

Interpreter_Optimizations

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Abstract

This Isabelle/HOL formalization builds on the `VeriComp` entry of the *Archive of Formal Proofs* to provide the following contributions:

- an operational semantics for a realistic virtual machine (`Std`) for dynamically typed programming languages;
- the formalization of an inline caching optimization (`Inca`), a proof of bisimulation with (`Std`), and a compilation function;
- the formalization of an unboxing optimization (`Ubx`), a proof of bisimulation with (`Inca`), and a simple compilation function.

This formalization was described in [1].

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```

theory Env
  imports Main HOL-Library.Library
begin

```

1 Generic lemmas

```

lemma map-of-list-allI:
  assumes  $\bigwedge k v. f k = \text{Some } v \implies P (k, v)$  and
     $\bigwedge k v. \text{map-of } kvs k = \text{Some } v \implies f k = \text{Some } v$  and
    distinct (map fst kvs)
  shows list-all P kvs
  <proof>

```

2 Environment

```

locale env =

```

```

fixes
  empty :: 'env and
  get :: 'env  $\Rightarrow$  'key  $\Rightarrow$  'val option and
  add :: 'env  $\Rightarrow$  'key  $\Rightarrow$  'val  $\Rightarrow$  'env and
  to-list :: 'env  $\Rightarrow$  ('key  $\times$  'val) list
assumes
  get-empty: get empty x = None and
  get-add-eq: get (add e x v) x = Some v and
  get-add-neq: x  $\neq$  y  $\implies$  get (add e x v) y = get e y and
  to-list-correct: map-of (to-list e) = get e and
  to-list-distinct: distinct (map fst (to-list e))

begin

declare get-empty[simp]
declare get-add-eq[simp]
declare get-add-neq[simp]

definition singleton where
  singleton  $\equiv$  add empty

fun add-list :: 'env  $\Rightarrow$  ('key  $\times$  'val) list  $\Rightarrow$  'env where
  add-list e [] = e |
  add-list e (x # xs) = add (add-list e xs) (fst x) (snd x)

definition from-list :: ('key  $\times$  'val) list  $\Rightarrow$  'env where
  from-list  $\equiv$  add-list empty

lemma from-list-correct: get (from-list xs) = map-of xs
  <proof>

lemma from-list-Nil[simp]: from-list [] = empty
  <proof>

lemma get-from-list-to-list: get (from-list (to-list e)) = get e
  <proof>

lemma to-list-list-all:
  assumes  $\bigwedge k v. \text{get } e \ k = \text{Some } v \implies P \ (k, v)$ 
  shows list-all P (to-list e)
  <proof>

definition map-entry where
  map-entry e k f  $\equiv$  case get e k of None  $\Rightarrow$  e | Some v  $\Rightarrow$  add e k (f v)

lemma get-map-entry-eq[simp]: get (map-entry e k f) k = map-option f (get e k)
  <proof>

lemma get-map-entry-neq[simp]: x  $\neq$  y  $\implies$  get (map-entry e x f) y = get e y

```

<proof>

lemma *dom-map-entry[simp]*: $\text{dom } (\text{get } (\text{map-entry } e \ k \ f)) = \text{dom } (\text{get } e)$
<proof>

lemma *get-map-entry-conv*:
 $\text{get } (\text{map-entry } e \ x \ f) \ y = \text{map-option } (\lambda v. \text{if } x = y \text{ then } f \ v \ \text{else } \ v) (\text{get } e \ y)$
<proof>

lemma *map-option-comp-map-entry*:
assumes $\forall x \in \text{ran } (\text{get } e). f \ (g \ x) = f \ x$
shows $\text{map-option } f \circ \text{get } (\text{map-entry } e \ k \ g) = \text{map-option } f \circ \text{get } e$
<proof>

lemma *map-option-comp-get-add*:
assumes $k \in \text{dom } (\text{get } e)$ **and** $\forall x \in \text{ran } (\text{get } e). f \ v = f \ x$
shows $\text{map-option } f \circ \text{get } (\text{add } e \ k \ v) = \text{map-option } f \circ \text{get } e$
<proof>

end

end

theory *Env-list*

imports *Env HOL-Library.Library*

begin

2.1 Generic lemmas

lemma *map-of-filter*:
 $x \neq y \implies \text{map-of } (\text{filter } (\lambda z. \text{fst } z \neq y) \ zs) \ x = \text{map-of } \ zs \ x$
<proof>

2.2 List-based implementation of environment

context

begin

qualified type-synonym $(\text{'key}, \text{'val}) \ t = (\text{'key} \times \text{'val}) \ \text{list}$

qualified definition $\text{empty} :: (\text{'key}, \text{'val}) \ t \ \text{where}$
 $\text{empty} \equiv []$

qualified definition $\text{get} :: (\text{'key}, \text{'val}) \ t \Rightarrow \text{'key} \Rightarrow \text{'val} \ \text{option} \ \text{where}$
 $\text{get} \equiv \text{map-of}$

qualified definition $\text{add} :: (\text{'key}, \text{'val}) \ t \Rightarrow \text{'key} \Rightarrow \text{'val} \Rightarrow (\text{'key}, \text{'val}) \ t \ \text{where}$
 $\text{add } e \ k \ v \equiv \text{AList.update } k \ v \ e$

term *filter*

qualified fun *to-list* :: ('key, 'val) t ⇒ ('key × 'val) list **where**
to-list [] = [] |
to-list (x # xs) = x # *to-list* (filter (λ(k, v). k ≠ fst x) xs)

lemma *get-empty*: *get empty x = None*
 ⟨*proof*⟩

lemma *get-add-eq*: *get (add e x v) x = Some v*
 ⟨*proof*⟩

lemma *get-add-neg*: *x ≠ y ⇒ get (add e x v) y = get e y*
 ⟨*proof*⟩

lemma *to-list-correct*: *map-of (to-list e) = get e*
 ⟨*proof*⟩

lemma *set-to-list*: *set (to-list e) ⊆ set e*
 ⟨*proof*⟩

lemma *to-list-distinct*: *distinct (map fst (to-list e))*
 ⟨*proof*⟩

end

global-interpretation *env-list*:

env Env-list.empty Env-list.get Env-list.add Env-list.to-list

defines

singleton = env-list.singleton **and**

add-list = env-list.add-list **and**

from-list = env-list.from-list

⟨*proof*⟩

export-code *Env-list.empty Env-list.get Env-list.add Env-list.to-list singleton add-list*
from-list

in *SML module-name Env*

end

theory *List-util*

imports *Main*

begin

inductive *same-length* :: 'a list ⇒ 'b list ⇒ bool **where**

same-length-Nil: *same-length* [] [] |

same-length-Cons: *same-length xs ys ⇒ same-length (x # xs) (y # ys)*

code-pred *same-length* ⟨*proof*⟩

lemma *same-length-iff-eq-lengths*: $\text{same-length } xs \ ys \longleftrightarrow \text{length } xs = \text{length } ys$
<proof>

lemma *same-length-Cons*:

$\text{same-length } (x \# xs) \ ys \implies \exists y \ ys'. \ ys = y \# ys'$
 $\text{same-length } xs \ (y \# ys) \implies \exists x \ xs'. \ xs = x \# xs'$
<proof>

3 nth_opt

fun *nth-opt* **where**

$\text{nth-opt } (x \# -) \ 0 = \text{Some } x \mid$
 $\text{nth-opt } (- \# xs) \ (\text{Suc } n) = \text{nth-opt } xs \ n \mid$
 $\text{nth-opt } - \ - = \text{None}$

lemma *nth-opt-eq-Some-conv*: $\text{nth-opt } xs \ n = \text{Some } x \longleftrightarrow n < \text{length } xs \wedge xs ! n = x$
<proof>

lemmas *nth-opt-eq-SomeD*[*dest*] = *nth-opt-eq-Some-conv*[*THEN iffD1*]

4 Generic lemmas

lemma *list-rel-imp-pred1*:

assumes
list-all2 *R xs ys* **and**
 $\bigwedge x \ y. (x, y) \in \text{set } (\text{zip } xs \ ys) \implies R \ x \ y \implies P \ x$
shows *list-all* *P xs*
<proof>

lemma *list-rel-imp-pred2*:

assumes
list-all2 *R xs ys* **and**
 $\bigwedge x \ y. (x, y) \in \text{set } (\text{zip } xs \ ys) \implies R \ x \ y \implies P \ y$
shows *list-all* *P ys*
<proof>

lemma *eq-append-conv-conj*: $(zs = xs \ @ \ ys) = (xs = \text{take } (\text{length } xs) \ zs \wedge ys = \text{drop } (\text{length } xs) \ zs)$
<proof>

lemma *list-all-list-updateI*: $\text{list-all } P \ xs \implies P \ x \implies \text{list-all } P \ (\text{list-update } xs \ n \ x)$
<proof>

lemmas *list-all2-update1-cong* = *list-all2-update-cong*[*of - - ys - ys ! i i for ys i, simplified*]

lemmas *list-all2-update2-cong* = *list-all2-update-cong*[*of - xs - xs ! i - i for xs i, simplified*]

lemma *map-list-update-id*:
 $f (xs ! pc) = f instr \implies \text{map } f (xs[pc := instr]) = \text{map } f xs$
 $\langle \text{proof} \rangle$

lemma *list-all-eq-const-imp-replicate*:
assumes *list-all* $(\lambda x. x = y) xs$
shows $xs = \text{replicate } (\text{length } xs) y$
 $\langle \text{proof} \rangle$

lemma *list-all-eq-const-imp-replicate'*:
assumes *list-all* $((=) y) xs$
shows $xs = \text{replicate } (\text{length } xs) y$
 $\langle \text{proof} \rangle$

lemma *list-all-eq-const-replicate-lhs[intro]*:
 $\text{list-all } (\lambda x. y = x) (\text{replicate } n y)$
 $\langle \text{proof} \rangle$

lemma *list-all-eq-const-replicate-rhs[intro]*:
 $\text{list-all } (\lambda x. x = y) (\text{replicate } n y)$
 $\langle \text{proof} \rangle$

lemma *list-all-eq-const-replicate[simp]*: $\text{list-all } ((=) c) (\text{replicate } n c)$
 $\langle \text{proof} \rangle$

lemma *replicate-eq-map*:
assumes $n = \text{length } xs$ **and** $\bigwedge y. y \in \text{set } xs \implies f y = x$
shows $\text{replicate } n x = \text{map } f xs$
 $\langle \text{proof} \rangle$

lemma *replicate-eq-impl-Ball-eq*:
shows $\text{replicate } n c = xs \implies (\forall x \in \text{set } xs. x = c)$
 $\langle \text{proof} \rangle$

lemma *rel-option-map-of*:
assumes *list-all2* $(\text{rel-prod } (=) R) xs ys$
shows $\text{rel-option } R (\text{map-of } xs l) (\text{map-of } ys l)$
 $\langle \text{proof} \rangle$

lemma *list-all2-rel-prod-nth*:
assumes *list-all2* $(\text{rel-prod } R1 R2) xs ys$ **and** $n < \text{length } xs$
shows $R1 (\text{fst } (xs ! n)) (\text{fst } (ys ! n)) \wedge R2 (\text{snd } (xs ! n)) (\text{snd } (ys ! n))$
 $\langle \text{proof} \rangle$

lemma *list-all2-rel-prod-fst-hd*:
assumes *list-all2* $(\text{rel-prod } R1 R2) xs ys$ **and** $xs \neq [] \vee ys \neq []$
shows $R1 (\text{fst } (\text{hd } xs)) (\text{fst } (\text{hd } ys)) \wedge R2 (\text{snd } (\text{hd } xs)) (\text{snd } (\text{hd } ys))$
 $\langle \text{proof} \rangle$

lemma *list-all2-rel-prod-fst-last*:
assumes *list-all2* (*rel-prod* *R1* *R2*) *xs* *ys* **and** $xs \neq [] \vee ys \neq []$
shows $R1$ (*fst* (*last xs*)) (*fst* (*last ys*)) \wedge $R2$ (*snd* (*last xs*)) (*snd* (*last ys*))
<proof>

lemma *list-all-nthD[intro]*: $list\text{-}all\ P\ xs \implies n < length\ xs \implies P\ (xs\ !\ n)$
<proof>

lemma *list-all P xs $\implies \forall x \in set\ xs.\ P\ x$*
<proof>

lemma *list-all-map-of-SomeD*:
assumes *list-all P kvs* **and** *map-of kvs k = Some v*
shows $P\ (k, v)$
<proof>

lemma *list-all-not-nthD*: $list\text{-}all\ P\ xs \implies \neg P\ (xs\ !\ n) \implies length\ xs \leq n$
<proof>

lemma *list-all-butlast-not-nthD*: $list\text{-}all\ P\ (butlast\ xs) \implies \neg P\ (xs\ !\ n) \implies length\ xs \leq Suc\ n$
<proof>

lemma *list-all-replicateI[intro]*: $P\ x \implies list\text{-}all\ P\ (replicate\ n\ x)$
<proof>

lemma *map-eq-append-replicate-conv*:
assumes $map\ f\ xs = replicate\ n\ x\ @\ ys$
shows $map\ f\ (take\ n\ xs) = replicate\ n\ x$
<proof>

lemma *map-eq-replicate-imp-list-all-const*:
 $map\ f\ xs = replicate\ n\ x \implies n = length\ xs \implies list\text{-}all\ (\lambda y.\ f\ y = x)\ xs$
<proof>

lemma *map-eq-replicateI*: $length\ xs = n \implies (\bigwedge x.\ x \in set\ xs \implies f\ x = c) \implies map\ f\ xs = replicate\ n\ c$
<proof>

lemma *list-all-dropI[intro]*: $list\text{-}all\ P\ xs \implies list\text{-}all\ P\ (drop\ n\ xs)$
<proof>

5 Non-empty list

type-synonym $'a\ nlist = 'a \times 'a\ list$

end

```

theory Result
  imports
    Main
    HOL-Library.Monad-Syntax
begin

datatype ('err, 'a) result =
  is-err: Error 'err |
  is-ok: Ok 'a

```

6 Monadic bind

```

context begin

```

```

qualified fun bind :: ('a, 'b) result => ('b => ('a, 'c) result) => ('a, 'c) result where
  bind (Error x) - = Error x |
  bind (Ok x) f = f x

```

```

end

```

```

adhoc-overloading
  bind => Result.bind

```

```

context begin

```

```

qualified lemma bind-Ok[simp]:  $x \gg= Ok = x$ 
  (proof) lemma bind-eq-Ok-conv:  $(x \gg= f = Ok z) = (\exists y. x = Ok y \wedge f y = Ok z)$ 
  (proof) lemmas bind-eq-OkD[dest!] = bind-eq-Ok-conv[THEN iffD1]
qualified lemmas bind-eq-OkE = bind-eq-OkD[THEN exE]
qualified lemmas bind-eq-OkI[intro] = conjI[THEN exI[THEN bind-eq-Ok-conv[THEN iffD2]]]

```

```

qualified lemma bind-eq-Error-conv:
   $x \gg= f = Error z \longleftrightarrow x = Error z \vee (\exists y. x = Ok y \wedge f y = Error z)$ 
  (proof) lemmas bind-eq-ErrorD[dest!] = bind-eq-Error-conv[THEN iffD1]
qualified lemmas bind-eq-ErrorE = bind-eq-ErrorD[THEN disjE]
qualified lemmas bind-eq-ErrorI =
  disjI1[THEN bind-eq-Error-conv[THEN iffD2]]
  conjI[THEN exI[THEN disjI2[THEN bind-eq-Error-conv[THEN iffD2]]]]

```

```

lemma if-then-else-Ok[simp]:
  (if a then b else Error c) = Ok d  $\longleftrightarrow a \wedge b = Ok d$ 
  (if a then Error c else b) = Ok d  $\longleftrightarrow \neg a \wedge b = Ok d$ 
  (proof) lemma if-then-else-Error[simp]:
  (if a then Ok b else c) = Error d  $\longleftrightarrow \neg a \wedge c = Error d$ 
  (if a then c else Ok b) = Error d  $\longleftrightarrow a \wedge c = Error d$ 
  (proof) lemma map-eq-Ok-conv:  $map\text{-}result\ f\ g\ x = Ok\ y \longleftrightarrow (\exists x'. x = Ok\ x' \wedge y = g\ x')$ 

```

```

  <proof> lemma map-eq-Error-conv: map-result f g x = Error y  $\longleftrightarrow$  ( $\exists x'. x =$ 
  Error x'  $\wedge y = f x'$ )
  <proof> lemmas map-eq-OkD[dest!] = iffD1[OF map-eq-Ok-conv]
qualified lemmas map-eq-ErrorD[dest!] = iffD1[OF map-eq-Error-conv]

end

```

7 Conversion functions

```
context begin
```

```
qualified fun of-option where
```

```

  of-option e None = Error e |
  of-option e (Some x) = Ok x

```

```
qualified lemma of-option-injective[simp]: (of-option e x = of-option e y) = (x = y)
```

```
<proof> lemma of-option-eq-Ok[simp]: (of-option x y = Ok z) = (y = Some z)
```

```
<proof> fun to-option where
```

```

  to-option (Error _) = None |
  to-option (Ok x) = Some x

```

```
qualified lemma to-option-Some[simp]: (to-option r = Some x) = (r = Ok x)
```

```
<proof> fun those :: ('err, 'ok) result list  $\Rightarrow$  ('err, 'ok list) result where
```

```

  those [] = Ok [] |
  those (x # xs) = do {
    y  $\leftarrow$  x;
    ys  $\leftarrow$  those xs;
    Ok (y # ys)
  }

```

```
qualified lemma those-Cons-OkD: those (x # xs) = Ok ys  $\implies \exists y ys'. ys = y \#$ 
ys'  $\wedge x = Ok y \wedge$  those xs = Ok ys'
```

```
<proof>
```

```
end
```

```
end
```

```
theory Option-Extra
```

```
  imports Main
```

```
begin
```

```
fun ap-option (infixl  $\diamond$  60) where
```

```

  (Some f)  $\diamond$  (Some x) = Some (f x) |
  -  $\diamond$  - = None

```

```
lemma ap-option-eq-Some-conv: f  $\diamond$  x = Some y  $\longleftrightarrow$  ( $\exists f' x'. f = Some f' \wedge x =$ 
Some x'  $\wedge y = f' x'$ )
```

