

Verified Efficient Implementation of Gabow's Strongly Connected Components Algorithm

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Abstract

We present an Isabelle/HOL formalization of Gabow's algorithm for finding the strongly connected components of a directed graph. Using data refinement techniques, we extract efficient code that performs comparable to a reference implementation in Java. Our style of formalization allows for re-using large parts of the proofs when defining variants of the algorithm. We demonstrate this by verifying an algorithm for the emptiness check of generalized Büchi automata, re-using most of the existing proofs.

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1 Introduction

A strongly connected component (SCC) of a directed graph is a maximal subset of mutually reachable nodes. Finding the SCCs is a standard problem from graph theory with applications in many fields ([19, Chap. 4.2]).

This formalization accompanies our conference paper [11], where we describe the used formalization techniques.

There are several algorithms to partition the nodes of a graph into SCCs, the main ones being the Kosaraju-Sharir algorithm[20], Tarjan’s algorithm[21], and the class of path-based algorithms[17, 15, 3, 1, 5].

In this formalization, we present the verification of Gabow’s path-based SCC-algorithm[5] within the theorem prover Isabelle/HOL[16]. Using refinement techniques and a collection of efficient verified data structures, we extract Standard ML (SML)[14] code from the formalization. Our verified algorithm has a performance comparable to a reference implementation in Java, taken from Sedgewick and Wayne’s textbook on algorithms[19, Chap. 4.2].

Our main interest in SCC-algorithms stems from the fact that they can be used for the emptiness check of generalized Büchi automata (GBA), a problem that arises in LTL model checking[22, 6, 2]. Towards this end, we extend the algorithm to check the emptiness of generalized Büchi automata, re-using many of the proofs from the original verification.

Contributions and Related Work Up to our knowledge, we present the first mechanically verified SCC-algorithm, as well as the first mechanically verified SCC-based emptiness check for GBA. Path-based algorithms have already been regarded for the emptiness check of GBAs[18]. However, we are the first to use the data structure proposed by Gabow^[5].¹ Finally, our development is a case study for using the Isabelle/HOL Monadic Refinement and Collection Frameworks[12, 13, 9, 10] to engineer a verified, efficient implementation of a quite complex algorithm, while keeping proofs modular and re-usable.

This development is part of the CAVA project[4] to produce a fully verified LTL model checker.

Outline The rest of this formalization is organized as follows: In Section 1.1, we define a skeleton algorithm and show preservation of some general-purpose invariants. In Section 1.5, we define and prove correct an algorithm that takes a directed graph and computes a list of SCCs in topological order. In Section 1.12 we provide a simple safety property mod-

¹Although called Gabow-based algorithm in [18], a union-find data structure is used to implement collapsing of nodes, while Gabow proposes a different data structure[5, pg. 109]

elchecker, which tries to find a path to a node violating a given property in a graph. This is used in Section 1.14, where we define an algorithm that checks the language of a given generalized Büchi graph² (GBG) for emptiness, and returns a counterexample in case of non-emptiness. In the next three sections (1.23, 1.27, 1.31, 1.36) we use the Autoref Tool[10] to refine the above algorithms to efficient data structures, and extract SML code using Isabelle/HOL’s code generator[7, 8].

1.1 Skeleton for Gabow’s SCC Algorithm

```
theory Gabow-Skeleton
imports CAVA-Automata.Digraph
begin

locale fr-graph =
graph G
for G :: ('v, 'more) graph-rec-scheme
+
assumes finite-reachableE-V0[simp, intro!]: finite (E* `` V0)
```

In this theory, we formalize a skeleton of Gabow’s SCC algorithm. The skeleton serves as a starting point to develop concrete algorithms, like enumerating the SCCs or checking emptiness of a generalized Büchi automaton.

1.2 Statistics Setup

We define some dummy-constants that are included into the generated code, and may be mapped to side-effecting ML-code that records statistics and debug information about the execution. In the skeleton algorithm, we count the number of visited nodes, and include a timing for the whole algorithm.

definition stat-newnode :: unit => unit — Invoked if new node is visited
where [code]: stat-newnode ≡ λ-. ()

definition stat-start :: unit => unit — Invoked once if algorithm starts
where [code]: stat-start ≡ λ-. ()

definition stat-stop :: unit => unit — Invoked once if algorithm stops
where [code]: stat-stop ≡ λ-. ()

lemma [autoref-rules]:
 $(\text{stat-newnode}, \text{stat-newnode}) \in \text{unit-rel} \rightarrow \text{unit-rel}$
 $(\text{stat-start}, \text{stat-start}) \in \text{unit-rel} \rightarrow \text{unit-rel}$
 $(\text{stat-stop}, \text{stat-stop}) \in \text{unit-rel} \rightarrow \text{unit-rel}$
 $\langle \text{proof} \rangle$

²GBGs are generalized Büchi automata without labels.

```

abbreviation stat-newnode-nres ≡ RETURN (stat-newnode ())
abbreviation stat-start-nres ≡ RETURN (stat-start ())
abbreviation stat-stop-nres ≡ RETURN (stat-stop ())

```

```

lemma discard-stat-refine[refine]:
  m1 ≤ m2 ⇒ stat-newnode-nres ≈ m1 ≤ m2
  m1 ≤ m2 ⇒ stat-start-nres ≈ m1 ≤ m2
  m1 ≤ m2 ⇒ stat-stop-nres ≈ m1 ≤ m2
  ⟨proof⟩

```

1.3 Abstract Algorithm

In this section, we formalize an abstract version of a path-based SCC algorithm. Later, this algorithm will be refined to use Gabow's data structure.

1.3.1 Preliminaries

```

definition path-seg :: 'a set list ⇒ nat ⇒ nat ⇒ 'a set
  — Set of nodes in a segment of the path
  where path-seg p i j ≡ ∪{p!k | k. i ≤ k ∧ k < j}

```

```

lemma path-seg-simps[simp]:
  j ≤ i ⇒ path-seg p i j = {}
  path-seg p i (Suc i) = p!i
  ⟨proof⟩

```

```

lemma path-seg-drop:
  ∪(set (drop i p)) = path-seg p i (length p)
  ⟨proof⟩

```

```

lemma path-seg-butlast:
  p ≠ [] ⇒ path-seg p 0 (length p - Suc 0) = ∪(set (butlast p))
  ⟨proof⟩

```

```

definition idx-of :: 'a set list ⇒ 'a ⇒ nat
  — Index of path segment that contains a node
  where idx-of p v ≡ THE i. i < length p ∧ v ∈ p!i

```

```

lemma idx-of-props:
  assumes
    p-disjoint-sym: ∀ i j v. i < length p ∧ j < length p ∧ v ∈ p!i ∧ v ∈ p!j → i = j
  assumes ON-STACK: v ∈ ∪(set p)
  shows
    idx-of p v < length p and
    v ∈ p ! idx-of p v
  ⟨proof⟩

```

```

lemma idx-of-uniq:

```

```

assumes
  p-disjoint-sym:  $\forall i j v. i < \text{length } p \wedge j < \text{length } p \wedge v \in p!i \wedge v \in p!j \longrightarrow i = j$ 
assumes A:  $i < \text{length } p \quad v \in p!i$ 
shows idx-of p v = i
⟨proof⟩

```

1.3.2 Invariants

The state of the inner loop consists of the path p of collapsed nodes, the set D of finished (done) nodes, and the set pE of pending edges.

type-synonym $'v \text{ abs-state} = 'v \text{ set list} \times 'v \text{ set} \times ('v \times 'v) \text{ set}$

```

context fr-graph
begin
definition touched ::  $'v \text{ set list} \Rightarrow 'v \text{ set} \Rightarrow 'v \text{ set}$ 
  — Touched: Nodes that are done or on path
  where touched p D ≡ D ∪ ∪(set p)

definition vE ::  $'v \text{ set list} \Rightarrow 'v \text{ set} \Rightarrow ('v \times 'v) \text{ set} \Rightarrow ('v \times 'v) \text{ set}$ 
  — Visited edges: No longer pending edges from touched nodes
  where vE p D pE ≡ (E ∩ (touched p D × UNIV)) – pE

```

lemma vE-ss-E: $vE p D pE \subseteq E$ — Visited edges are edges
 ⟨proof⟩

end

locale outer-invar-loc — Invariant of the outer loop
 $= \text{fr-graph } G \text{ for } G :: ('v, 'more) \text{ graph-rec-scheme} +$
fixes it :: $'v \text{ set}$ — Remaining nodes to iterate over
fixes D :: $'v \text{ set}$ — Finished nodes

assumes it-initial: $it \subseteq V0$ — Only start nodes to iterate over

assumes it-done: $V0 - it \subseteq D$ — Nodes already iterated over are visited
assumes D-reachable: $D \subseteq E^* `` V0$ — Done nodes are reachable
assumes D-closed: $E `` D \subseteq D$ — Done is closed under transitions

begin

lemma locale-this: outer-invar-loc G it D ⟨proof⟩

definition (in fr-graph) outer-invar ≡ λit D. outer-invar-loc G it D

```

lemma outer-invar-this[simp, intro!]: outer-invar it D
  ⟨proof⟩
end

```

locale invar-loc — Invariant of the inner loop
 $= \text{fr-graph } G$

```

for  $G :: ('v, 'more) graph-rec-scheme +$ 
fixes  $v0 :: 'v$ 
fixes  $D0 :: 'v set$ 
fixes  $p :: 'v set list$ 
fixes  $D :: 'v set$ 
fixes  $pE :: ('v × 'v) set$ 

assumes  $v0\text{-initial}[simp, intro!]: v0 \in V0$ 
assumes  $D\text{-incr}: D0 \subseteq D$ 

assumes  $pE\text{-from-}p: pE \subseteq E \cap (\bigcup(\text{set } p)) \times UNIV$ 
    — Pending edges are edges from path
assumes  $E\text{-from-}p\text{-touched}: E \cap (\bigcup(\text{set } p) \times UNIV) \subseteq pE \cup UNIV \times \text{touched}$ 
 $p D$ 
    — Edges from path are pending or touched
assumes  $D\text{-reachable}: D \subseteq E^* `` V0$  — Done nodes are reachable
assumes  $p\text{-connected}: \text{Suc } i < \text{length } p \implies p!i \times p!\text{Suc } i \cap (E - pE) \neq \{\}$ 
    — CNodes on path are connected by non-pending edges

assumes  $p\text{-disjoint}: \llbracket i < j; j < \text{length } p \rrbracket \implies p!i \cap p!j = \{\}$ 
    — CNodes on path are disjoint
assumes  $p\text{-sc}: U \in \text{set } p \implies U \times U \subseteq (vE p D pE \cap U \times U)^*$ 
    — Nodes in CNodes are mutually reachable by visited edges

assumes  $\text{root-}v0: p \neq [] \implies v0 \in \text{hd } p$  — Root CNode contains start node
assumes  $p\text{-empty-}v0: p = [] \implies v0 \in D$  — Start node is done if path empty

assumes  $D\text{-closed}: E `` D \subseteq D$  — Done is closed under transitions

assumes  $vE\text{-no-back}: \llbracket i < j; j < \text{length } p \rrbracket \implies vE p D pE \cap p!j \times p!i = \{\}$ 
    — Visited edges do not go back on path
assumes  $p\text{-not-}D: \bigcup(\text{set } p) \cap D = \{\}$  — Path does not contain done nodes
begin
    abbreviation  $ltouched$  where  $ltouched \equiv \text{touched } p D$ 
    abbreviation  $lvE$  where  $lvE \equiv vE p D pE$ 

lemma  $\text{locale-}this: \text{invar-loc } G v0 D0 p D pE \langle proof \rangle$ 

definition (in fr-graph)
 $\text{invar} \equiv \lambda v0 D0 (p, D, pE). \text{invar-loc } G v0 D0 p D pE$ 

lemma  $\text{invar-}this[simp, intro!]: \text{invar } v0 D0 (p, D, pE)$ 
 $\langle proof \rangle$ 

lemma  $\text{finite-reachableE-}v0[simp, intro!]: \text{finite } (E^* `` \{v0\})$ 
 $\langle proof \rangle$ 

lemma  $D\text{-vis}: E \cap D \times UNIV \subseteq lvE$  — All edges from done nodes are visited

