# Gaussian Integers

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#### Abstract

The Gaussian integers are the subring  $\mathbb{Z}[i]$  of the complex numbers, i.e. the ring of all complex numbers with integral real and imaginary part. This article provides a definition of this ring as well as proofs of various basic properties, such as that they form a Euclidean ring and a full classification of their primes. An executable (albeit not very efficient) factorisation algorithm is also provided.

Lastly, this Gaussian integer formalisation is used in two short applications:

- 1. The characterisation of all positive integers that can be written as sums of two squares
- 2. Euclid's formula for primitive Pythagorean triples

While elementary proofs for both of these are already available in the AFP, the theory of Gaussian integers provides more concise proofs and a more high-level view.

# Contents

1	Gau	ssian Integers	3
	1.1	Auxiliary material	3
	1.2	Definition	9
	1.3	Pretty-printing	14
	1.4	Norm	15
	1.5	Division and normalisation	١7
	1.6	Prime elements	25
		1.6.1 The factorisation of $2 \dots $	26
			27
		1.6.3 Non-inert primes	28
			34
			37
	1.7		10
	1.8		13
	1.9	• •	18
	1.10		51
		-	54

# 1 Gaussian Integers

# 1.1 Auxiliary material

```
{f lemma}\ coprime\ -iff\ -prime\ -factors\ -disjoint:
  fixes x y :: 'a :: factorial\text{-}semiring
 assumes x \neq 0 y \neq 0
 shows coprime x \ y \longleftrightarrow prime\text{-}factors \ x \cap prime\text{-}factors \ y = \{\}
proof
 assume coprime \ x \ y
 have False if p \in prime\text{-}factors\ x\ p \in prime\text{-}factors\ y\ for\ p
 proof -
   from that assms have p dvd x p dvd y
     by (auto simp: prime-factors-dvd)
   with \langle coprime \ x \ y \rangle have p \ dvd \ 1
     using coprime-common-divisor by auto
   with that assms show False by (auto simp: prime-factors-dvd)
  qed
  thus prime-factors x \cap prime-factors y = \{\} by auto
next
  assume disjoint: prime-factors x \cap prime-factors y = \{\}
 show coprime x y
  proof (rule coprimeI)
   fix d assume d: d dvd x d dvd y
   {f show} is-unit d
   proof (rule ccontr)
     assume \neg is-unit d
     moreover from this and d assms have d \neq 0 by auto
     ultimately obtain p where p: prime p p dvd d
       using prime-divisor-exists by auto
     with d and assms have p \in prime\text{-}factors\ x \cap prime\text{-}factors\ y
      by (auto simp: prime-factors-dvd)
     with disjoint show False by auto
   qed
 qed
\mathbf{qed}
lemma product-dvd-irreducibleD:
  fixes a \ b \ x :: 'a :: algebraic-semidom
 assumes irreducible x
 assumes a * b dvd x
 shows a \ dvd \ 1 \ \lor \ b \ dvd \ 1
proof -
 from assms obtain c where x = a * b * c
```

```
by auto
 hence x = a * (b * c)
   by (simp add: mult-ac)
 from irreducibleD[OF\ assms(1)\ this] show a\ dvd\ 1\ \lor\ b\ dvd\ 1
   by (auto simp: is-unit-mult-iff)
\mathbf{qed}
lemma prime-elem-mult-dvdI:
 assumes prime-elem\ p\ p\ dvd\ c\ b\ dvd\ c\ \neg p\ dvd\ b
 shows p * b \ dvd \ c
proof -
 from assms(3) obtain a where c: c = a * b
   using mult.commute by blast
 with assms(2) have p \ dvd \ a * b
   by simp
 with assms have p dvd a
   by (subst (asm) prime-elem-dvd-mult-iff) auto
 with c show ?thesis by (auto intro: mult-dvd-mono)
qed
lemma prime-elem-power-mult-dvdI:
 fixes p :: 'a :: algebraic-semidom
 assumes prime-elem p p \widehat{\ } n dvd c b dvd c \neg p dvd b
 \mathbf{shows} \quad p \ \widehat{\ } n \, * \, b \, \, dvd \, \, c
proof (cases n = \theta)
 {f case}\ {\it False}
 from assms(3) obtain a where c: c = a * b
   using mult.commute by blast
 with assms(2) have p \cap n \ dvd \ b * a
   by (simp add: mult-ac)
 hence p \cap n \ dvd \ a
   by (rule prime-power-dvd-multD[OF assms(1)]) (use assms False in auto)
 with c show ?thesis by (auto intro: mult-dvd-mono)
qed (use assms in auto)
lemma prime-mod-4-cases:
 fixes p :: nat
 assumes prime p
 shows p = 2 \lor [p = 1] \pmod{4} \lor [p = 3] \pmod{4}
proof (cases p = 2)
 {f case}\ {\it False}
 with prime-gt-1-nat[of p] assms have p > 2 by auto
 have \neg 4 \ dvd \ p
   using assms product-dvd-irreducibleD[of p 2 2]
   by (auto simp: prime-elem-iff-irreducible simp flip: prime-elem-nat-iff)
 hence p \mod 4 \neq 0
   by (auto simp: mod-eq-0-iff-dvd)
 moreover have p \mod 4 \neq 2
 proof
```

```
assume p \mod 4 = 2
   hence p \mod 4 \mod 2 = 0
     by (simp add: cong-def)
   thus False using \langle prime \ p \rangle \langle p > 2 \rangle \ prime-odd-nat[of \ p]
     by (auto simp: mod-mod-cancel)
  \mathbf{qed}
  moreover have p \mod 4 \in \{0,1,2,3\}
  ultimately show ?thesis by (auto simp: cong-def)
\mathbf{qed} auto
lemma of-nat-prod-mset: of-nat (prod-mset A) = prod-mset (image-mset of-nat A)
 by (induction A) auto
lemma multiplicity-0-left [simp]: multiplicity 0 x = 0
  by (cases x = \theta) (auto simp: not-dvd-imp-multiplicity-\theta)
lemma is-unit-power [intro]: is-unit x \Longrightarrow is-unit (x \hat{n})
 by (subst is-unit-power-iff) auto
lemma (in factorial-semiring) pow-divides-pow-iff:
  assumes n > 0
  shows a \cap n \ dvd \ b \cap n \longleftrightarrow a \ dvd \ b
proof (cases b = \theta)
  case False
  show ?thesis
  proof
   assume dvd: a \cap n \ dvd \ b \cap n
   with \langle b \neq \theta \rangle have a \neq \theta
     using \langle n > \theta \rangle by (auto simp: power-0-left)
   show a \ dvd \ b
   proof (rule multiplicity-le-imp-dvd)
     fix p :: 'a assume p: prime p
     from dvd \langle b \neq 0 \rangle have multiplicity p(a \hat{n}) \leq multiplicity p(b \hat{n})
       by (intro dvd-imp-multiplicity-le) auto
     thus multiplicity p a < multiplicity <math>p b
     using p \langle a \neq 0 \rangle \langle b \neq 0 \rangle \langle n > 0 \rangle by (simp add: prime-elem-multiplicity-power-distrib)
    qed fact +
  qed (auto intro: dvd-power-same)
qed (use assms in \(\lambda auto \) simp: power-0-left\(\rangle\)
lemma multiplicity-power-power:
  fixes p :: 'a :: \{factorial\text{-}semiring, algebraic\text{-}semidom\}
  assumes n > 0
 shows multiplicity (p \hat{n}) (x \hat{n}) = multiplicity p x
proof (cases x = 0 \lor p = 0 \lor is\text{-unit } p)
  case True
  thus ?thesis using \langle n > \theta \rangle
   by (auto simp: power-0-left is-unit-power-iff multiplicity-unit-left)
```

```
next
  case False
  show ?thesis
  proof (intro antisym multiplicity-geI)
   have (p \cap multiplicity p x) \cap n \ dvd x \cap n
      by (intro dvd-power-same) (simp add: multiplicity-dvd)
   thus (p \hat{n}) multiplicity p x dvd x \hat{n}
      by (simp add: mult-ac flip: power-mult)
  next
   have (p \hat{n}) multiplicity (p \hat{n}) (x \hat{n}) dvd x \hat{n}
      \mathbf{by}\ (simp\ add\colon multiplicity\text{-}dvd)
   hence (p \cap multiplicity (p \cap n) (x \cap n)) \cap n \ dvd \ x \cap n
      by (simp add: mult-ac flip: power-mult)
   thus p \cap multiplicity (p \cap n) (x \cap n) dvd x
      by (subst (asm) pow-divides-pow-iff) (use assms in auto)
  qed (use False \langle n > 0 \rangle in \langle auto \ simp: \ is-unit-power-iff \rangle)
qed
lemma even-square-cong-4-int:
  \langle [x^2 = \theta] \pmod{4} \rangle if \langle even x \rangle for x :: int
  from that obtain y where \langle x = 2 * y \rangle..
  then show ?thesis by (simp add: cong-def)
qed
lemma even-square-cong-4-nat:
  \langle [x^2 = \theta] \pmod{4} \rangle if \langle even x \rangle for x :: nat
 using that even-square-cong-4-int [of \langle int x \rangle] by (simp flip: cong-int-iff)
lemma odd-square-cong-4-int:
  \langle [x^2 = 1] \pmod{4} \rangle if \langle odd x \rangle for x :: int
proof -
  from that obtain y where \langle x = 2 * y + 1 \rangle...
  then have \langle x^2 = 4 * (y^2 + y) + 1 \rangle
   by (simp add: power2-eq-square algebra-simps)
  also have (... \mod 4 = ((4 * (y^2 + y)) \mod 4 + 1 \mod 4) \mod 4)
   by (simp only: mod-simps)
  also have \langle \dots = 1 \mod 4 \rangle
   by simp
  finally show ?thesis
   by (simp only: cong-def)
qed
lemma odd-square-cong-4-nat:
  \langle [x^2 = 1] \pmod{4} \rangle if \langle odd x \rangle for x :: nat
  using that odd-square-cong-4-int [of \langle int x \rangle] by (simp flip: cong-int-iff)
```

Gaussian integers will require a notion of an element being a power up to a unit, so we introduce this here. This should go in the library eventually.

```
definition is-nth-power-upto-unit where
  is-nth-power-up to-un it \ n \ x \longleftrightarrow (\exists \ u. \ is-un it \ u \land is-nth-power \ n \ (u * x))
lemma is-nth-power-upto-unit-base: is-nth-power n x \Longrightarrow is-nth-power-upto-unit n
 by (auto simp: is-nth-power-upto-unit-def intro: exI[of - 1])
lemma is-nth-power-up to-unit I:
 assumes normalize (x \hat{n}) = normalize y
 shows is-nth-power-upto-unit n y
proof -
 from associatedE1[OF\ assms] obtain u where is-unit u\ u*y=x \cap n
   by metis
 thus ?thesis
   by (auto simp: is-nth-power-upto-unit-def intro!: exI[of - u])
qed
{f lemma}\ is-nth-power-up to-unit-conv-multiplicity:
 fixes x :: 'a :: factorial-semiring
 assumes n > 0
 shows is-nth-power-upto-unit n \ x \longleftrightarrow (\forall \ p. \ prime \ p \longrightarrow n \ dvd \ multiplicity \ p \ x)
proof (cases \ x = \theta)
  case False
 show ?thesis
 proof safe
   fix p :: 'a assume p: prime p
   assume is-nth-power-upto-unit n x
   then obtain u y where uy: is-unit u u * x = y \cap n
     by (auto simp: is-nth-power-upto-unit-def elim!: is-nth-powerE)
   from p uy assms False have [simp]: y \neq 0 by (auto simp: power-0-left)
   have multiplicity p(u * x) = multiplicity p(y \cap n)
     by (subst\ uy(2)\ [symmetric])\ simp
   also have multiplicity p(u * x) = multiplicity p x
     by (simp add: multiplicity-times-unit-right uy(1))
   finally show n \ dvd \ multiplicity \ p \ x
     using False and p and uy and assms
     by (auto simp: prime-elem-multiplicity-power-distrib)
   assume *: \forall p. prime p \longrightarrow n dvd multiplicity p x
   have multiplicity p ((\prod p \in prime\text{-}factors \ x. \ p \cap (multiplicity \ p \ x \ div \ n)) \cap n) =
          multiplicity p x if prime p for p
   proof -
     from that and * have n dvd multiplicity p x by blast
     have multiplicity p \ x = 0 if p \notin prime-factors x
       using that and (prime p) by (simp add: prime-factors-multiplicity)
       with that and * and assms show ?thesis unfolding prod-power-distrib
power-mult [symmetric]
     by (subst multiplicity-prod-prime-powers) (auto simp: in-prime-factors-imp-prime
elim: dvdE)
```

```
qed
   \mathbf{with}\ \mathit{assms}\ \mathit{False}
     have normalize ((\prod p \in prime-factors x. p \cap (multiplicity p x div n)) \cap n) =
     by (intro multiplicity-eq-imp-eq) (auto simp: multiplicity-prod-prime-powers)
   thus is-nth-power-upto-unit n x
     by (auto intro: is-nth-power-upto-unitI)
\mathbf{qed} (use assms \mathbf{in} \(\alpha auto \) simp: is-nth-power-upto-unit-def\(\rangle\))
lemma is-nth-power-upto-unit-0-left [simp, intro]: is-nth-power-upto-unit 0 x \longleftrightarrow
is-unit x
proof
 assume is-unit x
 thus is-nth-power-upto-unit 0 x
   unfolding is-nth-power-upto-unit-def by (intro exI[of - 1 div x]) auto
next
 assume is-nth-power-upto-unit 0 x
 then obtain u where is-unit u u * x = 1
   by (auto simp: is-nth-power-upto-unit-def)
 thus is-unit x
   by (metis \ dvd-triv-right)
qed
lemma is-nth-power-upto-unit-unit [simp, intro]:
 assumes is-unit x
 shows is-nth-power-up to-un it n x
 using assms by (auto simp: is-nth-power-upto-unit-def intro!: exI[of - 1 div x])
lemma is-nth-power-upto-unit-1-left [simp, intro]: is-nth-power-upto-unit 1 x
 by (auto simp: is-nth-power-upto-unit-def intro: exI[of - 1])
\mathbf{lemma}\ \textit{is-nth-power-upto-unit-mult-coprimeD1}:
 fixes x y :: 'a :: factorial-semiring
 assumes coprime x \ y \ is-nth-power-up to-un it \ n \ (x * y)
 shows is-nth-power-up to-un it n x
proof -
  consider n = 0 \mid x = 0 \mid n > 0 \mid x \neq 0 \mid y = 0 \mid n > 0 \mid n > 0 \mid x \neq 0 \mid y \neq 0
   by force
  thus ?thesis
  proof cases
   assume [simp]: n = 0
   from assms have is-unit (x * y)
     by auto
   hence is-unit x
     using is-unit-mult-iff by blast
   thus ?thesis using assms by auto
  next
   assume x = \theta \ n > \theta
```

```
thus ?thesis by (auto simp: is-nth-power-upto-unit-def)
  \mathbf{next}
   assume *: x \neq 0 y = 0 n > 0
   with assms show ?thesis by auto
   assume *: n > 0 and [simp]: x \neq 0 y \neq 0
   show ?thesis
   proof (subst is-nth-power-upto-unit-conv-multiplicity[OF \langle n > 0 \rangle]; safe)
     fix p :: 'a assume p: prime p
     show n \ dvd \ multiplicity \ p \ x
     proof (cases \ p \ dvd \ x)
       case False
       thus ?thesis
         by (simp add: not-dvd-imp-multiplicity-0)
     next
       case True
       have n dvd multiplicity p (x * y)
      using assms(2) \langle n > 0 \rangle p by (auto simp: is-nth-power-upto-unit-conv-multiplicity)
       also have ... = multiplicity p x + multiplicity p y
         using p by (subst prime-elem-multiplicity-mult-distrib) auto
       also have \neg p \ dvd \ y
         \mathbf{using} \ \langle coprime \ x \ y \rangle \ \langle p \ dvd \ x \rangle \ p \ not\text{-}prime\text{-}unit \ coprime\text{-}common\text{-}divisor
by blast
       hence multiplicity p y = 0
         by (rule not-dvd-imp-multiplicity-\theta)
       finally show ?thesis by simp
     qed
   qed
 qed
qed
lemma is-nth-power-upto-unit-mult-coprime D2:
 fixes x y :: 'a :: factorial-semiring
 assumes coprime x \ y \ is-nth-power-up to-un it \ n \ (x * y)
 shows is-nth-power-upto-unit n y
 using assms is-nth-power-upto-unit-mult-coprimeD1[of y x]
 by (simp-all add: mult-ac coprime-commute)
```

#### 1.2 Definition

Gaussian integers are the ring  $\mathbb{Z}[i]$  which is formed either by formally adjoining an element i with  $i^2 = -1$  to  $\mathbb{Z}$  or by taking all the complex numbers with integer real and imaginary part.