```
<proof>
```

definition *ap-map-prod* **where**

ap-map-prod $f\ g\ p \equiv \text{Some } \text{Pair} \diamond f (\text{fst } p) \diamond g (\text{snd } p)$

lemma *ap-map-prod-eq-Some-conv*:

ap-map-prod $f\ g\ p = \text{Some } p' \longleftrightarrow (\exists x\ y. p = (x, y) \wedge (\exists x'\ y'. p' = (x', y') \wedge f\ x = \text{Some } x' \wedge g\ y = \text{Some } y'))$

<proof>

fun *ap-map-list* :: ('a \Rightarrow 'b option) \Rightarrow 'a list \Rightarrow 'b list option **where**

ap-map-list - [] = Some [] |

ap-map-list $f\ (x \# xs) = \text{Some } (\#) \diamond f\ x \diamond \text{ap-map-list } f\ xs$

lemma *length-ap-map-list*: *ap-map-list* $f\ xs = \text{Some } ys \implies \text{length } ys = \text{length } xs$

<proof>

lemma *ap-map-list-imp-rel-option-map-of*:

assumes *ap-map-list* $f\ xs = \text{Some } ys$ **and**

$\bigwedge x\ y. (x, y) \in \text{set } (\text{zip } xs\ ys) \implies f\ x = \text{Some } y \implies \text{fst } x = \text{fst } y$

shows *rel-option* $(\lambda x\ y. f\ (k, x) = \text{Some } (k, y)) (\text{map-of } xs\ k) (\text{map-of } ys\ k)$

<proof>

lemma *ap-map-list-ap-map-prod-imp-rel-option-map-of*:

assumes *ap-map-list* (*ap-map-prod* *Some* f) $xs = \text{Some } ys$

shows *rel-option* $(\lambda x\ y. f\ x = \text{Some } y) (\text{map-of } xs\ k) (\text{map-of } ys\ k)$

<proof>

lemma *ex-ap-map-list-eq-SomeI*:

assumes *list-all* $(\lambda x. \exists y. f\ x = \text{Some } y)\ xs$

shows $\exists ys. \text{ap-map-list } f\ xs = \text{Some } ys$

<proof>

lemma *ap-map-list-iff-list-all2*:

ap-map-list $f\ xs = \text{Some } ys \longleftrightarrow \text{list-all2 } (\lambda x\ y. f\ x = \text{Some } y)\ xs\ ys$

<proof>

lemma *ap-map-list-map-conv*:

assumes

ap-map-list $f\ xs = \text{Some } ys$ **and**

$\bigwedge x\ y. x \in \text{set } xs \implies f\ x = \text{Some } y \implies y = g\ x$

shows $ys = \text{map } g\ xs$

<proof>

end

theory *Map-Extra*

imports *Main HOL-Library.Library*

begin

lemmas *map-of-eq-Some-imp-key-in-fst-dom*[*intro*] =

domI[of map-of xs for xs, unfolded dom-map-of-conv-image-fst]

lemma *very-weak-map-of-SomeI*: $k \in \text{fst } \text{'set } kvs \implies \exists v. \text{map-of } kvs \ k = \text{Some } v$
<proof>

lemma *map-of-fst-hd-neq-Nil*[simp]:

assumes $xs \neq []$

shows $\text{map-of } xs \ (\text{fst } (\text{hd } xs)) = \text{Some } (\text{snd } (\text{hd } xs))$

<proof>

definition *map-merge* **where**

$\text{map-merge } f \ m1 \ m2 \ x =$
 (case (m1 x, m2 x) of
 (None, None) \Rightarrow None
 | (None, Some z) \Rightarrow Some z
 | (Some y, None) \Rightarrow Some y
 | (Some y, Some z) \Rightarrow f y z)

lemma *option-case-cancel*[simp]: (case opt of None \Rightarrow x | Some - \Rightarrow x) = x
<proof>

lemma *map-le-map-merge-Some-const*:

$f \subseteq_m \text{map-merge } (\lambda x \ y. \text{Some } x) \ f \ g$ **and**

$g \subseteq_m \text{map-merge } (\lambda x \ y. \text{Some } y) \ f \ g$

<proof>

8 pred_map

definition *pred-map* **where**

$\text{pred-map } P \ m \equiv (\forall x \ y. m \ x = \text{Some } y \longrightarrow P \ y)$

lemma *pred-map-get*:

assumes $\text{pred-map } P \ m$ **and** $m \ x = \text{Some } y$

shows $P \ y$

<proof>

end

theory *AList-Extra*

imports *HOL-Library.AList List-util*

begin

lemma *list-all2-rel-prod-updateI*:

assumes $\text{list-all2 } (\text{rel-prod } (=) \ R) \ xs \ ys$ **and** $R \ xval \ yval$

shows $\text{list-all2 } (\text{rel-prod } (=) \ R) \ (\text{AList.update } k \ xval \ xs) \ (\text{AList.update } k \ yval \ ys)$

<proof>

lemma *length-map-entry*[simp]: $\text{length } (\text{AList.map-entry } k \ f \ al) = \text{length } al$

<proof>

lemma *map-entry-id0*[simp]: $AList.map\text{-}entry\ k\ id = id$
(proof)

lemma *map-entry-id*: $AList.map\text{-}entry\ k\ id\ xs = xs$
(proof)

lemma *map-entry-map-of-Some-conv*:
 $map\text{-}of\ xs\ k = Some\ v \implies AList.map\text{-}entry\ k\ f\ xs = AList.update\ k\ (f\ v)\ xs$
(proof)

lemma *map-entry-map-of-None-conv*:
 $map\text{-}of\ xs\ k = None \implies AList.map\text{-}entry\ k\ f\ xs = xs$
(proof)

lemma *list-all2-rel-prod-map-entry*:

assumes
 $list\text{-}all2\ (rel\text{-}prod\ (=)\ R)\ xs\ ys$ **and**
 $\bigwedge xval\ yval. map\text{-}of\ xs\ k = Some\ xval \implies map\text{-}of\ ys\ k = Some\ yval \implies R\ (f\ xval)\ (g\ yval)$
shows $list\text{-}all2\ (rel\text{-}prod\ (=)\ R)\ (AList.map\text{-}entry\ k\ f\ xs)\ (AList.map\text{-}entry\ k\ g\ ys)$
(proof)

lemmas *list-all2-rel-prod-map-entry1* = *list-all2-rel-prod-map-entry*[**where** $g = id$, *simplified*]

lemmas *list-all2-rel-prod-map-entry2* = *list-all2-rel-prod-map-entry*[**where** $f = id$, *simplified*]

lemma *list-all-updateI*:
assumes $list\text{-}all\ P\ xs$ **and** $P\ (k,\ v)$
shows $list\text{-}all\ P\ (AList.update\ k\ v\ xs)$
(proof)

lemma *set-update*: $set\ (AList.update\ k\ v\ xs) \subseteq \{(k,\ v)\} \cup set\ xs$
(proof)

end

theory *Global*

imports *HOL-Library.Library Result Env List-util Option-Extra Map-Extra AList-Extra*

begin

sledgehammer-params [*timeout = 30*]

sledgehammer-params [*provers = cvc5 e spass vampire z3 zipperposition*]

declare *K-record-comp*[simp]

lemma *if-then-Some-else-None-eq*[simp]:
 $(if\ a\ then\ Some\ b\ else\ None) = Some\ c \iff a \wedge b = c$

(if a then Some b else None) = None \longleftrightarrow \neg a
<proof>

lemma if-then-else-distributive: (if a then f b else f c) = f (if a then b else c)
<proof>

9 Rest

lemma map-ofD:
fixes xs k opt
assumes map-of xs k = opt
shows opt = None \vee (\exists n < length xs. opt = Some (snd (xs ! n)))
<proof>

lemma list-all2-assoc-map-rel-get:
assumes list-all2 (=) (map fst xs) (map fst ys) and list-all2 R (map snd xs)
(map snd ys)
shows rel-option R (map-of xs k) (map-of ys k)
<proof>

9.1 Function definition

datatype ('label, 'instr) fundef =
Fundef (body: ('label \times 'instr list) list) (arity: nat) (return: nat) (fundef-locals:
nat)

lemma rel-fundef-arithies: rel-fundef r1 r2 gd1 gd2 \implies arity gd1 = arity gd2
<proof>

lemma rel-fundef-return: rel-fundef R1 R2 gd1 gd2 \implies return gd1 = return gd2
<proof>

lemma rel-fundef-locals: rel-fundef R1 R2 gd1 gd2 \implies fundef-locals gd1 = fundef-locals gd2
<proof>

lemma rel-fundef-body-length[simp]:
rel-fundef r1 r2 fd1 fd2 \implies length (body fd1) = length (body fd2)
<proof>

definition funtype where
funtype fd \equiv (arity fd, return fd)

lemma rel-fundef-funtype[simp]: rel-fundef R1 R2 fd1 fd2 \implies funtype fd1 = funtype fd2
<proof>

lemma rel-fundef-rel-fst-hd-bodies:
assumes rel-fundef R1 R2 fd1 fd2 and body fd1 \neq [] \vee body fd2 \neq []

shows $R1$ (fst (hd ($body$ $fd1$))) (fst (hd ($body$ $fd2$)))
 $\langle proof \rangle$

lemma *map-option-comp-conv*:

assumes

$\bigwedge x. rel-option\ R\ (f\ x)\ (g\ x)$

$\bigwedge fd1\ fd2. fd1 \in ran\ f \implies fd2 \in ran\ g \implies R\ fd1\ fd2 \implies h\ fd1 = i\ fd2$

shows $map-option\ h \circ f = map-option\ i \circ g$
 $\langle proof \rangle$

lemma *map-option-arity-comp-conv*:

assumes ($\bigwedge x. rel-option\ (rel-fundef\ R\ S)\ (f\ x)\ (g\ x)$)

shows $map-option\ arity \circ f = map-option\ arity \circ g$
 $\langle proof \rangle$

definition *wf-fundef* **where**

$wf-fundef\ fd \longleftrightarrow body\ fd \neq []$

lemma *wf-fundef-non-empty-bodyD*[*dest,intro*]: $wf-fundef\ fd \implies body\ fd \neq []$
 $\langle proof \rangle$

definition *wf-fundefs* **where**

$wf-fundefs\ F \longleftrightarrow (\forall f\ fd. F\ f = Some\ fd \longrightarrow wf-fundef\ fd)$

lemma *wf-fundefsI*:

assumes $\bigwedge f\ fd. F\ f = Some\ fd \implies wf-fundef\ fd$

shows $wf-fundefs\ F$
 $\langle proof \rangle$

lemma *wf-fundefsI'*:

assumes $\bigwedge f. pred-option\ wf-fundef\ (F\ f)$

shows $wf-fundefs\ F$
 $\langle proof \rangle$

lemma *wf-fundefs-imp-wf-fundef*:

assumes $wf-fundefs\ F$ **and** $F\ f = Some\ fd$

shows $wf-fundef\ fd$
 $\langle proof \rangle$

hide-fact *wf-fundefs-def*

9.2 Program

datatype (*'fenv, 'henv, 'fun*) *prog* =

Prog (*prog-fundefs: 'fenv*) (*heap: 'henv*) (*main-fun: 'fun*)

definition *wf-prog* **where**

$wf-prog\ Get\ p \longleftrightarrow wf-fundefs\ (Get\ (prog-fundefs\ p))$

9.3 Stack frame

datatype ('fun, 'label, 'operand) frame =
 Frame 'fun 'label (prog-counter: nat) (regs: 'operand list) (operand-stack: 'operand list)

definition *instr-at* where

instr-at fd label pc =
 (case map-of (body fd) label of
 Some instrs \Rightarrow
 if pc < length instrs then
 Some (instrs ! pc)
 else
 None
 | None \Rightarrow None)

lemma *instr-atD*:

assumes *instr-at* fd l pc = Some instr
shows \exists instrs. map-of (body fd) l = Some instrs \wedge pc < length instrs \wedge instrs ! pc = instr
 <proof>

lemma *rel-fundef-imp-rel-option-instr-at*:

assumes rel-fundef (=) R fd1 fd2
shows rel-option R (*instr-at* fd1 l pc) (*instr-at* fd2 l pc)
 <proof>

definition *next-instr* where

next-instr F f label pc \equiv do {
 fd \leftarrow F f;
instr-at fd label pc
 }

lemma *next-instr-eq-Some-conv*:

next-instr F f l pc = Some instr \longleftrightarrow (\exists fd. F f = Some fd \wedge *instr-at* fd l pc = Some instr)
 <proof>

lemma *next-instrD*:

assumes *next-instr* F f l pc = Some instr
shows \exists fd. F f = Some fd \wedge *instr-at* fd l pc = Some instr
 <proof>

lemma *next-instr-pc-lt-length-instrsI*:

assumes
next-instr F f l pc = Some instr **and**
 F f = Some fd **and**
 map-of (body fd) l = Some instrs
shows pc < length instrs
 <proof>

lemma *next-instr-get-map-ofD*:

assumes

next-instr F f l pc = Some instr **and**

F f = Some fd **and**

map-of (body fd) l = Some instrs

shows *pc < length instrs* **and** *instrs ! pc = instr*

<proof>

lemma *next-instr-length-instrs*:

assumes

F f = Some fd **and**

map-of (body fd) label = Some instrs

shows *next-instr F f label (length instrs) = None*

<proof>

lemma *next-instr-take-Suc-conv*:

assumes *next-instr F f l pc = Some instr* **and**

F f = Some fd **and**

map-of (body fd) l = Some instrs

shows *take (Suc pc) instrs = take pc instrs @ [instr]*

<proof>

9.4 Dynamic state

datatype (*'fenv, 'henv, 'frame*) *state* =

State (state-fundefs: 'fenv) (heap: 'henv) (callstack: 'frame list)

definition *state-ok* **where**

state-ok Get s \longleftrightarrow wf-fundefs (Get (state-fundefs s))

inductive *final* **for** *F-get Return* **where**

finalI: next-instr (F-get F) f l pc = Some Return \implies

final F-get Return (State F H [Frame f l pc R Σ])

definition *allocate-frame* **where**

allocate-frame f fd args uninitialized \equiv

Frame f (fst (hd (body fd))) 0 (args @ replicate (fundef-locals fd) uninitialized)

\square

inductive *load* **for** *F-get Uninitialized* **where**

F-get F main = Some fd \implies arity fd = 0 \implies body fd \neq [] \implies

load F-get Uninitialized (Prog F H main) (State F H [allocate-frame main fd [] Uninitialized])

lemma *length-neq-imp-not-list-all2*:

assumes *length xs \neq length ys*

shows \neg *list-all2 R xs ys*

<proof>

lemma *list-all2-rel-prod-conv*:

$list\text{-}all2\ (rel\text{-}prod\ R\ S)\ xs\ ys \iff$
 $list\text{-}all2\ R\ (map\ fst\ xs)\ (map\ fst\ ys) \wedge list\text{-}all2\ S\ (map\ snd\ xs)\ (map\ snd\ ys)$
(*proof*)

definition *rewrite-fundef-body* ::

$(label, instr)\ fundef \Rightarrow label \Rightarrow nat \Rightarrow instr \Rightarrow (label, instr)\ fundef$ **where**
rewrite-fundef-body $fd\ l\ n\ instr =$
 $(case\ fd\ of\ Fundef\ bblocks\ ar\ ret\ locals \Rightarrow$
 $Fundef\ (AList.map\text{-}entry\ l\ (\lambda instrs.\ instrs[n := instr])\ bblocks)\ ar\ ret\ locals)$

lemma *rewrite-fundef-body-cases*[*case-names invalid-label valid-label*]:

assumes
 $\wedge bs\ ar\ ret\ locals.\ fd = Fundef\ bs\ ar\ ret\ locals \implies map\text{-}of\ bs\ l = None \implies P$
 $\wedge bs\ ar\ ret\ locals\ instrs.\ fd = Fundef\ bs\ ar\ ret\ locals \implies map\text{-}of\ bs\ l = Some$
instrs $\implies P$
shows P
(*proof*)

lemma *arity-rewrite-fundef-body*[*simp*]: $arity\ (rewrite\text{-}fundef\text{-}body\ fd\ l\ pc\ instr) =$
 $arity\ fd$
(*proof*)

lemma *return-rewrite-fundef-body*[*simp*]: $return\ (rewrite\text{-}fundef\text{-}body\ fd\ l\ pc\ instr)$
 $= return\ fd$
(*proof*)

lemma *funtype-rewrite-fundef-body*[*simp*]: $funtype\ (rewrite\text{-}fundef\text{-}body\ fd\ l\ pc\ instr')$
 $= funtype\ fd$
(*proof*)

lemma *length-body-rewrite-fundef-body*[*simp*]:
 $length\ (body\ (rewrite\text{-}fundef\text{-}body\ fd\ l\ pc\ instr)) = length\ (body\ fd)$
(*proof*)

lemma *prod-in-set-fst-image-conv*: $(x, y) \in set\ xys \implies x \in fst\ 'set\ xys$
(*proof*)

lemma *map-of-body-rewrite-fundef-body-conv-neq*[*simp*]:

assumes $l \neq l'$
shows $map\text{-}of\ (body\ (rewrite\text{-}fundef\text{-}body\ fd\ l\ pc\ instr))\ l' = map\text{-}of\ (body\ fd)\ l'$
(*proof*)

lemma *map-of-body-rewrite-fundef-body-conv-eq*[*simp*]:

$map\text{-}of\ (body\ (rewrite\text{-}fundef\text{-}body\ fd\ l\ pc\ instr))\ l =$
 $map\text{-}option\ (\lambda xs.\ xs[pc := instr])\ (map\text{-}of\ (body\ fd)\ l)$
(*proof*)

lemma *instr-at-rewrite-fundef-body-conv*:
 $instr-at (rewrite-fundef-body\ fd\ l'\ pc'\ instr')\ l\ pc =$
 $map-option (\lambda instr. if\ l = l' \wedge pc = pc' then\ instr' else\ instr)\ (instr-at\ fd\ l\ pc)$
 $\langle proof \rangle$

lemma *fundef-locals-rewrite-fundef-body[simp]*:
 $fundef-locals (rewrite-fundef-body\ fd\ l\ pc\ instr) = fundef-locals\ fd$
 $\langle proof \rangle$

lemma *rel-fundef-rewrite-body1*:
assumes
 $rel-fd1-fd2: rel-fundef (=)\ R\ fd1\ fd2$ **and**
 $instr-at-l-pc: instr-at\ fd1\ l\ pc = Some\ instr$ **and**
 $R-iff: \bigwedge x. R\ instr\ x \longleftrightarrow R\ instr'\ x$
shows $rel-fundef (=)\ R (rewrite-fundef-body\ fd1\ l\ pc\ instr')\ fd2$
 $\langle proof \rangle$

lemma *rel-fundef-rewrite-body*:
assumes $rel-fd1-fd2: rel-fundef (=)\ R\ fd1\ fd2$ **and** $R-i1-i2: R\ i1\ i2$
shows $rel-fundef (=)\ R (rewrite-fundef-body\ fd1\ l\ pc\ i1)\ (rewrite-fundef-body\ fd2\ l\ pc\ i2)$
 $\langle proof \rangle$

lemma *rewrite-fundef-body-triv*:
 $instr-at\ fd\ l\ pc = Some\ instr \implies rewrite-fundef-body\ fd\ l\ pc\ instr = fd$
 $\langle proof \rangle$

lemma *rel-fundef-rewrite-body2*:
assumes
 $rel-fd1-fd2: rel-fundef (=)\ R\ fd1\ fd2$ **and**
 $instr-at-l-pc: instr-at\ fd2\ l\ pc = Some\ instr$ **and**
 $R-iff: \bigwedge x. R\ x\ instr \longleftrightarrow R\ x\ instr'$
shows $rel-fundef (=)\ R\ fd1\ (rewrite-fundef-body\ fd2\ l\ pc\ instr')$
 $\langle proof \rangle$

lemma *rel-fundef-rewrite-body2'*:
assumes
 $rel-fd1-fd2: rel-fundef (=)\ R\ fd1\ fd2$ **and**
 $instr-at1: instr-at\ fd1\ l\ pc = Some\ instr1$ **and**
 $R-instr1-instr2: R\ instr1\ instr2'$
shows $rel-fundef (=)\ R\ fd1\ (rewrite-fundef-body\ fd2\ l\ pc\ instr2')$
 $\langle proof \rangle$

thm *rel-fundef-rewrite-body*

lemma *if-eq-const-conv*: $(if\ x\ then\ y\ else\ z) = w \longleftrightarrow x \wedge y = w \vee \neg x \wedge z = w$
 $\langle proof \rangle$

lemma *const-eq-if-conv*: $w = (if\ x\ then\ y\ else\ z) \longleftrightarrow x \wedge w = y \vee \neg x \wedge w = z$

```

    <proof>

end
theory Op
  imports Main
begin

```

10 n-ary operations

```

locale nary-operations =
  fixes
     $\mathcal{O}p :: 'op \Rightarrow 'a \text{ list} \Rightarrow 'a$  and
     $\mathcal{A}rity :: 'op \Rightarrow \text{nat}$ 
  assumes
     $\mathcal{O}p\text{-}\mathcal{A}rity\text{-domain: length } xs = \mathcal{A}rity \text{ } op \Longrightarrow \exists y. \mathcal{O}p \text{ } op \text{ } xs = y$ 

```

```

end
theory OpInl
  imports Op
begin

```

11 n-ary operations

```

locale nary-operations-inl =
  nary-operations  $\mathcal{O}p$   $\mathcal{A}rity$ 
  for
     $\mathcal{O}p :: 'op \Rightarrow 'a \text{ list} \Rightarrow 'a$  and  $\mathcal{A}rity +$ 
  fixes
     $\mathcal{I}n\mathcal{O}p :: 'opinl \Rightarrow 'a \text{ list} \Rightarrow 'a$  and
     $\mathcal{I}nl :: 'op \Rightarrow 'a \text{ list} \Rightarrow 'opinl \text{ option}$  and
     $\mathcal{I}s\mathcal{I}nl :: 'opinl \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$  and
     $\mathcal{D}e\mathcal{I}nl :: 'opinl \Rightarrow 'op$ 
  assumes
     $\mathcal{I}nl\text{-invertible: } \mathcal{I}nl \text{ } op \text{ } xs = \text{Some } opinl \Longrightarrow \mathcal{D}e\mathcal{I}nl \text{ } opinl = op$  and
     $\mathcal{I}nl\mathcal{O}p\text{-correct: length } xs = \mathcal{A}rity (\mathcal{D}e\mathcal{I}nl \text{ } opinl) \Longrightarrow \mathcal{I}nl\mathcal{O}p \text{ } opinl \text{ } xs = \mathcal{O}p (\mathcal{D}e\mathcal{I}nl$ 
     $opinl) \text{ } xs$  and
     $\mathcal{I}nl\text{-}\mathcal{I}s\mathcal{I}nl: \mathcal{I}nl \text{ } op \text{ } xs = \text{Some } opinl \Longrightarrow \mathcal{I}s\mathcal{I}nl \text{ } opinl \text{ } xs$ 

```

```

begin

```

```

lemma  $\mathcal{I}nl\text{-inj-on: inj-on } \mathcal{I}nl \{ op \mid op \text{ args. } \mathcal{I}nl \text{ } op \text{ } args \neq \text{None} \}$ 
  <proof>

```

```

abbreviation  $\mathcal{I}nl\text{-dom}$  where

```

```

     $\mathcal{I}nl\text{-}dom \equiv \{op \mid op \text{ args. } \mathcal{I}nl \text{ op args} \neq None \}$ 

lemma bij-betw  $\mathcal{I}nl \mathcal{I}nl\text{-}dom \{ \mathcal{I}nl \text{ op} \mid op. \text{ op} \in \mathcal{I}nl\text{-}dom \}$ 
  <proof>

end

end
theory Dynamic
  imports Main
begin

locale dynval =
  fixes
    uninitialized :: 'dyn and
    is-true :: 'dyn  $\Rightarrow$  bool and
    is-false :: 'dyn  $\Rightarrow$  bool
  assumes
    not-true-and-false:  $\neg (is\text{-}true \ d \wedge is\text{-}false \ d)$ 
begin

lemma false-not-true: is-false d  $\Longrightarrow$   $\neg is\text{-}true \ d$ 
  <proof>

lemma true-not-false: is-true d  $\Longrightarrow$   $\neg is\text{-}false \ d$ 
  <proof>

lemma is-true-and-is-false-implies-False: is-true d  $\Longrightarrow is\text{-}false \ d \Longrightarrow False$ 
  <proof>

end

end
theory Inca
  imports Global OpInl Env Dynamic
    VeriComp.Language
begin

```

12 Inline caching

12.1 Static representation

