

# HOL-CSPM - Architectural operators for HOL-CSP

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# Abstract

Recently, a modern version of Roscoe and Brookes [1] Failure-Divergence Semantics for CSP has been formalized in Isabelle [4]. On top of this theory, we develop the so-called “architectural operators”, i.e. generalizations of basic non-deterministic choices, synchronized products and sequentializations, as has been introduced in the well-known FDR4 model-checker for CSP.

While FDR4 uses these architectural operators as handy macros that help to structure the specifications, they are basically macro-expanded before the Labelled Transition Systems were generated. In contrast, we develop the formal theory of these operators in themselves which paves the way for a more structured approach to reasoning in HOL-CSP. Our generalizations will take commutativity and idempotence into account, such that they become fully-abstract wrt. to index-sets, index-multi-sets or lists, respectively.

Additionally, the theory of some more exotic — but in the CSP literature discussed — operators have been developed; in particular throw and interrupt.

For these “architectural operators”, we will prove the properties of refinement, monotonicity and continuity and the laws of interaction in order to simplify their use.

Finally, we will give examples of their usefulness when trying to model complex systems.



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# Chapter 1

## Introduction

### 1.1 Motivations

HOL-CSP [4] is a formalization in Isabelle/HOL of the work of Hoare and Roscoe on the denotational semantics of the Failure/Divergence Model of CSP. It follows essentially the presentation of CSP in Roscoe's Book "Theory and Practice of Concurrency" [2] and the semantic details in a joint Paper of Roscoe and Brooks "An improved failures model for communicating processes" [1].

In the session HOL-CSP are introduced the type  $('a, 'r) process_{ptick}$ , several classic CSP operators and number of laws that govern their interactions.

Four of them are binary operators: the non-deterministic choice  $P \sqcap Q$ , the deterministic choice  $P \sqcap Q$ , the synchronization  $P \llbracket S \rrbracket Q$  and the sequential composition  $P ; Q$ .

Analogously to the finite sum  $\sum_{i=0}^n a_i$  which is generalization of the addition  $a + b$ , we define generalisations of the binary operators of CSP.

The most straight-forward way to do so would be a fold on a list of processes. However, in many cases, we have additional properties, like commutativity, idempotency, etc. that allow for stronger/more abstract constructions. In particular, in several cases, generalization to unbounded and even infinite index-sets are possible.

The notations we choose are widely inspired by the  $CSP_M$  syntax of FDR: <https://cocotec.io/fdr/manual/cspm.html>.

For the non-deterministic choice  $P \sqcap Q$ , this is already done in HOL-CSP. In this session we therefore introduce the multi-operators:

- the global deterministic choice, written  $\square a \in A. P a$ , generalizing  $P \sqcap Q$
- the multi-synchronization product, written  $\llbracket S \rrbracket m \in \# M. P m$ , gen-

eralizing  $P \llbracket S \rrbracket Q$  with the two special cases  $\parallel m \in \# M. P m$  and  $\parallel m \in \# M. P m$

- the multi-sequential composition, written  $SEQ\ l \in @ L. P\ l$ , generalizing  $P ; Q$ . We prove their continuity and refinements rules, as well as some laws governing their interactions.

We also provide the definitions of the POTS and Dining Philosophers examples, which greatly benefit from the newly introduced generalized operators. Since they appear naturally when modeling complex architectures, we may call them *architectural operators*: these multi-operators represent the heart of the architectural composition principles of CSP.

Additionally, we developed the theory of the interrupt operators *Sliding*, *Throw* and *Interrupt* [3]. This part of the present theory reintroduces denotational semantics for these operators and constructs on this basis the algebraic laws for them.

In several places, our formalization efforts led to slight modifications of the original definitions in order to achieve the goal of a combined integrated theory. In some cases – in particular in connection with the *Interrupt* operator definition – some corrections have been necessary since the fundamental invariants were not respected.

Finally, his session includes a very powerful result about *deadlock-free* and *Sync*: the interleaving  $P \parallel Q$  is *deadlock-free* if  $P$  and  $Q$  are, and so is the multi-interleaving of processes  $P m$  for  $m \in \# M$ .

## 1.2 The Global Architecture of HOL-CSPM

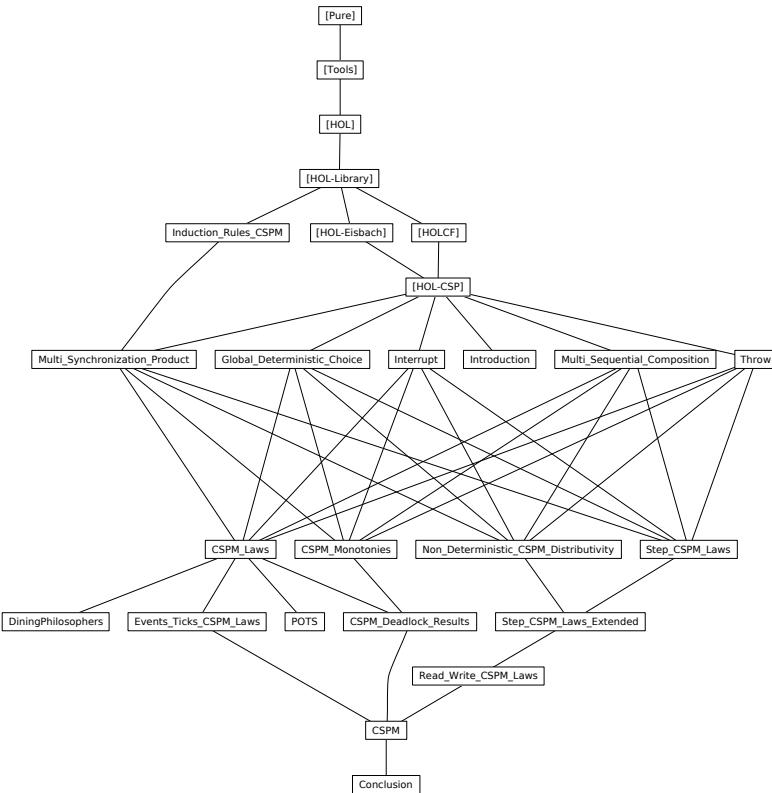


Figure 1.1: The overall architecture

The global architecture of HOL-CSPM is shown in [Figure 1.1](#). The entire package resides on:

1. HOL-Eisbach from the Isabelle/HOL distribution,
2. HOLCF from the Isabelle/HOL distribution, and
3. HOL-CSP 2.0 from the Isabelle Archive of Formal Proofs.



# Chapter 2

## Preliminary Work

### 2.1 Induction Rules for '*a set*

```
lemma finite-subset-induct-singleton
[consumes 3, case-names singleton insertion]:
⟨[a ∈ A; finite F; F ⊆ A; P {a};  

  ∧x F. finite F ⇒ x ∈ A ⇒ x ∉ (insert a F) ⇒ P (insert a F)  

  ⇒ P (insert x (insert a F))] ⇒ P (insert a F)⟩  

⟨proof⟩
```

```
lemma finite-set-induct-nonempty
[consumes 2, case-names singleton insertion]:
assumes ⟨A ≠ {}⟩ and ⟨finite A⟩  

  and singleton: ⟨∧a. a ∈ A ⇒ P {a}⟩  

  and insert: ⟨∧x F. [F ≠ {}; finite F; x ∈ A; x ∉ F; P F]  

  ⇒ P (insert x F)⟩  

shows ⟨P A⟩  

⟨proof⟩
```

```
lemma finite-subset-induct-singleton'
[consumes 3, case-names singleton insertion]:
⟨[a ∈ A; finite F; F ⊆ A; P {a};  

  ∧x F. [finite F; x ∈ A; insert a F ⊆ A; x ∉ insert a F; P (insert a F)]  

  ⇒ P (insert x (insert a F))]  

  ⇒ P (insert a F)⟩  

⟨proof⟩
```

```
lemma induct-subset-empty-single[consumes 1]:
⟨[finite A; P {}; ∧a. a ∈ A ⇒ P {a};  

  ∧F a. [a ∈ A; a ∉ F; finite F; F ⊆ A; F ≠ {}; P F] ⇒ P (insert a F)] ⇒  

  P A⟩  

⟨proof⟩
```

## 2.2 Induction Rules for ' $\alpha$ multiset'

The following rule comes directly from *HOL-Library.Multiset* but is written with *consumes 2* instead of *consumes 1*. I rewrite here a correct version.

```
lemma msubset-induct [consumes 1, case-names empty add]:
  ‹[F ⊆# A; P {#}]; ∀a F. [|a ∈# A; P F|] ⇒ P (add-mset a F)] ⇒ P F›
  ⟨proof⟩
```

```
lemma msubset-induct-singleton [consumes 2, case-names m-singleton add]:
  ‹[a ∈# A; F ⊆# A; P {#a#}];
    ∀x F. [|x ∈# A; P (add-mset a F)|] ⇒ P (add-mset x (add-mset a F))]
    ⇒ P (add-mset a F)›
  ⟨proof⟩
```

```
lemma mset-induct-nonempty [consumes 1, case-names m-singleton add]:
  assumes ‹A ≠ {#}›
    and m-singleton: ‹∀a. a ∈# A ⇒ P {#a#}›
    and add: ‹∀x F. [|F ≠ {#}; x ∈# A; P F|] ⇒ P (add-mset x F)›
    shows ‹P A›
  ⟨proof⟩
```

```
lemma msubset-induct' [consumes 2, case-names empty add]:
  assumes ‹F ⊆# A›
    and empty: ‹P {#}›
    and insert: ‹∀a F. [|a ∈# A − F; F ⊆# A; P F|] ⇒ P (add-mset a F)›
    shows ‹P F›
  ⟨proof⟩
```

```
lemma msubset-induct-singleton' [consumes 2, case-names m-singleton add]:
  ‹[a ∈# A − F; F ⊆# A; P {#a#}];
    ∀x F. [|x ∈# A − F; F ⊆# A; P (add-mset a F)] ⇒ P (add-mset x (add-mset a F))|
    ⇒ P (add-mset a F)›
  ⟨proof⟩
```

```
lemma msubset-induct-singleton'' [consumes 1, case-names m-singleton add]:
  ‹[add-mset a F ⊆# A; P {#a#}];
    ∀x F. [|add-mset x (add-mset a F) ⊆# A; P (add-mset a F)] ⇒ P (add-mset x (add-mset a F))|
    ⇒ P (add-mset a F)›
  ⟨proof⟩
```

```
lemma mset-induct-nonempty' [consumes 1, case-names m-singleton add]:
```

```

assumes nonempty:  $\langle A \neq \{\#\} \rangle$  and m-singleton:  $\langle \bigwedge a. a \in \# A \implies P \{ \# a \# \} \rangle$ 
and hyp:  $\langle \bigwedge a x F. \llbracket a \in \# A; x \in \# A - \text{add-mset } a F; \text{add-mset } a F \subseteq \# A;$ 
 $P (\text{add-mset } a F) \rrbracket \implies P (\text{add-mset } x (\text{add-mset } a F)) \rangle$ 
shows  $\langle P A \rangle$ 
⟨proof⟩

```

```

lemma induct-subset-mset-empty-single:
 $\langle \llbracket P \{ \# \}; \bigwedge a. a \in \# M \implies P \{ \# a \# \};$ 
 $\bigwedge N a. \llbracket a \in \# M; N \subseteq \# M; N \neq \{ \# \}; P N \rrbracket \implies P (\text{add-mset } a N) \rrbracket \implies P M \rangle$ 
⟨proof⟩

```

## 2.3 Strong Induction for *nat*

```

lemma strong-nat-induct[consumes 0, case-names 0 Suc]:
 $\langle \llbracket P 0; \bigwedge n. (\bigwedge m. m \leq n \implies P m) \implies P (\text{Suc } n) \rrbracket \implies P n \rangle$ 
⟨proof⟩

```

```

lemma strong-nat-induct-non-zero[consumes 1, case-names 1 Suc]:
 $\langle \llbracket 0 < n; P 1; \bigwedge n. 0 < n \implies (\bigwedge m. 0 < m \wedge m \leq n \implies P m) \implies P (\text{Suc } n) \rrbracket$ 
 $\implies P n \rangle$ 
⟨proof⟩

```

## 2.4 Useful Results for Cartesian Products

```

lemma prem-Multi-cartprod:
 $\langle (\lambda(x, y). x @ y) ` (A \times B) = \{ s @ t \mid s t. (s, t) \in A \times B \} \rangle$ 
 $\langle (\lambda(x, y). x \# y) ` (A' \times B) = \{ s \# t \mid s t. (s, t) \in A' \times B \} \rangle$ 
 $\langle (\lambda(x, y). [x, y]) ` (A' \times B') = \{ [s, t] \mid s t. (s, t) \in A' \times B' \} \rangle$ 
⟨proof⟩

```



# Chapter 3

## Definitions of the Architectural Operators

### 3.1 The Global Deterministic Choice

#### 3.1.1 Definition

This is an experimental generalization of the deterministic choice. In previous versions, this was done by folding the binary operator ( $\square$ ), but the set was of course necessarily finite. Now we give an abstract definition with the failures and the divergences.

**lift-definition**  $GlobalDet :: \langle [b\ set, b \Rightarrow ('a, 'r) process_{ptick}] \Rightarrow ('a, 'r) process_{ptick} \rangle$   
**is**  $\langle \lambda A P. (\{(s, X). s = [] \wedge (s, X) \in (\bigcap a \in A. \mathcal{F}(P a))\} \cup$   
 $\{(s, X). s \neq [] \wedge (s, X) \in (\bigcup a \in A. \mathcal{F}(P a))\} \cup$   
 $\{(s, X). s = [] \wedge s \in (\bigcup a \in A. \mathcal{D}(P a))\} \cup$   
 $\{(s, X). \exists r. s = [] \wedge \checkmark(r) \notin X \wedge [\checkmark(r)] \in (\bigcup a \in A. \mathcal{T}(P a))\},$   
 $\bigcup a \in A. \mathcal{D}(P a)) \rangle$

$\langle proof \rangle$

**syntax**  $-GlobalDet :: \langle [pttrn, b\ set, ('a, 'r) process_{ptick}] \Rightarrow ('a, 'r) process_{ptick} \rangle$   
 $\langle ((\beta \square ((-)/\in(-))./\ (-)) \rangle [78, 78, 77] 77)$   
**syntax-consts**  $-GlobalDet \Leftarrow GlobalDet$   
**translations**  $\square p \in A. P \Rightarrow CONST GlobalDet A (\lambda p. P)$

#### 3.1.2 The projections

**lemma**  $F\text{-}GlobalDet$ :

$$\begin{aligned} & \langle \mathcal{F}(\square x \in A. P x) = \\ & \{(s, X). s = [] \wedge (s, X) \in (\bigcap a \in A. \mathcal{F}(P a))\} \cup \\ & \{(s, X). s \neq [] \wedge (s, X) \in (\bigcup a \in A. \mathcal{F}(P a))\} \cup \\ & \{(s, X). s = [] \wedge s \in (\bigcup a \in A. \mathcal{D}(P a))\} \cup \\ & \{(s, X). \exists r. s = [] \wedge \checkmark(r) \notin X \wedge [\checkmark(r)] \in (\bigcup a \in A. \mathcal{T}(P a))\}, \\ & \bigcup a \in A. \mathcal{D}(P a)) \rangle \end{aligned}$$

$\langle proof \rangle$

**lemma**  $F\text{-GlobalDet}'$ :

$$\begin{aligned} \langle \mathcal{F} (\square x \in A. P x) = & \\ & \{(\[], X) \mid X. (\exists a \in A. P a = \perp) \vee (\forall a \in A. (\[], X) \in \mathcal{F} (P a)) \vee \\ & \quad (\exists a \in A. \exists r. \checkmark(r) \notin X \wedge [\checkmark(r)] \in \mathcal{T} (P a))\} \cup \\ & \{(s, X) \mid a s X. a \in A \wedge s \neq [] \wedge (s, X) \in \mathcal{F} (P a)\} \rangle \\ & \text{(is } \langle \mathcal{F} (\square x \in A. P x) = ?rhs \rangle) \\ & \langle proof \rangle \end{aligned}$$

**lemma**  $D\text{-GlobalDet}$ :  $\langle \mathcal{D} (\square x \in A. P x) = (\bigcup_{a \in A} \mathcal{D} (P a)) \rangle$

$\langle proof \rangle$

**lemma**  $T\text{-GlobalDet}$ :

$$\begin{aligned} \langle \mathcal{T} (\square x \in A. P x) = (\text{if } A = \{\} \text{ then } []) \text{ else } (\bigcup_{x \in A} \mathcal{T} (P x)) \rangle \\ \langle proof \rangle \end{aligned}$$

**lemma**  $T\text{-GlobalDet}'$ :  $\langle \mathcal{T} (\square x \in A. P x) = (\text{insert } [] (\bigcup_{x \in A} \mathcal{T} (P x))) \rangle$

**lemmas**  $GlobalDet\text{-projs} = F\text{-GlobalDet } D\text{-GlobalDet } T\text{-GlobalDet}$

**lemma**  $mono\text{-GlobalDet-eq}$ :

$$\langle (\bigwedge x. x \in A \implies P x = Q x) \implies GlobalDet A P = GlobalDet A Q \rangle$$

**lemma**  $mono\text{-GlobalDet-eq2}$ :

$$\langle (\bigwedge x. x \in A \implies P (f x) = Q x) \implies GlobalDet (f \cdot A) P = GlobalDet A Q \rangle$$

### 3.1.3 Factorization of $(\square)$ in front of $GlobalDet$

**lemma**  $Process\text{-eq-optimized-bisI}$  :

$$\begin{aligned} \text{assumes } & \langle \bigwedge s. s \in \mathcal{D} P \implies s \in \mathcal{D} Q \rangle \langle \bigwedge s. s \in \mathcal{D} Q \implies s \in \mathcal{D} P \rangle \\ \text{and } & \langle \bigwedge X. \mathcal{D} P = \mathcal{D} Q \implies (\[], X) \in \mathcal{F} P \implies (\[], X) \in \mathcal{F} Q \rangle \\ \text{and } & \langle \bigwedge X. \mathcal{D} Q = \mathcal{D} P \implies (\[], X) \in \mathcal{F} Q \implies (\[], X) \in \mathcal{F} P \rangle \\ \text{and } & \langle \bigwedge a s X. \mathcal{D} P = \mathcal{D} Q \implies (a \# s, X) \in \mathcal{F} P \implies (a \# s, X) \in \mathcal{F} Q \rangle \\ \text{and } & \langle \bigwedge a s X. \mathcal{D} Q = \mathcal{D} P \implies (a \# s, X) \in \mathcal{F} Q \implies (a \# s, X) \in \mathcal{F} P \rangle \\ \text{shows } & \langle P = Q \rangle \\ & \langle proof \rangle \end{aligned}$$

