

# HOL-CSPM - Architectural operators for HOL-CSP

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# Abstract

Recently, a modern version of Roscoes and Brookes [1] Failure-Divergence Semantics for CSP has been formalized in Isabelle [3].

The session HOL-CSP introduces among other things some binary operators on processes that we will here generalize in a fully-abstract way.

On these "architectural operators", we will prove the properties of refinement, the rules of continuity and the laws of interaction so that they can be easily used.

Finally, we will give examples of their usefulness when trying to model complex systems.



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# Chapter 1

## Introduction

### 1.1 Motivations

HOL-CSP [3] is a formalization in Isabelle/HOL of the work of Hoare and Roscoe on the denotational semantics of the Failure/Divergence Model of CSP. It follows essentially the presentation of CSP in Roscoe's Book "Theory and Practice of Concurrency" [2] and the semantic details in a joint Paper of Roscoe and Brooks "An improved failures model for communicating processes" [1].

In the session HOL-CSP are introduced the type  $\alpha$  process, several classic CSP operators and number of laws that govern their interactions.

Four of them are binary operators: the non-deterministic choice  $P \sqcap Q$ , the deterministic choice  $P \sqcap Q$ , the synchronization  $P \llbracket S \rrbracket Q$  and the sequential composition  $P ; Q$ .

Analogously to the finite sum  $\sum_{i=0}^n a_i$  which is generalization of the addition  $a + b$ , we define generalisations of the binary operators of CSP.

The most straight-forward way to do so would be a fold on a list of processes. However, in many cases, we have additional properties, like commutativity, idempotency, etc. that allow for stronger/more abstract constructions. In particular, in several cases, generalization to unbounded and even infinite index-sets are possible.

The notations we choose are widely inspired by the  $\text{CSP}_M$  syntax of FDR: <https://cocotec.io/fdr/manual/cspm.html>.

In this session we therefore introduce the multi-operators associated respectively with  $P \sqcap Q$ ,  $P \sqcap Q$ ,  $P \llbracket S \rrbracket Q$  and  $P ; Q$ . We prove their continuity and refinements rules, as well as some laws governing their interactions.

We also give the definitions of the POTS and Dining Philosophers examples, which greatly benefit from the newly introduced generalized operators.

Since they appear naturally when modeling complex architectures, we may call them *architectural operators* of CSP.

Finally this session also includes results on the notion of *events-of*, and a very powerful result about *deadlock-free* and *Sync*: the interleaving  $P|||Q$  is *deadlock-free* if  $P$  and  $Q$  are.

## 1.2 The Global Architecture of HOL-CSPM

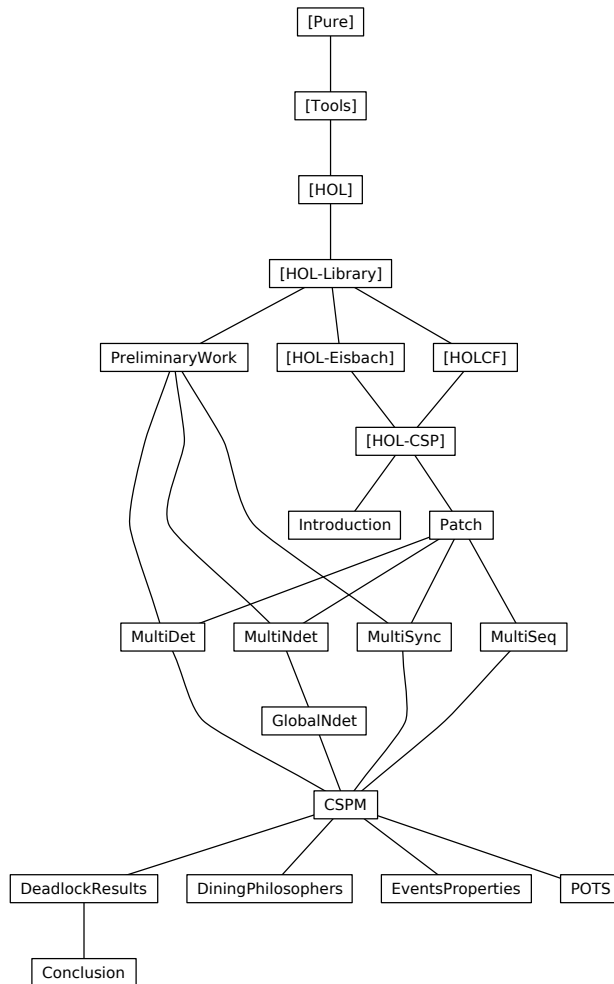


Figure 1.1: The overall architecture

The global architecture of HOL-CSPM is shown in [Figure 1.1](#). The entire package resides on:

1. HOL-Eisbach from the Isabelle/HOL distribution,
2. HOLCF from the Isabelle/HOL distribution, and
3. HOL-CSP 2.0 from the Isabelle Archive of Formal Proofs.



## Chapter 2

# Some Preliminary Work

```
theory PreliminaryWork
  imports HOL-Library.Multiset
begin
```

### 2.1 Induction Rules for $\alpha$ set

```
lemma finite-subset-induct-singleton
  [consumes 3, case-names singleton insertion]:
   $\langle [a \in A; \text{finite } F; F \subseteq A; P \{a\};$ 
   $\bigwedge x F. \text{finite } F \implies x \in A \implies x \notin (\text{insert } a F) \implies P (\text{insert } a F)$ 
   $\implies P (\text{insert } x (\text{insert } a F))] \implies P (\text{insert } a F) \rangle$ 
  apply (erule Finite-Set.finite-subset-induct, simp-all)
  by (metis insert-absorb2 insert-commute)
```

```
lemma finite-set-induct-nonempty
  [consumes 2, case-names singleton insertion]:
  assumes  $\langle A \neq \{\} \rangle$  and  $\langle \text{finite } A \rangle$ 
  and singleton:  $\langle \bigwedge a. a \in A \implies P \{a\} \rangle$ 
  and insert:  $\langle \bigwedge x F. [F \neq \{\}; \text{finite } F; x \in A; x \notin F; P F]$ 
   $\implies P (\text{insert } x F) \rangle$ 
  shows  $\langle P A \rangle$ 
proof -
  obtain  $a A'$  where  $\langle a \in A \rangle \langle \text{finite } A' \rangle \langle A' \subseteq A \rangle \langle A = \text{insert } a A' \rangle$ 
  using  $\langle A \neq \{\} \rangle \langle \text{finite } A \rangle$  by fastforce
  show  $\langle P A \rangle$ 
  apply (subst  $\langle A = \text{insert } a A' \rangle$ , rule finite-subset-induct-singleton[of a A])
  by (simp-all add:  $\langle a \in A \rangle \langle \text{finite } A' \rangle \langle A' \subseteq A \rangle$  singleton insert)
qed
```

```
lemma finite-subset-induct-singleton'
  [consumes 3, case-names singleton insertion]:
   $\langle [a \in A; \text{finite } F; F \subseteq A; P \{a\};$ 
```

$\langle \bigwedge x F. \llbracket \text{finite } F; x \in A; \text{insert } a F \subseteq A; x \notin \text{insert } a F; P (\text{insert } a F) \rrbracket \implies P (\text{insert } a F) \rangle$   
 $\implies P (\text{insert } a F)$   
**apply** (*erule Finite-Set.finite-subset-induct', simp-all*)  
**by** (*metis insert-absorb2 insert-commute*)

**lemma** *induct-subset-empty-single* [*consumes 1*]:  
 $\langle \llbracket \text{finite } A; P \{\#\}; \forall a \in A. P \{a\}; \bigwedge F a. \llbracket a \in A; \text{finite } F; F \subseteq A; F \neq \{\#\}; P F \rrbracket \implies P (\text{insert } a F) \rangle \implies P A$   
**by** (*rule finite-subset-induct'*) *auto*

## 2.2 Induction Rules for $\alpha$ multiset

The following rule comes directly from *HOL-Library.Multiset* but is written with *consumes 2* instead of *consumes 1*. I rewrite here a correct version.

**lemma** *msubset-induct* [*consumes 1, case-names empty add*]:  
 $\langle \llbracket F \subseteq\# A; P \{\#\}; \bigwedge a F. \llbracket a \in\# A; P F \rrbracket \implies P (\text{add-mset } a F) \rrbracket \implies P F$   
**by** (*fact multi-subset-induct*)

**lemma** *msubset-induct-singleton* [*consumes 2, case-names m-singleton add*]:  
 $\langle \llbracket a \in\# A; F \subseteq\# A; P \{\#a\#\}; \bigwedge x F. \llbracket x \in\# A; P (\text{add-mset } a F) \rrbracket \implies P (\text{add-mset } x (\text{add-mset } a F)) \rrbracket \implies P (\text{add-mset } a F) \rangle$   
**by** (*erule msubset-induct, simp-all add: add-mset-commute*)

**lemma** *mset-induct-nonempty* [*consumes 1, case-names m-singleton add*]:  
**assumes**  $\langle A \neq \{\#\} \rangle$   
**and** *m-singleton*:  $\langle \bigwedge a. a \in\# A \implies P \{\#a\#\} \rangle$   
**and** *add*:  $\langle \bigwedge x F. \llbracket F \neq \{\#\}; x \in\# A; P F \rrbracket \implies P (\text{add-mset } x F) \rangle$   
**shows**  $\langle P A \rangle$   
**proof** –  
**obtain**  $a A'$  **where**  $\langle a \in\# A \rangle \langle A' \subseteq\# A \rangle \langle A = \text{add-mset } a A' \rangle$   
**by** (*metis*  $\langle A \neq \{\#\} \rangle$  *diff-subset-eq-self insert-DiffM multiset-nonemptyE*)  
**show**  $\langle P A \rangle$   
**apply** (*subst*  $\langle A = \text{add-mset } a A' \rangle$ , *rule msubset-induct-singleton*[*of a A*])  
**by** (*simp-all add:*  $\langle a \in\# A \rangle \langle A' \subseteq\# A \rangle$  *m-singleton add*)  
**qed**

**lemma** *msubset-induct'* [*consumes 2, case-names empty add*]:  
**assumes**  $\langle F \subseteq\# A \rangle$   
**and** *empty*:  $\langle P \{\#\} \rangle$   
**and** *insert*:  $\langle \bigwedge a F. \llbracket a \in\# A - F; F \subseteq\# A; P F \rrbracket \implies P (\text{add-mset } a F) \rangle$   
**shows**  $\langle P F \rangle$   
**proof** –

```

from  $\langle F \subseteq\# A \rangle$ 
show ?thesis
proof (induct F)
  show  $\langle P \{\#\} \rangle$  by (simp add: assms(2))
next
  case (add x F)
  then show  $\langle P (add\text{-}mset\ x\ F) \rangle$ 
    using Diff-eq-empty-iff-mset add-diff-cancel-left add-diff-cancel-left'
      add-mset-add-single local.insert mset-subset-eq-insertD
      subset-mset.le-iff-add subset-mset.less-imp-le by fastforce
qed
qed

```

**lemma** *msubset-induct-singleton'* [*consumes 2, case-names m-singleton add*]:  
 $\langle \llbracket a \in\# A - F; F \subseteq\# A; P \{\#a\#\};$   
 $\wedge x F. \llbracket x \in\# A - F; F \subseteq\# A; P (add\text{-}mset\ a\ F) \rrbracket$   
 $\implies P (add\text{-}mset\ x (add\text{-}mset\ a\ F)) \rrbracket$   
 $\implies P (add\text{-}mset\ a\ F) \rangle$   
**by** (*erule msubset-induct', simp-all add: add-mset-commute*)

**lemma** *msubset-induct-singleton''* [*consumes 1, case-names m-singleton add*]:  
 $\langle \llbracket add\text{-}mset\ a\ F \subseteq\# A; P \{\#a\#\};$   
 $\wedge x F. \llbracket add\text{-}mset\ x (add\text{-}mset\ a\ F) \subseteq\# A; P (add\text{-}mset\ a\ F) \rrbracket$   
 $\implies P (add\text{-}mset\ x (add\text{-}mset\ a\ F)) \rrbracket$   
 $\implies P (add\text{-}mset\ a\ F) \rangle$   
**apply** (*induct F, simp*)  
**by** (*metis add-mset-commute diff-subset-eq-self subset-mset.trans union-single-eq-diff*)

**lemma** *mset-induct-nonempty'* [*consumes 1, case-names m-singleton add*]:  
**assumes** *nonempty*:  $\langle A \neq \{\#\} \rangle$  **and** *m-singleton*:  $\langle \wedge a. a \in\# A \implies P \{\#a\#\} \rangle$   
**and** *hyp*:  $\langle \wedge a\ x\ F. \llbracket a \in\# A; x \in\# A - add\text{-}mset\ a\ F; add\text{-}mset\ a\ F \subseteq\# A;$   
 $P (add\text{-}mset\ a\ F) \rrbracket \implies P (add\text{-}mset\ x (add\text{-}mset\ a\ F)) \rangle$   
**shows**  $\langle P A \rangle$   
**proof**–  
**obtain**  $a\ A'$  **where**  $\langle A = add\text{-}mset\ a\ A' \rangle \langle add\text{-}mset\ a\ A' \subseteq\# A \rangle$   
**using** *nonempty multiset-cases subset-mset-def* **by** *auto*  
**show**  $\langle P A \rangle$   
**apply** (*subst  $\langle A = add\text{-}mset\ a\ A' \rangle$* )  
**using**  $\langle add\text{-}mset\ a\ A' \subseteq\# A \rangle$   
**proof** (*induct A' rule: msubset-induct-singleton''*)  
**show**  $\langle P \{\#a\#\} \rangle$  **using**  $\langle A = add\text{-}mset\ a\ A' \rangle$  *m-singleton* **by** *force*  
**next**  
**case** (*add x F*)  
**show**  $\langle P (add\text{-}mset\ x (add\text{-}mset\ a\ F)) \rangle$   
**apply** (*subst hyp*)  
**apply** (*simp add:  $\langle A = add\text{-}mset\ a\ A' \rangle$* )

**apply** (*metis*  $\langle \text{add-mset } x \text{ (add-mset } a \text{ } F) \subseteq\# A \rangle \text{ add-mset-add-single}$   
 $\text{mset-subset-eq-insertD subset-mset.add-diff-inverse}$   
 $\text{subset-mset.add-le-cancel-left subset-mset-def}$ )  
**apply** (*meson*  $\langle \text{add-mset } x \text{ (add-mset } a \text{ } F) \subseteq\# A \rangle \text{ mset-subset-eq-insertD}$   
 $\text{subset-mset.dual-order.strict-implies-order}$ )  
**by** (*simp-all*  $\text{add: } \langle P \text{ (add-mset } a \text{ } F) \rangle$ )  
**qed**  
**qed**

**lemma** *induct-subset-mset-empty-single*:  
 $\langle \llbracket P \ \{\#\}; \forall a \in\# M. P \ \{\#a\#\};$   
 $\bigwedge N a. \llbracket a \in\# M; N \subseteq\# M; N \neq \{\#\}; P \ N \rrbracket \implies P \ \text{(add-mset } a \text{ } N) \rrbracket \implies P \ M \rangle$   
**by** (*metis in-diffD mset-induct-nonempty'*)

## 2.3 Strong Induction for *nat*

**lemma** *strong-nat-induct*[*consumes 0, case-names 0 Suc*]:  
 $\langle \llbracket P \ 0; \bigwedge n. (\bigwedge m. m \leq n \implies P \ m) \implies P \ \text{(Suc } n) \rrbracket \implies P \ n \rangle$   
**by** (*induct n rule: nat-less-induct*) (*metis gr0-implies-Suc gr-zeroI less-Suc-eq-le*)

**lemma** *strong-nat-induct-non-zero*[*consumes 1, case-names 1 Suc*]:  
 $\langle \llbracket 0 < n; P \ 1; \bigwedge n. 0 < n \implies (\bigwedge m. 0 < m \wedge m \leq n \implies P \ m) \implies P \ \text{(Suc } n) \rrbracket$   
 $\implies P \ n \rangle$   
**by** (*induct n rule: nat-less-induct*) (*metis One-nat-def gr0-implies-Suc gr-zeroI less-Suc-eq-le*)

## 2.4 Preliminaries for Cartesian Product Results

**lemma** *prem-Multi-cartprod*:  
 $\langle (\lambda(x, y). x \ @ \ y) \ ' (A \times B) = \{s \ @ \ t \ | s \ t. (s, t) \in A \times B\} \rangle$   
 $\langle (\lambda(x, y). x \ \# \ y) \ ' (A' \times B) = \{s \ \# \ t \ | s \ t. (s, t) \in A' \times B\} \rangle$   
 $\langle (\lambda(x, y). [x, y]) \ ' (A' \times B') = \{[s, t] \ | s \ t. (s, t) \in A' \times B'\} \rangle$   
**by** *auto*

**end**



## Chapter 3

# Patch for Compatibility

```
theory Patch
  imports HOL-CSP.Assertions
begin
```

HOL-CSP significantly changed during the past months, but not all the modifications appear in the current version on the AFP. This theory fixes the incompatibilities and will be removed in the next release.

### 3.1 Results

**lemma** *Mprefix-Det-distr*:

```
⟨(□ a ∈ A → P a) □ (□ b ∈ B → Q b) =
  □ x ∈ A ∪ B → ( if x ∈ A ∩ B then P x □ Q x
                    else if x ∈ A then P x else Q x)⟩
(is ⟨?lhs = ?rhs⟩)
```

**proof** (*subst Process-eq-spec, safe*)

```
show ⟨(s, X) ∈ F ?lhs ⟹ (s, X) ∈ F ?rhs⟩ for s X
  by (simp add: F-Det F-Mprefix F-Ndet disjoint-iff, safe, auto)
```

**next**

```
show ⟨(s, X) ∈ F ?rhs ⟹ (s, X) ∈ F ?lhs⟩ for s X
  by (auto simp add: F-Mprefix F-Ndet F-Det split: if-split-asm)
```

**next**

```
show ⟨s ∈ D ?lhs ⟹ s ∈ D ?rhs⟩ for s
  by (simp add: D-Det D-Mprefix D-Ndet, safe, auto)
```

**next**

```
show ⟨s ∈ D ?rhs ⟹ s ∈ D ?lhs⟩ for s
  by (auto simp add: D-Mprefix D-Ndet D-Det split: if-split-asm)
```

**qed**

**lemma** *F-Mndetprefix*:

```
⟨F (Mndetprefix A P) =
  (if A = {} then {(s, X). s = []} else ∪ x∈A. F (x → P x))⟩
```

by (simp add: F-Mndetprefix F-STOP)

**lemma** *D-Mndetprefix*:

$\langle \mathcal{D} (Mndetprefix A P) = (if A = \{\} then \{\} else \bigcup x \in A. \mathcal{D} (x \rightarrow P x)) \rangle$   
 by (simp add: D-Mndetprefix D-STOP)

**lemma** *T-Mndetprefix*:

$\langle \mathcal{T} (Mndetprefix A P) = (if A = \{\} then \{\} else \bigcup x \in A. \mathcal{T} (x \rightarrow P x)) \rangle$   
 by (simp add: T-Mndetprefix T-STOP)

**lemma** *D-expand* :

$\langle \mathcal{D} P = \{t1 @ t2 \mid t1 t2. t1 \in \mathcal{D} P \wedge tickFree t1 \wedge front-tickFree t2\}$   
 (is  $\langle \mathcal{D} P = ?rhs \rangle$ )

**proof** (intro subset-antisym subsetI)

**show**  $\langle s \in \mathcal{D} P \implies s \in ?rhs \rangle$  **for**  $s$

**apply** (simp, cases  $\langle tickFree s \rangle$ )

**apply** (rule-tac  $x = s$  in  $exI$ , rule-tac  $x = \langle \rangle$  in  $exI$ , simp)

**apply** (rule-tac  $x = \langle butlast s \rangle$  in  $exI$ , rule-tac  $x = \langle [tick] \rangle$  in  $exI$ , simp)

**by** (metis front-tickFree-implies-tickFree nonTickFree-n-frontTickFree  
 process-chn snoc-eq-iff-butlast)

**next**

**show**  $\langle s \in ?rhs \implies s \in \mathcal{D} P \rangle$  **for**  $s$

**using** is-processT7 **by** blast

**qed**

**lemma** *F-Seq*:  $\langle \mathcal{F} (P ; Q) = \{(t, X). (t, X \cup \{tick\}) \in \mathcal{F} P \wedge tickFree t\} \cup$   
 $\{(t1 @ t2, X) \mid t1 t2 X. t1 @ [tick] \in \mathcal{T} P \wedge (t2, X) \in \mathcal{F} Q\} \cup$   
 $\{(t1, X) \mid t1 X. t1 \in \mathcal{D} P\}$

**proof** –

**have** \* :  $\langle \{(t, X). \exists t1 t2. t = t1 @ t2 \wedge t1 @ [tick] \in \mathcal{T} P \wedge t2 \in \mathcal{D} Q\} \subseteq$   
 $\{(t1 @ t2, X) \mid t1 t2 X. t1 @ [tick] \in \mathcal{T} P \wedge (t2, X) \in \mathcal{F} Q\}$

**using** is-processT8 **by** blast

**have** \*\* :  $\langle \{(t1, X) \mid t1 X. t1 \in \mathcal{D} P\} =$

$\{(t, X). \exists t1 t2. t = t1 @ t2 \wedge t1 \in \mathcal{D} P \wedge tickFree t1 \wedge front-tickFree$   
 $t2\}$

**by** (subst D-expand) blast

**show** ?thesis

**apply** (subst Un-absorb1[OF \*, symmetric], subst \*\*)

**by** (simp add: F-Seq) blast

**qed**

**lemma** *D-Seq*:

$\langle \mathcal{D} (P ; Q) = \mathcal{D} P \cup \{t1 @ t2 \mid t1 t2. t1 @ [tick] \in \mathcal{T} P \wedge t2 \in \mathcal{D} Q\}$   
 by (subst (2) D-expand) (auto simp add: D-Seq)

**lemma** *T-Seq*:  $\langle \mathcal{T} (P ; Q) = \{t. \exists X. (t, X \cup \{tick\}) \in \mathcal{F} P \wedge tickFree t\} \cup \{t1 @ t2 \mid t1 t2. t1 @ [tick] \in \mathcal{T} P \wedge t2 \in \mathcal{T} Q\} \cup \mathcal{D} P \rangle$   
**by** (*auto simp add: Traces-def TRACES-def Failures-def[symmetric] F-Seq*)

**lemma** *tickFree-butlast*:  
 $\langle tickFree s \longleftrightarrow tickFree (butlast s) \wedge (s \neq [] \longrightarrow last s \neq tick) \rangle$   
**by** (*induct s simp-all*)

**lemma** *front-tickFree-butlast*:  $\langle front-tickFree s \longleftrightarrow tickFree (butlast s) \rangle$   
**by** (*induct s (auto simp add: front-tickFree-def)*)

**lemma** *STOP-iff-T*:  $\langle P = STOP \longleftrightarrow \mathcal{T} P = \{[]\} \rangle$   
**apply** (*intro iffI, simp add: T-STOP*)  
**apply** (*subst Process-eq-spec, safe, simp-all add: F-STOP D-STOP*)  
**by** (*use F-T in force, use is-processT5-S7 in fastforce*)  
*(metis D-T append-Nil front-tickFree-single is-processT7-S list.distinct(1) singletonD tickFree-Nil)*

**lemma** *BOT-iff-D*:  $\langle P = \perp \longleftrightarrow [] \in \mathcal{D} P \rangle$   
**apply** (*intro iffI, simp add: D-UU*)  
**apply** (*subst Process-eq-spec, safe*)  
**by** (*simp-all add: F-UU D-UU is-processT2 D-imp-front-tickFree*)  
*(metis append-Nil is-processT tickFree-Nil)+*

**lemma** *Ndet-is-STOP-iff*:  $\langle P \sqcap Q = STOP \longleftrightarrow P = STOP \wedge Q = STOP \rangle$   
**using** *Nil-subset-T* **by** (*simp add: STOP-iff-T T-Ndet, blast*)

**lemma** *Det-is-STOP-iff*:  $\langle P \sqcap Q = STOP \longleftrightarrow P = STOP \wedge Q = STOP \rangle$   
**using** *Nil-subset-T* **by** (*simp add: STOP-iff-T T-Det, blast*)

**lemma** *Det-is-BOT-iff*:  $\langle P \sqcap Q = \perp \longleftrightarrow P = \perp \vee Q = \perp \rangle$   
**by** (*simp add: BOT-iff-D D-Det*)

**lemma** *Ndet-is-BOT-iff*:  $\langle P \sqcap Q = \perp \longleftrightarrow P = \perp \vee Q = \perp \rangle$   
**by** (*simp add: BOT-iff-D D-Ndet*)

**lemma** *Sync-is-BOT-iff*:  $\langle P \llbracket S \rrbracket Q = \perp \longleftrightarrow P = \perp \vee Q = \perp \rangle$   
**by** (*rule HOL.sym, intro iffI, metis Sync-BOT Sync-commute*)  
*(use empty-setinterleaving in (auto simp add: BOT-iff-D D-Sync))*

**lemma** *STOP-neq-BOT*:  $\langle STOP \neq \perp \rangle$   
**by** (*simp add: D-STOP BOT-iff-D*)

**lemma** *SKIP-neq-BOT*:  $\langle \text{SKIP} \neq \perp \rangle$   
**by** (*simp add: D-SKIP BOT-iff-D*)

**lemma** *Mprefix-neq-BOT*:  $\langle \text{Mprefix } A \ P \neq \perp \rangle$   
**by** (*simp add: BOT-iff-D*)

**lemma** *Mndetprefix-neq-BOT*:  $\langle \text{Mndetprefix } A \ P \neq \perp \rangle$   
**by** (*cases*  $\langle A = \{\} \rangle$ ) (*simp-all add: D-STOP BOT-iff-D D-Mndetprefix write0-def*)

**lemma** *STOP-T-iff*:  $\langle \text{STOP} \sqsubseteq_T P \longleftrightarrow P = \text{STOP} \rangle$   
**by** *auto* (*metis Nil-elem-T STOP-iff-T empty-iff subset-singletonD trace-refine-def*)

**lemma** *STOP-F-iff*:  $\langle \text{STOP} \sqsubseteq_F P \longleftrightarrow P = \text{STOP} \rangle$   
**by** *auto* (*metis Nil-elem-T STOP-iff-T empty-iff leF-imp-leT subset-singletonD trace-refine-def*)

**lemma** *STOP-FD-iff*:  $\langle \text{STOP} \sqsubseteq_{FD} P \longleftrightarrow P = \text{STOP} \rangle$   
**using** *STOP-F-iff idem-FD leFD-imp-leF* **by** *blast*

**lemma** *SKIP-FD-iff*:  $\langle \text{SKIP} \sqsubseteq_{FD} P \longleftrightarrow P = \text{SKIP} \rangle$   
**apply** (*subst Process-eq-spec,*  
*auto simp: failure-divergence-refine-def le-ref-def F-SKIP D-SKIP subset-iff*)  
**by** (*metis F-T insertI1 is-processT5-S6 is-processT6-S2*)  
*(metis F-T append.left-neutral insertI1 is-processT5-S6 tick-T-F)*

**lemma** *SKIP-F-iff*:  $\langle \text{SKIP} \sqsubseteq_F P \longleftrightarrow P = \text{SKIP} \rangle$   
**apply** (*subst Process-eq-spec,*  
*auto simp: Process-eq-spec failure-refine-def le-ref-def F-SKIP D-SKIP subset-iff*)  
**apply** (*metis F-T insertI1 is-processT5-S6 is-processT6-S2*)  
**apply** (*metis F-T append.left-neutral insertI1 is-processT5-S6 tick-T-F*)  
**by** (*metis append-Nil insertI1 neq-Nil-conv process-charn*)

**lemma** *Seq-is-SKIP-iff*:  $\langle P ; Q = \text{SKIP} \longleftrightarrow P = \text{SKIP} \wedge Q = \text{SKIP} \rangle$   
**proof** (*intro iffI*)  
**show**  $\langle P = \text{SKIP} \wedge Q = \text{SKIP} \implies P ; Q = \text{SKIP} \rangle$   
**by** (*simp add: Seq-SKIP*)  
**next**  
**have**  $\langle P ; Q = \text{SKIP} \implies (Q = \text{SKIP} \longrightarrow P = \text{SKIP}) \wedge Q = \text{SKIP} \rangle$   
**proof** (*intro conjI impI*)  
**show**  $\langle P ; Q = \text{SKIP} \implies Q = \text{SKIP} \implies P = \text{SKIP} \rangle$   
**by** (*simp add: Seq-SKIP*)  
**next**  
**show**  $\langle P ; Q = \text{SKIP} \implies Q = \text{SKIP} \rangle$   
**apply** (*subst (asm) SKIP-F-iff[symmetric], subst SKIP-F-iff[symmetric]*)

**unfolding** *failure-refine-def*  
**apply** (*subst (asm) subset-iff, subst subset-iff*)  
**by** (*auto simp add:F-Seq F-SKIP*)  
*(metis (no-types, opaque-lifting) F-T append-Nil insert-absorb insert-iff*  
*is-processT5-S6 tickFree-Nil)+*  
**qed**  
**thus**  $\langle P ; Q = SKIP \implies P = SKIP \wedge Q = SKIP \rangle$  **by** *blast*  
**qed**

## 3.2 The Renaming Operator

### 3.2.1 Some Preliminaries

**setup-lifting** *type-definition-process*

**definition** *EvExt* **where**  $\langle EvExt\ f\ x \equiv case\ event\ (ev\ o\ f)\ tick\ x \rangle$

**definition** *finitary*  $:: \langle 'a \Rightarrow 'b \rangle \Rightarrow bool$   
**where**  $\langle finitary\ f \equiv \forall x. finite\ (f\ -'\ \{x\}) \rangle$

We start with some simple results.

**lemma**  $\langle f\ -'\ \{\} = \{\} \rangle$  **by** *simp*

**lemma**  $\langle X \subseteq Y \implies f\ -'\ X \subseteq f\ -'\ Y \rangle$  **by** (*rule vimage-mono*)

**lemma**  $\langle f\ -'\ (X \cup Y) = f\ -'\ X \cup f\ -'\ Y \rangle$  **by** (*rule vimage-Un*)

**lemma** *EvExt-id*:  $\langle EvExt\ id = id \rangle$   
**unfolding** *id-def EvExt-def* **by** (*metis comp-apply event.exhaust event.simps(4, 5)*)

**lemma** *EvExt-eq-tick*:  $\langle EvExt\ f\ a = tick \longleftrightarrow a = tick \rangle$   
**by** (*metis EvExt-def comp-apply event.exhaust event.simps(3, 4, 5)*)

**lemma** *tick-eq-EvExt*:  $\langle tick = EvExt\ f\ a \longleftrightarrow a = tick \rangle$   
**by** (*metis EvExt-eq-tick*)

**lemma** *EvExt-ev1*:  
 $\langle EvExt\ f\ b = ev\ a \longleftrightarrow (\exists c. b = ev\ c \wedge EvExt\ f\ (ev\ c) = ev\ a) \rangle$   
**by** (*metis event.exhaust event.simps(3) tick-eq-EvExt*)

**lemma** *EvExt-tF*:  $\langle tickFree\ (map\ (EvExt\ f)\ s) \longleftrightarrow tickFree\ s \rangle$   
**unfolding** *tickFree-def* **by** (*auto simp add: tick-eq-EvExt image-iff*)

**lemma** *inj-EvExt*:  $\langle inj\ EvExt \rangle$   
**unfolding** *inj-on-def EvExt-def*

by *auto* (*metis comp-eq-dest-lhs event.simps*(4, 5) *ext*)

**lemma** *EvExt-ftF*:  $\langle \text{front-tickFree } (\text{map } (\text{EvExt } f) s) \longleftrightarrow \text{front-tickFree } s \rangle$   
**unfolding** *front-tickFree-def*  
**by** *safe* (*metis* (*no-types*, *opaque-lifting*) *EvExt-tF map-tl rev-map*) $+$

**lemma** *map-EvExt-tick*:  $\langle [\text{tick}] = \text{map } (\text{EvExt } f) t \longleftrightarrow t = [\text{tick}] \rangle$   
**using** *tick-eq-EvExt* **by** *fastforce*

**lemma** *antedec-EvExt-diff-tick*:  
 $\langle \text{EvExt } f -' (X - \{\text{tick}\}) = \text{EvExt } f -' X - \{\text{tick}\} \rangle$   
**by** (*rule subset-antisym*)  
*(simp-all add: EvExt-eq-tick subset-Diff-insert subset-vimage-iff)*

**lemma** *ev-elem-antedec1*:  $\langle \text{ev } a \in \text{EvExt } f -' A \longleftrightarrow \text{ev } (f a) \in A \rangle$   
**and** *tick-elem-antedec1*:  $\langle \text{tick} \in \text{EvExt } f -' A \longleftrightarrow \text{tick} \in A \rangle$   
**unfolding** *EvExt-def* **by** *simp-all*

**lemma** *hd-map-EvExt*:  
 $\langle t \neq [] \implies \text{hd } t = \text{ev } a \implies \text{hd } (\text{map } (\text{EvExt } f) t) = \text{ev } (f a) \rangle$   
**and** *tl-map-EvExt*:  $\langle t \neq [] \implies \text{tl } (\text{map } (\text{EvExt } f) t) = \text{map } (\text{EvExt } f) (\text{tl } t) \rangle$   
**by** (*simp-all add: EvExt-def list.map-sel*(1) *map-tl*)

### 3.2.2 The Renaming Operator Definition

**lift-definition** *Renaming* ::  $\langle [ 'a \text{ process}, 'a \Rightarrow 'b ] \Rightarrow 'b \text{ process} \rangle$   
**is**  $\langle \lambda P f. (\{ (s, R). \exists s1. (s1, (\text{EvExt } f) -' R) \in \mathcal{F} P \wedge$   
 $s = \text{map } (\text{EvExt } f) s1 \} \cup$   
 $\{ (s, R). \exists s1 s2. \text{tickFree } s1 \wedge \text{front-tickFree } s2 \wedge$   
 $s = (\text{map } (\text{EvExt } f) s1) @ s2 \wedge s1 \in \mathcal{D} P \},$   
 $\{ t. \exists s1 s2. \text{tickFree } s1 \wedge \text{front-tickFree } s2 \wedge$   
 $t = (\text{map } (\text{EvExt } f) s1) @ s2 \wedge s1 \in \mathcal{D} P \}) \rangle$

**proof** –

**show**  $\langle ?thesis P f \rangle$  (**is** *is-process*(*?f*, *?d*)) **for**  $P f$   
**unfolding** *is-process-def FAILURES-def DIVERGENCES-def fst-conv snd-conv*

**proof** (*intro conjI allI impI*)

**show**  $\langle ([], \{\}) \in ?f \rangle$

**by** (*simp add: process-charn*)

**next**

**show**  $\langle (s, X) \in ?f \implies \text{front-tickFree } s \rangle$  **for**  $s X$

**by** (*auto simp add: EvExt-ftF is-processT2 EvExt-tF front-tickFree-append*)

```

next
  show  $\langle (s @ t, \{\}) \in ?f \implies (s, \{\}) \in ?f \rangle$  for  $s t$ 
  proof (induct t rule: rev-induct)
    show  $\langle (s @ [], \{\}) \in ?f \implies (s, \{\}) \in ?f \rangle$  by simp
  next
    fix t x
    assume hyp :  $\langle (s @ t, \{\}) \in ?f \implies (s, \{\}) \in ?f \rangle$ 
      and prem :  $\langle (s @ t @ [x], \{\}) \in ?f \rangle$ 
    from prem consider  $\langle \exists s1. (s1, \{\}) \in \mathcal{F} P \wedge s @ t @ [x] = \text{map } (\text{EvExt } f) s1 \rangle$ 
  s1)
    |  $\langle \exists s1. \text{tickFree } s1 \wedge (\exists s2. \text{front-tickFree } s2 \wedge$ 
       $s @ t @ [x] = \text{map } (\text{EvExt } f) s1 @ s2 \wedge s1 \in \mathcal{D} P) \rangle$  by fast
  thus  $\langle (s, \{\}) \in ?f \rangle$ 
  proof cases
    assume  $\langle \exists s1. (s1, \{\}) \in \mathcal{F} P \wedge s @ t @ [x] = \text{map } (\text{EvExt } f) s1 \rangle$ 
    then obtain s1 where * :  $\langle (s1, \{\}) \in \mathcal{F} P \rangle \langle s @ t @ [x] = \text{map } (\text{EvExt } f) s1 \rangle$ 
  s1) by blast
    hence  $\langle (\text{butlast } s1, \{\}) \in \mathcal{F} P \rangle \langle s @ t = \text{map } (\text{EvExt } f) (\text{butlast } s1) \rangle$ 
      by (metis append-butlast-last-id butlast.simps(1) is-processT3-SR)
        (metis *(2) append.assoc butlast-snoc map-butlast)
    with hyp show  $\langle (s, \{\}) \in ?f \rangle$  by auto
  next
    assume  $\langle \exists s1. \text{tickFree } s1 \wedge (\exists s2. \text{front-tickFree } s2 \wedge$ 
       $s @ t @ [x] = \text{map } (\text{EvExt } f) s1 @ s2 \wedge s1 \in \mathcal{D} P) \rangle$ 
    then obtain s1 s2
      where * :  $\langle \text{tickFree } s1 \rangle \langle \text{front-tickFree } s2 \rangle$ 
       $\langle s @ t @ [x] = \text{map } (\text{EvExt } f) s1 @ s2 \rangle \langle s1 \in \mathcal{D} P \rangle$  by blast
    show  $\langle (s, \{\}) \in ?f \rangle$ 
    proof (cases s2 rule: rev-cases)
      from *(3, 4) show  $\langle s2 = [] \implies (s, \{\}) \in ?f \rangle$ 
        by (simp add: append-eq-map-conv)
          (metis NT-ND T-F is-processT3-ST)
    next
      fix y s2'
      assume  $\langle s2 = s2' @ [y] \rangle$ 
      with * front-tickFree-dw-closed have  $\langle (s @ t, \{\}) \in ?f \rangle$  by simp blast
      thus  $\langle (s, \{\}) \in ?f \rangle$  by (rule hyp)
    qed
  qed
  next
    show  $\langle (s, Y) \in ?f \wedge X \subseteq Y \implies (s, X) \in ?f \rangle$  for  $s X Y$ 
    apply (induct s rule: rev-induct, simp-all)
    by (meson is-processT4 vimage-mono)
      (metis process-charn vimage-mono)
  next
    show  $\langle (s, X) \in ?f \wedge (\forall c. c \in Y \longrightarrow (s @ [c], \{\}) \notin ?f) \implies (s, X \cup Y) \in ?f \rangle$ 
    for  $s X Y$ 
    by auto (metis (no-types, lifting) is-processT5 list.simps(8, 9) map-append)

```

```

vimageE)
next
  show  $\langle s @ [tick], \{\} \rangle \in ?f \implies (s, X - \{tick\}) \in ?f$  for  $s X$ 
  apply (simp, elim disjE)
  by (metis (no-types, lifting) anteced-EvExt-diff-tick is-processT6 map-EvExt-tick
      map-eq-append-conv)
      (metis EvExt-tF append.assoc butlast-snoc front-tickFree-charn non-tickFree-tick
        tickFree-Nil tickFree-append tickFree-implies-front-tickFree)
next
  show  $\langle s \in ?d \wedge tickFree s \wedge front-tickFree t \implies s @ t \in ?d \rangle$  for  $s t$ 
  using front-tickFree-append by safe auto
next
  show  $\langle s \in ?d \implies (s, X) \in ?f \rangle$  for  $s X$  by blast

next
  fix s
  assume  $\langle s @ [tick] \in ?d \rangle$ 
  then obtain  $t1 t2$ 
  where  $\langle tickFree t1 \rangle \langle front-tickFree t2 \rangle$ 
   $\langle s @ [tick] = map (EvExt f) t1 @ t2 \rangle \langle t1 \in \mathcal{D} P \rangle$  by blast
  thus  $\langle s \in ?d \rangle$ 
  apply simp
  apply (rule-tac x = t1 in exI, simp)
  apply (rule-tac x =  $\langle butlast t2 \rangle$  in exI)
  by (metis EvExt-tF butlast-append butlast-snoc front-tickFree-butlast
      non-tickFree-tick tickFree-append tickFree-butlast)

qed
qed

```

Some syntactic sugar

```

syntax
  -Renaming ::  $\langle 'a process \Rightarrow updbinds \Rightarrow 'a process \rangle (\langle -[-] \rangle [100, 100])$ 
translations
  -Renaming P updates  $\equiv$  CONST Renaming P (-Update (CONST id) updates)

```

Now we can write  $P[a := b]$ . But like in *HOL.Fun*, we can write this kind of expression with as many updates we want:  $P[a := b, c := d, e := f, g := h]$ . By construction we also inherit all the results about *fun-upd*, for example:

$$z \neq x \implies (f(x := y)) z = f z$$

$$f(x := y, x := z) = f(x := z)$$

$$a \neq c \implies m(a := b, c := d) = m(c := d, a := b).$$

**lemma**  $\langle P[x := y, x := z] = P[x := z] \rangle$  by simp

**lemma**  $\langle a \neq c \implies P[a := b, c := d] = P[c := d, a := b] \rangle$   
 by (simp add: fun-upd-twist)



### 3.2.3 The Projections

**lemma** *F-Renaming*:  $\langle \mathcal{F} (\text{Renaming } P f) = \{(s, R). \exists s1. (s1, \text{EvExt } f - ' R) \in \mathcal{F} P \wedge s = \text{map } (\text{EvExt } f) s1\} \cup \{(s, R). \exists s1 s2. \text{tickFree } s1 \wedge \text{front-tickFree } s2 \wedge s = \text{map } (\text{EvExt } f) s1 @ s2 \wedge s1 \in \mathcal{D} P\} \rangle$   
**by** (*simp add: Failures-def FAILURES-def Renaming.rep-eq*)

**lemma** *D-Renaming*:  
 $\langle \mathcal{D} (\text{Renaming } P f) = \{t. \exists s1 s2. \text{tickFree } s1 \wedge \text{front-tickFree } s2 \wedge t = \text{map } (\text{EvExt } f) s1 @ s2 \wedge s1 \in \mathcal{D} P\} \rangle$   
**by** (*simp add: Divergences-def DIVERGENCES-def Renaming.rep-eq*)

**lemma** *T-Renaming*:  $\langle \mathcal{T} (\text{Renaming } P f) = \{t. \exists t1. t1 \in \mathcal{T} P \wedge t = \text{map } (\text{EvExt } f) t1\} \cup \{t. \exists t1 t2. \text{tickFree } t1 \wedge \text{front-tickFree } t2 \wedge t = \text{map } (\text{EvExt } f) t1 @ t2 \wedge t1 \in \mathcal{D} P\} \rangle$   
**by** (*auto simp add: Traces-def TRACES-def Failures-def[symmetric] F-Renaming*)  
*(metis F-T T-F vimage-empty)*

