A Shorter Compiler Correctness Proof for Language IMP

Pasquale Noce Software Engineer at HID Global, Italy pasquale dot noce dot lavoro at gmail dot com pasquale dot noce at hidglobal dot com

March 17, 2025

Abstract

This paper presents a compiler correctness proof for the didactic imperative programming language IMP, introduced in Nipkow and Klein's book on formal programming language semantics (version of March 2021), whose size is just two thirds of the book's proof in the number of formal text lines. As such, it promises to constitute a further enhanced reference for the formal verification of compilers meant for larger, real-world programming languages.

The presented proof does not depend on language determinism, so that the proposed approach can be applied to non-deterministic languages as well. As a confirmation, this paper extends IMP with an additional non-deterministic choice command, and proves compiler correctness, viz. the simulation of compiled code execution by source code, for such extended language.

Contents

1	Compiler formalization		
	1.1	Introduction	2
	1.2	Definitions	2
2	Compiler correctness		
	9.1	Preliminary definitions and lemmas	C
	4.1	reminary definitions and lemmas	U

1 Compiler formalization

theory Compiler
imports
HOL-IMP.BExp

```
HOL-IMP.Star begin
```

1.1 Introduction

This paper presents a compiler correctness proof for the didactic imperative programming language IMP, introduced in [5], shorter than the proof described in [5] and included in the Isabelle2021 distribution [1]. Actually, the size of the presented proof is just two thirds of the book's proof in the number of formal text lines, and as such it promises to constitute a further enhanced reference for the formal verification of compilers meant for larger, real-world programming languages.

Given compiler *completeness*, viz. the simulation of source code execution by compiled code, "in a deterministic language like IMP", compiler correctness "reduces to preserving termination: if the machine program terminates, so must the source program", even though proving this "is not much easier" ([5], section 8.4). However, the presented proof does not depend on language determinism, so that the proposed approach is applicable to non-deterministic languages as well.

As a confirmation, this paper extends IMP with an additional command c_1 OR c_2 , standing for the non-deterministic choice between commands c_1 and c_2 , and proves compiler correctness, viz. the simulation of compiled code execution by source code, for such extended language. Of course, the aforesaid comparison between proof sizes does not consider the lines in the proof of lemma ccomp-correct (which proves compiler correctness for commands) pertaining to non-deterministic choice, since this command is not included in the original language IMP. Anyway, non-deterministic choice turns out to extend that proof just by a modest number of lines.

For further information about the formal definitions and proofs contained in this paper, see Isabelle documentation, particularly [6], [4], [2], and [3].

1.2 Definitions

Here below are the definitions of IMP commands, extended with non-deterministic choice, as well as of their big-step semantics.

As in the original theory file [1], program counter's values are modeled using type *int* rather than *nat*. As a result, the same declarations and definitions used in [1] to deal with this modeling choice are adopted here as well.

```
 \begin{array}{l} \mathbf{declare} \ [[coercion-enabled]] \\ \mathbf{declare} \ [[coercion \ int :: \ nat \Rightarrow int]] \\ \mathbf{declare} \ [[syntax-ambiguity-warning = false]] \end{array}
```

```
datatype com =
  SKIP |
  Assign vname aexp (\leftarrow ::= \rightarrow [1000, 61] 61)
  Seg com com (\langle -;;/ -\rangle [60, 61] 60)
  If bexp com com (\langle (IF - / THEN - / ELSE -) \rangle [0, 0, 61] 61)
  Or\ com\ com\ (\langle (-OR\ -) \rangle\ [60,\ 61]\ 61)\ |
   While bexp com (\langle (WHILE - / DO -) \rangle [0, 61] 61)
inductive big-step :: com \times state \Rightarrow state \Rightarrow bool (infix \Leftrightarrow > 55) where
Skip: (SKIP, s) \Rightarrow s \mid
Assign: (x := a, s) \Rightarrow s(x := aval \ a \ s)
Seq: [(c_1, s_1) \Rightarrow s_2; (c_2, s_2) \Rightarrow s_3] \implies (c_1;; c_2, s_1) \Rightarrow s_3
If True: [\![bval\ b\ s;\ (c_1,\ s)\Rightarrow t]\!] \Longrightarrow (IF\ b\ THEN\ c_1\ ELSE\ c_2,\ s)\Rightarrow t
If False: \llbracket \neg \text{ bval } b \text{ s}; (c_2, s) \Rightarrow t \rrbracket \Longrightarrow (IF b \text{ THEN } c_1 \text{ ELSE } c_2, s) \Rightarrow t \mid
Or1: (c_1, s) \Rightarrow t \Longrightarrow (c_1 \ OR \ c_2, s) \Rightarrow t
Or2: (c_2, s) \Rightarrow t \Longrightarrow (c_1 \ OR \ c_2, s) \Rightarrow t
WhileFalse: \neg bval\ b\ s \Longrightarrow (WHILE\ b\ DO\ c,\ s) \Rightarrow s \mid
While True: \llbracket bval\ b\ s_1;\ (c,\ s_1) \Rightarrow s_2;\ (WHILE\ b\ DO\ c,\ s_2) \Rightarrow s_3 \rrbracket \Longrightarrow
  (WHILE\ b\ DO\ c,\ s_1) \Rightarrow s_3
{f declare}\ big	ext{-}step.intros\ [intro]
abbreviation (output)
isize \ xs \equiv int \ (length \ xs)
notation isize (\langle size \rangle)
primrec (nonexhaustive) inth :: 'a list \Rightarrow int \Rightarrow 'a (infix! \langle !! \rangle 100) where
(x \# xs) !! i = (if i = 0 then x else xs !! (i - 1))
lemma inth-append [simp]:
 0 < i \Longrightarrow
     (xs @ ys) !! i = (if i < size xs then xs !! i else ys !! (i - size xs))
by (induction xs arbitrary: i, auto simp: algebra-simps)
```

Next, the instruction set and its semantics are defined. Particularly, to allow for the compilation of non-deterministic choice commands, the instruction set is extended with an additional instruction JMPND performing a non-deterministic jump – viz. as a result of its execution, the program counter unconditionally either jumps by the specified offset, or just moves to the next instruction.

