

Information Flow Control via Dependency Tracking

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Abstract

We provide a characterisation of how information is propagated by program executions based on the tracking data and control dependencies within executions themselves. The characterisation might be used for deriving approximative safety properties to be targeted by static analyses or checked at runtime. We utilise a simple yet versatile control flow graph model as a program representation. As our model is not assumed to be finite it can be instantiated for a broad class of programs. The targeted security property is indistinguishable security where executions produce sequences of observations and only non-terminating executions are allowed to drop a tail of those.

A very crude approximation of our characterisation is slicing based on program dependence graphs, which we use as a minimal example and derive a corresponding soundness result.

For further details and applications refer to the authors upcoming dissertation.

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1 Definitions

This section contains all necessary definitions of this development. Section 1.1 contains the structural definition of our program model which includes the security specification as well as abstractions of control flow and data. Executions of our program model are defined in section 1.1.1. Additional well-formedness properties are defined in section 1.1.2. Our security property is defined in section 1.2. Our characterisation of how information is propagated by executions of our program model is defined in section 1.3.5, for which the correctness result can be found in section 2.10. Section 1.3.6 contains an additional approximation of this characterisation whose correctness result can be found in section 2.11.

```
theory IFC
  imports Main
begin
```

1.1 Program Model

Our program model contains all necessary components for the remaining development and consists of:

```
record ('n, 'var, 'val, 'obs) ifc-problem =
— A set of nodes representing program locations:
  nodes :: <'n set>
— An initial node where all executions start:
  entry :: <'n>
— A final node where executions can terminate:
  return :: <'n>
— An abstraction of control flow in the form of an edge relation:
  edges :: <('n × 'n) set>
— An abstraction of variables written at program locations:
  writes :: <'n ⇒ 'var set>
— An abstraction of variables read at program locations:
  reads :: <'n ⇒ 'var set>
— A set of variables containing the confidential information in the initial state:
  hvars :: <'var set>
— A step function on location state pairs:
  step :: <('n × ('var ⇒ 'val)) ⇒ ('n × ('var ⇒ 'val))>
— An attacker model producing observations based on the reached state at certain locations:
  att :: <'n → (('var ⇒ 'val) ⇒ 'obs)>
```

We fix a program in the following in order to define the central concepts. The necessary well-formedness assumptions will be made in section 1.1.2.

```
locale IFC-def =
fixes prob :: <('n, 'var, 'val, 'obs) ifc-problem>
begin
```

Some short hands to the components of the program which we will utilise exclusively in the following.

```
definition nodes where <nodes = ifc-problem.nodes prob>
definition entry where <entry = ifc-problem.entry prob>
definition return where <return = ifc-problem.return prob>
definition edges where <edges = ifc-problem.edges prob>
definition writes where <writes = ifc-problem.writes prob>
definition reads where <reads = ifc-problem.reads prob>
definition hvars where <hvars = ifc-problem.hvars prob>
definition step where <step = ifc-problem.step prob>
definition att where <att = ifc-problem.att prob>
```

The components of the step function for convenience.

```

definition suc where <suc n σ = fst (step (n, σ))>
definition sem where <sem n σ = snd (step (n, σ))>

lemma step-suc-sem: <step (n,σ) = (suc n σ, sem n σ)> unfolding suc-def sem-def by auto

```

1.1.1 Executions

In order to define what it means for a program to be well-formed, we first require concepts of executions and program paths.

The sequence of nodes visited by the execution corresponding to an input state.

```

definition path where
<path σ k = fst ((step ^~ k) (entry,σ))>

```

The sequence of states visited by the execution corresponding to an input state.

```

definition kth-state ( <-> [111,111] 110) where
<σk = snd ((step ^~ k) (entry,σ))>

```

A predicate asserting that a sequence of nodes is a valid program path according to the control flow graph.

```

definition is-path where
<is-path π = (forall n. (π n, π (Suc n)) ∈ edges)>
end

```

1.1.2 Well-formed Programs

The following assumptions define our notion of valid programs.

```

locale IFC = IFC-def <prob> for prob:: <('n, 'var, 'val, 'out) ifc-problem> +
assumes ret-is-node[simp,intro]: <return ∈ nodes>
and entry-is-node[simp,intro]: <entry ∈ nodes>
and writes: <forall v n. (exists σ. σ v ≠ sem n σ v) => v ∈ writes n>
and writes-return: <writes return = {}>
and uses-writes: <forall n σ σ'. (forall v ∈ reads n. σ v = σ' v) => forall v ∈ writes n. sem n σ v = sem n σ' v>
and uses-suc: <forall n σ σ'. (forall v ∈ reads n. σ v = σ' v) => suc n σ = suc n σ'>
and uses-att: <forall n f σ σ'. att n = Some f => (forall v ∈ reads n. σ v = σ' v) => f σ = f σ'>
and edges-complete[intro,simp]: <forall m σ. m ∈ nodes => (m,suc m σ) ∈ edges>
and edges-return : <forall x. (return,x) ∈ edges => x = return>
and edges-nodes: <edges ⊆ nodes × nodes>
and reaching-ret: <forall x. x ∈ nodes => exists π n. is-path π ∧ π 0 = x ∧ π n = return>

```

1.2 Security

We define our notion of security, which corresponds to what Bohannon et al. [1] refer to as indistinguishable security. In order to do so we require notions of observations made by the attacker, termination and equivalence of input states.

```

context IFC-def
begin

```

1.2.1 Observations

The observation made at a given index within an execution.

```

definition obsp where

```

$\langle obsp \sigma k = (\text{case } att(\text{path } \sigma k) \text{ of } Some f \Rightarrow Some (f (\sigma^k)) \mid None \Rightarrow None) \rangle$

The indices within a path where an observation is made.

definition $obs\text{-}ids :: \langle (nat \Rightarrow 'n) \Rightarrow nat \text{ set} \rangle$ **where**
 $\langle obs\text{-}ids \pi = \{k. att(\pi k) \neq None\} \rangle$

A predicate relating an observable index to the number of observations made before.

definition $is\text{-}kth\text{-}obs :: \langle (nat \Rightarrow 'n) \Rightarrow nat \Rightarrow nat \Rightarrow bool \rangle$ **where**
 $\langle is\text{-}kth\text{-}obs \pi k i = (\text{card}(obs\text{-}ids \pi \cap \{.. < i\}) = k \wedge att(\pi i) \neq None) \rangle$

The final sequence of observations made for an execution.

definition obs **where**
 $\langle obs \sigma k = (\text{if } (\exists i. is\text{-}kth\text{-}obs(\text{path } \sigma) k i) \text{ then } obsp \sigma (\text{THE } i. is\text{-}kth\text{-}obs(\text{path } \sigma) k i) \text{ else } None) \rangle$

Comparability of observations.

definition $obs\text{-}prefix :: \langle (nat \Rightarrow 'obs \text{ option}) \Rightarrow (nat \Rightarrow 'obs \text{ option}) \Rightarrow bool \rangle$ (**infix** \lesssim 50) **where**
 $\langle a \lesssim b \equiv \forall i. a i \neq None \longrightarrow a i = b i \rangle$

definition $obs\text{-}comp$ (**infix** \approx 50) **where**
 $\langle a \approx b \equiv a \lesssim b \vee b \lesssim a \rangle$

1.2.2 Low equivalence of input states

definition $restrict$ (**infix** \upharpoonright 100) **where**
 $\langle f \upharpoonright U = (\lambda n. \text{if } n \in U \text{ then } f n \text{ else undefined}) \rangle$

Two input states are low equivalent if they coincide on the non high variables.

definition $loweq$ (**infix** \leq_L 50)
where $\langle \sigma =_L \sigma' = (\sigma \upharpoonright (-hvars) = \sigma' \upharpoonright (-hvars)) \rangle$

1.2.3 Termination

An execution terminates iff it reaches the terminal node at any point.

definition $terminates$ **where**
 $\langle terminates \sigma \equiv \exists i. \text{path } \sigma i = \text{return} \rangle$

1.2.4 Security Property

The fixed program is secure if and only if for all pairs of low equivalent inputs the observation sequences are comparable and if the execution for an input state terminates then the observation sequence is not missing any observations.

definition $secure$ **where**
 $\langle secure \equiv \forall \sigma \sigma'. \sigma =_L \sigma' \longrightarrow (obs \sigma \approx obs \sigma' \wedge (terminates \sigma \longrightarrow obs \sigma' \lesssim obs \sigma)) \rangle$

1.3 Characterisation of Information Flows

We now define our characterisation of information flows which tracks data and control dependencies within executions. To do so we first require some additional concepts.

1.3.1 Post Dominance

We utilise the post dominance relation in order to define control dependence.

The basic post dominance relation.

```
definition is-pd (infix `pd→` 50) where
⟨y pd→ x ↔ x ∈ nodes ∧ (∀ π n. is-path π ∧ π (0::nat) = x ∧ π n = return → (∃ k≤n. π k = y))⟩
```

The immediate post dominance relation.

```
definition is-ipd (infix `ipd→` 50) where
⟨y ipd→ x ↔ x ≠ y ∧ y pd→ x ∧ (∀ z. z≠x ∧ z pd→ x → z pd→ y)⟩
```

definition ipd **where**

```
⟨ipd x = (THE y. y ipd→ x)⟩
```

The post dominance tree.

definition pdt **where**

```
⟨pdt = {(x,y). x≠y ∧ y pd→ x}⟩
```

1.3.2 Control Dependence

An index on an execution path is control dependent upon another if the path does not visit the immediate post domiator of the node reached by the smaller index.

```
definition is-cdi (|- cd̄→ -> [51,51,51]50) where
⟨i cdπ→ k ↔ is-path π ∧ k < i ∧ π i ≠ return ∧ (∀ j ∈ {k..i}. π j ≠ ipd (π k))⟩
```

The largest control dependency of an index is the immediate control dependency.

```
definition is-icdi (|- icd̄→ -> [51,51,51]50) where
⟨n icdπ→ n' ↔ is-path π ∧ n cdπ→ n' ∧ (∀ m ∈ {n'..<n}. ¬ n cdπ→ m)⟩
```

For the definition of the control slice, which we will define next, we require the uniqueness of the immediate control dependency.

lemma icd-uniq: **assumes** ⟨m icdπ→ n⟩ ⟨m icdπ→ n'⟩ **shows** ⟨n = n'⟩

proof –

```
{  
  fix n n' assume *: ⟨m icdπ→ n⟩ ⟨m icdπ→ n'⟩ ⟨n < n'⟩  
  have ⟨n' < m⟩ using * unfolding is-icdi-def is-cdi-def by auto  
  hence ⟨¬ m cdπ→ n'⟩ using * unfolding is-icdi-def by auto  
  with *(2) have ⟨False⟩ unfolding is-icdi-def by auto  
}  
thus ?thesis using assms by (metis linorder-neqE-nat)  
qed
```

1.3.3 Control Slice

We utilise the control slice, that is the sequence of nodes visited by the control dependencies of an index, to match indices between executions.

```
function cs:: ⟨(nat ⇒ 'n) ⇒ nat ⇒ 'n list⟩ (⟨cs̄ → [51,70] 71⟩) where
⟨csπ n = (if (exists m. n icdπ→ m) then (cs π (THE m. n icdπ→ m))@[π n] else [π n])⟩
by pat-completeness auto
termination ⟨cs⟩ proof
  show ⟨wf (measure snd)⟩ by simp
  fix π n
```

```

define m where ⟨m == (The (is-icdi n π))⟩
assume ⟨Ex (is-icdi n π)⟩
hence ⟨n icdπ → m⟩ unfolding m-def by (metis (full-types) icd-uniq theI')
hence ⟨m < n⟩ unfolding is-icdi-def is-cdi-def by simp
thus ⟨((π, The (is-icdi n π)), π, n) ∈ measure snd⟩ by (metis in-measure m-def snd-conv)
qed

inductive cs-less (infix ⟨· < ·⟩ 50) where
⟨length xs < length ys ⟹ take (length xs) ys = xs ⟹ xs < ys⟩

definition cs-select (infix ⟨·|·⟩ 50) where
⟨π|xs = (THE k. csπ k = xs)⟩

```

1.3.4 Data Dependence

Data dependence is defined straight forward. An index is data dependent upon another, if the index reads a variable written by the earlier index and the variable in question has not been written by any index in between.

```

definition is-ddi (⟨- ddπ → -⟩ [51,51,51,51] 50) where
⟨n ddπ,v → m ↔ is-path π ∧ m < n ∧ v ∈ reads (π n) ∩ (writes (π m)) ∧ (∀ l ∈ {m < .. < n}. v ∉ writes (π l))⟩

```

1.3.5 Characterisation via Critical Paths

With the above we define the set of critical paths which as we will prove characterise the matching points in executions where diverging data is read.

inductive-set cp **where**

— Any pair of low equivalent input states and indices where a diverging high variable is first read is critical.

```

⟨[σ =L σ';
  cspath σ n = cspath σ' n';
  h ∈ reads(path σ n);
  (σn) h ≠ (σ'n') h;
  ∀ k < n. h ∉ writes(path σ k);
  ∀ k' < n'. h ∉ writes(path σ' k')
  ] ⟹ ((σ,n),(σ',n')) ∈ cp⟩ |

```

— If from a pair of critical indices in two executions there exist data dependencies from both indices to a pair of matching indices where the variable diverges, the later pair of indices is critical.

```

⟨[((σ,k),(σ',k')) ∈ cp;
  n ddpath σ,v → k;
  n' ddpath σ',v → k';
  cspath σ n = cspath σ' n';
  (σn) v ≠ (σ'n') v
  ] ⟹ ((σ,n),(σ',n')) ∈ cp⟩ |

```

— If from a pair of critical indices the executions take different branches and one of the critical indices is a control dependency of an index that is data dependency of a matched index where diverging data is read and the variable in question is not written by the other execution after the executions first reached matching indices again, then the later matching pair of indices is critical.

```

`[((σ,k),(σ',k')) ∈ cp;
 n ddpath σ,v → l;
 l cdpath σ → k;
 cspath σ n = cspath σ' n';
 path σ (Suc k) ≠ path σ' (Suc k');
 (σn) v ≠ (σ'n') v;
 ∀ j' ∈ {(LEAST i'. k' < i' ∧ (∃ i. cspath σ i = cspath σ' i') .. < n)}. v ∉ writes (path σ' j')
] ⇒ ((σ,n),(σ',n')) ∈ cp` |

```

— The relation is symmetric.

```
`[((σ,k),(σ',k')) ∈ cp] ⇒ ((σ',k'),(σ,k)) ∈ cp`
```

Based on the set of critical paths, the critical observable paths are those that either directly reach observable nodes or are diverging control dependencies of an observable index.

inductive-set *cop* **where**

```

`[((σ,n),(σ',n')) ∈ cp;
 path σ n ∈ dom att
] ⇒ ((σ,n),(σ',n')) ∈ cop` |

```

```

`[((σ,k),(σ',k')) ∈ cp;
 n cdpath σ → k;
 path σ (Suc k) ≠ path σ' (Suc k');
 path σ n ∈ dom att
] ⇒ ((σ,n),(σ',k')) ∈ cop` |

```

1.3.6 Approximation via Single Critical Paths

For applications we also define a single execution approximation.

definition *is-dcdi-via* ($\langle\langle$ - dcd $^-$, $^-\rangle$ - via - \rangle [51,51,51,51,51,51] 50) **where**

```
< n dcdπ,v → m via π' m' = (is-path π ∧ m < n ∧ (exists l' n'. csπ m = csπ' m' ∧ csπ n = csπ' n' ∧ n' ddπ',v → l' ∧ l' cdπ' → m') ∧ (∀ l ∈ {..< n}. v ∉ writes(π l)))>
```

inductive-set *scp* **where**

```

`[h ∈ hvars; h ∈ reads (path σ n); (∀ k < n. h ∉ writes(path σ k))] ⇒ (path σ,n) ∈ scp` |
`[(π,m) ∈ scp; n cdπ → m] ⇒ (π,n) ∈ scp` |
`[(π,m) ∈ scp; n ddπ,v → m] ⇒ (π,n) ∈ scp` |
`[(π,m) ∈ scp; (π',m') ∈ scp; n dcdπ,v → m via π' m'] ⇒ (π,n) ∈ scp` |

```

inductive-set *scop* **where**

```
`[(π,n) ∈ scp; π n ∈ dom att] ⇒ (π,n) ∈ scop`
```

1.3.7 Further Definitions

The following concepts are utilised by the proofs.

inductive *contradicts* (**infix** $\langle\langle\mathbf{c}\rangle\rangle$ 50) **where**

```
`[csπ' k' ⊢ csπ k ; π = path σ; π' = path σ'; π (Suc (π|csπ' k')) ≠ π' (Suc k')] ⇒ (σ', k') c (σ, k)` |
`[csπ' k' = csπ k ; π = path σ; π' = path σ'; σk ↾ (reads (π k)) ≠ σ'k' ↾ (reads (π k))] ⇒ (σ', k') c (σ, k)` |
```

definition *path-shift* (**infixl** $\langle\langle\mathbf{a}\rangle\rangle$ 51) **where**

[simp]: $\langle\mathbf{\pi} \mathbf{a} m = (\lambda n. \mathbf{\pi} (m+n))\rangle$

definition *path-append* :: $\langle(nat \Rightarrow 'n) \Rightarrow nat \Rightarrow (nat \Rightarrow 'n) \Rightarrow (nat \Rightarrow 'n)\rangle$ ($\langle\langle$ - @ $^-$ - \rangle [0,0,999] 51) **where**

```

[simp]: ⟨π @m π' = (λn.(if n ≤ m then π n else π' (n-m)))⟩

definition eq-up-to :: ⟨(nat ⇒ 'n) ⇒ nat ⇒ (nat ⇒ 'n) ⇒ bool⟩ (‐ =‐ → [55,55,55] 50) where
⟨π =k π' = ( ∀ i ≤ k. π i = π' i)⟩

end

```

2 Proofs

2.1 Miscellaneous Facts

```

lemma option-neq-cases: assumes ⟨x ≠ y⟩ obtains (none1) a where ⟨x = None⟩ ⟨y = Some a⟩ | (none2) a
where ⟨x = Some a⟩ ⟨y = None⟩ | (some) a b where ⟨x = Some a⟩ ⟨y = Some b⟩ ⟨a ≠ b⟩ using assms by
fastforce

```

```

lemmas nat-sym-cases[case-names less sym eq] = linorder-less-wlog

```

```

lemma mod-bound-instance: assumes ⟨j < (i::nat)⟩ obtains j' where ⟨k < j'⟩ and ⟨j' mod i = j⟩ proof –
have ⟨k < Suc k * i + j⟩ using assms less-imp-Suc-add by fastforce
moreover
have ⟨(Suc k * i + j) mod i = j⟩ by (metis assms mod-less mod-mult-self3)
ultimately show ⟨thesis⟩ using that by auto
qed

```

```

lemma list-neq-prefix-cases: assumes ⟨ls ≠ ls'⟩ and ⟨ls ≠ Nil⟩ and ⟨ls' ≠ Nil⟩
obtains (diverge) xs x x' ys ys' where ⟨ls = xs@[x]@ys⟩ ⟨ls' = xs@[x']@ys'⟩ ⟨x ≠ x'⟩ |
(prefix1) xs where ⟨ls = ls'@xs⟩ and ⟨xs ≠ Nil⟩ |
(prefix2) xs where ⟨ls@xs = ls'⟩ and ⟨xs ≠ Nil⟩
using assms proof (induct ⟨length ls⟩ arbitrary: ⟨ls⟩ ⟨ls'⟩ rule: less-induct)
case (less ls ls')
obtain z zs z' zs' where
lz: ⟨ls = z#zs⟩ ⟨ls' = z'#zs'⟩ by (metis list.exhaust less(6,7))
show ⟨?case⟩ proof cases
assume zz: ⟨z = z'⟩
hence zsz: ⟨zs ≠ zs'⟩ using less(5) lz by auto
have lenz: ⟨length zs < length ls⟩ using lz by auto
show ⟨?case⟩ proof(cases ⟨zs = Nil⟩)
assume zs: ⟨zs = Nil⟩
hence ⟨zs' ≠ Nil⟩ using zsz by auto
moreover
have ⟨ls@zs' = ls'⟩ using zs lz zz by auto
ultimately
show ⟨thesis⟩ using less(4) by blast
next
assume zs: ⟨zs ≠ Nil⟩
show ⟨thesis⟩ proof (cases ⟨zs' = Nil⟩)
assume ⟨zs' = Nil⟩
hence ⟨ls = ls'@zs⟩ using lz zz by auto
thus ⟨thesis⟩ using zs less(3) by blast
next
assume zs': ⟨zs' ≠ Nil⟩
{ fix xs x ys x' ys'
assume ⟨zs = xs @ [x] @ ys⟩ ⟨zs' = xs @ [x'] @ ys'⟩ and xx: ⟨x ≠ x'⟩
hence ⟨ls = (z#xs) @ [x] @ ys⟩ ⟨ls' = (z#xs) @ [x'] @ ys'⟩ using lz zz by auto
hence ⟨thesis⟩ using less(2) xx by blast
} note * = this

```

```

{ fix xs
  assume <zs = zs' @ xs> and xs: <xs ≠ []>
  hence <ls = ls' @ xs> using lz zz by auto
  hence <thesis> using xs less(3) by blast
} note ** = this
{ fix xs
  assume <zs@xs = zs'> and xs: <xs ≠ []>
  hence <ls@xs = ls'> using lz zz by auto
  hence <thesis> using xs less(4) by blast
} note *** = this
have <(Λxs x ys x' ys'. zs = xs @ [x] @ ys ==> zs' = xs @ [x'] @ ys' ==> x ≠ x' ==> thesis) ==>
  (&xs. zs = zs' @ xs ==> xs ≠ [] ==> thesis) ==>
  (&xs. zs @ xs = zs' ==> xs ≠ [] ==> thesis) ==> thesis,
using less(1)[OF lenz --- zsz zs zs' ] .
thus <thesis> using * ** *** by blast
qed
qed
next
assume <z ≠ z'>
moreover
have <ls = []@[z]@zs> <ls' = []@[z']@zs'> using lz by auto
ultimately show <thesis> using less(2) by blast
qed
qed

```

lemma three-cases: assumes $\langle A \vee B \vee C \rangle$ obtains $\langle A \rangle \mid \langle B \rangle \mid \langle C \rangle$ using assms by auto

lemma insort-greater: $\forall x \in \text{set } ls. x < y \implies \text{insort } y ls = ls@[y]$ by (induction <ls>,auto)

lemma insort-append-first: assumes $\forall y \in \text{set } ys. x \leq y$ shows $\text{insort } x (xs@ys) = \text{insort } x xs @ ys$ using assms by (induction <xs>,auto,metis insort-is-Cons)

```

lemma sorted-list-of-set-append: assumes <finite xs> <finite ys> <∀ x ∈ xs. ∀ y ∈ ys. x < y> shows <sorted-list-of-set (xs ∪ ys) = sorted-list-of-set xs @ (sorted-list-of-set ys)>
using assms(1,3) proof (induction <xs>)
  case empty thus <?case> by simp
next
  case (insert x xs)
  hence iv: <sorted-list-of-set (xs ∪ ys) = sorted-list-of-set xs @ sorted-list-of-set ys> by blast
  have le: <∀ y ∈ set (sorted-list-of-set ys). x < y> using insert(4) assms(2) sorted-list-of-set by auto
  have <sorted-list-of-set (insert x xs ∪ ys) = sorted-list-of-set (insert x (xs ∪ ys))> by auto
  also
  have <... = insert x (sorted-list-of-set (xs ∪ ys))> by (metis Un-iff assms(2) finite-Un insert.hyps(1) insert.hyps(2) insert.preds insertI1 less-irrefl sorted-list-of-set-insert)
  also
  have <... = insert x (sorted-list-of-set xs @ sorted-list-of-set ys)> using iv by simp
  also
  have <... = insert x (sorted-list-of-set xs) @ sorted-list-of-set ys> by (metis le insort-append-first less-le-not-le)
  also
  have <... = sorted-list-of-set (insert x xs) @ sorted-list-of-set ys> using sorted-list-of-set-insert[OF insert(1),of <x>] insert(2) by auto
  finally
  show <?case> .
qed

```

lemma filter-insort: <sorted xs ==> filter P (insort x xs) = (if P x then insort x (filter P xs) else filter P xs)>

```

by (induction <xs>, simp) (metis filter-insort filter-insort-triv map-ident)

lemma filter-sorted-list-of-set: assumes <finite xs> shows <filter P (sorted-list-of-set xs) = sorted-list-of-set {x ∈ xs. P x}> using assms proof(induction <xs>)
  case empty thus <?case> by simp
next
  case (insert x xs)
    have *: <set (sorted-list-of-set xs) = xs> <sorted (sorted-list-of-set xs)> <distinct (sorted-list-of-set xs)> by
      (auto simp add: insert.hyps(1))
    have **: <P x ⟹ {y ∈ insert x xs. P y} = insert x {y ∈ xs. P y}> by auto
    have ***: <¬ P x ⟹ {y ∈ insert x xs. P y} = {y ∈ xs. P y}> by auto
    note filter-insort[OF *(2),of <P> <x>] sorted-list-of-set-insert[OF insert(1), of <x>] insert(2,3) *** ***
    thus <?case> by (metis (mono-tags) *(1) List.finite-set distinct-filter distinct-insort distinct-sorted-list-of-set
      set-filter sorted-list-of-set-insert)
qed

lemma unbounded-nat-set-infinite: assumes <∀ (i::nat). ∃ j≥i. j ∈ A> shows <¬ finite A> using assms
by (metis finite-nat-set-iff-bounded-le not-less-eq-eq)

lemma infinite-ascending: assumes nf: <¬ finite (A::nat set)> obtains f where <range f = A> <∀ i. f i < f
(Suc i)> proof
  let <?f> = <λ i. (LEAST a. a ∈ A ∧ card (A ∩ {..) = i})>
  { fix i
    obtain a where <a ∈ A> <card (A ∩ {..) = i>
    proof (induction <i> arbitrary: <thesis>)
      case 0
        let <?a0> = <(LEAST a. a ∈ A)>
        have <?a0 ∈ A> by (metis LeastI empty-iff finite.emptyI nf set-eq-iff)
        moreover
          have <¬ b. b ∈ A ⟹ ?a0 ≤ b> by (metis Least-le)
          hence <card (A ∩ {..) = 0> by force
        ultimately
          show <?case> using 0 by blast
    next
      case (Suc i)
        obtain a where aa: <a ∈ A> and card: <card (A ∩ {..) = i> using Suc.IH by metis
        have nf': <¬ finite (A - {..a})> using nf by auto
        let <?b> = <(LEAST b. b ∈ A - {..a})>
        have bin: <?b ∈ A - {..a}> by (metis LeastI empty-iff finite.emptyI nf' set-eq-iff)
        have le: <¬ c. c ∈ A - {..a} ⟹ ?b ≤ c> by (metis Least-le)
        have ab: <a < ?b> using bin by auto
        have <¬ c. c ∈ A ⟹ c < ?b ⟹ c ≤ a> using le by force
        hence <A ∩ {..) = insert a (A ∩ {..)> using bin ab aa by force
        hence <card (A ∩ {..) = Suc i> using card by auto
        thus <?case> using Suc.preds bin by auto
    qed
    note <¬ thesis. ((¬ a. a ∈ A ⟹ card (A ∩ {..) = i ⟹ thesis) ⟹ thesis)>
  }
  note ex = this

{
  fix i
  obtain a where a: <a ∈ A ∧ card (A ∩ {..) = i> using ex by blast
  have ina: <?f i ∈ A> and card: <card (A ∩ {..) = i> using LeastI[of <λ a. a ∈ A ∧ card (A ∩ {..) = i> <a>, OF a] by auto
  obtain b where b: <b ∈ A ∧ card (A ∩ {..) = Suc i> using ex by blast

```

```

have inab:  $\langle ?f (\text{Suc } i) \in A \rangle$  and cardb:  $\langle \text{card} (A \cap \{\dots < ?f (\text{Suc } i)\}) = \text{Suc } i \rangle$  using LeastI[of  $\lambda a. a \in A$ 
 $\wedge \text{card} (A \cap \{\dots < a\}) = \text{Suc } i$ ] by auto
have  $\langle ?f i < ?f (\text{Suc } i) \rangle$  proof (rule ccontr)
  assume  $\neg ?f i < ?f (\text{Suc } i)$ 
  hence  $\langle A \cap \{\dots < ?f (\text{Suc } i)\} \subseteq A \cap \{\dots < ?f i\} \rangle$  by auto
  moreover have  $\langle \text{finite} (A \cap \{\dots < ?f i\}) \rangle$  by auto
  ultimately have  $\langle \text{card}(A \cap \{\dots < ?f (\text{Suc } i)\}) \leq \text{card} (A \cap \{\dots < ?f i\}) \rangle$  by (metis (erased, lifting) card-mono)
  thus  $\langle \text{False} \rangle$  using card cardb by auto
qed
note this ina
}
note b = this
thus  $\langle \forall i. ?f i < ?f (\text{Suc } i) \rangle$  by auto
have *:  $\langle \text{range } ?f \subseteq A \rangle$  using b by auto
moreover
{
  fix a assume ina:  $\langle a \in A \rangle$ 
  let  $\langle ?i \rangle = \langle \text{card} (A \cap \{\dots < a\}) \rangle$ 
  obtain b where b:  $\langle b \in A \wedge \text{card} (A \cap \{\dots < b\}) = ?i \rangle$  using ex by blast
  have inab:  $\langle ?f ?i \in A \rangle$  and cardb:  $\langle \text{card} (A \cap \{\dots < ?f ?i\}) = ?i \rangle$  using LeastI[of  $\lambda a. a \in A \wedge \text{card} (A \cap \{\dots < a\}) = ?i$ ] by auto
  have le:  $\langle ?f ?i \leq a \rangle$  using Least-le[of  $\lambda a. a \in A \wedge \text{card} (A \cap \{\dots < a\}) = ?i$ ] ina by auto
  have  $\langle a = ?f ?i \rangle$  proof (rule ccontr)
    have fin:  $\langle \text{finite} (A \cap \{\dots < a\}) \rangle$  by auto
    assume  $\neg a = ?f ?i$ 
    hence  $\langle ?f ?i < a \rangle$  using le by simp
    hence  $\langle ?f ?i \in A \cap \{\dots < a\} \rangle$  using inab by auto
    moreover
      have  $\langle A \cap \{\dots < ?f ?i\} \subseteq A \cap \{\dots < a\} \rangle$  using le by auto
      hence  $\langle A \cap \{\dots < ?f ?i\} = A \cap \{\dots < a\} \rangle$  using cardb card-subset-eq[OF fin] by auto
      ultimately
        show  $\langle \text{False} \rangle$  by auto
    qed
    hence  $\langle a \in \text{range } ?f \rangle$  by auto
  }
  hence  $\langle A \subseteq \text{range } ?f \rangle$  by auto
  ultimately show  $\langle \text{range } ?f = A \rangle$  by auto
qed

lemma mono-ge-id:  $\langle \forall i. f i < f (\text{Suc } i) \implies i \leq f i \rangle$ 
apply (induction i, auto)
by (metis not-le not-less-eq-eq order-trans)

lemma insort-map-mono: assumes mono:  $\langle \forall n m. n < m \longrightarrow f n < f m \rangle$  shows  $\langle \text{map } f (\text{insort } n ns) = \text{insort} (f n) (\text{map } f ns) \rangle$ 
apply (induction ns)
apply auto
apply (metis not-less not-less-iff-gr-or-eq mono)
apply (metis antisym-conv1 less-imp-le mono)
apply (metis mono not-less)
by (metis mono not-less)

lemma sorted-list-of-set-map-mono: assumes mono:  $\langle \forall n m. n < m \longrightarrow f n < f m \rangle$  and fin:  $\langle \text{finite } A \rangle$ 
shows  $\langle \text{map } f (\text{sorted-list-of-set } A) = \text{sorted-list-of-set} (f' A) \rangle$ 
using fin proof (induction)
case empty thus  $\langle ?\text{case} \rangle$  by simp

```

```

next
  case (insert x A)
  have [simp]:<sorted-list-of-set (insert x A) = insort x (sorted-list-of-set A)> using insert sorted-list-of-set-insert
  by simp
  have <f ` insert x A = insert (f x) (f ` A)> by auto
  moreover
  have <f x ∈ f ` A> apply (rule ccontr) using insert(2) mono apply auto by (metis insert.hyps(2) mono
  neq_if)
  ultimately
  have <sorted-list-of-set (f ` insert x A) = insort (f x) (sorted-list-of-set (f ` A))> using insert(1) sorted-list-of-set-insert
  by simp
  also
  have <... = insort (f x) (map f (sorted-list-of-set A))> using insert.IH by auto
  also have <... = map f (insort x (sorted-list-of-set A))> using insort-map-mono[OF mono] by auto
  finally
  show <map f (sorted-list-of-set (insert x A)) = sorted-list-of-set (f ` insert x A)> by simp
qed

lemma GreatestIB:
fixes n :: <nat> and P
assumes a:<∃ k≤n. P k>
shows GreatestBI: <P (GREATEST k. k≤n ∧ P k)> and GreatestB: <(GREATEST k. k≤n ∧ P k) ≤ n>
proof –
  show <P (GREATEST k. k≤n ∧ P k)> using GreatestI-ex-nat[OF assms] by auto
  show <(GREATEST k. k≤n ∧ P k) ≤ n> using GreatestI-ex-nat[OF assms] by auto
qed

lemma GreatestB-le:
fixes n :: <nat>
assumes <x≤n> and <P x>
shows <x ≤ (GREATEST k. k≤n ∧ P k)>
proof –
  have *: <∀ y. y≤n ∧ P y → y<Suc n> by auto
  then show <x ≤ (GREATEST k. k≤n ∧ P k)> using assms by (blast intro: Greatest-le-nat)
qed

lemma LeastBI-ex: assumes <∃ k ≤ n. P k> shows <P (LEAST k::'c::wellorder. P k)> and <(LEAST k. P k)
≤ n>
proof –
  from assms obtain k where k: k ≤ n P k by blast
  thus <P (LEAST k. P k)> using LeastI[of <P> <k>] by simp
  show <(LEAST k. P k) ≤ n> using Least-le[of <P> <k>] k by auto
qed

lemma allB-atLeastLessThan-lower: assumes <(i::nat) ≤ j> <∀ x∈{i... P x}> shows <∀ x∈{j... P x}>
proof
  fix x assume <x∈{j..>> hence <x∈{i..>> using assms(1) by simp
  thus <P x> using assms(2) by auto
qed

```

2.2 Facts about Paths

```

context IFC
begin

```

```

lemma path0: <path σ 0 = entry> unfolding path-def by auto

```

```

lemma path-in-nodes[intro]: <path σ k ∈ nodes> proof (induction ⟨k⟩)
  case (Suc k)
    hence <A σ'. (path σ k, suc (path σ k) σ') ∈ edges> by auto
    hence <(path σ k, path σ (Suc k)) ∈ edges> unfolding path-def
      by (metis suc-def comp-apply funpow.simps(2) prod.collapse)
    thus <?case> using edges-nodes by force
qed (auto simp add: path-def)

lemma path-is-path[simp]: <is-path (path σ)> unfolding is-path-def path-def using step-suc-sem apply auto
by (metis path-def suc-def edges-complete path-in-nodes prod.collapse)

lemma term-path-stable: assumes <is-path π> <π i = return> and le: <i ≤ j> shows <π j = return>
using le proof (induction ⟨j⟩)
  case (Suc j)
  show <?case> proof cases
    assume <i≤j>
    hence <π j = return> using Suc by simp
    hence <(return, π (Suc j)) ∈ edges> using assms(1) unfolding is-path-def by metis
    thus <π (Suc j) = return> using edges-return by auto
  next
    assume <¬ i ≤ j>
    hence <Suc j = i> using Suc by auto
    thus <?thesis> using assms(2) by auto
  qed
next
  case 0 thus <?case> using assms by simp
qed

lemma path-path-shift: assumes <is-path π> shows <is-path (π «m)>
using assms unfolding is-path-def by simp

lemma path-cons: assumes <is-path π> <is-path π'> <π m = π' 0> shows <is-path (π @m π')>
unfolding is-path-def proof(rule,cases)
  fix n assume <m < n> thus <((π @m π') n, (π @m π') (Suc n)) ∈ edges>
    using assms(2) unfolding is-path-def path-append-def
    by (auto,metis Suc-diff-Suc diff-Suc-Suc less-SucI)
next
  fix n assume *: <¬ m < n> thus <((π @m π') n, (π @m π') (Suc n)) ∈ edges> proof cases
    assume [simp]: <n = m>
    thus <?thesis> using assms unfolding is-path-def path-append-def by force
  next
    assume <n ≠ m>
    hence <Suc n ≤ m> <n ≤ m> using * by auto
    with assms(1) show <?thesis> unfolding is-path-def by auto
  qed
qed

lemma is-path-loop: assumes <is-path π> <0 < i> <π i = π 0> shows <is-path (λ n. π (n mod i))> unfolding
is-path-def proof (rule,cases)
  fix n
  assume <0 < Suc n mod i>
  hence <Suc n mod i = Suc (n mod i)> by (metis mod-Suc neq0-conv)
  moreover
  have <(π (n mod i), π (Suc (n mod i))) ∈ edges> using assms(1) unfolding is-path-def by auto
  ultimately

```

```

show ⟨(π (n mod i), π (Suc n mod i)) ∈ edges⟩ by simp
next
fix n
assume ¬ 0 < Suc n mod i
hence ⟨Suc n mod i = 0⟩ by auto
moreover
hence ⟨n mod i = i - 1⟩ using assms(2) by (metis Zero-neq-Suc diff-Suc-1 mod-Suc)
ultimately
show ⟨(π(n mod i), π (Suc n mod i)) ∈ edges⟩ using assms(1) unfolding is-path-def by (metis assms(3) mod-Suc)
qed

lemma path-nodes: ⟨is-path π ⟹ π k ∈ nodes⟩ unfolding is-path-def using edges-nodes by force

lemma direct-path-return': assumes ⟨is-path π⟩ ⟨π 0 = x⟩ ⟨x ≠ return⟩ ⟨π n = return⟩
obtains π' n' where ⟨is-path π'⟩ ⟨π' 0 = x⟩ ⟨π' n' = return⟩ ⟨∀ i > 0. π' i ≠ x⟩
using assms proof (induction ⟨n⟩ arbitrary: ⟨π⟩ rule: less-induct)
case (less n π)
hence ih: ⟨∀ n' π'. n' < n ⟹ is-path π' ⟹ π' 0 = x ⟹ π' n' = return ⟹ thesis⟩ using assms by auto
show ⟨thesis⟩ proof cases
assume ∀ i > 0. π i ≠ x thus ⟨thesis⟩ using less by auto
next
assume ¬ (∀ i > 0. π i ≠ x)
then obtain i where 0 < i ⟨π i = x⟩ by auto
hence ⟨(π `` i) 0 = x⟩ by auto
moreover
have ⟨i < n⟩ using less(3,5,6) ⟨π i = x⟩ by (metis linorder-neqE-nat term-path-stable less-imp-le)
hence ⟨(π `` i) (n - i) = return⟩ using less(6) by auto
moreover
have ⟨is-path (π `` i)⟩ using less(3) by (metis path-path-shift)
moreover
have ⟨n - i < n⟩ using ⟨0 < i⟩ ⟨i < n⟩ by auto
ultimately show ⟨thesis⟩ using ih by auto
qed
qed

lemma direct-path-return: assumes ⟨x ∈ nodes⟩ ⟨x ≠ return⟩
obtains π n where ⟨is-path π⟩ ⟨π 0 = x⟩ ⟨π n = return⟩ ⟨∀ i > 0. π i ≠ x⟩
using direct-path-return'[of - ⟨x⟩] reaching-ret[OF assms(1)] assms(2) by blast

lemma path-append-eq-up-to: ⟨(π @k π') =k π⟩ unfolding eq-up-to-def by auto

lemma eq-up-to-le: assumes ⟨k ≤ n⟩ ⟨π =n π'⟩ shows ⟨π =k π'⟩ using assms unfolding eq-up-to-def by auto

lemma eq-up-to-refl: shows ⟨π =k π⟩ unfolding eq-up-to-def by auto

lemma eq-up-to-sym: assumes ⟨π =k π'⟩ shows ⟨π' =k π⟩ using assms unfolding eq-up-to-def by auto

lemma eq-up-to-apply: assumes ⟨π =k π'⟩ ⟨j ≤ k⟩ shows ⟨π j = π' j⟩ using assms unfolding eq-up-to-def by auto

lemma path-swap-ret: assumes ⟨is-path π⟩ obtains π' n where ⟨is-path π'⟩ ⟨π =k π'⟩ ⟨π' n = return⟩
proof -
have nd: ⟨π k ∈ nodes⟩ using assms path-nodes by simp
obtain π' n where *: ⟨is-path π'⟩ ⟨π' 0 = π k⟩ ⟨π' n = return⟩ using reaching-ret[OF nd] by blast

```

```

have ⟨ $\pi =_k (\pi @^k \pi')$ ⟩ by (metis eq-up-to-sym path-append-eq-up-to)
moreover
have ⟨is-path ( $\pi @^k \pi'$ )⟩ using assms * path-cons by metis
moreover
have ⟨ $(\pi @^k \pi') (k + n) = \text{return}$ ⟩ using * by auto
ultimately
show ⟨thesis⟩ using that by blast
qed

lemma path-suc: ⟨path σ (Suc k) = fst (step (path σ k, σk))⟩ by (induction ⟨k⟩, auto simp: path-def kth-state-def)
lemma kth-state-suc: ⟨σSuc k = snd (step (path σ k, σk))⟩ by (induction ⟨k⟩, auto simp: path-def kth-state-def)

```

2.3 Facts about Post Dominators

```

lemma pd-trans: assumes 1: ⟨y pd→ x⟩ and 2: ⟨z pd→ y⟩ shows ⟨z pd→ x⟩
proof –

```

```

{
fix π n
assume 3[simp]: ⟨is-path π⟩ ⟨π 0 = x⟩ ⟨π n = return⟩
then obtain k where ⟨π k = y⟩ and 7: ⟨k ≤ n⟩ using 1 unfolding is-pd-def by blast
then have ⟨(π « k) 0 = y⟩ and ⟨(π « k) (n - k) = return⟩ by auto
moreover have ⟨is-path (π « k)⟩ by (metis 3(1) path-path-shift)
ultimately obtain k' where 8: ⟨(π « k) k' = z⟩ and ⟨k' ≤ n - k⟩ using 2 unfolding is-pd-def by blast
hence ⟨k + k' ≤ n⟩ and ⟨π (k + k') = z⟩ using 7 by auto
hence ⟨∃ k ≤ n. π k = z⟩ using path-nodes by auto
}
thus ⟨?thesis⟩ using 1 unfolding is-pd-def by blast
qed
```

```

lemma pd-path: assumes ⟨y pd→ x⟩
obtains π n k where ⟨is-path π⟩ and ⟨π 0 = x⟩ and ⟨π n = return⟩ and ⟨π k = y⟩ and ⟨k ≤ n⟩
using assms unfolding is-pd-def using reaching-ret[of ⟨x⟩] by blast

```

```

lemma pd-antisym: assumes xpdy: ⟨x pd→ y⟩ and ypdः: ⟨y pd→ x⟩ shows ⟨x = y⟩
proof –

```

```

obtain π n where path: ⟨is-path π⟩ and π0: ⟨π 0 = x⟩ and πn: ⟨π n = return⟩ using pd-path[OF ypdः] by
metis
hence kex: ⟨∃ k ≤ n. π k = y⟩ using ypdः unfolding is-pd-def by auto
obtain k where k: ⟨k = (GREATEST k. k ≤ n ∧ π k = y)⟩ by simp
have πk: ⟨π k = y⟩ and kn: ⟨k ≤ n⟩ using k kex by (auto intro: GreatestIB)
```

```

have kpath: ⟨is-path (π « k)⟩ by (metis path-path-shift path)
moreover have k0: ⟨(π « k) 0 = y⟩ using πk by simp
moreover have kreturn: ⟨(π « k) (n - k) = return⟩ using kn πn by simp
ultimately have ky': ⟨∃ k' ≤ (n - k). (π « k) k' = x⟩ using xpdy unfolding is-pd-def by simp
```

```

obtain k' where k': ⟨k' = (GREATEST k'. k' ≤ (n - k) ∧ (π « k) k' = x)⟩ by simp
```

```

with ky' have πk': ⟨(π « k) k' = x⟩ and kn': ⟨k' ≤ (n - k)⟩ by (auto intro: GreatestIB)
```

```

have k'path: ⟨is-path (π « k « k')⟩ using kpath by (metis path-path-shift)
```

```

moreover have k'0: ⟨(π « k « k') 0 = x⟩ using πk' by simp
```

```

moreover have k'return: ⟨(π « k « k') (n - k - k') = return⟩ using kn' kreturn by (metis path-shift-def le-add-diff-inverse)
ultimately have ky'': ⟨∃ k'' ≤ (n - k - k'). (π « k « k') k'' = y⟩ using ypdः unfolding is-pd-def by blast
```

```

obtain k'' where k'': ⟨k'' = (GREATEST k''. k'' ≤ (n - k - k') ∧ (π « k « k') k'' = y)⟩ by simp
```

with ky'' have $\pi k'': \langle \pi \ll k \ll k' \rangle k'' = y$ and $kn'': \langle k'' \leq (n-k-k') \rangle$ by (auto intro: GreatestIB)
from this(1) **have** $\langle \pi (k + k' + k'') = y \rangle$ **by** (metis path-shift-def add.commute add.left-commute)
moreover
 have $\langle k + k' + k'' \leq n \rangle$ **using** kn'' kn' kn by simp
ultimately have $\langle k + k' + k'' \leq k \rangle$ **using** k by (auto simp: GreatestB-le)
 hence $\langle k' = 0 \rangle$ **by** simp
with k0 $\pi k'$ **show** $\langle x = y \rangle$ **by** simp
qed

lemma pd-refl[simp]: $\langle x \in nodes \implies x \text{ pd} \rightarrow x \rangle$ **unfolding** is-pd-def **by** blast

lemma pdt-trans-in-pdt: $\langle (x,y) \in pdt^+ \implies (x,y) \in pdt \rangle$
proof (induction rule: trancl-induct)
case base **thus** $\langle ?\text{case} \rangle$ **by** simp
next
case (step y z) **show** $\langle ?\text{case} \rangle$ **unfolding** pdt-def **proof** (simp)
 have *: $\langle y \text{ pd} \rightarrow x \rangle \langle z \text{ pd} \rightarrow y \rangle$ **using** step unfolding pdt-def **by** auto
 hence [simp]: $\langle z \text{ pd} \rightarrow x \rangle$ **using** pd-trans[where $x=\langle x \rangle$ and $y=\langle y \rangle$ and $z=\langle z \rangle$] **by** simp
 have $\langle x \neq z \rangle$ **proof**
assume $\langle x = z \rangle$
 hence $\langle z \text{ pd} \rightarrow y \rangle \langle y \text{ pd} \rightarrow z \rangle$ **using** * **by** auto
 hence $\langle z = y \rangle$ **using** pd-antisym **by** auto
 thus $\langle \text{False} \rangle$ **using** step(2) unfolding pdt-def **by** simp
qed
 thus $\langle x \neq z \wedge z \text{ pd} \rightarrow x \rangle$ **by** auto
qed
qed

lemma pdt-trancl-pdt: $\langle pdt^+ = pdt \rangle$ **using** pdt-trans-in-pdt **by** fast

lemma trans-pdt: $\langle \text{trans } pdt \rangle$ **by** (metis pdt-trancl-pdt trans-trancl)

definition [simp]: $\langle pdt\text{-inv} = pdt^{-1} \rangle$

lemma wf-pdt-inv: $\langle wf (pdt\text{-inv}) \rangle$ **proof** (rule ccontr)
assume $\neg wf (pdt\text{-inv})$
then obtain f **where** $\langle \forall i. (f (\text{Suc } i), f i) \in pdt^{-1} \rangle$ **using** wf-iff-no-infinite-down-chain **by** force
 hence *: $\langle \forall i. (f i, f (\text{Suc } i)) \in pdt \rangle$ **by** simp
 have **: $\langle \forall i. \forall j > i. (f i, f j) \in pdt \rangle$ **proof**(rule,rule,rule)
fix i j **assume** $\langle i < (j::nat) \rangle$ **thus** $\langle (f i, f j) \in pdt \rangle$ **proof** (induction $\langle j \rangle$ rule: less-induct)
case (less k)
show $\langle ?\text{case} \rangle$ **proof** (cases $\langle \text{Suc } i < k \rangle$)
case True
 hence $k : \langle k - 1 < k \rangle \langle i < k - 1 \rangle$ **and** sk: $\langle \text{Suc } (k - 1) = k \rangle$ **by** auto
 show $\langle ?\text{thesis} \rangle$ **using** less(1)[OF k] *[rule-format,of $\langle k - 1 \rangle$,unfolded sk] trans-pdt[unfolded trans-def] **by** blast
next
case False
 hence $\langle \text{Suc } i = k \rangle$ **using** less(2) **by** auto
 then show $\langle ?\text{thesis} \rangle$ **using** * **by** auto
qed
qed
qed
 hence ***: $\langle \forall i. \forall j > i. f j \text{ pd} \rightarrow f i \rangle \langle \forall i. \forall j > i. f i \neq f j \rangle$ **unfolding** pdt-def **by** auto
 hence ****: $\langle \forall i > 0. f i \text{ pd} \rightarrow f 0 \rangle$ **by** simp

```

hence ⟨f 0 ∈ nodes⟩ using * is-pd-def by fastforce
then obtain π n where π:⟨is-path π⟩ ⟨π 0 = f 0⟩ ⟨π n = return⟩ using reaching-ret by blast
hence ⟨∀ i>0. ∃ k≤n. π k = f i⟩ using ***⟨1⟩ ⟨f 0 ∈ nodes⟩ unfolding is-pd-def by blast
hence πf:⟨∀ i. ∃ k≤n. π k = f i⟩ using π⟨2⟩ by (metis le0 not-gr-zero)
have ⟨range f ⊆ π ‘{..n}⟩ proof(rule subsetI)
  fix x assume ⟨x ∈ range f⟩
  then obtain i where ⟨x = f i⟩ by auto
  then obtain k where ⟨x = π k⟩ ⟨k ≤ n⟩ using πf by metis
  thus ⟨x ∈ π ‘{..n}⟩ by simp
qed
hence f:⟨finite (range f)⟩ using finite-surj by auto
hence fi:⟨∃ i. infinite {j. f j = f i}⟩ using pigeonhole-infinite[OF - f] by auto
obtain i where ⟨infinite {j. f j = f i}⟩ using fi ..
thus ⟨False⟩
  by (metis (mono-tags, lifting) ***⟨2⟩ bounded-nat-set-is-finite gt-ex mem-Collect-eq nat-neq-iff)
qed

lemma return-pd: assumes ⟨x ∈ nodes⟩ shows ⟨return pd→ x⟩ unfolding is-pd-def using assms by blast

lemma pd-total: assumes xz: ⟨x pd→ z⟩ and yz: ⟨y pd→ z⟩ shows ⟨x pd→ y ∨ y pd→ x⟩
proof –
  obtain π n where path: ⟨is-path π⟩ and π0: ⟨π 0 = z⟩ and πn: ⟨π n = return⟩ using xz reaching-ret
  unfolding is-pd-def by force
  have *: ⟨∃ k≤n. (π k = x ∨ π k = y)⟩ (is ⟨∃ k≤n. ?P k⟩) using path π0 πn xz yz unfolding is-pd-def by
  auto
  obtain k where k: ⟨k = (LEAST k. π k = x ∨ π k = y)⟩ by simp
  hence kn: ⟨k≤n⟩ and πk: ⟨π k = x ∨ π k = y⟩ using LeastBI-ex[OF *] by auto
  note k-le = Least-le[where P = ⟨?P⟩]
  show ⟨?thesis⟩ proof (cases)
    assume kx: ⟨π k = x⟩
    have k-min: ⟨¬ k'. π k' = y ⟹ k ≤ k'⟩ using k-le unfolding k by auto
    {
      fix π'
      and n' :: nat
      assume path': ⟨is-path π'⟩ and π'0: ⟨π' 0 = x⟩ and π'n': ⟨π' n' = return⟩
      have path'': ⟨is-path (π @k π')⟩ using path-cons[OF path path'] kx π'0 by auto
      have π''0: ⟨(π @k π') 0 = z⟩ using π0 by simp
      have π''n: ⟨(π @k π') (k+n') = return⟩ using π'n' kx π'0 by auto
      obtain k' where k': ⟨k' ≤ k + n'⟩ ⟨(π @k π') k' = y⟩ using yz path'' π''0 π''n unfolding is-pd-def by
      blast
      have **: ⟨k ≤ k'⟩ proof (rule ccontr)
        assume ¬ k ≤ k'
        hence ⟨k' < k⟩ by simp
        moreover
        hence ⟨π k' = y⟩ using k' by auto
        ultimately
        show ⟨False⟩ using k-min by force
      qed
      hence ⟨π' (k' - k) = y⟩ using k' π'0 kx by auto
      moreover
      have ⟨(k' - k) ≤ n'⟩ using k' by auto
      ultimately
      have ⟨∃ k≤n'. π' k = y⟩ by auto
    }
    hence ⟨y pd→ x⟩ using kx path-nodes path unfolding is-pd-def by auto

