

Gröbner Bases, Macaulay Matrices and Dubé's Degree Bounds

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Abstract

This entry formalizes the connection between Gröbner bases and Macaulay matrices (sometimes also referred to as ‘generalized Sylvester matrices’). In particular, it contains a method for computing Gröbner bases, which proceeds by first constructing some Macaulay matrix of the initial set of polynomials, then row-reducing this matrix, and finally converting the result back into a set of polynomials. The output is shown to be a Gröbner basis if the Macaulay matrix constructed in the first step is sufficiently large. In order to obtain concrete upper bounds on the size of the matrix (and hence turn the method into an effectively executable algorithm), Dubé’s degree bounds on Gröbner bases are utilized; consequently, they are also part of the formalization.

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1 Introduction

The formalization consists of two main parts:

- The connection between Gröbner bases and Macaulay matrices (or ‘generalized Sylvester matrices’), due to Wiesinger-Widi [4]. In particular, this includes a method for computing Gröbner bases via Macaulay matrices.
- Dubé’s upper bounds on the degrees of Gröbner bases [1]. These bounds are not only of theoretical interest, but are also necessary to turn the above-mentioned method for computing Gröbner bases into an actual algorithm.

For more information about this formalization, see the accompanying papers [2] (Dubé’s bound) and [3] (Macaulay matrices).

1.1 Future Work

This formalization could be extended by formalizing improved degree bounds for special input. For instance, Wiesinger-Widi in [4] obtains much smaller bounds if the initial set of polynomials only consists of two binomials.

2 Degree Sections of Power-Products

theory *Degree-Section*

imports *Polynomials.MPoly-PM*

begin

definition *deg-sect* :: 'x set \Rightarrow nat \Rightarrow ('x::countable \Rightarrow_0 nat) set
where *deg-sect* X d = .[X] \cap {t. *deg-pm* t = d}

definition *deg-le-sect* :: 'x set \Rightarrow nat \Rightarrow ('x::countable \Rightarrow_0 nat) set
where *deg-le-sect* X d = (\bigcup d0 \leq d. *deg-sect* X d0)

lemma *deg-sectI*: t \in .[X] \Longrightarrow *deg-pm* t = d \Longrightarrow t \in *deg-sect* X d
{*proof*}

lemma *deg-sectD*:

assumes t \in *deg-sect* X d

shows t \in .[X] **and** *deg-pm* t = d

{*proof*}

lemma *deg-le-sect-alt*: *deg-le-sect* X d = .[X] \cap {t. *deg-pm* t \leq d}
{*proof*}

lemma *deg-le-sectI*: t \in .[X] \Longrightarrow *deg-pm* t \leq d \Longrightarrow t \in *deg-le-sect* X d

$\langle \text{proof} \rangle$

lemma *deg-le-sectD*:

assumes $t \in \text{deg-le-sect } X \ d$

shows $t \in \cdot[X]$ **and** $\text{deg-pm } t \leq d$

$\langle \text{proof} \rangle$

lemma *deg-sect-zero [simp]*: $\text{deg-sect } X \ 0 = \{0\}$

$\langle \text{proof} \rangle$

lemma *deg-sect-empty*: $\text{deg-sect } \{\} \ d = (\text{if } d = 0 \text{ then } \{0\} \text{ else } \{\})$

$\langle \text{proof} \rangle$

lemma *deg-sect-singleton [simp]*: $\text{deg-sect } \{x\} \ d = \{\text{Poly-Mapping.single } x \ d\}$

$\langle \text{proof} \rangle$

lemma *deg-le-sect-zero [simp]*: $\text{deg-le-sect } X \ 0 = \{0\}$

$\langle \text{proof} \rangle$

lemma *deg-le-sect-empty [simp]*: $\text{deg-le-sect } \{\} \ d = \{0\}$

$\langle \text{proof} \rangle$

lemma *deg-le-sect-singleton*: $\text{deg-le-sect } \{x\} \ d = \text{Poly-Mapping.single } x \ \{\dots d\}$

$\langle \text{proof} \rangle$

lemma *deg-sect-mono*: $X \subseteq Y \implies \text{deg-sect } X \ d \subseteq \text{deg-sect } Y \ d$

$\langle \text{proof} \rangle$

lemma *deg-le-sect-mono-1*: $X \subseteq Y \implies \text{deg-le-sect } X \ d \subseteq \text{deg-le-sect } Y \ d$

$\langle \text{proof} \rangle$

lemma *deg-le-sect-mono-2*: $d1 \leq d2 \implies \text{deg-le-sect } X \ d1 \subseteq \text{deg-le-sect } X \ d2$

$\langle \text{proof} \rangle$

lemma *zero-in-deg-le-sect*: $0 \in \text{deg-le-sect } n \ d$

$\langle \text{proof} \rangle$

lemma *deg-sect-disjoint*: $d1 \neq d2 \implies \text{deg-sect } X \ d1 \cap \text{deg-sect } Y \ d2 = \{\}$

$\langle \text{proof} \rangle$

lemma *deg-le-sect-deg-sect-disjoint*: $d1 < d2 \implies \text{deg-le-sect } Y \ d1 \cap \text{deg-sect } X \ d2$

$= \{\}$

$\langle \text{proof} \rangle$

lemma *deg-sect-Suc*:

$\text{deg-sect } X \ (\text{Suc } d) = (\bigcup x \in X. (+) (\text{Poly-Mapping.single } x \ 1) \ \{\dots d\}) \ (\text{is } ?A = ?B)$

$\langle \text{proof} \rangle$

lemma *deg-sect-insert*:

$deg-sect (insert\ x\ X)\ d = (\bigcup d0 \leq d. (+) (Poly-Mapping.single\ x\ (d - d0))) \text{ ‘}$
 $deg-sect\ X\ d0)$
(is ?A = ?B)
<proof>

lemma *deg-le-sect-Suc*: $deg-le-sect\ X\ (Suc\ d) = deg-le-sect\ X\ d \cup deg-sect\ X\ (Suc\ d)$
<proof>

lemma *deg-le-sect-Suc-2*:

$deg-le-sect\ X\ (Suc\ d) = insert\ 0\ (\bigcup x \in X. (+) (Poly-Mapping.single\ x\ 1)) \text{ ‘}$
 $deg-le-sect\ X\ d)$
(is ?A = ?B)
<proof>

lemma *finite-deg-sect*:

assumes *finite X*
shows *finite ((deg-sect X d)::('x::countable \Rightarrow nat) set)*
<proof>

corollary *finite-deg-le-sect*: $finite\ X \implies finite\ ((deg-le-sect\ X\ d)::('x::countable \Rightarrow nat)\ set)$
<proof>

lemma *keys-subset-deg-le-sectI*:

assumes $p \in P[X]$ and *poly-deg p \leq d*
shows $keys\ p \subseteq deg-le-sect\ X\ d$
<proof>

lemma *binomial-symmetric-plus*: $(n + k)\ choose\ n = (n + k)\ choose\ k$
<proof>

lemma *card-deg-sect*:

assumes *finite X* and $X \neq \{\}$
shows $card\ (deg-sect\ X\ d) = (d + (card\ X - 1))\ choose\ (card\ X - 1)$
<proof>

corollary *card-deg-sect-Suc*:

assumes *finite X*
shows $card\ (deg-sect\ X\ (Suc\ d)) = (d + card\ X)\ choose\ (Suc\ d)$
<proof>

corollary *card-deg-le-sect*:

assumes *finite X*
shows $card\ (deg-le-sect\ X\ d) = (d + card\ X)\ choose\ card\ X$
<proof>

end

3 Utility Definitions and Lemmas about Degree Bounds for Gröbner Bases

theory *Degree-Bound-Utils*

imports *Groebner-Bases.Groebner-PM*

begin

context *pm-powerprod*

begin

definition *is-GB-cofactor-bound* :: $((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b::\text{field}) \text{ set} \Rightarrow \text{nat} \Rightarrow \text{bool}$
where *is-GB-cofactor-bound* $F b \longleftrightarrow$
 $(\exists G. \text{punit.is-Groebner-basis } G \wedge \text{ideal } G = \text{ideal } F \wedge (\bigcup g:G. \text{indets } g) \subseteq$
 $(\bigcup f:F. \text{indets } f) \wedge$
 $(\forall g \in G. \exists F' q. \text{finite } F' \wedge F' \subseteq F \wedge g = (\sum f \in F'. q f * f) \wedge (\forall f \in F'. \text{poly-deg}$
 $(q f * f) \leq b))$

definition *is-hom-GB-bound* :: $((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b::\text{field}) \text{ set} \Rightarrow \text{nat} \Rightarrow \text{bool}$
where *is-hom-GB-bound* $F b \longleftrightarrow ((\forall f \in F. \text{homogeneous } f) \longrightarrow (\forall g \in \text{punit.reduced-GB}$
 $F. \text{poly-deg } g \leq b))$

lemma *is-GB-cofactor-boundI*:

assumes *punit.is-Groebner-basis* G **and** *ideal* $G = \text{ideal } F$ **and** $\bigcup (\text{indets } 'G)$
 $\subseteq \bigcup (\text{indets } 'F)$
and $\bigwedge g. g \in G \implies \exists F' q. \text{finite } F' \wedge F' \subseteq F \wedge g = (\sum f \in F'. q f * f) \wedge$
 $(\forall f \in F'. \text{poly-deg } (q f * f) \leq b)$
shows *is-GB-cofactor-bound* $F b$
 $\langle \text{proof} \rangle$

lemma *is-GB-cofactor-boundE*:

fixes $F :: ((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b::\text{field}) \text{ set}$
assumes *is-GB-cofactor-bound* $F b$
obtains G **where** *punit.is-Groebner-basis* G **and** *ideal* $G = \text{ideal } F$ **and** $\bigcup (\text{indets}$
 $'G) \subseteq \bigcup (\text{indets } 'F)$
and $\bigwedge g. g \in G \implies \exists F' q. \text{finite } F' \wedge F' \subseteq F \wedge g = (\sum f \in F'. q f * f) \wedge$
 $(\forall f. \text{indets } (q f) \subseteq \bigcup (\text{indets } 'F) \wedge \text{poly-deg } (q f * f) \leq b \wedge$
 $(f \notin F' \longrightarrow q f = 0))$
 $\langle \text{proof} \rangle$

lemma *is-GB-cofactor-boundE-Polys*:

fixes $F :: ((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b::\text{field}) \text{ set}$
assumes *is-GB-cofactor-bound* $F b$ **and** $F \subseteq P[X]$
obtains G **where** *punit.is-Groebner-basis* G **and** *ideal* $G = \text{ideal } F$ **and** $G \subseteq$
 $P[X]$
and $\bigwedge g. g \in G \implies \exists F' q. \text{finite } F' \wedge F' \subseteq F \wedge g = (\sum f \in F'. q f * f) \wedge$
 $(\forall f. q f \in P[X] \wedge \text{poly-deg } (q f * f) \leq b \wedge (f \notin F' \longrightarrow q f$
 $= 0))$
 $\langle \text{proof} \rangle$

lemma *is-GB-cofactor-boundE-finite-Polys*:
fixes $F :: (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b::\text{field}) \text{ set}$
assumes *is-GB-cofactor-bound* F b **and** *finite* F **and** $F \subseteq P[X]$
obtains G **where** *punit.is-Groebner-basis* G **and** *ideal* $G = \text{ideal } F$ **and** $G \subseteq P[X]$
and $\bigwedge g. g \in G \implies \exists q. g = (\sum_{f \in F} q f * f) \wedge (\forall f. q f \in P[X] \wedge \text{poly-deg } (q f * f) \leq b)$
 $\langle \text{proof} \rangle$

lemma *is-GB-cofactor-boundI-subset-zero*:
assumes $F \subseteq \{0\}$
shows *is-GB-cofactor-bound* F b
 $\langle \text{proof} \rangle$

lemma *is-hom-GB-boundI*:
 $(\bigwedge g. (\bigwedge f. f \in F \implies \text{homogeneous } f) \implies g \in \text{punit.reduced-GB } F \implies \text{poly-deg } g \leq b) \implies \text{is-hom-GB-bound } F$ b
 $\langle \text{proof} \rangle$

lemma *is-hom-GB-boundD*:
 $\text{is-hom-GB-bound } F$ $b \implies (\bigwedge f. f \in F \implies \text{homogeneous } f) \implies g \in \text{punit.reduced-GB } F \implies \text{poly-deg } g \leq b$
 $\langle \text{proof} \rangle$

The following is the main theorem in this theory. It shows that a bound for Gröbner bases of homogenized input sets is always also a cofactor bound for the original input sets.

lemma (**in** *extended-ord-pm-powerprod*) *hom-GB-bound-is-GB-cofactor-bound*:
assumes *finite* X **and** $F \subseteq P[X]$ **and** *extended-ord.is-hom-GB-bound* (*homogenize None ' extend-indets ' F*) b
shows *is-GB-cofactor-bound* F b
 $\langle \text{proof} \rangle$

end

end

4 Computing Gröbner Bases by Triangularizing Macaulay Matrices

theory *Groebner-Macaulay*
imports *Groebner-Bases.Macaulay-Matrix* *Groebner-Bases.Groebner-PM Degree-Section Degree-Bound-Utils*
begin

Relationship between Gröbner bases and Macaulay matrices, following [4].

4.1 Gröbner Bases

lemma (in *gd-term*) *Macaulay-list-is-GB*:

assumes *is-Groebner-basis* G **and** $\text{pmdl} (\text{set } ps) = \text{pmdl } G$ **and** $G \subseteq \text{phull} (\text{set } ps)$

shows *is-Groebner-basis* ($\text{set} (\text{Macaulay-list } ps)$)

<proof>

4.2 Bounds

context *pm-powerprod*

begin

context

fixes $X :: 'x \text{ set}$

assumes *fin-X*: *finite* X

begin

definition *deg-shifts* $:: \text{nat} \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b) \text{ list} \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b :: \text{semiring-1}) \text{ list}$

where $\text{deg-shifts } d \text{ fs} = \text{concat} (\text{map} (\lambda f. (\text{map} (\lambda t. \text{punit.monom-mult } 1 \text{ t } f) (\text{punit.pps-to-list} (\text{deg-le-sect } X (d - \text{poly-deg } f))))))$
 fs

lemma *set-deg-shifts*:

$\text{set} (\text{deg-shifts } d \text{ fs}) = (\bigcup_{f \in \text{set } \text{fs}} (\lambda t. \text{punit.monom-mult } 1 \text{ t } f) \text{ ' } (\text{deg-le-sect } X (d - \text{poly-deg } f)))$

<proof>

corollary *set-deg-shifts-singleton*:

$\text{set} (\text{deg-shifts } d [f]) = (\lambda t. \text{punit.monom-mult } 1 \text{ t } f) \text{ ' } (\text{deg-le-sect } X (d - \text{poly-deg } f))$

<proof>

lemma *deg-shifts-superset*: $\text{set } \text{fs} \subseteq \text{set} (\text{deg-shifts } d \text{ fs})$

<proof>

lemma *deg-shifts-mono*:

assumes $\text{set } \text{fs} \subseteq \text{set } \text{gs}$

shows $\text{set} (\text{deg-shifts } d \text{ fs}) \subseteq \text{set} (\text{deg-shifts } d \text{ gs})$

<proof>

lemma *ideal-deg-shifts [simp]*: $\text{ideal} (\text{set} (\text{deg-shifts } d \text{ fs})) = \text{ideal} (\text{set } \text{fs})$

<proof>

lemma *thm-2-3-6*:

assumes $\text{set } \text{fs} \subseteq P[X]$ **and** *is-GB-cofactor-bound* ($\text{set } \text{fs}$) b

shows *punit.is-Groebner-basis* ($\text{set} (\text{punit.Macaulay-list} (\text{deg-shifts } b \text{ fs}))$)

<proof>

lemma *thm-2-3-7:*

assumes $set\ fs \subseteq P[X]$ **and** *is-GB-cofactor-bound* ($set\ fs$) b
shows $1 \in ideal\ (set\ fs) \longleftrightarrow 1 \in set\ (punit.Macaulay-list\ (deg-shifts\ b\ fs))$ (**is**
 $?L \longleftrightarrow ?R$)
(*proof*)

end

lemma *thm-2-3-6-indets:*

assumes *is-GB-cofactor-bound* ($set\ fs$) b
shows *punit.is-Groebner-basis* ($set\ (punit.Macaulay-list\ (deg-shifts\ (\bigcup (indets\ ' (set\ fs)))\ b\ fs)))$)
(*proof*)

lemma *thm-2-3-7-indets:*

assumes *is-GB-cofactor-bound* ($set\ fs$) b
shows $1 \in ideal\ (set\ fs) \longleftrightarrow 1 \in set\ (punit.Macaulay-list\ (deg-shifts\ (\bigcup (indets\ ' (set\ fs)))\ b\ fs))$
(*proof*)

end

end

5 Integer Binomial Coefficients

theory *Binomial-Int*

imports *Complex-Main*

begin

Restore original sort constraints:

(*ML*)

5.1 Inequalities

lemma *binomial-mono:*

assumes $m \leq n$
shows $m\ choose\ k \leq n\ choose\ k$
(*proof*)

lemma *binomial-plus-le:*

assumes $0 < k$
shows $(m\ choose\ k) + (n\ choose\ k) \leq (m + n)\ choose\ k$
(*proof*)

lemma *binomial-ineq-1:* $2 * ((n + i)\ choose\ k) \leq (n\ choose\ k) + ((n + 2 * i)\ choose\ k)$

(*proof*)

lemma *gbinomial-int-mono*:

assumes $0 \leq x$ **and** $x \leq (y::int)$

shows $x \text{ gchoose } k \leq y \text{ gchoose } k$

<proof>

lemma *gbinomial-int-plus-le*:

assumes $0 < k$ **and** $0 \leq x$ **and** $0 \leq (y::int)$

shows $(x \text{ gchoose } k) + (y \text{ gchoose } k) \leq (x + y) \text{ gchoose } k$

<proof>

lemma *binomial-int-ineq-1*:

assumes $0 \leq x$ **and** $0 \leq (y::int)$

shows $2 * (x + y \text{ gchoose } k) \leq (x \text{ gchoose } k) + ((x + 2 * y) \text{ gchoose } k)$

<proof>

corollary *binomial-int-ineq-2*:

assumes $0 \leq y$ **and** $y \leq (x::int)$

shows $2 * (x \text{ gchoose } k) \leq (x - y \text{ gchoose } k) + (x + y \text{ gchoose } k)$

<proof>

corollary *binomial-int-ineq-3*:

assumes $0 \leq y$ **and** $y \leq 2 * (x::int)$

shows $2 * (x \text{ gchoose } k) \leq (y \text{ gchoose } k) + (2 * x - y \text{ gchoose } k)$

<proof>

5.2 Backward Difference Operator

definition *bw-diff* :: $('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a::\{ab\text{-group-add,one}\}$

where $bw\text{-diff } f x = f x - f (x - 1)$

lemma *bw-diff-const [simp]*: $bw\text{-diff } (\lambda\cdot. c) = (\lambda\cdot. 0)$

<proof>

lemma *bw-diff-id [simp]*: $bw\text{-diff } (\lambda x. x) = (\lambda\cdot. 1)$

<proof>

lemma *bw-diff-plus [simp]*: $bw\text{-diff } (\lambda x. f x + g x) = (\lambda x. bw\text{-diff } f x + bw\text{-diff } g x)$

<proof>

lemma *bw-diff-uminus [simp]*: $bw\text{-diff } (\lambda x. - f x) = (\lambda x. - bw\text{-diff } f x)$

<proof>

lemma *bw-diff-minus [simp]*: $bw\text{-diff } (\lambda x. f x - g x) = (\lambda x. bw\text{-diff } f x - bw\text{-diff } g x)$

<proof>

lemma *bw-diff-const-pow*: $(bw\text{-diff } \overset{\sim}{\sim} k) (\lambda\cdot. c) = (\text{if } k = 0 \text{ then } \lambda\cdot. c \text{ else } (\lambda\cdot. 0))$

<proof>

lemma *bw-diff-id-pow*:

$(bw\text{-diff} \overset{\sim}{\sim} k) (\lambda x. x) = (if\ k = 0\ then\ (\lambda x. x)\ else\ if\ k = 1\ then\ (\lambda -. 1)\ else\ (\lambda -. 0))$

<proof>

lemma *bw-diff-plus-pow* [*simp*]:

$(bw\text{-diff} \overset{\sim}{\sim} k) (\lambda x. f\ x + g\ x) = (\lambda x. (bw\text{-diff} \overset{\sim}{\sim} k) f\ x + (bw\text{-diff} \overset{\sim}{\sim} k) g\ x)$

<proof>

lemma *bw-diff-uminus-pow* [*simp*]: $(bw\text{-diff} \overset{\sim}{\sim} k) (\lambda x. - f\ x) = (\lambda x. - (bw\text{-diff} \overset{\sim}{\sim} k) f\ x)$