As instruction execution can be non-deterministic, an inductively defined predicate *iexec*, rather than a simple non-recursive function as the one used in [1], must be introduced to define instruction semantics.

```
datatype instr =
  LOADI int | LOAD vname | ADD | STORE vname |
```

```
JMP int | JMPLESS int | JMPGE int | JMPND int
type-synonym stack = val list
type-synonym config = int \times state \times stack
abbreviation hd2 xs \equiv hd (tl xs)
abbreviation tl2 \ xs \equiv tl \ (tl \ xs)
\textbf{inductive} \ \textit{iexec} :: \textit{instr} \times \textit{config} \Rightarrow \textit{config} \Rightarrow \textit{bool} \ (\textbf{infix} \iff 55) \ \textbf{where}
LoadI: (LOADI i, pc, s, stk) \mapsto (pc + 1, s, i # stk)
Load: (LOAD \ x, \ pc, \ s, \ stk) \mapsto (pc + 1, \ s, \ s \ x \ \# \ stk) \mid
Add: (ADD, pc, s, stk) \mapsto (pc + 1, s, (hd2 stk + hd stk) \# tl2 stk)
Store: (STORE\ x,\ pc,\ s,\ stk)\mapsto (pc+1,\ s(x:=hd\ stk),\ tl\ stk)
Jmp: (JMP \ i, \ pc, \ s, \ stk) \mapsto (pc + i + 1, \ s, \ stk) \mid
JmpLessY: hd2 stk < hd stk \Longrightarrow
  (JMPLESS\ i,\ pc,\ s,\ stk)\mapsto (pc+i+1,\ s,\ tl2\ stk)
JmpLessN: hd stk \leq hd2 stk \Longrightarrow
  (JMPLESS\ i,\ pc,\ s,\ stk)\mapsto (pc+1,\ s,\ tl2\ stk)
JmpGeY: hd stk \leq hd2 stk \Longrightarrow
  (JMPGE\ i,\ pc,\ s,\ stk)\mapsto (pc+i+1,\ s,\ tl2\ stk)
JmpGeN: hd2 \ stk < hd \ stk \Longrightarrow
  (JMPGE\ i,\ pc,\ s,\ stk) \mapsto (pc+1,\ s,\ tl2\ stk)
JmpNdY: (JMPND \ i, \ pc, \ s, \ stk) \mapsto (pc + i + 1, \ s, \ stk) \mid
JmpNdN: (JMPND \ i, \ pc, \ s, \ stk) \mapsto (pc + 1, \ s, \ stk)
declare iexec.intros [intro]
inductive-cases LoadIE [elim!]: (LOADI i, pc, s, stk) \mapsto cf
\mathbf{inductive\text{-}cases}\ \mathit{LoadE}\ \ [\mathit{elim}!] \colon\ (\mathit{LOAD}\ x,\ \mathit{pc},\ s,\ \mathit{stk}) \mapsto \mathit{cf}
inductive-cases AddE [elim!]: (ADD, pc, s, stk) \mapsto cf
inductive-cases StoreE \ [elim!]: (STORE x, pc, s, stk) \mapsto cf
inductive-cases JmpE [elim!]: (JMP i, pc, s, stk) \mapsto cf
inductive-cases JmpLessE [elim!]: (JMPLESS i, pc, s, stk) \mapsto cf
inductive-cases JmpGeE [elim!]: (JMPGE\ i,\ pc,\ s,\ stk)\mapsto cf
inductive-cases JmpNdE [elim!]: (JMPND \ i, \ pc, \ s, \ stk) \mapsto cf
definition exec1 :: instr \ list \Rightarrow config \Rightarrow config \Rightarrow bool
  (\langle (-/ \vdash / -/ \rightarrow / -) \rangle 55) where
P \vdash cf \rightarrow cf' \equiv (P !! fst \ cf, \ cf) \mapsto cf' \land 0 \leq fst \ cf \land fst \ cf < size P
abbreviation exec :: instr \ list \Rightarrow config \Rightarrow config \Rightarrow bool
  (\langle (-/ \vdash / -/ \rightarrow */ -) \rangle 55) where
exec P \equiv star (exec1 P)
```

Next, compilation is formalized for arithmetic and boolean expressions (functions *acomp* and *bcomp*), as well as for commands (function *ccomp*). Particularly, as opposed to what happens in [1], here *bcomp* takes a single input, viz. a 3-tuple comprised of a boolean expression, a flag, and a jump off-

set. In this way, all three functions accept a single input, which enables to streamline the compiler correctness proof developed in what follows.

```
primrec acomp :: aexp \Rightarrow instr \ list \ \mathbf{where}
acomp(N i) = [LOADI i]
acomp (V x) = [LOAD x]
acomp\ (Plus\ a_1\ a_2) = acomp\ a_1\ @\ acomp\ a_2\ @\ [ADD]
fun bcomp :: bexp \times bool \times int \Rightarrow instr list where
bcomp (Bc\ v, f, i) = (if\ v = f\ then\ [JMP\ i]\ else\ [])
bcomp\ (Not\ b,\ f,\ i) = bcomp\ (b,\ \neg\ f,\ i)
bcomp (And b_1 b_2, f, i) =
  (let cb_2 = bcomp (b_2, f, i);
    cb_1 = bcomp (b_1, False, size cb_2 + (if f then 0 else i))
   in \ cb_1 \ @ \ cb_2) \mid
bcomp (Less a_1 a_2, f, i) =
  acomp \ a_1 \ @ \ acomp \ a_2 \ @ \ (if f \ then \ [JMPLESS \ i] \ else \ [JMPGE \ i])
primrec ccomp :: com \Rightarrow instr \ list \ \mathbf{where}
ccomp\ SKIP = [] \mid
ccomp\ (x := a) = acomp\ a @ [STORE\ x]
ccomp\ (c_1;;\ c_2) = ccomp\ c_1 @ ccomp\ c_2 \mid
ccomp (IF \ b \ THEN \ c_1 \ ELSE \ c_2) =
  (let \ cc_1 = ccomp \ c_1; \ cc_2 = ccomp \ c_2; \ cb = bcomp \ (b, \ False, \ size \ cc_1 + 1)
   in cb @ cc_1 @ JMP (size cc_2) \# cc_2) |
ccomp (c_1 OR c_2) =
  (let \ cc_1 = ccomp \ c_1; \ cc_2 = ccomp \ c_2)
   in JMPND (size cc_1 + 1) # cc_1 @ JMP (size cc_2) # cc_2) |
ccomp (WHILE \ b \ DO \ c) =
  (let \ cc = ccomp \ c; \ cb = bcomp \ (b, False, \ size \ cc + 1)
   in \ cb \ @ \ cc \ @ \ [JMP \ (- \ (size \ cb + size \ cc + 1))])
```

Finally, two lemmas are proven automatically (both seem not to be included in the standard library, though being quite basic) and registered for use by automatic proof tactics. In more detail:

- The former lemma is an elimination rule similar to impCE, with the difference that it retains the antecedent of the implication in the premise where the consequent is assumed to hold. This rule permits to have both assumptions $\neg bval \ b \ s$ and $bval \ b \ s$ in the respective cases resulting from the execution of boolean expression b in state s.
- The latter one is an introduction rule similar to Suc-lessI, with the difference that its second assumption is more convenient for proving statements of the form $Suc\ m < n$ arising from the compiler correctness proof developed in what follows.

```
\begin{array}{l} \textbf{lemma} \ impCE2 \ [elim!]: \\ \llbracket P \longrightarrow Q; \neg P \Longrightarrow R; \ P \Longrightarrow Q \Longrightarrow R \rrbracket \Longrightarrow R \\ \textbf{by} \ blast \\ \\ \textbf{lemma} \ Suc\text{-}lessI2 \ [intro!]: \\ \llbracket m < n; \ m \neq n-1 \rrbracket \Longrightarrow Suc \ m < n \\ \textbf{by} \ simp \end{array}
```

\mathbf{end}

2 Compiler correctness

theory Compiler2 imports Compiler begin

2.1 Preliminary definitions and lemmas

Now everything is ready for the compiler correctness proof. First, two predicates are introduced, *execl* and *execl-all*, both taking as inputs a program, i.e. a list of instructions, P and a list of program configurations cfs, and respectively denoted using notations $P \models cfs$ and $P \models cfs\square$. In more detail:

- $P \models cfs$ means that program P may transform each configuration within cfs into the subsequent one, if any (word may reflects the fact that programs can be non-deterministic in this case study).