We define them simply by giving an appropriate ring structure to  $\mathbb{Z}^2$ , with the first component representing the real part and the second component the imaginary part:

```
codatatype \ gauss-int = Gauss-Int \ (ReZ: int) \ (ImZ: int)
```

The following is the imaginary unit i in the Gaussian integers, which we will denote as  $i_{\mathbb{Z}}$ :

```
primcorec gauss-i where ReZ\ gauss-i=0 | ImZ\ gauss-i=1 | lemma gauss-int-eq-iff: x=y\longleftrightarrow ReZ\ x=ReZ\ y\land ImZ\ x=ImZ\ y by (cases\ x;\ cases\ y)\ auto
```

Next, we define the canonical injective homomorphism from the Gaussian integers into the complex numbers:

```
primcorec gauss2complex where

Re (gauss2complex z) = of-int (ReZ z)

| Im (gauss2complex z) = of-int (ImZ z)

declare [[coercion gauss2complex]]

lemma gauss2complex-eq-iff [simp]: gauss2complex z = gauss2complex u \longleftrightarrow z = u

by (simp add: complex-eq-iff gauss-int-eq-iff)
```

Gaussian integers also have conjugates, just like complex numbers:

```
primcorec gauss-cnj where

ReZ (gauss-cnj z) = ReZ z

| ImZ (gauss-cnj z) = -ImZ z
```

In the remainder of this section, we prove that Gaussian integers are a commutative ring of characteristic 0 and several other trivial algebraic properties.

```
instantiation gauss-int :: comm-ring-1 begin

primcorec zero-gauss-int where

ReZ zero-gauss-int = 0

|ImZ zero-gauss-int = 0

primcorec one-gauss-int where

ReZ one-gauss-int = 1

|ImZ one-gauss-int = 0

primcorec uminus-gauss-int where

ReZ (uminus-gauss-int x) = -ReZ x
```

```
| ImZ (uminus-gauss-int x) = -ImZ x

primcorec plus-gauss-int where

ReZ (plus-gauss-int x y) = ReZ x + ReZ y
```

```
|ImZ(plus-gauss-int x y)| = ImZ x + ImZ y
primcorec minus-gauss-int where
 ReZ (minus-gauss-int \ x \ y) = ReZ \ x - ReZ \ y
|ImZ(minus-gauss-int x y)| = ImZ x - ImZ y
primcorec times-gauss-int where
 ReZ \ (times-gauss-int \ x \ y) = ReZ \ x * ReZ \ y - ImZ \ x * ImZ \ y
|ImZ(times-gauss-int x y)| = ReZ x * ImZ y + ImZ x * ReZ y
instance
 by intro-classes (auto simp: gauss-int-eq-iff algebra-simps)
end
lemma gauss-i-times-i [simp]: i_{\mathbb{Z}} * i_{\mathbb{Z}} = (-1 :: gauss-int)
 and gauss-cnj-i [simp]: gauss-cnj i_{\mathbb{Z}} = -i_{\mathbb{Z}}
 by (simp-all add: gauss-int-eq-iff)
lemma gauss-cnj-eq-0-iff [simp]: gauss-cnj z = 0 \longleftrightarrow z = 0
 by (auto simp: gauss-int-eq-iff)
lemma gauss-cnj-eq-self: Im z = 0 \Longrightarrow gauss-cnj z = z
 and gauss-cnj-eq-minus-self: Re z = 0 \implies gauss-cnj z = -z
 by (auto simp: gauss-int-eq-iff)
lemma ReZ-of-nat [simp]: ReZ (of-nat n) = of-nat n
 and ImZ-of-nat [simp]: ImZ (of-nat n) = 0
 by (induction \ n; \ simp)+
lemma ReZ-of-int [simp]: ReZ (of-int n) = n
 and ImZ-of-int [simp]: ImZ (of-int n) = 0
 by (induction \ n; \ simp)+
lemma ReZ-numeral [simp]: ReZ (numeral n) = numeral n
 and ImZ-numeral [simp]: ImZ (numeral n) = 0
 by (subst of-nat-numeral [symmetric], subst ReZ-of-nat ImZ-of-nat, simp)+
lemma gauss2complex-0 [simp]: gauss2complex 0 = 0
 and gauss2complex-1 [simp]: gauss2complex 1 = 1
 and gauss2complex-i [simp]: gauss2complex i_{\mathbb{Z}} = i
  and gauss2complex-add [simp]: gauss2complex (x + y) = gauss2complex x +
gauss2complex y
  and gauss2complex-diff [simp]: gauss2complex (x - y) = gauss2complex x - y
gauss2complex y
  and gauss2complex-mult [simp]: gauss2complex (x * y) = gauss2complex x *
qauss2complex y
 and gauss2complex-uninus [simp]: gauss2complex (-x) = -gauss2complex x
 and gauss2complex-cnj [simp]: gauss2complex (gauss-cnj x) = cnj (gauss2complex)
```

```
by (simp-all add: complex-eq-iff)
lemma gauss2complex-of-nat [simp]: gauss2complex (of-nat n) = of-nat n
 by (simp add: complex-eq-iff)
lemma gauss2complex-eq-0-iff [simp]: gauss2complex x = 0 \longleftrightarrow x = 0
  and gauss2complex-eq-1-iff [simp]: gauss2complex x = 1 \longleftrightarrow x = 1
 and zero-eq-gauss2complex-iff [simp]: \theta = gauss2complex \ x \longleftrightarrow x = \theta
 and one-eq-gauss2complex-iff [simp]: 1 = gauss2complex \ x \longleftrightarrow x = 1
 by (simp-all add: complex-eq-iff gauss-int-eq-iff)
lemma gauss-i-times-gauss-i-times [simp]: i_{\mathbb{Z}} * (i_{\mathbb{Z}} * x) = (-x :: gauss-int)
  by (subst mult.assoc [symmetric], subst gauss-i-times-i) auto
lemma gauss-i-neq-0 [simp]: i_{\mathbb{Z}} \neq 0 \ 0 \neq i_{\mathbb{Z}}
 and gauss-i-neq-1 [simp]: i_{\mathbb{Z}} \neq 1 \ 1 \neq i_{\mathbb{Z}}
 and gauss-i-neq-of-nat [simp]: i_{\mathbb{Z}} \neq of-nat n of-nat n \neq i_{\mathbb{Z}}
 and gauss-i-neq-of-int [simp]: i_{\mathbb{Z}} \neq of-int n \neq i_{\mathbb{Z}}
 and gauss-i-neq-numeral [simp]: i_{\mathbb{Z}} \neq numeral \ m \ numeral \ m \neq i_{\mathbb{Z}}
 by (auto simp: gauss-int-eq-iff)
lemma gauss-cnj-0 [simp]: gauss-cnj \theta = \theta
  and gauss-cnj-1 [simp]: gauss-cnj 1 = 1
 and gauss-cnj-cnj [simp]: gauss-cnj (gauss-cnj z) = z
 and gauss-cnj-uminus [simp]: gauss-cnj (-a) = -gauss-cnj a
 and gauss-cnj-add [simp]: gauss-cnj (a + b) = gauss-cnj a + gauss-cnj b
 and gauss-cnj-diff [simp]: gauss-cnj (a - b) = gauss-cnj a - gauss-cnj b
 and gauss-cnj-mult [simp]: gauss-cnj (a * b) = gauss-cnj a * gauss-cnj b
 and gauss-cnj-of-nat\ [simp]:\ gauss-cnj\ (of-nat\ n1)=of-nat\ n1
 and gauss-cnj-of-int [simp]: gauss-cnj (of-int n2) = of-int n2
 and gauss-cnj-numeral [simp]: gauss-cnj (numeral \ n3) = numeral \ n3
 by (simp-all add: gauss-int-eq-iff)
lemma gauss-cnj-power [simp]: gauss-cnj (a \cap n) = gauss-cnj \ a \cap n
 by (induction n) auto
lemma gauss-cnj-sum [simp]: gauss-cnj (sum f A) = (\sum x \in A. gauss-cnj (f x))
 by (induction A rule: infinite-finite-induct) auto
lemma gauss-cnj-prod [simp]: gauss-cnj (prod f A) = (\prod x \in A. \ gauss-cnj \ (f x))
 by (induction A rule: infinite-finite-induct) auto
lemma of-nat-dvd-of-nat:
 assumes a \ dvd \ b
 shows of-nat a dvd (of-nat b :: 'a :: comm\text{-semiring-1})
  using assms by auto
lemma of-int-dvd-imp-dvd-gauss-cnj:
```

```
fixes z :: gauss-int
 assumes of-int n \ dvd \ z
 shows of-int n dvd gauss-cnj z
proof -
  from assms obtain u where z = of-int n * u by blast
 hence gauss-cnj \ z = of-int \ n * gauss-cnj \ u
   by simp
  thus ?thesis by auto
qed
lemma of-nat-dvd-imp-dvd-gauss-cnj:
 fixes z :: gauss-int
 assumes of-nat n \, dvd \, z
 shows of-nat n dvd gauss-cnj z
 using of-int-dvd-imp-dvd-gauss-cnj[of int n] assms by simp
lemma of-int-dvd-of-int-gauss-int-iff:
  (of-int m :: gauss-int) dvd of-int n \longleftrightarrow m \ dvd \ n
proof
 assume of-int m dvd (of-int n :: gauss-int)
  then obtain a :: gauss-int where of-int n = of-int \ m * a
   by blast
  thus m \ dvd \ n
   by (auto simp: gauss-int-eq-iff)
qed auto
lemma of-nat-dvd-of-nat-gauss-int-iff:
  (of-nat m :: gauss-int) dvd of-nat n \longleftrightarrow m \ dvd \ n
 using of-int-dvd-of-int-gauss-int-iff[of int m int n] by simp
lemma gauss-cnj-dvd:
 assumes a \ dvd \ b
 shows gauss-cnj a dvd gauss-cnj b
proof -
 from assms obtain c where b = a * c
   by blast
 hence gauss-cnj \ b = gauss-cnj \ a * gauss-cnj \ c
   by simp
  thus ?thesis by auto
qed
lemma gauss-cnj-dvd-iff: gauss-cnj a dvd gauss-cnj b \longleftrightarrow a \ dvd \ b
 using gauss-cnj-dvd[of a b] gauss-cnj-dvd[of gauss-cnj a gauss-cnj b] by auto
lemma gauss-cnj-dvd-left-iff: gauss-cnj a dvd b \longleftrightarrow a dvd gauss-cnj b
 by (subst gauss-cnj-dvd-iff [symmetric]) auto
lemma gauss-cnj-dvd-right-iff: a dvd gauss-cnj b \longleftrightarrow gauss-cnj a dvd b
 by (rule gauss-cnj-dvd-left-iff [symmetric])
```

```
instance gauss-int :: idom
proof
fix z u :: gauss-int
assume z \neq 0 u \neq 0
hence gauss2complex z * gauss2complex u \neq 0
by simp
also have gauss2complex z * gauss2complex u = gauss2complex (z * u)
by simp
finally show z * u \neq 0
unfolding gauss2complex-eq-0-iff.
qed
instance gauss-int :: ring-char-0
by intro-classes (auto\ intro!:\ injI\ simp:\ gauss-int-eq-iff)
```

# 1.3 Pretty-printing

The following lemma collection provides better pretty-printing of Gaussian integers so that e.g. evaluation with the 'value' command produces nicer results.

```
lemma gauss-int-code-post [code-post]:
  Gauss-Int \theta \theta = \theta
  Gauss-Int 0 1 = i_{\mathbb{Z}}
  Gauss-Int \theta (-1) = -i_{\mathbb{Z}}
  Gauss-Int 1 \theta = 1
  Gauss-Int 1 1 = 1 + i_{\mathbb{Z}}
  Gauss-Int 1 (-1) = 1 - i_{\mathbb{Z}}
  Gauss-Int (-1) \theta = -1
  Gauss-Int (-1) 1 = -1 + i_{\mathbb{Z}}
  Gauss-Int (-1) (-1) = -1 - i_{\mathbb{Z}}
  Gauss-Int (numeral b) 0 = numeral b
  Gauss-Int (-numeral\ b)\ \theta = -numeral\ b
  Gauss-Int (numeral b) 1 = numeral b + i_{\mathbb{Z}}
  Gauss-Int (-numeral\ b)\ 1 = -numeral\ b + i_{\mathbb{Z}}
  Gauss-Int (numeral b) (-1) = numeral \ b - i_{\mathbb{Z}}
  Gauss-Int (-numeral\ b)\ (-1) = -numeral\ b - i_{\mathbb{Z}}
  Gauss-Int 0 (numeral b) = numeral b * i_{\mathbb{Z}}
  Gauss-Int 0 (-numeral\ b) = -numeral\ b * i_{\mathbb{Z}}
  Gauss-Int 1 (numeral b) = 1 + numeral b * i_{\mathbb{Z}}
  Gauss-Int 1 (-numeral\ b) = 1 - numeral\ b * i_{\mathbb{Z}}
  Gauss-Int (-1) (numeral b) = -1 + numeral b * i_{\mathbb{Z}}
  Gauss-Int (-1) (-numeral\ b) = -1 - numeral\ b * i_{\mathbb{Z}}
  Gauss-Int (numeral a) (numeral b) = numeral a + numeral b * i_{\mathbb{Z}}
  Gauss-Int (numeral a) (-numeral\ b) = numeral\ a - numeral\ b * i_{\mathbb{Z}}
  Gauss-Int (-numeral\ a)\ (numeral\ b) = -numeral\ a + numeral\ b * i_{\mathbb{Z}}
  Gauss-Int (-numeral\ a)\ (-numeral\ b) = -numeral\ a - numeral\ b * i_{\mathbb{Z}}
  by (simp-all add: gauss-int-eq-iff)
```

```
value i_{\mathbb{Z}} \cap 3
value 2 * (3 + i_{\mathbb{Z}})
value (2 + i_{\mathbb{Z}}) * (2 - i_{\mathbb{Z}})
```

#### 1.4 Norm

The square of the complex norm (or complex modulus) on the Gaussian integers gives us a norm that always returns a natural number. We will later show that this is also a Euclidean norm (in the sense of a Euclidean ring).

```
definition gauss-int-norm :: gauss-int \Rightarrow nat where
 gauss-int-norm z = nat (ReZ z ^2 + ImZ z ^2)
lemma gauss-int-norm-0 [simp]: gauss-int-norm \theta = \theta
 and gauss-int-norm-1 [simp]: gauss-int-norm 1 = 1
 and gauss-int-norm-i [simp]: gauss-int-norm i_{\mathbb{Z}} = 1
 and gauss-int-norm-cnj [simp]: gauss-int-norm (gauss-cnj z) = gauss-int-norm z
 and gauss-int-norm-of-nat [simp]: gauss-int-norm (of-nat n) = n \cap 2
 and gauss-int-norm-of-int [simp]: gauss-int-norm (of-int m) = nat (m \hat{} 2)
 and gauss-int-norm-of-numeral [simp]: gauss-int-norm (numeral n') = numeral
(Num.sqr n')
 by (simp-all add: gauss-int-norm-def nat-power-eq)
lemma qauss-int-norm-uminus [simp]: qauss-int-norm (-z) = qauss-int-norm z
 by (simp add: gauss-int-norm-def)
lemma gauss-int-norm-eq-0-iff [simp]: gauss-int-norm z = 0 \longleftrightarrow z = 0
proof
 assume gauss-int-norm z = 0
 hence ReZ z ^2 + ImZ z ^2 \le 0
   by (simp add: gauss-int-norm-def)
 moreover have ReZ z ^2 + ImZ z ^2 \ge 0
   by simn
 ultimately have ReZ z ^2 + ImZ z ^2 = 0
   by linarith
 thus z = \theta
   \mathbf{by}\ (\mathit{auto}\ \mathit{simp:}\ \mathit{gauss-int-eq-iff})
qed auto
lemma gauss-int-norm-pos-iff [simp]: gauss-int-norm z > 0 \longleftrightarrow z \neq 0
 using gauss-int-norm-eq-0-iff[of z] by (auto\ intro:\ Nat.gr0I)
lemma real-gauss-int-norm: real (gauss-int-norm z) = norm (gauss2complex z) \hat{}
 by (auto simp: cmod-def gauss-int-norm-def)
lemma quuss-int-norm-mult: quuss-int-norm (z * u) = qauss-int-norm z * qauss-int-norm
```

```
proof -
 have real (gauss-int-norm\ (z*u)) = real\ (gauss-int-norm\ z*gauss-int-norm\ u)
  unfolding of-nat-mult by (simp add: real-gauss-int-norm norm-power norm-mult
power-mult-distrib)
  thus ?thesis by (subst (asm) of-nat-eq-iff)
qed
lemma self-mult-gauss-cnj: z * gauss-cnj z = of-nat (gauss-int-norm z)
 by (simp add: gauss-int-norm-def gauss-int-eq-iff algebra-simps power2-eq-square)
lemma gauss-cnj-mult-self: gauss-cnj z * z = of-nat (gauss-int-norm z)
 by (subst mult.commute, rule self-mult-gauss-cnj)
lemma self-plus-gauss-cnj: z + gauss-cnj z = of-int (2 * ReZ z)
 and self-minus-gauss-cnj: z - gauss-cnj z = of-int (2 * ImZ z) * i_{\mathbb{Z}}
 by (auto simp: qauss-int-eq-iff)
lemma gauss-int-norm-dvd-mono:
 assumes a \ dvd \ b
 shows gauss-int-norm a dvd gauss-int-norm b
proof -
  from assms obtain c where b = a * c by blast
 hence gauss-int-norm b = gauss-int-norm (a * c)
   by metis
 thus ?thesis by (simp add: gauss-int-norm-mult)
qed
lemma gauss-int-norm-power: gauss-int-norm (x \cap n) = \text{gauss-int-norm } x \cap n
  by (metis gauss-cnj-mult-self gauss-cnj-power of-nat-eq-of-nat-power-cancel-iff
power-mult-distrib)
A Gaussian integer is a unit iff its norm is 1, and this is the case precisely
for the four elements \pm 1 and \pm i:
lemma is-unit-gauss-int-iff: x \ dvd \ 1 \longleftrightarrow x \in \{1, -1, i_{\mathbb{Z}}, -i_{\mathbb{Z}} :: gauss-int\}
 and is-unit-gauss-int-iff': x \ dvd \ 1 \longleftrightarrow gauss-int-norm \ x = 1
proof -
 have x \ dvd \ 1 \ \textbf{if} \ x \in \{1, -1, i_{\mathbb{Z}}, -i_{\mathbb{Z}}\}
 proof -
   from that have *: x * gauss-cnj x = 1
     by (auto simp: gauss-int-norm-def)
   show x \ dvd \ 1 by (subst * [symmetric]) \ simp
 moreover have gauss-int-norm \ x = 1 \ \text{if} \ x \ dvd \ 1
   using gauss-int-norm-dvd-mono[OF that] by simp
  moreover have x \in \{1, -1, i_{\mathbb{Z}}, -i_{\mathbb{Z}}\} if gauss-int-norm x = 1
   from that have *: (ReZ x)^2 + (ImZ x)^2 = 1
     by (auto simp: gauss-int-norm-def nat-eq-iff)
```

```
hence ReZ \ x \ \widehat{\ } 2 \le 1 and ImZ \ x \ \widehat{\ } 2 \le 1
      using zero-le-power2[of ImZ x] zero-le-power2[of ReZ x] by linarith+
    hence |ReZ x| \le 1 and |ImZ x| \le 1
      by (auto simp: abs-square-le-1)
    hence ReZ \ x \in \{-1, \ \theta, \ 1\} and ImZ \ x \in \{-1, \ \theta, \ 1\}
    thus x \in \{1, -1, i_{\mathbb{Z}}, -i_{\mathbb{Z}} :: gauss-int\}
      using * by (auto simp: gauss-int-eq-iff)
  \mathbf{qed}
  ultimately show x \ dvd \ 1 \longleftrightarrow x \in \{1, -1, i_{\mathbb{Z}}, -i_{\mathbb{Z}} :: gauss-int\}
             and x \ dvd \ 1 \longleftrightarrow gauss-int-norm \ x = 1
    by blast+
qed
lemma is-unit-gauss-i [simp, intro]: (gauss-i :: gauss-int) dvd 1
  by (simp add: is-unit-gauss-int-iff)
lemma gauss-int-norm-eq-Suc-0-iff: gauss-int-norm x = Suc \ 0 \longleftrightarrow x \ dvd \ 1
 by (simp add: is-unit-gauss-int-iff')
lemma is-unit-gauss-cnj [intro]: z dvd 1 \Longrightarrow gauss-cnj z dvd 1
  by (simp add: is-unit-gauss-int-iff')
lemma is-unit-gauss-cnj-iff [simp]: gauss-cnj z dvd 1 \longleftrightarrow z dvd 1
 by (simp add: is-unit-gauss-int-iff')
```