### 3.2.4 Continuity Rule

**Monotonicity of Renaming.**

**lemma** *mono-Renaming[simp]* :  $\langle (\text{Renaming } P f) \sqsubseteq (\text{Renaming } Q f) \rangle$  **if**  $\langle P \sqsubseteq Q \rangle$   
**proof** (*unfold le-approx-def, intro conjI subset-antisym subsetI allI impI*)  
**show**  $\langle s \in \mathcal{D} (\text{Renaming } Q f) \implies s \in \mathcal{D} (\text{Renaming } P f) \rangle$  **for**  $s$   
**using** *that[THEN le-approx1]* **by** (*auto simp add: D-Renaming*)  
**next**  
**show**  $\langle s \notin \mathcal{D} (\text{Renaming } P f) \implies X \in \mathcal{R}_a (\text{Renaming } P f) s \implies X \in \mathcal{R}_a (\text{Renaming } Q f) s \rangle$  **for**  $s X$   
**using** *that[THEN le-approx2]* **apply** (*simp add: Ra-def D-Renaming F-Renaming*)  
**by** (*metis (no-types, opaque-lifting) append.right-neutral butlast.simps(2) front-tickFree-butlast front-tickFree-mono list.distinct(1) map-EvExt-tick map-append nonTickFree-n-frontTickFree process-charn*)  
**next**  
**show**  $\langle s \notin \mathcal{D} (\text{Renaming } P f) \implies X \in \mathcal{R}_a (\text{Renaming } Q f) s \implies X \in \mathcal{R}_a (\text{Renaming } P f) s \rangle$  **for**  $s X$   
**using** *that[THEN le-approx2]* *that[THEN le-approx1]*  
**by** (*simp add: Ra-def D-Renaming F-Renaming subset-iff*)  
*(metis is-processT8-S)*  
**next**  
**fix**  $s$   
**assume**  $*$  :  $\langle s \in \text{min-elems } (\mathcal{D} (\text{Renaming } P f)) \rangle$   
**from** *elem-min-elems[OF \*]* **obtain**  $s1 s2$   
**where**  $**$  :  $\langle \text{tickFree } s1 \rangle \langle \text{front-tickFree } s2 \rangle$   
 $\langle s = \text{map } (\text{EvExt } f) s1 @ s2 \rangle \langle s1 \in \mathcal{D} P \rangle$   
 $\langle \text{front-tickFree } s \rangle$   
**using** *D-imp-front-tickFree D-Renaming* **by** *blast*  
**with** *min-elems-no[OF \*, of  $\langle \text{butlast } s \rangle$ ]* **have**  $\langle s2 = [] \rangle$

**by** (*cases s rule: rev-cases; simp add: D-Renaming*)  
 (*metis butlast-append butlast-snoc front-tickFree-butlast less-self*  
*nless-le tickFree-implies-front-tickFree*)  
**with** **\*\*** *min-elems-no*[*OF \**, *of <butlast s>*] **have**  $\langle s1 \in \text{min-elems } (D P) \rangle$   
**apply** (*cases s; simp add: D-Renaming Nil-min-elems*)  
**by** (*metis (no-types, lifting) D-imp-front-tickFree append.right-neutral but-*  
*last-snoc*  
*front-tickFree-chnr less-self list.discI*  
*list.map-disc-iff map-butlast min-elems1 nless-le*)  
**hence**  $\langle s1 \in \mathcal{T} Q \rangle$  **using** *that*[*THEN le-approx3*] **by** *blast*  
**show**  $\langle s \in \mathcal{T} (\text{Renaming } Q f) \rangle$   
**apply** (*simp add: \*\*(3) <s2 = []> T-Renaming*)  
**using**  $\langle s1 \in \mathcal{T} Q \rangle$  **by** *blast*  
**qed**

**lemma**  $\langle \text{ev } c \mid c. f c = a \rangle = \text{ev } \{c . f c = a\}$  **by** (*rule setcompr-eq-image*)

**lemma** *vimage-EvExt-subset-vimage*:  $\langle \text{EvExt } f - \langle \text{ev } \{A\} \subseteq \text{insert tick } (\text{ev } \{f - \{A\}\}) \rangle \rangle$   
**and** *vimage-subset-vimage-EvExt*:  $\langle (\text{ev } \{f - \{A\}\}) \subseteq (\text{EvExt } f - \langle \text{ev } \{A\} \rangle - \{ \text{tick} \}) \rangle$   
**unfolding** *EvExt-def* **by** *auto (metis comp-apply event.exhaust event.simps(4) image-iff vimage-eq)*

### Useful Results about *finitary*, and Preliminaries Lemmas for Continuity.

**lemma** *inj-imp-finitary*[*simp*] :  $\langle \text{inj } f \implies \text{finitary } f \rangle$  **by** (*simp add: finitary-def finite-vimageI*)

**lemma** *finitary-comp-iff* :  $\langle \text{finitary } g \implies \text{finitary } (g \circ f) \iff \text{finitary } f \rangle$   
**proof** (*unfold finitary-def, intro iffI allI;*  
*(subst vimage-comp[symmetric] | subst (asm) vimage-comp[symmetric]))*  
**have** *f1*:  $\langle f - \langle g - \{x\} \rangle = (\bigcup y \in g - \{x\}. f - \{y\}) \rangle$  **for**  $x$  **by** *blast*  
**show**  $\langle \text{finite } (f - \langle g - \{x\} \rangle) \rangle$  **if**  $\langle \forall x. \text{finite } (f - \{x\}) \rangle$  **and**  $\langle \forall x. \text{finite } (g - \{x\}) \rangle$  **for**  $x$   
**apply** (*subst f1, subst finite-UN*)  
**by** (*rule that(2)[unfolded finitary-def, rule-format]*)  
*(intro ballI that(1)[rule-format])*  
**qed** (*metis finite-Un insert-absorb vimage-insert vimage-singleton-eq*)

**lemma** *finitary-updated-iff*[*simp*] :  $\langle \text{finitary } (f (a := b)) \iff \text{finitary } f \rangle$   
**proof** (*unfold fun-upd-def finitary-def vimage-def, intro iffI allI*)  
**show**  $\langle \text{finite } \{x. (\text{if } x = a \text{ then } b \text{ else } f x) \in \{y\}\} \rangle$

```

if  $\langle \forall y. \text{finite } \{x. f x \in \{y\}\} \rangle$  for  $y$ 
apply (rule finite-subset[of -  $\langle \{x. x = a\} \cup \{x. f x \in \{y\}\} \rangle$ ], (auto)[1])
apply (rule finite-UnI)
by simp (fact that[rule-format])
next
show  $\langle \text{finite } \{x. f x \in \{y\}\} \rangle$  if  $\langle \forall y. \text{finite } \{x. (\text{if } x = a \text{ then } b \text{ else } f x) \in \{y\}\} \rangle$ 
for  $y$ 
apply (rule finite-subset[of -  $\langle \{x. x = a \wedge f x \in \{y\}\} \cup \{x. x \neq a \wedge f x \in \{y\}\} \rangle$ ], (auto)[1])
apply (rule finite-UnI)
using that[rule-format, of  $y$ ] by (simp-all add: Collect-mono rev-finite-subset)
qed

```

By declaring this simp, we automatically obtain this kind of result.

```

lemma  $\langle \text{finitary } f \longleftrightarrow \text{finitary } (f (a := b, c := d, e := g, h := i)) \rangle$  by simp

```

```

lemma le-snoc-is :  $\langle t \leq s @ [x] \longleftrightarrow t = s @ [x] \vee t \leq s \rangle$ 
by (metis append-eq-first-pref-spec le-list-def order.trans self-append-conv)

```

```

lemma Cont-RenH2:  $\langle \text{finitary } (\text{EvExt } f) \longleftrightarrow \text{finitary } f \rangle$ 

```

**proof** –

```

have inj-ev:  $\langle \text{inj } ev \rangle$  by (simp add: inj-def)
show  $\langle \text{finitary } (\text{EvExt } f) \longleftrightarrow \text{finitary } f \rangle$ 
apply (subst finitary-comp-iff[of  $ev$   $f$ , symmetric, OF inj-imp-finitary[OF inj-ev]])
proof (intro iffI; subst finitary-def, intro allI)
have inj-ev:  $\langle \text{inj } ev \rangle$  by (simp add: inj-def)
show  $\langle \text{finite } ((ev \circ f) - \{x\}) \rangle$  if  $\langle \text{finitary } (\text{EvExt } f) \rangle$  for  $x$ 
apply (fold vimage-comp)
proof (cases  $\langle x = \text{tick} \rangle$ , (insert finite.simps)[1], blast)
assume  $\langle x \neq \text{tick} \rangle$ 
with subset-singletonD[OF inj-vimage-singleton[OF inj-ev, of  $x$ ]] obtain  $y$ 
where  $f1: \langle ev - \{x\} = \{y\} \rangle$ 
by auto (metis empty-iff event.exhaust vimage-singleton-eq)
have  $f2: \langle (f - \{y\}) = ev - \{ev ' f - \{y\}\} \rangle$  by (simp add: inj-ev inj-vimage-image-eq)
show  $\langle \text{finite } (f - \{ev - \{x\}\}) \rangle$ 
apply (subst  $f1$ , subst  $f2$ )
apply (rule finite-vimageI[OF inj-ev])
apply (rule finite-subset[OF vimage-subset-vimage-EvExt])
apply (rule finite-Diff)
using finitary-def that by fastforce
qed
next
show  $\langle \text{finite } (\text{EvExt } f - \{x\}) \rangle$  if  $\langle \text{finitary } (ev \circ f) \rangle$  for  $x$ 
proof (cases  $\langle x = \text{tick} \rangle$ ,
metis Diff-cancel anteced-EvExt-diff-tick finite.emptyI
infinite-remove vimage-empty)

```

```

assume  $\langle x \neq \text{tick} \rangle$ 
with subset-singletonD[OF inj-vimage-singleton[OF inj-ev, of  $x$ ]] obtain  $y$ 
  where  $f1: \langle \{x\} = \text{ev } \{y\} \rangle$  using event.exhaust by auto
show  $\langle \text{finite } (\text{EvExt } f - \{x\}) \rangle$ 
  apply (subst f1)
  apply (rule finite-subset[OF vimage-EvExt-subset-vimage])
  apply (subst finite-insert)
  apply (rule finite-imageI)
  by (fact finitary-comp-iff
    [OF inj-imp-finitary[OF inj-ev], of  $f$ ,
      simplified that, simplified, unfolded finitary-def, rule-format])
qed
qed
qed

```

**lemma** *Cont-RenH3*:  $\langle \{s1 @ t1 \mid s1 t1. (\exists b. s1 = [b] \ \& \ f \ b = a) \wedge \text{list} = \text{map } f \ t1\} =$   
 $(\bigcup b \in f - \{a\}. (\lambda t. b \# t) \ \langle \{t. \text{list} = \text{map } f \ t\} \rangle$   
**by** *auto* (*metis append-Cons append-Nil*)

**lemma** *Cont-RenH4*:  $\langle \text{finitary } f \iff (\forall s. \text{finite } \{t. s = \text{map } f \ t\}) \rangle$   
**proof** (*unfold finitary-def, intro iffI allI*)  
**show**  $\langle \text{finite } \{t. s = \text{map } f \ t\} \rangle$  **if**  $\langle \forall x. \text{finite } (f - \{x\}) \rangle$  **for**  $s$   
**proof** (*induct s*)  
**show**  $\langle \text{finite } \{t. [] = \text{map } f \ t\} \rangle$  **by** *simp*  
**next**  
**case** (*Cons a s*)  
**have**  $\langle \{t. a \# s = \text{map } f \ t\} = (\bigcup b \in f - \{a\}. \{b \# t \mid t. s = \text{map } f \ t\}) \rangle$   
**by** (*subst Cons-eq-map-conv*) *blast*  
**thus** *?case* **by** (*simp add: finite-UN*[*OF that*[*rule-format*]] *local.Cons*)  
**qed**  
**next**  
**have** *map1*:  $\langle [a] = \text{map } f \ s = (\exists b. s = [b] \ \wedge \ f \ b = a) \rangle$  **for**  $a \ s$  **by** *fastforce*  
**show**  $\langle \text{finite } (f - \{x\}) \rangle$  **if**  $\langle \forall s. \text{finite } \{t. s = \text{map } f \ t\} \rangle$  **for**  $x$   
**by** (*simp add: vimage-def*)  
 (*fact finite-vimageI*[*OF that*[*rule-format*], of  $\langle [x] \rangle$ , *simplified map1*],  
 of  $\langle \lambda x. [x] \rangle$ , *unfolded inj-on-def, simplified*)  
**qed**

**lemma** *Cont-RenH5*:  $\langle \text{finite } (\bigcup t \in \{t. t \leq (s :: 'a \ \text{trace})\}. \{s. t = \text{map } (\text{EvExt } f) \ s\}) \rangle$  **if**  $\langle \text{finitary } f \rangle$   
**apply** (*rule finite-UN-I*)  
**apply** (*induct s rule: rev-induct*)  
**apply** (*simp; fail*)

**apply** (*simp add: le-snoc-is; fail*)  
**using** *Cont-RenH2 Cont-RenH4* that **by** *blast*

**lemma** *Cont-RenH6*:

$\langle \{t. \exists t2. \text{tickFree } t \wedge \text{front-tickFree } t2 \wedge x = \text{map } (\text{EvExt } f) t @ t2\}$   
 $\subseteq \{y. \exists xa. xa \in \{t. t \leq x\} \wedge y \in \{s. xa = \text{map } (\text{EvExt } f) s\}\} \rangle$   
**by** (*auto simp add: le-list-def*)

**lemma** *Cont-RenH7*:

$\langle \text{finite } \{t. \exists t2. \text{tickFree } t \wedge \text{front-tickFree } t2 \wedge s = \text{map } (\text{EvExt } f) t @ t2\}$   
**if**  $\langle \text{finitary } f \rangle$

**proof** –

**have**  $f1: \langle \{y. \text{map } (\text{EvExt } f) y \leq s\} =$   
 $(\bigcup z \in \{z. z \leq s\}. \{y. z = \text{map } (\text{EvExt } f) y\}) \rangle$  **by** *fast*

**show** *?thesis*

**apply** (*rule finite-subset[OF Cont-RenH6]*)

**apply** (*simp add: f1*)

**apply** (*rule finite-UN-I*)

**apply** (*induct s rule: rev-induct*)

**apply** (*simp; fail*)

**apply** (*simp add: le-snoc-is; fail*)

**using** *Cont-RenH2 Cont-RenH4* that **by** *blast*

**qed**

**lemma** *chain-Renaming*:  $\langle \text{chain } Y \implies \text{chain } (\lambda i. \text{Renaming } (Y i) f) \rangle$   
**by** (*simp add: chain-def*)

A key lemma for the continuity.

**lemma** *Inter-nonempty-finite-chained-sets*:

$\langle \forall i. S i \neq \{\} \implies \text{finite } (S 0) \implies \forall i. S (Suc i) \subseteq S i \implies (\bigcap i. S i) \neq \{\} \rangle$

**proof** (*induct <card (S 0)> arbitrary: S rule: nat-less-induct*)

**case** *1*

**show** *?case*

**proof** (*cases <forall i. S i = S 0>*)

**case** *True*

**thus** *?thesis* **by** (*metis 1.prem(1) INT-iff ex-in-conv*)

**next**

**case** *False*

**have**  $f1: \langle i \leq j \implies S j \subseteq S i \rangle$  **for**  $i j$  **by** (*simp add: 1.prem(3) lift-Suc-antimono-le*)

**with** *False* **obtain**  $j m$  **where**  $f2: \langle m < \text{card } (S 0) \rangle$  **and**  $f3: \langle m = \text{card } (S j) \rangle$

**by** (*metis 1.prem(2) psubsetI psubset-card-mono zero-le*)

**define**  $T$  **where**  $\langle T i \equiv S (i + j) \rangle$  **for**  $i$

**have**  $f4: \langle m = \text{card } (T 0) \rangle$  **unfolding**  $T\text{-def}$  **by** (*simp add: f3*)

**from**  $f1$  **have**  $f5: \langle (\bigcap i. S i) = (\bigcap i. T i) \rangle$

**unfolding**  $T\text{-def}$  **by**  $(\text{auto intro: le-add1})$   
**show**  $?thesis$   
**apply**  $(\text{subst } f5)$   
**apply**  $(\text{rule } 1.\text{hyps}[\text{rule-format}, OF f2, \text{ of } T, OF f4], \text{ unfold } T\text{-def})$   
**by**  $(\text{simp-all add: } 1.\text{prems}(1, 3) \text{ lift-Suc-antimono-le})$   
 $(\text{metis } 1.\text{prems}(2) \text{ add-0 } f1 \text{ finite-subset le-add1})$   
**qed**  
**qed**

## Finally, Continuity !

**lemma**  $Cont\text{-Renaming-prem}$ :

$\langle (\bigsqcup i. \text{Renaming } (Y i) f) = (\text{Renaming } (\bigsqcup i. Y i) f) \rangle$  **if**  $\text{finitary}$ :  $\langle \text{finitary } f \rangle$   
**and**  $\text{chain}$ :  $\langle \text{chain } Y \rangle$

**proof**  $(\text{subst } Process\text{-eq-spec}, \text{ safe})$

**fix**  $s$

**define**  $S$  **where**  $\langle S i \equiv \{s1. \exists t2. \text{tickFree } s1 \wedge \text{front-tickFree } t2 \wedge$   
 $s = \text{map } (EvExt f) s1 @ t2 \wedge s1 \in \mathcal{D} (Y i)\} \rangle$  **for**  $i$

**assume**  $\langle s \in \mathcal{D} (\bigsqcup i. \text{Renaming } (Y i) f) \rangle$

**hence**  $\langle s \in \mathcal{D} (\text{Renaming } (Y i) f) \rangle$  **for**  $i$

**by**  $(\text{simp add: limproc-is-thelub chain chain-Renaming } D\text{-LUB})$

**hence**  $\langle \forall i. S i \neq \{\} \rangle$  **by**  $(\text{simp add: } S\text{-def } D\text{-Renaming})$  **blast**

**moreover** **have**  $\langle \text{finite } (S 0) \rangle$

**unfolding**  $S\text{-def}$

**by**  $(\text{rule finite-subset}[OF - Cont-RenH\gamma[OF finitary, \text{ of } s]], \text{ blast})$

**moreover** **have**  $\langle \forall i. S (Suc i) \subseteq S i \rangle$

**unfolding**  $S\text{-def}$  **using**  $NF\text{-ND po-class.chainE}[OF chain]$   
 $\text{proc-ord2a le-approx-def}$  **by** **blast**

**ultimately** **have**  $\langle (\bigcap i. S i) \neq \{\} \rangle$

**by**  $(\text{rule Inter-nonempty-finite-chained-sets})$

**thus**  $\langle s \in \mathcal{D} (\text{Renaming } (Lub Y) f) \rangle$

**by**  $(\text{simp add: limproc-is-thelub chain } D\text{-Renaming}$   
 $D\text{-LUB ex-in-conv[symmetric] } S\text{-def})$  **blast**

**next**

**show**  $\langle s \in \mathcal{D} (\text{Renaming } (Lub Y) f) \implies s \in \mathcal{D} (\bigsqcup i. \text{Renaming } (Y i) f) \rangle$  **for**  $s$

**by**  $(\text{auto simp add: limproc-is-thelub chain chain-Renaming } D\text{-Renaming } D\text{-LUB})$

**next**

**fix**  $s X$

**define**  $S$  **where**  $\langle S i \equiv \{s1. (s1, EvExt f - ' X) \in \mathcal{F} (Y i) \wedge s = \text{map } (EvExt$   
 $f) s1\} \cup$

$\{s1. \exists t2. \text{tickFree } s1 \wedge \text{front-tickFree } t2 \wedge$   
 $s = \text{map } (EvExt f) s1 @ t2 \wedge s1 \in \mathcal{D} (Y i)\} \rangle$  **for**  $i$

**assume**  $\langle (s, X) \in \mathcal{F} (\bigsqcup i. \text{Renaming } (Y i) f) \rangle$

**hence**  $\langle (s, X) \in \mathcal{F} (\text{Renaming } (Y i) f) \rangle$  **for**  $i$

**by**  $(\text{simp add: limproc-is-thelub chain-Renaming}[OF chain] F\text{-LUB})$

**hence**  $\langle \forall i. S i \neq \{\} \rangle$  **by**  $(\text{auto simp add: } S\text{-def } F\text{-Renaming})$

**moreover have**  $\langle \text{finite } (S\ 0) \rangle$   
**unfolding**  $S\text{-def}$   
**apply** ( $\text{subst finite-Un, intro conjI}$ )  
**apply** ( $\text{rule finite-subset[of - } \langle \{s1. s = \text{map } (\text{EvExt } f) s1 \} \rangle, \text{blast}$ )  
**apply** ( $\text{insert Cont-RenH2 Cont-RenH4 finitary, blast}$ )  
**by** ( $\text{rule finite-subset[OF - Cont-RenH7[OF finitary, of s]], blast}$ )  
**moreover have**  $\langle \forall i. S (\text{Suc } i) \subseteq S\ i \rangle$   
**unfolding**  $S\text{-def}$  **using**  $NF\text{-ND po-class.chainE[OF chain]$   
 $\text{proc-ord2a le-approx-def}$  **by**  $\text{safe blast+}$   
**ultimately have**  $\langle (\bigcap i. S\ i) \neq \{\} \rangle$   
**by** ( $\text{rule Inter-nonempty-finite-chained-sets}$ )

**thus**  $\langle (s, X) \in \mathcal{F} (\text{Renaming } (\text{Lub } Y) f) \rangle$   
**by** ( $\text{simp add: F-Renaming limproc-is-thelub chain D-LUB}$   
 $F\text{-LUB ex-in-conv[symmetric] S-def} (\text{meson NF-ND})$ )

**next**  
**show**  $\langle (s, X) \in \mathcal{F} (\text{Renaming } (\text{Lub } Y) f) \implies (s, X) \in \mathcal{F} (\bigsqcup i. \text{Renaming } (Y\ i) f) \rangle$  **for**  $s\ X$   
**by** ( $\text{auto simp add: limproc-is-thelub chain chain-Renaming F-Renaming D-LUB F-LUB}$ )

**qed**

**lemma**  $\text{Renaming-cont[simp]} : \langle \text{cont } P \implies \text{finitary } f \implies \text{cont } (\lambda x. (\text{Renaming } (P\ x) f)) \rangle$   
**by** ( $\text{rule contI2}$ )  
 $(\text{simp add: cont2monofunE monofunI, simp add: Cont-Renaming-prem ch2ch-cont cont2contlubE})$

**lemma**  $\text{RenamingF-cont} : \langle \text{cont } P \implies \text{cont } (\lambda x. (P\ x)[[a := b]]) \rangle$

**by** ( $\text{simp only: Renaming-cont finitary-updated-iff inj-imp-finitary inj-on-id}$ )

**lemma**  $\langle \text{cont } P \implies \text{cont } (\lambda x. (P\ x)[[a := b, c := d, e := f, g := a]]) \rangle$

**apply** ( $\text{erule Renaming-cont}$ )  
**apply** ( $\text{simp only: finitary-updated-iff}$ )  
**apply** ( $\text{rule inj-imp-finitary}$ )  
**by** ( $\text{rule inj-on-id}$ )

### 3.2.5 Nice Properties

**lemma**  $\text{EvExt-comp} : \langle \text{EvExt } (g \circ f) = \text{EvExt } g \circ \text{EvExt } f \rangle$   
**unfolding**  $\text{EvExt-def}$  **by** ( $\text{auto split: event.split}$ )

**lemma** *Renaming-comp* :  $\langle \text{Renaming } P (g \circ f) = \text{Renaming } (\text{Renaming } P f) g \rangle$   
**proof** (*subst Process-eq-spec, intro conjI subset-antisym*)  
**show**  $\langle \mathcal{F} (\text{Renaming } P (g \circ f)) \subseteq \mathcal{F} (\text{Renaming } (\text{Renaming } P f) g) \rangle$   
**apply** (*simp add: F-Renaming D-Renaming subset-iff, safe*)  
**by** (*metis (no-types, opaque-lifting) EvExt-comp list.map-comp set.compositionality*)  
*(metis (no-types, opaque-lifting) EvExt-comp EvExt-tF append.right-neutral front-tickFree-Nil list.map-comp)*  
**next**  
**show**  $\langle \mathcal{F} (\text{Renaming } (\text{Renaming } P f) g) \subseteq \mathcal{F} (\text{Renaming } P (g \circ f)) \rangle$   
**by** (*auto simp add: F-Renaming D-Renaming EvExt-comp EvExt-ftF EvExt-tF front-tickFree-append*)  
*(metis (no-types, opaque-lifting) EvExt-comp list.map-comp set.compositionality)*  
**next**  
**show**  $\langle \mathcal{D} (\text{Renaming } P (g \circ f)) \subseteq \mathcal{D} (\text{Renaming } (\text{Renaming } P f) g) \rangle$   
**by** (*simp add: D-Renaming subset-iff, safe*)  
*(metis (no-types, opaque-lifting) EvExt-comp EvExt-tF append.right-neutral front-tickFree-Nil list.map-comp)*  
**next**  
**show**  $\langle \mathcal{D} (\text{Renaming } (\text{Renaming } P f) g) \subseteq \mathcal{D} (\text{Renaming } P (g \circ f)) \rangle$   
**by** (*auto simp add: D-Renaming subset-iff*)  
*(metis EvExt-comp EvExt-tF front-tickFree-append)*  
**qed**

**lemma** *Renaming-id*:  $\langle \text{Renaming } P \text{ id} = P \rangle$   
**by** (*auto simp add: Process-eq-spec F-Renaming D-Renaming EvExt-id is-processT7-S is-processT8-S*)  
*(metis append.right-neutral front-tickFree-mono list.distinct(1) nonTickFree-n-frontTickFree process-charn)*

**lemma** *Renaming-inv*:  $\langle \text{Renaming } (\text{Renaming } P f) (\text{inv } f) = P \rangle$  **if**  $\langle \text{inj } f \rangle$   
**apply** (*subst Renaming-comp[symmetric]*)  
**apply** (*subst inv-o-cancel[OF that]*)  
**by** (*fact Renaming-id*)

### 3.2.6 Renaming Laws

**lemma** *Renaming-BOT*:  $\langle \text{Renaming } \perp f = \perp \rangle$   
**by** (*fastforce simp add: F-UU D-UU F-Renaming D-Renaming EvExt-tF EvExt-ftF Process-eq-spec front-tickFree-append intro: tickFree-Nil*)<sub>+</sub>

**lemma** *Renaming-STOP*:  $\langle \text{Renaming } \text{STOP } f = \text{STOP} \rangle$   
**by** (*subst Process-eq-spec*) (*simp add: EvExt-ftF F-STOP D-STOP F-Renaming D-Renaming*)



**lemma** *Renaming-SKIP*:  $\langle \text{Renaming } \text{SKIP } f = \text{SKIP} \rangle$   
**by** (*subst Process-eq-spec*) (*force simp add: EvExt-def F-SKIP D-SKIP F-Renaming D-Renaming*)

**lemma** *Renaming-Ndet*:  
 $\langle \text{Renaming } (P \sqcap Q) f = \text{Renaming } P f \sqcap \text{Renaming } Q f \rangle$   
**by** (*subst Process-eq-spec*) (*auto simp add: F-Renaming D-Renaming F-Ndet D-Ndet*)

**lemma** *Renaming-Det*:  
 $\langle \text{Renaming } (P \sqcap Q) f = \text{Renaming } P f \sqcap \text{Renaming } Q f \rangle$   
**proof** (*subst Process-eq-spec, intro iffI conjI subset-antisym*)  
**show**  $\langle \mathcal{F} (\text{Renaming } (P \sqcap Q) f) \subseteq \mathcal{F} (\text{Renaming } P f \sqcap \text{Renaming } Q f) \rangle$   
**apply** (*simp add: F-Renaming D-Renaming T-Renaming F-Det D-Det, safe, simp-all add: is-processT6-S2*)  
**by** *blast+* (*metis EvExt-eq-tick, meson map-EvExt-tick*)  
**next**  
**show**  $\langle \mathcal{F} (\text{Renaming } P f \sqcap \text{Renaming } Q f) \subseteq \mathcal{F} (\text{Renaming } (P \sqcap Q) f) \rangle$   
**apply** (*simp add: F-Renaming D-Renaming T-Renaming F-Det D-Det, safe, simp-all*)  
**by** *blast+* (*metis EvExt-eq-tick, metis Cons-eq-append-conv EvExt-tF list.map-disc-iff tickFree-Cons*)  
**next**  
**show**  $\langle \mathcal{D} (\text{Renaming } (P \sqcap Q) f) \subseteq \mathcal{D} (\text{Renaming } P f \sqcap \text{Renaming } Q f) \rangle$   
**by** (*auto simp add: D-Renaming D-Det*)  
**next**  
**show**  $\langle \mathcal{D} (\text{Renaming } P f \sqcap \text{Renaming } Q f) \subseteq \mathcal{D} (\text{Renaming } (P \sqcap Q) f) \rangle$   
**by** (*auto simp add: D-Renaming D-Det*)  
**qed**

**lemma** *mono-Mprefix-eq*:  $\langle \forall a \in A. P a = Q a \implies \text{Mprefix } A P = \text{Mprefix } A Q \rangle$   
**by** (*auto simp add: Process-eq-spec F-Mprefix D-Mprefix*)

**lemma** *mono-Mndetprefix-eq*:  $\langle \forall a \in A. P a = Q a \implies \text{Mndetprefix } A P = \text{Mndetprefix } A Q \rangle$   
**by** (*subst Process-eq-spec, cases  $\langle A = \{\} \rangle$* ) (*auto simp add: F-Mndetprefix D-Mndetprefix*)

**lemma** *Renaming-Mprefix*:  
 $\langle \text{Renaming } (\sqcap a \in A \rightarrow P (f a)) f = \sqcap b \in f ' A \rightarrow \text{Renaming } (P b) f \rangle$   
**(is**  $\langle ?lhs = ?rhs \rangle$   
**proof** (*subst Process-eq-spec-optimized, safe*)

```

show  $\langle s \in \mathcal{D} \text{ ?lhs} \implies s \in \mathcal{D} \text{ ?rhs} \rangle$  for  $s$ 
  by (auto simp add: D-Mprefix D-Renaming hd-map-EvExt)
    (metis map-tl tickFree-tl)
next
  fix  $s$ 
  assume  $\langle s \in \mathcal{D} \text{ ?rhs} \rangle$ 
  then obtain  $a s'$  where  $*$  :  $\langle a \in A \rangle \langle s = \text{EvExt } f \text{ (ev } a) \# s' \rangle \langle s' \in \mathcal{D} \text{ (Renaming } (P \text{ (} f \text{ a)) } f) \rangle$ 
    by (auto simp add: D-Mprefix EvExt-def) (metis list.collapse)
  from  $*(3)$  obtain  $t1 t2$ 
    where  $**$  :  $\langle \text{tickFree } t1 \rangle \langle \text{front-tickFree } t2 \rangle$ 
       $\langle s' = \text{map (EvExt } f) \text{ } t1 \text{ @ } t2 \rangle \langle t1 \in \mathcal{D} \text{ (} P \text{ (} f \text{ a))} \rangle$ 
    by (simp add: D-Renaming blast)
  show  $\langle s \in \mathcal{D} \text{ ?lhs} \rangle$ 
    apply (simp add: D-Renaming *(2))
    apply (rule-tac x = \langle ev a \# t1 \rangle in exI, simp add: *(1))
    by (rule-tac x = t2 in exI, simp add: *(2, 3, 4) *(1) D-Mprefix)
next
  fix  $s X$ 
  assume same-div :  $\langle \mathcal{D} \text{ ?lhs} = \mathcal{D} \text{ ?rhs} \rangle$ 
  assume  $\langle (s, X) \in \mathcal{F} \text{ ?lhs} \rangle$ 
  then consider  $\langle s \in \mathcal{D} \text{ ?lhs} \rangle$ 
    |  $\langle \exists s1. (s1, \text{EvExt } f \text{ -' } X) \in \mathcal{F} (\Box a \in A \rightarrow P \text{ (} f \text{ a)}) \wedge s = \text{map (EvExt } f) \text{ } s1 \rangle$ 
      by (simp add: F-Renaming D-Renaming blast)
    thus  $\langle (s, X) \in \mathcal{F} \text{ ?rhs} \rangle$ 
  proof cases
    from same-div D-F show  $\langle s \in \mathcal{D} \text{ ?lhs} \implies (s, X) \in \mathcal{F} \text{ ?rhs} \rangle$  by blast
  next
  assume  $\langle \exists s1. (s1, \text{EvExt } f \text{ -' } X) \in \mathcal{F} (\Box a \in A \rightarrow P \text{ (} f \text{ a)}) \wedge s = \text{map (EvExt } f) \text{ } s1 \rangle$ 
  then obtain  $s1$  where  $*$  :  $\langle (s1, \text{EvExt } f \text{ -' } X) \in \mathcal{F} (\Box a \in A \rightarrow P \text{ (} f \text{ a)}) \rangle$ 
     $\langle s = \text{map (EvExt } f) \text{ } s1 \rangle$  by blast
  from  $*(1)$  consider  $\langle s1 = \Box \rangle \langle \text{EvExt } f \text{ -' } X \cap \text{ev ' } A = \{ \} \rangle$ 
    |  $\langle \exists a s1'. a \in A \wedge s1 = \text{ev } a \# s1' \wedge (s1', \text{EvExt } f \text{ -' } X) \in \mathcal{F} \text{ (} P \text{ (} f \text{ a))} \rangle$ 
      by (simp add: F-Mprefix) (metis event.inject imageE list.collapse)
    thus  $\langle (s, X) \in \mathcal{F} \text{ ?rhs} \rangle$ 
  proof cases
    show  $\langle s1 = \Box \implies \text{EvExt } f \text{ -' } X \cap \text{ev ' } A = \{ \} \implies (s, X) \in \mathcal{F} \text{ ?rhs} \rangle$ 
      by (simp add: F-Mprefix *(2) disjoint-iff image-iff)
        (metis ev-elem-anteced1 vimage-eq)
  next
  assume  $\langle \exists a s1'. a \in A \wedge s1 = \text{ev } a \# s1' \wedge (s1', \text{EvExt } f \text{ -' } X) \in \mathcal{F} \text{ (} P \text{ (} f \text{ a))} \rangle$ 
  then obtain  $a s1'$  where  $**$  :  $\langle a \in A \rangle \langle s1 = \text{ev } a \# s1' \rangle$ 
     $\langle (s1', \text{EvExt } f \text{ -' } X) \in \mathcal{F} \text{ (} P \text{ (} f \text{ a))} \rangle$  by blast
  have  $***$  :  $\langle \text{EvExt } f \text{ (ev } a) = \text{ev (} f \text{ a)} \rangle$ 
    by (metis hd-map hd-map-EvExt list.discI list.sel(1))
  show  $\langle (s, X) \in \mathcal{F} \text{ ?rhs} \rangle$ 
    apply (simp add: F-Mprefix *(2) *(2), intro conjI)

```

```

    using **(1) ***
    apply blast
    apply (rule-tac x = ⟨f a⟩ in exI, simp add: ***)
    using **(3) by (simp add: F-Renaming) blast
  qed
qed
next
  fix s X
  assume same-div : ⟨D ?lhs = D ?rhs⟩
  assume ⟨(s, X) ∈ F ?rhs⟩
  then consider ⟨s = []⟩ ⟨X ∩ ev ‘f ‘ A = {}⟩
    | ⟨∃ a s'. a ∈ A ∧ s = EvExt f (ev a) # s' ∧ (s', X) ∈ F (Renaming (P (f a))
f)⟩
    by (auto simp add: F-Mprefix EvExt-def) (metis list.collapse)
  thus ⟨(s, X) ∈ F ?lhs⟩
  proof cases
    show ⟨s = [] ⟹ X ∩ ev ‘f ‘ A = {} ⟹ (s, X) ∈ F ?lhs⟩
    by (auto simp add: F-Renaming F-Mprefix disjoint-iff EvExt-def)
  next
    assume ⟨∃ a s'. a ∈ A ∧ s = EvExt f (ev a) # s' ∧ (s', X) ∈ F (Renaming (P
(f a)) f)⟩
    then obtain a s' where * : ⟨a ∈ A⟩ ⟨s = EvExt f (ev a) # s'⟩
      ⟨(s', X) ∈ F (Renaming (P (f a)) f)⟩ by blast
    from *(3) consider ⟨s' ∈ D (Renaming (P (f a)) f)⟩
      | ⟨∃ s1. (s1, EvExt f -‘ X) ∈ F (P (f a)) ∧ s' = map (EvExt f) s1⟩
    by (simp add: F-Renaming D-Renaming) blast
    thus ⟨(s, X) ∈ F ?lhs⟩
  proof cases
    assume ⟨s' ∈ D (Renaming (P (f a)) f)⟩
    hence ⟨s ∈ D ?rhs⟩
    by (simp add: D-Mprefix *(2))
      (metis *(1) ev-elem-anteced1 imageI singletonI vimage-singleton-eq)
    with same-div D-F show ⟨(s, X) ∈ F ?lhs⟩ by blast
  next
    assume ⟨∃ s1. (s1, EvExt f -‘ X) ∈ F (P (f a)) ∧ s' = map (EvExt f) s1⟩
    then obtain s1 where ** : ⟨(s1, EvExt f -‘ X) ∈ F (P (f a))⟩
      ⟨s' = map (EvExt f) s1⟩ by blast
    show ⟨(s, X) ∈ F ?lhs⟩
    apply (simp add: F-Renaming *(2) **(2), rule disjI1)
    by (rule-tac x = ⟨ev a # s1⟩ in exI, simp add: F-Mprefix *(1) **(1))
  qed
qed
qed

```

**lemma** *Renaming-Mprefix-inj-on:*

⟨Renaming (Mprefix A P) f = □ b ∈ f ‘ A → Renaming (P (THE a. a ∈ A ∧ f a = b)) f⟩

**if** *inj-on-f*:  $\langle \text{inj-on } f \ A \rangle$   
**apply** (*subst Renaming-Mprefix*[*symmetric*])  
**apply** (*intro arg-cong*[**where**  $f = \langle \lambda Q. \text{Renaming } Q \ f \rangle$ ] *mono-Mprefix-eq*)  
**by** (*metis that the-inv-into-def the-inv-into-f-f*)

**corollary** *Renaming-Mprefix-inj*:  
 $\langle \text{Renaming } (\text{Mprefix } A \ P) \ f = \square \ b \in f \ ' \ A \rightarrow \text{Renaming } (P \ (\text{THE } a. \ f \ a = \ b)) \ f \rangle$   
**if** *inj-f*:  $\langle \text{inj } f \rangle$   
**apply** (*subst Renaming-Mprefix-inj-on*, *metis inj-eq inj-onI that*)  
**apply** (*rule mono-Mprefix-eq*[*rule-format*])  
**by** (*metis imageE inj-eq*[*OF inj-f*])

A smart application (as  $f$  is of course injective on the singleton  $\{a\}$ )

**corollary** *Renaming-prefix*:  $\langle \text{Renaming } (a \rightarrow P) \ f = (f \ a \rightarrow \text{Renaming } P \ f) \rangle$   
**unfolding** *write0-def* **by** (*simp add: Renaming-Mprefix-inj-on*)

**lemma** *Renaming-Mndetprefix*:  
 $\langle \text{Renaming } (\square \ a \in A \rightarrow P \ (f \ a)) \ f = \square \ b \in f \ ' \ A \rightarrow \text{Renaming } (P \ b) \ f \rangle$   
**apply** (*cases*  $\langle A = \{\} \rangle$ , *simp add: Renaming-STOP*)  
**by** (*subst Process-eq-spec*)  
*(auto simp add: F-Renaming F-Mndetprefix D-Renaming D-Mndetprefix Renaming-prefix*[*symmetric*])

**corollary** *Renaming-Mndetprefix-inj-on*:  
 $\langle \text{Renaming } (\text{Mndetprefix } A \ P) \ f = \square \ b \in f \ ' \ A \rightarrow \text{Renaming } (P \ (\text{THE } a. \ a \in A \wedge \ f \ a = \ b)) \ f \rangle$   
**if** *inj-on-f*:  $\langle \text{inj-on } f \ A \rangle$   
**apply** (*subst Renaming-Mndetprefix*[*symmetric*])  
**apply** (*intro arg-cong*[**where**  $f = \langle \lambda Q. \text{Renaming } Q \ f \rangle$ ] *mono-Mndetprefix-eq*)  
**by** (*metis that the-inv-into-def the-inv-into-f-f*)

**corollary** *Renaming-Mndetprefix-inj*:  
 $\langle \text{Renaming } (\text{Mndetprefix } A \ P) \ f = \square \ b \in f \ ' \ A \rightarrow \text{Renaming } (P \ (\text{THE } a. \ f \ a = \ b)) \ f \rangle$   
**if** *inj-f*:  $\langle \text{inj } f \rangle$   
**apply** (*subst Renaming-Mndetprefix-inj-on*, *metis inj-eq inj-onI that*)  
**apply** (*rule mono-Mndetprefix-eq*[*rule-format*])  
**by** (*metis imageE inj-eq*[*OF inj-f*])

```

lemma Renaming-Seq:
  ⟨Renaming (P ; Q) f = Renaming P f ; Renaming Q f⟩ (is ⟨?lhs = ?rhs⟩)
proof (subst Process-eq-spec-optimized, safe)
  show ⟨s ∈  $\mathcal{D}$  ?lhs ⇒ s ∈  $\mathcal{D}$  ?rhs⟩ for s
    by (auto simp add: D-Seq D-Renaming T-Renaming)
      (metis map-EvExt-tick map-append)
next
  fix s
  assume ⟨s ∈  $\mathcal{D}$  ?rhs⟩
  then consider ⟨s ∈  $\mathcal{D}$  (Renaming P f)⟩
    | ⟨∃ s1 s2. s = s1 @ s2 ∧ s1 @ [tick] ∈  $\mathcal{T}$  (Renaming P f) ∧ s2 ∈  $\mathcal{D}$  (Renaming
Q f)⟩
      using D-Seq by blast
      thus ⟨s ∈  $\mathcal{D}$  ?lhs⟩
      proof cases
        show ⟨s ∈  $\mathcal{D}$  (Renaming P f) ⇒ s ∈  $\mathcal{D}$  ?lhs⟩
          by (auto simp add: D-Renaming D-Seq)
        next
          assume ⟨∃ s1 s2. s = s1 @ s2 ∧ s1 @ [tick] ∈  $\mathcal{T}$  (Renaming P f) ∧ s2 ∈  $\mathcal{D}$ 
(Renaming Q f)⟩
            then obtain s1 s2
              where * : ⟨s = s1 @ s2⟩ ⟨s1 @ [tick] ∈  $\mathcal{T}$  (Renaming P f)⟩ ⟨s2 ∈  $\mathcal{D}$  (Renaming
Q f)⟩ by blast
              then obtain t1 t2 u1 u2
                where * : ⟨t1 ∈  $\mathcal{T}$  P ∧ s1 @ [tick] = map (EvExt f) t1 ∨
                  tickFree t1 ∧ front-tickFree t2 ∧
                  s1 @ [tick] = map (EvExt f) t1 @ t2 ∧ t1 ∈  $\mathcal{D}$  P⟩
                  ⟨tickFree u1⟩ ⟨front-tickFree u2⟩ ⟨s2 = map (EvExt f) u1 @ u2⟩ ⟨u1
∈  $\mathcal{D}$  Q⟩
                by (simp add: T-Renaming D-Renaming) blast
                have *** : ⟨tickFree (butlast t1)⟩
                  using *(1) front-tickFree-butlast is-processT2-TR tickFree-butlast by blast
                from *(1) show ⟨s ∈  $\mathcal{D}$  ?lhs⟩
                  apply (rule disjE; simp add: D-Renaming D-Seq)
                  apply (rule-tac x = ⟨butlast t1 @ u1⟩ in exI, simp add: *** *(2))
                  apply (rule-tac x = ⟨u2⟩ in exI, simp add: *(3), intro conjI,
                    metis *(1) *(4) butlast-snoc map-butlast)
                  apply (rule disjI2, rule-tac x = ⟨butlast t1⟩ in exI, rule-tac x = u1 in exI)
                  apply (simp add: *(5), metis *** EvExt-tF snoc-eq-iff-butlast tickFree-butlast)

                apply (rule-tac x = ⟨if t2 = [] then butlast t1 else t1⟩ in exI, intro conjI)
                using ***
                apply presburger
                apply (rule-tac x = ⟨butlast t2 @ s2⟩ in exI, intro conjI)
                apply (meson D-imp-front-tickFree ⟨s2 ∈  $\mathcal{D}$  (Renaming Q f)⟩
                  front-tickFree-append front-tickFree-butlast)
                apply ((cases t1 rule: rev-cases; simp add: *(1) snoc-eq-iff-butlast),
                  metis butlast.simps(2) butlast-append list.discI tick-eq-EvExt)
                by (metis EvExt-tF non-tickFree-tick tickFree-Nil tickFree-append)

