

# A formalized programming language with speculative execution

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## Abstract

We present the formalization of a programming language whose operational semantics allows for the speculative execution of its statements. This type of semantics is relevant for discussing transient execution security vulnerabilities such as Spectre and Meltdown. An instantiation of Relative Security to this language is provided along with proofs of security and insecurity of selected programs from the Spectre benchmark.

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## 1 A Simple Imperative Language

*theory Language-Syntax imports Language-Prelims Relative-Security Trivia begin*

A Simple Imperative Language with arrays, inputs and outputs, and speculation fences, based off the syntax for IMP in Concrete Semantics [3]

Scalar variables are defined as strings, and so are the array variables

```
type-synonym vname = string  
type-synonym avname = string
```

Since the Spectre benchmark examples reason about integer variables, we define our set of values to be integers

```
type-synonym val = int
```

We define our set of locations to be integers

```
type-synonym loc = nat
```

## 1.1 Arithmetic and Boolean Expressions

Arithmetic expressions can either be literals, variables or array variables (array variable name, index), or some operation on these. The arithmetic operators we capture in an expression are addition and multiplication. For boolean expressions we capture negation and conjunction, and the arithmetic comparison operator "less than" where equality of two arithmetic terms is later defined in terms of these constructors

```
datatype aexp = N int | V vname | VA avname aexp | Plus aexp aexp | Times  
aexp aexp |  
Ite bexp aexp aexp | Fun aexp aexp  
and bexp = Bc bool | Not bexp | And bexp bexp | Less aexp aexp
```

To enable reasoning about more subtle Spectre-like examples require the existence of trusted and untrusted I/O channels

```
datatype trustStat = Trusted (T) | Untrusted (U)
```

```
consts func :: aexp × aexp ⇒ val
```

A little syntax magic to write larger states compactly:

```
definition null-state (<>) where  
  null-state ≡ λx. 0  
syntax  
-State :: updbinds => 'a (<->)  
translations  
-State ms == -Update <> ms  
-State (-updbinds b bs) <= -Update (-State b) bs
```

## 1.2 Commands

The language defined by this grammar capture standard basic mechanisms for manipulating scalar and array variables, and (un)conditional jumps, using Jump and IfJump, as control structures. It is also an I/O interactive language, accepting inputs on various input channels and producing outputs on various output channels. Most of the commands are standard, however there

is an inclusion of Fences and Masking commands which are non-standard. The "Fence" command models the lfence instruction which prevents further speculative execution and is crucial in capturing key Spectre benchmark examples. The Mask command models Speculative Load Hardening (SLH), which masks variable values with respect to a given condition, contextually it can protect against leaks by masking values during misspeculation. It can be read as "M var I b T exp1 E exp2 == IF b THEN var = exp1 ELSE var = exp2"

```
datatype (discs-sels) com =
  Start
  | Skip
  | getInput trustStat vname ((Input -/ -) [0, 61] 61)
  | Output trustStat aexp ((Output -/ -) [0, 61] 61)
  | Fence
  | Jump nat
  | Assign vname aexp (- ::= - [1000, 61] 61)
  | ArrAssign avname aexp aexp (- [-] ::= - [1000, 61] 61)
  | IfJump bexp nat nat ((IfJump -/ -) [0, 0, 61] 61)
```

A predicate which determines whether or not a memory read occurs in an arithmetic expression

```
fun isReadMemory :: aexp  $\Rightarrow$  bool where
  isReadMemory (N n) = False |
  isReadMemory (V x) = False |
  isReadMemory (VA a i) = True |
  isReadMemory (Plus a1 a2) = (isReadMemory a1  $\vee$  isReadMemory a2) |
  isReadMemory (Times a1 a2) = (isReadMemory a1  $\vee$  isReadMemory a2)
```

### 1.3 Stores, States and Configurations

Defining a variable store, array variable store and a heap. The variable store is as standard, mapping variable names to values. The array variable store maps array name, to a base address in the and the size of the array. The heap maps memory locations to values

```
datatype vstore = Vstore (vstore:vname  $\Rightarrow$  val)
datatype avstore = Avstore (avstore:avname  $\Rightarrow$  loc * nat)
datatype heap = Heap (hheap:loc  $\Rightarrow$  val)
```

A given value of an element in an array is assigned in the heap at location "array base+index". For example if the array "a1" has array base = 0, then the value a1[3] can be found at memory location 3 in the heap

```
definition array-base :: avname  $\Rightarrow$  avstore  $\Rightarrow$  loc where
  array-base arr avst  $\equiv$  case avst of (Avstore as) \Rightarrow fst (as arr)
```

```
definition array-bound :: avname  $\Rightarrow$  avstore  $\Rightarrow$  nat where
```

*array-bound arr avst*  $\equiv$  *case avst of (Avstore as)  $\Rightarrow$  snd (as arr)*

**definition** *array-loc* :: *avname*  $\Rightarrow$  *nat*  $\Rightarrow$  *avstore*  $\Rightarrow$  *loc* **where**  
*array-loc arr i avst*  $\equiv$  *array-base arr avst + i*

**lemma** *array-locBase*: *array-base arr avst = array-loc arr 0 avst*  
**by** (*simp add: array-loc-def*)

A state consists of: (command, variable store, heap, next free location in the heap).

**datatype** *state* = *State (getVstore: vstore) (getAvstore: avstore) (getHeap: heap) (getFree: nat)*

**fun** *getHheap* **where** *getHheap (State vst avst h p) = hheap h*

A configuration for the normal semantics consists of: (command,state,the set of read memory locations so far).

**type-synonym** *pcounter* = *nat*

**datatype** *config* = *Config (pcOf: pcounter) (stateOf: state)*

**fun** *vstoreOf* **where** *vstoreOf (Config pc s) = vstore (getVstore s)*  
**fun** *avstoreOf* **where** *avstoreOf (Config pc s) = avstore (getAvstore s)*  
**fun** *heapOf* **where** *heapOf (Config pc s) = getHeap s*  
**fun** *freeOf* **where** *freeOf (Config pc s) = getFree s*  
**fun** *hheapOf* **where** *hheapOf (Config pc s) = getHheap s*

## 1.4 Evaluation of arithmetic and boolean expressions

A standard recursive function which evaluates a given expression

```
fun aval :: aexp  $\Rightarrow$  state  $\Rightarrow$  val
and bval :: bexp  $\Rightarrow$  state  $\Rightarrow$  bool where
aval (N n) s = n
|
aval (V x) s = vstore (getVstore s) x
|
aval (VA a i) s = getHheap s (array-loc a (nat(aval i s)) (getAvstore s))
|
aval (Plus a1 a2) s = aval a1 s + aval a2 s
|
aval (Times a1 a2) s = aval a1 s * aval a2 s
|
aval (Ite b a1 a2) s = (if bval b s then aval a1 s else aval a2 s)
|
aval (Fun x y) s = func (x, y)
```

```

bval (Bc v) s = v
|
bval (Not b) s = ( $\neg$  bval b s)
|
bval (And b1 b2) s = (bval b1 s  $\wedge$  bval b2 s)
|
bval (Less a1 a2) s = (aval a1 s < aval a2 s)

An arithmetic equivalence of two terms as a boolean expression

definition Eq :: aexp  $\Rightarrow$  aexp  $\Rightarrow$  bexp where
Eq a1 a2  $\equiv$  And (Not (Less a1 a2)) (Not (Less a2 a1))

lemma Eq-verif:bval (Eq a1 a2) s  $\longleftrightarrow$  aval a1 s = aval a2 s
  apply standard
  unfolding Eq-def by simp+

fun outOf :: com  $\Rightarrow$  state  $\Rightarrow$  val where
outOf c s = (case c of Output T aexp  $\Rightarrow$  aval aexp s |-  $\Rightarrow$  undefined)

end

```

## 2 Basic Semantics

```

theory Step-Basic
imports Language-Syntax
begin

```

This theory introduces a standard semantics for the commands defined

### 2.1 Well-formed programs

A well-formed program is a nonempty list of commands where the head of the list is the "Start" command

```
type-synonym prog = com list
```

```

locale Prog =
fixes prog :: prog
assumes
wf-prog: prog  $\neq$  []  $\wedge$  hd prog = Start
begin

```

This is the program counter signifying the end of the program:

```
definition endPC  $\equiv$  length prog
```

And some sanity checks for a well formed program...

```

lemma length-prog-gt-0: length prog > 0
using wf-prog by auto

lemma length-prog-not-0: length prog ≠ 0
using wf-prog by auto

lemma endPC-gt-0: endPC > 0
unfolding endPC-def using length-prog-gt-0 by blast

lemma endPC-not-0: endPC ≠ 0
unfolding endPC-def using length-prog-not-0 by blast

lemma hd-prog-Start: hd prog = Start
using wf-prog by auto

lemma prog-0: prog ! 0 = Start
by (metis hd-conv-nth wf-prog)

```

## 2.2 Basic Semantics of Commands

The basic small step semantics of the language, parameterised by a fixed program. The semantics operate on input streams and memories which are consumed and updated while the program counter moves through the list of commands. This emulates standard (and expected) execution of the commands defined. Since no speculation is captured in this basic semantics, the Fence command the same as SKIP

```

inductive
stepB :: config × val llist × val llist ⇒ config × val llist × val llist ⇒ bool (infix
→B 55)
where
Seq-Start-Skip-Fence:
pc < endPC ⇒ prog!pc ∈ {Start, Skip, Fence} ⇒
(Config pc s, ibT, ibUT) →B (Config (Suc pc) s, ibT, ibUT)
|
Assign:
pc < endPC ⇒ prog!pc = (x ::= a) ⇒
s = State (Vstore vs) avst h p ⇒
(Config pc s, ibT, ibUT)
→B
(Config (Suc pc) (State (Vstore (vs(x := aval a s))) avst h p), ibT, ibUT)
|
ArrAssign:
pc < endPC ⇒ prog!pc = (arr[index] ::= a) ⇒
v = aval index s ⇒ w = aval a s ⇒
0 ≤ v ⇒ v < int (array-bound arr avst) ⇒
l = array-loc arr (nat v) avst ⇒
s = State vst avst (Heap h) p

```

```

 $\xrightarrow{\quad}$ 
 $(Config\ pc\ s,\ ibT,\ ibUT)$ 
 $\rightarrow B$ 
 $(Config\ (Suc\ pc)\ (State\ vst\ avst\ (Heap\ (h(l := w)))\ p),\ ibT,\ ibUT)$ 
|
 $getTrustedInput:$ 
 $pc < endPC \implies prog!pc = Input\ T\ x \implies$ 
 $(Config\ pc\ (State\ (Vstore\ vs)\ avst\ h\ p),\ LCons\ i\ ibT,\ ibUT)$ 
 $\rightarrow B$ 
 $(Config\ (Suc\ pc)\ (State\ (Vstore\ (vs(x := i)))\ avst\ h\ p),\ ibT,\ ibUT)$ 
|
 $getUntrustedInput:$ 
 $pc < endPC \implies prog!pc = Input\ U\ x \implies$ 
 $(Config\ pc\ (State\ (Vstore\ vs)\ avst\ h\ p),\ ibT,\ LCons\ i\ ibUT)$ 
 $\rightarrow B$ 
 $(Config\ (Suc\ pc)\ (State\ (Vstore\ (vs(x := i)))\ avst\ h\ p),\ ibT,\ ibUT)$ 
|
 $Output:$ 
 $pc < endPC \implies prog!pc = Output\ t\ aexp \implies$ 
 $(Config\ pc\ s,\ ibT,\ ibUT)$ 
 $\rightarrow B$ 
 $(Config\ (Suc\ pc)\ s,\ ibT,\ ibUT)$ 
|
 $Jump:$ 
 $pc < endPC \implies prog!pc = Jump\ pc1 \implies$ 
 $(Config\ pc\ s,\ ibT,\ ibUT) \rightarrow B (Config\ pc1\ s,\ ibT,\ ibUT)$ 
|
 $IfTrue:$ 
 $pc < endPC \implies prog!pc = IfJump\ b\ pc1\ pc2 \implies$ 
 $bval\ b\ s \implies$ 
 $(Config\ pc\ s,\ ibT,\ ibUT) \rightarrow B (Config\ pc1\ s,\ ibT,\ ibUT)$ 
|
 $IfFalse:$ 
 $pc < endPC \implies prog!pc = IfJump\ b\ pc1\ pc2 \implies$ 
 $\neg bval\ b\ s \implies$ 
 $(Config\ pc\ s,\ ibT,\ ibUT) \rightarrow B (Config\ pc2\ s,\ ibT,\ ibUT)$ 

```

**lemmas** *stepB-induct* = *stepB.induct*[split-format(complete)]

### abbreviation

```

stepsB :: config × val llist × val llist ⇒ config × val llist × val llist ⇒ bool (infix
 $\rightarrow B*$  55)
where x  $\rightarrow B*$  y == star stepB x y

```

**declare** *stepB.intros*[simp,intro]

## 2.3 State Transitions

Useful lemmas regarding valid transitions of the semantics along with conditions for termination (finalB)

```
definition finalB = final stepB
lemmas finalB-defs = final-def finalB-def
```

```
lemma finalB-iff-aux:
pc < endPC ∧
(∀ x i a. prog!pc = (x[i] ::= a) → aval i s ≥ 0 ∧
aval i s < int (array-bound x (getAvstore s))) ∧
(∀ y. prog!pc = Input T y → ibT ≠ LNil) ∧
(∀ y. prog!pc = Input U y → ibUT ≠ LNil)
 $\leftrightarrow$ 
(∃ cfg'. (Config pc s, ibT, ibUT) →B cfg')
```

```
apply (cases s) subgoal for vst avst h p
apply(cases vst) apply(cases h) subgoal for vs hh apply clar simp
```

```
apply (cases prog!pc)
subgoal by (auto elim: stepB.cases, blast)
subgoal by (auto elim: stepB.cases, blast)
subgoal for t apply(cases t)
subgoal by(cases ibT, auto elim: stepB.cases, blast)
subgoal by(cases ibUT, auto elim: stepB.cases, blast) .
subgoal for t apply(cases t)
subgoal by (auto elim: stepB.cases, blast)
subgoal by (auto elim: stepB.cases, blast) .
subgoal by (auto elim: stepB.cases, blast)
subgoal by (auto elim: stepB.cases, blast) . . .
```

```
lemma finalB-iff:
finalB (Config pc s, ibT, ibUT)
 $\leftrightarrow$ 
(pc ≥ endPC ∨
(∃ x i a. prog!pc = (x[i] ::= a) ∧
(¬ aval i s ≥ 0 ∨ ¬ aval i s < int (array-bound x (getAvstore s)))) ∨
(∃ y. prog!pc = Input T y ∧ ibT = LNil) ∨
(∃ y. prog!pc = Input U y ∧ ibUT = LNil))
using finalB-iff-aux[of pc s ibT ibUT] unfolding finalB-def final-def
using verit-comp-simplify1(3) by blast
```

```
lemma stepB-determ:
```

```

 $cfg\text{-}ib \rightarrow B cfg\text{-}ib' \implies cfg\text{-}ib \rightarrow B cfg\text{-}ib'' \implies cfg\text{-}ib'' = cfg\text{-}ib'$ 
apply(induction arbitrary:  $cfg\text{-}ib''$  rule: stepB.induct)
by (auto elim: stepB.cases)

definition nextB :: config × val llist × val llist ⇒ config × val llist × val llist
where
nextB  $cfg\text{-}ib \equiv \text{SOME } cfg'\text{-}ib'. cfg\text{-}ib \rightarrow B cfg'\text{-}ib'$ 

lemma nextB-stepB:  $\neg \text{finalB } cfg\text{-}ib \implies cfg\text{-}ib \rightarrow B (\text{nextB } cfg\text{-}ib)$ 
unfolding nextB-def apply(rule someI-ex)
unfolding finalB-def final-def by auto

lemma stepB-nextB:  $cfg\text{-}ib \rightarrow B cfg'\text{-}ib' \implies cfg'\text{-}ib' = \text{nextB } cfg\text{-}ib$ 
unfolding nextB-def apply(rule sym) apply(rule some-equality)
using stepB-determ by auto

lemma nextB-iff-stepB:  $\neg \text{finalB } cfg\text{-}ib \implies \text{nextB } cfg\text{-}ib = cfg'\text{-}ib' \longleftrightarrow cfg\text{-}ib \rightarrow B cfg'\text{-}ib'$ 
using nextB-stepB stepB-nextB by blast

lemma stepB-iff-nextB:  $cfg\text{-}ib \rightarrow B cfg'\text{-}ib' \longleftrightarrow \neg \text{finalB } cfg\text{-}ib \wedge \text{nextB } cfg\text{-}ib = cfg'\text{-}ib'$ 
by (metis finalB-def final-def stepB-nextB)

```

### 2.3.1 Simplification Rules

Sufficient conditions for a given command to "execute" transit to the next state

```

lemma nextB-Start-Skip-Fence[simp]:
 $pc < endPC \implies prog!pc \in \{Start, Skip, Fence\} \implies$ 
 $\text{nextB } (\text{Config } pc s, ibT, ibUT) = (\text{Config } (\text{Suc } pc) s, ibT, ibUT)$ 
by(intro stepB-nextB[THEN sym] stepB.intros)

```

```

lemma nextB-Assign[simp]:
 $pc < endPC \implies prog!pc = (x ::= a) \implies$ 
 $s = \text{State } (Vstore vs) \text{ avst } h p \implies$ 
 $\text{nextB } (\text{Config } pc s, ibT, ibUT)$ 
 $=$ 
 $(\text{Config } (\text{Suc } pc) (\text{State } (Vstore (vs(x := aval a s))) \text{ avst } h p),$ 
 $ibT, ibUT)$ 
by(intro stepB-nextB[THEN sym] stepB.intros)

```

```

lemma nextB-ArrAssign[simp]:
 $pc < endPC \implies prog!pc = (arr[index] ::= a) \implies$ 
 $ls' = \text{readLocs } a \text{ vst } \text{avst } (\text{Heap } h) \implies$ 
 $v = \text{aval } index s \implies w = \text{aval } a s \implies$ 
 $0 \leq v \implies v < \text{int } (\text{array-bound } arr \text{ avst}) \implies$ 
 $l = \text{array-loc } arr \text{ (nat } v) \text{ avst} \implies$ 
 $s = \text{State } vst \text{ avst } (\text{Heap } h) p$ 

```

```

 $\implies$ 
nextB (Config pc s, ibT, ibUT)
=
(Config (Suc pc) (State vst avst (Heap (h(l := w))) p), ibT, ibUT)
by(intro stepB-nextB[THEN sym] stepB.intros)

lemma nextB-getTrustedInput[simp]:
pc < endPC  $\implies$  prog!pc = (Input T x)  $\implies$ 
nextB (Config pc (State (Vstore vs) avst h p), LCons i ibT, ibUT)
=
(Config (Suc pc) (State (Vstore (vs(x := i))) avst h p), ibT, ibUT)
by(intro stepB-nextB[THEN sym] stepB.intros)

lemma nextB-getUntrustedInput[simp]:
pc < endPC  $\implies$  prog!pc = (Input U x)  $\implies$ 
nextB (Config pc (State (Vstore vs) avst h p), ibT, LCons i ibUT)
=
(Config (Suc pc) (State (Vstore (vs(x := i))) avst h p), ibT, ibUT)
by(intro stepB-nextB[THEN sym] stepB.intros)

lemma nextB-getTrustedInput'[simp]:
pc < endPC  $\implies$  prog!pc = Input T x  $\implies$ 
ibT  $\neq$  LNil  $\implies$ 
nextB (Config pc (State (Vstore vs) avst h p), ibT, ibUT)
=
(Config (Suc pc) (State (Vstore (vs(x := lhd ibT))) avst h p), ltl ibT, ibUT)
by(cases ibT, auto)

lemma nextB-getUntrustedInput'[simp]:
pc < endPC  $\implies$  prog!pc = Input U x  $\implies$ 
ibUT  $\neq$  LNil  $\implies$ 
nextB (Config pc (State (Vstore vs) avst h p), ibT, ibUT)
=
(Config (Suc pc) (State (Vstore (vs(x := lhd ibUT))) avst h p), ibT, ltl ibUT)
by(cases ibUT, auto)

lemma nextB-Output[simp]:
pc < endPC  $\implies$  prog!pc = Output t aexp  $\implies$ 
nextB (Config pc s, ibT, ibUT)
=
(Config (Suc pc) s, ibT, ibUT)
by(intro stepB-nextB[THEN sym] stepB.intros)

lemma nextB-Jump[simp]:
pc < endPC  $\implies$  prog!pc = Jump pc1  $\implies$ 
nextB (Config pc s, ibT, ibUT) = (Config pc1 s, ibT, ibUT)
by(intro stepB-nextB[THEN sym] stepB.intros, simp-all+)

lemma nextB-IfTrue[simp]:

```

```

 $pc < endPC \implies prog!pc = IfJump b pc1 pc2 \implies$ 
 $bval b s \implies$ 
 $nextB(Config pc s, ibT, ibUT) = (Config pc1 s, ibT, ibUT)$ 
by(intro stepB-nextB[THEN sym] stepB.intros)

```

```

lemma nextB-IfFalse[simp]:
 $pc < endPC \implies prog!pc = IfJump b pc1 pc2 \implies$ 
 $\neg bval b s \implies$ 
 $nextB(Config pc s, ibT, ibUT) = (Config pc2 s, ibT, ibUT)$ 
by(intro stepB-nextB[THEN sym] stepB.intros)

```

```

lemma finalB-endPC: pcOf cfg = endPC  $\implies$  finalB (cfg, ibT, ibUT)
by (metis finalB-iff config.collapse le-eq-less-or-eq)

```

```

lemma stepB-endPC: pcOf cfg = endPC  $\implies$   $\neg (cfg, ibT, ibUT) \rightarrow B(cfg', ibT', ibUT')$ 
by (simp add: stepB-iff-nextB finalB-endPC)

```

```

lemma stepB-imp-le-endPC: assumes  $(cfg, ibT, ibUT) \rightarrow B(cfg', ibT', ibUT')$ 
shows pcOf cfg < endPC
using assms by(cases rule: stepB.cases, simp-all)

```

```

lemma stepB-0:  $(Config 0 s, ibT, ibUT) \rightarrow B(Config 1 s, ibT, ibUT)$ 
using prog-0 by (simp add: endPC-gt-0)

```

### 2.3.2 Elimination Rules

In the unwinding proofs of relative security it is often the case that two traces will progress in lockstep, when doing so we wish to preserve/update invariants of the current state. The following are some useful elimination rules to help simplify reasoning

```

lemma stepB-Seq-Start-Skip-FenceE:
assumes  $\langle (cfg, ibT, ibUT) \rightarrow B(cfg', ibT', ibUT') \rangle$ 
and  $\langle cfg = (Config pc (State (Vstore vs) avst h p)) \rangle$ 
and  $\langle cfg' = (Config pc' (State (Vstore vs') avst' h' p')) \rangle$ 
and  $\langle prog!pc \in \{Start, Skip, Fence\} \rangle$ 
shows  $\langle vs' = vs \wedge ibT = ibT' \wedge ibUT = ibUT' \wedge$ 
 $pc' = Suc pc \wedge avst' = avst \wedge h' = h \wedge$ 
 $p' = p \rangle$ 
using assms apply (cases (cfg, ibT, ibUT) (cfg', ibT', ibUT') rule: stepB.cases)
by auto

```

```

lemma stepB-AssignE:
assumes  $\langle (cfg, ibT, ibUT) \rightarrow B(cfg', ibT', ibUT') \rangle$ 

```

```

and <cfg = (Config pc (State (Vstore vs) avst h p))>
and <cfg' = (Config pc' (State (Vstore vs') avst' h' p'))>
and <prog!pc = (x ::= a)>
shows <vs' = (vs(x := aval a (stateOf cfg)))> ∧
      ibT = ibT' ∧ ibUT = ibUT' ∧ pc' = Suc pc ∧
      avst' = avst ∧ h' = h ∧ p' = p
using assms apply (cases (cfg, ibT, ibUT) (cfg', ibT',ibUT') rule: stepB.cases)
by auto

lemma stepB-getTrustedInputE:
assumes <(cfg, ibT, ibUT) →B (cfg', ibT',ibUT')>
and <cfg = (Config pc (State (Vstore vs) avst h p))>
and <cfg' = (Config pc' (State (Vstore vs') avst' h' p'))>
and <prog!pc = Input T x>
shows <vs' = (vs(x := lhd ibT))> ∧
      ibT' = ltl ibT ∧ ibUT = ibUT' ∧ pc' = Suc pc ∧
      avst' = avst ∧ h' = h ∧ p' = p
using assms apply (cases (cfg, ibT, ibUT) (cfg', ibT',ibUT') rule: stepB.cases)
by auto

lemma stepB-getUntrustedInputE:
assumes <(cfg, ibT, ibUT) →B (cfg', ibT',ibUT')>
and <cfg = (Config pc (State (Vstore vs) avst h p))>
and <cfg' = (Config pc' (State (Vstore vs') avst' h' p'))>
and <prog!pc = Input U x>
shows <vs' = (vs(x := lhd ibUT))> ∧
      ibT' = ibT ∧ ibUT' = ltl ibUT ∧ pc' = Suc pc ∧
      avst' = avst ∧ h' = h ∧ p' = p
using assms apply (cases (cfg, ibT, ibUT) (cfg', ibT',ibUT') rule: stepB.cases)
by auto

lemma stepB-OutputE:
assumes <(cfg, ibT, ibUT) →B (cfg', ibT',ibUT')>
and <cfg = (Config pc (State (Vstore vs) avst h p))>
and <cfg' = (Config pc' (State (Vstore vs') avst' h' p'))>
and <prog!pc = Output t aexp>
shows <vs' = vs ∧ ibT' = ibT ∧ ibUT' = ibUT ∧
      pc' = Suc pc ∧ avst' = avst ∧ h' = h ∧ p' = p>
using assms apply (cases (cfg, ibT, ibUT) (cfg', ibT',ibUT') rule: stepB.cases)
by auto

lemma stepB-JumpE:
assumes <(cfg, ibT, ibUT) →B (cfg', ibT',ibUT')>
and <cfg = (Config pc (State (Vstore vs) avst h p))>
and <cfg' = (Config pc' (State (Vstore vs') avst' h' p'))>
and <prog!pc = Jump pc1>
shows <vs' = vs ∧ ibT' = ibT ∧ ibUT' = ibUT ∧
      pc' = pc1 ∧ avst' = avst ∧ h' = h ∧ p' = p>
using assms apply (cases (cfg, ibT, ibUT) (cfg', ibT',ibUT') rule: stepB.cases)

```

by auto

```

lemma stepB-IfTrueE:
  assumes ⟨(cfg, ibT, ibUT) →B (cfg', ibT', ibUT')⟩
    and ⟨cfg = (Config pc (State (Vstore vs) avst h p))⟩
    and ⟨cfg' = (Config pc' (State (Vstore vs') avst' h' p'))⟩
    and ⟨prog!pc = IfJump b pc1 pc2⟩ and ⟨bval b (stateOf cfg)⟩
  shows ⟨vs' = vs ∧ ibT' = ibT ∧ ibUT' = ibUT ∧
    pc' = pc1 ∧ avst' = avst ∧ h' = h ∧ p' = p⟩
  using assms apply (cases (cfg, ibT, ibUT) (cfg', ibT', ibUT') rule: stepB.cases)
  by auto

lemma stepB-IffalseE:
  assumes ⟨(cfg, ibT, ibUT) →B (cfg', ibT', ibUT')⟩
    and ⟨cfg = (Config pc (State (Vstore vs) avst h p))⟩
    and ⟨cfg' = (Config pc' (State (Vstore vs') avst' h' p'))⟩
    and ⟨prog!pc = IfJump b pc1 pc2⟩ and ⟨¬bval b (stateOf cfg)⟩
  shows ⟨vs' = vs ∧ ibT' = ibT ∧ ibUT' = ibUT ∧
    pc' = pc2 ∧ avst' = avst ∧ h' = h ∧ p' = p⟩
  using assms apply (cases (cfg, ibT, ibUT) (cfg', ibT', ibUT') rule: stepB.cases)
  by auto

end

```

## 2.4 Read locations

For modeling Spectre-like vulnerabilities, we record memory reads (as in [1]), i.e., accessed for reading during the execution. We let  $\text{readLocs}(\text{pc}, \text{u})$  be the (possibly empty) set of locations that are read when executing the current command  $c$  - computed from all sub-expressions of the form  $a[e]$ . i.e. array reads. For example, if  $c$  is the assignment " $x = a[b[3]]$ ", then  $\text{readLocs}$  returns two locations: counting from 0, the 3rd location of  $b$  and the  $b[3]$ 'th location of  $a$ .

```

fun readLocsA :: aexp ⇒ state ⇒ loc set and
  readLocsB :: bexp ⇒ state ⇒ loc set where
    readLocsA (N n) s = {}
  |
    readLocsA (V x) s = {}
  |
    readLocsA (VA arr index) s =
      insert (array-loc arr (nat (aval index s)) (getAvstore s))
        (readLocsA index s)
  |
    readLocsA (Plus a1 a2) s = readLocsA a1 s ∪ readLocsA a2 s
  |
    readLocsA (Times a1 a2) s = readLocsA a1 s ∪ readLocsA a2 s
  |
    readLocsA (Ite b a1 a2) s = readLocsB b s ∪ readLocsA a1 s ∪ readLocsA a2 s

```

```

|
|   readLocsA (Fun a b) s = {}
|
|   readLocsB (Bc c) s= {}
|
|   readLocsB (Not b) s = readLocsB b s
|
|   readLocsB (And b1 b2) s = readLocsB b1 s ∪ readLocsB b2 s
|
|   readLocsB (Less a1 a2) s = readLocsA a1 s ∪ readLocsA a2 s

fun readLocsC :: com ⇒ state ⇒ loc set where
  readLocsC (x ::= a) s = readLocsA a s
  |
  readLocsC (arr[index] ::= a) s = readLocsA a s
  |
  readLocsC (Output t a) s = readLocsA a s
  |
  readLocsC (IfJump b n1 n2) s = readLocsB b s
  |
  readLocsC - - = {}

context Prog
begin

definition readLocs cfg ≡ readLocsC (prog!(pcOf cfg)) (stateOf cfg)

end

end

```

### 3 Normal Semantics

This theory augments the basic semantics to include a set of read locations which is a simple representation of a cache

The normal semantics is defined by a single rule which involves the basic semantics, extended to accumulate the read locations, which accounts for cache side-channels

```

theory Step-Normal
imports Step-Basic
begin

```

```

context Prog

```

```

begin

fun stepN :: config × val llist × val llist × loc set ⇒ config × val llist × val llist
× loc set ⇒ bool (infix ↪N 55)
where
 $(cfg, ibT, ibUT, ls) \rightarrow N (cfg', ibT', ibUT', ls') =$ 
 $((cfg, ibT, ibUT) \rightarrow B (cfg', ibT', ibUT')) \wedge ls' = ls \cup readLocs cfg$ 

abbreviation
stepsN :: config × val llist × val llist × loc set ⇒ config × val llist × val llist ×
loc set ⇒ bool (infix ↪N* 55)
where  $x \rightarrow N^* y == star stepN x y$ 

```

```

definition finalN = final stepN
lemmas finalN-defs = final-def finalN-def

lemma finalN-iff-finalB[simp]:
finalN (cfg, ibT, ibUT, ls) ←→ finalB (cfg, ibT, ibUT)
unfolding finalN-def finalB-def final-def by auto

```

### 3.1 State Transitions

```

fun nextN :: config × val llist × val llist × loc set ⇒ config × val llist × val llist
× loc set where
nextN (cfg, ibT, ibUT, ls) = (case nextB (cfg, ibT, ibUT) of (cfg', ibT', ibUT') ⇒
(cfg', ibT', ibUT', ls ∪ readLocs cfg))

lemma nextN-stepN:  $\neg finalN cfg\text{-}ib\text{-}ls \implies cfg\text{-}ib\text{-}ls \rightarrow N (nextN cfg\text{-}ib\text{-}ls)$ 
apply(cases cfg-ib-ls)
using Prog.stepB-nextB Prog-axioms finalN-def
final-def nextN.simps old.prod.case stepN.elims(2)
by force

lemma stepN-nextN:  $cfg\text{-}ib\text{-}ls \rightarrow N cfg'\text{-}ib'\text{-}ls' \implies cfg'\text{-}ib'\text{-}ls' = nextN cfg\text{-}ib\text{-}ls$ 
apply(cases cfg-ib-ls) apply(cases cfg'-ib'-ls')
using Prog.stepB-nextB Prog-axioms by auto

lemma nextN-iff-stepN:
 $\neg finalN cfg\text{-}ib\text{-}ls \implies nextN cfg\text{-}ib\text{-}ls = cfg'\text{-}ib'\text{-}ls' \longleftrightarrow cfg\text{-}ib\text{-}ls \rightarrow N cfg'\text{-}ib'\text{-}ls'$ 
using nextN-stepN stepN-nextN by blast

lemma stepN-iff-nextN:  $cfg\text{-}ib\text{-}ls \rightarrow N cfg'\text{-}ib'\text{-}ls' \longleftrightarrow \neg finalN cfg\text{-}ib\text{-}ls \wedge nextN$ 
 $cfg\text{-}ib\text{-}ls = cfg'\text{-}ib'\text{-}ls'$ 
by (metis finalN-def final-def stepN-nextN)

```

```

lemma finalN-endPC: pcOf cfg = endPC  $\implies$  finalN (cfg,ibT,ibUT)
by (metis finalN-iff-finalB finalB-endPC old.prod.exhaust)

lemma stepN-endPC: pcOf cfg = endPC  $\implies$   $\neg$  (cfg,ibT,ibUT)  $\rightarrow_N$  (cfg',ibT',ibUT')
by (simp add: finalN-endPC stepN-iff-nextN)

lemma stebN-0: (Config 0 s, ibT, ibUT, ls)  $\rightarrow_N$  (Config 1 s, ibT, ibUT, ls)
using prog-0 One-nat-def stebB-0 by (auto simp: readLocs-def)

lemma finalB-eq-finalN:finalB (cfg, ibT,ibUT)  $\longleftrightarrow$  ( $\forall$  ls. finalN (cfg, ibT,ibUT, ls))
unfolding finalN-defs finalB-def
apply standard by auto

```

### 3.2 Elimination Rules

```

lemma stepN-Assign2E:
assumes  $\langle (cfg1, ibT1, ibUT1, ls1) \rightarrow_N (cfg1', ibT1', ibUT1', ls1') \rangle$ 
and  $\langle (cfg2, ibT2, ibUT2, ls2) \rightarrow_N (cfg2', ibT2', ibUT2', ls2') \rangle$ 
and  $\langle cfg1 = (Config pc1 (State (Vstore vs1) avst1 h1 p1)) \rangle$  and  $\langle cfg1' = (Config pc1' (State (Vstore vs1') avst1' h1' p1')) \rangle$ 
and  $\langle cfg2 = (Config pc2 (State (Vstore vs2) avst2 h2 p2)) \rangle$  and  $\langle cfg2' = (Config pc2' (State (Vstore vs2') avst2' h2' p2')) \rangle$ 
and  $\langle prog!pc1 = (x ::= a) \rangle$  and  $\langle pcOf cfg1 = pcOf cfg2 \rangle$ 
shows  $\langle vs1' = (vs1(x := aval a (stateOf cfg1))) \wedge ibT1 = ibT1' \wedge ibUT1 = ibUT1' \wedge$ 
 $vs2' = (vs2(x := aval a (stateOf cfg2))) \wedge ibT2 = ibT2' \wedge ibUT2 = ibUT2' \wedge$ 
 $pc1' = Suc pc1 \wedge pc2' = Suc pc2 \wedge ls2' = ls2 \cup readLocs cfg2 \wedge$ 
 $avst1' = avst1 \wedge avst2' = avst2 \wedge ls1' = ls1 \cup readLocs cfg1 \rangle$ 
using assms apply clar simp
apply (drule stepB-AssignE[of - - - - - pc1 vs1 avst1 h1 p1]
pc1' vs1' avst1' h1' p1' x a], clarify+)
apply (drule stepB-AssignE[of - - - - - pc2 vs2 avst2 h2 p2]
pc2' vs2' avst2' h2' p2' x a], clarify+)
by auto

```

```

lemma stepN-Seq-Start-Skip-Fence2E:
assumes  $\langle (cfg1, ibT1, ibUT1, ls1) \rightarrow_N (cfg1', ibT1', ibUT1', ls1') \rangle$ 
and  $\langle (cfg2, ibT2, ibUT2, ls2) \rightarrow_N (cfg2', ibT2', ibUT2', ls2') \rangle$ 
and  $\langle cfg1 = (Config pc1 (State (Vstore vs1) avst1 h1 p1)) \rangle$  and  $\langle cfg1' = (Config pc1' (State (Vstore vs1') avst1' h1' p1')) \rangle$ 
and  $\langle cfg2 = (Config pc2 (State (Vstore vs2) avst2 h2 p2)) \rangle$  and  $\langle cfg2' = (Config pc2' (State (Vstore vs2') avst2' h2' p2')) \rangle$ 
and  $\langle prog!pc1 \in \{Start, Skip, Fence\} \rangle$  and  $\langle pcOf cfg1 = pcOf cfg2 \rangle$ 
shows  $\langle vs1' = vs1 \wedge vs2' = vs2 \wedge$ 

```

```

 $pc1' = Suc pc1 \wedge pc2' = Suc pc2 \wedge$ 
 $avst1' = avst1 \wedge avst2' = avst2 \wedge$ 
 $ls2' = ls2 \wedge ls1' = ls1 \rangle$ 
using assms apply clarsimp
apply (drule stepB-Seq-Start-Skip-FenceE[of ----- pc1 vs1 avst1 h1 p1
 $pc1' vs1' avst1' h1' p1'], clarify+)
apply (drule stepB-Seq-Start-Skip-FenceE[of ----- pc2 vs2 avst2 h2 p2
 $pc2' vs2' avst2' h2' p2'], clarify+)
by (auto simp add: readLocs-def)

end

end$$ 
```

## 4 Misprediction and Speculative Semantics

This theory formalizes an optimized speculative semantics, which allows for a characterization of the Spectre vulnerability, this work is inspired and based off the speculative semantics introduced by Cheang et al. [1]

```

theory Step-Spec
imports Step-Basic
begin

```

### 4.1 Misprediction Oracle

The speculative semantics is parameterised by a misprediction oracle. This consists of a predictor state:

```
typeddecl predState
```

Along with predicates "mispred" (which decides when a misprediction occurs), "resolve" (which decides for when a speculation is resolved)

Both depend on the predictor state (which evolves via the update function) and the program counters of nested speculation

```

locale Prog-Mispred =
Prog prog
for prog :: com list
 $+ \\$ 
fixes mispred :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool
and resolve :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool
and update :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  predState
begin

```

### 4.2 Mispredicting Step

stepM simply goes the other way than stepB at branches

```

inductive
 $stepM :: config \times val\ llist \times val\ llist \Rightarrow config \times val\ llist \times val\ llist \Rightarrow bool$  (infix
 $\rightarrow M 55$ )
where
IfTrue[intro]:
 $pc < endPC \implies prog!pc = IfJump\ b\ pc1\ pc2 \implies$ 
 $bval\ b\ s \implies$ 
 $(Config\ pc\ s,\ ibT,\ ibUT) \rightarrow M (Config\ pc2\ s,\ ibT,\ ibUT)$ 
|
IfFalse[intro]:
 $pc < endPC \implies prog!pc = IfJump\ b\ pc1\ pc2 \implies$ 
 $\neg bval\ b\ s \implies$ 
 $(Config\ pc\ s,\ ibT,\ ibUT) \rightarrow M (Config\ pc1\ s,\ ibT,\ ibUT)$ 

```

#### 4.2.1 State Transitions

**definition**  $finalM = final\ stepM$

```

lemma finalM-iff-aux:
 $pc < endPC \wedge is-IfJump (prog!pc)$ 
 $\iff$ 
 $(\exists cfg'. (Config\ pc\ s,\ ibT,\ ibUT) \rightarrow M cfg')$ 
apply (cases s) subgoal for vst avst h p apply clarsimp

apply (cases prog!pc)
subgoal by (auto elim: stepM.cases)
subgoal by (auto elim: stepM.cases,meson IfFalse IfTrue) . .

lemma finalM-iff:
 $finalM (Config\ pc\ (State\ vst\ avst\ h\ p),\ ibT,\ ibUT)$ 
 $\iff$ 
 $(pc \geq endPC \vee \neg is-IfJump (prog!pc))$ 
using finalM-iff-aux unfolding finalM-def final-def
by (metis linorder-not-less)

lemma finalB-imp-finalM:
 $finalB (cfg,\ ibT,\ ibUT) \implies finalM (cfg,\ ibT,\ ibUT)$ 
apply (cases cfg) subgoal for pc s apply (cases s)
subgoal for vst avst h p apply clarsimp unfolding finalB-iff finalM-iff by auto
. .

lemma not-finalM-imp-not-finalB:

```

$\neg \text{finalM}(\text{cfg}, \text{ibT}, \text{ibUT}) \implies \neg \text{finalB}(\text{cfg}, \text{ibT}, \text{ibUT})$   
**using** *finalB-imp-finalM* **by** *blast*

**lemma** *stepM-determ*:

$\text{cfg}\text{-ib} \rightarrow M \text{cfg}\text{-ib}' \implies \text{cfg}\text{-ib} \rightarrow M \text{cfg}\text{-ib}'' \implies \text{cfg}\text{-ib}'' = \text{cfg}\text{-ib}'$   
**apply**(*induction arbitrary*: *cfg*-ib'' *rule*: *stepM.induct*)  
**by** (*auto elim*: *stepM.cases*)

**definition** *nextM* :: *config*  $\times$  *val llist*  $\times$  *val llist*  $\Rightarrow$  *config*  $\times$  *val llist*  $\times$  *val llist*

**where**

$\text{nextM } \text{cfg}\text{-ib} \equiv \text{SOME } \text{cfg}'\text{-ib}' . \text{cfg}\text{-ib} \rightarrow M \text{cfg}'\text{-ib}'$

**lemma** *nextM-stepM*:  $\neg \text{finalM} \text{cfg}\text{-ib} \implies \text{cfg}\text{-ib} \rightarrow M (\text{nextM} \text{cfg}\text{-ib})$   
**unfolding** *nextM-def* **apply**(*rule* *someI-ex*)  
**unfolding** *finalM-def* *final-def* **by** *auto*

**lemma** *stepM-nextM*:  $\text{cfg}\text{-ib} \rightarrow M \text{cfg}'\text{-ib}' \implies \text{cfg}'\text{-ib}' = \text{nextM} \text{cfg}\text{-ib}$   
**unfolding** *nextM-def* **apply**(*rule* *sym*) **apply**(*rule* *some-equality*)  
**using** *stepM-determ* **by** *auto*

**lemma** *nextM-iff-stepM*:  $\neg \text{finalM} \text{cfg}\text{-ib} \implies \text{nextM} \text{cfg}\text{-ib} = \text{cfg}'\text{-ib}' \longleftrightarrow \text{cfg}\text{-ib} \rightarrow M \text{cfg}'\text{-ib}'$   
**using** *nextM-stepM stepM-nextM* **by** *blast*

**lemma** *stepM-iff-nextM*:  $\text{cfg}\text{-ib} \rightarrow M \text{cfg}'\text{-ib}' \longleftrightarrow \neg \text{finalM} \text{cfg}\text{-ib} \wedge \text{nextM} \text{cfg}\text{-ib} = \text{cfg}'\text{-ib}'$   
**by** (*metis finalM-def final-def stepM-nextM*)

**lemma** *nextM-IfTrue[simp]*:

$\text{pc} < \text{endPC} \implies \text{prog!pc} = \text{IfJump b pc1 pc2} \implies$   
 $\neg \text{bval b s} \implies$   
 $\text{nextM}(\text{Config pc s, ibT, ibUT}) = (\text{Config pc1 s, ibT, ibUT})$   
**by**(*intro stepM-nextM[THEN sym] stepM.intros*)

**lemma** *nextM-IfFalse[simp]*:

$\text{pc} < \text{endPC} \implies \text{prog!pc} = \text{IfJump b pc1 pc2} \implies$   
 $\text{bval b s} \implies$   
 $\text{nextM}(\text{Config pc s, ibT, ibUT}) = (\text{Config pc2 s, ibT, ibUT})$   
**by**(*intro stepM-nextM[THEN sym] stepM.intros*)

**end**

### 4.3 Speculative Semantics

A "speculative" configuration is a quadruple consisting of:

- The predictor's state
- The nonspeculative configuration (at level 0 so to speak)
- The list of speculative configurations (modelling nested speculation, levels 1 to n, from left to right: so the last in this list is at the current speculaton level, n)
- The list of inputs in the input buffer

We think of cfgs as a stack of configurations, one for each speculation level in a nested speculative execution. At level 0 (empty list) we have the configuration for normal, non-speculative execution. At each moment, only the top of the configuration stack, "hd cfgs" is active.

**type-synonym**  $configS = predState \times config \times config\ list \times val\ llist \times val\ llist \times loc\ set$

**context** *Prog-Mispred*  
**begin**

The speculative semantics is more involved than both the normal and basic semantics, so a short description of each rule is provided:

- Non\_spec\_normal: when we are either not mispredicting or not at a branch and there is no current speculation, i.e. normal execution
- Nonspec\_mispred: when we are mispredicting and at a branch, speculation occurs down the wrong branch, i.e. branch misprediction
- Spec\_normal: when we are either not mispredicting or not at a branch BUT there is speculation, i.e. standard speculative execution
- Spec\_mispred: when we are mispredicting and at a branch, AND also speculating... speculation occurs down the wrong branch, and we go to another speculation level i.e. nested speculative execution
- Spec\_Fence: when there is current speculation and a Fence is hit, all speculation resolves
- Spec Resolve: If the resolve predicate is true, resolution occurs for one speculation level. In contrast to Fences, resolve does not necessarily kill all speculation levels, but allows resolution one level at a time

**inductive**  
 $stepS :: configS \Rightarrow configS \Rightarrow bool$  (**infix**  $\rightarrow S 55$ )  
**where**  
*nonspec-normal*:  
 $cfgs = [] \implies$

$\neg \text{is-IfJump}(\text{prog}!(\text{pcOf } \text{cfg})) \vee \neg \text{mispred } \text{pstate}[\text{pcOf } \text{cfg}] \implies$   
 $\text{pstate}' = \text{pstate} \implies$   
 $\neg \text{finalB}(\text{cfg}, \text{ibT}, \text{ibUT}) \implies (\text{cfg}', \text{ibT}', \text{ibUT}') = \text{nextB}(\text{cfg}, \text{ibT}, \text{ibUT}) \implies$   
 $\text{cfgs}' = [] \implies$   
 $\text{ls}' = \text{ls} \cup \text{readLocs } \text{cfg}$   
 $\implies$   
 $(\text{pstate}, \text{cfg}, \text{cfgs}, \text{ibT}, \text{ibUT}, \text{ls}) \rightarrow S(\text{pstate}', \text{cfg}', \text{cfgs}', \text{ibT}', \text{ibUT}', \text{ls}')$   
|  
nonspec-mispred:  
 $\text{cfgs} = [] \implies$   
 $\text{is-IfJump}(\text{prog}!(\text{pcOf } \text{cfg})) \implies \text{mispred } \text{pstate}[\text{pcOf } \text{cfg}] \implies$   
 $\text{pstate}' = \text{update pstate}[\text{pcOf } \text{cfg}] \implies$   
 $\neg \text{finalM}(\text{cfg}, \text{ibT}, \text{ibUT}) \implies (\text{cfg}', \text{ibT}', \text{ibUT}') = \text{nextB}(\text{cfg}, \text{ibT}, \text{ibUT}) \implies$   
 $(\text{cfg1}', \text{ibT1}', \text{ibUT1}') = \text{nextM}(\text{cfg}, \text{ibT}, \text{ibUT}) \implies$   
 $\text{cfgs}' = [\text{cfg1}'] \implies$   
 $\text{ls}' = \text{ls} \cup \text{readLocs } \text{cfg}$   
 $\implies$   
 $(\text{pstate}, \text{cfg}, \text{cfgs}, \text{ibT}, \text{ibUT}, \text{ls}) \rightarrow S(\text{pstate}', \text{cfg}', \text{cfgs}', \text{ibT}', \text{ibUT}', \text{ls}')$   
|  
spec-normal:  
 $\text{cfgs} \neq [] \implies$   
 $\neg \text{resolve pstate}(\text{pcOf } \text{cfg} \# \text{map pcOf cfgs}) \implies$   
 $\neg \text{is-IfJump}(\text{prog}!(\text{pcOf}(\text{last cfgs}))) \vee \neg \text{mispred pstate}(\text{pcOf } \text{cfg} \# \text{map pcOf cfgs}) \implies$   
 $\text{prog}!(\text{pcOf}(\text{last cfgs})) \neq \text{Fence} \implies$   
 $\text{pstate}' = \text{pstate} \implies$   
 $\neg \text{is-getInput}(\text{prog}!(\text{pcOf}(\text{last cfgs}))) \implies$   
 $\neg \text{is-Output}(\text{prog}!(\text{pcOf}(\text{last cfgs}))) \implies$   
 $\neg \text{finalB}(\text{last cfgs}, \text{ibT}, \text{ibUT}) \implies (\text{cfg1}', \text{ibT}', \text{ibUT}') = \text{nextB}(\text{last cfgs}, \text{ibT}, \text{ibUT}) \implies$   
 $\text{cfg}' = \text{cfg} \implies \text{cfgs}' = \text{butlast cfgs} @ [\text{cfg1}'] \implies$   
 $\text{ls}' = \text{ls} \cup \text{readLocs}(\text{last cfgs})$   
 $\implies$   
 $(\text{pstate}, \text{cfg}, \text{cfgs}, \text{ibT}, \text{ibUT}, \text{ls}) \rightarrow S(\text{pstate}', \text{cfg}', \text{cfgs}', \text{ibT}', \text{ibUT}', \text{ls}')$   
|  
spec-mispred:  
 $\text{cfgs} \neq [] \implies$   
 $\neg \text{resolve pstate}(\text{pcOf } \text{cfg} \# \text{map pcOf cfgs}) \implies$   
 $\text{is-IfJump}(\text{prog}!(\text{pcOf}(\text{last cfgs}))) \implies \text{mispred pstate}(\text{pcOf } \text{cfg} \# \text{map pcOf cfgs}) \implies$   
 $\text{pstate}' = \text{update pstate}(\text{pcOf } \text{cfg} \# \text{map pcOf cfgs}) \implies$   
 $\neg \text{finalM}(\text{last cfgs}, \text{ibT}, \text{ibUT}) \implies$   
 $(\text{lcfg}', \text{ibT}', \text{ibUT}') = \text{nextB}(\text{last cfgs}, \text{ibT}, \text{ibUT}) \implies (\text{cfg1}', \text{ibT1}', \text{ibUT1}') =$   
 $\text{nextM}(\text{last cfgs}, \text{ibT}, \text{ibUT}) \implies$   
 $\text{cfg}' = \text{cfg} \implies \text{cfgs}' = \text{butlast cfgs} @ [\text{lcfg}] @ [\text{cfg1}'] \implies$   
 $\text{ls}' = \text{ls} \cup \text{readLocs}(\text{last cfgs})$   
 $\implies$   
 $(\text{pstate}, \text{cfg}, \text{cfgs}, \text{ibT}, \text{ibUT}, \text{ls}) \rightarrow S(\text{pstate}', \text{cfg}', \text{cfgs}', \text{ibT}', \text{ibUT}', \text{ls}')$   
|

```

spec-Fence:
 $cfgs \neq [] \implies$ 
 $\neg resolve\ pstate\ (pcOf\ cfg \# map\ pcOf\ cfgs) \implies$ 
 $prog!(pcOf\ (last\ cfgs)) = Fence \implies$ 
 $pstate' = pstate \implies cfg' = cfg \implies cfgs' = [] \implies$ 
 $ibT = ibT' \implies ibUT = ibUT' \implies ls' = ls$ 
 $\implies$ 
 $(pstate, cfg, cfgs, ibT, ibUT, ls) \rightarrow S (pstate', cfg', cfgs', ibT', ibUT', ls')$ 
|
spec-resolve:
 $cfgs \neq [] \implies$ 
 $resolve\ pstate\ (pcOf\ cfg \# map\ pcOf\ cfgs) \implies$ 
 $pstate' = update\ pstate\ (pcOf\ cfg \# map\ pcOf\ cfgs) \implies$ 
 $cfg' = cfg \implies cfgs' = butlast\ cfgs \implies$ 
 $ibT = ibT' \implies ibUT = ibUT' \implies ls' = ls$ 
 $\implies$ 
 $(pstate, cfg, cfgs, ibT, ibUT, ls) \rightarrow S (pstate', cfg', cfgs', ibT', ibUT', ls')$ 

```

**lemmas** *stepS-induct* = *stepS.induct*[split-format(complete)]

#### 4.3.1 State Transitions

**lemma** *stepS-nonspec-normal-iff*[simp]:  
 $cfgs = [] \implies \neg is-IfJump\ (prog!(pcOf\ cfg)) \vee \neg mispred\ pstate\ [pcOf\ cfg]$   
 $\implies$   
 $(pstate, cfg, cfgs, ibT, ibUT, ls) \rightarrow S (pstate', cfg', cfgs', ibT', ibUT', ls')$   
 $\longleftrightarrow$   
 $(pstate' = pstate \wedge \neg finalB\ (cfg, ibT, ibUT) \wedge$   
 $(cfg', ibT', ibUT') = nextB\ (cfg, ibT, ibUT) \wedge$   
 $cfgs' = [] \wedge ls' = ls \cup readLocs\ cfg)$   
**apply**(subst *stepS.simps*) **by** auto

**lemma** *stepS-nonspec-normal-iff1*[simp]:  
 $cfgs = [] \implies \neg is-IfJump\ (prog!pc) \vee \neg mispred\ pstate\ [pc]$   
 $\implies$   
 $(pstate, (Config\ pc\ (State\ (Vstore\ vs)\ avst\ h\ p)), cfgs, ibT, ibUT, ls) \rightarrow S (pstate',$   
 $(Config\ pc'\ (State\ (Vstore\ vs')\ avst'\ h'\ p')), cfgs', ibT', ibUT', ls')$   
 $\longleftrightarrow$   
 $(pstate' = pstate \wedge \neg finalB\ ((Config\ pc\ (State\ (Vstore\ vs)\ avst\ h\ p)), ibT, ibUT) \wedge$   
 $((Config\ pc'\ (State\ (Vstore\ vs')\ avst'\ h'\ p')), ibT', ibUT') = nextB\ ((Config\ pc$   
 $(State\ (Vstore\ vs)\ avst\ h\ p)), ibT, ibUT) \wedge$   
 $cfgs' = [] \wedge ls' = ls \cup readLocs\ (Config\ pc\ (State\ (Vstore\ vs)\ avst\ h\ p)))$   
**using** *stepS-nonspec-normal-iff config.sel(1)* **by** presburger

**lemma** *stepS-nonspec-mispred-iff*[simp]:  
 $cfgs = [] \implies is-IfJump\ (prog!(pcOf\ cfg)) \implies mispred\ pstate\ [pcOf\ cfg]$   
 $\implies$

```

 $(pstate, cfg, cfgs, ibT, ibUT, ls) \rightarrow S (pstate', cfg', cfgs', ibT', ibUT', ls')$ 
 $\iff$ 
 $(\exists cfg1' ibT1' ibUT1'. pstate' = update pstate [pcOf cfg] \wedge$ 
 $\neg finalM (cfg, ibT, ibUT) \wedge (cfg', ibT', ibUT') = nextB (cfg, ibT, ibUT) \wedge$ 
 $(cfg1', ibT1', ibUT1') = nextM (cfg, ibT, ibUT) \wedge$ 
 $cfgs' = [cfg1'] \wedge ls' = ls \cup readLocs cfg)$ 
apply(subst stepS.simps) by auto

```

**lemma** stepS-spec-normal-iff[simp]:

 $cfgs \neq [] \implies$ 
 $\neg resolve pstate (pcOf cfg \# map pcOf cfgs) \implies$ 
 $\neg is-IfJump (prog!(pcOf (last cfgs))) \vee \neg mispred pstate (pcOf cfg \# map pcOf cfgs) \implies$ 
 $prog!(pcOf (last cfgs)) \neq Fence \implies$ 
 $\implies$ 
 $(pstate, cfg, cfgs, ibT, ibUT, ls) \rightarrow S (pstate', cfg', cfgs', ibT', ibUT', ls')$ 
 $\iff$ 
 $(\exists cfg1'. pstate' = pstate \wedge$ 
 $\neg is-getInput (prog!(pcOf (last cfgs))) \wedge$ 
 $\neg is-getInput (prog!(pcOf (last cfgs))) \wedge \neg is-Output (prog!(pcOf (last cfgs)))$ 
 $\wedge$ 
 $\neg finalB (last cfgs, ibT, ibUT) \wedge (cfg1', ibT', ibUT') = nextB (last cfgs, ibT, ibUT) \wedge$ 
 $cfg' = cfg \wedge cfgs' = butlast cfgs @ [cfg1'] \wedge ls' = ls \cup readLocs (last cfgs))$ 
**apply**(subst stepS.simps) **by** auto

**lemma** stepS-spec-mispred-iff[simp]:

 $cfgs \neq [] \implies$ 
 $\neg resolve pstate (pcOf cfg \# map pcOf cfgs) \implies$ 
 $\neg is-IfJump (prog!(pcOf (last cfgs))) \implies mispred pstate (pcOf cfg \# map pcOf cfgs) \implies$ 
 $(pstate, cfg, cfgs, ibT, ibUT, ls) \rightarrow S (pstate', cfg', cfgs', ibT', ibUT', ls')$ 
 $\iff$ 
 $(\exists cfg1' ibT1' ibUT1' lcfg'. pstate' = update pstate (pcOf cfg \# map pcOf cfgs) \wedge$ 
 $\neg finalM (last cfgs, ibT, ibUT) \wedge$ 
 $(lcfg', ibT', ibUT') = nextB (last cfgs, ibT, ibUT) \wedge$ 
 $(cfg1', ibT1', ibUT1') = nextM (last cfgs, ibT, ibUT) \wedge$ 
 $cfg' = cfg \wedge cfgs' = butlast cfgs @ [lcfg'] @ [cfg1'] \wedge ls' = ls \cup readLocs (last cfgs))$ 
**apply**(subst stepS.simps) **by** auto

**lemma** stepS-spec-Fence-iff[simp]:

 $cfgs \neq [] \implies$ 
 $\neg resolve pstate (pcOf cfg \# map pcOf cfgs) \implies$ 
 $prog!(pcOf (last cfgs)) = Fence \implies$ 
 $\implies$ 
 $(pstate, cfg, cfgs, ibT, ibUT, ls) \rightarrow S (pstate', cfg', cfgs', ibT', ibUT', ls')$ 
 $\iff$

$(pstate' = pstate \wedge cfg = cfg' \wedge cfgs' = [] \wedge ibT' = ibT \wedge ibUT' = ibUT \wedge ls' = ls)$

**apply**(*subst stepS.simps*) **by** *auto*

**lemma** *stepS-spec-resolve-iff*[*simp*]:

$cfgs \neq [] \implies$

*resolve pstate (pcOf cfg # map pcOf cfgs)*

$\implies$

$(pstate, cfg, cfgs, ibT, ibUT, ls) \rightarrow S (pstate', cfg', cfgs', ibT', ibUT', ls')$

$\longleftrightarrow$

$(pstate' = update pstate (pcOf cfg # map pcOf cfgs) \wedge$

$cfg' = cfg \wedge cfgs' = butlast cfgs \wedge ibT' = ibT \wedge ibUT' = ibUT \wedge ls' = ls)$

**apply**(*subst stepS.simps*) **by** *auto*

**lemma** *stepS-cases*[*cases pred: stepS*,

*consumes 1*,

*case-names nonspec-normal nonspec-mispred*

*spec-normal spec-mispred spec-Fence spec-resolve*]:

**assumes**  $(pstate, cfg, cfgs, ibT, ibUT, ls) \rightarrow S (pstate', cfg', cfgs', ibT', ibUT', ls')$

**obtains**

$cfgs = []$

$\neg is-IfJump (prog!(pcOf cfg)) \vee \neg mispred pstate [pcOf cfg]$

$pstate' = pstate$

$\neg finalB (cfg, ibT, ibUT)$

$(cfg', ibT', ibUT') = nextB (cfg, ibT, ibUT)$

$cfgs' = []$

$ls' = ls \cup readLocs cfg$

|

$cfgs = []$

$is-IfJump (prog!(pcOf cfg)) mispred pstate [pcOf cfg]$

$pstate' = update pstate [pcOf cfg]$

$\neg finalM (cfg, ibT, ibUT)$

$(cfg', ibT', ibUT') = nextB (cfg, ibT, ibUT)$

$\exists cfg1' ibT1' ibUT1'. (cfg1', ibT1', ibUT1') = nextM (cfg, ibT, ibUT)$

$\wedge cfgs' = [cfg1']$

$ls' = ls \cup readLocs cfg$

|

$cfgs \neq []$

$\neg resolve pstate (pcOf cfg \# map pcOf cfgs)$

$\neg is-IfJump (prog!(pcOf (last cfgs))) \vee \neg mispred pstate (pcOf cfg \# map pcOf cfgs)$

$prog!(pcOf (last cfgs)) \neq Fence$

$pstate' = pstate$

```

 $\neg \text{is-getInput}(\text{prog}!(\text{pcOf}(\text{last cfgs})))$ 
 $\neg \text{is-Output}(\text{prog}!(\text{pcOf}(\text{last cfgs})))$ 
 $\text{cfg}' = \text{cfg}$ 
 $\text{ls}' = \text{ls} \cup \text{readLocs}(\text{last cfgs})$ 
 $\exists \text{cfg1}'. \text{nextB}(\text{last cfgs}, \text{ibT}, \text{ibUT}) = (\text{cfg1}', \text{ibT}', \text{ibUT}')$ 
 $\wedge \text{cfgs}' = \text{butlast cfgs} @ [\text{cfg1}']$ 
|
 $\text{cfgs} \neq []$ 
 $\neg \text{resolve pstate}(\text{pcOf cfg} \# \text{map pcOf cfgs})$ 
 $\text{is-IfJump}(\text{prog}!(\text{pcOf}(\text{last cfgs}))) \text{ mispred pstate}(\text{pcOf cfg} \# \text{map pcOf cfgs})$ 
 $\text{pstate}' = \text{update pstate}(\text{pcOf cfg} \# \text{map pcOf cfgs})$ 
 $\neg \text{finalM}(\text{last cfgs}, \text{ibT}, \text{ibUT})$ 
 $\text{cfg}' = \text{cfg}$ 
 $\exists \text{lcfg}' \text{cfg1}' \text{ibT1}' \text{ibUT1}'.$ 
 $\text{nextB}(\text{last cfgs}, \text{ibT}, \text{ibUT}) = (\text{lcfg}', \text{ibT}', \text{ibUT}') \wedge$ 
 $(\text{cfg1}', \text{ibT1}', \text{ibUT1}') = \text{nextM}(\text{last cfgs}, \text{ibT}, \text{ibUT}) \wedge$ 
 $\text{cfgs}' = \text{butlast cfgs} @ [\text{lcfg}] @ [\text{cfg1}']$ 
 $\text{ls}' = \text{ls} \cup \text{readLocs}(\text{last cfgs})$ 
|
 $\text{cfgs} \neq []$ 
 $\neg \text{resolve pstate}(\text{pcOf cfg} \# \text{map pcOf cfgs})$ 
 $\text{prog}!(\text{pcOf}(\text{last cfgs})) = \text{Fence}$ 
 $\text{pstate}' = \text{pstate}$ 
 $\text{cfg}' = \text{cfg}$ 
 $\text{cfgs}' = []$ 
 $\text{ibT}' = \text{ibT}$ 
 $\text{ibUT}' = \text{ibUT}$ 
 $\text{ls}' = \text{ls}$ 
|
 $\text{cfgs} \neq []$ 
 $\text{resolve pstate}(\text{pcOf cfg} \# \text{map pcOf cfgs})$ 
 $\text{pstate}' = \text{update pstate}(\text{pcOf cfg} \# \text{map pcOf cfgs})$ 
 $\text{cfg}' = \text{cfg}$ 
 $\text{cfgs}' = \text{butlast cfgs}$ 
 $\text{ls}' = \text{ls}$ 
 $\text{ibT}' = \text{ibT}$ 
 $\text{ibUT}' = \text{ibUT}$ 
using assms by (cases rule: stepS.cases, metis+)

lemma stepS-endPC:  $\text{pcOf cfg} = \text{endPC} \implies \neg (\text{pstate}, \text{cfg}, [], \text{ibT}, \text{ibUT}, \text{ls}) \rightarrow S$ 
 $ss'$ 
apply (cases ss')
apply safe apply (cases rule: stepS-cases, auto)
using finalB-endPC apply blast
using finalB-endPC apply blast
using finalB-endPC finalB-imp-finalM by blast

```

### abbreviation

```
stepsS :: configS ⇒ configS ⇒ bool (infix →S* 55)
  where x →S* y ≡ star stepS x y
```

### definition finalS = final stepS

```
lemmas finalS-defs = final-def finalS-def
```

```
lemma stepS-0: (pstate, Config 0 s, [], ibT, ibUT, ls) →S (pstate, Config 1 s, [], ibT, ibUT, ls)
```

```
using prog-0 apply-apply(rule nonspec-normal)
```

```
using One-nat-def stebB-0 stepB-nextB
```

```
by (auto simp: readLocs-def finalB-def final-def, meson)
```

```
lemma stepS-imp-stepB:(pstate, cfg, [], ibT,ibUT, ls) →S (pstate', cfg', cfgs', ibT',ibUT', ls') ⟹ (cfg, ibT,ibUT) →B (cfg', ibT',ibUT')
```

```
subgoal premises s
```

```
using s apply (cases rule: stepS-cases)
```

```
by (metis finalB-imp-finalM stepB-iff-nextB)+ .
```

### 4.3.2 Elimination Rules

```
lemma stepS-Assign2E:
```

```
assumes ⟨(ps3, cfg3, cfgs3, ibT3,ibUT3, ls3) →S (ps3', cfg3', cfgs3', ibT3',ibUT3', ls3')⟩
```

```
and ⟨(ps4, cfg4, cfgs4, ibT4,ibUT4, ls4) →S (ps4', cfg4', cfgs4', ibT4',ibUT4', ls4')⟩
```

```
and ⟨cfg3 = (Config pc3 (State (Vstore vs3) avst3 h3 p3))⟩ and ⟨cfg3' = (Config pc3' (State (Vstore vs3') avst3' h3' p3'))⟩
```

```
and ⟨cfg4 = (Config pc4 (State (Vstore vs4) avst4 h4 p4))⟩ and ⟨cfg4' = (Config pc4' (State (Vstore vs4') avst4' h4' p4'))⟩
```

```
and ⟨cfgs3 = []⟩ and ⟨cfgs4 = []⟩
```

```
and ⟨prog!pc3 = (x ::= a)⟩ and ⟨pcOf cfg3 = pcOf cfg4⟩
```

```
shows ⟨cfgs3' = [] ∧ cfgs4' = [] ∧
```

```
vs3' = (vs3(x := aval a (stateOf cfg3))) ∧
```

```
vs4' = (vs4(x := aval a (stateOf cfg4))) ∧
```

```
pc3' = Suc pc3 ∧ pc4' = Suc pc4 ∧ ls4' = ls4 ∪ readLocs cfg4 ∧
```

```
avst3' = avst3 ∧ avst4' = avst4 ∧ ls3' = ls3 ∪ readLocs cfg3 ∧
```

```
p3 = p3' ∧ p4 = p4'
```

```
using assms apply clarify
```

```
apply-apply(frule stepS-imp-stepB[of ps3])
```

```
apply(frule stepS-imp-stepB[of ps4])
```

```
apply (drule stepB-AssignE[of ----- pc3 vs3 avst3 h3 p3
                           pc3' vs3' avst3' h3' p3' x a], clarify+)
```

```
apply (drule stepB-AssignE[of ----- pc4 vs4 avst4 h4 p4
                           pc4' vs4' avst4' h4' p4], clarify+)
```

```
by fastforce+
```

```
end
```

```
end
```

## 5 Relative Security instantiation - Common Aspects

This theory sets up a generic instantiation infrastructure for all our running examples. For a detailed explanation of each example and its (dis)proof of Relative Security see the work by Dongol et al. [2]

```
theory Instance-Common
imports ..IMP/Step-Normal ..IMP/Step-Spec
begin
```

```
no-notation bot ( $\perp$ )
```

```
abbreviation noninform ( $\perp$ ) where  $\perp \equiv \text{undefined}$ 
```

```
declare split-paired-All[simp del]
declare split-paired-Ex[simp del]
```

```
definition noMisSpec where noMisSpec (cfgs::config list)  $\equiv$  (cfgs = [])
lemma noMisSpec-ext[simp]:map x cfgs = map x cfgs'  $\implies$  noMisSpec cfgs  $\longleftrightarrow$ 
noMisSpec cfgs'
by (auto simp: noMisSpec-def)
```

```
definition misSpecL1 where misSpecL1 (cfgs::config list)  $\equiv$  (length cfgs = Suc 0)
lemma misSpecL1-len[simp]:misSpecL1 cfgs  $\longleftrightarrow$  length cfgs = 1 by (simp add:
misSpecL1-def)
```

```
definition misSpecL2 where misSpecL2 (cfgs::config list)  $\equiv$  (length cfgs = 2)
```

```
fun tuple:'a  $\times$  'b  $\times$  'c  $\Rightarrow$  'a  $\times$  'b
where tuple (a,b,c) = (a,b)
```

```

fun tupleSel::'a × 'b × 'c × 'd × 'e ⇒ 'b × 'd
  where tupleSel (a,b,c,d,e) = (b,d)

fun cfgsOf::'a × 'b × 'c × 'd × 'e ⇒ 'c
  where cfgsOf (a,b,c,d,e) = c

fun pstateOf::'a × 'b × 'c × 'd × 'e ⇒ 'a
  where pstateOf (a,b,c,d,e) = a

fun stateOfs::'a × 'b × 'c × 'd × 'e ⇒ 'b
  where stateOfs (a,b,c,d,e) = b

```

**context** *Prog-Mispred*  
**begin**

The "vanilla-semantics" transitions are the normal executions (featuring no speculation):

Vanilla-semantics system model: given by the normal semantics

**type-synonym** *stateV* = *config* × *val llist* × *val llist* × *loc set*  
**fun** *validTransV* **where** *validTransV* (*cfg-ib-ls*,*cfg-ib-ls'*) = *cfg-ib-ls* →*N* *cfg-ib-ls'*

Vanilla-semantics observation infrastructure (part of the vanilla-semantics state-wise attacker model):

The attacker observes the output value, the program counter history and the set of accessed locations so far:

**type-synonym** *obsV* = *val* × *loc set*

The attacker-action is just a value (used as input to the function):

**type-synonym** *actV* = *val*

The attacker's interaction

**fun** *isIntV* :: *stateV* ⇒ *bool* **where**  
*isIntV ss* = ( $\neg$  *finalN ss*)

The attacker interacts with the system by passing input to the function and reading the outputs (standard channel) and the accessed locations (side channel)

```

fun getIntV :: stateV ⇒ actV × obsV where
  getIntV (cfg,ibT,ibUT,ls) =
    (case prog!(pcOf cfg) of
      Input T - ⇒ (lhd ibT, ⊥)
      | Input U - ⇒ (lhd ibUT, ⊥)
      | Output U - ⇒ ( $\perp$ , (outOf (prog!(pcOf cfg)) (stateOf cfg), ls))
      | - ⇒ ( $\perp,\perp$ )
    )

```

)

```
lemma validTransV-iff-nextN: validTransV (s1, s2) = ( $\neg$  finalN s1  $\wedge$  nextN s1 = s2)
by (simp add: stepN-iff-nextN)+
```

The optimization-enhanced semantics system model: given by the speculative semantics

```
type-synonym stateO = configS
fun validTransO where validTransO (cfgS,cfgS') = cfgS  $\rightarrow$ S cfgS'
```

Optimization-enhanced semantics observation infrastructure (part of the optimization-enhanced semantics state-wise attacker model): similar to that of the vanilla semantics, in that the standard-channel inputs and outputs are those produced by the normal execution. However, the side-channel outputs (the sets of read locations) are also collected.

```
type-synonym obsO = val  $\times$  loc set
type-synonym actO = val
fun isIntO :: stateO  $\Rightarrow$  bool where
isIntO ss = ( $\neg$  finalS ss)
fun getIntO :: stateO  $\Rightarrow$  actO  $\times$  obsO where
getIntO (pstate, cfg, cfgs, ibT, ibUT, ls) =
(case (cfgs, prog!(pcOf cfg)) of
 ([] , Input T -)  $\Rightarrow$  (lhd ibT,  $\perp$ )
 | ([] , Input U -)  $\Rightarrow$  (lhd ibUT,  $\perp$ )
 | ([] , Output U -)  $\Rightarrow$ 
   ( $\perp$ , (outOf (prog!(pcOf cfg)) (stateOf cfg), ls))
 | -  $\Rightarrow$  ( $\perp$ ,  $\perp$ )
)
```

end

```
locale Prog-Mispred-Init =
Prog-Mispred prog mispred resolve update
for prog :: com list
and mispred :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool
and resolve :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool
and update :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  predState
+
fixes initPstate :: predState
and istate :: state  $\Rightarrow$  bool
begin

fun istateV :: stateV  $\Rightarrow$  bool where
istateV (cfg, ibT, ibUT, ls)  $\longleftrightarrow$ 
pcOf cfg = 0  $\wedge$  istate (stateOf cfg)  $\wedge$ 
llength ibT =  $\infty$   $\wedge$  llength ibUT =  $\infty$   $\wedge$ 
```

$ls = \{\}$

```
fun istateO :: stateO ⇒ bool where
  istateO (pstate, cfg, cfgs, ibT, ibUT, ls) ↔
    pstate = initPstate ∧
    pcOf cfg = 0 ∧ ls = {} ∧
    istate (stateOf cfg) ∧
    cfgs = [] ∧ llength ibT = ∞ ∧ llength ibUT = ∞
```

**lemma** *istateV-config-imp*:

```
istateV (cfg, ibT, ibUT, ls) ⇒ pcOf cfg = 0 ∧ ls = {} ∧ ibT ≠ LNil
by force
```

**lemma** *istateO-config-imp*:

```
istateO (pstate, cfg, cfgs, ibT, ibUT, ls) ⇒
  cfgs = [] ∧ pcOf cfg = 0 ∧ ls = {} ∧ ibT ≠ LNil
unfolding istateO.simps
by auto
```

**definition** *same-var-all*  $x\ cfg1\ cfg2\ cfg3\ cfgs3\ cfg4\ cfgs4 \equiv$   
 $vstore (getVstore (stateOf cfg1)) x = vstore (getVstore (stateOf cfg4)) x \wedge$   
 $vstore (getVstore (stateOf cfg2)) x = vstore (getVstore (stateOf cfg4)) x \wedge$   
 $vstore (getVstore (stateOf cfg3)) x = vstore (getVstore (stateOf cfg4)) x \wedge$   
 $(\forall cfg3' \in set cfgs3. vstore (getVstore (stateOf cfg3')) x = vstore (getVstore (stateOf cfg3)) x) \wedge$   
 $(\forall cfg4' \in set cfgs4. vstore (getVstore (stateOf cfg4')) x = vstore (getVstore (stateOf cfg4)) x)$

**definition** *same-var*  $x\ cfg\ cfg' \equiv$   
 $vstore (getVstore (stateOf cfg)) x = vstore (getVstore (stateOf cfg')) x$

**definition** *same-var-val*  $x\ (val::int)\ cfg\ cfg' \equiv$   
 $vstore (getVstore (stateOf cfg)) x = vstore (getVstore (stateOf cfg')) x \wedge$   
 $vstore (getVstore (stateOf cfg)) x = val$

**definition** *same-var-o*  $ii\ cfg3\ cfgs3\ cfg4\ cfgs4 \equiv$   
 $vstore (getVstore (stateOf cfg3)) ii = vstore (getVstore (stateOf cfg4)) ii \wedge$   
 $(\forall cfg3' \in set cfgs3. vstore (getVstore (stateOf cfg3')) ii = vstore (getVstore (stateOf cfg3)) ii) \wedge$   
 $(\forall cfg4' \in set cfgs4. vstore (getVstore (stateOf cfg4')) ii = vstore (getVstore (stateOf$

*cfg4)) ii)*

```

lemma set-var-shrink: $\forall \text{cfg3}' \in \text{set cfgs}.$ 
     $vstore(\text{getVstore}(\text{stateOf cfg3}')) \text{ var} =$ 
     $vstore(\text{getVstore}(\text{stateOf cfg})) \text{ var}$ 
 $\implies$ 
 $\forall \text{cfg3}' \in \text{set (butlast cfgs)}.$ 
     $vstore(\text{getVstore}(\text{stateOf cfg3}')) \text{ var} =$ 
     $vstore(\text{getVstore}(\text{stateOf cfg})) \text{ var}$ 
by (meson in-set-butlastD)

lemma heapSimp:( $\forall \text{cfg}'' \in \text{set cfgs}''.$   $\text{getHheap}(\text{stateOf cfg}') = \text{getHheap}(\text{stateOf cfg}'')$ )  $\wedge$   $\text{cfgs}'' \neq []$ 
 $\implies \text{getHheap}(\text{stateOf cfg}') = \text{getHheap}(\text{stateOf (last cfgs}''))$ 
by simp

lemma heapSimp2:( $\forall \text{cfg}'' \in \text{set cfgs}''.$   $\text{getHheap}(\text{stateOf cfg}') = \text{getHheap}(\text{stateOf cfg}'')$ )  $\wedge$   $\text{cfgs}'' \neq []$ 
 $\implies \text{getHheap}(\text{stateOf cfg}') = \text{getHheap}(\text{stateOf (hd cfgs}''))$ 
by simp

lemma array-baseSimp:array-base aa1 ( $\text{getAvstore}(\text{stateOf cfg}) =$ 
array-base aa1 ( $\text{getAvstore}(\text{stateOf cfg}')) \wedge$ 
 $(\forall \text{cfg}' \in \text{set cfgs}.$  array-base aa1 ( $\text{getAvstore}(\text{stateOf cfg}')) =$ 
array-base aa1 ( $\text{getAvstore}(\text{stateOf cfg}))$ )
 $\wedge \text{cfgs} \neq []$ 
 $\implies$ 
array-base aa1 ( $\text{getAvstore}(\text{stateOf cfg}) =$ 
array-base aa1 ( $\text{getAvstore}(\text{stateOf (last cfgs}))$ ))

by simp

lemma finalB-imp-finalS:finalB (cfg, ibT, ibUT)  $\implies (\forall pstate \text{ cfgs ls. finalS (pstate,}$ 
 $\text{cfg, [], ibT, ibUT, ls)})$ 
unfolding finalB-def finalS-def final-def apply clar simp
subgoal for pstate ls pstate' cfg' cfgs' ibT ibUT' ls'
apply(erule allE[of - (cfg', ibT, ibUT')])
subgoal premises step
using step(1) apply (cases rule: stepS-cases)
using finalB-imp-finalM step(2) nextB-stepB by (simp-all, blast) ..

lemma cfgs-Suc-zero[simp]:length cfgs = Suc 0  $\implies \text{cfgs} = [\text{last cfgs}]$ 
by (metis Suc-length-conv last-ConsL length-0-conv)

lemma cfgs-map[simp]:length cfgs = Suc 0  $\implies \text{map pcOf cfgs} = [\text{pcOf (last cfgs)}]$ 
apply(frule cfgs-Suc-zero[of cfgs])

```

```

apply(rule ssubst[of map pcOf cfgs map pcOf [last cfgs]])
by (presburger,metis list.simps(8,9))

end

```

```
end
```

## 6 Relative Security Instance: Secret Memory

This theory sets up an instance of Relative Security with the secrets as the initial memories

```

theory Instance-Secret-IMem
imports Instance-Common Relative-Security.Relative-Security
begin

no-notation bot (<⊥>)
type-synonym secret = state

context Prog-Mispred
begin

fun corrState :: stateV ⇒ stateO ⇒ bool where
corrState cfgO cfgA = True

```

Since all our programs will have "Start" followed by the rest, with the rest not containing "Start". The secret will be "uploaded" at this Start moment.

```

definition isSecV :: stateV ⇒ bool where
isSecV ss ≡ case ss of (cfg,ibT,ibUT) ⇒ (pcOf cfg = 0)

```

We consider the entire initial state as a secret:

```

fun getSecV :: stateV ⇒ secret where
getSecV (cfg,ibT,ibUT) = stateOf cfg

```

The secrecy infrastructure is similar to that of the "original" semantics:

```

definition isSecO :: stateO ⇒ bool where
isSecO ss ≡ case ss of (pstate,cfg, cfgs,ibT,ibUT,ls) ⇒ (pcOf cfg = 0 ∧ cfgs = [])
fun getSecO :: stateO ⇒ secret where
getSecO (pstate,cfg, cfgs,ibT,ibUT,ls) = stateOf cfg
lemma isSecV-iff-isSecO: isSecV ss ↔ pcOf (fst ss) = 0
  unfolding isSecV-def
  by (simp add: case-prod-beta)

lemma validTransO-iff-nextS: validTransO (s1,    s2) = (¬ finalS s1 ∧ (stepS s1
s2))
  unfolding finalS-def final-def
  by simp (metis old.prod.exhaust)

```

**end**

```

sublocale Prog-Mispred-Init < Rel-Sec where
  validTransV = validTransV and istateV = istateV
  and finalV = finalN
  and isSecV = isSecV and getSecV = getSecV
  and isIntV = isIntV and getIntV = getIntV

  and validTransO = validTransO and istateO = istateO
  and finalO = finalS
  and isSecO = isSecO and getSecO = getSecO
  and isIntO = isIntO and getIntO = getIntO
  and corrState = corrState
  apply standard
  subgoal by (simp add: finalN-defs)
  subgoal for s by (cases s, simp)
  subgoal for s apply(cases s) subgoal for cfg ibT ibUT ls apply(cases cfg)
  subgoal for n st
    unfolding isSecV-def
    using stepB-0[of st ibT ibUT] stepB-iff-nextB by fastforce ..
  subgoal by (simp add: finalS-defs)
  subgoal by (simp add: finalS-defs)
  subgoal for ss apply(cases ss) subgoal for ps cfg cfs ibT ibUT ls apply(cases
cfg) subgoal for n st
  unfolding isSecO-def finalS-def final-def
  using stepS-0[of ps st ibT ibUT ls] by auto ...