### 1.5 Division and normalisation

We define a rounding operation that takes a complex number and returns a Gaussian integer by rounding the real and imaginary parts separately:

```
 \begin{array}{l} \textbf{primcorec} \ round\text{-}complex :: complex \Rightarrow gauss\text{-}int \ \textbf{where} \\ ReZ \ (round\text{-}complex \ z) = round \ (Re \ z) \\ \mid ImZ \ (round\text{-}complex \ z) = round \ (Im \ z) \end{array}
```

The distance between a rounded complex number and the original one is no more than  $\frac{1}{2}\sqrt{2}$ :

```
lemma norm-round-complex-le: norm (z - gauss2complex (round-complex z)) ^2 \le 1 / 2
proof —
have (Re \ z - ReZ \ (round-complex \ z)) ^2 \le (1 / 2) ^2
using of-int-round-abs-le[of Re \ z]
by (subst \ abs-le-square-iff \ [symmetric]) \ (auto \ simp: \ abs-minus-commute)
moreover have (Im \ z - ImZ \ (round-complex \ z)) ^2 \le (1 / 2) ^2
using of-int-round-abs-le[of Im \ z]
by (subst \ abs-le-square-iff \ [symmetric]) \ (auto \ simp: \ abs-minus-commute)
ultimately have (Re \ z - ReZ \ (round-complex \ z)) ^2 + (Im \ z - ImZ \ (round-complex \ z)) ^2 \le (1 / 2) ^2 + (1 / 2) ^2
```

```
by (rule add-mono)
 thus norm (z - gauss2complex (round-complex z)) \cap 2 \le 1 / 2
   by (simp add: cmod-def power2-eq-square)
lemma dist-round-complex-le: dist z (gauss2complex (round-complex z)) \leq sqrt 2
/ 2
proof -
 have dist z (gauss2complex (round-complex z)) ^2 =
      norm (z - gauss2complex (round-complex z)) ^2
   by (simp add: dist-norm)
 also have \dots \leq 1 / 2
  by (rule norm-round-complex-le)
 also have ... = (sqrt 2 / 2) ^2
   by (simp add: power2-eq-square)
 finally show ?thesis
   by (rule power2-le-imp-le) auto
qed
```

We can now define division on Gaussian integers simply by performing the division in the complex numbers and rounding the result. This also gives us a remainder operation defined accordingly for which the norm of the remainder is always smaller than the norm of the divisor.

We can also define a normalisation operation that returns a canonical representative for each association class. Since the four units of the Gaussian integers are  $\pm 1$  and  $\pm i$ , each association class (other than  $\theta$ ) has four representatives, one in each quadrant. We simply define the on in the upper-right quadrant (i.e. the one with non-negative imaginary part and positive real part) as the canonical one.

Thus, the Gaussian integers form a Euclidean ring. This gives us many things, most importantly the existence of GCDs and LCMs and unique factorisation.

```
instantiation gauss-int :: algebraic-semidom begin

definition divide-gauss-int :: gauss-int \Rightarrow gauss-int \Rightarrow gauss-int where divide-gauss-int a b=round-complex (gauss2complex a / gauss2complex b)

instance proof
fix a:: gauss-int show a div 0=0
by (auto simp: gauss-int-eq-iff divide-gauss-int-def)

next
fix ab:: gauss-int assume b\neq 0
thus a*b div b=a
by (auto simp: gauss-int-eq-iff divide-gauss-int-def)

qed
```

#### end

```
instantiation gauss-int :: semidom-divide-unit-factor
begin
definition unit-factor-gauss-int :: gauss-int \Rightarrow gauss-int where
  unit-factor-gauss-int z =
    (if z = 0 then 0 else
     if ImZ z \ge 0 \land ReZ z > 0 then 1
     else if ReZ z \leq 0 \land ImZ z > 0 then i_{\mathbb{Z}}
     else if ImZ z \leq 0 \land ReZ z < 0 then -1
     else -i_{\mathbb{Z}})
instance proof
  show unit-factor (0 :: qauss-int) = 0
   by (simp add: unit-factor-gauss-int-def)
next
  \mathbf{fix}\ z :: gauss\text{-}int
 assume is-unit z
  thus unit-factor z = z
   by (subst (asm) is-unit-gauss-int-iff) (auto simp: unit-factor-gauss-int-def)
\mathbf{next}
  \mathbf{fix} \ z :: gauss-int
  assume z: z \neq 0
  thus is-unit (unit-factor z)
   by (subst is-unit-gauss-int-iff) (auto simp: unit-factor-gauss-int-def)
next
  \mathbf{fix} \ z \ u :: gauss-int
 assume is-unit z
 hence z \in \{1, -1, i_{\mathbb{Z}}, -i_{\mathbb{Z}}\}
   by (subst (asm) is-unit-gauss-int-iff)
  thus unit-factor (z * u) = z * unit-factor u
   by (safe; auto simp: unit-factor-gauss-int-def gauss-int-eq-iff[of u \ \theta])
qed
end
instantiation gauss-int :: normalization-semidom
begin
definition normalize-gauss-int :: gauss-int \Rightarrow gauss-int where
  normalize-gauss-int z =
    (if z = 0 then 0 else
     if \operatorname{Im} Z z \geq 0 \wedge \operatorname{Re} Z z > 0 then z
     else if ReZ \ z \le 0 \land ImZ \ z > 0 \ then \ -i_{\mathbb{Z}} * z
     else if ImZ z \le 0 \land ReZ z < 0 then -z
     else i_{\mathbb{Z}} * z)
```

```
instance proof
 show normalize (0 :: gauss-int) = 0
   by (simp add: normalize-gauss-int-def)
 \mathbf{fix} \ z :: gauss-int
 show unit-factor z * normalize z = z
   by (auto simp: normalize-gauss-int-def unit-factor-gauss-int-def algebra-simps)
qed
end
lemma normalize-gauss-int-of-nat [simp]: normalize (of-nat \ n :: gauss-int) = of-nat
 and normalize-gauss-int-of-int [simp]: normalize (of-int m :: gauss-int) = of-int
 and normalize-gauss-int-of-numeral [simp]: normalize (numeral n':: gauss-int)
= numeral n'
 by (auto simp: normalize-gauss-int-def)
lemma normalize-gauss-i [simp]: normalize i_{\mathbb{Z}} = 1
 by (simp add: normalize-gauss-int-def)
lemma\ gauss-int-norm-normalize\ [simp]:\ gauss-int-norm\ (normalize\ x)=gauss-int-norm
 by (simp add: normalize-gauss-int-def gauss-int-norm-mult)
lemma normalized-gauss-int:
 assumes normalize z = z
 shows ReZ z \ge 0 ImZ z \ge 0
 using assms
 by (cases ReZ z 0 :: int rule: linorder-cases;
     cases ImZ z 0 :: int rule: linorder-cases;
     simp add: normalize-gauss-int-def gauss-int-eq-iff)+
lemma normalized-gauss-int':
 assumes normalize z = z z \neq 0
 shows ReZ z > \theta ImZ z \ge \theta
 using assms
 by (cases ReZ z 0 :: int rule: linorder-cases;
     cases ImZ z 0 :: int rule: linorder-cases;
     simp add: normalize-gauss-int-def gauss-int-eq-iff)+
lemma normalized-gauss-int-iff:
 normalize \ z = z \longleftrightarrow z = 0 \ \lor \ ReZ \ z > 0 \ \land \ ImZ \ z \geq 0
 by (cases ReZ z 0 :: int rule: linorder-cases;
     cases ImZ z 0 :: int rule: linorder-cases;
     simp add: normalize-gauss-int-def gauss-int-eq-iff)+
instantiation \ gauss-int :: idom-modulo
```

### begin

```
definition modulo-gauss-int :: gauss-int \Rightarrow gauss-int \Rightarrow gauss-int where
 modulo-gauss-int a \ b = a - a \ div \ b * b
instance proof
 \mathbf{fix} \ a \ b :: gauss-int
 show a \ div \ b * b + a \ mod \ b = a
   by (simp add: modulo-gauss-int-def)
qed
end
{f lemma}\ gauss-int-norm-mod-less-aux:
 assumes [simp]: b \neq 0
 shows 2 * qauss-int-norm (a mod b) < qauss-int-norm b
proof -
 define a' b' where a' = gauss2complex a and b' = gauss2complex b
 have [simp]: b' \neq 0 by (simp \ add: \ b'-def)
 have gauss-int-norm (a mod b) =
        norm (gauss2complex (a - round-complex (a' / b') * b)) ^2
   unfolding modulo-gauss-int-def
   by (subst real-gauss-int-norm [symmetric]) (auto simp add: divide-gauss-int-def
a'-def b'-def)
 also have gauss2complex (a - round-complex (a' / b') * b) =
            a' - gauss2complex (round-complex (a' / b')) * b'
   by (simp \ add: \ a'-def \ b'-def)
 also have ... = (a' / b' - gauss2complex (round-complex (a' / b'))) * b'
   by (simp add: field-simps)
 also have norm ... 2 = norm (a' / b' - gauss2complex (round-complex (a' / b'))
b'))) ^2 * norm b' ^2
   by (simp add: norm-mult power-mult-distrib)
 also have ... \leq 1 / 2 * norm b' ^2
   \mathbf{by}\ (\mathit{intro}\ \mathit{mult-right-mono}\ \mathit{norm-round-complex-le})\ \mathit{auto}
 also have norm b' \cap 2 = gauss-int-norm b
   by (simp add: b'-def real-gauss-int-norm)
 finally show ?thesis by linarith
qed
lemma gauss-int-norm-mod-less:
 assumes [simp]: b \neq 0
 shows gauss-int-norm (a mod b) < gauss-int-norm b
proof -
 have gauss-int-norm b > 0 by simp
 thus gauss-int-norm (a mod b) < gauss-int-norm b
   using gauss-int-norm-mod-less-aux[OF assms, of a] by presburger
qed
```

```
assumes b \neq 0
 shows gauss-int-norm \ a \leq gauss-int-norm \ (a * b)
proof (cases a = \theta)
 case False
 thus ?thesis using assms by (intro dvd-imp-le gauss-int-norm-dvd-mono) auto
qed auto
instantiation gauss-int :: euclidean-ring
begin
definition euclidean-size-gauss-int :: gauss-int \Rightarrow nat where
 [simp]: euclidean-size-gauss-int = gauss-int-norm
instance proof
 show euclidean-size (0 :: gauss-int) = 0
   by simp
next
 fix a \ b :: gauss-int  assume [simp]: b \neq 0
 show euclidean-size (a \ mod \ b) < euclidean-size b
   using gauss-int-norm-mod-less[of b a] by simp
 show euclidean-size a \le euclidean-size (a * b)
   by (simp add: gauss-int-norm-dvd-imp-le)
qed
end
instance gauss-int :: normalization-euclidean-semiring ..
instantiation \ gauss-int :: euclidean-ring-gcd
begin
definition gcd-gauss-int :: gauss-int \Rightarrow gauss-int \Rightarrow gauss-int where
 gcd-gauss-int \equiv normalization-euclidean-semiring-class.gcd
definition lcm-gauss-int :: gauss-int \Rightarrow gauss-int \Rightarrow gauss-int where
 lcm-gauss-int \equiv normalization-euclidean-semiring-class.lcm
definition Gcd-gauss-int :: gauss-int set \Rightarrow gauss-int where
 Gcd-gauss-int \equiv normalization-euclidean-semiring-class.Gcd
definition Lcm-gauss-int :: gauss-int set \Rightarrow gauss-int where
 Lcm-gauss-int \equiv normalization-euclidean-semiring-class.Lcm
instance
 by intro-classes
   (simp-all add: gcd-gauss-int-def lcm-gauss-int-def Gcd-gauss-int-def Lcm-gauss-int-def)
end
lemma gcd-gauss-cnj: gcd (gauss-cnj x) (gauss-cnj y) = normalize (gauss-cnj (gcd
(x,y)
proof (rule\ sym,\ rule\ gcdI)
```

```
show \bigwedge d. \llbracket d \ dvd \ gauss-cnj \ x; \ d \ dvd \ gauss-cnj \ y \rrbracket \implies d \ dvd \ normalize \ (gauss-cnj \ gauss-cnj \ gauss-
(gcd \ x \ y))
       by (auto simp: gauss-cnj-dvd-right-iff)
qed (auto simp: gauss-cnj-dvd-left-iff)
lemma gcd-gauss-cnj-left: gcd (gauss-cnj x) y = normalize (gauss-cnj (gcd x (gauss-cnj
y)))
   by (metis gauss-cnj-cnj gcd-gauss-cnj)
lemma\ gcd-gauss-cnj-right: gcd\ x\ (gauss-cnj\ y) = normalize\ (gauss-cnj\ (gcd\ (gauss-cnj\ y)
(x)(y)
   by (subst gcd-gauss-cnj [symmetric]) auto
lemma multiplicity-gauss-cnj: multiplicity (gauss-cnj a) (gauss-cnj b) = multiplic-
ity \ a \ b
   unfolding multiplicity-def qauss-cnj-power [symmetric] qauss-cnj-dvd-iff ...
lemma multiplicity-gauss-int-of-nat:
   multiplicity\ (of\text{-}nat\ a)\ (of\text{-}nat\ b:: gauss\text{-}int) = multiplicity\ a\ b
  unfolding multiplicity-def of-nat-power [symmetric] of-nat-dvd-of-nat-gauss-int-iff
lemma gauss-int-dvd-same-norm-imp-associated:
   assumes z1 \ dvd \ z2 \ gauss-int-norm \ z1 = gauss-int-norm \ z2
   shows normalize z1 = normalize z2
proof (cases z1 = 0)
    case [simp]: False
    from assms(1) obtain u where u: z2 = z1 * u by blast
   from assms have gauss-int-norm u=1
       by (auto simp: gauss-int-norm-mult u)
   hence is-unit u
       by (simp add: is-unit-gauss-int-iff')
    with u show ?thesis by simp
qed (use assms in auto)
lemma qcd-of-int-qauss-int: qcd (of-int a :: qauss-int) (of-int b) = of-int (qcd a b)
proof (induction nat |b| arbitrary: a b rule: less-induct)
    case (less \ b \ a)
   show ?case
    proof (cases \ b = \theta)
       {f case} False
       have of-int (gcd\ a\ b) = (of\text{-}int\ (gcd\ b\ (a\ mod\ b)) :: gauss\text{-}int)
          by (subst gcd-red-int) auto
       also have \dots = gcd \ (of\text{-}int \ b) \ (of\text{-}int \ (a \ mod \ b))
          using False by (intro less [symmetric]) (auto intro!: abs-mod-less)
       also have a \mod b = (a - a \operatorname{div} b * b)
          by (simp add: minus-div-mult-eq-mod)
       also have of-int \dots = of-int (-(a \ div \ b)) * of-int b + (of-int a :: gauss-int)
          by (simp add: algebra-simps)
```

```
also have gcd (of\text{-}int b) \dots = gcd (of\text{-}int b) (of\text{-}int a)
     by (rule gcd-add-mult)
   finally show ?thesis by (simp add: gcd.commute)
 qed auto
qed
lemma coprime-of-int-gauss-int: coprime (of-int a :: gauss-int) (of-int b) = co-int
 unfolding coprime-iff-gcd-eq-1 gcd-of-int-gauss-int by auto
lemma gcd-of-nat-gauss-int: gcd (of-nat a :: gauss-int) (of-nat b) = of-nat (gcd a
 using gcd-of-int-gauss-int[of int a int b] by simp
lemma\ coprime-of-nat-gauss-int:\ coprime\ (of-nat\ a::\ gauss-int)\ (of-nat\ b)=co-lemma
prime a b
 unfolding coprime-iff-qcd-eq-1 qcd-of-nat-qauss-int by auto
lemma gauss-cnj-dvd-self-iff: gauss-cnjzdvdz\longleftrightarrow ReZ\;z=0\;\vee\;ImZ\;z=0\;\vee
|ReZ z| = |ImZ z|
proof
 assume gauss-cnj z dvd z
 hence normalize (gauss-cnj z) = normalize z
   by (rule gauss-int-dvd-same-norm-imp-associated) auto
 then obtain u :: gauss-int where is-unit u and u: gauss-cnj z = u * z
   using associatedE1 by blast
 hence u \in \{1, -1, i_{\mathbb{Z}}, -i_{\mathbb{Z}}\}\
   by (simp add: is-unit-gauss-int-iff)
 thus ReZ z = 0 \lor ImZ z = 0 \lor |ReZ z| = |ImZ z|
 proof (elim insertE emptyE)
   assume [simp]: u = i_{\mathbb{Z}}
   have ReZ z = ReZ (gauss-cnj z)
     by simp
   also have gauss-cnj z = i_{\mathbb{Z}} * z
     using u by simp
   also have ReZ \dots = -ImZ z
     by simp
   finally show ReZ z = 0 \lor ImZ z = 0 \lor |ReZ z| = |ImZ z|
     by auto
 next
   assume [simp]: u = -i\mathbb{Z}
   have ReZ z = ReZ (gauss-cnj z)
     by simp
   also have gauss-cnj z = -i_{\mathbb{Z}} * z
     using u by simp
   also have ReZ \dots = ImZ z
     by simp
   finally show ReZ z = 0 \lor ImZ z = 0 \lor |ReZ z| = |ImZ z|
     by auto
```

```
next
   assume [simp]: u = 1
   have ImZ z = -ImZ (gauss-cnj z)
    by simp
   also have gauss-cnj z = z
     using u by simp
   finally show ReZ z = 0 \lor ImZ z = 0 \lor |ReZ z| = |ImZ z|
     by auto
 next
   assume [simp]: u = -1
   have ReZ z = ReZ (gauss-cnj z)
     by simp
   also have gauss-cnj z = -z
    using u by simp
   also have ReZ \dots = -ReZ z
   finally show ReZ z = 0 \vee ImZ z = 0 \vee |ReZ z| = |ImZ z|
     by auto
 qed
next
 assume ReZ z = 0 \lor ImZ z = 0 \lor |ReZ z| = |ImZ z|
 thus gauss-cnj z dvd z
 proof safe
   assume |ReZ| = |ImZ|z|
   then obtain u :: int where is-unit u and u: ImZ z = u * ReZ z
     using associatedE2[of ReZ z ImZ z] by auto
   from \langle is\text{-}unit\ u \rangle have u \in \{1, -1\}
    by auto
   hence z = gauss-cnj \ z * (of-int \ u * i_{\mathbb{Z}})
    using u by (auto simp: gauss-int-eq-iff)
   thus ?thesis
     by (metis dvd-triv-left)
 qed (auto simp: gauss-cnj-eq-self gauss-cnj-eq-minus-self)
lemma self-dvd-qauss-cnj-iff: z dvd qauss-cnj z \longleftrightarrow ReZ z = 0 \lor ImZ z = 0 \lor
|ReZ z| = |ImZ z|
 using gauss-cnj-dvd-self-iff[of z] by (subst (asm) gauss-cnj-dvd-left-iff) auto
```

## 1.6 Prime elements

Next, we analyse what the prime elements of the Gaussian integers are. First, note that according to the conventions of Isabelle's computational algebra library, a prime element is called a prime iff it is also normalised, i.e. in our case it lies in the upper right quadrant.