<proof>

lemma *bw-diff-minus-pow* [*simp*]:

$(bw\text{-diff} \overset{\sim}{\sim} k) (\lambda x. f\ x - g\ x) = (\lambda x. (bw\text{-diff} \overset{\sim}{\sim} k) f\ x - (bw\text{-diff} \overset{\sim}{\sim} k) g\ x)$

<proof>

lemma *bw-diff-sum-pow* [*simp*]:

$(bw\text{-diff} \overset{\sim}{\sim} k) (\lambda x. (\sum i \in I. f\ i\ x)) = (\lambda x. (\sum i \in I. (bw\text{-diff} \overset{\sim}{\sim} k) (f\ i)\ x))$

<proof>

lemma *bw-diff-gbinomial*:

assumes $0 < k$

shows $bw\text{-diff} (\lambda x::int. (x + n)\ gchoose\ k) = (\lambda x. (x + n - 1)\ gchoose\ (k - 1))$

<proof>

lemma *bw-diff-gbinomial-pow*:

$(bw\text{-diff} \overset{\sim}{\sim} l) (\lambda x::int. (x + n)\ gchoose\ k) =$

$(if\ l \leq k\ then\ (\lambda x. (x + n - int\ l)\ gchoose\ (k - l))\ else\ (\lambda -. 0))$

<proof>

end

6 Integer Polynomial Functions

theory *Poly-Fun*

imports *Binomial-Int HOL-Computational-Algebra.Polynomial*

begin

6.1 Definition and Basic Properties

definition *poly-fun* :: $(int \Rightarrow int) \Rightarrow bool$

where $poly\text{-fun}\ f \longleftrightarrow (\exists p::rat\ poly. \forall a. rat\text{-of-int}\ (f\ a) = poly\ p\ (rat\text{-of-int}\ a))$

lemma *poly-funI*: $(\bigwedge a. rat\text{-of-int}\ (f\ a) = poly\ p\ (rat\text{-of-int}\ a)) \Longrightarrow poly\text{-fun}\ f$

<proof>

lemma *poly-funE*:
 assumes *poly-fun* f
 obtains p where $\bigwedge a. \text{rat-of-int } (f a) = \text{poly } p (\text{rat-of-int } a)$
 $\langle \text{proof} \rangle$

lemma *poly-fun-eqI*:
 assumes *poly-fun* f and *poly-fun* g and *infinite* $\{a. f a = g a\}$
 shows $f = g$
 $\langle \text{proof} \rangle$

corollary *poly-fun-eqI-ge*:
 assumes *poly-fun* f and *poly-fun* g and $\bigwedge a. b \leq a \implies f a = g a$
 shows $f = g$
 $\langle \text{proof} \rangle$

corollary *poly-fun-eqI-gr*:
 assumes *poly-fun* f and *poly-fun* g and $\bigwedge a. b < a \implies f a = g a$
 shows $f = g$
 $\langle \text{proof} \rangle$

6.2 Closure Properties

lemma *poly-fun-const* [*simp*]: *poly-fun* $(\lambda-. c)$
 $\langle \text{proof} \rangle$

lemma *poly-fun-id* [*simp*]: *poly-fun* $(\lambda x. x)$ *poly-fun id*
 $\langle \text{proof} \rangle$

lemma *poly-fun-uminus*:
 assumes *poly-fun* f
 shows *poly-fun* $(\lambda x. - f x)$ and *poly-fun* $(- f)$
 $\langle \text{proof} \rangle$

lemma *poly-fun-uminus-iff* [*simp*]:
 $\text{poly-fun } (\lambda x. - f x) \iff \text{poly-fun } f \text{ poly-fun } (- f) \iff \text{poly-fun } f$
 $\langle \text{proof} \rangle$

lemma *poly-fun-plus* [*simp*]:
 assumes *poly-fun* f and *poly-fun* g
 shows *poly-fun* $(\lambda x. f x + g x)$
 $\langle \text{proof} \rangle$

lemma *poly-fun-minus* [*simp*]:
 assumes *poly-fun* f and *poly-fun* g
 shows *poly-fun* $(\lambda x. f x - g x)$
 $\langle \text{proof} \rangle$

lemma *poly-fun-times* [*simp*]:

assumes *poly-fun f* **and** *poly-fun g*
shows *poly-fun* ($\lambda x. f\ x * g\ x$)
 <proof>

lemma *poly-fun-divide*:
assumes *poly-fun f* **and** $\bigwedge a. c\ dvd\ f\ a$
shows *poly-fun* ($\lambda x. f\ x\ div\ c$)
 <proof>

lemma *poly-fun-pow* [*simp*]:
assumes *poly-fun f*
shows *poly-fun* ($\lambda x. f\ x\ ^\wedge\ k$)
 <proof>

lemma *poly-fun-comp*:
assumes *poly-fun f* **and** *poly-fun g*
shows *poly-fun* ($\lambda x. f\ (g\ x)$) **and** *poly-fun* ($f\ \circ\ g$)
 <proof>

lemma *poly-fun-sum* [*simp*]: $(\bigwedge i. i \in I \implies \text{poly-fun } (f\ i)) \implies \text{poly-fun } (\lambda x. \sum_{i \in I. f\ i\ x})$
 <proof>

lemma *poly-fun-prod* [*simp*]: $(\bigwedge i. i \in I \implies \text{poly-fun } (f\ i)) \implies \text{poly-fun } (\lambda x. \prod_{i \in I. f\ i\ x})$
 <proof>

lemma *poly-fun-pochhammer* [*simp*]: *poly-fun f* \implies *poly-fun* ($\lambda x. \text{pochhammer } (f\ x)\ k$)
 <proof>

lemma *poly-fun-gbinomial* [*simp*]: *poly-fun f* \implies *poly-fun* ($\lambda x. f\ x\ gchoose\ k$)
 <proof>

end

7 Monomial Modules

theory *Monomial-Module*
imports *Groebner-Bases.Reduced-GB*
begin

Properties of modules generated by sets of monomials, and (reduced) Gröbner bases thereof.

7.1 Sets of Monomials

definition *is-monomial-set* :: $(\text{'a} \Rightarrow_0 \text{'b}::\text{zero})\ \text{set} \Rightarrow \text{bool}$
where *is-monomial-set* $A \iff (\forall p \in A. \text{is-monomial } p)$

lemma *is-monomial-setI*: $(\bigwedge p. p \in A \implies \text{is-monomial } p) \implies \text{is-monomial-set } A$
<proof>

lemma *is-monomial-setD*: $\text{is-monomial-set } A \implies p \in A \implies \text{is-monomial } p$
<proof>

lemma *is-monomial-set-subset*: $\text{is-monomial-set } B \implies A \subseteq B \implies \text{is-monomial-set } A$
<proof>

lemma *is-monomial-set-Un*: $\text{is-monomial-set } (A \cup B) \iff (\text{is-monomial-set } A \wedge \text{is-monomial-set } B)$
<proof>

7.2 Modules

context *term-powerprod*
begin

lemma *monomial-pmdl*:
 assumes *is-monomial-set B* **and** $p \in \text{pmdl } B$
 shows *monomial* (*lookup p v*) $v \in \text{pmdl } B$
 <proof>

lemma *monomial-pmdl-field*:
 assumes *is-monomial-set B* **and** $p \in \text{pmdl } B$ **and** $v \in \text{keys } (p::\text{zero-neq-one } 'b::\text{field})$
 shows *monomial c v* $c \in \text{pmdl } B$
 <proof>

end

context *ordered-term*
begin

lemma *keys-monomial-pmdl*:
 assumes *is-monomial-set F* **and** $p \in \text{pmdl } F$ **and** $t \in \text{keys } p$
 obtains *f* **where** $f \in F$ **and** $f \neq 0$ **and** $\text{lt } f \text{ adds}_t t$
 <proof>

lemma *image-lt-monomial-lt*: $\text{lt } ' \text{ monomial } (1::'b::\text{zero-neq-one}) \text{ } ' \text{ lt } ' F = \text{lt } ' F$
<proof>

7.3 Reduction

lemma *red-setE2*:
 assumes *red B p q*
 obtains *b* **where** $b \in B$ **and** $b \neq 0$ **and** $\text{red } \{b\} p q$
 <proof>

lemma *red-monomial-keys*:
assumes *is-monomial* r **and** *red* $\{r\}$ p q
shows $\text{card} (\text{keys } p) = \text{Suc} (\text{card} (\text{keys } q))$
 $\langle \text{proof} \rangle$

lemma *red-monomial-monomial-setD*:
assumes *is-monomial* p **and** *is-monomial-set* B **and** *red* B p q
shows $q = 0$
 $\langle \text{proof} \rangle$

corollary *is-red-monomial-monomial-setD*:
assumes *is-monomial* p **and** *is-monomial-set* B **and** *is-red* B p
shows *red* B p 0
 $\langle \text{proof} \rangle$

corollary *is-red-monomial-monomial-set-in-pmdl*:
is-monomial $p \implies \text{is-monomial-set } B \implies \text{is-red } B$ $p \implies p \in \text{pmdl } B$
 $\langle \text{proof} \rangle$

corollary *red-rtrancl-monomial-monomial-set-cases*:
assumes *is-monomial* p **and** *is-monomial-set* B **and** $(\text{red } B)^{**}$ p q
obtains $q = p \mid q = 0$
 $\langle \text{proof} \rangle$

lemma *is-red-monomial-lt*:
assumes $0 \notin B$
shows *is-red* (*monomial* $(1::'b::\text{field})$ ' *lt* ' B) = *is-red* B
 $\langle \text{proof} \rangle$

end

7.4 Gröbner Bases

context *gd-term*
begin

lemma *monomial-set-is-GB*:
assumes *is-monomial-set* G
shows *is-Groebner-basis* G
 $\langle \text{proof} \rangle$

context
fixes d
assumes *dgrad*: *dickson-grading* $(d::'a \Rightarrow \text{nat})$
begin

context
fixes F m
assumes *fin-comps*: *finite* (*component-of-term* ' *Keys* F)


```

and F-sub:  $F \subseteq \text{dgrad-p-set } d \ m$ 
and F-monom: is-monomial-set ( $F::(- \Rightarrow_0 'b::\text{field}) \ \text{set}$ )
begin

```

The proof of the following lemma could be simplified, analogous to homogeneous ideals.

```

lemma reduced-GB-subset-monic-dgrad-p-set: reduced-GB  $F \subseteq \text{monic } 'F$ 
<proof>

```

```

corollary reduced-GB-is-monomial-set-dgrad-p-set: is-monomial-set (reduced-GB
F)
<proof>

```

end

```

lemma is-red-reduced-GB-monomial-dgrad-set:
assumes finite (component-of-term ' S) and pp-of-term '  $S \subseteq \text{dgrad-set } d \ m$ 
shows is-red (reduced-GB (monomial 1 ' S)) = is-red (monomial (1::'b::field) '
S)
<proof>

```

```

corollary is-red-reduced-GB-monomial-lt-GB-dgrad-p-set:
assumes finite (component-of-term ' Keys G) and  $G \subseteq \text{dgrad-p-set } d \ m$  and  $0 \notin G$ 
shows is-red (reduced-GB (monomial (1::'b::field) ' lt ' G)) = is-red G
<proof>

```

```

lemma reduced-GB-monomial-lt-reduced-GB-dgrad-p-set:
assumes finite (component-of-term ' Keys F) and  $F \subseteq \text{dgrad-p-set } d \ m$ 
shows reduced-GB (monomial 1 ' lt ' reduced-GB F) = monomial (1::'b::field) '
lt ' reduced-GB F
<proof>

```

end

end

end

8 Preliminaries

```

theory Dube-Prelims
imports Groebner-Bases.General
begin

```

8.1 Sets

```

lemma card-geq-ex-subset:
assumes card  $A \geq n$ 

```

obtains B **where** $\text{card } B = n$ **and** $B \subseteq A$
(*proof*)

lemma *card-2-E-1*:
assumes $\text{card } A = 2$ **and** $x \in A$
obtains y **where** $x \neq y$ **and** $A = \{x, y\}$
(*proof*)

lemma *card-2-E*:
assumes $\text{card } A = 2$
obtains $x y$ **where** $x \neq y$ **and** $A = \{x, y\}$
(*proof*)

8.2 Sums

lemma *sum-tail-nat*: $0 < b \implies a \leq (b::\text{nat}) \implies \text{sum } f \{a..b\} = f b + \text{sum } f \{a..b - 1\}$
(*proof*)

lemma *sum-atLeast-Suc-shift*: $0 < b \implies a \leq b \implies \text{sum } f \{\text{Suc } a..b\} = (\sum_{i=a..b-1} f (\text{Suc } i))$
(*proof*)

lemma *sum-split-nat-ivl*:
 $a \leq \text{Suc } j \implies j \leq b \implies \text{sum } f \{a..j\} + \text{sum } f \{\text{Suc } j..b\} = \text{sum } f \{a..b\}$
(*proof*)

8.3 count-list

lemma *count-list-gr-1-E*:
assumes $1 < \text{count-list } xs \ x$
obtains $i j$ **where** $i < j$ **and** $j < \text{length } xs$ **and** $xs ! i = x$ **and** $xs ! j = x$
(*proof*)

8.4 listset

lemma *listset-Cons*: $\text{listset } (x \# xs) = (\bigcup_{y \in x.} (\#) y \text{ ' listset } xs)$
(*proof*)

lemma *listset-ConsI*: $y \in x \implies ys' \in \text{listset } xs \implies ys = y \# ys' \implies ys \in \text{listset } (x \# xs)$
(*proof*)

lemma *listset-ConsE*:
assumes $ys \in \text{listset } (x \# xs)$
obtains $y ys'$ **where** $y \in x$ **and** $ys' \in \text{listset } xs$ **and** $ys = y \# ys'$
(*proof*)

lemma *listsetI*:

$length\ ys = length\ xs \implies (\bigwedge i. i < length\ xs \implies ys\ !\ i \in xs\ !\ i) \implies ys \in listset\ xs$

<proof>

lemma *listsetD*:

assumes $ys \in listset\ xs$

shows $length\ ys = length\ xs$ **and** $\bigwedge i. i < length\ xs \implies ys\ !\ i \in xs\ !\ i$

<proof>

lemma *listset-singletonI*: $a \in A \implies ys = [a] \implies ys \in listset\ [A]$

<proof>

lemma *listset-singletonE*:

assumes $ys \in listset\ [A]$

obtains a **where** $a \in A$ **and** $ys = [a]$

<proof>

lemma *listset-doubletonI*: $a \in A \implies b \in B \implies ys = [a, b] \implies ys \in listset\ [A, B]$

<proof>

lemma *listset-doubletonE*:

assumes $ys \in listset\ [A, B]$

obtains $a\ b$ **where** $a \in A$ **and** $b \in B$ **and** $ys = [a, b]$

<proof>

lemma *listset-appendI*:

$ys1 \in listset\ xs1 \implies ys2 \in listset\ xs2 \implies ys = ys1\ @\ ys2 \implies ys \in listset\ (xs1\ @\ xs2)$

<proof>

lemma *listset-appendE*:

assumes $ys \in listset\ (xs1\ @\ xs2)$

obtains $ys1\ ys2$ **where** $ys1 \in listset\ xs1$ **and** $ys2 \in listset\ xs2$ **and** $ys = ys1\ @\ ys2$

<proof>

lemma *listset-map-imageI*: $ys' \in listset\ xs \implies ys = map\ f\ ys' \implies ys \in listset\ (map\ ((\cdot)\ f)\ xs)$

<proof>

lemma *listset-map-imageE*:

assumes $ys \in listset\ (map\ ((\cdot)\ f)\ xs)$

obtains ys' **where** $ys' \in listset\ xs$ **and** $ys = map\ f\ ys'$

<proof>

lemma *listset-permE*:

assumes $ys \in listset\ xs$ **and** *bij-betw* $f\ \{..<length\ xs\}\ \{..<length\ xs'\}$

and $\bigwedge i. i < length\ xs \implies xs'\ !\ i = xs\ !\ f\ i$

obtains ys' **where** $ys' \in \text{listset } xs'$ **and** $\text{length } ys' = \text{length } ys$
and $\bigwedge i. i < \text{length } ys \implies ys' ! i = ys ! f i$
 <proof>

lemma *listset-closed-map*:
assumes $ys \in \text{listset } xs$ **and** $\bigwedge x y. x \in \text{set } xs \implies y \in x \implies f y \in x$
shows $\text{map } f \text{ } ys \in \text{listset } xs$
 <proof>

lemma *listset-closed-map2*:
assumes $ys1 \in \text{listset } xs$ **and** $ys2 \in \text{listset } xs$
and $\bigwedge x y1 y2. x \in \text{set } xs \implies y1 \in x \implies y2 \in x \implies f y1 y2 \in x$
shows $\text{map2 } f \text{ } ys1 \text{ } ys2 \in \text{listset } xs$
 <proof>

lemma *listset-empty-iff*: $\text{listset } xs = \{\}$ $\longleftrightarrow \{\} \in \text{set } xs$
 <proof>

lemma *listset-mono*:
assumes $\text{length } xs = \text{length } ys$ **and** $\bigwedge i. i < \text{length } ys \implies xs ! i \subseteq ys ! i$
shows $\text{listset } xs \subseteq \text{listset } ys$
 <proof>

end

9 Direct Decompositions and Hilbert Functions

theory *Hilbert-Function*

imports

HOL-Combinatorics.Permutations

Dube-Prelims

Degree-Section

begin

9.1 Direct Decompositions

The main reason for defining *direct-decomp* in terms of lists rather than sets is that lemma *direct-decomp-direct-decomp* can be proved easier. At some point one could invest the time to re-define *direct-decomp* in terms of sets (possibly adding a couple of further assumptions to *direct-decomp-direct-decomp*).

definition *direct-decomp* :: 'a set \Rightarrow 'a::comm-monoid-add set list \Rightarrow bool
where $\text{direct-decomp } A \text{ } ss \longleftrightarrow \text{bij-betw sum-list (listset } ss) A$

lemma *direct-decompI*:
 $\text{inj-on sum-list (listset } ss) \implies \text{sum-list ' listset } ss = A \implies \text{direct-decomp } A \text{ } ss$
 <proof>

lemma *direct-decompI-alt*:

$(\bigwedge qs. qs \in \text{listset } ss \implies \text{sum-list } qs \in A) \implies (\bigwedge a. a \in A \implies \exists ! qs \in \text{listset } ss. a = \text{sum-list } qs) \implies$
direct-decomp A ss
 <proof>

lemma *direct-decompD*:

assumes *direct-decomp A ss*

shows $qs \in \text{listset } ss \implies \text{sum-list } qs \in A$ **and** *inj-on sum-list (listset ss)*

and *sum-list ' listset ss = A*

<proof>

lemma *direct-decompE*:

assumes *direct-decomp A ss* **and** $a \in A$

obtains *qs* **where** $qs \in \text{listset } ss$ **and** $a = \text{sum-list } qs$

<proof>

lemma *direct-decomp-unique*:

direct-decomp A ss $\implies qs \in \text{listset } ss \implies qs' \in \text{listset } ss \implies \text{sum-list } qs = \text{sum-list } qs' \implies$

$qs = qs'$

<proof>

lemma *direct-decomp-singleton*: *direct-decomp A [A]*

<proof>

lemma *mset-bij*:

assumes *bij-betw f {..*length xs*} {..*length ys*}* **and** $\bigwedge i. i < \text{length } xs \implies xs ! i = ys ! f i$

shows *mset xs = mset ys*

<proof>

lemma *direct-decomp-perm*:

assumes *direct-decomp A ss1* **and** *mset ss1 = mset ss2*

shows *direct-decomp A ss2*

<proof>

lemma *direct-decomp-split-map*:

direct-decomp A (map f ss) $\implies \text{direct-decomp } A (\text{map } f (\text{filter } P \text{ } ss) @ \text{map } f (\text{filter } (\neg P) \text{ } ss))$

<proof>

lemmas *direct-decomp-split = direct-decomp-split-map*[**where** $f = \text{id}$, *simplified*]

lemma *direct-decomp-direct-decomp*:

assumes *direct-decomp A (s # ss)* **and** *direct-decomp s rs*

shows *direct-decomp A (ss @ rs)* (**is** *direct-decomp A ?ss*)

<proof>

lemma *sum-list-map-times*: $\text{sum-list } (\text{map } ((*) x) xs) = (x::'a::\text{semiring-0}) * \text{sum-list } xs$

