

# HOL-CSP\_OpSem – Operational Semantics formally proven in HOL-CSP

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# Abstract

Recently, a modern version of Roscoe and Brookes [3] Failure-Divergence Semantics for CSP has been formalized in Isabelle [7] and extended [1]. The resulting framework is purely denotational and, given the possibility to define arbitrary events in a HOL-type, more expressive than the original.

However, there is a need for an operational semantics for CSP. From the latter, model-checkers, symbolic execution engines for test-case generators, and animators and simulators can be constructed. In the literature, a few versions of operational semantics for CSP have been proposed, where it is assumed, of course, that denotational and operational constructs coincide, but this is not obvious at first glance. Recently, a modern version of Roscoe and Brookes [3] Failure-Divergence Semantics for CSP has been formalized in Isabelle [7] and extended [1]. The resulting framework is purely denotational and, given the possibility to define arbitrary events in a HOL-type, more expressive than the original.

However, there is a need for an operational semantics for CSP. From the latter, model-checkers, symbolic execution engines for test-case generators, and animators and simulators can be constructed. In the literature, a few versions of operational semantics for CSP have been proposed, where it is assumed, of course, that denotational and operational constructs coincide, but this is not obvious at first glance.

The present work addresses this issue by providing the first (to our knowledge) formal theory of operational behavior derived from HOL-CSP via a bridge definition between the denotational and the operational semantics. In fact, the construction is done via locale contexts to be as general as possible, and several possibilities are discussed.

As a bonus, we have proven new “laws” for HOL-CSP.



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# Chapter 1

## Introduction

### 1.1 Motivations

HOL-CSP [7] is a formalization in Isabelle/HOL of the work of Hoare and Roscoe on the denotational semantics of the Failure/Divergence Model of CSP. It follows essentially the presentation of CSP in Roscoe's Book "Theory and Practice of Concurrency" [4] and the semantic details in a joint paper of Roscoe and Brooks "An improved failures model for communicating processes" [3].

Basically, the session HOL-CSP introduces the type  $('a, 'r) process_{ptick}$ , several classic CSP operators and number of "laws" (i.e. derived equations) that govern their interactions. HOL-CSP has been extended by a theory of architectural operators HOL-CSPM inspired by the  $CSP_M$  language of the model-checker FDR. While in FDR these operators are basically macros over finite lists and sets, the HOL-CSPM theory treats them in their own right for the most general cases.

The present work addresses the problem of operational semantics for CSP which are the foundations for finite model-checking and process simulation techniques. In the literature, there are a few versions of operational semantics for CSP, which lend themselves to the constructions of labelled transition systems (LTS). Of course, denotational and operational constructs are expected to coincide, but this is not obvious at first glance. As a key contribution, we will define the operational derivation operators  $P \rightsquigarrow_\tau Q$  ("P evolves internally to Q") and  $P \rightsquigarrow_e Q$  ("P evolves to Q by emitting e") in terms of the denotational semantics and derive the expected laws for operational semantics from these. It has been published in ITP24 [2]

The overall objective of this work is to provide a formal, machine checked foundation for the laws provided by Roscoe in [4, 6].

## 1.2 The Global Architecture of HOL-CSP\_OpSem

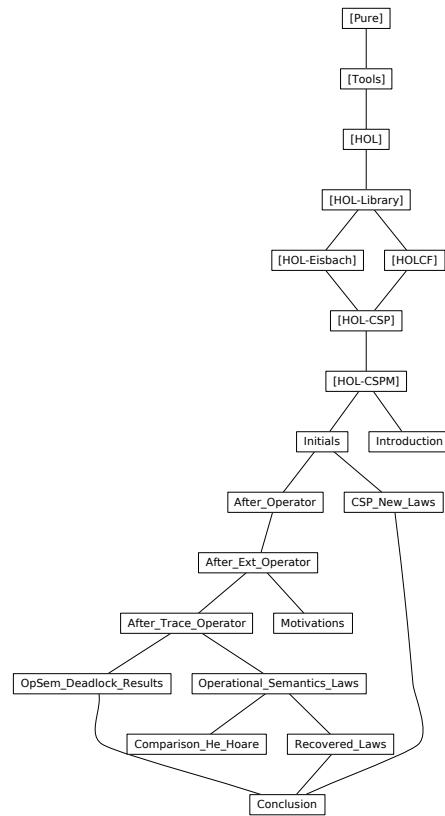


Figure 1.1: The overall architecture

The global architecture of `HOL-CSP_OpSem` is shown in [Figure 1.1](#).  
 The package resides on:

- `HOL-CSP` 2.0 from the Isabelle Archive of Formal Proofs
- `HOL-CSPM` from the Isabelle Archive of Formal Proofs.

# Chapter 2

## The Initials Notion

This will be discussed more precisely later, but we want to define a new operator which would in some way be the reciprocal of the prefix operator  $e \rightarrow P$ .

A first observation is that by prefixing  $P$  with  $e$ , we force its nonempty traces to begin with  $ev\ e$ .

Therefore we must define a notion that captures this idea.

### 2.1 Definition

The initials notion captures the set of events that can be used to begin a given process.

```
definition initials :: <('a, 'r) processptick ⇒ ('a, 'r) eventptick set> ((‐0) [1000] 999)
```

```
where <P0 ≡ {e. [e] ∈ T P}>
```

```
lemma initials-memI' : <[e] ∈ T P ⇒ e ∈ P0>
```

```
and initials-memD : <e ∈ P0 ⇒ [e] ∈ T P>
```

```
by (simp-all add: initials-def)
```

```
lemma initials-def-bis: <P0 = {e. ∃ s. e # s ∈ T P}>
```

```
by (simp add: set-eq-iff initials-def)
```

```
(metis Prefix-Order.prefixI append-Cons append-Nil is-processT3-TR)
```

```
lemma initials-memI : <e # s ∈ T P ⇒ e ∈ P0>
```

```
unfolding initials-def-bis by blast
```

We say here that the *initials* of a process  $P$  is the set of events  $e$  such that there is a trace of  $P$  starting with  $e$ .

One could also think about defining  $P^0$  as the set of events that  $P$  can not refuse at first:  $\{e. \{e\} \notin \mathcal{R} P\}$ . These two definitions are not equivalent (and the second one is more restrictive than the first one). Moreover, the

second does not behave well with the non-deterministic choice ( $\sqcap$ ) (see the **notepad** below).

Therefore, we will keep the first one.

We also have a strong argument of authority: this is the definition given by Roscoe [5, p.40].

**notepad**

**begin**

fix  $e :: \langle('a, 'r) event_{ptick}\rangle$  — just fixing ' $a$  type

define *bad-initials*

where  $\langle bad\text{-}initials (P :: ('a, 'r) process_{ptick}) \equiv \{e. \{e\} \notin \mathcal{R} P\} \rangle$  for  $P$

— This notion is more restrictive than *initials*

have *bad-initials-subset-initials*:

$\langle bad\text{-}initials P \subseteq initials P \rangle$  for  $P$

unfolding *bad-initials-def* *initials-def* *Refusals-iff*

using *is-processT1-TR* *is-processT5-S7* by *force*

— and does not behave well with *Ndet*.

have *bad-behaviour-with-Ndet*:

$\langle \exists P Q. bad\text{-}initials (P \sqcap Q) \neq bad\text{-}initials P \cup bad\text{-}initials Q \rangle$

proof (intro *exI*)

show  $\langle bad\text{-}initials (SKIP undefined \sqcap \perp) \neq bad\text{-}initials (SKIP undefined) \cup bad\text{-}initials \perp \rangle$

by (simp add: *bad-initials-def* *F-Ndet* *F-SKIP* *F-BOT* *Refusals-iff*)

qed

end

## 2.2 Anti-Mono Rules

**lemma** *anti-mono-initials-T*:  $\langle P \sqsubseteq_T Q \implies initials Q \subseteq initials P \rangle$   
 by (simp add: *Collect-mono-iff* *trace-refine-def* *initials-def* *subsetD*)

**lemma** *anti-mono-initials-F*:  $\langle P \sqsubseteq_F Q \implies initials Q \subseteq initials P \rangle$   
 unfolding *failure-refine-def*  
 by (drule *F-subset-imp-T-subset*) (fact *anti-mono-initials-T*[unfolded *trace-refine-def*])

Of course, this anti-monotony does not hold for ( $\sqsubseteq_D$ ).

**lemma** *anti-mono-initials-FD*:  $\langle P \sqsubseteq_{FD} Q \implies initials Q \subseteq initials P \rangle$   
 by (simp add: *anti-mono-initials-F* *leFD-imp-leF*)

**lemma** *anti-mono-initials*:  $\langle P \sqsubseteq Q \implies initials Q \subseteq initials P \rangle$   
 by (simp add: *anti-mono-initials-T* *le-approx-lemma-T* *trace-refine-def*)

**lemma** *anti-mono-initials-DT*:  $\langle P \sqsubseteq_{DT} Q \implies initials Q \subseteq initials P \rangle$   
 by (simp add: *anti-mono-initials-T* *leDT-imp-leT*)

### 2.3 Behaviour of *initials* with *STOP*, *SKIP* and $\perp$

```
lemma initials-STOP [simp] : <STOP0 = {}>
  by (simp add: initials-def T-STOP)
```

We already had  $(?P = STOP) = (\mathcal{T} ?P = \{\}\})$ . As an immediate consequence we obtain a characterization of being *STOP* involving *initials*.

```
lemma initials-empty-iff-STOP: <P0 = {}  $\longleftrightarrow$  P = STOP>
proof (intro iffI)
  { assume <T P ≠ {}>
    then obtain a s where <a # s ∈ T P>
      by (metis Nil-elem-T is-singleton-the-elem is-singletonI'
           list.exhaust-sel singletonI empty-iff)
    with initials-memD initials-memI have <∃ a. [a] ∈ T P> by blast}
  thus <P0 = {}  $\Longrightarrow$  P = STOP>
    by (simp add: STOP-iff-T initials-def) presburger
next
  show <P = STOP  $\Longrightarrow$  P0 = {}> by simp
qed
```

```
lemma initials-SKIP [simp] : <(SKIP r)0 = {✓(r)}>
  by (simp add: initials-def T-SKIP)
```

```
lemma initials-SKIPS [simp] : <(SKIPS R)0 = tick · R>
  by (auto simp add: initials-def T-SKIPS)
```

```
lemma initials-BOT [simp] : <⊥0 = UNIV>
  by (simp add: initials-def T-BOT)
```

These two, on the other hand, are not characterizations.

```
lemma <∃ P. P0 = {✓(r)}  $\wedge$  P ≠ (SKIP r)>
proof (intro exI)
  show <(STOP ∩ SKIP r)0 = {✓(r)}  $\wedge$  STOP ∩ SKIP r ≠ SKIP r>
    by (simp add: initials-def T-Ndet T-STOP T-SKIP)
    (metis Ndet-FD-self-left SKIP-FD-iff SKIP-Neq-STOP)
qed
```

```
lemma <∃ P. P0 = UNIV  $\wedge$  P ≠ ⊥>
proof (intro exI)
  show <((□a ∈ UNIV → ⊥) ∩ SKIPS UNIV)0 = UNIV  $\wedge$  (□a ∈ UNIV → ⊥)
    ∩ SKIPS UNIV ≠ ⊥>
    by (simp add: set-eq-iff BOT-iff-Nil-D Ndet-projs Mprefix-projs
                 SKIPS-projs initials-def) (metis eventptick.exhaust)
qed
```

But when  $✓(r) \in P^0$ , we can still have this refinement:

```
lemma initial-tick-iff-FD-SKIP : <✓(r) ∈ P0  $\longleftrightarrow$  P ⊑FD SKIP r>
proof (intro iffI)
```

```

show  $\checkmark(r) \in P^0 \implies P \sqsubseteq_{FD} SKIP r$ 
  unfolding failure-divergence-refine-def failure-refine-def divergence-refine-def
  by (simp add: F-SKIP D-SKIP subset-iff initials-def)
    (metis append-Nil is-process T6-TR-notin tick-T-F)
next
  show  $P \sqsubseteq_{FD} SKIP r \implies \checkmark(r) \in P^0$  by (auto dest: anti-mono-initials-FD)
qed

```

**lemma** initial-ticks-iff-FD-SKIPS :  $\langle R \neq \{\} \implies tick ' R \subseteq P^0 \longleftrightarrow P \sqsubseteq_{FD} SKIPS R \rangle$

**proof** (rule iffI)

```

show  $R \neq \{\} \implies tick ' R \subseteq P^0 \implies P \sqsubseteq_{FD} SKIPS R$ 
  by (unfold SKIPS-def, rule mono-GlobalNdet-FD-const)
    (simp-all add: image-subset-iff initial-tick-iff-FD-SKIP)

```

**next**

```

show  $P \sqsubseteq_{FD} SKIPS R \implies tick ' R \subseteq P^0$ ,
  by (metis anti-mono-initials-FD initials-SKIPS)
qed

```

We also obtain characterizations for  $P ; Q = \perp$ .

```

lemma Seq-is-BOT-iff :  $\langle P ; Q = \perp \longleftrightarrow P = \perp \vee (\exists r. \checkmark(r) \in P^0 \wedge Q = \perp) \rangle$ 
  by (simp add: BOT-iff-Nil-D D-Seq initials-def)

```

## 2.4 Behaviour of *initials* with Operators of HOL-CSP

```

lemma initials-Mprefix :  $\langle (\Box a \in A \rightarrow P a)^0 = ev ' A \rangle$ 
and initials-Mndetprefix :  $\langle (\Box a \in A \rightarrow P a)^0 = ev ' A \rangle$ 
and initials-write0 :  $\langle (a \rightarrow Q)^0 = \{ev a\} \rangle$ 
and initials-write :  $\langle (c!a \rightarrow Q)^0 = \{ev (c a)\} \rangle$ 
and initials-read :  $\langle (c?a \in A \rightarrow P a)^0 = ev ' c ' A \rangle$ 
and initials-ndet-write :  $\langle (c!!a \in A \rightarrow P a)^0 = ev ' c ' A \rangle$ 
  by (auto simp: initials-def T-Mndetprefix write0-def
    write-def T-Mprefix read-def ndet-write-def)

```

As discussed earlier, *initials* behaves very well with  $(\Box)$ ,  $(\Box)$  and  $(\triangleright)$ .

```

lemma initials-Det :  $\langle (P \Box Q)^0 = P^0 \cup Q^0 \rangle$ 
and initials-Ndet :  $\langle (P \sqcap Q)^0 = P^0 \cup Q^0 \rangle$ 
and initials-Sliding :  $\langle (P \triangleright Q)^0 = P^0 \cup Q^0 \rangle$ 
  by (auto simp add: initials-def T-Det T-Ndet T-Sliding)

```

```

lemma initials-Seq:
 $\langle (P ; Q)^0 = (\text{if } P = \perp \text{ then } UNIV \text{ else } P^0 - range \text{ tick} \cup (\bigcup_{r \in \{r. \checkmark(r) \in P^0\}} Q^0)) \rangle$ 
  (is  $\langle - = (\text{if } - \text{ then } - \text{ else } ?rhs) \rangle$ )
proof (split if-split, intro conjI impI)
  show  $P = \perp \implies (P ; Q)^0 = UNIV$  by simp

```

```

next
  show  $\langle (P ; Q)^0 = P^0 - \text{range tick} \cup (\bigcup r \in \{r. \checkmark(r) \in P^0\}. Q^0) \rangle$  if  $\langle P \neq \perp \rangle$ 
    proof (intro subset-antisym subsetI)
      fix  $e$  assume  $\langle e \in (P ; Q)^0 \rangle$ 
      from  $\text{event}_{ptick}.\text{exhaust}$  consider  $a$  where  $\langle e = ev a \mid r \text{ where } \langle e = \checkmark(r) \rangle$ 
    by blast
      thus  $\langle e \in ?rhs \rangle$ 
      proof cases
        from  $\langle e \in (P ; Q)^0 \rangle$  show  $\langle e = ev a \implies e \in ?rhs \rangle$  for  $a$ 
          by (simp add: image-iff initials-def T-Seq)
            (metis (no-types, lifting) D-T append-Cons append-Nil
             is-process T3-TR-append list.exhaust-sel list.sel(1))
    next
      from  $\langle e \in (P ; Q)^0 \rangle$   $\langle P \neq \perp \rangle$  show  $\langle e = \checkmark(r) \implies e \in ?rhs \rangle$  for  $r$ 
        by (simp add: image-iff initials-def T-Seq BOT-iff-tick-D)
        (metis (no-types, opaque-lifting) append-T-imp-tickFree append-eq-Cons-conv
         event_{ptick}.disc(2) not-Cons-self2 tickFree-Cons-iff)
    qed
next
  fix  $e$  assume  $\langle e \in ?rhs \rangle$ 
  then consider  $a$  where  $\langle e = ev a \rangle$   $\langle ev a \in P^0 \rangle$ 
     $\mid r \text{ where } \langle \checkmark(r) \in P^0 \rangle \langle e \in Q^0 \rangle$ 
    by simp (metis empty-iff event_{ptick}.exhaust rangeI)
  thus  $\langle e \in (P ; Q)^0 \rangle$ 
  proof cases
    show  $\langle e = ev a \implies ev a \in P^0 \implies e \in (P ; Q)^0 \rangle$  for  $a$ 
      by (simp add: initials-def T-Seq)
  next
    show  $\langle \checkmark(r) \in P^0 \implies e \in Q^0 \implies e \in (P ; Q)^0 \rangle$  for  $r$ 
      by (simp add: initials-def T-Seq (metis append-Nil))
  qed
qed
qed

```

**lemma** *initials-Sync*:

$$\langle (P \llbracket S \rrbracket Q)^0 = (\text{if } P = \perp \vee Q = \perp \text{ then UNIV else } P^0 \cup Q^0 - (\text{range tick} \cup ev 'S) \cup P^0 \cap Q^0 \cap (\text{range tick} \cup ev 'S)) \rangle$$

$$(\text{is } \langle (P \llbracket S \rrbracket Q)^0 = (\text{if } P = \perp \vee Q = \perp \text{ then UNIV else } ?rhs) \rangle)$$

**proof** (*split if-split, intro conjI impI*)

$$\text{show } \langle P = \perp \vee Q = \perp \implies (P \llbracket S \rrbracket Q)^0 = \text{UNIV} \rangle$$

$$\text{by (metis Sync-is-BOT-iff initials-BOT)}$$

**next**

$$\text{show } \langle (P \llbracket S \rrbracket Q)^0 = ?rhs \rangle \text{ if non-BOT : } \neg (P = \perp \vee Q = \perp)$$

**proof** (*intro subset-antisym subsetI*)

$$\text{show } \langle e \in ?rhs \implies e \in (P \llbracket S \rrbracket Q)^0 \rangle \text{ for } e$$

**proof** (*elim UnE*)

```

assume ⟨ $e \in P^0 \cup Q^0 - (\text{range tick} \cup \text{ev} ` S)hence ⟨ $[e] \in \mathcal{T} P \vee [e] \in \mathcal{T} Q \wedge e \notin \text{range tick} \cup \text{ev} ` Sby (auto dest: initials-memD)
have ⟨ $[e] \text{ setinterleaves } ([e], []), \text{range tick} \cup \text{ev} ` Susing ⟨ $e \notin \text{range tick} \cup \text{ev} ` Sby simp
with ⟨ $[e] \in \mathcal{T} P \vee [e] \in \mathcal{T} Qhave ⟨ $[e] \in \mathcal{T} (P \llbracket S \rrbracket Q)by (simp (no-asm) add: T-Sync) blast
thus ⟨ $e \in (P \llbracket S \rrbracket Q)^0by (simp add: initials-memI)
next
assume ⟨ $e \in P^0 \cap Q^0 \cap (\text{range tick} \cup \text{ev} ` S)hence ⟨ $[e] \in \mathcal{T} P \wedge [e] \in \mathcal{T} Q \wedge e \in \text{range tick} \cup \text{ev} ` Sby (simp-all add: initials-memD)
have ⟨ $[e] \text{ setinterleaves } ([e], [e]), \text{range tick} \cup \text{ev} ` Susing ⟨ $e \in \text{range tick} \cup \text{ev} ` Sby simp
with ⟨ $[e] \in \mathcal{T} P \wedge [e] \in \mathcal{T} Qhave ⟨ $[e] \in \mathcal{T} (P \llbracket S \rrbracket Q)by (simp (no-asm) add: T-Sync) blast
thus ⟨ $e \in (P \llbracket S \rrbracket Q)^0by (simp add: initials-memI)
qed
next
fix  $e$ 
assume ⟨ $e \in (P \llbracket S \rrbracket Q)^0then consider  $t u$  where ⟨ $t \in \mathcal{T} P \wedge u \in \mathcal{T} Q \wedge [e] \text{ setinterleaves } ((t, u),$ 
 $\text{range tick} \cup \text{ev} ` S)t u r v$  where ⟨ $ftF v \wedge tF r \vee v = [] \wedge [e] = r @ vr \text{ setinterleaves } ((t, u), \text{range tick} \cup \text{ev} ` S)t \in \mathcal{D} P \wedge u \in \mathcal{T} Q \vee t \in \mathcal{D} Q \wedge u \in \mathcal{T} Pby (simp add: initials-def T-Sync) blast
thus ⟨ $e \in ?rhsproof cases
show ⟨ $t \in \mathcal{T} P \implies u \in \mathcal{T} Q \implies [e] \text{ setinterleaves } ((t, u), \text{range tick} \cup \text{ev} `$ 
 $S)\implies e \in ?rhs$  for  $t u$ 
    by (cases  $t$ ; cases  $u$ ; simp add: initials-def image-iff split: if-split-asm)
      (use empty-setinterleaving setinterleaving-sym in blast) +
next
case div
have ⟨ $r \neq []using div(4, 5) BOT-iff-Nil-D empty-setinterleaving that by
blast
hence ⟨ $r = [e] \wedge v = []by (metis (no-types, lifting) div(3) Nil-is-append-conv append-eq-Cons-conv)
also from div(5) BOT-iff-Nil-D non-BOT
obtain  $e' t'$  where ⟨ $t = e' \# t'$ ⟩ by (cases  $t$ ) blast +
ultimately show ⟨ $e \in ?rhsusing div(4, 5)
by (cases  $u$ ; simp-all add: initials-def subset-iff T-Sync image-iff split:
if-split-asm)
  (metis [[metis-verbose = false]] D-T setinterleaving-sym empty-setinterleaving) +
qed
qed$$$$$$$$$$$$$$$$$$$$ 
```

**qed**

**lemma** *initials-Renaming*:

$$\langle (\text{Renaming } P f g)^0 = (\text{if } P = \perp \text{ then UNIV else map-event}_{\text{ptick}} f g \cdot P^0) \rangle$$

**proof** (*split if-split, intro conjI impI*)  
**show**  $\langle P = \perp \Rightarrow (\text{Renaming } P f g)^0 = \text{UNIV} \rangle$  **by** *simp*  
**next**  
**assume**  $\langle P \neq \perp \rangle$   
**hence**  $\langle [] \notin \mathcal{D} P \rangle$  **by** (*simp add: BOT-iff-Nil-D*)  
**show**  $\langle (\text{Renaming } P f g)^0 = \text{map-event}_{\text{ptick}} f g \cdot P^0 \rangle$   
**proof** (*intro subset-antisym subsetI*)  
**fix**  $e$  **assume**  $\langle e \in (\text{Renaming } P f g)^0 \rangle$   
**with**  $\langle [] \notin \mathcal{D} P \rangle$  **obtain**  $s$  **where**  $* : \langle [e] = \text{map}(\text{map-event}_{\text{ptick}} f g) s \rangle \langle s \in \mathcal{T} P \rangle$   
**by** (*simp add: initials-def T-Renaming*)  
*(metis D-T append.right-neutral append-is-Nil-conv list.map-disc-iff list.sel(3) tl-append2)*  
**from**  $*(1)$  **obtain**  $e'$  **where**  $** : \langle s = [e'] \rangle \langle e = \text{map-event}_{\text{ptick}} f g e' \rangle$  **by** *blast*  
**from**  $**(1) * (2)$  **have**  $\langle e' \in P^0 \rangle$  **by** (*simp add: initials-def*)  
**with**  $**(2)$  **show**  $\langle e \in \text{map-event}_{\text{ptick}} f g \cdot P^0 \rangle$  **by** *simp*  
**next**  
**fix**  $e$  **assume**  $\langle e \in \text{map-event}_{\text{ptick}} f g \cdot P^0 \rangle$   
**then obtain**  $e'$  **where**  $\langle e = \text{map-event}_{\text{ptick}} f g e' \rangle \langle e' \in P^0 \rangle$  **by** *fast*  
**thus**  $\langle e \in (\text{Renaming } P f g)^0 \rangle$  **by** (*auto simp add: initials-def T-Renaming*)  
**qed**  
**qed**

Because for the expression of its traces (and more specifically of its divergences), dealing with  $(\setminus)$  is much more difficult.

We start with two characterizations:

- the first one to understand  $P \setminus S = \perp$
- the other one to understand  $[e] \in \mathcal{D} (P \setminus S)$ .

**lemma** *Hiding-is-BOT-iff* :

$$\langle P \setminus S = \perp \longleftrightarrow (\exists t. \text{set } t \subseteq \text{ev} \cdot S \wedge (t \in \mathcal{D} P \vee (\exists f. \text{isInfHiddenRun } f P S \wedge t \in \text{range } f))) \rangle$$

$$(\text{is } \langle P \setminus S = \perp \longleftrightarrow ?rhs \rangle)$$

**proof** (*subst BOT-iff-Nil-D, intro iffI*)  
**show**  $\langle [] \in \mathcal{D} (P \setminus S) \Rightarrow ?rhs \rangle$   
**by** (*simp add: D-Hiding*)  
*(metis (no-types, lifting) filter-empty-conv subsetI)*  
**next**  
**assume**  $\langle ?rhs \rangle$   
**then obtain**  $t$  **where**  $* : \langle \text{set } t \subseteq \text{ev} \cdot S \rangle$

```

<math>t \in \mathcal{D} P \vee (\exists f. \text{isInfHiddenRun } f P S \wedge t \in \text{range } f)</math> by blast
hence <math>\text{tickFree } t \wedge [] = \text{trace-hide } t (\text{ev } 'S)</math>
  unfolding tickFree-def by (auto simp add: D-Hiding subset-iff)
  with *(2) show <math>[] \in \mathcal{D} (P \setminus S)</math> by (simp add: D-Hiding) metis
qed

lemma event-in-D-Hiding-iff :
<math>[e] \in \mathcal{D} (P \setminus S) \longleftrightarrow
P \setminus S = \perp \vee (\exists x t. e = \text{ev } x \wedge x \notin S \wedge [\text{ev } x] = \text{trace-hide } t (\text{ev } 'S) \wedge
(t \in \mathcal{D} P \vee (\exists f. \text{isInfHiddenRun } f P S \wedge t \in \text{range } f)))</math>
(is <math>[e] \in \mathcal{D} (P \setminus S) \longleftrightarrow P \setminus S = \perp \vee ?\text{ugly-assertion}</math>)

proof (intro iff)
assume assm : <math>[e] \in \mathcal{D} (P \setminus S)</math>
show <math>P \setminus S = \perp \vee ?\text{ugly-assertion}</math>
proof (cases e)
fix r assume <math>e = \checkmark(r)</math>
with assm have <math>P \setminus S = \perp</math>
  using BOT-iff-tick-D front-tick-Nil is-processT9-tick by blast
thus <math>P \setminus S = \perp \vee ?\text{ugly-assertion}</math> by blast
next
fix x
assume <math>e = \text{ev } x</math>
with assm obtain t u
  where * : <math>\text{front-tickFree } u \wedge \text{tickFree } t</math>
        <math>[\text{ev } x] = \text{trace-hide } t (\text{ev } 'S) @ u</math>
        <math>t \in \mathcal{D} P \vee (\exists f. \text{isInfHiddenRun } f P S \wedge t \in \text{range } f)</math>
  by (simp add: D-Hiding) blast
from *(3) consider <math>\text{set } t \subseteq \text{ev } 'S \mid x \notin S \wedge \text{ev } x \in \text{set } t</math>
  by (metis (no-types, lifting) Cons-eq-append-conv empty-filter-conv
      filter-eq-Cons-iff filter-is-subset image-eqI list.set-intros(1) subset-code(1))
thus <math>P \setminus S = \perp \vee ?\text{ugly-assertion}</math>
proof cases
assume <math>\text{set } t \subseteq \text{ev } 'S</math>
hence <math>P \setminus S = \perp</math> by (meson *(4) Hiding-is-BOT-iff)
thus <math>P \setminus S = \perp \vee ?\text{ugly-assertion}</math> by blast
next
assume <math>x \notin S \wedge \text{ev } x \in \text{set } t</math>
with *(3) have <math>[\text{ev } x] = \text{trace-hide } t (\text{ev } 'S)</math>
  by (induct t) (auto split: if-split-asm)
with *(4) <math>e = \text{ev } x \wedge x \notin S</math> have <math>?\text{ugly-assertion}</math> by blast
thus <math>P \setminus S = \perp \vee ?\text{ugly-assertion}</math> by blast
qed
qed
next
show <math>P \setminus S = \perp \vee ?\text{ugly-assertion} \implies [e] \in \mathcal{D} (P \setminus S)</math>
proof (elim disjE)
show <math>P \setminus S = \perp \implies [e] \in \mathcal{D} (P \setminus S)</math> by (simp add: D-BOT)
next
show <math>?\text{ugly-assertion} \implies [e] \in \mathcal{D} (P \setminus S)</math>
```

```

by (elim exE conjE, simp add: D-Hiding)
  (metis Hiding-tickFree append-Cons append-Nil eventptick.disc(1)
   front-tickFree-Nil non-tickFree-imp-not-Nil tickFree-Cons-iff)
qed
qed

```

Now we can express  $(P \setminus S)^0$ . This result contains the term  $P \setminus S = \perp$  that can be unfolded with *Hiding-is-BOT-iff* and the term  $[ev x] \in \mathcal{D} (P \setminus S)$  that can be unfolded with *event-in-D-Hiding-iff*.

```

lemma initials-Hiding:
  ⟨(P \ S)^0 = (if P \ S = ⊥ then UNIV else
    {e. case e of ev x ⇒ x ∉ S ∧ ([ev x] ∈ D (P \ S) ∨ (∃ t. [ev x] =
      trace-hide t (ev ` S) ∧ (t, ev ` S) ∈ F P))
     | ✓(r) ⇒ ∃ t. set t ⊆ ev ` S ∧ t @ [✓(r)] ∈ T P}))⟩
  (is ⟨initials (P \ S) = (if P \ S = ⊥ then UNIV else ?set))⟩)
proof (split if-split, intro conjI impI)
  show ⟨P \ S = ⊥ ⟹ initials (P \ S) = UNIV⟩ by simp
next
  assume non-BOT : ⟨P \ S ≠ ⊥⟩
  show ⟨initials (P \ S) = ?set⟩
  proof (intro subset-antisym subsetI)
    fix e
    assume initial : ⟨e ∈ initials (P \ S)⟩
    — This implies  $e \notin ev ` S$  with our other assumptions.
    { fix x
      assume assms : ⟨x ∈ S⟩ ⟨ev x ∈ initials (P \ S)⟩
      then consider ⟨∃ t. [ev x] = trace-hide t (ev ` S) ∧ (t, ev ` S) ∈ F P⟩
        | ⟨∃ t u. front-tickFree u ∧ tickFree t ∧ [ev x] = trace-hide t (ev ` S) @ u ∧
          (t ∈ D P ∨ (∃ f. isInfHiddenRun f P S ∧ t ∈ range f))⟩
        by (simp add: initials-def T-Hiding) blast
      hence ⟨P \ S = ⊥⟩
      proof cases
        assume ⟨∃ t. [ev x] = trace-hide t (ev ` S) ∧ (t, ev ` S) ∈ F P⟩
        hence False by (metis Cons-eq-filterD image-eqI assms(1))
        thus ⟨P \ S = ⊥⟩ by blast
      next
        assume ⟨∃ t u. front-tickFree u ∧ tickFree t ∧ [ev x] = trace-hide t (ev ` S) @
          u⟩
        (t ∈ D P ∨ (∃ f. isInfHiddenRun f P S ∧ t ∈ range f))⟩
        then obtain t u
        where * : ⟨front-tickFree u⟩ ⟨tickFree t⟩ ⟨[ev x] = trace-hide t (ev ` S) @
          u⟩
        ⟨t ∈ D P ∨ (∃ f. isInfHiddenRun f P S ∧ t ∈ range f)⟩ by blast
        from *(3) have ** : ⟨set t ⊆ ev ` S⟩
        by (induct t) (simp-all add: assms(1) split: if-split-asm)
        from *(4) ** Hiding-is-BOT-iff show ⟨P \ S = ⊥⟩ by blast
      qed
    }
    with initial have * : ⟨e ∉ ev ` S⟩ using non-BOT by blast
  
```

```

from initial consider  $\langle [e] \in \mathcal{D} (P \setminus S) \rangle$ 
|  $\langle \exists t. [e] = \text{trace-hide } t (\text{ev} ' S) \wedge (t, \text{ev} ' S) \in \mathcal{F} P \rangle$ 
unfolding initials-def by (simp add: T-Hiding D-Hiding) blast
thus  $\langle e \in ?\text{set} \rangle$ 
proof cases
assume assm :  $\langle [e] \in \mathcal{D} (P \setminus S) \rangle$ 
then obtain x where  $\langle e = \text{ev } x \rangle$ 
by (metis BOT-iff-Nil-D append-Nil eventptick.exhaust non-BOT process-charn)
with assm * show  $\langle e \in ?\text{set} \rangle$  by (simp add: image-iff)
next
assume  $\langle \exists t. [e] = \text{trace-hide } t (\text{ev} ' S) \wedge (t, \text{ev} ' S) \in \mathcal{F} P \rangle$ 
then obtain t where ** :  $\langle [e] = \text{trace-hide } t (\text{ev} ' S) \rangle$ 
 $\langle (t, \text{ev} ' S) \in \mathcal{F} P \rangle$  by blast
thus  $\langle e \in ?\text{set} \rangle$ 
proof (cases e)
have  $\langle e = \checkmark(r) \implies \text{set} (\text{butlast } t) \subseteq \text{ev} ' S \wedge \text{butlast } t @ [\checkmark(r)] \in \mathcal{T} P \rangle$ 
for r
using ** by (cases t rule: rev-cases; simp add: F-T empty-filter-conv subset-eq split: if-split-asm)
(metis F-T Hiding-tickFree append-T-imp-tickFree neq-Nil-conv non-tickFree-tick)
thus  $\langle e = \checkmark(r) \implies e \in ?\text{set} \rangle$  for r by auto
next
fix x
assume  $\langle e = \text{ev } x \rangle$ 
with * have  $\langle x \notin S \rangle$  by blast
with  $\langle e = \text{ev } x \rangle$  **(1) **(2) show  $\langle e \in ?\text{set} \rangle$  by auto
qed
qed
next
fix e
assume  $\langle e \in ?\text{set} \rangle$ 
then consider r where  $\langle e = \checkmark(r) \rangle$   $\langle \exists t. \text{set } t \subseteq \text{ev} ' S \wedge t @ [\checkmark(r)] \in \mathcal{T} P \rangle$ 
| a where  $\langle e = \text{ev } a \rangle$   $\langle a \notin S \rangle$ 
 $\langle [ev a] \in \mathcal{D} (P \setminus S) \vee$ 
 $\langle \exists t. [ev a] = \text{trace-hide } t (\text{ev} ' S) \wedge (t, \text{ev} ' S) \in \mathcal{F} P \rangle$  by (cases e)
simp-all
thus  $\langle e \in \text{initials} (P \setminus S) \rangle$ 
proof cases
fix r assume assms :  $\langle e = \checkmark(r) \rangle$   $\langle \exists t. \text{set } t \subseteq \text{ev} ' S \wedge t @ [\checkmark(r)] \in \mathcal{T} P \rangle$ 
from assms(2) obtain t
where * :  $\langle \text{set } t \subseteq \text{ev} ' S \rangle$   $\langle t @ [\checkmark(r)] \in \mathcal{T} P \rangle$  by blast
have ** :  $\langle [e] = \text{trace-hide } (t @ [\checkmark(r)]) (\text{ev} ' S) \wedge (t @ [\checkmark(r)], \text{ev} ' S) \in \mathcal{F} P \rangle$ 
using *(1) by (simp add: assms(1) image-iff tick-T-F[OF *(2)] subset-iff)
show  $\langle e \in \text{initials} (P \setminus S) \rangle$ 
unfolding initials-def by (simp add: T-Hiding) (use ** in blast)
next
show  $\langle [ev a] \in \mathcal{D} (P \setminus S) \vee$ 

```

```

 $(\exists t. [ev a] = trace-hide t (ev ` S) \wedge (t, ev ` S) \in \mathcal{F} P) \implies e \in (P \setminus S)^0$ 
if  $\langle e = ev a \rangle$  for a
proof (elim exE conjE disjE)
  show  $\langle [ev a] \in \mathcal{D} (P \setminus S) \rangle \implies e \in (P \setminus S)^0$ 
    by (simp add: <e = ev a> D-T initials-memI')
next
  show  $\langle [ev a] = trace-hide t (ev ` S) \rangle \implies (t, ev ` S) \in \mathcal{F} P \implies e \in (P \setminus S)^0$ 
for t
  by (metis F-T initials-memI' mem-T-imp-mem-T-Hiding <e = ev a>)
qed
qed
qed
qed

```

In the end the result would look something like this:

$$(P \setminus S)^0 = (\text{if } \exists t. \text{set } t \subseteq ev ` S \wedge (t \in \mathcal{D} P \vee (\exists f. \text{isInfHiddenRun } f P S \wedge t \in \text{range } f)) \text{ then UNIV else } \{e. \text{case } e \text{ of } ev x \Rightarrow x \notin S \wedge (((\exists t. \text{set } t \subseteq ev ` S \wedge (t \in \mathcal{D} P \vee (\exists f. \text{isInfHiddenRun } f P S \wedge t \in \text{range } f))) \vee (\exists xa t. ev x = ev xa \wedge xa \notin S \wedge [ev xa] = trace-hide t (ev ` S) \wedge (t \in \mathcal{D} P \vee (\exists f. \text{isInfHiddenRun } f P S \wedge t \in \text{range } f)))) \vee (\exists t. [ev x] = trace-hide t (ev ` S) \wedge (t, ev ` S) \in \mathcal{F} P)) | \checkmark(r) \Rightarrow \exists t. \text{set } t \subseteq ev ` S \wedge t @ [\checkmark(r)] \in \mathcal{T} P\})$$

Obviously, it is not very easy to use. We will therefore rely more on the corollaries below.

**corollary initial-tick-Hiding-iff :**  
 $\langle \checkmark(r) \in (P \setminus B)^0 \iff P \setminus B = \perp \vee (\exists t. \text{set } t \subseteq ev ` B \wedge t @ [\checkmark(r)] \in \mathcal{T} P) \rangle$   
**by** (*simp add: initials-Hiding*)

**corollary initial-tick-imp-initial-tick-Hiding:**  
 $\langle \checkmark(r) \in P^0 \implies \checkmark(r) \in (P \setminus B)^0 \rangle$   
**by** (*subst initials-Hiding, simp add: initials-def*)  
(*metis append-Nil empty-iff empty-set subset-iff*)

**corollary initial-inside-Hiding-iff :**  
 $\langle e \in S \implies ev e \in (P \setminus S)^0 \iff P \setminus S = \perp \rangle$   
**by** (*simp add: initials-Hiding*)

**corollary initial-notin-Hiding-iff :**  
 $\langle e \notin S \implies ev e \in (P \setminus S)^0 \iff P \setminus S = \perp \vee (\exists t. [ev e] = trace-hide t (ev ` S) \wedge (t \in \mathcal{D} P \vee (\exists f. \text{isInfHiddenRun } f P S \wedge t \in \text{range } f) \vee (t, ev ` S) \in \mathcal{F} P)) \rangle$   
**by** (*auto simp add: initials-Hiding event-in-D-Hiding-iff split: if-split-asm*)

**corollary** *initial-notin-imp-initial-Hiding*:

$$\langle ev e \in (P \setminus S)^0 \rangle \text{ if } initial : \langle ev e \in P^0 \rangle \text{ and } notin : \langle e \notin S \rangle$$

**proof** –

- from *inf-hidden*[of  $S$   $\langle ev e \rangle$   $P$ ]
- consider  $f$  where  $\langle isInfHiddenRun f P S \rangle \langle ev e \rangle \in range f$
- |  $t$  where  $\langle ev e \rangle = trace-hide t (ev ' S)$ ,  $\langle (t, ev ' S) \in \mathcal{F} P \rangle$
- by (simp add: *initials-Hiding image-iff*[of  $\langle ev e \rangle$   $notin$ ])
- (metis mem-Collect-eq *initial initials-def*)
- thus  $\langle ev e \in initials (P \setminus S) \rangle$
- proof cases**
- show  $\langle ev e \in (P \setminus S)^0 \rangle$  if  $\langle isInfHiddenRun f P S \rangle \langle ev e \rangle \in range f$  for  $f$
- proof (intro *initials-memI*[of  $\langle ev e \rangle \langle [] \rangle$   $D\text{-}T$ ])
- from that show  $\langle ev e \rangle \in \mathcal{D} (P \setminus S)$
- by (simp add: *event-in-D-Hiding-iff image-iff*[of  $\langle ev e \rangle$   $notin$ ])
- (metis (no-types, lifting) *event<sub>ptick</sub>.inject(1)* filter.simps *image-iff notin*)
- qed
- next**
- show  $\langle [ev e] = trace-hide t (ev ' S) \Rightarrow (t, ev ' S) \in \mathcal{F} P \Rightarrow ev e \in (P \setminus S)^0 \rangle$  for  $t$
- by (auto simp add: *initials-Hiding notin*)
- qed
- qed

## 2.5 Behaviour of *initials* with Operators of HOL-CSPM

**lemma** *initials-GlobalDet*:

$$\langle (\Box a \in A. P a)^0 = (\bigcup a \in A. initials (P a)) \rangle$$

by (auto simp add: *initials-def T-GlobalDet*)

**lemma** *initials-GlobalNdet*:

$$\langle (\Box a \in A. P a)^0 = (\bigcup a \in A. initials (P a)) \rangle$$

by (auto simp add: *initials-def T-GlobalNdet*)

**lemma** *initials-MultiSync*:

$$\langle initials ([S] m \in \# M. P m) =$$

- ( if  $M = \{\#\}$  then  $\{\}$  )
- else if  $\exists m \in \# M. P m = \perp$  then *UNIV*
- else if  $\exists m. M = \{\#m\#}$  then  $initials (P (\text{THE } m. M = \{\#m\#}))$
- else  $\{e. \exists m \in \# M. e \in initials (P m) - (range tick \cup ev ' S)\} \cup$
- $\{e \in range tick \cup ev ' S. \forall m \in \# M. e \in initials (P m)\}$

**proof** –

have  $* : \langle initials ([S] m \in \# (M + \{\#a, a'\#\}). P m) =$

$$\{e. \exists m \in \# M + \{\#a, a'\#\}. e \in initials (P m) - (range tick \cup ev ' S)\}$$

$\cup$

```

{e ∈ range tick ∪ ev ‘S. ∀ m ∈# M + {#a, a'#}. e ∈ initials (P m)}}
if non-BOT : ∀ m ∈# M + {#a, a'#}. P m ≠ ⊥ for a a' M
proof (induct M rule: msubset-induct'[OF subset-mset.refl])
  case 1
  then show ?case by (auto simp add: non-BOT initials-Sync)
next
  case (2 a'' M')
  have * : <MultiSync S (add-mset a'' M' + {#a, a'#}) P =
    P a'' [|S|] (MultiSync S (M' + {#a, a'#}) P)
  by (simp add: add-mset-commute)
  have ** : <¬(P a'' = ⊥ ∨ MultiSync S (M' + {#a, a'#}) P = ⊥)
  using 2.hyps(1, 2) in-diffD non-BOT
  by (auto simp add: MultiSync-is-BOT-iff Sync-is-BOT-iff, fastforce, meson
mset-subset-eqD)
  show ?case
  by (auto simp only: * initials-Sync ** 2.hyps(3), auto)
qed

show ?thesis
proof (cases <∃ m ∈# M. P m = ⊥)
  show <∃ m ∈# M. P m = ⊥ ⇒ ?thesis
  by simp (metis MultiSync-BOT-absorb initials-BOT)
next
  show <¬(<∃ m ∈# M. P m = ⊥) ⇒ ?thesis
  proof (cases <∃ a a' M'. M = M' + {#a, a'#})
    assume assms : <¬(<∃ m ∈# M. P m = ⊥) & <∃ a a' M'. M = M' + {#a, a'#}
    from assms(2) obtain a a' M' where <M = M' + {#a, a'#} by blast
    with * assms(1) show ?thesis by simp
  next
    assume <¬ a a' M'. M = M' + {#a, a'#}
    hence <M = {#} ∨ (<∃ m. M = {#m#})
    by (metis add.right-neutral multiset-cases union-mset-add-mset-right)
    thus ?thesis by auto
  qed
qed
qed

```

```

lemma initials-Throw : <(P Θ a ∈ A. Q a)⁰ = P⁰>
proof (cases <P = ⊥>)
  show <P = ⊥ ⇒ (P Θ a ∈ A. Q a)⁰ = P⁰> by simp
next
  show <(P Θ a ∈ A. Q a)⁰ = P⁰> if <P ≠ ⊥>
  proof (intro subset-antisym subsetI)
    from <P ≠ ⊥> show <e ∈ (P Θ a ∈ A. Q a)⁰ ⇒ e ∈ P⁰> for e
    by (auto simp add: T-Throw D-T is-processT7 Cons-eq-append-conv BOT-iff-Nil-D
      intro!: initials-memI' dest!: initials-memD)
  next

```

```

show ⟨e ∈ P0 ⟹ e ∈ (P ⊓ a ∈ A. Q a)0⟩ for e
  by (auto simp add: initials-memD T-Throw Cons-eq-append-conv
    intro!: initials-memI')
qed
qed

lemma initials-Interrupt: ⟨(P △ Q)0 = P0 ∪ Q0⟩
proof (intro subset-antisym subsetI)
  show ⟨e ∈ (P △ Q)0 ⟹ e ∈ P0 ∪ Q0⟩ for e
    by (auto simp add: T-Interrupt Cons-eq-append-conv
      dest!: initials-memD intro: initials-memI)
next
  show ⟨e ∈ P0 ∪ Q0 ⟹ e ∈ (P △ Q)0⟩ for e
    by (force simp add: initials-def T-Interrupt)
qed

```

## 2.6 Behaviour of *initials* with Reference Processes

```

lemma initials-DF: ⟨(DF A)0 = ev ‘ A⟩
  by (subst DF-unfold) (simp add: initials-Mndetprefix)

lemma initials-DFSKIPS: ⟨(DFSKIPS A R)0 = ev ‘ A ∪ tick ‘ R⟩
  by (subst DFSKIPS-unfold)
    (simp add: initials-Mndetprefix initials-Ndet)

lemma initials-RUN: ⟨(RUN A)0 = ev ‘ A⟩
  by (subst RUN-unfold) (simp add: initials-Mprefix)

lemma initials-CHAOS: ⟨(CHAOS A)0 = ev ‘ A⟩
  by (subst CHAOS-unfold)
    (simp add: initials-Mprefix initials-Ndet)

lemma initials-CHAOSSKIPS: ⟨(CHAOSSKIPS A R)0 = ev ‘ A ∪ tick ‘ R⟩
  by (subst CHAOSSKIPS-unfold)
    (auto simp add: initials-Mprefix initials-Ndet)

lemma empty-ev-initials-iff-empty-events-of :
  ⟨{a. ev a ∈ P0} = {} ⟺ α(P) = {}⟩
proof (rule iffI)
  show ⟨α(P) = {} ⟹ {a. ev a ∈ P0} = {}⟩
    by (auto simp add: initials-def events-of-def)
next
  show ⟨α(P) = {}⟩ if ⟨{a. ev a ∈ P0} = {}⟩
  proof (rule ccontr)
    assume ⟨α(P) ≠ {}⟩
    then obtain a t where ⟨t ∈ T P⟩ ⟨ev a ∈ set t⟩
      by (meson equals0I events-of-memD)

```

```

from ⟨t ∈ T P⟩ consider ⟨t = []⟩ | r where ⟨t = [✓(r)]⟩ | b t' where ⟨t = ev
b # t'⟩
  by (metis T-imp-front-tickFree ⟨ev a ∈ set t⟩ front-tickFree-Cons-iff
      is-ev-def list.distinct(1) list.set-cases)
thus False
proof cases
  from ⟨ev a ∈ set t⟩ show ⟨t = [] ⟹ False⟩ by simp
next
  from ⟨ev a ∈ set t⟩ show ⟨t = [✓(r)] ⟹ False⟩ for r by simp
next
  fix b t' assume ⟨t = ev b # t'⟩
  with ⟨t ∈ T P⟩ have ⟨b ∈ {a. ev a ∈ P0}⟩ by (simp add: initials-memI)
  with ⟨{a. ev a ∈ P0} = {}⟩ show False by simp
qed
qed
qed

```

## 2.7 Properties of *initials* related to continuity

We prove here some properties that we will need later in continuity or admissibility proofs.

**lemma** *initials-LUB*:

```

⟨chain Y ⟹ (⊔ i. Y i)0 = (⋂ P ∈ (range Y). P0)⟩
unfolding initials-def by (auto simp add: limproc-is-thelub T-LUB)

```

**lemma** *adm-in-F*: ⟨cont u ⟹ adm (λx. (s, X) ∈ F (u x))⟩

```

by (simp add: adm-def cont2contlubE limproc-is-thelub ch2ch-cont F-LUB)

```

**lemma** *adm-in-D*: ⟨cont u ⟹ adm (λx. s ∈ D (u x))⟩

```

by (simp add: D-LUB-2 adm-def ch2ch-cont cont2contlubE limproc-is-thelub)

```

**lemma** *adm-in-T*: ⟨cont u ⟹ adm (λx. s ∈ T (u x))⟩

```

by (fold T-F-spec) (fact adm-in-F)

```

**lemma** *initial-adm[simp]* : ⟨cont u ⟹ adm (λx. e ∈ (u x)<sup>0</sup>)⟩

```

unfolding initials-def by (simp add: adm-in-T)

```



## Chapter 3

# Construction of the After Operator

Now that we have defined  $P^0$ , we can talk about what happens to  $P$  after an event belonging to this set.

### 3.1 Definition

We want to define a new operator on a process  $P$  which would in some way be the reciprocal of the prefix operator  $a \rightarrow P$ .

The intuitive way of doing so is to only keep the tails of the traces beginning by  $ev\ a$  (and similar for failures and divergences). However we have an issue if  $ev\ a \notin P^0$  i.e. if no trace of  $P$  begins with  $ev\ a$ : the result would no longer verify the invariant *is-process* because its trace set would be empty. We must therefore distinguish this case.

In the previous version, we agreed to get *STOP* after an event  $ev\ a$  that was not in the *initials* of  $P$ . But even if its repercussions were minimal, this choice seemed a little artificial and arbitrary. In this new version we use a placeholder instead:  $\Psi$ . When  $ev\ a \in P^0$  we use our intuitive definition, and  $ev\ a \notin P^0$  we define  $P$  after  $a$  being equal to  $\Psi\ P\ a$ .

For the moment we have no additional assumption on  $\Psi$ .

```
locale After =
  fixes  $\Psi :: \langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
begin

lift-definition After ::  $\langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$  (infixl
  <after> 86)
  is  $\lambda P\ a. \quad \text{if } ev\ a \in P^0$ 
```

```

then  $\{(t, X). (ev a \# t, X) \in \mathcal{F} P\}$ ,
       $\{t . ev a \# t \in \mathcal{D} P\}$ )
else  $(\mathcal{F} (\Psi P a), \mathcal{D} (\Psi P a))$ 

proof –
  show  $\langle ?thesis P a \rangle$  (is  $\langle$ is-process $\rangle$  ( $if - then (?f, ?d)$   $else -$ ) $\rangle$ ) for  $P a$ 
    proof (split if-split, intro conjI impI)
      show  $\langle$ is-process $\rangle$  ( $\mathcal{F} (\Psi P a), \mathcal{D} (\Psi P a))$ 
        by (metis CollectD Divergences.rep-eq Failures.rep-eq process0-of-process process-surj-pair)
    next
      show  $\langle$ is-process $\rangle$  ( $?f, ?d$ ) if  $\langle ev a \in P^0,$ 
        unfolding is-process-def FAILURES-def DIVERGENCES-def fst-conv snd-conv
        proof (intro conjI impI allI)
          from  $\langle ev a \in P^0 \rangle$  show  $\langle \[], \{\} \rangle \in ?f$  by (simp add: initials-memD T-F-spec)
    next
      show  $\langle (t, X) \in ?f \implies ftF t \rangle$  for  $t X$ 
        by simp (metis front-tickFree-Cons-iff front-tickFree-Nil is-processT2)
    next
      show  $\langle (t @ u, \{\}) \in ?f \implies (t, \{\}) \in ?f \rangle$  for  $t u$  by (simp add: is-processT3)
    next
      from is-processT4 show  $\langle (t, Y) \in ?f \wedge X \subseteq Y \implies (t, X) \in ?f \rangle$  for  $t X Y$ 
    by blast
    next
      from  $\langle ev a \in P^0 \rangle$  show  $\langle (t, X) \in ?f \wedge (\forall e. e \in Y \longrightarrow (t @ [e], \{\}) \notin ?f)$ 
         $\implies (t, X \cup Y) \in ?f \rangle$  for  $t X Y$ 
        by (simp add: initials-memD is-processT5)
    next
      show  $\langle (t @ [\checkmark(r)], \{\}) \in ?f \implies (t, X - \{\checkmark(r)\}) \in ?f \rangle$  for  $t r X$ 
        by (simp add: is-processT6)
    next
      from is-processT7 show  $\langle t \in ?d \wedge tF t \wedge ftF u \implies t @ u \in ?d \rangle$  for  $t u$  by
      force
    next
      from D-F show  $\langle t \in ?d \implies (t, X) \in ?f \rangle$  for  $t X$  by blast
    next
      show  $\langle t @ [\checkmark(r)] \in ?d \implies t \in ?d \rangle$  for  $t r$ 
        by simp (metis append-Cons process-charn)
    qed
  qed
  qed

```

## 3.2 Projections

**lemma** *F-After* :

$$\langle \mathcal{F} (P \text{ after } a) = (if ev a \in P^0 \text{ then } \{(t, X). (ev a \# t, X) \in \mathcal{F} P\} \text{ else } \mathcal{F} (\Psi P a)) \rangle$$

**by** (*simp add: Failures-def After.rep-eq FAILURES-def*)

**lemma** *D-After* :

```

⟨D (P after a) = (if ev a ∈ P0 then {s. ev a # s ∈ D P} else D (Ψ P a))⟩
by (simp add: Divergences-def After.rep-eq DIVERGENCES-def)

```

**lemma** T-After :

```

⟨T (P after a) = (if ev a ∈ P0 then {s. ev a # s ∈ T P} else T (Ψ P a))⟩
by (auto simp add: T-F-spec[symmetric] F-After)

```

**lemmas** After-projs = F-After D-After T-After

**lemma** not-initial-After : ⟨ev a ∉ P<sup>0</sup> ⟹ P after a = Ψ P a⟩

```
by (simp add: After.abs-eq Process-spec)
```

**lemma** initials-After :

```

⟨(P after a)0 = (if ev a ∈ P0 then {e. ev a # [e] ∈ T P} else (Ψ P a)0)⟩
by (simp add: T-After initials-def)

```

### 3.3 Monotony

**lemma** mono-After : ⟨P after a ⊑ Q after a⟩

```
if ⟨P ⊑ Q⟩ and ⟨ev a ∉ Q0 ⟹ Ψ P a ⊑ Ψ Q a⟩
```

**proof** (subst le-approx-def, safe)

from that(1)[THEN anti-mono-initials] that(1)[THEN le-approx2T] that[THEN le-approx1]

show ⟨t ∈ D (Q after a) ⟹ t ∈ D (P after a)⟩ for t

```
by (simp add: D-After initials-def subset-iff split: if-split-asm)
```

```
(metis D-imp-front-tickFree append-Cons append-Nil butlast.simps(2) eventptick.disc(1)
is-processT7 last.simps tickFree-Nil tickFree-butlast)
```

**next**

from that(1)[THEN anti-mono-initials] that(2)[THEN le-approx2] that(1)[THEN proc-ord2a]

show ⟨t ∉ D (P after a) ⟹ X ∈ R<sub>a</sub> (P after a) t ⟹ X ∈ R<sub>a</sub> (Q after a) t⟩

for t X

```
by (simp add: Refusals-after-def After-projs initials-def subset-iff split: if-split-asm)
```

```
(metis initials-memI F-T initials-def mem-Collect-eq, blast)
```

**next**

from that(1)[THEN anti-mono-initials] that[THEN le-approx2] that(1)[THEN le-approx2T]

show ⟨t ∉ D (P after a) ⟹ X ∈ R<sub>a</sub> (Q after a) t ⟹ X ∈ R<sub>a</sub> (P after a) t⟩

for t X

```
by (simp add: Refusals-after-def After-projs initials-def subset-iff split: if-split-asm)
```

```
(metis F-imp-front-tickFree append-Cons append-Nil butlast.simps(2)
```

```
eventptick.disc(1) is-processT7 last.simps tickFree-Nil tickFree-butlast)
```

**next**

show ⟨t ∈ min-elems (D (P after a)) ⟹ t ∈ T (Q after a)⟩ for t

**proof** (cases ⟨P = ⊥⟩)

assume ⟨t ∈ min-elems (D (P after a))⟩ and ⟨P = ⊥⟩

hence ⟨t = []⟩

```
by (simp add: BOT-iff-Nil-D D-After D-BOT min-elems-def)
```

```

(metis append-butlast-last-id front-tickFree-single nil-less2)
thus ⟨t ∈ T (Q after a)⟩ by simp
next
from that(1)[THEN anti-mono-initials] that(1)[THEN le-approx2T]
that(2)[THEN le-approx3] min-elems6[OF -- that(1)]
show ⟨t ∈ min-elems (D (P after a)) ⇒ P ≠ ⊥ ⇒ t ∈ T (Q after a)⟩
apply (auto simp add: min-elems-def After-projs BOT-iff-Nil-D split: if-split-asm)
by (metis T-F-spec append-butlast-last-id butlast.simps(2) less-self)
(metis (mono-tags, lifting) T-F-spec append-Nil initials-def mem-Collect-eq)
qed
qed

lemma mono-After-T : ⟨P ⊑_T Q ⇒ P after a ⊑_T Q after a⟩
and mono-After-F : ⟨P ⊑_F Q ⇒ P after a ⊑_F Q after a⟩
and mono-After-D : ⟨P ⊑_D Q ⇒ P after a ⊑_D Q after a⟩
and mono-After-FD : ⟨P ⊑_FD Q ⇒ P after a ⊑_FD Q after a⟩
and mono-After-DT : ⟨P ⊑_DT Q ⇒ P after a ⊑_DT Q after a⟩
if ⟨ev a ∈ Q0⟩
using that F-subset-imp-T-subset[of Q P] D-T[of ⟨ev a # -> P]
unfolding failure-divergence-refine-def trace-divergence-refine-def
failure-refine-def divergence-refine-def trace-refine-def
by (auto simp add: After-projs initials-def)
(metis initials-memI in-mono mem-Collect-eq initials-def)

lemmas monos-After = mono-After mono-After-FD mono-After-DT
mono-After-F mono-After-D mono-After-T

```

### 3.4 Behaviour of *After* with *STOP*, *SKIP* and $\perp$

```

lemma After-STOP : ⟨STOP after a = Ψ STOP a⟩
by (simp add: Process-eq-spec After-projs)

lemma After-SKIP : ⟨SKIP r after a = Ψ (SKIP r) a⟩
by (simp add: Process-eq-spec After-projs)

lemma After-BOT : ⟨⊥ after a = ⊥⟩
by (force simp add: BOT-iff-Nil-D D-After D-BOT)

lemma After-is-BOT-iff :
⟨P after a = ⊥ ⟷ (if ev a ∈ P0 then [ev a] ∈ D P else Ψ P a = ⊥)⟩
using hd-Cons-tl by (force simp add: BOT-iff-Nil-D D-After initials-def D-T)

```

### 3.5 Behaviour of *After* with Operators of HOL-CSP

In future theories, we will need to know how *After* behaves with other operators of CSP. More specifically, we want to know how *After* can be "distributed" over a sequential composition, a synchronization, etc.

In some way, we are looking for reversing the "step-laws" (laws of distributivity of *Mprefix* over other operators). Given the difficulty in establishing these results in HOL-CSP and HOL-CSPM, one can easily imagine that proving *After* versions will require a lot of work.

### 3.5.1 Loss of Determinism

A first interesting observation is that the *After* operator leads to the loss of determinism.

**lemma** *After-Mprefix-is-After-Mndetprefix*:

$\langle a \in A \implies (\Box a \in A \rightarrow P a) \text{ after } a = (\Box a \in A \rightarrow P a) \text{ after } a \rangle$   
**by** (auto simp add: Process-eq-spec initials-Mprefix initials-Mndetprefix  
*After-projs Mprefix-projs Mndetprefix-projs*)

**lemma** *After-Det-is-After-Ndet* :

$\langle \text{ev } a \in P^0 \cup Q^0 \implies (P \Box Q) \text{ after } a = (P \sqcap Q) \text{ after } a \rangle$   
**by** (auto simp add: Process-eq-spec initials-Det initials-Ndet  
*After-projs Det-projs Ndet-projs*)

**lemma** *After-Sliding-is-After-Ndet* :

$\langle \text{ev } a \in P^0 \cup Q^0 \implies (P \triangleright Q) \text{ after } a = (P \sqcap Q) \text{ after } a \rangle$   
**by** (auto simp add: Process-eq-spec initials-Sliding initials-Ndet  
*After-projs Sliding-projs Ndet-projs*)

**lemma** *After-Ndet*:

$\langle (P \sqcap Q) \text{ after } a =$   
 $(\text{if ev } a \in P^0 \cap Q^0 \text{ then } P \text{ after } a \sqcap Q \text{ after } a$   
 $\text{else if ev } a \in P^0 \text{ then } P \text{ after } a$   
 $\text{else if ev } a \in Q^0 \text{ then } Q \text{ after } a$   
 $\text{else } \Psi(P \sqcap Q) a) \rangle$  **for**  $P Q :: \langle('a, 'r) \text{ process}_{ptick} \rangle$

**proof** –

{ fix  $P Q :: \langle('a, 'r) \text{ process}_{ptick} \rangle$   
**assume**  $\langle \text{ev } a \in P^0 \rangle \langle \text{ev } a \notin Q^0 \rangle$   
**hence**  $\langle (P \sqcap Q) \text{ after } a = P \text{ after } a \rangle$   
**by** (simp add: Process-eq-spec *After-projs Ndet-projs initials-Ndet*)  
(meson initials-memI D-T F-T)

}

**moreover have**  $\langle \text{ev } a \notin P^0 \cup Q^0 \implies (P \sqcap Q) \text{ after } a = \Psi(P \sqcap Q) a \rangle$

**by** (simp add: Process-eq-spec *After-projs initials-Ndet*)

**moreover have**  $\langle \text{ev } a \in P^0 \cap Q^0 \implies (P \sqcap Q) \text{ after } a = P \text{ after } a \sqcap Q \text{ after } a \rangle$

**by** (auto simp add: Process-eq-spec *After-projs Ndet-projs initials-Ndet*)

**ultimately show** ?thesis **by** (metis Int-iff Ndet-commute Un-iff)

**qed**

**lemma** *After-Det*:

$\langle (P \Box Q) \text{ after } a =$   
 $(\text{if ev } a \in P^0 \cap Q^0 \text{ then } P \text{ after } a \sqcap Q \text{ after } a$

```

else if ev a ∈ P0 then P after a
else if ev a ∈ Q0 then Q after a
else Ψ (P □ Q) a)
by (simp add: After-Det-is-After-Ndet After-Ndet not-initial-After initials-Det)

```

**lemma** *After-Sliding*:

```

⟨(P ▷ Q) after a =
(if ev a ∈ P0 ∩ Q0 then P after a ▷ Q after a
else if ev a ∈ P0 then P after a
else if ev a ∈ Q0 then Q after a
else Ψ (P ▷ Q) a)⟩
by (simp add: After-Ndet After-Sliding-is-After-Ndet initials-Sliding not-initial-After)

```

**lemma** *After-Mprefix*:

```

⟨(□ a ∈ A → P a) after a = (if a ∈ A then P a else Ψ (□ a ∈ A → P a) a)⟩
by (simp add: Process-eq-spec After-projs initials-Mprefix
Mprefix-projs image-iff)

```

**lemma** *After-Mndetprefix*:

```

⟨(⊓ a ∈ A → P a) after a = (if a ∈ A then P a else Ψ (⊓ a ∈ A → P a) a)⟩
by (metis (full-types) After-Mprefix After-Mprefix-is-After-Mndetprefix
eventptick.inject(1) imageE not-initial-After initials-Mndetprefix)

```

**corollary** *After-write0* : ⟨(a → P) after b = (if b = a then P else Ψ (a → P) b)⟩  
**by** (simp add: write0-def After-Mprefix)

**lemma** ⟨(a → P) after a = P⟩ **by** (simp add: After-write0)

This result justifies seeing *P after a* as the reciprocal operator of the prefix *a → P*.

However, we lose information with *After*: in general, *a → P after a ≠ P* (even when *ev a ∈ P<sup>0</sup>* and *P ≠ ⊥*).

**lemma** ⟨∃ P. a → P after a ≠ P⟩

**proof** (intro exI)  
show ⟨a → SKIP undefined after a ≠ SKIP undefined⟩ **by** simp  
**qed**

**lemma** ⟨∃ P. ev a ∈ P<sup>0</sup> ∧ a → P after a ≠ P⟩  
**by** (metis UNIV-I initials-BOT write0-neq-BOT)

**lemma** ⟨∃ P. ev a ∈ P<sup>0</sup> ∧ P ≠ ⊥ ∧ a → P after a ≠ P⟩

**proof** (intro exI)  
define P :: ⟨('a, 'r) process<sub>ptick</sub>⟩ **where** P-def: ⟨P = (a → STOP) □ SKIP undefined⟩  
have \* : ⟨ev a ∈ P<sup>0</sup>⟩ **by** (simp add: P-def initials-Det initials-write0)  
moreover have ⟨P ≠ ⊥⟩ **by** (simp add: Det-is-BOT-iff P-def)  
moreover have ⟨a → P after a = a → STOP⟩  
**by** (rule arg-cong[**where** f = ⟨λP. a → P⟩])

```

(simp add: P-def After-Det initials-write0 After-write0)
moreover have `a → STOP ≠ P`
  by (rule contrapos-nn[of `⟨(a → STOP)⁰ = P⁰, a → STOP = P⟩`])
    (auto simp add: P-def initials-Det initials-write0)
ultimately show `ev a ∈ P⁰ ∧ P ≠ ⊥ ∧ a → P after a ≠ P` by presburger
qed

```

**corollary** *After-write* :  $\langle (c!a \rightarrow P) \text{ after } b = (\text{if } b = c \text{ a then } P \text{ else } \Psi(c!a \rightarrow P) b) \rangle$   
**by** (simp add: write-def After-Mprefix)

**corollary** *After-read* :  
 $\langle (c?a \in A \rightarrow P a) \text{ after } b = (\text{if } b \in c \setminus A \text{ then } P (\text{inv-into } A c b) \text{ else } \Psi(c?a \in A \rightarrow P a) b) \rangle$   
**by** (simp add: read-def After-Mprefix)

**corollary** *After-ndet-write* :  
 $\langle (c!!a \in A \rightarrow P a) \text{ after } b = (\text{if } b \in c \setminus A \text{ then } P (\text{inv-into } A c b) \text{ else } \Psi(c!!a \in A \rightarrow P a) b) \rangle$   
**by** (simp add: ndet-write-def After-Mndetprefix)

### 3.5.2 After Sequential Composition

The first goal is to obtain an equivalent of  $a \rightarrow P ; Q = a \rightarrow (P ; Q)$ . But in order to be exhaustive we also have to consider the possibility of  $Q$  taking the lead when  $\checkmark(r) \in P⁰$  in the sequential composition  $P ; Q$ .

**lemma** *not-skippable-or-not-initialR-After-Seq*:  
 $\langle (P ; Q) \text{ after } a = (\text{if ev } a \in P⁰ \text{ then } P \text{ after } a ; Q \text{ else } \Psi(P ; Q) a) \rangle$   
**if**  $\langle \text{range tick} \cap P⁰ = \{\} \vee (\forall r. \checkmark(r) \in P⁰ \longrightarrow \text{ev } a \notin Q⁰) \rangle$   
**proof** (cases  $\langle P = \perp \rangle$ )  
**show**  $\langle P = \perp \implies$   
 $(P ; Q) \text{ after } a =$   
 $(\text{if ev } a \in P⁰ \text{ then } P \text{ after } a ; Q \text{ else } \Psi(P ; Q) a) \rangle$   
**by** (simp add: After-BOT)

**next**

**assume** *non-BOT*:  $\langle P \neq \perp \rangle$   
**with that have**  $\$ : \langle \text{ev } a \in (P ; Q)⁰ \longleftrightarrow \text{ev } a \in P⁰ \rangle$   
**by** (auto simp add: initials-Seq)  
**show**  $\langle (P ; Q) \text{ after } a =$

$(\text{if ev } a \in P⁰ \text{ then } P \text{ after } a ; Q \text{ else } \Psi(P ; Q) a) \rangle$   
**proof** (split if-split, intro conjI impI)  
**from**  $\$$  **show**  $\langle \text{ev } a \notin P⁰ \implies (P ; Q) \text{ after } a = \Psi(P ; Q) a \rangle$   
**by** (simp add: not-initial-After)

**next**

**assume** *initial-P* :  $\langle \text{ev } a \in P⁰ \rangle$   
**show**  $\langle (P ; Q) \text{ after } a = P \text{ after } a ; Q \rangle$   
**proof** (subst Process-eq-spec-optimized, safe)  
**fix**  $s$

```

assume ⟨ $s \in \mathcal{D} ((P ; Q) \text{ after } a)hence * : ⟨ $\text{ev } a \# s \in \mathcal{D} (P ; Q)by (simp add: D-After $ initial-P split: if-split-asm)
then consider ⟨ $\text{ev } a \# s \in \mathcal{D} Pt1 t2 r$  where ⟨ $\text{ev } a \# s = t1 @ t2t1 @ [\checkmark(r)] \in \mathcal{T} Pt2 \in \mathcal{D} Qby (simp add: D-Seq) blast
thus ⟨ $s \in \mathcal{D} (P \text{ after } a ; Q)proof cases
  show ⟨ $\text{ev } a \# s \in \mathcal{D} P \implies s \in \mathcal{D} (P \text{ after } a ; Q)by (simp add: D-Seq D-After initial-P)
next
  fix  $t1 t2 r$  assume ** : ⟨ $\text{ev } a \# s = t1 @ t2t1 @ [\checkmark(r)] \in \mathcal{T} Pt2 \in \mathcal{D} Qhave ⟨ $t1 \neq []by (metis ** initials-memI D-T
    append-self-conv2 disjoint-iff rangeI that)
  with **(1) obtain  $t1'$ 
    where ⟨ $t1 = \text{ev } a \# t1's = t1' @ t2by (metis Cons-eq-append-conv)
  with **(2, 3) show ⟨ $s \in \mathcal{D} (P \text{ after } a ; Q)by (simp add: D-Seq T-After) (blast intro: initial-P)
  qed
next
  fix  $s$ 
  assume ⟨ $s \in \mathcal{D} (P \text{ after } a ; Q)hence ⟨ $\text{ev } a \# s \in \mathcal{D} P \vee (\exists t1 t2 r. s = t1 @ t2 \wedge \text{ev } a \# t1 @ [\checkmark(r)] \in \mathcal{T}$ 
 $P \wedge t2 \in \mathcal{D} Q)by (simp add: D-Seq After-projs initial-P)
  hence ⟨ $\text{ev } a \# s \in \mathcal{D} (P ; Q)by (elim disjE; simp add: D-Seq) (metis append-Cons)
  thus ⟨ $s \in \mathcal{D} ((P ; Q) \text{ after } a)by (simp add: D-After $ initial-P)
next
  fix  $s X$ 
  assume same-div : ⟨ $\mathcal{D} ((P ; Q) \text{ after } a) = \mathcal{D} (P \text{ after } a ; Q)assume ⟨ $(s, X) \in \mathcal{F} ((P ; Q) \text{ after } a)then consider ⟨ $\text{ev } a \in (P ; Q)^0(\text{ev } a \# s, X) \in \mathcal{F} (P ; Q)\text{ev } a \notin (P ; Q)^0s = []by (simp add: F-After $ initial-P)
  thus ⟨ $(s, X) \in \mathcal{F} (P \text{ after } a ; Q)proof cases
  assume assms : ⟨ $\text{ev } a \in (P ; Q)^0(\text{ev } a \# s, X) \in \mathcal{F} (P ; Q)from assms(2) consider ⟨ $\text{ev } a \# s \in \mathcal{D} (P ; Q)(\text{ev } a \# s, X \cup \text{range tick}) \in \mathcal{F} PtF st1 t2 r$  where ⟨ $\text{ev } a \# s = t1 @ t2t1 @ [\checkmark(r)] \in \mathcal{T} P(t2, X) \in \mathcal{F}$ 
 $Qby (simp add: F-Seq D-Seq) blast
  thus ⟨ $(s, X) \in \mathcal{F} (P \text{ after } a ; Q)proof cases
  assume ⟨ $\text{ev } a \# s \in \mathcal{D} (P ; Q)hence ⟨ $s \in \mathcal{D} ((P ; Q) \text{ after } a)by (simp add: D-After assms(1))$$$$$$$$$$$$$$$$$$$$$$ 
```

```

with same-div D-F show ⟨(s, X) ∈ F (P after a ; Q)⟩ by blast
next
  show ⟨(ev a # s, X ∪ range tick) ∈ F P ⟹ tF s ⟹ (s, X) ∈ F (P
after a ; Q)⟩
    by (simp add: F-Seq F-After initial-P)
  next
    fix t1 t2 r assume * : ⟨ev a # s = t1 @ t2⟩ ⟨t1 @ [✓(r)] ∈ T P⟩ ⟨(t2,
X) ∈ F Q⟩
      have ⟨t1 ≠ []⟩ by (metis * initials-memI F-T
disjoint-iff rangeI self-append-conv2 that)
      with *(1) obtain t1'
        where ⟨t1 = ev a # t1'⟩ ⟨s = t1' @ t2⟩ by (metis Cons-eq-append-conv)
        with *(2, 3) show ⟨(s, X) ∈ F (P after a ; Q)⟩
          by (simp add: F-Seq T-After) (blast intro: initial-P)
      qed
    next
      show ⟨ev a ∉ (P ; Q)^0 ⟹ s = [] ⟹ (s, X) ∈ F (P after a ; Q)⟩
        by (simp add: F-Seq F-After $ initial-P)
    qed
  next
    fix s X
    assume same-div : ⟨D ((P ; Q) after a) = D (P after a ; Q)⟩
    assume ⟨(s, X) ∈ F (P after a ; Q)⟩
    then consider ⟨s ∈ D (P after a ; Q)⟩
      | ⟨(s, X ∪ range tick) ∈ F (P after a)⟩ ⟨tF s⟩
      | t1 t2 r where ⟨s = t1 @ t2⟩ ⟨t1 @ [✓(r)] ∈ T (P after a)⟩ ⟨(t2, X) ∈ F
Q⟩
        by (simp add: F-Seq D-Seq) blast
    thus ⟨(s, X) ∈ F ((P ; Q) after a)⟩
      proof cases
        from same-div D-F show ⟨s ∈ D (P after a ; Q) ⟹ (s, X) ∈ F ((P ; Q)
after a)⟩ by blast
      next
        show ⟨(s, X ∪ range tick) ∈ F (P after a) ⟹ tF s ⟹ (s, X) ∈ F ((P ;
Q) after a)⟩
          by (simp add: F-After $ initial-P F-Seq)
      next
        fix t1 t2 r assume * : ⟨s = t1 @ t2⟩ ⟨t1 @ [✓(r)] ∈ T (P after a)⟩ ⟨(t2,
X) ∈ F Q⟩
          from *(2) have ⟨ev a # t1 @ [✓(r)] ∈ T P⟩ by (simp add: T-After initial-P)
          with *(1, 3) show ⟨(s, X) ∈ F ((P ; Q) after a)⟩
            by (simp add: F-After $ initial-P F-Seq) (metis append-Cons)
        qed
      qed
    qed
  qed
qed

```

lemma skippable-not-initialL-After-Seq:

```

⟨(P ; Q) after a = ( if (exists r. ✓(r) ∈ P0) ∧ ev a ∈ Q0
    then Q after a else Ψ (P ; Q) a)⟩
(is ⟨(P ; Q) after a = (if ?prem then ?rhs else -)⟩ if ⟨ev a ≠ P0,
proof –
  from initials-BOT ⟨ev a ≠ P0⟩ have non-BOT : ⟨P ≠ ⊥⟩ by blast
  with ⟨ev a ≠ P0⟩ have $ : ⟨ev a ∈ (P ; Q)0 ↔ ?prem⟩
    by (auto simp add: initials-Seq)
  show ⟨(P ; Q) after a = (if ?prem then ?rhs else Ψ (P ; Q) a)⟩
  proof (split if-split, intro conjI impI)
    show ⟨¬ ?prem ⟹ (P ; Q) after a = Ψ (P ; Q) a⟩
      by (rule not-initial-After, use $ in blast)
  next
    show ⟨(P ; Q) after a = ?rhs⟩ if ?prem
    proof (subst Process-eq-spec, safe)
      fix t
      assume ⟨t ∈ D ((P ; Q) after a)⟩
      with ⟨?prem⟩ have ⟨ev a # t ∈ D (P ; Q)⟩ by (simp add: D-After $)
      with ⟨ev a ≠ P0⟩ obtain t1 t2 r where * : ⟨ev a # t = t1 @ t2⟩ ⟨t1 @
[✓(r)] ∈ T P⟩ ⟨t2 ∈ D Q⟩
        by (simp add: D-Seq) (meson initials-memI D-T)
        from *(1, 2) ⟨ev a ≠ P0⟩ initials-memI have ⟨t1 = []⟩
        by (cases t1; simp) blast
      with * show ⟨t ∈ D ?rhs⟩
        by (auto simp add: D-After intro: initials-memI D-T)
    next
      from ⟨?prem⟩ show ⟨s ∈ D ?rhs ⟹ s ∈ D ((P ; Q) after a)⟩ for s
        by (simp add: D-After $ D-Seq split: if-split-asm)
          (metis append-Nil initials-memD)
    next
      fix t X
      assume ⟨(t, X) ∈ F ((P ; Q) after a)⟩
      hence ⟨ev a ∈ (P ; Q)0⟩ ⟨(ev a # t, X) ∈ F (P ; Q)⟩
        by (simp-all add: F-After $ split: if-split-asm) (use ⟨?prem⟩ in blast) +
      thus ⟨(t, X) ∈ F ?rhs⟩
        by (simp add: F-After F-Seq $)
          (metis (no-types, lifting) initials-memI D-T F-T Nil-is-append-conv
            append-self-conv2 hd-append2 list.exhaust-sel list.sel(1) ⟨ev a ≠ P0⟩)
    next
      show ⟨(s, X) ∈ F ?rhs ⟹ (s, X) ∈ F ((P ; Q) after a)⟩ for s X
        by (simp add: F-After $ F-Seq split: if-split-asm)
          (metis append-Nil initials-memD ⟨?prem⟩, use ⟨?prem⟩ in blast)
    qed
  qed
qed

```

**lemma** skippable-initialL-initialR-After-Seq:

```

⟨(P ; Q) after a = (P after a ; Q) □ Q after a⟩
(is ⟨(P ; Q) after a = (P after a ; Q) □ ?rhs⟩)

```

```

if assms : <( $\exists r. \checkmark(r) \in P^0 \wedge ev a \in Q^0 \wedge ev a \in P^0$ >
proof (cases < $P = \perp$ >)
  show < $P = \perp \implies (P ; Q) \text{ after } a = (P \text{ after } a ; Q) \sqcap ?rhs$ >
    by (simp add: After-BOT)
next
  show < $(P ; Q) \text{ after } a = (P \text{ after } a ; Q) \sqcap ?rhs$ > if < $P \neq \perp$ >
  proof (subst Process-eq-spec-optimized, safe)
    fix s
    assume < $s \in \mathcal{D} ((P ; Q) \text{ after } a)$ >
    hence < $ev a \# s \in \mathcal{D} (P ; Q)$ >
      by (simp add: D-After initials-Seq < $P \neq \perp \wedge ev a \in P^0$ > image-iff)
    then consider < $ev a \# s \in \mathcal{D} P$ >
      |  $t1 t2 r$  where < $ev a \# s = t1 @ t2 \wedge t1 @ [\checkmark(r)] \in \mathcal{T} P \wedge t2 \in \mathcal{D} Q$ >
        by (simp add: D-Seq) blast
    thus < $s \in \mathcal{D} ((P \text{ after } a ; Q) \sqcap ?rhs)$ >
    proof cases
      show < $ev a \# s \in \mathcal{D} P \implies s \in \mathcal{D} ((P \text{ after } a ; Q) \sqcap ?rhs)$ >
        by (simp add: D-After D-Seq D-Ndet < $P \neq \perp$ > assms(2))
    next
      fix  $t1 t2 r$  assume * : < $ev a \# s = t1 @ t2 \wedge t1 @ [\checkmark(r)] \in \mathcal{T} P \wedge t2 \in \mathcal{D} Q$ >
      show < $s \in \mathcal{D} ((P \text{ after } a ; Q) \sqcap ?rhs)$ >
      proof (cases < $t1 = []$ >)
        from *(1, 3) assms(1) show < $t1 = [] \implies s \in \mathcal{D} ((P \text{ after } a ; Q) \sqcap ?rhs)$ >
          by (simp add: D-After D-Ndet)
      next
        assume < $t1 \neq []$ >
        with *(1, 3) obtain  $t1'$  where < $t1 = ev a \# t1'$ > by (cases t1; simp)
        with * show < $s \in \mathcal{D} ((P \text{ after } a ; Q) \sqcap ?rhs)$ >
          by (simp add: T-After D-Seq D-Ndet assms(2)) blast
      qed
    qed
  qed
next
  fix s
  assume < $s \in \mathcal{D} ((P \text{ after } a ; Q) \sqcap ?rhs)$ >
  then consider < $s \in \mathcal{D} (P \text{ after } a ; Q) \mid s \in \mathcal{D} ?rhs$ >
    by (simp add: D-Ndet) blast
  thus < $s \in \mathcal{D} ((P ; Q) \text{ after } a)$ >
  proof cases
    show < $s \in \mathcal{D} (P \text{ after } a ; Q) \implies s \in \mathcal{D} ((P ; Q) \text{ after } a)$ >
      by (simp add: After-projs D-Seq initials-Seq < $P \neq \perp$ > assms(2) image-iff)
        (metis append-Cons)
  next
    from assms show < $s \in \mathcal{D} ?rhs \implies s \in \mathcal{D} ((P ; Q) \text{ after } a)$ >
      by (simp add: D-After D-Seq initials-Seq < $P \neq \perp$ > split: if-split-asm)
        (metis append-Nil initials-def mem-Collect-eq)
  qed
next
  fix s X
  assume same-div : < $\mathcal{D} ((P ; Q) \text{ after } a) = \mathcal{D} ((P \text{ after } a ; Q) \sqcap ?rhs)$ >

```

```

assume  $\langle(s, X) \in \mathcal{F} ((P ; Q) \text{ after } a)\rangle$ 
hence  $\langle(ev a \# s, X) \in \mathcal{F} (P ; Q)\rangle$ 
    by (simp add: F-After initials-Seq  $\langle P \neq \perp \rangle$  assms(2) image-iff)
then consider  $\langle ev a \# s \in \mathcal{D} P\rangle$ 
    |  $\langle(ev a \# s, X \cup \text{range tick}) \in \mathcal{F} P\rangle$   $\langle tF s\rangle$ 
    |  $t1 t2 r \text{ where } \langle ev a \# s = t1 @ t2 \rangle$   $\langle t1 @ [\checkmark(r)] \in \mathcal{T} P\rangle$   $\langle(t2, X) \in \mathcal{F} Q\rangle$ 
        by (simp add: F-Seq D-Seq) blast
thus  $\langle(s, X) \in \mathcal{F} ((P \text{ after } a ; Q) \sqcap ?rhs)\rangle$ 
proof cases
    assume  $\langle ev a \# s \in \mathcal{D} P\rangle$ 
    hence  $\langle s \in \mathcal{D} (P \text{ after } a ; Q)\rangle$ 
        by (simp add: D-After D-Seq assms(2))
        with same-div D-Ndet D-F show  $\langle(s, X) \in \mathcal{F} ((P \text{ after } a ; Q) \sqcap ?rhs)\rangle$  by
            blast
next
    show  $\langle(ev a \# s, X \cup \text{range tick}) \in \mathcal{F} P \implies tF s \implies$ 
         $(s, X) \in \mathcal{F} ((P \text{ after } a ; Q) \sqcap ?rhs)\rangle$ 
        by (simp add: F-Ndet F-Seq F-After assms(2))
next
    fix  $t1 t2 r$  assume  $* : \langle ev a \# s = t1 @ t2 \rangle$   $\langle t1 @ [\checkmark(r)] \in \mathcal{T} P\rangle$   $\langle(t2, X)$ 
 $\in \mathcal{F} Q\rangle$ 
    show  $\langle(s, X) \in \mathcal{F} ((P \text{ after } a ; Q) \sqcap ?rhs)\rangle$ 
    proof (cases  $\langle t1 = []\rangle$ )
        from  $*(1, 3)$  assms show  $\langle t1 = [] \implies (s, X) \in \mathcal{F} ((P \text{ after } a ; Q) \sqcap ?rhs)\rangle$ 
            by (simp add: F-Ndet F-Seq F-After assms(2))
    next
        assume  $\langle t1 \neq []\rangle$ 
        with  $*(1, 3)$  obtain  $t1'$  where  $\langle t1 = ev a \# t1' \rangle$  by (cases t1; simp)
        with  $*$  show  $\langle(s, X) \in \mathcal{F} ((P \text{ after } a ; Q) \sqcap ?rhs)\rangle$ 
            by (simp add: F-Ndet F-Seq F-After assms(2)) blast
    qed
    qed
next
    fix  $s X$ 
    assume same-div :  $\langle \mathcal{D} ((P ; Q) \text{ after } a) = \mathcal{D} ((P \text{ after } a ; Q) \sqcap ?rhs)\rangle$ 
    assume  $\langle(s, X) \in \mathcal{F} ((P \text{ after } a ; Q) \sqcap ?rhs)\rangle$ 
    then consider  $\langle(s, X) \in \mathcal{F} (P \text{ after } a ; Q)\rangle$  |  $\langle(s, X) \in \mathcal{F} ?rhs\rangle$ 
        by (simp add: F-Ndet) blast
    thus  $\langle(s, X) \in \mathcal{F} ((P ; Q) \text{ after } a)\rangle$ 
    proof cases
        assume  $\langle(s, X) \in \mathcal{F} (P \text{ after } a ; Q)\rangle$ 
        then consider  $\langle s \in \mathcal{D} (P \text{ after } a ; Q)\rangle$ 
            |  $\langle(s, X \cup \text{range tick}) \in \mathcal{F} (P \text{ after } a)\rangle$   $\langle tF s\rangle$ 
            |  $t1 t2 r \text{ where } \langle s = t1 @ t2 \rangle$   $\langle t1 @ [\checkmark(r)] \in \mathcal{T} (P \text{ after } a)\rangle$   $\langle(t2, X) \in \mathcal{F}$ 
 $Q\rangle$ 
                by (simp add: F-Seq D-Seq) blast
            thus  $\langle(s, X) \in \mathcal{F} ((P ; Q) \text{ after } a)\rangle$ 
            proof cases
                show  $\langle s \in \mathcal{D} (P \text{ after } a ; Q) \implies (s, X) \in \mathcal{F} ((P ; Q) \text{ after } a)\rangle$ 

```

```

using same-div D-Ndet D-F by blast
next
  show ⟨(s, X ∪ range tick) ∈ F (P after a) ⟹ tF s ⟹ (s, X) ∈ F ((P ;
  Q) after a)⟩
    by (simp add: F-After F-Seq initials-Seq assms(2) image-iff)
next
  show ⟨s = t1 @ t2 ⟹ t1 @ [✓(r)] ∈ T (P after a) ⟹ (t2, X) ∈ F Q
    ⟹ (s, X) ∈ F ((P ; Q) after a)⟩ for t1 t2 r
    by (simp add: F-After T-After F-Seq initials-Seq
      assms(2) image-iff ⟨P ≠ ⊥⟩ (metis Cons-eq-appendI)
qed
next
  from assms(1) ⟨P ≠ ⊥⟩ show ⟨(s, X) ∈ F ?rhs ⟹ (s, X) ∈ F ((P ; Q)
  after a)⟩
    by (simp add: F-GlobalNdet F-After F-Seq initials-Seq image-iff split:
  if-split-asm)
    (simp add: initials-def, metis append-Nil)
qed
qed
qed

```

**lemma** not-initialL-not-skippable-or-not-initialR-After-Seq:  
 $\langle \text{ev } a \notin P^0 \Rightarrow \text{range tick} \cap P^0 = \{\} \vee (\forall r. \text{tick } r \in P^0 \rightarrow \text{ev } a \notin Q^0) \Rightarrow$   
 $(P ; Q) \text{ after } a = \Psi(P ; Q) a \rangle$   
**by** (simp add: not-skippable-or-not-initialR-After-Seq not-initial-After)

**lemma** After-Seq:  
 $\langle (P ; Q) \text{ after } a =$   
 $(\text{if range tick} \cap P^0 = \{\} \vee (\forall r. \checkmark(r) \in P^0 \rightarrow \text{ev } a \notin Q^0)$   
 $\text{then if ev } a \in P^0 \text{ then } P \text{ after } a ; Q \text{ else } \Psi(P ; Q) a$   
 $\text{else if ev } a \in P^0$   
 $\text{then } (P \text{ after } a ; Q) \sqcap Q \text{ after } a$   
 $\text{else } Q \text{ after } a) \rangle$   
**by** (simp add: not-skippable-or-not-initialR-After-Seq  
 skippable-initialL-initialR-After-Seq skippable-not-initialL-After-Seq)

### 3.5.3 After Synchronization

Now let's focus on *Sync*. We want to obtain an equivalent of  
 $Mprefix ?A ?P \llbracket ?S \rrbracket Mprefix ?B ?Q = (\square a \in (?A - ?S) \rightarrow (?P a \llbracket ?S \rrbracket Mprefix ?B ?Q)) \sqcup (\square b \in (?B - ?S) \rightarrow (Mprefix ?A ?P \llbracket ?S \rrbracket ?Q b)) \sqcup (\square x \in (?A \cap ?B \cap ?S) \rightarrow (?P x \llbracket ?S \rrbracket ?Q x))$

We will also divide the task.