```

thus $\langle ?thesis \rangle ..$

next — This is analogous argument

```

assume  $kx: \langle \pi k \neq x \rangle$ 
hence  $ky: \langle \pi k = y \rangle$  using  $\pi k$  by auto
have  $k\text{-min}: \langle \bigwedge k'. \pi k' = x \implies k \leq k' \rangle$  using  $k\text{-le}$  unfolding  $k$  by auto
{
  fix  $\pi'$ 
  and  $n' :: \langle nat \rangle$ 
  assume  $path': \langle \text{is-path } \pi' \rangle$  and  $\pi'0: \langle \pi' 0 = y \rangle$  and  $\pi'n': \langle \pi' n' = \text{return} \rangle$ 
  have  $path'': \langle \text{is-path } (\pi @^k \pi') \rangle$  using  $\text{path-cons}[\text{OF path path}'] ky \pi'0$  by auto
  have  $\pi''0: \langle (\pi @^k \pi') 0 = z \rangle$  using  $\pi 0$  by simp
  have  $\pi''n: \langle (\pi @^k \pi') (k+n) = \text{return} \rangle$  using  $\pi'n' ky \pi'0$  by auto
  obtain  $k'$  where  $k': \langle k' \leq k + n' \rangle$   $\langle (\pi @^k \pi') k' = x \rangle$  using  $xz \text{path}'' \pi''0 \pi''n$  unfolding  $\text{is-pd-def}$  by
  blast
  have  $**: \langle k \leq k' \rangle$  proof (rule  $ccontr$ )
    assume  $\neg k \leq k'$ 
    hence  $\langle k' < k \rangle$  by simp
    moreover
    hence  $\langle \pi k' = x \rangle$  using  $k'$  by auto
    ultimately
      show  $\langle \text{False} \rangle$  using  $k\text{-min}$  by force
  qed
  hence  $\langle \pi' (k' - k) = x \rangle$  using  $k' \pi'0 ky$  by auto
  moreover
  have  $\langle (k' - k) \leq n' \rangle$  using  $k'$  by auto
  ultimately
  have  $\langle \exists k \leq n'. \pi' k = x \rangle$  by auto
}
hence  $\langle x \text{pd} \rightarrow y \rangle$  using  $ky \text{path-nodes path}$  unfolding  $\text{is-pd-def}$  by auto
thus  $\langle ?thesis \rangle ..$ 
qed
qed

lemma  $pds\text{-finite}: \langle \text{finite } \{y . (x,y) \in pdt\} \rangle$  proof cases
assume  $\langle x \in \text{nodes} \rangle$ 
then obtain  $\pi n$  where  $\pi: \langle \text{is-path } \pi \rangle$   $\langle \pi 0 = x \rangle$   $\langle \pi n = \text{return} \rangle$  using  $\text{reaching-ret}$  by blast
have  $*: \langle \forall y \in \{y. (x,y) \in pdt\}. y \text{pd} \rightarrow x \rangle$  using  $\text{pdt-def}$  by auto
have  $\langle \forall y \in \{y. (x,y) \in pdt\}. \exists k \leq n. \pi k = y \rangle$  using  $* \pi$   $\text{is-pd-def}$  by blast
hence  $\langle \{y. (x,y) \in pdt\} \subseteq \pi ' \{..n\} \rangle$  by auto
then show  $\langle ?thesis \rangle$  using  $\text{finite-surj}$  by blast
next
assume  $\neg x \in \text{nodes}$ 
hence  $\langle \{y. (x,y) \in pdt\} = \{\} \rangle$  unfolding  $\text{pdt-def}$   $\text{is-pd-def}$  using  $\text{path-nodes reaching-ret}$  by fastforce
then show  $\langle ?thesis \rangle$  by simp
qed

lemma  $ipd\text{-exists}: \text{assumes } node: \langle x \in \text{nodes} \rangle \text{ and } \text{not-ret}: \langle x \neq \text{return} \rangle \text{ shows } \langle \exists y. y \text{ipd} \rightarrow x \rangle$ 
proof —
  let  $\langle ?Q \rangle = \langle \{y. x \neq y \wedge y \text{pd} \rightarrow x\} \rangle$ 
  have  $*: \langle \text{return} \in ?Q \rangle$  using  $\text{assms return-pd}$  by simp
  hence  $**: \langle \exists x. x \in ?Q \rangle$  by auto
  have  $fin: \langle \text{finite } ?Q \rangle$  using  $\text{pds-finite}$  unfolding  $\text{pdt-def}$  by auto
  have  $tot: \langle \forall y z. y \in ?Q \wedge z \in ?Q \implies z \text{pd} \rightarrow y \vee y \text{pd} \rightarrow z \rangle$  using  $\text{pd-total}$  by auto
  obtain  $y$  where  $ymax: \langle y \in ?Q \rangle \langle \forall z \in ?Q. z = y \vee z \text{pd} \rightarrow y \rangle$  using  $fin ** tot$  proof (induct)
    case empty

```

```

then show ⟨?case⟩ by auto
next
  case (insert x F) show ⟨thesis⟩ proof (cases ⟨F = {}⟩)
    assume ⟨F = {}⟩
    thus ⟨thesis⟩ using insert(4)[of ⟨x⟩] by auto
  next
    assume ⟨F ≠ {}⟩
    hence ⟨∃ x. x ∈ F⟩ by auto
    have ⟨∀y. y ∈ F ⟹ ∀z ∈ F. z = y ∨ z pd→ y ⟹ thesis⟩ proof -
      fix y assume a: ⟨y ∈ F⟩ ⟨∀z ∈ F. z = y ∨ z pd→ y⟩
      have ⟨x ≠ y⟩ using insert a by auto
      have ⟨x pd→ y ∨ y pd→ x⟩ using insert(6) a(1) by auto
      thus ⟨thesis⟩ proof
        assume ⟨x pd→ y⟩
        hence ⟨∀z ∈ insert x F. z = y ∨ z pd→ y⟩ using a(2) by blast
        thus ⟨thesis⟩ using a(1) insert(4) by blast
      next
        assume ⟨y pd→ x⟩
        have ⟨∀z ∈ insert x F. z = x ∨ z pd→ x⟩ proof
          fix z assume ⟨z ∈ insert x F⟩ thus ⟨z = x ∨ z pd→ x⟩ proof(rule,simp)
            assume ⟨z ∈ F⟩
            hence ⟨z = y ∨ z pd→ y⟩ using a(2) by auto
            thus ⟨z = x ∨ z pd→ x⟩ proof(rule,simp add: ⟨y pd→ x⟩)
              assume ⟨z pd→ y⟩
              show ⟨z = x ∨ z pd→ x⟩ using ⟨y pd→ x⟩ ⟨z pd→ y⟩ pd-trans by blast
            qed
          qed
        qed
        then show ⟨thesis⟩ using insert by blast
      qed
    qed
    then show ⟨thesis⟩ using insert by blast
  qed
qed
hence ***: ⟨y pd→ x⟩ ⟨x ≠ y⟩ by auto
have ⟨∀ z. z ≠ x ∧ z pd→ x ⟹ z pd→ y⟩ proof (rule,rule)
  fix z
  assume a: ⟨z ≠ x ∧ z pd→ x⟩
  hence b: ⟨z ∈ ?Q⟩ by auto
  have ⟨y pd→ z ∨ z pd→ y⟩ using pd-total ***(1) a by auto
  thus ⟨z pd→ y⟩ proof
    assume c: ⟨y pd→ z⟩
    hence ⟨y = z⟩ using b ymax pdt-def pd-antisym by auto
    thus ⟨z pd→ y⟩ using c by simp
  qed simp
qed
with *** have ⟨y ipd→ x⟩ unfolding is-ipd-def by simp
thus ⟨?thesis⟩ by blast
qed

lemma ipd-unique: assumes yipd: ⟨y ipd→ x⟩ and y'ipd: ⟨y' ipd→ x⟩ shows ⟨y = y'⟩
proof -
  have 1: ⟨y pd→ y'⟩ and 2: ⟨y' pd→ y⟩ using yipd y'ipd unfolding is-ipd-def by auto
  show ⟨?thesis⟩ using pd-antisym[OF 1 2].
qed

```

lemma *ipd-is-ipd*: **assumes** $\langle x \in \text{nodes} \rangle$ **and** $\langle x \neq \text{return} \rangle$ **shows** $\langle \text{ipd } x \text{ ipd} \rightarrow x \rangle$ **proof** –
from *assms* **obtain** y **where** $\langle y \text{ ipd} \rightarrow x \rangle$ **using** *ipd-exists* **by** *auto*

moreover

hence $\langle \bigwedge z. z \text{ ipd} \rightarrow x \implies z = y \rangle$ **using** *ipd-unique* **by** *simp*

ultimately show $\langle ?\text{thesis} \rangle$ **unfolding** *ipd-def* **by** (*auto intro: theI2*)

qed

lemma *is-ipd-in-pdt*: $\langle y \text{ ipd} \rightarrow x \implies (x,y) \in \text{pdt} \rangle$ **unfolding** *is-ipd-def* *pdt-def* **by** *auto*

lemma *ipd-in-pdt*: $\langle x \in \text{nodes} \implies x \neq \text{return} \implies (x, \text{ipd } x) \in \text{pdt} \rangle$ **by** (*metis ipd-is-ipd is-ipd-in-pdt*)

lemma *no-pd-path*: **assumes** $\langle x \in \text{nodes} \rangle$ **and** $\langle \neg y \text{ pd} \rightarrow x \rangle$

obtains πn **where** $\langle \text{is-path } \pi \rangle$ **and** $\langle \pi 0 = x \rangle$ **and** $\langle \pi n = \text{return} \rangle$ **and** $\langle \forall k \leq n. \pi k \neq y \rangle$

proof (*rule ccontr*)

assume $\langle \neg \text{thesis} \rangle$

hence $\langle \forall \pi n. \text{is-path } \pi \wedge \pi 0 = x \wedge \pi n = \text{return} \implies (\exists k \leq n. \pi k = y) \rangle$ **using** *that* **by** *force*
thus $\langle \text{False} \rangle$ **using** *assms* **unfolding** *is-pd-def* **by** *auto*

qed

lemma *pd-pd-ipd*: **assumes** $\langle x \in \text{nodes} \rangle$ $\langle x \neq \text{return} \rangle$ $\langle y \neq x \rangle$ $\langle y \text{ pd} \rightarrow x \rangle$ **shows** $\langle y \text{ pd} \rightarrow \text{ipd } x \rangle$

proof –

have $\langle \text{ipd } x \text{ pd} \rightarrow x \rangle$ **by** (*metis assms(1,2) ipd-is-ipd is-ipd-def*)

hence $\langle y \text{ pd} \rightarrow \text{ipd } x \vee \text{ipd } x \text{ pd} \rightarrow y \rangle$ **by** (*metis assms(4) pd-total*)

thus $\langle ?\text{thesis} \rangle$ **proof**

have 1: $\langle \text{ipd } x \text{ ipd} \rightarrow x \rangle$ **by** (*metis assms(1,2) ipd-is-ipd*)

moreover

assume $\langle \text{ipd } x \text{ pd} \rightarrow y \rangle$

ultimately

show $\langle y \text{ pd} \rightarrow \text{ipd } x \rangle$ **unfolding** *is-ipd-def* **using** *assms(3,4)* **by** *auto*

qed auto

qed

lemma *pd-nodes*: **assumes** $\langle y \text{ pd} \rightarrow x \rangle$ **shows** *pd-node1*: $\langle y \in \text{nodes} \rangle$ **and** *pd-node2*: $\langle x \in \text{nodes} \rangle$

proof –

obtain πk **where** $\langle \text{is-path } \pi \rangle$ $\langle \pi k = y \rangle$ **using** *assms* **unfolding** *is-pd-def* **using** *reaching-ret* **by** *force*

thus $\langle y \in \text{nodes} \rangle$ **using** *path-nodes* **by** *auto*

show $\langle x \in \text{nodes} \rangle$ **using** *assms* **unfolding** *is-pd-def* **by** *simp*

qed

lemma *pd-ret-is-ret*: $\langle x \text{ pd} \rightarrow \text{return} \implies x = \text{return} \rangle$ **by** (*metis pd-antisym pd-node1 return-pd*)

lemma *ret-path-none-pd*: **assumes** $\langle x \in \text{nodes} \rangle$ $\langle x \neq \text{return} \rangle$

obtains πn **where** $\langle \text{is-path } \pi \rangle$ $\langle \pi 0 = x \rangle$ $\langle \pi n = \text{return} \rangle$ $\langle \forall i > 0. \neg x \text{ pd} \rightarrow \pi i \rangle$

proof (*rule ccontr*)

assume $\langle \neg \text{thesis} \rangle$

hence *: $\langle \bigwedge \pi n. [\text{is-path } \pi; \pi 0 = x; \pi n = \text{return}] \implies \exists i > 0. x \text{ pd} \rightarrow \pi i \rangle$ **using** *that* **by** *blast*

obtain πn **where** **: $\langle \text{is-path } \pi \rangle$ $\langle \pi 0 = x \rangle$ $\langle \pi n = \text{return} \rangle$ $\langle \forall i > 0. \pi i \neq x \rangle$ **using** *direct-path-return[OF assms]* **by** *metis*

then obtain i **where** ***: $\langle i > 0 \rangle$ $\langle x \text{ pd} \rightarrow \pi i \rangle$ **using** * **by** *blast*

hence $\langle \pi i \neq \text{return} \rangle$ **using** *pd-ret-is-ret assms(2)* **by** *auto*

hence $\langle i < n \rangle$ **using** *assms(2)* **term-path-stable** ** **by** (*metis linorder-neqE-nat less-imp-le*)

hence $\langle (\pi \ll i)(n-i) = \text{return} \rangle$ **using** **(3) **by** *auto*

moreover

have $\langle (\pi \ll i)(0) = \pi i \rangle$ **by** *simp*

moreover

have $\langle \text{is-path } (\pi \ll i) \rangle$ **using** **(1) **path-path-shift** **by** *metis*

ultimately

obtain k where $\langle (\pi \ll i) k = x \rangle$ using *** (2) unfolding *is-pd-def* by *metis*
 hence $\langle \pi (i + k) = x \rangle$ by *auto*
 thus $\langle \text{False} \rangle$ using ** (4) $\langle i > 0 \rangle$ by *auto*
qed

lemma *path-pd-ipd0'*: **assumes** $\langle \text{is-path } \pi \rangle$ **and** $\langle \pi n \neq \text{return} \rangle$ $\langle \pi n \neq \pi 0 \rangle$ **and** $\langle \pi n \text{ pd} \rightarrow \pi 0 \rangle$
obtains k where $\langle k \leq n \rangle$ **and** $\langle \pi k = \text{ipd}(\pi 0) \rangle$
proof(rule *ccontr*)

have *: $\langle \pi n \text{ pd} \rightarrow \text{ipd} (\pi 0) \rangle$ by (*metis is-pd-def assms(3,4) pd-pd-ipd pd-ret-is-ret*)
 obtain $\pi' n'$ where **: $\langle \text{is-path } \pi' \rangle$ $\langle \pi' 0 = \pi n \rangle$ $\langle \pi' n' = \text{return} \rangle$ $\langle \forall i > 0. \neg \pi n \text{ pd} \rightarrow \pi' i \rangle$ by (*metis assms(2) assms(4) pd-node1 ret-path-none-pd*)
 hence $\langle \forall i > 0. \pi' i \neq \text{ipd} (\pi 0) \rangle$ using * by *metis*
 moreover
 assume $\langle \neg \text{thesis} \rangle$
 hence $\langle \forall k \leq n. \pi k \neq \text{ipd} (\pi 0) \rangle$ using that by *blast*
 ultimately
 have $\langle \forall i. (\pi @^n \pi') i \neq \text{ipd} (\pi 0) \rangle$ by (*metis diff-is-0-eq neq0-conv path-append-def*)
 moreover
 have $\langle (\pi @^n \pi') (n + n') = \text{return} \rangle$
 by (*metis <\pi' 0 = \pi n> <\pi' n' = \text{return}> add-diff-cancel-left' assms(2) diff-is-0-eq path-append-def*)
 moreover
 have $\langle (\pi @^n \pi') 0 = \pi 0 \rangle$ by (*metis le0 path-append-def*)
 moreover
 have $\langle \text{is-path } (\pi @^n \pi') \rangle$ by (*metis <\pi' 0 = \pi n> <\text{is-path } \pi'\rangle assms(1) path-cons*)
 moreover
 have $\langle \text{ipd} (\pi 0) \text{ pd} \rightarrow \pi 0 \rangle$ by (*metis **(2,3,4) assms(2) assms(4) ipd-is-ipd is-ipd-def neq0-conv pd-node2*)
 moreover
 have $\langle \pi 0 \in \text{nodes} \rangle$ by (*metis assms(1) path-nodes*)
 ultimately
 show $\langle \text{False} \rangle$ unfolding *is-pd-def* by *blast*
qed

lemma *path-pd-ipd0*: **assumes** $\langle \text{is-path } \pi \rangle$ **and** $\langle \pi 0 \neq \text{return} \rangle$ $\langle \pi n \neq \pi 0 \rangle$ **and** $\langle \pi n \text{ pd} \rightarrow \pi 0 \rangle$
obtains k where $\langle k \leq n \rangle$ **and** $\langle \pi k = \text{ipd}(\pi 0) \rangle$
proof cases

assume *: $\langle \pi n = \text{return} \rangle$
 have $\langle \text{ipd} (\pi 0) \text{ pd} \rightarrow (\pi 0) \rangle$ by (*metis is-ipd-def is-pd-def assms(2,4) ipd-is-ipd*)
 with *assms(1,2,3)* * show $\langle \text{thesis} \rangle$ unfolding *is-pd-def* by (*metis that*)
next
 assume $\langle \pi n \neq \text{return} \rangle$
 from *path-pd-ipd0'* [OF *assms(1)* this *assms(3,4)*] that show $\langle \text{thesis} \rangle$ by *auto*
qed

lemma *path-pd-ipd*: **assumes** $\langle \text{is-path } \pi \rangle$ **and** $\langle \pi k \neq \text{return} \rangle$ $\langle \pi n \neq \pi k \rangle$ **and** $\langle \pi n \text{ pd} \rightarrow \pi k \rangle$ **and** *kn*: $\langle k < n \rangle$
obtains l where $\langle k < l \rangle$ **and** $\langle l \leq n \rangle$ **and** $\langle \pi l = \text{ipd}(\pi k) \rangle$
proof –

have $\langle \text{is-path } (\pi \ll k) \rangle$ $\langle (\pi \ll k) 0 \neq \text{return} \rangle$ $\langle (\pi \ll k) (n - k) \neq (\pi \ll k) 0 \rangle$ $\langle (\pi \ll k) (n - k) \text{ pd} \rightarrow (\pi \ll k) 0 \rangle$
 using *assms path-path-shift* by *auto*
 with *path-pd-ipd0*[of $\langle \pi \ll k \rangle$ $\langle n - k \rangle$]
 obtain ka where $\langle ka \leq n - k \rangle$ $\langle (\pi \ll k) ka = \text{ipd} ((\pi \ll k) 0) \rangle$.
 hence $\langle k + ka \leq n \rangle$ $\langle \pi (k + ka) = \text{ipd} (\pi k) \rangle$ using *kn* by *auto*
 moreover
 hence $\langle \pi (k + ka) \text{ ipd} \rightarrow \pi k \rangle$ by (*metis assms(1) assms(2) ipd-is-ipd path-nodes*)
 hence $\langle k < k + ka \rangle$ unfolding *is-ipd-def* by (*metis nat-neq-iff not-add-less1*)

```

ultimately
show ⟨thesis⟩ using that[of ⟨k+ka⟩] by auto
qed

lemma path-ret-ipd: assumes ⟨is-path π⟩ and ⟨π k ≠ return⟩ ⟨π n = return⟩
obtains l where ⟨k < l⟩ and ⟨l ≤ n⟩ and ⟨π l = ipd(π k)⟩
proof -
  have ⟨π n ≠ π k⟩ using assms by auto
  moreover
  have ⟨k ≤ n⟩ apply (rule ccontr) using term-path-stable assms by auto
  hence ⟨k < n⟩ by (metis assms(2,3) dual-order.order-iff-strict)
  moreover
  have ⟨π n pd→ π k⟩ by (metis assms(1,3) path-nodes return-pd)
  ultimately
  obtain l where ⟨k < l⟩ ⟨l ≤ n⟩ ⟨π l = ipd(π k)⟩ using assms path-pd-ipd by blast
  thus ⟨thesis⟩ using that by auto
qed

lemma pd-intro: assumes ⟨l pd→ k⟩ ⟨is-path π⟩ ⟨π 0 = k⟩ ⟨π n = return⟩
obtains i where ⟨i ≤ n⟩ ⟨π i = l⟩ using assms unfolding is-pd-def by metis

lemma path-pd-pd0: assumes path: ⟨is-path π⟩ and lpdn: ⟨π l pd→ n⟩ and npd0: ⟨n pd→ π 0⟩
obtains k where ⟨k ≤ l⟩ ⟨π k = n⟩
proof (rule ccontr)
  assume ⟨¬ thesis⟩
  hence notn: ⟨¬ k. k ≤ l ⇒ π k ≠ n⟩ using that by blast
  have nret: ⟨π l ≠ return⟩ by (metis is-pd-def assms(1,3) notn)

  obtain π' n' where path': ⟨is-path π'⟩ and π0': ⟨π' 0 = π l⟩ and πn': ⟨π' n' = return⟩ and nonepd: ⟨∀ i>0. ¬ π l pd→ π' i⟩
  using nret path path-nodes ret-path-none-pd by metis

  have ⟨π l ≠ n⟩ using notn by simp
  hence ⟨¬ i. π' i ≠ n⟩ using nonepd π0' lpdn by (metis neq0-conv)

  hence notn': ⟨¬ i. (π@l π') i ≠ n⟩ using notn π0' by auto

  have ⟨is-path (π@l π')⟩ using path path' by (metis π0' path-cons)
  moreover
  have ⟨(π@l π') 0 = π 0⟩ by simp
  moreover
  have ⟨(π@l π') (n' + l) = return⟩ using π0' πn' by auto
  ultimately
  show ⟨False⟩ using notn' npd0 unfolding is-pd-def by blast
qed

```

2.4 Facts about Control Dependencies

lemma icd-imp-cd: ⟨n icd^π→ k ⇒ n cd^π→ k⟩ by (metis is-icdi-def)

lemma ipd-impl-not-cd: assumes ⟨j ∈ {k..i}⟩ and ⟨π j = ipd(π k)⟩ shows ⟨¬ i cd^π→ k⟩ by (metis assms(1) assms(2) is-cdi-def)

lemma cd-not-ret: assumes ⟨i cd^π→ k⟩ shows ⟨π k ≠ return⟩ by (metis is-cdi-def assms nat-less-le term-path-stable)

lemma cd-path-shift: assumes ⟨j ≤ k⟩ ⟨is-path π⟩ shows ⟨(i cd^π→ k) = (i - j cd^π↑j → k-j)⟩ proof

assume $a: \langle i \ cd^{\pi} \rightarrow k \rangle$
hence $b: \langle k < i \rangle$ **by** (metis is-cdi-def)
hence $\langle \text{is-path } (\pi \ll j) \rangle \langle k - j < i - j \rangle$ **using assms apply** (metis path-path-shift)
by (metis assms(1) b diff-less-mono)
moreover
have $c: \langle \forall j \in \{k..i\}. \pi j \neq ipd(\pi k) \rangle$ **by** (metis a ipd-impl-not-cd)
hence $\langle \forall ja \in \{k - j..i - j\}. (\pi \ll j) ja \neq ipd((\pi \ll j)(k - j)) \rangle$ **using** b **assms by auto fastforce**
moreover
have $\langle j < i \rangle$ **using** assms(1) b **by auto**
hence $\langle (\pi \ll j)(i - j) \neq \text{return} \rangle$ **using** a **unfolding is-cdi-def by auto**
ultimately
show $\langle i - j \ cd^{\pi \ll j} \rightarrow k - j \rangle$ **unfolding is-cdi-def by simp**
next
assume $a: \langle i - j \ cd^{\pi \ll j} \rightarrow k - j \rangle$
hence $b: \langle k - j < i - j \rangle$ **by** (metis is-cdi-def)
moreover
have $c: \langle \forall ja \in \{k - j..i - j\}. (\pi \ll j) ja \neq ipd((\pi \ll j)(k - j)) \rangle$ **by** (metis a ipd-impl-not-cd)
have $\langle \forall j \in \{k..i\}. \pi j \neq ipd(\pi k) \rangle$ **proof** (rule,goal-cases) **case** (1 n)
hence $\langle n - j \in \{k - j..i - j\} \rangle$ **using** assms **by auto**
hence $\langle \pi(j + (n - j)) \neq ipd(\pi(j + (k - j))) \rangle$ **by** (metis c path-shift-def)
thus $\langle ?\text{case} \rangle$ **using** 1 **assms(1) by auto**
qed
moreover
have $\langle j < i \rangle$ **using** assms(1) b **by auto**
hence $\langle \pi i \neq \text{return} \rangle$ **using** a **unfolding is-cdi-def by auto**
ultimately
show $\langle i \ cd^{\pi \rightarrow k} \rangle$ **unfolding is-cdi-def by** (metis assms(1) assms(2) diff-is-0-eq' le-diff-iff nat-le-linear nat-less-le)
qed

lemma cd-path-shift0: **assumes** $\langle \text{is-path } \pi \rangle$ **shows** $\langle (i \ cd^{\pi} \rightarrow k) = (i - k \ cd^{\pi \ll k} \rightarrow 0) \rangle$
using cd-path-shift[OF - assms] **by** (metis diff-self-eq-0 le-refl)

lemma icd-path-shift: **assumes** $\langle l \leq k \rangle$ **is-path** π **shows** $\langle (i \ icd^{\pi} \rightarrow k) = (i - l \ icd^{\pi \ll l} \rightarrow k - l) \rangle$
proof –
have $\langle \text{is-path } (\pi \ll l) \rangle$ **using** path-path-shift assms(2) **by auto**
moreover
have $\langle (i \ cd^{\pi} \rightarrow k) = (i - l \ cd^{\pi \ll l} \rightarrow k - l) \rangle$ **using** assms cd-path-shift **by auto**
moreover
have $\langle (\forall m \in \{k <..< i\}. \neg i \ cd^{\pi} \rightarrow m) = (\forall m \in \{k - l <..< i - l\}. \neg i - l \ cd^{\pi \ll l} \rightarrow m) \rangle$
proof –
{fix m **assume** *: $\langle \forall m \in \{k - l <..< i - l\}. \neg i - l \ cd^{\pi \ll l} \rightarrow m \rangle$ $\langle m \in \{k <..< i\} \rangle$
hence $\langle m - l \in \{k - l <..< i - l\} \rangle$ **using** assms(1) **by auto**
hence $\langle \neg i - l \ cd^{\pi \ll l} \rightarrow (m - l) \rangle$ **using** * **by blast**
moreover
have $\langle l \leq m \rangle$ **using** * **assms by auto**
ultimately have $\langle \neg i \ cd^{\pi} \rightarrow m \rangle$ **using** assms(2) cd-path-shift **by blast**
}
moreover
{fix m **assume** *: $\langle \forall m \in \{k <..< i\}. \neg i \ cd^{\pi} \rightarrow m \rangle$ $\langle m - l \in \{k - l <..< i - l\} \rangle$
hence $\langle m \in \{k <..< i\} \rangle$ **using** assms(1) **by auto**
hence $\langle \neg i \ cd^{\pi} \rightarrow m \rangle$ **using** * **by blast**
moreover
have $\langle l \leq m \rangle$ **using** * **assms by auto**
ultimately have $\langle \neg i - l \ cd^{\pi \ll l} \rightarrow (m - l) \rangle$ **using** assms(2) cd-path-shift **by blast**
}

```

ultimately show ?thesis by auto (metis diff-add-inverse)
qed
ultimately
show ?thesis unfolding is-icdi-def using assms by blast
qed

lemma icd-path-shift0: assumes <is-path π> shows <(i icdπ→ k) = (i-k icdπ``k→ 0)>
using icd-path-shift[OF - assms] by (metis diff-self-eq-0 le-refl)

lemma cdi-path-swap: assumes <is-path π'> <j cdπ→ k> <π =j π'> shows <j cdπ'→ k> using assms unfolding
eq-up-to-def is-cdi-def by auto

lemma cdi-path-swap-le: assumes <is-path π'> <j cdπ→ k> <π =n π'> <j ≤ n> shows <j cdπ'→ k> by (metis
assms cdi-path-swap eq-up-to-le)

lemma not-cd-impl-ipd: assumes <is-path π> and <k < i> and <¬ i cdπ→ k> and <π i ≠ return> obtains j
where <j ∈ {k..i}> and <π j = ipd(π k)>
by (metis assms(1) assms(2) assms(3) assms(4) is-cdi-def)

lemma icd-is-the-icd: assumes <i icdπ→ k> shows <k = (THE k. i icdπ→ k)> using assms icd-uniq
by (metis the1-equality)

lemma all-ipd-imp-ret: assumes <is-path π> and <∀ i. π i ≠ return → (∃ j>i. π j = ipd(π i))> shows <∃ j.
π j = return>
proof -
{ fix x assume *: <π 0 = x>
have ?thesis using wf-pdt-inv * assms
proof(induction x arbitrary: π rule: wf-induct-rule )
case (less x π) show ?case proof(cases x = return)
case True thus ?thesis using less(2) by auto
next
assume not-ret: <x ≠ return>
moreover
then obtain k where k-ipd: <π k = ipd x> using less(2,4) by auto
moreover
have <x ∈ nodes> using less(2,3) by (metis path-nodes)
ultimately
have <(x, π k) ∈ pdt> by (metis ipd-in-pdt)
hence a: <(π k, x) ∈ pdt-inv> unfolding pdt-inv-def by simp
have b: <is-path(π `` k)> by (metis less.prems(2) path-path-shift)
have c: <∀ i. (π `` k) i ≠ return → (exists j>i. (π `` k) j = ipd((π `` k) i))> using less(4) apply auto
by (metis (full-types) ab-semigroup-add-class.add-ac(1) less-add-same-cancel1 less-imp-add-positive)
from less(1)[OF a - b c]
have <exists j. (π `` k) j = return> by auto
thus <exists j. π j = return> by auto
qed
qed
}
thus ?thesis by simp
qed

lemma loop-has-cd: assumes <is-path π> <0 < i> <π i = π 0> <π 0 ≠ return> shows <∃ k < i. i cdπ→ k>
proof (rule ccontr)
let ?π = <(λ n. π (n mod i))>
assume <¬ (exists k < i. i cdπ→ k)>
hence <forall k < i. ¬ i cdπ→ k> by blast

```

hence *: $\forall k < i. (\exists j \in \{k..i\}. \pi j = ipd(\pi k))$ **using** $assms(1,3,4)$ **not-cd-impl-ipd by metis**
have $\forall k. (\exists j > k. ?\pi j = ipd(?\pi k))$ **proof**
 fix k
 have $\langle k \text{ mod } i < i \rangle$ **using** $assms(2)$ **by** *auto*
 with * **obtain** j **where** $\langle j \in \{(k \text{ mod } i)..i\} \rangle$ $\langle \pi j = ipd(\pi(k \text{ mod } i)) \rangle$ **by** *auto*
 then obtain j' **where** 1: $\langle j' < i \rangle$ $\langle \pi j' = ipd(\pi(k \text{ mod } i)) \rangle$
 by (*cases* $\langle j = i \rangle$, *auto*, *metis assms(2) assms(3)*, *metis le-neq-implies-less*)
 then obtain j'' **where** 2: $\langle j'' > k \rangle$ $\langle j'' \text{ mod } i = j' \rangle$ **by** (*metis mod-bound-instance*)
 hence $\langle ?\pi j'' = ipd(? \pi k) \rangle$ **using** 1 **by** *auto*
 with 2(1)
 show $\exists j > k. ?\pi j = ipd(? \pi k)$ **by** *auto*
qed
moreover
have $\langle \text{is-path } ?\pi \rangle$ **by** (*metis assms(1) assms(2) assms(3) is-path-loop*)
ultimately
obtain k **where** $\langle ?\pi k = \text{return} \rangle$ **by** (*metis (lifting) all-ipd-imp-ret*)
moreover
have $\langle k \text{ mod } i < i \rangle$ **by** (*simp add: assms(2)*)
ultimately
have $\langle \pi i = \text{return} \rangle$ **by** (*metis assms(1) term-path-stable less-imp-le*)
thus $\langle \text{False} \rangle$ **by** (*metis assms(3) assms(4)*)
qed

lemma *loop-has-cd'*: **assumes** $\langle \text{is-path } \pi \rangle$ $\langle j < i \rangle$ $\langle \pi i = \pi j \rangle$ $\langle \pi j \neq \text{return} \rangle$ **shows** $\exists k \in \{j..<i\}. i \text{ cd}^\pi \rightarrow k$
proof –
 have $\exists k' < i-j. i-j \text{ cd}^\pi \llcorner j \rightarrow k'$
 apply (*rule loop-has-cd*)
 apply (*metis assms(1) path-path-shift*)
 apply (*auto simp add: assms less-imp-le*)
 done
 then obtain k **where** $k: \langle k < i-j \rangle$ $\langle i-j \text{ cd}^\pi \llcorner j \rightarrow k \rangle$ **by** *auto*
 hence $k': \langle (k+j) < i \rangle$ $\langle i-j \text{ cd}^\pi \llcorner j \rightarrow (k+j)-j \rangle$ **by** *auto*
 note *cd-path-shift[OF - assms(1)]*
 hence $\langle i \text{ cd}^\pi \rightarrow k+j \rangle$ **using** $k'(2)$ **by** (*metis le-add1 add.commute*)
 with $k'(1)$ **show** $\langle ?\text{thesis} \rangle$ **by** *force*
qed

lemma *claim''*: **assumes** $\text{path}\pi: \langle \text{is-path } \pi \rangle$ **and** $\text{path}\pi': \langle \text{is-path } \pi' \rangle$
and $\pi i: \langle \pi i = \pi' i' \rangle$ **and** $\pi j: \langle \pi j = \pi' j' \rangle$
and $\text{not-cd}: \forall k. \neg j \text{ cd}^\pi \rightarrow k$ $\forall k. \neg i' \text{ cd}^{\pi'} \rightarrow k$
and $\text{nret}: \langle \pi i \neq \text{return} \rangle$
and $\text{ilj}: \langle i < j \rangle$
shows $\langle i' < j' \rangle$ **proof** (*rule ccontr*)
 assume $\neg i' < j'$
 hence $\text{jlei}: \langle j' \leq i' \rangle$ **by** *auto*
 show $\langle \text{False} \rangle$ **proof** (*cases*)
 assume $\text{j'li}': \langle j' < i' \rangle$
 define $\pi'': \langle \pi'' \equiv (\pi @ j)(\pi' \llcorner j') \llcorner i \rangle$
 note $\pi''\text{-def[simp]}$
 have $\langle \pi j = (\pi' \llcorner j') 0 \rangle$ **by** (*metis path-shift-def Nat.add-0-right pi j*)
 hence $\langle \text{is-path } \pi'' \rangle$ **using** $\text{path}\pi \text{ path}\pi' \pi''\text{-def path-path-shift path-cons}$ **by** *presburger*
moreover
have $\langle \pi''(j-i+(i'-j')) = \pi'' 0 \rangle$ **using** $\text{ilj jlei } \pi i \pi j$
 by (*auto, metis add-diff-cancel-left' le-antisym le-diff-conv le-eq-less-or-eq*)
moreover

```

have ⟨ $\pi''$  0 ≠ return⟩ by (simp add: ilj less-or-eq-imp-le nret)
moreover
have ⟨0 < j - i + (i' - j')⟩ by (metis add-is-0 ilj neq0-conv zero-less-diff)
ultimately obtain k where k: ⟨k < j - i + (i' - j') cd $\pi''$  → k⟩ by (metis loop-has-cd)
hence *: ⟨∀ l ∈ {k..j - i + (i' - j')}.  $\pi''$  l ≠ ipd ( $\pi''$  k)⟩ by (metis is-cdi-def)
show ⟨False⟩ proof (cases ⟨k < j - i⟩)
  assume a: ⟨k < j - i⟩
  hence b: ⟨ $\pi''$  k =  $\pi$  (i + k)⟩ by auto
  have ⟨∀ l ∈ {i+k..j}.  $\pi$  l ≠ ipd ( $\pi$  (i+k))⟩ proof
    fix l assume l: ⟨l ∈ {i + k..j}⟩
    hence ⟨ $\pi$  l =  $\pi''$  (l - i)⟩ by auto
    moreover
    from a l have ⟨l - i ∈ {k .. j - i + (i' - j')}⟩ by force
    ultimately show ⟨ $\pi$  l ≠ ipd ( $\pi$  (i + k))⟩ using * b by auto
  qed
  moreover
  have ⟨i + k < j⟩ using a by simp
  moreover
  have ⟨ $\pi$  j ≠ return⟩ by (metis  $\pi$ i  $\pi$ j j'li' nret path $\pi'$  term-path-stable less-imp-le)
  ultimately
  have ⟨j cd $\pi$  → i+k⟩ by (metis not-cd-impl-ipd path $\pi$ )
  thus ⟨False⟩ by (metis not-cd(1))
next
assume ⟨¬ k < j - i⟩
hence a: ⟨j - i ≤ k⟩ by simp
hence b: ⟨ $\pi''$  k =  $\pi'$  (j' + (i + k) - j)⟩ unfolding  $\pi''$ -def path-shift-def path-append-def using ilj
  by (auto, metis  $\pi$ j add-diff-cancel-left' le-antisym le-diff-conv add.commute)
have ⟨∀ l ∈ {j' + (i+k) - j..i'}.  $\pi'$  l ≠ ipd ( $\pi'$  (j' + (i+k) - j))⟩ proof
  fix l assume l: ⟨l ∈ {j' + (i+k) - j..i'}⟩
  hence ⟨ $\pi'$  l =  $\pi''$  (j + l - i - j')⟩ unfolding  $\pi''$ -def path-shift-def path-append-def using ilj
    by (auto, metis Nat.diff-add-assoc  $\pi$ j a add.commute add-diff-cancel-left' add-leD1 le-antisym le-diff-conv)
  moreover
  from a l have ⟨j + l - i - j' ∈ {k .. j - i + (i' - j')}⟩ by force
  ultimately show ⟨ $\pi'$  l ≠ ipd ( $\pi'$  (j' + (i+k) - j))⟩ using * b by auto
qed
moreover
have ⟨j' + (i+k) - j < i'⟩ using a j'li' ilj k(1) by linarith
moreover
have ⟨ $\pi'$  i' ≠ return⟩ by (metis  $\pi$ i nret)
ultimately
have ⟨i' cd $\pi'$  → j' + (i+k) - j⟩ by (metis not-cd-impl-ipd path $\pi'$ )
thus ⟨False⟩ by (metis not-cd(2))
qed
next
assume ⟨¬ j' < i'⟩
hence ⟨j' = i'⟩ by (metis ⟨¬ i' < j'⟩ linorder-cases)
hence ⟨ $\pi$  i =  $\pi$  j⟩ by (metis  $\pi$ i  $\pi$ j)
thus ⟨False⟩ by (metis ilj loop-has-cd' not-cd(1) nret path $\pi$ )
qed
qed

lemma other-claim': assumes path: ⟨is-path  $\pi$ ⟩ and eq: ⟨ $\pi$  i =  $\pi$  j⟩ and ⟨ $\pi$  i ≠ return⟩
and icd: ⟨∀ k. ¬ i cd $\pi$  → k⟩ and ⟨∀ k. ¬ j cd $\pi$  → k⟩ shows ⟨i = j⟩
proof (rule ccontr,cases)
  assume ⟨i < j⟩ thus ⟨False⟩ using assms claim'' by blast

```

```

next
  assume  $\neg i < j \wedge i \neq j$ 
  hence  $j < i$  by auto
  thus  $\langle \text{False} \rangle$  using assms claim'' by (metis loop-has-cd')
qed

lemma icd-no-cd-path-shift: assumes  $\langle i \text{ icd}^\pi \rightarrow 0 \rangle$  shows  $\langle (\forall k. \neg i - 1 \text{ cd}^{\pi \ll 1} \rightarrow k) \rangle$ 
proof (rule,rule ccontr,goal-cases)
  case (1 k)
  hence *:  $\langle i - 1 \text{ cd}^\pi \ll 1 \rightarrow k \rangle$  by simp
  have **:  $\langle 1 \leq k + 1 \rangle$  by simp
  have ***:  $\langle \text{is-path } \pi \rangle$  by (metis assms is-icdi-def)
  hence  $\langle i \text{ cd}^\pi \rightarrow k + 1 \rangle$  using cd-path-shift[OF ** ***] * by auto
  moreover
  hence  $\langle k + 1 < i \rangle$  unfolding is-cdi-def by simp
  moreover
  have  $\langle 0 < k + 1 \rangle$  by simp
  ultimately show  $\langle \text{False} \rangle$  using assms[unfolded is-icdi-def] by auto
qed

lemma claim': assumes path $\pi$ :  $\langle \text{is-path } \pi \rangle$  and path $\pi'$ :  $\langle \text{is-path } \pi' \rangle$  and
 $\pi i: \langle \pi i = \pi' i' \rangle$  and  $\pi j: \langle \pi j = \pi' j' \rangle$  and not-cd:
 $\langle i \text{ icd}^\pi \rightarrow 0 \rangle \wedge \langle j \text{ icd}^\pi \rightarrow 0 \rangle$ 
 $\langle i' \text{ icd}^{\pi'} \rightarrow 0 \rangle \wedge \langle j' \text{ icd}^{\pi'} \rightarrow 0 \rangle$ 
and ilj:  $\langle i < j \rangle$ 
and nret:  $\langle \pi i \neq \text{return} \rangle$ 
shows  $\langle i' < j' \rangle$ 
proof -
  have g0:  $\langle 0 < i \rangle \wedge \langle 0 < j \rangle \wedge \langle 0 < i' \rangle \wedge \langle 0 < j' \rangle$  using not-cd[unfolded is-icdi-def is-cdi-def] by auto
  have  $\langle (\pi \ll 1) (i - 1) = (\pi' \ll 1) (i' - 1) \rangle \wedge \langle (\pi \ll 1) (j - 1) = (\pi' \ll 1) (j' - 1) \rangle$  using  $\pi i \pi j g0$  by auto
  moreover
  have  $\langle \forall k. \neg (j - 1) \text{ cd}^{\pi \ll 1} \rightarrow k \rangle \wedge \langle \forall k. \neg (i' - 1) \text{ cd}^{\pi' \ll 1} \rightarrow k \rangle$ 
    by (metis icd-no-cd-path-shift not-cd(2)) (metis icd-no-cd-path-shift not-cd(3))
  moreover
  have  $\langle \text{is-path } (\pi \ll 1) \rangle \wedge \langle \text{is-path } (\pi' \ll 1) \rangle$  using path $\pi$  path $\pi'$  path-path-shift by blast+
  moreover
  have  $\langle (\pi \ll 1) (i - 1) \neq \text{return} \rangle$  using g0 nret by auto
  moreover
  have  $\langle i - 1 < j - 1 \rangle$  using g0 ilj by auto
  ultimately have  $\langle i' - 1 < j' - 1 \rangle$  using claim'' by blast
  thus  $\langle i' < j' \rangle$  by auto
qed

lemma other-claim: assumes path:  $\langle \text{is-path } \pi \rangle$  and eq:  $\langle \pi i = \pi j \rangle$  and  $\langle \pi i \neq \text{return} \rangle$ 
and icd:  $\langle i \text{ icd}^\pi \rightarrow 0 \rangle$  and  $\langle j \text{ icd}^\pi \rightarrow 0 \rangle$  shows  $\langle i = j \rangle$  proof (rule ccontr,cases)
  assume  $\langle i < j \rangle$  thus  $\langle \text{False} \rangle$  using assms claim' by blast
next
  assume  $\neg i < j \wedge i \neq j$ 
  hence  $j < i$  by auto
  thus  $\langle \text{False} \rangle$  using assms claim' by (metis less-not-refl)
qed

lemma cd-trans0: assumes  $\langle j \text{ cd}^\pi \rightarrow 0 \rangle$  and  $\langle k \text{ cd}^\pi \rightarrow j \rangle$  shows  $\langle k \text{ cd}^\pi \rightarrow 0 \rangle$  proof (rule ccontr)
  have path:  $\langle \text{is-path } \pi \rangle$  and ij:  $\langle 0 < j \rangle$  and jk:  $\langle j < k \rangle$ 
  and nret:  $\langle \pi j \neq \text{return} \rangle$   $\langle \pi k \neq \text{return} \rangle$ 
  and noipdi:  $\langle \forall l \in \{0..j\}. \pi l \neq \text{ipd } (\pi 0) \rangle$ 