```

datatype ('dyn, 'var, 'fun, 'label, 'op, 'opinl) instr =
  IPush 'dyn |
  IPop |
  IGet nat |
  ISet nat |
  ILoad 'var |
  IStore 'var |

```

*I*Op 'op |
*I*OpInl 'opinl |
is-jump: *IC*Jump 'label 'label |
*I*Call 'fun |
is-return: *I*Return

locale *inca* =

Fenv: env *F*-empty *F*-get *F*-add *F*-to-list +
Henv: env heap-empty heap-get heap-add heap-to-list +
 dynval uninitialized *is-true* *is-false* +
 nary-operations-inl $\mathcal{O}p$ $\mathcal{A}rity$ $\mathcal{I}nl\mathcal{O}p$ $\mathcal{I}nl$ $\mathcal{I}s\mathcal{I}nl$ $\mathcal{D}e\mathcal{I}nl$
for

— Functions environment

F-empty **and**

F-get :: 'fenv \Rightarrow 'fun \Rightarrow ('label, ('dyn, 'var, 'fun, 'label, 'op, 'opinl) instr) fundef

option **and**

F-add **and** *F*-to-list **and**

— Memory heap

heap-empty **and**

heap-get :: 'henv \Rightarrow 'var \times 'dyn \Rightarrow 'dyn option **and**

heap-add **and** heap-to-list **and**

— Dynamic values

uninitialized :: 'dyn **and** *is-true* **and** *is-false* **and**

— n-ary operations

$\mathcal{O}p$:: 'op \Rightarrow 'dyn list \Rightarrow 'dyn **and** $\mathcal{A}rity$ **and**

$\mathcal{I}nl\mathcal{O}p$ **and** $\mathcal{I}nl$ **and** $\mathcal{I}s\mathcal{I}nl$ **and** $\mathcal{D}e\mathcal{I}nl$:: 'opinl \Rightarrow 'op

begin

12.2 Semantics

inductive *step* (**infix** $\langle \rightarrow \rangle$ 55) **where**

step-push:

next-instr (*F*-get *F*) *f l pc* = *Some* (*I*Push *d*) \Longrightarrow

State F H (*Frame f l pc R* Σ # *st*) \rightarrow *State F H* (*Frame f l* (*Suc pc*) *R* (*d* # Σ) # *st*) |

step-pop:

next-instr (*F*-get *F*) *f l pc* = *Some* *I*Pop \Longrightarrow

State F H (*Frame f l pc R* (*d* # Σ) # *st*) \rightarrow *State F H* (*Frame f l* (*Suc pc*) *R* Σ # *st*) |

step-get:

next-instr (*F*-get *F*) *f l pc* = *Some* (*I*Get *n*) \Longrightarrow

n < length *R* \Longrightarrow *d* = *R* ! *n* \Longrightarrow

State F H (*Frame f l pc R* Σ # *st*) \rightarrow *State F H* (*Frame f l* (*Suc pc*) *R* (*d* # Σ) # *st*) |

step-set:
 $next_instr (F.get F) f l pc = Some (ISet n) \implies$
 $n < length R \implies R' = R[n := d] \implies$
 $State F H (Frame f l pc R (d \# \Sigma) \# st) \rightarrow State F H (Frame f l (Suc pc) R' \Sigma \# st) |$

step-load:
 $next_instr (F.get F) f l pc = Some (ILoad x) \implies$
 $heap_get H (x, y) = Some d \implies$
 $State F H (Frame f l pc R (y \# \Sigma) \# st) \rightarrow State F H (Frame f l (Suc pc) R (d \# \Sigma) \# st) |$

step-store:
 $next_instr (F.get F) f l pc = Some (IStore x) \implies$
 $heap_add H (x, y) d = H' \implies$
 $State F H (Frame f l pc R (y \# d \# \Sigma) \# st) \rightarrow State F H' (Frame f l (Suc pc) R \Sigma \# st) |$

step-op:
 $next_instr (F.get F) f l pc = Some (IOp op) \implies$
 $\mathcal{A}rity op = ar \implies ar \leq length \Sigma \implies \mathcal{I}nl op (take ar \Sigma) = None \implies$
 $\mathcal{O}p op (take ar \Sigma) = x \implies$
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (Frame f l (Suc pc) R (x \# drop ar \Sigma) \# st) |$

step-op-inl:
 $next_instr (F.get F) f l pc = Some (IOp op) \implies$
 $\mathcal{A}rity op = ar \implies ar \leq length \Sigma \implies \mathcal{I}nl op (take ar \Sigma) = Some opinl \implies$
 $\mathcal{I}nl\mathcal{O}p opinl (take ar \Sigma) = x \implies$
 $F' = Fenv.map_entry F f (\lambda fd. rewrite_fundef_body fd l pc (IOpInl opinl)) \implies$
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F' H (Frame f l (Suc pc) R (x \# drop ar \Sigma) \# st) |$

step-op-inl-hit:
 $next_instr (F.get F) f l pc = Some (IOpInl opinl) \implies$
 $\mathcal{A}rity (\mathcal{D}e\mathcal{I}nl opinl) = ar \implies ar \leq length \Sigma \implies \mathcal{I}s\mathcal{I}nl opinl (take ar \Sigma) \implies$
 $\mathcal{I}nl\mathcal{O}p opinl (take ar \Sigma) = x \implies$
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (Frame f l (Suc pc) R (x \# drop ar \Sigma) \# st) |$

step-op-inl-miss:
 $next_instr (F.get F) f l pc = Some (IOpInl opinl) \implies$
 $\mathcal{A}rity (\mathcal{D}e\mathcal{I}nl opinl) = ar \implies ar \leq length \Sigma \implies \neg \mathcal{I}s\mathcal{I}nl opinl (take ar \Sigma) \implies$
 $\mathcal{I}nl\mathcal{O}p opinl (take ar \Sigma) = x \implies$
 $F' = Fenv.map_entry F f (\lambda fd. rewrite_fundef_body fd l pc (IOp (\mathcal{D}e\mathcal{I}nl opinl))) \implies$
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F' H (Frame f l (Suc pc) R (x \# drop ar \Sigma) \# st) |$

step-cjump:
 $next_instr (F\text{-get } F) f l pc = Some (ICJump l_t l_f) \implies$
 $is_true d \wedge l' = l_t \vee is_false d \wedge l' = l_f \implies$
 $State F H (Frame f l pc R (d \# \Sigma) \# st) \rightarrow State F H (Frame f l' 0 R \Sigma \# st) \mid$

step-call:
 $next_instr (F\text{-get } F) f l pc = Some (ICall g) \implies$
 $F\text{-get } F g = Some gd \implies arity\ gd \leq length\ \Sigma \implies$
 $frame_g = allocate_frame\ g\ gd\ (take\ (arity\ gd)\ \Sigma)\ uninitialized \implies$
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (frame_g \# Frame f l pc R \Sigma \# st) \mid$

step-return:
 $next_instr (F\text{-get } F) g l_g pc_g = Some IReturn \implies$
 $F\text{-get } F g = Some gd \implies arity\ gd \leq length\ \Sigma_f \implies$
 $length\ \Sigma_g = return\ gd \implies$
 $frame_{f'} = Frame f l_f (Suc\ pc_f) R_f (\Sigma_g @ drop\ (arity\ gd)\ \Sigma_f) \implies$
 $State F H (Frame g l_g pc_g R_g \Sigma_g \# Frame f l_f pc_f R_f \Sigma_f \# st) \rightarrow State F H (frame_{f'} \# st)$

lemma *step-deterministic:*
assumes $s1 \rightarrow s2$ **and** $s1 \rightarrow s3$
shows $s2 = s3$
 $\langle proof \rangle$

lemma *step-right-unique: right-unique step*
 $\langle proof \rangle$

lemma *final-finished:*
assumes *final* $F\text{-get } IReturn\ s$
shows *finished step* s
 $\langle proof \rangle$

sublocale *semantics step final F-get IReturn*
 $\langle proof \rangle$

definition *load where*
 $load \equiv Global.load\ F\text{-get}\ uninitialized$

sublocale *language step final F-get IReturn load*
 $\langle proof \rangle$

end

end

theory *Unboxed*
imports *Global Dynamic*

```

begin

datatype type = Ubx1 | Ubx2

datatype ('dyn, 'ubx1, 'ubx2) unboxed =
  is-dyn-operand: OpDyn 'dyn |
  OpUbx1 'ubx1 |
  OpUbx2 'ubx2

fun typeof where
  typeof (OpDyn _) = None |
  typeof (OpUbx1 _) = Some Ubx1 |
  typeof (OpUbx2 _) = Some Ubx2

fun cast-Dyn where
  cast-Dyn (OpDyn d) = Some d |
  cast-Dyn _ = None

fun cast-Ubx1 where
  cast-Ubx1 (OpUbx1 x) = Some x |
  cast-Ubx1 _ = None

fun cast-Ubx2 where
  cast-Ubx2 (OpUbx2 x) = Some x |
  cast-Ubx2 _ = None

locale unboxedval = dynval uninitialized is-true is-false
  for uninitialized :: 'dyn and is-true and is-false +
  fixes
    box-ubx1 :: 'ubx1 ⇒ 'dyn and unbox-ubx1 :: 'dyn ⇒ 'ubx1 option and
    box-ubx2 :: 'ubx2 ⇒ 'dyn and unbox-ubx2 :: 'dyn ⇒ 'ubx2 option
  assumes
    box-unbox-inverse:
      unbox-ubx1 d = Some u1 ⇒ box-ubx1 u1 = d
      unbox-ubx2 d = Some u2 ⇒ box-ubx2 u2 = d
begin

fun unbox :: type ⇒ 'dyn ⇒ ('dyn, 'ubx1, 'ubx2) unboxed option where
  unbox Ubx1 = map-option OpUbx1 ∘ unbox-ubx1 |
  unbox Ubx2 = map-option OpUbx2 ∘ unbox-ubx2

fun cast-and-box where
  cast-and-box Ubx1 = map-option box-ubx1 ∘ cast-Ubx1 |
  cast-and-box Ubx2 = map-option box-ubx2 ∘ cast-Ubx2

fun norm-unboxed where
  norm-unboxed (OpDyn d) = d |
  norm-unboxed (OpUbx1 x) = box-ubx1 x |
  norm-unboxed (OpUbx2 x) = box-ubx2 x

```

```

fun box-operand where
  box-operand (OpDyn d) = OpDyn d |
  box-operand (OpUbx1 x) = OpDyn (box-ubx1 x) |
  box-operand (OpUbx2 x) = OpDyn (box-ubx2 x)

fun box-frame where
  box-frame f (Frame g l pc R  $\Sigma$ ) = Frame g l pc R (if f = g then map box-operand
 $\Sigma$  else  $\Sigma$ )

definition box-stack where
  box-stack f  $\equiv$  map (box-frame f)

end

end
theory OpUbx
  imports OpInl Unboxed
begin

```

13 n-ary operations

```

locale nary-operations-ubx =
  nary-operations-inl Op Arity InlOp Inl IsInl DeInl +
  unboxedval uninitialized is-true is-false box-ubx1 unbox-ubx1 box-ubx2 unbox-ubx2
for
  Op :: 'op  $\Rightarrow$  'dyn list  $\Rightarrow$  'dyn and Arity and
  InlOp and Inl and IsInl and DeInl :: 'opinl  $\Rightarrow$  'op and
  uninitialized :: 'dyn and is-true and is-false and
  box-ubx1 :: 'ubx1  $\Rightarrow$  'dyn and unbox-ubx1 and
  box-ubx2 :: 'ubx2  $\Rightarrow$  'dyn and unbox-ubx2 +
fixes
  UbxOp :: 'opubx  $\Rightarrow$  ('dyn, 'ubx1, 'ubx2) unboxed list  $\Rightarrow$  ('dyn, 'ubx1, 'ubx2)
unboxed option and
  Ubx :: 'opinl  $\Rightarrow$  type option list  $\Rightarrow$  'opubx option and
  Box :: 'opubx  $\Rightarrow$  'opinl and
  TypeOfOp :: 'opubx  $\Rightarrow$  type option list  $\times$  type option
assumes
  Ubx-invertible:
    Ubx opinl ts = Some opubx  $\implies$  Box opubx = opinl and
  UbxOp-correct:
    UbxOp opubx  $\Sigma$  = Some z  $\implies$  InlOp (Box opubx) (map norm-unboxed  $\Sigma$ ) =
norm-unboxed z and
  UbxOp-to-Inl:
    UbxOp opubx  $\Sigma$  = Some z  $\implies$  Inl (DeInl (Box opubx)) (map norm-unboxed
 $\Sigma$ ) = Some (Box opubx) and