*After* version of

$$\llbracket a \notin ?S ; ?B \subseteq ?S \rrbracket \implies a \rightarrow P \llbracket ?S \rrbracket Mprefix ?B ?Q = a \rightarrow (P \llbracket ?S \rrbracket Mprefix$$

?B ?Q).

**lemma** *initialL-not-initialR-not-in-After-Sync*:

$\langle (P \llbracket S \rrbracket Q) \text{ after } a = P \text{ after } a \llbracket S \rrbracket Q \rangle$   
**if** *initial-hyps*:  $\langle \text{ev } a \in P^0 \rangle \langle \text{ev } a \notin Q^0 \rangle$  **and** *notin*:  $\langle a \notin S \rangle$

**proof** (*subst Process-eq-spec-optimized, safe*)

{ fix s X  
**assume** *assms* :  $\langle P \neq \perp \rangle \langle Q \neq \perp \rangle \langle (\text{ev } a \# s, X) \in \mathcal{F} (P \llbracket S \rrbracket Q) \rangle$   
**and** *same-div* :  $\langle \mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a) = \mathcal{D} (P \text{ after } a \llbracket S \rrbracket Q) \rangle$   
**have**  $\langle (s, X) \in \mathcal{F} (P \text{ after } a \llbracket S \rrbracket Q) \rangle$   
**proof** (*cases*  $\langle \text{ev } a \# s \in \mathcal{D} (P \llbracket S \rrbracket Q) \rangle$ )  
**case** *True*  
**hence**  $\langle s \in \mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a) \rangle$   
**by** (*force simp add: D-After initials-Sync initial-hyps(1)* *assms(1, 2)* *notin*)  
**with** *D-F same-div* **show**  $\langle (s, X) \in \mathcal{F} (P \text{ after } a \llbracket S \rrbracket Q) \rangle$  **by** *blast*  
**next**  
**case** *False*  
**with** *assms(3)* **obtain** *s-P s-Q X-P X-Q*  
**where** \* :  $\langle (s\text{-}P, X\text{-}P) \in \mathcal{F} P \rangle \langle (s\text{-}Q, X\text{-}Q) \in \mathcal{F} Q \rangle$   
 $\langle (\text{ev } a \# s) \text{ setinterleaves } ((s\text{-}P, s\text{-}Q), \text{range tick} \cup \text{ev } 'S) \rangle$   
 $\langle X = (X\text{-}P \cup X\text{-}Q) \cap (\text{range tick} \cup \text{ev } 'S) \cup X\text{-}P \cap X\text{-}Q \rangle$   
**by** (*simp add: F-Sync D-Sync*) *blast*  
**have** \*\* :  $\langle s\text{-}P \neq \perp \wedge \text{hd } s\text{-}P = \text{ev } a \wedge s \text{ setinterleaves } ((\text{tl } s\text{-}P, s\text{-}Q), \text{range tick} \cup \text{ev } 'S) \rangle$   
**using** \*(3) **apply** (*cases s-P; cases s-Q; simp add: notin image-iff split: if-split-asm*)  
**using** \*(2) *initials-memI F-T initial-hyps(2)* **by** *blast+*  
**hence**  $\langle (\text{tl } s\text{-}P, X\text{-}P) \in \mathcal{F} (P \text{ after } a) \wedge (s\text{-}Q, X\text{-}Q) \in \mathcal{F} Q \wedge$   
 $s \text{ setinterleaves } ((\text{tl } s\text{-}P, s\text{-}Q), \text{range tick} \cup \text{ev } 'S) \wedge$   
 $X = (X\text{-}P \cup X\text{-}Q) \cap (\text{range tick} \cup \text{ev } 'S) \cup X\text{-}P \cap X\text{-}Q \rangle$   
**by** (*simp add: F-After \*\* initial-hyps(1)*)  
 $(\text{metis } *(1) *(2) *(4) ** \text{list.exhaustsel})$   
**thus**  $\langle (s, X) \in \mathcal{F} (P \text{ after } a \llbracket S \rrbracket Q) \rangle$   
**by** (*simp add: F-Sync*) *blast*  
**qed**  
} **note** \* = *this*

**show**  $\langle \mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a) = \mathcal{D} (P \text{ after } a \llbracket S \rrbracket Q) \Rightarrow$   
 $(s, X) \in \mathcal{F} ((P \llbracket S \rrbracket Q) \text{ after } a) \Rightarrow (s, X) \in \mathcal{F} (P \text{ after } a \llbracket S \rrbracket Q) \rangle$  **for** s X  
**by** (*simp add: \* F-After initials-Sync initial-hyps notin image-iff split: if-split-asm*)  
 $(\text{metis After-BOT BOT-Sync CollectI D-BOT F-imp-front-tickFree Sync-BOT}$   
 $\text{front-tickFree-Cons-iff front-tickFree-Nil is-processT8})$

**next**

fix s X  
**assume** *same-div* :  $\langle \mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a) = \mathcal{D} (P \text{ after } a \llbracket S \rrbracket Q) \rangle$   
{ **assume** *assms* :  $\langle P \neq \perp \rangle \langle Q \neq \perp \rangle \langle (s, X) \in \mathcal{F} (P \text{ after } a \llbracket S \rrbracket Q) \rangle$   
**from** *assms(3)* **consider**  
 $\exists s\text{-}P s\text{-}Q X\text{-}P X\text{-}Q. (s\text{-}P, X\text{-}P) \in \mathcal{F} (P \text{ after } a) \wedge (s\text{-}Q, X\text{-}Q) \in \mathcal{F} Q \wedge$   
 $s \text{ setinterleaves } ((s\text{-}P, s\text{-}Q), \text{range tick} \cup \text{ev } 'S) \wedge$

```


$$X = (X-P \cup X-Q) \cap (\text{range tick} \cup \text{ev } 'S) \cup X-P \cap X-Q \mid$$


$$\langle s \in \mathcal{D} (P \text{ after } a \llbracket S \rrbracket Q) \rangle$$


$$\text{by (simp add: F-Sync D-Sync) blast}$$


$$\text{hence } \langle (\text{ev } a \# s, X) \in \mathcal{F} (P \llbracket S \rrbracket Q) \rangle$$


$$\text{proof cases}$$


$$\text{case 1}$$


$$\text{then obtain } s\text{-}P \ s\text{-}Q \ X\text{-}P \ X\text{-}Q$$


$$\text{where } * : \langle (\text{ev } a \# s\text{-}P, X\text{-}P) \in \mathcal{F} P \rangle \ \langle (s\text{-}Q, X\text{-}Q) \in \mathcal{F} Q \rangle$$


$$\langle s \text{ setinterleaves } ((s\text{-}P, s\text{-}Q), \text{range tick} \cup \text{ev } 'S) \rangle$$


$$\langle X = (X\text{-}P \cup X\text{-}Q) \cap (\text{range tick} \cup \text{ev } 'S) \cup X\text{-}P \cap X\text{-}Q \rangle$$


$$\text{by (simp add: F-After initial-hyps(1)) blast}$$


$$\text{have } \langle (s\text{-}Q, X\text{-}Q) \in \mathcal{F} Q \wedge$$


$$(\text{ev } a \# s) \text{ setinterleaves } ((\text{ev } a \# s\text{-}P, s\text{-}Q), \text{range tick} \cup \text{ev } 'S) \wedge$$


$$X = (X\text{-}P \cup X\text{-}Q) \cap (\text{range tick} \cup \text{ev } 'S) \cup X\text{-}P \cap X\text{-}Q \rangle$$


$$\text{apply (simp add: *(2, 4))}$$


$$\text{using } *(3) \text{ by (cases s\text{-}Q; simp add: notin image-iff)}$$


$$\text{with } *(1) \text{ show } \langle (\text{ev } a \# s, X) \in \mathcal{F} (P \llbracket S \rrbracket Q) \rangle$$


$$\text{by (simp add: F-Sync) blast}$$


$$\text{next}$$


$$\text{case 2}$$


$$\text{from } 2[\text{simplified same-div[symmetric]}]$$


$$\text{have } \langle \text{ev } a \# s \in \mathcal{D} (P \llbracket S \rrbracket Q) \rangle$$


$$\text{by (simp add: D-After initial-hyps(1) initials-Sync assms(1, 2) image-iff notin)}$$


$$\text{with D-F show } \langle (\text{ev } a \# s, X) \in \mathcal{F} (P \llbracket S \rrbracket Q) \rangle \text{ by blast}$$


$$\text{qed}$$


$$\}$$


$$\text{thus } \langle (s, X) \in \mathcal{F} (P \text{ after } a \llbracket S \rrbracket Q) \rangle \implies \langle (s, X) \in \mathcal{F} ((P \llbracket S \rrbracket Q) \text{ after } a) \rangle$$


$$\text{by (simp add: F-After initials-Sync initial-hyps After-BOT F-BOT image-iff notin F-imp-front-tickFree front-tickFree-Cons-iff)}$$


$$\text{next}$$


$$\{ \text{ fix } s$$


$$\text{assume assms} : \langle P \neq \perp \rangle \langle Q \neq \perp \rangle \langle \text{ev } a \# s \in \mathcal{D} (P \llbracket S \rrbracket Q) \rangle$$


$$\text{from assms}(3) \text{ obtain } t \ u \ r \ v$$


$$\text{where } * : \langle \text{ftF } v \rangle \langle \text{tF } r \vee v = [] \rangle \langle \text{ev } a \# s = r @ v \rangle$$


$$\langle r \text{ setinterleaves } ((t, u), \text{range tick} \cup \text{ev } 'S) \rangle$$


$$\langle t \in \mathcal{D} P \wedge u \in \mathcal{T} Q \vee t \in \mathcal{D} Q \wedge u \in \mathcal{T} P \rangle \text{ by (simp add: D-Sync) blast}$$


$$\text{have } ** : \langle r \neq [] \wedge \text{hd } r = \text{ev } a \rangle$$


$$\text{by (metis } *(3, 4, 5) \text{ BOT-iff-Nil-D assms(1, 2) empty-setinterleaving hd-append list.sel(1))}$$


$$\text{hence } *** : \langle (t \in \mathcal{D} P \wedge u \in \mathcal{T} Q \longrightarrow t \neq [] \wedge \text{hd } t = \text{ev } a \wedge$$


$$\text{tl } r \text{ setinterleaves } ((\text{tl } t, u), \text{range tick} \cup \text{ev } 'S)) \wedge$$


$$(t \in \mathcal{D} Q \wedge u \in \mathcal{T} P \longrightarrow u \neq [] \wedge \text{hd } u = \text{ev } a \wedge$$


$$\text{tl } r \text{ setinterleaves } ((t, \text{tl } u), \text{range tick} \cup \text{ev } 'S)) \rangle$$


$$\text{using } *(4) \text{ assms}(1, 2)[\text{simplified BOT-iff-Nil-D] initial-hyps[simplified initials-def] notin}$$


$$\text{by (cases } t; \text{ cases } u; \text{ simp split: if-split-asm)}$$


$$(\text{metis } [[\text{metis-verbose} = \text{false}]] \text{ initials-memI D-T})$$


```

```

list.sel(1) list.sel(3) initial-hyps(2))+  

from *(5) have ⟨s ∈ D (P after a [S] Q)⟩  

proof (elim disjE)  

  assume ⟨t ∈ D P ∧ u ∈ T Q⟩  

  hence ⟨ftF v ∧ (tF (tl r) ∨ v = []) ∧ s = tl r @ v ∧  

    tl r setinterleaves ((tl t, u), range tick ∪ ev ` S) ∧  

    tl t ∈ D (P after a) ∧ u ∈ T Q⟩  

  by (simp add: D-After initial-hyps(1))  

    (metis *(1, 2, 3) ** *** list.collapse list.sel(3)  

      tickFree-tl tl-append2)  

  thus ⟨s ∈ D (P after a [S] Q)⟩ by (simp add: D-Sync) blast  

next  

  assume ⟨t ∈ D Q ∧ u ∈ T P⟩  

  hence ⟨ftF v ∧ (tF (tl r) ∨ v = []) ∧ s = tl r @ v ∧  

    tl r setinterleaves ((t, tl u), range tick ∪ ev ` S) ∧  

    t ∈ D Q ∧ tl u ∈ T (P after a)⟩  

  by (simp add: T-After initial-hyps(1))  

    (metis *(1, 2, 3) ** *** list.collapse  

      list.sel(3) tickFree-tl tl-append2)  

  thus ⟨s ∈ D (P after a [S] Q)⟩  

    by (simp add: D-Sync) blast  

qed  

} note * = this

show ⟨s ∈ D ((P [S] Q) after a) ⟹ s ∈ D (P after a [S] Q)⟩ for s
by (simp add: D-After initials-Sync initial-hyps notin image-iff *
  split: if-split-asm)
(elim disjE;
  simp add: After-BOT D-BOT, metis front-tickFree-Cons-iff front-tickFree-Nil)
next

fix s
{ assume assms : ⟨P ≠ ⊥⟩ ⟨Q ≠ ⊥⟩ ⟨s ∈ D (P after a [S] Q)⟩
  from assms(3) obtain t u r v
    where * : ⟨ftF v⟩ ⟨tF r ∨ v = []⟩ ⟨s = r @ v⟩  

      ⟨r setinterleaves ((t, u), range tick ∪ ev ` S)⟩  

      ⟨t ∈ D (P after a) ∧ u ∈ T Q ∨ t ∈ D Q ∧ u ∈ T (P after a)⟩  

    by (simp add: D-Sync) blast
  from *(5) have ⟨ev a # s ∈ D (P [S] Q)⟩
  proof (elim disjE)
    assume ⟨t ∈ D (P after a) ∧ u ∈ T Q⟩  

    with *(1, 2, 3, 4) initial-hyps(1)
    have ** : ⟨ftF v ∧ (tF (ev a # r) ∨ v = []) ∧ s = r @ v ∧  

      (ev a # r) setinterleaves ((ev a # t, u), range tick ∪ ev ` S) ∧  

      ev a # t ∈ D P ∧ u ∈ T Q⟩  

    by (cases u; simp add: D-After notin image-iff)
    show ⟨ev a # s ∈ D (P [S] Q)⟩
      by (simp add: D-Sync) (metis ** Cons-eq-appendI)
  next
}

```

```

assume ⟨ $t \in \mathcal{D} Q \wedge u \in \mathcal{T} (P \text{ after } a)with *(1, 2, 3, 4) initial-hyps(1)
have ** : ⟨ $\text{ftF } v \wedge (\text{tf } (\text{ev } a \# r) \vee v = \square) \wedge s = r @ v \wedge$ 
    ⟨ $\text{ev } a \# r$  setinterleaves  $((t, \text{ev } a \# u), \text{range tick} \cup \text{ev} ' S)$   $\wedge$ 
     $t \in \mathcal{D} Q \wedge \text{ev } a \# u \in \mathcal{T} P$ ⟩
    by (cases  $t$ ; simp add: T-After notin image-iff)
show ⟨ $\text{ev } a \# s \in \mathcal{D} (P \llbracket S \rrbracket Q)$ ⟩
    by (simp add: D-Sync) (metis ** Cons-eq-appendI)
qed
}
thus ⟨ $s \in \mathcal{D} (P \text{ after } a \llbracket S \rrbracket Q) \implies s \in \mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a)$ ⟩
    by (simp add: D-After initials-Sync initial-hyps After-BOT D-BOT
        notin image-iff D-imp-front-tickFree front-tickFree-Cons-iff)
qed$ 
```

**lemma** *not-initialL-in-After-Sync*:

⟨ $\text{ev } a \notin P^0 \implies a \in S \implies$   
 $(P \llbracket S \rrbracket Q) \text{ after } a = (\text{if } Q = \perp \text{ then } \perp \text{ else } \Psi (P \llbracket S \rrbracket Q) a)$ ⟩  
**by** (simp add: After-BOT, intro impI)  
 (subst not-initial-After, auto simp add: After-BOT initials-Sync)

After version of  $\llbracket a \in ?S; a \in ?S \rrbracket \implies a \rightarrow P \llbracket ?S \rrbracket a \rightarrow Q = a \rightarrow (P \llbracket ?S \rrbracket Q)$ .

**lemma** *initialL-initialR-in-After-Sync*:

⟨ $(P \llbracket S \rrbracket Q) \text{ after } a = P \text{ after } a \llbracket S \rrbracket Q \text{ after } a$ ⟩  
 if initial-hyps: ⟨ $\text{ev } a \in P^0$ ⟩ ⟨ $\text{ev } a \in Q^0$ ⟩ **and** inside: ⟨ $a \in S$ ⟩  
**proof** (subst Process-eq-spec-optimized, safe)

```

{ fix s X
assume assms : ⟨ $P \neq \perp \wedge Q \neq \perp \wedge (\text{ev } a \# s, X) \in \mathcal{F} (P \llbracket S \rrbracket Q)$ ⟩
    and same-div : ⟨ $\mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a) = \mathcal{D} (P \text{ after } a \llbracket S \rrbracket Q \text{ after } a)$ ⟩
from assms(3) consider
    ⟨ $\exists s-P s-Q X-P X-Q. (s-P, X-P) \in \mathcal{F} P \wedge (s-Q, X-Q) \in \mathcal{F} Q \wedge$ 
    ⟨ $\text{ev } a \# s$  setinterleaves  $((s-P, s-Q), \text{range tick} \cup \text{ev} ' S)$   $\wedge$ 
     $X = (X-P \cup X-Q) \cap (\text{range tick} \cup \text{ev} ' S) \cup X-P \cap X-Q$ ⟩ |
    ⟨ $\text{ev } a \# s \in \mathcal{D} (P \llbracket S \rrbracket Q)$ ⟩
    by (simp add: F-Sync D-Sync) blast
hence ⟨ $(s, X) \in \mathcal{F} (P \text{ after } a \llbracket S \rrbracket Q \text{ after } a)$ ⟩
proof cases
    case 1
    then obtain s-P s-Q X-P X-Q
    where * : ⟨ $(s-P, X-P) \in \mathcal{F} P \wedge (s-Q, X-Q) \in \mathcal{F} Q$ ⟩
        ⟨ $\text{ev } a \# s$  setinterleaves  $((s-P, s-Q), \text{range tick} \cup \text{ev} ' S)$ ⟩
        ⟨ $X = (X-P \cup X-Q) \cap (\text{range tick} \cup \text{ev} ' S) \cup X-P \cap X-Q$ ⟩ by blast
    from *(3) have ⟨ $s-P \neq \square \wedge \text{hd } s-P = \text{ev } a \wedge s-Q \neq \square \wedge \text{hd } s-Q = \text{ev } a \wedge$ 
        ⟨ $s$  setinterleaves  $((\text{tl } s-P, \text{tl } s-Q), \text{range tick} \cup \text{ev} ' S)$ ⟩
    using inside by (cases s-P; cases s-Q, auto simp add: split: if-split-asm)

```

```

hence ⟨(tl s-P, X-P) ∈ F (P after a) ∧ (tl s-Q, X-Q) ∈ F (Q after a) ∧
    s setinterleaves ((tl s-P, tl s-Q), range tick ∪ ev ‘S) ∧
    X = (X-P ∪ X-Q) ∩ (range tick ∪ ev ‘S) ∪ X-P ∩ X-Q⟩
using *(1, 2, 4) initial-hyps by (simp add: F-After) (metis list.exhaustsel)
thus ⟨(s, X) ∈ F (P after a [S] Q after a)⟩
    by (simp add: F-Sync) blast
next
assume ⟨ev a # s ∈ D (P [S] Q)⟩
hence ⟨s ∈ D ((P [S] Q) after a)⟩
    by (force simp add: D-After initials-Sync initial-hyps assms(1, 2))
from this[simplified same-div] D-F
show ⟨(s, X) ∈ F (P after a [S] Q after a)⟩ by blast
qed
} note * = this

show ⟨D ((P [S] Q) after a) = D (P after a [S] Q after a) ⟹
    (s, X) ∈ F ((P [S] Q) after a) ⟹ (s, X) ∈ F (P after a [S] Q after a)⟩
for s X
    by (simp add: * F-After initials-Sync initial-hyps image-iff split: if-split-asm)
    (metis After-BOT BOT-Sync BOT-iff-Nil-D CollectI D-BOT F-imp-front-tickFree
        Sync-BOT front-tickFree-Cons-iff is-processT8)
next

fix s X
assume same-div : ⟨D ((P [S] Q) after a) = D (P after a [S] Q after a)⟩
{ assume assms : ⟨P ≠ ⊥⟩ ⟨Q ≠ ⊥⟩ ⟨(s, X) ∈ F (P after a [S] Q after a)⟩
    from assms(3) consider
        ⟨∃ s-P s-Q X-P X-Q. (ev a # s-P, X-P) ∈ F P ∧ (ev a # s-Q, X-Q) ∈ F Q
    ∧
        s setinterleaves ((s-P, s-Q), range tick ∪ ev ‘S) ∧
        X = (X-P ∪ X-Q) ∩ (range tick ∪ ev ‘S) ∪ X-P ∩ X-Q⟩ |
        ⟨s ∈ D (P after a [S] Q after a)⟩
        by (simp add: F-Sync D-Sync F-After initial-hyps) blast
    hence ⟨(ev a # s, X) ∈ F (P [S] Q)⟩
    proof cases
        case 1
        then obtain s-P s-Q X-P X-Q
        where * : ⟨(ev a # s-P, X-P) ∈ F P⟩ ⟨(ev a # s-Q, X-Q) ∈ F Q⟩
            ⟨s setinterleaves ((s-P, s-Q), range tick ∪ ev ‘S)⟩
            ⟨X = (X-P ∪ X-Q) ∩ (range tick ∪ ev ‘S) ∪ X-P ∩ X-Q⟩ by blast
        have ** : ⟨(ev a # s) setinterleaves ((ev a # s-P, ev a # s-Q), range tick ∪
            ev ‘S)⟩
            by (simp add: inside *(3))
        show ⟨(ev a # s, X) ∈ F (P [S] Q)⟩
            apply (simp add: F-Sync)
            using *(1, 2, 4) ** by blast
    next
        assume ⟨s ∈ D (P after a [S] Q after a)⟩
        with same-div[symmetric] have ⟨ev a # s ∈ D (P [S] Q)⟩

```

```

    by (simp add: D-After initial-hyps initials-Sync assms(1, 2) image-iff)
    with D-F show <(ev a # s, X) ∈ F (P [|S|] Q)> by blast
qed
}
thus <(s, X) ∈ F (P after a [|S|] Q after a) ==> (s, X) ∈ F ((P [|S|] Q) after a)>
by (simp add: F-After initials-Sync initial-hyps F-BOT image-iff
F-imp-front-tickFree front-tickFree-Cons-iff)
next
{ fix s
assume assms : <P ≠ ⊥, Q ≠ ⊥, ev a # s ∈ D (P [|S|] Q)>
from assms(3) obtain t u r v
where * : <tF v, tF r ∨ v = []>, <ev a # s = r @ v>
      <r setinterleaves ((t, u), range tick ∪ ev ` S)>
      <t ∈ D P ∧ u ∈ T Q ∨ t ∈ D Q ∧ u ∈ T P> by (simp add: D-Sync) blast
have ** : <r ≠ [] ∧ hd r = ev a ∧ t ≠ [] ∧ hd t = ev a ∧ u ≠ [] ∧ hd u = ev a
      ∧ tl r setinterleaves ((tl t, tl u), range tick ∪ ev ` S)>
using *(3, 4, 5) inside assms(1, 2)[simplified BOT-iff-Nil-D]
by (cases t; cases u) (auto simp add: image-iff split: if-split-asm)
have <(tF (tl r) ∨ v = []) ∧ s = tl r @ v ∧
      (tl t ∈ D (P after a) ∧ tl u ∈ T (Q after a)) ∨
      (tl t ∈ D (Q after a) ∧ tl u ∈ T (P after a))>
using *(2, 3, 5) ** apply (simp add: D-After initial-hyps T-After)
by (metis list.collapse list.sel(3) tickFree-tl tl-append2)
with *(1) ** have <s ∈ D (P after a [|S|] Q after a)> by (simp add: D-Sync)
blast
} note * = this

show <s ∈ D ((P [|S|] Q) after a) ==> s ∈ D (P after a [|S|] Q after a)> for s
by (simp add: * D-After initials-Sync initial-hyps image-iff split: if-split-asm)
(metis After-BOT BOT-Sync BOT-iff-Nil-D D-BOT Sync-BOT front-tickFree-Cons-iff
mem-Collect-eq)
next
fix s
{ assume <s ∈ D (P after a [|S|] Q after a)>
from this obtain t u r v
where * : <tF v, tF r ∨ v = []>, <s = r @ v>
      <r setinterleaves ((t, u), range tick ∪ ev ` S)>
      <ev a # t ∈ D P ∧ ev a # u ∈ T Q ∨ ev a # t ∈ D Q ∧ ev a # u ∈ T P>
      by (simp add: D-Sync After-projs initial-hyps) blast
have ** : <(ev a # r) setinterleaves ((ev a # t, ev a # u), range tick ∪ ev ` S)>
      by (simp add: inside *(4))
have <ev a # s ∈ D (P [|S|] Q)>
      by (simp add: D-Sync inside)
      (metis *(1, 2, 3, 5) ** Cons-eq-appendI eventptick.disc(1) tickFree-Cons-iff)
}

```

thus  $\langle s \in \mathcal{D} (P \text{ after } a \llbracket S \rrbracket Q \text{ after } a) \implies s \in \mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a) \rangle$   
by (simp add: D-After initials-Sync initial-hyps After-BOT D-BOT inside)  
qed

After version of

$$\llbracket e \notin ?S; e \notin ?S \rrbracket \implies e \rightarrow P \llbracket ?S \rrbracket e \rightarrow Q = (e \rightarrow (P \llbracket ?S \rrbracket e \rightarrow Q)) \square (e \rightarrow (e \rightarrow P \llbracket ?S \rrbracket Q)).$$

**lemma** initialL-initialR-not-in-After-Sync:

$\langle (P \llbracket S \rrbracket Q) \text{ after } a = (P \text{ after } a \llbracket S \rrbracket Q) \sqcap (P \llbracket S \rrbracket Q \text{ after } a) \rangle$   
**if** initial-hyps:  $\langle \text{ev } a \in P^0 \rangle \langle \text{ev } a \in Q^0 \rangle$  **and** notin:  $\langle a \notin S \rangle$  **for**  $P Q :: \langle ('a, 'r) \rangle$   
process<sub>ptick</sub>

**proof** (subst Process-eq-spec-optimized, safe)

```

{ fix P Q :: ⟨('a, 'r) processptick⟩ and s X s-P s-Q X-P X-Q
  assume assms : ⟨(s-P, X-P) ∈ F P⟩ ⟨(s-Q, X-Q) ∈ F Q⟩
    ⟨X = (X-P ∪ X-Q) ∩ (range tick ∪ ev ‘S) ∪ X-P ∩ X-Q⟩
    ⟨s-P ≠ []⟩ ⟨hd s-P = ev a⟩
    ⟨s setinterleaves ((tl s-P, s-Q), range tick ∪ ev ‘S)⟩
    ⟨ev a ∈ P^0⟩
  from assms(1, 4, 5, 7) have ⟨(tl s-P, X-P) ∈ F (P after a)⟩
    by (simp add: F-After) (metis list.exhaust-sel)
  with assms(2, 3, 6) have ⟨(s, X) ∈ F (P after a \llbracket S \rrbracket Q)⟩
    by (simp add: F-Sync) blast
} note * = this

{ fix s X
  assume assms : ⟨P ≠ ⊥⟩ ⟨Q ≠ ⊥⟩ ⟨(ev a # s, X) ∈ F (P \llbracket S \rrbracket Q)⟩
    and same-div : ⟨D ((P \llbracket S \rrbracket Q) after a) = D ((P after a \llbracket S \rrbracket Q) ∩ (P \llbracket S \rrbracket Q after a))⟩
  from assms(3) consider
    ⟨∃ s-P s-Q X-P X-Q. (s-P, X-P) ∈ F P ∧ (s-Q, X-Q) ∈ F Q ∧
      (ev a # s) setinterleaves ((s-P, s-Q), range tick ∪ ev ‘S) ∧
      X = (X-P ∪ X-Q) ∩ (range tick ∪ ev ‘S) ∪ X-P ∩ X-Q⟩ |
    ⟨s ∈ D ((P \llbracket S \rrbracket Q) after a)⟩
    by (simp add: F-Sync D-After D-Sync initials-Sync assms(1, 2) initial-hyps
image-iff) blast
  hence ⟨(s, X) ∈ F ((P after a \llbracket S \rrbracket Q) ∩ (P \llbracket S \rrbracket Q after a))⟩
  proof cases
    case 1
    then obtain s-P s-Q X-P X-Q
      where ** : ⟨(s-P, X-P) ∈ F P⟩ ⟨(s-Q, X-Q) ∈ F Q⟩
        ⟨(ev a # s) setinterleaves ((s-P, s-Q), range tick ∪ ev ‘S)⟩
        ⟨X = (X-P ∪ X-Q) ∩ (range tick ∪ ev ‘S) ∪ X-P ∩ X-Q⟩ by blast
      have ⟨s-P ≠ [] ∧ hd s-P = ev a ∧ s setinterleaves ((tl s-P, s-Q), range tick
        ∪ ev ‘S) ∨
        s-Q ≠ [] ∧ hd s-Q = ev a ∧ s setinterleaves ((s-P, tl s-Q), range tick ∪
        ev ‘S)⟩
        using **(3) by (cases s-P; cases s-Q; simp add: notin image-iff split:
        if-split-asm) blast
  
```

```

thus  $\langle(s, X) \in \mathcal{F} ((P \text{ after } a \llbracket S \rrbracket Q) \sqcap (P \llbracket S \rrbracket Q \text{ after } a))\rangle$ 
  apply (elim disjE; simp add: F-Ndet)
  using **(1, 2, 4) initial-hyps(1) apply blast
  apply (rule disjI2, subst Sync-commute, rule *[OF **(2, 1)])
  by (simp-all add: **(4) Int-commute Un-commute Sync-commute
    setinterleaving-sym initial-hyps(2))
next
assume  $\langle s \in \mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a)\rangle$ 
from this[simplified same-div] D-F
show  $\langle(s, X) \in \mathcal{F} ((P \text{ after } a \llbracket S \rrbracket Q) \sqcap (P \llbracket S \rrbracket Q \text{ after } a))\rangle$  by blast
qed
} note ** = this

show  $\langle \mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a) = \mathcal{D} ((P \text{ after } a \llbracket S \rrbracket Q) \sqcap (P \llbracket S \rrbracket Q \text{ after } a)) \implies$ 
 $\langle(s, X) \in \mathcal{F} ((P \llbracket S \rrbracket Q) \text{ after } a) \implies (s, X) \in \mathcal{F} ((P \text{ after } a \llbracket S \rrbracket Q) \sqcap (P \llbracket S \rrbracket Q \text{ after } a))\rangle$  for s X
by (simp add: ** F-After initials-Sync initial-hyps image-iff split: if-split-asm)
(metis After-BOT BOT-Sync BOT-iff-Nil-D D-BOT F-imp-front-tickFree
Sync-commute
front-tickFree-Cons-iff is-processT8 mem-Collect-eq)
next

{ fix s X P Q
assume assms :  $\langle P \neq \perp \rangle \langle Q \neq \perp \rangle \langle(s, X) \in \mathcal{F} (P \text{ after } a \llbracket S \rrbracket Q) \rangle \langle \text{ev } a \in P^0 \rangle$ 
  and same-div :  $\langle \mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a) = \mathcal{D} ((P \text{ after } a \llbracket S \rrbracket Q) \sqcap (P \llbracket S \rrbracket Q \text{ after } a))\rangle$ 
from assms(3)[simplified F-Sync, simplified] consider
  s-P s-Q X-P X-Q where  $\langle(\text{ev } a \# s-P, X-P) \in \mathcal{F} P\rangle \langle(s-Q, X-Q) \in \mathcal{F} Q\rangle$ 
   $\langle s \text{ setinterleaves } ((s-P, s-Q), \text{range tick} \cup \text{ev } 'S)\rangle$ 
   $\langle X = (X-P \cup X-Q) \cap (\text{range tick} \cup \text{ev } 'S) \cup X-P \cap X-Q\rangle$ 
|  $\langle s \in \mathcal{D} (P \text{ after } a \llbracket S \rrbracket Q)\rangle$ 
  by (simp add: F-Sync F-After assms(4) D-Sync) blast
hence  $\langle(\text{ev } a \# s, X) \in \mathcal{F} (P \llbracket S \rrbracket Q)\rangle$ 
proof cases
  fix s-P s-Q X-P X-Q
  assume * :  $\langle(\text{ev } a \# s-P, X-P) \in \mathcal{F} P\rangle \langle(s-Q, X-Q) \in \mathcal{F} Q\rangle$ 
   $\langle s \text{ setinterleaves } ((s-P, s-Q), \text{range tick} \cup \text{ev } 'S)\rangle$ 
   $\langle X = (X-P \cup X-Q) \cap (\text{range tick} \cup \text{ev } 'S) \cup X-P \cap X-Q\rangle$ 
  have  $\langle(\text{ev } a \# s) \text{ setinterleaves } ((\text{ev } a \# s-P, s-Q), \text{range tick} \cup \text{ev } 'S)\rangle$ 
  using *(3) by (cases s-Q; simp add: notin image-iff)
  with *(1, 2, 4) show  $\langle(\text{ev } a \# s, X) \in \mathcal{F} (P \llbracket S \rrbracket Q)\rangle$ 
    by (simp add: F-Sync) blast
next
assume  $\langle s \in \mathcal{D} (P \text{ after } a \llbracket S \rrbracket Q)\rangle$ 
hence  $\langle s \in \mathcal{D} ((P \llbracket S \rrbracket Q) \text{ after } a)\rangle$  using same-div[simplified D-Ndet] by fast
hence  $\langle(s, X) \in \mathcal{F} ((P \llbracket S \rrbracket Q) \text{ after } a)\rangle$  by (simp add: is-processT8)
thus  $\langle(\text{ev } a \# s, X) \in \mathcal{F} (P \llbracket S \rrbracket Q)\rangle$ 
  by (simp add: F-After initials-Sync assms(1, 2, 4) notin image-iff)
qed
}

```

} note \* = *this*

```

show ⟨D ((P [S] Q) after a) = D ((P after a [S] Q) ∙ (P [S] Q after a)) ⟩
      (s, X) ∈ F ((P after a [S] Q) ∙ (P [S] Q after a)) ⟹ (s, X) ∈ F ((P [S]
Q) after a) for s X
apply (simp add: F-After initials-Sync initial-hyps F-BOT image-iff
      F-imp-front-tickFree front-tickFree-Cons-iff)
apply (rule impI, simp add: F-Ndet, elim disjE conjE)
by (simp add: * initial-hyps(1))
      (metis * Ndet-commute Sync-commute initial-hyps(2))
next

```

```

{ fix s
assume assms : ⟨P ≠ ⊥⟩ ⟨Q ≠ ⊥⟩ ⟨ev a # s ∈ D (P [S] Q)⟩
from assms(3) obtain t u r v
where ** : ⟨ftF v⟩ ⟨tF r ∨ v = []⟩ ⟨ev a # s = r @ v⟩
      ⟨r setinterleaves ((t, u), range tick ∪ ev ` S)⟩
      ⟨t ∈ D P ∧ u ∈ T Q ∨ t ∈ D Q ∧ u ∈ T P⟩ by (simp add: D-Sync) blast
have *** : ⟨r ≠ []⟩ using **(4, 5) BOT-iff-Nil-D assms(1, 2) empty-setinterleaving
by blast
have ⟨t ≠ [] ∧ hd t = ev a ∧ tl r setinterleaves ((tl t, u), range tick ∪ ev ` S) ∨
      u ≠ [] ∧ hd u = ev a ∧ tl r setinterleaves ((t, tl u), range tick ∪ ev ` S)⟩
using **(3, 4) by (cases t; cases u, auto simp add: *** notin split: if-split-asm)
with **(5) have ⟨s ∈ D ((P after a [S] Q) ∙ (P [S] Q after a))⟩
proof (elim disjE)
assume * : ⟨t ∈ D P ∧ u ∈ T Q⟩
⟨t ≠ [] ∧ hd t = ev a ∧ tl r setinterleaves ((tl t, u), range tick ∪ ev ` S)⟩
hence ⟨s = tl r @ v ∧ tl t ∈ D (P after a) ∧ u ∈ T Q⟩
using **(3) *** initial-hyps(1) apply (simp add: D-After, intro conjI)
by (metis list.sel(3) tl-append2) (metis list.collapse)
thus ⟨s ∈ D ((P after a [S] Q) ∙ (P [S] Q after a))⟩
using *(2) **(1, 2) tickFree-tl by (simp add: D-Ndet D-Sync) blast
next
assume * : ⟨t ∈ D P ∧ u ∈ T Q⟩
⟨u ≠ [] ∧ hd u = ev a ∧ tl r setinterleaves ((t, tl u), range tick ∪ ev ` S)⟩
hence ⟨s = tl r @ v ∧ t ∈ D P ∧ tl u ∈ T (Q after a)⟩
using **(3) initial-hyps(2) *** apply (simp add: T-After, intro conjI)
by (metis list.sel(3) tl-append2) (metis list.collapse)
thus ⟨s ∈ D ((P after a [S] Q) ∙ (P [S] Q after a))⟩
using *(2) **(1, 2) tickFree-tl by (simp add: D-Ndet D-Sync) blast
next
assume * : ⟨t ∈ D Q ∧ u ∈ T P⟩
⟨t ≠ [] ∧ hd t = ev a ∧ tl r setinterleaves ((tl t, u), range tick ∪ ev ` S)⟩
hence ⟨s = tl r @ v ∧ tl t ∈ D (Q after a) ∧ u ∈ T P⟩
using **(1, 2, 3) initial-hyps apply (simp add: D-After, intro conjI)
by (metis *** list.sel(3) tl-append2) (metis list.collapse)
thus ⟨s ∈ D ((P after a [S] Q) ∙ (P [S] Q after a))⟩
using *(2) **(1, 2) tickFree-tl by (simp add: D-Ndet D-Sync) blast
next

```

```

assume * : ‹t ∈ D Q ∧ u ∈ T P›
  ‹u ≠ [] ∧ hd u = ev a ∧ tl r setinterleaves ((t, tl u), range tick ∪ ev ` S)›
hence ‹s = tl r @ v ∧ t ∈ D Q ∧ tl u ∈ T (P after a)›
  using **(1, 2, 3) initial-hyps apply (simp add: T-After, intro conjI)
  by (metis *** list.sel(3) tl-append2) (metis list.collapse)
thus ‹s ∈ D ((P after a) # S) Q ∩ (P # S) Q after a)›
  using *(2) **(1, 2) tickFree-tl by (simp add: D-Ndet D-Sync) blast
qed
} note * = this

show ‹s ∈ D ((P # S) Q) after a) ⟹ s ∈ D ((P after a) # S) Q ∩ (P # S) Q after a)› for s
  by (simp add: * D-After initials-Sync initial-hyps image-iff split: if-split-asm)
    (metis D-BOT Ndet-is-BOT-iff Sync-is-BOT-iff
     front-tickFree-Cons-iff front-tickFree-Nil mem-Collect-eq)
next

{ fix s P Q
assume assms : ‹P ≠ ⊥› ‹Q ≠ ⊥› ‹s ∈ D ((P after a) # S) Q)› ‹ev a ∈ P0›
from assms(3)[simplified D-Sync, simplified] obtain t u r v
  where * : ‹tF v› ‹tF r ∨ v = []› ‹s = r @ v›
  ‹r setinterleaves ((t, u), range tick ∪ ev ` S)›
  ‹ev a # t ∈ D P ∧ u ∈ T Q ∨ t ∈ D Q ∧ ev a # u ∈ T P›
  by (simp add: D-Sync After-projs assms(4)) blast
from *(5) have ‹ev a # s ∈ D (P # S) Q)›
proof (elim disjE)
  assume ** : ‹ev a # t ∈ D P ∧ u ∈ T Q›
  have *** : ‹(ev a # r) setinterleaves ((ev a # t, u), range tick ∪ ev ` S)›
    using *(4) by (cases u; simp add: notin image-iff *(1, 2, 3))
  show ‹ev a # s ∈ D (P # S) Q)›
    by (simp add: D-Sync)
      (metis *(1, 2, 3) *** append-Cons event_ptick.disc(1) tickFree-Cons-iff)
next
  assume ** : ‹t ∈ D Q ∧ ev a # u ∈ T P›
  have *** : ‹(ev a # r) setinterleaves ((t, ev a # u), range tick ∪ ev ` S)›
    using *(4) by (cases t; simp add: notin image-iff *(1, 2, 3))
  show ‹ev a # s ∈ D (P # S) Q)›
    by (simp add: D-Sync)
      (metis *(1, 2, 3) *** append-Cons event_ptick.disc(1) tickFree-Cons-iff)
qed
} note * = this

show ‹s ∈ D ((P after a) # S) Q ∩ (P # S) Q after a)› ⟹ s ∈ D ((P # S) Q)
  for s
  by (simp add: D-After initials-Sync D-BOT image-iff notin)
    (metis * D-Ndet D-imp-front-tickFree Sync-commute
     UnE event_ptick.disc(1) front-tickFree-Cons-iff initial-hyps)
qed

```

**lemma** *not-initialL-not-initialR-After-Sync*:  $\langle (P \llbracket S \rrbracket Q) \text{ after } a = \Psi (P \llbracket S \rrbracket Q) \text{ a} \rangle$

```

if initial-hyps:  $\langle ev a \notin P^0 \rangle \langle ev a \notin Q^0 \rangle$ 
apply (subst not-initial-After, simp add: initials-Sync)
using initials-BOT initial-hyps by auto

```

Finally, the monster theorem !

**theorem** *After-Sync*:

```

 $\langle (P \llbracket S \rrbracket Q) \text{ after } a =$ 
 $(\text{ if } P = \perp \vee Q = \perp \text{ then } \perp$ 
 $\text{ else if } ev a \in P^0 \cap Q^0$ 
 $\text{ then if } a \in S \text{ then } P \text{ after } a \llbracket S \rrbracket Q \text{ after } a$ 
 $\text{ else } (P \text{ after } a \llbracket S \rrbracket Q) \sqcap (P \llbracket S \rrbracket Q \text{ after } a)$ 
 $\text{ else if } ev a \in P^0 \wedge a \notin S \text{ then } P \text{ after } a \llbracket S \rrbracket Q$ 
 $\text{ else if } ev a \in Q^0 \wedge a \notin S \text{ then } P \llbracket S \rrbracket Q \text{ after } a$ 
 $\text{ else } \Psi (P \llbracket S \rrbracket Q) \text{ a} \rangle$ 
by (simp add: After-BOT initialL-initialR-in-After-Sync initialL-initialR-not-in-After-Sync)
    (metis initialL-not-initialR-not-in-After-Sync Sync-commute
     not-initialL-in-After-Sync not-initialL-not-initialR-After-Sync)

```

### 3.5.4 After Hiding Operator

$P \setminus A$  is harder to deal with, we will only obtain refinements results.

**lemma** *Hiding-FD-Hiding-After-if-initial-inside*:

```

 $\langle a \in A \implies P \setminus A \sqsubseteq_{FD} P \text{ after } a \setminus A \rangle$ 
and After-Hiding-FD-Hiding-After-if-initial-notin:
 $\langle a \notin A \implies (P \setminus A) \text{ after } a \sqsubseteq_{FD} P \text{ after } a \setminus A \rangle$ 
if initial:  $\langle ev a \in P^0 \rangle$ 
supply initial' = initial-notin-imp-initial-Hiding[OF initial]
proof -
  { fix  $s$ 
    assume  $\langle s \in \mathcal{D} (P \text{ after } a \setminus A) \rangle$ 
    with D-Hiding obtain t u
      where  $* : \langle ftF u \rangle \langle tF t \rangle \langle s = trace\text{-}hide t (ev ` A) @ u \rangle$ 
       $\langle t \in \mathcal{D} (P \text{ after } a) \vee (\exists f. isInHiddenRun f (P \text{ after } a) A \wedge t \in range f) \rangle$ 
      by blast
    from  $*(4)$  have  $\langle s \in (\text{if } a \in A \text{ then } \mathcal{D} (P \setminus A) \text{ else } \mathcal{D} ((P \setminus A) \text{ after } a)) \rangle$ 
    proof (elim disjE)
      assume  $\langle t \in \mathcal{D} (P \text{ after } a) \rangle$ 
      hence  $** : \langle ev a \# t \in \mathcal{D} P \rangle$  by (simp add: D-After initial)
      show  $\langle s \in (\text{if } a \in A \text{ then } \mathcal{D} (P \setminus A) \text{ else } \mathcal{D} ((P \setminus A) \text{ after } a)) \rangle$ 
      proof (split if-split, intro conjI impI)
        assume  $\langle a \in A \rangle$ 
        with  $*(3)$  have  $*** : \langle s = trace\text{-}hide (ev a \# t) (ev ` A) @ u \rangle$  by simp
        show  $\langle s \in \mathcal{D} (P \setminus A) \rangle$ 
        by (simp add: D-Hiding)
        (metis *(1, 2) ** *** eventptick.disc(1) tickFree-Cons-iff)
  }

```

```

next
assume  $\langle a \notin A \rangle$ 
with  $\ast(3)$  have  $\ast\ast\ast : \langle ev a \# s = trace-hide (ev a \# t) (ev ' A) @ u \rangle$ 
by (simp add: image-iff)
have  $\langle ev a \# s \in \mathcal{D} (P \setminus A) \rangle$ 
by (simp add: D-Hiding)
 $(metis \ast(1, 2) \ast\ast\ast event_{ptick}.disc(1) tickFree-Cons-iff)$ 
thus  $\langle s \in \mathcal{D} ((P \setminus A) \text{ after } a) \rangle$  by (simp add: D-After initial' \langle a \notin A \rangle)
qed
next
assume  $\exists f. isInfHiddenRun f (P \text{ after } a) A \wedge t \in range f,$ 
then obtain  $f$  where  $\langle isInfHiddenRun f (P \text{ after } a) A \rangle \langle t \in range f \rangle$  by
blast
hence  $\ast\ast : \langle isInfHiddenRun (\lambda i. ev a \# f i) P A \wedge$ 
 $ev a \# t \in range (\lambda i. ev a \# f i) \rangle$ 
by (simp add: monotone-on-def T-After initial) blast
show  $\langle s \in (if a \in A \text{ then } \mathcal{D} (P \setminus A) \text{ else } \mathcal{D} ((P \setminus A) \text{ after } a)) \rangle$ 
proof (split if-split, intro conjI impI)
assume  $\langle a \in A \rangle$ 
with  $\ast(3)$  have  $\ast\ast\ast : \langle s = trace-hide (ev a \# t) (ev ' A) @ u \rangle$  by simp
show  $\langle s \in \mathcal{D} (P \setminus A) \rangle$ 
by (simp add: D-Hiding)
 $(metis \ast(1, 2) \ast\ast\ast event_{ptick}.disc(1) tickFree-Cons-iff)$ 
next
assume  $\langle a \notin A \rangle$ 
with  $\ast(3)$  have  $\ast\ast\ast : \langle ev a \# s = trace-hide (ev a \# t) (ev ' A) @ u \rangle$ 
by (simp add: image-iff)
have  $\langle ev a \# s \in \mathcal{D} (P \setminus A) \rangle$ 
by (simp add: D-Hiding)
 $(metis \ast(1, 2) \ast\ast\ast event_{ptick}.disc(1) tickFree-Cons-iff)$ 
thus  $\langle s \in \mathcal{D} ((P \setminus A) \text{ after } a) \rangle$  by (simp add: D-After initial' \langle a \notin A \rangle)
qed
qed
note div-ref = this

{ fix  $s X$ 
assume  $\langle (s, X) \in \mathcal{F} (P \text{ after } a \setminus A) \rangle$ 
with F-Hiding D-Hiding consider
 $\langle \exists t. s = trace-hide t (ev ' A) \wedge (t, X \cup ev ' A) \in \mathcal{F} (P \text{ after } a) \rangle$ 
 $| \langle s \in \mathcal{D} (P \text{ after } a \setminus A) \rangle$  by blast
hence  $\langle (s, X) \in (if a \in A \text{ then } \mathcal{F} (P \setminus A) \text{ else } \mathcal{F} ((P \setminus A) \text{ after } a)) \rangle$ 
proof cases
assume  $\langle \exists t. s = trace-hide t (ev ' A) \wedge (t, X \cup ev ' A) \in \mathcal{F} (P \text{ after } a) \rangle$ 
then obtain  $t$  where  $\ast : \langle s = trace-hide t (ev ' A) \rangle \langle (ev a \# t, X \cup ev ' A) \in \mathcal{F} P \rangle$ 
by (simp add: F-After initial) blast
show  $\langle (s, X) \in (if a \in A \text{ then } \mathcal{F} (P \setminus A) \text{ else } \mathcal{F} ((P \setminus A) \text{ after } a)) \rangle$ 
proof (split if-split, intro conjI impI)
from  $\ast$  show  $\langle a \in A \implies (s, X) \in \mathcal{F} (P \setminus A) \rangle$ 

```

```

    by (simp add: F-Hiding) (metis filter.simps(2) image-eqI)
  next
    assume `a ∉ A`
    with *(1) have ** : `ev a # s = trace-hide (ev a # t) (ev ` A)`
      by (simp add: image-iff)
    show `⟨s, X⟩ ∈ F ((P \ A) after a)`
      by (simp add: F-After initial' `a ∉ A` F-Hiding) (blast intro: *(2) **)
    qed
  next
    show `⟨s ∈ D (P after a \ A) ⟹ (s, X) ∈ (if a ∈ A then F (P \ A) else F
((P \ A) after a))`
      by (drule div-ref, simp split: if-split-asm; use D-F in blast)
    qed
  } note fail-ref = this

  show `a ∈ A ⟹ P \ A ⊑_{FD} P after a \ A`
    and `a ∉ A ⟹ (P \ A) after a ⊑_{FD} P after a \ A`
    unfolding failure-divergence-refine-def failure-refine-def divergence-refine-def
    using div-ref fail-ref by auto
qed

lemmas Hiding-F-Hiding-After-if-initial-inside =
Hiding-FD-Hiding-After-if-initial-inside[THEN leFD-imp-leF]
and After-Hiding-F-Hiding-After-if-initial-notin =
After-Hiding-FD-Hiding-After-if-initial-notin[THEN leFD-imp-leF]
and Hiding-D-Hiding-After-if-initial-inside =
Hiding-FD-Hiding-After-if-initial-inside[THEN leFD-imp-leD]
and After-Hiding-D-Hiding-After-if-initial-notin =
After-Hiding-FD-Hiding-After-if-initial-notin[THEN leFD-imp-leD]
and Hiding-T-Hiding-After-if-initial-inside =
Hiding-FD-Hiding-After-if-initial-inside[THEN leFD-imp-leF, THEN leF-imp-leT]

and After-Hiding-T-Hiding-After-if-initial-notin =
After-Hiding-FD-Hiding-After-if-initial-notin[THEN leFD-imp-leF, THEN leF-imp-leT]

corollary Hiding-DT-Hiding-After-if-initial-inside:
`ev a ∈ P^0 ⟹ a ∈ A ⟹ P \ A ⊑_{DT} P after a \ A`
and After-Hiding-DT-Hiding-After-if-initial-notin:
`ev a ∈ P^0 ⟹ a ∉ A ⟹ (P \ A) after a ⊑_{DT} P after a \ A`
by (simp add: Hiding-D-Hiding-After-if-initial-inside
  Hiding-T-Hiding-After-if-initial-inside leD-leT-imp-leDT)
  (simp add: After-Hiding-D-Hiding-After-if-initial-notin
  After-Hiding-T-Hiding-After-if-initial-notin leD-leT-imp-leDT)

end

```

### 3.5.5 Renaming is tricky

In all generality, *Renaming* takes a process  $P :: ('a, 'r) \text{ process}_{ptick}$ , a function  $f :: 'a \Rightarrow 'b$ , a function  $g :: 'r \Rightarrow 's$ , and returns *Renaming*  $P f g :: ('b, 's) \text{ process}_{ptick}$ . But if we try to write and prove a lemma *After-Renaming* like we did for the other operators, the mechanism of the locale *After* would constrain  $f :: 'a \Rightarrow 'a$  and  $g :: 'r \Rightarrow 'r$ .

We solve this issue with a trick: we duplicate the locale, instantiating each one with a different free type.

```

locale AfterDuplicated = After $\alpha$  : After  $\Psi_\alpha$  + After $\beta$  : After  $\Psi_\beta$ 
  for  $\Psi_\alpha :: \langle [('a, 'r) \text{ process}_{ptick}, 'a] \Rightarrow ('a, 'r) \text{ process}_{ptick} \rangle$ 
    and  $\Psi_\beta :: \langle [('b, 's) \text{ process}_{ptick}, 'b] \Rightarrow ('b, 's) \text{ process}_{ptick} \rangle$ 
begin

  notation After $\alpha$ .After (infixl  $\langle \text{after}_\alpha \rangle$  86)
  notation After $\beta$ .After (infixl  $\langle \text{after}_\beta \rangle$  86)

  lemma After-Renaming:
    ⟨Renaming P f g after $\beta$  b =
    — We highlight the fact that  $f :: 'a \Rightarrow 'b$ 
    ( if  $P = \perp$  then  $\perp$ 
      else if  $\exists a. \text{ev } a \in P^0 \wedge f a = b$ 
        then  $\sqcap a \in \{a. \text{ev } a \in P^0 \wedge f a = b\}. \text{Renaming } (P \text{ after}_\alpha a) f g$ 
        else  $\Psi_\beta (\text{Renaming } P f g) b$ )
    (is ⟨?lhs = (if  $P = \perp$  then  $\perp$ 
      else if  $\exists a. \text{ev } a \in P^0 \wedge f a = b$  then ?rhs else -)⟩)

  proof (split if-split, intro conjI impI)
    show ⟨ $P = \perp \implies ?lhs = \perp$ ⟩ by (simp add: After $\beta$ .After-BOT)
  next
    assume non-BOT: ⟨ $P \neq \perp$ ⟩
    show ⟨?lhs = (if  $\exists a. \text{ev } a \in P^0 \wedge f a = b$  then ?rhs else  $\Psi_\beta (\text{Renaming } P f g) b$ )⟩
    proof (split if-split, intro conjI impI)
      show ⟨ $\nexists a. \text{ev } a \in P^0 \wedge f a = b \implies ?lhs = \Psi_\beta (\text{Renaming } P f g) b$ ⟩
        by (subst After $\beta$ .not-initial-After)
        (auto simp add: initials-Renaming non-BOT image-iff ev-eq-map-event $_{ptick}$ -iff)
    next
      assume assm : ⟨ $\exists a. \text{ev } a \in P^0 \wedge f a = b$ ⟩
      hence initial: ⟨ $\text{ev } b \in (\text{Renaming } P f g)^0$ ⟩
        by (auto simp add: initials-Renaming image-iff ev-eq-map-event $_{ptick}$ -iff)
      show ⟨?lhs = ?rhs⟩
      proof (subst Process-eq-spec-optimized, safe)
        fix s
        assume ⟨ $s \in \mathcal{D} ?lhs$ ⟩
        hence * : ⟨ $\text{ev } b \# s \in \mathcal{D} (\text{Renaming } P f g)$ ⟩
          by (auto simp add: initial After $\beta$ .D-After split: if-split-asm)
        from * obtain t1 t2
      
```

```

where ** : <tF t1> <ftF t2>
  <ev b # s = map (map-eventptick f g) t1 @ t2> <t1 ∈ D P>
  by (simp add: D-Renaming) blast
from **(1, 3, 4) non-BOT obtain a t1'
  where *** : <t1 = ev a # t1'> <f a = b>
  by (cases t1) (auto simp add: BOT-iff-Nil-D ev-eq-map-eventptick-iff)
  have <ev a ∈ P0>
    using **(4) ***(1) initials-memI D-T by blast
  also have <s ∈ D (Renaming (P afterα a) f g)>
    using ** ***(1) by (simp add: D-Renaming Afterα.D-After calculation)
  blast
  ultimately show <s ∈ D ?rhs>
    using **(2) by (simp add: D-GlobalNdet) blast
next
  fix s
  assume <s ∈ D ?rhs>
  then obtain a where * : <ev a ∈ P0> <f a = b>
    <s ∈ D (Renaming (P afterα a) f g)>
    by (simp add: D-GlobalNdet split: if-split-asm) blast
  from *(3) obtain s1 s2
    where ** : <tF s1> <ftF s2>
      <s = map (map-eventptick f g) s1 @ s2> <ev a # s1 ∈ D P>
      by (simp add: D-Renaming Afterα.D-After *(1)) blast
    have *** : <tF (ev a # s1) ∧ ev b # s = map (map-eventptick f g) (ev a # s1) @ s2>
      by (simp add: **(1, 3) *(2))
  from **(2, 4) show <s ∈ D ?lhs>
    by (simp add: Afterβ.D-After initial D-Renaming) (use *** in blast)
next
  fix s X
  assume same-div : <D ?lhs = D ?rhs>
  assume <(s, X) ∈ F ?lhs>
  then consider <ev b ∉ (Renaming P f g)0> <s = []>
    | <ev b ∈ (Renaming P f g)0> <(ev b # s, X) ∈ F (Renaming P f g)>
    by (simp add: initial Afterβ.F-After split: if-split-asm)
  thus <(s, X) ∈ F ?rhs>
  proof cases
    from initial show <ev b ∉ (Renaming P f g)0 ⟹ (s, X) ∈ F ?rhs> by simp
  next
    assume assms : <ev b ∈ (Renaming P f g)0>
      <(ev b # s, X) ∈ F (Renaming P f g)>
    from assms(2) consider <ev b # s ∈ D (Renaming P f g)>
      | s1 where <(s1, map-eventptick f g - ` X) ∈ F P> <ev b # s = map (map-eventptick f g) s1>
        by (simp add: F-Renaming D-Renaming) meson
      thus <(s, X) ∈ F ?rhs>
      proof cases
        assume <ev b # s ∈ D (Renaming P f g)>
        hence <s ∈ D ?lhs> by (force simp add: Afterβ.D-After assms(1))

```

```

with D-F same-div show ⟨(s, X) ∈ F ?rhs⟩ by blast
next
fix s1 assume * : ⟨(s1, map-eventptick f g − ‘ X) ∈ F P⟩
⟨ev b # s = map (map-eventptick f g) s1⟩
from *(2) obtain a s1'
where ** : ⟨s1 = ev a # s1'⟩ ⟨f a = b⟩
by (cases s1; simp) (metis map-eventptick-eq-ev-iff)
have ⟨ev a ∈ P0⟩
using *(1) **(1) initials-memI F-T by blast
also have ⟨(s, X) ∈ F (Renaming (P afterα a) f g)⟩
using *(1, 2) **(1) by (simp add: F-Renaming Afterα.F-After calculation)
blast
ultimately show ⟨(s, X) ∈ F ?rhs⟩
using **(2) by (simp add: F-GlobalNdet) blast
qed
qed
next
fix s X
assume same-div : ⟨D ?lhs = D ?rhs⟩
assume ⟨(s, X) ∈ F ?rhs⟩
then consider ⟨∀ a. ev a ∈ P0 → f a ≠ b⟩ ⟨s = []⟩
| a where ⟨f a = b⟩ ⟨ev a ∈ P0⟩ ⟨(s, X) ∈ F (Renaming (P afterα a) f g)⟩
by (auto simp add: F-GlobalNdet split: if-split-asm)
thus ⟨(s, X) ∈ F ?lhs⟩
proof cases
from assm show ⟨∀ a. ev a ∈ P0 → f a ≠ b ⇒ s = [] ⇒ (s, X) ∈ F
?lhs⟩
by (auto simp add: Afterβ.F-After F-Renaming initials-Renaming non-BOT)
next
fix a assume * : ⟨f a = b⟩ ⟨ev a ∈ P0⟩ ⟨(s, X) ∈ F (Renaming (P afterα
a) f g)⟩
from *(3) consider ⟨s ∈ D (Renaming (P afterα a) f g)⟩
| s1 where ⟨(s1, map-eventptick f g − ‘ X) ∈ F (P afterα a)⟩ ⟨s = map
(map-eventptick f g) s1⟩
by (simp add: F-Renaming D-Renaming) blast
thus ⟨(s, X) ∈ F ?lhs⟩
proof cases
assume ⟨s ∈ D (Renaming (P afterα a) f g)⟩
with *(1, 2) have ⟨s ∈ D ?rhs⟩ by (simp add: D-GlobalNdet) blast
with D-F same-div show ⟨(s, X) ∈ F ?lhs⟩ by blast
next
fix s1 assume ** : ⟨(s1, map-eventptick f g − ‘ X) ∈ F (P afterα a)⟩
⟨s = map (map-eventptick f g) s1⟩
from initial *(1, 2) **
have ⟨(ev a # s1, map-eventptick f g − ‘ X) ∈ F P ∧ ev b # s = map
(map-eventptick f g) (ev a # s1)⟩
by (simp add: Afterα.F-After *(2))
hence ⟨(ev b # s, X) ∈ F (Renaming P f g)⟩
by (auto simp add: F-Renaming)

```

```

thus ⟨(s, X) ∈ F ?lhs⟩
  by (simp add: Afterβ.F-After initial)
qed
qed
qed
qed
qed

no-notation Afterα.After (infixl ⟨afterα⟩ 86)
no-notation Afterβ.After (infixl ⟨afterβ⟩ 86)

end

```

Now we can get back to *After*.

```

context After
begin

```

### 3.6 Behaviour of *After* with Operators of HOL-CSPM

```

lemma After-GlobalDet-is-After-GlobalNdet:
⟨ev a ∈ (⋃ a ∈ A. (P a)0) ⟹ (□ a ∈ A. P a) after a = (⊓ a ∈ A. P a) after a⟩
by (simp add: Process-eq-spec After-projs initials-GlobalDet
initials-GlobalNdet GlobalNdet-projs GlobalDet-projs)

lemma After-GlobalNdet:
⟨(⊓ a ∈ A. P a) after a = (if ev a ∈ (⋃ a ∈ A. (P a)0)
then ⊓ x ∈ {x ∈ A. ev a ∈ (P x)0}. P x after a
else Ψ (⊓ a ∈ A. P a) a)⟩
(is ⟨?lhs = (if ?prem then ?rhs else -)⟩)
proof (split if-split, intro conjI impI)
  show ⟨?prem ⟹ ?lhs = Ψ (⊓ a ∈ A. P a) a⟩
    by (simp add: not-initial-After initials-GlobalNdet)
next
  assume ?prem
  then obtain x where ⟨x ∈ A⟩ ⟨ev a ∈ (P x)0⟩ by blast
  show ⟨?lhs = ?rhs⟩
    proof (subst Process-eq-spec, safe)
      from ⟨x ∈ A⟩ ⟨ev a ∈ (P x)0⟩ show ⟨t ∈ D ?lhs ⟹ t ∈ D ?rhs⟩ for t
        by (auto simp add: D-After initials-GlobalNdet D-GlobalNdet
          split: if-split-asm intro: D-T initials-memI)
    next
      show ⟨t ∈ D ?rhs ⟹ t ∈ D ?lhs⟩ for t
        by (auto simp add: D-GlobalNdet D-After initials-GlobalNdet)
    next
      from ⟨x ∈ A⟩ ⟨ev a ∈ (P x)0⟩ show ⟨(t, X) ∈ F ?lhs ⟹ (t, X) ∈ F ?rhs⟩
    for t X
      by (auto simp add: F-After initials-GlobalNdet F-GlobalNdet
        split: if-split-asm intro: F-T initials-memI)
    
```

```

next
  from  $\langle x \in A \rangle \langle ev a \in (P x)^0 \rangle$  show  $\langle (t, X) \in \mathcal{F} \ ?rhs \implies (t, X) \in \mathcal{F} \ ?lhs \rangle$ 
for  $t X$ 
  by (auto simp add: F-GlobalNdet F-After initials-GlobalNdet split: if-split-asm)
  qed
qed

```

```

lemma After-GlobalDet:
 $\langle (\Box a \in A. P a) \text{ after } a = (\text{if } ev a \in (\bigcup a \in A. (P a)^0) \text{ then } \Box x \in \{x \in A. ev a \in (P x)^0\}. P x \text{ after } a \text{ else } \Psi (\Box a \in A. P a) a) \rangle$ 
by (simp add: After-GlobalDet-is-After-GlobalNdet After-GlobalNdet
  initials-GlobalDet not-initial-After)

```

### 3.6.1 After Throwing

```

lemma After-Throw:
 $\langle (P \Theta a \in A. Q a) \text{ after } a = (\text{if } P = \perp \text{ then } \perp \text{ else if } ev a \in P^0 \text{ then if } a \in A \text{ then } Q a \text{ else } P \text{ after } a \Theta a \in A. Q a \text{ else } \Psi (P \Theta a \in A. Q a) a) \rangle$ 
(is  $\langle ?lhs = ?rhs \rangle$ )
proof -
  have  $\langle ?lhs = Q a \rangle$  if  $\langle P \neq \perp \rangle$  and  $\langle ev a \in P^0 \rangle$  and  $\langle a \in A \rangle$ 
  proof (rule Process-eq-optimizedI)
    fix  $t$ 
    assume  $\langle t \in \mathcal{D} \ ?lhs \rangle$ 
    with  $\langle ev a \in P^0 \rangle$  have  $\langle ev a \# t \in \mathcal{D} (P \Theta a \in A. Q a) \rangle$ 
      by (simp add: D-After initials-Throw)
    with  $\langle P \neq \perp \rangle$  show  $\langle t \in \mathcal{D} (Q a) \rangle$ 
      apply (simp add: D-Throw disjoint-iff BOT-iff-Nil-D, elim disjE)
      by (metis hd-append2 hd-in-set image-eqI list.sel(1)  $\langle a \in A \rangle$ )
        (metis append-self-conv2 event_ptick.inject(1) hd-append2
          hd-in-set image-eqI list.sel(1, 3)  $\langle a \in A \rangle$ )
  next
    have  $\langle [ev a] \in \mathcal{T} P \wedge a \in A \rangle$  using initials-def  $\langle ev a \in P^0 \rangle \langle a \in A \rangle$  by blast
    thus  $\langle t \in \mathcal{D} (Q a) \implies t \in \mathcal{D} ((P \Theta a \in A. Q a) \text{ after } a) \rangle$  for  $t$ 
      by (simp add: D-After initials-Throw  $\langle ev a \in P^0 \rangle$  D-Throw)
        (metis append-Nil empty-set inf-bot-left)
  next
    fix  $t X$ 
    assume  $\langle (t, X) \in \mathcal{F} \ ?lhs \rangle$ 
    with  $\langle ev a \in P^0 \rangle$  have  $\langle (ev a \# t, X) \in \mathcal{F} (P \Theta a \in A. Q a) \rangle$ 
      by (simp add: F-After initials-Throw)
    with  $\langle P \neq \perp \rangle \langle a \in A \rangle$  show  $\langle (t, X) \in \mathcal{F} (Q a) \rangle$ 
      apply (simp add: F-Throw image-iff BOT-iff-Nil-D, elim disjE)
      by (metis disjoint-iff hd-append2 hd-in-set image-eqI list.sel(1))

```

```

(metis append-Nil eventptick.inject(1) hd-append2 imageI insert-disjoint(2)
list.exhaust-sel list.sel(1, 3) list.simps(15))

next
  have ⟨[ev a] ∈ T P ∧ a ∈ A⟩ using initials-def ⟨ev a ∈ P0⟩ ⟨a ∈ A⟩ by blast
  thus ⟨(t, X) ∈ F (Q a) ⟹ (t, X) ∈ F ?lhs⟩ for t X
    by (simp add: F-After initials-Throw ⟨ev a ∈ P0⟩ F-Throw)
    (metis append-Nil empty-set inf-bot-left)
qed

also have ⟨?lhs = (P after a) Θ a ∈ A. Q a⟩
  if ⟨P ≠ ⊥⟩ and ⟨ev a ∈ P0⟩ and ⟨a ∉ A⟩
proof (rule Process-eq-optimizedI)
  fix t
  assume ⟨t ∈ D ?lhs⟩
  with ⟨ev a ∈ P0⟩ have ⟨ev a # t ∈ D (P Θ a ∈ A. Q a)⟩
    by (simp add: D-After initials-Throw)
  then consider (divL) t1 t2 where ⟨ev a # t = t1 @ t2⟩ ⟨t1 ∈ D P⟩ ⟨tF t1⟩
    ⟨set t1 ∩ ev ‘A = {}’⟩ ⟨ftF t2⟩
  | (divR) t1 t2 a' where ⟨ev a # t = t1 @ ev a' # t2⟩ ⟨t1 @ [ev a'] ∈ T P⟩
    ⟨set t1 ∩ ev ‘A = {}’⟩ ⟨a' ∈ A⟩ ⟨t2 ∈ D (Q a')⟩
    by (simp add: D-Throw) blast
  thus ⟨t ∈ D ((P after a) Θ a ∈ A. Q a)⟩
proof cases
  case divL
  from ⟨P ≠ ⊥⟩ divL(1, 2) BOT-iff-Nil-D obtain t1'
    where ⟨t1 = ev a # t1'⟩ by (cases t1) auto
  with divL(1–4) have ⟨t = t1' @ t2 ∧ t1' ∈ D (P after a) ∧
    tF t1' ∧ set t1' ∩ ev ‘A = {}’⟩
    by (simp add: D-After ⟨ev a ∈ P0⟩)
  with divL(5) show ⟨t ∈ D ((P after a) Θ a ∈ A. Q a)⟩
    by (auto simp add: D-Throw)
next
  case divR
  have ⟨a ≠ a'⟩ using divR(4) ⟨a ∉ A⟩ by blast
  with divR(1) obtain t1' where ** : ⟨t1 = ev a # t1'⟩ by (cases t1) auto
  with divR(2) ⟨ev a ∈ P0⟩ have ⟨t1' @ [ev a'] ∈ T (P after a)⟩
    by (simp add: T-After)
  with divR(1, 3–5) ** show ⟨t ∈ D ((P after a) Θ a ∈ A. Q a)⟩
    by (simp add: D-Throw) blast
qed

next
  fix t
  assume ⟨t ∈ D ((P after a) Θ a ∈ A. Q a)⟩
  then consider (divL) t1 t2 where ⟨t = t1 @ t2⟩ ⟨t1 ∈ D (P after a)⟩
    ⟨tF t1⟩ ⟨set t1 ∩ ev ‘A = {}’⟩ ⟨ftF t2⟩
  | (divR) t1 a' t2 where ⟨t = t1 @ ev a' # t2⟩ ⟨t1 @ [ev a'] ∈ T (P after a)⟩
    ⟨set t1 ∩ ev ‘A = {}’⟩ ⟨a' ∈ A⟩ ⟨t2 ∈ D (Q a')⟩
    unfolding D-Throw by blast
  thus ⟨t ∈ D ?lhs⟩