**lemma**  $GlobalDet\text{-factorization-union}$ :

$$\langle (\square p \in A. P p) \square (\square p \in B. P p) = \square p \in (A \cup B) . P p \rangle$$

**lemma**  $GlobalDet\text{-Union}$  :

$\langle (\square a \in (\bigcup i \in I. A i). P a) = \square i \in I. \square a \in A i. P a \rangle$  (**is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle proof \rangle$

### 3.1.4 First properties

**lemma** *GlobalDet-id* [*simp*] :  $\langle A \neq \{\} \implies (\square p \in A. P) = P \rangle$   
 $\langle proof \rangle$

**lemma** *GlobalDet-unit*[*simp*] :  $\langle (\square x \in \{a\}. P x) = P a \rangle$   
 $\langle proof \rangle$

**lemma** *GlobalDet-empty*[*simp*] :  $\langle (\square a \in \{\}). P a) = STOP \rangle$   
 $\langle proof \rangle$

**lemma** *GlobalDet-distrib-unit*:  
 $\langle (\square x \in insert a A. P x) = P a \square (\square x \in (A - \{a\}). P x) \rangle$   
 $\langle proof \rangle$

**lemma** *GlobalDet-distrib-unit-bis* :  
 $\langle a \notin A \implies (\square x \in insert a A. P x) = P a \square (\square x \in A. P x) \rangle$   
 $\langle proof \rangle$

### 3.1.5 Behaviour of *GlobalDet* with $(\square)$

**lemma** *GlobalDet-Det-GlobalDet*:  
 $\langle (\square a \in A. P a) \square (\square a \in A. Q a) = \square a \in A. P a \square Q a \rangle$   
**(is**  $\langle ?G1 \square ?G2 = ?G \rangle$ )  
 $\langle proof \rangle$

### 3.1.6 Commutativity

**lemma** *GlobalDet-sets-commute*:  
 $\langle (\square a \in A. \square b \in B. P a b) = \square b \in B. \square a \in A. P a b \rangle$  (**is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle proof \rangle$

### 3.1.7 Behaviour with injectivity

**lemma** *inj-on-mapping-over-GlobalDet*:  
 $\langle inj-on f A \implies (\square x \in A. P x) = \square x \in f ` A. P (inv-into A f x) \rangle$   
 $\langle proof \rangle$

### 3.1.8 Cartesian product results

**lemma** *GlobalDet-cartprod- $\sigma s$ -set- $\sigma s$ -set*:  
 $\langle (\square (s, t) \in A \times B. P (s @ t)) = \square u \in \{s @ t \mid s, t. (s, t) \in A \times B\}. P u \rangle$   
**(is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle proof \rangle$

**lemma** *GlobalDet-cartprod-s-set- $\sigma$ s-set*:  
 $\langle (\square (s, t) \in A \times B. P(s \# t)) = \square u \in \{s \# t \mid s, t \in A \times B\}. P u \rangle$   
**(is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle proof \rangle$

**lemma** *GlobalDet-cartprod-s-set-s-set*:  
 $\langle (\square (s, t) \in A \times B. P[s, t]) = \square u \in \{[s, t] \mid s, t \in A \times B\}. P u \rangle$   
**(is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle proof \rangle$

**lemma** *GlobalDet-cartprod*:  $\langle (\square (s, t) \in A \times B. P s t) = \square s \in A. \square t \in B. P s t \rangle$   
**(is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle proof \rangle$

### 3.1.9 Link with *Mprefix*

This is a trick to make proof of *Mprefix* using *GlobalDet* as it has an easier denotational definition.

**lemma** *Mprefix-GlobalDet*:  $\langle \square a \in A \rightarrow P a = \square a \in A. a \rightarrow P a \rangle$   
 $\langle proof \rangle$

**lemma** *read-is-GlobalDet-write0* :  
 $\langle c? a \in A \rightarrow P a = \square b \in c \cdot A. b \rightarrow P(\text{inv-into } A c b) \rangle$   
 $\langle proof \rangle$

**lemma** *read-is-GlobalDet-write* :  
 $\langle \text{inj-on } c A \implies c? a \in A \rightarrow P a = \square a \in A. c! a \rightarrow P a \rangle$   
 $\langle proof \rangle$

### 3.1.10 Properties

**lemma** *GlobalDet-Det*:  $\langle (\square a \in A. P a) \sqcap Q = (\text{if } A = \{\} \text{ then } Q \text{ else } \square a \in A. P a \sqcap Q) \rangle$   
**(is**  $\langle ?lhs = (\text{if } A = \{\} \text{ then } Q \text{ else } ?rhs) \rangle$ )  
 $\langle proof \rangle$

**lemma** *Mndetprefix-Sync-Mprefix-strong-subset*:  
 $\langle [A \subseteq B; B \subseteq C] \implies \square a \in A \rightarrow P a \llbracket C \rrbracket \sqcap b \in B \rightarrow Q b = \square a \in A \rightarrow (P a \llbracket C \rrbracket Q a) \rangle$   
 $\langle proof \rangle$

**lemma** *Mprefix-Sync-Mndetprefix-strong-subset*:

$\langle \llbracket A \subseteq C; B \subseteq A \rrbracket \implies \Box a \in A \rightarrow P a \llbracket C \rrbracket \sqcap b \in B \rightarrow Q b = \Box b \in B \rightarrow (P b \llbracket C \rrbracket Q b) \rangle$   
 $\langle proof \rangle$

**corollary** *Mndetprefix-Par-Mprefix-strong-subset*:

$\langle A \subseteq B \implies \Box a \in A \rightarrow P a \parallel \Box b \in B \rightarrow Q b = \Box a \in A \rightarrow (P a \parallel Q a) \rangle$   
 $\langle proof \rangle$

**corollary** *Mprefix-Par-Mndetprefix-strong-subset*:

$\langle B \subseteq A \implies \Box a \in A \rightarrow P a \parallel \Box b \in B \rightarrow Q b = \Box b \in B \rightarrow (P b \parallel Q b) \rangle$   
 $\langle proof \rangle$

### 3.1.11 Continuity

**lemma** *mono-GlobalDet* :  $\langle (\Box a \in A. P a) \sqsubseteq \Box a \in A. Q a \rangle$  if  $\langle \forall x. x \in A \implies P x \sqsubseteq Q x \rangle$   
 $\langle proof \rangle$

**lemma** *chain-GlobalDet* :  $\langle \text{chain } Y \implies \text{chain } (\lambda i. \Box a \in A. Y i a) \rangle$   
 $\langle proof \rangle$

**lemma** *GlobalDet-cont [simp]* :  $\langle \llbracket \text{finite } A; \bigwedge a. a \in A \implies \text{cont } (P a) \rrbracket \implies \text{cont } (\lambda y. \Box z \in A. P z y) \rangle$   
 $\langle proof \rangle$

end

## 3.2 Multiple Synchronization Product

### 3.2.1 Definition

As in the ( $\sqcap$ ) case, we have no neutral element so we will also have to go through lists first. But the binary operator *Sync* is not idempotent either, so the generalization will be done on '*b multiset*' and not on '*b set*'.

Note that a '*b multiset*' is by construction finite (cf. theorem *finite (set-mset M)*).

```
fun MultiSync-list :: <['a set, 'b list, 'b => ('a, 'r) processptick] => ('a, 'r) processptick
  where <MultiSync-list S [] P = STOP>
    | <MultiSync-list S (l # L) P = fold (λx r. r [S] P x) L (P l)>
```

**interpretation** *MultiSync*: comp-fun-commute **where**  $f = \langle \lambda x. r. r \llbracket E \rrbracket P x \rangle$   
 $\langle proof \rangle$

**lemma** *MultiSync-list-mset*:

$\langle mset L = mset L' \implies MultiSync-list S L P = MultiSync-list S L' P \rangle$   
 $\langle proof \rangle$

**definition** *MultiSync* ::  $\langle [a set, b multiset, b \Rightarrow (a, r) process_{ptick}] \Rightarrow (a, r) process_{ptick} \rangle$   
 $\langle MultiSync S M P = MultiSync-list S (SOME L. mset L = M) P \rangle$

**syntax** *-MultiSync* ::  $\langle [a set, pttrn, b multiset, (a, r) process_{ptick}] \Rightarrow (a, r) process_{ptick} \rangle$

$\langle ((3\llbracket - \rrbracket - \in \#-. / -) \rangle [78, 78, 78, 77] 77) \rangle$

**syntax-consts** *-MultiSync*  $\Leftarrow$  *MultiSync*

**translations**  $\llbracket S \rrbracket p \in \# M. P \Rightarrow CONST MultiSync S M (\lambda p. P)$

Special case of *MultiSync E P* when  $E = \{\}$ .

**abbreviation** *MultiInter* ::  $\langle [b multiset, b \Rightarrow (a, r) process_{ptick}] \Rightarrow (a, r) process_{ptick} \rangle$

$\langle MultiInter M P \equiv MultiSync \{\} M P \rangle$

**syntax** *-MultiInter* ::  $\langle [pttrn, b multiset, (a, r) process_{ptick}] \Rightarrow (a, r) process_{ptick} \rangle$

$\langle ((3|| - \in \#-. / -) \rangle [78, 78, 77] 77) \rangle$

**syntax-consts** *-MultiInter*  $\Leftarrow$  *MultiInter*

**translations**  $||| p \in \# M. P \Rightarrow CONST MultiInter M (\lambda p. P)$

Special case of *MultiSync E P* when  $E = UNIV$ .

**abbreviation** *MultiPar* ::  $\langle [b multiset, b \Rightarrow (a, r) process_{ptick}] \Rightarrow (a, r) process_{ptick} \rangle$

$\langle MultiPar M P \equiv MultiSync UNIV M P \rangle$

**syntax** *-MultiPar* ::  $\langle [pttrn, b multiset, (a, r) process_{ptick}] \Rightarrow (a, r) process_{ptick} \rangle$

$\langle ((3|| - \in \#-. / -) \rangle [78, 78, 77] 77) \rangle$

**syntax-consts** *-MultiPar*  $\Leftarrow$  *MultiPar*

**translations**  $||| p \in \# M. P \Rightarrow CONST MultiPar M (\lambda p. P)$

### 3.2.2 First properties

**lemma** *MultiSync-rec0[simp]*:  $\langle (\llbracket S \rrbracket p \in \# \{\#\}. P p) = STOP \rangle$   
 $\langle proof \rangle$

**lemma** *MultiSync-rec1[simp]*:  $\langle (\llbracket S \rrbracket p \in \# \{\#\# a \#\}. P p) = P a \rangle$   
 $\langle proof \rangle$

**lemma** *MultiSync-add*[simp]:  
 $\langle M \neq \{\#\} \implies (\llbracket S \rrbracket p \in \# \text{ add-mset } m M. P p) = P m \llbracket S \rrbracket (\llbracket S \rrbracket p \in \# M. P p) \rangle$   
 $\langle proof \rangle$

**lemma** *mono-MultiSync-eq*:  
 $\langle (\forall x. x \in \# M \implies P x = Q x) \implies \text{MultiSync } S M P = \text{MultiSync } S M Q \rangle$   
 $\langle proof \rangle$

**lemma** *mono-MultiSync-eq2*:  
 $\langle (\forall x. x \in \# M \implies P (f x) = Q x) \implies \text{MultiSync } S (\text{image-mset } f M) P = \text{MultiSync } S M Q \rangle$   
 $\langle proof \rangle$

**lemmas** *MultiInter-rec0* = *MultiSync-rec0*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *MultiPar-rec0* = *MultiSync-rec0*[**where**  $S = \langle \text{UNIV} \rangle$ ]  
**and** *MultiInter-rec1* = *MultiSync-rec1*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *MultiPar-rec1* = *MultiSync-rec1*[**where**  $S = \langle \text{UNIV} \rangle$ ]  
**and** *MultiInter-add* = *MultiSync-add*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *MultiPar-add* = *MultiSync-add*[**where**  $S = \langle \text{UNIV} \rangle$ ]  
**and** *mono-MultiInter-eq* = *mono-MultiSync-eq*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *mono-MultiPar-eq* = *mono-MultiSync-eq*[**where**  $S = \langle \text{UNIV} \rangle$ ]  
**and** *mono-MultiInter-eq2* = *mono-MultiSync-eq2*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *mono-MultiPar-eq2* = *mono-MultiSync-eq2*[**where**  $S = \langle \text{UNIV} \rangle$ ]

### 3.2.3 Some Tests

**lemma**  $\langle \text{MultiSync-list } S [] P = \text{STOP} \rangle$   
**and**  $\langle \text{MultiSync-list } S [a] P = P a \rangle$   
**and**  $\langle \text{MultiSync-list } S [a, b] P = P a \llbracket S \rrbracket P b \rangle$   
**and**  $\langle \text{MultiSync-list } S [a, b, c] P = P a \llbracket S \rrbracket P b \llbracket S \rrbracket P c \rangle$   
 $\langle proof \rangle$

**lemma** *test-MultiSync*:  
 $\langle (\llbracket S \rrbracket p \in \# \text{mset } []. P p) = \text{STOP}, \rangle$   
 $\langle (\llbracket S \rrbracket p \in \# \text{mset } [a]. P p) = P a \rangle$   
 $\langle (\llbracket S \rrbracket p \in \# \text{mset } [a, b]. P p) = P a \llbracket S \rrbracket P b \rangle$   
 $\langle (\llbracket S \rrbracket p \in \# \text{mset } [a, b, c]. P p) = P a \llbracket S \rrbracket P b \llbracket S \rrbracket P c \rangle$   
 $\langle proof \rangle$

**lemma** *MultiSync-set1*:  $\langle \text{MultiSync } S (\text{mset-set } \{k::\text{nat..}<k\}) P = \text{STOP} \rangle$   
 $\langle proof \rangle$

**lemma** *MultiSync-set2*:  $\langle \text{MultiSync } S \text{ (mset-set } \{k..<\text{Suc } k\}) P = P \text{ } k \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *MultiSync-set3*:  
 $\langle l < k \implies \text{MultiSync } S \text{ (mset-set } \{l ..< \text{Suc } k\}) P = P \text{ } l \llbracket S \rrbracket (\text{MultiSync } S \text{ (mset-set } \{\text{Suc } l ..< \text{Suc } k\}) P) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *test-MultiSync'*:  
 $\langle (\llbracket S \rrbracket p \in \# \text{ mset-set } \{1::\text{int} .. 3\}. P p) = P \text{ } 1 \llbracket S \rrbracket P \text{ } 2 \llbracket S \rrbracket P \text{ } 3 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *test-MultiSync''*:  
 $\langle (\llbracket S \rrbracket p \in \# \text{ mset-set } \{0::\text{nat} .. a\}. P p) =$   
 $\llbracket S \rrbracket p \in \# \text{ mset-set } (\{a\} \cup \{1 .. a\} \cup \{0\}) . P p \rangle$   
 $\langle \text{proof} \rangle$

**lemmas** *test-MultiInter* = *test-MultiSync[where S = <{}>]*  
**and** *test-MultiPar* = *test-MultiSync[where S = <UNIV>]*  
**and** *MultiInter-set1* = *MultiSync-set1[where S = <{}>]*  
**and** *MultiPar-set1* = *MultiSync-set1[where S = <UNIV>]*  
**and** *MultiInter-set2* = *MultiSync-set2[where S = <{}>]*  
**and** *MultiPar-set2* = *MultiSync-set2[where S = <UNIV>]*  
**and** *MultiInter-set3* = *MultiSync-set3[where S = <{}>]*  
**and** *MultiPar-set3* = *MultiSync-set3[where S = <UNIV>]*  
**and** *test-MultiInter'* = *test-MultiSync'[where S = <{}>]*  
**and** *test-MultiPar'* = *test-MultiSync'[where S = <UNIV>]*  
**and** *test-MultiInter''* = *test-MultiSync''[where S = <{}>]*  
**and** *test-MultiPar''* = *test-MultiSync''[where S = <UNIV>]*

### 3.2.4 Continuity

**lemma** *mono-MultiSync* :  
 $\langle (\bigwedge x. x \in \# M \implies P x \sqsubseteq Q x) \implies (\llbracket S \rrbracket m \in \# M. P m) \sqsubseteq (\llbracket S \rrbracket m \in \# M. Q m) \rangle$   
 $\langle \text{proof} \rangle$

**lemmas** *mono-MultiInter* = *mono-MultiSync[where S = <{}>]*  
**and** *mono-MultiPar* = *mono-MultiSync[where S = UNIV]*

**lemma** *MultiSync-cont[simp]*:  
 $\langle (\bigwedge x. x \in \# M \implies \text{cont } (P x)) \implies \text{cont } (\lambda y. \llbracket S \rrbracket z \in \# M. P z y) \rangle$   
 $\langle \text{proof} \rangle$

```

lemmas MultiInter-cont[simp] = MultiSync-cont[where S = <{}>]
and MultiPar-cont[simp] = MultiSync-cont[where S = <UNIV>]

```

### 3.2.5 Factorization of Sync in front of MultiSync

**lemma** *MultiSync-factorization-union*:

$$\langle \llbracket M \neq \# \}; N \neq \# \rrbracket \implies (\llbracket S \rrbracket z \in \# M. P z) \llbracket S \rrbracket (\llbracket S \rrbracket z \in \# N. P z) = \llbracket S \rrbracket z \in \# (M + N). P z \rangle$$

*(proof)*

```

lemmas MultiInter-factorization-union =
  MultiSync-factorization-union[where S = <{}>]
and MultiPar-factorization-union =
  MultiSync-factorization-union[where S = <UNIV>]

```

### 3.2.6 $\perp$ Absorbtion

**lemma** *MultiSync-BOT-absorb*:

$$\langle m \in \# M \implies P m = \perp \implies (\llbracket S \rrbracket z \in \# M. P z) = \perp \rangle$$

