

# Gödel's Incompleteness Theorems

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17. März 2025

## **Zusammenfassung**

Gödel's two incompleteness theorems [2] are formalised, following a careful presentation by Świerczkowski [3], in the theory of hereditarily finite sets. This represents the first ever machine-assisted proof of the second incompleteness theorem. Compared with traditional formalisations using Peano arithmetic [1], coding is simpler, with no need to formalise the notion of multiplication (let alone that of a prime number) in the formalised calculus upon which the theorem is based. However, other technical problems had to be solved in order to complete the argument.

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# Kapitel 1

## Syntax of Terms and Formulas using Nominal Logic

```
theory SyntaxN
imports Nominal2.Nominal2 HereditarilyFinite.OrdArith
begin

  1.1 Terms and Formulas

    1.1.1 Hf is a pure permutation type
    instantiation hf :: pt
    begin
      definition p · (s::hf) = s
      instance
        by standard (simp-all add: permute-hf-def)
    end

    instance hf :: pure
    proof qed (rule permute-hf-def)

    atom-decl name

    declare fresh-set-empty [simp]

    lemma supp-name [simp]: fixes i::name shows supp i = {atom i}
    by (rule supp-at-base)
```

### 1.1.2 The datatypes

```
nominal-datatype tm = Zero | Var name | Eats tm tm
```

```

nominal-datatype fm =
  Mem tm tm  (infixr <IN> 150)
  | Eq tm tm  (infixr <EQ> 150)
  | Disj fm fm  (infixr <OR> 130)
  | Neg fm
  | Ex x::name f::fm binds x in f

  Mem, Eq are atomic formulas; Disj, Neg, Ex are non-atomic

declare tm.supp [simp] fm.supp [simp]

```

### 1.1.3 Substitution

```

nominal-function subst :: name  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  tm
  where
    subst i x Zero      = Zero
    | subst i x (Var k) = (if i=k then x else Var k)
    | subst i x (Eats t u) = Eats (subst i x t) (subst i x u)
  by (auto simp: eqvt-def subst-graph-aux-def) (metis tm.strong-exhaust)

```

```

nominal-termination (eqvt)
  by lexicographic-order

```

```

lemma fresh-subst-if [simp]:
  j  $\notin$  subst i x t  $\longleftrightarrow$  (atom i  $\notin$  t  $\wedge$  j  $\notin$  t)  $\vee$  (j  $\notin$  x  $\wedge$  (j  $\notin$  t  $\vee$  j = atom i))
  by (induct t rule: tm.induct) (auto simp: fresh-at-base)

```

```

lemma forget-subst-tm [simp]: atom a  $\notin$  tm  $\Longrightarrow$  subst a x tm = tm
  by (induct tm rule: tm.induct) (simp-all add: fresh-at-base)

```

```

lemma subst-tm-id [simp]: subst a (Var a) tm = tm
  by (induct tm rule: tm.induct) simp-all

```

```

lemma subst-tm-commute [simp]:
  atom j  $\notin$  tm  $\Longrightarrow$  subst j u (subst i t tm) = subst i (subst j u t) tm
  by (induct tm rule: tm.induct) (auto simp: fresh-Pair)

```

```

lemma subst-tm-commute2 [simp]:
  atom j  $\notin$  t  $\Longrightarrow$  atom i  $\notin$  u  $\Longrightarrow$  i  $\neq$  j  $\Longrightarrow$  subst j u (subst i t tm) = subst i t (subst j u tm)
  by (induct tm rule: tm.induct) auto

```

```

lemma repeat-subst-tm [simp]: subst i u (subst i t tm) = subst i (subst i u t) tm
  by (induct tm rule: tm.induct) auto

```

```

nominal-function subst-fm :: fm  $\Rightarrow$  name  $\Rightarrow$  tm  $\Rightarrow$  fm ( $\langle\cdot\rangle(-\cdot:=\cdot)$  [1000, 0, 0]
  200)
  where
    Mem: (Mem t u)(i:=x) = Mem (subst i x t) (subst i x u)
    | Eq: (Eq t u)(i:=x) = Eq (subst i x t) (subst i x u)
    | Disj: (Disj A B)(i:=x) = Disj (A(i:=x)) (B(i:=x))

```

```

| Neg: (Neg A) $(i ::= x)$  = Neg (A(i ::= x))
| Ex: atom j  $\notin$  (i, x)  $\implies$  (Ex j A) $(i ::= x)$  = Ex j (A(i ::= x))
apply (simp add: eqvt-def subst-fm-graph-aux-def)
apply auto [16]
apply (rule-tac y=a and c=(aa, b) in fm.strong-exhaust)
apply (auto simp: eqvt-at-def fresh-star-def fresh-Pair fresh-at-base)
apply (metis flip-at-base-simps(3) flip-fresh-fresh)
done

nominal-termination (eqvt)
  by lexicographic-order

lemma size-subst-fm [simp]: size (A(i ::= x)) = size A
  by (nominal-induct A avoiding: i x rule: fm.strong-induct) auto

lemma forget-subst-fm [simp]: atom a  $\notin$  A  $\implies$  A(a ::= x) = A
  by (nominal-induct A avoiding: a x rule: fm.strong-induct) (auto simp: fresh-at-base)

lemma subst-fm-id [simp]: A(a ::= Var a) = A
  by (nominal-induct A avoiding: a rule: fm.strong-induct) (auto simp: fresh-at-base)

lemma fresh-subst-fm-if [simp]:
  j  $\notin$  (A(i ::= x))  $\longleftrightarrow$  (atom i  $\notin$  A  $\wedge$  j  $\notin$  A)  $\vee$  (j  $\notin$  x  $\wedge$  (j  $\notin$  A  $\vee$  j = atom i))
  by (nominal-induct A avoiding: i x rule: fm.strong-induct) (auto simp: fresh-at-base)

lemma subst-fm-commute [simp]:
  atom j  $\notin$  A  $\implies$  (A(i ::= t)) $(j ::= u) = A(i ::= subst j u t)
  by (nominal-induct A avoiding: i j t u rule: fm.strong-induct) (auto simp: fresh-at-base)

lemma repeat-subst-fm [simp]: (A(i ::= t)) $(i ::= u) = A(i ::= subst i u t)
  by (nominal-induct A avoiding: i t u rule: fm.strong-induct) auto

lemma subst-fm-Ex-with-renaming:
  atom i'  $\notin$  (A, i, j, t)  $\implies$  (Ex i A) $(j ::= t) = Ex i' (((i  $\leftrightarrow$  i')  $\cdot$  A) $(j ::= t))
  by (rule subst [of Ex i' ((i  $\leftrightarrow$  i')  $\cdot$  A) Ex i A])
    (auto simp: Abs1-eq-iff flip-def swap-commute)

  the simplifier cannot apply the rule above, because it introduces a new
  variable at the right hand side.

simproc-setup subst-fm-renaming ((Ex i A) $(j ::= t)) = fn - => fn ctxt => fn
  ctrm =>
  let
    val - $ (- $ i $ A) $ j $ t = Thm.term-of ctrm

    val atoms = Simplifier.preds-of ctxt
    |> map-filter (fn thm => case Thm.prop-of thm of
      - $ (Const (@{const-name fresh}, -) $ atm $ -) => SOME (atm) | - =>
      NONE)
    |> distinct ((=))$$$$$ 
```

```

fun get-thm atm =
  let
    val goal = HOLogic.mk-Trueprop (mk-fresh atm (HOLogic.mk-tuple [A, i,
j, t]))
  in
    SOME ((Goal.prove ctxt [] [] goal (K (asm-full-simp-tac ctxt 1)))
      RS @{thm subst-fm-Ex-with-renaming} RS eq-reflection)
    handle ERROR - => NONE
  end
  in
    get-first get-thm atoms
  end
>

```

#### 1.1.4 Semantics

**definition**  $e\theta :: (name, hf) finfun$  — the null environment  
**where**  $e\theta \equiv finfun\text{-const } 0$

**nominal-function**  $eval-tm :: (name, hf) finfun \Rightarrow tm \Rightarrow hf$   
**where**  
 $eval-tm e \text{ Zero} = 0$   
 $| eval-tm e (\text{Var } k) = finfun\text{-apply } e k$   
 $| eval-tm e (\text{Eats } t u) = eval-tm e t \triangleleft eval-tm e u$   
**by** (auto simp: eqvt-def eval-tm-graph-aux-def) (metis tm.strong-exhaust)

**nominal-termination** (eqvt)  
**by** lexicographic-order

**syntax**  
 $-EvalTm :: tm \Rightarrow (name, hf) finfun \Rightarrow hf \quad (\langle \llbracket \cdot \rrbracket \rangle [0,1000] 1000)$

**syntax-consts**  
 $-EvalTm == eval-tm$

**translations**  
 $\llbracket tm \rrbracket e == CONST eval-tm e tm$

**nominal-function**  $eval-fm :: (name, hf) finfun \Rightarrow fm \Rightarrow bool$   
**where**  
 $eval-fm e (t IN u) \longleftrightarrow \llbracket t \rrbracket e \in \llbracket u \rrbracket e$   
 $| eval-fm e (t EQ u) \longleftrightarrow \llbracket t \rrbracket e = \llbracket u \rrbracket e$   
 $| eval-fm e (A OR B) \longleftrightarrow eval-fm e A \vee eval-fm e B$   
 $| eval-fm e (Neg A) \longleftrightarrow (\sim eval-fm e A)$   
 $| atom k \# e \Longrightarrow eval-fm e (Ex k A) \longleftrightarrow (\exists x. eval-fm (finfun-update e k x) A)$   
**supply** [[simp Proc del: defined-all]]  
**apply**(simp add: eqvt-def eval-fm-graph-aux-def)  
**apply**(auto del: iffI)[16]

```

apply (metis fm.strong-exhaust fresh-star-insert)
using [[simproc del: alpha-lst]] apply clarsimp
apply(erule Abs-lst1-fcb2')
  apply(rule pure-fresh)
  apply(simp add: fresh-star-def)
  apply (simp-all add: eqvt-at-def)
  apply (simp-all add: perm-supp-eq)
done

nominal-termination (eqvt)
  by lexicographic-order

lemma eval-tm-rename:
  assumes atom k' # t
  shows [[t]](finfun-update e k x) = [[(k' ↔ k) • t]](finfun-update e k' x)
  using assms
  by (induct t rule: tm.induct) (auto simp: permute-flip-at)

lemma eval-fm-rename:
  assumes atom k' # A
  shows eval-fm (finfun-update e k x) A = eval-fm (finfun-update e k' x) ((k' ↔
k) • A)
  using assms
proof (nominal-induct A avoiding: e k k' x rule: fm.strong-induct)
  case Ex
  then show ?case
    by (simp add: fresh-finfun-update fresh-at-base) (metis finfun-update-twist)
qed (simp-all add: eval-tm-rename[symmetric], metis)

lemma better-ex-eval-fm[simp]:
  eval-fm e (Ex k A) ↔ (exists x. eval-fm (finfun-update e k x) A)
proof -
  obtain k'::name where k': atom k' # (k, e, A)
    by (rule obtain-fresh)
  then have eq: Ex k' ((k' ↔ k) • A) = Ex k A
    by (simp add: Abs1-eq-iff flip-def)
  have eval-fm e (Ex k' ((k' ↔ k) • A)) = (exists x. eval-fm (finfun-update e k' x) ((k'
↔ k) • A))
    using k' by simp
  also have ... = (exists x. eval-fm (finfun-update e k x) A)
    by (metis eval-fm-rename k' fresh-Pair)
  finally show ?thesis
    by (metis eq)
qed

lemma forget-eval-tm [simp]: atom i # t ==> [[t]](finfun-update e i x) = [[t]]e
  by (induct t rule: tm.induct) (simp-all add: fresh-at-base)

lemma forget-eval-fm [simp]:

```

*atom*  $k \# A \implies \text{eval-fm} (\text{finfun-update } e k x) A = \text{eval-fm } e A$   
**by** (*nominal-induct*  $A$  *avoiding*:  $k e$  *rule*: *fm.strong-induct*)  
(*simp-all add*: *fresh-at-base finfun-update-twist*)

**lemma** *eval-subst-tm*:  $\llbracket \text{subst } i t u \rrbracket e = \llbracket u \rrbracket (\text{finfun-update } e i \llbracket t \rrbracket e)$   
**by** (*induct u rule*: *tm.induct*) (*auto*)

**lemma** *eval-subst-fm*:  $\text{eval-fm } e (\text{fm}(i ::= t)) = \text{eval-fm} (\text{finfun-update } e i \llbracket t \rrbracket e) \text{ fm}$   
**by** (*nominal-induct fm avoiding*:  $i t e$  *rule*: *fm.strong-induct*)  
(*simp-all add*: *eval-subst-tm finfun-update-twist fresh-at-base*)

### 1.1.5 Derived syntax

#### Ordered pairs

**definition** *HPair* ::  $tm \Rightarrow tm \Rightarrow tm$   
**where**  $\text{HPair } a b = \text{Eats} (\text{Eats} \text{Zero} (\text{Eats} (\text{Eats} \text{Zero} b) a)) (\text{Eats} (\text{Eats} \text{Zero} a) a)$

**lemma** *HPair-eqvt [eqvt]*:  $(p \cdot \text{HPair } a b) = \text{HPair} (p \cdot a) (p \cdot b)$   
**by** (*auto simp*: *HPair-def*)

**lemma** *fresh-HPair [simp]*:  $x \# \text{HPair } a b \longleftrightarrow (x \# a \wedge x \# b)$   
**by** (*auto simp*: *HPair-def*)

**lemma** *HPair-injective-iff [iff]*:  $\text{HPair } a b = \text{HPair } a' b' \longleftrightarrow (a = a' \wedge b = b')$   
**by** (*auto simp*: *HPair-def*)

**lemma** *subst-tm-HPair [simp]*:  $\text{subst } i x (\text{HPair } a b) = \text{HPair} (\text{subst } i x a) (\text{subst } i x b)$   
**by** (*auto simp*: *HPair-def*)

**lemma** *eval-tm-HPair [simp]*:  $\llbracket \text{HPair } a b \rrbracket e = \text{hpair} \llbracket a \rrbracket e \llbracket b \rrbracket e$   
**by** (*auto simp*: *HPair-def hpair-def*)

#### Ordinals

##### definition

*SUCC* ::  $tm \Rightarrow tm$  **where**  
 $\text{SUCC } x \equiv \text{Eats } x x$

**fun** *ORD-OF* ::  $nat \Rightarrow tm$   
**where**  
 $\text{ORD-OF } 0 = \text{Zero}$   
 $\mid \text{ORD-OF } (\text{Suc } k) = \text{SUCC} (\text{ORD-OF } k)$

**lemma** *eval-tm-SUCC [simp]*:  $\llbracket \text{SUCC } t \rrbracket e = \text{succ} \llbracket t \rrbracket e$   
**by** (*simp add*: *SUCC-def succ-def*)

**lemma** *SUCC-fresh-iff [simp]*:  $a \# \text{SUCC } t \longleftrightarrow a \# t$

```

by (simp add: SUCC-def)

lemma SUCC-eqvt [eqvt]:  $(p \cdot SUCC a) = SUCC (p \cdot a)$ 
by (simp add: SUCC-def)

lemma SUCC-subst [simp]:  $\text{subst } i t (SUCC k) = SUCC (\text{subst } i t k)$ 
by (simp add: SUCC-def)

lemma eval-tm-ORD-OF [simp]:  $\llbracket \text{ORD-OF } n \rrbracket e = \text{ord-of } n$ 
by (induct n) auto

lemma ORD-OF-fresh [simp]:  $a \notin \text{ORD-OF } n$ 
by (induct n) (auto simp: SUCC-def)

lemma ORD-OF-eqvt [eqvt]:  $(p \cdot \text{ORD-OF } n) = \text{ORD-OF } (p \cdot n)$ 
by (induct n) (auto simp: permute-pure SUCC-eqvt)

```

### 1.1.6 Derived logical connectives

**abbreviation** Imp ::  $fm \Rightarrow fm \Rightarrow fm$  (**infixr** ⟨IMP⟩ 125)  
**where** Imp A B ≡ Disj (Neg A) B

**abbreviation** All ::  $name \Rightarrow fm \Rightarrow fm$   
**where** All i A ≡ Neg (Ex i (Neg A))

**abbreviation** All2 ::  $name \Rightarrow tm \Rightarrow fm \Rightarrow fm$  — bounded universal quantifier,  
for Sigma formulas  
**where** All2 i t A ≡ All i ((Var i IN t) IMP A)

### Conjunction

**definition** Conj ::  $fm \Rightarrow fm \Rightarrow fm$  (**infixr** ⟨AND⟩ 135)  
**where** Conj A B ≡ Neg (Disj (Neg A) (Neg B))

**lemma** Conj-eqvt [eqvt]:  $p \cdot (A \text{ AND } B) = (p \cdot A) \text{ AND } (p \cdot B)$ 
**by** (simp add: Conj-def)

**lemma** fresh-Conj [simp]:  $a \notin A \text{ AND } B \longleftrightarrow (a \notin A \wedge a \notin B)$ 
**by** (auto simp: Conj-def)

**lemma** supp-Conj [simp]:  $\text{supp } (A \text{ AND } B) = \text{supp } A \cup \text{supp } B$ 
**by** (auto simp: Conj-def)

**lemma** size-Conj [simp]:  $\text{size } (A \text{ AND } B) = \text{size } A + \text{size } B + 4$ 
**by** (simp add: Conj-def)

**lemma** Conj-injective-iff [iff]:  $(A \text{ AND } B) = (A' \text{ AND } B') \longleftrightarrow (A = A' \wedge B = B')$ 
**by** (auto simp: Conj-def)

```

lemma subst-fm-Conj [simp]:  $(A \text{ AND } B)(i ::= x) = (A(i ::= x)) \text{ AND } (B(i ::= x))$ 
by (auto simp: Conj-def)

lemma eval-fm-Conj [simp]: eval-fm e (Conj A B)  $\longleftrightarrow$  (eval-fm e A  $\wedge$  eval-fm e B)
by (auto simp: Conj-def)

```

### If and only if

```

definition Iff :: fm  $\Rightarrow$  fm  $\Rightarrow$  fm (infixr <IFF> 125)
where Iff A B = Conj (Imp A B) (Imp B A)

```

```

lemma Iff-eqvt [eqvt]:  $p \cdot (A \text{ IFF } B) = (p \cdot A) \text{ IFF } (p \cdot B)$ 
by (simp add: Iff-def)

```

```

lemma fresh-Iff [simp]:  $a \# A \text{ IFF } B \longleftrightarrow (a \# A \wedge a \# B)$ 
by (auto simp: Conj-def Iff-def)

```

```

lemma size-Iff [simp]: size (A IFF B) = 2*(size A + size B) + 8
by (simp add: Iff-def)

```

```

lemma Iff-injective-iff [iff]:  $(A \text{ IFF } B) = (A' \text{ IFF } B') \longleftrightarrow (A = A' \wedge B = B')$ 
by (auto simp: Iff-def)

```

```

lemma subst-fm-Iff [simp]:  $(A \text{ IFF } B)(i ::= x) = (A(i ::= x)) \text{ IFF } (B(i ::= x))$ 
by (auto simp: Iff-def)

```

```

lemma eval-fm-Iff [simp]: eval-fm e (Iff A B)  $\longleftrightarrow$  (eval-fm e A  $\longleftrightarrow$  eval-fm e B)
by (auto simp: Iff-def)

```

## 1.2 Axioms and Theorems

### 1.2.1 Logical axioms

```

inductive-set boolean-axioms :: fm set
where
  Ident:  $A \text{ IMP } A \in \text{boolean-axioms}$ 
  | DisjI1:  $A \text{ IMP } (A \text{ OR } B) \in \text{boolean-axioms}$ 
  | DisjCont:  $(A \text{ OR } A) \text{ IMP } A \in \text{boolean-axioms}$ 
  | DisjAssoc:  $(A \text{ OR } (B \text{ OR } C)) \text{ IMP } ((A \text{ OR } B) \text{ OR } C) \in \text{boolean-axioms}$ 
  | DisjConj:  $(C \text{ OR } A) \text{ IMP } (((\text{Neg } C) \text{ OR } B) \text{ IMP } (A \text{ OR } B)) \in \text{boolean-axioms}$ 

```

```

lemma boolean-axioms-hold:  $A \in \text{boolean-axioms} \implies \text{eval-fm e } A$ 
by (induct rule: boolean-axioms.induct, auto)

```

```

inductive-set special-axioms :: fm set where
  I:  $A(i ::= x) \text{ IMP } (\text{Ex } i A) \in \text{special-axioms}$ 

```

```

lemma special-axioms-hold:  $A \in \text{special-axioms} \implies \text{eval-fm } e A$ 
by (induct rule: special-axioms.induct, auto) (metis eval-subst-fm)

inductive-set induction-axioms :: fm set where
  ind:
  atom (j::name) # (i,A)
   $\implies A(i ::= \text{Zero}) \text{ IMP } ((\text{All } i (\text{All } j (A \text{ IMP } (A(i ::= \text{Var } j) \text{ IMP } A(i ::= \text{Eats}(\text{Var } i)(\text{Var } j))))))$ 
   $\text{IMP } (\text{All } i A)$ 
   $\in \text{induction-axioms}$ 

lemma twist-forget-eval-fm [simp]:
  atom j # (i, A)
   $\implies \text{eval-fm } (\text{finfun-update } (\text{finfun-update } (\text{finfun-update } e i x) j y) i z) A =$ 
   $\text{eval-fm } (\text{finfun-update } e i z) A$ 
by (metis finfun-update-twice finfun-update-twist forget-eval-fm fresh-Pair)

lemma induction-axioms-hold:  $A \in \text{induction-axioms} \implies \text{eval-fm } e A$ 
by (induction rule: induction-axioms.induct) (auto simp: eval-subst-fm intro: hf-induct-ax)

```

### 1.2.2 Concrete variables

**declare** Abs-name-inject[simp]

**abbreviation**

$X0 \equiv \text{Abs-name } (\text{Atom } (\text{Sort } "SyntaxN.name" [])) 0$

**abbreviation**

$X1 \equiv \text{Abs-name } (\text{Atom } (\text{Sort } "SyntaxN.name" [])) (\text{Suc } 0)$

— We prefer  $\text{Suc } 0$  because simplification will transform 1 to that form anyway.

**abbreviation**

$X2 \equiv \text{Abs-name } (\text{Atom } (\text{Sort } "SyntaxN.name" [])) 2$

**abbreviation**

$X3 \equiv \text{Abs-name } (\text{Atom } (\text{Sort } "SyntaxN.name" [])) 3$

**abbreviation**

$X4 \equiv \text{Abs-name } (\text{Atom } (\text{Sort } "SyntaxN.name" [])) 4$

### 1.2.3 The HF axioms

**definition** HF1 :: fm **where** — the axiom  $(z = 0) = (\forall x. x \notin z)$   
 $\text{HF1} = (\text{Var } X0 \text{ EQ Zero}) \text{ IFF } (\text{All } X1 (\text{Neg } (\text{Var } X1 \text{ IN } \text{Var } X0)))$

**lemma** HF1-holds: eval-fm e HF1

**by** (auto simp: HF1-def)

**definition**  $HF2 :: fm$  **where** — the axiom  $(z = x \triangleleft y) = (\forall u. (u \in z) = (u \in x \vee u = y))$   
 $HF2 \equiv \text{Var } X0 \text{ EQ Eats } (\text{Var } X1) (\text{Var } X2) \text{ IFF}$   
 $\quad \text{All } X3 \ (\text{Var } X3 \text{ IN Var } X0 \text{ IFF Var } X3 \text{ IN Var } X1 \text{ OR Var } X3 \text{ EQ Var } X2)$

**lemma**  $HF2\text{-holds}: \text{eval-fm } e \text{ HF2}$   
**by** (*auto simp: HF2-def*)

**definition**  $HF\text{-axioms}$  **where**  $HF\text{-axioms} = \{HF1, HF2\}$

**lemma**  $HF\text{-axioms-hold}: A \in HF\text{-axioms} \implies \text{eval-fm } e \text{ A}$   
**by** (*auto simp: HF-axioms-def HF1-holds HF2-holds*)

#### 1.2.4 Equality axioms

**definition**  $refl\text{-ax} :: fm$  **where**  
 $refl\text{-ax} = \text{Var } X1 \text{ EQ Var } X1$

**lemma**  $refl\text{-ax-holds}: \text{eval-fm } e \text{ refl-ax}$   
**by** (*auto simp: refl-ax-def*)

**definition**  $eq\text{-cong-ax} :: fm$  **where**  
 $eq\text{-cong-ax} = ((\text{Var } X1 \text{ EQ Var } X2) \text{ AND } (\text{Var } X3 \text{ EQ Var } X4)) \text{ IMP}$   
 $\quad ((\text{Var } X1 \text{ EQ Var } X3) \text{ IMP } (\text{Var } X2 \text{ EQ Var } X4))$

**lemma**  $eq\text{-cong-ax-holds}: \text{eval-fm } e \text{ eq-cong-ax}$   
**by** (*auto simp: Conj-def eq-cong-ax-def*)

**definition**  $mem\text{-cong-ax} :: fm$  **where**  
 $mem\text{-cong-ax} = ((\text{Var } X1 \text{ EQ Var } X2) \text{ AND } (\text{Var } X3 \text{ EQ Var } X4)) \text{ IMP}$   
 $\quad ((\text{Var } X1 \text{ IN Var } X3) \text{ IMP } (\text{Var } X2 \text{ IN Var } X4))$

**lemma**  $mem\text{-cong-ax-holds}: \text{eval-fm } e \text{ mem-cong-ax}$   
**by** (*auto simp: Conj-def mem-cong-ax-def*)

**definition**  $eats\text{-cong-ax} :: fm$  **where**  
 $eats\text{-cong-ax} = ((\text{Var } X1 \text{ EQ Var } X2) \text{ AND } (\text{Var } X3 \text{ EQ Var } X4)) \text{ IMP}$   
 $\quad ((\text{Eats } (\text{Var } X1) (\text{Var } X3)) \text{ EQ } (\text{Eats } (\text{Var } X2) (\text{Var } X4)))$

**lemma**  $eats\text{-cong-ax-holds}: \text{eval-fm } e \text{ eats-cong-ax}$   
**by** (*auto simp: Conj-def eats-cong-ax-def*)

**definition**  $equality\text{-axioms} :: fm \text{ set}$  **where**  
 $equality\text{-axioms} = \{refl\text{-ax}, eq\text{-cong-ax}, mem\text{-cong-ax}, eats\text{-cong-ax}\}$

**lemma**  $equality\text{-axioms-hold}: A \in equality\text{-axioms} \implies \text{eval-fm } e \text{ A}$   
**by** (*auto simp: equality-axioms-def refl-ax-holds eq-cong-ax-holds mem-cong-ax-holds eats-cong-ax-holds*)

### 1.2.5 The proof system

This arbitrary additional axiom generalises the statements of the incompleteness theorems and other results to any formal system stronger than the HF theory. The additional axiom could be the conjunction of any finite number of assertions. Any more general extension must be a form that can be formalised for the proof predicate.

```
consts extra-axiom :: fm
```

```
specification (extra-axiom)
```

```
extra-axiom-holds: eval-fm e extra-axiom
```

```
by (rule exI [where x = Zero IN Eats Zero Zero], auto)
```

```
inductive hfthm :: fm set ⇒ fm ⇒ bool (infixl ‹↔› 55)
```

```
where
```

```
Hyp: A ∈ H ⇒ H ⊢ A
```

```
| Extra: H ⊢ extra-axiom
```

```
| Bool: A ∈ boolean-axioms ⇒ H ⊢ A
```

```
| Eq: A ∈ equality-axioms ⇒ H ⊢ A
```

```
| Spec: A ∈ special-axioms ⇒ H ⊢ A
```

```
| HF: A ∈ HF-axioms ⇒ H ⊢ A
```

```
| Ind: A ∈ induction-axioms ⇒ H ⊢ A
```

```
| MP: H ⊢ A IMP B ⇒ H' ⊢ A ⇒ H ∪ H' ⊢ B
```

```
| Exists: H ⊢ A IMP B ⇒ atom i # B ⇒ ∀ C ∈ H. atom i # C ⇒ H ⊢ (Ex i A) IMP B
```

Soundness theorem!

```
theorem hfthm-sound: assumes H ⊢ A shows (∀ B ∈ H. eval-fm e B) ⇒ eval-fm e A
```

```
using assms
```

```
proof (induct arbitrary: e)
```

```
case (Extra H) thus ?case
```

```
by (metis extra-axiom-holds)
```

```
next
```

```
case (Bool A H) thus ?case
```

```
by (metis boolean-axioms-hold)
```

```
next
```

```
case (Eq A H) thus ?case
```

```
by (metis equality-axioms-hold)
```

```
next
```

```
case (Spec A H) thus ?case
```

```
by (metis special-axioms-hold)
```

```
next
```

```
case (HF A H) thus ?case
```

```
by (metis HF-axioms-hold)
```

```
next
```

```
case (Ind A H) thus ?case
```

```
by (metis induction-axioms-hold)
```

```
next
```

```

case (Exists H A B i e) thus ?case
  by auto (metis forget-eval-fm)
qed auto

```

### 1.2.6 Derived rules of inference

```

lemma contraction: insert A (insert A H) ⊢ B ==> insert A H ⊢ B
  by (metis insert-absorb2)

```

```

lemma thin-Un: H ⊢ A ==> H ∪ H' ⊢ A
  by (metis Bool MP boolean-axioms.Ident sup-commute)

```

```

lemma thin: H ⊢ A ==> H ⊆ H' ==> H' ⊢ A
  by (metis Un-absorb1 thin-Un)

```

```

lemma thin0: {} ⊢ A ==> H ⊢ A
  by (metis sup-bot-left thin-Un)

```

```

lemma thin1: H ⊢ B ==> insert A H ⊢ B
  by (metis subset-insertI thin)

```

```

lemma thin2: insert A1 H ⊢ B ==> insert A1 (insert A2 H) ⊢ B
  by (blast intro: thin)

```

```

lemma thin3: insert A1 (insert A2 H) ⊢ B ==> insert A1 (insert A2 (insert A3 H)) ⊢ B
  by (blast intro: thin)

```

```

lemma thin4:
  insert A1 (insert A2 (insert A3 H)) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 H))) ⊢ B
  by (blast intro: thin)

```

```

lemma rotate2: insert A2 (insert A1 H) ⊢ B ==> insert A1 (insert A2 H) ⊢ B
  by (blast intro: thin)

```

```

lemma rotate3: insert A3 (insert A1 (insert A2 H)) ⊢ B ==> insert A1 (insert A2 (insert A3 H)) ⊢ B
  by (blast intro: thin)

```

```

lemma rotate4:
  insert A4 (insert A1 (insert A2 (insert A3 H))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 H))) ⊢ B
  by (blast intro: thin)

```

```

lemma rotate5:
  insert A5 (insert A1 (insert A2 (insert A3 (insert A4 H)))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 H)))) ⊢ B
  by (blast intro: thin)

```

```

lemma rotate6:
  insert A6 (insert A1 (insert A2 (insert A3 (insert A4 (insert A5 H))))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 H))))) ⊢ B
by (blast intro: thin)

lemma rotate7:
  insert A7 (insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 H)))))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 H)))))) ⊢ B
by (blast intro: thin)

lemma rotate8:
  insert A8 (insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 H))))))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 (insert A8 H))))))) ⊢ B
by (blast intro: thin)

lemma rotate9:
  insert A9 (insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 (insert A8 H))))))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 (insert A8 (insert A9 H))))))) ⊢ B
by (blast intro: thin)

lemma rotate10:
  insert A10 (insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 (insert A8 (insert A9 H)))))))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 (insert A8 (insert A9 (insert A10 H)))))))) ⊢ B
by (blast intro: thin)

lemma rotate11:
  insert A11 (insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 (insert A8 (insert A9 (insert A10 H)))))))))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 (insert A8 (insert A9 (insert A10 (insert A11 H)))))))))) ⊢ B
by (blast intro: thin)

lemma rotate12:
  insert A12 (insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 (insert A8 (insert A9 (insert A10 (insert A11 H)))))))))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7 (insert A8 (insert A9 (insert A10 (insert A11 (insert A12 H)))))))))) ⊢ B
by (blast intro: thin)

```

```

lemma rotate13:
  insert A13 (insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6
  (insert A7 (insert A8 (insert A9 (insert A10 (insert A11 (insert A12 H)))))))))))
  ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7
  (insert A8 (insert A9 (insert A10 (insert A11 (insert A12 (insert A13 H)))))))))))
  ⊢ B
  by (blast intro: thin)

lemma rotate14:
  insert A14 (insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6
  (insert A7 (insert A8 (insert A9 (insert A10 (insert A11 (insert A12 (insert A13
  H)))))))))))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7
  (insert A8 (insert A9 (insert A10 (insert A11 (insert A12 (insert A13 (insert
  A14 H)))))))))))) ⊢ B
  by (blast intro: thin)

lemma rotate15:
  insert A15 (insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6
  (insert A7 (insert A8 (insert A9 (insert A10 (insert A11 (insert A12 (insert A13
  (insert A14 H)))))))))))))) ⊢ B
  ==> insert A1 (insert A2 (insert A3 (insert A4 (insert A5 (insert A6 (insert A7
  (insert A8 (insert A9 (insert A10 (insert A11 (insert A12 (insert A13 (insert
  A14 (insert A15 H)))))))))))))) ⊢ B
  by (blast intro: thin)

lemma MP-same:  $H \vdash A \text{ IMP } B \Rightarrow H \vdash A \Rightarrow H \vdash B$ 
  by (metis MP Un-absorb)

lemma MP-thin:  $HA \vdash A \text{ IMP } B \Rightarrow HB \vdash A \Rightarrow HA \cup HB \subseteq H \Rightarrow H \vdash B$ 
  by (metis MP-same le-sup-iff thin)

lemma MP-null:  $\{\} \vdash A \text{ IMP } B \Rightarrow H \vdash A \Rightarrow H \vdash B$ 
  by (metis MP-same thin0)

lemma Disj-commute:  $H \vdash B \text{ OR } A \Rightarrow H \vdash A \text{ OR } B$ 
  using DisjConj [of B A B] Ident [of B]
  by (metis Bool MP-same)

lemma S: assumes  $H \vdash A \text{ IMP } (B \text{ IMP } C)$   $H' \vdash A \text{ IMP } B$  shows  $H \cup H' \vdash A \text{ IMP } C$ 
proof –
  have  $H' \cup H \vdash (\text{Neg } A) \text{ OR } (C \text{ OR } (\text{Neg } A))$ 
  by (metis Bool MP MP-same boolean-axioms DisjConj Disj-commute DisjAssoc
  asms)
  thus ?thesis
  by (metis Bool Disj-commute Un-commute MP-same DisjAssoc DisjCont DisjI1)
qed

```

```

lemma Assume: insert A H ⊢ A
  by (metis Hyp insertI1)

lemmas AssumeH = Assume Assume [THEN rotate2] Assume [THEN rotate3]
  Assume [THEN rotate4] Assume [THEN rotate5]
    Assume [THEN rotate6] Assume [THEN rotate7] Assume [THEN
  rotate8] Assume [THEN rotate9] Assume [THEN rotate10]
    Assume [THEN rotate11] Assume [THEN rotate12]
declare AssumeH [intro!]

lemma Imp-triv-I: H ⊢ B ==> H ⊢ A IMP B
  by (metis Bool Disj-commute MP-same boolean-axioms.DisjI1)

lemma DisjAssoc1: H ⊢ A OR (B OR C) ==> H ⊢ (A OR B) OR C
  by (metis Bool MP-same boolean-axioms.DisjAssoc)

lemma DisjAssoc2: H ⊢ (A OR B) OR C ==> H ⊢ A OR (B OR C)
  by (metis DisjAssoc1 Disj-commute)

lemma Disj-commute-Imp: H ⊢ (B OR A) IMP (A OR B)
  using DisjConj [of B A B] Ident [of B]
  by (metis Bool DisjAssoc2 Disj-commute MP-same)

lemma Disj-Semicong-1: H ⊢ A OR C ==> H ⊢ A IMP B ==> H ⊢ B OR C
  using DisjConj [of A C B]
  by (metis Bool Disj-commute MP-same)

lemma Imp-Imp-commute: H ⊢ B IMP (A IMP C) ==> H ⊢ A IMP (B IMP C)
  by (metis DisjAssoc1 DisjAssoc2 Disj-Semicong-1 Disj-commute-Imp)

```

### 1.2.7 The Deduction Theorem

```

lemma deduction-Diff: assumes H ⊢ B shows H - {C} ⊢ C IMP B
  using assms
  proof (induct)
    case (Hyp A H) thus ?case
      by (metis Bool Imp-triv-I boolean-axioms.Ident hfthm.Hyp member-remove re-
move-def)
    next
      case (Extra H) thus ?case
        by (metis Imp-triv-I hfthm.Extra)
    next
      case (Bool A H) thus ?case
        by (metis Imp-triv-I hfthm.Bool)
    next
      case (Eq A H) thus ?case
        by (metis Imp-triv-I hfthm.Eq)
    next

```

```

case (Spec A H) thus ?case
  by (metis Imp-triv-I hfthm.Spec)
next
  case (HF A H) thus ?case
    by (metis Imp-triv-I hfthm.HF)
next
  case (Ind A H) thus ?case
    by (metis Imp-triv-I hfthm.Ind)
next
  case (MP H A B H')
  hence (H − {C} ) ∪ (H' − {C} ) ⊢ Imp C B
    by (simp add: S)
  thus ?case
    by (metis Un-Diff)
next
  case (Exists H A B i) show ?case
    proof (cases C ∈ H)
      case True
        hence atom i # C using Exists by auto
        moreover have H − {C} ⊢ A IMP C IMP B using Exists
          by (metis Imp-Imp-commute)
        ultimately have H − {C} ⊢ (Ex i A) IMP C IMP B using Exists
          using hfthm.Exists by force
        thus ?thesis
          by (metis Imp-Imp-commute)
next
  case False
  hence H − {C} = H by auto
  thus ?thesis using Exists
    by (metis Imp-triv-I hfthm.Exists)
qed
qed

```

**theorem** *Imp-I [intro!]*: *insert A H ⊢ B*  $\implies$  *H ⊢ A IMP B*  
**by** (*metis Diff-insert-absorb Imp-triv-I deduction-Diff insert-absorb*)

**lemma** *anti-deduction*: *H ⊢ A IMP B*  $\implies$  *insert A H ⊢ B*  
**by** (*metis Assume MP-same thin1*)

### 1.2.8 Cut rules

**lemma** *cut*: *H ⊢ A*  $\implies$  *insert A H' ⊢ B*  $\implies$  *H ∪ H' ⊢ B*  
**by** (*metis MP Un-commute Imp-I*)

**lemma** *cut-same*: *H ⊢ A*  $\implies$  *insert A H ⊢ B*  $\implies$  *H ⊢ B*  
**by** (*metis Un-absorb cut*)

**lemma** *cut-thin*: *HA ⊢ A*  $\implies$  *insert A HB ⊢ B*  $\implies$  *HA ∪ HB ⊆ H*  $\implies$  *H ⊢ B*  
**by** (*metis thin cut*)

**lemma** *cut0*:  $\{\} \vdash A \implies \text{insert } A H \vdash B \implies H \vdash B$   
**by** (*metis cut-same thin0*)

**lemma** *cut1*:  $\{A\} \vdash B \implies H \vdash A \implies H \vdash B$   
**by** (*metis cut sup-bot-right*)

**lemma** *rcut1*:  $\{A\} \vdash B \implies \text{insert } B H \vdash C \implies \text{insert } A H \vdash C$   
**by** (*metis Assume cut1 cut-same rotate2 thin1*)

**lemma** *cut2*:  $\llbracket \{A,B\} \vdash C; H \vdash A; H \vdash B \rrbracket \implies H \vdash C$   
**by** (*metis Un-empty-right Un-insert-right cut cut-same*)

**lemma** *rcut2*:  $\{A,B\} \vdash C \implies \text{insert } C H \vdash D \implies H \vdash B \implies \text{insert } A H \vdash D$   
**by** (*metis Assume cut2 cut-same insert-commute thin1*)

**lemma** *cut3*:  $\llbracket \{A,B,C\} \vdash D; H \vdash A; H \vdash B; H \vdash C \rrbracket \implies H \vdash D$   
**by** (*metis MP-same cut2 Imp-I*)

**lemma** *cut4*:  $\llbracket \{A,B,C,D\} \vdash E; H \vdash A; H \vdash B; H \vdash C; H \vdash D \rrbracket \implies H \vdash E$   
**by** (*metis MP-same cut3 [of B C D] Imp-I*)

### 1.3 Miscellaneous logical rules

**lemma** *Disj-I1*:  $H \vdash A \implies H \vdash A \text{ OR } B$   
**by** (*metis Bool MP-same boolean-axioms.DisjI1*)

**lemma** *Disj-I2*:  $H \vdash B \implies H \vdash A \text{ OR } B$   
**by** (*metis Disj-commute Disj-I1*)

**lemma** *Peirce*:  $H \vdash (\text{Neg } A) \text{ IMP } A \implies H \vdash A$   
**using** *DisjConj* [*of Neg A A A*] *DisjCont* [*of A*]  
**by** (*metis Bool MP-same boolean-axioms.Ident*)

**lemma** *Contra*:  $\text{insert } (\text{Neg } A) H \vdash A \implies H \vdash A$   
**by** (*metis Peirce Imp-I*)

**lemma** *Imp-Neg-I*:  $H \vdash A \text{ IMP } B \implies H \vdash A \text{ IMP } (\text{Neg } B) \implies H \vdash \text{Neg } A$   
**by** (*metis DisjConj [of B Neg A Neg A] DisjCont Bool Disj-commute MP-same*)

**lemma** *NegNeg-I*:  $H \vdash A \implies H \vdash \text{Neg } (\text{Neg } A)$   
**using** *DisjConj* [*of Neg (Neg A) Neg A Neg (Neg A)*]  
**by** (*metis Bool Ident MP-same*)

**lemma** *NegNeg-D*:  $H \vdash \text{Neg } (\text{Neg } A) \implies H \vdash A$   
**by** (*metis Disj-I1 Peirce*)

**lemma** *Neg-D*:  $H \vdash \text{Neg } A \implies H \vdash A \implies H \vdash B$   
**by** (*metis Imp-Neg-I Imp-triv-I NegNeg-D*)

**lemma** *Disj-Neg-1*:  $H \vdash A \text{ OR } B \implies H \vdash \text{Neg } B \implies H \vdash A$   
**by** (*metis Disj-I1 Disj-Semicong-1 Disj-commute Peirce*)

**lemma** *Disj-Neg-2*:  $H \vdash A \text{ OR } B \implies H \vdash \text{Neg } A \implies H \vdash B$   
**by** (*metis Disj-Neg-1 Disj-commute*)

**lemma** *Neg-Disj-I*:  $H \vdash \text{Neg } A \implies H \vdash \text{Neg } B \implies H \vdash \text{Neg } (A \text{ OR } B)$   
**by** (*metis Bool Disj-Neg-1 MP-same boolean-axioms.Ident DisjAssoc*)

**lemma** *Conj-I [intro!]*:  $H \vdash A \implies H \vdash B \implies H \vdash A \text{ AND } B$   
**by** (*metis Conj-def NegNeg-I Neg-Disj-I*)

**lemma** *Conj-E1*:  $H \vdash A \text{ AND } B \implies H \vdash A$   
**by** (*metis Conj-def Bool Disj-Neg-1 NegNeg-D boolean-axioms.DisjI1*)

**lemma** *Conj-E2*:  $H \vdash A \text{ AND } B \implies H \vdash B$   
**by** (*metis Conj-def Bool Disj-I2 Disj-Neg-2 MP-same DisjAssoc Ident*)

**lemma** *Conj-commute*:  $H \vdash B \text{ AND } A \implies H \vdash A \text{ AND } B$   
**by** (*metis Conj-E1 Conj-E2 Conj-I*)

**lemma** *Conj-E*: **assumes** *insert A (insert B H) ⊢ C shows insert (A AND B) H ⊢ C*  
**apply** (*rule cut-same [where A=A], metis Conj-E1 Hyp insertI1*)  
**by** (*metis (full-types) AssumeH(2) Conj-E2 assms cut-same [where A=B] insert-commute thin2*)

**lemmas** *Conj-EH = Conj-E Conj-E [THEN rotate2] Conj-E [THEN rotate3] Conj-E [THEN rotate4] Conj-E [THEN rotate5] Conj-E [THEN rotate6] Conj-E [THEN rotate7] Conj-E [THEN rotate8] Conj-E [THEN rotate9] Conj-E [THEN rotate10]*  
**declare** *Conj-EH [intro!]*

**lemma** *Neg-I0*: **assumes**  $(\bigwedge B. \text{atom } i \notin B \implies \text{insert } A H \vdash B)$  **shows**  $H \vdash \text{Neg } A$   
**by** (*rule Imp-Neg-I [where B = Zero IN Zero]*) (*auto simp: assms*)

**lemma** *Neg-mono*:  $\text{insert } A H \vdash B \implies \text{insert } (\text{Neg } B) H \vdash \text{Neg } A$   
**by** (*rule Neg-I0*) (*metis Hyp Neg-D insert-commute insertI1 thin1*)

**lemma** *Conj-mono*:  $\text{insert } A H \vdash B \implies \text{insert } C H \vdash D \implies \text{insert } (A \text{ AND } C) H \vdash B \text{ AND } D$   
**by** (*metis Conj-E1 Conj-E2 Conj-I Hyp Un-absorb2 cut insertI1 subset-insertI*)

**lemma** *Disj-mono*:  
**assumes**  $\text{insert } A H \vdash B \text{ insert } C H \vdash D$  **shows**  $\text{insert } (A \text{ OR } C) H \vdash B \text{ OR } D$   
**proof** –  
{ fix A B C H }

```

have insert (A OR C) H ⊢ (A IMP B) IMP C OR B
  by (metis Bool Hyp MP-same boolean-axioms.DisjConj insertI1)
hence insert A H ⊢ B ==> insert (A OR C) H ⊢ C OR B
  by (metis MP-same Un-absorb Un-insert-right Imp-I thin-Un)
}
thus ?thesis
  by (metis cut-same assms thin2)
qed

lemma Disj-E:
assumes A: insert A H ⊢ C and B: insert B H ⊢ C shows insert (A OR B)
H ⊢ C
  by (metis A B Disj-mono NegNeg-I Peirce)

lemmas Disj-EH = Disj-E Disj-E [THEN rotate2] Disj-E [THEN rotate3] Disj-E
[THEN rotate4] Disj-E [THEN rotate5]
Disj-E [THEN rotate6] Disj-E [THEN rotate7] Disj-E [THEN rotate8]
Disj-E [THEN rotate9] Disj-E [THEN rotate10]
declare Disj-EH [intro!]

lemma Contra': insert A H ⊢ Neg A ==> H ⊢ Neg A
  by (metis Contra Neg-mono)

lemma NegNeg-E [intro!]: insert A H ⊢ B ==> insert (Neg (Neg A)) H ⊢ B
  by (metis NegNeg-D Neg-mono)

declare NegNeg-E [THEN rotate2, intro!]
declare NegNeg-E [THEN rotate3, intro!]
declare NegNeg-E [THEN rotate4, intro!]
declare NegNeg-E [THEN rotate5, intro!]
declare NegNeg-E [THEN rotate6, intro!]
declare NegNeg-E [THEN rotate7, intro!]
declare NegNeg-E [THEN rotate8, intro!]

lemma Imp-E:
assumes A: H ⊢ A and B: insert B H ⊢ C shows insert (A IMP B) H ⊢ C
proof -
  have insert (A IMP B) H ⊢ B
    by (metis Hyp A thin1 MP-same insertI1)
  thus ?thesis
    by (metis cut [where B=C] Un-insert-right sup-commute sup-idem B)
qed

lemma Imp-cut:
assumes insert C H ⊢ A IMP B {A} ⊢ C
  shows H ⊢ A IMP B
  by (metis Contra Disj-I1 Neg-mono assms rcut1)

lemma Iff-I [intro!]: insert A H ⊢ B ==> insert B H ⊢ A ==> H ⊢ A IFF B

```

**by** (*metis Iff-def Conj-I Imp-I*)

**lemma** *Iff-MP-same*:  $H \vdash A \text{ IFF } B \implies H \vdash A \implies H \vdash B$   
**by** (*metis Iff-def Conj-E1 MP-same*)

**lemma** *Iff-MP2-same*:  $H \vdash A \text{ IFF } B \implies H \vdash B \implies H \vdash A$   
**by** (*metis Iff-def Conj-E2 MP-same*)

**lemma** *Iff-refl* [*intro!*]:  $H \vdash A \text{ IFF } A$   
**by** (*metis Hyp Iff-I insertI1*)

**lemma** *Iff-sym*:  $H \vdash A \text{ IFF } B \implies H \vdash B \text{ IFF } A$   
**by** (*metis Iff-def Conj-commute*)

**lemma** *Iff-trans*:  $H \vdash A \text{ IFF } B \implies H \vdash B \text{ IFF } C \implies H \vdash A \text{ IFF } C$   
**unfolding** *Iff-def*  
**by** (*metis Conj-E1 Conj-E2 Conj-I Disj-Semicong-1 Disj-commute*)

**lemma** *Iff-E*:  
 $\text{insert } A (\text{insert } B H) \vdash C \implies \text{insert } (\text{Neg } A) (\text{insert } (\text{Neg } B) H) \vdash C \implies \text{insert } (A \text{ IFF } B) H \vdash C$   
**by** (*smt (verit) AssumeH(2) Conj-E Disj-E Iff-def Neg-D rotate2*)

**lemma** *Iff-E1*:  
**assumes**  $A: H \vdash A$  **and**  $B: \text{insert } B H \vdash C$  **shows**  $\text{insert } (A \text{ IFF } B) H \vdash C$   
**by** (*metis Iff-def A B Conj-E Imp-E insert-commute thin1*)

**lemma** *Iff-E2*:  
**assumes**  $A: H \vdash A$  **and**  $B: \text{insert } B H \vdash C$  **shows**  $\text{insert } (B \text{ IFF } A) H \vdash C$   
**by** (*metis Iff-def A B Bool Conj-E2 Conj-mono Imp-E boolean-axioms.Ident*)

**lemma** *Iff-MP-left*:  $H \vdash A \text{ IFF } B \implies \text{insert } A H \vdash C \implies \text{insert } B H \vdash C$   
**by** (*metis Hyp Iff-E2 cut-same insertI1 insert-commute thin1*)

**lemma** *Iff-MP-left'*:  $H \vdash A \text{ IFF } B \implies \text{insert } B H \vdash C \implies \text{insert } A H \vdash C$   
**by** (*metis Iff-MP-left Iff-sym*)

**lemma** *Swap*:  $\text{insert } (\text{Neg } B) H \vdash A \implies \text{insert } (\text{Neg } A) H \vdash B$   
**by** (*metis NegNeg-D Neg-mono*)

**lemma** *Cases*:  $\text{insert } A H \vdash B \implies \text{insert } (\text{Neg } A) H \vdash B \implies H \vdash B$   
**by** (*metis Contra Neg-D Neg-mono*)

**lemma** *Neg-Conj-E*:  $H \vdash B \implies \text{insert } (\text{Neg } A) H \vdash C \implies \text{insert } (\text{Neg } (A \text{ AND } B)) H \vdash C$   
**by** (*metis Conj-I Swap thin1*)

**lemma** *Disj-CI*:  $\text{insert } (\text{Neg } B) H \vdash A \implies H \vdash A \text{ OR } B$   
**by** (*metis Contra Disj-I1 Disj-I2 Swap*)

**lemma** *Disj-3I*:  $\text{insert}(\text{Neg } A) (\text{insert}(\text{Neg } C) H \vdash B \implies H \vdash A \text{ OR } B \text{ OR } C)$   
**by** (*metis Disj-CI Disj-commute insert-commute*)

**lemma** *Contrapos1*:  $H \vdash A \text{ IMP } B \implies H \vdash \text{Neg } B \text{ IMP } \text{Neg } A$   
**by** (*metis Bool MP-same boolean-axioms.DisjConj boolean-axioms.Ident*)

**lemma** *Contrapos2*:  $H \vdash (\text{Neg } B) \text{ IMP } (\text{Neg } A) \implies H \vdash A \text{ IMP } B$   
**by** (*metis Bool MP-same boolean-axioms.DisjConj boolean-axioms.Ident*)

**lemma** *ContraAssumeN* [*intro*]:  $B \in H \implies \text{insert}(\text{Neg } B) H \vdash A$   
**by** (*metis Hyp Swap thin1*)

**lemma** *ContraAssume*:  $\text{Neg } B \in H \implies \text{insert } B H \vdash A$   
**by** (*metis Disj-I1 Hyp anti-deduction*)

**lemma** *ContraProve*:  $H \vdash B \implies \text{insert}(\text{Neg } B) H \vdash A$   
**by** (*metis Swap thin1*)

**lemma** *Disj-IE1*:  $\text{insert } B H \vdash C \implies \text{insert}(A \text{ OR } B) H \vdash A \text{ OR } C$   
**by** (*metis Assume Disj-mono*)

**lemmas** *Disj-IE1H* = *Disj-IE1* *Disj-IE1* [THEN *rotate2*] *Disj-IE1* [THEN *rotate3*] *Disj-IE1* [THEN *rotate4*] *Disj-IE1* [THEN *rotate5*]  
*Disj-IE1* [THEN *rotate6*] *Disj-IE1* [THEN *rotate7*] *Disj-IE1* [THEN *rotate8*]  
**declare** *Disj-IE1H* [*intro!*]

### 1.3.1 Quantifier reasoning

**lemma** *Ex-I*:  $H \vdash A(i ::= x) \implies H \vdash \text{Ex } i A$   
**by** (*metis MP-same Spec special-axioms.intros*)

**lemma** *Ex-E*:  
**assumes**  $\text{insert } A H \vdash B$  atom  $i \notin B \forall C \in H.$  atom  $i \notin C$   
**shows**  $\text{insert}(\text{Ex } i A) H \vdash B$   
**by** (*metis Exists Imp-I anti-deduction assms*)

**lemma** *Ex-E-with-renaming*:  
**assumes**  $\text{insert}((i \leftrightarrow i') \cdot A) H \vdash B$  atom  $i' \notin (A, i, B) \forall C \in H.$  atom  $i' \notin C$   
**shows**  $\text{insert}(\text{Ex } i A) H \vdash B$

**proof** –  
**have**  $\text{Ex } i A = \text{Ex } i' ((i \leftrightarrow i') \cdot A)$  **using** *assms*  
**using** *fresh-permute-left* **by** (*fastforce simp add: Abs1-eq-iff fresh-Pair*)  
**thus** *?thesis*  
**by** (*metis Ex-E assms fresh-Pair*)  
**qed**

**lemmas** *Ex-EH* = *Ex-E* *Ex-E* [THEN *rotate2*] *Ex-E* [THEN *rotate3*] *Ex-E* [THEN

```

rotate4] Ex-E [THEN rotate5]
  Ex-E [THEN rotate6] Ex-E [THEN rotate7] Ex-E [THEN rotate8]
Ex-E [THEN rotate9] Ex-E [THEN rotate10]
declare Ex-EH [intro!]

```

**lemma** *Ex-mono*:  $\text{insert } A \ H \vdash B \implies \forall C \in H. \text{atom } i \notin C \implies \text{insert } (\text{Ex } i \ A)$   
 $H \vdash (\text{Ex } i \ B)$   
**by** (auto simp add: intro: Ex-I [where  $x=Var\ i$ ])

**lemma** *All-I* [intro!]:  $H \vdash A \implies \forall C \in H. \text{atom } i \notin C \implies H \vdash \text{All } i \ A$   
**by** (auto intro: ContraProve Neg-I0)

**lemma** *All-D*:  $H \vdash \text{All } i \ A \implies H \vdash A(i ::= x)$   
**by** (metis Assume Ex-I NegNeg-D Neg-mono SyntaxN.Neg cut-same)

**lemma** *All-E*:  $\text{insert } (A(i ::= x)) \ H \vdash B \implies \text{insert } (\text{All } i \ A) \ H \vdash B$   
**by** (metis Ex-I NegNeg-D Neg-mono SyntaxN.Neg)

**lemma** *All-E'*:  $H \vdash \text{All } i \ A \implies \text{insert } (A(i ::= x)) \ H \vdash B \implies H \vdash B$   
**by** (metis All-D cut-same)

**lemma** *All2-E*:  $\llbracket \text{atom } i \notin t; H \vdash x \text{ IN } t; \text{insert } (A(i ::= x)) \ H \vdash B \rrbracket \implies \text{insert } (\text{All2 } i \ t \ A) \ H \vdash B$   
**apply** (rule All-E [where  $x=x$ ], auto)  
**by** (metis Swap thin1)

**lemma** *All2-E'*:  $\llbracket H \vdash \text{All2 } i \ t \ A; H \vdash x \text{ IN } t; \text{insert } (A(i ::= x)) \ H \vdash B; \text{atom } i \notin t \rrbracket \implies H \vdash B$   
**by** (metis All2-E cut-same)

### 1.3.2 Congruence rules

**lemma** *Neg-cong*:  $H \vdash A \iff A' \implies H \vdash \text{Neg } A \iff \text{Neg } A'$   
**by** (metis Iff-def Conj-E1 Conj-E2 Conj-I Contrapos1)

**lemma** *Disj-cong*:  $H \vdash A \iff A' \implies H \vdash B \iff B' \implies H \vdash A \text{ OR } B \iff A' \text{ OR } B'$   
**by** (metis Conj-E1 Conj-E2 Disj-mono Iff-I Iff-def anti-deduction)

**lemma** *Conj-cong*:  $H \vdash A \iff A' \implies H \vdash B \iff B' \implies H \vdash A \text{ AND } B \iff A' \text{ AND } B'$   
**by** (metis Conj-def Disj-cong Neg-cong)

**lemma** *Imp-cong*:  $H \vdash A \iff A' \implies H \vdash B \iff B' \implies H \vdash (A \text{ IMP } B) \iff (A' \text{ IMP } B')$   
**by** (metis Disj-cong Neg-cong)

**lemma** *Iff-cong*:  $H \vdash A \iff A' \implies H \vdash B \iff B' \implies H \vdash (A \iff B) \iff (A' \iff B')$

**by** (*metis Iff-def Conj-cong Imp-cong*)

**lemma** *Ex-cong*:  $H \vdash A \text{ IFF } A' \implies \forall C \in H. \text{ atom } i \notin C \implies H \vdash (\text{Ex } i A) \text{ IFF } (\text{Ex } i A')$

**by** (*meson Assume Ex-mono Iff-I Iff-MP-left Iff-MP-left'*)

**lemma** *All-cong*:  $H \vdash A \text{ IFF } A' \implies \forall C \in H. \text{ atom } i \notin C \implies H \vdash (\text{All } i A) \text{ IFF } (\text{All } i A')$

**by** (*metis Ex-cong Neg-cong*)

**lemma** *Subst*:  $H \vdash A \implies \forall B \in H. \text{ atom } i \notin B \implies H \vdash A \ (i ::= x)$

**by** (*metis All-D All-I*)

## 1.4 Equality reasoning

### 1.4.1 The congruence property for (*EQ*), and other basic properties of equality

**lemma** *Eq-cong1*:  $\{\} \vdash (t \text{ EQ } t' \text{ AND } u \text{ EQ } u') \text{ IMP } (t \text{ EQ } u \text{ IMP } t' \text{ EQ } u')$

**proof** –

**obtain**  $v2::\text{name}$  **and**  $v3::\text{name}$  **and**  $v4::\text{name}$

**where**  $v2: \text{ atom } v2 \notin (t, X1, X3, X4)$

**and**  $v3: \text{ atom } v3 \notin (t', X1, v2, X4)$

**and**  $v4: \text{ atom } v4 \notin (t, t', u, X1, v2, v3)$

**by** (*metis obtain-fresh*)

**have**  $\{\} \vdash (\text{Var } X1 \text{ EQ } \text{Var } X2 \text{ AND } \text{Var } X3 \text{ EQ } \text{Var } X4) \text{ IMP } (\text{Var } X1 \text{ EQ } \text{Var } X3 \text{ IMP } \text{Var } X2 \text{ EQ } \text{Var } X4)$

**by** (*rule Eq*) (*simp add: eq-cong-ax-def equality-axioms-def*)

**hence**  $\{\} \vdash (\text{Var } X1 \text{ EQ } \text{Var } X2 \text{ AND } \text{Var } X3 \text{ EQ } \text{Var } X4) \text{ IMP } (\text{Var } X1 \text{ EQ } \text{Var } X3 \text{ IMP } \text{Var } X2 \text{ EQ } \text{Var } X4)$

**by** (*drule-tac i=X1 and x=Var X1 in Subst*) *simp-all*

**hence**  $\{\} \vdash (\text{Var } X1 \text{ EQ } \text{Var } v2 \text{ AND } \text{Var } X3 \text{ EQ } \text{Var } X4) \text{ IMP } (\text{Var } X1 \text{ EQ } \text{Var } X3 \text{ IMP } \text{Var } v2 \text{ EQ } \text{Var } X4)$

**by** (*drule-tac i=X2 and x=Var v2 in Subst*) *simp-all*

**hence**  $\{\} \vdash (\text{Var } X1 \text{ EQ } \text{Var } v2 \text{ AND } \text{Var } v3 \text{ EQ } \text{Var } X4) \text{ IMP } (\text{Var } X1 \text{ EQ } \text{Var } v3 \text{ IMP } \text{Var } v2 \text{ EQ } \text{Var } X4)$

**using**  $v2$

**by** (*drule-tac i=X3 and x=Var v3 in Subst*) *simp-all*

**hence**  $\{\} \vdash (\text{Var } X1 \text{ EQ } \text{Var } v2 \text{ AND } \text{Var } v3 \text{ EQ } \text{Var } v4) \text{ IMP } (\text{Var } X1 \text{ EQ } \text{Var } v3 \text{ IMP } \text{Var } v2 \text{ EQ } \text{Var } v4)$

**using**  $v2 v3$

**by** (*drule-tac i=X4 and x=Var v4 in Subst*) *simp-all*

**hence**  $\{\} \vdash (t \text{ EQ } \text{Var } v2 \text{ AND } \text{Var } v3 \text{ EQ } \text{Var } v4) \text{ IMP } (t \text{ EQ } \text{Var } v3 \text{ IMP } \text{Var } v2 \text{ EQ } \text{Var } v4)$

**using**  $v2 v3 v4$

**by** (*drule-tac i=X1 and x=t in Subst*) *simp-all*

**hence**  $\{\} \vdash (t \text{ EQ } t' \text{ AND } \text{Var } v3 \text{ EQ } \text{Var } v4) \text{ IMP } (t \text{ EQ } \text{Var } v3 \text{ IMP } t' \text{ EQ } \text{Var } v4)$

**using**  $v2 v3 v4$

```

by (drule-tac i=v2 and x=t' in Subst) simp-all
hence {} ⊢ (t EQ t' AND u EQ Var v4) IMP (t EQ u IMP t' EQ Var v4)
  using v3 v4
  by (drule-tac i=v3 and x=u in Subst) simp-all
thus ?thesis
  using v4
  by (drule-tac i=v4 and x=u' in Subst) simp-all
qed

```

```

lemma Refl [iff]: H ⊢ t EQ t
proof -
  have {} ⊢ Var X1 EQ Var X1
    by (rule Eq) (simp add: equality-axioms-def refl-ax-def)
  hence {} ⊢ t EQ t
    by (drule-tac i=X1 and x=t in Subst) simp-all
  thus ?thesis
    by (metis empty-subsetI thin)
qed

```

Apparently necessary in order to prove the congruence property.

```

lemma Sym: assumes H ⊢ t EQ u shows H ⊢ u EQ t
proof -
  have {} ⊢ (t EQ u AND t EQ t) IMP (t EQ t IMP u EQ t)
    by (rule Eq-cong1)
  moreover have {t EQ u} ⊢ t EQ u AND t EQ t
    by (metis Assume Conj-I Refl)
  ultimately have {t EQ u} ⊢ u EQ t
    by (metis MP-same MP Refl sup-bot-left)
  thus H ⊢ u EQ t by (metis assms cut1)
qed

```

```

lemma Sym-L: insert (t EQ u) H ⊢ A ==> insert (u EQ t) H ⊢ A
  by (metis Assume Sym Un-empty-left Un-insert-left cut)

```

```

lemma Trans: assumes H ⊢ x EQ y H ⊢ y EQ z shows H ⊢ x EQ z
proof -
  have ⋀H. H ⊢ (x EQ x AND y EQ z) IMP (x EQ y IMP x EQ z)
    by (metis Eq-cong1 bot-least thin)
  moreover have {x EQ y, y EQ z} ⊢ x EQ x AND y EQ z
    by (metis Assume Conj-I Refl thin1)
  ultimately have {x EQ y, y EQ z} ⊢ x EQ z
    by (metis Hyp MP-same insertI1)
  thus ?thesis
    by (metis assms cut2)
qed

```

```

lemma Eq-cong:
  assumes H ⊢ t EQ t' H ⊢ u EQ u' shows H ⊢ t EQ u IFF t' EQ u'
proof -

```

```

{ fix t t' u u'
  assume H ⊢ t EQ t' H ⊢ u EQ u'
  moreover have {t EQ t', u EQ u'} ⊢ t EQ u IMP t' EQ u' using Eq-cong1
    by (metis Assume Conj-I MP-null insert-commute)
  ultimately have H ⊢ t EQ u IMP t' EQ u'
    by (metis cut2)
}
thus ?thesis
  by (metis Iff-def Conj-I assms Sym)
qed

```

```

lemma Eq-Trans-E: H ⊢ x EQ u ==> insert (t EQ u) H ⊢ A ==> insert (x EQ t)
H ⊢ A
  by (metis Assume Sym-L Trans cut-same thin1 thin2)

```

#### 1.4.2 The congruence property for (IN)

```

lemma Mem-cong1: {} ⊢ (t EQ t' AND u EQ u') IMP (t IN u IMP t' IN u')
proof -
  obtain v2::name and v3::name and v4::name
    where v2: atom v2 # (t,X1,X3,X4)
      and v3: atom v3 # (t,t',X1,v2,X4)
      and v4: atom v4 # (t,t',u,X1,v2,v3)
    by (metis obtain-fresh)
  have {} ⊢ (Var X1 EQ Var X2 AND Var X3 EQ Var X4) IMP (Var X1 IN Var
X3 IMP Var X2 IN Var X4)
    by (metis mem-cong-ax-def equality-axioms-def insert-iff Eq)
  hence {} ⊢ (Var X1 EQ Var v2 AND Var X3 EQ Var X4) IMP (Var X1 IN Var
X3 IMP Var v2 IN Var X4)
    by (drule-tac i=X2 and x=Var v2 in Subst) simp-all
  hence {} ⊢ (Var X1 EQ Var v2 AND Var v3 EQ Var X4) IMP (Var X1 IN Var
v3 IMP Var v2 IN Var X4)
    using v2
    by (drule-tac i=X3 and x=Var v3 in Subst) simp-all
  hence {} ⊢ (Var X1 EQ Var v2 AND Var v3 EQ Var v4) IMP (Var X1 IN Var
v3 IMP Var v2 IN Var v4)
    using v2 v3
    by (drule-tac i=X4 and x=Var v4 in Subst) simp-all
  hence {} ⊢ (t EQ Var v2 AND Var v3 EQ Var v4) IMP (t IN Var v3 IMP Var
v2 IN Var v4)
    using v2 v3 v4
    by (drule-tac i=X1 and x=t in Subst) simp-all
  hence {} ⊢ (t EQ t' AND Var v3 EQ Var v4) IMP (t IN Var v3 IMP t' IN Var
v4)
    using v2 v3 v4
    by (drule-tac i=v2 and x=t' in Subst) simp-all
  hence {} ⊢ (t EQ t' AND u EQ Var v4) IMP (t IN u IMP t' IN Var v4)
    using v3 v4
    by (drule-tac i=v3 and x=u in Subst) simp-all

```

```

thus ?thesis
  using v4
  by (drule-tac i=v4 and x=u' in Subst) simp-all
qed

lemma Mem-cong:
  assumes H ⊢ t EQ t' H ⊢ u EQ u' shows H ⊢ t IN u IFF t' IN u'
proof -
  { fix t t' u u'
    have cong: {t EQ t', u EQ u'} ⊢ t IN u IMP t' IN u'
      by (metis AssumeH(2) Conj-I MP-null Mem-cong1 insert-commute)
  }
  thus ?thesis
    by (metis Iff-def Conj-I cut2 assms Sym)
qed

```

#### 1.4.3 The congruence properties for Eats and HPair

```

lemma Eats-cong1: {} ⊢ (t EQ t' AND u EQ u') IMP (Eats t u EQ Eats t' u')
proof -
  obtain v2::name and v3::name and v4::name
  where v2: atom v2 # (t,X1,X3,X4)
        and v3: atom v3 # (t,t',X1,v2,X4)
        and v4: atom v4 # (t,t',u,X1,v2,v3)
        by (metis obtain-fresh)
  have {} ⊢ (Var X1 EQ Var X2 AND Var X3 EQ Var X4) IMP (Eats (Var X1)
  (Var X3) EQ Eats (Var X2) (Var X4))
    by (metis eats-cong-ax-def equality-axioms-def insert-iff Eq)
  hence {} ⊢ (Var X1 EQ Var v2 AND Var X3 EQ Var X4) IMP (Eats (Var X1)
  (Var X3) EQ Eats (Var v2) (Var X4))
    by (drule-tac i=X2 and x=Var v2 in Subst) simp-all
  hence {} ⊢ (Var X1 EQ Var v2 AND Var v3 EQ Var X4) IMP (Eats (Var X1)
  (Var v3) EQ Eats (Var v2) (Var X4))
    using v2
    by (drule-tac i=X3 and x=Var v3 in Subst) simp-all
  hence {} ⊢ (Var X1 EQ Var v2 AND Var v3 EQ Var v4) IMP (Eats (Var X1)
  (Var v3) EQ Eats (Var v2) (Var v4))
    using v2 v3
    by (drule-tac i=X4 and x=Var v4 in Subst) simp-all
  hence {} ⊢ (t EQ Var v2 AND Var v3 EQ Var v4) IMP (Eats t (Var v3) EQ
  Eats (Var v2) (Var v4))
    using v2 v3 v4
    by (drule-tac i=X1 and x=t in Subst) simp-all
  hence {} ⊢ (t EQ t' AND Var v3 EQ Var v4) IMP (Eats t (Var v3) EQ Eats t'
  (Var v4))
    using v2 v3 v4
    by (drule-tac i=v2 and x=t' in Subst) simp-all
  hence {} ⊢ (t EQ t' AND u EQ Var v4) IMP (Eats t u EQ Eats t' (Var v4))
    using v3 v4

```

```

    by (drule-tac i=v3 and x=u in Subst) simp-all
  thus ?thesis
    using v4
    by (drule-tac i=v4 and x=u' in Subst) simp-all
qed

lemma Eats-cong: [|H ⊢ t EQ t'; H ⊢ u EQ u'|] ==> H ⊢ Eats t u EQ Eats t' u'
  by (metis Conj-I anti-deduction Eats-cong1 cut1)

lemma HPair-cong: [|H ⊢ t EQ t'; H ⊢ u EQ u'|] ==> H ⊢ HPair t u EQ HPair t' u'
  by (metis HPair-def Eats-cong Refl)

lemma SUCC-cong: H ⊢ t EQ t' ==> H ⊢ SUCC t EQ SUCC t'
  by (metis Eats-cong SUCC-def)

```

#### 1.4.4 Substitution for Equalities

```

lemma Eq-subst-tm-Iff: {t EQ u} ⊢ subst i t tm EQ subst i u tm
  by (induct tm rule: tm.induct) (auto simp: Eats-cong)

lemma Eq-subst-fm-Iff: insert (t EQ u) H ⊢ A(i:=t) IFF A(i:=u)
proof -
  have {t EQ u} ⊢ A(i:=t) IFF A(i:=u)
    by (nominal-induct A avoiding: i t u rule: fm.strong-induct)
      (auto simp: Disj-cong Neg-cong Ex-cong Mem-cong Eq-cong Eq-subst-tm-Iff)
  thus ?thesis
    by (metis Assume cut1)
qed

lemma Var-Eq-subst-Iff: insert (Var i EQ t) H ⊢ A(i:=t) IFF A
  by (metis Eq-subst-fm-Iff Iff-sym subst-fm-id)

lemma Var-Eq-imp-subst-Iff: H ⊢ Var i EQ t ==> H ⊢ A(i:=t) IFF A
  by (metis Var-Eq-subst-Iff cut-same)

```

#### 1.4.5 Congruence Rules for Predicates

```

lemma P1-cong:
  fixes tms :: tm list
  assumes ⋀ i t x. atom i # tms ==> (P t)(i:=x) = P (subst i x t) and H ⊢ x EQ x'
  shows H ⊢ P x IFF P x'
proof -
  obtain i::name where i: atom i # tms
    by (metis obtain-fresh)
  have insert (x EQ x') H ⊢ (P (Var i))(i:=x) IFF (P(Var i))(i:=x')
    by (rule Eq-subst-fm-Iff)
  thus ?thesis using assms i
    by (metis cut-same subst.simps(2))

```

**qed**

**lemma**  $P2\text{-cong}$ :

**fixes**  $tms :: tm list$

**assumes**  $\text{sub}: \bigwedge i t u x. \text{atom } i \notin tms \implies (P t u)(i ::= x) = P (\text{subst } i x t) (\text{subst } i x u)$

**and eq:**  $H \vdash x EQ x' H \vdash y EQ y'$

**shows**  $H \vdash P x y IFF P x' y'$

**proof –**

**have**  $yy': \{ y EQ y' \} \vdash P x' y IFF P x' y'$

**by** (rule  $P1\text{-cong}$  [where  $tms=[y,x']@tms$ ] (auto simp: fresh-Cons sub))

**have**  $\{ x EQ x' \} \vdash P x y IFF P x' y$

**by** (rule  $P1\text{-cong}$  [where  $tms=[y,x']@tms$ ] (auto simp: fresh-Cons sub))

**hence**  $\{ x EQ x', y EQ y' \} \vdash P x y IFF P x' y'$

**by** (metis Assume Iff-trans cut1 rotate2 yy')

**thus** ?thesis

**by** (metis cut2 eq)

**qed**

**lemma**  $P3\text{-cong}$ :

**fixes**  $tms :: tm list$

**assumes**  $\text{sub}: \bigwedge i t u v x. \text{atom } i \notin tms \implies$

$(P t u v)(i ::= x) = P (\text{subst } i x t) (\text{subst } i x u) (\text{subst } i x v)$

**and eq:**  $H \vdash x EQ x' H \vdash y EQ y' H \vdash z EQ z'$

**shows**  $H \vdash P x y z IFF P x' y' z'$

**proof –**

**obtain**  $i ::= name$  **where**  $i: \text{atom } i \notin (z, z', y, y', x, x')$

**by** (metis obtain-fresh)

**have**  $tl: \{ y EQ y', z EQ z' \} \vdash P x' y z IFF P x' y' z'$

**by** (rule  $P2\text{-cong}$  [where  $tms=[z,z',y,y',x,x']@tms$ ] (auto simp: fresh-Cons sub))

**have**  $hd: \{ x EQ x' \} \vdash P x y z IFF P x' y z$

**by** (rule  $P1\text{-cong}$  [where  $tms=[z,y,x']@tms$ ] (auto simp: fresh-Cons sub))

**have**  $\{ x EQ x', y EQ y', z EQ z' \} \vdash P x y z IFF P x' y' z'$

**by** (metis Assume thin1 hd [THEN cut1] tl Iff-trans)

**thus** ?thesis

**by** (rule cut3) (rule eq)+

**qed**

**lemma**  $P4\text{-cong}$ :

**fixes**  $tms :: tm list$

**assumes**  $\text{sub}: \bigwedge i t1 t2 t3 t4 x. \text{atom } i \notin tms \implies$

$(P t1 t2 t3 t4)(i ::= x) = P (\text{subst } i x t1) (\text{subst } i x t2) (\text{subst } i x t3)$

$(\text{subst } i x t4)$

**and eq:**  $H \vdash x1 EQ x1' H \vdash x2 EQ x2' H \vdash x3 EQ x3' H \vdash x4 EQ x4'$

**shows**  $H \vdash P x1 x2 x3 x4 IFF P x1' x2' x3' x4'$

**proof –**

**obtain**  $i ::= name$  **where**  $i: \text{atom } i \notin (x4, x4', x3, x3', x2, x2', x1, x1')$

**by** (metis obtain-fresh)

**have**  $tl: \{ x2 EQ x2', x3 EQ x3', x4 EQ x4' \} \vdash P x1' x2 x3 x4 IFF P x1' x2'$

```

 $x_3' x_4'$ 
  by (rule P3-cong [where tms=[x4,x4',x3,x3',x2,x2',x1,x1']@tms]) (auto simp:
    fresh-Cons sub)
  have hd: { x1 EQ x1' } ⊢ P x1 x2 x3 x4 IFF P x1' x2 x3 x4
    by (auto simp: fresh-Cons sub intro!: P1-cong [where tms=[x4,x3,x2,x1']@tms])
  have {x1 EQ x1', x2 EQ x2', x3 EQ x3', x4 EQ x4'} ⊢ P x1 x2 x3 x4 IFF P x1'
    x2' x3' x4'
    by (metis Assume thin1 hd [THEN cut1] tl Iff-trans)
  thus ?thesis
    by (rule cut4) (rule eq)+
qed

```

## 1.5 Zero and Falsity

```

lemma Mem-Zero-iff:
  assumes atom i # t shows H ⊢ (t EQ Zero) IFF (All i (Neg ((Var i) IN t)))
proof -
  obtain i':name where i': atom i' # (t, X0, X1, i)
    by (rule obtain-fresh)
  have {} ⊢ ((Var X0) EQ Zero) IFF (All X1 (Neg ((Var X1) IN (Var X0))))
    by (simp add: HF HF-axioms-def HF1-def)
  then have {} ⊢ (((Var X0) EQ Zero) IFF (All X1 (Neg ((Var X1) IN (Var X0))))) (X0 ::= t)
    by (rule Subst) simp
  hence {} ⊢ (t EQ Zero) IFF (All i' (Neg ((Var i') IN t))) using i'
    by simp
  also have ... = (FRESH i'. (t EQ Zero) IFF (All i' (Neg ((Var i') IN t))))
    using i' by simp
  also have ... = (t EQ Zero) IFF (All i (Neg ((Var i) IN t)))
    using assms by simp
  finally show ?thesis
    by (metis empty-subsetI thin)
qed

```

```

lemma Mem-Zero-E [intro!]: insert (x IN Zero) H ⊢ A
proof -
  obtain i::name where atom i # Zero
    by (rule obtain-fresh)
  hence {} ⊢ All i (Neg ((Var i) IN Zero))
    by (metis Mem-Zero-iff Iff-MP-same Refl)
  hence {} ⊢ Neg (x IN Zero)
    by (drule-tac x=x in All-D) simp
  thus ?thesis
    by (metis Contrapos2 Hyp Imp-triv-I MP-same empty-subsetI insertI1 thin)
qed

```

```

declare Mem-Zero-E [THEN rotate2, intro!]
declare Mem-Zero-E [THEN rotate3, intro!]
declare Mem-Zero-E [THEN rotate4, intro!]

```

```

declare Mem-Zero-E [THEN rotate5, intro!]
declare Mem-Zero-E [THEN rotate6, intro!]
declare Mem-Zero-E [THEN rotate7, intro!]
declare Mem-Zero-E [THEN rotate8, intro!]

```

### 1.5.1 The Formula $Fls$ ; Consistency of the Calculus

**definition**  $Fls$  **where**  $Fls \equiv \text{Zero IN Zero}$

**lemma**  $Fls\text{-eqvt}$  [*eqvt*]:  $(p \cdot Fls) = Fls$   
**by** (*simp add: Fls-def*)

**lemma**  $Fls\text{-fresh}$  [*simp*]:  $a \# Fls$   
**by** (*simp add: Fls-def*)

**lemma**  $Neg\text{-}I$  [*intro!*]:  $\text{insert } A \ H \vdash Fls \implies H \vdash Neg \ A$   
**unfolding**  $Fls\text{-def}$   
**by** (*rule Neg-I0*) (*metis Mem-Zero-E cut-same*)

**lemma**  $Neg\text{-}E$  [*intro!*]:  $H \vdash A \implies \text{insert } (Neg \ A) \ H \vdash Fls$   
**by** (*rule ContraProve*)

```

declare Neg-E [THEN rotate2, intro!]
declare Neg-E [THEN rotate3, intro!]
declare Neg-E [THEN rotate4, intro!]
declare Neg-E [THEN rotate5, intro!]
declare Neg-E [THEN rotate6, intro!]
declare Neg-E [THEN rotate7, intro!]
declare Neg-E [THEN rotate8, intro!]

```

We need these because  $\text{Neg } (A \text{ IMP } B)$  doesn't have to be syntactically a conjunction.

**lemma**  $Neg\text{-}Imp\text{-}I$  [*intro!*]:  $H \vdash A \implies \text{insert } B \ H \vdash Fls \implies H \vdash Neg \ (A \text{ IMP } B)$   
**by** (*metis NegNeg-I Neg-Disj-I Neg-I*)

**lemma**  $Neg\text{-}Imp\text{-}E$  [*intro!*]:  $\text{insert } (Neg \ B) \ (\text{insert } A \ H) \vdash C \implies \text{insert } (Neg \ (A \text{ IMP } B)) \ H \vdash C$   
**using** *Imp-I Swap rotate2* **by** *metis*

```

declare Neg-Imp-E [THEN rotate2, intro!]
declare Neg-Imp-E [THEN rotate3, intro!]
declare Neg-Imp-E [THEN rotate4, intro!]
declare Neg-Imp-E [THEN rotate5, intro!]
declare Neg-Imp-E [THEN rotate6, intro!]
declare Neg-Imp-E [THEN rotate7, intro!]
declare Neg-Imp-E [THEN rotate8, intro!]

```

**lemma**  $Fls\text{-}E$  [*intro!*]:  $\text{insert } Fls \ H \vdash A$   
**by** (*metis Mem-Zero-E Fls-def*)

```

declare Fls-E [THEN rotate2, intro!]
declare Fls-E [THEN rotate3, intro!]
declare Fls-E [THEN rotate4, intro!]
declare Fls-E [THEN rotate5, intro!]
declare Fls-E [THEN rotate6, intro!]
declare Fls-E [THEN rotate7, intro!]
declare Fls-E [THEN rotate8, intro!]