```

```

proof cases
  case divL
    from divL(2) ⟨ev a ∈  $P^0have ** : ⟨ev a # t1 ∈  $\mathcal{D}$  P⟩ by (simp add: D-After)
    have *** : ⟨tF (ev a # t1) ∧ set (ev a # t1) ∩ ev ‘ A = {}⟩
      by (simp add: image-iff divL(3, 4) a ∉ A)
    show ⟨t ∈  $\mathcal{D}$  ?lhs⟩
      by (simp add: D-After D-Throw initials-Throw ev a ∈ P0)
      (metis divL(1, 5) ** *** Cons-eq-appendI)
  next
    case divR
    from divR(2) ⟨ev a ∈  $P^0have ** : ⟨ev a # t1 @ [⟨ev a⟩] ∈  $\mathcal{T}$  P⟩
      by (simp add: T-After)
    have *** : ⟨set (ev a # t1) ∩ ev ‘ A = {}⟩
      by (simp add: image-iff divR(3) a ∉ A)
    show ⟨t ∈  $\mathcal{D}$  ?lhs⟩
      by (simp add: D-After D-Throw initials-Throw ev a ∈ P0)
      (metis divR(1, 4, 5) ** *** Cons-eq-appendI)
  qed
  next
    fix t X assume ⟨t ∉  $\mathcal{D}$  ?lhs⟩
    assume ⟨(t, X) ∈  $\mathcal{F}$  ?lhs⟩
    with ⟨ev a ∈  $P^0$ ⟩ have ⟨⟨ev a # t, X⟩ ∈  $\mathcal{F}$  (P Θ a ∈ A. Q a)⟩
      by (simp add: F-After initials-Throw)
    with ⟨t ∉  $\mathcal{D}$  ?lhs⟩ ⟨(t, X) ∈  $\mathcal{F}$  ?lhs⟩ consider ⟨⟨ev a # t, X⟩ ∈  $\mathcal{F}$  P⟩ ⟨set t ∩ ev ‘ A = {}⟩
    | (failR) t1 a' t2 where ⟨ev a # t = t1 @ ev a' # t2⟩ ⟨t1 @ [⟨ev a'⟩] ∈  $\mathcal{T}$  P⟩
      ⟨set t1 ∩ ev ‘ A = {}⟩ ⟨a' ∈ A⟩ ⟨(t2, X) ∈  $\mathcal{F}$  (Q a')⟩
      by (auto simp add: D-After Throw-projs initials-Throw split: if-split-asm)
      (metis D-T initials-memI is-processT7)
    thus ⟨(t, X) ∈  $\mathcal{F}$  (P after a Θ a ∈ A. Q a)⟩
    proof cases
      show ⟨⟨ev a # t, X⟩ ∈  $\mathcal{F}$  P ⟹ set t ∩ ev ‘ A = {} ⟹
        ⟨(t, X) ∈  $\mathcal{F}$  (P after a Θ a ∈ A. Q a)⟩
        by (simp add: F-Throw F-After ev a ∈ P0)
    next
      case failR
      have ⟨a ≠ a'⟩ using failR(4) ⟨a ∉ A⟩ by blast
      with failR(1) obtain t1' where ⟨t1 = ev a # t1'⟩ by (cases t1) auto
      also have ⟨t1' @ [⟨ev a'⟩] ∈ T (P after a) ∧ set t1' ∩ ev ‘ A = {}⟩
        using failR(2, 3) by (simp add: image-iff T-After ev a ∈ P0 calculation)
      ultimately show ⟨(t, X) ∈  $\mathcal{F}$  (P after a Θ a ∈ A. Q a)⟩
        using failR(1, 4, 5) by (simp add: F-Throw) blast
    qed
  next
    fix t X
    assume ⟨t ∉  $\mathcal{D}$  (P after a Θ a ∈ A. Q a)⟩ and ⟨(t, X) ∈  $\mathcal{F}$  (P after a Θ a ∈ A. Q a)⟩
    then consider (failL) ⟨(t, X) ∈  $\mathcal{F}$  (P after a)⟩ ⟨set t ∩ ev ‘ A = {}⟩$$ 
```

```

| (failR) t1 a' t2 where ⟨t = t1 @ ev a' # t2⟩ ⟨t1 @ [ev a'] ∈ T (P after a)⟩
  ⟨set t1 ∩ ev ‘A = {}⟩ ⟨a' ∈ A⟩ ⟨(t2, X) ∈ F (Q a')⟩
  by (auto simp add: Throw-projs D-After ⟨ev a ∈ P0⟩)
thus ⟨(t, X) ∈ F ?lhs⟩
proof cases
  case failL
  from failL(2) have * : ⟨set (ev a # t) ∩ ev ‘A = {}⟩
    by (simp add: image-iff ⟨a ∉ A⟩ failL(2))
  from failL(1) have ⟨(ev a # t, X) ∈ F P⟩
    by (simp add: F-After ⟨ev a ∈ P0⟩)
  with * show ⟨(t, X) ∈ F ?lhs⟩
    by (simp add: F-After F-Throw initials-Throw ⟨ev a ∈ P0⟩)
next
  case failR
  from failR(2) ⟨ev a ∈ P0⟩ have ** : ⟨ev a # t1 @ [ev a'] ∈ T P⟩
    by (simp add: T-After)
  have *** : ⟨set (ev a # t1) ∩ ev ‘A = {}⟩
    by (simp add: image-iff failR(3) ⟨a ∉ A⟩)
  from failR(1, 4, 5) show ⟨(t, X) ∈ F ?lhs⟩
    by (simp add: F-After F-Throw initials-Throw ⟨ev a ∈ P0⟩)
      (metis ** *** append-Cons)
  qed
qed

ultimately show ⟨?lhs = ?rhs⟩
  by (simp add: After-BOT not-initial-After initials-Throw)
qed

```

### 3.6.2 After Interrupting

**theorem** After-Interrupt:

```

⟨(P △ Q) after a =
  ( if ev a ∈ P0 ∩ Q0
    then Q after a ∙ (P after a △ Q)
    else if ev a ∈ P0 ∧ ev a ∉ Q0
      then P after a △ Q
      else if ev a ∉ P0 ∧ ev a ∈ Q0
        then Q after a
        else Ψ (P △ Q) a)

```

**proof** –

```

have ⟨(P △ Q) after a ⊑FD Q after a⟩ if initial: ⟨ev a ∈ Q0⟩
proof (unfold failure-divergence-refine-def failure-refine-def divergence-refine-def,
safe)
  fix t
  assume ⟨t ∈ D (Q after a)⟩
  hence ⟨ev a # t ∈ D Q⟩
    by (simp add: D-After initial)
  thus ⟨t ∈ D ((P △ Q) after a)⟩
    by (simp add: D-After initial initials-Interrupt D-Interrupt)

```

```

(metis Nil-elem-T append-Nil tickFree-Nil)
next
  show  $\langle(t, X) \in \mathcal{F} (Q \text{ after } a) \implies (t, X) \in \mathcal{F} ((P \triangle Q) \text{ after } a) \rangle$  for  $t X$ 
    by (simp add: F-After initials-Interrupt F-Interrupt initial)
      (metis Nil-elem-T append-Nil list.distinct(1) tickFree-Nil)
qed

moreover have  $\langle(P \triangle Q) \text{ after } a \sqsubseteq_{FD} P \text{ after } a \triangle Q\rangle$  if initial:  $\langle\text{ev } a \in P^0\rangle$ 
proof (unfold failure-divergence-refine-def failure-refine-def divergence-refine-def,
safe)
  show  $\langle t \in \mathcal{D} (P \text{ after } a \triangle Q) \implies t \in \mathcal{D} ((P \triangle Q) \text{ after } a) \rangle$  for  $t$ 
    by (simp add: D-Interrupt D-After initial T-After initials-Interrupt)
      (metis append-Cons eventptick.disc(1) tickFree-Cons-iff)
next
  show  $\langle(t, X) \in \mathcal{F} (P \text{ after } a \triangle Q) \implies (t, X) \in \mathcal{F} ((P \triangle Q) \text{ after } a) \rangle$  for  $t X$ 
    by (simp add: F-Interrupt F-After initial initials-Interrupt T-After D-After,
elim disjE)
      (metis [[metis-verbose = false]] Cons-eq-appendI eventptick.disc(1) tick-
Free-Cons-iff) +
qed

moreover have  $\langle Q \text{ after } a \sqsubseteq_{FD} (P \triangle Q) \text{ after } a \rangle$ 
  if initial-hyps:  $\langle\text{ev } a \notin P^0\rangle$ ,  $\langle\text{ev } a \in Q^0\rangle$ 
proof (unfold failure-divergence-refine-def failure-refine-def divergence-refine-def,
safe)
  show  $\langle t \in \mathcal{D} ((P \triangle Q) \text{ after } a) \implies t \in \mathcal{D} (Q \text{ after } a) \rangle$  for  $t$ 
    by (simp add: D-After initials-Interrupt D-Interrupt initial-hyps)
      (metis initials-memI D-T append-Nil hd-append
list.exhaust-sel list.sel(1) initial-hyps(1))
next
  from initials-memI[of  $\langle\text{ev } a\rangle - P$ , simplified initial-hyps(1)]
  show  $\langle(t, X) \in \mathcal{F} ((P \triangle Q) \text{ after } a) \implies (t, X) \in \mathcal{F} (Q \text{ after } a) \rangle$  for  $t X$ 
    by (auto simp add: F-After initials-Interrupt F-Interrupt initial-hyps intro:
F-T D-T)
      (metis, metis append-eq-Cons-conv, metis append-eq-Cons-conv is-processT8)
qed

moreover have  $\langle P \text{ after } a \triangle Q \sqsubseteq_{FD} (P \triangle Q) \text{ after } a \rangle$ 
  if initial-hyps:  $\langle\text{ev } a \in P^0\rangle$ ,  $\langle\text{ev } a \notin Q^0\rangle$ 
proof (unfold failure-divergence-refine-def failure-refine-def divergence-refine-def,
safe)
  from initials-memI[of  $\langle\text{ev } a\rangle - Q$ , simplified initial-hyps(2)]
  show  $\langle t \in \mathcal{D} ((P \triangle Q) \text{ after } a) \implies t \in \mathcal{D} (P \text{ after } a \triangle Q) \rangle$  for  $t$ 
    by (simp add: After-projs initials-Interrupt initial-hyps D-Interrupt)
      (metis append-Nil hd-append2 list.exhaust-sel D-T
list.sel(1, 3) tickFree-Cons-iff tl-append2)
next
  fix  $t X$ 
  assume  $\langle(t, X) \in \mathcal{F} ((P \triangle Q) \text{ after } a) \rangle$ 

```

```

with initial-hyps(1) have ⟨(ev a # t, X) ∈ F (P △ Q)⟩
  by (simp add: F-After initials-Interrupt)
with initials-memI[of ⟨ev a⟩ - Q, simplified initial-hyps(2)]
show ⟨(t, X) ∈ F (P after a △ Q)⟩
  by (simp add: F-Interrupt After-projs initial-hyps(1), elim disjE)
  (metis (no-types, opaque-lifting) [[metis-verbose = false]])
  append-self-conv2 eventptick.simps(3) tl-append2 F-T list.exhaust-sel
  list.sel(1, 3) tickFree-Cons-iff D-T hd-append2) +
qed

moreover have ⟨(Q after a) □ (P after a △ Q) ⊑FD (P △ Q) after a⟩
  if both-initial: ⟨ev a ∈ P0, ev a ∈ Q0ptick.distinct(1) list.sel(1, 3) append-Nil hd-append2
  list.exhaust-sel process-charn tickFree-Cons-iff tl-append2) +
qed

ultimately show ?thesis
by (auto simp add: not-initial-After initials-Interrupt
  intro: FD-antisym)
(metis mono-Ndet-FD FD-antisym Ndet-id)
qed

```

### 3.7 Behaviour of *After* with Reference Processes

```

lemma After-DF:
⟨DF A after a = (if a ∈ A then DF A else Ψ (DF A) a)⟩
by (simp add: not-initial-After initials-DF image-iff)
  (subst DF-unfold, simp add: After-Mndetprefix)

lemma After-DFSKIPS:
⟨DFSKIPS A R after a = (if a ∈ A then DFSKIPS A R else Ψ (DFSKIPS A R) a)⟩
by (simp add: not-initial-After initials-DFSKIPS image-iff)
  (subst DFSKIPS-unfold,

```

*simp add: After-Ndet After-Mndetprefix initials-Mndetprefix image-iff)*

**lemma** *After-RUN*:

*⟨RUN A after a = (if a ∈ A then RUN A else Ψ (RUN A) a)⟩*

**by** (*simp add: not-initial-After initials-RUN image-iff*)

*(subst RUN-unfold, subst After-Mprefix, simp)*

**lemma** *After-CHAOS*:

*⟨CHAOS A after a = (if a ∈ A then CHAOS A else Ψ (CHAOS A) a)⟩*

**by** (*simp add: not-initial-After initials-CHAOS image-iff*)

*(subst CHAOS-unfold,*

*simp add: After-Ndet initials-Mprefix After-Mprefix)*

**lemma** *After-CHAOS<sub>SKIPS</sub>*:

*⟨CHAOS<sub>SKIPS</sub> A R after a = (if a ∈ A then CHAOS<sub>SKIPS</sub> A R else Ψ (CHAOS<sub>SKIPS</sub> A R) a)⟩*

**by** (*simp add: not-initial-After initials-CHAOS<sub>SKIPS</sub> image-iff*)

*(subst CHAOS<sub>SKIPS</sub>-unfold,*

*simp add: initials-Ndet initials-Mprefix After-Ndet After-Mprefix image-iff)*

**lemma** *DF-FD-After*: *⟨DF A ⊑<sub>FD</sub> P after a⟩ if ⟨ev a ∈ P<sup>0</sup>⟩ and ⟨DF A ⊑<sub>FD</sub> P⟩*  
**proof** –

**have** *⟨DF A after a ⊑<sub>FD</sub> P after a⟩ by (rule mono-After-FD[OF that])*

**also have** *⟨a ∈ A⟩*

**using** *anti-mono-initials-FD[OF that(2), THEN set-mp, OF that(1)]*

**by** (*simp add: initials-DF image-iff*)

**ultimately show** *⟨DF A ⊑<sub>FD</sub> P after a⟩ by (subst (asm) After-DF, simp split: if-split-asm)*

**qed**

**lemma** *DF<sub>SKIPS</sub>-FD-After*: *⟨DF<sub>SKIPS</sub> A R ⊑<sub>FD</sub> P after a⟩ if ⟨ev a ∈ P<sup>0</sup>⟩ and*  
*⟨DF<sub>SKIPS</sub> A R ⊑<sub>FD</sub> P⟩*

**proof** –

**have** *⟨DF<sub>SKIPS</sub> A R after a ⊑<sub>FD</sub> P after a⟩ by (rule mono-After-FD[OF that])*

**also have** *⟨a ∈ A⟩*

**using** *anti-mono-initials-FD[OF that(2), THEN set-mp, OF that(1)]*

**by** (*simp add: initials-DF<sub>SKIPS</sub> image-iff*)

**ultimately show** *⟨DF<sub>SKIPS</sub> A R ⊑<sub>FD</sub> P after a⟩ by (subst (asm) After-DF<sub>SKIPS</sub>, simp split: if-split-asm)*

**qed**

We have corollaries on *deadlock-free* and *deadlock-frees<sub>SKIPS</sub>*.

**corollary** *deadlock-free-After*:

*⟨deadlock-free P ⟷*

*deadlock-free (P after a) ⟷*

```

(if ev a ∈ P0 then True else deadlock-free (Ψ P a))>
by (simp add: not-initial-After deadlock-free-def)
(intro impI DF-FD-After)

corollary deadlock-freeSKIPs-After:
⟨deadlock-freeSKIPs P ⟹
deadlock-freeSKIPs (P after a) ⟷
(if ev a ∈ P0 then True else deadlock-freeSKIPs (Ψ P a))⟩
by (simp add: not-initial-After deadlock-freeSKIPs-FD)
(intro impI DFSKIPs-FD-After)

```

## 3.8 Continuity

This is a new result whose main consequence will be the admissibility of the event transition that is defined later (property that paves the way for point-fixed induction)...

Of course this result will require an additional assumption of continuity on the placeholder  $\Psi$ .

**context begin**

```

private lemma mono-Ψ-imp-chain-After:
⟨(ΛP Q. P ⊑ Q ⟹ Ψ P a ⊑ Ψ Q a) ⟹ chain Y ⟹ chain (λi. Y i after a)⟩
by (simp add: mono-After chain-def)

private lemma cont-prem-After :
⟨(⊔ i. Y i) after a = (⊔ i. Y i after a)⟩ (is ⟨?lhs = ?rhs⟩)
if cont-Ψ: ⟨cont (λP. Ψ P a)⟩ and chain-Y : ⟨chain Y⟩
proof –
from chain-Y cont2monofunE cont-Ψ mono-Ψ-imp-chain-After
have chain-After : ⟨chain (λi. Y i after a)⟩ by blast
show ⟨?lhs = ?rhs⟩
proof (cases ⟨ev a ∈ (⊔ i. Y i)0⟩)
assume initial : ⟨ev a ∈ (⊔ i. Y i)0⟩
hence * : ⟨∀i. ev a ∈ (Y i)0⟩ by (simp add: initials-LUB chain-Y)
show ⟨?lhs = ?rhs⟩
proof (rule Process-eq-optimizedI)
show ⟨t ∈ D ?lhs ⟹ t ∈ D ?rhs⟩ for t
by (simp add: D-After initial)
(simp add: D-After * limproc-is-thelub chain-After chain-Y D-LUB)
next
fix t
define S
where ⟨S i ≡ {u. t = u ∧ ev a # u ∈ D (Y i)}⟩ for i
assume ⟨t ∈ D ?rhs⟩
hence ⟨t ∈ D (Y i after a)⟩ for i
by (simp add: limproc-is-thelub D-LUB chain-After)

```

```

hence ⟨S i ≠ {}⟩ for i by (simp add: S-def D-After *)
moreover have ⟨finite (S 0)⟩ unfolding S-def by (prove-finite-subset-of-prefixes
t)
moreover have ⟨S (Suc i) ⊆ S i⟩ for i
by (simp add: S-def subset-iff) (metis in-mono le-approx1 po-class.chainE
chain-Y)
ultimately have ⟨(⋂ i. S i) ≠ {}⟩ by (rule Inter-nonempty-finite-chained-sets)
then obtain t1 where ⟨∀ i. t1 ∈ S i⟩
by (meson INT-iff ex-in-conv iso-tuple-UNIV-I)
thus ⟨t ∈ D ?lhs⟩ by (simp add: S-def D-After initial *)
(simp add: limproc-is-the lub chain-Y D-LUB)
next
show ⟨(s, X) ∈ F ?lhs ⟹ (s, X) ∈ F ?rhs⟩ for s X
by (simp add: F-After initial)
(simp add: F-After * limproc-is-the lub chain-After chain-Y F-LUB)
next
fix t X assume ⟨t ∉ D ?rhs⟩ and ⟨(t, X) ∈ F ?rhs⟩
from ⟨t ∉ D ?rhs⟩ obtain j where ⟨t ∉ D (Y j after a)⟩
by (auto simp add: limproc-is-the lub chain-After ⟨chain Y⟩ D-LUB)
moreover from ⟨(t, X) ∈ F ?rhs⟩ have ⟨(t, X) ∈ F (Y j after a)⟩
by (simp add: limproc-is-the lub chain-After ⟨chain Y⟩ F-LUB)
ultimately show ⟨(t, X) ∈ F ?lhs⟩
using chain-Y initial is-ub-the lub mono-After proc-ord2a by blast
qed
next
assume ⟨ev a ∉ (⊔ i. Y i)^0⟩
then obtain k where * : ⟨ev a ∉ (Y (i + k))^0⟩ for i
by (simp add: initials-LUB chain-Y)
(metis add.commute anti-mono-initials chain-Y in-mono le-add1 po-class.chain-mono)
hence ** : ⟨ev a ∉ (⊔ i. Y (i + k))^0⟩ by (simp add: initials-LUB chain-Y
chain-shift)
have ⟨?lhs = (⊔ i. Y (i + k)) after a⟩ by (simp add: chain-Y lub-range-shift)
also have ⟨... = (⊔ i. Y (i + k)) after a⟩
by (simp add: not-initial-After * **)
(fact cont2contlubE[OF cont-Ψ chain-shift[OF chain-Y]])
also from chain-After lub-range-shift have ⟨... = ?rhs⟩ by blast
finally show ⟨?lhs = ?rhs⟩ .
qed
qed

```

```

lemma After-cont [simp] :
⟨cont (λx. f x after a)⟩ if cont-Ψ : ⟨cont (λP. Ψ P a)⟩ and cont-f : ⟨cont f⟩
proof (rule contI2)
show ⟨monofun (λx. f x after a)⟩
by (rule monofunI) (metis cont2monofunE cont-Ψ cont-f mono-After)
next
show ⟨chain Y ⟹ f (⊔ i. Y i) after a ⊑ (⊔ i. f (Y i) after a)⟩ for Y
by (simp add: ch2ch-cont cont2contlubE cont-Ψ cont-f cont-prem-After)

```

**qed**

**end**

**end**

## Chapter 4

# Extension of the After Operator

### 4.1 The After $\checkmark$ Operator

```
locale AfterExt = After  $\Psi$ 
  for  $\Psi :: \langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
    — Just declaring the types ' $a$ ' and ' $r$ '. +
  fixes  $\Omega :: \langle [('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
begin
```

#### 4.1.1 Definition

We just defined  $P$  after  $e$  for  $P :: ('a, 'r) process_{ptick}$  and  $e :: 'a$ ; in other words we cannot handle  $\checkmark(r)$ . We now introduce a generalisation for  $e :: ('a, 'r) event_{ptick}$ .

In the previous version, we agreed to get *STOP* after a termination, but only if  $P$  was not  $\perp$  since otherwise we kept  $\perp$ . We were not really sure about this choice, and we even introduced a variation where the result after a termination was always *STOP*. In this new version we use a placeholder instead:  $\Omega$ . We define  $P$  after  $\checkmark(r)$  being equal to  $\Omega P r$ .

For the moment we have no additional assumption on  $\Omega$ . This will be discussed later.

```
definition After $_t$ ick ::  $\langle [('a, 'r) process_{ptick}, ('a, 'r) event_{ptick}] \Rightarrow ('a, 'r) process_{ptick} \rangle$  (infixl  $\langle after\checkmark \rangle$  86)
  where  $\langle P after\checkmark e \equiv case\ e\ of\ ev\ x \Rightarrow P\ after\ x\ | \checkmark(r) \Rightarrow \Omega\ P\ r \rangle$ 
```

```
lemma not-initial-After $_t$ ick:
   $\langle e \notin initials\ P \implies P\ after\checkmark\ e = (case\ e\ of\ ev\ x \Rightarrow \Psi\ P\ x\ | \checkmark(r) \Rightarrow \Omega\ P\ r) \rangle$ 
  by (auto simp add: After $_t$ ick-def After-BOT intro: not-initial-After split: event $_t$ ick.split)
```

```

lemma initials-Aftertick:
  ⟨(P after✓ e)0 =
    (case e of ✓(r) ⇒ (Ω P r)0
     | ev a ⇒ if ev a ∈ P0 then {e. [ev a, e] ∈ T P} else (Ψ P a)0)⟩
  by (simp add: Aftertick-def initials-After split: eventptick.split)

```

### 4.1.2 Projections

```

lemma F-Aftertick:
  ⟨F (P after✓ e) =
    (case e of ✓(r) ⇒ F (Ω P r)
     | ev a ⇒ if ev a ∈ P0 then {(s, X). (ev a # s, X) ∈ F P} else F (Ψ P a))⟩
  by (simp add: Aftertick-def F-After split: eventptick.split)

```

```

lemma D-Aftertick:
  ⟨D (P after✓ e) =
    (case e of ✓(r) ⇒ D (Ω P r)
     | ev a ⇒ if ev a ∈ P0 then {s. ev a # s ∈ D P} else D (Ψ P a))⟩
  by (simp add: Aftertick-def D-After split: eventptick.split)

```

```

lemma T-Aftertick:
  ⟨T (P after✓ e) =
    (case e of ✓(r) ⇒ T (Ω P r)
     | ev a ⇒ if ev a ∈ P0 then {s. ev a # s ∈ T P} else T (Ψ P a))⟩
  by (simp add: Aftertick-def T-After split: eventptick.split)

```

### 4.1.3 Monotony

```

lemma mono-Aftertick-T :
  ⟨e ∈ Q0 ⇒ (case e of ✓(r) ⇒ Ω P r ⊑T Ω Q r) ⇒ P ⊑T Q ⇒ P after✓
  e ⊑T Q after✓ e)
  and mono-Aftertick-F :
  ⟨e ∈ Q0 ⇒ (case e of ✓(r) ⇒ Ω P r ⊑F Ω Q r) ⇒ P ⊑F Q ⇒ P after✓
  e ⊑F Q after✓ e)
  and mono-Aftertick-D :
  ⟨e ∈ Q0 ⇒ (case e of ✓(r) ⇒ Ω P r ⊑D Ω Q r) ⇒ P ⊑D Q ⇒ P after✓
  e ⊑D Q after✓ e)
  and mono-Aftertick-FD :
  ⟨e ∈ Q0 ⇒ (case e of ✓(r) ⇒ Ω P r ⊑FD Ω Q r) ⇒ P ⊑FD Q ⇒ P after✓
  e ⊑FD Q after✓ e)
  and mono-Aftertick-DT :
  ⟨e ∈ Q0 ⇒ (case e of ✓(r) ⇒ Ω P r ⊑DT Ω Q r) ⇒ P ⊑DT Q ⇒ P after✓
  e ⊑DT Q after✓ e)
  using mono-After-T mono-After-F mono-After-D mono-After-FD mono-After-DT
  by (auto simp add: Aftertick-def split: eventptick.split)

```

```

lemma mono-Aftertick :
  ⟨[P ⊑ Q;

```

$(\text{case } e \text{ of } ev a \Rightarrow (ev a \in Q^0 \vee \Psi P a \sqsubseteq \Psi Q a));$   
 $(\text{case } e \text{ of } \checkmark(r) \Rightarrow \Omega P r \sqsubseteq \Omega Q r) \] \implies$   
 $P \text{ after}_{\checkmark} e \sqsubseteq Q \text{ after}_{\checkmark} e)$   
**by** (force simp add: After<sub>tick</sub>-def split: event<sub>p tick</sub>.split intro: mono-After)

#### 4.1.4 Behaviour of $\text{After}_{\text{tick}}$ with $\text{STOP}$ , $\text{SKIP}$ and $\perp$

**lemma**  $\text{After}_{\text{tick}}\text{-STOP}: \langle \text{STOP} \text{ after}_{\checkmark} e = (\text{case } e \text{ of } ev a \Rightarrow \Psi \text{ STOP } a \mid \checkmark(r) \Rightarrow \Omega \text{ STOP } r) \rangle$   
**and**  $\text{After}_{\text{tick}}\text{-SKIP}: \langle \text{SKIP } r \text{ after}_{\checkmark} e = (\text{case } e \text{ of } ev a \Rightarrow \Psi (\text{SKIP } r) a \mid \checkmark(s) \Rightarrow \Omega (\text{SKIP } r) s) \rangle$   
**and**  $\text{After}_{\text{tick}}\text{-BOT}: \langle \perp \text{ after}_{\checkmark} e = (\text{case } e \text{ of } ev x \Rightarrow \perp \mid \checkmark(r) \Rightarrow \Omega \perp r) \rangle$   
**by** (simp-all add: After<sub>tick</sub>-def After-STOP After-SKIP After-BOT split: event<sub>p tick</sub>.split)

**lemma**  $\text{After}_{\text{tick}}\text{-is-BOT-iff}:$   
 $\langle P \text{ after}_{\checkmark} e = \perp \longleftrightarrow (\text{case } e \text{ of } \checkmark(r) \Rightarrow \Omega P r = \perp \mid ev a \Rightarrow \text{if } ev a \in P^0 \text{ then } [ev a] \in \mathcal{D} P \text{ else } \Psi P a = \perp) \rangle$   
**by** (simp add: After<sub>tick</sub>-def After-is-BOT-iff split: event<sub>p tick</sub>.split)

#### 4.1.5 Behaviour of $\text{After}_{\text{tick}}$ with Operators of HOL-CSP

Here again, we lose determinism.

**lemma**  $\text{After}_{\text{tick}}\text{-Mprefix-is-After}_{\text{tick}}\text{-Mndetprefix}:$   
 $\langle a \in A \implies (\Box a \in A \rightarrow P a) \text{ after}_{\checkmark} ev a = (\Box a \in A \rightarrow P a) \text{ after}_{\checkmark} ev a \rangle$   
**by** (simp add: After<sub>tick</sub>-def After-Mprefix-is-After-Mndetprefix)

**lemma**  $\text{After}_{\text{tick}}\text{-Det-is-After}_{\text{tick}}\text{-Ndet}:$   
 $\langle ev a \in P^0 \cup Q^0 \implies (P \Box Q) \text{ after}_{\checkmark} ev a = (P \sqcap Q) \text{ after}_{\checkmark} ev a \rangle$   
**by** (simp add: After<sub>tick</sub>-def After-Det-is-After-Ndet)

**lemma**  $\text{After}_{\text{tick}}\text{-Sliding-is-After}_{\text{tick}}\text{-Ndet}:$   
 $\langle ev a \in P^0 \cup Q^0 \implies (P \triangleright Q) \text{ after}_{\checkmark} ev a = (P \sqcap Q) \text{ after}_{\checkmark} ev a \rangle$   
**by** (simp add: After<sub>tick</sub>-def After-Sliding-is-After-Ndet)

**lemma**  $\text{After}_{\text{tick}}\text{-Ndet}:$   
 $\langle (P \sqcap Q) \text{ after}_{\checkmark} e = (\text{case } e \text{ of } \checkmark(r) \Rightarrow \Omega (P \sqcap Q) r \mid ev a \Rightarrow \text{if } ev a \in P^0 \cap Q^0 \text{ then } P \text{ after}_{\checkmark} ev a \sqcap Q \text{ after}_{\checkmark} ev a \text{ else if } ev a \in P^0 \text{ then } P \text{ after}_{\checkmark} ev a \text{ else if } ev a \in Q^0 \text{ then } Q \text{ after}_{\checkmark} ev a \text{ else } \Psi (P \sqcap Q) a) \rangle$   
**by** (simp add: After<sub>tick</sub>-def After-Ndet split: event<sub>p tick</sub>.split)

**lemma** *After<sub>tick</sub>-Det*:

$$\langle (P \square Q) \text{ after } e =$$

$$(\text{case } e \text{ of } \check{\vee}(r) \Rightarrow \Omega (P \square Q) r$$

$$| \text{ ev } a \Rightarrow \begin{cases} \text{if ev } a \in P^0 \cap Q^0 \\ \quad \text{then } P \text{ after } \check{\vee} \text{ ev } a \sqcap Q \text{ after } \check{\vee} \text{ ev } a \\ \text{else if ev } a \in P^0 \\ \quad \text{then } P \text{ after } \check{\vee} \text{ ev } a \\ \text{else if ev } a \in Q^0 \\ \quad \text{then } Q \text{ after } \check{\vee} \text{ ev } a \\ \text{else } \Psi (P \square Q) a \end{cases}$$

**by** (simp add: *After<sub>tick</sub>-def* *After-Det* split: *event<sub>ptick</sub>.split*)

**lemma** *After<sub>tick</sub>-Sliding*:

$$\langle (P \triangleright Q) \text{ after } e =$$

$$(\text{case } e \text{ of } \check{\vee}(r) \Rightarrow \Omega (P \triangleright Q) r$$

$$| \text{ ev } a \Rightarrow \begin{cases} \text{if ev } a \in P^0 \cap Q^0 \\ \quad \text{then } P \text{ after } \check{\vee} \text{ ev } a \sqcap Q \text{ after } \check{\vee} \text{ ev } a \\ \text{else if ev } a \in P^0 \\ \quad \text{then } P \text{ after } \check{\vee} \text{ ev } a \\ \text{else if ev } a \in Q^0 \\ \quad \text{then } Q \text{ after } \check{\vee} \text{ ev } a \\ \text{else } \Psi (P \triangleright Q) a \end{cases}$$

**by** (simp add: *After<sub>tick</sub>-def* *After-Sliding* split: *event<sub>ptick</sub>.split*)

**lemma** *After<sub>tick</sub>-Mprefix*:

$$\langle (\Box a \in A \rightarrow P a) \text{ after } e =$$

$$(\text{case } e \text{ of } \check{\vee}(r) \Rightarrow \Omega (\Box a \in A \rightarrow P a) r$$

$$| \text{ ev } a \Rightarrow \text{if } a \in A \text{ then } P a \text{ else } \Psi (\Box a \in A \rightarrow P a) a \rangle$$

**by** (simp add: *After<sub>tick</sub>-def* *After-Mprefix* split: *event<sub>ptick</sub>.split*)

**lemma** *After<sub>tick</sub>-Mndetprefix*:

$$\langle (\Box a \in A \rightarrow P a) \text{ after } e =$$

$$(\text{case } e \text{ of } \check{\vee}(r) \Rightarrow \Omega (\Box a \in A \rightarrow P a) r$$

$$| \text{ ev } a \Rightarrow \text{if } a \in A \text{ then } P a \text{ else } \Psi (\Box a \in A \rightarrow P a) a \rangle$$

**by** (simp add: *After<sub>tick</sub>-def* *After-Mndetprefix* split: *event<sub>ptick</sub>.split*)

**corollary** *After<sub>tick</sub>-write0*:

$$\langle (a \rightarrow P) \text{ after } e =$$

$$(\text{case } e \text{ of } \check{\vee}(r) \Rightarrow \Omega (a \rightarrow P) r$$

$$| \text{ ev } b \Rightarrow \text{if } b = a \text{ then } P \text{ else } \Psi (a \rightarrow P) b \rangle$$

**by** (simp add: *After<sub>tick</sub>-def* *After-write0* split: *event<sub>ptick</sub>.split*)

**corollary**  $\langle (a \rightarrow P) \text{ after } \check{\vee} \text{ ev } a = P \rangle$  **by** (simp add: *After<sub>tick</sub>-write0*)

**corollary** *After<sub>tick</sub>-read*:

$$\langle (c? a \in A \rightarrow P a) \text{ after } e =$$

$$(\text{case } e \text{ of } \check{\vee}(r) \Rightarrow \Omega (c? a \in A \rightarrow P a) r$$

$$| \text{ ev } b \Rightarrow \text{if } b \in c \cdot A \text{ then } P (\text{inv-into } A c b) \text{ else } \Psi (c? a \in A \rightarrow P a) b \rangle$$

**by** (simp add: After<sub>tick</sub>-def After-read split: event<sub>p tick</sub>.split)

**corollary** After<sub>tick</sub>-ndet-write:

$\langle (c!!a \in A \rightarrow P a) \text{ after}_{\checkmark} e =$   
 $(\text{case } e \text{ of } \checkmark(r) \Rightarrow \Omega (c!!a \in A \rightarrow P a) r$   
 $| ev b \Rightarrow \text{if } b \in c \text{ then } P (\text{inv-into } A c b) \text{ else } \Psi (c!!a \in A \rightarrow P a) b\rangle$   
**by** (simp add: After<sub>tick</sub>-def After-ndet-write split: event<sub>p tick</sub>.split)

**lemma** After<sub>tick</sub>-Seq:

$\langle (P ; Q) \text{ after}_{\checkmark} e =$   
 $(\text{case } e \text{ of } \checkmark(r) \Rightarrow \Omega (P ; Q) r$   
 $| ev a \Rightarrow \text{if range tick} \cap P^0 = \{\} \vee (\forall r. \checkmark(r) \in P^0 \rightarrow ev a \notin Q^0)$   
 $\text{then if } ev a \in P^0 \text{ then } P \text{ after}_{\checkmark} ev a ; Q \text{ else } \Psi (P ; Q) a$   
 $\text{else if } ev a \in P^0 \text{ then } (P \text{ after}_{\checkmark} ev a ; Q) \sqcap Q \text{ after}_{\checkmark} ev a$   
 $\text{else } Q \text{ after}_{\checkmark} ev a\rangle$

**by** (simp add: After<sub>tick</sub>-def After-Seq split: event<sub>p tick</sub>.split)

**lemma** After<sub>tick</sub>-Sync:

$\langle (P [S] Q) \text{ after}_{\checkmark} e =$   
 $(\text{case } e \text{ of } \checkmark(r) \Rightarrow \Omega (P [S] Q) r$   
 $| ev a \Rightarrow \text{if } P = \perp \vee Q = \perp \text{ then } \perp$   
 $\text{else if } ev a \in P^0 \cap Q^0$   
 $\text{then if } a \in S \text{ then } P \text{ after}_{\checkmark} ev a [S] Q \text{ after}_{\checkmark} ev a$   
 $\text{else } (P \text{ after}_{\checkmark} ev a [S] Q) \sqcap (P [S] Q \text{ after}_{\checkmark} ev a)$   
 $\text{else if } ev a \in P^0 \wedge a \notin S \text{ then } P \text{ after}_{\checkmark} ev a [S] Q$   
 $\text{else if } ev a \in Q^0 \wedge a \notin S \text{ then } P [S] Q \text{ after}_{\checkmark} ev a$   
 $\text{else } \Psi (P [S] Q) a\rangle$

**by** (simp add: After<sub>tick</sub>-def After-Sync split: event<sub>p tick</sub>.split)

**lemma** Hiding-FD-Hiding-After<sub>tick</sub>-if-initial-inside:

$\langle ev a \in P^0 \Rightarrow a \in A \Rightarrow P \setminus A \sqsubseteq_{FD} P \text{ after}_{\checkmark} ev a \setminus A\rangle$

**and** After<sub>tick</sub>-Hiding-FD-Hiding-After<sub>tick</sub>-if-initial-notin:

$\langle ev a \in P^0 \Rightarrow a \notin A \Rightarrow (P \setminus A) \text{ after}_{\checkmark} ev a \sqsubseteq_{FD} P \text{ after}_{\checkmark} ev a \setminus A\rangle$

**by** (simp add: After<sub>tick</sub>-def Hiding-FD-Hiding-After-if-initial-inside)

(simp add: After<sub>tick</sub>-def After-Hiding-FD-Hiding-After-if-initial-notin)

**lemmas** Hiding-F-Hiding-After<sub>tick</sub>-if-initial-inside =

Hiding-FD-Hiding-After<sub>tick</sub>-if-initial-inside[THEN leFD-imp-leF]

**and** After<sub>tick</sub>-Hiding-F-Hiding-After<sub>tick</sub>-if-initial-notin =

After<sub>tick</sub>-Hiding-FD-Hiding-After<sub>tick</sub>-if-initial-notin[THEN leFD-imp-leF]

**and** Hiding-D-Hiding-After<sub>tick</sub>-if-initial-inside =

Hiding-FD-Hiding-After<sub>tick</sub>-if-initial-inside[THEN leFD-imp-leD]

**and** After<sub>tick</sub>-Hiding-D-Hiding-After<sub>tick</sub>-if-initial-notin =

After<sub>tick</sub>-Hiding-FD-Hiding-After<sub>tick</sub>-if-initial-notin[THEN leFD-imp-leD]

**and** Hiding-T-Hiding-After<sub>tick</sub>-if-initial-inside =

*Hiding-FD-Hiding-After<sub>tick</sub>-if-initial-inside*[THEN leFD-imp-leF, THEN leF-imp-leT]

**and** After<sub>tick</sub>-Hiding-T-Hiding-After<sub>tick</sub>-if-initial-notin =  
 After<sub>tick</sub>-Hiding-FD-Hiding-After<sub>tick</sub>-if-initial-notin[THEN leFD-imp-leF, THEN  
 leF-imp-leT]

**corollary** Hiding-DT-Hiding-After<sub>tick</sub>-if-initial-inside:

$\langle ev a \in P^0 \Rightarrow a \in A \Rightarrow P \setminus A \sqsubseteq_{DT} P \text{ after } \checkmark ev a \setminus A \rangle$   
**and** After<sub>tick</sub>-Hiding-DT-Hiding-After<sub>tick</sub>-if-initial-notin:  
 $\langle ev a \in P^0 \Rightarrow a \notin A \Rightarrow (P \setminus A) \text{ after } \checkmark ev a \sqsubseteq_{DT} P \text{ after } \checkmark ev a \setminus A \rangle$   
**by** (simp add: Hiding-D-Hiding-After<sub>tick</sub>-if-initial-inside  
 Hiding-T-Hiding-After<sub>tick</sub>-if-initial-inside leD-leT-imp-leDT)  
 (simp add: After<sub>tick</sub>-Hiding-D-Hiding-After<sub>tick</sub>-if-initial-notin  
 After<sub>tick</sub>-Hiding-T-Hiding-After<sub>tick</sub>-if-initial-notin leD-leT-imp-leDT)

**end**

As with *After*, we need to "duplicate" the locale to formalize the result for the *Renaming* operator.

**locale** AfterExtDuplicated = After<sub>tick</sub> $\alpha$ : AfterExt  $\Psi_\alpha$   $\Omega_\alpha$  + After<sub>tick</sub> $\beta$ : AfterExt  
 $\Psi_\beta$   $\Omega_\beta$

**for**  $\Psi_\alpha :: \langle ('a, 'r) process_{ptick}, 'a \Rightarrow ('a, 'r) process_{ptick} \rangle$   
**and**  $\Omega_\alpha :: \langle ('a, 'r) process_{ptick}, 'r \Rightarrow ('a, 'r) process_{ptick} \rangle$   
**and**  $\Psi_\beta :: \langle ('b, 's) process_{ptick}, 'b \Rightarrow ('b, 's) process_{ptick} \rangle$   
**and**  $\Omega_\beta :: \langle ('b, 's) process_{ptick}, 's \Rightarrow ('b, 's) process_{ptick} \rangle$

**sublocale** AfterExtDuplicated  $\subseteq$  AfterDuplicated .

— Recovering AfterDuplicated..After-Renaming

**context** AfterExtDuplicated

**begin**

**notation** After<sub>tick</sub> $\alpha$ .After (infixl  $\langle after_\alpha \rangle$  86)

**notation** After<sub>tick</sub> $\beta$ .After (infixl  $\langle after_\beta \rangle$  86)

**notation** After<sub>tick</sub> $\alpha$ .After<sub>tick</sub> (infixl  $\langle after_\checkmark_\alpha \rangle$  86)

**notation** After<sub>tick</sub> $\beta$ .After<sub>tick</sub> (infixl  $\langle after_\checkmark_\beta \rangle$  86)

**lemma** After<sub>tick</sub>-Renaming:

$\langle Renaming P f g \text{ after } \checkmark_\beta e =$   
 $(\text{case } e \text{ of } \checkmark(s) \Rightarrow \Omega_\beta (\text{Renaming } P f g) s$   
 $| ev b \Rightarrow \text{if } P = \perp \text{ then } \perp$   
 $\quad \text{else if } \exists a. ev a \in P^0 \wedge f a = b$   
 $\quad \text{then } \sqcap a \in \{a. ev a \in P^0 \wedge f a = b\}. \text{Renaming } (P \text{ after}_\alpha a)$

$f g$

$\quad \text{else } \Psi_\beta (\text{Renaming } P f g) b)$

**by** (auto simp add: After<sub>tick</sub> $\alpha$ .After<sub>tick</sub>-def After<sub>tick</sub> $\beta$ .After<sub>tick</sub>-def  
 After-Renaming split: event<sub>ptick</sub>.split)

**end**

**context** *AfterExt* — Back to *AfterExt*.  
**begin**

#### 4.1.6 Behaviour of *After<sub>tick</sub>* with Operators of HOL-CSPM

**lemma** *After<sub>tick</sub>-GlobalDet-is-After<sub>tick</sub>-GlobalNdet*:

$$\langle \text{ev } a \in (\bigcup a \in A. (P a)^0) \Rightarrow \\ (\square a \in A. P a) \text{ after} \checkmark \text{ ev } a = (\square a \in A. P a) \text{ after} \checkmark \text{ ev } a \rangle \\ \text{by (simp add: After\_def After-GlobalDet-is-After-GlobalNdet)}$$

**lemma** *After<sub>tick</sub>-GlobalNdet*:

$$\langle (\square a \in A. P a) \text{ after} \checkmark e = \\ (\text{case } e \text{ of } \checkmark(r) \Rightarrow \Omega (\square a \in A. P a) r \\ | \text{ ev } a \Rightarrow \text{ if ev } a \in (\bigcup a \in A. (P a)^0) \\ \text{ then } \sqcap x \in \{x \in A. \text{ ev } a \in (P x)^0\}. P x \text{ after} \checkmark \text{ ev } a \\ \text{ else } \Psi (\square a \in A. P a) a) \rangle$$

**and** *After<sub>tick</sub>-GlobalDet*:

$$\langle (\square a \in A. P a) \text{ after} \checkmark e = \\ (\text{case } e \text{ of } \checkmark(r) \Rightarrow \Omega (\square a \in A. P a) r \\ | \text{ ev } a \Rightarrow \text{ if ev } a \in (\bigcup a \in A. (P a)^0) \\ \text{ then } \sqcap x \in \{x \in A. \text{ ev } a \in (P x)^0\}. P x \text{ after} \checkmark \text{ ev } a \\ \text{ else } \Psi (\square a \in A. P a) a) \rangle$$

**by (simp-all add: After<sub>tick</sub>-def After-GlobalNdet After-GlobalDet split: event<sub>ptick</sub>.split)**

**lemma** *After<sub>tick</sub>-Throw*:

$$\langle (P \Theta a \in A. Q a) \text{ after} \checkmark e = \\ (\text{case } e \text{ of } \checkmark(r) \Rightarrow \Omega (P \Theta a \in A. Q a) r \\ | \text{ ev } a \Rightarrow \text{ if } P = \perp \text{ then } \perp \\ \text{ else } \text{ if ev } a \in P^0 \\ \text{ then } \text{ if } a \in A \\ \text{ then } Q a \\ \text{ else } P \text{ after} \checkmark \text{ ev } a \Theta a \in A. Q a \\ \text{ else } \Psi (P \Theta a \in A. Q a) a) \rangle \\ \text{by (simp add: After\_def After-Throw split: event<sub>ptick</sub>.split)}$$

**lemma** *After<sub>tick</sub>-Interrupt*:

$$\langle (P \triangle Q) \text{ after} \checkmark e = \\ (\text{case } e \text{ of } \checkmark(r) \Rightarrow \Omega (P \triangle Q) r \\ | \text{ ev } a \Rightarrow \text{ if ev } a \in P^0 \cap Q^0 \\ \text{ then } Q \text{ after} \checkmark \text{ ev } a \sqcap (P \text{ after} \checkmark \text{ ev } a \triangle Q) \\ \text{ else } \text{ if ev } a \in P^0 \wedge \text{ ev } a \notin Q^0 \\ \text{ then } P \text{ after} \checkmark \text{ ev } a \triangle Q) \rangle$$

```

else if ev a ∉ P0 ∧ ev a ∈ Q0
then Q after✓ ev a
else Ψ (P △ Q) a)
by (simp add: Aftertick-def After-Interrupt split: eventp tick.split)

```

#### 4.1.7 Behaviour of $\text{After}_{\text{tick}}$ with Reference Processes

**lemma**  $\text{After}_{\text{tick}}\text{-DF}:$

```

⟨DF A after✓ e =
(case e of ✓(r) ⇒ Ω (DF A) r
 | ev a ⇒ if a ∈ A then DF A else Ψ (DF A) a)⟩
by (simp add: Aftertick-def After-DF split: eventp tick.split)

```

**lemma**  $\text{After}_{\text{tick}}\text{-DF}_S K I P S:$

```

⟨DFS K I P S A R after✓ e =
(case e of ✓(r) ⇒ Ω (DFS K I P S A R) r
 | ev a ⇒ if a ∈ A then DFS K I P S A R else Ψ (DFS K I P S A R) a)⟩
by (simp add: Aftertick-def After-DFS K I P S split: eventp tick.split)

```

**lemma**  $\text{After}_{\text{tick}}\text{-RUN}:$

```

⟨RUN A after✓ e =
(case e of ✓(r) ⇒ Ω (RUN A) r
 | ev a ⇒ if a ∈ A then RUN A else Ψ (RUN A) a)⟩
by (simp add: Aftertick-def After-RUN split: eventp tick.split)

```

**lemma**  $\text{After}_{\text{tick}}\text{-CHAOS}:$

```

⟨CHAOS A after✓ e =
(case e of ✓(r) ⇒ Ω (CHAOS A) r
 | ev a ⇒ if a ∈ A then CHAOS A else Ψ (CHAOS A) a)⟩
by (simp add: Aftertick-def After-CHAOS split: eventp tick.split)

```

**lemma**  $\text{After}_{\text{tick}}\text{-CHAOS}_S K I P S:$

```

⟨CHAOSS K I P S A R after✓ e =
(case e of ✓(r) ⇒ Ω (CHAOSS K I P S A R) r
 | ev a ⇒ if a ∈ A then CHAOSS K I P S A R else Ψ (CHAOSS K I P S A R) a)⟩
by (simp add: Aftertick-def After-CHAOSS K I P S split: eventp tick.split)

```

**lemma**  $\text{DF-FD-After}_{\text{tick}}:$

```

⟨DF A ⊑FD P ⇒ e ∈ P0 ⇒ DF A ⊑FD P after✓ e⟩
by (cases e, simp add: Aftertick-def DF-FD-After)
(metis anti-mono-initials-FD eventp tick.distinct(1) image-iff initials-DF subsetD)

```

**lemma**  $\text{DF}_S K I P S\text{-FD-After}_{\text{tick}}:$

```

⟨DFS K I P S A R ⊑FD P ⇒ ev a ∈ P0 ⇒ DFS K I P S A R ⊑FD P after✓ ev a⟩
by (simp add: Aftertick-def DFS K I P S-FD-After)

```

```

lemma deadlock-free- $\text{After}_{\text{tick}}$ :
   $\langle e \in P^0 \Rightarrow \text{deadlock-free } P \Rightarrow$ 
   $\text{deadlock-free } (P \text{ after } \checkmark e) \longleftrightarrow$ 
   $(\text{case } e \text{ of ev } a \Rightarrow \text{True} \mid \checkmark(r) \Rightarrow \text{deadlock-free } (\Omega P r))\rangle$ 
  using deadlock-free- $\text{After}$  by (auto simp add:  $\text{After}_{\text{tick}}$ -def split: eventp $\text{tick}$ .split)

lemma deadlock-freeSKIPS- $\text{After}_{\text{tick}}$ :
   $\langle e \in P^0 \Rightarrow \text{deadlock-free}_{\text{SKIPS}} P \Rightarrow$ 
   $\text{deadlock-free}_{\text{SKIPS}} (P \text{ after } \checkmark e) \longleftrightarrow$ 
   $(\text{case } e \text{ of ev } a \Rightarrow \text{True} \mid \checkmark(r) \Rightarrow \text{deadlock-free}_{\text{SKIPS}} (\Omega P r))\rangle$ 
  using deadlock-freeSKIPS- $\text{After}$  by (auto simp add:  $\text{After}_{\text{tick}}$ -def split: eventp $\text{tick}$ .split)

```

#### 4.1.8 Characterizations for Deadlock Freeness

```

lemma deadlock-free-imp-not-initial-tick:  $\langle \text{deadlock-free } P \Rightarrow \text{range tick} \cap P^0 = \{\}\rangle$ 
  by (rule ccontr, simp add: disjoint-iff)
    (meson anti-mono-initials-FD deadlock-free-def initial-tick-iff-FD-SKIP non-deadlock-free-SKIP subsetD)

lemma initial-tick-imp-range-ev-in-refusals:  $\langle \checkmark(r) \in P^0 \Rightarrow \text{range ev} \in \mathcal{R} P \rangle$ 
  unfolding initials-def image-def
  by (simp add: Refusals-iff is-processT6-TR-notin)

lemma deadlock-free- $\text{After}_{\text{tick}}$ -characterization:
   $\langle \text{deadlock-free } P \longleftrightarrow \text{range ev} \notin \mathcal{R} P \wedge (\forall e. \text{ ev } e \in P^0 \longrightarrow \text{deadlock-free } (P \text{ after } \checkmark e))\rangle$ 
  (is  $\langle \text{deadlock-free } P \longleftrightarrow ?rhs\rangle$ )
  proof (intro iffI)
    from eventp $\text{tick}$ .exhaust-sel have  $\langle \text{range ev} = \text{UNIV} - \text{range tick} \rangle$  by blast
    hence  $\langle \text{range ev} \notin \mathcal{R} P \longleftrightarrow \text{UNIV} - \text{range tick} \notin \mathcal{R} P \rangle$  by metis
    thus  $\langle \text{deadlock-free } P \Rightarrow ?rhs\rangle$ 
    by (intro conjI)
      (solves  $\langle \text{simp add: deadlock-free-F failure-refine-def, subst (asm) F-DF, auto simp add: Refusals-iff, use DF-FD-After}_{\text{tick}} \text{ deadlock-free-def in blast}\rangle$ 
      )
    next
      assume  $\langle ?rhs\rangle$ 
      hence  $* : \langle \text{range ev} \notin \mathcal{R} P \rangle$ 
         $\langle \text{ev } e \in P^0 \Rightarrow \{(s, X) \mid s X. (\text{ev } e \# s, X) \in \mathcal{F} P\} \subseteq \mathcal{F} (\text{DF UNIV}) \rangle$  for e
        by (simp-all add: deadlock-free-F failure-refine-def F- $\text{After}_{\text{tick}}$  subset-iff)
      show  $\langle \text{deadlock-free } P \rangle$ 
      proof (unfold deadlock-free-F failure-refine-def, safe)
        fix s X
        assume  $** : \langle (s, X) \in \mathcal{F} P \rangle$ 
        show  $\langle (s, X) \in \mathcal{F} (\text{DF UNIV}) \rangle$ 

```

```

proof (cases s)
  show  $\langle s = [] \implies (s, X) \in \mathcal{F} (DF\ UNIV) \rangle$ 
    by (subst F-DF, simp)
      (metis *(1) ** Refusals-iff image-subset-iff is-processT4)
next
  fix e s'
  assume *** :  $\langle s = e \# s' \rangle$ 
  from **[THEN F-T, simplified this, THEN initials-memI]
    initial-tick-imp-range-ev-in-refusals *(1)
  obtain x where  $\langle e = ev\ x \rangle \langle ev\ x \in P^0 \rangle$ 
    by (cases e) (simp-all add: initial-tick-imp-range-ev-in-refusals)
  with *(2)[OF this(2)] *** *** show  $\langle (s, X) \in \mathcal{F} (DF\ UNIV) \rangle$ 
    by (subst F-DF) (simp add: subset-iff)
  qed
qed
qed

```

**lemma** deadlock-free<sub>SKIP-S</sub>-After<sub>tick</sub>-characterization:

$$\langle \text{deadlock-free}_{\text{SKIP-S}} P \longleftrightarrow \text{UNIV} \notin \mathcal{R} P \wedge (\forall e \in P^0 - \text{range tick}. \text{deadlock-free}_{\text{SKIP-S}} (P \text{ after}_\checkmark e)) \rangle$$

$$(\text{is } \langle \text{deadlock-free}_{\text{SKIP-S}} P \longleftrightarrow ?rhs \rangle)$$

**proof** (*intro iffI*)

**show** ?rhs **if**  $\langle \text{deadlock-free}_{\text{SKIP-S}} P \rangle$

**proof** (*intro conjI*)

**from**  $\langle \text{deadlock-free}_{\text{SKIP-S}} P \rangle$  **show**  $\langle \text{UNIV} \notin \mathcal{R} P \rangle$

**by** (*simp add: deadlock-free<sub>SKIP-S</sub>-def failure-refine-def*)

(*subst (asm) F-DF<sub>SKIP-S</sub>, auto simp add: Refusals-iff*)

**next**

**from**  $\langle \text{deadlock-free}_{\text{SKIP-S}} P \rangle$  **show**  $\langle \forall e \in P^0 - \text{range tick}. \text{deadlock-free}_{\text{SKIP-S}} (P \text{ after}_\checkmark e) \rangle$

**by** (*auto simp add: deadlock-free<sub>SKIP-S</sub>-After<sub>tick</sub> split: event<sub>p tick</sub>.split*)

**qed**

**next**

**assume** *assm* :  $\langle ?rhs \rangle$

**have** \* :  $\langle ev\ e \in P^0 \implies \{(s, X) | s\ X. (ev\ e \# s, X) \in \mathcal{F}\ P\} \subseteq \mathcal{F} (DF_{\text{SKIP-S}} \text{UNIV}\ \text{UNIV}) \rangle$  **for** *e*

**using** *assm*[THEN conjunct2, rule-format, of  $\langle ev\ e \rangle$ , simplified]

**by** (*simp add: deadlock-free<sub>SKIP-S</sub>-def failure-refine-def F-After<sub>tick</sub> image-iff*)

**show**  $\langle \text{deadlock-free}_{\text{SKIP-S}} P \rangle$

**proof** (*unfold deadlock-free<sub>SKIP-S</sub>-def failure-refine-def, safe*)

**fix** *s X*

**assume** \*\* :  $\langle (s, X) \in \mathcal{F}\ P \rangle$

**show**  $\langle (s, X) \in \mathcal{F} (DF_{\text{SKIP-S}} \text{UNIV}\ \text{UNIV}) \rangle$

**proof** (*cases s*)

**from** *assm*[THEN conjunct1] \*\* **show**  $\langle s = [] \implies (s, X) \in \mathcal{F} (DF_{\text{SKIP-S}} \text{UNIV}\ \text{UNIV}) \rangle$

**by** (*subst F-DF<sub>SKIP-S</sub>, simp add: Refusals-iff*)

```

  (metis UNIV-eq-I eventptick.exhaust)
next
  fix e s'
  assume *** : ⟨s = e # s'⟩
  show ⟨(s, X) ∈ F (DFSKIP UNIV UNIV)⟩
  proof (cases e)
    fix a assume ⟨e = ev a⟩
    with ** *** initials-memI F-T have ⟨ev a ∈ P0⟩ by blast
    with *[OF this] ** *** ⟨e = ev a⟩ show ⟨(s, X) ∈ F (DFSKIP UNIV
UNIV)⟩
    by (subst F-DFSKIP) (simp add: subset-iff)
next
  fix r assume ⟨e = ✓(r)⟩
  hence ⟨s = [✓(r)]⟩
  by (metis ** *** eventptick.disc(2) front-tickFree-Cons-iff is-processT2)
  thus ⟨(s, X) ∈ F (DFSKIP UNIV UNIV)⟩
  by (subst F-DFSKIP, simp)
qed
qed
qed
qed

```

#### 4.1.9 Continuity

```

lemma Aftertick-cont [simp] :
  assumes cont-ΨΩ : ⟨case e of ev a ⇒ cont (λP. Ψ P a) | ✓(r) ⇒ cont (λP. Ω
P r)⟩
  and cont-f : ⟨cont f⟩
  shows ⟨cont (λx. f x after✓ e)⟩
  by (cases e, simp-all add: Aftertick-def)
  (use After-cont cont-ΨΩ cont-f in (auto intro: cont-compose))

```

end

## 4.2 The After trace Operator

```

context AfterExt
begin

```

#### 4.2.1 Definition

We just defined  $P \text{ after } e$  for  $P::('a, 'r) \text{ process}_{\text{ptick}}$  and  $e::('a, 'r) \text{ event}_{\text{ptick}}$ . Since a trace of a  $P$  is just an  $('a, 'r) \text{ event}_{\text{ptick}} \text{ list}$ , the following inductive

definition is natural.

```
fun Aftertrace :: <('a, 'r) processptick ⇒ ('a, 'r) traceptick ⇒ ('a, 'r) processptick>
(infixl ‹afterT› 86)
  where ‹P afterT [] = P›
    | ‹P afterT (e # t) = P after✓ e afterT t›
```

We can also induct backward.

```
lemma Aftertrace-append: ‹P afterT (t @ u) = P afterT t afterT u›
  apply (induct u rule: rev-induct, solves ‹simp›)
  apply (induct t rule: rev-induct, solves ‹simp›)
  by (metis Aftertrace.simps append.assoc append.right-neutral append-Cons append-Nil)

lemma Aftertrace-snoc : ‹P afterT (t @ [e]) = P afterT t after✓ e›
  by (simp add: Aftertrace-append)
```

#### 4.2.2 Projections

```
lemma F-Aftertrace:
  ‹tF t ⇒ (t @ u, X) ∈ F P ⇒ (u, X) ∈ F (P afterT t)›
proof (induct t arbitrary: u rule: rev-induct)
  show ‹([] @ u, X) ∈ F P ⇒ (u, X) ∈ F (P afterT [])› for u by simp
next
  fix e t u
  assume hyp : ‹tF t ⇒ (t @ u, X) ∈ F P ⇒ (u, X) ∈ F (P afterT t)› for u
  assume prems : ‹tF (t @ [e])› ‹((t @ [e]) @ u, X) ∈ F P›
  have * : ‹(e # u, X) ∈ F (P afterT t)› by (rule hyp; use prems in simp)
  thus ‹(u, X) ∈ F (P afterT (t @ [e]))›
    by (simp add: Aftertrace-snoc F-Aftertick.split: eventptick.split)
      (metis initials-memI F-T non-tickFree-tick prems(1) tickFree-append-iff)
qed
```

```
lemma D-Aftertrace:
  ‹tF t ⇒ t @ u ∈ D P ⇒ u ∈ D (P afterT t)›
proof (induct t arbitrary: u rule: rev-induct)
  show ‹([] @ u ∈ D P ⇒ u ∈ D (P afterT []))› for u by simp
next
  fix e t u
  assume hyp : ‹tF t ⇒ t @ u ∈ D P ⇒ u ∈ D (P afterT t)› for u
  assume prems : ‹tF ((t @ [e]))› ‹(t @ [e]) @ u ∈ D P›
  have * : ‹e # u ∈ D (P afterT t)› by (rule hyp; use prems in simp)
  thus ‹u ∈ D (P afterT (t @ [e]))›
    by (simp add: Aftertrace-snoc D-Aftertick.split: eventptick.split)
      (metis initials-memI D-T non-tickFree-tick prems(1) tickFree-append-iff)
qed
```

```
lemma T-Aftertrace : ‹t @ u ∈ T P ⇒ u ∈ T (P afterT t)›
```

```

proof (induct t arbitrary: u rule: rev-induct)
  show  $\langle [] @ u \in \mathcal{T} P \implies u \in \mathcal{T} (P \text{ after}_{\mathcal{T}} []) \rangle$  for u by simp
next
  fix e t u
  assume hyp :  $\langle t @ u \in \mathcal{T} P \implies u \in \mathcal{T} (P \text{ after}_{\mathcal{T}} t) \rangle$  for u
  assume prem :  $\langle (t @ [e]) @ u \in \mathcal{T} P \rangle$ 
  have * :  $\langle e \# u \in \mathcal{T} (P \text{ after}_{\mathcal{T}} t) \rangle$  by (rule hyp, use prem in simp)
  thus  $\langle u \in \mathcal{T} (P \text{ after}_{\mathcal{T}} (t @ [e])) \rangle$ 
    by (simp add: After_trace-snoc T-After_tick split: event_ptick.split)
      (metis initials-memI append-T-imp-tickFree
       is-processT1-TR non-tickFree-tick prem tickFree-append-iff)
qed

```

**corollary** *initials-After\_trace* :

$$\langle t @ e \# u \in \mathcal{T} P \implies e \in (P \text{ after}_{\mathcal{T}} t)^0 \rangle$$
**by** (*metis initials-memI T-After\_trace*)

**corollary** *F-imp-R-After\_trace*:  $\langle tF t \implies (t, X) \in \mathcal{F} P \implies X \in \mathcal{R} (P \text{ after}_{\mathcal{T}} t) \rangle$ 
**by** (*simp add: F-After\_trace Refusals-iff*)

**corollary** *D-imp-After\_trace-is-BOT*:  $\langle tF t \implies t \in \mathcal{D} P \implies P \text{ after}_{\mathcal{T}} t = \perp \rangle$ 
**by** (*simp add: BOT-iff-Nil-D D-After\_trace*)

**lemma** *F-After\_trace-eq*:

$$\langle t \in \mathcal{T} P \implies tF t \implies \mathcal{F} (P \text{ after}_{\mathcal{T}} t) = \{(u, X). (t @ u, X) \in \mathcal{F} P\} \rangle$$
**proof** *safe*
**show**  $\langle t \in \mathcal{T} P \implies tF t \implies (u, X) \in \mathcal{F} (P \text{ after}_{\mathcal{T}} t) \implies (t @ u, X) \in \mathcal{F} P \rangle$ 
**for** *u X*
**proof** (*induct t arbitrary: u rule: rev-induct*)
 **show**  $\langle (u, X) \in \mathcal{F} (P \text{ after}_{\mathcal{T}} []) \implies ([] @ u, X) \in \mathcal{F} P \rangle$  **for** *u* **by** *simp*
**next**
**case** (*snoc e t*)
 **from** *initials-After\_trace snoc.prems(1)* **have** \* :  $\langle e \in (P \text{ after}_{\mathcal{T}} t)^0 \rangle$  **by** *blast*
**obtain** *a* **where**  $\langle e = ev a \rangle$ 
**by** (*metis event\_ptick.exhaust non-tickFree-tick snoc.prems(2) tickFree-append-iff*)
 **show** ?case
 **apply** (*simp, rule snoc.hyps*)
 **apply** (*metis prefixI is-processT3-TR snoc.prems(1)*)
 **apply** (*use snoc.prems(2) tickFree-append-iff in blast*)
 **using** *snoc.prems(3)* \* **by** (*simp add: After\_trace-snoc F-After\_tick e = ev a*)
 **qed**
**next**
**show**  $\langle tF t \implies (t @ u, X) \in \mathcal{F} P \implies (u, X) \in \mathcal{F} (P \text{ after}_{\mathcal{T}} t) \rangle$  **for** *u X*
**by** (*fact F-After\_trace*)
 **qed**

```

lemma D-Aftertrace-eq:
  ‹t ∈ T P ⟹ tF t ⟹ D (P afterT t) = {u. t @ u ∈ D P}›
proof safe
  show ‹t ∈ T P ⟹ tF t ⟹ u ∈ D (P afterT t) ⟹ t @ u ∈ D P› for u
  proof (induct t arbitrary: u rule: rev-induct)
    show ‹u ∈ D (P afterT []) ⟹ [] @ u ∈ D P› for u by simp
  next
    case (snoc e t)
    from initials-Aftertrace snoc.prems(1) have * : ‹e ∈ (P afterT t)0› by blast
    obtain a where ‹e = ev a›
    by (metis eventptick.exhaust non-tickFree-tick snoc.prems(2) tickFree-append-iff)
    show ?case
      apply (simp, rule snoc.hyps)
      apply (metis prefixI is-processT3-TR snoc.prems(1))
      using * Aftertick-def Aftertrace-snoc D-After ‹e = ev a› snoc.prems(2, 3)
    by auto
  qed
  next
    show ‹tF t ⟹ t @ u ∈ D P ⟹ u ∈ D (P afterT t)› for u by (fact D-Aftertrace)
  qed

lemma T-Aftertrace-eq:
  ‹t ∈ T P ⟹ tF t ⟹ T (P afterT t) = {u. t @ u ∈ T P}›
proof safe
  show ‹t ∈ T P ⟹ tF t ⟹ u ∈ T (P afterT t) ⟹ t @ u ∈ T P› for u
  proof (induct t arbitrary: u rule: rev-induct)
    show ‹u ∈ T (P afterT []) ⟹ [] @ u ∈ T P› for u by simp
  next
    case (snoc e t)
    from initials-Aftertrace snoc.prems(1) have * : ‹e ∈ (P afterT t)0› by blast
    obtain a where ‹e = ev a›
    by (metis eventptick.exhaust non-tickFree-tick snoc.prems(2) tickFree-append-iff)
    show ?case
      apply (simp, rule snoc.hyps)
      apply (meson prefixI is-processT3-TR snoc.prems(1))
      using * Aftertick-def Aftertrace-snoc T-After ‹e = ev a› snoc.prems(2, 3)
    by auto
  qed
  next
    show ‹t @ u ∈ T P ⟹ u ∈ T (P afterT t)› for u by (fact T-Aftertrace)
  qed

```

### 4.2.3 Monotony

### 4.2.4 Four inductive Constructions with $After_{trace}$

#### Reachable Processes

```

inductive-set reachable-processes :: <('a, 'r) processptick ⇒ ('a, 'r) processptick
set> (< $\mathcal{R}_{proc}$ >)
  for P :: <('a, 'r) processptick>
  where reachable-self : < $P \in \mathcal{R}_{proc} P$ >
    | reachable-after: < $Q \in \mathcal{R}_{proc} P \Rightarrow ev e \in Q^0 \Rightarrow Q \text{ after } e \in \mathcal{R}_{proc} P$ >

lemma reachable-processes-is: < $\mathcal{R}_{proc} P = \{Q. \exists t \in \mathcal{T} P. tF t \wedge Q = P \text{ after}_{\mathcal{T}} t\}$ >
proof safe
  show < $Q \in \mathcal{R}_{proc} P \Rightarrow \exists t \in \mathcal{T} P. tF t \wedge Q = P \text{ after}_{\mathcal{T}} t$ > for Q
  proof (induct rule: reachable-processes.induct)
    show < $\exists t \in \mathcal{T} P. tF t \wedge P = P \text{ after}_{\mathcal{T}} t$ >
    proof (intro bexI)
      show < $tF [] \wedge P = P \text{ after}_{\mathcal{T}} []$ > by simp
    next
      show < $[] \in \mathcal{T} P$ > by simp
    qed
  next
    fix Q e
    assume assms : < $Q \in \mathcal{R}_{proc} P \wedge \exists t \in \mathcal{T} P. tF t \wedge Q = P \text{ after}_{\mathcal{T}} t \wedge \langle ev e \in Q^0 \rangle$ >
    from assms(2) obtain t where * : < $t \in \mathcal{T} P \wedge Q = P \text{ after}_{\mathcal{T}} t$ > by blast
    show < $\exists t \in \mathcal{T} P. tF t \wedge Q \text{ after } e = P \text{ after}_{\mathcal{T}} t$ >
    proof (intro bexI)
      show < $tF (t @ [ev e]) \wedge Q \text{ after } e = P \text{ after}_{\mathcal{T}} (t @ [ev e])$ >
        by (simp add: Aftertrace-snoc Aftertick-def *(1, 3))
    next
      from * T-Aftertrace-eq assms(3) initials-def
      show < $t @ [ev e] \in \mathcal{T} P$ > by fastforce
    qed
  qed
  next
  show < $t \in \mathcal{T} P \Rightarrow tF t \Rightarrow P \text{ after}_{\mathcal{T}} t \in \mathcal{R}_{proc} P$ > for t
  proof (induct t rule: rev-induct)
    show < $P \text{ after}_{\mathcal{T}} [] \in \mathcal{R}_{proc} P$ > by (simp add: reachable-self)
  next
    fix t e
    assume hyp : < $t \in \mathcal{T} P \Rightarrow tF t \Rightarrow P \text{ after}_{\mathcal{T}} t \in \mathcal{R}_{proc} P$ >
    and prems : < $t @ [e] \in \mathcal{T} P \wedge tF (t @ [e])$ >
    from prems T-F-spec is-processT3 tickFree-append-iff
    have * : < $t \in \mathcal{T} P \wedge tF t$ > by blast+
    obtain a where < $e = ev a$ >
      by (metis eventptick.exhaust non-tickFree-tick prems(2) tickFree-append-iff)

```

```

thus  $\langle P \text{ after}_{\mathcal{T}} (t @ [e]) \in \mathcal{R}_{\text{proc}} P \rangle$ 
  by (simp add: After_trace-snoc After_tick-def)
  (use initials-After_trace prems(1) in
   ⟨blast intro: initials-After_trace prems(1) reachable-after[OF hyp[OF *]]⟩)
qed
qed

lemma reachable-processes-trans:  $\langle Q \in \mathcal{R}_{\text{proc}} P \implies R \in \mathcal{R}_{\text{proc}} Q \implies R \in \mathcal{R}_{\text{proc}} P \rangle$ 
apply (simp add: reachable-processes-is, elim bexE)
apply (simp add: After_trace-append[symmetric] T-After_trace-eq)
using tickFree-append-iff by blast

```

## Antecedent Processes

```

inductive-set antecedent-processes ::  $\langle ('a, 'r) \text{ process}_{\text{ptick}} \Rightarrow ('a, 'r) \text{ process}_{\text{ptick}}$ 
set  $\langle \mathcal{A}_{\text{proc}} \rangle$ 
for  $P :: \langle ('a, 'r) \text{ process}_{\text{ptick}} \rangle$ 
where antecedent-self :  $\langle P \in \mathcal{A}_{\text{proc}} P \rangle$ 
| antecedent-after:  $\langle Q \text{ after } e \in \mathcal{A}_{\text{proc}} P \implies \text{ev } e \in Q^0 \implies Q \in \mathcal{A}_{\text{proc}} P \rangle$ 

lemma antecedent-processes-is:  $\langle \mathcal{A}_{\text{proc}} P = \{Q. \exists t \in \mathcal{T}. Q. tF t \wedge P = Q \text{ after}_{\mathcal{T}} t\} \rangle$ 
proof safe
have  $\langle Q \in \mathcal{A}_{\text{proc}} P \implies P \in \mathcal{R}_{\text{proc}} Q \rangle$  for  $Q$ 
proof (induct rule: antecedent-processes.induct)
show  $\langle P \in \mathcal{R}_{\text{proc}} P \rangle$  by (fact reachable-self)
next
show  $\langle Q \text{ after } e \in \mathcal{A}_{\text{proc}} P \implies P \in \mathcal{R}_{\text{proc}} (Q \text{ after } e) \implies \text{ev } e \in Q^0 \implies P \in \mathcal{R}_{\text{proc}} Q \rangle$  for  $Q e$ 
  by (meson reachable-after reachable-self reachable-processes-trans)
qed
thus  $\langle Q \in \mathcal{A}_{\text{proc}} P \implies \exists t \in \mathcal{T}. Q. tF t \wedge P = Q \text{ after}_{\mathcal{T}} t \rangle$  for  $Q$ 
  by (simp add: reachable-processes-is)
next
show  $\langle t \in \mathcal{T}. Q \implies tF t \implies Q \in \mathcal{A}_{\text{proc}} (Q \text{ after}_{\mathcal{T}} t) \rangle$  for  $t Q$ 
proof (induct t arbitrary: Q)
show  $\langle Q \in \mathcal{A}_{\text{proc}} (Q \text{ after}_{\mathcal{T}} []) \rangle$  for  $Q$  by (simp add: antecedent-self)
next
fix  $e t Q$ 
assume hyp :  $\langle \bigwedge Q. t \in \mathcal{T}. Q \implies tF t \implies Q \in \mathcal{A}_{\text{proc}} (Q \text{ after}_{\mathcal{T}} t) \rangle$ 
and prems :  $\langle e \# t \in \mathcal{T}. Q \rangle \langle tF (e \# t) \rangle$ 
from prems obtain a where  $\langle e = \text{ev } a \rangle \langle \text{ev } a \in Q^0 \rangle$ 
  by (metis initials-memI is-ev-def tickFree-Cons-iff)
with prems have  $\langle t \in \mathcal{T}. (Q \text{ after } a) \rangle \langle tF t \rangle$  by (simp-all add: T-After)
from hyp[OF this] have  $\langle Q \text{ after } a \in \mathcal{A}_{\text{proc}} (Q \text{ after}_{\mathcal{T}} (e \# t)) \rangle$ 
  by (simp add: After_tick-def ⟨e = ev a⟩)
from this ⟨ev a ∈ Q0⟩ show  $\langle Q \in \mathcal{A}_{\text{proc}} (Q \text{ after}_{\mathcal{T}} (e \# t)) \rangle$ 

```

```

    by (fact antecedent-after)
qed
qed

lemma antecedent-processes-trans: ‹Q ∈ Aproc P ⇒ R ∈ Aproc Q ⇒ R ∈ Aproc
P›
apply (simp add: antecedent-processes-is, elim bexE)
apply (simp add: Aftertrace-append[symmetric] T-Aftertrace-eq)
using tickFree-append-iff by blast

corollary antecedent-processes-iff-rev-reachable-processes: ‹P ∈ Aproc Q ←→ Q ∈
Rproc P›
by (simp add: antecedent-processes-is reachable-processes-is)

```

#### 4.2.5 Nth initials Events

```

primrec nth-initials :: ‹('a, 'r) processptick ⇒ nat ⇒ ('a, 'r) eventptick set› (‐‐)
[1000, 3] 999)
where ‹P0 = P0
      | ‹PSuc n = ∪ {(P after e)n | e. ev e ∈ P0}›

```

```

lemma ‹P0 = P0› by simp
lemma first-initials-is : ‹P1 = ∪ {(P after e)0 | e. ev e ∈ P0}› by simp

```

```

lemma second-initials-is :
  ‹P2 = ∪ {(P after e after f)0 | e f. ev e ∈ P0 ∧ ev f ∈ (P after e)0}›
  by (auto simp add: numeral-eq-Suc)

```

```

lemma third-initials-is :
  ‹P3 = ∪ {(P after e after f after g)0 | e f g.
               ev e ∈ P0 ∧ ev f ∈ (P after e)0 ∧ ev g ∈ (P after e after f)0}›
  by (auto simp add: numeral-eq-Suc)

```

More generally, we have the following result.

```

lemma nth-initials-is: ‹Pn = ∪ {(P afterT t)0 | t. t ∈ T P ∧ tF t ∧ length t =
n}›
proof (induct n arbitrary: P)
  show ‹P0 = ∪ {(P afterT t)0 | t. t ∈ T P ∧ tF t ∧ length t = 0}› for P by
  simp
next
  fix n P
  assume hyp : ‹Pn = ∪ {(P afterT t)0 | t. t ∈ T P ∧ tF t ∧ length t = n}› for
  P
  show ‹PSuc n = ∪ {(P afterT t)0 | t. t ∈ T P ∧ tF t ∧ length t = Suc n}›
  proof safe
    show ‹e ∈ PSuc n ⇒ e ∈ ∪ {(P afterT t)0 | t. t ∈ T P ∧ tF t ∧ length t =
```

```

Suc n}› for e
  by (auto simp add: hyp T-After)
  (metis (no-types, lifting) AfterExt.Aftertick-def AfterExt.Aftertrace.simps(2)
   eventptick.simps(5) is-ev-def length-Cons tickFree-Cons-iff)
next
  fix e t
  assume assms : ‹e ∈ (P afterT t)0› ‹t ∈ T P› ‹tF t› ‹length t = Suc n›
  from assms(1–3) have * : ‹t @ [e] ∈ T P›
    unfolding initials-def by (simp add: T-Aftertrace-eq)
  from assms(3, 4) obtain a t' where ** : ‹t = ev a # t'› ‹tF t'› ‹length t' =
n›
    by (metis Suc-length-conv eventptick.collapse(1) tickFree-Cons-iff)
  with initials-memI assms(2) have ‹ev a ∈ P0› by blast
  show ‹e ∈ PSuc n›
  proof (unfold nth-initials.simps(2), rule UnionI)
    from ‹ev a ∈ P0› show ‹(P after a)n ∈ {(P after e)n | e. ev e ∈ P0}› by blast
  next
    from assms(1, 2) **(1–3) ‹ev a ∈ P0›
    show ‹e ∈ (P after a)n› by (auto simp add: hyp **(1) Aftertick-def T-After)
  qed
qed
qed