```

**context** Prog-Mispred-Init  
**begin**

```

lemmas reachV-induct = Van.reach.induct[split-format(complete)]
lemmas reachO-induct = Opt.reach.induct[split-format(complete)]

lemma is-getTrustedInput-getActV[simp]:
  (prog!(pcOf cfg)) = Input T s  $\implies$  getActV (cfg,ibT,ibUT,ls) = lhd ibT
  by (cases prog!(pcOf cfg), auto simp: Van.getAct-def)

lemma not-is-getTrustedInput-getActV[simp]:
   $\neg$  is-getInput (prog!(pcOf cfg))  $\implies$  getActV (cfg,ibT,ibUT,ls) = noninform
  apply (cases prog!(pcOf cfg), auto simp: Van.getAct-def )
  subgoal for x by (cases x, simp-all) .

lemma is-Output-getObsV[simp]:

```

$(prog!(pcOf cfg)) = Output U out \implies getObsV (cfg, ibT, ibUT, ls) = (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)$   
**by** (cases  $prog!(pcOf cfg)$ , auto simp:  $Van.getObs\text{-}def$ )

**lemma**  $not\text{-}is\text{-}Output\text{-}getObsV[simp]$ :  
 $\neg is\text{-}Output (prog!(pcOf cfg)) \implies getObsV (cfg, ibT, ibUT, ls) = \perp$   
**apply** (cases  $prog!(pcOf cfg)$ , auto simp:  $Van.getObs\text{-}def$ )  
**subgoal for**  $x$  **by** (cases  $x$ ,  $simp\text{-}all$ ) .

**lemma**  $is\text{-}getTrustedInput\text{-}Nil\text{-}getActO[simp]$ :  
 $(prog!(pcOf cfg)) = Input T s \implies getActO (pstate, cfg, [], ibT, ibUT, ls) = lhd ibT$   
**by** (cases  $prog!(pcOf cfg)$ , auto simp:  $Opt.getAct\text{-}def$ )

**lemma**  $not\text{-}is\text{-}getTrustedInput\text{-}Nil\text{-}getActO[simp]$ :  
 $\neg is\text{-}getInput (prog!(pcOf cfg))$   
 $\vee cfgs \neq [] \implies getActO (pstate, cfg, cfgs, ibT, ibUT, ls) = \perp$   
**apply** (cases  $cfgs$ , auto)  
**apply** (cases  $prog!(pcOf cfg)$ , auto simp:  $Opt.getAct\text{-}def$ )  
**subgoal for**  $x$  **by** (cases  $x$ ,  $simp\text{-}all$ ) .

**lemma**  $is\text{-}Output\text{-}Nil\text{-}getObsO[simp]$ :  
 $prog!(pcOf cfg) = Output U s \implies$   
 $getObsO (pstate, cfg, [], ibT, ibUT, ls) = (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)$   
**by** (cases  $prog!(pcOf cfg)$ , auto simp:  $Opt.getObs\text{-}def$ )

**lemma**  $not\text{-}is\text{-}Output\text{-}Nil\text{-}getObsO[simp]$ :  
 $\neg is\text{-}Output (prog!(pcOf cfg)) \vee cfgs \neq [] \implies getObsO (pstate, cfg, cfgs, ibT, ibUT, ls)$   
 $= \perp$   
**apply** (cases  $cfgs$ , auto)  
**apply** (cases  $prog!(pcOf cfg)$ , auto simp:  $Opt.getObs\text{-}def$ )  
**subgoal for**  $x$  **by** (cases  $x$ ,  $simp\text{-}all$ ) .

**lemma**  $getActV\text{-}simps$ :  
 $getActV (cfg, ibT, ibUT, ls) =$   
 $(case prog!(pcOf cfg) of$   
 $Input T - \Rightarrow lhd ibT$   
 $| Input U - \Rightarrow lhd ibUT$   
 $| - \Rightarrow \perp$   
 $)$   
**unfolding**  $Van.getAct\text{-}def$   
**apply** ( $simp\text{ split}$ :  $com.splits$ ,  $safe$ )  
**subgoal for**  $t$  **by** (cases  $t$ ,  $simp\text{-}all$ )  
**subgoal for**  $t$  **by** (cases  $t$ ,  $simp\text{-}all$ ) .

**lemma**  $getObsV\text{-}simps$ :  
 $getObsV (cfg, ibT, ibUT, ls) =$   
 $(case prog!(pcOf cfg) of$

```

Output U - ⇒ (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
|- ⇒ ⊥
)
unfolding Van.getObs-def
apply (simp split: com.splits, safe)
subgoal for t by(cases t, simp-all)
subgoal for t by(cases t, simp-all) .

lemma getActO-simps:
getActO (pstate, cfg, cfgs, ibT, ibUT, ls) =
(case (cfgs, prog!(pcOf cfg)) of
  ([] , Input T -) ⇒ lhd ibT
  ([] , Input U -) ⇒ lhd ibUT
  |- ⇒ ⊥
)
apply (simp split: com.splits list.splits, safe)
unfolding Opt.getAct-def
subgoal for t by(cases t, simp-all) .

lemma getObsO-simps:
getObsO (pstate, cfg, cfgs, ibT, ibUT, ls) =
(case (cfgs, prog!(pcOf cfg)) of
  ([] , Output U -) ⇒ (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
  |- ⇒ ⊥
)
unfolding Opt.getObs-def
apply (simp split: com.splits list.splits, safe)
subgoal for t by(cases t, simp-all)
subgoal for t by(cases t, simp-all) .

end

end

```

## 7 Relative Security Instance: Secret Memory Input

This theory sets up an instance of Relative Security used to prove an Security of a potentially infinite program

```

theory Instance-Secret-IMem-Inp
  imports Instance-Common Relative-Security.Relative-Security
begin

```

Using the following notation to denote an undefined element

```
no-notation bot (⊥)
```

```

definition ffile :: vname where ffile = "ffile"
definition xx :: vname where xx = "x"
definition yy :: vname where yy = "yy"
type-synonym secret = state × val × val

abbreviation writeSecretOnFile where writeSecretOnFile ≡ (Output T (Fun (V
xx) (V yy)))
lemma writeOnFile-not-Jump[simp]:¬is-IfJump writeSecretOnFile by (simp add:
)
lemma writeOnFile-not-Inp[simp]:¬is-getInput writeSecretOnFile by (simp add: )
lemma writeOnFile-not-Fence[simp]:writeSecretOnFile ≠ Fence by (simp add: )

definition ffileVal where ffileVal cfg = vstoreOf(cfg) ffile
lemma ffileVal-vstore[simp]:ffileVal cfg = vstoreOf(cfg) ffile by(simp add: ffile-
Val-def)

```

**context** Prog-Mispred  
**begin**

The following functions and definitions make up the required components of the Relative Security locale

```

fun corrState :: stateV ⇒ stateO ⇒ bool where
corrState cfgO cfgA = True

```

```

definition isSecV :: stateV ⇒ bool where
isSecV ss ≡ case ss of (cfg,ibT,ibUT,ls) ⇒ ¬finalN ss

fun getSecV :: stateV ⇒ secret where
getSecV (cfg,ibT,ibUT,ls) =
(case prog!(pcOf cfg) of
Start ⇒ (stateOf cfg, ⊥, ⊥)
| Input T - ⇒ (⊥, lhd ibT, ⊥)
| Output T - ⇒ (⊥, ⊥, outOf (prog!(pcOf cfg)) (stateOf cfg))
|- ⇒ (⊥, ⊥, ⊥))

```

```

lemma isSecV-iff:isSecV ss ←→ ¬finalN ss
unfolding isSecV-def
by (simp add: case-prod-beta)

```

```

definition isSecO :: stateO ⇒ bool where
isSecO ss ≡ case ss of (pstate,cfg,cfgs,ibT,ibUT,ls) ⇒ ¬finalS ss ∧ cfgs = []
fun getSecO :: stateO ⇒ secret where
getSecO (pstate,cfg,cfgs,ibT,ibUT,ls) =
(case prog!(pcOf cfg) of

```

```

Start ⇒ (stateOf cfg, ⊥, ⊥)
| Input T - ⇒ (⊥, lhd ibT, ⊥)
| Output T - ⇒ (⊥, ⊥, outOf (prog!(pcOf cfg)) (stateOf cfg))
|- ⇒ (⊥, ⊥, ⊥))
end

```

```

sublocale Prog-Mispred-Init < Rel-Sec where
  validTransV = validTransV and istateV = istateV
  and finalV = finalN
  and isSecV = isSecV and getSecV = getSecV
  and isIntV = isIntV and getIntV = getIntV

  and validTransO = validTransO and istateO = istateO
  and finalO = finalS
  and isSecO = isSecO and getSecO = getSecO
  and isIntO = isIntO and getIntO = getIntO
  and corrState = corrState
  apply standard
  subgoal by (simp add: finalN-defs)
  subgoal for s by (cases s, simp)
  subgoal by (simp add: isSecV-def)
  subgoal by (simp add: finalS-defs)
  subgoal by (simp add: finalS-defs)
  subgoal for ss apply(cases ss) subgoal for ps cfg cfgs ib ls apply(cases cfg)
  subgoal for n s
  unfolding isSecO-def finalS-def final-def
  using stepS-0[of ps s ib ls] by auto . .

```

```

context Prog-Mispred-Init
begin

lemmas reachV-induct = Van.reach.induct[split-format(complete)]
lemmas reachO-induct = Opt.reach.induct[split-format(complete)]

lemma is-getInputT-getActV[simp]:
  (prog!(pcOf cfg)) = Input U inp ==> getActV (cfg,ibT,ibUT,ls) = lhd ibUT
  by (cases prog!(pcOf cfg), auto simp: Van.getAct-def)

lemma is-getInputU-getActV[simp]:
  (prog!(pcOf cfg)) = Input T inp ==> getActV (cfg,ibT,ibUT,ls) = lhd ibT
  by (cases prog!(pcOf cfg), auto simp: Van.getAct-def)

```

```

lemma not-is-getInput-getActV[simp]:
 $\neg \text{is getInput } (\text{prog!}(\text{pcOf cfg})) \implies \text{getActV } (\text{cfg}, \text{ibT}, \text{ibUT}, \text{ls}) = \perp$ 
apply (cases  $\text{prog!}(\text{pcOf cfg})$ , auto simp: Van.getAct-def)
subgoal for t apply(cases t, simp-all) . .

lemma is-Output-getObsV[simp]:
 $(\text{prog!}(\text{pcOf cfg})) = \text{Output U out} \implies \text{getObsV } (\text{cfg}, \text{ibT}, \text{ibUT}, \text{ls}) =$ 
 $(\text{outOf } (\text{prog!}(\text{pcOf cfg})) (\text{stateOf cfg}), \text{ls})$ 
by (cases  $\text{prog!}(\text{pcOf cfg})$ , auto simp: Van.getObs-def)

lemma not-is-Output-getObsV[simp]:
 $\neg \text{is Output } (\text{prog!}(\text{pcOf cfg})) \implies \text{getObsV } (\text{cfg}, \text{ibT}, \text{ibUT}, \text{ls}) = \perp$ 
apply (cases  $\text{prog!}(\text{pcOf cfg})$ , auto simp: Van.getObs-def)
subgoal for t apply(cases t, simp-all) . .

lemma is-getInputT-Nil-getActO[simp]:
 $(\text{prog!}(\text{pcOf cfg})) = \text{Input T inp} \implies \text{getActO } (\text{pstate}, \text{cfg}, [], \text{ibT}, \text{ibUT}, \text{ls}) = \text{lhd ibT}$ 
by (cases  $\text{prog!}(\text{pcOf cfg})$ , auto simp: Opt.getAct-def)

lemma is-getInputU-Nil-getActO[simp]:
 $(\text{prog!}(\text{pcOf cfg})) = \text{Input U inp} \implies \text{getActO } (\text{pstate}, \text{cfg}, [], \text{ibT}, \text{ibUT}, \text{ls}) = \text{lhd ibUT}$ 
by (cases  $\text{prog!}(\text{pcOf cfg})$ , auto simp: Opt.getAct-def)

lemma not-is-getInput-Nil-getActO[simp]:
 $(\neg \text{is getInput } (\text{prog!}(\text{pcOf cfg})))$ 
 $\vee \text{cfgs} \neq [] \implies \text{getActO } (\text{pstate}, \text{cfg}, \text{cfgs}, \text{ibT}, \text{ibUT}, \text{ls}) = \perp$ 
apply (cases cfgs, auto)
apply (cases  $\text{prog!}(\text{pcOf cfg})$ , auto simp: Opt.getAct-def)
subgoal for t apply(cases t, simp-all) . .

lemma is-Output-Nil-getObsO[simp]:
 $(\text{prog!}(\text{pcOf cfg})) = \text{Output U out} \implies$ 
 $\text{getObsO } (\text{pstate}, \text{cfg}, [], \text{ibT}, \text{ibUT}, \text{ls}) = (\text{outOf } (\text{prog!}(\text{pcOf cfg})) (\text{stateOf cfg}), \text{ls})$ 
by (cases  $\text{prog!}(\text{pcOf cfg})$ , auto simp: Opt.getObs-def)

lemma not-is-Output-Nil-getObsO[simp]:
 $\neg \text{is Output } (\text{prog!}(\text{pcOf cfg})) \vee \text{cfgs} \neq [] \implies \text{getObsO } (\text{pstate}, \text{cfg}, \text{cfgs}, \text{ibT}, \text{ibUT}, \text{ls})$ 
 $= \perp$ 
apply (cases cfgs, auto)
apply (cases  $\text{prog!}(\text{pcOf cfg})$ , auto simp: Opt.getObs-def)
subgoal for t apply(cases t, simp-all) . .

lemma getActV-simps:
 $\text{getActV } (\text{cfg}, \text{ibT}, \text{ibUT}, \text{ls}) =$ 

```

```

(case prog!(pcOf cfg) of
  Input T -> lhd ibT
  | Input U -> lhd ibUT
  |-> ⊥
)
unfolding Van.getAct-def
apply (simp split: com.splits, safe)
subgoal for t apply(cases t, simp-all) .
subgoal for t apply(cases t, simp-all) ..

lemma getObsV-simps:
getObsV (cfg,ibT,ibUT,ls) =
(case prog!(pcOf cfg) of
  Output U -> (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
  |-> ⊥
)
unfolding Van.getObs-def
apply (simp split: com.splits, safe)
subgoal for t apply(cases t, simp-all) .
subgoal for t apply(cases t, simp-all) ..

lemma getActO-simps:
getActO (pstate,cfg,cfgs,ibT,ibUT,ls) =
(case (cfgs,prog!(pcOf cfg)) of
  ([] ,Input T -)> lhd ibT
  | ([] ,Input U -)> lhd ibUT
  |-> ⊥
)
unfolding Van.getAct-def
apply (simp split: com.splits list.splits, safe)
subgoal for t apply(cases t, simp-all) .

lemma getObsO-simps:
getObsO (pstate,cfg,cfgs,ibT,ibUT,ls) =
(case (cfgs,prog!(pcOf cfg)) of
  ([] ,Output U -)> (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
  |-> ⊥
)
unfolding Opt.getObs-def
apply (simp split: com.splits list.splits, safe)
subgoal for t apply(cases t, simp-all) .
subgoal for t apply(cases t, simp-all) .

end

end

```

## 8 Disproof of Relative Security for fun1

```
theory Fun1
imports ..../Instance-IMP/Instance-Secret-IMem
Secret-Directed-Unwinding.SD-Unwinding-fin
begin
```

### 8.1 Function definition and Boilerplate

```
no-notation bot ( $\langle \perp \rangle$ )
consts NN :: nat

consts input :: int
definition aa1 :: avname where aa1 = "a1"
definition aa2 :: avname where aa2 = "a2"
definition vv :: avname where vv = "v"
definition xx :: avname where xx = "i"
definition tt :: avname where tt = "tt"

lemma NN-suc[simp]:nat (NN + 1) = Suc (nat NN)
by force

lemma NN:NN $\geq$ 0 by auto

lemmas vvars-defs = aa1-def aa2-def vv-def xx-def tt-def

lemma vvars-dff[simp]:
aa1  $\neq$  aa2 aa1  $\neq$  vv aa1  $\neq$  xx aa1  $\neq$  tt
aa2  $\neq$  aa1 aa2  $\neq$  vv aa2  $\neq$  xx aa2  $\neq$  tt
vv  $\neq$  aa1 vv  $\neq$  aa2 vv  $\neq$  xx vv  $\neq$  tt
xx  $\neq$  aa1 xx  $\neq$  aa2 xx  $\neq$  vv xx  $\neq$  tt
tt  $\neq$  aa1 tt  $\neq$  aa2 tt  $\neq$  vv tt  $\neq$  xx
unfolding vvars-defs by auto

consts size-aa1 :: nat
consts size-aa2 :: nat

definition s-add = {a. a  $\neq$  nat NN+1}
fun vs0::char list  $\Rightarrow$  int where
vs0 x = 0

lemma vs0[simp]:( $\lambda x.$  0) = vs0 unfolding vs0.simps by simp

fun as:: char list  $\Rightarrow$  nat  $\times$  nat where
as a = (if a = aa1 then (0, nat NN)
else (if a = aa2 then (nat NN, nat size-aa2)
else (nat size-aa2,0)))
```

```

definition avst'  $\equiv$  (Avstore as)

lemmas avst-defs = avst'-def as.simps

lemma avstore-loc[simp]:Avstore ( $\lambda a.$  if  $a = aa1$  then  $(0, \text{nat } NN)$  else if  $a = aa2$  then  $(\text{nat } NN, \text{nat size-aa2})$  else  $(\text{nat size-aa2}, 0)$ ) =
    avst'
  unfolding avst-defs by auto

abbreviation read-add  $\equiv$  { $a.$   $a \neq (\text{nat } NN + 1)$ }

fun initVstore :: vstore  $\Rightarrow$  bool where
initVstore (Vstore vst) = (vst = vs0)

fun initAvstore :: avstore  $\Rightarrow$  bool where
initAvstore avst = (avst = avst')
fun initHeap:(nat  $\Rightarrow$  int)  $\Rightarrow$  bool where
initHeap h = ( $\forall x \in$  read-add. h x = 0)

lemma initAvstore-0[intro]:initAvstore avst'  $\implies$  array-base aa1 avst' = 0
  unfolding avst-defs array-base-def
  by (smt (verit, del-insts) avstore.case fstI)

fun istate :: state  $\Rightarrow$  bool where
istate s =
  (initVstore (getVstore s)  $\wedge$ 
  initAvstore (getAvstore s)  $\wedge$ 
  initHeap (getHheap s))

definition prog  $\equiv$ 
[  

  // Start ,  

  // Input U xx ,  

  // tt ::= (N 0),  

  // IfJump (Less (V xx) (N NN)) 4 5,  

  // tt ::= (VA aa2 (Times (VA aa1 (V xx)) (N 512))),  

  // Output U (V tt)
]

```

  

```

lemma cases-5: (i::pcounter) = 0  $\vee$  i = 1  $\vee$  i = 2  $\vee$  i = 3  $\vee$  i = 4  $\vee$  i = 5  $\vee$  i > 5
apply(cases i, simp-all)
subgoal for i apply(cases i, simp-all)
subgoal for i apply(cases i, simp-all)
subgoal for i apply(cases i, simp-all)

```

```

subgoal for i apply(cases i, simp-all)
subgoal for i apply(cases i, simp-all)
    . . .
lemma xx-NN-cases: vs xx < (int NN) ∨ vs xx ≥ (int NN) by auto

lemma is-If-pcOf[simp]:
pcOf cfg < 6 ==> is-IfJump (prog ! (pcOf cfg)) ←→ pcOf cfg = 3
apply(cases cfg) subgoal for pc s using cases-5[of pcOf cfg ]
apply (auto simp: prog-def) .

lemma is-If-pc[simp]:
pc < 6 ==> is-IfJump (prog ! pc) ←→ pc = 3
using cases-5[of pc]
by (auto simp: prog-def)

lemma eq-Fence-pc[simp]:
pc < 6 ==> prog ! pc ≠ Fence
using cases-5[of pc]
by (auto simp: prog-def)

fun mispred :: predState ⇒ pcounter list ⇒ bool where
mispred p pc = (if pc = [3] then True else False)

fun resolve :: predState ⇒ pcounter list ⇒ bool where
resolve p pc = (if pc = [5,5] then True else False)

consts update :: predState ⇒ pcounter list ⇒ predState
consts pstate0 :: predState

interpretation Prog-Mispred-Init where
prog = prog and initPstate = pstate0 and
mispred = mispred and resolve = resolve and update = update and
istate = istate
by (standard, simp add: prog-def)

abbreviation
stepB-abbrev :: config × val llist × val llist ⇒ config × val llist × val llist ⇒
bool (infix ↗B 55)
where x ↗B y == stepB x y

abbreviation

```

**stepsB-abbrev** ::  $\text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{bool}$  (**infix**  $\leftrightarrow B^*$  55)  
**where**  $x \rightarrow B^* y == \text{star stepB } x y$

**abbreviation**

**stepM-abbrev** ::  $\text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{bool}$  (**infix**  $\leftrightarrow M$  55)  
**where**  $x \rightarrow M y == \text{stepM } x y$

**abbreviation**

**stepN-abbrev** ::  $\text{config} \times \text{val llist} \times \text{val llist} \times \text{loc set} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \times \text{loc set} \Rightarrow \text{bool}$  (**infix**  $\leftrightarrow N$  55)  
**where**  $x \rightarrow N y == \text{stepN } x y$

**abbreviation**

**stepsN-abbrev** ::  $\text{config} \times \text{val llist} \times \text{val llist} \times \text{loc set} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \times \text{loc set} \Rightarrow \text{bool}$  (**infix**  $\leftrightarrow N^*$  55)  
**where**  $x \rightarrow N^* y == \text{star stepN } x y$

**abbreviation**

**stepS-abbrev** ::  $\text{configS} \Rightarrow \text{configS} \Rightarrow \text{bool}$  (**infix**  $\leftrightarrow S$  55)  
**where**  $x \rightarrow S y == \text{stepS } x y$

**abbreviation**

**stepsS-abbrev** ::  $\text{configS} \Rightarrow \text{configS} \Rightarrow \text{bool}$  (**infix**  $\leftrightarrow S^*$  55)  
**where**  $x \rightarrow S^* y == \text{star stepS } x y$

**lemma**  $\text{endPC[simp]}$ :  $\text{endPC} = 6$   
**unfolding**  $\text{endPC-def}$  **unfolding**  $\text{prog-def}$  **by**  $\text{auto}$

**lemma**  $\text{is-getTrustedInput-}pcOf[simp]$ :  $pcOf \text{ cfg} < 6 \implies \text{is-getInput} (\text{prog!}(pcOf \text{ cfg})) \longleftrightarrow pcOf \text{ cfg} = 1$   
**using**  $\text{cases-5}[of pcOf \text{ cfg}]$  **by**  $(\text{auto simp: prog-def})$

**lemma**  $\text{getTrustedInput-}pcOf[simp]$ :  $(\text{prog!}1) = \text{Input U xx}$   
**by**  $(\text{auto simp: prog-def})$

**lemma**  $\text{is-Output-}pcOf[simp]$ :  $pcOf \text{ cfg} < 6 \implies \text{is-Output} (\text{prog!}(pcOf \text{ cfg})) \longleftrightarrow pcOf \text{ cfg} = 5 \vee pcOf \text{ cfg} = 6$   
**using**  $\text{cases-5}[of pcOf \text{ cfg}]$  **by**  $(\text{auto simp: prog-def})$

**lemma**  $\text{is-Fence-}pcOf[simp]$ :  $pcOf \text{ cfg} < 6 \implies (\text{prog!}(pcOf \text{ cfg})) \neq \text{Fence}$   
**using**  $\text{cases-5}[of pcOf \text{ cfg}]$  **by**  $(\text{auto simp: prog-def})$

```

lemma prog0[simp]:prog ! 0 = Start
  by (auto simp: prog-def)

lemma prog1[simp]:prog ! (Suc 0) = Input U xx
  by (auto simp: prog-def)

lemma prog2[simp]:prog ! 2 = tt ::= (N 0)
  by (auto simp: prog-def)

lemma prog3[simp]:prog ! 3 = IfJump (Less (V xx) (N NN)) 4 5
  by (auto simp: prog-def)

lemma prog4[simp]:prog ! 4 = tt ::= (VA aa2 (Times (VA aa1 (V xx)) (N 512)))
  by (auto simp: prog-def)

lemma prog5[simp]:prog ! 5 = Output U (V tt)
  by (auto simp: prog-def)

lemma isSecV-pcOf[simp]:
  isSecV (cfg,ibT, ibUT)  $\longleftrightarrow$  pcOf cfg = 0
  using isSecV-def by simp

lemma isSecO-pcOf[simp]:
  isSecO (pstate, cfg, cfgs, ibT, ibUT, ls)  $\longleftrightarrow$  (pcOf cfg = 0  $\wedge$  cfgs = [])
  using isSecO-def by simp

lemma getInputT-not[simp]: pcOf cfg < 6  $\implies$ 
  (prog ! pcOf cfg)  $\neq$  Input T x
  apply(cases cfg) subgoal for pc s using cases-5[of pcOf cfg ]
  by (auto simp: prog-def) .

lemma getActV-pcOf[simp]:
  pcOf cfg < 6  $\implies$ 
    getActV (cfg,ibT,ibUT,ls) =
      (if pcOf cfg = 1 then lhd ibUT else ⊥)
  apply(subst getActV-simps) unfolding prog-def
  apply simp
  using getActV-simps not-is-getTrustedInput-getActV prog-def by auto

lemma getObsV-pcOf[simp]:
  pcOf cfg < 6  $\implies$ 
    getObsV (cfg,ibT,ibUT,ls) =
      (if pcOf cfg = 5 then
        (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
      else ⊥)

```

```

)
apply(subst getObsV-simps)
unfolding prog-def apply simp
using getObsV-simps not-is-Output-getObsV is-Output-pcOf prog-def
by (metis less-irrefl-nat)

lemma getActO-pcOf[simp]:
pcOf cfg < 6 ==>
getActO (pstate, cfg, cfgs, ibT, ibUT, ls) =
(if pcOf cfg = 1 ∧ cfgs = [] then lhd ibUT else ⊥)
apply(subst getActO-simps)
apply(cases cfgs, auto)
unfolding prog-def
using getActV-simps getActV-pcOf prog-def by presburger

lemma getObsO-pcOf[simp]:
pcOf cfg < 6 ==>
getObsO (pstate, cfg, cfgs, ibT, ibUT, ls) =
(if (pcOf cfg = 5 ∧ cfgs = []) then
(outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
else ⊥
)
apply(subst getObsO-simps)
apply(cases cfgs, auto)
unfolding prog-def
using getObsV-simps is-Output-pcOf not-is-Output-getObsV prog-def
by (metis getObsV-pcOf)

lemma nextB-pc0[simp]:
nextB (Config 0 s, ibT, ibUT) =
(Config 1 s, ibT, ibUT)
apply(subst nextB-Start-Skip-Fence)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc0[simp]:
readLocs (Config 0 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc1[simp]:
ibUT ≠ LNil ==> nextB (Config 1 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 2 (State (Vstore (vs(xx := lhd ibUT))) avst h p), ibT, ltl ibUT)
apply(subst nextB-getUntrustedInput')
unfolding endPC-def unfolding prog-def by auto

```

```

lemma readLocs-pc1['simp]:
readLocs (Config 1 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc1['simp]:
ibUT ≠ LNil  $\implies$  nextB (Config (Suc 0) (State (Vstore vs) avst h p), ibT, ibUT) =
= (Config 2 (State (Vstore (vs(xx := lhd ibUT))) avst h p), ibT, ltl ibUT)
apply(subst nextB-getUntrustedInput')
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc1 '['simp]:
readLocs (Config (Suc 0) s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc2['simp]:
nextB (Config 2 (State (Vstore vs) avst h p), ibT, ibUT) =
((Config 3 (State (Vstore (vs(tt := 0))) avst h p)), ibT, ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc2['simp]:
readLocs (Config 2 (State (Vstore vs) avst h p)) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc3-then['simp]:
vs xx < NN  $\implies$ 
nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 4 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextB-IfTrue)
unfolding endPC-def unfolding prog-def by auto

lemma nextB-pc3-else['simp]:
vs xx ≥ NN  $\implies$ 
nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 5 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextB-IfFalse)
unfolding endPC-def unfolding prog-def by auto

lemma nextB-pc3:
nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config (if vs xx < NN then 4 else 5) (State (Vstore vs) avst h p), ibT, ibUT)
by(cases vs xx < NN, auto)

lemma nextM-pc3-then['simp]:
vs xx ≥ NN  $\implies$ 

```

```

nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 4 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextM-IfTrue)
unfolding endPC-def unfolding prog-def by auto

lemma nextM-pc3-else[simp]:
vs xx < NN ==>
nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 5 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextM-IfFalse)
unfolding endPC-def unfolding prog-def by auto

lemma nextM-pc3:
nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config (if vs xx < NN then 5 else 4) (State (Vstore vs) avst h p), ibT, ibUT)
by(cases vs xx < NN, auto)

lemma readLocs-pc3[simp]:
readLocs (Config 3 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto


lemma nextB-pc4[simp]:
nextB (Config 4 (State (Vstore vs) avst (Heap h) p), ibT, ibUT) =
(let i = array-loc aa1 (nat (vs xx)) avst; j = (array-loc aa2 (nat ((h i) * 512)) avst)
in (Config 5 (State (Vstore (vs(tt := h j))) avst (Heap h) p)), ibT, ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc4[simp]:
readLocs (Config 4 (State (Vstore vs) avst (Heap h) p)) =
(let i = array-loc aa1 (nat (vs xx)) avst;
j = (array-loc aa2 (nat ((h i) * 512)) avst)
in {i, j})
unfolding endPC-def readLocs-def unfolding prog-def by auto


lemma nextB-pc5[simp]:
nextB (Config 5 s, ibT, ibUT) = (Config 6 s, ibT, ibUT)
apply(subst nextB-Output)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc5[simp]:
readLocs (Config 5 (State (Vstore vs) avst (Heap h) p)) =
{}
```

```

unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-stepB-pc:
pc < 6  $\implies$  (pc = 1  $\longrightarrow$  ibUT  $\neq$  LNil)  $\implies$ 
(Config pc s, ibT, ibUT)  $\rightarrow_B$  nextB (Config pc s, ibT, ibUT)
apply(cases s) subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h
using cases-5[of pc] apply safe
subgoal by simp
subgoal by simp

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def, metis llist-collapse)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)

subgoal by(cases vs xx < NN, simp-all)
subgoal by(cases vs xx < NN, simp-all)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)

by simp+ ..

lemma not-finalB:
pc < 6  $\implies$  (pc = 1  $\longrightarrow$  ibUT  $\neq$  LNil)  $\implies$ 
 $\neg$  finalB (Config pc s, ibT, ibUT)
using nextB-stepB-pc by (simp add: stepB-iff-nextB)

lemma finalB-pc-iff':
pc < 6  $\implies$ 
finalB (Config pc s, ibT, ibUT)  $\longleftrightarrow$ 
(pc = 1  $\wedge$  ibUT = LNil)
subgoal apply safe
subgoal using nextB-stepB-pc[of pc] by (auto simp add: stepB-iff-nextB)
subgoal using nextB-stepB-pc[of pc] by (auto simp add: stepB-iff-nextB)
subgoal using finalB-iff by auto ..

```

```

lemma finalB-pc-iff:
pc ≤ 6 ==>
finalB (Config pc s, ibT, ibUT) <=>
(pc = 1 ∧ ibUT = LNil ∨ pc = 6)
using cases-5[of pc] apply (elim disjE, simp add: finalB-def)
subgoal by (meson final-def stebB-0)
by (simp add: finalB-pc-iff' finalB-endPC) +

lemma finalB-pcOf-iff[simp]:
pcOf cfg ≤ 6 ==>
finalB (cfg, ibT, ibUT) <=> (pcOf cfg = 1 ∧ ibUT = LNil ∨ pcOf cfg = 6)
by (metis config.collapse finalB-pc-iff)

definition vsi-t cfg ≡ (vstore (getVstore (stateOf cfg)) xx) < NN
definition vsi-f cfg ≡ (vstore (getVstore (stateOf cfg)) xx) ≥ NN
lemma vs-xx-cases:vsi-t cfg ∨ vsi-f cfg unfolding vsi-t-def vsi-f-def by auto

lemmas vsi-defs = vsi-t-def vsi-f-def

lemma bool-invar[simp]:¬vsi-t (Config 6 s) ==> vsi-t (Config 6 s) ==> (Config 6 s, ib1) →B (Config 6 s, ib1) ==> False
unfolding vsi-defs
by simp
lemma nextB-vs-consistent-aux:
2 ≤ pc ∧ pc < 6 ==>
(nextB (Config pc (State (Vstore vs) avst (Heap h) p), ibT, ibUT) = (Config pc' (State (Vstore vs') avst'' (Heap h') p'), ibT', ibUT') ==>
avst = avst'' ∧
vs xx = vs' xx ∧
h = h' ∧
pc < pc'
using cases-5[of pc] apply(elim disjE) apply simp-all
subgoal by auto
subgoal using xx-NN-cases[of vs] by(elim disjE, simp-all)
by auto

lemma nextB-vs-consistent:
2 ≤ pcOf cfg ∧ pcOf cfg < 6 ==>
(nextB (cfg, ibT, ibUT) = (cfg', ibT', ibUT') ==>
(getAvstore (stateOf cfg)) = (getAvstore (stateOf cfg')) ∧
(getHheap (stateOf cfg)) = (getHheap (stateOf cfg')) ∧
vstore (getVstore (stateOf cfg)) xx = vstore (getVstore (stateOf cfg')) xx
apply(cases cfg) subgoal for pc s
apply(cases s) subgoal for vstore avst heap-h p
apply (cases heap-h, cases vstore, cases avst) subgoal for h vs
apply(cases cfg') subgoal for pc' s'

```

```

apply(cases s') subgoal for vstore' avst'' heap-h' p'
apply (cases heap-h', cases vstore', cases avst'') subgoal for h vs
using nextB-vs-consistent-aux apply simp
by blast . . .

```

```

lemma nextB-vsi-t-consistent:
2 ≤ pcOf cfg ∧ pcOf cfg < 6 ⇒
(nextB (cfg, ibT, ibUT)) = (cfg', ibT', ibUT') ⇒
vsi-t cfg ↔ vsi-t cfg'
unfolding vsi-defs using nextB-vs-consistent
by simp

```

```

lemma nextB-vsi-f-consistent:
2 ≤ pcOf cfg ∧ pcOf cfg < 6 ⇒
(nextB (cfg, ibT, ibUT)) = (cfg', ibT', ibUT') ⇒
vsi-f cfg ↔ vsi-f cfg'
unfolding vsi-defs using nextB-vs-consistent
by simp

```

```
end
```

## 8.2 Proof

```

theory Fun1-insecure
imports Fun1
begin

```

### 8.2.1 Concrete leak

```
definition PC ≡ {0..6}
```

```

definition same-xx cfg3 cfgs3 cfg4 cfgs4 ≡
vstore (getVstore (stateOf cfg3)) xx = vstore (getVstore (stateOf cfg4)) xx ∧
(∀ cfg3' ∈ set cfgs3. vstore (getVstore (stateOf cfg3')) xx = vstore (getVstore (stateOf
cfg3)) xx) ∧
(∀ cfg4' ∈ set cfgs4. vstore (getVstore (stateOf cfg4')) xx = vstore (getVstore (stateOf
cfg4)) xx)

```

```

definition trueProg = {2,3,4,5,6}
definition falseProg = {2,3,5,6}

```

```

definition pstate1 ≡ update pstate0 [3]
definition pstate2 ≡ update pstate1 [5,5]

```

```
lemmas pstate-def = pstate1-def pstate2-def
```

```

fun hh3:: nat  $\Rightarrow$  int where
hh3 x = (if x = (nat NN + 1) then 5 else 0)

definition h3  $\equiv$  (Heap hh3)

fun hh4:: nat  $\Rightarrow$  int where
hh4 x = (if x = (nat NN + 1) then 6 else 0)

definition h4  $\equiv$  (Heap hh4)

lemmas h-def = h3-def h4-def hh3.simp h4.simp

lemma ss-neq-aux1:nat(5 * 512)  $\neq$  nat (6 * 512) by auto
lemma ss-neq-aux2:nat(3 * 512)  $\neq$  nat (5 * 512) by auto
lemmas ss-neq = ss-neq-aux1 ss-neq-aux2

definition p  $\equiv$  nat size-aa1 + nat size-aa2

definition vs1  $\equiv$  (vs0(xx := NN + 1))

definition vs2  $\equiv$  (vs1(tt := 0))

definition aa1i  $\equiv$  array-loc aa1 (nat (vs2 xx)) avst'

definition aa2vs3  $\equiv$  array-loc aa2 (nat (hh3 aa1i * 512)) avst'

definition vs33 = vs2(tt := hh3 aa2vs3)

definition aa2vs4  $\equiv$  array-loc aa2 (nat (hh4 aa1i * 512)) avst'

definition vs34 = vs2(tt := hh4 aa2vs4)

lemmas readsm-def = aa1i-def aa2vs3-def aa2vs4-def
lemmas vs-def = vs0.simp vs1-def vs2-def vs33-def vs34-def

definition s03  $\equiv$  (State (Vstore vs0) avst' h3 p)
definition s13  $\equiv$  (State (Vstore vs1) avst' h3 p)

```

```

definition s23 ≡ (State (Vstore vs2) avst' h3 p)
definition s33 ≡ (State (Vstore vs33) avst' h3 p)

definition s04 ≡ (State (Vstore vs0) avst' h4 p)
definition s14 ≡ (State (Vstore vs1) avst' h4 p)
definition s24 ≡ (State (Vstore vs2) avst' h4 p)
definition s34 ≡ (State (Vstore vs34) avst' h4 p)

lemmas s-def = s03-def s13-def s23-def s33-def
          s04-def s14-def s24-def s34-def

definition (s30:: stateO) ≡ (pstate0, (Config 0 s03), [], repeat (NN+1), repeat (NN+1), {})
definition (s31:: stateO) ≡ (pstate0, (Config 1 s03), [], repeat (NN+1), repeat (NN+1), {})
definition (s32:: stateO) ≡ (pstate0, (Config 2 s13), [], repeat (NN+1), repeat (NN+1), {})
definition (s33:: stateO) ≡ (pstate0, (Config 3 s23), [], repeat (NN+1), repeat (NN+1), {})
definition (s34:: stateO) ≡ (pstate1, (Config 5 s23), [Config 4 s23], repeat (NN+1), repeat (NN+1), {})
definition (s35:: stateO) ≡ (pstate1, (Config 5 s23), [Config 5 s33], repeat (NN+1), repeat (NN+1), {aa2vs3, aa1i})
definition (s36:: stateO) ≡ (pstate2, (Config 5 s23), [], repeat (NN+1), repeat (NN+1), {aa2vs3, aa1i})
definition (s37:: stateO) ≡ (pstate2, (Config 6 s23), [], repeat (NN+1), repeat (NN+1), {aa2vs3, aa1i})

lemmas s3-def = s30-def s31-def s32-def s33-def s34-def s35-def s36-def s37-def

lemmas state-def = s-def h-def vs-def readsm-def pstate-def avst-defs

definition s3-trans ≡ [s30, s31, s32, s33, s34, s35, s36, s37]
lemmas s3-trans-defs = s3-trans-def s3-def

lemma hd-s3-trans[simp]: hd s3-trans = s30 by (simp add: s3-trans-def)
lemma s3-trans-nemp[simp]: s3-trans ≠ [] by (simp add: s3-trans-def)

lemma s3_01[simp]: s30 →S s31
  unfolding s3-def
  using nonspec-normal
  by simp

lemma s3_12[simp]: s31 →S s32

```

```

unfolding s3-def state-def
using nonspec-normal
by simp

lemma s3_23[simp]: $s3_2 \rightarrow S s3_3$ 
unfolding s3-def state-def
by (simp add: finalM-iff)

lemma s3_34[simp]: $s3_3 \rightarrow S s3_4$ 
unfolding s3-def state-def
using nonspec-mispred
by (simp add: finalM-iff)

lemma s3_45[simp]: $s3_4 \rightarrow S s3_5$ 
unfolding s3-def state-def
using spec-normal
by (simp-all add: finalM-iff, blast)

lemma s3_56[simp]: $s3_5 \rightarrow S s3_6$ 
unfolding s3-def state-def
using spec-resolve
by simp

lemma s3_67[simp]: $s3_6 \rightarrow S s3_7$ 
unfolding s3-def state-def
using nonspec-normal
by simp

lemma finalS-s3_7[simp]:finalS s3_7
unfolding finalS-def final-def s3-def
by (simp add: stepS-endPC)

lemmas s3-trans-simps = s3_01 s3_12 s3_23 s3_34 s3_45 s3_56 s3_67

definition (s4_0:: stateO) ≡ (pstate0, (Config 0 s04), [], repeat (NN+1), repeat (NN+1), {})
definition (s4_1:: stateO) ≡ (pstate0, (Config 1 s04), [], repeat (NN+1), repeat (NN+1), {})
definition (s4_2:: stateO) ≡ (pstate0, (Config 2 s14), [], repeat (NN+1), repeat (NN+1), {})
definition (s4_3:: stateO) ≡ (pstate0, (Config 3 s24), [], repeat (NN+1), repeat (NN+1), {})
definition (s4_4:: stateO) ≡ (pstate1, (Config 5 s24), [Config 4 s24], repeat (NN+1), repeat (NN+1), {})
definition (s4_5:: stateO) ≡ (pstate1, (Config 5 s24), [Config 5 s34], repeat (NN+1), repeat (NN+1), {aa2_vs4, aa1_i})
definition (s4_6:: stateO) ≡ (pstate2, (Config 5 s24), [], repeat (NN+1), repeat (NN+1), {aa2_vs4, aa1_i})

```

```
definition (s4_7:: stateO) ≡ (pstate2, (Config 6 s24), [], repeat (NN+1), repeat (NN+1), {aa2vs4, aa1i})
```

```
lemmas s4-def = s4_0-def s4_1-def s4_2-def s4_3-def s4_4-def s4_5-def s4_6-def s4_7-def
```

```
definition s4-trans ≡ [s4_0, s4_1, s4_2, s4_3, s4_4, s4_5, s4_6, s4_7]
lemmas s4-trans-defs = s4-trans-def s4-def
```

```
lemma hd-s4-trans[simp]: hd s4-trans = s4_0 by (simp add: s4-trans-def)
lemma s4-trans-nemp[simp]: s4-trans ≠ [] by (simp add: s4-trans-def)
```

```
lemma s4_01[simp]:s4_0 →S s4_1
  unfolding s4-def
  using nonspec-normal
  by simp
```

```
lemma s4_12[simp]:s4_1 →S s4_2
  unfolding s4-def state-def
  using nonspec-normal
  by simp
```

```
lemma s4_24[simp]:s4_2 →S s4_3
  unfolding s4-def state-def
  using nonspec-normal
  by (simp add: finalM-iff)
```

```
lemma s4_34[simp]:s4_3 →S s4_4
  unfolding s4-def state-def
  using nonspec-mispred
  by (simp add: finalM-iff)
```

```
lemma s4_45[simp]:s4_4 →S s4_5
  unfolding s4-def state-def
  using spec-normal
  by (simp add: finalM-iff, blast)
```

```
lemma s4_56[simp]:s4_5 →S s4_6
  unfolding s4-def state-def
  using spec-resolve
  by simp
```

```
lemma s4_67[simp]:s4_6 →S s4_7
  unfolding s4-def state-def
  using nonspec-normal
  by simp
```

```

lemma finalS-s4_7[simp]:finalS s4_7
  unfolding finalS-def final-def s4-def
  by (simp add: stepS-endPC)

lemmas s4-trans-simps = s4_01 s4_12 s4_24 s4_34 s4_45 s4_56 s4_67

```

### 8.2.2 Auxillary lemmas for disproof

```

lemma validS-s3-trans[simp]:Opt.validS s3-trans
  unfolding Opt.validS-def validTransO.simps s3-trans-def
  apply safe
  subgoal for i using cases-5[of i]
  by(elim disjE, simp-all) .

```

```

lemma validS-s4-trans[simp]:Opt.validS s4-trans
  unfolding Opt.validS-def validTransO.simps s4-trans-def
  apply safe
  subgoal for i using cases-5[of i]
  by(elim disjE, simp-all) .

```

```

lemma finalS-s3[simp]:finalS (last s3-trans) by (simp add: s3-trans-def)
lemma finalS-s4[simp]:finalS (last s4-trans) by (simp add: s4-trans-def)

```

```

lemma filter-s3[simp]:(filter isIntO (butlast s3-trans)) = (butlast s3-trans)
  unfolding s3-trans-def finalS-def final-def
  using s3-trans-simps validTransO.simps validTransO-iff-nextS
  by (smt (verit) butlast.simps(2) filter.simps(1,2) isIntO.elims(3))

```

```

lemma filter-s4[simp]:(filter isIntO (butlast s4-trans)) = (butlast s4-trans)
  unfolding s4-trans-def finalS-def final-def
  using s4-trans-simps validTransO.simps validTransO-iff-nextS
  by (smt (verit) butlast.simps(2) filter.simps(1,2) isIntO.elims(3))

```

```

lemma S-s3-trans[simp]:Opt.S s3-trans = [s03]
  apply (simp add: Opt.S-def filtermap-def)
  unfolding s3-trans-defs by simp

```

```

lemma S-s4-trans[simp]:Opt.S s4-trans = [s04]
  apply (simp add: Opt.S-def filtermap-def)
  unfolding s4-trans-defs by simp

```

```

lemma finalB-noStep[simp]: $\bigwedge s1'. \text{finalB } (\text{cfg1}, \text{ibT1}, \text{ibUT1}) \implies (\text{cfg1}, \text{ibT1}, \text{ibUT1}, \text{ls1}) \xrightarrow{N} s1' \implies \text{False}$ 
  unfolding finalN-def final-def finalB-eq-finalN by auto

```

### 8.2.3 Disproof of fun1

```

fun common-memory::config  $\Rightarrow$  config  $\Rightarrow$  bool where
common-memory cfg1 cfg2 =
(let h1 = (getHheap (stateOf cfg1));
 h2 = (getHheap (stateOf cfg2)) in
(( $\forall x \in$  read-add. h1 x = h2 x  $\wedge$  h1 x = 0)  $\wedge$ 
 (getAvstore (stateOf cfg1)) = avst'  $\wedge$ 
 (getAvstore (stateOf cfg2)) = avst'))

```

**lemma** heap-eq0[simp]:  $\forall x. x \neq Suc NN \rightarrow hh1' x = hh2' x \wedge hh1' x = 0 \Rightarrow hh2' NN = 0$

**by** (metis n-not-Suc-n)

**lemma** heap1-eq0[simp]:  $\forall x. x \neq Suc NN \rightarrow hh1' x = hh2' x \wedge hh1' x = 0 \Rightarrow vs2 xx < NN \Rightarrow hh2' (nat (vs2 xx)) = 0$

**using** le-less-Suc-eq nat-le-eq-zle nat-less-eq-zless

**by** (metis lessI nat-int order.asym)

```

fun  $\Gamma\text{-}inv::stateV \Rightarrow state\ list \Rightarrow stateV \Rightarrow state\ list \Rightarrow bool$  where
 $\Gamma\text{-}inv (cfg1,ibT1,ibUT1,ls1) sl1 (cfg2,ibT2,ibUT2,ls2) sl2 =$ 
(
  (pcOf cfg1 = pcOf cfg2)  $\wedge$ 
  (pcOf cfg1 < 2  $\rightarrow$  ibUT1  $\neq$  LNil  $\wedge$  ibUT2  $\neq$  LNil)  $\wedge$ 
  (pcOf cfg1 > 2  $\rightarrow$  same-var-val tt 0 cfg1 cfg2)  $\wedge$ 
  (pcOf cfg1 > 1  $\rightarrow$  (same-var xx cfg1 cfg2)  $\wedge$ 
    (vsi-t cfg1  $\rightarrow$  pcOf cfg1  $\in$  trueProg)  $\wedge$ 
    (vsi-f cfg1  $\rightarrow$  pcOf cfg1  $\in$  falseProg))
   $\wedge$ 
  ls1 = ls2  $\wedge$ 
  pcOf cfg1  $\in$  PC  $\wedge$ 
  common-memory cfg1 cfg2
)

```

**declare**  $\Gamma\text{-}inv.simps[simp del]$

**lemmas**  $\Gamma\text{-}def = \Gamma\text{-}inv.simps$

**lemmas**  $\Gamma\text{-}defs = \Gamma\text{-}def common\text{-}memory.simps PC\text{-}def aa1_i\text{-}def$

$\text{trueProg}\text{-}def \text{falseProg}\text{-}def \text{same}\text{-}var\text{-}val\text{-}def \text{same}\text{-}var\text{-}def$

**lemma**  $\Gamma\text{-}implies:\Gamma\text{-}inv (cfg1,ibT1,ibUT1,ls1) sl1 (cfg2,ibT2,ibUT2,ls2) sl2 \Rightarrow$

$pcOf cfg1 \leq 6 \wedge pcOf cfg2 \leq 6 \wedge$

$(pcOf cfg1 = 4 \rightarrow vs_i-t cfg1) \wedge$

```


$$(pcOf cfg2 = 4 \longrightarrow vs_i\text{-}t cfg2) \wedge$$


$$(pcOf cfg1 > 1 \longrightarrow vs_i\text{-}t cfg1 \longleftrightarrow vs_i\text{-}t cfg2) \wedge$$


$$(finalB (cfg1,ibT1,ibUT1) \longleftrightarrow pcOf cfg1 = 6) \wedge$$


$$(finalB (cfg2,ibT2,ibUT2) \longleftrightarrow pcOf cfg2 = 6)$$


unfolding  $\Gamma$ -defs
apply (elim conjE, intro conjI)
subgoal using atLeastAtMost-iff by blast
subgoal using vs-xx-cases[of cfg2] by (elim disjE, simp-all)
subgoal apply (rule impI,simp) using vs-xx-cases[of cfg1] by (elim disjE, simp-all)
subgoal apply (rule impI,simp) using vs-xx-cases[of cfg2] vsi-defs by (elim disjE, simp-all)
subgoal by (simp add: vsi-defs)
using finalB- $pcOf$ -iff
apply (metis atLeastAtMost-iff one-less-numeral-iff semiring-norm(76))
using finalB- $pcOf$ -iff
by (metis atLeastAtMost-iff numeral-One numeral-less-iff semiring-norm(76))

lemma istateO-s3[simp]:istateO s30 unfolding s3-def state-def by simp
lemma istateO-s4[simp]:istateO s40 unfolding s4-def state-def by simp

lemma validFromS-s3[simp]:Opt.validFromS s30 s3-trans
unfolding Opt.validFromS-def by simp

lemma validFromS-s4[simp]:Opt.validFromS s40 s4-trans
unfolding Opt.validFromS-def by simp

lemma completedFromO-s3[simp]:completedFromO s30 s3-trans
unfolding Opt.completedFrom-def by simp

lemma completedFromO-s4[simp]:completedFromO s40 s4-trans
unfolding Opt.completedFrom-def by simp

lemma Act-eq[simp]:Opt.A s3-trans = Opt.A s4-trans
apply (simp add: Opt.A-def filtermap-def)
unfolding s3-trans-defs s4-trans-defs
by simp

lemma aa2-neq:aa2vs3 \neq aa2vs4
unfolding vs-def readsm-def avst-defs h-def array-loc-def
by (simp add: avst-defs array-base-def split: if-splits)

```

```

lemma aa1-neq:aa2vs3 ≠ aa1i
  apply(rule notI)
  unfolding vs-def readsm-def avst-defs h-def array-loc-def
  by (simp add: avst-defs array-base-def split: if-splits)

lemma aa1-neq2:aa2vs4 ≠ aa1i
  apply(rule notI)
  unfolding vs-def readsm-def avst-defs h-def array-loc-def
  by (simp add: avst-defs array-base-def split: if-splits)

lemma Obs-neq[simp]:Opt.O s3-trans ≠ Opt.O s4-trans
  apply (simp add: Opt.O-def filtermap-def)
  unfolding s3-trans-def s4-trans-def apply clarsimp
  unfolding s3-trans-defs s4-trans-defs apply simp
  using aa2-neq aa1-neq aa1-neq2 by blast

lemma Γ-init[simp]: $\bigwedge s1\ s2.\ istateV\ s1 \implies corrState\ s1\ s3_0 \implies istateV\ s2 \implies corrState\ s2\ s4_0 \implies \Gamma\text{-inv}\ s1\ [s03]\ s2\ [s04]$ 
  subgoal for s1 s2 apply(cases s1, cases s2, simp)
  unfolding s3-def s4-def s-def h-def by (auto simp: Γ-defs) .

lemma val-neq-1:nat (hh2' (nat (vs2 xx)) * 512) ≠ 1
  by (smt (z3) nat-less-eq-zless nat-one-as-int)

lemma unwindSD[simp]:Rel-Sec.unwindSDCond validTransV istateV isSecV get-SecV isIntV getIntV Γ-inv
  unfolding unwindSDCond-def
  proof(intro allI, rule impI, elim conjE,intro conjI)
    fix ss1 ss2 sl1 sl2
    assume reachV ss1 reachV ss2
    and Γ:Γ-inv ss1 sl1 ss2 sl2

    obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
      by (cases ss1, auto)
    obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
      by (cases ss2, auto)
    note ss = ss1 ss2

    obtain pc1 vs1 avst1 h1 p1 where
      cfg1: cfg1 = Config pc1 (State (Vstore vs1) avst1 h1 p1)
      by (cases cfg1) (metis state.collapse vstore.collapse)
    obtain pc2 vs2 avst2 h2 p2 where
      cfg2: cfg2 = Config pc2 (State (Vstore vs2) avst2 h2 p2)
      by (cases cfg2) (metis state.collapse vstore.collapse)

```

```

note cfg = cfg1 cfg2

obtain hh1 where h1: h1 = Heap hh1 by(cases h1, auto)
obtain hh2 where h2: h2 = Heap hh2 by(cases h2, auto)
note hh = h1 h2

show isIntV ss1 = isIntV ss2
using Γ unfolding isIntV.simps ss
unfolding Γ-defs
using vs-xx-cases[of cfg1]
apply (elim disjE) by simp-all

then have finalB:finalB (cfg1, ibT1, ibUT1) = finalB (cfg2, ibT2, ibUT2)
unfold isIntV.simps finalN-iff-finalB ss by blast

show ¬ isIntV ss1 → move1 Γ-inv ss1 sl1 ss2 sl2 ∧ move2 Γ-inv ss1 sl1
ss2 sl2
apply(unfold ss, auto)
subgoal unfolding move1-def finalB-defs by auto
subgoal unfolding finalB
unfolding move2-def finalB-defs by auto .

show isIntV ss1 → getActV ss1 = getActV ss2 → getObsV ss1 = getObsV
ss2 ∧ move12 Γ-inv ss1 sl1 ss2 sl2
proof(unfold ss isIntV.simps finalN-iff-finalB, intro impI, rule conjI)
assume final:¬ finalB (cfg1, ibT1, ibUT1) and
getAct:getActV (cfg1, ibT1, ibUT1, ls1) = getActV (cfg2, ibT2, ibUT2,
ls2)
have not6:pc1 = 6 ⇒ False
using cfg final Γ
by simp

show getObsV (cfg1, ibT1, ibUT1, ls1) = getObsV (cfg2, ibT2, ibUT2,
ls2)
using Γ getAct unfolding ss
apply-apply(frule Γ-implies, elim conjE)
using cases-5[of pcOf cfg1] cases-5[of pcOf cfg2]
by(elim disjE, simp-all add: Γ-defs final)

show move12 Γ-inv (cfg1, ibT1, ibUT1, ls1) sl1 (cfg2, ibT2, ibUT2, ls2)
sl2
unfolding move12-def validEtransO.simps
proof(intro allI, rule impI, elim conjE, unfold validTransV.simps isSecV-iff
getSecV.simps fst-conv)
fix ss1' ss2' sl1' sl2'

assume v: (cfg1, ibT1, ibUT1, ls1) →N ss1' (cfg2, ibT2, ibUT2, ls2)
→N ss2' and
sec: pcOf cfg1 ≠ 0 ∧ sl1 = sl1' ∨ pcOf cfg1 = 0 ∧ sl1 = stateOf cfg1

```

```

# sl1'
pcOf cfg2 ≠ 0 ∧ sl2 = sl2' ∨ pcOf cfg2 = 0 ∧ sl2 = stateOf cfg2
# sl2'
obtain cfg1' ibT1' ibUT1' ls1' where ss1': ss1' = (cfg1', ibT1', ibUT1',
ls1')
  by (cases ss1', auto)
obtain cfg2' ibT2' ibUT2' ls2' where ss2': ss2' = (cfg2', ibT2', ibUT2',
ls2')
  by (cases ss2', auto)

obtain pc1' vs1' avst1' h1' p1' where
  cfg1': cfg1' = Config pc1' (State (Vstore vs1') avst1' h1' p1')
  by (cases cfg1') (metis state.collapse vstore.collapse)
obtain pc2' vs2' avst2' h2' p2' where
  cfg2': cfg2' = Config pc2' (State (Vstore vs2') avst2' h2' p2')
  by (cases cfg2') (metis state.collapse vstore.collapse)
note cfg = cfg cfg1' cfg2'

obtain hh1' where h1': h1' = Heap hh1' by(cases h1', auto)
obtain hh2' where h2': h2' = Heap hh2' by(cases h2', auto)
note hh = hh h1' h2'

note ss = ss1 ss2 ss1' ss2'
have v' :(cfg1, ibT1, ibUT1) → B (cfg1', ibT1', ibUT1') using v unfolding
ss by simp
  then have v1:nextB (cfg1, ibT1, ibUT1) = (cfg1', ibT1', ibUT1') using
stepB-nextB by auto

have v'':(cfg2, ibT2, ibUT2) → B (cfg2', ibT2', ibUT2') using v unfolding
ss by simp
  then have v2:nextB (cfg2, ibT2, ibUT2) = (cfg2', ibT2', ibUT2') using
stepB-nextB by auto
  note valid = v' v1 v'' v2

have ls1':ls1' = ls1 ∪ readLocs cfg1 using v unfolding ss by simp
have ls2':ls2' = ls2 ∪ readLocs cfg2 using v unfolding ss by simp
note ls = ls1' ls2'

note Γ-simps = cfg ls vsi-defs hh array-loc-def
array-base-def state-def PC-def

show Γ-inv ss1' sl1' ss2' sl2'
  using Γ valid getAct
  unfolding ss apply-apply(frule Γ-implies)
  using cases-5[of pc1] not6 apply(elim disjE, simp-all)
  unfolding Γ-def ss
  prefer 4 subgoal using vs-xx-cases[of cfg1]

```

```

by (elim disjE, unfold Γ-defs, auto simp add: Γ-simps)
subgoal by (unfold Γ-defs, auto simp add: Γ-simps)
subgoal by (unfold Γ-defs, auto simp add: Γ-simps)
subgoal by (unfold Γ-defs, auto simp add: Γ-simps)
subgoal using val-neq-1 apply (unfold Γ-defs, auto simp add: Γ-simps)

using val-neq-1 by (metis NN-suc add-left-cancel nat-int)
subgoal by (unfold Γ-defs, auto simp add: Γ-simps)
subgoal by (unfold Γ-defs, auto simp add: Γ-simps) .

qed
qed
qed

```

```

theorem ¬rsecure
apply(rule unwindSD-rsecure[of s3_0 s3-trans s4_0 s4-trans Γ-inv])
by simp-all

end

```

## 9 Proof of Relative Security for fun2

```

theory Fun2
imports
..../Instance-IMP/Instance-Secret-IMem
Relative-Security.Unwinding-fin
begin

```

### 9.1 Function definition and Boilerplate

```
no-notation bot (⟨⊥⟩)
```

```
consts NN :: nat
lemma NN: NN ≥ 0 by auto
```

```
definition aa1 :: avname where aa1 = "a1"
definition aa2 :: avname where aa2 = "a2"
definition xx :: avname where xx = "xx"
definition tt :: avname where tt = "tt"
```

```
lemmas vvars-defs = aa1-def aa2-def xx-def tt-def
```

```
lemma vvars-dff[simp]:
aa1 ≠ aa2 aa1 ≠ xx aa1 ≠ tt
aa2 ≠ aa1 aa2 ≠ xx aa2 ≠ tt
xx ≠ aa1 xx ≠ aa2 xx ≠ tt
tt ≠ aa1 tt ≠ aa2 tt ≠ xx
unfolding vvars-defs by auto
```

```

consts size-aa1 :: nat
consts size-aa2 :: nat

lemma aa1: size-aa1  $\geq 0$  and aa2:size-aa2  $\geq 0$  by auto

fun initAvstore :: avstore  $\Rightarrow$  bool where
initAvstore (Avstore as) = (as aa1 = (0, nat size-aa1)  $\wedge$  as aa2 = (nat size-aa1, nat size-aa2))

fun istate :: state  $\Rightarrow$  bool where
istate s = (initAvstore (getAvstore s))

```

```

definition prog  $\equiv$ 
[
  // Start ,
  // Input U xx ,
  // tt ::= (N 0) ,
  // IfJump (Less (V xx) (N NN)) 4 6 ,
  // Fence ,
  // tt ::= (VA aa2 (Times (VA aa1 (V xx)) (N 512))),
  // Output U (V tt)
]

```

```

lemma cases-6: (i::pcounter) = 0  $\vee$  i = 1  $\vee$  i = 2  $\vee$  i = 3  $\vee$  i = 4  $\vee$  i = 5  $\vee$ 
i = 6  $\vee$  i > 6
apply(cases i, simp-all)
subgoal for i apply(cases i, simp-all)
      .
      .
      .


```

```

lemma xx-NN-cases: vs xx < int(NN)  $\vee$  vs xx  $\geq$  int(NN) by auto

```

```

lemma is-If-pcOf[simp]:
pcOf cfg < 6  $\implies$  is-IfJump (prog ! (pcOf cfg))  $\longleftrightarrow$  pcOf cfg = 3
apply(cases cfg) subgoal for pc s using cases-6[of pcOf cfg ]
by (auto simp: prog-def) .

