intro less.IH[OF r.k-div-2-less-k r.k-div-2 r.length-x-split(2) r.length-y-split(2)])
have val2: val (karatsuba-on-power-of-2-length-tm r.k-div-2 r.fill-abs-diff-x r.fill-abs-diff-y)
= r.prod2
  unfolding r.prod2-def r.fill-abs-diff-x-def[symmetric] r.fill-abs-diff-y-def[symmetric]
  apply (intro less.IH[OF r.k-div-2-less-k r.k-div-2])
  subgoal unfolding r.fill-abs-diff-x-def by (rule r.length-fill-abs-diff-x0-x1)
  subgoal unfolding r.fill-abs-diff-y-def by (rule r.length-fill-abs-diff-y0-y1)
  done
have val (karatsuba-on-power-of-2-length-tm k xs ys) = r.add0sr +n r.s1
  unfolding karatsuba-on-power-of-2-length-tm.simps[of k xs ys]
  val-bind-tm val-less-eq-nat-tm val-simps val-split-tm r.split-x r.split-y
  val-divide-nat-tm val-abs-diff-tm val-fill-tm r.k-div-2-def[symmetric]
  val-compare-nat-tm val-subtract-nat-tm val-add-nat-tm val-equal-bool-tm val-shift-right-tm
  Let-def Product-Type.prod.case r.defs2[symmetric] r.defs3[symmetric] val0
val1 val2
  using False by argo
also have ... = karatsuba-on-power-of-2-length k xs ys
  using r.recursive
  unfolding karatsuba-on-power-of-2-length.simps[of k xs ys]
  Let-def r.split-x r.split-y Product-Type.prod.case r.defs2[symmetric] r.defs3[symmetric]
by argo
  finally show ?thesis .
qed
qed

fun h where
h k = (if k ≤ karatsuba-lower-bound then 2 * k + 8 * k * k + 3
  else 407 + 224 * k + 3 * h (k div 2))
declare h.simps[simp del]

lemma time-karatsuba-on-power-of-2-length-tm-le-h:
  assumes k = 2 ^ l
  assumes length xs = k length ys = k
  shows time (karatsuba-on-power-of-2-length-tm k xs ys) ≤ h k
using assms proof (induction k arbitrary: xs ys l rule: less-induct)
  case (less k)
  show ?case

```

```

proof (cases k ≤ karatsuba-lower-bound)
  case True
  then have time (karatsuba-on-power-of-2-length-tm k xs ys) ≤
    2 * k + 8 * length xs * max (length xs) (length ys) + 3
  unfolding karatsuba-on-power-of-2-length-tm.simps[of k xs ys]
  apply (simp add: time-less-eq-nat-tm)
  apply (subst Suc-eq-plus1)+
  apply (estimation estimate: time-grid-mul-nat-tm-le)
  apply (rule order.refl)
  done
  also have ... = 2 * k + 8 * k * k + 3 unfolding less(3) less(4) by simp
  finally show ?thesis unfolding h.simps[of k] using True by simp
next
  case False
  then interpret r: karatsuba-context k l xs ys
    by (unfold-locales; simp add: less)
  have val0: val (karatsuba-on-power-of-2-length-tm r.k-div-2 r.x0 r.y0) = r.prod0
    unfolding r.prod0-def
    by (intro val-karatsuba-on-power-of-2-length-tm[OF r.k-div-2 r.length-x-split(1)]
  r.length-y-split(1))
  have val1: val (karatsuba-on-power-of-2-length-tm r.k-div-2 r.x1 r.y1) = r.prod1
    unfolding r.prod1-def
    by (intro val-karatsuba-on-power-of-2-length-tm[OF r.k-div-2 r.length-x-split(2)]
  r.length-y-split(2))
  have val2: val (karatsuba-on-power-of-2-length-tm r.k-div-2 r.fill-abs-diff-x r.fill-abs-diff-y)
  = r.prod2
    unfolding r.prod2-def r.fill-abs-diff-x-def[symmetric] r.fill-abs-diff-y-def[symmetric]
    apply (intro val-karatsuba-on-power-of-2-length-tm[OF r.k-div-2])
    subgoal unfolding r.fill-abs-diff-x-def by (rule r.length-fill-abs-diff-x0-x1)
    subgoal unfolding r.fill-abs-diff-y-def by (rule r.length-fill-abs-diff-y0-y1)
    done