```

**lemma** nth-initials-DF: ‹(DF A)<sup>n</sup> = ev ‘A›  
**by** (induct n) (auto simp add: initials-DF After-DF)

**lemma** nth-initials-DF<sub>SKIP</sub>S: ‹(DF<sub>SKIP</sub>S A R)<sup>n</sup> = (if A = {} then if n = 0 then tick ‘R else {}) else ev ‘A ∪ tick ‘R)›  
**by** (induct n) (auto simp add: initials-DF<sub>SKIP</sub>S After-DF<sub>SKIP</sub>S)

**lemma** nth-initials-RUN: ‹(RUN A)<sup>n</sup> = ev ‘A›  
**by** (induct n) (auto simp add: initials-RUN After-RUN)

**lemma** nth-initials-CHAOS: ‹(CHAOS A)<sup>n</sup> = ev ‘A›  
**by** (induct n) (auto simp add: initials-CHAOS After-CHAOS)

**lemma** nth-initials-CHAOS<sub>SKIP</sub>S: ‹(CHAOS<sub>SKIP</sub>S A R)<sup>n</sup> = (if A = {} then if n = 0 then tick ‘R else {}) else ev ‘A ∪ tick ‘R)›  
**by** (induct n) (auto simp add: initials-CHAOS<sub>SKIP</sub>S After-CHAOS<sub>SKIP</sub>S)

## Reachable Ev

**inductive** reachable-ev :: ‹('a, 'r) process<sub>ptick</sub> ⇒ 'a ⇒ bool›  
**where** initial-ev-reachable:  
 ‹ev a ∈ P<sup>0</sup> ⇒ reachable-ev P a›  
 | reachable-ev-after-reachable:

$\langle ev\ b \in P^0 \implies \text{reachable-ev } (P \text{ after } b) a \implies \text{reachable-ev } P a \rangle$

**definition**  $\text{reachable-ev-set} :: \langle ('a, 'r) \text{ process}_\text{ptick} \Rightarrow 'a \text{ set} \rangle (\langle \mathcal{R}_{ev} \rangle)$   
**where**  $\langle \mathcal{R}_{ev} P \rangle = \bigcup Q \in \mathcal{R}_{proc} P. \{a. ev a \in Q^0\}$

**lemma**  $\text{reachable-ev-BOT} : \langle \text{reachable-ev } \perp a \rangle$   
**and**  $\text{not-reachable-ev-STOP} : \langle \neg \text{reachable-ev } STOP a \rangle$   
**and**  $\text{not-reachable-ev-SKIP} : \langle \neg \text{reachable-ev } (SKIP r) a \rangle$   
**by** (*subst reachable-ev.simps, simp*) +

**lemma**  $\text{events-of-iff-reachable-ev} : \langle a \in \alpha(P) \longleftrightarrow \text{reachable-ev } P a \rangle$   
**proof** (*intro iffI*)  
**show**  $\langle \text{reachable-ev } P a \implies a \in \alpha(P) \rangle$   
**apply** (*induct rule: reachable-ev.induct;*  
*simp add: T-After events-of-def initials-def split: if-split-asm*)  
**by** (*meson list.set-intros(1)*) (*meson list.set-intros(2)*)  
**next**  
**assume**  $\langle a \in \alpha(P) \rangle$   
**then obtain**  $t$  **where**  $* : \langle t \in \mathcal{T} P \rangle \langle ev a \in \text{set } t \rangle$  **by** (*meson events-of-memD*)  
**thus**  $\langle \text{reachable-ev } P a \rangle$   
**proof** (*induct t arbitrary: P*)  
**show**  $\langle \bigwedge P a. ev a \in \text{set } [] \implies \text{reachable-ev } P a \rangle$  **by** *simp*  
**next**  
**case** (*Cons e t*)  
**from** *Cons.prems(1) initials-memI*  
**have**  $* : \langle e \in P^0 \rangle$  **unfolding** *initials-def* **by** *blast*  
**from** *Cons.prems(2) consider*  $\langle e = ev a \mid ev a \in \text{set } t \rangle$  **by** *auto*  
**thus**  $\langle \text{reachable-ev } P a \rangle$   
**proof cases**  
**assume**  $\langle e = ev a \rangle$   
**from**  $*[\text{simplified this}]$   
**show**  $\langle \text{reachable-ev } P a \rangle$  **by** (*fact reachable-ev.intros(1)*)  
**next**  
**show**  $\langle \text{reachable-ev } P a \rangle$  **if**  $\langle ev a \in \text{set } t \rangle$   
**proof** (*cases e*)  
**fix**  $b$   
**assume**  $\langle e = ev b \rangle$   
**with**  $* \text{ Cons.prems(1) have } \langle t \in \mathcal{T} (P \text{ after } b) \rangle$  **by** (*force simp add: T-After*)  
**show**  $\langle \text{reachable-ev } P a \rangle$   
**proof** (*rule reachable-ev.intros(2)*)  
**from**  $* \langle e = ev b \rangle$  **show**  $\langle ev b \in P^0 \rangle$  **by** *blast*  
**next**  
**show**  $\langle \text{reachable-ev } (P \text{ after } b) a \rangle$   
**by** (*fact Cons.hyps[OF  $\langle t \in \mathcal{T} (P \text{ after } b) \rangle \langle ev a \in \text{set } t \rangle$ ]*)  
**qed**  
**next**  
**from** *Cons.prems(1) have*  $\langle e = \checkmark(r) \implies t = [] \rangle$  **for**  $r$

```

by simp (meson T-imp-front-tickFree eventptick.disc(2) front-tickFree-Cons-iff)
  with <ev a ∈ set t> show <e = ✓(r) ==> reachable-ev P a> for r by simp
qed
qed
qed
qed

lemma reachable-ev-iff-in-initials-Aftertrace-for-some-tickFree-T:
  <reachable-ev P a <=> (∃ t ∈ T P. tF t ∧ ev a ∈ (P afterT t)0)>
proof (intro iffI)
  show <reachable-ev P a ==> ∃ t ∈ T P. tF t ∧ ev a ∈ (P afterT t)0>
  proof (induct rule: reachable-ev.induct)
    show <ev a ∈ P0 ==> ∃ t ∈ T P. tF t ∧ ev a ∈ (P afterT t)0> for a P
    proof (intro bexI)
      show <ev a ∈ P0 ==> tF [] ∧ ev a ∈ (P afterT [])0> by simp
    next
      show <>[] ∈ T P> by (fact Nil-elem-T)
    qed
  next
    fix b a P
    assume prems : <ev b ∈ P0> <reachable-ev (P after b) a>
    and hyp : <∃ t ∈ T (P after b). tF t ∧ ev a ∈ (P after b afterT t)0>
    from hyp obtain t
      where * : <tF t> <t ∈ T (P after b)>
        <ev a ∈ (P after b afterT t)0> by blast
    show <∃ t ∈ T P. tF t ∧ ev a ∈ (P afterT t)0>
    proof (intro bexI)
      show <tF (ev b # t) ∧ ev a ∈ (P afterT (ev b # t))0>
        by (simp add: *(1, 3) Aftertick-def)
    next
      from *(2) show <ev b # t ∈ T P> by (simp add: T-After prems(1))
    qed
  qed
  next
    show <∃ t ∈ T P. tF t ∧ ev a ∈ (P afterT t)0 ==> reachable-ev P a>
    proof (elim bexE conjE)
      show <t ∈ T P ==> tF t ==> ev a ∈ (P afterT t)0 ==> reachable-ev P a> for t
      proof (induct t arbitrary: P)
        show <ev a ∈ (P afterT [])0 ==> reachable-ev P a> for P
          by (simp add: reachable-ev.intros(1))
      next
        fix e t P
        assume hyp : <t ∈ T P ==> tF t ==> ev a ∈ (P afterT t)0 ==>
          reachable-ev P a> for P
        assume prems : <tF (e # t)> <e # t ∈ T P> <ev a ∈ (P afterT (e # t))0>
        from prems(1) obtain b where <e = ev b> by (cases e; simp)
        with prems(2) have initial : <ev b ∈ P0> by (simp add: initials-memI)
        from reachable-ev-after-reachable[OF initial[simplified <e = ev b>]]

```

```

Aftertick-def T-After <e = ev b> hyp prems initial
show <reachable-ev P a> by auto
qed
qed
qed

```

## Properties

**corollary** *reachable-ev-set-is-mem-Collect-reachable-ev*:  
 $\langle \mathcal{R}_{ev} P = \{a. \text{reachable-ev } P a\} \rangle$   
**by** (*auto simp add: reachable-ev-set-def reachable-processes-is-reachable-ev-iff-in-initials-After<sub>trace</sub>-for-some-tickFree-T*)

**corollary** *events-of-is-reachable-ev-set*:  $\langle \alpha(P) = \mathcal{R}_{ev} P \rangle$   
**by** (*simp add: reachable-ev-set-is-mem-Collect-reachable-ev set-eq-iff events-of-iff-reachable-ev*)

**lemma** *events-of-reachable-processes-subset*:  $\langle Q \in \mathcal{R}_{proc} P \implies \alpha(Q) \subseteq \alpha(P) \rangle$   
**by** (*induct rule: reachable-processes.induct*)  
(*simp-all add: subset-iff events-of-iff-reachable-ev reachable-ev-after-reachable*)

**corollary** *events-of-antecedent-processes-superset*:  $\langle Q \in \mathcal{A}_{proc} P \implies \alpha(P) \subseteq \alpha(Q) \rangle$   
**by** (*simp add: antecedent-processes-iff-rev-reachable-processes events-of-reachable-processes-subset*)

**lemma** *events-of-is-Union-nth-initials*:  $\langle \alpha(P) = (\bigcup n. \{a. ev a \in P^n\}) \rangle$   
**by** (*auto simp add: nth-initials-is events-of-iff-reachable-ev reachable-ev-iff-in-initials-After<sub>trace</sub>-for-some-tickFree-T*)+

## Reachable Tick

**inductive** *reachable-tick* ::  $\langle ('a, 'r) \text{ process}_{ptick} \Rightarrow 'r \Rightarrow \text{bool} \rangle$   
**where** *initial-tick-reachable*:  
 $\langle \checkmark(r) \in P^0 \implies \text{reachable-tick } P r \rangle$   
| *reachable-tick-after-reachable*:  
 $\langle ev a \in P^0 \implies \text{reachable-tick } (P \text{ after } a) r \implies \text{reachable-tick } P r \rangle$

**definition** *reachable-tick-set* ::  $\langle ('a, 'r) \text{ process}_{ptick} \Rightarrow 'r \text{ set} \rangle (\langle \mathcal{R}_{\checkmark} \rangle)$   
**where**  $\langle \mathcal{R}_{\checkmark} P \equiv \bigcup Q \in \mathcal{R}_{proc} P. \{r. \checkmark(r) \in Q^0\} \rangle$

**lemma** *reachable-tick-BOT* :  $\langle \text{reachable-tick } \perp r \rangle$   
**and** *not-reachable-tick-STOP* :  $\langle \neg \text{reachable-tick } STOP s \rangle$   
**and** *reachable-tick-SKIP-iff* :  $\langle \text{reachable-tick } (SKIP r) s \longleftrightarrow s = r \rangle$   
**by** (*subst reachable-tick.simps, simp*)+

**lemma** *ticks-of-iff-reachable-tick* :  $\langle r \in \checkmark s(P) \longleftrightarrow \text{reachable-tick } P r \rangle$   
**proof** (*intro iffI*)

```

show <reachable-tick P r ==> r ∈ ✓s(P)>
  apply (induct rule: reachable-tick.induct;
         simp add: T-After ticks-of-def initials-def split: if-split-asm)
  by (metis append-Nil, metis append-Cons)

next
  assume <r ∈ ✓s(P)>
  then obtain t where * : <t @ [✓(r)] ∈ T P> by (meson ticks-of-memD)
  thus <reachable-tick P r>
    proof (induct t arbitrary: P)
      show <>[] @ [✓(r)] ∈ T P ==> reachable-tick P r> for P
        by (simp add: initial-tick-reachable initials-memI)
    next
      case (Cons e t)
      obtain a where <e = ev a>
        by (meson Cons.preds append-T-imp-tickFree is-ev-def not-Cons-self2 tick-
Free-Cons-iff)
      moreover from Cons.preds have <e ∈ P0> by (auto intro: initials-memI)
      ultimately have <t @ [✓(r)] ∈ T (P after a)>
        using Cons.preds by (simp add: T-After <e = ev a>)
        with Cons.hyps have <reachable-tick (P after a) r> by blast
        with <e = ev a> <e ∈ P0> reachable-tick-after-reachable
        show <reachable-tick P r> by blast
    qed
qed
qed

lemma reachable-tick-iff-in-initials-Aftertrace-for-some-tickFree-T:
  <reachable-tick P r <=> (∃ t ∈ T P. tF t ∧ ✓(r) ∈ (P afterT t)0)>
proof (intro iff)
  show <reachable-tick P r ==> ∃ t ∈ T P. tF t ∧ ✓(r) ∈ (P afterT t)0>
  proof (induct rule: reachable-tick.induct)
    show <✓(r) ∈ P0 ==> ∃ t ∈ T P. tF t ∧ ✓(r) ∈ (P afterT t)0> for r P
    proof (intro bexI)
      show <✓(r) ∈ P0 ==> tF [] ∧ ✓(r) ∈ (P afterT [])0> by simp
    next
      show <>[] ∈ T P> by (fact Nil-elem-T)
    qed
  next
    fix a P r
    assume prems : <ev a ∈ P0, <reachable-tick (P after a) r>
      and hyp : <∃ t ∈ T (P after a). tF t ∧ ✓(r) ∈ (P after a afterT t)0>
    from hyp obtain t
      where * : <tF t, <t ∈ T (P after a)>
        <✓(r) ∈ (P after a afterT t)0> by blast
    show <∃ t ∈ T P. tF t ∧ ✓(r) ∈ (P afterT t)0>
    proof (intro bexI)
      show <tF (ev a # t) ∧ ✓(r) ∈ (P afterT (ev a # t))0>
        by (simp add: *(1, 3) Aftertick-def)
    next

```

```

from *(2) show <ev a # t ∈ T P> by (simp add: T-After prems(1))
qed
qed
next
show < ∃ t ∈ T. tF t ∧ ✓(r) ∈ (P afterT t)0 ⟹ reachable-tick P r>
proof (elim bexE conjE)
show <t ∈ T P ⟹ tF t ⟹ ✓(r) ∈ (P afterT t)0 ⟹ reachable-tick P r> for
t
proof (induct t arbitrary: P)
show <✓(r) ∈ (P afterT [])0 ⟹ reachable-tick P r> for P
by (simp add: initial-tick-reachable)
next
fix e t P
assume hyp : <t ∈ T P ⟹ tF t ⟹ ✓(r) ∈ (P afterT t)0 ⟹ reachable-tick
P r> for P
assume prems : <tF (e # t)> <e # t ∈ T P> <✓(r) ∈ (P afterT (e # t))0>
from prems(1) obtain a where <e = ev a> by (cases e; simp)
with prems(2) have initial : <ev a ∈ P0> by (simp add: initials-memI)
from reachable-tick-after-reachable[OF initial[simplified <e = ev a>]]
Aftertick-def T-After <e = ev a> hyp prems initial
show <reachable-tick P r> by auto
qed
qed
qed
qed

```

## Properties

**corollary** *reachable-tick-set-is-mem-Collect-reachable-tick* :  
 $\langle \mathcal{R}_{\checkmark} P = \{a. \text{reachable-tick } P a\} \rangle$   
**by** (auto simp add: reachable-tick-set-def reachable-processes-is  
reachable-tick-iff-in-initials-After<sub>trace</sub>-for-some-tickFree-T)

**corollary** *ticks-of-is-reachable-tick-set* :  $\langle \check{s}(P) = \mathcal{R}_{\checkmark} P \rangle$   
**by** (simp add: reachable-tick-set-is-mem-Collect-reachable-tick  
set-eq-iff ticks-of-iff-reachable-tick)

**lemma** *ticks-of-reachable-processes-subset* :  $\langle Q \in \mathcal{R}_{\text{proc}} P \Rightarrow \check{s}(Q) \subseteq \check{s}(P) \rangle$   
**by** (induct rule: reachable-processes.induct)  
(simp-all add: subset-iff ticks-of-iff-reachable-tick reachable-tick-after-reachable)

**corollary** *ticks-of-antecedent-processes-superset* :  $\langle Q \in \mathcal{A}_{\text{proc}} P \Rightarrow \check{s}(P) \subseteq \check{s}(Q) \rangle$   
**by** (simp add: antecedent-processes-iff-rev-reachable-processes  
ticks-of-reachable-processes-subset)

**lemma** *ticks-of-is-Union-nth-initials*:  $\langle \check{s}(P) = (\bigcup n. \{r. \check{s}(r) \in P^n\}) \rangle$   
**by** (auto simp add: nth-initials-is ticks-of-iff-reachable-tick  
reachable-tick-iff-in-initials-After<sub>trace</sub>-for-some-tickFree-T)+

#### 4.2.6 Characterizations for Deadlock Freeness

Remember that we have characterized  $\text{deadlock-free } P$  with an equality involving  $(\text{after}_\checkmark)$ :  $\text{deadlock-free } P = (\text{range ev} \notin \mathcal{R}_a P \wedge (\forall e. \text{ev } e \in P^0 \rightarrow \text{deadlock-free } (P \text{ after}_\checkmark \text{ ev } e)))$ . This can of course be derived in a characterization involving  $(\text{after}_T)$ .

```

lemma deadlock-free-Aftertrace-characterization:
  ‹deadlock-free P  $\longleftrightarrow$  ( $\forall t \in \mathcal{T}. \text{range ev} \notin \mathcal{R}_a P t \wedge (t \neq [] \rightarrow \text{deadlock-free } (P \text{ after}_T t)))$ ›
proof (intro iff)
  show ‹deadlock-free P  $\implies$   $\forall t \in \mathcal{T}. \text{range ev} \notin \mathcal{R}_a P t \wedge (t \neq [] \rightarrow \text{deadlock-free } (P \text{ after}_T t))$ ›
    proof (intro ballI)
      show ‹deadlock-free P  $\implies$   $t \in \mathcal{T} P \implies \text{range ev} \notin \mathcal{R}_a P t \wedge (t \neq [] \rightarrow \text{deadlock-free } (P \text{ after}_T t))$ › for t
        proof (induct t rule: rev-induct)
          case Nil
          thus ?case
            by (subst (asm) deadlock-free-Aftertick-characterization)
              (simp add: Refusals-after-def Nil.prems(1) Refusals-iff)
          next
            case (snoc e t)
            thus ?case
              by (simp add: Refusals-after-def Aftertrace-snoc)
              (metis Aftertrace.simp(1) Aftertrace-snoc DF-FD-Aftertick F-imp-R-Aftertrace T-F-spec
                deadlock-free-Aftertick-characterization deadlock-free-def
                deadlock-free-implies-non-terminating initials-Aftertrace is-processT3)
            qed
          qed
          show ‹ $\forall t \in \mathcal{T}. \text{range ev} \notin \mathcal{R}_a P t \wedge (t \neq [] \rightarrow \text{deadlock-free } (P \text{ after}_T t)) \implies \text{deadlock-free } P$ ›
            by (subst deadlock-free-Aftertick-characterization)
            (metis Aftertrace.simp Nil-elem-T Refusals-after-def
              Refusals-iff list.distinct(1) mem-Collect-eq initials-def)
        qed

lemma deadlock-freeSKIPS-Aftertrace-characterization:
  ‹deadlock-freeSKIPS P  $\longleftrightarrow$ 
    ( $\forall t \in \mathcal{T}. \text{tf } t \rightarrow \text{UNIV} \notin \mathcal{R}_a P t \wedge (t \neq [] \rightarrow \text{deadlock-free}_{\text{SKIPS}} (P \text{ after}_T t)))$ ›
  by (auto simp add: deadlock-freeSKIPS-is-right F-Aftertrace-eq T-Aftertrace-eq
    Refusals-after-def)

```

Actually, with  $\text{After}_{\text{trace}}$ , we can obtain much more powerful results. This will be developed later.

#### 4.2.7 Continuity

```
lemma Aftertrace-cont :  
  ‹[∀ a. ev a ∈ set t → cont (λP. Ψ P a);  
   ∀ r. ✓(r) ∈ set t → cont (λP. Ω P r); cont f] ⇒  
   cont (λx. f x afterT t)›  
proof (induct t arbitrary: f)  
  case Nil thus ?case by simp  
next  
  case (Cons e s)  
  show ?case by (cases e; simp, rule Cons.hyps) (simp-all add: Cons.prems)  
qed  
  
end
```



## Chapter 5

# Motivations for our Definitions

To construct our bridge between denotational and operational semantics, we want to define two kind of transitions:

- without external event:  $P \rightsquigarrow_{\tau} P'$
- with the terminating event  $\checkmark(r)$ :  $P \rightsquigarrow_{\checkmark r} P'$
- with a non terminating external event  $ev e$ :  $P \rightsquigarrow_e P'$ .

We will discuss in this theory some fundamental properties that we want

$P \rightsquigarrow_{\tau} Q$ ,  $P \rightsquigarrow_e P'$  and  $P \rightsquigarrow_{\checkmark r} P'$  to verify, and the consequences that this will have.

Let's say we want to define the  $\tau$  transition as an inductive predicate with three introduction rules:

- we allow a process to make a  $\tau$  transition towards itself:  $P \rightsquigarrow_{\tau} P$
- the non-deterministic choice ( $\sqcap$ ) can make a  $\tau$  transition to the left side  $P \sqcap Q \rightsquigarrow_{\tau} P$
- the non-deterministic choice ( $\sqcap$ ) can make a  $\tau$  transition to the right side  $P \sqcap Q \rightsquigarrow_{\tau} Q$ .

```
inductive τ-trans :: <('a, 'r) processptick ⇒ ('a, 'r) processptick ⇒ bool> (infixl
  ⟨rightsquigarrowτ⟩ 50)
  where τ-trans-eq : <P rightsquigarrowτ P>
    | τ-trans-NdetL : <P ⊓ Q rightsquigarrowτ P>
```

|  $\tau\text{-trans-}NdetR : \langle P \sqcap Q \rightsquigarrow_{\tau} Q \rangle$

— We can obtain the same inductive predicate by removing  $\tau\text{-trans-eq}$  and  $\tau\text{-trans-}NdetR$  clauses (because of  $(\sqcap)$  properties).

With this definition, we immediately show that the  $\tau$  transition is the FD-refinement ( $\sqsubseteq_{FD}$ ).

**lemma**  $\tau\text{-trans-is-FD} : \langle (\rightsquigarrow_{\tau}) = (\sqsubseteq_{FD}) \rangle$   
**apply** (intro ext iffI)  
**by** (metis Ndet-FD-self-left Ndet-FD-self-right  $\tau\text{-trans.simps}$  order-class.order-eq-iff)  
(metis FD-antisym Ndet-FD-self-left Ndet-id  $\tau\text{-trans-NdetR}$  mono-Ndet-FD)

The definition of the event transition will be a little bit more complex.

First of all we want to prevent a process  $P::('a, 'r)$   $process_{ptick}$  to make a transition with  $ev(e::'a)$  (resp.  $\checkmark(r::'r)$ ) if  $P$  can not begin with  $ev e$  (resp.  $\checkmark(r)$ ).

More formally, we want  $P \rightsquigarrow_e P' \implies ev e \in P^0$  (resp.  $P \rightsquigarrow_{\checkmark r} P' \implies \checkmark(r) \in P^0$ ).

Moreover, we want the event transitions to absorb the  $\tau$  transitions.

Finally, when  $e \in P^0$  (resp.  $\checkmark(r) \in P^0$ ), we want to have  $P \rightsquigarrow_e P$  after  $\checkmark ev e$  (resp.  $P \rightsquigarrow_{\checkmark r} P$  after  $\checkmark \checkmark(r)$ ).

This brings us to the following inductive definition:

**inductive**  $event\text{-trans-prem} :: \langle ('a, 'r) process_{ptick} \Rightarrow ('a, 'r) event_{ptick} \Rightarrow ('a, 'r) process_{ptick} \Rightarrow bool \rangle$

**where**

$\tau\text{-left-absorb} : \langle [e \in initials P'; P \rightsquigarrow_{\tau} P'; event\text{-trans-prem } P' e P''] \implies event\text{-trans-prem } P e P'']$

$\tau\text{-right-absorb} : \langle [e \in initials P; event\text{-trans-prem } P e P'; P' \rightsquigarrow_{\tau} P''] \implies event\text{-trans-prem } P e P'']$

$| initial\text{-trans-to-After}_{ptick} : \langle e \in initials P \implies event\text{-trans-prem } P e (P after \checkmark e) \rangle$

**abbreviation**  $event\text{-trans} :: \langle ('a, 'r) process_{ptick} \Rightarrow 'a \Rightarrow ('a, 'r) process_{ptick} \Rightarrow bool \rangle$

$(\langle - \rightsquigarrow - \rangle [50, 3, 51] 50)$

**where**  $\langle P \rightsquigarrow_e P' \equiv ev e \in initials P \wedge event\text{-trans-prem } P (ev e) P' \rangle$

**abbreviation**  $tick\text{-trans} :: \langle ('a, 'r) process_{ptick} \Rightarrow 'r \Rightarrow ('a, 'r) process_{ptick} \Rightarrow bool \rangle$   $(\langle - \rightsquigarrow_{\checkmark} - \rangle [50, 3, 51] 50)$

**where**  $\langle P \rightsquigarrow_{\checkmark r} P' \equiv \checkmark(r) \in P^0 \wedge event\text{-trans-prem } P \checkmark(r) P' \rangle$

We immediately show that, under the assumption of monotony of  $\Omega$ , this event transition definition is equivalent to the following:

**lemma**  $startable\text{-imp-ev-trans-is-startable-and-FD-After} :$

```

⟨(case e of ev x ⇒ P ~~~x P' | ✓(r) ⇒ P ~~~✓r P') ⟷ e ∈ P0 ∧ P after✓ e ~~~τ
P'⟩
  if ⟨∧P Q. case e of ✓(r) ⇒ Ω P r ~~~τ Ω Q r⟩
proof –
  have ⟨(case e of ev x ⇒ P ~~~x P' | ✓(r) ⇒ P ~~~✓r P') ⟷
    e ∈ initials P ∧ event-trans-prem P e P'⟩ by (simp split: eventptick.split)
  also have ⟨... ⟷ e ∈ initials P ∧ P after✓ e ~~~τ P'⟩
proof (insert that, safe)
  show ⟨event-trans-prem P e P' ⟹ e ∈ P0 ⟹
    (∧P Q. case e of ✓(r) ⇒ Ω P r ~~~τ Ω Q r) ⟹ P after✓ e ~~~τ P'⟩
  proof (induct rule: event-trans-prem.induct)
    case (τ-left-absorb e P' P'')
    thus ?case
      apply (cases e)
      apply (metis (mono-tags, lifting) τ-trans-is-FD eventptick.simps(5) mono-Aftertick-FD
trans-FD)
      by (metis Aftertick-def FD-antisym τ-trans-is-FD eventptick.simps(6))
    next
      case (τ-right-absorb e P P'')
      thus ?case by (metis τ-trans-is-FD trans-FD)
    next
      case (initial-trans-to-Aftertick e P)
      thus ?case by (simp add: τ-trans-eq)
    qed
  next
  show ⟨e ∈ initials P ⟹ P after✓ e ~~~τ P' ⟹ event-trans-prem P e P'⟩
  by (rule τ-right-absorb[of e P <P after✓ e> P'])
    (simp-all add: initial-trans-to-Aftertick τ-trans-is-FD)
  qed
  finally show ?thesis .
qed

```

With these two results, we are encouraged in the following theories to define:

- $P \rightsquigarrow_{\tau} Q \equiv P \sqsubseteq_{FD} Q$
- $P \rightsquigarrow_e P' \equiv ev e \in P^0 \wedge P \text{ after✓ } ev e \rightsquigarrow_{\tau} Q$
- $P \rightsquigarrow_{✓r} P' \equiv ✓(r) \in P^0 \wedge P \text{ after✓ } ✓(r) \rightsquigarrow_{\tau} Q$

and possible variations with other refinements.

But we want to make the construction as general as possible. Therefore we will continue with the locale mechanism, eventually adding additional required assumptions for each operator, and we will instantiate with refinements at the end.



## Chapter 6

# Generic Operational Semantics as a Locale

**Some Properties of Monotony** **lemma FD-iff-eq-Ndet:**  $\langle P \sqsubseteq_{FD} Q \longleftrightarrow P = P \sqcap Q \rangle$

**by** (auto simp add: Process-eq-spec failure-divergence-refine-def failure-refine-def divergence-refine-def F-Ndet D-Ndet)

**lemma non-BOT-mono-Det-F :**

$\langle P = \perp \vee P' \neq \perp \Rightarrow Q = \perp \vee Q' \neq \perp \Rightarrow P \sqsubseteq_F P' \Rightarrow Q \sqsubseteq_F Q' \Rightarrow P \sqcap Q \sqsubseteq_F P' \sqcap Q' \rangle$

**using** F-subset-imp-T-subset **by** (simp add: failure-refine-def F-Det BOT-iff-Nil-D) blast

**lemma non-BOT-mono-Det-left-F :**  $\langle P = \perp \vee P' \neq \perp \vee Q = \perp \Rightarrow P \sqsubseteq_F P' \Rightarrow P \sqcap Q \sqsubseteq_F P' \sqcap Q \rangle$

**and** **non-BOT-mono-Det-right-F :**  $\langle Q = \perp \vee Q' \neq \perp \vee P = \perp \Rightarrow Q \sqsubseteq_F Q' \Rightarrow P \sqcap Q \sqsubseteq_F P \sqcap Q' \rangle$

**by** (metis Det-is-BOT-iff idem-F non-BOT-mono-Det-F)+

**lemma non-BOT-mono-Sliding-F :**

$\langle P = \perp \vee P' \neq \perp \vee Q = \perp \Rightarrow P \sqsubseteq_F P' \Rightarrow Q \sqsubseteq_F Q' \Rightarrow P \triangleright Q \sqsubseteq_F P' \triangleright Q' \rangle$

**unfolding** Sliding-def **by** (metis Ndet-is-BOT-iff idem-F mono-Ndet-F non-BOT-mono-Det-F)

### 6.1 Definition

**locale OpSemTransitions = AfterExt  $\Psi \Omega$**

**for**  $\Psi :: \langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$

**and**  $\Omega :: \langle [('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick} \rangle$

— Just declaring the types ' $a$ ' and ' $r$ '. +

**fixes**  $\tau\text{-trans} :: \langle [('a, 'r) process_{ptick}, ('a, 'r) process_{ptick}] \Rightarrow \text{bool} \rangle$  (**infixl**  $\langle \rightsquigarrow_{\tau} \rangle$  50)

**assumes**  $\tau\text{-trans-NdetL}$ :  $\langle P \sqcap Q \rightsquigarrow_{\tau} P \rangle$

**and**  $\tau$ -trans-transitivity:  $\langle P \rightsquigarrow_{\tau} Q \Rightarrow Q \rightsquigarrow_{\tau} R \Rightarrow P \rightsquigarrow_{\tau} R \rangle$   
**and**  $\tau$ -trans-anti-mono-initials:  $\langle P \rightsquigarrow_{\tau} Q \Rightarrow \text{initials } Q \subseteq \text{initials } P \rangle$   
**and**  $\tau$ -trans-mono- $\text{After}_{\text{tick}}$ :  $\langle e \in \text{initials } Q \Rightarrow P \rightsquigarrow_{\tau} Q \Rightarrow P \text{ after}_{\checkmark} e \rightsquigarrow_{\tau} Q \text{ after}_{\checkmark} e \rangle$

**begin**

This locale needs to be instantiated with:

- a function  $\Psi::('a, 'r) \text{ process}_{\text{ptick}} \Rightarrow 'a \Rightarrow ('a, 'r) \text{ process}_{\text{ptick}}$  that is a placeholder for the value of  $P \text{ after } e$  when  $\text{ev } e \notin P^0$
- a function  $\Omega::('a, 'r) \text{ process}_{\text{ptick}} \Rightarrow 'r \Rightarrow ('a, 'r) \text{ process}_{\text{ptick}}$  that is a placeholder for the value of  $P \text{ after}_{\checkmark} \checkmark(r)$
- a binary relation ( $\rightsquigarrow_{\tau}$ ) which:
  - is compatible with ( $\sqcap$ )
  - is transitive
  - makes *initials* anti-monotonic
  - makes *After<sub>tick</sub>* monotonic.

From the  $\tau$  transition  $P \rightsquigarrow_{\tau} Q$  we derive the event transition as follows:

**abbreviation**  $\text{ev-trans} :: \langle [('a, 'r) \text{ process}_{\text{ptick}}, 'a, ('a, 'r) \text{ process}_{\text{ptick}}] \Rightarrow \text{bool} \rangle$   
 $(\langle - \rightsquigarrow - \rightarrow [50, 3, 51] 50 \rangle)$   
**where**  $\langle P \rightsquigarrow_e Q \equiv \text{ev } e \in P^0 \wedge P \text{ after}_{\checkmark} \text{ev } e \rightsquigarrow_{\tau} Q \rangle$

**abbreviation**  $\text{tick-trans} :: \langle [('a, 'r) \text{ process}_{\text{ptick}}, 'r, ('a, 'r) \text{ process}_{\text{ptick}}] \Rightarrow \text{bool} \rangle$   
 $(\langle - \rightsquigarrow_{\checkmark} - \rightarrow [50, 3, 51] 50 \rangle)$   
**where**  $\langle P \rightsquigarrow_{\checkmark} r Q \equiv \checkmark(r) \in P^0 \wedge P \text{ after}_{\checkmark} \checkmark(r) \rightsquigarrow_{\tau} Q \rangle$

**lemma**  $\text{ev-trans-is}: \langle P \rightsquigarrow_e Q \longleftrightarrow \text{ev } e \in \text{initials } P \wedge P \text{ after } e \rightsquigarrow_{\tau} Q \rangle$   
**by** (*simp add: After<sub>tick</sub>-def*)

**lemma**  $\text{tick-trans-is}: \langle P \rightsquigarrow_{\checkmark} r Q \longleftrightarrow \checkmark(r) \in P^0 \wedge \Omega P r \rightsquigarrow_{\tau} Q \rangle$   
**by** (*simp add: After<sub>tick</sub>-def*)

**lemma**  $\text{reverse-event-trans-is}:$   
 $\langle e \in P^0 \wedge P \text{ after}_{\checkmark} e \rightsquigarrow_{\tau} Q \longleftrightarrow (\text{case } e \text{ of } \checkmark(r) \Rightarrow P \rightsquigarrow_{\checkmark} r Q \mid \text{ev } x \Rightarrow P \rightsquigarrow_x Q) \rangle$   
**by** (*simp split: event<sub>ptick</sub>.split*)

From idempotence, commutativity and  $\perp$  absorbency of ( $\sqcap$ ), we get the following free of charge.

**lemma**  $\tau\text{-trans-eq}: \langle P \rightsquigarrow_{\tau} P \rangle$   
**and**  $\tau\text{-trans-NdetR}: \langle P \sqcap Q \rightsquigarrow_{\tau} Q \rangle$

```

and BOT- $\tau$ -trans-anything:  $\langle \perp \rightsquigarrow_{\tau} P \rangle$ 
  apply (metis Ndet-id  $\tau$ -trans-NdetL)
  apply (metis Ndet-commute  $\tau$ -trans-NdetL)
  by (metis Ndet-BOT  $\tau$ -trans-NdetL)

lemma BOT-ev-trans-anything:  $\langle \perp \rightsquigarrow_e P \rangle$ 
  and BOT-tick-trans:  $\langle \perp \rightsquigarrow_{\checkmark r} \Omega \perp r \rangle$ 
  by (simp-all add: Aftertick-BOT  $\tau$ -trans-eq BOT- $\tau$ -trans-anything)

As immediate consequences of the axioms, we prove that event transitions
absorb  $\tau$  transitions on right and on left.

lemma ev-trans- $\tau$ -trans:  $\langle P \rightsquigarrow_e P' \implies P' \rightsquigarrow_{\tau} P'' \implies P \rightsquigarrow_e P'' \rangle$ 
  and tick-trans- $\tau$ -trans:  $\langle P \rightsquigarrow_{\checkmark r} P' \implies P' \rightsquigarrow_{\tau} P'' \implies P \rightsquigarrow_{\checkmark r} P'' \rangle$ 
  by (meson  $\tau$ -trans-transitivity)+

lemma  $\tau$ -trans-ev-trans:  $\langle P \rightsquigarrow_{\tau} P' \implies P' \rightsquigarrow_e P'' \implies P \rightsquigarrow_e P'' \rangle$ 
  and  $\tau$ -trans-tick-trans:  $\langle P \rightsquigarrow_{\tau} P' \implies P' \rightsquigarrow_{\checkmark r} P'' \implies P \rightsquigarrow_{\checkmark r} P'' \rangle$ 
  using  $\tau$ -trans-mono-Aftertick  $\tau$ -trans-transitivity  $\tau$ -trans-anti-mono-initials by
blast+

```

We can also add these result which will be useful later.

```

lemma initial-tick-imp- $\tau$ -trans-SKIP:  $\langle P \rightsquigarrow_{\tau} \text{SKIP } r \rangle$  if  $\checkmark(r) \in P^0$ 
proof -
  from that have  $\langle P \sqsubseteq_{FD} \text{SKIP } r \rangle$  by (simp add: initial-tick-iff-FD-SKIP)
  with FD-iff-eq-Ndet have  $\langle P = P \sqcap \text{SKIP } r \rangle ..$ 
  thus  $\langle P \rightsquigarrow_{\tau} \text{SKIP } r \rangle$  by (metis  $\tau$ -trans-NdetR)
qed

lemma exists-tick-trans-is-initial-tick:  $\langle (\exists P'. P \rightsquigarrow_{\checkmark r} P') \longleftrightarrow \checkmark(r) \in P^0 \rangle$ 
  using  $\tau$ -trans-eq by blast

```

There is also a major property we can already prove.

```

lemma  $\tau$ -trans-imp-leT :  $\langle P \rightsquigarrow_{\tau} Q \implies P \sqsubseteq_T Q \rangle$ 
proof (unfold trace-refine-def, rule subsetI)
  show  $\langle P \rightsquigarrow_{\tau} Q \implies s \in \mathcal{T} Q \implies s \in \mathcal{T} P \rangle$  for s
  proof (induct s arbitrary: P Q)
    show  $\langle \bigwedge P. [] \in \mathcal{T} P \rangle$  by simp
  next
    fix e s P Q
    assume hyp :  $\langle P \rightsquigarrow_{\tau} Q \implies s \in \mathcal{T} Q \implies s \in \mathcal{T} P \rangle$  for P Q
    assume prems :  $\langle P \rightsquigarrow_{\tau} Q \rangle$   $\langle e \# s \in \mathcal{T} Q \rangle$ 
    from prems(2)[THEN initials-memI] have  $\langle e \in Q^0 \rangle$  .
    show  $\langle e \# s \in \mathcal{T} P \rangle$ 
    proof (cases e)
      fix r assume  $\langle e = \checkmark(r) \rangle$ 
      hence  $\langle s = [] \rangle$  by (metis append-Cons append-Nil append-T-imp-tickFree
non-tickFree-tick prems(2))
      with  $\langle e = \checkmark(r) \rangle$   $\langle P \rightsquigarrow_{\tau} Q \rangle$  [THEN  $\tau$ -trans-anti-mono-initials]  $\langle e \# s \in \mathcal{T} Q \rangle$ 
      show  $\langle e \# s \in \mathcal{T} P \rangle$  by (simp add: initials-def subset-iff)
    qed
  qed

```

```

next
  fix  $x$ 
  assume  $\langle e = ev x \rangle$ 
  from  $\langle e \in Q^0 \rangle$  prems(2) have  $\langle s \in \mathcal{T} (Q \text{ after}_\checkmark e) \rangle$ 
    by (simp add:  $\langle e = ev x \rangle$   $T\text{-After}_{\text{tick}}$ )
  from  $\tau\text{-trans-mono-After}_{\text{tick}}[OF \langle e \in Q^0 \rangle \langle P \rightsquigarrow_\tau Q \rangle]$ 
  have  $\langle P \text{ after}_\checkmark e \rightsquigarrow_\tau Q \text{ after}_\checkmark e \rangle$ .
  from hyp[ $OF$  this  $\langle s \in \mathcal{T} (Q \text{ after}_\checkmark e) \rangle$ ] have  $\langle s \in \mathcal{T} (P \text{ after}_\checkmark e) \rangle$ .
  with  $\langle e \in Q^0 \rangle$  [THEN  $\langle P \rightsquigarrow_\tau Q \rangle$  [THEN  $\tau\text{-trans-anti-mono-initials}$ , THEN  $\text{set-mp}$ ]]
    show  $\langle e \# s \in \mathcal{T} P \rangle$  by (simp add:  $T\text{-After}_{\text{tick}}$   $\langle e = ev x \rangle$ )
  qed
qed
qed

```

We can now define the concept of transition with a trace and demonstrate the first properties.

```

inductive trace-trans ::  $\langle ('a, 'r) \text{ process}_{\text{ptick}} \Rightarrow ('a, 'r) \text{ trace}_{\text{ptick}} \Rightarrow ('a, 'r) \text{ process}_{\text{ptick}} \Rightarrow \text{bool} \rangle$ 
  ( $\langle - \rightsquigarrow^* - \rangle [50, 3, 51] 50$ )
  where trace-τ-trans :  $\langle P \rightsquigarrow_\tau P' \rangle \Rightarrow P \rightsquigarrow^* [] P'$ 
  | trace-tick-trans :  $\langle P \rightsquigarrow_{\checkmark r} P' \rangle \Rightarrow P \rightsquigarrow^* [\checkmark(r)] P'$ 
  | trace-Cons-ev-trans :  $\langle P \rightsquigarrow_e P' \rangle \Rightarrow P' \rightsquigarrow^* s P'' \Rightarrow P \rightsquigarrow^* (ev e) \# s P''$ 

lemma trace-trans-τ-trans:  $\langle P \rightsquigarrow^* s P' \rangle \Rightarrow P' \rightsquigarrow_\tau P'' \Rightarrow P \rightsquigarrow^* s P''$ 
  apply (induct rule: trace-trans.induct)
  using  $\tau\text{-trans-transitivity}$  trace-τ-trans apply blast
  using  $\tau\text{-trans-transitivity}$  trace-tick-trans apply blast
  using trace-Cons-ev-trans by blast

lemma τ-trans-trace-trans:  $\langle P \rightsquigarrow_\tau P' \rangle \Rightarrow P' \rightsquigarrow^* s P'' \Rightarrow P \rightsquigarrow^* s P''$ 
  apply (rotate-tac, induct rule: trace-trans.induct)
  using  $\tau\text{-trans-transitivity}$  trace-τ-trans apply blast
  using  $\tau\text{-trans-tick-trans}$  trace-trans.simps apply blast
  using  $\tau\text{-trans-ev-trans}$  trace-Cons-ev-trans by blast

lemma BOT-trace-trans-tickFree-anything :  $\langle \text{tickFree } s \Rightarrow \perp \rightsquigarrow^* s P \rangle$ 
proof (induct s arbitrary: P)
  show  $\langle \bigwedge P. \text{tickFree } [] \Rightarrow \perp \rightsquigarrow^* [] P \rangle$ 
    by (simp add: BOT-τ-trans-anything trace-τ-trans)
next
  fix  $e s P$ 
  assume prem:  $\langle \text{tickFree } (e \# s) \rangle$  and hyp:  $\langle \text{tickFree } s \Rightarrow \perp \rightsquigarrow^* s P \rangle$  for  $P$ 
  have  $* : \langle \text{tickFree } s \rangle$  using prem by auto
  obtain  $a$  where  $\langle e = ev a \rangle$  by (meson is-ev-def prem tickFree-Cons-iff)
  thus  $\langle \perp \rightsquigarrow^* e \# s P \rangle$ 
    by simp (rule trace-Cons-ev-trans[OF - hyp];
      simp add: * After_{\text{tick}}-BOT BOT-τ-trans-anything)

```

qed

## 6.2 Consequences of $P \rightsquigarrow^* s Q$ on $\mathcal{F}$ , $\mathcal{T}$ and $\mathcal{D}$

```

lemma trace-trans-imp-F-if-τ-trans-imp-leF:
  ⟨P ∼* s Q ⟹ X ∈ ℒ Q ⟹ (s, X) ∈ ℐ P⟩
  if ⟨∀ P Q. P ∼τ Q ⟹ P ⊑F Q⟩
proof (induct rule: trace-trans.induct)
  show ⟨P ∼τ Q ⟹ X ∈ ℒ Q ⟹ ([] , X) ∈ ℐ P⟩ for P Q
    by (meson failure-refine-def in-mono Refusals-iff that)
next
  show ⟨P ∼✓r Q ⟹ X ∈ ℒ Q ⟹ ([✓(r)] , X) ∈ ℐ P⟩ for P Q r
    by (metis append-Nil mem-Collect-eq initials-def tick-T-F)
next
  fix P e Q s Q'
  assume * : ⟨P ∼e Q⟩ ⟨X ∈ ℒ Q' ⟹ (s, X) ∈ ℐ Q'⟩ ⟨X ∈ ℒ Q'⟩
  have ⟨P after✓ ev e ⊑F Q'⟩ using *(1) that by blast
  hence ⟨(s, X) ∈ ℐ (P after✓ ev e)⟩ by (simp add: failure-refine-def subsetD *(2, 3))
  thus ⟨(ev e # s, X) ∈ ℐ P⟩ by (simp add: F-After_tick *(1))
qed

```

```

lemma trace-trans-imp-T: ⟨P ∼* s Q ⟹ s ∈ ℐ P⟩
proof (induct rule: trace-trans.induct)
  show ⟨P ∼τ Q ⟹ [] ∈ ℐ P⟩ for P Q by simp
next
  show ⟨P ∼✓r Q ⟹ [✓(r)] ∈ ℐ P⟩ for P Q r
    by (simp add: initials-def)
next
  fix P e Q s Q'
  assume * : ⟨P ∼e Q⟩ ⟨s ∈ ℐ Q⟩
  have ⟨P after✓ ev e ⊑T Q⟩ using *(1) τ-trans-imp-leT by blast
  hence ⟨s ∈ ℐ (P after✓ ev e)⟩ by (simp add: *(2) subsetD trace-refine-def)
  with *(1) list.collapse show ⟨ev e # s ∈ ℐ P⟩
    by (force simp add: T-After_tick initials-def)
qed

```

```

lemma tickFree-trace-trans-BOT-imp-D-if-τ-trans-BOT-imp-eq-BOT-weak:
  ⟨tickFree s ⟹ P ∼* s ⊥ ⟹ s ∈ ℐ P⟩
  if ⟨∀ P. P ∼τ ⊥ ⟹ P = ⊥⟩
proof (induct s arbitrary: P)
  show ⟨P ∼* [] ⊥ ⟹ [] ∈ ℐ P⟩ for P
    apply (erule trace-trans.cases)
    using BOT-iff-Nil-D that by blast+
next

```

```

fix e s P
assume prems : <tickFree (e # s) > P ~~~* e # s ⊥
assume hyp: <tickFree s ==> P ~~~* s ⊥ ==> s ∈ D P for P
from prems(1) have <tickFree s> by simp
from prems(2) have <P after✓ e ~~~* s ⊥>
  by (cases rule: trace-trans.cases)
    (auto simp add: trace-τ-trans intro: τ-trans-trace-trans)
show <e # s ∈ D P>
  apply (rule trace-trans.cases[OF prems(2)])
  using hyp[OF <tickFree s > P after✓ e ~~~* s ⊥] prems(1)
  by (simp-all add: D-Aftertick)
qed

```

### 6.3 Characterizations for $P \rightsquigarrow^* s Q$

```

lemma trace-trans-iff :
<P ~~~* [] Q ↔ P ~~~τ Q>
<P ~~~* [✓(r)] Q ↔ P ~~~✓r Q>
<P ~~~* (ev e) # s Q' ↔ (exists Q. P ~~~e Q ∧ Q ~~~* s Q')>
<(P ~~~* s @ [f] Q') ↔
  tickFree s ∧ (exists Q. P ~~~* s Q ∧ (case f of ✓(r) => Q ~~~✓r Q' | ev x => Q ~~~x Q'))>
<front-tickFree (s @ t) ==> (P ~~~* s @ t Q') ↔ (exists Q. P ~~~* s Q ∧ Q ~~~* t Q')>
proof -
  show f1 : <∀P Q. P ~~~* [] Q ↔ P ~~~τ Q>
  and f2 : <∀P Q. P ~~~* [✓(r)] Q ↔ P ~~~✓r Q>
  and f3 : <∀P Q' e. P ~~~* (ev e) # s Q' ↔ (exists Q. P ~~~e Q ∧ Q ~~~* s Q')>
  by ((subst trace-trans.simps, auto)[1])+

  show f4 : <(P ~~~* s @ [f] Q') ↔
    tickFree s ∧ (exists Q. P ~~~* s Q ∧ (case f of ✓(r) => Q ~~~✓r Q' | ev x => Q ~~~x Q'))> for s f P Q'
  proof safe
    from append-T-imp-tickFree trace-trans-imp-T
    show <P ~~~* s @ [f] Q' ==> tickFree s by blast
  next
    show <P ~~~* s @ [f] Q' ==> ∃ Q. P ~~~* s Q ∧ (case f of ev x => Q ~~~x Q' | ✓(r) => Q ~~~✓r Q')>
    proof (induct s arbitrary: P)
      case Nil
      thus ?case
        apply (subst (asm) trace-trans.simps, cases f; simp)
        using τ-trans-eq τ-trans-transitivity f1 by blast+
    next
      case (Cons e s)
      from Cons.preds have * : <e ∈ initials P ∧ P after✓ e ~~~* s @ [f] Q'>
      by (subst (asm) trace-trans.simps)
        (auto simp add: intro: τ-trans-trace-trans)
      with Cons.hyps obtain Q

```

```

where ** : <P after $\checkmark$  e  $\rightsquigarrow^*$  s Q>
  <(case f of ev x  $\Rightarrow$  Q  $\rightsquigarrow_x$  Q' |  $\checkmark(r) \Rightarrow Q \rightsquigarrow_{\checkmark r} Q'$ )> by blast
have <P  $\rightsquigarrow^*$  e  $\#$  s Q>
proof (cases e)
  fix e'
  assume <e = ev e'>
  thus <P  $\rightsquigarrow^*$  e  $\#$  s Q>
    apply simp
    by (rule trace-Cons-ev-trans[OF - **(1)]) (use *  $\tau$ -trans-eq in blast)
next
  from Cons.preds have <e =  $\checkmark(r) \Rightarrow s = []$ > for r by (subst (asm)
  trace-trans.simps) auto
  thus <e =  $\checkmark(r) \Rightarrow P \rightsquigarrow^* e \# s Q$ > for r using * **(1) f1 f2 trace-tick-trans
  by auto
  qed
  with **(2) show < $\exists Q. P \rightsquigarrow^* e \# s Q \wedge (\text{case } f \text{ of ev } x \Rightarrow Q \rightsquigarrow_x Q' | \checkmark(r) \Rightarrow Q \rightsquigarrow_{\checkmark r} Q')$ > by blast
  qed
next
  show <tickFree s  $\Rightarrow P \rightsquigarrow^* s Q \Rightarrow (\text{case } f \text{ of ev } x \Rightarrow Q \rightsquigarrow_x Q' | \checkmark(r) \Rightarrow Q \rightsquigarrow_{\checkmark r} Q') \Rightarrow P \rightsquigarrow^* s @ [f] Q'\> for Q
  proof (induct s arbitrary: P Q)
    show <tickFree []  $\Rightarrow P \rightsquigarrow^* [] Q \Rightarrow (\text{case } f \text{ of ev } x \Rightarrow Q \rightsquigarrow_x Q' | \checkmark(r) \Rightarrow Q \rightsquigarrow_{\checkmark r} Q') \Rightarrow P \rightsquigarrow^* [] @ [f] Q'\>
  for P Q
    apply (cases f; simp add: f1 f2)
    apply (meson  $\tau$ -trans-eq  $\tau$ -trans-ev-trans trace-Cons-ev-trans trace- $\tau$ -trans)
    using  $\tau$ -trans-tick-trans trace-tick-trans by blast
next
  case (Cons e s)
  from Cons.preds(2) have * : <e  $\in$  initials P  $\wedge$  P after $\checkmark$  e  $\rightsquigarrow^*$  s Q>
  by (subst (asm) trace-trans.simps)
    (auto simp add: f1 intro:  $\tau$ -trans-trace-trans)
  show ?case
  proof (cases e)
    fix e'
    assume <e = ev e'>
    thus <P  $\rightsquigarrow^*(e \# s) @ [f] Q'$ >
      by (cases f; simp)
        (metis (no-types, lifting) * Cons.hyps Cons.preds(1, 3)
         $\tau$ -trans-eq tickFree-Cons-iff trace-trans.simps)+
    next
      show <e =  $\checkmark(r) \Rightarrow P \rightsquigarrow^*(e \# s) @ [f] Q'$ > for r using Cons.preds(1)
    by auto
    qed
    qed
  qed$$ 
```

```

show ⟨front-tickFree (s @ t) ⟹ P ~~~*s @ t Q' ⟷ (Ǝ Q. P ~~~*s Q ∧ Q ~~~*t Q')⟩
  proof (induct t arbitrary: Q' rule: rev-induct)
    show ⟨P ~~~*s @ [] Q' ⟷ (Ǝ Q. P ~~~*s Q ∧ Q ~~~*[] Q')⟩ for Q'
      by (metis τ-trans-eq append.right-neutral trace-trans-τ-trans f1)
  next
    case (snoc e t)
    from snoc.preds have $ : ⟨tickFree s⟩ ⟨tickFree t⟩
      by (simp-all add: front-tickFree-append-iff)
    show ⟨P ~~~*s @ t @ [e] Q' ⟷ (Ǝ Q. P ~~~*s Q ∧ Q ~~~*t @ [e] Q')⟩
    proof (intro iffI)
      assume assm : ⟨P ~~~*s @ t @ [e] Q'⟩
      from f4[of P `s @ t` e Q', simplified] assm $ obtain Q
        where * : ⟨P ~~~*s @ t Q⟩ ⟨case e of ev x ⇒ Q ~~~x Q' | ✓(r) ⇒ Q ~~~✓r
Q'⟩ by blast
        obtain R where ** : ⟨P ~~~*s R⟩ ⟨R ~~~*t Q⟩
          by (metis *(1) append.assoc front-tickFree-dw-closed snoc.hyps snoc.preds)
        show ⟨Ǝ Q. P ~~~*s Q ∧ Q ~~~*t @ [e] Q'⟩
        proof (intro exI conjI)
          show ⟨P ~~~*s R⟩ by (fact **(1))
        next
          from *(2) **(2) show ⟨R ~~~*t @ [e] Q'⟩ by (simp add: f4 $(2)) blast
        qed
      next
        assume ⟨Ǝ Q. P ~~~*s Q ∧ Q ~~~*t @ [e] Q'⟩
        then obtain Q where * : ⟨P ~~~*s Q⟩ ⟨Q ~~~*t @ [e] Q'⟩ by blast
        from f4[of Q t e Q', simplified this(2)]
        obtain R where ⟨Q ~~~*t R⟩ ⟨case e of ev x ⇒ R ~~~x Q' | ✓(r) ⇒ R ~~~✓r
Q'⟩ by blast
        with *(1) show ⟨P ~~~*s @ t @ [e] Q'⟩
          by (simp add: f4[of P `s @ t` e Q', simplified] $, cases e; simp)
            (metis append.assoc front-tickFree-dw-closed snoc.hyps snoc.preds) +
        qed
      qed
    qed

```

## 6.4 Finally: $P ~~~*s Q$ is $P \text{ after}_\mathcal{T} s ~~~_\tau Q$

**theorem** trace-trans-iff-T-and-After<sub>trace-τ-trans</sub> :

$$\langle (P ~~~*s Q) \longleftrightarrow s \in \mathcal{T} \text{ } P \text{ after}_\mathcal{T} s ~~~_\tau Q \rangle$$

**proof** –

have  $\langle s \in \mathcal{T} \text{ } P \implies (P ~~~*s Q) \longleftrightarrow P \text{ after}_\mathcal{T} s ~~~_\tau Q \rangle$   
— This is a trick to reuse the proof of this less powerful version (trace-trans-imp-T was not proven at the time...).

proof (intro iffI)

show  $\langle P ~~~*s Q \implies s \in \mathcal{T} \text{ } P \implies P \text{ after}_\mathcal{T} s ~~~_\tau Q \rangle$

proof (induct s arbitrary: P Q)

show  $\langle \bigwedge P Q. P ~~~*[] Q \implies [] \in \mathcal{T} \text{ } P \implies P \text{ after}_\mathcal{T} [] ~~~_\tau Q \rangle$   
using trace-trans.cases by auto

```

next
  show  $\langle (\bigwedge P Q. P \rightsquigarrow^* s Q \implies s \in \mathcal{T} P \implies P \text{ after}_{\mathcal{T}} s \rightsquigarrow_{\tau} Q) \implies$ 
     $P \rightsquigarrow^* e \# s Q \implies e \# s \in \mathcal{T} P \implies P \text{ after}_{\mathcal{T}} (e \# s) \rightsquigarrow_{\tau} Q \rangle$  for  $s e P$ 
 $Q$ 
  apply (cases  $e$ ; simp)
  by (meson  $\tau$ -trans-trace-trans trace-trans-iff(3) trace-trans-imp-T)
    (metis Aftertrace.simps(1) T-imp-front-tickFree front-tickFree-Cons-iff
     non-tickFree-tick tickFree-Cons-iff tickFree-Nil trace-trans-iff(2))
qed
next
  show  $\langle P \text{ after}_{\mathcal{T}} s \rightsquigarrow_{\tau} Q \implies s \in \mathcal{T} P \implies P \rightsquigarrow^* s Q \rangle$ 
  proof (induct arbitrary:  $P Q$  rule: Aftertrace.induct)
    show  $\langle \bigwedge P Q. P \text{ after}_{\mathcal{T}} [] \rightsquigarrow_{\tau} Q \implies [] \in \mathcal{T} P \implies P \rightsquigarrow^* [] Q \rangle$ 
      by (simp add: trace- $\tau$ -trans)
next
  fix  $e$  and  $s :: \langle ('a, 'r) \text{ trace}_{ptick} \rangle$  and  $Q P$ 
  assume  $hyp : \langle P \text{ after}_{\mathcal{T}} s \rightsquigarrow_{\tau} Q \implies s \in \mathcal{T} P \implies P \rightsquigarrow^* s Q \rangle$  for  $P Q$ 
  assume  $prems : \langle P \text{ after}_{\mathcal{T}} (e \# s) \rightsquigarrow_{\tau} Q \rangle \langle e \# s \in \mathcal{T} P \rangle$ 
  have  $* : \langle e \in \text{initials } P \wedge s \in \mathcal{T} (P \text{ after}_{\checkmark} e) \rangle$ 
    by (metis Aftertrace.simps(1, 2) initials-memI
      T-Aftertrace append-Cons append-Nil prems(2))
  show  $\langle P \rightsquigarrow^* e \# s Q \rangle$ 
  proof (cases  $e$ )
    fix  $e'$ 
    assume  $** : \langle e = ev e' \rangle$ 
    from  $**$  have  $\langle P \rightsquigarrow_{e'} P \text{ after}_{\checkmark} (ev e') \rangle$  by (simp add:  $\tau$ -trans-eq)
    thus  $\langle P \rightsquigarrow^* e \# s Q \rangle$ 
      by (subst  $**$ , rule trace-Cons-ev-trans[ $OF - hyp[OF \text{ prems}(1)[simplified]$ 
      *[THEN conjunct2], simplified  $**]$ ])
next
  have  $\langle e = \checkmark(r) \implies s = [] \rangle$  for  $r$ 
    by (metis T-imp-front-tickFree eventptick.disc(2) front-tickFree-Cons-iff
      prems(2))
  with  $* \text{ prems}(1)$  trace-tick-trans
  show  $\langle e = \checkmark(r) \implies P \rightsquigarrow^* e \# s Q \rangle$  for  $r$  by auto
  qed
qed
qed
with trace-trans-imp-T show  $\langle (P \rightsquigarrow^* s Q) \longleftrightarrow s \in \mathcal{T} P \wedge P \text{ after}_{\mathcal{T}} s \rightsquigarrow_{\tau} Q \rangle$ 
by blast
qed

```

As corollaries we obtain the reciprocal results of

$$\begin{aligned} & \llbracket \forall P Q. P \rightsquigarrow_{\tau} Q \longrightarrow P \sqsubseteq_F Q; ?P \rightsquigarrow^* ?s ?Q; ?X \in \mathcal{R} ?Q \rrbracket \implies (?s, ?X) \in \mathcal{F} ?P \\ & ?P \rightsquigarrow^* ?s ?Q \implies ?s \in \mathcal{T} ?P \end{aligned}$$

$$\llbracket \forall P. P \rightsquigarrow_{\tau} \perp \longrightarrow P = \perp; tF ?s; ?P \rightsquigarrow^* ?s \perp \rrbracket \implies ?s \in \mathcal{D} ?P$$

**lemma** *tickFree-F-imp-exists-trace-trans*:

$\langle \text{tickFree } s \implies (s, X) \in \mathcal{F} P \implies \exists Q. (P \rightsquigarrow^* s Q) \wedge X \in \mathcal{R} Q \rangle$   
**by** (meson F-T F-imp-R-After<sub>trace</sub> trace-trans-iff-T-and-After<sub>trace</sub>-τ-trans τ-trans-eq)

**lemma** T-imp-exists-trace-trans:  $\langle s \in \mathcal{T} P \implies \exists Q. P \rightsquigarrow^* s Q \rangle$   
**using** trace-trans-iff-T-and-After<sub>trace</sub>-τ-trans τ-trans-eq **by** blast

**lemma** tickFree-D-imp-trace-trans-BOT:  $\langle \text{tickFree } s \implies s \in \mathcal{D} P \implies P \rightsquigarrow^* s \perp \rangle$   
**by** (simp add: D-imp-After<sub>trace</sub>-is-BOT D-T τ-trans-eq  
trace-trans-iff-T-and-After<sub>trace</sub>-τ-trans)

And therefore, we obtain equivalences.

**lemma** F-trace-trans-reality-check-weak:  
 $\langle \forall P Q. P \rightsquigarrow_\tau Q \longrightarrow P \sqsubseteq_F Q \implies \text{tickFree } s \implies$   
 $(s, X) \in \mathcal{F} P \longleftrightarrow (\exists Q. (P \rightsquigarrow^* s Q) \wedge X \in \mathcal{R} Q) \rangle$   
**using** tickFree-F-imp-exists-trace-trans trace-trans-imp-F-if-τ-trans-imp-leF **by**  
blast

**lemma** T-trace-trans-reality-check:  $\langle s \in \mathcal{T} P \longleftrightarrow (\exists Q. P \rightsquigarrow^* s Q) \rangle$   
**using** T-imp-exists-trace-trans trace-trans-imp-T **by** blast

**lemma** D-trace-trans-reality-check-weak:  
 $\langle \forall P. P \rightsquigarrow_\tau \perp \longrightarrow P = \perp \implies \text{tickFree } s \implies s \in \mathcal{D} P \longleftrightarrow P \rightsquigarrow^* s \perp \rangle$   
**using** tickFree-D-imp-trace-trans-BOT  
tickFree-trace-trans-BOT-imp-D-if-τ-trans-BOT-imp-eq-BOT-weak **by** blast

When we have more information on  $P \rightsquigarrow_\tau Q$ , we obtain:

**lemma** STOP-trace-trans-iff:  $\langle \text{STOP} \rightsquigarrow^* s P \longleftrightarrow s = [] \wedge P = \text{STOP} \rangle$   
**using** STOP-T-iff τ-trans-imp-leT  
**by** (subst trace-trans.simps) (auto simp add: τ-trans-eq)

**lemma** Ω-SKIP-is-STOP-imp-τ-trans-imp-leF-imp-SKIP-trace-trans-iff:  
 $\langle \Omega (\text{SKIP } r) r = \text{STOP} \implies (\bigwedge P Q. P \rightsquigarrow_\tau Q \implies P \sqsubseteq_F Q) \implies$   
 $(\text{SKIP } r \rightsquigarrow^* s P) \longleftrightarrow s = [] \wedge P = \text{SKIP } r \vee s = [\checkmark(r)] \wedge P = \text{STOP} \rangle$   
**using** SKIP-F-iff STOP-F-iff  
apply (subst trace-trans.simps)  
apply (auto simp add: After<sub>tick</sub>-SKIP τ-trans-eq)  
**by** fastforce blast+

**lemma** trace-trans-imp-initials-subset-initials-After<sub>trace</sub>:  
 $\langle P \rightsquigarrow^* s Q \implies \text{initials } Q \subseteq \text{initials } (P \text{ after}_\mathcal{T} s) \rangle$   
**using** trace-trans-iff-T-and-After<sub>trace</sub>-τ-trans  
anti-mono-initials-T trace-trans-imp-T τ-trans-imp-leT **by** blast

**lemma** imp-trace-trans-imp-initial:  
 $\langle P \rightsquigarrow^* (s @ e \# t) Q \implies e \in \text{initials } (P \text{ after}_\mathcal{T} s) \rangle$   
**using** initials-After<sub>trace</sub> trace-trans-imp-T **by** blast

Under additional assumptions, we can show that the event transition and the trace transition are admissible.

**lemma** *ev-trans-adm-weak*[simp]:

**assumes**  $\tau$ -trans-adm:

$\langle \bigwedge u v. cont(u :: 'b :: cpo \Rightarrow ('a, 'r) process_{ptick}) \Rightarrow monofun v \Rightarrow adm(\lambda x. u x \rightsquigarrow_\tau v x) \rangle$   
**and**  $\Psi$ -cont-hyp :  $\langle cont(\lambda P. \Psi P e) \rangle$   
**and** cont-u:  $\langle cont(u :: 'b \Rightarrow ('a, 'r) process_{ptick}) \rangle$  **and** monofun-v :  $\langle monofun v \rangle$   
**shows**  $\langle adm(\lambda x. u x \rightsquigarrow_e (v x)) \rangle$   
**apply** (intro adm-conj)  
**by** (fact initial-adm[*OF* cont-u])  
(rule  $\tau$ -trans-adm[*OF* - monofun-v], simp add:  $\Psi$ -cont-hyp cont-u)

**lemma** *tick-trans-adm-weak*[simp]:

**assumes**  $\tau$ -trans-adm:

$\langle \bigwedge u v. cont(u :: 'b :: cpo \Rightarrow ('a, 'r) process_{ptick}) \Rightarrow monofun v \Rightarrow adm(\lambda x. u x \rightsquigarrow_\tau v x) \rangle$   
**and**  $\Omega$ -cont-hyp :  $\langle cont(\lambda P. \Omega P r) \rangle$   
**and** cont-u:  $\langle cont(u :: 'b \Rightarrow ('a, 'r) process_{ptick}) \rangle$  **and** monofun-v :  $\langle monofun v \rangle$   
**shows**  $\langle adm(\lambda x. u x \rightsquigarrow_r (v x)) \rangle$   
**apply** (intro adm-conj)  
**by** (fact initial-adm[*OF* cont-u])  
(rule  $\tau$ -trans-adm[*OF* - monofun-v], simp add:  $\Omega$ -cont-hyp cont-u)

**lemma** *trace-trans-adm-weak*[simp]:

**assumes**  $\tau$ -trans-adm:  $\langle \bigwedge u v. cont(u :: 'b :: cpo \Rightarrow ('a, 'r) process_{ptick}) \Rightarrow monofun v \Rightarrow adm(\lambda x. u x \rightsquigarrow_\tau v x) \rangle$   
**and** After<sub>trace</sub>-cont-hyps:  $\langle \forall x. ev x \in set s \longrightarrow cont(\lambda P. \Psi P x) \rangle \langle \forall r. \checkmark(r) \in set s \longrightarrow cont(\lambda P. \Omega P r) \rangle$   
**and** cont-u:  $\langle cont(u :: 'b :: cpo \Rightarrow ('a, 'r) process_{ptick}) \rangle$  **and** monofun-v:  $\langle monofun v \rangle$   
**shows**  $\langle adm(\lambda x. u x \rightsquigarrow^* s (v x)) \rangle$   
**apply** (subst trace-trans-iff-T-and-After<sub>trace</sub>- $\tau$ -trans)  
**apply** (intro adm-conj)  
**apply** (solves *simp* add: adm-in-T cont-u)  
**by** (rule  $\tau$ -trans-adm[*OF* - monofun-v], rule After<sub>trace</sub>-cont;  
*simp* add: After<sub>trace</sub>-cont-hyps cont-u)

## 6.5 General Rules of Operational Semantics

We can now derive some rules or the operational semantics that we are defining.

**lemma** *SKIP-trans-tick- $\Omega$ -SKIP*:  $\langle SKIP r \rightsquigarrow_r \Omega (SKIP r) r \rangle$   
**by** (*simp* add: After<sub>tick</sub>-SKIP  $\tau$ -trans-eq)

```
lemmas SKIP-OpSem-rule = SKIP-trans-tick-Ω-SKIP
```

This is quite obvious, but we can get better.

```
lemma initial-tick-imp-tick-trans-Ω-SKIP:  $\langle \checkmark(r) \in P^0 \Rightarrow P \rightsquigarrow_{\checkmark r} \Omega (SKIP r) \rangle$   

using SKIP-trans-tick-Ω-SKIP τ-trans-tick-trans  

initial-tick-imp-τ-trans-SKIP by blast
```

```
lemma tick-trans-imp-tick-trans-Ω-SKIP :  $\langle \exists P'. P \rightsquigarrow_{\checkmark r} P' \Rightarrow P \rightsquigarrow_{\checkmark r} \Omega (SKIP r) \rangle$   

using initial-tick-imp-tick-trans-Ω-SKIP by blast
```

```
lemma SKIP-cant-ev-trans:  $\langle \neg SKIP r \rightsquigarrow_e P \rangle$   

and STOP-cant-ev-trans:  $\langle \neg STOP \rightsquigarrow_e P \rangle$   

and STOP-cant-tick-trans:  $\langle \neg STOP \rightsquigarrow_{\checkmark r} P \rangle$  by simp-all
```

```
lemma ev-trans-Mprefix:  $\langle e \in A \Rightarrow \Box a \in A \rightarrow P a \rightsquigarrow_e (P e) \rangle$   

by (simp add: Aftertick-def After-Mprefix τ-trans-eq initials-Mprefix)
```

```
lemma ev-trans-Mndetprefix:  $\langle e \in A \Rightarrow \Box a \in A \rightarrow P a \rightsquigarrow_e (P e) \rangle$   

by (simp add: Aftertick-def After-Mndetprefix τ-trans-eq initials-Mndetprefix)
```

```
lemma ev-trans-prefix:  $\langle e \rightarrow P \rightsquigarrow_e P \rangle$   

by (metis ev-trans-Mprefix insertI1 write0-def)
```

```
lemmas prefix-OpSem-rules = ev-trans-prefix ev-trans-Mprefix ev-trans-Mndetprefix
```

```
lemma τ-trans-GlobalNdet:  $\langle \Box a \in A. P a \rightsquigarrow_{\tau} P e \rangle$  if  $\langle e \in A \rangle$   

proof –
```

```
have  $\langle \Box a \in A. P a = P e \sqcap (\Box a \in A. P a) \rangle$   

by (metis GlobalNdet-factorization-union GlobalNdet-unit  

empty-iff insertI1 insert-absorb insert-is-Un that)  

thus  $\langle \Box a \in A. P a \rightsquigarrow_{\tau} P e \rangle$  by (metis τ-trans-NdetL)  

qed
```

```
lemmas Ndet-OpSem-rules = τ-trans-NdetL τ-trans-NdetR τ-trans-GlobalNdet
```

**lemma**  $\tau\text{-trans-fix-point}$ :  $\langle \text{cont } f \implies P = (\mu X. f X) \implies P \rightsquigarrow_{\tau} f P \rangle$   
**by** (metis  $\tau\text{-trans-eq cont-process-rec}$ )

**lemmas**  $\text{fix-point-OpSem-rule} = \tau\text{-trans-fix-point}$

**lemma**  $\text{ev-trans-DetL}$ :  $\langle P \rightsquigarrow_e P' \implies P \Box Q \rightsquigarrow_e P' \rangle$   
**by** (metis  $\text{After}_{\text{tick}}\text{-Det-is-After}_{\text{tick}}\text{-Ndet UnCI } \tau\text{-trans-NdetL } \tau\text{-trans-ev-trans initials-Det}$ )

**lemma**  $\text{ev-trans-DetR}$ :  $\langle Q \rightsquigarrow_e Q' \implies P \Box Q \rightsquigarrow_e Q' \rangle$   
**by** (metis  $\text{Det-commute ev-trans-DetL}$ )

**lemma**  $\text{tick-trans-DetL}$ :  $\langle P \rightsquigarrow_{\check{r}} P' \implies P \Box Q \rightsquigarrow_{\check{r}} \Omega(\text{SKIP } r) \ r \rangle$   
**by** (metis  $\text{UnCI initials-Det initial-tick-imp-tick-trans-}\Omega\text{-SKIP}$ )

**lemma**  $\text{tick-trans-DetR}$ :  $\langle Q \rightsquigarrow_{\check{r}} Q' \implies P \Box Q \rightsquigarrow_{\check{r}} \Omega(\text{SKIP } r) \ r \rangle$   
**by** (metis  $\text{Det-commute tick-trans-DetL}$ )

**lemma**  $\text{ev-trans-GlobalDet}$ :

$\langle \Box a \in A. P a \rightsquigarrow_e Q \rangle$  **if**  $\langle a \in A \rangle$  **and**  $\langle P a \rightsquigarrow_e Q \rangle$

**proof** (cases  $\langle A = \{a\} \rangle$ )

show  $\langle A = \{a\} \implies \Box a \in A. P a \rightsquigarrow_e Q \rangle$  **by** (simp add:  $\langle P a \rightsquigarrow_e Q \rangle$ )

**next**

assume  $\langle A \neq \{a\} \rangle$

with  $\langle a \in A \rangle$  **obtain**  $A'$  **where**  $\langle a \notin A' \rangle$   $\langle A = \{a\} \cup A' \rangle$

**by** (metis  $\text{insert-is-Un mk-disjoint-insert}$ )

have  $\langle \Box a \in A. P a = P a \Box \text{GlobalDet } A' P \rangle$

**by** (simp add:  $\langle A = \{a\} \cup A' \rangle$   $\text{GlobalDet-distrib-unit-bis}[OF \langle a \notin A' \rangle]$ )

also **from**  $\text{ev-trans-DetL } \langle P a \rightsquigarrow_e Q \rangle$  **have**  $\langle \dots \rightsquigarrow_e Q \rangle$  **by** blast

finally show  $\langle \Box a \in A. P a \rightsquigarrow_e Q \rangle$ .

**qed**

**lemma**  $\text{tick-trans-GlobalDet}$ :

$\langle \Box a \in A. P a \rightsquigarrow_{\check{r}} \Omega(\text{SKIP } r) \ r \rangle$  **if**  $\langle a \in A \rangle$  **and**  $\langle P a \rightsquigarrow_{\check{r}} Q \rangle$

**proof** (cases  $\langle A = \{a\} \rangle$ )

show  $\langle A = \{a\} \implies \Box a \in A. P a \rightsquigarrow_{\check{r}} \Omega(\text{SKIP } r) \ r \rangle$

**by** (simp add:  $\text{initial-tick-imp-tick-trans-}\Omega\text{-SKIP } \langle P a \rightsquigarrow_{\check{r}} Q \rangle$ )

**next**

assume  $\langle A \neq \{a\} \rangle$

with  $\langle a \in A \rangle$  **obtain**  $A'$  **where**  $\langle a \notin A' \rangle$   $\langle A = \{a\} \cup A' \rangle$

**by** (metis  $\text{insert-is-Un mk-disjoint-insert}$ )

have  $\langle \Box a \in A. P a = P a \Box \text{GlobalDet } A' P \rangle$

**by** (simp add:  $\langle A = \{a\} \cup A' \rangle$   $\text{GlobalDet-distrib-unit-bis}[OF \langle a \notin A' \rangle]$ )

also **from**  $\text{tick-trans-DetL } \langle P a \rightsquigarrow_{\check{r}} Q \rangle$  **have**  $\langle \dots \rightsquigarrow_{\check{r}} \Omega(\text{SKIP } r) \ r \rangle$  **by** blast

finally show  $\langle \Box a \in A. P a \rightsquigarrow_{\check{r}} \Omega(\text{SKIP } r) \ r \rangle$ .

qed

**lemma** *ev-trans-SlidingL*:  $\langle P \rightsquigarrow_e P' \implies P \triangleright Q \rightsquigarrow_e P' \rangle$

**by** (*simp add: Sliding-def*)

(*meson τ-trans-NdetL τ-trans-ev-trans ev-trans-DetL*)

**lemma** *tick-trans-SlidingL*:  $\langle P \rightsquigarrow_r P' \implies P \triangleright Q \rightsquigarrow_r \Omega (\text{SKIP } r) \rangle$

**by** (*metis UnCI initials-Sliding initial-tick-imp-tick-trans-Ω-SKIP*)

**lemma** *τ-trans-SlidingR*:  $\langle P \triangleright Q \rightsquigarrow_\tau Q \rangle$

**by** (*simp add: Sliding-def τ-trans-NdetR*)

**lemma** *τ-trans-SeqR*:  $\langle P ; Q \rightsquigarrow_\tau Q' \rangle$  **if**  $\langle P \rightsquigarrow_r P' \rangle$  **and**  $\langle Q \rightsquigarrow_\tau Q' \rangle$

**proof** –

**from** *that(1)* **have**  $\langle P \sqsubseteq_{FD} \text{SKIP } r \rangle$  **by** (*simp add: initial-tick-iff-FD-SKIP*)

**with** *FD-iff-eq-Ndet* **have**  $\langle P = P \sqcap \text{SKIP } r \rangle$  ..

**hence**  $\langle P ; Q = (P ; Q) \sqcap (\text{SKIP } r ; Q) \rangle$  **by** (*metis Seq-distrib-Ndet-right*)

**also have**  $\langle \dots = (P ; Q) \sqcap Q \rangle$  **by** *simp*

**also have**  $\langle \dots \rightsquigarrow_\tau Q \rangle$  **by** (*simp add: τ-trans-NdetR*)

**finally show**  $\langle P ; Q \rightsquigarrow_\tau Q' \rangle$  **by** (*rule τ-trans-transitivity*) (*fact that(2)*)

qed

**lemma**  $\langle \checkmark(r) \in P^0 \implies Q \rightsquigarrow_e Q' \implies P ; Q \rightsquigarrow_e Q' \rangle$

**using** *τ-trans-SeqR τ-trans-eq τ-trans-ev-trans* **by** *blast*

**lemma** *tick-trans-Hiding*:  $\langle P \rightsquigarrow_r P' \implies P \setminus B \rightsquigarrow_r \Omega (\text{SKIP } r) \rangle$

**by** (*simp add: initial-tick-imp-tick-trans-Ω-SKIP initial-tick-imp-initial-tick-Hiding*)

**lemma** *τ-trans-SKIP-SyncL*:  $\langle P [S] Q \rightsquigarrow_\tau \text{SKIP } r [S] Q \rangle$  **if**  $\langle P \rightsquigarrow_r P' \rangle$

**proof** –

**from** *that* **have**  $\langle P \sqsubseteq_{FD} \text{SKIP } r \rangle$  **by** (*simp add: initial-tick-iff-FD-SKIP*)

**with** *FD-iff-eq-Ndet* **have**  $\langle P = P \sqcap \text{SKIP } r \rangle$  ..

**hence**  $\langle P [S] Q = (P [S] Q) \sqcap (\text{SKIP } r [S] Q) \rangle$  **by** (*metis Sync-distrib-Ndet-right*)

**also have**  $\langle \dots \rightsquigarrow_\tau (\text{SKIP } r [S] Q) \rangle$  **by** (*rule τ-trans-NdetR*)

```

finally show ⟨P ⟦S⟧ Q ~~~τ SKIP r ⟦S⟧ Q⟩ .
qed

lemma τ-trans-SKIP-SyncR: ⟨Q ~~~✓r Q' ⟹ P ⟦S⟧ Q ~~~τ P ⟦S⟧ SKIP r⟩
  by (metis Sync-commute τ-trans-SKIP-SyncL)

lemma tick-trans-SKIP-Sync-SKIP: ⟨SKIP r ⟦S⟧ SKIP r ~~~✓r Ω (SKIP r) r⟩
  by (simp add: SKIP-trans-tick-Ω-SKIP)

lemma ⟨SKIP r ⟦S⟧ SKIP r ~~~τ SKIP r⟩
  by (simp add: τ-trans-eq)

lemma tick-trans-InterruptL : ⟨P ~~~✓r P' ⟹ P △ Q ~~~✓r Ω (SKIP r) r⟩
and tick-trans-InterruptR : ⟨Q ~~~✓r Q' ⟹ P △ Q ~~~✓r Ω (SKIP r) r⟩
  by (rule initial-tick-imp-tick-trans-Ω-SKIP, simp add: initials-Interrupt)+

lemma tick-trans-ThrowL : ⟨P ~~~✓r P' ⟹ P Θ a ∈ A. Q a ~~~✓r Ω (SKIP r) r⟩
  by (rule initial-tick-imp-tick-trans-Ω-SKIP)
  (simp add: initials-Throw)

lemma ev-trans-ThrowR-inside:
  ⟨e ∈ A ⟹ P ~~~e P' ⟹ P Θ a ∈ A. Q a ~~~e (Q e)⟩
  by (simp add: Aftertick-Throw initials-Throw BOT-τ-trans-anything τ-trans-eq)

end

```

## 6.6 Recovering other operational rules

By adding a  $\tau$ -transition hypothesis on each operator, we can recover the remaining operational rules.