*(proof)*

```

lemmas MultiInter-BOT-absorb = MultiSync-BOT-absorb[where S = <{}>]
and MultiPar-BOT-absorb = MultiSync-BOT-absorb[where S = <UNIV>]

```

**lemma** *MultiSync-is-BOT-iff*:

$$\langle (\llbracket S \rrbracket m \in \# M. P m) = \perp \longleftrightarrow (\exists m \in \# M. P m = \perp) \rangle$$

*(proof)*

```

lemmas MultiInter-is-BOT-iff = MultiSync-is-BOT-iff[where S = <{}>]
and MultiPar-is-BOT-iff = MultiSync-is-BOT-iff[where S = <UNIV>]

```

### 3.2.7 Other Properties

**lemma** *MultiSync-SKIP-id*:

$$\langle (\llbracket S \rrbracket r \in \# M. SKIP r) = (\text{if } \exists r. \text{set-mset } M = \{r\} \text{ then SKIP (THE } r. \text{set-mset } M = \{r\}) \text{ else STOP}) \rangle$$

*(proof)*

```

lemmas MultiInter-SKIP-id = MultiSync-SKIP-id[where S = <{}>]
and MultiPar-SKIP-id = MultiSync-SKIP-id[where S = <UNIV>]

```

**lemma** *MultiPar-prefix-two-distincts-STOP*:

**assumes**  $\langle m \in \# M \rangle$  **and**  $\langle m' \in \# M \rangle$  **and**  $\langle \text{fst } m \neq \text{fst } m' \rangle$   
**shows**  $\langle (\parallel a \in \# M. (\text{fst } a \rightarrow P(\text{snd } a))) = \text{STOP} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *MultiPar-prefix-two-distincts-STOP'*:  
 $\langle \llbracket (m, n) \in \# M; (m', n') \in \# M; m \neq m' \rrbracket \implies$   
 $\langle \parallel (m, n) \in \# M. (m \rightarrow P n) \rangle = \text{STOP} \rangle$   
 $\langle \text{proof} \rangle$

### 3.2.8 Behaviour of *MultiSync* with *Sync*

**lemma** *MultiSync-Sync*:  
 $\langle (\llbracket S \rrbracket z \in \# M. P z) \llbracket S \rrbracket (\llbracket S \rrbracket z \in \# M. P' z) = \llbracket S \rrbracket z \in \# M. (P z \llbracket S \rrbracket P' z) \rangle$   
 $\langle \text{proof} \rangle$

**lemmas** *MultiInter-Inter* = *MultiSync-Sync*[**where**  $S = \langle \{ \} \rangle$ ]  
**and** *MultiPar-Par* = *MultiSync-Sync*[**where**  $S = \langle \text{UNIV} \rangle$ ]

### 3.2.9 Commutativity

**lemma** *MultiSync-sets-commute*:  
 $\langle (\llbracket S \rrbracket a \in \# M. \llbracket S \rrbracket b \in \# N. P a b) = \llbracket S \rrbracket b \in \# N. \llbracket S \rrbracket a \in \# M. P a b \rangle$   
 $\langle \text{proof} \rangle$

**lemmas** *MultiInter-sets-commute* = *MultiSync-sets-commute*[**where**  $S = \langle \{ \} \rangle$ ]  
**and** *MultiPar-sets-commute* = *MultiSync-sets-commute*[**where**  $S = \langle \text{UNIV} \rangle$ ]

### 3.2.10 Behaviour with Injectivity

**lemma** *inj-on-mapping-over-MultiSync*:  
 $\langle \text{inj-on } f \text{ (set-mset } M) \implies$   
 $\langle (\llbracket S \rrbracket x \in \# M. P x) = \llbracket S \rrbracket x \in \# \text{image-mset } f M. P (\text{inv-into (set-mset } M) f x) \rangle$   
 $\langle \text{proof} \rangle$

**lemmas** *inj-on-mapping-over-MultiInter* =  
*inj-on-mapping-over-MultiSync*[**where**  $S = \langle \{ \} \rangle$ ]  
**and** *inj-on-mapping-over-MultiPar* =  
*inj-on-mapping-over-MultiSync*[**where**  $S = \langle \text{UNIV} \rangle$ ]

## 3.3 Multiple Sequential Composition

Because of the fact that *SKIP r* is not exactly a neutral element for *Seq* (cf *SKIP ?r ; ?P = ?P*)

$?P ; Skip = ?P$ ), we do the folding on the reversed list.

### 3.3.1 Definition

```

fun MultiSeq-rev :: <['b list, 'b  $\Rightarrow$  ('a, 'r) processptick]  $\Rightarrow$  ('a, 'r) processptickwhere MultiSeq-rev-Nil : <MultiSeq-rev [] P = SKIP undefined>
    | MultiSeq-rev-Cons : <MultiSeq-rev (l # L) P = MultiSeq-rev L P ; P l>

definition MultiSeq :: <['b list, 'b  $\Rightarrow$  ('a, 'r) processptick]  $\Rightarrow$  ('a, 'r) processptickwhere <MultiSeq L P  $\equiv$  MultiSeq-rev (rev L) P>

lemma MultiSeq-Nil [simp] : <MultiSeq [] P = SKIP undefined>
  and MultiSeq-snoc [simp] : <MultiSeq (L @ [l]) P = MultiSeq L P ; P l>
  <proof>

lemma MultiSeq-elims :
  <MultiSeq L P = Q  $\Rightarrow$ 
    ( $\bigwedge P'. L = [] \Rightarrow P = P' \Rightarrow Q = SKIP undefined \Rightarrow thesis$ )  $\Rightarrow$ 
    ( $\bigwedge l L' P'. L = L' @ [l] \Rightarrow P = P' \Rightarrow Q = MultiSeq L' P' ; P' l \Rightarrow thesis$ )
   $\Rightarrow thesis$ 
  <proof>

syntax -MultiSeq :: <[pttrn, 'b list, 'b  $\Rightarrow$  'r  $\Rightarrow$  ('a, 'r) processptick, 'r]  $\Rightarrow$  ('a, 'r) processptick\in@-./ -) [78, 78, 77] 77)
syntax-consts -MultiSeq  $\Leftarrow$  MultiSeq
translations SEQ p  $\in$ @ L. P  $\Leftarrow$  CONST MultiSeq L ( $\lambda p. P$ )

```

### 3.3.2 First Properties

```

lemma <SEQ p  $\in$ @ []. P p = SKIP undefined> <proof>
lemma <SEQ i  $\in$ @ (L @ [l]). P i = SEQ i  $\in$ @ L. P i ; P l> <proof>
lemma MultiSeq-singl [simp] : <SEQ l  $\in$ @ [l]. P l = P l> <proof>

```

### 3.3.3 Some Tests

```

lemma <SEQ p  $\in$ @ []. P p = SKIP undefined>
  and <SEQ p  $\in$ @ [a]. P p = P a>
  and <SEQ p  $\in$ @ [a, b]. P p = P a ; P b>
  and <SEQ p  $\in$ @ [a, b, c]. P p = P a ; P b ; P c>
  <proof>

```

**lemma** *test-MultiSeq*:  $\langle (SEQ p \in @ [1::int .. 3]. P p) = P 1 ; P 2 ; P 3 \rangle$   
 $\langle proof \rangle$

### 3.3.4 Continuity

**lemma** *mono-MultiSeq* :  
 $\langle (\bigwedge x. x \in set L \implies P x \sqsubseteq Q x) \implies SEQ l \in @ L. P l \sqsubseteq SEQ l \in @ L. Q l \rangle$   
 $\langle proof \rangle$

**lemma** *MultiSeq-cont[simp]*:  
 $\langle (\bigwedge x. x \in set L \implies cont (P x)) \implies cont (\lambda y. SEQ z \in @ L. P z y) \rangle$   
 $\langle proof \rangle$

### 3.3.5 Factorization of $(;)$ in front of *MultiSeq*

**lemma** *MultiSeq-factorization-append*:  
 $\langle L2 \neq [] \implies SEQ p \in @ L1. P p ; SEQ p \in @ L2. P p = SEQ p \in @ (L1 @ L2). P p \rangle$   
 $\langle proof \rangle$

### 3.3.6 $\perp$ Absorbtion

**lemma** *MultiSeq-BOT-absorb*:  
 $\langle SEQ z \in @ (L1 @ a \# L2). P z = SEQ z \in @ L1. P z ; \perp \rangle$   
 $\text{if } \langle P a = \perp \rangle$   
 $\langle proof \rangle$

### 3.3.7 First Properties

**lemma** *MultiSeq-SKIP-neutral*:  
 $\langle SEQ z \in @ (L1 @ a \# L2). P z =$   
 $\quad (\text{if } L2 = [] \text{ then } SEQ z \in @ L1. P z ; SKIP r$   
 $\quad \text{else } SEQ z \in @ (L1 @ L2). P z) \rangle$   
 $\text{if } \langle P a = SKIP r \rangle$   
 $\langle proof \rangle$

**lemma** *MultiSeq-STOP-absorb*:  
 $\langle SEQ z \in @ (L1 @ a \# L2). P z = SEQ z \in @ L1. P z ; STOP \rangle$   
 $\text{if } \langle P a = STOP \rangle$   
 $\langle proof \rangle$

**lemma** *mono-MultiSeq-eq*:  
 $\langle (\bigwedge x. x \in set L \implies P x = Q x) \implies MultiSeq L P = MultiSeq L Q \rangle$   
 $\langle proof \rangle$

### 3.3.8 Commutativity

Of course, since the sequential composition  $P ; Q$  is not commutative, the result here is negative: the order of the elements of list  $L$  does matter in  $SEQ z \in @ L. P z$ .

### 3.3.9 Behaviour with Injectivity

```
lemma inj-on-mapping-over-MultiSeq:
  <inj-on f (set C) =>
    SEQ x ∈@ C. P x = SEQ x ∈@ map f C. P (inv-into (set C) f x)>
  ⟨proof⟩
```

### 3.3.10 Definition of first-elem

```
primrec first-elem :: <'a ⇒ bool, 'a list⟩ ⇒ nat
  where <first-elem P [] = 0>
    | <first-elem P (x # L) = (if P x then 0 else Suc (first-elem P L))>
```

*first-elem* returns the first index  $i$  such that  $P(L ! i) = \text{True}$  if it exists,  $\text{length } L$  otherwise.

This will be very useful later.

```
value <first-elem (λx. 4 < x) [0::nat, 2, 5]>
lemma <first-elem (λx. 5 < x) [0::nat, 2, 5] = 3> ⟨proof⟩
lemma <P ‘ set L ⊆ {False} => first-elem P L = length L> ⟨proof⟩
```

## 3.4 The Throw Operator

### 3.4.1 Definition

The Throw operator allows error handling. Whenever an error (or more generally any event  $ev e \in ev ' A$ ) occurs in  $P$ ,  $P$  is shut down and  $Q e$  is started.

This operator can somehow be seen as a generalization of sequential composition ( $\cdot$ ):  $P$  terminates on any event in  $ev ' A$  rather than *tick* (however it does not hide these events like ( $\cdot$ ) do for *tick*, but we can use an additional  $\lambda P. P \setminus A$ ).

This is a relatively new addition to CSP (see [3, p.140]).

```
lift-definition Throw :: <[('a, 'r) processptick, 'a set, 'a ⇒ ('a, 'r) processptick] ⇒ ('a, 'r) processptick>
  is <λ P A Q.
    ({}(t1, X). (t1, X) ∈ F P ∧ set t1 ∩ ev ' A = {}) ∪
    ({}(t1 @ t2, X) | t1 t2 X. t1 ∈ D P ∧ tF t1 ∧ set t1 ∩ ev ' A = {} ∧ ftF t2) ∪
    ({}(t1 @ ev a # t2, X) | t1 a t2 X.
      t1 @ [ev a] ∈ T P ∧ set t1 ∩ ev ' A = {} ∧ a ∈ A ∧ (t2, X) ∈ F (Q a)},
     {t1 @ t2 | t1 t2. t1 ∈ D P ∧ tF t1 ∧ set t1 ∩ ev ' A = {} ∧ ftF t2} ∪
     {t1 @ ev a # t2 | t1 a t2. t1 @ [ev a] ∈ T P ∧ set t1 ∩ ev ' A = {} ∧ a ∈ A ∧
      t2 ∈ D (Q a)})>
  ⟨proof⟩
```

We add some syntactic sugar.

```
syntax -Throw :: <[('a, 'r) processptick, pttrn, 'a set, 'a ⇒ ('a, 'r) processptick] ⇒ ('a, 'r) processptick>
```

$(\langle \langle \langle \langle \Theta \Theta \Theta \Theta \rangle \rangle \rangle \rangle [78, 78, 78, 77] 77)$   
**syntax-consts** -Throw  $\Rightarrow$  Throw  
**translations**  $P \Theta a \in A. Q \Rightarrow CONST Throw P A (\lambda a. Q)$

### 3.4.2 Projections

**lemma** F-Throw:

$\langle \mathcal{F} (P \Theta a \in A. Q a) =$   
 $\{(t1, X). (t1, X) \in \mathcal{F} P \wedge set t1 \cap ev ' A = \{\}\} \cup$   
 $\{(t1 @ t2, X) | t1 t2 X. t1 \in \mathcal{D} P \wedge tF t1 \wedge set t1 \cap ev ' A = \{\} \wedge ftF t2\} \cup$   
 $\{(t1 @ ev a \# t2, X) | t1 a t2 X.$   
 $t1 @ [ev a] \in \mathcal{T} P \wedge set t1 \cap ev ' A = \{\} \wedge a \in A \wedge (t2, X) \in \mathcal{F} (Q a)\}$   
 $\langle proof \rangle$

**lemma** D-Throw:

$\langle \mathcal{D} (P \Theta a \in A. Q a) =$   
 $\{t1 @ t2 | t1 t2. t1 \in \mathcal{D} P \wedge tF t1 \wedge set t1 \cap ev ' A = \{\} \wedge ftF t2\} \cup$   
 $\{t1 @ ev a \# t2 | t1 a t2. t1 @ [ev a] \in \mathcal{T} P \wedge set t1 \cap ev ' A = \{\} \wedge a \in A \wedge$   
 $t2 \in \mathcal{D} (Q a)\}$   
 $\langle proof \rangle$

**lemma** T-Throw:

$\langle \mathcal{T} (P \Theta a \in A. Q a) =$   
 $\{t1 \in \mathcal{T} P. set t1 \cap ev ' A = \{\}\} \cup$   
 $\{t1 @ t2 | t1 t2. t1 \in \mathcal{D} P \wedge tF t1 \wedge set t1 \cap ev ' A = \{\} \wedge ftF t2\} \cup$   
 $\{t1 @ ev a \# t2 | t1 a t2. t1 @ [ev a] \in \mathcal{T} P \wedge set t1 \cap ev ' A = \{\} \wedge a \in A \wedge$   
 $t2 \in \mathcal{T} (Q a)\}$   
 $\langle proof \rangle$

**lemmas** Throw-projs = F-Throw D-Throw T-Throw

**lemma** Throw-T-third-clause-breaker :

$\langle \llbracket set t \cap S = \{\}; set t' \cap S = \{\}; e \in S; e' \in S \rrbracket \implies$   
 $t @ e \# u = t' @ e' \# u' \longleftrightarrow t = t' \wedge e = e' \wedge u = u'$   
 $\langle proof \rangle$

### 3.4.3 Monotony

**lemma** min-elems-Un-subset:

$\langle min-elems (A \cup B) \subseteq min-elems A \cup (min-elems B - A)$   
 $\langle proof \rangle$

**lemma** mono-Throw[simp] :  $\langle P \Theta a \in A. Q a \sqsubseteq P' \Theta a \in A. Q' a \rangle$

**if**  $\langle P \sqsubseteq P' \rangle$  **and**  $\langle \bigwedge a. a \in A \implies a \in \alpha(P) \implies Q a \sqsubseteq Q' a \rangle$

$\langle proof \rangle$

**lemma** *mono-Throw-eq* :  
 $\langle (\bigwedge a. a \in A \implies a \in \alpha(P) \implies Q a = Q' a) \implies$   
 $P \Theta a \in A. Q a = P \Theta a \in A. Q' a \rangle$   
 $\langle proof \rangle$

### 3.4.4 Properties

**lemma** *Throw-STOP [simp]* :  $\langle STOP \Theta a \in A. Q a = STOP \rangle$   
 $\langle proof \rangle$

**lemma** *Throw-is-STOP-iff* :  $\langle P \Theta a \in A. Q a = STOP \longleftrightarrow P = STOP \rangle$   
 $\langle proof \rangle$

**lemma** *Throw-SKIP [simp]* :  $\langle SKIP r \Theta a \in A. Q a = SKIP r \rangle$   
 $\langle proof \rangle$

**lemma** *Throw-BOT [simp]* :  $\langle \perp \Theta a \in A. Q a = \perp \rangle$   
 $\langle proof \rangle$

**lemma** *Throw-is-BOT-iff* :  $\langle P \Theta a \in A. Q a = \perp \longleftrightarrow P = \perp \rangle$   
 $\langle proof \rangle$

**lemma** *Throw-empty-set [simp]* :  $\langle P \Theta a \in \{\}. Q a = P \rangle$   
 $\langle proof \rangle$

**lemma** *Throw-is-restrictable-on-events-of* :  
 $\langle P \Theta a \in A. Q a = P \Theta a \in (A \cap \alpha(P)). Q a \rangle$  (is  $\langle ?lhs = ?rhs \rangle$ )  
— A stronger version where  $\alpha(P)$  is replaced by  $\alpha(P) \cup \{a. \exists t. t @ [ev a] \in min-elems (\mathcal{D} P)\}$  is probably true.  
 $\langle proof \rangle$

**lemma** *Throw-disjoint-events-of* :  $\langle A \cap \alpha(P) = \{\} \implies P \Theta a \in A. Q a = P \rangle$   
 $\langle proof \rangle$

### 3.4.5 Continuity

context begin

**private lemma** *chain-Throw-left* :  $\langle chain Y \implies chain (\lambda i. Y i \Theta a \in A. Q a) \rangle$   
 $\langle proof \rangle$  **lemma** *chain-Throw-right* :  $\langle chain Y \implies chain (\lambda i. P \Theta a \in A. Y i a) \rangle$   
 $\langle proof \rangle$  **lemma** *cont-left-prem-Throw* :  
 $\langle (\bigsqcup i. Y i) \Theta a \in A. Q a = (\bigsqcup i. Y i \Theta a \in A. Q a) \rangle$   
(is  $\langle ?lhs = ?rhs \rangle$ ) if  $\langle chain Y \rangle$   
 $\langle proof \rangle$  **lemma** *cont-right-prem-Throw* :  
 $\langle P \Theta a \in A. (\bigsqcup i. Y i a) = (\bigsqcup i. P \Theta a \in A. Y i a) \rangle$   
(is  $\langle ?lhs = ?rhs \rangle$ ) if  $\langle chain Y \rangle$

$\langle proof \rangle$

```

lemma Throw-cont[simp] :
  assumes cont-f : <cont f> and cont-g : < $\forall a. cont(g a)$ >
  shows <cont ( $\lambda x. f x \Theta a \in A. g a x$ )>
  <proof>

end

```

## 3.5 The Interrupt Operator

### 3.5.1 Definition

We want to add the binary operator of interruption of  $P$  by  $Q$ : it behaves like  $P$  except that at any time  $Q$  can take over.