```

and *noipdj*: $\forall l \in \{j..k\}. \pi l \neq ipd(\pi j)$
using assms unfolding is-cdi-def by auto
assume $\neg k cd^{\pi} \rightarrow 0$
hence $\exists l \in \{0..k\}. \pi l = ipd(\pi 0)$ **unfolding is-cdi-def using path ij jk nret by force**
then obtain *l* **where** $\langle l \in \{0..k\} \rangle$ **and** $l: \pi l = ipd(\pi 0)$ **by auto**
hence *jl*: $\langle j < l \rangle$ **and** *lk*: $\langle l \leq k \rangle$ **using noipdi ij by auto**
have *pdj*: $\langle ipd(\pi 0) pd \rightarrow \pi j \rangle$ **proof (rule ccontr)**
have $\langle \pi j \in nodes \rangle$ **using path by (metis path-nodes)**
moreover
assume $\neg ipd(\pi 0) pd \rightarrow \pi j$
ultimately
obtain $\pi' n$ **where** $*: \langle is-path \pi' \rangle \langle \pi' 0 = \pi j \rangle \langle \pi' n = return \rangle \langle \forall k \leq n. \pi' k \neq ipd(\pi 0) \rangle$ **using no-pd-path**
by metis
hence *path'*: $\langle is-path (\pi @^j \pi') \rangle$ **by (metis path path-cons)**
moreover
have $\langle \forall k \leq j + n. (\pi @^j \pi') k \neq ipd(\pi 0) \rangle$ **using noipdi * (4) by auto**
moreover
have $\langle (\pi @^j \pi') 0 = \pi 0 \rangle$ **by auto**
moreover
have $\langle (\pi @^j \pi') (j + n) = return \rangle$ **using * (2,3) by auto**
ultimately
have $\neg ipd(\pi 0) pd \rightarrow \pi 0$ **unfolding is-pd-def by metis**
thus $\langle False \rangle$ **by (metis is-ipd-def ij ipd-is-ipd nret(1) path path-nodes term-path-stable less-imp-le)**
qed
hence $\langle (\pi @^j) (l - j) pd \rightarrow (\pi @^j) 0 \rangle$ **using jl l by auto**
moreover
have $\langle is-path (\pi @^j) \rangle$ **by (metis path path-path-shift)**
moreover
have $\langle \pi l \neq return \rangle$ **by (metis lk nret(2) path term-path-stable)**
hence $\langle (\pi @^j) (l - j) \neq return \rangle$ **using jl by auto**
moreover
have $\langle \pi j \neq ipd(\pi 0) \rangle$ **using noipdi by force**
hence $\langle (\pi @^j) (l - j) \neq (\pi @^j) 0 \rangle$ **using jl l by auto**
ultimately
obtain *k'* **where** $\langle k' \leq l - j \rangle$ **and** $\langle (\pi @^j) k' = ipd((\pi @^j) 0) \rangle$ **using path-pd-ipd0' by blast**
hence $\langle j + k' \in \{j..k\} \rangle \langle \pi(j+k') = ipd(\pi j) \rangle$ **using jl lk by auto**
thus $\langle False \rangle$ **using noipdj by auto**
qed

lemma *cd-trans*: **assumes** $\langle j cd^{\pi} \rightarrow i \rangle$ **and** $\langle k cd^{\pi} \rightarrow j \rangle$ **shows** $\langle k cd^{\pi} \rightarrow i \rangle$ **proof** –
have *path*: $\langle is-path \pi \rangle$ **using assms is-cdi-def by auto**
have *ij*: $\langle i < j \rangle$ **using assms is-cdi-def by auto**
let $\langle ?\pi \rangle = \langle \pi @^i \rangle$
have $\langle j - i cd^{\pi} \rightarrow 0 \rangle$ **using assms(1) cd-path-shift0 path by auto**
moreover
have $\langle k - i cd^{\pi} \rightarrow j - i \rangle$ **by (metis assms(2) cd-path-shift is-cdi-def ij less-imp-le-nat)**
ultimately
have $\langle k - i cd^{\pi} \rightarrow 0 \rangle$ **using cd-trans0 by auto**
thus $\langle k cd^{\pi} \rightarrow i \rangle$ **using path cd-path-shift0 by auto**
qed

lemma *excd-impl-excd*: **assumes** $\exists k. i cd^{\pi} \rightarrow k$ **shows** $\exists k. i icd^{\pi} \rightarrow k$
using assms proof(induction i arbitrary: π rule: less-induct)
case (less i)
then obtain *k* **where** $\langle i cd^{\pi} \rightarrow k \rangle$ **by auto**
hence *ip*: $\langle is-path \pi \rangle$ **unfolding is-cdi-def by auto**

```

show ⟨?case⟩ proof (cases)
  assume *: ∀ m ∈ {k<..<i}. ¬ i cdπ → m
  hence ⟨i icdπ→k⟩ using k ip unfolding is-icdi-def by auto
  thus ⟨?case⟩ by auto
next
  assume ¬ (∀ m ∈ {k<..<i}. ¬ i cdπ → m)
  then obtain m where m: ⟨m ∈ {k<..<i}⟩ ⟨i cdπ→ m⟩ by blast
  hence ⟨i - m cdπ``m→ 0⟩ by (metis cd-path-shift0 is-icdi-def)
  moreover
    have ⟨i - m < i⟩ using m by auto
    ultimately
      obtain k' where k': ⟨i - m icdπ``m→ k'⟩ using less(1) by blast
      hence ⟨i icdπ→ k' + m⟩ using ip
      by (metis add.commute add-diff-cancel-right' icd-path-shift le-add1)
      thus ⟨?case⟩ by auto
qed
qed

lemma cd-split: assumes ⟨i cdπ→ k⟩ and ⟨¬ i icdπ→ k⟩ obtains m where ⟨i icdπ→ m⟩ and ⟨m cdπ→ k⟩
proof -
  have ki: ⟨k < i⟩ using assms is-icdi-def by auto
  obtain m where m: ⟨i icdπ→ m⟩ using assms(1) by (metis excd-impl-exicd)
  hence ⟨k ≤ m⟩ unfolding is-icdi-def using ki assms(1) by force
  hence km: ⟨k < m⟩ using m assms(2) by (metis le-eq-less-or-eq)
  moreover have ⟨π m ≠ return⟩ using m unfolding is-icdi-def is-icdi-def by (simp, metis term-path-stable less-imp-le)
  moreover have ⟨m < i⟩ using m unfolding is-icdi-def is-icdi-def by auto
  ultimately
    have ⟨m cdπ→ k⟩ using assms(1) unfolding is-icdi-def by auto
    with m that show ⟨thesis⟩ by auto
qed

lemma cd-induct[consumes 1, case-names base IS]: assumes prem: ⟨i cdπ→ k⟩ and base: ⟨i. i icdπ→ k ⟹ P i⟩
and IH: ⟨i. i icdπ→ k ⟹ P i ⟹ i' icdπ→ k' ⟹ P i' ⟹ P i'⟩ shows ⟨P i⟩
using prem IH proof (induction ⟨i⟩ rule: less-induct,cases)
  case (less i)
  assume ⟨i icdπ→ k⟩
  thus ⟨P i⟩ using base by simp
next
  case (less i')
  assume ¬ i' icdπ→ k
  then obtain k' where k': ⟨i' icdπ→ k'⟩ ⟨k' cdπ→ k⟩ using less cd-split by blast
  hence icdk: ⟨i' cdπ→ k'⟩ using is-icdi-def by auto
  note ih=less(3)[OF k'(2) - k'(1)]
  have ki: ⟨k' < i'⟩ using k' is-icdi-def is-icdi-def by auto
  have ⟨P k'⟩ using less(1)[OF ki k'(2)] less(3) by auto
  thus ⟨P i'⟩ using ih by simp
qed

lemma cdi-prefix: ⟨n cdπ→ m ⟹ m < n' ⟹ n' ≤ n ⟹ n' cdπ→ m⟩ unfolding is-icdi-def
by (simp, metis term-path-stable)

lemma cr-wn': assumes 1: ⟨n cdπ→ m⟩ and nc: ⟨¬ m' cdπ→ m⟩ and 3: ⟨m < m'⟩ shows ⟨n < m'⟩
proof (rule econtr)
  assume ¬ n < m'

```

hence $\langle m' \leq n \rangle$ by simp
 hence $\langle m' cd^\pi \rightarrow m \rangle$ by (metis 1 3 cdi-prefix)
 thus $\langle \text{False} \rangle$ using nc by simp
 qed

lemma *cr-wn''*: **assumes** $\langle i cd^\pi \rightarrow m \rangle$ **and** $\langle j cd^\pi \rightarrow n \rangle$ **and** $\langle \neg m cd^\pi \rightarrow n \rangle$ **and** $\langle i \leq j \rangle$ **shows** $\langle m \leq n \rangle$
proof (rule ccontr)
 assume $\langle \neg m \leq n \rangle$
 hence $nm: \langle n < m \rangle$ by auto
 moreover
 have $\langle m < j \rangle$ using assms(1) assms(4) unfolding is-cdi-def by auto
 ultimately
 have $\langle m cd^\pi \rightarrow n \rangle$ using assms(2) cdi-prefix by auto
 thus $\langle \text{False} \rangle$ using assms(3) by auto
 qed

lemma *ret-no-cd*: **assumes** $\langle \pi n = \text{return} \rangle$ **shows** $\langle \neg n cd^\pi \rightarrow k \rangle$ by (metis assms is-cdi-def)

lemma *ipd-not-self*: **assumes** $\langle x \in \text{nodes} \rangle$ $\langle x \neq \text{return} \rangle$ **shows** $\langle x \neq ipd x \rangle$ by (metis is-ipd-def assms ipd-is-ipd)

lemma *icd-cs*: **assumes** $\langle l icd^\pi \rightarrow k \rangle$ **shows** $\langle cs^\pi l = cs^\pi k @ [\pi l] \rangle$
proof –
 from assms have $\langle k = (\text{THE } k. l icd^\pi \rightarrow k) \rangle$ by (metis icd-is-the-icd)
 with assms show $\langle ?\text{thesis} \rangle$ by auto
 qed

lemma *cd-not-pd*: **assumes** $\langle l cd^\pi \rightarrow k \rangle$ $\langle \pi l \neq \pi k \rangle$ **shows** $\langle \neg \pi l pd \rightarrow \pi k \rangle$
proof
 assume $pd: \langle \pi l pd \rightarrow \pi k \rangle$
 have $nret: \langle \pi k \neq \text{return} \rangle$ by (metis assms(1) pd pd-ret-is-ret ret-no-cd)
 have $kl: \langle k < l \rangle$ by (metis is-cdi-def assms(1))
 have $path: \langle \text{is-path } \pi \rangle$ by (metis is-cdi-def assms(1))
 from path-pd-ipd[OF path nret assms(2) pd kl]
 obtain n where $\langle k < n \rangle$ $\langle n \leq l \rangle$ $\langle \pi n = ipd (\pi k) \rangle$.
 thus $\langle \text{False} \rangle$ using assms(1) unfolding is-cdi-def by auto
 qed

lemma *cd-ipd-is-cd*: **assumes** $\langle k < m \rangle$ $\langle \pi m = ipd (\pi k) \rangle$ $\langle \forall n \in \{k..m\}. \pi n \neq ipd (\pi k) \rangle$ **and** *mcdj*: $\langle m cd^\pi \rightarrow j \rangle$ **shows** $\langle k cd^\pi \rightarrow j \rangle$ **proof** cases
 assume $\langle j < k \rangle$ thus $\langle k cd^\pi \rightarrow j \rangle$ by (metis mcdj assms(1) cdi-prefix less-imp-le-nat)
 next
 assume $\langle \neg j < k \rangle$
 hence $kj: \langle k \leq j \rangle$ by simp
 have $\langle k < j \rangle$ apply (rule ccontr) using kj assms mcdj by (auto, metis is-cdi-def is-ipd-def cd-not-pd ipd-is-ipd path-nodes term-path-stable less-imp-le)
 moreover
 have $\langle j < m \rangle$ using mcdj is-cdi-def by auto
 hence $\langle \forall n \in \{k..j\}. \pi n \neq ipd(\pi k) \rangle$ using assms(3) by force
 ultimately
 have $\langle j cd^\pi \rightarrow k \rangle$ by (metis mcdj is-cdi-def term-path-stable less-imp-le)
 hence $\langle m cd^\pi \rightarrow k \rangle$ by (metis mcdj cd-trans)
 hence $\langle \text{False} \rangle$ by (metis is-cdi-def is-ipd-def assms(2) cd-not-pd ipd-is-ipd path-nodes term-path-stable less-imp-le)
 thus $\langle ?\text{thesis} \rangle$ by simp
 qed

lemma *ipd-pd-cd0*: **assumes** *lcd*: $\langle n cd^\pi \rightarrow 0 \rangle$ **shows** $\langle ipd (\pi 0) pd \rightarrow (\pi n) \rangle$
proof –

obtain $k l$ **where** $\pi 0: \langle \pi 0 = k \rangle$ **and** $\pi n: \langle \pi n = l \rangle$ **and** $cdi: \langle n cd^{\pi} \rightarrow 0 \rangle$ **using** lcd **unfolding** $is-cdi\text{-def}$ **by** $blast$
have $nret: \langle k \neq return \rangle$ **by** (*metis* $is-cdi\text{-def}$ $\pi 0 cdi$ *term-path-stable less-imp-le*)
have $path: \langle is-path \pi \rangle$ **and** $ipd: \forall i \leq n. \pi i \neq ipd k$ **using** cdi **unfolding** $is-cdi\text{-def}$ $\pi 0$ **by** *auto*
{
 fix $\pi' n'$
 assume $path': \langle is-path \pi' \rangle$
 and $\pi' 0: \langle \pi' 0 = l \rangle$
 and $ret: \langle \pi' n' = return \rangle$
 have $\langle is-path (\pi @^n \pi') \rangle$ **using** $path path' \pi n \pi' 0$ **by** (*metis* $path\text{-cons}$)
 moreover
 have $\langle (\pi @^n \pi') (n+n') = return \rangle$ **using** $ret \pi n \pi' 0$ **by** *auto*
 moreover
 have $\langle (\pi @^n \pi') 0 = k \rangle$ **using** $\pi 0$ **by** *auto*
 moreover
 have $\langle ipd k pd \rightarrow k \rangle$ **by** (*metis* $is-ipd\text{-def}$ $path \pi 0 ipd\text{-is-ipd} nret path\text{-nodes}$)
 ultimately
obtain k' **where** $k': \langle k' \leq n+n' \rangle \langle (\pi @^n \pi') k' = ipd k \rangle$ **by** (*metis* $pd\text{-intro}$)
have $\neg k' \leq n$ **proof**
 assume $\langle k' \leq n \rangle$
 hence $\langle (\pi @^n \pi') k' = \pi k' \rangle$ **by** *auto*
 thus $\langle False \rangle$ **using** $k'(2) ipd$ **by** (*metis* $\langle k' \leq n \rangle$)
qed
hence $\langle (\pi @^n \pi') k' = \pi' (k' - n) \rangle$ **by** *auto*
moreover
have $\langle (k' - n) \leq n' \rangle$ **using** k' **by** *simp*
ultimately
have $\langle \exists k' \leq n'. \pi' k' = ipd k \rangle$ **unfolding** k' **by** *auto*
}
moreover
have $\langle l \in nodes \rangle$ **by** (*metis* $\pi n path path\text{-nodes}$)
ultimately show $\langle ipd (\pi 0) pd \rightarrow (\pi n) \rangle$ **unfolding** $is-pd\text{-def}$ **by** (*simp add:* $\pi 0 \pi n$)
qed

lemma $ipd\text{-pd}\text{-cd}$: **assumes** $lcd: \langle l cd^{\pi} \rightarrow k \rangle$ **shows** $\langle ipd (\pi k) pd \rightarrow (\pi l) \rangle$
proof –
 have $\langle l - k cd^{\pi} \rightarrow 0 \rangle$ **using** $lcd cd\text{-path-shift} 0$ $is-cdi\text{-def}$ **by** $blast$
 moreover
 note $ipd\text{-pd}\text{-cd} 0$ [*OF this*]
 moreover
 have $\langle (\pi \ll k) 0 = \pi k \rangle$ **by** *auto*
 moreover
 have $\langle k < l \rangle$ **using** lcd **unfolding** $is-cdi\text{-def}$ **by** *simp*
 then have $\langle (\pi \ll k) (l - k) = \pi l \rangle$ **by** *simp*
 ultimately show $\langle ?thesis \rangle$ **by** *simp*
qed

lemma $cd\text{-is}\text{-cd}\text{-ipd}$: **assumes** $km: \langle k < m \rangle$ **and** $ipd: \langle \pi m = ipd (\pi k) \rangle \forall n \in \{k..<m\}. \pi n \neq ipd (\pi k)$ **and** $cdj: \langle k cd^{\pi} \rightarrow j \rangle$ **and** $nipd: \langle ipd (\pi j) \neq \pi m \rangle$ **shows** $\langle m cd^{\pi} \rightarrow j \rangle$ **proof** –
 have $path: \langle is-path \pi \rangle$
 and $jk: \langle j < k \rangle$
 and $nretj: \langle \pi k \neq return \rangle$
 and $nipd: \forall l \in \{j..k\}. \pi l \neq ipd (\pi j)$ **using** cdj $is-cdi\text{-def}$ **by** *auto*
 have $pd: \langle ipd (\pi j) pd \rightarrow \pi m \rangle$ **by** (*metis* $atLeastAtMost\text{-iff}$ $cdj ipd(1) ipd\text{-pd}\text{-cd} jk le\text{-refl} less-imp-le nipd nretj path path\text{-nodes} pd\text{-pd}\text{-ipd}$)
 have $nretm: \langle \pi m \neq return \rangle$ **by** (*metis* $nipd j pd\text{-ret}\text{-is-ret}$)

```

have jm:  $\langle j < m \rangle$  using  $jk\ km$  by simp
show  $\langle m\ cd^\pi \rightarrow j \rangle$  proof (rule ccontr)
  assume  $ncdj: \neg m\ cd^\pi \rightarrow j$ 
  hence  $\exists l \in \{j..m\}.\ \pi\ l = ipd(\pi\ j)$  unfolding is-cdi-def by (metis jm nretm path)
  then obtain l
    where jl:  $\langle j \leq l \rangle$  and  $\langle l \leq m \rangle$ 
    and lipd:  $\langle \pi\ l = ipd(\pi\ j) \rangle$  by force
    hence lm:  $\langle l < m \rangle$  using nipd by (metis le-eq-less-or-eq)
    have npd:  $\neg ipd(\pi\ k)\ pd \rightarrow \pi\ l$  by (metis ipd(1) lipd nipd pd-antisym)
    have nd:  $\langle \pi\ l \in nodes \rangle$  using path path-nodes by simp
    from no-pd-path[OF nd npd]
    obtain  $\pi'\ n$  where path':  $\langle is-path\ \pi' \rangle$  and  $\pi'0: \langle \pi' 0 = \pi\ l \rangle$  and  $\pi'n: \langle \pi' n = return \rangle$  and nipd:  $\forall ka \leq n. \pi' ka \neq ipd(\pi\ k)$ .
    let  $\langle ?\pi \rangle = \langle (\pi @^l \pi') \ll k \rangle$ 
    have path'':  $\langle is-path\ ?\pi \rangle$  by (metis  $\pi'0$  path path' path-cons path-path-shift)
    moreover
      have kl:  $\langle k < l \rangle$  using lipd cdj jl unfolding is-cdi-def by fastforce
      have  $\langle ?\pi\ 0 = \pi\ k \rangle$  using kl by auto
    moreover
      have  $\langle ?\pi\ (l + n - k) = return \rangle$  using  $\pi'n\ \pi'0\ kl$  by auto
    moreover
      have  $\langle ipd(\pi\ k)\ pd \rightarrow \pi\ k \rangle$  by (metis is-ipd-def ipd-is-ipd nretj path path-nodes)
    ultimately
      obtain  $l'$  where  $l': \langle l' \leq (l + n - k) \rangle$   $\langle ?\pi\ l' = ipd(\pi\ k) \rangle$  unfolding is-pd-def by blast
      show False proof (cases)
        assume  $*: \langle k + l' \leq l \rangle$ 
        hence  $\langle \pi\ (k + l') = ipd(\pi\ k) \rangle$  using l' by auto
        moreover
          have  $\langle k + l' < m \rangle$  by (metis * dual-order.strict-trans2 lm)
        ultimately
          show False using ipd(2) by simp
      next
        assume  $\neg k + l' \leq l$ 
        hence  $\langle \pi'\ (k + l' - l) = ipd(\pi\ k) \rangle$  using l' by auto
        moreover
          have  $\langle k + l' - l \leq n \rangle$  using l' kl by linarith
        ultimately
          show False using nipd by auto
      qed
    qed
  qed

```

lemma ipd-icd-greatest-cd-not-ipd: **assumes** ipd: $\langle \pi\ m = ipd(\pi\ k) \rangle$ $\forall n \in \{k..<m\}.\ \pi\ n \neq ipd(\pi\ k)$ and km: $\langle k < m \rangle$ and icdj: $\langle m\ icd^\pi \rightarrow j \rangle$ **shows** $\langle j = (GREATEST\ j.\ k\ cd^\pi \rightarrow j) \wedge ipd(\pi\ j) \neq \pi\ m \rangle$

proof –

```

  let  $\langle ?j \rangle = \langle GREATEST\ j.\ k\ cd^\pi \rightarrow j \wedge ipd(\pi\ j) \neq \pi\ m \rangle$ 
  have kcdj:  $\langle k\ cd^\pi \rightarrow j \rangle$  using assms cd-ipd-is-cd is-icdi-def by blast
  have nipd:  $\langle ipd(\pi\ j) \neq \pi\ m \rangle$  using icdj unfolding is-icdi-def is-cdi-def by auto
  have bound:  $\langle \bigwedge j. k\ cd^\pi \rightarrow j \wedge ipd(\pi\ j) \neq \pi\ m \implies j \leq k \rangle$  unfolding is-cdi-def by simp
  have exists:  $\langle k\ cd^\pi \rightarrow j \wedge ipd(\pi\ j) \neq \pi\ m \rangle$  (is  $\langle ?P\ j \rangle$ ) using kcdj nipd by auto
  note GreatestI-nat[of  $\langle ?P \rangle$  -  $\langle k \rangle$ , OF exists] Greatest-le-nat[of  $\langle ?P \rangle$   $\langle j \rangle$   $\langle k \rangle$ , OF exists]
  hence kcdj':  $\langle k\ cd^\pi \rightarrow ?j \rangle$  and ipd':  $\langle ipd(\pi\ ?j) \neq \pi\ m \rangle$  and jj:  $\langle j \leq ?j \rangle$  using bound by auto
  hence mcdj':  $\langle m\ cd^\pi \rightarrow ?j \rangle$  using ipd km cd-is-cd-ipd by auto
  show  $\langle j = ?j \rangle$  proof (rule ccontr)
    assume  $\langle j \neq ?j \rangle$ 
    hence jlj:  $\langle j < ?j \rangle$  using jj by simp

```

```

moreover
have ‹?j < m› using kcdj' km unfolding is-cdi-def by auto
ultimately
show ‹False› using icdj mcdj' unfolding is-icdi-def by auto
qed
qed

lemma cd-impl-icd-cd: assumes ‹i cdπ→ l› and ‹i icdπ→ k› and ‹¬ i icdπ→ l› shows ‹k cdπ→ l›
using assms cd-split icd-uniq by metis

lemma cdi-is-cd-icdi: assumes ‹k icdπ→ j› shows ‹k cdπ→ i ↔ j cdπ→ i ∨ i = j›
by (metis assms cd-impl-icd-cd cd-trans icd-imp-cd icd-uniq)

lemma same-ipd-stable: assumes ‹k cdπ→ i› ‹k cdπ→ j› ‹i < j› ‹ipd (π i) = ipd (π k)› shows ‹ipd (π j) = ipd (π k)›
proof -
  have jcdi: ‹j cdπ→ i› by (metis is-cdi-def assms(1,2,3) cr-wn' le-antisym less-imp-le-nat)
  have 1: ‹ipd (π j) pd→ π k› by (metis assms(2) ipd-pd-cd)
  have 2: ‹ipd (π k) pd→ π j› by (metis assms(4) ipd-pd-cd jcdi)
  have 3: ‹ipd (π k) pd→ (ipd (π j))› by (metis 2 IFC-def.is-cdi-def assms(1,2,4) atLeastAtMost-iff jcdi
less-imp-le pd-node2 pd-pd-ipd)
  have 4: ‹ipd (π j) pd→ (ipd (π k))› by (metis 1 2 IFC-def.is-ipd-def assms(2) cd-not-pd ipd-is-ipd jcdi
pd-node2 ret-no-cd)
  show ‹?thesis› using 3 4 pd-antisym by simp
qed

lemma icd-pd-intermediate': assumes icd: ‹i icdπ→ k› and j: ‹k < j› ‹j < i› shows ‹π i pd→ (π j)›
using j proof (induction ‹i = j› arbitrary: ‹j› rule: less-induct)
case (less j)
have ‹¬ i cdπ→ j› using less.preds icd unfolding is-icdi-def by force
moreover
have ‹is-path π› using icd by (metis is-icdi-def)
moreover
have ‹π i ≠ return› using icd by (metis is-icdi-def ret-no-cd)
ultimately
have ‹∃ l. j ≤ l ∧ l ≤ i ∧ π l = ipd (π j)› unfolding is-cdi-def using less.preds by auto
then obtain l where l: ‹j ≤ l› ‹l ≤ i› ‹π l = ipd (π j)› by blast
hence lpd: ‹π l pd→ (π j)› by (metis is-ipd-def ‹π i ≠ return› ‹is-path π› ipd-is-ipd path-nodes term-path-stable)
show ‹?case› proof (cases)
  assume l: ‹l = i›
  thus ‹?case› using lpd by auto
next
assume l: ‹l ≠ i›
hence l: ‹l < i› using l by simp
moreover
have j: ‹j ≠ l› using l by (metis is-ipd-def ‹π i ≠ return› ‹is-path π› ipd-is-ipd path-nodes term-path-stable)
hence l: ‹j < l› using l by simp
moreover
hence i-l: ‹i - l < i - j› by (metis diff-less-mono2 less.preds(2))
moreover
have k-l: ‹k < l› by (metis l(1) less.preds(1) linorder-neqE-nat not-le order.strict-trans)
ultimately
have lpd: ‹π i pd→ (π l)› using less.hyps by auto
thus ‹?case› using lpd by (metis pd-trans)
qed
qed

```

lemma *icd-pd-intermediate*: **assumes** *icd*: $\langle i \text{ icd}^\pi \rightarrow k \rangle$ **and** *j*: $\langle k < j \rangle \langle j \leq i \rangle$ **shows** $\langle \pi i \text{ pd} \rightarrow (\pi j) \rangle$ **using assms** *icd-pd-intermediate*'[OF assms(1,2)] **apply** (cases $\langle j < i \rangle$,*metis*) **by** (*metis* *is-icdi-def* *le-neq-trans* *path-nodes* *pd-refl*)

lemma *no-icd-pd*: **assumes** *path*: $\langle \text{is-path } \pi \rangle$ **and** *noicd*: $\langle \forall l \geq n. \neg k \text{ icd}^\pi \rightarrow l \rangle$ **and** *nk*: $\langle n \leq k \rangle$ **shows** $\langle \pi k \text{ pd} \rightarrow \pi n \rangle$

proof *cases*

assume $\langle \pi k = \text{return} \rangle$ **thus** $\langle ?\text{thesis} \rangle$ **by** (*metis* *path* *path-nodes* *return-pd*)

next

assume *nret*: $\langle \pi k \neq \text{return} \rangle$

have *nocd*: $\langle \bigwedge l. n \leq l \implies \neg k \text{ cd}^\pi \rightarrow l \rangle$ **proof**

fix *l* **assume** *kcd*: $\langle k \text{ cd}^\pi \rightarrow l \rangle$ **and** *nl*: $\langle n \leq l \rangle$

hence $\langle (k - n) \text{ cd}^{\pi^n} \rightarrow (l - n) \rangle$ **using** *cd-path-shift*[OF *nl path*] **by** *simp*

hence $\langle \exists l. (k - n) \text{ cd}^{\pi^n} \rightarrow l \rangle$ **using** *excd-impl-excd* **by** *blast*

then obtain *l'* **where** $k - n \text{ cd}^{\pi^n} \rightarrow l'$..

hence $\langle k \text{ cd}^\pi \rightarrow (l' + n) \rangle$ **using** *cd-path-shift*[of $\langle n \rangle \langle l' + n \rangle \langle \pi \rangle \langle k \rangle$] *path* **by** *auto*

thus $\langle \text{False} \rangle$ **using** *noicd* **by** *auto*

qed

hence $\langle \bigwedge l. n \leq l \implies l < k \implies \exists j \in \{l..k\}. \pi j = \text{ipd } (\pi l) \rangle$ **using** *path* *nret* **unfolding** *is-cdi-def* **by** *auto*

thus $\langle ?\text{thesis} \rangle$ **using** *nk* **proof** (*induction* $\langle k - n \rangle$ *arbitrary*: $\langle n \rangle$ *rule*: *less-induct,cases*)

case (*less n*)

assume $\langle n = k \rangle$

thus $\langle ?\text{case} \rangle$ **using** *pd-refl* *path* *path-nodes* **by** *auto*

next

case (*less n*)

assume $\langle n \neq k \rangle$

hence *nk*: $\langle n < k \rangle$ **using** *less(3)* **by** *auto*

with *less(2)* **obtain** *j* **where** *jnk*: $\langle j \in \{n..k\} \rangle$ **and** *ipdj*: $\langle \pi j = \text{ipd } (\pi n) \rangle$ **by** *blast*

have *nretn*: $\langle \pi n \neq \text{return} \rangle$ **using** *nk* *nret* *term-path-stable* *path* **by** *auto*

with *ipd-is-ipd* *path* *path-nodes* *is-ipd-def* *ipdj*

have *jpdn*: $\langle \pi j \text{ pd} \rightarrow \pi n \rangle$ **by** *auto*

show $\langle ?\text{case} \rangle$ **proof** *cases*

assume $\langle j = k \rangle$ **thus** $\langle ?\text{case} \rangle$ **using** *jpdn* **by** *simp*

next

assume $\langle j \neq k \rangle$

hence *jk*: $\langle j < k \rangle$ **using** *jnk* **by** *auto*

have $\langle j \neq n \rangle$ **using** *ipdj* **by** (*metis* *ipd-not-self* *nretn* *path* *path-nodes*)

hence *nj*: $\langle n < j \rangle$ **using** *jnk* **by** *auto*

have *: $\langle k - j < k - n \rangle$ **using** *jk nj* **by** *auto*

with *less(1)*[OF *] *less(2)* *jk nj*

have $\langle \pi k \text{ pd} \rightarrow \pi j \rangle$ **by** *auto*

thus $\langle ?\text{thesis} \rangle$ **using** *jpdn* *pd-trans* **by** *metis*

qed

qed

qed

lemma *first-pd-no-cd*: **assumes** *path*: $\langle \text{is-path } \pi \rangle$ **and** *pd*: $\langle \pi n \text{ pd} \rightarrow \pi 0 \rangle$ **and** *first*: $\langle \forall l < n. \pi l \neq \pi n \rangle$ **shows** $\langle \forall l. \neg n \text{ cd}^\pi \rightarrow l \rangle$

proof (*rule ccontr, goal-cases*)

case 1

then obtain *l* **where** *ncdl*: $\langle n \text{ cd}^\pi \rightarrow l \rangle$ **by** *blast*

hence *ln*: $\langle l < n \rangle$ **using** *is-cdi-def* **by** *auto*

```

have  $\neg \pi n pd \rightarrow \pi l$  using ncdl cd-not-pd by (metis ln first)
then obtain  $\pi' n'$  where path:  $\langle \text{is-path } \pi' \rangle$  and  $\pi 0: \langle \pi' 0 = \pi l \rangle$  and  $\pi n: \langle \pi' n' = \text{return} \rangle$  and  $\text{not} \pi n: \forall j \leq n'. \pi' j \neq \pi n$  unfolding is-pd-def using path path-nodes by auto
let  $\langle ?\pi \rangle = \langle \pi @^l \pi' \rangle$ 

have  $\langle \text{is-path } ?\pi \rangle$  by (metis  $\pi 0$  path path-cons)
moreover
have  $\langle ?\pi 0 = \pi 0 \rangle$  by auto
moreover
have  $\langle ?\pi (n' + l) = \text{return} \rangle$  using  $\pi 0 \pi n$  by auto
ultimately
obtain  $j$  where  $j: \langle j \leq n' + l \rangle$  and  $j n: \langle ?\pi j = \pi n \rangle$  using pd unfolding is-pd-def by blast
show  $\langle \text{False} \rangle$  proof cases
  assume  $\langle j \leq l \rangle$  thus  $\langle \text{False} \rangle$  using jn first ln by auto
next
  assume  $\neg j \leq l$  thus  $\langle \text{False} \rangle$  using j jn not} \pi n by auto
qed
qed

lemma first-pd-no-icd: assumes path:  $\langle \text{is-path } \pi \rangle$  and pd:  $\langle \pi n pd \rightarrow \pi 0 \rangle$  and first:  $\forall l < n. \pi l \neq \pi n$ 
shows  $\forall l. \neg l \text{ icd}^\pi \rightarrow l$ 
by (metis first first-pd-no-icd icd-imp-cd path pd)

lemma path-nret-ex-nipd: assumes is-path  $\pi$   $\forall i. \pi i \neq \text{return}$  shows  $\forall i. (\exists j \geq i. (\forall k > j. \pi k \neq \text{ipd}(\pi j)))$ 
proof(rule, rule ccontr)
fix  $i$ 
assume  $\neg (\exists j \geq i. \forall k > j. \pi k \neq \text{ipd}(\pi j))$ 
hence  $*: \forall j \geq i. (\exists k > j. \pi k = \text{ipd}(\pi j))$  by blast
have  $\forall j. (\exists k > j. (\pi \ll i) k = \text{ipd}((\pi \ll i) j))$  proof
  fix  $j$ 
  have  $\langle i + j \geq i \rangle$  by auto
  then obtain  $k$  where  $k: \langle k > i + j \rangle \langle \pi k = \text{ipd}(\pi(i + j)) \rangle$  using  $*$  by blast
  hence  $\langle (\pi \ll i) (k - i) = \text{ipd}((\pi \ll i) j) \rangle$  by auto
  moreover
  have  $\langle k - i > j \rangle$  using  $k$  by auto
  ultimately
  show  $\langle \exists k > j. (\pi \ll i) k = \text{ipd}((\pi \ll i) j) \rangle$  by auto
qed
moreover
have is-path  $(\pi \ll i)$  using assms(1) path-path-shift by simp
ultimately
obtain  $k$  where  $\langle (\pi \ll i) k = \text{return} \rangle$  using all-ipd-imp-ret by blast
thus  $\langle \text{False} \rangle$  using assms(2) by auto
qed

lemma path-nret-ex-all-cd: assumes is-path  $\pi$   $\forall i. \pi i \neq \text{return}$  shows  $\forall i. (\exists j \geq i. (\forall k > j. k \text{ cd}^\pi \rightarrow j))$ 
unfolding is-cdi-def using assms path-nret-ex-nipd[OF assms] by (metis atLeastAtMost-iff ipd-not-self linorder-neqE-nat not-le path-nodes)

lemma path-nret-inf-all-cd: assumes is-path  $\pi$   $\forall i. \pi i \neq \text{return}$  shows  $\neg \text{finite } \{j. \forall k > j. k \text{ cd}^\pi \rightarrow j\}$ 
using unbounded-nat-set-infinite path-nret-ex-all-cd[OF assms] by auto

lemma path-nret-inf-icd-seq: assumes path:  $\langle \text{is-path } \pi \rangle$  and nret:  $\langle \forall i. \pi i \neq \text{return} \rangle$ 
obtains  $f$  where  $\forall i. f(Suc i) \text{ icd}^\pi \rightarrow f i$ ,  $\langle \text{range } f = \{i. \forall j > i. j \text{ cd}^\pi \rightarrow i\} \rangle$ ,  $\neg (\exists i. f 0 \text{ cd}^\pi \rightarrow i)$ 
proof -

```

```

note path-nret-inf-all-cd[OF assms]
  then obtain f where ran: <range f = {j.  $\forall k > j. k \text{ cd}^\pi \rightarrow j$ }> and asc: < $\forall i. f i < f (\text{Suc } i)$ > using infinite-ascending by blast
  have mono: < $\forall i j. i < j \rightarrow f i < f j$ > using asc by (metis lift-Suc-mono-less)
  {
    fix i
    have cd: <f (Suc i) cd $^\pi \rightarrow f i$ > using ran asc by auto
    have <f (Suc i) icd $^\pi \rightarrow f i$ > proof (rule ccontr)
      assume < $\neg f (\text{Suc } i) \text{ icd}^\pi \rightarrow f i$ >
      then obtain m where im: < $f i < m$ > and mi: < $m < f (\text{Suc } i)$ > and cdm: <f (Suc i) cd $^\pi \rightarrow m$ > unfolding is-icdi-def using assms(1) cd by auto
      have < $\forall k > m. k \text{ cd}^\pi \rightarrow m$ > proof (rule,rule,cases)
        fix k assume <f (Suc i) < k>
        hence ik: < $k \leq f (\text{Suc } i)$ > by simp
        thus < $k \text{ cd}^\pi \rightarrow m$ > using cdm cd-trans by metis
      next
        fix k assume mk: < $m < k$ > and < $\neg f (\text{Suc } i) < k$ >
        hence ik: < $k \leq f (\text{Suc } i)$ > by simp
        thus < $k \text{ cd}^\pi \rightarrow m$ > using cdm by (metis cdi-prefix mk)
      qed
      hence < $m \in \text{range } f$ > using ran by blast
      then obtain j where m: < $m = f j$ > by blast
      show <False> using im mi mono unfolding m by (metis Suc-lessI le-less not-le)
    qed
  }
  moreover
  {
    fix m
    assume cdm: <f 0 cd $^\pi \rightarrow m$ >
    have < $\forall k > m. k \text{ cd}^\pi \rightarrow m$ > proof (rule,rule,cases)
      fix k assume <f 0 < k>
      hence < $k \text{ cd}^\pi \rightarrow f 0$ > using ran by auto
      thus < $k \text{ cd}^\pi \rightarrow m$ > using cdm cd-trans by metis
    next
      fix k assume mk: < $m < k$ > and < $\neg f 0 < k$ >
      hence ik: < $k \leq f 0$ > by simp
      thus < $k \text{ cd}^\pi \rightarrow m$ > using cdm by (metis cdi-prefix mk)
    qed
    hence < $m \in \text{range } f$ > using ran by blast
    then obtain j where m: < $m = f j$ > by blast
    hence fj0: < $f j < f 0$ > using cdm m is-icdi-def by auto
    hence < $0 < j$ > by (metis less-irrefl neq0-conv)
    hence <False> using fj0 mono by fastforce
  }
  ultimately show <thesis> using that ran by blast
  qed

lemma cdi-iff-no-strict-pd: < $i \text{ cd}^\pi \rightarrow k \longleftrightarrow \text{is-path } \pi \wedge k < i \wedge \pi i \neq \text{return} \wedge (\forall j \in \{k..i\}. \neg (\pi k, \pi j) \in \text{pdt})$ >
proof
  assume cd:<i cd $^\pi \rightarrow k$ >
  have 1: < $\text{is-path } \pi \wedge k < i \wedge \pi i \neq \text{return}$ > using cd unfolding is-icdi-def by auto
  have 2: < $\forall j \in \{k..i\}. \neg (\pi k, \pi j) \in \text{pdt}$ > proof (rule ccontr)
    assume < $\neg (\forall j \in \{k..i\}. (\pi k, \pi j) \notin \text{pdt})$ >
    then obtain j where < $j \in \{k..i\}$ > and < $(\pi k, \pi j) \in \text{pdt}$ > by auto
    hence < $\pi j \neq \pi k$ > and < $\pi j \text{ pd} \rightarrow \pi k$ > unfolding pdt-def by auto

```

```

thus ‹False› using path-pd-ipd by (metis ‹j ∈ {k..i}› atLeastAtMost-iff cd cd-not-pd cdi-prefix le-eq-less-or-eq)
qed
show ‹is-path π ∧ k < i ∧ π i ≠ return ∧ (∀ j ∈ {k..i}. ¬ (π k, π j) ∈ pdt)› using 1 2 by simp
next
assume ‹is-path π ∧ k < i ∧ π i ≠ return ∧ (∀ j ∈ {k..i}. ¬ (π k, π j) ∈ pdt)›
thus ‹i cdπ→ k› by (metis ipd-in-pdt term-path-stable less-or-eq-imp-le not-cd-impl-ipd path-nodes)
qed

```

2.5 Facts about Control Slices

```
lemma last-cs: ‹last (csπ i) = π i› by auto
```

```
lemma cs-not-nil: ‹csπ n ≠ []› by (auto)
```

```
lemma cs-return: assumes ‹π n = return› shows ‹csπ n = [π n]› by (metis assms cs.elims icd-imp-cd ret-no-cd)
```

```
lemma cs-0[simp]: ‹csπ 0 = [π 0]› using is-icdi-def is-cdi-def by auto
```

```
lemma cs-inj: assumes ‹is-path π› ‹π n ≠ return› ‹csπ n = csπ n'› shows ‹n = n'›
using assms proof (induction ‹csπ n› arbitrary: ‹π› ‹n› ‹n'› rule:rev-induct)
```

```
case Nil hence ‹False› using cs-not-nil by metis thus ‹?case› by simp
next
```

```
case (snoc x xs π n n') show ‹?case› proof (cases ‹xs›)
```

```
case Nil
```

```
hence *: ‹¬ (∃ k. n icdπ→ k)› using snoc(2) cs-not-nil
by (auto,metis append1-eq-conv append-Nil cs-not-nil)
```

```
moreover
```

```
have ‹[x] = csπ n'› using Nil snoc by auto
```

```
hence **: ‹¬ (∃ k. n' icdπ→ k)› using cs-not-nil
```

```
by (auto,metis append1-eq-conv append-Nil cs-not-nil)
```

```
ultimately
```

```
have ‹∀ k. ¬ n cdπ→ k› ‹∀ k. ¬ n' cdπ→ k› using excd-impl-excd by auto blast+
```

```
moreover
```

```
hence ‹π n = π n'› using snoc(5,2) by auto (metis * ** list.inject)
```

```
ultimately
```

```
show ‹n = n'› using other-claim' snoc by blast
```

```
next
```

```
case (Cons y ys)
```

```
hence *: ‹∃ k. n icdπ→ k› using snoc(2) by auto (metis append-is-Nil-conv list.distinct(1) list.inject)
```

```
then obtain k where k: ‹n icdπ→ k› by auto
```

```
have ‹k = (THE k . n icdπ→ k)› using k by (metis icd-is-the-icd)
```

```
hence xsk: ‹xs = csπ k› using * k snoc(2) unfolding cs.simps[of ‹π› ‹n›] by auto
```

```
have **: ‹∃ k. n' icdπ→ k› using snoc(2)[unfolded snoc(5)] by auto (metis Cons append1-eq-conv append-Nil list.distinct(1))
```

```
then obtain k' where k': ‹n' icdπ→ k'› by auto
```

```
hence ‹k' = (THE k . n' icdπ→ k)› using k' by (metis icd-is-the-icd)
```

```
hence xsk': ‹xs = csπ k'› using ** k' snoc(5,2) unfolding cs.simps[of ‹π› ‹n'›] by auto
```

```
hence ‹csπ k = csπ k'› using xsk by simp
```

```
moreover
```

```
have kn: ‹k < n› using k by (metis is-icdi-def is-cdi-def)
```

```
hence ‹π k ≠ return› using snoc by (metis term-path-stable less-imp-le)
```

```
ultimately
```

```
have kk'[simp]: ‹k' = k› using snoc(1) xsk snoc(3) by metis
```

```
have nk0: ‹n - k icdπ→ 0› ‹n' - k icdπ→ 0› using k k' icd-path-shift0 snoc(3) by auto
```

```

moreover
have nkr:  $\langle (\pi \ll k)(n-k) \neq \text{return} \rangle$  using snoc(4) kn by auto
moreover
have  $\langle \text{is-path } (\pi \ll k) \rangle$  by (metis path-path-shift snoc.prems(1))
moreover
have kn':  $\langle k < n' \rangle$  using k' kk' by (metis is-icdi-def is-cdi-def)
have  $\langle \pi n = \pi n' \rangle$  using snoc(5) * ** by auto
hence  $\langle (\pi \ll k)(n-k) = (\pi \ll k)(n'-k) \rangle$  using kn kn' by auto
ultimately
have  $\langle n - k = n' - k \rangle$  using other-claim by auto
thus  $\langle n = n' \rangle$  using kn kn' by auto
qed
qed

lemma cs-cases: fixes  $\pi$  i
obtains (base)  $\langle cs^\pi i = [\pi i] \rangle$  and  $\langle \forall k. \neg i cd^\pi \rightarrow k \rangle$  |
(depend) k where  $\langle cs^\pi i = (cs^\pi k)@[\pi i] \rangle$  and  $\langle i icd^\pi \rightarrow k \rangle$ 
proof cases
assume *:  $\langle \exists k. i icd^\pi \rightarrow k \rangle$ 
then obtain k where k:  $\langle i icd^\pi \rightarrow k \rangle$  ..
hence  $\langle k = (\text{THE } k. i icd^\pi \rightarrow k) \rangle$  by (metis icd-is-the-icd)
hence  $\langle cs^\pi i = (cs^\pi k)@[\pi i] \rangle$  using * by auto
with k that show thesis by simp
next
assume *:  $\langle \neg (\exists k. i icd^\pi \rightarrow k) \rangle$ 
hence  $\langle \forall k. \neg i cd^\pi \rightarrow k \rangle$  by (metis excd-impl-excd)
moreover
have  $\langle cs^\pi i = [\pi i] \rangle$  using * by auto
ultimately
show thesis using that by simp
qed

lemma cs-length-one: assumes  $\langle \text{length } (cs^\pi i) = 1 \rangle$  shows  $\langle cs^\pi i = [\pi i] \rangle$  and  $\langle \forall k. \neg i cd^\pi \rightarrow k \rangle$ 
apply (cases i π rule: cs-cases)
using assms cs-not-nil
apply auto
apply (cases i π rule: cs-cases)
using assms cs-not-nil
by auto

lemma cs-length-g-one: assumes  $\langle \text{length } (cs^\pi i) \neq 1 \rangle$  obtains k where  $\langle cs^\pi i = (cs^\pi k)@[\pi i] \rangle$  and  $\langle i icd^\pi \rightarrow k \rangle$ 
apply (cases i π rule: cs-cases)
using assms cs-not-nil by auto

lemma claim: assumes path:  $\langle \text{is-path } \pi \rangle$   $\langle \text{is-path } \pi' \rangle$  and ii:  $\langle cs^\pi i = cs^{\pi'} i' \rangle$  and jj:  $\langle cs^\pi j = cs^{\pi'} j' \rangle$ 
and bl:  $\langle \text{butlast } (cs^\pi i) = \text{butlast } (cs^\pi j) \rangle$  and nret:  $\langle \pi i \neq \text{return} \rangle$  and ilj:  $\langle i < j \rangle$ 
shows  $\langle i' < j' \rangle$ 
proof (cases )
assume *:  $\langle \text{length } (cs^\pi i) = 1 \rangle$ 
hence **:  $\langle \text{length } (cs^\pi i) = 1 \rangle$   $\langle \text{length } (cs^\pi j) = 1 \rangle$   $\langle \text{length } (cs^{\pi'} i') = 1 \rangle$   $\langle \text{length } (cs^{\pi'} j') = 1 \rangle$ 
apply metis
apply (metis * bl butlast.simps(2) butlast-snoc cs-length-g-one cs-length-one(1) cs-not-nil)
apply (metis * ii)
by (metis * bl butlast.simps(2) butlast-snoc cs-length-g-one cs-length-one(1) cs-not-nil jj)

```

then obtain $\langle cs^\pi i = [\pi i] \rangle \langle cs^\pi j = [\pi j] \rangle \langle cs^{\pi'} j' = [\pi' j'] \rangle \langle cs^{\pi'} i' = [\pi' i'] \rangle$
 $\quad \langle \forall k. \neg j cd^\pi \rightarrow k \rangle \langle \forall k. \neg i' cd^{\pi'} \rightarrow k \rangle \langle \forall k. \neg j' cd^{\pi'} \rightarrow k \rangle$
by (metis cs-length-one **)
moreover
hence $\langle \pi i = \pi' i' \rangle \langle \pi j = \pi' j' \rangle$ **using assms by auto**
ultimately
show $\langle i' < j' \rangle$ **using nret ilj path claim'' by blast**
next
assume *: $\langle length(cs^\pi i) \neq 1 \rangle$
hence **: $\langle length(cs^\pi i) \neq 1 \rangle \langle length(cs^\pi j) \neq 1 \rangle \langle length(cs^{\pi'} i') \neq 1 \rangle \langle length(cs^{\pi'} j') \neq 1 \rangle$
apply metis
apply (metis * bl butlast.simps(2) butlast-snoc cs-length-g-one cs-length-one(1) cs-not-nil)
apply (metis * ii)
by (metis * bl butlast.simps(2) butlast-snoc cs-length-g-one cs-length-one(1) cs-not-nil jj)
obtain $k l k' l'$ **where** ***:
 $\langle cs^\pi i = (cs^\pi k)@[\pi i] \rangle \langle cs^\pi j = (cs^\pi l)@[\pi j] \rangle \langle cs^{\pi'} i' = (cs^{\pi'} k')@[\pi' i'] \rangle \langle cs^{\pi'} j' = (cs^{\pi'} l')@[\pi' j'] \rangle$
and
 $icds: \langle i icd^\pi \rightarrow k \rangle \langle j icd^\pi \rightarrow l \rangle \langle i' icd^{\pi'} \rightarrow k' \rangle \langle j' icd^{\pi'} \rightarrow l' \rangle$
by (metis ** cs-length-g-one)
hence $\langle cs^\pi k = cs^\pi l \rangle \langle cs^{\pi'} k' = cs^{\pi'} l' \rangle$ **using assms by auto**
moreover
have $\langle \pi k \neq return \rangle \langle \pi' k' \neq return \rangle$ **using nret**
apply (metis is-icdi-def icds(1) is-cdi-def term-path-stable less-imp-le)
by (metis is-cdi-def is-icdi-def icds(3) term-path-stable less-imp-le)
ultimately
have lk[simp]: $\langle l = k \rangle \langle l' = k' \rangle$ **using path cs-inj by auto**
let $\langle ?\pi \rangle = \langle \pi \ll k \rangle$
let $\langle ?\pi' \rangle = \langle \pi' \ll k' \rangle$
have $\langle i - k icd^{\pi \rightarrow 0} \rangle \langle j - k icd^{\pi \rightarrow 0} \rangle \langle i' - k' icd^{\pi' \rightarrow 0} \rangle \langle j' - k' icd^{\pi' \rightarrow 0} \rangle$ **using icd-path-shift0 path icds by auto**
moreover
have ki: $\langle k < i \rangle$ **using icds by** (metis is-icdi-def is-cdi-def)
hence $\langle i - k < j - k \rangle$ **by** (metis diff-is-0-eq diff-less-mono ilj nat-le-linear order.strict-trans)
moreover
have pi: $\langle \pi i = \pi' i' \rangle \langle \pi j = \pi' j' \rangle$ **using assms *** by auto**
have $\langle k' < i' \rangle \langle k' < j' \rangle$ **using icds unfolding lk by** (metis is-cdi-def is-icdi-def)+
hence $\langle ?\pi (i - k) = ?\pi' (i' - k') \rangle \langle ?\pi (j - k) = ?\pi' (j' - k') \rangle$ **using pi ki ilj by auto**
moreover
have $\langle ?\pi (i - k) \neq return \rangle$ **using nret ki by auto**
moreover
have $\langle is-path ?\pi \rangle \langle is-path ?\pi' \rangle$ **using path path-path-shift by auto**
ultimately
have $\langle i' - k' < j' - k' \rangle$ **using claim' by blast**
thus $\langle i' < j' \rangle$ **by** (metis diff-is-0-eq diff-less-mono less-nat-zero-code linorder-neqE-nat nat-le-linear)
qed

lemma cs-split': **assumes** $\langle cs^\pi i = xs@[x,x']@ys \rangle$ **shows** $\langle \exists m. cs^\pi m = xs@[x] \wedge i cd^\pi \rightarrow m \rangle$
using assms proof (induction $\langle ys \rangle$ arbitrary: $\langle i \rangle$ rule:rev-induct)
case (snoc $y ys$)
hence $\langle length(cs^\pi i) \neq 1 \rangle$ **by auto**
then obtain i' **where** $\langle cs^\pi i = (cs^\pi i') @ [\pi i] \rangle$ **and** *: $\langle i icd^\pi \rightarrow i' \rangle$ **using cs-length-g-one[of $\langle \pi \rangle \langle i \rangle$] by metis**
hence $\langle cs^\pi i' = xs@[x,x']@ys \rangle$ **using snoc(2) by** (metis append1-eq-conv append-assoc)
then obtain m **where** **: $\langle cs^\pi m = xs @ [x] \rangle$ **and** $\langle i' cd^\pi \rightarrow m \rangle$ **using snoc(1) by blast**
hence $\langle i cd^\pi \rightarrow m \rangle$ **using * cd-trans by** (metis is-icdi-def)

```

with ** show ?case by blast
next
  case Nil
  hence <length (csπ i) ≠ 1> by auto
  then obtain i' where a: <csπ i = (csπ i') @ [π i]> and *: <i icdπ→ i'> using cs-length-g-one[of <π i>] by metis
  have <csπ i = (xs@[x])@[x']> using Nil by auto
  hence <csπ i' = xs@[x]> using append1-eq-conv a by metis
  thus ?case using * unfolding is-icdi-def by blast
qed

lemma cs-split: assumes <csπ i = xs@[x]@ys@[π i]> shows <∃ m. csπ m = xs@[x] ∧ i cdπ→ m> proof –
  obtain x' ys' where <ys@[π i] = [x']@ys'> by (metis append-Cons append-Nil neq-Nil-conv)
  thus ?thesis using cs-split'[of <π i> <xs x x' ys'>] assms by auto
qed

lemma cs-less-split: assumes <xs ≺ ys> obtains a as where <ys = xs@a#as>
  using assms unfolding cs-less.simps apply auto
by (metis Cons-nth-drop-Suc append-take-drop-id)

lemma cs-select-is-cs: assumes <is-path π <xs ≠ Nil> <xs ≺ csπ k>> shows <csπ (π|xs) = xs & k cdπ→ (π|xs)> proof –
  obtain b bs where b: <csπ k = xs@b#bs> using assms cs-less-split by blast
  obtain a as where a: <xs = as@[a]> using assms by (metis rev-exhaust)
  have <csπ k = as@[a,b]@bs> using a b by auto
  then obtain k' where csk: <csπ k' = xs> and is-cd: <k cdπ→ k'> using cs-split' a by blast
  hence nret: <π k' ≠ return> by (metis is-icdi-def term-path-stable less-imp-le)
  show a: <csπ (π|xs) = xs> unfolding cs-select-def using cs-inj[OF assms(1) nret] csk the-equality[of - <k'>]
    by (metis (mono-tags))
  show <k cdπ→ (π|xs)> unfolding cs-select-def by (metis a assms(1) cs-inj cs-select-def csk is-cd nret)
qed

lemma cd-in-cs: assumes <n cdπ→ m> shows <∃ ns. csπ n = (csπ m) @ ns @ [π n]>
using assms proof (induction rule: cd-induct)
  case (base n) thus ?case by (metis append-Nil cs.simps icd-is-the-icd)
next
  case (IS k n)
  hence <csπ n = csπ k @ [π n]> by (metis cs.simps icd-is-the-icd)
  thus ?case using IS by force
qed

lemma butlast-cs-not-cd: assumes <butlast (csπ m) = butlast (csπ n)> shows <¬ m cdπ→ n>
by (metis append-Cons append-Nil append-assoc assms cd-in-cs cs-not-nil list.distinct(1) self-append-conv snoc-eq-iff-butlast)

lemma wn-cs-butlast: assumes <butlast (csπ m) = butlast (csπ n)> <i cdπ→ m & j cdπ→ n & m < n> shows
<i < j>
proof (rule ccontr)
  assume <¬ i < j>
  moreover
  have <¬ n cdπ→ m> by (metis assms(1) butlast-cs-not-cd)
  ultimately
  have <n ≤ m> using assms(2,3) cr-wn'' by auto
  thus ?False using assms(4) by auto
qed