```

```

lemma truth-provable:  $H \vdash (\text{Neg } \text{Fls})$ 
  by (metis Fls-E Neg-I)

```

```

lemma ExFalse:  $H \vdash \text{Fls} \implies H \vdash A$ 
  by (metis Neg-D truth-provable)

```

Thanks to Andrei Popescu for pointing out that consistency was provable here.

```

proposition consistent:  $\neg \{\} \vdash \text{Fls}$ 
  by (meson empty-iff eval-fm.simps(4) hftthm-sound truth-provable)

```

### 1.5.2 More properties of Zero

```

lemma Eq-Zero-D:
  assumes  $H \vdash t \text{ EQ Zero } H \vdash u \text{ IN } t$  shows  $H \vdash A$ 

```

**proof** –

```

  obtain i::name where i: atom  $i \notin t$ 
    by (rule obtain-fresh)
  with assms have an:  $H \vdash (\text{All } i (\text{Neg } ((\text{Var } i) \text{ IN } t)))$ 
    by (metis Iff-MP-same Mem-Zero-iff)
  have  $H \vdash \text{Neg } (u \text{ IN } t)$  using All-D [OF an, of u] i
    by simp
  thus ?thesis using assms
    by (metis Neg-D)

```

qed

**lemma** Eq-Zero-thm:

```

  assumes atom  $i \notin t$  shows  $\{\text{All } i (\text{Neg } ((\text{Var } i) \text{ IN } t))\} \vdash t \text{ EQ Zero}$ 
  by (metis Assume Iff-MP2-same Mem-Zero-iff assms)

```

**lemma** Eq-Zero-I:

```

  assumes insi: insert  $((\text{Var } i) \text{ IN } t)$   $H \vdash \text{Fls}$  and i1: atom  $i \notin t$  and i2:  $\forall B \in H. \text{atom } i \notin B$ 
  shows  $H \vdash t \text{ EQ Zero}$ 

```

**proof** –

```

  have  $H \vdash \text{All } i (\text{Neg } ((\text{Var } i) \text{ IN } t))$ 
    by (metis All-I Neg-I i2 insi)
  thus ?thesis
    using Eq-Zero-thm cut1 i1 by blast

```

qed

### 1.5.3 Basic properties of *Eats*

**lemma Eq-Eats-iff:**

assumes atom  $i \# (z, t, u)$

shows  $H \vdash (z \text{ EQ } \text{Eats } t \ u) \text{ IFF } (\text{All } i \ (\text{Var } i \text{ IN } z \text{ IFF } \text{Var } i \text{ IN } t \text{ OR } \text{Var } i \text{ EQ } u))$

**proof –**

obtain  $v1::\text{name}$  and  $v2::\text{name}$  and  $i'::\text{name}$

where  $v1: \text{atom } v1 \# (z, X0, X2, X3)$

and  $v2: \text{atom } v2 \# (t, z, X0, v1, X3)$

and  $i': \text{atom } i' \# (t, u, z, X0, v1, v2, X3)$

by (metis obtain-fresh)

have  $\{\} \vdash ((\text{Var } X0) \text{ EQ } (\text{Eats } (\text{Var } X1) \ (\text{Var } X2))) \text{ IFF }$   
 $(\text{All } X3 \ (\text{Var } X3 \text{ IN } \text{Var } X0 \text{ IFF } \text{Var } X3 \text{ IN } \text{Var } X1 \text{ OR } \text{Var } X3 \text{ EQ } \text{Var } X2))$

by (simp add: HF HF-axioms-def HF2-def)

hence  $\{\} \vdash ((\text{Var } X0) \text{ EQ } (\text{Eats } (\text{Var } X1) \ (\text{Var } X2))) \text{ IFF }$   
 $(\text{All } X3 \ (\text{Var } X3 \text{ IN } \text{Var } X0 \text{ IFF } \text{Var } X3 \text{ IN } \text{Var } X1 \text{ OR } \text{Var } X3 \text{ EQ } \text{Var } X2))$

by (drule-tac  $i=X0$  and  $x=\text{Var } X0$  in Subst) simp-all

hence  $\{\} \vdash ((\text{Var } X0) \text{ EQ } (\text{Eats } (\text{Var } v1) \ (\text{Var } X2))) \text{ IFF }$   
 $(\text{All } X3 \ (\text{Var } X3 \text{ IN } \text{Var } X0 \text{ IFF } \text{Var } X3 \text{ IN } \text{Var } v1 \text{ OR } \text{Var } X3 \text{ EQ } \text{Var } X2))$

using  $v1$  by (drule-tac  $i=X1$  and  $x=\text{Var } v1$  in Subst) simp-all

hence  $\{\} \vdash ((\text{Var } X0) \text{ EQ } (\text{Eats } (\text{Var } v1) \ (\text{Var } v2))) \text{ IFF }$   
 $(\text{All } X3 \ (\text{Var } X3 \text{ IN } \text{Var } X0 \text{ IFF } \text{Var } X3 \text{ IN } \text{Var } v1 \text{ OR } \text{Var } X3 \text{ EQ } \text{Var } v2))$

using  $v1 \ v2$  by (drule-tac  $i=X2$  and  $x=\text{Var } v2$  in Subst) simp-all

hence  $\{\} \vdash (((\text{Var } X0) \text{ EQ } (\text{Eats } (\text{Var } v1) \ (\text{Var } v2))) \text{ IFF }$   
 $(\text{All } X3 \ (\text{Var } X3 \text{ IN } \text{Var } X0 \text{ IFF } \text{Var } X3 \text{ IN } \text{Var } v1 \text{ OR } \text{Var } X3 \text{ EQ } \text{Var } v2))) (X0 ::= z)$

by (rule Subst) simp

hence  $\{\} \vdash ((z \text{ EQ } (\text{Eats } (\text{Var } v1) \ (\text{Var } v2))) \text{ IFF }$   
 $(\text{All } i' \ (\text{Var } i' \text{ IN } z \text{ IFF } \text{Var } i' \text{ IN } \text{Var } v1 \text{ OR } \text{Var } i' \text{ EQ } \text{Var } v2)))$

using  $v1 \ v2 \ i'$  by (simp add: Conj-def Iff-def)

hence  $\{\} \vdash (z \text{ EQ } (\text{Eats } t \ (\text{Var } v2))) \text{ IFF }$   
 $(\text{All } i' \ (\text{Var } i' \text{ IN } z \text{ IFF } \text{Var } i' \text{ IN } t \text{ OR } \text{Var } i' \text{ EQ } \text{Var } v2))$

using  $v1 \ v2 \ i'$  by (drule-tac  $i=v1$  and  $x=t$  in Subst) simp-all

hence  $\{\} \vdash (z \text{ EQ } \text{Eats } t \ u) \text{ IFF }$   
 $(\text{All } i' \ (\text{Var } i' \text{ IN } z \text{ IFF } \text{Var } i' \text{ IN } t \text{ OR } \text{Var } i' \text{ EQ } u))$

using  $v1 \ v2 \ i'$  by (drule-tac  $i=v2$  and  $x=u$  in Subst) simp-all

also have ... = (FRESH  $i'.$   $(z \text{ EQ } \text{Eats } t \ u) \text{ IFF } (\text{All } i' \ (\text{Var } i' \text{ IN } z \text{ IFF } \text{Var } i' \text{ IN } t \text{ OR } \text{Var } i' \text{ EQ } u))$ )

using  $i'$  by simp

also have ... =  $(z \text{ EQ } \text{Eats } t \ u) \text{ IFF } (\text{All } i \ (\text{Var } i \text{ IN } z \text{ IFF } \text{Var } i \text{ IN } t \text{ OR } \text{Var } i \text{ EQ } u))$

using assms  $i'$  by simp

finally show ?thesis  
 by (rule thin0)

qed

**lemma** *Eq-Eats-I*:

$H \vdash \text{All } i (\text{Var } i \text{ IN } z \text{ IFF Var } i \text{ IN } t \text{ OR Var } i \text{ EQ } u) \implies \text{atom } i \notin (z, t, u) \implies H \vdash z \text{ EQ Eats } t \text{ } u$   
by (metis Iff-MP2-same Eq-Eats-iff)

**lemma** *Mem-Eats-Iff*:

$H \vdash x \text{ IN } (\text{Eats } t \text{ } u) \text{ IFF } x \text{ IN } t \text{ OR } x \text{ EQ } u$

**proof** –

obtain  $i::\text{name}$  where atom  $i \notin (\text{Eats } t \text{ } u, t, u)$   
by (rule obtain-fresh)  
thus ?thesis  
using Iff-MP-same [OF Eq-Eats-iff, THEN All-D]  
by auto  
qed

**lemma** *Mem-Eats-I1*:  $H \vdash u \text{ IN } t \implies H \vdash u \text{ IN Eats } t \text{ } z$

by (metis Disj-I1 Iff-MP2-same Mem-Eats-Iff)

**lemma** *Mem-Eats-I2*:  $H \vdash u \text{ EQ } z \implies H \vdash u \text{ IN Eats } t \text{ } z$

by (metis Disj-I2 Iff-MP2-same Mem-Eats-Iff)

**lemma** *Mem-Eats-E*:

assumes A:  $\text{insert } (u \text{ IN } t) H \vdash C$  and B:  $\text{insert } (u \text{ EQ } z) H \vdash C$   
shows  $\text{insert } (u \text{ IN Eats } t \text{ } z) H \vdash C$   
by (meson A B Disj-E Iff-MP-left' Mem-Eats-Iff)

**lemmas** *Mem-Eats-EH = Mem-Eats-E Mem-Eats-E* [THEN rotate2] *Mem-Eats-E* [THEN rotate3] *Mem-Eats-E* [THEN rotate4] *Mem-Eats-E* [THEN rotate5]

*Mem-Eats-E* [THEN rotate6] *Mem-Eats-E* [THEN rotate7] *Mem-Eats-E*

[THEN rotate8]

declare *Mem-Eats-EH* [intro!]

**lemma** *Mem-SUCC-I1*:  $H \vdash u \text{ IN } t \implies H \vdash u \text{ IN SUCC } t$

by (metis Mem-Eats-I1 SUCC-def)

**lemma** *Mem-SUCC-I2*:  $H \vdash u \text{ EQ } t \implies H \vdash u \text{ IN SUCC } t$

by (metis Mem-Eats-I2 SUCC-def)

**lemma** *Mem-SUCC-Refl* [simp]:  $H \vdash k \text{ IN SUCC } k$

by (metis Mem-SUCC-I2 Refl)

**lemma** *Mem-SUCC-E*:

assumes  $\text{insert } (u \text{ IN } t) H \vdash C$   $\text{insert } (u \text{ EQ } t) H \vdash C$  shows  $\text{insert } (u \text{ IN SUCC } t) H \vdash C$   
by (metis assms Mem-Eats-E SUCC-def)

**lemmas** *Mem-SUCC-EH = Mem-SUCC-E Mem-SUCC-E* [THEN rotate2] *Mem-SUCC-E* [THEN rotate3] *Mem-SUCC-E* [THEN rotate4] *Mem-SUCC-E* [THEN rotate5]

```

    Mem-SUCC-E [THEN rotate6] Mem-SUCC-E [THEN rotate7]
Mem-SUCC-E [THEN rotate8]

lemma Eats-EQ-Zero-E: insert (Eats t u EQ Zero) H ⊢ A
by (metis Assume Eq-Zero-D Mem-Eats-I2 Refl)

lemmas Eats-EQ-Zero-EH = Eats-EQ-Zero-E Eats-EQ-Zero-E [THEN rotate2]
Eats-EQ-Zero-E [THEN rotate3] Eats-EQ-Zero-E [THEN rotate4] Eats-EQ-Zero-E
[THEN rotate5]
    Eats-EQ-Zero-E [THEN rotate6] Eats-EQ-Zero-E [THEN rotate7]
Eats-EQ-Zero-E [THEN rotate8]
declare Eats-EQ-Zero-EH [intro!]

lemma Eats-EQ-Zero-E2: insert (Zero EQ Eats t u) H ⊢ A
by (metis Eats-EQ-Zero-E Sym-L)

lemmas Eats-EQ-Zero-E2H = Eats-EQ-Zero-E2 Eats-EQ-Zero-E2 [THEN rota-
te2] Eats-EQ-Zero-E2 [THEN rotate3] Eats-EQ-Zero-E2 [THEN rotate4] Eats-EQ-Zero-E2
[THEN rotate5]
    Eats-EQ-Zero-E2 [THEN rotate6] Eats-EQ-Zero-E2 [THEN rotate7]
Eats-EQ-Zero-E2 [THEN rotate8]
declare Eats-EQ-Zero-E2H [intro!]

```

## 1.6 Bounded Quantification involving *Eats*

```

lemma All2-cong: H ⊢ t EQ t' ⇒ H ⊢ A IFF A' ⇒ ∀ C ∈ H. atom i # C ⇒
H ⊢ (All2 i t A) IFF (All2 i t' A')
by (metis All-cong Imp-cong Mem-cong Refl)

```

```

lemma All2-Zero-E [intro!]: H ⊢ B ⇒ insert (All2 i Zero A) H ⊢ B
by (rule thin1)

```

```

lemma All2-Eats-I-D:
atom i # (t,u) ⇒ { All2 i t A, A(i ::= u) } ⊢ (All2 i (Eats t u) A)
apply (auto, auto intro!: Ex-I [where x=Var i])
apply (metis Assume thin1 Var-Eq-subst-Iff [THEN Iff-MP-same])
done

```

```

lemma All2-Eats-I:
[atom i # (t,u); H ⊢ All2 i t A; H ⊢ A(i ::= u)] ⇒ H ⊢ (All2 i (Eats t u) A)
by (rule cut2 [OF All2-Eats-I-D], auto)

```

```

lemma All2-Eats-E1:
[atom i # (t,u); ∀ C ∈ H. atom i # C] ⇒ insert (All2 i (Eats t u) A) H ⊢ All2
i t A
by auto (metis Assume Ex-I Imp-E Mem-Eats-I1 Neg-mono subst-fm-id)

```

```

lemma All2-Eats-E2:
[atom i # (t,u); ∀ C ∈ H. atom i # C] ⇒ insert (All2 i (Eats t u) A) H ⊢

```

$A(i ::= u)$   
**by** (rule All-E [where  $x = u$ ]) (auto intro: ContraProve Mem-Eats-I2)

**lemma** All2-Eats-E:

**assumes**  $i$ : atom  $i \notin (t, u)$

**and**  $B$ : insert (All2  $i t A$ ) (insert ( $A(i ::= u)$ )  $H$ )  $\vdash B$

**shows** insert (All2  $i$  (Eats  $t u$ )  $A$ )  $H \vdash B$

**using**  $i$

**apply** (rule cut-thin [OF All2-Eats-E2, where HB = insert (All2  $i$  (Eats  $t u$ )  $A$ )  $H$ ], auto)

**apply** (rule cut-thin [OF All2-Eats-E1  $B$ ], auto)

**done**

**lemma** All2-SUCC-I:

atom  $i \notin t \implies H \vdash \text{All2 } i t A \implies H \vdash A(i ::= t) \implies H \vdash (\text{All2 } i (\text{SUCC } t) A)$

**by** (simp add: SUCC-def All2-Eats-I)

**lemma** All2-SUCC-E:

**assumes** atom  $i \notin t$

**and** insert (All2  $i t A$ ) (insert ( $A(i ::= t)$ )  $H$ )  $\vdash B$

**shows** insert (All2  $i$  (SUCC  $t$ )  $A$ )  $H \vdash B$

**by** (simp add: SUCC-def All2-Eats-E assms)

**lemma** All2-SUCC-E':

**assumes**  $H \vdash u EQ \text{SUCC } t$

**and** atom  $i \notin t \forall C \in H$ . atom  $i \notin C$

**and** insert (All2  $i t A$ ) (insert ( $A(i ::= t)$ )  $H$ )  $\vdash B$

**shows** insert (All2  $i u A$ )  $H \vdash B$

**by** (metis All2-SUCC-E Iff-MP-left' Iff-refl All2-cong assms)

## 1.7 Induction

**lemma** Ind:

**assumes**  $j$ : atom ( $j ::= name$ )  $\notin (i, A)$

**and** prems:  $H \vdash A(i ::= \text{Zero})$   $H \vdash \text{All } i (\text{All } j (A \text{ IMP } (A(i ::= \text{Var } j) \text{ IMP } A(i ::= \text{Eats}(\text{Var } i)(\text{Var } j))))$

**shows**  $H \vdash A$

**proof** –

**have**  $\{A(i ::= \text{Zero}), \text{All } i (\text{All } j (A \text{ IMP } (A(i ::= \text{Var } j) \text{ IMP } A(i ::= \text{Eats}(\text{Var } i)(\text{Var } j))))\} \vdash \text{All } i A$

**by** (metis  $j$  hftm.Ind ind anti-deduction insert-commute)

**hence**  $H \vdash (\text{All } i A)$

**by** (metis cut2 prems)

**thus** ?thesis

**by** (metis All-E' Assume subst-fm-id)

**qed**

**end**

## Kapitel 2

# De Bruijn Syntax, Quotations, Codes, V-Codes

```
theory Coding
imports SyntaxN
begin
```

```
declare fresh-Nil [iff]
```

### 2.1 de Bruijn Indices (locally-nameless version)

```
nominal-datatype dbtm = DBZero | DBVar name | DBInd nat | DBEats dbtm
dbtm
```

```
nominal-datatype dbfm =
DBMem dbtm dbtm
| DBEq dbtm dbtm
| DBDisj dbfm dbfm
| DBNeg dbfm
| DBEx dbfm
```

```
declare dbtm.supp [simp]
declare dbfm.supp [simp]
```

```
fun lookup :: name list ⇒ nat ⇒ name ⇒ dbtm
where
lookup [] n x = DBVar x
| lookup (y # ys) n x = (if x = y then DBInd n else (lookup ys (Suc n) x))
```

```
lemma fresh-imp-notin-env: atom name # e ⇒ name ∉ set e
by (metis List.finite-set fresh-finite-set-at-base fresh-set)
```

```
lemma lookup-notin: x ∉ set e ⇒ lookup e n x = DBVar x
by (induct e arbitrary: n) auto
```

```

lemma lookup-in:
   $x \in \text{set } e \implies \exists k. \text{lookup } e n x = DBInd k \wedge n \leq k \wedge k < n + \text{length } e$ 
  by (induction e arbitrary: n) force+

lemma lookup-fresh:  $x \notin \text{set } e \iff y \in \text{set } e \vee x \neq \text{atom } y$ 
  by (induct arbitrary: n rule: lookup.induct) (auto simp: pure-fresh fresh-at-base)

lemma lookup-eqvt[eqvt]:  $(p \cdot \text{lookup } xs n x) = \text{lookup } (p \cdot xs) (p \cdot n) (p \cdot x)$ 
  by (induct xs arbitrary: n) (simp-all add: permute-pure)

lemma lookup-inject [iff]:  $(\text{lookup } e n x = \text{lookup } e n y) \iff x = y$ 
proof (induction e n x arbitrary: y rule: lookup.induct)
  case  $(?y ys n x z)$ 
  then show ?case
  by (metis dbtm.distinct(7) dbtm.eq-iff(3) lookup.simps(2) lookup-in lookup-notin not-less-eq-eq)
qed auto

nominal-function trans-tm :: name list  $\Rightarrow$  tm  $\Rightarrow$  dbtm
  where
    trans-tm e Zero = DBZero
    | trans-tm e (Var k) = lookup e 0 k
    | trans-tm e (Eats t u) = DBEats (trans-tm e t) (trans-tm e u)
  by (auto simp: eqvt-def trans-tm-graph-aux-def) (metis tm.strong-exhaust)

nominal-termination (eqvt)
  by lexicographic-order

lemma fresh-trans-tm-iff [simp]:  $i \notin \text{set } e \iff i \notin t \vee i \in \text{atom} ` \text{set } e$ 
  by (induct t rule: tm.induct, auto simp: lookup-fresh fresh-at-base)

lemma trans-tm-forget:  $\text{atom } i \notin t \implies \text{trans-tm } [i] t = \text{trans-tm } [] t$ 
  by (induct t rule: tm.induct, auto simp: fresh-Pair)

nominal-function (invariant  $\lambda(xs, -) y. \text{atom} ` \text{set } xs \#* y$ )
  trans-fm :: name list  $\Rightarrow$  fm  $\Rightarrow$  dbfm
  where
    trans-fm e (Mem t u) = DBMem (trans-tm e t) (trans-tm e u)
    | trans-fm e (Eq t u) = DBEq (trans-tm e t) (trans-tm e u)
    | trans-fm e (Disj A B) = DBDisj (trans-fm e A) (trans-fm e B)
    | trans-fm e (Neg A) = DBNeg (trans-fm e A)
    | atom k # e  $\implies$  trans-fm e (Ex k A) = DBEx (trans-fm (k#e) A)
      supply [[simproc del: defined-all]]
      apply (simp add: eqvt-def trans-fm-graph-aux-def)
      apply (erule trans-fm-graph.induct)
  using [[simproc del: alpha-lst]]
    apply (auto simp: fresh-star-def)
  apply (metis fm.strong-exhaust fresh-star-insert)

```

```

apply(erule Abs-lst1-fcb2')
  apply (simp-all add: eqvt-at-def)
  apply (simp-all add: fresh-star-Pair perm-supp-eq)
  apply (simp add: fresh-star-def)
done

nominal-termination (eqvt)
  by lexicographic-order

lemma fresh-trans-fm [simp]:  $i \notin \text{trans-fm } e A \longleftrightarrow i \notin A \vee i \in \text{atom} ` \text{set } e$ 
  by (nominal-induct A avoiding: e rule: fm.strong-induct, auto simp: fresh-at-base)

abbreviation DBConj :: dbfm  $\Rightarrow$  dbfm  $\Rightarrow$  dbfm
  where DBConj t u  $\equiv$  DBNeg (DBDisj (DBNeg t) (DBNeg u))

lemma trans-fm-Conj [simp]: trans-fm e (Conj A B) = DBConj (trans-fm e A)
(trans-fm e B)
  by (simp add: Conj-def)

lemma trans-tm-inject [iff]: (trans-tm e t = trans-tm e u)  $\longleftrightarrow$  t = u
proof (induct t arbitrary: u rule: tm.induct)
  case Zero show ?case
    apply (cases u rule: tm.exhaust, auto)
    apply (metis dbtm.distinct(1) dbtm.distinct(3) lookup-in lookup-notin)
    done
  next
  case (Var i) show ?case
    apply (cases u rule: tm.exhaust, auto)
    apply (metis dbtm.distinct(1) dbtm.distinct(3) lookup-in lookup-notin)
    apply (metis dbtm.distinct(10) dbtm.distinct(11) lookup-in lookup-notin)
    done
  next
  case (Eats tm1 tm2) thus ?case
    apply (cases u rule: tm.exhaust, auto)
    apply (metis dbtm.distinct(12) dbtm.distinct(9) lookup-in lookup-notin)
    done
  qed

lemma trans-fm-inject [iff]: (trans-fm e A = trans-fm e B)  $\longleftrightarrow$  A = B
proof (nominal-induct A avoiding: e B rule: fm.strong-induct)
  case (Mem tm1 tm2) thus ?case
    by (rule fm.strong-exhaust [where y=B and c=e]) (auto simp: fresh-star-def)
  next
  case (Eq tm1 tm2) thus ?case
    by (rule fm.strong-exhaust [where y=B and c=e]) (auto simp: fresh-star-def)
  next
  case (Disj fm1 fm2) show ?case
    by (rule fm.strong-exhaust [where y=B and c=e]) (auto simp: Disj fresh-star-def)
  next

```

```

case (Neg fm) show ?case
  by (rule fm.strong-exhaust [where y=B and c=e]) (auto simp: Neg fresh-star-def)
next
  case (Ex name fm)
    thus ?case using [[simproc del: alpha-lst]]
      proof (cases rule: fm.strong-exhaust [where y=B and c=(e, name)], simp-all add: fresh-star-def)
        fix name'::name and fm'::fm
        assume name': atom name' # (e, name)
        assume atom name' # fm' ∨ name = name'
        thus (trans-fm (name # e) fm = trans-fm (name' # e) fm') = ([[atom name]]lst. fm)
          fm = [[atom name']]lst. fm'
          (is ?lhs = ?rhs)
          proof (rule disjE)
            assume name = name'
            thus ?lhs = ?rhs
              by (metis fresh-Pair fresh-at-base(2) name')
          next
            assume name: atom name # fm'
            have eq1: (name ↔ name') · trans-fm (name' # e) fm' = trans-fm (name' # e) fm'
            by (simp add: flip-fresh-fresh name)
            have eq2: (name ↔ name') · ([[atom name']]lst. fm') = ([[atom name']]lst. fm'
            by (rule flip-fresh-fresh) (auto simp: Abs-fresh-iff name)
            show ?lhs = ?rhs using name' eq1 eq2 Ex(1) Ex(3) [of name#e (name ↔ name') · fm']
            by (simp add: flip-fresh-fresh) (metis Abs1-eq(3))
          qed
          qed
        qed
lemma trans-fm-perm:
  assumes c: atom c # (i,j,A,B)
  and t: trans-fm [i] A = trans-fm [j] B
  shows (i ↔ c) · A = (j ↔ c) · B
proof –
  have c-fresh1: atom c # trans-fm [i] A
  using c by (auto simp: supp-Pair)
  moreover
  have i-fresh: atom i # trans-fm [i] A
  by auto
  moreover
  have c-fresh2: atom c # trans-fm [j] B
  using c by (auto simp: supp-Pair)
  moreover
  have j-fresh: atom j # trans-fm [j] B
  by auto
  ultimately have ((i ↔ c) · (trans-fm [i] A)) = ((j ↔ c) · trans-fm [j] B)
  by (simp only: flip-fresh-fresh t)

```

```

then have trans-fm [c] ((i ↔ c) · A) = trans-fm [c] ((j ↔ c) · B)
  by simp
then show (i ↔ c) · A = (j ↔ c) · B by simp
qed

```

## 2.2 Abstraction and Substitution on de Bruijn Formulas

```

nominal-function abst-dbtm :: name ⇒ nat ⇒ dbtm ⇒ dbtm
where
  abst-dbtm name i DBZero = DBZero
  | abst-dbtm name i (DBVar name') = (if name = name' then DBInd i else DBVar name')
  | abst-dbtm name i (DBInd j) = DBInd j
  | abst-dbtm name i (DBEats t1 t2) = DBEats (abst-dbtm name i t1) (abst-dbtm name i t2)
apply (simp add: eqvt-def abst-dbtm-graph-aux-def, auto)
apply (metis dbtm.exhaust)
done

nominal-termination (eqvt)
by lexicographic-order

nominal-function subst-dbtm :: dbtm ⇒ name ⇒ dbtm ⇒ dbtm
where
  subst-dbtm u x DBZero = DBZero
  | subst-dbtm u x (DBVar name) = (if x = name then u else DBVar name)
  | subst-dbtm u x (DBInd j) = DBInd j
  | subst-dbtm u x (DBEats t1 t2) = DBEats (subst-dbtm u x t1) (subst-dbtm u x t2)
by (auto simp: eqvt-def subst-dbtm-graph-aux-def) (metis dbtm.exhaust)

nominal-termination (eqvt)
by lexicographic-order

lemma fresh-iff-non-subst-dbtm: subst-dbtm DBZero i t = t ⟷ atom i # t
by (induct t rule: dbtm.induct) (auto simp: pure-fresh fresh-at-base(2))

lemma lookup-append: lookup (e @ [i]) n j = abst-dbtm i (length e + n) (lookup e n j)
by (induct e arbitrary: n) (auto simp: fresh-Cons)

lemma trans-tm-abs: trans-tm (e@[name]) t = abst-dbtm name (length e) (trans-tm e t)
by (induct t rule: tm.induct) (auto simp: lookup-notin lookup-append)

```

### 2.2.1 Well-Formed Formulas

```

nominal-function abst-dbfm :: name  $\Rightarrow$  nat  $\Rightarrow$  dbfm  $\Rightarrow$  dbfm
  where
    abst-dbfm name i (DBMem t1 t2) = DBMem (abst-dbtm name i t1) (abst-dbtm
    name i t2)
    | abst-dbfm name i (DBEq t1 t2) = DBEq (abst-dbtm name i t1) (abst-dbtm name
    i t2)
    | abst-dbfm name i (DBDisj A1 A2) = DBDisj (abst-dbfm name i A1) (abst-dbfm
    name i A2)
    | abst-dbfm name i (DBNeg A) = DBNeg (abst-dbfm name i A)
    | abst-dbfm name i (DBEx A) = DBEx (abst-dbfm name (i+1) A)
  apply (simp add: eqvt-def abst-dbfm-graph-aux-def, auto)
  apply (metis dbfm.exhaust)
  done

nominal-termination (eqvt)
  by lexicographic-order

nominal-function subst-dbfm :: dbtm  $\Rightarrow$  name  $\Rightarrow$  dbfm  $\Rightarrow$  dbfm
  where
    subst-dbfm u x (DBMem t1 t2) = DBMem (subst-dbtm u x t1) (subst-dbtm u x
    t2)
    | subst-dbfm u x (DBEq t1 t2) = DBEq (subst-dbtm u x t1) (subst-dbtm u x t2)
    | subst-dbfm u x (DBDisj A1 A2) = DBDisj (subst-dbfm u x A1) (subst-dbfm u x
    A2)
    | subst-dbfm u x (DBNeg A) = DBNeg (subst-dbfm u x A)
    | subst-dbfm u x (DBEx A) = DBEx (subst-dbfm u x A)
  by (auto simp: eqvt-def subst-dbfm-graph-aux-def) (metis dbfm.exhaust)

nominal-termination (eqvt)
  by lexicographic-order

lemma fresh-iff-non-subst-dbfm: subst-dbfm DBZero i t = t  $\longleftrightarrow$  atom i  $\notin$  t
  by (induct t rule: dbfm.induct) (auto simp: fresh-iff-non-subst-dbtm)

```

## 2.3 Well formed terms and formulas (de Bruijn representation)

### 2.3.1 Well-Formed Terms

```

inductive wf-dbtm :: dbtm  $\Rightarrow$  bool
  where
    Zero: wf-dbtm DBZero
    | Var: wf-dbtm (DBVar name)
    | Eats: wf-dbtm t1  $\Longrightarrow$  wf-dbtm t2  $\Longrightarrow$  wf-dbtm (DBEats t1 t2)

equivariance wf-dbtm

```

```

inductive-cases Zero-wf-dbtm [elim!]: wf-dbtm DBZero
inductive-cases Var-wf-dbtm [elim!]: wf-dbtm (DBVar name)
inductive-cases Ind-wf-dbtm [elim!]: wf-dbtm (DBInd i)
inductive-cases Eats-wf-dbtm [elim!]: wf-dbtm (DBEats t1 t2)

declare wf-dbtm.intros [intro]

lemma wf-dbtm-imp-is-tm:
  assumes wf-dbtm x
  shows  $\exists t::tm. x = \text{trans-tm} [] t$ 
using assms
proof (induct rule: wf-dbtm.induct)
  case Zero thus ?case
    by (metis trans-tm.simps(1))
next
  case (Var i) thus ?case
    by (metis lookup.simps(1) trans-tm.simps(2))
next
  case (Eats dt1 dt2) thus ?case
    by (metis trans-tm.simps(3))
qed

lemma wf-dbtm-trans-tm: wf-dbtm (trans-tm [] t)
  by (induct t rule: tm.induct) auto

theorem wf-dbtm-iff-is-tm: wf-dbtm x  $\longleftrightarrow (\exists t::tm. x = \text{trans-tm} [] t)$ 
  by (metis wf-dbtm-imp-is-tm wf-dbtm-trans-tm)

```

### 2.3.2 Well-Formed Formulas

```

inductive wf-dbfm :: dbfm  $\Rightarrow$  bool
  where
    Mem: wf-dbtm t1  $\implies$  wf-dbtm t2  $\implies$  wf-dbfm (DBMem t1 t2)
    | Eq: wf-dbtm t1  $\implies$  wf-dbtm t2  $\implies$  wf-dbfm (DBEq t1 t2)
    | Disj: wf-dbfm A1  $\implies$  wf-dbfm A2  $\implies$  wf-dbfm (DBDisj A1 A2)
    | Neg: wf-dbfm A  $\implies$  wf-dbfm (DBNeg A)
    | Ex: wf-dbfm A  $\implies$  wf-dbfm (DBEx (abst-dbfm name 0 A))

```

equivariance wf-dbfm

```

lemma atom-fresh-abst-dbtm [simp]: atom i  $\notin$  abst-dbtm i n t
  by (induct t rule: dbtm.induct) (auto simp: pure-fresh)

```

```

lemma atom-fresh-abst-dbfm [simp]: atom i  $\notin$  abst-dbfm i n A
  by (nominal-induct A arbitrary: n rule: dbfm.strong-induct) auto

```

Setting up strong induction: "avoiding" for name. Necessary to allow some proofs to go through

nominal-inductive wf-dbfm

```

avoids Ex: name
by (auto simp: fresh-star-def)

inductive-cases Mem-wf-dbfm [elim!]: wf-dbfm (DBMem t1 t2)
inductive-cases Eq-wf-dbfm [elim!]: wf-dbfm (DBEq t1 t2)
inductive-cases Disj-wf-dbfm [elim!]: wf-dbfm (DBDisj A1 A2)
inductive-cases Neg-wf-dbfm [elim!]: wf-dbfm (DBNeg A)
inductive-cases Ex-wf-dbfm [elim!]: wf-dbfm (DBEx z)

declare wf-dbfm.intros [intro]

lemma trans-fm-abs: trans-fm (e@[name]) A = abst-dbfm name (length e) (trans-fm
e A)
  apply (nominal-induct A avoiding: name e rule: fm.strong-induct)
  apply (auto simp: trans-tm-abs fresh-Cons fresh-append)
  by (metis append-Cons length-Cons)

lemma abst-trans-fm: abst-dbfm name 0 (trans-fm [] A) = trans-fm [name] A
  by (metis append-Nil list.size(3) trans-fm-abs)

lemma abst-trans-fm2: i ≠ j  $\implies$  abst-dbfm i (Suc 0) (trans-fm [j] A) = trans-fm
[j,i] A
  using trans-fm-abs [where e=[j] and name=i]
  by auto

lemma wf-dbfm-imp-is-fm:
  assumes wf-dbfm x shows  $\exists A::fm. x = \text{trans-fm} [] A$ 
  using assms
  proof (induct rule: wf-dbfm.induct)
    case (Mem t1 t2) thus ?case
      by (metis trans-fm.simps(1) wf-dbtm-imp-is-tm)
    next
      case (Eq t1 t2) thus ?case
        by (metis trans-fm.simps(2) wf-dbtm-imp-is-tm)
    next
      case (Disj fm1 fm2) thus ?case
        by (metis trans-fm.simps(3))
    next
      case (Neg fm) thus ?case
        by (metis trans-fm.simps(4))
    next
      case (Ex fm name) thus ?case
        apply auto
        apply (rule-tac x=Ex name A in exI)
        apply (auto simp: abst-trans-fm)
        done
    qed

lemma wf-dbfm-trans-fm: wf-dbfm (trans-fm [] A)

```

```

apply (nominal-induct A rule: fm.strong-induct)
apply (auto simp: wf-dbtm-trans-tm abst-trans-fm)
apply (metis abst-trans-fm wf-dbfm.Ex)
done

lemma wf-dbfm-iff-is-fm: wf-dbfm x  $\longleftrightarrow$  ( $\exists A::fm. x = trans-fm [] A$ )
by (metis wf-dbfm-imp-is-fm wf-dbfm-trans-fm)

lemma dbtm-abst-ignore [simp]:
abst-dbtm name i (abst-dbtm name j t) = abst-dbtm name j t
by (induct t rule: dbtm.induct) auto

lemma abst-dbtm-fresh-ignore [simp]: atom name  $\#$  u  $\implies$  abst-dbtm name j u = u
by (induct u rule: dbtm.induct) auto

lemma dbtm-subst-ignore [simp]:
subst-dbtm u name (abst-dbtm name j t) = abst-dbtm name j t
by (induct t rule: dbtm.induct) auto

lemma dbtm-abst-swap-subst:
name  $\neq$  name'  $\implies$  atom name'  $\#$  u  $\implies$ 
subst-dbtm u name (abst-dbtm name' j t) = abst-dbtm name' j (subst-dbtm u name t)
by (induct t rule: dbtm.induct) auto

lemma dbfm-abst-swap-subst:
name  $\neq$  name'  $\implies$  atom name'  $\#$  u  $\implies$ 
subst-dbfm u name (abst-dbfm name' j A) = abst-dbfm name' j (subst-dbfm u name A)
by (induct A arbitrary: j rule: dbfm.induct) (auto simp: dbtm-abst-swap-subst)

lemma subst-trans-commute [simp]:
atom i  $\#$  e  $\implies$  subst-dbtm (trans-tm e u) i (trans-tm e t) = trans-tm e (subst i u t)
apply (induct t rule: tm.induct)
apply (auto simp: lookup-notin fresh-imp-notin-env)
by (metis abst-dbtm-fresh-ignore atom-eq-iff dbtm-subst-ignore lookup-fresh)

lemma subst-fm-trans-commute [simp]:
subst-dbfm (trans-tm [] u) name (trans-fm [] A) = trans-fm [] (A (name:= u))
apply (nominal-induct A avoiding: name u rule: fm.strong-induct)
apply (auto simp: lookup-notin dbfm-abst-swap-subst simp flip: abst-trans-fm)
done

lemma subst-fm-trans-commute-eq:
du = trans-tm [] u  $\implies$  subst-dbfm du i (trans-fm [] A) = trans-fm [] (A(i:=u))
by (metis subst-fm-trans-commute)

```

## 2.4 Quotations

```

fun htuple :: nat  $\Rightarrow$  hf where
  htuple 0 = ⟨0,0⟩
  | htuple (Suc k) = ⟨0, htuple k⟩

fun HTuple :: nat  $\Rightarrow$  tm where
  HTuple 0 = HPair Zero Zero
  | HTuple (Suc k) = HPair Zero (HTuple k)

lemma eval-tm-HTuple [simp]: ⟦HTuple n⟧e = htuple n
  by (induct n) auto

lemma fresh-HTuple [simp]: x  $\notin$  HTuple n
  by (induct n) auto

lemma HTuple-eqvt[eqvt]: (p · HTuple n) = HTuple (p · n)
  by (induct n, auto simp: HPair-eqvt permute-pure)

lemma htuple-nonzero [simp]: htuple k  $\neq$  0
  by (induct k) auto

lemma htuple-inject [iff]: htuple i = htuple j  $\longleftrightarrow$  i=j
  proof (induct i arbitrary: j)
    case 0 show ?case
      by (cases j) auto
    next
      case (Suc i) show ?case
        by (cases j) (auto simp: Suc)
  qed

```

### 2.4.1 Quotations of de Bruijn terms

```

definition nat-of-name :: name  $\Rightarrow$  nat
  where nat-of-name x = nat-of (atom x)

lemma nat-of-name-inject [simp]: nat-of-name n1 = nat-of-name n2  $\longleftrightarrow$  n1 =
n2
  by (metis nat-of-name-def atom-components-eq-iff atom-eq-iff sort-of-atom-eq)

definition name-of-nat :: nat  $\Rightarrow$  name
  where name-of-nat n  $\equiv$  Abs-name (Atom (Sort "SyntaxN.name" []) n)

lemma nat-of-name-Abs-eq [simp]: nat-of-name (Abs-name (Atom (Sort "SyntaxN.name" []
)) n)) = n
  by (auto simp: nat-of-name-def atom-name-def Abs-name-inverse)

lemma nat-of-name-name-eq [simp]: nat-of-name (name-of-nat n) = n
  by (simp add: name-of-nat-def)

```

```
lemma name-of-nat-nat-of-name [simp]: name-of-nat (nat-of-name i) = i
by (metis nat-of-name-inject nat-of-name-name-eq)
```

```
lemma HPair-neq-ORD-OF [simp]: HPair x y ≠ ORD-OF i
by (metis Not-Ord-hpair Ord-ord-of eval-tm-HPair eval-tm-ORD-OF)
```

Infinite support, so we cannot use nominal primrec.

```
function quot-dbtm :: dbtm ⇒ tm
where
  quot-dbtm DBZero = Zero
  | quot-dbtm (DBVar name) = ORD-OF (Suc (nat-of-name name))
  | quot-dbtm (DBInd k) = HPair (HTuple 6) (ORD-OF k)
  | quot-dbtm (DBEats t u) = HPair (HTuple 1) (HPair (quot-dbtm t) (quot-dbtm u))
by (rule dbtm.exhaust) auto
```

```
termination
  by lexicographic-order
```

```
lemma quot-dbtm-inject-lemma [simp]: [[quot-dbtm t]]e = [[quot-dbtm u]]e ↔ t=u
proof (induct t arbitrary: u rule: dbtm.induct)
  case DBZero show ?case
    by (induct u rule: dbtm.induct) auto
  next
    case (DBVar name) show ?case
      by (induct u rule: dbtm.induct) (auto simp: hpair-neq-Ord')
  next
    case (DBInd k) show ?case
      by (induct u rule: dbtm.induct) (auto simp: hpair-neq-Ord hpair-neq-Ord')
  next
    case (DBEats t1 t2) thus ?case
      by (induct u rule: dbtm.induct) (simp-all add: hpair-neq-Ord)
  qed
```

```
lemma quot-dbtm-inject [iff]: quot-dbtm t = quot-dbtm u ↔ t=u
by (metis quot-dbtm-inject-lemma)
```

### 2.4.2 Quotations of de Bruijn formulas

Infinite support, so we cannot use nominal primrec.

```
function quot-dbdfm :: dbdfm ⇒ tm
where
  quot-dbdfm (DBMem t u) = HPair (HTuple 0) (HPair (quot-dbtm t) (quot-dbtm u))
  | quot-dbdfm (DBEq t u) = HPair (HTuple 2) (HPair (quot-dbtm t) (quot-dbtm u))
  | quot-dbdfm (DBDisj A B) = HPair (HTuple 3) (HPair (quot-dbdfm A) (quot-dbdfm B))
  | quot-dbdfm (DBNeg A) = HPair (HTuple 4) (quot-dbdfm A)
  | quot-dbdfm (DBEx A) = HPair (HTuple 5) (quot-dbdfm A)
```

```

by (rule-tac y=x in dbfm.exhaust, auto)

termination
  by lexicographic-order

lemma htuple-minus-1: n > 0 ==> htuple n = ⟨0, htuple (n - 1)⟩
  by (metis Suc-diff-1 htuple.simps(2))

lemma HTuple-minus-1: n > 0 ==> HTuple n = HPair Zero (HTuple (n - 1))
  by (metis Suc-diff-1 HTuple.simps(2))

lemmas HTS = HTuple-minus-1 HTuple.simps — for freeness reasoning on codes

lemma quot-dbfm-inject-lemma [simp]: ⟦quot-dbfm A⟧e = ⟦quot-dbfm B⟧e ↔
A=B
  proof (induct A arbitrary: B rule: dbfm.induct)
    case (DBMem t u) show ?case
      by (induct B rule: dbfm.induct) (simp-all add: htuple-minus-1)
  next
    case (DBEq t u) show ?case
      by (induct B rule: dbfm.induct) (auto simp: htuple-minus-1)
  next
    case (DBDisj A B) thus ?case
      by (induct B rule: dbfm.induct) (simp-all add: htuple-minus-1)
  next
    case (DBNeg A) thus ?case
      by (induct B rule: dbfm.induct) (simp-all add: htuple-minus-1)
  next
    case (DBEx A) thus ?case
      by (induct B rule: dbfm.induct) (simp-all add: htuple-minus-1)
  qed

class quot =
  fixes quot :: 'a ⇒ tm (⟨⟨-⟩⟩)

instantiation tm :: quot
begin
  definition quot-tm :: tm ⇒ tm
    where quot-tm t = quot-dbtm (trans-tm [] t)

  instance ..
end

lemma quot-dbtm-fresh [simp]: s # (quot-dbtm t)
  by (induct t rule: dbtm.induct) auto

lemma quot-tm-fresh [simp]: fixes t::tm shows s # «t»
  by (simp add: quot-tm-def)

```

```

lemma quot-Zero [simp]: «Zero» = Zero
  by (simp add: quot-tm-def)

lemma quot-Var: «Var x» = SUCC (ORD-OF (nat-of-name x))
  by (simp add: quot-tm-def)

lemma quot-Eats: «Eats x y» = HPair (HTuple 1) (HPair «x» «y»)
  by (simp add: quot-tm-def)

irrelevance of the environment for quotations, because they are ground
terms

lemma eval-quot-dbtm-ignore:
  «quot-dbtm t»e = «quot-dbtm t»e'
  by (induct t rule: dbtm.induct) auto

lemma eval-quot-dbfm-ignore:
  «quot-dbfm A»e = «quot-dbfm A»e'
  by (induct A rule: dbfm.induct) (auto intro: eval-quot-dbtm-ignore)

instantiation fm :: quot
begin
  definition quot-fm :: fm ⇒ tm
    where quot-fm A = quot-dbfm (trans-fm [] A)

  instance ..
end

lemma quot-dbfm-fresh [simp]: s # (quot-dbfm A)
  by (induct A rule: dbfm.induct) auto

lemma quot-fm-fresh [simp]: fixes A::fm shows s # «A»
  by (simp add: quot-fm-def)

lemma quot-fm-permute [simp]: fixes A::fm shows p · «A» = «A»
  by (metis fresh-star-def perm-supp-eq quot-fm-fresh)

lemma quot-Mem: «x IN y» = HPair (HTuple 0) (HPair («x») («y»))
  by (simp add: quot-fm-def quot-tm-def)

lemma quot-Eq: «x EQ y» = HPair (HTuple 2) (HPair («x») («y»))
  by (simp add: quot-fm-def quot-tm-def)

lemma quot-Disj: «A OR B» = HPair (HTuple 3) (HPair («A») («B»))
  by (simp add: quot-fm-def)

lemma quot-Neg: «Neg A» = HPair (HTuple 4) («A»)
  by (simp add: quot-fm-def)

```

**lemma** *quot-Ex*:  $\langle\langle Ex \ i \ A \rangle\rangle = HPair (HTuple 5) (quot-dbfm (trans-fm [i] A))$   
**by** (*simp add: quot-fm-def*)

**lemma** *eval-quot-fm-ignore*: **fixes**  $A :: fm$  **shows**  $\llbracket \langle\langle A \rangle\rangle \rrbracket e = \llbracket \langle\langle A \rangle\rangle \rrbracket e'$   
**by** (*metis eval-quot-dbfm-ignore quot-fm-def*)

**lemmas** *quot-simps* = *quot-Var* *quot-Eats* *quot-Eq* *quot-Mem* *quot-Disj* *quot-Neg*  
*quot-Ex*

## 2.5 Definitions Involving Coding

**definition** *q-Var* ::  $name \Rightarrow hf$   
**where**  $q\text{-Var } i \equiv succ (\text{ord-of} (\text{nat-of-name } i))$

**definition** *q-Ind* ::  $hf \Rightarrow hf$   
**where**  $q\text{-Ind } k \equiv \langle htuple 6, k \rangle$

**abbreviation** *Q-Eats* ::  $tm \Rightarrow tm \Rightarrow tm$   
**where**  $Q\text{-Eats } t u \equiv HPair (HTuple (\text{Suc } 0)) (HPair t u)$

**definition** *q-Eats* ::  $hf \Rightarrow hf \Rightarrow hf$   
**where**  $q\text{-Eats } x y \equiv \langle htuple 1, x, y \rangle$

**abbreviation** *Q-Succ* ::  $tm \Rightarrow tm$   
**where**  $Q\text{-Succ } t \equiv Q\text{-Eats } t t$

**definition** *q-Succ* ::  $hf \Rightarrow hf$   
**where**  $q\text{-Succ } x \equiv q\text{-Eats } x x$

**lemma** *quot-Succ*:  $\langle\langle SUCC x \rangle\rangle = Q\text{-Succ } \langle\langle x \rangle\rangle$   
**by** (*auto simp: SUCC-def quot-Eats*)

**abbreviation** *Q-HPair* ::  $tm \Rightarrow tm \Rightarrow tm$   
**where**  $Q\text{-HPair } t u \equiv$   
 $Q\text{-Eats } (Q\text{-Eats Zero } (Q\text{-Eats } (Q\text{-Eats Zero } u) t))$   
 $(Q\text{-Eats } (Q\text{-Eats Zero } t) t)$

**definition** *q-HPair* ::  $hf \Rightarrow hf \Rightarrow hf$   
**where**  $q\text{-HPair } x y \equiv$   
 $q\text{-Eats } (q\text{-Eats } 0 (q\text{-Eats } (q\text{-Eats } 0 y) x))$   
 $(q\text{-Eats } (q\text{-Eats } 0 x) x)$

**abbreviation** *Q-Mem* ::  $tm \Rightarrow tm \Rightarrow tm$   
**where**  $Q\text{-Mem } t u \equiv HPair (HTuple 0) (HPair t u)$

**definition** *q-Mem* ::  $hf \Rightarrow hf \Rightarrow hf$   
**where**  $q\text{-Mem } x y \equiv \langle htuple 0, x, y \rangle$

```

abbreviation Q-Eq :: tm ⇒ tm ⇒ tm
  where Q-Eq t u ≡ HPair (HTuple 2) (HPair t u)

definition q-Eq :: hf ⇒ hf ⇒ hf
  where q-Eq x y ≡ ⟨htuple 2, x, y⟩

abbreviation Q-Disj :: tm ⇒ tm ⇒ tm
  where Q-Disj t u ≡ HPair (HTuple 3) (HPair t u)

definition q-Disj :: hf ⇒ hf ⇒ hf
  where q-Disj x y ≡ ⟨htuple 3, x, y⟩

abbreviation Q-Neg :: tm ⇒ tm
  where Q-Neg t ≡ HPair (HTuple 4) t

definition q-Neg :: hf ⇒ hf
  where q-Neg x ≡ ⟨htuple 4, x⟩

abbreviation Q-Conj :: tm ⇒ tm ⇒ tm
  where Q-Conj t u ≡ Q-Neg (Q-Disj (Q-Neg t) (Q-Neg u))

definition q-Conj :: hf ⇒ hf ⇒ hf
  where q-Conj t u ≡ q-Neg (q-Disj (q-Neg t) (q-Neg u))

abbreviation Q-Imp :: tm ⇒ tm ⇒ tm
  where Q-Imp t u ≡ Q-Disj (Q-Neg t) u

definition q-Imp :: hf ⇒ hf ⇒ hf
  where q-Imp t u ≡ q-Disj (q-Neg t) u

abbreviation Q-Ex :: tm ⇒ tm
  where Q-Ex t ≡ HPair (HTuple 5) t

definition q-Ex :: hf ⇒ hf
  where q-Ex x ≡ ⟨htuple 5, x⟩

abbreviation Q-All :: tm ⇒ tm
  where Q-All t ≡ Q-Neg (Q-Ex (Q-Neg t))

definition q-All :: hf ⇒ hf
  where q-All x ≡ q-Neg (q-Ex (q-Neg x))

lemmas q-defs = q-Var-def q-Ind-def q-Eats-def q-HPair-def q-Eq-def q-Mem-def
  q-Disj-def q-Neg-def q-Conj-def q-Imp-def q-Ex-def q-All-def

lemma q-Eats-iff [iff]: q-Eats x y = q-Eats x' y' ↔ x=x' ∧ y=y'
  by (metis hpair-iff q-Eats-def)

lemma quot-subst-eq: «A(i::=t)» = quot-dbfm (subst-dbfm (trans-tm [] t) i (trans-fm

```

```

[] A))
by (metis quot-fm-def subst-fm-trans-commute)

lemma Q-Succ-cong:  $H \vdash x \text{ EQ } x' \implies H \vdash Q\text{-Succ } x \text{ EQ } Q\text{-Succ } x'$ 
by (metis HPair-cong Refl)

```

## 2.6 Quotations are Injective

### 2.6.1 Terms

```

lemma eval-tm-inject [simp]: fixes  $t::tm$  shows  $\llbracket \llbracket t \rrbracket \rrbracket e = \llbracket \llbracket u \rrbracket \rrbracket e \longleftrightarrow t=u$ 
proof (induct t arbitrary: u rule: tm.induct)
  case Zero thus ?case
    by (cases u rule: tm.exhaust) (auto simp: quot-Var quot-Eats)
  next
    case (Var i) thus ?case
      apply (cases u rule: tm.exhaust, auto)
      apply (auto simp: quot-Var quot-Eats)
      done
  next
    case (Eats t1 t2) thus ?case
      apply (cases u rule: tm.exhaust, auto)
      apply (auto simp: quot-Eats quot-Var)
      done
qed

```

### 2.6.2 Formulas

```

lemma eval-fm-inject [simp]: fixes  $A::fm$  shows  $\llbracket \llbracket A \rrbracket \rrbracket e = \llbracket \llbracket B \rrbracket \rrbracket e \longleftrightarrow A=B$ 
proof (nominal-induct B arbitrary: A rule: fm.strong-induct)
  case (Mem tm1 tm2) thus ?case
    by (cases A rule: fm.exhaust, auto simp: quot-simps htuple-minus-1)
  next
    case (Eq tm1 tm2) thus ?case
      by (cases A rule: fm.exhaust, auto simp: quot-simps htuple-minus-1)
  next
    case (Neg α) thus ?case
      by (cases A rule: fm.exhaust, auto simp: quot-simps htuple-minus-1)
  next
    case (Disj fm1 fm2)
    thus ?case
      by (cases A rule: fm.exhaust, auto simp: quot-simps htuple-minus-1)
  next
    case (Ex i α)
    thus ?case
      apply (induct A arbitrary: i rule: fm.induct)
      apply (auto simp: trans-fm-perm quot-simps htuple-minus-1 Abs1-eq-iff-all)
      by (metis (no-types) Abs1-eq-iff-all(3) dbfm.eq-iff(5) fm.eq-iff(5) fresh-Nil
trans-fm.simps(5))

```

qed

### 2.6.3 The set $\Gamma$ of Definition 1.1, constant terms used for coding

```
inductive coding-tm :: tm ⇒ bool
  where
    Ord:   ∃ i. x = ORD-OF i ⇒ coding-tm x
    | HPair: coding-tm x ⇒ coding-tm y ⇒ coding-tm (HPair x y)

  declare coding-tm.intros [intro]

  lemma coding-tm-Zero [intro]: coding-tm Zero
    by (metis ORD-OF.simps(1) Ord)

  lemma coding-tm-HTuple [intro]: coding-tm (HTuple k)
    by (induct k, auto)

  inductive-simps coding-tm-HPair [simp]: coding-tm (HPair x y)

  lemma quot-dbtm-coding [simp]: coding-tm (quot-dbtm t)
    apply (induct t rule: dbtm.induct, auto)
    apply (metis ORD-OF.simps(2) Ord)
    done

  lemma quot-dbfm-coding [simp]: coding-tm (quot-dbfm fm)
    by (induct fm rule: dbfm.induct, auto)

  lemma quot-fm-coding: fixes A::fm shows coding-tm «A»
    by (metis quot-dbfm-coding quot-fm-def)

  inductive coding-hf :: hf ⇒ bool
  where
    Ord:   ∃ i. x = ord-of i ⇒ coding-hf x
    | HPair: coding-hf x ⇒ coding-hf y ⇒ coding-hf ((x,y))

  declare coding-hf.intros [intro]

  lemma coding-hf-0 [intro]: coding-hf 0
    by (metis coding-hf.Ord ord-of.simps(1))

  inductive-simps coding-hf-hpair [simp]: coding-hf ((x,y))

  lemma coding-tm-hf [simp]: coding-tm t ⇒ coding-hf [t]e
    by (induct t rule: coding-tm.induct) auto
```

## 2.7 V-Coding for terms and formulas, for the Second Theorem

Infinite support, so we cannot use nominal primrec.

```
function vquot-dbtm :: name set ⇒ dbtm ⇒ tm
  where
    vquot-dbtm V DBZero = Zero
    | vquot-dbtm V (DBVar name) = (if name ∈ V then Var name
                                    else ORD-OF (Suc (nat-of-name name)))
    | vquot-dbtm V (DBInd k) = HPair (HTuple 6) (ORD-OF k)
    | vquot-dbtm V (DBEats t u) = HPair (HTuple 1) (HPair (vquot-dbtm V t)
                                         (vquot-dbtm V u))
  by (auto, rule-tac y=b in dbtm.exhaust, auto)
```

### termination

by lexicographic-order

```
lemma fresh-vquot-dbtm [simp]: i # vquot-dbtm V tm ↔ i # tm ∨ i ∉ atom ` V
  by (induct tm rule: dbtm.induct) (auto simp: fresh-at-base pure-fresh)
```

Infinite support, so we cannot use nominal primrec.

```
function vquot-dbfm :: name set ⇒ dbfm ⇒ tm
  where
    vquot-dbfm V (DBMem t u) = HPair (HTuple 0) (HPair (vquot-dbtm V t)
                                                (vquot-dbtm V u))
    | vquot-dbfm V (DBEq t u) = HPair (HTuple 2) (HPair (vquot-dbtm V t) (vquot-dbtm
                                                V u))
    | vquot-dbfm V (DBDisj A B) = HPair (HTuple 3) (HPair (vquot-dbfm V A)
                                                (vquot-dbfm V B))
    | vquot-dbfm V (DBNeg A) = HPair (HTuple 4) (vquot-dbfm V A)
    | vquot-dbfm V (DBEx A) = HPair (HTuple 5) (vquot-dbfm V A)
  by (auto, rule-tac y=b in dbfm.exhaust, auto)
```

### termination

by lexicographic-order

```
lemma fresh-vquot-dbfm [simp]: i # vquot-dbfm V fm ↔ i # fm ∨ i ∉ atom ` V
  by (induct fm rule: dbfm.induct) (auto simp: HPair-def HTuple-minus-1)
```

```
class vquot =
  fixes vquot :: 'a ⇒ name set ⇒ tm (·[-]· → [0,1000]1000)

instantiation tm :: vquot
begin
  definition vquot-tm :: tm ⇒ name set ⇒ tm
    where vquot-tm t V = vquot-dbtm V (trans-tm [] t)
  instance ..
end
```

```

lemma vquot-dbtm-empty [simp]: vquot-dbtm {} t = quot-dbtm t
  by (induct t rule: dbtm.induct) auto

lemma vquot-tm-empty [simp]: fixes t::tm shows |t|{} = «t»
  by (simp add: vquot-tm-def quot-tm-def)

lemma vquot-dbtm-eq: atom ` V ∩ supp t = atom ` W ∩ supp t ==> vquot-dbtm
  V t = vquot-dbtm W t
  by (induct t rule: dbtm.induct) (auto simp: image-iff, blast+)

instantiation fm :: vquot
begin
  definition vquot-fm :: fm ⇒ name set ⇒ tm
    where vquot-fm A V = vquot-dbfm V (trans-fm [] A)
  instance ..
end

lemma vquot-fm-fresh [simp]: fixes A::fm shows i # |A] V ←→ i # A ∨ i ∉ atom
  ` V
  by (simp add: vquot-fm-def)

lemma vquot-dbfm-empty [simp]: vquot-dbfm {} A = quot-dbfm A
  by (induct A rule: dbfm.induct) auto

lemma vquot-fm-empty [simp]: fixes A::fm shows |A|{} = «A»
  by (simp add: vquot-fm-def quot-fm-def)

lemma vquot-dbfm-eq: atom ` V ∩ supp A = atom ` W ∩ supp A ==> vquot-dbfm
  V A = vquot-dbfm W A
  by (induct A rule: dbfm.induct) (auto simp: intro!: vquot-dbtm-eq, blast+)

lemma vquot-fm-insert:
  fixes A::fm shows atom i ∉ supp A ==> |A](insert i V) = |A] V
  by (auto simp: vquot-fm-def supp-conv-fresh intro: vquot-dbfm-eq)

declare HTuple.simps [simp del]

end

```

# Kapitel 3

## Basic Predicates

```
theory Predicates
imports SyntaxN
begin
```

### 3.1 The Subset Relation

```
nominal-function Subset ::  $tm \Rightarrow tm \Rightarrow fm$  (infixr `SUBS` 150)
  where atom  $z \# (t, u) \implies t \text{ SUBS } u = \text{All2 } z \ t \ ((\text{Var } z) \text{ IN } u)$ 
    by (auto simp: eqvt-def Subset-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
  by lexicographic-order

declare Subset.simps [simp del]

lemma Subset-fresh-iff [simp]:  $a \# t \text{ SUBS } u \longleftrightarrow a \# t \wedge a \# u$ 
  apply (rule obtain-fresh [where  $x=(t, u)$ ])
  apply (subst Subset.simps, auto)
  done

lemma eval-fm-Subset [simp]: eval-fm  $e (\text{Subset } t \ u) \longleftrightarrow (\llbracket t \rrbracket e \leq \llbracket u \rrbracket e)$ 
  apply (rule obtain-fresh [where  $x=(t, u)$ ])
  apply (subst Subset.simps, auto)
  done

lemma subst-fm-Subset [simp]:  $(t \text{ SUBS } u)(i ::= x) = (\text{subst } i \ x \ t) \text{ SUBS } (\text{subst } i \ x \ u)$ 
  proof -
    obtain  $j ::= name$  where atom  $j \# (i, x, t, u)$ 
      by (rule obtain-fresh)
    thus ?thesis
      by (auto simp: Subset.simps [of j])
  qed
```

```

lemma Subset-I:
  assumes insert ((Var i) IN t) H ⊢ (Var i) IN u atom i # (t,u) ∀ B ∈ H. atom i
  # B
  shows H ⊢ t SUBS u
  by (subst Subset.simps [of i]) (auto simp: assms)

lemma Subset-D:
  assumes major: H ⊢ t SUBS u and minor: H ⊢ a IN t shows H ⊢ a IN u
  proof -
    obtain i::name where i: atom i # (t, u)
    by (rule obtain-fresh)
    hence H ⊢ (Var i IN t IMP Var i IN u) (i ::= a)
    by (metis Subset.simps major All-D)
    thus ?thesis
      using i by simp (metis MP-same minor)
  qed

lemma Subset-E: H ⊢ t SUBS u ⇒ H ⊢ a IN t ⇒ insert (a IN u) H ⊢ A ⇒
H ⊢ A
  by (metis Subset-D cut-same)

lemma Subset-cong: H ⊢ t EQ t' ⇒ H ⊢ u EQ u' ⇒ H ⊢ t SUBS u IFF t'
SUBS u'
  by (rule P2-cong) auto

lemma Set-MP: x SUBS y ∈ H ⇒ z IN x ∈ H ⇒ insert (z IN y) H ⊢ A ⇒
H ⊢ A
  by (metis Assume Subset-D cut-same insert-absorb)

lemma Zero-SubsetI [intro!]: H ⊢ Zero SUBS t
  proof -
    have {} ⊢ Zero SUBS t
    by (rule obtain-fresh [where x=(Zero,t)]) (auto intro: Subset-I)
    thus ?thesis
      by (auto intro: thin)
  qed

lemma Zero-SubsetE: H ⊢ A ⇒ insert (Zero SUBS X) H ⊢ A
  by (rule thin1)

lemma Subset-Zero-D:
  assumes H ⊢ t SUBS Zero shows H ⊢ t EQ Zero
  proof -
    obtain i::name where i [iff]: atom i # t
    by (rule obtain-fresh)
    have {t SUBS Zero} ⊢ t EQ Zero
    proof (rule Eq-Zero-I)
      fix A
      show {Var i IN t, t SUBS Zero} ⊢ A
  
```

```

    by (metis Hyp Subset-D insertI1 thin1 Mem-Zero-E cut1)
qed auto
thus ?thesis
  by (metis assms cut1)
qed

lemma Subset-refl:  $H \vdash t \text{ SUBS } t$ 
proof -
  obtain i::name where atom i # t
    by (rule obtain-fresh)
  thus ?thesis
    by (metis Assume Subset-I empty-iff fresh-Pair thin0)
qed

lemma Eats-Subset-Iff:  $H \vdash \text{Eats } x \ y \text{ SUBS } z \text{ IFF } (\text{x SUBS } z) \text{ AND } (y \text{ IN } z)$ 
proof -
  obtain i::name where i: atom i # (x,y,z)
    by (rule obtain-fresh)
  have {} ⊢ (Eats x y SUBS z) IFF (x SUBS z AND y IN z)
  proof (rule Iff-I)
    show {Eats x y SUBS z} ⊢ x SUBS z AND y IN z
    proof (rule Conj-I)
      show {Eats x y SUBS z} ⊢ x SUBS z
      apply (rule Subset-I [where i=i]) using i
      apply (auto intro: Subset-D Mem-Eats-I1)
      done
    next
      show {Eats x y SUBS z} ⊢ y IN z
      by (metis Subset-D Assume Mem-Eats-I2 Reft)
    qed
  next
    show {x SUBS z AND y IN z} ⊢ Eats x y SUBS z using i
    by (auto intro!: Subset-I [where i=i] intro: Subset-D Mem-cong [THEN
      Iff-MP2-same])
  qed
  thus ?thesis
    by (rule thin0)
qed

lemma Eats-Subset-I [intro!]:  $H \vdash x \text{ SUBS } z \implies H \vdash y \text{ IN } z \implies H \vdash \text{Eats } x \ y \text{ SUBS } z$ 
by (metis Conj-I Eats-Subset-Iff Iff-MP2-same)

lemma Eats-Subset-E [intro!]:
  insert (x SUBS z) (insert (y IN z) H) ⊢ C ⟹ insert (Eats x y SUBS z) H ⊢ C
by (metis Conj-E Eats-Subset-Iff Iff-MP-left')

```

A surprising proof: a consequence of  $?H \vdash \text{Eats } ?x \ ?y \text{ SUBS } ?z \text{ IFF } ?x \text{ SUBS } ?z \text{ AND } ?y \text{ IN } ?z$  and reflexivity!

```

lemma Subset-Eats-I [intro!]:  $H \vdash x \text{ SUBS } Eats \ x \ y$ 
by (metis Conj-E1 Eats-Subset-Iff Iff-MP-same Subset-refl)

lemma SUCC-Subset-I [intro!]:  $H \vdash x \text{ SUBS } z \implies H \vdash x \text{ IN } z \implies H \vdash \text{SUCC } x$ 
SUBS  $z$ 
by (metis Eats-Subset-I SUCC-def)

lemma SUCC-Subset-E [intro!]:
 $\text{insert } (x \text{ SUBS } z) (\text{insert } (x \text{ IN } z) H) \vdash C \implies \text{insert } (\text{SUCC } x \text{ SUBS } z) H \vdash C$ 
by (metis Eats-Subset-E SUCC-def)

lemma Subset-trans0: {  $a \text{ SUBS } b, b \text{ SUBS } c$  }  $\vdash a \text{ SUBS } c$ 
proof -
  obtain  $i::\text{name}$  where [simp]: atom  $i \notin (a, b, c)$ 
  by (rule obtain-fresh)
  show ?thesis
  by (rule Subset-I [of  $i$ ]) (auto intro: Subset-D)
qed

lemma Subset-trans:  $H \vdash a \text{ SUBS } b \implies H \vdash b \text{ SUBS } c \implies H \vdash a \text{ SUBS } c$ 
by (metis Subset-trans0 cut2)

lemma Subset-SUCC:  $H \vdash a \text{ SUBS } (\text{SUCC } a)$ 
by (metis SUCC-def Subset-Eats-I)

lemma All2-Subset-lemma: atom  $l \notin (k', k)$   $\implies \{P\} \vdash P' \implies \{\text{All2 } l \ k \ P, k' \text{ SUBS }$ 
 $k\}$   $\vdash \text{All2 } l \ k' \ P'$ 
apply auto
apply (rule Ex-I [where  $x = \text{Var } l$ ])
apply (auto intro: ContraProve Set-MP cut1)
done

lemma All2-Subset:  $\llbracket H \vdash \text{All2 } l \ k \ P; H \vdash k' \text{ SUBS } k; \{P\} \vdash P'; \text{atom } l \notin (k', k) \rrbracket$ 
 $\implies H \vdash \text{All2 } l \ k' \ P'$ 
by (rule cut2 [OF All2-Subset-lemma]) auto

```

## 3.2 Extensionality

```

lemma Extensionality:  $H \vdash x \text{ EQ } y \text{ IFF } x \text{ SUBS } y \text{ AND } y \text{ SUBS } x$ 
proof -
  obtain  $i::\text{name}$  and  $j::\text{name}$  and  $k::\text{name}$ 
  where atoms: atom  $i \notin (x, y)$  atom  $j \notin (i, x, y)$  atom  $k \notin (i, j, y)$ 
  by (metis obtain-fresh)
  have  $\{\} \vdash (\text{Var } i \text{ EQ } y \text{ IFF } \text{Var } i \text{ SUBS } y \text{ AND } y \text{ SUBS } \text{Var } i)$  (is  $\{\} \vdash ?\text{scheme}$ )
  proof (rule Ind [of  $j$ ])
    show atom  $j \notin (i, ?\text{scheme})$  using atoms
    by simp
  next
    show  $\{\} \vdash ?\text{scheme}(i ::= \text{Zero})$  using atoms

```

```

proof auto
  show {Zero EQ y} ⊢ y SUBS Zero
    by (rule Subset-cong [OF Assume Refl, THEN Iff-MP-same]) (rule Subset-refl)
  next
    show {Zero SUBS y, y SUBS Zero} ⊢ Zero EQ y
      by (metis AssumeH(2) Subset-Zero-D Sym)
  qed
  next
    show {} ⊢ All i (All j (?scheme IMP ?scheme(i::=Var j) IMP ?scheme(i::=Eats
      (Var i) (Var j))))
      using atoms
      apply auto
      apply (metis Subset-cong [OF Refl Assume, THEN Iff-MP-same] Subset-Eats-I)
      apply (metis Mem-cong [OF Refl Assume, THEN Iff-MP-same] Mem-Eats-I2
      Refl)
      apply (metis Subset-cong [OF Assume Refl, THEN Iff-MP-same] Subset-refl)
      apply (rule Eq-Eats-I [of - k, THEN Sym])
      apply (auto intro: Set-MP [where x=y] Subset-D [where t = Var i] Disj-I1
      Disj-I2)
      apply (rule Var-Eq-subst-Iff [THEN Iff-MP-same], auto)
      done
    qed
    hence {} ⊢ (Var i EQ y IFF Var i SUBS y AND y SUBS Var i)(i::=x)
      by (metis Subst emptyE)
    thus ?thesis using atoms
      by (simp add: thin0)
  qed

```

**lemma Equality-I:**  $H \vdash y \text{ SUBS } x \implies H \vdash x \text{ SUBS } y \implies H \vdash x \text{ EQ } y$   
**by** (metis Conj-I Extensionality Iff-MP2-same)

**lemma EQ-imp-SUBS:**  $\text{insert } (t \text{ EQ } u) H \vdash (t \text{ SUBS } u)$   
**by** (meson Assume Iff-MP-same Refl Subset-cong Subset-refl)

**lemma EQ-imp-SUBS2:**  $\text{insert } (u \text{ EQ } t) H \vdash (t \text{ SUBS } u)$   
**by** (metis EQ-imp-SUBS Sym-L)

**lemma Equality-E:**  $\text{insert } (t \text{ SUBS } u) (\text{insert } (u \text{ SUBS } t) H) \vdash A \implies \text{insert } (t \text{ EQ } u) H \vdash A$   
**by** (metis Conj-E Extensionality Iff-MP-left')

### 3.3 The Disjointness Relation

The following predicate is defined in order to prove Lemma 2.3, Foundation  
**nominal-function**  $\text{Disjoint} :: tm \Rightarrow tm \Rightarrow fm$   
**where** atom  $z \# (t, u) \implies \text{Disjoint } t u = \text{All2 } z t (\text{Neg } ((\text{Var } z) \text{ IN } u))$   
**by** (auto simp: eqvt-def Disjoint-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

nominal-termination (eqvt)
  by lexicographic-order

declare Disjoint.simps [simp del]

lemma Disjoint-fresh-iff [simp]:  $a \# \text{Disjoint } t u \longleftrightarrow a \# t \wedge a \# u$ 
proof –
  obtain  $j::\text{name}$  where  $j: \text{atom } j \# (a, t, u)$ 
    by (rule obtain-fresh)
  thus ?thesis
    by (auto simp: Disjoint.simps [of  $j$ ])
qed

lemma subst-fm-Disjoint [simp]:
   $(\text{Disjoint } t u)(i ::= x) = \text{Disjoint } (\text{subst } i x t) (\text{subst } i x u)$ 
proof –
  obtain  $j::\text{name}$  where  $j: \text{atom } j \# (i, x, t, u)$ 
    by (rule obtain-fresh)
  thus ?thesis
    by (auto simp: Disjoint.simps [of  $j$ ])
qed

lemma Disjoint-cong:  $H \vdash t \text{ EQ } t' \implies H \vdash u \text{ EQ } u' \implies H \vdash \text{Disjoint } t u \text{ IFF } \text{Disjoint } t' u'$ 
by (rule P2-cong) auto

lemma Disjoint-I:
  assumes insert ((Var  $i$ ) IN  $t$ ) (insert ((Var  $i$ ) IN  $u$ )  $H$ )  $\vdash \text{Fls}$ 
    atom  $i \# (t, u) \forall B \in H. \text{atom } i \# B$ 
  shows  $H \vdash \text{Disjoint } t u$ 
by (subst Disjoint.simps [of  $i$ ]) (auto simp: assms insert-commute)

lemma Disjoint-E:
  assumes major:  $H \vdash \text{Disjoint } t u$  and minor:  $H \vdash a \text{ IN } t H \vdash a \text{ IN } u$  shows  $H \vdash A$ 
proof –
  obtain  $i::\text{name}$  where  $i: \text{atom } i \# (t, u)$ 
    by (rule obtain-fresh)
  hence  $H \vdash (\text{Var } i \text{ IN } t \text{ IMP Neg } (\text{Var } i \text{ IN } u)) (i ::= a)$ 
    by (metis Disjoint.simps major All-D)
  thus ?thesis using  $i$ 
    by simp (metis MP-same Neg-D minor)
qed

lemma Disjoint-commute: { Disjoint  $t u$  }  $\vdash \text{Disjoint } u t$ 
proof –
  obtain  $i::\text{name}$  where  $\text{atom } i \# (t, u)$ 
    by (rule obtain-fresh)
  thus ?thesis

```

```

by (auto simp: fresh-Pair intro: Disjoint-I Disjoint-E)
qed

lemma Disjoint-commute-I:  $H \vdash \text{Disjoint } t u \implies H \vdash \text{Disjoint } u t$ 
by (metis Disjoint-commute cut1)

lemma Disjoint-commute-D: insert (Disjoint t u)  $H \vdash A \implies \text{insert } (\text{Disjoint } u t) H \vdash A$ 
by (metis Assume Disjoint-commute-I cut-same insert-commute thin1)

lemma Zero-Disjoint-I1 [iff]:  $H \vdash \text{Disjoint Zero } t$ 
proof -
  obtain i::name where i: atom  $i \notin t$ 
  by (rule obtain-fresh)
  hence {}  $\vdash \text{Disjoint Zero } t$ 
  by (auto intro: Disjoint-I [of i])
  thus ?thesis
  by (metis thin0)
qed

lemma Zero-Disjoint-I2 [iff]:  $H \vdash \text{Disjoint } t \text{ Zero}$ 
by (metis Disjoint-commute Zero-Disjoint-I1 cut1)

lemma Disjoint-Eats-D1: { Disjoint (Eats x y) z }  $\vdash \text{Disjoint } x z$ 
proof -
  obtain i::name where i: atom  $i \notin (x,y,z)$ 
  by (rule obtain-fresh)
  show ?thesis
  apply (rule Disjoint-I [of i])
  apply (blast intro: Disjoint-E Mem-Eats-I1)
  using i apply auto
  done
qed

lemma Disjoint-Eats-D2: { Disjoint (Eats x y) z }  $\vdash \text{Neg}(y \text{ IN } z)$ 
proof -
  obtain i::name where i: atom  $i \notin (x,y,z)$ 
  by (rule obtain-fresh)
  show ?thesis
  by (force intro: Disjoint-E [THEN rotate2] Mem-Eats-I2)
qed

lemma Disjoint-Eats-E:
  insert (Disjoint x z) (insert (Neg(y IN z)) H)  $\vdash A \implies \text{insert } (\text{Disjoint } (\text{Eats } x y) z) H \vdash A$ 
by (meson Conj-E Conj-I Disjoint-Eats-D1 Disjoint-Eats-D2 rcut1)

lemma Disjoint-Eats-E2:
  insert (Disjoint z x) (insert (Neg(y IN z)) H)  $\vdash A \implies \text{insert } (\text{Disjoint } z (\text{Eats }$ 

```

```

 $x\ y))\ H \vdash A$ 
by (metis Disjoint-Eats-E Disjoint-commute-D)
lemma Disjoint-Eats-Imp: { Disjoint  $x\ z$ , Neg( $y\ IN\ z$ ) }  $\vdash$  Disjoint (Eats  $x\ y$ )  $z$ 
proof –
  obtain  $i::name$  whereatom  $i \notin (x,y,z)$ 
  by (rule obtain-fresh)
  then show ?thesis
  by (auto intro: Disjoint-I [of i] Disjoint-E [THEN rotate3]
        Mem-cong [OF Assume Refl, THEN Iff-MP-same])
qed

lemma Disjoint-Eats-I [intro!]:  $H \vdash$  Disjoint  $x\ z \implies$  insert ( $y\ IN\ z$ )  $H \vdash$  Fls  $\implies$ 
 $H \vdash$  Disjoint (Eats  $x\ y$ )  $z$ 
by (metis Neg-I cut2 [OF Disjoint-Eats-Imp])

lemma Disjoint-Eats-I2 [intro!]:  $H \vdash$  Disjoint  $z\ x \implies$  insert ( $y\ IN\ z$ )  $H \vdash$  Fls
 $\implies H \vdash$  Disjoint  $z\ (\text{Eats } x\ y)$ 
by (metis Disjoint-Eats-I Disjoint-commute cut1)

```

### 3.4 The Foundation Theorem

```

lemma Foundation-lemma:
  assumes  $i::atom\ i \notin z$ 
  shows { All2  $i\ z$  (Neg (Disjoint (Var  $i$ )  $z$ )) }  $\vdash$  Neg (Var  $i$  IN  $z$ ) AND Disjoint
    (Var  $i$ )  $z$ 
proof –
  obtain  $j::name$  where  $j::atom\ j \notin (z,i)$ 
  by (metis obtain-fresh)
  show ?thesis
    apply (rule Ind [of j]) using  $i\ j$ 
    apply auto
    apply (rule Ex-I [where x=Zero], auto)
    apply (rule Ex-I [where x=Eats (Var i) (Var j)], auto)
    apply (metis ContraAssume insertI1 insert-commute)
    apply (metis ContraProve Disjoint-Eats-Imp rotate2 thin1)
    apply (metis Assume Disj-I1 anti-deduction rotate3)
    done
qed

theorem Foundation:  $atom\ i \notin z \implies \{\} \vdash$  All2  $i\ z$  (Neg (Disjoint (Var  $i$ )  $z$ )) IMP
 $z\ EQ\ Zero$ 
apply auto
apply (rule Eq-Zero-I)
apply (rule cut-same [where A = (Neg ((Var i) IN z) AND Disjoint (Var i) z)])
apply (rule Foundation-lemma [THEN cut1], auto)
done

```

```

lemma Mem-Neg-refl: {} ⊢ Neg (x IN x)
proof –
  obtain i::name where i: atom i # x
    by (metis obtain-fresh)
  have {} ⊢ Disjoint x (Eats Zero x)
    apply (rule cut-same [OF Foundation [where z = Eats Zero x]]) using i
    apply auto
    apply (rule cut-same [where A = Disjoint x (Eats Zero x)])
    apply (metis Assume thin1 Disjoint-cong [OF Assume Refl, THEN Iff-MP-same])
    apply (metis Assume AssumeH(4) Disjoint-E Mem-Eats-I2 Refl)
    done
  thus ?thesis
    by (metis Disjoint-Eats-D2 Disjoint-commute cut-same)
qed

lemma Mem-refl-E [intro!]: insert (x IN x) H ⊢ A
  by (metis Disj-I1 Mem-Neg-refl anti-deduction thin0)

lemma Mem-non-refl: assumes H ⊢ x IN x shows H ⊢ A
  by (metis Mem-refl-E assms cut-same)

lemma Mem-Neg-sym: { x IN y, y IN x } ⊢ Fls
proof –
  obtain i::name where i: atom i # (x,y)
    by (metis obtain-fresh)
  have {} ⊢ Disjoint x (Eats Zero y) OR Disjoint y (Eats Zero x)
    apply (rule cut-same [OF Foundation [where i=i and z = Eats (Eats Zero y) x]]) using i
    apply (auto intro!: Disjoint-Eats-E2 [THEN rotate2])
    apply (rule Disj-I2, auto)
    apply (metis Assume EQ-imp-SUBS2 Subset-D insert-commute)
    apply (blast intro!: Disj-I1 Disjoint-cong [OF Hyp Refl, THEN Iff-MP-same])
    done
  thus ?thesis
    by (auto intro: cut0 Disjoint-Eats-E2)
qed

lemma Mem-not-sym: insert (x IN y) (insert (y IN x) H) ⊢ A
  by (rule cut-thin [OF Mem-Neg-sym]) auto

```

### 3.5 The Ordinal Property

```

nominal-function OrdP :: tm ⇒ fm
  where [atom y # (x, z); atom z # x] ==>
    OrdP x = All2 y x ((Var y) SUBS x AND All2 z (Var y) ((Var z) SUBS (Var y)))
  by (auto simp: eqvt-def OrdP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)

```

by lexicographic-order

**lemma**

shows *OrdP-fresh-iff* [simp]:  $a \# OrdP x \longleftrightarrow a \# x$  (is ?thesis1)  
and *eval-fm-OrdP* [simp]: eval-fm  $e$  ( $OrdP x$ )  $\longleftrightarrow Ord [x]e$  (is ?thesis2)

**proof -**

obtain  $z::name$  and  $y::name$  where atom  $z \# x$  atom  $y \# (x, z)$

by (metis obtain-fresh)

thus ?thesis1 ?thesis2

by (auto simp: OrdP.simps [of  $y - z$ ] Ord-def Transset-def)

qed

**lemma** *subst-fm-OrdP* [simp]:  $(OrdP t)(i:=x) = OrdP (\text{subst } i x t)$

**proof -**

obtain  $z::name$  and  $y::name$  where atom  $z \# (t,i,x)$  atom  $y \# (t,i,x,z)$

by (metis obtain-fresh)

thus ?thesis

by (auto simp: OrdP.simps [of  $y - z$ ])

qed

**lemma** *OrdP-cong*:  $H \vdash x EQ x' \implies H \vdash OrdP x IFF OrdP x'$

by (rule P1-cong) auto

**lemma** *OrdP-Mem-lemma*:

assumes  $z: atom z \# (k,l)$  and  $l: insert (OrdP k) H \vdash l IN k$

shows  $insert (OrdP k) H \vdash l SUBS k AND All2 z l (Var z SUBS l)$

**proof -**

obtain  $y::name$  where  $y: atom y \# (k,l,z)$

by (metis obtain-fresh)

have  $insert (OrdP k) H$

$\vdash (Var y IN k IMP (Var y SUBS k AND All2 z (Var y) (Var z SUBS Var y))) (y := l)$

by (rule All-D) (simp add: OrdP.simps [of  $y - z$ ] y z Assume)

also have ...  $= l IN k IMP (l SUBS k AND All2 z l (Var z SUBS l))$

using  $y z$  by simp

finally show ?thesis

by (metis MP-same l)

qed

**lemma** *OrdP-Mem-E*:

assumes atom  $z \# (k,l)$

$insert (OrdP k) H \vdash l IN k$

$insert (l SUBS k) (insert (All2 z l (Var z SUBS l)) H) \vdash A$

shows  $insert (OrdP k) H \vdash A$

apply (rule OrdP-Mem-lemma [THEN cut-same])

apply (auto simp: insert-commute)

apply (blast intro: assms thin1)+

done