 Thus, execl formalizes the notion of a small-step program execution.
- $P \models cfs \square$ reinforces $P \models cfs$ by additionally requiring that cfs be nonempty, the initial program counter be zero (viz. execution starts from the first instruction in P), and the final program counter falls outside P (viz. execution terminates).

Thus, execl-all formalizes the notion of a complete small-step program execution, so that assumptions acomp $a \models cfs\square$, bcomp $x \models cfs\square$, ccomp $c \models cfs\square$ will be used in the compiler correctness proofs for arithmetic/boolean expressions and commands.

Moreover, predicates apred, bpred, and cpred are defined to capture the link between the initial and the final configuration upon the execution of an arithmetic expression, a boolean expression, and a whole program, respectively, and abbreviation off is introduced as a commodity to shorten the subsequent formal text.

fun $execl :: instr \ list \Rightarrow config \ list \Rightarrow bool \ (infix \iff 55)$ where

```
P \models cf \# cf' \# cfs = (P \vdash cf \rightarrow cf' \land P \models cf' \# cfs) \mid P \models -= True
definition execl-all :: instr list \Rightarrow config list \Rightarrow bool (\langle (-/ \models / -\Box) \rangle 55) where
P \models cfs \square \equiv P \models cfs \land cfs \neq [] \land
  fst (cfs ! 0) = 0 \land fst (cfs ! (length cfs - 1)) \notin \{0.. < size P\}
definition apred :: aexp \Rightarrow config \Rightarrow config \Rightarrow bool where
apred \equiv \lambda a \ (pc, s, stk) \ (pc', s', stk').
  pc' = pc + size (acomp \ a) \land s' = s \land stk' = aval \ a \ s \ \# \ stk
definition bpred :: bexp \times bool \times int \Rightarrow config \Rightarrow config \Rightarrow bool where
bpred \equiv \lambda(b, f, i) (pc, s, stk) (pc', s', stk').
  pc' = pc + size \ (bcomp \ (b, f, \ i)) + (if \ bval \ b \ s = f \ then \ i \ else \ \theta) \ \land
    s' = s \wedge stk' = stk
definition cpred :: com \Rightarrow config \Rightarrow config \Rightarrow bool where
cpred \equiv \lambda c \ (pc, s, stk) \ (pc', s', stk').
  pc' = pc + size (ccomp \ c) \land (c, s) \Rightarrow s' \land stk' = stk
abbreviation of f:instr\ list \Rightarrow config \Rightarrow config where
off P cf \equiv (fst \ cf - size \ P, snd \ cf)
```

Next, some lemmas about *execl* and *execl-all* are proven. In more detail, given a program P and a list of configurations cfs such that $P \models cfs$:

- Lemma execl-next states that for any configuration in cfs but the last
 one, the subsequent configuration must result from the execution of
 the referenced instruction of P in that configuration.
 Thus, execl-next permits to reproduce the execution of a single instruction.
- Lemma execl-last states that a configuration in cfs whose program counter falls outside P must be the last one in cfs.

 Thus, execl-last permits to infer the completion of program execution.
- Lemma execl-drop states that $P \models drop \ n \ cfs$ for any natural number n, and will be used to prove compiler correctness for loops by induction over the length of the list of configurations cfs.

Furthermore, some other lemmas enabling to prove compiler correctness automatically for constructors N, V (arithmetic expressions), Bc (boolean expressions) and SKIP (commands) are also proven.

```
lemma iexec-offset-aux: (i :: int) + 1 - j = i - j + 1 \land i + j - k + 1 = i - k + j + 1
```

```
\mathbf{by} \ simp
```

```
lemma iexec-offset [intro]:
 (ins, pc, s, stk) \mapsto (pc', s', stk') \Longrightarrow
    (ins, pc - i, s, stk) \mapsto (pc' - i, s', stk')
by (erule iexec.cases, auto simp: iexec-offset-aux)
lemma execl-next:
 [P \models cfs; k < length \ cfs; k \neq length \ cfs - 1] \Longrightarrow
    (P !! fst (cfs ! k), cfs ! k) \mapsto cfs ! Suc k
by (induction cfs arbitrary: k rule: execl.induct, auto
 simp: nth-Cons exec1-def split: nat.split)
lemma execl-last:
 \llbracket P \models cfs; k < length \ cfs; fst \ (cfs \ ! \ k) \notin \{0.. < size \ P\} \rrbracket \Longrightarrow
    length \ cfs - 1 = k
\mathbf{by}\ (\mathit{induction}\ \mathit{cfs}\ \mathit{arbitrary:}\ \mathit{k}\ \mathit{rule:}\ \mathit{execl.induct},\ \mathit{auto}
 simp: nth-Cons exec1-def split: nat.split-asm)
lemma execl-drop:
 P \models cfs \Longrightarrow P \models drop \ n \ cfs
by (induction cfs arbitrary: n rule: execl.induct,
 simp-all add: drop-Cons split: nat.split)
lemma execl-all-N [simplified, intro]:
 [LOADI\ i] \models cfs \square \Longrightarrow apred\ (N\ i)\ (cfs\ !\ 0)\ (cfs\ !\ (length\ cfs\ -\ 1))
by (clarsimp simp: execl-all-def apred-def, cases cfs! 0,
 subgoal-tac length\ cfs-1=1, frule-tac [!]\ execl-next,
 ((rule ccontr)?, fastforce, (rule execl-last)?)+)
lemma execl-all-V [simplified, intro]:
 [LOAD \ x] \models cfs \square \Longrightarrow apred \ (V \ x) \ (cfs \ ! \ 0) \ (cfs \ ! \ (length \ cfs - 1))
by (clarsimp simp: execl-all-def apred-def, cases cfs! 0,
 subgoal-tac\ length\ cfs-1=1, frule-tac\ [!]\ execl-next,
 ((rule ccontr)?, fastforce, (rule execl-last)?)+)
lemma execl-all-Bc [simplified, intro]:
 \llbracket if \ v = f \ then \ [JMP \ i] \ else \ \llbracket \mid \models \ cfs \square; \ \theta \leq i \rrbracket \Longrightarrow
    bpred (Bc \ v, f, i) \ (cfs \ ! \ 0) \ (cfs \ ! \ (length \ cfs - 1))
by (clarsimp simp: execl-all-def bpred-def split: if-split-asm, cases cfs! 0,
 subgoal-tac length cfs -1 = 1, frule-tac [1-2] execl-next,
 ((rule ccontr)?, force, (rule execl-last)?)+, rule execl.cases [of ([], cfs)],
 auto simp: exec1-def)
lemma execl-all-SKIP [simplified, intro]:
 | = cfs \square \implies cpred \ SKIP \ (cfs ! 0) \ (cfs ! (length \ cfs - 1))
by (rule exect.cases [of ([], cfs)], auto simp: exect-all-def exect-def cpred-def)
```

Next, lemma execl-all-sub is proven. It states that, if $P @ P' x @ P'' \models cfs\Box$, configuration cf within cfs refers to the start of program P' x, and the initial and the final configuration in every complete execution of P' x satisfy predicate Q x, then there exists a configuration cf' in cfs such that cf and cf' satisfy Q x.