```

This is the central theorem making the control slice suitable for matching indices between executions.

theorem *cs-order*: **assumes** *path*: $\langle \text{is-path } \pi \rangle \langle \text{is-path } \pi' \rangle$ **and** *csi*: $\langle cs^\pi i = cs^{\pi'} i' \rangle$ **and** *csj*: $\langle cs^\pi j = cs^{\pi'} j' \rangle$ **and** *nret*: $\langle \pi i \neq \text{return} \rangle$ **and** *ilj*: $\langle i < j \rangle$ **shows** $\langle i' < j' \rangle$

proof –

have $\langle cs^\pi i \neq cs^{\pi'} j \rangle$ **using** *cs-inj*[*OF path(1) nret*] *ilj* **by** *blast*

moreover

have $\langle cs^\pi i \neq Nil \rangle \langle cs^{\pi'} j \neq Nil \rangle$ **by** (*metis cs-not-nil*) +

ultimately show $\langle ?\text{thesis} \rangle$ **proof** (*cases rule: list-neq-prefix-cases*)

case (*diverge xs x x' ys ys'*)

note *csx* = $\langle cs^\pi i = xs @ [x] @ ys \rangle$

note *csx'* = $\langle cs^{\pi'} j = xs @ [x'] @ ys' \rangle$

note *xx* = $\langle x \neq x' \rangle$

show $\langle i' < j' \rangle$ **proof** (*cases ys*)

assume *ys*: $\langle ys = Nil \rangle$

show $\langle ?\text{thesis} \rangle$ **proof** (*cases ys'*)

assume *ys'*: $\langle ys' = Nil \rangle$

have *cs*: $\langle cs^\pi i = xs @ [x] \rangle \langle cs^{\pi'} j = xs @ [x'] \rangle$ **by** (*metis append-Nil2 csx ys, metis append-Nil2 csx' ys'*)

hence *bl*: $\langle \text{butlast}(cs^\pi i) = \text{butlast}(cs^{\pi'} j) \rangle$ **by** *auto*

show $\langle i' < j' \rangle$ **using** *claim*[*OF path csi csj bl nret ilj*] .

next

fix *y' zs'*

assume *ys'*: $\langle ys' = y' \# zs' \rangle$

have *cs*: $\langle cs^\pi i = xs @ [x] \rangle \langle cs^{\pi'} j = xs @ [x', y'] @ zs' \rangle$ **by** (*metis append-Nil2 csx ys, metis append-Cons append-Nil csx' ys'*)

obtain *n* where *n*: $\langle cs^\pi n = xs @ [x'] \rangle$ **and** *jn*: $\langle j \ cd^\pi \rightarrow n \rangle$ **using** *cs cs-split*' **by** *blast*

obtain *n'* where *n'*: $\langle cs^{\pi'} n' = xs @ [x'] \rangle$ **and** *jn'*: $\langle j' \ cd^{\pi'} \rightarrow n' \rangle$ **using** *cs cs-split*' **unfolding** *csj* **by** *blast*

have *csn* : $\langle cs^\pi n = cs^{\pi'} n' \rangle$ **and** *bl*: $\langle \text{butlast}(cs^\pi i) = \text{butlast}(cs^{\pi'} n) \rangle$ **using** *n n' cs* **by** *auto*

hence *bl'*: $\langle \text{butlast}(cs^{\pi'} i') = \text{butlast}(cs^{\pi'} n') \rangle$ **using** *csi* **by** *auto*

have *notcd*: $\langle \neg i \ cd^\pi \rightarrow n \rangle$ **by** (*metis butlast-cs-not-cd bl*)

have *nin*: $\langle i \neq n \rangle$ **using** *cs n xx* **by** *auto*

have *iln*: $\langle i < n \rangle$ **apply** (*rule ccontr*) **using** *cr-wn*[*OF jn notcd*] *nin ilj* **by** *auto*

note *claim*[*OF path csi csn bl nret iln*]

hence $\langle i' < n' \rangle$.

thus $\langle i' < j' \rangle$ **using** *jn'* **unfolding** *is-cdi-def* **by** *auto*

qed

next

fix *y zs*

assume *ys*: $\langle ys = y \# zs \rangle$

show $\langle ?\text{thesis} \rangle$ **proof** (*cases ys'*)

assume *ys'*: $\langle ys' = Nil \rangle$

have *cs*: $\langle cs^\pi i = xs @ [x, y] @ zs \rangle \langle cs^{\pi'} j = xs @ [x'] \rangle$ **by** (*metis append-Cons append-Nil csx ys, metis append-Nil2 csx' ys'*)

obtain *n* where *n*: $\langle cs^\pi n = xs @ [x] \rangle$ **and** *jn*: $\langle i \ cd^\pi \rightarrow n \rangle$ **using** *cs cs-split*' **by** *blast*

obtain *n'* where *n'*: $\langle cs^{\pi'} n' = xs @ [x'] \rangle$ **and** *jn'*: $\langle i' \ cd^{\pi'} \rightarrow n' \rangle$ **using** *cs cs-split*' **unfolding** *csi* **by** *blast*

have *csn* : $\langle cs^\pi n = cs^{\pi'} n' \rangle$ **and** *bl*: $\langle \text{butlast}(cs^\pi n) = \text{butlast}(cs^{\pi'} j) \rangle$ **using** *n n' cs* **by** *auto*

hence *bl'*: $\langle \text{butlast}(cs^{\pi'} j') = \text{butlast}(cs^{\pi'} n') \rangle$ **using** *csj* **by** *auto*

have *notcd*: $\langle \neg j' \ cd^{\pi'} \rightarrow n' \rangle$ **by** (*metis butlast-cs-not-cd bl'*)

have *nin*: $\langle n < i \rangle$ **using** *jn* **unfolding** *is-cdi-def* **by** *auto*

have *nlj*: $\langle n < j \rangle$ **using** *nin ilj* **by** *auto*

note *claim*[*OF path csn csj bl - nlj*]

hence *nj'*: $\langle n' < j' \rangle$ **using** *term-path-stable*[*OF path(1) -*] *less-imp-le nin nret* **by** *auto*

show $\langle i' < j' \rangle$ **apply** (*rule ccontr*) **using** *cdi-prefix*[*OF jn' nj'*] *notcd* **by** *auto*

next

```

fix y' zs'
assume ys': <ys' = y' # zs'>
have cs: <csπ i = xs@[x,y]@zs> <csπ j = xs@[x',y']@zs'> by (metis append-Cons append-Nil csx ys,metis
append-Cons append-Nil csx' ys')
have neq: <csπ i ≠ csπ j> using cs-inj path nret ilj by blast
obtain m where m: <csπ m = xs@[x]> and im: <i cdπ→ m> using cs cs-split' by blast
obtain n where n: <csπ n = xs@[x']> and jn: <j cdπ→ n> using cs cs-split' by blast
obtain m' where m': <csπ' m' = xs@[x]> and im': <i' cdπ'→ m'> using cs cs-split' unfolding csi by
blast
obtain n' where n': <csπ' n' = xs@[x']> and jn': <j' cdπ'→ n'> using cs cs-split' unfolding csj by
blast
have <m ≤ n> using ilj m n wn-cs-butlast[OF - jn im] by force
moreover
have <m ≠ n> using m n xx by (metis last-snoc)
ultimately
have mn: <m < n> by auto
moreover
have <π m ≠ return> by (metis last-cs last-snoc m mn n path(1) term-path-stable xx less-imp-le)
moreover
have <butlast (csπ m) = butlast (csπ n)> <csπ m = csπ' m'> <csπ n = csπ' n'> using m n n' m' by
auto
ultimately
have <m' < n'> using claim path by blast
thus <i' < j'> using m' n' im' jn' wn-cs-butlast by (metis butlast-snoc)
qed
qed
next
case (prefix1 xs)
note pfx = <csπ i = csπ j @ xs>
note xs = <xs ≠ []>
obtain a as where <xs = a#as> using xs by (metis list.exhaust)
moreover
obtain bs b where bj: <csπ j = bs@[b]> using cs-not-nil by (metis rev-exhaust)
ultimately
have <csπ i = bs@[b,a]@as> using pfx by auto
then obtain m where <csπ m = bs@[b]> and cdep: <i cdπ→ m> using cs-split' by blast
hence mi: <m = j> using bj cs-inj by (metis is-cdi-def term-path-stable less-imp-le)
hence <i cdπ→ j> using cdep by auto
hence <False> using ilj unfolding is-cdi-def by auto
thus <i' < j'> ..
next
case (prefix2 xs)
have pfx : <csπ' i' @ xs = csπ' j'> using prefix2 csi csj by auto
note xs = <xs ≠ []>
obtain a as where <xs = a#as> using xs by (metis list.exhaust)
moreover
obtain bs b where bj: <csπ' i' = bs@[b]> using cs-not-nil by (metis rev-exhaust)
ultimately
have <csπ' j' = bs@[b,a]@as> using pfx by auto
then obtain m where <csπ' m = bs@[b]> and cdep: <j' cdπ'→ m> using cs-split' by blast
hence mi: <m = i'> using bj cs-inj by (metis is-cdi-def term-path-stable less-imp-le)
hence <j' cdπ'→ i'> using cdep by auto
thus <i' < j'> unfolding is-cdi-def by auto
qed
qed

```

lemma *cs-order-le*: **assumes** *path*: $\langle \text{is-path } \pi \rangle \langle \text{is-path } \pi' \rangle$ **and** *csi*: $\langle cs^\pi i = cs^{\pi'} i' \rangle$ **and** *csj*: $\langle cs^\pi j = cs^{\pi'} j' \rangle$ **and** *nret*: $\langle \pi i \neq \text{return} \rangle$ **and** *ilj*: $\langle i \leq j \rangle$ **shows** $\langle i \leq j' \rangle$ **proof cases**

assume $\langle i < j \rangle$ **with** *cs-order*[*OF assms(1,2,3,4,5)*] **show** $\langle ?\text{thesis} \rangle$ **by** *simp*
next

assume $\neg i < j$

hence $\langle i = j \rangle$ **using** *ilj* **by** *simp*

hence *csij*: $\langle cs^{\pi'} i' = cs^{\pi'} j' \rangle$ **using** *csi csj* **by** *simp*

have *nret'*: $\langle \pi' i' \neq \text{return} \rangle$ **using** *nret last-cs csi* **by** *metis*

show $\langle ?\text{thesis} \rangle$ **using** *cs-inj*[*OF path(2) nret' csij*] **by** *simp*

qed

lemmas *cs-induct*[*case-names cs*] = *cs.induct*

lemma *icdi-path-swap*: **assumes** *is-path* π' $\langle j \text{ icd}^{\pi} \rightarrow k \rangle$ $\langle \pi =_j \pi' \rangle$ **shows** $\langle j \text{ icd}^{\pi'} \rightarrow k \rangle$ **using** *assms unfolding eq-up-to-def is-icdi-def is-cdi-def* **by** *auto*

lemma *icdi-path-swap-le*: **assumes** *is-path* π' $\langle j \text{ icd}^{\pi} \rightarrow k \rangle$ $\langle \pi =_n \pi' \rangle$ $\langle j \leq n \rangle$ **shows** $\langle j \text{ icd}^{\pi'} \rightarrow k \rangle$ **by** (*metis assms icdi-path-swap eq-up-to-le*)

lemma *cs-path-swap*: **assumes** *is-path* π $\langle \text{is-path } \pi' \rangle$ $\langle \pi =_k \pi' \rangle$ **shows** $\langle cs^\pi k = cs^{\pi'} k \rangle$ **using** *assms(1,3)*
proof (*induction* $\langle \pi \rangle \langle k \rangle$ *rule:cs-induct,cases*)

case (*cs* π k)

let $\langle ?l \rangle = \langle (\text{THE } l. k \text{ icd}^{\pi} \rightarrow l) \rangle$

assume *: $\exists l. k \text{ icd}^{\pi} \rightarrow l$

have *kidc*: $\langle k \text{ icd}^{\pi} \rightarrow ?l \rangle$ **by** (*metis * icd-is-the-icd*)

hence $\langle ?l < k \rangle$ **unfolding** *is-cdi-def*[*of* $\langle k \rangle \langle \pi \rangle \langle ?l \rangle$] *is-icdi-def*[*of* $\langle k \rangle \langle \pi \rangle \langle ?l \rangle$] **by** *auto*

hence $\forall i \leq ?l. \pi i = \pi' i$ **using** *cs(2,3) unfolding eq-up-to-def* **by** *auto*

hence *csl*: $\langle cs^\pi ?l = cs^{\pi'} ?l \rangle$ **using** *cs(1,2) * unfolding eq-up-to-def* **by** *auto*

have *kidc*: $\langle k \text{ icd}^{\pi} \rightarrow ?l \rangle$ **by** (*metis * icd-is-the-icd*)

hence *csk*: $\langle cs^\pi k = cs^{\pi'} ?l @ [\pi k] \rangle$ **using** *kidc* **by** *auto*

have *kidc'*: $\langle k \text{ icd}^{\pi'} \rightarrow ?l \rangle$ **using** *kidc icdi-path-swap*[*OF assms(2) - cs(3)*] **by** *simp*

hence $\langle ?l = (\text{THE } l. k \text{ icd}^{\pi'} \rightarrow l) \rangle$ **by** (*metis icd-is-the-icd*)

hence *csk'*: $\langle cs^{\pi'} k = cs^{\pi'} ?l @ [\pi' k] \rangle$ **using** *kidc'* **by** *auto*

have $\langle \pi' k = \pi k \rangle$ **using** *cs(3) unfolding eq-up-to-def* **by** *auto*

with *csl csk csk'*

show $\langle ?\text{case} \rangle$ **by** *auto*

next

case (*cs* π k)

assume *: $\neg (\exists l. k \text{ icd}^{\pi} \rightarrow l)$

hence *csk*: $\langle cs^\pi k = [\pi k] \rangle$ **by** *auto*

have $\neg (\exists l. k \text{ icd}^{\pi'} \rightarrow l)$ **apply** (*rule ccontr*) **using** * *icdi-path-swap-le*[*OF cs(2) -, of* $\langle k \rangle \langle \pi' \rangle$ *cs(3)* **by** (*metis eq-up-to-sym le-refl*)

hence *csk'*: $\langle cs^{\pi'} k = [\pi' k] \rangle$ **by** *auto*

with *csk* show $\langle ?\text{case} \rangle$ **using** *cs(3) eq-up-to-apply* **by** *auto*

qed

lemma *cs-path-swap-le*: **assumes** *is-path* π $\langle \text{is-path } \pi' \rangle$ $\langle \pi =_n \pi' \rangle$ $\langle k \leq n \rangle$ **shows** $\langle cs^\pi k = cs^{\pi'} k \rangle$ **by** (*metis assms cs-path-swap eq-up-to-le*)

lemma *cs-path-swap-cd*: **assumes** *is-path* π **and** *is-path* π' **and** *cs* $^\pi n = cs^{\pi'} n' **and** $\langle n \text{ cd}^{\pi} \rightarrow k \rangle$ **obtains** k' **where** $\langle n' \text{ cd}^{\pi'} \rightarrow k' \rangle$ **and** $\langle cs^\pi k = cs^{\pi'} k' \rangle$$

proof –

from $cd\text{-in}\text{-}cs[OF \text{assms}(4)]$
obtain ns **where** $*: \langle cs^\pi n = cs^\pi k @ ns @ [\pi n] \rangle$ **by** *blast*
obtain $xs x$ **where** $csk: \langle cs^\pi k = xs @ [x] \rangle$ **by** (*metis cs-not-nil rev-exhaust*)
have $\langle \pi n = \pi' n' \rangle$ **using** $\text{assms}(3)$ *last-cs* **by** *metis*
hence $**: \langle cs^{\pi'} n' = xs @ [x] @ ns @ [\pi' n'] \rangle$ **using** $* \text{assms}(3)$ csk **by** *auto*
from $cs\text{-split}[OF **]$
obtain k' **where** $\langle cs^{\pi'} k' = xs @ [x] \rangle$ $\langle n' cd^{\pi'} \rightarrow k' \rangle$ **by** *blast*
thus $\langle \text{thesis} \rangle$ **using** *that* csk **by** *auto*
qed

lemma $path\text{-}ipd\text{-}swap$: **assumes** $\langle \text{is-path } \pi \rangle$ $\langle \pi k \neq \text{return} \rangle$ $\langle k < n \rangle$
obtains $\pi' m$ **where** $\langle \text{is-path } \pi' \rangle$ $\langle \pi =_n \pi' \rangle$ $\langle k < m \rangle$ $\langle \pi' m = ipd(\pi' k) \rangle$ $\langle \forall l \in \{k..<m\}. \pi' l \neq ipd(\pi' k) \rangle$
proof –

obtain $\pi' r$ **where** $*: \langle \pi' 0 = \pi n \rangle$ $\langle \text{is-path } \pi' \rangle$ $\langle \pi' r = \text{return} \rangle$ **by** (*metis assms(1) path-nodes reaching-ret*)

let $\langle ?\pi \rangle = \langle \pi @^n \pi' \rangle$

have $\text{path}: \langle \text{is-path } ?\pi \rangle$ **and** $\text{ret}: \langle ?\pi (n + r) = \text{return} \rangle$ **and** $\text{equpto}: \langle ?\pi =_n \pi \rangle$ **using** $\text{assms path-cons} * \text{path-append-eq-up-to}$ **by** *auto*

have $\pi k: \langle ?\pi k = \pi k \rangle$ **by** (*metis assms(3) less-imp-le-nat path-append-def*)

obtain j **where** $j: \langle k < j \wedge j \leq (n + r) \wedge ?\pi j = ipd(\pi k) \rangle$ **(is** $\langle ?P j \rangle$ **)** **by** (*metis πk assms(2) path path-ret-ipd ret*)

define m **where** $m: \langle m \equiv LEAST m . ?P m \rangle$

have $Pm: \langle ?P m \rangle$ **using** $\text{LeastI}[of \langle ?P \rangle j m]$ $j m$ **by** *auto*

hence $km: \langle k < m \rangle$ $\langle m \leq (n + r) \rangle$ $\langle ?\pi m = ipd(\pi k) \rangle$ **by** *auto*

have $le: \langle \bigwedge l. ?P l \implies m \leq l \rangle$ **using** $\text{Least-le}[of \langle ?P \rangle] m$ **by** *blast*

have $\pi knipd: \langle ?\pi k \neq ipd(\pi k) \rangle$ **by** (*metis πk assms(1) assms(2) ipd-not-self path-nodes*)

have $nipd': \langle \bigwedge l. k < l \implies l < m \implies ?\pi l \neq ipd(\pi k) \rangle$ **apply** (*rule ccontr*) **using** $le km(2)$ **by** *force*

have $\langle \forall l \in \{k..<m\}. ?\pi l \neq ipd(\pi k) \rangle$ **using** $\pi knipd nipd'$ **by** (*auto, metis le-eq-less-or-eq, metis le-eq-less-or-eq*)

thus $\langle \text{thesis} \rangle$ **using** *that* **by** (*metis πk eq-up-to-sym km(1) km(3) path path-append-eq-up-to*)

qed

lemma $cs\text{-sorted-list-of-cd}'$: $\langle cs^\pi k = map \pi (\text{sorted-list-of-set} \{ i . k cd^{\pi'} \rightarrow i \}) @ [\pi k] \rangle$
proof (*induction* $\langle \pi \rangle$ $\langle k \rangle$ *rule*: $cs.induct$, *cases*)

case $(1 \pi k)$

assume $\exists j. k icd^{\pi'} \rightarrow j$

then obtain j **where** $j: k icd^{\pi'} \rightarrow j ..$

hence $csj: \langle cs^\pi j = map \pi (\text{sorted-list-of-set} \{ i . j cd^{\pi'} \rightarrow i \}) @ [\pi j] \rangle$ **by** (*metis 1.IH icd-is-the-icd*)

have $\langle i . k cd^{\pi'} \rightarrow i \rangle = insert j \{ i . j cd^{\pi'} \rightarrow i \}$ **using** $\text{cdi-is-cd-icdi}[OF j]$ **by** *auto*

moreover

have $f: \langle \text{finite } \{ i . j cd^{\pi'} \rightarrow i \} \rangle$ **unfolding** *is-cdi-def* **by** *auto*

moreover

have $\langle j \notin \{ i . j cd^{\pi'} \rightarrow i \} \rangle$ **unfolding** *is-cdi-def* **by** *auto*

ultimately

have $\langle \text{sorted-list-of-set} \{ i . k cd^{\pi'} \rightarrow i \} = insort j (\text{sorted-list-of-set} \{ i . j cd^{\pi'} \rightarrow i \}) \rangle$ **using** *sorted-list-of-set-insert* **by** *auto*

moreover

have $\langle \forall x \in \{ i . j cd^{\pi'} \rightarrow i \}. x < j \rangle$ **unfolding** *is-cdi-def* **by** *auto*

hence $\langle \forall x \in \text{set} (\text{sorted-list-of-set} \{ i . j cd^{\pi'} \rightarrow i \}). x < j \rangle$ **by** (*simp add: f*)

ultimately

have $\langle \text{sorted-list-of-set} \{ i . k cd^{\pi'} \rightarrow i \} = (\text{sorted-list-of-set} \{ i . j cd^{\pi'} \rightarrow i \}) @ [j] \rangle$ **using** *insort-greater* **by** *auto*

hence $\langle cs^\pi j = map \pi (\text{sorted-list-of-set} \{ i . k cd^{\pi'} \rightarrow i \}) \rangle$ **using** csj **by** *auto*

thus $\langle ?\text{case} \rangle$ **by** (*metis icd-cs j*)

next

case $(1 \pi k)$

assume $*: \neg (\exists j. k icd^{\pi'} \rightarrow j)$

hence $\langle cs^\pi k = [\pi k] \rangle$ **by** (metis cs-cases)
moreover
have $\langle \{i . k cd^\pi \rightarrow i\} = \{\} \rangle$ **by** (auto, metis * excd-impl-excd)
ultimately
show $\langle ?case \rangle$ **by** (metis append-Nil list.simps(8) sorted-list-of-set-empty)
qed

lemma cs-sorted-list-of-cd: $\langle cs^\pi k = map \pi (sorted-list-of-set (\{i . k cd^\pi \rightarrow i\} \cup \{k\})) \rangle$ **proof** –
have le: $\langle \forall x \in \{i . k cd^\pi \rightarrow i\}. \forall y \in \{k\}. x < y \rangle$ **unfolding** is-cdi-def **by** auto
have fin: $\langle finite \{i . k cd^\pi \rightarrow i\} \rangle$ $\langle finite \{k\} \rangle$ **unfolding** is-cdi-def **by** auto
show $\langle ?thesis \rangle$ **unfolding** cs-sorted-list-of-cd'[of $\langle \pi \rangle \langle k \rangle$] sorted-list-of-set-append[OF fin le] **by** auto
qed

lemma cs-not-ipd: **assumes** $\langle k cd^\pi \rightarrow j \wedge ipd(\pi j) \neq ipd(\pi k) \rangle$ (**is** $\langle ?Q j \rangle$)
shows $\langle cs^\pi (GREATEST j. k cd^\pi \rightarrow j \wedge ipd(\pi j) \neq ipd(\pi k)) = [n \leftarrow cs^\pi k . ipd n \neq ipd(\pi k)] \rangle$
(**is** $\langle cs^\pi ?j = filter ?P \rightarrow \rangle$)
proof –
have csk: $\langle cs^\pi k = map \pi (sorted-list-of-set (\{i . k cd^\pi \rightarrow i\} \cup \{k\})) \rangle$ **by** (metis cs-sorted-list-of-cd)
have csj: $\langle cs^\pi ?j = map \pi (sorted-list-of-set (\{i . ?j cd^\pi \rightarrow i\} \cup \{?j\})) \rangle$ **by** (metis cs-sorted-list-of-cd)
have bound: $\langle \forall j. k cd^\pi \rightarrow j \wedge ipd(\pi j) \neq ipd(\pi k) \longrightarrow j \leq k \rangle$ **unfolding** is-cdi-def **by** simp
have kcdj: $\langle k cd^\pi \rightarrow ?j \text{ and } ipd': ipd(\pi ?j) \neq ipd(\pi k) \rangle$ **using** GreatestI-nat[of $\langle ?Q \rangle \langle j \rangle \langle k \rangle$, OF assms]
bound **by** auto
have greatest: $\langle \forall j. k cd^\pi \rightarrow j \implies ipd(\pi j) \neq ipd(\pi k) \implies j \leq ?j \rangle$ **using** Greatest-le-nat[of $\langle ?Q \rangle - \langle k \rangle$]
bound **by** auto
have less-not-ipdk: $\langle \forall j. k cd^\pi \rightarrow j \implies j < ?j \implies ipd(\pi j) \neq ipd(\pi k) \rangle$ **by** (metis (lifting) ipd' kcdj same-ipd-stable)
hence le-not-ipdk: $\langle \forall j. k cd^\pi \rightarrow j \implies j \leq ?j \implies ipd(\pi j) \neq ipd(\pi k) \rangle$ **using** kcdj ipd' **by** (case-tac $\langle j = ?j \rangle$, auto)
have *: $\langle \{j \in \{i . k cd^\pi \rightarrow i\} \cup \{k\}. ?P(\pi j)\} = insert ?j \{i . ?j cd^\pi \rightarrow i\} \rangle$
apply auto
apply (metis (lifting, no-types) greatest cr-wn'' kcdj le-antisym le-refl)
apply (metis kcdj)
apply (metis ipd')
apply (metis (full-types) cd-trans kcdj)
apply (subgoal-tac $\langle k cd^\pi \rightarrow x \rangle$)
apply (metis (lifting, no-types) is-cdi-def less-not-ipdk)
by (metis (full-types) cd-trans kcdj)
have finite: $\langle finite (\{i . k cd^\pi \rightarrow i\} \cup \{k\}) \rangle$ **unfolding** is-cdi-def **by** auto
note filter-sorted-list-of-set[OF this, of $\langle ?P o \pi \rangle$]
hence $\langle [n \leftarrow cs^\pi k . ipd n \neq ipd(\pi k)] = map \pi (sorted-list-of-set \{j \in \{i . k cd^\pi \rightarrow i\} \cup \{k\}. ?P(\pi j)\}) \rangle$
unfolding csk filter-map **by** auto
also
have ... = $\langle map \pi (sorted-list-of-set (insert ?j \{i . ?j cd^\pi \rightarrow i\})) \rangle$ **unfolding** * **by** auto
also
have ... = $\langle cs^\pi ?j \rangle$ **using** csj **by** auto
finally
show $\langle ?thesis \rangle$ **by** metis
qed

lemma cs-ipd: **assumes** ipd: $\langle \pi m = ipd(\pi k) \rangle$ $\langle \forall n \in \{k..m\}. \pi n \neq ipd(\pi k) \rangle$
and km: $\langle k < m \rangle$ **shows** $\langle cs^\pi m = [n \leftarrow cs^\pi k . ipd n \neq \pi m] @ [\pi m] \rangle$
proof cases
assume $\exists j. m icd^\pi \rightarrow j$

then obtain j **where** $jicd: \langle m \text{ icd}^\pi \rightarrow j \rangle$ **by** *blast*
hence $\ast: \langle cs^\pi m = cs^\pi j @ [\pi m] \rangle$ **by** (*metis icd-cs*)
have $j: \langle j = (\text{GREATEST } j. k \text{ cd}^\pi \rightarrow j) \wedge ipd(\pi j) \neq \pi m \rangle$ **using** *jicd assms ipd-icd-greatest-cd-not-ipd by blast*
moreover
have $\langle ipd(\pi j) \neq ipd(\pi k) \rangle$ **by** (*metis is-cdi-def is-icdi-def is-ipd-def cd-not-pd ipd(1) ipd-is-ipd jicd path-nodes less-imp-le term-path-stable*)
moreover
have $\langle k \text{ cd}^\pi \rightarrow j \rangle$ **unfolding** j **by** (*metis (lifting, no-types) assms(3) cd-ipd-is-cd icd-imp-cd ipd(1) ipd(2) j jicd*)
ultimately
have $\langle cs^\pi j = [n \leftarrow cs^\pi k . ipd n \neq \pi m] \rangle$ **using** *cs-not-ipd[of ⟨k⟩ ⟨π⟩ ⟨j⟩] ipd(1) by metis*
thus $\langle ?\text{thesis} \rangle$ **using** \ast **by** *metis*
next
assume *noicd*: $\langle \neg (\exists j. m \text{ icd}^\pi \rightarrow j) \rangle$
hence *csm*: $\langle cs^\pi m = [\pi m] \rangle$ **by** *auto*
have $\langle \bigwedge j. k \text{ cd}^\pi \rightarrow j \implies ipd(\pi j) = \pi m \rangle$ **using** *cd-is-cd-ipd[OF km ipd]* **by** (*metis excd-impl-exicd noicd*)
hence $\ast: \langle \{j \in \{i. k \text{ cd}^\pi \rightarrow i\} \cup \{k\}. ipd(\pi j) \neq \pi m\} = \{\} \rangle$ **using** *ipd(1) by auto*
have $\ast\ast: \langle ((\lambda n. ipd n \neq \pi m) o \pi) = (\lambda n. ipd(\pi n) \neq \pi m) \rangle$ **by** *auto*
have *fin*: $\langle \text{finite } (\{i. k \text{ cd}^\pi \rightarrow i\} \cup \{k\}) \rangle$ **unfolding** *is-cdi-def* **by** *auto*
note *csk* = *cs-sorted-list-of-cd*[of ⟨π⟩ ⟨k⟩]
hence $\langle [n \leftarrow cs^\pi k . ipd n \neq \pi m] = [n \leftarrow (\text{map } \pi (\text{sorted-list-of-set } (\{i. k \text{ cd}^\pi \rightarrow i\} \cup \{k\}))) . ipd n \neq \pi m] \rangle$
by *simp*
also
have $\langle \dots = \text{map } \pi [n \leftarrow \text{sorted-list-of-set } (\{i. k \text{ cd}^\pi \rightarrow i\} \cup \{k\}). ipd(\pi n) \neq \pi m] \rangle$ **by** (*auto simp add: filter-map $\ast\ast$*)
also
have $\langle \dots = [] \rangle$ **unfolding** \ast *filter-sorted-list-of-set[OF fin, of ⟨λn. ipd(π n) ≠ π m⟩]* **by** *auto*
finally
show $\langle ?\text{thesis} \rangle$ **using** *csm* **by** (*metis append-Nil*)
qed

lemma *converged-ipd-same-icd*: **assumes** *path*: $\langle \text{is-path } \pi \rangle$ $\langle \text{is-path } \pi' \rangle$ **and** *converge*: $\langle l < m \rangle$ $\langle cs^\pi m = cs^{\pi'} m' \rangle$
and *csk*: $\langle cs^\pi k = cs^{\pi'} k' \rangle$ **and** *icd*: $\langle l \text{ icd}^\pi \rightarrow k \rangle$ **and** *suc*: $\langle \pi(\text{Suc } k) = \pi'(\text{Suc } k') \rangle$
and *ipd*: $\langle \pi' m' = ipd(\pi k) \rangle$ $\langle \forall n \in \{k'..m'\}. \pi' n \neq ipd(\pi k) \rangle$
shows $\langle \exists l'. cs^\pi l = cs^{\pi'} l' \rangle$
proof cases
assume $l: \langle l = \text{Suc } k \rangle$
hence $\langle \text{Suc } k \text{ cd}^\pi \rightarrow k \rangle$ **using** *icd* **by** (*metis is-icdi-def*)
hence $\langle \pi(\text{Suc } k) \neq ipd(\pi k) \rangle$ **unfolding** *is-cdi-def* **by** *auto*
hence $\langle \pi'(\text{Suc } k') \neq ipd(\pi' k') \rangle$ **by** (*metis csk last-cs suc*)
moreover
have $\langle \pi'(\text{Suc } k') \neq \text{return} \rangle$ **by** (*metis Suc k cd^\pi → k ret-no-cd suc*)
ultimately
have $\langle \text{Suc } k' \text{ cd}^{\pi'} \rightarrow k' \rangle$ **unfolding** *is-cdi-def* **using** *path(2)* **apply** *auto*
by (*metis ipd-not-self le-Suc-eq le-antisym path-nodes term-path-stable*)
hence $\langle \text{Suc } k' \text{ icd}^{\pi'} \rightarrow k' \rangle$ **unfolding** *is-icdi-def* **using** *path(2)* **by** *fastforce*
hence $\langle cs^{\pi'}(\text{Suc } k') = cs^{\pi'} k' @ [\pi'(\text{Suc } k')] \rangle$ **using** *icd-cs* **by** *auto*
moreover
have $\langle cs^\pi l = cs^\pi k @ [\pi l] \rangle$ **using** *icd icd-cs* **by** *auto*
ultimately
have $\langle cs^\pi l = cs^{\pi'}(\text{Suc } k') \rangle$ **by** (*metis csk l suc*)
thus $\langle ?\text{thesis} \rangle$ **by** *blast*
next

```

assume nsuck:  $\langle l \neq \text{Suc } k \rangle$ 
have kk'[simp]:  $\langle \pi' k' = \pi k \rangle$  by (metis csk last-cs)
have kl:  $\langle k < l \rangle$  using icd unfolding is-icdi-def is-cdi-def by auto
hence skl:  $\langle \text{Suc } k < l \rangle$  by (metis Suc-lessI nsuck)
hence lpd:  $\langle \pi l \text{ pd} \rightarrow \pi (\text{Suc } k) \rangle$  using icd icd-pd-intermediate by auto
have km:  $\langle k < m \rangle$  by (metis converge(1) kl order.strict-trans)
have lcd:  $\langle l \text{ cd}^\pi \rightarrow k \rangle$  using icd is-icdi-def by auto
hence ipdk-pdl:  $\langle \text{ipd } (\pi k) \text{ pd} \rightarrow (\pi l) \rangle$  by (metis ipd-pd-cd)
have *:  $\langle \text{ipd } (\pi k) \in \text{nodes} \rangle$  by (metis ipdk-pdl pd-node1)
have nretk:  $\langle \pi k \neq \text{return} \rangle$  by (metis kl lcd path(1) ret-no-cd term-path-stable less-imp-le)
have **:  $\langle \neg (\pi l) \text{ pd} \rightarrow \text{ipd } (\pi k) \rangle$  proof
  assume a:  $\langle \pi l \text{ pd} \rightarrow \text{ipd } (\pi k) \rangle$ 
  hence  $\langle \pi l \text{ pd} \rightarrow (\pi k) \rangle$  by (metis is-ipd-def  $\langle k < l \rangle$  ipd-is-ipd ipdk-pdl path(1) path-nodes pd-antisym term-path-stable less-imp-le)
  moreover
  have  $\langle \pi l \neq (\pi k) \rangle$  by (metis * a ipd-not-self ipdk-pdl lcd pd-antisym ret-no-cd)
  ultimately
  show  $\langle \text{False} \rangle$  using lcd cd-not-pd by auto
qed

have km':  $\langle k' < m' \rangle$  using cs-order[OF path csk converge(2) nretk km] .

obtain  $\pi'' n''$  where path'':  $\langle \text{is-path } \pi'' \rangle$  and  $\pi'' 0: \langle \pi'' 0 = \text{ipd } (\pi k) \rangle$  and  $\pi'' n: \langle \pi'' n'' = \text{return} \rangle$  and not $\pi l$ :  $\langle \forall i \leq n''. \pi'' i \neq \pi l \rangle$  using no-pd-path[OF **] .
let  $\langle ?\pi' \rangle = \langle (\pi' @^{m'} \pi'') \ll \text{Suc } k' \rangle$ 
have  $\langle \text{is-path } ?\pi' \rangle$  by (metis  $\pi'' 0$  ipd(1) path'' path(2) path-cons path-path-shift)
moreover
have  $\langle ?\pi' 0 = \pi (\text{Suc } k) \rangle$  using km' suc by auto
moreover
have  $\langle ?\pi' (m' - \text{Suc } k' + n'') = \text{return} \rangle$  using  $\pi'' n$  km'  $\pi'' 0$  ipd(1) by auto
ultimately
obtain l'':  $\langle l'' \leq m' - \text{Suc } k' + n'' \rangle$   $\langle ?\pi' l'' = \pi l \rangle$  using lpd unfolding is-pd-def by blast
have l''m:  $\langle l'' \leq m' - \text{Suc } k' \rangle$  apply (rule ccontr) using l'' not $\pi l$  km' by (cases  $\langle \text{Suc } (k' + l'') \leq m' \rangle$ , auto)
let  $\langle ?l' \rangle = \langle \text{Suc } (k' + l'') \rangle$ 
have lm':  $\langle ?l' \leq m' \rangle$  using l''m km' by auto

```

— Now we have found our desired l'

```

have 1:  $\langle \pi' ?l' = \pi l \rangle$  using l'' l''m lm' by auto
have 2:  $\langle k' < ?l' \rangle$  by simp
have 3:  $\langle ?l' < m' \rangle$  apply (rule ccontr) using lm' by (simp, metis ** 1 ipd(1) ipdk-pdl)

```

— Need the least such l'

```
let  $\langle ?P \rangle = \langle \lambda l'. \pi' l' = \pi l \wedge k' < l' \wedge l' < m' \rangle$ 
```

```
have *:  $\langle ?P ?l' \rangle$  using 1 2 3 by blast
```

```
define l' where l':  $\langle l' == \text{LEAST } l'. ?P l' \rangle$ 
```

```

have  $\pi l': \langle \pi' l' = \pi l \rangle$  using l' 1 2 3 LeastI[of  $\langle ?P \rangle$ ] by blast
have kl':  $\langle k' < l' \rangle$  using l' 1 2 3 LeastI[of  $\langle ?P \rangle$ ] by blast
have lm':  $\langle l' < m' \rangle$  using l' 1 2 3 LeastI[of  $\langle ?P \rangle$ ] by blast

```

```
have nretl':  $\langle \pi' l' \neq \text{return} \rangle$  by (metis  $\pi'' n$   $\pi l'$  le-refl not $\pi l$ )
```

```
have nipd':  $\langle \forall j \in \{k'..l'\}. \pi' j \neq \text{ipd } (\pi' k') \rangle$  using lm' kk' ipd(2) kl' by force
```

```

have lcd': <l' cd $\pi'$  → k'> by (metis is-cdi-def kl' nippd' nretl' path(2))

have lcd: <l' icd $\pi'$  → k'> proof -
  have <∀ m ∈ {k'..l'}. ¬ l' cd $\pi'$  → m> proof (rule ccontr)
    assume <¬ ( ∀ m ∈ {k'..l'}. ¬ l' cd $\pi'$  → m)>
    then obtain j' where kj': <k' < j'> and jl': <j' < l'> and lcdj': <l' cd $\pi'$  → j'> by force
    have jm': <j' < m'> by (metis jl' lm' order.strict-trans)
    have <π' j' ≠ π l> apply (rule ccontr) using l' kj' jm' jl' Least-le[of <?P> <j'>] by auto
    hence <¬ π' l' pd → π' j'> using cd-not-pd lcdj' πl' by metis
    moreover have <π' j' ∈ nodes> using path(2) path-nodes by auto
    ultimately
    obtain π1 n1 where path1: <is-path π1> and πθ1: <π1 0 = π' j'> and πn1: <π1 n1 = return> and nl': <∀ l ≤ n1. π1 l ≠ π' l'> unfolding is-pd-def by blast
      let <?π''> = <(π'@j' π1) « Suc k'>
      have <is-path ?π''> by (metis πθ1 path(2) path1 path-cons path-path-shift)
      moreover
        have <?π'' 0 = π (Suc k)> by (simp, metis kj' less-eq-Suc-le suc)
        moreover
          have kj': <Suc k' ≤ j'> by (metis kj' less-eq-Suc-le)
          hence <?π'' (j' - Suc k' + n1) = return> by (simp, metis πθ1 πn1)
          ultimately
          obtain l'' where *: <?π'' l'' = π l> and **: <l'' ≤ j' - Suc k' + n1> using lpd is-pd-def by blast
          show <False> proof (cases)
            assume a: <l'' ≤ j' - Suc k'>
            hence <π' (l'' + Suc k') = π l> using * kj' by (simp, metis Nat.le-diff-conv2 add-Suc diff-add-inverse le-add1 le-add-diff-inverse2)
            moreover
              have <l'' + Suc k' < l'> by (metis a jl' add-diff-cancel-right' kj' le-add-diff-inverse less-imp-diff-less ordered-cancel-comm-monoid-diff-class.le-diff-conv2)
            moreover
              have <l'' + Suc k' < m'> by (metis Suc-lessD calculation(2) less-trans-Suc lm')
            moreover
              have <k' < l'' + Suc k'> by simp
              ultimately
              show <False> using Least-le[of <?P> <l'' + Suc k'>] l' by auto
            next
              assume a: <¬ l'' ≤ j' - Suc k'>
              hence <¬ Suc (k' + l') ≤ j'> by simp
              hence <π1 (Suc (k' + l') - j') = π l> using ** kj' by simp
              moreover
              have <Suc (k' + l') - j' ≤ n1> using ** kj' by simp
              ultimately
              show <False> using nl' by (metis πl')
            qed
          qed
        thus <?thesis> unfolding is-icdi-def using lcd' path(2) by simp
      qed
      hence <cs $\pi'$  l' = cs $\pi'$  k' @ [π' l']> by (metis icd-cs)
      hence <cs $\pi'$  l' = cs $\pi$  l> by (metis πl' csk icd icd-cs)
      thus <?thesis> by metis
    qed
  lemma converged-same-icd: assumes path: <is-path π> <is-path π'> and converge: <l < n> <cs $\pi$  n = cs $\pi'$  n'> and csk: <cs $\pi$  k = cs $\pi'$  k'> and icd: <l icd $\pi$  → k> and suc: <π (Suc k) = π' (Suc k')>
```

shows $\exists l'. cs^\pi l = cs^{\pi'} l'$ proof –

```

have nret:  $\langle \pi k \neq \text{return} \rangle$  using  $\text{icd}$  unfolding  $\text{is-icdi-def}$   $\text{is-cdi-def}$  using  $\text{term-path-stable}$   $\text{less-imp-le}$  by
metis
have kl:  $\langle k < l \rangle$  using  $\text{icd}$  unfolding  $\text{is-icdi-def}$   $\text{is-cdi-def}$  by auto
have kn:  $\langle k < n \rangle$  using  $\text{converge}$   $kl$  by simp
from path-ipd-swap[ $\text{OF path}(1)$   $nret kn$ ]
obtain  $\varrho m$  where  $\text{path}\varrho: \langle \text{is-path } \varrho \rangle$  and  $\pi\varrho: \langle \pi =_n \varrho \rangle$  and  $km: \langle k < m \rangle$  and  $\text{ipd}: \langle \varrho m = \text{ipd}(\varrho k) \rangle$   $\forall l \in \{k..<m\}$ .  $\varrho l \neq \text{ipd}(\varrho k)$ .
have csk1:  $\langle cs^\varrho k = cs^\pi k \rangle$  using  $\text{cs-path-swap-le}$  path  $\text{path}\varrho \pi\varrho kn$  by auto
have suc\varrho:  $\langle \varrho (\text{Suc } k) = \pi (\text{Suc } k) \rangle$  by (metis  $\pi\varrho$  eq-up-to-def  $kn$  less-eq-Suc-le)

have nret':  $\langle \pi' k' \neq \text{return} \rangle$  by (metis  $\text{csk last-cs}$   $nret$ )
have kn':  $\langle k' < n' \rangle$  using  $\text{cs-order}$ [ $\text{OF path csk converge}(2)$   $nret' kn'$ ] .
from path-ipd-swap[ $\text{OF path}(2)$   $nret' kn'$ ]
obtain  $\varrho' m'$  where  $\text{path}\varrho': \langle \text{is-path } \varrho' \rangle$  and  $\pi\varrho': \langle \pi' =_{n'} \varrho' \rangle$  and  $km': \langle k' < m' \rangle$  and  $\text{ipd}': \langle \varrho' m' = \text{ipd}(\varrho' k') \rangle$   $\forall l \in \{k'..<m'\}$ .  $\varrho' l \neq \text{ipd}(\varrho' k')$ .
have csk1':  $\langle cs^{\varrho'} k' = cs^{\pi'} k' \rangle$  using  $\text{cs-path-swap-le}$  path  $\text{path}\varrho' \pi\varrho' kn'$  by auto
have suc\varrho':  $\langle \varrho' (\text{Suc } k') = \pi' (\text{Suc } k') \rangle$  by (metis  $\pi\varrho'$  eq-up-to-def  $kn'$  less-eq-Suc-le)

have icd\varrho:  $\langle l \text{ icd}\varrho \rightarrow k \rangle$  using  $\text{icdi-path-swap-le}$ [ $\text{OF path}\varrho \text{ icd } \pi\varrho$ ] converge by simp
have lm:  $\langle l < m \rangle$  using  $\text{ipd}(1)$   $\text{icd}\varrho km$  unfolding  $\text{is-icdi-def}$   $\text{is-cdi-def}$  by auto
have csk':  $\langle cs^\varrho k = cs^{\varrho'} k' \rangle$  using  $\text{csk1 csk1' csk}$  by auto
hence kk':  $\langle \varrho' k' = \varrho k \rangle$  using  $\text{last-cs}$  by metis
have suc':  $\langle \varrho (\text{Suc } k) = \varrho' (\text{Suc } k') \rangle$  using  $\text{suc suc}\varrho \text{ suc}\varrho'$  by auto
have mm':  $\langle \varrho' m' = \varrho m \rangle$  using  $\text{ipd}(1)$   $\text{ipd}'(1)$   $kk'$  by auto
from cs-ipd[ $\text{OF ipd km}$ ] cs-ipd[ $\text{OF ipd}' km', unfolded mm'$ , folded csk']
have csm:  $\langle cs^\varrho m = cs^{\varrho'} m' \rangle$  by metis
from converged-ipd-same-icd[ $\text{OF path}\varrho \text{ path}\varrho' lm \text{ csm csk' icd}\varrho \text{ suc' ipd}'[\text{unfolded kk'}]$ ]
obtain l' where csl:  $\langle cs^\varrho l = cs^{\varrho'} l' \rangle$  by blast
have csl\varrho:  $\langle cs^\pi l = cs^\varrho l \rangle$  using  $\pi\varrho$  converge(1)  $\text{cs-path-swap-le}$  less-imp-le-nat path(1)  $\text{path}\varrho$  by blast
have nretl:  $\langle \varrho l \neq \text{return} \rangle$  by (metis  $\text{icd}\varrho \text{ icd-imp-cd}$  ret-no-cd)
have csn':  $\langle cs^\varrho n = cs^{\varrho'} n' \rangle$  using converge(2)  $\text{cs-path-swap}$  path  $\text{path}\varrho \text{ path}\varrho' \pi\varrho \pi\varrho'$  by auto
have ln':  $\langle l' < n' \rangle$  using  $\text{cs-order}$ [ $\text{OF path}\varrho \text{ path}\varrho' csl csn' nretl$  converge(1)] .
have csl\varrho':  $\langle cs^{\pi'} l' = cs^{\varrho'} l' \rangle$  using  $\text{cs-path-swap-le}$ [ $\text{OF path}(2)$   $\text{path}\varrho' \pi\varrho'$ ]  $ln'$  by auto
have csl':  $\langle cs^\pi l = cs^{\pi'} l' \rangle$  using  $\text{csl}\varrho \text{ csl}\varrho' csl$  by auto
thus  $\langle ?\text{thesis} \rangle$  by blast
qed
```

lemma cd-is-cs-less: assumes $\langle l \text{ cd}^\pi \rightarrow k \rangle$ shows $\langle cs^\pi k \prec cs^\pi l \rangle$ proof –

```

obtain xs where csl:  $\langle cs^\pi l = cs^\pi k @ xs @ [\pi l] \rangle$  using  $\text{cd-in-cs}$ [ $\text{OF assms}$ ] by blast
hence len:  $\langle \text{length}(cs^\pi k) < \text{length}(cs^\pi l) \rangle$  by auto
```

have take: $\langle \text{take} (\text{length} (\text{cs}^\pi k)) (\text{cs}^\pi l) = \text{cs}^\pi k \rangle$ **using** csl **by** auto
 show $\langle ?\text{thesis} \rangle$ **using** $\text{cs-less.intros}[OF \text{ len take}]$.