<proof>

lemma *direct-decomp-image-times*:

assumes *direct-decomp* $(A::'a::\text{semiring-0 } \text{set}) ss$ **and** $\bigwedge a b. x * a = x * b \implies x \neq 0 \implies a = b$

shows *direct-decomp* $((*) x 'A) (\text{map } ((') ((*) x)) ss)$ (**is** *direct-decomp* $?A ?ss$)
<proof>

lemma *direct-decomp-appendD*:

assumes *direct-decomp* $A (ss1 @ ss2)$

shows $\{ \} \notin \text{set } ss2 \implies \text{direct-decomp } (\text{sum-list } ' \text{listset } ss1) ss1$ (**is** $- \implies ?thesis1$)

and $\{ \} \notin \text{set } ss1 \implies \text{direct-decomp } (\text{sum-list } ' \text{listset } ss2) ss2$ (**is** $- \implies ?thesis2$)

and *direct-decomp* $A [\text{sum-list } ' \text{listset } ss1, \text{sum-list } ' \text{listset } ss2]$ (**is** *direct-decomp* $- ?ss$)
<proof>

lemma *direct-decomp-Cons-zeroI*:

assumes *direct-decomp* $A ss$

shows *direct-decomp* $A (\{0\} \# ss)$

<proof>

lemma *direct-decomp-Cons-zeroD*:

assumes *direct-decomp* $A (\{0\} \# ss)$

shows *direct-decomp* $A ss$

<proof>

lemma *direct-decomp-Cons-subsetI*:

assumes *direct-decomp* $A (s \# ss)$ **and** $\bigwedge s0. s0 \in \text{set } ss \implies 0 \in s0$

shows $s \subseteq A$

<proof>

lemma *direct-decomp-Int-zero*:

assumes *direct-decomp* $A ss$ **and** $i < j$ **and** $j < \text{length } ss$ **and** $\bigwedge s. s \in \text{set } ss \implies 0 \in s$

shows $ss ! i \cap ss ! j = \{0\}$

<proof>

corollary *direct-decomp-pairwise-zero*:

assumes *direct-decomp* $A ss$ **and** $\bigwedge s. s \in \text{set } ss \implies 0 \in s$

shows *pairwise* $(\lambda s1 s2. s1 \cap s2 = \{0\}) (\text{set } ss)$

<proof>

corollary *direct-decomp-repeated-eq-zero*:

assumes *direct-decomp* $A ss$ **and** $1 < \text{count-list } ss X$ **and** $\bigwedge s. s \in \text{set } ss \implies 0 \in s$

shows $X = \{0\}$

<proof>

corollary *direct-decomp-map-Int-zero:*

assumes *direct-decomp A (map f ss)* **and** $s1 \in \text{set } ss$ **and** $s2 \in \text{set } ss$ **and** $s1 \neq s2$

and $\bigwedge s. s \in \text{set } ss \implies 0 \in f s$

shows $f s1 \cap f s2 = \{0\}$

<proof>

9.2 Direct Decompositions and Vector Spaces

definition (*in vector-space*) *is-basis* :: 'b set \Rightarrow 'b set \Rightarrow bool

where *is-basis* $V B \iff (B \subseteq V \wedge \text{independent } B \wedge V \subseteq \text{span } B \wedge \text{card } B = \text{dim } V)$

definition (*in vector-space*) *some-basis* :: 'b set \Rightarrow 'b set

where *some-basis* $V = \text{Eps } (\text{local.is-basis } V)$

hide-const (**open**) *real-vector.is-basis* *real-vector.some-basis*

context *vector-space*

begin

lemma *dim-empty [simp]: dim {} = 0*

<proof>

lemma *dim-zero [simp]: dim {0} = 0*

<proof>

lemma *independent-UnI:*

assumes *independent A* **and** *independent B* **and** $\text{span } A \cap \text{span } B = \{0\}$

shows *independent (A \cup B)*

<proof>

lemma *subspace-direct-decomp:*

assumes *direct-decomp A ss* **and** $\bigwedge s. s \in \text{set } ss \implies \text{subspace } s$

shows *subspace A*

<proof>

lemma *is-basis-alt: subspace V \implies is-basis V B \iff (independent B \wedge span B = V)*

<proof>

lemma *is-basis-finite: is-basis V A \implies is-basis V B \implies finite A \iff finite B*

<proof>

lemma *some-basis-is-basis: is-basis V (some-basis V)*

<proof>

corollary

shows *some-basis-subset*: $\text{some-basis } V \subseteq V$
and *independent-some-basis*: $\text{independent } (\text{some-basis } V)$
and *span-some-basis-supset*: $V \subseteq \text{span } (\text{some-basis } V)$
and *card-some-basis*: $\text{card } (\text{some-basis } V) = \text{dim } V$
{proof}

lemma *some-basis-not-zero*: $0 \notin \text{some-basis } V$
{proof}

lemma *span-some-basis*: $\text{subspace } V \implies \text{span } (\text{some-basis } V) = V$
{proof}

lemma *direct-decomp-some-basis-pairwise-disjnt*:
assumes *direct-decomp* A ss **and** $\bigwedge s. s \in \text{set } ss \implies \text{subspace } s$
shows *pairwise* $(\lambda s1 s2. \text{disjnt } (\text{some-basis } s1) (\text{some-basis } s2))$ $(\text{set } ss)$
{proof}

lemma *direct-decomp-span-some-basis*:
assumes *direct-decomp* A ss **and** $\bigwedge s. s \in \text{set } ss \implies \text{subspace } s$
shows $\text{span } (\bigcup (\text{some-basis } ' \text{set } ss)) = A$
{proof}

lemma *direct-decomp-independent-some-basis*:
assumes *direct-decomp* A ss **and** $\bigwedge s. s \in \text{set } ss \implies \text{subspace } s$
shows *independent* $(\bigcup (\text{some-basis } ' \text{set } ss))$
{proof}

corollary *direct-decomp-is-basis*:
assumes *direct-decomp* A ss **and** $\bigwedge s. s \in \text{set } ss \implies \text{subspace } s$
shows *is-basis* A $(\bigcup (\text{some-basis } ' \text{set } ss))$
{proof}

lemma *dim-direct-decomp*:
assumes *direct-decomp* A ss **and** *finite* B **and** $A \subseteq \text{span } B$ **and** $\bigwedge s. s \in \text{set } ss \implies \text{subspace } s$
shows $\text{dim } A = (\sum_{s \in \text{set } ss. \text{dim } s})$
{proof}

end

9.3 Homogeneous Sets of Polynomials with Fixed Degree

lemma *homogeneous-set-direct-decomp*:
assumes *direct-decomp* A ss **and** $\bigwedge s. s \in \text{set } ss \implies \text{homogeneous-set } s$
shows *homogeneous-set* A
{proof}

definition *hom-deg-set* :: $\text{nat} \Rightarrow ((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \text{set} \Rightarrow ((x \Rightarrow_0 \text{nat}) \Rightarrow_0$

'a::zero) set
where $\text{hom-deg-set } z \ A = (\lambda a. \text{hom-component } a \ z) \ ` \ A$

lemma *hom-deg-setD*:
assumes $p \in \text{hom-deg-set } z \ A$
shows $\text{homogeneous } p \ \text{and} \ p \neq 0 \implies \text{poly-deg } p = z$
<proof>

lemma *zero-in-hom-deg-set*:
assumes $0 \in A$
shows $0 \in \text{hom-deg-set } z \ A$
<proof>

lemma *hom-deg-set-closed-uminus*:
assumes $\bigwedge a. a \in A \implies -a \in A$ **and** $p \in \text{hom-deg-set } z \ A$
shows $-p \in \text{hom-deg-set } z \ A$
<proof>

lemma *hom-deg-set-closed-plus*:
assumes $\bigwedge a1 \ a2. a1 \in A \implies a2 \in A \implies a1 + a2 \in A$
and $p \in \text{hom-deg-set } z \ A$ **and** $q \in \text{hom-deg-set } z \ A$
shows $p + q \in \text{hom-deg-set } z \ A$
<proof>

lemma *hom-deg-set-closed-minus*:
assumes $\bigwedge a1 \ a2. a1 \in A \implies a2 \in A \implies a1 - a2 \in A$
and $p \in \text{hom-deg-set } z \ A$ **and** $q \in \text{hom-deg-set } z \ A$
shows $p - q \in \text{hom-deg-set } z \ A$
<proof>

lemma *hom-deg-set-closed-scalar*:
assumes $\bigwedge a. a \in A \implies c \cdot a \in A$ **and** $p \in \text{hom-deg-set } z \ A$
shows $(c::'a::\text{semiring-0}) \cdot p \in \text{hom-deg-set } z \ A$
<proof>

lemma *hom-deg-set-closed-sum*:
assumes $0 \in A$ **and** $\bigwedge a1 \ a2. a1 \in A \implies a2 \in A \implies a1 + a2 \in A$
and $\bigwedge i. i \in I \implies f \ i \in \text{hom-deg-set } z \ A$
shows $\text{sum } f \ I \in \text{hom-deg-set } z \ A$
<proof>

lemma *hom-deg-set-subset: homogeneous-set* $A \implies \text{hom-deg-set } z \ A \subseteq A$
<proof>

lemma *Polys-closed-hom-deg-set*:
assumes $A \subseteq P[X]$
shows $\text{hom-deg-set } z \ A \subseteq P[X]$
<proof>

lemma *hom-deg-set-alt-homogeneous-set*:
assumes *homogeneous-set A*
shows *hom-deg-set z A = {p ∈ A. homogeneous p ∧ (p = 0 ∨ poly-deg p = z)}*
(is ?A = ?B)
 \langle *proof* \rangle

lemma *hom-deg-set-sum-list-listset*:
assumes *A = sum-list ‘ listset ss*
shows *hom-deg-set z A = sum-list ‘ listset (map (hom-deg-set z) ss)* **(is ?A = ?B)**
 \langle *proof* \rangle

lemma *direct-decomp-hom-deg-set*:
assumes *direct-decomp A ss* **and** $\bigwedge s. s \in \text{set } ss \implies \text{homogeneous-set } s$
shows *direct-decomp (hom-deg-set z A) (map (hom-deg-set z) ss)*
 \langle *proof* \rangle

9.4 Interpreting Polynomial Rings as Vector Spaces over the Coefficient Field

There is no need to set up any further interpretation, since interpretation *phull* is exactly what we need.

lemma *subspace-ideal*: *phull.subspace (ideal (F::('b::comm-powerprod \Rightarrow_0 'a::field) set))*
 \langle *proof* \rangle

lemma *subspace-Polys*: *phull.subspace (P[X]::(('x \Rightarrow_0 nat) \Rightarrow_0 'a::field) set)*
 \langle *proof* \rangle

lemma *subspace-hom-deg-set*:
assumes *phull.subspace A*
shows *phull.subspace (hom-deg-set z A)* **(is phull.subspace ?A)**
 \langle *proof* \rangle

lemma *hom-deg-set-Polys-eq-span*:
hom-deg-set z P[X] = phull.span (monomial (1::'a::field) ‘ deg-sect X z) **(is ?A = ?B)**
 \langle *proof* \rangle

9.5 (Projective) Hilbert Function

interpretation *phull*: *vector-space map-scale*
 \langle *proof* \rangle

definition *Hilbert-fun* :: $((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{field}) \text{set} \Rightarrow \text{nat} \Rightarrow \text{nat}$
where *Hilbert-fun A z = phull.dim (hom-deg-set z A)*

lemma *Hilbert-fun-empty* [*simp*]: *Hilbert-fun {} = 0*
 \langle *proof* \rangle

lemma *Hilbert-fun-zero* [simp]: *Hilbert-fun* {0} = 0
 ⟨proof⟩

lemma *Hilbert-fun-direct-decomp*:
 assumes *finite X* and $A \subseteq P[X]$ and *direct-decomp* ($A::('x::countable \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::field$) *set*) *ps*
 and $\bigwedge s. s \in \text{set } ps \implies \text{homogeneous-set } s$ and $\bigwedge s. s \in \text{set } ps \implies \text{phull.subspace } s$
 shows *Hilbert-fun* $A z = (\sum p \in \text{set } ps. \text{Hilbert-fun } p z)$
 ⟨proof⟩

context *pm-powerprod*
begin

lemma *image-lt-hom-deg-set*:
 assumes *homogeneous-set A*
 shows $\text{lpp } \text{' } (\text{hom-deg-set } z A - \{0\}) = \{ t \in \text{lpp } \text{' } (A - \{0\}). \text{deg-pm } t = z \}$ (**is** $?B = ?A$)
 ⟨proof⟩

lemma *Hilbert-fun-alt*:
 assumes *finite X* and $A \subseteq P[X]$ and *phull.subspace A*
 shows *Hilbert-fun* $A z = \text{card } (\text{lpp } \text{' } (\text{hom-deg-set } z A - \{0\}))$ (**is** $- = \text{card } ?A$)
 ⟨proof⟩

end

end

10 Cone Decompositions

theory *Cone-Decomposition*
imports *Groebner-Bases.Groebner-PM Monomial-Module Hilbert-Function*
begin

10.1 More Properties of Reduced Gröbner Bases

context *pm-powerprod*
begin

lemmas *reduced-GB-subset-monic-Polys* =
punit.reduced-GB-subset-monic-dgrad-p-set[simplified, OF dickson-grading-varnum,
where $m=0$, *simplified dgrad-p-set-varnum]*
lemmas *reduced-GB-is-monomial-set-Polys* =
punit.reduced-GB-is-monomial-set-dgrad-p-set[simplified, OF dickson-grading-varnum,
where $m=0$, *simplified dgrad-p-set-varnum]*
lemmas *is-red-reduced-GB-monomial-lt-GB-Polys* =
punit.is-red-reduced-GB-monomial-lt-GB-dgrad-p-set[simplified, OF dickson-grading-varnum,

where $m=0$, *simplified dgrad-p-set-varnum*
lemmas *reduced-GB-monomial-lt-reduced-GB-Polys* =
punit.reduced-GB-monomial-lt-reduced-GB-dgrad-p-set[*simplified, OF dickson-grading-varnum*,
where $m=0$, *simplified dgrad-p-set-varnum*]

end

10.2 Quotient Ideals

definition *quot-set* :: $'a \text{ set} \Rightarrow 'a \Rightarrow 'a::\text{semigroup-mult set}$ (**infixl** \div) 55
where *quot-set* $A \ x = (* \ x \ -' \ A$

lemma *quot-set-iff*: $a \in A \div x \longleftrightarrow x * a \in A$
<proof>

lemma *quot-setI*: $x * a \in A \Longrightarrow a \in A \div x$
<proof>

lemma *quot-setD*: $a \in A \div x \Longrightarrow x * a \in A$
<proof>

lemma *quot-set-quot-set* [*simp*]: $A \div x \div y = A \div x * y$
<proof>

lemma *quot-set-one* [*simp*]: $A \div (1::-\text{monoid-mult}) = A$
<proof>

lemma *ideal-quot-set-ideal* [*simp*]: *ideal* (*ideal* $B \div x$) = (*ideal* B) \div ($x::-\text{comm-ring}$)
<proof>

lemma *quot-set-image-times*: *inj* ($(*) \ x$) $\Longrightarrow ((*) \ x \ -' \ A) \div x = A$
<proof>

10.3 Direct Decompositions of Polynomial Rings

context *pm-powerprod*
begin

definition *normal-form* :: $(('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \text{ set} \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{field})$
 $\Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{field})$
where *normal-form* $F \ p = (\text{SOME } q. (\text{punit.red } (\text{punit.reduced-GB } F))^{**} \ p \ q \wedge$
 $\neg \text{punit.is-red } (\text{punit.reduced-GB } F) \ q)$

Of course, *normal-form* could be defined in a much more general context.

context
fixes $X :: 'x \text{ set}$
assumes *fin-X*: *finite* X
begin

context

fixes $F :: (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{field}) \text{ set}$

assumes $F\text{-sub}: F \subseteq P[X]$

begin

lemma *normal-form*:

shows $(\text{punit.red } (\text{punit.reduced-GB } F))^{**} p (\text{normal-form } F p) (\text{is } ?thesis1)$

and $\neg \text{punit.is-red } (\text{punit.reduced-GB } F) (\text{normal-form } F p) (\text{is } ?thesis2)$

$\langle \text{proof} \rangle$

lemma *normal-form-unique*:

assumes $(\text{punit.red } (\text{punit.reduced-GB } F))^{**} p q$ **and** $\neg \text{punit.is-red } (\text{punit.reduced-GB } F) q$

shows $\text{normal-form } F p = q$

$\langle \text{proof} \rangle$

lemma *normal-form-id-iff*: $\text{normal-form } F p = p \longleftrightarrow (\neg \text{punit.is-red } (\text{punit.reduced-GB } F) p)$

$\langle \text{proof} \rangle$

lemma *normal-form-normal-form*: $\text{normal-form } F (\text{normal-form } F p) = \text{normal-form } F p$

$\langle \text{proof} \rangle$

lemma *normal-form-zero*: $\text{normal-form } F 0 = 0$

$\langle \text{proof} \rangle$

lemma *normal-form-map-scale*: $\text{normal-form } F (c \cdot p) = c \cdot (\text{normal-form } F p)$

$\langle \text{proof} \rangle$

lemma *normal-form-uminus*: $\text{normal-form } F (- p) = - \text{normal-form } F p$

$\langle \text{proof} \rangle$

lemma *normal-form-plus-normal-form*:

$\text{normal-form } F (\text{normal-form } F p + \text{normal-form } F q) = \text{normal-form } F p + \text{normal-form } F q$

$\langle \text{proof} \rangle$

lemma *normal-form-minus-normal-form*:

$\text{normal-form } F (\text{normal-form } F p - \text{normal-form } F q) = \text{normal-form } F p - \text{normal-form } F q$

$\langle \text{proof} \rangle$

lemma *normal-form-ideal-Polys*: $\text{normal-form } (\text{ideal } F \cap P[X]) = \text{normal-form } F$

$\langle \text{proof} \rangle$

lemma *normal-form-diff-in-ideal*: $p - \text{normal-form } F p \in \text{ideal } F$

$\langle \text{proof} \rangle$

lemma *normal-form-zero-iff*: $\text{normal-form } F \ p = 0 \iff p \in \text{ideal } F$
 ⟨proof⟩

lemma *normal-form-eq-iff*: $\text{normal-form } F \ p = \text{normal-form } F \ q \iff p - q \in \text{ideal } F$
 ⟨proof⟩

lemma *Polys-closed-normal-form*:
assumes $p \in P[X]$
shows $\text{normal-form } F \ p \in P[X]$
 ⟨proof⟩

lemma *image-normal-form-iff*:
 $p \in \text{normal-form } F \ ' P[X] \iff (p \in P[X] \wedge \neg \text{punit.is-red } (\text{punit.reduced-GB } F) \ p)$
 ⟨proof⟩

end

lemma *direct-decomp-ideal-insert*:
fixes F **and** f
defines $I \equiv \text{ideal } (\text{insert } f \ F)$
defines $L \equiv (\text{ideal } F \div f) \cap P[X]$
assumes $F \subseteq P[X]$ **and** $f \in P[X]$
shows $\text{direct-decomp } (I \cap P[X]) \ [\text{ideal } F \cap P[X], (*) \ f \ ' \ \text{normal-form } L \ ' \ P[X]]$
 (**is** $\text{direct-decomp} - ?ss$)
 ⟨proof⟩

corollary *direct-decomp-ideal-normal-form*:
assumes $F \subseteq P[X]$
shows $\text{direct-decomp } P[X] \ [\text{ideal } F \cap P[X], \text{normal-form } F \ ' \ P[X]]$
 ⟨proof⟩

end

10.4 Basic Cone Decompositions

definition $\text{cone} :: ((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \times 'x \ \text{set}) \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-semiring-0}) \ \text{set}$
where $\text{cone } hU = (*) \ (\text{fst } hU) \ ' \ P[\text{snd } hU]$

lemma *coneI*: $p = a * h \implies a \in P[U] \implies p \in \text{cone } (h, U)$
 ⟨proof⟩

lemma *coneE*:
assumes $p \in \text{cone } (h, U)$
obtains a **where** $a \in P[U]$ **and** $p = a * h$
 ⟨proof⟩

lemma *cone-empty*: $\text{cone } (h, \{\}) = \text{range } (\lambda c. c \cdot h)$
<proof>

lemma *cone-zero [simp]*: $\text{cone } (0, U) = \{0\}$
<proof>

lemma *cone-one [simp]*: $\text{cone } (1::-\Rightarrow_0 'a::\text{comm-semiring-1}, U) = P[U]$
<proof>

lemma *zero-in-cone*: $0 \in \text{cone } hU$
<proof>

corollary *empty-not-in-map-cone*: $\{\} \notin \text{set } (\text{map } \text{cone } ps)$
<proof>

lemma *tip-in-cone*: $h \in \text{cone } (h::-\Rightarrow_0 -::\text{comm-semiring-1}, U)$
<proof>

lemma *cone-closed-plus*:
 assumes $a \in \text{cone } hU$ **and** $b \in \text{cone } hU$
 shows $a + b \in \text{cone } hU$
<proof>

lemma *cone-closed-uminus*:
 assumes $(a::-\Rightarrow_0 -::\text{comm-ring}) \in \text{cone } hU$
 shows $- a \in \text{cone } hU$
<proof>