### 6.6.1 Det Laws

```

locale OpSemTransitionsDet = OpSemTransitions Ψ Ω ⟨(~~~τ)⟩
for Ψ :: ⟨[('a, 'r) processptick, 'a] ⇒ ('a, 'r) processptick⟩
  and Ω :: ⟨[('a, 'r) processptick, 'r] ⇒ ('a, 'r) processptick⟩
  and τ-trans :: ⟨[('a, 'r) processptick, ('a, 'r) processptick] ⇒ bool⟩ (infixl ⟨~~~τ⟩
50) +
  assumes τ-trans-DetL : ⟨P ~~~τ P' ⟹ P □ Q ~~~τ P' □ Q⟩
begin

lemma τ-trans-DetR : ⟨Q ~~~τ Q' ⟹ P □ Q ~~~τ P □ Q'⟩
  by (metis Det-commute τ-trans-DetL)

lemmas Det-OpSem-rules = τ-trans-DetL τ-trans-DetR

```

*ev-trans-DetL ev-trans-DetR  
tick-trans-DetL tick-trans-DetR*

**end**

### 6.6.2 Det relaxed Laws

```

locale OpSemTransitionsDetRelaxed = OpSemTransitions Ψ Ω ⟨(~~τ)⟩
  for Ψ :: ⟨[('a, 'r) processptick, 'a] ⇒ ('a, 'r) processptick⟩
    and Ω :: ⟨[('a, 'r) processptick, 'r] ⇒ ('a, 'r) processptick⟩
    and τ-trans :: ⟨[('a, 'r) processptick, ('a, 'r) processptick] ⇒ bool⟩ (infixl ⟨~~τ⟩
50) +
  assumes τ-trans-DetL : ⟨P = ⊥ ∨ P' ≠ ⊥ ∨ Q = ⊥ ⇒ P ~~τ P' ⇒ P □ Q
  ~~τ P' □ Q⟩
begin

lemma τ-trans-DetR : ⟨Q = ⊥ ∨ Q' ≠ ⊥ ∨ Q = ⊥ ⇒ Q ~~τ Q' ⇒ P □ Q
  ~~τ P □ Q'⟩
  by (metis Det-commute τ-trans-DetL)

lemmas Det-OpSem-rules = τ-trans-DetL τ-trans-DetR
  ev-trans-DetL ev-trans-DetR
  tick-trans-DetL tick-trans-DetR

end

```

### 6.6.3 Seq Laws

```

locale OpSemTransitionsSeq = OpSemTransitions Ψ Ω ⟨(~~τ)⟩
  for Ψ :: ⟨[('a, 'r) processptick, 'a] ⇒ ('a, 'r) processptick⟩
    and Ω :: ⟨[('a, 'r) processptick, 'r] ⇒ ('a, 'r) processptick⟩
    and τ-trans :: ⟨[('a, 'r) processptick, ('a, 'r) processptick] ⇒ bool⟩ (infixl ⟨~~τ⟩
50) +
  assumes τ-trans-SeqL : ⟨P ~~τ P' ⇒ P ; Q ~~τ P' ; Q⟩
begin

lemma ev-trans-SeqL: ⟨P ~~e P' ⇒ P ; Q ~~e P' ; Q⟩
  apply (cases ⟨P = ⊥⟩, solves ⟨simp add: BOT-ev-trans-anything⟩)
  apply (simp add: Aftertick-Seq initials-Seq image-iff disjoint-iff)
  by (meson τ-trans-NdetL τ-trans-SeqL τ-trans-transitivity ev-trans-is)

lemmas Seq-OpSem-rules = τ-trans-SeqL ev-trans-SeqL τ-trans-SeqR

end

```

#### 6.6.4 Renaming Laws

We are used to it now: we need to duplicate the locale in order to obtain the rules for the *Renaming* operator.

```

locale OpSemTransitionsDuplicated =
  OpSemTransitions $_{\alpha}$ : OpSemTransitions  $\Psi_{\alpha} \Omega_{\alpha} \langle (\alpha \rightsquigarrow \tau) \rangle +$ 
  OpSemTransitions $_{\beta}$ : OpSemTransitions  $\Psi_{\beta} \Omega_{\beta} \langle (\beta \rightsquigarrow \tau) \rangle$ 
  for  $\Psi_{\alpha} :: \langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
    and  $\Omega_{\alpha} :: \langle [('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
    and  $\tau\text{-trans}_{\alpha} :: \langle [('a, 'r) process_{ptick}, ('a, 'r) process_{ptick}] \Rightarrow \text{bool} \rangle$  (infixl
       $\langle \alpha \rightsquigarrow \tau \rangle$  50)
    and  $\Psi_{\beta} :: \langle [('b, 's) process_{ptick}, 'b] \Rightarrow ('b, 's) process_{ptick} \rangle$ 
    and  $\Omega_{\beta} :: \langle [('b, 's) process_{ptick}, 's] \Rightarrow ('b, 's) process_{ptick} \rangle$ 
    and  $\tau\text{-trans}_{\beta} :: \langle [('b, 's) process_{ptick}, ('b, 's) process_{ptick}] \Rightarrow \text{bool} \rangle$  (infixl  $\langle \beta \rightsquigarrow \tau \rangle$ 
      50)
  begin

    notation OpSemTransitions $_{\alpha}.\text{ev-trans}$  ( $\langle \cdot \rightsquigarrow \cdot \rightarrow [50, 3, 51] \rangle$  50)
    notation OpSemTransitions $_{\alpha}.\text{tick-trans}$  ( $\langle \cdot \rightsquigarrow \check{\cdot} \rightarrow [50, 3, 51] \rangle$  50)
    notation OpSemTransitions $_{\beta}.\text{ev-trans}$  ( $\langle \cdot \rightsquigarrow \cdot \rightarrow [50, 3, 51] \rangle$  50)
    notation OpSemTransitions $_{\beta}.\text{tick-trans}$  ( $\langle \cdot \rightsquigarrow \check{\cdot} \rightarrow [50, 3, 51] \rangle$  50)

    lemma tick-trans-Renaming:  $\langle P \rightsquigarrow \check{r} P' \implies \text{Renaming } P f g \rightsquigarrow \check{g} r (\Omega_{\beta} (\text{SKIP} (g r)) (g r)) \rangle$ 
      by (metis OpSemTransitions $_{\beta}.\text{initial-tick-imp-tick-trans-}\Omega\text{-SKIP}$ 
        Renaming-SKIP mono-Renaming-FD initial-tick-iff-FD-SKIP)

    end

    sublocale OpSemTransitionsDuplicated  $\subseteq$  AfterExtDuplicated .
    — Recovering AfterExtDuplicated.Aftertick-Renaming (and AfterDuplicated.After-Renaming)

locale OpSemTransitionsRenaming =
  OpSemTransitionsDuplicated  $\Psi_{\alpha} \Omega_{\alpha} \tau\text{-trans}_{\alpha} \Psi_{\beta} \Omega_{\beta} \tau\text{-trans}_{\beta}$ 
  for  $\Psi_{\alpha} :: \langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
    and  $\Omega_{\alpha} :: \langle [('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
    and  $\tau\text{-trans}_{\alpha} :: \langle [('a, 'r) process_{ptick}, ('a, 'r) process_{ptick}] \Rightarrow \text{bool} \rangle$  (infixl
       $\langle \alpha \rightsquigarrow \tau \rangle$  50)
    and  $\Psi_{\beta} :: \langle [('b, 's) process_{ptick}, 'b] \Rightarrow ('b, 's) process_{ptick} \rangle$ 
    and  $\Omega_{\beta} :: \langle [('b, 's) process_{ptick}, 's] \Rightarrow ('b, 's) process_{ptick} \rangle$ 
    and  $\tau\text{-trans}_{\beta} :: \langle [('b, 's) process_{ptick}, ('b, 's) process_{ptick}] \Rightarrow \text{bool} \rangle$  (infixl  $\langle \beta \rightsquigarrow \tau \rangle$ 
      50) +
    assumes  $\tau\text{-trans-Renaming} : \langle P \rightsquigarrow_{\tau} P' \implies \text{Renaming } P f g \rightsquigarrow_{\tau} \text{Renaming } P' f g \rangle$ 
  begin

    lemma ev-trans-Renaming:  $\langle \text{Renaming } P f g \rightsquigarrow_b (\text{Renaming } P' f g) \rangle$ 
      if  $\langle f a = b \rangle$  and  $\langle P \rightsquigarrow_a P' \rangle$ 

```

```

proof (cases  $\langle P = \perp \rangle$ )
  show  $\langle P = \perp \implies \text{Renaming } P f g \xrightarrow{\beta} \text{Renaming } P' f g \rangle$ 
    by (simp add: Aftertick-Renaming)
      (simp add: OpSemTransitions $\beta$ .BOT- $\tau$ -trans-anything
       OpSemTransitions $\beta$ .BOT-ev-trans-anything)
next
  assume non-BOT:  $\langle P \neq \perp \rangle$ 
  from that have initial :  $\langle \exists a. \text{ev } a \in \text{initials } P \wedge f a = b \rangle$  by blast
  show  $\langle \text{Renaming } P f g \xrightarrow{\beta} \text{Renaming } P' f g \rangle$ 
  proof (intro conjI)
    from initial show  $\langle \text{ev } b \in (\text{Renaming } P f g)^0 \rangle$ 
      by (force simp add: initials-Renaming image-iff)
next
  show  $\langle \text{OpSemTransitions}_{\beta}.\text{After}_{\text{tick}} (\text{Renaming } P f g) (\text{ev } b) \xrightarrow{\beta} \text{Renaming } P' f g \rangle$ 
    apply (simp add: Aftertick-Renaming non-BOT initial)
    apply (rule OpSemTransitions $\beta$ . $\tau$ -trans-transitivity
      [OF OpSemTransitions $\beta$ . $\tau$ -trans-GlobalNdet[of a]  $\tau$ -trans-Renaming])
    apply (solves simp add: that)
    using OpSemTransitions $\alpha$ .ev-trans-is that(2) by blast
qed
qed

lemmas Renaming-OpSem-rules =  $\tau$ -trans-Renaming tick-trans-Renaming ev-trans-Renaming
end

```

### 6.6.5 Hiding Laws

```

locale OpSemTransitionsHiding = OpSemTransitions  $\Psi \Omega \langle (\rightsquigarrow_{\tau}) \rangle$ 
  for  $\Psi :: \langle [('a, 'r) \text{process}_{\text{ptick}}, 'a] \Rightarrow ('a, 'r) \text{process}_{\text{ptick}} \rangle$ 
  and  $\Omega :: \langle [('a, 'r) \text{process}_{\text{ptick}}, 'r] \Rightarrow ('a, 'r) \text{process}_{\text{ptick}} \rangle$ 
  and  $\tau\text{-trans} :: \langle [('a, 'r) \text{process}_{\text{ptick}}, ('a, 'r) \text{process}_{\text{ptick}}] \Rightarrow \text{bool} \rangle$  (infixl  $\langle \rightsquigarrow_{\tau} \rangle$ 
50) +
  assumes  $\tau\text{-trans-Hiding} : \langle P \rightsquigarrow_{\tau} P' \implies P \setminus A \rightsquigarrow_{\tau} P' \setminus A \rangle$ 
begin

lemma  $\tau\text{-trans-Hiding-inside} : \langle P \setminus A \rightsquigarrow_{\tau} P' \setminus A \rangle$  if  $\langle e \in A \rangle$  and  $\langle P \rightsquigarrow_e P' \rangle$ 
proof –
  have  $\langle P \setminus A \sqsubseteq_{FD} P \text{ after}_{\checkmark} \text{ev } e \setminus A \rangle$ 
    by (simp add: Hiding-FD-Hiding-Aftertick-if-initial-inside that)
  with FD-iff-eq-Ndet have  $\langle P \setminus A = (P \setminus A) \sqcap (P \text{ after}_{\checkmark} \text{ev } e \setminus A) \rangle$  ..
  moreover have  $\langle \dots \rightsquigarrow_{\tau} P \text{ after}_{\checkmark} \text{ev } e \setminus A \rangle$  by (simp add:  $\tau$ -trans-NdetR)
  moreover have  $\langle \dots \rightsquigarrow_{\tau} P' \setminus A \rangle$  by (simp add:  $\tau$ -trans-Hiding that(2))
  ultimately show  $\langle P \setminus A \rightsquigarrow_{\tau} P' \setminus A \rangle$  by (metis  $\tau$ -trans-transitivity)
qed

lemma ev-trans-Hiding-notin:  $\langle P \setminus A \rightsquigarrow_e P' \setminus A \rangle$  if  $\langle e \notin A \rangle$  and  $\langle P \rightsquigarrow_e P' \rangle$ 

```

**proof** –

**note**  $\text{initial} = \text{initial-notin-imp-initial-Hiding}[OF \text{ that}(2)[\text{THEN conjunct1}] \text{ that}(1)]$

**have**  $\langle (P \setminus A) \text{ after}_\vee ev e \sqsubseteq_{FD} P \text{ after}_\vee ev e \setminus A \rangle$   
**by** (simp add: After<sub>tick</sub>-Hiding-FD-Hiding-After<sub>tick</sub>-if-initial-notin that)  
**with** FD-iff-eq-Ndet  
**have**  $\langle (P \setminus A) \text{ after}_\vee ev e = (P \setminus A) \text{ after}_\vee ev e \sqcap (P \text{ after}_\vee ev e \setminus A) \rangle ..$   
**moreover have**  $\langle \dots \rightsquigarrow_\tau P \text{ after}_\vee ev e \setminus A \rangle$  by (simp add:  $\tau$ -trans-NdetR)  
**moreover have**  $\langle \dots \rightsquigarrow_\tau P' \setminus A \rangle$  by (simp add:  $\tau$ -trans-Hiding that(2))  
**ultimately show**  $\langle P \setminus A \rightsquigarrow_e P' \setminus A \rangle$  by (metis (no-types)  $\tau$ -trans-transitivity initial)  
**qed**

**lemmas** Hiding-OpSem-rules =  $\tau$ -trans-Hiding tick-trans-Hiding  
ev-trans-Hiding-notin  $\tau$ -trans-Hiding-inside

**end**

### 6.6.6 Sync Laws

**locale** OpSemTransitionsSync = OpSemTransitions  $\Psi \Omega \langle (\rightsquigarrow_\tau) \rangle$   
**for**  $\Psi :: \langle [('a, 'r) \text{ process}_{ptick}, 'a] \Rightarrow ('a, 'r) \text{ process}_{ptick} \rangle$   
**and**  $\Omega :: \langle [('a, 'r) \text{ process}_{ptick}, 'r] \Rightarrow ('a, 'r) \text{ process}_{ptick} \rangle$   
**and**  $\tau\text{-trans} :: \langle [('a, 'r) \text{ process}_{ptick}, ('a, 'r) \text{ process}_{ptick}] \Rightarrow \text{bool} \rangle$  (infixl  $\langle \rightsquigarrow_\tau \rangle$  50) +  
**assumes**  $\tau\text{-trans-SyncL} : \langle P \rightsquigarrow_\tau P' \Rightarrow P \llbracket S \rrbracket Q \rightsquigarrow_\tau P' \llbracket S \rrbracket Q \rangle$   
**begin**

**lemma**  $\tau\text{-trans-SyncR} : \langle Q \rightsquigarrow_\tau Q' \Rightarrow P \llbracket S \rrbracket Q \rightsquigarrow_\tau P \llbracket S \rrbracket Q' \rangle$   
**by** (metis Sync-commute  $\tau$ -trans-SyncL)

**lemma** ev-trans-SyncL :  $\langle e \notin S \Rightarrow P \rightsquigarrow_e P' \Rightarrow P \llbracket S \rrbracket Q \rightsquigarrow_e P' \llbracket S \rrbracket Q \rangle$   
**by** (simp add: After<sub>tick</sub>-Sync initials-Sync BOT- $\tau$ -trans-anything image-iff)  
(meson  $\tau$ -trans-NdetL  $\tau$ -trans-SyncL  $\tau$ -trans-transitivity ev-trans-is)

**lemma** ev-trans-SyncR :  $\langle e \notin S \Rightarrow Q \rightsquigarrow_e Q' \Rightarrow P \llbracket S \rrbracket Q \rightsquigarrow_e P \llbracket S \rrbracket Q' \rangle$   
**by** (metis Sync-commute ev-trans-SyncL)

**lemma** ev-trans-SyncLR :  
 $\langle e \in S \Rightarrow P \rightsquigarrow_e P' \Rightarrow Q \rightsquigarrow_e Q' \Rightarrow P \llbracket S \rrbracket Q \rightsquigarrow_e P' \llbracket S \rrbracket Q' \rangle$   
**by** (simp add: After<sub>tick</sub>-Sync BOT- $\tau$ -trans-anything initials-Sync)  
(meson  $\tau$ -trans-SyncL  $\tau$ -trans-SyncR  $\tau$ -trans-transitivity ev-trans-is)

**lemmas** Sync-OpSem-rules =  $\tau$ -trans-SyncL  $\tau$ -trans-SyncR  
ev-trans-SyncL ev-trans-SyncR  
ev-trans-SyncLR  
 $\tau$ -trans-SKIP-SyncL  $\tau$ -trans-SKIP-SyncR  
tick-trans-SKIP-Sync-SKIP

end

### 6.6.7 Sliding Laws

```
locale OpSemTransitionsSliding = OpSemTransitions Ψ Ω ⟨(~~τ)⟩
  for Ψ :: ⟨[('a, 'r) processptick, 'a] ⇒ ('a, 'r) processptick⟩
    and Ω :: ⟨[('a, 'r) processptick, 'r] ⇒ ('a, 'r) processptick⟩
    and τ-trans :: ⟨[('a, 'r) processptick, ('a, 'r) processptick] ⇒ bool⟩ (infixl ⟨~~τ⟩
50) +
  assumes τ-trans-SlidingL : ⟨P ~~τ P' ⇒ P ▷ Q ~~τ P' ▷ Q⟩
  — We just add the τ-trans-SlidingL property.
```

begin

```
lemmas Sliding-OpSem-rules = τ-trans-SlidingR τ-trans-SlidingL
ev-trans-SlidingL tick-trans-SlidingL
```

end

### 6.6.8 Sliding relaxed Laws

```
locale OpSemTransitionsSlidingRelaxed = OpSemTransitions Ψ Ω ⟨(~~τ)⟩
  for Ψ :: ⟨[('a, 'r) processptick, 'a] ⇒ ('a, 'r) processptick⟩
    and Ω :: ⟨[('a, 'r) processptick, 'r] ⇒ ('a, 'r) processptick⟩
    and τ-trans :: ⟨[('a, 'r) processptick, ('a, 'r) processptick] ⇒ bool⟩ (infixl ⟨~~τ⟩
50) +
  assumes τ-trans-SlidingL : ⟨P = ⊥ ∨ P' ≠ ⊥ ∨ Q = ⊥ ⇒ P ~~τ P' ⇒ P ▷
Q ~~τ P' ▷ Q⟩
  — We just add the τ-trans-SlidingL property.
```

begin

```
lemmas Sliding-OpSem-rules = τ-trans-SlidingR τ-trans-SlidingL
ev-trans-SlidingL tick-trans-SlidingL
```

end

### 6.6.9 Interrupt Laws

```
locale OpSemTransitionsInterruptL = OpSemTransitions Ψ Ω ⟨(~~τ)⟩
  for Ψ :: ⟨[('a, 'r) processptick, 'a] ⇒ ('a, 'r) processptick⟩
    and Ω :: ⟨[('a, 'r) processptick, 'r] ⇒ ('a, 'r) processptick⟩
    and τ-trans :: ⟨[('a, 'r) processptick, ('a, 'r) processptick] ⇒ bool⟩ (infixl ⟨~~τ⟩
50) +
  assumes τ-trans-InterruptL : ⟨P ~~τ P' ⇒ P △ Q ~~τ P' △ Q⟩
```

begin

```
lemma ev-trans-InterruptL: ⟨P ~~e P' ⇒ P △ Q ~~e P' △ Q⟩
  apply (simp add: Aftertick-Interrupt initials-Interrupt)
  using τ-trans-InterruptL τ-trans-NdetR τ-trans-transitivity by blast
```

```

lemma ev-trans-InterruptR:  $\langle Q \rightsquigarrow_e Q' \Rightarrow P \triangle Q \rightsquigarrow_e Q' \rangle$ 
  apply (simp add: Aftertick-Interrupt initials-Interrupt)
  using  $\tau$ -trans-NdetL  $\tau$ -trans-transitivity by blast

end

locale OpSemTransitionsInterrupt = OpSemTransitionsInterruptL  $\Psi \Omega \langle (\rightsquigarrow_\tau) \rangle$ 
for  $\Psi :: \langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
  and  $\Omega :: \langle [('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
  and  $\tau\text{-trans} :: \langle [('a, 'r) process_{ptick}, ('a, 'r) process_{ptick}] \Rightarrow \text{bool} \rangle$  (infixl  $\langle \rightsquigarrow_\tau \rangle$ 
50) +
assumes  $\tau\text{-trans-InterruptR} : \langle Q \rightsquigarrow_\tau Q' \Rightarrow P \triangle Q \rightsquigarrow_\tau P \triangle Q' \rangle$ 
— We just add the  $\tau$ -trans-InterruptR property.

begin

lemmas Interrupt-OpSem-rules =  $\tau$ -trans-InterruptL  $\tau$ -trans-InterruptR
ev-trans-InterruptL ev-trans-InterruptR
tick-trans-InterruptL tick-trans-InterruptR

end

```

### 6.6.10 Throw Laws

```

locale OpSemTransitionsThrow = OpSemTransitions  $\Psi \Omega \langle (\rightsquigarrow_\tau) \rangle$ 
for  $\Psi :: \langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
  and  $\Omega :: \langle [('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
  and  $\tau\text{-trans} :: \langle [('a, 'r) process_{ptick}, ('a, 'r) process_{ptick}] \Rightarrow \text{bool} \rangle$  (infixl  $\langle \rightsquigarrow_\tau \rangle$ 
50) +
assumes  $\tau\text{-trans-ThrowL} : \langle P \rightsquigarrow_\tau P' \Rightarrow P \Theta a \in A. Q a \rightsquigarrow_\tau P' \Theta a \in A. Q$ 
a
begin

lemma ev-trans-ThrowL-notin:
 $\langle e \notin A \Rightarrow P \rightsquigarrow_e P' \Rightarrow P \Theta a \in A. Q a \rightsquigarrow_e (P' \Theta a \in A. Q a) \rangle$ 
by (simp add: Aftertick-Throw initials-Throw BOT- $\tau$ -trans-anything  $\tau$ -trans-ThrowL)

lemmas Throw-OpSem-rules =  $\tau$ -trans-ThrowL tick-trans-ThrowL
ev-trans-ThrowL-notin ev-trans-ThrowR-inside

end

```

## 6.7 Locales, Assemble !

It is now time to assemble our locales.

```
locale OpSemTransitionsAll =
  OpSemTransitionsDet  $\Psi \Omega \langle(\rightsquigarrow_{\tau})\rangle +$ 
  OpSemTransitionsSeq  $\Psi \Omega \langle(\rightsquigarrow_{\tau})\rangle +$ 
  OpSemTransitionsHiding  $\Psi \Omega \langle(\rightsquigarrow_{\tau})\rangle +$ 
  OpSemTransitionsSync  $\Psi \Omega \langle(\rightsquigarrow_{\tau})\rangle +$ 
  OpSemTransitionsSliding  $\Psi \Omega \langle(\rightsquigarrow_{\tau})\rangle +$ 
  OpSemTransitionsInterrupt  $\Psi \Omega \langle(\rightsquigarrow_{\tau})\rangle +$ 
  OpSemTransitionsThrow  $\Psi \Omega \langle(\rightsquigarrow_{\tau})\rangle$ 
for  $\Psi :: \langle[('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick}\rangle$ 
  and  $\Omega :: \langle[('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick}\rangle$ 
  and  $\tau\text{-trans} :: \langle[('a, 'r) process_{ptick}, ('a, 'r) process_{ptick}] \Rightarrow \text{bool}\rangle$  (infixl  $\langle\rightsquigarrow_{\tau}\rangle$ 
50)
```

Of course we need to duplicate the locale for obtaining *Renaming* rules.

```
locale OpSemTransitionsAllDuplicated =
  OpSemTransitionsAll $_{\alpha}$ : OpSemTransitionsAll  $\Psi_{\alpha} \Omega_{\alpha} \langle(\alpha \rightsquigarrow_{\tau})\rangle +$ 
  OpSemTransitionsAll $_{\beta}$ : OpSemTransitionsAll  $\Psi_{\beta} \Omega_{\beta} \langle(\beta \rightsquigarrow_{\tau})\rangle +$ 
  OpSemTransitionsRenaming  $\Psi_{\alpha} \Omega_{\alpha} \tau\text{-trans}_{\alpha} \Psi_{\beta} \Omega_{\beta} \tau\text{-trans}_{\beta}$ 
for  $\Psi_{\alpha} :: \langle[('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick}\rangle$ 
  and  $\Omega_{\alpha} :: \langle[('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick}\rangle$ 
  and  $\tau\text{-trans}_{\alpha} :: \langle[('a, 'r) process_{ptick}, ('a, 'r) process_{ptick}] \Rightarrow \text{bool}\rangle$  (infixl
 $\langle\alpha \rightsquigarrow_{\tau}\rangle$  50)
  and  $\Psi_{\beta} :: \langle[('b, 's) process_{ptick}, 'b] \Rightarrow ('b, 's) process_{ptick}\rangle$ 
  and  $\Omega_{\beta} :: \langle[('b, 's) process_{ptick}, 's] \Rightarrow ('b, 's) process_{ptick}\rangle$ 
  and  $\tau\text{-trans}_{\beta} :: \langle[('b, 's) process_{ptick}, ('b, 's) process_{ptick}] \Rightarrow \text{bool}\rangle$  (infixl  $\langle\beta \rightsquigarrow_{\tau}\rangle$ 
50)
begin

notation OpSemTransitionsAll $_{\alpha}.\text{ev-trans}$  ( $\langle - \alpha \rightsquigarrow - \rangle [50, 3, 51]$  50)
notation OpSemTransitionsAll $_{\alpha}.\text{tick-trans}$  ( $\langle - \alpha \rightsquigarrow \checkmark - \rangle [50, 3, 51]$  50)
notation OpSemTransitionsAll $_{\beta}.\text{ev-trans}$  ( $\langle - \beta \rightsquigarrow - \rangle [50, 3, 51]$  50)
notation OpSemTransitionsAll $_{\beta}.\text{tick-trans}$  ( $\langle - \beta \rightsquigarrow \checkmark - \rangle [50, 3, 51]$  50)

end
```

## 6.8 $(\rightsquigarrow_{\tau})$ instantiated with $(\sqsubseteq_{FD})$ or $(\sqsubseteq_{DT})$

### 6.8.1 $(\rightsquigarrow_{\tau})$ instantiated with $(\sqsubseteq_{FD})$

```
locale OpSemFD =
  fixes  $\Psi :: \langle[('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick}\rangle$ 
  and  $\Omega :: \langle[('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick}\rangle$ 
  assumes mono- $\Omega$ -FD:  $\langle \checkmark(r) \in Q^0 \Rightarrow P \sqsubseteq_{FD} Q \Rightarrow \Omega P r \sqsubseteq_{FD} \Omega Q r \rangle$ 
```

```

sublocale OpSemFD ⊆ OpSemTransitionsAll - - ⟨(⊑FD) :: ('a, 'r) processptick
⇒ ('a, 'r) processptick ⇒ bool⟩
proof unfold-locales
  show ⟨P ⊓ Q ⊑FD P⟩ for P Q :: ⟨('a, 'r) processptick⟩ by (fact Ndet-FD-self-left)
next
  show ⟨P ⊑FD Q ⟹ Q ⊑FD R ⟹ P ⊑FD R⟩ for P Q R :: ⟨('a, 'r) processptick⟩
  by (fact trans-FD)
next
  show ⟨P ⊑FD Q ⟹ Q0 ⊑ P0⟩ for P Q :: ⟨('a, 'r) processptick⟩ by (fact
anti-mono-initials-FD)
next
  show ⟨e ∈ Q0 ⟹ P ⊑FD Q ⟹
    AfterExt.Aftertick Ψ Ω P e ⊑FD AfterExt.Aftertick Ψ Ω Q e⟩ for e P Q
  by (cases e) (simp-all add: AfterExt.Aftertick-def After.mono-After-FD mono-Ω-FD)
next
  show ⟨P ⊑FD P' ⟹ P □ Q ⊑FD P' □ Q⟩ for P P' Q :: ⟨('a, 'r) processptick⟩
  by (intro mono-Det-FD idem-FD)
next
  show ⟨P ⊑FD P' ⟹ P ; Q ⊑FD P' ; Q⟩ for P P' Q :: ⟨('a, 'r) processptick⟩
  by (intro mono-Seq-FD idem-FD)
next
  show ⟨P ⊑FD P' ⟹ P \ A ⊑FD P' \ A⟩ for P P' :: ⟨('a, 'r) processptick⟩ and
A
  by (intro mono-Hiding-FD idem-FD)
next
  show ⟨P ⊑FD P' ⟹ P [S] Q ⊑FD P' [S] Q⟩ for P P' Q :: ⟨('a, 'r) processptick⟩
and S
  by (intro mono-Sync-FD idem-FD)
next
  show ⟨P ⊑FD P' ⟹ P ▷ Q ⊑FD P' ▷ Q⟩ for P P' Q :: ⟨('a, 'r) processptick⟩
  by (intro mono-Sliding-FD idem-FD)
next
  show ⟨P ⊑FD P' ⟹ P △ Q ⊑FD P' △ Q⟩ for P P' Q :: ⟨('a, 'r) processptick⟩
  by (intro mono-Interrupt-FD idem-FD)
next
  show ⟨Q ⊑FD Q' ⟹ P △ Q ⊑FD P △ Q'⟩ for P Q Q' :: ⟨('a, 'r) processptick⟩
  by (intro mono-Interrupt-FD idem-FD)
next
  show ⟨P ⊑FD P' ⟹ P Θ a ∈ A. Q a ⊑FD P' Θ a ∈ A. Q a⟩ for P P' :: ⟨('a,
'r) processptick⟩ and A Q
  by (intro mono-Throw-FD idem-FD)
qed

```

```

context OpSemFD
begin

```

Finally, the only remaining hypothesis is  $\llbracket \checkmark(?r) \in ?Q^0; ?P \sqsubseteq_{FD} ?Q \rrbracket \implies$

$\Omega ?P ?r \sqsubseteq_{FD} \Omega ?Q ?r$  when we instantiate our locale with the failure-divergence refinement ( $\sqsubseteq_{FD}$ ).

Of course, we can strengthen some previous results.

**notation** *failure-divergence-refine* (**infixl**  $\langle_{FD\rightsquigarrow\tau} \rangle$  50)

**notation** *ev-trans* ( $\langle - FD\rightsquigarrow - \rangle [50, 3, 51] 50$ )

**notation** *tick-trans* ( $\langle - FD\rightsquigarrow\checkmark - \rangle [50, 3, 51] 50$ )

**notation** *trace-trans* ( $\langle - FD\rightsquigarrow^* - \rangle [50, 3, 51] 50$ )

**lemma** *trace-trans-imp-F*:  $\langle P_{FD\rightsquigarrow^* s} Q \Rightarrow X \in \mathcal{R} Q \Rightarrow (s, X) \in \mathcal{F} P \rangle$   
**by** (*rule trace-trans-imp-F-if-τ-trans-imp-leF*) (*simp add: leFD-imp-leF*)

**lemma** *tickFree-trace-trans-BOT-imp-D*:  $\langle \text{tickFree } s \Rightarrow P_{FD\rightsquigarrow^* s} \perp \Rightarrow s \in \mathcal{D} P \rangle$   
**by** (*rule tickFree-trace-trans-BOT-imp-D-if-τ-trans-BOT-imp-eq-BOT-weak*) (*simp add: FD-antisym*)

**lemma** *F-trace-trans-reality-check*:  $\langle \text{tickFree } s \Rightarrow (s, X) \in \mathcal{F} P \longleftrightarrow (\exists Q. (P_{FD\rightsquigarrow^* s} Q) \wedge X \in \mathcal{R} Q) \rangle$   
**by** (*simp add: F-trace-trans-reality-check-weak leFD-imp-leF*)

**lemma** *D-trace-trans-reality-check*:  $\langle \text{tickFree } s \Rightarrow s \in \mathcal{D} P \longleftrightarrow P_{FD\rightsquigarrow^* s} \perp \rangle$   
**by** (*simp add: D-trace-trans-reality-check-weak FD-antisym*)

**lemma** *Ω-SKIP-is-STOP-imp-SKIP-trace-trans-iff*:  
 $\langle \Omega (\text{SKIP } r) r = \text{STOP} \Rightarrow (\text{SKIP } r_{FD\rightsquigarrow^* s} P) \longleftrightarrow s = [] \wedge P = \text{SKIP } r \vee s = [\checkmark(r)] \wedge P = \text{STOP} \rangle$   
**by** (*erule Ω-SKIP-is-STOP-imp-τ-trans-imp-leF-imp-SKIP-trace-trans-iff*)  
(*simp add: leFD-imp-leF*)

**lemmas**  $\tau\text{-trans-adm} = \text{le-FD-adm}$

**lemma** *ev-trans-adm*[*simp*]:

$\langle \llbracket \text{cont } (\lambda P. \Psi P e); \text{cont } u; \text{monofun } v \rrbracket \Rightarrow \text{adm } (\lambda x. u x_{FD\rightsquigarrow e} v x) \rangle$   
**by** *simp*

**lemma** *tick-trans-adm*[*simp*]:

$\langle \llbracket \text{cont } (\lambda P. \Omega P r); \text{cont } u; \text{monofun } v \rrbracket \Rightarrow \text{adm } (\lambda x. u x_{FD\rightsquigarrow \checkmark r} v x) \rangle$   
**by** *simp*

**lemma** *trace-trans-adm*[*simp*]:

$\langle \llbracket \forall x. \text{ev } x \in \text{set } s \longrightarrow \text{cont } (\lambda P. \Psi P x); \forall r. \checkmark(r) \in \text{set } s \longrightarrow \text{cont } (\lambda P. \Omega P r); \text{cont } u; \text{monofun } v \rrbracket \Rightarrow \text{adm } (\lambda x. u x_{FD\rightsquigarrow^* s} (v x)) \rangle$   
**by** *simp*

**end**

```
locale OpSemFDDuplicated =
  OpSemFD $\alpha$ : OpSemFD  $\Psi_\alpha \Omega_\alpha$  + OpSemFD $\beta$ : OpSemFD  $\Psi_\beta \Omega_\beta$ 
  for  $\Psi_\alpha :: \langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
    and  $\Omega_\alpha :: \langle [('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
    and  $\Psi_\beta :: \langle [('b, 's) process_{ptick}, 'b] \Rightarrow ('b, 's) process_{ptick} \rangle$ 
    and  $\Omega_\beta :: \langle [('b, 's) process_{ptick}, 's] \Rightarrow ('b, 's) process_{ptick} \rangle$ 

sublocale OpSemFDDuplicated  $\subseteq$  OpSemTransitionsAllDuplicated - -  $\langle (\sqsubseteq_{FD}) \rangle$  -
-  $\langle (\sqsubseteq_{FD}) \rangle$ 
  by (unfold-locales) (simp add: mono-Renaming-FD)
```

### 6.8.2 $(\rightsquigarrow_\tau)$ instantiated with $(\sqsubseteq_{DT})$

```
locale OpSemDT =
  fixes  $\Psi :: \langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
    and  $\Omega :: \langle [('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
  assumes mono- $\Omega$ -DT:  $\checkmark(r) \in Q^0 \Rightarrow P \sqsubseteq_{DT} Q \Rightarrow \Omega P r \sqsubseteq_{DT} \Omega Q r$ 

sublocale OpSemDT  $\subseteq$  OpSemTransitionsAll - -  $\langle (\sqsubseteq_{DT}) :: ('a, 'r) process_{ptick}$ 
 $\Rightarrow ('a, 'r) process_{ptick} \Rightarrow \text{bool} \rangle$ 
proof unfold-locales
  show  $\langle P \sqcap Q \sqsubseteq_{DT} P \rangle$  for  $P Q :: \langle ('a, 'r) process_{ptick} \rangle$  by (fact Ndet-DT-self-left)
  next
  show  $\langle P \sqsubseteq_{DT} Q \Rightarrow Q \sqsubseteq_{DT} R \Rightarrow P \sqsubseteq_{DT} R \rangle$  for  $P Q R :: \langle ('a, 'r) process_{ptick} \rangle$ 
    by (fact trans-DT)
  next
  show  $\langle P \sqsubseteq_{DT} Q \Rightarrow Q^0 \subseteq P^0 \rangle$  for  $P Q :: \langle ('a, 'r) process_{ptick} \rangle$  by (fact
  anti-mono-initials-DT)
  next
  show  $\langle e \in Q^0 \Rightarrow P \sqsubseteq_{DT} Q \Rightarrow$ 
    AfterExt.After $_{tick}$   $\Psi \Omega P e \sqsubseteq_{DT} \text{AfterExt.After}_{tick} \Psi \Omega Q e \rangle$  for  $e P Q$ 
    by (cases e) (simp-all add: AfterExt.After $_{tick}$ -def After.mono-After-DT mono- $\Omega$ -DT)
  qed (simp-all add: mono-Det-DT mono-Seq-DT mono-Hiding-DT mono-Sync-DT
  mono-Sliding-DT mono-Interrupt-DT mono-Throw-DT)
```

**context** OpSemDT  
**begin**

Finally, the only remaining hypothesis is  $\llbracket \checkmark(?r) \in ?Q^0; ?P \sqsubseteq_{DT} ?Q \rrbracket \Rightarrow \Omega ?P ?r \sqsubseteq_{DT} \Omega ?Q ?r$  when we instantiate our locale with the failure-divergence refinement  $(\sqsubseteq_{DT})$ .

Of course, we can strengthen some previous results.

**notation** trace-divergence-refine (**infixl**  $\langle_{DT} \rightsquigarrow_\tau \rangle$  50)

```

notation ev-trans ( $\langle \cdot \rangle_{DT^{\rightsquigarrow}} \rightarrow [50, 3, 51] 50$ )
notation tick-trans ( $\langle \cdot \rangle_{DT^{\rightsquigarrow}} \checkmark \rightarrow [50, 3, 51] 50$ )
notation trace-trans ( $\langle \cdot \rangle_{DT^{\rightsquigarrow^*}} \rightarrow [50, 3, 51] 50$ )

lemma tickFree-trace-trans-BOT-imp-D:  $\langle \text{tickFree } s \Rightarrow P_{DT^{\rightsquigarrow^*} s} \perp \Rightarrow s \in \mathcal{D} P \rangle$ 
  by (rule tickFree-trace-trans-BOT-imp-D-if- $\tau$ -trans-BOT-imp-eq-BOT-weak)
    (meson BOT-iff-Nil-D divergence-refine-def leDT-imp-leD subsetD)

lemma D-trace-trans-reality-check:  $\langle \text{tickFree } s \Rightarrow s \in \mathcal{D} P \longleftrightarrow P_{DT^{\rightsquigarrow^*} s} \perp \rangle$ 
  by (simp add: D-trace-trans-reality-check-weak BOT-iff-Nil-D tickFree-trace-trans-BOT-imp-D trace- $\tau$ -trans)

lemmas  $\tau$ -trans-adm = le-DT-adm

lemma ev-trans-adm[simp]:
   $\langle [\![\text{cont } (\lambda P. \Psi P e); \text{cont } u; \text{monofun } v]\!] \Rightarrow \text{adm } (\lambda x. u x_{DT^{\rightsquigarrow} e} v x) \rangle$ 
  by simp

lemma tick-trans-adm[simp]:
   $\langle [\![\text{cont } (\lambda P. \Omega P r); \text{cont } u; \text{monofun } v]\!] \Rightarrow \text{adm } (\lambda x. u x_{DT^{\rightsquigarrow}} \checkmark r v x) \rangle$ 
  by simp

lemma trace-trans-adm[simp]:
   $\langle [\![\forall x. \text{ev } x \in \text{set } s \longrightarrow \text{cont } (\lambda P. \Psi P x); \forall r. \checkmark(r) \in \text{set } s \longrightarrow \text{cont } (\lambda P. \Omega P r); \text{cont } u; \text{monofun } v]\!] \Rightarrow \text{adm } (\lambda x. u x_{DT^{\rightsquigarrow^*} s} (v x)) \rangle$ 
  by simp

If we only look at the traces and the divergences, non-deterministic and deterministic choices are the same. Therefore we can obtain even stronger results for the operational rules.

lemma  $\tau$ -trans-Det-is- $\tau$ -trans-Ndet:  $\langle P \square Q_{DT^{\rightsquigarrow} \tau} R \longleftrightarrow P \sqcap Q_{DT^{\rightsquigarrow} \tau} R \rangle$ 
  unfolding trace-divergence-refine-def trace-refine-def divergence-refine-def
  by (simp add: T-Det T-Ndet D-Det D-Ndet)

lemma  $\tau$ -trans-Sliding-is- $\tau$ -trans-Ndet:  $\langle P \triangleright Q_{DT^{\rightsquigarrow} \tau} R \longleftrightarrow P \sqcap Q_{DT^{\rightsquigarrow} \tau} R \rangle$ 
  unfolding Sliding-def by (metis Det-assoc Det-id  $\tau$ -trans-Det-is- $\tau$ -trans-Ndet)

end

locale OpSemDTDuplicate =
  OpSemDT $_{\alpha}$ : OpSemDT  $\Psi_{\alpha}$   $\Omega_{\alpha}$  + OpSemDT $_{\beta}$ : OpSemDT  $\Psi_{\beta}$   $\Omega_{\beta}$ 
  for  $\Psi_{\alpha} :: \langle ('a, 'r) \text{ process}_{ptick}, 'a \Rightarrow ('a, 'r) \text{ process}_{ptick} \rangle$ 
  and  $\Omega_{\alpha} :: \langle ('a, 'r) \text{ process}_{ptick}, 'r \Rightarrow ('a, 'r) \text{ process}_{ptick} \rangle$ 

```

```

and  $\Psi_\beta :: \langle [('b, 's) process_{ptick}, 'b] \Rightarrow ('b, 's) process_{ptick} \rangle$ 
and  $\Omega_\beta :: \langle [('b, 's) process_{ptick}, 's] \Rightarrow ('b, 's) process_{ptick} \rangle$ 

sublocale  $OpSemDT\text{Duplicated} \subseteq OpSemTransitionsAll\text{Duplicated}$  - -  $\langle (\sqsubseteq_{DT}) \rangle$  -
-  $\langle (\sqsubseteq_{DT}) \rangle$ 
by (unfold-locales) (simp add: mono-Renaming-DT)

```

## 6.9 $(\rightsquigarrow_\tau)$ instantiated with $(\sqsubseteq_F)$ or $(\sqsubseteq_T)$

We will only recover the rules for some operators.

### 6.9.1 $(\rightsquigarrow_\tau)$ instantiated with $(\sqsubseteq_F)$

```

locale  $OpSemF =$ 
fixes  $\Psi :: \langle [('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
      and  $\Omega :: \langle [('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick} \rangle$ 
assumes mono- $\Omega$ - $F$ :  $\langle \checkmark(r) \in Q^0 \implies P \sqsubseteq_F Q \implies \Omega P r \sqsubseteq_F \Omega Q r \rangle$ 

sublocale  $OpSemF \subseteq OpSemTransitionsHiding$  - -  $\langle (\sqsubseteq_F) :: ('a, 'r) process_{ptick} \Rightarrow ('a, 'r) process_{ptick} \Rightarrow \text{bool} \rangle$  +
   $OpSemTransitionsDetRelaxed$  - -  $\langle (\sqsubseteq_F) :: ('a, 'r) process_{ptick} \Rightarrow ('a, 'r) process_{ptick} \Rightarrow \text{bool} \rangle$  +
   $OpSemTransitionsSlidingRelaxed$  - -  $\langle (\sqsubseteq_F) :: ('a, 'r) process_{ptick} \Rightarrow ('a, 'r) process_{ptick} \Rightarrow \text{bool} \rangle$ 
proof unfold-locales
  show  $\langle P \sqcap Q \sqsubseteq_F P \rangle$  for  $P Q :: \langle ('a, 'r) process_{ptick} \rangle$  by (fact Ndet-F-self-left)
next
  show  $\langle P \sqsubseteq_F Q \implies Q \sqsubseteq_F R \implies P \sqsubseteq_F R \rangle$  for  $P Q R :: \langle ('a, 'r) process_{ptick} \rangle$ 
by (fact trans-F)
next
  show  $\langle P \sqsubseteq_F Q \implies Q^0 \subseteq P^0 \rangle$  for  $P Q :: \langle ('a, 'r) process_{ptick} \rangle$  by (fact anti-mono-initials-F)
next
  show  $\langle e \in Q^0 \implies P \sqsubseteq_F Q \implies AfterExt.After_{tick} \Psi \Omega P e \sqsubseteq_F AfterExt.After_{tick} \Psi \Omega Q e \rangle$  for  $e P Q$ 
by (cases e) (simp-all add: AfterExt.After_{tick}-def After.mono-After-F mono- $\Omega$ - $F$ )
qed (simp-all add: non-BOT-mono-Det-left-F non-BOT-mono-Sliding-F mono-Hiding-F)

```

```

context  $OpSemF$ 
begin

notation failure-refine (infixl  $\langle_F \rightsquigarrow_\tau\rangle$  50)
notation ev-trans ( $\langle \cdot \rightsquigarrow \cdot \rangle$  [50, 3, 51] 50)
notation tick-trans ( $\langle \cdot \rightsquigarrow \checkmark \cdot \rangle$  [50, 3, 51] 50)
notation trace-trans ( $\langle \cdot \rightsquigarrow^* \cdot \rangle$  [50, 3, 51] 50)

```

For *Det* and *Sliding*, we have relaxed versions on  $\tau$  transitions.

**end**

By duplicating the locale, we can recover a rules for *Renaming*.

```

locale OpSemFDuplicated =
  OpSemFα: OpSemF Ψα Ωα + OpSemFβ: OpSemF Ψβ Ωβ
  for Ψα :: ⟨[('a, 'r) processptick, 'a] ⇒ ('a, 'r) processptick⟩
    and Ωα :: ⟨[('a, 'r) processptick, 'r] ⇒ ('a, 'r) processptick⟩
    and Ψβ :: ⟨[('b, 's) processptick, 'b] ⇒ ('b, 's) processptick⟩
    and Ωβ :: ⟨[('b, 's) processptick, 's] ⇒ ('b, 's) processptick⟩

sublocale OpSemFDuplicated ⊆ OpSemTransitionsDuplicated - - ⟨(⊑F)⟩ - - ⟨(⊑F)⟩
  by unfold-locales

context OpSemFDuplicated
begin

  notation OpSemFα.ev-trans ⟨- αF~~- → [50, 3, 51] 50)
  notation OpSemFα.tick-trans ⟨- αF~~✓- → [50, 3, 51] 50)
  notation OpSemFβ.ev-trans ⟨- βF~~- → [50, 3, 51] 50)
  notation OpSemFβ.tick-trans ⟨- βF~~✓- → [50, 3, 51] 50)

  end

  context OpSemF
  begin

    lemma trace-trans-imp-F: ⟨P F~~*s Q ⇒ X ∈ R Q ⇒ (s, X) ∈ F P⟩
      by (rule trace-trans-imp-F-if-τ-trans-imp-leF) simp

    lemma Ω-SKIP-is-STOP-imp-SKIP-trace-trans-iff:
      ⟨Ω (SKIP r) r = STOP ⇒ (SKIP r F~~*s P) ←→ s = [] ∧ P = SKIP r ∨ s = [✓(r)] ∧ P = STOP⟩
      by (erule Ω-SKIP-is-STOP-imp-τ-trans-imp-leF-imp-SKIP-trace-trans-iff) simp

    lemmas τ-trans-adm = le-F-adm

    lemma ev-trans-adm[simp]:
      ⟨[cont (λP. Ψ P e); cont u; monofun v] ⇒ adm (λx. u x F~~e v x)⟩
      by simp

    lemma tick-trans-adm[simp]:
      ⟨[cont (λP. Ω P r); cont u; monofun v] ⇒ adm (λx. u x F~~✓r v x)⟩
      by simp

```

```

lemma trace-trans-adm[simp]:
  ⟨⟨⟨ $\forall x. ev x \in set s \rightarrow cont (\lambda P. \Psi P x)$ ;
     $\forall r. \checkmark(r) \in set s \rightarrow cont (\lambda P. \Omega P r)$ ;
     $cont u; monofun v \rangle \rangle \Rightarrow adm (\lambda x. u x F^{\rightsquigarrow} s (v x))$ ⟩
  by simp
end

```

### 6.9.2 $(\rightsquigarrow_\tau)$ instantiated with $(\sqsubseteq_T)$

```

locale OpSemTransitionsForT =
  OpSemTransitionsDet  $\Psi \Omega \langle(\rightsquigarrow_\tau)\rangle +$ 
  OpSemTransitionsHiding  $\Psi \Omega \langle(\rightsquigarrow_\tau)\rangle +$ 
  OpSemTransitionsSliding  $\Psi \Omega \langle(\rightsquigarrow_\tau)\rangle +$ 
  OpSemTransitionsInterrupt  $\Psi \Omega \langle(\rightsquigarrow_\tau)\rangle$ 
  for  $\Psi :: \langle[('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick}\rangle$ 
    and  $\Omega :: \langle[('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick}\rangle$ 
    and  $\tau\text{-trans} :: \langle[('a, 'r) process_{ptick}, ('a, 'r) process_{ptick}] \Rightarrow bool\rangle$  (infixl  $\langle\rightsquigarrow_\tau\rangle$  50)

locale OpSemT =
  fixes  $\Psi :: \langle[('a, 'r) process_{ptick}, 'a] \Rightarrow ('a, 'r) process_{ptick}\rangle$ 
    and  $\Omega :: \langle[('a, 'r) process_{ptick}, 'r] \Rightarrow ('a, 'r) process_{ptick}\rangle$ 
  assumes mono- $\Omega$ -T:  $\langle\checkmark(r) \in Q^0 \Rightarrow P \sqsubseteq_T Q \Rightarrow \Omega P r \sqsubseteq_T \Omega Q r\rangle$ 

sublocale OpSemT ⊆ OpSemTransitionsForT - - ⟨ $\sqsubseteq_T$ ⟩ :: ⟨ $('a, 'r) process_{ptick} \Rightarrow ('a, 'r) process_{ptick} \Rightarrow bool\rangle$ 
proof unfold-locales
  show ⟨ $P \sqsubseteq_T Q \Rightarrow P$ ⟩ for  $P Q :: \langle('a, 'r) process_{ptick}\rangle$  by (fact Ndet-T-self-left)
next
  show ⟨ $P \sqsubseteq_T Q \Rightarrow Q \sqsubseteq_T R \Rightarrow P \sqsubseteq_T R$ ⟩ for  $P Q R :: \langle('a, 'r) process_{ptick}\rangle$ 
    by (fact trans-T)
next
  show ⟨ $P \sqsubseteq_T Q \Rightarrow Q^0 \subseteq P^0$ ⟩ for  $P Q :: \langle('a, 'r) process_{ptick}\rangle$  by (fact anti-mono-initials-T)
next
  show ⟨ $e \in Q^0 \Rightarrow P \sqsubseteq_T Q \Rightarrow AfterExt.After_{tick} \Psi \Omega P e \sqsubseteq_T AfterExt.After_{tick} \Psi \Omega Q e$ ⟩ for  $e P Q$ 
    by (cases e) (simp-all add: AfterExt.After_{tick}-def After.mono-After-T mono- $\Omega$ -T)
qed (simp-all add: mono-Det-T mono-Sliding-T mono-Hiding-T mono-Interrupt-T)

context OpSemT
begin

notation trace-refine (infixl  $\langle_T \rightsquigarrow_\tau \rangle$  50)
notation ev-trans (⟨-  $T \rightsquigarrow_- \rightarrow [50, 3, 51]$  50)
notation tick-trans (⟨-  $T \rightsquigarrow_{\checkmark} \rightarrow [50, 3, 51]$  50)
notation trace-trans (⟨-  $T \rightsquigarrow^* \rightarrow [50, 3, 51]$  50)

```

**end**

By duplicating the locale, we can recover a rules for *Renaming*.

```

locale OpSemTDuplicated =
  OpSemTα: OpSemT Ψα Ωα + OpSemTβ: OpSemT Ψβ Ωβ
  for  $\Psi_{\alpha} :: \langle [('a, 'r) \text{ process}_{ptick}, 'a] \Rightarrow ('a, 'r) \text{ process}_{ptick} \rangle$ 
    and  $\Omega_{\alpha} :: \langle [('a, 'r) \text{ process}_{ptick}, 'r] \Rightarrow ('a, 'r) \text{ process}_{ptick} \rangle$ 
    and  $\Psi_{\beta} :: \langle [('b, 's) \text{ process}_{ptick}, 'b] \Rightarrow ('b, 's) \text{ process}_{ptick} \rangle$ 
    and  $\Omega_{\beta} :: \langle [('b, 's) \text{ process}_{ptick}, 's] \Rightarrow ('b, 's) \text{ process}_{ptick} \rangle$ 

sublocale OpSemTDuplicated  $\subseteq$  OpSemTransitionsDuplicated - -  $\langle (\sqsubseteq_T) \rangle$  - -  $\langle (\sqsubseteq_T) \rangle$ 
  by unfold-locales

context OpSemTDuplicated
begin

  notation OpSemTα.ev-trans ( $\langle \cdot \rangle \text{ ev-trans}$ )  $\rightarrow [50, 3, 51]$  50
  notation OpSemTα.tick-trans ( $\langle \cdot \rangle \text{ tick-trans}$ )  $\rightarrow [50, 3, 51]$  50
  notation OpSemTβ.ev-trans ( $\langle \cdot \rangle \text{ ev-trans}$ )  $\rightarrow [50, 3, 51]$  50
  notation OpSemTβ.tick-trans ( $\langle \cdot \rangle \text{ tick-trans}$ )  $\rightarrow [50, 3, 51]$  50

end

context OpSemT
begin

  lemmas  $\tau\text{-trans-adm} = le\text{-}T\text{-adm}$ 

  lemma ev-trans-adm[simp]:
     $\langle \llbracket \text{cont } (\lambda P. \Psi P e); \text{cont } u; \text{monofun } v \rrbracket \Rightarrow \text{adm } (\lambda x. u x \text{ } T^{\rightsquigarrow}_e v x) \rangle$ 
    by simp

  lemma tick-trans-adm[simp]:
     $\langle \llbracket \text{cont } (\lambda P. \Omega P r); \text{cont } u; \text{monofun } v \rrbracket \Rightarrow \text{adm } (\lambda x. u x \text{ } T^{\rightsquigarrow}_{\checkmark r} v x) \rangle$ 
    by simp

  lemma trace-trans-adm[simp]:
     $\langle \llbracket \forall x. \text{ev } x \in \text{set } s \longrightarrow \text{cont } (\lambda P. \Psi P x);$ 
       $\forall r. \checkmark(r) \in \text{set } s \longrightarrow \text{cont } (\lambda P. \Omega P r); \text{cont } u; \text{monofun } v \rrbracket$ 
       $\Rightarrow \text{adm } (\lambda x. u x \text{ } T^{\rightsquigarrow} s (v x)) \rangle$ 
    by simp

```

If we only look at the traces, non-deterministic and deterministic choices are the same. Therefore we can obtain even stronger results for the operational rules.

```

lemma  $\tau\text{-trans-Det-is-}\tau\text{-trans-Ndet}$ :  $\langle P \square Q \text{ } T^{\rightsquigarrow}_{\tau} R \longleftrightarrow P \sqcap Q \text{ } T^{\rightsquigarrow}_{\tau} R \rangle$ 
  unfolding trace-divergence-refine-def trace-refine-def divergence-refine-def

```

```
by (simp add: T-Det T-Ndet D-Det D-Ndet)

lemma τ-trans-Sliding-is-τ-trans-Ndet: ‹P ▷ Q ⊤~~τ R ⟷ P ⊓ Q ⊤~~τ R›
  unfolding Sliding-def by (metis Det-assoc Det-id τ-trans-Det-is-τ-trans-Ndet)

end
```



# Chapter 7

## Recovered Laws pretty printed

### 7.1 General Case

This is the general case, working for  $(\sqsubseteq_{FD})$  and  $(\sqsubseteq_{DT})$ .

**context** *OpSemTransitionsAll* **begin**

#### Absorbency rules

$$\frac{\begin{array}{c} ?P \rightsquigarrow_{?e} ?P' \\ ?P' \rightsquigarrow_{\tau} ?P'' \end{array}}{?P \rightsquigarrow_{?e} ?P''}$$

$$\frac{\begin{array}{c} ?P \rightsquigarrow_{\tau} ?P' \\ ?P' \rightsquigarrow_{?e} ?P'' \end{array}}{?P \rightsquigarrow_{?e} ?P''}$$

$$\frac{\begin{array}{c} ?P \rightsquigarrow_{\checkmark ?r} ?P' \\ ?P' \rightsquigarrow_{\tau} ?P'' \end{array}}{?P \rightsquigarrow_{\checkmark ?r} ?P''}$$

$$\frac{\begin{array}{c} ?P \rightsquigarrow_{\tau} ?P' \\ ?P' \rightsquigarrow_{\checkmark ?r} ?P'' \end{array}}{?P \rightsquigarrow_{\checkmark ?r} ?P''}$$

#### SKIP rule

$$\overline{SKIP ?r \rightsquigarrow_{\checkmark ?r} \Omega (SKIP ?r) ?r}$$

#### $e \rightarrow P$ rules

$$\frac{\overline{?e \rightarrow ?P \rightsquigarrow_{?e} ?P}}{\square a \in ?A \rightarrow ?P a \rightsquigarrow_{?e} ?P ?e} \quad \frac{\overline{?e \in ?A}}{\square a \in ?A \rightarrow ?P a \rightsquigarrow_{?e} ?P ?e}$$

( $\sqcap$ ) rules

$$\frac{\overline{?P \sqcap ?Q \rightsquigarrow_{\tau} ?P}}{\overline{?P \sqcap ?Q \rightsquigarrow_{\tau} ?Q}} \quad \frac{\overline{?P \sqcap ?Q \rightsquigarrow_{\tau} ?Q}}{\overline{\sqcap a \in ?A. ?P a \rightsquigarrow_{\tau} ?P ?e}}$$

$\mu x. f x$  rule

$$\frac{cont ?f \quad ?P = (\mu x. ?f x)}{?P \rightsquigarrow_{\tau} ?f ?P}$$

( $\square$ ) rules

$$\begin{array}{c} \frac{?P \rightsquigarrow_{\tau} ?P'}{?P \square ?Q \rightsquigarrow_{\tau} ?P' \square ?Q} \quad \frac{?Q \rightsquigarrow_{\tau} ?Q'}{?P \square ?Q \rightsquigarrow_{\tau} ?P \square ?Q'} \\ \frac{\frac{?P \rightsquigarrow ?e ?P'}{?P \square ?Q \rightsquigarrow ?e ?P'}}{\frac{?P \rightsquigarrow_{\checkmark} ?r ?P'}{?P \square ?Q \rightsquigarrow_{\checkmark} ?r \Omega (SKIP ?r) ?r}} \quad \frac{\frac{?Q \rightsquigarrow ?e ?Q'}{?P \square ?Q \rightsquigarrow ?e ?Q'}}{\frac{?Q \rightsquigarrow_{\checkmark} ?r ?Q'}{?P \square ?Q \rightsquigarrow_{\checkmark} ?r \Omega (SKIP ?r) ?r}} \end{array}$$

(;) rules

$$\begin{array}{c} \frac{?P \rightsquigarrow_{\tau} ?P'}{?P ; ?Q \rightsquigarrow_{\tau} ?P' ; ?Q} \\ \frac{\frac{?P \rightsquigarrow ?e ?P'}{?P ; ?Q \rightsquigarrow ?e ?P' ; ?Q} \quad \frac{\frac{?P \rightsquigarrow_{\checkmark} ?r ?P'}{?P ; ?Q \rightsquigarrow_{\tau} ?P' ; ?Q} \quad \frac{?Q \rightsquigarrow_{\tau} ?Q'}{?P ; ?Q \rightsquigarrow_{\tau} ?Q'}}{?P ; ?Q \rightsquigarrow_{\tau} ?P' ; ?Q} \end{array}$$

( $\setminus$ ) rules

$$\begin{array}{c} \frac{?P \rightsquigarrow_{\tau} ?P'}{?P \setminus ?A \rightsquigarrow_{\tau} ?P' \setminus ?A} \quad \frac{?P \rightsquigarrow_{\checkmark} ?r ?P'}{?P \setminus ?B \rightsquigarrow_{\checkmark} ?r \Omega (SKIP ?r) ?r} \\ \frac{\frac{?e \notin ?A \quad ?P \rightsquigarrow ?e ?P'}{?P \setminus ?A \rightsquigarrow ?e ?P' \setminus ?A} \quad \frac{?e \in ?A \quad ?P \rightsquigarrow ?e ?P'}{?P \setminus ?A \rightsquigarrow_{\tau} ?P' \setminus ?A}}{?P \setminus ?A \rightsquigarrow_{\tau} ?P' \setminus ?A} \end{array}$$

*Sync* rules

$$\begin{array}{c}
 \frac{\begin{array}{c} ?P \rightsquigarrow_{\tau} ?P' \\ ?P \llbracket ?S \rrbracket ?Q \rightsquigarrow_{\tau} ?P' \llbracket ?S \rrbracket ?Q \end{array}}{\begin{array}{c} ?e \notin ?S \\ ?P \rightsquigarrow_{?e} ?P' \end{array}} \quad \frac{\begin{array}{c} ?Q \rightsquigarrow_{\tau} ?Q' \\ ?P \llbracket ?S \rrbracket ?Q \rightsquigarrow_{\tau} ?P \llbracket ?S \rrbracket ?Q' \end{array}}{\begin{array}{c} ?e \notin ?S \\ ?Q \rightsquigarrow_{?e} ?Q' \end{array}} \\
 \frac{\begin{array}{c} ?P \llbracket ?S \rrbracket ?Q \rightsquigarrow_{?e} ?P' \llbracket ?S \rrbracket ?Q \\ ?e \in ?S \end{array}}{\begin{array}{c} ?P \rightsquigarrow_{?e} ?P' \\ ?Q \rightsquigarrow_{?e} ?Q' \end{array}} \quad \frac{\begin{array}{c} ?P \rightsquigarrow_{?e} ?P' \\ ?Q \rightsquigarrow_{?e} ?Q' \end{array}}{\begin{array}{c} ?P \llbracket ?S \rrbracket ?Q \rightsquigarrow_{?e} ?P' \llbracket ?S \rrbracket ?Q' \\ ?P \rightsquigarrow_{?r} ?P' \end{array}} \\
 \frac{\begin{array}{c} ?P \llbracket ?S \rrbracket ?Q \rightsquigarrow_{\tau} SKIP ?r \llbracket ?S \rrbracket ?Q \\ ?Q \rightsquigarrow_{?r} ?Q' \end{array}}{\begin{array}{c} ?P \llbracket ?S \rrbracket ?Q \rightsquigarrow_{\tau} ?P \llbracket ?S \rrbracket SKIP ?r \end{array}}
 \end{array}$$

$$\overline{SKIP ?r \llbracket ?S \rrbracket SKIP ?r \rightsquigarrow_{?r} \Omega (SKIP ?r) ?r}$$

( $\triangleright$ ) rules

$$\begin{array}{c}
 \frac{\begin{array}{c} ?P \triangleright ?Q \rightsquigarrow_{\tau} ?Q \\ ?P \rightsquigarrow_{?e} ?P' \end{array}}{\begin{array}{c} ?P \triangleright ?Q \rightsquigarrow_{?e} ?P' \\ ?P \rightsquigarrow_{?r} ?P' \end{array}} \quad \frac{\begin{array}{c} ?P \rightsquigarrow_{\tau} ?P' \\ ?P \triangleright ?Q \rightsquigarrow_{\tau} ?P' \triangleright ?Q \end{array}}{\begin{array}{c} ?P \rightsquigarrow_{?r} ?P' \\ ?P \triangleright ?Q \rightsquigarrow_{?r} \Omega (SKIP ?r) ?r \end{array}}
 \end{array}$$

( $\triangle$ ) rules

$$\begin{array}{c}
 \frac{\begin{array}{c} ?P \rightsquigarrow_{\tau} ?P' \\ ?P \triangle ?Q \rightsquigarrow_{\tau} ?P' \triangle ?Q \end{array}}{\begin{array}{c} ?P \rightsquigarrow_{?e} ?P' \\ ?P \triangle ?Q \rightsquigarrow_{?e} ?P' \triangle ?Q \end{array}} \quad \frac{\begin{array}{c} ?Q \rightsquigarrow_{\tau} ?Q' \\ ?P \triangle ?Q \rightsquigarrow_{\tau} ?P \triangle ?Q' \end{array}}{\begin{array}{c} ?Q \rightsquigarrow_{?e} ?Q' \\ ?P \triangle ?Q \rightsquigarrow_{?e} ?Q' \end{array}} \\
 \frac{\begin{array}{c} ?P \rightsquigarrow_{?r} ?P' \\ ?P \triangle ?Q \rightsquigarrow_{?r} \Omega (SKIP ?r) ?r \end{array}}{\begin{array}{c} ?Q \rightsquigarrow_{?r} ?Q' \\ ?P \triangle ?Q \rightsquigarrow_{?r} \Omega (SKIP ?r) ?r \end{array}}
 \end{array}$$

*Throw* rules

$$\begin{array}{c}
 \frac{\begin{array}{c} ?P \rightsquigarrow_{\tau} ?P' \\ ?P \Theta a \in ?A. ?Q a \rightsquigarrow_{\tau} ?P' \Theta a \in ?A. ?Q a \end{array}}{\begin{array}{c} ?P \rightsquigarrow_{?r} ?P' \\ ?P \Theta a \in ?A. ?Q a \rightsquigarrow_{?r} \Omega (SKIP ?r) ?r \end{array}}
 \end{array}$$

$$\frac{\begin{array}{c} ?e \notin ?A & ?P \rightsquigarrow_{?e} ?P' \\ \hline ?P \Theta a \in ?A. ?Q a \rightsquigarrow_{?e} ?P' \Theta a \in ?A. ?Q a \end{array}}{\begin{array}{c} ?e \in ?A & ?P \rightsquigarrow_{?e} ?P' \\ \hline ?P \Theta a \in ?A. ?Q a \rightsquigarrow_{?e} ?Q ?e \end{array}}$$

end

**context** *OpSemTransitionsAllDuplicated* **begin**

*Renaming rules*

$$\frac{\begin{array}{c} ?P \rightsquigarrow_{\tau} ?P' \\ \hline Renaming ?P ?f ?g \rightsquigarrow_{\tau} Renaming ?P' ?f ?g \end{array}}{\begin{array}{c} ?P \rightsquigarrow_{\tau} ?P' \\ \hline Renaming ?P ?f ?g \rightsquigarrow_{\tau} ?g ?r \Omega_{\beta} (SKIP (?g ?r)) (?g ?r) \end{array}}$$

$$\frac{\begin{array}{c} ?f ?a = ?b & ?P \rightsquigarrow_{?a} ?P' \\ \hline Renaming ?P ?f ?g \rightsquigarrow_{\tau} ?b Renaming ?P' ?f ?g \end{array}}{Renaming ?P ?f ?g \rightsquigarrow_{\tau} ?b Renaming ?P' ?f ?g}$$

end

## 7.2 Special Cases

### 7.2.1 With the Refinement ( $\sqsubseteq_{DT}$ )

**context** *OpSemDT* **begin**

( $\square$ ) **rules**

$$\overline{P \sqsubseteq_{DT} Q} \quad P \quad \overline{P \sqsubseteq_{DT} Q} \quad Q$$

( $\triangleright$ ) **rules**

$$\overline{P \triangleright_{DT} Q} \quad P \quad \overline{P \triangleright_{DT} Q} \quad Q$$

end

### 7.2.2 With the Refinement ( $\sqsubseteq_F$ )

**context** *OpSemF* **begin**

### Absorbency rules

$$\begin{array}{c}
 \frac{\begin{array}{c} ?P_{F \rightsquigarrow ?e} ?P' \quad ?P'_{F \rightsquigarrow \tau} ?P'' \\ \hline ?P_{F \rightsquigarrow ?e} ?P'' \end{array}}{\begin{array}{c} ?P_{F \rightsquigarrow ?e} ?P' \quad ?P'_{F \rightsquigarrow ?e} ?P'' \\ \hline ?P_{F \rightsquigarrow ?e} ?P'' \end{array}} \\
 \frac{\begin{array}{c} ?P_{F \rightsquigarrow \checkmark ?r} ?P' \quad ?P'_{F \rightsquigarrow \tau} ?P'' \\ \hline ?P_{F \rightsquigarrow \checkmark ?r} ?P'' \end{array}}{\begin{array}{c} ?P_{F \rightsquigarrow \tau} ?P' \quad ?P'_{F \rightsquigarrow \checkmark ?r} ?P'' \\ \hline ?P_{F \rightsquigarrow \checkmark ?r} ?P'' \end{array}}
 \end{array}$$

### Skip rule

$$\overline{SKIP ?r_{F \rightsquigarrow \checkmark ?r} \Omega (SKIP ?r) ?r}$$

### $e \rightarrow P$ rules

$$\frac{\begin{array}{c} ?e \rightarrow ?Pa_{F \rightsquigarrow ?e} ?Pa \\ \hline ?e \in ?A \end{array}}{\square a \in ?A \rightarrow ?P a_{F \rightsquigarrow ?e} ?P ?e} \quad \frac{\begin{array}{c} ?e \in ?A \\ \hline \square a \in ?A \rightarrow ?P a_{F \rightsquigarrow ?e} ?P ?e \end{array}}{\square a \in ?A. ?P a_{F \rightsquigarrow \tau} ?P ?e}$$

### ( $\sqcap$ ) rules

$$\frac{\begin{array}{c} ?Pa \sqcap ?Q_{F \rightsquigarrow \tau} ?Pa \quad ?Pa \sqcap ?Q_{F \rightsquigarrow \tau} ?Q \\ \hline ?e \in ?A \end{array}}{\square a \in ?A. ?P a_{F \rightsquigarrow \tau} ?P ?e}$$

### $\mu x. f x$ rule

$$\frac{\begin{array}{c} cont ?f \quad ?P = (\mu x. ?f x) \\ \hline ?P_{F \rightsquigarrow \tau} ?f ?P \end{array}}{\overline{?P_{F \rightsquigarrow \tau} ?f ?P}}$$

( $\square$ ) rules

$$\begin{array}{c}
 \frac{\begin{array}{c} ?P = \perp \vee ?P' \neq \perp \vee ?Q = \perp \quad ?P \xrightarrow{\text{F}} \tau ?P' \\ ?P \square ?Q \xrightarrow{\text{F}} \tau ?P' \square ?Q \end{array}}{?P \square ?Q \xrightarrow{\text{F}} \tau ?P' \square ?Q} \\
 \frac{\begin{array}{c} ?Q = \perp \vee ?Q' \neq \perp \vee ?Q = \perp \quad ?Q \xrightarrow{\text{F}} \tau ?Q' \\ ?P \square ?Q \xrightarrow{\text{F}} \tau ?P \square ?Q' \end{array}}{?P \square ?Q \xrightarrow{\text{F}} \tau ?P \square ?Q'} \\
 \frac{\begin{array}{c} ?P \xrightarrow{\text{F}} e ?P' \quad ?Q \xrightarrow{\text{F}} e ?Q' \\ ?P \square ?Q \xrightarrow{\text{F}} e ?P' \square ?Q' \end{array}}{?P \square ?Q \xrightarrow{\text{F}} e ?P' \square ?Q'} \\
 \frac{\begin{array}{c} ?P \xrightarrow{\text{F}} \checkmark ?r ?P' \quad ?Q \xrightarrow{\text{F}} \checkmark ?r ?Q' \\ ?P \square ?Q \xrightarrow{\text{F}} \checkmark ?r ?P' \square ?Q' \end{array}}{?P \square ?Q \xrightarrow{\text{F}} \checkmark ?r \Omega (\text{SKIP } ?r) ?r}
 \end{array}$$

( $;$ ) rules

$$\frac{\begin{array}{c} ?P \xrightarrow{\text{F}} \checkmark ?r ?P' \quad ?Q \xrightarrow{\text{F}} \tau ?Q' \\ ?P ; ?Q \xrightarrow{\text{F}} \tau ?Q' \end{array}}{?P ; ?Q \xrightarrow{\text{F}} \tau ?Q'}$$

( $\setminus$ ) rules

$$\begin{array}{c}
 \frac{\begin{array}{c} ?P \xrightarrow{\text{F}} \tau ?P' \\ ?P \setminus ?A \xrightarrow{\text{F}} \tau ?P' \setminus ?A \end{array}}{?P \setminus ?A \xrightarrow{\text{F}} \tau ?P' \setminus ?A} \quad \frac{\begin{array}{c} ?P \xrightarrow{\text{F}} \checkmark ?r ?P' \\ ?P \setminus ?B \xrightarrow{\text{F}} \checkmark ?r \Omega (\text{SKIP } ?r) ?r \end{array}}{?P \setminus ?B \xrightarrow{\text{F}} \checkmark ?r \Omega (\text{SKIP } ?r) ?r} \\
 \frac{\begin{array}{c} ?e \notin ?A \quad ?P \xrightarrow{\text{F}} e ?P' \\ ?P \setminus ?A \xrightarrow{\text{F}} e ?P' \setminus ?A \end{array}}{?P \setminus ?A \xrightarrow{\text{F}} e ?P' \setminus ?A} \quad \frac{\begin{array}{c} ?e \in ?A \quad ?P \xrightarrow{\text{F}} e ?P' \\ ?P \setminus ?A \xrightarrow{\text{F}} \tau ?P' \setminus ?A \end{array}}{?P \setminus ?A \xrightarrow{\text{F}} \tau ?P' \setminus ?A}
 \end{array}$$

*Sync rules*

$$\frac{\begin{array}{c} ?P \xrightarrow{\text{F}} \checkmark ?r ?P' \\ ?P [\![?S]\!] ?Q \xrightarrow{\text{F}} \tau \text{SKIP } ?r [\![?S]\!] ?Q \end{array}}{?P [\![?S]\!] ?Q \xrightarrow{\text{F}} \tau ?P [\![?S]\!] \text{SKIP } ?r}$$

$$\frac{}{\text{SKIP } ?r [\![?S]\!] \text{SKIP } ?r \xrightarrow{\text{F}} \checkmark ?r \Omega (\text{SKIP } ?r) ?r}$$

( $\triangleright$ ) rules

$$\begin{array}{c}
 \frac{\begin{array}{c} ?P = \perp \vee ?P' \neq \perp \vee ?Q = \perp \quad ?P \xrightarrow{\text{F}} \tau ?P' \\ ?P \triangleright ?Q \xrightarrow{\text{F}} \tau ?P' \end{array}}{?P \triangleright ?Q \xrightarrow{\text{F}} \tau ?P'} \\
 \frac{\begin{array}{c} ?P \xrightarrow{\text{F}} e ?P' \quad ?P \xrightarrow{\text{F}} \checkmark ?r ?P' \\ ?P \triangleright ?Q \xrightarrow{\text{F}} e ?P' \quad ?P \triangleright ?Q \xrightarrow{\text{F}} \checkmark ?r ?P' \end{array}}{?P \triangleright ?Q \xrightarrow{\text{F}} \checkmark ?r \Omega (\text{SKIP } ?r) ?r}
 \end{array}$$

( $\Delta$ ) rules

$$\frac{?P \underset{F}{\rightsquigarrow} ?r \quad ?P'}{\overline{?P \triangle ?Q \underset{F}{\rightsquigarrow} ?r \Omega (SKIP ?r) ?r}} \quad \frac{?Q \underset{F}{\rightsquigarrow} ?r \quad ?Q'}{\overline{?P \triangle ?Q \underset{F}{\rightsquigarrow} ?r \Omega (SKIP ?r) ?r}}$$

Throw rules

$$\frac{\begin{array}{c} ?e \in ?A \quad ?P \underset{F}{\rightsquigarrow} ?e \quad ?P' \\ \hline ?P \Theta a \in ?A. \quad ?Q a \underset{F}{\rightsquigarrow} ?e \quad ?Q ?e \\ \quad ?P \underset{F}{\rightsquigarrow} ?r \quad ?P' \end{array}}{\overline{?P \Theta a \in ?A. \quad ?Q a \underset{F}{\rightsquigarrow} ?r \Omega (SKIP ?r) ?r}}$$

end

context *OpSemFDuplicated* begin

*Renaming* rules

$$\frac{?P \underset{\alpha F}{\rightsquigarrow} ?r \quad ?P'}{\overline{Renaming ?P ?f ?g \underset{\beta F}{\rightsquigarrow} ?g ?r \Omega_\beta (SKIP (?g ?r)) (?g ?r)}}$$

end

### 7.2.3 With the Refinement ( $\sqsubseteq_T$ )

context *OpSemT* begin

Absorbency rules

$$\frac{\begin{array}{c} ?P \underset{T}{\rightsquigarrow} ?e \quad ?P' \quad ?P' \underset{T}{\rightsquigarrow} \tau \quad ?P'' \\ \hline ?P \underset{T}{\rightsquigarrow} ?e \quad ?P'' \end{array}}{\overline{}} \quad \frac{\begin{array}{c} ?P \underset{T}{\rightsquigarrow} \tau \quad ?P' \quad ?P' \underset{T}{\rightsquigarrow} ?e \quad ?P'' \\ \hline ?P \underset{T}{\rightsquigarrow} ?e \quad ?P'' \end{array}}{\overline{}} \\ \frac{\begin{array}{c} ?P \underset{T}{\rightsquigarrow} ?r \quad ?P' \quad ?P' \underset{T}{\rightsquigarrow} \tau \quad ?P'' \\ \hline ?P \underset{T}{\rightsquigarrow} ?r \quad ?P'' \end{array}}{\overline{}} \quad \frac{\begin{array}{c} ?P \underset{T}{\rightsquigarrow} \tau \quad ?P' \quad ?P' \underset{T}{\rightsquigarrow} ?r \quad ?P'' \\ \hline ?P \underset{T}{\rightsquigarrow} ?r \quad ?P'' \end{array}}{\overline{}}$$

*SKIP* rule

$$\overline{SKIP ?r \underset{T}{\rightsquigarrow} ?r \Omega (SKIP ?r) ?r}$$

$e \rightarrow P$  rules

$$\frac{\overline{?e \rightarrow ?Pa \text{ } T^{\rightsquigarrow} ?e} \text{ } ?Pa}{?e \in ?A} \quad \frac{\overline{?e \in ?A}}{\square a \in ?A \rightarrow ?P a \text{ } T^{\rightsquigarrow} ?e \text{ } ?P ?e} \quad \frac{\overline{?e \in ?A}}{\square a \in ?A \rightarrow ?P a \text{ } T^{\rightsquigarrow} ?e \text{ } ?P ?e}$$

( $\sqcap$ ) rules

$$\frac{\overline{?Pa \sqcap ?Q \text{ } T^{\rightsquigarrow}_\tau \text{ } ?Pa} \quad \overline{?Pa \sqcap ?Q \text{ } T^{\rightsquigarrow}_\tau \text{ } ?Q}}{\overline{?e \in ?A}} \quad \frac{\overline{?e \in ?A}}{\square a \in ?A. \text{ } ?P a \text{ } T^{\rightsquigarrow}_\tau \text{ } ?P ?e}$$

$\mu x. f x$  rule

$$\frac{cont \text{ } ?f \quad ?P = (\mu x. \text{ } ?f x)}{?P \text{ } T^{\rightsquigarrow}_\tau \text{ } ?f \text{ } ?P}$$

( $\square$ ) rules

$$\frac{\overline{?P \text{ } T^{\rightsquigarrow}_\tau \text{ } ?P'}}{\overline{?P \square ?Q \text{ } T^{\rightsquigarrow}_\tau \text{ } ?P' \square ?Q}} \quad \frac{\overline{?Q \text{ } T^{\rightsquigarrow}_\tau \text{ } ?Q'}}{\overline{?P \square ?Q \text{ } T^{\rightsquigarrow}_\tau \text{ } ?P \square ?Q'}}$$

$$\frac{\overline{?P \text{ } T^{\rightsquigarrow} ?e \text{ } ?P'}}{\overline{?P \square ?Q \text{ } T^{\rightsquigarrow} ?e \text{ } ?P'}} \quad \frac{\overline{?Q \text{ } T^{\rightsquigarrow} ?e \text{ } ?Q'}}{\overline{?P \square ?Q \text{ } T^{\rightsquigarrow} ?e \text{ } ?Q'}}$$

$$\frac{\overline{?P \text{ } T^{\rightsquigarrow} \checkmark ?r \text{ } ?P'}}{\overline{?P \square ?Q \text{ } T^{\rightsquigarrow} \checkmark ?r \Omega \text{ } (SKIP \text{ } ?r) \text{ } ?r}} \quad \frac{\overline{?Q \text{ } T^{\rightsquigarrow} \checkmark ?r \text{ } ?Q'}}{\overline{?P \square ?Q \text{ } T^{\rightsquigarrow} \checkmark ?r \Omega \text{ } (SKIP \text{ } ?r) \text{ } ?r}}$$

(;) rules

$$\frac{\overline{?P \text{ } T^{\rightsquigarrow} \checkmark ?r \text{ } ?P'} \quad \overline{?Q \text{ } T^{\rightsquigarrow}_\tau \text{ } ?Q'}}{\overline{?P ; ?Q \text{ } T^{\rightsquigarrow}_\tau \text{ } ?Q'}}$$

(\() rules

$$\frac{\begin{array}{c} ?P \underset{T \rightsquigarrow \tau}{\sim} ?P' \\ ?P \setminus ?A \underset{T \rightsquigarrow \tau}{\sim} ?P' \setminus ?A \\ ?e \notin ?A \end{array}}{?P \setminus ?A \underset{T \rightsquigarrow ?e}{\sim} ?P' \setminus ?A} \quad \frac{\begin{array}{c} ?P \underset{T \rightsquigarrow \checkmark ?r}{\sim} ?P' \\ ?P \setminus ?B \underset{T \rightsquigarrow \checkmark ?r}{\sim} \Omega (SKIP ?r) ?r \\ ?e \in ?A \end{array}}{?P \setminus ?A \underset{T \rightsquigarrow \tau}{\sim} ?P' \setminus ?A}$$

Sync rules

$$\frac{\begin{array}{c} ?P \underset{T \rightsquigarrow \checkmark ?r}{\sim} ?P' \\ ?P [\![?S]\!] ?Q \underset{T \rightsquigarrow \tau}{\sim} SKIP ?r [\![?S]\!] ?Q \\ ?Q \underset{T \rightsquigarrow \checkmark ?r}{\sim} ?Q' \end{array}}{?P [\![?S]\!] ?Q \underset{T \rightsquigarrow \tau}{\sim} ?P [\![?S]\!] SKIP ?r}$$

$$\overline{SKIP ?r [\![?S]\!] SKIP ?r \underset{T \rightsquigarrow \checkmark ?r}{\sim} \Omega (SKIP ?r) ?r}$$

(\>) rules

$$\frac{\begin{array}{c} ?P \triangleright ?Q \underset{T \rightsquigarrow \tau}{\sim} ?Q \\ ?P \underset{T \rightsquigarrow ?e}{\sim} ?P' \end{array}}{?P \triangleright ?Q \underset{T \rightsquigarrow ?e}{\sim} ?P'} \quad \frac{\begin{array}{c} ?P \underset{T \rightsquigarrow \tau}{\sim} ?P' \\ ?P \triangleright ?Q \underset{T \rightsquigarrow \checkmark ?r}{\sim} \Omega (SKIP ?r) ?r \end{array}}{?P \triangleright ?Q \underset{T \rightsquigarrow \checkmark ?r}{\sim} ?P'}$$

(\triangle) rules

$$\frac{\begin{array}{c} ?P \underset{T \rightsquigarrow \tau}{\sim} ?P' \\ ?P \triangle ?Q \underset{T \rightsquigarrow \tau}{\sim} ?P' \triangle ?Q \\ ?P \underset{T \rightsquigarrow ?e}{\sim} ?P' \end{array}}{?P \triangle ?Q \underset{T \rightsquigarrow ?e}{\sim} ?P' \triangle ?Q} \quad \frac{\begin{array}{c} ?Q \underset{T \rightsquigarrow \tau}{\sim} ?Q' \\ ?P \triangle ?Q \underset{T \rightsquigarrow \tau}{\sim} ?P \triangle ?Q' \\ ?Q \underset{T \rightsquigarrow ?e}{\sim} ?Q' \end{array}}{?P \triangle ?Q \underset{T \rightsquigarrow ?e}{\sim} ?Q'}$$

$$\frac{\begin{array}{c} ?P \underset{T \rightsquigarrow \checkmark ?r}{\sim} ?P' \\ ?P \triangle ?Q \underset{T \rightsquigarrow \checkmark ?r}{\sim} \Omega (SKIP ?r) ?r \end{array}}{?P \triangle ?Q \underset{T \rightsquigarrow \checkmark ?r}{\sim} ?P'} \quad \frac{\begin{array}{c} ?Q \underset{T \rightsquigarrow \checkmark ?r}{\sim} ?Q' \\ ?P \triangle ?Q \underset{T \rightsquigarrow \checkmark ?r}{\sim} \Omega (SKIP ?r) ?r \end{array}}{?P \triangle ?Q \underset{T \rightsquigarrow \checkmark ?r}{\sim} ?Q'}$$

Throw rules

$$\frac{\begin{array}{c} ?e \in ?A \\ ?P \Theta a \in ?A. ?Q a \underset{T \rightsquigarrow ?e}{\sim} ?Q ?e \\ ?P \underset{T \rightsquigarrow \checkmark ?r}{\sim} ?P' \end{array}}{?P \Theta a \in ?A. ?Q a \underset{T \rightsquigarrow \checkmark ?r}{\sim} \Omega (SKIP ?r) ?r}$$

Because we only look at the traces, we actually have the following results.