```

```

qed
next
fix s X
assume same-div: ⟨D ?lhs = D ?rhs⟩
assume ⟨(s, X) ∈ F ?lhs⟩
then consider ⟨∃ s1. (s1, EvExt f -' X) ∈ F (P ; Q) ∧ s = map (EvExt f) s1⟩
| ⟨s ∈ D ?lhs⟩
  by (simp add: F-Renaming D-Renaming) blast
thus ⟨(s, X) ∈ F ?rhs⟩
proof cases
  assume ⟨∃ s1. (s1, EvExt f -' X) ∈ F (P ; Q) ∧ s = map (EvExt f) s1⟩
  then obtain s1 where * : ⟨(s1, EvExt f -' X) ∈ F (P ; Q)⟩ ⟨s = map (EvExt
f) s1⟩ by blast
  from this(1)[simplified F-Seq, simplified]
  show ⟨(s, X) ∈ F ?rhs⟩
  apply (elim disjE; simp add: F-Seq)
  apply (rule disjI1, simp add: F-Renaming,
    metis (no-types, lifting) *(2) Diff-insert-absorb
    EvExt-tF anteced-EvExt-diff-tick insertI1
    insert-Diff insert-absorb tick-elem-anteced1)
  apply (rule disjI2, rule disjI1, simp add: F-Renaming T-Renaming,
    metis (no-types, lifting) *(2) map-EvExt-tick map-append)
  apply (rule disjI2, rule disjI2, simp add: D-Renaming)
  apply (rule-tac x = ⟨if tickFree s1 then s1 else butlast s1⟩ in exI)
  by (auto simp add: *(2),
    metis NF-ND append-butlast-last-id front-tickFree-implies-tickFree
    is-processT2 tickFree-Nil,
    metis EvExt-tF front-tickFree-single map-butlast
    nonTickFree-n-frontTickFree process-charn snoc-eq-iff-butlast)
next
  from NF-ND same-div show ⟨s ∈ D ?lhs ⟹ (s, X) ∈ F ?rhs⟩ by blast
qed
next
fix s X
assume same-div: ⟨D ?lhs = D ?rhs⟩
assume ⟨(s, X) ∈ F ?rhs⟩
then consider ⟨(s, insert tick X) ∈ F (Renaming P f) ∧ tickFree s⟩
| ⟨∃ s1 s2. s = s1 @ s2 ∧ s1 @ [tick] ∈ T (Renaming P f) ∧ (s2, X) ∈ F
(Renaming Q f)⟩
| ⟨s ∈ D ?rhs⟩
  by (simp add: F-Seq D-Seq) blast
thus ⟨(s, X) ∈ F ?lhs⟩
proof cases
  show ⟨(s, insert tick X) ∈ F (Renaming P f) ∧ tickFree s ⟹ (s, X) ∈ F ?lhs⟩
  by (auto simp add: F-Renaming F-Seq D-Seq)
  (metis (no-types, lifting) Diff-insert-absorb EvExt-tF anteced-EvExt-diff-tick
    insertCI insert-Diff insert-absorb tick-elem-anteced1)
next
  assume ⟨∃ s1 s2. s = s1 @ s2 ∧ s1 @ [tick] ∈ T (Renaming P f) ∧ (s2, X) ∈

```

$\mathcal{F}$  (*Renaming*  $Q$   $f$ )  
**then obtain**  $s1$   $s2$  **where**  $*$  :  $\langle s = s1 @ s2 \rangle \langle s1 @ [tick] \in \mathcal{T}$  (*Renaming*  $P$   $f$ )  
 $\rangle$   
 $\langle (s2, X) \in \mathcal{F}$  (*Renaming*  $Q$   $f$ ) $\rangle$  **by** *blast*  
**from**  $*(2, 3)$  **obtain**  $t1$   $u1$  **where**  $**$  :  
 $\langle t1 \in \mathcal{T} P \wedge s1 @ [tick] = \text{map} (\text{EvExt } f) t1 \vee$   
 $\text{tickFree } t1 \wedge (\exists t2. \text{front-tickFree } t2 \wedge s1 @ [tick] = \text{map} (\text{EvExt } f) t1 @ t2$   
 $\wedge t1 \in \mathcal{D} P) \rangle$   
 $\langle (u1, \text{EvExt } f - ' X) \in \mathcal{F} Q \wedge s2 = \text{map} (\text{EvExt } f) u1 \vee$   
 $\text{tickFree } u1 \wedge (\exists u2. \text{front-tickFree } u2 \wedge s2 = \text{map} (\text{EvExt } f) u1 @ u2 \wedge u1$   
 $\in \mathcal{D} Q) \rangle$   
**by** (*simp add: F-Renaming T-Renaming*) *blast*  
**show**  $\langle (s, X) \in \mathcal{F} ?lhs \rangle$   
**using**  $** (1)$   
**apply** (*elim disjE conjE exE; simp add: \*(1) F-Renaming D-Seq*)  
**using**  $** (2)$   
**apply** (*elim disjE conjE exE*)  
**apply** (*rule disjI1, simp add: F-Seq,*  
 $\text{metis (no-types, lifting) *(1) append-eq-map-conv map-EvExt-tick}$ )  
**apply** (*rule disjI2, simp add: D-Seq*)  
**apply** (*rule-tac x = \langle butlast t1 @ u1 \rangle in exI, intro conjI*)  
**using** *front-tickFree-butlast is-processT2-TR tickFree-append*  
**apply** *blast*  
**apply** (*rule-tac x = u2 in exI, intro conjI, blast,*  
 $\text{metis append.assoc butlast-snoc map-append map-butlast,$   
 $\text{metis EvExt-tF T-nonTickFree-imp-decomp snoc-eq-iff-butlast tickFree-butlast}$ )  
**apply** (*rule disjI2*)  
**apply** (*rule-tac x = \langle if t2 \neq [] then t1 else tl t1 \rangle in exI, intro conjI*)  
**apply** (*metis (mono-tags, opaque-lifting) tickFree-tl tl-Nil*)  
**apply** (*rule-tac x = \langle (butlast t2) @ s2 \rangle in exI, intro conjI*)  
**apply** (*meson \*(3) front-tickFree-append front-tickFree-butlast process-charn*)  
**apply** (*simp, metis EvExt-tF butlast-append butlast-snoc non-tickFree-tick*  
 $\text{tickFree-append}$ )  
**by** (*metis EvExt-tF non-tickFree-tick self-append-conv tickFree-append*)  
**next**  
**from** *NF-ND same-div show*  $\langle s \in \mathcal{D} ?rhs \implies (s, X) \in \mathcal{F} ?lhs \rangle$  **by** *blast*  
**qed**  
**qed**

**lemma** *mono-Renaming-D*:  $\langle P \sqsubseteq_D Q \implies \text{Renaming } P f \sqsubseteq_D \text{Renaming } Q f \rangle$   
**unfolding** *divergence-refine-def D-Renaming* **by** *blast*

**lemma** *mono-Renaming-FD*:  $\langle P \sqsubseteq_{FD} Q \implies \text{Renaming } P f \sqsubseteq_{FD} \text{Renaming } Q f \rangle$

**unfolding** *failure-divergence-refine-def le-ref-def*  
**apply** (*simp add: mono-Renaming-D[unfolded divergence-refine-def]*)  
**unfolding** *F-Renaming D-Renaming by blast*

**lemma** *mono-Renaming-DT*:  $\langle P \sqsubseteq_{DT} Q \implies \text{Renaming } P f \sqsubseteq_{DT} \text{Renaming } Q f \rangle$   
**unfolding** *trace-divergence-refine-def*  
**apply** (*simp add: mono-Renaming-D*)  
**unfolding** *trace-refine-def divergence-refine-def T-Renaming by blast*

### 3.3 Assertions

**abbreviation** *deadlock-free<sub>SKIP</sub>* :: 'a process  $\Rightarrow$  bool  
**where** *deadlock-free<sub>SKIP</sub>*  $\equiv$  *deadlock-free-v2*

**lemma** *deadlock-free-implies-liflock-free*:  $\langle \text{deadlock-free } P \implies \text{liflock-free } P \rangle$   
**unfolding** *deadlock-free-def liflock-free-def*  
**using** *CHAOS-DF-refine-FD trans-FD by blast*

**lemmas** *deadlock-free<sub>SKIP</sub>-def = deadlock-free-v2-def*  
**and** *deadlock-free<sub>SKIP</sub>-is-right = deadlock-free-v2-is-right*  
**and** *deadlock-free<sub>SKIP</sub>-implies-div-free = deadlock-free-v2-implies-div-free*  
**and** *deadlock-free<sub>SKIP</sub>-FD = deadlock-free-v2-FD*  
**and** *deadlock-free<sub>SKIP</sub>-is-right-wrt-events = deadlock-free-v2-is-right-wrt-events*  
**and** *deadlock-free-is-deadlock-free<sub>SKIP</sub> = deadlock-free-is-deadlock-free-v2*  
**and** *deadlock-free<sub>SKIP</sub>-SKIP = deadlock-free-v2-SKIP*  
**and** *non-deadlock-free<sub>SKIP</sub>-STOP = non-deadlock-free-v2-STOP*

### 3.4 Non-terminating Runs

**definition** *non-terminating* :: 'a process  $\Rightarrow$  bool  
**where** *non-terminating*  $P \equiv \text{RUN UNIV} \sqsubseteq_T P$

**corollary** *non-terminating-refine-DF*: *non-terminating*  $P = \text{DF UNIV} \sqsubseteq_T P$   
**and** *non-terminating-refine-CHAOS*: *non-terminating*  $P = \text{CHAOS UNIV} \sqsubseteq_T P$   
**by** (*simp-all add: DF-all-tickfree-traces1 RUN-all-tickfree-traces1 CHAOS-all-tickfree-traces1*  
*non-terminating-def trace-refine-def*)

**lemma** *non-terminating-is-right*: *non-terminating*  $P \longleftrightarrow (\forall s \in \mathcal{T} P. \text{tickFree } s)$   
**apply** (*rule iffI*)  
**by** (*auto simp add: non-terminating-def trace-refine-def tickFree-def RUN-all-tickfree-traces1*)[1]  
*(auto simp add: non-terminating-def trace-refine-def RUN-all-tickfree-traces2)*

**lemma** *nonterminating-implies-div-free*: *non-terminating*  $P \implies \mathcal{D} P = \{\}$   
**unfolding** *non-terminating-is-right*  
**by** (*metis NT-ND equals0I front-tickFree-chn process-chn tickFree-Cons tick-*



*Free-append*)

**lemma** *non-terminating-implies-F*:  $\text{non-terminating } P \implies \text{CHAOS UNIV} \sqsubseteq_F P$   
**using** *CHAOS-has-all-tickFree-failures F-T*  
**by** (*auto simp add: non-terminating-is-right failure-refine-def*) *blast*

**corollary** *non-terminating-F*:  $\text{non-terminating } P = \text{CHAOS UNIV} \sqsubseteq_F P$   
**by** (*auto simp add: non-terminating-implies-F non-terminating-refine-CHAOS leF-imp-leT*)

**corollary** *non-terminating-FD*:  $\text{non-terminating } P = \text{CHAOS UNIV} \sqsubseteq_{FD} P$   
**unfolding** *non-terminating-F*  
**using** *div-free-CHAOS nonterminating-implies-div-free leFD-imp-leF*  
*leF-leD-imp-leFD divergence-refine-def non-terminating-F*  
**by** *fastforce*

### 3.5 Lifelock Freeness

**corollary** *lifelock-free-is-non-terminating*:  $\text{lifelock-free } P = \text{non-terminating } P$   
**unfolding** *lifelock-free-def non-terminating-FD* **by** *simp*

**lemma** *div-free-divergence-refine*:

$\mathcal{D} P = \{\} \longleftrightarrow \text{CHAOS}_{\text{SKIP}} \text{ UNIV} \sqsubseteq_D P$

$\mathcal{D} P = \{\} \longleftrightarrow \text{CHAOS UNIV} \sqsubseteq_D P$

$\mathcal{D} P = \{\} \longleftrightarrow \text{RUN UNIV} \sqsubseteq_D P$

$\mathcal{D} P = \{\} \longleftrightarrow \text{DF}_{\text{SKIP}} \text{ UNIV} \sqsubseteq_D P$

$\mathcal{D} P = \{\} \longleftrightarrow \text{DF UNIV} \sqsubseteq_D P$

**by** (*simp-all add: div-free-CHAOS<sub>SKIP</sub> div-free-CHAOS div-free-RUN div-free-DF*

*div-free-DF<sub>SKIP</sub> divergence-refine-def*)

**definition** *lifelock-free<sub>SKIP</sub>* :: *'a process*  $\Rightarrow$  *bool*

**where** *lifelock-free<sub>SKIP</sub>*  $P \equiv \text{CHAOS}_{\text{SKIP}} \text{ UNIV} \sqsubseteq_{FD} P$

**lemma** *div-free-is-lifelock-free<sub>SKIP</sub>*:  $\text{lifelock-free}_{\text{SKIP}} P \longleftrightarrow \mathcal{D} P = \{\}$   
**using** *CHAOS<sub>SKIP</sub>-has-all-failures-Un leFD-imp-leD leF-leD-imp-leFD*  
*div-free-divergence-refine(1) lifelock-free<sub>SKIP</sub>-def*  
**by** *blast*

**lemma** *lifelock-free-is-lifelock-free<sub>SKIP</sub>*:  $\text{lifelock-free } P \implies \text{lifelock-free}_{\text{SKIP}} P$   
**by** (*simp add: leFD-imp-leD div-free-divergence-refine(2) div-free-is-lifelock-free<sub>SKIP</sub>*  
*lifelock-free-def*)

**corollary** *deadlock-free<sub>SKIP</sub>-is-lifelock-free<sub>SKIP</sub>*:  $\text{deadlock-free}_{\text{SKIP}} P \implies \text{lifelock-free}_{\text{SKIP}} P$   
**by** (*simp add: deadlock-free<sub>SKIP</sub>-implies-div-free div-free-is-lifelock-free<sub>SKIP</sub>*)

### 3.6 New Laws

**lemma** *non-terminating-Seq*:

$\langle \text{non-terminating } P \implies (P ; Q) = P \rangle$

**unfolding** *non-terminating-is-right* **apply** (*subst Process-eq-spec*, *intro conjI*)

**apply** (*auto simp add: F-Seq is-processT7 F-T*)[1]

**using** *is-processT4* **apply** *blast*

**using** *process-charn* **apply** *blast*

**apply** (*metis F-T Un-commute insert-is-Un is-processT5-S2 non-tickFree-tick tickFree-append*)

**by** (*auto simp add: D-Seq*)

**lemma** *non-terminating-Sync*:

$\langle \text{non-terminating } P \implies \text{lifelock-free}_{SKIP} Q \implies \text{non-terminating } (P \llbracket A \rrbracket Q) \rangle$

**apply** (*simp add: non-terminating-is-right div-free-is-lifelock-free\_{SKIP} T-Sync*)

**apply** (*intro ballI, simp add: non-terminating-is-right nonterminating-implies-div-free*)

**by** (*metis empty-iff ftf-Sync1 ftf-Sync21 insertI1 tickFree-def*)

**lemmas** *non-terminating-Par* = *non-terminating-Sync*[**where**  $A = \langle UNIV \rangle$ ]

**and** *non-terminating-Inter* = *non-terminating-Sync*[**where**  $A = \langle \{\} \rangle$ ]

**syntax**

*-writeS* :: [*'b*  $\implies$  *'a*, *pttrn*, *'b set*, *'a process*]  $\implies$  *'a process* (( $\lambda(-|-) / \rightarrow -$ )  
[0,0,50,78] 50)

**translations**

*-writeS c p b P*  $\implies$  *CONST Mndetprefix* (*c* ' {*p. b*}) ( $\lambda-. P$ )

**end**

## Chapter 4

# The MultiDet Operator

```
theory MultiDet
  imports Patch PreliminaryWork
begin
```

### 4.1 Definition

```
definition MultiDet :: ⟨'a set, 'a ⇒ 'b process⟩ ⇒ 'b process
  where MultiDet A P = Finite-Set.fold (λa r. r □ P a) STOP A
```

```
syntax -MultiDet :: ⟨pttrn, 'a set, 'b process⟩ ⇒ 'b process  (⟨(ℱ□-∈-. / -)⟩ 75)
translations □ p ∈ A. P ⇒ CONST MultiDet A (λp. P)
```

### 4.2 First Properties

```
lemma MultiDet-rec0[simp]: ⟨(□ p ∈ {}). P p⟩ = STOP
  by (simp add: MultiDet-def)
```

```
lemma MultiDet-rec1[simp]: ⟨(□ p ∈ {a}. P p) = P a⟩
  unfolding MultiDet-def
  apply (subst comp-fun-commute-on.fold-insert-remove[where S = ⟨{a}⟩])
  by (simp-all add: comp-fun-commute-on-def
    Det-commute[of ⟨STOP⟩, simplified Det-STOP])
```

```
lemma MultiDet-in-id[simp]:
  ⟨a ∈ A ⇒ (□ p ∈ insert a A. P p) = □ p ∈ A. P p⟩
  unfolding MultiDet-def by (simp add: insert-absorb)
```

```
lemma MultiDet-insert[simp]:
  ⟨finite A ⇒ (□ p ∈ insert a A. P p) = P a □ (□ p ∈ A - {a}. P p)⟩
  unfolding MultiDet-def
```

**apply** (*subst comp-fun-commute-on.fold-insert-remove*[**where**  $S = \langle \text{insert } a \ A \rangle$ ])  
**unfolding** *comp-fun-commute-on-def comp-def*  
**apply** (*metis Det-assoc Det-commute*)  
**by** (*auto simp: comp-fun-commute-on-def Det-commute Det-assoc comp-def*)

**lemma** *MultiDet-insert'[simp]*:  
 $\langle \text{finite } A \implies (\Box p \in \text{insert } a \ A. P \ p) = (P \ a \ \Box (p \in A. P \ p)) \rangle$   
**by** (*cases*  $\langle a \in A \rangle$ , *metis MultiDet-insert Det-assoc Det-id insert-absorb, simp*)

**lemma** *mono-MultiDet-eq*:  
 $\langle \text{finite } A \implies \forall x \in A. P \ x = Q \ x \implies \text{MultiDet } A \ P = \text{MultiDet } A \ Q \rangle$   
**by** (*induct*  $A$  *rule: induct-subset-empty-single, simp, simp*)  
*(metis MultiDet-insert' insertCI)*

### 4.3 Some Tests

**lemma** *test-MultiDet*:  $\langle (\Box p \in \{1::\text{int} \ .. \ 3\}. P \ p) = P \ 1 \ \Box P \ 2 \ \Box P \ 3 \rangle$   
**proof** –  
**have**  $\langle \{1::\text{int} \ .. \ 3\} = \text{insert } 1 \ (\text{insert } 2 \ (\text{insert } 3 \ \{\})) \rangle$  **by** *fastforce*  
**thus**  $\langle (\Box p \in \{1::\text{int} \ .. \ 3\}. P \ p) = P \ 1 \ \Box P \ 2 \ \Box P \ 3 \rangle$  **by** (*simp add: Det-assoc*)  
**qed**

**lemma** *test-MultiDet'*:  
 $\langle (\Box p \in \{0::\text{nat} \ .. \ a\}. P \ p) = (\Box p \in \{a\} \cup \{1 \ .. \ a\} \cup \{0\}. P \ p) \rangle$   
**by** (*metis Un-insert-right atMost-atLeast0 boolean-algebra-cancel.sup0 image-Suc-lessThan insert-absorb2 insert-is-Un lessThan-Suc lessThan-Suc-atMost lessThan-Suc-eq-insert-0*)

### 4.4 Continuity

**lemma** *MultiDet-cont[simp]*:  
 $\langle \llbracket \text{finite } A; \forall x \in A. \text{cont } (P \ x) \rrbracket \implies \text{cont } (\lambda y. \Box z \in A. P \ z \ y) \rangle$   
**by** (*rule Finite-Set.finite-subset-induct[of A A], simp+*)

### 4.5 Factorization of $(\Box)$ in front of *MultiDet*

**lemma** *MultiDet-factorization-union*:  
 $\langle \llbracket \text{finite } A; \text{finite } B \rrbracket \implies (\Box p \in A. P \ p) \ \Box (\Box p \in B. P \ p) = \Box p \in A \cup B. P \ p \rangle$   
**apply** (*erule finite-induct, simp-all*)  
**by** (*metis Det-commute Det-STOP*)  
*(metis MultiDet-insert MultiDet-insert' Det-assoc finite-UnI)*

## 4.6 $\perp$ Absorbance

**lemma** *MultiDet-BOT-absorb*:  
**assumes** *fin*:  $\langle \text{finite } A \rangle$  **and** *bot*:  $\langle P \ a = \perp \rangle$  **and** *dom*:  $\langle a \in A \rangle$   
**shows**  $\langle (\Box x \in A. P \ x) = \perp \rangle$   
**apply**(*rule rev-mp[OF dom]*, *rule rev-mp[OF bot]*)  
**by** (*metis Det-commute MultiDet-insert' Det-BOT fin insert-absorb*)

**lemma** *MultiDet-is-BOT-iff*:  
 $\langle \text{finite } A \implies \text{MultiDet } A \ P = \perp \iff (\exists a \in A. P \ a = \perp) \rangle$   
**by** (*induct A rule: finite-induct*) (*auto simp add: STOP-neq-BOT Det-is-BOT-iff*)

## 4.7 First Properties

**lemma** *MultiDet-id*:  $\langle A \neq \{\} \implies \text{finite } A \implies (\Box p \in A. P) = P \rangle$   
**by** (*erule finite-set-induct-nonempty, simp-all add: Det-id*)

**lemma** *MultiDet-STOP-id*:  $\langle \text{finite } A \implies (\Box p \in A. \text{STOP}) = \text{STOP} \rangle$   
**by** (*cases  $\langle A = \{\} \rangle$* ) (*simp-all add: MultiDet-id*)

**lemma** *MultiDet-STOP-neutral*:  
 $\langle \text{finite } A \implies P \ a = \text{STOP} \implies (\Box z \in \text{insert } a \ A. P \ z) = \Box z \in A. P \ z \rangle$   
**by** (*metis Det-commute MultiDet-insert' Det-STOP*)

**lemma** *MultiDet-is-STOP-iff*:  
 $\langle \text{finite } A \implies (\Box a \in A. P \ a) = \text{STOP} \iff A = \{\} \vee (\forall a \in A. P \ a = \text{STOP}) \rangle$   
**by** (*induct rule: finite-induct*) (*auto simp add: Det-is-STOP-iff*)

## 4.8 Behaviour of *MultiDet* with $(\Box)$

**lemma** *MultiDet-Det*:  
 $\langle \text{finite } A \implies (\Box a \in A. P \ a) \Box (\Box a \in A. Q \ a) = \Box a \in A. P \ a \Box Q \ a \rangle$   
**proof** (*induct A rule: finite-induct*)  
**case empty show ?case by** (*simp add: Det-id*)  
**next**  
**case** (*insert x F*)  
**hence**  $\langle \text{MultiDet } (\text{insert } x \ F) \ P \Box \text{MultiDet } (\text{insert } x \ F) \ Q =$   
 $P \ x \Box \text{MultiDet } F \ P \Box Q \ x \Box \text{MultiDet } F \ Q \rangle$  **by** (*simp add: Det-assoc*)  
**also have**  $\langle \dots = (P \ x \Box Q \ x) \Box (\Box a \in F. P \ a \Box Q \ a) \rangle$   
**by** (*metis (no-types, lifting) Det-assoc Det-commute insert.hyps(3)*)  
**ultimately show**  $\langle \text{MultiDet } (\text{insert } x \ F) \ P \Box \text{MultiDet } (\text{insert } x \ F) \ Q =$   
 $(\Box a \in \text{insert } x \ F. P \ a \Box Q \ a) \rangle$   
**by** (*simp add:  $\langle \text{finite } F \rangle \langle x \notin F \rangle$* )  
**qed**

## 4.9 Commutativity

**lemma** *MultiDet-sets-commute*:

$\langle \llbracket \text{finite } A; \text{ finite } B \rrbracket \implies (\Box a \in A. \Box b \in B. P a b) = \Box b \in B. \Box a \in A. P a b \rangle$   
**by** (*induct A rule: finite-induct*) (*simp-all add: MultiDet-STOP-id MultiDet-Det*)

## 4.10 Behaviour with Injectivity

**lemma** *inj-on-mapping-over-MultiDet*:

$\langle \llbracket \text{finite } A; \text{ inj-on } f A \rrbracket \implies (\Box x \in A. P x) = \Box x \in f ' A. P (\text{inv-into } A f x) \rangle$

**proof** (*induct A rule: induct-subset-empty-single*)

**case** 1

**thus** ?case **by force**

**next**

**case** 2

**thus** ?case **by force**

**next**

**case** (3 F a)

**hence** f1:  $\langle \text{inv-into } (\text{insert } a F) f (f a) = a \rangle$  **by force**

**show** ?case

**apply** (*simp add: 3.hyps(2) 3.hyps(4) f1 del: MultiDet-insert*)

**apply** (*rule arg-cong[where f =  $\langle \lambda x. P a \Box x \rangle$ ]*)

**apply** (*subst 3.hyps(5), rule inj-on-subset[OF 3.prem subset-insertI]*)

**apply** (*rule mono-MultiDet-eq, simp add: 3.hyps(2)*)

**using** 3.prem **by fastforce**

**qed**

## 4.11 The Projections

**lemma** *D-MultiDet*:  $\langle \text{finite } A \implies \mathcal{D} (\Box x \in A. P x) = (\bigcup p \in A. \mathcal{D} (P p)) \rangle$

**by** (*induct rule: finite-induct*) (*simp-all add: D-Det D-STOP*)

**lemma** *T-MultiDet*:

$\langle \text{finite } A \implies \mathcal{T} (\Box x \in A. P x) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } \bigcup p \in A. \mathcal{T} (P p)) \rangle$

**apply** (*simp add: T-STOP, intro impI, rotate-tac*)

**by** (*induct rule: finite-set-induct-nonempty*) (*simp-all add: T-Det T-STOP*)

## 4.12 Cartesian Product Results

**lemma** *MultiDet-cartprod- $\sigma$ s-set- $\sigma$ s-set*:

$\langle \llbracket \text{finite } A; \text{ finite } B; \forall s \in A. \text{ length } s = \text{ len}_1 \rrbracket \implies$

$(\Box (s, t) \in A \times B. P (s @ t)) = \Box u \in \{s @ t \mid s t. (s, t) \in A \times B\}. P u \rangle$

**apply** (*subst inj-on-mapping-over-MultiDet[where f =  $\langle \lambda (s, t). s @ t \rangle$ , simp-all add: inj-on-def]*)

**apply** (*subst prem-Multi-cartprod(1)[simplified, symmetric]*)

**apply** (*rule mono-MultiDet-eq, simp add: finite-image-set2*)

**by** (*metis (no-types, lifting) case-prod-unfold f-inv-into-f*)

**lemma** *MultiDet-cartprod-s-set-σ s-set*:

⟨[finite A; finite B] ⇒  
 $(\square (s, t) \in A \times B. P (s \# t)) = \square u \in \{s \# t \mid s t. (s, t) \in A \times B\}. P u$   
**apply** (subst inj-on-mapping-over-MultiDet[**where**  $f = \langle \lambda (s, t). s \# t \rangle$ ],  
simp-all add: inj-on-def)  
**apply** (subst prem-Multi-cartprod(2)[simplified, symmetric])  
**apply** (rule mono-MultiDet-eq, simp add: finite-image-set2)  
**by** (metis (no-types, lifting) case-prod-unfold f-inv-into-f)

**lemma** *MultiDet-cartprod-s-set-s-set*:

⟨[finite A; finite B] ⇒  
 $(\square (s, t) \in A \times B. P [s, t]) = \square u \in \{[s, t] \mid s t. (s, t) \in A \times B\}. P u$   
**apply** (subst inj-on-mapping-over-MultiDet[**where**  $f = \langle \lambda (s, t). [s, t] \rangle$ ],  
simp-all add: inj-on-def)  
**apply** (subst prem-Multi-cartprod(3)[simplified, symmetric])  
**apply** (rule mono-MultiDet-eq, simp add: finite-image-set2)  
**by** (metis (no-types, lifting) case-prod-unfold f-inv-into-f)

**lemma** *MultiDet-cartprod*:

⟨finite A ⇒ finite B ⇒  $(\square (s, t) \in A \times B. P s t) = \square s \in A. \square t \in B. P s t$   
**supply** arg-cong-Det = arg-cong[**where**  $f = \langle \lambda Q. - \square Q \rangle$ ]  
**supply** MultiDet-insert[simp del]  
**proof** (induct ⟨card A⟩ arbitrary: A B rule: nat-less-induct)  
**case** (1 A B)  
**from** ⟨finite A⟩ **consider** ⟨A = {}⟩ | ⟨B = {}⟩ |  
 $\langle \exists mA mB a b A' B'. A = \text{insert } a A' \wedge B = \text{insert } b B' \wedge mA = \text{card } A' \wedge$   
 $mB = \text{card } B' \wedge mA < \text{card } A \wedge mB < \text{card } B \rangle$   
**by** (metis card-Diff1-less-iff ex-in-conv insert-Diff)  
**thus** ⟨ $(\square (x, y) \in A \times B. P x y) = \square s \in A. \text{MultiDet } B (P s)$ ⟩  
**proof** cases  
**show** ⟨A = {}⟩ ⇒  $(\square (x, y) \in A \times B. P x y) = \square s \in A. \text{MultiDet } B (P s)$   
**by** simp  
**next**  
**show** ⟨B = {}⟩ ⇒  $(\square (x, y) \in A \times B. P x y) = \square s \in A. \text{MultiDet } B (P s)$   
**by** (simp add: MultiDet-STOP-id[OF 1.prem(1)])  
**next**  
**assume** ⟨ $\exists mA mB a b A' B'. A = \text{insert } a A' \wedge B = \text{insert } b B' \wedge$   
 $mA = \text{card } A' \wedge mB = \text{card } B' \wedge mA < \text{card } A \wedge mB < \text{card } B$ ⟩  
**then obtain** mA mB a b A' B'  
**where** \* : ⟨A = insert a A'⟩ ⟨B = insert b B'⟩ ⟨mA = card A'⟩  
⟨mB = card B'⟩ ⟨mA < card A⟩ ⟨mB < card B⟩ **by** blast  
**have** \*\* : ⟨Pair a ' B' = {a} × B'⟩ **unfolding** image-def **by** blast  
**show** ⟨ $(\square (x, y) \in A \times B. P x y) = \square s \in A. \text{MultiDet } B (P s)$ ⟩  
**using** \*(1, 2) ⟨finite A⟩ ⟨finite B⟩ **apply** simp  
**apply** (subst MultiDet-factorization-union[symmetric], simp-all)

```

apply (subst 1(1)[rule-format, OF *(5, 3)], simp-all)
apply (simp add: MultiDet-Det[symmetric])
apply (subst Det-assoc, rule arg-cong-Det)
apply (subst (3) Det-commute, rule arg-cong-Det)
apply (subst inj-on-mapping-over-MultiDet[of B' <math>\langle \lambda b. (a, b) \rangle</math>],
  simp-all add: inj-on-def **)
apply (rule mono-MultiDet-eq)
apply (simp; fail)
by (metis ** case-prod-conv f-inv-into-f)
qed
qed

end

```



## Chapter 5

# The MultiNdet Operator

```
theory MultiNdet
  imports Patch PreliminaryWork
begin
```

### 5.1 Definition

Defining the multi operator of  $(\sqcap)$  requires more work than with  $(\sqcup)$  since there is no neutral element. We will first build a version on ' $\alpha$  list' that we will generalize to ' $\alpha$  set'.

```
fun MultiNdet-list :: <['a list, 'a  $\Rightarrow$  'b process]  $\Rightarrow$  'b process>
  where <MultiNdet-list [] P = STOP>
  | <MultiNdet-list (a # l) P = fold ( $\lambda x r. r \sqcap P x$ ) l (P a)>
```

```
syntax      -MultiNdet-list :: <[pttrn, 'a set, 'b process]  $\Rightarrow$  'b process>
              (<( $\exists \sqcap_l \in \cdot. / \cdot$ )> 76)
```

```
translations  $\sqcap_l p \in l. P \Rightarrow \text{CONST MultiNdet-list } l (\lambda p. P)$ 
```

```
interpretation MultiNdet: comp-fun-idem where f= $\lambda x r. r \sqcap P x$ 
  unfolding comp-fun-idem-def comp-fun-commute-def
            comp-fun-idem-axioms-def comp-def
  by (metis Ndet-commute Ndet-assoc Ndet-id)
```

```
lemma MultiNdet-list-set:
```

```
<set L = set L'  $\Longrightarrow$  MultiNdet-list L P = MultiNdet-list L' P>
```

```
apply (cases L, simp-all)
```

```
proof -
```

```
fix a l
```

```
assume * : <insert a (set l) = set L'> and ** : <L = a # l>
```

```
then obtain a' l' where *** : <L' = a' # l'> by (metis insertI1 list.set-cases)
```

```
note * = *[simplified ***, simplified]
```

```

have a0: ⟨MultiNdet-list L P =
  Finite-Set.fold (λx r. r □ P x) (P a) (set L - {a})⟩
by (metis ** List.finite-set MultiNdet.fold-fun-left-comm
  MultiNdet.fold-insert-idem2 MultiNdet.fold-rec
  MultiNdet.fold-set-fold MultiNdet-list.simps(2)
  insert-iff list.simps(15) Ndet-id set-removeAll)
have a11: ⟨a' ∈ set L⟩
and a12: ⟨a ≠ a' ⇒ insert a' (set L - {a, a'}) = set L - {a}⟩
by (auto simp add: * **)
hence a2: ⟨Finite-Set.fold (λx r. r □ P x) (P a) (insert a' (set L - {a, a'})) =
  Finite-Set.fold (λx r. r □ P x) (P a □ P a') (set L - {a, a'})⟩
by (subst MultiNdet.fold-insert-idem2, simp-all)
have a3: ⟨MultiNdet-list L' P =
  Finite-Set.fold (λx r. r □ P x) (P a') (set L' - {a'})⟩
by (metis *** List.finite-set MultiNdet.fold-fun-left-comm
  MultiNdet.fold-insert-idem2 MultiNdet.fold-rec
  MultiNdet.fold-set-fold MultiNdet-list.simps(2)
  insert-iff list.simps(15) Ndet-id set-removeAll)
have a41: ⟨a ∈ set L'⟩
and a42: ⟨a ≠ a' ⇒ insert a (set L' - {a, a'}) = set L' - {a'}⟩
using * *** by auto
hence a5: ⟨Finite-Set.fold (λx r. r □ P x) (P a') (insert a (set L' - {a, a'}))
  = Finite-Set.fold (λx r. r □ P x) (P a □ P a') (set L' - {a, a'})⟩
by (subst MultiNdet.fold-insert-idem2, simp-all add: Ndet-commute)
have a6: ⟨set L - {a, a'} = (set L' - {a, a'})⟩
using * ** *** by force
from * ** *** a0 a11 a12 a2 a3 a41 a42 a5 a6
show ⟨fold (λx r. r □ P x) l (P a) = MultiNdet-list L' P⟩
by (metis MultiNdet-list.simps(2) list.simps(15))
qed

```

**definition** *MultiNdet* :: ⟨['a set, 'a ⇒ 'b process] ⇒ 'b process⟩  
**where** ⟨*MultiNdet* A P = *MultiNdet-list* (SOME L. set L = A) P⟩

**syntax** -*MultiNdet* :: ⟨[pttrn, 'a set, 'b process] ⇒ 'b process⟩ (⟨(3□ -∈. / -)⟩ 76)  
**translations** □ p ∈ A. P ⇒ CONST *MultiNdet* A (λp. P)

## 5.2 First Properties

**lemma** *MultiNdet-rec0*[*simp*]: ⟨(□ p ∈ {}. P p) = STOP⟩  
**by**(*simp* add: *MultiNdet-def*)

**lemma** *MultiNdet-rec1*[*simp*]: ⟨(□ p ∈ {a}. P p) = P a⟩  
**unfolding** *MultiNdet-def* **apply** (rule *someI2*[of - ⟨[a]⟩], *simp*)  
**by** (rule *MultiNdet-list-set*[**where** L' = ⟨[a]⟩, *simplified*])

**lemma** *MultiNdet-in-id*[simp]:

$\langle a \in A \implies (\prod p \in \text{insert } a \ A. P \ p) = \prod p \in A. P \ p \rangle$   
**unfolding** *MultiNdet-def* **by** (*simp add: insert-absorb*)

**lemma** *MultiNdet-insert*[simp]:

**assumes** *fin*:  $\langle \text{finite } A \rangle$  **and** *notempty*:  $\langle A \neq \{\} \rangle$  **and** *notin*:  $\langle a \notin A \rangle$   
**shows**  $\langle (\prod p \in \text{insert } a \ A. P \ p) = P \ a \ \sqcap \ (\prod p \in A. P \ p) \rangle$

**unfolding** *MultiNdet-def*

**apply** (*rule someI2-ex, simp add: fin finite-list*)**+**

**proof** –

**fix** *l l'*

**assume**  $\langle \text{set } l = A \rangle$  **and**  $\langle \text{set } l' = \text{insert } a \ A \rangle$

**from** *notempty* **and**  $\langle \text{set } l = A \rangle$  **have**  $\langle l \neq [] \rangle$  **by** *fastforce*

**then have**  $\langle \text{MultiNdet-list } (a \ \# \ l) \ P = P \ a \ \sqcap \ \text{MultiNdet-list } l \ P \rangle$

**proof** (*induct l rule: List.list-nonempty-induct*)

**case** (*single x*)

**show**  $\langle \text{MultiNdet-list } [a, x] \ P = P \ a \ \sqcap \ \text{MultiNdet-list } [x] \ P \rangle$  **by** *simp*

**next**

**case** (*cons x xs*)

**have**  $\langle \text{MultiNdet-list } (a \ \# \ x \ \# \ xs) \ P = P \ a \ \sqcap \ ((\text{MultiNdet-list } xs \ P) \ \sqcap \ P \ x) \rangle$

**by** (*metis List.finite-set MultiNdet.fold-insert-idem MultiNdet.fold-set-fold  
MultiNdet-list.simps(2) cons.hyps(2) list.simps(15) Ndet-assoc*)

**thus**  $\langle \text{MultiNdet-list } (a \ \# \ x \ \# \ xs) \ P = P \ a \ \sqcap \ \text{MultiNdet-list } (x \ \# \ xs) \ P \rangle$

**proof** –

**have** *f1*:  $\langle \text{MultiNdet-list } (a \ \# \ x \ \# \ xs) \ P =$

$\text{Finite-Set.fold } (\lambda a \ p. p \ \sqcap \ P \ a) \ (P \ x \ \sqcap \ P \ a) \ (\text{set } xs) \rangle$

**by** (*simp add: MultiNdet.fold-set-fold Ndet-commute*)

**have**  $\langle \text{MultiNdet-list } (x \ \# \ xs) \ P =$

$\text{Finite-Set.fold } (\lambda a \ p. p \ \sqcap \ P \ a) \ (P \ x) \ (\text{set } xs) \rangle$

**by** (*simp add: MultiNdet.fold-set-fold*)

**hence**  $\langle \text{MultiNdet-list } (a \ \# \ x \ \# \ xs) \ P = \text{MultiNdet-list } (x \ \# \ xs) \ P \ \sqcap \ P \ a \rangle$

**using** *f1* **by** (*simp add: MultiNdet.fold-fun-left-comm*)

**thus**  $\langle \text{MultiNdet-list } (a \ \# \ x \ \# \ xs) \ P = P \ a \ \sqcap \ \text{MultiNdet-list } (x \ \# \ xs) \ P \rangle$

**by** (*simp add: Ndet-commute*)

**qed**

**qed**

**moreover have**  $\langle \text{set } l' = \text{set } (a \ \# \ l) \rangle$

**by** (*simp add: set l = A set l' = insert a A*)

**ultimately show**  $\langle \text{MultiNdet-list } l' \ P = P \ a \ \sqcap \ \text{MultiNdet-list } l \ P \rangle$

**by** (*metis MultiNdet-list-set*)

**qed**

**lemma** *MultiNdet-insert'*[simp]:

$\langle [\text{finite } A; A \neq \{\}] \implies (\prod p \in \text{insert } a \ A. P \ p) = P \ a \ \sqcap \ (\prod p \in A. P \ p) \rangle$

**apply** (*cases a ∈ A, subst Set.insert-absorb, simp-all*)

**apply** (*cases A = {a}, simp add: Ndet-id*)

**proof** –

**assume**  $\langle \text{finite } A \rangle$  **and**  $\langle a \in A \rangle$  **and**  $\langle A \neq \{a\} \rangle$   
**then obtain**  $A'$  **where**  $\langle A' \neq \{\} \rangle$   $\langle A = \text{insert } a \ A' \rangle$   $\langle a \notin A' \rangle$   $\langle \text{finite } A' \rangle$   
**by** (*metis Set.set-insert finite-insert*)  
**hence**  $\langle \text{MultiNdet } A \ P = P \ a \ \sqcap \ \text{MultiNdet } A' \ P \rangle$  **by** *simp*  
**hence**  $\langle \text{MultiNdet } A \ P = P \ a \ \sqcap \ P \ a \ \sqcap \ \text{MultiNdet } A' \ P \rangle$  **by** (*simp add: Ndet-id*)  
**thus**  $\langle \text{MultiNdet } A \ P = P \ a \ \sqcap \ \text{MultiNdet } A \ P \rangle$  **by** (*metis Ndet-id Ndet-assoc*)  
**qed**

**lemma** *mono-MultiNdet-eq*:

$\langle \text{finite } A \implies \forall x \in A. P \ x = Q \ x \implies \text{MultiNdet } A \ P = \text{MultiNdet } A \ Q \rangle$   
**by** (*induct A rule: induct-subset-empty-single; simp*)

### 5.3 Some Tests

**lemma**  $\langle (\prod_l p \in []. P \ p) = \text{STOP} \rangle$   
**and**  $\langle (\prod_l p \in [a]. P \ p) = P \ a \rangle$   
**and**  $\langle (\prod_l p \in [a, b]. P \ p) = P \ a \ \sqcap \ P \ b \rangle$   
**and**  $\langle (\prod_l p \in [a, b, c]. P \ p) = P \ a \ \sqcap \ P \ b \ \sqcap \ P \ c \rangle$   
**by** *auto*

**lemma**  $\langle (\prod p \in \{\}. P \ p) = \text{STOP} \rangle$   
**and**  $\langle (\prod p \in \{a\}. P \ p) = P \ a \rangle$   
**and**  $\langle (\prod p \in \{a, b\}. P \ p) = P \ a \ \sqcap \ P \ b \rangle$   
**and**  $\langle (\prod p \in \{a, b, c\}. P \ p) = P \ a \ \sqcap \ P \ b \ \sqcap \ P \ c \rangle$   
**by** (*simp add: Ndet-assoc*)**+**

**lemma** *test-MultiNdet*:  $\langle (\prod p \in \{1::\text{int} .. 3\}. P \ p) = P \ 1 \ \sqcap \ P \ 2 \ \sqcap \ P \ 3 \rangle$