Thus, this lemma permits to reproduce the execution of a subprogram, particularly:

- a compiled arithmetic expression a, where Q = apred and x = a,
- a compiled boolean expression b, where Q = bpred and x = (b, f, i) (given a flag f and a jump offset i), and
- a compiled command c, where Q = cpred and x = c.

Furthermore, lemma *execl-all-sub2* is derived from *execl-all-sub* to enable a shorter symbolical execution of two consecutive subprograms.

```
lemma execl-sub-aux:
 \llbracket \bigwedge m \ n. \ \forall \ k \in \{m..< n\}. \ Q \ P' (((pc, \ s, \ stk) \ \# \ cfs) \ ! \ k) \Longrightarrow P' \models
     map (off P) (case m of 0 \Rightarrow (pc, s, stk) \# take n cfs | Suc m \Rightarrow F cfs m n);
    \forall k \in \{m.. < n+m+length\ cfs'\}.\ Q\ P'\ ((cfs'\ @\ (pc,\ s,\ stk)\ \#\ cfs)\ !\ (k-m))\} \Longrightarrow
  P' \models (pc - size P, s, stk) \# map (off P) (take n cfs)
  (\mathbf{is} \ \llbracket \bigwedge \text{---}. \ \forall \ k \in \text{--}. \ Q \ P' \ (\textit{?F} \ k) \Longrightarrow \text{--}; \ \forall \ k \in \textit{?A}. \ Q \ P' \ (\textit{?G} \ k) \rrbracket \Longrightarrow \text{--})
by (subgoal-tac \forall k \in \{0...< n\}). Q P' (?F k), fastforce, subgoal-tac
\forall \, k \in \{ \, \theta ... < n \}. \, \, k \, + \, m \, + \, length \, \, \mathit{cfs'} \in \, ?A \, \wedge \, ?F \, \, k = \, ?G \, \, (k \, + \, m \, + \, length \, \, \mathit{cfs'}),
 fastforce, simp add: nth-append)
lemma execl-sub:
 [P @ P' @ P'' \models cfs; \forall k \in \{m.. < n\}.
      size \ P \leq fst \ (cfs \ ! \ k) \land fst \ (cfs \ ! \ k) - size \ P < size \ P' \implies
    P' \models map \ (off \ P) \ (drop \ m \ (take \ (Suc \ n) \ cfs))
  (is \llbracket -; \forall k \in -. ?P P' cfs k \rrbracket \Longrightarrow P' \models map - (?F \ cfs \ m \ (Suc \ n)))
proof (induction cfs arbitrary: m n rule: execl.induct [of - P'], auto
 simp: take-Cons drop-Cons exec1-def split: nat.split, force, force, force,
 erule execl-sub-aux [where m = 0], subst append-Cons [of - []], simp,
 erule execl-sub-aux [where m = Suc \ \theta and cfs' = []], simp)
  fix P' pc s stk cfs m n
  let ?cf = (pc, s, stk) :: config
  assume \bigwedge m \ n. \ \forall \ k \in \{m... < n\}. \ ?P \ P' \ (?cf \# cfs) \ k \Longrightarrow P' \models
     map \ (off \ P) \ (case \ m \ of \ 0 \Rightarrow ?cf \ \# \ take \ n \ cfs \mid Suc \ m \Rightarrow ?F \ cfs \ m \ n)
  moreover assume \forall k \in \{Suc\ (Suc\ m)... < Suc\ n\}. ?P\ P'\ cfs\ (k-Suc\ (Suc\ 0))
  hence \forall k \in \{Suc\ m... < n\}. ?P P' (?cf # cfs) k
  ultimately show P' \models map \ (off \ P) \ (?F \ cfs \ m \ n)
    by fastforce
qed
```

```
\mathbf{lemma}\ \mathit{execl-all-sub}\ [\mathit{rule-format}] :
  assumes
   A: P @ P' x @ P'' \models cfs \square and
    B: k < length \ cfs \ and
    C: fst (cfs ! k) = size P and
    D: \forall cfs. \ P' \ x \models cfs \square \longrightarrow Q \ x \ (cfs ! \ \theta) \ (cfs ! \ (length \ cfs - 1))
  shows \exists k' < length \ cfs. \ Q \ x \ (off \ P \ (cfs \ ! \ k)) \ (off \ P \ (cfs \ ! \ k'))
proof -
  let P = \lambda k. size P \leq fst (cfs! k) \wedge fst (cfs! k) - size P < size (P'(x))
  let ?A = \{k'. k' \in \{k..< length cfs\} \land \neg ?P k'\}
  have E: Min ?A \in ?A
   using A and B by (rule-tac Min-in, simp-all add: execl-all-def,
    rule-tac exI [of - length cfs - 1], auto)
  hence map (off P) (drop k (take (Suc (Min ?A)) cfs)) ! 0 = off P (cfs ! k)
   (is ?cfs ! - = -)
   by auto
  moreover have length cfs \leq Suc (Min ?A) \longrightarrow Min ?A = length cfs - 1
   using E by auto
  with A and B and E have F: ?cfs ! (length ?cfs - 1) = off P (cfs ! Min ?A)
   by (subst nth-map, auto simp: min-def execl-all-def, arith)
  hence ?cfs \neq [] \land fst (?cfs ! (length ?cfs - 1)) \notin \{0.. < size (P'x)\}
    using E by (auto simp: min-def)
  moreover have \neg (\exists k'. k' \in \{k'. k' \in \{k.. < Min ?A\} \land \neg ?P k'\})
   by (rule notI, erule exE, frule rev-subsetD [of - - ?A], rule subsetI,
    insert E, simp, subgoal-tac finite ?A, drule Min-le, force+)
  hence P'x \models ?cfs
   using A by (subst (asm) execl-all-def, rule-tac execl-sub, blast+)
  ultimately have Q \times (?cfs ! 0) (?cfs ! (length ?cfs - 1))
   using C and D by (auto simp: execl-all-def)
  thus ?thesis
   using E and F by (rule-tac exI [of - Min ?A], auto)
qed
lemma execl-all-sub2:
 assumes
    A: P \times @ P' \times ' @ P'' \models cfs \square
      (is ?P \models -\Box) and
    B: \land cfs. \ P \ x \models cfs \square \Longrightarrow (\lambda(pc, s, stk) \ (pc', s', stk').
     pc' = pc + size(Px) + Is \wedge Qss' \wedge stk' = Fsstk
        (cfs ! \theta) (cfs ! (length cfs - 1))
      (is \land cfs. - \Longrightarrow ?Q \ x \ (cfs ! \ 0) \ (cfs ! \ (length \ cfs - 1))) and
    C: \land cfs. \ P' \ x' \models cfs \square \Longrightarrow (\lambda(pc, s, stk) \ (pc', s', stk').
      pc' = pc + size (P'x') + I's \wedge Q'ss' \wedge stk' = F'sstk)
       (cfs ! \theta) (cfs ! (length cfs - 1))
      (is \land cfs. - \Longrightarrow ?Q' x' (cfs! 0) (cfs! (length cfs - 1))) and
    D: I (fst (snd (cfs ! \theta))) = \theta
  shows \exists k < length \ cfs. \ \exists t. \ (\lambda(pc, s, stk) \ (pc', s', stk').
   pc = 0 \land pc' = size(Px) + size(P'x') + I't \land Qst \land Q'ts' \land
      stk' = F' t (F s stk)) (cfs! \theta) (cfs! k)
```

```
by (subgoal-tac [] @ ?P \models cfs\square, drule execl-all-sub [where k = 0 and Q = ?Q], insert A B, (clarsimp simp: execl-all-def)+, insert A C D, drule execl-all-sub [where Q = ?Q'], simp+, clarify, rule exI, rule conjI, simp, rule exI, auto)
```