```

```

lemma is-If-pc[simp]:
pc < 6  $\implies$  is-IfJump (prog ! pc)  $\longleftrightarrow$  pc = 3

```

```

using cases-6[of pc]
by (auto simp: prog-def)

lemma eq-Fence-pc[simp]:
pc < 6  $\implies$  prog ! pc = Fence  $\longleftrightarrow$  pc = 4
using cases-6[of pc]
by (auto simp: prog-def)

consts mispred :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool
fun resolve :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool where
  resolve p pc = (if (set pc = {6,4}) then True else False)

consts update :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  predState
consts initPstate :: predState

interpretation Prog-Mispred-Init where
  prog = prog and initPstate = initPstate and
  mispred = mispred and resolve = resolve and update = update and
  istate = istate
by (standard, simp add: prog-def)

abbreviation
  stepB-abbrev :: config  $\times$  val llist  $\times$  val llist  $\Rightarrow$  config  $\times$  val llist  $\times$  val llist  $\Rightarrow$ 
  bool (infix  $\leftrightarrow B$  55)
where x  $\rightarrow B$  y == stepB x y

abbreviation
  stepsB-abbrev :: config  $\times$  val llist  $\times$  val llist  $\Rightarrow$  config  $\times$  val llist  $\times$  val llist  $\Rightarrow$ 
  bool (infix  $\leftrightarrow B^*$  55)
where x  $\rightarrow B^*$  y == star stepB x y

abbreviation
  stepM-abbrev :: config  $\times$  val llist  $\times$  val llist  $\Rightarrow$  config  $\times$  val llist  $\times$  val llist  $\Rightarrow$ 
  bool (infix  $\leftrightarrow M$  55)
where x  $\rightarrow M$  y == stepM x y

abbreviation
  stepN-abbrev :: config  $\times$  val llist  $\times$  val llist  $\times$  loc set  $\Rightarrow$  config  $\times$  val llist  $\times$  val
  llist  $\times$  loc set  $\Rightarrow$  bool (infix  $\leftrightarrow N$  55)
where x  $\rightarrow N$  y == stepN x y

```

**abbreviation**

*stepsN-abbrev* ::  $\text{config} \times \text{val llist} \times \text{val llist} \times \text{loc set} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \times \text{loc set} \Rightarrow \text{bool}$  (**infix**  $\leftrightarrow_{N*} 55$ )  
**where**  $x \rightarrow_{N*} y == \text{star stepN } x y$

**abbreviation**

*stepS-abbrev* ::  $\text{configS} \Rightarrow \text{configS} \Rightarrow \text{bool}$  (**infix**  $\leftrightarrow_S 55$ )  
**where**  $x \rightarrow_S y == \text{stepS } x y$

**abbreviation**

*stepsS-abbrev* ::  $\text{configS} \Rightarrow \text{configS} \Rightarrow \text{bool}$  (**infix**  $\leftrightarrow_{S*} 55$ )  
**where**  $x \rightarrow_{S*} y == \text{star stepS } x y$

**lemma** *endPC[simp]*:  $\text{endPC} = 7$   
**unfolding** *endPC-def* **unfolding** *prog-def* **by** *auto*

**lemma** *is-getUntrustedInput-pcOf[simp]*:  $\text{pcOf cfg} < 6 \implies \text{is-getInput} (\text{prog!}(\text{pcOf cfg})) \longleftrightarrow \text{pcOf cfg} = 1$   
**using** *cases-6[of pcOf cfg]* **by** (*auto simp: prog-def*)

**lemma** *start[simp]*:  $\text{prog ! 0} = \text{Start}$   
**by** (*auto simp: prog-def*)

**lemma** *getUntrustedInput-pcOf[simp]*:  $\text{prog!} 1 = \text{Input U xx}$   
**by** (*auto simp: prog-def*)

**lemma** *if-stat[simp]*:  $\text{prog! 3} = (\text{IfJump} (\text{Less} (\text{V xx}) (\text{N NN})) 4 6)$   
**by** (*auto simp: prog-def*)

**lemma** *isOutput1[simp]*:  $\text{prog ! 6} = \text{Output U (V tt)}$   
**by** (*auto simp: prog-def*)

**lemma** *is-Output-pcOf[simp]*:  $\text{pcOf cfg} < 6 \implies \text{is-Output} (\text{prog!}(\text{pcOf cfg})) \longleftrightarrow \text{pcOf cfg} = 6$   
**using** *cases-6[of pcOf cfg]* **by** (*auto simp: prog-def*)

**lemma** *is-Fence-pcOf[simp]*:  $\text{pcOf cfg} < 6 \implies (\text{prog!}(\text{pcOf cfg})) = \text{Fence} \longleftrightarrow \text{pcOf cfg} = 4$   
**using** *cases-6[of pcOf cfg]* **by** (*auto simp: prog-def*)

**lemma** *is-Output[simp]*:  $\text{is-Output} (\text{prog ! 6})$   
**unfolding** *is-Output-def* **prog-def** **by** *auto*

```

lemma isSecV-pcOf[simp]:
isSecV (cfg,ibT, ibUT)  $\longleftrightarrow$  pcOf cfg = 0
using isSecV-def by simp

lemma isSecO-pcOf[simp]:
isSecO (pstate,cfg,cfgs,ibT, ibUT,ls)  $\longleftrightarrow$  (pcOf cfg = 0  $\wedge$  cfgs = [])
using isSecO-def by simp

lemma getInputT-not[simp]: pcOf cfg < 7  $\implies$ 
(prog ! pcOf cfg)  $\neq$  Input T inp
apply(cases cfg) subgoal for pc s using cases-6[of pcOf cfg ]
by (auto simp: prog-def) .

lemma getActV-pcOf[simp]:
pcOf cfg < 7  $\implies$ 
getActV (cfg,ibT,ibUT,ls) =
(if pcOf cfg = 1 then lhd ibUT else ⊥)
apply(subst getActV-simps) unfolding prog-def
using cases-6[of pcOf cfg] by auto

lemma getObsV-pcOf[simp]:
pcOf cfg < 7  $\implies$ 
getObsV (cfg,ibT,ibUT,ls) =
(if pcOf cfg = 6 then
(outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
else ⊥
)
apply(subst getObsV-simps)
using getObsV-simps not-is-Output-getObsV is-Output-pcOf
unfolding prog-def by simp

lemma getActO-pcOf[simp]:
pcOf cfg < 7  $\implies$ 
getActO (pstate,cfg,cfgs,ibT,ibUT,ls) =
(if pcOf cfg = 1  $\wedge$  cfgs = [] then lhd ibUT else ⊥)
apply(subst getActO-simps)
apply(cases cfs, auto)
unfolding prog-def apply simp
using getActV-simps getActV-pcOf prog-def by presburger

lemma getObsO-pcOf[simp]:
pcOf cfg < 7  $\implies$ 

```

```

getObsO (pstate, cfg, cfgs, ibT, ibUT, ls) =
(if (pcOf cfg = 6 ∧ cfgs = []) then
 (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
 else ⊥
)
apply(subst getObsO-simps)
apply(cases cfgs, auto)
using getObsV-simps is-Output-pcOf not-is-Output-getObsV
unfolding prog-def by auto

```

```

lemma eqSec-pcOf[simp]:
eqSec (cfg1, ibT, ibUT1, ls1) (pstate3, cfg3, cfgs3, ibT, ibUT3, ls3) ←→
(pcOf cfg1 = 0 ↔ pcOf cfg3 = 0 ∧ cfgs3 = []) ∧
(pcOf cfg1 = 0 → stateOf cfg1 = stateOf cfg3)
unfolding eqSec-def by simp

```

```

lemma nextB-pc0[simp]:
nextB (Config 0 s, ibT, ibUT) =
(Config 1 s, ibT, ibUT)
apply(subst nextB-Start-Skip-Fence)
unfolding endPC-def unfolding prog-def by auto

```

```

lemma nextB-pc0'[simp]:nextB (Config 0 (State (Vstore vs) avst h p), ibT, ibUT)
=
(Config (Suc 0) (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextB-Start-Skip-Fence)
unfolding endPC-def unfolding prog-def by auto

```

```

lemma readLocs-pc0[simp]:
readLocs (Config 0 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

```

```

lemma nextB-pc1[simp]:
ibUT ≠ LNil ⇒ nextB (Config 1 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 2 (State (Vstore (vs(xx := lhd ibUT))) avst h p), ibT, ltl ibUT)
apply(subst nextB-getUntrustedInput')
unfolding endPC-def unfolding prog-def by auto

```

```

lemma readLocs-pc1[simp]:

```

```

readLocs (Config 1 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc1'[simp]:
ibUT ≠ LNil  $\implies$  nextB (Config (Suc 0) (State (Vstore vs) avst h p), ibT, ibUT) =
= (Config 2 (State (Vstore (vs(xx := lhd ibUT))) avst h p), ibT, ltl ibUT)
apply(subst nextB-getUntrustedInput')
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc1'[simp]:
readLocs (Config (Suc 0) s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc2[simp]:
nextB (Config 2 (State (Vstore vs) avst h p), ibT, ibUT) =
= (Config 3 (State (Vstore (vs(tt := 0))) avst h p), ibT, ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc2[simp]:
readLocs (Config 2 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc3-then[simp]:
vs xx < NN  $\implies$ 
nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
= (Config 4 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextB-IfTrue)
unfolding endPC-def unfolding prog-def by auto

lemma nextB-pc3-else[simp]:
vs xx ≥ NN  $\implies$ 
nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
= (Config 6 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextB-IfFalse)
unfolding endPC-def unfolding prog-def by auto

lemma nextB-pc3:
nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
= (Config (if vs xx < NN then 4 else 6) (State (Vstore vs) avst h p), ibT, ibUT)
by(cases vs xx < NN, auto)

lemma nextM-pc3-then[simp]:
vs xx ≥ NN  $\implies$ 

```

```

nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 4 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextM-IfTrue)
unfolding endPC-def unfolding prog-def by auto

lemma nextM-pc3-else[simp]:
vs xx < NN ==>
nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 6 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextM-IfFalse)
unfolding endPC-def unfolding prog-def by auto

lemma nextM-pc3:
nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config (if vs xx < NN then 6 else 4) (State (Vstore vs) avst h p), ibT, ibUT)
by(cases vs xx < NN, auto)

lemma readLocs-pc3[simp]:
readLocs (Config 3 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc4[simp]:
nextB (Config 4 s, ibT, ibUT) = (Config 5 s, ibT, ibUT)
apply(subst nextB-Start-Skip-Fence)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc4[simp]:
readLocs (Config 4 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc5[simp]:
nextB (Config 5 (State (Vstore vs) avst (Heap h) p), ibT, ibUT) =
(let l = (array-loc aa2 (nat (h (array-loc aa1 (nat (vs xx)) avst) * 512)) avst)
 in (Config 6 (State (Vstore (vs(tt := h l))) avst (Heap h) p)), ibT, ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc5[simp]:
readLocs (Config 5 (State (Vstore vs) avst (Heap h) p)) =
{array-loc aa2 (nat (h (array-loc aa1 (nat (vs xx)) avst) * 512)) avst, array-loc
aa1 (nat (vs xx)) avst}
unfolding endPC-def readLocs-def unfolding prog-def by auto

```

```

lemma nextB-pc6[simp]:
nextB (Config 6 s, ibT, ibUT) = (Config 7 s, ibT, ibUT)
apply(subst nextB-Output)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc6[simp]:
readLocs (Config 6 (State (Vstore vs) avst (Heap h) p)) =
{}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-stepB-pc:
pc < 7 ==> (pc = 1 —> ibUT ≠ LNil) ==>
(Config pc s, ibT, ibUT) →B nextB (Config pc s, ibT, ibUT)
apply(cases s) subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h
using cases-6[of pc] apply safe
subgoal by simp
subgoal by simp

subgoal apply simp apply(subst stepB.simps, unfold endPC-def)
by (simp add: prog-def, metis llist.exhaust-sel)
subgoal apply simp apply(subst stepB.simps, unfold endPC-def)
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps, unfold endPC-def)
by (simp add: prog-def)

subgoal by(cases vs xx < NN, simp-all)
subgoal by(cases vs xx < NN, simp-all)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
by simp+ ..

lemma not-finalB:

```

```

 $pc < \gamma \implies (pc = 1 \longrightarrow ibUT \neq LNil) \implies$ 
 $\neg finalB(Config pc s, ibT, ibUT)$ 
using nextB-stepB-pc by (simp add: stepB-iff-nextB)

lemma finalB-pc-iff':
 $pc < \gamma \implies$ 
 $finalB(Config pc s, ibT, ibUT) \longleftrightarrow$ 
 $(pc = 1 \wedge ibUT = LNil)$ 
subgoal apply safe
  subgoal using nextB-stepB-pc[of pc] by (auto simp add: stepB-iff-nextB)
  subgoal using nextB-stepB-pc[of pc] by (auto simp add: stepB-iff-nextB)
  subgoal using finalB-iff getUntrustedInput-pcOf by auto ..

lemma finalB-pc-iff:
 $pc \leq \gamma \implies$ 
 $finalB(Config pc s, ibT, ibUT) \longleftrightarrow$ 
 $(pc = 1 \wedge ibUT = LNil \vee pc = \gamma)$ 
using cases-6[of pc] apply (elim disjE, simp add: finalB-def)
subgoal by (meson final-def stebB-0)
by (simp add: finalB-pc-iff' finalB-endPC)+

lemma finalB-pcOf-iff[simp]:
 $pcOf cfg \leq \gamma \implies$ 
 $finalB(cfg, ibT, ibUT) \longleftrightarrow (pcOf cfg = 1 \wedge ibUT = LNil \vee pcOf cfg = \gamma)$ 
by (metis config.collapse finalB-pc-iff)

lemma finalS-cond:pcOf cfg < \gamma \implies cfgs = [] \implies (pcOf cfg = 1 \longrightarrow ibUT \neq LNil) \implies \neg finalS(pstate, cfg, cfgs, ibT, ibUT, ls)
apply(cases cfg)
subgoal for pc s apply(cases s)
subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h
  using cases-6[of pc] apply(elim disjE) unfolding finalS-defs
  subgoal using nonspec-normal[of []] Config pc (State (Vstore vs) avst hh p)
    pstate pstate ibT ibUT
    Config 1 (State (Vstore vs) avst hh p)
    ibT ibUT [] ls \cup readLocs (Config pc (State (Vstore
vs) avst hh p)) ls]
using is-If-pc by force

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p)
  pstate pstate ibT ibUT
  Config 2 (State (Vstore (vs(xx:= lhd ibUT))) avst hh
  p)
  ibT ltl ibUT [] ls \cup readLocs (Config pc (State (Vstore

```

```

vs) avst hh p)) ls])
  prefer 7 subgoal by metis by simp-all
  subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)
  pstate pstate ibT ibUT
  Config 3 (State (Vstore (vs(tt:= 0))) avst hh p)
  ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
vs) avst hh p)) ls])
  prefer 7 subgoal by metis by simp-all

  subgoal apply(cases mispred pstate [3])
    subgoal apply(frule nonspec-mispred[of cfgs Config pc (State (Vstore vs) avst
hh p)
    pstate update pstate [pcOf (Config pc (State
(Vstore vs) avst hh p))]
    ibT ibUT Config (if vs xx < NN then 4 else 6)
    (State (Vstore vs) avst hh p) ibT ibUT Config (if vs xx < NN then 6 else 4)
    (State (Vstore vs) avst hh p) ibT ibUT [Config (if vs xx < NN then 6 else
4) (State (Vstore vs) avst hh p)] ls ∪ readLocs (Config pc (State (Vstore vs)
avst hh p)) ls])
    prefer 9 subgoal by metis by (simp add: finalM-iff)+

    subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)
    pstate pstate ibT ibUT
    Config (if vs xx < NN then 4 else 6) (State (Vstore
vs) avst hh p)
    ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
vs) avst hh p)) ls])
    prefer 7 subgoal by metis by simp-all .

  subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)
    pstate pstate ibT ibUT
    Config 5 (State (Vstore vs) avst hh p)
    ibT ibUT [] ls ls])
    prefer 7 subgoal by metis by simp-all

  subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)
    pstate pstate ibT ibUT
    (let l = (array-loc aa2 (nat (h (array-loc aa1 (nat (vs xx))
avst) * 512)) avst)
      in (Config 6 (State (Vstore (vs(tt := h l))) avst hh p)))
    ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore vs) avst
hh p)) ls)])

```

```

prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)
pstate pstate ibT ibUT
Config 7 (State (Vstore vs) avst hh p)
ibT ibUT [] ls ls])
prefer 7 subgoal by metis by simp-all

by simp-all . .

lemma finalS-cond-spec:
pcOf cfg < 7 ==>
(pcOf (last cfgs) = 4 ∧ pcOf cfg = 6) ∨ (pcOf (last cfgs) = 6 ∧ pcOf cfg =
4) ==>
length cfgs = Suc 0 ==>
¬ finalS (pstate, cfg, cfgs, ibT, ibUT, ls)
apply(cases cfg)
subgoal for pc s apply(cases s)
subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h
apply(elim disjE, elim conjE) unfolding finalS-defs
subgoal using spec-resolve[of cfgs pstate cfg update pstate (pcOf cfg # map
pcOf cfgs) cfg [] ibT ibT ibUT ibUT ls ls]
by (metis (no-types, lifting) butlast.simps(2) empty-set last-ConsL
length-0-conv length-Suc-conv list.simps(8,9,15) pos2 resolve.simps)

subgoal apply(elim conjE)
using spec-resolve[of cfgs pstate cfg update pstate (pcOf cfg # map pcOf
cfgs) cfg [] ibT ibT ibUT ibUT ls ls]
by (metis (no-types, lifting) empty-set insert-commute last-ConsL
resolve.simps
length-0-conv length-1-butlast length-Suc-conv list.simps(9,8,15)) ..
.

end

```

## 9.2 Proof

```

theory Fun2-secure
imports Fun2
begin

```

```

definition PC ≡ {0..6}

```

```

definition same-xx cfg3 cfgs3 cfg4 cfgs4 ≡

```

```

 $vstore(\text{getVstore}(\text{stateOf } cfg3)) \text{xx} = vstore(\text{getVstore}(\text{stateOf } cfg4)) \text{xx} \wedge$ 
 $(\forall cfg3' \in \text{set } cfgs3. vstore(\text{getVstore}(\text{stateOf } cfg3')) \text{xx} = vstore(\text{getVstore}(\text{stateOf } cfg3)) \text{xx}) \wedge$ 
 $(\forall cfg4' \in \text{set } cfgs4. vstore(\text{getVstore}(\text{stateOf } cfg4')) \text{xx} = vstore(\text{getVstore}(\text{stateOf } cfg4)) \text{xx})$ 

```

```

definition beforeInput = {0,1}
definition afterInput = {2,3,4,5,6}
definition inThenBranch = {4,5,6}
definition startOfThenBranch = 4
definition elseBranch = 6

```

```

definition common :: stateO  $\Rightarrow$  stateO  $\Rightarrow$  status  $\Rightarrow$  stateV  $\Rightarrow$  stateV  $\Rightarrow$  status  $\Rightarrow$  bool
where
common = ( $\lambda$ 
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA
  (cfg1, ibT1, ibUT1, ls1)
  (cfg2, ibT2, ibUT2, ls2)
  statO.
(pstate3 = pstate4  $\wedge$ 
 cfg1 = cfg3  $\wedge$  cfg2 = cfg4  $\wedge$ 
 pcOf cfg3 = pcOf cfg4  $\wedge$  map pcOf cfgs3 = map pcOf cfgs4  $\wedge$ 
 pcOf cfg3  $\in$  PC  $\wedge$  pcOf '(set cfgs3)  $\subseteq$  PC  $\wedge$ 
 ///
 array-base aa1 (getAvstore(stateOf cfg3)) = array-base aa1 (getAvstore(stateOf cfg4))  $\wedge$ 
 ( $\forall cfg3' \in \text{set } cfgs3.$  array-base aa1 (getAvstore(stateOf cfg3')) = array-base aa1 (getAvstore(stateOf cfg3)))  $\wedge$ 
 ( $\forall cfg4' \in \text{set } cfgs4.$  array-base aa1 (getAvstore(stateOf cfg4')) = array-base aa1 (getAvstore(stateOf cfg4)))  $\wedge$ 
 array-base aa2 (getAvstore(stateOf cfg3)) = array-base aa2 (getAvstore(stateOf cfg4))  $\wedge$ 
 ( $\forall cfg3' \in \text{set } cfgs3.$  array-base aa2 (getAvstore(stateOf cfg3')) = array-base aa2 (getAvstore(stateOf cfg3)))  $\wedge$ 
 ( $\forall cfg4' \in \text{set } cfgs4.$  array-base aa2 (getAvstore(stateOf cfg4')) = array-base aa2 (getAvstore(stateOf cfg4)))  $\wedge$ 
 ///
 (statA = Diff  $\longrightarrow$  statO = Diff)))

```

```

lemma common-implies: common (pstate3, cfg3, cfgs3, ibT, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT, ibUT4, ls4)
  statA
  (cfg1, ibT, ibUT1, ls1)

```

```

 $(cfg2, ibT, ibUT2, ls2)$ 
 $statO \implies$ 
 $pcOf cfg1 < 8 \wedge pcOf cfg2 = pcOf cfg1$ 
unfolding common-def PC-def
by (auto simp: image-def subset-eq)

definition  $\Delta 0 :: enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status$ 
 $\Rightarrow bool$  where
 $\Delta 0 = (\lambda num$ 
 $(pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO.$ 
 $(common (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO \wedge$ 
 $ibUT1 = ibUT3 \wedge ibUT2 = ibUT4 \wedge$ 
 $(pcOf cfg3 > 1 \longrightarrow same-xx cfg3 cfgs3 cfg4 cfgs4) \wedge$ 
 $(pcOf cfg3 < 2 \longrightarrow ibUT1 \neq LNil \wedge ibUT2 \neq LNil \wedge ibUT3 \neq LNil \wedge ibUT4 \neq LNil)$ 
 $\wedge$ 
 $ls1 = ls3 \wedge ls2 = ls4 \wedge$ 
 $pcOf cfg3 \in beforeInput \wedge$ 
 $noMissSpec cfgs3$ 
 $))$ 

lemmas  $\Delta 0\text{-defs} = \Delta 0\text{-def common-def PC-def}$ 
 $beforeInput\text{-def}$ 
 $same-xx\text{-def noMissSpec\text{-def}}$ 

lemma  $\Delta 0\text{-implies: } \Delta 0 \text{ num}$ 
 $(pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO \implies$ 
 $(pcOf cfg3 = 1 \longrightarrow ibUT3 \neq LNil) \wedge$ 
 $(pcOf cfg4 = 1 \longrightarrow ibUT4 \neq LNil) \wedge$ 
 $pcOf cfg1 < 7 \wedge pcOf cfg2 = pcOf cfg1 \wedge$ 
 $cfgs3 = [] \wedge pcOf cfg3 < 7 \wedge$ 
 $cfgs4 = [] \wedge pcOf cfg4 < 7$ 
unfolding  $\Delta 0\text{-defs}$ 
apply(intro conjI)

```

```

apply simp-all
by (metis map-is-Nil-conv)

definition Δ1 :: enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒ stateV ⇒ status
⇒ bool where
Δ1 = (λ num
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA
  (cfg1, ibT1, ibUT1, ls1)
  (cfg2, ibT2, ibUT2, ls2)
  statO.
  (common (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA
  (cfg1, ibT1, ibUT1, ls1)
  (cfg2, ibT2, ibUT2, ls2)
  statO ∧
  ls1 = ls3 ∧ ls2 = ls4 ∧
  same-xx cfg3 cfgs3 cfg4 cfgs4 ∧
  pcOf cfg3 ∈ afterInput ∧
  noMisSpec cfgs3
))

```

**lemmas** Δ1-defs = Δ1-def common-def PC-def afterInput-def noMisSpec-def same-xx-def

```

lemma Δ1-implies: Δ1 num
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA
  (cfg1, ibT1, ibUT1, ls1)
  (cfg2, ibT2, ibUT2, ls2)
  statO ==>
  pcOf cfg1 < 7 ∧
  cfgs3 = [] ∧ pcOf cfg3 ≠ 1 ∧ pcOf cfg3 < 7 ∧
  cfgs4 = [] ∧ pcOf cfg4 ≠ 1 ∧ pcOf cfg4 < 7
unfolding Δ1-defs
apply(intro conjI) apply simp-all
apply linarith
apply (metis list.map-disc-iff)
using semiring-norm(83,84)
by linarith

```

```

definition Δ2 :: enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒ stateV ⇒ status
⇒ bool where
Δ2 = (λnum
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)

```

```

(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO.
(common (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO ∧
ls1 = ls3 ∧ ls2 = ls4 ∧
same-xx cfg3 cfgs3 cfg4 cfgs4 ∧
pcOf cfg3 = startOfThenBranch ∧
pcOf (last cfgs3) = elseBranch ∧
misSpecL1 cfgs3
))

lemmas Δ2-defs = Δ2-def common-def PC-def same-xx-def inThenBranch-def
elseBranch-def startOfThenBranch-def misSpecL1-def same-xx-def

lemma Δ2-implies: Δ2 num (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO ==>
pcOf (last cfgs3) = 6 ∧ pcOf cfg3 = 4 ∧
pcOf (last cfgs4) = pcOf (last cfgs3) ∧
pcOf cfg3 = pcOf cfg4 ∧
length cfgs3 = Suc 0 ∧
length cfgs3 = length cfgs4
apply(intro conjI)
unfolding Δ2-defs apply simp-all
apply (simp add: image-subset-iff)
apply (metis last-map list.map-disc-iff)
by (metis length-map)

```

```

definition Δ3 :: enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒ stateV ⇒ status
⇒ bool where
Δ3 = (λ num
(pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO.

```

```

(common (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA
  (cfg1, ibT1, ibUT1, ls1)
  (cfg2, ibT2, ibUT2, ls2)
  statO ∧
  ls1 = ls3 ∧ ls2 = ls4 ∧
  pcOf cfg3 = elseBranch ∧
  pcOf (last cfgs3) = startOfThenBranch ∧
  same-xx cfg3 cfgs3 cfg4 cfgs4 ∧
  missSpecL1 cfgs3
))

lemmas Δ3-defs = Δ3-def common-def PC-def same-xx-def elseBranch-def startOfThen-
Branch-def
missSpecL1-def same-xx-def

lemma Δ3-implies: Δ3 num
(pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO ==>
pcOf (last cfgs3) = 4 ∧ pcOf cfg3 = 6 ∧
pcOf (last cfgs4) = pcOf (last cfgs3) ∧
pcOf cfg3 = pcOf cfg4 ∧
array-base aa1 (getAvstore (stateOf (last cfgs3))) = array-base aa1 (getAvstore
(stateOf cfg3)) ∧
array-base aa1 (getAvstore (stateOf (last cfgs4))) = array-base aa1 (getAvstore
(stateOf cfg4)) ∧
length cfgs3 = Suc 0 ∧
length cfgs3 = length cfgs4
apply(intro conjI)
unfolding Δ3-defs apply simp-all
apply (simp add: image-subset-iff)
apply (metis last-map map-is-Nil-conv)
apply (metis last-in-set list.size(3) n-not-Suc-n)
apply (metis One-nat-def last-in-set length-0-conv length-map zero-neq-one)
by (metis length-map)

```

```

definition Δ4 :: enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒ stateV ⇒ status
⇒ bool where
Δ4 = (λnum
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA

```

```

 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO.$ 
 $(pcOf cfg3 = endPC \wedge pcOf cfg4 = endPC \wedge cfgs3 = [] \wedge cfgs4 = [] \wedge$ 
 $pcOf cfg1 = endPC \wedge pcOf cfg2 = endPC))$ 

```

**lemmas**  $\Delta_4\text{-defs} = \Delta_4\text{-def common-def endPC-def}$

```

lemma init: initCond  $\Delta_0$ 
  unfolding initCond-def
  unfolding initCond-def apply(intro allI)
  subgoal for s3 s4 apply(cases s3, cases s4)
  subgoal for pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4
  apply clar simp
  apply(cases getAvstore (stateOf cfg3), cases getAvstore (stateOf cfg4))
  unfolding  $\Delta_0$ -defs
  unfolding array-base-def by auto ..

lemma step0: unwindIntoCond  $\Delta_0$  (oor  $\Delta_0 \Delta_1$ )
proof(rule unwindIntoCond-simpleI)
  fix n ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and  $\Delta_0$ :  $\Delta_0 n ss3 ss4 statA ss1 ss2 statO$ 

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
  ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
  ibT4, ibUT4, ls4)
  by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
  by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
  by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
    cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases cfg3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
    cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg3 cfg4

  obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)

```

```

obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
  using Δ0 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ0-defs
  by simp

have f2:¬finalN ss2
  using Δ0 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ0-defs
  by simp

have f3:¬finalS ss3
  using Δ0 unfolding ss apply-apply(frule Δ0-implies)
  using finalS-cond by simp

have f4:¬finalS ss4
  using Δ0 unfolding ss apply-apply(frule Δ0-implies)
  using finalS-cond by simp

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor Δ0 Δ1) ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

  show match1 (oor Δ0 Δ1) ss3 ss4 statA ss1 ss2 statO
    unfolding match1-def by (simp add: finalS-defs)
  show match2 (oor Δ0 Δ1) ss3 ss4 statA ss1 ss2 statO
    unfolding match2-def by (simp add: finalS-defs)
  show match12 (oor Δ0 Δ1) ss3 ss4 statA ss1 ss2 statO
    unfolding match12-simpleI, rule disjI2, intro conjI)
    fix ss3' ss4' statA'
    assume statA': statA' = sstatA' statA ss3 ss4
    and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
    and sa: Opt.eqAct ss3 ss4
    note v3 = v(1) note v4 = v(2)

    obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
      cfg3', cfgs3', ibT3', ibUT3', ls3')
      by (cases ss3', auto)
    obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
      cfg4', cfgs4', ibT4', ibUT4', ls4')
      by (cases ss4', auto)

```

```

note ss = ss ss3' ss4'

obtain pc3 vs3 avst3 h3 p3 where
  cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
  cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

show eqSec ss1 ss3
using v sa Δ0 unfolding ss
by (simp add: Δ0-defs eqSec-def)

show eqSec ss2 ss4
using v sa Δ0 unfolding ss
apply (simp add: Δ0-defs eqSec-def)
by (metis length-0-conv length-map)

show Van.eqAct ss1 ss2
using v sa Δ0 unfolding ss
unfolding Opt.eqAct-def Van.eqAct-def
apply (simp-all add: Δ0-defs)
by (metis f3 map-is-Nil-conv ss3)

show match12-12 (oor Δ0 Δ1) ss3' ss4' statA' ss1 ss2 statO
unfolding match12-12-def
proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro conjI impI)
show validTransV (ss1, nextN ss1)
by (simp add: f1 nextN-stepN)

show validTransV (ss2, nextN ss2)
by (simp add: f2 nextN-stepN)

{assume sstat: statA' = Diff
show sstatO' statO ss1 ss2 = Diff
using v sa Δ0 sstat unfolding ss cfg statA' apply simp
apply (simp add: Δ0-defs sstatO'-def sstatA'-def finalS-def final-def)
using cases-6[of pc3] apply (elim disjE)
apply simp-all apply (cases statO, simp-all) apply (cases statA, simp-all)
apply (cases statO, simp-all) apply (cases statA, simp-all)
apply (fastforce)
using newStat.simps status.exhaust status.distinct by (smt(z3))
} note stat = this

show oor Δ0 Δ1 ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2) (sstatO' statO
ss1 ss2)

```

```

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case spec-normal
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add:
Δ0-defs)
  next
  case spec-mispred
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add:
Δ0-defs)
  next
  case spec-Fence
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add:
Δ0-defs)
  next
  case spec-resolve
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add:
Δ0-defs)
  next
  case nonspec-mispred
    then show ?thesis using sa Δ0 stat unfolding ss apply (simp add:
Δ0-defs)
      by (metis is-If-pc less-Suc-eq nat-less-le numeral-1-eq-Suc-0 nu-
meral-3-eq-3
one-eq-numeral-iff semiring-norm(83) zero-less-numeral zero-neq-numeral)

  next
  case nonspec-normal note nn3 = nonspec-normal
  show ?thesis
  using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
    case nonspec-mispred
      then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-normal
      then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-mispred
      then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-Fence
      then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-resolve
      then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
    next
    case nonspec-normal note nn4=nonspec-normal

```

```

show ?thesis using sa stat  $\Delta_0$  v3 v4 nn3 nn4 f4 unfolding ss cfg hh
Opt.eqAct-def
  apply clarsimp
  using cases-6[of pc3] apply(elim disjE)
  subgoal apply(rule oorI1) by (simp add:  $\Delta_0$ -defs)
  subgoal apply(rule oorI2) apply (simp add:  $\Delta_0$ -defs,auto)
    unfolding  $\Delta_1$ -defs
    subgoal by (simp add:  $\Delta_0$ -defs)
    subgoal by (simp add:  $\Delta_0$ -defs) .
    by (simp add:  $\Delta_0$ -defs)+
  qed
  qed
  qed
  qed
  qed
  qed
  qed
lemma step1: unwindIntoCond  $\Delta_1$  (oor4  $\Delta_1$   $\Delta_2$   $\Delta_3$   $\Delta_4$ )
proof(rule unwindIntoCond-simpleI)
  fix n ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and  $\Delta_1$ :  $\Delta_1$  n ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
  by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
  by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
  by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

obtain pc1 vs1 avst1 h1 p1 where
  cfg1: cfg1 = Config pc1 (State (Vstore vs1) avst1 h1 p1)
  by (cases cfg1) (metis state.collapse vstore.collapse)
  obtain pc2 vs2 avst2 h2 p2 where
  cfg2: cfg2 = Config pc2 (State (Vstore vs2) avst2 h2 p2)
  by (cases cfg2) (metis state.collapse vstore.collapse)
  obtain pc3 vs3 avst3 h3 p3 where
  cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases cfg3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
  cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg1 cfg2 cfg3 cfg4

```

```

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
  using Δ1 finalB-pc-iff' unfolding ss cfg finalN-iff-finalB Δ1-defs
  by simp linarith

have f2:¬finalN ss2
  using Δ1 finalB-pc-iff' unfolding ss cfg finalN-iff-finalB Δ1-defs
  by simp linarith

have f3:¬finalS ss3
  using Δ1 unfolding ss apply-apply(frule Δ1-implies)
  using finalS-cond by simp

have f4:¬finalS ss4
  using Δ1 unfolding ss apply-apply(frule Δ1-implies)
  using finalS-cond by simp

note finals = f1 f2 f3 f4

show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor4 Δ1 Δ2 Δ3 Δ4) ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

    show match1 (oor4 Δ1 Δ2 Δ3 Δ4) ss3 ss4 statA ss1 ss2 statO
      unfolding match1-def by (simp add: finalS-def final-def)
    show match2 (oor4 Δ1 Δ2 Δ3 Δ4) ss3 ss4 statA ss1 ss2 statO
      unfolding match2-def by (simp add: finalS-def final-def)
    show match12 (oor4 Δ1 Δ2 Δ3 Δ4) ss3 ss4 statA ss1 ss2 statO
      unfolding match12-def by (simp add: finalS-def final-def)

  proof(rule match12-simpleI, rule disjI2, intro conjI)
    fix ss3' ss4' statA'
    assume statA': statA' = sstatA' statA ss3 ss4
    and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
    and sa: Opt.eqAct ss3 ss4
    note v3 = v(1) note v4 = v(2)

    obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
      cfg3', cfgs3', ibT3', ibUT3', ls3')
      by (cases ss3', auto)
    obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',

```

```

 $cfg4', cfgs4', ibT4', ibUT4', ls4'$ 
  by (cases ss4', auto)
  note ss = ss ss3' ss4'

  show eqSec ss1 ss3
  using v sa Δ1 unfolding ss
  by (simp add: Δ1-defs eqSec-def)

  show eqSec ss2 ss4
  using v sa Δ1 unfolding ss
  apply (simp add: Δ1-defs eqSec-def)
  by (metis length-0-conv length-map)

  show Van.eqAct ss1 ss2
  using v sa Δ1 unfolding ss Van.eqAct-def
  apply (simp-all add: Δ1-defs)
  by linarith

  show match12-12 (oor4 Δ1 Δ2 Δ3 Δ4) ss3' ss4' statA' ss1 ss2 statO
  unfolding match12-12-def
  proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
  conjI impI)
    show validTransV (ss1, nextN ss1)
    by (simp add: f1 nextN-stepN)

    show validTransV (ss2, nextN ss2)
    by (simp add: f2 nextN-stepN)

  {assume sstat: statA' = Diff
    show sstatO' statO ss1 ss2 = Diff
    using v sa Δ1 sstat unfolding ss cfg statA'
    apply(simp add: Δ1-defs sstatO'-def sstatA'-def)
    using cases-6[of pc3] apply(elim disjE)
    defer 1 defer 1
    subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
      using cfg finals ss status.distinct(1) newStat.simps by auto
    subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
      using cfg finals ss status.distinct(1) newStat.simps by auto
    subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
      using cfg finals ss status.distinct(1) newStat.simps by auto
    subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
      using cfg finals ss status.distinct(1) newStat.simps by auto
    subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
      using cfg finals ss status.distinct(1) newStat.simps by auto
    by simp+
  } note stat = this

  show (oor4 Δ1 Δ2 Δ3 Δ4) ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2)

```

```

(sstatO' statO ss1 ss2)

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case spec-normal
  then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case spec-mispred
  then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case spec-Fence
  then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case spec-resolve
  then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case nonspec-mispred note nm3 = nonspec-mispred
  show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

    case nonspec-normal
    then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case spec-normal
      then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
        Δ1-defs)
    next
      case spec-mispred
      then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
        Δ1-defs)
    next
      case spec-Fence
      then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
        Δ1-defs)
    next
      case spec-resolve
      then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
        Δ1-defs)
    next
      case nonspec-mispred note nm4 = nonspec-mispred
      then show ?thesis
      using sa Δ1 stat v3 v4 nm3 nm4 unfolding ss cfg hh apply clarsimp
      using cases-6[of pc3] apply(elim disjE)
      subgoal by simp
      subgoal by simp
      subgoal by simp

```

```

    subgoal using xx-NN-cases[of vs3] apply(elim disjE)
      subgoal apply(rule oor4I2) by (simp add: Δ1-defs Δ2-defs)
        subgoal apply(rule oor4I3) by (simp add: Δ1-defs Δ3-defs) .
      by (simp add: Δ1-defs)+
    qed
  next
    case nonspec-normal note nn3 = nonspec-normal
    show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

      case nonspec-mispred
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case spec-normal
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case spec-mispred
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case spec-Fence
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case spec-resolve
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case nonspec-normal
      then show ?thesis using sa Δ1 stat v3 v4 nn3 unfolding ss cfg hh
apply clar simp
  using cases-6[of pc3] apply(elim disjE)
  subgoal by (simp add: Δ1-defs)
  subgoal by (simp add: Δ1-defs)
  subgoal apply(rule oor4I1) by(simp add:Δ1-defs)
  subgoal using xx-NN-cases[of vs3] apply(elim disjE)
    subgoal apply(rule oor4I1) by (simp add: Δ1-defs)
    subgoal apply(rule oor4I1) by (simp add: Δ1-defs) .
  subgoal apply(rule oor4I1) by (simp add: Δ1-defs)
  subgoal apply(rule oor4I1) by (simp add: Δ1-defs)
  subgoal apply(rule oor4I4) by (simp add: Δ1-defs Δ4-defs)
  subgoal apply(rule oor4I4) by (simp add: Δ1-defs Δ4-defs) .
qed
qed
qed
qed
qed
qed

```

```

lemma step2: unwindIntoCond Δ2 Δ1
proof(rule unwindIntoCond-simpleI)
  fix n ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and Δ2: Δ2 n ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
    by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
    by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
    by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
    by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
    lcfgs3: last cfgs3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
    by (cases last cfgs3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
    lcfgs4: last cfgs4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
    by (cases last cfgs4) (metis state.collapse vstore.collapse)
  note lcfgs = lcfgs3 lcfgs4

  have f1:¬finalN ss1
    using Δ2 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ2-defs
    by auto

  have f2:¬finalN ss2
    using Δ2 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ2-defs
    by auto

  have f3:¬finalS ss3
    using Δ2 unfolding ss apply-apply(frule Δ2-implies)
    using finalS-cond-spec by simp

  have f4:¬finalS ss4
    using Δ2 unfolding ss apply-apply(frule Δ2-implies)
    using finalS-cond-spec by simp

  note finals = f1 f2 f3 f4
  show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
    using finals by auto

```

```

then show isIntO ss3 = isIntO ss4 by simp

show react Δ1 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1 Δ1 ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2 Δ1 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12 Δ1 ss3 ss4 statA ss1 ss2 statO

proof(rule match12-simpleI, rule disjI1, intro conjI)
fix ss3' ss4' statA'
assume statA': statA' = sstatA' statA ss3 ss4
and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
and sa: Opt.eqAct ss3 ss4
note v3 = v(1) note v4 = v(2)

obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
cfg3', cfgs3', ibT3', ibUT3', ls3')
by (cases ss3', auto)
obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
cfg4', cfgs4', ibT4', ibUT4', ls4')
by (cases ss4', auto)
note ss = ss ss3' ss4'

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

show ¬ isSecO ss3
using v sa Δ2 unfolding ss by (simp add: Δ2-defs)

show ¬ isSecO ss4
using v sa Δ2 unfolding ss by (simp add: Δ2-defs)

show stat: statA = statA' ∨ statO = Diff
using v sa Δ2
apply (cases ss3, cases ss4, cases ss1, cases ss2)
apply (cases ss3', cases ss4', clarsimp)
using v sa Δ2 unfolding ss statA' applyclarsimp
apply (simp-all add: Δ2-defs sstatA'-def)
apply (cases statO, simp-all)
apply (cases statA, simp-all)
unfolding finalS-def final-def
by (smt (verit, ccfv-SIG) newStat.simps(1))

```

```

show  $\Delta_1 \propto ss3' ss4' statA' ss1 ss2 statO$ 

using  $v3[\text{unfolded } ss, \text{simplified}]$  proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using sa stat  $\Delta_2$  unfolding ss by (simp add:  $\Delta_2\text{-defs}$ )
  next
    case nonspec-mispred
    then show ?thesis using sa stat  $\Delta_2$  unfolding ss by (simp add:  $\Delta_2\text{-defs}$ )
  next
    case spec-normal
    then show ?thesis using sa stat  $\Delta_2 v3$  unfolding ss apply-
      apply(frule  $\Delta_2\text{-implies}$ ) by(simp add:  $\Delta_2\text{-defs}$ )
  next
    case spec-mispred
    then show ?thesis using sa stat  $\Delta_2$  unfolding ss apply-
      apply(frule  $\Delta_2\text{-implies}$ ) by(simp add:  $\Delta_2\text{-defs}$ )
  next
    case spec-Fence
    then show ?thesis using sa stat  $\Delta_2$  unfolding ss apply-
      apply(frule  $\Delta_2\text{-implies}$ ) by(simp add:  $\Delta_2\text{-defs}$ )
  next
  case spec-resolve note  $sr3 = \text{spec-resolve}$ 
  show ?thesis using  $v4[\text{unfolded } ss, \text{simplified}]$  proof(cases rule: stepS-cases)
    case nonspec-normal
      then show ?thesis using sa stat  $\Delta_2 sr3$  unfolding ss by (simp add:
 $\Delta_2\text{-defs}$ )
    next
      case nonspec-mispred
        then show ?thesis using sa stat  $\Delta_2 sr3$  unfolding ss by (simp add:
 $\Delta_2\text{-defs}$ )
    next
      case spec-normal
        then show ?thesis using sa stat  $\Delta_2 sr3$  unfolding ss by (simp add:
 $\Delta_2\text{-defs}$ )
    next
      case spec-mispred
        then show ?thesis using sa stat  $\Delta_2 sr3$  unfolding ss by (simp add:
 $\Delta_2\text{-defs}$ )
    next
    case spec-Fence
      then show ?thesis using sa stat  $\Delta_2 sr3$  unfolding ss by (simp add:
 $\Delta_2\text{-defs}$ )
  next
  case spec-resolve note  $sr4 = \text{spec-resolve}$ 
  show ?thesis using sa stat  $\Delta_2 v3 v4 sr3 sr4$ 
  unfolding ss lcfgs hh apply-
    apply(frule  $\Delta_2\text{-implies}$ ) by (simp add:  $\Delta_2\text{-defs} \Delta_1\text{-defs, metis}$ )
qed
qed

```

```

qed
qed
qed

```

```

lemma step3: unwindIntoCond Δ3 (oor Δ3 Δ1)
proof(rule unwindIntoCond-simpleI)
fix n ss3 ss4 statA ss1 ss2 statO
assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
and Δ3: Δ3 n ss3 ss4 statA ss1 ss2 statO

obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
by (cases ss3, auto)
obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
lcfgs3: last cfgs3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases last cfgs3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
lcfgs4: last cfgs4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases last cfgs4) (metis state.collapse vstore.collapse)
note lcfgs = lcfgs3 lcfgs4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
using Δ3 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ3-defs
by auto

have f2:¬finalN ss2
using Δ3 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ3-defs
by auto

have f3:¬finalS ss3
using Δ3 unfolding ss apply-apply(frule Δ3-implies)
using finalS-cond-spec by simp

```

```

have f4:¬finalS ss4
  using Δ3 unfolding ss apply-apply(frule Δ3-implies)
  using finalS-cond-spec by simp

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor Δ3 Δ1) ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

  show match1 (oor Δ3 Δ1) ss3 ss4 statA ss1 ss2 statO
  unfolding match1-def by (simp add: finalS-def final-def)
  show match2 (oor Δ3 Δ1) ss3 ss4 statA ss1 ss2 statO
  unfolding match2-def by (simp add: finalS-def final-def)
  show match12 (oor Δ3 Δ1) ss3 ss4 statA ss1 ss2 statO
  proof(rule match12-simpleI, rule disjI1, intro conjI)
    fix ss3' ss4' statA'
    assume statA': statA' = sstatA' statA ss3 ss4
    and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
    and sa: Opt.eqAct ss3 ss4
    note v3 = v(1) note v4 = v(2)

    obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
      cfg3', cfgs3', ibT3', ibUT3', ls3')
      by (cases ss3', auto)
    obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
      cfg4', cfgs4', ibT4', ibUT4', ls4')
      by (cases ss4', auto)
    note ss = ss ss3' ss4'

    show ¬ isSecO ss3
    using v sa Δ3 unfolding ss by (simp add: Δ3-defs)

    show ¬ isSecO ss4
    using v sa Δ3 unfolding ss by (simp add: Δ3-defs)

    show stat: statA = statA' ∨ statO = Diff
    using v sa Δ3
    apply (cases ss3, cases ss4, cases ss1, cases ss2)
    apply (cases ss3', cases ss4', clarsimp)
    using v sa Δ3 unfolding ss statA' applyclarsimp
    apply(simp-all add: Δ3-defs sstatA'-def)
    apply(cases statO, simp-all) apply(cases statA, simp-all)
  
```

```

unfolding finalS-defs
by (smt (z3) Zero-neq-Suc list.size(3)
      map-eq-imp-length-eq status.exhaust newStat.simps)

show oor Δ3 Δ1 ∞ ss3' ss4' statA' ss1 ss2 statO
using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using sa stat Δ3 lcfgs unfolding ss by (simp-all add:
  Δ3-defs)
  next
    case nonspec-mispred
      then show ?thesis using sa stat Δ3 lcfgs unfolding ss by (simp-all add:
  Δ3-defs)
  next
    case spec-mispred
      then show ?thesis using sa stat Δ3 lcfgs unfolding ss apply-
      apply(frule Δ3-implies) by (simp-all add: Δ3-defs)
  next
    case spec-normal
      then show ?thesis using sa stat Δ3 lcfgs unfolding ss apply-
      apply(frule Δ3-implies) by (simp-all add: Δ3-defs)
  next
    case spec-Fence
      then show ?thesis using sa stat Δ3 lcfgs unfolding ss
      apply (simp add: Δ3-defs)
      by (metis cfgs-map config.sel(1) empty-set list.set-map list.simps(15))
  next
    case spec-resolve note sr3 = spec-resolve
    show ?thesis
    using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)
      case nonspec-normal
        then show ?thesis using sa stat Δ3 lcfgs sr3 unfolding ss
        by (simp add: Δ3-defs)
      next
        case nonspec-mispred
        then show ?thesis using sa stat Δ3 lcfgs sr3 unfolding ss
        by (simp add: Δ3-defs)
      next
        case spec-mispred
        then show ?thesis using sa stat Δ3 lcfgs sr3 unfolding ss
        by (simp add: Δ3-defs)
      next
        case spec-normal
        then show ?thesis using sa stat Δ3 lcfgs sr3 unfolding ss
        by (simp add: Δ3-defs)
      next
        case spec-Fence
        then show ?thesis using sa stat Δ3 lcfgs sr3 unfolding ss
        by (simp add: Δ3-defs)

```

```

next
  case spec-resolve note sr4 = spec-resolve
    show ?thesis
    apply(intro oorI2)
      using sa stat Δ3 lcgs v3 v4 sr3 sr4 unfolding ss hh
      apply(simp add: Δ3-defs Δ1-defs)
      by (metis empty-iff empty-set length-1-butlast map-eq-imp-length-eq)
    qed
  qed
qed
qed
qed
qed
qed
qed
qed
lemma stepE: unwindIntoCond Δ4 Δ4
proof(rule unwindIntoCond-simpleI)
  fix n ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and Δ4: Δ4 n ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
    by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
    by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
    by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
    by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
    cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
    by (cases cfg3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
    cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
    by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg3 cfg4

  obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
  obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
  note hh = h3 h4

  show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
    using Δ4 Opt.final-def Prog.endPC-def finalS-def stepS-endPC
    unfolding Δ4-defs ss apply clarify
    by (metis Prog.finalN-defs(1) Prog.finalN-endPC Prog-axioms stepS-endPC)

```

```

then show isIntO ss3 = isIntO ss4 by simp

show react Δ4 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1 Δ4 ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2 Δ4 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12 Δ4 ss3 ss4 statA ss1 ss2 statO
apply(rule match12-simpleI) using Δ4 unfolding ss apply (simp add: Δ4-defs)
by (simp add: stepS-endPC)
qed
qed

```

```

lemmas theConds = step0 step1 step2 step3 step4

proposition rsecure
proof-
define m where m: m ≡ (5::nat)
define Δs where Δs: Δs ≡ λi::nat.
if i = 0 then Δ0
else if i = 1 then Δ1
else if i = 2 then Δ2
else if i = 3 then Δ3
else Δ4
define nxt where nxt: nxt ≡ λi::nat.
if i = 0 then {0,1::nat}
else if i = 1 then {1,2,3,4}
else if i = 2 then {1}
else if i = 3 then {3,1}
else {4}
show ?thesis apply(rule distrib-unwind-rsecure[of m nxt Δs])
subgoal unfolding m by auto
subgoal unfolding nxt m by auto
subgoal using init unfolding Δs by auto
subgoal
    unfolding m nxt Δs apply (simp split: if-splits)
    using theConds
    unfolding oor-def oor3-def oor4-def by auto .
qed
end

```

## 10 Proof of Relative Security for fun3

**theory** *Fun3*

```

imports ../Instance-IMP/Instance-Secret-IMem
    Relative-Security.Unwinding-fin
begin

```

### 10.1 Function definition and Boilerplate

```
no-notation bot ( $\langle \perp \rangle$ )
```

```
consts NN::nat
```

```

lemma NN:int NN ≥ 0 by auto
consts size-aa1 :: nat
consts size-aa2 :: nat
consts mispred :: predState ⇒ pcounter list ⇒ bool
consts update :: predState ⇒ pcounter list ⇒ predState
consts initPstate :: predState

definition aa1 :: avname where aa1 = "a1"
definition aa2 :: avname where aa2 = "a2"
definition vv :: avname where vv = "v"
definition xx :: avname where xx = "x"
definition tt :: avname where tt = "t"

```

```
lemmas vvars-defs = aa1-def aa2-def vv-def xx-def tt-def
```

```

lemma vvars-dff[simp]:
aa1 ≠ aa2 aa1 ≠ vv aa1 ≠ xx aa1 ≠ tt
aa2 ≠ aa1 aa2 ≠ vv aa2 ≠ xx aa2 ≠ tt
vv ≠ aa1 vv ≠ aa2 vv ≠ xx vv ≠ tt
xx ≠ aa1 xx ≠ aa2 xx ≠ vv xx ≠ tt
tt ≠ aa1 tt ≠ aa2 tt ≠ vv tt ≠ xx
unfolding vvars-defs by auto

```

```

fun initAvstore :: avstore ⇒ bool where
initAvstore (Avstore as) = (as aa1 = (0, size-aa1) ∧ as aa2 = (size-aa1, size-aa2))
fun istate :: state ⇒ bool where
istate s = (initAvstore (getAvstore s))

```

```

definition prog ≡

$$\begin{aligned}
& \emptyset \\
& // Start , \\
& // Input U xx , \\
& // tt ::= (N 0) , \\
& // IfJump (Less (V xx) (N NN)) 4 7 , \\
& // vv ::= VA aa1 (V xx) , \\
& // Fence ,
\end{aligned}$$


```

```

 $\nabla tt ::= (VA aa2 (Times (V vv) (N 512))) ,$ 
 $\nabla Output U (V tt)$ 
]

```

**lemma** cases-7:  $(i::pcounter) = 0 \vee i = 1 \vee i = 2 \vee i = 3 \vee i = 4 \vee i = 5 \vee i = 6 \vee i = 7 \vee i > 7$

**apply**(cases i, simp-all)

subgoal for i apply(cases i, simp-all)  
 subgoal for i apply(cases i, simp-all)  
 subgoal for i apply(cases i, simp-all)  
 subgoal for i apply(cases i, simp-all)  
 subgoal for i apply(cases i, simp-all)  
 subgoal for i apply(cases i, simp-all)  
 subgoal for i apply(cases i, simp-all)  
 subgoal for i apply(cases i, simp-all)

.....

**lemma** xx-NN-cases:  $vs\ xx < int\ NN \vee vs\ xx \geq int\ NN$  **by** auto

**lemma** is-If-pcOf[simp]:

$pcOf\ cfg < 8 \implies is-IfJump\ (prog\ !\ (pcOf\ cfg)) \longleftrightarrow pcOf\ cfg = 3$   
**apply**(cases cfg) **subgoal for** pc s **using** cases-7[of pcOf cfg ]  
**by** (auto simp: prog-def) .

**lemma** is-If-pc[simp]:

$pc < 8 \implies is-IfJump\ (prog\ !\ pc) \longleftrightarrow pc = 3$   
**using** cases-7[of pc]  
**by** (auto simp: prog-def)

**lemma** eq-Fence-pc[simp]:

$pc < 8 \implies prog\ !\ pc = Fence \longleftrightarrow pc = 5$   
**using** cases-7[of pc]  
**by** (auto simp: prog-def)

**fun** resolve :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool **where**  
 $resolve\ p\ pc = (\text{if } (pc = [4, 7]) \text{ then True else False})$

**interpretation** Prog-Mispred-Init **where**

$prog = prog$  **and**  $initPstate = initPstate$  **and**  
 $mispred = mispred$  **and**  $resolve = resolve$  **and**  $update = update$  **and**  
 $istate = istate$

**by** (*standard, simp add: prog-def*)

**abbreviation**

*stepB-abbrev* :: *config* × *val llist* × *val llist* ⇒ *config* × *val llist* × *val llist* ⇒  
*bool* (**infix**  $\leftrightarrow B \rangle$  55)  
**where** *x*  $\rightarrow B$  *y* == *stepB x y*

**abbreviation**

*stepsB-abbrev* :: *config* × *val llist* × *val llist* ⇒ *config* × *val llist* × *val llist* ⇒  
*bool* (**infix**  $\leftrightarrow B^*$  55)  
**where** *x*  $\rightarrow B^*$  *y* == *star stepB x y*

**abbreviation**

*stepM-abbrev* :: *config* × *val llist* × *val llist* ⇒ *config* × *val llist* × *val llist* ⇒  
*bool* (**infix**  $\leftrightarrow M \rangle$  55)  
**where** *x*  $\rightarrow M$  *y* == *stepM x y*

**abbreviation**

*stepN-abbrev* :: *config* × *val llist* × *val llist* × *loc set* ⇒ *config* × *val llist* × *val llist* × *loc set* ⇒ *bool* (**infix**  $\leftrightarrow N \rangle$  55)  
**where** *x*  $\rightarrow N$  *y* == *stepN x y*

**abbreviation**

*stepsN-abbrev* :: *config* × *val llist* × *val llist* × *loc set* ⇒ *config* × *val llist* × *val llist* × *loc set* ⇒ *bool* (**infix**  $\leftrightarrow N^*$  55)  
**where** *x*  $\rightarrow N^*$  *y* == *star stepN x y*

**abbreviation**

*stepS-abbrev* :: *configS* ⇒ *configS* ⇒ *bool* (**infix**  $\leftrightarrow S \rangle$  55)  
**where** *x*  $\rightarrow S$  *y* == *stepS x y*

**abbreviation**

*stepsS-abbrev* :: *configS* ⇒ *configS* ⇒ *bool* (**infix**  $\leftrightarrow S^*$  55)  
**where** *x*  $\rightarrow S^*$  *y* == *star stepS x y*

**lemma** *endPC[simp]*: *endPC* = 8  
**unfolding** *endPC-def* **unfolding** *prog-def* **by** *auto*

**lemma** *is-getTrustedInput-pcOf[simp]*: *pcOf cfg < 8* ⇒ *is-getInput (prog!(pcOf cfg))* ↔ *pcOf cfg = 1*  
**using** *cases-7[of pcOf cfg]* **by** (*auto simp: prog-def*)  
**lemma** *getUntrustedInput-pcOf[simp]*: *prog!1 = Input U xx*  
**by** (*auto simp: prog-def*)

```

lemma getInputStream-not3[simp]:  $\neg \text{isGetInput}(\text{prog} ! 3)$ 
  by (auto simp: prog-def)

lemma getInputStream-not4[simp]:  $\neg \text{isGetInput}(\text{prog} ! 4)$ 
  by (auto simp: prog-def)
lemma Output-not4[simp]:  $\neg \text{isOutput}(\text{prog} ! 4)$ 
  by (auto simp: prog-def)

lemma isOutput-pcOf[simp]:  $\text{pcOf cfg} < 8 \implies \text{isOutput}(\text{prog}!(\text{pcOf cfg})) \longleftrightarrow$ 
 $\text{pcOf cfg} = 7$ 
using cases-7[of pcOf cfg] by (auto simp: prog-def)

lemma isOutput: isOutput (prog ! 7)
  unfolding isOutput-def prog-def by auto

lemma isFence[simp]: (prog ! 5) = Fence
  unfolding prog-def by auto

lemma not-isGetTrustedInput[simp]:  $\text{cfg} = \text{Config } 3 \ (\text{State } (\text{Vstore } vs) \ (\text{Avstore } as) \ (\text{Heap } h) \ p) \implies \neg \text{isGetInput}(\text{prog} ! \text{pcOf cfg})$ 
  unfolding isGetInput-def prog-def by simp

lemma not-isOutput[simp]:  $\text{cfg} = \text{Config } pc \ (\text{State } (\text{Vstore } vs) \ (\text{Avstore } as) \ (\text{Heap } h) \ p) \implies$ 
 $pc = 3 \implies \neg \text{isOutput}(\text{prog} ! \text{pcOf cfg})$ 
unfolding isOutput-def prog-def by simp

lemma isSecV-pcOf[simp]:
  isSecV (cfg,ibT,ibUT)  $\longleftrightarrow$  pcOf cfg = 0
  using isSecV-def by simp

lemma isSecO-pcOf[simp]:
  isSecO (pstate, cfg, cfgs, ibT, ibUT, ls)  $\longleftrightarrow$  (pcOf cfg = 0  $\wedge$  cfgs = [])
  using isSecO-def by simp

lemma getInputStream-not[simp]:  $\text{pcOf cfg} < 8 \implies$ 
 $(\text{prog} ! \text{pcOf cfg}) \neq \text{Input T inp}$ 
apply(cases cfg) subgoal for pc s using cases-7[of pcOf cfg ]
by (auto simp: prog-def) .

lemma getActV-pcOf[simp]:
  pcOf cfg < 8  $\implies$ 
  getActV (cfg,ibT,ibUT,ls) =

```

```

(if pcOf cfg = 1 then lhd ibUT else ⊥)
apply(subst getActV-simps) unfolding prog-def
  apply simp
  using cases-7[of pcOf cfg] apply(elim disjE)
  using getActV-simps not-is-getTrustedInput-getActV by auto

lemma getObsV-pcOf[simp]:
pcOf cfg < 8 ==>
  getObsV (cfg,ibT,ibUT,ls) =
  (if pcOf cfg = 7 then
    (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
  else ⊥
  )
apply(subst getObsV-simps)
  unfolding prog-def apply simp
  using getObsV-simps not-is-Output-getObsV is-Output-pcOf prog-def by presburger

lemma getActO-pcOf[simp]:
pcOf cfg < 8 ==>
  getActO (pstate, cfg, cfgs, ibT, ibUT, ls) =
  (if pcOf cfg = 1 ∧ cfgs = [] then lhd ibUT else ⊥)
apply(subst getActO-simps)
  apply(cases cfgs, auto)
  unfolding prog-def apply simp
  using getActV-simps getActV-pcOf prog-def by presburger

lemma getObsO-pcOf[simp]:
pcOf cfg < 8 ==>
  getObsO (pstate, cfg, cfgs, ibT, ibUT, ls) =
  (if (pcOf cfg = 7 ∧ cfgs = []) then
    (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
  else ⊥
  )
apply(subst getObsO-simps)
  apply(cases cfgs, auto)
  unfolding prog-def apply simp
  using getObsV-simps is-Output-pcOf not-is-Output-getObsV prog-def by presburger

lemma eqSec-pcOf[simp]:
eqSec (cfg1, ibT, ibUT1, ls1) (pstate3, cfg3, cfgs3, ibT, ibUT3, ls3) <=>
  (pcOf cfg1 = 0 <=> pcOf cfg3 = 0 ∧ cfgs3 = []) ∧
  (pcOf cfg1 = 0 → stateOf cfg1 = stateOf cfg3)
unfolding eqSec-def by simp

```

```

lemma nextB-pc0[simp]:
nextB (Config 0 s, ibT,ibUT) =
(Config 1 s, ibT,ibUT)
apply(subst nextB-Start-Skip-Fence)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc0[simp]:
readLocs (Config 0 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc1[simp]:
ibUT ≠ LNil  $\implies$  nextB (Config 1 (State (Vstore vs) avst h p), ibT,ibUT) =
(Config 2 (State (Vstore (vs(xx := lhd ibUT))) avst h p), ibT, ltl ibUT)
apply(subst nextB-getUntrustedInput')
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc1[simp]:
readLocs (Config 1 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc1'[simp]:
ibUT ≠ LNil  $\implies$  nextB (Config (Suc 0) (State (Vstore vs) avst h p), ibT,ibUT)
=
(Config 2 (State (Vstore (vs(xx := lhd ibUT))) avst h p), ibT, ltl ibUT)
apply(subst nextB-getUntrustedInput')
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc1'[simp]:
readLocs (Config (Suc 0) s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc2[simp]:
nextB (Config 2 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 3 (State (Vstore (vs(tt := 0))) avst h p), ibT, ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc2[simp]:
readLocs (Config 2 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

```

```

lemma nextB-pc3-then[simp]:
vs xx < int NN ==>
nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 4 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextB-IfTrue)
unfolding endPC-def unfolding prog-def by auto

lemma nextB-pc3-else[simp]:
vs xx ≥ int NN ==>
nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 7 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextB-IfFalse)
unfolding endPC-def unfolding prog-def by auto

lemma nextB-pc3:
nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config (if vs xx < NN then 4 else 7) (State (Vstore vs) avst h p), ibT, ibUT)
by(cases vs xx < NN, auto)

lemma nextM-pc3-then[simp]:
vs xx ≥ int NN ==>
nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 4 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextM-IfTrue)
unfolding endPC-def unfolding prog-def by auto

lemma nextM-pc3-else[simp]:
vs xx < int NN ==>
nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 7 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextM-IfFalse)
unfolding endPC-def unfolding prog-def by auto

lemma nextM-pc3:
nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config (if vs xx < NN then 7 else 4) (State (Vstore vs) avst h p), ibT, ibUT)
by(cases vs xx < NN, auto)

lemma readLocs-pc3[simp]:
readLocs (Config 3 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc4[simp]:
nextB (Config 4 (State (Vstore vs) avst (Heap h) p), ibT, ibUT) =
(let l = array-loc aa1 (nat (vs xx)) avst

```

```

in (Config 5 (State (Vstore (vs(vv := h l))) avst (Heap h) p)), ibT,ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc4[simp]:
readLocs (Config 4 (State (Vstore vs) avst h p)) = {array-loc aa1 (nat (vs xx))
avst}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc5[simp]:
nextB (Config 5 s, ibT,ibUT) = (Config 6 s, ibT,ibUT)
apply(subst nextB-Start-Skip-Fence)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc5[simp]:
readLocs (Config 5 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc6[simp]:
nextB (Config 6 (State (Vstore vs) avst (Heap h) p), ibT,ibUT) =
(let l = array-loc aa2 (nat (vs vv * 512)) avst
in (Config 7 (State (Vstore (vs(tt := h l))) avst (Heap h) p)), ibT,ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc6[simp]:
readLocs (Config 6 (State (Vstore vs) avst h p)) = {array-loc aa2 (nat (vs vv *
512)) avst}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc7[simp]:
nextB (Config 7 s, ibT,ibUT) = (Config 8 s, ibT,ibUT)
apply(subst nextB-Output)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc7[simp]:
readLocs (Config 7 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-stepB-pc:

```

```

 $pc < 8 \implies (pc = 1 \longrightarrow ibUT \neq LNil) \implies$ 
 $(Config\ pc\ s,\ ibT,ibUT) \rightarrow B\ nextB\ (Config\ pc\ s,\ ibT,ibUT)$ 
apply(cases s) subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h
using cases-7[of pc] apply safe
  subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
  subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)

  subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def, metis llist-collapse)
  subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
  subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)

subgoal apply(cases vs xx < NN)
  subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
  subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def) .
subgoal apply(cases vs xx < NN)
  subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
  subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def) .

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal by auto
subgoal by auto

```

```

    . . .

lemma not-finalB:
 $pc < 8 \implies (pc = 1 \longrightarrow ibUT \neq LNil) \implies$ 
 $\neg finalB(Config pc s, ibT, ibUT)$ 
using nextB-stepB-pc by (simp add: stepB-iff-nextB)

lemma finalB-pc-iff':
 $pc < 8 \implies$ 
 $finalB(Config pc s, ibT, ibUT) \longleftrightarrow$ 
 $(pc = 1 \wedge ibUT = LNil)$ 
subgoal apply safe
  subgoal using nextB-stepB-pc[of pc] by (auto simp add: stepB-iff-nextB)
  subgoal using nextB-stepB-pc[of pc] by (auto simp add: stepB-iff-nextB)
  subgoal using finalB-iff getUntrustedInput-pcOf by auto . .

lemma finalB-pc-iff:
 $pc \leq 8 \implies$ 
 $finalB(Config pc s, ibT, ibUT) \longleftrightarrow$ 
 $(pc = 1 \wedge ibUT = LNil \vee pc = 8)$ 
using cases-7[of pc] apply (elim disjE, simp add: finalB-def)
subgoal by (meson final-def stebB-0)
by (simp add: finalB-pc-iff' finalB-endPC) +

lemma finalB-pcOf-iff[simp]:
 $pcOf cfg \leq 8 \implies$ 
 $finalB(cfg, ibT, ibUT) \longleftrightarrow (pcOf cfg = 1 \wedge ibUT = LNil \vee pcOf cfg = 8)$ 
by (metis config.collapse finalB-pc-iff)

lemma finalS-cond:pcOf  $cfg < 8 \implies cfgs = [] \implies (pcOf cfg = 1 \longrightarrow ibUT \neq LNil) \implies \neg finalS(pstate, cfg, cfgs, ibT, ibUT, ls)$ 
apply(rule notI, cases cfg)
subgoal for pc s apply(cases s)
subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h
  using cases-7[of pc] apply(elim disjE) unfolding finalS-defs
  subgoal by(erule allE[of - (pstate, Config 1 (State (Vstore vs) avst hh p), [], ibT, ibUT, ls)], erule notE, rule nonspec-normal, auto)

  subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p))
    pstate pstate ibT ibUT
    Config 2 (State (Vstore (vs(xx:= lhd ibUT))) avst hh
p)
    ibT ltl ibUT [] ls  $\cup$  readLocs (Config pc (State (Vstore vs) avst hh p)) ls]

```

```

prefer 7 subgoal by metis by simp-all
subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)
                                pstate pstate ibT ibUT
                                Config 3 (State (Vstore (vs(tt:= 0))) avst hh p)
                                ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
vs) avst hh p)) ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(cases mispred pstate [3])
subgoal by(erule allE[of - (update pstate [pcOf (Config pc (State (Vstore vs)
avst hh p))],,
                                Config (if vs xx < NN then 4 else 7) (State (Vstore
vs) avst hh p),
                                Config (if vs xx < NN then 7 else 4) (State (Vstore vs)
avst hh p)],
                                ibT,ibUT, ls)], erule notE, rule nonspec-mispred, auto
simp: finalM-iff)

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)
                                pstate pstate ibT ibUT
                                Config (if vs xx < NN then 4 else 7) (State (Vstore
vs) avst hh p)
                                ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
vs) avst hh p)) ls])
prefer 7 subgoal by metis apply simp-all by (simp add: nextB-pc3)
.

subgoal by(erule allE[of - (pstate, Config 5 (State (Vstore (vs(vv := h (array-loc
aa1 (nat (vs xx)) avst)))) avst hh p),
                                [], ibT,ibUT, ls ∪ {array-loc
aa1 (nat (vs xx)) avst}]),
                                erule notE, rule nonspec-normal, auto)

subgoal by(erule allE[of - (pstate, Config 6 (State (Vstore vs) avst hh p), [],
ibT,ibUT, ls)], erule notE, rule nonspec-normal, auto)

subgoal by(erule allE[of - (pstate, Config 7 (State (Vstore (vs(tt := h (array-loc
aa2 (nat (vs vv * 512)) (Avstore as)))))) avst hh p),
                                [], ibT,ibUT, ls ∪ {array-loc aa2 (nat (vs vv * 512))
(Avstore as)}]),
                                erule notE, rule nonspec-normal, auto)

subgoal by(erule allE[of - (pstate, Config 8 (State (Vstore vs) avst hh p), [],
ibT,ibUT, ls)], erule notE, rule nonspec-normal, auto)

by simp-all . .