As a first fact, we can show that a Gaussian integer whose norm is  $\mathbb{Z}$ -prime must be  $\mathbb{Z}[i]$ -prime:

 ${\bf lemma}\ prime-gauss-int-norm-imp-prime-elem:$ 

```
assumes prime (gauss-int-norm q)
 shows prime-elem q
proof -
 have irreducible q
  proof (rule irreducibleI)
   fix a \ b assume q = a * b
   hence gauss-int-norm q = gauss-int-norm a * gauss-int-norm b
     by (simp-all add: gauss-int-norm-mult)
   thus is-unit a \vee is-unit b
    using assms by (auto dest!: prime-product simp: gauss-int-norm-eq-Suc-0-iff)
  qed (use \ assms \ in \ \langle auto \ simp: \ is-unit-gauss-int-iff' \rangle)
 thus prime-elem q
   using irreducible-imp-prime-elem-gcd by blast
qed
Also, a conjugate is a prime element iff the original element is a prime
element:
lemma prime-elem-gauss-cnj [intro]: prime-elem z \Longrightarrow prime-elem (gauss-cnj z)
 by (auto simp: prime-elem-def gauss-cnj-dvd-left-iff)
lemma prime-elem-qauss-cnj-iff [simp]: prime-elem (qauss-cnj z) <math>\longleftrightarrow prime-elem
 using prime-elem-gauss-cnj[of z] prime-elem-gauss-cnj[of gauss-cnj z] by auto
         The factorisation of 2
1.6.1
2 factors as -i(1+i)^2 in the Gaussian integers, where -i is a unit and 1+i
is prime.
lemma gauss-int-2-eq: 2 = -i_{\mathbb{Z}} * (1 + i_{\mathbb{Z}}) \hat{2}
 by (simp add: gauss-int-eq-iff power2-eq-square)
lemma prime-elem-one-plus-i-gauss-int: prime-elem (1 + i_{\mathbb{Z}})
 by (rule prime-gauss-int-norm-imp-prime-elem) (auto simp: gauss-int-norm-def)
lemma prime-one-plus-i-gauss-int: prime (1 + i_{\mathbb{Z}})
 by (simp add: prime-def prime-elem-one-plus-i-gauss-int
              gauss-int-eq-iff\ normalize-gauss-int-def)
lemma prime-factorization-2-gauss-int:
 prime-factorization (2 :: gauss-int) = \{ \#1 + i_{\mathbb{Z}}, 1 + i_{\mathbb{Z}} \# \}
proof -
 have prime-factorization (2 :: gauss-int) =
       (prime-factorization (prod-mset {\#1 + gauss-i, 1 + gauss-i\#}))
  \textbf{by} \ (\textit{subst prime-factorization-unique}) \ (\textit{auto simp: gauss-int-eq-iff normalize-gauss-int-def})
  also have prime-factorization (prod-mset \{\#1 + gauss-i, 1 + gauss-i\#\}) =
             \{\#1 + gauss-i, 1 + gauss-i\#\}
  \textbf{using} \ \textit{prime-one-plus-i-gauss-int} \ \textbf{by} \ (\textit{subst prime-factorization-prod-mset-primes})
auto
```

```
finally show ?thesis. qed
```

## 1.6.2 Inert primes

Any  $\mathbb{Z}$ -prime congruent 3 modulo 4 is also a Gaussian prime. These primes are called *inert*, because they do not decompose when moving from  $\mathbb{Z}$  to  $\mathbb{Z}[i]$ .

```
lemma gauss-int-norm-not-3-mod-4: [gauss-int-norm z \neq 3] (mod 4)
proof -
 have A: ReZ \ z \ mod \ 4 \in \{0..3\} \ ImZ \ z \ mod \ 4 \in \{0..3\} \ by auto
 have B: \{0...3\} = \{0, 1, 2, 3 :: int\} by auto
 have [ReZ \ z \ 2 + ImZ \ z \ 2] = (ReZ \ z \ mod \ 4) \ 2 + (ImZ \ z \ mod \ 4) \ 2] \ (mod \ a)
   by (intro cong-add cong-pow) (auto simp: cong-def)
 moreover have ((ReZ \ z \ mod \ 4) \ \widehat{2} + (ImZ \ z \ mod \ 4) \ \widehat{2}) \ mod \ 4 \neq 3 \ mod \ 4
   using A unfolding B by auto
 ultimately have [ReZ \ z \ ^2 + ImZ \ z \ ^2 \neq 3] \ (mod \ 4)
   unfolding cong-def by metis
 hence [int (nat (ReZ z ^2 + ImZ z ^2)) \neq int 3] (mod (int 4))
   by simp
 thus ?thesis unfolding gauss-int-norm-def
   by (subst (asm) cong-int-iff)
qed
lemma prime-elem-gauss-int-of-nat:
 fixes n :: nat
 assumes prime: prime n and [n = 3] \pmod{4}
 shows prime-elem (of-nat n :: gauss-int)
proof (intro irreducible-imp-prime-elem irreducibleI)
 from assms show of-nat n \neq (0 :: gauss-int)
   by (auto simp: gauss-int-eq-iff)
next
 show \neg is-unit (of-nat n :: gauss-int)
   using assms by (subst is-unit-gauss-int-iff) (auto simp: gauss-int-eq-iff)
 \mathbf{fix} \ a \ b :: gauss-int
 assume *: of-nat n = a * b
 hence gauss-int-norm (a * b) = gauss-int-norm (of-nat n)
   by metis
 hence *: gauss-int-norm\ a*gauss-int-norm\ b=n^2
   by (simp add: qauss-int-norm-mult power2-eq-square flip: nat-mult-distrib)
 from prime-power-mult-nat[OF\ prime\ this] obtain i\ j::nat
   where ij: gauss-int-norm a = n \hat{\ } i gauss-int-norm b = n \hat{\ } j by blast
 have i + j = 2
 proof -
   have n^{(i+j)} = n^{2}
```

```
using ij * by (simp add: power-add)
   from prime-power-inj[OF prime this] show ?thesis by simp
 qed
 hence i = 0 \land j = 2 \lor i = 1 \land j = 1 \lor i = 2 \land j = 0
   by auto
 thus is-unit a \vee is-unit b
 proof (elim disjE)
   assume i = 1 \land j = 1
   with ij have gauss-int-norm a = n
    by auto
   hence [gauss-int-norm a = n] (mod 4)
    by simp
   also have [n = 3] \pmod{4} by fact
   finally have [gauss-int-norm a = 3] (mod 4).
   moreover have [gauss-int-norm a \neq 3] (mod 4)
     by (rule gauss-int-norm-not-3-mod-4)
   ultimately show ?thesis by contradiction
 qed (use ij in \(\lambda auto \) simp: is-unit-gauss-int-iff \(\lambda\))
theorem prime-gauss-int-of-nat:
 fixes n :: nat
 assumes prime: prime n and [n = 3] \pmod{4}
 shows prime (of-nat n :: gauss-int)
 using prime-elem-gauss-int-of-nat[OF assms]
 unfolding prime-def by simp
```

# 1.6.3 Non-inert primes

Any Z-prime congruent 1 modulo 4 factors into two conjugate Gaussian primes.

```
lemma minimal-QuadRes-neg1:
 assumes QuadRes\ n\ (-1)\ n>1\ odd\ n
 obtains x :: nat where x \leq (n-1) div 2 and [x \hat{\ } 2 + 1 = 0] (mod n)
proof -
  from \langle QuadRes\ n\ (-1)\rangle obtain x where [x \ \widehat{}\ 2 = (-1)]\ (mod\ (int\ n))
   by (auto simp: QuadRes-def)
 hence [x \, \widehat{\ } 2 + 1 = -1 + 1] \pmod{(int n)}
   by (intro cong-add) auto
 also have x \hat{2} + 1 = int (nat |x| \hat{2} + 1)
   by simp
  finally have [int\ (nat\ |x| \ \widehat{\ } 2 + 1) = int\ 0]\ (mod\ (int\ n))
 hence [nat |x| \hat{2} + 1 = 0] \pmod{n}
   by (subst (asm) cong-int-iff)
 define x' where
    x' = (if \ nat \ |x| \ mod \ n \le (n-1) \ div \ 2 \ then \ nat \ |x| \ mod \ n \ else \ n - (nat \ |x|
mod n)
```

```
have x'-quadres: [x' \ \widehat{\ } 2 + 1 = 0] \pmod{n}
 proof (cases nat |x| \mod n \le (n-1) \dim 2)
   {\bf case}\ {\it True}
   hence [x' \, \hat{} \, 2 + 1 = (nat \, |x| \, mod \, n) \, \hat{} \, 2 + 1] \, (mod \, n)
     by (simp add: x'-def)
   also have [(nat |x| \mod n) \widehat{2} + 1 = nat |x| \widehat{2} + 1] \pmod n
     by (intro cong-add cong-pow) (auto simp: cong-def)
   also have [nat |x| ^2 + 1 = 0] \pmod{n} by fact
   finally show ?thesis.
 next
   case False
   hence [int (x' \hat{2} + 1) = (int n - int (nat |x| mod n)) \hat{2} + 1] (mod int n)
     using \langle n > 1 \rangle by (simp\ add:\ x'-def\ of-nat-diff\ add-ac)
   also have [(int \ n - int \ (nat \ |x| \ mod \ n)) \ \widehat{\ } 2 + 1 =
             (0 - int (nat |x| mod n)) ^2 + 1 \pmod{int n}
     by (intro cong-add cong-pow) (auto simp: cong-def)
   also have [(0 - int (nat |x| mod n)) ^2 + 1 = int ((nat |x| mod n) ^2 +
1)] (mod\ (int\ n))
     by (simp \ add: \ add-ac)
   finally have [x' \hat{\ } 2 + 1 = (nat |x| \mod n)^2 + 1] \pmod n
     by (subst (asm) conq-int-iff)
   also have [(nat |x| \mod n)^2 + 1 = nat |x| ^2 + 1] \pmod n
     by (intro cong-add cong-pow) (auto simp: cong-def)
   also have [nat |x| \hat{2} + 1 = 0] \pmod{n} by fact
   finally show ?thesis.
 qed
 moreover have x'-le: x' \leq (n-1) div 2
   using \langle odd \ n \rangle by (auto elim!: oddE simp: x'-def)
 ultimately show ?thesis by (intro that[of x'])
qed
Let p be some prime number that is congruent 1 modulo 4.
locale noninert-gauss-int-prime =
 fixes p :: nat
 assumes prime-p: prime p and cong-1-p: [p = 1] \pmod{4}
begin
lemma p-gt-2: p > 2 and odd-p: odd p
proof -
 from prime-p and cong-1-p have p > 1 p \neq 2
   by (auto simp: prime-gt-Suc-0-nat cong-def)
 thus p > 2 by auto
 with prime-p show odd p
   using primes-dvd-imp-eq two-is-prime-nat by blast
-1 is a quadratic residue modulo p, so there exists some x such that x^2 + 1
```

is divisible by p. Moreover, we can choose x such that it is positive and no

greater than  $\frac{1}{2}(p-1)$ :

```
lemma minimal-QuadRes-neg1:
 obtains x where x > 0 x \le (p-1) div 2 [x \ \hat{} 2 + 1 = 0] (mod p)
proof -
 have [Legendre (-1) (int p) = (-1) ((p-1) div 2)] (mod (int p))
   using prime-p p-gt-2 by (intro euler-criterion) auto
 also have [p - 1 = 1 - 1] \pmod{4}
   using p-gt-2 by (intro cong-diff-nat cong-reft) (use cong-1-p in auto)
  hence 2 * 2 dvd p - 1
   by (simp add: cong-0-iff)
 hence even ((p-1) div 2)
   using dvd-mult-imp-div by blast
 hence (-1) \hat{} ((p-1) \ div \ 2) = (1 :: int)
   by simp
 finally have Legendre (-1) (int p) mod p = 1
   using p-qt-2 by (auto simp: conq-def)
  hence Legendre (-1) (int p) = 1
   using p-gt-2 by (auto simp: Legendre-def cong-def zmod-minus1 split: if-splits)
 hence QuadRes\ p\ (-1)
   by (simp add: Legendre-def split: if-splits)
  from minimal-QuadRes-neg1 [OF this] p-gt-2 odd-p
   obtain x where x: x \leq (p-1) div 2 [x \hat{z} + 1 = 0] (mod p) by auto
 have x > \theta
   using x p-gt-2 by (auto intro!: Nat.gr0I simp: cong-def)
  from x and this show ?thesis by (intro that [of x]) auto
qed
We can show from this that p is not prime as a Gaussian integer.
lemma not-prime: \neg prime-elem (of-nat p :: gauss-int)
proof
 assume prime: prime-elem (of-nat p :: gauss-int)
 obtain x where x: x > 0 x \le (p-1) div 2 [x^2 + 1 = 0] (mod p)
   using minimal-QuadRes-neg1.
  have of-nat p dvd (of-nat (x \hat{2} + 1) :: gauss-int)
    using x by (intro\ of\text{-}nat\text{-}dvd\text{-}of\text{-}nat) (auto\ simp:\ cong\text{-}\theta\text{-}iff)
 also have eq. of-nat (x \hat{z} + 1) = ((of-nat x + i_{\mathbb{Z}}) * (of-nat x - i_{\mathbb{Z}}) :: gauss-int)
    using \langle x > 0 \rangle by (simp add: algebra-simps gauss-int-eq-iff power2-eq-square
of-nat-diff)
 finally have of-nat p dvd ((of-nat x + i_{\mathbb{Z}}) * (of-nat x - i_{\mathbb{Z}}) :: gauss-int).
 from prime and this
   have of-nat p dvd (of-nat x + i_{\mathbb{Z}} :: gauss-int) <math>\vee of-nat p dvd (of-nat x - i_{\mathbb{Z}} :: gauss-int)
gauss-int)
   by (rule prime-elem-dvd-multD)
 hence dvd: of-nat p dvd (of-nat x + i_{\mathbb{Z}} :: qauss-int) of-nat p dvd (of-nat x - i_{\mathbb{Z}}
:: qauss-int)
   \mathbf{by}\ (\mathit{auto}\ \mathit{dest}\colon \mathit{of}\text{-}\mathit{nat}\text{-}\mathit{dvd}\text{-}\mathit{imp}\text{-}\mathit{dvd}\text{-}\mathit{gauss}\text{-}\mathit{cnj})
 have of-nat (p \hat{z}) = (of\text{-nat } p * of\text{-nat } p :: gauss\text{-int})
```

```
by (simp add: power2-eq-square)
  also from dvd have ... dvd ((of-nat x + i_{\mathbb{Z}}) * (of-nat x - i_{\mathbb{Z}}))
   by (intro mult-dvd-mono)
  also have \dots = of-nat (x \hat{\ } 2 + 1)
   by (rule eq [symmetric])
  finally have p \, \widehat{\ } \, 2 \, dvd \, (x \, \widehat{\ } \, 2 \, + \, 1)
   by (subst (asm) of-nat-dvd-of-nat-gauss-int-iff)
 hence p \hat{2} \leq x \hat{2} + 1
   by (intro dvd-imp-le) auto
  moreover have p \, \widehat{2} > x \, \widehat{2} + 1
 proof -
   have x \hat{2} + 1 \le ((p-1) \text{ div } 2) \hat{2} + 1
     using x by (intro add-mono power-mono) auto
   also have \dots \leq (p-1) \hat{2} + 1
     by auto
   also have (p-1)*(p-1) < (p-1)*(p+1)
     using p-gt-2 by (intro mult-strict-left-mono) auto
   hence (p-1)^2 + 1 < p^2
     by (simp add: algebra-simps power2-eq-square)
   finally show ?thesis.
 qed
 ultimately show False by linarith
Any prime factor of p in the Gaussian integers must have norm p.
lemma norm-prime-divisor:
 fixes q :: gauss-int
 assumes q: prime-elem q q dvd of-nat p
 shows gauss-int-norm q = p
proof -
  from assms obtain r where r: of-nat p = q * r
   by auto
 have p \, \hat{} \, 2 = gauss-int-norm (of-nat p)
   by simp
 also have \dots = gauss-int-norm \ q * gauss-int-norm \ r
   by (auto simp: r gauss-int-norm-mult)
 finally have *: gauss-int-norm \ q * gauss-int-norm \ r = p \ \widehat{\ } 2
   by simp
 hence \exists i j. gauss-int-norm q = p \hat{i} \land gauss-int-norm r = p \hat{j}
   using prime-p by (intro prime-power-mult-nat)
  then obtain i j where ij: gauss-int-norm q = p \hat{i} gauss-int-norm r = p \hat{j}
   by blast
 have ij-eq-2: i + j = 2
 proof -
   from * have p \hat{i}(i+j) = p \hat{i} 2
     by (simp add: power-add ij)
   thus ?thesis
     using p-gt-2 by (subst (asm) power-inject-exp) auto
 qed
```

```
hence i = 0 \land j = 2 \lor i = 1 \land j = 1 \lor i = 2 \land j = 0 by auto
 hence i = 1
 proof (elim disjE)
   assume i = 2 \land j = 0
   hence is-unit r
     using ij by (simp add: gauss-int-norm-eq-Suc-0-iff)
   hence prime-elem (of-nat p:: gauss-int) using \langle prime-elem q \rangle
     by (simp add: prime-elem-mult-unit-left r mult.commute[of - r])
   with not-prime show i = 1 by contradiction
 qed (use \ q \ ij \ in \ \langle auto \ simp: \ gauss-int-norm-eq-Suc-0-iff \rangle)
 thus ?thesis using ij by simp
qed
We now show two lemmas that characterise the two prime factors of p in
the Gaussian integers: they are two conjugates x \pm iy for positive integers x
and y such that x^2 + y^2 = p.
lemma prime-divisor-exists:
 obtains q where prime q prime-elem (gauss-cnj q) ReZ q > 0 ImZ q > 0
               of-nat p = q * gauss-cnj q gauss-int-norm q = p
proof -
 have \exists q::gauss-int. q dvd of-nat <math>p \land prime q
  by (rule prime-divisor-exists) (use prime-p in \(\lambda\) auto simp: is-unit-qauss-int-iff \(\lambda\rangle\)
 then obtain q :: gauss-int where q: prime q q dvd of-nat p
   by blast
 from \langle prime \ q \rangle have [simp]: q \neq 0 by auto
 have normalize q = q
   using q by simp
 hence q-signs: ReZ q > 0 ImZ q \ge 0
   by (subst (asm) normalized-gauss-int-iff; simp)+
 from q have gauss-int-norm q = p
   using norm-prime-divisor[of q] by simp
 moreover from this have gauss-int-norm (gauss-cnj q) = p
   by simp
 hence prime-elem (gauss-cnj q)
   using prime-p by (intro prime-gauss-int-norm-imp-prime-elem) auto
 moreover have of-nat p = q * gauss-cnj q
   using \langle gauss-int-norm \ q = p \rangle by (simp \ add: self-mult-gauss-cnj)
 moreover have ImZ \ q \neq 0
 proof
   assume [simp]: ImZ q = 0
   define m where m = nat (ReZ q)
   have [simp]: q = of-nat m
     using q-signs by (auto simp: gauss-int-eq-iff m-def)
   with q have m \, dvd \, p
     by (simp add: of-nat-dvd-of-nat-gauss-int-iff)
   with prime-p have m = 1 \lor m = p
     using prime-nat-iff by blast
   with q show False using not-prime by auto
```

```
qed
  with q-signs have ImZ q > 0 by simp
  ultimately show ?thesis using q q-signs by (intro that[of q])
{\bf theorem}\ \textit{prime-factorization}:
  obtains q1 q2
 where prime q1 prime q2 prime-factorization (of-nat p) = \{\#q1, q2\#\}
       gauss\text{-}int\text{-}norm\ q1\ =\ p\ gauss\text{-}int\text{-}norm\ q2\ =\ p\ q2\ =\ i_{\mathbb{Z}}*\ gauss\text{-}cnj\ q1
      ReZ\ q1 > 0\ ImZ\ q1 > 0\ ReZ\ q1 > 0\ ImZ\ q2 > 0
proof -
 obtain q where q: prime q prime-elem (gauss-cnj q) ReZ q > 0 ImZ q > 0
                 of-nat p = q * gauss-cnj q gauss-int-norm q = p
   using prime-divisor-exists by metis
 from \langle prime \ q \rangle have [simp]: q \neq 0 by auto
 define q' where q' = normalize (gauss-cnj q)
 have prime-factorization (of-nat p) = prime-factorization (prod-mset \{\#q, q'\#\})
   by (subst prime-factorization-unique) (auto simp: q q'-def)
  also have ... = \{ \#q, \ q' \# \}
   using q by (subst prime-factorization-prod-mset-primes) (auto simp: q'-def)
  finally have prime-factorization (of-nat p) = {\#q, q'\#}.
 moreover have q' = i_{\mathbb{Z}} * gauss-cnj q
   using q by (auto simp: normalize-gauss-int-def q'-def)
  moreover have prime q'
   using q by (auto simp: q'-def)
 ultimately show ?thesis using q
   by (intro that [of q q']) (auto simp: q'-def gauss-int-norm-mult)
qed
end
```