  TypeOfOp-Arity:
    Arity (DeInl (Box opubx)) = length (fst (TypeOfOp opubx)) and

```

Ubx-opubx-type:

$\forall \text{bx } \text{opinl } ts = \text{Some } \text{opubx} \implies \text{fst } (\text{TypeDfDp } \text{opubx}) = ts$ **and**

TypeDfDp-correct:

$\text{TypeDfDp } \text{opubx} = (\text{map } \text{typeof } xs, \tau) \implies$

$\exists y. \forall \text{bx } \text{Dp } \text{opubx } xs = \text{Some } y \wedge \text{typeof } y = \tau$ **and**

TypeDfDp-complete:

$\forall \text{bx } \text{Dp } \text{opubx } xs = \text{Some } y \implies \text{TypeDfDp } \text{opubx} = (\text{map } \text{typeof } xs, \text{typeof } y)$

end

theory *Ubx*

imports *Global OpUbx Env*

VeriComp.Language

begin

14 Unboxed caching

14.1 Static representation

datatype (*'dyn, 'var, 'fun, 'label, 'op, 'opinl, 'opubx, 'num, 'bool*) *instr* =
IPush 'dyn | *IPushUbx1 'num* | *IPushUbx2 'bool* |
IPop |
IGet nat | *IGetUbx type nat* |
ISet nat | *ISetUbx type nat* |
ILoad 'var | *ILoadUbx type 'var* |
IStore 'var | *IStoreUbx type 'var* |
IOp 'op | *IOpInl 'opinl* | *IOpUbx 'opubx* |
is-jump: ICJump 'label 'label |
is-fun-call: ICall 'fun |
is-return: IReturn

locale *ubx* =

Fenv: env F-empty F-get F-add F-to-list +

Henv: env heap-empty heap-get heap-add heap-to-list +

nary-operations-ubx

Dp Arity

InlDp Inl IsInl DeInl

uninitialized is-true is-false box-ubx1 unbox-ubx1 box-ubx2 unbox-ubx2

UbxDp Ubx Box TypeDfDp

for

— Functions environment

F-empty **and**

F-get :: *'fenv* \Rightarrow *'fun* \Rightarrow (*'label*, (*'dyn*, *'var*, *'fun*, *'label*, *'op*, *'opinl*, *'opubx*,
'num, *'bool*) *instr*) *fundef option* **and**

F-add **and** *F-to-list* **and**

— Memory heap

heap-empty **and**

heap-get :: *'henv* \Rightarrow *'var* \times *'dyn* \Rightarrow *'dyn option* **and**

heap-add and heap-to-list and
 — Dynamic values
uninitialized :: 'dyn **and** *is-true* **and** *is-false* **and**
 — Unboxed values
box-ubx1 **and** *unbox-ubx1* **and**
box-ubx2 **and** *unbox-ubx2* **and**
 — n-ary operations
Op :: 'op ⇒ 'dyn list ⇒ 'dyn **and** *Arity* **and**
InlOp **and** *Inl* **and** *IsInl* **and** *DeInl* :: 'opinl ⇒ 'op **and**
UbxOp :: 'opubx ⇒ ('dyn, 'num, 'bool) *unboxed list* ⇒ ('dyn, 'num, 'bool) *unboxed*
option **and**
Ubx :: 'opinl ⇒ *type option list* ⇒ 'opubx *option* **and**
Box :: 'opubx ⇒ 'opinl **and**
TypeOfOp
begin

lemmas *map-option-funtype-comp-map-entry-rewrite-fundef-body[simp]* =
Fenv.map-option-comp-map-entry[of - funtype λfd. rewrite-fundef-body fd l pc
instr for l pc instr, simplified]

fun *generalize-instr* **where**
generalize-instr (*IPushUbx1* n) = *IPush* (*box-ubx1* n) |
generalize-instr (*IPushUbx2* b) = *IPush* (*box-ubx2* b) |
generalize-instr (*IGetUbx* - n) = *IGet* n |
generalize-instr (*ISetUbx* - n) = *ISet* n |
generalize-instr (*ILoadUbx* - x) = *ILoad* x |
generalize-instr (*IStoreUbx* - x) = *IStore* x |
generalize-instr (*IOpUbx* opubx) = *IOpInl* (*Box* opubx) |
generalize-instr *instr* = *instr*

lemma *instr-sel-generalize-instr[simp]*:
is-jump (*generalize-instr* *instr*) ⇔ *is-jump* *instr*
is-fun-call (*generalize-instr* *instr*) ⇔ *is-fun-call* *instr*
is-return (*generalize-instr* *instr*) ⇔ *is-return* *instr*
 ⟨*proof*⟩

lemma *instr-sel-generalize-instr-comp[simp]*:
is-jump ∘ *generalize-instr* = *is-jump* **and**
is-fun-call ∘ *generalize-instr* = *is-fun-call* **and**
is-return ∘ *generalize-instr* = *is-return*
 ⟨*proof*⟩

fun *generalize-fundef* **where**
generalize-fundef (*Fundef* *xs* *ar* *ret* *locals*) =
Fundef (*map-ran* (λ-. *map* *generalize-instr*) *xs*) *ar* *ret* *locals*

lemma *generalize-instr-idempotent*[simp]:
 $generalize-instr (generalize-instr x) = generalize-instr x$
 ⟨proof⟩

lemma *generalize-instr-idempotent-comp*[simp]:
 $generalize-instr \circ generalize-instr = generalize-instr$
 ⟨proof⟩

lemma *length-body-generalize-fundef*[simp]: $length (body (generalize-fundef fd)) = length (body fd)$
 ⟨proof⟩

lemma *arity-generalize-fundef*[simp]: $arity (generalize-fundef fd) = arity fd$
 ⟨proof⟩

lemma *return-generalize-fundef*[simp]: $return (generalize-fundef fd) = return fd$
 ⟨proof⟩

lemma *fundef-locals-generalize*[simp]: $fundef-locals (generalize-fundef fd) = fundef-locals fd$
 ⟨proof⟩

lemma *funtype-generalize-fundef*[simp]: $funtype (generalize-fundef fd) = funtype fd$
 ⟨proof⟩

lemmas *map-option-comp-map-entry-generalize-fundef*[simp] =
 $Fenv.map-option-comp-map-entry[of - funtype generalize-fundef, simplified]$

lemma *image-fst-set-body-generalize-fundef*[simp]:
 $fst \text{ ' set } (body (generalize-fundef fd)) = fst \text{ ' set } (body fd)$
 ⟨proof⟩

lemma *map-of-generalize-fundef-conv*:
 $map-of (body (generalize-fundef fd)) l = map-option (map generalize-instr) (map-of (body fd) l)$
 ⟨proof⟩

lemma *map-option-arity-get-map-entry-generalize-fundef*[simp]:
 $map-option arity \circ F-get (Fenv.map-entry F2 f generalize-fundef) = map-option arity \circ F-get F2$
 ⟨proof⟩

lemma *instr-at-generalize-fundef-conv*:
 $instr-at (generalize-fundef fd) l = map-option generalize-instr \circ instr-at fd l$
 ⟨proof⟩

14.2 Semantics

inductive step (infix $\langle \rightarrow \rangle$ 55) **where**

step-push:

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IPush \ d) \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc } R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \ (\text{OpDyn} \\ & \ d \ \# \ \Sigma) \ \# \ \text{st}) \ | \end{aligned}$$

step-push-ubx1:

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IPushUbx1 \ n) \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc } R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \ (\text{OpUbx1} \\ & \ n \ \# \ \Sigma) \ \# \ \text{st}) \ | \end{aligned}$$

step-push-ubx2:

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IPushUbx2 \ b) \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc } R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \ (\text{OpUbx2} \\ & \ b \ \# \ \Sigma) \ \# \ \text{st}) \ | \end{aligned}$$

step-pop:

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } IPop \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc } R \ (x \ \# \ \Sigma) \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \\ & \ \Sigma \ \# \ \text{st}) \ | \end{aligned}$$

step-get:

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IGet \ n) \implies \\ & \ n < \text{length } R \implies \text{cast-Dyn } (R \ ! \ n) = \text{Some } d \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc } R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \ (\text{OpDyn} \\ & \ d \ \# \ \Sigma) \ \# \ \text{st}) \ | \end{aligned}$$

step-get-ubx-hit:

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IGetUbx \ \tau \ n) \implies \\ & \ n < \text{length } R \implies \text{cast-Dyn } (R \ ! \ n) = \text{Some } d \implies \text{unbox } \tau \ d = \text{Some } \text{blob} \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc } R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \ (\text{blob} \\ & \ \# \ \Sigma) \ \# \ \text{st}) \ | \end{aligned}$$

step-get-ubx-miss:

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IGetUbx \ \tau \ n) \implies \\ & \ n < \text{length } R \implies \text{cast-Dyn } (R \ ! \ n) = \text{Some } d \implies \text{unbox } \tau \ d = \text{None} \implies \\ & \ F' = \text{Fenv.map-entry } F \ \text{f generalize-fundef} \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc } R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F' \ H \ (\text{box-stack } \text{f } (\text{Frame } \text{f l } (\text{Suc} \\ & \ \text{pc}) \ R \ (\text{OpDyn } \ d \ \# \ \Sigma) \ \# \ \text{st})) \ | \end{aligned}$$

step-set:

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (ISet \ n) \implies \\ & \ n < \text{length } R \implies \text{cast-Dyn } \text{blob} = \text{Some } d \implies R' = R[n := \text{OpDyn } d] \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc } R \ (\text{blob} \ \# \ \Sigma) \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \\ & \ R' \ \Sigma \ \# \ \text{st}) \ | \end{aligned}$$

step-set-ubx:

$$\text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (ISetUbx \ \tau \ n) \implies$$

$n < \text{length } R \implies \text{cast-and-box } \tau \text{ blob} = \text{Some } d \implies R' = R[n := \text{OpDyn } d]$
 \implies
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (\text{blob } \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F \ H \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R' \ \Sigma \ \# \ st) \ |$

step-load:

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{ILoad } x) \implies$
 $\text{cast-Dyn } i = \text{Some } i' \implies \text{heap-get } H \ (x, i') = \text{Some } d \implies$
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (i \ \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F \ H \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ (\text{OpDyn } d \ \# \ \Sigma) \ \# \ st) \ |$

step-load-ubx-hit:

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{ILoadUbx } \tau \ x) \implies$
 $\text{cast-Dyn } i = \text{Some } i' \implies \text{heap-get } H \ (x, i') = \text{Some } d \implies \text{unbox } \tau \ d = \text{Some } \text{blob} \implies$
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (i \ \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F \ H \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ (\text{blob } \# \ \Sigma) \ \# \ st) \ |$

step-load-ubx-miss:

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{ILoadUbx } \tau \ x) \implies$
 $\text{cast-Dyn } i = \text{Some } i' \implies \text{heap-get } H \ (x, i') = \text{Some } d \implies \text{unbox } \tau \ d = \text{None}$
 \implies
 $F' = F\text{env.map-entry } F \ f \ \text{generalize-fundef} \implies$
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (i \ \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F' \ H \ (\text{box-stack } f \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ (\text{OpDyn } d \ \# \ \Sigma) \ \# \ st)) \ |$

step-store:

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{IStore } x) \implies$
 $\text{cast-Dyn } i = \text{Some } i' \implies \text{cast-Dyn } y = \text{Some } d \implies \text{heap-add } H \ (x, i') \ d = H' \implies$
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (i \ \# \ y \ \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F \ H' \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ \Sigma \ \# \ st) \ |$

step-store-ubx:

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{IStoreUbx } \tau \ x) \implies$
 $\text{cast-Dyn } i = \text{Some } i' \implies \text{cast-and-box } \tau \ \text{blob} = \text{Some } d \implies \text{heap-add } H \ (x, i') \ d = H' \implies$
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (i \ \# \ \text{blob } \ \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F \ H' \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ \Sigma \ \# \ st) \ |$

step-op:

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{IOp } op) \implies$
 $\mathfrak{Arity} \ op = ar \implies ar \leq \text{length } \Sigma \implies$
 $\text{ap-map-list } \text{cast-Dyn } (\text{take } ar \ \Sigma) = \text{Some } \Sigma' \implies$
 $\mathfrak{Inl} \ op \ \Sigma' = \text{None} \implies \mathfrak{Op} \ op \ \Sigma' = x \implies$
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ \Sigma \ \# \ st) \rightarrow \text{State } F \ H \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ (\text{OpDyn } x \ \# \ \text{drop } ar \ \Sigma) \ \# \ st) \ |$

step-op-inl:

$next-instr (F-get F) f l pc = Some (IOp op) \implies$
 $\mathcal{A}rity op = ar \implies ar \leq length \Sigma \implies$
 $ap-map-list cast-Dyn (take ar \Sigma) = Some \Sigma' \implies$
 $\mathcal{I}nl op \Sigma' = Some opinl \implies \mathcal{I}nlOp opinl \Sigma' = x \implies$
 $F' = Fenv.map-entry F f (\lambda fd. rewrite-fundef-body fd l pc (IOpInl opinl)) \implies$
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F' H (Frame f l (Suc pc) R (OpDyn$
 $x \# drop ar \Sigma) \# st) |$

step-op-inl-hit:

$next-instr (F-get F) f l pc = Some (IOpInl opinl) \implies$
 $\mathcal{A}rity (\mathcal{D}e\mathcal{I}nl opinl) = ar \implies ar \leq length \Sigma \implies$
 $ap-map-list cast-Dyn (take ar \Sigma) = Some \Sigma' \implies$
 $\mathcal{I}s\mathcal{I}nl opinl \Sigma' \implies \mathcal{I}nlOp opinl \Sigma' = x \implies$
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (Frame f l (Suc pc) R (OpDyn$
 $x \# drop ar \Sigma) \# st) |$

step-op-inl-miss:

$next-instr (F-get F) f l pc = Some (IOpInl opinl) \implies$
 $\mathcal{A}rity (\mathcal{D}e\mathcal{I}nl opinl) = ar \implies ar \leq length \Sigma \implies$
 $ap-map-list cast-Dyn (take ar \Sigma) = Some \Sigma' \implies$
 $\neg \mathcal{I}s\mathcal{I}nl opinl \Sigma' \implies \mathcal{I}nlOp opinl \Sigma' = x \implies$
 $F' = Fenv.map-entry F f (\lambda fd. rewrite-fundef-body fd l pc (IOp (\mathcal{D}e\mathcal{I}nl opinl)))$
 \implies
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F' H (Frame f l (Suc pc) R (OpDyn$
 $x \# drop ar \Sigma) \# st) |$

step-op-ubx:

$next-instr (F-get F) f l pc = Some (IOpUbx opubx) \implies$
 $\mathcal{D}e\mathcal{I}nl (\mathcal{B}ox opubx) = op \implies \mathcal{A}rity op = ar \implies ar \leq length \Sigma \implies$
 $\mathcal{U}brOp opubx (take ar \Sigma) = Some x \implies$
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (Frame f l (Suc pc) R (x \#$
 $drop ar \Sigma) \# st) |$

step-cjump:

$next-instr (F-get F) f l pc = Some (ICJump l_t l_f) \implies$
 $cast-Dyn y = Some d \implies is-true d \wedge l' = l_t \vee is-false d \wedge l' = l_f \implies$
 $State F H (Frame f l pc R (y \# \Sigma) \# st) \rightarrow State F H (Frame f l' 0 R \Sigma \#$
 $st) |$

step-call:

$next-instr (F-get F) f l pc = Some (ICall g) \implies$
 $F-get F g = Some gd \implies arity gd \leq length \Sigma \implies$
 $list-all is-dyn-operand (take (arity gd) \Sigma) \implies$
 $frame_g = allocate-frame g gd (take (arity gd) \Sigma) (OpDyn uninitialized) \implies$
 $State F H (Frame f l pc R_f \Sigma \# st) \rightarrow State F H (frame_g \# Frame f l pc R_f$
 $\Sigma \# st) |$

step-return:

$next-instr (F-get F) g l_g pc_g = Some IReturn \implies$

$F\text{-get } F\ g = \text{Some } gd \implies \text{arity } gd \leq \text{length } \Sigma_f \implies$
 $\text{length } \Sigma_g = \text{return } gd \implies \text{list-all is-dyn-operand } \Sigma_g \implies$
 $\text{frame}_{f'} = \text{Frame } f\ l_f\ (\text{Suc } pc_f)\ R_f\ (\Sigma_g\ @\ \text{drop } (\text{arity } gd)\ \Sigma_f) \implies$
 $\text{State } F\ H\ (\text{Frame } g\ l_g\ pc_g\ R_g\ \Sigma_g\ \# \text{Frame } f\ l_f\ pc_f\ R_f\ \Sigma_f\ \# st) \rightarrow \text{State } F\ H$
 $(\text{frame}_{f'}\ \# st)$

lemma *step-deterministic*:
assumes $s1 \rightarrow s2$ **and** $s1 \rightarrow s3$
shows $s2 = s3$
 $\langle \text{proof} \rangle$

lemma *step-right-unique: right-unique step*
 $\langle \text{proof} \rangle$

lemma *final-finished*:
assumes *final F-get IReturn s*
shows *finished step s*
 $\langle \text{proof} \rangle$

sublocale *ubx-sem: semantics step final F-get IReturn*
 $\langle \text{proof} \rangle$

definition *load where*
 $\text{load} \equiv \text{Global.load } F\text{-get } (\text{OpDyn uninitialized})$

sublocale *inca-lang: language step final F-get IReturn load*
 $\langle \text{proof} \rangle$

end

end
theory *Ubx-Verification*
imports *HOL-Library.Sublist Ubx Map-Extra*
begin

lemma *f-g-eq-f-imp-f-comp-g-eq-f[simp]*: $(\bigwedge x. f\ (g\ x) = f\ x) \implies (f \circ g) = f$
 $\langle \text{proof} \rangle$

context *ubx begin*

inductive *sp-instr for F ret where*

Push:
 $\text{sp-instr } F\ \text{ret } (\text{IPush } d)\ \Sigma\ (\text{None } \# \Sigma)\ |$
PushUbx1:
 $\text{sp-instr } F\ \text{ret } (\text{IPushUbx1 } u)\ \Sigma\ (\text{Some } \text{Ubx1 } \# \Sigma)\ |$
PushUbx2:
 $\text{sp-instr } F\ \text{ret } (\text{IPushUbx2 } u)\ \Sigma\ (\text{Some } \text{Ubx2 } \# \Sigma)\ |$
Pop:
 $\text{sp-instr } F\ \text{ret } \text{IPop } (\tau\ \# \Sigma)\ \Sigma\ |$

Get:
 $sp\text{-instr } F \text{ ret } (I\text{Get } n) \Sigma (None \# \Sigma) \mid$
GetUbx:
 $sp\text{-instr } F \text{ ret } (I\text{GetUbx } \tau \ n) \Sigma (Some \ \tau \ \# \ \Sigma) \mid$
Set:
 $sp\text{-instr } F \text{ ret } (I\text{Set } n) (None \# \Sigma) \Sigma \mid$
SetUbx:
 $sp\text{-instr } F \text{ ret } (I\text{SetUbx } \tau \ n) (Some \ \tau \ \# \ \Sigma) \Sigma \mid$
Load:
 $sp\text{-instr } F \text{ ret } (I\text{Load } x) (None \# \Sigma) (None \# \Sigma) \mid$
LoadUbx:
 $sp\text{-instr } F \text{ ret } (I\text{LoadUbx } \tau \ x) (None \# \Sigma) (Some \ \tau \ \# \ \Sigma) \mid$
Store:
 $sp\text{-instr } F \text{ ret } (I\text{Store } x) (None \# \ None \# \ \Sigma) \Sigma \mid$
StoreUbx:
 $sp\text{-instr } F \text{ ret } (I\text{StoreUbx } \tau \ x) (None \# \ Some \ \tau \ \# \ \Sigma) \Sigma \mid$
Op:
 $\Sigma i = (\text{replicate } (\mathcal{A}rity \ op) \ None \ @ \ \Sigma) \implies$
 $sp\text{-instr } F \text{ ret } (I\text{Op } op) \Sigma i (None \# \Sigma) \mid$
OpInl:
 $\Sigma i = (\text{replicate } (\mathcal{A}rity \ (\mathcal{D}e\mathcal{I}nl \ op\text{inl})) \ None \ @ \ \Sigma) \implies$
 $sp\text{-instr } F \text{ ret } (I\text{OpInl } op\text{inl}) \Sigma i (None \# \Sigma) \mid$
OpUbx:
 $\Sigma i = \text{fst } (\mathcal{T}ype\mathcal{D}f\mathcal{D}p \ opubx) \ @ \ \Sigma \implies \text{result} = \text{snd } (\mathcal{T}ype\mathcal{D}f\mathcal{D}p \ opubx) \implies$
 $sp\text{-instr } F \text{ ret } (I\text{OpUbx } opubx) \Sigma i (\text{result} \# \Sigma) \mid$
CJump:
 $sp\text{-instr } F \text{ ret } (I\text{CJump } l_t \ l_f) [None] \ \square \ \mid$
Call:
 $F \ f = \text{Some } (ar, r) \implies \Sigma i = \text{replicate } ar \ None \ @ \ \Sigma \implies \Sigma o = \text{replicate } r \ None$
 $@ \ \Sigma \implies$
 $sp\text{-instr } F \text{ ret } (I\text{Call } f) \Sigma i \Sigma o \mid$
Return: $\Sigma i = \text{replicate } ret \ None \implies$
 $sp\text{-instr } F \text{ ret } I\text{Return } \Sigma i \ \square$

inductive $sp\text{-instrs}$ for $F \text{ ret}$ where

Nil:
 $sp\text{-instrs } F \text{ ret } \ \square \ \Sigma \ \Sigma \ \mid$
Cons:
 $sp\text{-instr } F \text{ ret } instr \ \Sigma i \ \Sigma \implies sp\text{-instrs } F \text{ ret } instrs \ \Sigma \ \Sigma o \implies$
 $sp\text{-instrs } F \text{ ret } (instr \ \# \ instrs) \Sigma i \Sigma o$

lemmas $sp\text{-instrs-ConsE} = sp\text{-instrs.cases}[of \ - \ - \ x \ \# \ xs \ \text{for } x \ xs, \ \text{simplified}]$

lemma $sp\text{-instrs-ConsD}$:

assumes $sp\text{-instrs } F \text{ ret } (instr \ \# \ instrs) \Sigma i \Sigma o$
shows $\exists \Sigma. sp\text{-instr } F \text{ ret } instr \ \Sigma i \Sigma \wedge sp\text{-instrs } F \text{ ret } instrs \ \Sigma \Sigma o$
<proof>

lemma $sp\text{-instr-deterministic}$:

assumes
sp-instr F *ret instr* $\Sigma i \Sigma o$ **and**
sp-instr F *ret instr* $\Sigma i \Sigma o'$
shows $\Sigma o = \Sigma o'$
 \langle *proof* \rangle

lemma *sp-instr-right-unique*: *right-unique* $(\lambda(\text{instr}, \Sigma i) \Sigma o. \text{sp-instr } F \text{ ret instr } \Sigma i \Sigma o)$
 \langle *proof* \rangle

lemma *sp-instrs-deterministic*:
assumes
sp-instrs F *ret instr* $\Sigma i \Sigma o$ **and**
sp-instrs F *ret instr* $\Sigma i \Sigma o'$
shows $\Sigma o = \Sigma o'$
 \langle *proof* \rangle

fun *fun-call-in-range* **where**
fun-call-in-range F (*ICall* f) $\longleftrightarrow f \in \text{dom } F$ |
fun-call-in-range F *instr* $\longleftrightarrow \text{True}$

lemma *fun-call-in-range-generalize-instr*[*simp*]:
fun-call-in-range F (*generalize-instr* *instr*) $\longleftrightarrow \text{fun-call-in-range } F \text{ instr}$
 \langle *proof* \rangle

lemma *sp-instr-complete*:
assumes *fun-call-in-range* F *instr*
shows $\exists \Sigma i \Sigma o. \text{sp-instr } F \text{ ret instr } \Sigma i \Sigma o$
 \langle *proof* \rangle

lemma *sp-instr-Op2I*:
assumes $\mathfrak{A}(\text{arity}) \text{ op} = 2$
shows *sp-instr* F *ret* (*IOp* *op*) (*None* # *None* # Σ) (*None* # Σ)
 \langle *proof* \rangle

lemma
assumes
F-def: $F = \text{Map.empty}$ **and**
arity-op: $\mathfrak{A}(\text{arity}) \text{ op} = 2$
shows *sp-instrs* F 1 [*IPush* x , *IPush* y , *IOp* *op*, *IReturn*] [] []
 \langle *proof* \rangle

lemma *sp-instrs-NilD*[*dest*]: *sp-instrs* F *ret* [] $\Sigma i \Sigma o \implies \Sigma i = \Sigma o$
 \langle *proof* \rangle

lemma *sp-instrs-list-update*:
assumes
sp-instrs F *ret instrs* $\Sigma i \Sigma o$ **and**
sp-instr F *ret* (*instrs*!n) = *sp-instr* F *ret instr*

shows $sp\text{-instrs } F \text{ ret } (instrs[n := instr]) \Sigma i \Sigma o$
 $\langle proof \rangle$

lemma $sp\text{-instrs-appendD}$:

assumes $sp\text{-instrs } F \text{ ret } (instrs1 @ instrs2) \Sigma i \Sigma o$
shows $\exists \Sigma. sp\text{-instrs } F \text{ ret } instrs1 \Sigma i \Sigma \wedge sp\text{-instrs } F \text{ ret } instrs2 \Sigma \Sigma o$
 $\langle proof \rangle$

lemma $sp\text{-instrs-appendD}'$:

assumes $sp\text{-instrs } F \text{ ret } (instrs1 @ instrs2) \Sigma i \Sigma o$ **and** $sp\text{-instrs } F \text{ ret } instrs1 \Sigma i \Sigma$
shows $sp\text{-instrs } F \text{ ret } instrs2 \Sigma \Sigma o$
 $\langle proof \rangle$

lemma $sp\text{-instrs-appendI[intro]}$:

assumes $sp\text{-instrs } F \text{ ret } instrs1 \Sigma i \Sigma$ **and** $sp\text{-instrs } F \text{ ret } instrs2 \Sigma \Sigma o$
shows $sp\text{-instrs } F \text{ ret } (instrs1 @ instrs2) \Sigma i \Sigma o$
 $\langle proof \rangle$

lemma $sp\text{-instrs-singleton-conv[simp]}$:

$sp\text{-instrs } F \text{ ret } [instr] \Sigma i \Sigma o \longleftrightarrow sp\text{-instr } F \text{ ret } instr \Sigma i \Sigma o$
 $\langle proof \rangle$

lemma $sp\text{-instrs-singletonI}$:

assumes $sp\text{-instr } F \text{ ret } instr \Sigma i \Sigma o$
shows $sp\text{-instrs } F \text{ ret } [instr] \Sigma i \Sigma o$
 $\langle proof \rangle$

fun $local\text{-var-in-range}$ **where**

$local\text{-var-in-range } n (IGet k) \longleftrightarrow k < n \mid$
 $local\text{-var-in-range } n (IGetUbx \tau k) \longleftrightarrow k < n \mid$
 $local\text{-var-in-range } n (ISet k) \longleftrightarrow k < n \mid$
 $local\text{-var-in-range } n (ISetUbx \tau k) \longleftrightarrow k < n \mid$
 $local\text{-var-in-range } - - \longleftrightarrow True$

lemma $local\text{-var-in-range-generalize-instr[simp]}$:

$local\text{-var-in-range } n (generalize\text{-instr } instr) \longleftrightarrow local\text{-var-in-range } n instr$
 $\langle proof \rangle$

lemma $local\text{-var-in-range-comp-generalize-instr[simp]}$:

$local\text{-var-in-range } n \circ generalize\text{-instr} = local\text{-var-in-range } n$
 $\langle proof \rangle$

fun $jump\text{-in-range}$ **where**

$jump\text{-in-range } L (ICJump l_t l_f) \longleftrightarrow \{l_t, l_f\} \subseteq L \mid$
 $jump\text{-in-range } L - \longleftrightarrow True$

inductive $wf\text{-basic-block}$ **for** $F L \text{ ret } n$ **where**

$instrs \neq [] \implies$

list-all (*local-var-in-range* *n*) *instrs* \implies
list-all (*fun-call-in-range* *F*) *instrs* \implies
list-all (*jump-in-range* *L*) *instrs* \implies
list-all ($\lambda i. \neg \text{is-jump } i \wedge \neg \text{is-return } i$) (*butlast instrs*) \implies
sp-instrs *F* *ret instrs* [] [] \implies
wf-basic-block *F* *L* *ret n* (*label, instrs*)

lemmas *wf-basic-blockI* = *wf-basic-block.simps*[*THEN iffD2*]
lemmas *wf-basic-blockD* = *wf-basic-block.simps*[*THEN iffD1*]

definition *wf-fundef* **where**

wf-fundef *F* *fd* \longleftrightarrow
body *fd* \neq [] \wedge
list-all
(*wf-basic-block* *F* (*fst* ‘ *set* (*body* *fd*)) (*return* *fd*) (*arity* *fd* + *fundef-locals* *fd*))
(*body* *fd*)

lemmas *wf-fundefI* = *wf-fundef-def*[*THEN iffD2, OF conjI*]
lemmas *wf-fundefD* = *wf-fundef-def*[*THEN iffD1*]
lemmas *wf-fundef-body-neq-NilD* = *wf-fundefD*[*THEN conjunct1*]
lemmas *wf-fundef-all-wf-basic-blockD* = *wf-fundefD*[*THEN conjunct2*]

definition *wf-fundefs* **where**

wf-fundefs *F* \longleftrightarrow ($\forall f. \text{pred-option } (\text{wf-fundef } (\text{map-option funtype } \circ F)) (F f)$)

lemmas *wf-fundefsI* = *wf-fundefs-def*[*THEN iffD2*]
lemmas *wf-fundefsD* = *wf-fundefs-def*[*THEN iffD1*]

lemma *wf-fundefs-getD*:

shows *wf-fundefs* *F* \implies *F* *f* = *Some* *fd* \implies *wf-fundef* (*map-option funtype* \circ *F*)
fd
<proof>

definition *wf-prog* **where**

wf-prog *p* \longleftrightarrow *wf-fundefs* (*F*.*get* (*prog-fundefs* *p*))

definition *wf-state* **where**

wf-state *s* \longleftrightarrow *wf-fundefs* (*F*.*get* (*state-fundefs* *s*))

lemmas *wf-stateI* = *wf-state-def*[*THEN iffD2*]
lemmas *wf-stateD* = *wf-state-def*[*THEN iffD1*]

lemma *sp-instr-generalize0*:

assumes *sp-instr* *F* *ret instr* $\Sigma i \Sigma o$ **and**
 $\Sigma i' = \text{map } (\lambda-. \text{None}) \Sigma i$ **and** $\Sigma o' = \text{map } (\lambda-. \text{None}) \Sigma o$
shows *sp-instr* *F* *ret* (*generalize-instr* *instr*) $\Sigma i' \Sigma o'$
<proof>

lemma *sp-instrs-generalize0*:

assumes *sp-instrs* *F* *ret* *instrs* Σi Σo **and**
 $\Sigma i' = \text{map } (\lambda\cdot. \text{None}) \Sigma i$ **and** $\Sigma o' = \text{map } (\lambda\cdot. \text{None}) \Sigma o$
shows *sp-instrs* *F* *ret* (*map generalize-instr instrs*) $\Sigma i'$ $\Sigma o'$
<proof>

lemmas *sp-instr-generalize* = *sp-instr-generalize0*[*OF* - *refl* *refl*]
lemmas *sp-instr-generalize-Nil-Nil* = *sp-instr-generalize*[*of* - - - [] [], *simplified*]
lemmas *sp-instrs-generalize* = *sp-instrs-generalize0*[*OF* - *refl* *refl*]
lemmas *sp-instrs-generalize-Nil-Nil* = *sp-instrs-generalize*[*of* - - - [] [], *simplified*]

lemma *jump-in-range-generalize-instr*[*simp*]:
jump-in-range *L* (*generalize-instr instr*) \longleftrightarrow *jump-in-range* *L* *instr*
<proof>

lemma *wf-basic-block-map-generalize-instr*:
assumes *wf-basic-block* *F* *L* *ret* *n* (*label*, *instrs*)
shows *wf-basic-block* *F* *L* *ret* *n* (*label*, *map generalize-instr instrs*)
<proof>

lemma *list-all-wf-basic-block-generalize-fundef*:
assumes *list-all* (*wf-basic-block* *F* *L* *ret* *n*) (*body* *fd*)
shows *list-all* (*wf-basic-block* *F* *L* *ret* *n*) (*body* (*generalize-fundef* *fd*))
<proof>

lemma *wf-fundefs-map-entry*:
assumes *wf-F*: *wf-fundefs* (*F*-*get* *F*) **and**
same-funtype: $\bigwedge fd. fd \in \text{ran } (F\text{-get } F) \implies \text{funtype } (f \text{ } fd) = \text{funtype } fd$ **and**
same-arity: $\bigwedge fd. F\text{-get } F \ x = \text{Some } fd \implies \text{arity } (f \text{ } fd) = \text{arity } fd$ **and**
same-return: $\bigwedge fd. F\text{-get } F \ x = \text{Some } fd \implies \text{return } (f \text{ } fd) = \text{return } fd$ **and**
same-body-length: $\bigwedge fd. F\text{-get } F \ x = \text{Some } fd \implies \text{length } (\text{body } (f \text{ } fd)) = \text{length}$
(*body* *fd*) **and**
same-locals: $\bigwedge fd. F\text{-get } F \ x = \text{Some } fd \implies \text{fundef-locals } (f \text{ } fd) = \text{fundef-locals}$
fd **and**
same-labels: $\bigwedge fd. F\text{-get } F \ x = \text{Some } fd \implies \text{fst ' set } (\text{body } (f \text{ } fd)) = \text{fst ' set}$
(*body* *fd*) **and**
list-all-wf-basic-block-f: $\bigwedge fd.$
 $F\text{-get } F \ x = \text{Some } fd \implies$
list-all (*wf-basic-block* (*map-option funtype* \circ *F*-*get* *F*) (*fst ' set* (*body* *fd*))
(*return* *fd*)
(*arity* *fd* + *fundef-locals* *fd*)) (*body* *fd*) \implies
list-all (*wf-basic-block* (*map-option funtype* \circ *F*-*get* *F*) (*fst ' set* (*body* *fd*))
(*return* *fd*)
(*arity* *fd* + *fundef-locals* *fd*)) (*body* (*f* *fd*))
shows *wf-fundefs* (*F*-*get* (*Fenv.map-entry* *F* *f*))
<proof>

lemma *wf-fundefs-generalize*:
assumes *wf-F*: *wf-fundefs* (*F*-*get* *F*)
shows *wf-fundefs* (*F*-*get* (*Fenv.map-entry* *F* *f* *generalize-fundef*))

<proof>

lemma *list-all-wf-basic-block-rewrite-fundef-body:*

assumes

list-all (wf-basic-block F L ret n) (body fd) and

instr-at fd l pc = Some instr and

sp-instr-eq: sp-instr F ret instr = sp-instr F ret instr' and

local-var-in-range-iff: local-var-in-range n instr' \longleftrightarrow local-var-in-range n instr

and

fun-call-in-range-iff: fun-call-in-range F instr' \longleftrightarrow fun-call-in-range F instr

and

jump-in-range-iff: jump-in-range L instr' \longleftrightarrow jump-in-range L instr and

is-jump-iff: is-jump instr' \longleftrightarrow is-jump instr and

is-return-iff: is-return instr' \longleftrightarrow is-return instr

shows *list-all (wf-basic-block F L ret n) (body (rewrite-fundef-body fd l pc instr'))*

<proof>

lemma *wf-fundefs-rewrite-body:*

assumes *wf-fundefs (F-get F) and*

next-instr (F-get F) f l pc = Some instr and

sp-instr-eq: \bigwedge ret.

sp-instr (map-option funtype \circ F-get F) ret instr' =

sp-instr (map-option funtype \circ F-get F) ret instr and

local-var-in-range-iff: \bigwedge n. local-var-in-range n instr' \longleftrightarrow local-var-in-range n

instr and

fun-call-in-range-iff:

fun-call-in-range (map-option funtype \circ F-get F) instr' \longleftrightarrow

fun-call-in-range (map-option funtype \circ F-get F) instr and

jump-in-range-iff: \bigwedge L. jump-in-range L instr' \longleftrightarrow jump-in-range L instr and

is-jump-iff: is-jump instr' \longleftrightarrow is-jump instr and

is-return-iff: is-return instr' \longleftrightarrow is-return instr

shows *wf-fundefs (F-get (Fenv.map-entry F f (λ fd. rewrite-fundef-body fd l pc instr')))*

<proof>

lemma *sp-instr-Op-OpInl-conv:*

assumes *op = \mathfrak{DcInl} opinl*

shows *sp-instr F ret (IOp op) = sp-instr F ret (IOpInl opinl)*

<proof>

lemma *wf-state-step-preservation:*

assumes *wf-state s and step s s'*

shows *wf-state s'*

<proof>

end