( $\square$ ) **rules**

$$\frac{}{P \sqsupseteq Q \text{ } T^{\rightsquigarrow\tau} \text{ } P} \quad \frac{}{P \sqsupseteq Q \text{ } T^{\rightsquigarrow\tau} \text{ } Q}$$

( $\triangleright$ ) **rules**

$$\frac{}{P \triangleright Q \text{ } T^{\rightsquigarrow\tau} \text{ } P} \quad \frac{}{P \triangleright Q \text{ } T^{\rightsquigarrow\tau} \text{ } Q}$$

**end**

**context** *OpSemTDuplicated* **begin**

*Renaming rules*

$$\frac{?P \text{ } \alpha T^{\rightsquigarrow\checkmark} ?r \text{ } ?P'}{\text{Renaming } ?P \text{ } ?f \text{ } ?g \text{ } \beta T^{\rightsquigarrow\checkmark} ?g \text{ } ?r \text{ } \Omega_\beta \text{ (SKIP (?g ?r)) (?g ?r)}}$$

**end**

## Chapter 8

# Comparison with He and Hoare

```
lemma (in After) initial-ev-imp-eq-prefix-After-Sliding :
  ⪻P = (e → (P after e)) ▷ P⪻ if ⪻ev e ∈ P0
proof (subst Process-eq-spec, safe)
  show ⪻(s, X) ∈ F P ⇒ (s, X) ∈ F ((e → (P after e)) ▷ P)⪻ for s X
    by (simp add: F-Sliding)
next
  show ⪻(s, X) ∈ F ((e → (P after e)) ▷ P) ⇒ (s, X) ∈ F P⪻ for s X
    by (cases s) (auto simp add: F-Sliding write0-def F-Mprefix F-After ⪻ev e ∈ P0)
next
  show ⪻s ∈ D P ⇒ s ∈ D ((e → (P after e)) ▷ P)⪻ for s
    by (simp add: D-Sliding)
next
  show ⪻s ∈ D ((e → (P after e)) ▷ P) ⇒ s ∈ D P⪻ for s
    by (cases s) (auto simp add: D-Sliding write0-def D-Mprefix D-After ⪻ev e ∈ P0)
qed
```

```
context OpSemTransitions
begin
```

```
abbreviation τ-eq :: ⪻[('a, 'r) processptick, ('a, 'r) processptick] ⇒ bool⪻ (infix
⪻=τ⪻ 50)
  where ⪻P =τ Q ≡ P ↠τ Q ∧ Q ↠τ P⪻
```

```

lemma  $\tau\text{-eqI} : \langle P \rightsquigarrow_{\tau} Q \implies Q \rightsquigarrow_{\tau} P \implies P =_{\tau} Q \rangle$ 
  and  $\tau\text{-eqD1} : \langle P =_{\tau} Q \implies P \rightsquigarrow_{\tau} Q \rangle$ 
  and  $\tau\text{-eqD2} : \langle P =_{\tau} Q \implies Q \rightsquigarrow_{\tau} P \rangle$ 
  by simp-all

lemma  $\tau\text{-trans-iff-}\tau\text{-eq-Ndet}:$ 
   $\langle \forall P Q P' Q'. P \rightsquigarrow_{\tau} P' \longrightarrow Q \rightsquigarrow_{\tau} Q' \longrightarrow P \sqcap Q \rightsquigarrow_{\tau} P' \sqcap Q' \implies P \rightsquigarrow_{\tau} Q \longleftrightarrow P =_{\tau} P \sqcap Q \rangle$ 
  by (metis Ndet-id  $\tau\text{-trans-NdetL}$   $\tau\text{-trans-NdetR}$   $\tau\text{-trans-transitivity}$ )

lemma eq-imp- $\tau\text{-eq}$ :  $\langle P = Q \implies P =_{\tau} Q \rangle$  by (simp add:  $\tau\text{-trans-eq}$ )

definition ev-transHOARE ::  $\langle ('a, 'r) \text{ process}_{ptick} \Rightarrow 'a \Rightarrow ('a, 'r) \text{ process}_{ptick} \Rightarrow \text{bool} \rangle$  ( $\text{HOARE}^{\rightsquigarrow_{\tau}} \rightarrow [50, 3, 51] 50$ )
  where  $\langle P \text{ HOARE}^{\rightsquigarrow_{\tau}} e Q \equiv P \rightsquigarrow_{\tau} (e \rightarrow Q) \sqcap P \rangle$ 

lemma ev-transHOARE-imp-in-initials:
   $\langle P \text{ HOARE}^{\rightsquigarrow_{\tau}} e Q \implies \text{ev } e \in P^0,$ 
  using  $\tau\text{-trans-ev-trans ev-trans-DetL}$  ev-trans-prefix unfolding ev-transHOARE-def
  by blast

lemma ev-transHOARE-imp-ev-trans:  $\langle P \rightsquigarrow_e Q \rangle$  if  $\langle P \text{ HOARE}^{\rightsquigarrow_{\tau}} e Q \rangle$ 
proof (rule conjI)
  from  $\langle P \text{ HOARE}^{\rightsquigarrow_{\tau}} e Q \rangle$  show  $\langle \text{ev } e \in P^0 \rangle$  by (fact ev-transHOARE-imp-in-initials)

  from  $\langle P \text{ HOARE}^{\rightsquigarrow_{\tau}} e Q \rangle$  [unfolded ev-transHOARE-def]
  have  $\langle P \text{ after}_{\checkmark} \text{ ev } e \rightsquigarrow_{\tau} ((e \rightarrow Q) \sqcap P) \text{ after}_{\checkmark} \text{ ev } e \rangle$ 
    by (rule  $\tau\text{-trans-mono-After}_{ptick}$ [rotated]) (simp add: initials-Det initials-write0)
  moreover have  $\langle \dots = Q \sqcap (P \text{ after}_{\checkmark} \text{ ev } e) \rangle$ 
    by (simp add: Aftertick-Det  $\langle \text{ev } e \in P^0 \rangle$  initials-write0 Aftertick-write0)
  moreover have  $\langle \dots \rightsquigarrow_{\tau} Q \rangle$  by (fact  $\tau\text{-trans-NdetL}$ )
  ultimately show  $\langle P \text{ after}_{\checkmark} \text{ ev } e \rightsquigarrow_{\tau} Q \rangle$ 
    using  $\langle \text{ev } e \in P^0 \rangle$  ev-trans- $\tau$ -trans by presburger
  qed

```

Two assumptions on  $\tau$  transitions are necessary in the following proof, but are automatic when we instantiate ( $\rightsquigarrow_{\tau}$ ) with ( $\sqsubseteq_{FD}$ ), ( $\sqsubseteq_{DT}$ ), ( $\sqsubseteq_F$ ) or ( $\sqsubseteq_T$ ).

```

lemma hyps-on- $\tau\text{-trans-imp-ev-trans-imp-ev-trans}_{HOARE}$ :  $\langle P \text{ HOARE}^{\rightsquigarrow_{\tau}} e Q \rangle$ 
  if non-BOT- $\tau\text{-trans-DetL}$  :  $\langle \forall P P' Q. P = \perp \vee P' \neq \perp \longrightarrow P \rightsquigarrow_{\tau} P' \longrightarrow P \sqcap Q \rightsquigarrow_{\tau} P' \sqcap Q \rangle$ 
  and  $\tau\text{-trans-prefix} : \langle \forall P P' e. P \rightsquigarrow_{\tau} P' \longrightarrow (e \rightarrow P) \rightsquigarrow_{\tau} (e \rightarrow P') \rangle$ 
  and  $\langle P \rightsquigarrow_e Q \rangle$ 
proof (unfold ev-transHOARE-def)
  show  $\langle P \rightsquigarrow_{\tau} (e \rightarrow Q) \sqcap P \rangle$ 

```

```

proof (rule  $\tau$ -trans-transitivity)
  have  $\langle P = (e \rightarrow (P \text{ after } e)) \triangleright P \rangle$ 
    by (simp add: initial-ev-imp-eq-prefix-After-Sliding  $\langle P \rightsquigarrow_e Q \rangle$ )
  also have  $\langle (e \rightarrow (P \text{ after } e)) \triangleright P \rightsquigarrow_\tau (e \rightarrow (P \text{ after } e)) \square P \rangle$ 
    by (simp add: Sliding-def  $\tau$ -trans-NdetL)
  finally show  $\langle P \rightsquigarrow_\tau (e \rightarrow (P \text{ after } e)) \square P \rangle$  .
next
  show  $\langle (e \rightarrow (P \text{ after } e)) \square P \rightsquigarrow_\tau (e \rightarrow Q) \square P \rangle$ 
    by (rule non-BOT- $\tau$ -trans-DetL[rule-format],
      solves (simp add: write0-def)
      (rule  $\tau$ -trans-prefix[rule-format],
        fact  $\langle P \rightsquigarrow_e Q \rangle$  [simplified ev-trans-is, THEN conjunct2]))
qed
qed

```

— Of course, we obtain an equivalence.

```

lemma hypson- $\tau$ -trans-imp-ev-transHOARE-iff-ev-trans:
   $\langle \forall P P' Q. P = \perp \vee P' \neq \perp \longrightarrow P \rightsquigarrow_\tau P' \longrightarrow P \square Q \rightsquigarrow_\tau P' \square Q \implies$ 
   $\forall P P' e. P \rightsquigarrow_\tau P' \longrightarrow (e \rightarrow P) \rightsquigarrow_\tau (e \rightarrow P') \implies P \text{ HOARE}^{\rightsquigarrow_e} Q \longleftrightarrow P \rightsquigarrow_e Q$ 
  by (rule iffI[OF ev-transHOARE-imp-ev-trans hypson- $\tau$ -trans-imp-ev-trans-imp-ev-transHOARE])

```

— When  $P = \perp$ , we have the following result.

```

lemma BOT-ev-transHOARE-anything:  $\langle \perp \text{ HOARE}^{\rightsquigarrow_e} P \rangle$ 
proof —
  have  $\langle \perp = (e \rightarrow P) \square \perp \rangle$  by simp
  thus  $\langle \perp \text{ HOARE}^{\rightsquigarrow_e} P \rangle$  unfolding ev-transHOARE-def by (simp add:  $\tau$ -trans-eq)
qed

```

— Finally, again under two (automatic during instantiation) assumption on ( $\rightsquigarrow_\tau$ ), we have an equivalent definition with an  $\tau$  equality instead of a  $\tau$  transition.

```

lemma hypson- $\tau$ -trans-imp-ev-transHOARE-def-bis:  $\langle P \text{ HOARE}^{\rightsquigarrow_e} Q \longleftrightarrow P =_\tau (e \rightarrow Q) \triangleright P \rangle$ 
  if non-BOT- $\tau$ -trans-SlidingL :  $\langle \forall P P' Q. P = \perp \vee P' \neq \perp \longrightarrow P \rightsquigarrow_\tau P' \longrightarrow P \triangleright Q \rightsquigarrow_\tau P' \triangleright Q \rangle$ 
  and  $\tau$ -trans-prefix :  $\langle \forall P P' e. P \rightsquigarrow_\tau P' \longrightarrow (e \rightarrow P) \rightsquigarrow_\tau (e \rightarrow P') \rangle$ 
proof (rule iffI)
  show  $\langle P =_\tau (e \rightarrow Q) \triangleright P \implies P \text{ HOARE}^{\rightsquigarrow_e} Q \rangle$ 
    by (metis Sliding-def  $\tau$ -trans-NdetL  $\tau$ -trans-transitivity ev-transHOARE-def)
next
  show  $\langle P =_\tau (e \rightarrow Q) \triangleright P \text{ if } \langle P \text{ HOARE}^{\rightsquigarrow_e} Q \rangle \rangle$ 
  proof (rule  $\tau$ -eqI)
    show  $\langle (e \rightarrow Q) \triangleright P \rightsquigarrow_\tau P \rangle$  by (simp add:  $\tau$ -trans-SlidingR)
next
  from  $\langle P \text{ HOARE}^{\rightsquigarrow_e} Q \rangle$  have  $\langle P = (e \rightarrow (P \text{ after } e)) \triangleright P \rangle$ 
    by (simp add: ev-transHOARE-imp-in-initials initial-ev-imp-eq-prefix-After-Sliding)

```

```

also have ⟨... ~>τ (e → Q) ▷ P⟩
by (rule non-BOT-τ-trans-SlidingL[rule-format],
  solves ⟨simp add: write0-def⟩)
(rule τ-trans-prefix[rule-format],
  fact ⟨P HOARE~> e Q⟩[THEN ev-transHOARE-imp-ev-trans,
  unfolded ev-trans-is, THEN conjunct2])
finally show ⟨P ~>τ (e → Q) ▷ P⟩ .
qed
qed

end

context OpSemFD
begin

notation ev-transHOARE (⟨- FD-HOARE~> -> [50, 3, 51] 50)
notation τ-eq (infix ⟨FD=τ⟩ 50)

theorem ev-transHOARE-iff-ev-trans : ⟨P FD-HOARE~> e Q ←→ P FD~> e Q⟩
by (simp add: τ-trans-DetL hyps-on-τ-trans-imp-ev-transHOARE-iff-ev-trans mono-write0-FD)

theorem ev-transHOARE-def-bis: ⟨P FD-HOARE~> e Q ←→ P FD=τ (e → Q)
▷ P⟩
by (simp add: τ-trans-SlidingL hyps-on-τ-trans-imp-ev-transHOARE-def-bis mono-write0-FD)

end

context OpSemDT
begin

notation ev-transHOARE (⟨- DT-HOARE~> -> [50, 3, 51] 50)
notation τ-eq (infix ⟨DT=τ⟩ 50)

theorem ev-transHOARE-iff-ev-trans : ⟨P DT-HOARE~> e Q ←→ P DT~> e Q⟩
by (simp add: τ-trans-DetL hyps-on-τ-trans-imp-ev-transHOARE-iff-ev-trans mono-write0-DT)

theorem ev-transHOARE-def-bis: ⟨P DT-HOARE~> e Q ←→ P DT=τ (e → Q)
▷ P⟩
by (simp add: τ-trans-SlidingL hyps-on-τ-trans-imp-ev-transHOARE-def-bis mono-write0-DT)

end

context OpSemF
begin

```

```

notation ev-transHOARE ( $\langle \cdot \rangle_{F-HOARE^{\rightsquigarrow} \cdot} [50, 3, 51] 50$ )
notation  $\tau\text{-eq}$  (infix  $\langle_{F=\tau} \rangle 50$ )

theorem ev-transHOARE-iff-ev-trans :  $\langle P_{F-HOARE^{\rightsquigarrow} e} Q \longleftrightarrow P_{F^{\rightsquigarrow} e} Q \rangle$ 
  by (simp add: hyps-on- $\tau$ -trans-imp-ev-transHOARE-iff-ev-trans  $\tau$ -trans-DetL mono-write0-F)

theorem ev-transHOARE-def-bis:  $\langle P_{F-HOARE^{\rightsquigarrow} e} Q \longleftrightarrow P_{F=\tau} (e \rightarrow Q) \triangleright P \rangle$ 
  by (simp add: hyps-on- $\tau$ -trans-imp-ev-transHOARE-def-bis  $\tau$ -trans-SlidingL mono-write0-F)

end

context OpSemT
begin

notation ev-transHOARE ( $\langle \cdot \rangle_{T-HOARE^{\rightsquigarrow} \cdot} [50, 3, 51] 50$ )
notation  $\tau\text{-eq}$  (infix  $\langle_{T=\tau} \rangle 50$ )

theorem ev-transHOARE-iff-ev-trans :  $\langle P_{T-HOARE^{\rightsquigarrow} e} Q \longleftrightarrow P_{T^{\rightsquigarrow} e} Q \rangle$ 
  using  $\tau$ -trans-DetL ev-transHOARE-imp-ev-trans hyps-on- $\tau$ -trans-imp-ev-trans-imp-ev-transHOARE
    mono-write0-T by blast

theorem ev-transHOARE-def-bis:  $\langle P_{T-HOARE^{\rightsquigarrow} e} Q \longleftrightarrow P_{T=\tau} (e \rightarrow Q) \triangleright P \rangle$ 
  by (simp add:  $\tau$ -trans-SlidingL hyps-on- $\tau$ -trans-imp-ev-transHOARE-def-bis mono-write0-T)

end

```

## 8.1 Deadlock Results

```

lemma initial-ev-imp-in-events-of:  $\langle \text{ev } a \in P^0 \implies a \in \alpha(P) \rangle$ 
  by (meson AfterExt.events-of-iff-reachable-ev AfterExt.initial-ev-reachable)

lemma initial-tick-imp-in-ticks-of:  $\langle \checkmark(r) \in P^0 \implies r \in \checkmark s(P) \rangle$ 
  by (meson AfterExt.initial-tick-reachable AfterExt.ticks-of-iff-reachable-tick)

lemma  $\langle \text{UNIV} \in \mathcal{R} \wedge P \longleftrightarrow P \sqsubseteq_F \text{STOP} \rangle$ 
  unfolding failure-refine-def
  apply (simp add: D-STOP F-STOP Refusals-iff)
  using is-processT4 by blast

lemma no-events-of-if-at-most-initial-tick:  $\langle P^0 \subseteq \text{range tick} \implies \alpha(P) = \{\} \rangle$ 
  using empty-ev-initials-iff-empty-events-of by fast

lemma deadlock-free-initial-evE:

```

```

⟨deadlock-free P ⟹ (⋀ a. ev a ∈ P0 ⟹ thesis) ⟹ thesis
using empty-ev-initials-iff-empty-events-of
nonempty-events-of-if-deadlock-free by fastforce

```

```

context AfterExt
begin

```

As we said earlier, *After<sub>trace</sub>* allows us to obtain some very powerful results about *deadlock-free* and *deadlock-free<sub>SKIPs</sub>*.

### 8.1.1 Preliminaries and induction Rules

```

context fixes P :: ⟨('a, 'r) processptick⟩ begin
  lemma initials-Aftertrace-subset-events-of:
    ⟨(P afterT t)0 ⊆ ev ‘α(P)⟩ if ⟨non-terminating P⟩ ⟨t ∈ T P⟩
  proof –
    have ⟨tF t ⟹ ev a ∈ (P afterT t)0 ⟹ reachable-ev P a⟩ for a
      using reachable-ev-iff-in-initials-Aftertrace-for-some-tickFree-T that(2) by blast
    also have ⟨tF t ∧ range tick ∩ (P afterT t)0 = {}⟩
      by (simp add: disjoint-iff image-iff)
      (metis T-Aftertrace-eq initials-def mem-Collect-eq non-terminating-is-right
       non-tickFree-tick that(1) that(2) tickFree-append-iff)
    ultimately show ⟨(P afterT t)0 ⊆ ev ‘α(P)⟩
      by (simp add: subset-iff image-iff disjoint-iff)
      (metis eventptick.exhaust events-of-iff-reachable-ev)
  qed
end

```

With the next result, the general idea appears: instead of doing an induction only on the process  $P$  we are interested in, we include a quantification over all the processes than can be reached from  $P$  after some trace of  $P$ .

```

theorem Aftertrace-fix-ind [consumes 2, case-names cont step]:
  fixes ref :: ⟨[('a, 'r) processptick, ('a, 'r) processptick] ⇒ bool⟩ (infix ⟨⊑ref⟩ 60)
  assumes adm-ref : ⟨⋀ u v. cont (u :: ('a, 'r) processptick ⇒ ('a, 'r) processptick)
    ⇒ monofun v
    ⇒ adm (λx. u x ⊑ref v x)⟩
  and BOT-le-ref : ⟨⋀ Q. ⊥ ⊑ref Q⟩
  and cont-f : ⟨cont f⟩
  and hyp : ⟨⋀ s x. ∀ Q ∈ {Q. ∃ s ∈ T P. g s Q ∧ Q = P afterT s}. x ⊑ref Q
  ⟩
  ⟹
    s ∈ T P ⇒ g s (P afterT s) ⇒ f x ⊑ref P afterT s
  shows ⟨∀ Q ∈ {Q. ∃ s ∈ T P. g s Q ∧ Q = P afterT s}. (μ X. f X) ⊑ref Q⟩
  apply (induct rule: fix-ind)
  apply (solves ⟨simp add: adm-ref monofunI⟩)
  apply (solves ⟨simp add: BOT-le-ref⟩)

```

using *hyp cont-f* by auto

**lemma** *After<sub>trace</sub>-fix-ind-F* [consumes 1, case-names cont step]:

$$\langle \llbracket Q \in \{Q. \exists t \in \mathcal{T} P. g t Q \wedge Q = P \text{ after}_{\mathcal{T}} t\}; \text{cont } f; \\ \wedge t x. \llbracket \forall Q \in \{Q. \exists t \in \mathcal{T} P. g t Q \wedge Q = P \text{ after}_{\mathcal{T}} t\}. x \sqsubseteq_F Q; \\ t \in \mathcal{T} P; g t (P \text{ after}_{\mathcal{T}} t) \rrbracket \implies f x \sqsubseteq_F P \text{ after}_{\mathcal{T}} t \rrbracket \implies \\ (\mu X. f X) \sqsubseteq_F Q \rangle$$

**and** *After<sub>trace</sub>-fix-ind-D* [consumes 1, case-names cont step]:

$$\langle \llbracket Q \in \{Q. \exists t \in \mathcal{T} P. g t Q \wedge Q = P \text{ after}_{\mathcal{T}} t\}; \text{cont } f; \\ \wedge t x. \llbracket \forall Q \in \{Q. \exists t \in \mathcal{T} P. g t Q \wedge Q = P \text{ after}_{\mathcal{T}} t\}. x \sqsubseteq_D Q; \\ t \in \mathcal{T} P; g t (P \text{ after}_{\mathcal{T}} t) \rrbracket \implies f x \sqsubseteq_D P \text{ after}_{\mathcal{T}} t \rrbracket \implies \\ (\mu X. f X) \sqsubseteq_D Q \rangle$$

**and** *After<sub>trace</sub>-fix-ind-T* [consumes 1, case-names cont step]:

$$\langle \llbracket Q \in \{Q. \exists t \in \mathcal{T} P. g t Q \wedge Q = P \text{ after}_{\mathcal{T}} t\}; \text{cont } f; \\ \wedge t x. \llbracket \forall Q \in \{Q. \exists t \in \mathcal{T} P. g t Q \wedge Q = P \text{ after}_{\mathcal{T}} t\}. x \sqsubseteq_T Q; \\ t \in \mathcal{T} P; g t (P \text{ after}_{\mathcal{T}} t) \rrbracket \implies f x \sqsubseteq_T P \text{ after}_{\mathcal{T}} t \rrbracket \implies \\ (\mu X. f X) \sqsubseteq_T Q \rangle$$

**and** *After<sub>trace</sub>-fix-ind-FD* [consumes 1, case-names cont step]:

$$\langle \llbracket Q \in \{Q. \exists t \in \mathcal{T} P. g t Q \wedge Q = P \text{ after}_{\mathcal{T}} t\}; \text{cont } f; \\ \wedge t x. \llbracket \forall Q \in \{Q. \exists t \in \mathcal{T} P. g t Q \wedge Q = P \text{ after}_{\mathcal{T}} t\}. x \sqsubseteq_{FD} Q; \\ t \in \mathcal{T} P; g t (P \text{ after}_{\mathcal{T}} t) \rrbracket \implies f x \sqsubseteq_{FD} P \text{ after}_{\mathcal{T}} t \rrbracket \implies \\ (\mu X. f X) \sqsubseteq_{FD} Q \rangle$$

**and** *After<sub>trace</sub>-fix-ind-DT* [consumes 1, case-names cont step]:

$$\langle \llbracket Q \in \{Q. \exists t \in \mathcal{T} P. g t Q \wedge Q = P \text{ after}_{\mathcal{T}} t\}; \text{cont } f; \\ \wedge t x. \llbracket \forall Q \in \{Q. \exists t \in \mathcal{T} P. g t Q \wedge Q = P \text{ after}_{\mathcal{T}} t\}. x \sqsubseteq_{DT} Q; \\ t \in \mathcal{T} P; g t (P \text{ after}_{\mathcal{T}} t) \rrbracket \implies f x \sqsubseteq_{DT} P \text{ after}_{\mathcal{T}} t \rrbracket \implies \\ (\mu X. f X) \sqsubseteq_{DT} Q \rangle$$

**by** (all ⟨rule *After<sub>trace</sub>-fix-ind*[rule-format]⟩) simp-all

**corollary** *reachable-processes-fix-ind* [consumes 3, case-names cont step]:

$$\langle \llbracket Q \in \mathcal{R}_{proc} P; \\ \wedge u v. \llbracket \text{cont } (u :: ('a, 'r) process_{ptick} \Rightarrow ('a, 'r) process_{ptick}); \text{monofun } v \rrbracket \implies \\ \text{adm } (\lambda x. \text{ref } (u x) (v x)); \\ \wedge Q. \text{ref } \perp Q; \\ \text{cont } f; \\ \wedge t x. \llbracket \forall Q \in \mathcal{R}_{proc} P. \text{ref } x Q; t \in \mathcal{T} P; \text{tickFree } t \rrbracket \implies \text{ref } (f x) (P \text{ after}_{\mathcal{T}} t) \rrbracket \\ \implies \\ \text{ref } (\mu x. f x) Q \rangle$$

**by** (simp add: *reachable-processes-is*,

rule *After<sub>trace</sub>-fix-ind*[**where**  $g = \langle \lambda s. Q. \text{tickFree } s \rangle$ , simplified, rule-format]; simp)

**corollary** *reachable-processes-fix-ind-F* [consumes 1, case-names cont step]:

$$\langle \llbracket Q \in \mathcal{R}_{proc} P; \text{cont } f; \\ \wedge t x. \forall Q \in \mathcal{R}_{proc} P. x \sqsubseteq_F Q \implies t \in \mathcal{T} P \implies \text{tickFree } t \implies f x \sqsubseteq_F P \text{ after}_{\mathcal{T}} t \rrbracket \implies \\ (\mu X. f X) \sqsubseteq_F Q \rangle$$

**and** *reachable-processes-fix-ind-D* [consumes 1, case-names cont step]:  
 $\langle \llbracket Q \in \mathcal{R}_{proc} P; cont f; \wedge t x. \forall Q \in \mathcal{R}_{proc} P. x \sqsubseteq_D Q \implies t \in \mathcal{T} P \implies tickFree t \implies f x \sqsubseteq_D P \text{ after }_{\mathcal{T}} t \rrbracket \implies (\mu X. f X) \sqsubseteq_D Q \rangle$   
**and** *reachable-processes-fix-ind-T* [consumes 1, case-names cont step]:  
 $\langle \llbracket Q \in \mathcal{R}_{proc} P; cont f; \wedge t x. \forall Q \in \mathcal{R}_{proc} P. x \sqsubseteq_T Q \implies t \in \mathcal{T} P \implies tickFree t \implies f x \sqsubseteq_T P \text{ after }_{\mathcal{T}} t \rrbracket \implies (\mu X. f X) \sqsubseteq_T Q \rangle$   
**and** *reachable-processes-fix-ind-FD* [consumes 1, case-names cont step]:  
 $\langle \llbracket Q \in \mathcal{R}_{proc} P; cont f; \wedge t x. \forall Q \in \mathcal{R}_{proc} P. x \sqsubseteq_{FD} Q \implies t \in \mathcal{T} P \implies tickFree t \implies f x \sqsubseteq_{FD} P \text{ after }_{\mathcal{T}} t \rrbracket \implies (\mu X. f X) \sqsubseteq_{FD} Q \rangle$   
**and** *reachable-processes-fix-ind-DT* [consumes 1, case-names cont step]:  
 $\langle \llbracket Q \in \mathcal{R}_{proc} P; cont f; \wedge t x. \forall Q \in \mathcal{R}_{proc} P. x \sqsubseteq_{DT} Q \implies t \in \mathcal{T} P \implies tickFree t \implies f x \sqsubseteq_{DT} P \text{ after }_{\mathcal{T}} t \rrbracket \implies (\mu X. f X) \sqsubseteq_{DT} Q \rangle$   
**by** (all *rule reachable-processes-fix-ind*) simp-all

### 8.1.2 New idea: (after) induct instead of ( $\text{after}_{\mathcal{T}}$ )

### 8.1.3 New results on $\mathcal{R}_{proc}$

**lemma** *reachable-processes-FD-refinement-propagation-induct* [consumes 1, case-names cont base step]:  
— May be generalized or duplicated to other refinements.  
**assumes** *reachable* :  $\langle (Q :: ('a, 'r) process_{ptick}) \in \mathcal{R}_{proc} P \rangle$   
**and** *cont-f* :  $\langle cont f \rangle$   
**and** *base* :  $\langle (\mu x. f x) \sqsubseteq_{FD} P \rangle$   
**and** *step* :  $\langle \bigwedge a. a \in \alpha(P) \implies f (\mu x. f x) \text{ after } a = (\mu x. f x) \rangle$   
**shows**  $\langle (\mu x. f x) \sqsubseteq_{FD} Q \rangle$   
**proof** (use *reachable* in *induct rule: reachable-processes.induct*)  
  **case** *reachable-self*  
  **show**  $\langle (\mu x. f x) \sqsubseteq_{FD} P \rangle$  **by** (fact *base*)  
**next**  
  **case** (*reachable-after* *Q a*)  
  **from** *reachable-after.hyps(2)* **have**  $\langle f (\mu x. f x) \sqsubseteq_{FD} Q \rangle$   
  **by** (subst (asm) *cont-process-rec[OF refl cont-f]*)  
  **hence**  $* : \langle f (\mu x. f x) \text{ after } a \sqsubseteq_{FD} Q \text{ after } a \rangle$   
  **by** (simp add: mono-After-FD *reachable-after.hyps(3)*)  
  **from** *reachable-after.hyps(1, 3)* **have**  $\langle a \in \alpha(P) \rangle$   
  **using** *events-of-reachable-processes-subset initial-ev-imp-in-events-of* **by** (metis *in-mono*)  
  **hence**  $** : \langle f (\mu x. f x) \text{ after } a = (\mu x. f x) \rangle$  **by** (fact *local.step*)  
  **from**  $*[\text{unfolded } **]$  **show**  $\langle (\mu x. f x) \sqsubseteq_{FD} Q \text{ after } a \rangle$  .  
**qed**

**theorem**  $\mathcal{R}_{proc}\text{-fix-ind}$  [consumes 3, case-names cont step]:

```

fixes ref ::  $\langle [('a, 'r) \text{ process}_{ptick}, ('a, 'r) \text{ process}_{ptick}] \Rightarrow \text{bool} \rangle$  (infix  $\sqsubseteq_{ref}$ )
60)
assumes reachable :  $\langle Q \in \mathcal{R}_{proc} P \rangle$ 
    and adm-ref :  $\langle \bigwedge u v. \text{cont}(u :: ('a, 'r) \text{ process}_{ptick}) \Rightarrow ('a, 'r) \text{ process}_{ptick} \rangle$ 
 $\implies \text{monofun } v$ 
     $\implies \text{adm } (\lambda x. u x \sqsubseteq_{ref} v x)$ 
    and BOT-le-ref :  $\langle \bigwedge Q. \perp \sqsubseteq_{ref} Q \rangle$ 
    and cont-f :  $\langle \text{cont } f \rangle$ 
    and hyp :  $\langle \bigwedge x. \forall Q \in \mathcal{R}_{proc} P. x \sqsubseteq_{ref} Q \implies \forall Q \in \mathcal{R}_{proc} P. f x \sqsubseteq_{ref} Q \rangle$ 
shows  $\langle (\mu X. f X) \sqsubseteq_{ref} Q \rangle$ 
```

**proof** –

```

have  $\langle \forall Q \in \mathcal{R}_{proc} P. (\mu X. f X) \sqsubseteq_{ref} Q \rangle$ 
apply (induct rule: fix-ind)
    apply (solves simp add: adm-ref monofunI)
    apply (solves simp add: BOT-le-ref)
    using hyp cont-f by auto
with reachable show  $\langle (\mu X. f X) \sqsubseteq_{ref} Q \rangle$  by blast
qed
```

**lemma**  $\mathcal{R}_{proc}\text{-fix-ind-FD}$  [consumes 1, case-names cont step]:

```

 $\langle \llbracket Q \in \mathcal{R}_{proc} P; \text{cont } f; \bigwedge x. Q. \forall Q \in \mathcal{R}_{proc} P. x \sqsubseteq_{FD} Q \implies Q \in \mathcal{R}_{proc} P \implies f x \sqsubseteq_{FD} Q \rrbracket$ 
 $\implies (\mu X. f X) \sqsubseteq_{FD} Q$ 
by (rule  $\mathcal{R}_{proc}\text{-fix-ind}$ ) auto
```

— See what else we can add.

### 8.1.4 Induction Proofs

#### Generalizations

**lemma**  $\langle Mprefix A P = Mprefix B Q \implies A = B \rangle$   
**by** (drule arg-cong[where f = initials]) (auto simp add: initials-Mprefix)

**lemma**  $\langle Mnadtprefix A P = Mprefix B Q \implies A = B \rangle$   
**by** (drule arg-cong[where f = initials]) (auto simp add: initials-Mprefix initials-Mnadtprefix)

**lemma**  $\langle Mnadtprefix A P = Mnadtprefix B Q \implies A = B \rangle$   
**by** (drule arg-cong[where f = initials]) (auto simp add: initials-Mnadtprefix)

**print-context**

**lemma** *superset-initials-restriction-Mndetprefix-FD*:

$$\langle \sqcap a \in B \rightarrow P a \sqsubseteq_{FD} Q \rangle$$

**if**  $\langle \sqcap a \in A \rightarrow P a \sqsubseteq_{FD} Q \rangle$  **and**  $\langle \{e. ev e \in Q^0\} \subseteq B \rangle$  **and**  $\langle A \neq \{\} \vee B = \{\} \rangle$

**supply that'** = *that*(1)[unfolded failure-divergence-refine-def failure-refine-def divergence-refine-def]

**proof** (*unfold failure-divergence-refine-def failure-refine-def divergence-refine-def, safe*)

**from** *that*'[THEN conjunct2] *that*(2)

**show**  $\langle s \in \mathcal{D} Q \implies s \in \mathcal{D} (\text{Mndetprefix } B P) \rangle$  **for** *s*

**by** (simp add: D-Mndetprefix write0-def D-Mprefix subset-iff split: if-split-asm)  
*(metis initials-memI D-T empty-iff)*

**next**

**from** *that have that''* :  $\langle Q^0 \subseteq ev ' A \rangle$

**using** anti-mono-initials-FD initials-Mndetprefix **by** blast

**fix** *s X*

**assume**  $* : \langle (s, X) \in \mathcal{F} Q \rangle$

**with** set-mp[*OF that*'[THEN conjunct1] *this*]

**consider**  $\langle s = [] \mid e s' \text{ where } \langle s = ev e \# s' \rangle \langle ev e \in Q^0 \rangle$

**by** (simp add: F-Mndetprefix write0-def F-Mprefix split: if-split-asm)  
*(metis initials-memI F-T)*

**thus**  $\langle (s, X) \in \mathcal{F} (\text{Mndetprefix } B P) \rangle$

**proof cases**

**assume**  $\langle s = [] \rangle$

**hence**  $\langle ([] , X \cup (- Q^0)) \in \mathcal{F} Q \rangle$

**by** (metis \* ComplD initials-memI is-processT5-S7' self-append-conv2)

**from** set-mp[*OF that*'[THEN conjunct1] *this*] *that'' that*(2, 3)

**show**  $\langle (s, X) \in \mathcal{F} (\text{Mndetprefix } B P) \rangle$

**by** (simp add:  $\langle s = [] \rangle$  F-Mndetprefix write0-def F-Mprefix split: if-split-asm)

*blast*

**next**

**fix** *e s'* **assume**  $\langle s = ev e \# s' \rangle \langle ev e \in Q^0 \rangle$

**with** set-mp[*OF that*'[THEN conjunct1] *\**] *that*(2)

**show**  $\langle (s, X) \in \mathcal{F} (\text{Mndetprefix } B P) \rangle$

**by** (simp add: F-Mndetprefix write0-def F-Mprefix subset-iff split: if-split-asm)

*blast*

**qed**

**qed**

**corollary** *initials-restriction-Mndetprefix-FD*:

$$\langle \sqcap a \in A \rightarrow P a \sqsubseteq_{FD} Q \implies \sqcap a \in \{e. ev e \in Q^0\} \rightarrow P a \sqsubseteq_{FD} Q \rangle$$

**by** (cases  $\langle A = \{\} \rangle$ , simp add: STOP-FD-iff)  
*(simp add: superset-initials-restriction-Mndetprefix-FD)*

**corollary** *events-of-restriction-Mndetprefix-FD*:

$$\langle \sqcap a \in A \rightarrow P a \sqsubseteq_{FD} (Q :: ('a, 'r) processptick) \implies \sqcap a \in \alpha(Q) \rightarrow P a \sqsubseteq_{FD} Q \rangle$$

**by** (cases  $\langle A = \{\} \rangle$ , simp add: STOP-FD-iff)  
*(erule superset-initials-restriction-Mndetprefix-FD,  
simp-all add: subset-iff initial-ev-imp-in-events-of)*

**lemma** *superset-initials-restriction-Mprefix-FD*:

$\square a \in B \rightarrow P a \sqsubseteq_{FD} Q$

**if**  $\square a \in A \rightarrow P a \sqsubseteq_{FD} Q$  **and**  $\{e. ev e \in Q^0\} \subseteq B$

**and**  $B \subseteq A$  — Stronger assumption than with *Mndetprefix*.

**supply that'** = *that*(1)[unfolded failure-divergence-refine-def failure-refine-def divergence-refine-def]

**proof** (*unfold failure-divergence-refine-def failure-refine-def divergence-refine-def, safe*)

**from** *that*'[THEN conjunct2] *that*(2)

**show**  $\langle s \in \mathcal{D} \mid Q \implies s \in \mathcal{D} (\text{Mprefix } B \text{ } P) \rangle$  **for** *s*

**by** (simp add: D-Mprefix subset-iff image-iff)  
           (metis initials-memI D-T)

**next**

**from** *that* have *that*'' :  $Q^0 \subseteq ev`A$

**using** anti-mono-initials-FD initials-Mprefix **by** blast

**fix** *s* *X*

**assume** \* :  $\langle(s, X) \in \mathcal{F} \mid Q \rangle$

**with** set-mp[*OF that*'[THEN conjunct1] *this*]

**consider**  $\langle s = [] \mid \exists e s'. s = ev e \# s' \wedge ev e \in Q^0 \rangle$

**by** (simp add: F-Mprefix) (metis initials-memI F-T)

**thus**  $\langle(s, X) \in \mathcal{F} (\text{Mprefix } B \text{ } P) \rangle$

**proof cases**

**assume**  $\langle s = [] \rangle$

**hence**  $\langle([], X \cup (-Q^0)) \in \mathcal{F} \mid Q \rangle$

**by** (metis \* ComplD initials-memI is-processT5-S7' self-append-conv2)

**from** set-mp[*OF that*'[THEN conjunct1] *this*] *that*'' *that*(2, 3)

**show**  $\langle(s, X) \in \mathcal{F} (\text{Mprefix } B \text{ } P) \rangle$  **by** (simp add:  $\langle s = [] \rangle$  F-Mprefix) blast

**next**

**assume**  $\exists e s'. s = ev e \# s' \wedge ev e \in Q^0$

**with** set-mp[*OF that*'[THEN conjunct1] \*] *that*(2)

**show**  $\langle(s, X) \in \mathcal{F} (\text{Mprefix } B \text{ } P) \rangle$  **by** (auto simp add: F-Mprefix image-iff)

**qed**

**qed**

**corollary** *initials-restriction-Mprefix-FD*:

$\{e. ev e \in Q^0\} \subseteq A \implies \square a \in A \rightarrow P a \sqsubseteq_{FD} Q \implies$

$\square a \in \{e. ev e \in Q^0\} \rightarrow P a \sqsubseteq_{FD} Q$

**by** (erule superset-initials-restriction-Mprefix-FD; simp)

**corollary** *events-of-restriction-Mprefix-FD*:

$\alpha(Q) \subseteq A \implies \square a \in A \rightarrow P a \sqsubseteq_{FD} (Q :: ('a, 'r) process_{ptick}) \implies$

$\square a \in \alpha(Q) \rightarrow P a \sqsubseteq_{FD} Q$

**by** (erule superset-initials-restriction-Mprefix-FD,  
           simp add: subset-iff initial-ev-imp-in-events-of)

— This is much better with ( $\sqsubseteq_{DT}$ ) refinement.

**lemma** *superset-initials-restriction-Mprefix-DT*:

$$\langle \Box a \in B \rightarrow P a \sqsubseteq_{DT} Q \rangle \text{ if } \langle \Box a \in A \rightarrow P a \sqsubseteq_{DT} Q \rangle \text{ and } \langle \{e. ev e \in Q^0\} \subseteq B \rangle$$

**supply** *that'* = *that*(1)[unfolded trace-divergence-refine-def  
trace-refine-def divergence-refine-def]

**proof** (unfold trace-divergence-refine-def trace-divergence-refine-def  
trace-refine-def divergence-refine-def, safe)

**from** *that'[THEN conjunct2]* *that(2)*

**show**  $\langle s \in \mathcal{D} Q \implies s \in \mathcal{D} (Mprefix B P) \rangle$  **for** *s*

**by** (simp add: *D-Mprefix subset-iff image-iff*)  
(metis initials-memI *D-T*)

**next**

**from** *that have that''* :  $\langle Q^0 \subseteq ev ' A \rangle$

**using** anti-mono-initials-*DT* initials-*Mprefix* **by** blast

**fix** *s*

**assume**  $* : \langle s \in \mathcal{T} Q \rangle$

**with** set-mp[*OF that'[THEN conjunct1]* *this*]

**consider**  $\langle s = [] \mid \exists e s'. s = ev e \# s' \wedge ev e \in Q^0 \rangle$

**by** (simp add: *T-Mprefix*) (metis initials-memI)

**thus**  $\langle s \in \mathcal{T} (Mprefix B P) \rangle$

**proof cases**

**show**  $\langle s = [] \implies s \in \mathcal{T} (Mprefix B P) \rangle$  **by** simp

**next**

**assume**  $\langle \exists e s'. s = ev e \# s' \wedge ev e \in Q^0 \rangle$

**with** set-mp[*OF that'[THEN conjunct1]* *\**] *that(2)*

**show**  $\langle s \in \mathcal{T} (Mprefix B P) \rangle$  **by** (auto simp add: *T-Mprefix*)

**qed**

**qed**

**corollary** *initials-restriction-Mprefix-DT*:

$$\langle \Box a \in A \rightarrow P a \sqsubseteq_{DT} Q \implies \Box a \in \{e. ev e \in Q^0\} \rightarrow P a \sqsubseteq_{DT} Q \rangle$$

**by** (erule superset-initials-restriction-*Mprefix-DT*) simp

**corollary** *events-restriction-Mprefix-DT*:

$$\langle \Box a \in A \rightarrow P a \sqsubseteq_{DT} (Q :: ('a, 'r) process_{ptick}) \implies \Box a \in \alpha(Q) \rightarrow P a \sqsubseteq_{DT} Q \rangle$$

**by** (erule superset-initials-restriction-*Mprefix-DT*)  
(simp add: subset-iff initial-ev-imp-in-events-of)

**Admissibility** **lemma** *not-le-F-adm*[simp]:  $\langle \text{cont } u \implies adm (\lambda x. \neg u x \sqsubseteq_F P) \rangle$

**by** (simp add: *adm-def cont2contlubE ch2ch-cont failure-refine-def limproc-is-thelub F-LUB subset-iff*) blast

**lemma** *not-le-T-adm*[simp]:  $\langle \text{cont } u \implies adm (\lambda x. \neg u x \sqsubseteq_T P) \rangle$

**by** (simp add: *adm-def cont2contlubE ch2ch-cont trace-refine-def limproc-is-thelub T-LUB subset-iff*) blast

**lemma** *not-le-D-adm*[simp]:  $\langle \text{cont } u \implies adm (\lambda x. \neg u x \sqsubseteq_D P) \rangle$

**by** (simp add: *adm-def cont2contlubE ch2ch-cont divergence-refine-def*)

*limproc-is-thelub D-LUB subset-iff) blast*

**lemma** *not-le-FD-adm*[simp]:  $\langle \text{cont } u \implies \text{adm } (\lambda x. \neg u x \sqsubseteq_{FD} P) \rangle$   
**by** (simp add: adm-def cont2contlubE ch2ch-cont failure-divergence-refine-def  
failure-refine-def divergence-refine-def limproc-is-thelub F-LUB D-LUB  
subset-iff) blast

**lemma** *not-le-DT-adm*[simp]:  $\langle \text{cont } u \implies \text{adm } (\lambda x. \neg u x \sqsubseteq_{DT} P) \rangle$   
**by** (simp add: adm-def cont2contlubE ch2ch-cont trace-divergence-refine-def trace-refine-def  
divergence-refine-def limproc-is-thelub D-LUB T-LUB subset-iff) blast

**lemma** *initials-refusal*:

$\langle (t, \text{UNIV}) \in \mathcal{F} P \rangle$  **if assms:**  $\langle t \in \mathcal{T} P \rangle \langle tF t \rangle \langle (t, (P \text{ after}_{\mathcal{T}} t)^0) \in \mathcal{F} P \rangle$

**proof** (rule ccontr)

**assume**  $\langle (t, \text{UNIV}) \notin \mathcal{F} P \rangle$

**from** *is-processT5-S7'*[OF assms(3), of UNIV, simplified, OF this]

**obtain**  $e$  **where**  $\langle e \notin (P \text{ after}_{\mathcal{T}} t)^0 \wedge t @ [e] \in \mathcal{T} P \rangle ..$

**thus False** **by** (simp add: initials-def T-After<sub>trace</sub>-eq assms(1, 2))

**qed**

**lemma** *leF-ev-initialE'* :

**assumes**  $\langle \text{STOP} \sqcap (\forall a \in \text{UNIV} \rightarrow P a) \sqsubseteq_F Q \rangle \langle Q \neq \text{STOP} \rangle$  **obtains**  $a$  **where**  
 $\langle ev a \in Q^0 \rangle$

**proof** –

**from**  $\langle Q \neq \text{STOP} \rangle$  *initials-empty-iff-STOP* **obtain**  $e$  **where**  $\langle e \in Q^0 \rangle$  **by** blast

**with**  $\langle \text{STOP} \sqcap (\forall a \in \text{UNIV} \rightarrow P a) \sqsubseteq_F Q \rangle$  **obtain**  $a$  **where**  $\langle e = ev a \rangle$

**by** (auto simp add: failure-refine-def F-Ndet F-STOP F-Mndetprefix')

**intro:** T-F dest!: initials-memD)

**with**  $\langle e \in Q^0 \rangle$  **that show thesis by** blast

**qed**

**corollary** *leF-ev-initialE* :

**assumes**  $\langle \forall a \in \text{UNIV} \rightarrow P a \sqsubseteq_F Q \rangle$  **obtains**  $a$  **where**  $\langle ev a \in Q^0 \rangle$

**proof** –

**from**  $\langle \forall a \in \text{UNIV} \rightarrow P a \sqsubseteq_F Q \rangle$  *Ndet-F-self-right trans-F*

**have**  $\langle \text{STOP} \sqcap (\forall a \in \text{UNIV} \rightarrow P a) \sqsubseteq_F Q \rangle$  **by** blast

**moreover from**  $\langle \forall a \in \text{UNIV} \rightarrow P a \sqsubseteq_F Q \rangle$  **have**  $\langle Q \neq \text{STOP} \rangle$

**by** (auto simp add: Process-eq-spec failure-refine-def F-Mndetprefix' F-STOP)

**ultimately obtain**  $a$  **where**  $\langle ev a \in Q^0 \rangle$  **by** (rule leF-ev-initialE')

**with that show thesis by** blast

**qed**

```

lemma leFD-ev-initialE' :
  ⟨STOP ⊓ (⊓a ∈ UNIV → P a) ⊑FD Q ⟹ Q ≠ STOP ⟹ (⊓a. ev a ∈ Q0
  ⟹ thesis) ⟹ thesis)
  by (meson leFD-imp-leF leF-ev-initialE')

lemma leFD-ev-initialE :
  ⟨⊓a ∈ UNIV → P a ⊑FD Q ⟹ (⊓a. ev a ∈ Q0 ⟹ thesis) ⟹ thesis)
  by (meson leFD-imp-leF leF-ev-initialE)

```

```

method prove-propagation uses simp base =
  induct rule: reachable-processes-FD-refinement-propagation-induct,
  solves simp, solves ⟨use base in ⟨simp add: simp⟩⟩, solves ⟨simp add: simp⟩

```

The three following results illustrate how powerful are our new rules of induction.

Really ? The second version with  $\llbracket \text{cont } ?F; \text{ cont } ?G; \text{ adm } (\lambda x. ?P (\text{fst } x) (\text{snd } x)); ?P \perp \perp; \bigwedge x y. ?P x y \implies ?P (?F x) (?G y) \rrbracket \implies ?P (\text{fix-syn } ?F) (\text{fix-syn } ?G)$  seems easier...

```

lemma
  ⟨Q ∈ Rproc P ⟹ DF α(P) ⊑F Q⟩ if df-P: ⟨deadlock-free P⟩
  proof (unfold DF-def, induct rule: reachable-processes-fix-ind-F)
    show ⟨cont (λx. ⊓ a ∈ events-of P → x)⟩ by simp
  next
    fix x
    assume hyp : ⟨∀ Q ∈ Rproc P. x ⊑F Q⟩
    show ⟨s ∈ T P ⟹ tickFree s ⟹ ⊓ a ∈ events-of P → x ⊑F P afterT s⟩ for s
    proof (unfold failure-refine-def, safe)
      show ⟨s ∈ T P ⟹ tickFree s ⟹ (t, X) ∈ F (P afterT s) ⟹
        (t, X) ∈ F (⊓ a ∈ events-of P → x) for t X
      proof (induct t arbitrary: s)
        case Nil
        have * : ⟨deadlock-free (P afterT s)⟩
        by (metis Aftertrace.simps(1) ⟨s ∈ T P⟩ deadlock-free-Aftertrace-characterization
        df-P)
        have ⟨([], X ∪ (− initials (P afterT s))) ∈ F (P afterT s)⟩
        by (metis ComplD Nil.preds T-Aftertrace-eq is-processT5-S7'
          mem-Collect-eq initials-Aftertrace self-append-conv2)
        from this[THEN set-rev-mp, OF *[unfolded deadlock-free-F failure-refine-def]]
        show ⟨([], X) ∈ F (⊓ a ∈ events-of P → x)⟩
        apply (subst (asm) F-DF, simp add: F-Mndetprefix write0-def F-Mprefix
        image-iff)
        using Nil.preds(1) deadlock-free-implies-non-terminating events-of-iff-reachable-ev
          reachable-ev-iff-in-initials-Aftertrace-for-some-tickFree-T that by blast
      next

```

```

case (Cons e t)
from Cons.prems(1, 2) have * :  $\langle P \text{ after}_{\mathcal{T}} s \in \mathcal{R}_{proc} P \rangle$ 
  by (auto simp add: reachable-processes-is)
obtain e' where ** :  $\langle e = ev e' \rangle \langle e' \in events-of P \rangle$ 
  by (meson Cons.prems(1, 3) initials-memI F-T set-mp
    deadlock-free-implies-non-terminating df-P imageE
    non-terminating-is-right initials-Aftertrace-subset-events-of)
from Cons.prems F-Aftertrace-eq **(1)
have  $\langle (t, X) \in \mathcal{F} (P \text{ after}_{\mathcal{T}} (s @ [e])) \rangle$  by (simp add: F-Aftertrace)
also have  $\langle \dots \subseteq \mathcal{F} x \rangle$ 
  apply (rule hyp[rule-format, unfolded failure-refine-def,
    of  $\langle P \text{ after}_{\mathcal{T}} (s @ [e]) \rangle$ , simplified])
  apply (simp add: Aftertrace-snoc **(1) Aftertick-def)
  apply (rule reachable-after[OF *])
  using Cons.prems(3) initials-memI F-T **(1) by blast
finally have  $\langle (t, X) \in \mathcal{F} x \rangle$  .
with ** show ?case by (auto simp add: F-Mndetprefix write0-def F-Mprefix)
qed
qed
qed

```

```

lemma
 $\langle Q \in \mathcal{R}_{proc} P \implies DF_{SKIPS} \alpha(P) UNIV \sqsubseteq_F Q \rangle$  if  $df_{SKIP}-P : \langle deadlock-free_{SKIPS} P \rangle$ 
proof (unfold DFSKIPS-def, induct rule: reachable-processes-fix-ind-F)
  show  $\langle \text{cont } (\lambda x. (\exists a \in events-of P \rightarrow x) \sqcap SKIPS UNIV) \rangle$  by simp
next
  fix x
  assume hyp :  $\forall Q \in \mathcal{R}_{proc} P. x \sqsubseteq_F Q$ 
  show  $\langle s \in \mathcal{T} P \implies tickFree s \implies (\exists a \in events-of P \rightarrow x) \sqcap SKIPS UNIV \sqsubseteq_F$ 
 $P \text{ after}_{\mathcal{T}} s \rangle$  for s
  proof (unfold failure-refine-def, safe)
    show  $\langle s \in \mathcal{T} P \implies tickFree s \implies (t, X) \in \mathcal{F} (P \text{ after}_{\mathcal{T}} s) \implies$ 
       $(t, X) \in \mathcal{F} ((\exists a \in events-of P \rightarrow x) \sqcap SKIPS UNIV)$  for t X
    proof (induct t arbitrary: s)
      case Nil
        have * :  $\langle deadlock-free_{SKIPS} (P \text{ after}_{\mathcal{T}} s) \rangle$ 
        by (metis Aftertrace.simp(1) Nil.prems(1, 2) deadlock-freeSKIPS-Aftertrace-characterization
 $df_{SKIP}-P$ )
        have  $\langle ([], X \cup (- \text{ initials } (P \text{ after}_{\mathcal{T}} s))) \in \mathcal{F} (P \text{ after}_{\mathcal{T}} s) \rangle$ 
        by (metis (no-types, opaque-lifting) ComplD initials-memI
          Nil.prems(3) append-eq-Cons-conv is-processT5-S7')
        from this[THEN set-rev-mp, OF *[unfolded deadlock-freeSKIPS-def fail-
ure-refine-def]]
        show  $\langle ([], X) \in \mathcal{F} ((\exists a \in events-of P \rightarrow x) \sqcap SKIPS UNIV) \rangle$ 
        apply (subst (asm) F-DFSKIPS, simp add: F-Ndet F-SKIPS F-Mndetprefix
        write0-def F-Mprefix image-iff)
        using Nil.prems(1, 2) events-of-iff-reachable-ev
    
```

```

reachable-ev-iff-in-initials-Aftertrace-for-some-tickFree-T by blast
next
  case (Cons e t)
    from Cons.prems(1, 2) have * : <P afterT s ∈ Rproc P>
      by (auto simp add: reachable-processes-is)
      have <deadlock-freeSKIPS (P afterT s)>
        by (metis Aftertrace.simp(1) Cons.prems(1, 2) deadlock-freeSKIPS-Aftertrace-characterization
dfSKIPS-P)
        then consider a where <e = ev a> <a ∈ events-of P> | r where <e = ✓(r)>
          unfolding deadlock-freeSKIPS-def failure-refine-def
          apply (subst (asm) F-DFSKIPS, simp add: subset-iff image-iff)
          by (metis reachable-ev-iff-in-initials-Aftertrace-for-some-tickFree-T Cons.prems
initials-memI F-T events-of-iff-reachable-ev list.distinct(1) list.sel(1))
        thus ?case
      proof cases
        fix a assume ** : <e = ev a> <a ∈ events-of P>
        from Cons.prems F-Aftertrace **(1)
        have <(t, X) ∈ F (P afterT (s @ [e]))> by (simp add: F-Aftertrace-eq)
        also have <... ⊆ F x>
          apply (rule hyp[rule-format, unfolded failure-refine-def,
            of <P afterT (s @ [e])>, simplified])
          apply (simp add: Aftertrace-snoc **(1) Aftertick-def)
          apply (rule reachable-after[OF * ])
          using Cons.prems(3) initials-memI F-T **(1) by blast
        finally have <(t, X) ∈ F x> .
        with ** show ?case by (auto simp add: F-Ndet F-Mndetprefix write0-def
F-Mprefix)
        next
        fix r assume <e = ✓(r)>
        hence <t = []>
        by (metis Cons.prems(3) F-imp-front-tickFree eventptick.disc(2) front-tickFree-Cons-iff)
        thus ?case by (simp add: <e = ✓(r)> F-Ndet F-SKIPS)
        qed
      qed
    qed
  qed

```

```

context fixes P :: <('a, 'r) processptick> begin

theorem deadlock-free-iff-empty-ticks-of-and-deadlock-freeSKIPS :
  <deadlock-free P ↔ ✓s(P) = {} ∧ deadlock-freeSKIPS P>
proof (intro iffI conjI)
  from deadlock-free-implies-non-terminating tickFree-traces-iff-empty-ticks-of
  show <deadlock-free P ⇒ ✓s(P) = {}> by fast
next
  show <deadlock-free P ⇒ deadlock-freeSKIPS P>
    by (fact deadlock-free-imp-deadlock-freeSKIPS)
next

```

```

have ⟨Q ∈ Rproc P ⇒ DFSKIP(S) UNIV UNIV ⊑F Q ⇒ DF UNIV ⊑F Q⟩
if ⟨✓s(P) = {}⟩ for Q
proof (unfold DF-def DFSKIP(S)-def, induct arbitrary: Q rule: cont-parallel-fix-ind)
  show ⟨cont (λx. (⊓a ∈ UNIV → x) ⊓ SKIP(S) UNIV)⟩ by simp
next
  show ⟨cont (λx. ⊓a ∈ UNIV → x)⟩ by simp
next
  show ⟨adm (λx. ∀ Q. Q ∈ Rproc P → fst x ⊑F Q → snd x ⊑F Q)⟩ by simp
next
  show ⟨⊓ Q. ⊥ ⊑F Q⟩ by simp
next
fix x y Q assume hyp : ⟨Q ∈ Rproc P ⇒ x ⊑F Q ⇒ y ⊑F Q⟩ for Q
assume ⟨Q ∈ Rproc P⟩ ⟨(⊓a ∈ UNIV → x) ⊓ SKIP(S) UNIV ⊑F Q⟩
from ⟨✓s(P) = {}⟩ ⟨Q ∈ Rproc P⟩ have ⟨✓s(Q) = {}⟩
  using ticks-of-reachable-processes-subset by auto

from ⟨✓s(Q) = {}⟩ initial-tick-imp-in-ticks-of have ⟨{r. ✓(r) ∈ Q0} = {}⟩ by
force
moreover from ⟨(⊓a ∈ UNIV → x) ⊓ SKIP(S) UNIV ⊑F Q⟩
  have ⟨Q0 ≠ {}⟩ by (auto simp add: initials-empty-iff-STOP Process-eq-spec
failure-refine-def
F-Ndet F-Mndetprefix' F-SKIP(S) subset-iff F-STOP)
ultimately have ⟨{a. ev a ∈ Q0} ≠ {}⟩
  by (metis empty-Collect-eq equals0I eventptick.exhaust)
hence ⟨⊓a ∈ UNIV → y ⊑F ⊓a ∈ {a. ev a ∈ Q0} → y⟩
  by (metis Mndetprefix-F-subset top-greatest)
moreover have ⟨... ⊑F ⊓a ∈ {a. ev a ∈ Q0} → Q after a⟩
proof (rule mono-Mndetprefix-F, clarify, rule hyp)
  show ⟨ev a ∈ Q0 ⇒ Q after a ∈ Rproc P⟩ for a
    by (simp add: ⟨Q ∈ Rproc P⟩ reachable-after)
next
  show ⟨ev a ∈ Q0 ⇒ x ⊑F Q after a⟩ for a
    by (frule mono-After-F[OF - ⟨(⊓a ∈ UNIV → x) ⊓ SKIP(S) UNIV ⊑F Q⟩])
      (simp add: After-Ndet initials-Mndetprefix image-iff After-Mndetprefix)
qed
moreover have ⟨... ⊑F Q⟩
proof (unfold failure-refine-def, safe)
  show ⟨(t, X) ∈ F (⊓a ∈ {a. ev a ∈ Q0} → Q after a)⟩ if ⟨(t, X) ∈ F Q⟩
for t X
  proof (cases t)
    from initial-tick-imp-in-ticks-of ⟨✓s(Q) = {}⟩ have ⟨range tick ⊆ - Q0⟩
  by force
    assume ⟨t = []⟩
    with ⟨(t, X) ∈ F Q⟩ have ⟨([], X ∪ - Q0) ∈ F Q⟩
      by (metis ComplD initials-memI is-processT5-S7' self-append-conv2)
    with ⟨(⊓a ∈ UNIV → x) ⊓ SKIP(S) UNIV ⊑F Q⟩
    show ⟨(t, X) ∈ F (⊓a ∈ {a. ev a ∈ Q0} → Q after a)⟩
      by (simp add: failure-refine-def F-Ndet F-SKIP(S) ⟨t = []⟩ F-Mndetprefix'
subset-iff)

```

```

(meson Compl-iff UnI1 UnI2 <range tick ⊆ − Q0> list.distinct(1)
range-subsetD)
next
  from <(t, X) ∈ F Q> <(⊓a ∈ UNIV → x) ⊓ SKIPS UNIV ⊑F Q> <{a. ev
a ∈ Q0} ≠ {}> <✓s(Q) = {}>
    show <t = e # t' ⇒ (t, X) ∈ F (⊓a ∈ {a. ev a ∈ Q0} → Q after a)> for
e t'
    by (simp add: failure-refine-def F-Ndet F-SKIPS F-Mndetprefix' F-After
subset-iff)
      (metis F-T empty-iff eventptick.exhaust initial-tick-imp-in-ticks-of
initials-memI)
    qed
  qed
  ultimately show <⊓a ∈ UNIV → y ⊑F Q> using trans-F by blast
qed
  thus <✓s(P) = {} ∧ deadlock-freeSKIPS P ⇒ deadlock-free P>
    by (simp add: reachable-self deadlock-freeSKIPS-def deadlock-free-F)
qed

```

```

lemma reachable-processes-DF-UNIV-leF-imp-DF-events-of-leF :
<Q ∈ Rproc P ⇒ DF UNIV ⊑F Q ⇒ DF α(P) ⊑F Q> for Q
proof (unfold DF-def, induct arbitrary: Q rule: cont-parallel-fix-ind)
  show <cont (λx. ⊓a ∈ UNIV → x)> by simp
next
  show <cont (λx. ⊓a ∈ α(P) → x)> by simp
next
  show <adm (λx. ∀ Q. Q ∈ Rproc P → fst x ⊑F Q → snd x ⊑F Q)> by simp
next
  show <⊓ Q. ⊥ ⊑F Q> by simp
next
  fix x y Q assume hyp : <Q ∈ Rproc P ⇒ x ⊑F Q ⇒ y ⊑F Q> for Q
  assume <Q ∈ Rproc P> and <⊓a ∈ UNIV → x ⊑F Q>
  from <⊓a ∈ UNIV → x ⊑F Q> obtain a where <ev a ∈ Q0> by (elim leF-ev-initialE)

  have <⊓a ∈ α(P) → y ⊑F ⊓a ∈ {a. ev a ∈ Q0} → y>
    by (metis (mono-tags, lifting) Mndetprefix-F-subset <Q ∈ Rproc P>
      <ev a ∈ Q0> empty-iff events-of-reachable-processes-subset
      initial-ev-imp-in-events-of mem-Collect-eq subset-iff)
  moreover have <... ⊑F ⊓a ∈ {a. ev a ∈ Q0} → Q after a>
  proof (rule mono-Mndetprefix-F, clarify)
    show <ev a ∈ Q0 ⇒ y ⊑F Q after a> for a
      by (metis mono-After-F After-Mndetprefix UNIV-I <Q ∈ Rproc P>
        <⊓a ∈ UNIV → x ⊑F Q> hyp reachable-processes.simps)
  qed
  moreover have <... ⊑F Q>
  proof (unfold failure-refine-def, safe)

```

```

show ⟨(t, X) ∈ F (⊓a ∈ {a. ev a ∈ Q0} → Q after a)⟩ if ⟨(t, X) ∈ F Q⟩ for
t X
proof (cases t)
assume ⟨t = []⟩
with ⟨(t, X) ∈ F Q⟩ have ⟨([], X ∪ (¬ Q0)) ∈ F Q⟩
by (metis ComplD initials-memI is-processT5-S7' self-append-conv2)
from ⟨⊓a ∈ UNIV → x ⊑F Q⟩ ⟨([], X ∪ (¬ Q0)) ∈ F Q⟩ have ⟨¬ range ev
⊆ X ∪ (¬ Q0)⟩
by (simp add: failure-refine-def F-Mndetprefix' subset-iff) blast
then obtain b where ⟨ev b ∈ Q0⟩ ⟨ev b ∉ X⟩ by auto
with ⟨t = []⟩ ⟨ev a ∈ Q0⟩ show ⟨(t, X) ∈ F (⊓a ∈ {a. ev a ∈ Q0} → Q after
a)⟩
by (auto simp add: F-Mndetprefix')
next
from ⟨⊓a ∈ UNIV → x ⊑F Q⟩ ⟨(t, X) ∈ F Q⟩ ⟨ev a ∈ Q0⟩
show ⟨t = e # t' ⟹ (t, X) ∈ F (⊓a ∈ {a. ev a ∈ Q0} → Q after a)⟩ for e
t'
by (auto simp add: failure-refine-def F-Mndetprefix' F-After_intro!: F-T
initials-memI)
qed
qed
ultimately show ⟨⊓a ∈ α(P) → y ⊑F Q⟩ using trans-F by blast
qed

```

```

lemma reachable-processes-CHAOS-UNIV-leF-imp-CHAOS-events-of-leF :
⟨Q ∈ Rproc P ⟹ CHAOS UNIV ⊑F Q ⟹ CHAOS α(P) ⊑F Q⟩ for Q
proof (unfold CHAOS-def, induct arbitrary: Q rule: cont-parallel-fix-ind)
show ⟨cont (λx. STOP ⊓ (⊓a ∈ UNIV → x))⟩ by simp
next
show ⟨cont (λx. STOP ⊓ (⊓a ∈ α(P) → x))⟩ by simp
next
show ⟨adm (λx. ∀ Q. Q ∈ Rproc P → fst x ⊑F Q → snd x ⊑F Q)⟩ by simp
next
show ⟨¬ Q. ⊥ ⊑F Q⟩ by simp
next
fix x y Q assume hyp : ⟨Q ∈ Rproc P ⟹ x ⊑F Q ⟹ y ⊑F Q⟩ for Q
assume ⟨Q ∈ Rproc P⟩ and ⟨STOP ⊓ (⊓a ∈ UNIV → x) ⊑F Q⟩
from ⟨Q ∈ Rproc P⟩ events-of-reachable-processes-subset initial-ev-imp-in-events-of
have ⟨STOP ⊓ (⊓a ∈ α(P) → y) ⊑F STOP ⊓ (⊓a ∈ {a. ev a ∈ Q0} → y)⟩
by (fastforce simp add: failure-refine-def Ndet-projs Mprefix-projs STOP-projs)
moreover have ⟨... ⊑F STOP ⊓ (⊓a ∈ {a. ev a ∈ Q0} → Q after a)⟩
proof (intro mono-Ndet-F[OF idem-F] mono-Mprefix-F, clarify)
show ⟨ev a ∈ Q0 ⟹ y ⊑F Q after a⟩ for a
by (frule mono-After-F[OF - ⟨STOP ⊓ (⊓a ∈ UNIV → x) ⊑F Q⟩])
(simp add: After-Ndet initials-Mprefix After-Mprefix
⟨Q ∈ Rproc P⟩ hyp reachable-after)
qed

```

```

moreover have ⟨... ⊑F Q⟩
proof (unfold failure-refine-def, safe)
  from ⟨STOP ⊓ (□a ∈ UNIV → x) ⊑F Q⟩
  show ⟨(t, X) ∈ F Q ⟹ (t, X) ∈ F (STOP ⊓ (□a ∈ {a. ev a ∈ Q0} → Q
after a))⟩ for t X
    by (auto simp add: refine-defs F-Ndet F-Mprefix F-After intro!: F-T initials-memI)
qed
ultimately show ⟨STOP ⊓ (□a ∈ α(P) → y) ⊑F Q⟩ using trans-F by blast
qed

lemma reachable-processes-CHAOSSKIP-UNIV-UNIV-leF-imp-CHAOS-events-of-ticks-of-leFD
:
  ⟨Q ∈ Rproc P ⟹ CHAOSSKIP UNIV UNIV ⊑FD Q ⟹ CHAOSSKIP
α(P) √ s(P) ⊑FD Q⟩ for Q
proof (unfold CHAOSSKIP-def, induct arbitrary: Q rule: cont-parallel-fix-ind)
  show ⟨cont (λx. SKIP UNIV ⊓ STOP ⊓ (□a ∈ UNIV → x))⟩ by simp
next
  show ⟨cont (λx. SKIP √ s(P) ⊓ STOP ⊓ (□a ∈ α(P) → x))⟩ by simp
next
  show ⟨adm (λx. ∀ Q. Q ∈ Rproc P → fst x ⊑FD Q → snd x ⊑FD Q)⟩ by
simp
next
  show ⟨¬ Q. ⊥ ⊑FD Q⟩ by simp
next
  fix x y Q assume hyp : ⟨Q ∈ Rproc P ⟹ x ⊑FD Q ⟹ y ⊑FD Q⟩ for Q
  assume ⟨Q ∈ Rproc P⟩ and ⟨SKIP UNIV ⊓ STOP ⊓ (□a ∈ UNIV → x) ⊑FD
Q⟩

from ⟨Q ∈ Rproc P⟩ ticks-of-reachable-processes-subset initial-tick-imp-in-ticks-of
have ⟨SKIP √ s(P) ⊓ STOP ⊑FD SKIP {r. √(r) ∈ Q0} ⊓ STOP⟩
  by (fastforce simp add: refine-defs Ndet-projs STOP-projs SKIP-projs)
moreover from ⟨Q ∈ Rproc P⟩ events-of-reachable-processes-subset initial-ev-imp-in-events-of
have ⟨STOP ⊓ (□a ∈ α(P) → y) ⊑FD STOP ⊓ (□a ∈ {a. ev a ∈ Q0} → y)⟩
  by (fastforce simp add: refine-defs Ndet-projs STOP-projs Mprefix-projs)
ultimately have ⟨SKIP √ s(P) ⊓ STOP ⊓ (□a ∈ α(P) → y) ⊑FD
  SKIP {r. √(r) ∈ Q0} ⊓ STOP ⊓ (□a ∈ {a. ev a ∈ Q0} → y)⟩
  by (auto simp add: refine-defs Ndet-projs)
moreover have ⟨... ⊑FD SKIP {r. √(r) ∈ Q0} ⊓ STOP ⊓ (□a ∈ {a. ev a ∈ Q0} → Q
after a)⟩
  proof (intro mono-Ndet-FD[OF idem-FD] mono-Mprefix-FD, clarify)
    show ⟨ev a ∈ Q0 ⟹ y ⊑FD Q after a⟩ for a
      by (frule mono-After-FD[OF - ⟨SKIP UNIV ⊓ STOP ⊓ (□a ∈ UNIV →
x) ⊑FD Q⟩])
        (simp add: After-Ndet initials-Mprefix initials-Ndet image-iff
          After-Mprefix ⟨Q ∈ Rproc P⟩ hyp reachable-after)
qed
moreover have ⟨... ⊑FD Q⟩

```

```

proof (unfold refine-defs, safe)
  from ⟨SKIPS UNIV ⊓ STOP ⊓ ( $\square a \in \text{UNIV} \rightarrow x$ )  $\sqsubseteq_{FD} Q$ ⟩
  show  $t \in \mathcal{D} Q \implies t \in \mathcal{D} (\text{SKIPS } \{r. \checkmark(r) \in Q^0\} \sqcap \text{STOP} \sqcap (\square a \in \{a. \text{ev}$ 
 $a \in Q^0\} \rightarrow Q \text{ after } a))$  for  $t$ 
    by (auto simp add: refine-defs D-Ndet D-Mprefix D-STOP D-After D-SKIPS)
      (use D-T initials-memI in blast)
  next
    from ⟨SKIPS UNIV ⊓ STOP ⊓ ( $\square a \in \text{UNIV} \rightarrow x$ )  $\sqsubseteq_{FD} Q$ ⟩
    show  $(t, X) \in \mathcal{F} Q \implies (t, X) \in \mathcal{F} (\text{SKIPS } \{r. \checkmark(r) \in Q^0\} \sqcap \text{STOP} \sqcap (\square a$ 
 $\in \{a. \text{ev } a \in Q^0\} \rightarrow Q \text{ after } a))$  for  $t X$ 
      by (cases  $t$ , simp-all add: refine-defs F-Ndet F-Mprefix F-After F-SKIPS
F-STOP)
        (use F-T initials-memI in blast)
  qed
  ultimately show ⟨SKIPS  $\checkmark s(P)$  ⊓ STOP ⊓ ( $\square a \in \alpha(P) \rightarrow y$ )  $\sqsubseteq_{FD} Q$ ⟩ by
force
  qed

