

A Probabilistic Proof of the Girth-Chromatic Number Theorem

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Abstract

This work presents a formalization of the Girth-Chromatic number theorem in graph theory, stating that graphs with arbitrarily large girth and chromatic number exist. The proof uses the theory of Random Graphs to prove the existence with probabilistic arguments and is based on [1].

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theory	<i>Girth-Chromatic-Misc</i>	
imports		
Main		
<i>HOL-Library.Extended-Real</i>		
begin		

1 Auxilliary lemmas and setup

This section contains facts about general concepts which are not directly connected to the proof of the Chromatic-Girth theorem. At some point in time, most of them could be moved to the Isabelle base library.

Also, a little bit of setup happens.

1.1 Numbers

lemma *enat-in-Inf*:

fixes $S :: enat\ set$
assumes $Inf\ S \neq top$
shows $Inf\ S \in S$
 $\langle proof \rangle$

lemma *enat-in-INF*:

fixes $f :: 'a \Rightarrow enat$
assumes $(INF\ x \in S. f\ x) \neq top$
obtains x **where** $x \in S$ **and** $(INF\ x \in S. f\ x) = f\ x$
 $\langle proof \rangle$

lemma *enat-less-INF-I*:

fixes $f :: 'a \Rightarrow enat$
assumes *not-inf*: $x \neq \infty$ **and** *less*: $\bigwedge y. y \in S \implies x < f\ y$
shows $x < (INF\ y \in S. f\ y)$
 $\langle proof \rangle$

lemma *enat-le-Sup-iff*:

$enat\ k \leq Sup\ M \iff k = 0 \vee (\exists m \in M. enat\ k \leq m)$ (**is** $?L \iff ?R$)
 $\langle proof \rangle$

lemma *enat-neq-zero-cancel-iff[simp]*:

$0 \neq enat\ n \iff 0 \neq n$
 $enat\ n \neq 0 \iff n \neq 0$
 $\langle proof \rangle$

lemma *natceiling-lessD*: $nat(ceiling\ x) < n \implies x < real\ n$

$\langle proof \rangle$

lemma *le-natceiling-iff*:

fixes $n :: nat$ **and** $r :: real$
shows $n \leq r \implies n \leq nat(ceiling\ r)$
 $\langle proof \rangle$

lemma *natceiling-le-iff*:

fixes $n :: nat$ **and** $r :: real$
shows $r \leq n \implies nat(ceiling\ r) \leq n$

$\langle proof \rangle$

```
lemma dist-real-noabs-less:  
  fixes a b c :: real assumes dist a b < c shows a - b < c  
 $\langle proof \rangle$ 
```

1.2 Lists and Sets

```
lemma list-set-tl: x ∈ set (tl xs)  $\implies$  x ∈ set xs  
 $\langle proof \rangle$ 
```

```
lemma list-exhaust3:  
  obtains xs = [] | x where xs = [x] | x y ys where xs = x # y # ys  
 $\langle proof \rangle$ 
```

```
lemma card-Ex-subset:  
  k ≤ card M  $\implies$  ∃ N. N ⊆ M ∧ card N = k  
 $\langle proof \rangle$ 
```

1.3 Limits and eventually

We employ filters and the *eventually* predicate to deal with the $\exists N. \forall n \geq N. P_n$ cases. To make this more convenient, introduce a shorter syntax.

```
abbreviation evseq :: (nat ⇒ bool) ⇒ bool (binder ‹∀∞› 10) where  
  evseq P ≡ eventually P sequentially
```

```
lemma LIMSEQ-neg-powr:  
  assumes s: s < 0  
  shows (%x. (real x) powr s) —→ 0  
 $\langle proof \rangle$ 
```

```
lemma LIMSEQ-inv-powr:  
  assumes 0 < c 0 < d  
  shows (λn :: nat. (c / n) powr d) —→ 0  
 $\langle proof \rangle$ 
```

```
end  
theory Ugraphs  
imports  
  Girth-Chromatic-Misc  
begin
```

2 Undirected Simple Graphs

In this section, we define some basics of graph theory needed to formalize the Chromatic-Girth theorem.