```

end
theory Unboxed-lemmas
  imports Unboxed
begin

lemma cast-Dyn-eq-Some-imp-typeof: cast-Dyn u = Some d  $\implies$  typeof u = None
  <proof>

lemma typeof-bind-OpDyn[simp]: typeof  $\circ$  OpDyn = ( $\lambda$ -. None)
  <proof>

lemma is-dyn-operand-eq-typeof: is-dyn-operand = ( $\lambda$ x. typeof x = None)
  <proof>

lemma is-dyn-operand-eq-typeof-Dyn[simp]: is-dyn-operand x  $\longleftrightarrow$  typeof x = None
  <proof>

lemma typeof-unboxed-eq-const:
  fixes x
  shows
    typeof x = None  $\longleftrightarrow$  ( $\exists$  d. x = OpDyn d)
    typeof x = Some Ubx1  $\longleftrightarrow$  ( $\exists$  n. x = OpUbx1 n)
    typeof x = Some Ubx2  $\longleftrightarrow$  ( $\exists$  b. x = OpUbx2 b)
  <proof>

lemmas typeof-unboxed-inversion = typeof-unboxed-eq-const[THEN iffD1]

lemma cast-inversions:
  cast-Dyn x = Some d  $\implies$  x = OpDyn d
  cast-Ubx1 x = Some n  $\implies$  x = OpUbx1 n
  cast-Ubx2 x = Some b  $\implies$  x = OpUbx2 b
  <proof>

lemma ap-map-list-cast-Dyn-replicate:
  assumes ap-map-list cast-Dyn xs = Some ys
  shows map typeof xs = replicate (length xs) None
  <proof>

context unboxedval begin

lemma unbox-typeof[simp]: unbox  $\tau$  d = Some blob  $\implies$  typeof blob = Some  $\tau$ 
  <proof>

lemma cast-and-box-imp-typeof[simp]: cast-and-box  $\tau$  blob = Some d  $\implies$  typeof blob = Some  $\tau$ 
  <proof>

lemma norm-unbox-inverse[simp]: unbox  $\tau$  d = Some blob  $\implies$  norm-unboxed blob

```

= d
⟨proof⟩

lemma *norm-cast-and-box-inverse*[simp]:
cast-and-box τ blob = Some $d \implies$ norm-unboxed blob = d
⟨proof⟩

lemma *typeof-and-norm-unboxed-imp-cast-Dyn*:
assumes *typeof* $x' = \text{None}$ norm-unboxed $x' = x$
shows *cast-Dyn* $x' = \text{Some } x$
⟨proof⟩

lemma *typeof-and-norm-unboxed-imp-cast-and-box*:
assumes *typeof* $x' = \text{Some } \tau$ norm-unboxed $x' = x$
shows *cast-and-box* τ $x' = \text{Some } x$
⟨proof⟩

lemma *norm-unboxed-bind-OpDyn*[simp]: norm-unboxed \circ OpDyn = *id*
⟨proof⟩

lemmas *box-stack-Nil*[simp] = list.map(1)[of *box-frame* f for f , folded *box-stack-def*]
lemmas *box-stack-Cons*[simp] = list.map(2)[of *box-frame* f for f , folded *box-stack-def*]

lemma *typeof-box-operand*[simp]: *typeof* (box-operand u) = None
⟨proof⟩

lemma *typeof-box-operand-comp*[simp]: *typeof* \circ box-operand = (λ -. None)
⟨proof⟩

lemma *is-dyn-box-operand*: *is-dyn-operand* (box-operand x)
⟨proof⟩

lemma *is-dyn-operand-comp-box-operand*[simp]: *is-dyn-operand* \circ box-operand =
(λ -. True)
⟨proof⟩

lemma *norm-box-operand*[simp]: norm-unboxed (box-operand x) = norm-unboxed
 x
⟨proof⟩

end

end

theory *Inca-to-Ubx-simulation*

imports *List-util* *Result*

VeriComp.Simulation

Inca Ubx Ubx-Verification Unboxed-lemmas

begin

lemma *take-:Suc n = length xs \implies take n xs = butlast xs*
 ⟨proof⟩

lemma *append-take-singleton-conv:Suc n = length xs \implies xs = take n xs @ [xs ! n]*
 ⟨proof⟩

15 Locale imports

locale *inca-to-ubx-simulation =*

Sinca: inca

Finca-empty Finca-get Finca-add Finca-to-list
heap-empty heap-get heap-add heap-to-list
uninitialized is-true is-false

Op Arity InlOp Inl IsInl DeInl +

Subx: ubx

Fubx-empty Fubx-get Fubx-add Fubx-to-list
heap-empty heap-get heap-add heap-to-list
uninitialized is-true is-false

box-ubx1 unbox-ubx1 box-ubx2 unbox-ubx2

Op Arity InlOp Inl IsInl DeInl UbxOp Ubx Box TypeOfOp

for

— Functions environments

Finca-empty and

Finca-get :: 'fenv-inca \implies 'fun \implies ('label, ('dyn, 'var, 'fun, 'label, 'op, 'opinl)

Inca.instr) fundef option and

Finca-add and Finca-to-list and

Fubx-empty and

Fubx-get :: 'fenv-ubx \implies 'fun \implies ('label, ('dyn, 'var, 'fun, 'label, 'op, 'opinl, 'opubx, 'ubx1, 'ubx2) Ubx.instr) fundef option and

Fubx-add and Fubx-to-list and

— Memory heap

heap-empty and heap-get :: 'henv \implies 'var \times 'dyn \implies 'dyn option and heap-add and heap-to-list and

— Dynamic values

uninitialized :: 'dyn and is-true and is-false and

— Unboxed values

box-ubx1 and unbox-ubx1 and

box-ubx2 and unbox-ubx2 and

— n-ary operations

Op and Arity and InlOp and Inl and IsInl and DeInl and UbxOp and Ubx and Box and TypeOfOp
begin

16 Normalization

fun *norm-instr* **where**

norm-instr (*Ubx.IPush* *d*) = *Inca.IPush* *d* |
norm-instr (*Ubx.IPushUbx1* *n*) = *Inca.IPush* (*box-ubx1* *n*) |
norm-instr (*Ubx.IPushUbx2* *b*) = *Inca.IPush* (*box-ubx2* *b*) |
norm-instr *Ubx.IPop* = *Inca.IPop* |
norm-instr (*Ubx.IGet* *n*) = *Inca.IGet* *n* |
norm-instr (*Ubx.IGetUbx* - *n*) = *Inca.IGet* *n* |
norm-instr (*Ubx.ISet* *n*) = *Inca.ISet* *n* |
norm-instr (*Ubx.ISetUbx* - *n*) = *Inca.ISet* *n* |
norm-instr (*Ubx.ILoad* *x*) = *Inca.ILoad* *x* |
norm-instr (*Ubx.ILoadUbx* - *x*) = *Inca.ILoad* *x* |
norm-instr (*Ubx.IStore* *x*) = *Inca.IStore* *x* |
norm-instr (*Ubx.IStoreUbx* - *x*) = *Inca.IStore* *x* |
norm-instr (*Ubx.IOp* *op*) = *Inca.IOp* *op* |
norm-instr (*Ubx.IOpInl* *op*) = *Inca.IOpInl* *op* |
norm-instr (*Ubx.IOpUbx* *op*) = *Inca.IOpInl* (**Box** *op*) |
norm-instr (*Ubx.ICJump* *l_t* *l_f*) = *Inca.ICJump* *l_t* *l_f* |
norm-instr (*Ubx.ICall* *x*) = *Inca.ICall* *x* |
norm-instr *Ubx.IReturn* = *Inca.IReturn*

lemma *norm-generalize-instr[simp]*: *norm-instr* (*Subx.generalize-instr* *instr*) = *norm-instr* *instr*

⟨*proof*⟩

abbreviation *norm-eq* **where**

norm-eq *x* *y* ≡ *x* = *norm-instr* *y*

definition *rel-fundefs* **where**

rel-fundefs *f* *g* = (∀ *x*. *rel-option* (*rel-fundef* (=) *norm-eq*) (*f* *x*) (*g* *x*))

lemma *rel-fundefsI*:

assumes ∧*x*. *rel-option* (*rel-fundef* (=) *norm-eq*) (*F1* *x*) (*F2* *x*)

shows *rel-fundefs* *F1* *F2*

⟨*proof*⟩

lemma *rel-fundefsD*:

assumes *rel-fundefs* *F1* *F2*

shows *rel-option* (*rel-fundef* (=) *norm-eq*) (*F1* *x*) (*F2* *x*)

⟨*proof*⟩

lemma *rel-fundefs-next-instr*:

assumes *rel-F1-F2*: *rel-fundefs* *F1* *F2*

shows *rel-option* *norm-eq* (*next-instr* *F1* *f* *l* *pc*) (*next-instr* *F2* *f* *l* *pc*)

⟨*proof*⟩

lemma *rel-fundefs-next-instr1*:

assumes *rel-F1-F2*: *rel-fundefs* *F1* *F2* **and** *next-instr1*: *next-instr* *F1* *f* *l* *pc* =

Some instr1

shows $\exists \text{instr2}. \text{next-instr } F2 \text{ f l pc} = \text{Some instr2} \wedge \text{norm-eq instr1 instr2}$
<proof>

lemma *rel-fundefs-next-instr2:*

assumes *rel-F1-F2: rel-fundefs F1 F2* **and** *next-instr2: next-instr F2 f l pc = Some instr2*
shows $\exists \text{instr1}. \text{next-instr } F1 \text{ f l pc} = \text{Some instr1} \wedge \text{norm-eq instr1 instr2}$
<proof>

lemma *rel-fundefs-empty: rel-fundefs ($\lambda\cdot. \text{None}$) ($\lambda\cdot. \text{None}$)*
<proof>

lemma *rel-fundefs-None1:*

assumes *rel-fundefs f g* **and** $f \ x = \text{None}$
shows $g \ x = \text{None}$
<proof>

lemma *rel-fundefs-None2:*

assumes *rel-fundefs f g* **and** $g \ x = \text{None}$
shows $f \ x = \text{None}$
<proof>

lemma *rel-fundefs-Some1:*

assumes *rel-fundefs f g* **and** $f \ x = \text{Some } y$
shows $\exists z. g \ x = \text{Some } z \wedge \text{rel-fundef } (=) \text{ norm-eq } y \ z$
<proof>

lemma *rel-fundefs-Some2:*

assumes *rel-fundefs f g* **and** $g \ x = \text{Some } y$
shows $\exists z. f \ x = \text{Some } z \wedge \text{rel-fundef } (=) \text{ norm-eq } z \ y$
<proof>

lemma *rel-fundefs-rel-option:*

assumes *rel-fundefs f g* **and** $\bigwedge x \ y. \text{rel-fundef } (=) \text{ norm-eq } x \ y \implies h \ x \ y$
shows *rel-option h (f z) (g z)*
<proof>

lemma *rel-fundef-generalizeI:*

assumes *rel-fundef (=) norm-eq fd1 fd2*
shows *rel-fundef (=) norm-eq fd1 (Subx.generalize-fundef fd2)*
<proof>

lemma *rel-fundefs-generalizeI:*

assumes *rel-fundefs (Finc-get F1) (Fubx-get F2)*
shows *rel-fundefs (Finc-get F1) (Fubx-get (Subx.Fenv.map-entry F2 f Subx.generalize-fundef))*
<proof>

lemma *rel-fundefs-rewriteI:*

assumes
rel-F1-F2: *rel-fundefs* (*Finca-get* *F1*) (*Fubx-get* *F2*) **and**
norm-eq instr1' instr2'
shows *rel-fundefs*
(*Finca-get* (*Sinca.Fenv.map-entry* *F1 f* ($\lambda fd. \text{rewrite-fundef-body } fd \ l \ pc \ instr1'$)))
(*Fubx-get* (*Subx.Fenv.map-entry* *F2 f* ($\lambda fd. \text{rewrite-fundef-body } fd \ l \ pc \ instr2'$)))
(**is** *rel-fundefs* (*Finca-get* *?F1'*) (*Fubx-get* *?F2'*))
⟨*proof*⟩

17 Equivalence of call stacks

definition *norm-stack* :: (*'dyn*, *'ubx1*, *'ubx2*) *unboxed list* \Rightarrow *'dyn list* **where**
norm-stack $\Sigma \equiv List.map \ Subx.norm-unboxed \ \Sigma$

lemma *norm-stack-Nil[simp]*: *norm-stack* [] = []
⟨*proof*⟩

lemma *norm-stack-Cons[simp]*: *norm-stack* (*d* # Σ) = *Subx.norm-unboxed* *d* #
norm-stack Σ
⟨*proof*⟩

lemma *norm-stack-append*: *norm-stack* (*xs* @ *ys*) = *norm-stack* *xs* @ *norm-stack*
ys
⟨*proof*⟩

lemmas *drop-norm-stack* = *drop-map*[**where** *f* = *Subx.norm-unboxed*, *folded norm-stack-def*]
lemmas *take-norm-stack* = *take-map*[**where** *f* = *Subx.norm-unboxed*, *folded norm-stack-def*]
lemmas *norm-stack-map* = *map-map*[**where** *f* = *Subx.norm-unboxed*, *folded norm-stack-def*]

lemma *norm-box-stack[simp]*: *norm-stack* (*map* *Subx.box-operand* Σ) = *norm-stack*
 Σ
⟨*proof*⟩

lemma *length-norm-stack[simp]*: *length* (*norm-stack* *xs*) = *length* *xs*
⟨*proof*⟩

definition *is-valid-fun-call* **where**

is-valid-fun-call *F f l pc* Σ *g* \equiv *next-instr* *F f l pc* = *Some* (*ICall* *g*) \wedge
 $(\exists gd. F \ g = \text{Some } gd \wedge \text{arity } gd \leq \text{length } \Sigma \wedge \text{list-all is-dyn-operand } (\text{take}$
 $(\text{arity } gd) \ \Sigma))$

lemma *is-valid-funcall-map-entry-generalize-fundefI*:

assumes *is-valid-fun-call* (*Fubx-get* *F2*) *g l pc* Σ *z*
shows *is-valid-fun-call* (*Fubx-get* (*Subx.Fenv.map-entry* *F2 f* *Subx.generalize-fundef*))
g l pc Σ *z*
⟨*proof*⟩

lemma *is-valid-fun-call-map-box-operandI*:

assumes *is-valid-fun-call* (*Fubx-get* *F2*) *g l pc* Σ *z*

shows *is-valid-fun-call* (*Fubx-get* *F2*) *g l pc* (*map Subx.box-operand* Σ) *z*
 ⟨*proof*⟩

lemma *inst-at-rewrite-fundef-body-disj*:
instr-at (*rewrite-fundef-body* *fd l pc instr*) *l pc* = *Some instr* \vee
instr-at (*rewrite-fundef-body* *fd l pc instr*) *l pc* = *None*
 ⟨*proof*⟩

lemma *is-valid-fun-call-map-entry-conv*:
assumes *next-instr* (*Fubx-get* *F2*) *f l pc* = *Some instr* \neg *is-fun-call instr* \neg
is-fun-call instr'
shows
is-valid-fun-call (*Fubx-get* (*Subx.Fenv.map-entry* *F2 f* (λ *fd. rewrite-fundef-body*
fd l pc instr'))) =
is-valid-fun-call (*Fubx-get* *F2*)
 ⟨*proof*⟩

lemma *is-valid-fun-call-map-entry-neq-f-neq-l*:
assumes *f* \neq *g* *l* \neq *l'*
shows
is-valid-fun-call (*Fubx-get* (*Subx.Fenv.map-entry* *F2 f* (λ *fd. rewrite-fundef-body*
fd l pc instr'))) *g l'* =
is-valid-fun-call (*Fubx-get* *F2*) *g l'*
 ⟨*proof*⟩

inductive *rel-stacktraces* for *F* where

rel-stacktraces-Nil:
rel-stacktraces *F opt* [] [] |

rel-stacktraces-Cons:
rel-stacktraces *F* (*Some f*) *st1 st2* \implies
 $\Sigma 1 = \text{map } \text{Subx.norm-unboxed } \Sigma 2 \implies$
 $R 1 = \text{map } \text{Subx.norm-unboxed } R 2 \implies$
 $\text{list-all is-dyn-operand } R 2 \implies$
 $F f = \text{Some } fd 2 \implies \text{map-of (body } fd 2) l = \text{Some instrs} \implies$
 $\text{Subx.sp-instrs (map-option funtype } \circ F) (\text{return } fd 2) (\text{take } pc \text{ instrs}) [] (\text{map}$
 $\text{typeof } \Sigma 2) \implies$
 $\text{pred-option (is-valid-fun-call } F f l pc \Sigma 2) \text{ opt} \implies$
rel-stacktraces *F opt* (*Frame* *f l pc R1* $\Sigma 1$ $\#$ *st1*) (*Frame* *f l pc R2* $\Sigma 2$ $\#$ *st2*)

lemma *rel-stacktraces-map-entry-gneralize-fundefI[intro]*:
assumes *rel-stacktraces* (*Fubx-get* *F2*) *opt st1 st2*
shows *rel-stacktraces* (*Fubx-get* (*Subx.Fenv.map-entry* *F2 f* *Subx.generalize-fundef*))
opt st1 (*Subx.box-stack* *f st2*)
 ⟨*proof*⟩

lemma *rel-stacktraces-map-entry-rewrite-fundef-body*:
assumes
rel-stacktraces (*Fubx-get* *F2*) *opt st1 st2* **and**

$next_instr (Fubx_get F2) f l pc = Some\ instr$ **and**
 $\bigwedge ret. Subx.sp_instr (map_option\ funtype \circ Fubx_get\ F2) ret\ instr =$
 $Subx.sp_instr (map_option\ funtype \circ Fubx_get\ F2) ret\ instr'$ **and**
 $\neg is_fun_call\ instr \neg is_fun_call\ instr'$
shows $rel_stacktraces$
 $(Fubx_get (Subx.Fenv.map_entry\ F2\ f (\lambda fd. rewrite_fundef_body\ fd\ l\ pc\ instr'))$
 $opt\ st1\ st2$
 $\langle proof \rangle$

18 Simulation relation

inductive $match$ (**infix** $\langle \sim \rangle$ 55) **where**
 $matchI: Subx.wf_state (State\ F2\ H\ st2) \implies$
 $rel_fundefs (Finca_get\ F1) (Fubx_get\ F2) \implies$
 $rel_stacktraces (Fubx_get\ F2) None\ st1\ st2 \implies$
 $match (State\ F1\ H\ st1) (State\ F2\ H\ st2)$

lemmas $matchI[consumes\ 0, case_names\ wf_state\ rel_fundefs\ rel_stacktraces] =$
 $match.intros(1)$

19 Backward simulation

lemma $map_eq_append_map_drop$:
 $map\ f\ xs = ys @ map\ f (drop\ n\ xs) \longleftrightarrow map\ f (take\ n\ xs) = ys$
 $\langle proof \rangle$

lemma $ap_map_list_cast_Dyn_to_map_norm$:
assumes $ap_map_list\ cast_Dyn\ xs = Some\ ys$
shows $ys = map\ Subx.norm_unboxed\ xs$
 $\langle proof \rangle$

lemma $ap_map_list_cast_Dyn_to_all_Dyn$:
assumes $ap_map_list\ cast_Dyn\ xs = Some\ ys$
shows $list_all (\lambda x. typeof\ x = None)\ xs$
 $\langle proof \rangle$

lemma $ap_map_list_cast_Dyn_map_typeof_replicate_conv$:
assumes $ap_map_list\ cast_Dyn\ xs = Some\ ys$ **and** $n = length\ xs$
shows $map\ typeof\ xs = replicate\ n\ None$
 $\langle proof \rangle$

lemma $cast_Dyn_eq_Some_conv_norm_unboxed[simp]$: $cast_Dyn\ i = Some\ i' \implies$
 $Subx.norm_unboxed\ i = i'$
 $\langle proof \rangle$

lemma $cast_Dyn_eq_Some_conv_typeof[simp]$: $cast_Dyn\ i = Some\ i' \implies typeof\ i =$
 $None$
 $\langle proof \rangle$

lemma *backward-lockstep-simulation*:
assumes *match s1 s2 and Subx.step s2 s2'*
shows $\exists s1'. \text{Sinca.step } s1 \ s1' \wedge \text{match } s1' \ s2'$
<proof>

lemma *match-final-backward*:
assumes *match s1 s2 and final-s2: final Fubx-get Ubx.IReturn s2*
shows *final Finca-get Inca.IReturn s1*
<proof>

sublocale *inca-to-ubx-simulation: backward-simulation where*
step1 = Sinca.step and final1 = final Finca-get Inca.IReturn and
step2 = Subx.step and final2 = final Fubx-get Ubx.IReturn and
match = λ -. match and order = λ -. False
<proof>

20 Forward simulation

lemma *ap-map-list-cast-Dyn-eq-norm-stack*:
assumes *list-all ($\lambda x. x = \text{None}$) (map typeof xs)*
shows *ap-map-list cast-Dyn xs = Some (map Subx.norm-unboxed xs)*
<proof>

lemma *forward-lockstep-simulation*:
assumes *match s1 s2 and Sinca.step s1 s1'*
shows $\exists s2'. \text{Subx.step } s2 \ s2' \wedge \text{match } s1' \ s2'$
<proof>

lemma *match-final-forward*:
assumes *match s1 s2 and final-s1: final Finca-get Inca.IReturn s1*
shows *final Fubx-get Ubx.IReturn s2*
<proof>

sublocale *inca-ubx-forward-simulation: forward-simulation where*
step1 = Sinca.step and final1 = final Finca-get Inca.IReturn and
step2 = Subx.step and final2 = final Fubx-get Ubx.IReturn and
match = λ -. match and order = λ -. False
<proof>

21 Bisimulation

sublocale *inca-ubx-bisimulation: bisimulation where*
step1 = Sinca.step and final1 = final Finca-get Inca.IReturn and
step2 = Subx.step and final2 = final Fubx-get Ubx.IReturn and
match = λ -. match and order_f = λ -. False and order_b = λ -. False
<proof>