```

lemma OrdP-Mem-imp-Subset:
  assumes  $k: H \vdash k \text{ IN } l$  and  $l: H \vdash \text{OrdP } l$  shows  $H \vdash k \text{ SUBS } l$ 
  apply (rule obtain-fresh [of  $(l,k)$ ])
  apply (rule cut-same [OF  $l$ ])
  using  $k$  apply (auto intro: OrdP-Mem-E thin1)
  done

lemma SUCC-Subset-Ord-lemma:  $\{ k' \text{ IN } k, \text{OrdP } k \} \vdash \text{SUCC } k' \text{ SUBS } k$ 
  by auto (metis Assume thin1 OrdP-Mem-imp-Subset)

lemma SUCC-Subset-Ord:  $H \vdash k' \text{ IN } k \implies H \vdash \text{OrdP } k \implies H \vdash \text{SUCC } k' \text{ SUBS } k$ 
  by (blast intro!: cut2 [OF SUCC-Subset-Ord-lemma])

lemma OrdP-Trans-lemma:  $\{ \text{OrdP } k, i \text{ IN } j, j \text{ IN } k \} \vdash i \text{ IN } k$ 
proof –
  obtain  $m::\text{name}$  where atom  $m \notin (i,j,k)$ 
  by (metis obtain-fresh)
  thus ?thesis
  by (auto intro: OrdP-Mem-E [of  $m k j$ ] Subset-D [THEN rotate3])
qed

lemma OrdP-Trans:  $H \vdash \text{OrdP } k \implies H \vdash i \text{ IN } j \implies H \vdash j \text{ IN } k \implies H \vdash i \text{ IN } k$ 
  by (blast intro: cut3 [OF OrdP-Trans-lemma])

lemma Ord-IN-Ord0:
  assumes  $l: H \vdash l \text{ IN } k$ 
  shows insert (OrdP k)  $H \vdash \text{OrdP } l$ 
proof –
  obtain  $z::\text{name}$  and  $y::\text{name}$  where  $z: \text{atom } z \notin (k,l)$  and  $y: \text{atom } y \notin (k,l,z)$ 
  by (metis obtain-fresh)
  have  $\{ \text{Var } y \text{ IN } l, \text{OrdP } k, l \text{ IN } k \} \vdash \text{All2 } z (\text{Var } y) (\text{Var } z \text{ SUBS } \text{Var } y)$  using
 $y z$ 
  apply (simp add: insert-commute [of - OrdP k])
  apply (auto intro: OrdP-Mem-E [of  $z k \text{ Var } y$ ] OrdP-Trans-lemma del: All-I Neg-I)
  done
  hence  $\{ \text{OrdP } k, l \text{ IN } k \} \vdash \text{OrdP } l$  using  $z y$ 
  apply (auto simp: OrdP.simps [of  $y l z$ ])
  apply (simp add: insert-commute [of - OrdP k])
  apply (rule OrdP-Mem-E [of  $y k l$ ], simp-all)
  apply (metis Assume thin1)
  apply (rule All-E [where  $x= \text{Var } y$ , THEN thin1], simp)
  apply (metis Assume anti-deduction insert-commute)
  done
  thus ?thesis
  by (metis (full-types) Assume  $l$  cut2 thin1)
qed

```

```

lemma Ord-IN-Ord:  $H \vdash l \text{ IN } k \implies H \vdash \text{OrdP } k \implies H \vdash \text{OrdP } l$ 
by (metis Ord-IN-Ord0 cut-same)

lemma OrdP-I:
assumes insert ( $\text{Var } y \text{ IN } x$ )  $H \vdash (\text{Var } y) \text{ SUBS } x$ 
and insert ( $\text{Var } z \text{ IN } \text{Var } y$ ) ( $\text{insert } (\text{Var } y \text{ IN } x) H \vdash (\text{Var } z) \text{ SUBS } (\text{Var } y)$ )
and atom  $y \notin (x, z) \forall B \in H. \text{atom } y \notin B$  atom  $z \notin x \forall B \in H. \text{atom } z \notin B$ 
shows  $H \vdash \text{OrdP } x$ 
using assms by auto

lemma OrdP-Zero [simp]:  $H \vdash \text{OrdP Zero}$ 
proof –
obtain  $y::\text{name}$  and  $z::\text{name}$  where atom  $y \neq z$ 
by (rule obtain-fresh)
hence  $\{\} \vdash \text{OrdP Zero}$ 
by (auto intro: OrdP-I [of  $y \dots z$ ])
thus ?thesis
by (metis thin0)
qed

lemma OrdP-SUCC-I0:  $\{ \text{OrdP } k \} \vdash \text{OrdP } (\text{SUCC } k)$ 
proof –
obtain  $w::\text{name}$  and  $y::\text{name}$  and  $z::\text{name}$  where atoms: atom  $w \notin (k, y, z)$  atom  $y \notin (k, z)$  atom  $z \notin k$ 
by (metis obtain-fresh)
have 1:  $\{ \text{Var } y \text{ IN } \text{SUCC } k, \text{OrdP } k \} \vdash \text{Var } y \text{ SUBS SUCC } k$ 
apply (rule Mem-SUCC-E)
apply (rule OrdP-Mem-E [of  $w - \text{Var } y$ , THEN rotate2]) using atoms
apply auto
apply (metis Assume Subset-SUCC Subset-trans)
apply (metis EQ-imp-SUBS Subset-SUCC Subset-trans)
done
have in-case:  $\{ \text{Var } y \text{ IN } k, \text{Var } z \text{ IN } \text{Var } y, \text{OrdP } k \} \vdash \text{Var } z \text{ SUBS Var } y$ 
apply (rule OrdP-Mem-E [of  $w - \text{Var } y$ , THEN rotate3]) using atoms
apply (auto intro: All2-E [THEN thin1])
done
have  $\{ \text{Var } y \text{ EQ } k, \text{Var } z \text{ IN } k, \text{OrdP } k \} \vdash \text{Var } z \text{ SUBS Var } y$ 
by (metis AssumeH(2) AssumeH(3) EQ-imp-SUBS2 OrdP-Mem-imp-Subset
Subset-trans)
hence eq-case:  $\{ \text{Var } y \text{ EQ } k, \text{Var } z \text{ IN } \text{Var } y, \text{OrdP } k \} \vdash \text{Var } z \text{ SUBS Var } y$ 
by (rule cut3) (auto intro: EQ-imp-SUBS [THEN cut1] Subset-D)
have 2:  $\{ \text{Var } z \text{ IN } \text{Var } y, \text{Var } y \text{ IN } \text{SUCC } k, \text{OrdP } k \} \vdash \text{Var } z \text{ SUBS Var } y$ 
by (metis rotate2 Mem-SUCC-E in-case eq-case)
show ?thesis
using OrdP-I [OF 1 2] atoms
by (metis OrdP-fresh-iff SUCC-fresh-iff fresh-Pair singletonD)
qed

```

```

lemma OrdP-SUCC-I:  $H \vdash \text{OrdP } k \implies H \vdash \text{OrdP} (\text{SUCC } k)$ 
  by (metis OrdP-SUCC-I0 cut1)

lemma Zero-In-OrdP: {  $\text{OrdP } x$  }  $\vdash x \text{ EQ Zero OR Zero IN } x$ 
proof -
  obtain i::name and j::name
    where i: atom  $i \# x$  and j: atom  $j \# (x,i)$ 
    by (metis obtain-fresh)
  show ?thesis
    apply (rule cut-thin [where HB = {OrdP x}, OF Foundation [where i=i and
z = x]])
    using i j apply auto
    prefer 2 apply (metis Assume Disj-I1)
    apply (rule Disj-I2)
    apply (rule cut-same [where A = Var i EQ Zero])
    prefer 2 apply (blast intro: Iff-MP-same [OF Mem-cong [OF Assume Refl]])
    apply (auto intro!: Eq-Zero-I [where i=j] Ex-I [where x=Var i])
    apply (blast intro: Disjoint-E Subset-D)
    done
qed

lemma OrdP-HPairE: insert (OrdP (HPair x y))  $H \vdash A$ 
proof -
  have { OrdP (HPair x y) }  $\vdash A$ 
  by (rule cut-same [OF Zero-In-OrdP]) (auto simp: HPair-def)
  thus ?thesis
  by (metis Assume cut1)
qed

lemmas OrdP-HPairEH = OrdP-HPairE OrdP-HPairE [THEN rotate2] OrdP-HPairE
[THEN rotate3] OrdP-HPairE [THEN rotate4] OrdP-HPairE [THEN rotate5]
  OrdP-HPairE [THEN rotate6] OrdP-HPairE [THEN rotate7] OrdP-HPairE
[THEN rotate8] OrdP-HPairE [THEN rotate9] OrdP-HPairE
[THEN rotate10]
declare OrdP-HPairEH [intro!]

lemma Zero-Eq-HPairE: insert (Zero EQ HPair x y)  $H \vdash A$ 
  by (metis Eats-EQ-Zero-E2 HPair-def)

lemmas Zero-Eq-HPairEH = Zero-Eq-HPairE Zero-Eq-HPairE [THEN rotate2]
Zero-Eq-HPairE [THEN rotate3] Zero-Eq-HPairE [THEN rotate4] Zero-Eq-HPairE
[THEN rotate5]
  Zero-Eq-HPairE [THEN rotate6] Zero-Eq-HPairE [THEN rotate7] Ze-
ro-Eq-HPairE [THEN rotate8] Zero-Eq-HPairE [THEN rotate9] Zero-Eq-HPairE
[THEN rotate10]
declare Zero-Eq-HPairEH [intro!]

lemma HPair-Eq-ZeroE: insert (HPair x y EQ Zero)  $H \vdash A$ 
  by (metis Sym-L Zero-Eq-HPairE)

```

```

lemmas HPair-Eq-ZeroEH = HPair-Eq-ZeroE HPair-Eq-ZeroE [THEN rotate2]
HPair-Eq-ZeroE [THEN rotate3] HPair-Eq-ZeroE [THEN rotate4] HPair-Eq-ZeroE
[THEN rotate5]
    HPair-Eq-ZeroE [THEN rotate6] HPair-Eq-ZeroE [THEN rotate7]
HPair-Eq-ZeroE [THEN rotate8] HPair-Eq-ZeroE [THEN rotate9] HPair-Eq-ZeroE
[THEN rotate10]
declare HPair-Eq-ZeroEH [intro!]

```

### 3.6 Induction on Ordinals

**lemma** *OrdInd-lemma*:

**assumes**  $j: \text{atom } (j::\text{name}) \notin (i, A)$

**shows**  $\{ \text{OrdP} (\text{Var } i) \} \vdash (\text{All } i (\text{OrdP} (\text{Var } i) \text{ IMP } ((\text{All2 } j (\text{Var } i) (A(i ::= \text{Var } j)) \text{ IMP } A))) \text{ IMP } A$

**proof –**

**obtain**  $l::\text{name}$  **and**  $k::\text{name}$

**where**  $l: \text{atom } l \notin (i, j, A)$  **and**  $k: \text{atom } k \notin (i, j, l, A)$

**by** (*metis obtain-fresh*)

**have**  $\{ (\text{All } i (\text{OrdP} (\text{Var } i) \text{ IMP } ((\text{All2 } j (\text{Var } i) (A(i ::= \text{Var } j)) \text{ IMP } A))) \} \vdash (\text{All2 } l (\text{Var } i) (\text{OrdP} (\text{Var } l) \text{ IMP } A(i ::= \text{Var } l)))$

**apply** (*rule Ind [of k]*)

**using**  $j \ k \ l$  **apply** *auto*

**apply** (*rule All-E [where  $x = \text{Var } l$ , THEN rotate5]*, *auto*)

**apply** (*metis Assume Disj-I1 anti-deduction thin1*)

**apply** (*rule Ex-I [where  $x = \text{Var } l$ ]*, *auto*)

**apply** (*rule All-E [where  $x = \text{Var } j$ , THEN rotate6]*, *auto*)

**apply** (*blast intro: ContraProve Iff-MP-same [OF Mem-cong [OF Refl]]*)

**apply** (*metis Assume Ord-IN-Ord0 ContraProve insert-commute*)

**apply** (*metis Assume Neg-D thin1*) +

**done**

**hence**  $\{ (\text{All } i (\text{OrdP} (\text{Var } i) \text{ IMP } ((\text{All2 } j (\text{Var } i) (A(i ::= \text{Var } j)) \text{ IMP } A))) \} \vdash (\text{All2 } l (\text{Var } i) (\text{OrdP} (\text{Var } l) \text{ IMP } A(i ::= \text{Var } l))) (i ::= \text{Eats Zero} (\text{Var } i))$

**by** (*rule Subst, auto*)

**hence** *indlem*:  $\{ \text{All } i (\text{OrdP} (\text{Var } i) \text{ IMP } ((\text{All2 } j (\text{Var } i) (A(i ::= \text{Var } j)) \text{ IMP } A))) \} \vdash \text{All2 } l (\text{Eats Zero} (\text{Var } i)) (\text{OrdP} (\text{Var } l) \text{ IMP } A(i ::= \text{Var } l))$

**using**  $j \ l$  **by** *simp*

**show** *?thesis*

**apply** (*rule Imp-I*)

**apply** (*rule cut-thin [OF indlem, where HB = {OrdP (Var i)}]*)

**apply** (*rule All2-Eats-E*) **using**  $j \ l$

**apply** *auto*

**done**

**qed**

**lemma** *OrdInd*:

**assumes**  $j: \text{atom } (j::\text{name}) \notin (i, A)$

```

and  $x: H \vdash OrdP(Var i)$  and  $step: H \vdash All\ i\ (OrdP(Var\ i))\ IMP\ (All2\ j\ (Var\ i)\ (A(i:=Var\ j))\ IMP\ A))$ 
shows  $H \vdash A$ 
apply (rule cut-thin [OF  $x$ , where  $HB=H$ ])
apply (rule MP-thin [OF OrdInd-lemma step])
apply (auto simp:  $j$ )
done

lemma OrdIndH:
assumes atom ( $j::name$ )  $\# (i, A)$ 
and  $H \vdash All\ i\ (OrdP(Var\ i))\ IMP\ (All2\ j\ (Var\ i)\ (A(i:=Var\ j))\ IMP\ A))$ 
shows insert ( $OrdP(Var\ i)$ )  $H \vdash A$ 
by (metis assms thin1 Assume OrdInd)

```

### 3.7 Linearity of Ordinals

```

lemma OrdP-linear-lemma:
assumes  $j: atom\ j \# i$ 
shows {  $OrdP(Var\ i)$  }  $\vdash All\ j\ (OrdP(Var\ j))\ IMP\ (Var\ i\ IN\ Var\ j\ OR\ Var\ i\ EQ\ Var\ j\ OR\ Var\ j\ IN\ Var\ i))$ 
(is  $- \vdash ?scheme$ )
proof –
obtain  $k::name$  and  $l::name$  and  $m::name$ 
where  $k: atom\ k \# (i, j)$  and  $l: atom\ l \# (i, j, k)$  and  $m: atom\ m \# (i, j)$ 
by (metis obtain-fresh)
show ?thesis
proof (rule OrdIndH [where  $i=i$  and  $j=k$ ])
show atom  $k \# (i, ?scheme)$ 
using  $k$  by (force simp add: fresh-Pair)
next
show {}  $\vdash All\ i\ (OrdP(Var\ i))\ IMP\ (All2\ k\ (Var\ i)\ (?scheme(i:=Var\ k))\ IMP\ ?scheme))$ 
using  $j\ k$ 
apply simp
apply (rule All-I Imp-I)+
defer 1
apply auto [2]
apply (rule OrdIndH [where  $i=j$  and  $j=l$ ]) using  $l$ 
— nested induction
apply (force simp add: fresh-Pair)
apply simp
apply (rule All-I Imp-I)+
prefer 2 apply force
apply (rule Disj-3I)
apply (rule Equality-I)
— Now the opposite inclusion,  $Var\ j\ SUBS\ Var\ i$ 
apply (rule Subset-I [where  $i=m$ ])
apply (rule All2-E [THEN rotate4]) using  $l\ m$ 
apply auto

```

```

apply (blast intro: ContraProve [THEN rotate3] OrdP-Trans)
apply (blast intro: ContraProve [THEN rotate3] Mem-cong [OF Hyp Refl,
THEN Iff-MP2-same])
— Now the opposite inclusion, Var i SUBS Var j
apply (rule Subset-I [where i=m])
apply (rule All2-E [THEN rotate6], auto)
apply (rule All-E [where x = Var j], auto)
apply (blast intro: ContraProve [THEN rotate4] Mem-cong [OF Hyp Refl,
THEN Iff-MP-same])
apply (blast intro: ContraProve [THEN rotate4] OrdP-Trans)
done
qed
qed

lemma OrdP-linear-imp: {} ⊢ OrdP x IMP OrdP y IMP x IN y OR x EQ y OR y
IN x
proof –
obtain i::name and j::name
where atoms: atom i # (x,y) atom j # (x,y,i)
by (metis obtain-fresh)
have { OrdP (Var i) } ⊢ (OrdP (Var j) IMP (Var i IN Var j OR Var i EQ Var
j OR Var j IN Var i))(j::=y)
using atoms by (metis All-D OrdP-linear-lemma fresh-Pair)
hence {} ⊢ OrdP (Var i) IMP OrdP y IMP (Var i IN y OR Var i EQ y OR y
IN Var i)
using atoms by auto
hence {} ⊢ (OrdP (Var i) IMP OrdP y IMP (Var i IN y OR Var i EQ y OR y
IN Var i))(i::=x)
by (metis Subst empty-if)
thus ?thesis
using atoms by auto
qed

lemma OrdP-linear:
assumes H ⊢ OrdP x H ⊢ OrdP y
insert (x IN y) H ⊢ A insert (x EQ y) H ⊢ A insert (y IN x) H ⊢ A
shows H ⊢ A
proof –
have { OrdP x, OrdP y } ⊢ x IN y OR x EQ y OR y IN x
by (metis OrdP-linear-imp Imp-Imp-commute anti-deduction)
thus ?thesis
using assms by (metis cut2 Disj-E cut-same)
qed

lemma Zero-In-SUCC: {OrdP k} ⊢ Zero IN SUCC k
by (rule OrdP-linear [OF OrdP-Zero OrdP-SUCC-I]) (force simp: SUCC-def)+
```

### 3.8 The predicate $OrdNotEqP$

```

nominal-function OrdNotEqP :: tm  $\Rightarrow$  tm  $\Rightarrow$  fm (infixr <NEQ> 150)
  where OrdNotEqP x y = OrdP x AND OrdP y AND (x IN y OR y IN x)
  by (auto simp: eqvt-def OrdNotEqP-graph-aux-def)

nominal-termination (eqvt)
  by lexicographic-order

lemma OrdNotEqP-fresh-iff [simp]: a # OrdNotEqP x y  $\longleftrightarrow$  a # x  $\wedge$  a # y
  by auto

lemma eval-fm-OrdNotEqP [simp]: eval-fm e (OrdNotEqP x y)  $\longleftrightarrow$  Ord [x]e  $\wedge$ 
  Ord [y]e  $\wedge$  [x]e  $\neq$  [y]e
  by (auto simp: hmem-not-refl) (metis Ord-linear)

lemma OrdNotEqP-subst [simp]: (OrdNotEqP x y)(i:=t) = OrdNotEqP (subst i t
  x) (subst i t y)
  by simp

lemma OrdNotEqP-cong: H  $\vdash$  x EQ x'  $\implies$  H  $\vdash$  y EQ y'  $\implies$  H  $\vdash$  OrdNotEqP x
  y IFF OrdNotEqP x' y'
  by (rule P2-cong) auto

lemma OrdNotEqP-self-contra: {x NEQ x}  $\vdash$  Fls
  by auto

lemma OrdNotEqP-OrdP-E: insert (OrdP x) (insert (OrdP y) H)  $\vdash$  A  $\implies$  insert
  (x NEQ y) H  $\vdash$  A
  by (auto intro: thin1 rotate2)

lemma OrdNotEqP-I: insert (x EQ y) H  $\vdash$  Fls  $\implies$  H  $\vdash$  OrdP x  $\implies$  H  $\vdash$  OrdP
  y  $\implies$  H  $\vdash$  x NEQ y
  by (rule OrdP-linear [of - x y]) (auto intro: ExFalse thin1 Disj-I1 Disj-I2)

declare OrdNotEqP.simps [simp del]

lemma OrdNotEqP-imp-Neg-Eq: {x NEQ y}  $\vdash$  Neg (x EQ y)
  by (blast intro: OrdNotEqP-cong [THEN Iff-MP2-same] OrdNotEqP-self-contra
  [of x, THEN cut1])

lemma OrdNotEqP-E: H  $\vdash$  x EQ y  $\implies$  insert (x NEQ y) H  $\vdash$  A
  by (metis ContraProve OrdNotEqP-imp-Neg-Eq rcut1)

```

### 3.9 Predecessor of an Ordinal

```

lemma OrdP-set-max-lemma:
  assumes j: atom (j::name) # i and k: atom (k::name) # (i,j)
  shows {}  $\vdash$  (Neg (Var i EQ Zero) AND (All2 j (Var i) (OrdP (Var j)))) IMP

```

```


$$(Ex j (Var j IN Var i AND (All2 k (Var i) (Var k SUBS Var j))))$$


proof -
  obtain  $l::name$  where  $l: atom l \# (i,j,k)$ 
    by (metis obtain-fresh)
  show ?thesis
    apply (rule Ind [of  $l\ i$ ]) using  $j\ k\ l$ 
      apply simp-all
      apply (metis Conj-E Refl Swap Imp-I)
      apply (rule All-I Imp-I)+
        apply simp-all
        apply clarify
        apply (rule thin1)
        apply (rule thin1 [THEN rotate2])
        apply (rule Disj-EH)
        apply (rule Neg-Conj-E)
          apply (auto simp: All2-Eats-E1)
        apply (rule Ex-I [where  $x=Var\ l$ ], auto intro: Mem-Eats-I2)
          apply (metis Assume Eq-Zero-D rotate3)
        apply (metis Assume EQ-imp-SUBS Neg-D thin1)
        apply (rule Cases [where  $A = Var\ j\ IN\ Var\ l$ ])
        apply (rule Ex-I [where  $x=Var\ l$ ], auto intro: Mem-Eats-I2)
          apply (rule Ex-I [where  $x=Var\ l$ ], auto intro: Mem-Eats-I2 ContraProve)
            apply (rule Ex-I [where  $x=Var\ k$ ], auto)
              apply (metis Assume Subset-trans OrdP-Mem-imp-Subset thin1)
            apply (rule Ex-I [where  $x=Var\ l$ ], auto intro: Mem-Eats-I2 ContraProve)
              apply (metis ContraProve EQ-imp-SUBS rotate3)
              — final case
            apply (rule All2-Eats-E [THEN rotate4], simp-all)
            apply (rule Ex-I [where  $x=Var\ j$ ], auto intro: Mem-Eats-I1)
              apply (rule All2-E [where  $x = Var\ k$ , THEN rotate3], auto)
                apply (rule Ex-I [where  $x=Var\ k$ ], simp)
                  apply (metis Assume NegNeg-I Neg-Disj-I rotate3)
                  apply (rule cut-same [where  $A = OrdP (Var\ j)$ ])
                    apply (rule All2-E [where  $x = Var\ j$ , THEN rotate3], auto)
                    apply (rule cut-same [where  $A = Var\ l\ EQ\ Var\ j\ OR\ Var\ l\ IN\ Var\ j$ ])
                      apply (rule OrdP-linear [of - Var l Var j], auto intro: Disj-CI)
                        apply (metis Assume ContraProve rotate7)
                        apply (metis ContraProve [THEN rotate4] EQ-imp-SUBS Subset-trans rotate3)
                        apply (blast intro: ContraProve [THEN rotate4] OrdP-Mem-imp-Subset Iff-MP2-same
[OF Mem-cong])
                      done
                    qed

lemma OrdP-max-imp:
  assumes  $j: atom\ j \# (x)$  and  $k: atom\ k \# (x,j)$ 
  shows { OrdP x, Neg (x EQ Zero) }  $\vdash$  Ex j (Var j IN x AND (All2 k x (Var k
SUBS Var j)))
proof -
  obtain  $i::name$  where  $i: atom\ i \# (x,j,k)$ 

```

```

by (metis obtain-fresh)
have {} ⊢ ((Neg (Var i EQ Zero) AND (All2 j (Var i) (OrdP (Var j)))) IMP
            (Ex j (Var j IN Var i AND (All2 k (Var i) (Var k SUBS Var j))))) (i:=x))
apply (rule Subst [OF OrdP-set-max-lemma])
using i k apply auto
done
hence { Neg (x EQ Zero) AND (All2 j x (OrdP (Var j))) }
      ⊢ Ex j (Var j IN x AND (All2 k x (Var k SUBS Var j)))
using i j k by simp (metis anti-deduction)
hence { All2 j x (OrdP (Var j)), Neg (x EQ Zero) }
      ⊢ Ex j (Var j IN x AND (All2 k x (Var k SUBS Var j)))
by (rule cut1) (metis Assume Conj-I thin1)
moreover have { OrdP x } ⊢ All2 j x (OrdP (Var j)) using j
by auto (metis Assume Ord-IN-Ord thin1)
ultimately show ?thesis
by (metis rcut1)
qed

declare OrdP.simps [simp del]

```

### 3.10 Case Analysis and Zero/SUCC Induction

```

lemma OrdP-cases-lemma:
assumes p: atom p # x
shows { OrdP x, Neg (x EQ Zero) } ⊢ Ex p (OrdP (Var p) AND x EQ SUCC
(Var p))
proof -
obtain j::name and k::name where j: atom j # (x,p) and k: atom k # (x,j,p)
by (metis obtain-fresh)
show ?thesis
apply (rule cut-same [OF OrdP-max-imp [of j x k]])
using p j k apply auto
apply (rule Ex-I [where x=Var j], auto)
apply (metis Assume Ord-IN-Ord thin1)
apply (rule cut-same [where A = OrdP (SUCC (Var j))])
apply (metis Assume Ord-IN-Ord0 OrdP-SUCC-I rotate2 thin1)
apply (rule OrdP-linear [where x = x, OF - Assume], auto intro!: Mem-SUCC-EH)
apply (metis Mem-not-sym rotate3)
apply (rule Mem-non-refl, blast intro: Mem-cong [OF Assume Refl, THEN
Iff-MP2-same])
apply (force intro: thin1 All2-E [where x = SUCC (Var j), THEN rotate4])
done
qed

lemma OrdP-cases-disj:
assumes p: atom p # x
shows insert (OrdP x) H ⊢ x EQ Zero OR Ex p (OrdP (Var p) AND x EQ
SUCC (Var p))
by (metis Disj-CI Assume cut2 [OF OrdP-cases-lemma [OF p]] Swap thin1)

```

```

lemma OrdP-cases-E:
   $\llbracket \text{insert } (x \text{ EQ Zero}) H \vdash A;$ 
   $\text{insert } (x \text{ EQ SUCC } (\text{Var } k)) (\text{insert } (\text{OrdP } (\text{Var } k)) H) \vdash A;$ 
   $\text{atom } k \notin (x, A); \forall C \in H. \text{atom } k \notin C \rrbracket$ 
 $\implies \text{insert } (\text{OrdP } x) H \vdash A$ 
by (rule cut-same [OF OrdP-cases-disj [of k]]) (auto simp: insert-commute intro: thin1)

lemma OrdInd2-lemma:
  { OrdP (Var i), A(i ::= Zero), (All i (OrdP (Var i)) IMP A IMP (A(i ::= SUCC (Var i)))) } ⊢ A
proof –
  obtain j::name and k::name where atoms: atom j # (i,A) atom k # (i,j,A)
  by (metis obtain-fresh)
  show ?thesis
    apply (rule OrdIndH [where i=i and j=j])
    using atoms apply auto
    apply (rule OrdP-cases-E [where k=k, THEN rotate3])
      apply (rule ContraProve [THEN rotate2]) using Var-Eq-imp-subst-Iff
      apply (metis Assume AssumeH(3) Iff-MP-same)
      apply (rule Ex-I [where x=Var k], simp)
      apply (rule Neg-Imp-I, blast)
      apply (rule cut-same [where A = A(i ::= Var k)])
        apply (rule All2-E [where x = Var k, THEN rotate5])
        apply (auto intro: Mem-SUCC-I2 Mem-cong [OF Refl, THEN Iff-MP2-same])
      apply (rule ContraProve [THEN rotate5])
      by (metis Assume Iff-MP-left' Var-Eq-subst-Iff thin1)
  qed

lemma OrdInd2:
  assumes H ⊢ OrdP (Var i)
  and H ⊢ A(i ::= Zero)
  and H ⊢ All i (OrdP (Var i)) IMP A IMP (A(i ::= SUCC (Var i)))
  shows H ⊢ A
  by (metis cut3 [OF OrdInd2-lemma] assms)

lemma OrdInd2H:
  assumes H ⊢ A(i ::= Zero)
  and H ⊢ All i (OrdP (Var i)) IMP A IMP (A(i ::= SUCC (Var i)))
  shows insert (OrdP (Var i)) H ⊢ A
  by (metis assms thin1 Assume OrdInd2)

```

### 3.11 The predicate *HFun-Sigma*

To characterise the concept of a function using only bounded universal quantifiers.

See the note after the proof of Lemma 2.3.

**definition** *hfun-sigma where*  
*hfun-sigma r*  $\equiv \forall z \in r. \forall z' \in r. \exists x y x' y'. z = \langle x,y \rangle \wedge z' = \langle x',y' \rangle \wedge (x=x' \longrightarrow y=y')$

**definition** *hfun-sigma-ord where*  
*hfun-sigma-ord r*  $\equiv \forall z \in r. \forall z' \in r. \exists x y x' y'. z = \langle x,y \rangle \wedge z' = \langle x',y' \rangle \wedge Ord x \wedge Ord x' \wedge (x=x' \longrightarrow y=y')$

**nominal-function** *HFun-Sigma :: tm  $\Rightarrow$  fm*  
**where**  $\llbracket atom z \# (r,z',x,y,x',y'); atom z' \# (r,x,y,x',y'); atom x \# (r,y,x',y'); atom y \# (r,x',y'); atom x' \# (r,y'); atom y' \# (r) \rrbracket$   
 $\implies$   
*HFun-Sigma r*  $=$   
 $All2 z r (All2 z' r (Ex x (Ex y (Ex x' (Ex y'  
 $(Var z EQ HPair (Var x) (Var y) AND Var z' EQ HPair (Var x') (Var  
y')  
AND OrdP (Var x) AND OrdP (Var x') AND  
((Var x EQ Var x') IMP (Var y EQ Var y'))))))))$   
**by** (auto simp: eqvt-def HFun-Sigma-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)$

**nominal-termination** (*eqvt*)  
**by** lexicographic-order

**lemma**  
**shows** *HFun-Sigma-fresh-iff* [simp]:  $a \# HFun-Sigma r \longleftrightarrow a \# r$  (**is** ?thesis1)  
**and eval-fm-HFun-Sigma** [simp]:  
 $eval-fm e (HFun-Sigma r) \longleftrightarrow hfun-sigma-ord \llbracket r \rrbracket e$  (**is** ?thesis2)

**proof –**  
**obtain** *x::name and y::name and z::name and x'::name and y'::name and z'::name*  
**where**  $atom z \# (r,z',x,y,x',y') atom z' \# (r,x,y,x',y')$   
 $atom x \# (r,y,x',y') atom y \# (r,x',y')$   
 $atom x' \# (r,y') atom y' \# (r)$   
**by** (metis obtain-fresh)  
**thus** ?thesis1 ?thesis2  
**by** (auto simp: HBall-def hfun-sigma-ord-def, metis+)  
**qed**

**lemma** *HFun-Sigma-subst* [simp]:  $(HFun-Sigma r)(i:=t) = HFun-Sigma (subst i t r)$   
**proof –**  
**obtain** *x::name and y::name and z::name and x'::name and y'::name and z'::name*  
**where**  $atom z \# (r,t,i,z',x,y,x',y') atom z' \# (r,t,i,x,y,x',y')$   
 $atom x \# (r,t,i,y,x',y') atom y \# (r,t,i,x',y')$   
 $atom x' \# (r,t,i,y') atom y' \# (r,t,i)$   
**by** (metis obtain-fresh)  
**thus** ?thesis  
**by** (auto simp: HFun-Sigma.simps [of z - z' x y x' y'])

qed

**lemma** *HFun-Sigma-Zero*:  $H \vdash \text{HFun-Sigma Zero}$

**proof** –

obtain  $x::\text{name}$  and  $y::\text{name}$  and  $z::\text{name}$  and  $x':::\text{name}$  and  $y':::\text{name}$  and  $z':::\text{name}$  and  $z'':::\text{name}$

where atom  $z'' \# (z, z', x, y, x', y')$  atom  $z \# (z', x, y, x', y')$  atom  $z' \# (x, y, x', y')$

atom  $x \# (y, x', y')$  atom  $y \# (x', y')$  atom  $x' \# y'$

by (metis obtain-fresh)

hence  $\{\} \vdash \text{HFun-Sigma Zero}$

by (auto simp: *HFun-Sigma.simps* [of  $z - z' x y x' y'$ ])

thus ?thesis

by (metis thin0)

qed

**lemma** *Subset-HFun-Sigma*:  $\{\text{HFun-Sigma } s, s' \text{ SUBS } s\} \vdash \text{HFun-Sigma } s'$

**proof** –

obtain  $x::\text{name}$  and  $y::\text{name}$  and  $z::\text{name}$  and  $x':::\text{name}$  and  $y':::\text{name}$  and  $z':::\text{name}$  and  $z'':::\text{name}$

where atom  $z'' \# (z, z', x, y, x', y', s, s')$

atom  $z \# (z', x, y, x', y', s, s')$  atom  $z' \# (x, y, x', y', s, s')$

atom  $x \# (y, x', y', s, s')$  atom  $y \# (x', y', s, s')$

atom  $x' \# (y', s, s')$  atom  $y' \# (s, s')$

by (metis obtain-fresh)

thus ?thesis

apply (auto simp: *HFun-Sigma.simps* [of  $z - z' x y x' y'$ ])

apply (rule Ex-I [where  $x = \text{Var } z$ ], auto)

apply (blast intro: *Subset-D ContraProve*)

apply (rule All-E [where  $x = \text{Var } z'$ ], auto intro: *Subset-D ContraProve*)

done

qed

Captures the property of being a relation, using fewer variables than the full definition

**lemma** *HFun-Sigma-Mem-imp-HPair*:

assumes  $H \vdash \text{HFun-Sigma } r H \vdash a \text{ IN } r$

and  $xy$ : atom  $x \# (y, a, r)$  atom  $y \# (a, r)$

shows  $H \vdash (\text{Ex } x (\text{Ex } y (a \text{ EQ } \text{HPair} (\text{Var } x) (\text{Var } y))))$  (is -  $\vdash ?\text{concl}$ )

**proof** –

obtain  $x':::\text{name}$  and  $y':::\text{name}$  and  $z::\text{name}$  and  $z':::\text{name}$

where atoms: atom  $z \# (z', x', y', x, y, a, r)$  atom  $z' \# (x', y', x, y, a, r)$

atom  $x' \# (y', x, y, a, r)$  atom  $y' \# (x, y, a, r)$

by (metis obtain-fresh)

hence  $\{\text{HFun-Sigma } r, a \text{ IN } r\} \vdash ?\text{concl}$  using  $xy$

apply (auto simp: *HFun-Sigma.simps* [of  $z \ r \ z' \ x \ y \ x' \ y'$ ])

apply (rule All-E [where  $x = a$ ], auto)

apply (rule All-E [where  $x = a$ ], simp)

apply (rule Imp-E, blast)

apply (rule Ex-EH Conj-EH)+ apply simp-all

```

apply (rule Ex-I [where  $x = \text{Var } x$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } y$ ], auto)
done
thus ?thesis
  by (rule cut2) (rule assms) +
qed

```

### 3.12 The predicate $H\text{Domain-Incl}$

This is an internal version of  $\forall x \in d. \exists y z. z \in r \wedge z = \langle x, y \rangle$ .

```

nominal-function HDomain-Incl :: tm  $\Rightarrow$  tm  $\Rightarrow$  fm
  where  $\llbracket \text{atom } x \# (r, d, y, z); \text{atom } y \# (r, d, z); \text{atom } z \# (r, d) \rrbracket \implies$ 
     $H\text{Domain-Incl } r d = \text{All2 } x d (\text{Ex } y (\text{Ex } z (\text{Var } z \text{ IN } r \text{ AND } \text{Var } z \text{ EQ } \text{HPair} (\text{Var } x) (\text{Var } y))))$ 
    by (auto simp: eqvt-def HDomain-Incl-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

```

nominal-termination (eqvt)
  by lexicographic-order

```

**lemma**

```

shows HDomain-Incl-fresh-iff [simp]:
   $a \# H\text{Domain-Incl } r d \longleftrightarrow a \# r \wedge a \# d$  (is ?thesis1)
  and eval-fm-HDomain-Incl [simp]:
    eval-fm e (HDomain-Incl r d)  $\longleftrightarrow \llbracket d \rrbracket e \leq \text{hdomain } \llbracket r \rrbracket e$  (is ?thesis2)

```

**proof -**

```

obtain x::name and y::name and z::name
  where atom x # (r, d, y, z) atom y # (r, d, z) atom z # (r, d)
    by (metis obtain-fresh)
thus ?thesis1 ?thesis2
  by (auto simp: HDomain-Incl.simps [of x - - y z] hdomain-def)
qed

```

**lemma** HDomain-Incl-subst [simp]:

```

 $(H\text{Domain-Incl } r d)(i ::= t) = H\text{Domain-Incl } (\text{subst } i t r) (\text{subst } i t d)$ 

```

**proof -**

```

obtain x::name and y::name and z::name
  where atom x # (r, d, y, z, t, i) atom y # (r, d, z, t, i) atom z # (r, d, t, i)
    by (metis obtain-fresh)
thus ?thesis
  by (auto simp: HDomain-Incl.simps [of x - - y z])
qed

```

**lemma** HDomain-Incl-Subset-lemma: {  $H\text{Domain-Incl } r k, k' \text{ SUBS } k$  }  $\vdash H\text{Domain-Incl } r k'$

**proof -**

```

obtain x::name and y::name and z::name
  where atom x # (r, k, k', y, z) atom y # (r, k, k', z) atom z # (r, k, k')

```

```

by (metis obtain-fresh)
thus ?thesis
apply (simp add: HDomain-Incl.simps [of x - - y z], auto)
apply (rule Ex-I [where x = Var x], auto intro: ContraProve Subset-D)
done
qed

lemma HDomain-Incl-Subset:  $H \vdash \text{HDomain-Incl } r k \implies H \vdash k' \text{ SUBS } k \implies H$ 
 $\vdash \text{HDomain-Incl } r k'$ 
by (metis HDomain-Incl-Subset-lemma cut2)

lemma HDomain-Incl-Mem-Ord:  $H \vdash \text{HDomain-Incl } r k \implies H \vdash k' \text{ IN } k \implies H$ 
 $\vdash \text{OrdP } k \implies H \vdash \text{HDomain-Incl } r k'$ 
by (metis HDomain-Incl-Subset OrdP-Mem-imp-Subset)

lemma HDomain-Incl-Zero [simp]:  $H \vdash \text{HDomain-Incl } r \text{ Zero}$ 
proof -
obtain x::name and y::name and z::name
  where atom x # (r,y,z) atom y # (r,z) atom z # r
    by (metis obtain-fresh)
hence {} ⊢ HDomain-Incl r Zero
  by (auto simp: HDomain-Incl.simps [of x - - y z])
thus ?thesis
  by (metis thin0)
qed

lemma HDomain-Incl-Eats: { HDomain-Incl r d } ⊢ HDomain-Incl (Eats r (HPair d d')) (SUCC d)
proof -
obtain x::name and y::name and z::name
  where x: atom x # (r,d,d',y,z) and y: atom y # (r,d,d',z) and z: atom z # (r,d,d')
    by (metis obtain-fresh)
thus ?thesis
  apply (auto simp: HDomain-Incl.simps [of x - - y z] intro!: Mem-SUCC-EH)
  apply (rule Ex-I [where x = Var x], auto)
  apply (rule Ex-I [where x = Var y], auto)
  apply (rule Ex-I [where x = Var z], auto intro: Mem-Eats-I1)
  apply (rule rotate2 [OF Swap])
  apply (rule Ex-I [where x = d'], auto)
  apply (rule Ex-I [where x = HPair d d'], auto intro: Mem-Eats-I2 HPair-cong Sym)
done
qed

lemma HDomain-Incl-Eats-I:  $H \vdash \text{HDomain-Incl } r d \implies H \vdash \text{HDomain-Incl } (\text{Eats } r (\text{HPair } d d')) (\text{SUCC } d)$ 
by (metis HDomain-Incl-Eats cut1)

```

### 3.13 HPair is Provably Injective

```

lemma Doubleton-E:
  assumes insert (a EQ c) (insert (b EQ d) H) ⊢ A
    insert (a EQ d) (insert (b EQ c) H) ⊢ A
  shows insert ((Eats (Eats Zero b) a) EQ (Eats (Eats Zero d) c)) H ⊢ A
  apply (rule Equality-E) using assms
  apply (auto intro!: Zero-SubsetE rotate2 [of a IN b])
  apply (rule-tac [|] rotate3)
  apply (auto intro!: Zero-SubsetE rotate2 [of a IN b])
  apply (metis Sym-L insert-commute thin1)+
  done

lemma HFST: {HPair a b EQ HPair c d} ⊢ a EQ c
  unfolding HPair-def by (metis Assume Doubleton-E thin1)

lemma b-EQ-d-1: {a EQ c, a EQ d, b EQ c} ⊢ b EQ d
  by (metis Assume thin1 Sym Trans)

lemma HSND: {HPair a b EQ HPair c d} ⊢ b EQ d
  unfolding HPair-def
  by (metis AssumeH(2) Doubleton-E b-EQ-d-1 rotate3 thin2)

lemma HPair-E [intro!]:
  assumes insert (a EQ c) (insert (b EQ d) H) ⊢ A
  shows insert (HPair a b EQ HPair c d) H ⊢ A
  by (metis Conj-E [OF assms] Conj-I [OF HFST HSND] rcut1)

declare HPair-E [THEN rotate2, intro!]
declare HPair-E [THEN rotate3, intro!]
declare HPair-E [THEN rotate4, intro!]
declare HPair-E [THEN rotate5, intro!]
declare HPair-E [THEN rotate6, intro!]
declare HPair-E [THEN rotate7, intro!]
declare HPair-E [THEN rotate8, intro!]

lemma HFun-Sigma-E:
  assumes r: H ⊢ HFun-Sigma r
    and b: H ⊢ HPair a b IN r
    and b': H ⊢ HPair a b' IN r
  shows H ⊢ b EQ b'
  proof –
    obtain x::name and y::name and z::name and x'::name and y'::name and z'::name
    where atoms: atom z # (r,a,b,b',z',x,y,x',y') atom z' # (r,a,b,b',x,y,x',y')
      atom x # (r,a,b,b',y,x',y') atom y # (r,a,b,b',x',y')
      atom x' # (r,a,b,b',y') atom y' # (r,a,b,b')
    by (metis obtain-fresh)
  hence d1: H ⊢ All2 z r (All2 z' r (Ex x (Ex y (Ex x' (Ex y'
```

```

(Var z EQ HPair (Var x) (Var y) AND Var z' EQ HPair (Var x')
(Var y')
    AND OrdP (Var x) AND OrdP (Var x') AND ((Var x EQ Var x')
IMP (Var y EQ Var y')))))))
    using r HFun-Sigma.simps [of z r z' x y x' y']
    by simp
have d2: H ⊢ All2 z' r (Ex x (Ex y (Ex x' (Ex y'
    (HPair a b EQ HPair (Var x) (Var y) AND Var z' EQ HPair (Var x')
(Var y')
    AND OrdP (Var x) AND OrdP (Var x') AND ((Var x EQ Var x'
IMP (Var y EQ Var y')))))))
    using All-D [where x = HPair a b, OF d1] atoms
    by simp (metis MP-same b)
have d4: H ⊢ Ex x (Ex y (Ex x' (Ex y'
    (HPair a b EQ HPair (Var x) (Var y) AND HPair a b' EQ HPair (Var
x') (Var y')
    AND OrdP (Var x) AND OrdP (Var x') AND ((Var x EQ Var x'
IMP (Var y EQ Var y')))))
    using All-D [where x = HPair a b', OF d2] atoms
    by simp (metis MP-same b')
have d': { Ex x (Ex y (Ex x' (Ex y'
    (HPair a b EQ HPair (Var x) (Var y) AND HPair a b' EQ HPair (Var
x') (Var y')
    AND OrdP (Var x) AND OrdP (Var x') AND ((Var x EQ Var x') IMP
(Var y EQ Var y'))))} ⊢ b EQ b'
    using atoms
    by (auto intro: ContraProve Trans Sym)
thus ?thesis
    by (rule cut-thin [OF d4], auto)
qed

```

### 3.14 SUCC is Provably Injective

```

lemma SUCC-SUBS-lemma: {SUCC x SUBS SUCC y} ⊢ x SUBS y
apply (rule obtain-fresh [where x=(x,y)])
apply (auto simp: SUCC-def)
prefer 2 apply (metis Assume Conj-E1 Extensionality Iff-MP-same)
apply (auto intro!: Subset-I)
apply (blast intro: Set-MP cut-same [OF Mem-cong [OF Refl Assume, THEN
Iff-MP2-same]]]
        Mem-not-sym thin2)
done

lemma SUCC-SUBS: insert (SUCC x SUBS SUCC y) H ⊢ x SUBS y
by (metis Assume SUCC-SUBS-lemma cut1)

lemma SUCC-inject: insert (SUCC x EQ SUCC y) H ⊢ x EQ y
by (metis Equality-I EQ-imp-SUBS SUCC-SUBS Sym-L cut1)

```

```

lemma SUCC-inject-E [intro!]: insert (x EQ y) H ⊢ A ==> insert (SUCC x EQ
SUCC y) H ⊢ A
by (metis SUCC-inject cut-same insert-commute thin1)

declare SUCC-inject-E [THEN rotate2, intro!]
declare SUCC-inject-E [THEN rotate3, intro!]
declare SUCC-inject-E [THEN rotate4, intro!]
declare SUCC-inject-E [THEN rotate5, intro!]
declare SUCC-inject-E [THEN rotate6, intro!]
declare SUCC-inject-E [THEN rotate7, intro!]
declare SUCC-inject-E [THEN rotate8, intro!]

lemma OrdP-IN-SUCC-lemma: {OrdP x, y IN x} ⊢ SUCC y IN SUCC x
apply (rule OrdP-linear [of - SUCC x SUCC y])
apply (auto intro!: Mem-SUCC-EH intro: OrdP-SUCC-I Ord-IN-Ord0)
apply (metis Hyp Mem-SUCC-I1 Mem-not-sym cut-same insertCI)
apply (metis Assume EQ-imp-SUBS Mem-SUCC-I1 Mem-non-refl Subset-D
thin1)
apply (blast intro: cut-same [OF Mem-cong [THEN Iff-MP2-same]])
done

lemma OrdP-IN-SUCC: H ⊢ OrdP x ==> H ⊢ y IN x ==> H ⊢ SUCC y IN SUCC
x
by (rule cut2 [OF OrdP-IN-SUCC-lemma])

lemma OrdP-IN-SUCC-D-lemma: {OrdP x, SUCC y IN SUCC x} ⊢ y IN x
apply (rule OrdP-linear [of - x y], auto)
apply (metis Assume AssumeH(2) Mem-SUCC-Refl OrdP-SUCC-I Ord-IN-Ord)
apply (metis Assume EQ-imp-SUBS Mem-Eats-EH(2) Mem-SUCC-Refl OrdP-Mem-imp-Subset
SUCC-def Subset-D thin1)
by (meson Assume EQ-imp-SUBS Mem-SUCC-E Mem-SUCC-Refl OrdP-Mem-imp-Subset
Subset-D rotate3)

lemma OrdP-IN-SUCC-D: H ⊢ OrdP x ==> H ⊢ SUCC y IN SUCC x ==> H ⊢ y
IN x
by (rule cut2 [OF OrdP-IN-SUCC-D-lemma])

lemma OrdP-IN-SUCC-Iff: H ⊢ OrdP y ==> H ⊢ SUCC x IN SUCC y IFF x IN
y
by (metis Assume Iff-I OrdP-IN-SUCC OrdP-IN-SUCC-D thin1)

```

### 3.15 The predicate *LstSeqP*

```

lemma hfun-sigma-ord-iff: hfun-sigma-ord s <→ OrdDom s ∧ hfun-sigma s
by (auto simp: hfun-sigma-ord-def OrdDom-def hfun-sigma-def HBall-def, me-
tis+)

lemma hfun-sigma-iff: hfun-sigma r <→ hfunction r ∧ hrelation r
by (auto simp add: HBall-def hfun-sigma-def hfunction-def hrelation-def is-hpair-def,

```

*metis+)*

**lemma** *Seq-iff*:  $\text{Seq } r \ d \longleftrightarrow d \leq \text{hdomain } r \wedge \text{hfun-sigma } r$   
**by** (*auto simp*: *Seq-def hfun-sigma-iff*)

**lemma** *LstSeq-iff*:  $\text{LstSeq } s \ k \ y \longleftrightarrow \text{succ } k \leq \text{hdomain } s \wedge \langle k, y \rangle \in s \wedge \text{hfun-sigma-ord}_s$   
**by** (*auto simp*: *OrdDom-def LstSeq-def Seq-iff hfun-sigma-ord-iff*)

**nominal-function** *LstSeqP* ::  $tm \Rightarrow tm \Rightarrow tm \Rightarrow fm$   
**where**

$\text{LstSeqP } s \ k \ y = \text{OrdP } k \text{ AND } \text{HDomain-Incl } s (\text{SUCC } k) \text{ AND } \text{HFun-Sigma } s$   
 $\text{AND } \text{HPair } k \ y \text{ IN } s$   
**by** (*auto simp*: *eqvt-def LstSeqP-graph-aux-def*)

**nominal-termination** (*eqvt*)  
**by** *lexicographic-order*

**lemma**

**shows** *LstSeqP-fresh-iff* [*simp*]:  
 $a \notin \text{LstSeqP } s \ k \ y \longleftrightarrow a \notin s \wedge a \notin k \wedge a \notin y$  (is ?thesis1)  
**and** *eval-fm-LstSeqP* [*simp*]:  
 $\text{eval-fm } e (\text{LstSeqP } s \ k \ y) \longleftrightarrow \text{LstSeq } [s]e [k]e [y]e$  (is ?thesis2)

**proof** –

**show** ?thesis1 ?thesis2  
**by** (*auto simp*: *LstSeq-iff OrdDom-def hfun-sigma-ord-iff*)

**qed**

**lemma** *LstSeqP-subst* [*simp*]:  
 $(\text{LstSeqP } s \ k \ y)(i ::= t) = \text{LstSeqP } (\text{subst } i \ t \ s) (\text{subst } i \ t \ k) (\text{subst } i \ t \ y)$   
**by** (*auto simp*: *fresh-Pair fresh-at-base*)

**lemma** *LstSeqP-E*:

**assumes** *insert* (*HDomain-Incl* *s* (*SUCC* *k*))  
 $(\text{insert } (\text{OrdP } k) (\text{insert } (\text{HFun-Sigma } s)$   
 $(\text{insert } (\text{HPair } k \ y \text{ IN } s) H))) \vdash B$   
**shows** *insert* (*LstSeqP* *s* *k* *y*) *H*  $\vdash B$   
**using** *assms* **by** (*auto simp*: *insert-commute*)

**declare** *LstSeqP.simps* [*simp del*]

**lemma** *LstSeqP-cong*:

**assumes** *H*  $\vdash s \text{ EQ } s' \ H \vdash k \text{ EQ } k' \ H \vdash y \text{ EQ } y'$   
**shows** *H*  $\vdash \text{LstSeqP } s \ k \ y \text{ IFF } \text{LstSeqP } s' \ k' \ y'$   
**by** (*rule P3-cong [OF - assms], auto*)

**lemma** *LstSeqP-OrdP*: *H*  $\vdash \text{LstSeqP } r \ k \ y \implies H \vdash \text{OrdP } k$   
**by** (*metis Conj-E1 LstSeqP.simps*)

```

lemma LstSeqP-Mem-lemma: { LstSeqP r k y, HPair k' z IN r, k' IN k } ⊢ LstSeqP
r k' z
  by (auto simp: LstSeqP.simps intro: Ord-IN-Ord OrdP-SUCC-I OrdP-IN-SUCC
HDomain-Incl-Mem-Ord)

lemma LstSeqP-Mem: H ⊢ LstSeqP r k y ==> H ⊢ HPair k' z IN r ==> H ⊢ k'
IN k ==> H ⊢ LstSeqP r k' z
  by (rule cut3 [OF LstSeqP-Mem-lemma])

lemma LstSeqP-imp-Mem: H ⊢ LstSeqP s k y ==> H ⊢ HPair k y IN s
  by (auto simp: LstSeqP.simps) (metis Conj-E2)

lemma LstSeqP-SUCC: H ⊢ LstSeqP r (SUCC d) y ==> H ⊢ HPair d z IN r ==>
H ⊢ LstSeqP r d z
  by (metis LstSeqP-Mem Mem-SUCC-I2 Refl)

lemma LstSeqP-EQ: [[H ⊢ LstSeqP s k y; H ⊢ HPair k y' IN s]] ==> H ⊢ y EQ y'
  by (metis AssumeH(2) HFun-Sigma-E LstSeqP-E cut1 insert-commute)

end

```

## Kapitel 4

# Sigma-Formulas and Theorem 2.5

```
theory Sigma
imports Predicates
begin
```

### 4.1 Ground Terms and Formulas

```
definition ground-aux :: tm ⇒ atom set ⇒ bool
  where ground-aux t S ≡ (supp t ⊆ S)
```

```
abbreviation ground :: tm ⇒ bool
  where ground t ≡ ground-aux t {}
```

```
definition ground-fm-aux :: fm ⇒ atom set ⇒ bool
  where ground-fm-aux A S ≡ (supp A ⊆ S)
```

```
abbreviation ground-fm :: fm ⇒ bool
  where ground-fm A ≡ ground-fm-aux A {}
```

```
lemma ground-aux-simps[simp]:
  ground-aux Zero S = True
  ground-aux (Var k) S = (if atom k ∈ S then True else False)
  ground-aux (Eats t u) S = (ground-aux t S ∧ ground-aux u S)
  unfolding ground-aux-def
  by (simp-all add: supp-at-base)
```

```
lemma ground-fm-aux-simps[simp]:
  ground-fm-aux Fls S = True
  ground-fm-aux (t IN u) S = (ground-aux t S ∧ ground-aux u S)
  ground-fm-aux (t EQ u) S = (ground-aux t S ∧ ground-aux u S)
  ground-fm-aux (A OR B) S = (ground-fm-aux A S ∧ ground-fm-aux B S)
  ground-fm-aux (A AND B) S = (ground-fm-aux A S ∧ ground-fm-aux B S)
```

```

ground-fm-aux ( $A \text{ IFF } B$ )  $S = (\text{ground-fm-aux } A \ S \wedge \text{ground-fm-aux } B \ S)$ 
ground-fm-aux ( $\text{Neg } A$ )  $S = (\text{ground-fm-aux } A \ S)$ 
ground-fm-aux ( $\text{Ex } x \ A$ )  $S = (\text{ground-fm-aux } A \ (S \cup \{\text{atom } x\}))$ 
by (auto simp: ground-fm-aux-def ground-aux-def supp-conv-fresh)

```

```

lemma ground-fresh[simp]:
  ground  $t \implies \text{atom } i \notin t$ 
  ground-fm  $A \implies \text{atom } i \notin A$ 
unfolding ground-aux-def ground-fm-aux-def fresh-def
by simp-all

```

## 4.2 Sigma Formulas

Section 2 material

### 4.2.1 Strict Sigma Formulas

Definition 2.1

```

inductive ss-fm ::  $fm \Rightarrow \text{bool}$  where
  MemI: ss-fm ( $\text{Var } i \text{ IN } \text{Var } j$ )
  | DisjI: ss-fm  $A \implies \text{ss-fm } B \implies \text{ss-fm } (A \text{ OR } B)$ 
  | ConjI: ss-fm  $A \implies \text{ss-fm } B \implies \text{ss-fm } (A \text{ AND } B)$ 
  | ExI: ss-fm  $A \implies \text{ss-fm } (\text{Ex } i \ A)$ 
  | All2I: ss-fm  $A \implies \text{atom } j \notin (i, A) \implies \text{ss-fm } (\text{All2 } i \ (\text{Var } j) \ A)$ 

```

**equivariance** *ss-fm*

```

nominal-inductive ss-fm
  avoids ExI:  $i \mid \text{All2I}$ :  $i$ 
  by (simp-all add: fresh-star-def)

```

**declare** *ss-fm.intros* [*intro*]

```

definition Sigma-fm ::  $fm \Rightarrow \text{bool}$ 
  where Sigma-fm  $A \longleftrightarrow (\exists B. \text{ss-fm } B \wedge \text{supp } B \subseteq \text{supp } A \wedge \{\} \vdash A \text{ IFF } B)$ 

```

```

lemma Sigma-fm-Iff:  $\llbracket \{\} \vdash B \text{ IFF } A; \text{supp } A \subseteq \text{supp } B; \text{Sigma-fm } A \rrbracket \implies \text{Sigma-fm } B$ 
  by (metis Sigma-fm-def Iff-trans order-trans)

```

```

lemma ss-fm-imp-Sigma-fm [intro]: ss-fm  $A \implies \text{Sigma-fm } A$ 
  by (metis Iff-refl Sigma-fm-def order-refl)

```

```

lemma Sigma-fm-Fls [iff]: Sigma-fm Fls
  by (rule Sigma-fm-Iff [of - Ex i (Var i IN Var i)]) auto

```

#### 4.2.2 Closure properties for Sigma-formulas

**lemma**

assumes *Sigma-fm A Sigma-fm B*  
shows *Sigma-fm-AND [intro!]: Sigma-fm (A AND B)*  
and *Sigma-fm-OR [intro!]: Sigma-fm (A OR B)*  
and *Sigma-fm-Ex [intro!]: Sigma-fm (Ex i A)*

**proof –**

obtain *SA SB where ss-fm SA {} ⊢ A IFF SA supp SA ⊆ supp A*  
and *ss-fm SB {} ⊢ B IFF SB supp SB ⊆ supp B*  
using *assms by (auto simp add: Sigma-fm-def)*  
then show *Sigma-fm (A AND B) Sigma-fm (A OR B) Sigma-fm (Ex i A)*  
apply *(auto simp: Sigma-fm-def)*  
apply *(metis ss-fm.ConjI Conj-cong Un-mono supp-Conj)*  
apply *(metis ss-fm.DisjI Disj-cong Un-mono fm.supp(3))*  
apply *(rule exI [where x = Ex i SA])*  
apply *(auto intro!: Ex-cong)*  
done

qed

**lemma** *Sigma-fm-All2-Var:*

assumes *H0: Sigma-fm A and ij: atom j # (i,A)*  
shows *Sigma-fm (All2 i (Var j) A)*

**proof –**

obtain *SA where SA: ss-fm SA {} ⊢ A IFF SA supp SA ⊆ supp A*  
using *H0 by (auto simp add: Sigma-fm-def)*  
show *Sigma-fm (All2 i (Var j) A)*  
apply *(rule Sigma-fm-Iff [of - All2 i (Var j) SA])*  
apply *(metis All2-cong Refl SA(2) emptyE)*  
using *SA ij*  
apply *(auto simp: supp-conv-fresh subset-iff)*  
apply *(metis ss-fm.All2I fresh-Pair ss-fm-imp-Sigma-fm)*  
done

qed

### 4.3 Lemma 2.2: Atomic formulas are Sigma-formulas

**lemma** *Eq-Eats-Iff:*

assumes *[unfolded fresh-Pair, simp]: atom i # (z,x,y)*  
shows *{} ⊢ z EQ Eats x y IFF (All2 i z (Var i IN x OR Var i EQ y)) AND x SUBS z AND y IN z*  
**proof** *(rule Iff-I, auto)*

have *{ Var i IN z, z EQ Eats x y } ⊢ Var i IN Eats x y*  
by *(metis Assume Iff-MP-left Iff-sym Mem-cong Refl)*  
then show *{ Var i IN z, z EQ Eats x y } ⊢ Var i IN x OR Var i EQ y*  
by *(metis Iff-MP-same Mem-Eats-Iff)*

**next**

show *{z EQ Eats x y} ⊢ x SUBS z*  
by *(metis Iff-MP2-same Subset-cong [OF Refl Assume] Subset-Eats-I)*

```

next
  show { $z \text{ EQ } \text{Eats } x \ y$ }  $\vdash y \text{ IN } z$ 
    by (metis Iff-MP2-same Mem-cong Assume Refl Mem-Eats-I2)
next
  show { $x \text{ SUBS } z, y \text{ IN } z, \text{All2 } i \ z \ (\text{Var } i \text{ IN } x \text{ OR } \text{Var } i \text{ EQ } y)$ }  $\vdash z \text{ EQ } \text{Eats } x \ y$ 
    (is { $-$ ,  $-$ , ?allHyp}  $\vdash -$ )
    apply (rule Eq-Eats-iff [OF assms, THEN Iff-MP2-same], auto)
    apply (rule Ex-I [where  $x = \text{Var } i$ ])
    apply (auto intro: Subset-D Mem-cong [OF Assume Refl, THEN Iff-MP2-same])
    done
qed

lemma Subset-Zero-sf: Sigma-fm ( $\text{Var } i \text{ SUBS } \text{Zero}$ )
proof –
  obtain  $j::\text{name}$  where  $j: \text{atom } j \# i$ 
    by (rule obtain-fresh)
  hence Subset-Zero-Iff:  $\{\} \vdash \text{Var } i \text{ SUBS } \text{Zero} \text{ IFF } (\text{All2 } j \ (\text{Var } i) \ \text{Fls})$ 
    by (auto intro!: Subset-I [of  $j$ ] intro: Eq-Zero-D Subset-Zero-D All2-E [THEN rotate2])
  thus ?thesis using  $j$ 
    by (auto simp: supp-conv-fresh
          intro!: Sigma-fm-Iff [OF Subset-Zero-Iff] Sigma-fm-All2-Var)
qed

lemma Eq-Zero-sf: Sigma-fm ( $\text{Var } i \text{ EQ } \text{Zero}$ )
proof –
  obtain  $j::\text{name}$  where  $\text{atom } j \# i$ 
    by (rule obtain-fresh)
  thus ?thesis
    by (auto simp add: supp-conv-fresh
          intro!: Sigma-fm-Iff [OF -- Subset-Zero-sf] Subset-Zero-D EQ-imp-SUBS)
qed

lemma theorem-sf: assumes  $\{\} \vdash A$  shows Sigma-fm  $A$ 
proof –
  obtain  $i::\text{name}$  and  $j::\text{name}$ 
    where  $ij: \text{atom } i \# (j, A) \ \text{atom } j \# A$ 
    by (metis obtain-fresh)
  show ?thesis
    apply (rule Sigma-fm-Iff [where  $A = \text{Ex } i \ (\text{Ex } j \ (\text{Var } i \text{ IN } \text{Var } j))$ ])
    using  $ij$ 
    apply auto
    apply (rule Ex-I [where  $x = \text{Zero}$ ], simp)
    apply (rule Ex-I [where  $x = \text{Eats Zero Zero}$ ])
    apply (auto intro: Mem-Eats-I2 assms thin0)
    done
qed

```

The subset relation

```

lemma Var-Subset-sf: Sigma-fm (Var i SUBS Var j)
proof -
  obtain k::name where k: atom (k::name) # (i,j)
    by (metis obtain-fresh)
  thus ?thesis
  proof (cases i=j)
    case True thus ?thesis using k
      by (auto intro!: theorem-sf Subset-I [where i=k])
  next
    case False thus ?thesis using k
      by (auto simp: ss-fm-imp-Sigma-fm Subset.simps [of k] ss-fm.intros)
  qed
qed

lemma Zero-Mem-sf: Sigma-fm (Zero IN Var i)
proof -
  obtain j::name where atom j # i
    by (rule obtain-fresh)
  hence Zero-Mem-Iff: {} ⊢ Zero IN Var i IFF (Ex j (Var j EQ Zero AND Var j IN Var i))
    by (auto intro: Ex-I [where x = Zero] Mem-cong [OF Assume Reft, THEN Iff-MP-same])
  show ?thesis
    by (auto intro!: Sigma-fm-Iff [OF Zero-Mem-Iff] Eq-Zero-sf)
  qed

lemma ijk: i + k < Suc (i + j + k)
  by arith

lemma All2-term-Iff-fresh: i ≠ j ==> atom j' # (i,j,A) ==>
  {} ⊢ (All2 i (Var j) A) IFF Ex j' (Var j EQ Var j' AND All2 i (Var j') A)
apply auto
apply (rule Ex-I [where x=Var j], auto)
apply (rule Ex-I [where x=Var i], auto intro: ContraProve Mem-cong [THEN Iff-MP-same])
done

lemma Sigma-fm-All2-fresh:
  assumes Sigma-fm A i ≠ j
  shows Sigma-fm (All2 i (Var j) A)
proof -
  obtain j'::name where j': atom j' # (i,j,A)
    by (metis obtain-fresh)
  show Sigma-fm (All2 i (Var j) A)
    apply (rule Sigma-fm-Iff [OF All2-term-Iff-fresh [OF - j']])
    using assms j'
    apply (auto simp: supp-conv-fresh Var-Subset-sf
      intro!: Sigma-fm-All2-Var Sigma-fm-Iff [OF Extensionality - -])
  done

```

**qed**

**lemma** *Subset-Eats-sf*:  
  **assumes**  $\bigwedge j::name. \Sigma\text{-fm} (\text{Var } j \text{ IN } t)$   
    **and**  $\bigwedge k::name. \Sigma\text{-fm} (\text{Var } k \text{ EQ } u)$   
  **shows**  $\Sigma\text{-fm} (\text{Var } i \text{ SUBS Eats } t \text{ } u)$   
**proof** –  
  **obtain**  $k::name$  **where**  $k: \text{atom } k \# (t, u, \text{Var } i)$   
    **by** (*metis obtain-fresh*)  
  **hence**  $\{\} \vdash \text{Var } i \text{ SUBS Eats } t \text{ } u \text{ IFF } \text{All2 } k (\text{Var } i) (\text{Var } k \text{ IN } t \text{ OR } \text{Var } k \text{ EQ } u)$   
    **apply** (*auto simp: fresh-Pair intro: Set-MP Disj-I1 Disj-I2*)  
    **apply** (*force intro!: Subset-I [where i=k] intro: All2-E' [OF Hyp] Mem-Eats-I1 Mem-Eats-I2*)  
    **done**  
  **thus** *?thesis*  
    **apply** (*rule Sigma-fm-Iff*)  
    **using**  $k$   
    **apply** (*auto intro!: Sigma-fm-All2-fresh simp add: assms fresh-Pair supp-conv-fresh fresh-at-base*)  
    **done**  
**qed**

**lemma** *Eq-Eats-sf*:  
  **assumes**  $\bigwedge j::name. \Sigma\text{-fm} (\text{Var } j \text{ EQ } t)$   
    **and**  $\bigwedge k::name. \Sigma\text{-fm} (\text{Var } k \text{ EQ } u)$   
  **shows**  $\Sigma\text{-fm} (\text{Var } i \text{ EQ Eats } t \text{ } u)$   
**proof** –  
  **obtain**  $j::name$  **and**  $k::name$  **and**  $l::name$   
    **where atoms:**  $\text{atom } j \# (t, u, i) \text{ atom } k \# (t, u, i, j) \text{ atom } l \# (t, u, i, j, k)$   
    **by** (*metis obtain-fresh*)  
  **hence**  $\{\} \vdash \text{Var } i \text{ EQ Eats } t \text{ } u \text{ IFF }$   
     $\text{Ex } j (\text{Ex } k (\text{Var } i \text{ EQ Eats } (\text{Var } j) (\text{Var } k) \text{ AND } \text{Var } j \text{ EQ } t \text{ AND } \text{Var } k \text{ EQ } u))$   
    **apply** *auto*  
    **apply** (*rule Ex-I [where x=t], simp*)  
    **apply** (*rule Ex-I [where x=u], auto intro: Trans Eats-cong*)  
    **done**  
  **thus** *?thesis*  
    **apply** (*rule Sigma-fm-Iff*)  
    **apply** (*auto simp: assms supp-at-base*)  
    **apply** (*rule Sigma-fm-Iff [OF Eq-Eats-Iff [of l]]*)  
    **using** *atoms*  
    **apply** (*auto simp: supp-conv-fresh fresh-at-base Var-Subset-sf intro!: Sigma-fm-All2-Var Sigma-fm-Iff [OF Extensionality - -]*)  
    **done**  
**qed**

**lemma** *Eats-Mem-sf*:

```

assumes  $\bigwedge j::name. \text{Sigma-fm} (\text{Var } j \text{ EQ } t)$ 
       and  $\bigwedge k::name. \text{Sigma-fm} (\text{Var } k \text{ EQ } u)$ 
shows  $\text{Sigma-fm} (\text{Eats } t \text{ } u \text{ IN } \text{Var } i)$ 
proof -
  obtain  $j::name$  where  $j: \text{atom } j \# (t, u, \text{Var } i)$ 
    by (metis obtain-fresh)
  hence  $\{\} \vdash \text{Eats } t \text{ } u \text{ IN } \text{Var } i \text{ IFF}$ 
     $\exists j (\text{Var } j \text{ IN } \text{Var } i \text{ AND } \text{Var } j \text{ EQ } \text{Eats } t \text{ } u)$ 
    apply (auto simp: fresh-Pair intro: Ex-I [where  $x = \text{Eats } t \text{ } u$ ])
    apply (metis Assume Mem-cong [OF - Refl, THEN Iff-MP-same] rotate2)
    done
  thus ?thesis
    by (rule Sigma-fm-Iff) (auto simp: assms supp-conv-fresh Eq-Eats-sf)
qed

lemma Subset-Mem-sf-lemma:
size  $t + \text{size } u < n \implies \text{Sigma-fm} (t \text{ SUBS } u) \wedge \text{Sigma-fm} (t \text{ IN } u)$ 
proof (induction n arbitrary:  $t \text{ } u$  rule: less-induct)
  case (less  $n \text{ } t \text{ } u$ )
  show ?case
  proof
    show  $\text{Sigma-fm} (t \text{ SUBS } u)$ 
    proof (cases t rule: tm.exhaust)
      case Zero thus ?thesis
        by (auto intro: theorem-sf)
    next
      case (Var i) thus ?thesis using less.prems
        apply (cases u rule: tm.exhaust)
        apply (auto simp: Subset-Zero-sf Var-Subset-sf)
        apply (force simp: supp-conv-fresh less.IH
          intro: Subset-Eats-sf Sigma-fm-Iff [OF Extensionality])
        done
    next
      case (Eats  $t_1 \text{ } t_2$ ) thus ?thesis using less.IH [OF - ijk] less.prems
        by (auto intro!: Sigma-fm-Iff [OF Eats-Subset-Iff] simp: supp-conv-fresh)
        (metis add.commute)
    qed
  next
    show  $\text{Sigma-fm} (t \text{ IN } u)$ 
    proof (cases u rule: tm.exhaust)
      case Zero show ?thesis
        by (rule Sigma-fm-Iff [where A=Fls]) (auto simp: supp-conv-fresh Zero)
    next
      case (Var i) show ?thesis
      proof (cases t rule: tm.exhaust)
        case Zero thus ?thesis using `u = Var i`
          by (auto intro: Zero-Mem-sf)
      next
        case (Var j)
    
```

```

thus ?thesis using `u = Var i`
  by auto
next
  case (Eats t1 t2) thus ?thesis using `u = Var i` less.prems
    by (force intro: Eats-Mem-sf Sigma-fm-Iff [OF Extensionality - -]
      simp: supp-conv-fresh less.IH [THEN conjunct1])
qed
next
  case (Eats t1 t2) thus ?thesis using less.prems
    by (force intro: Sigma-fm-Iff [OF Mem-Eats-Iff] Sigma-fm-Iff [OF Extensionality - -]
      simp: supp-conv-fresh less.IH)
qed
qed

```

**lemma** Subset-sf [iff]: Sigma-fm ( $t \text{ SUBS } u$ )  
**by** (metis Subset-Mem-sf-lemma [OF lessI])

**lemma** Mem-sf [iff]: Sigma-fm ( $t \text{ IN } u$ )  
**by** (metis Subset-Mem-sf-lemma [OF lessI])

The equality relation is a Sigma-Formula

**lemma** Equality-sf [iff]: Sigma-fm ( $t \text{ EQ } u$ )  
**by** (auto intro: Sigma-fm-Iff [OF Extensionality] simp: supp-conv-fresh)

## 4.4 Universal Quantification Bounded by an Arbitrary Term

**lemma** All2-term-Iff: atom  $i \# t \implies \text{atom } j \# (i, t, A) \implies$   
 $\{\} \vdash (\text{All2 } i \ t \ A) \text{ IFF } \text{Ex } j \ (\text{Var } j \text{ EQ } t \text{ AND } \text{All2 } i \ (\text{Var } j) \ A)$   
**apply** auto  
**apply** (rule Ex-I [where  $x=t$ ], auto)  
**apply** (rule Ex-I [where  $x=\text{Var } i$ ])  
**apply** (auto intro: ContraProve Mem-cong [THEN Iff-MP2-same])  
**done**

**lemma** Sigma-fm-All2 [intro!]:  
**assumes** Sigma-fm  $A$  atom  $i \# t$   
**shows** Sigma-fm ( $\text{All2 } i \ t \ A$ )  
**proof** –  
**obtain**  $j::\text{name where } j: \text{atom } j \# (i, t, A)$   
**by** (metis obtain-fresh)  
**show** Sigma-fm ( $\text{All2 } i \ t \ A$ )  
**apply** (rule Sigma-fm-Iff [OF All2-term-Iff [of  $i \ t \ j$ ]])  
**using** assms  $j$   
**apply** (auto simp: supp-conv-fresh Sigma-fm-All2-Var)  
**done**

qed

## 4.5 Lemma 2.3: Sequence-related concepts are Sigma-formulas

**lemma** *OrdP-sf* [iff]: *Sigma-fm* (*OrdP t*)

**proof** –

obtain *z::name and y::name where atom z # t atom y # (t, z)*

by (metis obtain-fresh)

thus ?thesis

by (auto simp: *OrdP.simps*)

qed

**lemma** *OrdNotEqP-sf* [iff]: *Sigma-fm* (*OrdNotEqP t u*)

by (auto simp: *OrdNotEqP.simps*)

**lemma** *HDomain-Incl-sf* [iff]: *Sigma-fm* (*HDomain-Incl t u*)

**proof** –

obtain *x::name and y::name and z::name*

where *atom x # (t,u,y,z) atom y # (t,u,z) atom z # (t,u)*

by (metis obtain-fresh)

thus ?thesis

by auto

qed

**lemma** *HFun-Sigma-Iff*:

assumes *atom z # (r,z',x,y,x',y') atom z' # (r,x,y,x',y')*  
*atom x # (r,y,x',y') atom y # (r,x',y')*  
*atom x' # (r,y') atom y' # (r)*

shows

{} ⊢ *HFun-Sigma r IFF*

*All2 z r (All2 z' r (Ex x (Ex y (Ex x' (Ex y'*

*(Var z EQ HPair (Var x) (Var y) AND Var z' EQ HPair (Var x') (Var y')))*

*AND OrdP (Var x) AND OrdP (Var x') AND*

*((Var x NEQ Var x') OR (Var y EQ Var y'))))))))*

apply (simp add: *HFun-Sigma.simps* [OF assms])

apply (rule Iff-refl All-cong Imp-cong Ex-cong)+

apply (rule Conj-cong [OF Iff-refl])

apply (rule Conj-cong [OF Iff-refl], auto)

apply (blast intro: Disj-I1 Neg-D OrdNotEqP-I)

apply (blast intro: Disj-I2)

apply (blast intro: OrdNotEqP-E rotate2)

done

**lemma** *HFun-Sigma-sf* [iff]: *Sigma-fm* (*HFun-Sigma t*)

**proof** –

obtain *x::name and y::name and z::name and x':::name and y':::name and*

```

 $z'::name$ 
where atoms: atom  $z \# (t, z', x, y, x', y')$  atom  $z' \# (t, x, y, x', y')$ 
      atom  $x \# (t, y, x', y')$  atom  $y \# (t, x', y')$ 
      atom  $x' \# (t, y')$  atom  $y' \# (t)$ 
by (metis obtain-fresh)
show ?thesis
by (auto intro!: Sigma-fm-Iff [OF HFun-Sigma-Iff [OF atoms]] simp: supp-conv-fresh
atoms)
qed

lemma LstSeqP-sf [iff]: Sigma-fm (LstSeqP t u v)
by (auto simp: LstSeqP.simps)

```

## 4.6 A Key Result: Theorem 2.5

### 4.6.1 Preparation

To begin, we require some facts connecting quantification and ground terms.

```

lemma obtain-const-tm: obtains t where  $\llbracket t \rrbracket e = x$  ground t
proof (induct x rule: hf-induct)
case 0 thus ?case
by (metis ground-aux-simps(1) eval-tm.simps(1))
next
case (hinsert y x) thus ?case
by (metis ground-aux-simps(3) eval-tm.simps(3))
qed

```

```

lemma ex-eval-fm-iff-exists-tm:
eval-fm e (Ex k A)  $\longleftrightarrow$  ( $\exists t$ . eval-fm e (A(k::=t))  $\wedge$  ground t)
by (auto simp: eval-subst-fm) (metis obtain-const-tm)

```

In a negative context, the formulation above is actually weaker than this one.

```

lemma ex-eval-fm-iff-exists-tm':
eval-fm e (Ex k A)  $\longleftrightarrow$  ( $\exists t$ . eval-fm e (A(k::=t)))
by (auto simp: eval-subst-fm) (metis obtain-const-tm)

```

A ground term defines a finite set of ground terms, its elements.

```

nominal-function elts :: tm  $\Rightarrow$  tm set where
  elts Zero      = {}
  | elts (Var k) = {}
  | elts (Eats t u) = insert u (elts t)
by (auto simp: eqvt-def elts-graph-aux-def) (metis tm.exhaust)

```

```

nominal-termination (eqvt)
by lexicographic-order

```

```

lemma eval-fm-All2-Eats:

```

```

atom i # (t,u) ==>
eval-fm e (All2 i (Eats t u) A) <=> eval-fm e (A(i:=u)) ∧ eval-fm e (All2 i t
A)
by (simp only: ex-eval-fm-iff-exists-tm' eval-fm.simps) (auto simp: eval-subst-fm)

```

The term  $t$  must be ground, since  $\text{elts}$  doesn't handle variables.

```

lemma eval-fm-All2-Iff-elts:
  ground t ==> eval-fm e (All2 i t A) <=> (∀ u ∈ elts t. eval-fm e (A(i:=u)))
proof (induct t rule: tm.induct)
  case Eats
  then show ?case by (simp add: eval-fm-All2-Eats del: eval-fm.simps)
qed auto

lemma prove-elts-imp-prove-All2:
  ground t ==> (∀ u. u ∈ elts t ==> {} ⊢ A(i:=u)) ==> {} ⊢ All2 i t A
proof (induct t rule: tm.induct)
  case (Eats t u)
  hence pt: {} ⊢ All2 i t A and pu: {} ⊢ A(i:=u)
    by auto
  have {} ⊢ ((Var i IN t) IMP A)(i := Var i)
    by (rule All-D [OF pt])
  hence {} ⊢ ((Var i IN t) IMP A)
    by simp
  thus ?case using pu
    by (auto intro: anti-deduction) (metis Iff-MP-same Var-Eq-subst-Iff thin1)
qed auto

```

#### 4.6.2 The base cases: ground atomic formulas

```

lemma ground-prove:
  [size t + size u < n; ground t; ground u]
  ==> ([t]e ≤ [u]e → {} ⊢ t SUBS u) ∧ ([t]e ∈ [u]e → {} ⊢ t IN u)
proof (induction n arbitrary: t u rule: less-induct)
  case (less n t u)
  show ?case
  proof
    show [t]e ≤ [u]e → {} ⊢ t SUBS u using less
      by (cases t rule: tm.exhaust) auto
    next
    { fix y t u
      have [y < n; size t + size u < y; ground t; ground u; [t]e = [u]e]
        ==> {} ⊢ t EQ u
        by (metis Equality-I less.IH add.commute order-refl)
    }
    thus [t]e ∈ [u]e → {} ⊢ t IN u using less.prefs
      by (cases u rule: tm.exhaust) (auto simp: Mem-Eats-I1 Mem-Eats-I2 less.IH)
    qed
  qed

lemma

```

```

assumes ground t ground u
shows ground-prove-SUBS:  $\llbracket t \rrbracket e \leq \llbracket u \rrbracket e \implies \{\} \vdash t \text{ SUBS } u$ 
and ground-prove-IN:  $\llbracket t \rrbracket e \in \llbracket u \rrbracket e \implies \{\} \vdash t \text{ IN } u$ 
and ground-prove-EQ:  $\llbracket t \rrbracket e = \llbracket u \rrbracket e \implies \{\} \vdash t \text{ EQ } u$ 
by (metis Equality-I assms ground-prove [OF lessI] order-refl) +

```

**lemma** ground-subst:

```

ground-aux tm (insert (atom i) S)  $\implies$  ground t  $\implies$  ground-aux (subst i t tm) S
by (induct tm rule: tm.induct) (auto simp: ground-aux-def)

```

**lemma** ground-subst-fm:

```

ground-fm-aux A (insert (atom i) S)  $\implies$  ground t  $\implies$  ground-fm-aux (A(i ::= t)) S
apply (nominal-induct A avoiding: i arbitrary: S rule: fm.strong-induct)
apply (auto simp: ground-subst Set.insert-commute)
done

```

**lemma** elts-imp-ground:  $u \in \text{elts } t \implies \text{ground-aux } t \text{ S} \implies \text{ground-aux } u \text{ S}$

```

by (induct t rule: tm.induct) auto

```

#### 4.6.3 Sigma-Eats Formulas

```

inductive se-fm :: fm  $\Rightarrow$  bool where
  MemI: se-fm (t IN u)
  | DisjI: se-fm A  $\implies$  se-fm B  $\implies$  se-fm (A OR B)
  | ConjI: se-fm A  $\implies$  se-fm B  $\implies$  se-fm (A AND B)
  | ExI: se-fm A  $\implies$  se-fm (Ex i A)
  | All2I: se-fm A  $\implies$  atom i  $\notin$  t  $\implies$  se-fm (All2 i t A)

equivariance se-fm

nominal-inductive se-fm
  avoids ExI: i | All2I: i
  by (simp-all add: fresh-star-def)

declare se-fm.intros [intro]

lemma subst-fm-in-se-fm: se-fm A  $\implies$  se-fm (A(k ::= x))
  by (nominal-induct avoiding: k x rule: se-fm.strong-induct) (auto)

lemma ground-se-fm-induction:
  ground-fm  $\alpha$   $\implies$  size  $\alpha < n \implies$  se-fm  $\alpha \implies$  eval-fm e  $\alpha \implies \{\} \vdash \alpha$ 
  proof (induction n arbitrary:  $\alpha$  rule: less-induct)
    case (less n  $\alpha$ )
    show ?case using <se-fm  $\alphaproof (cases rule: se-fm.cases)
      case (MemI t u) thus  $\{\} \vdash \alpha$  using less
        by (auto intro: ground-prove-IN)
    next
      case (DisjI A B) thus  $\{\} \vdash \alpha$  using less$ 
```

```

    by (auto intro: Disj-I1 Disj-I2)
next
  case (ConjI A B) thus {} ⊢ α using less
    by auto
next
  case (ExI A i)
  thus {} ⊢ α using less.prems
    apply (auto simp: ex-eval-fm-iff-exists-tm simp del: better-ex-eval-fm)
    apply (auto intro!: Ex-I less.IH subst-fm-in-se-fm ground-subst-fm)
    done
next
  case (All2I A i t)
  hence t: ground t using less.prems
    by (auto simp: ground-aux-def fresh-def)
  hence (∀ u∈elts t. eval-fm e (A(i:=u)))
    by (metis All2I(1) t eval-fm-All2-Iff-elts less(5))
  thus {} ⊢ α using less.prems All2I t
    apply (auto del: Neg-I intro!: prove-elts-imp-prove-All2 less.IH)
    apply (auto intro: subst-fm-in-se-fm ground-subst-fm elts-imp-ground)
    done
qed
qed

```

**lemma** ss-imp-se-fm: ss-fm A  $\implies$  se-fm A  
**by** (erule ss-fm.induct) auto

**lemma** se-fm-imp-thm: [se-fm A; ground-fm A; eval-fm e A]  $\implies$  {} ⊢ A  
**by** (metis ground-se-fm-induction lessI)

Theorem 2.5

**theorem** Sigma-fm-imp-thm: [Sigma-fm A; ground-fm A; eval-fm e0 A]  $\implies$  {} ⊢ A  
**by** (metis Iff-MP2-same ss-imp-se-fm empty-iff Sigma-fm-def eval-fm-Iff ground-fm-aux-def  
 hfthm-sound se-fm-imp-thm subset-empty)

end

## Kapitel 5

# Predicates for Terms, Formulas and Substitution

```
theory Coding-Predicates
imports Coding Sigma
begin
```

```
declare succ-iff [simp del]
```

This material comes from Section 3, greatly modified for de Bruijn syntax.