qed

lemma cs-select-id : **assumes** $\langle \text{is-path } \pi \rangle \langle \pi k \neq \text{return} \rangle$ **shows** $\langle \pi | \text{cs}^\pi k = k \rangle$ **(is** $\langle ?k = k \rangle$) **proof** –
 have *: $\langle \bigwedge i. \text{cs}^\pi i = \text{cs}^\pi k \implies i = k \rangle$ **using** $\text{cs-inj}[OF \text{ assms}]$ **by** metis
 hence $\langle \text{cs}^\pi ?k = \text{cs}^\pi k \rangle$ **unfolding** cs-select-def **using** $\text{theI}[\text{of } \langle \lambda i. \text{cs}^\pi i = \text{cs}^\pi k \rangle \langle k \rangle]$ **by** auto
 thus $\langle ?k = k \rangle$ **using** * **by** auto

qed

lemma cs-single-nocd : **assumes** $\langle \text{cs}^\pi i = [x] \rangle$ **shows** $\langle \forall k. \neg i \text{ cd}^\pi \rightarrow k \rangle$ **proof** –
 have $\langle \neg (\exists k. i \text{ cd}^\pi \rightarrow k) \rangle$ **apply** (rule econtr) **using** assms cs-not-nil **by** auto
 hence $\langle \neg (\exists k. i \text{ cd}^\pi \rightarrow k) \rangle$ **by** ($\text{metis excd-impl-exicd}$)
 thus $\langle ?\text{thesis} \rangle$ **by** blast

qed

lemma $\text{cs-single-pd-intermed}$: **assumes** $\langle \text{is-path } \pi \rangle \langle \text{cs}^\pi n = [\pi n] \rangle \langle k \leq n \rangle$ **shows** $\langle \pi n \text{ pd} \rightarrow \pi k \rangle$ **proof** –
 have $\langle \forall l. \neg n \text{ icd}^\pi \rightarrow l \rangle$ **by** ($\text{metis assms}(2) \text{ cs-single-nocd icd-imp-cd}$)
 thus $\langle ?\text{thesis} \rangle$ **by** ($\text{metis assms}(1) \text{ assms}(3) \text{ no-icd-pd}$)
 qed

lemma cs-first-pd : **assumes** $\text{path}: \langle \text{is-path } \pi \rangle$ **and** $\text{pd}: \langle \pi n \text{ pd} \rightarrow \pi 0 \rangle$ **and** $\text{first}: \langle \forall l < n. \pi l \neq \pi n \rangle$ **shows**
 $\langle \text{cs}^\pi n = [\pi n] \rangle$
by ($\text{metis cs-cases first-pd-no-cd icd-imp-cd path pd}$)

lemma $\text{converged-pd-cs-single}$: **assumes** $\text{path}: \langle \text{is-path } \pi \rangle \langle \text{is-path } \pi' \rangle$ **and** $\text{converge}: \langle l < m \rangle \langle \text{cs}^\pi m = \text{cs}^{\pi'} m' \rangle$
and $\pi 0: \langle \pi 0 = \pi' 0 \rangle$ **and** $\text{mpdl}: \langle \pi m \text{ pd} \rightarrow \pi l \rangle$ **and** $\text{csl}: \langle \text{cs}^\pi l = [\pi l] \rangle$
shows $\langle \exists l'. \text{cs}^\pi l = \text{cs}^{\pi'} l' \rangle$ **proof** –
 have *: $\langle \pi l \text{ pd} \rightarrow \pi' l \rangle$ **using** $\text{cs-single-pd-intermed}[OF \text{ path}(1) \text{ csl}] \pi 0[\text{symmetric}]$ **by** auto
 have $\pi m: \langle \pi m = \pi' m' \rangle$ **by** ($\text{metis converge}(2) \text{ last-cs}$)
 hence **: $\langle \pi' m' \text{ pd} \rightarrow \pi l \rangle$ **using** mpdl **by** metis

obtain l' **where** $lm': \langle l' \leq m' \rangle$ **and** $\pi l: \langle \pi' l' = \pi l \rangle$ **(is** $\langle ?P l' \rangle$) **using** $\text{path-pd-pd0}[OF \text{ path}(2) \text{ ** *}]$.

let $\langle ?l \rangle = \langle (\text{LEAST } l'. \pi' l' = \pi l) \rangle$

have $\pi l': \langle \pi' ?l = \pi l \rangle$ **using** $\text{LeastI}[\text{of } \langle ?P \rangle, OF \pi l]$.

moreover

have $\langle \forall i < ?l. \pi' i \neq \pi l \rangle$ **using** $\text{Least-le}[\text{of } \langle ?P \rangle]$ **by** (metis not-less)

hence $\langle \forall i < ?l. \pi' i \neq \pi' ?l \rangle$ **using** $\pi l'$ **by** metis

moreover

have $\langle \pi' ?l \text{ pd} \rightarrow \pi' 0 \rangle$ **using** * $\pi l'$ **by** metis

ultimately

have $\langle \text{cs}^{\pi'} ?l = [\pi' ?l] \rangle$ **using** $\text{cs-first-pd}[OF \text{ path}(2)]$ **by** metis

thus $\langle ?\text{thesis} \rangle$ **using** $\text{csl } \pi l'$ **by** metis

qed

lemma $\text{converged-cs-single}$: **assumes** $\text{path}: \langle \text{is-path } \pi \rangle \langle \text{is-path } \pi' \rangle$ **and** $\text{converge}: \langle l < m \rangle \langle \text{cs}^\pi m = \text{cs}^{\pi'} m' \rangle$
and $\pi 0: \langle \pi 0 = \pi' 0 \rangle$ **and** $\text{csl}: \langle \text{cs}^\pi l = [\pi l] \rangle$
shows $\langle \exists l'. \text{cs}^\pi l = \text{cs}^{\pi'} l' \rangle$ **proof cases**
assume *: $\langle \pi l = \text{return} \rangle$
hence $\langle \pi m = \text{return} \rangle$ **by** ($\text{metis converge}(1) \text{ path}(1) \text{ term-path-stable less-imp-le}$)

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hence  $\langle cs^\pi m = [return] \rangle$  using cs-return by auto
hence  $\langle cs^{\pi'} m' = [return] \rangle$  using converge by simp
moreover
have  $\langle cs^\pi l = [return] \rangle$  using * cs-return by auto
ultimately show  $\langle ?thesis \rangle$  by metis
next
assume nret:  $\langle \pi l \neq return \rangle$ 
have  $\pi m: \langle \pi m = \pi' m' \rangle$  by (metis converge(2) last-CS)
obtain  $\pi_1 n$  where path1:  $\langle \text{is-path } \pi_1 \rangle$  and upto:  $\langle \pi =_m \pi_1 \rangle$  and  $\pi n: \langle \pi_1 n = \text{return} \rangle$  using path(1)
path-swap-ret by blast
obtain  $\pi_1' n'$  where path1':  $\langle \text{is-path } \pi_1' \rangle$  and upto':  $\langle \pi' =_{m'} \pi_1' \rangle$  and  $\pi n': \langle \pi_1' n' = \text{return} \rangle$  using path(2)
path-swap-ret by blast
have  $\pi_1 l: \langle \pi_1 l = \pi l \rangle$  using upto converge(1) by (metis eq-up-to-def nat-less-le)
have  $cs_1 l: \langle cs^{\pi_1} l = cs^\pi l \rangle$  using cs-path-swap-le upto path1 path(1) converge(1) by auto
have  $csl_1: \langle cs^{\pi_1} l = [\pi_1 l] \rangle$  by (metis  $\pi_1 l$  cs1l csl)
have converge1:  $\langle cs^{\pi_1} n = cs^{\pi_1'} n' \rangle$  using  $\pi n \pi n'$  cs-return by auto
have  $ln: \langle l < n \rangle$  using nret  $\pi n \pi_1 l$  term-path-stable[OF path1  $\pi n$ ] by (auto, metis linorder-neqE-nat less-imp-le)
have  $\pi_0 l: \langle \pi_0 l = \pi_1' l \rangle$  using  $\pi_0$  eq-up-to-apply[OF upto] eq-up-to-apply[OF upto'] by auto
have  $pd: \langle \pi_1 n pd \rightarrow \pi_1 l \rangle$  using  $\pi n$  by (metis path1 path-nodes return-pd)
obtain  $l'$  where csl:  $\langle cs^{\pi_1} l = cs^{\pi_1'} l' \rangle$  using converged-pd-cs-single[OF path1 path1' ln converge1  $\pi_0 l$  pd csl] by blast
have  $cs_1 m: \langle cs^{\pi_1} m = cs^\pi m \rangle$  using cs-path-swap upto path1 path(1) by auto
have  $cs_1 m': \langle cs^{\pi_1'} m' = cs^{\pi_1} m' \rangle$  using cs-path-swap upto' path1' path(2) by auto
hence converge1:  $\langle cs^{\pi_1} m = cs^{\pi_1'} m' \rangle$  using converge(2) cs1m by metis
have  $nret_1: \langle \pi_1 l \neq \text{return} \rangle$  using nret  $\pi_1 l$  by auto
have  $lm': \langle l' < m' \rangle$  using cs-order[OF path1 path1' csl converge1 nret1 converge(1)] .
have  $\langle cs^{\pi'} l' = cs^{\pi_1'} l' \rangle$  using cs-path-swap-le[OF path(2) path1' upto'] lm' by auto
moreover
have  $\langle cs^\pi l = cs^{\pi_1} l \rangle$  using cs-path-swap-le[OF path(1) path1 upto] converge(1) by auto
ultimately
have  $\langle cs^\pi l = cs^{\pi_1'} l' \rangle$  using csl by auto
thus  $\langle ?thesis \rangle$  by blast
qed
lemma converged-cd-same-suc: assumes path:  $\langle \text{is-path } \pi \rangle$   $\langle \text{is-path } \pi' \rangle$  and init:  $\langle \pi 0 = \pi' 0 \rangle$ 
and cd-suc:  $\langle \forall k k'. cs^\pi k = cs^{\pi'} k' \wedge l cd^\pi \rightarrow k \longrightarrow \pi (\text{Suc } k) = \pi' (\text{Suc } k') \rangle$  and converge:  $\langle l < m \rangle$   $\langle cs^\pi m = cs^{\pi'} m' \rangle$ 
shows  $\langle \exists l'. cs^\pi l = cs^{\pi'} l' \rangle$ 
using path init cd-suc converge proof (induction  $\langle \pi \rangle$   $\langle l \rangle$  rule: cs-induct,cases)
case (cs  $\pi l$ )

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assume *:  $\exists k. l \text{ icd}^\pi \rightarrow k$ 
let  $\langle ?k \rangle = \langle \text{THE } k. l \text{ icd}^\pi \rightarrow k \rangle$ 
have  $\text{icd}: \langle l \text{ icd}^\pi \rightarrow ?k \rangle \text{ by } (\text{metis } * \text{ icd-is-the-icd})$ 
hence  $\text{lcdk}: \langle l \text{ cd}^\pi \rightarrow ?k \rangle \text{ by } (\text{metis } \text{is-icdi-def})$ 
hence  $\text{kl}: \langle ?k < l \rangle \text{ using } \text{is-cdi-def} \text{ by metis}$ 

have  $\langle \bigwedge j. ?k \text{ cd}^\pi \rightarrow j \implies l \text{ cd}^\pi \rightarrow j \rangle \text{ using } \text{icd cd-trans is-icdi-def by fast}$ 
hence  $\text{suc}'': \langle \forall j j'. cs^\pi j = cs^{\pi'} j' \wedge ?k \text{ cd}^\pi \rightarrow j \longrightarrow \pi (\text{Suc } j) = \pi' (\text{Suc } j') \rangle \text{ using } cs.\text{prems}(4) \text{ by blast}$ 

from  $cs.IH[OF * cs(2) \text{ path}(2) \text{ cs}(4) \text{ suc}] \text{ cs.prems kl}$ 
have  $\langle \exists k'. cs^\pi (\text{THE } k. l \text{ icd}^\pi \rightarrow k) = cs^{\pi'} k' \rangle \text{ by } (\text{metis } \text{Suc-lessD less-trans-Suc})$ 
then obtain  $k'$  where  $\text{csk}: \langle cs^\pi ?k = cs^{\pi'} k' \rangle \text{ by blast}$ 

have  $\text{suc2}: \langle \pi (\text{Suc } ?k) = \pi' (\text{Suc } k') \rangle \text{ using } cs.\text{prems}(4) \text{ lcdk csk by auto}$ 

have  $\text{km}: \langle ?k < m \rangle \text{ using } \text{kl cs.prems}(5) \text{ by simp}$ 

from  $\text{converged-same-icd}[OF cs(2) \text{ path}(2) \text{ cs.prems}(5) \text{ cs.prems}(6) \text{ csk icd suc2}]$ 
show  $\langle ?\text{case} \rangle$  .

next
  case ( $cs \pi l$ )
    assume  $\neg (\exists k. l \text{ icd}^\pi \rightarrow k)$ 
    hence  $\langle cs^\pi l = [\pi l] \rangle \text{ by auto}$ 
    with  $cs \text{ converged-cs-single}$ 
    show  $\langle ?\text{case} \rangle \text{ by metis}$ 
qed

lemma  $\text{converged-cd-diverge}$ :
assumes  $\text{path}: \langle \text{is-path } \pi \rangle \langle \text{is-path } \pi' \rangle \text{ and } \text{init}: \langle \pi 0 = \pi' 0 \rangle \text{ and } \text{notin}: \langle \neg (\exists l'. cs^\pi l = cs^{\pi'} l') \rangle \text{ and }$ 
converge:  $\langle l < m \rangle \langle cs^\pi m = cs^{\pi'} m' \rangle$ 
obtains  $k k' \text{ where } \langle cs^\pi k = cs^{\pi'} k' \rangle \langle l \text{ cd}^\pi \rightarrow k \rangle \langle \pi (\text{Suc } k) \neq \pi' (\text{Suc } k') \rangle$ 
using assms  $\text{converged-cd-same-suc}$  by  $\text{blast}$ 

lemma  $\text{converged-cd-same-suc-return}$ : assumes  $\text{path}: \langle \text{is-path } \pi \rangle \langle \text{is-path } \pi' \rangle \text{ and } \pi 0: \langle \pi 0 = \pi' 0 \rangle$ 
and  $\text{cd-suc}: \langle \forall k k'. cs^\pi k = cs^{\pi'} k' \wedge l \text{ cd}^\pi \rightarrow k \longrightarrow \pi (\text{Suc } k) = \pi' (\text{Suc } k') \rangle \text{ and } \text{ret}: \langle \pi' n' = \text{return} \rangle$ 
shows  $\langle \exists l'. cs^\pi l = cs^{\pi'} l' \rangle$  proof cases
  assume  $\langle \pi l = \text{return} \rangle$ 
  hence  $\langle cs^\pi l = cs^{\pi'} n' \rangle \text{ using } \text{ret cs-return by presburger}$ 
  thus  $\langle ?\text{thesis} \rangle \text{ by blast}$ 
next
  assume  $nretl: \langle \pi l \neq \text{return} \rangle$ 
  have  $\langle \pi l \in \text{nodes} \rangle \text{ using } \text{path path-nodes by auto}$ 
  then obtain  $\pi l n \text{ where } \text{ipl}: \langle \text{is-path } \pi l \rangle \text{ and } \pi l: \langle \pi l = \pi l 0 \rangle \text{ and } \text{retn}: \langle \pi l n = \text{return} \rangle \text{ and } \text{notl}: \langle \forall i > 0. \pi l i \neq \pi l \rangle \text{ by } (\text{metis } \text{direct-path-return nretl})$ 
  hence  $\text{ip}: \langle \text{is-path } (\pi @^l \pi l) \rangle \text{ and } l: \langle (\pi @^l \pi l) l = \pi l \rangle \text{ and } \text{retl}: \langle (\pi @^l \pi l) (l + n) = \text{return} \rangle \text{ and } \text{nl}: \langle \forall i > l. (\pi @^l \pi l) i \neq \pi l \rangle \text{ using } \text{path-cons}[OF \text{ path}(1) \text{ ipl } \pi l] \text{ by auto}$ 

  have  $\pi 0': \langle (\pi @^l \pi l) 0 = \pi' 0 \rangle \text{ unfolding } cs\text{-}0 \text{ using } \pi l \pi 0 \text{ by auto}$ 
  have  $\text{csn}: \langle cs^{\pi @^l \pi l} (l + n) = cs^{\pi'} n' \rangle \text{ using } \text{ret retl cs-return by metis}$ 
  have  $\text{eql}: \langle (\pi @^l \pi l) =_l \pi \rangle \text{ by } (\text{metis } \text{path-append-eq-up-to})$ 

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have  $cs l' : \langle cs^{\pi @ l} \pi l = cs^{\pi} l \rangle$  using  $eql$   $cs\text{-path}\text{-swap}$   $ip$   $path(1)$  by  $metis$ 

have  $\langle 0 < n \rangle$  using  $nretl[unfolded \pi l]$   $retl$  by (metis neq0-conv)
hence  $ln : \langle l < l + n \rangle$  by simp

have *:  $\forall k k'. cs^{\pi @ l} \pi l = cs^{\pi'} k' \wedge l cd^{\pi @ l} \pi l \rightarrow k \longrightarrow (\pi @ l \pi l) (Suc k) = \pi' (Suc k')$  proof (rule,rule,rule)
fix  $k k'$  assume *:  $cs^{\pi @ l} \pi l = cs^{\pi'} k' \wedge l cd^{\pi @ l} \pi l \rightarrow k$ 
hence  $kl : \langle k < l \rangle$  using  $is\text{-cdi}\text{-def}$  by auto
hence  $\langle cs^{\pi} k = cs^{\pi'} k' \wedge l cd^{\pi} \rightarrow k \rangle$  using  $eql * cs\text{-path}\text{-swap}\text{-le}[OF ip path(1) eql,of \langle k \rangle] cdi\text{-path}\text{-swap}\text{-le}[OF path(1) - eql,of \langle l \rangle \langle k \rangle]$  by auto
hence  $\langle \pi (Suc k) = \pi' (Suc k') \rangle$  using  $cd\text{-suc}$  by blast
then show  $\langle (\pi @ l \pi l) (Suc k) = \pi' (Suc k') \rangle$  using  $cs\text{-path}\text{-swap}\text{-le}[OF ip path(1) eql,of \langle Suc k \rangle] kl$  by auto
qed

obtain  $l'$  where  $\langle cs^{\pi @ l} \pi l = cs^{\pi'} l' \rangle$  using  $converged\text{-cd}\text{-same}\text{-suc}[OF ip path(2) \pi 0' * ln csn]$  by blast
moreover
have  $\langle cs^{\pi @ l} \pi l = cs^{\pi} l \rangle$  using  $eql$  by (metis  $cs\text{-path}\text{-swap}$   $ip$   $path(1)$ )
ultimately
show  $\langle ?thesis \rangle$  by metis
qed

lemma  $converged\text{-cd}\text{-diverge}\text{-return}$ : assumes  $path : \langle is\text{-path } \pi \rangle \langle is\text{-path } \pi' \rangle$  and  $init : \langle \pi 0 = \pi' 0 \rangle$  and  $notin : \neg (\exists l'. cs^{\pi} l = cs^{\pi'} l')$  and  $ret : \langle \pi' m' = return \rangle$  obtains  $k k'$  where  $\langle cs^{\pi} k = cs^{\pi'} k' \rangle \langle l cd^{\pi} \rightarrow k \rangle \langle \pi (Suc k) \neq \pi' (Suc k') \rangle$  using  $converged\text{-cd}\text{-same}\text{-suc}\text{-return}[OF path init - ret, of \langle l \rangle]$   $notin$  by blast

lemma  $returned\text{-missing}\text{-cd}\text{-or}\text{-loop}$ : assumes  $path : \langle is\text{-path } \pi \rangle \langle is\text{-path } \pi' \rangle$  and  $\pi 0 : \langle \pi 0 = \pi' 0 \rangle$  and  $notin' : \neg (\exists k'. cs^{\pi} k = cs^{\pi'} k')$  and  $nret : \langle \forall n'. \pi' n' \neq return \rangle$  and  $ret : \langle \pi n = return \rangle$  obtains  $i i'$  where  $\langle i < k \rangle \langle cs^{\pi} i = cs^{\pi'} i' \rangle \langle \pi (Suc i) \neq \pi' (Suc i') \rangle \langle k cd^{\pi} \rightarrow i \vee (\forall j' > i'. j' cd^{\pi'} \rightarrow i') \rangle$  proof -
obtain  $f$  where  $icdf : \forall i'. f (Suc i') icd^{\pi'} \rightarrow f i'$  and  $ran : \langle range f = \{i' : \forall j' > i'. j' cd^{\pi'} \rightarrow i'\} \rangle$  and  $icdf0 : \neg (\exists i'. f 0 cd^{\pi} \rightarrow i')$  using  $path(2)$   $path\text{-nret}\text{-inf}\text{-icd}\text{-seq}$   $nret$  by blast
show  $\langle ?thesis \rangle$  proof cases
assume  $\exists j. \neg (\exists i. cs^{\pi} i = cs^{\pi'} (f j))$ 
then obtain  $j$  where  $ni\pi : \neg (\exists i. cs^{\pi'} (f j) = cs^{\pi} i)$  by metis
note  $converged\text{-cd}\text{-diverge}\text{-return}[OF path(2,1) \pi 0 [symmetric] ni\pi ret]$  that
then obtain  $i k'$  where  $csk : \langle cs^{\pi} i = cs^{\pi'} k' \rangle$  and  $cdj : \langle f j cd^{\pi} \rightarrow k' \rangle$  and  $div : \langle \pi (Suc i) \neq \pi' (Suc k') \rangle$  by metis
have  $\langle k' \in range f \rangle$  using  $cdj$  proof (induction  $\langle j \rangle$ )
case 0 thus  $\langle ?case \rangle$  using  $icdf0$  by blast
next
case  $(Suc j)$ 
have  $icdfj : \langle f (Suc j) icd^{\pi'} \rightarrow f j \rangle$  using  $icdf$  by auto
show  $\langle ?case \rangle$  proof cases
assume  $\langle f (Suc j) icd^{\pi} \rightarrow k' \rangle$ 
hence  $\langle k' = f j \rangle$  using  $icdfj$  by (metis  $icd\text{-uniqueness}$ )
thus  $\langle ?case \rangle$  by auto
next
assume  $\neg f (Suc j) icd^{\pi'} \rightarrow k'$ 
hence  $\langle f j cd^{\pi'} \rightarrow k' \rangle$  using  $cd\text{-impl}\text{-icd}\text{-cd}[OF Suc.\text{prems } icdfj]$  by auto

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thus ‹?case› using Suc.IH by auto
qed
qed
hence alldep: ‹∀ i' > k'. i' cd $\pi'$  → k'› using ran by auto
show ‹thesis› proof cases
  assume ‹i < k› with alldep that[OF - csk div] show ‹thesis› by blast
next
  assume ‹¬ i < k›
  hence ki: ‹k ≤ i› by auto
  have ‹k ≠ i› using notin' csk by auto
  hence ki': ‹k < i› using ki by auto
  obtain ka k' where ‹cs $\pi$  ka = cs $\pi'$  k'› ‹k cd $\pi$  → ka› ‹π (Suc ka) ≠ π' (Suc k')›
    using converged-cd-diverge[OF path π0 notin' ki' csk] by blast
  moreover
  hence ‹ka < k› unfolding is-cdi-def by auto
  ultimately
  show ‹?thesis› using that by blast
qed
next
  assume ‹¬(∃ j. ¬(∃ i. cs $\pi$  i = cs $\pi'$  (f j)))›
  hence allin: ‹∀ j. (∃ i. cs $\pi$  i = cs $\pi'$  (f j))› by blast
  define f' where ‹f' ≡ λ j. (SOME i. cs $\pi$  i = cs $\pi'$  (f j))›
  have ‹∀ i. f' i < f' (Suc i)› proof
    fix i
    have csi: ‹cs $\pi'$  (f i) = cs $\pi$  (f' i)› unfolding f' using allin by (metis (mono-tags) someI-ex)
    have cssuci: ‹cs $\pi'$  (f (Suc i)) = cs $\pi$  (f' (Suc i))› unfolding f' using allin by (metis (mono-tags) someI-ex)
    have fi: ‹f i < f (Suc i)› using icdf unfolding is-icdi-def is-cdi-def by auto
    have ‹f (Suc i) cd $\pi'$  → f i› using icdf unfolding is-icdi-def by blast
    hence nreti: ‹π' (f i) ≠ return› by (metis cd-not-ret)
    show ‹f' i < f' (Suc i)› using cs-order[OF path(2,1) csi cssuci nreti fi] .
  qed
  hence kle: ‹k < f' (Suc k)› using mono-ge-id[of ‹f'› ‹Suc k›] by auto
  have cssk: ‹cs $\pi$  (f' (Suc k)) = cs $\pi'$  (f (Suc k))› unfolding f' using allin by (metis (mono-tags) someI-ex)
  obtain ka k' where ‹cs $\pi$  ka = cs $\pi'$  k'› ‹k cd $\pi$  → ka› ‹π (Suc ka) ≠ π' (Suc k')›
    using converged-cd-diverge[OF path π0 notin' kle cssk] by blast
  moreover
  hence ‹ka < k› unfolding is-cdi-def by auto
  ultimately
  show ‹?thesis› using that by blast
qed
qed
lemma missing-cd-or-loop: assumes path: ‹is-path π› ‹is-path π'› and π0: ‹π 0 = π' 0› and notin': ‹¬(∃ k'.
  cs $\pi$  k = cs $\pi'$  k')›
  obtains i i' where ‹i < k› ‹cs $\pi$  i = cs $\pi'$  i'› ‹π (Suc i) ≠ π' (Suc i')› ‹k cd $\pi$  → i ∨ (∀ j' > i'. j' cd $\pi'$  → i')›
  proof cases
    assume ‹∃ n'. π' n' = return›
    then obtain n' where retn: ‹π' n' = return› by blast
    note converged-cd-diverge-return[OF path π0 notin' retn]
    then obtain ka k' where ‹cs $\pi$  ka = cs $\pi'$  k'› ‹k cd $\pi$  → ka› ‹π (Suc ka) ≠ π' (Suc k')› by blast
    moreover
    hence ‹ka < k› unfolding is-cdi-def by auto
    ultimately show ‹thesis› using that by simp
  
```

next

```
assume ⊢ (exists n'. π' n' = return)
hence notret: ∀ n'. π' n' ≠ return by auto
then obtain πl n where ipl: is-path πl and πl: π k = πl 0 and retl: πl n = return using reaching-ret
path(1) path-nodes by metis
hence ip: is-path (π @k πl) and l: (π @k πl) k = π k and retl: (π @k πl) (k + n) = return using
path-cons[OF path(1) ipl πl] by auto

have π0': (π @k πl) 0 = π' 0 unfolding cs-0 using πl π0 by auto
have eql: (π @k πl) =k π by (metis path-append-eq-up-to)
have csl': cs @k πl k = csπ k using eql cs-path-swap ip path(1) by metis
hence notin: ⊢ (exists k'. cs @k πl k = csπ' k') using notin' by auto

obtain i i' where *: i < k and csi: cs @k πl i = csπ' i' and suc: (π @k πl) (Suc i) ≠ π' (Suc i')
and cdloop: k cd @k πl → i ∨ (∀ j' > i'. j' cd @j' πl → i') using returned-missing-cd-or-loop[OF ip path(2) π0' notin notret retl] by blast

have i ≠ k using notin csi by auto
hence ik: i < k using * by auto
hence csπ i = csπ' i' using csi cs-path-swap-le[OF ip path(1) eql] by auto
moreover
have π (Suc i) ≠ π' (Suc i') using ik eq-up-to-apply[OF eql, of Suc i] suc by auto
moreover
have k cd @k πl → i ∨ (∀ j' > i'. j' cd @j' πl → i') using cdloop cdi-path-swap-le[OF path(1) - eql, of k Suc i] by auto
ultimately
show thesis using that[OF *] by blast
qed
```

```
lemma path-shift-set-cd: assumes is-path π shows {k + j | j . n cd @k πl → j} = {i. (k+n) cd @n πl → i ∧ k ≤ i}
proof -
{ fix i
  assume i ∈ {k+j | j . n cd @k πl → j}
  then obtain j where i = k+j ∧ n cd @k πl → j by auto
  hence k+n cd @n πl → i ∧ k ≤ i using cd-path-shift[OF - assms, of k k+j k+n] by simp
  hence i ∈ {i. k+n cd @n πl → i ∧ k ≤ i} by blast
}
moreover
{ fix i
  assume i ∈ {i. k+n cd @n πl → i ∧ k ≤ i}
  hence *: k+n cd @n πl → i ∧ k ≤ i by blast
  then obtain j where i = k+j by (metis le-Suc-ex)
  hence k+n cd @n πl → k+j using * by auto
  hence n cd @n πl → j using cd-path-shift[OF - assms, of k k+j k+n] by simp
  hence i ∈ {k+j | j . n cd @n πl → j} using i by simp
}
ultimately show ?thesis by blast
qed
```

```
lemma cs-path-shift-set-cd: assumes path: is-path π shows cs @n π n = map π (sorted-list-of-set {i. k+n}
```

```

 $cd^\pi \rightarrow i \wedge k \leq i \}) @ [\pi (k+n)]$ 
proof -
  have mono:  $\forall n m. n < m \rightarrow k + n < k + m$  by auto
  have fin:  $\langle \text{finite } \{i. n cd^\pi \ll k \rightarrow i\} \rangle$  unfolding is-cdi-def by auto
  have *:  $\langle (\lambda x. k+x) \{i. n cd^\pi \ll k \rightarrow i\} = \{k + i \mid i. n cd^\pi \ll k \rightarrow i\} \rangle$  by auto
  have  $\langle cs^{\pi \ll k} n = map (\pi \ll k) (sorted-list-of-set \{i. n cd^\pi \ll k \rightarrow i\}) @ [(\pi \ll k) n] \rangle$  using cs-sorted-list-of-cd' by blast
  also
    have  $\langle \dots = map \pi (map (\lambda x. k+x) (sorted-list-of-set \{i. n cd^\pi \ll k \rightarrow i\})) @ [\pi (k+n)] \rangle$  by auto
  also
    have  $\langle \dots = map \pi (sorted-list-of-set ((\lambda x. k+x) \{i. n cd^\pi \ll k \rightarrow i\})) @ [\pi (k+n)] \rangle$  using sorted-list-of-set-map-mono[OF mono fin] by auto
    also
      have  $\langle \dots = map \pi (sorted-list-of-set (\{k + i \mid i. n cd^\pi \ll k \rightarrow i\})) @ [\pi (k+n)] \rangle$  using * by auto
    finally
      show  $\langle ?thesis \rangle$  .
  qed

lemma cs-split-shift-cd: assumes  $\langle n cd^\pi \rightarrow j \rangle$  and  $\langle j < k \rangle$  and  $\langle k < n \rangle$  and  $\langle \forall j' < k. n cd^\pi \rightarrow j' \rightarrow j' \leq j \rangle$ 
shows  $\langle cs^{\pi \ll k} n = cs^{\pi \ll k} j @ cs^{\pi \ll k} (n-k) \rangle$ 
proof -
  have path:  $\langle is-path \pi \rangle$  using assms unfolding is-cdi-def by auto
  have 1:  $\langle \{i. n cd^\pi \rightarrow i\} = \{i. n cd^\pi \rightarrow i \wedge i < k\} \cup \{i. n cd^\pi \rightarrow i \wedge k \leq i\} \rangle$  by auto
  have le:  $\langle \forall i \in \{i. n cd^\pi \rightarrow i \wedge i < k\}. \forall j \in \{i. n cd^\pi \rightarrow i \wedge k \leq i\}. i < j \rangle$  by auto

  have 2:  $\langle \{i. n cd^\pi \rightarrow i \wedge i < k\} = \{i . j cd^\pi \rightarrow i\} \cup \{j\} \rangle$  proof -
    { fix i assume  $\langle i \in \{i. n cd^\pi \rightarrow i \wedge i < k\} \rangle$ 
      hence cd:  $\langle n cd^\pi \rightarrow i \rangle$  and ik:  $\langle i < k \rangle$  by auto
      have  $\langle i \in \{i . j cd^\pi \rightarrow i\} \cup \{j\} \rangle$  proof cases
        assume  $\langle i < j \rangle$  hence  $\langle j cd^\pi \rightarrow i \rangle$  by (metis is-cdi-def assms(1) cd cdi-prefix nat-less-le)
        thus  $\langle ?thesis \rangle$  by simp
      next
        assume  $\neg i < j$ 
        moreover
          have  $\langle i \leq j \rangle$  using assms(4) ik cd by auto
          ultimately
            show  $\langle ?thesis \rangle$  by auto
      qed
    }
    moreover
    { fix i assume  $\langle i \in \{i . j cd^\pi \rightarrow i\} \cup \{j\} \rangle$ 
      hence  $\langle j cd^\pi \rightarrow i \vee i = j \rangle$  by auto
      hence  $\langle i \in \{i. n cd^\pi \rightarrow i \wedge i < k\} \rangle$  using assms(1,2) cd-trans[OF - assms(1)] apply auto unfolding is-cdi-def
        by (metis (poly-guards-query) diff-diff-cancel diff-is-0-eq le-refl le-trans nat-less-le)
    }
    ultimately show  $\langle ?thesis \rangle$  by blast
  qed

  have  $\langle cs^{\pi \ll k} n = map \pi (sorted-list-of-set \{i. n cd^\pi \rightarrow i\}) @ [\pi n] \rangle$  using cs-sorted-list-of-cd' by simp
  also
    have  $\langle \dots = map \pi (sorted-list-of-set (\{i. n cd^\pi \rightarrow i \wedge i < k\} \cup \{i. n cd^\pi \rightarrow i \wedge k \leq i\})) @ [\pi n] \rangle$  using 1 by metis

```

```

also
have ⟨... = map π ((sorted-list-of-set {i. n cdπ→ i ∧ i < k}) @ (sorted-list-of-set {i. n cdπ→ i ∧ k ≤ i}))⟩
@ [π n] by
  using sorted-list-of-set-append[OF - - le] is-cdi-def by auto
also
have ⟨... = (map π (sorted-list-of-set {i. n cdπ→ i ∧ i < k})) @ (map π (sorted-list-of-set {i. n cdπ→ i ∧ k ≤ i}))⟩
@ [π n] by auto
also
have ⟨... = csπ j @ (map π (sorted-list-of-set {i. n cdπ→ i ∧ k ≤ i}))⟩ @ [π n] unfolding 2 using
cs-sorted-list-of-cd by auto
also
have ⟨... = csπ j @ csπ``k (n-k)⟩ using cs-path-shift-set-cd[OF path, of ⟨k⟩ ⟨n-k⟩] assms(3) by auto
finally
show ⟨?thesis⟩ .
qed

```

lemma *cs-split-shift-nocd*: **assumes** ⟨is-path π ⟩ **and** ⟨ $k < n$ ⟩ **and** ⟨ $\forall j. n cd^{\pi} \rightarrow j \rightarrow k \leq j$ ⟩ **shows** ⟨ $cs^{\pi} n = cs^{\pi``k} (n-k)$ ⟩

proof –

```

have path: ⟨is-path  $\pi$ ⟩ using assms by auto
have 1: ⟨{i. n cdπ→ i} = {i. n cdπ→ i ∧ i < k} ∪ {i. n cdπ→ i ∧ k ≤ i}⟩ by auto
have le: ⟨ $\forall i \in \{i. n cd^{\pi} \rightarrow i \wedge i < k\}. \forall j \in \{i. n cd^{\pi} \rightarrow i \wedge k \leq i\}. i < j$ ⟩ by auto
have 2: ⟨{i. n cdπ→ i ∧ i < k} = {}⟩ using assms by auto

have ⟨ $cs^{\pi} n = map \pi (sorted-list-of-set \{i. n cd^{\pi} \rightarrow i\})$ ⟩ @ [π n] using cs-sorted-list-of-cd' by simp
also
have ⟨... = map π (sorted-list-of-set ({i. n cdπ→ i ∧ i < k} ∪ {i. n cdπ→ i ∧ k ≤ i}))⟩ @ [π n] using 1
by metis
also
have ⟨... = map π (sorted-list-of-set {i. n cdπ→ i ∧ k ≤ i})⟩ @ [π n]
  unfolding 2 by auto
also
have ⟨... = csπ``k (n-k)⟩ using cs-path-shift-set-cd[OF path, of ⟨k⟩ ⟨n-k⟩] assms(2) by auto
finally show ⟨?thesis⟩ .
qed

```

lemma *shifted-cs-eq-is-eq*: **assumes** ⟨is-path π ⟩ **and** ⟨is-path π' ⟩ **and** ⟨ $cs^{\pi} k = cs^{\pi'} k'$ ⟩ **and** ⟨ $cs^{\pi``k} n = cs^{\pi``k'}$ ⟩ **shows** ⟨ $cs^{\pi} (k+n) = cs^{\pi'} (k'+n')$ ⟩

proof (rule ccontr)

```

note path = assms(1,2)
note csk = assms(3)
note csn = assms(4)
assume ne: ⟨ $cs^{\pi} (k+n) \neq cs^{\pi'} (k'+n')$ ⟩
have nretkn: ⟨ $\pi (k+n) \neq return$ ⟩ proof
  assume 1: ⟨ $\pi (k+n) = return$ ⟩
  hence ⟨ $(\pi ``k) n = return$ ⟩ by auto
  hence ⟨ $(\pi' ``k') n' = return$ ⟩ using last-cs assms(4) by metis
  hence ⟨ $\pi' (k' + n') = return$ ⟩ by auto
  thus ⟨False⟩ using ne 1 cs-return by auto
qed
hence nretk: ⟨ $\pi k \neq return$ ⟩ using term-path-stable[OF assms(1), of ⟨k⟩ ⟨k+n⟩] by auto
have nretkn': ⟨ $\pi' (k'+n') \neq return$ ⟩ proof
  assume 1: ⟨ $\pi' (k'+n') = return$ ⟩
  hence ⟨ $(\pi' ``k') n' = return$ ⟩ by auto
  hence ⟨ $(\pi ``k) n = return$ ⟩ using last-cs assms(4) by metis

```

hence $\langle \pi (k + n) = \text{return} \rangle$ by auto
 thus $\langle \text{False} \rangle$ using ne 1 cs-return by auto
 qed
 hence $nretk': \langle \pi' k' \neq \text{return} \rangle$ using term-path-stable[*OF assms(2)*, of $\langle k' \rangle$ $\langle k' + n' \rangle$] by auto
 have $n0: \langle n > 0 \rangle$ proof (rule ccontr)
 assume *: $\neg 0 < n$
 hence $1: \langle cs^{\pi''} k' 0 = cs^{\pi'} k' n' \rangle$ using assms(3,4) by auto
 have $\langle (\pi'' k') 0 = (\pi' k') 0 \rangle$ using assms(3) last-cs path-shift-def by (metis monoid-add-class.add.right-neutral)
 hence $\langle cs^{\pi''} k' 0 = cs^{\pi'} k' n' \rangle$ using 1 cs-0 by metis
 hence $n0': \langle n' = 0 \rangle$ using cs-inj[of $\langle \pi' k' \rangle$ $\langle 0 \rangle$ $\langle n' \rangle$] * assms(2) by (metis path-shift-def assms(4) last-cs nretkn path-path-shift)
 thus $\langle \text{False} \rangle$ using ne * assms(3) by fastforce
 qed
 have $n0': \langle n' > 0 \rangle$ proof (rule ccontr)
 assume *: $\neg 0 < n'$
 hence $1: \langle cs^{\pi''} k' 0 = cs^{\pi'} k' n \rangle$ using assms(3,4) by auto
 have $\langle (\pi'' k') 0 = (\pi' k') 0 \rangle$ using assms(3) last-cs path-shift-def by (metis monoid-add-class.add.right-neutral)
 hence $\langle cs^{\pi''} k' 0 = cs^{\pi'} k' n \rangle$ using 1 cs-0 by metis
 hence $n0: \langle n = 0 \rangle$ using cs-inj[of $\langle \pi'' k' \rangle$ $\langle 0 \rangle$ $\langle n \rangle$] * assms(1) by (metis path-shift-def assms(4) last-cs nretkn path-path-shift)
 thus $\langle \text{False} \rangle$ using ne * assms(3) by fastforce
 qed
 have $cdleswap': \forall j' < k'. (k' + n') cd^{\pi'} \rightarrow j' \longrightarrow (\exists j < k. (k + n) cd^{\pi} \rightarrow j \wedge cs^{\pi} j = cs^{\pi'} j')$ proof (rule,rule,rule, rule ccontr)
 fix j' assume $jk': \langle j' < k' \rangle$ and $ncdj': \langle (k' + n') cd^{\pi'} \rightarrow j' \rangle$ and $ne: \neg (\exists j < k. k + n cd^{\pi} \rightarrow j \wedge cs^{\pi} j = cs^{\pi'} j')$
 hence $kcdj': \langle k' cd^{\pi'} \rightarrow j' \rangle$ using cr-wn' by blast
 then obtain j where $kcdj: \langle k cd^{\pi} \rightarrow j \rangle$ and $csj: \langle cs^{\pi} j = cs^{\pi'} j' \rangle$ using csk cs-path-swap-cd path by metis
 hence $jk: \langle j < k \rangle$ unfolding is-cdi-def by auto
 have $ncdn: \neg (k + n) cd^{\pi} \rightarrow j$ using ne csj jk by blast
 obtain l' where $lnocd': \langle l' = n' \vee n' cd^{\pi''} k' \rightarrow l' \rangle$ and $cslsing': \langle cs^{\pi''} k' l' = [(\pi'' k') l'] \rangle$
 proof cases
 assume $\langle cs^{\pi''} k' n' = [(\pi'' k') n'] \rangle$ thus thesis using that[of $\langle n' \rangle$] by auto
 next
 assume *: $\langle cs^{\pi''} k' n' \neq [(\pi'' k') n'] \rangle$
 then obtain $x ys$ where $\langle cs^{\pi''} k' n' = [x]@ys@[(\pi'' k') n'] \rangle$ by (metis append-Cons append-Nil cs-length-g-one cs-length-one(1) neq-Nil-conv)
 then obtain l' where $\langle cs^{\pi''} k' l' = [x] \rangle$ and $cdl': \langle n' cd^{\pi''} k' \rightarrow l' \rangle$ using cs-split[of $\langle \pi'' k' \rangle$ $\langle n' \rangle$ Nil
 ⟨x⟩ ⟨ys⟩] by auto
 hence $\langle cs^{\pi''} k' l' = [(\pi'' k') l'] \rangle$ using last-cs by (metis last.simps)
 thus thesis using that cdl' by auto
 qed
 hence $ln': \langle l' \leq n' \rangle$ unfolding is-cdi-def by auto
 hence $lcdj': \langle k' + l' cd^{\pi'} \rightarrow j' \rangle$ using jk' ncdj' by (metis add-le-cancel-left cdi-prefix trans-less-add1)
 obtain l where $lnocd: \langle l = n \vee n cd^{\pi''} k \rightarrow l \rangle$ and $csl: \langle cs^{\pi''} k l = cs^{\pi''} k' l' \rangle$ using lnocd' proof
 assume $\langle l' = n' \rangle$ thus thesis using csn that[of $\langle n \rangle$] by auto
 next
 assume $\langle n' cd^{\pi''} k \rightarrow l' \rangle$
 then obtain l where $\langle n cd^{\pi''} k \rightarrow l \rangle$ $\langle cs^{\pi''} k l = cs^{\pi''} k' l' \rangle$ using cs-path-swap-cd path csn by (metis

path-path-shift)

thus ⟨thesis⟩ using that by auto

qed

have $\text{clsing}: \langle cs^{\pi \ll k} l = [(\pi \ll k) l] \rangle$ using $\text{clsing}' \text{ last-cs } \text{csl last.simps}$ by metis

have $\text{ln}: \langle l \leq n \rangle$ using $\text{lnocd unfolding is-cdi-def}$ by auto

hence $\text{nretkl}: \langle \pi (k + l) \neq \text{return} \rangle$ using $\text{term-path-stable}[\text{of } \langle \pi \rangle \langle k+l \rangle \langle k+n \rangle]$ $\text{nretkn path}(1)$ by auto

have $*: \langle n cd^{\pi \ll k} \rightarrow l \implies k+n cd^{\pi} \rightarrow k+l \rangle$ using $\text{cd-path-shift}[\text{of } \langle k \rangle \langle k+l \rangle \langle \pi \rangle \langle k+n \rangle]$ $\text{path}(1)$ by auto

have $\text{ncdl}: \langle \neg (k+l) cd^{\pi} \rightarrow j \rangle$ apply rule using lnocd apply rule using ncdn apply blast using cd-trans $\text{ncdn} *$ by blast

hence $\exists i \in \{j..k+l\}. \pi i = ipd (\pi j)$ unfolding is-cdi-def using $\text{path}(1)$ jk nretkl by auto

hence $\exists i \in \{k..k+l\}. \pi i = ipd (\pi j)$ using $\text{kedj unfolding is-cdi-def}$ by force

then obtain i where $ki: \langle k < i \rangle$ and $il: \langle i \leq k+l \rangle$ and $ipdi: \langle \pi i = ipd (\pi j) \rangle$ by force

hence $\langle (\pi \ll k) (i-k) = ipd (\pi j) \rangle \langle i-k \leq l \rangle$ by auto

hence $\text{pd}: \langle (\pi \ll k) l pd \rightarrow ipd (\pi j) \rangle$ using $\text{cs-single-pd-intermed}[OF - \text{clsing}]$ $\text{path}(1)$ path-path-shift by metis

moreover

have $\langle (\pi \ll k) l = \pi' (k' + l') \rangle$ using csl last-cs by (metis path-shift-def)

moreover

have $\langle \pi j = \pi' j' \rangle$ using csj last-cs by metis

ultimately

have $\langle \pi' (k'+l') pd \rightarrow ipd (\pi' j') \rangle$ by simp

moreover

have $\langle ipd (\pi' j') pd \rightarrow \pi' (k'+l') \rangle$ using $\text{ipd-pd-cd}[OF \text{ lcdj}']$.

ultimately

have $\langle \pi' (k'+l') = ipd (\pi' j') \rangle$ using pd-antisym by auto

thus ⟨False⟩ using lcdj' unfolding is-cdi-def by force

qed

— Symmetric version of the above statement

have $\text{cdleswap}: \forall j < k. (k+n) cd^{\pi} \rightarrow j \implies (\exists j' < k'. (k'+n') cd^{\pi'} \rightarrow j' \wedge cs^{\pi} j = cs^{\pi'} j')$ proof (rule,rule,rule,rule ccontr)

fix j assume $jk: \langle j < k \rangle$ and $\text{ncdj}: \langle (k+n) cd^{\pi} \rightarrow j \rangle$ and $ne: \langle \neg (\exists j' < k'. k' + n' cd^{\pi'} \rightarrow j' \wedge cs^{\pi} j = cs^{\pi'} j') \rangle$

hence $\text{kedj}: \langle k cd^{\pi} \rightarrow j \rangle$ using $\text{cr-wn}'$ by blast

then obtain j' where $\text{kcdj}': \langle k' cd^{\pi'} \rightarrow j' \rangle$ and $\text{csj}: \langle cs^{\pi} j = cs^{\pi'} j' \rangle$ using csj cs-path-swap-cd path by metis

hence $jk': \langle j' < k' \rangle$ unfolding is-cdi-def by auto

have $\text{ncdn}': \langle \neg (k'+n') cd^{\pi'} \rightarrow j' \rangle$ using ne csj jk' by blast

obtain l where $\text{lnocd}: \langle l = n \vee n cd^{\pi \ll k} \rightarrow l \rangle$ and $\text{clsing}: \langle cs^{\pi \ll k} l = [(\pi \ll k) l] \rangle$

proof cases

assume $\langle cs^{\pi \ll k} n = [(\pi \ll k) n] \rangle$ thus ⟨thesis⟩ using that[$\text{of } \langle n \rangle$] by auto

next

assume $*: \langle cs^{\pi \ll k} n \neq [(\pi \ll k) n] \rangle$

then obtain $x ys$ where $\langle cs^{\pi \ll k} n = [x]@ys@[(\pi \ll k) n] \rangle$ by (metis append-Cons append-Nil cs-length-g-one $\text{cs-length-one}(1)$ neq-Nil-conv)

then obtain l where $\langle cs^{\pi \ll k} l = [x] \rangle$ and $cdl: \langle n cd^{\pi \ll k} \rightarrow l \rangle$ using $cs\text{-split}[of \langle \pi \ll k \rangle \langle n \rangle \langle Nil \rangle \langle x \rangle \langle ys \rangle]$ by auto
 hence $\langle cs^{\pi \ll k} l = [(\pi \ll k) l] \rangle$ using $last\text{-cs}$ by (metis $last.simps$)
 thus $\langle thesis \rangle$ using that cdl by auto
 qed
 hence $ln: \langle l \leq n \rangle$ unfolding $is\text{-cdi}\text{-def}$ by auto
 hence $lcdj: \langle k+l cd^{\pi} \rightarrow j \rangle$ using $jk ncdj$ by (metis $add\text{-le}\text{-cancel}\text{-left}$ $cdi\text{-prefix}$ $trans\text{-less}\text{-add1}$)

 obtain l' where $lnocd': \langle l' = n' \vee n' cd^{\pi' \ll k'} \rightarrow l' \rangle$ and $csl: \langle cs^{\pi \ll k} l = cs^{\pi' \ll k'} l' \rangle$ using $lnocd$ proof
 assume $\langle l = n \rangle$ thus $\langle thesis \rangle$ using csn that[$of \langle n' \rangle$] by auto
 next
 assume $\langle n cd^{\pi \ll k} \rightarrow l \rangle$
 then obtain l' where $\langle n' cd^{\pi' \ll k'} \rightarrow l' \rangle$ $\langle cs^{\pi \ll k} l = cs^{\pi' \ll k'} l' \rangle$ using $cs\text{-path}\text{-swap}\text{-cd}$ path csn by (metis $path\text{-path}\text{-shift}$)
 thus $\langle thesis \rangle$ using that by auto
 qed

 have $clsing': \langle cs^{\pi' \ll k'} l' = [(\pi' \ll k') l'] \rangle$ using $clsing$ $last\text{-cs}$ csl $last.simps$ by metis

 have $ln': \langle l' \leq n' \rangle$ using $lnocd'$ unfolding $is\text{-cdi}\text{-def}$ by auto
 hence $nretkl': \langle \pi' (k' + l') \neq return \rangle$ using $term\text{-path}\text{-stable}[of \langle \pi' \rangle \langle k' + l' \rangle \langle k' + n' \rangle]$ $nretkn'$ path(2) by auto

 have $*: \langle n' cd^{\pi' \ll k'} \rightarrow l' \implies k' + n' cd^{\pi'} \rightarrow k' + l' \rangle$ using $cd\text{-path}\text{-shift}[of \langle k' \rangle \langle k' + l' \rangle \langle \pi' \rangle \langle k' + n' \rangle]$ path(2)
 by auto

 have $ncdl': \langle \neg (k' + l') cd^{\pi'} \rightarrow j' \rangle$ apply rule using $lnocd'$ apply rule using $ncdn'$ apply blast using $cd\text{-trans}$ $ncdn' *$ by blast

 hence $\exists i' \in \{j'..k' + l'\}. \pi' i' = ipd (\pi' j')$ unfolding $is\text{-cdi}\text{-def}$ using path(2) $jk' nretkl'$ by auto
 hence $\exists i' \in \{k'..k' + l'\}. \pi' i' = ipd (\pi' j')$ using $kcdj'$ unfolding $is\text{-cdi}\text{-def}$ by force

 then obtain i' where $ki': \langle k' < i' \rangle$ and $il': \langle i' \leq k' + l' \rangle$ and $ipdi: \langle \pi' i' = ipd (\pi' j') \rangle$ by force

 hence $\langle (\pi' \ll k') (i' - k') = ipd (\pi' j') \rangle \langle i' - k' \leq l' \rangle$ by auto
 hence $pd: \langle (\pi' \ll k') l' pd \rightarrow ipd (\pi' j') \rangle$ using $cs\text{-single}\text{-pd}\text{-intermed}[OF - clsing']$ path(2) $path\text{-path}\text{-shift}$
 by metis
 moreover
 have $\langle (\pi' \ll k') l' = \pi (k + l) \rangle$ using csl $last\text{-cs}$ by (metis $path\text{-shift}\text{-def}$)
 moreover
 have $\langle \pi' j' = \pi j \rangle$ using csj $last\text{-cs}$ by metis
 ultimately
 have $\langle \pi (k + l) pd \rightarrow ipd (\pi j) \rangle$ by simp
 moreover
 have $\langle ipd (\pi j) pd \rightarrow \pi (k + l) \rangle$ using $ipd\text{-pd}\text{-cd}[OF lcdj]$.
 ultimately
 have $\langle \pi (k + l) = ipd (\pi j) \rangle$ using $pd\text{-antisym}$ by auto
 thus $\langle False \rangle$ using $lcdj$ unfolding $is\text{-cdi}\text{-def}$ by force
 qed

 have $cdle: \langle \exists j. (k + n) cd^{\pi} \rightarrow j \wedge j < k \rangle$ (is $\langle \exists j. ?P j \rangle$) proof (rule $ccontr$)
 assume $\neg (\exists j. (k + n) cd^{\pi} \rightarrow j \wedge j < k)$
 hence $allge: \langle \forall j. (k + n) cd^{\pi} \rightarrow j \longrightarrow k \leq j \rangle$ by auto
 have $allge': \langle \forall j'. (k' + n') cd^{\pi'} \rightarrow j' \longrightarrow k' \leq j' \rangle$ proof (rule, rule, rule $ccontr$)
 fix j'