2.2 Main theorem

It is time to prove compiler correctness. First, lemmas acomp-acomp, bcomp-bcomp are derived from execl-all-sub2 to reproduce the execution of two consecutive compiled arithmetic expressions (possibly generated by both acomp and bcomp) and boolean expressions (possibly generated by bcomp), respectively. Subsequently, the correctness of acomp and bcomp is proven in lemmas acomp-correct, bcomp-correct.

```
lemma acomp-acomp:
 [acomp a_1 @ acomp a_2 @ P \models cfs\square;
    \land cfs. \ acomp \ a_1 \models cfs \square \Longrightarrow apred \ a_1 \ (cfs ! \ 0) \ (cfs ! \ (length \ cfs - 1));
    \land cfs. \ acomp \ a_2 \models cfs \square \Longrightarrow apred \ a_2 \ (cfs ! \ 0) \ (cfs ! \ (length \ cfs - 1)) \implies
  case cfs! 0 of (pc, s, stk) \Rightarrow pc = 0 \land (\exists k < length cfs. cfs! k =
    (size (acomp \ a_1 \ @ acomp \ a_2), s, aval \ a_2 \ s \# aval \ a_1 \ s \# stk))
by (drule execl-all-sub2 [where I = \lambda s. 0 and I' = \lambda s. 0 and Q = \lambda s s'. s' = s
and Q' = \lambda s \ s'. s' = s and F = \lambda s \ stk. aval a_1 \ s \ \# \ stk
 and F' = \lambda s \ stk. aval a_2 \ s \ \# \ stk, auto simp: apred-def)
lemma bcomp-bcomp:
 [bcomp\ (b_1, f_1, i_1)\ @\ bcomp\ (b_2, f_2, i_2) \models cfs\square;
    \bigwedge cfs. \ bcomp \ (b_1, f_1, i_1) \models cfs \square \Longrightarrow
       bpred (b_1, f_1, i_1) (cfs ! 0) (cfs ! (length cfs - 1));
    \land cfs. \ bcomp \ (b_2, f_2, i_2) \models cfs \square \Longrightarrow
       bpred\ (b_2, f_2, i_2)\ (cfs\ !\ 0)\ (cfs\ !\ (length\ cfs-1))] \Longrightarrow
  case cfs ! 0 of (pc, s, stk) \Rightarrow pc = 0 \land (bval \ b_1 \ s \neq f_1 \longrightarrow
    (\exists \, k < \mathit{length \, cfs. \, cfs} \; ! \; k = (\mathit{size \, (bcomp \, (b_1, \, f_1, \, i_1)} \; @ \; \mathit{bcomp \, (b_2, \, f_2, \, i_2)}) \; + \\
      (if \ bval \ b_2 \ s = f_2 \ then \ i_2 \ else \ 0), \ s, \ stk)))
by (clarify, rule conjI, simp add: execl-all-def, rule impI, subst (asm) append-Nil2
 [symmetric], drule execl-all-sub2 [where I = \lambda s. if bval b_1 s = f_1 then i_1 else 0
 and I' = \lambda s. if bval b_2 s = f_2 then i_2 else 0 and Q = \lambda s s'. s' = s
 and Q' = \lambda s \ s'. s' = s and F = \lambda s \ stk. stk and F' = \lambda s \ stk. stk],
 auto simp: bpred-def)
lemma acomp-correct [simplified, intro]:
 acomp \ a \models cfs \square \Longrightarrow apred \ a \ (cfs \ ! \ 0) \ (cfs \ ! \ (length \ cfs - 1))
proof (induction a arbitrary: cfs, simp-all, frule-tac [3] acomp-acomp, auto)
  \mathbf{fix} \ a_1 \ a_2 \ cfs \ s \ stk \ k
  assume A: acomp \ a_1 \ @ \ acomp \ a_2 \ @ \ [ADD] \models \ cfs \square
    (is ?ca_1 @ ?ca_2 @ ?i \models -\square)
  assume B: k < length \ cfs and
    C: cfs ! k = (size ? ca_1 + size ? ca_2, s, aval a_2 s \# aval a_1 s \# stk)
  hence cfs! Suc k = (size (?ca_1 @ ?ca_2 @ ?i), s, aval (Plus <math>a_1 a_2) s \# stk)
    using A by (insert execl-next [of ?ca_1 @ ?ca_2 @ ?i \ cfs \ k],
```

```
simp add: execl-all-def, drule-tac impI, auto)
  thus apred (Plus a_1 a_2) (0, s, stk) (cfs! (length cfs - Suc 0))
   using A and B and C by (insert execl-last [of ?ca_1 @ ?ca_2 @ ?i \ cfs \ Suc \ k],
    simp add: execl-all-def apred-def, drule-tac impI, auto)
qed
lemma bcomp-correct [simplified, intro]:
 [bcomp \ x \models cfs\square; \ 0 \leq snd \ (snd \ x)] \Longrightarrow bpred \ x \ (cfs \ ! \ 0) \ (cfs \ ! \ (length \ cfs - 1))
proof (induction x arbitrary: cfs rule: bcomp.induct, simp-all add: Let-def,
frule-tac [4] acomp-acomp, frule-tac [3] bcomp-bcomp, auto, force simp: bpred-def)
  \mathbf{fix} \ b_1 \ b_2 \ f \ i \ cfs \ s \ stk
  assume A: bcomp (b_1, False, size (bcomp (b_2, f, i)) + (if f then 0 else i)) @
    bcomp\ (b_2, f, i) \models cfs\square
   (is bcomp (-, -, ?n + ?i) @ ?cb \models -\Box)
  moreover assume B: cfs! \theta = (\theta, s, stk) and
   \land cb \ cfs. \ \llbracket cb = ?cb; \ bcomp \ (b_1, \ False, \ ?n + ?i) \models cfs\square \rrbracket \Longrightarrow
      bpred (b_1, False, ?n + ?i) (cfs! 0) (cfs! (length cfs - Suc 0))
  ultimately have \exists k < length \ cfs. \ bpred \ (b_1, \ False, \ ?n + \ ?i)
    (off [ (cfs ! \theta)) (off [ (cfs ! k)) 
   by (rule-tac execl-all-sub, auto simp: execl-all-def)
  moreover assume C: \neg bval \ b_1 \ s
  ultimately obtain k where D: k < length cfs and
    E: cfs ! k = (size (bcomp (b_1, False, ?n + ?i)) + ?n + ?i, s, stk)
   using B by (auto simp: bpred-def)
  assume 0 \le i
  thus bpred (And b_1 b_2, f, i) (0, s, stk) (cfs! (length cfs - Suc 0))
   using A and C and D and E by (insert execl-last, auto simp:
    execl-all-def bpred-def Let-def)
next
  \mathbf{fix} \ b_1 \ b_2 \ f \ i \ cfs \ s \ stk \ k
  assume A: bcomp (b_1, False, size (bcomp (b_2, f, i)) + (if f then 0 else i)) @
    bcomp\ (b_2, f, i) \models cfs\square
   (is ?cb_1 @ ?cb_2 \models -\square)
  assume k < length \ cfs \ {\bf and} \ \ \theta \leq i \ {\bf and} \ \ bval \ b_1 \ s \ {\bf and}
   cfs ! k = (size ? cb_1 + size ? cb_2 + (if bval b_2 s = f then i else 0), s, stk)
  thus bpred (And b_1, b_2, f, i) (0, s, stk) (cfs! (length cfs - Suc 0))
   using A by (insert execl-last, auto simp: execl-all-def bpred-def Let-def)
next
  \mathbf{fix} \ a_1 \ a_2 \ f \ i \ cfs \ s \ stk \ k
  assume A: acomp \ a_1 @ acomp \ a_2 @
    (if f then [JMPLESS i] else [JMPGE i]) \models cfs \square
   (is ?ca_1 @ ?ca_2 @ ?i \models -\square)
  assume B: k < length \ cfs and
    C: cfs ! k = (size ? ca_1 + size ? ca_2, s, aval a_2 s \# aval a_1 s \# stk)
  hence D: cfs ! Suc k =
    (size (?ca<sub>1</sub> @ ?ca<sub>2</sub> @ ?i) + (if bval (Less a_1 a_2) s = f then i else 0), s, stk)
   using A by (insert execl-next [of ?ca_1 @ ?ca_2 @ ?i \ cfs \ k],
    simp add: execl-all-def, drule-tac impI, auto split: if-split-asm)
  assume 0 \le i
```

```
with A and B and C and D have length cfs - 1 = Suc \ k
by (rule-tac execl-last, auto simp: execl-all-def, simp split: if-split-asm)
thus bpred (Less a_1 \ a_2, f, i) (0, s, stk) (cfs! (length cfs - Suc \ 0))
using D by (simp add: bpred-def)
qed
```