```

$\langle proof \rangle$

lemma $lvE\text{-touched}$: $lvE \subseteq ltouched \times ltouched$
 — Visited edges only between touched nodes
 $\langle proof \rangle$

lemma $lvE\text{-ss-}E$: $lvE \subseteq E$ — Visited edges are edges
 $\langle proof \rangle$

lemma $path\text{-touched}$: $\bigcup(\text{set } p) \subseteq ltouched \langle proof \rangle$
lemma $D\text{-touched}$: $D \subseteq ltouched \langle proof \rangle$

lemma $pE\text{-by-}vE$: $pE = (E \cap \bigcup(\text{set } p) \times UNIV) - lvE$
 — Pending edges are edges from path not yet visited
 $\langle proof \rangle$

lemma $pick\text{-pending}$: $p \neq [] \implies pE \cap \text{last } p \times UNIV = (E - lvE) \cap \text{last } p \times UNIV$
 — Pending edges from end of path are non-visited edges from end of path
 $\langle proof \rangle$

lemma $p\text{-connected}'$:
assumes A : $Suc i < \text{length } p$
shows $p!i \times p!Suc i \cap lvE \neq \{\}$
 $\langle proof \rangle$

end

Termination **context** *fr-graph*
begin

The termination argument is based on unprocessed edges: Reachable edges from untouched nodes and pending edges.

definition $unproc\text{-edges}$ $v0\ p\ D\ pE \equiv (E \cap (E^* ``\{v0\} - (D \cup \bigcup(\text{set } p))) \times UNIV) \cup pE$

In each iteration of the loop, either the number of unprocessed edges decreases, or the path length decreases.

definition $abs\text{-wf-rel}$ $v0 \equiv \text{inv-image } (\text{finite-psubset } <\!\!\text{*lex*}\!\!> \text{ measure length})$
 $(\lambda(p,D,pE). (unproc\text{-edges} v0\ p\ D\ pE, p))$

lemma $abs\text{-wf-rel-wf}$ [*simp, intro!*]: $wf (abs\text{-wf-rel} v0)$
 $\langle proof \rangle$
end

1.3.3 Abstract Skeleton Algorithm

context *fr-graph*
begin

```

definition (in fr-graph) initial :: 'v ⇒ 'v set ⇒ 'v abs-state
  where initial v0 D ≡ ([{v0}], D, (E ∩ {v0} × UNIV))

definition (in -) collapse-aux :: 'a set list ⇒ nat ⇒ 'a set list
  where collapse-aux p i ≡ take i p @ [U(set (drop i p))]

definition (in -) collapse :: 'a ⇒ 'a abs-state ⇒ 'a abs-state
  where collapse v PDPE ≡
    let
      (p,D,pE) = PDPE;
      i = idx-of p v;
      p = collapse-aux p i
    in (p,D,pE)

definition (in -)
  select-edge :: 'a abs-state ⇒ ('a option × 'a abs-state) nres
  where
    select-edge PDPE ≡ do {
      let (p,D,pE) = PDPE;
      e ← SELECT (λe. e ∈ pE ∩ last p × UNIV);
      case e of
        None ⇒ RETURN (None, (p,D,pE))
        | Some (u,v) ⇒ RETURN (Some v, (p,D,pE − {(u,v)}))
    }

definition (in fr-graph) push :: 'v ⇒ 'v abs-state ⇒ 'v abs-state
  where push v PDPE ≡
    let
      (p,D,pE) = PDPE;
      p = p@[{v}];
      pE = pE ∪ (E ∩ {v} × UNIV)
    in
      (p,D,pE)

definition (in -) pop :: 'v abs-state ⇒ 'v abs-state
  where pop PDPE ≡ let
    (p,D,pE) = PDPE;
    (p,V) = (butlast p, last p);
    D = V ∪ D
  in
    (p,D,pE)

```

The following lemmas match the definitions presented in the paper:

```

lemma select-edge (p,D,pE) ≡ do {
  e ← SELECT (λe. e ∈ pE ∩ last p × UNIV);
  case e of
    None ⇒ RETURN (None, (p,D,pE))
    | Some (u,v) ⇒ RETURN (Some v, (p,D,pE − {(u,v)}))

```

}

lemma *collapse v (p,D,pE)*
 $\equiv \text{let } i = \text{idx-of } p \text{ v in } (\text{take } i \text{ p} @ [\bigcup(\text{set } (\text{drop } i \text{ p}))], D, pE)$

lemma *push v (p, D, pE) $\equiv (p @ [\{v\}], D, pE \cup E \cap \{v\} \times UNIV)$*

lemma *pop (p, D, pE) $\equiv (\text{butlast } p, \text{last } p \cup D, pE)$*

thm *pop-def[unfolded Let-def, no-vars]*

thm *select-edge-def[unfolded Let-def]*

definition *skeleton :: 'v set nres*
 $\quad \text{— Abstract Skeleton Algorithm}$
where
skeleton $\equiv \text{do } \{$
$\text{let } D = \{\};$
$r \leftarrow \text{FOREACH}_i \text{ outer-invar } V0 \ (\lambda v0 \ D0. \ \text{do } \{$
$\text{if } v0 \notin D0 \text{ then do } \{$
$\text{let } s = \text{initial } v0 \ D0;$
$(p, D, pE) \leftarrow \text{WHILEIT } (\text{invar } v0 \ D0)$
$(\lambda(p, D, pE). \ p \neq []) \ (\lambda(p, D, pE).$
$\text{do } \{$
 $\quad \text{— Select edge from end of path}$
$(vo, (p, D, pE)) \leftarrow \text{select-edge } (p, D, pE);$
$\text{ASSERT } (p \neq []);$
$\text{case } vo \text{ of }$
$\text{Some } v \Rightarrow \text{do } \{ \text{ — Found outgoing edge to node } v$
$\text{if } v \in \bigcup(\text{set } p) \text{ then do } \{$
 $\quad \text{— Back edge: Collapse path}$
$\text{RETURN } (\text{collapse } v \ (p, D, pE))$
$\} \text{ else if } v \notin D \text{ then do } \{$
 $\quad \text{— Edge to new node. Append to path}$
$\text{RETURN } (\text{push } v \ (p, D, pE))$
$\} \text{ else do } \{$
 $\quad \text{— Edge to done node. Skip}$
$\text{RETURN } (p, D, pE)$
$\}$
$\}$
$\}$
$\} \text{ None } \Rightarrow \text{do } \{$
$\text{ASSERT } (pE \cap \text{last } p \times UNIV = \{\});$

```

    — No more outgoing edges from current node on path
    RETURN (pop (p,D,pE))
}
}) s;
ASSERT (p=[] ∧ pE={});
RETURN D
} else
    RETURN D0
}) D;
RETURN r
}

```

end

1.3.4 Invariant Preservation

context *fr-graph* **begin**

lemma *set-collapse-aux*[*simp*]: $\bigcup (\text{set } (\text{collapse-aux } p \ i)) = \bigcup (\text{set } p)$
<proof>

lemma *touched-collapse*[*simp*]: *touched* (*collapse-aux* *p* *i*) *D* = *touched* *p* *D*
<proof>

lemma *vE-collapse-aux*[*simp*]: *vE* (*collapse-aux* *p* *i*) *D* *pE* = *vE* *p* *D* *pE*
<proof>

lemma *touched-push*[*simp*]: *touched* (*p* @ [V]) *D* = *touched* *p* *D* ∪ *V*
<proof>

end

Corollaries of the invariant In this section, we prove some more corollaries of the invariant, which are helpful to show invariant preservation

context *invar-loc*

begin

lemma *cnode-connectedI*: $\llbracket i < \text{length } p; u \in p!i; v \in p!i \rrbracket \implies (u, v) \in (lvE \cap p!i \times p!i)^*$
<proof>

lemma *cnode-connectedI'*: $\llbracket i < \text{length } p; u \in p!i; v \in p!i \rrbracket \implies (u, v) \in (lvE)^*$
<proof>

lemma *p-no-empty*: $\{\} \notin \text{set } p$
<proof>

corollary *p-no-empty-idx*: $i < \text{length } p \implies p!i \neq \{\}$
<proof>

lemma *p-disjoint-sym*: $\llbracket i < \text{length } p; j < \text{length } p; v \in p!i; v \in p!j \rrbracket \implies i = j$
 $\langle \text{proof} \rangle$

lemma *pi-ss-path-seg-eq*[simp]:
assumes $A: i < \text{length } p \quad u \leq \text{length } p$
shows $p!i \subseteq \text{path-seg } p \ l \ u \longleftrightarrow l \leq i \wedge i < u$
 $\langle \text{proof} \rangle$

lemma *path-seg-ss-eq*[simp]:
assumes $A: l1 < u1 \quad u1 \leq \text{length } p \quad l2 < u2 \quad u2 \leq \text{length } p$
shows $\text{path-seg } p \ l1 \ u1 \subseteq \text{path-seg } p \ l2 \ u2 \longleftrightarrow l2 \leq l1 \wedge u1 \leq u2$
 $\langle \text{proof} \rangle$

lemma *pathI*:
assumes $x \in p!i \quad y \in p!j$
assumes $i \leq j \quad j < \text{length } p$
defines $\text{seg} \equiv \text{path-seg } p \ i \ (\text{Suc } j)$
shows $(x, y) \in (lvE \cap \text{seg} \times \text{seg})^*$
— We can obtain a path between cnodes on path
 $\langle \text{proof} \rangle$

lemma *p-reachable*: $\bigcup (\text{set } p) \subseteq E^* ``\{v0\}$ — Nodes on path are reachable
 $\langle \text{proof} \rangle$

lemma *touched-reachable*: $l\text{touched} \subseteq E^* ``V0$ — Touched nodes are reachable
 $\langle \text{proof} \rangle$

lemma *vE-reachable*: $lvE \subseteq E^* ``V0 \times E^* ``V0$
 $\langle \text{proof} \rangle$

lemma *pE-reachable*: $pE \subseteq E^* ``\{v0\} \times E^* ``\{v0\}$
 $\langle \text{proof} \rangle$

lemma *D-closed-vE-rtrancl*: $lvE^* ``D \subseteq D$
 $\langle \text{proof} \rangle$

lemma *D-closed-path*: $\llbracket \text{path } E \ u \ q \ w; u \in D \rrbracket \implies \text{set } q \subseteq D$
 $\langle \text{proof} \rangle$

lemma *D-closed-path-vE*: $\llbracket \text{path } lvE \ u \ q \ w; u \in D \rrbracket \implies \text{set } q \subseteq D$
 $\langle \text{proof} \rangle$

lemma *path-in-lastnode*:
assumes $P: \text{path } lvE \ u \ q \ v$
assumes [simp]: $p \neq []$
assumes *ND*: $u \in \text{last } p \quad v \in \text{last } p$
shows $\text{set } q \subseteq \text{last } p$
— A path from the last Cnode to the last Cnode remains in the last Cnode

$\langle proof \rangle$

lemma *loop-in-lastnode*:
assumes P : path $lvE u q u$
assumes [simp]: $p \neq []$
assumes ND : set $q \cap last p \neq \{\}$
shows $u \in last p$ **and** set $q \subseteq last p$
— A loop that touches the last node is completely inside the last node
 $\langle proof \rangle$

lemma *no-D-p-edges*: $E \cap D \times \bigcup (set p) = \{\}$
 $\langle proof \rangle$

lemma *idx-of-props*:
assumes *ON-STACK*: $v \in \bigcup (set p)$
shows
idx-of $p v < length p$ **and**
 $v \in p$! *idx-of* $p v$
 $\langle proof \rangle$

end

Auxiliary Lemmas Regarding the Operations lemma (in *fr-graph*)
vE-initial[simp]: $vE [\{v0\}] \{\} (E \cap \{v0\} \times UNIV) = \{\}$
 $\langle proof \rangle$

context *invar-loc*

begin

lemma *vE-push*: $\llbracket (u,v) \in pE; u \in last p; v \notin \bigcup (set p); v \notin D \rrbracket \implies vE (p @ [\{v\}]) D ((pE - \{(u,v)\}) \cup E \cap \{v\} \times UNIV) = insert (u,v) lvE$
 $\langle proof \rangle$

lemma *vE-remove*[simp]:
 $\llbracket p \neq [] \wedge (u,v) \in pE \rrbracket \implies vE p D (pE - \{(u,v)\}) = insert (u,v) lvE$
 $\langle proof \rangle$

lemma *vE-pop*[simp]: $p \neq [] \implies vE (butlast p) (last p \cup D) pE = lvE$
 $\langle proof \rangle$

lemma *pE-fin*: $p = [] \implies pE = \{\}$
 $\langle proof \rangle$

lemma (in *invar-loc*) *lastp-un-D-closed*:
assumes *NE*: $p \neq []$
assumes *NO'*: $pE \cap (last p \times UNIV) = \{\}$
shows $E^*(last p \cup D) \subseteq (last p \cup D)$
— On pop, the popped CNode and D are closed under transitions