The definition provided by Roscoe [3, p.239] does not respect the invariant *is-process*: it seems like *tick* is not handled.

We propose here our corrected version.

```

lift-definition Interrupt :: <[('a, 'r) processptick, ('a, 'r) processptick]  $\Rightarrow$  ('a, 'r)
processptick> (infixl  $\triangleleft$  81)
  is < $\lambda P\ Q.$ 
     $\{ \{ (t @ [\checkmark(r)], X) \mid t\ r\ X. t @ [\checkmark(r)] \in \mathcal{T}\ P \} \cup$ 
     $\{ (t, X - \{\checkmark(r)\}) \mid t\ r\ X. t @ [\checkmark(r)] \in \mathcal{T}\ P \} \cup$ 
     $\{ (t, X). (t, X) \in \mathcal{F}\ P \wedge tF\ t \wedge ([] , X) \in \mathcal{F}\ Q \} \cup$ 
     $\{ (t @ u, X) \mid t\ u\ X. t \in \mathcal{T}\ P \wedge tF\ t \wedge (u, X) \in \mathcal{F}\ Q \wedge u \neq [] \} \cup$ 
     $\{ (t, X - \{\checkmark(r)\}) \mid t\ r\ X. t \in \mathcal{T}\ P \wedge tF\ t \wedge [\checkmark(r)] \in \mathcal{T}\ Q \} \cup$ 
     $\{ (t, X). t \in \mathcal{D}\ P \} \cup$ 
     $\{ (t @ u, X) \mid t\ u\ X. t \in \mathcal{T}\ P \wedge tF\ t \wedge u \in \mathcal{D}\ Q \},$ 
     $\mathcal{D}\ P \cup \{ t @ u \mid t\ u. t \in \mathcal{T}\ P \wedge tF\ t \wedge u \in \mathcal{D}\ Q \}>$ 
  <proof>

```

### 3.5.2 Projections

```

lemma F-Interrupt :
  < $\mathcal{F}\ (P \triangleleft Q) =$ 
     $\{ (t @ [\checkmark(r)], X) \mid t\ r\ X. t @ [\checkmark(r)] \in \mathcal{T}\ P \} \cup$ 
     $\{ (t, X - \{\checkmark(r)\}) \mid t\ r\ X. t @ [\checkmark(r)] \in \mathcal{T}\ P \} \cup$ 
     $\{ (t, X). (t, X) \in \mathcal{F}\ P \wedge tF\ t \wedge ([] , X) \in \mathcal{F}\ Q \} \cup$ 
     $\{ (t @ u, X) \mid t\ u\ X. t \in \mathcal{T}\ P \wedge tF\ t \wedge (u, X) \in \mathcal{F}\ Q \wedge u \neq [] \} \cup$ 
     $\{ (t, X - \{\checkmark(r)\}) \mid t\ r\ X. t \in \mathcal{T}\ P \wedge tF\ t \wedge [\checkmark(r)] \in \mathcal{T}\ Q \} \cup$ 
     $\{ (t, X). t \in \mathcal{D}\ P \} \cup$ 
     $\{ (t @ u, X) \mid t\ u\ X. t \in \mathcal{T}\ P \wedge tF\ t \wedge u \in \mathcal{D}\ Q \}>$ 
  <proof>

```

$\langle proof \rangle$

**lemma** *D-Interrupt* :

$\langle \mathcal{D} (P \triangle Q) = \mathcal{D} P \cup \{t @ u \mid t u. t \in \mathcal{T} P \wedge tF t \wedge u \in \mathcal{D} Q\} \rangle$   
 $\langle proof \rangle$

**lemma** *T-Interrupt* :

$\langle \mathcal{T} (P \triangle Q) = \mathcal{T} P \cup \{t @ u \mid t u. t \in \mathcal{T} P \wedge tF t \wedge u \in \mathcal{T} Q\} \rangle$   
 $\langle proof \rangle$

**lemmas** *Interrupt-projs* = *F-Interrupt* *D-Interrupt* *T-Interrupt*

### 3.5.3 Monotony

**lemma** *mono-Interrupt* :  $\langle P \triangle Q \sqsubseteq P' \triangle Q' \rangle$  **if**  $\langle P \sqsubseteq P' \rangle$  **and**  $\langle Q \sqsubseteq Q' \rangle$   
 $\langle proof \rangle$

### 3.5.4 Properties

**lemma** *Interrupt-STOP [simp]* :  $\langle P \triangle STOP = P \rangle$   
 $\langle proof \rangle$

**lemma** *STOP-Interrupt [simp]* :  $\langle STOP \triangle P = P \rangle$   
 $\langle proof \rangle$

**lemma** *Interrupt-is-STOP-iff* :  $\langle P \triangle Q = STOP \longleftrightarrow P = STOP \wedge Q = STOP \rangle$   
 $\langle proof \rangle$

**lemma** *Interrupt-BOT [simp]* :  $\langle P \triangle \perp = \perp \rangle$   
**and** *BOT-Interrupt [simp]* :  $\langle \perp \triangle P = \perp \rangle$   
 $\langle proof \rangle$

**lemma** *Interrupt-is-BOT-iff* :  $\langle P \triangle Q = \perp \longleftrightarrow P = \perp \vee Q = \perp \rangle$   
 $\langle proof \rangle$

**lemma** *SKIP-Interrupt-is-SKIP-Det* :  $\langle SKIP r \triangle P = SKIP r \square P \rangle$   
 $\langle proof \rangle$

**lemma** *Interrupt-assoc*:  $\langle P \triangle (Q \triangle R) = P \triangle Q \triangle R \rangle$  (**is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle proof \rangle$

### 3.5.5 Continuity

**context** begin

**private lemma** *chain-Interrupt-left*:  $\langle chain Y \implies chain (\lambda i. Y i \triangle Q) \rangle$

```

⟨proof⟩ lemma chain-Interrupt-right: ⟨chain Y ⇒ chain (λi. P △ Y i)⟩
⟨proof⟩ lemma cont-left-prem-Interrupt : ⟨(⊔ i. Y i) △ Q = (⊔ i. Y i △ Q)⟩
  (is ⟨?lhs = ?rhs⟩) if chain : ⟨chain Y⟩
⟨proof⟩ lemma cont-right-prem-Interrupt : ⟨S △ (⊔ i. Y i) = (⊔ i. S △ Y i)⟩ if
  ⟨chain Y⟩
⟨proof⟩

```

```

lemma Interrupt-cont [simp] :
  ⟨cont (λx. f x △ g x)⟩ if ⟨cont f⟩ and ⟨cont g⟩
⟨proof⟩

```

end

## 3.6 Monotonies

### 3.6.1 The Throw Operator

```

lemma mono-Throw-F-right :
  ⟨(λa. a ∈ A ⇒ a ∈ α(P) ⇒ Q a ⊑_F Q' a) ⇒ P Θ a ∈ A. Q a ⊑_F P Θ a
  ∈ A. Q' a⟩
⟨proof⟩

```

```

lemma mono-Throw-T-right :
  ⟨(λa. a ∈ A ⇒ a ∈ α(P) ⇒ Q a ⊑_T Q' a) ⇒ P Θ a ∈ A. Q a ⊑_T P Θ a
  ∈ A. Q' a⟩
⟨proof⟩

```

```

lemma mono-Throw-D-right :
  ⟨(λa. a ∈ A ⇒ a ∈ α(P) ⇒ Q a ⊑_D Q' a) ⇒ P Θ a ∈ A. Q a ⊑_D P Θ a
  ∈ A. Q' a⟩
⟨proof⟩

```

```

lemma mono-Throw-FD : ⟨P Θ a ∈ A. Q a ⊑_FD P' Θ a ∈ A. Q' a⟩
  if ⟨P ⊑_FD P'⟩ and ⟨λa. a ∈ A ⇒ a ∈ α(P) ⇒ Q a ⊑_FD Q' a⟩
⟨proof⟩

```

```

lemma mono-Throw-DT : ⟨P Θ a ∈ A. Q a ⊑_DT P' Θ a ∈ A. Q' a⟩
  if ⟨P ⊑_DT P'⟩ and ⟨λa. a ∈ A ⇒ a ∈ α(P) ⇒ Q a ⊑_DT Q' a⟩
⟨proof⟩

```

```

lemmas monos-Throw = mono-Throw mono-Throw-FD mono-Throw-DT
mono-Throw-F-right mono-Throw-D-right mono-Throw-T-right

```

### 3.6.2 The Interrupt Operator

**lemma** *mono-Interrupt-T*:  $\langle P \sqsubseteq_T P' \Rightarrow Q \sqsubseteq_T Q' \Rightarrow P \triangle Q \sqsubseteq_T P' \triangle Q' \rangle$

*(proof)*

**lemma** *mono-Interrupt-D-right* :  $\langle Q \sqsubseteq_D Q' \Rightarrow P \triangle Q \sqsubseteq_D P \triangle Q' \rangle$

*(proof)*

**lemma** *mono-Interrupt-FD*:

$\langle P \sqsubseteq_{FD} P' \Rightarrow Q \sqsubseteq_{FD} Q' \Rightarrow P \triangle Q \sqsubseteq_{FD} P' \triangle Q' \rangle$

*(proof)*

**lemma** *mono-Interrupt-DT*:

$\langle P \sqsubseteq_{DT} P' \Rightarrow Q \sqsubseteq_{DT} Q' \Rightarrow P \triangle Q \sqsubseteq_{DT} P' \triangle Q' \rangle$

*(proof)*

**lemmas** *monos-Interrupt* = *mono-Interrupt mono-Interrupt-FD mono-Interrupt-DT*  
*mono-Interrupt-D-right mono-Interrupt-T*

### 3.6.3 Global Deterministic Choice

**lemma** *mono-GlobalDet-DT* :  $\langle (\bigwedge a. a \in A \Rightarrow P a \sqsubseteq_{DT} Q a) \Rightarrow (\Box a \in A. P a) \sqsubseteq_{DT} (\Box a \in A. Q a) \rangle$

**and** *mono-GlobalDet-T* :  $\langle (\bigwedge a. a \in A \Rightarrow P a \sqsubseteq_T Q a) \Rightarrow (\Box a \in A. P a) \sqsubseteq_T (\Box a \in A. Q a) \rangle$

**and** *mono-GlobalDet-D* :  $\langle (\bigwedge a. a \in A \Rightarrow P a \sqsubseteq_D Q a) \Rightarrow (\Box a \in A. P a) \sqsubseteq_D (\Box a \in A. Q a) \rangle$

*(proof)*

**lemma** *mono-GlobalDet-FD* :  $\langle (\bigwedge a. a \in A \Rightarrow P a \sqsubseteq_{FD} Q a) \Rightarrow (\Box a \in A. P a) \sqsubseteq_{FD} (\Box a \in A. Q a) \rangle$

*(proof)*

**lemmas** *monos-GlobalDet* = *mono-GlobalDet mono-GlobalDet-FD mono-GlobalDet-DT*  
*mono-GlobalDet-T mono-GlobalDet-D*

**lemma** *GlobalNdet-FD-GlobalDet* :  $\langle (\Box a \in A. P a) \sqsubseteq_{FD} (\Box a \in A. P a) \rangle$

**and** *GlobalNdet-DT-GlobalDet* :  $\langle (\Box a \in A. P a) \sqsubseteq_{DT} (\Box a \in A. P a) \rangle$

**and** *GlobalNdet-F-GlobalDet* :  $\langle (\Box a \in A. P a) \sqsubseteq_F (\Box a \in A. P a) \rangle$

**and** *GlobalNdet-T-GlobalDet* :  $\langle (\Box a \in A. P a) \sqsubseteq_T (\Box a \in A. P a) \rangle$

**and** *GlobalNdet-D-GlobalDet* :  $\langle (\Box a \in A. P a) \sqsubseteq_D (\Box a \in A. P a) \rangle$

*(proof)*

**lemmas** *GlobalNdet-le-GlobalDet* = *GlobalNdet-FD-GlobalDet GlobalNdet-DT-GlobalDet*

*GlobalNdet-F-GlobalDet GlobalNdet-T-GlobalDet GlobalNdet-D-GlobalDet*

### 3.6.4 Multiple Synchronization Product

**lemma** *mono-MultiSync-FD* :

$\langle (\bigwedge m. m \in \# M \implies P m \sqsubseteq_{FD} Q m) \implies ([\![S]\!] m \in \# M. P m) \sqsubseteq_{FD} ([\![S]\!] m \in \# M. Q m) \rangle$

**and mono-MultiSync-DT :**

$\langle (\bigwedge m. m \in \# M \implies P m \sqsubseteq_{DT} Q m) \implies ([\![S]\!] m \in \# M. P m) \sqsubseteq_{DT} ([\![S]\!] m \in \# M. Q m) \rangle$   
 $\langle proof \rangle$

**lemmas** *mono-MultiInter-FD* = *mono-MultiSync-FD*[**where**  $S = \langle \{ \} \rangle$ ]

**and** *mono-MultiInter-DT* = *mono-MultiSync-DT*[**where**  $S = \langle \{ \} \rangle$ ]

**and** *mono-MultiPar-FD* = *mono-MultiSync-FD*[**where**  $S = \langle UNIV \rangle$ ]

**and** *mono-MultiPar-DT* = *mono-MultiSync-DT*[**where**  $S = \langle UNIV \rangle$ ]

**lemmas** *monos-MultiSync* = *mono-MultiSync* *mono-MultiSync-FD* *mono-MultiSync-DT*

**and** *monos-MultiPar* = *mono-MultiPar* *mono-MultiPar-FD* *mono-MultiPar-DT*

**and** *monos-MultiInter* = *mono-MultiInter* *mono-MultiInter-FD* *mono-MultiInter-DT*

Monotony doesn't hold for  $(\sqsubseteq_F)$ ,  $(\sqsubseteq_T)$  and  $(\sqsubseteq_D)$ .

### 3.6.5 Multiple Sequential Composition

**lemma** *mono-MultiSeq-FD* :

$\langle (\bigwedge x. x \in set L \implies P x \sqsubseteq_{FD} Q x) \implies SEQ l \in @ L. P l \sqsubseteq_{FD} SEQ l \in @ L. Q l \rangle$

**and** *mono-MultiSeq-DT* :

$\langle (\bigwedge x. x \in set L \implies P x \sqsubseteq_{DT} Q x) \implies SEQ l \in @ L. P l \sqsubseteq_{DT} SEQ l \in @ L. Q l \rangle$

$\langle proof \rangle$

**lemmas** *monos-MultiSeq* = *mono-MultiSeq* *mono-MultiSeq-FD* *mono-MultiSeq-FD*

### 3.6.6 The Throw Operator

**lemma** *Throw-distrib-Ndet-right* :

$\langle P \sqcap P' \Theta a \in A. Q a = (P \Theta a \in A. Q a) \sqcap (P' \Theta a \in A. Q a) \rangle$

**and** *Throw-distrib-Ndet-left* :

$\langle P \Theta a \in A. Q a \sqcap Q' a = (P \Theta a \in A. Q a) \sqcap (P \Theta a \in A. Q' a) \rangle$

$\langle proof \rangle$

**lemma** *Throw-distrib-GlobalNdet-right* :

$\langle (\sqcap a \in A. P a) \Theta b \in B. Q b = \sqcap a \in A. (P a \Theta b \in B. Q b) \rangle$

**and** *Throw-distrib-GlobalNdet-left* :

$\langle P' \Theta a \in A. (\sqcap b \in B. Q' a b) =$

$(if B = \{ \} then P' \Theta a \in A. STOP else \sqcap b \in B. (P' \Theta a \in A. Q' a b)) \rangle$

$\langle proof \rangle$

### 3.6.7 The Interrupt Operator

**lemma** *Interrupt-distrib-GlobalNdet-left* :  
 $\langle P \triangle (\Box a \in A. Q a) = (\text{if } A = \{\} \text{ then } P \text{ else } \Box a \in A. P \triangle Q a) \rangle$   
 $\langle \text{is } \langle ?lhs = (\text{if } - \text{ then } - \text{ else } ?rhs) \rangle \rangle$   
 $\langle proof \rangle$

**lemma** *Interrupt-distrib-GlobalNdet-right* :  
 $\langle (\Box a \in A. P a) \triangle Q = (\text{if } A = \{\} \text{ then } Q \text{ else } \Box a \in A. P a \triangle Q) \rangle$   
 $\langle \text{is } \langle ?lhs = (\text{if } - \text{ then } - \text{ else } ?rhs) \rangle \rangle$   
 $\langle proof \rangle$

**corollary** *Interrupt-distrib-Ndet-left* :  $\langle P \triangle Q1 \sqcap Q2 = (P \triangle Q1) \sqcap (P \triangle Q2) \rangle$   
 $\langle proof \rangle$

**corollary** *Interrupt-distrib-Ndet-right* :  $\langle P1 \sqcap P2 \triangle Q = (P1 \triangle Q) \sqcap (P2 \triangle Q) \rangle$   
 $\langle proof \rangle$

### 3.6.8 Global Deterministic Choice

**lemma** *GlobalDet-distrib-Ndet-left* :  
 $\langle (\Box a \in A. P a \sqcap Q) = (\text{if } A = \{\} \text{ then } STOP \text{ else } (\Box a \in A. P a) \sqcap Q) \rangle$   
 $\langle proof \rangle$

**lemma** *GlobalDet-distrib-Ndet-right* :  
 $\langle (\Box a \in A. P \sqcap Q a) = (\text{if } A = \{\} \text{ then } STOP \text{ else } P \sqcap (\Box a \in A. Q a)) \rangle$   
 $\langle proof \rangle$

**lemma** *Ndet-distrib-GlobalDet-left* :  
 $\langle P \sqcap (\Box a \in A. Q a) = (\text{if } A = \{\} \text{ then } P \sqcap STOP \text{ else } \Box a \in A. P \sqcap Q a) \rangle$   
 $\langle proof \rangle$

**lemma** *Ndet-distrib-GlobalDet-right* :  
 $\langle (\Box a \in A. P a) \sqcap Q = (\text{if } A = \{\} \text{ then } Q \sqcap STOP \text{ else } \Box a \in A. P a \sqcap Q) \rangle$   
 $\langle proof \rangle$

## 3.7 The Step-Laws

The step-laws describe the behaviour of the operators wrt. the multi-prefix choice.