In particular, a consequence of this is that any prime congruent 1 modulo 4 can be written as a sum of squares of positive integers.

```
lemma prime-cong-1-mod-4-gauss-int-norm-exists:
    fixes p:: nat
    assumes prime\ p\ [p=1]\ (mod\ 4)
    shows \exists z.\ gauss-int-norm\ z=p\ \land\ ReZ\ z>0\ \land\ ImZ\ z>0
    proof -
    from assms\ interpret\ noninert-gauss-int-prime\ p
    by unfold\text{-}locales
    from prime\text{-}divisor\text{-}exists\ obtain\ q
    where q:\ prime\ q\ of\text{-}nat\ p=q*gauss-cnj\ q
    ReZ\ q>0\ ImZ\ q>0\ gauss\text{-}int\text{-}norm\ q=p\ by\ metis
    have p=gauss\text{-}int\text{-}norm\ q
    using q by simp
    thus ?thesis\ using\ q by blast
    qed
```

## 1.6.4 Full classification of Gaussian primes

Any prime in the ring of Gaussian integers is of the form

- $1 + i_{\mathbb{Z}}$
- p where  $p \in \mathbb{N}$  is prime in  $\mathbb{N}$  and congruent 1 modulo 4
- x + iy where x, y are positive integers and  $x^2 + y^2$  is a prime congruent 3 modulo 4

or an associated element of one of these.

```
theorem gauss-int-prime-classification:
 \mathbf{fixes}\ x :: \mathit{gauss-int}
 assumes prime x
 obtains
   (one-plus-i) x = 1 + i_{\mathbb{Z}}
   (cong-3-mod-4) p where x = of-nat p prime p [p = 3] (mod 4)
  |(cong-1-mod-4)| prime (gauss-int-norm\ x) [gauss-int-norm\ x=1] (mod\ 4)
                 ReZ x > 0 ImZ x > 0 ReZ x \neq ImZ x
proof -
  define N where N = gauss-int-norm x
 have x \ dvd \ x * gauss-cnj \ x
   by simp
 also have \dots = of\text{-}nat \ (gauss\text{-}int\text{-}norm \ x)
   by (simp add: self-mult-gauss-cnj)
 finally have x \in prime\text{-}factors (of\text{-}nat N)
   using assms by (auto simp: in-prime-factors-iff N-def)
 also have N = prod\text{-}mset \ (prime\text{-}factorization \ N)
    using assms unfolding N-def by (subst prod-mset-prime-factorization-nat)
auto
 also have (of\text{-}nat \dots :: gauss\text{-}int) =
             prod\text{-}mset\ (image\text{-}mset\ of\text{-}nat\ (prime\text{-}factorization\ N))
   by (subst of-nat-prod-mset) auto
 also have prime-factors ... = (\bigcup p \in prime-factors \ N. \ prime-factors \ (of-nat \ p))
   by (subst prime-factorization-prod-mset) auto
  finally obtain p where p: p \in prime-factors <math>N x \in prime-factors (of-nat p)
   by auto
 have prime p
   using p by auto
  hence \neg(2*2) dvd p
   using product-dvd-irreducibleD[of p 2 2]
   by (auto simp flip: prime-elem-iff-irreducible)
 hence [p \neq \theta] \pmod{4}
   using p by (auto simp: cong-0-iff in-prime-factors-iff)
 hence p \mod 4 \in \{1,2,3\} by (auto simp: cong-def)
 thus ?thesis
 proof (elim singletonE insertE)
```

```
assume p \mod 4 = 2
   hence p \mod 4 \mod 2 = 0
     \mathbf{by} \ simp
   hence p \mod 2 = 0
     by (simp add: mod-mod-cancel)
   with \langle prime \ p \rangle have [simp]: p = 2
     using prime-prime-factor two-is-prime-nat by blast
   have prime-factors (of-nat p) = \{1 + i_{\mathbb{Z}} :: gauss-int\}
     by (simp add: prime-factorization-2-gauss-int)
   with p show ?thesis using that(1) by auto
 next
   assume *: p \mod 4 = 3
   hence prime-factors (of-nat p) = \{of-nat p :: gauss-int\}
     using prime-gauss-int-of-nat[of p] <pri>prime p>
     by (subst prime-factorization-prime) (auto simp: cong-def)
   with p show ?thesis using that(2)[of p] *
     by (auto simp: cong-def)
 next
   assume *: p \mod 4 = 1
   then interpret noninert-gauss-int-prime p
     by unfold-locales (use \langle prime p \rangle in \langle auto simp: cong-def \rangle)
   obtain q1 q2 :: gauss-int where q12:
     prime q1 prime q2 prime-factorization (of-nat p) = \{\#q1, q2\#\}
     gauss-int-norm q1 = p gauss-int-norm q2 = p q2 = i_{\mathbb{Z}} * gauss-cnj q1
     ReZ\ q1 > 0\ ImZ\ q1 > 0\ ReZ\ q1 > 0\ ImZ\ q2 > 0
     using prime-factorization by metis
   from p q12 have x = q1 \lor x = q2 by auto
   with q12 have **: gauss-int-norm x = p ReZ x > 0 ImZ x > 0
     by auto
   have ReZ x \neq ImZ x
   proof
     assume ReZ x = ImZ x
     hence even (gauss-int-norm x)
      by (auto simp: gauss-int-norm-def nat-mult-distrib)
     hence even p using \langle gauss-int-norm \ x = p \rangle
      by simp
     with \langle p \mod 4 = 1 \rangle show False
      by presburger
   qed
   thus ?thesis using that(3) \langle prime p \rangle * **
     by (simp add: cong-def)
 qed
qed
\mathbf{lemma} \ \textit{prime-gauss-int-norm-squareD}:
 fixes z :: gauss-int
 assumes prime z gauss-int-norm z = p \hat{z}
 shows prime p \land z = of\text{-}nat p
 using assms(1)
```

```
proof (cases rule: gauss-int-prime-classification)
 {f case} \ one-plus-i
 have prime (2 :: nat) by simp
 also from one-plus-i have 2 = p \hat{ } 2
   using assms(2) by (auto simp: gauss-int-norm-def)
 finally show ?thesis by (simp add: prime-power-iff)
\mathbf{next}
 case (cong-3-mod-4 p)
 thus ?thesis using assms by auto
next
 case cong-1-mod-4
 with assms show ?thesis
   by (auto simp: prime-power-iff)
qed
lemma qauss-int-norm-eq-prime-squareD:
 assumes prime p and [p = 3] \pmod{4} and gauss-int-norm z = p \ \widehat{\ } 2
 shows normalize z = of-nat p and prime-elem z
proof -
 have \exists q:: qauss-int. q dvd z \land prime q
   by (rule prime-divisor-exists) (use assms in \( auto \) simp: is-unit-gauss-int-iff \( \) \( \)
 then obtain q :: gauss-int where q: q dvd z prime q by blast
 have gauss-int-norm q dvd gauss-int-norm z
   by (rule gauss-int-norm-dvd-mono) fact
 also have ... = p \hat{2} by fact
 finally obtain i where i: i \leq 2 gauss-int-norm q = p \hat{i}
   by (subst (asm) divides-primepow-nat) (use assms q in auto)
 from i \ assms \ q \ \mathbf{have} \ i \neq 0
   by (auto intro!: Nat.gr0I simp: gauss-int-norm-eq-Suc-0-iff)
 moreover from i assms q have i \neq 1
   using gauss-int-norm-not-3-mod-4[of q] by auto
 ultimately have i = 2 using i by auto
 with i have gauss-int-norm q = p \, \hat{} \, 2 by auto
 hence [simp]: q = of-nat p
   using prime-gauss-int-norm-squareD[of q p] q by auto
 have normalize (of-nat p) = normalize z
   using q assms
   by (intro gauss-int-dvd-same-norm-imp-associated) auto
 thus *: normalize z = of-nat p by simp
 have prime\ (normalize\ z)
   using prime-gauss-int-of-nat[of p] assms by (subst *) auto
 thus prime-elem z by simp
\mathbf{qed}
```

The following can be used as a primality test for Gaussian integers. It effectively reduces checking the primality of a Gaussian integer to checking the primality of an integer.

A Gaussian integer is prime if either its norm is either Z-prime or the square

```
of a Z-prime that is congruent 3 modulo 4.
```

```
lemma prime-elem-gauss-int-iff:
 fixes z :: gauss-int
 defines n \equiv gauss-int-norm z
 shows prime-elem z \longleftrightarrow prime \ n \lor (\exists \ p. \ n = p \ \widehat{\ } 2 \land prime \ p \land [p = 3] \ (mod
4))
proof
 assume prime n \vee (\exists p. \ n = p \ \widehat{\ } 2 \wedge prime \ p \wedge [p = 3] \ (mod \ 4))
 thus prime-elem z
   by (auto intro: gauss-int-norm-eq-prime-squareD(2)
                 prime-gauss-int-norm-imp-prime-elem simp: n-def)
next
  assume prime-elem z
 hence prime (normalize z) by simp
 thus prime n \vee (\exists p. \ n = p \ \widehat{\ } 2 \wedge prime \ p \wedge [p = 3] \ (mod \ 4))
  proof (cases rule: gauss-int-prime-classification)
   case one-plus-i
   have n = gauss-int-norm (normalize z)
     by (simp add: n-def)
   also have normalize z = 1 + i_{\mathbb{Z}}
     by fact
   also have gauss-int-norm \dots = 2
     by (simp add: gauss-int-norm-def)
   finally show ?thesis by simp
  next
   case (cong-3-mod-4 p)
   have n = gauss-int-norm (normalize z)
     by (simp add: n-def)
   also have normalize z = of\text{-}nat p
     by fact
   also have qauss-int-norm \dots = p ^2
   finally show ?thesis using cong-3-mod-4 by simp
 next
   case conq-1-mod-4
   thus ?thesis by (simp add: n-def)
 qed
qed
```

#### 1.6.5 Multiplicities of primes

In this section, we will show some results connecting the multiplicity of a Gaussian prime p in a Gaussian integer z to the  $\mathbb{Z}$ -multiplicity of the norm of p in the norm of z.

The multiplicity of the Gaussian prime  $1 + i_{\mathbb{Z}}$  in an integer c is simply twice the  $\mathbb{Z}$ -multiplicity of 2 in c:

 $\textbf{lemma} \ \textit{multiplicity-prime-1-plus-i-aux: multiplicity} \ (\textit{1} + i_{\mathbb{Z}}) \ (\textit{of-nat} \ \textit{c}) = \textit{2} * \textit{mul-plus-i-aux: multiplicity} \ (\textit{1} + i_{\mathbb{Z}}) \ (\textit{of-nat} \ \textit{c}) = \textit{2} * \textit{mul-plus-i-aux: multiplicity} \ (\textit{1} + i_{\mathbb{Z}}) \ (\textit{of-nat} \ \textit{c}) = \textit{2} * \textit{mul-plus-i-aux: multiplicity} \ (\textit{1} + i_{\mathbb{Z}}) \ (\textit{0} + i_{\mathbb{Z}}$ 

```
tiplicity 2 c
proof (cases \ c = \theta)
 case [simp]: False
 have 2 * multiplicity 2 c = multiplicity 2 (c ^2)
   by (simp add: prime-elem-multiplicity-power-distrib)
  also have multiplicity 2 (c \, \hat{} \, 2) = multiplicity (of-nat 2) (of-nat c \, \hat{} \, 2 ::
gauss-int)
   by (simp flip: multiplicity-gauss-int-of-nat)
  also have of-nat 2 = (-i_{\mathbb{Z}}) * (1 + i_{\mathbb{Z}}) ^2
   by (simp add: algebra-simps power2-eq-square)
 also have multiplicity ... (of-nat c \, \widehat{\ } 2) = multiplicity ((1 + i_{\mathbb{Z}}) \widehat{\ } 2) (of-nat c
   by (subst multiplicity-times-unit-left) auto
 also have ... = multiplicity (1 + i_{\mathbb{Z}}) (of-nat c)
   by (subst multiplicity-power-power) auto
 finally show ?thesis ..
ged auto
The multiplicity of an inert Gaussian prime q \in \mathbb{Z} in a Gaussian integer z
is precisely half the \mathbb{Z}-multiplicity of q in the norm of z.
\mathbf{lemma}\ \mathit{multiplicity-prime-cong-3-mod-4}\colon
 assumes prime (of-nat q :: gauss-int)
 shows multiplicity q (gauss-int-norm z) = 2 * multiplicity (of-nat q) z
proof (cases z = \theta)
 case [simp]: False
 have multiplicity\ q\ (gauss-int-norm\ z) =
         multiplicity (of-nat q) (of-nat (qauss-int-norm z) :: qauss-int)
   by (simp add: multiplicity-gauss-int-of-nat)
 also have ... = multiplicity (of-nat q) (z * gauss-cnj z)
   by (simp add: self-mult-gauss-cnj)
  also have ... = multiplicity (of-nat q) z + multiplicity (gauss-cnj (of-nat q))
(gauss-cnj z)
   using assms by (subst prime-elem-multiplicity-mult-distrib) auto
 also have multiplicity (qauss-cnj (of-nat q)) (qauss-cnj z) = multiplicity (of-nat
q) z
   by (subst multiplicity-gauss-cnj) auto
 also have \dots + \dots = 2 * \dots
   by simp
 finally show ?thesis.
qed auto
For Gaussian primes p whose norm is congruent 1 modulo 4, the \mathbb{Z}[i]
multiplicity of p in an integer c is just the \mathbb{Z}-multiplicity of their norm
in c.
lemma multiplicity-prime-cong-1-mod-4-aux:
 fixes p :: gauss-int
 assumes prime-elem p ReZ p > 0 ImZ p > 0 ImZ p \neq ReZ p
 shows multiplicity \ p \ (of\text{-}nat \ c) = multiplicity \ (gauss\text{-}int\text{-}norm \ p) \ c
proof (cases c = \theta)
```

```
case [simp]: False
  show ?thesis
  proof (intro antisym multiplicity-geI)
   define k where k = multiplicity p (of-nat c)
   have p \cap k \ dvd \ of\text{-}nat \ c
     by (simp add: multiplicity-dvd k-def)
   moreover have gauss-cnj p ^k dvd of-nat c
     using multiplicity-dvd[of gauss-cnj p of-nat c]
          multiplicity-gauss-cnj[of\ p\ of-nat c] by (simp\ add:\ k-def)
   \mathbf{moreover} \ \mathbf{have} \ \neg p \ \mathit{dvd} \ \mathit{gauss-cnj} \ p
     using assms by (subst self-dvd-gauss-cnj-iff) auto
   hence \neg p dvd gauss-cnj p \cap k
     using assms prime-elem-dvd-power by blast
   ultimately have p \hat{k} * gauss-cnj p \hat{k} dvd of-nat c
     using assms by (intro prime-elem-power-mult-dvdI) auto
   also have p \ \hat{\ } k * gauss-cnj \ p \ \hat{\ } k = of\text{-}nat \ (gauss-int-norm \ p \ \hat{\ } k)
     by (simp flip: self-mult-gauss-cnj add: power-mult-distrib)
   finally show gauss-int-norm p \cap k \ dvd \ c
     by (subst (asm) of-nat-dvd-of-nat-gauss-int-iff)
   define k where k = multiplicity (gauss-int-norm p) c
   have p \cap k \ dvd \ (p * gauss-cnj \ p) \cap k
     by (intro dvd-power-same) auto
   also have ... = of-nat (gauss-int-norm p \cap k)
     by (simp add: self-mult-gauss-cnj)
   also have ... dvd of-nat c
   unfolding of-nat-dvd-of-nat-gauss-int-iff by (auto simp: k-def multiplicity-dvd)
   finally show p \cap k \ dvd \ of -nat \ c.
 qed (use \ assms \ in \ \langle auto \ simp: \ gauss-int-norm-eq-Suc-0-iff \rangle)
qed auto
The multiplicity of a Gaussian prime with norm congruent 1 modulo 4 in
some Gaussian integer z and the multiplicity of its conjugate in z sum to
the the \mathbb{Z}-multiplicity of their norm in the norm of z:
\mathbf{lemma}\ \mathit{multiplicity-prime-cong-1-mod-4}\colon
 fixes p :: gauss-int
 assumes prime-elem p ReZ p > 0 ImZ p > 0 ImZ p \neq ReZ p
 shows multiplicity (gauss-int-norm p) (gauss-int-norm z) =
         multiplicity p z + multiplicity (gauss-cnj p) z
proof (cases z = \theta)
  case [simp]: False
 have multiplicity (gauss-int-norm p) (gauss-int-norm z) =
        multiplicity p (of-nat (gauss-int-norm z))
   using assms by (subst multiplicity-prime-cong-1-mod-4-aux) auto
 also have ... = multiplicity \ p \ (z * gauss-cnj \ z)
   by (simp add: self-mult-gauss-cnj)
 also have ... = multiplicity \ p \ z + multiplicity \ p \ (gauss-cnj \ z)
   using assms by (subst prime-elem-multiplicity-mult-distrib) auto
```