```

assume *:  $\langle k' + n' \text{ cd}^{\pi'} \rightarrow j' \rangle$  and  $\neg k' \leq j'$ 
then obtain  $j$  where  $\langle j < k \rangle \langle (k+n) \text{ cd}^{\pi} \rightarrow j \rangle$  using cdleswap' by (metis le-neq-implies-less nat-le-linear)
thus  $\langle \text{False} \rangle$  using allge by auto
qed
have  $\langle cs^{\pi} (k+n) = cs^{\pi} \ll k n \rangle$  using cs-split-shift-nocd[OF assms(1) - allge] n0 by auto
moreover
have  $\langle cs^{\pi'} (k'+n') = cs^{\pi'} \ll k' n' \rangle$  using cs-split-shift-nocd[OF assms(2) - allge'] n0' by auto
ultimately
show  $\langle \text{False} \rangle$  using ne assms(4) by auto
qed

define  $j$  where  $\langle j == \text{GREATEST } j. (k+n) \text{ cd}^{\pi} \rightarrow j \wedge j < k \rangle$ 
have  $\text{cdj}: \langle (k+n) \text{ cd}^{\pi} \rightarrow j \rangle$  and  $\text{jk}: \langle j < k \rangle$  and  $\text{jge}: \forall j' < k. (k+n) \text{ cd}^{\pi} \rightarrow j' \rightarrow j' \leq j$  proof -
have bound:  $\forall y. ?P y \rightarrow y \leq k$  by auto
show  $\langle (k+n) \text{ cd}^{\pi} \rightarrow j \rangle$  using GreatestI-nat[of  $\langle ?P \rangle$ ] j-def bound cdle by blast
show  $\langle j < k \rangle$  using GreatestI-nat[of  $\langle ?P \rangle$ ] bound j-def cdle by blast
show  $\forall j' < k. (k+n) \text{ cd}^{\pi} \rightarrow j' \rightarrow j' \leq j$  using Greatest-le-nat[of  $\langle ?P \rangle$ ] bound j-def by blast
qed

obtain  $j'$  where  $\text{cdj}': \langle (k'+n') \text{ cd}^{\pi'} \rightarrow j' \rangle$  and  $\text{csj}: \langle cs^{\pi} j = cs^{\pi'} j' \rangle$  and  $\text{jk}': \langle j' < k' \rangle$  using cdleswap cdj jk by blast
have  $\text{jge}': \forall i' < k'. (k'+n') \text{ cd}^{\pi'} \rightarrow i' \rightarrow i' \leq j'$  proof(rule,rule,rule)
fix  $i'$ 
assume  $\text{ik}': \langle i' < k' \rangle$  and  $\text{cdi}': \langle k' + n' \text{ cd}^{\pi'} \rightarrow i' \rangle$ 
then obtain  $i$  where  $\text{cdi}: \langle (k+n) \text{ cd}^{\pi} \rightarrow i \rangle$  and  $\text{csi}: \langle cs^{\pi'} i' = cs^{\pi} i \rangle$  and  $\text{ik}: \langle i < k \rangle$  using cdleswap' by force
have  $\text{ij}: \langle i \leq j \rangle$  using jge cdi ik by auto
show  $\langle i' \leq j' \rangle$  using cs-order-le[OF assms(1,2) csi[symmetric] csj - ij] cd-not-ret[OF cdi] by simp
qed
have  $\langle cs^{\pi} (k+n) = cs^{\pi} j @ cs^{\pi} \ll k n \rangle$  using cs-split-shift-cd[OF cdj jk - jge] n0 by auto
moreover
have  $\langle cs^{\pi'} (k'+n') = cs^{\pi'} j' @ cs^{\pi'} \ll k' n' \rangle$  using cs-split-shift-cd[OF cdj' jk' - jge'] n0' by auto
ultimately
have  $\langle cs^{\pi} (k+n) = cs^{\pi'} (k'+n') \rangle$  using csj assms(4) by auto
thus  $\langle \text{False} \rangle$  using ne by simp
qed

lemma cs-eq-is-eq-shifted: assumes  $\langle \text{is-path } \pi \rangle$  and  $\langle \text{is-path } \pi' \rangle$  and  $\langle cs^{\pi} k = cs^{\pi'} k' \rangle$  and  $\langle cs^{\pi} (k+n) = cs^{\pi'} (k'+n') \rangle$  shows  $\langle cs^{\pi} \ll k n = cs^{\pi'} \ll k' n' \rangle$ 
proof (rule econtr)
assume ne:  $\langle cs^{\pi} \ll k n \neq cs^{\pi'} \ll k' n' \rangle$ 
have  $\text{nretkn}: \langle \pi (k+n) \neq \text{return} \rangle$  proof
assume 1:  $\langle \pi (k+n) = \text{return} \rangle$ 
hence 2:  $\langle \pi' (k'+n') = \text{return} \rangle$  using assms(4) last-cs by metis
hence  $\langle (\pi \ll k) n = \text{return} \rangle \langle (\pi' \ll k') n' = \text{return} \rangle$  using 1 by auto
hence  $\langle cs^{\pi} \ll k n = cs^{\pi'} \ll k' n' \rangle$  using cs-return by metis
thus  $\langle \text{False} \rangle$  using ne by simp
qed
hence  $\text{nretk}: \langle \pi k \neq \text{return} \rangle$  using term-path-stable[OF assms(1), of  $\langle k \rangle \langle k+n \rangle$ ] by auto
have  $\text{nretkn}': \langle \pi' (k'+n') \neq \text{return} \rangle$  proof
assume 1:  $\langle \pi' (k'+n') = \text{return} \rangle$ 
hence 2:  $\langle \pi (k+n) = \text{return} \rangle$  using assms(4) last-cs by metis
hence  $\langle (\pi \ll k) n = \text{return} \rangle \langle (\pi' \ll k') n' = \text{return} \rangle$  using 1 by auto
hence  $\langle cs^{\pi} \ll k n = cs^{\pi'} \ll k' n' \rangle$  using cs-return by metis
thus  $\langle \text{False} \rangle$  using ne by simp

```

```

qed
hence nretk': < $\pi' k' \neq \text{return}$ > using term-path-stable[ $\text{OF assms}(2)$ , of  $\langle k' \rangle \langle k' + n' \rangle$ ] by auto
have n0:< $n > 0$ > proof (rule ccontr)
  assume *:< $\neg 0 < n$ >
  hence  $\langle cs^{\pi'} k' = cs^{\pi'} (k' + n') \rangle$  using assms(3,4) by auto
  hence n0:< $n = 0$ > < $n' = 0$ > using cs-inj[ $\text{OF assms}(2)$  nretkn', of  $\langle k' \rangle$ ] * by auto
  have  $\langle cs^{\pi} `` k n = cs^{\pi'} `` k' n' \rangle$  unfolding n0 cs-0 by (auto , metis last-cs assms(3))
  thus <False> using ne by simp
qed
have n0':< $n' > 0$ > proof (rule ccontr)
  assume *:< $\neg 0 < n'$ >
  hence  $\langle cs^{\pi} k = cs^{\pi} (k + n) \rangle$  using assms(3,4) by auto
  hence n0:< $n = 0$ > < $n' = 0$ > using cs-inj[ $\text{OF assms}(1)$  nretkn, of  $\langle k \rangle$ ] * by auto
  have  $\langle cs^{\pi} `` k n = cs^{\pi'} `` k' n' \rangle$  unfolding n0 cs-0 by (auto , metis last-cs assms(3))
  thus <False> using ne by simp
qed
have cdle:< $\exists j. (k+n) cd^{\pi} \rightarrow j \wedge j < k$ > (is < $\exists j. ?P j$ >) proof (rule ccontr)
  assume < $\neg (\exists j. (k+n) cd^{\pi} \rightarrow j \wedge j < k)$ >
  hence allge:< $\forall j. (k+n) cd^{\pi} \rightarrow j \rightarrow k \leq j$ > by auto
  have allge':< $\forall j'. (k'+n') cd^{\pi'} \rightarrow j' \rightarrow k' \leq j'$ > proof (rule, rule)
    fix j'
    assume *:< $k' + n' cd^{\pi'} \rightarrow j'$ >
    obtain j where cdj:< $k+n cd^{\pi} \rightarrow j$ > and csj:< $cs^{\pi} j = cs^{\pi'} j'$ > using cs-path-swap-cd[ $\text{OF assms}(2,1)$  assms(4)[symmetric] *] by metis
    hence kj:< $k \leq j$ > using allge by auto
    thus kj':< $k' \leq j'$ > using cs-order-le[ $\text{OF assms}(1,2,3)$  csj nretk] by simp
  qed
  have < $cs^{\pi} (k + n) = cs^{\pi} `` k n$ > using cs-split-shift-nocd[ $\text{OF assms}(1)$  - allge] n0 by auto
  moreover
  have < $cs^{\pi'} (k' + n') = cs^{\pi'} `` k' n'$ > using cs-split-shift-nocd[ $\text{OF assms}(2)$  - allge'] n0' by auto
  ultimately
  show <False> using ne assms(4) by auto
qed
define j where < $j == \text{GREATEST } j. (k+n) cd^{\pi} \rightarrow j \wedge j < k$ >
have cdj:< $(k+n) cd^{\pi} \rightarrow j$ > and jk:< $j < k$ > and jge:< $\forall j' < k. (k+n) cd^{\pi} \rightarrow j' \rightarrow j' \leq j$ > proof -
  have bound:< $\forall y. ?P y \rightarrow y \leq k$ > by auto
  show < $(k+n) cd^{\pi} \rightarrow j$ > using GreatestI-nat[of < $?P$ >] bound j-def cdle by blast
  show < $j < k$ > using GreatestI-nat[of < $?P$ >] bound j-def cdle by blast
  show < $\forall j' < k. (k+n) cd^{\pi} \rightarrow j' \rightarrow j' \leq j$ > using Greatest-le-nat[of < $?P$ >] bound j-def by blast
qed
obtain j' where cdj':< $(k'+n') cd^{\pi'} \rightarrow j'$ > and csj:< $cs^{\pi} j = cs^{\pi'} j'$ > using cs-path-swap-cd assms cdj by blast
have jge':< $\forall i' < k'. (k'+n') cd^{\pi'} \rightarrow i' \rightarrow i' \leq j'$ > proof(rule,rule,rule)
  fix i'
  assume ik':< $i' < k'$ > and cdi':< $k' + n' cd^{\pi'} \rightarrow i'$ >
  then obtain i where cdi:< $(k+n) cd^{\pi} \rightarrow i$ > and csi:< $cs^{\pi} i' = cs^{\pi} i$ > using cs-path-swap-cd[ $\text{OF assms}(2,1)$  assms(4)[symmetric]] by blast
  have nreti':< $\pi' i' \neq \text{return}$ > by (metis cd-not-ret cdi')
  have ik:< $i < k$ > using cs-order[ $\text{OF assms}(2,1)$  csi - nreti' ik] assms(3) by auto
  have ij:< $i \leq j$ > using jge cdi ik by auto
  show < $i' \leq j'$ > using cs-order-le[ $\text{OF assms}(1,2)$  csi[symmetric] csj - ij] cd-not-ret[ $\text{OF cdi}$ ] by simp
qed
have jk':< $j' < k'$ > using cs-order[ $\text{OF assms}(1,2)$  csj assms(3) cd-not-ret[ $\text{OF cdj}$ ] jk] .
have < $cs^{\pi} (k + n) = cs^{\pi} j @ cs^{\pi} `` k n$ > using cs-split-shift-cd[ $\text{OF cdj jk - jge}$ ] n0 by auto
moreover

```

have $\langle cs^{\pi'}(k' + n') = cs^{\pi'} j' @ cs^{\pi'} \ll k' n' \rangle$ using $cs\text{-split-shift-cd}[OF cdj' jk' - jge'] n0'$ by auto
 ultimately

have $\langle cs^{\pi} \ll k n = cs^{\pi} \ll k' n' \rangle$ using $csj\ assms(4)$ by auto
 thus $\langle False \rangle$ using ne by $simp$

qed

lemma converged-cd-diverge-cs: assumes $\langle is\text{-path } \pi \rangle$ and $\langle is\text{-path } \pi' \rangle$ and $\langle cs^{\pi} j = cs^{\pi'} j' \rangle$ and $\langle j < l \rangle$ and
 $\langle \neg (\exists l'. cs^{\pi} l = cs^{\pi'} l') \rangle$ and $\langle l < m \rangle$ and $\langle cs^{\pi} m = cs^{\pi'} m' \rangle$

obtains $k k'$ where $\langle j \leq k \rangle$ $\langle cs^{\pi} k = cs^{\pi'} k' \rangle$ and $\langle l cd^{\pi} \rightarrow k \rangle$ and $\langle \pi(Suc k) \neq \pi'(Suc k') \rangle$
 proof –

have $\langle is\text{-path } (\pi \ll j) \rangle$ $\langle is\text{-path } (\pi' \ll j') \rangle$ using $assms(1,2)$ path-path-shift by auto
 moreover

have $\langle (\pi \ll j) 0 = (\pi' \ll j') 0 \rangle$ using $assms(3)$ last-cs by (metis path-shift-def add.right-neutral)
 moreover

have $\langle \neg (\exists l'. cs^{\pi} \ll j (l-j) = cs^{\pi'} \ll j' l') \rangle$ proof

assume $\langle \exists l'. cs^{\pi} \ll j (l-j) = cs^{\pi'} \ll j' l' \rangle$

then obtain l' where $csl: \langle cs^{\pi} \ll j (l-j) = cs^{\pi'} \ll j' l' \rangle$ by blast

have $\langle cs^{\pi} l = cs^{\pi'} (j' + l') \rangle$ using shifted-cs-eq-is-eq[$OF assms(1,2,3)$ csl] $assms(4)$ by auto
 thus $\langle False \rangle$ using $assms(5)$ by blast

qed

moreover

have $\langle l-j < m-j \rangle$ using $assms$ by auto

moreover

have $\langle \pi j \neq return \rangle$ using $cs\text{-return}$ $assms(1-5)$ term-path-stable by (metis nat-less-le)

hence $\langle j' < m' \rangle$ using $cs\text{-order}$ [$OF assms(1,2,3,7)$] $assms$ by auto

hence $\langle cs^{\pi} \ll j (m-j) = cs^{\pi'} \ll j' (m'-j') \rangle$ using $cs\text{-eq-is-eq-shifted}$ [$OF assms(1,2,3)$, of $\langle m-j \rangle$ $\langle m'-j' \rangle$] $assms(4,6,7)$
 by auto

ultimately

obtain $k k'$ where $csk: \langle cs^{\pi} \ll j k = cs^{\pi'} \ll j' k' \rangle$ and $lcdk: \langle l-j cd^{\pi} \ll j \rightarrow k \rangle$ and $suc: \langle (\pi \ll j) (Suc k) \neq (\pi' \ll j') (Suc k') \rangle$
 using converged-cd-diverge by blast

have $\langle cs^{\pi} (j+k) = cs^{\pi'} (j'+k') \rangle$ using shifted-cs-eq-is-eq[$OF assms(1-3)$ csk] .

moreover

have $\langle l cd^{\pi} \rightarrow j+k \rangle$ using $lcdk$ $assms(1,2,4)$ by (metis add.commute add-diff-cancel-right' cd-path-shift le-add1)

moreover

have $\langle \pi (Suc (j+k)) \neq \pi' (Suc (j'+k')) \rangle$ using suc by auto

moreover

have $\langle j \leq j+k \rangle$ by auto

ultimately

show $\langle thesis \rangle$ using that[of $\langle j+k \rangle$ $\langle j'+k' \rangle$] by auto

qed

lemma cs-ipd-conv: assumes $csk: \langle cs^{\pi} k = cs^{\pi'} k' \rangle$ and $ipd: \langle \pi l = ipd(\pi k) \rangle$ $\langle \pi' l' = ipd(\pi' k') \rangle$

and $nipd: \langle \forall n \in \{k..l\}. \pi n \neq ipd(\pi k) \rangle$ $\langle \forall n' \in \{k'..l'\}. \pi' n' \neq ipd(\pi' k') \rangle$ and $kl: \langle k < l \rangle$ $\langle k' < l' \rangle$

shows $\langle cs^{\pi} l = cs^{\pi'} l' \rangle$ using $cs\text{-ipd}$ [$OF ipd(1)$ $nipd(1)$ $kl(1)$] $cs\text{-ipd}$ [$OF ipd(2)$ $nipd(2)$ $kl(2)$] csk ipd by (metis (no-types) last-cs)

lemma cp-eq-cs: assumes $\langle ((\sigma, k), (\sigma', k')) \in cp \rangle$ shows $\langle cs^{\text{path}} \sigma k = cs^{\text{path}} \sigma' k' \rangle$
 using $assms$

apply(induction rule: cp.induct)

apply blast+

```

apply simp
done

lemma cd-cs-swap: assumes <l cdπ→ k> <csπ l = csπ' l'> <csπ k = csπ' k'> shows <l' cdπ'→ k'> proof -
have <∃ i. l icdπ→ i> using assms(1) excd-impl-excd by blast
hence <csπ l ≠ [π l]> by auto
hence <csπ' l' ≠ [π' l']> using assms last-cs by metis
hence <∃ i'. l' icdπ'→ i'> by (metis cs-cases)
hence path': <is-path π'> unfolding is-icdi-def is-cdi-def by auto
from cd-in-cs[OF assms(1)]
obtain ys where csl: <csπ l = csπ k @ ys @ [π l]> by blast
obtain xs where csk: <csπ k = xs@[π k]> by (metis append-butlast-last-id cs-not-nil last-cs)
have πl: <π l = π' l'> using assms last-cs by metis
have csl': <csπ' l' = xs@[π k]@ys@[π' l']> by (metis πl append-eq-appendI assms(2) csk csl)
from cs-split[of <π'> <l'> <xs> <π k> <ys>]
obtain m where csm: <csπ' m = xs @ [π k]> and lcdm: <l' cdπ'→ m> using csl' by metis
have csm': <csπ' m = csπ' k'> by (metis assms(3) csk csm)
have <π' m ≠ return> using lcdm unfolding is-cdi-def using term-path-stable by (metis nat-less-le)
hence <m = k'> using cs-inj path' csm' by auto
thus <?thesis> using lcdm by auto
qed

```

2.6 Facts about Observations

```

lemma kth-obs-not-none: assumes <is-kth-obs (path σ) k i> obtains a where <obsp σ i = Some a> using
assms unfolding is-kth-obs-def obsp-def by auto

```

```

lemma kth-obs-unique: <is-kth-obs π k i ⟹ is-kth-obs π k j ⟹ i = j> proof (induction <i> <j> rule:
nat-sym-cases)
  case sym thus <?case> by simp
  next
    case eq thus <?case> by simp
    next
      case (less i j)
      have <obs-ids π ∩ {..<i}> ⊆ obs-ids π ∩ {..<j}> using less(1) by auto
      moreover
      have <i ∈ obs-ids π ∩ {..<j}> using less unfolding is-kth-obs-def obs-ids-def by auto
      moreover
      have <i ∉ obs-ids π ∩ {..<i}> by auto
      moreover
      have <card (obs-ids π ∩ {..<i}) = card (obs-ids π ∩ {..<j})> using less.preds unfolding is-kth-obs-def by
auto
      moreover
      have <finite (obs-ids π ∩ {..<i})> <finite (obs-ids π ∩ {..<j})> by auto
      ultimately
      have <False> by (metis card-subset-eq)
      thus <?case> ..
  qed

```

```

lemma obs-none-no-kth-obs: assumes <obs σ k = None> shows <¬ (∃ i. is-kth-obs (path σ) k i)>
  apply rule
  using assms
  unfolding obs-def obsp-def
  apply (auto split: option.split-asm)
  by (metis assms kth-obs-not-none kth-obs-unique obs-def option.distinct(2) the-equality)

```

```

lemma obs-some-kth-obs : assumes <obs σ k ≠ None> obtains i where <is-kth-obs (path σ) k i> by (metis
obs-def assms)

lemma not-none-is-obs: assumes <att(π i) ≠ None> shows <is-kth-obs π (card (obs-ids π ∩ {..<i})) i> unfolding
is-kth-obs-def using assms by auto

lemma in-obs-ids-is-kth-obs: assumes <i ∈ obs-ids π> obtains k where <is-kth-obs π k i> proof
  have <att (π i) ≠ None> using assms obs-ids-def by auto
  thus <is-kth-obs π (card (obs-ids π ∩ {..<i})) i> using not-none-is-obs by auto
qed

lemma kth-obs-stable: assumes <is-kth-obs π l j> <k < l> shows <∃ i. is-kth-obs π k i> using assms proof
(induction <l> arbitrary: <j> rule: less-induct )
  case (less l j)
    have cardl: <card (obs-ids π ∩ {..<j}) = l> using less is-kth-obs-def by auto
    then obtain i where ex: <i ∈ obs-ids π ∩ {..<j}> (is <?P i>) using less(3) by (metis card.empty empty-iff
less-irrefl subsetI subset-antisym zero-diff zero-less-diff)
    have bound: <∀ i. i ∈ obs-ids π ∩ {..<j} → i ≤ j> by auto
    let <?i> = <GREATEST i. i ∈ obs-ids π ∩ {..<j}>
    have *: <?i < j> <?i ∈ obs-ids π> using GreatestI-nat[of <?P> <i> <j>] ex bound by auto
    have **: <∀ i. i ∈ obs-ids π ∧ i < j → i ≤ ?i> using Greatest-le-nat[of <?P> - <j>] ex bound by auto
    have <(obs-ids π ∩ {..<?i}) ∪ {?i} = obs-ids π ∩ {..<j}>> apply rule apply auto using *[simplified] apply
simp+ using **[simplified] by auto
    moreover
    have <?i ∉ (obs-ids π ∩ {..<?i})> by auto
    ultimately
    have <Suc (card (obs-ids π ∩ {..<?i})) = l> using cardl by (metis Un-empty-right Un-insert-right card-insert-disjoint
finite-Int finite-lessThan)
    hence <card (obs-ids π ∩ {..<?i}) = l - 1> by auto
    hence iko: <is-kth-obs π (l - 1) ?i> using *(2) unfolding is-kth-obs-def obs-ids-def by auto
    have ll: <l - 1 < l> by (metis One-nat-def diff-Suc-less less.prems(2) not-gr0 not-less0)
    note IV=less(1)[OF ll iko]
    show <?thesis> proof cases
      assume <k < l - 1> thus <?thesis> using IV by simp
    next
      assume <¬ k < l - 1>
      hence <k = l - 1> using less by auto
      thus <?thesis> using iko by blast
    qed
  qed

lemma kth-obs-mono: assumes <is-kth-obs π k i> <is-kth-obs π l j> <k < l> shows <i < j> proof (rule ccontr)
  assume <¬ i < j>
  hence <{..<j} ⊆ {..<i}> by auto
  hence <obs-ids π ∩ {..<j} ⊆ obs-ids π ∩ {..<i}> by auto
  moreover
  have <finite (obs-ids π ∩ {..<i})> by auto
  ultimately
  have <card (obs-ids π ∩ {..<j}) ≤ card (obs-ids π ∩ {..<i})> by (metis card-mono)
  thus <False> using assms unfolding is-kth-obs-def by auto
qed

lemma kth-obs-le-iff: assumes <is-kth-obs π k i> <is-kth-obs π l j> shows <k < l ↔ i < j> by (metis assms
kth-obs-unique kth-obs-mono not-less-iff-gr-or-eq)

```

lemma *ret-obs-all-obs*: **assumes** *path*: $\langle \text{is-path } \pi \rangle$ **and** *iki*: $\langle \text{is-kth-obs } \pi k i \rangle$ **and** *ret*: $\langle \pi i = \text{return} \rangle$ **and** *kl*: $\langle k < l \rangle$ **obtains** *j* **where** $\langle \text{is-kth-obs } \pi l j \rangle$

proof–

show *thesis*

using *kl iki ret proof* (*induction* $\langle l - k \rangle$ *arbitrary*: $\langle k \rangle \langle i \rangle$ *rule*: *less-induct*)

case (*less k i*)

note *kl* = $\langle k < l \rangle$

note *iki* = $\langle \text{is-kth-obs } \pi k i \rangle$

note *ret* = $\langle \pi i = \text{return} \rangle$

have *card*: $\langle \text{card } (\text{obs-ids } \pi \cap \{.. < i\}) = k \rangle$ **and** *att-ret*: $\langle \text{att return} \neq \text{None} \rangle$ **using** *iki ret unfolding is-kth-obs-def* **by** *auto*

have *rets*: $\langle \pi (\text{Suc } i) = \text{return} \rangle$ **using** *path ret term-path-stable* **by** *auto*

hence *attsuc*: $\langle \text{att } (\pi (\text{Suc } i)) \neq \text{None} \rangle$ **using** *att-ret* **by** *auto*

hence *: $\langle i \in \text{obs-ids } \pi \rangle$ **using** *att-ret ret unfolding obs-ids-def* **by** *auto*

have $\langle \{.. < \text{Suc } i\} = \text{insert } i \{.. < i\} \rangle$ **by** *auto*

hence *a*: $\langle \text{obs-ids } \pi \cap \{.. < \text{Suc } i\} = \text{insert } i (\text{obs-ids } \pi \cap \{.. < i\}) \rangle$ **using** * **by** *auto*

have *b*: $\langle i \notin \text{obs-ids } \pi \cap \{.. < i\} \rangle$ **by** *auto*

have $\langle \text{finite } (\text{obs-ids } \pi \cap \{.. < i\}) \rangle$ **by** *auto*

hence $\langle \text{card } (\text{obs-ids } \pi \cap \{.. < \text{Suc } i\}) = \text{Suc } k \rangle$ **by** (*metis card card-insert-disjoint a b*)

hence *iksuc*: $\langle \text{is-kth-obs } \pi (\text{Suc } k) (\text{Suc } i) \rangle$ **using** *attsuc unfolding is-kth-obs-def* **by** *auto*

have *suckl*: $\langle \text{Suc } k \leq l \rangle$ **using** *kl* **by** *auto*

note *less*

thus *thesis* **proof** (*cases* $\langle \text{Suc } k < l \rangle$)

assume *skl*: $\langle \text{Suc } k < l \rangle$

from *less(1)[OF - skl iksuc rets]* *skl*

show *thesis* **by** *auto*

next

assume $\neg \text{Suc } k < l$

hence $\langle \text{Suc } k = l \rangle$ **using** *suckl* **by** *auto*

thus *thesis* **using** *iksuc that* **by** *auto*

qed

qed

qed

lemma *no-kth-obs-missing-cs*: **assumes** *path*: $\langle \text{is-path } \pi \rangle \langle \text{is-path } \pi' \rangle$ **and** *iki*: $\langle \text{is-kth-obs } \pi k i \rangle$ **and** *not-in- π'* : $\neg \exists i'. \text{is-kth-obs } \pi' k i'$ **obtains** *lj* **where** $\langle \text{is-kth-obs } \pi l j \rangle \neg \exists j'. \text{cs}^\pi j = \text{cs}^{\pi'} j'$

proof (*rule ccontr*)

assume $\neg \text{thesis}$

hence *all-in- π'* : $\forall l j. \text{is-kth-obs } \pi l j \longrightarrow \exists j'. \text{cs}^\pi j = \text{cs}^{\pi'} j'$ **using** *that* **by** *blast*

then obtain *i'* **where** *csi*: $\langle \text{cs}^\pi i = \text{cs}^{\pi'} i' \rangle$ **using** *assms* **by** *blast*

hence $\langle \text{att } (\pi' i') \neq \text{None} \rangle$ **using** *iki* **by** (*metis is-kth-obs-def last-cs*)

then obtain *k'* **where** *ik*'': $\langle \text{is-kth-obs } \pi' k' i' \rangle$ **by** (*metis not-none-is-obs*)

hence *kk*'': $\langle k' < k \rangle$ **using** *not-in- π' kth-obs-stable* **by** (*auto, metis not-less-iff-gr-or-eq*)

show $\langle \text{False} \rangle$ **proof** (*cases* $\langle \pi i = \text{return} \rangle$)

assume $\langle \pi i \neq \text{return} \rangle$

thus *False* **using** *kk' ik' csi iki proof* (*induction* $\langle k \rangle$ *arbitrary*: $\langle i \rangle \langle i' \rangle \langle k' \rangle$)

case 0 **thus** *?case* **by** *simp*

next

case (*Suc k i i' k'*)

then obtain *j* **where** *ikj*: $\langle \text{is-kth-obs } \pi k j \rangle$ **by** (*metis kth-obs-stable lessI*)

then obtain *j'* **where** *csj*: $\langle \text{cs}^\pi j = \text{cs}^{\pi'} j' \rangle$ **using** *all-in- π'* **by** *blast*

hence $\langle \text{att } (\pi' j') \neq \text{None} \rangle$ **using** *ikj* **by** (*metis is-kth-obs-def last-cs*)

then obtain *k2* **where** *ik2*: $\langle \text{is-kth-obs } \pi' k2 j' \rangle$ **by** (*metis not-none-is-obs*)

have *ji*: $\langle j < i \rangle$ **using** *kth-obs-mono* [*OF ikj is-kth-obs π (Suc k) i*] **by** *auto*

hence *nretj*: $\langle \pi j \neq \text{return} \rangle$ **using** *Suc(2)* *term-path-stable less-imp-le path(1)* **by** *metis*

have $ji' : \langle j' < i' \rangle$ using cs-order[*OF path - - nretj*, of $\langle j' \rangle \langle i \rangle \langle i' \rangle$] $csj \langle cs^\pi i = cs^{\pi'} i' \rangle ji$ by auto
 have $\langle k2 \neq k' \rangle$ using ik2 Suc(4) $ji' \text{ kth-obs-unique}[\text{of } \langle \pi' \rangle \langle k' \rangle \langle i' \rangle \langle j' \rangle]$ by (metis less-irrefl)
 hence $k2k' : \langle k2 < k' \rangle$ using kth-obs-mono[*OF is-kth-obs* $\pi' k' i'$] ik2] ji' by (metis not-less-iff-gr-or-eq)
 hence $k2k : \langle k2 < k \rangle$ using Suc by auto
 from Suc.IH[*OF nretj k2k ik2 csj ikj*] show $\langle \text{False} \rangle$.
 qed
 next
 assume $\langle \pi i = \text{return} \rangle$
 hence $\text{reti}' : \langle \pi' i' = \text{return} \rangle$ by (metis csi last-cs)
 from ret-obs-all-obs[*OF path(2)* $ik' \text{ reti}' kk'$, of $\langle \text{False} \rangle$] not-in- π'
 show $\langle \text{False} \rangle$ by blast
 qed
 qed

lemma *kth-obs-cs-missing-cs*: **assumes** *path*: $\langle \text{is-path } \pi \rangle \langle \text{is-path } \pi' \rangle$ **and** *iki*: $\langle \text{is-kth-obs } \pi k i \rangle$ **and** *iki'*: $\langle \text{is-kth-obs } \pi' k i' \rangle$ **and** *csi*: $\langle cs^\pi i \neq cs^{\pi'} i' \rangle$
obtains *lj* **where** $\langle j \leq i \rangle \langle \text{is-kth-obs } \pi l j \rangle \leftarrow (\exists j'. cs^\pi j = cs^{\pi'} j') \rangle \mid l' j'$ **where** $\langle j' \leq i' \rangle \langle \text{is-kth-obs } \pi' l' j' \rangle \leftarrow (\exists j. cs^\pi j = cs^{\pi'} j') \rangle$
proof (rule ccontr)
 assume *nt*: $\langle \neg \text{thesis} \rangle$
 show $\langle \text{False} \rangle$ using *iki iki'* *csi* that **proof** (*induction* $\langle k \rangle$ *arbitrary*: $\langle i \rangle \langle i' \rangle$ *rule*: less-induct)
 case (less *k i i'*)
 hence all-in- π' : $\forall l j. j \leq i \wedge \text{is-kth-obs } \pi l j \longrightarrow (\exists j'. cs^\pi j = cs^{\pi'} j') \rangle$
 and all-in- π : $\forall l' j'. j' \leq i' \wedge \text{is-kth-obs } \pi' l' j' \longrightarrow (\exists j. cs^\pi j = cs^{\pi'} j') \rangle$ by (metis nt) (metis nt less(6))
 obtain *j j'* **where** *csji*: $\langle cs^\pi j = cs^{\pi'} i' \rangle$ **and** *csij*: $\langle cs^\pi i = cs^{\pi'} j' \rangle$ using all-in- π all-in- π' less by blast
 then obtain *ll* **where** *ilj*: $\langle \text{is-kth-obs } \pi l j \rangle$ **and** *ilj'*: $\langle \text{is-kth-obs } \pi' l' j' \rangle$ by (metis is-kth-obs-def last-cs less.prem(1,2))
 have *lnk*: $\langle l \neq k \rangle$ using *ilj csji* less(2) less(4) kth-obs-unique by auto
 have *lnk'*: $\langle l' \neq k \rangle$ using *ilj' csij* less(3) less(4) kth-obs-unique by auto
 have *cseq*: $\forall l j j'. l < k \wedge \text{is-kth-obs } \pi l j \wedge \text{is-kth-obs } \pi' l j' \longrightarrow cs^\pi j = cs^{\pi'} j' \rangle$ **proof** –
 { fix *t p p'* assume *tk*: $\langle t < k \rangle$ **and** *ikp*: $\langle \text{is-kth-obs } \pi t p \rangle$ **and** *ikp'*: $\langle \text{is-kth-obs } \pi' t p' \rangle$
 hence *pi*: $\langle p < i \rangle$ **and** *pi'*: $\langle p' < i' \rangle$ by (metis kth-obs-mono less.prem(1)) (metis kth-obs-mono less.prem(2) *tk ikp'*)
 have *: $\langle \bigwedge j. l \leq j \implies \text{is-kth-obs } \pi l j \rangle \implies \exists j'. cs^\pi j = cs^{\pi'} j' \rangle$ using *pi* all-in- π' by auto
 have **: $\langle \bigwedge j' l'. j' \leq l' \implies \text{is-kth-obs } \pi' l' j' \rangle \implies \exists j. cs^\pi j = cs^{\pi'} j' \rangle$ using *pi'* all-in- π by auto
 have $\langle cs^\pi p = cs^{\pi'} p' \rangle$ apply(rule ccontr) using less(1)[*OF tk ikp ikp'*] * ** by blast
 }
 thus $\langle ?\text{thesis} \rangle$ by blast
 qed
 have *ii'nret*: $\langle \pi i \neq \text{return} \vee \pi' i' \neq \text{return} \rangle$ using less cs-return by auto
 have *a*: $\langle k < l \vee k < l' \rangle$ **proof** (rule ccontr)
 assume $\neg(k < l \vee k < l')$
 hence *: $\langle l < k \rangle \langle l' < k \rangle$ using *lnk lnk'* by auto
 hence *ji*: $\langle j < i \rangle$ **and** *ji'*: $\langle j' < i' \rangle$ using *ilj ilj'* less(2,3) kth-obs-mono by auto
 show $\langle \text{False} \rangle$ using *ii'nret* **proof**
 assume *nreti*: $\langle \pi i \neq \text{return} \rangle$
 hence *nretj'*: $\langle \pi' j' \neq \text{return} \rangle$ using last-cs *csij* by metis
 show $\langle \text{False} \rangle$ using cs-order[*OF path(2,1)* *csij*[symmetric] *csji*[symmetric] *nretj' ji'*] *ji* by simp
 next
 assume *nreti'*: $\langle \pi' i' \neq \text{return} \rangle$
 hence *nretj'*: $\langle \pi j \neq \text{return} \rangle$ using last-cs *csji* by metis
 show $\langle \text{False} \rangle$ using cs-order[*OF path csji csij nretj' ji*] *ji'* by simp
 qed
 qed

```

have ⟨l < k ∨ l' < k⟩ proof (rule ccontr)
  assume ⟨¬(l < k ∨ l' < k)⟩
  hence ⟨k < l⟩ ⟨k < l'⟩ using lnk lnk' by auto
  hence ji: ⟨i < j⟩ and ji': ⟨i' < j'⟩ using ilj ilj' less(2,3) kth-obs-mono by auto
  show ⟨False⟩ using ii'nret proof
    assume nreti: ⟨π i ≠ return⟩
    show ⟨False⟩ using cs-order[OF path csij csji nreti ji] ji' by simp
  next
    assume nreti': ⟨π' i' ≠ return⟩
    show ⟨False⟩ using cs-order[OF path(2,1) csji[symmetric] csij[symmetric] nreti' ji] ji by simp
  qed
qed
hence ⟨k < l ∧ l' < k ∨ k < l' ∧ l < k⟩ using a by auto
thus ⟨False⟩ proof
  assume ⟨k < l ∧ l' < k⟩
  hence kl: ⟨k < l⟩ and lk': ⟨l' < k⟩ by auto
  hence ij: ⟨i < j⟩ and ji': ⟨j' < i'⟩ using less(2,3) ilj ilj' kth-obs-mono by auto
  have nreti: ⟨π i ≠ return⟩ by (metis csji ii'nret ij last-cs path(1) term-path-stable less-imp-le)
  obtain h where ilh: ⟨is-kth-obs π l' h⟩ using ji' all-in-π ilj' no-kth-obs-missing-cs path(1) path(2) by
  (metis kl lk' ilj kth-obs-stable)
  hence ⟨csπ h = csπ' j'⟩ using cseq lk' ilj' by blast
  hence ⟨csπ i = csπ h⟩ using csij by auto
  hence hi: ⟨h = i⟩ using cs-inj nreti path(1) by metis
  have ⟨l' = k⟩ using less(2) ilh unfolding hi by (metis is-kth-obs-def)
  thus ⟨False⟩ using lk' by simp
  next
    assume ⟨k < l' ∧ l < k⟩
    hence kl': ⟨k < l'⟩ and lk: ⟨l < k⟩ by auto
    hence ij': ⟨i' < j'⟩ and ji: ⟨j < i⟩ using less(2,3) ilj ilj' kth-obs-mono by auto
    have nreti': ⟨π' i' ≠ return⟩ by (metis csij ii'nret ij' last-cs path(2) term-path-stable less-imp-le)
    obtain h' where ilh': ⟨is-kth-obs π' l h'⟩ using all-in-π' ilj' no-kth-obs-missing-cs path(1) path(2) kl' lk
    ilj' kth-obs-stable by metis
    hence ⟨csπ' j = csπ' h'⟩ using cseq lk ilj by blast
    hence ⟨csπ' i' = csπ' h'⟩ using csji by auto
    hence hi: ⟨h' = i'⟩ using cs-inj nreti' path(2) by metis
    have ⟨l = k⟩ using less(3) ilh' unfolding hi by (metis is-kth-obs-def)
    thus ⟨False⟩ using lk by simp
  qed
qed
qed

```

2.7 Facts about Data

lemma reads-restrict1: ⟨σ ↾ (reads n) = σ' ↾ (reads n) ⟩ ⟹ ∀ x ∈ reads n. σ x = σ' x by (metis restrict-def)

lemma reads-restrict2: ⟨∀ x ∈ reads n. σ x = σ' x ⟩ ⟹ σ ↾ (reads n) = σ' ↾ (reads n) unfolding restrict-def by auto

lemma reads-restrict: ⟨(σ ↾ (reads n) = σ' ↾ (reads n)) = (∀ x ∈ reads n. σ x = σ' x)⟩ using reads-restrict1 reads-restrict2 by metis

lemma reads-restr-suc: ⟨σ ↾ (reads n) = σ' ↾ (reads n) ⟩ ⟹ suc n σ = suc n σ' by (metis reads-restrict uses-suc)

lemma reads-restr-sem: ⟨σ ↾ (reads n) = σ' ↾ (reads n) ⟩ ⟹ ∀ v ∈ writes n. sem n σ v = sem n σ' v by

(metis reads-restrict1 uses-writes)

```

lemma reads-obsp: assumes <path σ k = path σ' k'> <σk ↗ (reads (path σ k)) = σ'k' ↗ (reads (path σ k))>
shows <obsp σ k = obsp σ' k'>
  using assms(2) uses-att
  unfolding obsp-def assms(1) reads-restrict
  apply (cases <att (path σ' k')>)
  by auto

lemma no-writes-unchanged0: assumes <∀ l < k. v ∉ writes(path σ l)> shows <(σk) v = σ v> using assms
proof (induction <k>)
  case 0 thus <?case> by(auto simp add: kth-state-def)
next
  case (Suc k)
  hence <(σk) v = σ v> by auto
  moreover
  have <σSuc k = snd (step (path σ k, σk))> by (metis kth-state-suc)
  hence <σSuc k = sem (path σ k) (σk)> by (metis step-suc-sem snd-conv)
  moreover
  have <v ∉ writes (path σ k)> using Suc.preds by blast
  ultimately
  show <?case> using writes by metis
qed

lemma written-read-dd: assumes <is-path π> <v ∈ reads (π k)> <v ∈ writes (π j)> <j < k> obtains l where <k ddπ,v→ l>
proof -
  let <?l> = <GREATEST l. l < k ∧ v ∈ writes (π l)>
  have <?l < k> by (metis (no-types, lifting) GreatestI-ex-nat assms(3) assms(4) less-or-eq-imp-le)
  moreover
  have <v ∈ writes (π ?l)> by (metis (no-types, lifting) GreatestI-nat assms(3) assms(4) less-or-eq-imp-le)
  hence <v ∈ reads (π k) ∩ writes (π ?l)> using assms(2) by auto
  moreover
  note is-ddi-def
  have <∀ l ∈ {?l <..< k}. v ∉ writes (π l)> by (auto, metis (lifting, no-types) Greatest-le-nat le-antisym nat-less-le)
  ultimately
  have <k ddπ,v→ ?l> using assms(1) unfolding is-ddi-def by blast
  thus <thesis> using that by simp
qed

lemma no-writes-unchanged: assumes <k ≤ l> <∀ j ∈ {k..<l}. v ∉ writes(path σ j)> shows <(σl) v = (σk) v>
using assms
proof (induction <l - k> arbitrary: <l>)
  case 0 thus <?case> by(auto)
next
  case (Suc lk l)
  hence kl: <k < l> by auto
  then obtain l' where lsuc: <l = Suc l'> using lessE by blast
  hence <lk = l' - k> using Suc by auto
  moreover
  have <∀ j ∈ {k..<l'}. v ∉ writes (path σ j)> using Suc(4) lsuc by auto
  ultimately
  have <(σl') v = (σk) v> using Suc(1)[of <l'>] lsuc kl by fastforce
  moreover

```

have $\langle \sigma^l = \text{snd} (\text{step} (\text{path} \sigma l', \sigma^{l'})) \rangle$ **by** (metis *kth-state-suc lsuc*)
hence $\langle \sigma^l = \text{sem} (\text{path} \sigma l') (\sigma^{l'}) \rangle$ **by** (metis *step-suc-sem snd-conv*)
moreover
have $\langle l' < l \wedge k \leq l' \rangle$ **using** *kl lsuc* **by** *auto*
hence $\langle v \notin \text{writes} (\text{path} \sigma l') \rangle$ **using** *Suc.prem(2)* **by** *auto*
ultimately
show $\langle ?\text{case} \rangle$ **using** *writes* **by** *metis*
qed

lemma *ddi-value*: **assumes** $\langle l \text{ ddi-value } dd(\text{path} \sigma), v \rightarrow k \rangle$ **shows** $\langle (\sigma^l) v = (\sigma^{\text{Suc } k}) v \rangle$
using *assms no-writes-unchanged*[of $\langle \text{Suc } k \rangle \langle l \rangle \langle v \rangle \langle \sigma \rangle$] **unfolding** *is-ddi-def* **by** *auto*

lemma *written-value*: **assumes** $\langle \text{path} \sigma l = \text{path} \sigma' l' \rangle \langle \sigma^l \upharpoonright \text{reads} (\text{path} \sigma l) = \sigma'^{l'} \upharpoonright \text{reads} (\text{path} \sigma l) \rangle \langle v \in \text{writes} (\text{path} \sigma l) \rangle$
shows $\langle (\sigma^{\text{Suc } l}) v = (\sigma'^{\text{Suc } l'}) v \rangle$
by (metis *assms reads-restr-sem snd-conv step-suc-sem kth-state-suc*)

2.8 Facts about Contradicting Paths

lemma *obsp-contradict*: **assumes** *csk*: $\langle \text{cs-path} \sigma k = \text{cs-path} \sigma' k' \rangle$ **and** *obs*: $\langle \text{obsp } \sigma k \neq \text{obsp } \sigma' k' \rangle$ **shows** $\langle (\sigma', k') \mathrel{\text{c}} (\sigma, k) \rangle$

proof –

have *pk*: $\langle \text{path} \sigma k = \text{path} \sigma' k' \rangle$ **using** *assms last-cs* **by** *metis*
hence $\langle \sigma^k \upharpoonright (\text{reads} (\text{path} \sigma k)) \neq \sigma'^{k'} \upharpoonright (\text{reads} (\text{path} \sigma k)) \rangle$ **using** *obs reads-obsp*[*OF pk*] **by** *auto*
thus $\langle (\sigma', k') \mathrel{\text{c}} (\sigma, k) \rangle$ **using** *contradicts.intros(2)*[*OF csk[symmetric]*] **by** *auto*

qed

lemma *missing-cs-contradicts*: **assumes** *notin*: $\langle \neg (\exists k'. \text{cs-path} \sigma k = \text{cs-path} \sigma' k') \rangle$ **and** *converge*: $\langle k < n \rangle$
 $\langle \text{cs-path} \sigma n = \text{cs-path} \sigma' n' \rangle$ **shows** $\langle \exists j'. (\sigma', j') \mathrel{\text{c}} (\sigma, k) \rangle$

proof –

let $\langle ?\pi \rangle = \langle \text{path} \sigma \rangle$
let $\langle ?\pi' \rangle = \langle \text{path} \sigma' \rangle$
have *init*: $\langle ?\pi 0 = ?\pi' 0 \rangle$ **unfolding** *path-def* **by** *auto*
have *path*: $\langle \text{is-path} ?\pi \rangle \langle \text{is-path} ?\pi' \rangle$ **using** *path-is-path* **by** *auto*
obtain *j j'* **where** *csj*: $\langle \text{cs}^{\text{?}\pi} j = \text{cs}^{\text{?}\pi'} j' \rangle$ **and** *cd*: $\langle k \text{ cd}^{\text{?}\pi} \rightarrow j \rangle$ **and** *suc*: $\langle ?\pi (\text{Suc } j) \neq ?\pi' (\text{Suc } j') \rangle$ **using** *converged-cd-diverge*[*OF path init notin converge*].
have *less*: $\langle \text{cs}^{\text{?}\pi} j \prec \text{cs}^{\text{?}\pi} k \rangle$ **using** *cd cd-is-cs-less* **by** *auto*
have *nretj*: $\langle ?\pi j \neq \text{return} \rangle$ **by** (metis *cd is-cd-def term-path-stable less-imp-le*)
have *cs*: $\langle ?\pi \upharpoonright \text{cs}^{\text{?}\pi'} j' = j \rangle$ **using** *csj cs-select-id nretj path-is-path* **by** *metis*
have $\langle (\sigma', j') \mathrel{\text{c}} (\sigma, k) \rangle$ **using** *contradicts.intros(1)*[*of* $\langle ?\pi' \rangle \langle j' \rangle \langle ?\pi \rangle \langle k \rangle \langle \sigma \rangle \langle \sigma' \rangle$, *unfolded cs*] **less suc csj** **by** *metis*
thus $\langle ?\text{thesis} \rangle$ **by** *blast*
qed

theorem *obs-neq-contradicts-term*: **fixes** $\sigma \sigma'$ **defines** $\pi: \langle \pi \equiv \text{path} \sigma \rangle$ **and** $\pi': \langle \pi' \equiv \text{path} \sigma' \rangle$ **assumes** *ret*:
 $\langle \pi n = \text{return} \rangle \langle \pi' n' = \text{return} \rangle$ **and** *obsne*: $\langle \text{obs } \sigma \neq \text{obs } \sigma' \rangle$

shows $\langle \exists k k'. ((\sigma', k') \mathrel{\text{c}} (\sigma, k) \wedge \pi k \in \text{dom} (\text{att})) \vee ((\sigma, k) \mathrel{\text{c}} (\sigma', k') \wedge \pi' k' \in \text{dom} (\text{att})) \rangle$

proof –

have *path*: $\langle \text{is-path} \pi \rangle \langle \text{is-path} \pi' \rangle$ **using** $\pi \pi' \text{ path-is-path}$ **by** *auto*
obtain *k1* **where** *neq*: $\langle \text{obs } \sigma k1 \neq \text{obs } \sigma' k1 \rangle$ **using** *obsne ext*[*of* $\langle \text{obs } \sigma \rangle \langle \text{obs } \sigma' \rangle$] **by** *blast*
hence $\langle (\exists k i. \text{is-kth-obs } \pi k i \wedge \text{is-kth-obs } \pi' k i' \wedge \text{obsp } \sigma i \neq \text{obsp } \sigma' i' \wedge \text{cs}^\pi i = \text{cs}^{\pi'} i') \rangle$
 $\vee (\exists k i. \text{is-kth-obs } \pi k i \wedge \neg (\exists i'. \text{cs}^\pi i = \text{cs}^{\pi'} i'))$
 $\vee (\exists k i. \text{is-kth-obs } \pi' k i' \wedge \neg (\exists i. \text{cs}^\pi i = \text{cs}^{\pi'} i'))$
proof(*cases rule: option-neq-cases*)