### 3.7.1 The Throw Operator

**lemma** *Throw-Mprefix*:

$$\langle (\square a \in A \rightarrow P a) \Theta b \in B. Q b = \\ \square a \in A \rightarrow (\text{if } a \in B \text{ then } Q a \text{ else } P a \Theta b \in B. Q b) \rangle \\ (\mathbf{is} \langle ?lhs = ?rhs \rangle) \\ \langle proof \rangle$$

### 3.7.2 The Interrupt Operator

**lemma** *Interrupt-Mprefix*:

$$\langle (\square a \in A \rightarrow P a) \Delta Q = Q \square (\square a \in A \rightarrow P a \Delta Q) \rangle (\mathbf{is} \langle ?lhs = ?rhs \rangle) \\ \langle proof \rangle$$

### 3.7.3 Global Deterministic Choice

**lemma** *GlobalDet-Mprefix* :

$$\langle (\square a \in A. \square b \in B a \rightarrow P a b) = \\ \square b \in (\bigcup a \in A. B a) \rightarrow \square a \in \{a \in A. b \in B a\}. P a b \rangle (\mathbf{is} \langle ?lhs = ?rhs \rangle) \\ \langle proof \rangle$$

### 3.7.4 Multiple Synchronization Product

**lemma** *MultiSync-Mprefix-pseudo-distrib*:

$$\langle ([S] B \in \# M. \square x \in B \rightarrow P B x) = \\ \square x \in (\bigcap B \in \text{set-mset } M. B) \rightarrow ([S] B \in \# M. P B x) \rangle \\ \mathbf{if} \text{ nonempty: } \langle M \neq \{\#\} \rangle \text{ and } \mathbf{hyp: } \langle \bigwedge B. B \in \# M \implies B \subseteq S \rangle \\ \langle proof \rangle$$

**lemmas** *MultiPar-Mprefix-pseudo-distrib* =  
*MultiSync-Mprefix-pseudo-distrib* [**where**  $S = \langle \text{UNIV} \rangle$ , simplified]

### 3.7.5 The Throw Operator

**lemma** *Throw-Mndetprefix*:

$$\langle (\square a \in A \rightarrow P a) \Theta b \in B. Q b = \\ \square a \in A \rightarrow (\text{if } a \in B \text{ then } Q a \text{ else } P a \Theta b \in B. Q b) \rangle \\ \langle proof \rangle$$

### 3.7.6 The Interrupt Operator

**lemma** *Interrupt-Mndetprefix*:

$\langle (\Box a \in A \rightarrow P a) \triangle Q = Q \Box (\Box a \in A \rightarrow P a \triangle Q) \rangle$   
 $\langle proof \rangle$



# Chapter 4

## CSPM Laws

### 4.0.1 The Throw Operator

**lemma** *Throw-read* :

$$\langle \text{inj-on } c A \implies (c? a \in A \rightarrow P a) \Theta a \in B. Q a = \\ c? a \in A \rightarrow (\text{if } c a \in B \text{ then } Q (c a) \text{ else } P a \Theta a \in B. Q a) \rangle \\ \langle \text{proof} \rangle$$

**lemma** *Throw-ndet-write* :

$$\langle \text{inj-on } c A \implies (c!! a \in A \rightarrow P a) \Theta a \in B. Q a = \\ c!! a \in A \rightarrow (\text{if } c a \in B \text{ then } Q (c a) \text{ else } P a \Theta a \in B. Q a) \rangle \\ \langle \text{proof} \rangle$$

**lemma** *Throw-write* :

$$\langle (c! a \rightarrow P) \Theta a \in B. Q a = c! a \rightarrow (\text{if } c a \in B \text{ then } Q (c a) \text{ else } P \Theta a \in B. Q a) \rangle \\ \langle \text{proof} \rangle$$

**lemma** *Throw-write0* :

$$\langle (a \rightarrow P) \Theta a \in B. Q a = a \rightarrow (\text{if } a \in B \text{ then } Q a \text{ else } P \Theta a \in B. Q a) \rangle \\ \langle \text{proof} \rangle$$

### 4.0.2 The Interrupt Operator

**lemma** *Interrupt-read* :

$$\langle (c? a \in A \rightarrow P a) \triangle Q = Q \square (c? a \in A \rightarrow P a \triangle Q) \rangle \\ \langle \text{proof} \rangle$$

**lemma** *Interrupt-ndet-write* :

$$\langle (c!! a \in A \rightarrow P a) \triangle Q = Q \square (c!! a \in A \rightarrow P a \triangle Q) \rangle \\ \langle \text{proof} \rangle$$

**lemma** *Interrupt-write* :  $\langle (c! a \rightarrow P) \triangle Q = Q \square (c! a \rightarrow P \triangle Q) \rangle$

**lemma** *Interrupt-write0* :  $\langle (a \rightarrow P) \triangle Q = Q \square (a \rightarrow P \triangle Q) \rangle$

$\langle proof \rangle$

#### 4.0.3 Global Deterministic Choice

**lemma** *GlobalDet-read* :

$$\langle \square a \in A. c?b \in B a \rightarrow P a b = c?b \in (\bigcup a \in A. B a) \rightarrow \sqcap a \in \{a \in A. b \in B a\}. P a b, \text{if } \langle inj-on \ c (\bigcup a \in A. B a) \rangle \rangle$$

$\langle proof \rangle$

**lemma** *GlobalDet-write* :

$$\langle \square a \in A. c!(b a) \rightarrow P a = c?x \in b ` A \rightarrow \sqcap a \in \{a \in A. x = b a\}. P a \text{ if } \langle inj-on \ c (b ` A) \rangle \rangle$$

$\langle proof \rangle$

**lemma** *GlobalDet-write0* :

$$\langle \square a \in A. b a \rightarrow P a = \square x \in (b ` A) \rightarrow \sqcap a \in \{a \in A. x = b a\}. P a \rangle$$

$\langle proof \rangle$

#### 4.0.4 Multiple Synchronization Product

### 4.1 Results for Throw

#### 4.1.1 Laws for Throw

**lemma** *Throw-GlobalDet* :

$$\langle (\square a \in A. P a) \Theta b \in B. Q b = \square a \in A. P a \Theta b \in B. Q b \text{ if } \langle ?lhs = ?rhs \rangle \rangle$$

$\langle proof \rangle$

**lemma** *Throw-GlobalNdetR* :

$$\langle P \Theta a \in A. \sqcap b \in B. Q a b = \\ (\text{if } B = \{\} \text{ then } P \Theta a \in A. STOP \text{ else } \square b \in B. P \Theta a \in A. Q a b) \text{ if } \langle ?lhs = (if - then - else ?rhs) \rangle \rangle$$

$\langle proof \rangle$

**corollary** *Throw-Det* :  $\langle P \square P' \Theta a \in A. Q a = (P \Theta a \in A. Q a) \square (P' \Theta a \in A. Q a) \rangle$

$\langle proof \rangle$

**corollary** *Throw-NdetR* :  $\langle P \Theta a \in A. Q a \sqcap Q' a = (P \Theta a \in A. Q a) \sqcap (P \Theta a \in A. Q' a) \rangle$

$\langle proof \rangle$

#### 4.1.2 Laws for Sync

**lemma** *Sync-GlobalNdet-cartprod*:

$\langle (\sqcap (a, b) \in A \times B. (P a \llbracket S \rrbracket Q b)) =$   
 $(\text{if } A = \{\} \vee B = \{\} \text{ then STOP else } (\sqcap a \in A. P a) \llbracket S \rrbracket (\sqcap b \in B. Q b)) \rangle$   
 $\langle \text{proof} \rangle$

**lemmas** *Inter-GlobalNdet-cartprod* = *Sync-GlobalNdet-cartprod*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *Par-GlobalNdet-cartprod* = *Sync-GlobalNdet-cartprod*[**where**  $S = \text{UNIV}$ ]

**lemma** *MultiSync-Hiding-pseudo-distrib*:

$\langle \text{finite } A \implies A \cap S = \{\} \implies (\llbracket S \rrbracket p \in \# M. (P p \setminus A)) = (\llbracket S \rrbracket p \in \# M. P p)$   
 $\setminus A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *MultiSync-prefix-pseudo-distrib*:

$\langle M \neq \{\#\} \implies a \in S \implies (\llbracket S \rrbracket p \in \# M. (a \rightarrow P p)) = (a \rightarrow (\llbracket S \rrbracket p \in \# M. P p)) \rangle$   
 $\langle \text{proof} \rangle$

**lemmas** *MultiInter-Hiding-pseudo-distrib* =

*MultiSync-Hiding-pseudo-distrib*[**where**  $S = \langle \{\} \rangle$ , simplified]

**and** *MultiPar-prefix-pseudo-distrib* =

*MultiSync-prefix-pseudo-distrib*[**where**  $S = \langle \text{UNIV} \rangle$ , simplified]

A result on MnDetprefix and Sync.

**lemma** *MnDetprefix-Sync-distr*:  $\langle A \neq \{\} \implies B \neq \{\} \implies$   
 $(\sqcap a \in A \rightarrow P a) \llbracket S \rrbracket (\sqcap b \in B \rightarrow Q b) =$   
 $\sqcap a \in A. \sqcap b \in B. (\square c \in (\{a\} - S) \rightarrow (P a \llbracket S \rrbracket (b \rightarrow Q b))) \square$   
 $(\square d \in (\{b\} - S) \rightarrow ((a \rightarrow P a) \llbracket S \rrbracket Q b)) \square$   
 $(\square c \in (\{a\} \cap \{b\} \cap S) \rightarrow (P a \llbracket S \rrbracket Q b)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\langle A \neq \{\} \implies B \neq \{\} \implies (\sqcap a \in A \rightarrow P a) \llbracket S \rrbracket (\sqcap b \in B \rightarrow Q b) =$   
 $\sqcap a \in A. \sqcap b \in B. (\text{if } a \in S \text{ then STOP else } (a \rightarrow (P a \llbracket S \rrbracket (b \rightarrow Q b)))) \square$   
 $(\text{if } b \in S \text{ then STOP else } (b \rightarrow ((a \rightarrow P a) \llbracket S \rrbracket Q b))) \square$   
 $(\text{if } a = b \wedge a \in S \text{ then } (a \rightarrow (P a \llbracket S \rrbracket Q a)) \text{ else STOP}) \rangle$   
 $\langle \text{proof} \rangle$

#### 4.1.3 GlobalDet, GlobalNdet and write0

**lemma** *GlobalDet-write0-is-GlobalNdet-write0*:

$\langle (\square p \in A. (a \rightarrow P p)) = \sqcap p \in A. (a \rightarrow P p) \rangle$  (**is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle \text{proof} \rangle$

**lemma** *write0-GlobalNdet-bis*:

$\langle A \neq \{\} \implies (a \rightarrow (\sqcap p \in A. P p)) = \square p \in A. (a \rightarrow P p) \rangle$   
 $\langle \text{proof} \rangle$

## 4.2 Some Results on Renaming

**lemma** *Renaming-GlobalNdet*:

$\langle \text{Renaming } (\sqcap a \in A. P(f a)) f g = \sqcap b \in f' A. \text{Renaming } (P b) f g \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Renaming-GlobalNdet-inj-on*:

$\langle \text{Renaming } (\sqcap a \in A. P a) f g =$   
 $\sqcap b \in f' A. \text{Renaming } (P (\text{THE } a. a \in A \wedge f a = b)) f g \rangle$   
**if** *inj-on-f*:  $\langle \text{inj-on } f A \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *Renaming-GlobalNdet-inj*:

$\langle \text{Renaming } (\sqcap a \in A. P a) f g =$   
 $\sqcap b \in f' A. \text{Renaming } (P (\text{THE } a. f a = b)) f g \rangle$  **if** *inj-f*:  $\langle \text{inj } f \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Renaming-distrib-GlobalDet* :

$\langle \text{Renaming } (\square a \in A. P a) f g = \square a \in A. \text{Renaming } (P a) f g \rangle$  (**is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle \text{proof} \rangle$

**lemma** *Renaming-Mprefix-bis* :

$\langle \text{Renaming } (\square a \in A \rightarrow P a) f g = \square a \in A. (f a \rightarrow \text{Renaming } (P a) f g) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Renaming-GlobalDet-alt*:

$\langle \text{Renaming } (\square a \in A. P (f a)) f g = \square b \in f' A. \text{Renaming } (P b) f g \rangle$   
**(is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle \text{proof} \rangle$

**lemma** *Renaming-GlobalDet-inj-on*:

$\langle \text{inj-on } f A \implies \text{Renaming } (\square a \in A. P a) f g =$   
 $\square b \in f' A. \text{Renaming } (P (\text{THE } a. a \in A \wedge f a = b)) f g \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *Renaming-GlobalDet-inj*:

$\langle \text{inj } f \implies \text{Renaming } (\square a \in A. P a) f g = \square b \in f' A. \text{Renaming } (P (\text{THE } a. f a = b)) f g \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Renaming-Interrupt* :

$\langle \text{Renaming } (P \triangle Q) f g = \text{Renaming } P f g \triangle \text{Renaming } Q f g \rangle$  (**is**  $\langle ?lhs = ?rhs \rangle$ )  
 $\langle \text{proof} \rangle$

**lemma** *inj-on-Renaming-Throw* :  
 ‹Renaming (P Θ a ∈ A. Q a) f g =  
 Renaming P f g Θ b ∈ f ‘ A. Renaming (Q (inv-into A f b)) f g›  
 (**is** ‹?lhs = ?rhs›) **if** inj-on-f : ‹inj-on f (events-of P ∪ A)›  
 ‹proof›

#### 4.2.1 Renaming and (\)

When  $f$  is one to one,  $\text{Renaming}(P \setminus S) f$  will behave like we expect it to do.

**lemma** *strict-mono-map*: ‹strict-mono g  $\implies$  strict-mono ( $\lambda i. \text{map } f (g i)$ )›  
 ‹proof›

**lemma** *trace-hide-map-map-event<sub>ptick</sub>* :  
 ‹inj-on (map-event<sub>ptick</sub> f g) (set s ∪ ev ‘ S)  $\implies$   
 trace-hide (map (map-event<sub>ptick</sub> f g) s) (ev ‘ f ‘ S) =  
 map (map-event<sub>ptick</sub> f g) (trace-hide s (ev ‘ S))›  
 ‹proof›

**lemma** *inj-on-map-event<sub>ptick-set-T</sub>*:  
 ‹inj-on (map-event<sub>ptick</sub> f g) (set s)› **if** inj-on f (events-of P)  $\langle s \in \mathcal{T} P \rangle$   
 ‹proof›

**theorem** *bij-Renaming-Hiding*: ‹Renaming (P \ S) f g = Renaming P f g \ f ‘ S›  
 (**is** ‹?lhs = ?rhs›) **if** bij-f: ‹bij f› **and** bij-g : ‹bij g›  
 ‹proof›

#### 4.2.2 Renaming and Sync

Idem for the synchronization: when  $f$  is one to one,  $\text{Renaming}(P \llbracket S \rrbracket Q)$  will behave as expected.