```

```

lemma finalS-cond-spec:
  pcOf cfg < 8 ==>
    (((pcOf (last cfgs) = 4 ∨ pcOf (last cfgs) = 5) ∧ pcOf cfg = 7) ∨
     (pcOf (last cfgs) = 7 ∧ pcOf cfg = 4)) ==>
    length cfgs = Suc 0 ==>
    ¬ finalS (pstate, cfg, cfgs, ibT, ibUT, ls)
using not-is-getTrustedInput not-is-Output
  apply(cases cfg)
  subgoal for pc s apply(cases s)
  subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
  subgoal for vs as h apply(cases last cfgs)
  subgoal for pcs ss apply(cases ss)
  subgoal for vsts avsts hhs ps apply(cases vsts, cases avsts, cases hhs, simp)
  subgoal for vss ass hs apply(elim disjE, elim conjE, elim disjE, simp)
  unfolding finalS-defs
  subgoal apply(rule notI,
    erule allE[of - (pstate, Config 7 (State (Vstore vs) (Avstore as) (Heap h) p),
      [Config 5 (State (Vstore (vss(vv := hs (array-loc aa1 (nat (vss
        xx)) avsts))) avsts hhs ps)], ibT, ibUT, ls ∪ readLocs (last cfgs))])
  by(erule notE,
    rule spec-normal[of -----Config 5 (State (Vstore (vss(vv := hs (array-loc
      aa1 (nat (vss xx)) avsts))) avsts hhs ps)], auto)

  subgoal apply(rule notI,
    erule allE[of - (pstate, Config 7 (State (Vstore vs) (Avstore as) (Heap h)
      p), [], ibT, ibUT, ls)])
    apply(erule notE) by(rule spec-Fence, auto)

  subgoal apply(rule notI,
    erule allE[of - (update pstate (4 # map pcOf cfgs), Config 4 (State (Vstore vs)
      (Avstore as) (Heap h) p),
      [], ibT, ibUT, ls)])
    by(erule notE, rule spec-resolve, auto)
  . . .
end

```

## 10.2 Proof

```

theory Fun3-secure
imports Fun3
begin

type-synonym stateO = configS
type-synonym stateV = config × val llist × val llist × loc set

definition PC ≡ {0..7}

```

```

definition beforeInput = {0,1}
definition afterInput = {2,3,4,5,6,7}
definition startOfThenBranch = 4
definition inThenBranchBeforeFence = {4,5}
definition elseBranch = 7
definition beforeFence = {2..4}
definition beforeAssign-vv = {0..4}

definition common :: stateO ⇒ stateO ⇒ status ⇒ stateV ⇒ stateV ⇒ status
⇒ bool
where
common = (λ
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA
  (cfg1, ibT1, ibUT1, ls1)
  (cfg2, ibT2, ibUT2, ls2)
  statO.
  (pstate3 = pstate4 ∧
   cfg1 = cfg3 ∧ cfg2 = cfg4 ∧
   pcOf cfg3 = pcOf cfg4 ∧ map pcOf cfgs3 = map pcOf cfgs4 ∧
   pcOf cfg3 ∈ PC ∧ pcOf ‘(set cfgs3) ⊆ PC ∧
   /**
    array-base aa1 (getAvstore (stateOf cfg3)) = array-base aa1 (getAvstore (stateOf
    cfg4)) ∧
    (∀ cfg3' ∈ set cfgs3. array-base aa1 (getAvstore (stateOf cfg3')) = array-base aa1
    (getAvstore (stateOf cfg3'))) ∧
    (∀ cfg4' ∈ set cfgs4. array-base aa1 (getAvstore (stateOf cfg4')) = array-base aa1
    (getAvstore (stateOf cfg4))) ∧
    array-base aa2 (getAvstore (stateOf cfg3)) = array-base aa2 (getAvstore (stateOf
    cfg4)) ∧
    (∀ cfg3' ∈ set cfgs3. array-base aa2 (getAvstore (stateOf cfg3')) = array-base aa2
    (getAvstore (stateOf cfg3'))) ∧
    (∀ cfg4' ∈ set cfgs4. array-base aa2 (getAvstore (stateOf cfg4')) = array-base aa2
    (getAvstore (stateOf cfg4))) ∧
    /**
    (statA = Diff → statO = Diff)))
  )

lemma common-implies: common
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA
  (cfg1, ibT1, ibUT1, ls1)
  (cfg2, ibT2, ibUT2, ls2)
  statO →

```

$pcOf cfg1 < 9 \wedge pcOf cfg2 = pcOf cfg1$   
**unfolding** common-def PC-def **by** (auto simp: image-def subset-eq)

**definition**  $\Delta 0 :: enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status \Rightarrow bool$  **where**  
 $\Delta 0 = (\lambda num$   
 $(pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)$   
 $(pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)$   
 $statA$   
 $(cfg1,ibT1,ibUT1,ls1)$   
 $(cfg2,ibT2,ibUT2,ls2)$   
 $statO.$   
 $(common (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)$   
 $(pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)$   
 $statA$   
 $(cfg1,ibT1,ibUT1,ls1)$   
 $(cfg2,ibT2,ibUT2,ls2)$   
 $statO \wedge$   
 $ibUT1 = ibUT3 \wedge ibUT2 = ibUT4 \wedge$   
 $(pcOf cfg3 > 1 \longrightarrow same-var-o xx cfg3 cfs3 cfg4 cfs4) \wedge$   
 $(pcOf cfg3 < 2 \longrightarrow ibUT1 \neq LNil \wedge ibUT2 \neq LNil \wedge ibUT3 \neq LNil \wedge ibUT4 \neq LNil)$   
 $\wedge$   
 $pcOf cfg3 \in beforeInput \wedge$   
 $ls1 = ls3 \wedge ls2 = ls4 \wedge$   
 $noMisSpec cfs3$   
 $)$ )

**lemmas**  $\Delta 0\text{-defs} = \Delta 0\text{-def common-def PC-def beforeInput-def noMisSpec-def same-var-o-def}$

**lemma**  $\Delta 0\text{-implies: } \Delta 0\text{ num}$   
 $(pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)$   
 $(pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)$   
 $statA$   
 $(cfg1,ibT1,ibUT1,ls1)$   
 $(cfg2,ibT2,ibUT2,ls2)$   
 $statO \implies$   
 $(pcOf cfg3 = 1 \longrightarrow ibUT3 \neq LNil) \wedge$   
 $(pcOf cfg4 = 1 \longrightarrow ibUT4 \neq LNil) \wedge$   
 $pcOf cfg1 < 8 \wedge pcOf cfg2 = pcOf cfg1 \wedge$   
 $cfgs3 = [] \wedge pcOf cfg3 < 8 \wedge$   
 $cfgs4 = [] \wedge pcOf cfg4 < 8$   
**unfolding**  $\Delta 0\text{-defs}$   
**apply** (intro conjI)  
**apply** simp-all  
**by** (metis map-is-Nil-conv)

```

definition Δ1 :: enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒ status
⇒ bool where
Δ1 = (λnum
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA
  (cfg1, ibT1, ibUT1, ls1)
  (cfg2, ibT2, ibUT2, ls2)
  statO.
  (common
    (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
    (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
    statA
    (cfg1, ibT1, ibUT1, ls1)
    (cfg2, ibT2, ibUT2, ls2)
    statO ∧
    pcOf cfg3 ∈ afterInput ∧
    same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
    ls1 = ls3 ∧ ls2 = ls4 ∧
    noMissSpec cfgs3
  ))

```

**lemmas** Δ1-defs = Δ1-def common-def PC-def afterInput-def same-var-o-def noMissSpec-def

```

lemma Δ1-implies: Δ1 num
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA
  (cfg1, ibT1, ibUT1, ls1)
  (cfg2, ibT2, ibUT2, ls2)
  statO ==>
  pcOf cfg1 < 8 ∧
  cfgs3 = [] ∧ pcOf cfg3 ≠ 1 ∧ pcOf cfg3 < 8 ∧
  cfgs4 = [] ∧ pcOf cfg4 ≠ 1 ∧ pcOf cfg4 < 8
  unfolding Δ1-defs
  apply(intro conjI) apply simp-all
  using One-nat-def verit-eq-simplify(10,12) apply linarith
  apply (metis list.map-disc-iff)
  by linarith

```

```

definition Δ2 :: enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒ status
⇒ bool where
Δ2 = (λnum
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA

```

```

 $(cfg1,ibT1,ibUT1,ls1)$ 
 $(cfg2,ibT2,ibUT2,ls2)$ 
 $statO.$ 
 $(common$ 
 $(pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1,ibT1,ibUT1,ls1)$ 
 $(cfg2,ibT2,ibUT2,ls2)$ 
 $statO \wedge$ 
 $pcOf\ cfg3 = startOfThenBranch \wedge$ 
 $pcOf\ (last\ cfgs3) = elseBranch \wedge$ 
 $same-var-o\ xx\ cfg3\ cfgs3\ cfg4\ cfgs4 \wedge$ 
 $ls1 = ls3 \wedge ls2 = ls4 \wedge$ 
 $misSpecL1\ cfgs3$ 
 $))$ 

```

**lemmas**  $\Delta 2\text{-}defs = \Delta 2\text{-def common-def PC-def same-var-def startOfThenBranch-def}$   
 $misSpecL1\text{-def elseBranch-def}$

**lemma**  $\Delta 2\text{-implies: } \Delta 2\ num$   
 $(pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1,ibT1,ibUT1,ls1)$ 
 $(cfg2,ibT2,ibUT2,ls2)$ 
 $statO \implies$ 
 $pcOf\ (last\ cfgs3) = 7 \wedge pcOf\ cfg3 = 4 \wedge$ 
 $pcOf\ (last\ cfgs4) = pcOf\ (last\ cfgs3) \wedge$ 
 $pcOf\ cfg3 = pcOf\ cfg4 \wedge$ 
 $length\ cfgs3 = Suc\ 0 \wedge$ 
 $length\ cfgs3 = length\ cfgs4$ 
**apply** (*intro conjI*)
**unfolding**  $\Delta 2\text{-defs}$  **apply** *simp-all*
**apply** (*simp add: image-subset-iff*)
**apply** (*metis last-map map-is-Nil-conv*)
**by** (*metis length-map*)

**definition**  $\Delta 3 :: enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status$   
 $\Rightarrow bool$  **where**  
 $\Delta 3 = (\lambda num$ 
 $(pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1,ibT1,ibUT1,ls1)$ 
 $(cfg2,ibT2,ibUT2,ls2)$ 
 $statO.$

```

(common (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO ∧
pcOf cfg3 = elseBranch ∧
pcOf (last cfgs3) ∈ inThenBranchBeforeFence ∧
same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
Language-Prelims.dist ls3 ls4 ⊆ Language-Prelims.dist ls1 ls2 ∧
(pcOf (last cfgs3) = 4 → ls1 = ls3 ∧ ls2 = ls4) ∧
misSpecL1 cfgs3
))

```

**lemmas**  $\Delta 3\text{-defs} = \Delta 3\text{-def common-def PC-def inThenBranchBeforeFence-def}$   
 $\text{beforeAssign-vv-def misSpecL1-def elseBranch-def}$   
 $\text{same-var-o-def}$

**lemma**  $\Delta 3\text{-implies: } \Delta 3\text{ num}$

```

(pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO  $\Rightarrow$ 
(pcOf (last cfgs3) = 4 ∨ pcOf (last cfgs3) = 5) ∧ pcOf cfg3 = 7 ∧
pcOf (last cfgs4) = pcOf (last cfgs3) ∧
pcOf cfg3 = pcOf cfg4 ∧
array-base aa1 (getAvstore (stateOf (last cfgs3))) = array-base aa1 (getAvstore
(stateOf cfg3)) ∧
array-base aa1 (getAvstore (stateOf (last cfgs4))) = array-base aa1 (getAvstore
(stateOf cfg4)) ∧
length cfgs3 = Suc 0 ∧
length cfgs3 = length cfgs4 ∧
vstore (getVstore (stateOf (last cfgs3))) xx = vstore (getVstore (stateOf (last
cfgs4))) xx
apply(intro conjI)
unfolding  $\Delta 3\text{-defs}$  apply simp-all
apply (simp add: image-subset-iff)
apply (metis last-map map-is-Nil-conv)
apply (metis last-in-set list.size(3) n-not-Suc-n)
apply (metis One-nat-def last-in-set length-0-conv length-map zero-neq-one)
apply (metis length-map)
by (metis last-in-set list.map-disc-iff)

```

**definition**  $\Delta 1' :: enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status$

```

 $\Rightarrow \text{bool where}$ 
 $\Delta 1' = (\lambda \text{num}$ 
 $(\text{pstate}_3, \text{cfg}_3, \text{cfgs}_3, \text{ibT}_3, \text{ibUT}_3, \text{ls}_3)$ 
 $(\text{pstate}_4, \text{cfg}_4, \text{cfgs}_4, \text{ibT}_4, \text{ibUT}_4, \text{ls}_4)$ 
 $\text{statA}$ 
 $(\text{cfg}_1, \text{ibT}_1, \text{ibUT}_1, \text{ls}_1)$ 
 $(\text{cfg}_2, \text{ibT}_2, \text{ibUT}_2, \text{ls}_2)$ 
 $\text{statO}.$ 
 $(\text{common}$ 
 $(\text{pstate}_3, \text{cfg}_3, \text{cfgs}_3, \text{ibT}_3, \text{ibUT}_3, \text{ls}_3)$ 
 $(\text{pstate}_4, \text{cfg}_4, \text{cfgs}_4, \text{ibT}_4, \text{ibUT}_4, \text{ls}_4)$ 
 $\text{statA}$ 
 $(\text{cfg}_1, \text{ibT}_1, \text{ibUT}_1, \text{ls}_1)$ 
 $(\text{cfg}_2, \text{ibT}_2, \text{ibUT}_2, \text{ls}_2)$ 
 $\text{statO} \wedge$ 
 $\text{pcOf cfg}_3 = \text{elseBranch} \wedge$ 
 $\text{same-var-o xx cfg}_3 \text{ cfgs}_3 \text{ cfg}_4 \text{ cfgs}_4 \wedge$ 
 $\text{Language-Prelims.dist ls}_3 \text{ ls}_4 \subseteq \text{Language-Prelims.dist ls}_1 \text{ ls}_2 \wedge$ 
 $\text{noMisSpec cfgs}_3$ 
 $)$ )

```

**lemmas**  $\Delta 1' \text{-defs} = \Delta 1' \text{-def common-def PC-def afterInput-def same-var-o-def}$   
 $\text{noMisSpec-def}$   
 $\text{elseBranch-def}$

**lemma**  $\Delta 1' \text{-implies: } \Delta 1' \text{ num}$   
 $(\text{pstate}_3, \text{cfg}_3, \text{cfgs}_3, \text{ibT}_3, \text{ibUT}_3, \text{ls}_3)$   
 $(\text{pstate}_4, \text{cfg}_4, \text{cfgs}_4, \text{ibT}_4, \text{ibUT}_4, \text{ls}_4)$   
 $\text{statA}$   
 $(\text{cfg}_1, \text{ibT}_1, \text{ibUT}_1, \text{ls}_1)$   
 $(\text{cfg}_2, \text{ibT}_2, \text{ibUT}_2, \text{ls}_2)$   
 $\text{statO} \implies$   
 $\text{pcOf cfg}_1 < 8 \wedge$   
 $\text{cfgs}_3 = [] \wedge \text{pcOf cfg}_3 \neq 1 \wedge \text{pcOf cfg}_3 < 8 \wedge$   
 $\text{cfgs}_4 = [] \wedge \text{pcOf cfg}_4 \neq 1 \wedge \text{pcOf cfg}_4 < 8$   
**unfolding**  $\Delta 1' \text{-defs}$   
**apply**(intro conjI) **apply** simp-all  
**by** (metis list.map-disc-iff)

**definition**  $\Delta 4 :: \text{enat} \Rightarrow \text{stateO} \Rightarrow \text{stateO} \Rightarrow \text{status} \Rightarrow \text{stateV} \Rightarrow \text{stateV} \Rightarrow \text{status}$   
 $\Rightarrow \text{bool where}$   
 $\Delta 4 = (\lambda \text{num}$ 
 $(\text{pstate}_3, \text{cfg}_3, \text{cfgs}_3, \text{ibT}_3, \text{ibUT}_3, \text{ls}_3)$ 
 $(\text{pstate}_4, \text{cfg}_4, \text{cfgs}_4, \text{ibT}_4, \text{ibUT}_4, \text{ls}_4)$ 
 $\text{statA}$ 
 $(\text{cfg}_1, \text{ibT}_1, \text{ibUT}_1, \text{ls}_1)$ 
 $(\text{cfg}_2, \text{ibT}_2, \text{ibUT}_2, \text{ls}_2)$

$statO.$   
 $(pcOf cfg3 = endPC \wedge pcOf cfg4 = endPC \wedge cfgs3 = [] \wedge cfgs4 = [] \wedge$   
 $pcOf cfg1 = endPC \wedge pcOf cfg2 = endPC))$

**lemmas**  $\Delta_4\text{-defs} = \Delta_4\text{-def common-def endPC-def}$

```

lemma init: initCond  $\Delta_0$ 
  unfolding initCond-def apply(intro allI)
  subgoal for s3 s4 apply(cases s3, cases s4)
  subgoal for pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4

    apply clarify
    apply(rule exI[of - (cfg3, ibT3, ibUT3, ls3)])
    apply(cases getAvstore (stateOf cfg3))
    apply(rule exI[of - (cfg4, ibT4, ibUT4, ls4)])
    apply(cases getAvstore (stateOf cfg4))
    unfolding  $\Delta_0$ -defs array-base-def by auto ..

lemma step0: unwindIntoCond  $\Delta_0$  (oor  $\Delta_0 \Delta_1$ )
proof(rule unwindIntoCond-simpleI)
  fix n ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and  $\Delta_0$ :  $\Delta_0 n ss3 ss4 statA ss1 ss2 statO$ 

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
  ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
  ibT4, ibUT4, ls4)
  by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
  by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
  by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
  cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases cfg3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
  cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg3 cfg4

  obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)

```

```

obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
  using Δ0 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ0-defs
  by simp

have f2:¬finalN ss2
  using Δ0 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ0-defs
  by simp

have f3:¬finalS ss3
  using Δ0 unfolding ss apply-apply(frule Δ0-implies)
  using finalS-cond by simp

have f4:¬finalS ss4
  using Δ0 unfolding ss apply-apply(frule Δ0-implies)
  using finalS-cond by simp

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor Δ0 Δ1) ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

  show match1 (oor Δ0 Δ1) ss3 ss4 statA ss1 ss2 statO
    unfolding match1-def by (simp add: finalS-defs)
  show match2 (oor Δ0 Δ1) ss3 ss4 statA ss1 ss2 statO
    unfolding match2-def by (simp add: finalS-defs)
  show match12 (oor Δ0 Δ1) ss3 ss4 statA ss1 ss2 statO
    unfolding match12-simpleI, rule disjI2, intro conjI)
    fix ss3' ss4' statA'
    assume statA': statA' = sstatA' statA ss3 ss4
    and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
    and sa: Opt.eqAct ss3 ss4
    note v3 = v(1) note v4 = v(2)

    obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
      cfg3', cfgs3', ibT3', ibUT3', ls3')
      by (cases ss3', auto)
    obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
      cfg4', cfgs4', ibT4', ibUT4', ls4')
      by (cases ss4', auto)

```

```

note ss = ss ss3' ss4'

obtain pc3 vs3 avst3 h3 p3 where
  cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
  cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

show eqSec ss1 ss3
using v sa Δ0 unfolding ss
by (simp add: Δ0-defs eqSec-def)

show eqSec ss2 ss4
using v sa Δ0 unfolding ss
apply (simp add: Δ0-defs eqSec-def)
by (metis length-0-conv length-map)

show Van.eqAct ss1 ss2
using v sa Δ0 unfolding ss
unfolding Opt.eqAct-def Van.eqAct-def
apply (simp-all add: Δ0-defs)
by (metis f3 map-is-Nil-conv ss3)

show match12-12 (oor Δ0 Δ1) ss3' ss4' statA' ss1 ss2 statO
unfolding match12-12-def
proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro conjI impI)
show validTransV (ss1, nextN ss1)
by (simp add: f1 nextN-stepN)

show validTransV (ss2, nextN ss2)
by (simp add: f2 nextN-stepN)

{assume sstat: statA' = Diff
show sstatO' statO ss1 ss2 = Diff
using v sa Δ0 sstat unfolding ss cfg statA' apply simp
apply (simp add: Δ0-defs sstatO'-def sstatA'-def finalS-def final-def)
using cases-7[of pc3] apply (elim disjE)
apply simp-all apply (cases statO, simp-all) apply (cases statA, simp-all)
apply (cases statO, simp-all) apply (cases statA, simp-all)
by (smt (z3) status.distinct status.exhaust newStat.simps) +
}note stat = this

show oor Δ0 Δ1 ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2) (sstatO' statO
ss1 ss2)

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)

```

```

    case spec-normal
      then show ?thesis using sa Δ0 stat unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-mispred
      then show ?thesis using sa Δ0 stat unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-Fence
      then show ?thesis using sa Δ0 stat unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-resolve
      then show ?thesis using sa Δ0 stat unfolding ss by (simp add:
Δ0-defs)
    next
    case nonspec-mispred
      then show ?thesis using sa Δ0 stat unfolding ss apply (simp add:
Δ0-defs)
      by (metis is-If-pc less-Suc-eq nat-less-le numeral-1-eq-Suc-0 nu-
meral-3-eq-3
          one-eq-numeral-iff semiring-norm(83) zero-less-numeral zero-neq-numeral)

  next
  case nonspec-normal note nn3 = nonspec-normal
  show ?thesis
  using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
    case nonspec-mispred
      then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-normal
      then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-mispred
      then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-Fence
      then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
    next
    case spec-resolve
      then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
    next
    case nonspec-normal note nn4=nonspec-normal
    show ?thesis using sa stat Δ0 v3 v4 nn3 nn4 f4 unfolding ss cfg hh

```

```

Opt.eqAct-def
  apply clar simp
  using cases-7[of pc3] apply(elim disjE)
  subgoal apply(rule oorI1) by (simp add: Δ0-defs)
  subgoal apply(rule oorI2) apply (simp add: Δ0-defs,auto)
    unfolding Δ1-defs
    subgoal by (simp add: Δ0-defs)
    subgoal by (simp add: Δ0-defs) .
    by (simp add: Δ0-defs)+
  qed
  qed
  qed
  qed
  qed
  qed
  qed

lemma step1: unwindIntoCond Δ1 (oor4 Δ1 Δ2 Δ3 Δ4)
proof(rule unwindIntoCond-simpleI)
  fix n ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and Δ1: Δ1 n ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
    by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
    by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
    by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
    by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc1 vs1 avst1 h1 p1 where
    cfg1: cfg1 = Config pc1 (State (Vstore vs1) avst1 h1 p1)
    by (cases cfg1) (metis state.collapse vstore.collapse)
  obtain pc2 vs2 avst2 h2 p2 where
    cfg2: cfg2 = Config pc2 (State (Vstore vs2) avst2 h2 p2)
    by (cases cfg2) (metis state.collapse vstore.collapse)
  obtain pc3 vs3 avst3 h3 p3 where
    cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
    by (cases cfg3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
    cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
    by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg1 cfg2 cfg3 cfg4

```

```

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
  using Δ1 finalB-pc-iff' unfolding ss cfg finalN-iff-finalB Δ1-defs
  by simp linarith

have f2:¬finalN ss2
  using Δ1 finalB-pc-iff' unfolding ss cfg finalN-iff-finalB Δ1-defs
  by simp linarith

have f3:¬finalS ss3
  using Δ1 unfolding ss apply-apply(frule Δ1-implies)
  using finalS-cond by simp

have f4:¬finalS ss4
  using Δ1 unfolding ss apply-apply(frule Δ1-implies)
  using finalS-cond by simp

note finals = f1 f2 f3 f4

show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor4 Δ1 Δ2 Δ3 Δ4) ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

  show match1 (oor4 Δ1 Δ2 Δ3 Δ4) ss3 ss4 statA ss1 ss2 statO
    unfolding match1-def by (simp add: finalS-def final-def)
  show match2 (oor4 Δ1 Δ2 Δ3 Δ4) ss3 ss4 statA ss1 ss2 statO
    unfolding match2-def by (simp add: finalS-def final-def)
  show match12 (oor4 Δ1 Δ2 Δ3 Δ4) ss3 ss4 statA ss1 ss2 statO

proof(rule match12-simpleI, rule disjI2, intro conjI)
  fix ss3' ss4' statA'
  assume statA': statA' = sstatA' statA ss3 ss4
  and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
  and sa: Opt.eqAct ss3 ss4
  note v3 = v(1) note v4 = v(2)

  obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
    cfg3', cfgs3', ibT3', ibUT3', ls3')
    by (cases ss3', auto)
  obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
    cfg4', cfgs4', ibT4', ibUT4', ls4')
    by (cases ss4', auto)

```

```

by (cases ss4', auto)
note ss = ss ss3' ss4'

show eqSec ss1 ss3
using v sa Δ1 unfolding ss
by (simp add: Δ1-defs eqSec-def)

show eqSec ss2 ss4
using v sa Δ1 unfolding ss
apply (simp add: Δ1-defs eqSec-def)
by (metis length-0-conv length-map)

show Van.eqAct ss1 ss2
using v sa Δ1 unfolding ss Van.eqAct-def
apply (simp-all add: Δ1-defs)
by linarith

show match12-12 (oor4 Δ1 Δ2 Δ3 Δ4) ss3' ss4' statA' ss1 ss2 statO
unfolding match12-12-def
proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
conjI impI)
  show validTransV (ss1, nextN ss1)
    by (simp add: f1 nextN-stepN)

  show validTransV (ss2, nextN ss2)
    by (simp add: f2 nextN-stepN)

{assume sstat: statA' = Diff
show sstatO' statO ss1 ss2 = Diff
using v sa Δ1 sstat unfolding ss cfg statA'
apply(simp add: Δ1-defs sstatO'-def sstatA'-def)
using cases-7[of pc3] apply(elim disjE)
defer 1 defer 1
  subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
    using cfg finals ss status.distinct(1) newStat.simps by auto
  subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
    using cfg finals ss status.distinct(1) newStat.simps by auto
  subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
    using cfg finals ss status.distinct(1) newStat.simps by auto
  subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
    using cfg finals ss status.distinct(1) newStat.simps by auto
  subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
    using cfg finals ss status.distinct(1) newStat.simps by auto
  subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
    using cfg finals ss status.distinct(1) newStat.simps by auto
  subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
    using cfg finals ss status.distinct(1) newStat.simps by auto
} note stat = this

```

```

show (oor4 Δ1 Δ2 Δ3 Δ4) ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2)
(sstatO' statO ss1 ss2)

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
case spec-normal
then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
case spec-mispred
then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
case spec-Fence
then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
case spec-resolve
then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
case nonspec-mispred note nm3 = nonspec-mispred
show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

case nonspec-normal
then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-normal
then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-mispred
then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-Fence
then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-resolve
then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case nonspec-mispred note nm4 = nonspec-mispred
then show ?thesis
using sa Δ1 stat v3 v4 nm3 nm4 unfolding ss cfg hh apply clarsimp
using cases-7[of pc3] apply(elim disjE)
subgoal by simp
subgoal by simp

```

```

    subgoal by simp
    subgoal using xx-NN-cases[of vs3] apply(elim disjE)
      subgoal apply(rule oor4I2) by (simp add: Δ1-defs Δ2-defs)
        subgoal apply(rule oor4I3) by (simp add: Δ1-defs Δ3-defs) .
        by (simp-all add: Δ1-defs)+
    qed
  next
    case nonspec-normal note nn3 = nonspec-normal
    show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

      case nonspec-mispred
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case spec-normal
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case spec-mispred
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case spec-Fence
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case spec-resolve
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
      Δ1-defs)
    next
      case nonspec-normal
      then show ?thesis using sa Δ1 stat v3 v4 nn3 unfolding ss cfg hh
apply clar simp
  using cases-7[of pc3] apply(elim disjE)
  subgoal by (simp add: Δ1-defs)
  subgoal by (simp add: Δ1-defs)
  subgoal apply(rule oor4I1) by (simp add: Δ1-defs)
  subgoal using xx-NN-cases[of vs3] apply(elim disjE)
    subgoal apply(rule oor4I1) by (simp add: Δ1-defs)
    subgoal apply(rule oor4I1) by (simp add: Δ1-defs) .
  subgoal apply(rule oor4I1) by (simp add: Δ1-defs)
  subgoal apply(rule oor4I1) by (simp add: Δ1-defs)
  subgoal apply(rule oor4I1) by (simp add: Δ1-defs)
  subgoal apply(rule oor4I4) by (simp add: Δ1-defs Δ4-defs)
  subgoal apply(rule oor4I4) by (simp add: Δ1-defs Δ4-defs) .
qed
qed
qed
qed

```

qed  
qed

```

lemma step2: unwindIntoCond  $\Delta_2 \Delta_1$ 
proof(rule unwindIntoCond-simpleI)
  fix n ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and  $\Delta_2$ :  $\Delta_2 n ss3 ss4 statA ss1 ss2 statO$ 

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
  ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
  ibT4, ibUT4, ls4)
  by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
  by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
  by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
    lcfgs3: last cfgs3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases last cfgs3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
    lcfgs4: last cfgs4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases last cfgs4) (metis state.collapse vstore.collapse)
  note lcfgs = lcfgs3 lcfgs4

  have f1:¬finalN ss1
  using  $\Delta_2$  finalB-pc-iff' unfolding ss finalN-iff-finalB  $\Delta_2$ -defs
  by auto

  have f2:¬finalN ss2
  using  $\Delta_2$  finalB-pc-iff' unfolding ss finalN-iff-finalB  $\Delta_2$ -defs
  by auto

  have f3:¬finalS ss3
  using  $\Delta_2$  unfolding ss apply-apply(frule  $\Delta_2$ -implies)
  using finalS-cond-spec by simp

  have f4:¬finalS ss4
  using  $\Delta_2$  unfolding ss apply-apply(frule  $\Delta_2$ -implies)
  using finalS-cond-spec by simp

  note finals = f1 f2 f3 f4

```

```

show finalS ss3 = finalS ss4  $\wedge$  finalN ss1 = finalS ss3  $\wedge$  finalN ss2 = finalS ss4
using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react  $\Delta 1$  ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1  $\Delta 1$  ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2  $\Delta 1$  ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12  $\Delta 1$  ss3 ss4 statA ss1 ss2 statO

proof(rule match12-simpleI, rule disjI1, intro conjI)
  fix ss3' ss4' statA'
  assume statA': statA' = sstatA' statA ss3 ss4
  and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
  and sa: Opt.eqAct ss3 ss4
  note v3 = v(1) note v4 = v(2)

  obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
cfg3', cfgs3', ibT3', ibUT3', ls3')
  by (cases ss3', auto)
  obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
cfg4', cfgs4', ibT4', ibUT4', ls4')
  by (cases ss4', auto)
  note ss = ss ss3' ss4'

  obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
  obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
  note hh = h3 h4

show  $\neg$  isSecO ss3
using v sa  $\Delta 2$  unfolding ss by (simp add:  $\Delta 2$ -defs)

show  $\neg$  isSecO ss4
using v sa  $\Delta 2$  unfolding ss apply clarsimp
by (simp add:  $\Delta 2$ -defs, linarith)

show stat: statA = statA'  $\vee$  statO = Diff
using v sa  $\Delta 2$ 
apply (cases ss3, cases ss4, cases ss1, cases ss2)
apply (cases ss3', cases ss4',clarsimp)
unfolding ss statA' applyclarsimp
apply(simp-all add:  $\Delta 2$ -defs sstatA'-def)
apply(cases statO, simp-all) apply(cases statA, simp-all)
unfolding finalS-defs
by (smt (verit, ccfv-SIG) newStat.simps(1))

```

```

show  $\Delta_1 \propto ss3' ss4' statA' ss1 ss2 statO$ 

using  $v3[\text{unfolded } ss, \text{simplified}]$  proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using sa stat  $\Delta_2$  unfolding ss by (simp add:  $\Delta_2\text{-defs}$ )
  next
  case nonspec-mispred
    then show ?thesis using sa stat  $\Delta_2$  unfolding ss by (simp add:  $\Delta_2\text{-defs}$ )
  next
  case spec-normal
    then show ?thesis using sa stat  $\Delta_2 v3$  unfolding ss apply-
      apply(frule  $\Delta_2\text{-implies}$ ) by(simp add:  $\Delta_2\text{-defs}$ )
  next
  case spec-mispred
    then show ?thesis using sa stat  $\Delta_2$  unfolding ss apply-
      apply(frule  $\Delta_2\text{-implies}$ ) by (simp add:  $\Delta_2\text{-defs}$ )
  next
  case spec-Fence
    then show ?thesis using sa stat  $\Delta_2$  unfolding ss apply-
      apply(frule  $\Delta_2\text{-implies}$ ) by (simp add:  $\Delta_2\text{-defs}$ )
  next
  case spec-resolve note sr3 = spec-resolve
  show ?thesis using  $v4[\text{unfolded } ss, \text{simplified}]$  proof(cases rule: stepS-cases)
    case nonspec-normal
      then show ?thesis using sa stat  $\Delta_2 sr3$  unfolding ss by (simp add:  $\Delta_2\text{-defs}$ )
    next
    case nonspec-mispred
      then show ?thesis using sa stat  $\Delta_2 sr3$  unfolding ss by (simp add:  $\Delta_2\text{-defs}$ )
    next
    case spec-normal
      then show ?thesis using sa stat  $\Delta_2 sr3$  unfolding ss by (simp add:  $\Delta_2\text{-defs}$ )
    next
    case spec-mispred
      then show ?thesis using sa stat  $\Delta_2 sr3$  unfolding ss by (simp add:  $\Delta_2\text{-defs}$ )
    next
    case spec-Fence
      then show ?thesis using sa stat  $\Delta_2 sr3$  unfolding ss by (simp add:  $\Delta_2\text{-defs}$ )
    next
    case spec-resolve note sr4 = spec-resolve
    show ?thesis using sa stat  $\Delta_2 v3 v4 sr3 sr4$ 
      unfolding ss lcfgs hh apply-
        apply(frule  $\Delta_2\text{-implies}$ ) apply (simp add:  $\Delta_2\text{-defs } \Delta_1\text{-defs}$ ) by clarsimp
    qed

```

```

qed
qed
qed
qed
```

```

lemma step3: unwindIntoCond  $\Delta_3$  (oor  $\Delta_3$   $\Delta_1'$ )
proof(rule unwindIntoCond-simpleI)
  fix  $n$   $ss_3$   $statA$   $ss_1$   $ss_2$   $statO$ 
  assume  $r$ : reachO  $ss_3$  reachO  $ss_4$  reachV  $ss_1$  reachV  $ss_2$ 
  and  $\Delta_3$ :  $\Delta_3$   $n$   $ss_3$   $ss_4$   $statA$   $ss_1$   $ss_2$   $statO$ 

  obtain  $pstate_3$   $cfg_3$   $cfgs_3$   $ibT_3$   $ibUT_3$   $ls_3$  where  $ss_3$ :  $ss_3 = (pstate_3, cfg_3, cfgs_3,$ 
 $ibT_3, ibUT_3, ls_3)$ 
    by (cases  $ss_3$ , auto)
  obtain  $pstate_4$   $cfg_4$   $cfgs_4$   $ibT_4$   $ibUT_4$   $ls_4$  where  $ss_4$ :  $ss_4 = (pstate_4, cfg_4, cfgs_4,$ 
 $ibT_4, ibUT_4, ls_4)$ 
    by (cases  $ss_4$ , auto)
  obtain  $cfg_1$   $ibT_1$   $ibUT_1$   $ls_1$  where  $ss_1$ :  $ss_1 = (cfg_1, ibT_1, ibUT_1, ls_1)$ 
    by (cases  $ss_1$ , auto)
  obtain  $cfg_2$   $ibT_2$   $ibUT_2$   $ls_2$  where  $ss_2$ :  $ss_2 = (cfg_2, ibT_2, ibUT_2, ls_2)$ 
    by (cases  $ss_2$ , auto)
  note  $ss = ss_3 ss_4 ss_1 ss_2$ 

  obtain  $pc_3$   $vs_3$   $avst_3$   $h_3$   $p_3$  where
     $lcfgs_3$ :  $last cfgs_3 = Config pc_3 (State (Vstore vs_3) avst_3 h_3 p_3)$ 
    by (cases  $last cfgs_3$ ) (metis state.collapse vstore.collapse)
  obtain  $pc_4$   $vs_4$   $avst_4$   $h_4$   $p_4$  where
     $lcfgs_4$ :  $last cfgs_4 = Config pc_4 (State (Vstore vs_4) avst_4 h_4 p_4)$ 
    by (cases  $last cfgs_4$ ) (metis state.collapse vstore.collapse)
  note  $lcfgs = lcfgs_3 lcfgs_4$ 

  obtain  $hh_3$  where  $h_3$ :  $h_3 = Heap hh_3$  by(cases  $h_3$ , auto)
  obtain  $hh_4$  where  $h_4$ :  $h_4 = Heap hh_4$  by(cases  $h_4$ , auto)
  note  $hh = h_3 h_4$ 

  have  $f1:\neg finalN ss_1$ 
    using  $\Delta_3$  finalB-pc-iff' unfolding  $ss$  finalN-iff-finalB  $\Delta_3$ -defs
    by auto

  have  $f2:\neg finalN ss_2$ 
    using  $\Delta_3$  finalB-pc-iff' unfolding  $ss$  finalN-iff-finalB  $\Delta_3$ -defs
    by auto

  have  $f3:\neg finalS ss_3$ 
    using  $\Delta_3$  unfolding  $ss$  apply-apply(frule  $\Delta_3$ -implies)
    using finalS-cond-spec by simp
```

```

have f4:¬finalS ss4
  using Δ3 unfolding ss apply-apply(frule Δ3-implies)
  using finalS-cond-spec by simp

have vs3 xx = vs4 xx
  using Δ3 lcfgs unfolding ss
  apply-by(frule Δ3-implies, simp)

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor Δ3 Δ1') ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

  show match1 (oor Δ3 Δ1') ss3 ss4 statA ss1 ss2 statO
    unfolding match1-def by (simp add: finalS-def final-def)
  show match2 (oor Δ3 Δ1') ss3 ss4 statA ss1 ss2 statO
    unfolding match2-def by (simp add: finalS-def final-def)
  show match12 (oor Δ3 Δ1') ss3 ss4 statA ss1 ss2 statO
    proof(rule match12-simpleI, rule disjI1, intro conjI)
      fix ss3' ss4' statA'
      assume statA': statA' = sstatA' statA ss3 ss4
      and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
      and sa: Opt.eqAct ss3 ss4
      note v3 = v(1) note v4 = v(2)

      obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
        cfg3', cfgs3', ibT3', ibUT3', ls3')
        by (cases ss3', auto)
      obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
        cfg4', cfgs4', ibT4', ibUT4', ls4')
        by (cases ss4', auto)
      note ss = ss ss3' ss4'

      show ¬ isSecO ss3
        using v sa Δ3 unfolding ss by (simp add: Δ3-defs)

      show ¬ isSecO ss4
        using v sa Δ3 unfolding ss by (simp add: Δ3-defs)

      show stat: statA = statA' ∨ statO = Diff
        using v sa Δ3
        apply (cases ss3, cases ss4, cases ss1, cases ss2)
        apply(cases ss3', cases ss4', clarsimp)
        unfolding ss statA' applyclarsimp
        apply(simp-all add: Δ3-defs sstatA'-def)

```

```

apply(cases statO, simp-all) apply(cases statA, simp-all)
unfolding finalS-defs
by (smt (z3) list.size(3) map-eq-imp-length-eq
      n-not-Suc-n status.exhaust newStat.simps)

show oor Δ3 Δ1' ∞ ss3' ss4' statA' ss1 ss2 statO
using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using sa stat Δ3 lcfgs unfolding ss by (simp-all add:
      Δ3-defs)
  next
    case nonspec-mispred
      then show ?thesis using sa stat Δ3 lcfgs unfolding ss by (simp-all add:
      Δ3-defs)
  next
    case spec-mispred
      then show ?thesis using sa stat Δ3 lcfgs unfolding ss apply-
        apply(frule Δ3-implies, clarsimp)
        by (auto simp add: Δ3-defs)
  next
    case spec-normal note sn3 = spec-normal
    show ?thesis
    using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)
      case nonspec-normal
        then show ?thesis using sa stat Δ3 lcfgs sn3 unfolding ss
        by (simp add: Δ3-defs)
    next
      case nonspec-mispred
        then show ?thesis using sa stat Δ3 lcfgs sn3 unfolding ss
        by (simp add: Δ3-defs)
    next
      case spec-mispred
        then show ?thesis using sa stat Δ3 lcfgs sn3 unfolding ss
        apply (simp add: Δ3-defs)
        by (metis config.sel(1) last-map)
    next
      case spec-Fence
        then show ?thesis using sa stat Δ3 lcfgs sn3 unfolding ss
        apply (simp add: Δ3-defs)
        by (metis config.sel(1) last-map)
    next
      case spec-resolve
        then show ?thesis using sa stat Δ3 lcfgs sn3 unfolding ss
        by (simp add: Δ3-defs)
    next
      case spec-normal note sn4 = spec-normal
      show ?thesis
      apply(intro oorII)

```

```

unfolding ss Δ3-def apply- apply(clarify,intro conjI)
  subgoal using sa stat Δ3 lcfgs v3 v4 sn3 sn4 unfolding ss hh
    apply- apply(frule Δ3-implies) apply(simp add: Δ3-defs)
    using cases-7[of pc3] apply simp apply(elim disjE)
    apply simp-all
  by (metis config.collapse config.inject in-set-butlastD last-in-set length-1-butlast
length-map state.sel(2))++
  subgoal using sa stat Δ3 lcfgs v3 v4 sn3 sn4 unfolding ss hh
    apply- apply(frule Δ3-implies) by(simp add: Δ3-defs)
  subgoal using sa stat Δ3 lcfgs v3 v4 sn3 sn4 unfolding ss hh
    apply- apply(frule Δ3-implies) apply(simp add: Δ3-defs)
    using cases-7[of pc3] apply simp apply(elim disjE)
    by simp-all
  subgoal using sa stat Δ3 lcfgs v3 v4 sn3 sn4 unfolding ss hh
    apply- apply(frule Δ3-implies) apply(simp add: Δ3-defs )
    using cases-7[of pc3] apply simp apply(elim disjE, simp-all)
    unfolding array-loc-def by (metis config.sel(2) dist-insert-su last-in-set
state.sel(1) vstore.sel)++
  subgoal using sa stat Δ3 lcfgs v3 v4 sn3 sn4 unfolding ss hh
    apply- apply(frule Δ3-implies) apply(simp add: Δ3-defs)
    using cases-7[of pc3] apply simp apply(elim disjE)
    apply simp-all by (metis array-loc-def dist-insert-su)++
  subgoal using sa stat Δ3 lcfgs v3 v4 sn3 sn4 unfolding ss hh
    apply- apply(frule Δ3-implies) apply(simp add: Δ3-defs)
    using cases-7[of pc3] by(elim disjE, simp-all)
  subgoal using sa stat Δ3 lcfgs v3 v4 sn3 sn4 unfolding ss hh
    apply- apply(frule Δ3-implies) apply(simp-all add: Δ3-defs)
    by (metis length-Suc-conv list.size(3)) .
qed
next
  case spec-Fence note sf3 = spec-Fence
  show ?thesis
  using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)
    case nonspec-normal
    then show ?thesis using sa stat Δ3 lcfgs sf3 unfolding ss
      by (simp add: Δ3-defs)
next
  case nonspec-mispred
  then show ?thesis using sa stat Δ3 lcfgs sf3 unfolding ss
    by (simp add: Δ3-defs)
next
  case spec-mispred
  then show ?thesis using sa stat Δ3 lcfgs sf3 unfolding ss
    apply (simp add: Δ3-defs)
    by (metis com.disc config.sel(1) last-map)
next
  case spec-resolve
  then show ?thesis using sa stat Δ3 lcfgs sf3 unfolding ss
    by (simp add: Δ3-defs)

```

```

next
  case spec-normal
    then show ?thesis using sa stat  $\Delta_3$  lcfgs sf3 unfolding ss
    apply (simp add:  $\Delta_3\text{-defs}$ )
  by (metis last-map local.spec-Fence(3) local.spec-normal(1) local.spec-normal(4))

next
  case spec-Fence note sf4 = spec-Fence
    show ?thesis
    apply(intro oorI2)
    unfolding ss  $\Delta_1'\text{-defs}$ 
    using sa stat  $\Delta_3$  lcfgs v3 v4 sf3 sf4 unfolding ss hh
    apply- by(simp-all add:  $\Delta_3\text{-defs } \Delta_1'\text{-defs, blast}$ )
  qed
next
  case spec-resolve note sr3 = spec-resolve
    show ?thesis
    using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)
      case nonspec-normal
        then show ?thesis using sa stat  $\Delta_3$  lcfgs sr3 unfolding ss
        by (simp add:  $\Delta_3\text{-defs}$ )
  next
    case nonspec-mispred
    then show ?thesis using sa stat  $\Delta_3$  lcfgs sr3 unfolding ss
    by (simp add:  $\Delta_3\text{-defs}$ )
  next
    case spec-mispred
    then show ?thesis using sa stat  $\Delta_3$  lcfgs sr3 unfolding ss
    by (simp add:  $\Delta_3\text{-defs}$ )
  next
    case spec-normal
    then show ?thesis using sa stat  $\Delta_3$  lcfgs sr3 unfolding ss
    by (simp add:  $\Delta_3\text{-defs}$ )
  next
    case spec-Fence
    then show ?thesis using sa stat  $\Delta_3$  lcfgs sr3 unfolding ss
    by (simp add:  $\Delta_3\text{-defs}$ )
  next
    case spec-resolve note sr4 = spec-resolve
    show ?thesis
    apply(intro oorI2)
    using sa stat  $\Delta_3$  lcfgs v3 v4 sr3 sr4 unfolding ss hh
    by(simp add:  $\Delta_3\text{-defs } \Delta_1\text{-defs}$ )
  qed
  qed
  qed
  qed

```

```

lemma step1': unwindIntoCond  $\Delta 1' \Delta 4$ 
proof(rule unwindIntoCond-simpleI)
fix n ss3 ss4 statA ss1 ss2 statO
assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
and  $\Delta 1': \Delta 1' n ss3 ss4 statA ss1 ss2 statO$ 

obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
by (cases ss3, auto)
obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
using  $\Delta 1'$  finalB-pc-iff' unfolding ss cfg finalN-iff-finalB  $\Delta 1'$ -defs
by simp

have f2:¬finalN ss2
using  $\Delta 1'$  finalB-pc-iff' unfolding ss cfg finalN-iff-finalB  $\Delta 1'$ -defs
by simp

have f3:¬finalS ss3
using  $\Delta 1'$  unfolding ss apply-apply(frule  $\Delta 1'$ -implies)
using finalS-cond by simp

have f4:¬finalS ss4
using  $\Delta 1'$  unfolding ss apply-apply(frule  $\Delta 1'$ -implies)
using finalS-cond by simp

```

```

note finals = f1 f2 f3 f4

show finalS ss3 = finalS ss4  $\wedge$  finalN ss1 = finalS ss3  $\wedge$  finalN ss2 = finalS ss4
using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react Δ4 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1 Δ4 ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2 Δ4 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12 Δ4 ss3 ss4 statA ss1 ss2 statO

proof(rule match12-simpleI, rule disjI2, intro conjI)
fix ss3' ss4' statA'
assume statA': statA' = sstatA' statA ss3 ss4
and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
and sa: Opt.eqAct ss3 ss4
note v3 = v(1) note v4 = v(2)

obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3', cfg3', cfgs3', ibT3', ibUT3', ls3')
by (cases ss3', auto)
obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4', cfg4', cfgs4', ibT4', ibUT4', ls4')
by (cases ss4', auto)
note ss = ss ss3' ss4'

show eqSec ss1 ss3
using v sa Δ1' unfolding ss
by (simp add: Δ1'-defs eqSec-def)

show eqSec ss2 ss4
using v sa Δ1' unfolding ss
by (simp add: Δ1'-defs eqSec-def)

show Van.eqAct ss1 ss2
using v sa Δ1' unfolding ss Van.eqAct-def
by (simp-all add: Δ1'-defs)

show match12-12 Δ4 ss3' ss4' statA' ss1 ss2 statO
unfolding match12-12-def
proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro conjI impI)

```

```

show validTransV (ss1, nextN ss1)
by (simp add: f1 nextN-stepN)

show validTransV (ss2, nextN ss2)
by (simp add: f2 nextN-stepN)

{assume sstat: statA' = Diff
show sstatO' statO ss1 ss2 = Diff
using v sa Δ1' sstat unfolding ss cfg statA'
apply(simp add: Δ1'-defs sstatO'-def sstatA'-def)
apply(cases statO, simp-all) apply(cases statA, simp-all)
using cfg finals ss status.distinct(1) newStat.simps by auto
} note stat = this

show Δ4 ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2) (sstatO' statO ss1
ss2)

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
case spec-normal
then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
next
case spec-mispred
then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
next
case spec-Fence
then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
next
case spec-resolve
then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
next
case nonspec-mispred
then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
next
case nonspec-normal note nn3 = nonspec-normal
show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

case nonspec-mispred
then show ?thesis using sa Δ1' stat nn3 unfolding ss by (simp add:
Δ1'-defs)
next
case spec-normal
then show ?thesis using sa Δ1' stat nn3 unfolding ss by (simp add:
Δ1'-defs)
next

```

```

case spec-mispred
then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
next
case spec-Fence
then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
next
case spec-resolve
then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
next
case nonspec-normal
then show ?thesis using sa  $\Delta 1'$  stat v3 v4 nn3 unfolding ss cfg hh
apply clarsimp
by (auto simp add:  $\Delta 1'$ -defs  $\Delta 4$ -defs)
qed
qed
qed
qed
qed
qed

```

```

lemma stepE: unwindIntoCond  $\Delta 4$   $\Delta 4$ 
proof(rule unwindIntoCond-simpleI)
fix n ss3 ss4 statA ss1 ss2 statO
assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
and  $\Delta 4$ :  $\Delta 4$  n ss3 ss4 statA ss1 ss2 statO

obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
by (cases ss3, auto)
obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)

```

```

note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

show finalS ss3 = finalS ss4  $\wedge$  finalN ss1 = finalS ss3  $\wedge$  finalN ss2 = finalS ss4
    using  $\Delta_4$  Opt.final-def Prog.endPC-def finalS-def stepS-endPC endPC-def finalB-endPC
    unfolding  $\Delta_4$ -defs ss by clarsimp

then show isIntO ss3 = isIntO ss4 by simp

show react  $\Delta_4$  ss3 ss4 statA ss1 ss2 statO
    unfolding react-def proof(intro conjI)

    show match1  $\Delta_4$  ss3 ss4 statA ss1 ss2 statO
    unfolding match1-def by (simp add: finalS-def final-def)
    show match2  $\Delta_4$  ss3 ss4 statA ss1 ss2 statO
    unfolding match2-def by (simp add: finalS-def final-def)
    show match12  $\Delta_4$  ss3 ss4 statA ss1 ss2 statO
    apply(rule match12-simpleI) using  $\Delta_4$  unfolding ss apply (simp add:  $\Delta_4$ -defs)
        by (simp add: stepS-endPC)
    qed
qed

```

**lemmas** theConds = step0 step1 step2 step3 step1' stepE

```

proposition rsecure
proof-
  define m where m: m  $\equiv$  (6::nat)
  define  $\Delta_s$  where  $\Delta_s$ :  $\Delta_s \equiv \lambda i::nat.$ 
    if i = 0 then  $\Delta_0$ 
    else if i = 1 then  $\Delta_1$ 
    else if i = 2 then  $\Delta_2$ 
    else if i = 3 then  $\Delta_3$ 
    else if i = 4 then  $\Delta_4$ 
    else  $\Delta_1'$ 
  define nxt where nxt: nxt  $\equiv \lambda i::nat.$ 
    if i = 0 then {0,1::nat}
    else if i = 1 then {1,2,3,4}
    else if i = 2 then {1}
    else if i = 3 then {3,5}
    else {4}
  show ?thesis apply(rule distrib-unwind-rsecure[of m nxt  $\Delta_s$ ])
    subgoal unfolding m by auto

```

```

subgoal unfolding nxt m by auto
subgoal using init unfolding Δs by auto
subgoal
  unfolding m nxt Δs apply (simp split: if-splits)
  using theConds
  unfolding oor-def oor4-def by auto .
qed
end

```

## 11 Proof of Relative Security for fun4

```

theory Fun4
imports ..../Instance-IMP/Instance-Secret-IMem
Relative-Security.Unwinding-fin
begin

```

### 11.1 Function definition and Boilerplate

```
no-notation bot (⊥)
```

```

consts NN :: nat
consts size-aa1 :: nat
consts size-aa2 :: nat
lemma NN: int NN ≥ 0 by auto

```

```
locale array-nempty = assumes aa1:size-aa1 > 0 and NN: int NN > 0
```

```

definition aa1 :: avname where aa1 = "a1"
definition aa2 :: avname where aa2 = "a2"
definition vv :: avname where vv = "v"
definition xx :: avname where xx = "i"
definition tt :: avname where tt = "w"

```

```
lemmas vvars-defs = aa1-def aa2-def vv-def xx-def tt-def
```

```

lemma vvars-dff[simp]:
aa1 ≠ aa2 aa1 ≠ vv aa1 ≠ xx aa1 ≠ tt
aa2 ≠ aa1 aa2 ≠ vv aa2 ≠ xx aa1 ≠ tt
vv ≠ aa1 vv ≠ aa2 vv ≠ xx vv ≠ tt
xx ≠ aa1 xx ≠ aa2 xx ≠ vv xx ≠ tt
tt ≠ aa1 tt ≠ aa2 tt ≠ vv tt ≠ xx
unfolding vvars-defs by auto

```

```

fun initAvstore :: avstore ⇒ bool where
  initAvstore (Avstore as) = (as aa1 = (0, size-aa1) ∧ as aa2 = (size-aa1,
size-aa2))

```

```
fun istate :: state ⇒ bool where
```

*istate*  $s = (\text{initAvstore} (\text{getAvstore} s))$

```
definition prog ≡
[  

  // Start ,  

  // Input U xx ,  

  // tt ::= (N 0) ,  

  // IfJump (Less (V xx) (N NN)) 4 6 ,  

  // vv ::= VA aa1 (N 0) ,  

  // tt ::= Plus (VA aa2 (Times (V vv) (N 512))) (V xx) ,  

  // Output U (V tt)
]
```

**lemma** cases-6:  $(i::\text{pcounter}) = 0 \vee i = 1 \vee i = 2 \vee i = 3 \vee i = 4 \vee i = 5 \vee i = 6 \vee i > 6$

```
apply(cases i, simp-all)
subgoal for i apply(cases i, simp-all)
```

.....

**lemma** cases-thenBranch:  $(i::\text{pcounter}) < 4 \vee i = 4 \vee i = 5 \vee i = 6 \vee i > 6$

```
apply(cases i, simp-all)
subgoal for i apply(cases i, simp-all)
```

.....

**lemma** xx-NN-cases:  $\text{vs xx} < \text{int NN} \vee \text{vs xx} \geq \text{int NN}$  **by** auto

**lemma** is-If-pcOf[simp]:  
 $\text{pcOf cfg} < 7 \implies \text{is-IfJump (prog ! (pcOf cfg))} \longleftrightarrow \text{pcOf cfg} = 3$   
**apply**(cases cfg) **subgoal for** pc s **using** cases-6[of pcOf cfg]  
**by** (auto simp: prog-def) .

**lemma** is-If-pc[simp]:  
 $\text{pc} < 7 \implies \text{is-IfJump (prog ! pc)} \longleftrightarrow \text{pc} = 3$

```

using cases-6[of pc]
by (auto simp: prog-def)

lemma is-If-pcThen[simp]: pcOf cfg ∈ {4..6}  $\implies \neg$ is-IfJump (prog ! pcOf cfg)
using cases-thenBranch[of pcOf cfg]
by (auto simp: prog-def)

```

```

consts mispred :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool
fun resolve :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool where
  resolve p pc = (if (pc = [6,6]  $\vee$  pc = [4,6]) then True else False)

consts update :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  predState
consts initPstate :: predState

```

```

interpretation Prog-Mispred-Init where
  prog = prog and initPstate = initPstate and
  mispred = mispred and resolve = resolve and update = update and
  istate = istate
by (standard, simp add: prog-def)

```

**abbreviation**

$$\text{stepB-abbrev} :: \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{bool} \quad (\text{infix } \rightarrow B \ 55)$$

**where**  $x \rightarrow B y == \text{stepB } x y$

**abbreviation**

$$\text{stepsB-abbrev} :: \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{bool} \quad (\text{infix } \rightarrow B* \ 55)$$

**where**  $x \rightarrow B* y == \text{star stepB } x y$

**abbreviation**

$$\text{stepM-abbrev} :: \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{bool} \quad (\text{infix } \rightarrow M \ 55)$$

**where**  $x \rightarrow M y == \text{stepM } x y$

**abbreviation**

$$\text{stepN-abbrev} :: \text{config} \times \text{val llist} \times \text{val llist} \times \text{loc set} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \times \text{loc set} \Rightarrow \text{bool} \quad (\text{infix } \rightarrow N \ 55)$$

**where**  $x \rightarrow N y == \text{stepN } x y$

**abbreviation**

$$\text{stepsN-abbrev} :: \text{config} \times \text{val llist} \times \text{val llist} \times \text{loc set} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \times \text{loc set} \Rightarrow \text{bool} \quad (\text{infix } \rightarrow N* \ 55)$$

**where**  $x \rightarrow N^* y == star stepN x y$

**abbreviation**

$stepS\text{-}abbrev :: configS \Rightarrow configS \Rightarrow bool$  (**infix**  $\leftrightarrow S \succ 55$ )  
**where**  $x \rightarrow S y == stepS x y$

**abbreviation**

$stepsS\text{-}abbrev :: configS \Rightarrow configS \Rightarrow bool$  (**infix**  $\leftrightarrow S^* \succ 55$ )  
**where**  $x \rightarrow S^* y == star stepS x y$

**lemma**  $endPC[simp]: endPC = 7$

**unfolding**  $endPC\text{-}def$  **unfolding**  $prog\text{-}def$  **by**  $auto$

**lemma**  $is\text{-}getUntrustedInput\text{-}pcOf[simp]: pcOf cfg < 7 \implies is\text{-}getInput (prog!(pcOf cfg)) \longleftrightarrow pcOf cfg = 1$

**using**  $cases\text{-}6[of pcOf cfg]$  **by**  $(auto simp: prog\text{-}def)$

**lemma**  $getUntrustedInput\text{-}pcOf[simp]: prog!1 = Input U xx$   
**by**  $(auto simp: prog\text{-}def)$

**lemma**  $is\text{-}getTrustedInput[simp]: is\text{-}getInput (prog ! 1)$   
**unfolding**  $prog\text{-}def$  **by**  $auto$

**lemma**  $getInput\text{-}not4[simp]: \neg is\text{-}getInput (prog ! 4)$   
**unfolding**  $prog\text{-}def$  **by**  $auto$

**lemma**  $getInput\text{-}not5[simp]: \neg is\text{-}getInput (prog ! 5)$   
**unfolding**  $prog\text{-}def$  **by**  $auto$

**lemma**  $OutputT\text{-}not6[simp]: (prog ! 6) = Output U (V tt)$   
**unfolding**  $prog\text{-}def$  **by**  $auto$

**lemma**  $is\text{-}Output\text{-}pcOf[simp]: pcOf cfg < 7 \implies is\text{-}Output (prog!(pcOf cfg)) \longleftrightarrow pcOf cfg = 6$   
**using**  $cases\text{-}6[of pcOf cfg]$  **by**  $(auto simp: prog\text{-}def)$

**lemma**  $is\text{-}Fence\text{-}pcOf[simp]: pcOf cfg < 7 \implies prog ! (pcOf cfg) \neq Fence$   
**using**  $cases\text{-}6[of pcOf cfg]$  **by**  $(auto simp: prog\text{-}def)$

**lemma**  $is\text{-}Fence\text{-}pcThen[simp]: 3 \leq pcOf cfg \wedge pcOf cfg \leq 5 \implies (prog ! pcOf cfg) \neq Fence$   
**using**  $cases\text{-}thenBranch[of pcOf cfg]$   
**by**  $(auto simp: prog\text{-}def)$

**lemma**  $is\text{-}Output[simp]: is\text{-}Output (prog ! 6)$   
**unfolding**  $is\text{-}Output\text{-}def$   $prog\text{-}def$  **by**  $auto$

```

lemma getInput-not[intro]:is-getInput (prog ! 4)  $\Rightarrow$  False unfolding prog-def by simp
lemma Output-not4[intro]:is-Output (prog ! 4)  $\Rightarrow$  False unfolding prog-def by simp
lemma Fence-not4[intro]:prog ! 4 = Fence  $\Rightarrow$  False unfolding prog-def by simp

lemma getInput-not55[intro]:is-getInput (prog ! 5)  $\Rightarrow$  False unfolding prog-def by simp
lemma Output-not5[intro]:is-Output (prog ! 5)  $\Rightarrow$  False unfolding prog-def by simp
lemma Fence-not5[intro]:prog ! 5 = Fence  $\Rightarrow$  False unfolding prog-def by simp

lemma Jump-not6: $\neg$  is-IfJump (prog ! 6) unfolding prog-def by simp

lemma isSecV-pcOf[simp]:
  isSecV (cfg,ibT,ibUT)  $\longleftrightarrow$  pcOf cfg = 0
  using isSecV-def by simp

lemma isSecO-pcOf[simp]:
  isSecO (pstate,cfg,cfgs,ibT,ibUT,ls)  $\longleftrightarrow$  (pcOf cfg = 0  $\wedge$  cfgs = [])
  using isSecO-def by simp

lemma inputT-not[simp]: pcOf cfg < 7  $\Rightarrow$ 
  (prog ! pcOf cfg)  $\neq$  Input T inp
  apply(cases cfg) subgoal for pc s using cases-6[of pcOf cfg]
  by (auto simp: prog-def).

lemma getActV-pcOf[simp]:
  pcOf cfg < 7  $\Rightarrow$ 
  getActV (cfg,ibT,ibUT,ls) =
  (if pcOf cfg = 1 then lhd ibUT else  $\perp$ )
  apply(subst getActV-simps) unfolding prog-def
  apply simp
  using getActV-simps not-is-getTrustedInput-getActV prog-def by auto

lemma getObsV-pcOf[simp]:
  pcOf cfg < 7  $\Rightarrow$ 
  getObsV (cfg,ibT,ibUT,ls) =
  (if pcOf cfg = 6 then
    (outOf (prog! (pcOf cfg)) (stateOf cfg), ls)
    else  $\perp$ 
  )
  apply(subst getObsV-simps)
  unfolding prog-def apply simp
  using getObsV-simps not-is-Output-getObsV is-Output-pcOf prog-def
  by (auto,simp)

```

```

lemma getObsV-pcOf6[simp]:
  pcOf cfg = 6  $\implies$ 
  getObsV (cfg,ibT,ibUT,ls) =
  (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
by simp

lemma getActO-pcOf[simp]:
  pcOf cfg < 7  $\implies$ 
  getActO (pstate, cfg, cfgs, ibT, ibUT, ls) =
  (if pcOf cfg = 1  $\wedge$  cfgs = [] then lhd ibUT else ⊥)
  apply(subst getActO-simps)
  apply(cases cfgs, auto)
  unfolding prog-def apply simp
  using getActV-simps getActV-pcOf prog-def by presburger

lemma getObsO-pcOf[simp]:
  pcOf cfg < 7  $\implies$ 
  getObsO (pstate, cfg, cfgs, ibT, ibUT, ls) =
  (if (pcOf cfg = 6  $\wedge$  cfgs = []) then
   (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
   else ⊥
  )
  apply(subst getObsO-simps)
  apply(cases cfgs, auto)
  unfolding prog-def
  using getObsV-simps is-Output-pcOf not-is-Output-getObsV prog-def by presburger

lemma eqSec-pcOf[simp]:
  eqSec (cfg1, ibT, ibUT1, ls1) (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)  $\longleftrightarrow$ 
  (pcOf cfg1 = 0  $\longleftrightarrow$  pcOf cfg3 = 0  $\wedge$  cfgs3 = [])  $\wedge$ 
  (pcOf cfg1 = 0  $\longrightarrow$  stateOf cfg1 = stateOf cfg3)
  unfolding eqSec-def by simp

lemma nextB-pc0[simp]:
  nextB (Config 0 s, ibT, ibUT) =
  (Config 1 s, ibT, ibUT)
  apply(subst nextB-Start-Skip-Fence)
  unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc0[simp]:
  readLocs (Config 0 s) = {}

```

```
unfolding endPC-def readLocs-def unfolding prog-def by auto
```

```
lemma nextB-pc1[simp]:
```

```
ibUT ≠ LNil  $\implies$  nextB (Config 1 (State (Vstore vs) avst h p), ibT, ibUT) =  

(Config 2 (State (Vstore (vs(xx := lhd ibUT))) avst h p), ibT, ltl ibUT)  

apply(subst nextB-getUntrustedInput')  

unfolding endPC-def unfolding prog-def by auto
```

```
lemma readLocs-pc1[simp]:
```

```
readLocs (Config 1 s) = {}  

unfolding endPC-def readLocs-def unfolding prog-def by auto
```

```
lemma nextB-pc1'[simp]:
```

```
ibUT ≠ LNil  $\implies$  nextB (Config (Suc 0) (State (Vstore vs) avst h p), ibT, ibUT) =  

(Config 2 (State (Vstore (vs(xx := lhd ibUT))) avst h p), ibT, ltl ibUT)  

apply(subst nextB-getUntrustedInput')  

unfolding endPC-def unfolding prog-def by auto
```

```
lemma readLocs-pc1'[simp]:
```

```
readLocs (Config (Suc 0) s) = {}  

unfolding endPC-def readLocs-def unfolding prog-def by auto
```

```
lemma nextB-pc2[simp]:
```

```
nextB (Config 2 (State (Vstore vs) avst h p), ibT, ibUT) =  

(Config 3 (State (Vstore (vs(tt := 0))) avst h p), ibT, ibUT)  

apply(subst nextB-Assign)  

unfolding endPC-def unfolding prog-def by auto
```

```
lemma readLocs-pc2[simp]:
```

```
readLocs (Config 2 s) = {}  

unfolding endPC-def readLocs-def unfolding prog-def by auto
```

```
lemma nextB-pc3-then[simp]:
```

```
vs xx < int NN  $\implies$   

nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =  

(Config 4 (State (Vstore vs) avst h p), ibT, ibUT)  

apply(subst nextB-IfTrue)  

unfolding endPC-def unfolding prog-def by auto
```

```
lemma nextB-pc3-else[simp]:
```

```
vs xx ≥ int NN  $\implies$   

nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =  

(Config 6 (State (Vstore vs) avst h p), ibT, ibUT)  

apply(subst nextB-IfFalse)
```

```

unfolding endPC-def unfolding prog-def by auto

lemma nextB-pc3:
nextB (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config (if vs xx < int NN then 4 else 6) (State (Vstore vs) avst h p), ibT, ibUT)
by(cases vs xx < int NN, auto)

lemma nextM-pc3-then[simp]:
vs xx ≥ int NN  $\implies$ 
nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 4 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextM-IfTrue)
unfolding endPC-def unfolding prog-def by auto

lemma nextM-pc3-else[simp]:
vs xx < int NN  $\implies$ 
nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config 6 (State (Vstore vs) avst h p), ibT, ibUT)
apply(subst nextM-IfFalse)
unfolding endPC-def unfolding prog-def by auto

lemma nextM-pc3:
nextM (Config 3 (State (Vstore vs) avst h p), ibT, ibUT) =
(Config (if vs xx < int NN then 6 else 4) (State (Vstore vs) avst h p), ibT, ibUT)
by(cases vs xx < int NN, auto)

lemma readLocs-pc3[simp]:
readLocs (Config 3 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc4[simp]:
nextB (Config 4 (State (Vstore vs) avst (Heap h) p), ibT, ibUT) =
(let l = array-loc aa1 0 avst
in (Config 5 (State (Vstore (vs(vv := h l))) avst (Heap h) p)), ibT, ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc4[simp]:
readLocs (Config 4 (State (Vstore vs) avst h p)) = {array-loc aa1 0 avst}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc5[simp]:
nextB (Config 5 (State (Vstore vs) avst (Heap h) p), ibT, ibUT) =
(let l = array-loc aa2 (nat (vs vv * 512)) avst
in (Config 6 (State (Vstore (vs(tt := h l + vs xx))) avst (Heap h) p)), ibT, ibUT)

```

```

apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc5[simp]:
readLocs (Config 5 (State (Vstore vs) avst h p)) = {array-loc aa2 (nat (vs vv * 512)) avst}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc6[simp]:
nextB (Config 6 s, ibT,ibUT) = (Config 7 s, ibT,ibUT)
apply(subst nextB-Output)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc6[simp]:
readLocs (Config 6 (State (Vstore vs) avst h p)) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-stepB-pc:
pc < 7 ==> (pc = 1 --> ibUT ≠ LNil) ==>
(Config pc s, ibT,ibUT) →B nextB (Config pc s, ibT,ibUT)
apply(cases s) subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h
using cases-6[of pc] apply safe
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def, metis llist-collapse)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def) subgoal apply simp apply(subst stepB.simps)
unfolding endPC-def
by (simp add: prog-def)
subgoal apply(cases vs xx < NN)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def).
subgoal apply(cases vs xx < NN)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def

```

```

by (simp add: prog-def) .

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)

subgoal by auto
subgoal by auto
...
.

lemma nextB-avst-consistent-aux:
  4 ≤ pc ∧ pc ≤ 6 ==>
  (nextB (Config pc (State (Vstore vs) avst (Heap h) p), ibT, ibUT)) = (Config pc'
  (State (Vstore vs') avst' (Heap h') p'), ibT, ibUT') ==>
  avst = avst' ∧
  vs xx = vs' xx ∧
  h = h'
  using cases-thenBranch[of pc]
  apply safe
  apply simp-all by auto

lemma nextB-avst-consistent:
  4 ≤ pcOf cfg ∧ pcOf cfg ≤ 6 ==>
  (nextB (cfg, ibT, ibUT)) = (cfg', ibT, ibUT') ==>
  (getAvstore (stateOf cfg)) = (getAvstore (stateOf cfg')) ∧
  (getHheap (stateOf cfg)) = (getHheap (stateOf cfg')) ∧
  vstore (getVstore (stateOf cfg)) xx = vstore (getVstore (stateOf cfg')) xx
  apply(cases cfg) subgoal for pc s
  apply(cases s) subgoal for vstore avst heap-h p
  apply (cases heap-h, cases vstore, cases avst) subgoal for h vs
  apply(cases cfg') subgoal for pc' s'
  apply(cases s') subgoal for vstore' avst' heap-h' p'
  apply (cases heap-h', cases vstore', cases avst') subgoal for h vs
  using nextB-avst-consistent-aux apply simp
  by blast . . .

```

**lemma** *nextB*-*pcs*-consistent:

$$4 \leq pcOf cfg1 \wedge pcOf cfg1 \leq 6 \implies pcOf cfg1 = pcOf cfg2 \implies$$

$$(nextB (cfg1, ibT1, ibUT1)) = (cfg1', ibT1', ibUT1') \implies$$

$$(nextB (cfg2, ibT2, ibUT2)) = (cfg2', ibT2', ibUT2') \implies$$

$$pcOf cfg1' = pcOf cfg2'$$

**apply** (cases  $cfg1$ , cases  $cfg2$ , cases  $cfg1'$ , cases  $cfg2'$ )

**subgoal for**  $pc1 s1 pc2 s2 pc1' s1' pc2' s2'$

**apply**(cases  $s1$ , cases  $s2$ , cases  $s1'$ , cases  $s2'$ )

**subgoal for**  $vs1 avst1 h1 p1 vs2 avst2 h2 p2$

$vs1' avst1' h1' p1' vs2' avst2' h2' p2'$

**apply**(cases  $vs1$ , cases  $vs2$ , cases  $h1$ , cases  $h2$ )

**using** cases-6[of  $pcOf cfg1$ ] **apply** safe

**by** simp-all ..

**lemma** *not-finalB*:

$$pc < 7 \implies (pc = 1 \longrightarrow ibUT \neq LNil) \implies$$

$$\neg finalB (Config pc s, ibT, ibUT)$$

**using** nextB-stepB-*pc* **by** (simp add: stepB-iff-nextB)

**lemma** *finalB*-*pc-iff*:

$$pc < 7 \implies$$

$$finalB (Config pc s, ibT, ibUT) \longleftrightarrow$$

$$(pc = 1 \wedge ibUT = LNil)$$

**subgoal apply** safe

**subgoal using** nextB-stepB-*pc*[of  $pc$ ] **by** (auto simp add: stepB-iff-nextB)

**subgoal using** nextB-stepB-*pc*[of  $pc$ ] **by** (auto simp add: stepB-iff-nextB)

**subgoal using** finalB-iff getUntrustedInput-*pcOf* **by** auto ..

**lemma** *finalB*-*pc-iff*:

$$pc \leq 7 \implies$$

$$finalB (Config pc s, ibT, ibUT) \longleftrightarrow$$

$$(pc = 1 \wedge ibUT = LNil \vee pc = 7)$$

**using** cases-6[of  $pc$ ] **apply** (elim disjE, simp add: finalB-def)

**subgoal by** (meson final-def stebB-0)

**by** (simp add: finalB-*pc-iff*' finalB-endPC)+

**lemma** *finalB*-*pcOf-iff*[simp]:

$$pcOf cfg \leq 7 \implies$$

$$finalB (cfg, ibT, ibUT) \longleftrightarrow (pcOf cfg = 1 \wedge ibUT = LNil \vee pcOf cfg = 7)$$

**by** (metis config.exhaust config.sel(1) finalB-*pc-iff*)

**lemma** *finalS-cond:pcOf cfg < 7*  $\implies$   $cfgs = [] \implies (pcOf cfg = 1 \longrightarrow ibUT \neq LNil) \implies \neg finalS (pstate, cfg, cfgs, ibT, ibUT, ls)$

**apply**(cases  $cfg$ )

**subgoal for**  $pc s$  **apply**(cases  $s$ )

**subgoal for**  $vst avst hh p$  **apply**(cases  $vst$ , cases  $avst$ , cases  $hh$ )

**subgoal for**  $vs as h$

```

using cases-6[of pc] apply(elim disjE) unfolding finalS-defs
subgoal using nonspec-normal[of [] Config pc (State (Vstore vs) avst hh p)
                           pstate pstate ibT ibUT
                           Config 1 (State (Vstore vs) avst hh p)
                           ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
                           vs) avst hh p)) ls]
  using is-If-pc by force

  subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
  hh p)]
               pstate pstate ibT ibUT
               Config 2 (State (Vstore (vs(xx:= lhd ibUT))) avst hh
               p)
               ibT ltl ibUT [] ls ∪ readLocs (Config pc (State (Vstore
               vs) avst hh p)) ls])
    prefer 7 subgoal by metis by simp-all

  subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
  hh p)]
               pstate pstate ibT ibUT
               Config 3 (State (Vstore (vs(tt:= 0))) avst hh p)
               ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
               vs) avst hh p)) ls])
    prefer 7 subgoal by metis by simp-all

  subgoal apply(cases mispred pstate [3])
    subgoal apply(frule nonspec-mispred[of cfgs Config pc (State (Vstore vs) avst
    hh p)]
                 pstate update pstate [pcOf (Config pc (State
                 (Vstore vs) avst hh p))]
                 ibT ibUT Config (if vs xx < NN then 4 else 6)
                 ibT ibUT Config (if vs xx < NN then 6 else 4)
                 ibT ibUT [Config (if vs xx < NN then 6 else
                 4) (State (Vstore vs) avst hh p)]
                 ls ∪ readLocs (Config pc (State (Vstore vs)
                 avst hh p)) ls])
      prefer 9 subgoal by metis by (simp add: finalM-iff)+

  subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
  hh p)]
               pstate pstate ibT ibUT
               Config (if vs xx < NN then 4 else 6) (State (Vstore
               vs) avst hh p)
               ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
               vs) avst hh p)) ls])
    prefer 7 subgoal by metis by simp-all .

```

```

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p))
  pstate pstate ibT ibUT
  (let l = (array-loc aa1 0 avst)
   in (Config 5 (State (Vstore (vs(vv := h l))) avst hh p)))
   ibT ibUT [] ls  $\cup$  readLocs (Config pc (State (Vstore vs) avst hh p)) ls])
  prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p))
  pstate pstate ibT ibUT
  (let l = (array-loc aa2 (nat (vs vv * 512)) avst)
   in (Config 6 (State (Vstore (vs(tt := h l + vs xx))) avst hh p)))
   ibT ibUT [] ls  $\cup$  readLocs (Config pc (State (Vstore vs) avst hh p)) ls])
  prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p))
  pstate pstate ibT ibUT
  Config 7 (State (Vstore vs) avst hh p)
  ibT ibUT [] ls ls])
  prefer 7 subgoal by metis by simp-all
  by simp-all . . .