For readability, we introduce synonyms for the types of vertexes, edges, graphs and walks.

```
type-synonym uvert = nat
type-synonym uedge = nat set
type-synonym ugraph = uvert set × uedge set
type-synonym uwalk = uvert list
```

```
abbreviation uedges :: ugraph ⇒ uedge set where
uedges G ≡ snd G
```

```
abbreviation uverts :: ugraph ⇒ uvert set where
uverts G ≡ fst G
```

```
fun mk-uedge :: uvert × uvert ⇒ uedge where
mk-uedge (u,v) = {u,v}
```

All edges over a set of vertexes S :

```
definition all-edges  $S \equiv$  mk-uedge ‘{uv ∈  $S \times S$ . fst uv ≠ snd uv}
```

```
definition uwellformed :: ugraph ⇒ bool where
uwellformed G ≡ ( $\forall e \in$  uedges G. card e = 2  $\wedge$  ( $\forall u \in e$ .  $u \in$  uverts G))
```

```
fun uwalk-edges :: uwalk ⇒ uedge list where
uwalk-edges [] = []
| uwalk-edges [x] = []
| uwalk-edges (x # y # ys) = {x,y} # uwalk-edges (y # ys)
```

```
definition uwalk-length :: uwalk ⇒ nat where
uwalk-length p ≡ length (uwalk-edges p)
```

```
definition uwalks :: ugraph ⇒ uwalk set where
uwalks G ≡ {p. set p ⊆ uverts G  $\wedge$  set (uwalk-edges p) ⊆ uedges G  $\wedge$  p ≠ []}
```

```
definition ucycles :: ugraph ⇒ uwalk set where
ucycles G ≡ {p. uwalk-length p ≥ 3  $\wedge$  p ∈ uwalks G  $\wedge$  distinct (tl p)  $\wedge$  hd p = last p}
```

```
definition remove-vertex :: ugraph ⇒ nat ⇒ ugraph (⟨---⟩ [60,60] 60) where
remove-vertex G u ≡ (uverts G - {u}, uedges G - {A ∈ uedges G. u ∈ A})
```

2.1 Basic Properties

```
lemma uwalk-length-conv: uwalk-length p = length p - 1
⟨proof⟩
```

```
lemma all-edges-mono:
vs ⊆ ws  $\implies$  all-edges vs ⊆ all-edges ws
⟨proof⟩
```

```

lemma all-edges-subset-Pow: all-edges A ⊆ Pow A
  ⟨proof⟩

lemma in-mk-uedge-img: (a,b) ∈ A ∨ (b,a) ∈ A ⇒ {a,b} ∈ mk-uedge ` A
  ⟨proof⟩

lemma in-mk-uedge-img-iff: {a,b} ∈ mk-uedge ` A ⇔ (a,b) ∈ A ∨ (b,a) ∈ A
  ⟨proof⟩

lemma distinct-edgesI:
  assumes distinct p shows distinct (uwalk-edges p)
  ⟨proof⟩

lemma finite-ucycles:
  assumes finite (uverts G)
  shows finite (ucycles G)
  ⟨proof⟩

lemma ucycles-distinct-edges:
  assumes c ∈ ucycles G shows distinct (uwalk-edges c)
  ⟨proof⟩

lemma card-left-less-pair:
  fixes A :: ('a :: linorder) set
  assumes finite A
  shows card {(a,b). a ∈ A ∧ b ∈ A ∧ a < b}
    = (card A * (card A - 1)) div 2
  ⟨proof⟩

lemma card-all-edges:
  assumes finite A
  shows card (all-edges A) = card A choose 2
  ⟨proof⟩

lemma verts-Gu: uverts (G -- u) = uverts G - {u}
  ⟨proof⟩

lemma edges-Gu: uedges (G -- u) ⊆ uedges G
  ⟨proof⟩

```

2.2 Girth, Independence and Vertex Colorings

```

definition girth :: ugraph ⇒ enat where
  girth G ≡ INF p ∈ ucycles G. enat (uwalk-length p)

definition independent-sets :: ugraph ⇒ uvert set set where
  independent-sets Gr ≡ {vs. vs ⊆ uverts Gr ∧ all-edges vs ∩ uedges Gr = {}}

definition α :: ugraph ⇒ enat where