  have len0: length (r.prod0) ≤ 3 * k
    unfolding r.prod0-def
    apply (estimation estimate: length-karatsuba-on-power-of-2-length[OF r.k-div-2
  r.length-x-split(1) r.length-y-split(1)])
    unfolding r.k-div-2-def
    by simp
  have len1: length (r.prod1) ≤ 3 * k
    unfolding r.prod1-def
    apply (estimation estimate: length-karatsuba-on-power-of-2-length[OF r.k-div-2
  r.length-x-split(2) r.length-y-split(2)])
    unfolding r.k-div-2-def
    by simp
  have len2: length (r.prod2) ≤ 3 * k
    unfolding r.prod2-def
    apply (estimation estimate: length-karatsuba-on-power-of-2-length[OF r.k-div-2
  r.length-fill-abs-diff-x0-x1 r.length-fill-abs-diff-y0-y1])
    unfolding r.k-div-2-def

```

```

    by simp

have len01: length r.add01 ≤ 3 * k + 1
  unfolding r.add01-def
  apply (estimation estimate: length-add-nat-upper)
  apply (estimation estimate: len0)
  apply (estimation estimate: len1)
  by simp
have lenr: length r.r ≤ 3 * k + 2
  unfolding r.r-def if-distrib[of length]
  apply (estimation estimate: length-subtract-nat-le)
  apply (estimation estimate: length-add-nat-upper)
  apply (estimation estimate: len01)
  apply (estimation estimate: len2)
  by simp
have lensr: length r.sr ≤ r.k-div-2 + 3 * k + 2
  unfolding r.sr-def
  apply (subst length-shift-right)
  apply (estimation estimate: lenr)
  by simp
have len0sr: length r.add0sr ≤ r.k-div-2 + 3 * k + 3
  unfolding r.add0sr-def
  apply (estimation estimate: length-add-nat-upper)
  apply (estimation estimate: len0)
  apply (estimation estimate: lensr)
  by simp
have lens1: length r.s1 ≤ 4 * k
  unfolding r.s1-def
  apply (subst length-shift-right)
  apply (estimation estimate: len1)
  by simp

  have time0: time (karatsuba-on-power-of-2-length-tm r.k-div-2 r.x0 r.y0) ≤ h
r.k-div-2
  by (intro less.IH[OF r.k-div-2-less-k r.k-div-2 r.length-x-split(1) r.length-y-split(1)])
  have time1: time (karatsuba-on-power-of-2-length-tm r.k-div-2 r.x1 r.y1) ≤ h
r.k-div-2
  by (intro less.IH[OF r.k-div-2-less-k r.k-div-2 r.length-x-split(2) r.length-y-split(2)])
  have time2: time (karatsuba-on-power-of-2-length-tm r.k-div-2 r.fill-abs-diff-x
r.fill-abs-diff-y) ≤ h r.k-div-2
  apply (intro less.IH[OF r.k-div-2-less-k r.k-div-2])
  subgoal unfolding r.fill-abs-diff-x-def using r.length-fill-abs-diff-x0-x1 by
assumption
  subgoal unfolding r.fill-abs-diff-y-def using r.length-fill-abs-diff-y0-y1 by
assumption
  done