$\langle proof \rangle$

end

Preservation of Invariant by Operations context *fr-graph*
begin

lemma (**in** *outer-invar-loc*) *invar-initial-aux*:

assumes $v0 \in it - D$

shows *invar v0 D* (*initial v0 D*)

$\langle proof \rangle$

lemma *invar-initial*:

$\llbracket \text{outer-invar } it \ D0; \ v0 \in it; \ v0 \notin D0 \rrbracket \implies \text{invar } v0 \ D0 \ (\text{initial } v0 \ D0)$

$\langle proof \rangle$

lemma *outer-invar-initial[simp, intro!]*: *outer-invar V0 {}*

$\langle proof \rangle$

lemma *invar-pop*:

assumes *INV*: *invar v0 D0 (p, D, pE)*

assumes *NE[simp]*: $p \neq []$

assumes *NO'*: $pE \cap (\text{last } p \times \text{UNIV}) = \{\}$

shows *invar v0 D0 (pop (p, D, pE))*

$\langle proof \rangle$

thm *invar-pop[of v-0 D-0, no-vars]*

lemma *invar-collapse*:

assumes *INV*: *invar v0 D0 (p, D, pE)*

assumes *NE[simp]*: $p \neq []$

assumes *E*: $(u, v) \in pE$ **and** $u \in \text{last } p$

assumes *BACK*: $v \in \bigcup (\text{set } p)$

defines $i \equiv \text{idx-of } p \ v$

defines $p' \equiv \text{collapse-aux } p \ i$

shows *invar v0 D0 (collapse v (p, D, pE) - {(u, v)})*

$\langle proof \rangle$

lemma *invar-push*:

assumes *INV*: *invar v0 D0 (p, D, pE)*

assumes *NE[simp]*: $p \neq []$

assumes *E*: $(u, v) \in pE$ **and** *UIL*: $u \in \text{last } p$

assumes *VNE*: $v \notin \bigcup (\text{set } p) \quad v \notin D$

shows *invar v0 D0 (push v (p, D, pE) - {(u, v)})*

$\langle proof \rangle$

lemma *invar-skip*:

assumes *INV*: *invar v0 D0 (p, D, pE)*

```

assumes  $NE[simp]: p \neq []$ 
assumes  $E: (u,v) \in pE$  and  $UIL: u \in last\ p$ 
assumes  $VNP: v \notin \bigcup (set\ p)$  and  $VD: v \in D$ 
shows  $invar\ v0\ D0\ (p, D, pE - \{(u, v)\})$ 
⟨proof⟩

lemma fin-D-is-reachable:
— When inner loop terminates, all nodes reachable from start node are finished
assumes  $INV: invar\ v0\ D0\ ([] , D, pE)$ 
shows  $D \supseteq E^* ``\{v0\}$ 
⟨proof⟩

lemma fin-reachable-path:
— When inner loop terminates, nodes reachable from start node are reachable
over visited edges
assumes  $INV: invar\ v0\ D0\ ([] , D, pE)$ 
assumes  $UR: u \in E^* ``\{v0\}$ 
shows  $path\ (vE\ []\ D\ pE)\ u\ q\ v \longleftrightarrow path\ E\ u\ q\ v$ 
⟨proof⟩

lemma invar-outer-newnode:
assumes  $A: v0 \notin D0 \quad v0 \in it$ 
assumes  $OINV: outer-invar\ it\ D0$ 
assumes  $INV: invar\ v0\ D0\ ([] , D', pE)$ 
shows  $outer-invar\ (it - \{v0\})\ D'$ 
⟨proof⟩

lemma invar-outer-Dnode:
assumes  $A: v0 \in D0 \quad v0 \in it$ 
assumes  $OINV: outer-invar\ it\ D0$ 
shows  $outer-invar\ (it - \{v0\})\ D0$ 
⟨proof⟩

lemma pE-fin':  $invar\ x\ \sigma\ ([] , D, pE) \implies pE = \{ \}$ 
⟨proof⟩

end

Termination context invar-loc
begin
lemma unproc-finite[simp, intro!]:  $finite\ (unproc-edges\ v0\ p\ D\ pE)$ 
— The set of unprocessed edges is finite
⟨proof⟩

lemma unproc-decreasing:
— As effect of selecting a pending edge, the set of unprocessed edges decreases
assumes [simp]:  $p \neq []$  and  $A: (u,v) \in pE \quad u \in last\ p$ 
shows  $unproc-edges\ v0\ p\ D\ (pE - \{(u,v)\}) \subset unproc-edges\ v0\ p\ D\ pE$ 

```

```

⟨proof⟩
end

context fr-graph
begin

lemma abs-wf-pop:
assumes INV: invar v0 D0 (p,D,pE)
assumes NE[simp]: p ≠ []
assumes NO: pE ∩ last aba × UNIV = {}
shows (pop (p,D,pE), (p, D, pE)) ∈ abs-wf-rel v0
⟨proof⟩

lemma abs-wf-collapse:
assumes INV: invar v0 D0 (p,D,pE)
assumes NE[simp]: p ≠ []
assumes E: (u,v) ∈ pE u ∈ last p
shows (collapse v (p,D,pE - {(u,v)}), (p, D, pE)) ∈ abs-wf-rel v0
⟨proof⟩

lemma abs-wf-push:
assumes INV: invar v0 D0 (p,D,pE)
assumes NE[simp]: p ≠ []
assumes E: (u,v) ∈ pE u ∈ last p and A: v ∉ D v ∉ ∪(set p)
shows (push v (p,D,pE - {(u,v)}), (p, D, pE)) ∈ abs-wf-rel v0
⟨proof⟩

lemma abs-wf-skip:
assumes INV: invar v0 D0 (p,D,pE)
assumes NE[simp]: p ≠ []
assumes E: (u,v) ∈ pE u ∈ last p
shows ((p, D, pE - {(u,v)}), (p, D, pE)) ∈ abs-wf-rel v0
⟨proof⟩
end

```

Main Correctness Theorem context fr-graph
begin

```

lemmas invar-preserve =
invar-initial
invar-pop invar-push invar-skip invar-collapse
abs-wf-pop abs-wf-collapse abs-wf-push abs-wf-skip
outer-invar-initial invar-outer-newnode invar-outer-Dnode

```

The main correctness theorem for the dummy-algorithm just states that it satisfies the invariant when finished, and the path is empty.

```

theorem skeleton-spec: skeleton ≤ SPEC (λD. outer-invar {} D)
⟨proof⟩

```

Short proof, as presented in the paper

```

context
  notes [refine] = refine-vcg
begin
  theorem skeleton  $\leq \text{SPEC } (\lambda D. \text{outer-invar } \{\} D)$ 
    <proof>
end

end

```

1.3.5 Consequences of Invariant when Finished

```

context fr-graph
begin
  lemma fin-outer-D-is-reachable:
    — When outer loop terminates, exactly the reachable nodes are finished
    assumes INV: outer-invar  $\{\} D$ 
    shows  $D = E^* ``V0$ 
    <proof>
end

```

1.4 Refinement to Gabow's Data Structure

The implementation due to Gabow [5] represents a path as a stack S of single nodes, and a stack B that contains the boundaries of the collapsed segments. Moreover, a map I maps nodes to their stack indices.

As we use a tail-recursive formulation, we use another stack $P :: (\text{nat} \times 'v \text{ set}) \text{ list}$ to represent the pending edges. The entries in P are sorted by ascending first component, and P only contains entries with non-empty second component. An entry (i, l) means that the edges from the node at $S[i]$ to the nodes stored in l are pending.

1.4.1 Preliminaries

```

primrec find-max-nat :: nat  $\Rightarrow (\text{nat} \Rightarrow \text{bool}) \Rightarrow \text{nat}$ 
  — Find the maximum number below an upper bound for which a predicate holds
  where
    find-max-nat  $0 - = 0$ 
    | find-max-nat (Suc n)  $P = (\text{if } (P n) \text{ then } n \text{ else } \text{find-max-nat } n P)$ 

```

```

lemma find-max-nat-correct:
   $\llbracket P 0; 0 < u \rrbracket \implies \text{find-max-nat } u P = \text{Max } \{i. i < u \wedge P i\}$ 
  <proof>

```

```

lemma find-max-nat-param[param]:
  assumes  $(n, n') \in \text{nat-rel}$ 
  assumes  $\bigwedge j j'. \llbracket (j, j') \in \text{nat-rel}; j' < n' \rrbracket \implies (P j, P' j') \in \text{bool-rel}$ 
  shows  $(\text{find-max-nat } n P, \text{find-max-nat } n' P') \in \text{nat-rel}$ 

```

```

⟨proof⟩

context begin interpretation autoref-syn ⟨proof⟩
lemma find-max-nat-autoref[autoref-rules]:
  assumes (n,n')∈nat-rel
  assumes ⋀j j'. [(j,j')∈nat-rel; j'<n'] ⟹ (P j,P'$j')∈bool-rel
  shows (find-max-nat n P,
    (OP find-max-nat :: nat-rel → (nat-rel→bool-rel) → nat-rel) $n'$P'
    ) ∈ nat-rel
  ⟨proof⟩

end

```

1.4.2 Gabow's Datastructure

Definition and Invariant datatype node-state = STACK nat | DONE

type-synonym 'v oGS = 'v → node-state

definition oGS-α :: 'v oGS ⇒ 'v set where oGS-α I ≡ {v. I v = Some DONE}

```

locale oGS-invar =
  fixes I :: 'v oGS
  assumes I-no-stack: I v ≠ Some (STACK j)

```

type-synonym 'a GS
 $= 'a list \times nat list \times ('a \rightarrow node-state) \times (nat \times 'a set) list$

```

locale GS =
  fixes SBIP :: 'a GS

```

begin

```

definition S ≡ (λ(S,B,I,P). S) SBIP
definition B ≡ (λ(S,B,I,P). B) SBIP
definition I ≡ (λ(S,B,I,P). I) SBIP
definition P ≡ (λ(S,B,I,P). P) SBIP

```

definition seg-start :: nat ⇒ nat — Start index of segment, inclusive
where seg-start i ≡ B!i

definition seg-end :: nat ⇒ nat — End index of segment, exclusive
where seg-end i ≡ if i+1 = length B then length S else B!(i+1)

definition seg :: nat ⇒ 'a set — Collapsed set at index
where seg i ≡ {S!j | j. seg-start i ≤ j ∧ j < seg-end i }

definition p-α ≡ map seg [0..<length B] — Collapsed path

definition D-α ≡ {v. I v = Some DONE} — Done nodes

```

definition  $pE\text{-}\alpha \equiv \{ (u,v) . \exists j I. (j,I) \in set P \wedge u = S!j \wedge v \in I \}$ 
— Pending edges

definition  $\alpha \equiv (p\text{-}\alpha, D\text{-}\alpha, pE\text{-}\alpha)$  — Abstract state

end

lemma GS-sel-simps[simp]:
GS.S (S,B,I,P) = S
GS.B (S,B,I,P) = B
GS.I (S,B,I,P) = I
GS.P (S,B,I,P) = P
⟨proof⟩

context GS begin
lemma seg-start-indep[simp]: GS.seg-start (S',B,I',P') = seg-start
⟨proof⟩
lemma seg-end-indep[simp]: GS.seg-end (S,B,I',P') = seg-end
⟨proof⟩
lemma seg-indep[simp]: GS.seg (S,B,I',P') = seg
⟨proof⟩
lemma p-α-indep[simp]: GS.p-α (S,B,I',P') = p-α
⟨proof⟩

lemma D-α-indep[simp]: GS.D-α (S',B',I,P') = D-α
⟨proof⟩

lemma pE-α-indep[simp]: GS.pE-α (S,B',I',P) = pE-α
⟨proof⟩

definition find-seg — Abs-path index for stack index
where find-seg j ≡ Max {i. i < length B ∧ B!i ≤ j}

definition S-idx-of — Stack index for node
where S-idx-of v ≡ case I v of Some (STACK i) ⇒ i

end

locale GS-invar = GS +
assumes B-in-bound: set B ⊆ {0..<length S}
assumes B-sorted: sorted B
assumes B-distinct: distinct B
assumes B0: S ≠ [] ⇒ B ≠ [] ∧ B!0 = 0
assumes S-distinct: distinct S

assumes I-consistent: (I v = Some (STACK j)) ↔ (j < length S ∧ v = S!j)

assumes P-sorted: sorted (map fst P)
assumes P-distinct: distinct (map fst P)