```

$\alpha G \equiv \text{SUP } vs \in \text{independent-sets } G. \text{ enat } (\text{card } vs)$

definition *vertex-colorings* :: *ugraph* \Rightarrow *uvert set set set* **where**
 $\text{vertex-colorings } G \equiv \{C. \bigcup C = \text{uverts } G \wedge (\forall c1 \in C. \forall c2 \in C. c1 \neq c2 \longrightarrow c1 \cap c2 = \{\}) \wedge$
 $(\forall c \in C. c \neq \{\} \wedge (\forall u \in c. \forall v \in c. \{u,v\} \notin \text{uedges } G))\}$

The chromatic number χ :

definition *chromatic-number* :: *ugraph* \Rightarrow *enat* **where**
 $\text{chromatic-number } G \equiv \text{INF } c \in (\text{vertex-colorings } G). \text{ enat } (\text{card } c)$

lemma *independent-sets-mono*:

$vs \in \text{independent-sets } G \implies us \subseteq vs \implies us \in \text{independent-sets } G$
 $\langle \text{proof} \rangle$

lemma *le-alpha-iff*:

assumes $0 < k$
shows $k \leq \alpha$ $\text{Gr} \longleftrightarrow k \in \text{card} \text{ ' independent-sets } \text{Gr}$ (**is** $?L \longleftrightarrow ?R$)
 $\langle \text{proof} \rangle$

lemma *zero-less-alpha*:

assumes $\text{uverts } G \neq \{\}$
shows $0 < \alpha$ G
 $\langle \text{proof} \rangle$

lemma *alpha-le-card*:

assumes *finite* (*uverts* G)
shows α $G \leq \text{card}(\text{uverts } G)$
 $\langle \text{proof} \rangle$

lemma *alpha-fin: finite (uverts G) $\implies \alpha G \neq \infty$*

$\langle \text{proof} \rangle$

lemma *alpha-remove-le*:

shows $\alpha (G -- u) \leq \alpha G$
 $\langle \text{proof} \rangle$

A lower bound for the chromatic number of a graph can be given in terms of the independence number

lemma *chromatic-lb*:

assumes *wf-G: uwelformed G*
and *fin-G: finite (uverts G)*
and *neG: uverts G $\neq \{\}$*
shows $\text{card}(\text{uverts } G) / \alpha G \leq \text{chromatic-number } G$
 $\langle \text{proof} \rangle$

end

theory *Girth-Chromatic*
imports

```

Ugraphs
Girth-Chromatic-Misc
HOL-Probability.Probability
HOL-Decision-Props.Approximation
begin

```

3 Probability Space on Sets of Edges

```

definition cylinder :: 'a set ⇒ 'a set ⇒ 'a set ⇒ 'a set set where
cylinder S A B = {T ∈ Pow S. A ⊆ T ∧ B ∩ T = {}}

```

```

lemma full-sum:
fixes p :: real
assumes finite S
shows (∑ A∈Pow S. p^card A * (1 - p)^card (S - A)) = 1
⟨proof⟩

```

Definition of the probability space on edges:

```

locale edge-space =
fixes n :: nat and p :: real
assumes p-prob: 0 ≤ p p ≤ 1
begin

```

```

definition S-verts :: nat set where
S-verts ≡ {1..n}

```

```

definition S-edges :: uedge set where
S-edges = all-edges S-verts

```

```

definition edge-ugraph :: uedge set ⇒ ugraph where
edge-ugraph es ≡ (S-verts, es ∩ S-edges)

```

```

definition P = point-measure (Pow S-edges) (λs. p^card s * (1 - p)^card (S-edges - s))

```

```

lemma finite-verts[intro!]: finite S-verts
⟨proof⟩

```

```

lemma finite-edges[intro!]: finite S-edges
⟨proof⟩

```

```

lemma finite-graph[intro!]: finite (uverts (edge-ugraph es))
⟨proof⟩

```

```

lemma uverts-edge-ugraph[simp]: uverts (edge-ugraph es) = S-verts
⟨proof⟩

```

```

lemma uedges-edge-ugraph[simp]: uedges (edge-ugraph es) = es ∩ S-edges
⟨proof⟩