```

**theorem** *deadlock-free-iff-DF-events-of-leF* :

⟨*deadlock-free P*  $\longleftrightarrow \alpha(P) \neq \{\} \wedge DF \alpha(P) \sqsubseteq_F P$ ⟩

**proof** (*intro iffI conjI*)
 **show**  $\langle \alpha(P) \neq \{\} \wedge DF \alpha(P) \sqsubseteq_F P \implies \text{deadlock-free } P \rangle$ 
**by** (meson *DF-Univ-freeness deadlock-free-F order-refl trans-F*)
 **next**
**show**  $\langle \text{deadlock-free } P \implies \alpha(P) \neq \{\} \rangle$  **by** (simp add: *nonempty-events-of-if-deadlock-free*)
 **next**
**show**  $\langle \text{deadlock-free } P \implies DF \alpha(P) \sqsubseteq_F P \rangle$ 
**by** (simp add: *deadlock-free-F reachable-self*
*reachable-processes-DF-UNIV-leF-imp-DF-events-of-leF*)
 **qed**

**corollary** *deadlock-free-iff-DF-events-of-leFD* :

⟨*deadlock-free P*  $\longleftrightarrow \alpha(P) \neq \{\} \wedge DF \alpha(P) \sqsubseteq_{FD} P$ ⟩

**by** (metis *deadlock-free-iff-DF-events-of-leF deadlock-free-def div-free-DF*
*divergence-refine-def failure-divergence-refine-def*)

**corollary** *deadlock-free-iff-DF-strict-events-of-leF* :

⟨*deadlock-free P*  $\longleftrightarrow \alpha(P) \neq \{\} \wedge DF \alpha(P) \sqsubseteq_F P$ ⟩

**by** (metis *anti-mono-events-of-F deadlock-free-iff-DF-events-of-leF*
*deadlock-free-implies-div-free events-of-DF events-of-is-strict-events-of-or-UNIV*
*order-class.order-eq-iff strict-events-of-subset-events-of*)

**corollary** *deadlock-free-iff-DF-strict-events-of-leFD* :

⟨*deadlock-free P*  $\longleftrightarrow \alpha(P) \neq \{\} \wedge DF \alpha(P) \sqsubseteq_{FD} P$ ⟩

**by** (metis *DF-Univ-freeness deadlock-free-iff-DF-events-of-leFD*
*deadlock-free-implies-div-free events-of-is-strict-events-of-or-UNIV*)

**theorem** *lifelock-free-iff-CHAOS-events-of-leF* :  
 $\langle \text{lifelock-free } P \longleftrightarrow \text{CHAOS } \alpha(P) \sqsubseteq_F P \rangle$

**proof** (*intro iffI conjI*)  
**show**  $\langle \text{CHAOS } \alpha(P) \sqsubseteq_F P \implies \text{lifelock-free } P \rangle$   
**by** (*meson CHAOS-subset-FD lifelock-free-def non-terminating-F non-terminating-FD top-greatest trans-F*)

**next**  
**show**  $\langle \text{lifelock-free } P \implies \text{CHAOS } \alpha(P) \sqsubseteq_F P \rangle$   
**by** (*simp add: leFD-imp-leF lifelock-free-def reachable-self reachable-processes-CHAOS-UNIV-leF-imp-CHAOS-events-of-leF*)

**qed**

**corollary** *lifelock-free-iff-CHAOS-strict-events-of-leF* :  
 $\langle \text{lifelock-free } P \longleftrightarrow \text{CHAOS } \alpha(P) \sqsubseteq_F P \rangle$

**by** (*metis anti-mono-ticks-of-F bot.extremum-uniqueI empty-not-UNIV events-of-is-strict-events-of-or-UNIV lifelock-free-iff-CHAOS-events-of-leF ticks-CHAOS ticks-of-is-strict-ticks-of-or-UNIV*)

**corollary** *lifelock-free-iff-CHAOS-events-of-leFD* :  
 $\langle \text{lifelock-free } P \longleftrightarrow \text{CHAOS } \alpha(P) \sqsubseteq_{FD} P \rangle$

**by** (*metis div-free-CHAOS divergence-refine-def failure-divergence-refine-def lifelock-free-def lifelock-free-iff-CHAOS-events-of-leF*)

**corollary** *lifelock-free-iff-CHAOS-strict-events-of-leFD* :  
 $\langle \text{lifelock-free } P \longleftrightarrow \text{CHAOS } \alpha(P) \sqsubseteq_{FD} P \rangle$

**by** (*metis anti-mono-events-of-F antisym events-of-CHAOS leFD-imp-leF lifelock-free-iff-CHAOS-events-of-leFD lifelock-free-iff-CHAOS-strict-events-of-leF strict-events-of-subset-events-of*)

**theorem** *lifelock-free<sub>SKIPs</sub>-iff-CHAOS<sub>SKIPs</sub>-events-of-ticks-of-FD* :  
 $\langle \text{lifelock-free}_{\text{SKIPs}} P \longleftrightarrow \text{CHAOS}_{\text{SKIPs}} \alpha(P) \checkmark s(P) \sqsubseteq_{FD} P \rangle$

**proof** (*intro iffI*)  
**show**  $\langle \text{CHAOS}_{\text{SKIPs}} \alpha(P) \checkmark s(P) \sqsubseteq_{FD} P \implies \text{lifelock-free}_{\text{SKIPs}} P \rangle$   
**by** (*metis events-of-is-strict-events-of-or-UNIV lifelock-free<sub>SKIPs</sub>-def lifelock-free<sub>SKIPs</sub>-iff-div-free ticks-of-is-strict-ticks-of-or-UNIV*)

**next**  
**show**  $\langle \text{lifelock-free}_{\text{SKIPs}} P \implies \text{CHAOS}_{\text{SKIPs}} \alpha(P) \checkmark s(P) \sqsubseteq_{FD} P \rangle$   
**by** (*simp add: lifelock-free<sub>SKIPs</sub>-def reachable-self reachable-processes-CHAOS<sub>SKIPs</sub>-UNIV-UNIV-leF-imp-CHAOS-events-of-ticks-of-leFD*)

**qed**

**corollary** *lifelock-free<sub>SKIPs</sub>-iff-CHAOS<sub>SKIPs</sub>-strict-events-of-strict-ticks-of-FD* :  
 $\langle \text{lifelock-free}_{\text{SKIPs}} P \longleftrightarrow \text{CHAOS}_{\text{SKIPs}} \alpha(P) \checkmark s(P) \sqsubseteq_{FD} P \rangle$

**by** (metis (no-types) anti-mono-events-of-FD anti-mono-ticks-of-FD events-of-CHAOS<sub>SKIP</sub>s events-of-is-strict-events-of-or-UNIV lifelock-free<sub>SKIP</sub>s-iff-CHAOS<sub>SKIP</sub>s-events-of-ticks-of-FD lifelock-free<sub>SKIP</sub>s-iff-div-free ticks-CHAOS<sub>SKIP</sub>s ticks-of-is-strict-ticks-of-or-UNIV top.extremum-uniqueI)

**theorem** deadlock-free<sub>SKIP</sub>s-iff-DF<sub>SKIP</sub>s-events-of-ticks-of-leF :  
 $\langle \text{deadlock-free}_{\text{SKIP}} P \longleftrightarrow (\begin{array}{l} \text{if } \alpha(P) = \{\} \wedge \check{s}(P) = \{\} \text{ then False} \\ \text{else if } \check{s}(P) = \{\} \text{ then } DF \alpha(P) \sqsubseteq_F P \\ \text{else if } \alpha(P) = \{\} \text{ then } SKIP \check{s}(P) \sqsubseteq_F P \\ \text{else } DF_{\text{SKIP}} \alpha(P) \check{s}(P) \sqsubseteq_F P \end{array}) \rangle$   
(is  $\dashv \dashv \dashv ?rhs$ )  
**proof** (split if-split, intro conjI impI)  
from deadlock-free-iff-empty-ticks-of-and-deadlock-free<sub>SKIP</sub>s nonempty-events-of-if-deadlock-free  
show  $\langle \alpha(P) = \{\} \wedge \check{s}(P) = \{\} \Rightarrow \text{deadlock-free}_{\text{SKIP}} P \longleftrightarrow \text{False} \rangle$  by blast  
**next**  
**assume**  $\dashv \neg (\alpha(P) = \{\} \wedge \check{s}(P) = \{\})$   
**show**  $\langle \text{deadlock-free}_{\text{SKIP}} P \longleftrightarrow (\begin{array}{l} \text{if } \check{s}(P) = \{\} \text{ then } DF \alpha(P) \sqsubseteq_F P \\ \text{else if } \alpha(P) = \{\} \text{ then } SKIP \check{s}(P) \sqsubseteq_F P \text{ else } DF_{\text{SKIP}} \alpha(P) \check{s}(P) \sqsubseteq_F P \end{array}) \rangle$   
**proof** (split if-split, intro conjI impI)  
from  $\dashv \neg (\alpha(P) = \{\} \wedge \check{s}(P) = \{\}) \wedge \text{deadlock-free-iff-DF-events-of-leF}$   
deadlock-free-iff-empty-ticks-of-and-deadlock-free<sub>SKIP</sub>s  
show  $\langle \check{s}(P) = \{\} \Rightarrow \text{deadlock-free}_{\text{SKIP}} P \longleftrightarrow DF \alpha(P) \sqsubseteq_F P \rangle$  by blast  
**next**  
**show**  $\langle \text{deadlock-free}_{\text{SKIP}} P \longleftrightarrow (\begin{array}{l} \text{if } \alpha(P) = \{\} \text{ then } SKIP \check{s}(P) \sqsubseteq_F P \text{ else } DF_{\text{SKIP}} \alpha(P) \check{s}(P) \sqsubseteq_F P \end{array}) \rangle$   
**if**  $\langle \check{s}(P) \neq \{\} \rangle$   
**proof** (split if-split, intro conjI impI)  
**assume**  $\langle \alpha(P) = \{\} \rangle$   
**with** initial-ev-imp-in-events-of have  $\langle \text{range ev} \subseteq - P^0 \rangle$  by force  
**show**  $\langle \text{deadlock-free}_{\text{SKIP}} P \longleftrightarrow SKIP \check{s}(P) \sqsubseteq_F P \rangle$   
**proof** (rule iffI)  
**show**  $\langle SKIP \check{s}(P) \sqsubseteq_F P \Rightarrow \text{deadlock-free}_{\text{SKIP}} P \rangle$   
**by** (metis deadlock-free<sub>SKIP</sub>s-SKIPs deadlock-free<sub>SKIP</sub>s-def  $\langle \check{s}(P) \neq \{\} \rangle$  trans-F)  
**next**  
**show**  $\langle SKIP \check{s}(P) \sqsubseteq_F P \rangle$  **if**  $\langle \text{deadlock-free}_{\text{SKIP}} P \rangle$   
**proof** (unfold failure-refine-def, safe)  
**show**  $\langle (t, X) \in \mathcal{F} (SKIP \check{s}(P)) \rangle$  **if**  $\langle (t, X) \in \mathcal{F} P \rangle$  **for** t X  
**proof** (cases t)  
**assume**  $\langle t = [] \rangle$   
**with**  $\langle (t, X) \in \mathcal{F} P \rangle$  **have**  $\langle (t, X \cup - P^0) \in \mathcal{F} P \rangle$   
**by** (metis ComplD initials-memI is-processT5-S7' self-append-conv2)  
**with**  $\langle \text{deadlock-free}_{\text{SKIP}} P \rangle$  **have**  $\langle (t, X \cup - P^0) \in \mathcal{F} (DF_{\text{SKIP}} UNIV UNIV) \rangle$   
**by** (auto simp add: deadlock-free<sub>SKIP</sub>s-def failure-refine-def)

```

with <range ev ⊆ − P0> <✓s(P) ≠ {}> show <(t, X) ∈ F (SKIPS
✓s(P))>
by (subst (asm) DFSKIPS-unfold)
(auto simp add: F-Ndet F-Mndetprefix' F-SKIPS <t = []>
intro: initial-tick-imp-in-ticks-of)

next
fix e t' assume <t = e # t'>
with F-T <(t, X) ∈ F P> <α(P) = {}> obtain r where <r ∈ ✓s(P)> <e
= ✓(r)> <t' = []>
by (metis F-imp-front-tickFree empty-iff eventp tick.exhaust events-of-memI
front-tickFree-Cons-iff initial-tick-imp-in-ticks-of
initials-memI is-ev-def list.set-intros(1))
thus <(t, X) ∈ F (SKIPS ✓s(P))>
by (simp add: F-Mndetprefix' F-SKIPS <✓s(P) ≠ {}> <t = e # t'>)
qed
qed
qed
next
show <deadlock-freeSKIPS P ⟷ DFSKIPS α(P) ✓s(P) ⊑F P> if <α(P) ≠
{}>
proof (rule iffI)
show <DFSKIPS α(P) ✓s(P) ⊑F P ⟹ deadlock-freeSKIPS P>
by (meson DFSKIPS-subset <✓s(P) ≠ {}> <α(P) ≠ {}>
deadlock-freeSKIPS-def leFD-imp-leF subset-UNIV that trans-F)
next
have <Q ∈ Rproc P ⟹ DFSKIPS UNIV UNIV ⊑F Q ⟹ DFSKIPS
α(P) ✓s(P) ⊑F Q> for Q
proof (unfold DFSKIPS-def, induct arbitrary: Q rule: cont-parallel-fix-ind)
show <cont (λx. (⊓ a ∈ UNIV → x) ⊓ SKIPS UNIV)> by simp
next
show <cont (λx. (⊓ a ∈ α(P) → x) ⊓ SKIPS ✓s(P))> by simp
next
show <adm (λx. ∀ Q. Q ∈ Rproc P → fst x ⊑F Q → snd x ⊑F Q)> by
simp
next
show <¬ Q. ⊥ ⊑F Q> by simp
next
fix x y Q assume hyp : <Q ∈ Rproc P ⟹ x ⊑F Q ⟹ y ⊑F Q> for Q
assume <Q ∈ Rproc P> and <(⊓ a ∈ UNIV → x) ⊓ SKIPS UNIV ⊑F Q>
consider <{a. ev a ∈ Q0} = {}> <{r. ✓(r) ∈ Q0} = {}>
| <{a. ev a ∈ Q0} = {}> <{r. ✓(r) ∈ Q0} ≠ {}>
| <{a. ev a ∈ Q0} ≠ {}> by blast

thus <(⊓ a ∈ α(P) → y) ⊓ SKIPS ✓s(P) ⊑F Q>
proof cases
assume <{a. ev a ∈ Q0} = {}> <{r. ✓(r) ∈ Q0} = {}>
hence <Q0 = {}> by (metis Collect-empty-eq all-not-in-conv eventp tick.exhaust)
hence <Q = STOP> by (simp add: initials-empty-iff-STOP)
with <(⊓ a ∈ UNIV → x) ⊓ SKIPS UNIV ⊑F Q> have False

```

```

by (auto simp add: failure-refine-def Process-eq-spec
  F-STOP F-Ndet F-Mndetprefix' F-SKIPS)
thus <(¬a ∈ α(P) → y) □ SKIPS ✓s(P) ⊑F Q> ..
next
assume <{a. ev a ∈ Q0} = {}> <{r. ✓(r) ∈ Q0} ≠ {}>
have <SKIPS {r. ✓(r) ∈ Q0} ⊑F Q>
proof (unfold failure-refine-def, safe)
show <(t, X) ∈ F (SKIPS {r. ✓(r) ∈ Q0} )> if <(t, X) ∈ F Q> for t X
proof (cases t)
assume <t = []>
with <(t, X) ∈ F Q> have <([], X ∪ - Q0) ∈ F Q>
by (metis ComplD initials-memI is-processT5-S7' self-append-conv2)
with <t = []> <(¬a ∈ UNIV → x) □ SKIPS UNIV ⊑F Q> <{a. ev a
∈ Q0} = {}>
show <(t, X) ∈ F (SKIPS {r. ✓(r) ∈ Q0} )>
by (simp add: failure-refine-def F-Ndet F-Mndetprefix' F-SKIPS
subset-iff)
(metis Compl-iff Un-iff neq-Nil-conv)
next
from <(¬a ∈ UNIV → x) □ SKIPS UNIV ⊑F Q> <{a. ev a ∈ Q0} =
{}>
<(t, X) ∈ F Q> F-T initials-memI
show <t = e # t' ⟹ (t, X) ∈ F (SKIPS {r. ✓(r) ∈ Q0} )> for e t'
by (simp add: failure-refine-def F-Ndet F-Mndetprefix' F-SKIPS)
blast
qed
qed
moreover from <Q ∈ Rproc P> <{r. ✓(r) ∈ Q0} ≠ {}> initial-tick-imp-in-ticks-of
ticks-of-reachable-processes-subset
have <SKIPS ✓s(P) ⊑F SKIPS {r. ✓(r) ∈ Q0}>
by (force simp add: SKIPS-F-SKIPS-iff <✓s(P) ≠ {}>)
ultimately show <(¬a ∈ α(P) → y) □ SKIPS ✓s(P) ⊑F Q>
using Ndet-F-self-right trans-F by blast
next
assume <{a. ev a ∈ Q0} ≠ {}>
from <Q ∈ Rproc P> initial-tick-imp-in-ticks-of ticks-of-reachable-processes-subset
have <{r. ✓(r) ∈ Q0} ⊑ ✓s(P)> by force
from <Q ∈ Rproc P> events-of-reachable-processes-subset initial-ev-imp-in-events-of
have <(¬a ∈ α(P) → y) □ SKIPS ✓s(P) ⊑F (¬a ∈ {a. ev a ∈ Q0} →
y) □ SKIPS ✓s(P)>
by (force intro: mono-Ndet-F[OF Mndetprefix-F-subset[OF <{a. ev a ∈
Q0} ≠ {}>] idem-F])
moreover have <... ⊑F (¬a ∈ {a. ev a ∈ Q0} → Q after a) □ SKIPS
✓s(P)>
proof (rule mono-Ndet-F[OF mono-Mndetprefix-F idem-F], clarify)
show <ev a ∈ Q0 ⟹ y ⊑F Q after a> for a
by (frule mono-After-F[OF - <(¬a ∈ UNIV → x) □ SKIPS UNIV
⊑F Q>])
(simp add: After-Ndet initials-Mndetprefix image-iff

```

After-Mndetprefix reachable-after  $\langle Q \in \mathcal{R}_{proc} \mid P \rangle \ hyp$

**qed**

**moreover have**  $\langle \dots \sqsubseteq_F Q \rangle$

**proof** (*unfold failure-refine-def, safe*)

**show**  $\langle (t, X) \in \mathcal{F} ((\exists a \in \{a. ev a \in Q^0\} \rightarrow Q \text{ after } a) \sqcap \text{SKIPS} \check{s}(P)) \rangle$  **if**  $\langle (t, X) \in \mathcal{F} Q \rangle$  **for**  $t X$

**proof** (*cases t*)

**assume**  $\langle t = [] \rangle$

**with**  $\langle (t, X) \in \mathcal{F} Q \rangle$  **have**  $\langle ([] \cup -Q^0) \in \mathcal{F} Q \rangle$

**by** (*metis ComplD eq-Nil-appendI initials-memI' is-processT5-S7'*)

**with**  $\langle (\exists a \in \text{UNIV} \rightarrow x) \sqcap \text{SKIPS} \text{ UNIV} \sqsubseteq_F Q \rangle$   $\langle \{r. \check{s}(r) \in Q^0\} \subseteq \check{s}(P) \rangle$

**show**  $\langle (t, X) \in \mathcal{F} ((\exists a \in \{a. ev a \in Q^0\} \rightarrow Q \text{ after } a) \sqcap \text{SKIPS} \check{s}(P)) \rangle$

**by** (*simp add: failure-refine-def F-Ndet F-Mndetprefix' t = [] F-SKIPS*  $\langle \check{s}(P) \neq \{\} \rangle$  *subset-iff*)

(meson ComplI UnI1 UnI2 list.distinct(1))

**next**

**from**  $\langle (t, X) \in \mathcal{F} Q \rangle$   $\langle (\exists a \in \text{UNIV} \rightarrow x) \sqcap \text{SKIPS} \text{ UNIV} \sqsubseteq_F Q \rangle$

$\langle \{r. \check{s}(r) \in Q^0\} \subseteq \check{s}(P) \rangle$

**show**  $\langle t = e \# t' \implies (t, X) \in \mathcal{F} ((\exists a \in \{a. ev a \in Q^0\} \rightarrow Q \text{ after } a) \sqcap \text{SKIPS} \check{s}(P)) \rangle$  **for**  $e t'$

**by** (*simp add: failure-refine-def F-Ndet F-Mndetprefix' F-SKIPS F-After*  $\langle \check{s}(P) \neq \{\} \rangle$  *subset-iff*)

(metis F-T initials-memI list.distinct(1) list.inject)

**qed**

**qed**

**ultimately show**  $\langle (\exists a \in \alpha(P) \rightarrow y) \sqcap \text{SKIPS} \check{s}(P) \sqsubseteq_F Q \rangle$  **using** *trans-F* **by** *blast*

**qed**

**qed**

**thus**  $\langle \text{deadlock-free}_\text{SKIPS} P \implies DF_\text{SKIPS} \alpha(P) \check{s}(P) \sqsubseteq_F P \rangle$

**by** (*simp add: deadlock-free\_SKIPS-def reachable-self*)

**qed**

**qed**

**qed**

**qed**

**corollary** *deadlock-free<sub>SKIPS</sub>-iff-DF<sub>SKIPS</sub>-events-of-ticks-of-leFD* :

$\langle \text{deadlock-free}_\text{SKIPS} P \longleftrightarrow ( \text{if } \alpha(P) = \{\} \wedge \check{s}(P) = \{\} \text{ then False} \text{ else if } \check{s}(P) = \{\} \text{ then } DF \alpha(P) \sqsubseteq_{FD} P \text{ else if } \alpha(P) = \{\} \text{ then } \text{SKIPS} \check{s}(P) \sqsubseteq_{FD} P \text{ else } DF_\text{SKIPS} \alpha(P) \check{s}(P) \sqsubseteq_{FD} P \rangle$

**by** (*metis deadlock-free\_SKIPS-iff-DF\_SKIPS-events-of-ticks-of-leFD D-SKIPS deadlock-free\_SKIPS-FD*

div-free-DF div-free-DF<sub>SKIPS</sub> divergence-refine-def failure-divergence-refine-def)

**corollary** *deadlock-free<sub>SKIPS</sub>-iff-DF<sub>SKIPS</sub>-strict-events-of-strict-ticks-of-leF* :

$\langle \text{deadlock-free}_\text{SKIPS} P \longleftrightarrow ( \text{if } \alpha(P) = \{\} \wedge \check{s}(P) = \{\} \text{ then False} \text{ else if } \alpha(P) = \{\} \text{ then True} \text{ else False} \rangle$

```

else if  $\check{s}(P) = \{\}$  then  $DF \alpha(P) \sqsubseteq_F P$ 
else if  $\alpha(P) = \{\}$  then  $SKIPS \check{s}(P) \sqsubseteq_F P$ 
else  $DF_{SKIPS} \alpha(P) \check{s}(P) \sqsubseteq_F P$ 
by (simp add: deadlock-freeSKIPS-iff- $DF_{SKIPS}$ -events-of-ticks-of-leF
      events-of-is-strict-events-of-or-UNIV ticks-of-is-strict-ticks-of-or-UNIV)
(meson deadlock-free-iff-DF-strict-events-of-leF  $DF_{SKIPS}$ -subset deadlock-freeSKIPS- $SKIPS$ 
      deadlock-freeSKIPS-def deadlock-freeSKIPS-implies-div-free trans-F
      deadlock-free-implies-div-free failure-divergence-refine-def subset-UNIV)

```

```

corollary deadlock-freeSKIPS-iff- $DF_{SKIPS}$ -strict-events-of-strict-ticks-of-leFD :
<deadlock-freeSKIPS P  $\longleftrightarrow$  ( if  $\alpha(P) = \{\}$   $\wedge$   $\check{s}(P) = \{\}$  then False
      else if  $\check{s}(P) = \{\}$  then  $DF \alpha(P) \sqsubseteq_{FD} P$ 
      else if  $\alpha(P) = \{\}$  then  $SKIPS \check{s}(P) \sqsubseteq_{FD} P$ 
      else  $DF_{SKIPS} \alpha(P) \check{s}(P) \sqsubseteq_{FD} P$ )
by (metis deadlock-freeSKIPS-iff- $DF_{SKIPS}$ -events-of-ticks-of-leFD
      deadlock-freeSKIPS-iff-DF-strict-events-of-strict-ticks-of-leF
      deadlock-freeSKIPS-implies-div-free events-of-is-strict-events-of-or-UNIV
      leFD-imp-leF ticks-of-is-strict-ticks-of-or-UNIV)

```

### 8.1.5 Big results

As consequences, we have very powerful results, and especially a “data independence” deadlock freeness theorem.

```

lemma deadlock-free-is-right:
<deadlock-free P  $\longleftrightarrow$  ( $\forall t \in \mathcal{T} P. tF t \wedge (t, UNIV) \notin \mathcal{F} P$ )>
<deadlock-free P  $\longleftrightarrow$  ( $\forall t \in \mathcal{T} P. tF t \wedge (t, ev ` UNIV) \notin \mathcal{F} P$ )>
proof -
from deadlock-free-iff-empty-ticks-of-and-deadlock-freeSKIPS
deadlock-freeSKIPS-is-right tickFree-traces-iff-empty-ticks-of
show <deadlock-free P  $\longleftrightarrow$  ( $\forall t \in \mathcal{T} P. tF t \wedge (t, UNIV) \notin \mathcal{F} P$ )> by blast
thus <deadlock-free P  $\longleftrightarrow$  ( $\forall t \in \mathcal{T} P. tF t \wedge (t, ev ` UNIV) \notin \mathcal{F} P$ )>
by (auto intro: is-processT4)
  (metis Aftertrace.simps(1) deadlock-free-Aftertick-characterization
    F-imp-R-Aftertrace deadlock-free-Aftertrace-characterization)
qed
end

```

— We may probably prove  $\text{deadlock-free } P = (\forall t \in \mathcal{T} P. tF t \wedge (t, ev ` \alpha(P)) \notin \mathcal{F} P)$

```

theorem data-independence-deadlock-free-Sync:
fixes P Q :: <('a, 'r) processptick>
assumes df-P : <deadlock-free P> and df-Q : <deadlock-free Q>
and hyp : < $\text{events-of } Q \cap S = \{\}$   $\vee$  ( $\exists y. \text{events-of } Q \cap S = \{y\} \wedge \text{events-of } P \cap S \subseteq \{y\}$ )>
shows <deadlock-free (P  $\llbracket S \rrbracket Q)$ >

```

```

proof -
  have non-BOT :  $\langle P \neq \perp \rangle \langle Q \neq \perp \rangle$ 
    by (simp-all add: BOT-iff-Nil-D deadlock-free-implies-div-free df-P df-Q)

  have nonempty-events :  $\langle \text{events-of } P \neq \{\} \rangle \langle \text{events-of } Q \neq \{\} \rangle$ 
    by (simp-all add: df-P df-Q nonempty-events-of-if-deadlock-free)

  obtain a b where initial :  $\langle \text{ev } a \in P^0 \rangle \langle \text{ev } b \in Q^0 \rangle$ ,
    by (meson deadlock-free-initial-evE df-P df-Q)

  have  $\langle \text{ev } a \in (P \llbracket S \rrbracket Q)^0 \vee \text{ev } b \in (P \llbracket S \rrbracket Q)^0 \rangle$ 
    by (simp add: initials-Sync non-BOT image-iff)
      (metis events-of-iff-reachable-ev reachable-ev.intros(1)
       Int-iff initial empty-iff hyp insert-iff subset-singleton-iff)
  hence nonempty-events-Sync:  $\langle \alpha(P \llbracket S \rrbracket Q) \neq \{\} \rangle$ 
    by (metis events-of-iff-reachable-ev empty-iff reachable-ev.intros(1))

  have * :  $\langle DF(\alpha(P) \cup \alpha(Q)) \sqsubseteq_{FD} DF\alpha(P) \llbracket S \rrbracket DF\alpha(Q) \rangle$ 
    by (simp add: DF-FD-DF-Sync-DF hyp nonempty-events)

  also have  $\langle \dots \sqsubseteq_{FD} P \llbracket S \rrbracket Q \rangle$ 
    by (meson deadlock-free-iff-DF-events-of-leFD df-P df-Q mono-Sync-FD)

  ultimately show  $\langle \text{deadlock-free } (P \llbracket S \rrbracket Q) \rangle$ 
    by (meson DF-Univ-freeness Un-empty nonempty-events(1) trans-FD)
qed

```

```

lemma data-independence-deadlock-free-Sync-bis:
   $\langle \llbracket \text{deadlock-free } P; \text{deadlock-free } Q; \alpha(Q) \cap S = \{\} \rrbracket \implies$ 
   $\text{deadlock-free } (P \llbracket S \rrbracket Q) \rangle$  for P ::  $\langle ('a, 'r) \text{ process}_{ptick} \rangle$ 
  by (simp add: data-independence-deadlock-free-Sync)

```

We can't expect much better without hypothesis on the processes  $P$  and  $Q$ .  
We can easily build the following counter example.

```

lemma  $\exists P Q S. \text{deadlock-free } P \wedge \text{deadlock-free } Q \wedge$ 
   $(\exists y z. \text{events-of } Q \cap S \subseteq \{y, z\} \wedge \text{events-of } P \cap S \subseteq \{y, z\}) \wedge$ 
   $\neg \text{deadlock-free } (P \llbracket S :: \text{nat set} \rrbracket Q)$ 
proof (intro exI conjI)
  show  $\langle \text{deadlock-free } (DF\{0\}) \rangle$  and  $\langle \text{deadlock-free } (DF\{\text{Suc } 0\}) \rangle$ 
    by (metis DF-Univ-freeness empty-not-insert idem-FD)+

next
  show  $\langle \text{events-of } (DF\{\text{Suc } 0\}) \cap \{0, \text{Suc } 0\} \subseteq \{0, \text{Suc } 0\} \rangle$ 
  and  $\langle \text{events-of } (DF\{0\}) \cap \{0, \text{Suc } 0\} \subseteq \{0, \text{Suc } 0\} \rangle$  by simp-all
next
  have  $\langle DF\{0\} \llbracket \{0, \text{Suc } 0\} \rrbracket DF\{\text{Suc } 0\} = STOP \rangle$ 
    by (simp add: initials-empty-iff-STOP[symmetric]
      initials-Sync initials-DF BOT-iff-Nil-D div-free-DF)
  from this[THEN arg-cong[where f = deadlock-free]]
  show  $\neg \text{deadlock-free } (DF\{0\} \llbracket \{0, \text{Suc } 0\} \rrbracket DF\{\text{Suc } 0\})$ 

```

```

    by (simp add: non-deadlock-free-STOP)
qed

end

find-theorems name: data-independence-deadlock-free-Sync-bis

```

— Think about a *deadlock-free<sub>SKIP</sub>* version.

### 8.1.6 Results with other references Processes

*RUN* and *non-terminating*

```

lemma non-terminating-STOP [simp] : <non-terminating STOP>
  unfolding non-terminating-def by simp

lemma not-non-terminating-SKIP [simp]: < $\neg$  non-terminating (SKIP r)>
  unfolding non-terminating-is-right by (simp add: T-SKIP)

lemma not-non-terminating-BOT [simp] : < $\neg$  non-terminating  $\perp$ >
  unfolding non-terminating-is-right T-BOT
  using front-tickFree-single non-tickFree-tick by (metis mem-Collect-eq)

```

**context** AfterExt **begin**

```

lemma non-terminating-iff-RUN-events-T:
  <non-terminating P  $\longleftrightarrow$  RUN  $\alpha(P)$   $\sqsubseteq_T P$  for P :: <('a, 'r) processptick>
proof (intro iffI)
  have RUN-subset-T: <(A :: 'a set)  $\subseteq$  B  $\implies$  RUN B  $\sqsubseteq_T$  RUN A> for A B
    by (drule RUN-subset-DT, unfold trace-divergence-refine-def, elim conjE, assumption)
  show <RUN (events-of P)  $\sqsubseteq_T P \implies$  non-terminating P>
    unfolding non-terminating-def by (meson RUN-subset-T UNIV-I subsetI trans-T)
next
  assume non-terminating-P: <non-terminating P>
  have <Q  $\in$  Rproc P  $\implies$  RUN (events-of P)  $\sqsubseteq_T Q$ > for Q
  proof (unfold RUN-def, induct rule: reachable-processes-fix-ind-T)
    show <cont ( $\lambda x. \Box e \in$  events-of P  $\rightarrow$  x)> by simp
next
  fix x s
  assume hyp: < $\forall Q \in$  Rproc P. x  $\sqsubseteq_T Q$ >
  show <s  $\in$  T P  $\implies$  tickFree s  $\implies$   $\Box e \in$  events-of P  $\rightarrow$  x  $\sqsubseteq_T$  P afterT s>
  proof (unfold trace-refine-def, safe)
    show <s  $\in$  T P  $\implies$  tickFree s  $\implies$  t  $\in$  T (P afterT s)  $\implies$ 
      t  $\in$  T ( $\Box e \in$  events-of P  $\rightarrow$  x) for t
    proof (induct t arbitrary: s)
      show <>[]  $\in$  T ( $\Box e \in$  events-of P  $\rightarrow$  x)> by simp
next

```

```

case (Cons e t)
from Cons.prems(1, 2) have * : <P afterT s ∈ Rproc P>
  by (auto simp add: reachable-processes-is)
obtain e' where ** : <e = ev e'> <e' ∈ events-of P>
  by (meson Cons.prems(1, 3) initials-memI imageE
    non-terminating-P initials-Aftertrace-subset-events-of set-mp)
from Cons.prems T-Aftertrace **(1)
have <t ∈ T (P afterT (s @ [e]))> by (simp add: T-Aftertrace-eq)
also have <... ⊆ T x>
  apply (rule hyp[rule-format, unfolded trace-refine-def,
    of <P afterT (s @ [e])>, simplified])
  apply (simp add: Aftertrace-snoc **(1) Aftertick-def)
  apply (rule reachable-after[OF * ])
  using Cons.prems(3) initials-memI F-T **(1) by blast
  finally have <t ∈ T x> .
  with ** show ?case by (auto simp add: T-Mprefix)
qed
qed
qed
with reachable-self show <RUN α(P) ⊑T P> by blast
qed

```

```

lemma lifelock-free-F: <lifelock-free P ←→ CHAOS UNIV ⊑F P>
  by (simp add: lifelock-free-is-non-terminating non-terminating-F)

```

```

end

```

# Chapter 9

## Bonus: powerful new Laws

### 9.1 Powerful Results about *Sync*

```
lemma add-complementary-events-of-in-failure:
  ⟨(t, X) ∈ F P ⟹ (t, X ∪ ev ‘ (– α(P))) ∈ F P⟩
  by (erule is-processT5) (auto simp add: events-of-def, metis F-T in-set-conv-decomp)
```

```
lemma add-complementary-initials-in-refusal: ⟨X ∈ R P ⟹ X ∪ – P0 ∈ R P⟩
  unfolding Refusals-iff by (erule is-processT5) (auto simp add: initials-def F-T)
```

```
lemma TickRightSync:
  ⟨✓(r) ∈ S ⟹ ftF u ⟹ t setinterleaves ((u, [✓(r)]), S) ⟹ t = u ∧ last u = ✓(r)⟩
  by (simp add: TickLeftSync setinterleaving-sym)
```

```
theorem Sync-is-Sync-restricted-superset-events:
  fixes S A :: 'a set and P Q :: "('a, 'r) processp tick
  assumes superset : ⟨α(P) ∪ α(Q) ⊆ A⟩
  defines S' ≡ S ∩ A
  shows ⟨P [S] Q = P [S'] Q⟩
  proof (subst Process-eq-spec-optimized, safe)
    show ⟨s ∈ D (P [S] Q) ⟹ s ∈ D (P [S'] Q)⟩ for s
      by (simp add: D-Sync S'-def)
      (metis Un-UNIV-left Un-commute equals0D events-of-is-strict-events-of-or-UNIV
       inf-top.right-neutral sup.orderE superset)
  next
    show ⟨s ∈ D (P [S] Q) ⟹ s ∈ D (P [S] Q)⟩ for s
      by (simp add: D-Sync S'-def)
      (metis Un-UNIV-left Un-commute equals0D events-of-is-strict-events-of-or-UNIV
       inf-top.right-neutral sup.orderE superset)
  next
    let ?S = ⟨range tick ∪ ev ‘ S :: ('a, 'r) eventp tick set⟩
    and ?S' = ⟨range tick ∪ ev ‘ S’ :: ('a, 'r) eventp tick set⟩
```

```

fix s X
assume same-div : <D (P [S] Q) = D (P [S'] Q)>
assume <(s, X) ∈ F (P [S] Q)>
then consider <s ∈ D (P [S] Q)>
| s-P X-P s-Q X-Q where <(rev s-P, X-P) ∈ F P> <(rev s-Q, X-Q) ∈ F Q>
    <s setinterleaves ((rev s-P, rev s-Q), ?S')>
    <X = (X-P ∪ X-Q) ∩ ?S' ∪ X-P ∩ X-Q>
    by (simp add: F-Sync D-Sync) (metis rev-rev-ident)
thus <(s, X) ∈ F (P [S] Q)>
proof cases
from same-div D-F show <s ∈ D (P [S] Q) ==> (s, X) ∈ F (P [S] Q)> by
blast
next
fix s-P s-Q and X-P X-Q :: <('a, 'r) eventptick set>
let ?X-P' = <X-P ∪ ev '(- α(P))> and ?X-Q' = <X-Q ∪ ev '(- α(Q))>
assume assms : <(rev s-P, X-P) ∈ F P> <(rev s-Q, X-Q) ∈ F Q>
    <s setinterleaves ((rev s-P, rev s-Q), ?S')>
    <X = (X-P ∪ X-Q) ∩ ?S' ∪ X-P ∩ X-Q>

from assms(1, 2)[THEN F-T] and assms(3)
have assms-3-bis : <s setinterleaves ((rev s-P, rev s-Q), ?S)>
proof (induct <(s-P, ?S, s-Q)> arbitrary: s-P s-Q s rule: setinterleaving.induct)
  case 1
  thus <s setinterleaves ((rev [], rev []), ?S)> by simp
next
case (2 y s-Q)
from 2.prems(3)[THEN doubleReverse] obtain s' b
  where * : <y = ev b> <b ∉ S'> <s = rev (y # s')>
        <s' setinterleaves (([], s-Q), ?S')>
  by (simp add: image-iff split: if-split-asm) (metis eventptick.exhaust)
from 2.prems(2)[unfolded <y = ev b>]
have <b ∈ α(Q)> by (force simp add: events-of-def)
with <b ∉ S'> superset have <b ∉ S> by (simp add: S'-def subset-iff)
from 2.prems(2)[simplified, THEN is-processT3-TR] have <rev s-Q ∈ T Q>
by auto
have <y ∉ ?S> by (simp add: *(1) <b ∉ S> image-iff)
have <rev s' setinterleaves ((rev [], rev s-Q), ?S)>
  by (fact 2.hyps[OF <y ∉ ?S> 2.prems(1) <rev s-Q ∈ T Q> *(4)[THEN
doubleReverse]])]
from this[THEN addNonSync, OF <y ∉ ?S>]
show <s setinterleaves ((rev [], rev (y # s-Q)), ?S)>
  by (simp add: <s = rev (y # s')>)
next
case (3 x s-P)
from 3.prems(3)[THEN doubleReverse] obtain s' a
  where * : <x = ev a> <a ∉ S'> <s = rev (x # s')>
        <s' setinterleaves ((s-P, []), ?S')>
  by (simp add: image-iff split: if-split-asm) (metis eventptick.exhaust)
from 3.prems(1)[unfolded <x = ev a>]

```

```

have ⟨a ∈ α(P)⟩ by (force simp add: events-of-def)
with ⟨a ∉ S'⟩ superset have ⟨a ∉ S⟩ by (simp add: S'-def subset-iff)
from 3.prems(1)[simplified, THEN is-processT3-TR] have ⟨rev s-P ∈ ℐ P⟩
by auto
have ⟨x ∉ ?S⟩ by (simp add: *(1) ⟨a ∉ S⟩ image-iff)
have ⟨rev s' setinterleaves ((rev s-P, rev []), ?S)⟩
by (fact 3.hyps[OF ⟨x ∉ ?S⟩ ⟨rev s-P ∈ ℐ P⟩ 3.prems(2) *(4)[THEN
doubleReverse]])
from this[THEN addNonSync, OF ⟨x ∉ ?S⟩]
show ⟨s setinterleaves ((rev (x # s-P), rev []), ?S)⟩
by (simp add: ⟨s = rev (x # s')⟩)
next
case (4 x s-P y s-Q)
from 4.prems(1)[simplified, THEN is-processT3-TR] have ⟨rev s-P ∈ ℐ P⟩
by auto
from 4.prems(2)[simplified, THEN is-processT3-TR] have ⟨rev s-Q ∈ ℐ Q⟩
by auto
from 4.prems(1) have ⟨x ∈ range tick ∪ ev ‘α(P)⟩
by (cases x; force simp add: events-of-def image-iff split: event_ptick.split)
from 4.prems(2) have ⟨y ∈ range tick ∪ ev ‘α(Q)⟩
by (cases y; force simp add: events-of-def image-iff split: event_ptick.split)
consider ⟨x ∈ ?S⟩ and ⟨y ∈ ?S⟩ | ⟨x ∈ ?S⟩ and ⟨y ∉ ?S⟩
| ⟨x ∉ ?S⟩ and ⟨y ∈ ?S⟩ | ⟨x ∉ ?S⟩ and ⟨y ∉ ?S⟩ by blast
thus ⟨s setinterleaves ((rev (x # s-P), rev (y # s-Q)), ?S)⟩
proof cases
assume ⟨x ∈ ?S⟩ and ⟨y ∈ ?S⟩
with ⟨x ∈ range tick ∪ ev ‘α(P)⟩ ⟨y ∈ range tick ∪ ev ‘α(Q)⟩ superset
have ⟨x ∈ ?S'⟩ and ⟨y ∈ ?S'⟩ by (auto simp add: S'-def)
with 4.prems(3)[THEN doubleReverse] obtain s'
where * : ⟨s = rev (x # s')⟩ ⟨s' setinterleaves ((s-P, s-Q), ?S')⟩
by (simp split: if-split-asm) blast
from 4.hyps(1)[OF ⟨x ∈ ?S⟩ ⟨y ∈ ?S⟩ ⟨x = y⟩ ⟨rev s-P ∈ ℐ P⟩ ⟨rev s-Q
∈ ℐ Q⟩
⟨s' setinterleaves ((s-P, s-Q), ?S')⟩[THEN doubleReverse]]
have ⟨rev s' setinterleaves ((rev s-P, rev s-Q), ?S)⟩ .
from this[THEN doubleReverse] ⟨x ∈ ?S⟩
have ⟨(x # s') setinterleaves ((x # s-P, x # s-Q), ?S)⟩ by simp
from this[THEN doubleReverse] show ?case by (simp add: *(1, 2))
next
assume ⟨x ∈ ?S⟩ and ⟨y ∉ ?S⟩
with ⟨x ∈ range tick ∪ ev ‘α(P)⟩ ⟨y ∈ range tick ∪ ev ‘α(Q)⟩ superset
have ⟨x ∈ ?S'⟩ and ⟨y ∉ ?S'⟩ by (auto simp add: S'-def)
with 4.prems(3)[THEN doubleReverse, simplified] obtain s'
where * : ⟨s = rev (y # s')⟩ ⟨s' setinterleaves ((x # s-P, s-Q), ?S')⟩
by (simp split: if-split-asm) blast
from 4.hyps(2)[OF ⟨x ∈ ?S⟩ ⟨y ∉ ?S⟩ ⟨rev (x # s-P) ∈ ℐ P⟩ ⟨rev s-Q ∈
ℐ Q⟩
⟨s' setinterleaves ((x # s-P, s-Q), ?S')⟩[THEN doubleReverse]]

```

```

have ⟨rev s' setinterleaves ((rev (x # s-P), rev s-Q), ?S)⟩ .
from this[THEN doubleReverse] ⟨x ∈ ?S⟩ ⟨y ∉ ?S⟩
have ⟨(y # s') setinterleaves ((x # s-P, y # s-Q), ?S)⟩ by simp
from this[THEN doubleReverse] show ?case by (simp add: ⟨s = rev (y #
s')⟩)
next
assume ⟨x ∉ ?S⟩ and ⟨y ∈ ?S⟩
with ⟨x ∈ range tick ∪ ev ‘α(P)’ ⟩ ⟨y ∈ range tick ∪ ev ‘α(Q)’⟩ superset
have ⟨x ∉ ?S’⟩ and ⟨y ∈ ?S’⟩ by (auto simp add: S'-def)
with 4.prems(3)[THEN doubleReverse] obtain s'
where * : ⟨s = rev (x # s')⟩ ⟨s' setinterleaves ((s-P, y # s-Q), ?S')⟩
by (simp split: if-split-asm) blast
from 4.hyps(5)[OF ⟨x ∉ ?S⟩ - ⟨rev s-P ∈ T P⟩ ⟨rev (y # s-Q) ∈ T Q⟩
⟨s' setinterleaves ((s-P, y # s-Q), ?S')⟩ [THEN doubleReverse]]]
⟨y ∈ ?S⟩
have ⟨rev s' setinterleaves ((rev s-P, rev (y # s-Q)), ?S)⟩ by simp
from this[THEN doubleReverse] ⟨x ∉ ?S⟩ ⟨y ∈ ?S⟩
have ⟨(x # s') setinterleaves ((x # s-P, y # s-Q), ?S)⟩ by simp
from this[THEN doubleReverse] show ?case by (simp add: ⟨s = rev (x #
s')⟩)
next
assume ⟨x ∉ ?S⟩ and ⟨y ∉ ?S⟩
with ⟨x ∈ range tick ∪ ev ‘α(P)’ ⟩ ⟨y ∈ range tick ∪ ev ‘α(Q)’⟩
have ⟨x ∉ ?S’⟩ and ⟨y ∉ ?S’⟩ by (auto simp add: S'-def)
with 4.prems(3)[THEN doubleReverse, simplified] consider
s' where ⟨s = rev (x # s')⟩ ⟨s' setinterleaves ((s-P, y # s-Q), ?S')⟩
| s' where ⟨s = rev (y # s')⟩ ⟨s' setinterleaves ((x # s-P, s-Q), ?S')⟩
by (simp split: if-split-asm) blast
thus ?case
proof cases
fix s' assume ⟨s = rev (x # s')⟩ ⟨s' setinterleaves ((s-P, y # s-Q), ?S')⟩
from 4.hyps(3)[OF ⟨x ∉ ?S⟩ ⟨y ∉ ?S⟩ ⟨rev s-P ∈ T P⟩ ⟨rev (y # s-Q) ∈
T Q⟩
⟨s' setinterleaves ((s-P, y # s-Q), ?S')⟩ [THEN doubleReverse]]]
have ⟨rev s' setinterleaves ((rev s-P, rev (y # s-Q)), ?S)⟩ .
from this[THEN doubleReverse] ⟨x ∉ ?S⟩ ⟨y ∉ ?S⟩
have ⟨(x # s') setinterleaves ((x # s-P, y # s-Q), ?S)⟩ by simp
from this[THEN doubleReverse] show ?case by (simp add: ⟨s = rev (x #
s')⟩)
next
fix s' assume ⟨s = rev (y # s')⟩ ⟨s' setinterleaves ((x # s-P, s-Q), ?S')⟩
from 4.hyps(4)[OF ⟨x ∉ ?S⟩ ⟨y ∉ ?S⟩ ⟨rev (x # s-P) ∈ T P⟩ ⟨rev s-Q ∈
T Q⟩
⟨s' setinterleaves ((x # s-P, s-Q), ?S')⟩ [THEN doubleReverse]]]
have ⟨rev s' setinterleaves ((rev (x # s-P), rev s-Q), ?S)⟩ .
from this[THEN doubleReverse] ⟨x ∉ ?S⟩ ⟨y ∉ ?S⟩
have ⟨(y # s') setinterleaves ((x # s-P, y # s-Q), ?S)⟩ by simp
from this[THEN doubleReverse] show ?case by (simp add: ⟨s = rev (y #
s')⟩)

```

```

qed
qed
qed

from add-complementary-events-of-in-failure[OF assms(1)]
have ⟨(rev s-P, ?X-P') ∈ F P⟩ .
moreover from add-complementary-events-of-in-failure[OF assms(2)]
have ⟨(rev s-Q, ?X-Q') ∈ F Q⟩ .
ultimately have ⟨(s, (?X-P' ∪ ?X-Q') ∩ ?S ∪ ?X-P' ∩ ?X-Q') ∈ F (P [S]
Q)⟩
using assms-3-bis by (simp add: F-Sync) blast
moreover have ⟨X ⊆ (?X-P' ∪ ?X-Q') ∩ ?S ∪ ?X-P' ∩ ?X-Q'⟩
by (auto simp add: assms(4) S'-def image-iff)
ultimately show ⟨(s, X) ∈ F (P [S] Q)⟩ by (rule is-processT4)
qed
next
let ?S = ⟨range tick ∪ ev 'S :: ('a, 'r) eventptick set⟩
and ?S' = ⟨range tick ∪ ev 'S' :: ('a, 'r) eventptick set⟩
fix s X
assume same-div : ⟨D (P [S] Q) = D (P [S'] Q)⟩
assume ⟨(s, X) ∈ F (P [S] Q)⟩
then consider ⟨s ∈ D (P [S] Q)⟩
| s-P X-P s-Q X-Q where ⟨(rev s-P, X-P) ∈ F P⟩ ⟨(rev s-Q, X-Q) ∈ F Q⟩
⟨s setinterleaves ((rev s-P, rev s-Q), ?S)⟩
⟨X = (X-P ∪ X-Q) ∩ ?S ∪ X-P ∩ X-Q⟩
by (simp add: F-Sync D-Sync) (metis rev-rev-ident)
thus ⟨(s, X) ∈ F (P [S'] Q)⟩
proof cases
from same-div D-F show ⟨s ∈ D (P [S] Q) ⟹ (s, X) ∈ F (P [S'] Q)⟩ by
blast
next
fix s-P s-Q and X-P X-Q :: ⟨('a, 'r) eventptick set⟩
let ?X-P' = ⟨X-P ∪ ev '(- α(P))⟩ and ?X-Q' = ⟨X-Q ∪ ev '(- α(Q))⟩
assume assms : ⟨(rev s-P, X-P) ∈ F P⟩ ⟨(rev s-Q, X-Q) ∈ F Q⟩
⟨s setinterleaves ((rev s-P, rev s-Q), ?S)⟩
⟨X = (X-P ∪ X-Q) ∩ ?S ∪ X-P ∩ X-Q⟩

from assms(1, 2)[THEN F-T] and assms(3)
have assms-3-bis : ⟨s setinterleaves ((rev s-P, rev s-Q), ?S')⟩
proof (induct ⟨(s-P, ?S', s-Q)⟩ arbitrary: s-P s-Q s rule: setinterleaving.induct)
case 1
thus ⟨s setinterleaves ((rev [], rev []), ?S')⟩ by simp
next
case (2 y s-Q)
from 2.prefs(3)[THEN doubleReverse] obtain s' b
where * : ⟨y = ev b⟩ ⟨b ∉ S⟩ ⟨s = rev (y # s')⟩
⟨s' setinterleaves (([], s-Q), ?S')⟩
by (simp add: image-iff split: if-split-asm) (metis eventptick.exhaust)
from 2.prefs(2)[unfolded ⟨y = ev b⟩]

```

```

have ⟨b ∈ α(Q)⟩ by (force simp add: events-of-def)
with ⟨b ∉ S⟩ have ⟨b ∉ S'⟩ by (simp add: S'-def)
from 2.prems(2)[simplified, THEN is-processT3-TR] have ⟨rev s-Q ∈ T Q⟩
by auto
  have ⟨y ∉ ?S'⟩ by (simp add: *(1) ⟨b ∉ S'⟩ image-iff)
  have ⟨rev s' setinterleaves ((rev [], rev s-Q), ?S')⟩
    by (fact 2.hyps[OF ⟨y ∉ ?S'⟩ 2.prems(1) ⟨rev s-Q ∈ T Q⟩ *(4)[THEN
doubleReverse]])
  from this[THEN addNonSync, OF ⟨y ∉ ?S'⟩]
  show ⟨s setinterleaves ((rev [], rev (y # s-Q)), ?S')⟩
    by (simp add: ⟨s = rev (y # s')⟩)
next
  case (3 x s-P)
  from 3.prems(3)[THEN doubleReverse] obtain s' a
    where * : ⟨x = ev a⟩ ⟨a ∉ S⟩ ⟨s = rev (x # s')⟩
      ⟨s' setinterleaves ((s-P, []), ?S)⟩
    by (simp add: image-iff split: if-split-asm) (metis event_ptick.exhaust)
  from 3.prems(1)[unfolded ⟨x = ev a⟩]
  have ⟨a ∈ α(P)⟩ by (force simp add: events-of-def)
  with ⟨a ∉ S⟩ have ⟨a ∉ S'⟩ by (simp add: S'-def)
  from 3.prems(1)[simplified, THEN is-processT3-TR] have ⟨rev s-P ∈ T P⟩
by auto
  have ⟨x ∉ ?S'⟩ by (simp add: *(1) ⟨a ∉ S'⟩ image-iff)
  have ⟨rev s' setinterleaves ((rev s-P, rev []), ?S')⟩
    by (fact 3.hyps[OF ⟨x ∉ ?S'⟩ ⟨rev s-P ∈ T P⟩ 3.prems(2) *(4)[THEN
doubleReverse]])
  from this[THEN addNonSync, OF ⟨x ∉ ?S'⟩]
  show ⟨s setinterleaves ((rev (x # s-P), rev []), ?S')⟩
    by (simp add: ⟨s = rev (x # s')⟩)
next
  case (4 x s-P y s-Q)
  from 4.prems(1)[simplified, THEN is-processT3-TR] have ⟨rev s-P ∈ T P⟩
by auto
  from 4.prems(2)[simplified, THEN is-processT3-TR] have ⟨rev s-Q ∈ T Q⟩
by auto
  from 4.prems(1) have ⟨x ∈ range tick ∪ ev ‘α(P)’⟩
    by (cases x; force simp add: events-of-def image-iff split: event_ptick.split)
  from 4.prems(2) have ⟨y ∈ range tick ∪ ev ‘α(Q)’⟩
    by (cases y; force simp add: events-of-def image-iff split: event_ptick.split)
  consider ⟨x ∈ ?S’⟩ and ⟨y ∈ ?S’⟩ | ⟨x ∈ ?S’⟩ and ⟨y ∉ ?S’⟩
    | ⟨x ∉ ?S’⟩ and ⟨y ∈ ?S’⟩ | ⟨x ∉ ?S’⟩ and ⟨y ∉ ?S’⟩ by blast
  thus ⟨s setinterleaves ((rev (x # s-P), rev (y # s-Q)), ?S')⟩
  proof cases
    assume ⟨x ∈ ?S’⟩ and ⟨y ∈ ?S’⟩
    with ⟨x ∈ range tick ∪ ev ‘α(P)’⟩ ⟨y ∈ range tick ∪ ev ‘α(Q)’⟩
    have ⟨x ∈ S⟩ and ⟨y ∈ S⟩ by (auto simp add: S'-def)
    with 4.prems(3)[THEN doubleReverse] obtain s'
      where * : ⟨x = y⟩ ⟨s = rev (x # s')⟩ ⟨s' setinterleaves ((s-P, s-Q), ?S)⟩
        by (simp split: if-split-asm) blast

```

```

from 4.hyps(1)[OF  $\langle x \in ?S' \rangle \langle y \in ?S' \rangle \langle x = y \rangle \langle rev s-P \in \mathcal{T} P \rangle \langle rev s-Q \in \mathcal{T} Q \rangle$ 
 $\langle s' \text{ setinterleaves } ((s-P, s-Q), ?S) \rangle [\text{THEN doubleReverse}]$ 
have  $\langle rev s' \text{ setinterleaves } ((rev s-P, rev s-Q), ?S') \rangle$  .
from this[THEN doubleReverse]  $\langle x \in ?S' \rangle$ 
have  $\langle (x \# s') \text{ setinterleaves } ((x \# s-P, x \# s-Q), ?S') \rangle$  by simp
from this[THEN doubleReverse] show ?case by (simp add: *(1, 2))
next
assume  $\langle x \in ?S' \rangle$  and  $\langle y \notin ?S' \rangle$ 
with  $\langle x \in \text{range tick} \cup ev \alpha(P) \rangle \langle y \in \text{range tick} \cup ev \alpha(Q) \rangle$  superset
have  $\langle x \in ?S \rangle$  and  $\langle y \notin ?S \rangle$  by (auto simp add: S'-def)
with 4.prems(3)[THEN doubleReverse, simplified] obtain s'
where * :  $\langle s = rev(y \# s') \rangle \langle s' \text{ setinterleaves } ((x \# s-P, s-Q), ?S) \rangle$ 
by (simp split: if-split-asm) blast
from 4.hyps(2)[OF  $\langle x \in ?S' \rangle \langle y \notin ?S' \rangle \langle rev(x \# s-P) \in \mathcal{T} P \rangle \langle rev s-Q \in \mathcal{T} Q \rangle$ 
 $\langle s' \text{ setinterleaves } ((x \# s-P, s-Q), ?S) \rangle [\text{THEN doubleReverse}]$ 
have  $\langle rev s' \text{ setinterleaves } ((rev(x \# s-P), rev s-Q), ?S') \rangle$  .
from this[THEN doubleReverse]  $\langle x \in ?S' \rangle \langle y \notin ?S' \rangle$ 
have  $\langle (y \# s') \text{ setinterleaves } ((x \# s-P, y \# s-Q), ?S') \rangle$  by simp
from this[THEN doubleReverse] show ?case by (simp add: s = rev(y # s'))
next
assume  $\langle x \notin ?S' \rangle$  and  $\langle y \in ?S' \rangle$ 
with  $\langle x \in \text{range tick} \cup ev \alpha(P) \rangle \langle y \in \text{range tick} \cup ev \alpha(Q) \rangle$  superset
have  $\langle x \notin ?S \rangle$  and  $\langle y \in ?S \rangle$  by (auto simp add: S'-def)
with 4.prems(3)[THEN doubleReverse] obtain s'
where * :  $\langle s = rev(x \# s') \rangle \langle s' \text{ setinterleaves } ((s-P, y \# s-Q), ?S) \rangle$ 
by (simp split: if-split-asm) blast
from 4.hyps(5)[OF  $\langle x \notin ?S' \rangle - \langle rev s-P \in \mathcal{T} P \rangle \langle rev(y \# s-Q) \in \mathcal{T} Q \rangle$ 
 $\langle s' \text{ setinterleaves } ((s-P, y \# s-Q), ?S) \rangle [\text{THEN doubleReverse}]$ 
 $\langle y \in ?S' \rangle$ 
have  $\langle rev s' \text{ setinterleaves } ((rev s-P, rev(y \# s-Q)), ?S') \rangle$  by simp
from this[THEN doubleReverse]  $\langle x \notin ?S' \rangle \langle y \in ?S' \rangle$ 
have  $\langle (x \# s') \text{ setinterleaves } ((x \# s-P, y \# s-Q), ?S') \rangle$  by simp
from this[THEN doubleReverse] show ?case by (simp add: s = rev(x # s'))
next
assume  $\langle x \notin ?S' \rangle$  and  $\langle y \notin ?S' \rangle$ 
with  $\langle x \in \text{range tick} \cup ev \alpha(P) \rangle \langle y \in \text{range tick} \cup ev \alpha(Q) \rangle$  superset
have  $\langle x \notin ?S \rangle$  and  $\langle y \notin ?S \rangle$  by (auto simp add: S'-def)
with 4.prems(3)[THEN doubleReverse, simplified] consider
s' where s = rev(x # s')  $\langle s' \text{ setinterleaves } ((s-P, y \# s-Q), ?S) \rangle$ 
| s' where s = rev(y # s')  $\langle s' \text{ setinterleaves } ((x \# s-P, s-Q), ?S) \rangle$ 
by (simp split: if-split-asm) blast
thus ?case
proof cases
fix s' assume s = rev(x # s')  $\langle s' \text{ setinterleaves } ((s-P, y \# s-Q), ?S) \rangle$ 

```

```

from 4.hyps(3)[OF `xnotinS' `ynotinS' `rev s-P ∈ T P` `rev (y#s-Q)
∈ T Q` 
    `s' setinterleaves ((s-P, y#s-Q), ?S)`[THEN doubleReverse]]
have `rev s' setinterleaves ((rev s-P, rev (y#s-Q)), ?S')` .
from this[THEN doubleReverse] `xnotinS' `ynotinS' 
have `(x#s') setinterleaves ((x#s-P, y#s-Q), ?S')` by simp
from this[THEN doubleReverse] show ?case by (simp add: `s = rev (x#
s')`)
next
fix s' assume `s = rev (y#s')` `s' setinterleaves ((x#s-P, s-Q), ?S)`
from 4.hyps(4)[OF `xnotinS' `ynotinS' `rev (x#s-P) ∈ T P` `rev s-Q
∈ T Q` 
    `s' setinterleaves ((x#s-P, s-Q), ?S)`[THEN doubleReverse]]
have `rev s' setinterleaves ((rev (x#s-P), rev s-Q), ?S')` .
from this[THEN doubleReverse] `xnotinS' `ynotinS' 
have `(y#s') setinterleaves ((x#s-P, y#s-Q), ?S')` by simp
from this[THEN doubleReverse] show ?case by (simp add: `s = rev (y#
s')`)
qed
qed
qed

from add-complementary-events-of-in-failure[OF assms(1)]
have `(`rev s-P, ?X-P') ∈ F P` .
moreover from add-complementary-events-of-in-failure[OF assms(2)]
have `(`rev s-Q, ?X-Q') ∈ F Q` .
ultimately have `(`s, (?X-P' ∪ ?X-Q') ∩ ?S' ∪ ?X-P' ∩ ?X-Q') ∈ F (P [| S |]
Q)` 
using assms-3-bis by (simp add: F-Sync) blast
moreover from superset have `X ⊆ (?X-P' ∪ ?X-Q') ∩ ?S' ∪ ?X-P' ∩ ?X-Q'
by (auto simp add: assms(4) S'-def image-iff)
ultimately show `(`s, X) ∈ F (P [| S |] Q)` by (rule is-processT4)
qed
qed

corollary Sync-is-Sync-restricted-events : `P [| S |] Q = P [| S ∩ (α(P) ∪ α(Q)) |] Q`
by (simp add: Sync-is-Sync-restricted-superset-events)

```

This version is closer to the intuition that we may have, but the first one would be more useful if we don't want to compute the events of a process but know a superset approximation.

**corollary**  $\langle \text{deadlock-free } P \implies \text{deadlock-free } Q \implies$   
 $S \cap (\alpha(P) \cup \alpha(Q)) = \{\} \implies \text{deadlock-free } (P [| S |] Q)$   
**by** (subst Sync-is-Sync-restricted-events) (simp add: Inter-deadlock-free)

## 9.2 Powerful Results about *Renaming*

In this section we will provide laws about the *Renaming* operator. In the first subsection we will give slight generalizations of previous results, but in the other we prove some very powerful theorems.

### 9.2.1 Some Generalizations

For *Renaming*, we can obtain generalizations of the following results:

*Renaming* (*Mprefix A P*)  $f g = \square y \in f` A \rightarrow \sqcap a \in \{x \in A. y = f x\}. Renaming$

$(P a) f g$

*Renaming* (*Mndetprefix A P*)  $f g = \sqcap b \in f` A \rightarrow \sqcap a \in \{a \in A. b = f a\}. Renaming$

$(P a) f g$

**lemma** *Renaming-Mprefix-Sliding*:

$\langle Renaming ((\square a \in A \rightarrow P a) \triangleright Q) f g =$

$(\square y \in f` A \rightarrow \sqcap a \in \{x \in A. y = f x\}. Renaming (P a) f g) \triangleright Renaming Q f g \rangle$

**unfolding** *Sliding-def*

**by** (*simp add: Renaming-Det Renaming-distrib-Ndet Renaming-Mprefix*)

### 9.2.2 *Renaming* and $(\setminus)$

When  $f$  is one to one, *Renaming* ( $P \setminus S$ )  $f$  will behave like we expect it to do.

**lemma** *strict-mono-map*:  $\langle strict-mono g \implies strict-mono (\lambda i. map f (g i)) \rangle$   
**unfolding** *strict-mono-def less-eq-list-def less-list-def prefix-def* **by** *fastforce*

**lemma** *trace-hide-map-map-event<sub>ptick</sub>* :

$\langle inj-on (map-event<sub>ptick</sub> f g) (set s \cup ev` S) \implies$   
 $trace-hide (map (map-event<sub>ptick</sub> f g) s) (ev` f` S) =$   
 $map (map-event<sub>ptick</sub> f g) (trace-hide s (ev` S)) \rangle$

**proof** (*induct s*)

**case** *Nil*

**show** ?case **by** *simp*

**next**

**case** (*Cons e s*)

**hence** \* :  $\langle trace-hide (map (map-event<sub>ptick</sub> f g) s) (ev` f` S) =$   
 $map (map-event<sub>ptick</sub> f g) (trace-hide s (ev` S)) \rangle$  **by** *fastforce*

**from** *Cons.preds[unfolded inj-on-def, rule-format, of e, simplified]* **show** ?case

**by** (*simp add: \*, simp add: image-iff split: event<sub>ptick</sub>.split*)

$(metis event<sub>ptick</sub>.simp(9))$

**qed**

**theorem** bij-Renaming-Hiding:  $\langle \text{Renaming} (P \setminus S) f g = \text{Renaming} P f g \setminus f ' S \rangle$   
 (is  $\langle ?lhs = ?rhs \rangle$ ) if bij-f:  $\langle \text{bij} f \rangle$  and bij-g:  $\langle \text{bij} g \rangle$

**proof** –

```

have inj-on-map-eventptick :  $\langle \text{inj-on} (\text{map-event}_{\text{ptick}} f g) X \rangle$  for X
  by (metis bij-betw-imp-inj-on bij-f bij-g eventptick.inj-map inj-eq inj-onI)
have inj-on-map-eventptick-inv :  $\langle \text{inj-on} (\text{map-event}_{\text{ptick}} (\text{inv} f) (\text{inv} g)) X \rangle$  for X
  by (metis bij-betw-def bij-f bij-g eventptick.inj-map inj-on-inverseI inv-f-eq
  surj-imp-inj-inv)
show  $\langle ?lhs = ?rhs \rangle$ 
proof (subst Process-eq-spec-optimized, safe)
  fix s
  assume  $\langle s \in \mathcal{D} ?lhs \rangle$ 
  then obtain s1 s2 where * :  $\langle tF s1 \rangle \langle ftF s2 \rangle$ 
     $\langle s = \text{map} (\text{map-event}_{\text{ptick}} f g) s1 @ s2 \rangle \langle s1 \in \mathcal{D} (P \setminus S) \rangle$ 
    by (simp add: D-Renaming) blast
  from *(4) obtain t u
    where ** :  $\langle ftF u \rangle \langle tF t \rangle \langle s1 = \text{trace-hide} t (\text{ev} ' S) @ u \rangle$ 
       $\langle t \in \mathcal{D} P \vee (\exists g. \text{isInfHiddenRun} g P S \wedge t \in \text{range } g) \rangle$ 
    by (simp add: D-Hiding) blast
  from **(4) show  $\langle s \in \mathcal{D} ?rhs \rangle$ 
    proof (elim disjE)
      assume  $\langle t \in \mathcal{D} P \rangle$ 
      hence  $\langle ftF (\text{map} (\text{map-event}_{\text{ptick}} f g) u @ s2) \wedge tF (\text{map} (\text{map-event}_{\text{ptick}} f g) t) \rangle \wedge$ 
         $s = \text{trace-hide} (\text{map} (\text{map-event}_{\text{ptick}} f g) t) (\text{ev} ' f ' S) @ \text{map}$ 
        ( $\text{map} (\text{map-event}_{\text{ptick}} f g) u @ s2 \wedge$ 
         $\text{map} (\text{map-event}_{\text{ptick}} f g) t \in \mathcal{D} (\text{Renaming} P f g)$ )
      apply (simp add: *(3) **(2, 3) map-eventptick-tickFree, intro conjI)
      apply (metis *(1, 2) **(1) **(3) front-tickFree-append-iff
        map-eventptick-front-tickFree map-eventptick-tickFree tick-
        Free-append-iff)
      apply (rule trace-hide-map-map-eventptick[symmetric])
      apply (meson inj-def inj-onCI inj-on-map-eventptick)
      apply (simp add: D-Renaming)
      using **(2) front-tickFree-Nil by blast
      thus  $\langle s \in \mathcal{D} ?rhs \rangle$  by (simp add: D-Hiding) blast
    next
      assume  $\langle \exists h. \text{isInfHiddenRun} h P S \wedge t \in \text{range } h \rangle$ 
      then obtain h where  $\langle \text{isInfHiddenRun} h P S \rangle \langle t \in \text{range } h \rangle$  by blast
      hence  $\langle tF (\text{map} (\text{map-event}_{\text{ptick}} f g) u @ s2) \wedge$ 
         $tF (\text{map} (\text{map-event}_{\text{ptick}} f g) t) \wedge$ 
         $s = \text{trace-hide} (\text{map} (\text{map-event}_{\text{ptick}} f g) t) (\text{ev} ' f ' S) @ \text{map}$ 
        ( $\text{map} (\text{map-event}_{\text{ptick}} f g) u @ s2 \wedge$ 
         $\text{isInfHiddenRun} (\lambda i. \text{map} (\text{map-event}_{\text{ptick}} f g) (h i)) (\text{Renaming} P f g)$ 
         $(f ' S) \wedge$ 
         $\text{map} (\text{map-event}_{\text{ptick}} f g) t \in \text{range} (\lambda i. \text{map} (\text{map-event}_{\text{ptick}} f g) (h i)) \rangle$ 
      apply (simp add: *(3) **(2, 3) map-eventptick-tickFree, intro conjI)
  
```

```

apply (metis *(1, 2)**(3) front-tickFree-append map-eventptick-tickFree
tickFree-append-iff)
apply (rule trace-hide-map-map-eventptick[OF inj-on-map-eventptick,
symmetric])
apply (solves <rule strict-mono-map, simp>)
apply (solves <auto simp add: T-Renaming>)
apply (subst (1 2) trace-hide-map-map-eventptick[OF inj-on-map-eventptick])
by metis blast
thus <s ∈ D ?rhs> by (simp add: D-Hiding) blast
qed
next
fix s
assume <s ∈ D ?rhs>
then obtain t u
where * : <ftF u> <tF t> <s = trace-hide t (ev ` f ` S) @ u>
<t ∈ D (Renaming P f g) ∨
(∃ h. isInfHiddenRun h (Renaming P f g) (f ` S) ∧ t ∈ range h)>
by (simp add: D-Hiding) blast
from *(4) show <s ∈ D ?lhs>
proof (elim disjE)
assume <t ∈ D (Renaming P f g)>
then obtain t1 t2 where ** : <tF t1> <ftF t2>
<t = map (map-eventptick f g) t1 @ t2> <t1 ∈ D P>
by (simp add: D-Renaming) blast
have <tF (trace-hide t1 (ev ` S)) ∧
ftF (trace-hide t2 (ev ` f ` S) @ u) ∧
trace-hide (map (map-eventptick f g) t1) (ev ` f ` S) @ trace-hide t2 (ev
` f ` S) @ u =
map (map-eventptick f g) (trace-hide t1 (ev ` S)) @ trace-hide t2 (ev ` f
` S) @ u ∧
trace-hide t1 (ev ` S) ∈ D (P \ S)>
apply (simp, intro conjI)
using **(1) Hiding-tickFree apply blast
using *(1, 2)**(3) Hiding-tickFree front-tickFree-append tickFree-append-iff
apply blast
apply (rule trace-hide-map-map-eventptick[OF inj-on-map-eventptick])
using **(4) mem-D-imp-mem-D-Hiding by blast
thus <s ∈ D ?lhs> by (simp add: D-Renaming *(3)**(3)) blast
next
have inv-S: <S = inv f ` f ` S> by (simp add: bij-is-inj bij-f)
have inj-inv-f: <inj (inv f)>
by (simp add: bij-imp-bij-inv bij-is-inj bij-f)
have ** : <map (map-eventptick (inv f)) (inv g) ∘ map-eventptick f g) v = v>
for v
by (induct v, simp-all)
(metis UNIV-I bij-betw-inv-into-left bij-f bij-g eventptick.exhaust eventptick.map(2)
eventptick.simps(9))
assume <∃ h. isInfHiddenRun h (Renaming P f g) (f ` S) ∧ t ∈ range h>
then obtain h

```

```

where *** : <isInfHiddenRun h (Renaming P f g) (f ` S)> <t ∈ range h> by
blast
then consider t1 where <t1 ∈ T P> <t = map (map-eventptick f g) t1>
| t1 t2 where <tF t1> <ftF t2>
    <t = map (map-eventptick f g) t1 @ t2> <t1 ∈ D P>
by (simp add: T-Renaming) blast
thus <s ∈ D ?lhs>
proof cases
    fix t1 assume **** : <t1 ∈ T P> <t = map (map-eventptick f g) t1>
    have ***** : <t1 = map (map-eventptick (inv f) (inv g)) t> by (simp add:
****(2) **)
    have ***** : <trace-hide t1 (ev ` S) = trace-hide t1 (ev ` S) ∧
        isInfHiddenRun (λi. map (map-eventptick (inv f) (inv g)) (h i))
P S ∧
    t1 ∈ range (λi. map (map-eventptick (inv f) (inv g)) (h i))
apply (simp add: ***(1) strict-mono-map, intro conjI)
    apply (subst Renaming-inv[where f = f and g = g, symmetric])
        apply (solves <simp add: bij-is-inj bij-f>)
        apply (solves <simp add: bij-is-inj bij-g>)

using ***(1) apply (subst T-Renaming, blast)
    apply (subst (1 2) inv-S, subst (1 2) trace-hide-map-map-eventptick[OF
inj-on-map-eventptick-inv])
        apply (metis ***(1))
    using ***(2) ***** by blast
have <tF (trace-hide t1 (ev ` S)) ∧ ftF t1 ∧
    trace-hide (map (map-eventptick f g) t1) (ev ` f ` S) @ u =
    map (map-eventptick f g) (trace-hide t1 (ev ` S)) @ u ∧
    trace-hide t1 (ev ` S) ∈ D (P \ S)>
apply (simp, intro conjI)
using *(2) ****(2) map-eventptick-tickFree Hiding-tickFree apply blast
using ****(1) is-processT2-TR apply blast
apply (rule trace-hide-map-map-eventptick[OF inj-on-map-eventptick])
apply (simp add: D-Renaming D-Hiding)
    using *(2) ***** ***** map-eventptick-tickFree front-tickFree-Nil by
blast
with *(1) show <s ∈ D ?lhs> by (simp add: D-Renaming *(3) ****(2))
blast
next
fix t1 t2 assume **** : <tF t1> <ftF t2>
    <t = map (map-eventptick f g) t1 @ t2> <t1 ∈ D P>
have <tF (trace-hide t1 (ev ` S)) ∧
    ftF (trace-hide t2 (ev ` f ` S) @ u) ∧
    trace-hide (map (map-eventptick f g) t1) (ev ` f ` S) @ trace-hide t2 (ev
` f ` S) @ u =
    map (map-eventptick f g) (trace-hide t1 (ev ` S)) @ trace-hide t2 (ev `
f ` S) @ u ∧
    trace-hide t1 (ev ` S) ∈ D (P \ S)>
apply (simp, intro conjI)

```

```

using ****(1) Hiding-tickFree apply blast
using *(1, 2) ****(3) Hiding-tickFree front-tickFree-append tickFree-append-iff
apply blast
  apply (rule trace-hide-map-map-eventptick[OF inj-on-map-eventptick])
  using ****(4) mem-D-imp-mem-D-Hiding by blast
  thus ⟨s ∈ D ?lhs⟩ by (simp add: D-Renaming *(3) ****(3)) blast
qed
qed
next
fix s X
assume same-div : ⟨D ?lhs = D ?rhs⟩
assume ⟨(s, X) ∈ F ?lhs⟩
then consider ⟨s ∈ D ?lhs⟩
| s1 where ⟨(s1, map-eventptick f g -` X) ∈ F (P \ S)⟩ ⟨s = map
(map-eventptick f g) s1⟩
  by (simp add: F-Renaming D-Renaming) blast
thus ⟨(s, X) ∈ F ?rhs⟩
proof cases
  from D-F same-div show ⟨s ∈ D ?lhs ⟹ (s, X) ∈ F ?rhs⟩ by blast
next
fix s1 assume * : ⟨(s1, map-eventptick f g -` X) ∈ F (P \ S)⟩
⟨s = map (map-eventptick f g) s1⟩
from this(1) consider ⟨s1 ∈ D (P \ S)⟩
| t where ⟨s1 = trace-hide t (ev ` S)⟩ ⟨(t, map-eventptick f g -` X ∪ ev ` S) ∈ F P⟩
  by (simp add: F-Hiding D-Hiding) blast
thus ⟨(s, X) ∈ F ?rhs⟩
proof cases
  assume ⟨s1 ∈ D (P \ S)⟩
  then obtain t u
  where ** : ⟨tF u⟩ ⟨tF t⟩ ⟨s1 = trace-hide t (ev ` S) @ u⟩
    ⟨t ∈ D P ∨ (∃ g. isInfHiddenRun g P S ∧ t ∈ range g)⟩
  by (simp add: D-Hiding) blast
  have *** : ⟨tF (map (map-eventptick f g) u) ∧ tF (map (map-eventptick f
g) t) ∧
    map (map-eventptick f g) (trace-hide t (ev ` S)) @ map (map-eventptick
f g) u =
    trace-hide (map (map-eventptick f g) t) (ev ` f ` S) @ (map
(map-eventptick f g) u)⟩
  by (simp add: map-eventptick-front-tickFree map-eventptick-tickFree **(1,
2))
  (rule trace-hide-map-map-eventptick[OF inj-on-map-eventptick, symmetric])
  from **(4) show ⟨(s, X) ∈ F ?rhs⟩
  proof (elim disjE exE)
    assume ⟨t ∈ D P⟩
    hence $ : ⟨map (map-eventptick f g) t ∈ D (Renaming P f g)⟩
      apply (simp add: D-Renaming)
      using **(2) front-tickFree-Nil by blast

```

```

show ⟨(s, X) ∈ F ?rhs⟩
  by (simp add: F-Hiding) (metis $ *(2) **(3) *** map-append)
next
  fix h assume ⟨isInfHiddenRun h P S ∧ t ∈ range h⟩
  hence $ : ⟨isInfHiddenRun (λi. map (map-eventptick f g) (h i)) (Renaming P f g) (f ` S) ∧
    map (map-eventptick f g) t ∈ range (λi. map (map-eventptick f g) (h i))⟩
    apply (subst (1 2) trace-hide-map-map-eventptick[OF inj-on-map-eventptick])
    by (simp add: strict-mono-map T-Renaming image-iff) (metis (mono-tags, lifting))
  show ⟨(s, X) ∈ F ?rhs⟩
    apply (simp add: F-Hiding)

    by (smt (verit, del-insts) $ *(2) **(3) *** map-append)
qed
next
  fix t assume ** : ⟨s1 = trace-hide t (ev ` S)⟩
    ⟨(t, map-eventptick f g − ` X ∪ ev ` S) ∈ F P⟩
  have *** : ⟨map-eventptick f g − ` X ∪ map-eventptick f g − ` ev ` f ` S =
    map-eventptick f g − ` X ∪ ev ` S⟩
    by (simp add: set-eq-iff map-eventptick-eq-ev-iff image-iff) (metis bij-f
    bij-pointE)
  have ⟨map (map-eventptick f g) (trace-hide t (ev ` S)) =
    trace-hide (map (map-eventptick f g) t) (ev ` f ` S) ∧
    (map (map-eventptick f g) t, X ∪ ev ` f ` S) ∈ F (Renaming P f g)⟩
    apply (intro conjI)
    apply (rule trace-hide-map-map-eventptick[OF inj-on-map-eventptick, symmetric])
    apply (simp add: F-Renaming)
    using **(2) *** by auto
  show ⟨(s, X) ∈ F ?rhs⟩
    apply (simp add: F-Hiding *(2) **(1))
    using ⟨?this⟩ by blast
qed
qed
next
  fix s X
  assume same-div : ⟨D ?lhs = D ?rhs⟩
  assume ⟨(s, X) ∈ F ?rhs⟩
  then consider ⟨s ∈ D ?rhs⟩
    | t where ⟨s = trace-hide t (ev ` f ` S)⟩ ⟨(t, X ∪ ev ` f ` S) ∈ F (Renaming P f g)⟩
      by (simp add: F-Hiding D-Hiding) blast
    thus ⟨(s, X) ∈ F ?lhs⟩
      proof cases
        from D-F same-div show ⟨s ∈ D ?rhs⟩ ⟹ ⟨(s, X) ∈ F ?lhs⟩ by blast
      next
        fix t assume ⟨s = trace-hide t (ev ` f ` S)⟩ ⟨(t, X ∪ ev ` f ` S) ∈ F (Renaming

```

```

 $P f g)$ 
then obtain  $t$ 
where  $* : \langle s = trace-hide t (ev ' f ' S) \rangle$ 
       $\langle (t, X \cup ev ' f ' S) \in \mathcal{F} (Renaming P f g) \rangle$  by blast
have  $** : \langle map-event_{ptick} f g -' X \cup map-event_{ptick} f g -' ev ' f ' S =$ 
 $map-event_{ptick} f g -' X \cup ev ' S \rangle$ 
by (simp add: set-eq-iff map-event_{ptick}-eq-ev-iff image-iff) (metis bij-f
bij-pointE)
have  $\langle (\exists s1. (s1, map-event_{ptick} f g -' X \cup map-event_{ptick} f g -' ev ' f ' S) \rangle$ 
 $\in \mathcal{F} P \wedge t = map (map-event_{ptick} f g) s1) \vee$ 
 $(\exists s1 s2. tF s1 \wedge ftF s2 \wedge t = map (map-event_{ptick} f g) s1 @ s2 \wedge s1 \in \mathcal{D} P)$ 
using  $*(2)$  by (auto simp add: F-Renaming)
thus  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$ 
proof (elim disjE exE conjE)
  fix  $s1$ 
  assume  $\langle (s1, map-event_{ptick} f g -' X \cup map-event_{ptick} f g -' ev ' f ' S) \rangle$ 
 $\in \mathcal{F} P, \langle t = map (map-event_{ptick} f g) s1 \rangle$ 
  hence  $\langle (trace-hide s1 (ev ' S), map-event_{ptick} f g -' X) \in \mathcal{F} (P \setminus S) \wedge$ 
     $s = map (map-event_{ptick} f g) (trace-hide s1 (ev ' S)) \rangle$ 
  apply (simp add: *(1) F-Hiding **, intro conjI)
  by blast (rule trace-hide-map-map-event_{ptick}[OF inj-on-map-event_{ptick}])
  show  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$ 
    apply (simp add: F-Renaming)
    using  $\langle ?this \rangle$  by blast
  next
    fix  $s1 s2$ 
    assume  $\langle tF s1 \rangle \langle ftF s2 \rangle \langle t = map (map-event_{ptick} f g) s1 @ s2 \rangle \langle s1 \in \mathcal{D}$ 
 $P \rangle$ 
    hence  $\langle tF (trace-hide s1 (ev ' S)) \wedge$ 
       $ftF (trace-hide s2 (ev ' f ' S)) \wedge$ 
       $s = map (map-event_{ptick} f g) (trace-hide s1 (ev ' S)) @ trace-hide s2$ 
 $(ev ' f ' S) \wedge$ 
       $trace-hide s1 (ev ' S) \in \mathcal{D} (P \setminus S) \rangle$ 
    apply (simp add: F-Renaming *(1), intro conjI)
    using Hiding-tickFree apply blast
    using Hiding-front-tickFree apply blast
    apply (rule trace-hide-map-map-event_{ptick}[OF inj-on-map-event_{ptick}])
    using mem-D-imp-mem-D-Hiding by blast
    show  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$ 
      apply (simp add: F-Renaming)
      using  $\langle ?this \rangle$  by blast
    qed
  qed
  qed
  qed

```

### 9.2.3 Renaming and Sync

Idem for the synchronization: when  $f$  is one to one,  $\text{Renaming } (P \llbracket S \rrbracket Q)$  will behave as expected.

```

lemma map-antecedent-if-subset-rangeE :
  assumes <set u ⊆ range f>
  obtains t where <u = map f t>
    — In particular, when  $f$  is surjective or bijective.
  proof –
    from <set u ⊆ range f> have <∃ t. u = map f t>
    proof (induct u)
      show <∃ t. [] = map f t> by simp
    next
      fix a u
      assume prem : <set (a # u) ⊆ range f>
      and hyp : <set u ⊆ range f ⟹ ∃ t. u = map f t>
      then obtain t where * : <u = map f t>
        by (meson set-subset-Cons subset-trans)
      from prem obtain x where ** : <fx = a> by auto
      show <∃ t. a # u = map f t>
      proof (intro exI)
        show <a # u = map f (x # t)> by (simp add: * **)
      qed
    qed
    with that show thesis by blast
  qed

lemma bij-map-setinterleaving-iff-setinterleaving :
  <map f r setinterleaves ((map f t, map f u), f ` S) ⟷
  r setinterleaves ((t, u), S)> if bij-f : <bij f>
proof (induct <(t, S, u)> arbitrary: t u r rule: setinterleaving.induct)
  case 1
  thus ?case by simp
  next
    case (2 y u)
    show ?case
    proof (cases <y ∈ S>)
      show <y ∈ S ⟹ ?case> by simp
    next
      assume <y ∉ S>
      hence <f y ∉ f ` S> by (metis bij-betw-imp-inj-on inj-image-mem-iff bij-f)
      with 2.hyps[OF <y ∉ S>, of <tl r>] show ?case
        by (cases r; simp add: <y ∉ S>) (metis bij-pointE bij-f)
    qed
  next
    case (3 x t)
    show ?case
    proof (cases <x ∈ S>)

```

```

show ⟨x ∈ S ⟹ ?case⟩ by simp
next
  assume ⟨x ∉ S⟩
  hence ⟨f x ∉ f ` S⟩ by (metis bij-betw-imp-inj-on inj-image-mem-iff bij-f)
  with 3.hyps[OF ⟨x ∉ S⟩, of ⟨tl r⟩] show ?case
    by (cases r; simp add: ⟨x ∉ S⟩) (metis bij-pointE bij-f)
qed
next
  case (4 x t y u)
  have * : ⟨x ≠ y ⟹ f x ≠ f y⟩ by (metis bij-pointE bij-f)
  have ** : ⟨f z ∈ f ` S ⟷ z ∈ S⟩ for z
    by (meson bij-betw-def inj-image-mem-iff bij-f)
  show ?case
  proof (cases ⟨x ∈ S⟩; cases ⟨y ∈ S⟩)
    from 4.hyps(1)[of ⟨tl r⟩] show ⟨x ∈ S ⟹ y ∈ S ⟹ ?case⟩
      by (cases r; simp add: *) (metis bij-pointE bij-f)
  next
    from 4.hyps(2)[of ⟨tl r⟩] show ⟨x ∈ S ⟹ y ∉ S ⟹ ?case⟩
      by (cases r; simp add: **) (metis bij-pointE bij-f)
  next
    from 4.hyps(5)[of ⟨tl r⟩] show ⟨x ∉ S ⟹ y ∈ S ⟹ ?case⟩
      by (cases r; simp add: **) (metis bij-pointE bij-f)
  next
    from 4.hyps(3, 4)[of ⟨tl r⟩] show ⟨x ∉ S ⟹ y ∉ S ⟹ ?case⟩
      by (cases r; simp add: **) (metis bij-pointE bij-f)
  qed
qed

```

**theorem bij-Renaming-Sync:**

⟨Renaming (P [S] Q) f g = Renaming P f g [f ` S] Renaming Q f g⟩  
 (is ⟨?lhs P Q = ?rhs P Q⟩) if bij-f: ⟨bij f⟩ and bij-g: ⟨bij g⟩

**proof** –

— Some intermediate results.