```

**lemma** *finalS-cond-spec*:

*pcOf cfg < 7*  $\implies$   
 $((\text{pcOf}(\text{last cfgs}) = 4 \vee \text{pcOf}(\text{last cfgs}) = 5 \vee \text{pcOf}(\text{last cfgs}) = 6) \wedge \text{pcOf}(\text{cfg}) = 6) \vee (\text{pcOf}(\text{last cfgs}) = 6 \wedge \text{pcOf}(\text{cfg}) = 4) \implies$   
 $\text{length cfgs} = \text{Suc } 0 \implies$   
 $\neg \text{finalS}(\text{pstate}, \text{cfg}, \text{cfgs}, \text{ibT}, \text{ibUT}, \text{ls})$

**apply(cases cfg)**

**subgoal for pc s apply(cases s)**

**subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)**

**subgoal for vs as h apply(cases last cfgs)**

**subgoal for pcs ss apply(cases ss)**

**subgoal for vsts avsts hhs ps apply(cases vsts, cases avsts, cases hhs, simp)**

**subgoal for vss ass hs apply(elim disjE, elim conjE, elim disjE, simp)**

**unfolding finalS-defs**

**subgoal apply(rule notI,**  
*erule allE[of - (pstate, Config 6 (State (Vstore vs) (Avstore as) (Heap h)  
p),*  
*[Config 5 (State (Vstore (vss(vv := hs (array-loc aa1 (nat  
0) avsts)))) avsts hhs ps)],*  
*ibT, ibUT, ls  $\cup$  readLocs (last cfgs)]], erule notE,*

```

rule spec-normal[of -----Config 5 (State (Vstore (vss(vv
:= hs (array-loc aa1 (nat 0) avsts))) avsts hhs ps))]
by auto
subgoal apply(rule notI,
erule allE[of - (pstate,Config 6 (State (Vstore vs) (Avstore as) (Heap h)
p),
[Config 6 (State (Vstore (vss(tt := hs (array-loc aa2 (nat
(vss vv * 512)) avsts) + vss xx))) avsts hhs ps)],
ibT,ibUT,ls ∪ readLocs (last cfgs))], erule notE,
rule spec-normal[of -----Config 6 (State (Vstore (vss(tt
:= hs (array-loc aa2 (nat (vss vv * 512)) avsts) + vss xx))) avsts hhs ps)])
prefer 7 apply auto[1]
by auto

subgoal apply(rule notI,
erule allE[of - (update pstate (6 # map pcOf cfgs),Config 6 (State (Vstore vs)
(Avstore as) (Heap h) p),
[],ibT,ibUT,ls)])
by(erule notE, rule spec-resolve, auto)

subgoal apply(rule notI,
erule allE[of - (update pstate (4 # map pcOf cfgs),Config 4 (State (Vstore vs)
(Avstore as) (Heap h) p),
[],ibT,ibUT,ls)])
by(erule notE, rule spec-resolve, auto) . . . . .

end

```

## 11.2 Proof

```

theory Fun4-secure
imports Fun4
begin

```

```

definition PC ≡ {0..6}

```

```

definition same-xx-cp cfg1 cfg2 ≡
vstore (getVstore (stateOf cfg1)) xx = vstore (getVstore (stateOf cfg2)) xx
∧ vstore (getVstore (stateOf cfg1)) xx = 0

```

```

definition common-memory cfg cfg' cfgs' ≡
array-base aa1 (getAvstore (stateOf cfg)) = array-base aa1 (getAvstore (stateOf
cfg')) ∧
(∀ cfg'' ∈ set cfgs'. array-base aa1 (getAvstore (stateOf cfg'')) = array-base aa1
(getAvstore (stateOf cfg))) ∧

```

```

array-base aa2 (getAvstore (stateOf cfg)) = array-base aa2 (getAvstore (stateOf
cfg'))  $\wedge$ 
( $\forall$  cfg'' $\in$ set cfgs'. array-base aa2 (getAvstore (stateOf cfg'')) = array-base aa2
(getAvstore (stateOf cfg)))  $\wedge$ 
(getHheap (stateOf cfg)) = (getHheap (stateOf cfg'))  $\wedge$ 
( $\forall$  cfg'' $\in$ set cfgs'. getHheap (stateOf cfg) = (getHheap (stateOf cfg'')))  $\wedge$ 
(getAvstore (stateOf cfg)) = (getAvstore (stateOf cfg'))

```

```

definition beforeInput = {0,1}
definition afterInput = {2..6}
definition elseBranch = 6
definition startOfThenBranch = 4
definition inThenBranch = {4..6}

definition afterInputNotInElse = {2,3,4,5,6,8}
definition inThenBranchBeforeOutput = {3,4,5}
definition atCond = 3
definition atThenOutput = 5
definition atJump = 6

definition common-strat1 :: stateO  $\Rightarrow$  stateO  $\Rightarrow$  status  $\Rightarrow$  stateV  $\Rightarrow$  stateV  $\Rightarrow$ 
status  $\Rightarrow$  bool
where
common-strat1 =
( $\lambda$  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO.
(pstate3 = pstate4  $\wedge$ 
cfg1 = cfg3  $\wedge$  cfg2 = cfg4  $\wedge$ 
pcOf cfg3 = pcOf cfg4  $\wedge$  map pcOf cfgs3 = map pcOf cfgs4  $\wedge$ 
pcOf cfg3  $\in$  PC  $\wedge$  pcOf '(set cfgs3)  $\subseteq$  PC  $\wedge$ 
cfg1 / cfg2 / cfg3 / cfg4 / cfgs3 / cfgs4 /
common-memory cfg1 cfg3 cfgs3  $\wedge$ 
cfg2 / cfg4 / cfgs4 /
common-memory cfg2 cfg4 cfgs4  $\wedge$ 
( $\forall$  n $\geq$ 0. array-loc aa1 0 (getAvstore (stateOf cfg2))  $\neq$  array-loc aa2 n (getAvstore
(stateOf cfg2))  $\wedge$ 
array-loc aa1 0 (getAvstore (stateOf cfg1))  $\neq$  array-loc aa2 n (getAvstore (stateOf
cfg1)))  $\wedge$ 
cfg1 / cfg2 / cfg3 / cfg4 / cfgs3 / cfgs4 /

```

```

array-base aa1 (getAvstore (stateOf cfg3)) = array-base aa1 (getAvstore (stateOf
cfg4)) ∧
(∀ cfg3' ∈ set cfgs3. array-base aa1 (getAvstore (stateOf cfg3')) = array-base aa1
(getAvstore (stateOf cfg3'))) ∧
(∀ cfg4' ∈ set cfgs4. array-base aa1 (getAvstore (stateOf cfg4')) = array-base aa1
(getAvstore (stateOf cfg4))) ∧
array-base aa2 (getAvstore (stateOf cfg3)) = array-base aa2 (getAvstore (stateOf
cfg4)) ∧
(∀ cfg3' ∈ set cfgs3. array-base aa2 (getAvstore (stateOf cfg3')) = array-base aa2
(getAvstore (stateOf cfg3'))) ∧
(∀ cfg4' ∈ set cfgs4. array-base aa2 (getAvstore (stateOf cfg4')) = array-base aa2
(getAvstore (stateOf cfg4))) ∧
///
(statA = Diff → statO = Diff)))

```

**lemmas** common-strat1-defs = common-strat1-def common-memory-def

```

definition common :: enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒ stateV ⇒
status ⇒ bool
where
common = (λ(num::enat)
  (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
  (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
  statA
  (cfg1,ibT1,ibUT1,ls1)
  (cfg2,ibT2,ibUT2,ls2)
  statO.
(pstate3 = pstate4 ∧
  (num = (endPC - pcOf cfg1) ∨ num = ∞) ∧
  pcOf cfg1 = pcOf cfg2 ∧
  pcOf cfg3 = pcOf cfg4 ∧
  map pcOf cfgs3 = map pcOf cfgs4 ∧
  pcOf cfg3 ∈ PC ∧ pcOf ` (set cfgs3) ⊆ PC ∧
  pcOf cfg1 ∈ PC ∧
  common-memory cfg1 cfg3 cfgs3 ∧
  common-memory cfg2 cfg4 cfgs4 ∧
  (∀ n ≥ 0. array-loc aa1 0 (getAvstore (stateOf cfg2)) ≠ array-loc aa2 n (getAvstore
  (stateOf cfg2))) ∧
  ...
)

```

```

array-loc aa1 0 (getAvstore (stateOf cfg1)) ≠ array-loc aa2 n (getAvstore (stateOf
cfg1))) ∧
All three have same base addresses // May be this is always true for every entry
// of all objects //
array-base aa1 (getAvstore (stateOf cfg3)) = array-base aa1 (getAvstore (stateOf
cfg4)) ∧
(∀ cfg3' ∈ set cfgs3. array-base aa1 (getAvstore (stateOf cfg3')) = array-base aa1
(getAvstore (stateOf cfg3))) ∧
(∀ cfg4' ∈ set cfgs4. array-base aa1 (getAvstore (stateOf cfg4')) = array-base aa1
(getAvstore (stateOf cfg4))) ∧
array-base aa2 (getAvstore (stateOf cfg3)) = array-base aa2 (getAvstore (stateOf
cfg4)) ∧
(∀ cfg3' ∈ set cfgs3. array-base aa2 (getAvstore (stateOf cfg3')) = array-base aa2
(getAvstore (stateOf cfg3))) ∧
(∀ cfg4' ∈ set cfgs4. array-base aa2 (getAvstore (stateOf cfg4')) = array-base aa2
(getAvstore (stateOf cfg4))) ∧
(statA = Diff → statO = Diff)
))

```

**lemmas** *common-defs* = *common-def* *common-memory-def*

**lemma** common-implies: common num  
 $(pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$   
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$   
 $statA$   
 $(cfg1, ibT1, ibUT1, ls1)$   
 $(cfg2, ibT2, ibUT2, ls2)$   
 $statO \Rightarrow$   
 $pcOf\ cfg1 < 9 \wedge pcOf\ cfg3 < 9 \wedge$   
 $(n \geq 0 \longrightarrow array\_loc\ aa1\ 0\ (getAvstore\ (stateOf\ cfg2)) \wedge$   
 $array\_loc\ aa1\ 0\ (getAvstore\ (stateOf\ cfg1)))$   
**unfolding** common-defs PC-def  
**by force**

```

definition  $\Delta 0 :: enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status$ 
 $\Rightarrow bool$  where
 $\Delta 0 = (\lambda num\ (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $\quad (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $\quad statA$ 
 $\quad (cfg1, ibT1, ibUT1, ls1)$ 
 $\quad (cfg2, ibT2, ibUT2, ls2)$ 
 $\quad statO.$ 
 $(common\ num\ (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 

```



```

)
unfoldings  $\Delta 0\text{-defs}'$  apply(clarsimp, standard)
subgoal by (smt (verit) infinity-ne-i0 llength-LNil)
subgoal by (smt (verit)) .

lemmas  $\Delta 0\text{-defs} = \Delta 0\text{-def2 common-defs PC-def beforeInput-def noMisSpec-def}$ 

lemma  $\Delta 0\text{-implies}: \Delta 0 \text{ num } (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
   $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
  statA
   $(cfg1, ibT1, ibUT1, ls1)$ 
   $(cfg2, ibT2, ibUT2, ls2)$ 
  statO  $\Longrightarrow$ 
   $(pcOf cfg3 = 1 \longrightarrow ibUT3 \neq LNil) \wedge$ 
   $(pcOf cfg4 = 1 \longrightarrow ibUT4 \neq LNil) \wedge$ 
   $pcOf cfg1 < 7 \wedge pcOf cfg2 = pcOf cfg1 \wedge$ 
   $cfgs3 = [] \wedge pcOf cfg3 < 7 \wedge$ 
   $cfgs4 = [] \wedge pcOf cfg4 < 7$ 
unfoldings  $\Delta 0\text{-defs}$ 
apply(intro conjI)
apply(simp-all)
by (metis Nil-is-map-conv)

definition  $\Delta 1 :: enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status$ 
 $\Rightarrow bool$  where
 $\Delta 1 = (\lambda num$ 
   $(pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
   $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
  statA
   $(cfg1, ibT1, ibUT1, ls1)$ 
   $(cfg2, ibT2, ibUT2, ls2)$ 
  statO.
  (common-strat1 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
   $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
  statA
   $(cfg1, ibT1, ibUT1, ls1)$ 
   $(cfg2, ibT2, ibUT2, ls2)$ 
  statO  $\wedge$ 
   $pcOf cfg3 \in afterInput \wedge$ 
  same-var-o xx cfg3 cfgs3 cfg4 cfgs4  $\wedge$ 
  vstore (getVstore (stateOf cfg3)) xx < NN  $\wedge$ 
  ls1 = ls3  $\wedge$  ls2 = ls4  $\wedge$ 
  noMisSpec cfgs3
))

```

**lemmas**  $\Delta 1\text{-defs} = \Delta 1\text{-def common-strat1-defs PC-def afterInput-def same-var-o-def}$

*noMisSpec-def*

```

lemma  $\Delta_1\text{-implies}$ :  $\Delta_1 \text{ num}$ 
  ( $pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3$ )
  ( $pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4$ )
  statA
  ( $cfg1, ibT1, ibUT1, ls1$ )
  ( $cfg2, ibT2, ibUT2, ls2$ )
  statO  $\Longrightarrow$ 
  pcOf  $cfg1 < 7 \wedge$ 
   $cfgs3 = [] \wedge pcOf cfg3 \neq 1 \wedge pcOf cfg3 < 7 \wedge$ 
   $cfgs4 = [] \wedge pcOf cfg4 \neq 1 \wedge pcOf cfg4 < 7$ 
  unfolding  $\Delta_1\text{-defs}$ 
  apply(intro conjI) apply simp-all
  by (metis map-is-Nil-conv)

```

```

definition  $\Delta_2 :: enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status$ 
 $\Rightarrow \text{bool where}$ 
 $\Delta_2 = (\lambda num$ 
  ( $pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3$ )
  ( $pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4$ )
  statA
  ( $cfg1, ibT1, ibUT1, ls1$ )
  ( $cfg2, ibT2, ibUT2, ls2$ )
  statO.
  (common-strat1
    ( $pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3$ )
    ( $pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4$ )
    statA
    ( $cfg1, ibT1, ibUT1, ls1$ )
    ( $cfg2, ibT2, ibUT2, ls2$ )
    statO  $\wedge$ 
    pcOf  $cfg3 = startOfThenBranch \wedge$ 
    pcOf  $cfg1 = pcOf cfg3 \wedge$ 
    pcOf (last  $cfgs3$ ) = elseBranch  $\wedge$ 
    same-var-o xx  $cfg3 cfgs3 cfg4 cfgs4 \wedge$ 
    vstore (getVstore (stateOf  $cfg3$ )) xx < NN  $\wedge$ 
    ls1 = ls3  $\wedge$  ls2 = ls4  $\wedge$ 
    missSpecL1  $cfgs3$ 
  ))

```

```

lemmas  $\Delta_2\text{-defs} = \Delta_2\text{-def common-strat1-defs PC-def same-var-def startOfThen-Branch-def}$ 
  missSpecL1-def elseBranch-def

```

```

lemma  $\Delta_2\text{-implies}$ :  $\Delta_2 \text{ num}$ 
  ( $pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3$ )

```

```

(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO ==>
pcOf (last cfgs3) = 6 ∧ pcOf cfg3 = 4 ∧
pcOf (last cfgs4) = pcOf (last cfgs3) ∧
pcOf cfg3 = pcOf cfg4 ∧
length cfgs3 = Suc 0 ∧
length cfgs3 = length cfgs4
apply(intro conjI)
unfolding Δ2-defs apply simp-all
apply (metis last-map map-is-Nil-conv)
by (metis length-map)

```

```

definition Δ1' :: enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒ stateV ⇒ status
⇒ bool where
Δ1' = (λnum (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO.
(common num (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO ∧
///
pcOf cfg3 ∈ afterInput ∧
same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
(pcOf cfg1 > 2 → vstore (getVstore (stateOf cfg3)) tt = vstore (getVstore
(stateOf cfg4)) tt) ∧
vstore (getVstore (stateOf cfg3)) xx ≥ NN ∧
(pcOf cfg1 < 4 → pcOf cfg1 = pcOf cfg3 ∧
ls1 = {} ∧ ls2 = {} ∧
ls1 = ls3 ∧ ls2 = ls4) ∧
(pcOf cfg1 ≤ 5 → ls1 ⊆ {array-loc aa1 0 (getAvstore (stateOf cfg1))} ∧
ls1 = ls2 ∧ ls3 = ls4) ∧
(Language-Prelims.dist ls3 ls4 ⊆ Language-Prelims.dist ls1 ls2) ∧

```

```

 $(pcOf cfg1 \geq 4 \rightarrow pcOf cfg1 \in inThenBranch \wedge pcOf cfg3 = elseBranch) \wedge$ 
 $same-xx-cp cfg1 cfg2 \wedge$ 
 $vstore (getVstore (stateOf cfg1)) xx = 0 \wedge$ 

 $ls3 \subseteq ls1 \wedge ls4 \subseteq ls2 \wedge$ 
 $noMissSpec cfgs3$ 
 $)$ 
lemmas  $\Delta 1' \text{-defs} = \Delta 1' \text{-def common-defs PC-def afterInput-def}$ 
 $same-var-o-def same-xx-cp-def noMissSpec-def inThenBranch-def elseBranch-def$ 
lemma  $\Delta 1' \text{-implies: } \Delta 1' \text{ num } (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO \implies$ 
 $pcOf cfg1 < 7 \wedge pcOf cfg1 \neq Suc 0 \wedge$ 
 $pcOf cfg2 = pcOf cfg1 \wedge$ 
 $cfgs3 = [] \wedge pcOf cfg3 < 7 \wedge$ 
 $cfgs4 = [] \wedge pcOf cfg4 < 7$ 
unfolding  $\Delta 1' \text{-defs}$ 
apply (intro conjI)
apply simp-all
using Suc-lessI startOfThenBranch-def verit-eq-simplify(10) zero-neq-numeral
apply linarith
by (metis list.map-disc-iff)

definition  $\Delta 3' :: enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status$ 
 $\Rightarrow bool$  where
 $\Delta 3' = (\lambda num (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO.$ 
 $(common num (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO \wedge$ 
 $///$ 
 $pcOf cfg3 = elseBranch \wedge cfgs3 \neq [] \wedge$ 
 $pcOf (last cfgs3) \in inThenBranch \wedge$ 
 $pcOf (last cfgs4) = pcOf (last cfgs3) \wedge$ 
pcOf cfg1 = pcOf (last cfgs3) \wedge
 $pcOf cfg1 = pcOf (last cfgs3) \wedge$ 

```

```

same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
(getAvstore (stateOf cfg3)) = (getAvstore (stateOf (last cfgs3))) ∧
(getAvstore (stateOf cfg4)) = (getAvstore (stateOf (last cfgs4))) ∧

same-xx-cp cfg1 cfg2 ∧
ls1 = ls3 ∧ ls2 = ls4 ∧

vstore (getVstore (stateOf cfg3)) tt = vstore (getVstore (stateOf cfg4)) tt ∧
vstore (getVstore (stateOf cfg3)) xx ≥ NN ∧

(pcOf cfg1 = 4 → ls1 = {} ∧ ls2 = {}) ∧
(pcOf cfg1 ≤ 5 → ls1 ⊆ {array-loc aa1 0 (getAvstore (stateOf cfg1))} ∧
ls2 ⊆ {array-loc aa1 0 (getAvstore (stateOf cfg2))} ∧
ls3 = ls4) ∧

(pcOf cfg1 > 4 → same-var vv cfg1 (last cfgs3) ∧ same-var vv cfg2 (last cfgs4))
∧
misSpecL1 cfgs3
))
lemmas Δ3'-defs = Δ3'-def common-defs PC-def elseBranch-def
inThenBranch-def startOfThenBranch-def
same-var-o-def same-xx-cp-def misSpecL1-def same-var-def

lemma Δ3'-implies: Δ3' num (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
(pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
statA
(cfg1,ibT1,ibUT1,ls1)
(cfg2,ibT2,ibUT2,ls2)
statO ==>
pcOf cfg1 < 7 ∧ pcOf cfg1 ≠ Suc 0 ∧
pcOf cfg2 = pcOf cfg1 ∧
pcOf cfg3 < 7 ∧ pcOf cfg4 < 7 ∧
(pcOf (last cfgs3) = 4 ∨ pcOf (last cfgs3) = 5 ∨ pcOf (last cfgs3) = 6) ∧ pcOf
cfg3 = 6
unfolding Δ3'-defs
apply(intro conjI)
apply simp-all
by (metis cases-thenBranch le-neq-implies-less less-SucI not-less-eq)

```

```

definition Δe :: enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒ stateV ⇒ status
⇒ bool where
Δe = (λ(num::enat) (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
(pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
statA
(cfg1,ibT1,ibUT1,ls1)

```

```

 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO.$ 
 $((num = (endPC - pcOf cfg1) \vee num = \infty) \wedge$ 
 $pcOf cfg3 = endPC \wedge pcOf cfg4 = endPC \wedge cfgs3 = [] \wedge cfgs4 = [] \wedge$ 
 $pcOf cfg1 = endPC \wedge pcOf cfg2 = endPC))$ 

```

**lemmas**  $\Delta e\text{-}defs = \Delta e\text{-}def common\text{-}def endPC$

```

context array-nempty
begin
lemma init: initCond  $\Delta 0$ 
  unfolding initCond-def apply(intro all)
  subgoal for s3 s4 apply(cases s3, cases s4)
  subgoal for pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4
  apply safe
  apply clarsimp
  apply (cases lhd ibUT3 < NN)
  subgoal
    apply(cases getAvstore (stateOf cfg3), cases getAvstore (stateOf cfg4))
    unfolding  $\Delta 0\text{-}defs$ 
    unfolding array-base-def array-loc-def
    using aa1 by auto
  subgoal
    apply(cases getAvstore (stateOf cfg3), cases getAvstore (stateOf cfg4))
    unfolding  $\Delta 0\text{-}defs'$ 
    unfolding array-base-def array-loc-def
    using aa1 apply (simp split: avstore.splits)
    apply(rule exI[of - cfg3]) using ex-llength-infty by auto
  ...

```

```

lemma step0: unwindIntoCond  $\Delta 0$  (oor3  $\Delta 0$   $\Delta 1$   $\Delta 1'$ )
proof(rule unwindIntoCond-simpleI)
  fix n ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and  $\Delta 0$ :  $\Delta 0 n ss3 ss4 statA ss1 ss2 statO$ 

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
  by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
  by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)

```

```

by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
  cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
  cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
  using Δ0 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ0-defs
  by simp

have f2:¬finalN ss2
  using Δ0 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ0-defs
  by simp

have f3:¬finalS ss3
  using Δ0 unfolding ss apply-apply(frule Δ0-implies)
  using finalS-cond by simp

have f4:¬finalS ss4
  using Δ0 unfolding ss apply-apply(frule Δ0-implies)
  using finalS-cond by simp

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor3 Δ0 Δ1 Δ1') ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

show match1 (oor3 Δ0 Δ1 Δ1') ss3 ss4 statA ss1 ss2 statO
  unfolding match1-def by (simp add: finalS-def final-def)
show match2 (oor3 Δ0 Δ1 Δ1') ss3 ss4 statA ss1 ss2 statO
  unfolding match2-def by (simp add: finalS-def final-def)
show match12 (oor3 Δ0 Δ1 Δ1') ss3 ss4 statA ss1 ss2 statO

```

```

proof(rule match12-simpleI, rule disjI2, intro conjI)
  fix ss3' ss4' statA'
  assume statA': statA' = sstatA' statA ss3 ss4
    and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
    and sa: Opt.eqAct ss3 ss4
  note v3 = v(1) note v4 = v(2)

  obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
cfg3', cfgs3', ibT3', ibUT3', ls3')
    by (cases ss3', auto)
  obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
cfg4', cfgs4', ibT4', ibUT4', ls4')
    by (cases ss4', auto)
  note ss = ss ss3' ss4'

  obtain pc3 vs3 avst3 h3 p3 where
    cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
    by (cases cfg3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
    cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
    by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg3 cfg4

  show eqSec ss1 ss3
    using v Δ0 unfolding ss by (simp add: Δ0-defs)

  show eqSec ss2 ss4
    using v Δ0 unfolding ss
    apply (simp add: Δ0-defs) by (metis length-0-conv length-map)

  show saO: Van.eqAct ss1 ss2
  using v sa Δ0 unfolding ss
  unfolding Opt.eqAct-def Van.eqAct-def
  apply (simp-all add: Δ0-defs)
  by (metis enat.distinct(2) f3.list.map-disc-iff llength-LNil ss3 zero-enat-def)

  show match12-12 (oor3 Δ0 Δ1 Δ1') ss3' ss4' statA' ss1 ss2 statO
  unfolding match12-12-def
  proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
conjI impI)
    show validTransV (ss1, nextN ss1)
      by (simp add: f1.nextN-stepN)
    show validTransV (ss2, nextN ss2)
      by (simp add: f2.nextN-stepN)
  {assume sstat: statA' = Diff

```

```

show sstatO' statO ss1 ss2 = Diff
using v sa Δ0 sstat unfolding ss cfg statA' apply simp
apply(simp add: Δ0-defs sstatO'-def sstatA'-def finalS-def final-def)
using cases-6[of pc3] apply(elim disjE)
apply simp-all apply(cases statO, simp-all) apply(cases statA, simp-all)
apply(cases statO, simp-all) apply(cases statA, simp-all)
apply (smt (z3) status.distinct newStat.simps)
using newStat.simps by (smt (z3) status.exhaust)
} note stat = this

show oor3 Δ0 Δ1 Δ1' ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2) (sstatO'
statO ss1 ss2)

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
case nonspec-mispred
then show ?thesis using sa Δ0 stat unfolding ss apply- apply(frule
Δ0-implies)
by (simp add: Δ0-defs)
next
case spec-normal
then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
next
case spec-mispred
then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
next
case spec-Fence
then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
next
case spec-resolve
then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
next
case nonspec-normal note nn3 = nonspec-normal
show ?thesis
using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
case nonspec-mispred
then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
next
case spec-normal
then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
next
case spec-mispred
then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
next
case spec-Fence
then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)

```

```

next
  case spec-resolve
    then show ?thesis using sa  $\Delta 0$  stat nn3 unfolding ss by (simp add:
 $\Delta 0\text{-defs}$ )
next
  case nonspec-normal note nn4 = nonspec-normal
  show ?thesis using sa saO  $\Delta 0$  stat v3 v4 nn3 nn4 f4
  unfolding ss cfg Opt.eqAct-def apply clarsimp
  apply(cases pc3 = 0)
  subgoal apply(rule oor3I1)
    apply (simp add:  $\Delta 0\text{-defs}$ ) by (metis config.sel(2) state.sel(2))
  subgoal apply(subgoal-tac pc4 = 1)
    defer subgoal by (simp add:  $\Delta 0\text{-defs}$ )
    subgoal using xx-NN-cases[of vstore (getVstore (stateOf cfg3'))]
apply(elim disjE)
  subgoal apply(rule oor3I2)
    by (simp add:  $\Delta 0\text{-defs } \Delta 1\text{-defs, metis}$ )
  subgoal apply(rule oor3I3)
    apply (simp add:  $\Delta 0\text{-defs } \Delta 1'\text{-defs}$ )
    apply(intro conjI, metis+)
    apply blast by fastforce+
  ...
  qed
  qed
  qed
  qed
  qed
  qed
  qed

lemma step1: unwindIntoCond  $\Delta 1$  (oor3  $\Delta 1$   $\Delta 2$   $\Delta e$ )
proof(rule unwindIntoCond-simpleI)
  fix n ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and  $\Delta 1$ :  $\Delta 1$  n ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
    by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
    by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
    by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
    by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

obtain pc1 vs1 avst1 h1 p1 where

```

```

cfg1: cfg1 = Config pc1 (State (Vstore vs1) avst1 h1 p1)
by (cases cfg1) (metis state.collapse vstore.collapse)
obtain pc2 vs2 avst2 h2 p2 where
cfg2: cfg2 = Config pc2 (State (Vstore vs2) avst2 h2 p2)
by (cases cfg2) (metis state.collapse vstore.collapse)
obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg1 cfg2 cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
  using Δ1 finalB-pc-iff' unfolding ss cfg finalN-iff-finalB Δ1-defs
  by simp

have f2:¬finalN ss2
  using Δ1 finalB-pc-iff' unfolding ss cfg finalN-iff-finalB Δ1-defs
  by simp

have f3:¬finalS ss3
  using Δ1 unfolding ss apply-apply(frule Δ1-implies)
  using finalS-cond by simp

have f4:¬finalS ss4
  using Δ1 unfolding ss apply-apply(frule Δ1-implies)
  using finalS-cond by simp

note finals = f1 f2 f3 f4

show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor3 Δ1 Δ2 Δe) ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

show match1 (oor3 Δ1 Δ2 Δe) ss3 ss4 statA ss1 ss2 statO
  unfolding match1-def by (simp add: finalS-def final-def)
show match2 (oor3 Δ1 Δ2 Δe) ss3 ss4 statA ss1 ss2 statO
  unfolding match2-def by (simp add: finalS-def final-def)
show match12 (oor3 Δ1 Δ2 Δe) ss3 ss4 statA ss1 ss2 statO

```

```

proof(rule match12-simpleI, rule disjI2, intro conjI)
  fix ss3' ss4' statA'
  assume statA': statA' = sstatA' statA ss3 ss4
  and v: validTransO (ss3, ss3')
  and sa: Opt.eqAct ss3 ss4
  note v3 = v(1) note v4 = v(2)

  obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
    cfg3', cfgs3', ibT3', ibUT3', ls3')
    by (cases ss3', auto)
  obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
    cfg4', cfgs4', ibT4', ibUT4', ls4')
    by (cases ss4', auto)
  note ss = ss ss3' ss4'

  show eqSec ss1 ss3
  using v sa Δ1 unfolding ss
  by (simp add: Δ1-defs eqSec-def)

  show eqSec ss2 ss4
  using v sa Δ1 unfolding ss
  by (simp add: Δ1-defs eqSec-def)

  show Van.eqAct ss1 ss2
  using v sa Δ1 unfolding ss Van.eqAct-def
  by (simp-all add: Δ1-defs)

  show match12-12 (oor3 Δ1 Δ2 Δe) ss3' ss4' statA' ss1 ss2 statO
  unfolding match12-12-def
  proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
    conjI impI)
    show validTransV (ss1, nextN ss1)
      by (simp add: f1 nextN-stepN)

    show validTransV (ss2, nextN ss2)
      by (simp add: f2 nextN-stepN)

  {assume sstat: statA' = Diff
    show sstatO' statO ss1 ss2 = Diff
    using v sa Δ1 sstat unfolding ss cfg statA'
    apply(simp add: Δ1-defs sstatO'-def sstatA'-def)
    using cases-6[of pc3] apply(elim disjE)
    defer 1 defer 1
    subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
      using cfg finals ss status.distinct(1) newStat.simps by auto
    subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
      using cfg finals ss status.distinct(1) newStat.simps by auto}

```

```

subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
  using cfg finals ss status.distinct(1) newStat.simps by auto
subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
  using cfg finals ss status.distinct(1) newStat.simps by auto
subgoal apply(cases statO, simp-all) apply(cases statA, simp-all)
  using cfg finals ss status.distinct(1) newStat.simps by auto
by simp-all
} note stat = this

show (oor3 Δ1 Δ2 Δe) ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2) (sstatO'
statO ss1 ss2)

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
case spec-normal
then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
case spec-mispred
then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
case spec-Fence
then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
case spec-resolve
then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
case nonspec-mispred note nm3 = nonspec-mispred
show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

  case nonspec-normal
  then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
  case spec-normal
  then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
  case spec-mispred
  then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
  case spec-Fence
  then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
  case spec-resolve

```

```

then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case nonspec-mispred note nm4 = nonspec-mispred
then show ?thesis
using sa Δ1 stat v3 v4 nm3 nm4 unfolding ss cfg hh apply clarsimp
using cases-6[of pc3] apply(elim disjE, simp-all add: Δ1-defs)
by(rule oor3I2, simp add: Δ1-defs Δ2-defs, metis)
qed
next
case nonspec-normal note nn3 = nonspec-normal
show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

case nonspec-mispred
then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-normal
then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-mispred
then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-Fence
then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-resolve
then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
next
case nonspec-normal
then show ?thesis using sa Δ1 stat v3 v4 nn3 unfolding ss cfg hh
applyclarsimp
using cases-6[of pc3] apply(elim disjE)
subgoal by (simp add: Δ1-defs)
subgoal by (simp add: Δ1-defs)
subgoal apply(rule oor3I1) by(simp add:Δ1-defs, metis)
subgoal apply(rule oor3I1) by (simp add: Δ1-defs, metis)
subgoal apply(rule oor3I1) by (simp add: Δ1-defs, metis)
subgoal apply(rule oor3I1) by (simp add: Δ1-defs, metis)
apply(rule oor3I3) by (simp-all add: Δ1-defs Δe-defs)
qed
qed
qed
qed
qed

```

qed

```
lemma step2: unwindIntoCond Δ2 Δ1
proof(rule unwindIntoCond-simpleI)
  fix n ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and Δ2: Δ2 n ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
    by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
    by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
    by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
    by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
    lcfgs3: last cfgs3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
    by (cases last cfgs3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
    lcfgs4: last cfgs4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
    by (cases last cfgs4) (metis state.collapse vstore.collapse)
  note lcfgs = lcfgs3 lcfgs4

  have f1:¬finalN ss1
    using Δ2 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ2-defs
    by simp

  have f2:¬finalN ss2
    using Δ2 finalB-pc-iff' unfolding ss finalN-iff-finalB Δ2-defs
    by auto

  have f3:¬finalS ss3
    using Δ2 unfolding ss apply-apply(frule Δ2-implies)
    using finalS-cond-spec by simp

  have f4:¬finalS ss4
    using Δ2 unfolding ss apply-apply(frule Δ2-implies)
    using finalS-cond-spec by simp

  note finals = f1 f2 f3 f4
  show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
    using finals by auto
```

```

then show isIntO ss3 = isIntO ss4 by simp

show react Δ1 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1 Δ1 ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2 Δ1 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12 Δ1 ss3 ss4 statA ss1 ss2 statO

proof(rule match12-simpleI,rule disjI1, intro conjI)
fix ss3' ss4' statA'
assume statA': statA' = sstatA' statA ss3 ss4
and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
and sa: Opt.eqAct ss3 ss4
note v3 = v(1) note v4 = v(2)

obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
cfg3', cfgs3', ibT3', ibUT3', ls3')
by (cases ss3', auto)
obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
cfg4', cfgs4', ibT4', ibUT4', ls4')
by (cases ss4', auto)
note ss = ss ss3' ss4'

obtain hh3 where h3: h3 = Heap hh3 by (cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by (cases h4, auto)
note hh = h3 h4

show  $\neg$  isSecO ss3
using v sa Δ2 unfolding ss by (simp add: Δ2-defs)

show  $\neg$  isSecO ss4
using v sa Δ2 unfolding ss apply clarsimp
by (simp add: Δ2-defs, linarith)

show stat: statA = statA' ∨ statO = Diff
using v sa Δ2
apply (cases ss3, cases ss4, cases ss1, cases ss2)
apply (cases ss3', cases ss4',clarsimp)
unfolding ss statA' applyclarsimp
apply (simp-all add: Δ2-defs sstatA'-def)
apply (cases statO, simp-all) apply(cases statA, simp-all)
unfolding finalS-defs
by (smt (verit, ccfv-SIG) newStat.simps(1))

show Δ1 ∞ ss3' ss4' statA' ss1 ss2 statO

```

```

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case nonspec-normal
  then show ?thesis using sa stat Δ2 unfolding ss by (simp add: Δ2-defs)
next
  case nonspec-mispred
  then show ?thesis using sa stat Δ2 unfolding ss by (simp add: Δ2-defs)
next
  case spec-normal
  then show ?thesis using sa stat Δ2 v3 unfolding ss apply-
    apply(frule Δ2-implies) by(simp add: Δ2-defs)
next
  case spec-mispred
  then show ?thesis using sa stat Δ2 unfolding ss apply-
    apply(frule Δ2-implies) by (simp add: Δ2-defs)
next
  case spec-Fence
  then show ?thesis using sa stat Δ2 unfolding ss apply-
    apply(frule Δ2-implies) by (simp add: Δ2-defs)
next
  case spec-resolve note sr3 = spec-resolve
  show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)
    case nonspec-normal
    then show ?thesis using sa stat Δ2 sr3 unfolding ss by (simp add:
      Δ2-defs)
  next
    case nonspec-mispred
    then show ?thesis using sa stat Δ2 sr3 unfolding ss by (simp add:
      Δ2-defs)
  next
    case spec-normal
    then show ?thesis using sa stat Δ2 sr3 unfolding ss by (simp add:
      Δ2-defs)
  next
    case spec-mispred
    then show ?thesis using sa stat Δ2 sr3 unfolding ss by (simp add:
      Δ2-defs)
  next
    case spec-Fence
    then show ?thesis using sa stat Δ2 sr3 unfolding ss by (simp add:
      Δ2-defs)
  next
    case spec-resolve note sr4 = spec-resolve
    show ?thesis using sa stat Δ2 v3 v4 sr3 sr4
      unfolding ss lcfgs hh apply-
        by(frule Δ2-implies, simp add: Δ2-defs Δ1-defs, metis)
    qed
  qed
qed

```

qed  
qed

**lemma** *xx-le-NN*[simp]:*cfg = Config pc (State (Vstore vs) avst h p)  $\implies$  vs xx = 0  $\implies$  vs xx < int NN*  
**using** *NN* **by** *auto*

**lemma** *match12I:match12 (oor3 Δ1' Δ3' Δe) ss3 ss4 statA ss1 ss2 statO  $\implies$  (exists v < n. proact (oor3 Δ1' Δ3' Δe) v ss3 ss4 statA ss1 ss2 statO) ∨ react (oor3 Δ1' Δ3' Δe) ss3 ss4 statA ss1 ss2 statO*  
**apply(rule disjI2)** **unfolding** *react-def match1-def match2-def*  
**by(simp-all add: finalS-def final-def)**

**lemma** *step1': unwindIntoCond Δ1' (oor3 Δ1' Δ3' Δe)*  
**proof**(rule *unwindIntoCond-simpleIB*)  
**fix** *n ss3 ss4 statA ss1 ss2 statO*  
**assume** *r: reachO ss3 reachO ss4 reachV ss1 reachV ss2*  
**and** *Δ1': Δ1' n ss3 ss4 statA ss1 ss2 statO*  
**obtain** *pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)*  
**by** (cases *ss3*, *auto*)  
**obtain** *pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)*  
**by** (cases *ss4*, *auto*)  
**obtain** *cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)*  
**by** (cases *ss1*, *auto*)  
**obtain** *cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)*  
**by** (cases *ss2*, *auto*)  
**note** *ss = ss3 ss4 ss1 ss2*  
**obtain** *pc1 vs1 avst1 h1 p1 where*  
*cfg1: cfg1 = Config pc1 (State (Vstore vs1) avst1 h1 p1)*  
**by** (cases *cfg1*) (*metis state.collapse vstore.collapse*)  
**obtain** *pc2 vs2 avst2 h2 p2 where*  
*cfg2: cfg2 = Config pc2 (State (Vstore vs2) avst2 h2 p2)*  
**by** (cases *cfg2*) (*metis state.collapse vstore.collapse*)  
**obtain** *pc3 vs3 avst3 h3 p3 where*  
*cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)*  
**by** (cases *cfg3*) (*metis state.collapse vstore.collapse*)  
**obtain** *pc4 vs4 avst4 h4 p4 where*  
*cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)*  
**by** (cases *cfg4*) (*metis state.collapse vstore.collapse*)  
**note** *cfg = cfg3 cfg4*  
**obtain** *hh1 where h1: h1 = Heap hh1* **by**(cases *h1*, *auto*)

```

obtain hh2 where h2: h2 = Heap hh2 by(cases h2, auto)
obtain hh3 where h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
  using Δ1'
  unfolding ss apply-apply(frule Δ1'-implies)
  unfolding finalN-iff-finalB Δ1'-defs
  using finalB-pcOf-iff by simp

have f2:¬finalN ss2
  using Δ1'
  unfolding ss apply-apply(frule Δ1'-implies)
  unfolding finalN-iff-finalB Δ1'-defs
  using finalB-pcOf-iff by simp

have f3:¬finalS ss3
  using Δ1' unfolding ss apply-apply(frule Δ1'-implies)
  using finalS-cond by (simp add: Δ1'-defs)

have f4:¬finalS ss4
  using Δ1' unfolding ss apply-apply(frule Δ1'-implies)
  using finalS-cond by (simp add: Δ1'-defs)

note finals = f1 f2 f3 f4

show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show (∃ v<#n. proact (oor3 Δ1' Δ3' Δe) v ss3 ss4 statA ss1 ss2 statO) ∨
  react (oor3 Δ1' Δ3' Δe) ss3 ss4 statA ss1 ss2 statO
  using cases-6[of pcOf cfg1] apply(elim disjE)
  subgoal using Δ1' unfolding ss by (simp add: Δ1'-defs, linarith)
  subgoal using Δ1' unfolding ss by (simp add: Δ1'-defs, linarith)
  subgoal proof(rule match12I, rule match12-simpleI, rule disjI2, intro conjI)
    fix ss3' ss4' statA'
    assume statA': statA' = sstatA' statA ss3 ss4
      and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
      and sa: Opt.eqAct ss3 ss4 and pc:pcOf cfg1 = 2
    note v3 = v(1) note v4 = v(2)

    obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
      cfg3', cfgs3', ibT3', ibUT3', ls3')
      by (cases ss3', auto)

```

```

obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
cfg4', cfgs4', ibT4', ibUT4', ls4')
  by (cases ss4', auto)
  note ss = ss ss3' ss4'

show eqSec ss1 ss3
  using v sa Δ1' unfolding ss apply (simp add: Δ1'-defs)
  by (metis not-gr-zero not-numeral-le-zero zero-less-numeral)

show eqSec ss2 ss4
  using v sa Δ1' unfolding ss apply (simp add: Δ1'-defs)
  by (metis not-gr-zero not-numeral-le-zero zero-neq-numeral)

show Van.eqAct ss1 ss2
  using v sa Δ1' unfolding ss Van.eqAct-def
  apply (simp-all add: Δ1'-defs)
  by (metis Δ1' Δ1'-implies ss)

show match12-12 (oor3 Δ1' Δ3' Δe) ss3' ss4' statA' ss1 ss2 statO
  unfolding match12-12-def
  proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
conjI impI)
  show validTransV (ss1, nextN ss1)
    by (simp add: f1 nextN-stepN)

  show validTransV (ss2, nextN ss2)
    by (simp add: f2 nextN-stepN)

  have cfgs4:cfgs4 = [] using Δ1' unfolding ss Δ1'-defs by (clarify, metis
list.map-disc-iff)

  have notJump:-is-IfJump (prog ! pcOf cfg3) using Δ1' pc unfolding ss
Δ1'-defs
    by(simp add: Δ1'-defs sstatO'-def sstatA'-def)

{assume sstat: statA' = Diff
show sstatO' statO ss1 ss2 = Diff
using v sa Δ1' sstat pc unfolding ss cfg statA'
apply(simp add: Δ1'-defs sstatO'-def sstatA'-def)
apply(cases statO, simp-all) apply(cases statA, simp-all)
  using cfg finals ss by simp
} note stat = this

have pc4:pc4 = 2
  using v sa Δ1' pc unfolding ss cfg
  by (simp-all add: Δ1'-defs)

```

```

show (oor3 Δ1' Δ3' Δe) ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2)
(sstatO' statO ss1 ss2)

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
case spec-normal
then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
next
case spec-mispred
then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
next
case spec-Fence
then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
next
case spec-resolve
then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
next
case nonspec-mispred
then show ?thesis using notJump by auto
next
case nonspec-normal note nn3 = nonspec-normal
show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

case nonspec-mispred
then show ?thesis using sa Δ1' stat nn3 unfolding ss by (simp add:
Δ1'-defs)
next
case spec-normal
then show ?thesis using sa Δ1' stat nn3 unfolding ss by (simp add:
Δ1'-defs)
next
case spec-mispred
then show ?thesis using sa Δ1' stat nn3 unfolding ss by (simp add:
Δ1'-defs)
next
case spec-Fence
then show ?thesis using sa Δ1' stat nn3 unfolding ss by (simp add:
Δ1'-defs)
next
case spec-resolve
then show ?thesis using sa Δ1' stat nn3 unfolding ss by (simp add:
Δ1'-defs)
next
case nonspec-normal note nn4 = nonspec-normal
show ?thesis apply(rule oor3I1)
using sa Δ1' stat pc pc4 v3 v4 nn3 config.sel(2) state.sel(2)

```

```

unfolding ss cfg cfg1 cfg2 hh apply(simp add: $\Delta 1'$ -defs)
using numeral-le-iff semiring-norm(69,72) by force
qed
qed
qed
qed
subgoal proof(rule match12I, rule match12-simpleI, rule disjI2, intro conjI)
fix ss $3'$  ss $4'$  statA'
assume statA': statA' = sstatA' statA ss $3$  ss $4$ 
and v: validTransO(ss $3$ , ss $3'$ ) validTransO(ss $4$ , ss $4'$ )
and sa: Opt.eqAct ss $3$  ss $4$  and pc:pcOf cfg1 = 3
note v $3$  = v(1) note v $4$  = v(2)

obtain pstate $3'$  cfg $3'$  cfgs $3'$  ibT $3'$  ibUT $3'$  ls $3'$  where ss $3'$ : ss $3'$  = (pstate $3'$ ,
cfg $3'$ , cfgs $3'$ , ibT $3'$ , ibUT $3'$ , ls $3'$ )
by (cases ss $3'$ , auto)
obtain pstate $4'$  cfg $4'$  cfgs $4'$  ibT $4'$  ibUT $4'$  ls $4'$  where ss $4'$ : ss $4'$  = (pstate $4'$ ,
cfg $4'$ , cfgs $4'$ , ibT $4'$ , ibUT $4'$ , ls $4'$ )
by (cases ss $4'$ , auto)
note ss = ss ss $3'$  ss $4'$ 

show eqSec ss $1$  ss $3$ 
using v sa  $\Delta 1'$  unfolding ss apply (simp add:  $\Delta 1'$ -defs)
by (metis not-gr-zero not-numeral-le-zero zero-less-numeral)

show eqSec ss $2$  ss $4$ 
using v sa  $\Delta 1'$  unfolding ss apply (simp add:  $\Delta 1'$ -defs)
by (metis not-gr-zero not-numeral-le-zero zero-neq-numeral)

show Van.eqAct ss $1$  ss $2$ 
using v sa  $\Delta 1'$  unfolding ss Van.eqAct-def
apply (simp-all add:  $\Delta 1'$ -defs)
by (metis  $\Delta 1'$   $\Delta 1'$ -implies ss)

show match12-12 (oor3  $\Delta 1'$   $\Delta 3'$   $\Delta e$ ) ss $3'$  ss $4'$  statA' ss $1$  ss $2$  statO
unfolding match12-12-def
proof(rule exI[of - nextN ss $1$ ], rule exI[of - nextN ss $2$ ], unfold Let-def, intro
conjI impI)
show validTransV(ss $1$ , nextN ss $1$ )
by (simp add: f1 nextN-stepN)

show validTransV(ss $2$ , nextN ss $2$ )
by (simp add: f2 nextN-stepN)

have cfgs $4$ :cfgs $4$  = [] using  $\Delta 1'$  unfolding ss  $\Delta 1'$ -defs by (clarify, metis
map-is-Nil-conv)

{assume sstat: statA' = Diff
show sstatO' statO ss $1$  ss $2$  = Diff
}

```

```

using v sa Δ1' sstat pc unfolding ss cfg statA'
apply(simp add: Δ1'-defs sstatO'-def sstatA'-def)
apply(cases statO, simp-all) apply(cases statA, simp-all)
  using cfg finals ss by simp
} note stat = this

have pc4:pc4 = 3
  using v sa Δ1' pc unfolding ss cfg
  by (simp-all add: Δ1'-defs)

show (oor3 Δ1' Δ3' Δe) ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2)
(sstatO' statO ss1 ss2)

  using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case spec-normal
    then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
  next
  case spec-mispred
    then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
  next
  case spec-Fence
    then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
  next
  case spec-resolve
    then show ?thesis using sa Δ1' stat unfolding ss by (simp add:
Δ1'-defs)
  next
  case nonspec-mispred note nm3 = nonspec-mispred
show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

  case spec-normal
    then show ?thesis using sa Δ1' stat nm3 unfolding ss by (simp add:
Δ1'-defs cfgs4)
  next
  case spec-mispred
    then show ?thesis using sa Δ1' stat nm3 unfolding ss by (simp add:
Δ1'-defs cfgs4)
  next
  case spec-Fence
    then show ?thesis using sa Δ1' stat nm3 unfolding ss by (simp add:
Δ1'-defs cfgs4)
  next
  case spec-resolve
    then show ?thesis using sa Δ1' stat nm3 unfolding ss by (simp add:
Δ1'-defs cfgs4)

```

```

next
  case nonspec-normal
    then show ?thesis using sa  $\Delta 1'$  stat nm3 unfolding ss by (simp add:
 $\Delta 1'$ -defs cfs4)
  next
    case nonspec-mispred note nm4 = nonspec-mispred
      show ?thesis apply(rule oor3I2)
      using sa pc4  $\Delta 1'$  stat pc v3 v4 nm3 nm4 config.sel(2) state.sel(2)
      unfolding ss cfg cfg1 cfg2 hh apply(simp add:Δ1'-defs Δ3'-defs)
      by (metis empty-subsetI nat-less-le nat-neq-iff numeral-eq-iff semiring-norm(89) set-eq-subset)
    qed
  next
    case nonspec-normal note nn3 = nonspec-normal
    show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)
      case nonspec-mispred
      then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
      next
        case spec-normal
        then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
      next
        case spec-mispred
        then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
      next
        case spec-Fence
        then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
      next
        case spec-resolve
        then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
      next
        case nonspec-normal note nn4 = nonspec-normal
        show ?thesis apply(rule oor3I1)
        using sa pc4  $\Delta 1'$  stat pc v3 v4 nn3 config.sel(2) state.sel(2)
        unfolding ss cfg cfg1 cfg2 hh apply(simp add:Δ1'-defs)
        by (metis nat-le-linear nat-less-le numeral-eq-iff semiring-norm(88))
      qed
    qed
  qed
qed
subgoal apply(rule disjI1, rule exI[of - 2], rule conjI)
subgoal using  $\Delta 1'$  unfolding ss  $\Delta 1'$ -defs apply clarify
  apply(erule disjE)
subgoal premises p using p(1,47) unfolding endPC by simp

```

```

subgoal using enat-ord-simps(4) numeral-ne-infinity by presburger .
unfolding proact-def proof(intro disjI2, intro conjI)
assume pc:pcOf cfg1 = 4

show ¬ isSecV ss1 using Δ1' pc unfolding Δ1'-defs ss cfg by auto

show ¬ isSecV ss2 using Δ1' pc unfolding Δ1'-defs ss cfg by auto

show Van.eqAct ss1 ss2 using Δ1' pc unfolding Δ1'-defs ss cfg Van.eqAct-def
by auto

show move-12 (oor3 Δ1' Δ3' Δe) 2 ss3 ss4 statA ss1 ss2 statO
  unfolding move-12-def Let-def
proof (rule exI[of - nextN ss1], rule exI[of - nextN ss2], intro conjI)
  show validTransV (ss1, nextN ss1)
    using Δ1' pc unfolding validTransV-iff-nextN ss Δ1'-defs
    by simp

  show validTransV (ss2, nextN ss2)
    using Δ1' pc unfolding validTransV-iff-nextN ss Δ1'-defs
    by simp
  have a1-0:array-loc aa1 0 avst3 = array-loc aa1 0 avst4
    using Δ1' pc unfolding cfg cfg1 ss Δ1'-defs array-loc-def by simp
  have pc1:pc1 = 4 using Δ1' pc unfolding cfg cfg1 ss Δ1'-defs by simp

  show oor3 Δ1' Δ3' Δe 2 ss3 ss4 statA (nextN ss1) (nextN ss2) (sstatO'
  statO ss1 ss2)
    apply(rule oor3I1)
    using Δ1' pc unfolding ss cfg cfg1 cfg2 hh h1 h2 endPC apply(simp
add: Δ1'-defs)
    apply-apply(intro conjI)
    subgoal by (metis numeral-eq-enat)
    subgoal by (metis Nil-is-map-conv)
    subgoal by metis
    subgoal by metis
    subgoal unfolding sstatO'-def by simp
    subgoal using a1-0 by force
    subgoal unfolding a1-0 dist-def pc1 array-loc-def by simp
    subgoal by blast
    subgoal by (simp add: subset-insertI2)
    subgoal by (simp add: subset-insertI2) .
  qed
  subgoal apply(rule disjI1, rule exI[of - 1], rule conjI)
    subgoal using Δ1' unfolding ss Δ1'-defs apply clarify
      apply(erule disjE)
      subgoal premises p using p(1,47) unfolding endPC by (simp add:
one-enat-def)
      subgoal by (metis enat-ord-code(4) one-enat-def) .

```

```

unfolding proact-def proof(intro disjI2, intro conjI)
assume pc:pcOf cfg1 = 5

show  $\neg$  isSecV ss1 using  $\Delta 1'$  pc unfolding  $\Delta 1'$ -defs ss cfg by auto

show  $\neg$  isSecV ss2 using  $\Delta 1'$  pc unfolding  $\Delta 1'$ -defs ss cfg by auto

show Van.eqAct ss1 ss2 using  $\Delta 1'$  pc unfolding  $\Delta 1'$ -defs ss cfg Van.eqAct-def
by auto

show move-12 (oor3  $\Delta 1' \Delta 3' \Delta e$ ) 1 ss3 ss4 statA ss1 ss2 statO
unfolding move-12-def Let-def
proof (rule exI[of - nextN ss1], rule exI[of - nextN ss2], intro conjI)
show validTransV (ss1, nextN ss1)
using  $\Delta 1'$  pc unfolding validTransV-iff-nextN ss  $\Delta 1'$ -defs
by simp

show validTransV (ss2, nextN ss2)
using  $\Delta 1'$  pc unfolding validTransV-iff-nextN ss  $\Delta 1'$ -defs
by simp

show oor3  $\Delta 1' \Delta 3' \Delta e$  1 ss3 ss4 statA (nextN ss1) (nextN ss2) (sstatO'
statO ss1 ss2)
apply(rule oor3I1)
using  $\Delta 1'$  pc unfolding ss cfg cfg1 cfg2 hh h1 h2 endPC apply(simp
add:  $\Delta 1'$ -defs)
apply-apply(intro conjI)
subgoal by (metis One-nat-def one-enat-def)
subgoal by (metis Nil-is-map-conv)
subgoal by metis
subgoal by metis
subgoal unfolding sstatO'-def by simp
subgoal by (metis Suc-n-not-le-n eval-nat-numeral(3) nat-le-linear)
subgoal by (metis atThenOutput-def insert-compr less-or-eq-imp-le
mult.commute nat-numeral pc subset-insertI2)
subgoal by (simp add: subset-insertI2) .
qed
qed
subgoal proof(rule match12I, rule match12-simpleI, rule disjI2, intro conjI)
fix ss3' ss4' statA'
assume statA': statA' = sstatA' statA ss3 ss4
and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
and sa: Opt.eqAct ss3 ss4 and pc:pcOf cfg1 = 6
note v3 = v(1) note v4 = v(2)

obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
cfg3', cfgs3', ibT3', ibUT3', ls3')
by (cases ss3', auto)
obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',

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 $cfg4', cfgs4', ibT4', ibUT4', ls4'$ 
  by (cases ss4', auto)
  note ss = ss ss3' ss4'

show eqSec ss1 ss3
  using v sa  $\Delta 1'$  unfolding ss apply (simp add:  $\Delta 1'$ -defs)
  by (metis not-gr-zero not-numeral-le-zero zero-less-numeral)

show eqSec ss2 ss4
  using v sa  $\Delta 1'$  unfolding ss apply (simp add:  $\Delta 1'$ -defs)
  by (metis not-gr-zero not-numeral-le-zero zero-neq-numeral)

show Van.eqAct ss1 ss2
  using v sa  $\Delta 1'$  unfolding ss Van.eqAct-def
  apply (simp-all add:  $\Delta 1'$ -defs)
  by (metis  $\Delta 1'$   $\Delta 1'$ -implies ss)

show match12-12 (oor3  $\Delta 1'$   $\Delta 3'$   $\Delta e$ ) ss3' ss4' statA' ss1 ss2 statO
  unfolding match12-12-def
  proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
conjI impI)
  show validTransV (ss1, nextN ss1)
    by (simp add: f1 nextN-stepN)

  show validTransV (ss2, nextN ss2)
    by (simp add: f2 nextN-stepN)

have cfgs4:cfgs4 = [] using  $\Delta 1'$  unfolding ss  $\Delta 1'$ -defs by (clarify, metis
map-is-Nil-conv)

{assume sstat: statA' = Diff
show sstatO' statO ss1 ss2 = Diff
  using v sa  $\Delta 1'$  sstat pc unfolding ss cfg statA'
  apply(simp add:  $\Delta 1'$ -defs sstatO'-def sstatA'-def)
  apply(cases statO, simp-all) apply(cases statA, simp-all)
  using cfg finals ss apply (simp split: if-splits)
  unfolding dist-def by blast
} note stat = this

have pc4:pc4 = 6
  using v sa  $\Delta 1'$  pc unfolding ss cfg
  by (simp-all add:  $\Delta 1'$ -defs)

have notJump:¬is-IfJump (prog ! pcOf cfg3) using  $\Delta 1'$  pc unfolding ss
 $\Delta 1'$ -defs
  by(simp add:  $\Delta 1'$ -defs sstatO'-def sstatA'-def)

show (oor3  $\Delta 1'$   $\Delta 3'$   $\Delta e$ )  $\infty$  ss3' ss4' statA' (nextN ss1) (nextN ss2)

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(sstatO' statO ss1 ss2)

  using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
    case spec-normal
      then show ?thesis using sa  $\Delta 1'$  stat unfolding ss by (simp add:
 $\Delta 1'$ -defs)
    next
      case spec-mispred
        then show ?thesis using sa  $\Delta 1'$  stat unfolding ss by (simp add:
 $\Delta 1'$ -defs)
    next
      case spec-Fence
        then show ?thesis using sa  $\Delta 1'$  stat unfolding ss by (simp add:
 $\Delta 1'$ -defs)
    next
      case spec-resolve
        then show ?thesis using sa  $\Delta 1'$  stat unfolding ss by (simp add:
 $\Delta 1'$ -defs)
    next
      case nonspec-mispred
        then show ?thesis using notJump by auto
    next
      case nonspec-normal note nn3 = nonspec-normal
      show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

        case nonspec-mispred
          then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
        next
          case spec-normal
            then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
        next
          case spec-mispred
            then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
        next
          case spec-Fence
            then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
        next
          case spec-resolve
            then show ?thesis using sa  $\Delta 1'$  stat nn3 unfolding ss by (simp add:
 $\Delta 1'$ -defs)
        next
          case nonspec-normal note nn4 = nonspec-normal
          show ?thesis apply(rule oor3I3)
            using sa  $\Delta 1'$  stat pc pc4 v3 v4 nn3 config.sel(2) state.sel(2)
            unfolding ss cfg cfg1 cfg2 hh by(simp add: $\Delta 1'$ -defs  $\Delta e$ -defs)

```

```

qed
qed
qed
qed
using  $\Delta 1'$  unfolding ss by(simp add: $\Delta 1'$ -defs)
qed

lemma step3': unwindIntoCond  $\Delta 3'$  (oor  $\Delta 3' \Delta 1'$ )
proof(rule unwindIntoCond-simpleI)
fix n ss3 statA ss1 ss2 statO
assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
and  $\Delta 3'$ :  $\Delta 3' n ss3 ss4 statA ss1 ss2 statO$ 

obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
by (cases ss3, auto)
obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc1 vs1 avst1 h1 p1 where
cfg1: cfg1 = Config pc1 (State (Vstore vs1) avst1 h1 p1)
by (cases cfg1) (metis state.collapse vstore.collapse)
obtain pc2 vs2 avst2 h2 p2 where
cfg2: cfg2 = Config pc2 (State (Vstore vs2) avst2 h2 p2)
by (cases cfg2) (metis state.collapse vstore.collapse)
obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg1 cfg2 cfg3 cfg4

obtain lpc3 lvs3 lavst3 lh3 lp3 where
lcfgs3: last cfgs3 = Config lpc3 (State (Vstore lvs3) lavst3 lh3 lp3)
by (cases last cfgs3) (metis state.collapse vstore.collapse)
obtain lpc4 lvs4 lavst4 lh4 lp4 where
lcfgs4: last cfgs4 = Config lpc4 (State (Vstore lvs4) lavst4 lh4 lp4)
by (cases last cfgs4) (metis state.collapse vstore.collapse)
note lcfgs = lcfgs3 lcfgs4

obtain hh1 where h1: h1 = Heap hh1 by(cases h1, auto)

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obtain hh2 where h2: h2 = Heap hh2 by(cases h2, auto)

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
obtain lhh3 where lh3: lh3 = Heap lhh3 by(cases lh3, auto)
obtain lhh4 where lh4: lh4 = Heap lhh4 by(cases lh4, auto)
note hh = h3 h4 lh3 lh4 h1 h2

define a1-3 where a1-3:a1-3 = array-loc aa1 0 avst3
define a1-4 where a1-4:a1-4 = array-loc aa1 0 avst4
define a2-3 where a2-3:a2-3 = array-loc aa2 (nat (lvs3 vv * 512)) avst3
define a2-4 where a2-4:a2-4 = array-loc aa2 (nat (lvs4 vv * 512)) avst4

have butlast:butlast cfgs4 = []
  using Δ3' unfolding ss apply (simp add: Δ3'-defs)
  by (metis length-1-butlast length-map)

have h3-eq:hh3 = lhh3
  using cfg lcfgs hh Δ3' unfolding Δ3'-defs ss apply clarify
  using config.sel(2) getHheap.simps heap.sel last-in-set
  by metis

have h4-eq:hh4 = lhh4
  using cfg lcfgs hh Δ3' unfolding Δ3'-defs ss apply clarify
  using config.sel(2) getHheap.simps heap.sel last-in-set
  by (metis map-is-Nil-conv)

have f1:¬finalN ss1
  using Δ3' finalB-pc-iff' unfolding ss finalN-iff-finalB Δ3'-defs
  by simp

have f2:¬finalN ss2
  using Δ3' finalB-pc-iff' unfolding ss cfg finalN-iff-finalB Δ3'-defs
  by simp

have f3:¬finalS ss3
  using Δ3' unfolding ss apply-apply(frule Δ3'-implies)
  using finalS-cond-spec by (simp add: Δ3'-defs)

have f4:¬finalS ss4
  using Δ3' unfolding ss apply-apply(frule Δ3'-implies)
  using finalS-cond-spec apply (simp add: Δ3'-defs)
  by (metis length-map)

note finals = f1 f2 f3 f4

show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4

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using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor Δ3' Δ1') ss3 ss4 statA ss1 ss2 statO

unfolding react-def proof(intro conjI)

show match1 (oor Δ3' Δ1') ss3 ss4 statA ss1 ss2 statO
  unfolding match1-def by (simp add: finalS-def final-def)
show match2 (oor Δ3' Δ1') ss3 ss4 statA ss1 ss2 statO
  unfolding match2-def by (simp add: finalS-def final-def)
show match12 (oor Δ3' Δ1') ss3 ss4 statA ss1 ss2 statO
  using cases-thenBranch[of pcOf (last cfgs3)]
apply(elim disjE)
subgoal using Δ3' unfolding ss lcfgs Δ3'-defs
  by (clarify, metis atLeastAtMost-iff inThenBranch-def lcfgs3 le-antisym less-irrefl-nat
less-or-eq-imp-le startOfThenBranch-def)
subgoal
proof(rule match12-simpleI, rule disjI2, intro conjI)
fix ss3' ss4' statA'
assume statA': statA' = sstatA' statA ss3 ss4
  and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
  and sa: Opt.eqAct ss3 ss4
  and pc:pcOf (last cfgs3) = 4
note v3 = v(1) note v4 = v(2)

have pc2:pc2 = 4
  using Δ3' pc unfolding ss cfg unfolding Δ3'-defs
  apply clarify
  by (metis config.sel(1))

obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
cfg3', cfgs3', ibT3', ibUT3', ls3')
  by (cases ss3', auto)
obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
cfg4', cfgs4', ibT4', ibUT4', ls4')
  by (cases ss4', auto)
note ss = ss ss3' ss4'

show eqSec ss1 ss3
  using v sa Δ3' unfolding ss by (simp add: Δ3'-defs)

show eqSec ss2 ss4
  using v sa Δ3' unfolding ss by (simp add: Δ3'-defs)

show Van.eqAct ss1 ss2
  using v sa Δ3' unfolding ss Van.eqAct-def

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by (simp add: Δ3'-defs lessI less-or-eq-imp-le numeral-3-eq-3 pc)

show match12-12 (oor Δ3' Δ1') ss3' ss4' statA' ss1 ss2 statO
unfolding match12-12-def
proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
conjI impI)
show validTransV (ss1, nextN ss1)
by (simp add: f1 nextN-stepN)

show validTransV (ss2, nextN ss2)
by (simp add: f2 nextN-stepN)

{assume sstat: statA' = Diff
show sstatO' statO ss1 ss2 = Diff
using v sa Δ3' sstat unfolding ss cfg statA'
apply(simp add: Δ3'-defs sstatO'-def sstatA'-def)
apply(cases statO, simp-all) apply(cases statA, simp-all)
by (smt (z3) Nil-is-map-conv cfg finals ss status.distinct(1) new-
Stat.simps(1))
} note stat = this

show oor Δ3' Δ1' ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2) (sstatO'
statO ss1 ss2)
using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
case nonspec-mispred
then show ?thesis using sa Δ3' stat unfolding ss by (simp add:
Δ3'-defs)
next
case spec-mispred
then show ?thesis using sa Δ3' stat unfolding ss by (simp add:
Δ3'-defs)
next
case spec-Fence
then show ?thesis using sa Δ3' stat unfolding ss by (simp add:
Δ3'-defs)
next
case nonspec-normal
then show ?thesis using sa Δ3' stat unfolding ss by (simp add:
Δ3'-defs)
next
case spec-resolve
then show ?thesis using sa Δ3' stat pc unfolding ss apply (simp add:
Δ3'-defs)
by (metis last-ConsL last-map n-not-Suc-n numeral-2-eq-2 numeral-3-eq-3
numeral-eq-iff semiring-norm(87))

next
case spec-normal note sn3 = spec-normal

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show ?thesis
  using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case nonspec-mispred
    then show ?thesis using sa Δ3' stat sn3 unfolding ss by (simp add:
Δ3'-defs)
    next
    case spec-mispred
      then show ?thesis using sa Δ3' stat sn3 unfolding ss by (simp add:
Δ3'-defs)
    next
    case spec-Fence
      then show ?thesis using sa Δ3' stat sn3 unfolding ss by (simp add:
Δ3'-defs)
    next
    case spec-resolve
      then show ?thesis using sa Δ3' stat sn3 unfolding ss by (simp add:
Δ3'-defs)
    next
    case nonspec-normal note nn4 = nonspec-normal
      then show ?thesis using sa Δ3' stat sn3 unfolding ss by (simp add:
Δ3'-defs)
    next
    case spec-normal note sn4 = spec-normal
      then show ?thesis
        using Δ3' sn3 sn4 pc2 lcfgs h3-eq h4-eq hh stat a1-3 a1-4
        unfolding ss cfg
        apply simp
        apply(rule oorI1)
        apply (simp add: Δ3'-defs butlast )
        apply clar simp apply(intro conjI)
        subgoal by (smt (z3) config.sel(2) last-in-set state.sel(1) vstore.sel)
        subgoal by (smt (z3) config.sel(2) last-in-set state.sel(1) vstore.sel)
        subgoal unfolding array-loc-def by simp .
      qed
    qed
  qed
qed
subgoal proof(rule match12-simpleI, rule disjI2, intro conjI)
fix ss3' ss4' statA'
assume statA': statA' = sstatA' statA ss3 ss4
  and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
  and sa: Opt.eqAct ss3 ss4
  and pc:pcOf (last cfgs3) = 5
note v3 = v(1) note v4 = v(2)

have pc2:pc2 = 5
  using Δ3' Δ3'-implies pc unfolding ss cfg Δ3'-defs
  apply clarify by (smt (z3) config.sel(1))

```

```

obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
cfg3', cfgs3', ibT3', ibUT3', ls3')
  by (cases ss3', auto)
obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
cfg4', cfgs4', ibT4', ibUT4', ls4')
  by (cases ss4', auto)
note ss = ss ss3' ss4'

show eqSec ss1 ss3
  using v sa Δ3' unfolding ss by (simp add: Δ3'-defs pc)

show eqSec ss2 ss4
  using v sa Δ3' unfolding ss by (simp add: Δ3'-defs pc)

show Van.eqAct ss1 ss2
  using v sa Δ3' unfolding ss Van.eqAct-def
  by (simp add: Δ3'-defs pc)

show match12-12 (oor Δ3' Δ1') ss3' ss4' statA' ss1 ss2 statO
  unfolding match12-12-def
  proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
conjI impI)
  show validTransV (ss1, nextN ss1)
    by (simp add: f1 nextN-stepN)

  show validTransV (ss2, nextN ss2)
    by (simp add: f2 nextN-stepN)

{assume sstat: statA' = Diff
  show sstatO' statO ss1 ss2 = Diff
    using v sa Δ3' sstat unfolding ss cfg statA'
    apply(simp add: Δ3'-defs sstatO'-def sstatA'-def)
    apply(cases statO, simp-all) apply(cases statA, simp-all)
    by (smt (z3) Nil-is-map-conv cfg f3 f4 ss status.distinct(1) new-
Stat.simps(1))
} note stat = this

  show oor Δ3' Δ1' ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2) (sstatO'
statO ss1 ss2)
    using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
    case nonspec-mispred
      then show ?thesis using sa Δ3' stat unfolding ss by (simp add:
Δ3'-defs)
    next
    case spec-mispred
      then show ?thesis using sa Δ3' stat unfolding ss by (simp add:

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 $\Delta\beta'^{\text{-}}\text{defs})$ 
next
  case spec-Fence
    then show ?thesis using sa  $\Delta\beta'$  stat unfolding ss by (simp add:
 $\Delta\beta'^{\text{-}}\text{defs})$ 
next
  case nonspec-normal
    then show ?thesis using sa  $\Delta\beta'$  stat unfolding ss by (simp add:
 $\Delta\beta'^{\text{-}}\text{defs})$ 
next
  case spec-resolve
    then show ?thesis using sa  $\Delta\beta'$  stat pc unfolding ss apply (simp add:
 $\Delta\beta'^{\text{-}}\text{defs})$ 
by (metis last-ConsL last-map numeral-eq-iff semiring-norm(89)))

next
  case spec-normal note  $sn\beta = \text{spec-normal}$ 
  show ?thesis
    using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)
    case nonspec-mispred
    then show ?thesis using sa  $\Delta\beta'$  stat  $sn\beta$  unfolding ss by (simp add:
 $\Delta\beta'^{\text{-}}\text{defs})$ 
next
  case spec-mispred
  then show ?thesis using sa  $\Delta\beta'$  stat  $sn\beta$  unfolding ss by (simp add:
 $\Delta\beta'^{\text{-}}\text{defs})$ 
next
  case spec-Fence
  then show ?thesis using sa  $\Delta\beta'$  stat  $sn\beta$  unfolding ss by (simp add:
 $\Delta\beta'^{\text{-}}\text{defs})$ 
next
  case spec-resolve
  then show ?thesis using sa  $\Delta\beta'$  stat  $sn\beta$  unfolding ss by (simp add:
 $\Delta\beta'^{\text{-}}\text{defs})$ 
next
  case nonspec-normal note  $nn4 = \text{nonspec-normal}$ 
  then show ?thesis using sa  $\Delta\beta'$  stat  $sn\beta$  unfolding ss by (simp add:
 $\Delta\beta'^{\text{-}}\text{defs})$ 
next
  case spec-normal note  $sn4 = \text{spec-normal}$ 
  then show ?thesis
    using  $\Delta\beta' sn\beta sn4 pc2 lcfgs h3\text{-}eq h4\text{-}eq hh$  stat
    unfolding ss cfg a1-3 a1-4
    apply simp apply(rule oorI1)
    apply (simp add:  $\Delta\beta'^{\text{-}}\text{defs butlast}$ )
    apply clarsimp
    by (smt (z3) config.sel(2) last-in-set state.sel(1) vstore.sel)
qed
qed

```

```

qed
qed
subgoal proof(rule match12-simpleI, rule disjI1, intro conjI)
fix ss3' ss4' statA'
assume statA': statA' = sstatA' statA ss3 ss4
  and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
  and sa: Opt.eqAct ss3 ss4
  and pc:pcOf (last cfgs3) = 6
note v3 = v(1) note v4 = v(2)

obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
cfg3', cfgs3', ibT3', ibUT3', ls3')
  by (cases ss3', auto)
obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
cfg4', cfgs4', ibT4', ibUT4', ls4')
  by (cases ss4', auto)
note ss = ss ss3' ss4'

show ¬ isSecO ss3
  using v sa Δ3' unfolding ss by (simp add: Δ3'-defs)

show ¬ isSecO ss4
  using v sa Δ3' unfolding ss by (simp add: Δ3'-defs)

show stat: statA = statA' ∨ statO = Diff
  using v sa Δ3'
  unfolding ss statA' sstatA'-def
  apply(simp-all add: Δ3'-defs)
  apply (cases statA, simp-all)
  by (smt (verit, best) Nil-is-map-conv f3 f4 ss newStat.simps(1))

show oor Δ3' Δ1' ∞ ss3' ss4' statA' ss1 ss2 statO
  using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case nonspec-mispred
    then show ?thesis using sa Δ3' stat unfolding ss by (simp add:
Δ3'-defs)
  next
  case spec-mispred
    then show ?thesis using sa Δ3' stat unfolding ss by (simp add:
Δ3'-defs)
  next
  case spec-Fence
    then show ?thesis using sa Δ3' stat unfolding ss by (simp add:
Δ3'-defs)
  next
  case nonspec-normal
    then show ?thesis using sa Δ3' stat unfolding ss by (simp add:
Δ3'-defs)

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next
  case spec-normal note sn3 = spec-normal
    show ?thesis using sa Δ3' stat sn3 pc v3 unfolding ss by (simp add:
      Δ3'-defs)
    next

      case spec-resolve note sr3 = spec-resolve
        show ?thesis using v4[unfolded ss, simplified] proof(cases rule:
          stepS-cases)
          case nonspec-mispred
            then show ?thesis using sa Δ3' stat sr3 unfolding ss by (simp add:
              Δ3'-defs)
            next
              case spec-mispred
                then show ?thesis using sa Δ3' stat sr3 unfolding ss by (simp add:
                  Δ3'-defs)
                next
                  case spec-Fence
                    then show ?thesis using sa Δ3' stat sr3 unfolding ss by (simp add:
                      Δ3'-defs)
                    next
                      case nonspec-normal
                        then show ?thesis using sa Δ3' stat sr3 unfolding ss by (simp add:
                          Δ3'-defs)
                        next
                          case spec-normal
                            then show ?thesis using sa Δ3' stat sr3 unfolding ss by (simp add:
                              Δ3'-defs)
                            next
                              case spec-resolve note sr4 = spec-resolve
                                then show ?thesis
                                  using Δ3' sr3 sr4 lcfgs hh stat a2-3 a2-4
                                    butlast array-locBase le-refl
                                  unfolding ss cfg
                                  apply simp
                                  apply(rule oorI2)
                                  apply (simp add: Δ3'-defs Δ1'-defs, intro conjI, metis)
                                  apply meson apply meson apply blast by meson
                                  qed
                                qed
                              qed
                            subgoal using Δ3' unfolding ss lcfgs Δ3'-defs
                              by (simp add: avstoreOf.cases elseBranch-def lcfgs3) .
                            qed
                          qed