```

end

end
theory Inca-Verification
  imports Inca
begin

context inca begin

```

22 Strongest postcondition

inductive *sp-instr* for *F ret* where

```

Push:
  sp-instr F ret (IPush d) Σ (Suc Σ) |
Pop:
  sp-instr F ret IPop (Suc Σ) Σ |
Get:
  sp-instr F ret (IGet n) Σ (Suc Σ) |
Set:
  sp-instr F ret (ISet n) (Suc Σ) Σ |
Load:
  sp-instr F ret (ILoad x) (Suc Σ) (Suc Σ) |
Store:
  sp-instr F ret (IStore x) (Suc (Suc Σ)) Σ |
Op:
  Σi = Arity op + Σ ⇒
  sp-instr F ret (IOp op) Σi (Suc Σ) |
OpInl:
  Σi = Arity (OpInl opinl) + Σ ⇒
  sp-instr F ret (IOpInl opinl) Σi (Suc Σ) |
CJump:
  sp-instr F ret (ICJump lt lf) 1 0 |
Call:
  F f = Some (ar, r) ⇒ Σi = ar + Σ ⇒ Σo = r + Σ ⇒
  sp-instr F ret (ICall f) Σi Σo |
Return: Σi = ret ⇒
  sp-instr F ret IReturn Σi 0

```

sp-instr calculates the strongest postcondition of the arity of the operand stack.

inductive *sp-instrs* for *F ret* where

```

Nil:
  sp-instrs F ret [] Σ Σ |
Cons:
  sp-instr F ret instr Σi Σ ⇒ sp-instrs F ret instrs Σ Σo ⇒
  sp-instrs F ret (instr # instrs) Σi Σo

```

23 Range validations

fun *local-var-in-range* **where**
 local-var-in-range n (*IGet* k) $\longleftrightarrow k < n$ |
 local-var-in-range n (*ISet* k) $\longleftrightarrow k < n$ |
 local-var-in-range - - $\longleftrightarrow True$

fun *jump-in-range* **where**
 jump-in-range L (*ICJump* l_t l_f) $\longleftrightarrow \{l_t, l_f\} \subseteq L$ |
 jump-in-range L - $\longleftrightarrow True$

fun *fun-call-in-range* **where**
 fun-call-in-range F (*ICall* f) $\longleftrightarrow f \in \text{dom } F$ |
 fun-call-in-range F *instr* $\longleftrightarrow True$

24 Basic block validation

definition *wf-basic-block* **where**
 wf-basic-block F L *ret* n *bblock* \longleftrightarrow
 (*let* (*label*, *instrs*) = *bblock* *in*
 list-all (*local-var-in-range* n) *instrs* \wedge
 list-all (*jump-in-range* L) *instrs* \wedge
 list-all (*fun-call-in-range* F) *instrs* \wedge
 list-all ($\lambda i. \neg \text{Inca.is-jump } i \wedge \neg \text{Inca.is-return } i$) (*butlast* *instrs*) \wedge
 instrs $\neq []$ \wedge
 sp-instrs F *ret* *instrs* 0 0)

25 Function definition validation

definition *wf-fundef* **where**
 wf-fundef F *fd* \longleftrightarrow
 body *fd* $\neq []$ \wedge
 list-all
 (*wf-basic-block* F (*fst* ‘ *set* (*body* *fd*)) (*return* *fd*) (*arity* *fd* + *fundef-locals* *fd*))
 (*body* *fd*)

26 Program definition validation

definition *wf-prog* **where**
 wf-prog p \longleftrightarrow
 (*let* $F = F\text{-get}$ (*prog-fundefs* p) *in*
 pred-map (*wf-fundef* (*map-option* *funtype* $\circ F$)) F)

end

end

theory *Inca-to-Ubx-compiler*
 imports *Inca-to-Ubx-simulation* *Result*

begin

27 Generic program rewriting

primrec *monadic-fold-map* **where**

$$\begin{aligned} \text{monadic-fold-map } f \text{ acc } [] &= \text{Some } (\text{acc}, []) \mid \\ \text{monadic-fold-map } f \text{ acc } (x \# xs) &= \text{do } \{ \\ &\quad (\text{acc}', x') \leftarrow f \text{ acc } x; \\ &\quad (\text{acc}'', xs') \leftarrow \text{monadic-fold-map } f \text{ acc}' xs; \\ &\quad \text{Some } (\text{acc}'', x' \# xs') \\ &\} \end{aligned}$$

lemma *monadic-fold-map-length*:

$$\text{monadic-fold-map } f \text{ acc } xs = \text{Some } (\text{acc}', xs') \implies \text{length } xs = \text{length } xs'$$

<proof>

lemma *monadic-fold-map-ConsD[dest]*:

assumes *monadic-fold-map* $f \ a \ (x \# xs) = \text{Some } (c, ys)$
shows $\exists y \ ys' \ b. \ ys = y \# ys' \wedge f \ a \ x = \text{Some } (b, y) \wedge \text{monadic-fold-map } f \ b \ xs = \text{Some } (c, ys')$
<proof>

lemma *monadic-fold-map-eq-Some-conv*:

$$\begin{aligned} \text{monadic-fold-map } f \ a \ (x \# xs) = \text{Some } (c, ys) &\longleftrightarrow \\ (\exists y \ ys' \ b. \ f \ a \ x = \text{Some } (b, y) \wedge \text{monadic-fold-map } f \ b \ xs = \text{Some } (c, ys') \wedge ys &= y \# ys') \end{aligned}$$

<proof>

lemma *monadic-fold-map-eq-Some-conv'*:

$$\begin{aligned} \text{monadic-fold-map } f \ a \ (x \# xs) = \text{Some } p &\longleftrightarrow \\ (\exists y \ ys' \ b. \ f \ a \ x = \text{Some } (b, y) \wedge \text{monadic-fold-map } f \ b \ xs = \text{Some } (\text{fst } p, ys') &\wedge \text{snd } p = y \# ys') \end{aligned}$$

<proof>

lemma *monadic-fold-map-list-all2*:

assumes *monadic-fold-map* $f \ \text{acc} \ xs = \text{Some } (\text{acc}', ys)$ **and**
 $\bigwedge \text{acc} \ \text{acc}' \ x \ y. \ f \ \text{acc} \ x = \text{Some } (\text{acc}', y) \implies P \ x \ y$
shows *list-all2* $P \ xs \ ys$
<proof>

lemma *monadic-fold-map-list-all*:

assumes *monadic-fold-map* $f \ \text{acc} \ xs = \text{Some } (\text{acc}', ys)$ **and**
 $\bigwedge \text{acc} \ \text{acc}' \ x \ y. \ f \ \text{acc} \ x = \text{Some } (\text{acc}', y) \implies P \ y$
shows *list-all* $P \ ys$
<proof>

```

fun gen-pop-push where
  gen-pop-push instr (domain, codomain)  $\Sigma$  = (
    let ar = length domain in
    if ar  $\leq$  length  $\Sigma$   $\wedge$  take ar  $\Sigma$  = domain then
      Some (instr, codomain @ drop ar  $\Sigma$ )
    else
      None
  )

```

context inca-to-ubx-simulation **begin**

28 Lifting

```

fun lift-instr :: -  $\Rightarrow$  -  $\Rightarrow$  -  $\Rightarrow$  -  $\Rightarrow$  -  $\Rightarrow$  -  $\Rightarrow$ 
  ((-, -, -, -, -, 'opubx, 'ubx1, 'ubx2) Ubx.instr  $\times$  -) option where
  lift-instr F L ret N (Inca.IPush d)  $\Sigma$  = Some (IPush d, None #  $\Sigma$ ) |
  lift-instr F L ret N Inca.IPop (- #  $\Sigma$ ) = Some (IPop,  $\Sigma$ ) |
  lift-instr F L ret N (Inca.IGet n)  $\Sigma$  = (if n < N then Some (IGet n, None #  $\Sigma$ )
  else None) |
  lift-instr F L ret N (Inca.ISet n) (None #  $\Sigma$ ) = (if n < N then Some (ISet n,
 $\Sigma$ ) else None) |
  lift-instr F L ret N (Inca.ILoad x) (None #  $\Sigma$ ) = Some (ILoad x, None #  $\Sigma$ ) |
  lift-instr F L ret N (Inca.IStore x) (None # None #  $\Sigma$ ) = Some (IStore x,  $\Sigma$ ) |
  lift-instr F L ret N (Inca.IOp op)  $\Sigma$  =
    gen-pop-push (IOp op) (replicate (Arity op) None, [None])  $\Sigma$  |
  lift-instr F L ret N (Inca.IOpInl opinl)  $\Sigma$  =
    gen-pop-push (IOpInl opinl) (replicate (Arity (OpInl opinl)) None, [None])  $\Sigma$  |
  lift-instr F L ret N (Inca.ICJump lt lf) [None] =
    (if List.member L lt  $\wedge$  List.member L lf then Some (ICJump lt lf, []) else None)
  |
  lift-instr F L ret N (Inca.ICall f)  $\Sigma$  = do {
    (ar, ret)  $\leftarrow$  F f;
    gen-pop-push (ICall f) (replicate ar None, replicate ret None)  $\Sigma$ 
  } |
  lift-instr F L ret N Inca.IReturn  $\Sigma$  =
    (if  $\Sigma$  = replicate ret None then Some (IReturn, []) else None) |
  lift-instr - - - - - = None

```

definition lift-instrs **where**

```

lift-instrs F L ret N  $\equiv$ 
  monadic-fold-map ( $\lambda$  $\Sigma$  instr. map-option prod.swap (lift-instr F L ret N instr
 $\Sigma$ ))

```

lemma lift-instrs-length:

```

assumes lift-instrs F L ret N  $\Sigma$  i xs = Some ( $\Sigma$ o, ys)
shows length xs = length ys
<proof>

```

lemma lift-instrs-not-Nil: lift-instrs F L ret N Σ i xs = Some (Σ o, ys) \implies xs \neq []

$\longleftrightarrow ys \neq []$
<proof>

lemma *lift-instrs-NilD[dest]*:
assumes *lift-instrs F L ret N $\Sigma i [] = Some (\Sigma o, ys)$*
shows $\Sigma o = \Sigma i \wedge ys = []$
<proof>

lemmas *Some-eq-bind-conv =*
bind-eq-Some-conv[unfolded eq-commute[of Option.bind f g Some x for f g x]]

lemma *lift-instr-is-jump*:
assumes *lift-instr F L ret N x $\Sigma i = Some (y, \Sigma o)$*
shows *Inca.is-jump x \longleftrightarrow Ubx.is-jump y*
<proof>

lemma *lift-instr-is-return*:
assumes *lift-instr F L ret N x $\Sigma i = Some (y, \Sigma o)$*
shows *Inca.is-return x \longleftrightarrow Ubx.is-return y*
<proof>

lemma *lift-instrs-all-not-jump-not-return*:
assumes *lift-instrs F L ret N $\Sigma i xs = Some (\Sigma o, ys)$*
shows
list-all ($\lambda i. \neg$ Inca.is-jump i \wedge \neg Inca.is-return i) xs \longleftrightarrow
list-all ($\lambda i. \neg$ Ubx.is-jump i \wedge \neg Ubx.is-return i) ys
<proof>

lemma *lift-instrs-all-butlast-not-jump-not-return*:
assumes *lift-instrs F L ret N $\Sigma i xs = Some (\Sigma o, ys)$*
shows
list-all ($\lambda i. \neg$ Inca.is-jump i \wedge \neg Inca.is-return i) (butlast xs) \longleftrightarrow
list-all ($\lambda i. \neg$ Ubx.is-jump i \wedge \neg Ubx.is-return i) (butlast ys)
<proof>

lemma *lift-instr-sp*:
assumes *lift-instr F L ret N x $\Sigma i = Some (y, \Sigma o)$*
shows *Subx.sp-instr F ret y $\Sigma i \Sigma o$*
<proof>

lemma *lift-instrs-sp*:
assumes *lift-instrs F L ret N $\Sigma i xs = Some (\Sigma o, ys)$*
shows *Subx.sp-instrs F ret ys $\Sigma i \Sigma o$*
<proof>

lemma *lift-instr-fun-call-in-range*:
assumes *lift-instr F L ret N x $\Sigma i = Some (y, \Sigma o)$*
shows *Subx.fun-call-in-range F y*
<proof>

lemma *lift-instrs-all-fun-call-in-range*:
assumes *lift-instrs F L ret N Σi xs = Some (Σo , ys)*
shows *list-all (Subx.fun-call-in-range F) ys*
 \langle *proof* \rangle

lemma *lift-instr-local-var-in-range*:
assumes *lift-instr F L ret N x Σi = Some (y, Σo)*
shows *Subx.local-var-in-range N y*
 \langle *proof* \rangle

lemma *lift-instrs-all-local-var-in-range*:
assumes *lift-instrs F L ret N Σi xs = Some (Σo , ys)*
shows *list-all (Subx.local-var-in-range N) ys*
 \langle *proof* \rangle

lemma *lift-instr-jump-in-range*:
assumes *lift-instr F L ret N x Σi = Some (y, Σo)*
shows *Subx.jump-in-range (set L) y*
 \langle *proof* \rangle

lemma *lift-instrs-all-jump-in-range*:
assumes *lift-instrs F L ret N Σi xs = Some (Σo , ys)*
shows *list-all (Subx.jump-in-range (set L)) ys*
 \langle *proof* \rangle

lemma *lift-instr-norm*:
lift-instr F L ret N instr1 $\Sigma 1$ = Some (instr2, $\Sigma 2$) \implies norm-eq instr1 instr2
 \langle *proof* \rangle

lemma *lift-instrs-all-norm*:
assumes *lift-instrs F L ret N $\Sigma 1$ instrs1 = Some ($\Sigma 2$, instrs2)*
shows *list-all2 norm-eq instrs1 instrs2*
 \langle *proof* \rangle

29 Optimization

context
fixes *load-oracle :: nat \Rightarrow type option*
begin

definition *orelse :: 'a option \Rightarrow 'a option \Rightarrow 'a option (infixr \langle orelse \rangle 55)* **where**
x orelse y = (case x of Some x' \Rightarrow Some x' | None \Rightarrow y)

lemma *None-orelse[simp]*: *None orelse y = y*
 \langle *proof* \rangle

lemma *orelse-None[simp]*: *x orelse None = x*
 \langle *proof* \rangle

lemma *Some-orelse[simp]*: *Some x orelse y = Some x*
 ⟨proof⟩

lemma *orelse-eq-Some-conv*:
 $x \text{ orelse } y = \text{Some } z \iff (x = \text{Some } z \vee x = \text{None} \wedge y = \text{Some } z)$
 ⟨proof⟩

lemma *orelse-eq-SomeE*:
assumes
 $x \text{ orelse } y = \text{Some } z$ **and**
 $x = \text{Some } z \implies P$ **and**
 $x = \text{None} \implies y = \text{Some } z \implies P$
shows P
 ⟨proof⟩

fun *drop-prefix where*
 $\text{drop-prefix } [] \text{ } ys = \text{Some } ys \mid$
 $\text{drop-prefix } (x \# xs) (y \# ys) = (\text{if } x = y \text{ then } \text{drop-prefix } xs \text{ } ys \text{ else } \text{None}) \mid$
 $\text{drop-prefix } - - = \text{None}$

lemma *drop-prefix-append-prefix[simp]*: $\text{drop-prefix } xs (xs @ ys) = \text{Some } ys$
 ⟨proof⟩

lemma *drop-prefix-eq-Some-conv*: $\text{drop-prefix } xs \text{ } ys = \text{Some } zs \iff ys = xs @ zs$
 ⟨proof⟩

fun *optim-instr where*
 $\text{optim-instr } - - - (\text{IPush } d) \Sigma =$
 $\text{Some Pair } \diamond (\text{Some IPushUbx1 } \diamond (\text{unbox-ubx1 } d)) \diamond \text{Some } (\text{Some Ubx1 } \# \Sigma)$
orelse
 $\text{Some Pair } \diamond (\text{Some IPushUbx2 } \diamond (\text{unbox-ubx2 } d)) \diamond \text{Some } (\text{Some Ubx2 } \# \Sigma)$
orelse
 $\text{Some } (\text{IPush } d, \text{None } \# \Sigma)$
 \mid
 $\text{optim-instr } - - - (\text{IPushUbx1 } n) \Sigma = \text{Some } (\text{IPushUbx1 } n, \text{Some Ubx1 } \# \Sigma) \mid$
 $\text{optim-instr } - - - (\text{IPushUbx2 } b) \Sigma = \text{Some } (\text{IPushUbx2 } b, \text{Some Ubx2 } \# \Sigma) \mid$
 $\text{optim-instr } - - - \text{IPop } (- \# \Sigma) = \text{Some } (\text{IPop}, \Sigma) \mid$
 $\text{optim-instr } - - \text{pc } (\text{IGet } n) \Sigma =$
 $\text{map-option } (\lambda \tau. (\text{IGetUbx } \tau \ n, \text{Some } \tau \# \Sigma)) (\text{load-oracle } \text{pc}) \text{ orelse}$
 $\text{Some } (\text{IGet } n, \text{None } \# \Sigma) \mid$
 $\text{optim-instr } - - \text{pc } (\text{IGetUbx } \tau \ n) \Sigma = \text{Some } (\text{IGetUbx } \tau \ n, \text{Some } \tau \# \Sigma) \mid$
 $\text{optim-instr } - - - (\text{ISet } n) (\text{None } \# \Sigma) = \text{Some } (\text{ISet } n, \Sigma) \mid$
 $\text{optim-instr } - - - (\text{ISet } n) (\text{Some } \tau \# \Sigma) = \text{Some } (\text{ISetUbx } \tau \ n, \Sigma) \mid$
 $\text{optim-instr } - - - (\text{ISetUbx } - \ n) (\text{None } \# \Sigma) = \text{Some } (\text{ISet } n, \Sigma) \mid$
 $\text{optim-instr } - - - (\text{ISetUbx } - \ n) (\text{Some } \tau \# \Sigma) = \text{Some } (\text{ISetUbx } \tau \ n, \Sigma) \mid$
 $\text{optim-instr } - - \text{pc } (\text{ILoad } x) (\text{None } \# \Sigma) =$
 $\text{map-option } (\lambda \tau. (\text{ILoadUbx } \tau \ x, \text{Some } \tau \# \Sigma)) (\text{load-oracle } \text{pc}) \text{ orelse}$
 $\text{Some } (\text{ILoad } x, \text{None } \# \Sigma) \mid$

$optim-instr \ - \ - \ (IloadUbx \ \tau \ x) \ (None \ \# \ \Sigma) = Some \ (IloadUbx \ \tau \ x, \ Some \ \tau \ \# \ \Sigma) \ |$
 $optim-instr \ - \ - \ (IStore \ x) \ (None \ \# \ None \ \# \ \Sigma) = Some \ (IStore \ x, \ \Sigma) \ |$
 $optim-instr \ - \ - \ (IStore \ x) \ (None \ \# \ Some \ \tau \ \# \ \Sigma) = Some \ (IStoreUbx \ \tau \ x, \ \Sigma) \ |$
 $optim-instr \ - \ - \ (IStoreUbx \ - \ x) \ (None \ \# \ None \ \# \ \Sigma) = Some \ (IStore \ x, \ \Sigma) \ |$
 $optim-instr \ - \ - \ (IStoreUbx \ - \ x) \ (None \ \# \ Some \ \tau \ \# \ \Sigma) = Some \ (IStoreUbx \ \tau \ x, \ \Sigma) \ |$
 $optim-instr \ - \ - \ (IOp \ op) \ \Sigma =$
 $\quad map-option \ (\lambda \Sigma o. \ (IOp \ op, \ None \ \# \ \Sigma o)) \ (drop-prefix \ (replicate \ (\mathfrak{A}rity \ op) \ None) \ \Sigma) \ |$
 $optim-instr \ - \ - \ (IOpInl \ opinl) \ \Sigma = ($
 $\quad let \ ar = \mathfrak{A}rity \ (\mathfrak{D}e\mathfrak{I}nl \ opinl) \ in$
 $\quad if \ ar \leq length \ \Sigma \ then$
 $\quad \quad case \ \mathfrak{U}bx \ opinl \ (take \ ar \ \Sigma) \ of$
 $\quad \quad \quad None \Rightarrow map-option \ (\lambda \Sigma o. \ (IOpInl \ opinl, \ None \ \# \ \Sigma o)) \ (drop-prefix \ (replicate \ ar \ None) \ \Sigma) \ |$
 $\quad \quad \quad Some \ opubx \Rightarrow map-option \ (\lambda \Sigma o. \ (IOpUbx \ opubx, \ snd \ (\mathfrak{T}hpe\mathfrak{D}f\mathfrak{D}p \ opubx) \ \# \ \Sigma o))$
 $\quad \quad \quad \quad (drop-prefix \ (fst \ (\mathfrak{T}hpe\mathfrak{D}f\mathfrak{D}p \ opubx)) \ \Sigma)$
 $\quad \quad \quad else$
 $\quad \quad \quad \quad None$
 $\quad) \ |$
 $optim-instr \ - \ - \ (IOpUbx \ opubx) \ \Sigma =$
 $\quad (let \ p = \mathfrak{T}hpe\mathfrak{D}f\mathfrak{D}p \ opubx \ in$
 $\quad \quad map-option \ (\lambda \Sigma o. \ (IOpUbx \ opubx, \ snd \ p \ \# \ \Sigma o)) \ (drop-prefix \ (fst \ p) \ \Sigma)) \ |$
 $optim-instr \ - \ - \ (ICJump \ l_t \ l_f) \ [None] = Some \ (ICJump \ l_t \ l_f, \ []) \ |$
 $optim-instr \ F \ - \ (ICall \ f) \ \Sigma = do \ {$
 $\quad (ar, \ ret) \leftarrow F \ f;$
 $\quad \Sigma o \leftarrow drop-prefix \ (replicate \ ar \ None) \ \Sigma;$
 $\quad Some \ (ICall \ f, \ replicate \ ret \ None \ @ \ \Sigma o)$
 $\quad } \ |$
 $optim-instr \ - \ ret \ - \ IReturn \ \Sigma = (if \ \Sigma = replicate \ ret \ None \ then \ Some \ (IReturn,$
 $\quad []) \ else \ None) \ |$
 $optim-instr \ - \ - \ - \ - \ = \ None$

definition *optim-instrs where*

$optim-instrs \ F \ ret \equiv \lambda pc \ \Sigma i \ instrs.$
 $\quad map-option \ (\lambda((- , \ \Sigma o), \ instrs'). \ (\Sigma o, \ instrs'))$
 $\quad \quad (monadic-fold-map \ (\lambda(pc, \ \Sigma) \ instr.$
 $\quad \quad \quad map-option \ (\lambda(instr', \ \Sigma o). \ ((Suc \ pc, \ \Sigma o), \ instr')) \ (optim-instr \ F \ ret \ pc \ instr$
 $\quad \quad \quad \Sigma))$
 $\quad \quad (pc, \ \Sigma i) \ instrs)$

lemma *optim-instrs-Cons-eq-Some-conv:*

$optim-instrs \ F \ ret \ pc \ \Sigma i \ (instr \ \# \ instrs) = Some \ (\Sigma o, \ ys) \longleftrightarrow (\exists y \ ys' \ \Sigma.$
 $\quad ys = y \ \# \ ys' \wedge$
 $\quad \quad optim-instr \ F \ ret \ pc \ instr \ \Sigma i = Some \ (y, \ \Sigma) \wedge$
 $\quad \quad optim-instrs \ F \ ret \ (Suc \ pc) \ \Sigma \ instrs = Some \ (\Sigma o, \ ys'))$
 $\langle proof \rangle$

lemma *optim-instrs-length*:

assumes *optim-instrs F ret pc Σi xs = Some (Σo , ys)*
shows *length xs = length ys*
<proof>

lemma *optim-instrs-not-Nil*: *optim-instrs F ret pc Σi xs = Some (Σo , ys) \implies xs \neq [] \longleftrightarrow ys \neq []*
<proof>

lemma *optim-instrs-NilD[dest]*:

assumes *optim-instrs F ret pc Σi [] = Some (Σo , ys)*
shows *$\Sigma o = \Sigma i \wedge ys = []$*
<proof>

lemma *optim-instrs-ConsD[dest]*:

assumes *optim-instrs F ret pc Σi (x # xs) = Some (Σo , ys)*
shows $\exists y ys' \Sigma. ys = y \# ys' \wedge$
 optim-instr F ret pc x $\Sigma i = Some (y, \Sigma) \wedge$
 optim-instrs F ret (Suc pc) $\Sigma xs = Some (\Sigma o, ys')$
<proof>

lemma *optim-instr-norm*:

assumes *optim-instr F ret pc instr1 $\Sigma 1 = Some (instr2, \Sigma 2)$*
shows *norm-instr instr1 = norm-instr instr2*
<proof>

lemma *optim-instrs-all-norm*:

assumes *optim-instrs F ret pc $\Sigma 1$ instrs1 = Some ($\Sigma 2$, instrs2)*
shows *list-all2 ($\lambda i1 i2. norm-instr i1 = norm-instr i2$) instrs1 instrs2*
<proof>

lemma *optim-instr-is-jump*:

assumes *optim-instr F ret pc x $\Sigma i = Some (y, \Sigma o)$*
shows *is-jump x \longleftrightarrow is-jump y*
<proof>

lemma *optim-instr-is-return*:

assumes *optim-instr F ret pc x $\Sigma i = Some (y, \Sigma o)$*
shows *is-return x \longleftrightarrow is-return y*
<proof>

lemma *optim-instrs-all-butlast-not-jump-not-return*:

assumes *optim-instrs F ret pc Σi xs = Some (Σo , ys)*
shows
 list-all ($\lambda i. \neg is-jump i \wedge \neg is-return i$) (butlast xs) \longleftrightarrow
 list-all ($\lambda i. \neg is-jump i \wedge \neg is-return i$) (butlast ys)
<proof>

lemma *optim-instr-jump-in-range*:
assumes *optim-instr* F *ret pc* x $\Sigma i = \text{Some } (y, \Sigma o)$
shows $\text{Subx.jump-in-range } L \ x \longleftrightarrow \text{Subx.jump-in-range } L \ y$
<proof>

lemma *optim-instrs-all-jump-in-range*:
assumes *optim-instrs* F *ret pc* Σi $xs = \text{Some } (\Sigma o, ys)$
shows $\text{list-all } (\text{Subx.jump-in-range } L) \ xs \longleftrightarrow \text{list-all } (\text{Subx.jump-in-range } L) \ ys$
<proof>

lemma *optim-instr-fun-call-in-range*:
assumes *optim-instr* F *ret pc* x $\Sigma i = \text{Some } (y, \Sigma o)$
shows $\text{Subx.fun-call-in-range } F \ x \longleftrightarrow \text{Subx.fun-call-in-range } F \ y$
<proof>

lemma *optim-instrs-all-fun-call-in-range*:
assumes *optim-instrs* F *ret pc* Σi $xs = \text{Some } (\Sigma o, ys)$
shows $\text{list-all } (\text{Subx.fun-call-in-range } F) \ xs \longleftrightarrow \text{list-all } (\text{Subx.fun-call-in-range } F) \ ys$
<proof>

lemma *optim-instr-local-var-in-range*:
assumes *optim-instr* F *ret pc* x $\Sigma i = \text{Some } (y, \Sigma o)$
shows $\text{Subx.local-var-in-range } N \ x \longleftrightarrow \text{Subx.local-var-in-range } N \ y$
<proof>

lemma *optim-instrs-all-local-var-in-range*:
assumes *optim-instrs* F *ret pc* Σi $xs = \text{Some } (\Sigma o, ys)$
shows $\text{list-all } (\text{Subx.local-var-in-range } N) \ xs \longleftrightarrow \text{list-all } (\text{Subx.local-var-in-range } N) \ ys$
<proof>

lemma *optim-instr-sp*:
assumes *optim-instr* F *ret pc* x $\Sigma i = \text{Some } (y, \Sigma o)$
shows $\text{Subx.sp-instr } F \ \text{ret } y \ \Sigma i \ \Sigma o$
<proof>

lemma *optim-instrs-sp*:
assumes *optim-instrs* F *ret pc* Σi $xs = \text{Some } (\Sigma o, ys)$
shows $\text{Subx.sp-instrs } F \ \text{ret } ys \ \Sigma i \ \Sigma o$
<proof>

30 Compilation of function definition

definition *compile-basic-block where*
compile-basic-block $F \ L \ \text{ret } N \equiv$
 $\text{ap-map-prod } \text{Some } (\lambda i1. \text{do } \{$
- $\leftarrow \text{if } i1 \neq [] \text{ then } \text{Some } () \text{ else } \text{None};$
- $\leftarrow \text{if } \text{list-all } (\lambda i. \neg \text{Inca.is-jump } i \wedge \neg \text{Inca.is-return } i) \text{ (butlast } i1) \text{ then}$