lemma *cone-closed-minus*:
 assumes $(a::-\Rightarrow_0 -::\text{comm-ring}) \in \text{cone } hU$ **and** $b \in \text{cone } hU$
 shows $a - b \in \text{cone } hU$
<proof>

lemma *cone-closed-times*:
 assumes $a \in \text{cone } (h, U)$ **and** $q \in P[U]$
 shows $q * a \in \text{cone } (h, U)$
<proof>

corollary *cone-closed-monom-mult*:
 assumes $a \in \text{cone } (h, U)$ **and** $t \in \cdot[U]$
 shows $\text{punit.monom-mult } c \ t \ a \in \text{cone } (h, U)$
<proof>

lemma *coneD*:
 assumes $p \in \text{cone } (h, U)$ **and** $p \neq 0$
 shows $\text{lpp } h \ \text{adds } \text{lpp } (p::-\Rightarrow_0 -::\{\text{comm-semiring-0}, \text{semiring-no-zero-divisors}\})$
<proof>

lemma *cone-mono-1*:

assumes $h' \in P[U]$
shows $\text{cone}(h' * h, U) \subseteq \text{cone}(h, U)$
 ⟨proof⟩

lemma *cone-mono-2*:
assumes $U1 \subseteq U2$
shows $\text{cone}(h, U1) \subseteq \text{cone}(h, U2)$
 ⟨proof⟩

lemma *cone-subsetD*:
assumes $\text{cone}(h1, U1) \subseteq \text{cone}(h2 :: - \Rightarrow_0 'a :: \{\text{comm-ring-1}, \text{ring-no-zero-divisors}\}, U2)$
shows $h2 \text{ dvd } h1 \text{ and } h1 \neq 0 \Rightarrow U1 \subseteq U2$
 ⟨proof⟩

lemma *cone-subset-PolysD*:
assumes $\text{cone}(h :: - \Rightarrow_0 'a :: \{\text{comm-semiring-1}, \text{semiring-no-zero-divisors}\}, U) \subseteq P[X]$
shows $h \in P[X] \text{ and } h \neq 0 \Rightarrow U \subseteq X$
 ⟨proof⟩

lemma *cone-subset-PolysI*:
assumes $h \in P[X] \text{ and } h \neq 0 \Rightarrow U \subseteq X$
shows $\text{cone}(h, U) \subseteq P[X]$
 ⟨proof⟩

lemma *cone-image-times*: $(*) a \cdot \text{cone}(h, U) = \text{cone}(a * h, U)$
 ⟨proof⟩

lemma *cone-image-times'*: $(*) a \cdot \text{cone } hU = \text{cone}(\text{apfst } ((*) a) hU)$
 ⟨proof⟩

lemma *homogeneous-set-coneI*:
assumes *homogeneous* h
shows *homogeneous-set* $(\text{cone}(h, U))$
 ⟨proof⟩

lemma *subspace-cone*: *phull.subspace* $(\text{cone } hU)$
 ⟨proof⟩

lemma *direct-decomp-cone-insert*:
fixes $h :: - \Rightarrow_0 'a :: \{\text{comm-ring-1}, \text{ring-no-zero-divisors}\}$
assumes $x \notin U$
shows *direct-decomp* $(\text{cone}(h, \text{insert } x U))$
 $[\text{cone}(h, U), \text{cone}(\text{monomial } 1 (\text{Poly-Mapping.single } x (\text{Suc } 0)) * h, \text{insert } x U)]$
 ⟨proof⟩

definition *valid-decomp* :: $'x \text{ set} \Rightarrow (((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{zero}) \times 'x \text{ set}) \text{ list} \Rightarrow$

bool

where *valid-decomp* X $ps \longleftrightarrow ((\forall (h, U) \in set\ ps. h \in P[X] \wedge h \neq 0 \wedge U \subseteq X))$

definition *monomial-decomp* :: $((('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a::\{one,zero\}) \times 'x\ set)\ list \Rightarrow$
bool

where *monomial-decomp* $ps \longleftrightarrow (\forall hU \in set\ ps. is\ monomial\ (fst\ hU) \wedge punit.lc\ (fst\ hU) = 1)$

definition *hom-decomp* :: $((('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a::\{one,zero\}) \times 'x\ set)\ list \Rightarrow$ *bool*

where *hom-decomp* $ps \longleftrightarrow (\forall hU \in set\ ps. homogeneous\ (fst\ hU))$

definition *cone-decomp* :: $((('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a)\ set \Rightarrow$

$((('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a::comm\ semiring\ 0) \times 'x\ set)\ list \Rightarrow$ *bool*

where *cone-decomp* $T\ ps \longleftrightarrow direct\ decomp\ T\ (map\ cone\ ps)$

lemma *valid-decompI*:

$(\bigwedge h\ U. (h, U) \in set\ ps \implies h \in P[X]) \implies (\bigwedge h\ U. (h, U) \in set\ ps \implies h \neq 0)$

\implies

$(\bigwedge h\ U. (h, U) \in set\ ps \implies U \subseteq X) \implies valid\ decomp\ X\ ps$

<proof>

lemma *valid-decompD*:

assumes *valid-decomp* X ps **and** $(h, U) \in set\ ps$

shows $h \in P[X]$ **and** $h \neq 0$ **and** $U \subseteq X$

<proof>

lemma *valid-decompD-finite*:

assumes *finite* X **and** *valid-decomp* X ps **and** $(h, U) \in set\ ps$

shows *finite* U

<proof>

lemma *valid-decomp-Nil*: *valid-decomp* X $[]$

<proof>

lemma *valid-decomp-concat*:

assumes $\bigwedge ps. ps \in set\ pss \implies valid\ decomp\ X\ ps$

shows *valid-decomp* X $(concat\ pss)$

<proof>

corollary *valid-decomp-append*:

assumes *valid-decomp* X ps **and** *valid-decomp* X qs

shows *valid-decomp* X $(ps\ @\ qs)$

<proof>

lemma *valid-decomp-map-times*:

assumes *valid-decomp* X ps **and** $s \in P[X]$ **and** $s \neq (0::\Rightarrow_0\ _::semiring\ no\ zero\ divisors)$

shows *valid-decomp* X $(map\ (apfst\ ((*)\ s))\ ps)$

<proof>

lemma *monomial-decompI*:

$(\bigwedge h U. (h, U) \in \text{set } ps \implies \text{is-monomial } h) \implies (\bigwedge h U. (h, U) \in \text{set } ps \implies \text{punit.lc } h = 1) \implies$
 $\text{monomial-decomp } ps$
 $\langle \text{proof} \rangle$

lemma *monomial-decompD*:

assumes *monomial-decomp* ps **and** $(h, U) \in \text{set } ps$
shows *is-monomial* h **and** $\text{punit.lc } h = 1$
 $\langle \text{proof} \rangle$

lemma *monomial-decomp-append-iff*:

$\text{monomial-decomp } (ps @ qs) \longleftrightarrow \text{monomial-decomp } ps \wedge \text{monomial-decomp } qs$
 $\langle \text{proof} \rangle$

lemma *monomial-decomp-concat*:

$(\bigwedge ps. ps \in \text{set } pss \implies \text{monomial-decomp } ps) \implies \text{monomial-decomp } (\text{concat } pss)$
 $\langle \text{proof} \rangle$

lemma *monomial-decomp-map-times*:

assumes *monomial-decomp* ps **and** *is-monomial* f **and** $\text{punit.lc } f = (1::'a::\text{semiring-1})$
shows *monomial-decomp* $(\text{map } (\text{apfst } ((*)) f)) ps$
 $\langle \text{proof} \rangle$

lemma *monomial-decomp-monomial-in-cone*:

assumes *monomial-decomp* ps **and** $hU \in \text{set } ps$ **and** $a \in \text{cone } hU$
shows *monomial* $(\text{lookup } a t) t \in \text{cone } hU$
 $\langle \text{proof} \rangle$

lemma *monomial-decomp-sum-list-monomial-in-cone*:

assumes *monomial-decomp* ps **and** $a \in \text{sum-list } ' \text{ listset } (\text{map } \text{cone } ps)$ **and** $t \in \text{keys } a$
obtains $c h U$ **where** $(h, U) \in \text{set } ps$ **and** $c \neq 0$ **and** *monomial* $c t \in \text{cone } (h, U)$
 $\langle \text{proof} \rangle$

lemma *hom-decompI*: $(\bigwedge h U. (h, U) \in \text{set } ps \implies \text{homogeneous } h) \implies \text{hom-decomp } ps$

$\langle \text{proof} \rangle$

lemma *hom-decompD*: $\text{hom-decomp } ps \implies (h, U) \in \text{set } ps \implies \text{homogeneous } h$

$\langle \text{proof} \rangle$

lemma *hom-decomp-append-iff*: $\text{hom-decomp } (ps @ qs) \longleftrightarrow \text{hom-decomp } ps \wedge \text{hom-decomp } qs$

$\langle \text{proof} \rangle$

lemma *hom-decomp-concat*: $(\bigwedge ps. ps \in \text{set } pss \implies \text{hom-decomp } ps) \implies \text{hom-decomp } (\text{concat } pss)$

<proof>

lemma *hom-decomp-map-times*:
 assumes *hom-decomp ps* **and** *homogeneous f*
 shows *hom-decomp (map (apfst ((* f)) ps)*
<proof>

lemma *monomial-decomp-imp-hom-decomp*:
 assumes *monomial-decomp ps*
 shows *hom-decomp ps*
<proof>

lemma *cone-decompI*: *direct-decomp T (map cone ps) \implies cone-decomp T ps*
<proof>

lemma *cone-decompD*: *cone-decomp T ps \implies direct-decomp T (map cone ps)*
<proof>

lemma *cone-decomp-cone-subset*:
 assumes *cone-decomp T ps* **and** *hU \in set ps*
 shows *cone hU \subseteq T*
<proof>

lemma *cone-decomp-indets*:
 assumes *cone-decomp T ps* **and** *T \subseteq P[X]* **and** *(h, U) \in set ps*
 shows *h \in P[X]* **and** *h \neq (0:: \Rightarrow_0 ::{comm-semiring-1,semiring-no-zero-divisors})*
 \implies U \subseteq X
<proof>

lemma *cone-decomp-closed-plus*:
 assumes *cone-decomp T ps* **and** *a \in T* **and** *b \in T*
 shows *a + b \in T*
<proof>

lemma *cone-decomp-closed-uminus*:
 assumes *cone-decomp T ps* **and** *(a:: \Rightarrow_0 ::comm-ring) \in T*
 shows *- a \in T*
<proof>

corollary *cone-decomp-closed-minus*:
 assumes *cone-decomp T ps* **and** *(a:: \Rightarrow_0 ::comm-ring) \in T* **and** *b \in T*
 shows *a - b \in T*
<proof>

lemma *cone-decomp-Nil*: *cone-decomp {0} []*
<proof>

lemma *cone-decomp-singleton*: *cone-decomp (cone (t, U)) [(t, U)]*
<proof>

lemma *cone-decomp-append*:

assumes *direct-decomp* T [$S1, S2$] **and** *cone-decomp* $S1$ ps **and** *cone-decomp* $S2$ qs
shows *cone-decomp* T (ps @ qs)
 \langle *proof* \rangle

lemma *cone-decomp-concat*:

assumes *direct-decomp* T ss **and** $length$ $pss = length$ ss
and $\bigwedge i. i < length$ $ss \implies cone-decomp$ ($ss ! i$) ($pss ! i$)
shows *cone-decomp* T ($concat$ pss)
 \langle *proof* \rangle

lemma *cone-decomp-map-times*:

assumes *cone-decomp* T ps
shows *cone-decomp* $((*) s 'T)$ (map ($apfst$ $((*) (s::\Rightarrow_0 \{comm-ring-1, ring-no-zero-divisors\}))$))
 ps)
 \langle *proof* \rangle

lemma *cone-decomp-perm*:

assumes *cone-decomp* T ps **and** $mset$ $ps = mset$ qs
shows *cone-decomp* T qs
 \langle *proof* \rangle

lemma *valid-cone-decomp-subset-Polys*:

assumes *valid-decomp* X ps **and** *cone-decomp* T ps
shows $T \subseteq P[X]$
 \langle *proof* \rangle

lemma *homogeneous-set-cone-decomp*:

assumes *cone-decomp* T ps **and** *hom-decomp* ps
shows *homogeneous-set* T
 \langle *proof* \rangle

lemma *subspace-cone-decomp*:

assumes *cone-decomp* T ps
shows *phull.subspace* ($T::(-\Rightarrow_0 \{field\})$ set)
 \langle *proof* \rangle

definition *pos-decomp* :: $((('x \Rightarrow_0 nat) \Rightarrow_0 'a) \times 'x set) list \Rightarrow ((('x \Rightarrow_0 nat) \Rightarrow_0 'a) \times 'x set) list$

$(\langle(-+)\rangle [1000] 999)$

where *pos-decomp* $ps = filter$ ($\lambda p. snd$ $p \neq \{\}$) ps

definition *standard-decomp* :: $nat \Rightarrow ((('x \Rightarrow_0 nat) \Rightarrow_0 'a::zero) \times 'x set) list \Rightarrow bool$

where *standard-decomp* $k ps \longleftrightarrow (\forall (h, U) \in set$ (ps_+). $k \leq poly-deg$ $h \wedge$

$(\forall d. k \leq d \longrightarrow d \leq poly-deg$ $h \longrightarrow$

$(\exists (h', U) \in set$ $ps. poly-deg$ $h' = d \wedge card$ $U \leq$

$\text{card } U'))$

lemma *pos-decomp-Nil* [*simp*]: $[\]_+ = [\]$
<proof>

lemma *pos-decomp-subset*: $\text{set } (ps_+) \subseteq \text{set } ps$
<proof>

lemma *pos-decomp-append*: $(ps \text{ @ } qs)_+ = ps_+ \text{ @ } qs_+$
<proof>

lemma *pos-decomp-concat*: $(\text{concat } pss)_+ = \text{concat } (\text{map } \text{pos-decomp } pss)$
<proof>

lemma *pos-decomp-map*: $(\text{map } (\text{apfst } f) ps)_+ = \text{map } (\text{apfst } f) (ps_+)$
<proof>

lemma *card-Diff-pos-decomp*: $\text{card } \{(h, U) \in \text{set } qs - \text{set } (qs_+). P h\} = \text{card } \{(h, \{\}) \in \text{set } qs \wedge P h\}$
<proof>

lemma *standard-decompI*:
 assumes $\bigwedge h U. (h, U) \in \text{set } (ps_+) \implies k \leq \text{poly-deg } h$
 and $\bigwedge h U d. (h, U) \in \text{set } (ps_+) \implies k \leq d \implies d \leq \text{poly-deg } h \implies$
 $(\exists h' U'. (h', U') \in \text{set } ps \wedge \text{poly-deg } h' = d \wedge \text{card } U \leq \text{card } U')$
 shows *standard-decomp* $k ps$
<proof>

lemma *standard-decompD*: *standard-decomp* $k ps \implies (h, U) \in \text{set } (ps_+) \implies k \leq$
poly-deg h
<proof>

lemma *standard-decompE*:
 assumes *standard-decomp* $k ps$ **and** $(h, U) \in \text{set } (ps_+)$ **and** $k \leq d$ **and** $d \leq$
 poly-deg h
 obtains $h' U'$ **where** $(h', U') \in \text{set } ps$ **and** *poly-deg* $h' = d$ **and** $\text{card } U \leq \text{card } U'$
<proof>

lemma *standard-decomp-Nil*: $ps_+ = [\] \implies \text{standard-decomp } k ps$
<proof>

lemma *standard-decomp-singleton*: *standard-decomp* $(\text{poly-deg } h) [(h, U)]$
<proof>

lemma *standard-decomp-concat*:
 assumes $\bigwedge ps. ps \in \text{set } pss \implies \text{standard-decomp } k ps$
 shows *standard-decomp* $k (\text{concat } pss)$
<proof>

corollary *standard-decomp-append:*

assumes *standard-decomp k ps* **and** *standard-decomp k qs*
shows *standard-decomp k (ps @ qs)*

<proof>

lemma *standard-decomp-map-times:*

assumes *standard-decomp k ps* **and** *valid-decomp X ps* **and** $s \neq 0$ *(:: - \Rightarrow_0 'a::semiring-no-zero-divisors)*
shows *standard-decomp (k + poly-deg s) (map (apfst ((* s)) ps)*

<proof>

lemma *standard-decomp-nonempty-unique:*

assumes *finite X* **and** *valid-decomp X ps* **and** *standard-decomp k ps* **and** $ps_+ \neq$

\square

shows $k = \text{Min} (\text{poly-deg } 'fst \text{ 'set } (ps_+))$

<proof>

lemma *standard-decomp-SucE:*

assumes *finite X* **and** $U \subseteq X$ **and** $h \in P[X]$ **and** $h \neq 0$ *(:: - \Rightarrow_0 'a::\{comm-ring-1,ring-no-zero-divisors\})*
obtains *ps* **where** *valid-decomp X ps* **and** *cone-decomp (cone (h, U)) ps*

and *standard-decomp (Suc (poly-deg h)) ps*

and *is-monomial h* \implies *punit.lc h = 1* \implies *monomial-decomp ps* **and** *homogeneous h* \implies *hom-decomp ps*

<proof>

lemma *standard-decomp-geE:*

assumes *finite X* **and** *valid-decomp X ps*

and *cone-decomp (T::('x \Rightarrow_0 nat) \Rightarrow_0 'a::\{comm-ring-1,ring-no-zero-divisors\})*
set) ps

and *standard-decomp k ps* **and** $k \leq d$

obtains *qs* **where** *valid-decomp X qs* **and** *cone-decomp T qs* **and** *standard-decomp d qs*

and *monomial-decomp ps* \implies *monomial-decomp qs* **and** *hom-decomp ps* \implies *hom-decomp qs*

<proof>

10.5 Splitting w.r.t. Ideals

context

fixes $X :: 'x \text{ set}$

begin

definition *splits-wrt* $:: (((('x \Rightarrow_0 \text{ nat}) \Rightarrow_0 'a) \times 'x \text{ set}) \text{ list} \times ((('x \Rightarrow_0 \text{ nat}) \Rightarrow_0 'a) \times 'x \text{ set}) \text{ list}) \Rightarrow$

$((('x \Rightarrow_0 \text{ nat}) \Rightarrow_0 'a::\text{comm-ring-1}) \text{ set} \Rightarrow (('x \Rightarrow_0 \text{ nat}) \Rightarrow_0 'a) \text{ set} \Rightarrow \text{bool}$

where *splits-wrt pqs T F* \longleftrightarrow *cone-decomp T (fst pqs @ snd pqs) \wedge*

$(\forall hU \in \text{set } (fst \text{ pqs}). \text{ cone } hU \subseteq \text{ideal } F \cap P[X]) \wedge$

$(\forall (h, U) \in \text{set } (snd \text{ pqs}). \text{ cone } (h, U) \subseteq P[X] \wedge \text{ cone } (h,$

$$U) \cap \text{ideal } F = \{0\})$$

lemma *splits-wrtI*:

assumes *cone-decomp* T (ps @ qs)
and $\bigwedge h U. (h, U) \in \text{set } ps \implies \text{cone } (h, U) \subseteq P[X]$ **and** $\bigwedge h U. (h, U) \in \text{set } ps \implies h \in \text{ideal } F$
and $\bigwedge h U. (h, U) \in \text{set } qs \implies \text{cone } (h, U) \subseteq P[X]$
and $\bigwedge h U a. (h, U) \in \text{set } qs \implies a \in \text{cone } (h, U) \implies a \in \text{ideal } F \implies a = 0$
shows *splits-wrt* (ps, qs) T F
 $\langle \text{proof} \rangle$

lemma *splits-wrtI-valid-decomp*:

assumes *valid-decomp* X ps **and** *valid-decomp* X qs **and** *cone-decomp* T (ps @ qs)
and $\bigwedge h U. (h, U) \in \text{set } ps \implies h \in \text{ideal } F$
and $\bigwedge h U a. (h, U) \in \text{set } qs \implies a \in \text{cone } (h, U) \implies a \in \text{ideal } F \implies a = 0$
shows *splits-wrt* (ps, qs) T F
 $\langle \text{proof} \rangle$

lemma *splits-wrtD*:

assumes *splits-wrt* (ps, qs) T F
shows *cone-decomp* T (ps @ qs) **and** $hU \in \text{set } ps \implies \text{cone } hU \subseteq \text{ideal } F \cap P[X]$
and $hU \in \text{set } qs \implies \text{cone } hU \subseteq P[X]$ **and** $hU \in \text{set } qs \implies \text{cone } hU \cap \text{ideal } F = \{0\}$
 $\langle \text{proof} \rangle$

lemma *splits-wrt-image-sum-list-fst-subset*:

assumes *splits-wrt* (ps, qs) T F
shows *sum-list* 'listset (map cone ps) \subseteq *ideal* $F \cap P[X]$
 $\langle \text{proof} \rangle$

lemma *splits-wrt-image-sum-list-snd-subset*:

assumes *splits-wrt* (ps, qs) T F
shows *sum-list* 'listset (map cone qs) \subseteq $P[X]$
 $\langle \text{proof} \rangle$

lemma *splits-wrt-cone-decomp-1*:

assumes *splits-wrt* (ps, qs) T F **and** *monomial-decomp* qs **and** *is-monomial-set* ($F::(- \implies_0 'a::\text{field}) \text{ set}$)
— The last two assumptions are missing in the paper.
shows *cone-decomp* ($T \cap \text{ideal } F$) ps
 $\langle \text{proof} \rangle$