### 5.1 Predicates for atomic terms

#### 5.1.1 Free Variables

```
definition is-Var :: hf ⇒ bool where is-Var x ≡ Ord x ∧ 0 ∈ x
```

```
definition VarP :: tm ⇒ fm where VarP x ≡ OrdP x AND Zero IN x
```

```
lemma VarP-eqvt [eqvt]: (p · VarP x) = VarP (p · x)
  by (simp add: VarP-def)
```

```
lemma VarP-fresh-iff [simp]: a # VarP x ↔ a # x
  by (simp add: VarP-def)
```

```
lemma eval-fm-VarP [simp]: eval-fm e (VarP x) ↔ is-Var [x]e
  by (simp add: VarP-def is-Var-def)
```

```
lemma VarP-sf [iff]: Sigma-fm (VarP x)
  by (auto simp: VarP-def)
```

```
lemma VarP-subst [simp]: (VarP x)(i ::= t) = VarP (subst i t x)
  by (simp add: VarP-def)
```

```

lemma VarP-cong:  $H \vdash x \text{ EQ } x' \implies H \vdash \text{VarP } x \text{ IFF } \text{VarP } x'$ 
  by (rule P1-cong) auto

lemma VarP-HPairE [intro!]: insert (VarP (HPair x y))  $H \vdash A$ 
  by (auto simp: VarP-def)

lemma is-Var-succ-iff [simp]: is-Var (succ x) = Ord x
  by (metis Ord-succ-iff is-Var-def succ-iff zero-in-Ord)

lemma is-Var-q-Var [iff]: is-Var (q-Var i)
  by (simp add: q-Var-def)

definition decode-Var :: hf  $\Rightarrow$  name
  where decode-Var x  $\equiv$  name-of-nat (nat-of-ord (pred x))

lemma decode-Var-q-Var [simp]: decode-Var (q-Var i) = i
  by (simp add: decode-Var-def q-Var-def)

lemma is-Var-imp-decode-Var: is-Var x  $\implies$  x = [[ Var (decode-Var x) ]]e
  by (simp add: is-Var-def quot-Var decode-Var-def) (metis hempty-iff succ-pred)

lemma is-Var-iff: is-Var v  $\longleftrightarrow$  v = succ (ord-of (nat-of-name (decode-Var v)))
  by (metis eval-tm-ORD-OF eval-tm-SUCC is-Var-imp-decode-Var quot-Var is-Var-succ-iff
    Ord-ord-of)

lemma decode-Var-inject [simp]: is-Var v  $\implies$  is-Var v'  $\implies$  decode-Var v = decode-Var v'
  by (metis is-Var-iff)

5.1.2 De Bruijn Indexes

definition is-Ind :: hf  $\Rightarrow$  bool
  where is-Ind x  $\equiv$  ( $\exists$  m. Ord m  $\wedge$  x = (htuple 6, m))

abbreviation Q-Ind :: tm  $\Rightarrow$  tm
  where Q-Ind k  $\equiv$  HPair (HTuple 6) k

nominal-function IndP :: tm  $\Rightarrow$  fm
  where atom m # x  $\implies$ 
    IndP x = Ex m (OrdP (Var m) AND x EQ HPair (HTuple 6) (Var m))
  by (auto simp: eqvt-def IndP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
  by lexicographic-order

lemma
  shows IndP-fresh-iff [simp]: a # IndP x  $\longleftrightarrow$  a # x (is ?thesis1)
  and eval-fm-IndP [simp]: eval-fm e (IndP x)  $\longleftrightarrow$  is-Ind [[x]]e (is ?thesis2)
  and IndP-sf [iff]: Sigma-fm (IndP x) (is ?thsf)

```

```

and OrdP-IndP-Q-Ind: {OrdP x} ⊢ IndP (Q-Ind x) (is ?thqind)
proof –
  obtain m::name where atom m # x
    by (metis obtain-fresh)
  thus ?thesis1 ?thesis2 ?thsf ?thqind
    by (auto simp: is-Ind-def intro: Ex-I [where x=x])
qed

lemma IndP-Q-Ind: H ⊢ OrdP x ==> H ⊢ IndP (Q-Ind x)
  by (rule cut1 [OF OrdP-IndP-Q-Ind])

lemma subst-fm-IndP [simp]: (IndP t)(i:=x) = IndP (subst i x t)
proof –
  obtain m::name where atom m # (i,t,x)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: IndP.simps [of m])
qed

lemma IndP-cong: H ⊢ x EQ x' ==> H ⊢ IndP x IFF IndP x'
  by (rule P1-cong) auto

definition decode-Ind :: hf ⇒ nat
  where decode-Ind x ≡ nat-of-ord (hsnd x)

lemma is-Ind-pair-iff [simp]: is-Ind ⟨x, y⟩ ↔ x = htuple 6 ∧ Ord y
  by (auto simp: is-Ind-def)

```

### 5.1.3 Various syntactic lemmas

```

lemma eval-Var-q: [[` Var i`]] e = q-Var i
  by (simp add: quot-tm-def q-Var-def)

lemma is-Var-eval-Var [simp]: is-Var [[` Var i`]] e
  by (metis decode-Var-q-Var is-Var-imp-decode-Var is-Var-q-Var)

```

## 5.2 The predicate *SeqCTermP*, for Terms and Constants

```

definition SeqCTerm :: bool ⇒ hf ⇒ hf ⇒ hf ⇒ bool
  where SeqCTerm vf s k t ≡ BuildSeq (λu. u=0 ∨ vf ∧ is-Var u) (λu v w. u =
q-Eats v w) s k t

nominal-function SeqCTermP :: bool ⇒ tm ⇒ tm ⇒ tm ⇒ fm
  where [[atom l # (s,k,sl,m,n,sm,sn); atom sl # (s,m,n,sm,sn);
    atom m # (s,n,sm,sn); atom n # (s,sm,sn);
    atom sm # (s,sn); atom sn # (s)]] ==>
  SeqCTermP vf s k t =

```

```

LstSeqP s k t AND
All2 l (SUCC k) (Ex sl (HPair (Var l) (Var sl) IN s AND
(Var sl EQ Zero OR (if vf then VarP (Var sl) else Fls) OR
Ex m (Ex n (Ex sm (Ex sn (Var m IN Var l AND Var n IN Var l
AND
HPair (Var m) (Var sm) IN s AND HPair (Var n) (Var sn) IN
s AND
Var sl EQ Q-Eats (Var sm) (Var sn)))))))
by (auto simp: eqvt-def SeqCTermP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

**nominal-termination** (eqvt)  
**by** lexicographic-order

**lemma**

**shows** SeqCTermP-fresh-iff [simp]:

a # SeqCTermP vf s k t  $\longleftrightarrow$  a # s  $\wedge$  a # k  $\wedge$  a # t (**is** ?thesis1)

**and** eval-fm-SeqCTermP [simp]:

eval-fm e (SeqCTermP vf s k t)  $\longleftrightarrow$  SeqCTerm vf [s]e [k]e [t]e (**is** ?thesis2)

**and** SeqCTermP-sf [iff]:

Sigma-fm (SeqCTermP vf s k t) (**is** ?thsf)

**and** SeqCTermP-imp-LstSeqP:

{ SeqCTermP vf s k t }  $\vdash$  LstSeqP s k t (**is** ?thlstseq)

**and** SeqCTermP-imp-OrdP [simp]:

{ SeqCTermP vf s k t }  $\vdash$  OrdP k (**is** ?thord)

**proof –**

**obtain** l::name **and** sl::name **and** m::name **and** n::name **and** sm::name **and** sn::name

**where atoms:** atom l # (s,k,sl,m,n,sm,sn) atom sl # (s,m,n,sm,sn)

atom m # (s,n,sm,sn) atom n # (s,sm,sn)

atom sm # (s,sn) atom sn # (s)

**by** (metis obtain-fresh)

**thus** ?thesis1 ?thsf ?thlstseq ?thord

**by** (auto simp: LstSeqP.simps)

**show** ?thesis2 **using** atoms

**by** (simp cong: conj-cong add: LstSeq-imp-Ord SeqCTerm-def BuildSeq-def Builds-def

HBall-def HBex-def q-Eats-def Fls-def

Seq-iff-app [of [s]e, OF LstSeq-imp-Seq-succ]

Ord-trans [of - - succ [k]e])

**qed**

**lemma** SeqCTermP-subst [simp]:

(SeqCTermP vf s k t)(j:=w) = SeqCTermP vf (subst j w s) (subst j w k)  
(subst j w t)

**proof –**

**obtain** l::name **and** sl::name **and** m::name **and** n::name **and** sm::name **and** sn::name

**where atom** l # (j,w,s,k,sl,m,n,sm,sn) atom sl # (j,w,s,m,n,sm,sn)

```

atom m # (j,w,s,n,sm,sn)  atom n # (j,w,s,sm,sn)
atom sm # (j,w,s,sn)  atom sn # (j,w,s)
by (metis obtain-fresh)
thus ?thesis
  by (force simp add: SeqCTermP.simps [of l - - sl m n sm sn])
qed

declare SeqCTermP.simps [simp del]

abbreviation SeqTerm :: hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool
  where SeqTerm  $\equiv$  SeqCTerm True

abbreviation SeqTermP :: tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm
  where SeqTermP  $\equiv$  SeqCTermP True

abbreviation SeqConst :: hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool
  where SeqConst  $\equiv$  SeqCTerm False

abbreviation SeqConstP :: tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm
  where SeqConstP  $\equiv$  SeqCTermP False

lemma SeqConst-imp-SeqTerm: SeqConst s k x  $\implies$  SeqTerm s k x
  by (auto simp: SeqCTerm-def intro: BuildSeq-mono)

lemma SeqConstP-imp-SeqTermP: {SeqConstP s k t}  $\vdash$  SeqTermP s k t
proof -
  obtain l::name and sl::name and m::name and n::name and sm::name and sn::name
    where atom l # (s,k,t,sl,m,n,sm,sn)  atom sl # (s,k,t,m,n,sm,sn)
          atom m # (s,k,t,n,sm,sn)  atom n # (s,k,t,sm,sn)
          atom sm # (s,k,t,sn)  atom sn # (s,k,t)
    by (metis obtain-fresh)
  thus ?thesis
    apply (auto simp: SeqCTermP.simps [of l s k sl m n sm sn])
    apply (rule Ex-I [where x=Var l], auto)
    apply (rule Ex-I [where x = Var sl], force intro: Disj-I1)
    apply (rule Ex-I [where x = Var sl], simp)
    apply (rule Conj-I, blast)
    apply (rule Disj-I2)+
    apply (rule Ex-I [where x = Var m], simp)
    apply (rule Ex-I [where x = Var n], simp)
    apply (rule Ex-I [where x = Var sm], simp)
    apply (rule Ex-I [where x = Var sn], auto)
    done
qed

```

## 5.3 The predicates $\text{TermP}$ and $\text{ConstP}$

### 5.3.1 Definition

**definition**  $\text{CTerm} :: \text{bool} \Rightarrow \text{hf} \Rightarrow \text{bool}$

where  $\text{CTerm vf } t \equiv (\exists s k. \text{SeqCTerm vf } s k t)$

**nominal-function**  $\text{CTermP} :: \text{bool} \Rightarrow \text{tm} \Rightarrow \text{fm}$

where  $\llbracket \text{atom } k \# (s,t); \text{atom } s \# t \rrbracket \implies$

$\text{CTermP vf } t = \text{Ex } s (\text{Ex } k (\text{SeqCTermP vf } (\text{Var } s) (\text{Var } k) t))$

by (auto simp: eqvt-def CTermP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)

by lexicographic-order

**lemma**

shows  $\text{CTermP-fresh-iff}$  [simp]:  $a \# \text{CTermP vf } t \longleftrightarrow a \# t$  (is ?thesis1)

and  $\text{eval-fm-CTermP}$  [simp]:  $\text{eval-fm } e (\text{CTermP vf } t) \longleftrightarrow \text{CTerm vf } \llbracket t \rrbracket e$  (is ?thesis2)

and  $\text{CTermP-sf}$  [iff]:  $\text{Sigma-fm } (\text{CTermP vf } t)$  (is ?thsf)

**proof** –

obtain  $k::\text{name}$  and  $s::\text{name}$  where  $\text{atom } k \# (s,t)$   $\text{atom } s \# t$

by (metis obtain-fresh)

thus ?thesis1 ?thesis2 ?thsf

by (auto simp: CTerm-def)

qed

**lemma**  $\text{CTermP-subst}$  [simp]:  $(\text{CTermP vf } i)(j ::= w) = \text{CTermP vf } (\text{subst } j w i)$

**proof** –

obtain  $k::\text{name}$  and  $s::\text{name}$  where  $\text{atom } k \# (s,i,j,w)$   $\text{atom } s \# (i,j,w)$

by (metis obtain-fresh)

thus ?thesis

by (simp add: CTermP.simps [of k s])

qed

**abbreviation**  $\text{Term} :: \text{hf} \Rightarrow \text{bool}$

where  $\text{Term} \equiv \text{CTerm True}$

**abbreviation**  $\text{TermP} :: \text{tm} \Rightarrow \text{fm}$

where  $\text{TermP} \equiv \text{CTermP True}$

**abbreviation**  $\text{Const} :: \text{hf} \Rightarrow \text{bool}$

where  $\text{Const} \equiv \text{CTerm False}$

**abbreviation**  $\text{ConstP} :: \text{tm} \Rightarrow \text{fm}$

where  $\text{ConstP} \equiv \text{CTermP False}$

### 5.3.2 Correctness: It Corresponds to Quotations of Real Terms

**lemma**  $\text{wf-Term-quot-dbtm}$  [simp]:  $\text{wf-dbtm } u \implies \text{Term } \llbracket \text{quot-dbtm } u \rrbracket e$

```

by (induct rule: wf-dbtm.induct)
  (auto simp: CTerm-def SeqCTerm-def q-Eats-def intro: BuildSeq-combine Build-
Seq-exI)

corollary Term-quot-tm [iff]: fixes t :: tm shows Term  $\llbracket \langle\langle t \rangle\rangle e$ 
  by (metis quot-tm-def wf-Term-quot-dbtm wf-dbtm-trans-tm)

lemma SeqCTerm-imp-wf-dbtm:
  assumes SeqCTerm vf s k x
  shows  $\exists t::dbtm. wf-dbtm t \wedge x = \llbracket \text{quot-dbtm } t \rrbracket e$ 
  using assms [unfolded SeqCTerm-def]
  proof (induct x rule: BuildSeq-induct)
    case (B x) thus ?case
      by auto (metis ORD-OF.simps(2) Var quot-dbtm.simps(2) is-Var-imp-decode-Var
quot-Var)
    next
      case (C x y z)
      then obtain tm1::dbtm and tm2::dbtm
        where wf-dbtm tm1 y =  $\llbracket \text{quot-dbtm } tm1 \rrbracket e$ 
          wf-dbtm tm2 z =  $\llbracket \text{quot-dbtm } tm2 \rrbracket e$ 
        by blast
      thus ?case
        by (auto simp: wf-dbtm.intros C q-Eats-def intro!: exI [of - DBEats tm1 tm2])
    qed

corollary Term-imp-wf-dbtm:
  assumes Term x obtains t where wf-dbtm t x =  $\llbracket \text{quot-dbtm } t \rrbracket e$ 
  by (metis assms SeqCTerm-imp-wf-dbtm CTerm-def)

corollary Term-imp-is-tm: assumes Term x obtains t::tm where x =  $\llbracket \langle\langle t \rangle\rangle e$ 
  by (metis assms Term-imp-wf-dbtm quot-tm-def wf-dbtm-imp-is-tm)

lemma Term-Var: Term (q-Var i)
  using wf-Term-quot-dbtm [of DBVar i]
  by (metis Term-quot-tm is-Var-imp-decode-Var is-Var-q-Var)

lemma Term-Eats: assumes x: Term x and y: Term y shows Term (q-Eats x y)
  proof -
    obtain t u where x =  $\llbracket \text{quot-dbtm } t \rrbracket e$  y =  $\llbracket \text{quot-dbtm } u \rrbracket e$ 
    by (metis Term-imp-wf-dbtm x y)
    thus ?thesis using wf-Term-quot-dbtm [of DBEats t u] x y
    by (auto simp: q-defs) (metis Eats Term-imp-wf-dbtm quot-dbtm-inject-lemma)
  qed

```

### 5.3.3 Correctness properties for constants

```

lemma Const-imp-Term: Const x  $\implies$  Term x
  by (metis SeqConst-imp-SqTerm CTerm-def)

```

```

lemma Const-0: Const 0
  by (force simp add: CTerm-def SeqCTerm-def intro: BuildSeq-exI)

lemma ConstP-imp-TermP: {ConstP t} ⊢ TermP t
proof -
  obtain k::name and s::name where atom k # (s,t) atom s # t
    by (metis obtain-fresh)
  thus ?thesis
    apply auto
    apply (rule Ex-I [where x = Var s], simp)
    apply (rule Ex-I [where x = Var k], auto intro: SeqConstP-imp-SeqTermP
    [THEN cut1])
    done
qed

```

## 5.4 Abstraction over terms

```

definition SeqStTerm :: hf ⇒ hf ⇒ hf ⇒ hf ⇒ hf ⇒ bool
where SeqStTerm v u x x' s k ≡
  is-Var v ∧ BuildSeq2 (λy y'. (is-Ind y ∨ Ord y) ∧ y' = (if y=v then u else
  y))
  (λu u' v v' w w'. u = q-Eats v w ∧ u' = q-Eats v' w') s k x x'

```

**definition** AbstTerm :: hf ⇒ hf ⇒ hf ⇒ hf ⇒ bool  
**where** AbstTerm v i x x' ≡ Ord i ∧ (exists s k. SeqStTerm v (q-Ind i) x x' s k)

### 5.4.1 Defining the syntax: quantified body

```

nominal-function SeqStTermP :: tm ⇒ tm ⇒ tm ⇒ tm ⇒ tm ⇒ fm
where [[atom l # (s,k,v,i,sl,sl',m,n,sm,sm',sn,sn');
  atom sl # (s,v,i,sl',m,n,sm,sm',sn,sn'); atom sl' # (s,v,i,m,n,sm,sm',sn,sn');
  atom m # (s,n,sm,sm',sn,sn'); atom n # (s,sm,sm',sn,sn');
  atom sm # (s,sm',sn,sn'); atom sm' # (s,sn,sn');
  atom sn # (s,sn'); atom sn' # s]] ==>
SeqStTermP v i t u s k =
  VarP v AND LstSeqP s k (HPair t u) AND
  All2 l (SUCC k) (Ex sl (Ex sl' (HPair (Var l) (HPair (Var sl) (Var sl')) IN
  s AND
    (((Var sl EQ v AND Var sl' EQ i) OR
      ((IndP (Var sl) OR Var sl NEQ v) AND Var sl' EQ Var sl)) OR
      Ex m (Ex n (Ex sm (Ex sm' (Ex sn (Ex sn' (Var m IN Var l AND
      Var n IN Var l AND
        HPair (Var m) (HPair (Var sm) (Var sm')) IN s AND
        HPair (Var n) (HPair (Var sn) (Var sn')) IN s AND
        Var sl EQ Q-Eats (Var sm) (Var sn) AND
        Var sl' EQ Q-Eats (Var sm') (Var sn'))))))))) )
  apply (simp-all add: eqvt-def SeqStTermP-graph-aux-def flip-fresh-fresh)
  by auto (metis obtain-fresh)

```

**nominal-termination** (*eqvt*)  
by lexicographic-order

**lemma**

shows *SeqStTermP-fresh-iff* [*simp*]:

$a \# SeqStTermP v i t u s k \longleftrightarrow a \# v \wedge a \# i \wedge a \# t \wedge a \# u \wedge a \# s \wedge a \# k$

(is ?thesis1)

and *eval-fm-SeqStTermP* [*simp*]:

$eval-fm e (SeqStTermP v i t u s k) \longleftrightarrow SeqStTerm [[v]]e [[i]]e [[t]]e [[u]]e [[s]]e$

[[k]]e (is ?thesis2)

and *SeqStTermP-sf* [*iff*]:

$Sigma-fm (SeqStTermP v i t u s k) \text{ (is ?thsf)}$

and *SeqStTermP-imp-OrdP*:

$\{ SeqStTermP v i t u s k \} \vdash OrdP k \text{ (is ?thord)}$

and *SeqStTermP-imp-VarP*:

$\{ SeqStTermP v i t u s k \} \vdash VarP v \text{ (is ?thvar)}$

and *SeqStTermP-imp-LstSeqP*:

$\{ SeqStTermP v i t u s k \} \vdash LstSeqP s k (HPair t u) \text{ (is ?thlstseq)}$

**proof –**

obtain *l::name* and *sl::name* and *sl'::name* and *m::name* and *n::name* and  
*sm::name* and *sm'::name* and *sn::name* and *sn'::name*

where atoms:

atom  $l \# (s, k, v, i, sl, sl', m, n, sm, sm', sn, sn')$

atom  $sl \# (s, v, i, sl', m, n, sm, sm', sn, sn')$  atom  $sl' \# (s, v, i, m, n, sm, sm', sn, sn')$

atom  $m \# (s, n, sm, sm', sn, sn')$  atom  $n \# (s, sm, sm', sn, sn')$

atom  $sm \# (s, sm', sn, sn')$  atom  $sm' \# (s, sn, sn')$

atom  $sn \# (s, sn')$  atom  $sn' \# (s)$

by (metis obtain-fresh)

thus ?thesis1 ?thsf ?thord ?thvar ?thlstseq

by (auto intro: *LstSeqP-OrdP*)

show ?thesis2 using atoms

apply (*simp add: LstSeq-imp-Ord SeqStTerm-def ex-disj-distrib*

*BuildSeq2-def BuildSeq-def Builds-def*

*HBall-def q-Eats-def q-Ind-def is-Var-def*

*Seq-iff-app [of [[s]]e, OF LstSeq-imp-Seq-succ]*

*Ord-trans [of - - succ [[k]]e]*

*cong: conj-cong)*

apply (rule conj-cong refl all-cong)+

apply auto

apply (metis Not-Ord-hpair is-Ind-def)

done

qed

**lemma** *SeqStTermP-subst* [*simp*]:

$(SeqStTermP v i t u s k)(j ::= w) =$

$SeqStTermP (subst j w v) (subst j w i) (subst j w t) (subst j w u) (subst j w$

$s) (subst j w k)$

**proof –**

obtain *l::name* and *sl::name* and *sl'::name* and *m::name* and *n::name* and

```

 $sm::name \text{ and } sm'::name \text{ and } sn::name \text{ and } sn'::name$ 
where atom  $l \# (s,k,v,i,w,j,sl,sl',m,n,sm,sm',sn,sn')$ 
      atom  $sl \# (s,v,i,w,j,sl',m,n,sm,sm',sn,sn')$ 
      atom  $sl' \# (s,v,i,w,j,m,n,sm,sm',sn,sn')$ 
      atom  $m \# (s,w,j,n,sm,sm',sn,sn')$  atom  $n \# (s,w,j,sm,sm',sn,sn')$ 
      atom  $sm \# (s,w,j,sm',sn,sn')$  atom  $sm' \# (s,w,j,sn,sn')$ 
      atom  $sn \# (s,w,j,sn')$  atom  $sn' \# (s,w,j)$ 
by (metis obtain-fresh)
thus ?thesis
by (force simp add: SeqStTermP.simps [of  $l \dots sl \dots m \dots sm \dots sm' \dots sn \dots sn'$ ])
qed

```

```

lemma SeqStTermP-cong:
 $\llbracket H \vdash t \text{ EQ } t'; H \vdash u \text{ EQ } u'; H \vdash s \text{ EQ } s'; H \vdash k \text{ EQ } k' \rrbracket$ 
 $\implies H \vdash \text{SeqStTermP } v \ i \ t \ u \ s \ k \text{ IFF SeqStTermP } v \ i \ t' \ u' \ s' \ k'$ 
by (rule P4-cong [where tms=[v,i]]) (auto simp: fresh-Cons)

```

```
declare SeqStTermP.simps [simp del]
```

#### 5.4.2 Defining the syntax: main predicate

```

nominal-function AbstTermP ::  $tm \Rightarrow tm \Rightarrow tm \Rightarrow tm \Rightarrow fm$ 
where  $\llbracket \text{atom } s \# (v,i,t,u,k); \text{atom } k \# (v,i,t,u) \rrbracket \implies$ 
       $AbstTermP \ v \ i \ t \ u =$ 
       $OrdP \ i \text{ AND } Ex \ s \ (Ex \ k \ (\text{SeqStTermP } v \ (Q\text{-Ind } i) \ t \ u \ (\text{Var } s) \ (\text{Var } k)))$ 
by (auto simp: eqvt-def AbstTermP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

```

nominal-termination (eqvt)
by lexicographic-order

```

```

lemma
shows AbstTermP-fresh-iff [simp]:
 $a \# AbstTermP \ v \ i \ t \ u \longleftrightarrow a \# v \wedge a \# i \wedge a \# t \wedge a \# u$  (is ?thesis1)
and eval-fm-AbstTermP [simp]:
 $\text{eval-fm } e \ (AbstTermP \ v \ i \ t \ u) \longleftrightarrow \text{AbstTerm } \llbracket v \rrbracket e \ \llbracket i \rrbracket e \ \llbracket t \rrbracket e \ \llbracket u \rrbracket e$  (is ?thesis2)
and AbstTermP-sf [iff]:
 $\Sigma\text{-fm } (AbstTermP \ v \ i \ t \ u)$  (is ?thsf)
proof –
obtain  $s::name \text{ and } k::name$  where atom  $s \# (v,i,t,u,k)$  atom  $k \# (v,i,t,u)$ 
      by (metis obtain-fresh)
thus ?thesis1 ?thesis2 ?thsf
      by (auto simp: AbstTerm-def q-defs)
qed

```

```

lemma AbstTermP-subst [simp]:
 $(AbstTermP \ v \ i \ t \ u)(j:=w) = AbstTermP \ (\text{subst } j \ w \ v) \ (\text{subst } j \ w \ i) \ (\text{subst } j \ w \ t) \ (\text{subst } j \ w \ u)$ 
proof –
obtain  $s::name \text{ and } k::name$  where atom  $s \# (v,i,t,u,w,j,k)$  atom  $k \# (v,i,t,u,w,j)$ 

```

```

    by (metis obtain-fresh)
 $\text{thus } ?\text{thesis}$ 
    by (simp add: AbstTermP.simps [of s - - - k])
qed

```

```
declare AbstTermP.simps [simp del]
```

### 5.4.3 Correctness: It Coincides with Abstraction over real terms

```
lemma not-is-Var-is-Ind: is-Var v  $\implies \neg$  is-Ind v
  by (auto simp: is-Var-def is-Ind-def)
```

```
lemma AbstTerm-imp-abst-dbtm:
  assumes AbstTerm v i x x'
  shows  $\exists t. x = \llbracket \text{quot-dbtm } t \rrbracket e \wedge$ 
         $x' = \llbracket \text{quot-dbtm} (\text{abst-dbtm} (\text{decode-Var } v) (\text{nat-of-ord } i) t) \rrbracket e$ 
proof -
  obtain s k where v: is-Var v and i: Ord i and sk: SeqStTerm v (q-Ind i) x x'
  s k
  using assms
  by (auto simp: AbstTerm-def SeqStTerm-def)
  from sk [unfolded SeqStTerm-def, THEN conjunct2]
  show ?thesis
  proof (induct x x' rule: BuildSeq2-induct)
    case (B x x') thus ?case using v i
      apply (auto simp: not-is-Var-is-Ind)
      apply (rule-tac [1] x=DBInd (nat-of-ord (hsnd x)) in exI)
      apply (rule-tac [2] x=DBVar (decode-Var v) in exI)
      apply (case-tac [3] is-Var x)
      apply (rule-tac [3] x=DBVar (decode-Var x) in exI)
      apply (rule-tac [4] x=DBZero in exI)
      apply (auto simp: is-Ind-def q-Ind-def is-Var-iff [symmetric])
      apply (metis hmem-0-Ord is-Var-def)
      done
    next
    case (C x x' y y' z z')
    then obtain tm1 and tm2
    where y =  $\llbracket \text{quot-dbtm } tm1 \rrbracket e$ 
          y' =  $\llbracket \text{quot-dbtm} (\text{abst-dbtm} (\text{decode-Var } v) (\text{nat-of-ord } i) tm1) \rrbracket e$ 
          z =  $\llbracket \text{quot-dbtm } tm2 \rrbracket e$ 
          z' =  $\llbracket \text{quot-dbtm} (\text{abst-dbtm} (\text{decode-Var } v) (\text{nat-of-ord } i) tm2) \rrbracket e$ 
    by blast
    thus ?case
      by (auto simp: wf-dbtm.intros C q-Eats-def intro!: exI [where x=DBEats tm1 tm2])
    qed
  qed
```

```

lemma AbstTerm-abst-dbtm:
  AbstTerm (q-Var i) (ord-of n) [[quot-dbtm t]]e
    [[quot-dbtm (abst-dbtm i n t)]]e
  by (induct t rule: dbtm.induct)
    (auto simp: AbstTerm-def SeqStTerm-def q-defs intro: BuildSeq2-exI Build-
Seq2-combine)

```

## 5.5 Substitution over terms

```

definition SubstTerm :: hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool
  where SubstTerm v u x x'  $\equiv$  Term u  $\wedge$  ( $\exists$  s k. SeqStTerm v u x x' s k)

```

### 5.5.1 Defining the syntax

```

nominal-function SubstTermP :: tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm
  where [[atom s #: (v,i,t,u,k); atom k #: (v,i,t,u)]]  $\implies$ 
    SubstTermP v i t u = TermP i AND Ex s (Ex k (SeqStTermP v i t u (Var s)
(Var k)))
  by (auto simp: eqvt-def SubstTermP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

```

nominal-termination (eqvt)
  by lexicographic-order

```

```

lemma
  shows SubstTermP-fresh-iff [simp]:
    a #: SubstTermP v i t u  $\longleftrightarrow$  a #: v  $\wedge$  a #: i  $\wedge$  a #: t  $\wedge$  a #: u (is ?thesis1)
    and eval-fm-SubstTermP [simp]:
      eval-fm e (SubstTermP v i t u)  $\longleftrightarrow$  SubstTerm [[v]]e [[i]]e [[t]]e [[u]]e (is
?thesis2)
    and SubstTermP-sf [iff]:
      Sigma-fm (SubstTermP v i t u) (is ?thsf)
    and SubstTermP-imp-TermP:
      { SubstTermP v i t u }  $\vdash$  TermP i (is ?thterm)
    and SubstTermP-imp-VarP:
      { SubstTermP v i t u }  $\vdash$  VarP v (is ?thvar)
proof -
  obtain s::name and k::name where atom s #: (v,i,t,u,k) atom k #: (v,i,t,u)
    by (metis obtain-fresh)
  thus ?thesis1 ?thesis2 ?thsf ?thterm ?thvar
    by (auto simp: SubstTerm-def intro: SeqStTermP-imp-VarP thin2)
qed

```

```

lemma SubstTermP-subst [simp]:
  (SubstTermP v i t u)(j ::= w) = SubstTermP (subst j w v) (subst j w i) (subst
j w t) (subst j w u)
proof -
  obtain s::name and k::name
    where atom s #: (v,i,t,u,w,j,k) atom k #: (v,i,t,u,w,j)

```

```

by (metis obtain-fresh)
thus ?thesis
  by (simp add: SubstTermP.simps [of s - - - k])
qed

lemma SubstTermP-cong:
   $\llbracket H \vdash v \text{ EQ } v'; H \vdash i \text{ EQ } i'; H \vdash t \text{ EQ } t'; H \vdash u \text{ EQ } u' \rrbracket$ 
   $\implies H \vdash \text{SubstTermP } v \ i \ t \ u \text{ IFF } \text{SubstTermP } v' \ i' \ t' \ u'$ 
  by (rule P4-cong) auto

declare SubstTermP.simps [simp del]

lemma SubstTerm-imp-subst-dbtm:
  assumes SubstTerm v  $\llbracket \text{quot-dbtm } u \rrbracket e x x'$ 
  shows  $\exists t. x = \llbracket \text{quot-dbtm } t \rrbracket e \wedge x' = \llbracket \text{quot-dbtm } (\text{subst-dbtm } u (\text{decode-Var } v) t) \rrbracket e$ 
proof -
  obtain s k where v: is-Var v and u: Term  $\llbracket \text{quot-dbtm } u \rrbracket e$ 
    and sk: SeqStTerm v  $\llbracket \text{quot-dbtm } u \rrbracket e x x' s k$ 
  using assms [unfolded SubstTerm-def]
  by (auto simp: SeqStTerm-def)
  from sk [unfolded SeqStTerm-def, THEN conjunct2]
  show ?thesis
  proof (induct x x' rule: BuildSeq2-induct)
    case (B x x') thus ?case using v
      apply (auto simp: not-is-Var-is-Ind)
      apply (rule-tac [1] x=DBInd (nat-of-ord (hsnd x)) in exI)
      apply (rule-tac [2] x=DBVar (decode-Var v) in exI)
      apply (case-tac [3] is-Var x)
      apply (rule-tac [3] x=DBVar (decode-Var x) in exI)
      apply (rule-tac [4] x=DBZero in exI)
      apply (auto simp: is-Ind-def q-Ind-def is-Var-iff [symmetric])
      apply (metis hmem-0-Ord is-Var-def)
      done
    next
    case (C x x' y y' z z')
    then obtain tm1 and tm2
      where y =  $\llbracket \text{quot-dbtm } tm1 \rrbracket e$ 
        y' =  $\llbracket \text{quot-dbtm } (\text{subst-dbtm } u (\text{decode-Var } v) tm1) \rrbracket e$ 
        z =  $\llbracket \text{quot-dbtm } tm2 \rrbracket e$ 
        z' =  $\llbracket \text{quot-dbtm } (\text{subst-dbtm } u (\text{decode-Var } v) tm2) \rrbracket e$ 
      by blast
    thus ?case
      by (auto simp: wf-dbtm.intros C q-Eats-def intro!: exI [where x=DBEats tm1 tm2])
    qed
  qed

corollary SubstTerm-imp-subst-dbtm':

```

```

assumes SubstTerm v y x x'
obtains t::dbtm and u::dbtm
where y = [[quot-dbtm u]]e
      x = [[quot-dbtm t]]e
      x' = [[quot-dbtm (subst-dbtm u (decode-Var v) t)]]e
by (metis SubstTerm-def SubstTerm-imp-subst-dbtm Term-imp-is-tm assms quot-tm-def)

```

```

lemma SubstTerm-subst-dbtm:
assumes Term [[quot-dbtm u]]e
shows SubstTerm (q-Var v) [[quot-dbtm u]]e [[quot-dbtm t]]e [[quot-dbtm (subst-dbtm
u v t)]]e
by (induct t rule: dbtm.induct)
  (auto simp: assms SubstTerm-def SeqStTerm-def q-defs intro: BuildSeq2-exI
BuildSeq2-combine)

```

## 5.6 Abstraction over formulas

### 5.6.1 The predicate *AbstAtomicP*

```

definition AbstAtomic :: hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool
where AbstAtomic v i y y'  $\equiv$ 
   $(\exists t u t' u'. \text{AbstTerm } v i t t' \wedge \text{AbstTerm } v i u u' \wedge$ 
   $((y = q\text{-Eq } t u \wedge y' = q\text{-Eq } t' u') \vee (y = q\text{-Mem } t u \wedge y' = q\text{-Mem } t'$ 
   $u')))$ 

```

```

nominal-function AbstAtomicP :: tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm
where [[atom t # (v,i,y,y',t',u,u')]; atom t' # (v,i,y,y',u,u');  

  atom u # (v,i,y,y',u'); atom u' # (v,i,y,y')]]  $\Longrightarrow$   

  AbstAtomicP v i y y' =  

  Ex t (Ex u (Ex t' (Ex u'  

    (AbstTermP v i (Var t) (Var t') AND AbstTermP v i (Var u) (Var u'))  

  AND  

  ((y EQ Q-Eq (Var t) (Var u) AND y' EQ Q-Eq (Var t') (Var  

  u')) OR  

  (y EQ Q-Mem (Var t) (Var u) AND y' EQ Q-Mem (Var t')  

  (Var u'))))))))  

by (auto simp: eqvt-def AbstAtomicP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

```

nominal-termination (eqvt)
by lexicographic-order

```

```

lemma
shows AbstAtomicP-fresh-iff [simp]:
  a # AbstAtomicP v i y y'  $\longleftrightarrow$  a # v  $\wedge$  a # i  $\wedge$  a # y  $\wedge$  a # y'      (is ?thesis1)
and eval-fm-AbstAtomicP [simp]:
  eval-fm e (AbstAtomicP v i y y')  $\longleftrightarrow$  AbstAtomic [[v]]e [[i]]e [[y]]e [[y']]e  (is
?thesis2)
and AbstAtomicP-sf [iff]: Sigma-fm (AbstAtomicP v i y y')                      (is ?thsf)

```

```

proof -
obtain  $t::name$  and  $u::name$  and  $t'::name$  and  $u'::name$ 
  where atom  $t \# (v,i,y,y',t',u,u')$  atom  $t' \# (v,i,y,y',u,u')$ 
        atom  $u \# (v,i,y,y',u')$  atom  $u' \# (v,i,y,y')$ 
  by (metis obtain-fresh)
thus ?thesis1 ?thesis2 ?thsf
  by (auto simp: AbstAtomic-def q-defs)
qed

lemma AbstAtomicP-subst [simp]:
  ( $\text{AbstAtomicP } v \text{ tm } y \text{ y}'(i ::= w) = \text{AbstAtomicP } (\text{subst } i \text{ w } v) (\text{subst } i \text{ w } \text{tm})$ )
  ( $\text{subst } i \text{ w } y) (\text{subst } i \text{ w } y')$ 
proof -
obtain  $t::name$  and  $u::name$  and  $t'::name$  and  $u'::name$ 
  where atom  $t \# (v,tm,y,y',w,i,t',u,u')$  atom  $t' \# (v,tm,y,y',w,i,u,u')$ 
        atom  $u \# (v,tm,y,y',w,i,u')$  atom  $u' \# (v,tm,y,y',w,i)$ 
  by (metis obtain-fresh)
thus ?thesis
  by (simp add: AbstAtomicP.simps [of  $t \dots t' u u'$ ])
qed

declare AbstAtomicP.simps [simp del]

```

### 5.6.2 The predicate AbsMakeForm

```

definition AbstMakeForm :: hf  $\Rightarrow$  hf
 $\Rightarrow$  bool
where AbstMakeForm  $k \text{ y } y' \text{ i } u \text{ u' } j \text{ w } w' \equiv$ 
  Ord  $k \wedge$ 
   $((k = i \wedge k = j \wedge y = q\text{-Disj } u \text{ w} \wedge y' = q\text{-Disj } u' \text{ w'}) \vee$ 
   $(k = i \wedge y = q\text{-Neg } u \wedge y' = q\text{-Neg } u') \vee$ 
   $(\text{succ } k = i \wedge y = q\text{-Ex } u \wedge y' = q\text{-Ex } u'))$ 

definition SeqAbstForm :: hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool
where SeqAbstForm  $v \text{ i } x \text{ x' } s \text{ k } \equiv$ 
  BuildSeq3 (AbstAtomic v) AbstMakeForm s k i x x'

```

```

nominal-function SeqAbstFormP :: tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm
where  $\llbracket$  atom  $l \# (s,k,v,\text{sli},\text{sl},\text{sl}',m,n,\text{smi},\text{sm},\text{sm}',\text{sni},\text{sn},\text{sn}')$ ;
  atom  $\text{sli} \# (s,v,\text{sl},\text{sl}',m,n,\text{smi},\text{sm},\text{sm}',\text{sni},\text{sn},\text{sn}')$ ;
  atom  $\text{sl} \# (s,v,\text{sl}',m,n,\text{smi},\text{sm},\text{sm}',\text{sni},\text{sn},\text{sn}')$ ;
  atom  $\text{sl}' \# (s,v,m,n,\text{smi},\text{sm},\text{sm}',\text{sni},\text{sn},\text{sn}')$ ;
  atom  $m \# (s,n,\text{smi},\text{sm},\text{sm}',\text{sni},\text{sn},\text{sn}')$ ;
  atom  $n \# (s,\text{smi},\text{sm},\text{sm}',\text{sni},\text{sn},\text{sn}')$ ; atom  $\text{smi} \# (s,\text{sm},\text{sm}',\text{sni},\text{sn},\text{sn}')$ ;
  atom  $\text{sm} \# (s,\text{sm}',\text{sni},\text{sn},\text{sn}')$ ; atom  $\text{sm}' \# (s,\text{sni},\text{sn},\text{sn}')$ ;
  atom  $\text{sni} \# (s,\text{sn},\text{sn}')$ ; atom  $\text{sn} \# (s,\text{sn}')$ ; atom  $\text{sn}' \# (s)\rrbracket \implies$ 
  SeqAbstFormP  $v \text{ i } x \text{ x' } s \text{ k } =$ 
  LstSeqP s k (HPair i (HPair x x')) AND
  All2 l (SUCC k) (Ex sli (Ex sl (Ex sl' (HPair (Var l) (HPair (Var sli) (HPair

```

```

(Var sl) (Var sl')))) IN s AND
  (AbstAtomicP v (Var sli) (Var sl) (Var sl') OR
  OrdP (Var sli) AND
  Ex m (Ex n (Ex smi (Ex sm (Ex sm' (Ex sni (Ex sn (Ex sn'
    (Var m IN Var l AND Var n IN Var l AND
    HPair (Var m) (HPair (Var smi) (HPair (Var sm) (Var sm')))))

IN s AND
  HPair (Var n) (HPair (Var sni) (HPair (Var sn) (Var sn')))

IN s AND
  ((Var sli EQ Var smi AND Var sli EQ Var sni AND
  Var sl EQ Q-Disj (Var sm) (Var sn) AND
  Var sl' EQ Q-Disj (Var sm') (Var sn')) OR
  (Var sli EQ Var smi AND
  Var sl EQ Q-Neg (Var sm) AND Var sl' EQ Q-Neg (Var sm')))

OR
  (SUCC (Var sli) EQ Var smi AND
  Var sl EQ Q-Ex (Var sm) AND Var sl' EQ Q-Ex (Var
  sm'))))))))))))))))

by (auto simp: eqvt-def SeqAbstFormP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

### nominal-termination (eqvt)

by lexicographic-order

#### lemma

shows SeqAbstFormP-fresh-iff [simp]:

$a \# SeqAbstFormP v i x x' s k \longleftrightarrow a \# v \wedge a \# i \wedge a \# x \wedge a \# x' \wedge a \# s \wedge a \# k$  (**is ?thesis1**)

and eval-fm-SeqAbstFormP [simp]:

$eval-fm e (SeqAbstFormP v i x x' s k) \longleftrightarrow SeqAbstForm [[v]]e [[i]]e [[x]]e [[x']]e [[s]]e [[k]]e$  (**is ?thesis2**)

and SeqAbstFormP-sf [iff]:

$Sigma-fm (SeqAbstFormP v i x x' s k)$  (**is ?thsf**)

#### proof –

obtain  $l::name$  and  $sli::name$  and  $sl::name$  and  $sl'::name$  and  $m::name$  and  $n::name$  and

$smi::name$  and  $sm::name$  and  $sm'::name$  and  $sni::name$  and  $sn::name$  and  $sn'::name$

where atoms:

$atom l \# (s,k,v,sli,sl,sl',m,n,smi,sm,sm',sni,sn,sn')$

$atom sli \# (s,v,sl,sl',m,n,smi,sm,sm',sni,sn,sn')$

$atom sl \# (s,v,sl',m,n,smi,sm,sm',sni,sn,sn')$

$atom sl' \# (s,v,m,n,smi,sm,sm',sni,sn,sn')$

$atom m \# (s,n,smi,sm,sm',sni,sn,sn')$  atom  $n \# (s,smi,sm,sm',sni,sn,sn')$

$atom smi \# (s,sm,sm',sni,sn,sn')$

$atom sm \# (s,sm',sni,sn,sn')$

$atom sm' \# (s,sni,sn,sn')$

$atom sni \# (s,sn,sn')$  atom  $sn \# (s,sn')$  atom  $sn' \# s$

```

by (metis obtain-fresh)
thus ?thesis1 ?thsf
  by (auto intro: LstSeqP-OrdP)
  show ?thesis2 using atoms
    unfolding SeqAbstForm-def BuildSeq3-def BuildSeq-def Builds-def
      HBall-def HBex-def q-defs AbstMakeForm-def
    by (force simp add: LstSeq-imp-Ord Ord-trans [of - - succ `k` e]
      Seq-iff-app [of `s` e, OF LstSeq-imp-Seq-succ]
      intro!: conj-cong [OF refl] all-cong)
qed

lemma SeqAbstFormP-subst [simp]:
  (SeqAbstFormP v u x x' s k)(i:=t) =
  SeqAbstFormP (subst i t v) (subst i t u) (subst i t x) (subst i t x') (subst i t
  s) (subst i t k)
proof -
  obtain l::name and sli::name and sl::name and sl'::name and m::name and
  n::name and
    smi::name and sm::name and sm'::name and sni::name and sn::name
  and sn'::name
    where atom l # (i,t,s,k,v,sli,sl,sl',m,n,smi,sm,sm',sni,sn,sn')
    atom sli # (i,t,s,v,sl,sl',m,n,smi,sm,sm',sni,sn,sn')
    atom sl # (i,t,s,v,sl',m,n,smi,sm,sm',sni,sn,sn')
    atom sl' # (i,t,s,v,m,n,smi,sm,sm',sni,sn,sn')
    atom m # (i,t,s,n,smi,sm,sm',sni,sn,sn')
    atom n # (i,t,s,smi,sm,sm',sni,sn,sn')
    atom smi # (i,t,s,sm,sm',sni,sn,sn')
    atom sm # (i,t,s,sm',sni,sn,sn') atom sm' # (i,t,s,sni,sn,sn')
    atom sni # (i,t,s,sn,sn') atom sn # (i,t,s,sn') atom sn' # (i,t,s)
  by (metis obtain-fresh)
thus ?thesis
  by (force simp add: SeqAbstFormP.simps [of l - - - sli sl sl' m n smi sm sm'
  sni sn sn'])
qed

declare SeqAbstFormP.simps [simp del]

```

### 5.6.3 Defining the syntax: the main AbstForm predicate

**definition**  $\text{AbstForm} :: hf \Rightarrow hf \Rightarrow hf \Rightarrow hf \Rightarrow \text{bool}$   
**where**  $\text{AbstForm } v \ i \ x \ x' \equiv \text{is-Var } v \wedge \text{Ord } i \wedge (\exists s \ k. \text{SeqAbstForm } v \ i \ x \ x' \ s \ k)$

**nominal-function**  $\text{AbstFormP} :: tm \Rightarrow tm \Rightarrow tm \Rightarrow tm \Rightarrow fm$   
**where**  $\llbracket \text{atom } s \ # (v, i, x, x', k);$   
 $\quad \text{atom } k \ # (v, i, x, x') \rrbracket \implies$   
 $\quad \text{AbstFormP } v \ i \ x \ x' = \text{VarP } v \text{ AND } \text{OrdP } i \text{ AND } \text{Ex } s \ (\text{Ex } k \ (\text{SeqAbstFormP } v$   
 $\quad i \ x \ x' \ (\text{Var } s) \ (\text{Var } k)))$   
**by** (auto simp: eqvt-def AbstFormP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (*eqvt*)  
 by lexicographic-order

**lemma**

shows *AbstFormP-fresh-iff* [simp]:

$a \notin \text{AbstFormP } v i x x' \longleftrightarrow a \notin v \wedge a \notin i \wedge a \notin x \wedge a \notin x'$  (**is** ?thesis1)

and *eval-fm-AbstFormP* [simp]:

*eval-fm e* (*AbstFormP v i x x'*)  $\longleftrightarrow \text{AbstForm} [\![v]\!]e [\![i]\!]e [\![x]\!]e [\![x]\!]e$  (**is** ?thesis2)

and *AbstFormP-sf* [iff]:

*Sigma-fm* (*AbstFormP v i x x'*) (**is** ?thsf)

**proof** –

obtain *s::name* and *k::name* where atom *s*  $\# (v, i, x, x', k)$  atom *k*  $\# (v, i, x, x')$

by (metis obtain-fresh)

thus ?thesis1 ?thesis2 ?thsf

by (auto simp: AbstForm-def)

qed

**lemma** *AbstFormP-subst* [simp]:

$(\text{AbstFormP } v i x x')(j := t) = \text{AbstFormP } (\text{subst } j t v) (\text{subst } j t i) (\text{subst } j t x) (\text{subst } j t x')$

**proof** –

obtain *s::name* and *k::name* where atom *s*  $\# (v, i, x, x', t, j, k)$  atom *k*  $\# (v, i, x, x', t, j)$

by (metis obtain-fresh)

thus ?thesis

by (auto simp: AbstFormP.simps [of *s* - - - *k*])

qed

declare *AbstFormP.simps* [simp del]

#### 5.6.4 Correctness: It Coincides with Abstraction over real Formulas

**lemma** *AbstForm-imp-Ord*: *AbstForm v u x x'  $\implies$  Ord v*

by (metis AbstForm-def is-Var-def)

**lemma** *AbstForm-imp-abst-dbfm*:

assumes *AbstForm v i x x'*

shows  $\exists A. x = [\![\text{quot-dbfm } A]\!]e \wedge$

$x' = [\![\text{quot-dbfm } (\text{abst-dbfm } (\text{decode-Var } v) (\text{nat-of-ord } i) A)]!]e$

**proof** –

obtain *s k* where *v: is-Var v* and *i: Ord i* and *sk: SeqAbstForm v i x x' s k*

using assms [unfolded AbstForm-def]

by auto

from *sk* [unfolded SeqAbstForm-def]

show ?thesis

**proof** (induction *i x x'* rule: BuildSeq3-induct)

case (*B i x x'*) thus ?case

apply (auto simp: AbstAtomic-def dest!: AbstTerm-imp-abst-dbtm [where *e=e*])

```

apply (rule-tac [1] x=DBEq ta tb in exI)
apply (rule-tac [2] x=DBMem ta tb in exI)
apply (auto simp: q-defs)
done
next
case (C i x x' j y y' k z z')
then obtain A1 and A2
  where y = quot-dbfm A1]e
        y' = quot-dbfm (abst-dbfm (decode-Var v) (nat-of-ord j) A1)]e
        z = quot-dbfm A2]e
        z' = quot-dbfm (abst-dbfm (decode-Var v) (nat-of-ord k) A2)]e
  by blast
with C.hyps show ?case
  apply (auto simp: AbstMakeForm-def)
  apply (rule-tac [1] x=DBDisj A1 A2 in exI)
  apply (rule-tac [2] x=DBNeg A1 in exI)
  apply (rule-tac [3] x=DBEx A1 in exI)
  apply (auto simp: C q-defs)
done
qed
qed

```

**lemma** *AbstForm-abst-dbfm*:

*AbstForm* (*q-Var i*) (*ord-of n*)  $\llbracket \text{quot-dbfm } fm \rrbracket e \llbracket \text{quot-dbfm } (\text{abst-dbfm } i \ n \ fm) \rrbracket e$

**apply** (*induction fm arbitrary: n rule: dbfm.induct*)

**apply** (*force simp add: AbstForm-def SeqAbstForm-def AbstMakeForm-def AbstAtomic-def*

*AbstTerm-abst-dbtm htuple-minus-1 q-defs simp del: q-Var-def intro: BuildSeq3-exI BuildSeq3-combine*)+

**done**

## 5.7 Substitution over formulas

### 5.7.1 The predicate *SubstAtomicP*

**definition** *SubstAtomic* :: *hf*  $\Rightarrow$  *hf*  $\Rightarrow$  *hf*  $\Rightarrow$  *hf*  $\Rightarrow$  *bool*

**where** *SubstAtomic* *v tm y y'*  $\equiv$

$(\exists t u t' u'. \text{SubstTerm } v \ tm \ t \ t' \wedge \text{SubstTerm } v \ tm \ u \ u' \wedge$

$((y = q\text{-Eq } t \ u \wedge y' = q\text{-Eq } t' \ u') \vee (y = q\text{-Mem } t \ u \wedge y' = q\text{-Mem } t' \ u')))$

**nominal-function** *SubstAtomicP* :: *tm*  $\Rightarrow$  *tm*  $\Rightarrow$  *tm*  $\Rightarrow$  *tm*  $\Rightarrow$  *fm*

**where**  $\llbracket \text{atom } t \ # (v, tm, y, y', t', u, u') \rrbracket;$

$\text{atom } t' \ # (v, tm, y, y', u, u');$

$\text{atom } u \ # (v, tm, y, y', u');$

$\text{atom } u' \ # (v, tm, y, y') \rrbracket \implies$

*SubstAtomicP* *v tm y y'*  $=$

*Ex t (Ex u (Ex t' (Ex u' (SubstTermP v tm (Var t) (Var t') AND SubstTermP v tm (Var u) (Var*

$u') \text{ AND}$   
 $((y \text{ EQ } Q\text{-Eq} (\text{Var } t) (\text{Var } u) \text{ AND } y' \text{ EQ } Q\text{-Eq} (\text{Var } t') (\text{Var } u')) \text{ OR}$   
 $(y \text{ EQ } Q\text{-Mem} (\text{Var } t) (\text{Var } u) \text{ AND } y' \text{ EQ } Q\text{-Mem} (\text{Var } t') (\text{Var } u')))))$   
**by** (auto simp: eqvt-def SubstAtomicP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)  
**by** lexicographic-order

**lemma**

**shows** SubstAtomicP-fresh-iff [simp]:

$a \notin \text{SubstAtomicP } v \text{ tm } y \text{ } y' \longleftrightarrow a \notin v \wedge a \notin \text{tm} \wedge a \notin y \wedge a \notin y'$  (is ?thesis1)

**and** eval-fm-SubstAtomicP [simp]:

$\text{eval-fm } e (\text{SubstAtomicP } v \text{ tm } y \text{ } y') \longleftrightarrow \text{SubstAtomic } \llbracket v \rrbracket e \llbracket \text{tm} \rrbracket e \llbracket y \rrbracket e \llbracket y' \rrbracket e$  (is ?thesis2)

**and** SubstAtomicP-sf [iff]: Sigma-fm (SubstAtomicP v tm y y') (is ?thsf)

**proof** –

**obtain**  $t::\text{name}$  **and**  $u::\text{name}$  **and**  $t'::\text{name}$  **and**  $u'::\text{name}$

**where** atom  $t \notin (v, \text{tm}, y, y', t', u, u')$  atom  $t' \notin (v, \text{tm}, y, y', u, u')$

atom  $u \notin (v, \text{tm}, y, y', u')$  atom  $u' \notin (v, \text{tm}, y, y')$

**by** (metis obtain-fresh)

**thus** ?thesis1 ?thesis2 ?thsf

**by** (auto simp: SubstAtomic-def q-defs)

**qed**

**lemma** SubstAtomicP-subst [simp]:

$(\text{SubstAtomicP } v \text{ tm } y \text{ } y')(i ::= w) = \text{SubstAtomicP } (\text{subst } i \text{ w } v) (\text{subst } i \text{ w } \text{tm})$   
 $(\text{subst } i \text{ w } y) (\text{subst } i \text{ w } y')$

**proof** –

**obtain**  $t::\text{name}$  **and**  $u::\text{name}$  **and**  $t'::\text{name}$  **and**  $u'::\text{name}$

**where** atom  $t \notin (v, \text{tm}, y, y', w, i, t', u, u')$  atom  $t' \notin (v, \text{tm}, y, y', w, i, u, u')$

atom  $u \notin (v, \text{tm}, y, y', w, i, u')$  atom  $u' \notin (v, \text{tm}, y, y', w, i)$

**by** (metis obtain-fresh)

**thus** ?thesis

**by** (simp add: SubstAtomicP.simps [of  $t \dots t' u u'$ ])

**qed**

**lemma** SubstAtomicP-cong:

$\llbracket H \vdash v \text{ EQ } v'; H \vdash \text{tm} \text{ EQ } \text{tm}'; H \vdash x \text{ EQ } x'; H \vdash y \text{ EQ } y' \rrbracket$   
 $\implies H \vdash \text{SubstAtomicP } v \text{ tm } x \text{ } y \text{ IFF } \text{SubstAtomicP } v' \text{ tm}' \text{ } x' \text{ } y'$

**by** (rule P4-cong) auto

### 5.7.2 The predicate SubstMakeForm

**definition** SubstMakeForm :: hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool

**where**  $\text{SubstMakeForm } y \ y' \ u \ u' \ w \ w' \equiv$   
 $((y = q\text{-Disj } u \ w \wedge y' = q\text{-Disj } u' \ w') \vee$   
 $(y = q\text{-Neg } u \wedge y' = q\text{-Neg } u') \vee$   
 $(y = q\text{-Ex } u \wedge y' = q\text{-Ex } u'))$

**definition**  $\text{SeqSubstForm} :: hf \Rightarrow hf \Rightarrow hf \Rightarrow hf \Rightarrow hf \Rightarrow \text{bool}$   
**where**  $\text{SeqSubstForm } v \ u \ x \ x' \ s \ k \equiv \text{BuildSeq2} (\text{SubstAtomic } v \ u) \ \text{SubstMakeForm}$   
 $s \ k \ x \ x'$

**nominal-function**  $\text{SeqSubstFormP} :: tm \Rightarrow tm \Rightarrow tm \Rightarrow tm \Rightarrow tm \Rightarrow fm$   
**where**  $\llbracket \text{atom } l \ # (s, k, v, u, sl, sl', m, n, sm, sm', sn, sn') \rrbracket;$   
 $\text{atom } sl \ # (s, v, u, sl', m, n, sm, sm', sn, sn');$   
 $\text{atom } sl' \ # (s, v, u, m, n, sm, sm', sn, sn');$   
 $\text{atom } m \ # (s, n, sm, sm', sn, sn'); \text{ atom } n \ # (s, sm, sm', sn, sn');$   
 $\text{atom } sm \ # (s, sm', sn, sn'); \text{ atom } sm' \ # (s, sn, sn');$   
 $\text{atom } sn \ # (s, sn'); \text{ atom } sn' \ # s \rrbracket \implies$   
 $\text{SeqSubstFormP } v \ u \ x \ x' \ s \ k =$   
 $LstSeqP \ s \ k \ (HPair \ x \ x') \text{ AND}$   
 $All2 \ l \ (\text{SUCC } k) \ (\text{Ex } sl \ (\text{Ex } sl' \ (\text{HPair } (\text{Var } l) \ (\text{HPair } (\text{Var } sl) \ (\text{Var } sl')) \text{ IN}$   
 $s \text{ AND}$   
 $(\text{SubstAtomicP } v \ u \ (\text{Var } sl) \ (\text{Var } sl') \text{ OR}$   
 $\text{Ex } m \ (\text{Ex } n \ (\text{Ex } sm \ (\text{Ex } sm' \ (\text{Ex } sn \ (\text{Ex } sn' \ (\text{Var } m \text{ IN } \text{Var } l \text{ AND}$   
 $\text{Var } n \text{ IN } \text{Var } l \text{ AND}$   
 $\text{HPair } (\text{Var } m) \ (\text{HPair } (\text{Var } sm) \ (\text{Var } sm')) \text{ IN } s \text{ AND}$   
 $\text{HPair } (\text{Var } n) \ (\text{HPair } (\text{Var } sn) \ (\text{Var } sn')) \text{ IN } s \text{ AND}$   
 $((\text{Var } sl \text{ EQ } Q\text{-Disj } (\text{Var } sm) \ (\text{Var } sn)) \text{ AND}$   
 $\text{Var } sl' \text{ EQ } Q\text{-Disj } (\text{Var } sm') \ (\text{Var } sn') \text{ OR}$   
 $(\text{Var } sl \text{ EQ } Q\text{-Neg } (\text{Var } sm) \text{ AND } \text{Var } sl' \text{ EQ } Q\text{-Neg } (\text{Var } sm'))$   
 $\text{OR}$   
 $(\text{Var } sl \text{ EQ } Q\text{-Ex } (\text{Var } sm) \text{ AND } \text{Var } sl' \text{ EQ } Q\text{-Ex } (\text{Var } sm')))))))))))))$   
**apply** (*simp-all add: eqvt-def SeqSubstFormP-graph-aux-def flip-fresh-fresh*)  
**by** *auto (metis obtain-fresh)*

**nominal-termination** (*eqvt*)  
**by** *lexicographic-order*

**lemma**  
**shows** *SeqSubstFormP-fresh-iff [simp]*:  
 $a \ # \text{SeqSubstFormP } v \ u \ x \ x' \ s \ k \longleftrightarrow a \ # v \wedge a \ # u \wedge a \ # x \wedge a \ # x' \wedge a \ # s \wedge$   
 $a \ # k \ (\text{is } ?thesis1)$   
**and** *eval-fm-SeqSubstFormP [simp]*:  
 $\text{eval-fm } e \ (\text{SeqSubstFormP } v \ u \ x \ x' \ s \ k) \longleftrightarrow$   
 $\text{SeqSubstForm } \llbracket v \rrbracket e \ \llbracket u \rrbracket e \ \llbracket x \rrbracket e \ \llbracket x' \rrbracket e \ \llbracket s \rrbracket e \ \llbracket k \rrbracket e \ (\text{is } ?thesis2)$   
**and** *SeqSubstFormP-sf [iff]*:  
 $\text{Sigma-fm } (\text{SeqSubstFormP } v \ u \ x \ x' \ s \ k) \ (\text{is } ?thsf)$   
**and** *SeqSubstFormP-imp-OrdP*:  
 $\{ \text{SeqSubstFormP } v \ u \ x \ x' \ s \ k \} \vdash \text{OrdP } k \ (\text{is } ?thOrd)$   
**and** *SeqSubstFormP-imp-LstSeqP*:

```

{ SeqSubstFormP v u x x' s k } ⊢ LstSeqP s k (HPair x x') (is ?thLstSeq)
proof –
  obtain l::name and sl::name and sl'::name and m::name and n::name and
    sm::name and sm'::name and sn::name and sn'::name
  where atoms:
    atom l # (s,k,v,u,sl,sl',m,n,sm,sm',sn,sn')
    atom sl # (s,v,u,sl',m,n,sm,sm',sn,sn')
    atom sl' # (s,v,u,m,n,sm,sm',sn,sn')
    atom m # (s,n,sm,sm',sn,sn') atom n # (s,sm,sm',sn,sn')
    atom sm # (s,sm',sn,sn') atom sm' # (s,sn,sn')
    atom sn # (s,sn') atom sn' # (s)
  by (metis obtain-fresh)
  thus ?thesis1 ?thsf ?thOrd ?thLstSeq
  by (auto intro: LstSeqP-OrdP)
  show ?thesis2 using atoms
  unfolding SeqSubstFormP-def BuildSeq2-def BuildSeq-def Builds-def
    HBall-def HBEx-def q-defs SubstMakeForm-def
  by (force simp add: LstSeq-imp-Ord Ord-trans [of - - succ [|k|]e]
    Seq-iff-app [of [|s|]e, OF LstSeq-imp-Seq-succ]
    intro!: conj-cong [OF refl] all-cong)
qed

lemma SeqSubstFormP-subst [simp]:
  (SeqSubstFormP v u x x' s k)(i:=t) =
  SeqSubstFormP (subst i t v) (subst i t u) (subst i t x) (subst i t x') (subst i t
  s) (subst i t k)
proof –
  obtain l::name and sl::name and sl'::name and m::name and n::name and
    sm::name and sm'::name and sn::name and sn'::name
  where atom l # (s,k,v,u,t,i,sl,sl',m,n,sm,sm',sn,sn')
    atom sl # (s,v,u,t,i,sl',m,n,sm,sm',sn,sn')
    atom sl' # (s,v,u,t,i,m,n,sm,sm',sn,sn')
    atom m # (s,t,i,n,sm,sm',sn,sn') atom n # (s,t,i,sm,sm',sn,sn')
    atom sm # (s,t,i,sm',sn,sn') atom sm' # (s,t,i,sn,sn')
    atom sn # (s,t,i,sn') atom sn' # (s,t,i)
  by (metis obtain-fresh)
  thus ?thesis
  by (force simp add: SeqSubstFormP.simps [of l - - - - sl sl' m n sm sm' sn sn'])
qed

lemma SeqSubstFormP-cong:
  [|H ⊢ t EQ t'; H ⊢ u EQ u'; H ⊢ s EQ s'; H ⊢ k EQ k'|]
  ⇒ H ⊢ SeqSubstFormP v i t u s k IFF SeqSubstFormP v i t' u' s' k'
  by (rule P4-cong [where tms=[v,i]]) (auto simp: fresh-Cons)

declare SeqSubstFormP.simps [simp del]

```

### 5.7.3 Defining the syntax: the main SubstForm predicate

```

definition SubstForm :: hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool
where SubstForm v u x x'  $\equiv$  is-Var v  $\wedge$  Term u  $\wedge$  ( $\exists$  s k. SeqSubstForm v u x x' s k)

nominal-function SubstFormP :: tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm
where  $\llbracket \text{atom } s \# (v, i, x, x', k); \text{atom } k \# (v, i, x, x') \rrbracket \implies$ 
      SubstFormP v i x x' =
      VarP v AND TermP i AND Ex s (Ex k (SeqSubstFormP v i x x' (Var s) (Var k)))
by (auto simp: eqvt-def SubstFormP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
by lexicographic-order

lemma
shows SubstFormP-fresh-iff [simp]:
 $a \# \text{SubstFormP } v i x x' \longleftrightarrow a \# v \wedge a \# i \wedge a \# x \wedge a \# x'$  (is ?thesis1)
and eval-fm-SubstFormP [simp]:
 $\text{eval-fm } e (\text{SubstFormP } v i x x') \longleftrightarrow \text{SubstForm } \llbracket v \rrbracket e \llbracket i \rrbracket e \llbracket x \rrbracket e \llbracket x' \rrbracket e$  (is ?thesis2)
and SubstFormP-sf [iff]:
 $\text{Sigma-fm } (\text{SubstFormP } v i x x')$  (is ?thsf)
proof –
obtain s::name and k::name
where atom s  $\# (v, i, x, x', k)$  atom k  $\# (v, i, x, x')$ 
by (metis obtain-fresh)
thus ?thesis1 ?thesis2 ?thsf
by (auto simp: SubstFormP-def)
qed

lemma SubstFormP-subst [simp]:
 $(\text{SubstFormP } v i x x')(j := t) = \text{SubstFormP } (\text{subst } j t v) (\text{subst } j t i) (\text{subst } j t x) (\text{subst } j t x')$ 
proof –
obtain s::name and k::name where atom s  $\# (v, i, x, x', t, j, k)$  atom k  $\# (v, i, x, x', t, j)$ 
by (metis obtain-fresh)
thus ?thesis
by (auto simp: SubstFormP.simps [of s - - - k])
qed

lemma SubstFormP-cong:
 $\llbracket H \vdash v \text{EQ } v'; H \vdash i \text{EQ } i'; H \vdash t \text{EQ } t'; H \vdash u \text{EQ } u' \rrbracket \implies H \vdash \text{SubstFormP } v i t u \text{ IFF } \text{SubstFormP } v' i' t' u'$ 
by (rule P4-cong) auto

lemma ground-SubstFormP [simp]: ground-fm (SubstFormP v y x x')  $\longleftrightarrow$  ground v  $\wedge$  ground y  $\wedge$  ground x  $\wedge$  ground x'

```

by (auto simp: ground-aux-def ground-fm-aux-def supp-conv-fresh)

declare SubstFormP.simps [simp del]

#### 5.7.4 Correctness of substitution over formulas

**lemma** SubstForm-imp-subst-dbfm-lemma:

assumes SubstForm v [quot-dbtm u]e x x'

shows  $\exists A. x = [quot-dbfm A]e \wedge x' = [quot-dbfm (subst-dbfm u (decode-Var v) A)]e$

**proof** –

obtain s k where v: is-Var v and u: Term [quot-dbtm u]e  
 and sk: SeqSubstForm v [quot-dbtm u]e x x' s k  
 using assms [unfolded SubstForm-def]  
 by blast

from sk [unfolded SeqSubstForm-def]

show ?thesis

**proof** (induct x x' rule: BuildSeq2-induct)

case (B x x') thus ?case

apply (auto simp: SubstAtomic-def elim!: SubstTerm-imp-subst-dbtm' [where e=e])  
 apply (rule-tac [1] x=DBEq ta tb in exI)  
 apply (rule-tac [2] x=DbMem ta tb in exI)  
 apply (auto simp: q-defs)  
 done

next

case (C x x' y y' z z')  
 then obtain A and B  
 where y = [quot-dbfm A]e y' = [quot-dbfm (subst-dbfm u (decode-Var v) A)]e  
 z = [quot-dbfm B]e z' = [quot-dbfm (subst-dbfm u (decode-Var v) B)]e  
 by blast

with C.hyps show ?case

apply (auto simp: SubstMakeForm-def)  
 apply (rule-tac [1] x=DBDisj A B in exI)  
 apply (rule-tac [2] x=DBNeg A in exI)  
 apply (rule-tac [3] x=DBEx A in exI)  
 apply (auto simp: C q-defs)  
 done

qed

qed

**lemma** SubstForm-imp-subst-dbfm:

assumes SubstForm v u x x'

obtains t A where u = [quot-dbtm t]e  
 $x = [quot-dbfm A]e$   
 $x' = [quot-dbfm (subst-dbfm t (decode-Var v) A)]e$

**proof** –

obtain t where u = [quot-dbtm t]e  
 using assms [unfolded SubstForm-def]

```

by (metis Term-imp-wf-dbtm)
thus ?thesis
by (metis SubstForm-imp-subst-dbfm-lemma assms that)
qed

lemma SubstForm-subst-dbfm:
assumes u: wf-dbtm u
shows SubstForm (q-Var i) [quot-dbtm u]e [quot-dbfm A]e
      [quot-dbfm (subst-dbfm u i A)]e
apply (induction A rule: dbfm.induct)
apply (force simp: u SubstForm-def SeqSubstForm-def SubstAtomic-def SubstMake-
Form-def
      SubstTerm-subst-dbtm q-defs simp del: q-Var-def
      intro: BuildSeq2-exI BuildSeq2-combine)+
done

corollary SubstForm-subst-dbfm-eq:
[ $v = q\text{-Var } i; \text{Term } ux; ux = [\text{quot-dbtm } u]e; A' = \text{subst-dbfm } u i A]$ 
 $\implies \text{SubstForm } v ux [\text{quot-dbfm } A]e [\text{quot-dbfm } A]e$ 
by (metis SubstForm-subst-dbfm Term-imp-is-tm quot-dbtm-inject-lemma quot-tm-def
wf-dbtm-iff-is-tm)

```

## 5.8 The predicate *AtomicP*

```

definition Atomic :: hf  $\Rightarrow$  bool
where Atomic y  $\equiv$   $\exists t u. \text{Term } t \wedge \text{Term } u \wedge (y = q\text{-Eq } t u \vee y = q\text{-Mem } t u)$ 

nominal-function AtomicP :: tm  $\Rightarrow$  fm
where [atom t # (u,y); atom u # y]  $\implies$ 
      AtomicP y = Ex t (Ex u (TermP (Var t) AND TermP (Var u) AND
      (y EQ Q-Eq (Var t) (Var u) OR
      y EQ Q-Mem (Var t) (Var u)))))
by (auto simp: eqvt-def AtomicP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
by lexicographic-order

lemma
shows AtomicP-fresh-iff [simp]: a # AtomicP y  $\longleftrightarrow$  a # y (is ?thesis1)
and eval-fm-AtomicP [simp]: eval-fm e (AtomicP y)  $\longleftrightarrow$  Atomic[y]e (is
?thesis2)
and AtomicP-sf [iff]: Sigma-fm (AtomicP y) (is ?thsf)
proof -
obtain t::name and u::name where atom t # (u,y) atom u # y
by (metis obtain-fresh)
thus ?thesis1 ?thesis2 ?thsf
by (auto simp: Atomic-def q-defs)
qed

```

## 5.9 The predicate *MakeForm*

```

definition MakeForm :: hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool
where MakeForm y u w  $\equiv$ 
y = q-Disj u w  $\vee$  y = q-Neg u  $\vee$ 
( $\exists$  v u'. AbstForm v 0 u u'  $\wedge$  y = q-Ex u')

nominal-function MakeFormP :: tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm
where [atom v # (y,u,w,au); atom au # (y,u,w)]  $\Longrightarrow$ 
MakeFormP y u w =
y EQ Q-Disj u w OR y EQ Q-Neg u OR
Ex v (Ex au (AbstFormP (Var v) Zero u (Var au) AND y EQ Q-Ex (Var au)))
by (auto simp: eqvt-def MakeFormP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
by lexicographic-order

lemma
shows MakeFormP-fresh-iff [simp]:
a # MakeFormP y u w  $\longleftrightarrow$  a # y  $\wedge$  a # u  $\wedge$  a # w (is ?thesis1)
and eval-fm-MakeFormP [simp]:
eval-fm e (MakeFormP y u w)  $\longleftrightarrow$  MakeForm [y]e [u]e [w]e (is ?thesis2)
and MakeFormP-sf [iff]:
Sigma-fm (MakeFormP y u w) (is ?thsf)
proof -
obtain v::name and au::name where atom v # (y,u,w,au) atom au # (y,u,w)
by (metis obtain-fresh)
thus ?thesis1 ?thesis2 ?thsf
by (auto simp: MakeForm-def q-defs)
qed

declare MakeFormP.simps [simp del]

```

## 5.10 The predicate *SqFormP*

```

definition SqForm :: hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool
where SqForm s k y  $\equiv$  BuildSeq Atomic MakeForm s k y

nominal-function SqFormP :: tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm
where [atom l # (s,k,t,sl,m,n,sm,sn); atom sl # (s,k,t,m,n,sm,sn);
atom m # (s,k,t,n,sm,sn); atom n # (s,k,t,sm,sn);
atom sm # (s,k,t,sn); atom sn # (s,k,t)]  $\Longrightarrow$ 
SqFormP s k t =
LstSeqP s k t AND
All2 n (SUCC k) (Ex sn (HPair (Var n) (Var sn) IN s AND (AtomicP (Var sn) OR
Ex m (Ex l (Ex sm (Ex sl (Var m IN Var n AND Var l IN Var n AND

```

```


$$HPair (\text{Var } m) (\text{Var } sm) \text{ IN } s \text{ AND } HPair (\text{Var } l) (\text{Var } sl) \text{ IN }$$


$$s \text{ AND }$$


$$\text{MakeFormP } (\text{Var } sn) (\text{Var } sm) (\text{Var } sl))))))))$$

by (auto simp: eqvt-def SeqFormP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
by lexicographic-order

lemma
shows SeqFormP-fresh-iff [simp]:

$$a \# \text{SeqFormP } s k t \longleftrightarrow a \# s \wedge a \# k \wedge a \# t \text{ (is ?thesis1)}$$

and eval-fm-SeqFormP [simp]:

$$\text{eval-fm } e (\text{SeqFormP } s k t) \longleftrightarrow \text{SeqForm } [\![s]\!]e [\![k]\!]e [\![t]\!]e \text{ (is ?thesis2)}$$

and SeqFormP-sf [iff]: Sigma-fm (SeqFormP s k t) (is ?thsf)

proof -
obtain l::name and sl::name and m::name and n::name and sm::name and sn::name
where atoms: atom l # (s,k,t,sl,m,n,sm,sn) atom sl # (s,k,t,m,n,sm,sn)

$$\begin{aligned} \text{atom } m \# (s,k,t,n,sm,sn) & \quad \text{atom } n \# (s,k,t,sm,sn) \\ \text{atom } sm \# (s,k,t,sn) & \quad \text{atom } sn \# (s,k,t) \end{aligned}$$

by (metis obtain-fresh)
thus ?thesis1 ?thsf
by auto
show ?thesis2 using atoms
by (simp cong: conj-cong add: LstSeq-imp-Ord SeqForm-def BuildSeq-def Builds-def
      HBall-def HBex-def q-defs
      Seq-iff-app [of [\![s]\!]e, OF LstSeq-imp-Seq-succ]
      Ord-trans [of - - succ [\![k]\!]e])
qed

lemma SeqFormP-subst [simp]:

$$(\text{SeqFormP } s k t)(j:=w) = \text{SeqFormP } (\text{subst } j w s) (\text{subst } j w k) (\text{subst } j w t)$$

proof -
obtain l::name and sl::name and m::name and n::name and sm::name and sn::name
where atom l # (j,w,s,t,k,sl,m,n,sm,sn) atom sl # (j,w,s,k,t,m,n,sm,sn)

$$\begin{aligned} \text{atom } m \# (j,w,s,k,t,n,sm,sn) & \quad \text{atom } n \# (j,w,s,k,t,sm,sn) \\ \text{atom } sm \# (j,w,s,k,t,sn) & \quad \text{atom } sn \# (j,w,s,k,t) \end{aligned}$$

by (metis obtain-fresh)
thus ?thesis
by (auto simp: SeqFormP.simps [of l - - sl m n sm sn])
qed

```

## 5.11 The predicate $FormP$

### 5.11.1 Definition

**definition**  $Form :: hf \Rightarrow bool$   
**where**  $Form y \equiv (\exists s k. SeqForm s k y)$

**nominal-function**  $FormP :: tm \Rightarrow fm$   
**where**  $\llbracket atom k \# (s,y); atom s \# y \rrbracket \implies FormP y = Ex k (Ex s (SeqFormP (Var s) (Var k) y))$   
**by** (auto simp: eqvt-def FormP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)  
**by** lexicographic-order

**lemma**

**shows**  $FormP\text{-fresh-iff}$  [simp]:  $a \# FormP y \longleftrightarrow a \# y$  (is ?thesis1)  
**and** eval-fm- $FormP$  [simp]: eval-fm  $e (FormP y) \longleftrightarrow Form \llbracket y \rrbracket e$  (is ?thesis2)  
**and**  $FormP\text{-sf}$  [iff]: Sigma-fm ( $FormP y$ ) (is ?thsf)

**proof** –

**obtain**  $k::name$  **and**  $s::name$  **where**  $k: atom k \# (s,y)$   $atom s \# y$   
**by** (metis obtain-fresh)  
**thus** ?thesis1 ?thesis2 ?thsf  
**by** (auto simp: Form-def)

**qed**

**lemma**  $FormP\text{-subst}$  [simp]:  $(FormP y)(j:=w) = FormP (\text{subst } j w y)$

**proof** –

**obtain**  $k::name$  **and**  $s::name$  **where**  $atom k \# (s,j,w,y)$   $atom s \# (j,w,y)$   
**by** (metis obtain-fresh)  
**thus** ?thesis  
**by** (auto simp: Form.simps [of k s])

**qed**

### 5.11.2 Correctness: It Corresponds to Quotations of Real Formulas

**lemma**  $AbstForm\text{-trans-fm}:$

$AbstForm (q\text{-Var } i) 0 \llbracket \llbracket A \rrbracket e \llbracket \text{quot-dbfm } (\text{trans-fm } [i] A) \rrbracket e \rrbracket$   
**by** (metis abst-trans-fm ord-of.simps(1) quot-fm-def AbstForm-abst-dbfm)

**corollary**  $AbstForm\text{-trans-fm-eq}:$

$\llbracket x = \llbracket \llbracket A \rrbracket e; x' = \llbracket \text{quot-dbfm } (\text{trans-fm } [i] A) \rrbracket e \rrbracket \implies AbstForm (q\text{-Var } i) 0 x = x'$   
**by** (metis AbstForm-trans-fm)

**lemma**  $wf\text{-Form-quot-dbfm}$  [simp]:

**assumes**  $wf\text{-dbfm } A$  **shows**  $Form \llbracket \text{quot-dbfm } A \rrbracket e$

**using assms**

**proof** (induct rule: wf-dbfm.induct)

**case** ( $Mem tm1 tm2$ )

**hence** Atomic  $\llbracket \text{quot-dbfm } (\text{DBMem } tm1 tm2) \rrbracket e$

**by** (auto simp: Atomic-def quot-Mem q-Mem-def dest: wf-Term-quot-dbtm)

**thus** ?case

**by** (auto simp: Form-def SeqForm-def BuildSeq-exI)

```

next
  case (Eq tm1 tm2)
    hence Atomic [[quot-dbfm (DBEq tm1 tm2)]]e
      by (auto simp: Atomic-def quot-Eq q-Eq-def dest: wf-Term-quot-dbtm)
    thus ?case
      by (auto simp: Form-def SeqForm-def BuildSeq-exI)
next
  case (Disj A1 A2)
    have MakeForm [[quot-dbfm (DBDisj A1 A2)]]e [[quot-dbfm A1]]e [[quot-dbfm A2]]e
      by (simp add: quot-Disj q-Disj-def MakeForm-def)
    thus ?case using Disj
      by (force simp add: Form-def SeqForm-def intro: BuildSeq-combine)
next
  case (Neg A)
    have Aby. MakeForm [[quot-dbfm (DBNeg A)]]e [[quot-dbfm A]]e y
      by (simp add: quot-Neg q-Neg-def MakeForm-def)
    thus ?case using Neg
      by (force simp add: Form-def SeqForm-def intro: BuildSeq-combine)
next
  case (Ex A i)
    have Aby y. MakeForm [[quot-dbfm (DBEx (abst-dbfm i 0 A))]]e [[quot-dbfm A]]e y
      by (simp add: quot-Ex q-defs MakeForm-def) (metis AbstForm-abst-dbfm ord-of.simps(1))
    thus ?case using Ex
      by (force simp add: Form-def SeqForm-def intro: BuildSeq-combine)
qed

lemma Form-quot-fm [iff]: fixes A :: fm shows Form [[«A»]]e
  by (metis quot-fm-def wf-Form-quot-dbfm wf-dbfm-trans-fm)

lemma Atomic-Form-is-wf-dbfm: Atomic x ==> ∃ A. wf-dbfm A ∧ x = [[quot-dbfm A]]e
proof (auto simp: Atomic-def)
  fix t u
  assume t: Term t and u: Term u
  then obtain tm1 and tm2
    where tm1: wf-dbtm tm1 t = [[quot-dbtm tm1]]e
      and tm2: wf-dbtm tm2 u = [[quot-dbtm tm2]]e
      by (metis Term-imp-is-tm quot-tm-def wf-dbtm-trans-tm) +
  thus ∃ A. wf-dbfm A ∧ q-Eq t u = [[quot-dbfm A]]e
    by (auto simp: quot-Eq q-Eq-def)
next
  fix t u
  assume t: Term t and u: Term u
  then obtain tm1 and tm2
    where tm1: wf-dbtm tm1 t = [[quot-dbtm tm1]]e
      and tm2: wf-dbtm tm2 u = [[quot-dbtm tm2]]e
      by (metis Term-imp-is-tm quot-tm-def wf-dbtm-trans-tm) +

```