also have multiplicity p (gauss-cnj z) = multiplicity (gauss-cnj p) z

```
by (subst multiplicity-gauss-cnj [symmetric]) auto
 finally show ?thesis.
\mathbf{qed} auto
The multiplicity of the Gaussian prime 1 + i\mathbb{Z} in a Gaussian integer z is
precisely the \mathbb{Z}-multiplicity of 2 in the norm of z:
lemma multiplicity-prime-1-plus-i: multiplicity (1 + i_{\mathbb{Z}}) z = multiplicity 2 (gauss-int-norm
proof (cases z = \theta)
 case [simp]: False
 note [simp] = prime-elem-one-plus-i-gauss-int
  have 2 * multiplicity 2 (gauss-int-norm z) = multiplicity (1 + i_{\mathbb{Z}}) (of-nat
(gauss-int-norm z))
   by (rule multiplicity-prime-1-plus-i-aux [symmetric])
  also have ... = multiplicity (1 + i_{\mathbb{Z}}) (z * gauss-cnj z)
   by (simp add: self-mult-gauss-cnj)
  also have ... = multiplicity (1 + i_{\mathbb{Z}}) z + multiplicity (gauss-cnj (1 - i_{\mathbb{Z}}))
(gauss-cnj z)
   by (subst prime-elem-multiplicity-mult-distrib) auto
 also have multiplicity (gauss-cnj (1 - i_{\mathbb{Z}})) (gauss-cnj z) = multiplicity (1 - i_{\mathbb{Z}})
   by (subst multiplicity-gauss-cnj) auto
 also have 1 - i_{\mathbb{Z}} = (-i_{\mathbb{Z}}) * (1 + i_{\mathbb{Z}})
   by (simp add: algebra-simps)
 also have multiplicity ... z = multiplicity (1 + i_{\mathbb{Z}}) z
   by (subst multiplicity-times-unit-left) auto
 also have \dots + \dots = 2 * \dots
   by simp
```

### 1.7 Coprimality of an element and its conjugate

finally show ?thesis by simp

 $\mathbf{qed}$  auto

Using the classification of the primes, we now show that if the real and imaginary parts of a Gaussian integer are coprime and its norm is odd, then it is coprime to its own conjugate.

```
lemma coprime-self-gauss-cnj:
  assumes coprime (ReZz) (ImZz) and odd (gauss-int-norm\ z)
  shows coprime\ z\ (gauss-cnj\ z)
  proof (rule\ coprime\ I)
  fix d assume d\ dvd\ z\ d\ dvd\ gauss-cnj\ z
  have *:\ False\ if p\in\ prime\ factors\ z\ p\in\ prime\ factors\ (gauss-cnj\ z) for p
  proof -
  from that have p:\ prime\ p\ p\ dvd\ z\ p\ dvd\ gauss-cnj\ z
  by auto

define p' where p'=\ gauss-cnj\ p
  define d where d=\ gauss-int-norm\ p
```

```
have of-nat-d-eq: of-nat d = p * p'
     by (simp add: p'-def self-mult-gauss-cnj d-def)
    have prime-elem p prime-elem p' p dvd z p' dvd z p dvd gauss-cnj z p' dvd
gauss-cnj z
     using that by (auto simp: in-prime-factors-iff p'-def gauss-cnj-dvd-left-iff)
   have prime p
     using that by auto
   then obtain q where q: prime q of-nat q dvd z
   proof (cases rule: gauss-int-prime-classification)
     case one-plus-i
     hence 2 = gauss-int-norm p
      by (auto simp: gauss-int-norm-def)
     also have gauss-int-norm p dvd gauss-int-norm z
       using p by (intro gauss-int-norm-dvd-mono) auto
     finally have even (qauss-int-norm\ z).
     with \langle odd \ (gauss-int-norm \ z) \rangle show ?thesis
      by contradiction
   next
     case (cong-3-mod-4 q)
     thus ?thesis using that[of q] p by simp
   next
     case cong-1-mod-4
     hence \neg p \ dvd \ p'
       unfolding p'-def by (subst self-dvd-gauss-cnj-iff) auto
     hence p * p' dvd z using p
      by (intro prime-elem-mult-dvdI) (auto simp: p'-def gauss-cnj-dvd-left-iff)
     also have p * p' = of\text{-}nat (gauss-int-norm p)
      by (simp\ add: p'-def\ self-mult-gauss-cnj)
     finally show ?thesis using that[of gauss-int-norm p] cong-1-mod-4
      by simp
   qed
   have of-nat q dvd gcd (2 * of\text{-int } (ReZ z)) (2 * i_{\mathbb{Z}} * of\text{-int } (ImZ z))
   proof (rule gcd-greatest)
     have of-nat q dvd (z + gauss-cnj z)
       using q by (auto simp: gauss-cnj-dvd-right-iff)
     also have \dots = 2 * of\text{-}int (ReZ z)
      by (simp add: self-plus-gauss-cnj)
     finally show of-nat q dvd (2 * of\text{-int } (ReZ z) :: gauss\text{-int}).
   \mathbf{next}
     have of-nat q dvd (z - gauss-cnj z)
      using q by (auto simp: gauss-cnj-dvd-right-iff)
     also have ... = 2 * i_{\mathbb{Z}} * of\text{-}int (ImZ z)
      by (simp add: self-minus-gauss-cnj)
     finally show of-nat q dvd (2 * i_{\mathbb{Z}} * of\text{-int } (ImZ z)).
   also have \dots = 2
   proof -
```

```
have odd (ReZ z) \vee odd (ImZ z)
      using assms by (auto simp: gauss-int-norm-def even-nat-iff)
     thus ?thesis
     proof
      assume odd (ReZ z)
      hence coprime (of-int (ReZ\ z)) (of-int 2::gauss-int)
        unfolding coprime-of-int-gauss-int coprime-right-2-iff-odd.
      thus ?thesis
        using assms
        by (subst gcd-mult-left-right-cancel)
        (auto simp: coprime-of-int-gauss-int coprime-commute is-unit-left-imp-coprime
                     is-unit-right-imp-coprime gcd-proj1-if-dvd gcd-proj2-if-dvd)
     next
      assume odd (ImZ z)
      hence coprime (of-int (ImZ z)) (of-int 2 :: gauss-int)
        unfolding coprime-of-int-gauss-int coprime-right-2-iff-odd.
      hence gcd (2 * of\text{-}int (ReZ z)) (2 * i_{\mathbb{Z}} * of\text{-}int (ImZ z)) = gcd (2 * of\text{-}int
(ReZ\ z))\ (2\ *\ i_{\mathbb{Z}})
        using assms
        by (subst gcd-mult-right-right-cancel)
        (auto\ simp:\ coprime-of-int-gauss-int\ coprime-commute\ is-unit-left-imp-coprime
                     is-unit-right-imp-coprime)
       also have ... = normalize (2 * gcd (of-int (ReZ z)) i_{\mathbb{Z}})
        by (subst gcd-mult-left) auto
      also have gcd (of-int (ReZ z)) i_{\mathbb{Z}} = 1
        by (subst coprime-iff-gcd-eq-1 [symmetric], rule is-unit-right-imp-coprime)
auto
      finally show ?thesis by simp
     qed
   qed
   finally have of-nat q dvd (of-nat 2 :: gauss-int)
     by simp
   hence q \ dvd \ 2
     by (simp only: of-nat-dvd-of-nat-gauss-int-iff)
   with \langle prime \ q \rangle have q = 2
     using primes-dvd-imp-eq two-is-prime-nat by blast
   with q have 2 dvd z
     by auto
   have 2 dvd gauss-int-norm 2
     by simp
   also have ... dvd gauss-int-norm z
     using \langle 2 \ dvd \ z \rangle by (intro gauss-int-norm-dvd-mono)
   finally show False using \langle odd \ (gauss-int-norm \ z) \rangle by contradiction
  qed
 \mathbf{fix} \ d :: gauss-int
 assume d: d dvd z d dvd gauss-cnj z
 show is-unit d
```

```
proof (rule ccontr)
assume \neg is-unit d
moreover from d assms have d \neq 0
by auto
ultimately obtain p where p: prime p p dvd d
using prime-divisorE by blast
with d have p \in prime-factors z p \in prime-factors (gauss-cnj z)
using assms by (auto simp: in-prime-factors-iff)
with *[of p] show False by blast
qed
qed
```

# 1.8 Square decompositions of prime numbers congruent 1 mod 4

```
lemma prime-1-mod-4-sum-of-squares-unique-aux: fixes p \ x \ y :: nat assumes prime \ p \ [p=1] \ (mod \ 4) \ x \ ^2 + y \ ^2 = p shows x > 0 \ \land y > 0 \ \land x \neq y proof safe from assms show x > 0 \ y > 0 by (auto \ intro!: \ Nat.gr0I \ simp: \ prime-power-iff) next assume x = y with assms have p = 2 * x \ ^2 by simp with \langle prime \ p \rangle have p = 2 by (auto \ dest: \ prime-product) with \langle [p=1] \ (mod \ 4) \rangle show False by (simp \ add: \ cong-def) qed
```

Any prime number congruent 1 modulo 4 can be written *uniquely* as a sum of two squares  $x^2 + y^2$  (up to commutativity of the addition). Additionally, we have shown above that x and y are both positive and  $x \neq y$ .

```
lemma prime-1-mod-4-sum-of-squares-unique: fixes p:: nat assumes prime p [p=1] (mod\ 4) shows \exists !(x,y).\ x \leq y \land x \ 2 + y \ 2 = p proof (rule\ ex-ex1I) obtain z where z: gauss-int-norm z=p using prime-cong-1-mod-4-gauss-int-norm-exists[OF\ assms] by blast show \exists z.\ case\ z\ of\ (x,y) \Rightarrow x \leq y \land x \ 2 + y \ 2 = p proof (cases\ |ReZ\ z| \leq |ImZ\ z|) case True with z show ?thesis by (intro\ exI[of\ -(nat\ |ReZ\ z|,\ nat\ |ImZ\ z|)]) (auto\ simp:\ gauss-int-norm-def\ nat-add-distrib\ simp\ flip:\ nat-power-eq) next
```

```
case False
   with z show ?thesis by
     (intro\ exI[of - (nat\ |ImZ\ z|,\ nat\ |ReZ\ z|)])
     (auto simp: gauss-int-norm-def nat-add-distrib simp flip: nat-power-eq)
 ged
next
 fix z1 z2
 assume z1: case z1 of (x, y) \Rightarrow x \leq y \wedge x^2 + y^2 = p
 assume z2: case z2 of (x, y) \Rightarrow x \leq y \wedge x^2 + y^2 = p
 define z1':: gauss-int where z1' = of-nat (fst z1) + i_{\mathbb{Z}} * of-nat (snd z1)
 define z2':: gauss-int where z2' = of-nat (fst z2) + i_{\mathbb{Z}} * of-nat (snd z2)
 from assms interpret noninert-gauss-int-prime p
   by unfold-locales auto
 have norm-z1': gauss-int-norm z1' = p
  using z1 by (simp add: z1'-def gauss-int-norm-def case-prod-unfold nat-add-distrib
nat-power-eq)
 have norm-z2': qauss-int-norm z2' = p
  using z2 by (simp add: z2'-def gauss-int-norm-def case-prod-unfold nat-add-distrib
nat-power-eq)
 have sgns: fst z1 > 0 \ snd \ z1 > 0 \ fst \ z2 > 0 \ snd \ z2 > 0 \ fst \ z1 \neq snd \ z1 \ fst \ z2
\neq snd z2
   using prime-1-mod-4-sum-of-squares-unique-aux[OF assms, of fst z1 snd z1] z1
        prime-1-mod-4-sum-of-squares-unique-aux[OF assms, of fst z2 snd z2] z2
by auto
 have [simp]: normalize z1' = z1' normalize z2' = z2'
   using sgns by (subst normalized-gauss-int-iff; simp add: z1'-def z2'-def)+
 have prime z1' prime z2'
   using norm-z1' norm-z2' assms unfolding prime-def
   by (auto simp: prime-gauss-int-norm-imp-prime-elem)
 have of-nat p = z1' * gauss-cnj z1'
   by (simp add: self-mult-gauss-cnj norm-z1')
 hence z1' dvd of-nat p
   by simp
 also have of-nat p = z2' * qauss-cnj z2'
   by (simp add: self-mult-gauss-cnj norm-z2')
 finally have z1' dvd z2' \lor z1' dvd gauss-cnj z2' using assms
   by (subst (asm) prime-elem-dvd-mult-iff)
      (simp add: norm-z1' prime-gauss-int-norm-imp-prime-elem)
 thus z1 = z2
 proof
   assume z1' dvd z2'
   with \langle prime\ z1' \rangle \langle prime\ z2' \rangle have z1' = z2'
    by (simp add: primes-dvd-imp-eq)
   thus ?thesis
     by (simp add: z1'-def z2'-def gauss-int-eq-iff prod-eq-iff)
 next
   assume dvd: z1' dvd gauss-cnj z2'
```

```
have normalize (i_{\mathbb{Z}} * gauss-cnj z2') = i_{\mathbb{Z}} * gauss-cnj z2'
     using sgns by (subst normalized-gauss-int-iff) (auto simp: z2'-def)
   moreover have prime-elem (i_{\mathbb{Z}}*gauss-cnj z2')
     by (rule prime-gauss-int-norm-imp-prime-elem)
        (simp\ add:\ gauss-int-norm-mult\ norm-z2' \langle prime\ p \rangle)
   ultimately have prime (i<sub>Z</sub> * gauss-cnj z2')
     by (simp add: prime-def)
   moreover from dvd have z1' dvd i<sub>Z</sub> * gauss-cnj z2'
     by simp
   ultimately have z1' = i_{\mathbb{Z}} * gauss-cnj z2'
     using \langle prime\ z1' \rangle by (simp\ add:\ primes-dvd-imp-eq)
   hence False using z1 z2 sgns
     by (auto simp: gauss-int-eq-iff z1'-def z2'-def)
   thus ?thesis ..
 qed
qed
lemma two-sum-of-squares-nat-iff: (x :: nat) \hat{2} + y \hat{2} = 2 \longleftrightarrow x = 1 \land y =
proof
 assume eq: x \hat{2} + y \hat{2} = 2
 have square-neq-2: n \, \widehat{\ } 2 \neq 2 for n :: nat
 proof
   assume *: n \hat{2} = 2
   have prime (2 :: nat)
     by simp
   thus False by (subst (asm) * [symmetric]) (auto simp: prime-power-iff)
 qed
 from eq have x \hat{2} < 2 \hat{2} y \hat{2} < 2 \hat{2}
   by simp-all
 hence x < 2 y < 2
   using power2-less-imp-less[of x 2] power2-less-imp-less[of y 2] by auto
 moreover have x > \theta y > \theta
   using eq square-neq-2[of x] square-neq-2[of y] by (auto intro!: Nat.gr0I)
 ultimately show x = 1 \land y = 1
   by auto
qed auto
lemma prime-sum-of-squares-unique:
 fixes p :: nat
 assumes prime p p = 2 \lor [p = 1] \pmod{4}
 shows \exists !(x,y). \ x \leq y \wedge x \hat{\ } 2 + y \hat{\ } 2 = p
 using assms(2)
proof
  assume [simp]: p = 2
 have **: (\lambda(x,y). \ x \le y \land x \widehat{\ } 2 + y \widehat{\ } 2 = p) = (\lambda z. \ z = (1,1 :: nat))
   using two-sum-of-squares-nat-iff by (auto simp: fun-eq-iff)
 thus ?thesis
```

```
by (subst **) auto
qed (use prime-1-mod-4-sum-of-squares-unique[of p] assms in auto)
We now give a simple and inefficient algorithm to compute the canonical
decomposition x^2 + y^2 with x < y.
definition prime-square-sum-nat-decomp :: nat \Rightarrow nat \times nat where
 prime-square-sum-nat-decomp p =
    (if prime p \land (p = 2 \lor [p = 1] \pmod{4}))
     then THE (x,y). x \le y \land x ? 2 + y ? 2 = p else (0, 0)
lemma prime-square-sum-nat-decomp-eqI:
 assumes prime p \ x \ \hat{2} + y \ \hat{2} = p \ x \le y
 shows prime-square-sum-nat-decomp p = (x, y)
proof -
 have [gauss-int-norm (of-nat x + i_{\mathbb{Z}} * of-nat y) \neq 3] (mod 4)
   by (rule gauss-int-norm-not-3-mod-4)
 also have gauss-int-norm (of-nat x + i_{\mathbb{Z}} * of-nat y) = p
   using assms by (auto simp: gauss-int-norm-def nat-add-distrib nat-power-eq)
 finally have [p \neq 3] \pmod{4}.
 with prime-mod-4-cases[of p] assms have *: p = 2 \lor [p = 1] \pmod{4}
   by auto
 p)
   using * \( \text{prime } p \) by \( \text{simp add: } prime-square-sum-nat-decomp-def \)
 also have \dots = (x, y)
 proof (rule the1-equality)
   show \exists !(x,y). \ x \leq y \land x \hat{\ } 2 + y \hat{\ } 2 = p
     using \langle prime \ p \rangle * \mathbf{by} \ (rule \ prime-sum-of-squares-unique)
 qed (use assms in auto)
 finally show ?thesis.
qed
lemma prime-square-sum-nat-decomp-correct:
 assumes prime p p = 2 \lor [p = 1] \pmod{4}
 defines z \equiv prime-square-sum-nat-decomp p
 shows fst z \, \widehat{\ } 2 + snd z \, \widehat{\ } 2 = p \text{ fst } z \leq snd z
proof -
 define z' where z' = (THE(x,y), x \le y \land x \land 2 + y \land 2 = p)
 have z = z'
  unfolding z-def z'-def using assms by (simp add: prime-square-sum-nat-decomp-def)
 also have \exists !(x,y). \ x \leq y \wedge x \hat{\ } 2 + y \hat{\ } 2 = p
   using assms by (intro prime-sum-of-squares-unique)
 hence case z' of (x, y) \Rightarrow x \leq y \land x \hat{z} + y \hat{z} = p
   unfolding z'-def by (rule the I')
 finally show fst z ^2 + snd z ^2 = p fst z \le snd z
   by auto
qed
```

```
lemma sum-of-squares-nat-bound:
 fixes x y n :: nat
 assumes x \hat{2} + y \hat{2} = n
 shows x \leq n
proof (cases x = \theta)
  case False
 hence x * 1 \le x \hat{\ } 2
   unfolding power2-eq-square by (intro mult-mono) auto
 also have \dots \leq x \hat{z} + y \hat{z}
   by simp
 also have \dots = n
   by fact
 finally show ?thesis by simp
qed auto
lemma sum-of-squares-nat-bound':
 fixes x y n :: nat
 assumes x \hat{2} + y \hat{2} = n
 shows y \leq n
 using sum-of-squares-nat-bound[of y x] assms by (simp add: add.commute)
lemma is-singleton-conv-Ex1:
  is-singleton A \longleftrightarrow (\exists ! x. \ x \in A)
proof
 assume is-singleton A
 thus \exists ! x. \ x \in A
   by (auto elim!: is-singletonE)
next
 assume \exists ! x. \ x \in A
 thus is-singleton A
   by (metis equals0D is-singletonI')
qed
lemma the-elemI:
 assumes is-singleton A
 shows the-elem A \in A
 using assms by (elim is-singletonE) auto
{f lemma}\ prime-square-sum-nat-decomp-code-aux:
 assumes prime p p = 2 \lor [p = 1] \pmod{4}
  defines z \equiv the\text{-}elem (Set.filter (\lambda(x,y)). x \hat{2} + y \hat{2} = p) (SIGMA x:\{0..p\}).
\{x..p\}))
 shows prime-square-sum-nat-decomp p = z
proof -
 let ?A = Set.filter(\lambda(x,y). x ^2 + y ^2 = p) (SIGMA x: \{0..p\}. \{x..p\})
 have eq: ?A = \{(x,y). \ x \le y \land x \widehat{\ } 2 + y \widehat{\ } 2 = p\}
   using sum-of-squares-nat-bound [of - - p] sum-of-squares-nat-bound' [of - - p]
by auto
 have z: z \in Set.filter(\lambda(x,y), x ^2 + y ^2 = p) (SIGMA x: \{0..p\}, \{x..p\})
```

```
unfolding z-def eq using prime-sum-of-squares-unique[OF assms(1,2)] by (intro the-elemI) (simp add: is-singleton-conv-Ex1) have prime-square-sum-nat-decomp p = (fst \ z, \ snd \ z) using z by (intro prime-square-sum-nat-decomp-eqI[OF assms(1)]) auto also have ... = z by simp finally show ?thesis . qed lemma prime-square-sum-nat-decomp-code [code]: prime-square-sum-nat-decomp p = (if \ prime \ p \land (p = 2 \lor [p = 1] \ (mod \ 4)) then the-elem (Set.filter (\lambda(x,y). \ x \ 2 + y \ 2 = p) (SIGMA x:\{0..p\}. \ \{x..p\})) else (0, \ 0)) using prime-square-sum-nat-decomp-code-aux[of p] by (auto simp: prime-square-sum-nat-decomp-def)
```