**proof** –

**have**  $\langle \{1::\text{int} .. 3\} = \text{insert } 1 \ (\text{insert } 2 \ (\text{insert } 3 \ \{\})) \rangle$  **by** *fastforce*  
**thus**  $\langle (\prod p \in \{1::\text{int} .. 3\}. P \ p) = P \ 1 \ \sqcap \ P \ 2 \ \sqcap \ P \ 3 \rangle$  **by** (*simp add: Ndet-assoc*)  
**qed**

**lemma** *test-MultiNdet'*:

$\langle (\prod p \in \{0::\text{nat} .. a\}. P \ p) = (\prod p \in \{a\} \cup \{1 .. a\} \cup \{0\}. P \ p) \rangle$   
**by** (*metis Un-insert-right atMost-atLeast0 boolean-algebra-cancel.sup0  
image-Suc-lessThan insert-absorb2 insert-is-Un lessThan-Suc  
lessThan-Suc-atMost lessThan-Suc-eq-insert-0*)

### 5.4 Continuity

**lemma** *MultiNdet-cont[simp]*:

$\langle [\text{finite } A; \forall x \in A. \text{cont } (P \ x)] \implies \text{cont } (\lambda y. \prod z \in A. P \ z \ y) \rangle$   
**by** (*cases*  $\langle A = \{\} \rangle$ , *simp*, *erule finite-set-induct-nonempty; simp*)

## 5.5 Factorization of $(\sqcap)$ in front of *MultiNdet*

**lemma** *MultiNdet-factorization-union*:

$\langle [A \neq \{\}; \text{finite } A; B \neq \{\}; \text{finite } B] \implies$   
 $(\sqcap p \in A. P p) \sqcap (\sqcap p \in B. P p) = \sqcap p \in A \cup B. P p \rangle$   
**by** (*erule finite-set-induct-nonempty, simp-all add: Ndet-assoc*)

## 5.6 $\perp$ Absorbance

**lemma** *MultiNdet-BOT-absorb*:

**assumes** *fin*:  $\langle \text{finite } A \rangle$  **and** *bot*:  $\langle P a = \perp \rangle$  **and** *dom*:  $\langle a \in A \rangle$   
**shows**  $\langle (\sqcap x \in A. P x) = \perp \rangle$   
**apply** (*rule rev-mp[OF dom], rule rev-mp[OF bot]*)  
**by** (*metis MultiNdet-insert MultiNdet-rec1 Ndet-commute fin*  
*finite-insert mk-disjoint-insert Ndet-BOT*)

**lemma** *MultiNdet-is-BOT-iff*:

$\langle \text{finite } A \implies (\sqcap p \in A. P p) = \perp \iff (\exists a \in A. P a = \perp) \rangle$   
**apply** (*cases  $\langle A = \{\} \rangle$ , simp add: STOP-neq-BOT*)  
**by** (*rotate-tac, induct A rule: finite-set-induct-nonempty*) (*simp-all add: Ndet-is-BOT-iff*)

## 5.7 First Properties

**lemma** *MultiNdet-id*:  $\langle A \neq \{\} \implies \text{finite } A \implies (\sqcap p \in A. P) = P \rangle$

**by** (*erule finite-set-induct-nonempty, (simp-all add: Ndet-id)*)

**lemma** *MultiNdet-STOP-id*:  $\langle \text{finite } A \implies (\sqcap p \in A. \text{STOP}) = \text{STOP} \rangle$

**by** (*cases  $\langle A = \{\} \rangle$ ) (simp-all add: MultiNdet-id)*

**lemma** *MultiNdet-is-STOP-iff*:

$\langle \text{finite } A \implies (\sqcap p \in A. P p) = \text{STOP} \iff A = \{\} \vee (\forall a \in A. P a = \text{STOP}) \rangle$   
**apply** (*cases  $\langle A = \{\} \rangle$ , simp*)  
**by** (*rotate-tac, induct A rule: finite-set-induct-nonempty*) (*simp-all add: Ndet-is-STOP-iff*)

## 5.8 Behaviour of *MultiNdet* with $(\sqcap)$

**lemma** *MultiNdet-Ndet*:

$\langle \text{finite } A \implies (\sqcap a \in A. P a) \sqcap (\sqcap a \in A. Q a) = \sqcap a \in A. P a \sqcap Q a \rangle$   
**apply** (*cases  $\langle A = \{\} \rangle$ , simp add: Ndet-id*)  
**apply** (*rotate-tac, induct A rule: finite-set-induct-nonempty*)  
**by** *simp-all (metis (no-types, lifting) Ndet-commute Ndet-assoc)*

## 5.9 Commutativity

**lemma** *MultiNdet-sets-commute*:

$\langle \llbracket \text{finite } A; \text{ finite } B \rrbracket \implies$

$(\prod a \in A. \prod b \in B. P a b) = \prod b \in B. \prod a \in A. P a b \rangle$

**proof** (*cases*  $\langle A = \{\} \rangle$ )

**show**  $\langle \text{finite } A \implies \text{finite } B \implies A = \{\} \implies$

$(\prod a \in A. \text{MultiNdet } B (P a)) = \prod b \in B. \prod a \in A. P a b \rangle$

**by** (*simp add: MultiNdet-STOP-id*)

**next**

**assume**  $\langle A \neq \{\} \rangle$  **and**  $\langle \text{finite } A \rangle$  **and**  $\langle \text{finite } B \rangle$

**thus**  $\langle (\prod a \in A. \text{MultiNdet } B (P a)) = \prod b \in B. \prod a \in A. P a b \rangle$

**apply** (*induct A rule: finite-set-induct-nonempty*)

**by** (*simp-all add: MultiNdet-Ndet*)

**qed**

## 5.10 Behaviour with Injectivity

**lemma** *inj-on-mapping-over-MultiNdet*:

$\langle \llbracket \text{finite } A; \text{ inj-on } f A \rrbracket \implies (\prod x \in A. P x) = \prod x \in f ' A. P (\text{inv-into } A f x) \rangle$

**proof** (*induct A rule: induct-subset-empty-single*)

**show**  $\langle \text{MultiNdet } \{\} P = \prod x \in f ' \{\}. P (\text{inv-into } \{\} f x) \rangle$  **by force**

**next**

**case** 2

**show** *?case* **by force**

**next**

**case** ( $\exists F a$ )

**hence** *f1*:  $\langle \text{inv-into } (\text{insert } a F) f (f a) = a \rangle$  **by force**

**show** *?case*

**apply** (*simp add: 3.hyps(2) 3.hyps(4) f1*)

**apply** (*rule arg-cong*[**where**  $f = \langle \lambda x. P a \sqcap x \rangle$ ])

**apply** (*subst 3.hyps(5), rule inj-on-subset*[*OF 3.premss subset-insertI*])

**apply** (*rule mono-MultiNdet-eq, simp add: 3.hyps(2)*)

**using** *3.premss* **by fastforce**

**qed**

## 5.11 The Projections

**lemma** *D-MultiNdet*:  $\langle \text{finite } A \implies \mathcal{D} (\prod x \in A. P x) = (\bigcup p \in A. \mathcal{D} (P p)) \rangle$

**apply** (*cases*  $\langle A = \{\} \rangle$ , *simp add: D-STOP, rotate-tac*)

**by** (*induct rule: finite-set-induct-nonempty*) (*simp-all add: D-Ndet*)

**lemma** *F-MultiNdet*:

$\langle \text{finite } A \implies \mathcal{F} (\prod x \in A. P x) =$

$(\text{if } A = \{\} \text{ then } \{(s, X). s = []\} \text{ else } \bigcup p \in A. \mathcal{F} (P p)) \rangle$

**apply** (*simp add: F-STOP, intro impI, rotate-tac*)

**by** (*induct rule: finite-set-induct-nonempty*) (*simp-all add: F-Ndet*)

**lemma** *T-MultiNdet*:

$\langle \llbracket \text{finite } A \implies \mathcal{T} (\prod x \in A. P x) =$   
 $(\text{if } A = \{\} \text{ then } \{\} \text{ else } \bigcup p \in A. \mathcal{T} (P p)) \rangle$   
**apply** (*simp add: T-STOP, intro impI, rotate-tac*)  
**by** (*induct rule: finite-set-induct-nonempty (simp-all add: T-Ndet)*)

## 5.12 Cartesian Product Results

**lemma** *MultiNdet-cartprod- $\sigma$ s-set- $\sigma$ s-set*:

$\langle \llbracket \text{finite } A; \text{finite } B; \forall s \in A. \text{length } s = \text{len}_1 \rrbracket \implies$   
 $(\prod (s, t) \in A \times B. P (s @ t)) = \prod u \in \{s @ t \mid s t. (s, t) \in A \times B\}. P u \rangle$   
**apply** (*subst inj-on-mapping-over-MultiNdet[where f =  $\langle \lambda (s, t). s @ t \rangle$ ],*  
*simp-all add: inj-on-def*)  
**apply** (*subst prem-Multi-cartprod(1)[simplified, symmetric]*)  
**apply** (*rule mono-MultiNdet-eq, simp add: finite-image-set2*)  
**by** (*metis (no-types, lifting) case-prod-unfold f-inv-into-f*)

**lemma** *MultiNdet-cartprod-s-set- $\sigma$ s-set*:

$\langle \llbracket \text{finite } A; \text{finite } B \rrbracket \implies$   
 $(\prod (s, t) \in A \times B. P (s \# t)) = \prod u \in \{s \# t \mid s t. (s, t) \in A \times B\}. P u \rangle$   
**apply** (*subst inj-on-mapping-over-MultiNdet[where f =  $\langle \lambda (s, t). s \# t \rangle$ ],*  
*simp-all add: inj-on-def*)  
**apply** (*subst prem-Multi-cartprod(2)[simplified, symmetric]*)  
**apply** (*rule mono-MultiNdet-eq, simp add: finite-image-set2*)  
**by** (*metis (no-types, lifting) case-prod-unfold f-inv-into-f*)

**lemma** *MultiNdet-cartprod-s-set-s-set*:

$\langle \llbracket \text{finite } A; \text{finite } B \rrbracket \implies$   
 $(\prod (s, t) \in A \times B. P [s, t]) = \prod u \in \{[s, t] \mid s t. (s, t) \in A \times B\}. P u \rangle$   
**apply** (*subst inj-on-mapping-over-MultiNdet[where f =  $\langle \lambda (s, t). [s, t] \rangle$ ],*  
*simp-all add: inj-on-def*)  
**apply** (*subst prem-Multi-cartprod(3)[simplified, symmetric]*)  
**apply** (*rule mono-MultiNdet-eq, simp add: finite-image-set2*)  
**by** (*metis (no-types, lifting) case-prod-unfold f-inv-into-f*)

**lemma** *MultiNdet-cartprod*:

$\langle \llbracket \text{finite } A; \text{finite } B \rrbracket \implies (\prod (s, t) \in A \times B. P s t) = \prod s \in A. \prod t \in B. P s t \rangle$   
**supply** *arg-cong-Ndet = arg-cong[where f =  $\langle \lambda Q. - \prod Q \rangle$ ]*  
**proof** (*induct  $\langle \text{card } A \rangle$  arbitrary: A B rule: nat-less-induct*)  
**case** (*1 A B*)  
**from**  $\langle \text{finite } A \rangle \langle \text{finite } B \rangle$  **consider**  $\langle A = \{\} \rangle \mid \langle B = \{\} \rangle \mid$   
 $\langle \exists mA mB a b A' B'. A = \text{insert } a A' \wedge B = \text{insert } b B' \wedge mA = \text{card } A' \wedge$   
 $mB = \text{card } B' \wedge mA < \text{card } A \wedge mB < \text{card } B \rangle$   
**by** (*metis card-Diff1-less-iff ex-in-conv insert-Diff*)  
**thus**  $\langle (\prod (x, y) \in A \times B. P x y) = \prod s \in A. \text{MultiNdet } B (P s) \rangle$

```

proof cases
  show  $\langle A = \{\} \implies (\prod (x, y) \in A \times B. P x y) = \prod s \in A. \text{MultiNdet } B (P s) \rangle$ 
    by simp
next
  show  $\langle B = \{\} \implies (\prod (x, y) \in A \times B. P x y) = \prod s \in A. \text{MultiNdet } B (P s) \rangle$ 
    by (simp add: MultiNdet-STOP-id[OF 1.prem1])
next
  assume  $\langle \exists mA mB a b A' B'. A = \text{insert } a A' \wedge B = \text{insert } b B' \wedge$ 
     $mA = \text{card } A' \wedge mB = \text{card } B' \wedge mA < \text{card } A \wedge mB < \text{card } B \rangle$ 
  then obtain  $mA mB a b A' B'$ 
    where  $*$  :  $\langle A = \text{insert } a A' \rangle \langle B = \text{insert } b B' \rangle \langle mA = \text{card } A' \rangle$ 
     $\langle mB = \text{card } B' \rangle \langle mA < \text{card } A \rangle \langle mB < \text{card } B \rangle$  by blast
  have  $**$  :  $\langle \text{Pair } a \text{ ' } B' = \{a\} \times B' \rangle$ 
  and  $***$  :  $\langle (\lambda a. (a, b)) \text{ ' } A' = A' \times \{b\} \rangle$  unfolding image-def by blast+
  show  $\langle (\prod (x, y) \in A \times B. P x y) = \prod s \in A. \text{MultiNdet } B (P s) \rangle$ 
    using  $*(1, 2) \langle \text{finite } A \rangle \langle \text{finite } B \rangle$ 
    apply (cases  $\langle A' = \{\} \rangle$ ; cases  $\langle B' = \{\} \rangle$ ; simp-all)
    apply (rule arg-cong-Ndet)
    apply (subst inj-on-mapping-over-MultiNdet[of  $B' \langle \lambda b. (a, b) \rangle$ ],
      simp-all add: inj-on-def **)
    apply (rule mono-MultiNdet-eq, simp-all)
    apply (metis Pair-inject f-inv-into-f image-eqI)
    apply (rule arg-cong-Ndet)
    apply (subst inj-on-mapping-over-MultiNdet[of  $A' \langle \lambda a. (a, b) \rangle$ ],
      simp-all add: inj-on-def ***)
    apply (rule mono-MultiNdet-eq, simp-all)
    apply (metis (no-types, lifting) f-inv-into-f image-eqI prod.inject)

    apply (subst MultiNdet-factorization-union[symmetric], simp-all)
    apply (subst 1(1)[rule-format, OF *(5, 3)], simp-all)
    apply (simp add: MultiNdet-Ndet[symmetric])
    apply (subst Ndet-assoc, rule arg-cong-Ndet)
    apply (subst (3) Ndet-commute, rule arg-cong-Ndet)
    apply (subst inj-on-mapping-over-MultiNdet[of  $B' \langle \lambda b. (a, b) \rangle$ ],
      simp-all add: inj-on-def **)
    apply (rule mono-MultiNdet-eq)
    apply (simp; fail)
    by (metis ** case-prod-conv f-inv-into-f)
qed
qed

end

```



## Chapter 6

# The MultiSync Operator

```
theory MultiSync
  imports HOL-Library.Multiset PreliminaryWork Patch
begin
```

### 6.1 Definition

As in the  $(\sqcap)$  case, we have no neutral element so we will also have to go through lists first. But the binary operator *Sync* is not idempotent either, so the generalization will be done on ' $\alpha$  multiset' and not on ' $\alpha$  set'.

Note that a ' $\alpha$  multiset' is by construction finite (cf. theorem *finite (set-mset M)*).

```
fun MultiSync-list :: <['b set, 'a list, 'a  $\Rightarrow$  'b process]  $\Rightarrow$  'b process>
  where <MultiSync-list S [] P = STOP>
  | <MultiSync-list S (l # L) P = fold ( $\lambda x r. r \llbracket S \rrbracket P x$ ) L (P l)>
```

```
syntax -MultiSync-list :: <[pttrn, 'b set, 'a list, 'b process]  $\Rightarrow$  'b process>
  (<( $\exists \llbracket - \rrbracket_l \in \cdot / \cdot$ ) 63>)
```

```
translations  $\llbracket S \rrbracket_l p \in L. P \Rightarrow \text{CONST MultiSync-list } S L (\lambda p. P)$ 
```

```
interpretation MultiSync: comp-fun-commute where f = < $\lambda x r. r \llbracket E \rrbracket P x$ >
  unfolding comp-fun-commute-def comp-fun-idem-axioms-def comp-def
  by (metis Sync-assoc Sync-commute)
```

```
lemma MultiSync-list-mset:
```

```
<mset L = mset L'  $\Longrightarrow$  MultiSync-list S L P = MultiSync-list S L' P>
apply (cases L; simp)
```

```
proof -
```

```
  fix a l
```

```
  assume * : <add-mset a (mset l) = mset L'> and ** : <L = a # l>
```

**then obtain**  $a' l'$  **where**  $*** : \langle L' = a' \# l' \rangle$   
**by** (*metis list.exhaust mset.simps(2) mset-zero-iff*)  
**note**  $**** = *[\text{simplified } ***, \text{simplified}]$   
**have**  $a0 : \langle a \neq a' \implies \text{MultiSync-list } S L P =$   
 $\text{fold } (\lambda x r. r \llbracket S \rrbracket P x) (a' \# (\text{remove1 } a' l)) (P a) \rangle$   
**apply** (*subst fold-multiset-equiv*[**where**  $ys = \langle l \rangle$ ])  
**apply** (*metis MultiSync.comp-fun-commute-axioms comp-fun-commute-def*)  
**apply** (*simp-all add: \* \*\* \*\*\*\**)  
**by** (*metis \*\*\*\* insert-DiffM insert-noteq-member*)  
**have**  $a1 : \langle a \neq a' \implies \text{MultiSync-list } S L' P =$   
 $\text{fold } (\lambda x r. r \llbracket S \rrbracket P x) (a \# (\text{remove1 } a l')) (P a') \rangle$   
**apply** (*subst fold-multiset-equiv*[**where**  $ys = \langle l' \rangle$ ])  
**apply** (*metis MultiSync.comp-fun-commute-axioms comp-fun-commute-def*)  
**apply** (*simp-all add: \* \*\* \*\*\*\**)  
**by** (*metis \*\*\*\* insert-DiffM insert-noteq-member*)  
**from**  $**** ** **** a0 a1$   
**show**  $\langle \text{fold } (\lambda x r. r \llbracket S \rrbracket P x) l (P a) = \text{MultiSync-list } S L' P \rangle$   
**apply** (*cases*  $\langle a = a' \rangle$ , *simp*)  
**apply** (*subst fold-multiset-equiv*[**where**  $ys = l'$ ])  
**apply** (*metis MultiSync.comp-fun-commute-axioms comp-fun-commute-def*)  
**apply** (*simp-all*)  
**apply** (*subst fold-multiset-equiv*[**where**  $ys = \langle \text{remove1 } a l' \rangle$ ],  
*simp-all add: Sync-commute*)  
**apply** (*metis MultiSync.comp-fun-commute-axioms*  
*comp-fun-commute.comp-fun-commute*)  
**by** (*metis add-mset-commute add-mset-diff-bothsides*)  
**qed**

**definition** *MultiSync*  $:: \langle [ 'b \text{ set}, 'a \text{ multiset}, 'a \Rightarrow 'b \text{ process} ] \Rightarrow 'b \text{ process} \rangle$   
**where**  $\langle \text{MultiSync } S M P = \text{MultiSync-list } S (\text{SOME } L. \text{mset } L = M) P \rangle$

**syntax** *-MultiSync*  $:: \langle [ \text{pttrn}, 'b \text{ set}, 'a \text{ multiset}, 'b \text{ process} ] \Rightarrow 'b \text{ process} \rangle$   
 $(\langle \mathfrak{A}[\_ ] \_ \in \# \_ . / \_ \rangle 63)$

**translations**  $\llbracket S \rrbracket p \in \# M. P \Leftrightarrow \text{CONST } \text{MultiSync } S M (\lambda p. P)$

Special case of *MultiSync*  $E P$  when  $E = \{\}$ .

**abbreviation** *MultiInter*  $:: \langle [ 'a \text{ multiset}, 'a \Rightarrow 'b \text{ process} ] \Rightarrow 'b \text{ process} \rangle$   
**where**  $\langle \text{MultiInter } M P \equiv \text{MultiSync } \{\} M P \rangle$

**syntax** *-MultiInter*  $:: \langle [ \text{pttrn}, 'a \text{ multiset}, 'b \text{ process} ] \Rightarrow 'b \text{ process} \rangle$   
 $(\langle \mathfrak{A}[\_ ] \_ \in \# \_ . / \_ \rangle 77)$

**translations**  $\lll p \in \# M. P \Leftrightarrow \text{CONST } \text{MultiInter } M (\lambda p. P)$

Special case of *MultiSync*  $E P$  when  $E = \text{UNIV}$ .

**abbreviation** *MultiPar*  $:: \langle [ 'a \text{ multiset}, 'a \Rightarrow 'b \text{ process} ] \Rightarrow 'b \text{ process} \rangle$   
**where**  $\langle \text{MultiPar } M P \equiv \text{MultiSync } \text{UNIV } M P \rangle$

**syntax** *-MultiPar*  $:: \langle [ \text{pttrn}, 'a \text{ multiset}, 'b \text{ process} ] \Rightarrow 'b \text{ process} \rangle$

translations  $\llbracket p \in\# M. P \equiv \text{CONST MultiPar } M (\lambda p. P) \rrbracket$  (3|| -∈#-. / -) 77

## 6.2 First Properties

**lemma** *MultiSync-rec0[simp]*:  $\langle \llbracket S \rrbracket p \in\# \{\#\}. P p \rangle = \text{STOP}$   
**unfolding** *MultiSync-def* **by** *simp*

**lemma** *MultiSync-rec1[simp]*:  $\langle \llbracket S \rrbracket p \in\# \{\#a\#\}. P p \rangle = P a$   
**unfolding** *MultiSync-def* **apply**(*rule someI2-ex*) **by** *simp-all*

**lemma** *MultiSync-add[simp]*:  
 $\langle M \neq \{\#\} \implies (\llbracket S \rrbracket p \in\# \text{add-mset } m M. P p) = P m \llbracket S \rrbracket (\llbracket S \rrbracket p \in\# M. P p) \rangle$   
**unfolding** *MultiSync-def*  
**apply** (*rule someI2-ex, simp add: ex-mset*)  
**proof** –  
**fix** *L L'*  
**assume**  $\langle M \neq \{\#\} \rangle \langle \text{mset } L = M \rangle \langle \text{mset } L' = \text{add-mset } m M \rangle$   
**thus**  $\langle \text{MultiSync-list } S L' P = P m \llbracket S \rrbracket \text{MultiSync-list } S L P \rangle$   
**apply** (*subst MultiSync-list-mset[where L = <L'> and L' = <L @ [m]>], simp*)  
**by** (*cases L; simp add: Sync-commute*)

**qed**

**lemma** *mono-MultiSync-eq*:  
 $\langle \forall x \in\# M. P x = Q x \implies \text{MultiSync } S M P = \text{MultiSync } S M Q \rangle$   
**by** (*induct M rule: induct-subset-mset-empty-single; simp*)

**lemmas** *MultiInter-rec0* = *MultiSync-rec0[where S = <{}>]*  
**and** *MultiPar-rec0* = *MultiSync-rec0[where S = <UNIV>]*  
**and** *MultiInter-rec1* = *MultiSync-rec1[where S = <{}>]*  
**and** *MultiPar-rec1* = *MultiSync-rec1[where S = <UNIV>]*  
**and** *MultiInter-add* = *MultiSync-add[where S = <{}>]*  
**and** *MultiPar-add* = *MultiSync-add[where S = <UNIV>]*  
**and** *mono-MultiInter-eq* = *mono-MultiSync-eq[where S = <{}>]*  
**and** *mono-MultiPar-eq* = *mono-MultiSync-eq[where S = <UNIV>]*

## 6.3 Some Tests

**lemma**  $\langle \llbracket S \rrbracket_l p \in []. P p \rangle = \text{STOP}$   
**and**  $\langle \llbracket S \rrbracket_l p \in [a]. P p \rangle = P a$   
**and**  $\langle \llbracket S \rrbracket_l p \in [a, b]. P p \rangle = P a \llbracket S \rrbracket P b$   
**and**  $\langle \llbracket S \rrbracket_l p \in [a, b, c]. P p \rangle = P a \llbracket S \rrbracket P b \llbracket S \rrbracket P c$   
**by** *simp+*

**lemma** *test-MultiSync*:

$\langle \llbracket S \rrbracket p \in \# \text{ mset } []. P p \rangle = \text{STOP}$   
 $\langle \llbracket S \rrbracket p \in \# \text{ mset } [a]. P p \rangle = P a$   
 $\langle \llbracket S \rrbracket p \in \# \text{ mset } [a, b]. P p \rangle = P a \llbracket S \rrbracket P b$   
 $\langle \llbracket S \rrbracket p \in \# \text{ mset } [a, b, c]. P p \rangle = P a \llbracket S \rrbracket P b \llbracket S \rrbracket P c$   
**by** (*simp-all add: Sync-assoc*)

**lemma** *MultiSync-set1*:  $\langle \text{MultiSync } S (\text{mset-set } \{k::\text{nat}..<k\}) P \rangle = \text{STOP}$

**by** *fastforce*

**lemma** *MultiSync-set2*:  $\langle \text{MultiSync } S (\text{mset-set } \{k..<\text{Suc } k\}) P \rangle = P k$

**by** *fastforce*

**lemma** *MultiSync-set3*:

$\langle l < k \implies \text{MultiSync } S (\text{mset-set } \{l ..< \text{Suc } k\}) P =$   
 $P l \llbracket S \rrbracket (\text{MultiSync } S (\text{mset-set } \{\text{Suc } l ..< \text{Suc } k\}) P) \rangle$   
**by** (*simp add: Icc-eq-insert-lb-nat atLeastLessThanSuc-atLeastAtMost*)

**lemma** *test-MultiSync'*:

$\langle \llbracket S \rrbracket p \in \# \text{ mset-set } \{1::\text{int} .. 3\}. P p \rangle = P 1 \llbracket S \rrbracket P 2 \llbracket S \rrbracket P 3$

**proof** –

**have**  $\langle \{1::\text{int} .. 3\} = \text{insert } 1 (\text{insert } 2 (\text{insert } 3 \{\})) \rangle$  **by** *fastforce*

**thus**  $\langle \llbracket S \rrbracket p \in \# \text{ mset-set } \{1::\text{int} .. 3\}. P p \rangle = P 1 \llbracket S \rrbracket P 2 \llbracket S \rrbracket P 3$  **by** (*simp add: Sync-assoc*)

**qed**

**lemma** *test-MultiSync''*:

$\langle \llbracket S \rrbracket p \in \# \text{ mset-set } \{0::\text{nat} .. a\}. P p \rangle =$   
 $\llbracket S \rrbracket p \in \# \text{ mset-set } (\{a\} \cup \{1 .. a\} \cup \{0\}) . P p$   
**by** (*metis Un-insert-right atMost-atLeast0 boolean-algebra-cancel.sup0 image-Suc-lessThan insert-absorb2 insert-is-Un lessThan-Suc lessThan-Suc-atMost lessThan-Suc-eq-insert-0*)

**lemmas** *test-MultiInter* = *test-MultiSync*[**where**  $S = \langle \{\} \rangle$ ]

**and** *test-MultiPar* = *test-MultiSync*[**where**  $S = \langle \text{UNIV} \rangle$ ]

**and** *MultiInter-set1* = *MultiSync-set1*[**where**  $S = \langle \{\} \rangle$ ]

**and** *MultiPar-set1* = *MultiSync-set1*[**where**  $S = \langle \text{UNIV} \rangle$ ]

**and** *MultiInter-set2* = *MultiSync-set2*[**where**  $S = \langle \{\} \rangle$ ]

**and** *MultiPar-set2* = *MultiSync-set2*[**where**  $S = \langle \text{UNIV} \rangle$ ]

**and** *MultiInter-set3* = *MultiSync-set3*[**where**  $S = \langle \{\} \rangle$ ]

**and** *MultiPar-set3* = *MultiSync-set3*[**where**  $S = \langle \text{UNIV} \rangle$ ]

**and**  $test\text{-}MultiInter' = test\text{-}MultiSync'[\mathbf{where} S = \langle \{\} \rangle]$   
**and**  $test\text{-}MultiPar' = test\text{-}MultiSync'[\mathbf{where} S = \langle UNIV \rangle]$   
**and**  $test\text{-}MultiInter'' = test\text{-}MultiSync''[\mathbf{where} S = \langle \{\} \rangle]$   
**and**  $test\text{-}MultiPar'' = test\text{-}MultiSync''[\mathbf{where} S = \langle UNIV \rangle]$

## 6.4 Continuity

**lemma**  $MultiSync\text{-}cont[simp]$ :  
 $\langle \forall x \in \# M. cont (P x) \implies cont (\lambda y. \llbracket S \rrbracket z \in \# M. P z y) \rangle$   
**by** ( $cases \langle M = \{\# \} \rangle$ ,  $simp$ ,  $erule mset\text{-}induct\text{-}nonempty$ ,  $simp+$ )

**lemmas**  $MultiInter\text{-}cont[simp] = MultiSync\text{-}cont[\mathbf{where} S = \langle \{\} \rangle]$   
**and**  $MultiPar\text{-}cont[simp] = MultiSync\text{-}cont[\mathbf{where} S = \langle UNIV \rangle]$

## 6.5 Factorization of $Sync$ in front of $MultiSync$

**lemma**  $MultiSync\text{-}factorization\text{-}union$ :  
 $\langle \llbracket M \neq \{\# \}; N \neq \{\# \} \rrbracket \implies$   
 $(\llbracket S \rrbracket z \in \# M. P z) \llbracket S \rrbracket (\llbracket S \rrbracket z \in \# N. P z) = \llbracket S \rrbracket z \in \# M + N. P z \rangle$   
**by** ( $erule mset\text{-}induct\text{-}nonempty$ ,  $simp\text{-}all$   $add: Sync\text{-}assoc$ )

**lemmas**  $MultiInter\text{-}factorization\text{-}union =$   
 $MultiSync\text{-}factorization\text{-}union[\mathbf{where} S = \langle \{\} \rangle]$   
**and**  $MultiPar\text{-}factorization\text{-}union =$   
 $MultiSync\text{-}factorization\text{-}union[\mathbf{where} S = \langle UNIV \rangle]$

## 6.6 $\perp$ Absorbance

**lemma**  $MultiSync\text{-}BOT\text{-}absorb$ :  
 $\langle m \in \# M \implies P m = \perp \implies (\llbracket S \rrbracket z \in \# M. P z) = \perp \rangle$   
**by** ( $metis MultiSync\text{-}add MultiSync\text{-}rec1 mset\text{-}add Sync\text{-}BOT Sync\text{-}commute$ )

**lemmas**  $MultiInter\text{-}BOT\text{-}absorb = MultiSync\text{-}BOT\text{-}absorb[\mathbf{where} S = \langle \{\} \rangle]$   
**and**  $MultiPar\text{-}BOT\text{-}absorb = MultiSync\text{-}BOT\text{-}absorb[\mathbf{where} S = \langle UNIV \rangle]$

**lemma**  $MultiSync\text{-}is\text{-}BOT\text{-}iff$ :  
 $\langle (\llbracket S \rrbracket m \in \# M. P m) = \perp \longleftrightarrow (\exists m \in \# M. P m = \perp) \rangle$   
**apply** ( $cases \langle M = \{\# \} \rangle$ ,  $simp$   $add: BOT\text{-}iff\text{-}D D\text{-}STOP$ )  
**by** ( $rotate\text{-}tac$ ,  $induct M$   $rule: mset\text{-}induct\text{-}nonempty$ )  
 $(auto simp add: Sync\text{-}is\text{-}BOT\text{-}iff)$

**lemmas**  $MultiInter\text{-}is\text{-}BOT\text{-}iff = MultiSync\text{-}is\text{-}BOT\text{-}iff[\mathbf{where} S = \langle \{\} \rangle]$   
**and**  $MultiPar\text{-}is\text{-}BOT\text{-}iff = MultiSync\text{-}is\text{-}BOT\text{-}iff[\mathbf{where} S = \langle UNIV \rangle]$

## 6.7 Other Properties

**lemma** *MultiSync-SKIP-id*:  $\langle M \neq \{\#\} \implies (\llbracket S \rrbracket z \in\# M. \text{SKIP}) = \text{SKIP} \rangle$   
 by (*rule mset-induct-nonempty, simp-all add: Sync-SKIP-SKIP*)

**lemmas** *MultiInter-SKIP-id* = *MultiSync-SKIP-id*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *MultiPar-SKIP-id* = *MultiSync-SKIP-id*[**where**  $S = \langle \text{UNIV} \rangle$ ]

**lemma** *MultiPar-prefix-two-distincts-STOP*:

**assumes**  $\langle m \in\# M \rangle$  **and**  $\langle m' \in\# M \rangle$  **and**  $\langle \text{fst } m \neq \text{fst } m' \rangle$   
**shows**  $\langle (\| a \in\# M. (\text{fst } a \rightarrow P (\text{snd } a))) = \text{STOP} \rangle$

**proof** –

**obtain**  $M'$  **where**  $f2: \langle M = \text{add-mset } m (\text{add-mset } m' M') \rangle$

by (*metis diff-union-swap insert-DiffM assms*)

**show**  $\langle (\| x \in\# M. (\text{fst } x \rightarrow P (\text{snd } x))) = \text{STOP} \rangle$

**apply** (*cases*  $\langle M' = \{\#\} \rangle$ ,

*simp-all add: f2 prefix-Par1[rotated, rotated, OF assms(3)]*)

**apply** (*induct*  $M'$  *rule: mset-induct-nonempty, simp*)

**apply** (*metis (no-types, opaque-lifting) Sync-BOT Par-STOP prefix-Par2 prefix-Par1 assms(3)*)

by (*metis (no-types, lifting) MultiPar-add add-mset-commute empty-not-add-mset Par-BOT Par-STOP prefix-Par-SKIP Par-commute*)

qed

**lemma** *MultiPar-prefix-two-distincts-STOP'*:

$\langle (\| (m, n) \in\# M; (m', n') \in\# M; m \neq m' \rangle \implies$   
 $(\| (m, n) \in\# M. (m \rightarrow P n)) = \text{STOP} \rangle$

**apply** (*subst cond-case-prod-eta*[**where**  $g = \langle \lambda x. (\text{fst } x \rightarrow P (\text{snd } x)) \rangle$ ])

by (*simp-all add: MultiPar-prefix-two-distincts-STOP*)

## 6.8 Behaviour of MultiSync with Sync

**lemma** *Sync-STOP-STOP*:  $\langle \text{STOP } \llbracket S \rrbracket \text{STOP} = \text{STOP} \rangle$

by (*fact Mprefix-Sync-distr-subset[of*  $\langle \{\} \rangle$   $S \langle \{\} \rangle$ , *simplified,*  
*simplified Mprefix-STOP*])

**lemma** *MultiSync-Sync*:

$\langle (\llbracket S \rrbracket z \in\# M. P z) \llbracket S \rrbracket (\llbracket S \rrbracket z \in\# M. P' z) = \llbracket S \rrbracket z \in\# M. P z \llbracket S \rrbracket P' z \rangle$

**apply** (*cases*  $\langle M = \{\#\} \rangle$ , *simp add: Sync-STOP-STOP*)

**apply** (*induct*  $M$  *rule: mset-induct-nonempty*)

by *simp-all (metis (no-types, lifting) Sync-assoc Sync-commute)*

**lemmas** *MultiInter-Inter* = *MultiSync-Sync*[**where**  $S = \langle \{\} \rangle$ ]

**and** *MultiPar-Par* = *MultiSync-Sync*[**where**  $S = \langle \text{UNIV} \rangle$ ]

## 6.9 Commutativity

**lemma** *MultiSync-sets-commute*:

```

⟨(⟦S⟧ a ∈# M. ⟦S⟧ b ∈# N. P a b) = ⟦S⟧ b ∈# N. ⟦S⟧ a ∈# M. P a b⟩
apply (cases ⟨N = {#}⟩, induct M, simp-all,
        metis MultiSync-add MultiSync-rec1 Sync-STOP-STOP)
apply (induct N rule: mset-induct-nonempty, fastforce)
by simp (metis MultiSync-Sync)

```

**lemmas** *MultiInter-sets-commute* = *MultiSync-sets-commute*[**where**  $S = \langle\{\}\rangle$ ]  
**and** *MultiPar-sets-commute* = *MultiSync-sets-commute*[**where**  $S = \langle UNIV \rangle$ ]

## 6.10 Behaviour with Injectivity

**lemma** *inj-on-mapping-over-MultiSync*:

```

⟨inj-on f (set-mset M) ⟹
  (⟦S⟧ x ∈# M. P x) = ⟦S⟧ x ∈# image-mset f M. P (inv-into (set-mset M) f x)⟩
proof (induct M rule: induct-subset-mset-empty-single)
case (∃ N a)
hence f1: ⟨inv-into (insert a (set-mset N)) f (f a) = a⟩ by force
show ?case
apply (simp add: ∃.hyps(2) ∃.hyps(3) f1,
        rule arg-cong[where f = ⟨λx. P a ⟦S⟧ x⟩])
apply (subst ∃.hyps(4), rule inj-on-subset[OF ∃.prems],
        simp add: subset-insertI)
apply (rule mono-MultiSync-eq)
using ∃.prems by fastforce
qed auto

```

**lemmas** *inj-on-mapping-over-MultiInter* =  
*inj-on-mapping-over-MultiSync*[**where**  $S = \langle\{\}\rangle$ ]  
**and** *inj-on-mapping-over-MultiPar* =  
*inj-on-mapping-over-MultiSync*[**where**  $S = \langle UNIV \rangle$ ]

**end**





# Chapter 7

## The MultiSeq Operator

```
theory MultiSeq
  imports Patch
begin
```

### 7.1 Definition

```
definition MultiSeq :: ⟨'a list, 'a ⇒ 'b process⟩ ⇒ 'b process
  where ⟨MultiSeq S P = foldr (λa r. (P a) ; r ) S SKIP⟩
```

```
syntax -MultiSeq :: ⟨[pttrn,'a list, 'b process] ⇒ 'b process⟩
      (⟨(3SEQ -∈@-./ -)⟩ 73)
```

```
translations SEQ i ∈@ A. P ⇒ CONST MultiSeq A (λi. P)
```

### 7.2 First Properties

```
lemma MultiSeq-rec0[simp]: ⟨(SEQ p ∈@ []. P p) = SKIP⟩
  by (simp add: MultiSeq-def)
```

```
lemma MultiSeq-rec1[simp]: ⟨(SEQ p ∈@ [a]. P p) = P a⟩
  by (simp add: MultiSeq-def Seq-SKIP)
```

```
lemma MultiSeq-Cons[simp]: ⟨(SEQ i ∈@ a # L. P i) = P a ; (SEQ i ∈@ L. P i)⟩
  by (simp add: MultiSeq-def)
```

### 7.3 Some Tests

```
lemma ⟨(SEQ p ∈@ []. P p) = SKIP⟩
  and ⟨(SEQ p ∈@ [a]. P p) = P a⟩
  and ⟨(SEQ p ∈@ [a,b]. P p) = P a ; P b⟩
```

**and**  $\langle (SEQ\ p \in@ [a,b,c].\ P\ p) = P\ a ; P\ b ; P\ c \rangle$   
**by** (*simp-all add: Seq-SKIP Seq-assoc*)

**lemma** *test-MultiSeq*:  $\langle (SEQ\ p \in@ [1::int .. 3].\ P\ p) = P\ 1 ; P\ 2 ; P\ 3 \rangle$   
**by** (*simp add: upto.simps Seq-SKIP Seq-assoc*)

## 7.4 Continuity

**lemma** *MultiSeq-cont[*simp*]*:  
 $\langle \forall x \in set\ L.\ cont\ (P\ x) \implies cont\ (\lambda y.\ SEQ\ z \in@ L.\ P\ z\ y) \rangle$   
**by** (*induct L force+*)

## 7.5 Factorization of (;) in front of MultiSeq

**lemma** *MultiSeq-factorization-append*:  
 $\langle (SEQ\ p \in@ A.\ P\ p) ; (SEQ\ p \in@ B.\ P\ p) = (SEQ\ p \in@ A\ @\ B.\ P\ p) \rangle$   
**by** (*induct A rule: list.induct, simp-all add: SKIP-Seq, metis Seq-assoc*)

## 7.6 $\perp$ Absorbance

**lemma** *MultiSeq-BOT-absorb*:  
 $\langle P\ a = \perp \implies (SEQ\ z \in@ l1\ @\ [a]\ @\ l2.\ P\ z) = (SEQ\ z \in@ l1.\ P\ z) ; \perp \rangle$   
**by** (*metis BOT-Seq MultiSeq-Cons MultiSeq-factorization-append*)

## 7.7 First Properties

**lemma** *MultiSeq-SKIP-neutral*:  
 $\langle P\ a = SKIP \implies (SEQ\ z \in@ l1\ @\ [a]\ @\ l2.\ P\ z) = SEQ\ z \in@ l1\ @\ l2.\ P\ z \rangle$   
**by** (*simp add: MultiSeq-def SKIP-Seq*)

**lemma** *MultiSeq-STOP-absorb*:  
 $\langle P\ a = STOP \implies (SEQ\ z \in@ l1\ @\ [a]\ @\ l2.\ P\ z) = (SEQ\ z \in@ l1.\ P\ z) ; STOP \rangle$   
**by** (*metis STOP-Seq MultiSeq-Cons MultiSeq-factorization-append*)

**lemma** *mono-MultiSeq-eq*:  
 $\langle \forall x \in set\ L.\ P\ x = Q\ x \implies MultiSeq\ L\ P = MultiSeq\ L\ Q \rangle$   
**by** (*induct L fastforce+*)

**lemma** *MultiSeq-is-SKIP-iff*:  
 $\langle MultiSeq\ L\ P = SKIP \iff (\forall a \in set\ L.\ P\ a = SKIP) \rangle$   
**by** (*induct L, simp-all add: Seq-is-SKIP-iff*)

## 7.8 Commutativity

Of course, since the sequential composition  $P ; Q$  is not commutative, the result here is negative: the order of the elements of list  $L$  does matter in  $SEQ z \in @L. P z$ .

## 7.9 Behaviour with Injectivity

**lemma** *inj-on-mapping-over-MultiSeq*:  
 $\langle inj\text{-on } f \text{ (set } C) \implies$   
 $(SEQ x \in @ C. P x) = SEQ x \in @ \text{ map } f C. P (\text{inv-into (set } C) f x)\rangle$   
**proof** (*induct C*)  
 case *Nil*  
 show ?case **by** *simp*  
**next**  
 case (*Cons a C*)  
 hence  $f1: \langle \text{inv-into (insert a (set } C)) f (f a) = a \rangle$  **by** *force*  
 show ?case  
 apply (*simp add: f1, rule arg-cong[where f =  $\langle \lambda x. P a ; x \rangle$ ]*)  
 apply (*subst Cons.hyps(1), rule inj-on-subset[OF Cons.prem],*  
*simp add: subset-insertI*)  
 apply (*rule mono-MultiSeq-eq*)  
 using *Cons.prem* **by** *fastforce*  
**qed**

## 7.10 Definition of *first-elem*

**primrec** *first-elem* ::  $\langle [\alpha \Rightarrow \text{bool}, 'a \text{ list}] \Rightarrow \text{nat} \rangle$   
 where  $\langle \text{first-elem } P [] = 0 \rangle$   
 |  $\langle \text{first-elem } P (x \# L) = (\text{if } P x \text{ then } 0 \text{ else } \text{Suc (first-elem } P L)) \rangle$

*first-elem* returns the first index  $i$  such that  $P (L ! i) = \text{True}$  if it exists, *length L* otherwise.