```

Some () else None;
  ( $\Sigma o, i2$ )  $\leftarrow$  lift-instrs  $F L$  ret  $N$  ( $[] ::$  type option list)  $i1$ ;
  if  $\Sigma o = []$  then
    case optim-instrs  $F$  ret  $0$  ( $[] ::$  type option list)  $i2$  of
      Some ( $\Sigma o', i2'$ )  $\Rightarrow$  Some (if  $\Sigma o' = []$  then  $i2'$  else  $i2$ ) |
      None  $\Rightarrow$  Some  $i2$ 
    else
      None
  })

```

lemma *compile-basic-block-rel-prod-all-norm-eq*:
assumes *compile-basic-block* $F L$ ret N $bblock1 =$ Some $bblock2$
shows *rel-prod* (=) (*list-all2 norm-eq*) $bblock1$ $bblock2$
<proof>

lemma *list-all-iff-butlast-last*:
assumes $xs \neq []$
shows *list-all* P $xs \longleftrightarrow$ *list-all* P (*butlast* xs) \wedge P (*last* xs)
<proof>

lemma *compile-basic-block-wf*:
assumes *compile-basic-block* $F L$ ret N $x =$ Some y
shows *Subx.wf-basic-block* F (*set* L) ret N y
<proof>

fun *compile-fundef where*
compile-fundef F (*Fundef* $bblocks1$ ar ret locals) = do {
 - \leftarrow if $bblocks1 = []$ then None else Some ();
 $bblocks2 \leftarrow$ ap-map-list (*compile-basic-block* F (*map fst* $bblocks1$) ret (*ar* +
 locals)) $bblocks1$;
 Some (*Fundef* $bblocks2$ ar ret locals)
}

lemma *compile-fundef-arities*: *compile-fundef* F $fd1 =$ Some $fd2 \implies$ *arity* $fd1 =$
arity $fd2$
<proof>

lemma *compile-fundef-returns*: *compile-fundef* F $fd1 =$ Some $fd2 \implies$ *return* $fd1$
 $=$ *return* $fd2$
<proof>

lemma *compile-fundef-locals*:
compile-fundef F $fd1 =$ Some $fd2 \implies$ *fundef-locals* $fd1 =$ *fundef-locals* $fd2$
<proof>

lemma *if-then-None-else-Some-eq[simp]*:
(*if* a then None else Some b) = Some $c \longleftrightarrow \neg a \wedge b = c$
(*if* a then None else Some b) = None $\longleftrightarrow a$
<proof>

lemma
assumes *compile-fundef* F $fd1 = \text{Some } fd2$
shows
rel-compile-fundef: *rel-fundef* (=) *norm-eq* $fd1$ $fd2$ (**is** ?*REL*) **and**
wf-compile-fundef: *Subx.wf-fundef* F $fd2$ (**is** ?*WF*)
⟨*proof*⟩

end

end

locale *inca-ubx-compiler* =
inca-to-ubx-simulation *Finca-empty* *Finca-get*
for
Finca-empty **and**
Finca-get :: - \Rightarrow 'fun \Rightarrow - *option* +
fixes
load-oracle :: 'fun \Rightarrow nat \Rightarrow *type option*
begin

31 Compilation of function environment

definition *compile-env-entry* **where**
compile-env-entry $F \equiv \lambda p. \text{ap-map-prod } \text{Some } (\text{compile-fundef } (\text{load-oracle } (\text{fst } p)) F) p$

lemma *rel-compile-env-entry*:
assumes *compile-env-entry* F $(f, fd1) = \text{Some } (f, fd2)$
shows *rel-fundef* (=) *norm-eq* $fd1$ $fd2$
⟨*proof*⟩

definition *compile-env* **where**
compile-env $e \equiv \text{do } \{$
 let $\text{fundefs1} = \text{Finca-to-list } e;$
 $\text{fundefs2} \leftarrow \text{ap-map-list } (\text{compile-env-entry } (\text{map-option } \text{funtype} \circ \text{Finca-get } e))$
 $\text{fundefs1};$
 $\text{Some } (\text{Subx.Fenv.from-list } \text{fundefs2})$
 $\}$

lemma *rel-ap-map-list-ap-map-list-compile-env-entries*:
assumes *ap-map-list* (*compile-env-entry* F) $xs = \text{Some } ys$
shows *rel-fundefs* (*Finca-get* (*Finca.Fenv.from-list* xs)) (*Fubx-get* (*Subx.Fenv.from-list* ys))
⟨*proof*⟩

lemma *rel-fundefs-compile-env*:
assumes *compile-env* $F1 = \text{Some } F2$
shows *rel-fundefs* (*Finca-get* $F1$) (*Fubx-get* $F2$)

<proof>

32 Compilation of program

fun *compile* **where**

compile (Prog F1 H f) = Some Prog \diamond compile-env F1 \diamond Some H \diamond Some f

lemma *ap-map-list-cong*:

assumes $\bigwedge x. x \in \text{set } ys \implies f x = g x$ **and** $xs = ys$

shows *ap-map-list* f xs = *ap-map-list* g ys

<proof>

lemma *compile-env-wf-fundefs*:

assumes *compile-env* F1 = Some F2

shows *Subx.wf-fundefs* (Fubx-get F2)

<proof>

lemma *compile-load*:

assumes

compile-p1: *compile* p1 = Some p2 **and**

load: *Subx.load* p2 s2

shows $\exists s1. \text{Sinca.load } p1 \ s1 \wedge \text{match } s1 \ s2$

<proof>

interpretation *std-to-inca-compiler*: *compiler* **where**

step1 = *Sinca.step* **and** *final1* = *final Finca-get Inca.IReturn* **and** *load1* = *Sinca.load* **and**

step2 = *Subx.step* **and** *final2* = *final Fubx-get Ubx.IReturn* **and** *load2* = *Subx.load* **and**

match = $\lambda-. \text{match}$ **and** *order* = $\lambda-. \text{False}$ **and**

compile = *compile*

<proof>

32.1 Completeness of compilation

lemma *lift-instr-None-preservation*:

assumes *lift-instr* F L ret N instr $\Sigma = \text{Some } (instr', \Sigma')$ **and** *list-all* ((=) None) Σ

shows *list-all* ((=) None) Σ'

<proof>

lemma *lift-instr-complete*:

assumes

Sinca.local-var-in-range N instr **and**

Sinca.jump-in-range (set L) instr **and**

Sinca.fun-call-in-range F instr **and**

Sinca.sp-instr F ret instr (length Σ) k **and**

list-all ((=) None) Σ

shows $\exists instr' \Sigma'. \text{lift-instr } F \ L \ \text{ret } N \ \text{instr } \Sigma = \text{Some } (instr', \Sigma') \wedge \text{length } \Sigma' =$

k
 $\langle \text{proof} \rangle$

lemma *lift-instrs-complete*:

fixes $\Sigma :: \text{type option list}$

assumes

list-all (*Sinca.local-var-in-range* N) *instrs* **and**
list-all (*Sinca.jump-in-range* (set L)) *instrs* **and**
list-all (*Sinca.fun-call-in-range* F) *instrs* **and**
Sinca.sp-instrs F *ret instrs* (length Σ) k **and**
list-all ((=) *None*) Σ

shows $\exists \Sigma' \text{ instrs}'. \text{lift-instrs } F L \text{ ret } N \Sigma \text{ instrs} = \text{Some } (\Sigma', \text{instrs}') \wedge \text{length } \Sigma' = k$

$\langle \text{proof} \rangle$

lemma *optim-instr-complete*:

assumes *sp*: *Subx.sp-instr* F *ret instr* $\Sigma \Sigma'$

shows $\exists \Sigma'' \text{ instr}''. \text{optim-instr } \mathcal{O} F \text{ ret pc instr } \Sigma = \text{Some } (\text{instr}'', \Sigma'') \wedge \text{length } \Sigma' = \text{length } \Sigma''$

$\langle \text{proof} \rangle$

lemma *compile-basic-block-complete*:

assumes *wf-bblock1*: *Sinca.wf-basic-block* F (set L) *ret n bblock1*

shows $\exists \text{bblock2}. \text{compile-basic-block } \mathcal{O} F L \text{ ret n bblock1} = \text{Some bblock2}$

$\langle \text{proof} \rangle$

lemma *bind-eq-map-option[simp]*: $x \gg= (\lambda y. \text{Some } (f y)) = \text{map-option } f x$

$\langle \text{proof} \rangle$

lemma *compile-fundef-complete*:

assumes *wf-fd1*: *Sinca.wf-fundef* F *fd1*

shows $\exists \text{fd2}. \text{compile-fundef } \mathcal{O} F \text{ fd1} = \text{Some fd2}$

$\langle \text{proof} \rangle$

lemma *compile-env-entry-complete*:

assumes *wf-fd1*: *Sinca.wf-fundef* F *fd1*

shows $\exists \text{fd2}. \text{compile-env-entry } F (f, \text{fd1}) = \text{Some fd2}$

$\langle \text{proof} \rangle$

lemma *compile-env-complete*:

assumes *wf-F1*: *pred-map* (*Sinca.wf-fundef* (*map-option funtype* \circ *Finca-get* $F1$)) (*Finca-get* $F1$)

shows $\exists F2. \text{compile-env } F1 = \text{Some } F2$

$\langle \text{proof} \rangle$

theorem *compile-complete*:

assumes *wf-p1*: *Sinca.wf-prog* $p1$

shows $\exists p2. \text{compile } p1 = \text{Some } p2$

$\langle \text{proof} \rangle$

end

end

theory *Op-example*

imports *OpUbx Global Unboxed-lemmas*

begin

33 Dynamic values

datatype *dynamic* = *DNil* | *DBool bool* | *DNum int*

definition *is-true* **where**

is-true *d* \equiv (*d* = *DBool True*)

definition *is-false* **where**

is-false *d* \equiv (*d* = *DBool False*)

interpretation *dynval-dynamic*: *dynval DNil is-true is-false*

<proof>

fun *unbox-num* :: *dynamic* \Rightarrow *int option* **where**

unbox-num (*DNum n*) = *Some n* |

unbox-num - = *None*

fun *unbox-bool* :: *dynamic* \Rightarrow *bool option* **where**

unbox-bool (*DBool b*) = *Some b* |

unbox-bool - = *None*

interpretation *unboxed-dynamic*:

unboxedval DNil is-true is-false DNum unbox-num DBool unbox-bool

<proof>

34 Normal operations

datatype *op* =

OpNeg |

OpAdd |

OpMul

fun *ar* :: *op* \Rightarrow *nat* **where**

ar OpNeg = 1 |

ar OpAdd = 2 |

ar OpMul = 2

fun *eval-Neg* :: *dynamic list* \Rightarrow *dynamic* **where**

eval-Neg [*DBool b*] = *DBool (\neg b)* |

eval-Neg [-] = *DNil*


```

fun eval-Add :: dynamic list  $\Rightarrow$  dynamic where
  eval-Add [DBool x, DBool y] = DBool (x  $\vee$  y) |
  eval-Add [DNum x, DNum y] = DNum (x + y) |
  eval-Add [-, -] = DNil

```

```

fun eval-Mul :: dynamic list  $\Rightarrow$  dynamic where
  eval-Mul [DBool x, DBool y] = DBool (x  $\wedge$  y) |
  eval-Mul [DNum x, DNum y] = DNum (x * y) |
  eval-Mul [-, -] = DNil

```

```

fun eval :: op  $\Rightarrow$  dynamic list  $\Rightarrow$  dynamic where
  eval OpNeg = eval-Neg |
  eval OpAdd = eval-Add |
  eval OpMul = eval-Mul

```

lemma eval-arith-domain: length xs = ar op $\implies \exists y$. eval op xs = y
 <proof>

interpretation op-Op: nary-operations eval ar
 <proof>

35 Inlined operations

```

datatype opinl =
  OpAddNum |
  OpMulNum

```

```

fun inl :: op  $\Rightarrow$  dynamic list  $\Rightarrow$  opinl option where
  inl OpAdd [DNum -, DNum -] = Some OpAddNum |
  inl OpMul [DNum -, DNum -] = Some OpMulNum |
  inl - - = None

```

```

inductive isinl :: opinl  $\Rightarrow$  dynamic list  $\Rightarrow$  bool where
  isinl OpAddNum [DNum -, DNum -] |
  isinl OpMulNum [DNum -, DNum -]

```

```

fun deinl :: opinl  $\Rightarrow$  op where
  deinl OpAddNum = OpAdd |
  deinl OpMulNum = OpMul

```

lemma inl-inj: inj inl
 <proof>

lemma inl-invertible: inl op xs = Some opinl \implies deinl opinl = op
 <proof>