**lemma** *bij-map-setinterleaving-iff-setinterleaving* :  
 ‹map f r setinterleaves ((map f t, map f u), f ‘ S)  $\longleftrightarrow$   
 r setinterleaves ((t, u), S)› **if** bij-f : ‹bij f›  
 ‹proof›

**theorem** *bij-Renaming-Sync*:  
 ‹Renaming (P \llbracket S \rrbracket Q) f g = Renaming P f g \llbracket f ‘ S \rrbracket Renaming Q f g›  
 (**is** ‹?lhs P Q = ?rhs P Q›) **if** bij-f: ‹bij f› **and** bij-g : ‹bij g›  
 ‹proof›

**end**

# Chapter 5

## Results on *events-of* and *ticks-of*

### 5.1 Events

**lemma** *events-of-GlobalDet* :

$\langle \alpha(\square a \in A. P a) = (\bigcup a \in A. \alpha(P a)) \rangle$   
 $\langle proof \rangle$

**lemma** *strict-events-of-GlobalDet-subset* :  $\langle \alpha(\square a \in A. P a) \subseteq (\bigcup a \in A. \alpha(P a)) \rangle$

$\langle proof \rangle$

**lemma** *events-of-MultiSync-subset* :

$\langle \alpha(\llbracket S \rrbracket a \in \# M. P a) \subseteq (\bigcup a \in \text{set-mset } M. \alpha(P a)) \rangle$   
 $\langle proof \rangle$

**lemma** *events-of-MultiInter* :

$\langle \alpha(\|\| a \in \# M. P a) = (\bigcup a \in \text{set-mset } M. \alpha(P a)) \rangle$   
 $\langle proof \rangle$

**lemma** *strict-events-of-MultiSync-subset* :

$\langle \alpha(\llbracket S \rrbracket a \in \# M. P a) \subseteq (\bigcup a \in \text{set-mset } M. \alpha(P a)) \rangle$   
 $\langle proof \rangle$

**lemma** *events-of-Throw-subset* :

$\langle \alpha(P \Theta a \in A. Q a) \subseteq \alpha(P) \cup (\bigcup a \in A \cap \alpha(P). \alpha(Q a)) \rangle$   
 $\langle proof \rangle$

**lemma** *events-of-Interrupt* :  $\langle \alpha(P \triangle Q) = \alpha(P) \cup \alpha(Q) \rangle$

$\langle proof \rangle$

```
lemma strict-events-of-Interrupt-subset : < $\alpha(P \triangle Q) \subseteq \alpha(P) \cup \alpha(Q)$ >
  ⟨proof⟩
```

## 5.2 Ticks

```
lemma ticks-of-GlobalDet:
  < $\text{ticks-of } (\square a \in A. P a) = (\bigcup a \in A. \text{ticks-of } (P a))$ >
  ⟨proof⟩
```

```
lemma strict-ticks-of-GlobalDet-subset : < $\check{\mathbf{s}}(\square a \in A. P a) \subseteq (\bigcup a \in A. \check{\mathbf{s}}(P a))$ >
  ⟨proof⟩
```

```
lemma ticks-of-MultiSync-subset :
  < $\check{\mathbf{s}}(\llbracket S \rrbracket a \in \# M. P a) \subseteq (\bigcup a \in \text{set-mset } M. \check{\mathbf{s}}(P a))$ >
  ⟨proof⟩
```

```
lemma strict-ticks-of-MultiSync-subset :
  < $\check{\mathbf{s}}(\llbracket S \rrbracket a \in \# M. P a) \subseteq (\bigcap a \in \text{set-mset } M. \check{\mathbf{s}}(P a))$ >
  ⟨proof⟩
```

```
lemma ticks-Throw-subset :
  < $\check{\mathbf{s}}(P \Theta a \in A. Q a) \subseteq \check{\mathbf{s}}(P) \cup (\bigcup a \in A \cap \alpha(P). \check{\mathbf{s}}(Q a))$ >
  ⟨proof⟩
```

```
lemma ticks-of-Interrupt : < $\check{\mathbf{s}}(P \triangle Q) = \check{\mathbf{s}}(P) \cup \check{\mathbf{s}}(Q)$ >
  ⟨proof⟩
```

```
lemma strict-ticks-of-Interrupt-subset : < $\check{\mathbf{s}}(P \triangle Q) \subseteq \check{\mathbf{s}}(P) \cup \check{\mathbf{s}}(Q)$ >
  ⟨proof⟩
```

*events-of* and *deadlock-free*

```
lemma nonempty-events-of-if-deadlock-free: < $\text{deadlock-free } P \implies \alpha(P) \neq \{\}$ >
  ⟨proof⟩
```

```
lemma nonempty-strict-events-of-if-deadlock-free: < $\text{deadlock-free } P \implies \alpha(P) \neq \{\}$ >
  ⟨proof⟩
```

**lemma** *events-of-in-DF*:  $\langle DF A \sqsubseteq_{FD} P \implies \alpha(P) \subseteq A \rangle$   
*(proof)*

**lemma** *nonempty-events-of-if-deadlock-free<sub>SKIP</sub>*:  
 $\langle \text{deadlock-free}_{SKIPS} P \implies (\exists r. [\checkmark(r)] \in \mathcal{T} P) \vee \alpha(P) \neq \{\} \rangle$   
*(proof)*

**lemma** *events-of-in-DF<sub>SKIP</sub>*:  $\langle DF_{SKIPS} A R \sqsubseteq_{FD} P \implies \alpha(P) \subseteq A \rangle$   
*(proof)*

**lemma**  $\langle \neg \alpha(P) \subseteq A \implies \neg DF A \sqsubseteq_{FD} P \rangle$   
**and**  $\langle \neg \alpha(P) \subseteq A \implies \neg DF_{SKIPS} A R \sqsubseteq_{FD} P \rangle$   
*(proof)*

**lemma** *chain Y*  $\implies \alpha(\bigsqcup i. Y i) = (\bigcup i. \alpha(Y i))$   
*(proof)*

**lemma** *f1 : chain Y*  $\implies \alpha(\bigsqcup i. Y i) = (\bigcup i. \alpha(Y i))$   
*(proof)*

**find-theorems Lub**

**lemma** *f2 : chain Y*  $\implies \mathcal{D}(Y i) = \{\} \implies (\bigcup i. \alpha(Y i)) = \alpha(Y i)$   
*(proof)*



# Chapter 6

## Deadlock results

When working with the interleaving  $P \parallel Q$ , we intuitively expect it to be *deadlock-free* when both  $P$  and  $Q$  are.

This chapter contains several results about deadlock notion, and concludes with a proof of the theorem we just mentioned.

### 6.1 Unfolding lemmas for the projections of $DF$ and $DF_{SKIPS}$

$DF$  and  $DF_{SKIPS}$  naturally appear when we work around *deadlock-free* and  $\text{deadlock-free}_{SKIPS}$  notions (because

$$\begin{aligned} \text{deadlock-free } P &\equiv DF \text{ UNIV } \sqsubseteq_{FD} P \\ \text{deadlock-free}_{SKIPS} P &\equiv DF_{SKIPS} \text{ UNIV } UNIV \sqsubseteq_F P. \end{aligned}$$

It is therefore convenient to have the following rules for unfolding the projections.

**lemma  $F\text{-}DF$ :**

$$\begin{aligned} \langle \mathcal{F} (DF A) = & \\ (\text{if } A = \{\}) \text{ then } & \{(s, X). s = []\} \\ \text{else } (\bigcup_{a \in A. \{[]\}} \times \{X. ev a \notin X\} \cup \{(ev a \# s, X) | s X. (s, X) \in \mathcal{F} (DF A)\}) \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma  $F\text{-}DF_{SKIPS}$ :**

$$\begin{aligned} \langle \mathcal{F} (DF_{SKIPS} A R) = & \\ (\text{if } A = \{\}) \text{ then } & \{(s, X). s = [] \vee (\exists r \in R. s = [\checkmark(r)])\} \\ \text{else } (\bigcup_{a \in A. \{[]\}} \times \{X. ev a \notin X\} \cup & \\ \{(ev a \# s, X) | s X. (s, X) \in \mathcal{F} (DF_{SKIPS} A R)\}) \cup & \\ (\text{if } R = \{\}) \text{ then } & \{(s, X). s = []\} \\ \text{else } \{([], X) | X. \exists r \in R. \checkmark(r) \notin X\} \cup & \\ \{(s, X). \exists r \in R. s = [\checkmark(r)]\}) \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**corollary** *Cons-F-DF*:

$\langle (x \# t, X) \in \mathcal{F}(DF A) \implies (t, X) \in \mathcal{F}(DF A) \rangle$

**and** *Cons-F-DF<sub>SKIPS</sub>*:

$\langle x \notin \text{tick} ' R \implies (x \# t, X) \in \mathcal{F}(DF_{SKIPS} A R) \implies (t, X) \in \mathcal{F}(DF_{SKIPS} A R) \rangle$

$\langle \text{proof} \rangle$

**lemma** *D-DF*:  $\langle \mathcal{D}(DF A) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } \{ev a \# s \mid a \in A \wedge s \in \mathcal{D}(DF A)\}) \rangle$

**and** *D-DF<sub>SKIPS</sub>*:  $\langle \mathcal{D}(DF_{SKIPS} A R) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } \{ev a \# s \mid a \in A \wedge s \in \mathcal{D}(DF_{SKIPS} A R)\}) \rangle$

$\langle \text{proof} \rangle$

**thm** *T-SKIPS[of R]*

**lemma** *T-DF*:

$\langle \mathcal{T}(DF A) = (\text{if } A = \{\} \text{ then } [] \text{ else insert } [] \{ev a \# s \mid a \in A \wedge s \in \mathcal{T}(DF A)\}) \rangle$

**and** *T-DF<sub>SKIPS</sub>*:

$\langle \mathcal{T}(DF_{SKIPS} A R) = (\text{if } A = \{\} \text{ then insert } [] \{[\checkmark(r)] \mid r \in R\}$

$\text{else } \{s. s = [] \vee (\exists r \in R. s = [\checkmark(r)]) \vee$

$s \neq [] \wedge (\exists a \in A. hd s = ev a \wedge tl s \in \mathcal{T}(DF_{SKIPS} A R))\}) \rangle$

$\langle \text{proof} \rangle$

## 6.2 Characterizations for *deadlock-free*, *deadlock-free<sub>SKIPS</sub>*

We want more results like  $\text{deadlock-free } (P \sqcap Q) = (\text{deadlock-free } P \wedge \text{deadlock-free } Q)$ , and we want to add the reciprocal when possible.

The first thing we notice is that we only have to care about the failures

**lemma**  $\langle \text{deadlock-free}_{SKIPS} P \equiv DF_{SKIPS} \text{ UNIV UNIV } \sqsubseteq_F P \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *deadlock-free-F*:  $\langle \text{deadlock-free } P \longleftrightarrow DF \text{ UNIV } \sqsubseteq_F P \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *deadlock-free-Mprefix-iff*:  $\langle \text{deadlock-free } (\square a \in A \rightarrow P a) \longleftrightarrow A \neq \{\} \wedge (\forall a \in A. \text{deadlock-free } (P a)) \rangle$

**and** *deadlock-free<sub>SKIPS</sub>-Mprefix-iff*:  $\langle \text{deadlock-free}_{SKIPS} (\text{Mprefix } A P) \longleftrightarrow A \neq \{\} \wedge (\forall a \in A. \text{deadlock-free}_{SKIPS} (P a)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *deadlock-free-read-iff* :

```

<deadlock-free ( $c? a \in A \rightarrow P a$ )  $\longleftrightarrow$   $A \neq \{\} \wedge (\forall a \in c . \text{deadlock-free } ((P \circ$ 
 $\text{inv-into } A \ c) \ a))\rangle$ 
and deadlock-freeSKIPS-read-iff :
< $\text{deadlock-free}_{\text{SKIPS}} (c? a \in A \rightarrow P a) \longleftrightarrow A \neq \{\} \wedge (\forall a \in c . \text{deadlock-free}_{\text{SKIPS}}$ 
 $((P \circ \text{inv-into } A \ c) \ a))\rangle$ 
⟨proof⟩

lemma deadlock-free-read-inj-on-iff :
⟨ $\text{inj-on } c \ A \implies \text{deadlock-free } (c? a \in A \rightarrow P a) \longleftrightarrow A \neq \{\} \wedge (\forall a \in A . \text{deadlock-free}$ 
 $(P a))\rangle$ 
and deadlock-freeSKIPS-read-inj-on-iff :
⟨ $\text{inj-on } c \ A \implies \text{deadlock-free}_{\text{SKIPS}} (c? a \in A \rightarrow P a) \longleftrightarrow A \neq \{\} \wedge (\forall a \in A .$ 
 $\text{deadlock-free}_{\text{SKIPS}} (P a))\rangle$ 
⟨proof⟩

lemma deadlock-free-write-iff :
⟨ $\text{deadlock-free } (c! a \rightarrow P) \longleftrightarrow \text{deadlock-free } P\langle$ 
and deadlock-freeSKIPS-write-iff :
⟨ $\text{deadlock-free}_{\text{SKIPS}} (c! a \rightarrow P) \longleftrightarrow \text{deadlock-free}_{\text{SKIPS}} P\langle$ 
⟨proof⟩

lemma deadlock-free-write0-iff :
⟨ $\text{deadlock-free } (a \rightarrow P) \longleftrightarrow \text{deadlock-free } P\langle$ 
and deadlock-freeSKIPS-write0-iff :
⟨ $\text{deadlock-free}_{\text{SKIPS}} (a \rightarrow P) \longleftrightarrow \text{deadlock-free}_{\text{SKIPS}} P\langle$ 
⟨proof⟩

lemma deadlock-free-GlobalNdet-iff: ⟨ $\text{deadlock-free } (\exists a \in A . P a) \longleftrightarrow$ 
 $A \neq \{\} \wedge (\forall a \in A . \text{deadlock-free } (P a))\rangle$ 
and deadlock-freeSKIPS-GlobalNdet-iff: ⟨ $\text{deadlock-free}_{\text{SKIPS}} (\exists a \in A . P a)$ 
 $\longleftrightarrow$ 
 $A \neq \{\} \wedge (\forall a \in A . \text{deadlock-free}_{\text{SKIPS}} (P a))\rangle$ 
⟨proof⟩

lemma deadlock-free-Mndetprefix-iff: ⟨ $\text{deadlock-free } (\exists a \in A \rightarrow P a) \longleftrightarrow$ 
 $A \neq \{\} \wedge (\forall a \in A . \text{deadlock-free } (P a))\rangle$ 
and deadlock-freeSKIPS-Mndetprefix-iff: ⟨ $\text{deadlock-free}_{\text{SKIPS}} (\exists a \in A \rightarrow P a)$ 
 $\longleftrightarrow$ 
 $A \neq \{\} \wedge (\forall a \in A . \text{deadlock-free}_{\text{SKIPS}} (P a))\rangle$ 
⟨proof⟩

lemma deadlock-free-Ndet-iff: ⟨ $\text{deadlock-free } (P \sqcap Q) \longleftrightarrow$ 

```

$\text{deadlock-free } P \wedge \text{deadlock-free } Q$   
**and**  $\text{deadlock-free}_{SKIPS}\text{-Ndet-iff}$ :  $\langle \text{deadlock-free}_{SKIPS} (P \sqcap Q) \longleftrightarrow \text{deadlock-free}_{SKIPS} P \wedge \text{deadlock-free}_{SKIPS} Q \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{deadlock-free-is-right}$ :  
 $\langle \text{deadlock-free } (P :: ('a, 'r) \text{ process}_{ptick}) \longleftrightarrow (\forall s \in \mathcal{T}. \text{ tickFree } s \wedge (s, UNIV) \notin \mathcal{F} P) \rangle$   
 $\langle \text{deadlock-free } P \longleftrightarrow (\forall s \in \mathcal{T}. \text{ tickFree } s \wedge (s, ev ` UNIV) \notin \mathcal{F} P) \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\langle \text{deadlock-free } (P \square Q) \longleftrightarrow P = STOP \wedge \text{deadlock-free } Q \vee \text{deadlock-free } P \wedge Q = STOP \rangle$

$\langle \text{proof} \rangle$

**lemma**  $\text{deadlock-free-GlobalDet-iff}$ :  
 $\langle \llbracket A \neq \{\}; \text{finite } A; \forall a \in A. \text{ deadlock-free } (P a) \rrbracket \implies \text{deadlock-free } (\Box a \in A. P a)$   
**and**  $\text{deadlock-free}_{SKIPS}\text{-MultiDet}$ :  
 $\langle \llbracket A \neq \{\}; \text{finite } A; \forall a \in A. \text{ deadlock-free}_{SKIPS} (P a) \rrbracket \implies \text{deadlock-free}_{SKIPS} (\Box a \in A. P a)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{deadlock-free-Det}$ :  
 $\langle \text{deadlock-free } P \implies \text{deadlock-free } Q \implies \text{deadlock-free } (P \square Q) \rangle$   
**and**  $\text{deadlock-free}_{SKIPS}\text{-Det}$ :  
 $\langle \text{deadlock-free}_{SKIPS} P \implies \text{deadlock-free}_{SKIPS} Q \implies \text{deadlock-free}_{SKIPS} (P \square Q) \rangle$   
 $\langle \text{proof} \rangle$

For  $P \square Q$ , we can not expect more:

**lemma**  
 $\langle \exists P Q. \text{ deadlock-free } P \wedge \neg \text{deadlock-free } Q \wedge$   
 $\quad \text{deadlock-free } (P \square Q) \rangle$   
 $\langle \exists P Q. \text{ deadlock-free}_{SKIPS} P \wedge \neg \text{deadlock-free}_{SKIPS} Q \wedge$   
 $\quad \text{deadlock-free}_{SKIPS} (P \square Q) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *FD-Mndetprefix-iff*:

$\langle A \neq \{\} \implies P \sqsubseteq_{FD} \sqcap a \in A \rightarrow Q \longleftrightarrow (\forall a \in A. P \sqsubseteq_{FD} (a \rightarrow Q)) \rangle$   
 $\langle proof \rangle$

**lemma** *Mndetprefix-FD*:  $\langle (\exists a \in A. (a \rightarrow Q) \sqsubseteq_{FD} P) \implies \sqcap a \in A \rightarrow Q \sqsubseteq_{FD} P \rangle$   
 $\langle proof \rangle$

*Mprefix, Sync and deadlock-free*

**lemma** *Mprefix-Sync-deadlock-free*:

**assumes** *not-all-empty*:  $\langle A \neq \{\} \vee B \neq \{\} \vee A' \cap B' \neq \{\} \rangle$   
**and**  $\langle A \cap S = \{\} \rangle$  **and**  $\langle A' \subseteq S \rangle$  **and**  $\langle B \cap S = \{\} \rangle$  **and**  $\langle B' \subseteq S \rangle$   
**and**  $\langle \forall x \in A. \text{deadlock-free } (P x [S] \text{ Mprefix } (B \cup B') Q) \rangle$   
**and**  $\langle \forall y \in B. \text{deadlock-free } (\text{Mprefix } (A \cup A') P [S] Q y) \rangle$   
**and**  $\langle \forall x \in A' \cap B'. \text{deadlock-free } ((P x [S] Q x)) \rangle$   
**shows**  $\langle \text{deadlock-free } (\text{Mprefix } (A \cup A') P [S] \text{ Mprefix } (B \cup B') Q) \rangle$   
 $\langle proof \rangle$

**lemmas** *Mprefix-Sync-subset-deadlock-free = Mprefix-Sync-deadlock-free*  
**[where**  $A = \{\}$  **and**  $B = \{\}$ , simplified]  
**and** *Mprefix-Sync-indep-deadlock-free = Mprefix-Sync-deadlock-free*  
**[where**  $A' = \{\}$  **and**  $B' = \{\}$ , simplified]  
**and** *Mprefix-Sync-right-deadlock-free = Mprefix-Sync-deadlock-free*  
**[where**  $A = \{\}$  **and**  $B' = \{\}$ , simplified]  
**and** *Mprefix-Sync-left-deadlock-free = Mprefix-Sync-deadlock-free*  
**[where**  $A' = \{\}$  **and**  $B = \{\}$ , simplified]

## 6.3 Results on Renaming

The *Renaming* operator is new (release of 2023), so here are its properties on reference processes from *HOL-CSP.CSP-Assertions*, and deadlock notion.