This will be very useful later.

**value**  $\langle \text{first-elem } (\lambda x. 4 < x) [0::\text{nat}, 2, 5] \rangle$   
**lemma**  $\langle \text{first-elem } (\lambda x. 5 < x) [0::\text{nat}, 2, 5] = 3 \rangle$  **by** *simp*  
**lemma**  $\langle P \text{ ' set } L \subseteq \{\text{False}\} \implies \text{first-elem } P L = \text{length } L \rangle$  **by** (*induct L; simp*)

**end**



## Chapter 8

# The Global Non-Deterministic Choice

```
theory GlobalNdet
  imports MultiNdet
begin
```

### 8.1 General Non-Deterministic Choice Definition

This is an experimental definition of a generalized non-deterministic choice  $a \sqcap b$  for an arbitrary set. The present version is "totalised" for the case of  $A = \{\}$  by *STOP*, which is not the neutral element of the  $(\sqcap)$  operator (because there is no neutral element for  $(\sqcap)$ ).

```
lemma  $\langle \# P. \forall Q. (P :: \text{'}\alpha \text{ process}) \sqcap Q = Q \rangle$ 
```

```
proof -
```

```
  { fix P ::  $\langle \text{'}\alpha \text{ process} \rangle$ 
```

```
    assume * :  $\langle \forall Q. P \sqcap Q = Q \rangle$ 
```

```
    hence  $\langle P = \text{STOP} \rangle$ 
```

```
      by (erule-tac x = STOP in allE) (simp add: Ndet-is-STOP-iff)
```

```
    with * have False
```

```
      by (erule-tac x = SKIP in allE)
```

```
        (metis mono-Ndet-FD-right Ndet-commute
```

```
          SKIP-FD-iff SKIP-Neq-STOP idem-FD)
```

```
  }
```

```
  thus ?thesis by blast
```

```
qed
```

```
lift-definition GlobalNdet ::  $\langle [\text{'}\alpha \text{ set}, \text{'}\alpha \Rightarrow \text{'}\beta \text{ process}] \Rightarrow \text{'}\beta \text{ process} \rangle$ 
```

```
is  $\langle \lambda A P. \text{ if } A = \{\}$ 
```

```
  then  $\{(s, X). s = []\}, \{\}$ 
```

```
  else  $(\bigcup_{a \in A}. \mathcal{F} (P a), \bigcup_{a \in A}. \mathcal{D} (P a)) \rangle$ 
```

```

proof –
  show ⟨?thesis  $A P$ ⟩
  (is ⟨is-process ( if  $A = \{\}$  then ( $\{(s, X). s = []\}, \{\}$ ) else (?f, ?d))⟩ for  $A P$ )
  proof (split if-split, intro conjI impI)
  show ⟨is-process ( $\{(s, X). s = []\}, \{\}$ )⟩
  by (simp add: is-process-REP-STOP)
  next
  show ⟨is-process ( $\bigcup_{a \in A} \mathcal{F}(P a), \bigcup_{a \in A} \mathcal{D}(P a)$ )⟩ if nonempty:  $\langle A \neq \{\} \rangle$ 
  unfolding is-process-def FAILURES-def DIVERGENCES-def fst-conv snd-conv
  proof (intro conjI allI impI)
  show  $\langle [], \{\} \rangle \in ?f$  using is-processT1 nonempty by blast
  next
  show  $\langle (s, X) \in ?f \implies \text{front-tickFree } s \rangle$  for  $s X$ 
  using is-processT2 by blast
  next
  show  $\langle (s @ t, \{\}) \in ?f \implies (s, \{\}) \in ?f \rangle$  for  $s t$ 
  using is-processT3 by blast
  next
  show  $\langle (s, Y) \in ?f \wedge X \subseteq Y \implies (s, X) \in ?f \rangle$  for  $s X Y$ 
  using is-processT4 by blast
  next
  show  $\langle (s, X) \in ?f \wedge (\forall c. c \in Y \longrightarrow (s @ [c], \{\}) \notin ?f) \implies (s, X \cup Y) \in ?f \rangle$  for  $s X Y$ 
  using is-processT5 by simp blast
  next
  show  $\langle (s @ [tick], \{\}) \in ?f \implies (s, X - \{tick\}) \in ?f \rangle$  for  $s X$ 
  using is-processT6 by blast
  next
  show  $\langle s \in ?d \wedge \text{tickFree } s \wedge \text{front-tickFree } t \implies s @ t \in ?d \rangle$  for  $s t$ 
  using is-processT7 by blast
  next
  show  $\langle s \in ?d \implies (s, X) \in ?f \rangle$  for  $s X$ 
  using is-processT8 by blast
  next
  show  $\langle s @ [tick] \in ?d \implies s \in ?d \rangle$  for  $s$ 
  using is-processT9 by blast
  qed
  qed
  qed

```

**syntax**  $-GlobalNdet :: \langle [pttrn, 'a \text{ set}, 'b \text{ process}] \Rightarrow 'b \text{ process} \rangle (\langle (\exists \square - \in -. / -) \rangle 76)$   
**translations**  $\square p \in A. P \equiv CONST \text{GlobalNdet } A (\lambda p. P)$

Note that the global non-deterministic choice  $\square p \in A. P p$  is different from the multi-non-deterministic prefix  $\square p \in A \rightarrow P p$  which guarantees continuity even when  $A$  is *infinite* due to the fact that it communicates its choice

via an internal prefix operator.

It is also subtly different from the multi-non-deterministic choice  $\sqcap p \in A. P p$  which is only defined when  $A$  is *finite*.

**lemma** *empty-GlobalNdet[simp]* :  $\langle \text{GlobalNdet } \{\} P = \text{STOP} \rangle$   
**by** (*simp add: GlobalNdet.abs-eq STOP-def*)

## 8.2 The Projections

**lemma** *F-GlobalNdet*:

$\langle \mathcal{F} (\sqcap x \in A. P x) = (\text{if } A = \{\} \text{ then } \{(s, X). s = []\} \text{ else } (\bigcup x \in A. \mathcal{F} (P x))) \rangle$   
**by** (*simp add: Failures-def FAILURES-def GlobalNdet.rep-eq*)

**lemma** *D-GlobalNdet*:

$\langle \mathcal{D} (\sqcap x \in A. P x) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } (\bigcup x \in A. \mathcal{D} (P x))) \rangle$   
**by** (*simp add: Divergences-def DIVERGENCES-def GlobalNdet.rep-eq*)

**lemma** *T-GlobalNdet*:

$\langle \mathcal{T} (\sqcap x \in A. P x) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } (\bigcup x \in A. \mathcal{T} (P x))) \rangle$   
**by** (*auto simp add: Traces-def TRACES-def Failures-def[symmetric]  
F-GlobalNdet intro: F-T T-F*)

**lemma** *mono-GlobalNdet-eq*:

$\langle \forall x \in A. P x = Q x \implies \text{GlobalNdet } A P = \text{GlobalNdet } A Q \rangle$   
**by** (*subst Process-eq-spec, simp add: F-GlobalNdet D-GlobalNdet*)

**lemma** *mono-GlobalNdet-eq2*:

$\langle \forall x \in A. P (f x) = Q x \implies \text{GlobalNdet } (f \text{ ` } A) P = \text{GlobalNdet } A Q \rangle$   
**by** (*subst Process-eq-spec, simp add: F-GlobalNdet D-GlobalNdet*)

## 8.3 Factorization of $(\sqcap)$ in front of *GlobalNdet*

**lemma** *GlobalNdet-factorization-union*:

$\langle [A \neq \{\}; B \neq \{\}] \implies$   
 $(\sqcap p \in A. P p) \sqcap (\sqcap p \in B. P p) = (\sqcap p \in A \cup B. P p) \rangle$   
**by** (*subst Process-eq-spec*) (*simp add: F-GlobalNdet D-GlobalNdet F-Ndet D-Ndet*)

## 8.4 $\perp$ Absorbance

**lemma** *GlobalNdet-BOT-absorb*:  $\langle P a = \perp \implies a \in A \implies (\sqcap x \in A. P x) = \perp \rangle$   
**using** *is-processT2*

**by** (*subst Process-eq-spec*)  
*(auto simp add: F-GlobalNdet D-GlobalNdet F-UU D-UU D-imp-front-tickFree)*

**lemma** *GlobalNdet-is-BOT-iff*:  $\langle (\sqcap x \in A. P x) = \perp \longleftrightarrow (\exists a \in A. P a = \perp) \rangle$

**by** (*simp add: BOT-iff-D D-GlobalNdet*)

## 8.5 First Properties

**lemma** *GlobalNdet-id*:  $\langle A \neq \{\} \implies (\sqcap p \in A. P) = P \rangle$   
**by** (*subst Process-eq-spec*) (*simp add: F-GlobalNdet D-GlobalNdet*)

**lemma** *GlobalNdet-STOP-id*:  $\langle (\sqcap p \in A. STOP) = STOP \rangle$   
**by** (*cases*  $\langle A = \{\} \rangle$ ) (*simp-all add: GlobalNdet-id*)

**lemma** *GlobalNdet-unit[simp]*:  $\langle (\sqcap x \in \{a\}. P x) = P a \rangle$   
**by** (*auto simp : Process-eq-spec F-GlobalNdet D-GlobalNdet*)

**lemma** *GlobalNdet-distrib-unit*:  
 $\langle A - \{a\} \neq \{\} \implies (\sqcap x \in \text{insert } a \ A. P x) = P a \sqcap (\sqcap x \in A - \{a\}. P x) \rangle$   
**by** (*metis GlobalNdet-factorization-union GlobalNdet-unit*  
*empty-not-insert insert-Diff-single insert-is-Un*)

## 8.6 Behaviour of *GlobalNdet* with $(\sqcap)$

**lemma** *GlobalNdet-Ndet*:  
 $\langle (\sqcap a \in A. P a) \sqcap (\sqcap a \in A. Q a) = \sqcap a \in A. P a \sqcap Q a \rangle$   
**by** (*auto simp add: Process-eq-spec F-GlobalNdet D-GlobalNdet F-Ndet D-Ndet*)

## 8.7 Commutativity

**lemma** *GlobalNdet-sets-commute*:  
 $\langle (\sqcap a \in A. \sqcap b \in B. P a b) = \sqcap b \in B. \sqcap a \in A. P a b \rangle$   
**by** (*auto simp add: Process-eq-spec F-GlobalNdet D-GlobalNdet*  
*F-Ndet D-Ndet F-STOP D-STOP*)

## 8.8 Behaviour with Injectivity

**lemma** *inj-on-mapping-over-GlobalNdet*:  
 $\langle \text{inj-on } f \ A \implies (\sqcap x \in A. P x) = \sqcap x \in f \ ' \ A. P (\text{inv-into } A \ f \ x) \rangle$   
**by** (*simp add: Process-eq-spec F-GlobalNdet D-GlobalNdet*  
*F-Ndet D-Ndet F-STOP D-STOP*)

## 8.9 Cartesian Product Results

**lemma** *GlobalNdet-cartprod- $\sigma s$ -set- $\sigma s$ -set*:  
 $\langle (\sqcap (s, t) \in A \times B. P (s @ t)) = \sqcap u \in \{s @ t \mid s \ t. (s, t) \in A \times B\}. P u \rangle$   
**apply** (*subst Process-eq-spec, simp add: F-GlobalNdet D-GlobalNdet*)  
**by** *safe auto*



**lemma** *GlobalNdet-cartprod-s-set-σs-set*:

$\langle (\prod (s, t) \in A \times B. P (s \# t)) = \prod u \in \{s \# t \mid s t. (s, t) \in A \times B\}. P u \rangle$

**apply** (*subst Process-eq-spec, simp add: F-GlobalNdet D-GlobalNdet*)

**by** *safe auto*

**lemma** *GlobalNdet-cartprod-s-set-s-set*:

$\langle (\prod (s, t) \in A \times B. P [s, t]) = \prod u \in \{[s, t] \mid s t. (s, t) \in A \times B\}. P u \rangle$

**apply** (*subst Process-eq-spec, simp add: F-GlobalNdet D-GlobalNdet*)

**by** *safe auto*

**lemma** *GlobalNdet-cartprod*:  $\langle (\prod (s, t) \in A \times B. P s t) = \prod s \in A. \prod t \in B. P s t \rangle$

**apply** (*subst Process-eq-spec, simp add: F-GlobalNdet D-GlobalNdet*)

**by** *safe auto*

## 8.10 Link with *MultiNdet*

This operator is in fact an extension of *MultiNdet* to arbitrary sets: when  $A$  is *finite*, we have  $\prod a \in A. P a = \prod_{a \in A} P a$ .

**lemma** *finite-GlobalNdet-is-MultiNdet*:

$\langle \text{finite } A \implies (\prod p \in A. P p) = \prod_{p \in A} P p \rangle$

**by** (*simp add: Process-eq-spec F-GlobalNdet F-MultiNdet D-GlobalNdet D-MultiNdet*)

We obtain immediately the continuity when  $A$  is *finite* (and this is a necessary hypothesis for continuity).

**lemma** *GlobalNdet-cont[simp]*:

$\langle \llbracket \text{finite } A; \forall x. \text{cont } (f x) \rrbracket \implies \text{cont } (\lambda y. (\prod z \in A. (f z y))) \rangle$

**by** (*simp add: finite-GlobalNdet-is-MultiNdet*)

## 8.11 Link with *Mndetprefix*

This is a trick to make proof of *Mndetprefix* using *GlobalNdet* as it has an easier denotational definition.

**lemma** *Mndetprefix-GlobalNdet*:  $\langle \prod x \in A \rightarrow P x = \prod x \in A. (x \rightarrow P x) \rangle$

**apply** (*cases  $\langle A = \{\} \rangle$ , simp*)

**by** (*subst Process-eq-spec-optimized*)

(*simp-all add: F-Mndetprefix D-Mndetprefix F-GlobalNdet D-GlobalNdet*)

**lemma** *write0-GlobalNdet*:

$\langle A \neq \{\} \implies (\prod x \in A. (a \rightarrow P x)) = (a \rightarrow (\prod x \in A. P x)) \rangle$

**by** (*auto simp add: Process-eq-spec write0-def*)

*F-GlobalNdet D-GlobalNdet F-Mprefix D-Mprefix*)

## 8.12 Properties

**lemma** *GlobalNdet-Det*:

$\langle A \neq \{\} \implies (\prod a \in A. P a) \sqcap Q = \prod a \in A. P a \sqcap Q \rangle$   
 by (*auto simp add: Process-eq-spec F-GlobalNdet D-GlobalNdet F-Det D-Det Un-def T-GlobalNdet*)

**lemma** *Mndetprefix-STOP*:  $\langle A \subseteq C \implies (\prod a \in A \rightarrow P a) \llbracket C \rrbracket STOP = STOP \rangle$

**proof** (*subst STOP-iff-T, intro subset-antisym subsetI*)

**show**  $\langle s \in \{\} \implies s \in \mathcal{T} (Mndetprefix A P \llbracket C \rrbracket STOP) \rangle$  **for**  $s$   
 by (*simp add: Nil-elem-T*)

**next**

**show**  $\langle A \subseteq C \implies s \in \mathcal{T} (Mndetprefix A P \llbracket C \rrbracket STOP) \implies s \in \{\} \rangle$  **for**  $s$

by (*auto simp add: STOP-iff-T T-Sync T-Mndetprefix D-Mndetprefix T-STOP D-STOP write0-def T-Mprefix D-Mprefix subset-iff split: if-split-asm*)

(*metis Sync.sym emptyLeftNonSync hd-in-set imageI insert-iff*)+

**qed**

**lemma** *GlobalNdet-Sync-distr*:

$\langle A \neq \{\} \implies (\prod x \in A. P x) \llbracket C \rrbracket Q = \prod x \in A. (P x \llbracket C \rrbracket Q) \rangle$

**apply** (*auto simp: Process-eq-spec T-GlobalNdet*

*F-GlobalNdet D-GlobalNdet D-Sync F-Sync*) — takes some seconds

**using** *front-tickFree-Nil* **by** *blast+*

**lemma** *Mndetprefix-Mprefix-Sync-distr*:

$\langle [A \subseteq B; B \subseteq C] \implies (\prod a \in A \rightarrow P a) \llbracket C \rrbracket (\prod b \in B \rightarrow Q b) = \prod a \in A \rightarrow (P a \llbracket C \rrbracket Q a) \rangle$

— does not hold in general when  $A \subseteq C$

**apply** (*cases*  $\langle A = \{\} \rangle$ , *simp*,

*metis (no-types, lifting) Mprefix-STOP Mprefix-Sync-distr-subset empty-subsetI inf-bot-left*)

**apply** (*cases*  $\langle B = \{\} \rangle$ , *simp add: Mprefix-STOP Mndetprefix-STOP*)

**apply** (*subst Mndetprefix-GlobalNdet, subst GlobalNdet-Sync-distr, assumption*)

**apply** (*subst Mndetprefix-GlobalNdet, subst Mprefix-singl[symmetric]*)

**apply** (*unfold write0-def, rule mono-GlobalNdet-eq[rule-format]*)

**apply** (*subst Mprefix-Sync-distr-subset[of - C B P Q], blast, blast*)

**by** (*metis (no-types, lifting) in-mono inf-le1 insert-disjoint(1)*

*Mprefix-singl subset-singletonD*)

**corollary** *Mndetprefix-Mprefix-Par-distr*:

$\langle A \subseteq B \implies ((\prod a \in A \rightarrow P a) \parallel (\prod b \in B \rightarrow Q b)) = \prod a \in A \rightarrow P a \parallel Q a \rangle$

**by** (*simp add: Mndetprefix-Mprefix-Sync-distr*)

**lemma** *Mndetprefix-Sync-Det-distr*:

$\langle (\prod a \in A \rightarrow (P a \llbracket C \rrbracket (\prod b \in B \rightarrow Q b))) \sqcap \rangle$

$(\sqcap b \in B \rightarrow ((\sqcap a \in A \rightarrow P a) \llbracket C \rrbracket Q b))$   
 $\sqsubseteq_{FD} (\sqcap a \in A \rightarrow P a) \llbracket C \rrbracket (\sqcap b \in B \rightarrow Q b)$   
**if** *set-hyps* :  $\langle A \neq \{\} \rangle \langle B \neq \{\} \rangle \langle A \cap C = \{\} \rangle \langle B \cap C = \{\} \rangle$   
 — both surprising: equality does not hold + deterministic choice  
**proof** –  
**have** *mono-GlobalNdet-FD*:  
 $\langle \bigwedge P Q A. \forall x \in A. P x \sqsubseteq_{FD} Q x \implies GlobalNdet A P \sqsubseteq_{FD} GlobalNdet A Q \rangle$   
**by** (*auto simp: failure-divergence-refine-def le-ref-def F-GlobalNdet D-GlobalNdet*)  
  
**have** \* :  $\langle a \in A \implies b \in B \implies$   
 $(\sqcap b \in B. (a \rightarrow (P a \llbracket C \rrbracket (b \rightarrow Q b)))) \sqcap$   
 $(\sqcap a \in A. (b \rightarrow ((a \rightarrow P a) \llbracket C \rrbracket Q b))) \sqsubseteq_{FD}$   
 $(a \rightarrow P a) \llbracket C \rrbracket (b \rightarrow Q b) \rangle$  **for**  $a b$   
**apply** (*subst Mprefix-Sync-distr-indep[of  $\langle \{a\} \rangle C \langle \{b\} \rangle$ , unfolded Mprefix-singl]*)  
**using** *that(3)*  
**apply** (*simp add: disjoint-iff; fail*)  
**using** *that(4)*  
**apply** (*simp add: disjoint-iff; fail*)  
**apply** (*rule mono-Det-FD*)  
**unfolding** *failure-divergence-refine-def le-ref-def*  
**by** (*auto simp add: D-GlobalNdet F-GlobalNdet*)  
  
**have**  $\langle (\sqcap a \in A. \sqcap b \in B. (a \rightarrow (P a \llbracket C \rrbracket (b \rightarrow Q b)))) \sqcap$   
 $(\sqcap b \in B. \sqcap a \in A. (b \rightarrow ((a \rightarrow P a) \llbracket C \rrbracket Q b))) \sqsubseteq_{FD}$   
 $\sqcap b \in B. \sqcap a \in A. ((a \rightarrow P a) \llbracket C \rrbracket (b \rightarrow Q b)) \rangle$   
**apply** (*subst Det-commute, subst GlobalNdet-Det, simp add: set-hyps(2)*)  
**apply** (*subst Det-commute, subst GlobalNdet-Det, simp add: set-hyps(1)*)  
**apply** (*intro ballI impI mono-GlobalNdet-FD*)  
**using** \* **by** *blast*  
  
**thus** *?thesis*  
**apply** (*simp add: Mndetprefix-GlobalNdet GlobalNdet-Sync-distr*)  
**apply** (*subst (1 2 3) Sync-commute, simp add: GlobalNdet-Sync-distr set-hyps(2)*)  
**apply** (*subst (1 2 3) Sync-commute, simp add: GlobalNdet-Sync-distr set-hyps(1)*)  
**by** (*simp add: set-hyps(1, 2) write0-GlobalNdet*)  
**qed**

**lemma** *GlobalNdet-Mprefix-distr*:  
 $\langle A \neq \{\} \implies (\sqcap a \in A. \sqcap b \in B \rightarrow P a b) = \sqcap b \in B \rightarrow (\sqcap a \in A. P a b) \rangle$   
**by** (*auto simp add: Process-eq-spec F-GlobalNdet D-GlobalNdet F-Mprefix D-Mprefix*)

**lemma** *GlobalNdet-Det-distrib*:  
 $\langle (\sqcap a \in A. P a \sqcap Q a) = (\sqcap a \in A. P a) \sqcap (\sqcap a \in A. Q a) \rangle$

```

if  $\langle \exists Q' b. \forall a. Q a = (b \rightarrow Q' a) \rangle$ 
proof –
from that obtain  $b Q'$  where  $\langle \forall a. (Q a = (b \rightarrow Q' a)) \rangle$  by blast
thus ?thesis
apply (cases  $\langle A = \{\} \rangle$ , simp add: Det-STOP)
apply (simp add: Process-eq-spec F-Det D-Det write0-def
         F-GlobalNdet D-GlobalNdet T-GlobalNdet, safe)
by (auto simp add: F-Mprefix D-Mprefix T-Mprefix)
qed

```

**end**

# Chapter 9

## CSPM

**theory** *CSPM*

**imports** *MultiDet MultiNdet MultiSync MultiSeq GlobalNdet HOL-CSP.Assertions*  
**begin**

From the binary laws of HOL-CSP, we immediately obtain refinement results and lemmas about the combination of multi-operators.

### 9.1 Refinements Results

**lemma** *mono-MultiDet-F*:

$\langle \text{finite } A \implies \forall x \in A. P \sqsubseteq_F Q \implies \text{MultiDet } A \ P \sqsubseteq_F \text{MultiDet } A \ Q \rangle$   
**apply** (*induct A rule: induct-subset-empty-single; simp*)  
**oops**

**lemma** *mono-MultiDet-D[simp, elim]*:

$\langle \text{finite } A \implies \forall x \in A. P \sqsubseteq_D Q \implies \text{MultiDet } A \ P \sqsubseteq_D \text{MultiDet } A \ Q \rangle$   
**and** *mono-MultiDet-T[simp, elim]*:  
 $\langle \text{finite } A \implies \forall x \in A. P \sqsubseteq_T Q \implies \text{MultiDet } A \ P \sqsubseteq_T \text{MultiDet } A \ Q \rangle$   
**and** *mono-MultiDet-DT[simp, elim]*:  
 $\langle \text{finite } A \implies \forall x \in A. P \sqsubseteq_{DT} Q \implies \text{MultiDet } A \ P \sqsubseteq_{DT} \text{MultiDet } A \ Q \rangle$   
**and** *mono-MultiDet-FD[simp, elim]*:  
 $\langle \text{finite } A \implies \forall x \in A. P \sqsubseteq_{FD} Q \implies \text{MultiDet } A \ P \sqsubseteq_{FD} \text{MultiDet } A \ Q \rangle$   
**by** (*induct A rule: induct-subset-empty-single; simp del: MultiDet-insert*)**+**

**lemma** *mono-MultiNdet-F[simp, elim]*:

$\langle \text{finite } A \implies \forall x \in A. P \sqsubseteq_F Q \implies \text{MultiNdet } A \ P \sqsubseteq_F \text{MultiNdet } A \ Q \rangle$   
**and** *mono-MultiNdet-D[simp, elim]*:  
 $\langle \text{finite } A \implies \forall x \in A. P \sqsubseteq_D Q \implies \text{MultiNdet } A \ P \sqsubseteq_D \text{MultiNdet } A \ Q \rangle$   
**and** *mono-MultiNdet-T[simp, elim]*:  
 $\langle \text{finite } A \implies \forall x \in A. P \sqsubseteq_T Q \implies \text{MultiNdet } A \ P \sqsubseteq_T \text{MultiNdet } A \ Q \rangle$   
**and** *mono-MultiNdet-DT[simp, elim]*:

$\langle \text{finite } A \implies \forall x \in A. P x \sqsubseteq_{DT} Q x \implies \text{MultiNdet } A P \sqsubseteq_{DT} \text{MultiNdet } A Q \rangle$   
**and** *mono-MultiNdet-FD[simp, elim]*:  
 $\langle \text{finite } A \implies \forall x \in A. P x \sqsubseteq_{FD} Q x \implies \text{MultiNdet } A P \sqsubseteq_{FD} \text{MultiNdet } A Q \rangle$   
**by** (*induct A rule: induct-subset-empty-single; simp*)<sup>+</sup>

**lemma** *mono-MultiNdet-F-single*:  
 $\langle A \neq \{\} \implies \text{finite } A \implies \forall a \in A. P \sqsubseteq_F Q a \implies P \sqsubseteq_F \text{MultiNdet } A Q \rangle$   
**and** *mono-MultiNdet-D-single*:  
 $\langle A \neq \{\} \implies \text{finite } A \implies \forall a \in A. P \sqsubseteq_D Q a \implies P \sqsubseteq_D \text{MultiNdet } A Q \rangle$   
**and** *mono-MultiNdet-T-single*:  
 $\langle A \neq \{\} \implies \text{finite } A \implies \forall a \in A. P \sqsubseteq_T Q a \implies P \sqsubseteq_T \text{MultiNdet } A Q \rangle$   
**and** *mono-MultiNdet-DT-single*:  
 $\langle A \neq \{\} \implies \text{finite } A \implies \forall a \in A. P \sqsubseteq_{DT} Q a \implies P \sqsubseteq_{DT} \text{MultiNdet } A Q \rangle$   
**and** *mono-MultiNdet-FD-single*:  
 $\langle A \neq \{\} \implies \text{finite } A \implies \forall a \in A. P \sqsubseteq_{FD} Q a \implies P \sqsubseteq_{FD} \text{MultiNdet } A Q \rangle$   
**by** (*subst MultiNdet-id[where A = A, symmetric], simp-all*)<sup>+</sup>

**lemma**  
**assumes**  $\langle A \neq \{\} \rangle$  **and**  $\langle \text{finite } B \rangle$  **and**  $\langle A \subseteq B \rangle$   
**shows** *mono-MultiNdet-F-left-absorb-subset*:  
 $\langle \forall x \in A. P x \sqsubseteq_F Q x \implies \text{MultiNdet } B P \sqsubseteq_F \text{MultiNdet } A Q \rangle$   
**and** *mono-MultiNdet-D-left-absorb-subset*:  
 $\langle \forall x \in A. P x \sqsubseteq_D Q x \implies \text{MultiNdet } B P \sqsubseteq_D \text{MultiNdet } A Q \rangle$   
**and** *mono-MultiNdet-T-left-absorb-subset*:  
 $\langle \forall x \in A. P x \sqsubseteq_T Q x \implies \text{MultiNdet } B P \sqsubseteq_T \text{MultiNdet } A Q \rangle$   
**and** *mono-MultiNdet-FD-left-absorb-subset*:  
 $\langle \forall x \in A. P x \sqsubseteq_{FD} Q x \implies \text{MultiNdet } B P \sqsubseteq_{FD} \text{MultiNdet } A Q \rangle$   
**and** *mono-MultiNdet-DT-left-absorb-subset*:  
 $\langle \forall x \in A. P x \sqsubseteq_{DT} Q x \implies \text{MultiNdet } B P \sqsubseteq_{DT} \text{MultiNdet } A Q \rangle$   
**supply** *finiteA = finite-subset[OF  $\langle A \subseteq B \rangle$   $\langle \text{finite } B \rangle$ ]*  
**and** *B-eq = Un-absorb1[OF  $\langle A \subseteq B \rangle$ , symmetric,*  
*simplified Un-Diff-cancel[of A B, symmetric]]*  
**and** *results = Diff-cancel MultiNdet-factorization-union Un-Diff-cancel assms(1,*  
*2)*  
**apply** (*metis mono-MultiNdet-F mono-Ndet-F-left results finiteA B-eq*)  
**apply** (*metis mono-MultiNdet-D mono-Ndet-D-left results finiteA B-eq*)  
**apply** (*metis mono-MultiNdet-T mono-Ndet-T-left results finiteA B-eq*)  
**apply** (*metis mono-MultiNdet-FD mono-Ndet-FD-left results finiteA B-eq*)  
**by** (*metis mono-MultiNdet-DT mono-Ndet-DT-left results finiteA B-eq*)

**corollary** *mono-MultiNdet-F-left-absorb[simp]*:  
 $\langle \text{finite } A \implies x \in A \implies P x \sqsubseteq_F Q \implies \text{MultiNdet } A P \sqsubseteq_F Q \rangle$   
**and** *mono-MultiNdet-D-left-absorb[simp]*:  
 $\langle \text{finite } A \implies x \in A \implies P x \sqsubseteq_D Q \implies \text{MultiNdet } A P \sqsubseteq_D Q \rangle$

**and** *mono-MultiNdet-T-left-absorb[simp]*:  
 $\langle \text{finite } A \implies x \in A \implies P x \sqsubseteq_T Q \implies \text{MultiNdet } A P \sqsubseteq_T Q \rangle$   
**and** *mono-MultiNdet-FD-left-absorb[simp]*:  
 $\langle \text{finite } A \implies x \in A \implies P x \sqsubseteq_{FD} Q \implies \text{MultiNdet } A P \sqsubseteq_{FD} Q \rangle$   
**and** *mono-MultiNdet-DT-left-absorb[simp]*:  
 $\langle \text{finite } A \implies x \in A \implies P x \sqsubseteq_{DT} Q \implies \text{MultiNdet } A P \sqsubseteq_{DT} Q \rangle$   
**apply** (rule *trans-F* [*OF mono-MultiNdet-F-left-absorb-subset*  
[**where**  $A = \langle \{x\} \rangle$ , *simplified*]]; *simp*)  
**apply** (rule *trans-D* [*OF mono-MultiNdet-D-left-absorb-subset*  
[**where**  $A = \langle \{x\} \rangle$ , *simplified*]]; *simp*)  
**apply** (rule *trans-T* [*OF mono-MultiNdet-T-left-absorb-subset*  
[**where**  $A = \langle \{x\} \rangle$ , *simplified*]]; *simp*)  
**apply** (rule *trans-FD* [*OF mono-MultiNdet-FD-left-absorb-subset*  
[**where**  $A = \langle \{x\} \rangle$ , *simplified*]]; *simp*)  
**by** (rule *trans-DT* [*OF mono-MultiNdet-DT-left-absorb-subset*  
[**where**  $A = \langle \{x\} \rangle$ , *simplified*]]; *simp*)

**lemma** *mono-MultiNdet-MultiDet-F[simp, elim]*:  
 $\langle \text{finite } A \implies \text{MultiNdet } A P \sqsubseteq_F \text{MultiDet } A P \rangle$   
**and** *mono-MultiNdet-MultiDet-D[simp, elim]*:  
 $\langle \text{finite } A \implies \text{MultiNdet } A P \sqsubseteq_D \text{MultiDet } A P \rangle$   
**and** *mono-MultiNdet-MultiDet-T[simp, elim]*:  
 $\langle \text{finite } A \implies \text{MultiNdet } A P \sqsubseteq_T \text{MultiDet } A P \rangle$   
**and** *mono-MultiNdet-MultiDet-FD[simp, elim]*:  
 $\langle \text{finite } A \implies \text{MultiNdet } A P \sqsubseteq_{FD} \text{MultiDet } A P \rangle$   
**and** *mono-MultiNdet-MultiDet-DT[simp, elim]*:  
 $\langle \text{finite } A \implies \text{MultiNdet } A P \sqsubseteq_{DT} \text{MultiDet } A P \rangle$   
**by** (*induct A rule: induct-subset-empty-single*;  
*simp del: MultiDet-insert*;  
*meson idem-F mono-Ndet-F mono-Ndet-Det-F trans-F*  
*idem-D mono-Ndet-D mono-Ndet-Det-D trans-D*  
*idem-T mono-Ndet-T mono-Ndet-Det-T trans-T*  
*idem-FD mono-Ndet-FD mono-Ndet-Det-FD trans-FD*  
*idem-DT mono-Ndet-DT mono-Ndet-Det-DT trans-DT*)+)

**lemma** *mono-MultiSync-F*:  $\langle \forall x \in \# M. P x \sqsubseteq_F Q x \implies \text{MultiSync } S M P \sqsubseteq_F \text{MultiSync } S M Q \rangle$   
**apply** (*induct M rule: induct-subset-mset-empty-single*; *simp*)  
**oops**

**lemma** *mono-MultiSync-D*:  $\langle \forall x \in \# M. P x \sqsubseteq_D Q x \implies \text{MultiSync } S M P \sqsubseteq_D \text{MultiSync } S M Q \rangle$   
**apply** (*induct M rule: induct-subset-mset-empty-single*; *simp*)  
**oops**

**lemma** *mono-MultiSync-T*:  $\langle \forall x \in \# M. P x \sqsubseteq_T Q x \implies \text{MultiSync } S M P \sqsubseteq_T \text{MultiSync } S M Q \rangle$   
**apply** (*induct M rule: induct-subset-mset-empty-single; simp*)  
**oops**

**lemma** *mono-MultiSync-DT*[*simp, elim*]:  
 $\langle \forall x \in \# M. P x \sqsubseteq_{DT} Q x \implies \text{MultiSync } S M P \sqsubseteq_{DT} \text{MultiSync } S M Q \rangle$   
**and** *mono-MultiSync-FD*[*simp, elim*]:  
 $\langle \forall x \in \# M. P x \sqsubseteq_{FD} Q x \implies \text{MultiSync } S M P \sqsubseteq_{FD} \text{MultiSync } S M Q \rangle$   
**by** (*induct M rule: induct-subset-mset-empty-single; simp*)**+**

**find-theorems** *name: mset name: ind*

**lemmas** *mono-MultiInter-DT*[*simp, elim*] = *mono-MultiSync-DT*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *mono-MultiInter-FD*[*simp, elim*] = *mono-MultiSync-FD*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *mono-MultiPar-DT*[*simp, elim*] = *mono-MultiSync-DT*[**where**  $S = \langle UNIV \rangle$ ]  
**and** *mono-MultiPar-FD*[*simp, elim*] = *mono-MultiSync-FD*[**where**  $S = \langle UNIV \rangle$ ]

**lemma** *mono-MultiSeq-F*:  
 $\langle \forall x \in \text{set } L. P x \sqsubseteq_F Q x \implies \text{MultiSeq } L P \sqsubseteq_F \text{MultiSeq } L Q \rangle$   
**apply** (*induct L, fastforce*) **apply** *simp* **oops**

**lemma** *mono-MultiSeq-D*:  
 $\langle \forall x \in \text{set } L. P x \sqsubseteq_D Q x \implies \text{MultiSeq } L P \sqsubseteq_D \text{MultiSeq } L Q \rangle$   
**apply** (*induct L, fastforce*) **apply** *simp* **oops**

**lemma** *mono-MultiSeq-T*:  
 $\langle \forall x \in \text{set } L. P x \sqsubseteq_T Q x \implies \text{MultiSeq } L P \sqsubseteq_T \text{MultiSeq } L Q \rangle$   
**apply** (*induct L, fastforce*) **apply** *simp* **oops**

**lemma** *mono-MultiSeq-DT*[*simp, elim*]:  
 $\langle \forall x \in \text{set } L. P x \sqsubseteq_{DT} Q x \implies \text{MultiSeq } L P \sqsubseteq_{DT} \text{MultiSeq } L Q \rangle$   
**and** *mono-MultiSeq-FD*[*simp, elim*]:  
 $\langle \forall x \in \text{set } L. P x \sqsubseteq_{FD} Q x \implies \text{MultiSeq } L P \sqsubseteq_{FD} \text{MultiSeq } L Q \rangle$   
**by** (*induct L*) *fastforce***+**

**lemma** *mono-GlobalNdet*[*simp*] :  $\langle \text{GlobalNdet } A P \sqsubseteq \text{GlobalNdet } A Q \rangle$   
**if**  $\langle \forall x \in A. P x \sqsubseteq Q x \rangle$   
**proof** (*cases*  $\langle A = \{\} \rangle$ )  
**show**  $\langle A = \{\} \implies \text{GlobalNdet } A P \sqsubseteq \text{GlobalNdet } A Q \rangle$  **by** *simp*  
**next**  
**assume**  $\langle A \neq \{\} \rangle$   
**show**  $\langle \text{GlobalNdet } A P \sqsubseteq \text{GlobalNdet } A Q \rangle$   
**unfolding** *le-approx-def*



**proof** (*intro conjI impI allI subsetI*)  
**show**  $\langle s \in \mathcal{D} (\text{GlobalNdet } A \ Q) \implies s \in \mathcal{D} (\text{GlobalNdet } A \ P) \rangle$  **for**  $s$   
**using** *that[rule-format, THEN le-approx1]* **by** (*auto simp add: D-GlobalNdet*  
 $\langle A \neq \{\} \rangle$ )  
**next**  
**show**  $\langle s \notin \mathcal{D} (\text{GlobalNdet } A \ P) \implies \mathcal{R}_a (\text{GlobalNdet } A \ P) \ s = \mathcal{R}_a (\text{GlobalNdet}$   
 $A \ Q) \ s \rangle$  **for**  $s$   
**using** *that[rule-format, THEN le-approx2]*  
**by** (*auto simp add: D-GlobalNdet Ra-def F-GlobalNdet*  $\langle A \neq \{\} \rangle$ )  
**next**  
**show**  $\langle s \in \text{min-elems} (\mathcal{D} (\text{GlobalNdet } A \ P)) \implies s \in \mathcal{T} (\text{GlobalNdet } A \ Q) \rangle$  **for**  
 $s$   
**using** *that[rule-format, THEN le-approx3]*  
**by** (*simp add: D-GlobalNdet T-GlobalNdet*  $\langle A \neq \{\} \rangle$  *min-elems-def*) **blast**  
**qed**  
**qed**

**lemma** *mono-GlobalNdet-F[simp, elim]*:  
 $\langle \forall x \in A. P \ x \sqsubseteq_F Q \ x \implies \text{GlobalNdet } A \ P \sqsubseteq_F \text{GlobalNdet } A \ Q \rangle$   
**and** *mono-GlobalNdet-D[simp, elim]*:  
 $\langle \forall x \in A. P \ x \sqsubseteq_D Q \ x \implies \text{GlobalNdet } A \ P \sqsubseteq_D \text{GlobalNdet } A \ Q \rangle$   
**and** *mono-GlobalNdet-T[simp, elim]*:  
 $\langle \forall x \in A. P \ x \sqsubseteq_T Q \ x \implies \text{GlobalNdet } A \ P \sqsubseteq_T \text{GlobalNdet } A \ Q \rangle$   
**and** *mono-GlobalNdet-DT[simp, elim]*:  
 $\langle \forall x \in A. P \ x \sqsubseteq_{DT} Q \ x \implies \text{GlobalNdet } A \ P \sqsubseteq_{DT} \text{GlobalNdet } A \ Q \rangle$   
**and** *mono-GlobalNdet-FD[simp, elim]*:  
 $\langle \forall x \in A. P \ x \sqsubseteq_{FD} Q \ x \implies \text{GlobalNdet } A \ P \sqsubseteq_{FD} \text{GlobalNdet } A \ Q \rangle$   
**unfolding** *failure-refine-def divergence-refine-def trace-refine-def*  
*trace-divergence-refine-def failure-divergence-refine-def le-ref-def*  
**by** (*auto simp add: F-GlobalNdet D-GlobalNdet T-GlobalNdet*)

**lemma** *GlobalNdet-refine-FD-subset*:  
 $\langle A \neq \{\} \implies A \subseteq B \implies \text{GlobalNdet } B \ P \sqsubseteq_{FD} \text{GlobalNdet } A \ P \rangle$   
**by** (*metis mono-Ndet-FD-left GlobalNdet-factorization-union*  
*bot.extremum-uniqueI idem-FD le-iff-sup*)

**lemma** *GlobalNdet-refine-F-subset*:  
 $\langle A \neq \{\} \implies A \subseteq B \implies \text{GlobalNdet } B \ P \sqsubseteq_F \text{GlobalNdet } A \ P \rangle$   
**by** (*simp add: GlobalNdet-refine-FD-subset leFD-imp-leF*)

**lemma** *GlobalNdet-refine-FD*:  $\langle a \in A \implies \text{GlobalNdet } A \ P \sqsubseteq_{FD} P \ a \rangle$   
**using** *GlobalNdet-refine-FD-subset[of*  $\langle \{a\} \rangle$   $A]$  **by** *simp*

**lemma** *GlobalNdet-refine-F*:  $\langle a \in A \implies \text{GlobalNdet } A \ P \sqsubseteq_F P \ a \rangle$   
**by** (*simp add: GlobalNdet-refine-FD leFD-imp-leF*)

**lemma** *mono-GlobalNdet-FD-const*:  
 $\langle A \neq \{\} \implies \forall x \in A. P \sqsubseteq_{FD} Q \ x \implies P \sqsubseteq_{FD} \text{GlobalNdet } A \ Q \rangle$

by (*metis GlobalNdet-id mono-GlobalNdet-FD*)

**lemma** *mono-GlobalNdet-F-const*:

$\langle A \neq \{\} \implies \forall x \in A. P \sqsubseteq_F Q \ x \implies P \sqsubseteq_F \text{GlobalNdet } A \ Q \rangle$

by (*metis GlobalNdet-id mono-GlobalNdet-F*)

## 9.2 Combination of Multi-Operators Laws

**lemma** *finite-Mprefix-is-MultiDet*:

$\langle \text{finite } A \implies (\Box p \in A \rightarrow P \ p) = \Box p \in A. (p \rightarrow P \ p) \rangle$

by (*induct rule: finite-induct, simp-all add: Mprefix-STOP*)

(*metis Mprefix-Un-distrib Mprefix-singl insert-is-Un*)

**lemma** *finite-Mndetprefix-is-MultiNdet*:

$\langle \text{finite } A \implies \text{Mndetprefix } A \ P = \prod p \in A. (p \rightarrow P \ p) \rangle$

by (*cases*  $\langle A = \{\} \rangle$ , *simp, rotate-tac, induct rule: finite-set-induct-nonempty*)

(*simp-all add: Mndetprefix-unit Mndetprefix-distrib-unit*)

**lemma**  $\langle Q \Box (\prod p \in \{\}. P \ p) = \prod p \in \{\}. (Q \Box P \ p) \implies Q = \text{STOP} \rangle$

by (*simp add: Det-STOP*)

**lemma** *Det-MultiNdet-distrib*:

$\langle A \neq \{\} \implies \text{finite } A \implies M \Box (\prod p \in A. P \ p) = \prod p \in A. M \Box P \ p \rangle$

by (*erule finite-set-induct-nonempty, simp-all add: Det-distrib*)