Next, lemmas bcomp-ccomp, ccomp-ccomp are derived to reproduce the execution of a compiled boolean expression followed by a compiled command and of two consecutive compiled commands, respectively (possibly generated by ccomp). Then, compiler correctness for loops and for all commands is proven in lemmas while-correct and ccomp-correct, respectively by induction over the length of the list of configurations and by structural induction over commands.

```
lemma bcomp-ccomp:
 [bcomp (b, f, i) @ ccomp c @ P \models cfs\square; 0 \leq i;
    \land cfs. \ ccomp \ c \models cfs \square \Longrightarrow cpred \ c \ (cfs \ ! \ 0) \ (cfs \ ! \ (length \ cfs - 1)) 
  case cfs! 0 of (pc, s, stk) \Rightarrow pc = 0 \land (bval\ b\ s \neq f \longrightarrow
    (\exists k < length \ cfs. \ case \ cfs \ ! \ k \ of \ (pc', s', stk') \Rightarrow
      pc' = size \ (bcomp \ (b, f, i) \ @ \ ccomp \ c) \land (c, s) \Rightarrow s' \land stk' = stk))
by (clarify, rule conjI, simp add: execl-all-def, rule impI, drule execl-all-sub2
 [where I = \lambda s. if bval b \ s = f \ then \ i \ else \ 0 and I' = \lambda s. 0
 and Q = \lambda s \ s'. s' = s and Q' = \lambda s \ s'. (c, s) \Rightarrow s' and F = \lambda s \ stk. stk
 and F' = \lambda s \ stk. \ stk, insert bcomp-correct [of (b, f, i)],
 auto simp: bpred-def cpred-def)
lemma ccomp-ccomp:
 [ccomp \ c_1 \ @ \ ccomp \ c_2 \models cfs\Box;
    \land cfs. \ ccomp \ c_1 \models cfs \square \Longrightarrow cpred \ c_1 \ (cfs ! \ 0) \ (cfs ! \ (length \ cfs - 1));
    \land cfs. \ ccomp \ c_2 \models cfs\square \implies cpred \ c_2 \ (cfs ! \ 0) \ (cfs ! \ (length \ cfs - 1)) 
  case cfs ! 0 of (pc, s, stk) \Rightarrow pc = 0 \land (\exists k < length cfs. <math>\exists t.
    case cfs! k of (pc', s', stk') \Rightarrow pc' = size (ccomp c_1 @ ccomp c_2) \land
      (c_1, s) \Rightarrow t \land (c_2, t) \Rightarrow s' \land stk' = stk)
by (subst (asm) append-Nil2 [symmetric], drule execl-all-sub2 [where I = \lambda s. 0
 and I' = \lambda s. \theta and Q = \lambda s s'. (c_1, s) \Rightarrow s' and Q' = \lambda s s'. (c_2, s) \Rightarrow s'
 and F = \lambda s stk. stk and F' = \lambda s stk. stk, auto simp: cpred-def, force)
lemma while-correct [simplified, intro]:
 [bcomp\ (b,\ False,\ size\ (ccomp\ c)+1)\ @\ ccomp\ c\ @
     [JMP \ (-\ (size\ (bcomp\ (b,\ False,\ size\ (ccomp\ c)+1)\ @\ ccomp\ c)+1))] \models
cfs\square:
    \land cfs. \ ccomp \ c \models cfs \square \Longrightarrow cpred \ c \ (cfs \ ! \ 0) \ (cfs \ ! \ (length \ cfs - 1)) \rVert \Longrightarrow
  cpred (WHILE b DO c) (cfs! 0) (cfs! (length cfs - Suc 0))
  (is [?cb @ ?cc @ [JMP (-?n)] \models -\Box; \land -. - \Longrightarrow -]] \Longrightarrow ?Q \ cfs)
proof (induction cfs rule: length-induct, frule bcomp-ccomp, auto)
  fix cfs \ s \ stk
  assume A: ?cb @ ?cc @ [JMP (-size ?cb - size ?cc - 1)] \models cfs\Box
```

```
hence \exists k < length \ cfs. \ bpred \ (b, \ False, \ size \ (ccomp \ c) + 1)
   (off [ (cfs ! \theta)) (off [ (cfs ! k))
   by (rule-tac execl-all-sub, auto simp: execl-all-def)
  moreover assume B: \neg bval \ b \ s \ and \ cfs \ ! \ \theta = (\theta, s, stk)
  ultimately obtain k where k < length \ cfs \ and \ cfs \ ! \ k = (?n, s, stk)
   by (auto simp: bpred-def)
  thus cpred (WHILE b DO c) (0, s, stk) (cfs! (length cfs - Suc 0))
   using A and B by (insert execl-last, auto simp: execl-all-def cpred-def Let-def)
next
  fix cfs \ s \ s' \ stk \ k
 assume A: ?cb @ ?cc @ [JMP (-size ?cb - size ?cc - 1)] \models cfs\square
   (is ?P \models -\Box)
 assume B: k < length \ cfs \ and \ cfs \ ! \ k = (size \ ?cb + size \ ?cc, \ s', \ stk)
 moreover from this have C: k \neq length \ cfs - 1
   using A by (rule-tac notI, simp add: execl-all-def)
  ultimately have D: cfs! Suc k = (0, s', stk)
   using A by (insert execl-next [of ?P cfs k], auto simp: execl-all-def)
 moreover have E: Suc\ k + (length\ (drop\ (Suc\ k)\ cfs) - 1) = length\ cfs - 1
   (is Suc\ k + (length\ ?cfs - 1) = -)
   using B and C by simp
  ultimately have ?P \models ?cfs\square
   using A and B and C by (auto simp: execl-all-def intro: execl-drop)
  moreover assume \forall cfs'. length cfs' < length cfs \longrightarrow ?P \models cfs' \square \longrightarrow ?Q cfs'
  hence length ?cfs < length \ cfs \longrightarrow ?P \models ?cfs \square \longrightarrow ?Q \ ?cfs ...
  ultimately have cpred (WHILE b DO c) (cfs! Suc k) (cfs! (length cfs -1))
   using B and C and E by simp
 moreover assume bval b s and (c, s) \Rightarrow s'
 ultimately show cpred (WHILE b DO c) (0, s, stk) (cfs! (length cfs - Suc 0))
   using D by (auto simp: cpred-def)
qed
lemma ccomp-correct:
ccomp \ c \models cfs \square \Longrightarrow cpred \ c \ (cfs \ ! \ 0) \ (cfs \ ! \ (length \ cfs - 1))
proof (induction c arbitrary: cfs, simp-all add: Let-def, frule-tac [4] bcomp-ccomp,
frule-tac [3] ccomp-ccomp, auto)
 fix a \times cfs
 assume A: acomp \ a @ [STORE \ x] \models cfs \square
 hence \exists k < length \ cfs. \ apred \ a \ (off \ [] \ (cfs ! \ \theta)) \ (off \ [] \ (cfs ! \ k))
   by (rule-tac execl-all-sub, auto simp: execl-all-def)
  moreover obtain s stk where B: cfs! \theta = (\theta, s, stk)
   using A by (cases cfs! 0, simp add: execl-all-def)
  ultimately obtain k where C: k < length cfs and
   D: cfs ! k = (size (acomp \ a), s, aval \ a \ s \# stk)
   by (auto simp: apred-def)
 hence cfs! Suc k = (size (acomp \ a) + 1, s(x := aval \ a \ s), stk)