```

```

lemma space-eq: space P = Pow S-edges  $\langle proof \rangle$ 

lemma sets-eq: sets P = Pow (Pow S-edges)  $\langle proof \rangle$ 

lemma emeasure-eq:
  emeasure P A = (if A ⊆ Pow S-edges then (∑ edges ∈ A. p ^ card edges * (1 - p) ^ card (S-edges - edges)) else 0)
 $\langle proof \rangle$ 

lemma integrable-P[intro, simp]: integrable P (f:- ⇒ real)
 $\langle proof \rangle$ 

lemma borel-measurable-P[measurable]: f ∈ borel-measurable P
 $\langle proof \rangle$ 

lemma prob-space-P: prob-space P
 $\langle proof \rangle$ 

end

sublocale edge-space ⊆ prob-space P
 $\langle proof \rangle$ 

context edge-space
begin

lemma prob-eq:
  prob A = (if A ⊆ Pow S-edges then (∑ edges ∈ A. p ^ card edges * (1 - p) ^ card (S-edges - edges)) else 0)
 $\langle proof \rangle$ 

lemma integral-finite-singleton: integralL P f = (∑ x ∈ Pow S-edges. f x * measure P {x})
 $\langle proof \rangle$ 

Probability of cylinder sets:

lemma cylinder-prob:
  assumes A ⊆ S-edges B ⊆ S-edges A ∩ B = {}
  shows prob (cylinder S-edges A B) = p ^ (card A) * (1 - p) ^ (card B) (is - = ?pp A B)
 $\langle proof \rangle$ 

lemma Markov-inequality:
  fixes a :: real and X :: uedge set ⇒ real
  assumes 0 < c ∧ x. 0 ≤ f x
  shows prob {x ∈ space P. c ≤ f x} ≤ (∫ x. f x ∂ P) / c
 $\langle proof \rangle$ 

```

end

3.1 Graph Probabilities outside of *Edge-Space* locale

These abbreviations allow a compact expression of probabilities about random graphs outside of the *Edge-Space* locale. We also transfer a few of the lemmas we need from the locale into the toplevel theory.

abbreviation $MGn :: (nat \Rightarrow real) \Rightarrow nat \Rightarrow (uedge\ set)\ measure$ **where**

$MGn\ p\ n \equiv (\text{edge-space}.P\ n\ (p\ n))$

abbreviation $probGn :: (nat \Rightarrow real) \Rightarrow nat \Rightarrow (uedge\ set \Rightarrow bool) \Rightarrow real$ **where**

$probGn\ p\ n\ P \equiv measure\ (MGn\ p\ n)\ \{es \in space\ (MGn\ p\ n).\ P\ es\}$

lemma $probGn\text{-le}:$

assumes $p\text{-prob}: 0 < p\ n\ p\ n < 1$

assumes $\text{sub}: \bigwedge n\ es.\ es \in space\ (MGn\ p\ n) \implies P\ n\ es \implies Q\ n\ es$

shows $probGn\ p\ n\ (P\ n) \leq probGn\ p\ n\ (Q\ n)$

$\langle proof \rangle$

4 Short cycles

definition $\text{short-cycles} :: ugraph \Rightarrow nat \Rightarrow uwalk\ set$ **where**

$\text{short-cycles}\ G\ k \equiv \{p \in \text{ucycles}\ G.\ \text{uwalk-length}\ p \leq k\}$

obtains a vertex in a short cycle:

definition $\text{choose-v} :: ugraph \Rightarrow nat \Rightarrow uvert$ **where**

$\text{choose-v}\ G\ k \equiv \text{SOME } u.\ \exists p.\ p \in \text{short-cycles}\ G\ k \wedge u \in \text{set}\ p$

partial-function (*tailrec*) $\text{kill-short} :: ugraph \Rightarrow nat \Rightarrow ugraph$ **where**

$\text{kill-short}\ G\ k = (\text{if } \text{short-cycles}\ G\ k = \{\} \text{ then } G \text{ else } (\text{kill-short}\ (G\ --\ (\text{choose-v}\ G\ k))\ k))$

lemma $ksc\text{-simp[simp]}:$

$\text{short-cycles}\ G\ k = \{\} \implies \text{kill-short}\ G\ k = G$

$\text{short-cycles}\ G\ k \neq \{\} \implies \text{kill-short}\ G\ k = \text{kill-short}\ (G\ --\ (\text{choose-v}\ G\ k))\ k$