```

fun eval-AddNum :: dynamic list  $\Rightarrow$  dynamic where
  eval-AddNum [DNum x, DNum y] = DNum (x + y) |

```

eval-AddNum [*DBool* *x*, *DBool* *y*] = *DBool* (*x* ∨ *y*) |
eval-AddNum [-, -] = *DNil*

fun *eval-MulNum* :: *dynamic list* ⇒ *dynamic* **where**
eval-MulNum [*DNum* *x*, *DNum* *y*] = *DNum* (*x* * *y*) |
eval-MulNum [*DBool* *x*, *DBool* *y*] = *DBool* (*x* ∧ *y*) |
eval-MulNum [-, -] = *DNil*

fun *eval-inl* :: *opinl* ⇒ *dynamic list* ⇒ *dynamic* **where**
eval-inl *OpAddNum* = *eval-AddNum* |
eval-inl *OpMulNum* = *eval-MulNum*

lemma *eval-AddNum-correct*:
 $length\ xs = 2 \implies eval-AddNum\ xs = eval-Add\ xs$
<proof>

lemma *eval-MulNum-correct*:
 $length\ xs = 2 \implies eval-MulNum\ xs = eval-Mul\ xs$
<proof>

lemma *eval-inl-correct*:
 $length\ xs = ar\ (deinl\ opinl) \implies eval-inl\ opinl\ xs = eval\ (deinl\ opinl)\ xs$
<proof>

lemma *inl-isinl*:
 $inl\ op\ xs = Some\ opinl \implies isinl\ opinl\ xs$
<proof>

interpretation *op-OpInl*: *nary-operations-inl eval ar eval-inl inl isinl deinl*
<proof>

36 Unboxed operations

datatype *opubx* =
OpAddNumUbx

fun *ubx* :: *opinl* ⇒ *type option list* ⇒ *opubx option* **where**
ubx *OpAddNum* [*Some* *Ubx1*, *Some* *Ubx1*] = *Some* *OpAddNumUbx* |
ubx - - = *None*

fun *deubx* :: *opubx* ⇒ *opinl* **where**
deubx *OpAddNumUbx* = *OpAddNum*

lemma *ubx-invertible*: $ubx\ opinl\ xs = Some\ opubx \implies deubx\ opubx = opinl$
<proof>

fun *eval-AddNumUbx* **where**
eval-AddNumUbx [*OpUbx1* *x*, *OpUbx1* *y*] = *Some* (*OpUbx1* (*x* + *y*)) |
eval-AddNumUbx - = *None*

fun *eval-ubx* **where**
eval-ubx OpAddNumUbx = *eval-AddNumUbx*

lemma *eval-ubx-correct*:
eval-ubx opubx xs = *Some z* \implies
eval-inl (deubx opubx) (map unboxed-dynamic.norm-unboxed xs) = *unboxed-dynamic.norm-unboxed*
z
 ⟨*proof*⟩

lemma *eval-ubx-to-inl*:
assumes *eval-ubx opubx* Σ = *Some z*
shows *inl (deinl (deubx opubx)) (map unboxed-dynamic.norm-unboxed Σ)* =
Some (deubx opubx)
 ⟨*proof*⟩

36.1 Typing

fun *typeof-opubx* :: *opubx* \Rightarrow *type option list* \times *type option* **where**
typeof-opubx OpAddNumUbx = ([*Some Ubx1*, *Some Ubx1*], *Some Ubx1*)

lemma *ubx-imp-typeof-opubx*:
ubx opinl ts = *Some opubx* \implies *fst (typeof-opubx opubx)* = *ts*
 ⟨*proof*⟩

lemma *typeof-opubx-correct*:
typeof-opubx opubx = (*map typeof xs*, *codomain*) \implies
 $\exists y.$ *eval-ubx opubx xs* = *Some y* \wedge *typeof y* = *codomain*
 ⟨*proof*⟩

lemma *typeof-opubx-complete*:
eval-ubx opubx xs = *Some y* \implies
typeof-opubx opubx = (*map typeof xs*, *typeof y*)
 ⟨*proof*⟩

lemma *typeof-opubx-ar*: *length (fst (typeof-opubx opubx))* = *ar (deinl (deubx op-ubx))*
 ⟨*proof*⟩

interpretation *op-OpUbx*:
nary-operations-ubx
eval ar eval-inl inl isinl deinl
DNil is-true is-false DNum unbox-num DBool unbox-bool
eval-ubx ubx deubx typeof-opubx
 ⟨*proof*⟩

end
theory *Std*
imports *List-util Global Op Env Dynamic*

VeriComp.Language

begin

datatype (*'dyn, 'var, 'fun, 'label, 'op*) *instr* =
IPush 'dyn |
IPop |
IGet nat |
ISet nat |
ILoad 'var |
IStore 'var |
IOp 'op |
ICJump 'label 'label |
ICall 'fun |
is-return: IReturn

locale *std* =
Fenv: env F-empty F-get F-add F-to-list +
Henv: env heap-empty heap-get heap-add heap-to-list +
dynval uninitialized is-true is-false +
nary-operations Op Arity
for
— Functions environment
F-empty and
F-get :: 'fenv ⇒ 'fun ⇒ ('label, ('dyn, 'var, 'fun, 'label, 'op) instr) fundef option
and
F-add and F-to-list and

— Memory heap
heap-empty and
heap-get :: 'henv ⇒ 'var × 'dyn ⇒ 'dyn option and
heap-add and heap-to-list and

— Dynamic values
uninitialized :: 'dyn and is-true and is-false and

— n-ary operations
Op :: 'op ⇒ 'dyn list ⇒ 'dyn and Arity
begin

inductive *step* (**infix** $\langle \rightarrow \rangle$ 55) **where**
step-push:
next-instr (F-get F) f l pc = Some (IPush d) ⇒
State F H (Frame f l pc R Σ # st) → State F H (Frame f l (Suc pc) R (d #
Σ) # st) |

step-pop:
next-instr (F-get F) f l pc = Some IPop ⇒
State F H (Frame f l pc R (d # Σ) # st) → State F H (Frame f l (Suc pc) R

$\Sigma \# st) \mid$

step-get:

$next\text{-instr } (F\text{-get } F) \text{ fl pc} = \text{Some } (I\text{Get } n) \implies$
 $n < \text{length } R \implies d = R ! n \implies$
 $State \ F \ H \ (\text{Frame } \text{fl pc } R \ \Sigma \ \# \ st) \rightarrow State \ F \ H \ (\text{Frame } \text{fl } (\text{Suc } \text{pc}) \ R \ (d \ \# \ \Sigma) \ \# \ st) \mid$

step-set:

$next\text{-instr } (F\text{-get } F) \text{ fl pc} = \text{Some } (I\text{Set } n) \implies$
 $n < \text{length } R \implies R' = R[n := d] \implies$
 $State \ F \ H \ (\text{Frame } \text{fl pc } R \ (d \ \# \ \Sigma) \ \# \ st) \rightarrow State \ F \ H \ (\text{Frame } \text{fl } (\text{Suc } \text{pc}) \ R' \ \Sigma \ \# \ st) \mid$

step-load:

$next\text{-instr } (F\text{-get } F) \text{ fl pc} = \text{Some } (I\text{Load } x) \implies$
 $heap\text{-get } H \ (x, y) = \text{Some } d \implies$
 $State \ F \ H \ (\text{Frame } \text{fl pc } R \ (y \ \# \ \Sigma) \ \# \ st) \rightarrow State \ F \ H \ (\text{Frame } \text{fl } (\text{Suc } \text{pc}) \ R \ (d \ \# \ \Sigma) \ \# \ st) \mid$

step-store:

$next\text{-instr } (F\text{-get } F) \text{ fl pc} = \text{Some } (I\text{Store } x) \implies$
 $heap\text{-add } H \ (x, y) \ d = H' \implies$
 $State \ F \ H \ (\text{Frame } \text{fl pc } R \ (y \ \# \ d \ \# \ \Sigma) \ \# \ st) \rightarrow State \ F \ H' \ (\text{Frame } \text{fl } (\text{Suc } \text{pc}) \ R \ \Sigma \ \# \ st) \mid$

step-op:

$next\text{-instr } (F\text{-get } F) \text{ fl pc} = \text{Some } (I\text{Op } op) \implies$
 $\mathbf{Arity} \ op = ar \implies ar \leq \text{length } \Sigma \implies \mathbf{Op} \ op \ (\text{take } ar \ \Sigma) = x \implies$
 $State \ F \ H \ (\text{Frame } \text{fl pc } R \ \Sigma \ \# \ st) \rightarrow State \ F \ H \ (\text{Frame } \text{fl } (\text{Suc } \text{pc}) \ R \ (x \ \# \ \text{drop } ar \ \Sigma) \ \# \ st) \mid$

step-cjump:

$next\text{-instr } (F\text{-get } F) \text{ fl pc} = \text{Some } (I\text{CJump } l_t \ l_f) \implies$
 $is\text{-true } d \wedge l' = l_t \vee is\text{-false } d \wedge l' = l_f \implies$
 $State \ F \ H \ (\text{Frame } \text{fl pc } R \ (d \ \# \ \Sigma) \ \# \ st) \rightarrow State \ F \ H \ (\text{Frame } \text{fl } l' \ 0 \ R \ \Sigma \ \# \ st) \mid$

step-call:

$next\text{-instr } (F\text{-get } F) \text{ fl pc} = \text{Some } (I\text{Call } g) \implies$
 $F\text{-get } F \ g = \text{Some } gd \implies \text{arity } gd \leq \text{length } \Sigma \implies$
 $frame_g = \text{allocate-frame } g \ gd \ (\text{take } (\text{arity } gd) \ \Sigma) \ \text{uninitialized} \implies$
 $State \ F \ H \ (\text{Frame } \text{fl pc } R \ \Sigma \ \# \ st) \rightarrow State \ F \ H \ (\text{frame}_g \ \# \ \text{Frame } \text{fl pc } R \ \Sigma \ \# \ st) \mid$

step-return:

$next\text{-instr } (F\text{-get } F) \ g \ l_g \ \text{pc}_g = \text{Some } I\text{Return} \implies$
 $F\text{-get } F \ g = \text{Some } gd \implies \text{arity } gd \leq \text{length } \Sigma_f \implies$
 $\text{length } \Sigma_g = \text{return } gd \implies$

$$\text{frame}_f' = \text{Frame } f \ l_f \ (\text{Suc } pc_f) \ R_f \ (\Sigma_g \ @ \ \text{drop } (\text{arity } gd) \ \Sigma_f) \implies$$

$$\text{State } F \ H \ (\text{Frame } g \ l_g \ pc_g \ R_g \ \Sigma_g \ \# \ \text{Frame } f \ l_f \ pc_f \ R_f \ \Sigma_f \ \# \ st) \rightarrow \text{State } F \ H$$

$$(\text{frame}_f' \ \# \ st)$$

lemma *step-deterministic*:
assumes $s1 \rightarrow s2$ **and** $s1 \rightarrow s3$
shows $s2 = s3$
<proof>

lemma *step-right-unique: right-unique step*
<proof>

lemma *final-finished*:
assumes *final F-get IReturn s*
shows *finished step s*
<proof>

sublocale *semantics step final F-get IReturn*
<proof>

definition *load where*
 $load \equiv \text{Global.load } F\text{-get uninitialized}$

sublocale *language step final F-get IReturn load*
<proof>

end

end
theory *Std-to-Inca-simulation*
imports *Global List-util Std Inca*
VeriComp.Simulation
begin

37 Generic definitions

locale *std-inca-simulation =*
Sstd: std
Fstd-empty Fstd-get Fstd-add Fstd-to-list
heap-empty heap-get heap-add heap-to-list
uninitialized is-true is-false
 $\Delta p \ \Delta \text{arity} \ +$
Sinca: inca
Finca-empty Finca-get Finca-add Finca-to-list
heap-empty heap-get heap-add heap-to-list
uninitialized is-true is-false
 $\Delta p \ \Delta \text{arity} \ \text{InlOp} \ \text{Inl} \ \text{IsInl} \ \text{DeInl}$
for
— Functions environments

Fstd-empty **and**
Fstd-get :: 'fenv-std \Rightarrow 'fun \Rightarrow ('label, ('dyn, 'var, 'fun, 'label, 'op) *Std.instr*)
fundef option **and**
Fstd-add **and** *Fstd-to-list* **and**

Finca-empty **and**
Finca-get :: 'fenv-inca \Rightarrow 'fun \Rightarrow ('label, ('dyn, 'var, 'fun, 'label, 'op, 'opinl)
Inca.instr) *fundef option* **and**
Finca-add **and** *Finca-to-list* **and**

— Memory heap
heap-empty **and**
heap-get :: 'henv \Rightarrow 'var \times 'dyn \Rightarrow 'dyn *option* **and**
heap-add **and** *heap-to-list* **and**

— Dynamic values
uninitialized :: 'dyn **and** *is-true* **and** *is-false* **and**

— n-ary operations
 $\mathcal{O}p$:: 'op \Rightarrow 'dyn *list* \Rightarrow 'dyn **and** $\mathcal{A}rity$ **and**
 $\mathcal{I}nlOp$ **and** $\mathcal{I}nl$ **and** $\mathcal{I}s\mathcal{I}nl$ **and** $\mathcal{D}e\mathcal{I}nl$:: 'opinl \Rightarrow 'op

begin

fun *norm-instr* **where**

norm-instr (*Inca.IPush* *d*) = *Std.IPush* *d* |
norm-instr *Inca.IPop* = *Std.IPop* |
norm-instr (*Inca.IGet* *n*) = *Std.IGet* *n* |
norm-instr (*Inca.ISet* *n*) = *Std.ISet* *n* |
norm-instr (*Inca.ILoad* *x*) = *Std.ILoad* *x* |
norm-instr (*Inca.IStore* *x*) = *Std.IStore* *x* |
norm-instr (*Inca.IOp* *op*) = *Std.IOp* *op* |
norm-instr (*Inca.IOpInl* *opinl*) = *Std.IOp* ($\mathcal{D}e\mathcal{I}nl$ *opinl*) |
norm-instr (*Inca.ICJump* *l_t* *l_f*) = *Std.ICJump* *l_t* *l_f* |
norm-instr (*Inca.ICall* *x*) = *Std.ICall* *x* |
norm-instr *Inca.IReturn* = *Std.IReturn*

abbreviation *norm-eq* **where**

norm-eq *x y* \equiv *norm-instr* *y* = *x*

definition *rel-fundefs* **where**

rel-fundefs *f g* = ($\forall x$. *rel-option* (*rel-fundef* (=) *norm-eq*) (*f x*) (*g x*))

lemma *rel-fundefsI*:

assumes $\bigwedge x$. *rel-option* (*rel-fundef* (=) *norm-eq*) (*F1 x*) (*F2 x*)

shows *rel-fundefs* *F1 F2*

<proof>

lemma *rel-fundefsD*:

assumes *rel-fundefs* *F1 F2*

shows *rel-option* (*rel-fundef* (=) *norm-eq*) (*F1 x*) (*F2 x*)
 ⟨*proof*⟩

lemma *rel-fundefs-next-instr*:
assumes *rel-F1-F2*: *rel-fundefs F1 F2*
shows *rel-option norm-eq* (*next-instr F1 f l pc*) (*next-instr F2 f l pc*)
 ⟨*proof*⟩

lemma *rel-fundefs-next-instr1*:
assumes *rel-F1-F2*: *rel-fundefs F1 F2* **and** *next-instr1*: *next-instr F1 f l pc = Some instr1*
shows \exists *instr2*. *next-instr F2 f l pc = Some instr2* \wedge *norm-eq instr1 instr2*
 ⟨*proof*⟩

lemma *rel-fundefs-next-instr2*:
assumes *rel-F1-F2*: *rel-fundefs F1 F2* **and** *next-instr2*: *next-instr F2 f l pc = Some instr2*
shows \exists *instr1*. *next-instr F1 f l pc = Some instr1* \wedge *norm-eq instr1 instr2*
 ⟨*proof*⟩

lemma *rel-fundefs-empty*: *rel-fundefs* (λ -. *None*) (λ -. *None*)
 ⟨*proof*⟩

lemma *rel-fundefs-None1*:
assumes *rel-fundefs f g* **and** *f x = None*
shows *g x = None*
 ⟨*proof*⟩

lemma *rel-fundefs-None2*:
assumes *rel-fundefs f g* **and** *g x = None*
shows *f x = None*
 ⟨*proof*⟩

lemma *rel-fundefs-Some1*:
assumes *rel-fundefs f g* **and** *f x = Some y*
shows \exists *z*. *g x = Some z* \wedge *rel-fundef* (=) *norm-eq y z*
 ⟨*proof*⟩

lemma *rel-fundefs-Some2*:
assumes *rel-fundefs f g* **and** *g x = Some y*
shows \exists *z*. *f x = Some z* \wedge *rel-fundef* (=) *norm-eq z y*
 ⟨*proof*⟩

lemma *rel-fundefs-rel-option*:
assumes *rel-fundefs f g* **and** \bigwedge *x y*. *rel-fundef* (=) *norm-eq x y* \implies *h x y*
shows *rel-option h* (*f z*) (*g z*)
 ⟨*proof*⟩

lemma *rel-fundefs-rewriteI2*:

assumes
rel-F1-F2: *rel-fundefs* (*Fstd-get F1*) (*Finca-get F2*) **and**
next-instr1: *next-instr* (*Fstd-get F1*) *fl pc = Some instr1*
norm-eq instr1 instr2'
shows *rel-fundefs* (*Fstd-get F1*)
(*Finca-get* (*Sinca.Fenv.map-entry F2 f* ($\lambda fd. \text{rewrite-fundef-body } fd \text{ } l \text{ } pc \text{ } instr2'$)))
(**is** *rel-fundefs* (*Fstd-get ?F1'*) (*Finca-get ?F2'*))
⟨*proof*⟩

38 Simulation relation

inductive *match* (**infix** $\langle \sim \rangle$ 55) **where**
wf-fundefs (*Fstd-get F1*) \implies
rel-fundefs (*Fstd-get F1*) (*Finca-get F2*) \implies
(*State F1 H st*) \sim (*State F2 H st*)

39 Backward simulation

lemma *backward-lockstep-simulation*:
assumes *Sinca.step s2 s2'* **and** *match s1 s2*
shows $\exists s1'. \text{Sstd.step } s1 \ s1' \wedge \text{match } s1' \ s2'$
⟨*proof*⟩

lemma *match-final-backward*:
assumes *match s1 s2* **and** *final-s2: final Finca-get Inca.IReturn s2*
shows *final Fstd-get Std.IReturn s1*
⟨*proof*⟩

sublocale *std-inca-simulation*:
backward-simulation **where**
step1 = Sstd.step **and** *final1 = final Fstd-get Std.IReturn* **and**
step2 = Sinca.step **and** *final2 = final Finca-get Inca.IReturn* **and**
order = $\lambda - . \text{False}$ **and** *match = $\lambda - . \text{match}$*
⟨*proof*⟩

40 Forward simulation

lemma *forward-lockstep-simulation*:
assumes *Sstd.step s1 s1'* **and** *match s1 s2*
shows $\exists s2'. \text{Sinca.step } s2 \ s2' \wedge s1' \sim s2'$
⟨*proof*⟩

lemma *match-final-forward*:
assumes *match s1 s2* **and** *final-s1: final Fstd-get Std.IReturn s1*
shows *final Finca-get Inca.IReturn s2*
⟨*proof*⟩

sublocale *std-inca-forward-simulation*:

forward-simulation where
step1 = Sstd.step and final1 = final Fstd-get Std.IReturn and
step2 = Sinca.step and final2 = final Finca-get Inca.IReturn and
order = λ-. False and match = λ-. match
 ⟨proof⟩

41 Bisimulation

sublocale *std-inca-bisimulation*:

bisimulation where
step1 = Sstd.step and final1 = final Fstd-get Std.IReturn and
step2 = Sinca.step and final2 = final Finca-get Inca.IReturn and
order_f = λ-. False and order_b = λ-. False and match = λ-. match
 ⟨proof⟩

end

end

theory *Std-to-Inca-compiler*

imports *Std-to-Inca-simulation*

VeriComp.Compiler

begin

41.1 Compilation of function definitions

fun *compile-instr where*

compile-instr (Std.IPush d) = Inca.IPush d |
compile-instr Std.IPop = Inca.IPop |
compile-instr (Std.IGet n) = Inca.IGet n |
compile-instr (Std.ISet n) = Inca.ISet n |
compile-instr (Std.ILoad x) = Inca.ILoad x |
compile-instr (Std.IStore x) = Inca.IStore x |
compile-instr (Std.IOp op) = Inca.IOp op |
compile-instr (Std.ICJump l_t l_f) = Inca.ICJump l_t l_f |
compile-instr (Std.ICall f) = Inca.ICall f |
compile-instr Std.IReturn = Inca.IReturn

fun *compile-fundef where*

compile-fundef (Fundef [] - -) = None |
compile-fundef (Fundef bblocks ar ret locals) =
Some (Fundef (map-ran (λ-. map compile-instr) bblocks) ar ret locals)

context *std-inca-simulation begin*

lemma *lambda-eq-eq[simp]*: $(\lambda x y. y = x) = (=)$
 ⟨proof⟩

lemma *norm-compile-instr*:

norm-instr (compile-instr instr) = instr

<proof>

lemma *rel-compile-fundef*:
 assumes *compile-fundef fd1 = Some fd2*
 shows *rel-fundef (=) norm-eq fd1 fd2*
 <proof>

lemma *rel-fundef-imp-fundef-ok-iff*:
 assumes *rel-fundef (=) norm-eq fd1 fd2*
 shows *wf-fundef fd1 \longleftrightarrow wf-fundef fd2*
 <proof>

lemma *rel-fundefs-imp-wf-fundefs-iff*:
 assumes *rel-f-g: rel-fundefs f g*
 shows *wf-fundefs f \longleftrightarrow wf-fundefs g*
 <proof>

lemma *compile-fundef-wf*:
 assumes *compile-fundef fd = Some fd'*
 shows *wf-fundef fd'*
 <proof>

41.2 Compilation of function environments

definition *compile-env where*
 compile-env e \equiv
 Some Sinca.Fenv.from-list \diamond ap-map-list (ap-map-prod Some compile-fundef)
 (Fstd-to-list e)

lemma *compile-env-imp-rel-option*:
 assumes *compile-env F1 = Some F2*
 shows *rel-option (λ fd1 fd2. compile-fundef fd1 = Some fd2) (Fstd-get F1 f)*
 (Finca-get F2 f)
 <proof>

lemma *Finca-get-compile*:
 assumes *compile-F1: compile-env F1 = Some F2*
 shows *Finca-get F2 f = Fstd-get F1 f $\gg=$ compile-fundef*
 <proof>

lemma *compile-env-rel-fundefs*:
 assumes *compile-env F1 = Some F2*
 shows *rel-fundefs (Fstd-get F1) (Finca-get F2)*
 <proof>

lemma *compile-env-imp-wf-fundefs2*:
 assumes *compile-env F1 = Some F2*
 shows *wf-fundefs (Finca-get F2)*
 <proof>

41.3 Compilation of programs

fun *compile* **where**

compile (*Prog F1 H f*) = *Some Prog* \diamond *compile-env F1* \diamond *Some H* \diamond *Some f*

theorem *compile-load*:

assumes

compile-p1: *compile p1* = *Some p2* **and**

load: *Sinca.load p2 s2*

shows $\exists s1. \text{Sstd.load } p1 \ s1 \wedge \text{match } s1 \ s2$

<proof>

sublocale *std-to-inca-compiler*:

compiler **where**

step1 = *Sstd.step* **and** *final1* = *final Fstd-get Std.IReturn* **and** *load1* = *Sstd.load*
and

step2 = *Sinca.step* **and** *final2* = *final Finca-get Inca.IReturn* **and** *load2* =
Sinca.load **and**

order = $\lambda-. \text{False}$ **and** *match* = $\lambda-. \text{match}$ **and** *compile* = *compile*

<proof>

41.4 Completeness of compilation

lemma *compile-fundef-complete*:

assumes *wf-fundef fd1*

shows $\exists fd2. \text{compile-fundef } fd1 = \text{Some } fd2$

<proof>

lemma *compile-env-complete*:

assumes *wf-F1*: *wf-fundefs (Fstd-get F1)*

shows $\exists F2. \text{compile-env } F1 = \text{Some } F2$

<proof>

theorem *compile-complete*:

assumes *wf-p1*: *wf-prog Fstd-get p1*

shows $\exists p2. \text{compile } p1 = \text{Some } p2$

<proof>

theorem *compile-load-forward*:

assumes

wf-p1: *wf-prog Fstd-get p1* **and** *load-p1*: *Sstd.load p1 s1*

shows $\exists p2 \ s2. \text{compile } p1 = \text{Some } p2 \wedge \text{Sinca.load } p2 \ s2 \wedge \text{match } s1 \ s2$

<proof>

end

end

References

- [1] M. Desharnais and S. Brunthaler. Towards efficient and verified virtual machines for dynamic languages. In *Proceedings of the 10th ACM SIG-PLAN International Conference on Certified Programs and Proofs*, CPP 2021. Association for Computing Machinery, 2021.