Together, Theorems *splits-wrt-image-sum-list-fst-subset* and *splits-wrt-cone-decomp-1* imply that ps is also a cone decomposition of $T \cap \text{ideal } F \cap P[X]$.

lemma *splits-wrt-cone-decomp-2*:

assumes *finite* X **and** *splits-wrt* (ps, qs) T F **and** *monomial-decomp* qs **and** *is-monomial-set* F

and $F \subseteq P[X]$
shows *cone-decomp* ($T \cap \text{normal-form } F \text{ ' } P[X]$) *qs*
 ⟨*proof*⟩

lemma *quot-monomial-ideal-monomial*:
 $\text{ideal } (\text{monomial } 1 \text{ ' } S) \div \text{monomial } 1 \text{ (Poly-Mapping.single } (x::'x) \text{ (1::nat))} =$
 $\text{ideal } (\text{monomial } (1::'a::\text{comm-ring-1}) \text{ ' } (\lambda s. s - \text{Poly-Mapping.single } x \text{ 1}) \text{ ' } S)$
 ⟨*proof*⟩

lemma *lem-4-2-1*:
assumes $\text{ideal } F \div \text{monomial } 1 \text{ } t = \text{ideal } (\text{monomial } (1::'a::\text{comm-ring-1}) \text{ ' } S)$
shows $\text{cone } (\text{monomial } 1 \text{ } t, U) \subseteq \text{ideal } F \longleftrightarrow 0 \in S$
 ⟨*proof*⟩

lemma *lem-4-2-2*:
assumes $\text{ideal } F \div \text{monomial } 1 \text{ } t = \text{ideal } (\text{monomial } (1::'a::\text{comm-ring-1}) \text{ ' } S)$
shows $\text{cone } (\text{monomial } 1 \text{ } t, U) \cap \text{ideal } F = \{0\} \longleftrightarrow S \cap \text{.[}U\text{]} = \{\}$
 ⟨*proof*⟩

10.6 Function *split*

definition *max-subset* :: $'a \text{ set} \Rightarrow ('a \text{ set} \Rightarrow \text{bool}) \Rightarrow 'a \text{ set}$
where $\text{max-subset } A \text{ } P = (\text{ARG-MAX card } B. B \subseteq A \wedge P \text{ } B)$

lemma *max-subset*:
assumes *finite* A **and** $B \subseteq A$ **and** $P \text{ } B$
shows $\text{max-subset } A \text{ } P \subseteq A$ (**is** *?thesis1*)
and $P \text{ } (\text{max-subset } A \text{ } P)$ (**is** *?thesis2*)
and $\text{card } B \leq \text{card } (\text{max-subset } A \text{ } P)$ (**is** *?thesis3*)
 ⟨*proof*⟩

function (*domintros*) *split* :: $('x \Rightarrow_0 \text{nat}) \Rightarrow 'x \text{ set} \Rightarrow ('x \Rightarrow_0 \text{nat}) \text{ set} \Rightarrow$
 $((((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \times ('x \text{ set})) \text{ list}) \times$
 $((((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\{\text{zero,one}\}) \times ('x \text{ set})) \text{ list}))$

where
 $\text{split } t \text{ } U \text{ } S =$
 (if $0 \in S$ then
 ($[(\text{monomial } 1 \text{ } t, U)]$, $[\]$)
 else if $S \cap \text{.[}U\text{]} = \{\}$ then
 ($[\]$, $[(\text{monomial } 1 \text{ } t, U)]$)
 else
 let $x = \text{SOME } x'. x' \in U - (\text{max-subset } U \text{ } (\lambda V. S \cap \text{.[}V\text{]} = \{\}))$;
 ($ps0$, $qs0$) = $\text{split } t \text{ } (U - \{x\}) \text{ } S$;
 ($ps1$, $qs1$) = $\text{split } (\text{Poly-Mapping.single } x \text{ 1} + t) \text{ } U \text{ } ((\lambda f. f -$
 $\text{Poly-Mapping.single } x \text{ 1}) \text{ ' } S)$ in
 ($ps0 \text{ @ } ps1$, $qs0 \text{ @ } qs1$)
 ⟨*proof*⟩

Function *split* is not executable, because this is not necessary. With some effort, it could be made executable, though.

lemma *split-domI'*:

assumes *finite X and fst (snd args) \subseteq X and finite (snd (snd args))*

shows *split-dom TYPE('a::{zero,one}) args*

<proof>

corollary *split-domI: finite X \implies U \subseteq X \implies finite S \implies split-dom TYPE('a::{zero,one}) (t, U, S)*

<proof>

lemma *split-empty:*

assumes *finite X and U \subseteq X*

shows *split t U {} = ([], [(monomial (1::'a::{zero,one}) t, U)])*

<proof>

lemma *split-induct [consumes 3, case-names base1 base2 step]:*

fixes *P :: ('x \Rightarrow_0 nat) \implies -*

assumes *finite X and U \subseteq X and finite S*

assumes $\bigwedge t U S. U \subseteq X \implies \text{finite } S \implies 0 \in S \implies P t U S$ *([(monomial (1::'a::{zero,one}) t, U)], [])*

assumes $\bigwedge t U S. U \subseteq X \implies \text{finite } S \implies 0 \notin S \implies S \cap \cdot[U] = \{\} \implies P t U S$ *([], [(monomial 1 t, U)])*

assumes $\bigwedge t U S V x ps0 ps1 qs0 qs1. U \subseteq X \implies \text{finite } S \implies 0 \notin S \implies S \cap \cdot[U] \neq \{\} \implies V \subseteq U \implies$

$S \cap \cdot[V] = \{\} \implies (\bigwedge V'. V' \subseteq U \implies S \cap \cdot[V'] = \{\} \implies \text{card } V' \leq \text{card } V) \implies$

$x \in U \implies x \notin V \implies V = \text{max-subset } U (\lambda V'. S \cap \cdot[V'] = \{\}) \implies x = (\text{SOME } x'. x' \in U - V) \implies$

$(ps0, qs0) = \text{split } t (U - \{x\}) S \implies$

$(ps1, qs1) = \text{split } (\text{Poly-Mapping.single } x 1 + t) U ((\lambda f. f - \text{Poly-Mapping.single } x 1) ' S) \implies$

$\text{split } t U S = (ps0 @ ps1, qs0 @ qs1) \implies$

$P t (U - \{x\}) S (ps0, qs0) \implies$

$P (\text{Poly-Mapping.single } x 1 + t) U ((\lambda f. f - \text{Poly-Mapping.single } x 1) ' S) (ps1, qs1) \implies$

$P t U S (ps0 @ ps1, qs0 @ qs1)$

shows $P t U S (\text{split } t U S)$

<proof>

lemma *valid-decomp-split:*

assumes *finite X and U \subseteq X and finite S and t \in $\cdot[X]$*

shows *valid-decomp X (fst ((split t U S)::(- \times (((- \Rightarrow_0 'a::zero-neq-one) \times -) list))))*

and *valid-decomp X (snd ((split t U S)::(- \times (((- \Rightarrow_0 'a::zero-neq-one) \times -) list))))*

(is valid-decomp - (snd ?s))

<proof>

lemma *monomial-decomp-split:*

assumes *finite X and U \subseteq X and finite S*

shows *monomial-decomp* (*fst* ((*split t U S*):- × (((- ⇒₀ 'a::zero-neg-one) × -) list))))
and *monomial-decomp* (*snd* ((*split t U S*):- × (((- ⇒₀ 'a::zero-neg-one) × -) list))))
(is *monomial-decomp* (*snd* ?s))
 ⟨*proof*⟩

lemma *split-splits-wrt*:

assumes *finite X and U ⊆ X and finite S and t ∈ .[X]*
and *ideal F ÷ monomial 1 t = ideal (monomial 1 ' S)*
shows *splits-wrt (split t U S) (cone (monomial (1::'a::{comm-ring-1,ring-no-zero-divisors}) t, U)) F*
 ⟨*proof*⟩

lemma *lem-4-5*:

assumes *finite X and U ⊆ X and t ∈ .[X] and F ⊆ P[X]*
and *ideal F ÷ monomial 1 t = ideal (monomial (1::'a) ' S)*
and *cone (monomial (1::'a::field) t', V) ⊆ cone (monomial 1 t, U) ∩ normal-form F ' P[X]*
shows *V ⊆ U and S ∩ .[V] = {}*
 ⟨*proof*⟩

lemma *lem-4-6*:

assumes *finite X and U ⊆ X and finite S and t ∈ .[X] and F ⊆ P[X]*
and *ideal F ÷ monomial 1 t = ideal (monomial 1 ' S)*
assumes *cone (monomial 1 t', V) ⊆ cone (monomial 1 t, U) ∩ normal-form F ' P[X]*
obtains *V' where (monomial 1 t, V') ∈ set (snd (split t U S)) and card V ≤ card V'*
 ⟨*proof*⟩

lemma *lem-4-7*:

assumes *finite X and S ⊆ .[X] and g ∈ punit.reduced-GB (monomial (1::'a) ' S)*
and *cone-decomp (P[X] ∩ ideal (monomial (1::'a::field) ' S)) ps*
and *monomial-decomp ps*
obtains *U where (g, U) ∈ set ps*
 ⟨*proof*⟩

lemma *snd-splitI*:

assumes *finite X and U ⊆ X and finite S and 0 ∉ S*
obtains *V where V ⊆ U and (monomial 1 t, V) ∈ set (snd (split t U S))*
 ⟨*proof*⟩

lemma *fst-splitE*:

assumes *finite X and U ⊆ X and finite S and 0 ∉ S*
and *(monomial (1::'a) s, V) ∈ set (fst (split t U S))*
obtains *t' x where t' ∈ .[X] and x ∈ X and V ⊆ U and 0 ∉ (λs. s - t') ' S*
and *s = t' + t + Poly-Mapping.single x 1*

and (*monomial* ($1::'a::\text{zero-neq-one}$) $s, V \in \text{set} (\text{fst} (\text{split} (t' + t) V ((\lambda s. s - t') ' S)))$)
and $\text{set} (\text{snd} (\text{split} (t' + t) V ((\lambda s. s - t') ' S))) \subseteq (\text{set} (\text{snd} (\text{split} t U S))) ::$
 $((- \Rightarrow_0 'a) \times -) \text{set}$
 ⟨*proof*⟩

lemma *lem-4-8*:

assumes *finite* X **and** *finite* S **and** $S \subseteq .[X]$ **and** $0 \notin S$
and $g \in \text{punit.reduced-GB} (\text{monomial} (1::'a) ' S)$
obtains $t U$ **where** $U \subseteq X$ **and** (*monomial* ($1::'a::\text{field}$) $t, U \in \text{set} (\text{snd} (\text{split} 0 X S))$)
and $\text{poly-deg } g = \text{Suc} (\text{deg-pm } t)$
 ⟨*proof*⟩

corollary *cor-4-9*:

assumes *finite* X **and** *finite* S **and** $S \subseteq .[X]$
and $g \in \text{punit.reduced-GB} (\text{monomial} (1::'a::\text{field}) ' S)$
shows $\text{poly-deg } g \leq \text{Suc} (\text{Max} (\text{poly-deg} ' \text{fst} ' (\text{set} (\text{snd} (\text{split} 0 X S)))) :: ((- \Rightarrow_0 'a) \times -) \text{set}))$
 (**is** $- \leq \text{Suc} (\text{Max} (\text{poly-deg} ' \text{fst} ' ?S))$)
 ⟨*proof*⟩

lemma *standard-decomp-snd-split*:

assumes *finite* X **and** $U \subseteq X$ **and** *finite* S **and** $S \subseteq .[X]$ **and** $t \in .[X]$
shows *standard-decomp* ($\text{deg-pm } t$) ($\text{snd} (\text{split} t U S)$) :: $((- \Rightarrow_0 'a::\text{field}) \times -) \text{list}$
 ⟨*proof*⟩

theorem *standard-cone-decomp-snd-split*:

fixes F
defines $G \equiv \text{punit.reduced-GB } F$
defines $ss \equiv (\text{split} 0 X (\text{lpp} ' G)) :: ((- \Rightarrow_0 'a::\text{field}) \times -) \text{list} \times -$
defines $d \equiv \text{Suc} (\text{Max} (\text{poly-deg} ' \text{fst} ' \text{set} (\text{snd } ss)))$
assumes *finite* X **and** $F \subseteq P[X]$
shows *standard-decomp* 0 ($\text{snd } ss$) (**is** *?thesis1*)
and *cone-decomp* (*normal-form* $F ' P[X]$) ($\text{snd } ss$) (**is** *?thesis2*)
and $(\bigwedge f. f \in F \implies \text{homogeneous } f) \implies g \in G \implies \text{poly-deg } g \leq d$
 ⟨*proof*⟩

10.7 Splitting Ideals

qualified definition *ideal-decomp-aux* :: $(('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \text{set} \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow$

$((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{field}) \text{set} \times ((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \times 'x \text{set}) \text{list})$

where *ideal-decomp-aux* $F f =$
 $(\text{let } J = \text{ideal } F; L = (J \div f) \cap P[X]; L' = \text{lpp} ' \text{punit.reduced-GB } L \text{ in}$
 $((*) f ' \text{normal-form } L ' P[X], \text{map} (\text{apfst} ((*) f)) (\text{snd} (\text{split} 0 X L'))))$

context

assumes *fin-X*: finite *X*

begin

lemma *ideal-decomp-aux*:

assumes finite *F* **and** $F \subseteq P[X]$ **and** $f \in P[X]$

shows $\text{fst } (\text{ideal-decomp-aux } F f) \subseteq \text{ideal } \{f\}$ (**is** *?thesis1*)

and $\text{ideal } F \cap \text{fst } (\text{ideal-decomp-aux } F f) = \{0\}$ (**is** *?thesis2*)

and $\text{direct-decomp } (\text{ideal } (\text{insert } f F) \cap P[X]) [\text{fst } (\text{ideal-decomp-aux } F f), \text{ideal } F \cap P[X]]$ (**is** *?thesis3*)

and $\text{cone-decomp } (\text{fst } (\text{ideal-decomp-aux } F f)) (\text{snd } (\text{ideal-decomp-aux } F f))$ (**is** *?thesis4*)

and $f \neq 0 \implies \text{valid-decomp } X (\text{snd } (\text{ideal-decomp-aux } F f))$ (**is** $- \implies$ *?thesis5*)

and $f \neq 0 \implies \text{standard-decomp } (\text{poly-deg } f) (\text{snd } (\text{ideal-decomp-aux } F f))$ (**is** $- \implies$ *?thesis6*)

and $\text{homogeneous } f \implies \text{hom-decomp } (\text{snd } (\text{ideal-decomp-aux } F f))$ (**is** $- \implies$ *?thesis7*)

<proof>

lemma *ideal-decompE*:

fixes $f0 :: - \Rightarrow_0 'a::\text{field}$

assumes finite *F* **and** $F \subseteq P[X]$ **and** $f0 \in P[X]$ **and** $\bigwedge f. f \in F \implies \text{poly-deg } f \leq \text{poly-deg } f0$

obtains *T ps* **where** $\text{valid-decomp } X ps$ **and** $\text{standard-decomp } (\text{poly-deg } f0) ps$ **and** $\text{cone-decomp } T ps$

and $(\bigwedge f. f \in F \implies \text{homogeneous } f) \implies \text{hom-decomp } ps$

and $\text{direct-decomp } (\text{ideal } (\text{insert } f0 F) \cap P[X]) [\text{ideal } \{f0\} \cap P[X], T]$

<proof>

10.8 Exact Cone Decompositions

definition *exact-decomp* :: $\text{nat} \Rightarrow ((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{zero}) \times 'x \text{ set}) \text{ list} \Rightarrow \text{bool}$

where $\text{exact-decomp } m ps \iff (\forall (h, U) \in \text{set } ps. h \in P[X] \wedge U \subseteq X) \wedge$

$(\forall (h, U) \in \text{set } ps. \forall (h', U') \in \text{set } ps. \text{poly-deg } h = \text{poly-deg } h' \implies$

$h' \implies$

$m < \text{card } U \implies m < \text{card } U' \implies (h, U) = (h', U'))$

$U')$

lemma *exact-decompI*:

$(\bigwedge h U. (h, U) \in \text{set } ps \implies h \in P[X]) \implies (\bigwedge h U. (h, U) \in \text{set } ps \implies U \subseteq X)$

\implies

$(\bigwedge h h' U U'. (h, U) \in \text{set } ps \implies (h', U') \in \text{set } ps \implies \text{poly-deg } h = \text{poly-deg } h' \implies$

$h' \implies$

$m < \text{card } U \implies m < \text{card } U' \implies (h, U) = (h', U')) \implies$

$\text{exact-decomp } m ps$

<proof>

lemma *exact-decompD*:

assumes $\text{exact-decomp } m ps$ **and** $(h, U) \in \text{set } ps$

shows $h \in P[X]$ **and** $U \subseteq X$
and $(h', U') \in \text{set } ps \implies \text{poly-deg } h = \text{poly-deg } h' \implies m < \text{card } U \implies m < \text{card } U' \implies$
 $(h, U) = (h', U')$
 $\langle \text{proof} \rangle$

lemma *exact-decompI-zero*:

assumes $\bigwedge h U. (h, U) \in \text{set } ps \implies h \in P[X]$ **and** $\bigwedge h U. (h, U) \in \text{set } ps \implies U \subseteq X$
and $\bigwedge h h' U U'. (h, U) \in \text{set } (ps_+) \implies (h', U') \in \text{set } (ps_+) \implies \text{poly-deg } h = \text{poly-deg } h' \implies$
 $(h, U) = (h', U')$
shows *exact-decomp 0 ps*
 $\langle \text{proof} \rangle$

lemma *exact-decompD-zero*:

assumes *exact-decomp 0 ps* **and** $(h, U) \in \text{set } (ps_+)$ **and** $(h', U') \in \text{set } (ps_+)$
and $\text{poly-deg } h = \text{poly-deg } h'$
shows $(h, U) = (h', U')$
 $\langle \text{proof} \rangle$

lemma *exact-decomp-imp-valid-decomp*:

assumes *exact-decomp m ps* **and** $\bigwedge h U. (h, U) \in \text{set } ps \implies h \neq 0$
shows *valid-decomp X ps*
 $\langle \text{proof} \rangle$

lemma *exact-decomp-card-X*:

assumes *valid-decomp X ps* **and** $\text{card } X \leq m$
shows *exact-decomp m ps*
 $\langle \text{proof} \rangle$

definition $a :: ((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{zero}) \times 'x \text{ set}) \text{ list} \Rightarrow \text{nat}$
where $a \text{ ps} = (\text{LEAST } k. \text{standard-decomp } k \text{ ps})$

definition $b :: ((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{zero}) \times 'x \text{ set}) \text{ list} \Rightarrow \text{nat} \Rightarrow \text{nat}$
where $b \text{ ps } i = (\text{LEAST } d. a \text{ ps} \leq d \wedge (\forall (h, U) \in \text{set } ps. i \leq \text{card } U \longrightarrow \text{poly-deg } h < d))$

lemma $a: \text{standard-decomp } k \text{ ps} \implies \text{standard-decomp } (a \text{ ps}) \text{ ps}$
 $\langle \text{proof} \rangle$

lemma *a-Nil*:

assumes $ps_+ = []$
shows $a \text{ ps} = 0$
 $\langle \text{proof} \rangle$

lemma *a-nonempty*:

assumes *valid-decomp X ps* **and** *standard-decomp k ps* **and** $ps_+ \neq []$
shows $a \text{ ps} = \text{Min } (\text{poly-deg } 'fst \text{ ' set } (ps_+))$

<proof>

lemma *a-nonempty-unique*:

assumes *valid-decomp X ps and standard-decomp k ps and ps₊ ≠ []*

shows *a ps = k*

<proof>

lemma *b*:

shows *a ps ≤ b ps i and (h, U) ∈ set ps ⇒ i ≤ card U ⇒ poly-deg h < b ps*

i

<proof>

lemma *b-le*:

a ps ≤ d ⇒ (∧ h' U'. (h', U') ∈ set ps ⇒ i ≤ card U' ⇒ poly-deg h' < d)