```

```

assumes P-bound: set P ⊆ {0.. S} × Collect ((≠) {})
begin
  lemma locale-this: GS-invar SBIP ⟨proof⟩

end

definition oGS-rel ≡ br oGS-α oGS-invar
lemma oGS-rel-sv[intro!,simp,relator-props]: single-valued oGS-rel
  ⟨proof⟩

definition GS-rel ≡ br GS.α GS-invar
lemma GS-rel-sv[intro!,simp,relator-props]: single-valued GS-rel
  ⟨proof⟩

context GS-invar
begin
  lemma empty-eq: S=[] ↔ B=[]
    ⟨proof⟩

  lemma B-in-bound': i < length B ⇒ B!i < length S
    ⟨proof⟩

  lemma seg-start-bound:
    assumes A: i < length B shows seg-start i < length S
    ⟨proof⟩

  lemma seg-end-bound:
    assumes A: i < length B shows seg-end i ≤ length S
    ⟨proof⟩

  lemma seg-start-less-end: i < length B ⇒ seg-start i < seg-end i
    ⟨proof⟩

  lemma seg-end-less-start: [| i < j; j < length B |] ⇒ seg-end i ≤ seg-start j
    ⟨proof⟩

  lemma find-seg-bounds:
    assumes A: j < length S
    shows seg-start (find-seg j) ≤ j
    and j < seg-end (find-seg j)
    and find-seg j < length B
    ⟨proof⟩

  lemma find-seg-correct:
    assumes A: j < length S
    shows S!j ∈ seg (find-seg j) and find-seg j < length B
    ⟨proof⟩

  lemma set-p-α-is-set-S:

```

```

 $\bigcup(\text{set } p\text{-}\alpha) = \text{set } S$ 
⟨proof⟩

lemma S-idx-uniq:
 $\llbracket i < \text{length } S; j < \text{length } S \rrbracket \implies S!i = S!j \longleftrightarrow i = j$ 
⟨proof⟩

lemma S-idx-of-correct:
assumes A:  $v \in \bigcup(\text{set } p\text{-}\alpha)$ 
shows S-idx-of v < length S and  $S!S\text{-idx-of } v = v$ 
⟨proof⟩

lemma p- $\alpha$ -disjoint-sym:
shows  $\forall i j v. i < \text{length } p\text{-}\alpha \wedge j < \text{length } p\text{-}\alpha \wedge v \in p\text{-}\alpha!i \wedge v \in p\text{-}\alpha!j \longrightarrow i = j$ 
⟨proof⟩

end

```

1.4.3 Refinement of the Operations

```

definition GS-initial-impl :: 'a oGS  $\Rightarrow$  'a  $\Rightarrow$  'a set  $\Rightarrow$  'a GS where
  GS-initial-impl I v0 succs  $\equiv$  (
     $[v0]$ ,
     $[\emptyset]$ ,
    I(v0  $\mapsto$  (STACK 0)),
    if succs= $\{\}$  then  $\emptyset$  else  $[(0, \text{succs})]$ )
  
```

context GS

begin

definition push-impl *v* succs \equiv

let

- = stat-newnode();

j = length *S*;

S = *S*@[*v*];

B = *B*@[*j*];

I = *I*(*v* \mapsto STACK *j*);

P = *if* succs= $\{\}$ *then* *P* *else* *P*@[(*j*, succs)]

in

(*S, B, I, P*)

definition mark-as-done

where $\bigwedge l u I. \text{mark-as-done } l u I \equiv \text{do} \{$

$(\neg, I) \leftarrow \text{WHILET}$

$(\lambda(l, I). l < u)$

$(\lambda(l, I). \text{do} \{ \text{ASSERT } (l < \text{length } S); \text{RETURN } (\text{Suc } l, I(S!l \mapsto \text{DONE})) \})$

$(l, I);$

RETURN I

$\}$

definition *mark-as-done-abs* **where**
 $\lambda l u I. \text{mark-as-done-abs } l u I$
 $\equiv (\lambda v. \text{if } v \in \{S[j] \mid j. l \leq j \wedge j < u\} \text{ then Some DONE else } I v)$

lemma *mark-as-done-aux*:
fixes *l u I*
shows $[l < u; u \leq \text{length } S] \implies \text{mark-as-done } l u I$
 $\leq \text{SPEC } (\lambda r. r = \text{mark-as-done-abs } l u I)$
 $\langle \text{proof} \rangle$

definition *pop-impl* \equiv
 $\text{do } \{$
 $\text{let } lsi = \text{length } B - 1;$
 $\text{ASSERT } (lsi < \text{length } B);$
 $I \leftarrow \text{mark-as-done } (\text{seg-start } lsi) (\text{seg-end } lsi) I;$
 $\text{ASSERT } (B \neq []);$
 $\text{let } S = \text{take } (\text{last } B) S;$
 $\text{ASSERT } (B \neq []);$
 $\text{let } B = \text{butlast } B;$
 $\text{RETURN } (S, B, I, P)$
 $\}$

definition *sel-rem-last* \equiv
 $\text{if } P = [] \text{ then}$
 $\text{RETURN } (\text{None}, (S, B, I, P))$
 $\text{else do } \{$
 $\text{let } (j, \text{succs}) = \text{last } P;$
 $\text{ASSERT } (\text{length } B - 1 < \text{length } B);$
 $\text{if } j \geq \text{seg-start } (\text{length } B - 1) \text{ then do } \{$
 $\text{ASSERT } (\text{succs} \neq \{\});$
 $v \leftarrow \text{SPEC } (\lambda x. x \in \text{succs});$
 $\text{let } \text{succs} = \text{succs} - \{v\};$
 $\text{ASSERT } (P \neq [] \wedge \text{length } P - 1 < \text{length } P);$
 $\text{let } P = (\text{if } \text{succs} = \{\} \text{ then butlast } P \text{ else } P[\text{length } P - 1 := (j, \text{succs})]);$
 $\text{RETURN } (\text{Some } v, (S, B, I, P))$
 $\} \text{ else RETURN } (\text{None}, (S, B, I, P))$
 $\}$

definition *find-seg-impl* *j* \equiv *find-max-nat* (*length B*) ($\lambda i. B!i \leq j$)

lemma (in GS-invar) *find-seg-impl*:
 $j < \text{length } S \implies \text{find-seg-impl } j = \text{find-seg } j$
 $\langle \text{proof} \rangle$

definition *idx-of-impl* *v* \equiv $\text{do } \{$
 $\text{ASSERT } (\exists i. I v = \text{Some } (\text{STACK } i));$

```

let  $j = S\text{-idx-of } v$ ;
 $\text{ASSERT } (j < \text{length } S)$ ;
let  $i = \text{find-seg-impl } j$ ;
RETURN  $i$ 
}

definition collapse-impl  $v \equiv$ 
do {
   $i \leftarrow \text{idx-of-impl } v$ ;
   $\text{ASSERT } (i+1 \leq \text{length } B)$ ;
  let  $B = \text{take } (i+1) B$ ;
  RETURN  $(S, B, I, P)$ 
}

```

end

lemma (in -) GS-initial-correct:
assumes $REL: (I, D) \in oGS\text{-rel}$
assumes $A: v_0 \notin D$
shows $GS.\alpha \text{ (GS-initial-impl } I v_0 \text{ succs)} = ([\{v_0\}], D, \{v_0\} \times \text{succs})$ (**is** ?G1)
and $GS\text{-invar (GS-initial-impl } I v_0 \text{ succs)}$ (**is** ?G2)
(proof)

context $GS\text{-invar}$
begin

lemma push-correct:
assumes $A: v \notin \bigcup (\text{set } p\text{-}\alpha) \text{ and } B: v \notin D\text{-}\alpha$
shows $GS.\alpha \text{ (push-impl } v \text{ succs)} = (p\text{-}\alpha @ [\{v\}], D\text{-}\alpha, pE\text{-}\alpha \cup \{v\} \times \text{succs})$
(**is** ?G1)
and $GS\text{-invar (push-impl } v \text{ succs)}$ (**is** ?G2)
(proof)

lemma no-last-out-P-aux:
assumes $NE: p\text{-}\alpha \neq [] \text{ and } NS: pE\text{-}\alpha \cap \text{last } p\text{-}\alpha \times UNIV = \{\}$
shows $\text{set } P \subseteq \{0..<\text{last } B\} \times UNIV$
(proof)

lemma pop-correct:
assumes $NE: p\text{-}\alpha \neq [] \text{ and } NS: pE\text{-}\alpha \cap \text{last } p\text{-}\alpha \times UNIV = \{\}$
shows $\text{pop-impl} \leq \Downarrow GS\text{-rel (SPEC } (\lambda r. r = (\text{butlast } p\text{-}\alpha, D\text{-}\alpha \cup \text{last } p\text{-}\alpha, pE\text{-}\alpha)))$
(proof)

lemma sel-rem-last-correct:
assumes $NE: p\text{-}\alpha \neq []$
shows $\text{sel-rem-last} \leq \Downarrow (Id \times_r GS\text{-rel}) \text{ (select-edge } (p\text{-}\alpha, D\text{-}\alpha, pE\text{-}\alpha))$
(proof)

```

lemma find-seg-idx-of-correct:
  assumes  $A: v \in \bigcup(\text{set } p\text{-}\alpha)$ 
  shows  $(\text{find-seg } (\text{S-idx-of } v)) = \text{idx-of } p\text{-}\alpha \text{ } v$ 
   $\langle \text{proof} \rangle$ 

lemma idx-of-correct:
  assumes  $A: v \in \bigcup(\text{set } p\text{-}\alpha)$ 
  shows  $\text{idx-of-impl } v \leq \text{SPEC } (\lambda x. x = \text{idx-of } p\text{-}\alpha \text{ } v \wedge x < \text{length } B)$ 
   $\langle \text{proof} \rangle$ 

lemma collapse-correct:
  assumes  $A: v \in \bigcup(\text{set } p\text{-}\alpha)$ 
  shows  $\text{collapse-impl } v \leq \Downarrow \text{GS-rel } (\text{SPEC } (\lambda r. r = \text{collapse } v \text{ } \alpha))$ 
   $\langle \text{proof} \rangle$ 

end

```

Technical adjustment for avoiding case-splits for definitions extracted from GS-locale

```
lemma opt-GSdef:  $f \equiv g \Rightarrow f \text{ } s \equiv \text{case } s \text{ of } (S, B, I, P) \Rightarrow g$   $(S, B, I, P)$   $\langle \text{proof} \rangle$ 
```

```
lemma ext-def:  $f \equiv g \Rightarrow f \text{ } x \equiv g \text{ } x$   $\langle \text{proof} \rangle$ 
```

```

context fr-graph begin
  definition push-impl  $v \text{ } s \equiv \text{GS.push-impl } s \text{ } v$   $(E^{\{v\}})$ 
  lemmas push-impl-def-opt =
    push-impl-def[abs-def],
    THEN ext-def, THEN opt-GSdef, unfolded GS.push-impl-def GSsel-simps