$\langle proof \rangle$

lemma

assumes $\text{short-cycles}\ G\ k \neq \{\}$

shows $\text{choose-v--in-uverts}: \text{choose-v}\ G\ k \in \text{uverts}\ G$ (**is** $?t1$)

and $\text{choose-v--in-short}: \exists p.\ p \in \text{short-cycles}\ G\ k \wedge \text{choose-v}\ G\ k \in \text{set}\ p$ (**is** $?t2$)

$\langle proof \rangle$

lemma $\text{kill-step-smaller}:$

assumes $\text{short-cycles}\ G\ k \neq \{\}$

shows $\text{short-cycles}\ (G\ --\ (\text{choose-v}\ G\ k))\ k \subset \text{short-cycles}\ G\ k$

$\langle proof \rangle$

Induction rule for *kill-short*:

```
lemma kill-short-induct[consumes 1, case-names empty kill-vert]:
  assumes fin: finite (uverts G)
  assumes a-empty:  $\bigwedge G. \text{short-cycles } G k = \{\} \implies P G k$ 
  assumes a-kill:  $\bigwedge G. \text{finite } (\text{short-cycles } G k) \implies \text{short-cycles } G k \neq \{\}$ 
     $\implies P (G -- (\text{choose-v } G k)) k \implies P G k$ 
  shows P G k
  ⟨proof⟩
```

Large Girth (after *kill-short*):

```
lemma kill-short-large-girth:
  assumes finite (uverts G)
  shows k < girth (kill-short G k)
  ⟨proof⟩
```

Order of graph (after *kill-short*):

```
lemma kill-short-order-of-graph:
  assumes finite (uverts G)
  shows card (uverts G) - card (short-cycles G k) ≤ card (uverts (kill-short G k))
  ⟨proof⟩
```

Independence number (after *kill-short*):

```
lemma kill-short-α:
  assumes finite (uverts G)
  shows α (kill-short G k) ≤ α G
  ⟨proof⟩
```

Wellformedness (after *kill-short*):

```
lemma kill-short-uwellformed:
  assumes finite (uverts G) uwellformed G
  shows uwellformed (kill-short G k)
  ⟨proof⟩
```

5 The Chromatic-Girth Theorem

Probability of Independent Edges:

```
lemma (in edge-space) random-prob-independent:
  assumes n ≥ k k ≥ 2
  shows prob {es ∈ space P. k ≤ α (edge-ugraph es)}
    ≤ (n choose k)*(1-p)^(k choose 2)
  ⟨proof⟩
```

Almost never many independent edges:

```
lemma almost-never-le-α:
  fixes k :: nat
  and p :: nat ⇒ real
```

```

assumes p-prob:  $\forall^\infty n. 0 < p \wedge p < 1$ 
assumes [arith]:  $k > 0$ 
assumes N-prop:  $\forall^\infty n. (6 * k * \ln n)/n \leq p$ 
shows ( $\lambda n. \text{probGn } p \ n \ (\lambda es. 1/2*n/k \leq \alpha \ (\text{edge-space.edge-ugraph } n \ es)))$ 
 $\longrightarrow 0$ 
(is ( $\lambda n. ?\text{prob-fun } n$ )  $\longrightarrow 0$ )
{proof}

```

Mean number of k-cycles in a graph. (Or rather of paths describing a circle of length k):

```

lemma (in edge-space) mean-k-cycles:
assumes  $3 \leq k \ w \ k < n$ 
shows ( $\int es. \text{card } \{c \in \text{ucycles } (\text{edge-ugraph } es). \text{uwalk-length } c = k\} \partial P$ )
 $= \text{of-nat } (\text{fact } n \text{ div fact } (n - k)) * p^{\wedge k}$ 
{proof}

```

Girth-Chromatic number theorem:

```

theorem girth-chromatic:
fixes l :: nat
shows  $\exists G. \text{uwellformed } G \wedge l < \text{girth } G \wedge l < \text{chromatic-number } G$ 
{proof}
end

```

References

- [1] R. Diestel. *Graph Theory*, volume 173 of *Graduate Texts in Mathematics*. Springer, 4 edition, 2010. <http://diestel-graph-theory.com>.