- have map-event<sub>ptick</sub>-inv-comp-map-event<sub>ptick</sub> : ⟨map-event<sub>ptick</sub> (inv f) (inv g)
- o map-event<sub>ptick</sub> f g = id
- proof (rule ext)
 show map-event<sub>ptick</sub>-inv-comp-map-event<sub>ptick</sub> :
 ⟨(map-event<sub>ptick</sub> (inv f) (inv g) o map-event<sub>ptick</sub> f g) t = id t⟩ for t
 by (induct t, simp-all) (metis bij-f bij-inv-eq-iff, metis bij-g bij-inv-eq-iff)
 qed
- have map-event<sub>ptick</sub>-comp-map-event<sub>ptick</sub>-inv : ⟨map-event<sub>ptick</sub> f g o map-event<sub>ptick</sub> (inv f) (inv g) = id⟩
 proof (rule ext)
 show map-event<sub>ptick</sub>-inv-comp-map-event<sub>ptick</sub> :
 ⟨(map-event<sub>ptick</sub> f g o map-event<sub>ptick</sub> (inv f) (inv g)) t = id t⟩ for t
 by (induct t, simp-all) (metis bij-f bij-inv-eq-iff, metis bij-g bij-inv-eq-iff)
 qed
 from map-event<sub>ptick</sub>-inv-comp-map-event<sub>ptick</sub> map-event<sub>ptick</sub>-comp-map-event<sub>ptick</sub>-inv

*o-bij*

```

have bij-map-eventptick : <bij (map-eventptick f g)> by blast
  have inv-map-eventptick-is-map-eventptick-inv : <inv (map-eventptick f g) = map-eventptick (inv f) (inv g)>
    by (simp add: inv-equality map-eventptick-comp-map-eventptick-inv map-eventptick-inv-comp-map-eve pointfree-idE)
  have sets-S-eq : <map-eventptick f g ` (range tick ∪ ev ` S) = range tick ∪ ev ` f ` S>
    by (auto simp add: set-eq-iff image-iff tick-eq-map-eventptick-iff ev-eq-map-eventptick-iff split: eventptick.split)
      (metis UNIV-I Un-iff bij-betw-def bij-g image-iff, blast)
  have inj-map-eventptick : <inj (map-eventptick f g)>
    and inj-inv-map-eventptick : <inj (inv (map-eventptick f g))>
    by (use bij-betw-imp-inj-on bij-map-eventptick in blast)
      (meson bij-betw-imp-inj-on bij-betw-inv-into bij-map-eventptick)
  show ?lhs P Q = ?rhs P Q
  proof (subst Process-eq-spec-optimized, safe)
    fix s
    assume <s ∈ D (?lhs P Q)>
    then obtain s1 s2
      where * : <tF s1> <ftF s2> <s = map (map-eventptick f g) s1 @ s2> <s1 ∈ D (P [S] Q)>
        by (simp add: D-Renaming) blast
    from *(4) obtain t u r v
      where ** : <ftF v> <tF r ∨ v = []>
        <s1 = r @ v> <r setinterleaves ((t, u), range tick ∪ ev ` S)>
        <t ∈ D P ∧ u ∈ T Q ∨ t ∈ D Q ∧ u ∈ T P>
        by (simp add: D-Sync) blast
    { fix t u P Q
      assume assms : <r setinterleaves ((t, u), range tick ∪ ev ` S)>
        <t ∈ D P> <u ∈ T Q>
      have <map (map-eventptick f g) r setinterleaves ((map (map-eventptick f g) t, map (map-eventptick f g) u), range tick ∪ ev ` f ` S)>
        by (metis assms(1) bij-map-setinterleaving-iff-setinterleaving bij-map-eventptick sets-S-eq)
      moreover have <map (map-eventptick f g) t ∈ D (Renaming P f g)>
        apply (cases <tF t>; simp add: D-Renaming)
        using assms(2) front-tickFree-Nil apply blast
      by (metis D-T D-imp-front-tickFree append-T-imp-tickFree assms(2) front-tickFree-Cons-iff
          is-processT9 list.simps(3) map-append nonTickFree-n-frontTickFree
          map-eventptick-front-tickFree)
      moreover have <map (map-eventptick f g) u ∈ T (Renaming Q f g)>
        using assms(3) by (simp add: T-Renaming) blast
      ultimately have <s ∈ D (?rhs P Q)>
        by (simp add: D-Sync *(3) **(3))
        (metis *(1, 2) **(3) map-eventptick-tickFree front-tickFree-append tick-
        Free-append-iff)
    } note *** = this
  
```

```

from **(4, 5) *** show ⟨s ∈ D (?rhs P Q)⟩
  apply (elim disjE)
  using **(4) *** apply blast
  using **(4) *** by (subst Sync-commute) blast
next
fix s
assume ⟨s ∈ D (?rhs P Q)⟩
then obtain t u r v
  where * : ⟨ftF v⟩ ⟨tF r ∨ v = []⟩ ⟨s = r @ v⟩
    ⟨r setinterleaves ((t, u), range tick ∪ ev ‘f ‘ S)⟩
    ⟨t ∈ D (Renaming P f g) ∧ u ∈ T (Renaming Q f g) ∨
     t ∈ D (Renaming Q f g) ∧ u ∈ T (Renaming P f g)⟩
  by (simp add: D-Sync) blast

{ fix t u P Q
  assume assms : ⟨r setinterleaves ((t, u), range tick ∪ ev ‘f ‘ S)⟩
    ⟨t ∈ D (Renaming P f g)⟩ ⟨u ∈ T (Renaming Q f g)⟩
  have ⟨inv (map-eventptick f g) ‘(range tick ∪ ev ‘f ‘ S) =
    inv (map-eventptick f g) ‘map-eventptick f g ‘(range tick ∪ ev ‘S)⟩
    using sets-S-eq by presburger
  from bij-map-setinterleaving-iff-setinterleaving
    [THEN iffD2, OF - assms(1), of ⟨inv (map-eventptick f g)⟩,
     simplified this image-inv-f-f[OF inj-map-eventptick]]
  have ** : ⟨(map (inv (map-eventptick f g)) r) setinterleaves
    ((map (inv (map-eventptick f g)) t, map (inv (map-eventptick f g)))
     u, range tick ∪ ev ‘S)⟩
    using bij-betw-inv-into bij-map-eventptick by blast
  from assms(2) obtain s1 s2
    where ⟨t = map (map-eventptick f g) s1 @ s2⟩ ⟨tF s1⟩ ⟨ftF s2⟩ ⟨s1 ∈ D P⟩
      by (auto simp add: D-Renaming)
    hence ⟨map (map-eventptick (inv f) (inv g)) t ∈ D (Renaming (Renaming P
     f g) (inv f) (inv g)))⟩
      apply (simp add: D-Renaming)
      apply (rule-tac x = ⟨map (map-eventptick f g) s1⟩ in exI)
      apply (rule-tac x = ⟨map (map-eventptick (inv f) (inv g)) s2⟩ in exI)
      by simp (metis append-Nil2 front-tickFree-Nil map-eventptick-front-tickFree
       map-eventptick-tickFree)
    hence *** : ⟨map (inv (map-eventptick f g)) t ∈ D P⟩
      by (metis Renaming-inv bij-def bij-f bij-g inv-map-eventptick-is-map-eventptick-inv)
      have ⟨map (map-eventptick (inv f) (inv g)) u ∈ T (Renaming (Renaming Q
     f g) (inv f) (inv g)))⟩
        using assms(3) by (subst T-Renaming, simp) blast
    hence **** : ⟨map (inv (map-eventptick f g)) u ∈ T Q⟩
      by (simp add: Renaming-inv bij-f bij-g bij-is-inj inv-map-eventptick-is-map-eventptick-inv)
      have ***** : ⟨map (map-eventptick f g ∘ inv (map-eventptick f g)) r = r⟩
        by (metis (no-types, lifting) bij-betw-imp-inj-on bij-betw-inv-into bij-map-eventptick
         inj-iff list.map-comp list.map-id)
      have ⟨s ∈ D (?lhs P Q)⟩

```

```

proof (cases ‹tF r›)
  assume ‹tF r›
    have $ : ‹r @ v = map (map-eventptick f g) (map (inv (map-eventptick f
g)) r) @ v›
      by (simp add: *****)
    show ‹s ∈ D (?lhs P Q)›
      apply (simp add: D-Renaming D-Sync *(3))
      by (metis $ *(1) *** **** map-eventptick-tickFree ‹tF r›
            append.right-neutral append-same-eq front-tickFree-Nil)
  next
    assume ‹¬ tF r›
    then obtain r' res where $ : ‹r = r' @ [✓(res)]› ‹tF r'›
      by (metis D-imp-front-tickFree assms butlast-snoc front-tickFree-charn
           front-tickFree-single ftf-Sync is-processT2-TR list.distinct(1)
           nonTickFree-n-frontTickFree self-append-conv2)
    then obtain t' u'
      where $$ : ‹t = t' @ [✓(res)]› ‹u = u' @ [✓(res)]›
      by (metis D-imp-front-tickFree SyncWithTick-imp-NTF T-imp-front-tickFree
           assms)
      hence $$$ : ‹(map (inv (map-eventptick f g)) r') setinterleaves
           ((map (inv (map-eventptick f g)) t', map (inv (map-eventptick f
g)) u'), range tick ∪ ev ` S)›
      by (metis (no-types) $(1) append-same-eq assms(1) bij-betw-imp-surj-on
           bij-map-setinterleaving-iff-setinterleaving bij-map-eventptick
           ftf-Sync32 inj-imp-bij-betw-inv inj-map-eventptick sets-S-eq)
    from *** $$*(1) have *** : ‹map (inv (map-eventptick f g)) t' ∈ D P›
      by simp (use inv-map-eventptick-is-map-eventptick-inv is-processT9 in
      force)
    from *** $$*(2) have *** : ‹map (inv (map-eventptick f g)) u' ∈ T Q›
      using is-processT3-TR prefixI by simp blast
    have $$$ : ‹r = map (map-eventptick f g) (map (inv (map-eventptick f g))
r') @ [✓(res)]›
      using $ ***** by auto
    show ‹s ∈ D (?lhs P Q)›
      by (simp add: D-Renaming D-Sync *(3) $$$)
      (metis $(1) $(2) $$$ $$$ *$*(2) *** **** map-eventptick-tickFree ‹¬ tF
r›
            append.right-neutral append-same-eq front-tickFree-Nil front-tickFree-single)
    qed
  } note ** = this
  show ‹s ∈ D (?lhs P Q)› by (metis *(4, 5) ** Sync-commute)
  next
    fix s X
    assume same-div : ‹D (?lhs P Q) = D (?rhs P Q)›
    assume ‹(s, X) ∈ F (?lhs P Q)›
    then consider ‹s ∈ D (?lhs P Q)›
      | s1 where ‹(s1, map-eventptick f g -` X) ∈ F (P [S] Q)› ‹s = map
        (map-eventptick f g) s1›

```

```

by (simp add: F-Renaming D-Renaming) blast
thus ⟨(s, X) ∈ ℱ (?rhs P Q)⟩
proof cases
  from same-div D-F show ⟨s ∈ ℰ (?lhs P Q) ⟹ (s, X) ∈ ℱ (?rhs P Q)⟩ by
blast
next
  fix s1 assume * : ⟨(s1, map-eventptick f g -` X) ∈ ℱ (P [S] Q)⟩
  ⟨s = map (map-eventptick f g) s1⟩
  from *(1) consider ⟨s1 ∈ ℰ (P [S] Q)⟩
  | t-P t-Q X-P X-Q
    where ⟨(t-P, X-P) ∈ ℱ P⟩ ⟨(t-Q, X-Q) ∈ ℱ Q⟩
      ⟨s1 setinterleaves ((t-P, t-Q), range tick ∪ ev ` S)⟩
      ⟨map-eventptick f g -` X = (X-P ∪ X-Q) ∩ (range tick ∪ ev ` S) ∪
      X-P ∩ X-Q⟩
      by (auto simp add: F-Sync D-Sync)
    thus ⟨(s, X) ∈ ℱ (?rhs P Q)⟩
  proof cases
    assume ⟨s1 ∈ ℰ (P [S] Q)⟩
    hence ⟨s ∈ ℰ (?lhs P Q)⟩
      apply (cases ⟨tF s1⟩; simp add: D-Renaming *(2))
      using front-tickFree-Nil apply blast
      by (metis (no-types, lifting) map-eventptick-front-tickFree butlast-snoc
      front-tickFree-iff-tickFree-butlast
      front-tickFree-single map-butlast nonTickFree-n-frontTickFree
      process-charn)
    with same-div D-F show ⟨(s, X) ∈ ℱ (?rhs P Q)⟩ by blast
  next
    fix t-P t-Q X-P X-Q
    assume ** : ⟨(t-P, X-P) ∈ ℱ P⟩ ⟨(t-Q, X-Q) ∈ ℱ Q⟩
    ⟨s1 setinterleaves ((t-P, t-Q), range tick ∪ ev ` S)⟩
    ⟨map-eventptick f g -` X = (X-P ∪ X-Q) ∩ (range tick ∪ ev ` S) ∪
    X-P ∩ X-Q⟩
    have ⟨(map (map-eventptick f g) t-P, (map-eventptick f g) ` X-P) ∈ ℱ
    (Renaming P f g)⟩
      by (simp add: F-Renaming)
      (metis **(1) bij-betw-def bij-map-eventptick inj-vimage-image-eq)
    moreover have ⟨(map (map-eventptick f g) t-Q, (map-eventptick f g) ` X-Q) ∈ ℱ
    (Renaming Q f g)⟩
      by (simp add: F-Renaming)
      (metis **(2) bij-betw-imp-inj-on bij-map-eventptick inj-vimage-image-eq)
    moreover have ⟨s setinterleaves ((map (map-eventptick f g) t-P, map
    (map-eventptick f g) t-Q),
    range tick ∪ ev ` f ` S)⟩
      by (metis *(2) **(3) bij-map-eventptick sets-S-eq
      bij-map-setinterleaving-iff-setinterleaving)
    moreover have ⟨X = ((map (map-eventptick f g) ` X-P ∪ (map (map-eventptick f g) ` X-Q) ∩ (range tick ∪ ev ` f ` S) ∪
    (map (map-eventptick f g) ` X-P ∩ (map (map-eventptick f g) ` X-Q) ∩ (range tick ∪ ev ` f ` S) ∪
    apply (rule inj-image-eq-iff[THEN iffD1, OF inj-inv-map-eventptick])
```

```

apply (subst bij-vimage-eq-inv-image[OF bij-map-eventptick, symmetric])
apply (subst **(4), fold image-Un sets-S-eq)
apply (subst (1 2) image-Int[OF inj-map-eventptick, symmetric])
apply (fold image-Un)
apply (subst image-inv-f-f[OF inj-map-eventptick])
by simp
ultimately show ⟨(s, X) ∈ F (?rhs P Q)⟩
  by (simp add: F-Sync) blast
qed
qed
next
fix s X
assume same-div : ⟨D (?lhs P Q) = D (?rhs P Q)⟩
assume ⟨(s, X) ∈ F (?rhs P Q)⟩
then consider ⟨s ∈ D (?rhs P Q)⟩
| t-P t-Q X-P X-Q where
  ⟨(t-P, X-P) ∈ F (Renaming P f g)⟩ ⟨(t-Q, X-Q) ∈ F (Renaming Q f g)⟩
  ⟨s setinterleaves ((t-P, t-Q), range tick ∪ ev ‘f ‘ S)⟩
  ⟨X = (X-P ∪ X-Q) ∩ (range tick ∪ ev ‘f ‘ S) ∪ X-P ∩ X-Q⟩
  by (simp add: F-Sync D-Sync) blast
thus ⟨(s, X) ∈ F (?lhs P Q)⟩
proof cases
  from same-div D-F show ⟨s ∈ D (?rhs P Q) ⟹ (s, X) ∈ F (?lhs P Q)⟩ by
blast
next
fix t-P t-Q X-P X-Q
assume * : ⟨(t-P, X-P) ∈ F (Renaming P f g)⟩ ⟨(t-Q, X-Q) ∈ F (Renaming
Q f g)⟩
⟨s setinterleaves ((t-P, t-Q), range tick ∪ ev ‘f ‘ S)⟩
⟨X = (X-P ∪ X-Q) ∩ (range tick ∪ ev ‘f ‘ S) ∪ X-P ∩ X-Q⟩
from *(1, 2) consider ⟨t-P ∈ D (Renaming P f g) ∨ t-Q ∈ D (Renaming Q
f g)⟩
| t-P1 t-Q1 where ⟨(t-P1, map-eventptick f g – ‘X-P) ∈ F P⟩ ⟨t-P = map
(map-eventptick f g) t-P1⟩
  ⟨(t-Q1, map-eventptick f g – ‘X-Q) ∈ F Q⟩ ⟨t-Q = map
(map-eventptick f g) t-Q1⟩
  by (simp add: F-Renaming D-Renaming) blast
thus ⟨(s, X) ∈ F (?lhs P Q)⟩
proof cases
  assume ⟨t-P ∈ D (Renaming P f g) ∨ t-Q ∈ D (Renaming Q f g)⟩
  hence ⟨s ∈ D (?rhs P Q)⟩
    apply (simp add: D-Sync)
    using *(1, 2, 3) F-T setinterleaving-sym front-tickFree-Nil by blast
    with same-div D-F show ⟨(s, X) ∈ F (?lhs P Q)⟩ by blast
next
fix t-P1 t-Q1
assume ** : ⟨(t-P1, map-eventptick f g – ‘X-P) ∈ F P⟩ ⟨t-P = map
(map-eventptick f g) t-P1⟩
  ⟨(t-Q1, map-eventptick f g – ‘X-Q) ∈ F Q⟩ ⟨t-Q = map

```

```

(map-eventptick f g) t-Q1>
  from **(2, 4) have *** : <t-P1 = map (inv (map-eventptick f g)) t-P>
    <t-Q1 = map (inv (map-eventptick f g)) t-Q>
    by (simp-all add: inj-map-eventptick)
    have **** : <map (map-eventptick f g) (map (inv (map-eventptick f g)) s)
    = s>
    by (metis bij-betw-imp-surj bij-map-eventptick list.map-comp list.map-id
    surj-iff)
    from bij-map-setinterleaving-iff-setinterleaving
      [of <inv (map-eventptick f g)> s t-P <range tick ∪ ev ‘f‘ S> t-Q, simplified
    *(3)]
    have <map (inv (map-eventptick f g)) s setinterleaves ((t-P1, t-Q1), range
    tick ∪ ev ‘S)>
      by (metis *** bij-betw-def bij-map-eventptick inj-imp-bij-betw-inv sets-S-eq)
      moreover have <map-eventptick f g – ‘X = (map-eventptick f g – ‘X-P ∪
    map-eventptick f g – ‘X-Q) ∩ (range tick ∪ ev ‘S) ∪
      map-eventptick f g – ‘X-P ∩ map-eventptick f g – ‘X-Q>
      by (metis (no-types, lifting) *(4) inj-map-eventptick inj-vimage-ivimage-eq
    sets-S-eq vimage-Int vimage-Un)
      ultimately show <(s, X) ∈ F (?lhs P Q)>
        by (simp add: F-Renaming F-Sync)
        (metis **(1, 3) ****)
      qed
      qed
      qed
      qed

```

### 9.3 ( $\setminus$ ) and $Mprefix$

We already have a way to distribute the ( $\setminus$ ) operator on the  $Mprefix$  operator with  $S \cap A = \{\} \implies Mprefix S ?P \setminus A = \Box a \in S \rightarrow (?P a \setminus A)$ . But this is only usable when  $A \cap S = \{\}$ . With the ( $\triangleright$ ) operator, we can now handle the case  $A \cap S \neq \{\}$ .

#### 9.3.1 ( $\setminus$ ) and $Mprefix$ for disjoint Sets

This is a result similar to  $?A \cap ?S = \{\} \implies Mprefix ?A ?P \setminus ?S = \Box a \in ?A \rightarrow (?P a \setminus ?S)$  when we add a ( $\triangleright$ ) in the expression.

**theorem** *Hiding-Mprefix-Sliding-disjoint*:  
 $\langle((\Box a \in A \rightarrow P a) \triangleright Q) \setminus S = (\Box a \in A \rightarrow (P a \setminus S)) \triangleright (Q \setminus S)\rangle$   
**if disjoint:**  $\langle A \cap S = \{\}\rangle$   
**proof** (subst *Hiding-Mprefix-disjoint*[OF disjoint, symmetric])  
 show  $\langle((\Box a \in A \rightarrow P a) \triangleright Q) \setminus S = (\Box a \in A \rightarrow P a \setminus S) \triangleright (Q \setminus S)\rangle$   
 (**is**  $\langle ?lhs = ?rhs \rangle$ )  
**proof** (subst *Process-eq-spec-optimized*, safe)  
 fix s  
 assume  $\langle s \in \mathcal{D} ?lhs \rangle$

```

hence ⟨s ∈ D (Mprefix A P ⊓ Q \ S)⟩
  by (simp add: D-Hiding D-Sliding D-Ndet T-Sliding T-Ndet)
thus ⟨s ∈ D ?rhs⟩
  by (rule set-rev-mp) (simp add: D-Ndet D-Sliding Hiding-distrib-Ndet)
next
fix s
assume ⟨s ∈ D ?rhs⟩
hence ⟨s ∈ D (Q \ S) ∨ s ∈ D (□a ∈ A → P a \ S)⟩
  by (simp add: Hiding-Mprefix-disjoint[OF disjoint]
    D-Ndet D-Sliding) blast
thus ⟨s ∈ D ?lhs⟩
  by (auto simp add: D-Hiding D-Sliding T-Sliding)
next
fix s X
assume same-div : ⟨D ?lhs = D ?rhs⟩
assume ⟨(s, X) ∈ F ?lhs⟩
then consider ⟨s ∈ D ?lhs⟩
| ⟨∃t. s = trace-hide t (ev ` S) ∧ (t, X ∪ ev ` S) ∈ F (Mprefix A P ▷ Q)⟩
  by (simp add: F-Hiding D-Hiding) blast
thus ⟨(s, X) ∈ F ?rhs⟩
proof cases
from same-div D-F show ⟨s ∈ D ?lhs ⟹ (s, X) ∈ F ?rhs⟩ by blast
next
assume ⟨∃t. s = trace-hide t (ev ` S) ∧
  (t, X ∪ ev ` S) ∈ F (Mprefix A P ▷ Q)⟩
then obtain t
  where * : ⟨s = trace-hide t (ev ` S) ∧
    ⟨(t, X ∪ ev ` S) ∈ F (Mprefix A P ▷ Q)⟩ by blast
from *(2) consider ⟨(t, X ∪ ev ` S) ∈ F Q⟩
| ⟨t ≠ []⟩ ⟨(t, X ∪ ev ` S) ∈ F (Mprefix A P)⟩
  by (simp add: F-Sliding D-Mprefix) blast
thus ⟨(s, X) ∈ F ?rhs⟩
proof cases
have ⟨(t, X ∪ ev ` S) ∈ F Q ⟹ (s, X) ∈ F (Q \ S)⟩
  by (auto simp add: F-Hiding *(1))
thus ⟨(t, X ∪ ev ` S) ∈ F Q ⟹ (s, X) ∈ F ?rhs⟩
  by (simp add: F-Ndet F-Sliding *(1))
next
assume assms : ⟨t ≠ []⟩ ⟨(t, X ∪ ev ` S) ∈ F (Mprefix A P)⟩
with disjoint have ⟨trace-hide t (ev ` S) ≠ []⟩
  by (cases t, auto simp add: F-Mprefix)
also have ⟨(s, X) ∈ F (Mprefix A P \ S)⟩
  using assms by (auto simp: F-Hiding *(1))
ultimately show ⟨(s, X) ∈ F ?rhs⟩
  by (simp add: F-Sliding *(1))
qed
qed
next
have * : ⟨t ∈ T (Mprefix A P) ⟹ trace-hide t (ev ` S) = [] ⟷ t = []⟩ for t

```

```

using disjoint by (cases t, auto simp add: T-Mprefix)
have ** :  $\langle \rangle \notin \mathcal{D} (\text{Mprefix } A P \setminus S)$ 
  apply (rule ccontr, simp add: D-Hiding, elim exE conjE disjE)
  by (use * D-T Nil-notin-D-Mprefix in blast)
  (metis (no-types, lifting) * UNIV-If-inv-into-fold.nat.distinct(2) strict-mono-on-eqD)
fix s X
assume same-div :  $\langle \mathcal{D} ?lhs = \mathcal{D} ?rhs \rangle$ 
assume  $\langle (s, X) \in \mathcal{F} ?rhs \rangle$ 
with ** consider  $\langle (s, X) \in \mathcal{F} (Q \setminus S) \rangle$ 
|  $\langle s \neq \rangle \langle (s, X) \in \mathcal{F} (\text{Mprefix } A P \setminus S) \rangle$ 
  by (simp add: Hiding-Mprefix-disjoint[OF disjoint] F-Sliding D-Mprefix) blast
thus  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$ 
proof cases
  assume  $\langle (s, X) \in \mathcal{F} (Q \setminus S) \rangle$ 
  then consider  $\langle s \in \mathcal{D} (Q \setminus S) \rangle$ 
    |  $\langle \exists t. s = \text{trace-hide } t (ev ` S) \wedge (t, X \cup ev ` S) \in \mathcal{F} Q \rangle$ 
      by (simp add: F-Hiding D-Hiding) blast
  thus  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$ 
  proof cases
    assume  $\langle s \in \mathcal{D} (Q \setminus S) \rangle$ 
    hence  $\langle s \in \mathcal{D} ?rhs \rangle$  by (simp add: D-Ndet D-Sliding)
    with same-div D-F show  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$  by blast
  next
    assume  $\langle \exists t. s = \text{trace-hide } t (ev ` S) \wedge (t, X \cup ev ` S) \in \mathcal{F} Q \rangle$ 
    thus  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$ 
      by (simp add: F-Hiding F-Sliding) blast
  qed
next
  assume assms :  $\langle s \neq \rangle \langle (s, X) \in \mathcal{F} (\text{Mprefix } A P \setminus S) \rangle$ 
  then consider  $\langle s \in \mathcal{D} (\text{Mprefix } A P \setminus S) \rangle$ 
    |  $\langle \exists t. t \neq \wedge s = \text{trace-hide } t (ev ` S) \wedge (t, X \cup ev ` S) \in \mathcal{F} (\text{Mprefix } A P) \rangle$ 
      by (simp add: F-Hiding D-Hiding) (metis filter.simps(1))
  thus  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$ 
  proof cases
    assume  $\langle s \in \mathcal{D} (\text{Mprefix } A P \setminus S) \rangle$ 
    hence  $\langle s \in \mathcal{D} ?rhs \rangle$  by (simp add: D-Ndet D-Sliding)
    with same-div D-F show  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$  by blast
  next
    show  $\langle \exists t. t \neq \wedge s = \text{trace-hide } t (ev ` S) \wedge (t, X \cup ev ` S) \in \mathcal{F} (\text{Mprefix } A P) \implies (s, X) \in \mathcal{F} ?lhs \rangle$ 
      by (auto simp add: F-Sliding F-Hiding)
  qed
qed
qed
qed
qed

```

### 9.3.2 ( $\setminus$ ) and Mprefix for non-disjoint Sets

Finally the new version, when  $A \cap S \neq \{\}$ .

```

lemma  $\langle \exists A :: \text{nat set}. \exists P S.$ 
 $A \cap S = \{\} \wedge \Box a \in A \rightarrow P a \setminus S \neq$ 
 $(\Box a \in (A - S) \rightarrow (P a \setminus S)) \triangleright (\Box a \in (A \cap S). (P a \setminus S))$ 
proof (intro exI)
show  $\langle \{0\} \cap \{\text{Suc } 0\} = \{\} \wedge$ 
 $\Box a \in \{0\} \rightarrow \text{SKIP undefined} \setminus \{\text{Suc } 0\} \neq$ 
 $(\Box a \in (\{0\} - \{\text{Suc } 0\}) \rightarrow (\text{SKIP undefined} \setminus \{\text{Suc } 0\})) \triangleright (\Box a \in (\{0\} \cap$ 
 $\{\text{Suc } 0\}). (\text{SKIP undefined} \setminus \{\text{Suc } 0\}))$ 
apply (simp add: write0-def[symmetric] Hiding-write0-disjoint)
using UNIV-I list.discI by (auto simp add: Process-eq-spec write0-def F-Ndet
F-Mprefix F-Sliding F-STOP set-eq-iff)
qed
```

This is a result similar to  $?A \cap ?S \neq \{\} \implies \text{Mprefix } ?A ?P \setminus ?S = (\Box a \in (?A - ?S) \rightarrow (?P a \setminus ?S)) \triangleright (\Box a \in (?A \cap ?S). (?P a \setminus ?S))$  when we add a ( $\triangleright$ ) in the expression.

```

lemma Hiding-Mprefix-Sliding-non-disjoint:
 $\langle (\Box a \in A \rightarrow P a) \triangleright Q \setminus S = (\Box a \in (A - S) \rightarrow (P a \setminus S)) \triangleright$ 
 $(Q \setminus S) \sqcap (\Box a \in (A \cap S). (P a \setminus S)) \rangle$ 
if non-disjoint:  $\langle A \cap S \neq \{\} \rangle$ 
proof (subst Sliding-distrib-Ndet-left,
subst Hiding-Mprefix-non-disjoint[OF non-disjoint, symmetric])
show  $\langle \text{Mprefix } A P \triangleright Q \setminus S =$ 
 $((\Box a \in (A - S) \rightarrow (P a \setminus S)) \triangleright (Q \setminus S)) \sqcap (\Box a \in A \rightarrow P a \setminus S) \rangle$ 
(is  $\langle ?lhs = ?rhs \rangle$ )
proof (subst Process-eq-spec-optimized, safe)
fix s
assume  $\langle s \in \mathcal{D} ?lhs \rangle$ 
hence  $\langle s \in \mathcal{D} (\text{Mprefix } A P \sqcap Q \setminus S) \rangle$ 
by (simp add: D-Hiding D-Sliding D-Ndet T-Sliding T-Ndet)
thus  $\langle s \in \mathcal{D} ?rhs \rangle$ 
by (rule set-rev-mp)
(simp add: D-Ndet D-Sliding Hiding-distrib-Ndet subsetI)
next
fix s
assume  $\langle s \in \mathcal{D} ?rhs \rangle$ 
hence  $\langle s \in \mathcal{D} (Q \setminus S) \vee s \in \mathcal{D} (\Box a \in A \rightarrow P a \setminus S) \rangle$ 
by (simp add: Hiding-Mprefix-non-disjoint[OF non-disjoint]
D-Ndet D-Sliding) blast
thus  $\langle s \in \mathcal{D} ?lhs \rangle$ 
by (auto simp add: D-Hiding D-Sliding T-Sliding)
next
fix s X
assume same-div :  $\langle \mathcal{D} ?lhs = \mathcal{D} ?rhs \rangle$ 
assume  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$ 
then consider  $\langle s \in \mathcal{D} ?lhs \rangle$ 
```

```

| $\exists t. s = \text{trace-hide } t (\text{ev} ` S) \wedge (t, X \cup \text{ev} ` S) \in \mathcal{F} (\text{Mprefix } A P \triangleright Q)$ 
  by (simp add: F-Hiding D-Hiding) blast
thus  $\langle(s, X) \in \mathcal{F} ?rhs$ 
proof cases
  from same-div D-F show  $\langle s \in \mathcal{D} ?lhs \implies (s, X) \in \mathcal{F} ?rhs$  by blast
next
  assume  $\exists t. s = \text{trace-hide } t (\text{ev} ` S) \wedge$ 
          $(t, X \cup \text{ev} ` S) \in \mathcal{F} (\text{Mprefix } A P \triangleright Q)$ 
  then obtain t
    where * :  $\langle s = \text{trace-hide } t (\text{ev} ` S)$ 
           $\langle(t, X \cup \text{ev} ` S) \in \mathcal{F} (\text{Mprefix } A P \triangleright Q)$  by blast
  from *(2) consider  $\langle(t, X \cup \text{ev} ` S) \in \mathcal{F} Q$ 
    |  $\langle(t, X \cup \text{ev} ` S) \in \mathcal{F} (\text{Mprefix } A P)$ 
      by (simp add: F-Sliding D-Mprefix) blast
    thus  $\langle(s, X) \in \mathcal{F} ?rhs$ 
  proof cases
    have  $\langle(t, X \cup \text{ev} ` S) \in \mathcal{F} Q \implies (s, X) \in \mathcal{F} (Q \setminus S)$ 
      by (auto simp add: F-Hiding *(1))
    thus  $\langle(t, X \cup \text{ev} ` S) \in \mathcal{F} Q \implies (s, X) \in \mathcal{F} ?rhs$ 
      by (simp add: F-Ndet F-Sliding *(1))
  next
    assume  $\langle(t, X \cup \text{ev} ` S) \in \mathcal{F} (\text{Mprefix } A P)$ 
    hence  $\langle(s, X) \in \mathcal{F} (\text{Mprefix } A P \setminus S)$  by (auto simp: F-Hiding *(1))
    thus  $\langle(s, X) \in \mathcal{F} ?rhs$  by (simp add: F-Ndet *(1))
  qed
qed
next
fix s X
have * :  $\langle s \neq [] \implies (s, X) \in \mathcal{F} (\Box a \in (A - S) \rightarrow (P a \setminus S)) \implies$ 
           $(s, X) \in \mathcal{F} (\Box a \in A \rightarrow P a \setminus S)$ 
  by (simp add: Hiding-Mprefix-non-disjoint[OF non-disjoint] F-Sliding)
assume same-div :  $\langle \mathcal{D} ?lhs = \mathcal{D} ?rhs$ 
assume  $\langle(s, X) \in \mathcal{F} ?rhs$ 
with * consider  $\langle(s, X) \in \mathcal{F} (Q \setminus S)$  |  $\langle(s, X) \in \mathcal{F} (\text{Mprefix } A P \setminus S)$ 
  by (auto simp add: F-Ndet F-Sliding)
thus  $\langle(s, X) \in \mathcal{F} ?lhs$ 
proof cases
  assume  $\langle(s, X) \in \mathcal{F} (Q \setminus S)$ 
  then consider  $\langle s \in \mathcal{D} (Q \setminus S)$ 
    |  $\langle \exists t. s = \text{trace-hide } t (\text{ev} ` S) \wedge (t, X \cup \text{ev} ` S) \in \mathcal{F} Q$ 
      by (simp add: F-Hiding D-Hiding) blast
    thus  $\langle(s, X) \in \mathcal{F} ?lhs$ 
  proof cases
    assume  $\langle s \in \mathcal{D} (Q \setminus S)$ 
    hence  $\langle s \in \mathcal{D} ?rhs$  by (simp add: D-Ndet D-Sliding)
    with same-div D-F show  $\langle(s, X) \in \mathcal{F} ?lhs$  by blast
  next
    assume  $\langle \exists t. s = \text{trace-hide } t (\text{ev} ` S) \wedge (t, X \cup \text{ev} ` S) \in \mathcal{F} Q$ 
    thus  $\langle(s, X) \in \mathcal{F} ?lhs$ 

```

```

    by (simp add: F-Hiding F-Sliding) blast
qed
next
assume ⟨(s, X) ∈ F (Mprefix A P \ S)⟩
then consider ⟨s ∈ D (Mprefix A P \ S)⟩
| ∃ t. s = trace-hide t (ev ` S) ∧ (t, X ∪ ev ` S) ∈ F (Mprefix A P)
by (simp add: F-Hiding D-Hiding) blast
thus ⟨(s, X) ∈ F ?lhs⟩
proof cases
assume ⟨s ∈ D (Mprefix A P \ S)⟩
hence ⟨s ∈ D ?rhs⟩ by (simp add: D-Ndet D-Sliding)
with same-div D-F show ⟨(s, X) ∈ F ?lhs⟩ by blast
next
assume ∃ t. s = trace-hide t (ev ` S) ∧ (t, X ∪ ev ` S) ∈ F (Mprefix A P)
then obtain t where * : ⟨s = trace-hide t (ev ` S)⟩
⟨(t, X ∪ ev ` S) ∈ F (Mprefix A P)⟩ by blast
from *(2) non-disjoint have ⟨t ≠ []⟩ by (simp add: F-Mprefix) blast
with * show ⟨(s, X) ∈ F ?lhs⟩
by (simp add: F-Hiding F-Sliding) blast
qed
qed
qed
qed

```

## 9.4 ( $\triangleright$ ) behaviour

We already proved several laws for the ( $\triangleright$ ) operator. Here we give other results in the same spirit as *Hiding-Mprefix-Sliding-disjoint* and *Hiding-Mprefix-Sliding-non-disjoint*.

**lemma** *Mprefix-Sliding-Mprefix-Sliding*:

$$\langle (\Box a \in A \rightarrow P a) \triangleright (\Box b \in B \rightarrow Q b) \triangleright R =$$

$$(\Box x \in (A \cup B) \rightarrow (\text{if } x \in A \cap B \text{ then } P x \sqcap Q x \text{ else if } x \in A \text{ then } P x \text{ else } Q x)) \triangleright R$$

$$(\text{is } \langle (\Box a \in A \rightarrow P a) \triangleright (\Box b \in B \rightarrow Q b) \triangleright R = ?term \triangleright R \rangle)$$

**proof** (subst Sliding-def, subst Mprefix-Det-Mprefix)

have ⟨Mprefix B Q ∩ (Mprefix A P ∘ Mprefix B Q) ∙ R = Mprefix A P ∘ Mprefix B Q ∙ R⟩

by (metis (no-types, opaque-lifting) Det-assoc Det-commute Ndet-commute Ndet-distrib-Det-left Ndet-id Sliding-Det Sliding-assoc Sliding-def)

thus ⟨?term ∩ Mprefix B Q ∙ R = ?term ∙ R⟩

by (simp add: Mprefix-Det-Mprefix Ndet-commute)

qed

**lemma** *Mprefix-Sliding-Seq*:

$$\langle (\Box a \in A \rightarrow P a) \triangleright P' ; Q = (\Box a \in A \rightarrow (P a ; Q)) \triangleright (P' ; Q) \rangle$$

**proof** (subst Mprefix-Seq[symmetric])

show ⟨((\Box a \in A \rightarrow P a) \triangleright P') ; Q =

$$((\Box a \in A \rightarrow P a) ; Q) \triangleright (P' ; Q) \rangle (\text{is } \langle ?lhs = ?rhs \rangle)$$

```

proof (subst Process-eq-spec, safe)
  show ⟨s ∈ D ?lhs ⟹ s ∈ D ?rhs⟩ for s
    by (simp add: D-Sliding D-Seq T-Sliding) blast
next
  show ⟨s ∈ D ?rhs ⟹ s ∈ D ?lhs⟩ for s
    by (auto simp add: D-Sliding D-Seq T-Sliding)
next
  show ⟨(s, X) ∈ F ?lhs ⟹ (s, X) ∈ F ?rhs⟩ for s X
    by (cases s; simp add: F-Sliding D-Sliding T-Sliding F-Seq) metis
next
  show ⟨(s, X) ∈ F ?rhs ⟹ (s, X) ∈ F ?lhs⟩ for s X
    by (cases s; simp add: F-Sliding D-Sliding T-Sliding
      F-Seq D-Seq T-Seq D-Mprefix T-Mprefix)
    (metis event_ptick.simps(4) hd-append list.sel(1), blast)
qed
qed

```

```

lemma Throw-Sliding :
  ⟨(□a ∈ A → P a) ▷ P' Θ b ∈ B. Q b =
  (□a ∈ A → (if a ∈ B then Q a else P a Θ b ∈ B. Q b)) ▷ (P' Θ b ∈ B. Q b)⟩
  (is ⟨?lhs = ?rhs⟩)

proof (subst Process-eq-spec-optimized, safe)
  fix s
  assume ⟨s ∈ D ?lhs⟩
  then consider t1 t2 where ⟨s = t1 @ t2⟩ ⟨t1 ∈ D (Mprefix A P ▷ P')⟩
    ⟨tF t1⟩ ⟨set t1 ∩ ev ‘B = {}’ ⟨ftF t2⟩
  | t1 b t2 where ⟨s = t1 @ ev b # t2⟩ ⟨t1 @ [ev b] ∈ T (Mprefix A P ▷ P')⟩
    ⟨set t1 ∩ ev ‘B = {}’ ⟨b ∈ B⟩ ⟨t2 ∈ D (Q b)⟩
  by (simp add: D-Throw) blast
  thus ⟨s ∈ D ?rhs⟩
  proof cases
    fix t1 t2 assume * : ⟨s = t1 @ t2⟩ ⟨t1 ∈ D (Mprefix A P ▷ P')⟩ ⟨tF t1⟩
      ⟨set t1 ∩ ev ‘B = {}’ ⟨ftF t2⟩
    from *(2) consider ⟨t1 ∈ D (Mprefix A P)⟩ | ⟨t1 ∈ D P'⟩
      by (simp add: D-Sliding) blast
    thus ⟨s ∈ D ?rhs⟩
    proof cases
      assume ⟨t1 ∈ D (Mprefix A P)⟩
      then obtain a t1' where ⟨t1 = ev a # t1'⟩ ⟨a ∈ A⟩ ⟨t1' ∈ D (P a)⟩
        by (auto simp add: D-Mprefix image-iff)
      with *(1, 3, 4, 5) show ⟨s ∈ D ?rhs⟩
        by (simp add: D-Sliding D-Mprefix D-Throw) (metis image-eqI)
    next
      from *(1, 3, 4, 5) show ⟨t1 ∈ D P' ⟹ s ∈ D ?rhs⟩
        by (simp add: D-Sliding D-Throw) blast
    qed
  next

```

```

fix t1 b t2 assume * : <s = t1 @ ev b # t2> <t1 @ [ev b] ∈ T (Mprefix A P ▷ P')>
    <set t1 ∩ ev ‘B = {}’ <b ∈ B> <t2 ∈ D (Q b)>
from *(2) consider <t1 @ [ev b] ∈ T (Mprefix A P)> | <t1 @ [ev b] ∈ T P'>
    by (simp add: T-Sliding) blast
thus <s ∈ D ?rhs>
proof cases
assume <t1 @ [ev b] ∈ T (Mprefix A P)>
then obtain a t1'
where <t1 @ [ev b] = ev a # t1'> <a ∈ A> <t1' ∈ T (P a)>
by (auto simp add: T-Mprefix)
with *(1, 3, 4, 5) show <s ∈ D ?rhs>
    by (cases t1; simp add: *(1) D-Sliding D-Mprefix D-Throw)
        (metis image-eqI)
next
from *(1, 3, 4, 5) show <t1 @ [ev b] ∈ T P' ⟹ s ∈ D ?rhs>
    by (simp add: D-Sliding D-Mprefix D-Throw) blast
qed
qed
next
fix s
assume <s ∈ D ?rhs>
then consider <s ∈ D (Throw P' B Q)>
| <s ∈ D (□a∈A → (if a ∈ B then Q a else Throw (P a) B Q))>
    by (simp add: D-Sliding) blast
thus <s ∈ D ?lhs>
proof cases
show <s ∈ D (Throw P' B Q) ⟹ s ∈ D ?lhs>
    by (simp add: D-Throw D-Sliding T-Sliding) blast
next
assume <s ∈ D (□a∈A → (if a ∈ B then Q a else Throw (P a) B Q))>
then obtain a s'
where * : <s = ev a # s'> <a ∈ A>
    <s' ∈ D (if a ∈ B then Q a else Throw (P a) B Q)>
    by (cases s; simp add: D-Mprefix) blast
show <s ∈ D ?lhs>
proof (cases <a ∈ B>)
from * show <a ∈ B ⟹ s ∈ D ?lhs>
    by (simp add: D-Throw T-Sliding T-Mprefix disjoint-iff)
        (metis Nil-elem-T emptyE empty-set self-append-conv2)
next
assume <a ∉ B>
with *(3) consider
t1 t2 where <s' = t1 @ t2> <t1 ∈ D (P a)> <tF t1>
    <set t1 ∩ ev ‘B = {}’ <tF t2>
| t1 b t2 where <s' = t1 @ ev b # t2> <t1 @ [ev b] ∈ T (P a)>
    <set t1 ∩ ev ‘B = {}’ <b ∈ B> <t2 ∈ D (Q b)>
    by (simp add: D-Throw) blast
thus <s ∈ D ?lhs>

```

```

proof cases
  fix t1 t2 assume ** :  $\langle s' = t1 @ t2 \rangle \langle t1 \in \mathcal{D} (P a) \rangle \langle tF t1 \rangle$ 
     $\langle set t1 \cap ev ' B = \{\} \rangle \langle ftF t2 \rangle$ 
  have *** :  $\langle s = (ev a \# t1) @ t2 \wedge set (ev a \# t1) \cap ev ' B = \{\} \rangle$ 
    by (simp add: *(1) **(1, 4) image-iff  $\langle a \notin B \rangle$ )
  from * ***(1, 2, 3, 5) show  $\langle s \in \mathcal{D} ?lhs \rangle$ 
    by (simp add: D-Throw D-Sliding D-Mprefix image-iff)
      (metis *** event_ptick.disc(1) tickFree-Cons-iff)
  next
    fix t1 b t2 assume ** :  $\langle s' = t1 @ ev b \# t2 \rangle \langle t1 @ [ev b] \in \mathcal{T} (P a) \rangle$ 
       $\langle set t1 \cap ev ' B = \{\} \rangle \langle b \in B \rangle \langle t2 \in \mathcal{D} (Q b) \rangle$ 
    have *** :  $\langle s = (ev a \# t1 @ [ev b]) @ t2 \wedge set (ev a \# t1) \cap ev ' B = \{\} \rangle$ 
      by (simp add: *(1) **(1, 3) image-iff  $\langle a \notin B \rangle$ )
    from * ***(1, 2, 4, 5) show  $\langle s \in \mathcal{D} ?lhs \rangle$ 
      by (simp add: D-Throw T-Sliding T-Mprefix) (metis *** Cons-eq-appendI)
  qed
  qed
  qed
next
  fix s X
  assume same-div :  $\langle \mathcal{D} ?lhs = \mathcal{D} ?rhs \rangle$ 
  assume  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$ 
  then consider  $\langle s \in \mathcal{D} ?lhs \rangle$ 
  |  $\langle (s, X) \in \mathcal{F} (\text{Mprefix } A P \triangleright P') \rangle \langle set s \cap ev ' B = \{\} \rangle$ 
  | t1 b t2 where  $\langle s = t1 @ ev b \# t2 \rangle \langle t1 @ [ev b] \in \mathcal{T} (\text{Mprefix } A P \triangleright P') \rangle$ 
     $\langle set t1 \cap ev ' B = \{\} \rangle \langle b \in B \rangle \langle (t2, X) \in \mathcal{F} (Q b) \rangle$ 
    by (auto simp add: F-Throw D-Throw)
  thus  $\langle (s, X) \in \mathcal{F} ?rhs \rangle$ 
  proof cases
    from same-div D-F show  $\langle s \in \mathcal{D} ?lhs \implies (s, X) \in \mathcal{F} ?rhs \rangle$  by blast
  next
    show  $\langle (s, X) \in \mathcal{F} (\text{Mprefix } A P \triangleright P') \implies set s \cap ev ' B = \{\} \implies (s, X) \in \mathcal{F} ?rhs \rangle$ 
    by (cases s; simp add: F-Sliding F-Mprefix F-Throw) blast
  next
    fix t1 b t2 assume * :  $\langle s = t1 @ ev b \# t2 \rangle \langle t1 @ [ev b] \in \mathcal{T} (\text{Mprefix } A P \triangleright P') \rangle$ 
       $\langle set t1 \cap ev ' B = \{\} \rangle \langle b \in B \rangle \langle (t2, X) \in \mathcal{F} (Q b) \rangle$ 
    from *(2) consider  $\langle t1 @ [ev b] \in \mathcal{T} (\text{Mprefix } A P) \rangle \mid \langle t1 @ [ev b] \in \mathcal{T} P' \rangle$ 
      by (simp add: T-Sliding) blast
    thus  $\langle (s, X) \in \mathcal{F} ?rhs \rangle$ 
    proof cases
      assume  $\langle t1 @ [ev b] \in \mathcal{T} (\text{Mprefix } A P) \rangle$ 
      then obtain a t1'
        where  $\langle t1 @ [ev b] = ev a \# t1' \rangle \langle a \in A \rangle \langle t1' \in \mathcal{T} (P a) \rangle$ 
        by (auto simp add: T-Mprefix)
      with *(1, 3, 4, 5) show  $\langle (s, X) \in \mathcal{F} ?rhs \rangle$ 
        by (cases t1; simp add: *(1) F-Sliding F-Mprefix F-Throw) blast
  next

```

```

from *(1, 3, 4, 5) show ⟨t1 @ [ev b] ∈ T P' ⟩ ⟹ (s, X) ∈ F ?rhs
  by (simp add: F-Sliding F-Mprefix F-Throw) blast
qed
qed
next
fix s X
assume same-div : ⟨D ?lhs = D ?rhs⟩
assume ⟨(s, X) ∈ F ?rhs⟩
then consider ⟨s ∈ D ?rhs⟩ | ⟨(s, X) ∈ F (Throw P' B Q)⟩
| ⟨s ≠ []⟩ ⟨(s, X) ∈ F (□a ∈ A → (if a ∈ B then Q a else Throw (P a) B Q))⟩
  by (simp add: F-Sliding D-Sliding) blast
thus ⟨(s, X) ∈ F ?lhs⟩
proof cases
  from same-div D-F show ⟨s ∈ D ?rhs ⟹ (s, X) ∈ F ?lhs⟩ by blast
next
show ⟨(s, X) ∈ F (Throw P' B Q) ⟹ (s, X) ∈ F ?lhs⟩
  by (simp add: F-Throw F-Sliding D-Sliding T-Sliding) blast
next
assume ⟨s ≠ []⟩ ⟨(s, X) ∈ F (□a ∈ A → (if a ∈ B then Q a else Throw (P a) B Q))⟩
then obtain a s'
  where * : ⟨s = ev a # s'⟩ ⟨a ∈ A⟩
    ⟨(s', X) ∈ F (if a ∈ B then Q a else Throw (P a) B Q)⟩
    by (auto simp add: F-Mprefix image-iff)
show ⟨(s, X) ∈ F ?lhs⟩
proof (cases ⟨a ∈ B⟩)
  assume ⟨a ∈ B⟩
  have ⟨[ev a] ∈ T (Mprefix A P ▷ P')⟩
    by (simp add: T-Sliding T-Mprefix *(2))
  with *(1, 3) ⟨a ∈ B⟩ show ⟨(s, X) ∈ F ?lhs⟩
    by (simp add: F-Throw) (metis append-Nil empty-set inf-bot-left)
next
assume ⟨a ∉ B⟩
with *(3) consider ⟨s' ∈ D (Throw (P a) B Q)⟩
| ⟨(s', X) ∈ F (P a)⟩ ⟨set s' ∩ ev ` B = {}⟩
| t1 b t2 where ⟨s' = t1 @ ev b # t2⟩ ⟨t1 @ [ev b] ∈ T (P a)⟩
  ⟨set t1 ∩ ev ` B = {}⟩ ⟨b ∈ B⟩ ⟨(t2, X) ∈ F (Q b)⟩
  by (auto simp add: F-Throw D-Throw)
thus ⟨(s, X) ∈ F ?lhs⟩
proof cases
  assume ⟨s' ∈ D (Throw (P a) B Q)⟩
  hence ⟨s ∈ D ?rhs⟩ by (simp add: *(1, 2) D-Sliding D-Mprefix ⟨a ∉ B⟩)
  with same-div D-F show ⟨(s, X) ∈ F ?lhs⟩ by blast
next
show ⟨(s', X) ∈ F (P a) ⟹ set s' ∩ ev ` B = {} ⟹ (s, X) ∈ F ?lhs⟩
  using *(1, 2) ⟨a ∉ B⟩ by (simp add: F-Throw F-Sliding F-Mprefix) blast
next
fix t1 b t2 assume ** : ⟨s' = t1 @ ev b # t2⟩ ⟨t1 @ [ev b] ∈ T (P a)⟩
  ⟨set t1 ∩ ev ` B = {}⟩ ⟨b ∈ B⟩ ⟨(t2, X) ∈ F (Q b)⟩

```

```

from ** have *** :  $\langle(ev\ a \# t1) @ [ev\ b] \in \mathcal{T} (Mprefix\ A\ P) \wedge$ 
     $\quad set\ (ev\ a \# t1) \cap ev`B = \{\}\rangle$ 
    by (simp add: T-Mprefix *(2) image-iff  $\langle a \notin B \rangle$ )
from *(1) **(1, 4, 5) **(5) show  $\langle(s, X) \in \mathcal{F} ?lhs\rangle$ 
    by (simp add: F-Throw T-Sliding) (metis *** Cons-eq-appendI)
qed
qed
qed
qed

```

## 9.5 Dealing with SKIP

**lemma** Renaming-Mprefix-Det-SKIP:  
 $\langle Renaming ((\square a \in A \rightarrow P a) \square SKIP r) f g =$   
 $\quad (\square y \in f`A \rightarrow \sqcap a \in \{x \in A. y = f x\}. Renaming (P a) f g) \square SKIP (g r)\rangle$

**by** (simp add: Renaming-Det Renaming-Mprefix)

**lemma** Mprefix-Sliding-SKIP-Seq:  $\langle((\square a \in A \rightarrow P a) \triangleright SKIP r) ; Q = (\square a \in A \rightarrow (P a ; Q)) \triangleright Q\rangle$

**by** (simp del: Sliding-SKIP add: Mprefix-Sliding-Seq)

**lemma** Mprefix-Det-SKIP-Seq:  $\langle((\square a \in A \rightarrow P a) \square SKIP r) ; Q = (\square a \in A \rightarrow (P a ; Q)) \triangleright Q\rangle$   
**by** (fold Sliding-SKIP) (fact Mprefix-Sliding-SKIP-Seq)

**lemma** Sliding-Ndet-pseudo-assoc :  $\langle(P \triangleright Q) \sqcap R = P \triangleright Q \sqcap R\rangle$   
**by** (metis Ndet-assoc Ndet-distrib-Det-right Ndet-id Sliding-def)

**lemma** Hiding-Mprefix-Det-SKIP:  
 $\langle(\square a \in A \rightarrow P a) \square SKIP r \setminus S =$   
 $\quad (if\ A \cap S = \{\} \ then\ (\square a \in A \rightarrow (P a \setminus S)) \square SKIP r$   
 $\quad else\ ((\square a \in (A - S) \rightarrow (P a \setminus S)) \square SKIP r) \sqcap (\sqcap a \in (A \cap S). (P a \setminus S)))\rangle$   
**by** (fold Sliding-SKIP)  
 (simp del: Sliding-SKIP add: Hiding-Mprefix-Sliding-disjoint  
 Hiding-Mprefix-Sliding-non-disjoint Sliding-Ndet-pseudo-assoc)

**lemma**  $\langle s \neq [] \implies (s, X) \in \mathcal{F} (P \square Q) \longleftrightarrow (s, X) \in \mathcal{F} (P \sqcap Q)\rangle$   
**by** (simp add: F-Det F-Ndet)

**lemma** Mprefix-Det-SKIP-Sync-SKIP :  
 $\langle((\square a \in A \rightarrow P a) \square SKIP res) \llbracket S \rrbracket SKIP res' =$   
 $\quad (if\ res = res' \ then\ (\square a \in (A - S) \rightarrow (P a \llbracket S \rrbracket SKIP res')) \square SKIP res'\rangle$

```

else ( $\square a \in (A - S) \rightarrow (P a \llbracket S \rrbracket SKIP res') \sqcap STOP$ )
(is  $\langle ?lhs = (if res = res' then ?rhs1 else ?rhs2) \rangle$ )
proof (subst Process-eq-spec-optimized, safe)
fix s
assume  $\langle s \in \mathcal{D} \ ?lhs \rangle$ 
then obtain a t u r v
where * :  $\langle ftF v \rangle \langle tF r \vee v = [] \rangle \langle s = r @ v \rangle$ 
 $\langle r \text{ setinterleaves } ((ev a \# t, u), \text{range tick} \cup ev ` S) \rangle$ 
 $\langle a \in A \rangle \langle t \in \mathcal{D} (P a) \rangle \langle u \in \mathcal{T} (SKIP res') \rangle$ 
by (simp add: D-Sync D-SKIP D-Det D-Mprefix T-SKIP image-iff) blast
from *(3, 4, 5, 7) have ** :  $\langle a \in A - S \rangle \langle s = ev a \# tl r @ v \rangle$ 
 $\langle tl r \text{ setinterleaves } ((t, u), \text{range tick} \cup ev ` S) \rangle$ 
by (auto simp add: image-iff T-SKIP split: if-split-asm)
have  $\langle tl s \in \mathcal{D} (P a \llbracket S \rrbracket SKIP res') \rangle$ 
by (simp add: D-Sync)
(metis *(1, 2, 6, 7) **(2, 3) list.sel(3) tickFree-tl)
with **(1) show  $\langle s \in \mathcal{D} (if res = res' then ?rhs1 else ?rhs2) \rangle$ 
by (simp add: D-Det D-Ndet D-Mprefix **(2))
next
fix s
assume  $\langle s \in \mathcal{D} (if res = res' then ?rhs1 else ?rhs2) \rangle$ 
then obtain a s' where * :  $\langle s = ev a \# s' \rangle \langle a \in A - S \rangle \langle s' \in \mathcal{D} (P a \llbracket S \rrbracket SKIP res') \rangle$ 
by (auto simp add: D-Det D-Ndet D-SKIP D-STOP D-Mprefix image-iff split:
if-split-asm)
then obtain t u r v
where ** :  $\langle ftF v \rangle \langle tF r \vee v = [] \rangle \langle s' = r @ v \rangle$ 
 $\langle r \text{ setinterleaves } ((t, u), \text{range tick} \cup ev ` S) \rangle$ 
 $\langle t \in \mathcal{D} (P a) \rangle \langle u \in \mathcal{T} (SKIP res') \rangle$ 
by (simp add: D-Sync D-SKIP) blast
have  $\langle (ev a \# r) \text{ setinterleaves } ((ev a \# t, u), \text{range tick} \cup ev ` S) \rangle$ 
using **(2) **(4, 6) by (auto simp add: T-SKIP)
with **(2) **(1, 2, 3, 5, 6) show  $\langle s \in \mathcal{D} ?lhs \rangle$ 
by (simp add: D-Sync D-SKIP D-Det D-Mprefix T-SKIP image-iff)
(metis (no-types, opaque-lifting) *(1) Cons-eq-appendI eventptick.disc(1)
tickFree-Cons-iff)
next
fix s Z
assume same-div :  $\langle \mathcal{D} ?lhs = \mathcal{D} (if res = res' then ?rhs1 else ?rhs2) \rangle$ 
assume  $\langle (s, Z) \in \mathcal{F} ?lhs \rangle$ 
then consider  $\langle s \in \mathcal{D} ?lhs \rangle$ 
| t u X Y where  $\langle (t, X) \in \mathcal{F} (\text{Mprefix } A P \square SKIP res) \rangle \langle (u, Y) \in \mathcal{F} (SKIP res') \rangle$ 
 $\langle s \text{ setinterleaves } ((t, u), \text{range tick} \cup ev ` S) \rangle$ 
 $\langle Z = (X \cup Y) \cap (\text{range tick} \cup ev ` S) \cup X \cap Y \rangle$ 
by (simp add: F-Sync D-Sync) blast
thus  $\langle (s, Z) \in \mathcal{F} (if res = res' then ?rhs1 else ?rhs2) \rangle$ 
proof cases
from same-div D-F show  $\langle s \in \mathcal{D} ?lhs \rangle \Longrightarrow \langle (s, Z) \in \mathcal{F} (if res = res' then ?rhs1 else ?rhs2) \rangle$ 

```

```

else ?rhs2) by blast
next
fix t u X Y
assume * : ⟨(t, X) ∈ F (Mprefix A P □ SKIP res)⟩ ⟨(u, Y) ∈ F (SKIP res')⟩
⟨s setinterleaves ((t, u), range tick ∪ ev ‘S’)⟩
⟨Z = (X ∪ Y) ∩ (range tick ∪ ev ‘S’) ∪ X ∩ Y⟩
from *(1) consider ⟨t = [] | t = [✓(res)] | a t' where ⟨t = ev a # t'⟩
by (auto simp add: F-Det F-SKIP F-Mprefix)
thus ⟨(s, Z) ∈ F (if res = res' then ?rhs1 else ?rhs2)⟩
proof cases
assume ⟨t = []⟩
with *(2, 3) have ⟨s = []⟩ by (auto simp add: F-SKIP)
with ⟨t = [] * (3)[simplified ⟨t = []⟩, THEN emptyLeftProperty] *(1, 2, 3, 4)
show ⟨(s, Z) ∈ F (if res = res' then ?rhs1 else ?rhs2)⟩
by (auto simp add: F-Det F-Ndet F-Mprefix F-STOP F-SKIP D-SKIP
T-SKIP)
next
assume ⟨t = [✓(res)]⟩
with *(2, 3) have ⟨res' = res ∧ s = [✓(res)]⟩
by (cases u; auto simp add: F-SKIP split: if-split-asm)
with ⟨t = [✓(res)]⟩ show ⟨(s, Z) ∈ F (if res = res' then ?rhs1 else ?rhs2)⟩
by (simp add: F-Det F-Ndet F-STOP F-SKIP)
next
fix a t' assume ⟨t = ev a # t'⟩
with *(1, 2, 3) empty-setinterleaving obtain s'
where ** : ⟨s' setinterleaves ((t', u), range tick ∪ ev ‘S’)⟩
⟨s = ev a # s'⟩ ⟨(t', X) ∈ F (P a)⟩ ⟨a ∈ A – S⟩
by (auto simp add: F-SKIP F-Det F-Mprefix image-iff split: if-split-asm)
from *(2, 4) **(1, 3) have ⟨(s', Z) ∈ F (P a [S] SKIP res')⟩
by (simp add: F-Sync) blast
with **(4) show ⟨(s, Z) ∈ F (if res = res' then ?rhs1 else ?rhs2)⟩
by (simp add: F-Det F-Ndet ⟨s = ev a # s'⟩ F-SKIP F-Mprefix)
qed
qed
next
fix s Z
assume same-div : ⟨D ?lhs = D (if res = res' then ?rhs1 else ?rhs2)⟩
assume ⟨(s, Z) ∈ F (if res = res' then ?rhs1 else ?rhs2)⟩
then consider ⟨res = res'⟩ ⟨(s, Z) ∈ F ?rhs1⟩
| ⟨res ≠ res'⟩ ⟨(s, Z) ∈ F ?rhs2⟩ by presburger
thus ⟨(s, Z) ∈ F ?lhs⟩
proof cases
assume ⟨res = res'⟩ ⟨(s, Z) ∈ F ?rhs1⟩
then consider ⟨s = [] | s = [✓(res')] | a s' where ⟨s = ev a # s'⟩
by (auto simp add: F-Det F-SKIP F-Mprefix)
thus ⟨(s, Z) ∈ F ?lhs⟩
proof cases
assume ⟨s = []⟩
with ⟨(s, Z) ∈ F ?rhs1⟩ have ⟨tick res' ∉ Z⟩

```

```

    by (auto simp add: F-Det F-Mprefix F-SKIP D-SKIP T-SKIP)
  with ⟨s = []⟩ show ⟨(s, Z) ∈ F ?lhs⟩
    by (simp add: F-Sync F-Det T-SKIP F-SKIP ⟨res = res'⟩)
      (metis Int-Un-eq(3) si-empty1 Un-Int-eq(4) Un-commute insertI1)
  next
    assume ⟨s = [✓(res')]⟩
    hence * : ⟨([✓(res')], Z) ∈ F (Mprefix A P □ SKIP res') ∧
      s setinterleaves (([✓(res')], [✓(res')]), range tick ∪ ev ` S) ∧
      ([✓(res')], Z) ∈ F (SKIP res') ∧ Z = (Z ∪ Z) ∩ (range tick ∪ ev ` S) ∪ Z ∩ Z⟩
      by (simp add: F-Det F-SKIP)
      show ⟨(s, Z) ∈ F ?lhs⟩ by (simp add: F-Sync ⟨res = res'⟩) (meson *)
  next
    fix a s' assume ⟨s = ev a # s'⟩
    with ⟨(s, Z) ∈ F ?rhs1⟩ have * : ⟨a ∈ A⟩ ⟨a ∉ S⟩ ⟨(s', Z) ∈ F (P a [|S|] SKIP res')⟩
      by (simp-all add: F-Det F-SKIP F-Mprefix image-iff)
      from *(3) consider ⟨s' ∈ D (P a [|S|] SKIP res')⟩
        | t u X Y where ⟨(t, X) ∈ F (P a)⟩ ⟨(u, Y) ∈ F (SKIP res')⟩
          ⟨s' setinterleaves ((t, u), range tick ∪ ev ` S)⟩
          ⟨Z = (X ∪ Y) ∩ (range tick ∪ ev ` S) ∪ X ∩ Y⟩
        by (simp add: F-Sync D-Sync) blast
      thus ⟨(s, Z) ∈ F ?lhs⟩
    proof cases
      assume ⟨s' ∈ D (P a [|S|] SKIP res')⟩
      hence ⟨s ∈ D ?rhs1⟩
        by (simp add: D-Det D-Mprefix image-iff *(1, 2) ⟨s = ev a # s'⟩)
        with same-div show ⟨(s, Z) ∈ F ?lhs⟩ by (simp add: ⟨res = res'⟩ is-processT8)
      next
        fix t u X Y assume ** : ⟨(t, X) ∈ F (P a)⟩ ⟨(u, Y) ∈ F (SKIP res')⟩
          ⟨s' setinterleaves ((t, u), range tick ∪ ev ` S)⟩
          ⟨Z = (X ∪ Y) ∩ (range tick ∪ ev ` S) ∪ X ∩ Y⟩
        from **(2, 3) have ⟨(ev a # s') setinterleaves ((ev a # t, u), range tick ∪ ev ` S)⟩
          by (auto simp add: *(1, 2) F-SKIP image-iff)
        thus ⟨(s, Z) ∈ F ?lhs⟩
          by (simp add: F-Sync F-Det F-Mprefix image-iff)
            (metis *(1) **(1, 2, 4) ⟨s = ev a # s'⟩ list.distinct(1))
        qed
      qed
    next
      assume ⟨res ≠ res'⟩ ⟨(s, Z) ∈ F ?rhs2⟩
      then consider ⟨s = []⟩
        | a s' where ⟨a ∈ A⟩ ⟨a ∉ S⟩ ⟨s = ev a # s'⟩ ⟨(s', Z) ∈ F (P a [|S|] SKIP res')⟩
          by (auto simp add: F-Ndet F-STOP F-Mprefix)
        thus ⟨(s, Z) ∈ F ?lhs⟩
      proof cases

```

```

have ⟨[], UNIV⟩ ∈ ℐ ?lhs
  apply (simp add: F-Sync, rule disjI1)
  apply (rule-tac x = [] in exI, simp add: F-Det F-Mprefix F-SKIP T-SKIP
D-SKIP)
    apply (rule-tac x = [] in exI, rule-tac x = UNIV - {✓(res)} in exI)
    apply (simp, rule-tac x = UNIV - {✓(res')} in exI)
    using ⟨res ≠ res'⟩ by auto
  thus ⟨s = [] ⟹ (s, Z) ∈ ℐ ?lhs⟩ by (auto intro: is-processT4)
next
  fix a s' assume * : ⟨a ∈ A⟩ ⟨a ∉ S⟩ ⟨s = ev a # s'⟩ ⟨(s', Z) ∈ ℐ (P a [S]
SKIP res')⟩
    from *(4) consider ⟨s' ∈ ℐ (P a [S] SKIP res')⟩
    | t u X Y where ⟨(t, X) ∈ ℐ (P a)⟩ ⟨(u, Y) ∈ ℐ (SKIP res')⟩
      ⟨s' setinterleaves ((t, u), range tick ∪ ev ` S)⟩
      ⟨Z = (X ∪ Y) ∩ (range tick ∪ ev ` S) ∪ X ∩ Y⟩
    by (auto simp add: F-Sync D-Sync)
  thus ⟨(s, Z) ∈ ℐ ?lhs⟩
proof cases
  assume ⟨s' ∈ ℐ (P a [S] SKIP res')⟩
  hence ⟨s ∈ ℐ ?rhs2⟩ by (simp add: *(1, 2, 3) D-Ndet D-Mprefix)
    with same-div show ⟨(s, Z) ∈ ℐ ?lhs⟩ by (simp add: ⟨res ≠ res'⟩
is-processT8)
next
  fix t u X Y assume ** : ⟨(t, X) ∈ ℐ (P a)⟩ ⟨(u, Y) ∈ ℐ (SKIP res')⟩
    ⟨s' setinterleaves ((t, u), range tick ∪ ev ` S)⟩
    ⟨Z = (X ∪ Y) ∩ (range tick ∪ ev ` S) ∪ X ∩ Y⟩
  show ⟨(s, Z) ∈ ℐ ?lhs⟩
    apply (simp add: F-Sync, rule disjI1)
    apply (rule-tac x = ev a # t in exI)
    apply (rule-tac x = u in exI)
    apply (rule-tac x = X in exI)
    apply (rule conjI, solves (simp add: F-Det F-Mprefix *(1) **(1)))
    apply (rule-tac x = Y in exI)
    apply (simp add: **(2, 4))
    using **(2, 3) by (auto simp add: *(1, 2, 3) F-SKIP)
qed
qed
qed
qed

```

**lemma Sliding-def-bis :** ⟨P ▷ Q = (P ⊓ Q) □ Q⟩  
**by** (simp add: Det-distrib-Ndet-right Sliding-def)



# Chapter 10

## Conclusion

We started by defining the operators ( $\triangleright$ ), *Throw* and ( $\triangle$ ) and provided on them several new laws, especially monotony, "step-law" (behaviour with  $\Box a \in A \rightarrow P a$ ) and continuity.

We defined the *initials* notion, and described its behaviour with the reference processes and the operators of HOL-CSP and HOL-CSPM (which is already a minor contribution).

As main contribution, we defined the *After*.*After* operator which represents a bridge between the denotational and the versions of operational semantics for CSP. We made the construction as generic as possible, by exploiting the locale mechanism. Therefore we derive the correspondence between denotational and operational semantics by construction. Based on failure divergence or trace divergence refinements, the two operational semantics correspond to the versions described in [4, 6].

We have slight variations that can open up for discussion.

Thus, we provided a formal theory of operational behaviour for CSP, which is, to our knowledge, done for the first time for the entire language and the complete FD-Semantics model. Some of the proofs turned out to be extremely complex and out of reach of paper-and-pencil reasoning.

A notable point is that the experimental order ( $\sqsubseteq_{DT}$ ) behaves surprisingly well: initially pushed in HOL-CSP for pure curiosity, it looks promising for future applications, since it gives a direct handle for an operational trace semantics for non-diverging processes which is executable.

Another take-away is the development of alternatives with ( $\sqsubseteq_F$ ) and ( $\sqsubseteq_T$ ) orders but this remains a bit disappointing because their monotony w.r.t. to some operators does not allow to recover all the laws of [4, 6].

As a bonus we provided in *HOL-CSP-OpSem.CSP-New-Laws* some powerful laws for CSP. Here, we recall only the most important ones:

$$\begin{array}{c}
\frac{\text{bij } ?f \quad \text{bij } ?g}{\text{Renaming } (?P \setminus ?S) \ ?f \ ?g = \text{Renaming } ?P \ ?f \ ?g \setminus ?f \cdot ?S} \\
\frac{\text{bij } ?f \quad \text{bij } ?g}{\text{Renaming } (?P \llbracket ?S \rrbracket ?Q) \ ?f \ ?g = \text{Renaming } ?P \ ?f \ ?g \llbracket ?f \cdot ?S \rrbracket \ \text{Renaming } ?Q \ ?f \ ?g} \\
\frac{?A \cap ?S \neq \emptyset}{\square a \in ?A \rightarrow ?P a \setminus ?S = (\square a \in (?A - ?S) \rightarrow (?P a \setminus ?S)) \triangleright (\sqcap a \in (?A \cap ?S). (?P a \setminus ?S))}}
\end{array}$$

Finally, we discovered that the *After*.*After* operator and its extensions *AfterExt*.*After<sub>tick</sub>* and *AfterExt*.*After<sub>trace</sub>* have a real interest even without the construction of operational semantics.

With induction rules based on *AfterExt*.*After<sub>trace</sub>*, we could for example prove the following theorem:

$$\frac{\text{deadlock-free } ?P \quad \text{deadlock-free } ?Q \quad \alpha(?Q) \cap ?S = \emptyset}{\text{deadlock-free } (?P \llbracket ?S \rrbracket ?Q)}$$

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