```

Definition for presentation

```

lemma push-impl  $v$   $(S, B, I, P) \equiv (S @ [v], B @ [\text{length } S], I(v \rightarrow \text{STACK } (\text{length } S)),$ 
 $\text{if } E^{\{v\}} = \{\} \text{ then } P \text{ else } P @ [(\text{length } S, E^{\{v\}})])$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma GS- $\alpha$ -split:
   $GS.\alpha \text{ } s = (p, D, pE) \longleftrightarrow (p = GS.p\text{-}\alpha \text{ } s \wedge D = GS.D\text{-}\alpha \text{ } s \wedge pE = GS.pE\text{-}\alpha \text{ } s)$ 
   $(p, D, pE) = GS.\alpha \text{ } s \longleftrightarrow (p = GS.p\text{-}\alpha \text{ } s \wedge D = GS.D\text{-}\alpha \text{ } s \wedge pE = GS.pE\text{-}\alpha \text{ } s)$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma push-refine:
  assumes  $A: (s, (p, D, pE)) \in \text{GS-rel}$   $(v, v') \in Id$ 
  assumes  $B: v \notin \bigcup(\text{set } p) \quad v \notin D$ 
  shows  $(\text{push-impl } v \text{ } s, \text{push } v' \text{ } (p, D, pE)) \in \text{GS-rel}$ 
   $\langle \text{proof} \rangle$ 

```

```

definition pop-impl  $s \equiv GS.pop-impl \text{ } s$ 
lemmas pop-impl-def-opt =

```

*pop-impl-def[abs-def, THEN opt-GSdef, unfolded GS.pop-impl-def
 GS.mark-as-done-def GS.seg-start-def GS.seg-end-def
 GSsel-simps]*

lemma *pop-refine*:

assumes *A*: $(s, (p, D, pE)) \in GS\text{-rel}$
assumes *B*: $p \neq [] \quad pE \cap \text{last } p \times \text{UNIV} = \{\}$
shows *pop-impl s* $\leq \Downarrow GS\text{-rel} (\text{RETURN} (\text{pop} (p, D, pE)))$
{proof}

thm *pop-refine[no-vars]*

definition *collapse-impl v s* $\equiv GS\text{.collapse-impl } s \ v$

lemmas *collapse-impl-def-opt* =
collapse-impl-def[abs-def,
THEN ext-def, THEN opt-GSdef, unfolded GS.collapse-impl-def GSsel-simps]

lemma *collapse-refine*:

assumes *A*: $(s, (p, D, pE)) \in GS\text{-rel} \quad (v, v') \in Id$
assumes *B*: $v' \in \bigcup (\text{set } p)$
shows *collapse-impl v s* $\leq \Downarrow GS\text{-rel} (\text{RETURN} (\text{collapse } v' (p, D, pE)))$
{proof}

definition *select-edge-impl s* $\equiv GS\text{.sel-rem-last } s$

lemmas *select-edge-impl-def-opt* =
select-edge-impl-def[abs-def,
THEN opt-GSdef,
unfolded GS.sel-rem-last-def GS.seg-start-def GSsel-simps]

lemma *select-edge-refine*:

assumes *A*: $(s, (p, D, pE)) \in GS\text{-rel}$
assumes *NE*: $p \neq []$
shows *select-edge-impl s* $\leq \Downarrow (Id \times_r GS\text{-rel}) (\text{select-edge} (p, D, pE))$
{proof}

definition *initial-impl v0 I* $\equiv GS\text{-initial-impl } I \ v0 \ (E^{\leftarrow} \{v0\})$

lemma *initial-refine*:

$\llbracket v0 \notin D0; (I, D0) \in oGS\text{-rel}; (v0i, v0) \in Id \rrbracket$
 $\implies (\text{initial-impl } v0i \ I, \text{initial } v0 \ D0) \in GS\text{-rel}$
{proof}

definition *path-is-empty-impl s* $\equiv GS\text{.S } s = []$

lemma *path-is-empty-refine*:

GS-invar s $\implies \text{path-is-empty-impl } s \longleftrightarrow GS\text{.p-}\alpha\text{ } s = []$
{proof}

definition (in GS) is-on-stack-impl v

```

 $\equiv \text{case } I v \text{ of Some } (\text{STACK } -) \Rightarrow \text{True} \mid - \Rightarrow \text{False}$ 

lemma (in GS-invar) is-on-stack-impl-correct:
  shows is-on-stack-impl  $v \longleftrightarrow v \in \bigcup (\text{set } p\text{-}\alpha)$ 
   $\langle \text{proof} \rangle$ 

definition is-on-stack-impl  $v s \equiv GS.\text{is-on-stack-impl } s v$ 
lemmas is-on-stack-impl-def-opt =
  is-on-stack-impl-def[abs-def, THEN ext-def, THEN opt-GSdef,
  unfolded GS.is-on-stack-impl-def GSsel-simps]

lemma is-on-stack-refine:
   $\llbracket GS\text{-invar } s \rrbracket \implies \text{is-on-stack-impl } v s \longleftrightarrow v \in \bigcup (\text{set } (GS.p\text{-}\alpha s))$ 
   $\langle \text{proof} \rangle$ 

definition (in GS) is-done-impl  $v$ 
 $\equiv \text{case } I v \text{ of Some } DONE \Rightarrow \text{True} \mid - \Rightarrow \text{False}$ 

lemma (in GS-invar) is-done-impl-correct:
  shows is-done-impl  $v \longleftrightarrow v \in D\text{-}\alpha$ 
   $\langle \text{proof} \rangle$ 

definition is-done-oimpl  $v I \equiv \text{case } I v \text{ of Some } DONE \Rightarrow \text{True} \mid - \Rightarrow \text{False}$ 

definition is-done-impl  $v s \equiv GS.\text{is-done-impl } s v$ 

lemma is-done-orefine:
   $\llbracket oGS\text{-invar } s \rrbracket \implies \text{is-done-oimpl } v s \longleftrightarrow v \in oGS\text{-}\alpha s$ 
   $\langle \text{proof} \rangle$ 

lemma is-done-refine:
   $\llbracket GS\text{-invar } s \rrbracket \implies \text{is-done-impl } v s \longleftrightarrow v \in GS.D\text{-}\alpha s$ 
   $\langle \text{proof} \rangle$ 

lemma oinital-refine:  $(Map.\text{empty}, \{\}) \in oGS\text{-rel}$ 
   $\langle \text{proof} \rangle$ 

end

```

1.4.4 Refined Skeleton Algorithm

```
context fr-graph begin
```

```

lemma I-to-outer:
  assumes  $((S, B, I, P), ([], D, \{\})) \in GS\text{-rel}$ 
  shows  $(I, D) \in oGS\text{-rel}$ 
   $\langle \text{proof} \rangle$ 

```

```

definition skeleton-impl :: 'v oGS nres where
  skeleton-impl ≡ do {
    stat-start-nres;
    let I=Map.empty;
    r ← FOREACHi ( $\lambda$ it I. outer-invar it (oGS- $\alpha$  I)) V0 ( $\lambda$ v0 I0. do {
      if  $\neg$ is-done-oimpl v0 I0 then do {
        let s = initial-impl v0 I0;
        (S,B,I,P)← WHILEIT (invar v0 (oGS- $\alpha$  I0) o GS. $\alpha$ )
          ( $\lambda$ s.  $\neg$ path-is-empty-impl s) ( $\lambda$ s.
          do {
            — Select edge from end of path
            (vo,s) ← select-edge-impl s;
            case vo of
              Some v ⇒ do {
                if is-on-stack-impl v s then do {
                  collapse-impl v s
                } else if  $\neg$ is-done-impl v s then do {
                  — Edge to new node. Append to path
                  RETURN (push-impl v s)
                } else do {
                  — Edge to done node. Skip
                  RETURN s
                }
              }
              | None ⇒ do {
                — No more outgoing edges from current node on path
                pop-impl s
              }
            }) s;
            RETURN I
          } else
            RETURN I0
        }) I;
        stat-stop-nres;
        RETURN r
      }
    }
  }
}

```

Correctness Theorem **lemma** skeleton-impl $\leq \Downarrow$ oGS-rel skeleton
 $\langle proof \rangle$

```

lemmas skeleton-refines
  = select-edge-refine push-refine pop-refine collapse-refine
    initial-refine oinitial-refine
lemmas skeleton-refine-simps
  = GS-rel-def br-def GS. $\alpha$ -def oGS-rel-def oGS. $\alpha$ -def
    is-on-stack-refine path-is-empty-refine is-done-refine is-done-orefine

```

Short proof, for presentation

```

context
  notes [[goals-limit = 1]]
  notes [refine] = inj-on-id bind-refine'
begin
  lemma skeleton-impl  $\leq \Downarrow oGS\text{-rel}$  skeleton
    ⟨proof⟩

  end

end

end

```

1.5 Enumerating the SCCs of a Graph

```

theory Gabow-SCC
imports Gabow-Skeleton
begin

```

As a first variant, we implement an algorithm that computes a list of SCCs of a graph, in topological order. This is the standard variant described by Gabow [5].

1.6 Specification

```

context fr-graph
begin

```

We specify a distinct list that covers all reachable nodes and contains SCCs in topological order

```

definition compute-SCC-spec ≡ SPEC (λl.
  distinct l ∧ ∪(set l) = E* `` V0 ∧ (∀ U ∈ set l. is-scc E U)
  ∧ (∀ i j. i < j ∧ j < length l → !l[j] × !l[i] ∩ E* = {}))
end

```

1.7 Extended Invariant

```

locale cscc-invar-ext = fr-graph G
  for G :: ('v,'more) graph-rec-scheme +
  fixes l :: 'v set list and D :: 'v set
  assumes l-is-D: ∪(set l) = D — The output contains all done CNodes
  assumes l-scc: set l ⊆ Collect (is-scc E) — The output contains only SCCs
  assumes l-no-fwd: ∀ i j. [i < j; j < length l] ⇒ !l[j] × !l[i] ∩ E* = {}
    — The output contains no forward edges
begin
  lemma l-no-empty: {} ≠ set l ⟨proof⟩
end

```

```

locale cscc-outer-invar-loc = outer-invar-loc G it D + cscc-invar-ext G l D
  for G :: ('v,'more) graph-rec-scheme and it l D
begin
  lemma locale-this: cscc-outer-invar-loc G it l D ⟨proof⟩
  lemma abs-outer-this: outer-invar-loc G it D ⟨proof⟩
end

locale cscc-invar-loc = invar-loc G v0 D0 p D pE + cscc-invar-ext G l D
  for G :: ('v,'more) graph-rec-scheme and v0 D0 and l :: 'v set list
  and p D pE
begin
  lemma locale-this: cscc-invar-loc G v0 D0 l p D pE ⟨proof⟩
  lemma invar-this: invar-loc G v0 D0 p D pE ⟨proof⟩
end

context fr-graph
begin
  definition cscc-outer-invar ≡ λit (l,D). cscc-outer-invar-loc G it l D
  definition cscc-invar ≡ λv0 D0 (l,p,D,pE). cscc-invar-loc G v0 D0 l p D pE
end

```

1.8 Definition of the SCC-Algorithm

```

context fr-graph
begin
  definition compute-SCC :: 'v set list nres where
    compute-SCC ≡ do {
      let so = ([],{});
      (l,D) ← FOREACHi cscc-outer-invar V0 (λv0 (l,D0). do {
        if v0∉D0 then do {
          let s = (l,initial v0 D0);

          (l,p,D,pE) ←
          WHILEIT (cscc-invar v0 D0)
            (λ(l,p,D,pE). p ≠ []) (λ(l,p,D,pE).
            do {
              — Select edge from end of path
              (vo,(p,D,pE)) ← select-edge (p,D,pE);

              ASSERT (p≠[]);
              case vo of
                Some v ⇒ do {
                  if v ∈ ∪(set p) then do {
                    — Collapse
                    RETURN (l,collapse v (p,D,pE))
                  } else if v∉D then do {
                    — Edge to new node. Append to path
                    RETURN (l,push v (p,D,pE))

```

```

        } else RETURN (l,p,D,pE)
    }
| None => do {
    — No more outgoing edges from current node on path
    ASSERT (pE ∩ last p × UNIV = {});
    let V = last p;
    let (p,D,pE) = pop (p,D,pE);
    let l = V#l;
    RETURN (l,p,D,pE)
}
}) s;
ASSERT (p=[] ∧ pE={});
RETURN (l,D)
} else
    RETURN (l,D0)
}) so;
RETURN l
}
end