have time (karatsuba-on-power-of-2-length-tm k xs ys) =
  time (k ≤t karatsuba-lower-bound) +

```

time (split-tm xs) +
time (split-tm ys) +
time (k div_t 2) +
time (karatsuba-on-power-of-2-length-tm r.k-div-2 r.x0 r.y0) +
time (karatsuba-on-power-of-2-length-tm r.k-div-2 r.x1 r.y1) +
time (abs-diff-tm r.x0 r.x1) + time (fill-tm r.k-div-2 (abs-diff r.x0 r.x1)) +
time (abs-diff-tm r.y0 r.y1) + time (fill-tm r.k-div-2 (abs-diff r.y0 r.y1)) +
time (karatsuba-on-power-of-2-length-tm r.k-div-2 r.fill-abs-diff-x r.fill-abs-diff-y)

+

time (r.x1 ≤_{nt} r.x0) +
time (r.y1 ≤_{nt} r.y0) +
time (r.sgnx =_t r.sgny) +
time (add-nat-tm r.prod0 r.prod1) +
(if r.sgnxy then time (r.add01 -_{nt} r.prod2)
else time (r.add01 +_{nt} r.prod2)) +
time (r.r >>_{nt} r.k-div-2) +
time (r.prod0 +_{nt} r.sr) +
time (r.prod1 >>_{nt} k) +
time (r.add0sr +_{nt} r.s1) + 1

unfolding *karatsuba-on-power-of-2-length-tm.simps*[of k xs ys]

tm-time-simps if-distrib[of time] *val-less-eq-nat-tm val-split-tm r.defs1*

Product-Type.prod.case val-divide-nat-tm r.defs2[symmetric] *r.defs3*[symmetric]

val-abs-diff-tm val-simps val-fill-tm val-karatsuba-on-power-of-2-length-tm

val-compare-nat-tm Let-def val0 val1 val2 val-add-nat-tm val-equal-bool-tm

val-subtract-nat-tm

by (*auto simp: False r.defs2*[symmetric] *r.defs3*[symmetric])

also have $\dots \leq 2 * k + 2 +$

$(10 * k + 16) + (10 * k + 16) +$

$(8 * k + 11) +$

$h (k \text{ div } 2) +$

$h (k \text{ div } 2) +$

$(31 * k + 100) +$

$(2 * k + 5) +$

$(31 * k + 100) +$

$(2 * k + 5) +$

$h (k \text{ div } 2) +$

$(7 * k + 23) +$

$(7 * k + 23) +$

$2 +$

$(6 * k + 3) +$

$(90 * k + 78) +$

$(k + 3) +$

$(7 * k + 7) +$

$(2 * k + 3) +$

$(8 * k + 9) +$

1

apply (*intro add-mono*)

subgoal by (*estimation estimate: time-less-eq-nat-tm-le*) *simp*

subgoal by (*estimation estimate: time-split-tm-le*) (*simp add: less*)

subgoal by (*estimation estimate: time-split-tm-le*) (*simp add: less*)
 subgoal by (*estimation estimate: time-divide-nat-tm-le*) *simp*
 subgoal by (*estimation estimate: time0*) (*simp add: r.k-div-2-def*)
 subgoal by (*estimation estimate: time1*) (*simp add: r.k-div-2-def*)
 subgoal apply (*estimation estimate: time-abs-diff-tm-le*) **unfolding** *r.length-x-split*
r.k-div-2-def by *simp*
 subgoal apply (*estimation estimate: time-fill-tm-le*) **using** *r.length-abs-diff-x0-x1*
r.k-div-2-def by *simp*
 subgoal apply (*estimation estimate: time-abs-diff-tm-le*) **unfolding** *r.length-y-split*
r.k-div-2-def by *simp*
 subgoal apply (*estimation estimate: time-fill-tm-le*) **using** *r.length-abs-diff-y0-y1*
r.k-div-2-def by *simp*
 subgoal by (*estimation estimate: time2*) (*simp add: r.k-div-2-def*)
 subgoal apply (*estimation estimate: time-compare-nat-tm-le*) **using** *r.length-x-split*
r.k-div-2-def by *simp*
 subgoal apply (*estimation estimate: time-compare-nat-tm-le*) **using** *r.length-y-split*
r.k-div-2-def by *simp*
 subgoal **using** *time-equal-bool-tm-le* by *simp*
 subgoal
 apply (*estimation estimate: time-add-nat-tm-le*)
 apply (*estimation estimate: len0*)
 apply (*estimation estimate: len1*)
 by *simp*
 subgoal
 apply (*estimation estimate: time-subtract-nat-tm-le*)
 apply (*estimation estimate: time-add-nat-tm-le*)
 apply (*estimation estimate: len01*)
 apply (*estimation estimate: len2*)
 by *simp*
 subgoal **using** *r.k-div-2-def* by *simp*
 subgoal
 apply (*estimation estimate: time-add-nat-tm-le*)
 apply (*estimation estimate: len0*)
 apply (*estimation estimate: lensr*)
using *r.k-div-2-def* by *simp*
 subgoal by *simp*
 subgoal
 apply (*estimation estimate: time-add-nat-tm-le*)
 apply (*estimation estimate: len0sr*)
 apply (*estimation estimate: lens1*)
using *r.k-div-2-less-k* by *presburger*
 subgoal by *simp*
 done
 also have ... = $407 + 224 * k + 3 * h (k \text{ div } 2)$
 by *simp*
 finally show ?thesis **unfolding** *h.simps[of k]* **using** *False* by *simp*
 qed
 qed

lemma *n-div-2*: $n \text{ div } 2 = \text{nat } \lfloor \text{real } n / 2 \rfloor$
by *linarith*

function *h-real* :: $\text{nat} \Rightarrow \text{real}$ **where**
 $x \leq \text{karatsuba-lower-bound} \Rightarrow \text{h-real } x = 8 * x * x + 2 * x + 3$
 $| x > \text{karatsuba-lower-bound} \Rightarrow \text{h-real } x = 407 + 224 * x + 3 * \text{h-real } (\text{nat } (\lfloor \text{real } x / 2 \rfloor))$