### 6.3.1 Behaviour with references processes

For *DF*

**lemma** *DF-FD-Renaming-DF*:  $\langle DF (f ` A) \sqsubseteq_{FD} \text{Renaming } (DF A) f g \rangle$   
 $\langle proof \rangle$

**lemma** *Renaming-DF-FD-DF*:  $\langle \text{Renaming } (DF A) f g \sqsubseteq_{FD} DF (f ` A) \rangle$   
**if finitary**:  $\langle \text{finitary } f \rangle$   $\langle \text{finitary } g \rangle$   
 $\langle proof \rangle$

For *DF SKIPS*

**lemma** *Renaming-SKIPS* [simp] :  $\langle \text{Renaming} (\text{SKIPS } R) f g = \text{SKIPS } (g' R) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *DF<sub>SKIPS</sub>-FD-Renaming-DF<sub>SKIPS</sub>*:  
 $\langle \text{DF}_{\text{SKIPS}} (f' A) (g' R) \sqsubseteq_{FD} \text{Renaming} (\text{DF}_{\text{SKIPS}} A R) f g \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Renaming-DF<sub>SKIPS</sub>-FD-DF<sub>SKIPS</sub>*:  
 $\langle \text{Renaming} (\text{DF}_{\text{SKIPS}} A R) f g \sqsubseteq_{FD} \text{DF}_{\text{SKIPS}} (f' A) (g' R) \rangle$   
**if finitary:**  $\langle \text{finitary } f \rangle \langle \text{finitary } g \rangle$   
 $\langle \text{proof} \rangle$

For *RUN*

**lemma** *RUN-FD-Renaming-RUN*:  $\langle \text{RUN } (f' A) \sqsubseteq_{FD} \text{Renaming} (\text{RUN } A) f g \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Renaming-RUN-FD-RUN*:  $\langle \text{Renaming} (\text{RUN } A) f g \sqsubseteq_{FD} \text{RUN } (f' A) \rangle$   
**if finitary:**  $\langle \text{finitary } f \rangle \langle \text{finitary } g \rangle$   
 $\langle \text{proof} \rangle$

For *CHAOS*

**lemma** *CHAOS-FD-Renaming-CHAOS*:  
 $\langle \text{CHAOS } (f' A) \sqsubseteq_{FD} \text{Renaming} (\text{CHAOS } A) f g \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Renaming-CHAOS-FD-CHAOS*:  
 $\langle \text{Renaming} (\text{CHAOS } A) f g \sqsubseteq_{FD} \text{CHAOS } (f' A) \rangle$   
**if finitary:**  $\langle \text{finitary } f \rangle \langle \text{finitary } g \rangle$   
 $\langle \text{proof} \rangle$

For *CHAOS<sub>SKIPS</sub>*

**lemma** *CHAOS<sub>SKIPS</sub>-FD-Renaming-CHAOS<sub>SKIPS</sub>*:  
 $\langle \text{CHAOS}_{\text{SKIPS}} (f' A) (g' R) \sqsubseteq_{FD} \text{Renaming} (\text{CHAOS}_{\text{SKIPS}} A R) f g \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Renaming-CHAOS<sub>SKIPS</sub>-FD-CHAOS<sub>SKIPS</sub>*:  
 $\langle \text{Renaming} (\text{CHAOS}_{\text{SKIPS}} A R) f g \sqsubseteq_{FD} \text{CHAOS}_{\text{SKIPS}} (f' A) (g' R) \rangle$   
**if finitary:**  $\langle \text{finitary } f \rangle \langle \text{finitary } g \rangle$   
 $\langle \text{proof} \rangle$

### 6.3.2 Corollaries on deadlock-free and deadlock-free<sub>SKIPS</sub>

**lemmas** *Renaming-DF* =  
 $\text{FD-antisym}[\text{OF Renaming-DF-FD-DF DF-FD-Renaming-DF}]$   
**and** *Renaming-DF<sub>SKIPS</sub>* =  
 $\text{FD-antisym}[\text{OF Renaming-DF}_{\text{SKIPS}}\text{-FD-DF}_{\text{SKIPS}} \text{DF}_{\text{SKIPS}}\text{-FD-Renaming-DF}_{\text{SKIPS}}]$   
**and** *Renaming-RUN* =  
 $\text{FD-antisym}[\text{OF Renaming-RUN-FD-RUN RUN-FD-Renaming-RUN}]$

**and** *Renaming-CHAOS* =  
*FD-antisym*[*OF Renaming-CHAOS-FD-CHAOS CHAOS-FD-Renaming-CHAOS*]

**and** *Renaming-CHAOS<sub>SKIP</sub>* =  
*FD-antisym*[*OF Renaming-CHAOS<sub>SKIP</sub>-FD-CHAOS<sub>SKIP</sub>*  
*CHAOS<sub>SKIP</sub>-FD-Renaming-CHAOS<sub>SKIP</sub>*]

**lemma** *deadlock-free-imp-deadlock-free-Renaming*: ⟨deadlock-free (*Renaming P f g*)⟩  
**if** ⟨deadlock-free *P*⟩  
⟨*proof*⟩

**lemma** *deadlock-free-Renaming-imp-deadlock-free*: ⟨deadlock-free *P*⟩  
**if** ⟨*inj f*⟩ **and** ⟨*inj g*⟩ **and** ⟨deadlock-free (*Renaming P f g*)⟩  
⟨*proof*⟩

**corollary** *deadlock-free-Renaming-iff*:  
⟨*inj f* ⟹ *inj g* ⟹ deadlock-free (*Renaming P f g*) ⟷ deadlock-free *P*⟩  
⟨*proof*⟩

**lemma** *deadlock-free<sub>SKIP</sub>-imp-deadlock-free<sub>SKIP</sub>-Renaming*:  
⟨deadlock-free<sub>SKIP</sub> *P* ⟹ deadlock-free<sub>SKIP</sub> (*Renaming P f g*)⟩  
⟨*proof*⟩

**lemma** *deadlock-free<sub>SKIP</sub>-Renaming-imp-deadlock-free<sub>SKIP</sub>*:  
⟨deadlock-free<sub>SKIP</sub> *P*⟩ **if** ⟨*inj f*⟩ **and** ⟨*inj g*⟩ **and** ⟨deadlock-free<sub>SKIP</sub> (*Renaming P f g*)⟩  
⟨*proof*⟩

**corollary** *deadlock-free<sub>SKIP</sub>-Renaming-iff*:  
⟨*inj f* ⟹ *inj g* ⟹ deadlock-free<sub>SKIP</sub> (*Renaming P f g*) ⟷ deadlock-free<sub>SKIP</sub> *P*⟩  
⟨*proof*⟩

## 6.4 The big results

### 6.4.1 An interesting equivalence

**lemma** *deadlock-free-of-Sync-iff-DF-FD-DF-Sync-DF*:  
⟨(∀ *P Q*. deadlock-free (*P*::('a, 'r) process<sub>ptick</sub>) → deadlock-free *Q* →  
deadlock-free (*P* [S] *Q*))  
⟺ (DF UNIV :: ('a, 'r) process<sub>ptick</sub>) ⊑<sub>FD</sub> (DF UNIV [S] DF UNIV)⟩ **(is**  
⟨?lhs ⟷ ?rhs⟩)  
⟨*proof*⟩

From this general equivalence on  $\text{Sync}$ , we immediately obtain the equivalence on  $A \parallel B$ :  $(\forall P Q. \text{deadlock-free } P \rightarrow \text{deadlock-free } Q \rightarrow \text{deadlock-free } (P \parallel Q)) = (\text{DF UNIV} \sqsubseteq_{FD} \text{DF UNIV} \parallel \text{DF UNIV})$ .

#### 6.4.2 $STOP$ and $SKIP$ synchronized with $DF A$

**lemma**  $DF\text{-FD-DF-Sync-STOP-or-SKIP-iff}$ :

$\langle (DF A \sqsubseteq_{FD} DF A \llbracket S \rrbracket P) \longleftrightarrow A \cap S = \{\} \rangle$

**if**  $P\text{-disj}$ :  $\langle P = STOP \vee P = SKIP r \rangle$

$\langle proof \rangle$

**lemma**  $DF\text{-Sync-STOP-or-SKIP-FD-DF}$ :  $\langle DF A \llbracket S \rrbracket P \sqsubseteq_{FD} DF A \rangle$

**if**  $P\text{-disj}$ :  $\langle P = STOP \vee P = SKIP r \rangle$  **and**  $\text{empty-inter}$ :  $\langle A \cap S = \{\} \rangle$

$\langle proof \rangle$

**lemmas**  $DF\text{-FD-DF-Sync-STOP-iff} =$

$DF\text{-FD-DF-Sync-STOP-or-SKIP-iff}[of STOP, simplified]$

**and**  $DF\text{-FD-DF-Sync-SKIP-iff} =$

$DF\text{-FD-DF-Sync-STOP-or-SKIP-iff}[of \langle SKIP r \rangle, simplified]$

**and**  $DF\text{-Sync-STOP-FD-DF} =$

$DF\text{-Sync-STOP-or-SKIP-FD-DF}[of STOP, simplified]$

**and**  $DF\text{-Sync-SKIP-FD-DF} =$

$DF\text{-Sync-STOP-or-SKIP-FD-DF}[of \langle SKIP r \rangle, simplified]$  **for**  $r$

#### 6.4.3 Finally, deadlock-free $(P \parallel Q)$

**theorem**  $DF\text{-F-DF-Sync-DF}$ :  $\langle (DF (A \cup B) :: ('a, 'r) \text{ process}_{ptick}) \sqsubseteq_F DF A \llbracket S \rrbracket DF B \rangle$

**if**  $\text{nonempty}$ :  $\langle A \neq \{\} \wedge B \neq \{\} \rangle$

**and**  $\text{intersect-hyp}$ :  $\langle B \cap S = \{\} \vee (\exists y. B \cap S = \{y\} \wedge A \cap S \subseteq \{y\}) \rangle$

$\langle proof \rangle$

**lemma**  $DF\text{-FD-DF-Sync-DF}$ :

$\langle A \neq \{\} \wedge B \neq \{\} \implies B \cap S = \{\} \vee (\exists y. B \cap S = \{y\} \wedge A \cap S \subseteq \{y\}) \implies$

$DF (A \cup B) \sqsubseteq_{FD} DF A \llbracket S \rrbracket DF B \rangle$

$\langle proof \rangle$

**theorem**  $DF\text{-FD-DF-Sync-DF-iff}$ :

$\langle DF (A \cup B) \sqsubseteq_{FD} DF A \llbracket S \rrbracket DF B \longleftrightarrow$

$(\text{if } A = \{\} \text{ then } B \cap S = \{\})$

$\text{else if } B = \{\} \text{ then } A \cap S = \{\}$

$\text{else } A \cap S = \{\} \vee (\exists a. A \cap S = \{a\} \wedge B \cap S \subseteq \{a\}) \vee$

$B \cap S = \{\} \vee (\exists b. B \cap S = \{b\} \wedge A \cap S \subseteq \{b\})) \rangle$

**(is**  $\langle ?FD\text{-ref} \longleftrightarrow (\text{if } A = \{\} \text{ then } B \cap S = \{\}) \rangle$

*else if  $B = \{\}$  then  $A \cap S = \{\}$   
*else* ?cases))*

*(proof)*

**lemma**

*$\langle (\forall a \in A. X a \cap S = \{\}) \vee (\forall b \in A. \exists y. X a \cap S = \{y\} \wedge X b \cap S \subseteq \{y\}) \rangle$*

*$\longleftrightarrow (\forall a \in A. \forall b \in A. \exists y. (X a \cup X b) \cap S \subseteq \{y\})$*

*— this is the reason we write ugly\_hyp this way*

*(proof)*

**lemma DF-FD-DF-MultiSync-DF:**

*$\langle (DF (\bigcup x \in (insert a A). X x) :: ('a, 'r) process_{ptick}) \sqsubseteq_{FD} [S] x \in \# mset-set (insert a A). DF (X x) \rangle$*

*if fin: <finite A> and nonempty: < $X a \neq \{\}$ > < $\forall b \in A. X b \neq \{\}$ >*

*and ugly-hyp: < $\forall b \in A. X b \cap S = \{\}$ >  $\vee (\exists y. X b \cap S = \{y\} \wedge X a \cap S \subseteq \{y\})$ >*

*$\forall b \in A. \forall c \in A. \exists y. (X b \cup X c) \cap S \subseteq \{y\}$*

*(proof)*

**lemma DF-FD-DF-MultiSync-DF':**

*$\langle [finite A; \forall a \in A. X a \neq \{\}]; \forall a \in A. \forall b \in A. \exists y. (X a \cup X b) \cap S \subseteq \{y\} \rangle$*

*$\implies DF (\bigcup a \in A. X a) \sqsubseteq_{FD} [S] a \in \# mset-set A. DF (X a)$*

*(proof)*

**lemmas DF-FD-DF-MultiInter-DF =**

*DF-FD-DF-MultiSync-DF' [where  $S = \langle \{\} \rangle$ , simplified]*

*and DF-FD-DF-MultiPar-DF =*

*DF-FD-DF-MultiSync-DF [where  $S = UNIV$ , simplified]*

*and DF-FD-DF-MultiPar-DF' =*

*DF-FD-DF-MultiSync-DF' [where  $S = UNIV$ , simplified]*

**lemma**  *$\langle DF \{a\} = DF \{a\} [S] STOP \longleftrightarrow a \notin S \rangle$*

*(proof)*

**lemma**  *$\langle DF \{a\} [S] STOP = STOP \longleftrightarrow a \in S \rangle$*

*(proof)*

**corollary** *DF-FD-DF-Inter-DF:*  $\langle DF A \sqsubseteq_{FD} DF A \parallel| DF A \rangle$   
 $\langle proof \rangle$

**corollary** *DF-UNIV-FD-DF-UNIV-Inter-DF-UNIV:*  
 $\langle DF UNIV \sqsubseteq_{FD} DF UNIV \parallel| DF UNIV \rangle$   
 $\langle proof \rangle$

**corollary** *Inter-deadlock-free:*  
 $\langle \text{deadlock-free } P \implies \text{deadlock-free } Q \implies \text{deadlock-free } (P \parallel| Q) \rangle$   
 $\langle proof \rangle$

**theorem** *MultiInter-deadlock-free:*  
 $\langle \llbracket M \neq \{\#\}; \wedge m. m \in \# M \implies \text{deadlock-free } (P m) \rrbracket \implies$   
 $\text{deadlock-free } (\parallel| p \in \# M. P p) \rangle$   
 $\langle proof \rangle$

## **Chapter 7**

# **The Main Entry Point**

This is the theory HOL-CSPM should be imported from.



# Chapter 8

## Example: Dining Philosophers

### 8.1 Classic version

We formalize here the Dining Philosophers problem with a locale.

**locale** *DiningPhilosophers* =

**fixes** *N::nat*

**assumes** *N-g1[simp]* :  $\langle N > 1 \rangle$

— We assume that we have at least one right handed philosophers (so at least two philosophers with the left handed one).

**begin**

We use a datatype for representing the dinner's events.

**datatype** *dining-event* =    *picks (phil:nat) (fork:nat)*  
  | *putsdown (phil:nat) (fork:nat)*

We introduce the right handed philosophers, the left handed philosopher and the forks.

**definition** *RPHIL*::  $\langle \text{nat} \Rightarrow \text{dining-event process} \rangle$   
**where**  $\langle RPHIL i \equiv \mu X. (\text{picks } i i \rightarrow (\text{picks } i ((i-1) \text{ mod } N) \rightarrow (\text{putsdown } i ((i-1) \text{ mod } N) \rightarrow (\text{putsdown } i i \rightarrow X))) \rangle$

**definition** *LPHIL0*::  $\langle \text{dining-event process} \rangle$   
**where**  $\langle LPHIL0 \equiv \mu X. (\text{picks } 0 (N-1) \rightarrow (\text{picks } 0 0 \rightarrow (\text{putsdown } 0 0 \rightarrow (\text{putsdown } 0 (N-1) \rightarrow X))) \rangle$

**definition** *FORK* ::  $\langle \text{nat} \Rightarrow \text{dining-event process} \rangle$   
**where**  $\langle FORK i \equiv \mu X. (\text{picks } i i \rightarrow (\text{putsdown } i i \rightarrow X)) \sqcap (\text{picks } ((i+1) \text{ mod } N) i \rightarrow (\text{putsdown } ((i+1) \text{ mod } N) i \rightarrow X)) \rangle$

Now we use the architectural operators for modelling the interleaving of the

philosophers, and the interleaving of the forks.

```
definition <PHILS ≡ ||| P ∈# add-mset LPHIL0 (mset (map RPHIL [1..< N])).  
P>  
definition <FORKS ≡ ||| P ∈# mset (map FORK [0..< N]). P>
```

```
corollary <N = 3 ⇒ PHILS = (LPHIL0 ||| RPHIL 1 ||| RPHIL 2)>  
— just a test  
<proof>
```

Finally, the dinner is obtained by putting forks and philosophers in parallel.

```
definition DINING :: <dining-event process>  
where <DINING = (FORKS || PHILS)>
```

end

## 8.2 Formalization with fixrec package

The fixrec package of HOLCF provides a more readable syntax (essentially, it allows us to "get rid of  $\mu$ " in equations like  $\mu x. P x$ ).