```

case (none2 x)
have notinπ':  $\neg (\exists l. \text{is-kth-obs } \pi' k1 l)$  using none2(2)  $\pi' \text{ obs-none-no-kth-obs}$  by auto
obtain i where inπ:  $\langle \text{is-kth-obs } \pi k1 i \rangle$  using obs-some-kth-obs[of  $\langle \sigma \rangle \langle k1 \rangle$ ] none2(1)  $\pi$  by auto
obtain l j where  $\langle \text{is-kth-obs } \pi l j \rangle \neg (\exists j'. cs^\pi j = cs^{\pi'} j')$  using path inπ notinπ' by (metis no-kth-obs-missing-cs)
thus  $\langle ?\text{thesis} \rangle$  by blast
next
case (none1 x)
have notinπ:  $\neg (\exists l. \text{is-kth-obs } \pi k1 l)$  using none1(1)  $\pi \text{ obs-none-no-kth-obs}$  by auto
obtain i' where inπ':  $\langle \text{is-kth-obs } \pi' k1 i' \rangle$  using obs-some-kth-obs[of  $\langle \sigma' \rangle \langle k1 \rangle$ ] none1(2)  $\pi'$  by auto
obtain l j where  $\langle \text{is-kth-obs } \pi' l j \rangle \neg (\exists j'. cs^\pi j' = cs^{\pi'} j)$  using path inπ' notinπ by (metis no-kth-obs-missing-cs)
thus  $\langle ?\text{thesis} \rangle$  by blast
next
case (some x y)
obtain i where inπ:  $\langle \text{is-kth-obs } \pi k1 i \rangle$  using obs-some-kth-obs[of  $\langle \sigma \rangle \langle k1 \rangle$ ] some π by auto
obtain i' where inπ':  $\langle \text{is-kth-obs } \pi' k1 i' \rangle$  using obs-some-kth-obs[of  $\langle \sigma' \rangle \langle k1 \rangle$ ] some π' by auto
show  $\langle ?\text{thesis} \rangle$  proof (cases)
assume *:  $cs^\pi i = cs^{\pi'} i'$ 
have  $\langle obsp \sigma i = obs \sigma k1 \rangle$  by (metis obs-def  $\pi \text{ inπ kth-obs-unique the-equality}$ )
moreover
have  $\langle obsp \sigma' i' = obs \sigma' k1 \rangle$  by (metis obs-def  $\pi' \text{ inπ' kth-obs-unique the-equality}$ )
ultimately
have  $\langle obsp \sigma i \neq obsp \sigma' i' \rangle$  using neq by auto
thus  $\langle ?\text{thesis} \rangle$  using * inπ inπ' by blast
next
assume *:  $cs^\pi i \neq cs^{\pi'} i'$ 
note kth-obs-cs-missing-cs[OF path inπ inπ'*]
thus  $\langle ?\text{thesis} \rangle$  by metis
qed
qed
thus  $\langle ?\text{thesis} \rangle$  proof (cases rule: three-cases)
case 1
then obtain k i i' where iki:  $\langle \text{is-kth-obs } \pi k i \rangle \langle \text{is-kth-obs } \pi' k i' \rangle$  and obsne:  $\langle obsp \sigma i \neq obsp \sigma' i' \rangle$  and
csi:  $\langle cs^\pi i = cs^{\pi'} i' \rangle$  by auto
note obsp-contradict[OF csi[unfolded π π'] obsne]
moreover
have  $\langle \pi i \in \text{dom att} \rangle$  using iki unfolding is-kth-obs-def by auto
ultimately
show  $\langle ?\text{thesis} \rangle$  by blast
next
case 2
then obtain k i where iki:  $\langle \text{is-kth-obs } \pi k i \rangle$  and notinπ':  $\neg (\exists i'. cs^\pi i = cs^{\pi'} i')$  by auto
let  $\langle ?n \rangle = \langle \text{Suc } (\max n i) \rangle$ 
have nn:  $\langle n < ?n \rangle$  by auto
have iln:  $\langle i < ?n \rangle$  by auto
have retn:  $\langle \pi ?n = \text{return} \rangle$  using ret term-path-stable path by auto
hence  $\langle cs^\pi ?n = cs^{\pi'} n' \rangle$  using ret(2) cs-return by auto
then obtain i' where  $\langle (\sigma', i') \in (\sigma, i) \rangle$  using missing-cs-contradicts[OF notinπ'[unfolded π π'] iln]  $\pi \pi'$  by
auto
moreover
have  $\langle \pi i \in \text{dom att} \rangle$  using iki is-kth-obs-def by auto
ultimately
show  $\langle ?\text{thesis} \rangle$  by blast

```

```

next
  case 3
  then obtain k i' where iki: <is-kth-obs π' k i'> and notinπ': ⊢ (exists i. csπ i = csπ' i') by auto
  let ?n = <Suc (max n' i')>
  have nn: <n' < ?n> by auto
  have iln: <i' < ?n> by auto
  have retn: <π' ?n = return> using ret term-path-stable path by auto
  hence <csπ n = csπ' ?n> using ret(1) cs-return by auto
  then obtain i where <(σ,i) c (σ',i')> using missing-cs-contradicts notinπ' iln π π' by metis
  moreover
  have <π' i' ∈ dom att> using iki is-kth-obs-def by auto
  ultimately
  show <?thesis> by blast
qed
qed

```

```

lemma obs-neq-some-contradicts': fixes σ σ' defines π: <π ≡ path σ> and π': <π' ≡ path σ'>
assumes obsnecs: <obsp σ i ≠ obsp σ' i' ∨ csπ i ≠ csπ' i'>
and iki: <is-kth-obs π k i> and iki': <is-kth-obs π' k i'>
shows <exists k k'. ((σ', k') c (σ, k) ∧ π k ∈ dom att) ∨ ((σ, k) c (σ', k') ∧ π' k' ∈ dom att)>
using obsnecs iki iki' proof (induction <k> arbitrary: <i> <i'> rule: less-induct )
  case (less k i i')
  note iki = <is-kth-obs π k i>
  and iki' = <is-kth-obs π' k i'>
  have domi: <π i ∈ dom att> by (metis is-kth-obs-def domIff iki)
  have domi': <π' i' ∈ dom att> by (metis is-kth-obs-def domIff iki')
  note obsnecs = <obsp σ i ≠ obsp σ' i' ∨ csπ i ≠ csπ' i'>
  show <?thesis> proof cases
    assume csi: <csπ i = csπ' i'>
    hence *: <obsp σ i ≠ obsp σ' i'> using obsnecs by auto
    note obsp-contradict[OF - *] csi domi π π'
    thus <?thesis> by blast
  next
    assume ncsi: <csπ i ≠ csπ' i'>
    have path: <is-path π> <is-path π'> using π π' path-is-path by auto
    have π0: <π 0 = π' 0> unfolding π π' path-def by auto
    note kth-obs-cs-missing-cs[of <π> <π'> <k> <i> <i'>] π π' path-is-path iki iki' ncsi
    hence <(exists l j. j ≤ i ∧ is-kth-obs π l j ∧ ¬ (exists j'. csπ j = csπ' j')) ∨ (exists l' j'. j' ≤ i' ∧ is-kth-obs π' l' j' ∧
    ¬ (exists j. csπ j = csπ' j'))> by metis
    thus <?thesis> proof
      assume <exists l j. j ≤ i ∧ is-kth-obs π l j ∧ ¬ (exists j'. csπ j = csπ' j')>
      then obtain l j where ji: <j ≤ i> and iobs: <is-kth-obs π l j> and notin: ⊢ (exists j'. csπ j = csπ' j') by blast
      have dom: <π j ∈ dom att> using iobs is-kth-obs-def by auto
      obtain n n' where nj: <n < j> and csn: <csπ n = csπ' n'> and sucn: <π (Suc n) ≠ π' (Suc n')> and
      cdloop: <j cdπ → n ∨ (∀ j' > n'. j' cdπ' → n')>
      using missing-cd-or-loop[OF path π0 notin] by blast
      show <?thesis> using cdloop proof
        assume cdjn: <j cdπ → n>
        hence csnj: <csπ' n' < csπ j> using csn by (metis cd-is-cs-less)
        have cssel: <π (Suc (π | csπ' n')) = π (Suc n)> using csn by (metis cdjn cd-not-ret cs-select-id path(1))
        have <(σ', n') c (σ, j)> using csnj apply(rule contradicts.intros(1)) using cssel π π' sucn by auto
        thus <?thesis> using dom by auto
      next
        assume loop: <∀ j' > n'. j' cdπ' → n'>

```

```

show ⟨?thesis⟩ proof cases
  assume in': ⟨i' ≤ n'⟩
  have nreti': ⟨π' i' ≠ return⟩ by( metis le-eq-less-or-eq lessI loop not-le path(2) ret-no-cd term-path-stable)
  show ⟨?thesis⟩ proof cases
    assume ⟨∃ i. csπ' i' = csπ i⟩
    then obtain i where csi: ⟨csπ i = csπ' i'⟩ by metis
    have in: ⟨i ≤ n⟩ using cs-order-le[OF path(2,1) csi[symmetric] csn[symmetric]] nreti' in' .
    hence ii: ⟨i < i'⟩ using nj ji by auto
    have domi: ⟨π i ∈ dom att⟩ using domi' csi last-cs by metis
    obtain κ where iki: ⟨is-kth-obs π κ i⟩ using domi by (metis is-kth-obs-def domIff)
    hence kk: ⟨κ < k⟩ using ii iki by (metis kth-obs-le-iff)
    obtain i' where iki': ⟨is-kth-obs π' κ i'⟩ using κk iki' by (metis kth-obs-stable)
    have ⟨i' < i'⟩ using κk iki' iki' by (metis kth-obs-le-iff)
    hence csi': ⟨csπ i ≠ csπ' i'⟩ unfolding csi using cs-inj[OF path(2) nreti', of ⟨i'⟩] by blast
    thus ⟨?thesis⟩ using less(1)[OF κk - iki iki'] by auto
  next
    assume notin": ⟨¬(∃ i. csπ' i' = csπ i)⟩
    obtain i i' where ii: ⟨i' < i'⟩ and csi: ⟨csπ i = csπ' i'⟩ and sucii: ⟨π (Suc i) ≠ π' (Suc i')⟩ and
      cdloop': ⟨i' cdπ' → i' ∨ (∀ j > i. j cdπ → i)⟩
      using missing-cd-or-loop[OF path(2,1) π0[symmetric] notin"] by metis
    show ⟨?thesis⟩ using cdloop' proof
      assume cdjn: ⟨i' cdπ' → i'⟩
      hence csnj: ⟨csπ i < csπ' i'⟩ using csi by (metis cd-is-cs-less)
      have cssel: ⟨π' (Suc (π' i csπ i)) = π' (Suc i')⟩ using csi by (metis cdjn cd-not-ret cs-select-id
        path(2))
      have ⟨(σ,i) c (σ',i')⟩ using csnj apply(rule contradicts.intros(1)) using cssel π π' sucii by auto
      thus ⟨?thesis⟩ using domi' by auto
    next
      assume loop': ⟨∀ j > i. j cdπ → i⟩
      have in': ⟨i' < n'⟩ using in' ii by auto
      have nreti': ⟨π' i' ≠ return⟩ by (metis csi last-cs le-eq-less-or-eq lessI path(1) path(2) sucii
        term-path-stable)
      have ⟨i < n⟩ using cs-order[OF path(2,1) csi[symmetric] csn[symmetric]] nreti' in' .
      hence ii: ⟨i < i'⟩ using nj ji by auto
      hence cdii: ⟨i cdπ → i⟩ using loop' by auto
      hence csi: ⟨csπ' i' < csπ i⟩ using csi by (metis cd-is-cs-less)
      have cssel: ⟨π (Suc (π i csπ' i')) = π (Suc i)⟩ using csi by (metis cdii cd-not-ret cs-select-id
        path(1))
      have ⟨(σ,i') c (σ,i)⟩ using csi apply(rule contradicts.intros(1)) using cssel π π' sucii by auto
      thus ⟨?thesis⟩ using domi by auto
    qed
  qed
next
  assume ¬ i' ≤ n'
  hence ni': ⟨n' < i'⟩ by simp
  hence cdin: ⟨i' cdπ' → n'⟩ using loop by auto
  hence csni: ⟨csπ n < csπ' i'⟩ using csn by (metis cd-is-cs-less)
  have cssel: ⟨π' (Suc (π' i csπ n)) = π' (Suc n)⟩ using csn by (metis cdin cd-not-ret cs-select-id
    path(2))
  have ⟨(σ,n) c (σ',i')⟩ using csni apply(rule contradicts.intros(1)) using cssel π π' sucnn by auto
  thus ⟨?thesis⟩ using domi' by auto
  qed
  qed
next

```

— Symmetric case as above, indices might be messy.

assume $\exists l j. j \leq i' \wedge \text{is-kth-obs } \pi' l j \wedge \neg (\exists j'. cs^\pi j' = cs^{\pi'} j)$

then obtain $l j$ **where** $ji': \langle j \leq i' \rangle$ **and** $iobs: \langle \text{is-kth-obs } \pi' l j \rangle$ **and** $\text{notin}: \neg (\exists j'. cs^{\pi'} j = cs^\pi j')$ **by** *metis*

have $\text{dom}: \langle \pi' j \in \text{dom att} \rangle$ **using** *iobs is-kth-obs-def by auto*

obtain $n n'$ **where** $nj: \langle n < j \rangle$ **and** $csn: \langle cs^\pi n = cs^{\pi'} n' \rangle$ **and** $sucn: \langle \pi' (\text{Suc } n) \neq \pi (\text{Suc } n') \rangle$ **and** $cdloop: \langle j cd^{\pi'} \rightarrow n \vee (\forall j' > n'. j' cd^{\pi'} \rightarrow n') \rangle$

using *missing-cd-or-loop[OF path(2,1) π0[symmetric]] notin by metis*

show $\langle ?\text{thesis} \rangle$ **using** *cdloop proof*

assume $cdjn: \langle j cd^{\pi'} \rightarrow n \rangle$

hence $csnj: \langle cs^\pi n' \prec cs^{\pi'} j \rangle$ **using** *csn by (metis cd-is-cs-less)*

have $csel: \langle \pi' (\text{Suc } (\pi' \upharpoonright cs^\pi n')) = \pi' (\text{Suc } n) \rangle$ **using** *csn by (metis cdjn cd-not-ret cs-select-id path(2))*

have $\langle (\sigma, n') \subset (\sigma', j) \rangle$ **using** *csnj apply(rule contradicts.intros(1)) using csel π' π sucn by auto*

thus $\langle ?\text{thesis} \rangle$ **using** *dom by auto*

next

assume $loop: \forall j' > n'. j' cd^{\pi'} \rightarrow n'$

show $\langle ?\text{thesis} \rangle$ **proof cases**

assume $in': \langle i \leq n' \rangle$

have $nreti: \langle \pi i \neq \text{return} \rangle$ **by** *(metis le-eq-less-or-eq lessI loop not-le path(1) ret-no-cd term-path-stable)*

show $\langle ?\text{thesis} \rangle$ **proof cases**

assume $\exists \iota. cs^\pi i = cs^{\pi'} \iota$

then obtain ι **where** $csi: \langle cs^{\pi'} \iota = cs^\pi i \rangle$ **by** *metis*

have $in: \langle \iota \leq n \rangle$ **using** *cs-order-le[OF path csi[symmetric] csn[symmetric] nreti in']*

hence $ii': \langle \iota < i' \rangle$ **using** *nj ji' by auto*

have $domi: \langle \pi' \iota \in \text{dom att} \rangle$ **using** *domi csi last-cs by metis*

obtain κ **where** $ik\iota: \langle \text{is-kth-obs } \pi' \kappa \iota \rangle$ **using** *domi by (metis is-kth-obs-def domIff)*

hence $\kappa\iota: \langle \kappa < k \rangle$ **using** *ii' ik\iota by (metis kth-obs-le-iff)*

obtain ι' **where** $ik\iota': \langle \text{is-kth-obs } \pi \kappa \iota' \rangle$ **using** *kk ik\iota by (metis kth-obs-stable)*

have $\langle \iota' < i \rangle$ **using** *kk ik\iota ik\iota' by (metis kth-obs-le-iff)*

hence $cst': \langle cs^{\pi'} \iota \neq cs^\pi \iota' \rangle$ **unfolding** *csi using cs-inj[OF path(1) nreti, of ⟨i'⟩]* **by** *blast*

thus $\langle ?\text{thesis} \rangle$ **using** *less(1)[OF κk - ik\iota' ik\iota]* **by** *auto*

next

assume $\text{notin}'': \neg (\exists \iota. cs^\pi i = cs^{\pi'} \iota)$

obtain $\iota \iota'$ **where** $ii: \langle \iota' < i \rangle$ **and** $csi: \langle cs^{\pi'} \iota = cs^\pi \iota' \rangle$ **and** $suci: \langle \pi' (\text{Suc } \iota) \neq \pi (\text{Suc } \iota') \rangle$ **and** $cdloop': \langle i cd^{\pi'} \rightarrow \iota' \vee (\forall j > \iota. j cd^{\pi'} \rightarrow \iota) \rangle$

using *missing-cd-or-loop[OF path π0 notin''] by metis*

show $\langle ?\text{thesis} \rangle$ **using** *cdloop' proof*

assume $cdjn: \langle i cd^{\pi'} \rightarrow \iota' \rangle$

hence $csnj: \langle cs^{\pi'} \iota \prec cs^\pi i \rangle$ **using** *csi by (metis cd-is-cs-less)*

have $csel: \langle \pi (\text{Suc } (\pi \upharpoonright cs^\pi \iota)) = \pi (\text{Suc } \iota') \rangle$ **using** *csi by (metis cdjn cd-not-ret cs-select-id path(1))*

have $\langle (\sigma, \iota) \subset (\sigma, i) \rangle$ **using** *csnj apply(rule contradicts.intros(1)) using csel π' π suc\iota by auto*

thus $\langle ?\text{thesis} \rangle$ **using** *domi by auto*

next

assume $loop': \forall j > \iota. j cd^{\pi'} \rightarrow \iota$

have $in': \langle \iota' < n' \rangle$ **using** *in' ii by auto*

have $nreti': \langle \pi \iota' \neq \text{return} \rangle$ **by** *(metis csi last-cs le-eq-less-or-eq lessI path(1) path(2) suc\iota term-path-stable)*

have $\langle \iota < n \rangle$ **using** *cs-order[OF path csi[symmetric] csn[symmetric] nreti' in']*

hence $\langle \iota < i' \rangle$ **using** *nj ji' by auto*

hence $cdi\iota: \langle i' cd^{\pi'} \rightarrow \iota \rangle$ **using** *loop' by auto*

hence $csi\iota': \langle cs^{\pi'} \iota' \prec cs^\pi \iota' \rangle$ **using** *csi by (metis cd-is-cs-less)*

have $csel: \langle \pi' (\text{Suc } (\pi' \upharpoonright cs^\pi \iota')) = \pi' (\text{Suc } \iota) \rangle$ **using** *csi by (metis cdi\iota cd-not-ret cs-select-id*

```

path(2))
  have ⟨(σ, i') c (σ', i')⟩ using csni' apply(rule contradicts.intros(1)) using cssel π' π suc by auto
    thus ⟨?thesis⟩ using domi' by auto
  qed
  qed
next
  assume ⟨¬ i ≤ n'⟩
  hence ni: ⟨n' < i⟩ by simp
  hence cdin: ⟨i cdπ→ n'⟩ using loop by auto
  hence csni': ⟨csπ' n < csπ i⟩ using csn by (metis cd-is-cs-less)
  have cssel: ⟨π (Suc (π i csπ' n)) = π (Suc n')⟩ using csn by (metis cdin cd-not-ret cs-select-id path(1))
    have ⟨(σ', n) c (σ, i)⟩ using csni' apply(rule contradicts.intros(1)) using cssel π' π suc by auto
      thus ⟨?thesis⟩ using domi by auto
  qed
  qed
  qed
qed

```

theorem *obs-neq-some-contradicts*: **fixes** $\sigma \sigma'$ **defines** π : $\langle \pi \equiv \text{path } \sigma \rangle$ **and** π' : $\langle \pi' \equiv \text{path } \sigma' \rangle$
assumes *obsne*: $\langle \text{obs } \sigma k \neq \text{obs } \sigma' k \rangle$ **and** *not-none*: $\langle \text{obs } \sigma k \neq \text{None} \rangle$ $\langle \text{obs } \sigma' k \neq \text{None} \rangle$
shows $\exists k k'. ((\sigma', k') c (\sigma, k) \wedge \pi k \in \text{dom att}) \vee ((\sigma, k) c (\sigma', k') \wedge \pi' k' \in \text{dom att})$
proof –
 obtain i where *iki*: $\langle \text{is-kth-obs } \pi k i \rangle$ using *not-none*(1) by (metis π *obs-some-kth-obs*)
 obtain i' where *iki'*: $\langle \text{is-kth-obs } \pi' k i' \rangle$ using *not-none*(2) by (metis π' *obs-some-kth-obs*)
 have ⟨obsp σ i = obs σ k⟩ by (metis π *iki* *kth-obs-unique obs-def the-equality*)
 moreover
 have ⟨obsp σ' i' = obs σ' k⟩ by (metis π' *iki'* *kth-obs-unique obs-def the-equality*)
 ultimately
 have *obspne*: ⟨obsp σ i ≠ obsp σ' i'⟩ using *obsne* by auto
 show ⟨?thesis⟩ using *obs-neq-some-contradicts*'[OF - *iki*[unfolded π] *iki'*[unfolded π']] using *obspne* π π' by metis
qed

theorem *obs-neq-ret-contradicts*: **fixes** $\sigma \sigma'$ **defines** π : $\langle \pi \equiv \text{path } \sigma \rangle$ **and** π' : $\langle \pi' \equiv \text{path } \sigma' \rangle$
assumes *ret*: $\langle \pi n = \text{return} \rangle$ **and** *obsne*: $\langle \text{obs } \sigma' i \neq \text{obs } \sigma i \rangle$ **and** *obs*: $\langle \text{obs } \sigma' i \neq \text{None} \rangle$
shows $\exists k k'. ((\sigma', k') c (\sigma, k) \wedge \pi k \in \text{dom (att)}) \vee ((\sigma, k) c (\sigma', k') \wedge \pi' k' \in \text{dom (att)})$
proof (cases $\exists j k'. \text{is-kth-obs } \pi' j k' \wedge (\nexists k. csπ k = csπ' k')$)
 case *True*
 obtain $l k'$ where *jk*: $\langle \text{is-kth-obs } \pi' l k' \rangle$ **and** *unmatched*: $\langle (\nexists k. csπ k = csπ' k') \rangle$ using *True* by blast
 have π0: $\langle \pi 0 = \pi' 0 \rangle$ using π π' *path0* by auto
 obtain $j j'$ where *csj*: $\langle csπ j = csπ' j' \rangle$ **and** *cd*: $\langle k' cdπ' \rightarrow j' \rangle$ **and** *suc*: $\langle \pi (Suc j) \neq \pi' (Suc j') \rangle$
 using *converged-cd-diverge-return*[of ⟨π'⟩ ⟨π⟩ ⟨k'⟩ ⟨n⟩] *ret unmatched path-is-path* π π' π0 by metis
 hence *: $\langle (\sigma, j) c (\sigma', k') \rangle$ using *contradicts.intros*(1)[of ⟨π⟩ ⟨j⟩ ⟨π'⟩ ⟨k'⟩ ⟨σ'⟩ ⟨σ⟩, unfolded *csj*] π π'
 using *cd-is-cs-less cd-not-ret cs-select-id* by auto
 have ⟨π' k' ∈ *dom*(att)⟩ using *jk* by (meson *domIff is-kth-obs-def*)
 thus ⟨?thesis⟩ using * by blast
next
 case *False*
 hence *: $\langle \bigwedge j k'. \text{is-kth-obs } \pi' j k' \implies \exists k. csπ k = csπ' k' \rangle$ by auto
 obtain k' where *k*: $\langle \text{is-kth-obs } \pi' i k' \rangle$ using *obs* π' *obs-some-kth-obs* by blast
 obtain l where *l*: $\langle \text{is-kth-obs } \pi i l \rangle$ using * π π' *k' no-kth-obs-missing-cs path-is-path* by metis
 thus ⟨?thesis⟩ using π π' *obs* *obs-neq-some-contradicts* *obs-none-no-kth-obs obsne* by metis
qed

2.9 Facts about Critical Observable Paths

```

lemma contradicting-in-cp: assumes leq:< $\sigma =_L \sigma'$ > and cseq:< $cs\text{path } \sigma \text{ } k = cs\text{path } \sigma' \text{ } k'$ >
and readv:< $v \in \text{reads}(\text{path } \sigma \text{ } k)$ > and vneq:< $(\sigma^k) \text{ } v \neq (\sigma'^{k'}) \text{ } v$ > shows < $((\sigma, k), (\sigma', k')) \in cp$ >
using cseq readv vneq proof(induction < $k+k'$ > arbitrary: < $k$ > < $k'$ > < $v$ > rule: less-induct)
fix  $k \text{ } k' \text{ } v$ 
assume csk:< $cs\text{path } \sigma \text{ } k = cs\text{path } \sigma' \text{ } k'$ >
assume vread:< $v \in \text{reads}(\text{path } \sigma \text{ } k)$ >
assume vneq:< $(\sigma^k) \text{ } v \neq (\sigma'^{k'}) \text{ } v$ >
assume IH:< $\bigwedge ka \text{ } k'a \text{ } v. \text{ } ka + k'a < k + k' \implies cs\text{path } \sigma \text{ } ka = cs\text{path } \sigma' \text{ } k'a \implies v \in \text{reads}(\text{path } \sigma \text{ } ka) \implies (\sigma^{ka}) \text{ } v \neq (\sigma'^{k'a}) \text{ } v \implies ((\sigma, ka), (\sigma', k'a)) \in cp$ >

define  $\pi$  where < $\pi \equiv \text{path } \sigma$ >
define  $\pi'$  where < $\pi' \equiv \text{path } \sigma'$ >
have path:< $\pi = \text{path } \sigma \text{ } \pi' = \text{path } \sigma'$ > using  $\pi\text{-def } \pi'\text{-def path-is-path}$  by auto
have ip:< $\text{is-path } \pi \text{ } \text{is-path } \pi'$ > using  $\text{path path-is-path}$  by auto

have  $\pi 0$ :< $\pi' \text{ } 0 = \pi \text{ } 0$ > unfolding path path-def by auto
have vread':< $v \in \text{reads}(\text{path } \sigma' \text{ } k')$ > using csk vread by (metis last-cs)
have cseq:< $cs\pi' \text{ } k' = cs\pi \text{ } k$ > using csk path by simp

show < $((\sigma, k), \sigma', k') \in cp$ > proof cases
assume vnw:< $\forall l < k. \text{ } v \notin \text{writes}(\pi \text{ } l)$ >
hence  $\sigma v$ :< $(\sigma^k) \text{ } v = \sigma \text{ } v$ > by (metis no-writes-unchanged0 path(1))
show <?thesis> proof cases
assume vnw':< $\forall l < k'. \text{ } v \notin \text{writes}(\pi' \text{ } l)$ >
hence  $\sigma v'$ :< $(\sigma'^{k'}) \text{ } v = \sigma' \text{ } v$ > by (metis no-writes-unchanged0 path(2))
with  $\sigma v$  vneq have < $\sigma \text{ } v \neq \sigma' \text{ } v$ > by auto
hence vhigh:< $v \in \text{hvars}$ > using leq unfolding loweq-def restrict-def by (auto,metis)
thus <?thesis> using cp.intros(1)[OF leq csk vread vneq] vnw vnw' path by simp
next
assume < $\neg(\forall l < k'. \text{ } v \notin \text{writes}(\pi' \text{ } l))$ >
then obtain  $l'$  where kddl:< $k' \text{ } dd\pi' \rightarrow l'$ > using path(2) path-is-path written-read-dd vread' by blast
hence lv':< $v \in \text{writes}(\pi' \text{ } l')$ > unfolding is-ddi-def by auto
have lk':< $l' < k'$ > by (metis is-ddi-def kddl')
have nret:< $\pi' \text{ } l' \neq \text{return}$ > using lv' writes-return by auto

have notinpi:< $\neg(\exists l. \text{ } cs\pi' \text{ } l' = cs\pi \text{ } l)$ > proof
assume < $\exists l. \text{ } cs\pi' \text{ } l' = cs\pi \text{ } l$ >
then obtain  $l$  where  $cs\pi' \text{ } l' = cs\pi \text{ } l ..$ 
note csl=< $cs\pi' \text{ } l' = cs\pi \text{ } l$ >
have lk:< $l < k$ > using lk' cseq ip cs-order[of < $\pi'$ > < $\pi$ > < $l'$ > < $l$ > < $k'$ > < $k$ >] csl nret path by force

have < $v \in \text{writes}(\pi \text{ } l)$ > using csl lv' last-cs by metis
thus <False> using lk vnw by blast
qed

from converged-cd-diverge[OF ip(2,1)  $\pi 0$  notinpi lk' cseq]
obtain i i' where csi:< $cs\pi' \text{ } i' = cs\pi \text{ } i$ > and lcdi:< $l' \text{ } cd\pi' \rightarrow i'$ > and div:< $\pi'(\text{Suc } i') \neq \pi(\text{Suc } i)$ > .

have 1:< $\pi(\text{Suc } i) = \text{suc } (\pi \text{ } i) \text{ } (\sigma^i)$ > by (metis step-suc-sem fst-conv path(1) path-suc)
have 2:< $\pi'(\text{Suc } i') = \text{suc } (\pi' \text{ } i') \text{ } (\sigma'^{i'})$ > by (metis step-suc-sem fst-conv path(2) path-suc)
have 3:< $\pi' \text{ } i' = \pi \text{ } i$ > using csi last-cs by metis
have nreads:< $\sigma^i \upharpoonright \text{reads}(\pi \text{ } i) \neq \sigma'^{i'} \upharpoonright \text{reads}(\pi \text{ } i)$ > by (metis 1 2 3 div reads-restr-suc)

```

then obtain v' **where** $v' \text{read}: \langle v' \in \text{reads}(\text{path } \sigma \ i) \rangle \ \langle (\sigma^i) \ v' \neq (\sigma^{i'}) \ v' \rangle$ **unfolding path by** (metis reads-restrict)

```

have nreti:  $\langle \pi' \ i' \neq \text{return} \rangle$  by (metis csi div ip(1) ip(2) last-cs lessI term-path-stable less-imp-le)
have ik':  $\langle i' < k' \rangle$  using lcdi lk' is-cdi-def by auto
have ik:  $\langle i < k \rangle$  using cs-order[OF ip(2,1) csi cseq nreti ik'] .
```

have cpi: $\langle ((\sigma, i), (\sigma', i')) \in cp \rangle$ **using** IH[of $\langle i \rangle \langle i' \rangle$] $v' \text{read csi ik ik' path}$ **by** auto
hence cpi': $\langle ((\sigma', i'), (\sigma, i)) \in cp \rangle$ **using** cp.intros(4) **by** blast

```

have nuvi:  $\langle \forall j' \in \{\text{LEAST } i'. i < i' \wedge (\exists i. \text{cs}^{\text{path}} \sigma' \ i = \text{cs}^{\text{path}} \sigma \ i') \dots < k\}. v \notin \text{writes}(\text{path } \sigma \ j') \rangle$  using vnu[unfolded path]
by (metis (poly-guards-query) atLeastLessThan-iff)

from cp.intros(3)[OF cpi' kddl'[unfolded path] lcdi[unfolded path] csk[symmetric] div[unfolded path]
vneq[symmetric] nuvi]
```

show $\langle ?\text{thesis} \rangle$ **using** cp.intros(4) **by** simp

qed

next

assume wv: $\neg (\forall l < k. v \notin \text{writes}(\pi \ l))$
then obtain l **where** kddl: $\langle k \ dd^{\pi, v} \rightarrow l \rangle$ **using** path(1) path-is-path written-read-dd vread **by** blast
hence lv: $\langle v \in \text{writes}(\pi \ l) \rangle$ **unfolding** is-ddi-def **by** auto
have lk: $\langle l < k \rangle$ **by** (metis is-ddi-def kddl)
have nret: $\langle \pi \ l \neq \text{return} \rangle$ **using** lv writes-return **by** auto
have nw: $\langle \forall i \in \{\text{Suc } l \dots < k\}. v \notin \text{writes}(\pi \ i) \rangle$ **using** kddl unfolding is-ddi-def **by** auto
have svk: $\langle (\sigma^k) \ v = (\sigma^{\text{Suc } l}) \ v \rangle$ **using** kddl ddi-value path(1) **by** auto

show $\langle ?\text{thesis} \rangle$ **proof cases**

assume vnu: $\langle \forall l < k'. v \notin \text{writes}(\pi' \ l) \rangle$
hence svu: $\langle (\sigma'^{k'}) \ v = \sigma' \ v \rangle$ **by** (metis no-writes-unchanged0 path(2))

```

have notinpi:  $\neg (\exists l'. \text{cs}^{\pi} \ l = \text{cs}^{\pi'} \ l')$  proof
assume  $\exists l'. \text{cs}^{\pi} \ l = \text{cs}^{\pi'} \ l'$ 
then obtain l' where  $\text{cs}^{\pi} \ l = \text{cs}^{\pi'} \ l' \dots$ 
note csl:  $\langle \text{cs}^{\pi} \ l = \text{cs}^{\pi'} \ l' \rangle$ 
have lk:  $\langle l' < k' \rangle$  using lk cseq ip cs-order[of  $\langle \pi \ \langle \pi' \rangle \ \langle l \ \langle l' \ \langle k \ \langle k' \rangle \rangle \rangle \ csl \ nret$ ] by metis

have  $\langle v \in \text{writes}(\pi' \ l') \rangle$  using csl lv last-cs by metis
thus  $\langle \text{False} \rangle$  using lk vnu by blast
```

qed

```

from converged-cd-diverge[OF ip(1,2) pi0[symmetric] notinpi' lk cseq[symmetric]]
obtain i i' where csi:  $\langle \text{cs}^{\pi'} \ i' = \text{cs}^{\pi} \ i \rangle$  and lcdi:  $\langle l \ cd^{\pi} \rightarrow i \rangle$  and div:  $\langle \pi \ (\text{Suc } i) \neq \pi' \ (\text{Suc } i') \rangle$  by
metis
```

have 1: $\langle \pi \ (\text{Suc } i) = \text{suc} \ (\pi \ i) \ (\sigma^i) \rangle$ **by** (metis step-suc-sem fst-conv path(1) path-suc)
have 2: $\langle \pi' \ (\text{Suc } i') = \text{suc} \ (\pi' \ i') \ (\sigma'^{i'}) \rangle$ **by** (metis step-suc-sem fst-conv path(2) path-suc)
have 3: $\langle \pi' \ i' = \pi \ i \rangle$ **using** csi last-cs **by** metis
have nreads: $\langle \sigma^i \upharpoonright \text{reads}(\pi \ i) \neq \sigma'^{i'} \upharpoonright \text{reads}(\pi' \ i) \rangle$ **by** (metis 1 2 3 div reads-restr-suc)
have contri: $\langle (\sigma', i') \ \mathbf{c} \ (\sigma, i) \rangle$ **using** contradicts.intros(2)[OF csi path nreads] .

have nreti: $\langle \pi \ i \neq \text{return} \rangle$ **by** (metis csi div ip(1) ip(2) last-cs lessI term-path-stable less-imp-le)
have ik: $\langle i < k \rangle$ **using** lcdi lk is-cdi-def **by** auto
have ik': $\langle i' < k' \rangle$ **using** cs-order[OF ip(1,2) csi[symmetric] cseq[symmetric] nreti ik] .

```

have nreads:  $\langle \sigma^i \upharpoonright \text{reads}(\pi i) \neq \sigma^{i'} \upharpoonright \text{reads}(\pi i) \rangle$  by (metis 1 2 3 div reads-restr-suc)
then obtain v' where v'read:  $\langle v' \in \text{reads}(\text{path } \sigma i) \rangle \langle (\sigma^i) v' \neq (\sigma^{i'}) v' \rangle$  unfolding path by (metis reads-restrict)

have cpi:  $\langle ((\sigma, i), (\sigma', i')) \in cp \rangle$  using IH[of  $\langle i \rangle \langle i' \rangle$ ] v'read csi ik ik' path by auto
hence cpi':  $\langle ((\sigma', i'), (\sigma, i)) \in cp \rangle$  using cp.intros(4) by blast

have vnwi:  $\forall j' \in \{\text{LEAST } i'a. i' < i'a \wedge (\exists i. \text{cs}^{\text{path}} \sigma i = \text{cs}^{\text{path}} \sigma' i'a) .. < k'\}. v \notin \text{writes}(\text{path } \sigma' j')$  using vnwi'[unfolded path]
by (metis (poly-guards-query) atLeastLessThan-iff)

from cp.intros(3)[OF cpi kddl[unfolded path] lcdi[unfolded path] csk div[unfolded path] vneq vnwi]

show  $\langle ?\text{thesis} \rangle$  using cp.intros(4) by simp
next
assume  $\neg (\forall l < k'. v \notin \text{writes}(\pi' l))$ 
then obtain l' where kddl':  $\langle k' \text{ dd}^{\pi'} v \rightarrow l' \rangle$  using path(2) path-is-path written-read-dd vread' by blast
hence lv':  $\langle v \in \text{writes}(\pi' l') \rangle$  unfolding is-ddi-def by auto
have lk':  $\langle l' < k' \rangle$  by (metis is-ddi-def kddl')
have nretl':  $\langle \pi' l' \neq \text{return} \rangle$  using lv' writes-return by auto
have nwbl':  $\forall i' \in \{\text{Suc } l' .. < k'\}. v \notin \text{writes}(\pi' i')$  using kddl' unfolding is-ddi-def by auto
have svk':  $\langle (\sigma^{k'}) v = (\sigma'^{\text{Suc } l'}) v \rangle$  using kddl' ddi-value path(2) by auto

show  $\langle ?\text{thesis} \rangle$  proof cases
assume csll:  $\langle \text{cs}^{\pi} l = \text{cs}^{\pi'} l' \rangle$ 
hence  $\pi l: \langle \pi l = \pi' l' \rangle$  by (metis last-cs)
have svls:  $\langle (\sigma^{\text{Suc } l}) v \neq (\sigma'^{\text{Suc } l'}) v \rangle$  by (metis svk svk' vneq)
have rsl:  $\langle \sigma^l \upharpoonright \text{reads}(\pi l) \neq \sigma^{l'} \upharpoonright \text{reads}(\pi l) \rangle$  using path  $\pi l$  svls written-value lv by blast
then obtain v' where v'read:  $\langle v' \in \text{reads}(\text{path } \sigma l) \rangle \langle (\sigma^l) v' \neq (\sigma^{l'}) v' \rangle$  unfolding path by (metis reads-restrict)

have cpl:  $\langle ((\sigma, l), (\sigma', l')) \in cp \rangle$  using IH[of  $\langle l \rangle \langle l' \rangle$ ] v'read csl lk lk' path by auto
show  $\langle ((\sigma, k), (\sigma', k')) \in cp \rangle$  using cp.intros(2)[OF cpl kddl[unfolded path] kddl'[unfolded path] csk vneq].
next
assume csll:  $\langle \text{cs}^{\pi} l \neq \text{cs}^{\pi'} l' \rangle$ 
show  $\langle ?\text{thesis} \rangle$  proof cases
assume  $\exists i'. \text{cs}^{\pi} l = \text{cs}^{\pi'} i'$ 
then obtain i' where csli':  $\langle \text{cs}^{\pi} l = \text{cs}^{\pi'} i' \rangle$  by blast
have ilne':  $\langle i' \neq l' \rangle$  using csl csli' by auto
have ij':  $\langle i' < k' \rangle$  using cs-order[OF ip csli' cseq[symmetric] nret lk].
have iv':  $\langle v \in \text{writes}(\pi' i') \rangle$  using lv csli' last-cs by metis
have il':  $\langle i' < l' \rangle$  using kddl' ilne' ij' iv' unfolding is-ddi-def by auto
have nreti':  $\langle \pi' i' \neq \text{return} \rangle$  using csli' nret last-cs by metis

have l'notinpi:  $\neg (\exists i. \text{cs}^{\pi'} l' = \text{cs}^{\pi} i)$  proof
assume  $\exists i. \text{cs}^{\pi'} l' = \text{cs}^{\pi} i$ 
then obtain i where csil:  $\langle \text{cs}^{\pi} i = \text{cs}^{\pi'} l' \rangle$  by metis
have ik:  $\langle i < k \rangle$  using cs-order[OF ip(2,1) csil[symmetric] cseq nretl' lk].
have li:  $\langle l < i \rangle$  using cs-order[OF ip(2,1) csli'[symmetric] csil[symmetric] nreti' il].
have iv:  $\langle v \in \text{writes}(\pi i) \rangle$  using lv csil last-cs by metis
show  $\langle \text{False} \rangle$  using kddl ik li iv is-ddi-def by auto

```

qed

obtain $n n'$ where $\text{csn}: \langle cs^\pi n = cs^{\pi'} n' \rangle$ and $\text{lcdn}': \langle l' cd^{\pi'} \rightarrow n' \rangle$ and $\text{sucn}: \langle \pi (\text{Suc } n) \neq \pi' (\text{Suc } n') \rangle$ and $\text{in}': \langle i' \leq n' \rangle$
 using converged-cd-diverge-cs [OF ip(2,1) csli'[symmetric] il' l'notin\pi lk' cseq] by metis

— Can apply the IH to n and n'

have 1: $\langle \pi (\text{Suc } n) = \text{suc } (\pi n) (\sigma^n) \rangle$ by (metis step-suc-sem fst-conv path(1) path-suc)
 have 2: $\langle \pi' (\text{Suc } n') = \text{suc } (\pi' n') (\sigma^{m'}) \rangle$ by (metis step-suc-sem fst-conv path(2) path-suc)
 have 3: $\langle \pi' n' = \pi n \rangle$ using csn last-cs by metis
 have nreads: $\langle \sigma^n \upharpoonright \text{reads } (\pi n) \neq \sigma^{m'} \upharpoonright \text{reads } (\pi n) \rangle$ by (metis 1 2 3 sucn reads-restr-suc)

then obtain v' where $v' \text{read}: \langle v' \in \text{reads } (\text{path } \sigma n) \rangle \wedge \langle (\sigma^n) v' \neq (\sigma^{m'}) v' \rangle$ by (metis path(1) reads-restrict)

moreover

have nl': $\langle n' < l' \rangle$ using lcdn' is-cdi-def by auto

have nk': $\langle n' < k' \rangle$ using nl' lk' by simp

have nretn': $\langle \pi' n' \neq \text{return} \rangle$ by (metis ip(2) nl' nretl' term-path-stable less-imp-le)

have nk: $\langle n < k \rangle$ using cs-order[OF ip(2,1) csn[symmetric] cseq nretn' nk'] .

hence lenn: $\langle n+n' < k+k' \rangle$ using nk' by auto

ultimately

have $\langle ((\sigma, n), (\sigma', n')) \in cp \rangle$ using IH csn path by auto

hence ncp: $\langle ((\sigma', n'), (\sigma, n)) \in cp \rangle$ using cp.intros(4) by auto

have nles: $\langle n < (\text{LEAST } i'. n < i' \wedge (\exists i. cs^{\pi'} i = cs^\pi i')) \rangle$ (is $\dashv < (\text{LEAST } i. ?P i)$) using nk cseq LeastI[of $\langle ?P \rangle \langle k \rangle$] by metis

moreover

have ln: $\langle l \leq n \rangle$ using cs-order-le[OF ip(2,1) csli'[symmetric] csn[symmetric] nreti' in] .

ultimately

have lles: $\langle \text{Suc } l \leq (\text{LEAST } i'. n < i' \wedge (\exists i. cs^{\pi'} i = cs^\pi i')) \rangle$ by auto

have nwcseq: $\langle \forall j' \in \{\text{LEAST } i'. n < i' \wedge (\exists i. cs^{\pi'} i = cs^\pi i') \dots < k\}. v \notin \text{writes } (\pi j') \rangle$ proof

fix j' assume $*: j' \in \{\text{LEAST } i'. n < i' \wedge (\exists i. cs^{\pi'} i = cs^\pi i') \dots < k\}$

hence $\langle (\text{LEAST } i'. n < i' \wedge (\exists i. cs^{\pi'} i = cs^\pi i')) \leq j' \rangle$ by (metis (poly-guards-query) atLeastLessThan-iff)

hence $\langle \text{Suc } l \leq j' \rangle$ using lles by auto

moreover

have $\langle j' < k \rangle$ using * by (metis (poly-guards-query) atLeastLessThan-iff)

ultimately have $\langle j' \in \{\text{Suc } l \dots < k\} \rangle$ by (metis (poly-guards-query) atLeastLessThan-iff)

thus $\langle v \notin \text{writes } (\pi j') \rangle$ using nwb by auto

qed

from cp.intros(3)[OF ncp,folded path,OF kddl' lcdn' cseq sucn[symmetric] vneq[symmetric] nwcseq]
 have $\langle ((\sigma', k'), \sigma, k) \in cp \rangle$.

thus $\langle ((\sigma, k), (\sigma', k')) \in cp \rangle$ using cp.intros(4) by auto

next

assume lnotin\pi': $\langle \neg (\exists i'. cs^\pi l = cs^{\pi'} i') \rangle$

show $\langle ?\text{thesis} \rangle$ proof cases

assume $\langle \exists i. cs^\pi i = cs^{\pi'} l' \rangle$

then obtain i where csli: $\langle cs^\pi i = cs^{\pi'} l' \rangle$ by blast

have ilne: $\langle i \neq l \rangle$ using csl csli by auto

have ij: $\langle i < k \rangle$ using cs-order[OF ip(2,1) csli[symmetric] cseq nretl' lk'] .

have iv: $\langle v \in \text{writes } (\pi i) \rangle$ using lw' csli last-cs by metis

have il: $\langle i < l \rangle$ using kddl ilne ij iv unfolding is-ddi-def by auto

have nreti: $\langle \pi i \neq \text{return} \rangle$ using csli nretl' last-cs by metis

obtain $n n'$ **where** $\text{csn}: \langle cs^\pi n = cs^{\pi'} n' \rangle$ **and** $\text{lcdn}: \langle l cd^\pi \rightarrow n \rangle$ **and** $\text{sucn}: \langle \pi (Suc n) \neq \pi' (Suc n') \rangle$ **and** $\text{ilen}: \langle i \leq n \rangle$
using converged-cd-diverge-cs [OF ip csli il lnotin π' lk cseq[symmetric]] **by** metis

— Can apply the IH to n and n'

have 1: $\langle \pi (Suc n) = suc (\pi n) (\sigma^n) \rangle$ **by** (metis step-suc-sem fst-conv path(1) path-suc)
have 2: $\langle \pi' (Suc n') = suc (\pi' n') (\sigma'^{n'}) \rangle$ **by** (metis step-suc-sem fst-conv path(2) path-suc)
have 3: $\langle \pi' n' = \pi n \rangle$ **using** csn last-cs **by** metis
have nreads: $\langle \sigma^n \upharpoonright \text{reads}(\pi n) \neq \sigma'^{n'} \upharpoonright \text{reads}(\pi n) \rangle$ **by** (metis 1 2 3 sucn reads-restr-suc)
then obtain v' **where** $v' \in \text{reads}(\text{path } \sigma n)$ $\langle (\sigma^n) v' \neq (\sigma'^{n'}) v' \rangle$ **by** (metis path(1) reads-restrict)
moreover
have nl: $\langle n < l \rangle$ **using** lcdn is-cdi-def **by** auto
have nk: $\langle n < k \rangle$ **using** nl lk **by** simp
have nret: $\langle \pi n \neq \text{return} \rangle$ **by** (metis ip(1) nl nret term-path-stable less-imp-le)
have nk': $\langle n' < k' \rangle$ **using** cs-order[OF ip csn cseq[symmetric] nret nk].
hence lenn: $\langle n+n' < k+k' \rangle$ **using** nk **by** auto
ultimately
have ncp: $\langle ((\sigma, n), (\sigma', n')) \in cp \rangle$ **using** IH csn path **by** auto

have nles': $\langle n' < (\text{LEAST } i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i')) \rangle$ (**is** $\leftarrow < (\text{LEAST } i. ?P i)$) **using** nk'
cseq LeastI[of ' $?P$ ' ' k']
moreover
have ln': $\langle l' \leq n' \rangle$ **using** cs-order-le[OF ip csli csn nreti ilen].
ultimately
have llles': $\langle Suc l' \leq (\text{LEAST } i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i')) \rangle$ **by** auto

have nwseq': $\forall j' \in \{(\text{LEAST } i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i'))..< k'\}. v \notin \text{writes}(\pi' j')$ **proof**
fix j' **assume** $*: j' \in \{(\text{LEAST } i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i'))..< k'\}$
hence $\langle (\text{LEAST } i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i')) \leq j' \rangle$ **by** (metis (poly-guards-query) atLeastLessThan-iff)
hence $\langle Suc l' \leq j' \rangle$ **using** llles' **by** auto
moreover
have $\langle j' < k' \rangle$ **using** * **by** (metis (poly-guards-query) atLeastLessThan-iff)
ultimately have $\langle j' \in \{Suc l'..< k'\} \rangle$ **by** (metis (poly-guards-query) atLeastLessThan-iff)
thus $\langle v \notin \text{writes}(\pi' j') \rangle$ **using** nwseq' **by** auto
qed

from cp.intros(3)[OF ncp,folded path, OF kddl lcdn cseq[symmetric] sucn vneq nwseq']

show $\langle ((\sigma, k), (\sigma', k')) \in cp \rangle$.
next
assume $l' \notin \text{in}(\pi): \neg (\exists i. cs^\pi i = cs^{\pi'} l')$
define m **where** $\langle m \equiv 0::nat \rangle$
define m' **where** $\langle m' \equiv 0::nat \rangle$
have $csm: \langle cs^\pi m = cs^{\pi'} m' \rangle$ **unfolding** m-def m'-def cs-0 **by** (metis $\pi 0$)
have $ml: \langle m < l \vee m' < l' \rangle$ **using** csm csli **unfolding** m-def m'-def **by** (metis neq0-conv)
have $\langle \exists n n'. cs^\pi n = cs^{\pi'} n' \wedge \pi (Suc n) \neq \pi' (Suc n') \wedge$
 $(l cd^\pi \rightarrow n \wedge (\forall j' \in \{(\text{LEAST } i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i'))..< k'\}. v \notin \text{writes}(\pi' j')) \wedge$
 $\vee l' cd^{\pi'} \rightarrow n' \wedge (\forall j \in \{(\text{LEAST } i. n < i \wedge (\exists i'. cs^{\pi'} i' = cs^\pi i))..< k\}. v \notin \text{writes}(\pi j))) \rangle$
using csm ml **proof** (induction $\langle k+k'-(m+m') \rangle$ arbitrary: $\langle m \rangle \langle m' \rangle$ rule: less-induct)
case (less $m m'$)