```

**lemma** stepE: unwindIntoCond Δe Δe  
**proof**(rule unwindIntoCond-simpleI)

```

fix n ss3 ss4 statA ss1 ss2 statO
assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
    and Δe: Δe n ss3 ss4 statA ss1 ss2 statO

obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
    by (cases ss3, auto)
obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
    by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
    by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
    by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
    cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
    by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
    cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
    by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
    using Δe Opt.final-def Prog.endPC-def finalS-def stepS-endPC
    unfolding Δe-defs ss by clarsimp

then show isIntO ss3 = isIntO ss4 by simp

show react Δe ss3 ss4 statA ss1 ss2 statO
    unfolding react-def proof(intro conjI)

    show match1 Δe ss3 ss4 statA ss1 ss2 statO
        unfolding match1-def by (simp add: finalS-def final-def)
    show match2 Δe ss3 ss4 statA ss1 ss2 statO
        unfolding match2-def by (simp add: finalS-def final-def)
    show match12 Δe ss3 ss4 statA ss1 ss2 statO
        apply(rule match12-simpleI)
        using Δe stepS-endPC unfolding ss
        by (simp add: Δe-defs)
    qed
qed

```

```

lemmas theConds = step0 step1 step2
           step1' step3' stepe

proposition rsecure
proof-
  define m where m: m ≡ (6::nat)
  define Δs where Δs: Δs ≡ λi::nat.
  if i = 0 then Δ0
  else if i = 1 then Δ1
  else if i = 2 then Δ2
  else if i = 3 then Δ1'
  else if i = 4 then Δ3'
  else Δe
  define nxt where nxt: nxt ≡ λi::nat.
  if i = 0 then {0,1,3::nat}
  else if i = 1 then {1,2,5}
  else if i = 2 then {1}
  else if i = 3 then {3,4,5}
  else if i = 4 then {4,3}
  else {5}
  show ?thesis apply(rule distrib-unwind-rsecure[of m nxt Δs])
    subgoal unfolding m by auto
    subgoal unfolding nxt m by auto
    subgoal using init unfolding Δs by auto
    subgoal
      unfolding m nxt Δs apply (simp split: if-splits)
      using theConds
      unfolding oor-def oor3-def oor4-def by auto .
qed
end
end

```

## 12 Proof of Relative Security for fun5

```

theory Fun5
imports ..../Instance-IMP/Instance-Secret-IMem
Relative-Security.Unwinding
begin

```

### 12.1 Function definition and Boilerplate

```

no-notation bot (⊥)
consts NN :: nat
consts SS :: nat
lemma NN: int NN ≥ 0 and SS: int SS≥0 by auto

definition aa1 :: avname where aa1 = "a1"
definition aa2 :: avname where aa2 = "a2"

```

```

definition vv :: avname where vv = "v"
definition xx :: avname where xx = "x"
definition tt :: avname where tt = "y"
definition temp :: avname where temp = "temp"

lemmas vvars-defs = aa1-def aa2-def vv-def xx-def tt-def temp-def

lemma vvars-dff[simp]:
aa1 ≠ aa2 aa1 ≠ vv aa1 ≠ xx aa1 ≠ temp aa1 ≠ tt
aa2 ≠ aa1 aa2 ≠ vv aa2 ≠ xx aa2 ≠ temp aa2 ≠ tt
vv ≠ aa1 vv ≠ aa2 vv ≠ xx vv ≠ temp vv ≠ tt
xx ≠ aa1 xx ≠ aa2 xx ≠ vv xx ≠ temp xx ≠ tt
tt ≠ aa1 tt ≠ aa2 tt ≠ vv tt ≠ temp tt ≠ xx
temp ≠ aa1 temp ≠ aa2 temp ≠ vv temp ≠ xx temp ≠ tt
unfolding vvars-defs by auto

consts size-aa1 :: nat
consts size-aa2 :: nat

fun initAvstore :: avstore ⇒ bool where
initAvstore (Avstore as) = (as aa1 = (0, size-aa1) ∧ as aa2 = (size-aa1, size-aa2))

fun istate :: state ⇒ bool where
istate s = (initAvstore (getAvstore s))

definition prog ≡
[
  // Start ,
  // tt ::= (N 0),
  // xx ::= (N 1),
  // IfJump (Not (Eq (V xx) (N 0))) 4 11 ,
  // Input U xx ,
  // IfJump (Less (V xx) (N NN)) 6 10 ,
  // vv ::= VA aa1 (V xx) ,
  // Fence ,
  // tt ::= (VA aa2 (Times (V vv) (N SS))) ,
  // Output U (V tt) ,
  // Jump 3,
  // Output U (N 0)
]

definition PC ≡ {0..11}

definition beforeWhile = {0,1,2}
definition inWhile = {3..11}
definition startOfWhileThen = 4

```



```

lemma xx-NN-cases: vs xx < int NN ∨ vs xx ≥ int NN by auto

lemma is-IfJump-pcOf[simp]:
pcOf cfg < 12 ==> is-IfJump (prog ! (pcOf cfg)) <=> pcOf cfg = 3 ∨ pcOf cfg = 5
apply(cases cfg) subgoal for pc s using cases-12[of pcOf cfg ]
by (auto simp: prog-def) .

lemma is-IfJump-pc[simp]:
pc < 12 ==> is-IfJump (prog ! pc) <=> pc = 3 ∨ pc = 5
using cases-12[of pc]
by (auto simp: prog-def)

lemma eq-Fence-pc[simp]:
pc < 12 ==> prog ! pc = Fence <=> pc = 7
using cases-12[of pc]
by (auto simp: prog-def)

lemma output1[simp]:
prog ! 9 = Output U (V tt) by(simp add: prog-def)
lemma output2[simp]:
prog ! 11 = Output U (N 0) by(simp add: prog-def)
lemma is-if[simp]:is-IfJump (prog ! 3) by(simp add: prog-def)

lemma is-nif1[simp]:¬is-IfJump (prog ! 6) by(simp add: prog-def)
lemma is-nif2[simp]:¬is-IfJump (prog ! 7) by(simp add: prog-def)

lemma is-nin1[simp]:¬ is-getInput (prog ! 6) by(simp add: prog-def)
lemma is-nout1[simp]:¬ is-Output (prog ! 6) by(simp add: prog-def)
lemma is-nin2[simp]:¬ is-getInput (prog ! 10) by(simp add: prog-def)
lemma is-nout2[simp]:¬ is-Output (prog ! 10) by(simp add: prog-def)

lemma fence[simp]:prog ! 7 = Fence by(simp add: prog-def)

lemma nfence[simp]:prog ! 6 ≠ Fence by(simp add: prog-def)

consts mispred :: predState ⇒ pcounter list ⇒ bool
fun resolve :: predState ⇒ pcounter list ⇒ bool where
  resolve p pc =
  (if (set pc = {4,11} ∨ (6 ∈ set pc ∧ (4 ∈ set pc ∨ 11 ∈ set pc)))
   then True else False)

lemma resolve-63: ¬resolve p [6,3] by auto
lemma resolve-64: resolve p [6,4] by auto
lemma resolve-611: resolve p [6,11] by auto
lemma resolve-106: ¬resolve p [10,6] by auto

consts update :: predState ⇒ pcounter list ⇒ predState
consts initPstate :: predState

```

```

interpretation Prog-Mispred-Init where
  prog = prog and initPstate = initPstate and
  mispred = mispred and resolve = resolve and update = update and
  istate = istate
  by (standard, simp add: prog-def)

```

**abbreviation**

```

stepB-abbrev :: config × val llist × val llist ⇒ config × val llist × val llist ⇒
bool (infix ←→B 55)
where x →B y == stepB x y

```

**abbreviation**

```

stepsB-abbrev :: config × val llist × val llist ⇒ config × val llist × val llist ⇒
bool (infix ←→B* 55)
where x →B* y == star stepB x y

```

**abbreviation**

```

stepM-abbrev :: config × val llist × val llist ⇒ config × val llist × val llist ⇒
bool (infix ←→MM 55)
where x →MM y == stepM x y

```

**abbreviation**

```

stepN-abbrev :: config × val llist × val llist × loc set ⇒ config × val llist × val
llist × loc set ⇒ bool (infix ←→N 55)
where x →N y == stepN x y

```

**abbreviation**

```

stepsN-abbrev :: config × val llist × val llist × loc set ⇒ config × val llist × val
llist × loc set ⇒ bool (infix ←→N* 55)
where x →N* y == star stepN x y

```

**abbreviation**

```

stepS-abbrev :: configS ⇒ configS ⇒ bool (infix ←→S 55)
where x →S y == stepS x y

```

**abbreviation**

```

stepsS-abbrev :: configS ⇒ configS ⇒ bool (infix ←→S* 55)
where x →S* y == star stepS x y

```

```

lemma endPC[simp]: endPC = 12
unfolding endPC-def unfolding prog-def by auto

```

```

lemma is-getInput- $pcOf$ [simp]:  $pcOf\ cfg < 12 \implies is getInput\ (prog!(pcOf\ cfg))$   

 $\longleftrightarrow pcOf\ cfg = 4$   

using cases-12[of  $pcOf\ cfg$ ] by (auto simp: prog-def)  

  

lemma getUntrustedInput- $pcOf$ [simp]:  $prog!4 = Input\ U\ xx$   

by (auto simp: prog-def)  

  

lemma getInput-not6[simp]:  $\neg is getInput\ (prog ! 6)$  by (auto simp: prog-def)  

lemma getInput-not7[simp]:  $\neg is getInput\ (prog ! 7)$  by (auto simp: prog-def)  

lemma getInput-not10[simp]:  $\neg is getInput\ (prog ! 10)$  by (auto simp: prog-def)  

  

lemma is-Output- $pcOf$ [simp]:  $pcOf\ cfg < 12 \implies is Output\ (prog!(pcOf\ cfg)) \longleftrightarrow$   

 $(pcOf\ cfg = 9 \vee pcOf\ cfg = 11)$   

using cases-12[of  $pcOf\ cfg$ ] by (auto simp: prog-def)  

  

lemma is-Output: is-Output ( $prog ! 9$ )  

unfolding is-Output-def prog-def by auto  

lemma is-Output-1: is-Output ( $prog ! 11$ )  

unfolding is-Output-def prog-def by auto  

  

lemma isSecV- $pcOf$ [simp]:  

isSecV ( $cfg, ibT, ibUT$ )  $\longleftrightarrow pcOf\ cfg = 0$   

using isSecV-def by simp  

  

lemma isSecO- $pcOf$ [simp]:  

isSecO ( $pstate, cfg, cfgs, ibT, ibUT, ls$ )  $\longleftrightarrow (pcOf\ cfg = 0 \wedge cfgs = [])$   

using isSecO-def by simp  

  

  

lemma getInputT-not[simp]:  $pcOf\ cfg < 12 \implies$   

 $(prog ! pcOf\ cfg) \neq Input\ T\ inp$   

apply(cases cfg) subgoal for  $pc\ s$  using cases-12[of  $pcOf\ cfg$  ]  

by (auto simp: prog-def) .  

  

lemma getActV- $pcOf$ [simp]:  

 $pcOf\ cfg < 12 \implies$   

 $getActV\ (cfg, ibT, ibUT, ls) =$   

 $(if pcOf\ cfg = 4 \text{ then } lhd\ ibUT \text{ else } \perp)$   

apply(subst getActV-simps) unfolding prog-def  

apply simp  

using getActV-simps  

using cases-12[of  $pcOf\ cfg$  ]  

by auto  

  

lemma getObsV- $pcOf$ [simp]:

```

```

pcOf cfg < 12 ==>
  getObsV (cfg,ibT,ibUT,ls) =
    (if pcOf cfg = 9 ∨ pcOf cfg = 11 then
      (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
    else ⊥
    )
  apply(subst getObsV-simps)
  unfolding prog-def apply simp
  using getObsV-simps not-is-Output-getObsV is-Output-pcOf prog-def
  One-nat-def by presburger

lemma getActO-pcOf[simp]:
pcOf cfg < 12 ==>
  getActO (pstate,cfg,cfgs,ibT,ibUT,ls) =
    (if pcOf cfg = 4 ∧ cfgs = [] then lhd ibUT else ⊥)
  apply(subst getActO-simps)
  apply(cases cfs, auto)
  unfolding prog-def
  apply(cases pcOf cfg = 4, auto)
  using getActV-simps getActV-pcOf prog-def by simp

lemma getObsO-pcOf[simp]:
pcOf cfg < 12 ==>
  getObsO (pstate,cfg,cfgs,ibT,ibUT,ls) =
    (if (pcOf cfg = 9 ∨ pcOf cfg = 11) ∧ cfs = [] then
      (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
    else ⊥
    )
  apply(subst getObsO-simps)
  apply(cases cfs, auto)
  unfolding prog-def
  using getObsV-simps is-Output-pcOf not-is-Output-getObsV prog-def
  One-nat-def by presburger

lemma eqSec-pcOf[simp]:
eqSec (cfg1, ibT1,ibUT1, ls1) (pstate3, cfg3, cfs3, ibT3,ibUT3, ls3) <=>
  (pcOf cfg1 = 0 <=> pcOf cfg3 = 0 ∧ cfs3 = []) ∧
  (pcOf cfg1 = 0 → stateOf cfg1 = stateOf cfg3)
  unfolding eqSec-def by simp

lemma getActInput:pc4 = 4 ==> pc3 = 4 ==> cfs3 = [] ==> cfs4 = [] ==>
  getActO (pstate3, Config pc3 (State (Vstore vs3) avst3 h3 p3), [], ibT3,ibUT3,
  ls3) =
    getActO (pstate4, Config pc4 (State (Vstore vs4) avst4 h4 p4), [], ibT4,ibUT4,
  ls4)
  ==> lhd ibUT3 = lhd ibUT4

```

```
using getActO-pcOf zero-less-numeral by auto
```

```
lemma nextB-pc0[simp]:
nextB (Config 0 s, ibT,ibUT) =
(Config 1 s, ibT,ibUT)
apply(subst nextB-Start-Skip-Fence)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc0[simp]:
readLocs (Config 0 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc1[simp]:
nextB (Config 1 (State (Vstore vs) avst hh p), ibT,ibUT) =
((Config 2 (State (Vstore (vs(tt := 0))) avst hh p)), ibT,ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma nextB-pc1'[simp]:
nextB (Config (Suc 0) (State (Vstore vs) avst hh p), ibT,ibUT) =
((Config 2 (State (Vstore (vs(tt := 0))) avst hh p)), ibT,ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc1[simp]:
readLocs (Config 1 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma readLocs-pc1'[simp]:
readLocs (Config (Suc 0) s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc2[simp]:
nextB (Config 2 (State (Vstore vs) avst hh p), ibT,ibUT) =
((Config 3 (State (Vstore (vs(xx := 1))) avst hh p)), ibT,ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc2[simp]:
readLocs (Config 2 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto
```

```

lemma nextB-pc3-then[simp]:
 $vs\ xx \neq 0 \implies$ 
 $nextB\ (Config\ 3\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT) =$ 
 $(Config\ 4\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT)$ 
apply(subst nextB-IfTrue)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextB-pc3-else[simp]:
 $vs\ xx = 0 \implies$ 
 $nextB\ (Config\ 3\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT) =$ 
 $(Config\ 11\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT)$ 
apply(subst nextB-IfFalse)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextB-pc3:
 $nextB\ (Config\ 3\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT) =$ 
 $(Config\ (if\ vs\ xx \neq 0\ then\ 4\ else\ 11)\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT)$ 
by(cases vs xx = 0, auto)

lemma readLocs-pc3[simp]:
 $readLocs\ (Config\ 3\ s) = \{\}$ 
unfolding endPC-def readLocs-def unfolding prog-def Eq-def by auto

lemma nextM-pc3-then[simp]:
 $vs\ xx = 0 \implies$ 
 $nextM\ (Config\ 3\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT) =$ 
 $(Config\ 4\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT)$ 
apply(subst nextM-IfTrue)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextM-pc3-else[simp]:
 $vs\ xx \neq 0 \implies$ 
 $nextM\ (Config\ 3\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT) =$ 
 $(Config\ 11\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT)$ 
apply(subst nextM-IfFalse)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextM-pc3:
 $nextM\ (Config\ 3\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT) =$ 
 $(Config\ (if\ vs\ xx \neq 0\ then\ 11\ else\ 4)\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT)$ 
by(cases vs xx = 0, auto)

lemma nextB-pc4[simp]:
 $ibUT \neq LNil \implies nextB\ (Config\ 4\ (State\ (Vstore\ vs)\ avst\ hh\ p),\ ibT,ibUT) =$ 
 $(Config\ 5\ (State\ (Vstore\ (vs(xx := lhd\ ibUT)))\ avst\ hh\ p),\ ibT, ltl\ ibUT)$ 
apply(subst nextB-getUntrustedInput')

```

```

unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc4[simp]:
readLocs (Config 4 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc5-then[simp]:
vs xx < int NN ==>
nextB (Config 5 (State (Vstore vs) avst hh p), ibT,ibUT) =
(Config 6 (State (Vstore vs) avst hh p), ibT,ibUT)
apply(subst nextB-IfTrue)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextB-pc5-else[simp]:
vs xx ≥ int NN ==>
nextB (Config 5 (State (Vstore vs) avst hh p), ibT,ibUT) =
(Config 10 (State (Vstore vs) avst hh p), ibT,ibUT)
apply(subst nextB-IfFalse)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextB-pc5:
nextB (Config 5 (State (Vstore vs) avst hh p), ibT,ibUT) =
(Config (if vs xx < NN then 6 else 10) (State (Vstore vs) avst hh p), ibT,ibUT)
by(cases vs xx < NN, auto)

lemma readLocs-pc5[simp]:
readLocs (Config 5 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def Eq-def by auto

lemma nextM-pc5-then[simp]:
vs xx ≥ int NN ==>
nextM (Config 5 (State (Vstore vs) avst hh p), ibT,ibUT) =
(Config 6 (State (Vstore vs) avst hh p), ibT,ibUT)
apply(subst nextM-IfTrue)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextM-pc5-else[simp]:
vs xx < int NN ==>
nextM (Config 5 (State (Vstore vs) avst hh p), ibT,ibUT) =
(Config 10 (State (Vstore vs) avst hh p), ibT,ibUT)
apply(subst nextM-IfFalse)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextM-pc5:
nextM (Config 5 (State (Vstore vs) avst hh p), ibT,ibUT) =
(Config (if vs xx < NN then 10 else 6) (State (Vstore vs) avst hh p), ibT,ibUT)
by(cases vs xx < NN, auto)

```

```

lemma nextB-pc6[simp]:
nextB (Config 6 (State (Vstore vs) avst (Heap hh) p), ibT,ibUT) =
  (let l = array-loc aa1 (nat (vs xx)) avst
   in (Config 7 (State (Vstore (vs(vv := hh l))) avst (Heap hh) p)), ibT,ibUT)
  apply(subst nextB-Assign)
  unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc6[simp]:
readLocs (Config 6 (State (Vstore vs) avst hh p)) = {array-loc aa1 (nat (vs xx))
avst}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc7[simp]:
nextB (Config 7 s, ibT,ibUT) = (Config 8 s, ibT,ibUT)
apply(subst nextB-Start-Skip-Fence)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc7[simp]:
readLocs (Config 7 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc8[simp]:
nextB (Config 8 (State (Vstore vs) avst (Heap hh) p), ibT,ibUT) =
  (let l = array-loc aa2 (nat (vs vv * SS)) avst
   in (Config 9 (State (Vstore (vs(tt := hh l))) avst (Heap hh) p)), ibT,ibUT)
  apply(subst nextB-Assign)
  unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc8[simp]:
readLocs (Config 8 (State (Vstore vs) avst hh p)) = {array-loc aa2 (nat (vs vv *
SS)) avst}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc9[simp]:
nextB (Config 9 s, ibT,ibUT) = (Config 10 s, ibT,ibUT)
apply(subst nextB-Output)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc9[simp]:

```

```

readLocs (Config 9 s) = {}
unfolding endPC-def readLocs-def unfoldings prog-def by auto

```

```

lemma nextB-pc10[simp]:
nextB (Config 10 s, ibT,ibUT) = (Config 3 s, ibT,ibUT)
apply(subst nextB-Jump)
unfolding endPC-def unfoldings prog-def by auto

```

```

lemma readLocs-pc10[simp]:
readLocs (Config 10 s) = {}
unfolding endPC-def readLocs-def unfoldings prog-def by auto

```

```

lemma nextB-pc11[simp]:
nextB (Config 11 s, ibT,ibUT) =
(Config 12 s, ibT,ibUT)
apply(subst nextB-Output)
unfolding endPC-def unfoldings prog-def by auto

```

```

lemma readLocs-pc11[simp]:
readLocs (Config 11 s) = {}
unfolding endPC-def readLocs-def unfoldings prog-def by auto

```

```

lemma map-L1:length cfgs = Suc 0  $\implies$ 
pcOf (last cfgs) = y  $\implies$  map pcOf cfgs = [y]
by (smt (verit,del-insts) Suc-length-conv cfgs-map last.simps
length-0-conv map-eq-Cons-conv nth-Cons-0 numeral-2-eq-2)

```

```

lemma map-L2:length cfgs = 2  $\implies$ 
pcOf (cfgs ! 0) = x  $\implies$ 
pcOf (last cfgs) = y  $\implies$  map pcOf cfgs = [x,y]
by (smt (verit) Suc-length-conv cfgs-map last.simps
length-0-conv map-eq-Cons-conv nth-Cons-0 numeral-2-eq-2)

```

```

lemma length cfgs = 2  $\implies$  (cfgs ! 0) = last (butlast cfgs)
by (cases cfgs, auto)

```

```

lemma nextB-stepB-pc:
pc < 12  $\implies$  (pc = 4  $\longrightarrow$  ibUT  $\neq$  LNil)  $\implies$ 
(Config pc s, ibT,ibUT)  $\rightarrow_B$  nextB (Config pc s, ibT,ibUT)
apply(cases s) subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h
using cases-12[of pc] apply safe
subgoal apply simp apply(subst stepB.simps) unfoldings endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfoldings endPC-def

```

```

by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)

subgoal apply(cases vs xx = 0)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def Eq-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def Eq-def, auto) .
subgoal apply(cases vs xx = 0)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def Eq-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def Eq-def, auto) .
subgoal apply(cases vs xx = 0)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def Eq-def, metis llist.exhaustsel)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def Eq-def, metis llist.exhaustsel) .
subgoal apply(cases vs xx < NN)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def Eq-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def Eq-def) .

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  apply (simp add: prog-def)
  using nextB-pc5 prog-def by presburger
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)

```

**by** (*simp add: prog-def*)

```

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def)
subgoal by simp apply(subst stepB.simps) unfolding endPC-def
  by (simp add: prog-def) .

```

**lemma** *not-finalB*:  
 $pc < 12 \implies (pc = 4 \longrightarrow ibUT \neq LNil) \implies$   
 $\neg finalB(Config pc s, ibT, ibUT)$   
**using** *nextB-stepB-pc* **by** (*simp add: stepB-iff-nextB*)

**lemma** *finalB-pc-iff'*:  
 $pc < 12 \implies$   
 $finalB(Config pc s, ibT, ibUT) \longleftrightarrow$   
 $(pc = 4 \wedge ibUT = LNil)$   
**subgoal apply** *safe*  
**subgoal using** *nextB-stepB-pc[of pc]* **by** (*auto simp add: stepB-iff-nextB*)  
**subgoal using** *nextB-stepB-pc[of pc]* **by** (*auto simp add: stepB-iff-nextB*)  
**subgoal using** *finalB-iff getUntrustedInput-pcOf* **by** *auto* ..

**lemma** *finalB-pc-iff*:  
 $pc \leq 12 \implies$   
 $finalB(Config pc s, ibT, ibUT) \longleftrightarrow$   
 $(pc = 12 \vee pc = 4 \wedge ibUT = LNil)$   
**using** *Prog.finalB-iff endPC finalB-pc-iff' order-le-less finalB-iff*  
**by** *metis*

**lemma** *finalB-pcOf-iff[simp]*:  
 $pcOf cfg \leq 12 \implies$   
 $finalB(cfg, ibT, ibUT) \longleftrightarrow (pcOf cfg = 12 \vee pcOf cfg = 4 \wedge ibUT = LNil)$   
**by** (*metis config.collapse finalB-pc-iff*)

```

lemma finalS-cond:pcOf cfg < 12 ==> noMisSpec cfgs ==> ibUT != LNil ==> ¬
finalS (pstate, cfg, cfgs, ibT, ibUT, ls)
  apply(cases cfg)
    subgoal for pc s apply(cases s)
      subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
        subgoal for vs as h
          using cases-12[of pc] apply(elim disjE) unfolding finalS-defs noMisSpec-def
          subgoal using nonspec-normal[] Config pc (State (Vstore vs) avst hh p)
            pstate pstate ibT ibUT
            Config 1 (State (Vstore vs) avst hh p)
            ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
            vs) avst hh p)) ls]
          using is-IfJump-pc by force

          subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
          hh p)]
            pstate pstate ibT ibUT
            Config 2 (State (Vstore (vs(tt:= 0))) avst hh p)
            ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
            vs) avst hh p)) ls])
          prefer 7 subgoal by metis by simp-all

          subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
          hh p)]
            pstate pstate ibT ibUT
            Config 3 (State (Vstore (vs(xx:= 1))) avst hh p)
            ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
            vs) avst hh p)) ls])
          prefer 7 subgoal by metis by simp-all

          subgoal apply(cases mispred pstate [3])
            subgoal apply(frule nonspec-mispred[of cfgs Config pc (State (Vstore vs) avst
            hh p)]
              pstate update pstate [pcOf (Config pc (State
              (Vstore vs) avst hh p))]
              ibT ibUT Config (if vs xx ≠ 0 then 4 else 11)
              ibT ibUT Config (if vs xx ≠ 0 then 11 else 4)
              ibT ibUT [Config (if vs xx ≠ 0 then 11 else 4)
              (State (Vstore vs) avst hh p)]
              ls ∪ readLocs (Config pc (State (Vstore vs)
              avst hh p)) ls])
            prefer 9 subgoal by metis by (simp add: finalM-iff)+

          subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst

```

```

hh p)
      pstate pstate ibT ibUT
      Config (if vs xx ≠ 0 then 4 else 11) (State (Vstore vs)
avst hh p)
      ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
vs) avst hh p)) ls])
      prefer 7 subgoal by metis by simp-all .

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)
      pstate pstate ibT ibUT
      Config 5 (State (Vstore (vs(xx:= lhd ibUT))) avst hh
p)
      ibT ltl ibUT [] ls ∪ readLocs (Config pc (State (Vstore
vs) avst hh p)) ls])
      prefer 7 subgoal by metis by simp-all

subgoal apply(cases mispred pstate [5])
      subgoal apply(frule nonspec-mispred[of cfgs Config pc (State (Vstore vs) avst
hh p)
      pstate update pstate [pcOf (Config pc (State
(Vstore vs) avst hh p))]

      ibT ibUT Config (if vs xx < NN then 6 else
10) (State (Vstore vs) avst hh p)
      ibT ibUT Config (if vs xx < NN then 10 else
6) (State (Vstore vs) avst hh p)
      ibT ibUT [Config (if vs xx < NN then 10 else
6) (State (Vstore vs) avst hh p)]
      ls ∪ readLocs (Config pc (State (Vstore vs)
avst hh p)) ls])
      prefer 9 subgoal by metis by (simp add: finalM-iff)+

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)
      pstate pstate ibT ibUT
      Config (if vs xx < NN then 6 else 10) (State (Vstore
vs) avst hh p)
      ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
vs) avst hh p)) ls])
      prefer 7 subgoal by metis by simp-all .

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)
      pstate pstate ibT ibUT
      (let l = (array-loc aa1 (nat (vs xx)) (Avstore as))
      in (Config 7 (State (Vstore (vs(vv := h l))) avst hh p)))
      ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore vs) avst
hh p)) ls])
      prefer 7 subgoal by metis by simp-all

```

```

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p))
  pstate pstate ibT ibUT
  Config 8 (State (Vstore vs) avst hh p)
  ibT ibUT [] ls ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p))
  pstate pstate ibT ibUT
  (let l = (array-loc aa2 (nat (vs vv * SS)) (Avstore as))
    in (Config 9 (State (Vstore (vs(tt := h l))) avst hh p)))
  ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore vs) avst hh p)) ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p))
  pstate pstate ibT ibUT
  Config 10 (State (Vstore vs) avst hh p)
  ibT ibUT [] ls ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p))
  pstate pstate ibT ibUT
  Config 3 (State (Vstore vs) avst hh p)
  ibT ibUT [] ls ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p))
  pstate pstate ibT ibUT
  Config 12 (State (Vstore vs) avst hh p)
  ibT ibUT [] ls ls])
prefer 7 subgoal by metis by simp-all
by simp-all . . .

lemma finalS-cond':pcOf cfg < 12  $\implies$  cfgs = []  $\implies$  ibUT ≠ LNil  $\implies$   $\neg \text{finalS}$   

(pstate, cfg, cfgs, ibT, ibUT, ls)  

using finalS-cond by (simp add: noMisSpec-def)

lemma finalS-while-spec:  

whileSpeculation cfg (last cfgs)  $\implies$   

length cfgs = Suc 0  $\implies$   

 $\neg \text{finalS}$  (pstate, cfg, cfgs, ibT, ibUT, ls)  

apply (unfold whileSpec-defs, cases cfg)  

subgoal for pc s apply (cases s)

```

```

subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
  subgoal for vs as h
    apply(elim disjE, elim conjE) unfolding finalS-defs
      subgoal using stepS-spec-resolve-iff[of cfgs pstate cfg ibT ibUT ls update
      pstate (pcOf cfg # map pcOf cfgs)]
        by (metis (no-types, lifting) cfgs-map empty-set insert-commute less-numeral-extra(3)

          resolve.simps list.simps(15) list.size(3) numeral-2-eq-2 pos2)
        subgoal apply(elim conjE)
          using spec-resolve[of cfgs pstate cfg update pstate (pcOf cfg # map pcOf
          cfgs) cfg [] ibT ibT ibUT ibUT ls ls]
            by (metis (no-types, lifting) empty-set insert-commute last-ConsL
            resolve.simps
              length-0-conv length-1-butlast length-Suc-conv list.simps(9,8,15)) . .
            .
            .

lemma finalS-while-spec-L2:
  pcOf cfg = 6  $\implies$ 
    whileSpeculation (cfgs!0) (last cfgs)  $\implies$ 
    length cfgs = 2  $\implies$ 
     $\neg$  finalS (pstate, cfg, cfgs, ibT, ibUT, ls)
  apply(unfold whileSpec-defs, cases cfg)
    subgoal for pc s apply(cases s)
    subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
      subgoal for vs as h
        apply(elim disjE, elim conjE) unfolding finalS-defs
          subgoal using stepS-spec-resolve-iff[of cfgs pstate cfg ibT ibUT ls update
          pstate (pcOf cfg # map pcOf cfgs)]
            unfolding resolve.simps
            using list.set-intros(1,2) map-L2 zero-neq-numeral
            by fastforce
          subgoal apply(elim conjE)
            using spec-resolve
            unfolding resolve.simps
            using list.set-intros(1,2) map-L2 zero-neq-numeral
            by (metis (no-types, lifting) Prog-Mispred.spec-resolve Prog-Mispred-axioms
            list.size(3))
            .
            .

lemma finalS-if-spec:
  (pcOf (last cfgs)  $\in$  inThenIfBeforeFence  $\wedge$  pcOf cfg = 10)  $\vee$ 
  (pcOf (last cfgs)  $\in$  inElseIf  $\wedge$  pcOf cfg = 6)  $\implies$ 
  length cfgs = Suc 0  $\implies$ 
   $\neg$  finalS (pstate, cfg, cfgs, ibT, ibUT, ls)
  unfolding inThenIfBeforeFence-def inElseIf-def
  apply(simp,cases last cfgs)
  subgoal for pc s apply(cases s)
  subgoal for vst avst hh p apply(cases vst, cases hh)
    subgoal for vs h

```

```

apply(elim disjE, elim conjE) unfolding finalS-defs
subgoal apply(elim disjE)
  subgoal apply(rule notI,
    erule allE[of - (pstate, cfg,
      [Config 7 (State (Vstore (vs(vv := h (array-loc aa1 (nat (vs
      xx)) avst)))) avst hh p)],
      ibT,ibUT,ls ∪ readLocs (last cfgs))])
    by(erule notE, rule spec-normal[of - - - - -Config 7 (State (Vstore (vs(vv
    := h (array-loc aa1 (nat (vs xx)) avst)))) avst hh p)], auto)
    by(metis cfgs-Suc-zero fence not-Cons-self2 stepS-spec-Fence-iff spec-resolve)
    subgoal apply(elim conjE, elim disjE)
      subgoal apply(rule notI,
        erule allE[of - (pstate, cfg,
          [Config 3 (State (Vstore vs) avst hh p)],
          ibT,ibUT,ls ∪ readLocs (last cfgs))])
        by(erule notE, rule spec-normal[of - - - - -Config 3 (State (Vstore vs)
        avst hh p)], auto)
      subgoal apply(cases mispred pstate [6,3])
        subgoal apply(rule notI, erule allE[of -
          (update pstate (pcOf cfg # map pcOf cfgs),
          cfg,
          [Config (if vs xx ≠ 0 then 4 else 11) (State (Vstore vs) avst hh p),
          Config (if vs xx ≠ 0 then 11 else 4) (State (Vstore vs) avst hh p)],
          ibT,ibUT,
          ls ∪ readLocs (Config pc (State (Vstore vs) avst hh p))), erule notE,
          rule spec-mispred[of - - - - -
            Config (if vs xx ≠ 0 then 4 else 11) (State (Vstore vs) avst hh p)
            - - Config (if vs xx ≠ 0 then 11 else 4) (State (Vstore vs) avst hh p) ibT
            ibUT])
          by(auto simp: finalM-iff)
        apply(rule notI, erule allE[of -
          (pstate, cfg, [Config (if vs xx ≠ 0 then 4 else 11) (State (Vstore vs) avst
          hh p)], ibT,ibUT,
          ls ∪ readLocs (Config pc (State (Vstore vs) avst hh p))])
        by(erule notE, rule spec-normal[of - - - - -Config (if vs xx ≠ 0 then 4
        else 11) (State (Vstore vs) avst hh p)], auto)
      subgoal by (metis resolve-64 stepS-spec-resolve-iff
        map-L1 cfgs-Suc-zero not-Cons-self2)
      subgoal by (metis resolve-611 stepS-spec-resolve-iff
        map-L1 cfgs-Suc-zero not-Cons-self2)
      .....

```

**end**

## 12.2 Proof

**theory** *Fun5-secure*

**imports** *Fun5*

**begin**

**definition** *common* :: *enat*  $\Rightarrow$  *enat*  $\Rightarrow$  *stateO*  $\Rightarrow$  *stateO*  $\Rightarrow$  *status*  $\Rightarrow$  *stateV*  $\Rightarrow$  *stateV*  $\Rightarrow$  *status*  $\Rightarrow$  *bool*

**where**

*common* =  $(\lambda w1\ w2\ (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3), pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4))$   
 $statA = (cfg1, ibT1, ibUT1, ls1)$   
 $(cfg2, ibT2, ibUT2, ls2)$   
 $statO.$

$(pstate3 = pstate4 \wedge$   
 $cfg1 = cfg3 \wedge cfg2 = cfg4 \wedge$   
 $pcOf\ cfg3 = pcOf\ cfg4 \wedge map\ pcOf\ cfgs3 = map\ pcOf\ cfgs4 \wedge$   
 $pcOf\ cfg3 \in PC \wedge pcOf\ (set\ cfgs3) \subseteq PC \wedge$   
 $llength\ ibUT1 = \infty \wedge llength\ ibUT2 = \infty \wedge$   
 $ibUT1 = ibUT3 \wedge ibUT2 = ibUT4 \wedge$

$w1 = w2 \wedge$   
 $///$   
 $array\text{-}base\ aa1\ (getAvstore\ (stateOf\ cfg3)) = array\text{-}base\ aa1\ (getAvstore\ (stateOf\ cfg4)) \wedge$   
 $(\forall cfg3' \in set\ cfgs3.\ array\text{-}base\ aa1\ (getAvstore\ (stateOf\ cfg3')) = array\text{-}base\ aa1\ (getAvstore\ (stateOf\ cfg3))) \wedge$   
 $(\forall cfg4' \in set\ cfgs4.\ array\text{-}base\ aa1\ (getAvstore\ (stateOf\ cfg4')) = array\text{-}base\ aa1\ (getAvstore\ (stateOf\ cfg4))) \wedge$   
 $array\text{-}base\ aa2\ (getAvstore\ (stateOf\ cfg3)) = array\text{-}base\ aa2\ (getAvstore\ (stateOf\ cfg4)) \wedge$   
 $(\forall cfg3' \in set\ cfgs3.\ array\text{-}base\ aa2\ (getAvstore\ (stateOf\ cfg3')) = array\text{-}base\ aa2\ (getAvstore\ (stateOf\ cfg3))) \wedge$   
 $(\forall cfg4' \in set\ cfgs4.\ array\text{-}base\ aa2\ (getAvstore\ (stateOf\ cfg4')) = array\text{-}base\ aa2\ (getAvstore\ (stateOf\ cfg4))) \wedge$   
 $///$   
 $(statA = Diff \longrightarrow statO = Diff) \wedge$   
 $Dist\ ls1\ ls2\ ls3\ ls4)$

**lemma** *common-implies: common w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)*

*(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)*

*statA*

*(cfg1, ibT1, ibUT1, ls1)*

*(cfg2, ibT2, ibUT2, ls2)*

```

statO ==>
pcOf cfg1 < 12 ∧ pcOf cfg2 = pcOf cfg1 ∧
ibUT1 ≠ [] ∧ ibUT2 ≠ [] ∧ w1 = w2
unfolding common-def PC-def by (auto simp: image-def subset-eq)

```

```

definition Δ0 :: enat ⇒ enat ⇒ enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV
⇒ stateV ⇒ status ⇒ bool where
Δ0 = (λ num w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
      (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
      statA
      (cfg1,ibT1,ibUT1,ls1)
      (cfg2,ibT2,ibUT2,ls2)
      statO.
      (common w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
       (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
       statA
       (cfg1,ibT1,ibUT1,ls1)
       (cfg2,ibT2,ibUT2,ls2)
       statO ∧
       pcOf cfg3 ∈ beforeWhile ∧
       (pcOf cfg3 > 1 → same-var-o tt cfg3 cfgs3 cfg4 cfgs4) ∧
       (pcOf cfg3 > 2 → same-var-o xx cfg3 cfgs3 cfg4 cfgs4) ∧
       (pcOf cfg3 > 4 → same-var-o xx cfg3 cfgs3 cfg4 cfgs4) ∧
       noMisSpec cfgs3
      ))

```

```

lemmas Δ0-defs = Δ0-def common-def PC-def same-var-o-def
beforeWhile-def noMisSpec-def

```

```

lemma Δ0-implies: Δ0 num w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
(pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
statA
(cfg1,ibT1,ibUT1,ls1)
(cfg2,ibT2,ibUT2,ls2)
statO ==>
pcOf cfg1 < 12 ∧ pcOf cfg2 = pcOf cfg1 ∧
ibUT1 ≠ [] ∧ ibUT2 ≠ [] ∧ cfgs4 = []
apply (meson Δ0-def common-implies)
by (simp-all add: Δ0-defs, metis Nil-is-map-conv)

```

```

definition Δ1 :: enat ⇒ enat ⇒ enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV
⇒ stateV ⇒ status ⇒ bool where
Δ1 = (λ num w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
      (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
      statA
      (cfg1,ibT1,ibUT1,ls1)
      (cfg2,ibT2,ibUT2,ls2)
      statO.
      (common w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
       (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
       statA
       (cfg1,ibT1,ibUT1,ls1)
       (cfg2,ibT2,ibUT2,ls2)
       statO ∧
       pcOf cfg3 ∈ beforeWhile ∧
       (pcOf cfg3 > 1 → same-var-o tt cfg3 cfgs3 cfg4 cfgs4) ∧
       (pcOf cfg3 > 2 → same-var-o xx cfg3 cfgs3 cfg4 cfgs4) ∧
       (pcOf cfg3 > 4 → same-var-o xx cfg3 cfgs3 cfg4 cfgs4) ∧
       noMisSpec cfgs3
      ))

```

```

statO.
(common w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
 (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
 statA
 (cfg1, ibT1, ibUT1, ls1)
 (cfg2, ibT2, ibUT2, ls2)
 statO ∧
 pcOf cfg3 ∈ inWhile ∧
 same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
 noMisSpec cfgs3
))
lemmas Δ1-defs = Δ1-def common-def PC-def noMisSpec-def inWhile-def same-var-o-def
lemma Δ1-implies: Δ1 n w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
 (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
 statA
 (cfg1, ibT1, ibUT1, ls1)
 (cfg2, ibT2, ibUT2, ls2)
 statO ==>
 pcOf cfg3 < 12 ∧ cfgs3 = [] ∧ ibUT3 ≠ []
 pcOf cfg4 < 12 ∧ cfgs4 = [] ∧ ibUT4 ≠ []
unfolding Δ1-defs apply simp
by (metis Nil-is-map-conv infinity-ne-i0 llength-LNil)

```

```

definition Δ1' :: enat ⇒ enat ⇒ enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV
⇒ stateV ⇒ status ⇒ bool where
Δ1' = (λnum w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
 (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
 statA
 (cfg1, ibT1, ibUT1, ls1)
 (cfg2, ibT2, ibUT2, ls2)
 statO.
(common w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
 (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
 statA
 (cfg1, ibT1, ibUT1, ls1)
 (cfg2, ibT2, ibUT2, ls2)
 statO ∧
 same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
 whileSpeculation cfg3 (last cfgs3) ∧
 missSpecL1 cfgs3 ∧ missSpecL1 cfgs4 ∧
 w1 = ∞
))
lemmas Δ1'-defs = Δ1'-def common-def PC-def same-var-def
 startOfIfThen-def startOfElseBranch-def
 missSpecL1-def whileSpec-defs

lemma Δ1'-implies: Δ1' num w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
 (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)

```

```

statA
(cfg1,ibT1,ibUT1,ls1)
(cfg2,ibT2,ibUT2,ls2)
statO ==>
pcOf cfg3 < 12 ∧ pcOf cfg4 < 12 ∧
whileSpeculation cfg3 (last cfgs3) ∧
whileSpeculation cfg4 (last cfgs4) ∧
length cfgs3 = Suc 0 ∧ length cfgs4 = Suc 0
unfolding Δ1'-defs
apply (simp add: lessI, clarify)
by (metis last-map length-0-conv)

```

```

definition Δ2 :: enat ⇒ enat ⇒ enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV
⇒ stateV ⇒ status ⇒ bool where
Δ2 = (λnum w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
      (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
      statA
      (cfg1,ibT1,ibUT1,ls1)
      (cfg2,ibT2,ibUT2,ls2)
      statO.
      (common w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
       (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
       statA
       (cfg1,ibT1,ibUT1,ls1)
       (cfg2,ibT2,ibUT2,ls2)
       statO ∧
       same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
       pcOf cfg3 = startOfIfThen ∧ pcOf (last cfgs3) ∈ inElseIf ∧
       misSpecL1 cfgs3 ∧ misSpecL1 cfgs4 ∧
       (pcOf (last cfgs3) = startOfElseBranch → w1 = ∞) ∧
       (pcOf (last cfgs3) = 3 → w1 = 3) ∧
       (pcOf (last cfgs3) = startOfWhileThen ∨
        pcOf (last cfgs3) = whileElse → w1 = 1)
      ))
lemmas Δ2-defs = Δ2-def common-def PC-def same-var-o-def misSpecL1-def
          startOfIfThen-def inElseIf-def same-var-def
          startOfWhileThen-def whileElse-def startOfElseBranch-def
lemma Δ2-implies: Δ2 num w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
      (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
      statA
      (cfg1,ibT1,ibUT1,ls1)
      (cfg2,ibT2,ibUT2,ls2)

```

```

statO ==>
pcOf (last cfgs3) ∈ inElseIf ∧ pcOf cfg3 = 6 ∧
pcOf (last cfgs4) = pcOf (last cfgs3) ∧
pcOf cfg4 = pcOf cfg3 ∧ length cfgs3 = Suc 0 ∧
length cfgs4 = Suc 0 ∧ same-var xx (last cfgs3) (last cfgs4)
apply(intro conjI)
  unfolding Δ2-defs
    apply (simp-all add: image-subset-iff)
  by (metis last-in-set length-0-conv Nil-is-map-conv last-map length-map)+

definition Δ2' :: enat ⇒ enat ⇒ enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV
⇒ stateV ⇒ status ⇒ bool where
Δ2' = (λnum w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
        (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
        statA
        (cfg1,ibT1,ibUT1,ls1)
        (cfg2,ibT2,ibUT2,ls2)
        statO.
  (common w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
   (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
   statA
   (cfg1,ibT1,ibUT1,ls1)
   (cfg2,ibT2,ibUT2,ls2)
   statO ∧
   same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
   pcOf cfg3 = startOfIfThen ∧
   whileSpeculation (cfgs3!0) (last cfgs3) ∧
   misSpecL2 cfgs3 ∧ misSpecL2 cfgs4 ∧
   w1 = 2
))

lemmas Δ2'-defs = Δ2'-def common-def PC-def same-var-def
  startOfElseBranch-def startOfIfThen-def
  whileSpec-defs misSpecL2-def

lemma Δ2'-implies: Δ2' num w1 w2 (pstate3,cfg3,cfgs3,ibT3,ibUT3,ls3)
  (pstate4,cfg4,cfgs4,ibT4,ibUT4,ls4)
  statA
  (cfg1,ibT1,ibUT1,ls1)
  (cfg2,ibT2,ibUT2,ls2)
  statO ==>
  pcOf cfg3 = 6 ∧ pcOf cfg4 = 6 ∧
  whileSpeculation (cfgs3!0) (last cfgs3) ∧
  whileSpeculation (cfgs4!0) (last cfgs4) ∧
  length cfgs3 = 2 ∧ length cfgs4 = 2
  apply(intro conjI)
  unfolding Δ2'-defs apply (simp add: lessI, clarify)
  apply linarith+ apply simp-all

```

**by** (*metis list.inject map-L2*)

```

definition Δ3 :: enat ⇒ enat ⇒ enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV
⇒ stateV ⇒ status ⇒ bool where
Δ3 = (λnum w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
      (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
      statA
      (cfg1, ibT1, ibUT1, ls1)
      (cfg2, ibT2, ibUT2, ls2)
      statO.
      (common w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
       (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
       statA
       (cfg1, ibT1, ibUT1, ls1)
       (cfg2, ibT2, ibUT2, ls2)
       statO ∧
       same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
       pcOf cfg3 = startOfElseBranch ∧ pcOf (last cfgs3) ∈ inThenIfBeforeFence ∧
       missSpecL1 cfgs3 ∧
       (pcOf (last cfgs3) = 6 → w1 = ∞) ∧
       (pcOf (last cfgs3) = 7 → w1 = 1)
      ))

```

**lemmas** Δ3-defs = Δ3-def common-def PC-def same-var-o-def  
startOfElseBranch-def inThenIfBeforeFence-def

```

lemma Δ3-implies: Δ3 num w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
      (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
      statA
      (cfg1, ibT1, ibUT1, ls1)
      (cfg2, ibT2, ibUT2, ls2)
      statO ==>
      pcOf (last cfgs3) ∈ inThenIfBeforeFence ∧
      pcOf (last cfgs4) = pcOf (last cfgs3) ∧
      pcOf cfg3 = 10 ∧ pcOf cfg3 = pcOf cfg4 ∧
      length cfgs3 = Suc 0 ∧ length cfgs4 = Suc 0
      apply(intro conjI)
      unfolding Δ3-defs
      apply (simp-all add: image-subset-iff)
      by (metis last-map map-is-Nil-conv length-map)+

```

```

definition Δe :: enat ⇒ enat ⇒ enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒
stateV ⇒ status ⇒ bool where
Δe = (λnum w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
      (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)

```

```

statA
  (cfg1,ibT1,ibUT1,ls1)
  (cfg2,ibT2,ibUT2,ls2)
  statO.
  (pcOf cfg3 = endPC ∧ pcOf cfg4 = endPC ∧ cfgs3 = [] ∧ cfgs4 = [] ∧
   pcOf cfg1 = endPC ∧ pcOf cfg2 = endPC))

```

**lemmas**  $\Delta e\text{-}defs = \Delta e\text{-def common-def endPC-def}$

```

lemma init: initCond  $\Delta 0$ 
unfolding initCond-def apply safe
  subgoal for pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4

  unfolding istateO.simps apply clarsimp
  apply(cases getAvstore (stateOf cfg3), cases getAvstore (stateOf cfg4))
  unfolding  $\Delta 0\text{-defs}$ 
  unfolding array-base-def by auto .

```

```

lemma step0: unwindIntoCond  $\Delta 0$  (oor  $\Delta 0 \Delta 1$ )
proof(rule unwindIntoCond-simpleI)
  fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and  $\Delta 0$ :  $\Delta 0$  n w1 w2 ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
  ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
  ibT4, ibUT4, ls4)
  by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
  by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
  by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
  cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases cfg3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
  cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg3 cfg4

  obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)

```

```

obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
  using Δ0 unfolding ss
  apply-by(frule Δ0-implies, simp)

have f2:¬finalN ss2
  using Δ0 unfolding ss
  apply-by(frule Δ0-implies, simp)

have f3:¬finalS ss3
  using Δ0 unfolding ss
  apply-apply(frule Δ0-implies, unfold Δ0-defs)
  by (clarify,metis finalS-cond')

have f4:¬finalS ss4
  using Δ0 unfolding ss
  apply-apply(frule Δ0-implies, unfold Δ0-defs)
  by (clarify,metis finalS-cond')

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor Δ0 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

  show match1 (oor Δ0 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
    unfolding match1-def by (simp add: finalS-def final-def)
    show match2 (oor Δ0 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
      unfolding match2-def by (simp add: finalS-def final-def)
    show match12 (oor Δ0 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO

  proof(rule match12-simpleI,rule disjI2, intro conjI)
    fix ss3' ss4' statA'
    assume statA': statA' = sstatA' statA ss3 ss4
    and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
    and sa: Opt.eqAct ss3 ss4
    note v3 = v(1) note v4 = v(2)

    obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
      cfg3', cfgs3', ibT3', ibUT3', ls3')
      by (cases ss3', auto)
    obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
      cfg4', cfgs4', ibT4', ibUT4', ls4')
  
```

```

by (cases ss4', auto)
note ss = ss ss3' ss4'

obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

show eqSec ss1 ss3
using v sa Δ0 unfolding ss by (simp add: Δ0-defs)

show eqSec ss2 ss4
using v sa Δ0 unfolding ss
apply (simp add: Δ0-defs)
by (metis map-is-Nil-conv)

show Van.eqAct ss1 ss2
using v sa Δ0 unfolding ss
apply-apply(frule Δ0-implies)
unfolding Opt.eqAct-def
Van.eqAct-def
by(simp-all add: Δ0-defs, linarith)

show match12-12 (oor Δ0 Δ1) ∞ ∞ ss3' ss4' statA' ss1 ss2 statO
unfolding match12-12-def
proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
conjI impI)
show validTransV (ss1, nextN ss1)
by (simp add: f1 nextN-stepN)

show validTransV (ss2, nextN ss2)
by (simp add: f2 nextN-stepN)

{assume sstat: statA' = Diff
show sstatO' statO ss1 ss2 = Diff
using v sa Δ0 sstat unfolding ss cfg statA' apply simp
apply(simp add: Δ0-defs sstatO'-def sstatA'-def finalS-def final-def)
using cases-12[of pc3] apply(elim disjE)
apply simp-all apply(cases statO, simp-all) apply(cases statA, simp-all)
apply(cases statO, simp-all) apply (cases statA, simp-all)
by (smt (z3) status.distinct(1) newStat.simps(2,3) newStat-diff) +
} note stat = this

show oor Δ0 Δ1 ∞ ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2) (sstatO'
statO ss1 ss2)

```

```

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case nonspec-mispred
    then show ?thesis using sa Δ0 stat unfolding ss
    by (simp add: Δ0-defs numeral-1-eq-Suc-0, linarith)
  next
    case spec-normal
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
  next
    case spec-mispred
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
  next
    case spec-Fence
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
  next
    case spec-resolve
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
  next
    case nonspec-normal note nn3 = nonspec-normal
    show ?thesis
    using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
      case nonspec-mispred
        then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
          Δ0-defs)
      next
        case spec-normal
        then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
          Δ0-defs)
      next
        case spec-mispred
        then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
          Δ0-defs)
      next
        case spec-Fence
        then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
          Δ0-defs)
      next
        case spec-resolve
        then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
          Δ0-defs)
      next
      case nonspec-normal note nn4 = nonspec-normal
      show ?thesis using sa Δ0 stat v3 v4 nn3 nn4 unfolding ss cfg apply
        clarsimp
        apply(unfold Δ0-defs,clarsimp, elim disjE)
        subgoal by(rule oorI1, auto simp add: Δ0-defs)
        subgoal by (rule oorI1, simp add: Δ0-defs)
        subgoal by (rule oorI2, simp add: Δ1-defs) .
    qed
  qed

```

```

qed
qed
qed
qed
```

```

lemma step1: unwindIntoCond Δ1 (oor5 Δ1 Δ1' Δ2 Δ3 Δe)
proof(rule unwindIntoCond-simpleI)
fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
and Δ1: Δ1 n w1 w2 ss3 ss4 statA ss1 ss2 statO

obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
by (cases ss3, auto)
obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
using Δ1 unfolding ss Δ1-def apply clarify
apply(frule common-implies)
using finalB-pcOf-iff finalN-iff-finalB nat-less-le by blast

have f2:¬finalN ss2
using Δ1 unfolding ss Δ1-def apply clarify
apply(frule common-implies)
using finalB-pcOf-iff finalN-iff-finalB nat-less-le by metis

have f3:¬finalS ss3
```

```

using  $\Delta_1$  unfolding ss
apply-apply(frule  $\Delta_1\text{-implies}$ )
by (simp add: finalS-cond')

have  $f_4 : \neg \text{finalS } ss_4$ 
  using  $\Delta_1$  unfolding ss
  apply-apply(frule  $\Delta_1\text{-implies}$ )
  by (simp add: finalS-cond')

note  $\text{finals} = f_1 f_2 f_3 f_4$ 
show  $\text{finalS } ss_3 = \text{finalS } ss_4 \wedge \text{finalN } ss_1 = \text{finalS } ss_3 \wedge \text{finalN } ss_2 = \text{finalS } ss_4$ 
  using  $\text{finals}$  by auto

then show  $\text{isIntO } ss_3 = \text{isIntO } ss_4$  by simp

show  $\text{react } (\text{oor5 } \Delta_1 \Delta_1' \Delta_2 \Delta_3 \Delta_e) w_1 w_2 ss_3 ss_4 \text{ statA } ss_1 ss_2 \text{ statO}$ 
  unfolding react-def proof(intro conjI)

  show  $\text{match1 } (\text{oor5 } \Delta_1 \Delta_1' \Delta_2 \Delta_3 \Delta_e) w_1 w_2 ss_3 ss_4 \text{ statA } ss_1 ss_2 \text{ statO}$ 
    unfolding match1-def by (simp add: finalS-def final-def)
  show  $\text{match2 } (\text{oor5 } \Delta_1 \Delta_1' \Delta_2 \Delta_3 \Delta_e) w_1 w_2 ss_3 ss_4 \text{ statA } ss_1 ss_2 \text{ statO}$ 
    unfolding match2-def by (simp add: finalS-def final-def)
  show  $\text{match12 } (\text{oor5 } \Delta_1 \Delta_1' \Delta_2 \Delta_3 \Delta_e) w_1 w_2 ss_3 ss_4 \text{ statA } ss_1 ss_2 \text{ statO}$ 

proof(rule match12-simpleI,rule disjI2,intro conjI)
  fix  $ss_3' ss_4' \text{ statA}'$ 
  assume  $\text{statA}' : \text{statA}' = s\text{statA}' \text{ statA } ss_3 ss_4$ 
  and  $v : \text{validTransO } (ss_3, ss_3') \text{ validTransO } (ss_4, ss_4')$ 
  and  $sa : \text{Opt.eqAct } ss_3 ss_4$ 
  note  $v_3 = v(1)$  note  $v_4 = v(2)$ 

  obtain  $pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' \text{ where } ss3' : ss3' = (pstate3',$ 
     $cfg3', cfgs3', ibT3', ibUT3', ls3')$ 
    by (cases ss3', auto)
  obtain  $pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' \text{ where } ss4' : ss4' = (pstate4',$ 
     $cfg4', cfgs4', ibT4', ibUT4', ls4')$ 
    by (cases ss4', auto)
  note  $ss = ss ss3' ss4'$ 

  show  $\text{eqSec } ss_1 ss_3$ 
    using  $v sa \Delta_1$  unfolding ss by (simp add:  $\Delta_1\text{-defs}$ )

  show  $\text{eqSec } ss_2 ss_4$ 
    using  $v sa \Delta_1$  unfolding ss by (simp add:  $\Delta_1\text{-defs}$ )

  show  $\text{Van.eqAct } ss_1 ss_2$ 
    using  $v sa \Delta_1$  unfolding ss
    unfolding Opt.eqAct-def Van.eqAct-def

```



```

then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case spec-Fence
then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case spec-resolve
then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case nonspec-normal note nn3 = nonspec-normal
show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

  case nonspec-mispred
    then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
  next
    case spec-normal
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
  next
    case spec-mispred
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
  next
    case spec-Fence
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
  next
    case spec-resolve
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
  next
    case nonspec-normal note nn4 = nonspec-normal
    then show ?thesis using sa Δ1 stat v3 v4 nn3 nn4 f4 unfolding ss cfg
Opt.eqAct-def
  apply clar simp using cases-12[of pc3] apply(elim disjE)
  subgoal by (simp add: Δ1-defs)
  subgoal by (simp add: Δ1-defs)
  subgoal by (simp add: Δ1-defs)
  subgoal using xx-0-cases[of vs3] apply(elim disjE)
  subgoal by(rule oor5I1, auto simp add: Δ1-defs)
  subgoal by(rule oor5I1, auto simp add: Δ1-defs) .
  subgoal apply(rule oor5I1) by (auto simp add: Δ1-defs)
  subgoal using xx-NN-cases[of vs3] apply(elim disjE)
  subgoal by(rule oor5I1, auto simp add: Δ1-defs)
  subgoal by(rule oor5I1, auto simp add: Δ1-defs) .
  subgoal by(rule oor5I1, auto simp add: Δ1-defs hh)

```

```

subgoal by(rule oor5I1, auto simp add: Δ1-defs)
subgoal by(rule oor5I1, auto simp add: Δ1-defs hh)
subgoal by(rule oor5I1, auto simp add: Δ1-defs)
subgoal by(rule oor5I1, auto simp add: Δ1-defs)
by(rule oor5I5, simp-all add: Δ1-defs Δe-defs)
qed
next
case nonspec-mispred note nm3 = nonspec-mispred
show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

  case nonspec-normal
  then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-normal
then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-mispred
then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-Fence
then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case spec-resolve
then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
case nonspec-mispred note nm4 = nonspec-mispred
then show ?thesis using sa Δ1 stat v3 v4 nm3 nm4 unfolding ss cfg
apply clar simp
  using cases-12[of pc3] apply(elim disjE)
  prefer 4 subgoal using xx-0-cases[of vs3] apply(elim disjE)
  subgoal by(rule oor5I2, auto simp add: Δ1-defs Δ1'-defs)
  subgoal by(rule oor5I2, auto simp add: Δ1-defs Δ1'-defs) .
  prefer 5 subgoal using xx-NN-cases[of vs3] apply(elim disjE)
  subgoal apply(rule oor5I3) by (auto simp add: Δ1-defs Δ2-defs)
  subgoal apply(rule oor5I4) by (auto simp add: Δ1-defs Δ3-defs) .
  by (simp-all add: Δ1-defs)
qed
qed
qed
qed
qed

```

```

lemma step2: unwindIntoCond  $\Delta_2$  (oor3  $\Delta_2$   $\Delta_2'$   $\Delta_1$ )
proof(rule unwindIntoCond-simpleI)
  fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and  $\Delta_2: \Delta_2 n w1 w2 ss3 ss4 statA ss1 ss2 statO$ 

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
    by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
    by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
    by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
    by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
    lcfgs3: last cfgs3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
    by (cases last cfgs3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
    lcfgs4: last cfgs4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
    by (cases last cfgs4) (metis state.collapse vstore.collapse)
  note lcfgs = lcfgs3 lcfgs4

  have f1:¬finalN ss1
  using  $\Delta_2$  unfolding ss  $\Delta_2$ -def
  apply clarsimp
  by(frule common-implies, simp)

  have f2:¬finalN ss2
  using  $\Delta_2$  unfolding ss  $\Delta_2$ -def
  apply clarsimp
  by(frule common-implies, simp)

  have f3:¬finalS ss3
  using  $\Delta_2$  unfolding ss
  apply-apply(frule  $\Delta_2$ -implies)
  by (simp add: finalS-if-spec)

  have f4:¬finalS ss4
  using  $\Delta_2$  unfolding ss
  apply-apply(frule  $\Delta_2$ -implies)
  by (simp add: finalS-if-spec)

  note finals = f1 f2 f3 f4

```

```

show finalS ss3 = finalS ss4  $\wedge$  finalN ss1 = finalS ss3  $\wedge$  finalN ss2 = finalS ss4
using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

then have lpc3:pcOf (last cfgs3) = 10  $\vee$ 
    pcOf (last cfgs3) = 3  $\vee$ 
    pcOf (last cfgs3) = 4  $\vee$ 
    pcOf (last cfgs3) = 11
using  $\Delta 2$  unfolding ss  $\Delta 2$ -defs by simp

have sec3[simp]: $\neg$  isSecO ss3
using  $\Delta 2$  unfolding ss by (simp add:  $\Delta 2$ -defs)
have sec4[simp]: $\neg$  isSecO ss4
using  $\Delta 2$  unfolding ss by (simp add:  $\Delta 2$ -defs)

have stat[simp]: $\bigwedge s3' s4' statA'. statA' = sstatA' statA ss3 ss4 \implies$ 
    validTransO (ss3, s3')  $\implies$  validTransO (ss4, s4')  $\implies$ 
    (statA = statA'  $\vee$  statO = Diff)
subgoal for ss3' ss4'
    apply (cases ss3, cases ss4, cases ss1, cases ss2)
    apply (cases ss3', cases ss4', clar simp)
    using  $\Delta 2$  finals unfolding ss apply clar simp
    apply (simp-all add:  $\Delta 2$ -defs sstatA'-def)
    apply (cases statO, simp-all) by (cases statA, simp-all add: newStat-EqI) .

have xx:vs3 xx = vs4 xx using  $\Delta 2$  lcfs unfolding ss  $\Delta 2$ -defs apply clar simp
by (metis cfgs-Suc-zero config.sel(2) list.set-intros(1) state.sel(1) vstore.sel)

have oor3-rule: $\bigwedge ss3' ss4'. ss3 \rightarrow S ss3' \implies ss4 \rightarrow S ss4' \implies$ 
    (pcOf (last cfgs3) = 10  $\longrightarrow$  oor3  $\Delta 2$   $\Delta 2'$   $\Delta 1 \infty 3 3 ss3' ss4'$ 
    (sstatA' statA ss3 ss4) ss1 ss2 statO)
     $\wedge$  (pcOf (last cfgs3) = 3  $\wedge$  mispred pstate4 [6, 3]  $\longrightarrow$  oor3  $\Delta 2$   $\Delta 2'$ 
     $\Delta 1 \infty 2 2 ss3' ss4' (sstatA' statA ss3 ss4) ss1 ss2 statO)$ 
     $\wedge$  (pcOf (last cfgs3) = 3  $\wedge$   $\neg$  mispred pstate4 [6, 3]  $\longrightarrow$  oor3  $\Delta 2$ 
     $\Delta 2' \Delta 1 \infty 1 1 ss3' ss4' (sstatA' statA ss3 ss4) ss1 ss2 statO)$ 
     $\wedge$  ((pcOf (last cfgs3) = 4  $\vee$  pcOf (last cfgs3) = 11)  $\longrightarrow$  oor3  $\Delta 2$ 
     $\Delta 2' \Delta 1 \infty 0 0 ss3' ss4' (sstatA' statA ss3 ss4) ss1 ss2 statO) \implies$ 
     $\exists w1' < w1. \exists w2' < w2. oor3 \Delta 2 \Delta 2' \Delta 1 \infty w1' w2' ss3' ss4'$ 
    (sstatA' statA ss3 ss4) ss1 ss2 statO
    subgoal for ss3' ss4' apply (cases ss3', cases ss4')
    subgoal for pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3'
    pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4'
    subgoal premises p using lpc3 apply-apply (erule disjE)
    subgoal apply (intro exI[of - 3], intro conjI)
    subgoal using  $\Delta 2$  unfolding ss  $\Delta 2$ -defs apply clarify
    by (metis enat-ord-simps(4) numeral-ne-infinity)
    apply (intro exI[of - 3], rule conjI)

```

```

subgoal using  $\Delta 2$  unfolding ss  $\Delta 2\text{-defs}$  apply clarify
  by (metis enat-ord-simps(4) numeral-ne-infinity)
  using p by (simp add: p)
apply(erule disjE)
subgoal apply(cases mispred pstate4 [6, 3])
  subgoal apply(intro exI[of - 2], intro conjI)
    using  $\Delta 2$  unfolding ss  $\Delta 2\text{-defs}$  apply clarify
      apply (metis enat-ord-number(2) eval-nat-numeral(3) lessI)
      apply(intro exI[of - 2], rule conjI)
    using  $\Delta 2$  unfolding ss  $\Delta 2\text{-defs}$  apply clarify
      apply (metis enat-ord-number(2) eval-nat-numeral(3) lessI)
      using  $\Delta 2$  p unfolding ss  $\Delta 2\text{-defs}$  by clarify
subgoal apply(intro exI[of - 1], intro conjI)
  using  $\Delta 2$  unfolding ss  $\Delta 2\text{-defs}$  apply clarify
    apply (metis one-less-numeral-iff semiring-norm(77))
    apply(intro exI[of - 1], rule conjI)
  using  $\Delta 2$  unfolding ss  $\Delta 2\text{-defs}$  apply clarify
    apply (metis one-less-numeral-iff semiring-norm(77))
    using  $\Delta 2$  p unfolding ss  $\Delta 2\text{-defs}$  by clarify .
subgoal apply(intro exI[of - 0], intro conjI)
  using  $\Delta 2$  unfolding ss  $\Delta 2\text{-defs}$  apply clarify
  apply (metis less-numeral-extra(1))
  apply(intro exI[of - 0], rule conjI)
  using  $\Delta 2$  unfolding ss  $\Delta 2\text{-defs}$  apply clarify
  apply (metis less-numeral-extra(1))
  using  $\Delta 2$  p unfolding ss  $\Delta 2\text{-defs}$  by clarify . . .

show react (oor3  $\Delta 2$   $\Delta 2'$   $\Delta 1$ ) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1 (oor3  $\Delta 2$   $\Delta 2'$   $\Delta 1$ ) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2 (oor3  $\Delta 2$   $\Delta 2'$   $\Delta 1$ ) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12 (oor3  $\Delta 2$   $\Delta 2'$   $\Delta 1$ ) w1 w2 ss3 ss4 statA ss1 ss2 statO
apply(rule match12-simpleI, simp-all, rule disjI1)
subgoal for ss3' ss4' apply(cases ss3', cases ss4')
  subgoal for pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3'
    pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4'
  apply-apply(rule oor3-rule, assumption+, intro conjI impI)

subgoal premises prem using prem(1)[unfolded ss prem(4)]
proof(cases rule: stepS-cases)
  case nonspec-normal
then show ?thesis using stat  $\Delta 2$  unfolding ss by (auto simp add:  $\Delta 2\text{-defs}$ )

next
  case nonspec-mispred
then show ?thesis using stat  $\Delta 2$  unfolding ss by (auto simp add:  $\Delta 2\text{-defs}$ )

```

```

next
  case spec-mispred
    then show ?thesis using stat  $\Delta 2$  prem(6) unfolding ss by (auto simp
add:  $\Delta 2\text{-defs}$ )
next
  case spec-Fence
    then show ?thesis using stat  $\Delta 2$  prem(6) unfolding ss by (auto simp
add:  $\Delta 2\text{-defs}$ )
next
  case spec-resolve
  then show ?thesis
    using  $\Delta 2$  prem(6) resolve-106
    unfolding ss  $\Delta 2\text{-defs}$  apply clarify
    using cfgs-map misSpecL1-def
    by (smt (z3) insert-commute list.simps(15) resolve.simps)
next
  case spec-normal note sn3 = spec-normal
  show ?thesis using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
    case nonspec-normal
    then show ?thesis using sn3  $\Delta 2$  unfolding ss by (simp add:  $\Delta 2\text{-defs}$ )
  next
    case nonspec-mispred
    then show ?thesis using sn3  $\Delta 2$  unfolding ss by (simp add:  $\Delta 2\text{-defs}$ )
  next
    case spec-Fence
    then show ?thesis using sn3  $\Delta 2$  unfolding ss by (simp add:  $\Delta 2\text{-defs}$ ,
metis last-map)
  next
    case spec-resolve
    then show ?thesis using sn3  $\Delta 2$  unfolding ss by (simp add:  $\Delta 2\text{-defs}$ ,
metis last-map)
  next
    case spec-mispred
    then show ?thesis using sn3  $\Delta 2$  unfolding ss by (simp add:  $\Delta 2\text{-defs}$ ,
metis last-map)
  next
    case spec-normal note sn4 = spec-normal
    have pc4:pc4 = 10 using  $\Delta 2$  prem lcfgs unfolding ss  $\Delta 2\text{-defs}$  by auto
    show ?thesis
      using  $\Delta 2$  prem sn3 sn4 finals stat unfolding ss prem(4,5) lcfgs
      apply-apply(frule  $\Delta 2\text{-implies}$ , unfold  $\Delta 2\text{-defs}$ ) apply clarsimp
      apply(rule oor3I1) apply(simp-all add:  $\Delta 2\text{-defs}$  pc4)
      using final-def config.sel(2) last-in-set
        lcfgs state.sel(1,2) vstore.sel xx
      by (metis (mono-tags, lifting))
  qed
  qed

```

```

subgoal premises prem using prem(1)[unfolded ss prem(4)]
proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
      Δ2-defs)
  next
    case nonspec-mispred
    then show ?thesis using stat Δ2 unfolding ss by (auto simp add: Δ2-defs)

  next
    case spec-Fence
    then show ?thesis using stat Δ2 prem(6) unfolding ss by (auto simp
      add: Δ2-defs)
  next
    case spec-normal
    then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
      Δ2-defs)
  next
    case spec-resolve
    then show ?thesis
    using Δ2 prem(6) resolve-63
    unfolding ss Δ2-defs using cfgs-map misSpecL1-def apply clarify
    by (smt (z3) insert-commute list.simps(15) resolve.simps)
  next
    case spec-mispred note sm3 = spec-mispred
    show ?thesis using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
      case nonspec-normal
      then show ?thesis using sm3 Δ2 unfolding ss by (simp add: Δ2-defs)
    next
      case nonspec-mispred
      then show ?thesis using sm3 Δ2 unfolding ss by (simp add: Δ2-defs)
    next
      case spec-resolve
      then show ?thesis using sm3 Δ2 unfolding ss by (simp add: Δ2-defs,
        metis last-map)
    next
      case spec-Fence
      then show ?thesis using sm3 Δ2 unfolding ss apply-apply(frule
        Δ2-implies)
      by (simp add: Δ2-defs)
    next
      case spec-normal
      then show ?thesis using sm3 Δ2 unfolding ss by (simp add: Δ2-defs,
        metis last-map)
    next
      case spec-mispred note sm4 = spec-mispred
      have pc:pc4 = 3
      using prem(6) lcfgs Δ2 unfolding ss apply-apply(frule Δ2-implies)

```

```

    by (simp add: Δ2-defs )
show ?thesis apply(rule oor3I2)
  unfolding ss Δ2'-def using xx-0-cases[of vs3] apply(elim disjE)
  subgoal using Δ2 lcfgs prem pc sm3 sm4 xx finals stat unfolding ss
    apply- apply(simp add: Δ2-defs Δ2'-defs, clarify)
    apply(intro conjI)
    subgoal by (metis config.sel(2) last-in-set state.sel(1,2) vstore.sel
final-def)
      subgoal by (metis config.sel(2) last-in-set state.sel(2))
      subgoal by (metis config.sel(2) last-in-set state.sel(2))
      subgoal by (metis config.sel(2) last-in-set state.sel(2))
      subgoal by (smt (verit) prem(1) prem(2) ss3 ss4)
      subgoal by (metis config.sel(2) last-in-set state.sel(1) vstore.sel) .
    subgoal using Δ2 lcfgs prem pc sm3 sm4 xx finals stat unfolding ss
      apply- apply(simp add: Δ2-defs Δ2'-defs, clarify)
      apply(intro conjI)
      subgoal by (metis config.sel(2) last-in-set state.sel(1,2) vstore.sel
final-def)
        subgoal by (metis config.sel(2) last-in-set state.sel(2))
        subgoal by (metis config.sel(2) last-in-set state.sel(2))
        subgoal by (metis config.sel(2) last-in-set state.sel(2))
        subgoal by (smt (verit) prem(1) prem(2) ss3 ss4)
        subgoal by (metis config.sel(2) last-in-set state.sel(1) vstore.sel) ..
qed
qed

subgoal premises prem using prem(1)[unfolded ss prem(4)]
proof(cases rule: stepS-cases)
  case nonspec-normal
  then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
next
  case nonspec-mispred
  then show ?thesis using stat Δ2 unfolding ss by (auto simp add: Δ2-defs)

next
  case spec-Fence
  then show ?thesis using stat Δ2 prem(6) unfolding ss by (auto simp
add: Δ2-defs)
next
  case spec-mispred
  then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
next
  case spec-resolve
  then show ?thesis
    using Δ2 prem(6) resolve-63
    unfolding ss Δ2-defs using cfgs-map misSpecL1-def apply clarify
    by (smt (z3) insert-commute list.simps(15) resolve.simps)

```

```

next
case spec-normal note sn3 = spec-normal
show ?thesis using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs)
next
  case nonspec-mispred
    then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs)
next
  case spec-Fence
    then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)
next
  case spec-resolve
    then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)
next
  case spec-mispred
    then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)
next
  case spec-normal note sn4 = spec-normal
show ?thesis
  using Δ2 lcfgs prem sn3 sn4 finals unfolding ss
  apply-apply(frule Δ2-implies) apply clarify
  apply(rule oor3I1, clarsimp)
  using xx-0-cases[of vs3] apply(elim disjE)
  subgoal apply(simp-all add: Δ2-defs)
  using config.sel(2) last-in-set stat state.sel(1,2) vstore.sel
  by (smt (verit, ccfv-SIG) Opt.final-def config.sel(1) eval-nat-numeral(3)
f3 f4 is-Output-1 le-imp-less-Suc le-refl nat-less-le ss)
  subgoal apply(simp-all add: Δ2-defs, clarify)
  using config.sel(2) last-in-set stat state.sel(1,2) vstore.sel
  apply(intro conjI, unfold config.sel(1))
  subgoal by simp
  subgoal by simp
  subgoal by (metis array-baseSimp)
  subgoal by (metis array-baseSimp)
  subgoal by (metis array-baseSimp)
  subgoal by (metis array-baseSimp)
  subgoal by (smt (verit) cfgs-Suc-zero lcfgs list.set-intros(1))
  subgoal by (smt (verit) cfgs-Suc-zero lcfgs list.set-intros(1))
  subgoal by (smt (z3) Opt.final-def ss3 ss4)
  subgoal by (smt (z3) cfgs-Suc-zero lcfgs3 list.set-intros(1))
  subgoal by (smt (z3) cfgs-Suc-zero lcfgs3 list.set-intros(1))
  subgoal by linarith
  subgoal by linarith
  subgoal by linarith . .
qed qed

```

```

subgoal premises prem using prem(1)[unfolded ss prem(4)]
proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
  next
  case nonspec-mispred
    then show ?thesis using stat Δ2 unfolding ss by (auto simp add: Δ2-defs)

  next
  case spec-Fence
    then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
  next
  case spec-mispred
    then show ?thesis using Δ2 prem unfolding ss by auto
  next
  case spec-normal
    then show ?thesis using Δ2 prem unfolding ss by auto
  next
  case spec-resolve note sr3 = spec-resolve
show ?thesis using prem(2)[unfolded ss prem(5)] proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using stat Δ2 sr3 unfolding ss by (simp add: Δ2-defs)
  next
  case nonspec-mispred
    then show ?thesis using stat Δ2 sr3 unfolding ss by (simp add: Δ2-defs)
  next
  case spec-normal
    then show ?thesis using stat Δ2 sr3 unfolding ss by (simp add: Δ2-defs,
metis)
  next
  case spec-mispred
    then show ?thesis using stat Δ2 sr3 unfolding ss by (simp add: Δ2-defs,
metis)
  next
  case spec-Fence
    then show ?thesis using stat Δ2 sr3 unfolding ss by (simp add: Δ2-defs,
metis)
  next
  case spec-resolve note sr4 = spec-resolve
show ?thesis using stat Δ2 prem sr3 sr4
unfolding ss lcfgs apply-
apply(rule Δ2-implies) apply (simp add: Δ2-defs Δ1-defs)
apply(rule oor3I3, simp add: Δ1-defs)
by (smt(verit) prem(1) prem(2) ss)
qed
qed...