```

1.9 Preservation of Invariant Extension

```

context cscc-invar-ext
begin
lemma l-disjoint:
assumes A: i < j   j < length l
shows !i ∩ !j = {}
⟨proof⟩

corollary l-distinct: distinct l
⟨proof⟩
end

context fr-graph
begin
definition cscc-invar-part ≡ λ(l,p,D,pE). cscc-invar-ext G l D

lemma cscc-invarI[intro?]:
assumes invar v0 D0 PDPE
assumes invar v0 D0 PDPE ⇒ cscc-invar-part (l,PDPE)
shows cscc-invar v0 D0 (l,PDPE)
⟨proof⟩

thm cscc-invarI[of v-0 D-0 s l]

lemma cscc-outer-invarI[intro?]:
assumes outer-invar it D
assumes outer-invar it D ⇒ cscc-invar-ext G l D
shows cscc-outer-invar it (l,D)

```

$\langle proof \rangle$

```
lemma cscc-invar-initial[simp, intro!]:
assumes A:  $v_0 \in it$   $v_0 \notin D_0$ 
assumes INV: cscc-outer-invar it (l,D0)
shows cscc-invar-part (l,initial v0 D0)
⟨proof⟩

lemma cscc-invar-pop:
assumes INV: cscc-invar v0 D0 (l,p,D,pE)
assumes invar v0 D0 (pop (p,D,pE))
assumes NE[simp]:  $p \neq []$ 
assumes NO':  $pE \cap (last p \times UNIV) = \{\}$ 
shows cscc-invar-part (last p # l, pop (p,D,pE))
⟨proof⟩

thm cscc-invar-pop[of v-0 D-0 l p D pE]

lemma cscc-invar-unchanged:
assumes INV: cscc-invar v0 D0 (l,p,D,pE)
shows cscc-invar-part (l,p',D,pE')
⟨proof⟩

corollary cscc-invar-collapse:
assumes INV: cscc-invar v0 D0 (l,p,D,pE)
shows cscc-invar-part (l,collapse v (p',D,pE'))
⟨proof⟩

corollary cscc-invar-push:
assumes INV: cscc-invar v0 D0 (l,p,D,pE)
shows cscc-invar-part (l,push v (p',D,pE'))
⟨proof⟩

lemma cscc-outer-invar-initial: cscc-invar-ext G [] {} 
⟨proof⟩

lemma cscc-invar-outer-newnode:
assumes A:  $v_0 \notin D_0$   $v_0 \in it$ 
assumes OINV: cscc-outer-invar it (l,D0)
assumes INV: cscc-invar v0 D0 (l',[],D',pE)
shows cscc-invar-ext G l' D'
⟨proof⟩

lemma cscc-invar-outer-Dnode:
assumes cscc-outer-invar it (l, D)
shows cscc-invar-ext G l D
⟨proof⟩
```

```

lemmas cscc-invar-preserve = invar-preserve
    cscc-invar-initial
    cscc-invar-pop cscc-invar-collapse cscc-invar-push cscc-invar-unchanged
    cscc-outer-invar-initial cscc-invar-outer-newnode cscc-invar-outer-Dnode

```

On termination, the invariant implies the specification

```

lemma cscc-finI:
  assumes INV: cscc-outer-invar {} (l,D)
  shows fin-l-is-scc:  $\llbracket U \in \text{set } l \rrbracket \implies \text{is-scc } E \ U$ 
  and fin-l-distinct: distinct l
  and fin-l-is-reachable:  $\bigcup(\text{set } l) = E^* \cup V_0$ 
  and fin-l-no-fwd:  $\llbracket i < j; j < \text{length } l \rrbracket \implies l!j \times l!i \cap E^* = \{\}$ 
   $\langle \text{proof} \rangle$ 

end

```

1.10 Main Correctness Proof

```

context fr-graph
begin
  lemma invar-from-cscc-invarI: cscc-invar v0 D0 (L,PDPE)  $\implies$  invar v0 D0
  PDPE
   $\langle \text{proof} \rangle$ 

  lemma outer-invar-from-cscc-invarI:
    cscc-outer-invar it (L,D)  $\implies$  outer-invar it D
     $\langle \text{proof} \rangle$ 

```

With the extended invariant and the auxiliary lemmas, the actual correctness proof is straightforward:

```

theorem compute-SCC-correct: compute-SCC  $\leq$  compute-SCC-spec
   $\langle \text{proof} \rangle$ 

```

Simple proof, for presentation

```

context
  notes [refine]=refine-vcg
  notes [[goals-limit = 1]]
begin
  theorem compute-SCC  $\leq$  compute-SCC-spec
   $\langle \text{proof} \rangle$ 
end

end

```

1.11 Refinement to Gabow's Data Structure

```

context GS begin
  definition seg-set-impl l u  $\equiv$  do {

```

```

(-,res) ← WHILET
  (λ(l,-). l < u)
  (λ(l,res). do {
    ASSERT (l < length S);
    let x = S!l;
    ASSERT (x ∉ res);
    RETURN (Suc l,insert x res)
  })
  (l,{});

RETURN res
}

lemma seg-set-impl-aux:
fixes l u
shows [[l < u; u ≤ length S; distinct S]] ⇒ seg-set-impl l u
≤ SPEC (λr. r = {S!j | j. l ≤ j ∧ j < u})
⟨proof⟩

lemma (in GS-invar) seg-set-impl-correct:
assumes i < length B
shows seg-set-impl (seg-start i) (seg-end i) ≤ SPEC (λr. r = p-α!i)
⟨proof⟩

definition last-seg-impl
≡ do {
  ASSERT (length B - 1 < length B);
  seg-set-impl (seg-start (length B - 1)) (seg-end (length B - 1))
}

lemma (in GS-invar) last-seg-impl-correct:
assumes p-α ≠ []
shows last-seg-impl ≤ SPEC (λr. r = last p-α)
⟨proof⟩

end

context fr-graph
begin

definition last-seg-impl s ≡ GS.last-seg-impl s
lemmas last-seg-impl-def-opt =
last-seg-impl-def[abs-def, THEN opt-GSdef,
unfolded GS.last-seg-impl-def GS.seg-set-impl-def
GS.seg-start-def GS.seg-end-def GS.sel-simps]

lemma last-seg-impl-refine:
assumes A: (s,(p,D,pE)) ∈ GS-rel

```

```

assumes NE:  $p \neq []$ 
shows last-seg-impl  $s \leq \Downarrow \text{Id}$  (RETURN (last  $p$ ))
⟨proof⟩

definition compute-SCC-impl :: 'v set list nres where
  compute-SCC-impl ≡ do {
    stat-start-nres;
    let so = ([] , Map.empty);
    ( $l, D$ ) ← FOREACHi ( $\lambda it (l,s)$ . cscc-outer-invar it ( $l, oGS\alpha s$ ))
     $V0 (\lambda v0 (l,I0))$ . do {
      if  $\neg \text{is-done-oimpl } v0 I0$  then do {
        let ls = ( $l, \text{initial-impl } v0 I0$ );
        ( $l, (S, B, I, P)$ ) ← WHILEIT ( $\lambda (l,s)$ . cscc-invar  $v0 (oGS\alpha I0) (l, GS\alpha s)$ )
          ( $\lambda (l,s)$ .  $\neg \text{path-is-empty-impl } s$ ) ( $\lambda (l,s)$ .
          do {
            — Select edge from end of path
            ( $vo, s$ ) ← select-edge-impl  $s$ ;
            case  $vo$  of
              Some  $v \Rightarrow$  do {
                if  $\text{is-on-stack-impl } v s$  then do {
                   $s \leftarrow \text{collapse-impl } v s$ ;
                  RETURN ( $l, s$ )
                } else if  $\neg \text{is-done-impl } v s$  then do {
                  — Edge to new node. Append to path
                  RETURN ( $l, \text{push-impl } v s$ )
                } else do {
                  — Edge to done node. Skip
                  RETURN ( $l, s$ )
                }
              }
            }
          | None  $\Rightarrow$  do {
            — No more outgoing edges from current node on path
             $scc \leftarrow \text{last-seg-impl } s$ ;
             $s \leftarrow \text{pop-impl } s$ ;
            let  $l = scc \# l$ ;
            RETURN ( $l, s$ )
          }
        }
      }
    }
  }
} so;
stat-stop-nres;
RETURN  $l$ 
}

```

lemma compute-SCC-impl-refine: $\text{compute-SCC-impl} \leq \Downarrow \text{Id}$ compute-SCC
⟨proof⟩

```
end
```

```
end
```

1.12 Safety-Property Model-Checker

```
theory Find-Path
imports
  CAVA-Automata.Digraph
  CAVA-Base.CAVA-Code-Target
begin
```

1.13 Finding Path to Error

This function searches a graph and a set of start nodes for a reachable node that satisfies some property, and returns a path to such a node iff it exists.

```
definition find-path E U0 P ≡ do {
  ASSERT (finite U0);
  ASSERT (finite (E* ``U0));
  SPEC (λp. case p of
    Some (p,v) ⇒ ∃ u0∈U0. path E u0 p v ∧ P v ∧ (∀ v∈set p. ¬P v)
    | None ⇒ ∀ u0∈U0. ∀ v∈E* ``{u0}. ¬P v)
  }

lemma find-path-ex-rule:
  assumes finite U0
  assumes finite (E* ``U0)
  assumes ∃ v∈E* ``U0. P v
  shows find-path E U0 P ≤ SPEC (λr.
  ∃ p v. r = Some (p,v) ∧ P v ∧ (∀ v∈set p. ¬P v) ∧ (∃ u0∈U0. path E u0 p v))
  ⟨proof⟩
```

1.13.1 Nontrivial Paths

```
definition find-path1 E u0 P ≡ do {
  ASSERT (finite (E* ``{u0}));
  SPEC (λp. case p of
    Some (p,v) ⇒ path E u0 p v ∧ P v ∧ p ≠ []
    | None ⇒ ∀ v∈E+ ``{u0}. ¬P v)

lemma (in -) find-path1-ex-rule:
  assumes finite (E* ``{u0})
  assumes ∃ v∈E+ ``{u0}. P v
  shows find-path1 E u0 P ≤ SPEC (λr.
  ∃ p v. r = Some (p,v) ∧ p ≠ [] ∧ P v ∧ path E u0 p v)
  ⟨proof⟩
```

```
end
```

1.14 Lasso Finding Algorithm for Generalized Büchi Graphs

```

theory Gabow-GBG
imports
  Gabow-Skeleton
  CAVA-Automata.Lasso
  Find-Path
begin

locale igb-fr-graph =
  igb-graph G + fr-graph G
  for G :: ('Q,'more) igb-graph-rec-scheme

lemma igb-fr-graphI:
  assumes igb-graph G
  assumes finite ((g-E G)* `` g-V0 G)
  shows igb-fr-graph G
  ⟨proof⟩

```

We implement an algorithm that computes witnesses for the non-emptiness of Generalized Büchi Graphs (GBG).