**lemma**  $\langle M \prod (\Box p \in \{\}. P \ p) = \Box p \in \{\}. (M \prod P \ p) \implies M \prod \text{STOP} = \text{STOP} \rangle$

by *simp*

**lemma** *Ndet-MultiDet-distrib*:

$\langle A \neq \{\} \implies \text{finite } A \implies M \prod (\Box p \in A. P \ p) = \Box p \in A. M \prod P \ p \rangle$

by (*erule finite-set-induct-nonempty, simp-all add: Ndet-distrib*)

**lemma** *MultiNdet-Sync-left-distrib*:

$\langle B \neq \{\} \implies \text{finite } B \implies (\prod a \in B. P \ a) \llbracket S \rrbracket M = \prod a \in B. (P \ a \llbracket S \rrbracket M) \rangle$

by (*induct rule: finite-set-induct-nonempty*)

(*simp-all add: Sync-Ndet-left-distrib*)

**lemma** *MultiNdet-Sync-right-distrib*:

$\langle B \neq \{\} \implies \text{finite } B \implies M \llbracket S \rrbracket \text{MultiNdet } B \ P = \prod a \in B. (M \llbracket S \rrbracket P \ a) \rangle$

by (*subst Sync-commute, subst MultiNdet-Sync-left-distrib*)

(*simp-all add: Sync-commute*)

**lemma** *Sync-MultiNdet-cartprod*:

$\langle A \neq \{\} \implies \text{finite } A \implies B \neq \{\} \implies \text{finite } B \implies$   
 $(\prod (s, t) \in A \times B. (x s \llbracket S \rrbracket y t)) = (\prod s \in A. x s) \llbracket S \rrbracket (\prod t \in B. y t) \rangle$   
**apply** (*subst MultiNdet-cartprod, assumption+*)  
**apply** (*subst MultiNdet-Sync-left-distrib, assumption+*)  
**apply** (*subst MultiNdet-Sync-right-distrib, assumption+*)  
**by** *presburger*

**lemmas** *Inter-MultiNdet-cartprod* = *Sync-MultiNdet-cartprod*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *Par-MultiNdet-cartprod* = *Sync-MultiNdet-cartprod*[**where**  $S = UNIV$ ]

**lemmas** *MultiNdet-Inter-left-distrib* =  
*MultiNdet-Sync-left-distrib*[**where**  $S = \langle \{\} \rangle$ ]  
**and** *MultiNdet-Par-left-distrib* =  
*MultiNdet-Sync-left-distrib*[**where**  $S = \langle UNIV \rangle$ ]

**lemma** *Seq-MultiNdet-distribR*:

$\langle \text{finite } A \implies (\prod p \in A. P p) ; S = (\prod p \in A. (P p ; S)) \rangle$   
**by** (*induct A rule: finite-induct, simp add: STOP-Seq*)  
*(metis MultiNdet-insert' MultiNdet-rec1 Seq-Ndet-distrR)*

**lemma** *Seq-MultiNdet-distribL*:

$\langle A \neq \{\} \implies \text{finite } A \implies S ; (\prod p \in A. P p) = (\prod p \in A. (S ; P p)) \rangle$   
**by** (*induct A rule: finite-set-induct-nonempty, simp-all add: Seq-Ndet-distrL*)

**lemma** *prefix-MultiNdet-distrib*:

$\langle A \neq \{\} \implies \text{finite } A \implies (a \rightarrow (\prod p \in A. P p)) = \prod p \in A. (a \rightarrow P p) \rangle$   
**by** (*induct A rule: finite-set-induct-nonempty, simp-all add: write0-Ndet*)

**lemma** *Mndetprefix-MultiNdet-distrib*:

$\langle (\prod q \in B \rightarrow (\prod p \in A. P p q)) = \prod p \in A. \prod q \in B \rightarrow P p q \rangle$   
**if** *finB*:  $\langle \text{finite } B \rangle$  **and** *nonemptyA*:  $\langle A \neq \{\} \rangle$  **and** *finA*:  $\langle \text{finite } A \rangle$   
**proof** (*cases*  $\langle B = \{\} \rangle$ )  
**case** *True* **thus** *?thesis* **by** (*simp add: MultiNdet-STOP-id finA*)  
**next**  
**case** *False* **thus** *?thesis*  
**proof** (*insert finB, induct B rule: finite-set-induct-nonempty*)  
**case** (*singleton a*)  
**thus** *?case*  
**by** (*simp add: Mndetprefix-unit finA prefix-MultiNdet-distrib nonemptyA*)  
**next**

```

case (insertion  $x F$ )
show ?case
  apply (subst Mndetprefix-Un-distrib[of  $\langle \{x\} \rangle$ , simplified, OF  $\langle F \neq \{\} \rangle$ ])
  apply (subst Mndetprefix-unit,
    subst prefix-MultiNdet-distrib[OF nonemptyA finA])
  apply (subst insertion.hyps(5))
  apply (subst MultiNdet-Ndet[OF finA])
  by (insert  $\langle F \neq \{\} \rangle \langle x \notin F \rangle$ , subst Mndetprefix-distrib-unit) force+
qed
qed

```

**lemma** *MultiDet-Mprefix*:

$$\langle \text{finite } A \implies (\Box a \in A. \Box x \in S a \rightarrow P a x) = \Box x \in (\bigcup a \in A. S a) \rightarrow \prod a \in \{a \in A. x \in S a\}. P a x \rangle$$

**proof** (induct A rule: induct-subset-empty-single)

**case** 1

**show** ?case **by** (simp add: Mprefix-STOP)

**next**

**case** 2

**show** ?case

**by** (simp, intro ballI mono-Mprefix-eq)  
(simp add: Collect-conv-if)

**next**

**case** (3 F a)

**show** ?case

**apply** (simp del: MultiDet-insert add:  $\langle \text{finite } F \rangle$ )

**apply** (subst 3.hyps)

**apply** (subst Mprefix-Det-distr)

**apply** (intro mono-Mprefix-eq ballI)

**using**  $\langle \text{finite } F \rangle$  **by** (auto simp add: Process-eq-spec F-MultiNdet F-Ndet D-MultiNdet D-Ndet)

**qed**

**lemma** *MultiDet-prefix-is-MultiNdet-prefix*:

$$\langle \text{finite } A \implies (\Box p \in A. (a \rightarrow P p)) = \prod p \in A. (a \rightarrow P p) \rangle$$

**by** (induct A rule: induct-subset-empty-single, simp, simp)

(metis MultiDet-insert' MultiNdet-insert' prefix-MultiNdet-distrib write0-Det-Ndet)

**lemma** *prefix-MultiNdet-is-MultiDet-prefix*:

$$\langle A \neq \{\} \implies \text{finite } A \implies (a \rightarrow (\prod p \in A. P p)) = \Box p \in A. (a \rightarrow P p) \rangle$$

**by** (simp add: MultiDet-prefix-is-MultiNdet-prefix prefix-MultiNdet-distrib)

**lemma** *Mprefix-MultiNdet-distrib'*:

$$\langle \text{finite } B \implies A \neq \{\} \implies \text{finite } A \implies$$

$(\Box q \in B \rightarrow \Box p \in A. P p q) = \Box p \in A. \Box q \in B \rightarrow P p q$   
**proof** (*induct B rule: finite-induct*)  
**case empty**  
**thus** ?*case* **by** (*simp add: Mprefix-STOP MultiDet-STOP-id*)  
**next**  
**case** (*insert x F*)  
**show** ?*case*  
**apply** (*subst (1 2) Mprefix-Un-distrib[of ⟨{x}⟩ F, simplified]*)  
**apply** (*subst Mprefix-singl, subst prefix-MultiNdet-distrib[OF insert.prem]*)  
**apply** (*subst MultiDet-prefix-is-MultiNdet-prefix[symmetric, OF ⟨finite A⟩]*)  
**apply** (*subst insert.hyps(3)[OF insert.prem]*)  
**apply** (*subst MultiDet-Det[OF ⟨finite A⟩],*  
*rule mono-MultiDet-eq[OF ⟨finite A⟩]*)  
**by** (*subst Mprefix-singl*) *fast*  
**qed**

**lemma** *MultiSync-Hiding-pseudo-distrib*:  
 $\langle \text{finite } B \implies B \cap S = \{\} \implies$   
 $(\llbracket S \rrbracket p \in \# M. ((P p) \setminus B)) = (\llbracket S \rrbracket p \in \# M. P p) \setminus B$   
**by** (*induct M, simp add: Hiding-set-STOP*)  
*(metis MultiSync-add MultiSync-rec1 Hiding-Sync)*

**lemma** *MultiSync-prefix-pseudo-distrib*:  
 $\langle M \neq \{\#\} \implies a \in S \implies$   
 $((\llbracket S \rrbracket p \in \# M. (a \rightarrow P p)) = (a \rightarrow (\llbracket S \rrbracket p \in \# M. P p)))$   
**by** (*induct M rule: mset-induct-nonempty*) (*simp-all add: prefix-Sync2*)

**lemmas** *MultiInter-Hiding-pseudo-distrib* =  
*MultiSync-Hiding-pseudo-distrib[where S = ⟨{⟩, simplified]*  
**and** *MultiPar-prefix-pseudo-distrib* =  
*MultiSync-prefix-pseudo-distrib[where S = ⟨UNIV⟩, simplified]*

**lemma** *Hiding-MultiNdet-distrib*:  
 $\langle \text{finite } A \implies (\Box p \in A. P p) \setminus B = (\Box p \in A. (P p \setminus B))$   
**by** (*cases ⟨A = {⟩, simp add: Hiding-set-STOP, rotate-tac*)  
*(induct A rule: finite-set-induct-nonempty, simp-all add: Hiding-Ndet)*

**lemma** *Mndetprefix-Hiding-is-MultiNdet-prefix-Hiding*:  
 $\langle \text{finite } A \implies \Box p \in A - B \rightarrow ((P p) \setminus B) = \Box p \in A - B. (p \rightarrow ((P p) \setminus B))$   
**proof** (*induct A rule: finite-induct*)  
**case empty**  
**thus** ?*case* **by** *fastforce*  
**next**  
**show**  $\langle \text{finite } F \implies x \notin F \implies$

$\sqcap p \in F - B \rightarrow (P p \setminus B) = \sqcap p \in F - B. (p \rightarrow (P p \setminus B)) \implies$   
 $\sqcap p \in \text{insert } x F - B \rightarrow (P p \setminus B) =$   
 $\sqcap p \in \text{insert } x F - B. (p \rightarrow (P p \setminus B)) \rangle \text{ for } x F$   
**apply** (cases  $\langle x \in B \rangle$ , simp)  
**apply** (cases  $\langle F - B = \{\} \rangle$ ,  
metis (no-types, lifting) Mndetprefix-unit MultiNdet-rec1 insert-Diff-if)  
**by** (simp add: Mndetprefix-distrib-unit insert-Diff-if)  
**qed**

**lemma** *Hiding-Mndetprefix-is-MultiNdet-Hiding*:  
 $\langle \text{finite } A \implies A \subseteq B \implies (\sqcap a \in A \rightarrow P) \setminus B = \sqcap a \in A. (P \setminus B) \rangle$   
**by** (cases  $\langle A = \{\} \rangle$ , simp add: Hiding-set-STOP, rotate-tac 2)  
(induct A rule: finite-set-induct-nonempty,  
simp-all add: Mndetprefix-unit Hiding-Ndet Hiding-write0  
Mndetprefix-distrib-unit)

**lemma** *MultiSync-Mprefix-pseudo-distrib*:  
 $\langle (\llbracket S \rrbracket B \in \# M. \sqcap x \in B \rightarrow P B x) =$   
 $\sqcap x \in (\bigcap B \in \text{set-mset } M. B) \rightarrow (\llbracket S \rrbracket B \in \# M. P B x) \rangle$   
**if** nonempty:  $\langle M \neq \{\# \} \rangle$  **and** hyp:  $\langle \forall B \in \# M. B \subseteq S \rangle$   
**proof** –  
**from** nonempty **obtain**  $b M'$  **where**  $\langle b \in \# M - M' \rangle$   
 $\langle M = \text{add-mset } b M' \rangle \langle M' \subseteq \# M \rangle$   
**by** (metis add-diff-cancel-left' diff-subset-eq-self insert-DiffM  
insert-DiffM2 multi-member-last multiset-nonemptyE)  
**show** ?thesis  
**apply** (subst (1 2 3)  $\langle M = \text{add-mset } b M' \rangle$ )  
**using**  $\langle b \in \# M - M' \rangle \langle M' \subseteq \# M \rangle$   
**proof** (induct rule: msubset-induct-singleton')  
**case** m-singleton **show** ?case **by** fastforce  
**next**  
**case** (add x F) **show** ?case  
**apply** (simp, subst Mprefix-Sync-distr-subset[symmetric])  
**apply** (meson add.hyps(1) hyp in-diffD,  
metis  $\langle b \in \# M - M' \rangle$  hyp in-diffD le-infI1)  
**using** add.hyps(3) **by** fastforce  
**qed**  
**qed**

**lemmas** *MultiPar-Mprefix-pseudo-distrib* =  
*MultiSync-Mprefix-pseudo-distrib*[**where**  $S = \langle UNIV \rangle$ , simplified]

A result on Mndetprefix and Sync.

**lemma** *Mndetprefix-Sync-distr*:  $\langle A \neq \{\} \implies B \neq \{\} \implies$

$$\begin{aligned}
& (\sqcap a \in A \rightarrow P a) \llbracket S \rrbracket (\sqcap b \in B \rightarrow Q b) = \\
& \sqcap a \in A. \sqcap b \in B. (\sqcap c \in \{a\} - S \rightarrow (P a \llbracket S \rrbracket (b \rightarrow Q b))) \square \\
& \quad (\sqcap d \in \{b\} - S \rightarrow ((a \rightarrow P a) \llbracket S \rrbracket Q b)) \square \\
& \quad (\sqcap c \in \{a\} \cap \{b\} \cap S \rightarrow (P a \llbracket S \rrbracket Q b)) \square
\end{aligned}$$

**apply** (*subst* (1 2) *Mndetprefix-GlobalNdet*)  
**apply** (*subst GlobalNdet-Sync-distr, assumption*)  
**apply** (*subst Sync-commute*)  
**apply** (*subst GlobalNdet-Sync-distr, assumption*)  
**apply** (*subst Sync-commute*)  
**apply** (*unfold write0-def*)  
**apply** (*subst Mprefix-Sync-distr-bis*)  
**by** (*fold write0-def*) *blast*

A result on *MultiSeq* with *non-terminating*.

**lemma** *non-terminating-MultiSeq*:

$\langle SEQ a \in @ L. P a \rangle =$   
 $SEQ a \in @ take (Suc (first-elim (\lambda a. non-terminating (P a)) L)) L. P a \rangle$   
**by** (*induct L; simp add: non-terminating-Seq*)

### 9.3 Results on *Renaming*

**lemma** *Renaming-GlobalNdet*:

$\langle Renaming (\sqcap a \in A. P (f a)) f = \sqcap b \in f ' A. Renaming (P b) f \rangle$   
**by** (*subst Process-eq-spec*)  
*(auto simp add: F-Renaming D-Renaming F-GlobalNdet D-GlobalNdet)*

**lemma** *Renaming-GlobalNdet-inj-on*:

$\langle Renaming (\sqcap a \in A. P a) f =$   
 $\sqcap b \in f ' A. Renaming (P (THE a. a \in A \wedge f a = b)) f \rangle$   
**if** *inj-on-f*:  $\langle inj-on f A \rangle$   
**apply** (*subst Renaming-GlobalNdet[symmetric]*)  
**apply** (*intro arg-cong[where f =  $\langle \lambda Q. Renaming Q f \rangle$  mono-GlobalNdet-eq]*)  
**by** (*metis inj-on-f the-inv-into-def the-inv-into-f-f*)

**corollary** *Renaming-GlobalNdet-inj*:

$\langle Renaming (\sqcap a \in A. P a) f =$   
 $\sqcap b \in f ' A. Renaming (P (THE a. f a = b)) f \rangle$  **if** *inj-f*:  $\langle inj f \rangle$   
**apply** (*subst Renaming-GlobalNdet-inj-on, metis inj-eq inj-onI inj-f*)  
**apply** (*rule mono-GlobalNdet-eq[rule-format]*)  
**by** (*metis imageE inj-eq[OF inj-f]*)

**lemma** *Renaming-MultiNdet*:  $\langle finite A \implies Renaming (\sqcap a \in A. P (f a)) f =$

$\sqcap b \in f ' A. Renaming (P b) f \rangle$   
**by** (*subst* (1 2) *finite-GlobalNdet-is-MultiNdet[symmetric]*)  
*(simp-all add: Renaming-GlobalNdet)*

**lemma** *Renaming-MultiNdet-inj-on*:  
 $\langle \text{finite } A \implies \text{inj-on } f \ A \implies$   
 $\text{Renaming } (\prod a \in A. P \ a) \ f =$   
 $\prod b \in f \ ' \ A. \text{Renaming } (P \ (\text{THE } a. a \in A \wedge f \ a = b)) \ f \rangle$   
**by** (*subst (1 2) finite-GlobalNdet-is-MultiNdet[symmetric]*)  
*(simp-all add: Renaming-GlobalNdet-inj-on)*

**corollary** *Renaming-MultiNdet-inj*:  
 $\langle \text{finite } A \implies \text{inj } f \implies$   
 $\text{Renaming } (\prod a \in A. P \ a) \ f = \prod b \in f \ ' \ A. \text{Renaming } (P \ (\text{THE } a. f \ a = b)) \ f \rangle$   
**by** (*subst (1 2) finite-GlobalNdet-is-MultiNdet[symmetric]*)  
*(simp-all add: Renaming-GlobalNdet-inj)*

**lemma** *Renaming-MultiDet*:  
 $\langle \text{finite } A \implies \text{Renaming } (\sqcap a \in A. P \ (f \ a)) \ f =$   
 $\sqcap b \in f \ ' \ A. \text{Renaming } (P \ b) \ f \rangle$   
**apply** (*induct A rule: finite-induct*)  
**by** (*simp-all add: Renaming-STOP Renaming-Det del: MultiDet-insert*)

**lemma** *Renaming-MultiDet-inj-on*:  
 $\langle \text{Renaming } (\sqcap a \in A. P \ a) \ f =$   
 $\sqcap b \in f \ ' \ A. \text{Renaming } (P \ (\text{THE } a. a \in A \wedge f \ a = b)) \ f \rangle$   
**if** *finite-A*:  $\langle \text{finite } A \rangle$  **and** *inj-on-f*:  $\langle \text{inj-on } f \ A \rangle$   
**apply** (*subst Renaming-MultiDet[OF finite-A, symmetric]*)  
**apply** (*intro arg-cong[where f =  $\langle \lambda Q. \text{Renaming } Q \ f \rangle$*   
*mono-MultiDet-eq finite-A]*)  
**by** (*metis inj-on-f the-inv-into-def the-inv-into-f-f*)

**corollary** *Renaming-MultiDet-inj*:  
 $\langle \text{Renaming } (\sqcap a \in A. P \ a) \ f = \sqcap b \in f \ ' \ A. \text{Renaming } (P \ (\text{THE } a. f \ a = b)) \ f \rangle$   
**if** *finite-A*:  $\langle \text{finite } A \rangle$  **and** *inj-f*:  $\langle \text{inj } f \rangle$   
**apply** (*subst Renaming-MultiDet-inj-on[OF finite-A], metis inj-eq inj-onI inj-f*)  
**apply** (*rule mono-MultiDet-eq[rule-format], fact finite-imageI[OF finite-A]*)  
**by** (*metis imageE inj-eq[OF inj-f]*)

**lemma** *Renaming-MultiSeq*:  
 $\langle \text{Renaming } (\text{SEQ } l \in @ \ L. P \ (f \ l)) \ f = \text{SEQ } m \in @ \ \text{map } f \ L. \text{Renaming } (P \ m) \ f \rangle$   
**by** (*induct L, simp-all add: Renaming-SKIP Renaming-Seq*)

**lemma** *Renaming-MultiSeq-inj-on*:  
 $\langle \text{Renaming } (\text{SEQ } l \in @ \ L. P \ l) \ f =$   
 $\text{SEQ } m \in @ \ \text{map } f \ L. \text{Renaming } (P \ (\text{THE } l. l \in \text{set } L \wedge f \ l = m)) \ f \rangle$   
**if** *inj-on-f*:  $\langle \text{inj-on } f \ (\text{set } L) \rangle$   
**apply** (*subst Renaming-MultiSeq[symmetric]*)



**apply** (*intro arg-cong*[**where**  $f = \langle \lambda Q. \text{Renaming } Q \ f \rangle$ ] *mono-MultiSeq-eq*)  
**by** (*metis that the-inv-into-def the-inv-into-f-f*)

**corollary** *Renaming-MultiSeq-inj*:

$\langle \text{Renaming } (\text{SEQ } l \in @ L. P \ l) \ f =$   
 $\text{SEQ } m \in @ \text{map } f \ L. \text{Renaming } (P \ (\text{THE } l. \ f \ l = m)) \ f \rangle$  **if** *inj-f*:  $\langle \text{inj } f \rangle$   
**apply** (*subst Renaming-MultiSeq-inj-on, metis inj-eq inj-onI inj-f*)  
**apply** (*rule mono-MultiSeq-eq*[*rule-format*])  
**by** (*metis (mono-tags, opaque-lifting) inj-image-mem-iff list.set-map inj-f*)

**end**



## Chapter 10

# Example: Dining Philosophers

```
theory DiningPhilosophers
  imports CSPM
begin
```

### 10.1 Classic Version

We formalize here the Dining Philosophers problem with a locale.

```
locale DiningPhilosophers =
```

```
  fixes  $N::nat$ 
```

```
  assumes  $N-g1[simp] : \langle N > 1 \rangle$ 
```

— We assume that we have at least one right handed philosophers (so at least two philosophers with the left handed one).

```
begin
```

We use a datatype for representing the dinner's events.

```
datatype dining-event = picks (phil:nat) (fork:nat)
  | putsdown (phil:nat) (fork:nat)
```

We introduce the right handed philosophers, the left handed philosopher and the forks.

```
definition RPHIL::  $\langle nat \Rightarrow dining-event process \rangle$ 
```

```
  where  $\langle RPHIL\ i \equiv \mu X. (picks\ i\ i \rightarrow (picks\ i\ ((i-1)\ mod\ N) \rightarrow$   
     $(putsdown\ i\ ((i-1)\ mod\ N) \rightarrow (putsdown\ i\ i \rightarrow X)))) \rangle$ 
```

```
definition LPHILO::  $\langle dining-event process \rangle$ 
```

```
  where  $\langle LPHILO \equiv \mu X. (picks\ 0\ (N-1) \rightarrow (picks\ 0\ 0 \rightarrow$   
     $(putsdown\ 0\ 0 \rightarrow (putsdown\ 0\ (N-1) \rightarrow X)))) \rangle$ 
```

**definition** *FORK* ::  $\langle \text{nat} \Rightarrow \text{dining-event process} \rangle$   
**where**  $\langle \text{FORK } i \equiv \mu X. (\text{picks } i \ i \rightarrow (\text{putsdown } i \ i \rightarrow X)) \square$   
 $(\text{picks } ((i+1) \ \text{mod } N) \ i \rightarrow (\text{putsdown } ((i+1) \ \text{mod } N) \ i \rightarrow X)) \rangle$

Now we use the architectural operators for modelling the interleaving of the philosophers, and the interleaving of the forks.

**definition**  $\langle \text{PHILS} \equiv ||| P \in\# \text{ add-mset } \text{LPHILO} \ (\text{mset } (\text{map } \text{RPHIL} \ [1..< N])) . P \rangle$

**definition**  $\langle \text{FORKS} \equiv ||| P \in\# \text{ mset } (\text{map } \text{FORK} \ [0..< N]) . P \rangle$

**corollary**  $\langle N = 3 \implies \text{PHILS} = (\text{LPHILO} \ ||| \ \text{RPHIL } 1 \ ||| \ \text{RPHIL } 2) \rangle$

— just a test

**unfolding** *PHILS-def* **by** (*simp add: eval-nat-numeral upt-rec Sync-assoc*)

Finally, the dinner is obtained by putting forks and philosophers in parallel.

**definition** *DINING* ::  $\langle \text{dining-event process} \rangle$

**where**  $\langle \text{DINING} = (\text{FORKS} \ || \ \text{PHILS}) \rangle$

**end**

## 10.2 Formalization with fixrec Package

The **fixrec** package of HOLCF provides a more readable syntax (essentially, it allows us to "get rid of  $\mu$ " in equations like  $\mu x. P x$ ).

First, we need to see *nat* as *cpo*.

**instantiation** *nat* :: *discrete-cpo*

**begin**

**definition** *below-nat-def*:

$(x::\text{nat}) \sqsubseteq y \iff x = y$

**instance** **proof**

**qed** (*rule below-nat-def*)

**end**

**locale** *DiningPhilosophers-fixrec* =

**fixes** *N::nat*

**assumes** *N-g1[simp]* :  $\langle N > 1 \rangle$

— We assume that we have at least one right handed philosophers (so at least two philosophers with the left handed one).

**begin**

We use a datatype for representing the dinner's events.

**datatype** *dining-event* = *picks* (*phil:nat*) (*fork:nat*)  
 | *putsdown* (*phil:nat*) (*fork:nat*)

We introduce the right handed philosophers, the left handed philosopher and the forks.

**fixrec** *RPHIL* ::  $\langle \text{nat} \rightarrow \text{dining-event process} \rangle$   
**and** *LPHILO* ::  $\langle \text{dining-event process} \rangle$   
**and** *FORK* ::  $\langle \text{nat} \rightarrow \text{dining-event process} \rangle$

**where**

*RPHIL-rec* [*simp del*] :  
 $\langle \text{RPHIL} \cdot i = (\text{picks } i \ i \rightarrow (\text{picks } i \ (i-1) \rightarrow$   
 $(\text{putsdown } i \ (i-1) \rightarrow (\text{putsdown } i \ i \rightarrow \text{RPHIL} \cdot i))) \rangle$   
 | *LPHILO-rec* [*simp del*] :  
 $\langle \text{LPHILO} = (\text{picks } 0 \ (N-1) \rightarrow (\text{picks } 0 \ 0 \rightarrow$   
 $(\text{putsdown } 0 \ 0 \rightarrow (\text{putsdown } 0 \ (N-1) \rightarrow \text{LPHILO}))) \rangle$   
 | *FORK-rec* [*simp del*] :  
 $\langle \text{FORK} \cdot i = (\text{picks } i \ i \rightarrow (\text{putsdown } i \ i \rightarrow \text{FORK} \cdot i)) \square$   
 $(\text{picks } ((i+1) \ \text{mod } N) \ i \rightarrow (\text{putsdown } ((i+1) \ \text{mod } N) \ i \rightarrow \text{FORK} \cdot i)) \rangle$

Now we use the architectural operators for modelling the interleaving of the philosophers, and the interleaving of the forks.

**definition**  $\langle \text{PHILS} \equiv ||| P \in\# \text{add-mset } \text{LPHILO} \ (\text{mset} \ (\text{map} \ (\lambda i. \ \text{RPHIL} \cdot i) [1..<N])). P \rangle$

**definition**  $\langle \text{FORKS} \equiv ||| P \in\# \text{mset} \ (\text{map} \ (\lambda i. \ \text{FORK} \cdot i) [0..<N])). P \rangle$

**corollary**  $\langle N = 3 \implies \text{PHILS} = (\text{LPHILO} ||| \text{RPHIL} \cdot 1 ||| \text{RPHIL} \cdot 2) \rangle$

— just a test

**unfolding** *PHILS-def* **by** (*simp add: eval-nat-numeral upt-rec Sync-assoc*)

Finally, the dinner is obtained by putting forks and philosophers in parallel.

**definition** *DINING* ::  $\langle \text{dining-event process} \rangle$

**where**  $\langle \text{DINING} = (\text{FORKS} || \text{PHILS}) \rangle$

**end**

**end**



## Chapter 11

# Example: Plain Old Telephone System

The "Plain Old Telephone Service is a standard medium-size example for architectural modeling of a concurrent system.

Plain old telephone service (POTS), or plain ordinary telephone system,[1] is a retronym for voice-grade telephone service employing analog signal transmission over copper loops. POTS was the standard service offering from telephone companies from 1876 until 1988[2] in the United States when the Integrated Services Digital Network (ISDN) Basic Rate Interface (BRI) was introduced, followed by cellular telephone systems, and voice over IP (VoIP). POTS remains the basic form of residential and small business service connection to the telephone network in many parts of the world. The term reflects the technology that has been available since the introduction of the public telephone system in the late 19th century, in a form mostly unchanged despite the introduction of Touch-Tone dialing, electronic telephone exchanges and fiber-optic communication into the public switched telephone network (PSTN).

C.f. wikipedia [https://en.wikipedia.org/wiki/Plain\\_old\\_telephone\\_service](https://en.wikipedia.org/wiki/Plain_old_telephone_service).

```
theory POTS
  imports CSPM
begin
```

We need to see *int* as a *cpo*.

```
instantiation int :: discrete-cpo
begin
```

```
definition below-int-def:
  (x::int)  $\sqsubseteq$  y  $\longleftrightarrow$  x = y
```

```
instance proof
qed (rule below-int-def)
```

end

## 11.1 The Alphabet and Basic Types of POTS

Underlying terminology apparent in the acronyms:

1. T-side (target side, callee side)
2. O-side (originator (?) side, caller side)

**datatype** *MtcO* = *Osetup* | *Odiscon-o*

**datatype** *MctO* = *Obusy* | *Oalert* | *Oconnect* | *Odiscon-t*

**datatype** *MtcT* = *Tbusy* | *Talert* | *Tconnect* | *Tdiscon-t*

**datatype** *MctT* = *Tsetup* | *Tdiscon-o*

**type-synonym** *Phones* =  $\langle int \rangle$

**datatype** *channels* = *tcO*  $\langle Phones \times MtcO \rangle$  —  
| *ctO*  $\langle Phones \times MctO \rangle$   
| *tcT*  $\langle Phones \times MctT \times Phones \rangle$   
| *ctT*  $\langle Phones \times MctT \times Phones \rangle$   
| *tcOdial*  $\langle Phones \times Phones \rangle$   
| *StartReject* *Phones* — phone x rejects from now on to be  
called  
| *EndReject* *Phones* — phone x accepts from now on to be  
called  
| *terminal* *Phones*  
| *off-hook* *Phones*  
| *on-hook* *Phones*  
| *digits*  $\langle Phones \times Phones \rangle$  — communication relation: x calls y  
  
| *tone-ring* *Phones*  
| *tone-quiet* *Phones*  
| *tone-busy* *Phones*  
| *tone-dial* *Phones*  
| *connected* *Phones*

**locale** *POTS* =

**fixes** *min-phones* :: *int*

**and** *max-phones* :: *int*

**and** *VisibleEvents* ::  $\langle channels \text{ set} \rangle$

**assumes** *min-phones-g-1*[*simp*] :  $\langle 1 \leq min-phones \rangle$

**and** *max-phones-g-min-phones*[*simp*] :  $\langle min-phones < max-phones \rangle$

**begin**



**definition**  $phones :: \langle Phones \text{ set} \rangle$  **where**  $\langle phones \equiv \{min\text{-phones} .. max\text{-phones}\} \rangle$

**lemma**  $nonempty\text{-phones}[simp]: \langle phones \neq \{\} \rangle$   
**and**  $finite\text{-phones}[simp]: \langle finite \text{ phones} \rangle$   
**and**  $at\text{-least-two-phones}[simp]: \langle 2 \leq card \text{ phones} \rangle$   
**and**  $not\text{-singl-phone}[simp]: \langle phones - \{p\} \neq \{\} \rangle$   
**apply** ( $simp\text{-all add: phones-def}$ )  
**using**  $max\text{-phones-g-min-phones}$  **apply**  $linarith+$   
**by** ( $metis atLeastAtMost\text{-iff less-le-not-le max-phones-g-min-phones order-refl singletonD subsetD}$ )

**definition**  $EventsIPhone :: \langle Phones \Rightarrow channels \text{ set} \rangle$   
**where**  $\langle EventsIPhone u \equiv \{tone\text{-ring } u, tone\text{-quiet } u, tone\text{-busy } u, tone\text{-dial } u, connected \ u\} \rangle$

**definition**  $EventsUser :: \langle Phones \Rightarrow channels \text{ set} \rangle$   
**where**  $\langle EventsUser u \equiv \{off\text{-hook } u, on\text{-hook } u\} \cup \{x . \exists n. x = digits \ (u, n)\} \rangle$

## 11.2 Auxilliaris to Substructure the Specification

**abbreviation**  $Sliding :: \langle 'a \text{ process} \Rightarrow 'a \text{ process} \Rightarrow 'a \text{ process} \rangle$  (**infixl**  $\langle \triangleright \rangle$  78)

**where**  $\langle P \triangleright Q \equiv (P \square Q) \square Q \rangle$

— This operator is also called Timeout, more studied in future theories.

**abbreviation**

$Tside\text{-connected} :: \langle Phones \Rightarrow Phones \Rightarrow channels \text{ process} \rangle$

**where**  $\langle Tside\text{-connected } ts \ os \equiv$   
 $(ctT!(ts, Tdiscon\text{-}o, os) \rightarrow tcT!(ts, Tdiscon\text{-}t, os) \rightarrow EndReject!ts \rightarrow SKIP)$   
 $\triangleright (tcT!(ts, Tdiscon\text{-}t, os) \rightarrow ctT!(ts, Tdiscon\text{-}o, os) \rightarrow EndReject!ts \rightarrow SKIP) \rangle$

**abbreviation**

$Oside\text{-connected} :: \langle Phones \Rightarrow channels \text{ process} \rangle$

**where**  $\langle Oside\text{-connected } ts \equiv$   
 $(ctO!(ts, Odiscon\text{-}t) \rightarrow tcO!(ts, Odiscon\text{-}o) \rightarrow EndReject!ts \rightarrow SKIP)$   
 $\triangleright (tcO!(ts, Odiscon\text{-}o) \rightarrow ctO!(ts, Odiscon\text{-}t) \rightarrow EndReject!ts \rightarrow SKIP) \rangle$

**abbreviation**

$Oside1 :: \langle [Phones, Phones] \Rightarrow channels \text{ process} \rangle$

**where**

$\langle Oside1 \ ts \ p \equiv$   
 $tcO!dial!(ts, p)$   
 $\rightarrow (ctO!(ts, Oalert)$   
 $\rightarrow ctO!(ts, Oconnect)$   
 $\rightarrow (Oside\text{-connected } ts)) \rangle$

$$\langle \text{SKIP} \rangle$$

$$\square (ctO!(ts, Oconnect) \rightarrow (Oside\text{-}connected\ ts))$$

$$\square (ctO!(ts, Obusy) \rightarrow tcO!(ts, Odiscon\text{-}o) \rightarrow EndReject!ts \rightarrow$$

**definition**

$ITside\text{-}connected \quad :: \langle [Phones, Phones, channels\ process] \Rightarrow channels\ process \rangle$

**where**

$$\langle ITside\text{-}connected\ ts\ os\ IT \equiv (ctT(ts, Tdiscon\text{-}o, os)$$

$$\rightarrow ( \text{tone-busy!}ts$$

$$\rightarrow on\text{-}hook!ts$$

$$\rightarrow tcT!(ts, Tdiscon\text{-}t, os)$$

$$\rightarrow EndReject!ts$$

$$\rightarrow IT)$$

$$\square (on\text{-}hook!ts$$

$$\rightarrow tcT!(ts, Tdiscon\text{-}t, os)$$

$$\rightarrow EndReject!ts$$

$$\rightarrow IT)$$

$$))$$

$$\square (on\text{-}hook!ts$$

$$\rightarrow tcT!(ts, Tdiscon\text{-}t, os)$$

$$\rightarrow ctT!(ts, Tdiscon\text{-}o, os)$$

$$\rightarrow EndReject!ts$$

$$\rightarrow IT) \rangle$$

## 11.3 A Telephone

**fixrec**  $T \quad :: \langle Phones \rightarrow channels\ process \rangle$   
**and**  $Oside \quad :: \langle Phones \rightarrow channels\ process \rangle$   
**and**  $Tside \quad :: \langle Phones \rightarrow channels\ process \rangle$   
**and**  $NoReject \quad :: \langle Phones \rightarrow channels\ process \rangle$   
**and**  $Reject \quad :: \langle Phones \rightarrow channels\ process \rangle$

**where**

$$T\text{-}rec \quad [simp\ del]: \langle T \cdot ts \quad = (Tside \cdot ts ; T \cdot ts) \triangleright (Oside \cdot ts ; T \cdot ts) \rangle$$

$$| \quad Oside\text{-}rec \quad [simp\ del]: \langle Oside \cdot ts \quad = StartReject!ts$$

$$\rightarrow tcO!(ts, Osetup)$$

$$\rightarrow (\prod p \in phones. Oside1\ ts\ p) \rangle$$

$$| \quad Tside\text{-}rec \quad [simp\ del]: \langle Tside \cdot ts \quad = ctT?(y, z, os) | ((y, z) = (ts, Tsetup))$$

$$\rightarrow StartReject!ts$$

$$\rightarrow ( \quad tcT!(ts, Talert, os)$$

$$\rightarrow tcT!(ts, Tconnect, os)$$

$$\rightarrow (Tside\text{-}connected\ ts\ os)$$

$$\square (tcT!(ts, Tconnect, os)$$

$$\rightarrow (Tside\text{-}connected\ ts\ os))) \rangle$$

$$| \quad NoReject\text{-}rec \quad [simp\ del]: \langle NoReject \cdot ts \quad = StartReject!ts \rightarrow Reject \cdot ts \rangle$$

$$| \quad Reject\text{-}rec \quad [simp\ del]: \langle Reject \cdot ts \quad = ctT?(y, z, os) | (y = ts \wedge z = Tsetup \wedge os \in phones$$

$$\wedge os \neq ts)$$

$$\rightarrow (tcT!(ts, Tbusy, os) \rightarrow Reject \cdot ts)$$

$$\square (EndReject!ts \rightarrow NoReject \cdot ts) \rangle$$

**definition**  $Tel :: \langle Phones \Rightarrow channels\ process \rangle$

**where**  $\langle Tel\ p \equiv (T \cdot p \llbracket \{StartReject\ p, EndReject\ p\} \rrbracket NoReject \cdot p) \setminus \{StartReject\ p, EndReject\ p\} \rangle$

## 11.4 A Connector with the Network

**fixrec**  $Call :: \langle Phones \rightarrow channels\ process \rangle$

**and**  $BUSY :: \langle Phones \rightarrow Phones \rightarrow channels\ process \rangle$

**and**  $Connected :: \langle Phones \rightarrow Phones \rightarrow channels\ process \rangle$

**where**

$Call-rec$  [simp del]:  $\langle Call \cdot os = (tcO! (os, Osetup) \rightarrow tcO! \text{dial?}(x, ts) | (x=os)) \rightarrow (BUSY \cdot os \cdot ts) \rangle ; Call \cdot os$

|  $BUSY-rec$  [simp del]:  $\langle BUSY \cdot os \cdot ts = (if\ ts = os$   
 $then\ ctO!(os, Obusy) \rightarrow tcO!(os, Odiscon-o) \rightarrow SKIP$   
 $else\ ctT!(ts, Tsetup, os)$

$\rightarrow ( (tcT!(ts, Tbusy, os)$   
 $\rightarrow ctO!(os, Obusy)$   
 $\rightarrow tcO!(os, Odiscon-o) \rightarrow SKIP)$

□

$(tcT!(ts, Talert, os)$   
 $\rightarrow ctO!(os, Oalert)$   
 $\rightarrow tcT!(ts, Tconnect, os)$   
 $\rightarrow ctO!(os, Oconnect)$   
 $\rightarrow Connected \cdot os \cdot ts)$

□

$(tcT!(ts, Tconnect, os)$   
 $\rightarrow ctO!(os, Oconnect)$   
 $\rightarrow Connected \cdot os \cdot ts)) \rangle$

|  $Connected-rec$  [simp del]:  $\langle Connected \cdot os \cdot ts = (tcO!(os, Odiscon-o) \rightarrow$   
 $(( (ctT!(ts, Tdiscon-o, os) \rightarrow tcT!(ts, Tdiscon-t, os) \rightarrow SKIP)$

□

$(tcT!(ts, Tdiscon-t, os) \rightarrow ctT!(ts, Tdiscon-o, os) \rightarrow SKIP)$   
 $)$

$; (ctO!(os, Odiscon-t) \rightarrow SKIP)))$

□

$(tcT!(ts, Tdiscon-t, os) \rightarrow$

$( (ctO!(os, Odiscon-t)$   
 $\rightarrow ctT!(ts, Tdiscon-o, os)$   
 $\rightarrow tcO!(os, Odiscon-o)$   
 $\rightarrow SKIP )$

□

$(tcO!(os, Odiscon-o)$   
 $\rightarrow ctT!(ts, Tdiscon-o, os)$   
 $\rightarrow ctO!(os, Odiscon-t)$

$$\begin{aligned} & \rightarrow SKIP) \\ & ) \\ & \rangle \end{aligned}$$

## 11.5 Combining NETWORK and TELEPHONES to a SYSTEM

**definition**  $NETWORK$   $:: \langle channels\ process \rangle$   
**where**  $\langle NETWORK \equiv (||| os \in \# (mset\ set\ phones). Call\ os) \rangle$

**definition**  $TELEPHONES$   $:: \langle channels\ process \rangle$   
**where**  $\langle TELEPHONES \equiv (||| ts \in \# (mset\ set\ phones). Tel\ ts) \rangle$

**definition**  $SYSTEM$   $:: \langle channels\ process \rangle$   
**where**  $\langle SYSTEM \equiv NETWORK \llbracket VisibleEvents \rrbracket TELEPHONES \rangle$

We underline here the usefulness of the architectural operators, especially *MultiSync* but also *MultiNdet* which appears in *Aside* recursive definition.