   using A by (insert execl-next [of acomp a @ [STORE x] cfs k],
    simp add: execl-all-def, drule-tac impI, auto)
  thus cpred\ (x := a)\ (cfs ! 0)\ (cfs ! (length\ cfs - Suc\ 0))
   using A and B and C and D by (insert execl-last [of acomp a @ [STORE x]]
```

```
cfs Suc k, simp add: execl-all-def cpred-def, drule-tac impI, auto)
\mathbf{next}
  \mathbf{fix} \ c_1 \ c_2 \ cfs \ s \ s' \ t \ stk \ k
  assume ccomp c_1 @ ccomp c_2 \models cfs\square and k < length cfs and
   cfs! k = (size (ccomp c_1) + size (ccomp c_2), s', stk)
  moreover assume (c_1, s) \Rightarrow t and (c_2, t) \Rightarrow s'
  ultimately show cpred (c_1; c_2) (0, s, stk) (cfs ! (length cfs - Suc 0))
   by (insert execl-last, auto simp: execl-all-def cpred-def)
next
  \mathbf{fix} \ b \ c_1 \ c_2 \ cfs \ s \ stk
  assume A: bcomp (b, False, size (ccomp c_1) + 1) @ ccomp c_1 @
    JMP \ (size \ (ccomp \ c_2)) \ \# \ ccomp \ c_2 \models cfs \square
   (is bcomp ?x @ ?cc<sub>1</sub> @ - # ?cc<sub>2</sub> \models -\square)
  let ?P = bcomp ?x @ ?cc_1 @ [JMP (size ?cc_2)]
  have \exists k < length \ cfs. \ bpred ?x \ (off \ [] \ (cfs ! \ 0)) \ (off \ [] \ (cfs ! \ k))
   using A by (rule-tac execl-all-sub, auto simp: execl-all-def)
  moreover assume B: \neg bval \ b \ s and cfs \ ! \ \theta = (\theta, s, stk)
 ultimately obtain k where C: k < length \ cfs \ and \ D: \ cfs \ ! \ k = (size \ ?P, \ s, \ stk)
   by (force simp: bpred-def)
  assume \bigwedge cfs. ?cc_2 \models cfs \square \implies cpred \ c_2 \ (cfs ! \ \theta) \ (cfs ! \ (length \ cfs - Suc \ \theta))
  hence \exists k' < length \ cfs. \ cpred \ c_2 \ (off \ ?P \ (cfs \ ! \ k)) \ (off \ ?P \ (cfs \ ! \ k'))
    using A and C and D by (rule-tac execl-all-sub [where P'' = []], auto)
  then obtain k' where k' < length \ cfs \ and \ case \ cfs \ ! \ k' \ of \ (pc', s', stk') \Rightarrow
   pc' = size \ (?P \ @ \ ?cc_2) \land (c_2, s) \Rightarrow s' \land stk' = stk
    using D by (fastforce simp: cpred-def)
  thus cpred (IF b THEN c_1 ELSE c_2) (0, s, stk) (cfs! (length cfs - Suc 0))
   using A and B by (insert execl-last, auto simp: execl-all-def cpred-def Let-def)
next
  \mathbf{fix} \ b \ c_1 \ c_2 \ cfs \ s \ s' \ stk \ k
  assume A: bcomp (b, False, size (ccomp c_1) + 1) @ ccomp c_1 @
    JMP \ (size \ (ccomp \ c_2)) \ \# \ ccomp \ c_2 \models cfs \square
   (is ?cb @ ?cc_1 @ ?i # ?cc_2 \models -\Box)
  assume B: k < length \ cfs \ and \ C: \ cfs \ ! \ k = (size \ ?cb + size \ ?cc_1, \ s', \ stk)
  hence D: cfs! Suc k = (size (?cb @ ?cc_1 @ ?i # ?cc_2), s', stk)
    (is - = (size ?P, -, -))
   using A by (insert execl-next [of ?P cfs k], simp add: execl-all-def,
    drule-tac impI, auto)
  assume bval b s and (c_1, s) \Rightarrow s'
  thus cpred (IF b THEN c_1 ELSE c_2) (0, s, stk) (cfs! (length cfs - Suc 0))
    using A and B and C and D by (insert execl-last [of ?P cfs Suc k],
    simp add: execl-all-def cpred-def Let-def, drule-tac impI, auto)
next
  fix c_1 c_2 cfs
  assume A: JMPND (size (ccomp c_1) + 1) # ccomp c_1 @
    JMP \ (size \ (ccomp \ c_2)) \ \# \ ccomp \ c_2 \models cfs \square
    (is JMPND \ (?n_1 + 1) \# ?cc_1 @ JMP ?n_2 \# ?cc_2 \models -\Box)
  let ?P = JMPND (?n_1 + 1) \# ?cc_1 @ [JMP ?n_2]
  assume
    B: \land cfs. ?cc_1 \models cfs \square \implies cpred \ c_1 \ (cfs ! \ \theta) \ (cfs ! \ (length \ cfs - Suc \ \theta)) and
```

```
C: \land cfs. ?cc_2 \models cfs \square \Longrightarrow cpred c_2 (cfs! 0) (cfs! (length cfs - Suc 0))
  from A obtain s stk where D: cfs! \theta = (\theta, s, stk)
   by (cases cfs! 0, simp add: execl-all-def)
  with A have cfs! 1 = (1, s, stk) \lor cfs! 1 = (?n_1 + 2, s, stk)
   by (insert execl-next [of ?P @ ?cc2 cfs 0], simp add: execl-all-def,
    drule-tac impI, auto)
  moreover {
   assume E: cfs ! 1 = (1, s, stk)
   hence \exists k < length \ cfs. \ cpred \ c_1 \ (off \ [hd \ ?P] \ (cfs \ ! \ 1)) \ (off \ [hd \ ?P] \ (cfs \ ! \ k))
     using A and B by (rule-tac execl-all-sub, auto simp: execl-all-def)
   then obtain k where k < length \ cfs and case cfs! k of (pc', s', stk') \Rightarrow
     pc' = ?n_1 + 1 \land (c_1, s) \Rightarrow s' \land stk' = stk
     using E by (fastforce simp: cpred-def)
   moreover from this have case cfs! Suc k of (pc', s', stk') \Rightarrow
     pc' = ?n_1 + ?n_2 + 2 \wedge (c_1, s) \Rightarrow s' \wedge stk' = stk
     using A by (insert execl-next [of ?P @ ?cc_2 cfs k], simp add: execl-all-def,
      drule-tac impI, auto)
   ultimately have cpred (c_1 \ OR \ c_2) (cfs \ ! \ \theta) (cfs \ ! \ (length \ cfs - Suc \ \theta))
     using A and D by (insert execl-last [of ?P @ ?cc_2 \ cfs \ Suc \ k],
      simp add: execl-all-def cpred-def split-def Let-def, drule-tac impI, auto)
  }
  moreover {
   assume E: cfs ! 1 = (?n_1 + 2, s, stk)
   with A and C have \exists k < length \ cfs. \ cpred \ c_2 \ (off \ ?P \ (cfs!1)) \ (off \ ?P \ (cfs!k))
     by (rule-tac execl-all-sub [where P'' = []], auto simp: execl-all-def)
   then obtain k where k < length \ cfs \ and \ case \ cfs \ ! \ k \ of \ (pc', s', stk') \Rightarrow
     pc' = ?n_1 + ?n_2 + ? \wedge (c_2, s) \Rightarrow s' \wedge stk' = stk
     using E by (fastforce simp: cpred-def)
   with A and D have cpred (c_1 \ OR \ c_2) \ (cfs \ ! \ \theta) \ (cfs \ ! \ (length \ cfs - Suc \ \theta))
     by (insert execl-last, auto simp: execl-all-def cpred-def Let-def)
  ultimately show cpred (c_1 \ OR \ c_2) \ (cfs \ ! \ \theta) \ (cfs \ ! \ (length \ cfs - Suc \ \theta))..
qed
```