1.15 Specification

```

context igb-graph
begin
  definition ce-correct
    — Specifies a correct counter-example
  where
    ce-correct Vr Vl ≡ (Ǝ pr pl.
      Vr ⊆ E* `` V0 ∧ Vl ⊆ E* `` V0 — Only reachable nodes are covered
      ∧ set pr ⊆ Vr ∧ set pl ⊆ Vl — The paths are inside the specified sets
      ∧ Vl × Vl ⊆ (E ∩ Vl × Vl)* — Vl is mutually connected
      ∧ Vl × Vl ∩ E ≠ {} — Vl is non-trivial
      ∧ is-lasso-prpl (pr,pl)) — Paths form a lasso

  definition find-ce-spec :: ('Q set × 'Q set) option nres where
    find-ce-spec ≡ SPEC (λr. case r of
      None ⇒ ( ∀ prpl. ¬is-lasso-prpl prpl)
      | Some (Vr,Vl) ⇒ ce-correct Vr Vl
      )

  definition find-lasso-spec :: ('Q list × 'Q list) option nres where
    find-lasso-spec ≡ SPEC (λr. case r of
      None ⇒ ( ∀ prpl. ¬is-lasso-prpl prpl)
      | Some prpl ⇒ is-lasso-prpl prpl
      )

```

end

1.16 Invariant Extension

Extension of the outer invariant:

```
context igb-fr-graph
begin
  definition no-acc-over
    — Specifies that there is no accepting cycle touching a set of nodes
    where
      no-acc-over D  $\equiv$   $\neg(\exists v \in D. \exists pl. pl \neq [] \wedge path E v pl v \wedge$ 
       $(\forall i < num\_acc. \exists q \in set pl. i \in acc q))$ 

  definition fgl-outer-invar-ext  $\equiv$   $\lambda it (brk, D).$ 
    case brk of None  $\Rightarrow$  no-acc-over D | Some (Vr, Vl)  $\Rightarrow$  ce-correct Vr Vl

  definition fgl-outer-invar  $\equiv$   $\lambda it (brk, D).$  case brk of
    None  $\Rightarrow$  outer-invar it D  $\wedge$  no-acc-over D
    | Some (Vr, Vl)  $\Rightarrow$  ce-correct Vr Vl

end
```

Extension of the inner invariant:

```
locale fgl-invar-loc =
  invar-loc G v0 D0 p D pE
  + igb-graph G
  for G :: ('Q, 'more) igb-graph-rec-scheme
  and v0 D0 and brk :: ('Q set  $\times$  'Q set) option and p D pE +
  assumes no-acc: brk=None  $\Longrightarrow$   $\neg(\exists v pl. pl \neq [] \wedge path lvE v pl v \wedge$ 
   $(\forall i < num\_acc. \exists q \in set pl. i \in acc q))$  — No accepting cycle over visited edges
  assumes acc: brk=Some (Vr, Vl)  $\Longrightarrow$  ce-correct Vr Vl
begin
  lemma locale-this: fgl-invar-loc G v0 D0 brk p D pE
  ⟨proof⟩
  lemma invar-loc-this: invar-loc G v0 D0 p D pE ⟨proof⟩
  lemma eas-gba-graph-this: igb-graph G ⟨proof⟩
end

definition (in igb-graph) fgl-invar v0 D0  $\equiv$ 
   $\lambda(brk, p, D, pE). fgl-invar-loc G v0 D0 brk p D pE$ 
```

1.17 Definition of the Lasso-Finding Algorithm

```
context igb-fr-graph
begin
  definition find-ce :: ('Q set  $\times$  'Q set) option nres where
    find-ce  $\equiv$  do {
      let D = {};
```

```

( $brk, -) \leftarrow \text{FOREACH}_{ci} fgl\text{-outer-}invar V0$ 
 $(\lambda(brk, -). brk = \text{None})$ 
 $(\lambda v0 (brk, D0). \text{do } \{$ 
 $\quad \text{if } v0 \notin D0 \text{ then do } \{$ 
 $\quad \quad \text{let } s = (\text{None}, \text{initial } v0 D0);$ 

 $(brk, p, D, pE) \leftarrow \text{WHILEIT } (fgl\text{-invar } v0 D0)$ 
 $(\lambda(brk, p, D, pE). brk = \text{None} \wedge p \neq \emptyset) (\lambda(-, p, D, pE).$ 
 $\text{do } \{$ 
 $\quad \text{— Select edge from end of path}$ 
 $\quad (vo, (p, D, pE)) \leftarrow \text{select-edge } (p, D, pE);$ 

 $\text{ASSERT } (p \neq \emptyset);$ 
 $\text{case } vo \text{ of }$ 
 $\quad \text{Some } v \Rightarrow \text{do } \{$ 
 $\quad \quad \text{if } v \in \bigcup(\text{set } p) \text{ then do } \{$ 
 $\quad \quad \quad \text{— Collapse}$ 
 $\quad \quad \quad \text{let } (p, D, pE) = \text{collapse } v (p, D, pE);$ 

 $\text{ASSERT } (p \neq \emptyset);$ 

 $\quad \text{if } \forall i < \text{num-acc}. \exists q \in \text{last } p. i \in \text{acc } q \text{ then}$ 
 $\quad \quad \text{RETURN } (\text{Some } (\bigcup(\text{set } (\text{butlast } p)), \text{last } p), p, D, pE)$ 
 $\quad \text{else}$ 
 $\quad \quad \text{RETURN } (\text{None}, p, D, pE)$ 
 $\quad \} \text{ else if } v \notin D \text{ then do } \{$ 
 $\quad \quad \text{— Edge to new node. Append to path}$ 
 $\quad \quad \text{RETURN } (\text{None}, \text{push } v (p, D, pE))$ 
 $\quad \} \text{ else RETURN } (\text{None}, p, D, pE)$ 
 $\quad \}$ 
 $\quad | \text{ None } \Rightarrow \text{do } \{$ 
 $\quad \quad \text{— No more outgoing edges from current node on path}$ 
 $\quad \quad \text{ASSERT } (pE \cap \text{last } p \times \text{UNIV} = \{\});$ 
 $\quad \quad \text{RETURN } (\text{None}, \text{pop } (p, D, pE))$ 
 $\quad \}$ 
 $\quad \}) s;$ 
 $\quad \text{ASSERT } (brk = \text{None} \longrightarrow (p = \emptyset \wedge pE = \{\}));$ 
 $\quad \text{RETURN } (brk, D)$ 
 $\quad \} \text{ else}$ 
 $\quad \quad \text{RETURN } (brk, D0)$ 
 $\quad \}) (\text{None}, D);$ 
 $\quad \text{RETURN } brk$ 
 $\}$ 
end

```

1.18 Invariant Preservation

```

context igb-fr-graph
begin

```

definition *fgl-invar-part* $\equiv \lambda(brk, p, D, pE).$

fgl-invar-loc-axioms G brk p D pE

lemma *fgl-outer-invarI[intro?]:*

[
 brk=None \implies *outer-invar it D;*
 [[*brk=None* \implies *outer-invar it D*]] \implies *fgl-outer-invar-ext it (brk,D)*]
 \implies *fgl-outer-invar it (brk,D)*
⟨proof⟩

lemma *fgl-invarI[intro?]:*

[
 invar v0 D0 PDPE;
 invar v0 D0 PDPE \implies *fgl-invar-part (B,PDPE)*]
 \implies *fgl-invar v0 D0 (B,PDPE)*
⟨proof⟩

lemma *fgl-invar-initial:*

assumes *OINV: fgl-outer-invar it (None,D0)*
assumes *A: v0 ∈ it v0 ∉ D0*
shows *fgl-invar-part (None, initial v0 D0)*
⟨proof⟩

lemma *fgl-invar-pop:*

assumes *INV: fgl-invar v0 D0 (None,p,D,pE)*
assumes *INV': invar v0 D0 (pop (p,D,pE))*
assumes *NE[simp]: p ≠ []*
assumes *NO': pE ∩ last p × UNIV = {}*
shows *fgl-invar-part (None, pop (p,D,pE))*
⟨proof⟩

lemma *fgl-invar-collapse-ce-aux:*

assumes *INV: invar v0 D0 (p, D, pE)*
assumes *NE[simp]: p ≠ []*
assumes *NONTRIV: vE p D pE ∩ (last p × last p) ≠ {}*
assumes *ACC: ∀ i < num-acc. ∃ q ∈ last p. i ∈ acc q*
shows *fgl-invar-part (Some (Union (set (butlast p)), last p), p, D, pE)*
⟨proof⟩

lemma *fgl-invar-collapse-ce:*

fixes *u v*
assumes *INV: fgl-invar v0 D0 (None,p,D,pE)*
defines *pE' ≡ pE - {(u,v)}*
assumes *CFMT: (p',D',pE') = collapse v (p,D,pE')*
assumes *INV': invar v0 D0 (p',D',pE')*
assumes *NE[simp]: p ≠ []*
assumes *E: (u,v) ∈ pE and u ∈ last p*
assumes *BACK: v ∈ Union (set p)*

```

assumes ACC:  $\forall i < \text{num-acc}. \exists q \in \text{last } p'. i \in \text{acc } q$ 
defines i-def:  $i \equiv \text{idx-of } p \ v$ 
shows fgl-invar-part (
  Some ( $\bigcup(\text{set } (\text{butlast } p'))$ ), last  $p'$ ),
  collapse  $v (p, D, pE')$ )
⟨proof⟩

```

```

lemma fgl-invar-collapse-nce:
  fixes  $u \ v$ 
  assumes INV: fgl-invar  $v0 \ D0$  (None,  $p, D, pE$ )
  defines  $pE' \equiv pE - \{(u, v)\}$ 
  assumes CFMT:  $(p', D', pE'') = \text{collapse } v (p, D, pE')$ 
  assumes INV': invar  $v0 \ D0$  ( $p', D', pE''$ )
  assumes NE[simp]:  $p \neq []$ 
  assumes E:  $(u, v) \in pE$  and  $u \in \text{last } p$ 
  assumes BACK:  $v \in \bigcup(\text{set } p)$ 
  assumes NACC:  $j < \text{num-acc} \quad \forall q \in \text{last } p'. j \notin \text{acc } q$ 
  defines i:  $i \equiv \text{idx-of } p \ v$ 
  shows fgl-invar-part (None, collapse  $v (p, D, pE')$ )
⟨proof⟩

```

```

lemma collapse-ne:  $([], D', pE') \neq \text{collapse } v (p, D, pE)$ 
⟨proof⟩

```

```

lemma fgl-invar-push:
  assumes INV: fgl-invar  $v0 \ D0$  (None,  $p, D, pE$ )
  assumes BRK[simp]: brk=None
  assumes NE[simp]:  $p \neq []$ 
  assumes E:  $(u, v) \in pE$  and UIL:  $u \in \text{last } p$ 
  assumes VNE:  $v \notin \bigcup(\text{set } p) \quad v \notin D$ 
  assumes INV': invar  $v0 \ D0$  (push  $v (p, D, pE - \{(u, v)\})$ )
  shows fgl-invar-part (None, push  $v (p, D, pE - \{(u, v)\})$ )
⟨proof⟩

```

```

lemma fgl-invar-skip:
  assumes INV: fgl-invar  $v0 \ D0$  (None,  $p, D, pE$ )
  assumes BRK[simp]: brk=None
  assumes NE[simp]:  $p \neq []$ 
  assumes E:  $(u, v) \in pE$  and UIL:  $u \in \text{last } p$ 
  assumes VID:  $v \in D$ 
  assumes INV': invar  $v0 \ D0$  ( $p, D, (pE - \{(u, v)\})$ )
  shows fgl-invar-part (None,  $p, D, (pE - \{(u, v)\})$ )
⟨proof⟩

```

```

lemma fgl-outer-invar-initial:
  outer-invar  $V0 \ \{\} \implies \text{fgl-outer-invar-ext } V0$  (None,  $\{\}$ )
⟨proof⟩

```

```

lemma fgl-outer-invar-brk:
  assumes INV: fgl-invar v0 D0 (Some (Vr, Vl), p, D, pE)
  shows fgl-outer-invar-ext anyIt (Some (Vr, Vl), anyD)
  ⟨proof⟩

lemma fgl-outer-invar-newnode-nobrk:
  assumes A: v0notinD0 v0init
  assumes OINV: fgl-outer-invar it (None, D0)
  assumes INV: fgl-invar v0 D0 (None, [], D', pE)
  shows fgl-outer-invar-ext (it - {v0}) (None, D')
  ⟨proof⟩

lemma fgl-outer-invar-newnode:
  assumes A: v0notinD0 v0init
  assumes OINV: fgl-outer-invar it (None, D0)
  assumes INV: fgl-invar v0 D0 (brk, p, D', pE)
  assumes CASES: ( $\exists$  Vr Vl. brk = Some (Vr, Vl))  $\vee$  p = []
  shows fgl-outer-invar-ext (it - {v0}) (brk, D')
  ⟨proof⟩

lemma fgl-outer-invar-Dnode:
  assumes fgl-outer-invar it (None, D) vinD
  shows fgl-outer-invar-ext (it - {v}) (None, D)
  ⟨proof⟩

lemma fgl-fin-no-lasso:
  assumes A: fgl-outer-invar {} (None, D)
  assumes B: is-lasso-prpl prpl
  shows False
  ⟨proof⟩

lemma fgl-fin-lasso:
  assumes A: fgl-outer-invar it (Some (Vr, Vl), D)
  shows ce-correct Vr Vl
  ⟨proof⟩

lemmas fgl-invar-preserve =
  fgl-invar-initial fgl-invar-push fgl-invar-pop
  fgl-invar-collapse-ce fgl-invar-collapse-nce fgl-invar-skip
  fgl-outer-invar-newnode fgl-outer-invar-Dnode
  invar-initial outer-invar-initial fgl-invar-initial fgl-outer-invar-initial
  fgl-fin-no-lasso fgl-fin-lasso

end