## 11.6 Simple Model of a User

**fixrec**  $User$   $:: \langle Phones \rightarrow channels\ process \rangle$   
**and**  $UserSCon$   $:: \langle Phones \rightarrow channels\ process \rangle$

**where**

$$\begin{aligned} User\text{-rec}[simp\ del] : \langle User \cdot u = & (off\text{-hook!}u \rightarrow \\ & (tone\text{-dial!}u \rightarrow \\ & (\sqcap p \in phones. digits!(u,p) \rightarrow tone\text{-quiet!}u \rightarrow \\ & \quad ( (tone\text{-ring!}u \rightarrow connected!u \rightarrow UserSCon \cdot u) \\ & \quad \square (connected!u \rightarrow UserSCon \cdot u) \\ & \quad \square (tone\text{-busy!}u \rightarrow on\text{-hook!}u \rightarrow User \cdot u) \\ & \quad ) \\ & \quad ) \\ & \quad ) \\ & \square (connected!u \rightarrow UserSCon \cdot u) \\ & \quad ) \\ & \square (tone\text{-ring!}u \rightarrow off\text{-hook!}u \rightarrow connected!u \rightarrow UserSCon \cdot u) \rangle \\ | UserSCon\text{-rec}[simp\ del]: \langle UserSCon \cdot u = & (tone\text{-busy!}u \rightarrow on\text{-hook!}u \rightarrow User \cdot u) \\ \triangleright (on\text{-hook!}u \rightarrow User \cdot u) \rangle \end{aligned}$$

**fixrec**  $User\text{-Ndet}$   $:: \langle Phones \rightarrow channels\ process \rangle$   
**and**  $UserSCon\text{-Ndet}$   $:: \langle Phones \rightarrow channels\ process \rangle$

**where**

$$\begin{aligned} User\text{-Ndet}\text{-rec}[simp\ del] : \langle User\text{-Ndet} \cdot u = & (off\text{-hook!}u \rightarrow \\ & (tone\text{-dial!}u \rightarrow \\ & (\sqcap p \in phones. digits!(u,p) \rightarrow tone\text{-quiet!}u \rightarrow \end{aligned}$$

$$\begin{aligned}
& ( ( \text{tone-ring!}u \rightarrow \text{connected!}u \rightarrow \text{UserSCon-Ndet}\cdot u ) \\
& \quad \sqcap ( \text{connected!}u \rightarrow \text{UserSCon-Ndet}\cdot u ) \\
& \quad \sqcap ( \text{tone-busy!}u \rightarrow \text{on-hook!}u \rightarrow \text{User-Ndet}\cdot u ) \\
& ) \\
& ) \\
& ) \\
& \sqcap ( \text{connected!}u \rightarrow \text{UserSCon-Ndet}\cdot u ) \\
& ) \\
& \sqcap ( \text{tone-ring!}u \rightarrow \text{off-hook!}u \rightarrow \text{connected!}u \rightarrow \text{UserSCon-Ndet}\cdot u ) \rangle \\
| \text{UserSCon-Ndet-rec[simp del]: } \langle \text{UserSCon-Ndet}\cdot u = ( \text{tone-busy!}u \rightarrow \text{on-hook!}u \\
\rightarrow \text{User-Ndet}\cdot u ) \sqcap ( \text{on-hook!}u \rightarrow \text{User-Ndet}\cdot u ) \rangle
\end{aligned}$$

**definition**  $\text{Implement}T \quad :: \langle \text{Phones} \Rightarrow \text{channels process} \rangle$   
**where**  $\langle \text{Implement}T \text{ ts} \equiv ((\text{Tel ts}) \llbracket \text{EventsIPhone ts} \cup \text{EventsUser ts} \rrbracket (\text{User}\cdot \text{ts}))$   
 $\quad \setminus (\text{EventsIPhone ts} \cup \text{EventsUser ts}) \rangle$

## 11.7 Toplevel Proof-Goals

This has been proven in an ancient FDR model for  $\text{max-phones} = 5\dots$

**lemma**  $\langle \forall p \in \text{phones. deadlock-free} (\text{Tel } p) \rangle$  **oops**  
**lemma**  $\langle \forall p \in \text{phones. deadlock-free-v2} (\text{Call}\cdot p) \rangle$  **oops**  
**lemma**  $\langle \text{deadlock-free-v2 NETWORK} \rangle$  **oops**  
**lemma**  $\langle \text{deadlock-free-v2 SYSTEM} \rangle$  **oops**  
**lemma**  $\langle \text{lifelock-free SYSTEM} \rangle$  **oops**  
**lemma**  $\langle \forall p \in \text{phones. lifelock-free} (\text{Implement}T p) \rangle$  **oops**  
**lemma**  $\langle \forall p \in \text{phones. Tel } p \sqsubseteq_{FD} \text{Implement}T p \rangle$  **oops**

**lemma**  $\langle \forall p \in \text{phones. Tel}\cdot p \sqsubseteq_F \text{RUN UNIV} \rangle$  **oops**

this should represent "deterministic" in process-algebraic terms. . .

**end**

**end**



# Chapter 12

## Results on *events-of*

```
theory EventsProperties
  imports CSPM
begin
```

### 12.1 With Operators of HOL-CSP

**lemma** *events-of-def-tickFree*:

$\langle \text{events-of } P = (\bigcup t \in \{t \in \mathcal{T} P. \text{tickFree } t\}. \{a. \text{ev } a \in \text{set } t\}) \rangle$

**proof** –

**have**  $\langle s \in \mathcal{T} P \implies \neg \text{tickFree } s \implies \text{ev } e \in \text{set } s \implies \text{ev } e \in \text{set } (\text{butlast } s) \rangle$  **for**  
*e s*

**by** (*cases s rule: rev-cases*) (*simp-all add: append-single-T-imp-tickFree*)

**thus** *?thesis*

**by** (*auto simp add: events-of-def*)

(*metis butlast-snoc front-tickFree-butlast is-processT2-TR*  
*is-processT3-ST nonTickFree-n-frontTickFree*)

**qed**

**lemma** *events-BOT*:  $\langle \text{events-of } \perp = \text{UNIV} \rangle$

**and** *events-SKIP*:  $\langle \text{events-of } \text{SKIP} = \{\} \rangle$

**and** *events-STOP*:  $\langle \text{events-of } \text{STOP} = \{\} \rangle$

**by** (*auto simp add: events-of-def T-UU T-SKIP T-STOP*)

(*meson front-tickFree-single list.set-intros(1)*)

**lemma** *anti-mono-events-T*:  $\langle P \sqsubseteq_T Q \implies \text{events-of } Q \subseteq \text{events-of } P \rangle$

**unfolding** *trace-refine-def events-of-def* **by** *fast*

**lemma** *anti-mono-events-F*:  $\langle P \sqsubseteq_F Q \implies \text{events-of } Q \subseteq \text{events-of } P \rangle$

**by** (*intro anti-mono-events-T leF-imp-leT*)

**lemma** *anti-mono-events-FD*:  $\langle P \sqsubseteq_{FD} Q \implies \text{events-of } Q \subseteq \text{events-of } P \rangle$

**by** (*intro anti-mono-events-F leFD-imp-leF*)

**lemmas** *events-fix-prefix* =  
*events-DF*[of  $\langle \{a\} \rangle$ , *simplified DF-def Mndetprefix-unit*] **for** *a*

**lemma** *events-Mndetprefix*:  
 $\langle \text{events-of } (Mndetprefix\ A\ P) = A \cup (\bigcup a \in A. \text{events-of } (P\ a)) \rangle$   
**apply** (*cases*  $\langle A = \{ \} \rangle$ , *simp add: events-STOP*)  
**unfolding** *events-of-def*  
**apply** (*simp add: T-Mndetprefix T-Mprefix write0-def, safe; simp*)  
**apply** (*metis event.inject list.exhaust-sel set-ConsD*)  
**by** (*metis Nil-elem-T list.sel(1, 3) list.set-intros(1)*)  
*(metis list.sel(1, 3) list.set-intros(2))*

**lemma** *events-Mprefix*:  
 $\langle \text{events-of } (Mprefix\ A\ P) = A \cup (\bigcup a \in A. \text{events-of } (P\ a)) \rangle$   
**apply** (*rule subset-antisym*)  
**apply** (*rule subset-trans[OF anti-mono-events-FD[OF Mprefix-refines-Mndetprefix-FD]]*,  
*simp add: events-Mndetprefix*)  
**unfolding** *events-of-def*  
**apply** (*simp add: T-Mprefix, safe; simp*)  
**by** (*metis Nil-elem-T list.sel(1, 3) list.set-intros(1)*)  
*(metis list.sel(1, 3) list.set-intros(2))*

**lemma** *events-prefix*:  $\langle \text{events-of } (a \rightarrow P) = \text{insert } a\ (\text{events-of } P) \rangle$   
**unfolding** *write0-def* **by** (*simp add: events-Mprefix*)

**lemma** *events-Ndet*:  $\langle \text{events-of } (P \sqcap Q) = \text{events-of } P \cup \text{events-of } Q \rangle$   
**unfolding** *events-of-def* **by** (*simp add: T-Ndet*)

**lemma** *events-Det*:  $\langle \text{events-of } (P \sqcup Q) = \text{events-of } P \cup \text{events-of } Q \rangle$   
**unfolding** *events-of-def* **by** (*simp add: T-Det*)

**lemma** *events-Renaming*:  
 $\langle \text{events-of } (Renaming\ P\ f) = (\text{if } \mathcal{D}\ P = \{ \} \text{ then } f\ \langle \text{events-of } P \text{ else } UNIV) \rangle$   
**proof** (*split if-split, intro conjI impI*)  
**show**  $\langle \mathcal{D}\ P \neq \{ \} \implies \text{events-of } (Renaming\ P\ f) = UNIV \rangle$   
**by** (*rule events-div, simp add: D-Renaming*)  
*(metis D-imp-front-tickFree ex-in-conv front-tickFree-charn*  
*front-tickFree-implies-tickFree is-processT9-S-swap nonTickFree-n-frontTickFree)*  
**next**  
**show**  $\langle \text{events-of } (Renaming\ P\ f) = f\ \langle \text{events-of } P \rangle \text{ if } \text{div-free} : \langle \mathcal{D}\ P = \{ \} \rangle$   
**proof** (*intro subset-antisym subsetI*)



```

fix e
assume ⟨e ∈ events-of (Renaming P f)⟩
then obtain s t where * : ⟨t ∈  $\mathcal{T}$  P⟩ ⟨s = map (EvExt f) t⟩ ⟨ev e ∈ set s⟩
  by (auto simp add: events-of-def T-Renaming div-free)
from *(2, 3) obtain e' where ⟨e = f e'⟩ ⟨ev e' ∈ set t⟩
  by (auto simp add: EvExt-def split: event.split-asm)
with *(1) show ⟨e ∈ f ' events-of P⟩
  unfolding events-of-def by blast
next
fix e
assume ⟨e ∈ f ' events-of P⟩
then obtain e' where * : ⟨e = f e'⟩ ⟨e' ∈ events-of P⟩ by blast
from *(2) obtain t where ⟨t ∈  $\mathcal{T}$  P⟩ ⟨ev e' ∈ set t⟩
  unfolding events-of-def by blast
thus ⟨e ∈ events-of (Renaming P f)⟩
  apply (simp add: events-of-def T-Renaming)
  apply (rule disjI1)
  apply (rule-tac x = ⟨map (EvExt f) t⟩ in exI)
  using *(1) by (auto simp add: EvExt-def image-iff split: event.split)
qed
qed

```

```

lemma events-Seq:
  ⟨events-of (P ; Q) =
    (if non-terminating P then events-of P else events-of P ∪ events-of Q)⟩
proof (split if-split, intro conjI impI)
  show ⟨non-terminating P ⟹ events-of (P ; Q) = events-of P⟩
    by (simp add: non-terminating-Seq)
next
show ⟨events-of (P ; Q) = events-of P ∪ events-of Q⟩ if ⟨¬ non-terminating P⟩
proof (intro subset-antisym subsetI)
  show ⟨e ∈ events-of (P ; Q) ⟹ e ∈ events-of P ∪ events-of Q⟩ for e
    by (auto simp add: events-of-def T-Seq F-T D-T intro: is-processT3-ST)
next
fix s
assume ⟨s ∈ events-of P ∪ events-of Q⟩
then consider ⟨s ∈ events-of P⟩ | ⟨s ∈ events-of Q⟩ by fast
thus ⟨s ∈ events-of (P ; Q)⟩
proof cases
  show ⟨s ∈ events-of P ⟹ s ∈ events-of (P ; Q)⟩
    by (simp add: events-of-def-tickFree T-Seq)
    (metis Nil-elem-T append.right-neutral is-processT5-S7 singletonD)
next
from non-terminating-refine-DF that
obtain t1 where * : ⟨t1 ∈  $\mathcal{T}$  P⟩ ⟨t1 ∉  $\mathcal{T}$  (DF UNIV)⟩
  by (metis subsetI trace-refine-def)

```

**then obtain**  $t1'$  **where**  $\langle t1 = t1' @ [tick] \rangle$   
**using** *DF-all-tickfree-traces2 T-nonTickFree-imp-decomp is-processT3-ST*  
**by** *blast*  
**hence**  $\langle t2 \in \mathcal{T} Q \implies t1' @ t2 \in \mathcal{T} (P ; Q) \rangle$  **for**  $t2$   
**using**  $*(1)$  *T-Seq* **by** *blast*  
**thus**  $\langle s \in \text{events-of } Q \implies s \in \text{events-of } (P ; Q) \rangle$   
**by** (*simp add: events-of-def, elim bexE*)  
*(metis UnCI set-append)*  
**qed**  
**qed**  
**qed**

**lemma** *events-Sync*:  $\langle \text{events-of } (P \llbracket S \rrbracket Q) \subseteq \text{events-of } P \cup \text{events-of } Q \rangle$   
**apply** (*subst events-of-def, subst T-Sync, simp add: subset-iff*)  
**proof** (*intro allI impI conjI, goal-cases*)  
**case** (1 a)  
**thus** ?case **by** (*metis (no-types, lifting) UN-I events-of-def ftf-Sync1 mem-Collect-eq*)  
**next**  
**case** (2 a)  
**then obtain**  $t u$  **where**  $\langle t \in \mathcal{D} P \wedge u \in \mathcal{T} Q \vee t \in \mathcal{D} Q \wedge u \in \mathcal{T} P \rangle$  **by** *blast*  
**thus** ?case **using** *events-div* **by** *blast*  
**qed**

**lemma** *events-Inter*:  
 $\langle \text{events-of } ((P :: '\alpha \text{ process}) \parallel Q) = \text{events-of } P \cup \text{events-of } Q \rangle$   
**proof** (*rule subset-antisym[OF events-Sync]*)  
**have**  $\langle \llbracket \text{tickFree } s; s \in \mathcal{T} (P :: '\alpha \text{ process}) \rrbracket \implies s \in \mathcal{T} (P \parallel Q) \rangle$  **for**  $s P Q$   
**apply** (*simp add: T-Sync*)  
**apply** (*rule disjI1*)  
**apply** (*rule-tac x = s in exI, simp*)  
**apply** (*rule-tac x = \langle \rangle in exI, simp add: Nil-elem-T*)  
**by** (*metis Sync.sym emptyLeftSelf singletonD tickFree-def*)  
**hence**  $\langle \text{events-of } (P :: '\alpha \text{ process}) \subseteq \text{events-of } (P \parallel Q) \rangle$  **for**  $P Q$   
**unfolding** *events-of-def-tickFree* **by** *fast*  
**thus**  $\langle \text{events-of } P \cup \text{events-of } Q \subseteq \text{events-of } (P \parallel Q) \rangle$   
**by** (*metis Inter-commute Un-least*)  
**qed**

**lemma** *empty-div-hide-events-Hiding*:  $\langle \text{events-of } (P \setminus B) \subseteq \text{events-of } P - B \rangle$   
**if**  $\langle \text{div-hide } P B = \{ \} \rangle$   
**unfolding** *events-of-def T-Hiding*  
**apply** (*subst that, simp*)  
**using** *F-T* **by** *auto blast*

**lemma** *not-empty-div-hide-events-Hiding*:  
 $\langle \text{div-hide } P \ B \neq \{\} \implies \text{events-of } (P \setminus B) = \text{UNIV} \rangle$   
**using** *D-Hiding events-div by blast*

*events-of* and *deadlock-free*

**lemma** *nonempty-events-if-deadlock-free*:  $\langle \text{deadlock-free } P \implies \text{events-of } P \neq \{\} \rangle$   
**unfolding** *deadlock-free-def events-of-def failure-divergence-refine-def le-ref-def*  
**apply** (*simp add: div-free-DF, subst (asm) DF-unfold*)  
**apply** (*simp add: F-Mndetprefix write0-def F-Mprefix subset-iff*)  
**by** (*metis Nil-elim-T T-F-spec UNIV-I hd-in-set is-processT5-S7*  
*list.distinct(1) self-append-conv2*)

**lemma** *events-in-DF*:  $\langle \text{DF } A \sqsubseteq_{FD} P \implies \text{events-of } P \subseteq A \rangle$   
**by** (*metis anti-mono-events-FD events-DF*)

**lemma** *nonempty-events-if-deadlock-free\_SKIP*:  
 $\langle \text{deadlock-free}_{SKIP} P \implies [\text{tick}] \in \mathcal{T} P \vee \text{events-of } P \neq \{\} \rangle$   
**unfolding** *deadlock-free\_{SKIP}-def events-of-def failure-refine-def le-ref-def*  
**apply** (*subst (asm) DF\_{SKIP}-unfold*)  
**apply** (*simp add: F-Mndetprefix write0-def F-Mprefix subset-iff F-Ndet F-SKIP*)  
**by** (*metis Nil-elim-T T-F-spec UNIV-I hd-in-set is-processT5-S7*  
*list.distinct(1) self-append-conv2*)

**lemma** *events-in-DF\_{SKIP}*:  $\langle \text{DF}_{SKIP} A \sqsubseteq_{FD} P \implies \text{events-of } P \subseteq A \rangle$   
**by** (*metis anti-mono-events-FD events-DF\_{SKIP}*)

**lemma**  $\langle \neg \text{events-of } P \subseteq A \implies \neg \text{DF } A \sqsubseteq_{FD} P \rangle$   
**and**  $\langle \neg \text{events-of } P \subseteq A \implies \neg \text{DF}_{SKIP} A \sqsubseteq_{FD} P \rangle$   
**by** (*metis anti-mono-events-FD events-DF*)  
*(metis anti-mono-events-FD events-DF\_{SKIP})*

## 12.2 With Architectural Operators of HOL-CSPM

**lemma** *events-MultiNdet*:  
 $\langle \text{finite } A \implies \text{events-of } (\text{MultiNdet } A \ P) = (\bigcup_{a \in A} \text{events-of } (P \ a)) \rangle$   
**by** (*cases*  $\langle A = \{\} \rangle$ , *simp add: events-STOP*)  
*(rotate-tac, induct A rule: finite-set-induct-nonempty; simp add: events-Ndet)*

**lemma** *events-MultiDet*:  
 $\langle \text{finite } A \implies \text{events-of } (\text{MultiDet } A \ P) = (\bigcup_{a \in A} \text{events-of } (P \ a)) \rangle$   
**by** (*induct A rule: finite-induct*) (*simp-all add: events-STOP events-Det*)

**lemma** *events-MultiSeq*:  
 $\langle \text{events-of } (\text{SEQ } a \in @ L. P \ a) =$   
 $(\bigcup_{a \in \text{set } (\text{take } (\text{Suc } (\text{first-elim } (\lambda a. \text{non-terminating } (P \ a)) \ L)) \ L)} a) \rangle$

$\langle \text{events-of } (P a) \rangle$   
**by** (*subst non-terminating-MultiSeq, induct L; simp add: events-SKIP events-Seq*)

**lemma** *events-MultiSeq-subset*:  
 $\langle \text{events-of } (SEQ a \in@ L. P a) \subseteq (\bigcup a \in \text{set } L. \text{events-of } (P a)) \rangle$   
**using** *in-set-takeD* **by** (*subst events-MultiSeq*) *fastforce*

**lemma** *events-MultiSync*:  
 $\langle \text{events-of } ([S] a \in\# M. P a) \subseteq (\bigcup a \in \text{set-mset } M. \text{events-of } (P a)) \rangle$   
**by** (*induct M rule: induct-subset-mset-empty-single; simp add: events-STOP*)  
(*meson Diff-subset-conv dual-order.trans events-Sync*)

**lemma** *events-MultiInter*:  
 $\langle \text{events-of } (||| a \in\# M. P a) = (\bigcup a \in \text{set-mset } M. \text{events-of } (P a)) \rangle$   
**by** (*induct M rule: induct-subset-mset-empty-single*)  
(*simp-all add: events-STOP events-Inter*)

**end**

# Chapter 13

## Deadlock Results

```
theory DeadlockResults
  imports CSPM
begin
```

When working with the interleaving  $P|||Q$ , we intuitively expect it to be *deadlock-free* when both  $P$  and  $Q$  are.

This chapter contains several results about deadlock notion, and concludes with a proof of the theorem we just mentioned.

### 13.1 Unfolding Lemmas for the Projections of $DF$ and $DF_{SKIP}$

$DF$  and  $DF_{SKIP}$  naturally appear when we work around *deadlock-free* and *deadlock-free<sub>SKIP</sub>* notions (because

*deadlock-free*  $P \equiv DF\ UNIV \sqsubseteq_{FD}\ P$

*deadlock-free<sub>SKIP</sub>*  $P \equiv DF_{SKIP}\ UNIV \sqsubseteq_F\ P$ ).

It is therefore convenient to have the following rules for unfolding the projections.

**lemma** *F-DF*:

```
 $\langle \mathcal{F}\ (DF\ A) =$ 
  (if  $A = \{\}$  then  $\{(s, X). s = []\}$ 
  else  $(\bigcup_{x \in A}. \{[]\} \times \{ref. ev\ x \notin ref\}) \cup$ 
     $\{(tr, ref). tr \neq [] \wedge hd\ tr = ev\ x \wedge (tl\ tr, ref) \in \mathcal{F}\ (DF\ A)\}) \rangle$ 
```

**and** *F-DF<sub>SKIP</sub>*:

```
 $\langle \mathcal{F}\ (DF_{SKIP}\ A) =$ 
  (if  $A = \{\}$  then  $\{(s, X). s = [] \vee s = [tick]\}$ 
  else  $\{(s, X) \mid s\ X. s = [] \wedge tick \notin X \vee s = [tick]\} \cup$ 
     $(\bigcup_{x \in A}. \{[]\} \times \{ref. ev\ x \notin ref\}) \cup$ 
     $\{(tr, ref). tr \neq [] \wedge hd\ tr = ev\ x \wedge (tl\ tr, ref) \in \mathcal{F}\ (DF_{SKIP}\ A)\}) \rangle$ 
```

**by** (*subst DF-unfold DF<sub>SKIP</sub>-unfold*;

*auto simp add: F-STOP F-Mprefix F-Mndetprefix write0-def F-SKIP F-Ndet*)+

**corollary** *Cons-F-DF*:

$\langle (x \# t, X) \in \mathcal{F} (DF A) \implies (t, X) \in \mathcal{F} (DF A) \rangle$   
**and** *Cons-F-DF<sub>SKIP</sub>*:  
 $\langle x \neq tick \implies (x \# t, X) \in \mathcal{F} (DF_{SKIP} A) \implies (t, X) \in \mathcal{F} (DF_{SKIP} A) \rangle$   
**by** (*subst (asm) F-DF F-DF<sub>SKIP</sub>; auto split: if-split-asm*) $+$

**lemma** *D-DF*:  $\langle \mathcal{D} (DF A) = (if A = \{\} then \{\}$   
 $else \{s. s \neq [] \wedge (\exists x \in A. hd s = ev x \wedge tl s \in \mathcal{D} (DF A))\}) \rangle$   
**and** *D-DF<sub>SKIP</sub>*:  $\langle \mathcal{D} (DF_{SKIP} A) = (if A = \{\} then \{\}$   
 $else \{s. s \neq [] \wedge (\exists x \in A. hd s = ev x \wedge tl s \in \mathcal{D} (DF_{SKIP} A))\}) \rangle$   
**by** (*subst DF-unfold DF<sub>SKIP</sub>-unfold;*  
*auto simp add: D-Mndetprefix D-Mprefix write0-def D-Ndet D-SKIP*) $+$

**lemma** *T-DF*:

$\langle \mathcal{T} (DF A) =$   
 $(if A = \{\} then \{[]\}$   
 $else \{s. s = [] \vee s \neq [] \wedge (\exists x \in A. hd s = ev x \wedge tl s \in \mathcal{T} (DF A))\}) \rangle$   
**and** *T-DF<sub>SKIP</sub>*:  
 $\langle \mathcal{T} (DF_{SKIP} A) =$   
 $(if A = \{\} then \{[], [tick]\}$   
 $else \{s. s = [] \vee s = [tick] \vee$   
 $s \neq [] \wedge (\exists x \in A. hd s = ev x \wedge tl s \in \mathcal{T} (DF_{SKIP} A))\}) \rangle$   
**by** (*subst DF-unfold DF<sub>SKIP</sub>-unfold;*  
*auto simp add: T-STOP T-Mndetprefix write0-def T-Mprefix T-Ndet T-SKIP*) $+$

## 13.2 Characterizations for *deadlock-free*, *deadlock-free<sub>SKIP</sub>*

We want more results like  $\llbracket deadlock\text{-free } P; deadlock\text{-free } Q \rrbracket \implies deadlock\text{-free } (P \sqcap Q)$ , and we want to add the reciprocal when possible.

The first thing we notice is that we only have to care about the failures

**lemma**  $\langle deadlock\text{-free}_{SKIP} P \equiv DF_{SKIP} UNIV \sqsubseteq_F P \rangle$   
**by** (*fact deadlock-free<sub>SKIP</sub>-def*)

**lemma** *deadlock-free-F*:  $\langle deadlock\text{-free } P \longleftrightarrow DF UNIV \sqsubseteq_F P \rangle$   
**by** (*metis deadlock-free-def div-free-divergence-refine(5) leFD-imp-leF*  
*leF-imp-leT leF-leD-imp-leFD non-terminating-refine-DF*  
*nonterminating-implies-div-free*)

**lemma** *deadlock-free-Mprefix-iff*:  $\langle deadlock\text{-free } (\sqcap a \in A \rightarrow P a) \longleftrightarrow$   
 $A \neq \{\} \wedge (\forall a \in A. deadlock\text{-free } (P a)) \rangle$   
**and** *deadlock-free<sub>SKIP</sub>-Mprefix-iff*:  $\langle deadlock\text{-free}_{SKIP} (Mprefix A P) \longleftrightarrow$

$A \neq \{\} \wedge (\forall a \in A. \text{deadlock-free}_{SKIP} (P a))$

**unfolding** *deadlock-free-F deadlock-free<sub>SKIP</sub>-def failure-refine-def*  
**apply** (*all*  $\langle \text{subst } F\text{-DF } F\text{-DF}_{SKIP} \rangle$ ,  
*auto simp add: div-free-DF F-Mprefix D-Mprefix subset-iff*)  
**by** (*metis imageI list.distinct(1) list.sel(1, 3)*)  
*(metis (no-types, lifting) event.distinct(1) image-eqI list.sel(1, 3) neq-Nil-conv)*

**lemmas** *deadlock-free-prefix-iff* =  
*deadlock-free-Mprefix-iff* [*of*  $\langle \{a\} \rangle \langle \lambda a. P \rangle$ , *folded write0-def, simplified*]  
**and** *deadlock-free<sub>SKIP</sub>-prefix-iff* =  
*deadlock-free<sub>SKIP</sub>-Mprefix-iff* [*of*  $\langle \{a\} \rangle \langle \lambda a. P \rangle$ , *folded write0-def, simplified*]  
**for**  $a P$

**lemma** *deadlock-free-Mndetprefix-iff*:  $\langle \text{deadlock-free} (\sqcap a \in A \rightarrow P a) \longleftrightarrow$   
 $A \neq \{\} \wedge (\forall a \in A. \text{deadlock-free} (P a)) \rangle$   
**and** *deadlock-free<sub>SKIP</sub>-Mndetprefix-iff*:  $\langle \text{deadlock-free}_{SKIP} (\sqcap a \in A \rightarrow P a)$   
 $\longleftrightarrow$   
 $A \neq \{\} \wedge (\forall a \in A. \text{deadlock-free}_{SKIP} (P a)) \rangle$   
**apply** (*all*  $\langle \text{intro iffI conjI} \rangle$ )  
**using** *non-deadlock-free-STOP*  
**apply** *force*  
**apply** (*meson Mprefix-refines-Mndetprefix-FD*  
*deadlock-free-Mprefix-iff deadlock-free-def trans-FD*)  
**apply** (*metis (no-types, lifting) Mndetprefix-GlobalNdet*  
*deadlock-free-def deadlock-free-prefix-iff mono-GlobalNdet-FD-const*)  
**using** *non-deadlock-free-v2-STOP*  
**apply** *force*  
**apply** (*meson Mprefix-refines-Mndetprefix-FD deadlock-free<sub>SKIP</sub>-FD*  
*deadlock-free<sub>SKIP</sub>-Mprefix-iff trans-FD*)  
**by** (*metis (no-types, lifting) Mndetprefix-GlobalNdet deadlock-free<sub>SKIP</sub>-prefix-iff*  
*deadlock-free-v2-FD mono-GlobalNdet-FD-const*)

**lemma** *deadlock-free-Ndet-iff*:  $\langle \text{deadlock-free} (P \sqcap Q) \longleftrightarrow$   
 $\text{deadlock-free } P \wedge \text{deadlock-free } Q \rangle$   
**and** *deadlock-free<sub>SKIP</sub>-Ndet-iff*:  $\langle \text{deadlock-free}_{SKIP} (P \sqcap Q) \longleftrightarrow$   
 $\text{deadlock-free}_{SKIP} P \wedge \text{deadlock-free}_{SKIP} Q \rangle$   
**unfolding** *deadlock-free-F deadlock-free<sub>SKIP</sub>-def failure-refine-def*  
**by** (*simp-all add: F-Ndet*)

**lemma** *deadlock-free-GlobalNdet-iff*:  $\langle \text{deadlock-free} (\sqcap a \in A. P a) \longleftrightarrow$   
 $A \neq \{\} \wedge (\forall a \in A. \text{deadlock-free} (P a)) \rangle$

**and** *deadlock-free<sub>SKIP</sub>-GlobalNdet-iff*:  $\langle \text{deadlock-free}_{SKIP} (\prod a \in A. P a) \longleftrightarrow A \neq \{\} \wedge (\forall a \in A. \text{deadlock-free}_{SKIP} (P a)) \rangle$   
**by** (*metis (mono-tags, lifting) GlobalNdet-refine-FD deadlock-free-def trans-FD mono-GlobalNdet-FD-const non-deadlock-free-STOP empty-GlobalNdet (metis (mono-tags, lifting) GlobalNdet-refine-FD deadlock-free<sub>SKIP</sub>-FD trans-FD mono-GlobalNdet-FD-const non-deadlock-free<sub>SKIP</sub>-STOP empty-GlobalNdet)*)

**lemma** *deadlock-free-MultiNdet-iff*:  $\langle \text{deadlock-free} (\prod a \in A. P a) \longleftrightarrow A \neq \{\} \wedge (\forall a \in A. \text{deadlock-free} (P a)) \rangle$   
**and** *deadlock-free<sub>SKIP</sub>-MultiNdet-iff*:  $\langle \text{deadlock-free}_{SKIP} (\prod a \in A. P a) \longleftrightarrow A \neq \{\} \wedge (\forall a \in A. \text{deadlock-free}_{SKIP} (P a)) \rangle$   
**if** *fin*:  $\langle \text{finite } A \rangle$   
**by** (*metis deadlock-free-GlobalNdet-iff finite-GlobalNdet-is-MultiNdet that (metis deadlock-free<sub>SKIP</sub>-GlobalNdet-iff finite-GlobalNdet-is-MultiNdet that)*)

**lemma** *deadlock-free-MultiDet*:  
 $\langle \llbracket A \neq \{\}; \text{finite } A; \forall a \in A. \text{deadlock-free} (P a) \rrbracket \Longrightarrow \text{deadlock-free} (\sqcap a \in A. P a) \rangle$   
**and** *deadlock-free<sub>SKIP</sub>-MultiDet*:  
 $\langle \llbracket A \neq \{\}; \text{finite } A; \forall a \in A. \text{deadlock-free}_{SKIP} (P a) \rrbracket \Longrightarrow \text{deadlock-free}_{SKIP} (\sqcap a \in A. P a) \rangle$   
**by** (*metis deadlock-free-MultiNdet-iff deadlock-free-def mono-MultiNdet-MultiDet-FD trans-FD (metis deadlock-free<sub>SKIP</sub>-FD deadlock-free<sub>SKIP</sub>-MultiNdet-iff mono-MultiNdet-MultiDet-FD trans-FD)*)

**lemma** *deadlock-free-Det*:  
 $\langle \text{deadlock-free } P \Longrightarrow \text{deadlock-free } Q \Longrightarrow \text{deadlock-free } (P \sqcap Q) \rangle$   
**and** *deadlock-free<sub>SKIP</sub>-Det*:  
 $\langle \text{deadlock-free}_{SKIP} P \Longrightarrow \text{deadlock-free}_{SKIP} Q \Longrightarrow \text{deadlock-free}_{SKIP} (P \sqcap Q) \rangle$   
**by** (*meson deadlock-free-Ndet deadlock-free-def mono-Ndet-Det-FD trans-FD (metis deadlock-free<sub>SKIP</sub>-FD deadlock-free<sub>SKIP</sub>-Ndet-iff mono-Ndet-Det-FD trans-FD)*)

For  $P \sqcap Q$ , we can not expect more:

**lemma**  
 $\langle \exists P Q. \text{deadlock-free } P \wedge \neg \text{deadlock-free } Q \wedge \text{deadlock-free } (P \sqcap Q) \rangle$   
 $\langle \exists P Q. \text{deadlock-free}_{SKIP} P \wedge \neg \text{deadlock-free}_{SKIP} Q \wedge \text{deadlock-free}_{SKIP} (P \sqcap Q) \rangle$   
**by** (*metis Det-STOP deadlock-free-def idem-FD non-deadlock-free-STOP (metis Det-STOP deadlock-free<sub>SKIP</sub>-FD idem-FD non-deadlock-free<sub>SKIP</sub>-STOP)*)



**lemma** *FD-Mndetprefix-iff*:

$\langle A \neq \{\} \implies P \sqsubseteq_{FD} \sqcap a \in A \rightarrow Q \longleftrightarrow (\forall a \in A. P \sqsubseteq_{FD} (a \rightarrow Q)) \rangle$   
**by** (*auto simp: failure-divergence-refine-def le-ref-def subset-iff*  
*D-Mndetprefix F-Mndetprefix write0-def D-Mprefix F-Mprefix*) *blast*

**lemma** *Mndetprefix-FD*:  $\langle (\exists a \in A. (a \rightarrow Q) \sqsubseteq_{FD} P) \implies \sqcap a \in A \rightarrow Q \sqsubseteq_{FD} P \rangle$   
**by** (*meson Mndetprefix-refine-FD failure-divergence-refine-def trans-FD*)

*Mprefix, Sync and deadlock-free*

**lemma** *Mprefix-Sync-deadlock-free*:

**assumes** *not-all-empty*:  $\langle A \neq \{\} \vee B \neq \{\} \vee A' \cap B' \neq \{\} \rangle$   
**and**  $\langle A \cap S = \{\} \rangle$  **and**  $\langle A' \subseteq S \rangle$  **and**  $\langle B \cap S = \{\} \rangle$  **and**  $\langle B' \subseteq S \rangle$   
**and**  $\langle \forall x \in A. \text{deadlock-free } (P \ x \llbracket S \rrbracket \text{ Mprefix } (B \cup B') \ Q) \rangle$   
**and**  $\langle \forall y \in B. \text{deadlock-free } (\text{Mprefix } (A \cup A') \ P \llbracket S \rrbracket \ Q \ y) \rangle$   
**and**  $\langle \forall x \in A' \cap B'. \text{deadlock-free } ((P \ x \llbracket S \rrbracket \ Q \ x)) \rangle$   
**shows**  $\langle \text{deadlock-free } (\text{Mprefix } (A \cup A') \ P \llbracket S \rrbracket \text{ Mprefix } (B \cup B') \ Q) \rangle$

**proof** –

**have**  $\langle A = \{\} \wedge B \neq \{\} \wedge A' \cap B' \neq \{\} \vee A \neq \{\} \wedge B = \{\} \wedge A' \cap B' = \{\} \vee$   
 $A \neq \{\} \wedge B = \{\} \wedge A' \cap B' \neq \{\} \vee A = \{\} \wedge B \neq \{\} \wedge A' \cap B' = \{\} \vee$   
 $A \neq \{\} \wedge B \neq \{\} \wedge A' \cap B' = \{\} \vee A = \{\} \wedge B = \{\} \wedge A' \cap B' \neq \{\} \vee$   
 $A \neq \{\} \wedge B \neq \{\} \wedge A' \cap B' \neq \{\} \rangle$  **by** (*meson not-all-empty*)

**thus** *?thesis*

**apply** (*subst Mprefix-Sync-distr; simp add: assms Mprefix-STOP*)  
**by** (*metis (no-types, lifting) Det-STOP Det-commute Mprefix-STOP*  
*assms(6, 7, 8) deadlock-free-Det deadlock-free-Mprefix-iff*)

**qed**

**lemmas** *Mprefix-Sync-subset-deadlock-free = Mprefix-Sync-deadlock-free*

[**where**  $A = \langle \{\} \rangle$  **and**  $B = \langle \{\} \rangle$ , *simplified*]

**and** *Mprefix-Sync-indep-deadlock-free = Mprefix-Sync-deadlock-free*

[**where**  $A' = \langle \{\} \rangle$  **and**  $B' = \langle \{\} \rangle$ , *simplified*]

**and** *Mprefix-Sync-right-deadlock-free = Mprefix-Sync-deadlock-free*

[**where**  $A = \langle \{\} \rangle$  **and**  $B' = \langle \{\} \rangle$ , *simplified*]

**and** *Mprefix-Sync-left-deadlock-free = Mprefix-Sync-deadlock-free*

[**where**  $A' = \langle \{\} \rangle$  **and**  $B = \langle \{\} \rangle$ , *simplified*]

### 13.3 Results on Renaming

The *Renaming* operator is new (release of 2023), so here are its properties on reference processes from *HOL-CSP.Assertions*, and deadlock notion.

#### 13.3.1 Behaviour with References Processes

For *DF*

**lemma** *DF-FD-Renaming-DF*:  $\langle DF (f \text{ ' } A) \sqsubseteq_{FD} Renaming (DF A) f \rangle$   
**proof** (*subst DF-def, induct rule: fix-ind*)  
  **show**  $\langle adm (\lambda a. a \sqsubseteq_{FD} Renaming (DF A) f) \rangle$  **by** (*simp add: monofun-def*)  
**next**  
  **show**  $\langle \perp \sqsubseteq_{FD} Renaming (DF A) f \rangle$  **by** *simp*  
**next**  
  **show**  $\langle (\Lambda x. \Pi a \in f \text{ ' } A \rightarrow x) \cdot x \sqsubseteq_{FD} Renaming (DF A) f \rangle$   
  **if**  $\langle x \sqsubseteq_{FD} Renaming (DF A) f \rangle$  **for**  $x$   
  **apply** *simp*  
  **apply** (*subst DF-unfold*)  
  **apply** (*subst Renaming-Mndetprefix*)  
  **by** (*rule mono-Mndetprefix-FD[rule-format, OF that]*)  
**qed**

**lemma** *Renaming-DF-FD-DF*:  $\langle Renaming (DF A) f \sqsubseteq_{FD} DF (f \text{ ' } A) \rangle$   
  **if** *finitary*:  $\langle finitary f \rangle$   
**proof** (*subst DF-def, induct rule: fix-ind*)  
  **show**  $\langle adm (\lambda a. Renaming a f \sqsubseteq_{FD} DF (f \text{ ' } A)) \rangle$   
  **by** (*simp add: finitary monofun-def*)  
**next**  
  **show**  $\langle Renaming \perp f \sqsubseteq_{FD} DF (f \text{ ' } A) \rangle$  **by** (*simp add: Renaming-BOT*)  
**next**  
  **show**  $\langle Renaming ((\Lambda x. \Pi a \in A \rightarrow x) \cdot x) f \sqsubseteq_{FD} DF (f \text{ ' } A) \rangle$   
  **if**  $\langle Renaming x f \sqsubseteq_{FD} DF (f \text{ ' } A) \rangle$  **for**  $x$   
  **apply** *simp*  
  **apply** (*subst Renaming-Mndetprefix*)  
  **apply** (*subst DF-unfold*)  
  **by** (*rule mono-Mndetprefix-FD[rule-format, OF that]*)  
**qed**

For  $DF_{SKIP}$

**lemma** *DF<sub>SKIP</sub>-FD-Renaming-DF<sub>SKIP</sub>*:  
 $\langle DF_{SKIP} (f \text{ ' } A) \sqsubseteq_{FD} Renaming (DF_{SKIP} A) f \rangle$   
**proof** (*subst DF<sub>SKIP</sub>-def, induct rule: fix-ind*)  
  **show**  $\langle adm (\lambda a. a \sqsubseteq_{FD} Renaming (DF_{SKIP} A) f) \rangle$  **by** (*simp add: monofun-def*)  
**next**  
  **show**  $\langle \perp \sqsubseteq_{FD} Renaming (DF_{SKIP} A) f \rangle$  **by** *simp*  
**next**  
  **show**  $\langle (\Lambda x. (\Pi a \in f \text{ ' } A \rightarrow x) \sqcap SKIP) \cdot x \sqsubseteq_{FD} Renaming (DF_{SKIP} A) f \rangle$   
  **if**  $\langle x \sqsubseteq_{FD} Renaming (DF_{SKIP} A) f \rangle$  **for**  $x$   
  **apply** *simp*  
  **apply** (*subst DF<sub>SKIP</sub>-unfold*)  
  **apply** (*simp add: Renaming-Ndet Renaming-SKIP*)  
  **apply** (*subst Renaming-Mndetprefix*)  
  **apply** (*rule mono-Ndet-FD [OF - idem-FD]*)  
  **by** (*rule mono-Mndetprefix-FD[rule-format, OF that]*)  
**qed**

**lemma** *Renaming-DF<sub>SKIP</sub>-FD-DF<sub>SKIP</sub>*:

$\langle \text{Renaming } (DF_{SKIP} A) f \sqsubseteq_{FD} DF_{SKIP} (f ' A) \rangle$   
**if** *finitary*:  $\langle \text{finitary } f \rangle$   
**proof** (*subst*  $DF_{SKIP}$ -def, *induct rule*: *fix-ind*)  
**show**  $\langle \text{adm } (\lambda a. \text{Renaming } a f \sqsubseteq_{FD} DF_{SKIP} (f ' A)) \rangle$   
**by** (*simp add*: *finitary monofun-def*)  
**next**  
**show**  $\langle \text{Renaming } \perp f \sqsubseteq_{FD} DF_{SKIP} (f ' A) \rangle$  **by** (*simp add*: *Renaming-BOT*)  
**next**  
**show**  $\langle \text{Renaming } ((\Lambda x. (\Box a \in A \rightarrow x) \sqcap SKIP) \cdot x) f \sqsubseteq_{FD} DF_{SKIP} (f ' A) \rangle$   
**if**  $\langle \text{Renaming } x f \sqsubseteq_{FD} DF_{SKIP} (f ' A) \rangle$  **for**  $x$   
**apply** *simp*  
**apply** (*simp add*: *Renaming-Ndet Renaming-SKIP*)  
**apply** (*subst Renaming-Mndetprefix*)  
**apply** (*subst DF\_{SKIP-unfold}*)  
**apply** (*rule mono-Ndet-FD [OF - idem-FD]*)  
**by** (*rule mono-Mndetprefix-FD*[*rule-format, OF that*])  
**qed**

For *RUN*

**lemma** *RUN-FD-Renaming-RUN*:  $\langle \text{RUN } (f ' A) \sqsubseteq_{FD} \text{Renaming } (RUN A) f \rangle$   
**proof** (*subst* *RUN-def*, *induct rule*: *fix-ind*)  
**show**  $\langle \text{adm } (\lambda a. a \sqsubseteq_{FD} \text{Renaming } (RUN A) f) \rangle$  **by** (*simp add*: *monofun-def*)  
**next**  
**show**  $\langle \perp \sqsubseteq_{FD} \text{Renaming } (RUN A) f \rangle$  **by** *simp*  
**next**  
**show**  $\langle (\Lambda x. \Box a \in f ' A \rightarrow x) \cdot x \sqsubseteq_{FD} \text{Renaming } (RUN A) f \rangle$   
**if**  $\langle x \sqsubseteq_{FD} \text{Renaming } (RUN A) f \rangle$  **for**  $x$   
**apply** *simp*  
**apply** (*subst RUN-unfold*)  
**apply** (*subst Renaming-Mprefix*)  
**by** (*rule mono-Mprefix-FD*[*rule-format, OF that*])  
**qed**