Finally, the main compiler correctness theorem, expressed using predicate exec, is proven. First, $P \vdash cf \rightarrow *cf'$ is shown to imply the existence of a nonempty list of configurations cfs such that $P \models cfs$, whose initial and final configurations match cf and cf', respectively. Then, the main theorem is derived as a straightforward consequence of this lemma and of the previous lemma ccomp-correct.

```
lemma exec-execl [dest!]: P \vdash cf \rightarrow * cf' \Longrightarrow \exists cfs. \ P \models cfs \land cfs \neq [] \land hd \ cfs = cf \land last \ cfs = cf' by (erule star.induct, force, erule exE, rule list.exhaust, blast, simp del: last.simps, rule exI, subst execl.simps(1), simp)
```

theorem ccomp-exec:

 $ccomp\ c \vdash (0,\ s,\ stk) \to * (size\ (ccomp\ c),\ s',\ stk') \Longrightarrow (c,\ s) \Rightarrow s' \land stk' = stk$ by (insert ccomp-correct, force simp: hd-conv-nth last-conv-nth execl-all-def cpred-def)

end

References

- [1] G. Klein. Theory HOL-IMP.Compiler2 (included in the Isabelle2021 distribution). https://isabelle.in.tum.de/website-Isabelle2021/dist/library/HOL/HOL-IMP/Compiler2.html.
- [2] A. Krauss. Defining Recursive Functions in Isabelle/HOL. https://isabelle.in.tum.de/website-Isabelle2021/dist/Isabelle2021/doc/functions.pdf.
- [3] T. Nipkow. A Tutorial Introduction to Structured Isar Proofs. https://isabelle.in.tum.de/website-Isabelle2011/dist/Isabelle2011/doc/isar-overview.pdf.
- [4] T. Nipkow. *Programming and Proving in Isabelle/HOL*, Feb. 2021. https://isabelle.in.tum.de/website-Isabelle2021/dist/Isabelle2021/doc/prog-prove.pdf.
- [5] T. Nipkow and G. Klein. Concrete Semantics with Isabelle/HOL. Springer-Verlag, Mar. 2021. (Current version: http://www.concrete-semantics.org/concrete-semantics.pdf).
- [6] T. Nipkow, L. C. Paulson, and M. Wenzel. *Isabelle/HOL A Proof Assistant for Higher-Order Logic*, Feb. 2021. https://isabelle.in.tum.de/website-Isabelle2021/dist/Isabelle2021/doc/tutorial.pdf.