by *force simp-all*
termination
by (*relation Wellfounded.measure* ($\lambda x. x$)) (*simp-all add: n-div-2[symmetric]*)

lemma *h-h-real*: $\text{real } (h \ k) = \text{h-real } k$
apply (*induction k rule: h.induct*)
subgoal for *k*
apply (*cases k ≤ karatsuba-lower-bound*)
by (*simp-all add: h-real.simps[of k] h.simps[of k] n-div-2 del: h-real.simps*)
done

lemma *h-real-bigo*: $\text{h-real} \in O(\lambda n. \text{real } n \text{ powr } \log 2 \ 3)$
by (*master-theorem 1 p': 1*) (*auto simp: powr-divide*)

definition *karatsuba-mul-nat-tm* :: $\text{nat-lsbf} \Rightarrow \text{nat-lsbf} \Rightarrow \text{nat-lsbf } \text{tm}$ **where**
karatsuba-mul-nat-tm xs ys = 1 **do** {
lenx ← *length-tm xs*;
leny ← *length-tm ys*;
k ← *max-nat-tm lenx leny* \gg *next-power-of-2-tm*;
fillx ← *fill-tm k xs*;
filly ← *fill-tm k ys*;
karatsuba-on-power-of-2-length-tm k fillx filly
}

lemma *val-karatsuba-mul-nat-tm[simp, val-simp]*: $\text{val } (\text{karatsuba-mul-nat-tm } xs \ ys) = \text{karatsuba-mul-nat } xs \ ys$
proof –
define *k* **where** $k = \text{next-power-of-2 } (\max (\text{length } xs) (\text{length } ys))$
then obtain *l* **where** $k = 2 \wedge l$ **using** *next-power-of-2-is-power-of-2* **by** *auto*
have $\text{val } (\text{karatsuba-on-power-of-2-length-tm } k (\text{fill } k \ xs) (\text{fill } k \ ys)) = \text{karatsuba-on-power-of-2-length } k (\text{fill } k \ xs) (\text{fill } k \ ys)$
apply (*intro val-karatsuba-on-power-of-2-length-tm[OF <k = 2 ^ l>]*)
unfolding *k-def* **using** *next-power-of-2-lower-bound*[*of max (length xs) (length ys)*] **by** *auto*
then show *?thesis*
unfolding *karatsuba-mul-nat-tm-def karatsuba-mul-nat.simps val-simp Let-def k-def* .
qed

definition *time-karatsuba-mul-nat-bound* **where**
 $\text{time-karatsuba-mul-nat-bound } m = 53 + 218 * (\text{next-power-of-2 } m) + h (\text{next-power-of-2 } m)$

The following two lemmas are one way to formally express the more informal statement "Karatsuba Multiplication needs $\mathcal{O}(n^{\log_2 3})$ bit operations for input numbers of length n ".

theorem *time-karatsuba-mul-nat-tm-le*:
assumes $\max (\text{length } xs) (\text{length } ys) = m$
shows $\text{time } (\text{karatsuba-mul-nat-tm } xs \ ys) \leq \text{time-karatsuba-mul-nat-bound } m$
proof –
define k **where** $k = \text{next-power-of-2 } m$
then obtain l **where** $k = 2 \wedge l$ **using** *next-power-of-2-is-power-of-2* **by** *auto*
have $\text{lens: length } xs \leq k \ \text{length } ys \leq k$
using *assms next-power-of-2-lower-bound[of m] k-def* **by** *simp-all*
have $\text{time } (\text{karatsuba-mul-nat-tm } xs \ ys) =$
 $\text{time } (\text{length-tm } xs) +$
 $\text{time } (\text{length-tm } ys) +$
 $\text{time } (\text{max-nat-tm } (\text{length } xs) (\text{length } ys)) +$
 $\text{time } (\text{next-power-of-2-tm } (\text{max } (\text{length } xs) (\text{length } ys))) +$
 $\text{time } (\text{fill-tm } k \ xs) +$
 $\text{time } (\text{fill-tm } k \ ys) +$
 $\text{time } (\text{karatsuba-on-power-of-2-length-tm } k \ (\text{fill } k \ xs) \ (\text{fill } k \ ys)) + 1$
unfolding *karatsuba-mul-nat-tm-def tm-time-simps val-simp Let-def*
assms k-def[symmetric] **by** *presburger*
also have $\dots \leq$
 $(k + 1) + (k + 1) + (2 * k + 3) +$
 $(208 * k + 37) +$
 $(3 * k + 5) +$
 $(3 * k + 5) +$
 $h \ k +$
 1
apply (*intro add-mono order.refl*)
subgoal by (*simp add: lens*)
subgoal by (*simp add: lens*)
subgoal apply (*estimation estimate: time-max-nat-tm-le*) **using** *lens* **by** *simp*
subgoal apply (*estimation estimate: time-next-power-of-2-tm-le*) **using** *lens*
by *simp*
subgoal apply (*estimation estimate: time-fill-tm-le*) **using** *lens* **by** *simp*
subgoal apply (*estimation estimate: time-fill-tm-le*) **using** *lens* **by** *simp*
subgoal apply (*intro time-karatsuba-on-power-of-2-length-tm-le-h[OF k = 2*
 $\wedge l]$) **using** *lens*
by *auto*
done
also have $\dots = 53 + 218 * k + h \ k$ **by** *simp*
finally show *?thesis unfolding k-def time-karatsuba-mul-nat-bound-def[symmetric]*
qed

theorem *time-karatsuba-mul-nat-bound-bigo*: $\text{time-karatsuba-mul-nat-bound} \in O(\lambda m. m \text{ powr } \log 2 \ 3)$

proof –
define t **where** $t = (\lambda m. \text{real } (53 + 218 * m + h \ m))$