```

note  $csm = \langle cs^\pi m = cs^{\pi'} m' \rangle$ 
note  $lm = \langle m < l \vee m' < l' \rangle$ 
note  $IH = \langle \bigwedge n n' .$ 
 $k + k' - (n + n') < k + k' - (m + m') \implies$ 
 $cs^\pi n = cs^{\pi'} n' \implies$ 
 $n < l \vee n' < l' \implies ?thesis$ 
show  $\langle ?thesis \rangle$  using  $lm$  proof
assume  $ml: \langle m < l \rangle$ 
obtain  $n n'$  where  $mn: \langle m \leq n \rangle$  and  $csn: \langle cs^\pi n = cs^{\pi'} n' \rangle$  and  $lcdn: \langle l cd^{\pi \rightarrow} n \rangle$  and  $suc: \langle \pi (Suc n) \neq \pi' (Suc n') \rangle$ 
using converged-cd-diverge-cs[ $OF ip csm ml lnotin\pi' lk cseq[symmetric]$ ] .
have  $nl: \langle n < l \rangle$  using  $lcdn$  is-cdi-def by auto
hence  $nk: \langle n < k \rangle$  using  $lk$  by auto
have  $nretn: \langle \pi \neq return \rangle$  using  $lcdn$  by (metis cd-not-ret)
have  $nk': \langle n' < k' \rangle$  using cs-order[ $OF ip csn cseq[symmetric] nretn nk$ ] .
show  $\langle ?thesis \rangle$  proof cases
assume  $\forall j' \in \{(LEAST i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i')).. < k'\}. v \notin writes(\pi' j')$ 
thus  $\langle ?thesis \rangle$  using  $lcdn csn suc$  by blast
next
assume  $\neg(\forall j' \in \{(LEAST i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i')).. < k'\}. v \notin writes(\pi' j'))$ 
then obtain  $j'$  where  $jin': \langle j' \in \{(LEAST i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i')).. < k'\} \rangle$  and  $vwrite: \langle v \in writes(\pi' j') \rangle$  by blast
define  $i'$  where  $\langle i' \equiv LEAST i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i') \rangle$ 
have  $Pk': \langle n' < k' \wedge (\exists k. cs^\pi k = cs^{\pi'} k') \rangle$  (is  $\langle ?P k' \rangle$ ) using  $nk' cseq[symmetric]$  by blast
have  $ni': \langle n' < i' \rangle$  using LeastI[of  $\langle ?P \rangle$ ,  $OF Pk'$ ]  $i'-def$  by auto
obtain  $i$  where  $csi: \langle cs^\pi i = cs^{\pi'} i' \rangle$  using LeastI[of  $\langle ?P \rangle$ ,  $OF Pk'$ ]  $i'-def$  by blast
have  $ij': \langle i' \leq j' \rangle$  using  $jin'[folded i'-def]$  by auto
have  $jk': \langle j' < k' \rangle$  using  $jin'[folded i'-def]$  by auto
have  $jl': \langle j' \leq l' \rangle$  using kddl'  $jk'$  vwrite unfolding is-ddi-def by auto
have  $nretn': \langle \pi' n' \neq return \rangle$  using  $nretn csn last-cs$  by metis
have  $iln: \langle n < i \rangle$  using cs-order[ $OF ip(2,1) csn[symmetric] csi[symmetric] nretn' ni$ ] .
hence  $mi: \langle m < i \rangle$  using  $mn$  by auto
have  $nretm: \langle \pi m \neq return \rangle$  by (metis ip(1) mn nretn term-path-stable)
have  $mi': \langle m' < i' \rangle$  using cs-order[ $OF ip csm csi nretm mi$ ] .
have  $ik': \langle i' < k' \rangle$  using  $ij' jk'$  by auto
have  $nreti': \langle \pi' i' \neq return \rangle$  by (metis ij' jl' nretl' ip(2) term-path-stable)
have  $ik: \langle i < k \rangle$  using cs-order[ $OF ip(2,1) csi[symmetric] cseq nreti' ik$ ] .
show  $\langle ?thesis \rangle$  proof cases
assume  $il: \langle i < l \rangle$ 
have  $le: \langle k + k' - (i + i') < k + k' - (m + m') \rangle$  using  $mi mi' ik ik'$  by auto
show  $\langle ?thesis \rangle$  using  $IH[OF le]$  using  $csi il$  by blast
next
assume  $\neg i < l$ 
hence  $li: \langle l \leq i \rangle$  by auto
have  $\langle i' \leq l' \rangle$  using  $ij' jl'$  by auto
hence  $il': \langle i' < l' \rangle$  using  $csi l' notin \pi$  by fastforce
obtain  $n n'$  where  $in': \langle i' \leq n' \rangle$  and  $csn: \langle cs^\pi n = cs^{\pi'} n' \rangle$  and  $lcdn': \langle l' cd^{\pi' \rightarrow} n' \rangle$  and  $suc: \langle \pi (Suc n) \neq \pi' (Suc n') \rangle$ 
using converged-cd-diverge-cs[ $OF ip(2,1) csi[symmetric] il' - lk' cseq$ ]  $l' notin \pi$  by metis
have  $nk': \langle n' < k' \rangle$  using  $lcdn'$  is-cdi-def  $lk'$  by auto
have  $nretn': \langle \pi' n' \neq return \rangle$  by (metis cd-not-ret lcdn')
have  $nk: \langle n < k \rangle$  using cs-order[ $OF ip(2,1) csn[symmetric] cseq nretn' nk$ ] .
define  $j$  where  $\langle j \equiv LEAST j. n < j \wedge (\exists j'. cs^{\pi'} j' = cs^\pi j) \rangle$ 
have  $Pk: \langle n < k \wedge (\exists j'. cs^{\pi'} j' = cs^\pi j) \rangle$  (is  $\langle ?P k \rangle$ ) using  $nk cseq$  by blast

```

```

have nj:  $\langle n < j \rangle$  using LeastI[of  $\langle ?P \rangle$ , OF Pk] j-def by auto
have ilen:  $\langle i \leq n \rangle$  using cs-order-le[OF ip(2,1) csi[symmetric] csn[symmetric] nreti' in'] .
hence lj:  $\langle l < j \rangle$  using li nj by simp
have  $\forall l \in \{l <.. < k\}. v \notin \text{writes}(\pi l)$  using kddl unfolding is-ddi-def by simp
hence nw:  $\forall l \in \{j.. < k\}. v \notin \text{writes}(\pi l)$  using lj by auto
show  $\langle ?\text{thesis} \rangle$  using csn lcdn' suc nw[unfolded j-def] by blast
qed
qed
next
assume ml':  $\langle m' < l' \rangle$ 
obtain nn' where mn':  $\langle m' \leq n' \rangle$  and csn:  $\langle cs^\pi n = cs^{\pi'} n' \rangle$  and lcdn':  $\langle l' cd^{\pi'} \rightarrow n' \rangle$  and
suc:  $\langle \pi (\text{Suc } n) \neq \pi' (\text{Suc } n') \rangle$ 
using converged-cd-diverge-cs[OF ip(2,1) csm[symmetric] ml' - lk' cseq] l'notinpi by metis
have nl':  $\langle n' < l' \rangle$  using lcdn' is-cdi-def by auto
hence nk':  $\langle n' < k' \rangle$  using lk' by auto
have nretn':  $\langle \pi' n' \neq \text{return} \rangle$  using lcdn' by (metis cd-not-ret)
have nk:  $\langle n < k \rangle$  using cs-order[OF ip(2,1) csn[symmetric] cseq nretn' nk'] .
show  $\langle ?\text{thesis} \rangle$  proof cases
assume  $\forall j \in \{(LEAST i. n < i \wedge (\exists i'. cs^{\pi'} i' = cs^\pi i)).. < k\}. v \notin \text{writes}(\pi j)$ 
thus  $\langle ?\text{thesis} \rangle$  using lcdn' csn suc by blast
next
assume  $\neg(\forall j \in \{(LEAST i. n < i \wedge (\exists i'. cs^{\pi'} i' = cs^\pi i)).. < k\}. v \notin \text{writes}(\pi j))$ 
then obtain j where jin:  $\langle j \in \{(LEAST i. n < i \wedge (\exists i'. cs^{\pi'} i' = cs^\pi i)).. < k\} \rangle$  and vwrite:
 $\langle v \in \text{writes}(\pi j) \rangle$  by blast
define i where  $\langle i \equiv LEAST i. n < i \wedge (\exists i'. cs^{\pi'} i' = cs^\pi i) \rangle$ 
have Pk:  $\langle n < k \wedge (\exists k'. cs^{\pi'} k' = cs^\pi k) \rangle$  (is  $\langle ?P k \rangle$ ) using nk cseq by blast
have ni:  $\langle n < i \rangle$  using LeastI[of  $\langle ?P \rangle$ , OF Pk] i-def by auto
obtain i' where csi:  $\langle cs^\pi i = cs^{\pi'} i' \rangle$  using LeastI[of  $\langle ?P \rangle$ , OF Pk] i-def by metis
have ij:  $\langle i \leq j \rangle$  using jin[folded i-def] by auto
have jk:  $\langle j < k \rangle$  using jin[folded i-def] by auto
have jl:  $\langle j \leq l \rangle$  using kddl jl vwrite unfolding is-ddi-def by auto
have nretn:  $\langle \pi n \neq \text{return} \rangle$  using nretn' csn last-cs by metis
have iln':  $\langle n' < i' \rangle$  using cs-order[OF ip csn csi nretn ni] .
hence mi':  $\langle m' < i' \rangle$  using mn' by auto
have nretm':  $\langle \pi' m' \neq \text{return} \rangle$  by (metis ip(2) mn' nretn' term-path-stable)
have mi:  $\langle m < i \rangle$  using cs-order[OF ip(2,1) csm[symmetric] csi[symmetric] nretm' mi'] .
have ik:  $\langle i < k \rangle$  using ij jk by auto
have nreti:  $\langle \pi i \neq \text{return} \rangle$  by (metis ij ip(1) jl nret term-path-stable)
have ik':  $\langle i' < k' \rangle$  using cs-order[OF ip csi cseq[symmetric] nreti ik] .
show  $\langle ?\text{thesis} \rangle$  proof cases
assume il':  $\langle i' < l' \rangle$ 
have le:  $\langle k + k' - (i + i') < k + k' - (m + m') \rangle$  using mi mi' ik ik' by auto
show  $\langle ?\text{thesis} \rangle$  using IH[OF le] using csi il' by blast
next
assume  $\neg i' < l'$ 
hence li':  $\langle l' \leq i' \rangle$  by auto
have  $\langle i \leq l \rangle$  using ij jl by auto
hence il:  $\langle i < l \rangle$  using csi lnotinpi by fastforce
obtain nn' where ilen:  $\langle i \leq n \rangle$  and csn:  $\langle cs^\pi n = cs^{\pi'} n' \rangle$  and lcdn:  $\langle l cd^{\pi'} \rightarrow n \rangle$  and suc:
 $\langle \pi (\text{Suc } n) \neq \pi' (\text{Suc } n') \rangle$ 
using converged-cd-diverge-cs[OF ip csi il - lk cseq[symmetric]] lnotinpi' by metis
have nk:  $\langle n < k \rangle$  using lcdn is-cdi-def lk by auto
have nretn:  $\langle \pi n \neq \text{return} \rangle$  by (metis cd-not-ret lcdn)
have nk':  $\langle n' < k' \rangle$  using cs-order[OF ip csn cseq[symmetric] nretn nk] .
define j' where  $\langle j' \equiv LEAST j'. n' < j' \wedge (\exists j. cs^\pi j = cs^{\pi'} j') \rangle$ 

```

```

have  $Pk'$ :  $\langle n' < k' \wedge (\exists j. cs^\pi j = cs^{\pi'} k') \rangle$  (is  $\langle ?P k' \rangle$ ) using  $nk' cseq[symmetric]$  by blast
have  $nj'$ :  $\langle n' < j' \rangle$  using  $LeastI[of \langle ?P \rangle, OF Pk'] j'-def$  by auto
have  $in'$ :  $\langle i' \leq n' \rangle$  using  $cs-order-le[OF ip csi csn nreti ilen]$  .
hence  $lj'$ :  $\langle l' < j' \rangle$  using  $li' nj'$  by simp
have  $\langle \forall l \in \{l' <.. < k'\}. v \notin writes(\pi' l) \rangle$  using  $kddl'$  unfolding  $is-ddi-def$  by simp
hence  $nw'$ :  $\langle \forall l \in \{j'.. < k'\}. v \notin writes(\pi' l) \rangle$  using  $lj'$  by auto
show  $\langle ?thesis \rangle$  using  $csn lcdn suc nw'[unfolded j'-def]$  by blast
qed
qed
qed
qed
then obtain  $n n'$  where  $csn: \langle cs^\pi n = cs^{\pi'} n' \rangle$  and  $suc: \langle \pi(Suc n) \neq \pi'(Suc n') \rangle$ 
and  $cdor$ :
 $\langle (l cd^\pi \rightarrow n \wedge (\forall j' \in \{(LEAST i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i')).. < k'\}. v \notin writes(\pi' j')) \wedge l' cd^{\pi'} \rightarrow n' \wedge (\forall j \in \{(LEAST i. n < i \wedge (\exists i'. cs^{\pi'} i' = cs^\pi i)).. < k\}. v \notin writes(\pi j)) \rangle$ 
by blast
show  $\langle ?thesis \rangle$  using  $cdor$  proof
assume  $*: \langle l cd^\pi \rightarrow n \wedge (\forall j' \in \{(LEAST i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i')).. < k'\}. v \notin local.writes(\pi' j') \rangle$ 
hence  $lcdn: \langle l cd^\pi \rightarrow n \rangle$  by blast
have nowrite:  $\langle \forall j' \in \{(LEAST i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i')).. < k'\}. v \notin local.writes(\pi' j') \rangle$  using *
by blast
show  $\langle ?thesis \rangle$  proof (rule cp.intros(3)[of  $\langle \sigma \rangle \langle n \rangle \langle \sigma' \rangle \langle n' \rangle$ , folded path])
show  $\langle l cd^\pi \rightarrow n \rangle$  using  $lcdn$  .
show  $\langle k dd^{\pi,v} \rightarrow l \rangle$  using  $kddl$  .
show  $\langle cs^\pi k = cs^{\pi'} k' \rangle$  using  $cseq$  by simp
show  $\langle \pi(Suc n) \neq \pi'(Suc n') \rangle$  using  $suc$  by simp
show  $\langle \forall j' \in \{(LEAST i'. n' < i' \wedge (\exists i. cs^\pi i = cs^{\pi'} i')).. < k'\}. v \notin local.writes(\pi' j') \rangle$  using nowrite .
show  $\langle (\sigma^k) v \neq (\sigma^{k'}) v \rangle$  using  $vneq$  .
have  $nk: \langle n < k \rangle$  using  $lcdn lk is-cdi-def$  by auto
have  $nretn: \langle \pi n \neq return \rangle$  using  $cd-not-ret lcdn$  by metis
have  $nk': \langle n' < k' \rangle$  using  $cs-order[OF ip csn cseq[symmetric] nretn nk]$  .
hence  $le: \langle n + n' < k + k' \rangle$  using  $nk$  by auto
moreover
have 1:  $\langle \pi(Suc n) = suc(\pi n)(\sigma^n) \rangle$  by (metis step-suc-sem fst-conv path(1) path-suc)
have 2:  $\langle \pi'(Suc n') = suc(\pi' n')(\sigma^{m'}) \rangle$  by (metis step-suc-sem fst-conv path(2) path-suc)
have 3:  $\langle \pi' n' = \pi n \rangle$  using  $csn last-cs$  by metis
have  $nreads: \langle \sigma^n \upharpoonright reads(\pi n) \neq \sigma^{m'} \upharpoonright reads(\pi n) \rangle$  by (metis 1 2 3 suc reads-restr-suc)
then obtain  $v'$  where  $v'read: \langle v' \in reads(path \sigma n) \rangle \langle (\sigma^n) v' \neq (\sigma^{m'}) v' \rangle$  by (metis path(1) reads-restrict)
ultimately
show  $\langle ((\sigma, n), (\sigma', n')) \in cp \rangle$  using  $IH csn path$  by auto
qed
next
assume  $*: \langle l' cd^{\pi'} \rightarrow n' \wedge (\forall j \in \{(LEAST i. n < i \wedge (\exists i'. cs^{\pi'} i' = cs^\pi i)).. < k\}. v \notin writes(\pi j)) \rangle$ 
hence  $lcdn': \langle l' cd^{\pi'} \rightarrow n' \rangle$  by blast
have nowrite:  $\langle \forall j \in \{(LEAST i. n < i \wedge (\exists i'. cs^{\pi'} i' = cs^\pi i)).. < k\}. v \notin writes(\pi j) \rangle$  using *
by blast
show  $\langle ?thesis \rangle$  proof (rule cp.intros(4), rule cp.intros(3)[of  $\langle \sigma' \rangle \langle n' \rangle \langle \sigma \rangle \langle n \rangle$ , folded path])
show  $\langle l' cd^{\pi'} \rightarrow n' \rangle$  using  $lcdn'$  .
show  $\langle k' dd^{\pi,v} \rightarrow l' \rangle$  using  $kddl'$  .
show  $\langle cs^{\pi'} k' = cs^\pi k \rangle$  using  $cseq$  .

```

theorem contradicting-in-cop: assumes $\langle \sigma =_L \sigma' \rangle$ and $\langle (\sigma', k') \in \text{cop} \rangle$ and $\langle \text{path } \sigma \text{ } k \in \text{dom att} \rangle$ shows $\langle ((\sigma, k), \sigma', k') \in \text{cop} \rangle$ using $\text{assms}(2)$ proof(cases)

case (1 $\pi' \pi$)
define j **where** $\langle j \equiv \pi \upharpoonright \text{cs}^{\pi'} k' \rangle$
have $\text{csj}: \langle \text{cs}^{\pi} j = \text{cs}^{\pi'} k' \rangle$ **unfolding** $j\text{-def}$ **using** 1 **by** (metis cs-not-nil $\text{cs-select-is-cs}(1)$ path-is-path)
have $\text{suc}: \langle \pi(\text{Suc } j) \neq \pi'(\text{Suc } k') \rangle$ **using** 1 $j\text{-def}$ **by** simp
have $\text{kcdj}: \langle k \text{ cd}^{\pi} \rightarrow j \rangle$ **by** (metis cs-not-nil $\text{cs-select-is-cs}(2)$ 1(1,2) $j\text{-def}$ path-is-path)
obtain v **where** $\text{readv}: \langle v \in \text{reads}(\text{path } \sigma \text{ } j) \rangle$ **and** $\text{vneq}: \langle (\sigma^j) \text{ } v \neq (\sigma'^{k'}) \text{ } v \rangle$ **using** suc csj **unfolding** 1 **by** (metis IFC-def.suc-def 1(2) 1(3) last-cs path-suc reads-restr-suc reads-restrict)
have $\langle ((\sigma, j), \sigma', k') \in \text{cp} \rangle$ **apply** (rule $\text{contradicting-in-cp}[\text{OF assms}(1)]$) **using** readv vneq csj 1 **by** auto
thus $\langle ((\sigma, k), \sigma', k') \in \text{cop} \rangle$ **using** kcdj suc $\text{assms}(3)$ $\text{cop.intros}(2)$ **unfolding** 1 **by** auto
next
case (2 $\pi' \pi$)
obtain v **where** $\text{readv}: \langle v \in \text{reads}(\text{path } \sigma \text{ } k) \rangle$ **and** $\text{vneq}: \langle (\sigma^k) \text{ } v \neq (\sigma'^{k'}) \text{ } v \rangle$ **using** 2(2-4) **by** (metis reads-restrict)
have $\langle ((\sigma, k), \sigma', k') \in \text{cp} \rangle$ **apply** (rule $\text{contradicting-in-cp}[\text{OF assms}(1)]$) **using** readv vneq 2 **by** auto
thus $\langle ((\sigma, k), \sigma', k') \in \text{cop} \rangle$ **using** $\text{assms}(3)$ $\text{cop.intros}(1)$ **unfolding** 2 **by** auto
qed

theorem *cop-correct-term*: fixes $\sigma \sigma'$ defines $\pi: \langle \pi \equiv path \sigma \rangle$ and $\pi': \langle \pi' \equiv path \sigma' \rangle$
assumes *ret*: $\langle \pi n = return \rangle$ $\langle \pi' n' = return \rangle$ and *obsne*: $\langle obs \sigma \neq obs \sigma' \rangle$ and *leq*: $\langle \sigma =_L \sigma' \rangle$
shows $\exists k k'. ((\sigma, k), \sigma', k') \in cop \vee ((\sigma', k'), \sigma, k) \in cop$
proof –
 have $*: \exists k k'. ((\sigma', k') \in (\sigma, k) \wedge \pi k \in dom(att)) \vee ((\sigma, k) \in (\sigma', k') \wedge \pi' k' \in dom(att))$ **using**
obs-neq-contradicts-term *ret* *obsne* $\pi \pi'$ **by** *auto*
 have $leq': \langle \sigma' =_L \sigma \rangle$ **using** *leq unfolding loweq-def* **by** *auto*

```

from * contradicting-in-cop[OF leq] contradicting-in-cop[OF leq] show ⟨?thesis⟩ unfolding π π' by metis
qed

```

theorem cop-correct-ret: fixes $\sigma \sigma'$ defines $\pi: \langle \pi \equiv \text{path } \sigma \rangle$ and $\pi': \langle \pi' \equiv \text{path } \sigma' \rangle$
assumes $\text{ret}: \langle \pi n = \text{return} \rangle$ and $\text{obsne}: \langle \text{obs } \sigma i \neq \text{obs } \sigma' i \rangle$ and $\text{obs}: \langle \text{obs } \sigma' i \neq \text{None} \rangle$ and $\text{leq}: \langle \sigma =_L \sigma' \rangle$
shows $\langle \exists k k'. ((\sigma, k), \sigma', k') \in \text{cop} \vee ((\sigma', k'), \sigma, k) \in \text{cop} \rangle$
proof –
have *: $\langle \exists k k'. ((\sigma', k') \in (\sigma, k) \wedge \pi k \in \text{dom } (\text{att})) \vee ((\sigma, k) \in (\sigma', k') \wedge \pi' k' \in \text{dom } (\text{att})) \rangle$
by (metis (no-types, lifting) π π' obs obs-neq-ret-contradicts obsne ret)
have $\text{leq}' : \langle \sigma' =_L \sigma \rangle$ using leq unfolding loweq-def by auto
from * contradicting-in-cop[OF leq] contradicting-in-cop[OF leq] show ⟨?thesis⟩ unfolding π π' by metis
qed

theorem cop-correct-nterm: **assumes** $\text{obsne}: \langle \text{obs } \sigma k \neq \text{obs } \sigma' k \rangle$ $\langle \text{obs } \sigma k \neq \text{None} \rangle$ $\langle \text{obs } \sigma' k \neq \text{None} \rangle$
and $\text{leq}: \langle \sigma =_L \sigma' \rangle$
shows $\langle \exists k k'. ((\sigma, k), \sigma', k') \in \text{cop} \vee ((\sigma', k'), \sigma, k) \in \text{cop} \rangle$
proof –
obtain $k k'$ where $\langle ((\sigma', k') \in (\sigma, k) \wedge \text{path } \sigma k \in \text{dom att}) \vee ((\sigma, k) \in (\sigma', k') \wedge \text{path } \sigma' k' \in \text{dom att}) \rangle$
using obs-neq-some-contradicts[OF obsne] by metis
thus ⟨?thesis⟩ proof
assume *: $\langle (\sigma', k') \in (\sigma, k) \wedge \text{path } \sigma k \in \text{dom att} \rangle$
hence $\langle ((\sigma, k), \sigma', k') \in \text{cop} \rangle$ using leq by (metis contradicting-in-cop)
thus ⟨?thesis⟩ using * by blast
next
assume *: $\langle (\sigma, k) \in (\sigma', k') \wedge \text{path } \sigma' k' \in \text{dom att} \rangle$
hence $\langle ((\sigma', k'), \sigma, k) \in \text{cop} \rangle$ using leq by (metis contradicting-in-cop loweq-def)
thus ⟨?thesis⟩ using * by blast
qed
qed

2.10 Correctness of the Characterisation

The following is our main correctness result. If there exist no critical observable paths, then the program is secure.

theorem cop-correct: **assumes** $\langle \text{cop} = \text{empty} \rangle$ **shows** $\langle \text{secure} \rangle$ **proof** (rule ccontr)
assume $\langle \neg \text{secure} \rangle$
then obtain $\sigma \sigma'$ **where** $\text{leq}: \langle \sigma =_L \sigma' \rangle$
and $\text{**}: \langle \neg \text{obs } \sigma \approx \text{obs } \sigma' \vee (\text{terminates } \sigma \wedge \neg \text{obs } \sigma' \lesssim \text{obs } \sigma) \rangle$
unfolding secure-def by blast
show ⟨False⟩ using ** **proof**
assume $\langle \neg \text{obs } \sigma \approx \text{obs } \sigma' \rangle$
then obtain k **where** $\langle \text{obs } \sigma k \neq \text{obs } \sigma' k \wedge \text{obs } \sigma k \neq \text{None} \wedge \text{obs } \sigma' k \neq \text{None} \rangle$
unfolding obs-comp-def obs-prefix-def
by (metis kth-obs-stable linorder-neqE-nat obs-none-no-kth-obs obs-some-kth-obs)
thus ⟨False⟩ using cop-correct-nterm leq assms by auto
next
assume *: $\langle \text{terminates } \sigma \wedge \neg \text{obs } \sigma' \lesssim \text{obs } \sigma \rangle$
then obtain n **where** $\text{ret}: \langle \text{path } \sigma n = \text{return} \rangle$
unfolding terminates-def by auto
obtain k **where** $\langle \text{obs } \sigma k \neq \text{obs } \sigma' k \wedge \text{obs } \sigma' k \neq \text{None} \rangle$ using * **unfolding** obs-prefix-def by metis
thus ⟨False⟩ using cop-correct-ret ret leq assms by (metis empty-iff)
qed
qed

Our characterisation is not only correct, it is also precise in the way that cp characterises exactly the matching indices in executions for low equivalent input states where diverging data is read. This follows easily as the inverse implication to lemma *contradicting-in-cp* can be shown by simple induction.

```
theorem cp-iff-reads-contradict:  $\langle((\sigma,k),(\sigma',k')) \in cp \longleftrightarrow \sigma =_L \sigma' \wedge cs\text{path } \sigma \ k = cs\text{path } \sigma' \ k' \wedge (\exists v \in \text{reads}(\text{path } \sigma \ k). (\sigma^k) \ v \neq (\sigma'^{k'}) \ v)\rangle$ 
proof
  assume  $\langle\sigma =_L \sigma' \wedge cs\text{path } \sigma \ k = cs\text{path } \sigma' \ k' \wedge (\exists v \in \text{reads}(\text{path } \sigma \ k). (\sigma^k) \ v \neq (\sigma'^{k'}) \ v)\rangle$ 
  thus  $\langle((\sigma, k), \sigma', k') \in cp\rangle$  using contradicting-in-cp by blast
next
  assume  $\langle((\sigma, k), \sigma', k') \in cp\rangle$ 
  thus  $\langle\sigma =_L \sigma' \wedge cs\text{path } \sigma \ k = cs\text{path } \sigma' \ k' \wedge (\exists v \in \text{reads}(\text{path } \sigma \ k). (\sigma^k) \ v \neq (\sigma'^{k'}) \ v)\rangle$ 
  proof (induction)
    case (1  $\sigma \ \sigma' \ n \ n' \ h$ )
    then show  $\langle ?\text{case}\rangle$  by blast
next
  case (2  $\sigma \ k \ \sigma' \ k' \ n \ v \ n'$ )
  have  $\langle v \in \text{reads}(\text{path } \sigma \ n)\rangle$  using 2(2) unfolding is-ddi-def by auto
  then show  $\langle ?\text{case}\rangle$  using 2 by auto
next
  case (3  $\sigma \ k \ \sigma' \ k' \ n \ v \ l \ n'$ )
  have  $\langle v \in \text{reads}(\text{path } \sigma \ n)\rangle$  using 3(2) unfolding is-ddi-def by auto
  then show  $\langle ?\text{case}\rangle$  using 3(4,6,8) by auto
next
  case (4  $\sigma \ k \ \sigma' \ k'$ )
  hence  $\langle cs\text{path } \sigma \ k = cs\text{path } \sigma' \ k'\rangle$  by simp
  hence  $\langle \text{path } \sigma' \ k' = \text{path } \sigma \ k\rangle$  by (metis last-cs)
  moreover have  $\langle \sigma' =_L \sigma\rangle$  using 4(2) unfolding loweq-def by simp
  ultimately show  $\langle ?\text{case}\rangle$  using 4 by metis
qed
qed
```

In the same way the inverse implication to *contradicting-in-cop* follows easily such that we obtain the following characterisation of cop .

```
theorem cop-iff-contradicting:  $\langle((\sigma,k),(\sigma',k')) \in cop \longleftrightarrow \sigma =_L \sigma' \wedge (\sigma',k') \in (\sigma,k) \wedge \text{path } \sigma \ k \in \text{dom att}\rangle$ 
proof
  assume  $\langle\sigma =_L \sigma' \wedge (\sigma',k') \in (\sigma,k) \wedge \text{path } \sigma \ k \in \text{dom att}\rangle$  thus  $\langle((\sigma,k),(\sigma',k')) \in cop\rangle$  using contradicting-in-cop by simp
next
  assume  $\langle((\sigma,k),(\sigma',k')) \in cop\rangle$ 
  thus  $\langle \sigma =_L \sigma' \wedge (\sigma',k') \in (\sigma,k) \wedge \text{path } \sigma \ k \in \text{dom att}\rangle$  proof (cases rule: cop.cases)
    case 1
    then show  $\langle ?\text{thesis}\rangle$  using cp-iff-reads-contradict contradicts.simps by (metis (full-types) reads-restrict1)
next
  case (2  $k$ )
  then show  $\langle ?\text{thesis}\rangle$  using cp-iff-reads-contradict contradicts.simps
    by (metis cd-is-cs-less cd-not-ret contradicts.intros(1) cs-select-id path-is-path)
qed
qed
```

2.11 Correctness of the Single Path Approximation

```
theorem cp-in-scp: assumes  $\langle((\sigma,k),(\sigma',k')) \in cp\rangle$  shows  $\langle(\text{path } \sigma, k) \in scp \wedge (\text{path } \sigma', k') \in scp\rangle$ 
using assms proof(induction  $\langle\sigma\rangle \langle k\rangle \langle\sigma'\rangle \langle k'\rangle$  rule: cp.induct[case-names read-high dd dcd sym])
  case (read-high  $\sigma \ k \ k' \ h$ )
```

```

have ⟨σ h = (σk) h⟩ using read-high(5) by (simp add: no-writes-unchanged0)
moreover have ⟨σ' h = (σk') h⟩ using read-high(6) by (simp add: no-writes-unchanged0)
ultimately have ⟨σ h ≠ σ' h⟩ using read-high(4) by simp
hence ∗: ⟨h ∈ hvars⟩ using read-high(1) unfolding loweq-def by (metis Compl-iff IFC-def.restrict-def)
have 1: ⟨(path σ, k) ∈ scp⟩ using scp.intros(1) read-high(3,5) ∗ by auto
have ⟨path σ k = path σ' k'⟩ using read-high(2) by (metis last-cs)
hence ⟨(path σ', k') ∈ scp⟩ using scp.intros(1) read-high(3,6) ∗ by auto
thus ⟨?case⟩ using 1 by auto
next
  case dd show ⟨?case⟩ using scp.intros(3) dd by auto
next
  case sym thus ⟨?case⟩ by blast
next
  case (dcd σ k σ' k' n v l n')
    note scp.intros(4) is-dcdi-via-def cd-cs-swap cs-ipd
    have 1: ⟨(path σ, n) ∈ scp⟩ using dcd.IH dcd.hyps(2) dcd.hyps(3) scp.intros(2) scp.intros(3) by blast
    have csk: ⟨csPath σ k = csPath σ' k'⟩ using cp-eq-cs[OF dcd(1)] .
    have kn: ⟨k < n⟩ and kl: ⟨k < l⟩ and ln: ⟨l < n⟩ using dcd(2,3) unfolding is-ddi-def is-cdi-def by auto
    have nret: ⟨path σ k ≠ return⟩ using cd-not-ret dcd.hyps(3) by auto
    have ⟨k' < n'⟩ using kn csk dcd(4) cs-order nret path-is-path last-cs by blast
    have 2: ⟨(path σ', n') ∈ scp⟩ proof cases
      assume j' ex: ⟨∃ j' ∈ {k'..n'}. v ∈ writes (path σ' j')⟩
      hence ⟨∃ j'. j' ∈ {k'..n'} ∧ v ∈ writes (path σ' j')⟩ by auto
      note ∗ = GreatestI-ex-nat[OF this]
      define j' where ⟨j' == GREATEST j'. j' ∈ {k'..n'} ∧ v ∈ writes (path σ' j')⟩
      note ∗∗ = ∗[of ⟨j'⟩, folded j'-def]
      have ⟨k' ≤ j'⟩ ⟨j' < n'⟩ and j' write: ⟨v ∈ writes (path σ' j')⟩
        using ∗ atLeastLessThan-iff j'-def nat-less-le by auto
      have nowrite: ⟨∀ i' ∈ {j'..n'}. v ∉ writes(path σ' i')⟩ proof (rule, rule ccontr)
        fix i' assume ⟨i' ∈ {j'..n'}⟩ ⟨¬ v ∉ local.writes (path σ' i')⟩
        hence ⟨i' ∈ {k'..n'} ∧ v ∈ local.writes (path σ' i')⟩ using ⟨k' ≤ j'⟩ by auto
        hence ⟨i' ≤ j'⟩ using Greatest-le-nat
          by (metis (no-types, lifting) atLeastLessThan-iff j'-def nat-less-le)
        thus ⟨False⟩ using ⟨i' ∈ {j'..n'}⟩ by auto
      qed
      have ⟨path σ' n' = path σ n⟩ using dcd(4) last-cs by metis
      hence ⟨v ∈ reads(path σ' n')⟩ using dcd(2) unfolding is-ddi-def by auto
      hence nddj': ⟨n' ddpath σ', v → j'⟩ using dcd(2) unfolding is-ddi-def using nowrite ⟨j' < n'⟩ j' write by
      auto
      show ⟨?thesis⟩ proof cases
        assume ⟨j' cdPath σ' → k'⟩
        thus ⟨(path σ', n') ∈ scp⟩ using scp.intros(2) scp.intros(3) dcd.IH nddj' by fast
      next
        assume jcdk': ⟨¬ j' cdPath σ' → k'⟩
        show ⟨?thesis⟩ proof cases
          assume ⟨j' = k'⟩
          thus ⟨?thesis⟩ using scp.intros(3) dcd.IH nddj' by fastforce
        next
          assume ⟨j' ≠ k'⟩ hence ⟨k' < j'⟩ using ⟨k' ≤ j'⟩ by auto
          have ⟨path σ' j' ≠ return⟩ using j' write writes-return by auto
          hence ipdex': ⟨∃ j. j ∈ {k'..j'} ∧ path σ' j = ipd (path σ' k')⟩ using path-is-path ⟨k' < j'⟩ jcdk' is-cdi-def
          by blast
          define i' where ⟨i' == LEAST j. j ∈ {k'..j'} ∧ path σ' j = ipd (path σ' k')⟩
          have iipd': ⟨i' ∈ {k'..j'}⟩ ⟨path σ' i' = ipd (path σ' k')⟩ unfolding i'-def using LeastI-ex[OF ipdex'] by
          simp-all

```

```

have *: $\forall i \in \{k'..<i'\}. \text{path } \sigma' i \neq ipd (\text{path } \sigma' k')$  proof (rule, rule ccontr)
fix i assume *: $i \in \{k'..<i'\} \wedge \neg \text{path } \sigma' i \neq ipd (\text{path } \sigma' k')$ 
hence **: $i \in \{k'..j'\} \wedge \text{path } \sigma' i = ipd (\text{path } \sigma' k')$  (is  $\langle ?P i \rangle$ ) using iipd'(1) by auto
thus  $\langle \text{False} \rangle$  using Least-le[of  $\langle ?P \rangle \langle i \rangle$ ] i'-def * by auto
qed
have  $\langle i' \neq k' \rangle$  using iipd'(2) by (metis csk last-cs nret path-in-nodes ipd-not-self)
hence  $\langle k' < i' \rangle$  using iipd'(1) by simp
hence  $\text{csi}' : \langle \text{cs}^{\text{path}} \sigma' i' = [n \leftarrow \text{cs}^{\text{path}} \sigma' k'. ipd n \neq \text{path } \sigma' i'] @ [\text{path } \sigma' i] \rangle$  using cs-ipd[OF iipd'(2)]
*] by fast

have  $\text{ncdk}' : \neg n' \text{ cd}^{\text{path}} \sigma' \rightarrow k'$  using  $\langle j' < n' \rangle \langle k' < j' \rangle$  cdi-prefix jcdk' less-imp-le-nat by blast
hence  $\text{ncdk} : \neg n \text{ cd}^{\text{path}} \sigma \rightarrow k$  using cd-cs-swap csk dcd(4) by blast
have  $\text{ipdex} : \exists i. i \in \{k..n\} \wedge \text{path } \sigma i = ipd (\text{path } \sigma k)$  (is  $\langle \exists i. ?P i \rangle$ ) proof cases
assume *: $\text{path } \sigma n = \text{return}$ 
from path-ret-ipd[of  $\langle \text{path } \sigma \rangle \langle k \rangle \langle n \rangle$ , OF path-is-path nret *]
obtain i where  $\langle ?P i \rangle$  by fastforce thus  $\langle ?\text{thesis} \rangle$  by auto
next
assume *: $\text{path } \sigma n \neq \text{return}$ 
show  $\langle ?\text{thesis} \rangle$  using not-cd-impl-ipd [of  $\langle \text{path } \sigma \rangle \langle k \rangle \langle n \rangle$ , OF path-is-path  $\langle k < n \rangle$  ncdk *] by auto
qed

define i where  $\langle i == \text{LEAST } j. j \in \{k..n\} \wedge \text{path } \sigma j = ipd (\text{path } \sigma k) \rangle$ 
have  $\text{iipd} : \langle i \in \{k..n\} \wedge \text{path } \sigma i = ipd (\text{path } \sigma k) \rangle$  unfolding i-def using LeastI-ex[OF ipdex] by simp-all
have **: $\forall i' \in \{k..<i\}. \text{path } \sigma i' \neq ipd (\text{path } \sigma k)$  proof (rule, rule ccontr)
fix i' assume *: $i' \in \{k..<i\} \wedge \neg \text{path } \sigma i' \neq ipd (\text{path } \sigma k)$ 
hence **: $i' \in \{k..n\} \wedge \text{path } \sigma i' = ipd (\text{path } \sigma k)$  (is  $\langle ?P i' \rangle$ ) using iipd(1) by auto
thus  $\langle \text{False} \rangle$  using Least-le[of  $\langle ?P \rangle \langle i' \rangle$ ] i'-def * by auto
qed
have  $\langle i \neq k \rangle$  using iipd(2) by (metis nret path-in-nodes ipd-not-self)
hence  $\langle k < i \rangle$  using iipd(1) by simp
hence  $\langle \text{cs}^{\text{path}} \sigma i = [n \leftarrow \text{cs}^{\text{path}} \sigma k. ipd n \neq \text{path } \sigma i] @ [\text{path } \sigma i] \rangle$  using cs-ipd[OF iipd(2) **] by fast
hence  $\text{csi} : \langle \text{cs}^{\text{path}} \sigma i = \text{cs}^{\text{path}} \sigma' i' \rangle$  using csi' csk unfolding iipd'(2) iipd(2) by (metis last-cs)
hence  $\langle (\text{LEAST } i'. k' < i' \wedge (\exists i. \text{cs}^{\text{path}} \sigma i = \text{cs}^{\text{path}} \sigma' i')) \leq i' \rangle$  (is  $\langle (\text{LEAST } x. ?P x) \leq \neg \rangle$ )
using  $\langle k' < i' \rangle$  Least-le[of  $\langle ?P \rangle \langle i' \rangle$ ] by blast
hence  $\text{nw} : \forall j' \in \{i'..<n'\}. v \notin \text{writes} (\text{path } \sigma' j')$  using dcd(7) allB-atLeastLessThan-lower by blast
moreover have  $\langle v \in \text{writes} (\text{path } \sigma' j') \rangle$  using nddj' unfolding is-ddi-def by auto
moreover have  $\langle i' \leq j' \rangle$  using iipd'(1) by auto
ultimately have  $\langle \text{False} \rangle$  using  $\langle j' < n' \rangle$  by auto
thus  $\langle ?\text{thesis} \rangle ..$ 
qed
qed
next
assume  $\neg (\exists j' \in \{k'..<n'\}. v \in \text{writes} (\text{path } \sigma' j'))$ 
hence  $\langle n' \text{ dcd}^{\text{path}} \sigma', v \rightarrow k' \text{ via } (\text{path } \sigma) k \rangle$  unfolding is-dcdi-via-def using dcd(2-4) csk  $\langle k' < n' \rangle$  path-is-path by metis
thus  $\langle ?\text{thesis} \rangle$  using dcd.IH scp.intros(4) by blast
qed
with 1 show  $\langle ?\text{case} \rangle ..$ 
qed

```

theorem cop-in-scop: assumes $\langle ((\sigma, k), (\sigma', k')) \in \text{cop} \rangle$ shows $\langle (\text{path } \sigma, k) \in \text{scop} \wedge (\text{path } \sigma', k') \in \text{scp} \rangle$ using assms

```

apply (induct rule: cop.induct)
  apply (simp add: cp-in-scp)
using cp-in-scp scop.intros scp.intros(2)
  apply blast
using cp-in-scp scop.intros scp.intros(2)
apply blast
done

```

The main correctness result for our single execution approximation follows directly.

```

theorem scop-correct: assumes <scop = empty> shows <secure>
  using cop-correct assms cop-in-scop by fast
end
end

```

3 Example: Program Dependence Graphs

Program dependence graph (PDG) based slicing provides a very crude but direct approximation of our characterisation. As such we can easily derive a corresponding correctness result.

```

theory PDG imports IFC
begin

```

```

context IFC
begin

```

We utilise our established dependencies on program paths to define the PDG. Note that PDGs usually only contain immediate control dependencies instead of the transitive ones we use here. However as slicing is considering reachability questions this does not affect the result.

```

inductive-set pdg where
<[i cdπ→ k] ⇒ (π k, π i) ∈ pdg> |
<[i ddπ,v→ k] ⇒ (π k, π i) ∈ pdg>

```

The set of sources is the set of nodes reading high variables.

```

inductive-set sources where
<n ∈ nodes ⇒ h ∈ hvars ⇒ h ∈ reads n ⇒ n ∈ sources>

```

The forward slice is the set of nodes reachable in the PDG from the set of sources. To ensure security slicing aims to prove that no observable node is contained in the

```

inductive-set slice where
<n ∈ sources ⇒ n ∈ slice> |
<m ∈ slice ⇒ (m,n) ∈ pdg ⇒ n ∈ slice>

```

As the PDG does not contain data control dependencies themselves we have to decompose these.

```

lemma dcd-pdg: assumes <n dcdπ,v→ m via π' m'> obtains l where <(π m,l) ∈ pdg> and <(l,π n) ∈ pdg>
proof -
  assume r: <(∀l. (π m, l) ∈ pdg ⇒ (l, π n) ∈ pdg ⇒ thesis)>
  obtain l' n' where ln: <csπ m = csπ' m' ∧ csπ n = csπ' n' ∧ n' ddπ,v→ l' ∧ l' cdπ'→ m'> using assms
  unfolding is-dcdi-via-def by metis
  hence mn: <π' m' = π m ∧ π' n' = π n> by (metis last-cs ln)
  have 1: <(π m, π' l') ∈ pdg> by (metis ln mn pdg.intros(1))
  have 2: <(π' l', π n) ∈ pdg> by (metis ln mn pdg.intros(2))

```

```

show thesis using 1 2 r by auto
qed

```

By induction it directly follows that the slice is an approximation of the single critical paths.

```

lemma scp-slice:  $\langle(\pi, i) \in \text{scp} \implies \pi \ i \in \text{slice}\rangle$ 
  apply (induction rule: scp.induct)
    apply (simp add: path-in-nodes slice.intros(1) sources.intros)
    using pdg.intros(1) slice.intros(2) apply blast
    using pdg.intros(2) slice.intros(2) apply blast
  by (metis dcd-pdg slice.intros(2))

```

```
lemma scop-slice:  $\langle(\pi, i) \in \text{scop} \implies \pi \ i \in \text{slice} \cap \text{dom}(\text{att})\rangle$  by (metis IntI scop.cases scp-slice)
```

The requirement targeted by slicing, that no observable node is contained in the slice, is thereby a sound criteria for security.

```

lemma pdg-correct: assumes  $\langle\text{slice} \cap \text{dom}(\text{att}) = \{\}\rangle$  shows  $\langle\text{secure}\rangle$ 
proof (rule ccontr)
  assume  $\langle\neg \text{secure}\rangle$ 
  then obtain  $\pi \ i$  where  $\langle(\pi, i) \in \text{scop}\rangle$  using scop-correct by force
  thus  $\langle\text{False}\rangle$  using scop-slice assms by auto
qed

end

end

```

References

- [1] A. Bohannon, B. C. Pierce, V. Sjöberg, S. Weirich, and S. Zdancewic. Reactive noninterference. In *Proceedings of the 16th ACM Conference on Computer and Communications Security*, CCS '09, pages 79–90, New York, NY, USA, 2009. ACM.