```

qed  
qed

```

lemma step3: unwindIntoCond  $\Delta_3$  (oor  $\Delta_3$   $\Delta_1$ )
proof(rule unwindIntoCond-simpleI)
  fix  $n w_1 w_2 ss_3 ss_4 statA ss_1 ss_2 statO$ 
  assume  $r: reachO ss_3 reachO ss_4 reachV ss_1 reachV ss_2$ 
  and  $\Delta_3: \Delta_3 n w_1 w_2 ss_3 ss_4 statA ss_1 ss_2 statO$ 

  obtain  $pstate_3 cfg_3 cfgs_3 ibT_3 ibUT_3 ls_3$  where  $ss_3: ss_3 = (pstate_3, cfg_3, cfgs_3,$ 
 $ibT_3, ibUT_3, ls_3)$ 
    by (cases  $ss_3$ , auto)
  obtain  $pstate_4 cfg_4 cfgs_4 ibT_4 ibUT_4 ls_4$  where  $ss_4: ss_4 = (pstate_4, cfg_4, cfgs_4,$ 
 $ibT_4, ibUT_4, ls_4)$ 
    by (cases  $ss_4$ , auto)
  obtain  $cfg_1 ibT_1 ibUT_1 ls_1$  where  $ss_1: ss_1 = (cfg_1, ibT_1, ibUT_1, ls_1)$ 
    by (cases  $ss_1$ , auto)
  obtain  $cfg_2 ibT_2 ibUT_2 ls_2$  where  $ss_2: ss_2 = (cfg_2, ibT_2, ibUT_2, ls_2)$ 
    by (cases  $ss_2$ , auto)
  note  $ss = ss_3 ss_4 ss_1 ss_2$ 

  obtain  $pc_3 vs_3 avst_3 h_3 p_3$  where
     $lcfgs_3: last cfgs_3 = Config pc_3 (State (Vstore vs_3) avst_3 h_3 p_3)$ 
    by (cases  $last cfgs_3$ ) (metis state.collapse vstore.collapse)
  obtain  $pc_4 vs_4 avst_4 h_4 p_4$  where
     $lcfgs_4: last cfgs_4 = Config pc_4 (State (Vstore vs_4) avst_4 h_4 p_4)$ 
    by (cases  $last cfgs_4$ ) (metis state.collapse vstore.collapse)
  note  $lcfgs = lcfgs_3 lcfgs_4$ 

  obtain  $hh_3$  where  $h_3: h_3 = Heap hh_3$  by(cases  $h_3$ , auto)
  obtain  $hh_4$  where  $h_4: h_4 = Heap hh_4$  by(cases  $h_4$ , auto)
  note  $hh = h_3 h_4$ 

  have  $f1:\neg finalN ss_1$ 
  using  $\Delta_3$  unfolding  $ss$   $\Delta_3\text{-def}$ 
  apply clarsimp
  by(frule common-implies, simp)

  have  $f2:\neg finalN ss_2$ 
  using  $\Delta_3$  unfolding  $ss$   $\Delta_3\text{-def}$ 
  apply clarsimp
  by(frule common-implies, simp)

  have  $f3:\neg finalS ss_3$ 
  using  $\Delta_3$  unfolding  $ss$ 
```

**apply–apply**(*frule*  $\Delta_3\text{-implies}$ )  
**using** *finalS-if-spec* **by** *force*

**have**  $f_4 := \neg \text{finalS } ss_4$   
**using**  $\Delta_3$  **unfolding** *ss*  
**apply–apply**(*frule*  $\Delta_3\text{-implies}$ )  
**using** *finalS-if-spec* **by** *force*

**note** *finals* =  $f_1 f_2 f_3 f_4$   
**show** *finalS ss3* = *finalS ss4*  $\wedge$  *finalN ss1* = *finalS ss3*  $\wedge$  *finalN ss2* = *finalS ss4*  
**using** *finals* **by** *auto*

**then show** *isIntO ss3* = *isIntO ss4* **by** *simp*

**then have**  $lpc_3 : pcOf(\text{last cfgs}_3) = 6 \vee pcOf(\text{last cfgs}_3) = 7$   
**using**  $\Delta_3$  **unfolding** *ss*  $\Delta_3\text{-defs}$  **by** *simp*

**have**  $sec_3[\text{simp}] := \neg \text{isSecO ss3}$   
**using**  $\Delta_3$  **unfolding** *ss* **by** (*simp add:*  $\Delta_3\text{-defs}$ )

**have**  $sec_4[\text{simp}] := \neg \text{isSecO ss4}$   
**using**  $\Delta_3$  **unfolding** *ss* **by** (*simp add:*  $\Delta_3\text{-defs}$ )

**have**  $stat[\text{simp}] : \bigwedge ss_3' ss_4' statA'. statA' = sstatA' statA ss_3 ss_4 \implies validTransO(ss_3, ss_3') \implies validTransO(ss_4, ss_4') \implies (statA = statA' \vee statO = Diff)$   
**subgoal for**  $ss_3' ss_4'$   
**apply** (*cases ss3, cases ss4, cases ss1, cases ss2*)  
**apply** (*cases ss3', cases ss4', clarsimp*)  
**using**  $\Delta_3$  **finals unfolding** *ss* **apply** *clarsimp*  
**apply** (*simp-all add:*  $\Delta_3\text{-defs sstatA'-def}$ )  
**apply** (*cases statO, simp-all*) **by** (*cases statA, simp-all add: newStat-EqI*).

**have**  $vs_3 xx = vs_4 xx$  **using**  $\Delta_3 lcfgs$  **unfolding** *ss*  $\Delta_3\text{-defs}$  **apply** *clarsimp*  
**by** (*metis cfgs-Suc-zero config.sel(2) list.set-intros(1) state.sel(1) vstore.sel*)

**then have**  $a1x : (\text{array-loc aa1} (nat (vs_4 xx)) avst_4) = (\text{array-loc aa1} (nat (vs_3 xx)) avst_3)$   
**using**  $\Delta_3 lcfgs$  **unfolding** *ss*  $\Delta_3\text{-defs array-loc-def}$  **apply** *clarsimp*  
**by** (*metis Zero-not-Suc config.sel(2) last-in-set list.size(3) state.sel(2)*)

**have**  $oor2\text{-rule} : \bigwedge ss_3' ss_4'. ss_3 \rightarrow_S ss_3' \implies ss_4 \rightarrow_S ss_4' \implies (pcOf(\text{last cfgs}_3) = 6 \longrightarrow oor \Delta_3 \Delta_1 \infty 1 1 ss_3' ss_4' (sstatA' statA ss_3 ss_4) ss_1 ss_2 statO) \wedge (pcOf(\text{last cfgs}_3) = 7 \longrightarrow oor \Delta_3 \Delta_1 \infty 0 0 ss_3' ss_4' (sstatA' statA ss_3 ss_4) ss_1 ss_2 statO) \implies \exists w_1' < w_1. \exists w_2' < w_2. oor \Delta_3 \Delta_1 \infty w_1' w_2' ss_3' ss_4' (sstatA' statA ss_3 ss_4) ss_1 ss_2 statO$

```

subgoal for ss3' ss4' apply(cases ss3', cases ss4')
  subgoal for pstate3' cfg3' cfgs3' ib3' ls3'
    pstate4' cfg4' cfgs4' ib4' ls4'
    using lpc3 apply(elim disjE)

subgoal apply(intro exI[of - 1], intro conjI)
subgoal using Δ3 unfolding ss Δ3-defs apply clarify
  by (metis enat-ord-simps(4) infinity-ne-i1)
apply(intro exI[of - 1], rule conjI)
subgoal using Δ3 unfolding ss Δ3-defs apply clarify
  by (metis enat-ord-simps(4) infinity-ne-i1)
by simp

apply(intro exI[of - 0], intro conjI)
subgoal using Δ3 unfolding ss Δ3-defs by (clarify,metis zero-less-one)
apply(intro exI[of - 0], rule conjI)
subgoal using Δ3 unfolding ss Δ3-defs by (clarify,metis zero-less-one)
by simp ..

show react (oor Δ3 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1 (oor Δ3 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2 (oor Δ3 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12 (oor Δ3 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
  apply(rule match12-simpleI, simp-all, rule disjI1)
subgoal for ss3' ss4' apply(cases ss3', cases ss4')
  subgoal for pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3'
    pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4'
    apply-apply(rule oor2-rule, assumption+, intro conjI impI)

subgoal premises prem using prem(1)[unfolded ss prem(4)]
proof(cases rule: stepS-cases)
  case nonspec-normal
then show ?thesis using stat Δ3 unfolding ss by (auto simp add: Δ3-defs)

next
  case nonspec-mispred
then show ?thesis using stat Δ3 unfolding ss by (auto simp add: Δ3-defs)

next
  case spec-mispred
  then show ?thesis using stat Δ3 prem(6) unfolding ss by (auto simp
add: Δ3-defs)
next
  case spec-resolve
then show ?thesis

```

```

using  $\Delta_3$  prem(6) resolve-106
unfolding ss  $\Delta_3$ -defs by (clarify,metis cfgs-map misSpecL1-def)
next
  case spec-Fence
    then show ?thesis using stat  $\Delta_3$  prem(6) unfolding ss by (auto simp
add:  $\Delta_3$ -defs)
next
  case spec-normal note sn3 = spec-normal
  show ?thesis
  using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
    case nonspec-normal
      then show ?thesis using stat  $\Delta_3$  lcfgs sn3 unfolding ss by (simp add:
 $\Delta_3$ -defs)
next
  case nonspec-mispred
    then show ?thesis using stat  $\Delta_3$  lcfgs sn3 unfolding ss by (simp add:
 $\Delta_3$ -defs)
next
  case spec-mispred
    then show ?thesis using stat  $\Delta_3$  lcfgs sn3 unfolding ss by (simp add:
 $\Delta_3$ -defs, metis config.sel(1) last-map)
next
  case spec-Fence
    then show ?thesis using stat  $\Delta_3$  lcfgs sn3 unfolding ss
    by (simp add:  $\Delta_3$ -defs, metis config.sel(1) last-map)
next
  case spec-resolve
    then show ?thesis using stat  $\Delta_3$  lcfgs sn3 unfolding ss by (simp add:
 $\Delta_3$ -defs)
next
  case spec-normal note sn4 = spec-normal
  show ?thesis
  apply(intro oorI1)
  unfolding ss  $\Delta_3$ -def prem(4,5) apply clarify apply- apply(intro conjI)
  subgoal using stat  $\Delta_3$  lcfgs prem(1,2) sn3 sn4 unfolding ss hh
    apply- apply(frule  $\Delta_3$ -implies) apply(simp add:  $\Delta_3$ -defs)
    using cases-12[of pc3] apply simp apply(elim disjE)
    apply simp-all by (metis config.sel(2) last-in-set state.sel(2) Dist-ignore
a1x )
  subgoal using stat  $\Delta_3$  lcfgs prem(1,2) sn3 sn4 unfolding ss prem(4,5)
hh
  apply- apply(frule  $\Delta_3$ -implies) apply(simp-all add:  $\Delta_3$ -defs)
  using cases-12[of pc3] apply simp apply(elim disjE)
  apply simp-all
  by (metis config.collapse config.inject last-in-set state.sel(1) vstore.sel)
  subgoal using stat  $\Delta_3$  lcfgs prem(1,2) sn3 sn4 unfolding ss prem(4,5)
hh
  apply- apply(frule  $\Delta_3$ -implies) by(simp add:  $\Delta_3$ -defs)
  subgoal using stat  $\Delta_3$  lcfgs prem(1,2) sn3 sn4 unfolding ss hh

```

```

apply- apply(frule Δ3-implies) apply(simp add: Δ3-defs)
using cases-12[of pc3] apply simp apply(elim disjE)
by simp-all
subgoal using stat Δ3 lcfgs sn3 sn4 unfolding ss hh
apply- apply(frule Δ3-implies) apply(simp add: Δ3-defs)
using cases-12[of pc3] apply (simp add: array-loc-def) apply(elim disjE)
by (simp-all add: array-loc-def)
subgoal using stat Δ3 lcfgs sn3 sn4 unfolding ss hh
apply- apply(frule Δ3-implies) apply(simp add: Δ3-defs)
using cases-12[of pc3] apply (simp add: array-loc-def) apply(elim disjE)
by (simp-all add: array-loc-def)
subgoal using stat Δ3 lcfgs sn3 sn4 unfolding ss hh
apply- apply(frule Δ3-implies) by(simp add: Δ3-defs) .
qed
qed

subgoal premises prem using prem(1)[unfolded ss prem(4)]
proof(cases rule: stepS-cases)
  case nonspec-normal
  then show ?thesis using stat Δ3 unfolding ss by (auto simp add: Δ3-defs)

next
  case nonspec-mispred
  then show ?thesis using stat Δ3 unfolding ss by (auto simp add: Δ3-defs)

next
  case spec-mispred
  then show ?thesis using stat Δ3 prem(6) unfolding ss by (auto simp
add: Δ3-defs)
next
  case spec-resolve
  then show ?thesis using stat Δ3 prem unfolding ss Δ3-defs apply simp
by (smt (verit,del-insts) cfgs-map empty-set insertCI insert-absorb
list.set-map list.simps(15) numeral-eq-iff semiring-norm(87,89)
set-ConsD singleton-insert-inj-eq')
next
  case spec-normal
  then show ?thesis using stat Δ3 prem(6) unfolding ss by (auto simp
add: Δ3-defs)
next
  case spec-Fence note sf3 = spec-Fence
  show ?thesis
  using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
    case nonspec-normal
    then show ?thesis using stat Δ3 lcfgs sf3 unfolding ss by (simp add:
Δ3-defs)
  next
    case nonspec-mispred
    then show ?thesis using stat Δ3 lcfgs sf3 unfolding ss by (simp add:

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 $\Delta 3\text{-}defs)$ 
next
  case spec-mispred
    then show ?thesis using stat  $\Delta 3 \text{ lcfgs } sf3$  unfolding ss
    apply (simp add:  $\Delta 3\text{-}defs$ )
    by (metis com.disc config.sel(1) last-map)
next
  case spec-resolve
    then show ?thesis using stat  $\Delta 3 \text{ lcfgs } sf3$  unfolding ss
    by (simp add:  $\Delta 3\text{-}defs$ )
next
  case spec-normal
    then show ?thesis using stat  $\Delta 3 \text{ lcfgs } sf3$  unfolding ss
    apply (simp add:  $\Delta 3\text{-}defs$ )
    by (metis last-map local.spec-Fence(3) local.spec-normal(1) local.spec-normal(4))

next
  case spec-Fence note  $sf4 = \text{spec-Fence}$ 
  show ?thesis
  apply(intro oorI2)
  unfolding ss  $\Delta 1\text{-def prem}(4,5)$  apply– apply(clarify,intro conjI)
  subgoal using  $\Delta 3 \text{ lcfgs prem}(1,2) \text{ sf3 sf4}$  unfolding ss hh
  apply– by(simp add:  $\Delta 3\text{-}defs \Delta 1\text{-}defs$ , metis ss stat validTransO.simps)

  subgoal using stat  $\Delta 3 \text{ lcfgs prem}(4,5) \text{ sf3 sf4}$  unfolding ss hh
  apply– apply(frule  $\Delta 3\text{-implies}$ ) by (simp add:  $\Delta 3\text{-}defs \Delta 1\text{-}defs$ )
  subgoal using stat  $\Delta 3 \text{ lcfgs prem}(4,5) \text{ sf3 sf4}$  unfolding ss hh
  apply– apply(frule  $\Delta 3\text{-implies}$ ) by (simp add:  $\Delta 3\text{-}defs \Delta 1\text{-}defs$ )
  subgoal using stat  $\Delta 3 \text{ lcfgs prem}(4,5) \text{ sf3 sf4}$  unfolding ss hh
  apply– apply(frule  $\Delta 3\text{-implies}$ ) by (simp add:  $\Delta 3\text{-}defs \Delta 1\text{-}defs$ ).
qed

qed . .
qed
qed

```

```

lemma step4: unwindIntoCond  $\Delta 1' \Delta 1$ 
proof(rule unwindIntoCond-simpleI)
  fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and  $\Delta 1': \Delta 1' n w1 w2 ss3 ss4$  statA ss1 ss2 statO

  obtain pstate3 cfg3 cfs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfs3,
ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfs4,

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```

 $ibT4, ibUT4, ls4)$ 
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
using Δ1' unfolding ss Δ1'-def
apply clarsimp
by(frule common-implies, simp)

have f2:¬finalN ss2
using Δ1' unfolding ss Δ1'-def
apply clarsimp
by(frule common-implies, simp)

have f3:¬finalS ss3
using Δ1' unfolding ss
apply-apply(frule Δ1'-implies)
by (simp add: finalS-while-spec)

have f4:¬finalS ss4
using Δ1' unfolding ss
apply-apply(frule Δ1'-implies)
by (simp add: finalS-while-spec)

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

have match12-aux:
(¬s1' s2' statA'.

```

```

statA' = sstatA' statA ss3 ss4 ==>
validTransO (ss3, s1') ==>
validTransO (ss4, s2') ==>
Opt.eqAct ss3 ss4 ==>
(¬ isSecO ss3 ∧ ¬ isSecO ss4 ∧
(statA = statA' ∨ statO = Diff) ∧
Δ1 ∞ 1 1 s1' s2' statA' ss1 ss2 statO)
==> match12 Δ1 w1 w2 ss3 ss4 statA ss1 ss2 statO
apply(rule match12-simpleI, rule disjI1)

apply(rule exI[of - 1], rule conjI)
  subgoal using Δ1' unfolding ss Δ1'-defs apply clarify
    by(metis enat-ord-simps(4) infinity-ne-i1)
  apply(rule exI[of - 1], rule conjI)
    subgoal using Δ1' unfolding ss Δ1'-defs apply clarify
      by(metis enat-ord-simps(4) infinity-ne-i1)
    by auto

show react Δ1 w1 w2 ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

show match1 Δ1 w1 w2 ss3 ss4 statA ss1 ss2 statO
  unfolding match1-def by (simp add: finalS-def final-def)
show match2 Δ1 w1 w2 ss3 ss4 statA ss1 ss2 statO
  unfolding match2-def by (simp add: finalS-def final-def)
show match12 Δ1 w1 w2 ss3 ss4 statA ss1 ss2 statO
  proof(rule match12-aux,intro conjI)
    fix ss3' ss4' statA'
    assume statA': statA' = sstatA' statA ss3 ss4
    and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
    and sa: Opt.eqAct ss3 ss4
    note v3 = v(1) note v4 = v(2)

    obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
      cfg3', cfgs3', ibT3', ibUT3', ls3')
      by (cases ss3', auto)
    obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
      cfg4', cfgs4', ibT4', ibUT4', ls4')
      by (cases ss4', auto)
    note ss = ss ss3' ss4'

    obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
    obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
    note hh = h3 h4

    show ¬ isSecO ss3
      using v sa Δ1' unfolding ss by (simp add: Δ1'-defs, linarith)

    show ¬ isSecO ss4

```

```

using v sa Δ1' unfolding ss by (simp add: Δ1'-defs, linarith)

show stat: statA = statA' ∨ statO = Diff

using v sa Δ1'
apply (cases ss3, cases ss4, cases ss1, cases ss2)
  apply(cases ss3', cases ss4', clarsimp)
using v sa Δ1' unfolding ss statA' applyclarsimp
apply(simp-all add: Δ1'-defs sstatA'-def)
apply(cases statO, simp-all)
apply(cases statA, simp-all add: newStat-EqI)
unfolding finalS-def final-def
using One-nat-def less-numeral-extra(4)
  less-one list.size(3) map-is-Nil-conv
by (smt (verit) status.exhaust newStat-diff)

show Δ1 ∞ 1 1 ss3' ss4' statA' ss1 ss2 statO
  using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
    case nonspec-normal
      then show ?thesis using sa Δ1' stat unfolding ss by (simp add: Δ1'-defs)
    next
    case nonspec-mispred
      then show ?thesis using sa Δ1' stat unfolding ss by (simp add: Δ1'-defs)
    next
    case spec-Fence
      then show ?thesis using sa Δ1' unfolding ss
        apply (simp add: Δ1'-defs, clarify, elim disjE)
        by (simp-all add: Δ1-defs Δ1'-defs)
    next
    case spec-mispred
      then show ?thesis using sa Δ1' unfolding ss
        apply (simp add: Δ1'-defs, clarify, elim disjE)
        by (simp-all add: Δ1-defs Δ1'-defs)
    next
    case spec-normal note sn3 = spec-normal
      show ?thesis using Δ1' sn3(2) unfolding ss
        apply (simp add: Δ1'-defs,clarsimp)
        by (smt (z3) insert-commute)
    next
    case spec-resolve note sr3 = spec-resolve
      show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)
        case nonspec-normal
          then show ?thesis using Δ1' sr3 unfolding ss by (simp add: Δ1'-defs)
        next
        case nonspec-mispred
          then show ?thesis using Δ1' sr3 unfolding ss by (simp add: Δ1'-defs)
        next

```

```

    case spec-mispred
  then show ?thesis using  $\Delta 1' sr3$  unfolding ss by (simp add:  $\Delta 1'$ -defs,
metis)
next
  case spec-normal
  then show ?thesis using  $\Delta 1' sr3$  unfolding ss by (simp add:  $\Delta 1'$ -defs,
metis)
next
  case spec-Fence
  then show ?thesis using  $\Delta 1' sr3$  unfolding ss by (simp add:  $\Delta 1'$ -defs,
metis)
next
  case spec-resolve note sr4 = spec-resolve
  show ?thesis
  using sa stat  $\Delta 1' v3 v4 sr3 sr4$  unfolding ss hh
  apply(simp add:  $\Delta 1'$ -defs  $\Delta 1$ -defs)
  by (metis atLeastAtMost-iff atLeastAtMost-empty-iff empty-iff empty-set
      nat-le-linear numeral-le-iff semiring-norm(68,69,72)
      length-1-butlast length-map in-set-butlastD)
qed
qed
qed
qed
qed

```

```

lemma step5: unwindIntoCond  $\Delta 2' \Delta 2$ 
proof(rule unwindIntoCond-simpleI)
fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
and  $\Delta 2': \Delta 2' n w1 w2 ss3 ss4 statA ss1 ss2 statO$ 

obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
by (cases ss3, auto)
obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)

```

```

obtain pc4 vs4 avst4 h4 p4 where
  cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
  using Δ2' unfolding ss Δ2'-def
  apply clarsimp
  by(frule common-implies, simp)

have f2:¬finalN ss2
  using Δ2' unfolding ss Δ2'-def
  apply clarsimp
  by(frule common-implies, simp)

have f3:¬finalS ss3
  using Δ2' unfolding ss
  apply-apply(frule Δ2'-implies)
  using finalS-while-spec-L2 by force

have f4:¬finalS ss4
  using Δ2' unfolding ss
  apply-apply(frule Δ2'-implies)
  using finalS-while-spec-L2 by force

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

have sec3[simp]:¬ isSecO ss3
  using Δ2' unfolding ss by (simp add: Δ2'-defs)
have sec4[simp]:¬ isSecO ss4
  using Δ2' unfolding ss by (simp add: Δ2'-defs)

have stat[simp]:¬ statA' statA'. statA' = sstatA' statA ss3 ss4 ==>
  validTransO (ss3, s3') ==> validTransO (ss4, s4') ==>
  (statA = statA' ∨ statO = Diff)
subgoal for ss3' ss4'
  apply (cases ss3, cases ss4, cases ss1, cases ss2)
    apply(cases ss3', cases ss4',clarsimp)
  using Δ2' finals unfolding ss applyclarsimp

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```

apply(simp-all add: Δ2'-defs sstatA'-def)
apply(cases statO, simp-all) by (cases statA, simp-all add: newStat-EqI) .

have match12-aux:
(¬pstate3' cfg3' cfgs3' ib3' ibUT3' ls3'
 pstate4' cfg4' cfgs4' ib4' ibUT4' ls4' statA'.
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3) →S (pstate3', cfg3', cfgs3', ib3',
  ibUT3', ls3') ==>
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4) →S (pstate4', cfg4', cfgs4', ib4',
  ibUT4', ls4') ==>
  Opt.eqAct ss3 ss4 ==> statA' = sstatA' statA ss3 ss4 ==>
  (Δ2 ∞ 1 1 (pstate3', cfg3', cfgs3', ib3', ibUT3', ls3') (pstate4', cfg4', cfgs4',
  ib4', ibUT4', ls4') statA' ss1 ss2 statO))
  ==> match12 Δ2 w1 w2 ss3 ss4 statA ss1 ss2 statO
apply(rule match12-simpleI, simp-all, rule disjI1)

apply(rule exI[of - 1], rule conjI)
  subgoal using Δ2' unfolding ss Δ2'-defs apply clarify
    by (metis one-less-numeral-iff semiring-norm(76))
  apply(rule exI[of - 1], rule conjI)
    subgoal using Δ2' unfolding ss Δ2'-defs apply clarify
      by (metis one-less-numeral-iff semiring-norm(76))
    subgoal for ss3' ss4' apply(cases ss3', cases ss4')
      subgoal for pstate3' cfg3' cfgs3' ib3' ibUT3' ls3'
        pstate4' cfg4' cfgs4' ib4' ibUT4' ls4'
        using ss3 ss4 by blast . .

show react Δ2 w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1 Δ2 w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2 Δ2 w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12 Δ2 w1 w2 ss3 ss4 statA ss1 ss2 statO
apply(rule match12-aux)

subgoal premises prem using prem(1)[unfolded ss]
  proof(cases rule: stepS-cases)
    case nonspec-normal
      then show ?thesis using stat Δ2' unfolding ss by (auto simp add:
    Δ2'-defs)
    next
    case nonspec-mispred
      then show ?thesis using stat Δ2' unfolding ss by (auto simp add:
    Δ2'-defs)
    next
    case spec-mispred
      then show ?thesis using stat Δ2' prem unfolding ss by (auto simp add:

```

```

 $\Delta 2' \text{-} \text{defs}$ )
  next
    case spec-normal
      then show ?thesis using stat  $\Delta 2'$  prem unfolding ss by (auto simp add:
 $\Delta 2' \text{-} \text{defs}$ )
  next
    case spec-Fence
      then show ?thesis using stat  $\Delta 2'$  prem unfolding ss by (auto simp add:
 $\Delta 2' \text{-} \text{defs}$ )
  next
    case spec-resolve note sr3 = spec-resolve
    show ?thesis using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
      case nonspec-normal
        then show ?thesis using stat  $\Delta 2'$  sr3 unfolding ss by (simp add:
 $\Delta 2' \text{-} \text{defs}$ )
      next
        case nonspec-mispred
          then show ?thesis using stat  $\Delta 2'$  sr3 unfolding ss by (simp add:
 $\Delta 2' \text{-} \text{defs}$ )
      next
        case spec-mispred
          then show ?thesis using stat  $\Delta 2'$  sr3 unfolding ss by (simp add:
 $\Delta 2' \text{-} \text{defs}$ )
      next
        case spec-normal
          then show ?thesis using stat  $\Delta 2'$  sr3 unfolding ss by (simp add:
 $\Delta 2' \text{-} \text{defs}$ )
      next
        case spec-Fence
          then show ?thesis using stat  $\Delta 2'$  sr3 unfolding ss by (simp add:
 $\Delta 2' \text{-} \text{defs}$ )
      next
        case spec-resolve note sr4 = spec-resolve
        show ?thesis
          using stat  $\Delta 2'$  prem sr3 sr4 unfolding ss
          apply(simp add:  $\Delta 2' \text{-} \text{defs}$   $\Delta 2 \text{-} \text{defs}$ )
          apply(intro conjI)
          apply (metis last-map map-butlast map-is-Nil-conv)
          apply (metis image-subset-iff in-set-butlastD)
          apply(metis) apply(metis) apply (metis in-set-butlastD)
          apply (metis in-set-butlastD) apply (metis in-set-butlastD)
          apply (metis in-set-butlastD) apply (metis prem(1) prem(2) ss3 ss4)
          apply (metis in-set-butlastD) apply (metis in-set-butlastD)
          apply (smt (verit, del-insts) butlast.simps(2) last-ConsL last-map
            list.simps(8) map-L2 map-butlast not-Cons-self2)
          apply clarify apply(elim disjE)
          using butlast.simps(2) insertCI last-ConsL last-map
            list.simps(15) list.simps(8) map-L2 map-butlast not-Cons-self2
            resolve.simps resolve-106

```

```

apply metis
using butlast.simps(2) insertCI last-ConsL last-map
    list.simps(15) list.simps(8) map-L2 map-butlast not-Cons-self2
    resolve.simps resolve-106 apply metis
using butlast.simps(2) last.simps map-L2
    map-butlast map-is-Nil-conv neq-Nil-conv nth-Cons-0
    resolve-611 resolve-63 resolve-64
    by (metis last-map list.simps(15))
qed
qed .
qed
qed

lemma step: unwindIntoCond Δe Δe
proof(rule unwindIntoCond-simpleI)
fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
and Δe: Δe n w1 w2 ss3 ss4 statA ss1 ss2 statO

obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
by (cases ss3, auto)
obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
using Δe Opt.final-def finalS-def stepS-endPC endPC-def finalB-endPC
unfolding Δe-defs ss by clarsimp

```

```

then show isIntO ss3 = isIntO ss4 by simp

show react Δe w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1 Δe w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2 Δe w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12 Δe w1 w2 ss3 ss4 statA ss1 ss2 statO
apply(rule match12-simpleI) using Δe unfolding ss apply (simp add: Δe-defs)
by (simp add: stepS-endPC)
qed
qed

```

```

lemmas theConds = step0 step1 step2 step3 step4 step5 stepe

proposition lrsecure
proof-
define m where m: m ≡ (7::nat)
define Δs where Δs: Δs ≡ λi::nat.
if i = 0 then Δ0
else if i = 1 then Δ1
else if i = 2 then Δ2
else if i = 3 then Δ3
else if i = 4 then Δ1'
else if i = 5 then Δ2'
else Δe
define nxt where nxt: nxt ≡ λi::nat.
if i = 0 then {0,1::nat}
else if i = 1 then {1,4,2,3,6}
else if i = 2 then {2,5,1}
else if i = 3 then {3,1}
else if i = 4 then {1}
else if i = 5 then {2}
else {6}
show ?thesis apply(rule distrib-unwind-lrsecure[of m nxt Δs])
subgoal unfolding m by auto
subgoal unfolding nxt m by auto
subgoal using init unfolding Δs by auto
subgoal
unfolding m nxt Δs apply (simp split: if-splits)
using theConds
unfolding oor-def oor3-def oor4-def oor5-def by auto .
qed

```

end

## 13 Proof of Relative Security for fun6

```
theory Fun6
imports ..../Instance-IMP/Instance-Secret-IMem-Inp
Relative-Security.Unwinding
begin
```

### 13.1 Function definition and Boilerplate

```
no-notation bot ( $\perp$ )
consts NN :: nat
lemma NN: NN  $\geq$  0 by auto
```

```
definition aa1 :: avname where aa1 = "a1"
definition aa2 :: avname where aa2 = "a2"
definition vv :: vname where vv = "v"
definition tt :: vname where tt = "y"
```

```
lemmas vvars-defs = aa1-def aa2-def vv-def xx-def tt-def yy-def ffile-def
```

```
lemma vvars-dff[simp]:
aa1  $\neq$  aa2 aa1  $\neq$  vv aa1  $\neq$  xx aa1  $\neq$  yy aa1  $\neq$  tt aa1  $\neq$  ffile
aa2  $\neq$  aa1 aa2  $\neq$  vv aa2  $\neq$  xx aa2  $\neq$  yy aa2  $\neq$  tt aa2  $\neq$  ffile
vv  $\neq$  aa1 vv  $\neq$  aa2 vv  $\neq$  xx vv  $\neq$  yy vv  $\neq$  tt vv  $\neq$  ffile
xx  $\neq$  aa1 xx  $\neq$  aa2 xx  $\neq$  vv xx  $\neq$  yy xx  $\neq$  tt xx  $\neq$  ffile
tt  $\neq$  aa1 tt  $\neq$  aa2 tt  $\neq$  vv tt  $\neq$  yy tt  $\neq$  xx tt  $\neq$  ffile
yy  $\neq$  aa1 yy  $\neq$  aa2 yy  $\neq$  vv yy  $\neq$  xx yy  $\neq$  tt yy  $\neq$  ffile
ffile  $\neq$  aa1 ffile  $\neq$  aa2 ffile  $\neq$  vv ffile  $\neq$  xx ffile  $\neq$  tt ffile  $\neq$  yy
unfolding vvars-defs by auto
```

```
consts size-aa1 :: nat
consts size-aa2 :: nat
```

```
fun initAvstore :: avstore  $\Rightarrow$  bool where
initAvstore (Avstore as) = (as aa1 = (0, size-aa1)  $\wedge$  as aa2 = (size-aa1, size-aa2))
```

```
fun istate :: state  $\Rightarrow$  bool where
istate s = (initAvstore (getAvstore s))
```

```
definition prog  $\equiv$ 
[  

/ $\text{Start}$  ,  

/ $tt ::= (N\ 0)$  ,  

/ $xx ::= (N\ 1)$  ,  

/ $IfJump\ (Not\ (Eq\ (V\ xx)\ (N\ 0)))\ 4\ 13$  ,
```

```

// Input U xx ,
// Input T yy ,
// IfJump (Less (V xx) (N NN)) 7 12 ,
// vv ::= VA aa1 (V xx) ,
// writeSecretOnFile,
// Fence ,
// tt ::= (VA aa2 (Times (V vv) (N 512))) ,
// Output U (V tt) ,
// Jump 3,
// Output U (N 0)
]

```

```

definition PC ≡ {0..13}

definition beforeWhile = {0,1,2}
definition afterWhile = {3..13}
definition startOfWhileThen = 4
definition startOfIfThen = 7
definition inThenIfBeforeOutput = {7,8}
definition startOfElseBranch = 12
definition inElseIf = {12,3,4,13}
definition whileElse = 13

fun leftWhileSpec where
leftWhileSpec cfg cfg' =
  (pcOf cfg = whileElse ∧
   pcOf cfg' = startOfWhileThen)

fun rightWhileSpec where
rightWhileSpec cfg cfg' =
  (pcOf cfg = startOfWhileThen ∧
   pcOf cfg' = whileElse)

fun whileSpeculation where
whileSpeculation cfg cfg' =
  (leftWhileSpec cfg cfg' ∨
   rightWhileSpec cfg cfg')

lemmas whileSpec-def = whileSpeculation.simps
  startOfWhileThen-def
  whileElse-def

lemmas whileSpec-defs = whileSpec-def
  leftWhileSpec.simps
  rightWhileSpec.simps

lemma cases-14: (i::pcounter) = 0 ∨ i = 1 ∨ i = 2 ∨ i = 3 ∨ i = 4 ∨ i = 5 ∨
i = 6 ∨ i = 7 ∨ i = 8 ∨ i = 9 ∨ i = 10 ∨ i = 11 ∨ i = 12 ∨ i = 13 ∨ i = 14

```



```

lemma Output-not8[simp]:is-Output (prog ! 8) by(simp add: prog-def)

lemma is-nif[simp]: $\neg$  is-IfJump (prog ! 9) by(simp add: prog-def)
lemma getInput-not10[simp]: $\neg$  is-getInput (prog ! 10) by(simp add: prog-def)
lemma Output-not10[simp]: $\neg$  is-Output (prog ! 10) by(simp add: prog-def)

lemma getInput-not12[simp]: $\neg$  is-getInput (prog ! 12) by(simp add: prog-def)
lemma Output-not12[simp]: $\neg$  is-Output (prog ! 12) by(simp add: prog-def)

lemma fence[simp]:prog ! 9 = Fence by(simp add: prog-def)

lemma nfence[simp]:prog ! 7  $\neq$  Fence by(simp add: prog-def)

consts mispred :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool
fun resolve :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  bool where
  resolve p pc =
    (if (set pc = {4,13})  $\vee$  (7  $\in$  set pc  $\wedge$  (4  $\in$  set pc  $\vee$  13  $\in$  set pc))  $\vee$  pc = [12,8])
    then True else False)

lemma resolve-73:  $\neg$ resolve p [7,3] by auto
lemma resolve-74: resolve p [7,4] by auto
lemma resolve-713: resolve p [7,13] by auto
lemma resolve-127:  $\neg$ resolve p [12,7] by auto
lemma resolve-129:  $\neg$ resolve p [12,9] by auto

consts update :: predState  $\Rightarrow$  pcounter list  $\Rightarrow$  predState
consts initPstate :: predState

interpretation Prog-Mispred-Init where
  prog = prog and initPstate = initPstate and
  mispred = mispred and resolve = resolve and update = update and
  istate = istate
  by (standard, simp add: prog-def)

```

**abbreviation**

$$\text{stepB-abbrev} :: \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{bool} \ (\text{infix } \rightarrow B \ 55)$$

**where**  $x \rightarrow B y == \text{stepB } x y$

**abbreviation**

$$\text{stepsB-abbrev} :: \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{config} \times \text{val llist} \times \text{val llist} \Rightarrow \text{bool} \ (\text{infix } \rightarrow B* \ 55)$$

**where**  $x \rightarrow B* y == \text{star stepB } x y$

**abbreviation**

$$\begin{aligned} stepM\text{-abbrev} :: config \times val\ llist \times val\ llist \Rightarrow config \times val\ llist \times val\ llist \Rightarrow \\ \text{bool } (\text{infix } \rightarrow MM \ 55) \\ \text{where } x \rightarrow MM y == stepM x y \end{aligned}$$
**abbreviation**

$$\begin{aligned} stepN\text{-abbrev} :: config \times val\ llist \times val\ llist \times loc\ set \Rightarrow config \times val\ llist \times val\ llist \times loc\ set \Rightarrow \\ \text{bool } (\text{infix } \rightarrow N \ 55) \\ \text{where } x \rightarrow N y == stepN x y \end{aligned}$$
**abbreviation**

$$\begin{aligned} stepsN\text{-abbrev} :: config \times val\ llist \times val\ llist \times loc\ set \Rightarrow config \times val\ llist \times val\ llist \times loc\ set \Rightarrow \\ \text{bool } (\text{infix } \rightarrow N* \ 55) \\ \text{where } x \rightarrow N* y == star\ stepN\ x\ y \end{aligned}$$
**abbreviation**

$$\begin{aligned} stepS\text{-abbrev} :: configS \Rightarrow configS \Rightarrow \text{bool } (\text{infix } \rightarrow S \ 55) \\ \text{where } x \rightarrow S y == stepS\ x\ y \end{aligned}$$
**abbreviation**

$$\begin{aligned} stepsS\text{-abbrev} :: configS \Rightarrow configS \Rightarrow \text{bool } (\text{infix } \rightarrow S* \ 55) \\ \text{where } x \rightarrow S* y == star\ stepS\ x\ y \end{aligned}$$

**lemma** *endPC[simp]*:  $\text{endPC} = 14$   
**unfolding** *endPC-def* **unfolding** *prog-def* **by** *auto*

**lemma** *is-getInput-*pcOf*[simp]*:  $\text{pcOf}\ cfg < 14 \implies \text{is-getInput}\ (\text{prog!}(\text{pcOf}\ cfg))$   
 $\longleftrightarrow \text{pcOf}\ cfg = 4 \vee \text{pcOf}\ cfg = 5$   
**using** *cases-14[of pcOf cfg]* **by** (*auto simp: prog-def*)

**lemma** *is-Output-*pcOf*[simp]*:  $\text{pcOf}\ cfg < 14 \implies \text{is-Output}\ (\text{prog!}(\text{pcOf}\ cfg)) \longleftrightarrow$   
 $(\text{pcOf}\ cfg = 8 \vee \text{pcOf}\ cfg = 11 \vee \text{pcOf}\ cfg = 13)$   
**using** *cases-14[of pcOf cfg]* **by** (*auto simp: prog-def*)

**lemma** *is-Output-T*:  $\text{is-Output}\ (\text{prog ! } 8)$   
**unfolding** *is-Output-def* *prog-def* **by** *auto*  
**lemma** *is-Output*:  $\text{is-Output}\ (\text{prog ! } 11)$   
**unfolding** *is-Output-def* *prog-def* **by** *auto*  
**lemma** *is-Output-1*:  $\text{is-Output}\ (\text{prog ! } 13)$   
**unfolding** *is-Output-def* *prog-def* **by** *auto*

**lemma** *isSecV-*pcOf*[simp]*:  
 $\text{isSecV}\ (cfg, ibT, ibUT, ls) \longleftrightarrow \neg \text{finalB}\ (cfg, ibT, ibUT)$   
**using** *isSecV-def* **by** *simp*

```

lemma isSecO-pcOf[simp]:
isSecO (pstate,cfg,cfgs,ibT,ibUT,ls)  $\longleftrightarrow$ 
   $\neg \text{finalS}$  (pstate,cfg,cfgs,ibT,ibUT,ls)  $\wedge$  cfgs = []
using isSecO-def by simp

lemma getActV-pcOf[simp]:
pcOf cfg < 14  $\implies$ 
  getActV (cfg,ibT,ibUT,ls) =
    (if pcOf cfg = 4 then lhd ibUT
     else if pcOf cfg = 5 then lhd ibT
     else  $\perp$ )
  apply(subst getActV-simps) unfolding prog-def
  apply simp
using getActV-simps not-is-getInput-getActV prog-def by auto

lemma getObsV-pcOf[simp]:
pcOf cfg < 14  $\implies$ 
  getObsV (cfg,ibT,ibUT,ls) =
    (if pcOf cfg = 11  $\vee$  pcOf cfg = 13 then
     (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)
     else  $\perp$ )
  )
apply(subst getObsV-simps)
apply (simp add: prog-def)
unfolding getObsV-simps not-is-Output-getObsV is-Output-pcOf prog-def One-nat-def
using cases-14 [of pcOf cfg] by auto

lemma getActO-pcOf[simp]:
pcOf cfg < 12  $\implies$ 
  getActO (pstate,cfg,cfgs,ibT,ibUT,ls) =
    (if cfgs = [] then
     (if pcOf cfg = 4 then lhd ibUT
      else if pcOf cfg = 5 then lhd ibT
      else  $\perp$ ) else  $\perp$ )
  apply(subst getActO-simps)
  apply (cases cfgs, auto)
  unfolding prog-def apply simp
  apply (cases pcOf cfg = 4, auto)
using getActV-simps getActV-pcOf prog-def by simp

lemma getObsO-pcOf[simp]:
pcOf cfg < 14  $\implies$ 
  getObsO (pstate,cfg,cfgs,ibT,ibUT,ls) =
    (if (pcOf cfg = 11  $\vee$  pcOf cfg = 13)  $\wedge$  cfgs = [] then
     (outOf (prog!(pcOf cfg)) (stateOf cfg), ls)

```

```

    else ⊥
)
apply(subst getObsO-simps)
apply(cases cfgs, auto)
using getObsV-simps is-Output-pcOf not-is-Output-getObsV prog-def
One-nat-def
unfolding prog-def
using cases-14[of pcOf cfg]
by auto

```

```

lemma getActTrustedInput:pc4 = 4 ==> pc3 = 4 ==> cfgs3 = [] ==> cfgs4 = []
==>
getActO (pstate3, Config pc3 (State (Vstore vs3) avst3 h3 p3), [], ib3T,
ib3UT, ls3) =
getActO (pstate4, Config pc4 (State (Vstore vs4) avst4 h4 p4), [], ib4T,
ib4UT, ls4)
==> lhd ib3UT = lhd ib4UT
using getActO-pcOf zero-less-numeral by auto

lemma getActUntrustedInput:pc4 = 5 ==> pc3 = 5 ==> cfgs3 = [] ==> cfgs4 = []
==>
getActO (pstate3, Config pc3 (State (Vstore vs3) avst3 h3 p3), [], ib3T,
ib3UT, ls3) =
getActO (pstate4, Config pc4 (State (Vstore vs4) avst4 h4 p4), [], ib4T,
ib4UT, ls4)
==> lhd ib3T = lhd ib4T
using getActO-pcOf zero-less-numeral by auto

```

```

lemma nextB-pc0[simp]:
nextB (Config 0 s, ibT, ibUT) = (Config 1 s, ibT, ibUT)
apply(subst nextB-Start-Skip-Fence)
unfolding endPC-def unfolding prog-def by auto

```

```

lemma readLocs-pc0[simp]:
readLocs (Config 0 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

```

```

lemma nextB-pc1[simp]:
nextB (Config 1 (State (Vstore vs) avst hh p), ibT, ibUT) =
((Config 2 (State (Vstore (vs(tt := 0)))) avst hh p)), ibT, ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

```

```

lemma nextB-pc1 '['simp]':
nextB (Config (Suc 0) (State (Vstore vs) avst hh p), ibT, ibUT) =
((Config 2 (State (Vstore (vs(tt := 0)))) avst hh p)), ibT, ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc1 '['simp]':
readLocs (Config 1 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma readLocs-pc1 '['simp]':
readLocs (Config (Suc 0) s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc2 '['simp]':
nextB (Config 2 (State (Vstore vs) avst hh p), ibT, ibUT) =
((Config 3 (State (Vstore (vs(xx := 1)))) avst hh p)), ibT, ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc2 '['simp]':
readLocs (Config 2 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc3-then '['simp]':
vs xx ≠ 0  $\implies$ 
nextB (Config 3 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config 4 (State (Vstore vs) avst hh p), ibT, ibUT)
apply(subst nextB-IfTrue)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextB-pc3-else '['simp]':
vs xx = 0  $\implies$ 
nextB (Config 3 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config 13 (State (Vstore vs) avst hh p), ibT, ibUT)
apply(subst nextB-IfFalse)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextB-pc3:
nextB (Config 3 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config (if vs xx ≠ 0 then 4 else 13) (State (Vstore vs) avst hh p), ibT, ibUT)
by(cases vs xx = 0, auto)

lemma readLocs-pc3 '['simp]':

```

```

readLocs (Config 3 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def Eq-def by auto

lemma nextM-pc3-then[simp]:
vs xx = 0  $\implies$ 
nextM (Config 3 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config 4 (State (Vstore vs) avst hh p), ibT, ibUT)
apply(subst nextM-IfTrue)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextM-pc3-else[simp]:
vs xx ≠ 0  $\implies$ 
nextM (Config 3 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config 13 (State (Vstore vs) avst hh p), ibT, ibUT)
apply(subst nextM-IfFalse)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextM-pc3:
nextM (Config 3 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config (if vs xx ≠ 0 then 13 else 4) (State (Vstore vs) avst hh p), ibT, ibUT)
by(cases vs xx = 0, auto)

lemma nextB-pc4[simp]:
ibUT ≠ LNil  $\implies$  nextB (Config 4 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config 5 (State (Vstore (vs(xx := lhd ibUT))) avst hh p), ibT, ltl ibUT)
apply(subst nextB-getUntrustedInput')
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc4[simp]:
readLocs (Config 4 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc5[simp]:
ibT ≠ LNil  $\implies$  nextB (Config 5 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config 6 (State (Vstore (vs(yy := lhd ibT))) avst hh p), ltl ibT, ibUT)
apply(subst nextB-getTrustedInput')
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc5[simp]:
readLocs (Config 5 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

```

**lemma** nextB-*pc6-then*[simp]:

```

vs xx < int NN ==>
nextB (Config 6 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config 7 (State (Vstore vs) avst hh p), ibT, ibUT)
apply(subst nextB-IfTrue)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextB-pc6-else[simp]:
vs xx ≥ int NN ==>
nextB (Config 6 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config 12 (State (Vstore vs) avst hh p), ibT, ibUT)
apply(subst nextB-IfFalse)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextB-pc6:
nextB (Config 6 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config (if vs xx < int NN then 7 else 12) (State (Vstore vs) avst hh p), ibT,
ibUT)
by(cases vs xx < int NN, auto)

lemma readLocs-pc6[simp]:
readLocs (Config 6 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def Eq-def by auto

lemma nextM-pc6-then[simp]:
vs xx ≥ int NN ==>
nextM (Config 6 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config 7 (State (Vstore vs) avst hh p), ibT, ibUT)
apply(subst nextM-IfTrue)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextM-pc6-else[simp]:
vs xx < int NN ==>
nextM (Config 6 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config 12 (State (Vstore vs) avst hh p), ibT, ibUT)
apply(subst nextM-IfFalse)
unfolding endPC-def unfolding prog-def Eq-def by auto

lemma nextM-pc6:
nextM (Config 6 (State (Vstore vs) avst hh p), ibT, ibUT) =
(Config (if vs xx < int NN then 12 else 7) (State (Vstore vs) avst hh p), ibT,
ibUT)
by(cases vs xx < int NN, auto)

lemma nextB-pc7[simp]:
nextB (Config 7 (State (Vstore vs) avst (Heap hh) p), ibT, ibUT) =
(let l = array-loc aa1 (nat (vs xx)) avst
in (Config 8 (State (Vstore (vs(vv := hh l))) avst (Heap hh) p)), ibT, ibUT)

```

```

apply(subst nextB-Assign)
unfolding endPC-def unfoldings prog-def by auto

lemma readLocs- $\text{pc}7$ [simp]:
readLocs (Config 7 (State (Vstore vs) avst hh p)) = {array-loc aa1 (nat (vs xx))
avst}
unfolding endPC-def readLocs-def unfoldings prog-def by auto

lemma nextB- $\text{pc}8$ [simp]:
nextB (Config 8 (State (Vstore vs) avst hh p), ibT, ibUT) =
((Config 9 (State (Vstore vs) avst hh p)), ibT, ibUT)
apply(subst nextB-Output)
unfolding endPC-def unfoldings prog-def by auto

lemma readLocs- $\text{pc}8$ [simp]:
readLocs (Config 8 s) = {}
unfolding endPC-def readLocs-def
unfolding prog-def by auto

lemma nextB- $\text{pc}9$ [simp]:
nextB (Config 9 s, ibT, ibUT) = (Config 10 s, ibT, ibUT)
apply(subst nextB-Start-Skip-Fence)
unfolding endPC-def unfoldings prog-def by auto

lemma readLocs- $\text{pc}9$ [simp]:
readLocs (Config 9 s) = {}
unfolding endPC-def readLocs-def unfoldings prog-def by auto

lemma nextB- $\text{pc}10$ [simp]:
nextB (Config 10 (State (Vstore vs) avst (Heap hh p)), ibT, ibUT) =
(let l = array-loc aa2 (nat (vs vv * 512)) avst
in (Config 11 (State (Vstore (vs(tt := hh l))) avst (Heap hh p)), ibT, ibUT)
apply(subst nextB-Assign)
unfolding endPC-def unfoldings prog-def by auto

lemma readLocs- $\text{pc}10$ [simp]:
readLocs (Config 10 (State (Vstore vs) avst hh p)) = {array-loc aa2 (nat (vs vv * 512)) avst}
unfolding endPC-def readLocs-def unfoldings prog-def by auto

lemma nextB- $\text{pc}11$ [simp]:
nextB (Config 11 s, ibT, ibUT) = (Config 12 s, ibT, ibUT)

```

```

apply(subst nextB-Output)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc11[simp]:
readLocs (Config 11 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc12[simp]:
nextB (Config 12 s, ibT, ibUT) = (Config 3 s, ibT, ibUT)
apply(subst nextB-Jump)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc12[simp]:
readLocs (Config 12 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma nextB-pc13[simp]:
nextB (Config 13 s, ibT, ibUT) =
(Config 14 s, ibT, ibUT)
apply(subst nextB-Output)
unfolding endPC-def unfolding prog-def by auto

lemma readLocs-pc13[simp]:
readLocs (Config 13 s) = {}
unfolding endPC-def readLocs-def unfolding prog-def by auto

lemma map-L1:length cfgs = Suc 0 ==>
pcOf (last cfgs) = y ==> map pcOf cfgs = [y]
by (smt (verit,del-insts) Suc-length-conv cfgs-map last.simps
length-0-conv map-eq-Cons-conv nth-Cons-0 numeral-2-eq-2)

lemma map-L2:length cfgs = 2 ==>
pcOf (cfgs ! 0) = x ==>
pcOf (last cfgs) = y ==> map pcOf cfgs = [x,y]
by (smt (verit) Suc-length-conv cfgs-map last.simps
length-0-conv map-eq-Cons-conv nth-Cons-0 numeral-2-eq-2)

lemma length cfgs = 2 ==> (cfgs ! 0) = last (butlast cfgs)
by (cases cfgs, auto)

lemma nextB-stepB-pc:
pc < 14 ==> (pc = 4 --> ibUT ≠ LNil) ==> (pc = 5 --> ibT ≠ LNil) ==>
(Config pc s, ibT, ibUT) → B nextB (Config pc s, ibT, ibUT)
apply(cases s) subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h

```



```

    by (simp add: prog-def Eq-def, auto) .
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
    by (simp add: prog-def, metis llist.exhaust-sel)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
    by (simp add: prog-def, metis llist.exhaust-sel)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
    by (simp add: prog-def, metis llist.exhaust-sel)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
    by (simp add: prog-def, metis llist.exhaust-sel)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
    by (simp add: prog-def, metis llist.exhaust-sel)

subgoal apply(cases vs xx < NN)
    subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
        by (simp add: prog-def Eq-def)
    subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
        by (simp add: prog-def Eq-def) .
subgoal apply(cases vs xx < NN)
    subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
        by (simp add: prog-def Eq-def)
    subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
        by (simp add: prog-def Eq-def) .
subgoal apply(cases vs xx < NN)
    subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
        by (simp add: prog-def Eq-def)
    subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
        by (simp add: prog-def Eq-def) .
subgoal apply(cases vs xx < NN)
    subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
        by (simp add: prog-def Eq-def)
    subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
        by (simp add: prog-def Eq-def) .
subgoal apply(cases vs xx < NN)
    subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
        by (simp add: prog-def Eq-def)
    subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
        by (simp add: prog-def Eq-def) .

subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)
subgoal apply simp apply(subst stepB.simps) unfolding endPC-def
by (simp add: prog-def)

```

**lemma** *not-finalB*:  
 $pc < 14 \implies (pc = 4 \rightarrow ibUT \neq LNil) \implies (pc = 5 \rightarrow ibT \neq LNil) \implies$   
 $\neg finalB(Config\ pc\ s,\ ibT,\ ibUT)$   
**using** *nextB-stepB- $pc$*  **by** (*simp add: stepB-iff-nextB*)

```

lemma finalB-pc-iff':
pc < 14  $\implies$ 
finalB (Config pc s, ibT, ibUT)  $\longleftrightarrow$ 
(pc = 4  $\wedge$  ibUT = LNil)  $\vee$  (pc = 5  $\wedge$  ibT = LNil)
apply standard
subgoal using nextB-stepB-pc[of pc] by (auto simp add: stepB-iff-nextB)
unfolding finalB-iff by (elim disjE, simp-all add: prog-def)

```

```

lemma finalB-pc-iff:
pc ≤ 14  $\implies$ 
finalB (Config pc s, ibT, ibUT)  $\longleftrightarrow$ 
(pc = 14  $\vee$  (pc = 4  $\wedge$  ibUT = LNil)  $\vee$  (pc = 5  $\wedge$  ibT = LNil))
using Prog.finalB-iff endPC finalB-pc-iff' order-le-less finalB-iff
by metis

```

```

lemma finalB-pcOf-iff[simp]:
pcOf cfg ≤ 14  $\implies$ 
finalB (cfg, ibT, ibUT)  $\longleftrightarrow$  (pcOf cfg = 14  $\vee$  (pcOf cfg = 4  $\wedge$  ibUT = LNil)  $\vee$ 
(pcOf cfg = 5  $\wedge$  ibT = LNil))
using config.collapse finalB-pc-iff by metis

```

```

lemma finalS-cond:pcOf cfg < 14  $\implies$  noMisSpec cfgs  $\implies$  ibT ≠ LNil  $\implies$  ibUT
≠ LNil  $\implies$   $\neg$  finalS (pstate, cfg, cfgs, ibT, ibUT, ls)
apply(cases cfg)
subgoal for pc s apply(cases s)
subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h
using cases-14[of pc] apply(elim disjE) unfolding finalS-defs noMisSpec-def
subgoal using nonspec-normal[of [] Config pc (State (Vstore vs) avst hh p)
pstate pstate ibT ibUT
Config 1 (State (Vstore vs) avst hh p)
ibT ibUT [] ls  $\cup$  readLocs (Config pc (State (Vstore
vs) avst hh p)) ls]
using is-If-pc by force

```

```

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)]
pstate pstate ibT ibUT
Config 2 (State (Vstore (vs(tt:= 0))) avst hh p)
ibT ibUT [] ls  $\cup$  readLocs (Config pc (State (Vstore
vs) avst hh p)) ls])
prefer 7 subgoal by metis by simp-all

```

```

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)])

```

```

 $hh\ p)$ 
 $pstate\ pstate\ ibT\ ibUT$ 
 $Config\ 3\ (State\ (Vstore\ (vs(xx:=\ 1)))\ avst\ hh\ p)$ 
 $ibT\ ibUT\ []\ ls \cup readLocs\ (Config\ pc\ (State\ (Vstore$ 
 $vs)\ avst\ hh\ p))\ ls])$ 
prefer 7 subgoal by metis by simp-all

subgoal apply(cases mispred pstate [3])
subgoal apply(frule nonspec-mispred[of cfgs Config pc (State (Vstore vs) avst hh p)]
 $pstate\ update\ pstate\ [pcOf\ (Config\ pc\ (State$ 
 $(Vstore\ vs)\ avst\ hh\ p))]$ 
 $ibT\ ibUT\ Config\ (if\ vs\ xx \neq 0\ then\ 4\ else\ 13)$ 
 $(State\ (Vstore\ vs)\ avst\ hh\ p)$ 
 $ibT\ ibUT\ Config\ (if\ vs\ xx \neq 0\ then\ 13\ else\ 4)$ 
 $(State\ (Vstore\ vs)\ avst\ hh\ p)$ 
 $ibT\ ibUT\ [Config\ (if\ vs\ xx \neq 0\ then\ 13\ else\ 4)$ 
 $(State\ (Vstore\ vs)\ avst\ hh\ p)]$ 
 $ls \cup readLocs\ (Config\ pc\ (State\ (Vstore\ vs)$ 
 $avst\ hh\ p))\ ls])$ 
prefer 9 subgoal by metis by (simp add: finalM-iff)+

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p)]
 $pstate\ pstate\ ibT\ ibUT$ 
 $Config\ (if\ vs\ xx \neq 0\ then\ 4\ else\ 13)\ (State\ (Vstore\ vs)$ 
 $avst\ hh\ p)$ 
 $ibT\ ibUT\ []\ ls \cup readLocs\ (Config\ pc\ (State\ (Vstore$ 
 $vs)\ avst\ hh\ p))\ ls])$ 
prefer 7 subgoal by metis by simp-all .

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p)]
 $pstate\ pstate\ ibT\ ibUT$ 
 $Config\ 5\ (State\ (Vstore\ (vs(xx:=\ lhd\ ibUT)))\ avst\ hh\ p)$ 
 $ibT\ ltl\ ibUT\ []\ ls \cup readLocs\ (Config\ pc\ (State\ (Vstore$ 
 $vs)\ avst\ hh\ p))\ ls])$ 
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p)]
 $pstate\ pstate\ ibT\ ibUT$ 
 $Config\ 6\ (State\ (Vstore\ (vs(yy:=\ lhd\ ibT)))\ avst\ hh\ p)$ 
 $ltl\ ibT\ ibUT\ []\ ls \cup readLocs\ (Config\ pc\ (State\ (Vstore$ 
 $vs)\ avst\ hh\ p))\ ls])$ 
prefer 7 subgoal by metis by simp-all

subgoal apply(cases mispred pstate [6])

```

```

subgoal apply(frule nonspec-mispred[of cfgs Config pc (State (Vstore vs) avst hh p)
                                         pstate update pstate [pcOf (Config pc (State
                                         (Vstore vs) avst hh p))]

                                         12) (State (Vstore vs) avst hh p)
                                         7) (State (Vstore vs) avst hh p)
                                         7) (State (Vstore vs) avst hh p)]
                                         ls ∪ readLocs (Config pc (State (Vstore vs)
                                         avst hh p)) ls])
prefer 9 subgoal by metis by (simp add: finalM-iff)+

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p)
                                         pstate pstate ibT ibUT
                                         Config (if vs xx < NN then 7 else 12) (State (Vstore
                                         vs) avst hh p)
                                         ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
                                         vs) avst hh p)) ls])
prefer 7 subgoal by metis by simp-all .

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p)
                                         pstate pstate ibT ibUT
                                         (let l = (array-loc aa1 (nat (vs xx)) (Avstore as))
                                         in (Config 8 (State (Vstore (vs(vv := h l))) avst hh p)))
                                         ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore vs) avst
                                         hh p)) ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p)
                                         pstate pstate ibT ibUT
                                         (Config 9 (State (Vstore vs) avst hh p))
                                         ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore vs) avst
                                         hh p)) ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p)
                                         pstate pstate ibT ibUT
                                         Config 10 (State (Vstore vs) avst hh p)
                                         ibT ibUT [] ls ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst hh p)
                                         pstate pstate ibT ibUT
                                         Config 11 (State (Vstore vs) avst hh p)
                                         ibT ibUT [] ls ls])
prefer 7 subgoal by metis by simp-all

```

```

pstate pstate ibT ibUT
(let l = (array-loc aa2 (nat (vs vv * 512)) (Avstore as))
  in (Config 11 (State (Vstore (vs(tt := h l))) avst hh p)))
  ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore vs) avst
hh p)) ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)]
pstate pstate ibT ibUT
Config 12 (State (Vstore vs) avst hh p)
ibT ibUT [] ls ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)]
pstate pstate ibT ibUT
Config 3 (State (Vstore vs) avst hh p)
ibT ibUT [] ls ∪ readLocs (Config pc (State (Vstore
vs) avst hh p)) ls])
prefer 7 subgoal by metis by simp-all

subgoal apply(frule nonspec-normal[of cfgs Config pc (State (Vstore vs) avst
hh p)]
pstate pstate ibT ibUT
Config 14 (State (Vstore vs) avst hh p)
ibT ibUT [] ls ls])
prefer 7 subgoal by metis by simp-all
by simp-all . .

lemma finalS-cond':pcOf cfg < 14 ==> cfgs = [] ==> ibT ≠ LNil ==> ibUT ≠
LNil ==>
  ¬ finalS (pstate, cfg, cfgs, ibT, ibUT, ls)
using finalS-cond by (simp add: noMisSpec-def)

lemma finalS-while-spec:
  whileSpeculation cfg (last cfgs) ==>
  length cfgs = Suc 0 ==>
  ¬ finalS (pstate, cfg, cfgs, ibT, ibUT, ls)
apply(unfold whileSpec-defs, cases cfg)
subgoal for pc s apply(cases s)
subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
subgoal for vs as h
apply(elim disjE, elim conjE) unfolding finalS-defs
subgoal using stepS-spec-resolve-iff[of cfgs pstate cfg ibT ibUT ls update
pstate (pcOf cfg # map pcOf cfgs)]
by (metis (no-types, lifting) cfgs-map empty-set insert-commute less-numeral-extra(3))

resolve.simps list.simps(15) list.size(3) numeral-2-eq-2 pos2)

```

```

subgoal apply(elim conjE)
  using spec-resolve[of cfgs pstate cfg update pstate (pcOf cfg # map pcOf cfgs) cfg [] ibT ibT ibUT ibUT ls ls]
  using empty-set resolve.simps length-0-conv
    length-1-butlast length-Suc-conv list.simps(9,15)
    cfgs-map not-Cons-self2 spec-resolve by metis . . .

lemma finalS-while-spec-L2:
  pcOf cfg = 7 ==>
  whileSpeculation (cfgs!0) (last cfgs) ==>
  length cfgs = 2 ==>
  ¬ finalS (pstate, cfg, cfgs, ibT, ibUT, ls)
apply(unfold whileSpec-defs, cases cfg)
  subgoal for pc s apply(cases s)
  subgoal for vst avst hh p apply(cases vst, cases avst, cases hh)
  subgoal for vs as h
    apply(elim disjE, elim conjE) unfolding finalS-defs
    subgoal using stepS-spec-resolve-iff[of cfgs pstate cfg ibT ibUT ls update pstate (pcOf cfg # map pcOf cfgs)]
      unfolding resolve.simps
      using list.set-intros(1,2) map-L2 zero-neq-numeral
      by fastforce
    subgoal apply(elim conjE)
      using spec-resolve
      unfolding resolve.simps
      using list.set-intros(1,2) map-L2 zero-neq-numeral
      by (metis (no-types, lifting) Prog-Mispred.spec-resolve Prog-Mispred-axioms
list.size(3))
    . . .

lemma finalS-if-spec:
  (pcOf (last cfgs) ∈ inThenIfBeforeOutput ∧ pcOf cfg = 12) ∨
  (pcOf (last cfgs) ∈ inElseIf ∧ pcOf cfg = 7) ==>
  length cfgs = Suc 0 ==>
  ¬ finalS (pstate, cfg, cfgs, ibT, ibUT, ls)
  unfolding inThenIfBeforeOutput-def inElseIf-def
  apply(simp,cases last cfgs)
  subgoal for pc s apply(cases s)
  subgoal for vst avst hh p apply(cases vst, cases hh)
  subgoal for vs h
    apply(elim disjE, elim conjE) unfolding finalS-defs
    subgoal apply(elim disjE)
      subgoal apply(rule notI,
        erule allE[of - (pstate, cfg,
          [Config 8 (State (Vstore (vs(vv := h (array-loc aa1 (nat (vs xx)) avst))) avst hh p)], ibT,ibUT,ls ∪ readLocs (last cfgs))])
      by (erule notE,
        rule spec-normal[of - - - - Config 8 (State (Vstore (vs(vv := h (array-loc

```

```

aa1 (nat (vs xx)) avst)))) avst hh p)], auto)
  subgoal apply(rule notI, erule allE[of - (update pstate (pcOf cfg # map
  pcOf cfgs),cfg,[] ,ibT,ibUT,ls ∪ readLocs (last cfgs))])
    by(erule noteE, rule spec-resolve, auto) .
  subgoal apply(elim conjE, elim disjE)
    subgoal apply(rule notI, erule allE[of -
      (pstate, cfg, [Config 3 (State (Vstore vs) avst hh p)], ibT,ibUT,
       ls ∪ readLocs (Config pc (State (Vstore vs) avst hh p))))]
      by(erule noteE, rule spec-normal[of -----Config 3 (State (Vstore vs)
      avst hh p)], auto)

  subgoal apply(cases mispred pstate [7,3])
    subgoal apply(rule notI, erule allE[of -
      (update pstate (pcOf cfg # map pcOf cfgs),
       cfg,
       [Config (if vs xx ≠ 0 then 4 else 13) (State (Vstore vs) avst hh p),
        Config (if vs xx ≠ 0 then 13 else 4) (State (Vstore vs) avst hh p)], ibT,
       ibUT,
       ls ∪ readLocs (Config pc (State (Vstore vs) avst hh p))))]
      apply(erule noteE,
      rule spec-mispred[of -----
        Config (if vs xx ≠ 0 then 4 else 13) (State (Vstore vs) avst hh p) -
        - Config (if vs xx ≠ 0 then 13 else 4) (State (Vstore vs) avst hh p) ibT
       ibUT])
      by(auto simp: finalM-iff)

  apply(rule notI, erule allE[of -
    (pstate, cfg, [Config (if vs xx ≠ 0 then 4 else 13) (State (Vstore vs) avst
    hh p)], ibT,ibUT,
     ls ∪ readLocs (Config pc (State (Vstore vs) avst hh p)))]
    by (erule noteE,
      rule spec-normal[of -----Config (if vs xx ≠ 0 then 4 else 13) (State
      (Vstore vs) avst hh p)], auto)

  subgoal by (metis resolve-74 stepS-spec-resolve-iff
    map-L1 cfgs-Suc-zero not-Cons-self2)
  subgoal by (metis resolve-713 stepS-spec-resolve-iff
    map-L1 cfgs-Suc-zero not-Cons-self2)
  . . .
end

```

### 13.2 Proof

```

theory Fun6-secure
imports Fun6
begin

```

```

definition common :: enat ⇒ enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV ⇒
stateV ⇒ status ⇒ bool
where
common = (λw1 w2
  (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
  (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
  statA
  (cfg1, ibT1, ibUT1, ls1)
  (cfg2, ibT2, ibUT2, ls2)
  statO.
  (pstate3 = pstate4 ∧
  cfg1 = cfg3 ∧ cfg2 = cfg4 ∧
  pcOf cfg3 = pcOf cfg4 ∧ map pcOf cfgs3 = map pcOf cfgs4 ∧
  pcOf cfg3 ∈ PC ∧ pcOf ‘(set cfgs3) ⊆ PC ∧
  llength ibT1 = ∞ ∧ llength ibT2 = ∞ ∧
  llength ibUT1 = ∞ ∧ llength ibUT2 = ∞ ∧
  ibT1 = ibT3 ∧ ibT2 = ibT4 ∧
  ibUT1 = ibUT3 ∧ ibUT2 = ibUT4 ∧
  w1 = w2 ∧
  /**
  array-base aa1 (getAvstore (stateOf cfg3)) = array-base aa1 (getAvstore (stateOf
  cfg4)) ∧
  (∀ cfg3' ∈ set cfgs3. array-base aa1 (getAvstore (stateOf cfg3')) = array-base aa1
  (getAvstore (stateOf cfg3'))) ∧
  (∀ cfg4' ∈ set cfgs4. array-base aa1 (getAvstore (stateOf cfg4')) = array-base aa1
  (getAvstore (stateOf cfg4))) ∧
  array-base aa2 (getAvstore (stateOf cfg3)) = array-base aa2 (getAvstore (stateOf
  cfg4)) ∧
  (∀ cfg3' ∈ set cfgs3. array-base aa2 (getAvstore (stateOf cfg3')) = array-base aa2
  (getAvstore (stateOf cfg3))) ∧
  (∀ cfg4' ∈ set cfgs4. array-base aa2 (getAvstore (stateOf cfg4')) = array-base aa2
  (getAvstore (stateOf cfg4))) ∧
  /**
  (statA = Diff → statO = Diff) ∧
  Dist ls1 ls2 ls3 ls4))

lemma common-implies: common w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO →
pcOf cfg1 < 14 ∧ pcOf cfg2 = pcOf cfg1 ∧
ibT1 ≠ [] ∧ ibT2 ≠ [] ∧
ibUT1 ≠ [] ∧ ibUT2 ≠ [] ∧