```

thus  $\exists A. wf\text{-}dbfm A \wedge q\text{-}Mem t u = \llbracket \text{quot}\text{-}dbfm } A \rrbracket e$ 
  by (auto simp: quot-Mem q-Mem-def)
qed

lemma SeqForm-imp-wf-dbfm:
  assumes SeqForm s k x
  shows  $\exists A. wf\text{-}dbfm A \wedge x = \llbracket \text{quot}\text{-}dbfm } A \rrbracket e$ 
  using assms [unfolded SeqForm-def]
  proof (induct x rule: BuildSeq-induct)
    case (B x) thus ?case
      by (rule Atomic-Form-is-wf-dbfm)
  next
    case (C x y z)
    then obtain A B where wf-dbfm A y =  $\llbracket \text{quot}\text{-}dbfm } A \rrbracket e$ 
      wf-dbfm B z =  $\llbracket \text{quot}\text{-}dbfm } B \rrbracket e$ 
      by blast
    thus ?case using C
      apply (auto simp: MakeForm-def dest!: AbstForm-imp-abst-dbfm [where e=e])
      apply (rule exI [where x=DBCDisj A B])
      apply (rule-tac [2] x=DBNeg A in exI)
      apply (rule-tac [3] x=DBEx (abst-dbfm (decode-Var v) 0 A) in exI)
      apply (auto simp: q-defs)
      done
  qed

lemma Form-imp-wf-dbfm:
  assumes Form x obtains A where wf-dbfm A x =  $\llbracket \text{quot}\text{-}dbfm } A \rrbracket e$ 
  by (metis assms SeqForm-imp-wf-dbfm Form-def)

lemma Form-imp-is-fm: assumes Form x obtains A::fm where x =  $\llbracket \langle\!\langle A \rangle\!\rangle e$ 
  by (metis assms Form-imp-wf-dbfm quot-fm-def wf-dbfm-imp-is-fm)

lemma SubstForm-imp-subst-fm:
  assumes SubstForm v  $\llbracket \langle\!\langle u \rangle\!\rangle e x x' Form x$ 
  obtains A::fm where x =  $\llbracket \langle\!\langle A \rangle\!\rangle e x' = \llbracket \langle\!\langle A(\text{decode-Var } v:=u) \rangle\!\rangle e$ 
  using assms [unfolded quot-tm-def]
  by (auto simp: quot-fm-def dest!: SubstForm-imp-subst-dbfm-lemma)
  (metis Form-imp-is-fm eval-quot-dbfm-ignore quot-dbfm-inject-lemma quot-fm-def)

lemma SubstForm-unique:
  assumes is-Var v and Term y and Form x
  shows SubstForm v y x x'  $\longleftrightarrow$ 
     $(\exists t::tm. y = \llbracket \langle\!\langle t \rangle\!\rangle e \wedge (\exists A::fm. x = \llbracket \langle\!\langle A \rangle\!\rangle e \wedge x' = \llbracket \langle\!\langle A(\text{decode-Var } v:=t) \rangle\!\rangle e))$ 
  using assms
  apply (auto elim!: Term-imp-wf-dbtm [where e=e] Form-imp-is-fm [where e=e]
    SubstForm-imp-subst-dbfm [where e=e])
  apply (auto simp: quot-tm-def quot-fm-def is-Var-iff q-Var-def intro: SubstForm-subst-dbfm-eq)

```

```

apply (metis subst-fm-trans-commute wf-dbtm-imp-is-tm)
done

lemma SubstForm-quot-unique: SubstForm (q-Var i) [[t]]e [[A]]e x'  $\longleftrightarrow$  x' =
[[A(i:=t)]] e
by (subst SubstForm-unique [where e=e]) auto

lemma SubstForm-quot: SubstForm [[Var i]]e [[t]]e [[A]]e [[A(i:=t)]]e
by (metis SubstForm-quot-unique eval-Var-q)

```

### 5.11.3 The predicate $VarNonOccFormP$ (Derived from $SubstFormP$ )

```

definition VarNonOccForm :: hf  $\Rightarrow$  hf  $\Rightarrow$  bool
where VarNonOccForm v x  $\equiv$  Form x  $\wedge$  SubstForm v 0 x x

```

```

nominal-function VarNonOccFormP :: tm  $\Rightarrow$  tm  $\Rightarrow$  fm
where VarNonOccFormP v x = FormP x AND SubstFormP v Zero x x
by (auto simp: eqvt-def VarNonOccFormP-graph-aux-def)

```

```

nominal-termination (eqvt)
by lexicographic-order

```

```

lemma
shows VarNonOccFormP-fresh-iff [simp]: a # VarNonOccFormP v y  $\longleftrightarrow$  a # v
 $\wedge$  a # y (is ?thesis1)
and eval-fm-VarNonOccFormP [simp]:
eval-fm e (VarNonOccFormP v y)  $\longleftrightarrow$  VarNonOccForm [[v]]e [[y]]e (is
?thesis2)
and VarNonOccFormP-sf [iff]: Sigma-fm (VarNonOccFormP v y) (is ?thsf)
proof -
show ?thesis1 ?thsf ?thesis2
by (auto simp add: VarNonOccForm-def)
qed

```

### 5.11.4 Correctness for Real Terms and Formulas

```

lemma VarNonOccForm-imp-dbfm-fresh:
assumes VarNonOccForm v x
shows  $\exists A.$  wf-dbfm A  $\wedge$  x = [[quot-dbfm A]]e  $\wedge$  atom (decode-Var v) # A
proof -
obtain A' where A': wf-dbfm A' x = [[quot-dbfm A]]e SubstForm v [[quot-dbtm
DBZero]]e x x
using assms [unfolded VarNonOccForm-def]
by auto (metis Form-imp-wf-dbfm)
then obtain A where x = [[quot-dbfm A]]e
x = [[quot-dbfm (subst-dbfm DBZero (decode-Var v) A)]]e
by (metis SubstForm-imp-subst-dbfm-lemma)
thus ?thesis using A'

```

```

    by auto (metis fresh-iff-non-subst-dbfm)
qed

corollary VarNonOccForm-imp-fresh:
  assumes VarNonOccForm v x obtains A::fm where x = [[A]]e atom (decode-Var
v) # A
  using VarNonOccForm-imp-dbfm-fresh [OF assms, where e=e]
  by (auto simp: quot-fm-def wf-dbfm-iff-is-fm)

lemma VarNonOccForm-dbfm:
  wf-dbfm A ==> atom i # A ==> VarNonOccForm (q-Var i) [[quot-dbfm A]]e
by (auto intro: SubstForm-subst-dbfm-eq [where u=DBZero]
  simp add: VarNonOccForm-def Const-0 Const-imp-Term fresh-iff-non-subst-dbfm
[symmetric])

corollary fresh-imp-VarNonOccForm:
  fixes A::fm shows atom i # A ==> VarNonOccForm (q-Var i) [[A]]e
  by (simp add: quot-fm-def wf-dbfm-trans-fm VarNonOccForm-dbfm)

declare VarNonOccFormP.simps [simp del]

end

```

# Kapitel 6

## Formalizing Provability

```
theory Pf-Predicates
imports Coding-Predicates
begin
```

### 6.1 Section 4 Predicates (Leading up to Pf)

#### 6.1.1 The predicate *SentP*, for the Sentential (Boolean) Axioms

```
definition Sent-axioms :: hf ⇒ hf ⇒ hf ⇒ hf ⇒ bool where
  Sent-axioms x y z w ≡
    x = q-Impl y y ∨
    x = q-Impl y (q-Disj y z) ∨
    x = q-Impl (q-Disj y y) y ∨
    x = q-Impl (q-Disj y (q-Disj z w)) (q-Disj (q-Disj y z) w) ∨
    x = q-Impl (q-Disj y z) (q-Impl (q-Disj (q-Neg y) w) (q-Disj z w))
```

```
definition Sent :: hf set where
  Sent ≡ {x. ∃ y z w. Form y ∧ Form z ∧ Form w ∧ Sent-axioms x y z w}
```

```
nominal-function SentP :: tm ⇒ fm
  where ⟦atom y # (z,w,x); atom z # (w,x); atom w # x⟧ ⇒
    SentP x = Ex y (Ex z (Ex w (FormP (Var y) AND FormP (Var z) AND FormP
      (Var w) AND
        ( (x EQ Q-Impl (Var y) (Var y)) OR
          (x EQ Q-Impl (Var y) (Q-Disj (Var y) (Var z)) OR
            (x EQ Q-Impl (Q-Disj (Var y) (Var y)) (Var y)) OR
              (x EQ Q-Impl (Q-Disj (Var y) (Q-Disj (Var z) (Var w))) OR
                (Q-Disj (Q-Disj (Var y) (Var z)) (Var w))) OR
              (x EQ Q-Impl (Q-Disj (Var y) (Var z))
                (Q-Impl (Q-Disj (Q-Neg (Var y)) (Var w)) (Q-Disj (Var z)
                  (Var w)))))))))
  by (auto simp: eqvt-def SentP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)
```

**nominal-termination** (*eqvt*)  
 by *lexicographic-order*

**lemma**

shows *SentP-fresh-iff* [*simp*]:  $a \notin \text{SentP } x \longleftrightarrow a \notin x$  (is ?thesis1)  
 and *eval-fm-SentP* [*simp*]:  $\text{eval-fm } e (\text{SentP } x) \longleftrightarrow \llbracket x \rrbracket e \in \text{Sent}$  (is ?thesis2)  
 and *SentP-sf* [*iff*]:  $\text{Sigma-fm} (\text{SentP } x)$  (is ?thsf)

**proof** –

obtain  $y::name$  and  $z::name$  and  $w::name$  where atom  $y \# (z,w,x)$  atom  $z \# (w,x)$  atom  $w \# x$   
 by (metis obtain-fresh)  
 thus ?thesis1 ?thesis2 ?thsf  
 by (auto simp: Sent-def Sent-axioms-def q-defs)  
**qed**

### 6.1.2 The predicate *Equality-axP*, for the Equality Axioms

**definition** *Equality-ax* :: *hf set* **where**

$\text{Equality-ax} \equiv \{ \llbracket \text{«refl-ax»} \rrbracket e0, \llbracket \text{«eq-cong-ax»} \rrbracket e0, \llbracket \text{«mem-cong-ax»} \rrbracket e0, \llbracket \text{«eats-cong-ax»} \rrbracket e0 \}$

**function** *Equality-axP* :: *tm  $\Rightarrow$  fm*

where *Equality-axP*  $x =$   
 $x \text{ EQ } \text{«refl-ax»} \text{ OR } x \text{ EQ } \text{«eq-cong-ax»} \text{ OR } x \text{ EQ } \text{«mem-cong-ax»} \text{ OR } x \text{ EQ }$   
 $\text{«eats-cong-ax»}$   
 by *auto*

**termination**

by *lexicographic-order*

**lemma** *eval-fm-Equality-axP* [*simp*]:  $\text{eval-fm } e (\text{Equality-axP } x) \longleftrightarrow \llbracket x \rrbracket e \in \text{Equality-ax}$

by (auto simp: Equality-ax-def intro: eval-quot-fm-ignore)

### 6.1.3 The predicate *HF-axP*, for the HF Axioms

**definition** *HF-ax* :: *hf set* **where**

$\text{HF-ax} \equiv \{ \llbracket \text{«HF1»} \rrbracket e0, \llbracket \text{«HF2»} \rrbracket e0 \}$

**function** *HF-axP* :: *tm  $\Rightarrow$  fm*

where *HF-axP*  $x = x \text{ EQ } \text{«HF1»} \text{ OR } x \text{ EQ } \text{«HF2»}$   
 by *auto*

**termination**

by *lexicographic-order*

**lemma** *eval-fm-HF-axP* [*simp*]:  $\text{eval-fm } e (\text{HF-axP } x) \longleftrightarrow \llbracket x \rrbracket e \in \text{HF-ax}$

by (auto simp: HF-ax-def intro: eval-quot-fm-ignore)

**lemma** *HF-axP-sf* [*iff*]:  $\text{Sigma-fm} (\text{HF-axP } t)$

by auto

#### 6.1.4 The specialisation axioms

**inductive-set** *Special-ax* :: *hf set where*

$$\begin{aligned} I: & \llbracket \text{AbstForm } v \ 0 \ x \ ax; \text{ SubstForm } v \ y \ x \ sx; \text{ Form } x; \text{ is-Var } v; \text{ Term } y \rrbracket \\ & \implies q\text{-Imp } sx \ (q\text{-Ex } ax) \in \text{Special-ax} \end{aligned}$$

#### Defining the syntax

**nominal-function** *Special-axP* :: *tm*  $\Rightarrow$  *fm* **where**

$$\begin{aligned} & \llbracket \text{atom } v \ # (p, sx, y, ax, x); \text{ atom } x \ # (p, sx, y, ax); \\ & \quad \text{atom } ax \ # (p, sx, y); \text{ atom } y \ # (p, sx); \text{ atom } sx \ # p \rrbracket \implies \\ & \text{Special-axP } p = \text{Ex } v (\text{Ex } x (\text{Ex } ax (\text{Ex } y (\text{Ex } sx \\ & \quad (\text{FormP } (\text{Var } x) \text{ AND } \text{VarP } (\text{Var } v) \text{ AND } \text{TermP } (\text{Var } y) \text{ AND} \\ & \quad \text{AbstFormP } (\text{Var } v) \text{ Zero } (\text{Var } x) (\text{Var } ax) \text{ AND} \\ & \quad \text{SubstFormP } (\text{Var } v) (\text{Var } y) (\text{Var } x) (\text{Var } sx) \text{ AND} \\ & \quad p \text{ EQ } Q\text{-Imp } (\text{Var } sx) (Q\text{-Ex } (\text{Var } ax))))))) \end{aligned}$$

by (auto simp: eqvt-def Special-axP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (*eqvt*)

by lexicographic-order

#### lemma

shows *Special-axP-fresh-iff* [simp]:  $a \ # \text{Special-axP } p \longleftrightarrow a \ # p$  (**is** ?thesis1)  
and *eval-fm-Special-axP* [simp]: *eval-fm e* (*Special-axP p*)  $\longleftrightarrow \llbracket p \rrbracket e \in \text{Special-ax}$  (**is** ?thesis2)

and *Special-axP-sf* [iff]: Sigma-fm (*Special-axP p*) (**is** ?thesis3)

#### proof -

obtain *v::name and x::name and ax::name and y::name and sx::name*

where *atom v # (p,sx,y,ax,x)* *atom x # (p,sx,y,ax)*  
*atom ax # (p,sx,y)* *atom y # (p,sx)* *atom sx # p*

by (metis obtain-fresh)

thus ?thesis1 ?thesis2 ?thesis3

apply auto

apply (metis q-Disj-def q-Ex-def q-Imp-def q-Neg-def Special-ax.intros)

apply (metis q-Disj-def q-Ex-def q-Imp-def q-Neg-def Special-ax.cases)

done

qed

#### Correctness (or, correspondence)

**lemma** *Special-ax-imp-special-axioms*:

assumes *x*  $\in$  *Special-ax* shows  $\exists A. x = \llbracket \langle\langle A \rangle\rangle e \wedge A \in \text{special-axioms}$

using *assms*

**proof** (*induction rule*: *Special-ax.induct*)

case (*I v x ax y sx*)

obtain *fm::fm and u::tm* where *fm: x = \llbracket \langle\langle fm \rangle\rangle e* and *u: y = \llbracket \langle\langle u \rangle\rangle e*

using *I* by (auto elim!: *Form-imp-is-fm* *Term-imp-is-tm*)

```

obtain B where x: x = [quot-dbfm B]e
    and ax: ax = [quot-dbfm (abst-dbfm (decode-Var v) 0 B)]e
    using I AbstForm-imp-abst-dbfm by force
obtain B' where x': x = [quot-dbfm B']e
    and sx: sx = [quot-dbfm (subst-dbfm (trans-tm [] u) (decode-Var v) B')]e
    using I by (metis u SubstForm-imp-subst-dbfm-lemma quot-tm-def)
have eq: B'=B
    by (metis quot-dbfm-inject-lemma x x')
have fm(decode-Var v::=u) IMP SyntaxN.Ex (decode-Var v) fm ∈ special-axioms
    by (metis special-axioms.intros)
thus ?case using eq
    apply (auto simp: quot-simps q-defs
        intro!: exI [where x = fm((decode-Var v)::=u) IMP (Ex (decode-Var
v) fm)])
    apply (metis fm quot-dbfm-inject-lemma quot-fm-def subst-fm-trans-commute
sx x')
    apply (metis abst-trans-fm ax fm quot-dbfm-inject-lemma quot-fm-def x)
    done
qed

lemma special-axioms-into-Special-ax: A ∈ special-axioms ==> [«A»]e ∈ Special-ax
proof (induct rule: special-axioms.induct)
    case (I A i t)
    have [«A(i::=t) IMP SyntaxN.Ex i A»]e =
        q-Impl [quot-dbfm (subst-dbfm (trans-tm [] t) i (trans-fm [] A))]e
        (q-Ex [quot-dbfm (trans-fm [i] A)]e)
    by (simp add: quot-fm-def q-defs)
    also have ... ∈ Special-ax
    apply (rule Special-ax.intros [OF AbstForm-trans-fm])
    apply (auto simp: quot-fm-def [symmetric] intro: SubstForm-quot [unfolded
eval-Var-q])
    done
    finally show ?case .
qed

```

We have precisely captured the codes of the specialisation axioms.

```

corollary Special-ax-eq-special-axioms: Special-ax = (⋃ A ∈ special-axioms. { [«A»]e
})
by (force dest: special-axioms-into-Special-ax Special-ax-imp-special-axioms)

```

### 6.1.5 The induction axioms

```

inductive-set Induction-ax :: hf set where
I: [SubstForm v 0 x x0;
    SubstForm v w x xw;
    SubstForm v (q-Eats v w) x xeww;
    AbstForm w 0 (q-Impl x (q-Impl xw xeww)) allw;
    AbstForm v 0 (q-All allw) allvw;
    AbstForm v 0 x ax;
    v ≠ w; VarNonOccForm w x]

```

$\implies q\text{-}Imp\ x0\ (q\text{-}Imp\ (q\text{-}All\ allvw)\ (q\text{-}All\ ax)) \in Induction\text{-}ax$

## Defining the syntax

**nominal-function**  $Induction\text{-}axP :: tm \Rightarrow fm$  **where**

```

[atom ax #: (p,v,w,x,x0,xw,xevw,allw,allvw);
 atom allvw #: (p,v,w,x,x0,xw,xevw,allw); atom allw #: (p,v,w,x,x0,xw,xevw);
 atom xevw #: (p,v,w,x,x0,xw); atom xw #: (p,v,w,x,x0);
 atom x0 #: (p,v,w,x); atom x #: (p,v,w);
 atom w #: (p,v); atom v #: p] \implies

```

 $Induction\text{-}axP\ p = Ex\ v\ (Ex\ w\ (Ex\ x\ (Ex\ x0\ (Ex\ xw\ (Ex\ xevw\ (Ex\ allw\ (Ex\ allvw\ (Ex\ ax\$ 
 $((Var\ v\ NEQ\ Var\ w)\ AND\ VarNonOccFormP\ (Var\ w)\ (Var\ x)\ AND\ SubstFormP\ (Var\ v)\ Zero\ (Var\ x)\ (Var\ x0)\ AND\ SubstFormP\ (Var\ v)\ (Var\ w)\ (Var\ x)\ (Var\ xw)\ AND\ SubstFormP\ (Var\ v)\ (Q\text{-}Eats}\ (Var\ v)\ (Var\ w)\) (Var\ x)\ (Var\ xevw)$ 
 $AND$ 
 $AbstFormP\ (Var\ w)\ Zero\ (Q\text{-}Imp\ (Var\ x)\ (Q\text{-}Imp\ (Var\ xw)\ (Var\ xevw)))\ (Var\ allw)\ AND\ AbstFormP\ (Var\ v)\ Zero\ (Q\text{-}All\ (Var\ allw))\ (Var\ allvw)\ AND\ AbstFormP\ (Var\ v)\ Zero\ (Var\ x)\ (Var\ ax)\ AND\ p\ EQ\ Q\text{-}Imp\ (Var\ x0)\ (Q\text{-}Imp\ (Q\text{-}All\ (Var\ allvw))\ (Q\text{-}All\ (Var\ ax))))))))))))))$ 

by (auto simp: eqvt-def Induction-axP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)  
by lexicographic-order

### lemma

shows  $Induction\text{-}axP\text{-fresh-iff}$  [simp]:  $a \# Induction\text{-}axP\ p \longleftrightarrow a \# p$  (**is** ?thesis1)  
and eval-fm- $Induction\text{-}axP$  [simp]:  
 $eval\text{-}fm\ e\ (Induction\text{-}axP\ p) \longleftrightarrow [p]e \in Induction\text{-}ax$  (**is** ?thesis2)  
and  $Induction\text{-}axP\text{-sf}$  [iff]:  $Sigma\text{-}fm\ (Induction\text{-}axP\ p)$  (**is** ?thesis3)

### proof -

obtain  $v::name$  and  $w::name$  and  $x::name$  and  $x0::name$  and  $xw::name$  and  $xevw::name$

and  $allw::name$  and  $allvw::name$  and  $ax::name$

where atoms: atom  $ax \# (p,v,w,x,x0,xw,xevw,allw,allvw)$

atom  $allvw \# (p,v,w,x,x0,xw,xevw,allw)$  atom  $allw \# (p,v,w,x,x0,xw,xevw)$

atom  $xevw \# (p,v,w,x,x0,xw)$  atom  $xw \# (p,v,w,x,x0)$  atom  $x0 \# (p,v,w,x)$

atom  $x \# (p,v,w)$  atom  $w \# (p,v)$  atom  $v \# p$

by (metis obtain-fresh)

thus ?thesis1 ?thesis3

by auto

show ?thesis2

### proof

assume eval-fm  $e\ (Induction\text{-}axP\ p)$

```

thus  $\llbracket p \rrbracket e \in \text{Induction-ax}$  using atoms
  by (auto intro!: Induction-ax.I [unfolded q-defs])
next
  assume  $\llbracket p \rrbracket e \in \text{Induction-ax}$ 
  thus eval-fm e (Induction-axP p)
    apply (rule Induction-ax.cases) using atoms
    apply (force simp: q-defs htuple-minus-1 intro!: AbstForm-imp-Ord)
    done
qed
qed

```

### Correctness (or, correspondence)

**lemma** *Induction-ax-imp-induction-axioms*:

assumes  $x \in \text{Induction-ax}$  shows  $\exists A. x = \llbracket \llbracket A \rrbracket \rrbracket e \wedge A \in \text{induction-axioms}$

using assms

**proof** (*induction rule: Induction-ax.induct*)

case ( $I v x x0 w xw xevw allw allvw ax$ )

then have  $v: \text{is-Var } v$  and  $w: \text{is-Var } w$

and  $dvw$  [simp]:  $\text{decode-Var } v \neq \text{decode-Var } w$  atom ( $\text{decode-Var } w$ )  $\#$  [ $\text{decode-Var } v$ ]

by (auto simp: AbstForm-def fresh-Cons)

obtain  $A::fm$  where  $A: x = \llbracket \llbracket A \rrbracket \rrbracket e$  and  $wfresh: \text{atom} (\text{decode-Var } w) \# A$

using  $I \text{VarNonOccForm-imp-fresh}$  by blast

then obtain  $A' A''$  where  $A': q\text{-Imp} (\llbracket \llbracket A \rrbracket \rrbracket e) (q\text{-Imp} xw xevw) = \llbracket \text{quot-dbfm } A \rrbracket e$

and  $A'': q\text{-All } allw = \llbracket \text{quot-dbfm } A' \rrbracket e$

using  $I \text{VarNonOccForm-imp-fresh}$  by (auto dest!: AbstForm-imp-abst-dbfm)

define  $Aw$  where  $Aw = A(\text{decode-Var } v ::= \text{Var} (\text{decode-Var } w))$

define  $Ae$  where  $Ae = A(\text{decode-Var } v ::= \text{Eats} (\text{Var} (\text{decode-Var } v)) (\text{Var} (\text{decode-Var } w)))$

have  $x0: x0 = \llbracket \llbracket A(\text{decode-Var } v ::= \text{Zero}) \rrbracket \rrbracket e$  using  $I \text{SubstForm-imp-subst-fm}$

by (metis A Form-quot-fm eval-fm-inject eval-tm.simps(1) quot-Zero)

have  $xw: xw = \llbracket \llbracket Aw \rrbracket \rrbracket e$  using  $I \text{SubstForm-imp-subst-fm}$

by (metis A Form-quot-fm eval-fm-inject is-Var-imp-decode-Var w Aw-def)

have  $\text{SubstForm } v (\llbracket \llbracket \text{Eats} (\text{Var} (\text{decode-Var } v)) (\text{Var} (\text{decode-Var } w)) \rrbracket \rrbracket e) x xevw$

using I by (simp add: quot-simps q-defs) (metis is-Var-iff v w)

hence  $xevw: xevw = \llbracket \llbracket Ae \rrbracket \rrbracket e$

by (metis A Ae-def Form-quot-fm SubstForm-imp-subst-fm eval-fm-inject)

have  $ax: ax = \llbracket \text{quot-dbfm } (\text{abst-dbfm } (\text{decode-Var } v) 0 (\text{trans-fm } [] A)) \rrbracket e$

using I by (metis A AbstForm-imp-abst-dbfm nat-of-ord-0 quot-dbfm-inject-lemma quot-fm-def)

have  $evw: q\text{-Imp } x (q\text{-Imp } xw xevw) =$   
 $\llbracket \text{quot-dbfm } (\text{trans-fm } [] (A \text{ IMP } (Aw \text{ IMP } Ae))) \rrbracket e$

using A xw xevw by (auto simp: quot-simps q-defs quot-fm-def)

hence  $allw: allw = \llbracket \text{quot-dbfm } (\text{abst-dbfm } (\text{decode-Var } w) 0 (\text{trans-fm } [] (A \text{ IMP } (Aw \text{ IMP } Ae)))) \rrbracket e$

using I by (metis AbstForm-imp-abst-dbfm nat-of-ord-0 quot-dbfm-inject-lemma)

then have  $evw: q\text{-All } allw = \llbracket \text{quot-dbfm } (\text{trans-fm } [] (\text{All } (\text{decode-Var } w) (A$

```

 $\text{IMP} (\text{Aw IMP Ae})))]e$ 
by (auto simp: q-defs abst-trans-fm)
hence allvw: allvw = quot-dbfm (abst-dbfm (decode-Var v) 0
                                     (trans-fm [] (All (decode-Var w) (A IMP (Aw IMP
Ae)))))]e
using I by (metis AbstForm-imp-abst-dbfm nat-of-ord-0 quot-dbfm-inject-lemma)
define ind-ax
where ind-ax =
  A(decode-Var v:=Zero) IMP
  ((All (decode-Var v) (All (decode-Var w) (A IMP (Aw IMP Ae)))) IMP
  (All (decode-Var v) A))
have atom (decode-Var w) # (decode-Var v, A) using I wfresh v w
  by (metis atom-eq-iff decode-Var-inject fresh-Pair fresh-ineq-at-base)
hence ind-ax ∈ induction-axioms
  by (auto simp: ind-ax-def Aw-def Ae-def induction-axioms.intros)
thus ?case
  by (force simp: quot-simps q-defs ind-ax-def allvw ax x0 abst-trans-fm2 abst-trans-fm)
qed

```

**lemma** induction-axioms-into-Induction-ax:

$A \in \text{induction-axioms} \implies [\llbracket A \rrbracket]e \in \text{Induction-ax}$

**proof** (induct rule: induction-axioms.induct)

**case** (ind j i A)

**hence** eq:  $\llbracket \llbracket A(i:=\text{Zero}) \text{ IMP } \text{All } i (\text{All } j (\text{A IMP } A(i:=\text{Var } j) \text{ IMP } A(i:=\text{Eats } (\text{Var } i) (\text{Var } j))) \text{ IMP } \text{All } i A \rrbracket \rrbracket e$

**q-imp** quot-dbfm (subst-dbfm (trans-tm [] Zero) i (trans-fm [] A)))]e

**(q-imp** (q-All (q-All

(q-imp quot-dbfm (trans-fm [j, i] A)))]e

**(q-imp** quot-dbfm (trans-fm [j, i] (A(i:=Var j))))]e

quot-dbfm (trans-fm [j, i] (A(i:=Eats (Var i) (Var j)))))]e)))]e

**(q-all** quot-dbfm (trans-fm [i] A)))]e))

**by** (simp add: quot-simps q-defs quot-subst-eq fresh-Cons fresh-Pair)

**have** [simp]: atom j # [i] **using** ind

**by** (metis fresh-Cons fresh-Nil fresh-Pair)

**show** ?case

**proof** (simp only: eq, rule Induction-ax.intros [**where** v = q-Var i **and** w = q-Var j])

**show** SubstForm (q-Var i) 0  $\llbracket A \rrbracket$  e

quot-dbfm (subst-dbfm (trans-tm [] Zero) i (trans-fm [] A)))]e

**by** (metis SubstForm-subst-dbfm-eq Term-quot-tm eval-tm.simps(1) quot-Zero quot-fm-def quot-tm-def)

**next**

**show** SubstForm (q-Var i) (q-Var j)  $\llbracket A \rrbracket$  e quot-dbfm (subst-dbfm (DBVar j) i (trans-fm [] A)))]e

**by** (auto simp: quot-fm-def intro!: SubstForm-subst-dbfm-eq Term-Var)

(metis q-Var-def)

**next**

```

show SubstForm (q-Var i) (q-Eats (q-Var i) (q-Var j)) [[`A`]]e
    [[quot-dbfm (subst-dbfm (DBEats (DBVar i) (DBVar j)) i (trans-fm []
A))]]e
unfolding quot-fm-def
by (auto intro!: SubstForm-subst-dbfm-eq Term-Eats Term-Var) (simp add:
q-defs)
next
show AbstForm (q-Var j) 0
    (q-Imp [[`A`]]e
        (q-Imp [[quot-dbfm (subst-dbfm (DBVar j) i (trans-fm [] A))]]e
            [[quot-dbfm (subst-dbfm (DBEats (DBVar i) (DBVar j)) i (trans-fm []
A))]]e))
        [[quot-dbfm (trans-fm [j] (A IMP (A(i:= Var j) IMP A(i:= Eats(Var
i)(Var j))))]]e
        by (rule AbstForm-trans-fm-eq [where A = (A IMP A(i:= Var j) IMP A(i:=
Eats(Var i)(Var j))])
        (auto simp: quot-simps q-defs quot-fm-def subst-fm-trans-commute-eq)
    next
    show AbstForm (q-Var i) 0
        (q-All [[quot-dbfm (trans-fm [j] (A IMP A(i:= Var j) IMP A(i:= Eats (Var i)
(Var j))))]]e
        (q-All
            (q-Imp [[quot-dbfm (trans-fm [j, i] A)]]]e
            (q-Imp [[quot-dbfm (trans-fm [j, i] (A(i:= Var j)))]]e
                [[quot-dbfm (trans-fm [j, i] (A(i:= Eats (Var i) (Var j))))]]e)))
        apply (rule AbstForm-trans-fm-eq
            [where A = All j (A IMP (A(i:= Var j) IMP A(i:= Eats(Var i)(Var
j))))])
        apply (auto simp: q-defs quot-fm-def)
        done
    next
    show AbstForm (q-Var i) 0 ([[`A`]]e) [[quot-dbfm (trans-fm [i] A)]]]e
        by (metis AbstForm-trans-fm)
    next
        show q-Var i ≠ q-Var j using ind
        by (simp add: q-Var-def)
    next
        show VarNonOccForm (q-Var j) ([[`A`]]e)
        by (metis fresh-Pair fresh-imp-VarNonOccForm ind)
    qed
qed

```

We have captured the codes of the induction axioms.

**corollary** Induction-ax-eq-induction-axioms:

Induction-ax = ( $\bigcup A \in \text{induction-axioms}. \{\text{[[`A`]]e}\}$ )

**by** (force dest: induction-axioms-into-Induction-ax Induction-ax-imp-induction-axioms)

### 6.1.6 The predicate *AxiomP*, for any Axioms

**definition** Extra-ax :: hf set **where**

*Extra-ax*  $\equiv \{\llbracket \text{«extra-axiom»} \rrbracket e0\}$

**definition** *Axiom* :: *hf set where*

*Axiom*  $\equiv$  *Extra-ax*  $\cup$  *Sent*  $\cup$  *Equality-ax*  $\cup$  *HF-ax*  $\cup$  *Special-ax*  $\cup$  *Induction-ax*

**definition** *AxiomP* :: *tm*  $\Rightarrow$  *fm*

**where** *AxiomP x*  $\equiv$  *x EQ «extra-axiom» OR SentP x OR Equality-axP x OR HF-axP x OR Special-axP x OR Induction-axP x*

**lemma** *AxiomP-eqvt [eqvt]*:  $(p \cdot \text{AxiomP } x) = \text{AxiomP } (p \cdot x)$

**by** (*simp add: AxiomP-def*)

**lemma** *AxiomP-fresh-iff [simp]*:  $a \# \text{AxiomP } x \longleftrightarrow a \# x$

**by** (*auto simp: AxiomP-def*)

**lemma** *eval-fm-AxiomP [simp]*: *eval-fm e (AxiomP x)  $\longleftrightarrow \llbracket x \rrbracket e \in \text{Axiom}$*

**unfolding** *AxiomP-def Axiom-def Extra-ax-def*

**by** (*auto simp del: Equality-axP.simps HF-axP.simps intro: eval-quot-fm-ignore*)

**lemma** *AxiomP-sf [iff]*: *Sigma-fm (AxiomP t)*

**by** (*auto simp: AxiomP-def*)

### 6.1.7 The predicate *ModPonP*, for the inference rule Modus Ponens

**definition** *ModPon* :: *hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool where*

*ModPon x y z*  $\equiv$  *(y = q-Impl x z)*

**definition** *ModPonP* :: *tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm*

**where** *ModPonP x y z*  $=$  *(y EQ Q-Impl x z)*

**lemma** *ModPonP-eqvt [eqvt]*:  $(p \cdot \text{ModPonP } x y z) = \text{ModPonP } (p \cdot x) (p \cdot y) (p \cdot z)$

**by** (*simp add: ModPonP-def*)

**lemma** *ModPonP-fresh-iff [simp]*:  $a \# \text{ModPonP } x y z \longleftrightarrow a \# x \wedge a \# y \wedge a \# z$

**by** (*auto simp: ModPonP-def*)

**lemma** *eval-fm-ModPonP [simp]*: *eval-fm e (ModPonP x y z)  $\longleftrightarrow \text{ModPon } \llbracket x \rrbracket e \llbracket y \rrbracket e \llbracket z \rrbracket e$*

**by** (*auto simp: ModPon-def ModPonP-def q-defs*)

**lemma** *ModPonP-sf [iff]*: *Sigma-fm (ModPonP t u v)*

**by** (*auto simp: ModPonP-def*)

**lemma** *ModPonP-subst [simp]*:

*(ModPonP t u v)(i:=w) = ModPonP (subst i w t) (subst i w u) (subst i w v)*

**by** (*auto simp: ModPonP-def*)

### 6.1.8 The predicate $\text{ExistsP}$ , for the existential rule

#### Definition

**definition**  $\text{Exists} :: hf \Rightarrow hf \Rightarrow \text{bool}$  **where**

$$\text{Exists } p \ q \equiv (\exists x \ x' \ y \ v. \text{Form } x \wedge \text{VarNonOccForm } v \ y \wedge \text{AbstForm } v \ 0 \ x \ x' \wedge \\ p = q\text{-Imp } x \ y \wedge q = q\text{-Imp } (q\text{-Ex } x') \ y)$$

**nominal-function**  $\text{ExistsP} :: tm \Rightarrow tm \Rightarrow fm$  **where**

$$[\![\text{atom } x \notin (p,q,v,y,x'); \text{atom } x' \notin (p,q,v,y); \\ \text{atom } y \notin (p,q,v); \text{atom } v \notin (p,q)]\!] \implies \\ \text{ExistsP } p \ q = \text{Ex } x \ (\text{Ex } x' \ (\text{Ex } y \ (\text{Ex } v \ (\text{FormP } (\text{Var } x) \text{ AND} \\ \text{VarNonOccFormP } (\text{Var } v) \ (\text{Var } y) \text{ AND} \\ \text{AbstFormP } (\text{Var } v) \text{ Zero } (\text{Var } x) \ (\text{Var } x') \text{ AND} \\ p \text{ EQ } Q\text{-Imp } (\text{Var } x) \ (\text{Var } y) \text{ AND} \\ q \text{ EQ } Q\text{-Imp } (Q\text{-Ex } (\text{Var } x')) \ (\text{Var } y))))])$$

**by** (auto simp: eqvt-def ExistsP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)

by lexicographic-order

#### lemma

**shows**  $\text{ExistsP-fresh-iff}$  [simp]:  $a \notin \text{ExistsP } p \ q \longleftrightarrow a \notin p \wedge a \notin q$  (**is** ?thesis1)

**and eval-fm-ExistsP** [simp]:  $\text{eval-fm } e \ (\text{ExistsP } p \ q) \longleftrightarrow \text{Exists } [\![p]\!]e \ [\![q]\!]e$  (**is** ?thesis2)

**and**  $\text{ExistsP-sf}$  [iff]:  $\text{Sigma-fm } (\text{ExistsP } p \ q)$  (**is** ?thesis3)

#### proof –

**obtain**  $x::name$  **and**  $x'::name$  **and**  $y::name$  **and**  $v::name$

**where**  $\text{atom } x \notin (p,q,v,y,x')$   $\text{atom } x' \notin (p,q,v,y)$   $\text{atom } y \notin (p,q,v)$   $\text{atom } v \notin (p,q)$

by (metis obtain-fresh)

**thus** ?thesis1 ?thesis2 ?thesis3

by (auto simp: Exists-def q-defs)

qed

**lemma**  $\text{ExistsP-subst}$  [simp]:  $(\text{ExistsP } p \ q)(j:=w) = \text{ExistsP } (\text{subst } j \ w \ p) \ (\text{subst } j \ w \ q)$

#### proof –

**obtain**  $x::name$  **and**  $x'::name$  **and**  $y::name$  **and**  $v::name$

**where**  $\text{atom } x \notin (j,w,p,q,v,y,x')$   $\text{atom } x' \notin (j,w,p,q,v,y)$   
 $\text{atom } y \notin (j,w,p,q,v)$   $\text{atom } v \notin (j,w,p,q)$

by (metis obtain-fresh)

**thus** ?thesis

by (auto simp: ExistsP.simps [of x - - x' y v])

qed

### Correctness

**lemma**  $\text{Exists-imp-exists}:$

**assumes**  $\text{Exists } p \ q$

```

shows  $\exists A B i. p = \llbracket \langle\langle A \text{ IMP } B \rangle\rangle e \wedge q = \llbracket \langle\langle (\text{Ex } i A) \text{ IMP } B \rangle\rangle e \wedge \text{atom } i \notin B$ 
proof -
obtain  $x \text{ ax } y v$ 
where  $x: \text{Form } x$ 
and  $\text{noc}: \text{VarNonOccForm } v y$ 
and  $\text{abst}: \text{AbstForm } v 0 x \text{ ax}$ 
and  $p: p = q\text{-Imp } x y$ 
and  $q: q = q\text{-Imp } (q\text{-Ex } ax) y$ 
using  $\text{assms}$  by (auto simp: Exists-def)
then obtain  $B::fm$  where  $B: y = \llbracket \langle\langle B \rangle\rangle e$  and  $vfresh: \text{atom } (\text{decode-Var } v) \notin B$ 
by (metis VarNonOccForm-imp-fresh)
obtain  $A::fm$  where  $A: x = \llbracket \langle\langle A \rangle\rangle e$ 
by (metis Form-imp-is-fm x)
with  $\text{AbstForm-imp-abst-dbfm } [\text{OF abst, of } e]$ 
have  $ax: ax = \llbracket \text{quot-dbfm } (\text{abst-dbfm } (\text{decode-Var } v) 0 (\text{trans-fm } [] A)) \rrbracket e$ 
 $p = \llbracket \langle\langle A \text{ IMP } B \rangle\rangle e$  using  $p A B$ 
by (auto simp: quot-simps quot-fm-def q-defs)
have  $q = \llbracket \langle\langle (\text{Ex } (\text{decode-Var } v) A) \text{ IMP } B \rangle\rangle e$  using  $q A B ax$ 
by (auto simp: abst-trans-fm quot-simps q-defs)
then show ?thesis using  $vfresh ax$ 
by blast
qed

```

**lemma** *Exists-intro*:  $\text{atom } i \notin B \implies \text{Exists } (\llbracket \langle\langle A \text{ IMP } B \rangle\rangle e) \llbracket \langle\langle (\text{Ex } i A) \text{ IMP } B \rangle\rangle e$   
**by** (simp add: *Exists-def* *quot-simps* *q-defs*)  
(metis AbstForm-trans-fm Form-quot-fm fresh-imp-VarNonOccForm)

Thus, we have precisely captured the codes of the specialisation axioms.

**corollary** *Exists-iff-exists*:  
 $\text{Exists } p q \longleftrightarrow (\exists A B i. p = \llbracket \langle\langle A \text{ IMP } B \rangle\rangle e \wedge q = \llbracket \langle\langle (\text{Ex } i A) \text{ IMP } B \rangle\rangle e \wedge \text{atom } i \notin B)$   
**by** (force dest: *Exists-imp-exists* *Exists-intro*)

### 6.1.9 The predicate *SubstP*, for the substitution rule

Although the substitution rule is derivable in the calculus, the derivation is too complicated to reproduce within the proof function. It is much easier to provide it as an immediate inference step, justifying its soundness in terms of other inference rules.

#### Definition

This is the inference  $H \vdash A \implies H \vdash A (i ::= x)$

**definition**  $\text{Subst} :: hf \Rightarrow hf \Rightarrow \text{bool}$  **where**  
 $\text{Subst } p q \equiv (\exists v u. \text{SubstForm } v u p q)$

**nominal-function**  $\text{SubstP} :: tm \Rightarrow tm \Rightarrow fm$  **where**  
 $\llbracket \text{atom } u \notin (p, q, v); \text{atom } v \notin (p, q) \rrbracket \implies$

$\text{SubstP } p \ q = \text{Ex } v (\text{Ex } u (\text{SubstFormP } (\text{Var } v) (\text{Var } u) p \ q))$   
**by** (auto simp: eqvt-def SubstP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)  
**by** lexicographic-order

**lemma**

**shows** SubstP-fresh-iff [simp]:  $a \# \text{SubstP } p \ q \longleftrightarrow a \# p \wedge a \# q$  (**is** ?thesis1)  
**and** eval-fm-SubstP [simp]: eval-fm e (SubstP p q)  $\longleftrightarrow \text{Subst } [\![p]\!]e [\![q]\!]e$  (**is** ?thesis2)  
**and** SubstP-sf [iff]: Sigma-fm (SubstP p q) (**is** ?thesis3)

**proof –**

**obtain**  $u::\text{name}$  **and**  $v::\text{name}$  **where** atom  $u \# (p,q,v)$  atom  $v \# (p,q)$   
**by** (metis obtain-fresh)

**thus** ?thesis1 ?thesis2 ?thesis3  
**by** (auto simp: Subst-def q-defs)

**qed**

**lemma** SubstP-subst [simp]:  $(\text{SubstP } p \ q)(j := w) = \text{SubstP } (\text{subst } j w p) (\text{subst } j w q)$

**proof –**

**obtain**  $u::\text{name}$  **and**  $v::\text{name}$  **where** atom  $u \# (j,w,p,q,v)$  atom  $v \# (j,w,p,q)$   
**by** (metis obtain-fresh)

**thus** ?thesis

**by** (simp add: SubstP.simps [of u - - v])

**qed**

## Correctness

**lemma** Subst-imp-subst:

**assumes** Subst p q Form p  
**shows**  $\exists A::\text{fm}. \exists i t. p = [\![A]\!]e \wedge q = [\![A(i := t)]]\!]e$

**proof –**

**obtain**  $v u$  **where** subst: SubstForm v u p q **using** assms  
**by** (auto simp: Subst-def)

**then obtain**  $t::\text{tm}$  **where** substt: SubstForm v [\!t\!]e p q  
**by** (metis SubstForm-def Term-imp-is-tm)

**with** SubstForm-imp-subst-fm [OF substt] assms

**obtain**  $A$  **where**  $p = [\![A]\!]e \quad q = [\![A(\text{decode-Var } v := t)]]\!]e$   
**by** auto

**thus** ?thesis

**by** blast

**qed**

### 6.1.10 The predicate $\text{PrfP}$

**definition**  $\text{Prf} :: hf \Rightarrow hf \Rightarrow hf \Rightarrow \text{bool}$

**where**  $\text{Prf } s k y \equiv \text{BuildSeq } (\lambda x. x \in \text{Axiom}) (\lambda u v w. \text{ModPon } v w u \vee \text{Exists } v u \vee \text{Subst } v u) s k y$

**nominal-function**  $\text{PrfP} :: tm \Rightarrow tm \Rightarrow tm \Rightarrow fm$   
**where**  $\llbracket \text{atom } l \# (s, sl, m, n, sm, sn); \text{atom } sl \# (s, m, n, sm, sn);$   
 $\text{atom } m \# (s, n, sm, sn); \text{atom } n \# (s, k, sm, sn);$   
 $\text{atom } sm \# (s, sn); \text{atom } sn \# (s) \rrbracket \implies$   
 $\text{PrfP } s \ k \ t =$   
 $LstSeqP \ s \ k \ t \ AND$   
 $All2 \ n \ (\text{SUCC } k) \ (\text{Ex } sn \ (\text{HPair } (\text{Var } n) \ (\text{Var } sn) \ IN \ s) \ AND \ (\text{AxiomP } (\text{Var } sn) \ OR$   
 $Ex \ m \ (Ex \ l \ (Ex \ sm \ (Ex \ sl \ (\text{Var } m \ IN \ \text{Var } n \ AND \ \text{Var } l \ IN \ \text{Var } n \ AND$   
 $\text{HPair } (\text{Var } m) \ (\text{Var } sm) \ IN \ s \ AND \ \text{HPair } (\text{Var } l) \ (\text{Var } sl) \ IN$   
 $s) \ AND$   
 $(\text{ModPonP } (\text{Var } sm) \ (\text{Var } sl) \ (\text{Var } sn) \ OR$   
 $\text{ExistsP } (\text{Var } sm) \ (\text{Var } sn) \ OR$   
 $\text{SubstP } (\text{Var } sm) \ (\text{Var } sn))))))))))$   
**by** (auto simp: eqvt-def PrfP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)  
**by** lexicographic-order

**lemma**

**shows**  $\text{PrfP-fresh-iff}$  [simp]:  $a \# \text{PrfP } s \ k \ t \longleftrightarrow a \# s \wedge a \# k \wedge a \# t$  (is ?thesis1)  
**and**  $\text{eval-fm-PrfP}$  [simp]:  $\text{eval-fm } e \ (\text{PrfP } s \ k \ t) \longleftrightarrow \text{Prf } \llbracket s \rrbracket e \ \llbracket k \rrbracket e \ \llbracket t \rrbracket e$  (is ?thesis2)  
**and**  $\text{PrfP-imp-OrdP}$  [simp]:  $\{\text{PrfP } s \ k \ t\} \vdash \text{OrdP } k$  (is ?thord)  
**and**  $\text{PrfP-imp-LstSeqP}$  [simp]:  $\{\text{PrfP } s \ k \ t\} \vdash \text{LstSeqP } s \ k \ t$  (is ?thlstseq)  
**and**  $\text{PrfP-sf}$  [iff]:  $\text{Sigma-fm } (\text{PrfP } s \ k \ t)$  (is ?thsf)

**proof –**

**obtain**  $l::name$  **and**  $sl::name$  **and**  $m::name$  **and**  $n::name$  **and**  $sm::name$  **and**  $sn::name$   
**where** atoms:  $\text{atom } l \# (s, sl, m, n, sm, sn)$   $\text{atom } sl \# (s, m, n, sm, sn)$   
 $\text{atom } m \# (s, n, sm, sn)$   $\text{atom } n \# (s, k, sm, sn)$   
 $\text{atom } sm \# (s, sn)$   $\text{atom } sn \# (s)$   
**by** (metis obtain-fresh)  
**thus** ?thesis1 ?thord ?thlstseq ?thsf  
**by** (auto intro: LstSeqP-OrdP)  
**show** ?thesis2 **using** atoms  
**by** simp  
 $(\text{simp cong: conj-cong add: LstSeq-imp-Ord Prf-def BuildSeq-def Builds-def}$   
 $\text{ModPon-def Exists-def HBall-def HBex-def}$   
 $\text{Seq-iff-app [OF LstSeq-imp-Seq-succ]}$   
 $\text{Ord-trans [of - - succ } \llbracket k \rrbracket e])$

**qed**

**lemma**  $\text{PrfP-subst}$  [simp]:  
 $(\text{PrfP } t \ u \ v)(j:=w) = \text{PrfP } (\text{subst } j \ w \ t) \ (\text{subst } j \ w \ u) \ (\text{subst } j \ w \ v)$   
**proof –**

**obtain**  $l::name$  **and**  $sl::name$  **and**  $m::name$  **and**  $n::name$  **and**  $sm::name$  **and**  $sn::name$

```

where atom l # (t,u,v,j,w,sl,m,n,sm,sn)  atom sl # (t,u,v,j,w,m,n,sm,sn)
      atom m # (t,u,v,j,w,n,sm,sn)  atom n # (t,u,v,j,w,sm,sn)
      atom sm # (t,u,v,j,w,sn)  atom sn # (t,u,v,j,w)
by (metis obtain-fresh)
thus ?thesis
by (simp add: PrfP.simps [of l - sl m n sm sn])
qed

```

### 6.1.11 The predicate $PfP$

```

definition Pf :: hf  $\Rightarrow$  bool
where Pf y  $\equiv$  ( $\exists$  s k. Prf s k y)

```

```

nominal-function PfP :: tm  $\Rightarrow$  fm
where [atom k # (s,y); atom s # y]  $\Longrightarrow$ 
      PfP y = Ex k (Ex s (PrfP (Var s) (Var k) y))
by (auto simp: eqvt-def PfP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

```

nominal-termination (eqvt)
by lexicographic-order

```

#### lemma

```

shows PfP-fresh-iff [simp]: a # PfP y  $\longleftrightarrow$  a # y           (is ?thesis1)
and eval-fm-PfP [simp]: eval-fm e (PfP y)  $\longleftrightarrow$  Pf [y]e (is ?thesis2)
and PfP-sf [iff]: Sigma-fm (PfP y)           (is ?thsf)

```

#### proof –

```

obtain k::name and s::name where atom k # (s,y) atom s # y
by (metis obtain-fresh)
thus ?thesis1 ?thesis2 ?thsf
by (auto simp: Pf-def)

```

**qed**

**lemma** PfP-subst [simp]: (PfP t)(j:=w) = PfP (subst j w t)

```

proof –
obtain k::name and s::name where atom k # (s,t,j,w) atom s # (t,j,w)
by (metis obtain-fresh)
thus ?thesis
by (auto simp: PfP.simps [of k s])

```

**lemma** ground-PfP [simp]: ground-fm (PfP y) = ground y
**by** (simp add: ground-aux-def ground-fm-aux-def supp-conv-fresh)

## 6.2 Proposition 4.4

### 6.2.1 Left-to-Right Proof

**lemma** extra-axiom-imp-Pf: Pf [«extra-axiom»]e
**proof –**

```

have «extra-axiom»]e ∈ Extra-ax
  by (simp add: Extra-ax-def) (rule eval-quot-fm-ignore)
  thus ?thesis
    by (force simp add: Pf-def Prf-def Axiom-def intro: BuildSeq-exI)
qed

lemma boolean-axioms-imp-Pf:
  assumes α ∈ boolean-axioms shows Pf «α»]e
proof -
  have «α»]e ∈ Sent using assms
    by (rule boolean-axioms.cases)
      (auto simp: Sent-def Sent-axioms-def quot-Disj quot-Neg q-defs)
  thus ?thesis
    by (force simp add: Pf-def Prf-def Axiom-def intro: BuildSeq-exI)
qed

lemma equality-axioms-imp-Pf:
  assumes α ∈ equality-axioms shows Pf «α»]e
proof -
  have «α»]e ∈ Equality-ax using assms [unfolded equality-axioms-def]
    by (auto simp: Equality-ax-def eval-quot-fm-ignore)
  thus ?thesis
    by (force simp add: Pf-def Prf-def Axiom-def intro: BuildSeq-exI)
qed

lemma HF-axioms-imp-Pf:
  assumes α ∈ HF-axioms shows Pf «α»]e
proof -
  have «α»]e ∈ HF-ax using assms [unfolded HF-axioms-def]
    by (auto simp: HF-ax-def eval-quot-fm-ignore)
  thus ?thesis
    by (force simp add: Pf-def Prf-def Axiom-def intro: BuildSeq-exI)
qed

lemma special-axioms-imp-Pf:
  assumes α ∈ special-axioms shows Pf «α»]e
proof -
  have «α»]e ∈ Special-ax
    by (metis special-axioms-into-Special-ax assms)
  thus ?thesis
    by (force simp add: Pf-def Prf-def Axiom-def intro: BuildSeq-exI)
qed

lemma induction-axioms-imp-Pf:
  assumes α ∈ induction-axioms shows Pf «α»]e
proof -
  have «α»]e ∈ Induction-ax
    by (metis induction-axioms-into-Induction-ax assms)
  thus ?thesis

```

```

by (force simp add: Pf-def Prf-def Axiom-def intro: BuildSeq-exI)
qed

lemma ModPon-imp-Pf:  $\llbracket \text{Pf} \llbracket Q\text{-Imp } x \ y \rrbracket e; \text{Pf} \llbracket x \rrbracket e \rrbracket \implies \text{Pf} \llbracket y \rrbracket e$ 
by (auto simp: Pf-def Prf-def ModPon-def q-defs intro: BuildSeq-combine)

lemma quot-ModPon-imp-Pf:  $\llbracket \text{Pf} \llbracket \langle\!\langle \alpha \text{ IMP } \beta \rangle\!\rangle e; \text{Pf} \llbracket \langle\!\langle \alpha \rangle\!\rangle e \rrbracket \implies \text{Pf} \llbracket \langle\!\langle \beta \rangle\!\rangle e$ 
by (simp add: ModPon-imp-Pf quot-fm-def quot-simps q-defs)

lemma quot-Exists-imp-Pf:  $\llbracket \text{Pf} \llbracket \langle\!\langle \alpha \text{ IMP } \beta \rangle\!\rangle e; \text{atom } i \# \beta \rrbracket \implies \text{Pf} \llbracket \langle\!\langle \text{Ex } i \ \alpha \text{ IMP } \beta \rangle\!\rangle e$ 
by (force simp: Pf-def Prf-def Exists-def quot-simps q-defs
          intro: BuildSeq-combine AbstForm-trans-fm-eq fresh-imp-VarNonOccForm)

lemma proved-imp-Pf: assumes  $H \vdash \alpha$   $H = \{\}$  shows  $\text{Pf} \llbracket \langle\!\langle \alpha \rangle\!\rangle e$ 
using assms
proof (induct)
  case (Hyp A H) thus ?case
    by auto
  next
    case (Extra H) thus ?case
      by (metis extra-axiom-imp-Pf)
  next
    case (Bool A H) thus ?case
      by (metis boolean-axioms-imp-Pf)
  next
    case (Eq A H) thus ?case
      by (metis equality-axioms-imp-Pf)
  next
    case (HF A H) thus ?case
      by (metis HF-axioms-imp-Pf)
  next
    case (Spec A H) thus ?case
      by (metis special-axioms-imp-Pf)
  next
    case (Ind A H) thus ?case
      by (metis induction-axioms-imp-Pf)
  next
    case (MP H A B H') thus ?case
      by (metis quot-ModPon-imp-Pf Un-empty)
  next
    case (Exists H A B i) thus ?case
      by (metis quot-Exists-imp-Pf)
  qed

corollary proved-imp-proved-PfP:  $\{\} \vdash \alpha \implies \{\} \vdash \text{PfP} \llbracket \langle\!\langle \alpha \rangle\!\rangle$ 
by (rule Sigma-fm-imp-thm [OF PfP-sf])
  (auto simp: ground-aux-def supp-conv-fresh proved-imp-Pf)

```

### 6.2.2 Right-to-Left Proof

**lemma** *Sent-imp-hfthm*:

assumes  $x \in \text{Sent}$  shows  $\exists A. x = \llbracket \llbracket A \rrbracket \rrbracket e \wedge \{\} \vdash A$

proof –

obtain  $y z w$  where *Form*  $y$  *Form*  $z$  *Form*  $w$  and  $\text{axs}: \text{Sent-axioms } x y z w$

using *assms* by (auto simp: *Sent-def*)

then obtain  $A::fm$  and  $B::fm$  and  $C::fm$

where  $A: y = \llbracket \llbracket A \rrbracket \rrbracket e$  and  $B: z = \llbracket \llbracket B \rrbracket \rrbracket e$  and  $C: w = \llbracket \llbracket C \rrbracket \rrbracket e$

by (metis *Form-imp-is-fm*)

have  $\exists A. q\text{-Imp } y y = \llbracket \llbracket A \rrbracket \rrbracket e \wedge \{\} \vdash A$

by (force simp add: *A quot-Disj quot-Neg q-defs hfthm.Bool boolean-axioms.intros*)

moreover have  $\exists A. q\text{-Imp } y (q\text{-Disj } y z) = \llbracket \llbracket A \rrbracket \rrbracket e \wedge \{\} \vdash A$

by (force intro!: exI [where  $x=A \text{ IMP } (A \text{ OR } B)$ ])

simp add: *A B quot-Disj quot-Neg q-defs hfthm.Bool boolean-axioms.intros*)

moreover have  $\exists A. q\text{-Imp } (q\text{-Disj } y y) y = \llbracket \llbracket A \rrbracket \rrbracket e \wedge \{\} \vdash A$

by (force intro!: exI [where  $x=(A \text{ OR } A) \text{ IMP } A$ ])

simp add: *A quot-Disj quot-Neg q-defs hfthm.Bool boolean-axioms.intros*)

moreover have  $\exists A. q\text{-Imp } (q\text{-Disj } y (q\text{-Disj } z w)) (q\text{-Disj } (q\text{-Disj } y z) w) = \llbracket \llbracket A \rrbracket \rrbracket e \wedge \{\} \vdash A$

by (force intro!: exI [where  $x=(A \text{ OR } (B \text{ OR } C)) \text{ IMP } ((A \text{ OR } B) \text{ OR } C)$ ])

simp add: *A B C quot-Disj quot-Neg q-defs hfthm.Bool boolean-axioms.intros*)

moreover have  $\exists A. q\text{-Imp } (q\text{-Disj } y z) (q\text{-Imp } (q\text{-Disj } (q\text{-Neg } y) w) (q\text{-Disj } z w)) = \llbracket \llbracket A \rrbracket \rrbracket e \wedge \{\} \vdash A$

by (force intro!: exI [where  $x=(A \text{ OR } B) \text{ IMP } ((\text{Neg } A \text{ OR } C) \text{ IMP } (B \text{ OR } C))$ ])

simp add: *A B C quot-Disj quot-Neg q-defs hfthm.Bool boolean-axioms.intros*)

ultimately show ?thesis using *axs* [*unfolded Sent-axioms-def*]

by blast

qed

**lemma** *Extra-ax-imp-hfthm*:

assumes  $x \in \text{Extra-ax}$  obtains  $A$  where  $x = \llbracket \llbracket A \rrbracket \rrbracket e \wedge \{\} \vdash A$

using *assms unfolding Extra-ax-def*

by (auto intro: eval-quot-fm-ignore *hfthm.Extra*)

**lemma** *Equality-ax-imp-hfthm*:

assumes  $x \in \text{Equality-ax}$  obtains  $A$  where  $x = \llbracket \llbracket A \rrbracket \rrbracket e \wedge \{\} \vdash A$

using *assms unfolding Equality-ax-def*

by (auto intro: eval-quot-fm-ignore *hfthm.Eq* [*unfolded equality-axioms-def*]))

**lemma** *HF-ax-imp-hfthm*:

assumes  $x \in \text{HF-ax}$  obtains  $A$  where  $x = \llbracket \llbracket A \rrbracket \rrbracket e \wedge \{\} \vdash A$

using *assms unfolding HF-ax-def*

by (auto intro: eval-quot-fm-ignore *hfthm.HF* [*unfolded HF-axioms-def*]))

**lemma** *Special-ax-imp-hfthm*:

assumes  $x \in \text{Special-ax}$  obtains  $A$  where  $x = \llbracket \llbracket A \rrbracket \rrbracket e \wedge \{\} \vdash A$

by (metis *Spec Special-ax-imp-special-axioms assms*)

```

lemma Induction-ax-imp-hfthm:
  assumes  $x \in \text{Induction-ax}$  obtains  $A$  where  $x = [\![\alpha]\!]e \{\} \vdash A$ 
  by (metis Induction-ax-imp-induction-axioms assms hfthm.Ind)

lemma Exists-imp-hfthm:  $[\![\exists A. e \vdash A]\!] \implies \exists B. e = [\![\alpha]\!] \wedge \{\} \vdash B$ 
  by (drule Exists-imp-exists [where e=e]) (auto intro: anti-deduction)

lemma Subst-imp-hfthm:  $[\![\text{Subst } [\![\alpha]\!]e y; \{\} \vdash A]\!] \implies \exists B. e = [\![\alpha]\!] \wedge \{\} \vdash B$ 
  by (drule Subst-imp-subst [where e=e], auto intro: Subst)

lemma eval-Neg-imp-Neg:  $[\![\alpha]\!]e = q\text{-Neg } x \implies \exists A. \alpha = Neg A \wedge [\![\alpha]\!]e = x$ 
  by (cases α rule: fm.exhaust) (auto simp: quot-simps q-defs htuple-minus-1)

lemma eval-Disj-imp-Disj:  $[\![\alpha]\!]e = q\text{-Disj } x y \implies \exists A B. \alpha = A \text{ OR } B \wedge [\![\alpha]\!]e = x \wedge [\![\alpha]\!]e = y$ 
  by (cases α rule: fm.exhaust) (auto simp: quot-simps q-defs htuple-minus-1)

lemma Prf-imp-proved: assumes  $\text{Prf } s k x \text{ shows } \exists A. x = [\![\alpha]\!]e \wedge \{\} \vdash A$ 
  using assms [unfolded Prf-def Axiom-def]
  proof (induction x rule: BuildSeq-induct)
    case ( $B x$ ) thus ?case
      by (auto intro: Extra-ax-imp-hfthm Sent-imp-hfthm Equality-ax-imp-hfthm HF-ax-imp-hfthm
           Special-ax-imp-hfthm Induction-ax-imp-hfthm)
  next
    case ( $C x y z$ )
    then obtain  $A::fm$  and  $B::fm$  where  $y = [\![\alpha]\!]e \{\} \vdash A$   $z = [\![\alpha]\!]e \{\} \vdash B$ 
    by blast
    thus ?case using C.hyps ModPon-def q-Imp-def
    by (auto dest!: MP-same eval-Neg-imp-Neg eval-Disj-imp-Disj Exists-imp-hfthm
         Subst-imp-hfthm)
  qed

corollary Pf-quot-imp-is-proved:  $\text{Pf } [\![\alpha]\!]e \implies \{\} \vdash \alpha$ 
  by (metis Pf-def Prf-imp-proved eval-fm-inject)

  Proposition 4.4!

theorem proved-iff-proved-PfP:  $\{\} \vdash \alpha \longleftrightarrow \{\} \vdash \text{PfP } [\![\alpha]\!]$ 
  by (metis Pf-quot-imp-is-proved emptyE eval-fm-PfP hfthm-sound proved-imp-proved-PfP)

end

```

## Kapitel 7

# Uniqueness Results: Syntactic Relations are Functions

```
theory Functions
imports Coding-Predicates
begin
```

### 7.0.1 SeqStTermP

```
lemma not-IndP-VarP: {IndP x, VarP x} ⊢ A
proof -
  obtain m::name where atom m # (x,A)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: fresh-Pair) (blast intro: ExFalse cut-same [OF VarP-cong
      [THEN Iff-MP-same]])
qed
```

It IS a pair, but not just any pair.

```
lemma IndP-HPairE: insert (IndP (HPair (HPair Zero (HPair Zero Zero)) x))
H ⊢ A
proof -
  obtain m::name where atom m # (x,A)
    by (metis obtain-fresh)
  hence { IndP (HPair (HPair Zero (HPair Zero Zero)) x) } ⊢ A
    by (auto simp: IndP.simps [of m] HTuple-minus-1_intro: thin1)
  thus ?thesis
    by (metis Assume cut1)
qed

lemma atom-HPairE:
assumes H ⊢ x EQ HPair (HPair Zero (HPair Zero Zero)) y
shows insert (IndP x OR x NEQ v) H ⊢ A
```

**proof –**

have {  $\text{IndP } x \text{ OR } x \text{ NEQ } v, x \text{ EQ } \text{HPair} (\text{HPair Zero} (\text{HPair Zero Zero})) y \}$  }  
 $\vdash A$

by (auto intro!: OrdNotEqP-OrdP-E IndP-HPairE  
intro: cut-same [OF IndP-cong [THEN Iff-MP-same]]  
cut-same [OF OrdP-cong [THEN Iff-MP-same]])

thus ?thesis  
by (metis Assume assms rcut2)

qed

**lemma SeqStTermP-lemma:**

assumes atom  $m \# (v, i, t, u, s, k, n, sm, sm', sn, sn')$  atom  $n \# (v, i, t, u, s, k, sm, sm', sn, sn')$   
atom  $sm \# (v, i, t, u, s, k, sm', sn, sn')$  atom  $sm' \# (v, i, t, u, s, k, sn, sn')$   
atom  $sn \# (v, i, t, u, s, k, sn')$  atom  $sn' \# (v, i, t, u, s, k)$

shows {  $\text{SeqStTermP } v \ i \ t \ u \ s \ k \}$   
 $\vdash ((t \text{ EQ } v \text{ AND } u \text{ EQ } i) \text{ OR}$   
 $((\text{IndP } t \text{ OR } t \text{ NEQ } v) \text{ AND } u \text{ EQ } t)) \text{ OR}$   
 $\text{Ex } m \ (\text{Ex } n \ (\text{Ex } sm \ (\text{Ex } sm' \ (\text{Ex } sn \ (\text{Ex } sn' \ (\text{Var } m \text{ IN } k \text{ AND } \text{Var } n$   
 $\text{IN } k \text{ AND }$   
 $\text{SeqStTermP } v \ i \ (\text{Var } sm) \ (\text{Var } sm') \ s \ (\text{Var } m) \text{ AND}$   
 $\text{SeqStTermP } v \ i \ (\text{Var } sn) \ (\text{Var } sn') \ s \ (\text{Var } n) \text{ AND}$   
 $t \text{ EQ } Q\text{-Eats } (\text{Var } sm) \ (\text{Var } sn) \text{ AND}$   
 $u \text{ EQ } Q\text{-Eats } (\text{Var } sm') \ (\text{Var } sn')))))$

**proof –**

obtain  $l::\text{name}$  and  $sl::\text{name}$  and  $sl'::\text{name}$   
**where** atom  $l \# (v, i, t, u, s, k, sl, sl', m, n, sm, sm', sn, sn')$   
atom  $sl \# (v, i, t, u, s, k, sl', m, n, sm, sm', sn, sn')$   
atom  $sl' \# (v, i, t, u, s, k, m, n, sm, sm', sn, sn')$

by (metis obtain-fresh)  
thus ?thesis using assms  
apply (simp add: SeqStTermP.simps [of  $l \ s \ k \ v \ i \ sl \ sl' \ m \ n \ sm \ sm' \ sn \ sn'$ ])  
apply (rule Conj-EH Ex-EH All2-SUCC-E [THEN rotate2] | simp)+  
apply (rule cut-same [**where**  $A = \text{HPair } t \ u \text{ EQ } \text{HPair} (\text{Var } sl) \ (\text{Var } sl')$ ])  
apply (metis Assume AssumeH(4) LstSeqP-EQ)  
apply clarify  
apply (rule Disj-EH)  
apply (rule Disj-I1)  
apply (rule anti-deduction)  
apply (rule Var-Eq-subst-Iff [THEN Sym-L, THEN Iff-MP-same])  
apply (rule Sym-L [THEN rotate2])  
apply (rule Var-Eq-subst-Iff [THEN Iff-MP-same], force)  
— now the quantified case  
— auto could be used but is VERY SLOW  
apply (rule Ex-EH Conj-EH)+  
apply simp-all  
apply (rule Disj-I2)  
apply (rule Ex-I [**where**  $x = \text{Var } m$ , simp])  
apply (rule Ex-I [**where**  $x = \text{Var } n$ , simp])  
apply (rule Ex-I [**where**  $x = \text{Var } sm$ , simp])

```

apply (rule Ex-I [where  $x = \text{Var } sm$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sn$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sn'$ ], simp)
apply (simp-all add: SeqStTermP.simps [of  $l s - v i sl sl' m n sm sm' sn sn'$ ])
apply ((rule Conj-I)+, blast intro: LstSeqP-Mem)+
— first SeqStTermP subgoal
apply (rule All2-Subset [OF Hyp], blast)
apply (blast intro!: SUCC-Subset-Ord LstSeqP-OrdP, blast, simp)
— next SeqStTermP subgoal
apply ((rule Conj-I)+, blast intro: LstSeqP-Mem)+
apply (rule All2-Subset [OF Hyp], blast)
apply (blast intro!: SUCC-Subset-Ord LstSeqP-OrdP, blast, simp)
— finally, the equality pair
apply (blast intro: Trans)
done
qed

```

```

lemma SeqStTermP-unique: {SeqStTermP v a t u s kk, SeqStTermP v a t u' s'
kk'} ⊢ u' EQ u
proof –
  obtain i::name and j::name and j'::name and k::name and k'::name and
l::name
    and m::name and n::name and sm::name and sn::name and sm'::name and
sn'::name
    and m2::name and n2::name and sm2::name and sn2::name and sm2'::name
and sn2'::name
    where atoms: atom i # (s,s',v,a,t,u,u') atom j # (s,s',v,a,t,i,t,u,u')
atom j' # (s,s',v,a,t,i,j,t,u,u')
atom k # (s,s',v,a,t,u,u',kk',i,j,j') atom k' # (s,s',v,a,t,u,u',k,i,j,j')
atom l # (s,s',v,a,t,i,j,j',k,k')
atom m # (s,s',v,a,i,j,j',k,k',l) atom n # (s,s',v,a,i,j,j',k,k',l,m)
atom sm # (s,s',v,a,i,j,j',k,k',l,m,n) atom sn # (s,s',v,a,i,j,j',k,k',l,m,n,sm)
atom sm' # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn) atom sn' # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm')
atom m2 # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn') atom n2 #
(s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn',m2)
atom sm2 # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn',m2,n2) atom
sn2 # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',m2,n2,sm2)
atom sm2' # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn',m2,n2,sm2,sn2)
atom sn2' # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn',m2,n2,sm2,sn2,sm2')
  by (metis obtain-fresh)
  have { OrdP (Var k), VarP v }
    ⊢ All i (All j (All j' (All k' (SeqStTermP v a (Var i) (Var j) s (Var k)
IMP (SeqStTermP v a (Var i) (Var j') s' (Var k') IMP
Var j' EQ Var j)))))

  apply (rule OrdIndH [where j=l])
  using atoms apply auto
  apply (rule Swap)
  apply (rule cut-same)

```

```

apply (rule cut1 [OF SeqStTermP-lemma [of m v a Var i Var j s Var k n sm sm' sn sn']], simp-all, blast)
apply (rule cut-same)
apply (rule cut1 [OF SeqStTermP-lemma [of m2 v a Var i Var j' s' Var k' n2 sm2 sm2' sn2 sn2'']], simp-all, blast)
apply (rule Disj-EH Conj-EH)+
— case 1, both sides equal v
apply (blast intro: Trans Sym)
— case 2, Var i EQ v and also IndP (Var i) OR Var i NEQ v
apply (rule Conj-EH Disj-EH)+
apply (blast intro: IndP-cong [THEN Iff-MP-same] not-IndP-VarP [THEN cut2])
apply (metis Assume OrdNotEqP-E)
— case 3, both a variable and a pair
apply (rule Ex-EH Conj-EH)+
apply simp-all
apply (rule cut-same [where A = VarP (Q-Eats (Var sm) (Var sn))])
apply (blast intro: Trans Sym VarP-cong [where x=v, THEN Iff-MP-same]
Hyp, blast)
— towards remaining cases
apply (rule Disj-EH Ex-EH)+
— case 4, Var i EQ v and also IndP (Var i) OR Var i NEQ v
apply (blast intro: IndP-cong [THEN Iff-MP-same] not-IndP-VarP [THEN cut2] OrdNotEqP-E)
— case 5, Var i EQ v for both
apply (blast intro: Trans Sym)
— case 6, both an atom and a pair
apply (rule Ex-EH Conj-EH)+
apply simp-all
apply (rule atom-HPairE)
apply (simp add: HTuple.simps)
apply (blast intro: Trans)
— towards remaining cases
apply (rule Conj-EH Disj-EH Ex-EH)+
apply simp-all
— case 7, both an atom and a pair
apply (rule cut-same [where A = VarP (Q-Eats (Var sm2) (Var sn2))])
apply (blast intro: Trans Sym VarP-cong [where x=v, THEN Iff-MP-same]
Hyp, blast)
— case 8, both an atom and a pair
apply (rule Ex-EH Conj-EH)+
apply simp-all
apply (rule atom-HPairE)
apply (simp add: HTuple.simps)
apply (blast intro: Trans)
— case 9, two Eats terms
apply (rule Ex-EH Disj-EH Conj-EH)+
apply simp-all
apply (rule All-E' [OF Hyp, where x=Var m], blast)

```

```

apply (rule All-E' [OF Hyp, where x=Var n], blast, simp)
apply (rule Disj-EH, blast intro: thin1 ContraProve) +
apply (rule All-E [where x=Var sm], simp)
apply (rule All-E [where x=Var sm'], simp)
apply (rule All-E [where x=Var sm2'], simp)
apply (rule All-E [where x=Var m2], simp)
apply (rule All-E [where x=Var sn, THEN rotate2], simp)
apply (rule All-E [where x=Var sn'], simp)
apply (rule All-E [where x=Var sn2'], simp)
apply (rule All-E [where x=Var n2], simp)
apply (rule cut-same [where A = Q-Eats (Var sm) (Var sn) EQ Q-Eats (Var sm2) (Var sn2)])
apply (blast intro: Sym Trans, clarify)
apply (rule cut-same [where A = SeqStTermP v a (Var sn) (Var sn2') s' (Var n2)])
apply (blast intro: Hyp SeqStTermP-cong [OF Hyp Refl Refl, THEN Iff-MP2-same])
apply (rule cut-same [where A = SeqStTermP v a (Var sm) (Var sm2') s' (Var m2)])
apply (blast intro: Hyp SeqStTermP-cong [OF Hyp Refl Refl, THEN Iff-MP2-same])
apply (rule Disj-EH, blast intro: thin1 ContraProve) +
apply (blast intro: HPair-cong Trans [OF Hyp Sym])
done
hence p1: {OrdP (Var k), VarP v}
  ⊢ (All j (All j' (All k' (SeqStTermP v a (Var i) (Var j) s (Var k)
    IMP (SeqStTermP v a (Var i) (Var j') s' (Var k') IMP Var j' EQ
    Var j)))))(i::=t)
  by (metis All-D)
have p2: {OrdP (Var k), VarP v}
  ⊢ (All j' (All k' (SeqStTermP v a t (Var j) s (Var k)
    IMP (SeqStTermP v a t (Var j') s' (Var k') IMP Var j' EQ Var
    j)))))(j::=u)
  apply (rule All-D)
  using atoms p1 by simp
have p3: {OrdP (Var k), VarP v}
  ⊢ (All k' (SeqStTermP v a t u s (Var k) IMP (SeqStTermP v a t (Var
    j') s' (Var k') IMP Var j' EQ u)))(j'::=u')
  apply (rule All-D)
  using atoms p2 by simp
have p4: {OrdP (Var k), VarP v}
  ⊢ (SeqStTermP v a t u s (Var k) IMP (SeqStTermP v a t u' s' (Var k')
    IMP u' EQ u))(k'::=kk')
  apply (rule All-D)
  using atoms p3 by simp
hence {SeqStTermP v a t u s (Var k), VarP v} ⊢ SeqStTermP v a t u s (Var k)
  IMP (SeqStTermP v a t u' s' kk' IMP u' EQ u)
  using atoms apply simp
  by (metis SeqStTermP-imp-OrdP rcut1)
hence {VarP v} ⊢ ((SeqStTermP v a t u s (Var k) IMP (SeqStTermP v a t u' s'
  kk' IMP u' EQ u)))

```

```

by (metis Assume MP-same Imp-I)
hence { VarP v } ⊢ ((SeqStTermP v a t u s (Var k) IMP (SeqStTermP v a t u' s' kk' IMP u' EQ u)))(k ::= kk)
using atoms by (force intro!: Subst)
hence { VarP v } ⊢ SeqStTermP v a t u s kk IMP (SeqStTermP v a t u' s' kk' IMP u' EQ u)
using atoms by simp
hence { SeqStTermP v a t u s kk } ⊢ SeqStTermP v a t u s kk IMP (SeqStTermP v a t u' s' kk' IMP u' EQ u)
by (metis SeqStTermP-imp-VarP rcut1)
thus ?thesis
by (metis Assume AssumeH(2) MP-same rcut1)
qed

```

**theorem** SubstTermP-unique: {SubstTermP v tm t u, SubstTermP v tm t u'} ⊢ u' EQ u

**proof** –

```

obtain s::name and s'::name and k::name and k'::name
where atom s # (v,tm,t,u,u',k,k') atom s' # (v,tm,t,u,u',k,k',s)
      atom k # (v,tm,t,u,u') atom k' # (v,tm,t,u,u',k)
by (metis obtain-fresh)
thus ?thesis
by (auto simp: SubstTermP.simps [of s v tm t u k] SubstTermP.simps [of s' v tm t u' k'])
      (metis SeqStTermP-unique rotate3 thin1)
qed

```

### 7.0.2 SubstAtomicP

**lemma** SubstTermP-eq:

$$[\![H \vdash \text{SubstTermP } v \text{ tm } x \text{ z}; \text{insert } (\text{SubstTermP } v \text{ tm } y \text{ z}) H \vdash A]\!] \implies \text{insert } (x \text{ EQ } y) H \vdash A$$

```

by (metis Assume rotate2 Iff-E1 cut-same thin1 SubstTermP-cong [OF Refl Refl - Refl])

```

**lemma** SubstAtomicP-unique: {SubstAtomicP v tm x y, SubstAtomicP v tm x y'} ⊢ y' EQ y

**proof** –

```

obtain t::name and ts::name and u::name and us::name
      and t'::name and ts'::name and u'::name and us'::name
where atom t # (v,tm,x,y,y',ts,u,us) atom ts # (v,tm,x,y,y',u,us)
      atom u # (v,tm,x,y,y',us) atom us # (v,tm,x,y,y')
      atom t' # (v,tm,x,y,y',t,ts,u,us,ts',u',us') atom ts' # (v,tm,x,y,y',t,ts,u,us,u',us')
      atom u' # (v,tm,x,y,y',t,ts,u,us,us') atom us' # (v,tm,x,y,y',t,ts,u,us)
by (metis obtain-fresh)
thus ?thesis
apply (simp add: SubstAtomicP.simps [of t v tm x y ts u us]
      SubstAtomicP.simps [of t' v tm x y' ts' u' us'])

```

```

apply (rule Ex-EH Disj-EH Conj-EH) +
apply simp-all
apply (rule Eq-Trans-E [OF Hyp], auto simp: HTS)
apply (rule SubstTermP-eq [THEN thin1], blast)
apply (rule SubstTermP-eq [THEN rotate2], blast)
apply (rule Trans [OF Hyp Sym], blast)
apply (rule Trans [OF Hyp], blast)
apply (metis Assume AssumeH(8) HPair-cong Refl cut2 [OF SubstTermP-unique]
thin1)
apply (rule Eq-Trans-E [OF Hyp], blast, force simp add: HTS)
apply (rule Eq-Trans-E [OF Hyp], blast, force simp add: HTS)
apply (rule Eq-Trans-E [OF Hyp], auto simp: HTS)
apply (rule SubstTermP-eq [THEN thin1], blast)
apply (rule SubstTermP-eq [THEN rotate2], blast)
apply (rule Trans [OF Hyp Sym], blast)
apply (rule Trans [OF Hyp], blast)
apply (metis Assume AssumeH(8) HPair-cong Refl cut2 [OF SubstTermP-unique]
thin1)
done
qed

```

### 7.0.3 SeqSubstFormP

**lemma** SeqSubstFormP-lemma:

**assumes** atom  $m \# (v, u, x, y, s, k, n, sm, sm', sn, sn')$  atom  $n \# (v, u, x, y, s, k, sm, sm', sn, sn')$   
 $atom sm \# (v, u, x, y, s, k, sm', sn, sn')$   $atom sm' \# (v, u, x, y, s, k, sn, sn')$   
 $atom sn \# (v, u, x, y, s, k, sn')$   $atom sn' \# (v, u, x, y, s, k)$

**shows** { SeqSubstFormP  $v u x y s k$  }  
 $\vdash SubstAtomicP v u x y OR$   
 $Ex m (Ex n (Ex sm (Ex sm' (Ex sn (Ex sn' (Var m IN k AND Var n IN  
k AND  
SeqSubstFormP  $v u (Var sm) (Var sm') s (Var m) AND$   
 $SeqSubstFormP v u (Var sn) (Var sn') s (Var n) AND$   
 $((x EQ Q-Disj (Var sm) (Var sn) AND y EQ Q-Disj (Var sm')))))))$   
 $(Var sn')) OR$   
 $(x EQ Q-Neg (Var sm) AND y EQ Q-Neg (Var sm')) OR$   
 $(x EQ Q-Ex (Var sm) AND y EQ Q-Ex (Var sm'))))))))))$$

**proof -**

obtain  $l::name$  and  $sl::name$  and  $sl'::name$

where atom  $l \# (v, u, x, y, s, k, sl, sl', m, n, sm, sm', sn, sn')$   
 $atom sl \# (v, u, x, y, s, k, sl', m, n, sm, sm', sn, sn')$   
 $atom sl' \# (v, u, x, y, s, k, m, n, sm, sm', sn, sn')$

by (metis obtain-fresh)

thus ?thesis using assms

apply (simp add: SeqSubstFormP.simps [of  $l s k v u sl sl' m n sm sm' sn sn'$ ])  
apply (rule Conj-EH Ex-EH All2-SUCC-E [THEN rotate2] | simp)+  
apply (rule cut-same [where A = HPair x y EQ HPair (Var sl) (Var sl')])  
apply (metis Assume AssumeH(4) LstSeqP-EQ)  
apply clarify

```

apply (rule Disj-EH)
apply (blast intro: Disj-I1 SubstAtomicP-cong [THEN Iff-MP2-same])
— now the quantified cases
apply (rule Ex-EH Conj-EH) +
apply simp-all
apply (rule Disj-I2)
apply (rule Ex-I [where  $x = \text{Var } m$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } n$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sm$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sm'$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sn$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sn'$ ], simp)
apply (simp-all add: SeqSubstFormP.simps [of  $l s - v u sl sl' m n sm sm' sn$ 
 $sn'$ ])
apply ((rule Conj-I) +, blast intro: LstSeqP-Mem) +
— first SeqSubstFormP subgoal
apply (rule All2-Subset [OF Hyp], blast)
apply (blast intro!: SUCC-Subset-Ord LstSeqP-OrdP, blast, simp)
— next SeqSubstFormP subgoal
apply ((rule Conj-I) +, blast intro: LstSeqP-Mem) +
apply (rule All2-Subset [OF Hyp], blast)
apply (blast intro!: SUCC-Subset-Ord LstSeqP-OrdP, blast, simp)
— finally, the equality pairs
apply (rule anti-deduction [THEN thin1])
apply (rule Sym-L [THEN rotate4])
apply (rule Var-Eq-subst-Iff [THEN Iff-MP-same])
apply (rule Sym-L [THEN rotate5])
apply (rule Var-Eq-subst-Iff [THEN Iff-MP-same], force)
done
qed

lemma
shows Neg-SubstAtomicP-Fls:  $\{y \text{ EQ } Q\text{-Neg } z, \text{ SubstAtomicP } v \text{ tm } y \text{ } y'\} \vdash \text{Fls}$ 
(is ?thesis1)
and Disj-SubstAtomicP-Fls:  $\{y \text{ EQ } Q\text{-Disj } z \text{ } w, \text{ SubstAtomicP } v \text{ tm } y \text{ } y'\} \vdash \text{Fls}$ 
(is ?thesis2)
and Ex-SubstAtomicP-Fls:  $\{y \text{ EQ } Q\text{-Ex } z, \text{ SubstAtomicP } v \text{ tm } y \text{ } y'\} \vdash \text{Fls}$ 
(is ?thesis3)
proof –
obtain  $t::name$  and  $u::name$  and  $t'::name$  and  $u'::name$ 
where atom  $t \# (z, w, v, \text{tm}, y, y', t', u, u')$  atom  $t' \# (z, w, v, \text{tm}, y, y', u, u')$ 
atom  $u \# (z, w, v, \text{tm}, y, y', u')$  atom  $u' \# (z, w, v, \text{tm}, y, y')$ 
by (metis obtain-fresh)
thus ?thesis1 ?thesis2 ?thesis3
by (auto simp: SubstAtomicP.simps [of  $t v \text{ tm } y \text{ } y' t' u \text{ } u'$ ] HTS intro:
Eq-Trans-E [OF Hyp])
qed

lemma SeqSubstFormP-eq:

```

```

 $\llbracket H \vdash SeqSubstFormP v tm x z s k; insert (SeqSubstFormP v tm y z s k) H \vdash A \rrbracket$ 
 $\implies insert (x EQ y) H \vdash A$ 
apply (rule cut-same [OF SeqSubstFormP-cong [OF Assume Refl Refl Refl,
THEN Iff-MP-same]])
apply (auto simp: insert-commute intro: thin1)
done

lemma SeqSubstFormP-unique: {SeqSubstFormP v a x y s kk, SeqSubstFormP v a
x y' s' kk'} ⊢ y' EQ y
proof –
  obtain i::name and j::name and j'::name and k::name and k'::name and
l::name
    and m::name and n::name and sm::name and sn::name and sm'::name and
sn'::name
    and m2::name and n2::name and sm2::name and sn2::name and sm2'::name
and sn2'::name
    where atoms: atom i # (s,s',v,a,x,y,y') atom j # (s,s',v,a,x,i,x,y,y')
    atom j' # (s,s',v,a,x,i,j,x,y,y')
    atom k # (s,s',v,a,x,y,y',kk',i,j,j') atom k' # (s,s',v,a,x,y,y',k,i,j,j')
    atom l # (s,s',v,a,x,i,j,j',k,k')
    atom m # (s,s',v,a,i,j,j',k,k',l) atom n # (s,s',v,a,i,j,j',k,k',l,m)
    atom sm # (s,s',v,a,i,j,j',k,k',l,m,n) atom sn # (s,s',v,a,i,j,j',k,k',l,m,n,sm)
    atom sm' # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn) atom sn' # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm')
    atom m2 # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn') atom n2 #
(s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn',m2)
    atom sm2 # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn',m2,n2) atom
sn2 # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn',m2,n2,sm2)
    atom sm2' # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn',m2,n2,sm2,sn2)
atom sn2' # (s,s',v,a,i,j,j',k,k',l,m,n,sm,sn,sm',sn',m2,n2,sm2,sn2,sm2')
  by (metis obtain-fresh)
  have { OrdP (Var k) }
    ⊢ All i (All j (All j' (All k' (SeqSubstFormP v a (Var i) (Var j) s (Var k)
IMP (SeqSubstFormP v a (Var i) (Var j') s' (Var k')) IMP Var j' EQ Var j)))))
  apply (rule OrdIndH [where j=l])
  using atoms apply auto
  apply (rule Swap)
  apply (rule cut-same)
  apply (rule cut1 [OF SeqSubstFormP-lemma [of m v a Var i Var j s Var k n
sm sm' sn sn']], simp-all, blast)
  apply (rule cut-same)
  apply (rule cut1 [OF SeqSubstFormP-lemma [of m2 v a Var i Var j' s' Var k'
n2 sm2 sm2' sn2 sn2]], simp-all, blast)
  apply (rule Disj-EH Conj-EH)+
  — case 1, both sides are atomic
  apply (blast intro: cut2 [OF SubstAtomicP-unique])
  — case 2, atomic and also not
  apply (rule Ex-EH Conj-EH Disj-EH)+
  apply simp-all

```

```

apply (metis Assume AssumeH(7) Disj-I1 Neg-I anti-deduction cut2 [OF Disj-SubstAtomicP-Fls])
apply (rule Conj-EH Disj-EH)+
apply (metis Assume AssumeH(7) Disj-I1 Neg-I anti-deduction cut2 [OF Neg-SubstAtomicP-Fls])
apply (rule Conj-EH)+
apply (metis Assume AssumeH(7) Disj-I1 Neg-I anti-deduction cut2 [OF Ex-SubstAtomicP-Fls])
— towards remaining cases
apply (rule Conj-EH Disj-EH Ex-EH)+
apply simp-all
apply (metis Assume AssumeH(7) Disj-I1 Neg-I anti-deduction cut2 [OF Disj-SubstAtomicP-Fls])
apply (rule Conj-EH Disj-EH)+
apply (metis Assume AssumeH(7) Disj-I1 Neg-I anti-deduction cut2 [OF Neg-SubstAtomicP-Fls])
apply (rule Conj-EH)+
apply (metis Assume AssumeH(7) Disj-I1 Neg-I anti-deduction cut2 [OF Ex-SubstAtomicP-Fls])
— towards remaining cases
apply (rule Conj-EH Disj-EH Ex-EH)+
apply simp-all
— case two Disj terms
apply (rule All-E' [OF Hyp, where x=Var m], blast)
apply (rule All-E' [OF Hyp, where x=Var n], blast, simp)
apply (rule Disj-EH, blast intro: thin1 ContraProve)+
apply (rule All-E [where x=Var sm], simp)
apply (rule All-E [where x=Var sm'], simp)
apply (rule All-E [where x=Var sm2'], simp)
apply (rule All-E [where x=Var m2], simp)
apply (rule All-E [where x=Var sn, THEN rotate2], simp)
apply (rule All-E [where x=Var sn'], simp)
apply (rule All-E [where x=Var sn2'], simp)
apply (rule All-E [where x=Var n2], simp)
apply (rule rotate3)
apply (rule Eq-Trans-E [OF Hyp], blast)
apply (clarsimp simp add: HTS)
apply (rule thin1)
apply (rule Disj-EH [OF ContraProve], blast intro: thin1 SeqSubstFormP-eq)+
apply (blast intro: HPair-cong Trans [OF Hyp Sym])
— towards remaining cases
apply (rule Conj-EH Disj-EH)+
— Negation = Disjunction?
apply (rule Eq-Trans-E [OF Hyp], blast, force simp add: HTS)
— Existential = Disjunction?
apply (rule Conj-EH)
apply (rule Eq-Trans-E [OF Hyp], blast, force simp add: HTS)
— towards remaining cases
apply (rule Conj-EH Disj-EH Ex-EH)+

```

```

apply simp-all
— Disjunction = Negation?
apply (rule Eq-Trans-E [OF Hyp], blast, force simp add: HTS)
apply (rule Conj-EH Disj-EH)+
— case two Neg terms
apply (rule Eq-Trans-E [OF Hyp], blast, clarify)
apply (rule thin1)
apply (rule All-E' [OF Hyp, where x=Var m], blast, simp)
apply (rule Disj-EH, blast intro: thin1 ContraProve)+
apply (rule All-E [where x=Var sm], simp)
apply (rule All-E [where x=Var sm'], simp)
apply (rule All-E [where x=Var sm2'], simp)
apply (rule All-E [where x=Var m2], simp)
apply (rule Disj-EH [OF ContraProve], blast intro: SeqSubstFormP-eq Sym-L)+
apply (blast intro: HPair-cong Sym Trans [OF Hyp])
— Existential = Negation?
apply (rule Conj-EH)+
apply (rule Eq-Trans-E [OF Hyp], blast, force simp add: HTS)
— towards remaining cases
apply (rule Conj-EH Disj-EH Ex-EH)+
apply simp-all
— Disjunction = Existential
apply (rule Eq-Trans-E [OF Hyp], blast, force simp add: HTS)
apply (rule Conj-EH Disj-EH Ex-EH)+
— Negation = Existential
apply (rule Eq-Trans-E [OF Hyp], blast, force simp add: HTS)
— case two Ex terms
apply (rule Conj-EH)+
apply (rule Eq-Trans-E [OF Hyp], blast, clarify)
apply (rule thin1)
apply (rule All-E' [OF Hyp, where x=Var m], blast, simp)
apply (rule Disj-EH, blast intro: thin1 ContraProve)+
apply (rule All-E [where x=Var sm], simp)
apply (rule All-E [where x=Var sm'], simp)
apply (rule All-E [where x=Var sm2'], simp)
apply (rule All-E [where x=Var m2], simp)
apply (rule Disj-EH [OF ContraProve], blast intro: SeqSubstFormP-eq Sym-L)+
apply (blast intro: HPair-cong Sym Trans [OF Hyp])
done
hence p1: {OrdP (Var k)}
  ⊢ (All j (All k' (All v a (Var i) (Var j) s (Var k)
    IMP (SeqSubstFormP v a (Var i) (Var j') s' (Var k') IMP Var j' EQ
    Var j))))(i::=x)
  by (metis All-D)
have p2: {OrdP (Var k)}
  ⊢ (All j' (All k' (SeqSubstFormP v a x (Var j) s (Var k)
    IMP (SeqSubstFormP v a x (Var j') s' (Var k') IMP Var j' EQ Var
    j))))(j::=y)
  apply (rule All-D)

```

```

using atoms p1 by simp
have p3: {OrdP (Var k)}
     $\vdash (\text{All } k' (\text{SeqSubstFormP } v \ a \ x \ y \ s \ (\text{Var } k) \text{ IMP } (\text{SeqSubstFormP } v \ a \ x \ (\text{Var } j') \ s' (\text{Var } k') \text{ IMP } \text{Var } j' \text{ EQ } y)))(j' := y')$ 
        apply (rule All-D)
        using atoms p2 by simp
        have p4: {OrdP (Var k)}
             $\vdash (\text{SeqSubstFormP } v \ a \ x \ y \ s \ (\text{Var } k) \text{ IMP } (\text{SeqSubstFormP } v \ a \ x \ y' \ s' (\text{Var } k') \text{ IMP } y' \text{ EQ } y))(k' := kk')$ 
            apply (rule All-D)
            using atoms p3 by simp
            hence {OrdP (Var k)}  $\vdash \text{SeqSubstFormP } v \ a \ x \ y \ s \ (\text{Var } k) \text{ IMP } (\text{SeqSubstFormP } v \ a \ x \ y' \ s' (\text{Var } k') \text{ IMP } y' \text{ EQ } y)$ 
                using atoms by simp
                hence {SeqSubstFormP v a x y s (Var k)}
                     $\vdash \text{SeqSubstFormP } v \ a \ x \ y \ s \ (\text{Var } k) \text{ IMP } (\text{SeqSubstFormP } v \ a \ x \ y' \ s' \text{ kk'} \text{ IMP } y' \text{ EQ } y)$ 
                    by (metis SeqSubstFormP-imp-OrdP rcut1)
                    hence {}  $\vdash \text{SeqSubstFormP } v \ a \ x \ y \ s \ (\text{Var } k) \text{ IMP } (\text{SeqSubstFormP } v \ a \ x \ y' \ s' \text{ kk'} \text{ IMP } y' \text{ EQ } y)$ 
                    by (metis Assume Disj-Neg-2 Disj-commute anti-deduction Imp-I)
                    hence {}  $\vdash ((\text{SeqSubstFormP } v \ a \ x \ y \ s \ (\text{Var } k) \text{ IMP } (\text{SeqSubstFormP } v \ a \ x \ y' \ s' \text{ kk'} \text{ IMP } y' \text{ EQ } y))(k' := kk))$ 
                    using atoms by (force intro!: Subst)
                    thus ?thesis
                    using atoms by simp (metis DisjAssoc2 Disj-commute anti-deduction)
    qed

```

#### 7.0.4 SubstFormP

```

theorem SubstFormP-unique: {SubstFormP v tm x y, SubstFormP v tm x y'}  $\vdash y' \text{ EQ } y$ 
proof -
    obtain s::name and s'::name and k::name and k'::name
        where atom s # (v,tm,x,y,y',k,k') atom s' # (v,tm,x,y,y',k,k',s)
            atom k # (v,tm,x,y,y') atom k' # (v,tm,x,y,y',k)
        by (metis obtain-fresh)
    thus ?thesis
        by (force simp: SubstFormP.simps [of s v tm x y k] SubstFormP.simps [of s' v tm x y' k'])
            SeqSubstFormP-unique rotate3 thin1
    qed
end

```

# Kapitel 8

## Section 6 Material and Gödel's First Incompleteness Theorem

**theory** Goedel-I

**imports** Pf-Predicates Functions

**begin**

### 8.1 The Function W and Lemma 6.1

#### 8.1.1 Predicate form, defined on sequences

**definition** SeqWR :: hf  $\Rightarrow$  hf  $\Rightarrow$  hf  $\Rightarrow$  bool

where SeqWR s k y  $\equiv$  LstSeq s k y  $\wedge$  app s 0 = 0  $\wedge$

$(\forall l \in k. \text{app } s (\text{succ } l) = q\text{-Eats} (\text{app } s l) (\text{app } s l))$

**nominal-function** SeqWRP :: tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm

where  $\llbracket \text{atom } l \# (s, k, sl); \text{atom } sl \# (s) \rrbracket \implies$

SeqWRP s k y = LstSeqP s k y AND

HPair Zero Zero IN s AND

All2 l k (Ex sl (HPair (Var l) (Var sl)) IN s AND

HPair (SUCC (Var l)) (Q-Succ (Var sl)) IN s))

by (auto simp: eqvt-def SeqWRP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)

by lexicographic-order

**lemma**

shows SeqWRP-fresh-iff [simp]:  $a \# \text{SeqWRP } s k y \longleftrightarrow a \# s \wedge a \# k \wedge a \# y$  (**is** ?thesis1)

and eval-fm-SeqWRP [simp]: eval-fm e (SeqWRP s k y)  $\longleftrightarrow$  SeqWR  $\llbracket s \rrbracket e \llbracket k \rrbracket e \llbracket y \rrbracket e$  (**is** ?thesis2)

and SeqWRP-sf [iff]: Sigma-fm (SeqWRP s k y) (**is** ?thsf)

```

proof -
  obtain  $l::name$  and  $sl::name$  where  $\text{atom } l \# (s, k, sl) \text{ atom } sl \# (s)$ 
    by (metis obtain-fresh)
  thus  $?thesis1 ?thesis2 ?thsf$ 
    by (auto simp: SeqWR-def q-defs LstSeq-imp-Ord
      Seq-iff-app [of  $\llbracket s \rrbracket e$ , OF LstSeq-imp-Seq-succ]
      Ord-trans [of - - succ  $\llbracket k \rrbracket e$ ])
qed

lemma  $\text{SeqWRP-subst} [\text{simp}]:$ 
   $(\text{SeqWRP } s \ k \ y)(i := t) = \text{SeqWRP } (\text{subst } i \ t \ s) \ (\text{subst } i \ t \ k) \ (\text{subst } i \ t \ y)$ 
proof -
  obtain  $l::name$  and  $sl::name$ 
    where  $\text{atom } l \# (s, k, sl, t, i) \text{ atom } sl \# (s, k, t, i)$ 
    by (metis obtain-fresh)
  thus  $?thesis$ 
    by (auto simp: SeqWRP.simps [where  $l=l$  and  $sl=sl$ ])
qed

lemma  $\text{SeqWRP-cong}:$ 
  assumes  $H \vdash s \text{ EQ } s' \text{ and } H \vdash k \text{ EQ } k' \text{ and } H \vdash y \text{ EQ } y'$ 
  shows  $H \vdash \text{SeqWRP } s \ k \ y \text{ IFF } \text{SeqWRP } s' \ k' \ y'$ 
  by (rule P3-cong [OF - assms], auto)

declare  $\text{SeqWRP.simps} [\text{simp del}]$ 

```

### 8.1.2 Predicate form of W

```

definition  $WR :: hf \Rightarrow hf \Rightarrow \text{bool}$ 
  where  $WR \ x \ y \equiv (\exists s. \text{SeqWR } s \ x \ y)$ 

nominal-function  $WRP :: tm \Rightarrow tm \Rightarrow fm$ 
  where  $\llbracket \text{atom } s \ # (x, y) \rrbracket \implies$ 
     $WRP \ x \ y = \text{Ex } s \ (\text{SeqWRP } (\text{Var } s) \ x \ y)$ 
  by (auto simp: eqvt-def WRP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
  by lexicographic-order

lemma
  shows  $\text{WRP-fresh-iff} [\text{simp}]: a \# \text{WRP } x \ y \longleftrightarrow a \# x \wedge a \# y$  (is  $?thesis1$ )
    and eval-fm-WRP [simp]:  $\text{eval-fm } e \ (\text{WRP } x \ y) \longleftrightarrow \text{WR } \llbracket x \rrbracket e \ \llbracket y \rrbracket e$  (is  $?thesis2$ )
    and sigma-fm-WRP [simp]:  $\text{Sigma-fm } (\text{WRP } x \ y)$  (is  $?thsf$ )
proof -
  obtain  $s::name$  where  $\text{atom } s \ # (x, y)$ 
    by (metis obtain-fresh)
  thus  $?thesis1 ?thesis2 ?thsf$ 
    by (auto simp: WR-def)

```

qed

**lemma** *WRP-subst* [*simp*]: (*WRP* *x* *y*)*(i::=t)* = *WRP* (*subst* *i* *t* *x*) (*subst* *i* *t* *y*)

**proof** –

**obtain** *s::name* **where** *atom s*  $\notin$  *(x,y,t,i)*

**by** (*metis obtain-fresh*)

**thus** *?thesis*

**by** (*auto simp: WRP.simps [of s]*)

qed

**lemma** *WRP-cong*: *H*  $\vdash$  *t EQ t'*  $\implies$  *H*  $\vdash$  *u EQ u'*  $\implies$  *H*  $\vdash$  *WRP t u IFF WRP t' u'*

**by** (*rule P2-cong*) *auto*

**declare** *WRP.simps* [*simp del*]

**lemma** *WR0-iff*: *WR 0 y*  $\longleftrightarrow$  *y=0*

**by** (*simp add: WR-def SeqWR-def*) (*metis LstSeq-1 LstSeq-app*)

**lemma** *WR0*: *WR 0 0*

**by** (*simp add: WR0-iff*)

**lemma** *WR-succ-iff*: **assumes** *i: Ord i* **shows** *WR (succ i) z* =  $(\exists y. z = q\text{-Eats } y \wedge WR i y)$

**proof**

**assume** *WR (succ i) z*

**then obtain** *s* **where** *s: SeqWR s (succ i) z*

**by** (*auto simp: WR-def i*)

**moreover then have** *app s (succ i) = z*

**by** (*auto simp: SeqWR-def*)

**ultimately show**  $\exists y. z = q\text{-Eats } y \wedge WR i y$  **using** *i*

**by** (*auto simp: WR-def SeqWR-def*) (*metis LstSeq-trunc hmem-succ-self*)

**next**

**assume**  $\exists y. z = q\text{-Eats } y \wedge WR i y$

**then obtain** *y* **where** *z: z = q-Eats y y and y: WR i y*

**by** *blast*

**thus** *WR (succ i) z* **using** *i*

**apply** (*auto simp: WR-def SeqWR-def*)

**apply** (*rule-tac x=insf s (succ i) (q-Eats y y)* **in** *exI*)

**apply** (*auto simp: LstSeq-imp-Seq-succ app-insf-Seq-if LstSeq-insf succ-notin-self*)

**done**

qed

**lemma** *WR-succ*: *Ord i*  $\implies$  *WR (succ i) (q-Eats y y)* = *WR i y*

**by** (*metis WR-succ-iff q-Eats-iff*)

**lemma** *WR-ord-of*: *WR (ord-of i)*  $\llbracket \llbracket \text{ORD-OF } i \rrbracket \rrbracket e$

**by** (*induct i*) (*auto simp: WR0-iff WR-succ-iff quot-Succ q-defs*)

Lemma 6.1

**lemma** *WR-quot-Var*:  $\text{WR} \llbracket \llbracket \text{Var } x \rrbracket \rrbracket e \llbracket \llbracket \text{Var } x \rrbracket \rrbracket e$   
**by** (auto simp: quot-Var quot-Succ)  
 (metis One-nat-def Ord-ord-of WR-ord-of WR-succ htuple.simps q-Eats-def)

**lemma** *ground-WRP [simp]*:  $\text{ground-fm} (\text{WRP } x y) \longleftrightarrow \text{ground } x \wedge \text{ground } y$   
**by** (auto simp: ground-aux-def ground-fm-aux-def supp-conv-fresh)

**lemma** *prove-WRP*:  $\{\} \vdash \text{WRP } \llbracket \text{Var } x \rrbracket \llbracket \text{Var } x \rrbracket$   
**by** (auto simp: WR-quot-Var ground-aux-def supp-conv-fresh intro: Sigma-fm-imp-thm)

### 8.1.3 Proving that these relations are functions

**lemma** *SeqWRP-Zero-E*:  
**assumes** *insert* ( $y \text{ EQ Zero}$ )  $H \vdash A$   $H \vdash k \text{ EQ Zero}$   
**shows** *insert* ( $\text{SeqWRP } s k y$ )  $H \vdash A$   
**proof** –  
**obtain**  $l::\text{name}$  **and**  $sl::\text{name}$   
**where** *atom*  $l \notin (s, k, sl)$  *atom*  $sl \notin (s)$   
**by** (metis obtain-fresh)  
**thus** ?thesis  
 apply (auto simp: SeqWRP.simps [where  $s=s$  and  $l=l$  and  $sl=sl$ ])  
 apply (rule cut-same [where  $A = \text{LstSeqP } s \text{ Zero } y$ ])  
 apply (blast intro: thin1 assms LstSeqP-cong [OF Refl - Refl, THEN Iff-MP-same])  
 apply (rule cut-same [where  $A = y \text{ EQ Zero}$ ])  
 apply (blast intro: LstSeqP-EQ)  
 apply (metis rotate2 assms(1) thin1)  
 done  
**qed**

**lemma** *SeqWRP-SUCC-lemma*:  
**assumes**  $y': \text{atom } y' \notin (s, k, y)$   
**shows**  $\{\text{SeqWRP } s (\text{SUCC } k) y\} \vdash \text{Ex } y' (\text{SeqWRP } s k (\text{Var } y') \text{ AND } y \text{ EQ } Q\text{-Succ } (\text{Var } y'))$   
**proof** –  
**obtain**  $l::\text{name}$  **and**  $sl::\text{name}$   
**where** *atoms*: *atom*  $l \notin (s, k, y, y', sl)$  *atom*  $sl \notin (s, k, y, y')$   
**by** (metis obtain-fresh)  
**thus** ?thesis **using**  $y'$   
 apply (auto simp: SeqWRP.simps [where  $s=s$  and  $l=l$  and  $sl=sl$ ])  
 apply (rule All2-SUCC-E' [where  $t=k$ , THEN rotate2], auto)  
 apply (rule Ex-I [where  $x = \text{Var } sl$ ], auto)  
 apply (blast intro: LstSeqP-SUCC) — showing  $\text{SeqWRP } s k (\text{Var } sl)$   
 apply (blast intro: ContraProve LstSeqP-EQ)  
 done  
**qed**

**lemma** *SeqWRP-SUCC-E*:  
**assumes**  $y': \text{atom } y' \notin (s, k, y)$  **and**  $k': H \vdash k' \text{ EQ } (\text{SUCC } k)$   
**shows** *insert* ( $\text{SeqWRP } s k' y$ )  $H \vdash \text{Ex } y' (\text{SeqWRP } s k (\text{Var } y') \text{ AND } y \text{ EQ }$

$Q\text{-Succ} (\text{Var } y')$   
**using** SeqWRP-cong [OF Refl  $k'$  Refl] cut1 [OF SeqWRP-SUCC-lemma [of  $y'$  s  
 $k$   $y$ ]]  
**by** (metis Assume Iff-MP-left Iff-sym  $y'$ )

**lemma** SeqWRP-unique: {OrdP  $x$ , SeqWRP  $s$   $x$   $y$ , SeqWRP  $s'$   $x$   $y'$ }  $\vdash y' \text{ EQ } y$   
**proof** —  
**obtain**  $i::name$  **and**  $j::name$  **and**  $j'::name$  **and**  $k::name$  **and**  $sl::name$  **and**  
 $sl'::name$  **and**  $l::name$  **and**  $pi::name$   
**where**  $i: \text{atom } i \notin (s, s', y, y')$  **and**  $j: \text{atom } j \notin (s, s', i, x, y, y')$  **and**  $j': \text{atom } j' \notin$   
 $(s, s', i, j, x, y, y')$   
**and** atoms:  $\text{atom } k \notin (s, s', i, j, j')$   $\text{atom } sl \notin (s, s', i, j, j', k)$   $\text{atom } sl' \notin (s, s', i, j, j', k, sl)$   
**atom**  $pi \notin (s, s', i, j, j', k, sl, sl')$   
**by** (metis obtain-fresh)  
**have** {OrdP (Var  $i$ )}  $\vdash \text{All } j (\text{All } j' (\text{SeqWRP } s (\text{Var } i) (\text{Var } j) \text{ IMP } (\text{SeqWRP}$   
 $s' (\text{Var } i) (\text{Var } j') \text{ IMP } \text{Var } j' \text{ EQ } \text{Var } j))$   
**apply** (rule OrdIndH [**where**  $j=k$ ])  
**using**  $i j j'$  atoms **apply** auto  
**apply** (rule rotate4)  
**apply** (rule OrdP-cases-E [**where**  $k=pi$ ], simp-all)  
— Zero case  
**apply** (rule SeqWRP-Zero-E [THEN rotate3])  
**prefer** 2 **apply** blast  
**apply** (rule SeqWRP-Zero-E [THEN rotate4])  
**prefer** 2 **apply** blast  
**apply** (blast intro: ContraProve [THEN rotate4] Sym Trans)  
— SUCC case  
**apply** (rule Ex-I [**where**  $x = \text{Var } pi$ ], auto)  
**apply** (metis ContraProve EQ-imp-SUBS2 Mem-SUCC-I2 Refl Subset-D)  
**apply** (rule cut-same)  
**apply** (rule SeqWRP-SUCC-E [of  $sl' s' \text{ Var } pi$ , THEN rotate4], auto)  
**apply** (rule cut-same)  
**apply** (rule SeqWRP-SUCC-E [of  $sl s \text{ Var } pi$ , THEN rotate7], auto)  
**apply** (rule All-E [**where**  $x = \text{Var } sl$ , THEN rotate5], simp)  
**apply** (rule All-E [**where**  $x = \text{Var } sl'$ ], simp)  
**apply** (rule Imp-E, blast)+  
**apply** (rule cut-same [OF Q-Succ-cong [OF Assume]])  
**apply** (blast intro: Trans [OF Hyp Sym] HPair-cong)  
**done**  
**hence** {OrdP (Var  $i$ )}  $\vdash (\text{All } j' (\text{SeqWRP } s (\text{Var } i) (\text{Var } j) \text{ IMP } (\text{SeqWRP } s'$   
 $(\text{Var } i) (\text{Var } j') \text{ IMP } \text{Var } j' \text{ EQ } \text{Var } j))(j ::= y)$   
**by** (metis All-D)  
**hence** {OrdP (Var  $i$ )}  $\vdash (\text{SeqWRP } s (\text{Var } i) y \text{ IMP } (\text{SeqWRP } s' (\text{Var } i) (\text{Var }$   
 $j') \text{ IMP } \text{Var } j' \text{ EQ } y))(j' ::= y')$   
**using**  $j j'$   
**by** simp (drule All-D [**where**  $x=y'$ ], simp)  
**hence** {}  $\vdash \text{OrdP } (\text{Var } i) \text{ IMP } (\text{SeqWRP } s (\text{Var } i) y \text{ IMP } (\text{SeqWRP } s' (\text{Var } i)$   
 $y' \text{ IMP } y' \text{ EQ } y))$   
**using**  $j j'$

```

    by simp (metis Imp-I)
  hence {} ⊢ (OrdP (Var i) IMP (SeqWRP s (Var i) y) IMP (SeqWRP s' (Var i)
y' IMP y' EQ y))(i ::= x)
    by (metis Subst emptyE)
  thus ?thesis using i
    by simp (metis anti-deduction insert-commute)
qed

```

```

theorem WRP-unique: {OrdP x, WRP x y, WRP x y'} ⊢ y' EQ y
proof -
  obtain s::name and s'::name
    where atom s # (x,y,y') atom s' # (x,y,y',s)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: SeqWRP-unique [THEN rotate3] WRP.simps [of s - y] WRP.simps
[of s' - y'])
qed

```

#### 8.1.4 The equivalent function

```

definition W :: hf ⇒ tm
  where W ≡ hmemrec (λf z. if z=0 then Zero else Q-Eats (f (pred z)) (f (pred
z)))

```

```

lemma W0 [simp]: W 0 = Zero
  by (rule trans [OF def-hmemrec [OF W-def]]) auto

```

```

lemma W-succ [simp]: Ord i ⟹ W (succ i) = Q-Eats (W i) (W i)
  by (rule trans [OF def-hmemrec [OF W-def]]) (auto simp: ecut-apply SUCC-def
W-def)

```

```

lemma W-ord-of [simp]: W (ord-of i) = «ORD-OF i»
  by (induct i, auto simp: SUCC-def quot-simps)

```

```

lemma WR-iff-eq-W: Ord x ⟹ WR x y ↔ y = [W x]e
proof (induct x arbitrary: y rule: Ord-induct2)
  case 0 thus ?case
    by (metis W0 WR0-iff eval-tm.simps(1))
  next
    case (succ k) thus ?case
      by (auto simp: WR-succ-iff q-Eats-def)
qed

```

## 8.2 The Function HF and Lemma 6.2

```

definition SeqHR :: hf ⇒ hf ⇒ hf ⇒ hf ⇒ bool
where SeqHR x x' s k ≡
  BuildSeq2 (λy y'. Ord y ∧ WR y y')
    (λu u' v v' w w'. u = ⟨v, w⟩ ∧ u' = q-HPair v' w') s k x x'

```

### 8.2.1 Defining the syntax: quantified body

**nominal-function**  $\text{SeqHRP} :: tm \Rightarrow tm \Rightarrow tm \Rightarrow tm \Rightarrow fm$   
**where**  $\llbracket \text{atom } l \# (s, k, sl, sl', m, n, sm, sm', sn, sn') \rrbracket;$   
 $\quad \text{atom } sl \# (s, sl', m, n, sm, sm', sn, sn');$   
 $\quad \text{atom } sl' \# (s, m, n, sm, sm', sn, sn');$   
 $\quad \text{atom } m \# (s, n, sm, sm', sn, sn');$   
 $\quad \text{atom } n \# (s, sm, sm', sn, sn');$   
 $\quad \text{atom } sm \# (s, sm', sn, sn');$   
 $\quad \text{atom } sm' \# (s, sn, sn');$   
 $\quad \text{atom } sn \# (s, sn');$   
 $\quad \text{atom } sn' \# (s) \rrbracket \implies$   
 $\text{SeqHRP } x \ x' \ s \ k =$   
 $\quad \text{LstSeqP } s \ k (\text{HPair } x \ x') \text{ AND}$   
 $\quad \text{All2 } l (\text{SUCC } k) (\text{Ex } sl (\text{Ex } sl' (\text{HPair } (\text{Var } l) (\text{HPair } (\text{Var } sl) (\text{Var } sl')) \text{ IN } s \text{ AND}$   
 $\quad ((\text{OrdP } (\text{Var } sl) \text{ AND } \text{WRP } (\text{Var } sl) (\text{Var } sl')) \text{ OR}$   
 $\quad \text{Ex } m (\text{Ex } n (\text{Ex } sm (\text{Ex } sm' (\text{Ex } sn (\text{Ex } sn' (\text{Var } m \text{ IN } \text{Var } l \text{ AND }$   
 $\quad \text{Var } n \text{ IN } \text{Var } l \text{ AND}$   
 $\quad \text{HPair } (\text{Var } m) (\text{HPair } (\text{Var } sm) (\text{Var } sm')) \text{ IN } s \text{ AND}$   
 $\quad \text{HPair } (\text{Var } n) (\text{HPair } (\text{Var } sn) (\text{Var } sn')) \text{ IN } s \text{ AND}$   
 $\quad \text{Var } sl \text{ EQ } \text{HPair } (\text{Var } sm) (\text{Var } sn) \text{ AND}$   
 $\quad \text{Var } sl' \text{ EQ } Q\text{-HPair } (\text{Var } sm') (\text{Var } sn')))))))))))$   
**by** (auto simp: eqvt-def SeqHRP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)  
**by** lexicographic-order

**lemma**

**shows** SeqHRP-fresh-iff [simp]:  
 $a \# \text{SeqHRP } x \ x' \ s \ k \longleftrightarrow a \# x \wedge a \# x' \wedge a \# s \wedge a \# k$  (**is** ?thesis1)  
**and** eval-fm-SeqHRP [simp]:  
 $\text{eval-fm } e (\text{SeqHRP } x \ x' \ s \ k) \longleftrightarrow \text{SeqHR } \llbracket x \rrbracket e \llbracket x \rrbracket e \llbracket s \rrbracket e \llbracket k \rrbracket e$  (**is** ?thesis2)  
**and** SeqHRP-sf [iff]: Sigma-fm (SeqHRP x x' s k) (**is** ?thsf)  
**and** SeqHRP-imp-OrdP: { SeqHRP x y s k }  $\vdash \text{OrdP } k$  (**is** ?thord)

**proof** –

**obtain**  $l::name$  **and**  $sl::name$  **and**  $sl'::name$  **and**  $m::name$  **and**  $n::name$  **and**  
 $sm::name$  **and**  $sm'::name$  **and**  $sn::name$  **and**  $sn'::name$

**where atoms:**

$\text{atom } l \# (s, k, sl, sl', m, n, sm, sm', sn, sn')$   
 $\text{atom } sl \# (s, sl', m, n, sm, sm', sn, sn')$   $\text{atom } sl' \# (s, m, n, sm, sm', sn, sn')$   
 $\text{atom } m \# (s, n, sm, sm', sn, sn')$   $\text{atom } n \# (s, sm, sm', sn, sn')$   
 $\text{atom } sm \# (s, sm', sn, sn')$   $\text{atom } sm' \# (s, sn, sn')$   
 $\text{atom } sn \# (s, sn')$   $\text{atom } sn' \# (s)$

**by** (metis obtain-fresh)

**thus** ?thesis1 ?thsf ?thord

**by** (auto intro: LstSeqP-OrdP)

**show** ?thesis2 **using** atoms

**by** (fastforce simp: LstSeq-imp-Ord SeqHR-def  
BuildSeq2-def BuildSeq-def Builds-def

```

HBall-def q-HPair-def q-Eats-def
Seq-iff-app [of  $\llbracket s \rrbracket e$ , OF LstSeq-imp-Seq-succ]
Ord-trans [of - - succ  $\llbracket k \rrbracket e$ ]
cong: conj-cong)
qed

lemma SeqHRP-subst [simp]:
   $(SeqHRP x x' s k)(i ::= t) = SeqHRP (\text{subst } i t x) (\text{subst } i t x') (\text{subst } i t s)$ 
  ( $\text{subst } i t k$ )
proof -
  obtain l::name and sl::name and sl'::name and m::name and n::name and
    sm::name and sm'::name and sn::name and sn'::name
  where atom l # (s,k,t,i,sl,sl',m,n,sm,sm',sn,sn')
        atom sl # (s,t,i,sl',m,n,sm,sm',sn,sn')
        atom sl' # (s,t,i,m,n,sm,sm',sn,sn')
        atom m # (s,t,i,n,sm,sm',sn,sn') atom n # (s,t,i,sm,sm',sn,sn')
        atom sm # (s,t,i,sm',sn,sn') atom sm' # (s,t,i,sn,sn')
        atom sn # (s,t,i,sn') atom sn' # (s,t,i)
  by (metis obtain-fresh)
thus ?thesis
  by (auto simp: SeqHRP.simps [of l - - sl sl' m n sm sm' sn sn'])
qed

```

```

lemma SeqHRP-cong:
  assumes H ⊢ x EQ x' and H ⊢ y EQ y' H ⊢ s EQ s' and H ⊢ k EQ k'
  shows H ⊢ SeqHRP x y s k IFF SeqHRP x' y' s' k'
  by (rule P4-cong [OF - assms], auto)

```

### 8.2.2 Defining the syntax: main predicate

```

definition HR :: hf ⇒ hf ⇒ bool
  where HR x x' ≡ ∃ s k. SeqHR x x' s k

nominal-function HRP :: tm ⇒ tm ⇒ fm
  where  $\llbracket \text{atom } s \# (x,x',k); \text{atom } k \# (x,x') \rrbracket \implies$ 
         $HRP x x' = Ex s (Ex k (\text{SeqHRP } x x' (\text{Var } s) (\text{Var } k)))$ 
  by (auto simp: eqvt-def HRP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

```

nominal-termination (eqvt)
  by lexicographic-order

```

```

lemma
  shows HRP-fresh-iff [simp]:  $a \# HRP x x' \longleftrightarrow a \# x \wedge a \# x'$  (is ?thesis1)
  and eval-fm-HRP [simp]: eval-fm e (HRP x x')  $\longleftrightarrow$  HR  $\llbracket x \rrbracket e \llbracket x' \rrbracket e$  (is ?thesis2)
  and HRP-sf [iff]: Sigma-fm (HRP x x') (is ?thsf)
proof -
  obtain s::name and k::name where atom s # (x,x',k) atom k # (x,x')
    by (metis obtain-fresh)
  thus ?thesis1 ?thesis2 ?thsf

```

by (auto simp: HR-def q-defs)  
qed

lemma HRP-subst [simp]:  $(HRP\ x\ x')(i:=t) = HRP\ (\text{subst}\ i\ t\ x)\ (\text{subst}\ i\ t\ x')$   
proof –  
obtain  $s::name$  and  $k::name$  where atom  $s \notin (x,x',t,i,k)$  atom  $k \notin (x,x',t,i)$   
by (metis obtain-fresh)  
thus ?thesis  
by (auto simp: HRP.simps [of  $s\ -\ k$ ])  
qed

### 8.2.3 Proving that these relations are functions

lemma SeqHRP-lemma:  
assumes atom  $m \notin (x,x',s,k,n,sm,sm',sn,sn')$  atom  $n \notin (x,x',s,k,sm,sm',sn,sn')$   
atom  $sm \notin (x,x',s,k,sm',sn,sn')$  atom  $sm' \notin (x,x',s,k,sn,sn')$   
atom  $sn \notin (x,x',s,k,sn')$  atom  $sn' \notin (x,x',s,k)$   
shows { SeqHRP x x' s k }  
 $\vdash (\text{OrdP}\ x \text{ AND } \text{WRP}\ x\ x') \text{ OR }$   
 $\quad \exists m \ (\exists n \ (\exists sm \ (\exists sm' \ (\exists sn \ (\exists sn' \ (\text{Var}\ m \text{ IN } k \text{ AND } \text{Var}\ n \text{ IN } k \text{ AND } \text{SeqHRP} \ (\text{Var}\ sm) \ (\text{Var}\ sm') \ s \ (\text{Var}\ m) \text{ AND } \text{SeqHRP} \ (\text{Var}\ sn) \ (\text{Var}\ sn') \ s \ (\text{Var}\ n) \text{ AND } x \text{ EQ HPair} \ (\text{Var}\ sm) \ (\text{Var}\ sn) \text{ AND } x' \text{ EQ Q-HPair} \ (\text{Var}\ sm') \ (\text{Var}\ sn'))))))$   
proof –  
obtain  $l::name$  and  $sl::name$  and  $sl'::name$   
where atoms:  
atom  $l \notin (x,x',s,k,sl,sl',m,n,sm,sm',sn,sn')$   
atom  $sl \notin (x,x',s,k,sl',m,n,sm,sm',sn,sn')$   
atom  $sl' \notin (x,x',s,k,m,n,sm,sm',sn,sn')$   
by (metis obtain-fresh)  
thus ?thesis using atoms assms  
apply (simp add: SeqHRP.simps [of  $l\ s\ k\ sl\ sl'\ m\ n\ sm\ sm'\ sn\ sn'$ ])  
apply (rule Conj-E)  
apply (rule All2-SUCC-E' [where  $t=k$ , THEN rotate2], simp-all)  
apply (rule rotate2)  
apply (rule Ex-E Conj-E)+  
apply (rule cut-same [where  $A = \text{HPair}\ x\ x' \text{ EQ HPair} \ (\text{Var}\ sl) \ (\text{Var}\ sl')$ ])  
apply (metis Assume LstSeqP-EQ rotate4, simp-all, clarify)  
apply (rule Disj-E [THEN rotate4])  
apply (rule Disj-I1)  
apply (metis Assume AssumeH(3) Sym thin1 Iff-MP-same [OF Conj-cong OF OrdP-cong WRP-cong] Assume)  
— auto could be used but is VERY SLOW  
apply (rule Disj-I2)  
apply (rule Ex-E Conj-EH)+  
apply simp-all  
apply (rule Ex-I [where  $x = \text{Var}\ m$ ], simp)

```

apply (rule Ex-I [where  $x = \text{Var } n$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sm$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sm'$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sn$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sn'$ ], simp)
apply (simp add: SeqHRP.simps [of  $l \dots sl \dots l' m \dots sm \dots sm' \dots sn \dots sn'$ ])
apply (rule Conj-I, blast) +
— first SeqHRP subgoal
apply (rule Conj-I) +
apply (blast intro: LstSeqP-Mem)
apply (rule All2-Subset [OF Hyp], blast)
apply (blast intro!: SUCC-Subset-Ord LstSeqP-OrdP, blast, simp)
— next SeqHRP subgoal
apply (rule Conj-I) +
apply (blast intro: LstSeqP-Mem)
apply (rule All2-Subset [OF Hyp], blast)
apply (auto intro!: SUCC-Subset-Ord LstSeqP-OrdP)
— finally, the equality pair
apply (blast intro: Trans) +
done
qed

lemma SeqHRP-unique: {SeqHRP  $x y s u$ , SeqHRP  $x y' s' u'$ }  $\vdash y' EQ y$ 
proof -
obtain  $i::name$  and  $j::name$  and  $j'::name$  and  $k::name$  and  $k'::name$  and  $l::name$ 
and  $m::name$  and  $n::name$  and  $sm::name$  and  $sn::name$  and  $sm'::name$  and  $sn'::name$ 
and  $m2::name$  and  $n2::name$  and  $sm2::name$  and  $sn2::name$  and  $sm2'::name$  and  $sn2'::name$ 
where atoms: atom  $i \# (s, s', y, y')$  atom  $j \# (s, s', i, x, y, y')$  atom  $j' \# (s, s', i, j, x, y, y')$ 
atom  $k \# (s, s', x, y, y', u, i, j, j')$  atom  $k' \# (s, s', x, y, y', k, i, j, j')$  atom  $l \# (s, s', i, j, j', k, k')$ 
atom  $m \# (s, s', i, j, j', k, k', l)$  atom  $n \# (s, s', i, j, j', k, k', l, m)$ 
atom  $sm \# (s, s', i, j, j', k, k', l, m, n)$  atom  $sn \# (s, s', i, j, j', k, k', l, m, n, sm)$ 
atom  $sm' \# (s, s', i, j, j', k, k', l, m, n, sm, sn)$  atom  $sn' \# (s, s', i, j, j', k, k', l, m, n, sm, sn, sm')$ 
atom  $m2 \# (s, s', i, j, j', k, k', l, m, n, sm, sn, sm', sn')$  atom  $n2 \# (s, s', i, j, j', k, k', l, m, n, sm, sn, sm', sn')$ 
atom  $sm2 \# (s, s', i, j, j', k, k', l, m, n, sm, sn, sm', sn')$  atom  $sn2 \# (s, s', i, j, j', k, k', l, m, n, sm, sn, sm', sn')$ 
atom  $sm2' \# (s, s', i, j, j', k, k', l, m, n, sm, sn, sm', sn')$  atom  $n2 \# (s, s', i, j, j', k, k', l, m, n, sm, sn, sm', sn')$ 
atom  $sn2' \# (s, s', i, j, j', k, k', l, m, n, sm, sn, sm', sn')$  atom  $m2 \# (s, s', i, j, j', k, k', l, m, n, sm, sn, sm', sn')$  atom  $n2 \# (s, s', i, j, j', k, k', l, m, n, sm, sn, sm', sn')$ 
by (metis obtain-fresh)
have {OrdP (Var k)}
 $\vdash \text{All } i (\text{All } j (\text{All } j' (\text{All } k' (\text{SeqHRP } (\text{Var } i) (\text{Var } j) s (\text{Var } k) \text{ IMP } (\text{SeqHRP } (\text{Var } i) (\text{Var } j') s' (\text{Var } k') \text{ IMP } \text{Var } j' EQ \text{Var } j))))))$ 
apply (rule OrdIndH [where  $j=l$ ])
using atoms apply auto
apply (rule Swap)

```

```

apply (rule cut-same)
apply (rule cut1 [OF SeqHRP-lemma [of m Var i Var j s Var k n sm sm' sn sn]], simp-all, blast)
apply (rule cut-same)
apply (rule cut1 [OF SeqHRP-lemma [of m2 Var i Var j' s' Var k' n2 sm2 sm2' sn2 sn2]], simp-all, blast)
apply (rule Disj-EH Conj-EH)+
— case 1, both are ordinals
apply (blast intro: cut3 [OF WRP-unique])
— case 2, OrdP (Var i) but also a pair
apply (rule Conj-EH Ex-EH)+
apply simp-all
apply (rule cut-same [where A = OrdP (HPair (Var sm) (Var sn))])
apply (blast intro: OrdP-cong [OF Hyp, THEN Iff-MP-same], blast)
— towards second two cases
apply (rule Ex-E Disj-EH Conj-EH)+
— case 3, OrdP (Var i) but also a pair
apply (rule cut-same [where A = OrdP (HPair (Var sm2) (Var sn2))])
apply (blast intro: OrdP-cong [OF Hyp, THEN Iff-MP-same], blast)
— case 4, two pairs
apply (rule Ex-E Disj-EH Conj-EH)+
apply (rule All-E' [OF Hyp, where x=Var m], blast)
apply (rule All-E' [OF Hyp, where x=Var n], blast, simp-all)
apply (rule Disj-EH, blast intro: thin1 ContraProve)+
apply (rule All-E [where x=Var sm], simp)
apply (rule All-E [where x=Var sm'], simp)
apply (rule All-E [where x=Var sm2'], simp)
apply (rule All-E [where x=Var m2], simp)
apply (rule All-E [where x=Var sn, THEN rotate2], simp)
apply (rule All-E [where x=Var sn'], simp)
apply (rule All-E [where x=Var sn2'], simp)
apply (rule All-E [where x=Var n2], simp)
apply (rule cut-same [where A = HPair (Var sm) (Var sn) EQ HPair (Var sm2) (Var sn2)])
apply (blast intro: Sym Trans)
apply (rule cut-same [where A = SeqHRP (Var sn) (Var sn2') s' (Var n2)])
apply (blast intro: SeqHRP-cong [OF Hyp Refl Refl, THEN Iff-MP2-same])
apply (rule cut-same [where A = SeqHRP (Var sm) (Var sm2') s' (Var m2)])
apply (blast intro: SeqHRP-cong [OF Hyp Refl Refl, THEN Iff-MP2-same])
apply (rule Disj-EH, blast intro: thin1 ContraProve)+
apply (blast intro: Trans [OF Hyp Sym] intro!: HPair-cong)
done
hence {OrdP (Var k)}  

   $\vdash \text{All } j (\text{All } j' (\text{All } k' (\text{SeqHRP } x (\text{Var } j) s (\text{Var } k) \\ \text{IMP } (\text{SeqHRP } x (\text{Var } j') s' (\text{Var } k') \text{ IMP } \text{Var } j' \text{ EQ } \text{Var } j)))$ 
apply (rule All-D [where x = x, THEN cut-same])
using atoms by auto
hence {OrdP (Var k)}  

   $\vdash \text{All } j' (\text{All } k' (\text{SeqHRP } x y s (\text{Var } k) \text{ IMP } (\text{SeqHRP } x (\text{Var } j') s' (\text{Var } k')$ 

```

```

 $\text{IMP Var } j' \text{ EQ } y))$ 
  apply (rule All-D [where  $x = y$ , THEN cut-same])
  using atoms by auto
  hence { $\text{OrdP}(\text{Var } k)$ }
     $\vdash \text{All } k' (\text{SeqHRP } x \ y \ s \ (\text{Var } k) \text{ IMP } (\text{SeqHRP } x \ y' \ s' \ (\text{Var } k') \text{ IMP } y' \text{ EQ } y))$ 
    apply (rule All-D [where  $x = y'$ , THEN cut-same])
    using atoms by auto
    hence { $\text{OrdP}(\text{Var } k)$ }  $\vdash \text{SeqHRP } x \ y \ s \ (\text{Var } k) \text{ IMP } (\text{SeqHRP } x \ y' \ s' \ u' \text{ IMP } y' \text{ EQ } y)$ 
    apply (rule All-D [where  $x = u'$ , THEN cut-same])
    using atoms by auto
    hence { $\text{SeqHRP } x \ y \ s \ (\text{Var } k)$ }  $\vdash \text{SeqHRP } x \ y \ s \ (\text{Var } k) \text{ IMP } (\text{SeqHRP } x \ y' \ s' \ u' \text{ IMP } y' \text{ EQ } y)$ 
    by (metis SeqHRP-imp-OrdP cut1)
    hence {}  $\vdash ((\text{SeqHRP } x \ y \ s \ (\text{Var } k) \text{ IMP } (\text{SeqHRP } x \ y' \ s' \ u' \text{ IMP } y' \text{ EQ } y))) (k ::= u)$ 
    by (metis Subst emptyE Assume MP-same Imp-I)
    hence {}  $\vdash \text{SeqHRP } x \ y \ s \ u \text{ IMP } (\text{SeqHRP } x \ y' \ s' \ u' \text{ IMP } y' \text{ EQ } y)$ 
    using atoms by simp
    thus ?thesis
    by (metis anti-deduction insert-commute)
qed

```

**theorem** HRP-unique: { $\text{HRP } x \ y$ ,  $\text{HRP } x \ y'$ }  $\vdash y' \text{ EQ } y$

**proof** –

```

  obtain  $s::\text{name}$  and  $s'::\text{name}$  and  $k::\text{name}$  and  $k'::\text{name}$ 
    where atom  $s \# (x,y,y')$  atom  $s' \# (x,y,y',s)$ 
      atom  $k \# (x,y,y',s,s')$  atom  $k' \# (x,y,y',s,s',k)$ 
    by (metis obtain-fresh)
    thus ?thesis
    by (auto simp: SeqHRP-unique HRP.simps [of  $s \ x \ y \ k$ ] HRP.simps [of  $s' \ x \ y' \ k'$ ])
qed

```

#### 8.2.4 Finally The Function HF Itself

**definition** HF ::  $hf \Rightarrow tm$

**where**  $\text{HF} \equiv \text{hmemrec} (\lambda f z. \text{if } \text{Ord } z \text{ then } W z \text{ else } Q\text{-HPair} (f (\text{hfst } z)) (f (\text{hsnd } z)))$

**lemma** HF-Ord [simp]:  $\text{Ord } i \implies \text{HF } i = W i$   
**by** (rule trans [OF def-hmemrec [OF HF-def]]) auto

**lemma** HF-pair [simp]:  $\text{HF} (\text{hpair } x \ y) = Q\text{-HPair} (\text{HF } x) (\text{HF } y)$   
**by** (rule trans [OF def-hmemrec [OF HF-def]]) (auto simp: ecut-apply HF-def)

**lemma** SeqHR-hpair:  $\text{SeqHR } x1 \ x3 \ s1 \ k1 \implies \text{SeqHR } x2 \ x4 \ s2 \ k2 \implies \exists s \ k. \text{SeqHR} \langle x1, x2 \rangle (q\text{-HPair } x3 \ x4) \ s \ k$

```

by (auto simp: SeqHR-def intro: BuildSeq2-combine)

lemma HR-H: coding-hf x ==> HR x [HF x]e
proof (induct x rule: hmem-rel-induct)
  case (step x) show ?case
  proof (cases Ord x)
    case True thus ?thesis
      by (auto simp: HR-def SeqHR-def Ord-not-hpair WR-iff-eq-W [where e=e]
intro!: BuildSeq2-exI)
    next
    case False
    then obtain x1 x2 where x: x = ⟨x1,x2⟩
      by (metis Ord-ord-of coding-hf.simps step.prems)
    then have x12: (x1, x) ∈ hmem-rel (x2, x) ∈ hmem-rel
      by (auto simp: hmem-rel-iff-hmem-eclose)
    have co12: coding-hf x1 coding-hf x2 using False step x
      by (metis Ord-ord-of coding-hf-hpair)+
    hence HR x1 [HF x1]e HR x2 [HF x2]e
      by (auto simp: x12 step)
    thus ?thesis using x SeqHR-hpair
      by (auto simp: HR-def q-defs)
  qed
qed

```

Lemma 6.2

```

lemma HF-quot-coding-tm: coding-tm t ==> HF [t]e = «t»
  by (induct t rule: coding-tm.induct) (auto, simp add: HPair-def quot-Eats)

lemma HR-quot-fm: fixes A::fm shows HR [«A»]e [««A»»]e
  by (metis HR-H HF-quot-coding-tm coding-tm-hf quot-fm-coding)

lemma prove-HRP: fixes A::fm shows {} ⊢ HRP «A» ««A»»
  by (auto simp: supp-conv-fresh Sigma-fm-imp-thm ground-aux-def ground-fm-aux-def
HR-quot-fm)

```

### 8.3 The Function K and Lemma 6.3

```

nominal-function KRP :: tm ⇒ tm ⇒ tm ⇒ fm
  where atom y # (v,x,x') ==>
    KRP v x x' = Ex y (HRP x (Var y) AND SubstFormP v (Var y) x x')
  by (auto simp: eqvt-def KRP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
  by lexicographic-order

lemma KRP-fresh-iff [simp]: a # KRP v x x' ↔ a # v ∧ a # x ∧ a # x'
proof -
  obtain y::name where atom y # (v,x,x')
    by (metis obtain-fresh)

```

```

thus ?thesis
  by auto
qed

lemma KRP-subst [simp]: (KRP v x x')(i:=t) = KRP (subst i t v) (subst i t x)
(subst i t x')
proof –
  obtain y::name where atom y # (v,x,x',t,i)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: KRP.simps [of y])
qed

declare KRP.simps [simp del]

lemma prove-SubstFormP: {} ⊢ SubstFormP «Var i» ««A»» «A» «A(i:=«A»)»
  by (auto simp: supp-conv-fresh Sigma-fm-imp-thm ground-aux-def SubstForm-quot)

lemma prove-KRP: {} ⊢ KRP «Var i» «A» «A(i:=«A»)»
  by (auto simp: KRP.simps [of y]
    intro!: Ex-I [where x=««A»»] prove-HRP prove-SubstFormP)

lemma KRP-unique: {KRP v x y, KRP v x y'} ⊢ y' EQ y
proof –
  obtain u::name and u'::name where atom u # (v,x,y,y') atom u' # (v,x,y,y',u)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: KRP.simps [of u v x y] KRP.simps [of u' v x y'])
      intro: SubstFormP-cong [THEN Iff-MP2-same]
        SubstFormP-unique [THEN cut2] HRP-unique [THEN cut2])
qed

lemma KRP-subst-fm: {KRP «Var i» «β» (Var j)} ⊢ Var j EQ «β(i:=«β»)»
  by (metis KRP-unique cut0 prove-KRP)

```

## 8.4 The Diagonal Lemma and Gödel's Theorem

```

lemma diagonal:
  obtains δ where {} ⊢ δ IFF α(i:=«δ») supp δ = supp α – {atom i}
proof –
  obtain k::name and j::name
    where atoms: atom k # (i,j,α) atom j # (i,α)
    by (metis obtain-fresh)
  define β where β = Ex j (KRP «Var i» (Var i) (Var j) AND α(i := Var j))
  hence 1: {} ⊢ β(i := «β») IFF (Ex j (KRP «Var i» (Var i) (Var j) AND α(i := Var j))(i := «β»))
    by (metis Iff-refl)
  have 2: {} ⊢ (Ex j (KRP «Var i» (Var i) (Var j) AND α(i := Var j))(i := «β»)) IFF

```

```

 $\exists j (\text{Var } j \text{ EQ } \langle\!\langle \beta(i ::= \langle\!\langle \beta \rangle\!\rangle) \rangle\!\rangle \text{ AND } \alpha(i ::= \text{Var } j))$ 
using atoms
apply (auto intro!: Ex-cong Conj-cong KRP-subst-fm)
apply (rule Iff-MP-same [OF Var-Eq-subst-Iff])
apply (auto intro: prove-KRP thin0)
done
have  $\beta: \{\} \vdash \exists j (\text{Var } j \text{ EQ } \langle\!\langle \beta(i ::= \langle\!\langle \beta \rangle\!\rangle) \rangle\!\rangle \text{ AND } \alpha(i ::= \text{Var } j)) \text{ IFF } \alpha(i ::= \langle\!\langle \beta(i ::= \langle\!\langle \beta \rangle\!\rangle) \rangle\!\rangle)$ 
using atoms
apply auto
apply (rule cut-same [OF Iff-MP2-same [OF Var-Eq-subst-Iff AssumeH(2)]])
apply (auto intro: Ex-I [where  $x = \langle\!\langle \beta(i ::= \langle\!\langle \beta \rangle\!\rangle) \rangle\!\rangle$ ])
done
have supp ( $\beta(i ::= \langle\!\langle \beta \rangle\!\rangle)$ ) = supp  $\alpha - \{\text{atom } i\}$  using atoms
by (auto simp: fresh-at-base ground-fm-aux-def  $\beta$ -def supp-conv-fresh)
thus ?thesis using atoms
by (metis that 1 2 3 Iff-trans)
qed

```

Gödel's first incompleteness theorem: Our theory is incomplete. NB it is provably consistent

```

theorem Goedel-I:
obtains  $\delta$  where  $\{\} \vdash \delta \text{ IFF } \text{Neg } (\text{PfP } \langle\!\langle \delta \rangle\!\rangle) \dashv \{\} \vdash \delta \dashv \{\} \vdash \text{Neg } \delta$ 
eval-fm e  $\delta$  ground-fm  $\delta$ 
proof –
fix  $i::name$ 
obtain  $\delta$  where  $\{\} \vdash \delta \text{ IFF } \text{Neg } ((\text{PfP } (\text{Var } i))(i ::= \langle\!\langle \delta \rangle\!\rangle))$ 
and suppd: supp  $\delta$  = supp ( $\text{Neg } (\text{PfP } (\text{Var } i))$ )  $- \{\text{atom } i\}$ 
by (metis SyntaxN.Neg diagonal)
then have diag:  $\{\} \vdash \delta \text{ IFF } \text{Neg } (\text{PfP } \langle\!\langle \delta \rangle\!\rangle)$ 
by simp
then have np:  $\dashv \{\} \vdash \delta \wedge \dashv \{\} \vdash \text{Neg } \delta$ 
by (metis Iff-MP-same NegNeg-D Neg-D Neg-cong consistent proved-iff-proved-PfP)
then have eval-fm e  $\delta$  using hfthm-sound [where  $e=e$ , OF diag]
by simp (metis Pf-quot-imp-is-proved)
moreover have ground-fm  $\delta$  using suppd
by (simp add: supp-conv-fresh ground-fm-aux-def subset-eq) (metis fresh-ineq-at-base)
ultimately show ?thesis
by (metis diag np that)
qed
end

```

## Kapitel 9

# Syntactic Preliminaries for the Second Incompleteness Theorem

```
theory II-Prelims
imports Pf-Predicates
begin

declare IndP.simps [simp del]

lemma VarP-Var [intro]:  $H \vdash \text{VarP} \llbracket \text{Var } i \rrbracket$ 
proof -
  have {}  $\vdash \text{VarP} \llbracket \text{Var } i \rrbracket$ 
  by (auto simp: Sigma-fm-imp-thm [OF VarP-sf] ground-fm-aux-def supp-conv-fresh)
  thus ?thesis
    by (rule thin0)
qed

lemma VarP-neq-IndP: { $t = v$ ,  $\text{VarP } v$ ,  $\text{IndP } t$ }  $\vdash \text{Fls}$ 
proof -
  obtain m::name where atom m # (t,v)
  by (metis obtain-fresh)
  thus ?thesis
    apply (auto simp: VarP-def IndP.simps [of m])
    apply (rule cut-same [of - OrdP (Q-Ind (Var m))])
    apply (blast intro: Sym Trans OrdP-cong [THEN Iff-MP-same])
    by (metis OrdP-HPairE)
qed

lemma OrdP-ORD-OF [intro]:  $H \vdash \text{OrdP} (\text{ORD-OF } n)$ 
proof -
  have {}  $\vdash \text{OrdP} (\text{ORD-OF } n)$ 
  by (induct n) (auto simp: OrdP-SUCC-I)
```

```

thus ?thesis
  by (rule thin0)
qed

lemma Mem-HFun-Sigma-OrdP: {HPair t u IN f, HFun-Sigma f} ⊢ OrdP t
proof –
  obtain x::name and y::name and z::name and x'::name and y'::name and z'::name
    where atom z # (f,t,u,z',x,y,x',y') atom z' # (f,t,u,x,y,x',y')
           atom x # (f,t,u,y,x',y') atom y # (f,t,u,x',y')
           atom x' # (f,t,u,y') atom y' # (f,t,u)
    by (metis obtain-fresh)
  thus ?thesis
    apply (simp add: HFun-Sigma.simps [of z f z' x y x' y'])
    apply (rule All2-E [where x=HPair t u, THEN rotate2], auto)
    apply (rule All2-E [where x=HPair t u], auto intro: OrdP-cong [THEN Iff-MP2-same])
    done
qed

```

## 9.1 NotInDom

```

nominal-function NotInDom :: tm ⇒ tm ⇒ fm
  where atom z # (t, r) ==> NotInDom t r = All z (Neg (HPair t (Var z) IN r))
  by (auto simp: eqvt-def NotInDom-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)
nominal-termination (eqvt)
  by lexicographic-order

```

```

lemma NotInDom-fresh-iff [simp]: a # NotInDom t r ↔ a # (t, r)
proof –
  obtain j::name where atom j # (t,r)
    by (rule obtain-fresh)
  thus ?thesis
    by auto
qed

```

```

lemma subst-fm-NotInDom [simp]: (NotInDom t r)(i:=x) = NotInDom (subst i
x t) (subst i x r)
proof –
  obtain j::name where atom j # (i,x,t,r)
    by (rule obtain-fresh)
  thus ?thesis
    by (auto simp: NotInDom.simps [of j])
qed

```

```

lemma NotInDom-cong: H ⊢ t EQ t' ==> H ⊢ r EQ r' ==> H ⊢ NotInDom t r
IFF NotInDom t' r'
  by (rule P2-cong) auto

```

```

lemma NotInDom-Zero:  $H \vdash \text{NotInDom } t \text{ Zero}$ 
proof –
  obtain  $z::\text{name}$  where atom  $z \# t$ 
    by (metis obtain-fresh)
  hence  $\{\} \vdash \text{NotInDom } t \text{ Zero}$ 
    by (auto simp: fresh-Pair)
  thus ?thesis
    by (rule thin0)
qed

lemma NotInDom-Fls:  $\{H\text{Pair } d \text{ } d' \text{ IN } r, \text{NotInDom } d \text{ } r\} \vdash A$ 
proof –
  obtain  $z::\text{name}$  where atom  $z \# (d,r)$ 
    by (metis obtain-fresh)
  hence  $\{H\text{Pair } d \text{ } d' \text{ IN } r, \text{NotInDom } d \text{ } r\} \vdash \text{Fls}$ 
    by (auto intro!: Ex-I [where  $x=d'$ ])
  thus ?thesis
    by (metis ExFalse)
qed

lemma NotInDom-Contra:  $H \vdash \text{NotInDom } d \text{ } r \implies H \vdash H\text{Pair } x \text{ } y \text{ IN } r \implies \text{insert}$   

 $(x \text{ EQ } d) \text{ } H \vdash A$ 
by (rule NotInDom-Fls [THEN cut2, THEN ExFalse])
  (auto intro: thin1 NotInDom-cong [OF Assume Refl, THEN Iff-MP2-same])

```

## 9.2 Restriction of a Sequence to a Domain

```

nominal-function RestrictedP ::  $tm \Rightarrow tm \Rightarrow tm \Rightarrow fm$ 
where  $\llbracket \text{atom } x \# (y,f,k,g); \text{atom } y \# (f,k,g) \rrbracket \implies$ 
   $\text{RestrictedP } f \text{ } k \text{ } g =$ 
   $g \text{ } \text{SUBS } f \text{ AND}$ 
   $All \ x \ (All \ y \ (H\text{Pair } (\text{Var } x) \ (\text{Var } y) \text{ IN } g \text{ IFF}$ 
     $(\text{Var } x) \text{ IN } k \text{ AND } H\text{Pair } (\text{Var } x) \ (\text{Var } y) \text{ IN } f))$ 
by (auto simp: eqvt-def RestrictedP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

```

nominal-termination (eqvt)
  by lexicographic-order

```

```

lemma RestrictedP-fresh-iff [simp]:  $a \# \text{RestrictedP } f \text{ } k \text{ } g \longleftrightarrow a \# f \wedge a \# k \wedge a \# g$ 
proof –
  obtain  $x::\text{name}$  and  $y::\text{name}$  where atom  $x \# (y,f,k,g)$  atom  $y \# (f,k,g)$ 
    by (metis obtain-fresh)
  thus ?thesis
    by auto
qed

```

```

lemma subst-fm-RestrictedP [simp]:
  ( $\text{RestrictedP } f \text{ } k \text{ } g)(i ::= u) = \text{RestrictedP } (\text{subst } i \text{ } u \text{ } f) \ (\text{subst } i \text{ } u \text{ } k) \ (\text{subst } i \text{ } u \text{ } g)$ 

```

```

proof -
  obtain  $x::name$  and  $y::name$  where atom  $x \# (y,f,k,g,i,u)$  atom  $y \# (f,k,g,i,u)$ 
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: RestrictedP.simps [of x y])
qed

lemma RestrictedP-cong:
 $\llbracket H \vdash f EQ f'; H \vdash k EQ A'; H \vdash g EQ g' \rrbracket$ 
 $\implies H \vdash \text{RestrictedP } f k g \text{ IFF } \text{RestrictedP } f' A' g'$ 
by (rule P3-cong) auto

lemma RestrictedP-Zero:  $H \vdash \text{RestrictedP Zero } k \text{ Zero}$ 
proof -
  obtain  $x::name$  and  $y::name$  where atom  $x \# (y,k)$  atom  $y \# (k)$ 
    by (metis obtain-fresh)
  hence  $\{\} \vdash \text{RestrictedP Zero } k \text{ Zero}$ 
    by (auto simp: RestrictedP.simps [of x y])
  thus ?thesis
    by (rule thin0)
qed

lemma RestrictedP-Mem:  $\{ \text{RestrictedP } s \text{ } k \text{ } s', \text{HPair } a \text{ } b \text{ IN } s, a \text{ IN } k \} \vdash \text{HPair }$ 
 $a \text{ } b \text{ IN } s'$ 
proof -
  obtain  $x::name$  and  $y::name$  where atom  $x \# (y,s,k,s',a,b)$  atom  $y \# (s,k,s',a,b)$ 
    by (metis obtain-fresh)
  thus ?thesis
    apply (auto simp: RestrictedP.simps [of x y])
    apply (rule All-E [where  $x=a$ , THEN rotate2], auto)
    apply (rule All-E [where  $x=b$ ], auto intro: Iff-E2)
    done
qed

lemma RestrictedP-imp-Subset:  $\{ \text{RestrictedP } s \text{ } k \text{ } s' \} \vdash s' \text{ SUBS } s$ 
proof -
  obtain  $x::name$  and  $y::name$  where atom  $x \# (y,s,k,s')$  atom  $y \# (s,k,s')$ 
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: RestrictedP.simps [of x y])
qed

lemma RestrictedP-Mem2:
 $\{ \text{RestrictedP } s \text{ } k \text{ } s', \text{HPair } a \text{ } b \text{ IN } s' \} \vdash \text{HPair } a \text{ } b \text{ IN } s \text{ AND } a \text{ IN } k$ 
proof -
  obtain  $x::name$  and  $y::name$  where atom  $x \# (y,s,k,s',a,b)$  atom  $y \# (s,k,s',a,b)$ 
    by (metis obtain-fresh)
  thus ?thesis
    apply (auto simp: RestrictedP.simps [of x y] intro: Subset-D)

```

```

apply (rule All-E [where  $x=a$ , THEN rotate2], auto)
apply (rule All-E [where  $x=b$ ], auto intro: Iff-E1)
done
qed

lemma RestrictedP-Mem-D:  $H \vdash \text{RestrictedP } s \ k \ t \implies H \vdash a \text{ IN } t \implies \text{insert } (a \text{ IN } s) \ H \vdash A \implies H \vdash A$ 
by (metis RestrictedP-imp-Subset Subset-E cut1)

lemma RestrictedP-Eats:
{ RestrictedP s k s', a IN k }  $\vdash \text{RestrictedP } (\text{Eats } s \ (\text{HPair } a \ b)) \ k \ (\text{Eats } s' \ (\text{HPair } a \ b))$ 
lemma exists-RestrictedP:
assumes s: atom  $s \notin (f,k)$ 
shows  $H \vdash \text{Ex } s \ (\text{RestrictedP } f \ k \ (\text{Var } s))$ 
lemma cut-RestrictedP:
assumes s: atom  $s \notin (f,k,A)$  and  $\forall C \in H. \text{atom } s \notin C$ 
shows insert (RestrictedP f k (Var s))  $H \vdash A \implies H \vdash A$ 
apply (rule cut-same [OF exists-RestrictedP [of s]])
using assms apply auto
done

lemma RestrictedP-NotInDom: { RestrictedP s k s', Neg (j IN k) }  $\vdash \text{NotInDom } j \ s'$ 
proof -
obtain x::name and y::name and z::name
where atom x  $\notin (y,s,j,k,s')$  atom y  $\notin (s,j,k,s')$  atom z  $\notin (s,j,k,s')$ 
by (metis obtain-fresh)
thus ?thesis
apply (auto simp: RestrictedP.simps [of x y] NotInDom.simps [of z])
apply (rule All-E [where  $x=j$ , THEN rotate3], auto)
apply (rule All-E, auto intro: Conj-E1 Iff-E1)
done
qed

declare RestrictedP.simps [simp del]

```

### 9.3 Applications to LstSeqP

```

lemma HFun-Sigma-Eats:
assumes  $H \vdash \text{HFun-Sigma } r \ H \vdash \text{NotInDom } d \ r \ H \vdash \text{OrdP } d$ 
shows  $H \vdash \text{HFun-Sigma } (\text{Eats } r \ (\text{HPair } d \ d'))$ 
lemma HFun-Sigma-single [iff]:  $H \vdash \text{OrdP } d \implies H \vdash \text{HFun-Sigma } (\text{Eats Zero } (\text{HPair } d \ d'))$ 
by (metis HFun-Sigma-Eats HFun-Sigma-Zero NotInDom-Zero)

lemma LstSeqP-single [iff]:  $H \vdash \text{LstSeqP } (\text{Eats Zero } (\text{HPair Zero } x)) \ \text{Zero } x$ 
by (auto simp: LstSeqP.simps intro!: OrdP-SUCC-I HDomain-Incl-Eats-I Mem-Eats-I2)

```

```

lemma NotInDom-LstSeqP-Eats:
  { NotInDom (SUCC k) s, LstSeqP s k y } ⊢ LstSeqP (Eats s (HPair (SUCC k)
z)) (SUCC k) z
by (auto simp: LstSeqP.simps intro: HDomain-Incl-Eats-I Mem-Eats-I2 OrdP-SUCC-I
HFun-Sigma-Eats)

lemma RestrictedP-HDomain-Incl: { HDomain-Incl s k, RestrictedP s k s' } ⊢ HDo-
main-Incl s' k
proof -
  obtain u::name and v::name and x::name and y::name and z::name
    where atom u # (v,s,k,s') atom v # (s,k,s')
      atom x # (s,k,s',u,v,y,z) atom y # (s,k,s',u,v,z) atom z # (s,k,s',u,v)
    by (metis obtain-fresh)
  thus ?thesis
    apply (auto simp: HDomain-Incl.simps [of x - - y z])
    apply (rule Ex-I [where x=Var x], auto)
    apply (rule Ex-I [where x=Var y], auto)
    apply (rule Ex-I [where x=Var z], simp)
    apply (rule Var-Eq-subst-Iff [THEN Iff-MP-same, THEN rotate2])
    apply (auto simp: RestrictedP.simps [of u v])
    apply (rule All-E [where x=Var x, THEN rotate2], auto)
    apply (rule All-E [where x=Var y])
    apply (auto intro: Iff-E ContraProve Mem-cong [THEN Iff-MP-same])
    done
  qed

lemma RestrictedP-HFun-Sigma: { HFun-Sigma s, RestrictedP s k s' } ⊢ HFun-Sigma
s'
by (metis Assume RestrictedP-imp-Subset Subset-HFun-Sigma rcut2)

lemma RestrictedP-LstSeqP:
  { RestrictedP s (SUCC k) s', LstSeqP s k y } ⊢ LstSeqP s' k y
by (auto simp: LstSeqP.simps
  intro: Mem-Neg-refl cut2 [OF RestrictedP-HDomain-Incl]
        cut2 [OF RestrictedP-HFun-Sigma] cut3 [OF
RestrictedP-Mem])

lemma RestrictedP-LstSeqP-Eats:
  { RestrictedP s (SUCC k) s', LstSeqP s k y }
  ⊢ LstSeqP (Eats s' (HPair (SUCC k) z)) (SUCC k) z
by (blast intro: Mem-Neg-refl cut2 [OF NotInDom-LstSeqP-Eats]
        cut2 [OF RestrictedP-NotInDom] cut2 [OF RestrictedP-LstSeqP])

```

## 9.4 Ordinal Addition

### 9.4.1 Predicate form, defined on sequences

**nominal-function** SeqHaddP ::  $tm \Rightarrow tm \Rightarrow tm \Rightarrow tm \Rightarrow fm$

```

where  $\llbracket \text{atom } l \# (sl, s, k, j); \text{atom } sl \# (s, j) \rrbracket \implies$ 
 $\text{SeqHaddP } s \ j \ k \ y = \text{LstSeqP } s \ k \ y \text{ AND}$ 
 $\text{HPair Zero } j \text{ IN } s \text{ AND}$ 
 $\text{All2 } l \ k \ (\text{Ex } sl \ (\text{HPair } (\text{Var } l) \ (\text{Var } sl)) \text{ IN } s \text{ AND}$ 
 $\text{HPair } (\text{SUCC } (\text{Var } l)) \ (\text{SUCC } (\text{Var } sl)) \text{ IN } s)$ 
by (auto simp: eqvt-def SeqHaddP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
by lexicographic-order

lemma SeqHaddP-fresh-iff [simp]:  $a \# \text{SeqHaddP } s \ j \ k \ y \longleftrightarrow a \# s \wedge a \# j \wedge a \# k$ 
 $\wedge a \# y$ 
proof -
  obtain  $l::\text{name}$  and  $sl::\text{name}$  where  $\text{atom } l \# (sl, s, k, j)$   $\text{atom } sl \# (s, j)$ 
  by (metis obtain-fresh)
  thus ?thesis
  by force
qed

lemma SeqHaddP-subst [simp]:
 $(\text{SeqHaddP } s \ j \ k \ y)(i ::= t) = \text{SeqHaddP } (\text{subst } i \ t \ s) \ (\text{subst } i \ t \ j) \ (\text{subst } i \ t \ k) \ (\text{subst } i \ t \ y)$ 
proof -
  obtain  $l::\text{name}$  and  $sl::\text{name}$  where  $\text{atom } l \# (s, k, j, sl, t, i)$   $\text{atom } sl \# (s, k, j, t, i)$ 
  by (metis obtain-fresh)
  thus ?thesis
  by (auto simp: SeqHaddP.simps [where  $l=l$  and  $sl=sl$ ])
qed

declare SeqHaddP.simps [simp del]

nominal-function HaddP ::  $tm \Rightarrow tm \Rightarrow tm \Rightarrow fm$ 
where  $\llbracket \text{atom } s \# (x, y, z) \rrbracket \implies$ 
 $\text{HaddP } x \ y \ z = \text{Ex } s \ (\text{SeqHaddP } (\text{Var } s) \ x \ y \ z)$ 
by (auto simp: eqvt-def HaddP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
by lexicographic-order

lemma HaddP-fresh-iff [simp]:  $a \# \text{HaddP } x \ y \ z \longleftrightarrow a \# x \wedge a \# y \wedge a \# z$ 
proof -
  obtain  $s::\text{name}$  where  $\text{atom } s \# (x, y, z)$ 
  by (metis obtain-fresh)
  thus ?thesis
  by force
qed

lemma HaddP-subst [simp]:  $(\text{HaddP } x \ y \ z)(i ::= t) = \text{HaddP } (\text{subst } i \ t \ x) \ (\text{subst } i \ t \ y) \ (\text{subst } i \ t \ z)$ 

```

```

proof -
  obtain s::name where atom s # (x,y,z,t,i)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: HaddP.simps [of s])
qed

lemma HaddP-cong:  $\llbracket H \vdash t \text{ EQ } t'; H \vdash u \text{ EQ } u'; H \vdash v \text{ EQ } v' \rrbracket \implies H \vdash \text{HaddP } t \text{ u } v \text{ IFF } \text{HaddP } t' \text{ u' } v'$ 
  by (rule P3-cong) auto

declare HaddP.simps [simp del]

lemma HaddP-Zero2:  $H \vdash \text{HaddP } x \text{ Zero } x$ 
proof -
  obtain s::name and l::name and sl::name where atom l # (sl,s,x) atom sl # (s,x) atom s # x
    by (metis obtain-fresh)
  hence {}  $\vdash \text{HaddP } x \text{ Zero } x$ 
    by (auto simp: HaddP.simps [of s] SeqHaddP.simps [of l sl]
      intro!: Mem-Eats-I2 Ex-I [where x=Eats Zero (HPair Zero x)])
  thus ?thesis
    by (rule thin0)
qed

lemma HaddP-imp-OrdP: {HaddP x y z}  $\vdash \text{OrdP } y$ 
proof -
  obtain s::name and l::name and sl::name
    where atom l # (sl,s,x,y,z) atom sl # (s,x,y,z) atom s # (x,y,z)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: HaddP.simps [of s] SeqHaddP.simps [of l sl] LstSeqP.simps)
qed

lemma HaddP-SUCC2: {HaddP x y z}  $\vdash \text{HaddP } x (\text{SUCC } y) (\text{SUCC } z)$ 

```

#### 9.4.2 Proving that these relations are functions

```

lemma SeqHaddP-Zero-E: {SeqHaddP s w Zero z}  $\vdash w \text{ EQ } z$ 
proof -
  obtain l::name and sl::name where atom l # (s,w,z,sl) atom sl # (s,w)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: SeqHaddP.simps [of l sl] LstSeqP.simps intro: HFun-Sigma-E)
qed

lemma SeqHaddP-SUCC-lemma:
  assumes y': atom y' # (s,j,k,y)
  shows {SeqHaddP s j (SUCC k) y}  $\vdash \text{Ex } y' (\text{SeqHaddP } s j k (\text{Var } y') \text{ AND } y$ 

```

```

EQ SUCC (Var y')
proof -
  obtain l::name and sl::name where atom l # (s,j,k,y,y',sl) atom sl # (s,j,k,y,y')
    by (metis obtain-fresh)
  thus ?thesis using y'
    apply (auto simp: SeqHaddP.simps [where s=s and l=l and sl=sl])
    apply (rule All2-SUCC-E' [where t=k, THEN rotate2], auto)
    apply (auto intro!: Ex-I [where x=Var sl])
    apply (blast intro: LstSeqP-SUCC) — showing SeqHaddP s j k (Var sl)
    apply (blast intro: LstSeqP-EQ)
    done
qed

lemma SeqHaddP-SUCC:
  assumes H ⊢ SeqHaddP s j (SUCC k) y atom y' # (s,j,k,y)
  shows H ⊢ Ex y' (SeqHaddP s j k (Var y')) AND y EQ SUCC (Var y')
  by (metis SeqHaddP-SUCC-lemma [THEN cut1] assms)

lemma SeqHaddP-unique: {OrdP x, SeqHaddP s w x y, SeqHaddP s' w x y'} ⊢ y'
  EQ y
lemma HaddP-unique: {HaddP w x y, HaddP w x y'} ⊢ y' EQ y
proof -
  obtain s::name and s'::name where atom s # (w,x,y,y') atom s' # (w,x,y,y',s)
    by (metis obtain-fresh)
  hence {OrdP x, HaddP w x y, HaddP w x y'} ⊢ y' EQ y
    by (auto simp: HaddP.simps [of s - - y] HaddP.simps [of s' - - y']
      intro: SeqHaddP-unique [THEN cut3])
  thus ?thesis
    by (metis HaddP-imp-OrdP cut-same thin1)
qed

lemma HaddP-Zero1: assumes H ⊢ OrdP x shows H ⊢ HaddP Zero x x
proof -
  fix k::name
  have {OrdP (Var k)} ⊢ HaddP Zero (Var k) (Var k)
    by (rule OrdInd2H [where i=k]) (auto intro: HaddP-Zero2 HaddP-SUCC2
    [THEN cut1])
  hence {} ⊢ OrdP (Var k) IMP HaddP Zero (Var k) (Var k)
    by (metis Imp-I)
  hence {} ⊢ (OrdP (Var k) IMP HaddP Zero (Var k) (Var k))(k::=x)
    by (rule Subst) auto
  hence {} ⊢ OrdP x IMP HaddP Zero x x
    by simp
  thus ?thesis using assms
    by (metis MP-same thin0)
qed

lemma HaddP-Zero-D1: insert (HaddP Zero x y) H ⊢ x EQ y
  by (metis Assume HaddP-imp-OrdP HaddP-Zero1 HaddP-unique [THEN cut2])

```

*rcut1*)

**lemma** *HaddP-Zero-D2*: *insert (HaddP x Zero y) H ⊢ x EQ y*  
**by** (*metis Assume HaddP-Zero2 HaddP-unique [THEN cut2]*)

**lemma** *HaddP-SUCC-Ex2*:

**assumes** *H ⊢ HaddP x (SUCC y) z atom z' # (x,y,z)*  
**shows** *H ⊢ Ex z' (HaddP x y (Var z') AND z EQ SUCC (Var z'))*

**proof** –

**obtain** *s::name and s'::name where atom s # (x,y,z,z') atom s' # (x,y,z,z',s)*

**by** (*metis obtain-fresh*)

**hence** { *HaddP x (SUCC y) z* }  $\vdash \exists z' (\text{HaddP } x y (\text{Var } z') \text{ AND } z \text{ EQ SUCC } (\text{Var } z'))$

**using** *assms*

**apply** (*auto simp: HaddP.simps [of s - -] HaddP.simps [of s' - -]*)

**apply** (*rule cut-same [OF SeqHaddP-SUCC-lemma [of z']], auto*)

**apply** (*rule Ex-I, auto*)+

**done**

**thus** *?thesis*

**by** (*metis assms(1) cut1*)

**qed**

**lemma** *HaddP-SUCC1*: { *HaddP x y z* }  $\vdash \text{HaddP } (\text{SUCC } x) y (\text{SUCC } z)$

**lemma** *HaddP-commute*: { *HaddP x y z, OrdP x* }  $\vdash \text{HaddP } y x z$

**lemma** *HaddP-SUCC-Ex1*:

**assumes** *atom i # (x,y,z)*

**shows** *insert (HaddP (SUCC x) y z) (insert (OrdP x) H)*

$\vdash \exists i (\text{HaddP } x y (\text{Var } i) \text{ AND } z \text{ EQ SUCC } (\text{Var } i))$