**lemma** *Renaming-RUN-FD-RUN*:  $\langle \text{Renaming } (RUN A) f \sqsubseteq_{FD} \text{RUN } (f ' A) \rangle$   
**if** *finitary*:  $\langle \text{finitary } f \rangle$   
**proof** (*subst* *RUN-def*, *induct rule*: *fix-ind*)  
**show**  $\langle \text{adm } (\lambda a. \text{Renaming } a f \sqsubseteq_{FD} \text{RUN } (f ' A)) \rangle$   
**by** (*simp add*: *finitary monofun-def*)  
**next**  
**show**  $\langle \text{Renaming } \perp f \sqsubseteq_{FD} \text{RUN } (f ' A) \rangle$  **by** (*simp add*: *Renaming-BOT*)  
**next**  
**show**  $\langle \text{Renaming } ((\Lambda x. \Box a \in A \rightarrow x) \cdot x) f \sqsubseteq_{FD} \text{RUN } (f ' A) \rangle$   
**if**  $\langle \text{Renaming } x f \sqsubseteq_{FD} \text{RUN } (f ' A) \rangle$  **for**  $x$   
**apply** *simp*  
**apply** (*subst Renaming-Mprefix*)  
**apply** (*subst RUN-unfold*)  
**by** (*rule mono-Mprefix-FD*[*rule-format, OF that*])  
**qed**

For *CHAOS*

**lemma** *CHAOS-FD-Renaming-CHAOS*:  
 $\langle \text{CHAOS } (f \text{ ' } A) \sqsubseteq_{FD} \text{Renaming } (\text{CHAOS } A) f \rangle$   
**proof** (*subst CHAOS-def, induct rule: fix-ind*)  
**show**  $\langle \text{adm } (\lambda a. a \sqsubseteq_{FD} \text{Renaming } (\text{CHAOS } A) f) \rangle$  **by** (*simp add: monofun-def*)  
**next**  
**show**  $\langle \perp \sqsubseteq_{FD} \text{Renaming } (\text{CHAOS } A) f \rangle$  **by** *simp*  
**next**  
**show**  $\langle (\Lambda x. \text{STOP} \sqcap (\Box a \in f \text{ ' } A \rightarrow x)) \cdot x \sqsubseteq_{FD} \text{Renaming } (\text{CHAOS } A) f \rangle$   
**if**  $\langle x \sqsubseteq_{FD} \text{Renaming } (\text{CHAOS } A) f \rangle$  **for**  $x$   
**apply** *simp*  
**apply** (*subst CHAOS-unfold*)  
**apply** (*simp add: Renaming-Ndet Renaming-STOP*)  
**apply** (*rule mono-Ndet-FD[OF idem-FD]*)  
**apply** (*subst Renaming-Mprefix*)  
**by** (*rule mono-Mprefix-FD[rule-format, OF that]*)  
**qed**

**lemma** *Renaming-CHAOS-FD-CHAOS*:  
 $\langle \text{Renaming } (\text{CHAOS } A) f \sqsubseteq_{FD} \text{CHAOS } (f \text{ ' } A) \rangle$   
**if** *finitary*:  $\langle \text{finitary } f \rangle$   
**proof** (*subst CHAOS-def, induct rule: fix-ind*)  
**show**  $\langle \text{adm } (\lambda a. \text{Renaming } a f \sqsubseteq_{FD} \text{CHAOS } (f \text{ ' } A)) \rangle$   
**by** (*simp add: finitary monofun-def*)  
**next**  
**show**  $\langle \text{Renaming } \perp f \sqsubseteq_{FD} \text{CHAOS } (f \text{ ' } A) \rangle$  **by** (*simp add: Renaming-BOT*)  
**next**  
**show**  $\langle \text{Renaming } ((\Lambda x. \text{STOP} \sqcap (\Box xa \in A \rightarrow x)) \cdot x) f \sqsubseteq_{FD} \text{CHAOS } (f \text{ ' } A) \rangle$   
**if**  $\langle \text{Renaming } x f \sqsubseteq_{FD} \text{CHAOS } (f \text{ ' } A) \rangle$  **for**  $x$   
**apply** *simp*  
**apply** (*simp add: Renaming-Ndet Renaming-STOP*)  
**apply** (*subst CHAOS-unfold*)  
**apply** (*subst Renaming-Mprefix*)  
**apply** (*rule mono-Ndet-FD[OF idem-FD]*)  
**by** (*rule mono-Mprefix-FD[rule-format, OF that]*)  
**qed**

For *CHAOS<sub>SKIP</sub>*

**lemma** *CHAOS<sub>SKIP</sub>-FD-Renaming-CHAOS<sub>SKIP</sub>*:  
 $\langle \text{CHAOS}_{SKIP} (f \text{ ' } A) \sqsubseteq_{FD} \text{Renaming } (\text{CHAOS}_{SKIP} A) f \rangle$   
**proof** (*subst CHAOS<sub>SKIP</sub>-def, induct rule: fix-ind*)  
**show**  $\langle \text{adm } (\lambda a. a \sqsubseteq_{FD} \text{Renaming } (\text{CHAOS}_{SKIP} A) f) \rangle$   
**by** (*simp add: monofun-def*)  
**next**  
**show**  $\langle \perp \sqsubseteq_{FD} \text{Renaming } (\text{CHAOS}_{SKIP} A) f \rangle$  **by** *simp*  
**next**  
**show**  $\langle (\Lambda x. \text{SKIP} \sqcap \text{STOP} \sqcap (\Box xa \in f \text{ ' } A \rightarrow x)) \cdot x \sqsubseteq_{FD} \text{Renaming } (\text{CHAOS}_{SKIP} A) f \rangle$   
**if**  $\langle x \sqsubseteq_{FD} \text{Renaming } (\text{CHAOS}_{SKIP} A) f \rangle$  **for**  $x$   
**apply** *simp*

**apply** (*subst CHAOS<sub>SKIP</sub>-unfold*)  
**apply** (*simp add: Renaming-Ndet Renaming-STOP Renaming-SKIP*)  
**apply** (*rule mono-Ndet-FD[OF idem-FD]*)  
**apply** (*subst Renaming-Mprefix*)  
**by** (*rule mono-Mprefix-FD[rule-format, OF that]*)  
**qed**

**lemma** *Renaming-CHAOS<sub>SKIP</sub>-FD-CHAOS<sub>SKIP</sub>*:  
 $\langle \text{Renaming } (CHAOS_{SKIP} A) f \sqsubseteq_{FD} CHAOS_{SKIP} (f ' A) \rangle$   
**if** *finitary*:  $\langle \text{finitary } f \rangle$   
**proof** (*subst CHAOS<sub>SKIP</sub>-def, induct rule: fix-ind*)  
**show**  $\langle \text{adm } (\lambda a. \text{Renaming } a f \sqsubseteq_{FD} CHAOS_{SKIP} (f ' A)) \rangle$   
**by** (*simp add: finitary monofun-def*)  
**next**  
**show**  $\langle \text{Renaming } \perp f \sqsubseteq_{FD} CHAOS_{SKIP} (f ' A) \rangle$  **by** (*simp add: Renaming-BOT*)  
**next**  
**show**  $\langle \text{Renaming } ((\Lambda x. SKIP \sqcap STOP \sqcap (\Box xa \in A \rightarrow x)) \cdot x) f \sqsubseteq_{FD} CHAOS_{SKIP} (f ' A) \rangle$   
**if**  $\langle \text{Renaming } x f \sqsubseteq_{FD} CHAOS_{SKIP} (f ' A) \rangle$  **for**  $x$   
**apply** *simp*  
**apply** (*simp add: Renaming-Ndet Renaming-STOP Renaming-SKIP*)  
**apply** (*subst CHAOS<sub>SKIP</sub>-unfold*)  
**apply** (*subst Renaming-Mprefix*)  
**apply** (*rule mono-Ndet-FD[OF idem-FD]*)  
**by** (*rule mono-Mprefix-FD[rule-format, OF that]*)  
**qed**

### 13.3.2 Corollaries on deadlock-free and deadlock-free<sub>SKIP</sub>

**lemmas** *Renaming-DF =*  
 $FD\text{-antisym}[OF \text{Renaming-DF-FD-DF } DF\text{-FD-Renaming-DF}]$   
**and** *Renaming-DF<sub>SKIP</sub> =*  
 $FD\text{-antisym}[OF \text{Renaming-DF}_{SKIP}\text{-FD-DF}_{SKIP} \text{DF}_{SKIP}\text{-FD-Renaming-DF}_{SKIP}]$   
**and** *Renaming-RUN =*  
 $FD\text{-antisym}[OF \text{Renaming-RUN-FD-RUN } RUN\text{-FD-Renaming-RUN}]$   
**and** *Renaming-CHAOS =*  
 $FD\text{-antisym}[OF \text{Renaming-CHAOS-FD-CHAOS } CHAOS\text{-FD-Renaming-CHAOS}]$   
**and** *Renaming-CHAOS<sub>SKIP</sub> =*  
 $FD\text{-antisym}[OF \text{Renaming-CHAOS}_{SKIP}\text{-FD-CHAOS}_{SKIP} \text{CHAOS}_{SKIP}\text{-FD-Renaming-CHAOS}_{SKIP}]$

**lemma** *deadlock-free-imp-deadlock-free-Renaming*:  $\langle \text{deadlock-free } (\text{Renaming } P f) \rangle$   
**if**  $\langle \text{deadlock-free } P \rangle$   
**apply** (*rule DF-Univ-freeness[of  $\langle \text{range } f \rangle$ , simp]*)  
**apply** (*rule trans-FD[OF DF-FD-Renaming-DF]*)  
**apply** (*rule mono-Renaming-FD*)  
**by** (*rule that[unfolded deadlock-free-def]*)

**lemma** *deadlock-free-Renaming-imp-deadlock-free*:  $\langle \text{deadlock-free } P \rangle$   
**if**  $\langle \text{inj } f \rangle$  **and**  $\langle \text{deadlock-free } (\text{Renaming } P f) \rangle$   
**apply** (*subst Renaming-inv*[*OF that*(1), *symmetric*])  
**apply** (*rule deadlock-free-imp-deadlock-free-Renaming*)  
**by** (*rule that*(2))

**corollary** *deadlock-free-Renaming-iff*:  
 $\langle \text{inj } f \implies \text{deadlock-free } (\text{Renaming } P f) \iff \text{deadlock-free } P \rangle$   
**using** *deadlock-free-Renaming-imp-deadlock-free*  
*deadlock-free-imp-deadlock-free-Renaming* **by** *blast*

**lemma** *deadlock-free<sub>SKIP</sub>-imp-deadlock-free<sub>SKIP</sub>-Renaming*:  
 $\langle \text{deadlock-free}_{\text{SKIP}} (\text{Renaming } P f) \rangle$  **if**  $\langle \text{deadlock-free}_{\text{SKIP}} P \rangle$   
**unfolding** *deadlock-free<sub>SKIP</sub>-FD*  
**apply** (*rule trans-FD*[*OF DF<sub>SKIP</sub>-subset-FD*[*of*  $\langle \text{range } f \rangle$ ]], *simp-all*)  
**apply** (*rule trans-FD*[*OF DF<sub>SKIP</sub>-FD-Renaming-DF<sub>SKIP</sub>*])  
**by** (*rule mono-Renaming-FD*[*OF that*[*unfolded deadlock-free<sub>SKIP</sub>-FD*]])

**lemma** *deadlock-free<sub>SKIP</sub>-Renaming-imp-deadlock-free<sub>SKIP</sub>*:  
 $\langle \text{deadlock-free}_{\text{SKIP}} P \rangle$  **if**  $\langle \text{inj } f \rangle$  **and**  $\langle \text{deadlock-free}_{\text{SKIP}} (\text{Renaming } P f) \rangle$   
**apply** (*subst Renaming-inv*[*OF that*(1), *symmetric*])  
**apply** (*rule deadlock-free<sub>SKIP</sub>-imp-deadlock-free<sub>SKIP</sub>-Renaming*)  
**by** (*rule that*(2))

**corollary** *deadlock-free<sub>SKIP</sub>-Renaming-iff*:  
 $\langle \text{inj } f \implies \text{deadlock-free}_{\text{SKIP}} (\text{Renaming } P f) \iff \text{deadlock-free}_{\text{SKIP}} P \rangle$   
**using** *deadlock-free<sub>SKIP</sub>-Renaming-imp-deadlock-free<sub>SKIP</sub>*  
*deadlock-free<sub>SKIP</sub>-imp-deadlock-free<sub>SKIP</sub>-Renaming* **by** *blast*

## 13.4 Big Results

### 13.4.1 Interesting Equivalence

**lemma** *deadlock-free-of-Sync-iff-DF-FD-DF-Sync-DF*:  
 $\langle (\forall P Q. \text{deadlock-free } (P :: 'a \text{ process}) \longrightarrow \text{deadlock-free } Q \longrightarrow$   
 $\text{deadlock-free } (P \llbracket S \rrbracket Q))$   
 $\iff (DF (UNIV :: 'a \text{ set}) \sqsubseteq_{FD} (DF UNIV \llbracket S \rrbracket DF UNIV)) \rangle$  (**is**  $\langle ?lhs \iff ?rhs \rangle$ )

**proof** (*rule iffI*)  
**assume** *?lhs*  
**show** *?rhs* **by** (*fold deadlock-free-def*, *rule*  $\langle ?lhs \rangle$ [*rule-format*])  
*(simp-all add: deadlock-free-def)*  
**next**  
**assume** *?rhs*  
**show** *?lhs* **unfolding** *deadlock-free-def*  
**by** (*intro allI impI trans-FD*[*OF*  $\langle ?rhs \rangle$ ]) (*rule mono-Sync-FD*)  
**qed**

From this general equivalence on *Sync*, we immediately obtain the equivalence on  $A \parallel B$ :  $(\forall P Q. \text{deadlock-free } P \longrightarrow \text{deadlock-free } Q \longrightarrow \text{deadlock-free } (P \parallel Q)) = DF \text{ UNIV} \sqsubseteq_{FD} DF \text{ UNIV} \parallel DF \text{ UNIV}$ .

### 13.4.2 STOP and SKIP Synchronized with DF A

**lemma** *DF-FD-DF-Sync-STOP-or-SKIP-iff*:

$\langle (DF A \sqsubseteq_{FD} DF A \llbracket S \rrbracket P) \longleftrightarrow A \cap S = \{\} \rangle$   
 if *P-disj*:  $\langle P = STOP \vee P = SKIP \rangle$

**proof**

**{ assume** *a1*:  $\langle DF A \sqsubseteq_{FD} DF A \llbracket S \rrbracket P \rangle$  **and** *a2*:  $\langle A \cap S \neq \{\} \rangle$   
**from** *a2* **obtain** *x* **where** *f1*:  $\langle x \in A \rangle$  **and** *f2*:  $\langle x \in S \rangle$  **by** *blast*  
**have**  $\langle DF A \llbracket S \rrbracket P \sqsubseteq_{FD} DF \{x\} \llbracket S \rrbracket P \rangle$   
**by** (*intro mono-Sync-FD*[*OF - idem-FD*]) (*simp add: DF-subset f1*)  
**also have**  $\langle \dots = STOP \rangle$   
**apply** (*subst DF-unfold*)  
**using** *P-disj*  
**apply** (*rule disjE; simp add: Mndetprefix-unit*)  
**apply** (*simp add: write0-def, subst Mprefix-STOP*[*symmetric*],  
*subst Mprefix-Sync-distr-right, (simp-all add: f2 Mprefix-STOP)*[*β*])  
**by** (*subst DF-unfold, simp add: Mndetprefix-unit f2 prefix-Sync-SKIP2*)  
**finally have** *False*  
**by** (*metis DF-Univ-freeness a1 empty-not-insert f1*  
*insert-absorb non-deadlock-free-STOP trans-FD*)  
**}**  
**thus**  $\langle DF A \sqsubseteq_{FD} DF A \llbracket S \rrbracket P \implies A \cap S = \{\} \rangle$  **by** *blast*

**next**

**have** *D-P*:  $\langle \mathcal{D} P = \{\} \rangle$  **using** *D-SKIP D-STOP P-disj* **by** *blast*  
**note** *F-T-P* = *F-STOP T-STOP F-SKIP D-SKIP*

**{ assume** *a1*:  $\langle \neg DF A \sqsubseteq_{FD} DF A \llbracket S \rrbracket P \rangle$  **and** *a2*:  $\langle A \cap S = \{\} \rangle$   
**have** *False*

**proof** (*cases*  $\langle A = \{\} \rangle$ )

**assume**  $\langle A = \{\} \rangle$

**with** *a1*[*unfolded DF-def*] **show** *False*

**by** (*simp add: fix-const*)

(*metis Sync-SKIP-STOP Sync-STOP-STOP Sync-commute idem-FD that*)

**next**

**assume** *a3*:  $\langle A \neq \{\} \rangle$

**have** *falsify*:  $\langle (a, (X \cup Y) \cap \text{insert tick } (ev \text{ ' } S) \cup X \cap Y) \notin \mathcal{F} (DF A) \implies$   
 $(t, X) \in \mathcal{F} (DF A) \implies (u, Y) \in \mathcal{F} P \implies$   
 $a \text{ setinterleaves } ((t, u), \text{insert tick } (ev \text{ ' } S)) \implies \text{False} \rangle$  **for** *a t u*

*X Y*

**proof** (*induct t arbitrary: a*)

**case** *Nil*

**show** *?case* **by** (*rule disjE*[*OF P-disj*], *insert Nil a2*)

(*subst (asm) F-DF, auto simp add: a3 F-T-P*)**+**

**next**

**case** (*Cons x t*)

**from** *Cons*(*t*) **have** *f1*:  $\langle u = [] \rangle$

```

apply (subst disjE[OF P-disj], simp-all add: F-T-P)
by (metis Cons(2, 3, 5) F-T Sync.sym TickLeftSync empty-iff
      ftf-Sync21 insertI1 nonTickFree-n-frontTickFree
      non-tickFree-tick process-charn tickFree-def tick-T-F)
from Cons(2, 3) show False
apply (subst (asm) (1 2) F-DF, auto simp add: a3)
by (metis Cons.hyps Cons.prem(3, 4) Sync.sym SyncTlEmpty
      emptyLeftProperty f1 list.distinct(1) list.sel(1, 3))
qed
from a1 show False
unfolding failure-divergence-refine-def le-ref-def
by (auto simp add: F-Sync D-Sync D-P div-free-DF subset-iff intro: falsify)
qed
}
thus  $\langle A \cap S = \{\} \implies DF A \sqsubseteq_{FD} DF A \llbracket S \rrbracket P \rangle$  by blast
qed

```

```

lemma DF-Sync-STOP-or-SKIP-FD-DF:  $\langle DF A \llbracket S \rrbracket P \sqsubseteq_{FD} DF A \rangle$ 
if P-disj:  $\langle P = STOP \vee P = SKIP \rangle$  and empty-inter:  $\langle A \cap S = \{\} \rangle$ 
proof (cases  $\langle A = \{\} \rangle$ )
from P-disj show  $\langle A = \{\} \implies DF A \llbracket S \rrbracket P \sqsubseteq_{FD} DF A \rangle$ 
by (rule disjE) (simp-all add: DF-def fix-const Sync-SKIP-STOP
      Sync-STOP-STOP Sync-commute)
next
assume  $\langle A \neq \{\} \rangle$ 
show ?thesis
proof (subst DF-def, induct rule: fix-ind)
show  $\langle adm (\lambda a. a \llbracket S \rrbracket P \sqsubseteq_{FD} DF A) \rangle$  by (simp add: cont2mono)
next
show  $\langle \perp \llbracket S \rrbracket P \sqsubseteq_{FD} DF A \rangle$  by (metis BOT-leFD Sync-BOT Sync-commute)
next
case (3 x)
have  $\langle (\bigwedge a \in A \rightarrow x) \llbracket S \rrbracket P \sqsubseteq_{FD} (a \rightarrow DF A) \rangle$  if  $\langle a \in A \rangle$  for a
apply (rule trans-FD[OF mono-Sync-FD
      [OF mono-Mndetprefix-FD-set
      [of  $\langle \{a \} \rangle$ , simplified, OF that] idem-FD]])
apply (rule disjE[OF P-disj], simp-all add: Mndetprefix-unit)
apply (subst Mprefix-Sync-distr-left
      [of  $\langle \{a \} \rangle - \langle \{\} \rangle \langle \lambda a. x \rangle$ ,
      simplified Mprefix-STOP, folded write0-def],
      (insert empty-inter that 3, auto)[3])
by (subst prefix-Sync-SKIP1, (insert empty-inter that 3, auto)[2])
thus ?case by (subst DF-unfold, subst FD-Mndetprefix-iff; simp add:  $\langle A \neq \{\} \rangle$ )
qed
qed

```



**lemmas**  $DF-FD-DF-Sync-STOP-iff =$   
 $DF-FD-DF-Sync-STOP-or-SKIP-iff$  [of  $STOP$ , *simplified*]  
**and**  $DF-FD-DF-Sync-SKIP-iff =$   
 $DF-FD-DF-Sync-STOP-or-SKIP-iff$  [of  $SKIP$ , *simplified*]  
**and**  $DF-Sync-STOP-FD-DF =$   
 $DF-Sync-STOP-or-SKIP-FD-DF$  [of  $STOP$ , *simplified*]  
**and**  $DF-Sync-SKIP-FD-DF =$   
 $DF-Sync-STOP-or-SKIP-FD-DF$  [of  $SKIP$ , *simplified*]

### 13.4.3 Finally, deadlock-free ( $P|||Q$ )

**theorem**  $DF-F-DF-Sync-DF: \langle DF (A \cup B::'\alpha \text{ set}) \sqsubseteq_F DF A \llbracket S \rrbracket DF B \rangle$   
**if**  $nonempty: \langle A \neq \{\} \wedge B \neq \{\} \rangle$   
**and**  $intersect-hyp: \langle B \cap S = \{\} \vee (\exists y. B \cap S = \{y\} \wedge A \cap S \subseteq \{y\}) \rangle$   
**unfolding**  $failure-refine-def$  **apply** ( $simp$  add:  $F-Sync$   $div-free-DF$ ,  $safe$ )

**proof** –

**fix**  $v t u X Y$

**assume**  $*$  :  $\langle (t, X) \in \mathcal{F} (DF A) \rangle \langle (u, Y) \in \mathcal{F} (DF B) \rangle$

$\langle v \text{ setinterleaves } ((t, u), \text{insert tick } (ev ' S)) \rangle$

**define**  $\beta$  **where**  $\beta \equiv (t, \text{insert tick } (ev ' S), u)$

**with**  $*$  **have**  $\langle fst \beta, X \rangle \in \mathcal{F} (DF A) \langle snd (snd \beta), Y \rangle \in \mathcal{F} (DF B)$

$\langle v \in \text{setinterleaving } \beta \rangle$  **by**  $simp-all$

**thus**  $\langle (v, (X \cup Y) \cap \text{insert tick } (ev ' S) \cup X \cap Y) \in \mathcal{F} (DF (A \cup B)) \rangle$

**apply** ( $subst F-DF$ ,  $simp$  add:  $nonempty$ )

**proof** ( $induct$  arbitrary:  $v$  rule:  $setinterleaving.induct$ )

**case** (1  $Z$ )

**hence**  $mt-a: \langle v = [] \rangle$  **using**  $emptyLeftProperty$  **by**  $blast$

**from**  $intersect-hyp$

**consider**  $\langle B \cap S = \{\} \rangle \mid \langle \exists y. B \cap S = \{y\} \wedge A \cap S \subseteq \{y\} \rangle$  **by**  $blast$

**thus**  $?case$

**proof**  $cases$

**case** 11: 1

**with** 1(2) **show**  $?thesis$  **by** ( $subst (asm) F-DF$ )

( $auto$   $simp$  add:  $nonempty mt-a$ )

**next**

**case** 12: 2

**then obtain**  $y$  **where**  $f12: \langle B \cap S = \{y\} \rangle$  **and**  $\langle A \cap S \subseteq \{y\} \rangle$  **by**  $blast$

**from**  $this(2)$  **consider**  $\langle A \cap S = \{\} \rangle \mid \langle A \cap S = \{y\} \rangle$  **by**  $blast$

**thus**  $?thesis$

**proof**  $cases$

**case** 121: 1

**with** 1(1) **show**  $?thesis$  **by** ( $subst (asm) F-DF$ )

( $auto$   $simp$  add:  $nonempty mt-a$ )

**next**

**case** 122: 2

**with** 1(1, 2)  $f12$   $nonempty mt-a mk-disjoint-insert$  **show**  $?thesis$

```

      by (subst (asm) (1 2) F-DF) (auto, fastforce)
    qed
  qed
next

case (2 Z y u)
have * : ⟨y ∉ Z⟩ ⟨([], X) ∈  $\mathcal{F}$  (DF A)⟩ ⟨(u, Y) ∈  $\mathcal{F}$  (DF B)⟩ ⟨v = y # u⟩
  using 2.prem1 Cons-F-DF by (auto simp add: emptyLeftProperty)
have ** : ⟨u setinterleaves (([], u), Z)⟩
  by (metis *(4) 2.prem3 SyncTLEmpty list.sel(3))
from 2.prem2 obtain b where *** : ⟨b ∈ B⟩ ⟨y = ev b⟩
  by (subst (asm) F-DF, simp split: if-split-asm) blast
show ?case
  apply (rule disjI2, rule-tac x = b in bexI)
  using 2.hyps[simplified, OF *(1, 2, 3) **]
  by (subst F-DF) (auto simp add: *(4) ***)
next

case (3 x t Z)
have * : ⟨x ∉ Z⟩ ⟨(t, X) ∈  $\mathcal{F}$  (DF A)⟩ ⟨([], Y) ∈  $\mathcal{F}$  (DF B)⟩ ⟨v = x # t⟩
  using 3.prem1 Cons-F-DF by (auto simp add: Sync.sym emptyLeftProperty)
have ** : ⟨t setinterleaves ((t, []), Z)⟩
  by (metis *(4) 3.prem3 Sync.sym SyncTLEmpty list.sel(3))
from 3.prem2 obtain a where *** : ⟨a ∈ A⟩ ⟨x = ev a⟩
  by (subst (asm) F-DF, simp split: if-split-asm) blast
show ?case
  apply (rule disjI1, rule-tac x = a in bexI)
  using 3.hyps[simplified, OF *(1, 2, 3) **]
  by (subst F-DF) (auto simp add: *(4) ***)
next

case (4 x t Z y u)
consider ⟨x ∈ Z⟩ ⟨y ∈ Z⟩ | ⟨x ∈ Z⟩ ⟨y ∉ Z⟩
  | ⟨x ∉ Z⟩ ⟨y ∈ Z⟩ | ⟨x ∉ Z⟩ ⟨y ∉ Z⟩ by blast
then show ?case
proof (cases)
  assume hyps : ⟨x ∈ Z⟩ ⟨y ∈ Z⟩
  obtain v' where * : ⟨x = y⟩ ⟨(t, X) ∈  $\mathcal{F}$  (DF A)⟩
    ⟨(u, Y) ∈  $\mathcal{F}$  (DF B)⟩ ⟨v = x # v'⟩
  using 4.prem1 Cons-F-DF by (simp add: hyps split: if-split-asm) blast
  have ** : ⟨v' setinterleaves ((t, u), Z)⟩
    using *(1, 4) 4.prem3 hyps(1) by force
  from 4.prem2 obtain a where *** : ⟨a ∈ A⟩ ⟨x = ev a⟩
    by (subst (asm) F-DF, simp split: if-split-asm) blast
  show ?case
    apply (rule disjI1, rule-tac x = a in bexI)
    using 4.hyps(1)[simplified, OF hyps(2) *(1, 2, 3) **]
    by (subst F-DF) (auto simp add: *(4) ***)
next

```

```

assume hyps:  $\langle x \in Z \rangle \langle y \notin Z \rangle$ 
obtain  $v'$  where  $*$  :  $\langle (x \# t, X) \in \mathcal{F} (DF A) \rangle \langle (u, Y) \in \mathcal{F} (DF B) \rangle$ 
       $\langle v = y \# v' \rangle \langle v' \text{ setinterleaves } ((x \# t, u), Z) \rangle$ 
  using  $4.\text{prems } Cons\text{-}F\text{-}DF$  by (simp add: hyps split: if-split-asm) blast
from  $4.\text{prems}(2)$   $4.\text{hyps}(2)$  [simplified, OF hyps *(1, 2, 4)]
show ?case by (subst (asm) F-DF, subst F-DF)
      (auto simp add: nonempty *(3))

next
assume hyps:  $\langle x \notin Z \rangle \langle y \in Z \rangle$ 
obtain  $v'$  where  $*$  :  $\langle (t, X) \in \mathcal{F} (DF A) \rangle \langle (y \# u, Y) \in \mathcal{F} (DF B) \rangle$ 
       $\langle v = x \# v' \rangle \langle v' \text{ setinterleaves } ((t, y \# u), Z) \rangle$ 
  using  $4.\text{prems } Cons\text{-}F\text{-}DF$  by (simp add: hyps split: if-split-asm) blast
from  $4.\text{prems}(1)$   $4.\text{hyps}(5)$  [simplified, OF hyps *(1, 2, 4)]
show ?case by (subst (asm) F-DF, subst F-DF)
      (auto simp add: nonempty *(3))

next
assume hyps:  $\langle x \notin Z \rangle \langle y \notin Z \rangle$ 
note  $f_4 = 4$  [simplified, simplified hyps, simplified]
from  $f_4(8)$  obtain  $v' v''$ 
  where  $\langle v = x \# v' \wedge v' \text{ setinterleaves } ((t, y \# u), Z) \vee$ 
       $v = y \# v'' \wedge v'' \text{ setinterleaves } ((x \# t, u), Z) \rangle$ 
      (is  $\langle ?left \vee ?right \rangle$ ) by blast
then consider  $\langle ?left \rangle \mid \langle ?right \rangle$  by fast
then show ?thesis
proof cases
  assume  $\langle ?left \rangle$ 
from  $f_4(6)$   $f_4(3)$  [OF f_4(6) [THEN Cons-F-DF] f_4(7)]
       $\langle ?left \rangle$  [THEN conjunct2]
show ?thesis by (subst (asm) F-DF, subst F-DF)
      (auto simp add:  $\langle ?left \rangle$  nonempty)

  next
  assume  $\langle ?right \rangle$ 
from  $f_4(7)$   $f_4(4)$  [OF f_4(6) f_4(7) [THEN Cons-F-DF]]
       $\langle ?right \rangle$  [THEN conjunct2]
show ?thesis by (subst (asm) F-DF, subst F-DF)
      (auto simp add:  $\langle ?right \rangle$  nonempty)

qed
qed
qed
qed

```

**lemma** *DF-FD-DF-Sync-DF*:

$\langle A \neq \{\} \wedge B \neq \{\} \implies B \cap S = \{\} \vee (\exists y. B \cap S = \{y\} \wedge A \cap S \subseteq \{y\}) \implies$   
 $DF (A \cup B) \sqsubseteq_{FD} DF A \llbracket S \rrbracket DF B \rangle$

**unfolding** *failure-divergence-refine-def le-ref-def*

**by** (*simp add: div-free-DF D-Sync*)

(*simp add: DF-F-DF-Sync-DF [unfolded failure-refine-def]*)

**theorem** *DF-FD-DF-Sync-DF-iff*:  
 $\langle DF (A \cup B) \sqsubseteq_{FD} DF A \llbracket S \rrbracket DF B \longleftrightarrow$   
 ( if  $A = \{\}$  then  $B \cap S = \{\}$   
 else if  $B = \{\}$  then  $A \cap S = \{\}$   
 else  $A \cap S = \{\} \vee (\exists a. A \cap S = \{a\} \wedge B \cap S \subseteq \{a\}) \vee$   
 $B \cap S = \{\} \vee (\exists b. B \cap S = \{b\} \wedge A \cap S \subseteq \{b\}) \rangle$   
 (is  $\langle ?FD-ref \longleftrightarrow$  ( if  $A = \{\}$  then  $B \cap S = \{\}$   
 else if  $B = \{\}$  then  $A \cap S = \{\}$   
 else  $?cases \rangle$ )

**proof** –

{ **assume**  $\langle A \neq \{\} \rangle$  **and**  $\langle B \neq \{\} \rangle$  **and**  $?FD-ref$  **and**  $\langle \neg ?cases \rangle$   
**from**  $\langle \neg ?cases \rangle$  *[simplified]*  
**obtain**  $a$  **and**  $b$  **where**  $\langle a \in A \rangle \langle a \in S \rangle \langle b \in B \rangle \langle b \in S \rangle \langle a \neq b \rangle$  **by** *blast*  
**have**  $\langle DF A \llbracket S \rrbracket DF B \sqsubseteq_{FD} (a \rightarrow DF A) \llbracket S \rrbracket (b \rightarrow DF B) \rangle$   
**by** (*intro mono-Sync-FD; subst DF-unfold,*  
*subst Mndetprefix-unit[symmetric], simp add:  $\langle a \in A \rangle \langle b \in B \rangle$* )  
**also have**  $\langle \dots = STOP \rangle$  **by** (*simp add:  $\langle a \in S \rangle \langle a \neq b \rangle \langle b \in S \rangle$  prefix-Sync1*)  
**finally have** *False*  
**by** (*metis DF-Univ-freeness Un-empty  $\langle A \neq \{\} \rangle$*   
*trans-FD[OF  $\langle ?FD-ref \rangle$ ] non-deadlock-free-STOP*)  
 }  
**thus** *?thesis*  
**apply** (*cases  $\langle A = \{\} \rangle$ , simp,*  
*metis DF-FD-DF-Sync-STOP-iff DF-unfold Sync-commute mt-Mndetprefix*)  
**apply** (*cases  $\langle B = \{\} \rangle$ , simp,*  
*metis DF-FD-DF-Sync-STOP-iff DF-unfold Sync-commute mt-Mndetprefix*)  
**by** (*metis Sync-commute Un-commute DF-FD-DF-Sync-DF*)

**qed**

**lemma**

$\langle (\forall a \in A. X a \cap S = \{\} \vee (\forall b \in A. \exists y. X a \cap S = \{y\} \wedge X b \cap S \subseteq \{y\}))$   
 $\longleftrightarrow (\forall a \in A. \forall b \in A. \exists y. (X a \cup X b) \cap S \subseteq \{y\}) \rangle$

— this is the reason we write *ugly\_hyp* this way

**apply** (*subst Int-Un-distrib2, auto*)  
**by** (*metis subset-insertI*) *blast*

**lemma** *DF-FD-DF-MultiSync-DF*:

$\langle DF (\bigcup x \in (\text{insert } a \ A). X x) \sqsubseteq_{FD} \llbracket S \rrbracket x \in \# \text{ mset-set } (\text{insert } a \ A). DF (X x) \rangle$   
**if** *fin*:  $\langle \text{finite } A \rangle$  **and** *nonempty*:  $\langle X a \neq \{\} \rangle \langle \forall b \in A. X b \neq \{\} \rangle$   
**and** *ugly-hyp*:  $\langle \forall b \in A. X b \cap S = \{\} \vee (\exists y. X b \cap S = \{y\} \wedge X a \cap S \subseteq \{y\}) \rangle$   
 $\langle \forall b \in A. \forall c \in A. \exists y. (X b \cup X c) \cap S \subseteq \{y\} \rangle$

**proof** –

**have**  $\langle DF (\bigcup (X \text{ ‘insert } a \ A)) \sqsubseteq_{FD} (\llbracket S \rrbracket x \in \# \text{ mset-set } (\text{insert } a \ A). DF (X x)) \wedge$

$(\forall b \in A. X b \cap S = \{\} \vee (\exists y. X b \cap S = \{y\} \wedge \bigcup (X \text{ 'insert a A}) \cap S \subseteq \{y\}))$

— We need to add this in our induction

**proof** (*induct rule: finite-subset-induct-singleton'*  
*[of a 'insert a A', simplified, OF fin,*  
*simplified subset-insertI, simplified]*)

**case 1**

**show** *?case by (simp add: ugly-hyp)*

**next**

**case**  $(2 b A')$

**show** *?case*

**proof** (*rule conjI; subst image-insert, subst Union-insert*)

**show**  $\langle DF (X b \cup \bigcup (X \text{ 'insert a A}')) \sqsubseteq_{FD}$

$\llbracket S \rrbracket a \in \# \text{mset-set (insert b (insert a A'))}. DF (X a) \rangle$

**apply** (*subst Un-commute*)

**apply** (*rule trans-FD[OF DF-FD-DF-Sync-DF[where S = S]]*)

**apply** (*simp add: 2.hyps(2) nonempty ugly-hyp(1)*)

**using** *2.hyps(2, 5)*

**apply** *blast*

**apply** (*subst Sync-commute,*

*rule trans-FD[OF mono-Sync-FD*

*[OF idem-FD 2.hyps(5)[THEN conjunct1]]])*

**by** (*simp add: 2.hyps(1, 4) mset-set-empty-iff*)

**next**

**show**  $\langle \forall c \in A. X c \cap S = \{\} \vee (\exists y. X c \cap S = \{y\} \wedge$

$(X b \cup \bigcup (X \text{ 'insert a A}')) \cap S \subseteq \{y\} \rangle$

**apply** (*subst Int-Un-distrib2, subst Un-subset-iff*)

**by** (*metis 2.hyps(2, 5) Int-Un-distrib2 Un-subset-iff*  
*subset-singleton-iff ugly-hyp(2)*)

**qed**

**qed**

**thus** *?thesis by (rule conjunct1)*

**qed**

**lemma** *DF-FD-DF-MultiSync-DF'*:

$\langle \llbracket \text{finite } A; \forall a \in A. X a \neq \{\}; \forall a \in A. \forall b \in A. \exists y. (X a \cup X b) \cap S \subseteq \{y\} \rrbracket$

$\implies DF (\bigcup a \in A. X a) \sqsubseteq_{FD} \llbracket S \rrbracket a \in \# \text{mset-set } A. DF (X a) \rangle$

**apply** (*cases A rule: finite.cases, assumption*)

**apply** (*metis DF-unfold MultiSync-rec0 UN-empty idem-FD*  
*mset-set.empty mt-Mndetprefix*)

**apply** *clarify*

**apply** (*rule DF-FD-DF-MultiSync-DF*)

**by** *simp-all (metis Int-Un-distrib2 Un-subset-iff subset-singleton-iff)*

**lemmas** *DF-FD-DF-MultiInter-DF =*

$DF-FD-DF-MultiSync-DF$  [where  $S = \langle \{\} \rangle$ , *simplified*]  
**and**  $DF-FD-DF-MultiPar-DF =$   
 $DF-FD-DF-MultiSync-DF$  [where  $S = UNIV$ , *simplified*]  
**and**  $DF-FD-DF-MultiPar-DF' =$   
 $DF-FD-DF-MultiSync-DF$  [where  $S = UNIV$ , *simplified*]

**lemma**  $\langle DF \{a\} = DF \{a\} \llbracket S \rrbracket STOP \longleftrightarrow a \notin S \rangle$   
**by** (*metis*  $DF-FD-DF-Sync-STOP$ -iff  $DF-Sync-STOP-FD-DF$  *Diff-disjoint*  
 $Diff-insert-absorb$   $FD-antisym$  *insert-disjoint*(2))

**lemma**  $\langle DF \{a\} \llbracket S \rrbracket STOP = STOP \longleftrightarrow a \in S \rangle$   
**by** (*metis*  $DF-FD-DF-Sync-STOP$ -iff  $DF-unfold$  *Diff-disjoint* *Sync-SKIP-STOP*  
 $Diff-insert-absorb$  *Mndetprefix-unit* *Sync-STOP-STOP*  
 $Sync-assoc$  *UNIV-I* *insert-disjoint*(2) *prefix-Sync-SKIP2*)

**corollary**  $DF-FD-DF-Inter-DF$ :  $\langle DF (A::'\alpha$  set)  $\sqsubseteq_{FD} DF A \parallel DF A \rangle$   
**by** (*metis*  $DF-FD-DF-Sync-DF$ -iff *inf-bot-right* *sup.idem*)

**corollary**  $DF-UNIV-FD-DF-UNIV-Inter-DF-UNIV$ :  
 $\langle DF UNIV \sqsubseteq_{FD} DF UNIV \parallel DF UNIV \rangle$   
**by** (*fact*  $DF-FD-DF-Inter-DF$ )

**corollary** *Inter-deadlock-free*:  
 $\langle deadlock-free P \implies deadlock-free Q \implies deadlock-free (P \parallel Q) \rangle$   
**using**  $DF-FD-DF-Inter-DF$  *deadlock-free-of-Sync-iff-DF-FD-DF-Sync-DF* **by** *blast*

**theorem** *MultiInter-deadlock-free*:  
 $\langle M \neq \{\#\} \implies \forall p \in \# M. deadlock-free (P p) \implies$   
 $deadlock-free (\parallel p \in \# M. P p) \rangle$

**proof** (*induct* rule: *mset-induct-nonempty*)

**case** (*m-singleton*  $a$ )

**thus** ?*case* **by** *simp*

**next**

**case** (*add*  $x$   $F$ )

**thus** ?*case* **using** *Inter-deadlock-free* **by** *auto*

**qed**

**end**

# Chapter 14

## Conclusion

In this session, we defined five architectural operators: *MultiDet*, *MultiNdet* and *GlobalNdet*, *MultiSync*, and *MultiSeq* as respective generalizations of  $P \sqcap Q$ ,  $P \sqcap Q$ ,  $P \llbracket S \rrbracket Q$ , and  $P ; Q$ .

We did this in a fully-abstract way, that is:

- $(\sqcap)$  is commutative, idempotent and admits *STOP* as a neutral element so we defined *MultiDet* on a *finite 'α set*  $A$  by making it equal to *STOP* when  $A = \emptyset$ .
- $(\sqcap)$  is also commutative and idempotent so we defined *MultiNdet* on a *finite 'α set*  $A$  by making it equal to *STOP* when  $A = \emptyset$ . Beware of the fact that *STOP* is not the neutral element for  $(\sqcap)$  (this operator does not admit a neutral element) so we **do not have** the equality

$$\sqcap p \in \{a\}. P p = P a \sqcap (\sqcap p \in \emptyset. P p)$$

while this holds for  $(\sqcap)$  and *MultiDet*.

As its failures and divergences can easily be generalized to the infinite case, we also defined *GlobalNdet* verifying

$$\text{finite } A \implies \sqcap p \in A. P p = \sqcap p \in A. P p$$

- *Sync* is commutative but is not idempotent so we defined *MultiSync* on a *'α multiset*  $M$  to keep the multiplicity of the processes. We made it equal to *STOP* when  $M = \{\#\}$  but like  $(\sqcap)$ , *Sync* does not admit a neutral element so beware of the fact that in general

$$\llbracket S \rrbracket p \in \#\{a\#\}. P p \neq P a \llbracket S \rrbracket (\llbracket S \rrbracket p \in \#\{#\}. P p)$$

- $(;)$  is neither commutative nor idempotent, so we defined *MultiSeq* on a *'α list*  $L$  to keep the multiplicity and the order of the processes. Since *SKIP* is the neutral element for  $(;)$ , we have

$$SEQ\ p \in @ [a].\ P\ p = (SEQ\ p \in @ [].\ P\ p) ; P\ a$$

$$SEQ\ p \in @ [a].\ P\ p = P\ a ; (SEQ\ p \in @ [].\ P\ p)$$

On our architectural operators we proved continuity (under weakest liberal assumptions), wrote refinements rules and obtained results about the behaviour with other operators inherited from the binary rules.

We presented two examples: Dining Philosophers, and POTS.

In both, we underlined the usefulness of the architectural operators for modeling complex systems.

Finally we provided powerful results on *events-of* and *deadlock-free* among which the most important is undoubtedly :

$$\llbracket M \neq \{\#\}; \forall p \in \#M. \text{deadlock-free} (P\ p) \rrbracket \implies \text{deadlock-free} (\lll p \in \#M. P\ p \rrl)$$

This theorem allows, for example, to establish:

$$0 < n \implies \text{deadlock-free} (\lll i \in \#mset [0..<n]. P\ i \rrl)$$

under the assumption that a family of processes parameterized by  $i :: nat$  verifies  $\forall i < n. \text{deadlock-free} (P\ i)$ .



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