```

then have time-karatsuba-mul-nat-bound = t ∘ next-power-of-2
  unfolding time-karatsuba-mul-nat-bound-def by auto
also have ... ∈ O(λm. m powr log 2 3)
  apply (intro powr-bigo-linear-index-transformation)
subgoal
proof -
  have (λx. real (next-power-of-2 x)) ∈ O(λx. real (2 * x + 1))
    apply (intro landau-mono-always)
    using next-power-of-2-upper-bound' real-mono by simp-all
  moreover have (λx. real (2 * x + 1)) ∈ O(real) by auto
  ultimately show (λx. real (next-power-of-2 x)) ∈ O(real)
    using landau-o.big.trans by blast
qed
subgoal unfolding t-def real-linear real-multiplicative h-h-real
  apply (intro sum-in-bigo)
  subgoal by auto
  subgoal by auto
  subgoal using h-real-bigo .
  done
subgoal by auto
done
finally show ?thesis .
qed
end

```

13 Code Generation

```

theory Karatsuba-Code-Nat
  imports Main HOL-Library.Code-Binary-Nat Karatsuba
begin

```

In this theory, the Karatsuba Multiplication implemented in *Karatsuba* is used for code generation. This is not really practical (except beginning at 3000 decimal digits), but merely a nice gimmick.