```

$w1 = w2$   
**unfolding** common-def PC-def **by** (auto simp: image-def subset-eq)

**definition**  $\Delta 0 :: enat \Rightarrow enat \Rightarrow enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status \Rightarrow bool$  **where**  
 $\Delta 0 = (\lambda num\ w1\ w2\ (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3))$   
 $\quad (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$   
 $\quad statA$   
 $\quad (cfg1, ibT1, ibUT1, ls1)$   
 $\quad (cfg2, ibT2, ibUT2, ls2)$   
 $\quad statO.$   
 $(common\ w1\ w2\ (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3))$   
 $\quad (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$   
 $\quad statA$   
 $\quad (cfg1, ibT1, ibUT1, ls1)$   
 $\quad (cfg2, ibT2, ibUT2, ls2)$   
 $\quad statO \wedge$   
 $\quad pcOf\ cfg3 \in beforeWhile \wedge$   
 $\quad (pcOf\ cfg3 > 1 \longrightarrow same-var-o\ tt\ cfg3\ cfgs3\ cfg4\ cfgs4) \wedge$   
 $\quad (pcOf\ cfg3 > 2 \longrightarrow same-var-o\ xx\ cfg3\ cfgs3\ cfg4\ cfgs4) \wedge$   
 $\quad (pcOf\ cfg3 > 4 \longrightarrow same-var-o\ xx\ cfg3\ cfgs3\ cfg4\ cfgs4) \wedge$   
 $\quad noMisSpec\ cfgs3$   
 $))$

**lemmas**  $\Delta 0\text{-defs} = \Delta 0\text{-def common-def PC-def same-var-o-def}$   
 $beforeWhile\text{-def noMisSpec-def}$

**lemma**  $\Delta 0\text{-implies}: \Delta 0\ num\ w1\ w2\ (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$   
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$   
 $statA$   
 $(cfg1, ibT1, ibUT1, ls1)$   
 $(cfg2, ibT2, ibUT2, ls2)$   
 $statO \implies$   
 $pcOf\ cfg1 < 14 \wedge pcOf\ cfg2 = pcOf\ cfg1 \wedge$   
 $ibT1 \neq [] \wedge ibT2 \neq [] \wedge$   
 $ibUT1 \neq [] \wedge ibUT2 \neq [] \wedge$   
 $cfgs4 = []$   
**apply** (meson  $\Delta 0\text{-def common-implies}$ )  
**by** (simp-all add:  $\Delta 0\text{-defs}$ , metis Nil-is-map-conv)

**definition**  $\Delta 1 :: enat \Rightarrow enat \Rightarrow enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status \Rightarrow bool$  **where**  
 $\Delta 1 = (\lambda num\ w1\ w2\ (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3))$   
 $\quad (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$   
 $\quad statA$   
 $\quad (cfg1, ibT1, ibUT1, ls1)$

```

 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO.$ 
 $(common w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO \wedge$ 
 $pcOf cfg3 \in afterWhile \wedge$ 
 $same-var-o xx cfg3 cfgs3 cfg4 cfgs4 \wedge$ 
 $noMissSpec cfgs3$ 
 $)$ )
lemmas  $\Delta 1\text{-}defs = \Delta 1\text{-def common-def noMissSpec-def PC-def afterWhile-def same-var-o-def}$ 
lemma  $\Delta 1\text{-implies: } \Delta 1 n w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO \implies$ 
 $pcOf cfg3 < 14 \wedge cfgs3 = [] \wedge ibT3 \neq [] \wedge$ 
 $pcOf cfg4 < 14 \wedge cfgs4 = [] \wedge ibT4 \neq [] \wedge$ 
 $ibUT3 \neq [] \wedge ibUT4 \neq []$ 
unfolding  $\Delta 1\text{-defs apply clarify}$ 
by (metis atLeastAtMost_iff eval-nat-numeral(2) infinity-ne-i0
less-Suc-eq-le list.map-disc iff llength-LNil semiring-norm(28))

```

```

definition  $\Delta 1' :: enat \Rightarrow enat \Rightarrow enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV$ 
 $\Rightarrow stateV \Rightarrow status \Rightarrow bool$  where
 $\Delta 1' = (\lambda num w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO.$ 
 $(common w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)$ 
 $(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)$ 
 $statA$ 
 $(cfg1, ibT1, ibUT1, ls1)$ 
 $(cfg2, ibT2, ibUT2, ls2)$ 
 $statO \wedge$ 
 $same-var-o xx cfg3 cfgs3 cfg4 cfgs4 \wedge$ 
 $whileSpeculation cfg3 (last cfgs3) \wedge$ 
 $missSpecL1 cfgs3 \wedge missSpecL1 cfgs4 \wedge$ 
 $w1 = \infty$ 
 $)$ )
lemmas  $\Delta 1'\text{-}defs = \Delta 1'\text{-def common-def PC-def same-var-def}$ 

```

$\text{startOfIfThen-def}$   $\text{startOfElseBranch-def}$   
 $\text{misSpecL1-def}$   $\text{whileSpec-defs}$

**lemma**  $\Delta 1'$ -implies:  $\Delta 1'$  num w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)  
 $(\text{pstate4}, \text{cfg4}, \text{cfgs4}, \text{ibT4}, \text{ibUT4}, \text{ls4})$   
 $\text{statA}$   
 $(\text{cfg1}, \text{ibT1}, \text{ibUT1}, \text{ls1})$   
 $(\text{cfg2}, \text{ibT2}, \text{ibUT2}, \text{ls2})$   
 $\text{statO} \implies$   
 $\text{pcOf cfg3} < 14 \wedge \text{pcOf cfg4} < 14 \wedge$   
 $\text{whileSpeculation cfg3} (\text{last cfgs3}) \wedge$   
 $\text{whileSpeculation cfg4} (\text{last cfgs4}) \wedge$   
 $\text{length cfgs3} = \text{Suc } 0 \wedge \text{length cfgs4} = \text{Suc } 0$   
**unfolding**  $\Delta 1'$ -defs **by** clar simp

**definition**  $\Delta 2 :: \text{enat} \Rightarrow \text{enat} \Rightarrow \text{enat} \Rightarrow \text{stateO} \Rightarrow \text{stateO} \Rightarrow \text{status} \Rightarrow \text{stateV}$   
 $\Rightarrow \text{stateV} \Rightarrow \text{status} \Rightarrow \text{bool}$  **where**  
 $\Delta 2 = (\lambda \text{num w1 w2} (\text{pstate3}, \text{cfg3}, \text{cfgs3}, \text{ibT3}, \text{ibUT3}, \text{ls3})$   
 $(\text{pstate4}, \text{cfg4}, \text{cfgs4}, \text{ibT4}, \text{ibUT4}, \text{ls4})$   
 $\text{statA}$   
 $(\text{cfg1}, \text{ibT1}, \text{ibUT1}, \text{ls1})$   
 $(\text{cfg2}, \text{ibT2}, \text{ibUT2}, \text{ls2})$   
 $\text{statO}.$   
 $(\text{common w1 w2} (\text{pstate3}, \text{cfg3}, \text{cfgs3}, \text{ibT3}, \text{ibUT3}, \text{ls3})$   
 $(\text{pstate4}, \text{cfg4}, \text{cfgs4}, \text{ibT4}, \text{ibUT4}, \text{ls4})$   
 $\text{statA}$   
 $(\text{cfg1}, \text{ibT1}, \text{ibUT1}, \text{ls1})$   
 $(\text{cfg2}, \text{ibT2}, \text{ibUT2}, \text{ls2})$   
 $\text{statO} \wedge$   
 $\text{same-var-o xx cfg3 cfgs3 cfg4 cfgs4} \wedge$   
 $\text{pcOf cfg3} = \text{startOfIfThen} \wedge \text{pcOf} (\text{last cfgs3}) \in \text{inElseIf} \wedge$   
 $\text{misSpecL1 cfgs3} \wedge \text{misSpecL1 cfgs4} \wedge$   
 $(\text{pcOf} (\text{last cfgs3}) = \text{startOfElseBranch} \rightarrow w1 = \infty) \wedge$   
 $(\text{pcOf} (\text{last cfgs3}) = 3 \rightarrow w1 = 3) \wedge$   
 $(\text{pcOf} (\text{last cfgs3}) = \text{startOfWhileThen} \vee$   
 $\text{pcOf} (\text{last cfgs3}) = \text{whileElse} \rightarrow w1 = 1)$   
 $)$

**lemmas**  $\Delta 2$ -defs =  $\Delta 2$ -def common-def PC-def same-var-o-def misSpecL1-def  
 $\text{startOfIfThen-def}$   $\text{inElseIf-def}$  same-var-def  
 $\text{startOfWhileThen-def}$   $\text{whileElse-def}$   $\text{startOfElseBranch-def}$

**lemma**  $\Delta 2$ -implies:  $\Delta 2$  num w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)

```

(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO ==>
pcOf (last cfgs3) ∈ inElseIf ∧ pcOf cfg3 = 7 ∧
pcOf (last cfgs4) = pcOf (last cfgs3) ∧
pcOf cfg4 = pcOf cfg3 ∧ length cfgs3 = Suc 0 ∧
length cfgs4 = Suc 0 ∧ same-var xx (last cfgs3) (last cfgs4)
apply(intro conjI)
unfolding Δ2-defs
  apply (simp-all add: image-subset-iff)
by (metis last-in-set length-0-conv Nil-is-map-conv last-map length-map)+

definition Δ2' :: enat ⇒ enat ⇒ enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV
⇒ stateV ⇒ status ⇒ bool where
Δ2' = (λnum w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO.
(common w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO ∧
same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
pcOf cfg3 = startOfIfThen ∧
whileSpeculation (cfgs3!0) (last cfgs3) ∧
misSpecL2 cfgs3 ∧ misSpecL2 cfgs4 ∧
w1 = 2
))

lemmas Δ2'-defs = Δ2'-def common-def PC-def same-var-def
  startOfElseBranch-def startOfIfThen-def
  whileSpec-defs misSpecL2-def

lemma Δ2'-implies: Δ2' num w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO ==>
pcOf cfg3 = 7 ∧ pcOf cfg4 = 7 ∧
whileSpeculation (cfgs3!0) (last cfgs3) ∧
whileSpeculation (cfgs4!0) (last cfgs4) ∧

```

```

length cfgs3 = 2 ∧ length cfgs4 = 2
apply(intro conjI)
unfolding Δ3'-defs apply (simp add: lessI, clarify)
apply linarith+ apply simp-all
by (metis list.inject map-L2)

definition Δ3 :: enat ⇒ enat ⇒ enat ⇒ stateO ⇒ stateO ⇒ status ⇒ stateV
⇒ stateV ⇒ status ⇒ bool where
Δ3 = (λnum w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
      (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
      statA
      (cfg1, ibT1, ibUT1, ls1)
      (cfg2, ibT2, ibUT2, ls2)
      statO.
      (common w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
       (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
       statA
       (cfg1, ibT1, ibUT1, ls1)
       (cfg2, ibT2, ibUT2, ls2)
       statO ∧
       same-var-o xx cfg3 cfgs3 cfg4 cfgs4 ∧
       pcOf cfg3 = startOfElseBranch ∧ pcOf (last cfgs3) ∈ inThenIfBeforeOutput ∧
       misSpecL1 cfgs3 ∧
       (pcOf (last cfgs3) = 7 → w1 = ∞) ∧
       (pcOf (last cfgs3) = 8 → w1 = 2) ∧
       (pcOf (last cfgs3) = 9 → w1 = 1)
      ))
lemmas Δ3-defs = Δ3-def common-def PC-def same-var-o-def
startOfElseBranch-def inThenIfBeforeOutput-def

lemma Δ3-implies: Δ3 num w1 w2 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3)
(pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4)
statA
(cfg1, ibT1, ibUT1, ls1)
(cfg2, ibT2, ibUT2, ls2)
statO ⇒
pcOf (last cfgs3) ∈ inThenIfBeforeOutput ∧
pcOf (last cfgs4) = pcOf (last cfgs3) ∧
pcOf cfg3 = 12 ∧ pcOf cfg3 = pcOf cfg4 ∧
length cfgs3 = Suc 0 ∧ length cfgs4 = Suc 0
apply(intro conjI)
unfolding Δ3-defs
apply (simp-all add: image-subset-iff)
by (metis last-map map-is-Nil-conv length-map)+
```

```

definition  $\Delta e :: enat \Rightarrow enat \Rightarrow enat \Rightarrow stateO \Rightarrow stateO \Rightarrow status \Rightarrow stateV \Rightarrow stateV \Rightarrow status \Rightarrow bool$  where
 $\Delta e = (\lambda num\ w1\ w2\ (pstate3, cfg3, cfgs3, ib3, ls3)$ 
 $\quad (pstate4, cfg4, cfgs4, ib4, ls4)$ 
 $\quad statA$ 
 $\quad (cfg1, ib1, ls1)$ 
 $\quad (cfg2, ib2, ls2)$ 
 $\quad statO.$ 
 $(pcOf\ cfg3 = endPC \wedge pcOf\ cfg4 = endPC \wedge cfgs3 = [] \wedge cfgs4 = [] \wedge$ 
 $\quad pcOf\ cfg1 = endPC \wedge pcOf\ cfg2 = endPC))$ 

```

**lemmas**  $\Delta e\text{-}defs = \Delta e\text{-}def\ common\text{-}def\ endPC\text{-}def$

```

lemma init: initCond  $\Delta 0$ 
unfolding initCond-def apply safe
subgoal for pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3
          pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4
unfolding istateO.simps apply clar simp
apply (cases getAvstore (stateOf cfg3), cases getAvstore (stateOf cfg4))
unfolding  $\Delta 0\text{-}defs$ 
unfolding array-base-def by auto .

```

```

lemma step0: unwindIntoCond  $\Delta 0$  (oor  $\Delta 0$   $\Delta 1$ )
proof (rule unwindIntoCond-simpleI)
  fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and  $\Delta 0$ :  $\Delta 0\ n\ w1\ w2\ ss3\ ss4\ statA\ ss1\ ss2\ statO$ 

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
  ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
  ibT4, ibUT4, ls4)
  by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
  by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
  by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
    cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases cfg3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where

```

```

cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
  using Δ0 unfolding ss
  apply-by(frule Δ0-implies, simp)

have f2:¬finalN ss2
  using Δ0 unfolding ss
  apply-by(frule Δ0-implies, simp)

have f3:¬finalS ss3
  using Δ0 unfolding ss
  apply-apply(frule Δ0-implies, unfold Δ0-defs)
  using finalS-cond' by simp

have f4:¬finalS ss4
  using Δ0 unfolding ss
  apply-apply(frule Δ0-implies, unfold Δ0-defs)
  using finalS-cond' by simp

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor Δ0 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

  show match1 (oor Δ0 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
    unfolding match1-def by (simp add: finalS-def final-def)
  show match2 (oor Δ0 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
    unfolding match2-def by (simp add: finalS-def final-def)
  show match12 (oor Δ0 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO

proof(rule match12-simpleI,rule disjI2, intro conjI)
  fix ss3' ss4' statA'
  assume statA': statA' = sstatA' statA ss3 ss4
  and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
  and sa: Opt.eqAct ss3 ss4
  note v3 = v(1) note v4 = v(2)

```

```

obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
cfg3', cfgs3', ibT3', ibUT3', ls3')
  by (cases ss3', auto)
  obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
cfg4', cfgs4', ibT4', ibUT4', ls4')
    by (cases ss4', auto)
  note ss = ss ss3' ss4'

obtain pc3 vs3 avst3 h3 p3 where
  cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases cfg3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
    cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
    by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg3 cfg4

show eqSec ss1 ss3
  using v sa Δ0 finals unfolding ss
  by (simp add: Δ0-defs eqSec-def)

show eqSec ss2 ss4
  using v sa Δ0 finals unfolding ss
  by (simp add: Δ0-defs eqSec-def, metis map-is-Nil-conv)

show Van.eqAct ss1 ss2
  using v sa Δ0 unfolding ss
  apply-apply(frule Δ0-implies)
  unfolding Opt.eqAct-def
    Van.eqAct-def
  by(simp-all add: Δ0-defs, linarith)

show match12-12 (oor Δ0 Δ1) ∞ ∞ ss3' ss4' statA' ss1 ss2 statO
  unfolding match12-12-def
  proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
conjI impI)
  show validTransV (ss1, nextN ss1)
    by (simp add: f1 nextN-stepN)

  show validTransV (ss2, nextN ss2)
    by (simp add: f2 nextN-stepN)

{assume sstat: statA' = Diff
show sstatO' statO ss1 ss2 = Diff
using v sa Δ0 sstat unfolding ss cfg statA' apply simp
apply(simp add: Δ0-defs sstatO'-def sstatA'-def finalS-def final-def)
using cases-14[of pc3] apply(elim disjE)
apply simp-all apply(cases statO, simp-all) apply(cases statA, simp-all)
apply(cases statO, simp-all) apply (cases statA, simp-all)
by (smt (z3) status.distinct status.exhaust newStat.simps)+}

```

```

} note stat = this

show oor Δ0 Δ1 ∞ ∞ ∞ ss3' ss4' statA' (nextN ss1) (nextN ss2) (sstatO'
statO ss1 ss2)

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case nonspec-mispred
    then show ?thesis using sa Δ0 stat unfolding ss
      by (simp add: Δ0-defs numeral-1-eq-Suc-0, linarith)
  next
    case spec-normal
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
  next
    case spec-mispred
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
  next
    case spec-Fence
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
  next
    case spec-resolve
    then show ?thesis using sa Δ0 stat unfolding ss by (simp add: Δ0-defs)
  next
    case nonspec-normal note nn3 = nonspec-normal
    show ?thesis
      using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
        case nonspec-mispred
          then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
        next
          case spec-normal
            then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
        next
          case spec-mispred
            then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
        next
          case spec-Fence
            then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
        next
          case spec-resolve
            then show ?thesis using sa Δ0 stat nn3 unfolding ss by (simp add:
Δ0-defs)
        next
          case nonspec-normal note nn4 = nonspec-normal
          show ?thesis using sa Δ0 stat v3 v4 nn3 nn4 unfolding ss cfg apply
clarsimp
          apply(unfold Δ0-defs,clarsimp, elim disjE)

```

```

subgoal by(rule oorI1, auto simp add: Δ0-defs)
subgoal by (rule oorI1, simp add: Δ0-defs)
subgoal by (rule oorI2, simp add: Δ1-defs) .
qed
qed
qed
qed
qed
qed
qed
qed

lemma step1: unwindIntoCond Δ1 (oor5 Δ1 Δ1' Δ2 Δ3 Δe)
proof(rule unwindIntoCond-simpleI)
fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
and Δ1: Δ1 n w1 w2 ss3 ss4 statA ss1 ss2 statO

obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
by (cases ss3, auto)
obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
using Δ1 unfolding ss Δ1-def apply clarify
apply(frule common-implies)
using finalB-pcOf-iff finalN-iff-finalB nat-less-le by metis

have f2:¬finalN ss2
using Δ1 unfolding ss Δ1-def apply clarify

```

```

apply(frule common-implies)
using finalB-pcOf-iff finalN-iff-finalB nat-less-le by metis

have f3: $\neg$ finalS ss3
using  $\Delta_1$  unfolding ss
apply-apply(frule  $\Delta_1$ -implies)
by (simp add: finalS-cond')

have f4: $\neg$ finalS ss4
using  $\Delta_1$  unfolding ss
apply-apply(frule  $\Delta_1$ -implies)
by (simp add: finalS-cond')

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4  $\wedge$  finalN ss1 = finalS ss3  $\wedge$  finalN ss2 = finalS ss4
using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

show react (oor5  $\Delta_1 \Delta_1' \Delta_2 \Delta_3 \Delta_e$ ) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1 (oor5  $\Delta_1 \Delta_1' \Delta_2 \Delta_3 \Delta_e$ ) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2 (oor5  $\Delta_1 \Delta_1' \Delta_2 \Delta_3 \Delta_e$ ) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12 (oor5  $\Delta_1 \Delta_1' \Delta_2 \Delta_3 \Delta_e$ ) w1 w2 ss3 ss4 statA ss1 ss2 statO

proof(rule match12-simpleI,rule disjI2, intro conjI)
fix ss3' ss4' statA'
assume statA': statA' = sstatA' statA ss3 ss4
and v: validTransO (ss3, ss3') validTransO (ss4, ss4')
and sa: Opt.eqAct ss3 ss4
note v3 = v(1) note v4 = v(2)

obtain pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3' where ss3': ss3' = (pstate3',
cfg3', cfgs3', ibT3', ibUT3', ls3')
by (cases ss3', auto)
obtain pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4' where ss4': ss4' = (pstate4',
cfg4', cfgs4', ibT4', ibUT4', ls4')
by (cases ss4', auto)
note ss = ss ss3' ss4'

show eqSec ss1 ss3
using v sa  $\Delta_1$  finals unfolding ss by (simp add:  $\Delta_1$ -defs eqSec-def)

show eqSec ss2 ss4

```

```

using v sa Δ1 finals unfolding ss
by (simp add: Δ1-defs eqSec-def, metis map-is-Nil-conv)

show Van.eqAct ss1 ss2
using v sa Δ1 unfolding ss apply- apply(frule Δ1-implies)
unfolding Opt.eqAct-def Van.eqAct-def
apply(simp-all add: Δ1-defs)
by (metis f3 getActO-pcOf numeral-eq-iff numeral-less-iff semiring-norm(77,78,81,89)
ss3)

show match12-12 (oor5 Δ1 Δ1' Δ2 Δ3 Δe) ∞ ∞ ss3' ss4' statA' ss1 ss2
statO
unfolding match12-12-def
proof(rule exI[of - nextN ss1], rule exI[of - nextN ss2], unfold Let-def, intro
conjI impI)
show validTransV (ss1, nextN ss1)
by (simp add: f1 nextN-stepN)

show validTransV (ss2, nextN ss2)
by (simp add: f2 nextN-stepN)

{assume sstat: statA' = Diff
show sstatO' statO ss1 ss2 = Diff
using v sa Δ1 sstat finals unfolding ss cfg statA'
apply-apply(frule Δ1-implies)
apply(simp add: Δ1-defs sstatO'-def sstatA'-def newStat-EqI)
using cases-14[of pc3] apply(elim disjE, simp-all)
subgoal apply(cases statO, simp-all)
by(cases statA, simp-all add: newStat-EqI)
subgoal apply(cases statO, simp-all)
by(cases statA, simp-all add: newStat-EqI)
subgoal apply(cases statO, simp-all)
by(cases statA, simp-all add: newStat-EqI)
subgoal apply(cases statO, simp-all)
by(cases statA, simp-all add: newStat-EqI)
subgoal apply(cases statO, simp-all)
by(cases statA, simp-all add: newStat-EqI)
subgoal apply(cases statO, simp-all)
by(cases statA, simp-all add: newStat-EqI)
subgoal apply(cases statO, simp-all, cases statA)
by (simp-all add: newStat-EqI)
subgoal apply(cases statO, simp-all)
by(cases statA, simp-all add: newStat-EqI)
subgoal apply(cases statO, simp-all, cases statA)
by (simp-all add: newStat-EqI split: if-splits)
subgoal apply(cases statO, simp-all, cases statA)
by (simp-all add: newStat-EqI split: if-splits)
subgoal apply(cases statO, simp-all, cases statA)
by (simp-all add: newStat-EqI split: if-splits) .

```

```

} note stat = this

show oor5 Δ1 Δ1' Δ2 Δ3 Δe ∞ ∞ ∞ ss3' ss4' statA' (nextN ss1) (nextN
ss2) (sstatO' statO ss1 ss2)

using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case spec-normal
  then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case spec-mispred
  then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case spec-Fence
  then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case spec-resolve
  then show ?thesis using sa Δ1 stat unfolding ss by (simp add: Δ1-defs)

next
  case nonspec-normal note nn3 = nonspec-normal
  show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

    case nonspec-mispred
    then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
    next
      case spec-normal
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
    next
      case spec-mispred
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
    next
      case spec-Fence
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
    next
      case spec-resolve
      then show ?thesis using sa Δ1 stat nn3 unfolding ss by (simp add:
Δ1-defs)
    next
      case nonspec-normal note nn4 = nonspec-normal
      then show ?thesis using sa Δ1 stat v3 v4 nn3 nn4 f4 unfolding ss cfg
Opt.eqAct-def
      apply clar simp using cases-14[of pc3] apply(elim disjE)

```

```

subgoal by (simp add: Δ1-defs)
subgoal by (simp add: Δ1-defs)
subgoal by (simp add: Δ1-defs)
subgoal using xx-0-cases[of vs3] apply(elim disjE)
  subgoal by(rule oor5I1, auto simp add: Δ1-defs)
  subgoal by(rule oor5I1, auto simp add: Δ1-defs) .
subgoal apply(rule oor5I1) by (auto simp add: Δ1-defs)
subgoal apply(rule oor5I1) by (auto simp add: Δ1-defs)
subgoal using xx-NN-cases[of vs3] apply(elim disjE)
  subgoal by(rule oor5I1, auto simp add: Δ1-defs)
  subgoal by(rule oor5I1, auto simp add: Δ1-defs) .
subgoal by(rule oor5I1, auto simp add: Δ1-defs hh)
subgoal by(rule oor5I1, auto simp add: Δ1-defs)
subgoal by(rule oor5I1, auto simp add: Δ1-defs hh)
subgoal by(rule oor5I1, auto simp add: Δ1-defs hh)
subgoal by(rule oor5I1, auto simp add: Δ1-defs)
subgoal by(rule oor5I1, auto simp add: Δ1-defs)
by(rule oor5I5, simp-all add: Δ1-defs Δe-defs)

qed
next
  case nonspec-mispred note nm3 = nonspec-mispred
show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)

  case nonspec-normal
  then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
  case spec-normal
  then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
  case spec-mispred
  then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
  case spec-Fence
  then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
  case spec-resolve
  then show ?thesis using sa Δ1 stat nm3 unfolding ss by (simp add:
Δ1-defs)
next
  case nonspec-mispred note nm4 = nonspec-mispred
  then show ?thesis using sa Δ1 stat v3 v4 nm3 nm4 unfolding ss cfg
apply clar simp
  using cases-14[of pc3] apply(elim disjE)
  prefer 4 subgoal using xx-0-cases[of vs3] apply(elim disjE)
    subgoal by(rule oor5I2, auto simp add: Δ1-defs Δ1'-defs)

```

```

    subgoal by(rule oor5I2, auto simp add: Δ1-defs Δ1'-defs) .
    prefer 6 subgoal using xx-NN-cases[of vs3] apply(elim disjE)
      subgoal apply(rule oor5I3) by (auto simp add: Δ1-defs Δ2-defs)
        subgoal apply(rule oor5I4) by (auto simp add: Δ1-defs Δ3-defs) .
        by (simp-all add: Δ1-defs)
      qed
    qed
  qed
  qed
  qed
  qed
qed

```

```

lemma step2: unwindIntoCond Δ2 (oor3 Δ2 Δ2' Δ1)
proof(rule unwindIntoCond-simpleI)
  fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and Δ2: Δ2 n w1 w2 ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
  by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
  by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
  by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
  lcfgs3: last cfgs3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases last cfgs3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
  lcfgs4: last cfgs4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases last cfgs4) (metis state.collapse vstore.collapse)
  note lcfgs = lcfgs3 lcfgs4

  have f1:¬finalN ss1
  using Δ2 unfolding ss Δ2-def
  apply clarsimp
  by(frule common-implies, simp)

  have f2:¬finalN ss2
  using Δ2 unfolding ss Δ2-def
  apply clarsimp
  by(frule common-implies, simp)

```

```

have  $f3 : \neg \text{finalS } ss3$ 
  using  $\Delta 2$  unfolding  $ss$ 
  apply-apply(frule  $\Delta 2\text{-implies}$ )
  by (simp add: finalS-if-spec)

have  $f4 : \neg \text{finalS } ss4$ 
  using  $\Delta 2$  unfolding  $ss$ 
  apply-apply(frule  $\Delta 2\text{-implies}$ )
  by (simp add: finalS-if-spec)

note  $\text{finals} = f1\ f2\ f3\ f4$ 
show  $\text{finalS } ss3 = \text{finalS } ss4 \wedge \text{finalN } ss1 = \text{finalS } ss3 \wedge \text{finalN } ss2 = \text{finalS } ss4$ 
  using  $\text{finals}$  by auto

then show  $\text{isIntO } ss3 = \text{isIntO } ss4$  by simp

then have  $\text{lpc3:pcOf } (\text{last cfgs3}) = 12 \vee$ 
   $\text{pcOf } (\text{last cfgs3}) = 3 \vee$ 
   $\text{pcOf } (\text{last cfgs3}) = 4 \vee$ 
   $\text{pcOf } (\text{last cfgs3}) = 13$ 
  using  $\Delta 2$  unfolding  $ss$   $\Delta 2\text{-defs}$  by simp

have  $\text{sec3}[simp]: \neg \text{isSecO } ss3$ 
  using  $\Delta 2$   $\text{finals}$  unfolding  $ss$   $\text{isSecO-def}$ 
  by(simp add:  $\Delta 2\text{-defs}$ , metis list.size(3) n-not-Suc-n)

have  $\text{sec4}[simp]: \neg \text{isSecO } ss4$ 
  using  $\Delta 2$  unfolding  $ss$ 
  by (simp add:  $\Delta 2\text{-defs}$ , metis list.size(3) n-not-Suc-n)

have  $\text{stat}[simp]: \bigwedge ss3' ss4' \text{statA}' . \text{statA}' = \text{sstatA}' \text{statA } ss3 ss4 \implies$ 
   $\text{validTransO } (ss3, ss3') \implies \text{validTransO } (ss4, ss4') \implies$ 
   $(\text{statA} = \text{statA}' \vee \text{statO} = \text{Diff})$ 
subgoal for  $ss3' ss4'$ 
  apply (cases ss3, cases ss4, cases ss1, cases ss2)
  apply (cases ss3', cases ss4', clarsimp)
  using  $\Delta 2$   $\text{finals}$  unfolding  $ss$  applyclarsimp
  apply(simp-all add:  $\Delta 2\text{-defs sstatA'-def}$ )
  apply(cases statO, simp-all) by (cases statA, simp-all add: newStat-EqI) .

have  $xx:vs3\ xx = vs4\ xx$  using  $\Delta 2$   $\text{lcfgs}$  unfolding  $ss$   $\Delta 2\text{-defs}$  applyclarsimp
  by (metis cfgs-Suc-zero config.sel(2) list.set-intros(1) state.sel(1) vstore.sel)

have  $\text{oor3-rule}: \bigwedge ss3' ss4'. ss3 \rightarrow S ss3' \implies ss4 \rightarrow S ss4' \implies$ 
   $(\text{pcOf } (\text{last cfgs3}) = 12 \longrightarrow \text{oor3 } \Delta 2\ \Delta 2'\ \Delta 1 \infty 3\ 3\ ss3' ss4')$ 

```

```

(ssstatA' statA ss3 ss4) ss1 ss2 statO)
  ∧ (pcOf (last cfgs3) = 3 ∧ mispred pstate4 [7, 3] → oor3 Δ2 Δ2'
Δ1 ∞ 2 2 ss3' ss4' (ssstatA' statA ss3 ss4) ss1 ss2 statO)
  ∧ (pcOf (last cfgs3) = 3 ∧ ¬mispred pstate4 [7, 3] → oor3 Δ2
Δ2' Δ1 ∞ 1 1 ss3' ss4' (ssstatA' statA ss3 ss4) ss1 ss2 statO)
  ∧ ((pcOf (last cfgs3) = 4 ∨ pcOf (last cfgs3) = 13) → oor3 Δ2
Δ2' Δ1 ∞ 0 0 ss3' ss4' (ssstatA' statA ss3 ss4) ss1 ss2 statO) ==>
  ∃ w1' < w1. ∃ w2' < w2. oor3 Δ2 Δ2' Δ1 ∞ w1' w2' ss3' ss4'
(ssstatA' statA ss3 ss4) ss1 ss2 statO
  subgoal for ss3' ss4' apply(cases ss3', cases ss4')
    subgoal for pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3'
      pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4'
    subgoal premises p using lpc3 apply-apply(erule disjE)
      subgoal apply(intro exI[of - 3], intro conjI)
        subgoal using Δ2 unfolding ss Δ2-defs apply clarify
          by (metis enat-ord-simps(4) numeral-ne-infinity)
        apply(intro exI[of - 3], rule conjI)
        subgoal using Δ2 unfolding ss Δ2-defs apply clarify
          by (metis enat-ord-simps(4) numeral-ne-infinity)
        using p by (simp add: p)
      apply(erule disjE)
    subgoal apply(cases mispred pstate4 [7, 3])
      subgoal apply(intro exI[of - 2], intro conjI)
        using Δ2 unfolding ss Δ2-defs apply clarify
          apply (metis enat-ord-number(2) eval-nat-numeral(3) lessI)
        apply(intro exI[of - 2], rule conjI)
        using Δ2 unfolding ss Δ2-defs apply clarify
          apply (metis enat-ord-number(2) eval-nat-numeral(3) lessI)
        using Δ2 p unfolding ss Δ2-defs by clarify
      subgoal apply(intro exI[of - 1], intro conjI)
        using Δ2 unfolding ss Δ2-defs apply clarify
          apply (metis one-less-numeral-iff semiring-norm(77))
        apply(intro exI[of - 1], rule conjI)
        using Δ2 unfolding ss Δ2-defs apply clarify
          apply (metis one-less-numeral-iff semiring-norm(77))
        using Δ2 p unfolding ss Δ2-defs by clarify .
      subgoal apply(intro exI[of - 0], intro conjI)
        using Δ2 unfolding ss Δ2-defs apply clarify
          apply (metis less-numeral-extra(1))
        apply(intro exI[of - 0], rule conjI)
        using Δ2 unfolding ss Δ2-defs apply clarify
          apply (metis less-numeral-extra(1))
        using Δ2 p unfolding ss Δ2-defs by clarify . . .

```

```

show react (oor3 Δ2 Δ2' Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

```

```

show match1 (oor3 Δ2 Δ2' Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO

```

```

unfolding match1-def by (simp add: finalS-def final-def)
show match2 (oor3 Δ2 Δ2' Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)
show match12 (oor3 Δ2 Δ2' Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
  apply(rule match12-simpleI, simp-all, rule disjI1)
  subgoal for ss3' ss4' apply(cases ss3', cases ss4')
    subgoal for pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3'
      pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4'
    apply-apply(rule oor3-rule, assumption+, intro conjI impI)

  subgoal premises prem using prem(1)[unfolded ss prem(4)]
  proof(cases rule: stepS-cases)
    case nonspec-normal
  then show ?thesis using stat Δ2 unfolding ss by (auto simp add: Δ2-defs)

next
  case nonspec-mispred
  then show ?thesis using stat Δ2 unfolding ss by (auto simp add: Δ2-defs)

next
  case spec-mispred
  then show ?thesis using stat Δ2 prem(6) unfolding ss by (auto simp
add: Δ2-defs)
next
  case spec-Fence
  then show ?thesis using stat Δ2 prem(6) unfolding ss by (auto simp
add: Δ2-defs)
next
  case spec-resolve
  then show ?thesis
    using Δ2 prem(6) unfolding ss apply (simp add: Δ2-defs, clarsimp)
    by (meson doubleton-eq-iff numeral-eq-iff semiring-norm(89) semir-
ing-norm(90))
next
  case spec-normal note sn3 = spec-normal
show ?thesis using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
  case nonspec-normal
  then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs)
next
  case nonspec-mispred
  then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs)
next
  case spec-Fence
  then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)
next
  case spec-resolve
  then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)

```

```

next
  case spec-mispred
    then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)
next
  case spec-normal note sn4 = spec-normal
  have pc4:pc4 = 12 using Δ2 prem lcfgs unfolding ss Δ2-defs by auto
  show ?thesis
    using Δ2 prem sn3 sn4 finals stat unfolding ss prem(4,5) lcfgs
    apply-apply(frule Δ2-implies, unfold Δ2-defs) apply clarsimp
    apply(rule oor3I1) apply(simp-all add: Δ2-defs pc4)
    using final-def config.sel(2) last-in-set
    lcfgs state.sel(1,2) vstore.sel xx
    by (metis (mono-tags, lifting))
qed
qed

subgoal premises prem using prem(1)[unfolded ss prem(4)]
proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
next
  case nonspec-mispred
  then show ?thesis using stat Δ2 unfolding ss by (auto simp add: Δ2-defs)

next
  case spec-Fence
    then show ?thesis using stat Δ2 prem(6) unfolding ss by (auto simp
add: Δ2-defs)
next
  case spec-normal
    then show ?thesis using stat Δ2 prem unfolding ss by (simp add: Δ2-defs,
metis cfgs-map)
next
  case spec-resolve
  then show ?thesis
    using Δ2 prem(6) resolve-73
    unfolding ss Δ2-defs using cfgs-map misSpecL1-def
    by (clarify,smt (z3) insert-commute list.simps(15) resolve.simps)
next
  case spec-mispred note sm3 = spec-mispred
  show ?thesis using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
    case nonspec-normal
    then show ?thesis using sm3 Δ2 unfolding ss by (simp add: Δ2-defs)
next
  case nonspec-mispred
  then show ?thesis using sm3 Δ2 unfolding ss by (simp add: Δ2-defs)

```

```

next
  case spec-resolve
    then show ?thesis using sm3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)
next
  case spec-Fence
    then show ?thesis using sm3 Δ2 unfolding ss apply-apply(frule
Δ2-implies)
    by (simp add: Δ2-defs)
next
  case spec-normal
    then show ?thesis using sm3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)
next
  case spec-mispred note sm4 = spec-mispred
  have pc:pc4 = 3
    using prem(6) lcfgs Δ2 unfolding ss apply-apply(frule Δ2-implies)
    by (simp add: Δ2-defs )
  show ?thesis apply(rule oor3I2)
    unfolding ss Δ2'-def using xx-0-cases[of vs3] apply(elim disjE)
    subgoal using Δ2 lcfgs prem pc sm3 sm4 xx finals stat unfolding ss
      apply- apply(simp add: Δ2-defs Δ2'-defs, clarify)
      apply(intro conjI)
    subgoal by (metis config.sel(2) last-in-set state.sel(1,2) vstore.sel
final-def)
    subgoal by (metis config.sel(2) last-in-set state.sel(2))
    subgoal by (metis config.sel(2) last-in-set state.sel(2))
    subgoal by (metis config.sel(2) last-in-set state.sel(2))
    subgoal by (smt(verit) prem(1) prem(2) ss)
    subgoal by (metis config.sel(2) last-in-set state.sel(1) vstore.sel) .
    subgoal using Δ2 lcfgs prem pc sm3 sm4 xx finals stat unfolding ss
      apply- apply(simp add: Δ2-defs Δ2'-defs, clarify)
      apply(intro conjI)
    subgoal by (metis config.sel(2) last-in-set state.sel(1,2) vstore.sel
final-def)
    subgoal by (metis config.sel(2) last-in-set state.sel(2))
    subgoal by (metis config.sel(2) last-in-set state.sel(2))
    subgoal by (metis config.sel(2) last-in-set state.sel(2))
    subgoal by (smt(verit) prem(1) prem(2) ss)
    subgoal by (metis config.sel(2) last-in-set state.sel(1) vstore.sel) ..
qed
qed

subgoal premises prem using prem(1)[unfolded ss prem(4)]
proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
next

```

```

case nonspec-mispred
then show ?thesis using stat Δ2 unfolding ss by (auto simp add: Δ2-defs)

next
  case spec-Fence
    then show ?thesis using stat Δ2 prem(6) unfolding ss by (auto simp
add: Δ2-defs)
  next
    case spec-mispred
      then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
    next
      case spec-resolve
        then show ?thesis
          using Δ2 prem(6) resolve-73
          unfolding ss Δ2-defs using cfgs-map misSpecL1-def
          by (clarify,smt (z3) insert-commute list.simps(15) resolve.simps)
    next
      case spec-normal note sn3 = spec-normal
      show ?thesis using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
        case nonspec-normal
        then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs)
      next
        case nonspec-mispred
        then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs)
      next
        case spec-Fence
        then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)
      next
        case spec-resolve
        then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)
      next
        case spec-mispred
        then show ?thesis using sn3 Δ2 unfolding ss by (simp add: Δ2-defs,
metis last-map)
      next
        case spec-normal note sn4 = spec-normal
        show ?thesis
          using Δ2 lcfgs prem sn3 sn4 finals unfolding ss
          apply-apply(frule Δ2-implies, unfold Δ2-defs) apply clarsimp
          apply(rule oor3I1)
          using xx-0-cases[of vs3] apply(elim disjE)
          subgoal apply(simp-all add: Δ2-defs, clarify)
          using config.sel(2) last-in-set stat state.sel(1,2) vstore.sel
          by (smt (verit, ccfv-SIG) Opt.final-def config.sel(1) eval-nat-numeral(3)
f3 f4 is-Output-1 le-imp-less-Suc le-refl nat-less-le ss)
          subgoal apply(simp-all add: Δ2-defs, clarify)

```

```

using config.sel(2) last-in-set stat state.sel(1,2) vstore.sel
apply(intro conjI,unfold config.sel(1))
subgoal by simp
subgoal by simp
subgoal by (metis array-baseSimp)
subgoal by (metis array-baseSimp)
subgoal by (metis array-baseSimp)
subgoal by (metis array-baseSimp)
subgoal by (smt (verit) Opt.final-def ss)
    apply (smt (verit) cfgs-Suc-zero lcfgs list.set-intros(1))
        apply (smt (verit) cfgs-Suc-zero lcfgs list.set-intros(1))
        apply presburger
        apply (smt (verit) insertCI list.simps(15) resolve.elims(3) resolve-74
resolve-127)
            by linarith .
qed
qed

subgoal premises prem using prem(1)[unfolded ss prem(4)]
proof(cases rule: stepS-cases)
  case nonspec-normal
    then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
  next
    case nonspec-mispred
    then show ?thesis using stat Δ2 unfolding ss by (auto simp add: Δ2-defs)

  next
    case spec-Fence
    then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
  next
    case spec-mispred
    then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
  next
    case spec-normal
    then show ?thesis using stat Δ2 prem unfolding ss by (auto simp add:
Δ2-defs)
  next
    case spec-resolve note sr3 = spec-resolve
    show ?thesis using prem(2)[unfolded ss prem(5)] proof(cases rule: stepS-cases)
      case nonspec-normal
      then show ?thesis using stat Δ2 sr3 unfolding ss by (simp add: Δ2-defs)
    next
      case nonspec-mispred
      then show ?thesis using stat Δ2 sr3 unfolding ss by (simp add: Δ2-defs)
    next
      case spec-normal

```

```

then show ?thesis using stat Δ2 sr3 unfolding ss by (simp add: Δ2-defs,
metis)
next
  case spec-mispred
  then show ?thesis using stat Δ2 sr3 unfolding ss by (simp add: Δ2-defs,
metis)
next
  case spec-Fence
  then show ?thesis using stat Δ2 sr3 unfolding ss by (simp add: Δ2-defs,
metis)
next
  case spec-resolve note sr4 = spec-resolve
  show ?thesis using stat Δ2 prem sr3 sr4
  unfolding ss lcfgs apply-
    apply(frule Δ2-implies) apply (simp add: Δ2-defs Δ1-defs)
    apply(rule oor3I3, simp add: Δ1-defs)
    by (metis prem(1) prem(2) ss)
  qed
  qed. .
qed
qed

```

```

lemma step3: unwindIntoCond Δ3 (oor Δ3 Δ1)
proof(rule unwindIntoCond-simpleI)
  fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and Δ3: Δ3 n w1 w2 ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
  by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
  by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
  by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
  lcfgs3: last cfgs3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
  by (cases last cfgs3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
  lcfgs4: last cfgs4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)

```

```

by (cases last cfgs4) (metis state.collapse vstore.collapse)
note lcfgs = lcfgs3 lcfgs4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
using Δ3 unfolding ss Δ3-def
apply clarsimp
by(frule common-implies, simp)

have f2:¬finalN ss2
using Δ3 unfolding ss Δ3-def
apply clarsimp
by(frule common-implies, simp)

have f3:¬finalS ss3
using Δ3 unfolding ss
apply-apply(frule Δ3-implies)
using finalS-if-spec by force

have f4:¬finalS ss4
using Δ3 unfolding ss
apply-apply(frule Δ3-implies)
using finalS-if-spec by force

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

then have lpc3:pcOf (last cfgs3) = 7 ∨
      pcOf (last cfgs3) = 8
using Δ3 unfolding ss Δ3-defs by simp

have sec3[simp]:¬ isSecO ss3
using Δ3 unfolding ss by (simp add: Δ3-defs, metis list.size(3) n-not-Suc-n)

have sec4[simp]:¬ isSecO ss4
using Δ3 unfolding ss
by (simp add: Δ3-defs, metis list.size(3) map-is-Nil-conv nat.distinct(1))

have stat[simp]:¬ s3' s4' statA'. statA' = sstatA' statA ss3 ss4 ==>
      validTransO (ss3, s3') ==> validTransO (ss4, s4') ==>
      (statA = statA' ∨ statO = Diff)

```

```

subgoal for ss3' ss4'
  apply (cases ss3, cases ss4, cases ss1, cases ss2)
  apply (cases ss3', cases ss4', clarsimp)
  using Δ3 finals unfolding ss applyclarsimp
  apply(simp-all add: Δ3-defs sstatA'-def)
  apply(cases statO, simp-all) by (cases statA, simp-all add: newStat-EqI) .

have vs3 xx = vs4 xx using Δ3 lcfs unfolding ss Δ3-defs applyclarsimp
  by (metis cfgs-Suc-zero config.sel(2) list.set-intros(1) state.sel(1) vstore.sel)

then have a1x:(array-loc aa1 (nat (vs4 xx)) avst4) =
  (array-loc aa1 (nat (vs3 xx)) avst3)
  using Δ3 lcfs unfolding ss Δ3-defs array-loc-def applyclarsimp
  by (metis Zero-not-Suc config.sel(2) last-in-set list.size(3) state.sel(2))

have oor2-rule:Δss3' ss4'. ss3 →S ss3' ⇒ ss4 →S ss4' ⇒
  (pcOf (last cfgs3) = 7 → oor Δ3 Δ1 ∞ 2 2 ss3' ss4' (sstatA'
  statA ss3 ss4) ss1 ss2 statO) ∧ (pcOf (last cfgs3) = 8 → oor Δ3 Δ1 ∞ 1 1 ss3' ss4' (sstatA'
  statA ss3 ss4) ss1 ss2 statO) ⇒
  ∃ w1' < w1. ∃ w2' < w2. oor Δ3 Δ1 ∞ w1' w2' ss3' ss4' (sstatA'
  statA ss3 ss4) ss1 ss2 statO
  subgoal for ss3' ss4' apply(cases ss3', cases ss4')
    subgoal for pstate3' cfg3' cfgs3' ib3' ls3'
      pstate4' cfg4' cfgs4' ib4' ls4'
    using lpc3 apply(elim disjE)

  subgoal apply(intro exI[of - 2], intro conjI)
  subgoal using Δ3 unfolding ss Δ3-defs apply clarify
    by (metis enat-ord-simps(4) numeral-ne-infinity)
  apply(intro exI[of - 2], rule conjI)
  subgoal using Δ3 unfolding ss Δ3-defs apply clarify
    by (metis enat-ord-simps(4) numeral-ne-infinity)
  by simp ...

  subgoal apply(intro exI[of - 1], intro conjI)
  subgoal using Δ3 unfolding ss Δ3-defs apply clarify
    by (metis one-less-numeral-iff semiring-norm(76))
  apply(intro exI[of - 1], rule conjI)
  subgoal using Δ3 unfolding ss Δ3-defs apply clarify
    by (metis one-less-numeral-iff semiring-norm(76))
  by simp ...

show react (oor Δ3 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

show match1 (oor Δ3 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
  unfolding match1-def by (simp add: finalS-def final-def)
show match2 (oor Δ3 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO

```

```

unfolding match2-def by (simp add: finalS-def final-def)
show match12 (oor Δ3 Δ1) w1 w2 ss3 ss4 statA ss1 ss2 statO
  apply(rule match12-simpleI, simp-all, rule disjI1)
  subgoal for ss3' ss4' apply(cases ss3', cases ss4')
    subgoal for pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3'
      pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4'
    apply-apply(rule oor2-rule, assumption+, intro conjI impI)

  subgoal premises prem using prem(1)[unfolded ss prem(4)]
  proof(cases rule: stepS-cases)
    case nonspec-normal
  then show ?thesis using stat Δ3 unfolding ss by (auto simp add: Δ3-defs)

next
  case nonspec-mispred
  then show ?thesis using stat Δ3 unfolding ss by (auto simp add: Δ3-defs)

next
  case spec-mispred
    then show ?thesis using stat Δ3 prem(6) unfolding ss by (auto simp
add: Δ3-defs)
next
  case spec-resolve
  then show ?thesis
    using Δ3 prem(6) resolve-127
    unfolding ss Δ3-defs by (clarify,metis cfgs-map misSpecL1-def)
next
  case spec-Fence
  then show ?thesis using stat Δ3 prem(6) unfolding ss by (auto simp
add: Δ3-defs)
next
  case spec-normal note sn3 = spec-normal
  show ?thesis
  using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
    case nonspec-normal
    then show ?thesis using stat Δ3 lcfgs sn3 unfolding ss by (simp add:
Δ3-defs)
next
  case nonspec-mispred
  then show ?thesis using stat Δ3 lcfgs sn3 unfolding ss by (simp add:
Δ3-defs)
next
  case spec-mispred
  then show ?thesis using stat Δ3 lcfgs sn3 unfolding ss by (simp add:
Δ3-defs, metis config.sel(1) last-map)
next
  case spec-Fence
  then show ?thesis using stat Δ3 lcfgs sn3 unfolding ss
  by (simp add: Δ3-defs, metis config.sel(1) last-map)

```

```

next
  case spec-resolve
    then show ?thesis using stat  $\Delta_3$  lcfgs  $sn_3$  unfolding  $ss$  by (simp add:
 $\Delta_3\text{-defs}$ )
next
  case spec-normal note  $sn_4 = spec\text{-normal}$ 
  show ?thesis
  apply(intro oorI1)
  unfolding  $ss$   $\Delta_3\text{-def prem}(4,5)$  apply- apply(clarify,intro conjI)
  subgoal using stat  $\Delta_3$  lcfgs  $prem(1,2)$   $sn_3$   $sn_4$  unfolding  $ss$   $hh$ 
    apply- apply(frule  $\Delta_3\text{-implies}$ ) apply(simp add:  $\Delta_3\text{-defs}$ )
    using cases-14[of pc3] apply simp apply(elim disjE)
    apply simp-all by (metis config.sel(2) last-in-set state.sel(2) Dist-ignore
a1x )+
  subgoal using stat  $\Delta_3$  lcfgs  $prem(1,2)$   $sn_3$   $sn_4$  unfolding  $ss$   $prem(4,5)$ 
hh
    apply- apply(frule  $\Delta_3\text{-implies}$ ) apply(simp-all add:  $\Delta_3\text{-defs}$ )
    using cases-14[of pc3] apply simp apply(elim disjE)
    apply simp-all
    by (metis config.collapse config.inject last-in-set state.sel(1) vstore.sel)+
  subgoal using stat  $\Delta_3$  lcfgs  $prem(1,2)$   $sn_3$   $sn_4$  unfolding  $ss$   $prem(4,5)$ 
hh
    apply- apply(frule  $\Delta_3\text{-implies}$ ) by(simp add:  $\Delta_3\text{-defs}$ )
    subgoal using stat  $\Delta_3$  lcfgs  $prem(1,2)$   $sn_3$   $sn_4$  unfolding  $ss$   $hh$ 
    apply- apply(frule  $\Delta_3\text{-implies}$ ) apply(simp add:  $\Delta_3\text{-defs}$ )
    using cases-14[of pc3] apply simp apply(elim disjE)
    by simp-all
    subgoal using stat  $\Delta_3$  lcfgs  $sn_3$   $sn_4$  unfolding  $ss$   $hh$ 
    apply- apply(frule  $\Delta_3\text{-implies}$ ) apply(simp add:  $\Delta_3\text{-defs}$ )
    using cases-14[of pc3] apply (simp add: array-loc-def) apply(elim disjE)
    by (simp-all add: array-loc-def)
    subgoal using stat  $\Delta_3$  lcfgs  $sn_3$   $sn_4$  unfolding  $ss$   $hh$ 
    apply- apply(frule  $\Delta_3\text{-implies}$ ) apply(simp add:  $\Delta_3\text{-defs}$ )
    using cases-14[of pc3] apply (simp add: array-loc-def) apply(elim disjE)
    by (simp-all add: array-loc-def)
    subgoal using stat  $\Delta_3$  lcfgs  $sn_3$   $sn_4$  unfolding  $ss$   $hh$ 
    apply- apply(frule  $\Delta_3\text{-implies}$ ) by(simp add:  $\Delta_3\text{-defs}$ )
    subgoal using stat  $\Delta_3$  lcfgs  $sn_3$   $sn_4$   $prem(6)$  unfolding  $ss$   $hh$ 
    apply- apply(frule  $\Delta_3\text{-implies}$ ) by(simp add:  $\Delta_3\text{-defs}$ ).
  qed
  qed
subgoal premises  $prem$  using  $prem(1)[unfolded ss prem(4)]$ 
proof(cases rule: stepS-cases)
  case nonspec-normal
  then show ?thesis using stat  $\Delta_3$  unfolding  $ss$  by (auto simp add:  $\Delta_3\text{-defs}$ )

next
  case nonspec-mispred
then show ?thesis using stat  $\Delta_3$  unfolding  $ss$  by (auto simp add:  $\Delta_3\text{-defs}$ )

```

```

next
  case spec-mispred
    then show ?thesis using stat  $\Delta_3$  prem(6) unfolding ss by (auto simp
add:  $\Delta_3\text{-defs}$ )
next
  case spec-Fence
    then show ?thesis using stat  $\Delta_3$  prem(6) unfolding ss by (auto simp
add:  $\Delta_3\text{-defs}$ )
next
  case spec-normal
    then show ?thesis using stat  $\Delta_3$  prem(6) unfolding ss by (auto simp
add:  $\Delta_3\text{-defs}$ )
next
  case spec-resolve note sr3 = spec-resolve
  show ?thesis using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
    case nonspec-normal
      then show ?thesis using stat  $\Delta_3$  lcfgs  $sr_3$  unfolding ss by (simp add:
add:  $\Delta_3\text{-defs}$ )
next
  case nonspec-mispred
    then show ?thesis using stat  $\Delta_3$  lcfgs  $sr_3$  unfolding ss by (simp add:
add:  $\Delta_3\text{-defs}$ )
next
  case spec-mispred
    then show ?thesis using stat  $\Delta_3$  lcfgs  $sr_3$  unfolding ss by (simp add:
add:  $\Delta_3\text{-defs}$ )
next
  case spec-Fence
    then show ?thesis using stat  $\Delta_3$  lcfgs  $sr_3$  unfolding ss by (simp add:
add:  $\Delta_3\text{-defs}$ )
next
  case spec-normal
    then show ?thesis using stat  $\Delta_3$  lcfgs  $sr_3$  unfolding ss by (simp add:
add:  $\Delta_3\text{-defs}$ )
qed
qed
qed

```

**lemma** *step4: unwindIntoCond*  $\Delta_1' \Delta_1$

```

proof(rule unwindIntoCond-simpleI)
  fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and Δ1': Δ1' n w1 w2 ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
    by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
    by (cases ss4, auto)
  obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
    by (cases ss1, auto)
  obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
    by (cases ss2, auto)
  note ss = ss3 ss4 ss1 ss2

  obtain pc3 vs3 avst3 h3 p3 where
    cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
    by (cases cfg3) (metis state.collapse vstore.collapse)
  obtain pc4 vs4 avst4 h4 p4 where
    cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
    by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg3 cfg4

  obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
  obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
  note hh = h3 h4

  have f1:¬finalN ss1
  using Δ1' unfolding ss Δ1'-def
  apply clarsimp
  by(frule common-implies, simp)

  have f2:¬finalN ss2
  using Δ1' unfolding ss Δ1'-def
  apply clarsimp
  by(frule common-implies, simp)

  have f3:¬finalS ss3
  using Δ1' unfolding ss
  apply-apply(frule Δ1'-implies)
  by (simp add: finalS-while-spec)

  have f4:¬finalS ss4
  using Δ1' unfolding ss
  apply-apply(frule Δ1'-implies)
  by (simp add: finalS-while-spec)

```

```

note finals =  $f_1 f_2 f_3 f_4$ 
show finalS ss3 = finalS ss4  $\wedge$  finalN ss1 = finalS ss3  $\wedge$  finalN ss2 = finalS ss4
using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

have match12-aux:

$$(\bigwedge s1' s2' statA'.$$


$$statA' = sstatA' statA ss3 ss4 \implies$$


$$validTransO(ss3, s1') \implies$$


$$validTransO(ss4, s2') \implies$$


$$Opt.eqAct ss3 ss4 \implies$$


$$(\neg isSecO ss3 \wedge \neg isSecO ss4 \wedge$$


$$(statA = statA' \vee statO = Diff) \wedge$$


$$\Delta 1 \propto 1\ 1\ s1'\ s2'\ statA' ss1 ss2 statO))$$


$$\implies match12 \Delta 1 w1 w2 ss3 ss4 statA ss1 ss2 statO$$

apply(rule match12-simpleI, rule disJ1I)

apply(rule exI[of - 1], rule conjI)
subgoal using  $\Delta 1'$  unfolding ss  $\Delta 1'$ -defs apply clarify
by(metis enat-ord-simps(4) infinity-ne-i1)
apply(rule exI[of - 1], rule conjI)
subgoal using  $\Delta 1'$  unfolding ss  $\Delta 1'$ -defs apply clarify
by(metis enat-ord-simps(4) infinity-ne-i1)
by auto

show react  $\Delta 1 w1 w2 ss3 ss4 statA ss1 ss2 statO$ 
unfolding react-def proof(intro conjI)

show match1  $\Delta 1 w1 w2 ss3 ss4 statA ss1 ss2 statO$ 
unfolding match1-def by (simp add: finalS-def final-def)
show match2  $\Delta 1 w1 w2 ss3 ss4 statA ss1 ss2 statO$ 
unfolding match2-def by (simp add: finalS-def final-def)
show match12  $\Delta 1 w1 w2 ss3 ss4 statA ss1 ss2 statO$ 
proof(rule match12-aux, intro conjI)
fix  $ss3' ss4' statA'$ 
assume  $statA': statA' = sstatA' statA ss3 ss4$ 
and  $v: validTransO(ss3, ss3') validTransO(ss4, ss4')$ 
and  $sa: Opt.eqAct ss3 ss4$ 
note  $v3 = v(1)$  note  $v4 = v(2)$ 

obtain  $pstate3' cfg3' cfgs3' ibT3' ibUT3' ls3'$  where  $ss3': ss3' = (pstate3',$ 
 $cfg3', cfgs3', ibT3', ibUT3', ls3')$ 
by (cases ss3', auto)
obtain  $pstate4' cfg4' cfgs4' ibT4' ibUT4' ls4'$  where  $ss4': ss4' = (pstate4',$ 
 $cfg4', cfgs4', ibT4', ibUT4', ls4')$ 
by (cases ss4', auto)
note  $ss = ss ss3' ss4'$ 

```

```

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

show  $\neg$  isSecO ss3
  using v sa  $\Delta 1'$  unfolding ss
  by (simp add:  $\Delta 1'$ -defs, metis list.size(3) n-not-Suc-n)

show  $\neg$  isSecO ss4
  using v sa  $\Delta 1'$  unfolding ss
  by (simp add:  $\Delta 1'$ -defs, metis list.size(3) n-not-Suc-n)

show stat: statA = statA'  $\vee$  statO = Diff
  using v sa  $\Delta 1'$ 
  apply (cases ss3, cases ss4, cases ss1, cases ss2)
  apply (cases ss3', cases ss4', clarsimp)
  using v sa  $\Delta 1'$  unfolding ss statA' applyclarsimp
  apply(simp-all add:  $\Delta 1'$ -defs sstatA'-def)
  apply(cases statO, simp-all)
  apply(cases statA, simp-all add: newStat-EqI)
  unfolding finalS-def final-def
  using One-nat-def less-numeral-extra(4)
  less-one list.size(3) map-is-Nil-conv
  by (smt (verit) status.exhaust newStat.simps)

show  $\Delta 1 \propto 1 1 ss3' ss4' statA' ss1 ss2 statO$ 
  using v3[unfolded ss, simplified] proof(cases rule: stepS-cases)
    case nonspec-normal
      then show ?thesis using sa  $\Delta 1'$  stat unfolding ss by (simp add:
 $\Delta 1'$ -defs)
    next
      case nonspec-mispred
        then show ?thesis using sa  $\Delta 1'$  stat unfolding ss by (simp add:
 $\Delta 1'$ -defs)
    next
      case spec-Fence
        then show ?thesis using sa  $\Delta 1'$  unfolding ss
        apply (simp add:  $\Delta 1'$ -defs, clarify, elim disjE)
        by (simp-all add:  $\Delta 1$ -defs  $\Delta 1'$ -defs)
    next
      case spec-mispred
        then show ?thesis using sa  $\Delta 1'$  unfolding ss
        apply (simp add:  $\Delta 1'$ -defs, clarify, elim disjE)
        by (simp-all add:  $\Delta 1$ -defs  $\Delta 1'$ -defs)
    next
      case spec-normal note sn3 = spec-normal
      show ?thesis using  $\Delta 1'$  sn3(2) unfolding ss

```

```

apply (simp add: Δ1'-defs, clarsimp)
by (smt (z3) insert-commute)
next
  case spec-resolve note sr3 = spec-resolve
show ?thesis using v4[unfolded ss, simplified] proof(cases rule: stepS-cases)
  case nonspec-normal
  then show ?thesis using Δ1' sr3 unfolding ss by (simp add: Δ1'-defs)
next
  case nonspec-mispred
  then show ?thesis using Δ1' sr3 unfolding ss by (simp add: Δ1'-defs)
next
  case spec-mispred
  then show ?thesis using Δ1' sr3 unfolding ss by (simp add: Δ1'-defs,
metis)
next
  case spec-normal
  then show ?thesis using Δ1' sr3 unfolding ss by (simp add: Δ1'-defs,
metis)
next
  case spec-Fence
  then show ?thesis using Δ1' sr3 unfolding ss by (simp add: Δ1'-defs,
metis)
next
  case spec-resolve note sr4 = spec-resolve
  show ?thesis
  using sa stat Δ1' v3 v4 sr3 sr4 unfolding ss hh
  apply(simp add: Δ1'-defs Δ1-defs)
  by (metis atLeastAtMost-iff atLeastAtMost-empty-iff empty-iff empty-set
      nat-le-linear numeral-le-iff semiring-norm(68,69,72)
      length-1-butlast length-map in-set-butlastD)
qed
qed
qed
qed
qed

```

```

lemma step5: unwindIntoCond Δ2' Δ2
proof(rule unwindIntoCond-simpleI)
  fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
  assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
  and Δ2': Δ2' n w1 w2 ss3 ss4 statA ss1 ss2 statO

  obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
  by (cases ss3, auto)
  obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)

```

```

 $ibT4, ibUT4, ls4)$ 
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)
obtain pc4 vs4 avst4 h4 p4 where
cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
by (cases cfg4) (metis state.collapse vstore.collapse)
note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

have f1:¬finalN ss1
using Δ2' unfolding ss Δ2'-def
apply clarsimp
by(frule common-implies, simp)

have f2:¬finalN ss2
using Δ2' unfolding ss Δ2'-def
apply clarsimp
by(frule common-implies, simp)

have f3:¬finalS ss3
using Δ2' unfolding ss
apply-apply(frule Δ2'-implies)
using finalS-while-spec-L2 by force

have f4:¬finalS ss4
using Δ2' unfolding ss
apply-apply(frule Δ2'-implies)
using finalS-while-spec-L2 by force

note finals = f1 f2 f3 f4
show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
using finals by auto

then show isIntO ss3 = isIntO ss4 by simp

have sec3[simp]:¬ isSecO ss3

```

```

using Δ2' unfolding ss
by (simp add: Δ2'-defs, metis list.size(3) zero-neq-numeral)

have sec4[simp]:¬ isSecO ss4
  using Δ2' unfolding ss
  by (simp add: Δ2'-defs, metis list.size(3) zero-neq-numeral)

have stat[simp]:¬ sstatA'. statA' = sstatA' statA ss3 ss4 ==>
  validTransO (ss3, s3') ==> validTransO (ss4, s4') ==>
  (statA = statA' ∨ statO = Diff)
subgoal for ss3' ss4'
  apply (cases ss3, cases ss4, cases ss1, cases ss2)
  apply (cases ss3', cases ss4', clarsimp)
  using Δ2' finals unfolding ss applyclarsimp
  apply(simp-all add: Δ2'-defs sstatA'-def)
  apply(cases statO, simp-all) by (cases statA, simp-all add: newStat-EqI) .

have match12-aux:
(¬ pstate3' cfg3' cfgs3' ib3' ibUT3' ls3'
 pstate4' cfg4' cfgs4' ib4' ibUT4' ls4' statA'.
 (pstate3, cfg3, cfgs3, ibT3, ibUT3, ls3) →S (pstate3', cfg3', cfgs3', ib3',
 ibUT3', ls3') ==>
 (pstate4, cfg4, cfgs4, ibT4, ibUT4, ls4) →S (pstate4', cfg4', cfgs4', ib4',
 ibUT4', ls4') ==>
 Opt.eqAct ss3 ss4 ==> statA' = sstatA' statA ss3 ss4 ==>
 (Δ2 ∞ 1 1 (pstate3', cfg3', cfgs3', ib3', ibUT3', ls3') (pstate4', cfg4', cfgs4',
 ib4', ibUT4', ls4') statA' ss1 ss2 statO))
 ==> match12 Δ2 w1 w2 ss3 ss4 statA ss1 ss2 statO
apply(rule match12-simpleI, simp-all, rule disjI1)

apply(rule exI[of _ 1], rule conjI)
subgoal using Δ2' unfolding ss Δ2'-defs apply clarify
  by (metis one-less-numeral-iff semiring-norm(76))
apply(rule exI[of _ 1], rule conjI)
subgoal using Δ2' unfolding ss Δ2'-defs apply clarify
  by (metis one-less-numeral-iff semiring-norm(76))
subgoal for ss3' ss4' apply(cases ss3', cases ss4')
subgoal for pstate3' cfg3' cfgs3' ib3' ibUT3' ls3'
  pstate4' cfg4' cfgs4' ib4' ibUT4' ls4'
  using ss3 ss4 by blast ..

show react Δ2 w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding react-def proof(intro conjI)

show match1 Δ2 w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match1-def by (simp add: finalS-def final-def)
show match2 Δ2 w1 w2 ss3 ss4 statA ss1 ss2 statO
unfolding match2-def by (simp add: finalS-def final-def)

```

```

show match12 Δ2 w1 w2 ss3 ss4 statA ss1 ss2 statO
apply(rule match12-aux)

subgoal premises prem using prem(1)[unfolded ss ]
  proof(cases rule: stepS-cases)
    case nonspec-normal
      then show ?thesis using stat Δ2' unfolding ss by (auto simp add:
Δ2'-defs)
    next
    case nonspec-mispred
      then show ?thesis using stat Δ2' unfolding ss by (auto simp add:
Δ2'-defs)
    next
    case spec-mispred
      then show ?thesis using stat Δ2' prem unfolding ss by (auto simp add:
Δ2'-defs)
    next
    case spec-normal
      then show ?thesis using stat Δ2' prem unfolding ss by (auto simp add:
Δ2'-defs)
    next
    case spec-Fence
      then show ?thesis using stat Δ2' prem unfolding ss by (auto simp add:
Δ2'-defs)
    next
    case spec-resolve note sr3 = spec-resolve
    show ?thesis using prem(2)[unfolded ss prem] proof(cases rule: stepS-cases)
      case nonspec-normal
        then show ?thesis using stat Δ2' sr3 unfolding ss by (simp add:
Δ2'-defs)
      next
      case nonspec-mispred
        then show ?thesis using stat Δ2' sr3 unfolding ss by (simp add:
Δ2'-defs)
      next
      case spec-mispred
        then show ?thesis using stat Δ2' sr3 unfolding ss by (simp add:
Δ2'-defs)
      next
      case spec-normal
        then show ?thesis using stat Δ2' sr3 unfolding ss by (simp add:
Δ2'-defs)
      next
      case spec-Fence
        then show ?thesis using stat Δ2' sr3 unfolding ss by (simp add:
Δ2'-defs)
      next
      case spec-resolve note sr4 = spec-resolve
      show ?thesis

```

```

using stat Δ2' prem sr3 sr4 unfolding ss
apply(simp add: Δ2'-defs Δ2-defs)
apply(intro conjI)
apply (metis last-map map-butlast map-is-Nil-conv)
apply (metis image-subset-iff in-set-butlastD)
apply(metis) apply(metis) apply (metis in-set-butlastD)
apply (metis in-set-butlastD) apply (metis in-set-butlastD)
apply (metis in-set-butlastD) apply (metis in-set-butlastD)
apply (metis in-set-butlastD) apply (metis prem(1) prem(2) ss3 ss4)
apply (metis in-set-butlastD) apply (metis in-set-butlastD)
apply (smt (verit, ccfv-SIG) butlast.simps(2) last-ConsL last-map
length-0-conv length-map map-L2 map-butlast not-Cons-self2)
apply clarify apply(elim disjE)
apply (metis map-L2 butlast.simps(2) last.simps last-map list.simps(8)

map-butlast not-Cons-self2 numeral-eq-iff semiring-norm(88))

by (metis map-L2 butlast.simps(2) last.simps last-map list.simps(8)
map-butlast image-constant-conv not-Cons-self2 image-subset-iff
list.set-intros(1,2) list.simps(15) resolve.simps resolve-127
set-empty2 subset-insertI resolve-73 numeral-eq-iff )+
qed
qed .
qed
qed

```

```

lemma step: unwindIntoCond Δe Δe
proof(rule unwindIntoCond-simpleI)
fix n w1 w2 ss3 ss4 statA ss1 ss2 statO
assume r: reachO ss3 reachO ss4 reachV ss1 reachV ss2
and Δe: Δe n w1 w2 ss3 ss4 statA ss1 ss2 statO

obtain pstate3 cfg3 cfgs3 ibT3 ibUT3 ls3 where ss3: ss3 = (pstate3, cfg3, cfgs3,
ibT3, ibUT3, ls3)
by (cases ss3, auto)
obtain pstate4 cfg4 cfgs4 ibT4 ibUT4 ls4 where ss4: ss4 = (pstate4, cfg4, cfgs4,
ibT4, ibUT4, ls4)
by (cases ss4, auto)
obtain cfg1 ibT1 ibUT1 ls1 where ss1: ss1 = (cfg1, ibT1, ibUT1, ls1)
by (cases ss1, auto)
obtain cfg2 ibT2 ibUT2 ls2 where ss2: ss2 = (cfg2, ibT2, ibUT2, ls2)
by (cases ss2, auto)
note ss = ss3 ss4 ss1 ss2

obtain pc3 vs3 avst3 h3 p3 where
cfg3: cfg3 = Config pc3 (State (Vstore vs3) avst3 h3 p3)
by (cases cfg3) (metis state.collapse vstore.collapse)

```

```

obtain pc4 vs4 avst4 h4 p4 where
  cfg4: cfg4 = Config pc4 (State (Vstore vs4) avst4 h4 p4)
  by (cases cfg4) (metis state.collapse vstore.collapse)
  note cfg = cfg3 cfg4

obtain hh3 where h3: h3 = Heap hh3 by(cases h3, auto)
obtain hh4 where h4: h4 = Heap hh4 by(cases h4, auto)
note hh = h3 h4

show finalS ss3 = finalS ss4 ∧ finalN ss1 = finalS ss3 ∧ finalN ss2 = finalS ss4
  using Δe Opt.final-def finalS-def stepS-endPC endPC-def finalB-endPC
  unfolding Δe-defs ss by clar simp

then show isIntO ss3 = isIntO ss4 by simp

show react Δe w1 w2 ss3 ss4 statA ss1 ss2 statO
  unfolding react-def proof(intro conjI)

  show match1 Δe w1 w2 ss3 ss4 statA ss1 ss2 statO
    unfolding match1-def by (simp add: finalS-def final-def)
  show match2 Δe w1 w2 ss3 ss4 statA ss1 ss2 statO
    unfolding match2-def by (simp add: finalS-def final-def)
  show match12 Δe w1 w2 ss3 ss4 statA ss1 ss2 statO
    apply(rule match12-simpleI) using Δe unfolding ss
    by (simp add: Δe-defs stepS-endPC)
qed
qed

```

**lemmas** theConds = step0 step1 step2 step3 step4 step5 step6

```

proposition lrsecure
proof-
  define m where m: m ≡ (7::nat)
  define Δs where Δs: Δs ≡ λi::nat.
  if i = 0 then Δ0
  else if i = 1 then Δ1
  else if i = 2 then Δ2
  else if i = 3 then Δ3
  else if i = 4 then Δ1'
  else if i = 5 then Δ2'
  else Δe
  define nxt where nxt: nxt ≡ λi::nat.
  if i = 0 then {0,1::nat}
  else if i = 1 then {1,4,2,3,6}
  else if i = 2 then {2,5,1}
  else if i = 3 then {3,1}
  else if i = 4 then {1}

```

```

else if  $i = 5$  then {2}
else {6}
show ?thesis apply(rule distrib-unwind-lrsecure[of m nxt Δs])
  subgoal unfolding m by auto
  subgoal unfolding nxt m by auto
  subgoal using init unfolding Δs by auto
  subgoal
    unfolding m nxt Δs apply (simp split: if-splits)
    using theConds
    unfolding oor-def oor3-def oor4-def oor5-def by auto .
qed

end
[3] [1]

```

## References

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