#### 1.9 Executable factorisation of Gaussian integers

Lastly, we use all of the above to give an executable (albeit not very efficient) factorisation algorithm for Gaussian integers based on factorisation of regular integers. Note that we will only compute the set of prime factors without multiplicity, but given that, it would be fairly easy to determine the multiplicity as well.

First, we need the following function that computes the Gaussian integer factors of a  $\mathbb{Z}$ -prime p:

```
definition factor-gauss-int-prime-nat :: nat \Rightarrow gauss-int list where
 factor-gauss-int-prime-nat p =
    (if p = 2 then [1 + i_{\mathbb{Z}}]
     else if [p = 3] \pmod{4} then [of\text{-nat } p]
     else case prime-square-sum-nat-decomp p of
           (x, y) \Rightarrow [of\text{-}nat \ x + i_{\mathbb{Z}} * of\text{-}nat \ y, \ of\text{-}nat \ y + i_{\mathbb{Z}} * of\text{-}nat \ x])
lemma factor-gauss-int-prime-nat-correct:
 assumes prime p
 shows set (factor-gauss-int-prime-nat p) = prime-factors (of-nat p)
 using prime-mod-4-cases[OF assms]
proof (elim \ disjE)
 assume p = 2
  thus ?thesis
   by (auto simp: prime-factorization-2-gauss-int factor-gauss-int-prime-nat-def)
  \mathbf{assume} *: [p = 3] \pmod{4}
  with assms have prime (of-nat p :: gauss-int)
   by (intro prime-gauss-int-of-nat)
  thus ?thesis using assms *
  by (auto simp: prime-factorization-prime factor-gauss-int-prime-nat-def cong-def)
next
```

```
assume *: [p = 1] \pmod{4}
  then interpret noninert-gauss-int-prime p
   using \langle prime \ p \rangle by unfold-locales
  define z where z = prime-square-sum-nat-decomp p
  define x y where x = fst z and y = snd z
  have xy: x ^2 + y ^2 = p x \le y
   \mathbf{using} \ prime-square-sum-nat-decomp-correct[of \ p] * \ assms
   by (auto simp: x-def y-def z-def)
  from xy have xy-signs: x > 0 y > 0
    using prime-1-mod-4-sum-of-squares-unique-aux[of p \ x \ y] assms * \mathbf{by} auto
  have norms: gauss-int-norm (of-nat x + i_{\mathbb{Z}} * of-nat y) = p
             gauss-int-norm\ (of-nat\ y+i_{\mathbb{Z}}*of-nat\ x)=p
   using xy by (auto simp: gauss-int-norm-def nat-add-distrib nat-power-eq)
  have prime: prime (of-nat x + i_{\mathbb{Z}} * of-nat y) prime (of-nat y + i_{\mathbb{Z}} * of-nat x)
   using norms xy-signs (prime p) unfolding prime-def normalized-gauss-int-iff
   by (auto intro!: prime-gauss-int-norm-imp-prime-elem)
 have normalize ((of\text{-}nat\ x + i_{\mathbb{Z}} * of\text{-}nat\ y) * (of\text{-}nat\ y + i_{\mathbb{Z}} * of\text{-}nat\ x)) = of\text{-}nat
  proof -
   have (of\text{-}nat\ x + i_{\mathbb{Z}} * of\text{-}nat\ y) * (of\text{-}nat\ y + i_{\mathbb{Z}} * of\text{-}nat\ x) = (i_{\mathbb{Z}} * of\text{-}nat\ p ::
gauss-int)
     by (subst\ xy(1)\ [symmetric])\ (auto\ simp:\ gauss-int-eq-iff\ power2-eq-square)
   also have normalize \dots = of\text{-}nat p
     by simp
   finally show ?thesis.
  hence prime-factorization (of-nat p) =
        prime-factorization (prod-mset \{\#of-nat \ x + i_{\mathbb{Z}} * of-nat \ y, \ of-nat \ y + i_{\mathbb{Z}} * of-nat \ y \}
of-nat x\#
  using assms xy by (subst prime-factorization-unique) (auto simp: gauss-int-eq-iff)
  also have ... = \{\#of\text{-}nat\ x + i_{\mathbb{Z}} * of\text{-}nat\ y, of\text{-}nat\ y + i_{\mathbb{Z}} * of\text{-}nat\ x\#\}
   using prime by (subst prime-factorization-prod-mset-primes) auto
  finally have prime-factors (of-nat p) = {of-nat x + i_{\mathbb{Z}} * of-nat y, of-nat <math>y + i_{\mathbb{Z}}
* of-nat x
   by simp
  also have ... = set (factor-gauss-int-prime-nat p)
   using * unfolding factor-gauss-int-prime-nat-def case-prod-unfold
   by (auto simp: cong-def x-def y-def z-def)
  finally show ?thesis ...
qed
Next, we lift this to compute the prime factorisation of any integer in the
Gaussian integers:
definition prime-factors-gauss-int-of-nat :: nat \Rightarrow gauss-int set where
  prime-factors-gauss-int-of-nat\ n=(if\ n=0\ then\ \{\}\ else
    (\bigcup p \in prime-factors\ n.\ set\ (factor-gauss-int-prime-nat\ p)))
```

**lemma** prime-factors-gauss-int-of-nat-correct:

```
prime-factors-gauss-int-of-nat\ n=prime-factors\ (of-nat\ n)
proof (cases n = \theta)
 {f case}\ {\it False}
  from False have [simp]: n > 0 by auto
 have prime-factors (of-nat n :: gauss-int) =
         prime-factors (of-nat (prod-mset (prime-factorization n)))
   by (subst prod-mset-prime-factorization-nat [symmetric]) auto
 also have \dots = prime-factors (prod-mset (image-mset of-nat (prime-factorization
n)))
   \mathbf{by}\ (\mathit{subst}\ of\text{-}nat\text{-}prod\text{-}mset)\ \mathit{auto}
 also have ... = (\bigcup p \in prime\text{-}factors n. prime\text{-}factors (of\text{-}nat p))
   by (subst prime-factorization-prod-mset) auto
 also have ... = (\bigcup p \in prime-factors \ n. \ set \ (factor-gauss-int-prime-nat \ p))
   by (intro SUP-cong refl factor-gauss-int-prime-nat-correct [symmetric]) auto
 finally show ?thesis by (simp add: prime-factors-gauss-int-of-nat-def)
qed (auto simp: prime-factors-gauss-int-of-nat-def)
We can now use this to factor any Gaussian integer by computing a factori-
sation of its norm and removing all the prime divisors that do not actually
divide it.
definition prime-factors-gauss-int :: gauss-int <math>\Rightarrow gauss-int set where
 prime-factors-gauss-int z = (if z = 0 then \{\})
   else Set.filter (\lambda p. p \ dvd \ z) (prime-factors-gauss-int-of-nat (gauss-int-norm z)))
lemma prime-factors-gauss-int-correct [code-unfold]: prime-factors <math>z = prime-factors-gauss-int
proof (cases z = \theta)
 case [simp]: False
  define n where n = qauss-int-norm z
  from False have [simp]: n > 0 by (auto simp: n-def)
  have prime-factors-gauss-int z = Set. filter (\lambda p. p \ dvd \ z) (prime-factors (of-nat
n))
   by (simp add: prime-factors-gauss-int-of-nat-correct prime-factors-gauss-int-def
n-def)
 also have of-nat n = z * gauss-cnj z
   by (simp add: n-def self-mult-gauss-cnj)
 also have prime-factors ... = prime-factors z \cup prime-factors (gauss-cnj z)
   \mathbf{by}\ (\mathit{subst\ prime-factors-product})\ \mathit{auto}
  also have Set.filter (\lambda p. \ p \ dvd \ z) \dots = prime-factors \ z
   by (auto simp: in-prime-factors-iff)
 finally show ?thesis by simp
qed (auto simp: prime-factors-gauss-int-def)
end
theory Gaussian-Integers-Test
imports
  Gaussian-Integers
```

```
Polynomial\mbox{-}Factorization. Prime\mbox{-}Factorization\\ HOL-Library. Code\mbox{-}Target\mbox{-}Numeral\\ \textbf{begin}
```

Lastly, we apply our factorisation algorithm to some simple examples:

```
value (1234 + 5678 * i_{\mathbb{Z}}) \mod (321 + 654 * i_{\mathbb{Z}})
value prime\text{-}factors (1 + 3 * i_{\mathbb{Z}})
value prime\text{-}factors (4830 + 1610 * i_{\mathbb{Z}})
```