First, we need to see *nat* as *cpo*.

```
instantiation nat :: discrete-cpo  
begin
```

```
definition below-nat-def:  
(x::nat) ⊑ y ↔ x = y
```

```
instance <proof>
```

end

```
locale DiningPhilosophers-fixrec =
```

```
fixes N::nat
```

```
assumes N-g1[simp] : <N > 1>
```

— We assume that we have at least one right handed philosophers (so at least two philosophers with the left handed one).

```
begin
```

We use a datatype for representing the dinner's events.

```
datatype dining-event = picks (phil:nat) (fork:nat)  
| putsdown (phil:nat) (fork:nat)
```

We introduce the right handed philosophers, the left handed philosopher and the forks.

```

fixrec    RPHIL :: <nat → dining-event process>
and LPHIL0 :: <dining-event process>
and FORK  :: <nat → dining-event process>
where
  RPHIL-rec [simp del] :
    <RPHIL·i = (picks i i → (picks i (i-1) →
      (putdown i (i-1) → (putdown i i → RPHIL·i))))>
  | LPHIL0-rec [simp del] :
    <LPHIL0 = (picks 0 (N-1) → (picks 0 0 →
      (putdown 0 0 → (putdown 0 (N-1) → LPHIL0))))>
  | FORK-rec [simp del] :
    <FORK·i = (picks i i → (putdown i i → FORK·i)) □
      (picks ((i+1) mod N) i → (putdown ((i+1) mod N) i → FORK·i))>

```

Now we use the architectural operators for modelling the interleaving of the philosophers, and the interleaving of the forks.

**definition**  $\langle PHILS \equiv ||| P \in \# add\text{-}mset LPHIL0 (mset (map (\lambda i. RPHIL·i) [1..<N])). P \rangle$   
**definition**  $\langle FORKS \equiv ||| P \in \# mset (map (\lambda i. FORK·i) [0..<N]). P \rangle$

**corollary**  $\langle N = 3 \implies PHILS = (LPHIL0 ||| RPHIL·1 ||| RPHIL·2) \rangle$   
— just a test  
 $\langle proof \rangle$

Finally, the dinner is obtained by putting forks and philosophers in parallel.

**definition**  $DINING :: \langle dining\text{-}event process \rangle$   
**where**  $\langle DINING = (FORKS || PHILS) \rangle$

**end**



# Chapter 9

## Example: Plain Old Telephone System

The "Plain Old Telephone Service is a standard medium-size example for architectural modeling of a concurrent system.

Plain old telephone service (POTS), or plain ordinary telephone system,[1] is a retronym for voice-grade telephone service employing analog signal transmission over copper loops. POTS was the standard service offering from telephone companies from 1876 until 1988[2] in the United States when the Integrated Services Digital Network (ISDN) Basic Rate Interface (BRI) was introduced, followed by cellular telephone systems, and voice over IP (VoIP). POTS remains the basic form of residential and small business service connection to the telephone network in many parts of the world. The term reflects the technology that has been available since the introduction of the public telephone system in the late 19th century, in a form mostly unchanged despite the introduction of Touch-Tone dialing, electronic telephone exchanges and fiber-optic communication into the public switched telephone network (PSTN).

C.f. wikipedia [https://en.wikipedia.org/wiki/Plain\\_old\\_telephone\\_service](https://en.wikipedia.org/wiki/Plain_old_telephone_service).

We need to see *int* as a *cpo*.

```
instantiation int :: discrete-cpo
begin

definition below-int-def:
  ( $x:\text{int}$ )  $\sqsubseteq y \longleftrightarrow x = y$ 

instance  $\langle proof \rangle$ 

end
```

## 9.1 The Alphabet and Basic Types of POTS

Underlying terminology apparent in the acronyms:

1. T-side (target side, callee side)
2. O-side (originator (?) side, caller side)

```

datatype MtcO = Osetup | Odiscon-o
datatype MctO = Obusy | Oalert | Oconnect | Odiscon-t
datatype MtcT = Tbusy | Talert | Tconnect | Tdiscon-t
datatype MctT = Tsetup | Tdiscon-o

type-synonym Phones = <int>

datatype channels = tcO <Phones × MtcO> —
| ctO <Phones × MctO>
| tcT <Phones × MtcT × Phones>
| ctT <Phones × MctT × Phones>
| tcO dial <Phones × Phones>
| StartReject Phones — phone x rejects from now on to be called
| EndReject Phones — phone x accepts from now on to be called
| terminal Phones
| off-hook Phones
| on-hook Phones
| digits <Phones × Phones> — communication relation: x calls y
| tone-ring Phones
| tone-quiet Phones
| tone-busy Phones
| tone-dial Phones
| connected Phones

locale POTS =
  fixes min-phones :: int
  and max-phones :: int
  and VisibleEvents :: <channels set>
  assumes min-phones-g-1[simp] : <1 ≤ min-phonesand max-phones-g-min-phones[simp] : <min-phones < max-phones>
begin

  definition phones :: <Phones set> where <phones ≡ {min-phones .. max-phones}>

  lemma nonempty-phones[simp]: <phones ≠ {}>
  and finite-phones[simp]: <finite phones>
  and at-least-two-phones[simp]: <2 ≤ card phones>
  and not-singl-phone[simp]: <phones − {p} ≠ {}>
  <proof>

```

**definition**  $EventsIPhone :: \langle Phones \Rightarrow channels \ set \rangle$   
**where**  $\langle EventsIPhone \ u \equiv \{tone-ring \ u, \ tone-quiet \ u, \ tone-busy \ u, \ tone-dial \ u, \ connected \ u\} \rangle$   
**definition**  $EventsUser :: \langle Phones \Rightarrow channels \ set \rangle$   
**where**  $\langle EventsUser \ u \equiv \{off-hook \ u, \ on-hook \ u\} \cup \{x . \exists \ n. \ x = digits \ (u, \ n)\} \rangle$

## 9.2 Auxilliaries to Substructure the Specification

### abbreviation

$Tside-connected :: \langle Phones \Rightarrow Phones \Rightarrow channels \ process \rangle$   
**where**  $\langle Tside-connected \ ts \ os \equiv$   
 $(ctT!(ts, Tdiscon-o, os) \rightarrow tcT!(ts, Tdiscon-t, os) \rightarrow EndReject!ts \rightarrow Skip)$   
 $\triangleright (tcT!(ts, Tdiscon-t, os) \rightarrow ctT!(ts, Tdiscon-o, os) \rightarrow EndReject!ts \rightarrow Skip)$

### abbreviation

$Oside-connected :: \langle Phones \Rightarrow channels \ process \rangle$   
**where**  $\langle Oside-connected \ ts \equiv$   
 $(ctO!(ts, Odiscon-t) \rightarrow tcO!(ts, Odiscon-o) \rightarrow EndReject!ts \rightarrow Skip)$   
 $\triangleright (tcO!(ts, Odiscon-o) \rightarrow ctO!(ts, Odiscon-t) \rightarrow EndReject!ts \rightarrow Skip)$

### abbreviation

$Oside1 :: \langle [Phones, Phones] \Rightarrow channels \ process \rangle$   
**where**  
 $\langle Oside1 \ ts \ p \equiv$   
 $tcOdia!(ts, p)$   
 $\rightarrow (ctO!(ts, Oalert)$   
 $\rightarrow ctO!(ts, Oconnect)$   
 $\rightarrow (Oside-connected \ ts))$   
 $\square (ctO!(ts, Oconnect) \rightarrow (Oside-connected \ ts))$   
 $\square (ctO!(ts, Obusy) \rightarrow tcO!(ts, Odiscon-o) \rightarrow EndReject!ts \rightarrow Skip)$

### definition

$ITside-connected :: \langle [Phones, Phones, channels \ process] \Rightarrow channels \ process \rangle$   
**where**  
 $\langle ITside-connected \ ts \ os \ IT \equiv$   
 $(ctT(ts, Tdiscon-o, os)$   
 $\rightarrow ( tone-busy!ts$   
 $\rightarrow on-hook!ts$   
 $\rightarrow tcT!(ts, Tdiscon-t, os)$   
 $\rightarrow EndReject!ts$   
 $\rightarrow IT)$   
 $\square (on-hook!ts$

$$\begin{aligned}
&\rightarrow tcT!(ts, Tdiscon-t, os) \\
&\rightarrow EndReject!ts \\
&\rightarrow IT) \\
)) \\
\square ( &on-hook!ts \\
&\rightarrow tcT!(ts, Tdiscon-t, os) \\
&\rightarrow ctT!(ts, Tdiscon-o, os) \\
&\rightarrow EndReject!ts \\
&\rightarrow IT) \rangle
\end{aligned}$$

### 9.3 A Telephone

```

fixrec   T      :: <Phones → channels process>
and Oside  :: <Phones → channels process>
and Tside  :: <Phones → channels process>
and NoReject :: <Phones → channels process>
and Reject  :: <Phones → channels process>
where
  T-rec      [simp del]: <T·ts      = (Tside·ts ; T·ts) ▷ (Oside·ts ; T·ts)>
  | Oside-rec [simp del]: <Oside·ts = StartReject!ts
    → tcO!(ts, Osetup)
    → (⊓ p ∈ phones. Oside1 ts p)⟩
  | Tside-rec  [simp del]: <Tside·ts = ctT?(y,z,os)|((y,z)=(ts,Tsetup))
    → StartReject!ts
    → ( tcT!(ts, Talert, os)
        → tcT!(ts, Tconnect, os)
        → (Tside-connected ts os)
        ⊓ (tcT!(ts, Tconnect, os)
            → (Tside-connected ts os)))⟩
  | NoReject-rec [simp del]: <NoReject·ts = StartReject!ts → Reject·ts>
  | Reject-rec  [simp del]: <Reject·ts = ctT?(y,z,os)|(y=ts ∧ z=Tsetup ∧ os∈phones
    ∧ os≠ts)
    → (tcT!(ts, Tbusy, os) → Reject·ts)
    ⊓ (EndReject!ts → NoReject·ts)⟩

```

**definition** Tel:: <Phones ⇒ channels process>  
**where** <Tel p ≡ (T·p [ {StartReject p, EndReject p} ] NoReject·p) \ {StartReject p, EndReject p} >

### 9.4 A Connector with the Network

```

fixrec   Call      :: <Phones → channels process>
and BUSY     :: <Phones → Phones → channels process>
and Connected :: <Phones → Phones → channels process>

```

**where**

```

Call-rec [simp del]: <Call·os      = (tcO! (os,Osetup) → tcOdial?(x,ts)|(x=os)
→ (BUSY·os·ts)) ; Call·os>
| BUSY-rec [simp del]: <BUSY·os·ts = (if ts = os
then ctO!(os,Obusy) → tcO!(os,Odiscon-o) → Skip
else ctT!(ts,Tsetup,os)
→( (tcT!(ts,Tbusy,os)
→ ctO!(os,Obusy)
→ tcO!(os,Odiscon-o) → Skip)
□
(tcT ! (ts,Talert,os)
→ ctO!(os,Oalert)
→ tcT!(ts,Tconnect,os)
→ ctO!(os,Oconnect)
→ Connected·os·ts)
□
(tcT!(ts,Tconnect,os)
→ ctO!(os,Oconnect)
→ Connected·os·ts))>
| Connected-rec [simp del]: <Connected·os·ts = (tcO!(os,Odiscon-o) →
(( (ctT!(ts,Tdiscon-o,os) → tcT!(ts,Tdiscon-t,os) → Skip)
□
(tcT!(ts,Tdiscon-t,os)→ ctT!(ts,Tdiscon-o,os) → Skip)
)
; (ctO!(os,Odiscon-t) → Skip))
□
(tcT!(ts,Tdiscon-t,os) →
( (ctO!(os,Odiscon-t)
→ ctT!(ts,Tdiscon-o,os)
→ tcO!(os,Odiscon-o)
→ Skip )
□
(tcO!(os,Odiscon-o)
→ ctT!(ts,Tdiscon-o,os)
→ ctO!(os,Odiscon-t)
→ Skip)
)
)
),

```

## 9.5 Combining NETWORK and TELEPHONES to a SYSTEM

```

definition NETWORK :: <channels process>
where   <NETWORK  ≡ (||| os ∈# (mset-set phones). Call·os)>

definition TELEPHONES :: <channels process>
where   <TELEPHONES  ≡ (||| ts ∈# (mset-set phones). Tel ts)>

```

```

definition SYSTEM :: <channels process>
where   <SYSTEM> ≡ NETWORK [[VisibleEvents]] TELEPHONES

```

We underline here the usefulness of the architectural operators, especially *MultiSync* but also *GlobalNdet* which appears in *Oside* recursive definition.

## 9.6 A simple Model of a User

```

fixrec User :: <Phones → channels process>
and UserSCon :: <Phones → channels process>
where
  User-rec[simp del] : <User·u = (off-hook!u →
    (tone-dial!u →
      (⊓ p ∈ phones. digits!(u,p) → tone-quiet!u →
        ( (tone-ring!u → connected!u → UserSCon·u)
          □ (connected!u → UserSCon·u)
          □ (tone-busy!u → on-hook!u → User·u)
        )
      )
    )
  □ (connected!u → UserSCon·u)
  )
  □ (tone-ring!u → off-hook!u → connected!u → UserSCon·u)
| UserSCon-rec[simp del]: <UserSCon·u = (tone-busy!u → on-hook!u → User·u)
▷ (on-hook!u → User·u)

```

```

fixrec User-Ndet :: <Phones → channels process>
and UserSCon-Ndet :: <Phones → channels process>
where
  User-Ndet-rec[simp del] : <User-Ndet·u = (off-hook!u →
    (tone-dial!u →
      (⊓ p ∈ phones. digits!(u,p) → tone-quiet!u →
        ( (tone-ring!u → connected!u → UserSCon-Ndet·u)
          ⊓ (connected!u → UserSCon-Ndet·u)
          ⊓ (tone-busy!u → on-hook!u → User-Ndet·u)
        )
      )
    )
  ⊓ (connected!u → UserSCon-Ndet·u)
  )
  ⊓ (tone-ring!u → off-hook!u → connected!u → UserSCon-Ndet·u)
| UserSCon-Ndet-rec[simp del]: <UserSCon-Ndet·u = (tone-busy!u → on-hook!u
→ User-Ndet·u) ⊓ (on-hook!u → User-Ndet·u)

```

```

definition ImplementT :: <Phones ⇒ channels process>

```

where  $\langle ImplementT ts \equiv ((Tel ts) \parallel [EventsiPhone ts \cup EventsUser ts] (User \cdot ts)) \rangle$   
 $\backslash (EventsiPhone ts \cup EventsUser ts) \rangle$

## 9.7 Toplevel Proof-Goals

This has been proven in an ancient FDR model for *max-phones* = 5...

```
lemma < $\forall p \in phones. deadlock-free (Tel p)$ > ⟨proof⟩
lemma < $\forall p \in phones. deadlock-free-v2 (Call \cdot p)$ > ⟨proof⟩
lemma < $deadlock-free-v2 NETWORK$ > ⟨proof⟩
lemma < $deadlock-free-v2 SYSTEM$ > ⟨proof⟩
lemma < $lifelock-free SYSTEM$ > ⟨proof⟩
lemma < $\forall p \in phones. lifelock-free (ImplementT p)$ > ⟨proof⟩
lemma < $\forall p \in phones. Tel p \sqsubseteq_{FD} ImplementT p$ > ⟨proof⟩
```

```
lemma < $\forall p \in phones. Tel' \cdot p \sqsubseteq_F RUN UNIV$ > ⟨proof⟩
```

this should represent "deterministic" in process-algebraic terms. . .

end



# Chapter 10

## Conclusion

In this session, we defined three architectural operators: *GlobalDet*, *MultiSync*, and *MultiSeq* as respective generalizations of  $P \sqcap Q$ ,  $P \llbracket S \rrbracket Q$ , and  $P ; Q$ . The generalization of  $P \sqcap Q$ , *GlobalNdet*, is already in HOL-CSP since it is required for some algebraic laws.

We did this in a fully-abstract way, that is:

- ( $\sqcap$ ) is commutative, idempotent and admits *STOP* as a neutral element so we defined *GlobalDet* on a '*a set A*' by making it equal to *STOP* when  $A = \emptyset$ . Continuity only holds for finite cases, while the operator is always defined.
- ( $\sqcap$ ) is also commutative and idempotent so in HOL-CSP *GlobalNdet* has been defined on a '*a set A*' by making it equal to *STOP* when  $A = \emptyset$ . Beware of the fact that *STOP* is not the neutral element for ( $\sqcap$ ) (this operator does not admit a neutral element) so we **do not have** the equality

$$\sqcap p \in \{a\}. P p = P a \sqcap (\sqcap p \in \emptyset. P p)$$

while this holds for ( $\sqcap$ ) and *GlobalDet*). Again, continuity only holds for finite cases.

- *Sync* is commutative but is not idempotent so we defined *MultiSync* on a '*a multiset M*' to keep the multiplicity of the processes. We made it equal to *STOP* when  $M = \{\#\}$  but like ( $\sqcap$ ), *Sync* does not admit a neutral element so beware of the fact that in general

$$\llbracket S \rrbracket p \in \# \{ \# a \# \}. P p \neq P a \llbracket S \rrbracket \llbracket S \rrbracket p \in \# \{ \# \}. P p$$

. By construction, multiset are finite and therefore continuity holds.

- ( $\cdot$ ) is neither commutative nor idempotent, and  $SKIP r$  is neutral only on the left hand side (note if the second type ' $r$ ' of  $('a, 'r)$  *process<sub>ptick</sub>* is actually *unit*, that is to say we go back to the old version without parameterized termination, it is neutral element on both sides, see  $?P \cdot Skip = ?P$

$SKIP ?r ; ?P = ?P$ ). Therefore we defined *MultiSeq* on a ' $a$  list  $L$  to keep the multiplicity and the order of the processes, and the folding is done on the reversed list in order to enjoy the neutrality of  $SKIP r$  on the left hand side. For example, proving  $SEQ p \in @L1. P p ; SEQ p \in @L2. P p = SEQ p \in @(L1 @ L2). P p$  in general requires  $L2 \neq []$ .

We presented two examples: Dining philosophers, and POTS.

In both, we underlined the usefulness of the architectural operators for modeling complex systems.

Finally we provided powerful results on *events-of* and *deadlock-free* among which the most important is undoubtedly:

$$\begin{aligned} & [M \neq \{\#\}; \wedge m. m \in \# M \implies \text{deadlock-free } (P m)] \\ & \implies \text{deadlock-free } (\| | p \in \# M. P p) \end{aligned}$$

This theorem allows, for example, to establish:

$$0 < n \implies \text{deadlock-free } (\| | m \in \# mset [0..<n]. P m)$$

under the assumption that a family of processes parameterized by  $m :: nat$  verifies  $\forall m < n. \text{deadlock-free } (P m)$ .

More recently, two operators *Throw* and  $(\Delta)$  have been added. The corresponding continuities and algebraic laws can also be found in this session.

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