⇒ b ps i ≤ d

<proof>

lemma *b-decreasing*:

assumes *i ≤ j*

shows *b ps j ≤ b ps i*

<proof>

lemma *b-Nil*:

assumes *ps₊ = [] and Suc 0 ≤ i*

shows *b ps i = 0*

<proof>

lemma *b-zero*:

assumes *ps ≠ []*

shows *Suc (Max (poly-deg 'fst ' set ps)) ≤ b ps 0*

<proof>

corollary *b-zero-gr*:

assumes *(h, U) ∈ set ps*

shows *poly-deg h < b ps 0*

<proof>

lemma *b-one*:

assumes *valid-decomp X ps and standard-decomp k ps*

shows *b ps (Suc 0) = (if ps₊ = [] then 0 else Suc (Max (poly-deg 'fst ' set (ps₊))))*

<proof>

corollary *b-one-gr*:

assumes *valid-decomp X ps and standard-decomp k ps and (h, U) ∈ set (ps₊)*

shows *poly-deg h < b ps (Suc 0)*

<proof>

lemma *b-card-X*:

assumes *exact-decomp* m ps **and** $Suc (card X) \leq i$
shows $b\ ps\ i = a\ ps$
 $\langle proof \rangle$

lemma *lem-6-1-1*:

assumes *standard-decomp* k ps **and** *exact-decomp* m ps **and** $Suc\ 0 \leq i$
and $i \leq card\ X$ **and** $b\ ps\ (Suc\ i) \leq d$ **and** $d < b\ ps\ i$
obtains $h\ U$ **where** $(h, U) \in set\ (ps_+)$ **and** $poly-deg\ h = d$ **and** $card\ U = i$
 $\langle proof \rangle$

corollary *lem-6-1-2*:

assumes *standard-decomp* k ps **and** *exact-decomp* 0 ps **and** $Suc\ 0 \leq i$
and $i \leq card\ X$ **and** $b\ ps\ (Suc\ i) \leq d$ **and** $d < b\ ps\ i$
obtains $h\ U$ **where** $\{(h', U') \in set\ (ps_+). poly-deg\ h' = d\} = \{(h, U)\}$ **and**
 $card\ U = i$
 $\langle proof \rangle$

corollary *lem-6-1-2'*:

assumes *standard-decomp* k ps **and** *exact-decomp* 0 ps **and** $Suc\ 0 \leq i$
and $i \leq card\ X$ **and** $b\ ps\ (Suc\ i) \leq d$ **and** $d < b\ ps\ i$
shows $card\ \{(h', U') \in set\ (ps_+). poly-deg\ h' = d\} = 1$ **(is** $card\ ?A = -$
and $\{(h', U') \in set\ (ps_+). poly-deg\ h' = d \wedge card\ U' = i\} = \{(h', U') \in set$
 $(ps_+). poly-deg\ h' = d\}$
(is $?B = -$
and $card\ \{(h', U') \in set\ (ps_+). poly-deg\ h' = d \wedge card\ U' = i\} = 1$
 $\langle proof \rangle$

corollary *lem-6-1-3*:

assumes *standard-decomp* k ps **and** *exact-decomp* 0 ps **and** $Suc\ 0 \leq i$
and $i \leq card\ X$ **and** $(h, U) \in set\ (ps_+)$ **and** $card\ U = i$
shows $b\ ps\ (Suc\ i) \leq poly-deg\ h$
 $\langle proof \rangle$ **fun** *shift-list* :: $((('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a::\{comm-ring-1, ring-no-zero-divisors\})$
 $\times\ 'x\ set) \Rightarrow$

$'x \Rightarrow -\ list \Rightarrow -\ list$ **where**

shift-list $(h, U)\ x\ ps =$
 $((punit.monom-mult\ 1\ (Poly-Mapping.single\ x\ 1)\ h, U) \# (h, U - \{x\}) \#$
 $removeAll\ (h, U)\ ps)$

declare *shift-list.simps*[*simp del*]

lemma *monomial-decomp-shift-list*:

assumes *monomial-decomp* ps **and** $hU \in set\ ps$
shows *monomial-decomp* $(shift-list\ hU\ x\ ps)$
 $\langle proof \rangle$

lemma *hom-decomp-shift-list*:

assumes *hom-decomp* ps **and** $hU \in set\ ps$
shows *hom-decomp* $(shift-list\ hU\ x\ ps)$
 $\langle proof \rangle$

lemma *valid-decomp-shift-list*:

assumes *valid-decomp X ps* **and** $(h, U) \in \text{set } ps$ **and** $x \in U$
shows *valid-decomp X (shift-list (h, U) x ps)*
 $\langle \text{proof} \rangle$

lemma *standard-decomp-shift-list*:

assumes *standard-decomp k ps* **and** $(h1, U1) \in \text{set } ps$ **and** $(h2, U2) \in \text{set } ps$
and *poly-deg h1 = poly-deg h2* **and** *card U2 ≤ card U1* **and** $(h1, U1) \neq (h2, U2)$ **and** $x \in U2$
shows *standard-decomp k (shift-list (h2, U2) x ps)*
 $\langle \text{proof} \rangle$

lemma *cone-decomp-shift-list*:

assumes *valid-decomp X ps* **and** *cone-decomp T ps* **and** $(h, U) \in \text{set } ps$ **and** $x \in U$
shows *cone-decomp T (shift-list (h, U) x ps)*
 $\langle \text{proof} \rangle$

10.9 Functions *shift* and *exact*

context

fixes $k \ m :: \text{nat}$

begin

context

fixes $d :: \text{nat}$

begin

definition *shift2-inv* :: $((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{zero}) \times 'x \text{ set}) \text{ list} \Rightarrow \text{bool}$ **where**
shift2-inv qs \longleftrightarrow *valid-decomp X qs* \wedge *standard-decomp k qs* \wedge *exact-decomp (Suc m) qs* \wedge
 $(\forall d0 < d. \text{card } \{q \in \text{set } qs. \text{poly-deg } (\text{fst } q) = d0 \wedge m < \text{card } (\text{snd } q)\} \leq 1)$

fun *shift1-inv* :: $((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \times 'x \text{ set}) \text{ list} \times ((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{zero}) \times 'x \text{ set}) \text{ set} \Rightarrow \text{bool}$

where *shift1-inv (qs, B)* \longleftrightarrow $B = \{q \in \text{set } qs. \text{poly-deg } (\text{fst } q) = d \wedge m < \text{card } (\text{snd } q)\} \wedge \text{shift2-inv } qs$

lemma *shift2-invI*:

valid-decomp X qs \implies *standard-decomp k qs* \implies *exact-decomp (Suc m) qs* \implies
 $(\wedge d0. d0 < d \implies \text{card } \{q \in \text{set } qs. \text{poly-deg } (\text{fst } q) = d0 \wedge m < \text{card } (\text{snd } q)\} \leq 1) \implies$
shift2-inv qs
 $\langle \text{proof} \rangle$

lemma *shift2-invD*:

assumes *shift2-inv qs*

shows *valid-decomp* X qs **and** *standard-decomp* k qs **and** *exact-decomp* $(Suc\ m)$ qs
and $d0 < d \implies \text{card } \{q \in \text{set } qs. \text{poly-deg } (fst\ q) = d0 \wedge m < \text{card } (snd\ q)\} \leq 1$
 $\langle proof \rangle$

lemma *shift1-invI*:

$B = \{q \in \text{set } qs. \text{poly-deg } (fst\ q) = d \wedge m < \text{card } (snd\ q)\} \implies \text{shift2-inv } qs \implies \text{shift1-inv } (qs, B)$
 $\langle proof \rangle$

lemma *shift1-invD*:

assumes *shift1-inv* (qs, B)
shows $B = \{q \in \text{set } qs. \text{poly-deg } (fst\ q) = d \wedge m < \text{card } (snd\ q)\}$ **and** *shift2-inv* qs
 $\langle proof \rangle$

declare *shift1-inv.simps*[*simp del*]

lemma *shift1-inv-finite-snd*:

assumes *shift1-inv* (qs, B)
shows *finite* B
 $\langle proof \rangle$

lemma *shift1-inv-some-snd*:

assumes *shift1-inv* (qs, B) **and** $1 < \text{card } B$ **and** $(h, U) = (SOME\ b. b \in B \wedge \text{card } (snd\ b) = Suc\ m)$
shows $(h, U) \in B$ **and** $(h, U) \in \text{set } qs$ **and** *poly-deg* $h = d$ **and** $\text{card } U = Suc\ m$
 $\langle proof \rangle$

lemma *shift1-inv-preserved*:

assumes *shift1-inv* (qs, B) **and** $1 < \text{card } B$ **and** $(h, U) = (SOME\ b. b \in B \wedge \text{card } (snd\ b) = Suc\ m)$
and $x = (SOME\ y. y \in U)$
shows *shift1-inv* $(\text{shift-list } (h, U)\ x\ qs, B - \{(h, U)\})$
 $\langle proof \rangle$

function (*domintros*) *shift1* :: $((('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a) \times 'x\ \text{set})\ \text{list} \times ((('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a) \times 'x\ \text{set})\ \text{set}) \Rightarrow$
 $((('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a) \times 'x\ \text{set})\ \text{list} \times$
 $((('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a)::\{\text{comm-ring-1, ring-no-zero-divisors}\})$
 $\times 'x\ \text{set})\ \text{set})$

where

shift1 $(qs, B) =$

(*if* $1 < \text{card } B$ *then*

let $(h, U) = SOME\ b. b \in B \wedge \text{card } (snd\ b) = Suc\ m; x = SOME\ y. y \in U$

in

shift1 $(\text{shift-list } (h, U)\ x\ qs, B - \{(h, U)\})$

else (qs, B)
 ⟨proof⟩

lemma *shift1-domI*:
 assumes *shift1-inv args*
 shows *shift1-dom args*
 ⟨proof⟩

lemma *shift1-induct* [consumes 1, case-names base step]:
 assumes *shift1-inv args*
 assumes $\bigwedge qs B. \text{shift1-inv } (qs, B) \implies \text{card } B \leq 1 \implies P (qs, B) (qs, B)$
 assumes $\bigwedge qs B h U x. \text{shift1-inv } (qs, B) \implies 1 < \text{card } B \implies$
 $(h, U) = (\text{SOME } b. b \in B \wedge \text{card } (\text{snd } b) = \text{Suc } m) \implies x = (\text{SOME } y.$
 $y \in U) \implies$
 $\text{finite } U \implies x \in U \implies \text{card } (U - \{x\}) = m \implies$
 $P (\text{shift-list } (h, U) x qs, B - \{(h, U)\}) (\text{shift1 } (\text{shift-list } (h, U) x qs, B$
 $- \{(h, U)\})) \implies$
 $P (qs, B) (\text{shift1 } (\text{shift-list } (h, U) x qs, B - \{(h, U)\}))$
 shows $P \text{ args } (\text{shift1 } \text{ args})$
 ⟨proof⟩

lemma *shift1-1*:
 assumes *shift1-inv args* and $d0 \leq d$
 shows $\text{card } \{q \in \text{set } (\text{fst } (\text{shift1 } \text{ args})). \text{poly-deg } (\text{fst } q) = d0 \wedge m < \text{card } (\text{snd } q)\} \leq 1$
 ⟨proof⟩

lemma *shift1-2*:
 $\text{shift1-inv args} \implies$
 $\text{card } \{q \in \text{set } (\text{fst } (\text{shift1 } \text{ args})). m < \text{card } (\text{snd } q)\} \leq \text{card } \{q \in \text{set } (\text{fst } \text{ args}).$
 $m < \text{card } (\text{snd } q)\}$
 ⟨proof⟩

lemma *shift1-3*: $\text{shift1-inv args} \implies \text{cone-decomp } T (\text{fst } \text{ args}) \implies \text{cone-decomp } T$
 $(\text{fst } (\text{shift1 } \text{ args}))$
 ⟨proof⟩

lemma *shift1-4*:
 $\text{shift1-inv args} \implies$
 $\text{Max } (\text{poly-deg } ' \text{fst } ' \text{ set } (\text{fst } \text{ args})) \leq \text{Max } (\text{poly-deg } ' \text{fst } ' \text{ set } (\text{fst } (\text{shift1 } \text{ args})))$
 ⟨proof⟩

lemma *shift1-5*: $\text{shift1-inv args} \implies \text{fst } (\text{shift1 } \text{ args}) = [] \iff \text{fst } \text{ args} = []$
 ⟨proof⟩

lemma *shift1-6*: $\text{shift1-inv args} \implies \text{monomial-decomp } (\text{fst } \text{ args}) \implies \text{monomial-decomp}$
 $(\text{fst } (\text{shift1 } \text{ args}))$
 ⟨proof⟩

lemma *shift1-7*: $\text{shift1-inv } args \implies \text{hom-decomp } (fst \text{ } args) \implies \text{hom-decomp } (fst \text{ } (shift1 \text{ } args))$
 ⟨proof⟩

end

lemma *shift2-inv-preserved*:

assumes *shift2-inv d qs*

shows $\text{shift2-inv } (Suc \text{ } d) (fst \text{ } (shift1 \text{ } (qs, \{q \in \text{set } qs. \text{poly-deg } (fst \text{ } q) = d \wedge m < \text{card } (snd \text{ } q)\})))$

⟨proof⟩

function *shift2* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow (((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \times 'x \text{ set}) \text{ list} \Rightarrow$
 $((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\{\text{comm-ring-1, ring-no-zero-divisors}\}) \times 'x$

set) **list where**

shift2 c d qs =

(if c ≤ d then qs

else shift2 c (Suc d) (fst (shift1 (qs, {q ∈ set qs. poly-deg (fst q) = d ∧ m < card (snd q)}))))

⟨proof⟩

termination ⟨proof⟩

lemma *shift2-1*: $\text{shift2-inv } d \text{ } qs \implies \text{shift2-inv } c \text{ } (\text{shift2 } c \text{ } d \text{ } qs)$

⟨proof⟩

lemma *shift2-2*:

$\text{shift2-inv } d \text{ } qs \implies$

$\text{card } \{q \in \text{set } (\text{shift2 } c \text{ } d \text{ } qs). m < \text{card } (snd \text{ } q)\} \leq \text{card } \{q \in \text{set } qs. m < \text{card } (snd \text{ } q)\}$

⟨proof⟩

lemma *shift2-3*: $\text{shift2-inv } d \text{ } qs \implies \text{cone-decomp } T \text{ } qs \implies \text{cone-decomp } T \text{ } (\text{shift2 } c \text{ } d \text{ } qs)$

⟨proof⟩

lemma *shift2-4*:

$\text{shift2-inv } d \text{ } qs \implies \text{Max } (\text{poly-deg } 'fst \text{ } 'set \text{ } qs) \leq \text{Max } (\text{poly-deg } 'fst \text{ } 'set \text{ } (\text{shift2 } c \text{ } d \text{ } qs))$

⟨proof⟩

lemma *shift2-5*:

$\text{shift2-inv } d \text{ } qs \implies \text{shift2 } c \text{ } d \text{ } qs = [] \iff qs = []$

⟨proof⟩

lemma *shift2-6*:

$\text{shift2-inv } d \text{ } qs \implies \text{monomial-decomp } qs \implies \text{monomial-decomp } (\text{shift2 } c \text{ } d \text{ } qs)$

⟨proof⟩

lemma *shift2-7*:

$shift2\text{-inv } d \text{ } qs \implies hom\text{-decomp } qs \implies hom\text{-decomp } (shift2 \text{ } c \text{ } d \text{ } qs)$
 ⟨proof⟩

definition $shift :: (((x \Rightarrow_0 \text{ } nat) \Rightarrow_0 'a) \times 'x \text{ } set) \text{ } list \Rightarrow$
 $((('x \Rightarrow_0 \text{ } nat) \Rightarrow_0 'a :: \{comm\text{-ring-1}, ring\text{-no-zero-divisors}\}) \times$
 $'x \text{ } set) \text{ } list$
where $shift \text{ } qs = shift2 (k + card \{q \in set \text{ } qs. m < card (snd \text{ } q)\}) k \text{ } qs$

lemma $shift2\text{-inv-init}$:

assumes $valid\text{-decomp } X \text{ } qs$ **and** $standard\text{-decomp } k \text{ } qs$ **and** $exact\text{-decomp } (Suc$
 $m) \text{ } qs$
shows $shift2\text{-inv } k \text{ } qs$
 ⟨proof⟩

lemma $shift$:

assumes $valid\text{-decomp } X \text{ } qs$ **and** $standard\text{-decomp } k \text{ } qs$ **and** $exact\text{-decomp } (Suc$
 $m) \text{ } qs$
shows $valid\text{-decomp } X (shift \text{ } qs)$ **and** $standard\text{-decomp } k (shift \text{ } qs)$ **and** $ex\text{-}$
 $act\text{-decomp } m (shift \text{ } qs)$
 ⟨proof⟩

lemma $monomial\text{-decomp-shift}$:

assumes $valid\text{-decomp } X \text{ } qs$ **and** $standard\text{-decomp } k \text{ } qs$ **and** $exact\text{-decomp } (Suc$
 $m) \text{ } qs$
and $monomial\text{-decomp } qs$
shows $monomial\text{-decomp } (shift \text{ } qs)$
 ⟨proof⟩

lemma $hom\text{-decomp-shift}$:

assumes $valid\text{-decomp } X \text{ } qs$ **and** $standard\text{-decomp } k \text{ } qs$ **and** $exact\text{-decomp } (Suc$
 $m) \text{ } qs$
and $hom\text{-decomp } qs$
shows $hom\text{-decomp } (shift \text{ } qs)$
 ⟨proof⟩

lemma $cone\text{-decomp-shift}$:

assumes $valid\text{-decomp } X \text{ } qs$ **and** $standard\text{-decomp } k \text{ } qs$ **and** $exact\text{-decomp } (Suc$
 $m) \text{ } qs$
and $cone\text{-decomp } T \text{ } qs$
shows $cone\text{-decomp } T (shift \text{ } qs)$
 ⟨proof⟩

lemma $Max\text{-shift-ge}$:

assumes $valid\text{-decomp } X \text{ } qs$ **and** $standard\text{-decomp } k \text{ } qs$ **and** $exact\text{-decomp } (Suc$
 $m) \text{ } qs$
shows $Max (poly\text{-deg } 'fst \text{ } set \text{ } qs) \leq Max (poly\text{-deg } 'fst \text{ } set (shift \text{ } qs))$
 ⟨proof⟩

lemma $shift\text{-Nil-iff}$:

assumes *valid-decomp* X qs **and** *standard-decomp* k qs **and** *exact-decomp* $(\text{Suc } m)$ qs
shows $\text{shift } qs = [] \longleftrightarrow qs = []$
 $\langle \text{proof} \rangle$

end

primrec *exact-aux* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow (((\text{'x} \Rightarrow_0 \text{nat}) \Rightarrow_0 \text{'a}) \times \text{'x set}) \text{list} \Rightarrow$
 $((\text{'x} \Rightarrow_0 \text{nat}) \Rightarrow_0 \text{'a}::\{\text{comm-ring-1,ring-no-zero-divisors}\}) \times \text{'x}$
 $\text{set}) \text{list}$ **where**
exact-aux k 0 $qs = qs$ |
exact-aux k $(\text{Suc } m)$ $qs = \text{exact-aux } k$ m $(\text{shift } k$ m $qs)$

lemma *exact-aux*:

assumes *valid-decomp* X qs **and** *standard-decomp* k qs **and** *exact-decomp* m qs
shows *valid-decomp* X $(\text{exact-aux } k$ m $qs)$ **(is** *?thesis1*
and *standard-decomp* k $(\text{exact-aux } k$ m $qs)$ **(is** *?thesis2*)
and *exact-decomp* 0 $(\text{exact-aux } k$ m $qs)$ **(is** *?thesis3*)
 $\langle \text{proof} \rangle$

lemma *monomial-decomp-exact-aux*:

assumes *valid-decomp* X qs **and** *standard-decomp* k qs **and** *exact-decomp* m qs
and *monomial-decomp* qs
shows *monomial-decomp* $(\text{exact-aux } k$ m $qs)$
 $\langle \text{proof} \rangle$

lemma *hom-decomp-exact-aux*:

assumes *valid-decomp* X qs **and** *standard-decomp* k qs **and** *exact-decomp* m qs
and *hom-decomp* qs
shows *hom-decomp* $(\text{exact-aux } k$ m $qs)$
 $\langle \text{proof} \rangle$

lemma *cone-decomp-exact-aux*:

assumes *valid-decomp* X qs **and** *standard-decomp* k qs **and** *exact-decomp* m qs
and *cone-decomp* T qs
shows *cone-decomp* T $(\text{exact-aux } k$ m $qs)$
 $\langle \text{proof} \rangle$

lemma *Max-exact-aux-ge*:

assumes *valid-decomp* X qs **and** *standard-decomp* k qs **and** *exact-decomp* m qs
shows $\text{Max } (\text{poly-deg } \text{'fst } \text{' set } qs) \leq \text{Max } (\text{poly-deg } \text{'fst } \text{' set } (\text{exact-aux } k$ m
 $qs))$
 $\langle \text{proof} \rangle$

lemma *exact-aux-Nil-iff*:

assumes *valid-decomp* X qs **and** *standard-decomp* k qs **and** *exact-decomp* m qs
shows $\text{exact-aux } k$ m $qs = [] \longleftrightarrow qs = []$
 $\langle \text{proof} \rangle$

definition *exact* :: $\text{nat} \Rightarrow ((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \times 'x \text{ set}) \text{ list} \Rightarrow$
 $((('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \{\text{comm-ring-1, ring-no-zero-divisors}\}) \times$
 $'x \text{ set}) \text{ list}$
where $\text{exact } k \text{ qs} = \text{exact-aux } k (\text{card } X) \text{ qs}$

lemma *exact*:
assumes *valid-decomp* $X \text{ qs}$ **and** *standard-decomp* $k \text{ qs}$
shows *valid-decomp* $X (\text{exact } k \text{ qs})$ (**is** *?thesis1*)
and *standard-decomp* $k (\text{exact } k \text{ qs})$ (**is** *?thesis2*)
and *exact-decomp* $0 (\text{exact } k \text{ qs})$ (**is** *?thesis3*)
 $\langle \text{proof} \rangle$

lemma *monomial-decomp-exact*:
assumes *valid-decomp* $X \text{ qs}$ **and** *standard-decomp* $k \text{ qs}$ **and** *monomial-decomp* qs
shows *monomial-decomp* $(\text{exact } k \text{ qs})$
 $\langle \text{proof} \rangle$

lemma *hom-decomp-exact*:
assumes *valid-decomp* $X \text{ qs}$ **and** *standard-decomp* $k \text{ qs}$ **and** *hom-decomp* qs
shows *hom-decomp* $(\text{exact } k \text{ qs})$
 $\langle \text{proof} \rangle$

lemma *cone-decomp-exact*:
assumes *valid-decomp* $X \text{ qs}$ **and** *standard-decomp* $k \text{ qs}$ **and** *cone-decomp* $T \text{ qs}$
shows *cone-decomp* $T (\text{exact } k \text{ qs})$
 $\langle \text{proof} \rangle$

lemma *Max-exact-ge*:
assumes *valid-decomp* $X \text{ qs}$ **and** *standard-decomp* $k \text{ qs}$
shows $\text{Max } (\text{poly-deg } ' \text{fst } ' \text{set } qs) \leq \text{Max } (\text{poly-deg } ' \text{fst } ' \text{set } (\text{exact } k \text{ qs}))$
 $\langle \text{proof} \rangle$

lemma *exact-Nil-iff*:
assumes *valid-decomp* $X \text{ qs}$ **and** *standard-decomp* $k \text{ qs}$
shows $\text{exact } k \text{ qs} = [] \iff qs = []$
 $\langle \text{proof} \rangle$

corollary *b-zero-exact*:
assumes *valid-decomp* $X \text{ qs}$ **and** *standard-decomp* $k \text{ qs}$ **and** $qs \neq []$
shows $\text{Suc } (\text{Max } (\text{poly-deg } ' \text{fst } ' \text{set } qs)) \leq b (\text{exact } k \text{ qs}) \ 0$
 $\langle \text{proof} \rangle$

lemma *normal-form-exact-decompE*:
assumes $F \subseteq P[X]$
obtains qs **where** *valid-decomp* $X \text{ qs}$ **and** *standard-decomp* 0 qs **and** *mono-*
mial-decomp qs
and *cone-decomp* $(\text{normal-form } F ' P[X]) \text{ qs}$ **and** *exact-decomp* 0 qs
and $\bigwedge g. (\bigwedge f. f \in F \implies \text{homogeneous } f) \implies g \in \text{punit.reduced-GB } F \implies$
 $\text{poly-deg } g \leq b \text{ qs } 0$

<proof>

end

end

end

end

11 Dubé's Degree-Bound for Homogeneous Gröbner Bases

theory *Dube-Bound*

imports *Poly-Fun Cone-Decomposition Degree-Bound-Utils*

begin

context *fixes* $n\ d :: \text{nat}$

begin

function *Dube-aux* :: $\text{nat} \Rightarrow \text{nat}$ **where**

Dube-aux $j =$ (if $j + 2 < n$ then
 $2 + ((\text{Dube-aux } (j + 1)) \text{ choose } 2) + (\sum_{i=j+3..n-1}. (\text{Dube-aux } i) \text{ choose } (\text{Suc } (i - j)))$
 else if $j + 2 = n$ then $d^2 + 2 * d$ else $2 * d$)

<proof>

termination *<proof>*

definition *Dube* :: nat **where** *Dube* = (if $n \leq 1 \vee d = 0$ then d else *Dube-aux* 1)

lemma *Dube-aux-ge-d*: $d \leq \text{Dube-aux } j$

<proof>

corollary *Dube-ge-d*: $d \leq \text{Dube}$

<proof>

Dubé in [1] proves the following theorem, to obtain a short closed form for the degree bound. However, the proof he gives is wrong: In the last-but-one proof step of Lemma 8.1 the sum on the right-hand-side of the inequality can be greater than $1/2$ (e.g. for $n = 7$, $d = 2$ and $j = 1$), rendering the value inside the big brackets negative. This is also true without the additional summand 2 we had to introduce in function *local.Dube-aux* to correct another mistake found in [1]. Nonetheless, experiments carried out in Mathematica still suggest that the short closed form is a valid upper bound for *local.Dube*, even with the additional summand 2 . So, with some effort it might be possible to prove the theorem below; but in fact function *local.Dube* gives typically much better (i.e. smaller) values for concrete values of n and

d , so it is better to stick to *local.Dube* instead of the closed form anyway. Asymptotically, as n tends to infinity, *local.Dube* grows double exponentially, too.

theorem *rat-of-nat Dube* $\leq 2 * ((\text{rat-of-nat } d)^2 / 2 + (\text{rat-of-nat } d)) \wedge (2 \wedge (n - 2))$
 ⟨proof⟩

end

11.1 Hilbert Function and Hilbert Polynomial

context *pm-powerprod*
begin

context
 fixes $X :: 'x \text{ set}$
 assumes *fin-X*: *finite X*
begin

lemma *Hilbert-fun-cone-aux*:
 assumes $h \in P[X]$ and $h \neq 0$ and $U \subseteq X$ and *homogeneous* ($h::- \Rightarrow_0 'a::\text{field}$)
 shows *Hilbert-fun* (*cone* (h, U)) $z = \text{card } \{t \in .[U]. \text{deg-pm } t + \text{poly-deg } h = z\}$
 ⟨proof⟩

lemma *Hilbert-fun-cone-empty*:
 assumes $h \in P[X]$ and $h \neq 0$ and *homogeneous* ($h::- \Rightarrow_0 'a::\text{field}$)
 shows *Hilbert-fun* (*cone* ($h, \{\}$)) $z = (\text{if } \text{poly-deg } h = z \text{ then } 1 \text{ else } 0)$
 ⟨proof⟩

lemma *Hilbert-fun-cone-nonempty*:
 assumes $h \in P[X]$ and $h \neq 0$ and $U \subseteq X$ and *homogeneous* ($h::- \Rightarrow_0 'a::\text{field}$)
 and $U \neq \{\}$
 shows *Hilbert-fun* (*cone* (h, U)) $z =$
 (*if* $\text{poly-deg } h \leq z$ *then* $((z - \text{poly-deg } h) + (\text{card } U - 1))$ *choose* $(\text{card } U - 1)$ *else* 0)
 ⟨proof⟩

corollary *Hilbert-fun-Polys*:
 assumes $X \neq \{\}$
 shows *Hilbert-fun* ($P[X]::(- \Rightarrow_0 'a::\text{field}) \text{ set}$) $z = (z + (\text{card } X - 1))$ *choose* $(\text{card } X - 1)$
 ⟨proof⟩

lemma *Hilbert-fun-cone-decomp*:
 assumes *cone-decomp T ps* and *valid-decomp X ps* and *hom-decomp ps*
 shows *Hilbert-fun* $T z = (\sum hU \in \text{set } ps. \text{Hilbert-fun } (\text{cone } hU) z)$
 ⟨proof⟩

definition *Hilbert-poly* $:: (\text{nat} \Rightarrow \text{nat}) \Rightarrow \text{int} \Rightarrow \text{int}$

where *Hilbert-poly* $b =$
 $(\lambda z::int. \text{let } n = \text{card } X \text{ in}$
 $((z - b (\text{Suc } n) + n) \text{ gchoose } n) - 1 - (\sum_{i=1..n}. (z - b \ i + i -$
 $1) \text{ gchoose } i))$

lemma *poly-fun-Hilbert-poly*: *poly-fun* (*Hilbert-poly* b)
 $\langle \text{proof} \rangle$

lemma *Hilbert-fun-eq-Hilbert-poly-plus-card*:
assumes $X \neq \{\}$ **and** *valid-decomp* X ps **and** *hom-decomp* ps **and** *cone-decomp*
 T ps
and *standard-decomp* k ps **and** *exact-decomp* X 0 ps **and** $b \ ps \ (\text{Suc } 0) \leq d$
shows $\text{int } (\text{Hilbert-fun } T \ d) = \text{card } \{h::-\Rightarrow_0 \ 'a::\text{field}. (h, \{\}) \in \text{set } ps \wedge \text{poly-deg}$
 $h = d\} + \text{Hilbert-poly } (b \ ps) \ d$
 $\langle \text{proof} \rangle$

corollary *Hilbert-fun-eq-Hilbert-poly*:
assumes $X \neq \{\}$ **and** *valid-decomp* X ps **and** *hom-decomp* ps **and** *cone-decomp*
 T ps
and *standard-decomp* k ps **and** *exact-decomp* X 0 ps **and** $b \ ps \ 0 \leq d$
shows $\text{int } (\text{Hilbert-fun } (T::(-\Rightarrow_0 \ 'a::\text{field}) \ \text{set}) \ d) = \text{Hilbert-poly } (b \ ps) \ d$
 $\langle \text{proof} \rangle$

11.2 Dubé's Bound

context
fixes $f :: ('x \Rightarrow_0 \ \text{nat}) \Rightarrow_0 \ 'a::\text{field}$
fixes F
assumes *n-gr-1*: $1 < \text{card } X$ **and** *fin-F*: *finite* F **and** *F-sub*: $F \subseteq P[X]$ **and**
f-in: $f \in F$
and *hom-F*: $\bigwedge f'. f' \in F \implies \text{homogeneous } f'$ **and** *f-max*: $\bigwedge f'. f' \in F \implies$
poly-deg $f' \leq \text{poly-deg } f$
and *d-gr-0*: $0 < \text{poly-deg } f$ **and** *ideal-f-neq*: *ideal* $\{f\} \neq \text{ideal } F$
begin

private abbreviation (*input*) $n \equiv \text{card } X$
private abbreviation (*input*) $d \equiv \text{poly-deg } f$

lemma *f-in-Polys*: $f \in P[X]$
 $\langle \text{proof} \rangle$

lemma *hom-f*: *homogeneous* f
 $\langle \text{proof} \rangle$

lemma *f-not-0*: $f \neq 0$
 $\langle \text{proof} \rangle$

lemma *X-not-empty*: $X \neq \{\}$
 $\langle \text{proof} \rangle$

lemma *n-gr-0*: $0 < n$

<proof>

corollary *int-n-minus-1* [*simp*]: $\text{int } (n - \text{Suc } 0) = \text{int } n - 1$

<proof>

lemma *int-n-minus-2* [*simp*]: $\text{int } (n - \text{Suc } (\text{Suc } 0)) = \text{int } n - 2$

<proof>

lemma *cone-f-X-sub*: $\text{cone } (f, X) \subseteq P[X]$

<proof>

lemma *ideal-Int-Polys-eq-cone*: $\text{ideal } \{f\} \cap P[X] = \text{cone } (f, X)$

<proof> **definition** *P-ps* **where**

$P\text{-ps} = (\text{SOME } x. \text{valid-decomp } X (\text{snd } x) \wedge \text{standard-decomp } d (\text{snd } x) \wedge$
 $\text{exact-decomp } X 0 (\text{snd } x) \wedge \text{cone-decomp } (\text{fst } x) (\text{snd } x) \wedge$
 $\text{hom-decomp } (\text{snd } x) \wedge$
 $\text{direct-decomp } (\text{ideal } F \cap P[X]) [\text{ideal } \{f\} \cap P[X], \text{fst } x])$

private definition *P* **where** $P = \text{fst } P\text{-ps}$

private definition *ps* **where** $ps = \text{snd } P\text{-ps}$

lemma

shows *valid-ps*: $\text{valid-decomp } X ps$ (**is** *?thesis1*)

and *std-ps*: $\text{standard-decomp } d ps$ (**is** *?thesis2*)

and *ext-ps*: $\text{exact-decomp } X 0 ps$ (**is** *?thesis3*)

and *cn-ps*: $\text{cone-decomp } P ps$ (**is** *?thesis4*)

and *hom-ps*: $\text{hom-decomp } ps$ (**is** *?thesis5*)

and *decomp-F*: $\text{direct-decomp } (\text{ideal } F \cap P[X]) [\text{ideal } \{f\} \cap P[X], P]$ (**is** *?thesis6*)

<proof>

lemma *P-sub*: $P \subseteq P[X]$

<proof>

lemma *ps-not-Nil*: $ps_+ \neq []$

<proof> **definition** *N* **where** $N = \text{normal-form } F \text{ ' } P[X]$

private definition *qs* **where** $qs = (\text{SOME } qs'. \text{valid-decomp } X qs' \wedge \text{standard-decomp } 0 qs' \wedge$

$\text{monomial-decomp } qs' \wedge \text{cone-decomp } N qs' \wedge$

$\text{exact-decomp } X 0 qs' \wedge$

$(\forall g \in \text{punit.reduced-GB } F. \text{poly-deg } g \leq \text{b } qs' 0))$

private definition *aa* $\equiv \text{b } ps$

private definition *bb* $\equiv \text{b } qs$

private abbreviation *cc* $\equiv (\lambda i. aa \ i + bb \ i)$

lemma

shows *valid-qs*: *valid-decomp* X *qs* (**is** *?thesis1*)
and *std-qs*: *standard-decomp* 0 *qs* (**is** *?thesis2*)
and *mon-qs*: *monomial-decomp* *qs* (**is** *?thesis3*)
and *hom-qs*: *hom-decomp* *qs* (**is** *?thesis6*)
and *cn-qs*: *cone-decomp* N *qs* (**is** *?thesis4*)
and *ext-qs*: *exact-decomp* X 0 *qs* (**is** *?thesis5*)
and *deg-RGB*: $g \in \text{punit.reduced-GB } F \implies \text{poly-deg } g \leq \text{bb } 0$

<proof>

lemma *N-sub*: $N \subseteq P[X]$

<proof>

lemma *decomp-Polys*: *direct-decomp* $P[X]$ [*ideal* $\{f\} \cap P[X]$, P , N]

<proof>

lemma *aa-Suc-n [simp]*: aa (*Suc* n) = d

<proof>

lemma *bb-Suc-n [simp]*: bb (*Suc* n) = 0

<proof>

lemma *Hilbert-fun-X*:

assumes $d \leq z$

shows *Hilbert-fun* ($P[X]::(- \Rightarrow_0 'a)$ *set*) z =

$((z - d) + (n - 1) \text{ choose } n - 1) + \text{Hilbert-fun } P \ z + \text{Hilbert-fun } N \ z$

<proof>

lemma *dube-eg-0*:

$(\lambda z::\text{int. } (z + \text{int } n - 1) \text{ gchoose } (n - 1)) =$

$(\lambda z::\text{int. } ((z - d + n - 1) \text{ gchoose } (n - 1)) + \text{Hilbert-poly } aa \ z + \text{Hilbert-poly } bb \ z)$

(**is** *?f* = *?g*)

<proof>

corollary *dube-eg-1*:

$(\lambda z::\text{int. } (z + \text{int } n - 1) \text{ gchoose } (n - 1)) =$

$(\lambda z::\text{int. } ((z - d + n - 1) \text{ gchoose } (n - 1)) + ((z - d + n) \text{ gchoose } n) + ((z + n) \text{ gchoose } n) - 2 -$

$(\sum_{i=1..n. ((z - aa \ i + i - 1) \text{ gchoose } i) + ((z - bb \ i + i - 1) \text{ gchoose } i)))$

<proof>

lemma *dube-eg-2*:

assumes $j < n$

shows $(\lambda z::\text{int. } (z + \text{int } n - \text{int } j - 1) \text{ gchoose } (n - j - 1)) =$

$(\lambda z::\text{int. } ((z - d + n - \text{int } j - 1) \text{ gchoose } (n - j - 1)) + ((z - d + n - j) \text{ gchoose } (n - j)) +$

$$((z + n - j) \text{ gchoose } (n - j)) - 2 -$$

$$(\sum_{i=\text{Suc } j..n}. ((z - aa\ i + i - j - 1) \text{ gchoose } (i - j)) + ((z -$$

$$bb\ i + i - j - 1) \text{ gchoose } (i - j))))$$

(is ?f = ?g)
 <proof>

lemma *dube-eq-3*:

assumes $j < n$
shows $(1::\text{int}) = (-1)^{\wedge(n - \text{Suc } j)} * ((\text{int } d - 1) \text{ gchoose } (n - \text{Suc } j)) +$
 $(-1)^{\wedge(n - j)} * ((\text{int } d - 1) \text{ gchoose } (n - j)) - 1 -$
 $(\sum_{i=\text{Suc } j..n}. (-1)^{\wedge(i - j)} * ((\text{int } (aa\ i) \text{ gchoose } (i - j)) +$
 $(\text{int } (bb\ i) \text{ gchoose } (i - j))))$
 <proof>

lemma *dube-aux-1*:

assumes $(h, \{\}) \in \text{set } ps \cup \text{set } qs$
shows $\text{poly-deg } h < \max (aa\ 1) (bb\ 1)$
 <proof>

lemma

shows $aa\ n = d$ **and** $bb\ n = 0$ **and** $bb\ 0 \leq \max (aa\ 1) (bb\ 1)$
 <proof>

lemma *dube-eq-4*:

assumes $j < n$
shows $(1::\text{int}) = 2 * (-1)^{\wedge(n - \text{Suc } j)} * ((\text{int } d - 1) \text{ gchoose } (n - \text{Suc } j)) -$
 $1 -$
 $(\sum_{i=\text{Suc } j..n-1}. (-1)^{\wedge(i - j)} * ((\text{int } (aa\ i) \text{ gchoose } (i - j)) +$
 $(\text{int } (bb\ i) \text{ gchoose } (i - j))))$
 <proof>

lemma *cc-Suc*:

assumes $j < n - 1$
shows $\text{int } (cc\ (\text{Suc } j)) = 2 + 2 * (-1)^{\wedge(n - j)} * ((\text{int } d - 1) \text{ gchoose } (n -$
 $\text{Suc } j)) +$
 $(\sum_{i=j+2..n-1}. (-1)^{\wedge(i - j)} * ((\text{int } (aa\ i) \text{ gchoose } (i - j)) +$
 $(\text{int } (bb\ i) \text{ gchoose } (i - j))))$
 <proof>

lemma *cc-n-minus-1*: $cc\ (n - 1) = 2 * d$

<proof>

Since the case $\text{card } X = 2$ is settled, we can concentrate on $2 < \text{card } X$ now.

context

assumes $n\text{-gr-2}$: $2 < n$

begin

lemma *cc-n-minus-2*: $cc\ (n - 2) \leq d^2 + 2 * d$

<proof>

lemma *cc-Suc-le*:

assumes $j < n - 3$

shows $\text{int } (cc \text{ (Suc } j)) \leq 2 + (\text{int } (cc \text{ (} j + 2))) \text{ } g\text{choose } 2) + (\sum_{i=j+4..n-1} \text{int } (cc \text{ } i) \text{ } g\text{choose } (i - j))$

— Could be proved without coercing to *int*, because everything is non-negative.