**proof** –

**have** { *HaddP (SUCC x) y z, OrdP x* }  $\vdash \exists i (\text{HaddP } x y (\text{Var } i) \text{ AND } z \text{ EQ SUCC } (\text{Var } i))$

**apply** (*rule cut-same [OF HaddP-commute [THEN cut2]]*)

**apply** (*blast intro: OrdP-SUCC-I*)+

**apply** (*rule cut-same [OF HaddP-SUCC-Ex2 [where z'=i]], blast*)

**using** *assms apply auto*

**apply** (*auto intro!: Ex-I [where x=Var i]*)

**by** (*metis AssumeH(2) HaddP-commute [THEN cut2] HaddP-imp-OrdP rotate2 thin1*)

**thus** *?thesis*

**by** (*metis AssumeH(2) cut2*)

**qed**

**lemma** *HaddP-inv2*: { *HaddP x y z, HaddP x y' z, OrdP x* }  $\vdash y' \text{ EQ } y$

**lemma** *Mem-imp-subtract*:

**lemma** *HaddP-OrdP*:

**assumes** *H ⊢ HaddP x y z H ⊢ OrdP x shows H ⊢ OrdP z*

**lemma** *HaddP-Mem-cancel-left*:

**assumes** *H ⊢ HaddP x y' z' H ⊢ HaddP x y z H ⊢ OrdP x*

**shows** *H ⊢ z' IN z IFF y' IN y*

**lemma** *HaddP-Mem-cancel-right-Mem*:

assumes  $H \vdash \text{HaddP } x' y z' H \vdash \text{HaddP } x y z H \vdash x' \text{IN } x H \vdash \text{OrdP } x$

shows  $H \vdash z' \text{IN } z$

**proof** –

have  $H \vdash \text{OrdP } x'$   
by (metis Ord-IN-Ord assms(3) assms(4))

hence  $H \vdash \text{HaddP } y x' z' H \vdash \text{HaddP } y x z$   
by (blast intro: assms HaddP-commute [THEN cut2])+

thus ?thesis  
by (blast intro: assms HaddP-imp-OrdP [THEN cut1] HaddP-Mem-cancel-left [THEN Iff-MP2-same])

**qed**

**lemma** *HaddP-Mem-cases*:

assumes  $H \vdash \text{HaddP } k1 k2 k H \vdash \text{OrdP } k1$   
 $\text{insert } (x \text{IN } k1) H \vdash A$   
 $\text{insert } (\text{Var } i \text{IN } k2) (\text{insert } (\text{HaddP } k1 (\text{Var } i) x) H) \vdash A$   
and  $i: \text{atom } (i::\text{name}) \# (k1, k2, k, x, A)$  and  $\forall C \in H. \text{atom } i \# C$

shows  $\text{insert } (x \text{IN } k) H \vdash A$

**lemma** *HaddP-Mem-contra*:

assumes  $H \vdash \text{HaddP } x y z H \vdash z \text{IN } x H \vdash \text{OrdP } x$

shows  $H \vdash A$

**proof** –

obtain  $i::\text{name}$  and  $j::\text{name}$  and  $k::\text{name}$   
**where** atoms: atom  $i \# (x, y, z)$  atom  $j \# (i, x, y, z)$  atom  $k \# (i, j, x, y, z)$   
by (metis obtain-fresh)

have  $\{\text{OrdP } (\text{Var } i)\} \vdash \text{All } j (\text{HaddP } (\text{Var } i) y (\text{Var } j) \text{IMP Neg } ((\text{Var } j) \text{IN } (\text{Var } i)))$   
(is - ⊢ ?scheme)  
**proof** (rule OrdInd2H)  
show  $\{\} \vdash ?\text{scheme}(i ::= \text{Zero})$   
using atoms by auto

**next**  
show  $\{\} \vdash \text{All } i (\text{OrdP } (\text{Var } i) \text{IMP } ?\text{scheme} \text{IMP } ?\text{scheme}(i ::= \text{SUCC } (\text{Var } i)))$   
using atoms apply auto  
apply (rule cut-same [OF HaddP-SUCC-Ex1 [of k Var i y Var j, THEN cut2]], auto)  
apply (rule Ex-I [**where**  $x = \text{Var } k$ ], auto)  
apply (blast intro: OrdP-IN-SUCC-D Mem-cong [OF - Refl, THEN Iff-MP-same])  
done

**qed**

hence  $\{\text{OrdP } (\text{Var } i)\} \vdash (\text{HaddP } (\text{Var } i) y (\text{Var } j) \text{IMP Neg } ((\text{Var } j) \text{IN } (\text{Var } i))) (j ::= z)$   
by (metis All-D)

hence  $\{\} \vdash \text{OrdP } (\text{Var } i) \text{IMP HaddP } (\text{Var } i) y z \text{IMP Neg } (z \text{IN } (\text{Var } i))$   
using atoms by simp (metis Imp-I)

hence  $\{\} \vdash (\text{OrdP } (\text{Var } i) \text{IMP HaddP } (\text{Var } i) y z \text{IMP Neg } (z \text{IN } (\text{Var } i))) (i ::= x)$   
by (metis Subst emptyE)

```

thus ?thesis
  using atoms by simp (metis MP-same MP-null Neg-D assms)
qed

lemma exists-HaddP:
  assumes H ⊢ OrdP y atom j # (x,y)
  shows H ⊢ Ex j (HaddP x y (Var j))
proof -
  obtain i::name
  where atoms: atom i # (j,x,y)
    by (metis obtain-fresh)
  have {OrdP (Var i)} ⊢ Ex j (HaddP x (Var i) (Var j))
    (is - ⊢ ?scheme)
  proof (rule OrdInd2H)
    show {} ⊢ ?scheme(i::=Zero)
      using atoms assms
      by (force intro!: Ex-I [where x=x] HaddP-Zero2)
    next
    show {} ⊢ All i (OrdP (Var i) IMP ?scheme IMP ?scheme(i::=SUCC (Var i)))
      using atoms assms
      apply auto
      apply (auto intro!: Ex-I [where x=SUCC (Var j)] HaddP-SUCC2)
      apply (metis HaddP-SUCC2 insert-commute thin1)
      done
  qed
  hence {} ⊢ OrdP (Var i) IMP Ex j (HaddP x (Var i) (Var j))
    by (metis Imp-I)
  hence {} ⊢ (OrdP (Var i) IMP Ex j (HaddP x (Var i) (Var j)))(i::=y)
    using atoms by (force intro!: Subst)
  thus ?thesis
    using atoms assms by simp (metis MP-null assms(1))
qed

lemma HaddP-Mem-I:
  assumes H ⊢ HaddP x y z H ⊢ OrdP x shows H ⊢ x IN SUCC z
proof -
  have {HaddP x y z, OrdP x} ⊢ x IN SUCC z
    apply (rule OrdP-linear [of - x SUCC z])
    apply (auto intro: OrdP-SUCC-I HaddP-OrdP)
    apply (rule HaddP-Mem-contra, blast)
    apply (metis Assume Mem-SUCC-I2 OrdP-IN-SUCC-D Sym-L thin1 thin2,
blast)
    apply (blast intro: HaddP-Mem-contra Mem-SUCC-Refl OrdP-Trans)
    done
  thus ?thesis
    by (rule cut2) (auto intro: assms)
qed

```

## 9.5 A Shifted Sequence

```

nominal-function ShiftP :: tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  fm
  where [atom  $x \# (x',y,z,f,del,k)$ ; atom  $x' \# (y,z,f,del,k)$ ; atom  $y \# (z,f,del,k)$ ;
  atom  $z \# (f,del,g,k)$ ]  $\implies$ 
    ShiftP f k del g =
      All z (Var z IN g IFF
        (Ex x (Ex x' (Ex y ((Var z) EQ HPair (Var x') (Var y)) AND
          HaddP del (Var x) (Var x') AND
          HPair (Var x) (Var y) IN f AND Var x IN k)))))
  by (auto simp: eqvt-def ShiftP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

nominal-termination (eqvt)
  by lexicographic-order

lemma ShiftP-fresh-iff [simp]:  $a \# \text{ShiftP } f \ k \ \text{del } g \longleftrightarrow a \# f \wedge a \# k \wedge a \# \text{del} \wedge a \# g$ 
proof -
  obtain x::name and x'::name and y::name and z::name
    where atom  $x \# (x',y,z,f,del,k)$  atom  $x' \# (y,z,f,del,k)$ 
      atom  $y \# (z,f,del,k)$  atom  $z \# (f,del,g,k)$ 
    by (metis obtain-fresh)
  thus ?thesis
    by auto
  qed

lemma subst-fm-ShiftP [simp]:
  ( $\text{ShiftP } f \ k \ \text{del } g$ ) $(i := u)$  = ShiftP (subst i u f) (subst i u k) (subst i u del) (subst i u g)
proof -
  obtain x::name and x'::name and y::name and z::name
    where atom  $x \# (x',y,z,f,del,k,i,u)$  atom  $x' \# (y,z,f,del,k,i,u)$ 
      atom  $y \# (z,f,del,k,i,u)$  atom  $z \# (f,del,g,k,i,u)$ 
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: ShiftP.simps [of x x' y z])
  qed

lemma ShiftP-Zero: {}  $\vdash \text{ShiftP Zero } k \ d \ \text{Zero}$ 
proof -
  obtain x::name and x'::name and y::name and z::name
    where atom  $x \# (x',y,z,k,d)$  atom  $x' \# (y,z,k,d)$  atom  $y \# (z,k,d)$  atom  $z \# (k,d)$ 
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: ShiftP.simps [of x x' y z])
  qed

lemma ShiftP-Mem1:
  {ShiftP f k del g, HPair a b IN f, HaddP del a a', a IN k}  $\vdash \text{HPair } a' b \text{ IN } g$ 

```

**proof –**

obtain  $x::name$  and  $x'::name$  and  $y::name$  and  $z::name$   
 where atom  $x \# (x',y,z,f,del,k,a,a',b)$  atom  $x' \# (y,z,f,del,k,a,a',b)$   
     atom  $y \# (z,f,del,k,a,a',b)$  atom  $z \# (f,del,g,k,a,a',b)$   
 by (metis obtain-fresh)  
 thus ?thesis  
 apply (auto simp: ShiftP.simps [of  $x x' y z$ ])  
 apply (rule All-E [where  $x=HPair a' b$ ], auto intro!: Iff-E2)  
 apply (rule Ex-I [where  $x=a$ ], simp)  
 apply (rule Ex-I [where  $x=a'$ ], simp)  
 apply (rule Ex-I [where  $x=b$ ], auto intro: Mem-Eats-I1)  
 done

qed

**lemma ShiftP-Mem2:**  
 assumes atom  $u \# (f,k,del,a,b)$   
 shows  $\{ShiftP f k del g, HPair a b IN g\} \vdash Ex u ((Var u) IN k AND HaddP del (Var u) a AND HPair (Var u) b IN f)$   
**proof –**  
 obtain  $x::name$  and  $x'::name$  and  $y::name$  and  $z::name$   
 where atoms: atom  $x \# (x',y,z,f,del,g,k,a,u,b)$  atom  $x' \# (y,z,f,del,g,k,a,u,b)$   
     atom  $y \# (z,f,del,g,k,a,u,b)$  atom  $z \# (f,del,g,k,a,u,b)$   
 by (metis obtain-fresh)  
 thus ?thesis using assms  
 apply (auto simp: ShiftP.simps [of  $x x' y z$ ])  
 apply (rule All-E [where  $x=HPair a b$ ])  
 apply (auto intro!: Iff-E1 [OF Assume])  
 apply (rule Ex-I [where  $x=Var x$ ])  
 apply (auto intro: Mem-cong [OF HPair-cong Refl, THEN Iff-MP2-same])  
 apply (blast intro: HaddP-cong [OF Refl Refl, THEN Iff-MP2-same])  
 done

qed

**lemma ShiftP-Mem-D:**  
 assumes  $H \vdash ShiftP f k del g H \vdash a IN g$   
     atom  $x \# (x',y,a,f,del,k)$  atom  $x' \# (y,a,f,del,k)$  atom  $y \# (a,f,del,k)$   
 shows  $H \vdash (Ex x (Ex x' (Ex y (a EQ HPair (Var x') (Var y) AND HaddP del (Var x) (Var x') AND HPair (Var x) (Var y) IN f AND Var x IN k))))$   
 (is  $- \vdash ?concl$ )  
**proof –**  
 obtain  $z::name$  where atom  $z \# (x,x',y,f,del,g,k,a)$   
 by (metis obtain-fresh)  
 hence  $\{ShiftP f k del g, a IN g\} \vdash ?concl$  using assms  
 by (auto simp: ShiftP.simps [of  $x x' y z$ ]) (rule All-E [where  $x=a$ ], auto intro: Iff-E1)  
 thus ?thesis  
 by (rule cut2) (rule assms)+

qed

```

lemma ShiftP-Eats-Eats:
  {ShiftP f k del g, HaddP del a a', a IN k}
  ⊢ ShiftP (Eats f (HPair a b)) k del (Eats g (HPair a' b))

lemma ShiftP-Eats-Neg:
  assumes atom u # (u',v,f,k,del,g,c) atom u' # (v,f,k,del,g,c) atom v # (f,k,del,g,c)
  shows
    {ShiftP f k del g,
     Neg (Ex u (Ex u' (Ex v (c EQ HPair (Var u) (Var v) AND Var u IN k AND
     HaddP del (Var u) (Var u')))))}
    ⊢ ShiftP (Eats f c) k del g

lemma exists-ShiftP:
  assumes t: atom t # (s,k,del)
  shows H ⊢ Ex t (ShiftP s k del (Var t))

```

## 9.6 Union of Two Sets

```

nominal-function UnionP :: tm ⇒ tm ⇒ tm ⇒ fm
  where atom i # (x,y,z) ⇒ UnionP x y z = All i (Var i IN z IFF (Var i IN x
  OR Var i IN y))
  by (auto simp: eqvt-def UnionP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

```

nominal-termination (eqvt)
  by lexicographic-order

```

```

lemma UnionP-fresh-iff [simp]: a # UnionP x y z ↔ a # x ∧ a # y ∧ a # z
proof –
  obtain i::name where atom i # (x,y,z)
  by (metis obtain-fresh)
  thus ?thesis
  by auto
qed

```

```

lemma subst-fm-UnionP [simp]:
  (UnionP x y z)(i:=u) = UnionP (subst i u x) (subst i u y) (subst i u z)
proof –
  obtain j::name where atom j # (x,y,z,i,u)
  by (metis obtain-fresh)
  thus ?thesis
  by (auto simp: UnionP.simps [of j])
qed

```

```

lemma Union-Zero1: H ⊢ UnionP Zero x x
proof –
  obtain i::name where atom i # x
  by (metis obtain-fresh)
  hence {} ⊢ UnionP Zero x x
  by (auto simp: UnionP.simps [of i] intro: Disj-I2)
  thus ?thesis

```

```

by (metis thin0)
qed

lemma Union-Eats: {UnionP x y z} ⊢ UnionP (Eats x a) y (Eats z a)
proof -
  obtain i::name where atom i # (x,y,z,a)
    by (metis obtain-fresh)
  thus ?thesis
    apply (auto simp: UnionP.simps [of i])
    apply (rule Ex-I [where x=Var i])
    apply (auto intro: Iff-E1 [THEN rotate2] Iff-E2 [THEN rotate2] Mem-Eats-I1
      Mem-Eats-I2 Disj-I1 Disj-I2)
    done
qed

lemma exists-Union-lemma:
  assumes z: atom z # (i,y) and i: atom i # y
  shows {} ⊢ Ex z (UnionP (Var i) y (Var z))
proof -
  obtain j::name where j: atom j # (y,z,i)
    by (metis obtain-fresh)
  show {} ⊢ Ex z (UnionP (Var i) y (Var z))
    apply (rule Ind [of j i]) using j z i
    apply simp-all
    apply (rule Ex-I [where x=y], simp add: Union-Zero1)
    apply (auto del: Ex-EH)
    apply (rule Ex-E)
    apply (rule NegNeg-E)
    apply (rule Ex-E)
    apply (auto del: Ex-EH)
    apply (rule thin1, force intro: Ex-I [where x=Eats (Var z) (Var j)] Union-Eats)
    done
qed

lemma exists-UnionP:
  assumes z: atom z # (x,y) shows H ⊢ Ex z (UnionP x y (Var z))
proof -
  obtain i::name where i: atom i # (y,z)
    by (metis obtain-fresh)
  hence {} ⊢ Ex z (UnionP (Var i) y (Var z))
    by (metis exists-Union-lemma fresh-Pair fresh-at-base(2) z)
  hence {} ⊢ (Ex z (UnionP (Var i) y (Var z))) (i ::= x)
    by (metis Subst empty-iff)
  thus ?thesis using i z
    by (simp add: thin0)
qed

lemma UnionP-Mem1: { UnionP x y z, a IN x } ⊢ a IN z
proof -

```

```

obtain i::name where atom i # (x,y,z,a)
  by (metis obtain-fresh)
  thus ?thesis
    by (force simp: UnionP.simps [of i] intro: All-E [where x=a] Disj-I1 Iff-E2)
qed

```

```

lemma UnionP-Mem2: { UnionP x y z, a IN y } ⊢ a IN z
proof –
  obtain i::name where atom i # (x,y,z,a)
    by (metis obtain-fresh)
    thus ?thesis
      by (force simp: UnionP.simps [of i] intro: All-E [where x=a] Disj-I2 Iff-E2)
qed

```

```

lemma UnionP-Mem: { UnionP x y z, a IN z } ⊢ a IN x OR a IN y
proof –
  obtain i::name where atom i # (x,y,z,a)
    by (metis obtain-fresh)
    thus ?thesis
      by (force simp: UnionP.simps [of i] intro: All-E [where x=a] Iff-E1)
qed

```

```

lemma UnionP-Mem-E:
  assumes H ⊢ UnionP x y z
  and insert (a IN x) H ⊢ A
  and insert (a IN y) H ⊢ A
  shows insert (a IN z) H ⊢ A
  using assms
  by (blast intro: rotate2 cut-same [OF UnionP-Mem [THEN cut2]] thin1)

```

## 9.7 Append on Sequences

```

nominal-function SeqAppendP :: tm ⇒ tm ⇒ tm ⇒ tm ⇒ fm
  where [atom g1 # (g2,f1,k1,f2,k2,g); atom g2 # (f1,k1,f2,k2,g)] ==>
    SeqAppendP f1 k1 f2 k2 g =
      (Ex g1 (Ex g2 (RestrictedP f1 k1 (Var g1) AND
                    ShiftP f2 k2 k1 (Var g2) AND
                    UnionP (Var g1) (Var g2) g)))
  by (auto simp: eqvt-def SeqAppendP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

```

```

nominal-termination (eqvt)
  by lexicographic-order

```

```

lemma SeqAppendP-fresh-iff [simp]:
  a # SeqAppendP f1 k1 f2 k2 g ↔ a # f1 ∧ a # k1 ∧ a # f2 ∧ a # k2 ∧ a # g
proof –
  obtain g1::name and g2::name
    where atom g1 # (g2,f1,k1,f2,k2,g) atom g2 # (f1,k1,f2,k2,g)
    by (metis obtain-fresh)

```

```

thus ?thesis
  by auto
qed

lemma subst-fm-SeqAppendP [simp]:
  (SeqAppendP f1 k1 f2 k2 g)(i:=u) =
    SeqAppendP (subst i u f1) (subst i u k1) (subst i u f2) (subst i u k2) (subst i u g)
proof -
  obtain g1::name and g2::name
  where atom g1 # (g2,f1,k1,f2,k2,g,i,u) atom g2 # (f1,k1,f2,k2,g,i,u)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: SeqAppendP.simps [of g1 g2])
qed

lemma exists-SeqAppendP:
  assumes atom g # (f1,k1,f2,k2)
  shows H ⊢ Ex g (SeqAppendP f1 k1 f2 k2 (Var g))
proof -
  obtain g1::name and g2::name
  where atoms: atom g1 # (g2,f1,k1,f2,k2,g) atom g2 # (f1,k1,f2,k2,g)
    by (metis obtain-fresh)
  hence {} ⊢ Ex g (SeqAppendP f1 k1 f2 k2 (Var g))
    using assms
    apply (auto simp: SeqAppendP.simps [of g1 g2])
    apply (rule cut-same [OF exists-RestrictedP [of g1 f1 k1]], auto)
    apply (rule cut-same [OF exists-ShiftP [of g2 f2 k2 k1]], auto)
    apply (rule cut-same [OF exists-UnionP [of g Var g1 Var g2]], auto)
    apply (rule Ex-I [where x=Var g], simp)
    apply (rule Ex-I [where x=Var g1], simp)
    apply (rule Ex-I [where x=Var g2], auto)
    done
  thus ?thesis using assms
    by (metis thin0)
qed

lemma SeqAppendP-Mem1: {SeqAppendP f1 k1 f2 k2 g, HPair x y IN f1, x IN k1}
  ⊢ HPair x y IN g
proof -
  obtain g1::name and g2::name
  where atom g1 # (g2,f1,k1,f2,k2,g,x,y) atom g2 # (f1,k1,f2,k2,g,x,y)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: SeqAppendP.simps [of g1 g2] intro: UnionP-Mem1 [THEN cut2]
      RestrictedP-Mem [THEN cut3])
qed

lemma SeqAppendP-Mem2: {SeqAppendP f1 k1 f2 k2 g, HaddP k1 x x', x IN k2,

```

```

 $H\text{Pair } x \text{ } y \text{ IN } f2 \} \vdash H\text{Pair } x' \text{ } y \text{ IN } g$ 
proof –
  obtain  $g1::name$  and  $g2::name$ 
    where atom  $g1 \# (g2, f1, k1, f2, k2, g, x, x', y)$  atom  $g2 \# (f1, k1, f2, k2, g, x, x', y)$ 
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: SeqAppendP.simps [of  $g1$   $g2$ ] intro: UnionP-Mem2 [THEN cut2]
      ShiftP-Mem1 [THEN cut4])
  qed

```

```

lemma SeqAppendP-Mem-E:
  assumes  $H \vdash \text{SeqAppendP } f1 \text{ } k1 \text{ } f2 \text{ } k2 \text{ } g$ 
    and insert ( $H\text{Pair } x \text{ } y \text{ IN } f1$ ) (insert ( $x \text{ IN } k1$ )  $H \vdash A$ )
    and insert ( $H\text{Pair } (\text{Var } u) \text{ } y \text{ IN } f2$ ) (insert ( $H\text{addP } k1 \text{ } (\text{Var } u) \text{ } x$ ) (insert ( $\text{Var } u \text{ IN } k2$ )  $H)) \vdash A$ 
    and  $u: \text{atom } u \# (f1, k1, f2, k2, x, y, g, A) \forall C \in H. \text{atom } u \# C$ 
  shows insert ( $H\text{Pair } x \text{ } y \text{ IN } g$ )  $H \vdash A$ 

```

## 9.8 LstSeqP and SeqAppendP

```

lemma HDomain-Incl-SeqAppendP: — The And eliminates the need to prove cut5
  {SeqAppendP  $f1 \text{ } k1 \text{ } f2 \text{ } k2 \text{ } g$ , HDomain-Incl  $f1 \text{ } k1$  AND HDomain-Incl  $f2 \text{ } k2$ ,
   HaddP  $k1 \text{ } k2 \text{ } k$ , OrdP  $k1$ }  $\vdash \text{HDomain-Incl } g \text{ } k$ 
declare SeqAppendP.simps [simp del]

```

```

lemma HFun-Sigma-SeqAppendP:
  {SeqAppendP  $f1 \text{ } k1 \text{ } f2 \text{ } k2 \text{ } g$ , HFun-Sigma  $f1$ , HFun-Sigma  $f2$ , OrdP  $k1$ }  $\vdash \text{HFun-Sigma}$ 
   $g$ 
lemma LstSeqP-SeqAppendP:
  assumes  $H \vdash \text{SeqAppendP } f1 \text{ } (\text{SUCC } k1) \text{ } f2 \text{ } (\text{SUCC } k2) \text{ } g$ 
     $H \vdash \text{LstSeqP } f1 \text{ } k1 \text{ } y1 \text{ } H \vdash \text{LstSeqP } f2 \text{ } k2 \text{ } y2 \text{ } H \vdash \text{HaddP } k1 \text{ } k2 \text{ } k$ 
  shows  $H \vdash \text{LstSeqP } g \text{ } (\text{SUCC } k) \text{ } y2$ 
proof –
  have {SeqAppendP  $f1 \text{ } (\text{SUCC } k1) \text{ } f2 \text{ } (\text{SUCC } k2) \text{ } g$ , LstSeqP  $f1 \text{ } k1 \text{ } y1$ , LstSeqP
   $f2 \text{ } k2 \text{ } y2$ , HaddP  $k1 \text{ } k2 \text{ } k$ }
     $\vdash \text{LstSeqP } g \text{ } (\text{SUCC } k) \text{ } y2$ 
  apply (auto simp: LstSeqP.simps intro: HaddP-OrdP OrdP-SUCC-I)
  apply (rule HDomain-Incl-SeqAppendP [THEN cut4])
  apply (rule AssumeH Conj-I)+
  apply (blast intro: HaddP-SUCC1 [THEN cut1] HaddP-SUCC2 [THEN cut1])
  apply (blast intro: HaddP-OrdP OrdP-SUCC-I)
  apply (rule HFun-Sigma-SeqAppendP [THEN cut4])
  apply (auto intro: HaddP-OrdP OrdP-SUCC-I)
  apply (blast intro: Mem-SUCC-Refl HaddP-SUCC1 [THEN cut1] HaddP-SUCC2
  [THEN cut1]
    SeqAppendP-Mem2 [THEN cut4]))
  done
thus ?thesis using assms
  by (rule cut4)

```

**qed**

**lemma** *SeqAppendP-NotInDom*: {*SeqAppendP f1 k1 f2 k2 g*, *HaddP k1 k2 k*, *OrdP k1*}  $\vdash$  *NotInDom k g*

**proof –**

obtain *x::name and z::name*

where *atom x # (z,f1,k1,f2,k2,g,k)* atom *z # (f1,k1,f2,k2,g,k)*

by (metis obtain-fresh)

thus ?thesis

apply (auto simp: *NotInDom.simps [of z]*)

apply (rule *SeqAppendP-Mem-E [where u=x]*)

apply (rule *AssumeH*) +

apply (blast intro: *HaddP-Mem-contra, simp-all*)

apply (rule *cut-same [where A=(Var x) EQ k2]*)

apply (blast intro: *HaddP-inv2 [THEN cut3]*)

apply (blast intro: *Mem-non-refl [where x=k2] Mem-cong [OF - Refl, THEN Iff-MP-same]*)

done

**qed**

**lemma** *LstSeqP-SeqAppendP-Eats*:

assumes *H*  $\vdash$  *SeqAppendP f1 (SUCC k1) f2 (SUCC k2) g*

*H*  $\vdash$  *LstSeqP f1 k1 y1 H*  $\vdash$  *LstSeqP f2 k2 y2 H*  $\vdash$  *HaddP k1 k2 k*

shows *H*  $\vdash$  *LstSeqP (Eats g (HPair (SUCC (SUCC k)) z)) (SUCC (SUCC k))*

*z*

**proof –**

have {*SeqAppendP f1 (SUCC k1) f2 (SUCC k2) g, LstSeqP f1 k1 y1, LstSeqP f2 k2 y2, HaddP k1 k2 k*}

$\vdash$  *LstSeqP (Eats g (HPair (SUCC (SUCC k)) z)) (SUCC (SUCC k)) z*

apply (rule *cut2 [OF NotInDom-LstSeqP-Eats]*)

apply (rule *SeqAppendP-NotInDom [THEN cut3]*)

apply (rule *AssumeH*)

apply (metis *HaddP-SUCC1 HaddP-SUCC2 cut1 thin1*)

apply (metis *Assume LstSeqP-OrdP OrdP-SUCC-I insert-commute*)

apply (blast intro: *LstSeqP-SeqAppendP*)

done

thus ?thesis using assms

by (rule *cut4*)

**qed**

## 9.9 Substitution and Abstraction on Terms

### 9.9.1 Atomic cases

**lemma** *SeqStTermP-Var-same*:

assumes *atom s # (k,v,i)* *atom k # (v,i)*

shows {*VarP v*}  $\vdash$  *Ex s (Ex k (SeqStTermP v i v i (Var s) (Var k)))*

**proof –**

obtain *l::name and sl::name and sl'::name and m::name and sm::name and*

```

 $sm'::name$ 
and  $n::name$  and  $sn::name$  and  $sn'::name$ 
where  $atom\ l \# (v,i,s,k,sl,sl',m,n,sm,sm',sn,sn')$ 
       $atom\ sl \# (v,i,s,k,sl',m,n,sm,sm',sn,sn')$ 
       $atom\ sl' \# (v,i,s,k,m,n,sm,sm',sn,sn')$ 
       $atom\ m \# (v,i,s,k,n,sm,sm',sn,sn')\ atom\ n \# (v,i,s,k,sm,sm',sn,sn')$ 
       $atom\ sm \# (v,i,s,k,sm',sn,sn')\ atom\ sm' \# (v,i,s,k,sn,sn')$ 
       $atom\ sn \# (v,i,s,k,sn')\ atom\ sn' \# (v,i,s,k)$ 
by (metis obtain-fresh)
thus ?thesis using assms
  apply (simp add: SeqStTermP.simps [of  $l - v i sl sl' m n sm sm' sn sn'$ ])
  apply (rule Ex-I [where  $x = Eats\ Zero\ (HPair\ Zero\ (HPair\ v\ i))$ ], simp)
  apply (rule Ex-I [where  $x = Zero$ ], auto intro!: Mem-SUCC-EH)
  apply (rule Ex-I [where  $x = v$ ], simp)
  apply (rule Ex-I [where  $x = i$ ], auto intro: Disj-I1 Mem-Eats-I2 HPair-cong)
  done
qed

lemma SeqStTermP-Var-diff:
assumes  $atom\ s \# (k,v,w,i)\ atom\ k \# (v,w,i)$ 
shows {VarP v, VarP w, Neg (v EQ w)}  $\vdash Ex\ s\ (Ex\ k\ (SeqStTermP\ v\ i\ w\ w\ (Var\ s)\ (Var\ k)))$ 
proof -
  obtain  $l::name$  and  $sl::name$  and  $sl'::name$  and  $m::name$  and  $sm::name$  and
     $sm'::name$ 
    and  $n::name$  and  $sn::name$  and  $sn'::name$ 
    where  $atom\ l \# (v,w,i,s,k,sl,sl',m,n,sm,sm',sn,sn')$ 
       $atom\ sl \# (v,w,i,s,k,sl',m,n,sm,sm',sn,sn')$ 
       $atom\ sl' \# (v,w,i,s,k,m,n,sm,sm',sn,sn')$ 
       $atom\ m \# (v,w,i,s,k,n,sm,sm',sn,sn')\ atom\ n \# (v,w,i,s,k,sm,sm',sn,sn')$ 
       $atom\ sm \# (v,w,i,s,k,sm',sn,sn')\ atom\ sm' \# (v,w,i,s,k,sn,sn')$ 
       $atom\ sn \# (v,w,i,s,k,sn')\ atom\ sn' \# (v,w,i,s,k)$ 
by (metis obtain-fresh)
thus ?thesis using assms
  apply (simp add: SeqStTermP.simps [of  $l - v i sl sl' m n sm sm' sn sn'$ ])
  apply (rule Ex-I [where  $x = Eats\ Zero\ (HPair\ Zero\ (HPair\ w\ w))$ ], simp)
  apply (rule Ex-I [where  $x = Zero$ ], auto intro!: Mem-SUCC-EH)
  apply (rule rotate2 [OF Swap])
  apply (rule Ex-I [where  $x = w$ ], simp)
  apply (rule Ex-I [where  $x = w$ ], auto simp: VarP-def)
  apply (blast intro: HPair-cong Mem-Eats-I2)
  apply (blast intro: Sym OrdNotEqP-I Disj-I1 Disj-I2)
  done
qed

lemma SeqStTermP-Zero:
assumes  $atom\ s \# (k,v,i)\ atom\ k \# (v,i)$ 
shows {VarP v}  $\vdash Ex\ s\ (Ex\ k\ (SeqStTermP\ v\ i\ Zero\ Zero\ (Var\ s)\ (Var\ k)))$ 
corollary SubstTermP-Zero: {TermP t}  $\vdash SubstTermP\ «Var\ v»\ t\ Zero\ Zero$ 

```

**proof** –

obtain  $s::name$  and  $k::name$  where atom  $s \# (v,t,k)$  atom  $k \# (v,t)$   
by (metis obtain-fresh)  
thus ?thesis  
by (auto simp: SubstTermP.simps [of  $s \dots k$ ] intro: SeqStTermP-Zero [THEN cut1])  
qed

**corollary** SubstTermP-Var-same: { VarP v, TermP t }  $\vdash$  SubstTermP v t v t  
**proof** –

obtain  $s::name$  and  $k::name$  where atom  $s \# (v,t,k)$  atom  $k \# (v,t)$   
by (metis obtain-fresh)  
thus ?thesis  
by (auto simp: SubstTermP.simps [of  $s \dots k$ ] intro: SeqStTermP-Var-same [THEN cut1])  
qed

**corollary** SubstTermP-Var-diff: { VarP v, VarP w, Neg (v EQ w), TermP t }  $\vdash$  SubstTermP v t w w  
**proof** –

obtain  $s::name$  and  $k::name$  where atom  $s \# (v,w,t,k)$  atom  $k \# (v,w,t)$   
by (metis obtain-fresh)  
thus ?thesis  
by (auto simp: SubstTermP.simps [of  $s \dots k$ ] intro: SeqStTermP-Var-diff [THEN cut3])  
qed

**lemma** SeqStTermP-Ind:

assumes atom  $s \# (k,v,t,i)$  atom  $k \# (v,t,i)$   
shows { VarP v, IndP t }  $\vdash$  Ex s (Ex k (SeqStTermP v i t t (Var s) (Var k)))  
**proof** –

obtain  $l::name$  and  $sl::name$  and  $sl'::name$  and  $m::name$  and  $sm::name$  and  $sm'::name$   
and  $n::name$  and  $sn::name$  and  $sn'::name$   
where atom  $l \# (v,t,i,s,k,sl,sl',m,n,sm,sm',sn,sn')$   
atom  $sl \# (v,t,i,s,k,sl',m,n,sm,sm',sn,sn')$   
atom  $sl' \# (v,t,i,s,k,m,n,sm,sm',sn,sn')$   
atom  $m \# (v,t,i,s,k,n,sm,sm',sn,sn')$  atom  $n \# (v,t,i,s,k,sm,sm',sn,sn')$   
atom  $sm \# (v,t,i,s,k,sm',sn,sn')$  atom  $sm' \# (v,t,i,s,k,sn,sn')$   
atom  $sn \# (v,t,i,s,k,sn')$  atom  $sn' \# (v,t,i,s,k)$   
by (metis obtain-fresh)  
thus ?thesis using assms  
apply (simp add: SeqStTermP.simps [of  $l \dots v i sl sl' m n sm sm' sn sn'$ ])  
apply (rule Ex-I [where  $x = \text{Eats Zero} (\text{HPair Zero} (\text{HPair } t t))$ ], simp)  
apply (rule Ex-I [where  $x = \text{Zero}$ ], auto intro!: Mem-SUCC-EH)  
apply (rule Ex-I [where  $x = t$ ], simp)  
apply (rule Ex-I [where  $x = t$ ], auto intro: HPair-cong Mem-Eats-I2)  
apply (blast intro: Disj-I1 Disj-I2 VarP-neq-IndP)  
done

qed

**corollary** *SubstTermP-Ind*: {VarP v, IndP w, TermP t}  $\vdash$  SubstTermP v t w w

**proof –**

obtain s::name and k::name where atom s  $\notin$  (v,w,t,k) atom k  $\notin$  (v,w,t)

by (metis obtain-fresh)

thus ?thesis

by (force simp: SubstTermP.simps [of s - - - k]

intro: SeqStTermP-Ind [THEN cut2])

qed

### 9.9.2 Non-atomic cases

**lemma** *SeqStTermP-Eats*:

assumes sk: atom s  $\notin$  (k,s1,s2,k1,k2,t1,t2,u1,u2,v,i)

atom k  $\notin$  (t1,t2,u1,u2,v,i)

shows {SeqStTermP v i t1 u1 s1 k1, SeqStTermP v i t2 u2 s2 k2}

$\vdash$  Ex s (Ex k (SeqStTermP v i (Q-Eats t1 t2) (Q-Eats u1 u2) (Var s)

(Var k)))

**theorem** *SubstTermP-Eats*:

{SubstTermP v i t1 u1, SubstTermP v i t2 u2}  $\vdash$  SubstTermP v i (Q-Eats t1 t2)  
(Q-Eats u1 u2)

**proof –**

obtain k1::name and s1::name and k2::name and s2::name and k::name and s::name

where atom s1  $\notin$  (v,i,t1,u1,t2,u2) atom k1  $\notin$  (v,i,t1,u1,t2,u2,s1)

atom s2  $\notin$  (v,i,t1,u1,t2,u2,k1,s1) atom k2  $\notin$  (v,i,t1,u1,t2,u2,s2,k1,s1)

atom s  $\notin$  (v,i,t1,u1,t2,u2,k2,s2,k1,s1)

atom k  $\notin$  (v,i,t1,u1,t2,u2,s,k2,s2,k1,s1)

by (metis obtain-fresh)

thus ?thesis

by (auto intro!: SeqStTermP-Eats [THEN cut2]

simp: SubstTermP.simps [of s - - - (Q-Eats u1 u2) k]

SubstTermP.simps [of s1 v i t1 u1 k1]

SubstTermP.simps [of s2 v i t2 u2 k2])

qed

### 9.9.3 Substitution over a constant

**lemma** *SeqConstP-lemma*:

assumes atom m  $\notin$  (s,k,c,n,sm,sn) atom n  $\notin$  (s,k,c,sm,sn)

atom sm  $\notin$  (s,k,c,sn) atom sn  $\notin$  (s,k,c)

shows { SeqConstP s k c }

$\vdash$  c EQ Zero OR

Ex m (Ex n (Ex sm (Ex sn (Var m IN k AND Var n IN k AND

SeqConstP s (Var m) (Var sm) AND

SeqConstP s (Var n) (Var sn) AND

c EQ Q-Eats (Var sm) (Var sn))))))

**lemma** *SeqConstP-imp-SubstTermP*: {SeqConstP s kk c, TermP t}  $\vdash$  SubstTermP  
«Var w» t c c

**theorem** *SubstTermP-Const*: {ConstP c, TermP t}  $\vdash$  SubstTermP «Var w» t c c  
**proof** –  
**obtain** s::name **and** k::name **where** atom s  $\#$  (c,t,w,k) atom k  $\#$  (c,t,w)  
**by** (metis obtain-fresh)  
**thus** ?thesis  
**by** (auto simp: CTermP.simps [of k s c] SeqConstP-imp-SubstTermP)  
**qed**

## 9.10 Substitution on Formulas

### 9.10.1 Membership

**lemma** *SubstAtomicP-Mem*:  
{SubstTermP v i x x', SubstTermP v i y y'}  $\vdash$  SubstAtomicP v i (Q-Mem x y)  
(Q-Mem x' y')  
**proof** –  
**obtain** t::name **and** u::name **and** t'::name **and** u'::name  
**where** atom t  $\#$  (v,i,x,x',y,y',t',u,u') atom t'  $\#$  (v,i,x,x',y,y',u,u')  
atom u  $\#$  (v,i,x,x',y,y',u') atom u'  $\#$  (v,i,x,x',y,y')  
**by** (metis obtain-fresh)  
**thus** ?thesis  
**apply** (simp add: SubstAtomicP.simps [of t - - - t' u u'])  
**apply** (rule Ex-I [where x = x], simp)  
**apply** (rule Ex-I [where x = y], simp)  
**apply** (rule Ex-I [where x = x'], simp)  
**apply** (rule Ex-I [where x = y'], auto intro: Disj-I2)  
**done**  
**qed**

**lemma** *SeqSubstFormP-Mem*:  
**assumes** atom s  $\#$  (k,x,y,x',y',v,i) atom k  $\#$  (x,y,x',y',v,i)  
**shows** {SubstTermP v i x x', SubstTermP v i y y'}  
 $\vdash$  Ex s (Ex k (SeqSubstFormP v i (Q-Mem x y) (Q-Mem x' y') (Var s)  
(Var k)))  
**proof** –  
**let** ?vs = (s,k,x,y,x',y',v,i)  
**obtain** l::name **and** sl::name **and** sl'::name **and** m::name **and** n::name **and**  
sm::name **and** sm'::name **and** sn::name **and** sn'::name  
**where** atom l  $\#$  (?vs,sl,sl',m,n,sm,sm',sn,sn')  
atom sl  $\#$  (?vs,sl',m,n,sm,sm',sn,sn') atom sl'  $\#$  (?vs,m,n,sm,sm',sn,sn')  
atom m  $\#$  (?vs,n,sm,sm',sn,sn') atom n  $\#$  (?vs,sm,sm',sn,sn')  
atom sm  $\#$  (?vs,sm',sn,sn') atom sm'  $\#$  (?vs,sn,sn')  
atom sn  $\#$  (?vs,sn') atom sn'  $\#$  ?vs  
**by** (metis obtain-fresh)  
**thus** ?thesis  
**using** assms  
**apply** (auto simp: SeqSubstFormP.simps [of l Var s - - - sl sl' m n sm sm' sn  
sn'])  
**apply** (rule Ex-I [where x = Eats Zero (HPair Zero (HPair (Q-Mem x y)

```

(Q-Mem x' y'))], simp)
  apply (rule Ex-I [where x = Zero], auto intro!: Mem-SUCC-EH)
  apply (rule Ex-I [where x = Q-Mem x y], simp)
  apply (rule Ex-I [where x = Q-Mem x' y'], auto intro: Mem-Eats-I2 HPair-cong)
  apply (blast intro: SubstAtomicP-Mem [THEN cut2] Disj-II)
  done
qed

lemma SubstFormP-Mem:
  {SubstTermP v i x x', SubstTermP v i y y'} ⊢ SubstFormP v i (Q-Mem x y)
  (Q-Mem x' y')
proof -
  obtain k1::name and s1::name and k2::name and s2::name and k::name and
  s::name
    where atom s1 # (v,i,x,y,x',y') atom k1 # (v,i,x,y,x',y',s1)
          atom s2 # (v,i,x,y,x',y',k1,s1) atom k2 # (v,i,x,y,x',y',s2,k1,s1)
          atom s # (v,i,x,y,x',y',k2,s2,k1,s1) atom k # (v,i,x,y,x',y',s,k2,s2,k1,s1)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: SubstFormP.simps [of s v i (Q-Mem x y) - k]
           SubstFormP.simps [of s1 v i x x' k1]
           SubstFormP.simps [of s2 v i y y' k2]
      intro: SubstTermP-imp-TermP SubstTermP-imp-VarP SeqSubstFormP-Mem
      thin1)
qed

```

### 9.10.2 Equality

```

lemma SubstAtomicP-Eq:
  {SubstTermP v i x x', SubstTermP v i y y'} ⊢ SubstAtomicP v i (Q-Eq x y) (Q-Eq
  x' y')
proof -
  obtain t::name and u::name and t'::name and u'::name
    where atom t # (v,i,x,x',y,y',t',u,u') atom t' # (v,i,x,x',y,y',u,u')
          atom u # (v,i,x,x',y,y',u') atom u' # (v,i,x,x',y,y')
    by (metis obtain-fresh)
  thus ?thesis
    apply (simp add: SubstAtomicP.simps [of t - - - t' u u'])
    apply (rule Ex-I [where x = x], simp)
    apply (rule Ex-I [where x = y], simp)
    apply (rule Ex-I [where x = x'], simp)
    apply (rule Ex-I [where x = y'], auto intro: Disj-II)
    done
qed

```

```

lemma SeqSubstFormP-Eq:
assumes sk: atom s # (k,x,y,x',y',v,i) atom k # (x,y,x',y',v,i)
shows {SubstTermP v i x x', SubstTermP v i y y'}
      ⊢ Ex s (Ex k (SeqSubstFormP v i (Q-Eq x y) (Q-Eq x' y') (Var s) (Var

```

$k)))$   
**proof** –  
**let**  $?vs = (s, k, x, y, x', y', v, i)$   
**obtain**  $l::name$  **and**  $sl::name$  **and**  $sl'::name$  **and**  $m::name$  **and**  $n::name$  **and**  
 $sm::name$  **and**  $sm'::name$  **and**  $sn::name$  **and**  $sn'::name$   
**where**  $atom l \# (?vs, sl, sl', m, n, sm, sm', sn, sn')$   
 $atom sl \# (?vs, sl', m, n, sm, sm', sn, sn')$   $atom sl' \# (?vs, m, n, sm, sm', sn, sn')$   
 $atom m \# (?vs, n, sm, sm', sn, sn')$   $atom n \# (?vs, sm, sm', sn, sn')$   
 $atom sm \# (?vs, sm', sn, sn')$   $atom sm' \# (?vs, sn, sn')$   
 $atom sn \# (?vs, sn')$   $atom sn' \# ?vs$   
**by** (metis obtain-fresh)  
**thus**  $?thesis$   
**using**  $sk$   
**apply** (auto simp: SeqSubstFormP.simps [of  $l$  Var  $s \dots sl sl' m n sm sm' sn sn'$ ])  
**apply** (rule Ex-I [where  $x = Eats Zero (HPair Zero (HPair (Q-Eq x y) (Q-Eq x' y')))$ , simp])  
**apply** (rule Ex-I [where  $x = Zero$ ], auto intro!: Mem-SUCC-EH)  
**apply** (rule Ex-I [where  $x = Q-Eq x y$ ], simp)  
**apply** (rule Ex-I [where  $x = Q-Eq x' y$ ], auto)  
**apply** (metis Mem-Eats-I2 Assume HPair-cong Refl)  
**apply** (blast intro: SubstAtomicP-Eq [THEN cut2] Disj-I1)  
**done**  
**qed**

**lemma** SubstFormP-Eq:  
 $\{SubstTermP v i x x', SubstTermP v i y y'\} \vdash SubstFormP v i (Q-Eq x y) (Q-Eq x' y')$   
**proof** –  
**obtain**  $k1::name$  **and**  $s1::name$  **and**  $k2::name$  **and**  $s2::name$  **and**  $k::name$  **and**  
 $s::name$   
**where**  $atom s1 \# (v, i, x, y, x', y')$   $atom k1 \# (v, i, x, y, x', y', s1)$   
 $atom s2 \# (v, i, x, y, x', y', k1, s1)$   $atom k2 \# (v, i, x, y, x', y', s2, k1, s1)$   
 $atom s \# (v, i, x, y, x', y', k2, s2, k1, s1)$   $atom k \# (v, i, x, y, x', y', s, k2, s2, k1, s1)$   
**by** (metis obtain-fresh)  
**thus**  $?thesis$   
**by** (auto simp: SubstFormP.simps [of  $s v i (Q-Eq x y) - k$ ]  
 $SubstFormP.simps [of s1 v i x x' k1]$   
 $SubstFormP.simps [of s2 v i y y' k2]$   
intro: SeqSubstFormP-Eq SubstTermP-imp-TermP SubstTermP-imp-VarP  
thin1)  
**qed**

### 9.10.3 Negation

**lemma** SeqSubstFormP-Neg:  
**assumes**  $atom s \# (k, s1, k1, x, x', v, i)$   $atom k \# (s1, k1, x, x', v, i)$   
**shows**  $\{SeqSubstFormP v i x x' s1 k1, TermP i, VarP v\}$   
 $\vdash Ex s (Ex k (SeqSubstFormP v i (Q-Neg x) (Q-Neg x') (Var s) (Var k)))$

**theorem** *SubstFormP-Neg*:  $\{\text{SubstFormP } v \ i \ x \ x'\} \vdash \text{SubstFormP } v \ i \ (\text{Q-Neg } x) \ (\text{Q-Neg } x')$

**proof** –

**obtain**  $k1::\text{name}$  **and**  $s1::\text{name}$  **and**  $k::\text{name}$  **and**  $s::\text{name}$   
**where**  $\text{atom } s1 \ \# \ (v, i, x, x')$        $\text{atom } k1 \ \# \ (v, i, x, x', s1)$   
 $\text{atom } s \ \# \ (v, i, x, x', k1, s1)$      $\text{atom } k \ \# \ (v, i, x, x', s, k1, s1)$   
**by** (*metis obtain-fresh*)  
**thus**  $?thesis$   
**by** (*force simp: SubstFormP.simps [of s v i Q-Neg x - k] SubstFormP.simps [of s1 v i x x' k1]*  
*intro: SeqSubstFormP-Neg [THEN cut3]*)  
**qed**

#### 9.10.4 Disjunction

**lemma** *SeqSubstFormP-Disj*:

**assumes**  $\text{atom } s \ \# \ (k, s1, s2, k1, k2, x, y, x', y', v, i)$   $\text{atom } k \ \# \ (s1, s2, k1, k2, x, y, x', y', v, i)$   
**shows**  $\{\text{SeqSubstFormP } v \ i \ x \ x' \ s1 \ k1,$   
 $\text{SeqSubstFormP } v \ i \ y \ y' \ s2 \ k2, \text{TermP } i, \text{VarP } v\}$   
 $\vdash \text{Ex } s \ (\text{Ex } k \ (\text{SeqSubstFormP } v \ i \ (\text{Q-Disj } x \ y) \ (\text{Q-Disj } x' \ y') \ (\text{Var } s) \ (\text{Var } k)))$

**theorem** *SubstFormP-Disj*:

$\{\text{SubstFormP } v \ i \ x \ x', \text{SubstFormP } v \ i \ y \ y'\} \vdash \text{SubstFormP } v \ i \ (\text{Q-Disj } x \ y) \ (\text{Q-Disj } x' \ y')$

**proof** –

**obtain**  $k1::\text{name}$  **and**  $s1::\text{name}$  **and**  $k2::\text{name}$  **and**  $s2::\text{name}$  **and**  $k::\text{name}$  **and**  $s::\text{name}$   
**where**  $\text{atom } s1 \ \# \ (v, i, x, y, x', y')$        $\text{atom } k1 \ \# \ (v, i, x, y, x', y', s1)$   
 $\text{atom } s2 \ \# \ (v, i, x, y, x', y', k1, s1)$      $\text{atom } k2 \ \# \ (v, i, x, y, x', y', s2, k1, s1)$   
 $\text{atom } s \ \# \ (v, i, x, y, x', y', k2, s2, k1, s1)$      $\text{atom } k \ \# \ (v, i, x, y, x', y', s, k2, s2, k1, s1)$   
**by** (*metis obtain-fresh*)  
**thus**  $?thesis$   
**by** (*force simp: SubstFormP.simps [of s v i Q-Disj x y - k]*  
*SubstFormP.simps [of s1 v i x x' k1]*  
*SubstFormP.simps [of s2 v i y y' k2]*  
*intro: SeqSubstFormP-Disj [THEN cut4]*)  
**qed**

#### 9.10.5 Existential

**lemma** *SeqSubstFormP-Ex*:

**assumes**  $\text{atom } s \ \# \ (k, s1, k1, x, x', v, i)$   $\text{atom } k \ \# \ (s1, k1, x, x', v, i)$   
**shows**  $\{\text{SeqSubstFormP } v \ i \ x \ x' \ s1 \ k1, \text{TermP } i, \text{VarP } v\}$   
 $\vdash \text{Ex } s \ (\text{Ex } k \ (\text{SeqSubstFormP } v \ i \ (\text{Q-Ex } x) \ (\text{Q-Ex } x') \ (\text{Var } s) \ (\text{Var } k)))$

**theorem** *SubstFormP-Ex*:  $\{\text{SubstFormP } v \ i \ x \ x'\} \vdash \text{SubstFormP } v \ i \ (\text{Q-Ex } x)$

**proof** –

**obtain**  $k1::\text{name}$  **and**  $s1::\text{name}$  **and**  $k::\text{name}$  **and**  $s::\text{name}$   
**where**  $\text{atom } s1 \ \# \ (v, i, x, x')$        $\text{atom } k1 \ \# \ (v, i, x, x', s1)$   
 $\text{atom } s \ \# \ (v, i, x, x', k1, s1)$      $\text{atom } k \ \# \ (v, i, x, x', s, k1, s1)$

```

  by (metis obtain-fresh)
  thus ?thesis
    by (force simp: SubstFormP.simps [of s v i Q-Ex x - k] SubstFormP.simps [of
      s1 v i x x' k1]
      intro: SeqSubstFormP-Ex [THEN cut3])
qed

```

## 9.11 Constant Terms

```

lemma ConstP-Zero: {} ⊢ ConstP Zero
  by (auto intro: Sigma-fm-imp-thm [OF CTermP-sf] simp: Const-0 ground-fm-aux-def
    supp-conv-fresh)

lemma SeqConstP-Eats:
  assumes atom s # (k,s1,s2,k1,k2,t1,t2) atom k # (s1,s2,k1,k2,t1,t2)
  shows {SeqConstP s1 k1 t1, SeqConstP s2 k2 t2}
    ⊢ Ex s (Ex k (SeqConstP (Var s) (Var k) (Q-Eats t1 t2)))
theorem ConstP-Eats: {ConstP t1, ConstP t2} ⊢ ConstP (Q-Eats t1 t2)
proof -
  obtain k1::name and s1::name and k2::name and s2::name and k::name and
    s::name
    where atom s1 # (t1,t2) atom k1 # (t1,t2,s1)
      atom s2 # (t1,t2,k1,s1) atom k2 # (t1,t2,s2,k1,s1)
      atom s # (t1,t2,k2,s2,k1,s1) atom k # (t1,t2,s,k2,s2,k1,s1)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: CTermP.simps [of k s (Q-Eats t1 t2)]
      CTermP.simps [of k1 s1 t1] CTermP.simps [of k2 s2 t2]
      intro!: SeqConstP-Eats [THEN cut2])
qed

```

## 9.12 Proofs

```

lemma PrfP-inference:
  assumes atom s # (k,s1,s2,k1,k2,α1,α2,β) atom k # (s1,s2,k1,k2,α1,α2,β)
  shows {PrfP s1 k1 α1, PrfP s2 k2 α2, ModPonP α1 α2 β OR ExistsP α1 β
    OR SubstP α1 β}
    ⊢ Ex k (Ex s (PrfP (Var s) (Var k) β))
corollary PfP-inference: {PfP α1, PfP α2, ModPonP α1 α2 β OR ExistsP α1
  β OR SubstP α1 β} ⊢ PfP β
proof -
  obtain k1::name and s1::name and k2::name and s2::name and k::name and
    s::name
    where atom s1 # (α1,α2,β) atom k1 # (α1,α2,β,s1)
      atom s2 # (α1,α2,β,k1,s1) atom k2 # (α1,α2,β,s2,k1,s1)
      atom s # (α1,α2,β,k2,s2,k1,s1)
      atom k # (α1,α2,β,s,k2,s2,k1,s1)
    by (metis obtain-fresh)

```

```

thus ?thesis
  apply (simp add: PfP.simps [of k s β] PfP.simps [of k1 s1 α1] PfP.simps [of
k2 s2 α2])
    apply (auto intro!: PrfP-inference [of s k Var s1 Var s2, THEN cut3] del:
Disj-EH)
      done
qed

theorem PfP-implies-SubstForm-PfP:
  assumes H ⊢ PfP y H ⊢ SubstFormP x t y z
  shows H ⊢ PfP z
proof -
  obtain u::name and v::name
    where atoms: atom u # (t,x,y,z,v) atom v # (t,x,y,z)
    by (metis obtain-fresh)
  show ?thesis
    apply (rule PfP-inference [of y, THEN cut3])
    apply (rule assms)+
    using atoms
    apply (auto simp: SubstP.simps [of u - - v] intro!: Disj-I2)
    apply (rule Ex-I [where x=x], simp)
    apply (rule Ex-I [where x=t], simp add: assms)
    done
qed

theorem PfP-implies-ModPon-PfP: [H ⊢ PfP (Q-Impl x y); H ⊢ PfP x] ==> H ⊢
PfP y
  by (force intro: PfP-inference [of x, THEN cut3] Disj-I1 simp add: ModPonP-def)

corollary PfP-implies-ModPon-PfP-quot: [H ⊢ PfP «α IMP β»; H ⊢ PfP «α»]
==> H ⊢ PfP «β»
  by (auto simp: quot-fm-def intro: PfP-implies-ModPon-PfP)

end

```

# Kapitel 10

## Pseudo-Coding: Section 7 Material

```
theory Pseudo-Coding
imports II-Prelims
begin
```

### 10.1 General Lemmas

```
lemma Collect-disj-Un: {f i |i. P i ∨ Q i} = {f i |i. P i} ∪ {f i |i. Q i}
by auto
```

```
abbreviation Q-Subset :: tm ⇒ tm ⇒ tm
where Q-Subset t u ≡ (Q-All (Q-Imp (Q-Mem (Q-Ind Zero) t) (Q-Mem (Q-Ind Zero) u)))
```

```
lemma NEQ-quot-tm: i ≠ j ⟹ {} ⊢ «Var i» NEQ «Var j»
by (auto intro: Sigma-fm-imp-thm [OF OrdNotEqP-sf]
simp: ground-fm-aux-def supp-conv-fresh quot-tm-def)
```

```
lemma EQ-quot-tm-Fls: i ≠ j ⟹ insert («Var i» EQ «Var j») H ⊢ Fls
by (metis (full-types) NEQ-quot-tm Assume OrdNotEqP-E cut2 thin0)
```

```
lemma perm-commute: a # p ⟹ a' # p ⟹ (a ⇌ a') + p = p + (a ⇌ a')
by (rule plus-perm-eq) (simp add: supp-swap fresh-def)
```

```
lemma perm-self-inverseI: [| -p = q; a # p; a' # p |] ⟹ - ((a ⇌ a') + p) = (a ⇌ a') + q
by (simp-all add: perm-commute fresh-plus-perm minus-add)
```

```
lemma fresh-image:
fixes f :: 'a ⇒ 'b::fs shows finite A ⟹ i # f ` A ⟷ (∀x∈A. i # f x)
by (induct rule: finite-induct) (auto simp: fresh-finite-insert)
```

```

lemma atom-in-atom-image [simp]: atom j ∈ atom ` V ↔ j ∈ V
  by auto

lemma fresh-star-empty [simp]: {} #* bs
  by (simp add: fresh-star-def)

declare fresh-star-insert [simp]

lemma fresh-star-finite-insert:
  fixes S :: ('a::fs) set shows finite S ==> a #* insert x S ↔ a #* x ∧ a #* S
  by (auto simp: fresh-star-def fresh-finite-insert)

lemma fresh-finite-Diff-single [simp]:
  fixes V :: name set shows finite V ==> a # (V - {j}) ↔ (a # j → a # V)
  apply (auto simp: fresh-finite-insert)
  apply (metis finite-Diff fresh-finite-insert insert-Diff-single)
  apply (metis Diff-iff finite-Diff fresh-atom fresh-atom-at-base fresh-finite-set-at-base
insertI1)
  apply (metis Diff-idemp Diff-insert-absorb finite-Diff fresh-finite-insert insert-Diff-single
insert-absorb)
  done

lemma fresh-image-atom [simp]: finite A ==> i # atom ` A ↔ i # A
  by (induct rule: finite-induct) (auto simp: fresh-finite-insert)

lemma atom-fresh-star-atom-set-conv: [atom i # bs; finite bs] ==> bs #* i
  by (metis fresh-finite-atom-set fresh-ineq-at-base fresh-star-def)

lemma notin-V:
  assumes p: atom i # p and V: finite V atom ` (p ∙ V) #* V
  shows i ∉ V i ∉ p ∙ V
  using V
  apply (auto simp: fresh-def fresh-star-def supp-finite-set-at-base)
  apply (metis p mem-permute-iff fresh-at-base-permI) +
  done

```

## 10.2 Simultaneous Substitution

```

definition ssubst :: tm ⇒ name set ⇒ (name ⇒ tm) ⇒ tm
  where ssubst t V F = Finite-Set.fold (λi. subst i (F i)) t V

```

```

definition make-F :: name set ⇒ perm ⇒ name ⇒ tm
  where make-F Vs p ≡ λi. if i ∈ Vs then Var (p ∙ i) else Var i

```

```

lemma ssubst-empty [simp]: ssubst t {} F = t
  by (simp add: ssubst-def)

```

Renaming a finite set of variables. Based on the theorem *at-set-avoiding*

```

locale quote-perm =

```

```

fixes p :: perm and Vs :: name set and F :: name  $\Rightarrow$  tm
assumes p: atom ` (p  $\cdot$  Vs)  $\sharp*$  Vs
    and pinv:  $-p = p$ 
    and Vs: finite Vs
defines F  $\equiv$  make-F Vs p
begin

lemma F-unfold: F i = (if i  $\in$  Vs then Var (p  $\cdot$  i) else Var i)
  by (simp add: F-def make-F-def)

lemma finite-V [simp]: V  $\subseteq$  Vs  $\Rightarrow$  finite V
  by (metis Vs finite-subset)

lemma perm-exists-Vs: i  $\in$  Vs  $\Rightarrow$  (p  $\cdot$  i)  $\notin$  Vs
  by (metis Vs fresh-finite-set-at-base imageI fresh-star-def mem-permute-iff p)

lemma atom-fresh-perm:  $\llbracket x \in Vs; y \in Vs \rrbracket \Rightarrow$  atom x  $\sharp$  p  $\cdot$  y
  by (metis imageI Vs p fresh-finite-set-at-base fresh-star-def mem-permute-iff fresh-at-base(2))

lemma fresh-pj:  $\llbracket a \sharp p; j \in Vs \rrbracket \Rightarrow a \sharp p \cdot j$ 
  by (metis atom-fresh-perm fresh-at-base(2) fresh-perm fresh-permute-left pinv)

lemma fresh-Vs: a  $\sharp$  p  $\Rightarrow$  a  $\sharp$  Vs
  by (metis Vs fresh-def fresh-perm fresh-permute-iff fresh-star-def p permute-finite
supp-finite-set-at-base)

lemma fresh-pVs: a  $\sharp$  p  $\Rightarrow$  a  $\sharp$  p  $\cdot$  Vs
  by (metis fresh-Vs fresh-perm fresh-permute-left pinv)

lemma assumes V  $\subseteq$  Vs a  $\sharp$  p
  shows fresh-pV [simp]: a  $\sharp$  p  $\cdot$  V and fresh-V [simp]: a  $\sharp$  V
  using fresh-pVs fresh-Vs assms
  apply (auto simp: fresh-def)
  apply (metis (full-types) Vs finite-V permute-finite subsetD subset-Un-eq supp-of-finite-union
union-eqvt)
  by (metis Vs finite-V subsetD subset-Un-eq supp-of-finite-union)

lemma qp-insert:
  fixes i::name and i'::name
  assumes atom i  $\sharp$  p atom i'  $\sharp$  (i,p)
  shows quote-perm ((atom i  $\Rightarrow$  atom i') + p) (insert i Vs)
  using p pinv Vs assms
  by (auto simp: quote-perm-def fresh-at-base-permI atom-fresh-star-atom-set-conv
swap-fresh-fresh
fresh-star-finite-insert fresh-finite-insert perm-self-inverseI)

lemma subst-F-left-commute: subst x (F x) (subst y (F y) t) = subst y (F y) (subst
x (F x) t)
  by (metis subst-tm-commute2 F-unfold subst-tm-id F-unfold atom-fresh-perm

```

```

 $tm.fresh(2))$ 

lemma
  assumes finite  $V$   $i \notin V$ 
  shows ssubst-insert:  $\text{ssubst } t (\text{insert } i V) F = \text{subst } i (F i) (\text{ssubst } t V F)$  (is  $?thesis1$ )
    and ssubst-insert2:  $\text{ssubst } t (\text{insert } i V) F = \text{ssubst } (\text{subst } i (F i) t) V F$  (is  $?thesis2$ )
proof –
  interpret comp-fun-commute  $(\lambda i. \text{subst } i (F i))$ 
  proof qed (simp add: subst-F-left-commute fun-eq-iff)
  show  $?thesis1$  using assms  $Vs$ 
    by (simp add: ssubst-def)
  show  $?thesis2$  using assms  $Vs$ 
    by (simp add: ssubst-def fold-insert2 del: fold-insert)
qed

lemma ssubst-insert-if:
  finite  $V \implies$ 
     $\text{ssubst } t (\text{insert } i V) F = (\text{if } i \in V \text{ then } \text{ssubst } t V F$ 
       $\quad \text{else } \text{subst } i (F i) (\text{ssubst } t V F))$ 
  by (simp add: ssubst-insert insert-absorb)

lemma ssubst-single [simp]:  $\text{ssubst } t \{i\} F = \text{subst } i (F i) t$ 
  by (simp add: ssubst-insert)

lemma ssubst-Var-if [simp]:
  assumes finite  $V$ 
  shows  $\text{ssubst } (\text{Var } i) V F = (\text{if } i \in V \text{ then } F i \text{ else } \text{Var } i)$ 
using assms
  apply (induction  $V$ , auto)
  apply (metis ssubst-insert subst.simps(2))
  apply (metis ssubst-insert2 subst.simps(2))+  

done

lemma ssubst-Zero [simp]:  $\text{finite } V \implies \text{ssubst Zero } V F = \text{Zero}$ 
  by (induct  $V$  rule: finite-induct) (auto simp: ssubst-insert)

lemma ssubst-Eats [simp]:  $\text{finite } V \implies \text{ssubst } (\text{Eats } t u) V F = \text{Eats } (\text{ssubst } t V F) (\text{ssubst } u V F)$ 
  by (induct  $V$  rule: finite-induct) (auto simp: ssubst-insert)

lemma ssubst-SUCC [simp]:  $\text{finite } V \implies \text{ssubst } (\text{SUCC } t) V F = \text{SUCC } (\text{ssubst } t V F)$ 
  by (metis SUCC-def ssubst-Eats)

lemma ssubst-ORD-OF [simp]:  $\text{finite } V \implies \text{ssubst } (\text{ORD-OF } n) V F = \text{ORD-OF } n$ 
  by (induction  $n$ ) auto

```

```

lemma ssubst-HPair [simp]:
  finite V  $\implies$  ssubst (HPair t u) V F = HPair (ssubst t V F) (ssubst u V F)
  by (simp add: HPair-def)

lemma ssubst-HTuple [simp]: finite V  $\implies$  ssubst (HTuple n) V F = (HTuple n)
  by (induction n) (auto simp: HTuple.simps)

lemma ssubst-Subset:
  assumes finite V shows ssubst [t SUBS u] V V F = Q-Subset (ssubst [t] V V F) (ssubst [u] V V F)
  proof –
    obtain i::name where atom i  $\notin$  (t,u)
    by (rule obtain-fresh)
    thus ?thesis using assms
    by (auto simp: Subset.simps [of i] vquot-fm-def vquot-tm-def trans-tm-forget)
  qed

lemma fresh-ssubst:
  assumes finite V a  $\notin$  p  $\cdot$  V a  $\notin$  t
  shows a  $\notin$  ssubst t V F
  using assms
  by (induct V)
    (auto simp: ssubst-insert-if fresh-finite-insert F-unfold intro: fresh-ineq-at-base)

lemma fresh-ssubst':
  assumes finite V atom i  $\notin$  t atom (p  $\cdot$  i)  $\notin$  t
  shows atom i  $\notin$  ssubst t V F
  using assms
  by (induct t rule: tm.induct) (auto simp: F-unfold fresh-permute-left pinv)

lemma ssubst-vquot-Ex:
   $\llbracket$ finite V; atom i  $\notin$  p  $\cdot$  V $\rrbracket$ 
   $\implies$  ssubst [Ex i A](insert i V) (insert i V) F = ssubst [Ex i A] V V F
  by (simp add: ssubst-insert-if insert-absorb vquot-fm-insert fresh-ssubst)

lemma ground-ssubst-eq:  $\llbracket$ finite V; supp t = {} $\rrbracket$   $\implies$  ssubst t V F = t
  by (induct V rule: finite-induct) (auto simp: ssubst-insert fresh-def)

lemma ssubst-quot-tm [simp]:
  fixes t::tm shows finite V  $\implies$  ssubst «t» V F = «t»
  by (simp add: ground-ssubst-eq supp-conv-fresh)

lemma ssubst-quot-fm [simp]:
  fixes A::fm shows finite V  $\implies$  ssubst «A» V F = «A»
  by (simp add: ground-ssubst-eq supp-conv-fresh)

lemma atom-in-p-Vs:  $\llbracket$ i  $\in$  p  $\cdot$  V; V  $\subseteq$  Vs $\rrbracket$   $\implies$  i  $\in$  p  $\cdot$  Vs
  by (metis (full-types) True-eqvt subsetD subset-eqvt)

```

### 10.3 The Main Theorems of Section 7

```

lemma SubstTermP-vquot-dbtm:
assumes w:  $w \in Vs - V$  and  $V: V \subseteq Vs$   $V' = p \cdot V$ 
and s:  $\text{supp } dbtm \subseteq \text{atom} ` Vs$ 
shows
insert (ConstP (F w)) {ConstP (F i) | i. i ∈ V}
  ⊢ SubstTermP «Var w» (F w)
    (ssubst (vquot-dbtm V dbtm) V F)
    (subst w (F w) (ssubst (vquot-dbtm (insert w V) dbtm) V F))
using s
proof (induct dbtm rule: dbtm.induct)
  case DBZero thus ?case using V w
    by (auto intro: SubstTermP-Zero [THEN cut1] ConstP-imp-TermP [THEN
cut1])
  next
  case (DBInd n) thus ?case using V
    apply auto
    apply (rule thin [of {ConstP (F w)}])
    apply (rule SubstTermP-Ind [THEN cut3])
    apply (auto simp: IndP-Q-Ind OrdP-ORD-OF ConstP-imp-TermP)
    done
  next
  case (DBVar i) show ?case
    proof (cases i ∈ V')
      case True hence i ∉ Vs using assms
        by (metis p Vs atom-in-atom-image atom-in-p-Vs fresh-finite-set-at-base
fresh-star-def)
      thus ?thesis using DBVar True V
        by auto
    next
    case False thus ?thesis using DBVar V w
      apply (auto simp: quot-Var [symmetric])
      apply (blast intro: thin [of {ConstP (F w)}] ConstP-imp-TermP
SubstTermP-Var-same [THEN cut2])
      apply (subst forget-subst-tm, metis F-unfold atom-fresh-perm tm.fresh(2))
      apply (blast intro: Hyp thin [of {ConstP (F w)}] ConstP-imp-TermP
SubstTermP-Const [THEN cut2])
      apply (blast intro: Hyp thin [of {ConstP (F w)}] ConstP-imp-TermP
EQ-quot-tm-Fls
SubstTermP-Var-diff [THEN cut4])
      done
    qed
  next
  case (DBEats tm1 tm2) thus ?case using V
    by (auto simp: SubstTermP-Eats [THEN cut2])
qed

```

lemma SubstFormP-vquot-dbfm:

```

assumes w:  $w \in Vs - V$  and V:  $V \subseteq Vs$   $V' = p \cdot V$ 
      and s:  $\text{supp } dbfm \subseteq \text{atom} ` Vs$ 
shows
insert (ConstP (F w)) {ConstP (F i) | i. i ∈ V}
  ⊢ SubstFormP «Var w» (F w)
    (ssubst (vquot-dbfm V dbfm) V F)
    (subst w (F w) (ssubst (vquot-dbfm (insert w V) dbfm) V F))
using w s
proof (induct dbfm rule: dbfm.induct)
  case (DBMem t u) thus ?case using V
    by (auto intro: SubstTermP-vquot-dbtm SubstFormP-Mem [THEN cut2])
next
  case (DBEq t u) thus ?case using V
    by (auto intro: SubstTermP-vquot-dbtm SubstFormP-Eq [THEN cut2])
next
  case (DBDisj A B) thus ?case using V
    by (auto intro: SubstFormP-Disj [THEN cut2])
next
  case (DBNeg A) thus ?case using V
    by (auto intro: SubstFormP-Neg [THEN cut1])
next
  case (DBEx A) thus ?case using V
    by (auto intro: SubstFormP-Ex [THEN cut1])
qed

```

Lemmas 7.5 and 7.6

```

lemma ssubst-SubstFormP:
  fixes A::fm
  assumes w:  $w \in Vs - V$  and V:  $V \subseteq Vs$   $V' = p \cdot V$ 
      and s:  $\text{supp } A \subseteq \text{atom} ` Vs$ 
  shows
    insert (ConstP (F w)) {ConstP (F i) | i. i ∈ V}
    ⊢ SubstFormP «Var w» (F w)
      (ssubst [A] V V F)
      (ssubst [A](insert w V) (insert w V) F)
proof -
  have w ∉ V using assms
    by auto
  thus ?thesis using assms
    by (simp add: vquot-fm-def supp-conv-fresh ssubst-insert-if SubstFormP-vquot-dbfm)
qed

```

Theorem 7.3

```

theorem PfP-implies-PfP(ssubst):
  fixes β::fm
  assumes β: {} ⊢ PfP «β»
    and V:  $V \subseteq Vs$ 
    and s:  $\text{supp } \beta \subseteq \text{atom} ` Vs$ 
  shows {ConstP (F i) | i. i ∈ V} ⊢ PfP (ssubst [β] V V F)

```

```

proof -
  show ?thesis using finite-V [OF V] V
  proof induction
    case empty thus ?case
      by (auto simp: β)
    next
      case (insert i V)
      thus ?case using assms
        by (auto simp: Collect-disj-Un fresh-finite-set-at-base
          intro: PfP-implies-SubstForm-PfP thin1 ssubst-SubstFormP)
    qed
  qed

end

end

```

# Kapitel 11

## Quotations of the Free Variables

```
theory Quote
imports Pseudo-Coding
begin
```

### 11.1 Sequence version of the “Special p-Function, F\*”

The definition below describes a relation, not a function. This material relates to Section 8, but omits the ordering of the universe.