```

fun from-numeral :: num ⇒ nat-lsbf where
  from-numeral num.One = [True]
| from-numeral (num.Bit0 x) = False # from-numeral x
| from-numeral (num.Bit1 x) = True # from-numeral x

```

```

lemma from-numeral-nonempty: from-numeral x ≠ []
  by (induction x rule: from-numeral.induct; simp)

```

```

lemma from-numeral-truncated: truncated (from-numeral x)
  unfolding truncated-iff
  by (induction x rule: from-numeral.induct; simp add: from-numeral-nonempty)

```

```

lemma to-nat-from-numeral-neq-zero: to-nat (from-numeral x) ≠ 0

```

```

using to-nat-zero-iff from-numeral-truncated from-numeral-nonempty by simp

fun to-numeral-of-truncated :: nat-lsbf  $\Rightarrow$  num where
to-numeral-of-truncated [] = num.One
| to-numeral-of-truncated [True] = num.One
| to-numeral-of-truncated (True # xs) = num.Bit1 (to-numeral-of-truncated xs)
| to-numeral-of-truncated (False # xs) = num.Bit0 (to-numeral-of-truncated xs)

lemma to-numeral-of-truncated-from-numeral:
to-numeral-of-truncated (from-numeral x) = x
apply (induction x)
subgoal by simp
subgoal by simp
subgoal for x by (cases from-numeral x; simp)
done

lemma nat-of-num-to-numeral-of-truncated:
assumes truncated xs
assumes xs  $\neq$  []
shows nat-of-num (to-numeral-of-truncated xs) = to-nat xs
using assms proof (induction xs rule: to-numeral-of-truncated.induct)
case 1
then show ?case by blast
next
case 2
then show ?case by simp
next
case (3 v va)
note truncated-Cons-imp-truncated-tl[OF 3.prem(1)]
from 3.IH[OF this] show ?case by simp
next
case (4 xs)
from 4.prem(1) have xs  $\neq$  []
apply (intro ccontr[of xs  $\neq$  []])
by (simp add: truncated-iff)
note truncated-Cons-imp-truncated-tl[OF 4.prem(1)]
from 4.IH[OF this  $\langle$ xs  $\neq$  [] $\rangle$ ] show ?case by simp
qed

definition to-numeral :: nat-lsbf  $\Rightarrow$  num where
to-numeral xs = (let xs' = Nat-LSBF.truncate xs in to-numeral-of-truncated xs')

lemma to-numeral-from-numeral: to-numeral (from-numeral x) = x
unfolding to-numeral-def Let-def
using from-numeral-truncated to-numeral-of-truncated-from-numeral
by simp

lemma nat-of-num-to-numeral:
assumes to-nat xs  $\neq$  0

```

```

shows nat-of-num (to-numeral xs) = to-nat xs
unfolding to-numeral-def Let-def
using assms nat-of-num-to-numeral-of-truncated[of truncate xs, OF truncate-truncate]
unfolding nat-lsbf.to-f-elem
using to-nat-zero-iff
by simp

lemma l0:
assumes truncated xs
shows to-numeral-of-truncated xs = num-of-nat (to-nat xs)
using assms
by (metis nat-of-num-inverse nat-of-num-to-numeral-of-truncated num-of-nat.simps(1)
to-nat.simps(1) to-numeral-of-truncated.simps(1))

lemma l1: to-numeral xs = num-of-nat (to-nat xs)
unfolding to-numeral-def Let-def
using l0[of truncate xs] truncate-truncate[of xs] nat-lsbf.to-f-elem
by simp

lemma l2: to-nat (from-numeral x) = nat-of-num x
by (metis nat-of-num-to-numeral to-nat-from-numeral-neq-zero to-numeral-from-numeral)

lemma[code]:
(x::num) * y = to-numeral (karatsuba-mul-nat (from-numeral x) (from-numeral
y))
unfolding l1 karatsuba-mul-nat-correct l2 times-num-def by (rule refl)

end

```

References

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