<proof>

corollary *cc-le*:

assumes $0 < j$ **and** $j < n - 2$

shows $cc \text{ } j \leq 2 + (cc \text{ (} j + 1) \text{ } choose \ 2) + (\sum_{i=j+3..n-1} cc \text{ } i \text{ } choose \ (Suc \ (i - j)))$

<proof>

corollary *cc-le-Dube-aux*: $0 < j \implies j + 1 \leq n \implies cc \text{ } j \leq Dube\text{-aux } n \ d \ j$

<proof>

end

lemma *Dube-aux*:

assumes $g \in \text{punit.reduced-GB } F$

shows $\text{poly-deg } g \leq Dube\text{-aux } n \ d \ 1$

<proof>

end

theorem *Dube*:

assumes *finite* F **and** $F \subseteq P[X]$ **and** $\bigwedge f. f \in F \implies \text{homogeneous } f$ **and** $g \in \text{punit.reduced-GB } F$

shows $\text{poly-deg } g \leq Dube \text{ (card } X) \text{ (maxdeg } F)$

<proof>

corollary *Dube-is-hom-GB-bound*:

$\text{finite } F \implies F \subseteq P[X] \implies \text{is-hom-GB-bound } F \text{ (Dube (card } X) \text{ (maxdeg } F))$

<proof>

end

corollary *Dube-indets*:

assumes *finite* F **and** $\bigwedge f. f \in F \implies \text{homogeneous } f$ **and** $g \in \text{punit.reduced-GB } F$

shows $\text{poly-deg } g \leq Dube \text{ (card } (\bigcup (\text{indets ' } F))) \text{ (maxdeg } F)$

<proof>

corollary *Dube-is-hom-GB-bound-indets*:

$\text{finite } F \implies \text{is-hom-GB-bound } F \text{ (Dube (card } (\bigcup (\text{indets ' } F))) \text{ (maxdeg } F))$

<proof>

```

end

hide-const (open) pm-powerprod.a pm-powerprod.b

context extended-ord-pm-powerprod
begin

lemma Dube-is-GB-cofactor-bound:
  assumes finite X and finite F and  $F \subseteq P[X]$ 
  shows is-GB-cofactor-bound F (Dube (Suc (card X)) (maxdeg F))
  <proof>

lemma Dube-is-GB-cofactor-bound-explicit:
  assumes finite X and finite F and  $F \subseteq P[X]$ 
  obtains G where punit.is-Groebner-basis G and ideal G = ideal F and  $G \subseteq P[X]$ 
  and  $\bigwedge g. g \in G \implies \exists q. g = (\sum_{f \in F} q f * f) \wedge$ 
   $(\forall f. q f \in P[X] \wedge \text{poly-deg } (q f * f) \leq \text{Dube } (\text{Suc } (\text{card } X))$ 
   $(\text{maxdeg } F) \wedge$ 
   $(f \notin F \longrightarrow q f = 0))$ 
  <proof>

corollary Dube-is-GB-cofactor-bound-indets:
  assumes finite F
  shows is-GB-cofactor-bound F (Dube (Suc (card ( $\bigcup$  (indets ' F)))) (maxdeg F))
  <proof>

end

end

```

12 Sample Computations of Gröbner Bases via Macaulay Matrices

```

theory Groebner-Macaulay-Examples
  imports
    Groebner-Macaulay
    Dube-Bound
    Groebner-Bases.Benchmarks
    Jordan-Normal-Form.Gauss-Jordan-IArray-Impl
    Groebner-Bases.Code-Target-Rat
begin

12.1 Combining Groebner-Macaulay.Groebner-Macaulay and Groebner-Macaulay.Dube-Bound

context extended-ord-pm-powerprod

```

begin

theorem *thm-2-3-6-Dube*:

assumes *finite X and set fs* $\subseteq P[X]$

shows *punit.is-Groebner-basis* (*set* (*punit.Macaulay-list*
(*deg-shifts X (Dube (Suc (card X)) (maxdeg (set*
fs))) fs)))
(*proof*)

theorem *thm-2-3-7-Dube*:

assumes *finite X and set fs* $\subseteq P[X]$

shows $1 \in \text{ideal } (\text{set } fs) \iff$
 $1 \in \text{set } (\text{punit.Macaulay-list } (\text{deg-shifts } X \text{ (Dube (Suc (card X)) (maxdeg$
 $(\text{set } fs))) fs)$)
(*proof*)

theorem *thm-2-3-6-indets-Dube*:

fixes *fs*

defines $X \equiv \bigcup (\text{indets } ' \text{ set } fs)$

shows *punit.is-Groebner-basis* (*set* (*punit.Macaulay-list*
(*deg-shifts X (Dube (Suc (card X)) (maxdeg (set*
fs))) fs)))
(*proof*)

theorem *thm-2-3-7-indets-Dube*:

fixes *fs*

defines $X \equiv \bigcup (\text{indets } ' \text{ set } fs)$

shows $1 \in \text{ideal } (\text{set } fs) \iff$
 $1 \in \text{set } (\text{punit.Macaulay-list } (\text{deg-shifts } X \text{ (Dube (Suc (card X)) (maxdeg$
 $(\text{set } fs))) fs)$)
(*proof*)

end

12.2 Preparations

primrec *remdups-wrt-rev* :: (*'a* \Rightarrow *'b*) \Rightarrow *'a list* \Rightarrow *'b list* \Rightarrow *'a list* **where**

remdups-wrt-rev f [] vs = [] |

remdups-wrt-rev f (x # xs) vs =

(*let fx = f x in if List.member vs fx then remdups-wrt-rev f xs vs else x #*
(remdups-wrt-rev f xs (fx # vs)))

lemma *remdups-wrt-rev-notin*: $v \in \text{set } vs \implies v \notin f ' \text{ set } (\text{remdups-wrt-rev } f \text{ xs } vs)$
(*proof*)

lemma *distinct-remdups-wrt-rev*: *distinct* (*map f (remdups-wrt-rev f xs vs)*)
(*proof*)

lemma *map-of-remdups-wrt-rev'*:

map-of (remdups-wrt-rev fst xs vs) k = map-of (filter (λx. fst x ∉ set vs) xs) k
 ⟨proof⟩

corollary *map-of-remdups-wrt-rev: map-of (remdups-wrt-rev fst xs []) = map-of xs*
 ⟨proof⟩

lemma (in *term-powerprod*) *compute-list-to-poly [code]:*
list-to-poly ts cs = distr₀ DRLEX (remdups-wrt-rev fst (zip ts cs) [])
 ⟨proof⟩

lemma (in *ordered-term*) *compute-Macaulay-list [code]:*
Macaulay-list ps =
 (let *ts = Keys-to-list ps in*
 filter (λp. p ≠ 0) (mat-to-polys ts (row-echelon (polys-to-mat ts ps)))
)
 ⟨proof⟩

declare *conversep-iff [code]*

derive (eq) *ceq poly-mapping*
derive (no) *ccompare poly-mapping*
derive (dlist) *set-impl poly-mapping*
derive (no) *cenum poly-mapping*

derive (eq) *ceq rat*
derive (no) *ccompare rat*
derive (dlist) *set-impl rat*
derive (no) *cenum rat*

12.2.1 Connection between $(x \Rightarrow_0 a) \Rightarrow_0 b$ and $(x, a) pp \Rightarrow_0 b$

definition *keys-pp-to-list :: (x::linorder, a::zero) pp ⇒ x list*
 where *keys-pp-to-list t = sorted-list-of-set (keys-pp t)*

lemma *inj-PP: inj PP*
 ⟨proof⟩

lemma *inj-mapping-of: inj mapping-of*
 ⟨proof⟩

lemma *mapping-of-comp-PP [simp]:*
mapping-of ∘ PP = (λx. x)
PP ∘ mapping-of = (λx. x)
 ⟨proof⟩

lemma *map-key-PP-mapping-of [simp]: Poly-Mapping.map-key PP (Poly-Mapping.map-key mapping-of p) = p*
 ⟨proof⟩

lemma *map-key-mapping-of-PP* [simp]: *Poly-Mapping.map-key mapping-of (Poly-Mapping.map-key PP p) = p*
 ⟨proof⟩

lemmas *map-key-PP-plus = map-key-plus[OF inj-PP]*
lemmas *map-key-PP-zero [simp] = map-key-zero[OF inj-PP]*

lemma *lookup-map-key-PP*: *lookup (Poly-Mapping.map-key PP p) t = lookup p (PP t)*
 ⟨proof⟩

lemma *keys-map-key-PP*: *keys (Poly-Mapping.map-key PP p) = mapping-of ' keys p*
 ⟨proof⟩

lemma *map-key-PP-zero-iff* [iff]: *Poly-Mapping.map-key PP p = 0 \longleftrightarrow p = 0*
 ⟨proof⟩

lemma *map-key-PP-uminus* [simp]: *Poly-Mapping.map-key PP (- p) = - Poly-Mapping.map-key PP p*
 ⟨proof⟩

lemma *map-key-PP-minus*:
Poly-Mapping.map-key PP (p - q) = Poly-Mapping.map-key PP p - Poly-Mapping.map-key PP q
 ⟨proof⟩

lemma *map-key-PP-monomial* [simp]: *Poly-Mapping.map-key PP (monomial c t) = monomial c (mapping-of t)*
 ⟨proof⟩

lemma *map-key-PP-one* [simp]: *Poly-Mapping.map-key PP 1 = 1*
 ⟨proof⟩

lemma *map-key-PP-monom-mult-punit*:
Poly-Mapping.map-key PP (monom-mult-punit c t p) = monom-mult-punit c (mapping-of t) (Poly-Mapping.map-key PP p)
 ⟨proof⟩

lemma *map-key-PP-times*:
*Poly-Mapping.map-key PP (p * q) = Poly-Mapping.map-key PP p * Poly-Mapping.map-key PP (q::(-, -::add-linorder))*
pp \Rightarrow_0 -)
 ⟨proof⟩

lemma *map-key-PP-sum*: *Poly-Mapping.map-key PP (sum f A) = ($\sum a \in A.$* *Poly-Mapping.map-key PP (f a)*)
 ⟨proof⟩

lemma *map-key-PP-ideal*:

*Poly-Mapping.map-key PP ' ideal F = ideal (Poly-Mapping.map-key PP ' (F::((- ,
-::add-linorder) pp =>_0 -) set))*
<proof>

12.2.2 Locale *pp-powerprod*

We have to introduce a new locale analogous to *pm-powerprod*, but this time for power-products represented by *pp* rather than *poly-mapping*. This apparently leads to some (more-or-less) duplicate definitions and lemmas, but seems to be the only feasible way to get both

- the convenient representation by *poly-mapping* for theory development, and
- the executable representation by *pp* for code generation.

locale *pp-powerprod* =
ordered-powerprod ord ord-strict
for *ord::('x::{countable,linorder}, nat) pp => ('x, nat) pp => bool*
and *ord-strict*
begin

sublocale *gd-powerprod* *<proof>*

sublocale *pp-pm: extended-ord-pm-powerprod* *λs t. ord (PP s) (PP t) λs t. ord-strict*
(PP s) (PP t)
<proof>

definition *poly-deg-pp* :: *(('x, nat) pp =>_0 'a::zero) => nat*
where *poly-deg-pp p = (if p = 0 then 0 else max-list (map deg-pp (punit.keys-to-list p)))*

primrec *deg-le-sect-pp-aux* :: *'x list => nat => ('x, nat) pp =>_0 nat* **where**
deg-le-sect-pp-aux xs 0 = 1 |
deg-le-sect-pp-aux xs (Suc n) =
(let p = deg-le-sect-pp-aux xs n in p + foldr (λx. (+) (monom-mult-punit 1
(single-pp x 1) p)) xs 0)

definition *deg-le-sect-pp* :: *'x list => nat => ('x, nat) pp list*
where *deg-le-sect-pp xs d = punit.keys-to-list (deg-le-sect-pp-aux xs d)*

definition *deg-shifts-pp* :: *'x list => nat =>*
(('x, nat) pp =>_0 'b) list => (('x, nat) pp =>_0 'b::semiring-1)
list
where *deg-shifts-pp xs d fs = concat (map (λf. (map (λt. monom-mult-punit 1*
t f)

$(deg-le-sect-pp\ xs\ (d - poly-deg-pp\ f))))\ fs)$

definition $indets-pp :: (('x, nat)\ pp \Rightarrow_0 'b::zero) \Rightarrow 'x\ list$
where $indets-pp\ p = remdups\ (concat\ (map\ keys-pp-to-list\ (punit.keys-to-list\ p)))$

definition $Indets-pp :: (('x, nat)\ pp \Rightarrow_0 'b::zero)\ list \Rightarrow 'x\ list$
where $Indets-pp\ ps = remdups\ (concat\ (map\ indets-pp\ ps))$

lemma $map-PP-insort$:
 $map\ PP\ (pp-pm.ordered-powerprod-lin.insort\ x\ xs) = ordered-powerprod-lin.insort$
 $(PP\ x)\ (map\ PP\ xs)$
 $\langle proof \rangle$

lemma $map-PP-sorted-list-of-set$:
 $map\ PP\ (pp-pm.ordered-powerprod-lin.sorted-list-of-set\ T) =$
 $ordered-powerprod-lin.sorted-list-of-set\ (PP\ ' T)$
 $\langle proof \rangle$

lemma $map-PP-pps-to-list$: $map\ PP\ (pp-pm.punit.pps-to-list\ T) = punit.pps-to-list$
 $(PP\ ' T)$
 $\langle proof \rangle$

lemma $map-mapping-of-pps-to-list$:
 $map\ mapping-of\ (punit.pps-to-list\ T) = pp-pm.punit.pps-to-list\ (mapping-of\ ' T)$
 $\langle proof \rangle$

lemma $keys-to-list-map-key-PP$:
 $pp-pm.punit.keys-to-list\ (Poly-Mapping.map-key\ PP\ p) = map\ mapping-of\ (punit.keys-to-list$
 $p)$
 $\langle proof \rangle$

lemma $Keys-to-list-map-key-PP$:
 $pp-pm.punit.Keys-to-list\ (map\ (Poly-Mapping.map-key\ PP)\ fs) = map\ map-$
 $ping-of\ (punit.Keys-to-list\ fs)$
 $\langle proof \rangle$

lemma $poly-deg-map-key-PP$: $poly-deg\ (Poly-Mapping.map-key\ PP\ p) = poly-deg-pp$
 p
 $\langle proof \rangle$

lemma $deg-le-sect-pp-aux-1$:
assumes $t \in keys\ (deg-le-sect-pp-aux\ xs\ n)$
shows $deg-pp\ t \leq n$ **and** $keys-pp\ t \subseteq set\ xs$
 $\langle proof \rangle$

lemma $deg-le-sect-pp-aux-2$:
assumes $deg-pp\ t \leq n$ **and** $keys-pp\ t \subseteq set\ xs$
shows $t \in keys\ (deg-le-sect-pp-aux\ xs\ n)$
 $\langle proof \rangle$

lemma *keys-deg-le-sect-pp-aux*:

$keys (deg-le-sect-pp-aux\ xs\ n) = \{t. deg-pp\ t \leq n \wedge keys-pp\ t \subseteq set\ xs\}$
(proof)

lemma *deg-le-sect-deg-le-sect-pp*:

$map\ PP\ (pp-pm.punit.pps-to-list\ (deg-le-sect\ (set\ xs)\ d)) = deg-le-sect-pp\ xs\ d$
(proof)

lemma *deg-shifts-deg-shifts-pp*:

$pp-pm.deg-shifts\ (set\ xs)\ d\ (map\ (Poly-Mapping.map-key\ PP)\ fs) =$
 $map\ (Poly-Mapping.map-key\ PP)\ (deg-shifts-pp\ xs\ d\ fs)$
(proof)

lemma *ideal-deg-shifts-pp*: $ideal\ (set\ (deg-shifts-pp\ xs\ d\ fs)) = ideal\ (set\ fs)$

(proof)

lemma *set-indets-pp*: $set\ (indets-pp\ p) = indets\ (Poly-Mapping.map-key\ PP\ p)$

(proof)

lemma *poly-to-row-map-key-PP*:

$poly-to-row\ (map\ pp.mapping-of\ xs)\ (Poly-Mapping.map-key\ PP\ p) = poly-to-row$
 $xs\ p$
(proof)

lemma *Macaulay-mat-map-key-PP*:

$pp-pm.punit.Macaulay-mat\ (map\ (Poly-Mapping.map-key\ PP)\ fs) = punit.Macaulay-mat$
 fs
(proof)

lemma *row-to-poly-mapping-of*:

assumes *distinct ts and dim-vec r = length ts*

shows $row-to-poly\ (map\ pp.mapping-of\ ts)\ r = Poly-Mapping.map-key\ PP\ (row-to-poly$
 $ts\ r)$

(proof)

lemma *mat-to-polys-mapping-of*:

assumes *distinct ts and dim-col m = length ts*

shows $mat-to-polys\ (map\ pp.mapping-of\ ts)\ m = map\ (Poly-Mapping.map-key$
 $PP)\ (mat-to-polys\ ts\ m)$

(proof)

lemma *map-key-PP-Macaulay-list*:

$map\ (Poly-Mapping.map-key\ PP)\ (punit.Macaulay-list\ fs) =$
 $pp-pm.punit.Macaulay-list\ (map\ (Poly-Mapping.map-key\ PP)\ fs)$

(proof)

lemma *lpp-map-key-PP*: $pp-pm.lpp\ (Poly-Mapping.map-key\ PP\ p) = mapping-of$

(lpp p)

<proof>

lemma *is-GB-map-key-PP:*

*finite G \implies pp-pm.punit.is-Groebner-basis (Poly-Mapping.map-key PP ‘ G) \longleftrightarrow
punit.is-Groebner-basis G*

<proof>

lemma *thm-2-3-6-pp:*

assumes *pp-pm.is-GB-cofactor-bound (Poly-Mapping.map-key PP ‘ set fs) b*
shows *punit.is-Groebner-basis (set (punit.Macaulay-list (deg-shifts-pp (Indets-pp
fs) b fs)))*

<proof>

lemma *Dube-is-GB-cofactor-bound-pp:*

pp-pm.is-GB-cofactor-bound (Poly-Mapping.map-key PP ‘ set fs)
(Dube (Suc (length (Indets-pp fs))) (max-list (map poly-deg-pp fs)))

<proof>

definition *GB-Macaulay-Dube :: (('x, nat) pp \Rightarrow_0 'a) list \Rightarrow (('x, nat) pp \Rightarrow_0
'a::field) list*

where *GB-Macaulay-Dube fs = punit.Macaulay-list (deg-shifts-pp (Indets-pp fs)
(Dube (Suc (length (Indets-pp fs))) (max-list (map poly-deg-pp
fs))) fs)*

lemma *GB-Macaulay-Dube-is-GB: punit.is-Groebner-basis (set (GB-Macaulay-Dube
fs))*

<proof>

lemma *ideal-GB-Macaulay-Dube: ideal (set (GB-Macaulay-Dube fs)) = ideal (set
fs)*

<proof>

end

global-interpretation *punit': pp-powerprod ord-pp-punit cmp-term ord-pp-strict-punit
cmp-term*

rewrites *punit.adds-term = (adds)*

and *punit.pp-of-term = ($\lambda x. x$)*

and *punit.component-of-term = ($\lambda-. ()$)*

and *punit.monom-mult = monom-mult-punit*

and *punit.mult-scalar = mult-scalar-punit*

and *punit'.punit.min-term = min-term-punit*

and *punit'.punit.lt = lt-punit cmp-term*

and *punit'.punit.lc = lc-punit cmp-term*

and *punit'.punit.tail = tail-punit cmp-term*

and *punit'.punit.ord-p = ord-p-punit cmp-term*

and *punit'.punit.keys-to-list = keys-to-list-punit cmp-term*

for *cmp-term :: ('a::nat, nat) pp nat-term-order*

```

defines max-punit = punit'.ordered-powerprod-lin.max
and max-list-punit = punit'.ordered-powerprod-lin.max-list
and Keys-to-list-punit = punit'.punit.Keys-to-list
and Macaulay-mat-punit = punit'.punit.Macaulay-mat
and Macaulay-list-punit = punit'.punit.Macaulay-list
and poly-deg-pp-punit = punit'.poly-deg-pp
and deg-le-sect-pp-aux-punit = punit'.deg-le-sect-pp-aux
and deg-le-sect-pp-punit = punit'.deg-le-sect-pp
and deg-shifts-pp-punit = punit'.deg-shifts-pp
and indets-pp-punit = punit'.indets-pp
and Indets-pp-punit = punit'.Indets-pp
and GB-Macaulay-Dube-punit = punit'.GB-Macaulay-Dube

and find-adds-punit = punit'.punit.find-adds
and trd-aux-punit = punit'.punit.trd-aux
and trd-punit = punit'.punit.trd
and comp-min-basis-punit = punit'.punit.comp-min-basis
and comp-red-basis-aux-punit = punit'.punit.comp-red-basis-aux
and comp-red-basis-punit = punit'.punit.comp-red-basis
  <proof>

```

12.3 Computations

```

experiment begin interpretation trivariate0-rat <proof>

```

lemma

```

  comp-red-basis-punit DRLEX (GB-Macaulay-Dube-punit DRLEX [X * Y2 + 3
  * X2 * Y, Y3 - X3]) =
  [X5, X3 * Y - C0 (1 / 9) * X4, Y3 - X3, X * Y2 + 3 * X2
  * Y]
  <proof>

```

end

end

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