end

#### 1.10 Sums of two squares

```
theory Gaussian-Integers-Sums-Of-Two-Squares imports Gaussian-Integers begin
```

As an application, we can now easily prove that a positive natural number is the sum of two squares if and only if all prime factors congruent 3 modulo 4 have even multiplicity.

```
inductive sum-of-2-squares-nat :: nat \Rightarrow bool where sum-of-2-squares-nat (a ^2 + b ^2)
```

```
lemma sum-of-2-squares-nat-altdef: sum-of-2-squares-nat n \longleftrightarrow n \in range\ gauss-int-norm proof (safe\ elim!: sum-of-2-squares-nat.cases)

fix ab:: nat

have a^2 + b^2 = gauss-int-norm (of-nat a + i_{\mathbb{Z}} * of-nat b)

by (auto\ simp:\ gauss-int-norm-def nat-add-distrib nat-power-eq)

thus a^2 + b^2 \in range\ gauss-int-norm by blast

next

fix z:: gauss-int

have gauss-int-norm z = nat\ |ReZ\ z|^2 + nat\ |ImZ\ z|^2

by (auto\ simp:\ gauss-int-norm-def nat-add-distrib simp\ flip:\ nat-power-eq)

thus sum-of-2-squares-nat (gauss-int-norm z)

by (auto\ intro:\ sum-of-2-squares-nat.intros)

qed

lemma sum-of-2-squares-nat-gauss-int-norm [intro]: sum-of-2-squares-nat (gauss-int-norm z)

by (auto\ simp:\ sum-of-2-squares-nat-altdef)
```

```
lemma sum-of-2-squares-nat-0 [simp, intro]: sum-of-2-squares-nat 0 and sum-of-2-squares-nat-1 [simp, intro]: sum-of-2-squares-nat 1 and sum-of-2-squares-nat-Suc-0 [simp, intro]: sum-of-2-squares-nat (Suc 0) and sum-of-2-squares-nat-2 [simp, intro]: sum-of-2-squares-nat 2 using sum-of-2-squares-nat.intros[of 0 0] sum-of-2-squares-nat.intros[of 0 1] sum-of-2-squares-nat.intros[of 1 1] by (simp-all add: numeral-2-eq-2)
```

```
lemma sum-of-2-squares-nat-mult [intro]:
 assumes sum-of-2-squares-nat x sum-of-2-squares-nat y
 shows sum-of-2-squares-nat (x * y)
proof -
 from assms obtain z1 z2 where x = qauss-int-norm z1 y = qauss-int-norm z2
   by (auto simp: sum-of-2-squares-nat-altdef)
 hence x * y = gauss-int-norm (z1 * z2)
   by (simp add: gauss-int-norm-mult)
 thus ?thesis by auto
qed
lemma sum-of-2-squares-nat-power [intro]:
 assumes sum-of-2-squares-nat m
 shows sum-of-2-squares-nat (m \cap n)
 using assms by (induction n) auto
lemma sum-of-2-squares-nat-prod [intro]:
 assumes \bigwedge x. \ x \in A \Longrightarrow sum\text{-}of\text{-}2\text{-}squares\text{-}nat\ (f\ x)
 shows sum-of-2-squares-nat (\prod x \in A. f x)
 using assms by (induction A rule: infinite-finite-induct) auto
lemma sum-of-2-squares-nat-prod-mset [intro]:
 assumes \bigwedge x. x \in \# A \Longrightarrow sum\text{-}of\text{-}2\text{-}squares\text{-}nat x
 shows sum-of-2-squares-nat (prod-mset A)
 using assms by (induction A) auto
lemma sum-of-2-squares-nat-necessary:
 assumes sum-of-2-squares-nat n > 0
 assumes prime p [p = 3] \pmod{4}
 shows even (multiplicity p n)
proof -
 define k where k = multiplicity p n
 from assms obtain z where z: gauss-int-norm z = n
   by (auto simp: sum-of-2-squares-nat-altdef)
 from assms and z have [simp]: z \neq 0
   by auto
 have prime': prime (of-nat p :: gauss-int)
   using assms prime-qauss-int-of-nat by blast
 have [simp]: multiplicity (of-nat p) (gauss-cnj z) = multiplicity (of-nat p) z
   using multiplicity-gauss-cnj[of of-nat p z] by simp
 have multiplicity (of-nat p) (of-nat n :: gauss-int) =
      multiplicity (of-nat p) (z * gauss-cnj z)
   using z by (simp\ add: self-mult-gauss-cnj)
 also have ... = 2 * multiplicity (of-nat p) z
   using prime' by (subst prime-elem-multiplicity-mult-distrib) auto
 finally have multiplicity p \ n = 2 * multiplicity (of-nat p) z
   by (subst (asm) multiplicity-gauss-int-of-nat)
 thus ?thesis by auto
qed
```

```
lemma sum-of-2-squares-nat-sufficient:
  fixes n :: nat
  assumes n > 0
 assumes \bigwedge p. p \in prime-factors n \Longrightarrow [p = 3] \pmod{4} \Longrightarrow even (multiplicity p)
  shows sum-of-2-squares-nat n
proof -
  define P2 where P2 = \{p \in prime \text{-}factors \ n. \ [p = 1] \ (mod \ 4)\}
  define P3 where P3 = \{p \in prime\text{-}factors \ n. \ [p = 3] \ (mod \ 4)\}
  from \langle n > 0 \rangle have n = (\prod p \in prime-factors n. p \cap multiplicity p n)
   by (subst prime-factorization-nat) auto
  also have ... = (\prod p \in \{2\} \cup P2 \cup P3. p \cap multiplicity p n)
   using prime-mod-4-cases
   by (intro prod.mono-neutral-left)
      (auto simp: P2-def P3-def in-prime-factors-iff not-dvd-imp-multiplicity-0)
 also have ... = (\prod p \in \{2\} \cup P2. \ p \cap multiplicity \ p \ n) * (\prod p \in P3. \ p \cap multiplicity)
p(n)
   by (intro prod.union-disjoint) (auto simp: P2-def P3-def cong-def)
  also have (\prod p \in \{2\} \cup P2. p \cap multiplicity p n) =
              2 \widehat{} multiplicity 2 n * (\prod p \in P2. p \widehat{} multiplicity p n)
   by (subst prod.union-disjoint) (auto simp: P2-def cong-def)
  also have (\prod p \in P3. \ p \ \widehat{} \ multiplicity \ p \ n) = (\prod p \in P3. \ (p \ \widehat{} \ 2) \ \widehat{} \ (multiplicity \ p
n \ div \ 2))
  proof (intro prod.cong refl)
   fix p :: nat assume p: p \in P3
   have (p \hat{\ } 2) \hat{\ } (multiplicity \ p \ n \ div \ 2) = p \hat{\ } (2 * (multiplicity \ p \ n \ div \ 2))
     by (simp add: power-mult)
   also have even (multiplicity p n)
     using assms\ p by (auto simp: P3-def)
   hence 2 * (multiplicity p \ n \ div \ 2) = multiplicity p \ n
   finally show p \cap multiplicity p \ n = (p \cap 2) \cap (multiplicity p \ n \ div \ 2)
     by simp
  qed
  finally have n = 2 \widehat{} multiplicity 2 n * (\prod p \in P2. p \widehat{} multiplicity p n) *
                     (\prod p \in P3. \ p^2 \ \widehat{} (multiplicity \ p \ n \ div \ 2)).
  also have sum-of-2-squares-nat ...
 proof (intro sum-of-2-squares-nat-mult sum-of-2-squares-nat-prod; rule sum-of-2-squares-nat-power)
   fix p :: nat assume p: p \in P2
   with prime-cong-1-mod-4-gauss-int-norm-exists [of p] show sum-of-2-squares-nat
p
     by (auto simp: P2-def)
  next
   fix p :: nat assume p: p \in P3
   have sum-of-2-squares-nat (gauss-int-norm (of-nat p))...
   also have gauss-int-norm (of-nat p) = p \cap 2
     by simp
```

```
finally show sum-of-2-squares-nat (p \ \widehat{\ } 2).
 qed auto
 finally show ?thesis.
qed
theorem sum-of-2-squares-nat-iff:
  sum-of-2-squares-nat n \longleftrightarrow
    n = 0 \lor (\forall p \in prime\text{-}factors \ n. \ [p = 3] \ (mod \ 4) \longrightarrow even \ (multiplicity \ p \ n))
  using sum-of-2-squares-nat-necessary[of n] sum-of-2-squares-nat-sufficient[of n]
by auto
end
         Primitive Pythagorean triples
1.11
theory Gaussian-Integers-Pythagorean-Triples
 imports Gaussian-Integers
begin
In this section, we derive Euclid's formula for primitive Pythagorean triples
using Gaussian integers, following Stillwell [1].
definition prim-pyth-triple :: nat \Rightarrow nat \Rightarrow nat \Rightarrow bool where
 \textit{prim-pyth-triple} \ x \ y \ z \longleftrightarrow x > 0 \ \land \ y > 0 \ \land \ \textit{coprime} \ x \ y \land x^2 + y^2 = z^2
lemma prim-pyth-triple-commute: prim-pyth-triple x y z \longleftrightarrow prim-pyth-triple y x
 by (simp add: prim-pyth-triple-def coprime-commute add-ac conj-ac)
lemma prim-pyth-triple-aux:
 fixes u \ v :: nat
 assumes v \leq u
 shows (2 * u * v) ^2 + (u ^2 - v ^2) ^2 = (u ^2 + v ^2) ^2
proof -
 have int ((2 * u * v) ^2 + (u ^2 - v ^2) ^2) = (2 * int u * int v) ^2 + (int u ^2 - int v ^2) ^2
   using assms by (simp add: of-nat-diff)
 also have ... = (int \ u \ 2 + int \ v \ 2) \ 2
   by (simp add: power2-eq-square algebra-simps)
 also have ... = int ((u \hat{2} + v \hat{2}) \hat{2})
   by simp
 finally show ?thesis
   by (simp only: of-nat-eq-iff)
qed
lemma prim-pyth-tripleI1:
 assumes 0 < v < u coprime u < v > (odd \ u \land odd \ v)
 shows prim-pyth-triple (2 * u * v) (u^2 - v^2) (u^2 + v^2)
proof -
```

have  $v \, \hat{} \, 2 < u \, \hat{} \, 2$ 

```
using assms by (intro power-strict-mono) auto
 hence \neg u \, \hat{} \, 2 < v \, \hat{} \, 2 by linarith
  from assms have coprime (int u) (int v \cap 2)
   by auto
 hence coprime (int u) (int u * int u + (-(int v \hat{z}))
   unfolding coprime-iff-gcd-eq-1 by (subst gcd-add-mult) auto
  also have int u * int u + (-(int v ^2)) = int (u ^2 - v ^2)
   using \langle v < u \rangle by (simp add: of-nat-diff flip: power2-eq-square)
  finally have coprime1: coprime u (u \hat{2} - v \hat{2})
   by auto
 from assms have coprime (int v) (int u \cap 2)
   by (auto simp: coprime-commute)
 hence coprime (int v) ((-int \ v) * int \ v + int \ u \ \widehat{\ } 2)
   unfolding coprime-iff-qcd-eq-1 by (subst qcd-add-mult) auto
  also have (-int \ v) * int \ v + int \ u \ \widehat{\ } 2 = int \ (u \ \widehat{\ } 2 - v \ \widehat{\ } 2)
   using \langle v < u \rangle by (simp add: of-nat-diff flip: power2-eq-square)
  finally have coprime 2: coprime v(u^2 - v^2)
   by auto
 have (2 * u * v) ^2 + (u ^2 - v ^2) ^2 = (u ^2 + v ^2) ^2
   using \langle v < u \rangle by (intro prim-pyth-triple-aux) auto
  moreover have coprime (2 * u * v) (u ^2 - v ^2)
   using assms \langle \neg u \, \widehat{\ } 2 < v \, \widehat{\ } 2 \rangle coprime1 coprime2 by auto
 ultimately show ?thesis using assms \langle v \, \hat{} \, 2 < u \, \hat{} \, 2 \rangle
   by (simp add: prim-pyth-triple-def)
qed
lemma prim-pyth-tripleI2:
 assumes 0 < v \ v < u \ coprime \ u \ v \neg (odd \ u \land odd \ v)
 shows prim-pyth-triple (u^2 - v^2) (2 * u * v) (u^2 + v^2)
 using prim-pyth-tripleI1[OF assms] by (simp add: prim-pyth-triple-commute)
lemma primitive-pythagorean-triple E-int:
 assumes z \hat{z} = x \hat{z} + y \hat{z}
 assumes coprime \ x \ y
 obtains u v :: int
   where coprime u v and \neg(odd\ u \land odd\ v)
     and x = 2 * u * v \wedge y = u^2 - v^2 \vee x = u^2 - v^2 \wedge y = 2 * u * v
proof -
 have \neg(even\ x \land even\ y)
   using not-coprimeI[of 2 \ x \ y] \langle coprime \ x \ y \rangle by auto
  moreover have \neg (odd \ x \land odd \ y)
 proof safe
   assume odd \ x \ odd \ y
   hence [x \hat{2} + y \hat{2} = 1 + 1] \pmod{4}
     by (intro cong-add odd-square-cong-4-int)
   hence [z \hat{z} = 2] \pmod{4}
```

```
by (simp add: assms)
   moreover have [z \hat{z} = 0] \pmod{4} \vee [z \hat{z} = 1] \pmod{4}
     using even-square-cong-4-int[of z] odd-square-cong-4-int[of z]
     by (cases even z) auto
   ultimately show False
     by (auto simp: cong-def)
  qed
  ultimately have even y \longleftrightarrow odd x
   by blast
 have even z \longleftrightarrow even (z \hat{z})
   by auto
 also have even (z \hat{z}) \longleftrightarrow even (x \hat{z} + y \hat{z})
   by (subst\ assms(1))\ auto
 finally have odd z
   by (cases even x) (auto simp: \langle even \ y \longleftrightarrow \neg even \ x \rangle)
  define t where t = of\text{-}int \ x + i_{\mathbb{Z}} * of\text{-}int \ y
 from assms have t-mult-cnj: t * gauss-cnj t = of-int z ^2
   by (simp add: t-def power2-eq-square algebra-simps flip: of-int-mult of-int-add)
 have gauss-int-norm t = z \hat{z}
   by (simp add: gauss-int-norm-def t-def assms)
  with \langle coprime \ x \ y \rangle and \langle odd \ z \rangle have coprime \ t \ (gauss-cnj \ t)
   by (intro coprime-self-gauss-cnj)
      (auto simp: t-def gauss-int-norm-def assms(1) [symmetric] even-nat-iff)
  moreover have is-square (t * gauss-cnj t)
   by (subst t-mult-cnj) auto
 hence is-nth-power-upto-unit 2 (t * gauss-cnj t)
   by (auto intro: is-nth-power-upto-unit-base)
  ultimately have is-nth-power-upto-unit 2 t
   by (rule is-nth-power-upto-unit-mult-coprimeD1)
  then obtain a b where ab: is-unit a a * t = b \hat{\ } 2
   by (auto simp: is-nth-power-upto-unit-def is-nth-power-def)
 from ab(1) have a \in \{1, -1, i_{\mathbb{Z}}, -i_{\mathbb{Z}}\}
   by (auto simp: is-unit-gauss-int-iff)
 then obtain u v :: int where ReZ t = 2 * u * v \wedge ImZ t = u ^2 - v ^2 \vee
                            ImZ t = 2 * u * v \wedge ReZ t = u ^2 - v ^2
  proof safe
   assume [simp]: a = 1
   have ReZ t = ReZ b ^2 - ImZ b ^2 ImZ t = 2 * ReZ b * ImZ b using ab(2)
     by (auto simp: gauss-int-eq-iff power2-eq-square)
   thus ?thesis using that by blast
  next
   assume [simp]: a = -1
    have ReZ t = ImZ b ^2 - (-ReZ b) ^2 ImZ t = 2 * ImZ b * (-ReZ b)
using ab(2)
     by (auto simp: gauss-int-eq-iff power2-eq-square algebra-simps)
   thus ?thesis using that by blast
```

```
next
   assume [simp]: a = i_{\mathbb{Z}}
   hence ImZ \ t = ImZ \ b \ \hat{\ } 2 \ - \ ReZ \ b \ \hat{\ } 2 \ ReZ \ t = 2 * ImZ \ b * ReZ \ b \ \mathbf{using}
ab(2)
     by (auto simp: gauss-int-eq-iff power2-eq-square algebra-simps)
   thus ?thesis using that by blast
 next
   assume [simp]: a = -i\mathbb{Z}
   hence ImZ t = (-ReZ b) ^2 - ImZ b ^2 ReZ t = 2 * (-ReZ b) * ImZ b
using ab(2)
     by (auto simp: gauss-int-eq-iff power2-eq-square algebra-simps)
   thus ?thesis using that by blast
 qed
 also have ReZ t = x
   by (simp add: t-def)
 also have ImZ t = y
   by (simp add: t-def)
 finally have xy: x = 2 * u * v \wedge y = u^2 - v^2 \vee x = u^2 - v^2 \wedge y = 2 * u * v
   by blast
 have not\text{-}both\text{-}odd: \neg(odd\ u\ \land\ odd\ v)
 proof safe
   assume odd\ u\ odd\ v
   hence even x even y
     using xy by auto
   with \langle coprime \ x \ y \rangle show False
     by auto
 qed
 have coprime u v
 proof (rule coprimeI)
   \mathbf{fix} d assume d dvd u d dvd v
   hence d \ dvd \ (u^2 - v^2) \ d \ dvd \ 2 * u * v
     by (auto simp: power2-eq-square)
   with xy have d dvd x d dvd y
     by auto
   with \langle coprime \ x \ y \rangle show is-unit d
     using not-coprimeI by blast
 qed
  with xy not-both-odd show ?thesis
   using that[of\ u\ v] by blast
qed
lemma prim-pyth-tripleE:
 assumes prim-pyth-triple \ x \ y \ z
 obtains u \ v :: nat
 where 0 < v and v < u and coprime u v and \neg (odd\ u \land odd\ v) and z = u^2 + v
   and x = 2 * u * v \wedge y = u^2 - v^2 \vee x = u^2 - v^2 \wedge y = 2 * u * v
```

```
proof -
 have *: (int z) ^2 = (int x) ^2 + (int y) ^2 coprime (int x) (int y)
  using assms by (auto simp flip: of-nat-power of-nat-add simp: prim-pyth-triple-def)
 obtain u v
   where uv: coprime u \ v \neg (odd \ u \land odd \ v)
            int \ x = 2 \ * \ u \ * \ v \ \wedge \ int \ y = u^2 \ - \ v^2 \ \lor \ int \ x = u^2 \ - \ v^2 \ \wedge \ int \ y = 2
* u * v
   using primitive-pythagorean-tripleE-int[OF *] by metis
 define u' v' where u' = nat |u| and v' = nat |v|
 have **: a = 2 * u' * v' if int a = 2 * u * v for a
 proof -
   from that have nat |int \ a| = nat \ |2 * u * v|
     by (simp only: )
   thus a = 2 * u' * v'
     by (simp add: u'-def v'-def abs-mult nat-mult-distrib)
  have ***: a = u' \hat{2} - v' \hat{2} v' \leq u' if int a = u \hat{2} - v \hat{2} for a
 proof -
   have v \, \hat{} \, 2 \leq v \, \hat{} \, 2 + int a
     by simp
   also have ... = u \hat{z}
     using that by simp
   finally have |v| \leq |u|
     using abs-le-square-iff by blast
   thus v' \leq u'
     by (simp\ add:\ v'\text{-}def\ u'\text{-}def)
   from that have u \, \widehat{\ } 2 = v \, \widehat{\ } 2 + int a
     by simp
   hence nat |u \cap 2| = nat |v \cap 2 + int a|
     by (simp only: )
   also have nat |u \cap 2| = u' \cap 2
     by (simp\ add:\ u'-def\ flip:\ nat-power-eq)
   also have nat |v \cap 2 + int a| = v' \cap 2 + a
     by (simp add: nat-add-distrib v'-def flip: nat-power-eq)
   finally show a = u' \hat{2} - v' \hat{2}
     by simp
 qed
 have eq: x = 2 * u' * v' \land y = u'^2 - v'^2 \lor x = u'^2 - v'^2 \land y = 2 * u' * v'
and v' \leq u'
   using uv(3) **[of x] **[of y] ***[of x] ***[of y] by blast+
 moreover have coprime u' v'
   using \langle coprime \ u \ v \rangle
   by (auto simp: u'-def v'-def)
 moreover have \neg (odd \ u' \land odd \ v')
   using uv(2) by (auto simp: u'-def v'-def)
 moreover have v' \neq u' \ v' > 0
```

```
using \langle coprime \ u' \ v' \rangle eq assms by (auto simp: prim-pyth-triple-def)
      moreover from this have v' < u'
          using \langle v' \leq u' \rangle by auto
     moreover have z = u'^2 + v'^2
     proof -
          from assms have z \hat{z} = x \hat{z} + y \hat{z}
                by (simp add: prim-pyth-triple-def)
          also have ... = (2 * u' * v') ^2 + (u' ^2 - v' ^2) ^2
                using eq by (auto simp: add-ac)
          also have ... = (u' \hat{2} + v' \hat{2}) \hat{2}
                by (intro prim-pyth-triple-aux) fact
          finally show ?thesis by simp
     qed
     ultimately show ?thesis using that[of v' u'] by metis
theorem prim-pyth-triple-iff:
     prim-pyth-triple x y z \longleftrightarrow
             (\exists u \ v. \ 0 < v \land v < u \land coprime \ u \ v \land \neg (odd \ u \land odd \ v) \land 
                              (x = 2 * u * v \land y = u^2 - v^2 \lor x = u^2 - v^2 \land y = 2 * u * v) \land z = u^2 + u^2 \land y = v^2 \land y 
     (\mathbf{is} \, \text{-} \, \longleftrightarrow \, ?rhs)
proof
     assume prim-pyth-triple \ x \ y \ z
     from prim-pyth-tripleE[OF this] show ?rhs by metis
next
     then obtain u v where uv: 0 < v v < u coprime u v \neg (odd \ u \land odd \ v) z = u^2
+ v^2 and
                                                              eq: x = 2 * u * v \wedge y = u^2 - v^2 \vee x = u^2 - v^2 \wedge y = 2 * u
* v
          by metis
     thus prim-pyth-triple \ x \ y \ z
               using uv prim-pyth-triple I1[OF uv(1-4)] prim-pyth-triple I2[OF uv(1-4)]
uv(5) eq by auto
qed
end
theory Gaussian-Integers-Everything
imports
      Gaussian-Integers
      Gaussian-Integers-Test
      Gaussian\hbox{-} Integers\hbox{-} Sums\hbox{-} Of\hbox{-} Two\hbox{-} Squares
      Gaussian\hbox{-}Integers\hbox{-}Pythagorean\hbox{-}Triples
begin
end
```

## References

[1] J. Stillwell. The Gaussian integers, pages 101–116. Springer New York, New York, NY, 2003.