```
definition SeqQuote :: hf ⇒ hf ⇒ hf ⇒ hf ⇒ bool
where SeqQuote x x' s k ≡
  BuildSeq2 (λy y'. y=0 ∧ y' = 0)
    (λu u' v v' w w'. u = v ⋷ w ∧ u' = q-Eats v' w') s k x x'
```

#### 11.1.1 Defining the syntax: quantified body

```
nominal-function SeqQuoteP :: tm ⇒ tm ⇒ tm ⇒ tm ⇒ fm
where [[atom l #: (s,k,sl,sl',m,n,sm,sm',sn,sn');  

         atom sl #: (s,sl',m,n,sm,sm',sn,sn'); atom sl' #: (s,m,n,sm,sm',sn,sn');  

         atom m #: (s,n,sm,sm',sn,sn'); atom n #: (s,sm,sm',sn,sn');  

         atom sm #: (s,sm',sn,sn'); atom sm' #: (s,sn,sn');  

         atom sn #: (s,sn'); atom sn' #: s]] ==>  

SeqQuoteP t u s k =  

  LstSeqP s k (HPair t u) AND  

  All2 l (SUCC k) (Ex sl (Ex sl' (HPair (Var l) (HPair (Var sl) (Var sl')) IN  

  s AND  

  ((Var sl EQ Zero AND Var sl' EQ Zero) OR  

   Ex m (Ex n (Ex sm (Ex sm' (Ex sn (Ex sn' (Var m IN Var l AND  

  Var n IN Var l AND  

  HPair (Var m) (HPair (Var sm) (Var sm'))) IN s AND
```

$HPair(Var n)(HPair(Var sn)(Var sn')) IN s AND$   
 $Var sl EQ Eats(Var sm)(Var sn) AND$   
 $Var sl' EQ Q-Eats(Var sm')(Var sn'))))))))))$   
**by** (auto simp: eqvt-def SeqQuoteP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)  
**by** lexicographic-order

**lemma**

shows SeqQuoteP-fresh-iff [simp]:

$a \# SeqQuoteP t u s k \longleftrightarrow a \# t \wedge a \# u \wedge a \# s \wedge a \# k$  (**is** ?thesis1)

**and** eval-fm-SqSeqP [simp]:

$eval-fm e (SeqQuoteP t u s k) \longleftrightarrow SeqQuote [t]e [u]e [s]e [k]e$  (**is** ?thesis2)

**and** SeqQuoteP-sf [iff]:

$Sigma-fm (SeqQuoteP t u s k)$  (**is** ?thsf)

**and** SeqQuoteP-imp-OrdP:

{ SeqQuoteP t u s k }  $\vdash OrdP k$  (**is** ?thord)

**and** SeqQuoteP-imp-LstSeqP:

{ SeqQuoteP t u s k }  $\vdash LstSeqP s k (HPair t u)$  (**is** ?thlstseq)

**proof** –

obtain l::name and sl::name and sl'::name and m::name and n::name and  
sm::name and sm'::name and sn::name and sn'::name

where atoms:

atom l # (s,k,sl,sl',m,n,sm,sm',sn,sn')

atom sl # (s,sl',m,n,sm,sm',sn,sn') atom sl' # (s,m,n,sm,sm',sn,sn')

atom m # (s,n,sm,sm',sn,sn') atom n # (s,sm,sm',sn,sn')

atom sm # (s,sm',sn,sn') atom sm' # (s,sn,sn')

atom sn # (s,sn') atom sn' # s

**by** (metis obtain-fresh)

**thus** ?thesis1 ?thsf ?thord ?thlstseq

**by** auto (auto simp: LstSeqP.simps)

**show** ?thesis2 **using** atoms

**by** (force simp add: LstSeq-imp-Ord SeqQuote-def

BuildSeq2-def BuildSeq-def Builds-def HBall-def q-Eats-def

Seq-iff-app [of [s]e, OF LstSeq-imp-Seq-succ]

Ord-trans [of - - succ [k]e]

cong: conj-cong)

**qed**

**lemma** SeqQuoteP-subst [simp]:

$(SeqQuoteP t u s k)(j:=w) =$

$SeqQuoteP (subst j w t) (subst j w u) (subst j w s) (subst j w k)$

**proof** –

obtain l::name and sl::name and sl'::name and m::name and n::name and

sm::name and sm'::name and sn::name and sn'::name

where atom l # (s,k,w,j,sl,sl',m,n,sm,sm',sn,sn')

atom sl # (s,w,j,sl',m,n,sm,sm',sn,sn') atom sl' # (s,w,j,m,n,sm,sm',sn,sn')

atom m # (s,w,j,n,sm,sm',sn,sn') atom n # (s,w,j,sm,sm',sn,sn')

atom sm # (s,w,j,sm',sn,sn') atom sm' # (s,w,j,sn,sn')

```

atom sn # (s,w,j,sn') atom sn' # (s,w,j)
by (metis obtain-fresh)
thus ?thesis
  by (force simp add: SeqQuoteP.simps [of l - - sl sl' m n sm sm' sn sn'])
qed

```

```
declare SeqQuoteP.simps [simp del]
```

### 11.1.2 Correctness properties

**lemma** SeqQuoteP-lemma:

```

fixes m::name and sm::name and sm'::name and n::name and sn::name and
sn'::name
assumes atom m # (t,u,s,k,n,sm,sm',sn,sn') atom n # (t,u,s,k,sm,sm',sn,sn')
atom sm # (t,u,s,k,sm',sn,sn') atom sm' # (t,u,s,k,sn,sn')
atom sn # (t,u,s,k,sn') atom sn' # (t,u,s,k)
shows { SeqQuoteP t u s k }
  ⊢ (t EQ Zero AND u EQ Zero) OR
  Ex m (Ex n (Ex sm (Ex sm' (Ex sn (Ex sn' (Var m IN k AND Var n
IN k AND
  SeqQuoteP (Var sm) (Var sm') s (Var m) AND
  SeqQuoteP (Var sn) (Var sn') s (Var n) AND
  t EQ Eats (Var sm) (Var sn) AND
  u EQ Q-Eats (Var sm') (Var sn')))))))

```

**proof** –

```

obtain l::name and sl::name and sl'::name
  where atom l # (t,u,s,k,sl,sl',m,n,sm,sm',sn,sn')
        atom sl # (t,u,s,k,sl',m,n,sm,sm',sn,sn')
        atom sl' # (t,u,s,k,m,n,sm,sm',sn,sn')
  by (metis obtain-fresh)
thus ?thesis using assms
  apply (simp add: SeqQuoteP.simps [of l s k sl sl' m n sm sm' sn sn'])
  apply (rule Conj-EH Ex-EH All2-SUCC-E [THEN rotate2] | simp)+
  apply (rule cut-same [where A = HPair t u EQ HPair (Var sl) (Var sl')])+
  apply (metis Assume AssumeH(4) LstSeqP-EQ)
  apply clarify
  apply (rule Disj-EH)
  apply (rule Disj-I1)
  apply (rule anti-deduction)
  apply (rule Var-Eq-subst-Iff [THEN Sym-L, THEN Iff-MP-same])
  apply (rule rotate2)
  apply (rule Var-Eq-subst-Iff [THEN Sym-L, THEN Iff-MP-same], force)
  — now the quantified case
  apply (rule Ex-EH Conj-EH)+
  apply simp-all
  apply (rule Disj-I2)
  apply (rule Ex-I [where x = Var m], simp)
  apply (rule Ex-I [where x = Var n], simp)
  apply (rule Ex-I [where x = Var sm], simp)

```

```

apply (rule Ex-I [where  $x = \text{Var } sm$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sn$ ], simp)
apply (rule Ex-I [where  $x = \text{Var } sn'$ ], simp)
apply (simp-all add: SeqQuoteP.simps [of  $l s - sl sl' m n sm sm' sn sn'$ ])
apply ((rule Conj-I)+, blast intro: LstSeqP-Mem)+
— first SeqQuoteP subgoal
apply (rule All2-Subset [OF Hyp])
apply (blast intro!: SUCC-Subset-Ord LstSeqP-OrdP)+
apply simp
— next SeqQuoteP subgoal
apply ((rule Conj-I)+, blast intro: LstSeqP-Mem)+
apply (rule All2-Subset [OF Hyp], blast)
apply (auto intro!: SUCC-Subset-Ord LstSeqP-OrdP intro: Trans)
done
qed

```

## 11.2 The “special function” itself

**definition**  $\text{Quote} :: hf \Rightarrow hf \Rightarrow \text{bool}$   
**where**  $\text{Quote } x x' \equiv \exists s k. \text{SeqQuote } x x' s k$

### 11.2.1 Defining the syntax

**nominal-function**  $\text{QuoteP} :: tm \Rightarrow tm \Rightarrow fm$   
**where**  $\llbracket \text{atom } s \# (t, u, k); \text{atom } k \# (t, u) \rrbracket \implies$   
 $\text{QuoteP } t u = \text{Ex } s (\text{Ex } k (\text{SeqQuoteP } t u (\text{Var } s) (\text{Var } k)))$   
**by** (auto simp: eqvt-def QuoteP-graph-aux-def flip-fresh-fresh) (metis obtain-fresh)

**nominal-termination** (eqvt)  
**by** lexicographic-order

**lemma**  
**shows**  $\text{QuoteP-fresh-iff}$  [simp]:  $a \# \text{QuoteP } t u \longleftrightarrow a \# t \wedge a \# u$  (**is** ?thesis1)  
**and**  $\text{eval-fm-QuoteP}$  [simp]:  $\text{eval-fm } e (\text{QuoteP } t u) \longleftrightarrow \text{Quote } \llbracket t \rrbracket e \llbracket u \rrbracket e$  (**is** ?thesis2)  
**and**  $\text{QuoteP-sf}$  [iff]:  $\text{Sigma-fm } (\text{QuoteP } t u)$  (**is** ?thsf)  
**proof** –  
**obtain**  $s::\text{name}$  **and**  $k::\text{name}$  **where**  $\text{atom } s \# (t, u, k)$   $\text{atom } k \# (t, u)$   
**by** (metis obtain-fresh)  
**thus** ?thesis1 ?thesis2 ?thsf  
**by** (auto simp: Quote-def)  
**qed**

**lemma**  $\text{QuoteP-subst}$  [simp]:  
 $(\text{QuoteP } t u)(j:=w) = \text{QuoteP } (\text{subst } j w t) (\text{subst } j w u)$   
**proof** –  
**obtain**  $s::\text{name}$  **and**  $k::\text{name}$  **where**  $\text{atom } s \# (t, u, w, j, k)$   $\text{atom } k \# (t, u, w, j)$   
**by** (metis obtain-fresh)

```

thus ?thesis
  by (simp add: QuoteP.simps [of s - - k])
qed

```

```
declare QuoteP.simps [simp del]
```

### 11.2.2 Correctness properties

```

lemma Quote-0: Quote 0 0
  by (auto simp: Quote-def SeqQuote-def intro: BuildSeq2-exI)

```

```

lemma QuoteP-Zero: {} ⊢ QuoteP Zero Zero
  by (auto intro: Sigma-fm-imp-thm [OF QuoteP-sf]
    simp: ground-fm-aux-def supp-conv-fresh Quote-0)

```

```

lemma SeqQuoteP-Eats:
  assumes atom s # (k,s1,s2,k1,k2,t1,t2,u1,u2) atom k # (s1,s2,k1,k2,t1,t2,u1,u2)
  shows {SeqQuoteP t1 u1 s1 k1, SeqQuoteP t2 u2 s2 k2} ⊢
    Ex s (Ex k (SeqQuoteP (Eats t1 t2) (Q-Eats u1 u2) (Var s) (Var k)))

```

**proof –**

```

  obtain km::name and kn::name and j::name and k'::name and l::name
  and sl::name and sl'::name and m::name and n::name and sm::name
  and sm'::name and sn::name and sn'::name
  where atoms2:

```

```

    atom km # (kn,j,k',l,s1,s2,s,k1,k2,k,t1,t2,u1,u2,sl,sl',m,n,sm,sm',sn,sn')
    atom kn # (j,k',l,s1,s2,s,k1,k2,k,t1,t2,u1,u2,sl,sl',m,n,sm,sm',sn,sn')
    atom j # (k',l,s1,s2,s,k1,k2,k,t1,t2,u1,u2,sl,sl',m,n,sm,sm',sn,sn')
    and atoms: atom k' # (l,s1,s2,s,k1,k2,k,t1,t2,u1,u2,sl,sl',m,n,sm,sm',sn,sn')
    atom l # (s1,s2,s,k1,k2,k,t1,t2,u1,u2,sl,sl',m,n,sm,sm',sn,sn')
    atom sl # (s1,s2,s,k1,k2,k,t1,t2,u1,u2,sl,sl',m,n,sm,sm',sn,sn')
    atom sl' # (s1,s2,s,k1,k2,k,t1,t2,u1,u2,m,n,sm,sm',sn,sn')
    atom m # (s1,s2,s,k1,k2,k,t1,t2,u1,u2,n,sm,sm',sn,sn')
    atom n # (s1,s2,s,k1,k2,k,t1,t2,u1,u2,sm,sm',sn,sn')
    atom sm # (s1,s2,s,k1,k2,k,t1,t2,u1,u2,sm,sm',sn,sn')
    atom sm' # (s1,s2,s,k1,k2,k,t1,t2,u1,u2,sn,sn')
    atom sn # (s1,s2,s,k1,k2,k,t1,t2,u1,u2,sn,sn')
    atom sn' # (s1,s2,s,k1,k2,k,t1,t2,u1,u2)
  
```

```
  by (metis obtain-fresh)
```

**show** ?thesis

```
  using assms atoms
```

```
  apply (auto simp: SeqQuoteP.simps [of l Var s - sl sl' m n sm sm' sn sn'])
```

```
  apply (rule cut-same [where A=OrdP k1 AND OrdP k2])
```

```
  apply (metis Conj-I SeqQuoteP-imp-OrdP thin1 thin2)
```

```
  apply (rule cut-same [OF exists-SeqAppendP [of s s1 SUCC k1 s2 SUCC k2]])
```

```
  apply (rule AssumeH Ex-EH Conj-EH | simp)+
```

```
  apply (rule cut-same [OF exists-HaddP [where j=k' and x=k1 and y=k2]])
```

```
  apply (rule AssumeH Ex-EH Conj-EH | simp)+
```

```
  apply (rule Ex-I [where x=Eats (Var s) (HPair (SUCC (SUCC (Var k'))))
(HPair (Eats t1 t2) (Q-Eats u1 u2)))]))
```

```

apply (simp-all (no-asm-simp))
apply (rule Ex-I [where x=SUCC (SUCC (Var k'))])
apply simp
apply (rule Conj-I [OF LstSeqP-SeqAppendP-Eats])
apply (blast intro: SeqQuoteP-imp-LstSeqP [THEN cut1])+
proof (rule All2-SUCC-I, simp-all)
  show {HaddP k1 k2 (Var k'), OrdP k1, OrdP k2, SeqAppendP s1 (SUCC
k1) s2 (SUCC k2) (Var s),
      SeqQuoteP t1 u1 s1 k1, SeqQuoteP t2 u2 s2 k2}
    ‐ Ex sl (Ex sl'
      (HPair (SUCC (SUCC (Var k'))) (HPair (Var sl) (Var sl')) IN
       Eats (Var s) (HPair (SUCC (SUCC (Var k'))) (HPair (Eats t1 t2)
(Q-Eats u1 u2))) AND
       (Var sl EQ Zero AND Var sl' EQ Zero OR
        Ex m (Ex n (Ex sm (Ex sm' (Ex sn (Ex sn'
          (Var m IN SUCC (SUCC (Var k')) AND
          Var n IN SUCC (SUCC (Var k')) AND
          HPair (Var m) (HPair (Var sm) (Var sm')) IN
          Eats (Var s) (HPair (SUCC (SUCC (Var k'))) (HPair (Eats t1
t2) (Q-Eats u1 u2))) AND
          HPair (Var n) (HPair (Var sn) (Var sn')) IN
          Eats (Var s) (HPair (SUCC (SUCC (Var k'))) (HPair (Eats t1
t2) (Q-Eats u1 u2))) AND
          Var sl EQ Eats (Var sm) (Var sn) AND Var sl' EQ Q-Eats (Var
sm') (Var sn')))))))))
    — verifying the final values
  apply (rule Ex-I [where x=Eats t1 t2])
  using assms atoms apply simp
  apply (rule Ex-I [where x=Q-Eats u1 u2], simp)
  apply (rule Conj-I [OF Mem-Eats-I2 [OF Refl]])
  apply (rule Disj-I2)
  apply (rule Ex-I [where x=k1], simp)
  apply (rule Ex-I [where x=SUCC (Var k')], simp)
  apply (rule Ex-I [where x=t1], simp)
  apply (rule Ex-I [where x=u1], simp)
  apply (rule Ex-I [where x=t2], simp)
  apply (rule Ex-I [where x=u2], simp)
  apply (rule Conj-I)
  apply (blast intro: HaddP-Mem-I Mem-SUCC-I1)
  apply (rule Conj-I [OF Mem-SUCC-Refl])
  apply (rule Conj-I)
  apply (blast intro: Mem-Eats-I1 SeqAppendP-Mem1 [THEN cut3] Mem-SUCC-Refl
SeqQuoteP-imp-LstSeqP [THEN cut1] LstSeqP-imp-Mem)
  apply (blast intro: Mem-Eats-I1 SeqAppendP-Mem2 [THEN cut4] Mem-SUCC-Refl
SeqQuoteP-imp-LstSeqP [THEN cut1] LstSeqP-imp-Mem HaddP-SUCC1
[THEN cut1])
done

```

```

next
  show {HaddP k1 k2 (Var k'), OrdP k1, OrdP k2, SeqAppendP s1 (SUCC k1) s2 (SUCC k2) (Var s),
         SeqQuoteP t1 u1 s1 k1, SeqQuoteP t2 u2 s2 k2}
   $\vdash \text{All2 } l (\text{SUCC} (\text{SUCC} (\text{Var } k')))$ 
   $(\text{Ex } sl (\text{Ex } sl' (\text{HPair} (\text{Var } l) (\text{HPair} (\text{Var } sl) (\text{Var } sl')) \text{ IN}$ 
    $\text{Eats} (\text{Var } s) (\text{HPair} (\text{SUCC} (\text{SUCC} (\text{Var } k')))) (\text{HPair} (\text{Eats} t1$ 
    $t2) (\text{Q-Eats } u1 u2))) \text{ AND}$ 
    $(\text{Var } sl \text{ EQ Zero AND Var } sl' \text{ EQ Zero OR}$ 
     $\text{Ex } m (\text{Ex } n (\text{Ex } sm (\text{Ex } sm' (\text{Ex } sn (\text{Ex } sn'$ 
      $(\text{Var } m \text{ IN Var } l \text{ AND}$ 
       $\text{Var } n \text{ IN Var } l \text{ AND}$ 
        $\text{HPair} (\text{Var } m) (\text{HPair} (\text{Var } sm) (\text{Var } sm')) \text{ IN}$ 
         $\text{Eats} (\text{Var } s) (\text{HPair} (\text{SUCC} (\text{SUCC} (\text{Var } k')))) (\text{HPair} (\text{Eats} t1$ 
         $t2) (\text{Q-Eats } u1 u2))) \text{ AND}$ 
          $\text{HPair} (\text{Var } n) (\text{HPair} (\text{Var } sn) (\text{Var } sn')) \text{ IN}$ 
           $\text{Eats} (\text{Var } s) (\text{HPair} (\text{SUCC} (\text{SUCC} (\text{Var } k')))) (\text{HPair} (\text{Eats} t1$ 
           $t2) (\text{Q-Eats } u1 u2))) \text{ AND}$ 
            $\text{Var } sl \text{ EQ Eats } (\text{Var } sm) (\text{Var } sn) \text{ AND Var } sl' \text{ EQ Q-Eats } (\text{Var }$ 
             $sm') (\text{Var } sn')))))))))$ 
  — verifying the sequence buildup
  apply (rule cut-same [where A=HaddP (SUCC k1) (SUCC k2) (SUCC (SUCC (Var k')))])
  apply (blast intro: HaddP-SUCC1 [THEN cut1] HaddP-SUCC2 [THEN cut1])
  apply (rule All-I Imp-I)+
  apply (rule HaddP-Mem-cases [where i=j])
  using assms atoms atoms2 apply simp-all
  apply (rule AssumeH)
  apply (blast intro: OrdP-SUCC-I)
  — ... the sequence buildup via s1
  apply (simp add: SeqQuoteP.simps [of l s1 - sl sl' m n sm sm' sn sn'])
  apply (rule AssumeH Ex-EH Conj-EH)+
  apply (rule All2-E [THEN rotate2])
  apply (simp | rule AssumeH Ex-EH Conj-EH)+
  apply (rule Ex-I [where x=Var sl], simp)
  apply (rule Ex-I [where x=Var sl'], simp)
  apply (rule Conj-I)
  apply (rule Mem-Eats-I1)
  apply (metis SeqAppendP-Mem1 rotate3 thin2 thin4)
  apply (rule AssumeH Disj-IE1H Ex-EH Conj-EH)+
  apply (rule Ex-I [where x=Var m], simp)
  apply (rule Ex-I [where x=Var n], simp)
  apply (rule Ex-I [where x=Var sm], simp)
  apply (rule Ex-I [where x=Var sm'], simp)
  apply (rule Ex-I [where x=Var sn], simp)
  apply (rule Ex-I [where x=Var sn'], simp-all)
  apply (rule Conj-I, rule AssumeH)+
  apply (blast intro: OrdP-Trans [OF OrdP-SUCC-I] Mem-Eats-I1 [OF SeqAp-
```

```

pendP-Mem1 [THEN cut3]] Hyp)
— ... the sequence buildup via s2
apply (simp add: SeqQuoteP.simps [of l s2 - sl sl' m n sm sm' sn sn'])
apply (rule AssumeH Ex-EH Conj-EH)+
apply (rule All2-E [THEN rotate2])
apply (simp | rule AssumeH Ex-EH Conj-EH)+
apply (rule Ex-I [where x=Var sl], simp)
apply (rule Ex-I [where x=Var sl'], simp)
apply (rule cut-same [where A=OrdP (Var j)])
apply (metis HaddP-imp-OrdP rotate2 thin2)
apply (rule Conj-I)
apply (blast intro: Mem-Eats-I1 SeqAppendP-Mem2 [THEN cut4] del: Disj-EH)
apply (rule AssumeH Disj-IE1H Ex-EH Conj-EH)+
apply (rule cut-same [OF exists-HaddP [where j=km and x=SUCC k1 and
y=Var m]])
apply (blast intro: Ord-IN-Ord, simp)
apply (rule cut-same [OF exists-HaddP [where j=kn and x=SUCC k1 and
y=Var n]])
apply (metis AssumeH(6) Ord-IN-Ord0 rotate8, simp)
apply (rule AssumeH Ex-EH Conj-EH | simp)+
apply (rule Ex-I [where x=Var km], simp)
apply (rule Ex-I [where x=Var kn], simp)
apply (rule Ex-I [where x=Var sm], simp)
apply (rule Ex-I [where x=Var sm'], simp)
apply (rule Ex-I [where x=Var sn], simp)
apply (rule Ex-I [where x=Var sn'], simp-all)
apply (rule Conj-I [OF - Conj-I])
apply (blast intro: Hyp OrdP-SUCC-I HaddP-Mem-cancel-left [THEN Iff-MP2-same])
apply (blast intro: Hyp OrdP-SUCC-I HaddP-Mem-cancel-left [THEN Iff-MP2-same])
apply (blast intro: Hyp Mem-Eats-I1 SeqAppendP-Mem2 [THEN cut4] OrdP-Trans
HaddP-imp-OrdP [THEN cut1])
done
qed
qed

```

```

lemma QuoteP-Eats: {QuoteP t1 u1, QuoteP t2 u2} ⊢ QuoteP (Eats t1 t2)
(Q-Eats u1 u2)
proof -
  obtain k1::name and s1::name and k2::name and s2::name and k::name and
s::name
    where atom s1 # (t1,u1,t2,u2)           atom k1 # (t1,u1,t2,u2,s1)
          atom s2 # (t1,u1,t2,u2,k1,s1)     atom k2 # (t1,u1,t2,u2,s2,k1,s1)
          atom s # (t1,u1,t2,u2,k2,s2,k1,s1) atom k # (t1,u1,t2,u2,s,k2,s2,k1,s1)
    by (metis obtain-fresh)
  thus ?thesis
    by (auto simp: QuoteP.simps [of s - (Q-Eats u1 u2) k]
                  QuoteP.simps [of s1 t1 u1 k1] QuoteP.simps [of s2 t2 u2 k2]
                  intro!: SeqQuoteP-Eats [THEN cut2])

```

qed

**lemma** *exists-QuoteP*:  
**assumes**  $j: \text{atom } j \# x$  **shows**  $\{\} \vdash \text{Ex } j (\text{QuoteP } x (\text{Var } j))$   
**proof** –  
**obtain**  $i::\text{name}$  **and**  $j'::\text{name}$  **and**  $k::\text{name}$   
**where**  $\text{atoms}: \text{atom } i \# (j,x) \quad \text{atom } j' \# (i,j,x) \quad \text{atom } (k::\text{name}) \# (i,j,j',x)$   
**by** (*metis obtain-fresh*)  
**have**  $\{\} \vdash \text{Ex } j (\text{QuoteP } (\text{Var } i) (\text{Var } j))$  (**is**  $\{\} \vdash ?\text{scheme}$ )  
**proof** (*rule Ind [of k]*)  
**show**  $\text{atom } k \# (i, ?\text{scheme})$  **using**  $\text{atoms}$   
**by** *simp*  
**next**  
**show**  $\{\} \vdash ?\text{scheme}(i ::= \text{Zero})$  **using**  $j \text{ atoms}$   
**by** (*auto intro: Ex-I [where x=Zero] simp add: QuoteP-Zero*)  
**next**  
**show**  $\{\} \vdash \text{All } i (\text{All } k (? \text{scheme IMP } ? \text{scheme}(i ::= \text{Var } k) \text{ IMP } ? \text{scheme}(i ::= \text{Eats} (\text{Var } i) (\text{Var } k)))$   
**apply** (*rule All-I Imp-I*)  
**using**  $\text{atoms assms}$   
**apply** *simp-all*  
**apply** (*rule Ex-E*)  
**apply** (*rule Ex-E-with-renaming [where i'=j', THEN rotate2], auto*)  
**apply** (*rule Ex-I [where x= Q-Eats (Var j') (Var j)], auto intro: QuoteP-Eats*)  
**done**  
**qed**  
**hence**  $\{\} \vdash (\text{Ex } j (\text{QuoteP } (\text{Var } i) (\text{Var } j))) (i ::= x)$   
**by** (*rule Subst*) *auto*  
**thus** *?thesis*  
**using**  $\text{atoms } j$  **by** *auto*  
**qed**

**lemma** *QuoteP-imp-ConstP*:  $\{ \text{QuoteP } x y \} \vdash \text{ConstP } y$   
**proof** –  
**obtain**  $j::\text{name}$  **and**  $j'::\text{name}$  **and**  $l::\text{name}$  **and**  $s::\text{name}$  **and**  $k::\text{name}$   
**and**  $m::\text{name}$  **and**  $n::\text{name}$  **and**  $sm::\text{name}$  **and**  $sn::\text{name}$  **and**  $sm'::\text{name}$  **and**  
 $sn'::\text{name}$   
**where**  $\text{atoms}: \text{atom } j \# (x,y,s,k,j',l,m,n,sm,sm',sn,sn')$   
 $\text{atom } j' \# (x,y,s,k,l,m,n,sm,sm',sn,sn')$   
 $\text{atom } l \# (s,k,m,n,sm,sm',sn,sn')$   
 $\text{atom } m \# (s,k,n,sm,sm',sn,sn')$   $\text{atom } n \# (s,k,sm,sm',sn,sn')$   
 $\text{atom } sm \# (s,k,sm',sn,sn')$   $\text{atom } sm' \# (s,k,sn,sn')$   
 $\text{atom } sn \# (s,k,sn')$   $\text{atom } sn' \# (s,k)$   $\text{atom } s \# (k,x,y)$   $\text{atom } k \# (x,y)$   
**by** (*metis obtain-fresh*)  
**have**  $\{\text{OrdP } (\text{Var } k)\}$   
 $\vdash \text{All } j (\text{All } j' (\text{SeqQuoteP } (\text{Var } j) (\text{Var } j') (\text{Var } s) (\text{Var } k) \text{ IMP } \text{ConstP} (\text{Var } j')))$   
**(is**  $- \vdash ?\text{scheme}$   
**proof** (*rule OrdIndH [where j=l]*)

```

show atom l # (k, ?scheme) using atoms
  by simp
next
  show {} ⊢ All k (OrdP (Var k) IMP (All2 l (Var k) (?scheme(k::= Var l))
IMP ?scheme))
    apply (rule All-I Imp-I)+  

    using atoms
    apply (simp-all add: fresh-at-base fresh-finite-set-at-base)
    — freshness finally proved!
    apply (rule cut-same)
    apply (rule cut1 [OF SeqQuoteP-lemma [of m Var j Var j' Var s Var k n
sm sm' sn sn']], simp-all, blast)
    apply (rule Imp-I Disj-EH Conj-EH)+  

    — case 1, Var j EQ Zero
    apply (rule thin1)
    apply (rule Var-Eq-subst-Iff [THEN Iff-MP-same], simp)
    apply (metis thin0 ConstP-Zero)
    — case 2, Var j EQ Eats (Var sm) (Var sn)
    apply (rule Imp-I Conj-EH Ex-EH)+  

    apply simp-all
    apply (rule Var-Eq-subst-Iff [THEN Iff-MP-same, THEN rotate2], simp)
    apply (rule ConstP-Eats [THEN cut2])
    — Operand 1. IH for sm
    apply (rule All2-E [where x=Var m, THEN rotate8], auto)
    apply (rule All-E [where x=Var sm], simp)
    apply (rule All-E [where x=Var sm'], auto)
    — Operand 2. IH for sm
    apply (rule All2-E [where x=Var n, THEN rotate8], auto)
    apply (rule All-E [where x=Var sn], simp)
    apply (rule All-E [where x=Var sn'], auto)
    done
qed
hence { OrdP(Var k) }
  ⊢ (All j' (SeqQuoteP (Var j) (Var j') (Var s) (Var k) IMP ConstP (Var
j'))) (j::=x)
  by (metis All-D)
hence { OrdP(Var k) } ⊢ All j' (SeqQuoteP x (Var j') (Var s) (Var k) IMP ConstP
(Var j'))
  using atoms by simp
hence { OrdP(Var k) } ⊢ (SeqQuoteP x (Var j') (Var s) (Var k) IMP ConstP
(Var j')) (j'::=y)
  by (metis All-D)
hence { OrdP(Var k) } ⊢ SeqQuoteP x y (Var s) (Var k) IMP ConstP y
  using atoms by simp
hence { SeqQuoteP x y (Var s) (Var k) } ⊢ ConstP y
  by (metis Imp-cut SeqQuoteP-imp-OrdP anti-deduction)
thus { QuoteP x y } ⊢ ConstP y using atoms
  by (auto simp: QuoteP.simps [of s - - k])
qed

```

```

lemma SeqQuoteP-imp-QuoteP: {SeqQuoteP t u s k} ⊢ QuoteP t u
proof –
  obtain s'::name and k'::name where atom s' # (k',t,u,s,k) atom k' # (t,u,s,k)
    by (metis obtain-fresh)
  thus ?thesis
    apply (simp add: QuoteP.simps [of s' - - k'])
    apply (rule Ex-I [where x = s], simp)
    apply (rule Ex-I [where x = k], auto)
    done
qed

```

```
lemmas QuoteP-I = SeqQuoteP-imp-QuoteP [THEN cut1]
```

## 11.3 The Operator *quote-all*

### 11.3.1 Definition and basic properties

```

definition quote-all :: [perm, name set] ⇒ fm set
  where quote-all p V = {QuoteP (Var i) (Var (p · i)) | i. i ∈ V}

lemma quote-all-empty [simp]: quote-all p {} = {}
  by (simp add: quote-all-def)

lemma quote-all-insert [simp]:
  quote-all p (insert i V) = insert (QuoteP (Var i) (Var (p · i))) (quote-all p V)
  by (auto simp: quote-all-def)

lemma finite-quote-all [simp]: finite V ⇒ finite (quote-all p V)
  by (induct rule: finite-induct) auto

lemma fresh-quote-all [simp]: finite V ⇒ i # quote-all p V ↔ i # V ∧ i # p · V
  by (induct rule: finite-induct) (auto simp: fresh-finite-insert)

lemma fresh-quote-all-mem: [|A ∈ quote-all p V; finite V; i # V; i # p · V|] ⇒ i
# A
  by (metis Set.set-insert finite-insert finite-quote-all fresh-finite-insert fresh-quote-all)

lemma quote-all-perm-eq:
  assumes finite V atom i # (p, V) atom i' # (p, V)
  shows quote-all ((atom i ⇌ atom i') + p) V = quote-all p V
proof –
  { fix W
    assume w: W ⊆ V
    have finite W
      by (metis finite V finite-subset w)
    hence quote-all ((atom i ⇌ atom i') + p) W = quote-all p W using w
      apply induction using assms
      apply (auto simp: fresh-Pair perm-commute)
  }

```

```

apply (metis fresh-finite-set-at-base swap-at-base-simps(3))+  

done}  

thus ?thesis  

  by (metis order-refl)  

qed

```

### 11.3.2 Transferring theorems to the level of derivability

context quote-perm  
begin

```

lemma QuoteP-imp-ConstP-F-hyps:  

  assumes Us ⊆ Vs {ConstP (F i) | i. i ∈ Us} ⊢ A shows quote-all p Us ⊢ A  

proof –  

  show ?thesis using finite-V [OF `Us ⊆ Vs`] assms  

  proof (induction arbitrary: A rule: finite-induct)  

    case empty thus ?case by simp  

  next  

    case (insert v Us) thus ?case  

      by (auto simp: Collect-disj-Un)  

      (metis (lifting) anti-deduction Imp-cut [OF - QuoteP-imp-ConstP] Disj-I2  

F-unfold)  

    qed  

  qed

```

Lemma 8.3

```

theorem quote-all-PfP-ssubst:  

  assumes β: {} ⊢ β  

  and V: V ⊆ Vs  

  and s: supp β ⊆ atom ` Vs  

  shows quote-all p V ⊢ PfP (ssubst [β] V V F)  

proof –  

  have {} ⊢ PfP «β»  

    by (metis β proved-iff-proved-PfP)  

  hence {ConstP (F i) | i. i ∈ V} ⊢ PfP (ssubst [β] V V F)  

    by (simp add: PfP-implies-PfP-ssubst V s)  

  thus ?thesis  

    by (rule QuoteP-imp-ConstP-F-hyps [OF V])  

qed

```

Lemma 8.4

```

corollary quote-all-MonPon-PfP-ssubst:  

  assumes A: {} ⊢ α IMP β  

  and V: V ⊆ Vs  

  and s: supp α ⊆ atom ` Vs supp β ⊆ atom ` Vs  

  shows quote-all p V ⊢ PfP (ssubst [α] V V F) IMP PfP (ssubst [β] V V F)  

using quote-all-PfP-ssubst [OF A V] s  

  by (auto simp: V vquot-fm-def intro: PfP-implies-MonPon-PfP thin1)

```

Lemma 8.4b

**corollary** quote-all-MonPon2-PfP-ssubst:

assumes  $A: \{\} \vdash \alpha_1 \text{IMP } \alpha_2 \text{IMP } \beta$   
**and**  $V: V \subseteq Vs$   
**and**  $s: supp \alpha_1 \subseteq atom`Vs supp \alpha_2 \subseteq atom`Vs supp \beta \subseteq atom`Vs$   
**shows** quote-all  $p V \vdash PfP(ssubst[\alpha_1]VVF) \text{IMP } PfP(ssubst[\alpha_2]VVF)$   
 $\text{IMP } PfP(ssubst[\beta]VVF)$   
**using** quote-all-PfP-ssubst [OF A V] s  
**by** (force simp: V vquot-fm-def intro: PfP-implies-ModPon-PfP [OF PfP-implies-ModPon-PfP]  
thin1)

**lemma** quote-all-Disj-I1-PfP-ssubst:

assumes  $V \subseteq Vs supp \alpha \subseteq atom`Vs supp \beta \subseteq atom`Vs$   
**and** prems:  $H \vdash PfP(ssubst[\alpha]VVF) \text{quote-all } p V \subseteq H$   
**shows**  $H \vdash PfP(ssubst[\alpha OR \beta]VVF)$

**proof –**  
**have**  $\{\} \vdash \alpha \text{IMP } (\alpha OR \beta)$   
**by** (blast intro: Disj-I1)  
**hence** quote-all  $p V \vdash PfP(ssubst[\alpha]VVF) \text{IMP } PfP(ssubst[\alpha OR \beta]VVF)$   
**using assms by** (auto simp: quote-all-MonPon-PfP-ssubst)  
**thus ?thesis**  
**by** (metis MP-same prems thin)  
**qed**

**lemma** quote-all-Disj-I2-PfP-ssubst:

assumes  $V \subseteq Vs supp \alpha \subseteq atom`Vs supp \beta \subseteq atom`Vs$   
**and** prems:  $H \vdash PfP(ssubst[\beta]VVF) \text{quote-all } p V \subseteq H$   
**shows**  $H \vdash PfP(ssubst[\alpha OR \beta]VVF)$

**proof –**  
**have**  $\{\} \vdash \beta \text{IMP } (\alpha OR \beta)$   
**by** (blast intro: Disj-I2)  
**hence** quote-all  $p V \vdash PfP(ssubst[\beta]VVF) \text{IMP } PfP(ssubst[\alpha OR \beta]VVF)$   
**using assms by** (auto simp: quote-all-MonPon-PfP-ssubst)  
**thus ?thesis**  
**by** (metis MP-same prems thin)  
**qed**

**lemma** quote-all-Conj-I-PfP-ssubst:

assumes  $V \subseteq Vs supp \alpha \subseteq atom`Vs supp \beta \subseteq atom`Vs$   
**and** prems:  $H \vdash PfP(ssubst[\alpha]VVF) H \vdash PfP(ssubst[\beta]VVF) \text{quote-all } p V \subseteq H$   
**shows**  $H \vdash PfP(ssubst[\alpha AND \beta]VVF)$

**proof –**  
**have**  $\{\} \vdash \alpha \text{IMP } \beta \text{IMP } (\alpha AND \beta)$   
**by** blast  
**hence** quote-all  $p V \vdash PfP(ssubst[\alpha]VVF) \text{IMP } PfP(ssubst[\beta]VVF) \text{IMP } PfP(ssubst[\alpha AND \beta]VVF)$

```

using assms by (auto simp: quote-all-MonPon2-PfP-ssubst)
thus ?thesis
  by (metis MP-same prems thin)
qed

lemma quote-all-Contra-PfP-ssubst:
  assumes V ⊆ Vs supp α ⊆ atom ` Vs
  shows quote-all p V
    ⊢ PfP (ssubst [α] V V F) IMP PfP (ssubst [Neg α] V V F) IMP PfP (ssubst
  [Fls] V V F)
proof -
  have {} ⊢ α IMP Neg α IMP Fls
    by blast
  thus ?thesis
    using assms by (auto simp: quote-all-MonPon2-PfP-ssubst supp-conv-fresh)
qed

lemma fresh-ssubst-dbtm: [[atom i # p · V; V ⊆ Vs]] ==> atom i # ssubst (vquot-dbtm
V t) V F
  by (induct t rule: dbtm.induct) (auto simp: F-unfold fresh-image permute-set-eq-image)

lemma fresh-ssubst-dbfm: [[atom i # p · V; V ⊆ Vs]] ==> atom i # ssubst (vquot-dbfm
V A) V F
  by (nominal-induct A rule: dbfm.strong-induct) (auto simp: fresh-ssubst-dbtm)

lemma fresh-ssubst-fm:
  fixes A::fm shows [[atom i # p · V; V ⊆ Vs]] ==> atom i # ssubst ([A] V) V F
  by (simp add: fresh-ssubst-dbfm vquot-fm-def)

end

```

## 11.4 Star Property. Equality and Membership: Lemmas 9.3 and 9.4

```

lemma SeqQuoteP-Mem-imp-QMem-and-Subset:
  assumes atom i # (j,j',i',si,ki,sj,kj) atom i' # (j,j',si,ki,sj,kj)
         atom j # (j',si,ki,sj,kj) atom j' # (si,ki,sj,kj)
         atom si # (ki,sj,kj) atom sj # (ki,kj)
  shows {SeqQuoteP (Var i) (Var i') (Var si) ki, SeqQuoteP (Var j) (Var j') (Var
sj) kj}
    ⊢ (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j'))) AND
      (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j')))

proof -
  obtain k::name and l::name and li::name and lj::name
    and m::name and n::name and sm::name and sn::name and sm'::name and
    sn'::name
    where atoms: atom lj # (li,l,i,j,j',i',si,ki,sj,kj,i,i',k,m,n,sm,sm',sn,sn')
          atom li # (l,j,j',i,i',si,ki,sj,kj,i,i',k,m,n,sm,sm',sn,sn')

```

```

atom l # (j,j',i,i',si,ki,sj,kj,i,i',k,m,n,sm,sm',sn,sn')
atom k # (j,j',i,i',si,ki,sj,kj,m,n,sm,sm',sn,sn')
atom m # (j,j',i,i',si,ki,sj,kj,n,sm,sm',sn,sn')
atom n # (j,j',i,i',si,ki,sj,kj,sm,sm',sn,sn')
atom sm # (j,j',i,i',si,ki,sj,kj,sm,sm',sn,sn')
atom sm' # (j,j',i,i',si,ki,sj,kj,sn,sn')
atom sn # (j,j',i,i',si,ki,sj,kj,sn)
atom sn' # (j,j',i,i',si,ki,sj,kj)

by (metis obtain-fresh)
have {OrdP(Var k)}
  ⊢ All i (All i' (All si (All li (All j (All j' (All sj (All lj
    (SeqQuoteP (Var i) (Var i') (Var si) (Var li) IMP
    SeqQuoteP (Var j) (Var j') (Var sj) (Var lj) IMP
    HaddP (Var li) (Var lj) (Var k) IMP
    ((Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j'))) AND
     (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j'))))))))) )
(is - ⊢ ?scheme)
proof (rule OrdIndH [where j=l])
  show atom l # (k, ?scheme) using atoms
  by simp
next
define V p where V = {i,j,sm,sn}
and p = (atom i ⇌ atom i') + (atom j ⇌ atom j') +
         (atom sm ⇌ atom sm') + (atom sn ⇌ atom sn')
define F where F ≡ make-F V p
interpret qp: quote-perm p V F
  proof unfold-locales
    show finite V by (simp add: V-def)
    show atom ` (p ∙ V) #* V
      using atoms assms
    by (auto simp: p-def V-def F-def make-F-def fresh-star-def fresh-finite-insert)
    show -p = p using assms atoms
    by (simp add: p-def add.assoc perm-self-inverseI fresh-swap fresh-plus-perm)
    show F ≡ make-F V p
      by (rule F-def)
  qed
have V-mem: i ∈ V j ∈ V sm ∈ V sn ∈ V
  by (auto simp: V-def) — Part of (2) from page 32
have Mem1: {} ⊢ (Var i IN Var sm) IMP (Var i IN Eats (Var sm) (Var sn))
  by (blast intro: Mem-Eats-I1)
have Q-Mem1: quote-all p V
  ⊢ PfP (Q-Mem (Var i') (Var sm')) IMP
  PfP (Q-Mem (Var i') (Q-Eats (Var sm') (Var sn')))
  using qp.quote-all-MonPon-PfP-ssubst [OF Mem1 subset-refl] assms atoms
V-mem
  by (simp add: vquot-fm-def qp.Vs) (simp add: qp.F-unfold p-def)
have Mem2: {} ⊢ (Var i EQ Var sn) IMP (Var i IN Eats (Var sm) (Var sn))
  by (blast intro: Mem-Eats-I2)
have Q-Mem2: quote-all p V

```

```

 $\vdash PfP (Q-Eq (Var i') (Var sn')) IMP$ 
 $PfP (Q-Mem (Var i') (Q-Eats (Var sm') (Var sn'))))$ 
using qp.quote-all-MonPon-PfP-ssubst [OF Mem2 subset-refl] assms atoms
V-mem
by (simp add: vquot-fm-def qp.Vs) (simp add: qp.F-unfold p-def)
have Subs1: {}  $\vdash$  Zero SUBS Var j
by blast
have Q-Subs1: {QuoteP (Var j) (Var j')}  $\vdash$  PfP (Q-Subset Zero (Var j'))
using qp.quote-all-PfP-ssubst [OF Subs1, of {j}] assms atoms
by (simp add: qp.ssubst-Subset vquot-tm-def supp-conv-fresh fresh-at-base
del: qp.ssubst-single)
(simp add: qp.F-unfold p-def V-def)
have Subs2: {}  $\vdash$  Var sm SUBS Var j IMP Var sn IN Var j IMP Eats (Var
sm) (Var sn) SUBS Var j
by blast
have Q-Subs2: quote-all p V
 $\vdash$  PfP (Q-Subset (Var sm') (Var j')) IMP
PfP (Q-Mem (Var sn') (Var j')) IMP
PfP (Q-Subset (Q-Eats (Var sm') (Var sn')) (Var j'))
using qp.quote-all-MonPon2-PfP-ssubst [OF Subs2 subset-refl] assms atoms
V-mem
by (simp add: qp.ssubst-Subset vquot-tm-def supp-conv-fresh subset-eq
fresh-at-base)
(simp add: vquot-fm-def qp.F-unfold p-def V-def)
have Ext: {}  $\vdash$  Var i SUBS Var sn IMP Var sn SUBS Var i IMP Var i EQ
Var sn
by (blast intro: Equality-I)
have Q-Ext: {QuoteP (Var i) (Var i'), QuoteP (Var sn) (Var sn')}
 $\vdash$  PfP (Q-Subset (Var i') (Var sn')) IMP
PfP (Q-Subset (Var sn') (Var i')) IMP
PfP (Q-Eq (Var i') (Var sn'))
using qp.quote-all-MonPon2-PfP-ssubst [OF Ext, of {i,sn}] assms atoms
by (simp add: qp.ssubst-Subset vquot-tm-def supp-conv-fresh subset-eq
fresh-at-base
del: qp.ssubst-single)
(simp add: vquot-fm-def qp.F-unfold p-def V-def)
show {}  $\vdash$  All k (OrdP (Var k) IMP (All2 l (Var k) (?scheme(k::= Var l))
IMP ?scheme))
apply (rule All-I Imp-I)+
using atoms assms
apply simp-all
apply (rule cut-same [where A = QuoteP (Var i) (Var i')])
apply (blast intro: QuoteP-I)
apply (rule cut-same [where A = QuoteP (Var j) (Var j')])
apply (blast intro: QuoteP-I)
apply (rule rotate6)
apply (rule Conj-I)
— Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j'))
apply (rule cut-same)

```

```

apply (rule cut1 [OF SeqQuoteP-lemma [of m Var j Var j' Var sj Var lj n  

sm sm' sn sn']], simp-all, blast)
apply (rule Imp-I Disj-EH Conj-EH)+
— case 1, Var j EQ Zero
apply (rule cut-same [where A = Var i IN Zero])
apply (blast intro: Mem-cong [THEN Iff-MP-same], blast)
— case 2, Var j EQ Eats (Var sm) (Var sn)
apply (rule Imp-I Conj-EH Ex-EH)+
apply simp-all
apply (rule Var-Eq-subst-Iff [THEN rotate2, THEN Iff-MP-same], simp)
apply (rule cut-same [where A = QuoteP (Var sm) (Var sm')])
apply (blast intro: QuoteP-I)
apply (rule cut-same [where A = QuoteP (Var sn) (Var sn')])
apply (blast intro: QuoteP-I)
apply (rule cut-same [where A = Var i IN Eats (Var sm) (Var sn)])
apply (rule Mem-cong [OF Refl, THEN Iff-MP-same])
apply (rule AssumeH Mem-Eats-E)+
— Eats case 1. IH for sm
apply (rule cut-same [where A = OrdP (Var m)])
apply (blast intro: Hyp Ord-IN-Ord SeqQuoteP-imp-OrdP [THEN cut1])
apply (rule cut-same [OF exists-HaddP [where j=l and x=Var li and  

y=Var m]])+
apply auto
apply (rule All2-E [where x=Var l, THEN rotate13], simp-all)
apply (blast intro: Hyp HaddP-Mem-cancel-left [THEN Iff-MP2-same]
SeqQuoteP-imp-OrdP [THEN cut1])
apply (rule All-E [where x=Var i], simp)
apply (rule All-E [where x=Var i'], simp)
apply (rule All-E [where x=Var si], simp)
apply (rule All-E [where x=Var li], simp)
apply (rule All-E [where x=Var sm], simp)
apply (rule All-E [where x=Var sm'], simp)
apply (rule All-E [where x=Var sj], simp)
apply (rule All-E [where x=Var m], simp)
apply (force intro: MP-thin [OF Q-Mem1] simp add: V-def p-def)
— Eats case 2
apply (rule rotate13)
apply (rule cut-same [where A = OrdP (Var n)])
apply (blast intro: Hyp Ord-IN-Ord SeqQuoteP-imp-OrdP [THEN cut1])
apply (rule cut-same [OF exists-HaddP [where j=l and x=Var li and  

y=Var n]])+
apply auto
apply (rule MP-same)
apply (rule Q-Mem2 [THEN thin])
apply (simp add: V-def p-def)
apply (rule MP-same)
apply (rule MP-same)
apply (rule Q-Ext [THEN thin])
apply (simp add: V-def p-def)

```

```

— PfP (Q-Subset (Var i') (Var sn'))
apply (rule All2-E [where x=Var l, THEN rotate14], simp-all)
  apply (blast intro: Hyp HaddP-Mem-cancel-left [THEN Iff-MP2-same]
SeqQuoteP-imp-OrdP [THEN cut1])
    apply (rule All-E [where x=Var i], simp)
    apply (rule All-E [where x=Var i'], simp)
    apply (rule All-E [where x=Var si], simp)
    apply (rule All-E [where x=Var li], simp)
    apply (rule All-E [where x=Var sn], simp)
    apply (rule All-E [where x=Var sn'], simp)
    apply (rule All-E [where x=Var sj], simp)
    apply (rule All-E [where x=Var n], simp)
    apply (rule Imp-E, blast intro: Hyp) +
    apply (rule Conj-E)
    apply (rule thin1)
    apply (blast intro!: Imp-E EQ-imp-SUBS [THEN cut1])
— PfP (Q-Subset (Var sn') (Var i'))
apply (rule All2-E [where x=Var l, THEN rotate14], simp-all)
  apply (blast intro: Hyp HaddP-Mem-cancel-left [THEN Iff-MP2-same]
SeqQuoteP-imp-OrdP [THEN cut1])
    apply (rule All-E [where x=Var sn], simp)
    apply (rule All-E [where x=Var sn'], simp)
    apply (rule All-E [where x=Var sj], simp)
    apply (rule All-E [where x=Var n], simp)
    apply (rule All-E [where x=Var i], simp)
    apply (rule All-E [where x=Var i'], simp)
    apply (rule All-E [where x=Var si], simp)
    apply (rule All-E [where x=Var li], simp)
    apply (rule Imp-E, blast intro: Hyp) +
    apply (rule Imp-E)
    apply (blast intro: Hyp HaddP-commute [THEN cut2] SeqQuoteP-imp-OrdP
[THEN cut1])
      apply (rule Conj-E)
      apply (rule thin1)
      apply (blast intro!: Imp-E EQ-imp-SUBS2 [THEN cut1])
      — Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j'))
      apply (rule cut-same)
      apply (rule cut1 [OF SeqQuoteP-lemma [of m Var i Var i' Var si Var li n
sm sm' sn sn']], simp-all, blast)
      apply (rule Imp-I Disj-EH Conj-EH) +
      — case 1, Var i EQ Zero
      apply (rule cut-same [where A = PfP (Q-Subset Zero (Var j'))])
      apply (blast intro: Q-Subs1 [THEN cut1] SeqQuoteP-imp-QuoteP [THEN
cut1])
      apply (force intro: Var-Eq-subst-Iff [THEN Iff-MP-same, THEN rotate3])
      — case 2, Var i EQ Eats (Var sm) (Var sn)
      apply (rule Conj-EH Ex-EH) +
      apply simp-all
      apply (rule cut-same [where A = OrdP (Var lj)])

```

```

apply (blast intro: Hyp SeqQuoteP-imp-OrdP [THEN cut1])
apply (rule Var-Eq-subst-Iff [THEN Iff-MP-same, THEN rotate3], simp)
apply (rule cut-same [where A = QuoteP (Var sm) (Var sm')])
apply (blast intro: QuoteP-I)
apply (rule cut-same [where A = QuoteP (Var sn) (Var sn')])
apply (blast intro: QuoteP-I)
apply (rule cut-same [where A = Eats (Var sm) (Var sn) SUBS Var j])
apply (rule Subset-cong [OF - Refl, THEN Iff-MP-same])
apply (rule AssumeH Mem-Eats-E)+

— Eats case split
apply (rule Eats-Subset-E)
apply (rule rotate15)
apply (rule MP-same [THEN MP-same])
apply (rule Q-Subs2 [THEN thin])
apply (simp add: V-def p-def)
— Eats case 1: PfP (Q-Subset (Var sm') (Var j'))
apply (rule cut-same [OF exists-HaddP [where j=l and x=Var m and
y=Var lj]])+
apply (rule AssumeH Ex-EH Conj-EH | simp)+

— IH for sm
apply (rule All2-E [where x=Var l, THEN rotate15], simp-all)
apply (blast intro: Hyp HaddP-Mem-cancel-right-Mem SeqQuoteP-imp-OrdP
[THEN cut1])
apply (rule All-E [where x=Var sm], simp)
apply (rule All-E [where x=Var sm'], simp)
apply (rule All-E [where x=Var si], simp)
apply (rule All-E [where x=Var m], simp)
apply (rule All-E [where x=Var j], simp)
apply (rule All-E [where x=Var j'], simp)
apply (rule All-E [where x=Var sj], simp)
apply (rule All-E [where x=Var lj], simp)
apply (blast intro: thin1 Imp-E)
— Eats case 2: PfP (Q-Mem (Var sn') (Var j'))
apply (rule cut-same [OF exists-HaddP [where j=l and x=Var n and
y=Var lj]])+
apply (rule AssumeH Ex-EH Conj-EH | simp)+

— IH for sn
apply (rule All2-E [where x=Var l, THEN rotate15], simp-all)
apply (blast intro: Hyp HaddP-Mem-cancel-right-Mem SeqQuoteP-imp-OrdP
[THEN cut1])
apply (rule All-E [where x=Var sn], simp)
apply (rule All-E [where x=Var sn'], simp)
apply (rule All-E [where x=Var si], simp)
apply (rule All-E [where x=Var n], simp)
apply (rule All-E [where x=Var j], simp)
apply (rule All-E [where x=Var j'], simp)
apply (rule All-E [where x=Var sj], simp)
apply (rule All-E [where x=Var lj], simp)
apply (blast intro: Hyp Imp-E)

```

```

done
qed
hence  $p1: \{OrdP(Var k)\}$ 
 $\vdash (All i' (All si (All li
          (All j (All j' (All sj (All lj
          (SeqQuoteP (Var i) (Var i') (Var si) (Var li) IMP
          SeqQuoteP (Var j) (Var j') (Var sj) (Var lj) IMP
          HaddP (Var li) (Var lj) (Var k) IMP
          (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j')))) AND
          (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j')))))))))$ 
 $(i ::= Var i)$ 
 $\quad \text{by (metis All-D)}$ 
have  $p2: \{OrdP(Var k)\}$ 
 $\vdash (All si (All li
          (All j (All j' (All sj (All lj
          (SeqQuoteP (Var i) (Var i') (Var si) (Var li) IMP
          SeqQuoteP (Var j) (Var j') (Var sj) (Var lj) IMP
          HaddP (Var li) (Var lj) (Var k) IMP
          (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j')))) AND
          (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j')))))))))$ 
 $(i' ::=$ 
 $Var i')$ 
 $\quad \text{apply (rule All-D)}$ 
 $\quad \text{using atoms } p1 \text{ by simp}$ 
have  $p3: \{OrdP(Var k)\}$ 
 $\vdash (All li
          (All j (All j' (All sj (All lj
          (SeqQuoteP (Var i) (Var i') (Var si) (Var li) IMP
          SeqQuoteP (Var j) (Var j') (Var sj) (Var lj) IMP
          HaddP (Var li) (Var lj) (Var k) IMP
          (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j')))) AND
          (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j')))))))))$ 
 $(si ::= Var si)$ 
 $\quad \text{apply (rule All-D)}$ 
 $\quad \text{using atoms } p2 \text{ by simp}$ 
have  $p4: \{OrdP(Var k)\}$ 
 $\vdash (All j (All j' (All sj (All lj
          (SeqQuoteP (Var i) (Var i') (Var si) (Var li) IMP
          SeqQuoteP (Var j) (Var j') (Var sj) (Var lj) IMP
          HaddP (Var li) (Var lj) (Var k) IMP
          (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j')))) AND
          (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j')))))))))$ 
 $(li ::= ki)$ 
 $\quad \text{apply (rule All-D)}$ 
 $\quad \text{using atoms } p3 \text{ by simp}$ 
have  $p5: \{OrdP(Var k)\}$ 
 $\vdash (All j' (All sj (All lj
          (SeqQuoteP (Var i) (Var i') (Var si) ki IMP
          SeqQuoteP (Var j) (Var j') (Var sj) (Var lj) IMP
          HaddP ki (Var lj) (Var k) IMP$ 

```

```

(Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j'))) AND
(Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j'))))) (j ::==
Var j)
apply (rule All-D)
using atoms assms p4 by simp
have p6: {OrdP(Var k)}
  ⊢ (All sj (All lj
    (SeqQuoteP (Var i) (Var i') (Var si) ki IMP
     SeqQuoteP (Var j) (Var j') (Var sj) (Var lj) IMP
     HaddP ki (Var lj) (Var k) IMP
     (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j'))) AND
     (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j'))))) (j' ::==
Var j')
  apply (rule All-D)
  using atoms p5 by simp
  have p7: {OrdP(Var k)}
    ⊢ (All lj (SeqQuoteP (Var i) (Var i') (Var si) ki IMP
      SeqQuoteP (Var j) (Var j') (Var sj) (Var lj) IMP
      HaddP ki (Var lj) (Var k) IMP
      (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j'))) AND
      (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j')))))
(sj ::= Var sj)
apply (rule All-D)
using atoms p6 by simp
have p8: {OrdP(Var k)}
  ⊢ (SeqQuoteP (Var i) (Var i') (Var si) ki IMP
    SeqQuoteP (Var j) (Var j') (Var sj) (Var lj) IMP
    HaddP ki (Var lj) (Var k) IMP
    (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j'))) AND
    (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j')))) (lj ::= kj)
apply (rule All-D)
using atoms p7 by simp
hence p9: {OrdP(Var k)}
  ⊢ SeqQuoteP (Var i) (Var i') (Var si) ki IMP
  SeqQuoteP (Var j) (Var j') (Var sj) kj IMP
  HaddP ki kj (Var k) IMP
  (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j'))) AND
  (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j'))))
  using assms atoms by simp
have p10: {HaddP ki kj (Var k),
  SeqQuoteP (Var i) (Var i') (Var si) ki,
  SeqQuoteP (Var j) (Var j') (Var sj) kj, OrdP (Var k) }
  ⊢ (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j'))) AND
  (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j'))))
apply (rule MP-same [THEN MP-same [THEN MP-same]])
apply (rule p9 [THEN thin])
apply (auto intro: MP-same)
done
show ?thesis

```

```

apply (rule cut-same [OF exists-HaddP [where j=k and x=ki and y=kj]])
apply (metis SeqQuoteP-imp-OrdP thin1)
prefer 2
apply (rule Ex-E)
apply (rule p10 [THEN cut4])
using assms atoms
apply (auto intro: HaddP-OrdP SeqQuoteP-imp-OrdP [THEN cut1])
done
qed

```

**lemma**

```

assumes atom i # (j,j',i') atom i' # (j,j') atom j # (j')
shows QuoteP-Mem-imp-QMem:
  {QuoteP (Var i) (Var i'), QuoteP (Var j) (Var j'), Var i IN Var j}
  ⊢ PfP (Q-Mem (Var i') (Var j')) (is ?thesis1)
and QuoteP-Mem-imp-QSubset:
  {QuoteP (Var i) (Var i'), QuoteP (Var j) (Var j'), Var i SUBS Var j}
  ⊢ PfP (Q-Subset (Var i') (Var j')) (is ?thesis2)

```

**proof –**

```

obtain si::name and ki::name and sj::name and kj::name
  where atoms: atom si # (ki,sj,kj,i,j,j',i') atom ki # (sj,kj,i,j,j',i')
        atom sj # (kj,i,j,j',i') atom kj # (i,j,j',i')
  by (metis obtain-fresh)
hence C: {QuoteP (Var i) (Var i'), QuoteP (Var j) (Var j')}
  ⊢ (Var i IN Var j IMP PfP (Q-Mem (Var i') (Var j'))) AND
  (Var i SUBS Var j IMP PfP (Q-Subset (Var i') (Var j')))
using assms
by (auto simp: QuoteP.simps [of si Var i - ki] QuoteP.simps [of sj Var j - kj]
      intro!: SeqQuoteP-Mem-imp-QMem-and-Subset del: Conj-I)
show ?thesis1
  by (best intro: Conj-E1 [OF C, THEN MP-thin])
show ?thesis2
  by (best intro: Conj-E2 [OF C, THEN MP-thin])
qed

```

## 11.5 Star Property. Universal Quantifier: Lemma 9.7

```

lemma (in quote-perm) SeqQuoteP-Mem-imp-All2:
assumes IH: insert (QuoteP (Var i) (Var i')) (quote-all p Vs)
  ⊢ α IMP PfP (ssubst [α](insert i Vs) (insert i Vs) Fi)
and sp: supp α - {atom i} ⊆ atom ` Vs
and j: j ∈ Vs and j': p ∙ j = j'
and pi: pi = (atom i ⇌ atom i') + p
and Fi: Fi = make-F (insert i Vs) pi
and atoms: atom i # (j,j',s,k,p) atom i' # (i,p,α)
          atom j # (j',s,k,α) atom j' # (s,k,α)

```

```

atom s # (k,α) atom k # (α,p)
shows insert (SeqQuoteP (Var j) (Var j') (Var s) (Var k)) (quote-all p (Vs-{j}))
    ⊢ All2 i (Var j) α IMP PfP (ssubst [All2 i (Var j) α] Vs Vs F)

proof -
have pj' [simp]: p ∙ j' = j using pinv j'
  by (metis permute-minus-cancel(2))
have [simp]: F j = Var j' using j j'
  by (auto simp: F-unfold)
hence i': atom i' # Vs using atoms
  by (auto simp: Vs)
have fresh-ss [simp]: ∀i A:fm. atom i # p ⇒ atom i # ssubst ([A] Vs) Vs F
  by (simp add: vquot-fm-def fresh-ssubst-dbfm)
obtain l::name and m::name and n::name and sm::name and sn::name and
sm'::name and sn'::name
  where atoms': atom l # (p,α,i,j,j',s,k,m,n,sm,sm',sn,sn')
        atom m # (p,α,i,j,j',s,k,n,sm,sm',sn,sn') atom n # (p,α,i,j,j',s,k,sm,sm',sn,sn')
        atom sm # (p,α,i,j,j',s,k,sm',sn,sn') atom sm' # (p,α,i,j,j',s,k,sn,sn')
        atom sn # (p,α,i,j,j',s,k,sn') atom sn' # (p,α,i,j,j',s,k)
  by (metis obtain-fresh)
define V' p'
  where V' = {sm,sn} ∪ Vs
  and p' = (atom sm ⇔ atom sm') + (atom sn ⇔ atom sn') + p
define F' where F' ≡ make-F V' p'
interpret qp': quote-perm p' V' F'
proof unfold-locales
show finite V' by (simp add: V'-def)
show atom ` (p' ∙ V') #* V'
  using atoms atoms' p
  by (auto simp: p'-def V'-def swap-fresh-fresh fresh-at-base-permI
    fresh-star-finite-insert fresh-finite-insert atom-fresh-star-atom-set-conv)
show F' ≡ make-F V' p'
  by (rule F'-def)
show - p' = p' using atoms atoms' pinv
  by (simp add: p'-def add.assoc perm-self-inverseI fresh-swap fresh-plus-perm)
qed
have All2-Zero: {} ⊢ All2 i Zero α
  by auto
have Q-All2-Zero:
  quote-all p Vs ⊢ PfP (Q-All (Q-Imp (Q-Mem (Q-Ind Zero) Zero)
    (ssubst (vquot-dbfm Vs (trans-fm [i] α)) Vs F)))
    using quote-all-PfP-ssubst [OF All2-Zero] assms
    by (force simp add: vquot-fm-def supp-conv-fresh)
have All2-Eats: {} ⊢ All2 i (Var sm) α IMP α(i:=Var sn) IMP All2 i (Eats
  (Var sm) (Var sn)) α
  using atoms' apply auto
  apply (rule Ex-I [where x = Var i], auto)
  apply (rule rotate2)
  apply (blast intro: ContraProve Var-Eq-imp-subst-Iff [THEN Iff-MP-same])
done

```

```

have [simp]:  $F' sm = \text{Var } sm' F' sn = \text{Var } sn'$  using atoms'
  by (auto simp: V'-def p'-def qp'.F-unfold swap-fresh-fresh fresh-at-base-permI)
have  $smn' [simp]$ :  $sm \in V' sn \in V' sm \notin Vs sn \notin Vs$  using atoms'
  by (auto simp: V'-def fresh-finite-set-at-base [symmetric])
hence Q-All2-Eats: quote-all  $p' V'$ 
  ⊢ PfP (ssubst [All2 i (Var sm) α] V' V' F') IMP
  ⊢ PfP (ssubst [α(i:=Var sn)] V' V' F') IMP
  ⊢ PfP (ssubst [All2 i (Eats (Var sm) (Var sn)) α] V' V' F')
using sp qp'.quote-all-MonPon2-PfP(ssubst [OF All2-Eats subset-refl]
by (simp add: supp-conv-fresh subset-eq V'-def)
(metis Diff-iff empty-iff fresh-ineq-at-base insertE mem-Collect-eq)
interpret qpi: quote-perm pi insert i Vs Fi
  unfolding pi
  apply (rule qp-insert) using atoms
  apply (auto simp: Fi pi)
  done
have  $F'\text{-eq-}F$ :  $\bigwedge \text{name. name} \in Vs \implies F' \text{ name} = F \text{ name}$ 
  using atoms'
  by (auto simp: F-unfold qp'.F-unfold p'-def swap-fresh-fresh V'-def fresh-pj)
{ fix t::dbtm
  assume supp t ⊆ atom ` V' supp t ⊆ atom ` Vs
  hence ssubst (vquot-dbtm V' t) V' F' = ssubst (vquot-dbtm Vs t) Vs F
    by (induction t rule: dbtm.induct) (auto simp: F'-eq-F)
} note ssubst-v-tm = this
{ fix A::dbfm
  assume supp A ⊆ atom ` V' supp A ⊆ atom ` Vs
  hence ssubst (vquot-dbfm V' A) V' F' = ssubst (vquot-dbfm Vs A) Vs F
    by (induction A rule: dbfm.induct) (auto simp: ssubst-v-tm F'-eq-F)
} note ssubst-v-fm = this
have ss-noprimes: ssubst (vquot-dbfm V' (trans-fm [i] α)) V' F' =
  ssubst (vquot-dbfm Vs (trans-fm [i] α)) Vs F
  apply (rule ssubst-v-fm)
  using sp apply (auto simp: V'-def supp-conv-fresh)
  done
{ fix t::dbtm
  assume supp t - {atom i} ⊆ atom ` Vs
  hence subst i' (Var sn') (ssubst (vquot-dbtm (insert i Vs) t) (insert i Vs) Fi)
=
  ssubst (vquot-dbtm V' (subst-dbtm (DBVar sn) i t)) V' F'
  apply (induction t rule: dbtm.induct)
  using atoms atoms'
  apply (auto simp: vquot-tm-def pi V'-def qpi.F-unfold qp'.F-unfold p'-def
fresh-pj swap-fresh-fresh fresh-at-base-permI)
  done
} note perm-v-tm = this
{ fix A::dbfm
  assume supp A - {atom i} ⊆ atom ` Vs
  hence subst i' (Var sn') (ssubst (vquot-dbfm (insert i Vs) A) (insert i Vs) Fi)
=

```

```

ssubst (vquot-dbfm V' (subst-dbfm (DBVar sn) i A)) V' F'
by (induct A rule: dbfm.induct) (auto simp: Un-Diff perm-v-tm)
} note perm-v-fm = this
have quote-all p Vs ⊢ QuoteP (Var i) (Var i') IMP
  (α IMP PfP (ssubst [α](insert i Vs) (insert i Vs) Fi))
using IH by auto
hence quote-all p Vs
  ⊢ (QuoteP (Var i) (Var i') IMP
    (α IMP PfP (ssubst [α](insert i Vs) (insert i Vs) Fi))) (i'::=Var sn')
using atoms IH
by (force intro!: Subst elim!: fresh-quote-all-mem)
hence quote-all p Vs
  ⊢ QuoteP (Var i) (Var sn') IMP
  (α IMP PfP (subst i' (Var sn') (ssubst [α](insert i Vs) (insert i Vs) Fi)))
using atoms by simp
moreover have subst i' (Var sn') (ssubst [α](insert i Vs) (insert i Vs) Fi)
  = ssubst [α(i::=Var sn)] V' V' F'
using sp
by (auto simp: vquot-fm-def perm-v-fm supp-conv-fresh subst-fm-trans-commute
[symmetric])
ultimately
have quote-all p Vs
  ⊢ QuoteP (Var i) (Var sn') IMP (α IMP PfP (ssubst [α(i::=Var sn)] V'
V' F'))
  by simp
hence quote-all p Vs
  ⊢ (QuoteP (Var i) (Var sn') IMP (α IMP PfP (ssubst [α(i::=Var sn)] V'
V' F'))) (i::=Var sn)
  using `atom i # ->
  by (force intro!: Subst elim!: fresh-quote-all-mem)
hence quote-all p Vs
  ⊢ (QuoteP (Var sn) (Var sn') IMP
  (α(i::=Var sn) IMP PfP (subst i (Var sn) (ssubst [α(i::=Var sn)] V' V'
F'))))
  using atoms atoms' by simp
moreover have subst i (Var sn) (ssubst [α(i::=Var sn)] V' V' F')
  = ssubst [α(i::=Var sn)] V' V' F'
using atoms atoms' i'
by (auto simp: swap-fresh-fresh fresh-at-base-permI p'-def
intro!: forget-subst-tm [OF qp'.fresh-ssubst'])
ultimately
have quote-all p Vs
  ⊢ QuoteP (Var sn) (Var sn') IMP (α(i::=Var sn) IMP PfP (ssubst
[α(i::=Var sn)] V' V' F'))
  using atoms atoms' by simp
hence star0: insert (QuoteP (Var sn) (Var sn')) (quote-all p Vs)
  ⊢ α(i::=Var sn) IMP PfP (ssubst [α(i::=Var sn)] V' V' F')
  by (rule anti-deduction)
have subst-i-star: quote-all p' V' ⊢ α(i::=Var sn) IMP PfP (ssubst [α(i::=Var

```

```

 $sn) \sqcup V' V' F'$ 
  apply (rule thin [OF star0])
  using atoms'
  apply (force simp: V'-def p'-def fresh-swap fresh-plus-permI
add.assoc
          quote-all-perm-eq)
done
have insert (OrdP (Var k)) (quote-all p (Vs - {j}))
  ⌜ All j (All j' (SeqQuoteP (Var j) (Var j') (Var s) (Var k) IMP
          All2 i (Var j) α IMP PfP (ssubst [All2 i (Var j) α] Vs Vs F)))
  (is - ⊢ ?scheme)
proof (rule OrdIndH [where j=l])
  show atom l # (k, ?scheme) using atoms atoms' j j' fresh-pVs
    by (simp add: fresh-Pair F-unfold)
next
  have substj: ⌐t j. atom j # α ⟹ atom (p · j) # α ⟹
    substj t (ssubst (vquot-dbfm Vs (trans-fm [i] α)) Vs F) =
    ssubst (vquot-dbfm Vs (trans-fm [i] α)) Vs F
    by (auto simp: fresh-ssubst')
  { fix W
    assume W: W ⊆ Vs
    hence finite W by (metis Vs infinite-super)
    hence quote-all p' W = quote-all p W using W
    proof (induction)
      case empty thus ?case
        by simp
      next
        case (insert w W)
        hence w ∈ Vs atom sm # p · Vs atom sm' # p · Vs atom sn # p · Vs atom
          sn' # p · Vs
          using atoms' Vs by (auto simp: fresh-pVs)
        hence atom sm # p · w atom sm' # p · w atom sn # p · w atom sn' # p · w
          by (metis Vs fresh-at-base(2) fresh-finite-set-at-base fresh-permute-left)+
        thus ?case using insert
          by (simp add: p'-def swap-fresh-fresh)
      qed
    }
    hence quote-all p' Vs = quote-all p Vs
    by (metis subset-refl)
  also have ... = insert (QuoteP (Var j) (Var j')) (quote-all p (Vs - {j}))
    using j j' by (auto simp: quote-all-def)
  finally have quote-all p' V' =
    {QuoteP (Var sn) (Var sn'), QuoteP (Var sm) (Var sm')} ∪
    insert (QuoteP (Var j) (Var j')) (quote-all p (Vs - {j}))
    using atoms'
    by (auto simp: p'-def V'-def fresh-at-base-permI Collect-disj-Un)
  also have ... = {QuoteP (Var sn) (Var sn'), QuoteP (Var sm) (Var sm'),
    QuoteP (Var j) (Var j')}
    ∪ quote-all p (Vs - {j})

```

```

by blast
finally have quote-all'-eq:
  quote-all p' V' =
  {QuoteP (Var sn) (Var sn'), QuoteP (Var sm) (Var sm'), QuoteP (Var
j) (Var j')} ∪ quote-all p (Vs - {j}) .
have pjV: p • j ∈ Vs
  by (metis j perm-exits- Vs)
hence jpV: atom j # p • Vs
  by (simp add: fresh-permute-left pinv fresh-finite-set-at-base)
  show quote-all p (Vs-{j}) ⊢ All k (OrdP (Var k) IMP (All2 l (Var k)
(?scheme(k::= Var l)) IMP ?scheme))
    apply (rule All-I Imp-I)+
    using atoms atoms' j jpV pjV
apply (auto simp: fresh-at-base fresh-finite-set-at-base j' elim!: fresh-quote-all-mem)
  apply (rule cut-same [where A = QuoteP (Var j) (Var j')])
  apply (blast intro: QuoteP-I)
  apply (rule cut-same)
  apply (rule cut1 [OF SeqQuoteP-lemma [of m Var j Var j' Var s Var k n
sm sm' sn sn']], simp-all, blast)
  apply (rule Imp-I Disj-EH Conj-EH)+
  — case 1, Var j EQ Zero
  apply (simp add: vquot-fm-def)
  apply (rule thin1)
  apply (rule Var-Eq-subst-Iff [THEN Iff-MP-same], simp)
  apply (simp add: substj)
  apply (rule Q-All2-Zero [THEN thin])
  using assms
  apply (simp add: quote-all-def, blast)
  — case 2, Var j EQ Eats (Var sm) (Var sn)
  apply (rule Imp-I Conj-EH Ex-EH)+
  using atoms apply (auto elim!: fresh-quote-all-mem)
  apply (rule cut-same [where A = QuoteP (Var sm) (Var sm')])
  apply (blast intro: QuoteP-I)
  apply (rule cut-same [where A = QuoteP (Var sn) (Var sn')])
  apply (blast intro: QuoteP-I)
  — Eats case. IH for sm
  apply (rule All2-E [where x=Var m, THEN rotate12], simp-all, blast)
  apply (rule All-E [where x=Var sm], simp)
  apply (rule All-E [where x=Var sm'], simp)
  apply (rule Imp-E, blast)
  — Setting up the subgoal
  apply (rule cut-same [where A = PfP (ssubst [All2 i (Eats (Var sm) (Var
sn)) α] V' V' F')])
  defer 1
  apply (rule rotate6)
  apply (simp add: vquot-fm-def)
  apply (rule Var-Eq-subst-Iff [THEN Iff-MP-same], force simp add: substj
ss-noprimes j')

```

```

apply (rule cut-same [where A = All2 i (Eats (Var sm) (Var sn)) α])
  apply (rule All2-cong [OF Hyp Iff-refl, THEN Iff-MP-same], blast)
    apply (force elim!: fresh-quote-all-mem
      simp add: fresh-at-base fresh-finite-set-at-base, blast)
  apply (rule All2-Eats-E, simp)
  apply (rule MP-same [THEN MP-same])
  apply (rule Q-All2-Eats [THEN thin])
  apply (force simp add: quote-all'-eq)
  — Proving PfP (ssubst [All2 i (Var sm) α] V' V' F')
  apply (force intro!: Imp-E [THEN rotate3] simp add: vquot-fm-def substj j'
    ss-noprimes)
  — Proving PfP (ssubst [α(i:=Var sn)] V' V' F')
  apply (rule MP-same [OF subst-i-star [THEN thin]])
  apply (force simp add: quote-all'-eq, blast)
  done
qed
hence p1: insert (OrdP (Var k)) (quote-all p (Vs-{j}))
  ⊢ (All j' (SeqQuoteP (Var j) (Var j') (Var s) (Var k) IMP
    All2 i (Var j) α IMP PfP (ssubst [All2 i (Var j) α] Vs Vs F))) (j:=Var
j)
  by (metis All-D)
have insert (OrdP (Var k)) (quote-all p (Vs-{j}))
  ⊢ (SeqQuoteP (Var j) (Var j') (Var s) (Var k) IMP
    All2 i (Var j) α IMP PfP (ssubst [All2 i (Var j) α] Vs Vs F)) (j':=Var
j')
  apply (rule All-D)
  using p1 atoms by simp
thus ?thesis
  using atoms
  by simp (metis SeqQuoteP-imp-OrdP Imp-cut anti-deduction)
qed

lemma (in quote-perm) quote-all-Mem-imp-All2:
assumes IH: insert (QuoteP (Var i) (Var i')) (quote-all p Vs)
  ⊢ α IMP PfP (ssubst [α](insert i Vs) (insert i Vs) Fi)
and supp (All2 i (Var j) α) ⊆ atom ` Vs
and j: atom j # (i,α) and i: atom i # p and i': atom i' # (i,p,α)
and pi: pi = (atom i ≡ atom i') + p
and Fi: Fi = make-F (insert i Vs) pi
shows insert (All2 i (Var j) α) (quote-all p Vs) ⊢ PfP (ssubst [All2 i (Var j)
α] Vs Vs F)
proof -
have sp: supp α - {atom i} ⊆ atom ` Vs and jV: j ∈ Vs
  using assms
  by (auto simp: fresh-def supp-Pair)
obtain s::name and k::name
  where atoms: atom s # (k,i,j,p·j,α,p) atom k # (i,j,p·j,α,p)
  by (metis obtain-fresh)
hence ii: atom i # (j, p · j, s, k, p) using i j

```

```

by (simp add: fresh-Pair) (metis fresh-at-base(2) fresh-perm fresh-permute-left
pinv)
have jj: atom  $j \notin (p \cdot j, s, k, \alpha)$  using atoms  $j$ 
  by (auto simp: fresh-Pair) (metis atom-fresh-perm  $jV$ )
have pj: atom  $(p \cdot j) \notin (s, k, \alpha)$  using atoms  $ii \ sp \ jV$ 
  by (simp add: fresh-Pair) (auto simp: fresh-def perm-exits-Vs dest!: subsetD)
show ?thesis
apply (rule cut-same [where  $A = \text{QuoteP}(\text{Var } j) (\text{Var } (p \cdot j))$ ])
apply (force intro:  $jV$  Hyp simp add: quote-all-def)
using atoms
apply (auto simp: QuoteP.simps [of  $s \dashv k$ ] elim!: fresh-quote-all-mem)
apply (rule MP-same)
apply (rule SeqQuoteP-Mem-imp-All2 [OF IH sp  $jV$  refl pi  $Fi \ ii \ i' \ jj \ pj$ , THEN
thin])
apply (auto simp: fresh-at-base-permI quote-all-def intro!: fresh-ssubst')
done
qed

```

## 11.6 The Derivability Condition, Theorem 9.1

```

lemma SpecI:  $H \vdash A \text{ IMP } \exists x \ i \ A$ 
  by (metis Imp-I Assume Ex-I subst-fm-id)

lemma star:
fixes  $p :: \text{perm}$  and  $F :: \text{name} \Rightarrow \text{tm}$ 
assumes  $C: ss\text{-fm } \alpha$ 
  and  $p: \text{atom } '(p \cdot V) \nparallel V \ -p = p$ 
  and  $V: \text{finite } V \ \text{supp } \alpha \subseteq \text{atom } ' V$ 
  and  $F: F = \text{make-}F \ V \ p$ 
  shows  $\text{insert } \alpha \ (\text{quote-all } p \ V) \vdash PfP \ (\text{ssubst } [\alpha] V \ V \ F)$ 
using  $C \ V \ p \ F$ 
proof (nominal-induct avoiding:  $p$  arbitrary:  $V \ F$  rule: ss-fm.strong-induct)
  case (MemI  $i \ j$ ) show ?case
    proof (cases  $i=j$ )
      case True thus ?thesis
        by auto
    next
      case False
      hence  $ij: \text{atom } i \ \# \ j \ \{i, j\} \subseteq V$  using MemI
        by auto
      interpret qp: quote-perm  $p \ V \ F$ 
        by unfold-locales (auto simp: image-iff  $F \ \text{make-}F\text{-def } p \ \text{MemI}$ )
      have insert ( $\text{Var } i \ IN \ \text{Var } j$ ) ( $\text{quote-all } p \ V$ )  $\vdash PfP \ (Q\text{-Mem } (\text{Var } (p \cdot i))$ 
( $\text{Var } (p \cdot j))$ 
        apply (rule QuoteP-Mem-imp-QMem [of  $i \ j$ , THEN cut3])
        using ij apply (auto simp: quote-all-def qp.atom-fresh-perm intro: Hyp)
        apply (metis atom-eqvt fresh-Pair fresh-at-base(2) fresh-permute-iff qp.atom-fresh-perm)
        done
      thus ?thesis
    qed
  qed
qed

```

```

apply (simp add: vquot-fm-def)
using MemI apply (auto simp: make-F-def)
done
qed
next
case (DisjI A B)
interpret qp: quote-perm p V F
  by unfold-locales (auto simp: image-iff DisjI)
show ?case
  apply auto
  apply (rule-tac [2] qp.quote-all-Disj-I2-PfP-ssubst)
  apply (rule qp.quote-all-Disj-I1-PfP-ssubst)
  using DisjI by auto
next
case (ConjI A B)
interpret qp: quote-perm p V F
  by unfold-locales (auto simp: image-iff ConjI)
show ?case
  apply (rule qp.quote-all-Conj-I-PfP-ssubst)
  using ConjI by (auto intro: thin1 thin2)
next
case (ExI A i)
interpret qp: quote-perm p V F
  by unfold-locales (auto simp: image-iff ExI)
obtain i':name where i': atom i' # (i,p,A)
  by (metis obtain-fresh)
define p' where p' = (atom i ⇐ atom i') + p
define F' where F' = make-F (insert i V) p'
have p'-apply [simp]: !!v. p' · v = (if v=i then i' else if v=i' then i else p · v)
  using ⟨atom i # p⟩ i'
  by (auto simp: p'-def fresh-Pair fresh-at-base-permI)
  (metis atom-eq-iff fresh-at-base-permI permute-eq-iff swap-at-base-simps(3))

have p'V: p' · V = p · V
  by (metis i' p'-def permute-plus fresh-Pair qp.fresh-pVs swap-fresh-fresh ⟨atom i # p⟩)
have i: i ∉ V i ∉ p · V atom i # V atom i # p · V atom i # p' · V using ExI
  by (auto simp: p'V fresh-finite-set-at-base notin-V)
interpret qp': quote-perm p' insert i V F'
  by (auto simp: qp.qp-insert i' p'-def F'-def ⟨atom i # p⟩)
{ fix W t assume W: W ⊆ V i ∉ W i' ∉ W
  hence finite W by (metis ⟨finite V⟩ infinite-super)
  hence ssubst t W F' = ssubst t W F using W
    by induct (auto simp: qp.ssubst-insert-if qp'.ssubst-insert-if qp.F-unfold
      qp'.F-unfold)
  }
hence ss-simp: ssubst [Ex i A](insert i V) (insert i V) F' = ssubst [Ex i A] V
V F using i
  by (metis equalityE insertCI p'-apply qp'.perm-exists-Vs qp'.ssubst-vquot-Ex

```

```

qp. Vs)
have qa-p': quote-all p' V = quote-all p V using i i' ExI.hyps(1)
  by (auto simp: p'-def quote-all-perm-eq)
have ss: (quote-all p' (insert i V))
  ⊢ PfP (ssubst [A](insert i V) (insert i V) F') IMP
  PfP (ssubst [Ex i A](insert i V) (insert i V) F')
apply (rule qp'.quote-all-MonPon-PfP-ssubst [OF SpecI])
using ExI apply auto
done
hence insert A (quote-all p' (insert i V))
  ⊢ PfP (ssubst [Ex i A](insert i V) (insert i V) F')
apply (rule MP-thin)
apply (rule ExI(3) [of insert i V p' F'])
apply (metis ‹finite V› finite-insert)
using ‹supp (Ex i A) ⊆ -› qp'.p qp'.pinv i'
apply (auto simp: F'-def fresh-finite-insert)
done
hence insert (QuoteP (Var i) (Var i')) (insert A (quote-all p V))
  ⊢ PfP (ssubst [Ex i A] V V F)
by (auto simp: insert-commute ss-simp qa-p')
hence Exi': insert (Ex i' (QuoteP (Var i) (Var i'))) (insert A (quote-all p V))

  ⊢ PfP (ssubst [Ex i A] V V F)
by (auto intro!: qp.fresh-ssubst-fm) (auto simp: ExI i' fresh-quote-all-mem)
have insert A (quote-all p V) ⊢ PfP (ssubst [Ex i A] V V F)
  using i' by (auto intro: cut0 [OF exists-QuoteP Exi'])
thus insert (Ex i A) (quote-all p V) ⊢ PfP (ssubst [Ex i A] V V F)
  apply (rule Ex-E, simp)
  apply (rule qp.fresh-ssubst-fm) using i ExI
  apply (auto simp: fresh-quote-all-mem)
  done
next
case (All2I A j i p V F)
interpret qp: quote-perm p V F
  by unfold-locales (auto simp: image-iff All2I)
obtain i'::name where i': atom i' # (i,p,A)
  by (metis obtain-fresh)
define p' where p' = (atom i ⇌ atom i') + p
define F' where F' = make-F (insert i V) p'
interpret qp': quote-perm p' insert i V F'
  using ‹atom i # p› i'
  by (auto simp: qp.qp-insert p'-def F'-def)
have p'-apply [simp]: p' · i = i'
  using ‹atom i # p› by (auto simp: p'-def fresh-at-base-permI)
have qa-p': quote-all p' V = quote-all p V using i' All2I
  by (auto simp: p'-def quote-all-perm-eq)
have insert A (quote-all p' (insert i V))
  ⊢ PfP (ssubst [A](insert i V) (insert i V) F')
apply (rule All2I.hyps)

```

```

using <supp (All2 i - A) ⊆ -> qp'.p qp'.pinv
apply (auto simp: F'-def fresh-finite-insert)
done
hence insert (QuoteP (Var i) (Var i')) (quote-all p V)
  ⊢ A IMP PfP (ssubst [A](insert i V) (insert i V) (make-F (insert i V)
p'))
  by (auto simp: insert-commute qa-p' F'-def)
  thus insert (All2 i (Var j) A) (quote-all p V) ⊢ PfP (ssubst [All2 i (Var j)
A] V V F)
    using All2I i' qp.quote-all-Mem-imp-All2 by (simp add: p'-def)
qed

theorem Provability:
assumes Sigma-fm α ground-fm α
shows {α} ⊢ PfP «α»
proof -
obtain β where β: ss-fm β ground-fm β {} ⊢ α IFF β using assms
  by (auto simp: Sigma-fm-def ground-fm-aux-def)
hence {β} ⊢ PfP «β» using star [of β 0 {}]
  by (auto simp: ground-fm-aux-def fresh-star-def)
then have {α} ⊢ PfP «β» using β
  by (metis Iff-MP-left')
moreover have {} ⊢ PfP «β IMP α» using β
  by (metis Conj-E2 Iff-def proved-imp-proved-PfP)
ultimately show ?thesis
  by (metis PfP-implies-ModPon-PfP-quot thin0)
qed

end

```

## Kapitel 12

# Gödel's Second Incompleteness Theorem

```
theory Goedel-II
imports Goedel-I Quote
begin
```

The connection between *Quote* and *HR* (for interest only).

```
lemma Quote-q-Eats [intro]:
  Quote y y' ==> Quote z z' ==> Quote (y < z) (q-Eats y' z')
  by (auto simp: Quote-def SeqQuote-def intro: BuildSeq2-combine)

lemma Quote-q-Succ [intro]: Quote y y' ==> Quote (succ y) (q-Succ y')
  by (auto simp: succ-def q-Succ-def)

lemma HR-imp-eq-H: HR x z ==> z = [HF x]e
  apply (clarify simp: SeqHR-def HR-def)
  apply (erule BuildSeq2-induct, auto simp add: q-defs WR-iff-eq-W [where e=e])
  done

lemma HR-Ord-D: HR x y ==> Ord x ==> WR x y
  by (metis HF-Ord HR-imp-eq-H WR-iff-eq-W)

lemma WR-Quote: WR (ord-of i) y ==> Quote (ord-of i) y
  by (induct i arbitrary: y) (auto simp: Quote-0 WR0-iff WR-succ-iff q-Succ-def
    [symmetric])

lemma [simp]: ⟨⟨0,0,0⟩, x, y⟩ = q-Eats x y
  by (simp add: q-Eats-def)

lemma HR-imp-Quote: coding-hf x ==> HR x y ==> Quote x y
  apply (induct x arbitrary: y rule: coding-hf.induct, auto simp: WR-Quote HR-Ord-D)
  apply (auto dest!: HR-imp-eq-H [where e= e0])
  by (metis hpair-def' Quote-0 HR-H Quote-q-Eats)
```

```

interpretation qp0: quote-perm 0 {} make-F {} 0
  proof unfold-locales qed auto

lemma MonPon-PfP-implies-PfP:
   $\llbracket \{ \} \vdash \alpha \text{ IMP } \beta; \text{ground-fm } \alpha; \text{ground-fm } \beta \rrbracket \implies \{ \text{PfP ``}\alpha\text{''} \} \vdash \text{PfP ``}\beta\text{''}$ 
  using qp0.quote-all-MonPon-PfP-ssubst
  by auto (metis Assume PfP-implies-ModPon-PfP-quot proved-iff-proved-PfP thin0)

lemma PfP-quot-contra: ground-fm  $\alpha \implies \{ \} \vdash \text{PfP ``}\alpha\text{'' IMP PfP ``}\text{Neg } \alpha\text{'' IMP PfP ``}\text{Fls}\text{''}$ 
  using qp0.quote-all-Contra-PfP-ssubst
  by (auto simp: qp0.quote-all-Contra-PfP-ssubst ground-fm-aux-def)

  Gödel's second incompleteness theorem: Our theory cannot prove its own consistency.

theorem Goedel-II:  $\neg \{ \} \vdash \text{Neg} (\text{PfP ``}\text{Fls}\text{''})$ 
  proof -
    obtain  $\delta$  where diag:  $\{ \} \vdash \delta \text{ IFF Neg} (\text{PfP ``}\delta\text{''}) \dashv \{ \} \vdash \delta$  and gnd: ground-fm  $\delta$ 
      by (metis Goedel-I)
      have  $\{ \text{PfP ``}\delta\text{''} \} \vdash \text{PfP ``}\text{PfP ``}\delta\text{''}\text{''}$ 
        by (auto simp: Provability ground-fm-aux-def supp-conv-fresh)
      moreover have  $\{ \text{PfP ``}\delta\text{''} \} \vdash \text{PfP ``}\text{Neg} (\text{PfP ``}\delta\text{''})\text{''}$ 
      proof (rule MonPon-PfP-implies-PfP [OF - gnd])
        show  $\{ \} \vdash \delta \text{ IMP Neg} (\text{PfP ``}\delta\text{''})$ 
          by (metis Conj-E2 Iff-def Iff-sym diag(1))
        show ground-fm ( $\text{Neg} (\text{PfP ``}\delta\text{''})$ )
          by (auto simp: ground-fm-aux-def supp-conv-fresh)
      qed
      moreover have ground-fm ( $\text{PfP ``}\delta\text{''}$ )
        by (auto simp: ground-fm-aux-def supp-conv-fresh)
      ultimately have  $\{ \text{PfP ``}\delta\text{''} \} \vdash \text{PfP ``}\text{Fls}\text{''}$  using PfP-quot-contra
        by (metis (no-types) anti-deduction cut2)
      thus  $\neg \{ \} \vdash \text{Neg} (\text{PfP ``}\text{Fls}\text{''})$ 
        by (metis Iff-MP2-same Neg-mono cut1 diag)
    qed

end

```

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