```

1.19 Main Correctness Proof

```

context igb-fr-graph
begin
  lemma outer-invar-from-fgl-invarI:
    fgl-outer-invar it (None,D)  $\implies$  outer-invar it D
     $\langle proof \rangle$ 

  lemma invar-from-fgl-invarI: fgl-invar v0 D0 (B,PDPE)  $\implies$  invar v0 D0 PDPE
     $\langle proof \rangle$ 

  theorem find-ce-correct: find-ce  $\leq$  find-ce-spec
     $\langle proof \rangle$ 
end

```

1.20 Emptiness Check

Using the lasso-finding algorithm, we can define an emptiness check

```

context igb-fr-graph
begin
  definition abs-is-empty  $\equiv$  do {
    ce  $\leftarrow$  find-ce;
    RETURN (ce = None)
  }

  theorem abs-is-empty-correct:
    abs-is-empty  $\leq$  SPEC ( $\lambda res.$  res  $\longleftrightarrow$  ( $\forall r.$   $\neg$ is-acc-run r))
     $\langle proof \rangle$ 

  definition abs-is-empty-ce  $\equiv$  do {
    ce  $\leftarrow$  find-ce;
    case ce of
      None  $\Rightarrow$  RETURN None
      | Some (Vr,Vl)  $\Rightarrow$  do {
        ASSERT ( $\exists pr pl.$  set pr  $\subseteq$  Vr  $\wedge$  set pl  $\subseteq$  Vl  $\wedge$  Vl  $\times$  Vl  $\subseteq$  (E  $\cap$  Vl  $\times$  Vl) $^*$ 
           $\wedge$  is-lasso-prpl (pr,pl));
        (pr,pl)  $\leftarrow$  SPEC ( $\lambda (pr,pl).$ 
          set pr  $\subseteq$  Vr
           $\wedge$  set pl  $\subseteq$  Vl
           $\wedge$  Vl  $\times$  Vl  $\subseteq$  (E  $\cap$  Vl  $\times$  Vl) $^*$ 
           $\wedge$  is-lasso-prpl (pr,pl));
        RETURN (Some (pr,pl))
      }
    }
  }

  theorem abs-is-empty-ce-correct: abs-is-empty-ce  $\leq$  SPEC ( $\lambda res.$  case res of
    None  $\Rightarrow$  ( $\forall r.$   $\neg$ is-acc-run r)
    | Some (pr,pl)  $\Rightarrow$  is-acc-run (pr  $\smallfrown$  pl $^\omega$ )
  )

```

```
 $\langle proof \rangle$ 
```

```
end
```

1.21 Refinement

In this section, we refine the lasso finding algorithm to use efficient data structures. First, we explicitly keep track of the set of acceptance classes for every c-node on the path. Second, we use Gabow's data structure to represent the path.

1.21.1 Addition of Explicit Accepting Sets

In a first step, we explicitly keep track of the current set of acceptance classes for every c-node on the path.

```
type-synonym ' $a$  abs-gstate = nat set list  $\times$  ' $a$  abs-state  
type-synonym ' $a$  ce = (' $a$  set  $\times$  ' $a$  set) option  
type-synonym ' $a$  abs-gostate = ' $a$  ce  $\times$  ' $a$  set
```

```
context igb-fr-graph  
begin
```

```
definition gstate-invar :: ' $Q$  abs-gstate  $\Rightarrow$  bool where  
 $gstate\text{-}invar \equiv \lambda(a,p,D,pE). a = map (\lambda V. \bigcup (acc`V)) p$ 
```

```
definition gstate-rel  $\equiv$  br snd gstate-invar
```

```
lemma gstate-rel-sv[relator-props,simp,intro!]: single-valued gstate-rel  
 $\langle proof \rangle$ 
```

```
definition (in  $-$ ) gcollapse-aux  
 $\cdot \cdot \cdot : nat set list \Rightarrow 'a set list \Rightarrow nat \Rightarrow nat set list \times 'a set list$   
where gcollapse-aux  $a$   $p$   $i \equiv$   
 $(take i a @ [\bigcup (set (drop i a))], take i p @ [\bigcup (set (drop i p))])$ 
```

```
definition (in  $-$ ) gcollapse :: ' $a$   $\Rightarrow$  ' $a$  abs-gstate  $\Rightarrow$  ' $a$  abs-gstate  
where gcollapse  $v$  APDPE  $\equiv$   
let  
 $(a,p,D,pE)=APDPE;$   
 $i=idx\text{-}of p v;$   
 $(a,p) = gcollapse\text{-}aux a p i$   
 $in (a,p,D,pE)$ 
```

```
definition gpush  $v$   $s \equiv$   
let  
 $(a,s) = s$   
in  
 $(a@[acc v], push v s)$ 
```

```

definition gpop s ≡
  let (a,s) = s in (butlast a, pop s)

definition ginitial :: 'Q ⇒ 'Q abs-gstate ⇒ 'Q abs-gstate
  where ginitial v0 s0 ≡ ([acc v0], initial v0 (snd s0))

definition goinitial :: 'Q abs-gstate where goinitial ≡ (None, {})
definition go-is-no-brk :: 'Q abs-gstate ⇒ bool
  where go-is-no-brk s ≡ fst s = None
definition goD :: 'Q abs-gstate ⇒ 'Q set where goD s ≡ snd s
definition goBrk :: 'Q abs-gstate ⇒ 'Q ce where goBrk s ≡ fst s
definition gto-outer :: 'Q ce ⇒ 'Q abs-gstate ⇒ 'Q abs-gstate
  where gto-outer brk s ≡ let (A,p,D,pE)=s in (brk,D)

definition gselect-edge s ≡ do {
  let (a,s)=s;
  (r,s)←select-edge s;
  RETURN (r,a,s)
}

definition gfind-ce :: ('Q set × 'Q set) option nres where
  gfind-ce ≡ do {
    let os = goinitial;
    os←FOREACHci fgl-outer-invar V0 (go-is-no-brk) (λv0 s0. do {
      if v0∉goD s0 then do {
        let s = (None,ginitial v0 s0);

        (brk,a,p,D,pE) ← WHILEIT (λ(brk,a,s). fgl-invar v0 (goD s0) (brk,s))
          (λ(brk,a,p,D,pE). brk=None ∧ p ≠ []) (λ(-,a,p,D,pE).
        do {
          — Select edge from end of path
          (vo,(a,p,D,pE)) ← gselect-edge (a,p,D,pE);

          ASSERT (p≠[]);
          case vo of
            Some v ⇒ do {
              if v ∈ ∪(set p) then do {
                — Collapse
                let (a,p,D,pE) = gcollapse v (a,p,D,pE);

                ASSERT (p≠[]);
                ASSERT (a≠[]);

                if last a = {0..<num-acc} then
                  RETURN (Some (∪(set (butlast p)),last p),a,p,D,pE)
                else
                  RETURN (None,a,p,D,pE)
              } else if v∉D then do {

```

```

— Edge to new node. Append to path
 $\text{RETURN } (\text{None}, \text{gpush } v (a, p, D, pE))$ 
 $\} \text{ else RETURN } (\text{None}, a, p, D, pE)$ 
 $\}$ 
 $| \text{ None } \Rightarrow \text{do } \{$ 
    — No more outgoing edges from current node on path
     $\text{ASSERT } (pE \cap \text{last } p \times \text{UNIV} = \{\});$ 
     $\text{RETURN } (\text{None}, \text{gpop } (a, p, D, pE))$ 
 $\}$ 
 $\}) s;$ 
 $\text{ASSERT } (\text{brk} = \text{None} \longrightarrow (p = [] \wedge pE = \{\}));$ 
 $\text{RETURN } (\text{gto-outer brk } (a, p, D, pE))$ 
 $\} \text{ else RETURN } s0$ 
 $\}) os;$ 
 $\text{RETURN } (\text{goBrk } os)$ 
 $\}$ 

lemma gcollapse-refine:
 $\llbracket (v', v) \in \text{Id}; (s', s) \in \text{gstate-rel} \rrbracket \implies (\text{gcollapse } v' s', \text{collapse } v s) \in \text{gstate-rel}$ 
 $\langle \text{proof} \rangle$ 

lemma gpush-refine:
 $\llbracket (v', v) \in \text{Id}; (s', s) \in \text{gstate-rel} \rrbracket \implies (\text{gpush } v' s', \text{push } v s) \in \text{gstate-rel}$ 
 $\langle \text{proof} \rangle$ 

lemma gpop-refine:
 $\llbracket (s', s) \in \text{gstate-rel} \rrbracket \implies (\text{gpop } s', \text{pop } s) \in \text{gstate-rel}$ 
 $\langle \text{proof} \rangle$ 

lemma ginitial-refine:
 $(\text{ginitial } x (\text{None}, b), \text{initial } x b) \in \text{gstate-rel}$ 
 $\langle \text{proof} \rangle$ 

lemma oinitial-b-refine:  $((\text{None}, \{\}), (\text{None}, \{\})) \in \text{Id} \times_r \text{Id}$   $\langle \text{proof} \rangle$ 

lemma gselect-edge-refine:  $\llbracket (s', s) \in \text{gstate-rel} \rrbracket \implies \text{gselect-edge } s'$ 
 $\leq \Downarrow (\langle \text{Id} \rangle \text{option-rel} \times_r \text{gstate-rel}) (\text{select-edge } s)$ 
 $\langle \text{proof} \rangle$ 

lemma last-acc-impl:
assumes  $p \neq []$ 
assumes  $((a', p', D', pE'), (p, D, pE)) \in \text{gstate-rel}$ 
shows  $(\text{last } a' = \{0..<\text{num-acc}\}) = (\forall i < \text{num-acc}. \exists q \in \text{last } p. i \in \text{acc } q)$ 
 $\langle \text{proof} \rangle$ 

lemma fgrl-aux1:
assumes  $V: (v', v) \in \text{Id}$  and  $S: (s', s) \in \text{gstate-rel}$ 
and  $P: \bigwedge a' p' D' pE' p D pE. ((a', p', D', pE'), (p, D, pE)) \in \text{gstate-rel}$ 

```

```

 $\implies f' a' p' D' pE' \leq \Downarrow R (f p D pE)$ 
shows  $(\text{let } (a',p',D',pE') = \text{gcollapse } v' s' \text{ in } f' a' p' D' pE')$ 
 $\leq \Downarrow R (\text{let } (p,D,pE) = \text{collapse } v s \text{ in } f p D pE)$ 
 $\langle proof \rangle$ 

```

```

lemma gstate-invar-empty:
  gstate-invar  $(a[],D,pE) \implies a = []$ 
  gstate-invar  $([],p,D,pE) \implies p = []$ 
 $\langle proof \rangle$ 

```

```

lemma find-ce-refine: gfind-ce  $\leq \Downarrow Id$  find-ce
 $\langle proof \rangle$ 
end

```

1.21.2 Refinement to Gabow's Data Structure

Preliminaries definition Un-set-drop-impl :: nat \Rightarrow 'a set list \Rightarrow 'a set nres

- Executable version of \bigcup set (drop i A), using indexing to access A

where Un-set-drop-impl i A \equiv

```

do {
  (-,res)  $\leftarrow$  WHILET  $(\lambda(i,res). i < \text{length } A) (\lambda(i,res). \text{do} \{$ 
    ASSERT  $(i < \text{length } A);$ 
    let res  $= A!i \cup res;$ 
    let i  $= i + 1;$ 
    RETURN  $(i,res)$ 
   $\}) (i,\{\});$ 
  RETURN res
}

```

```

lemma Un-set-drop-impl-correct:
  Un-set-drop-impl i A  $\leq$  SPEC  $(\lambda r. r = \bigcup (\text{set} (\text{drop } i A)))$ 
 $\langle proof \rangle$ 

```

schematic-goal Un-set-drop-code-aux:

- assumes** [autoref-rules]: $(es\text{-impl}, \{\}) \in \langle R \rangle Rs$
- assumes** [autoref-rules]: $(un\text{-impl}, (\cup)) \in \langle R \rangle Rs \rightarrow \langle R \rangle Rs \rightarrow \langle R \rangle Rs$
- shows** $(?c, \text{Un-set-drop-impl}) \in \text{nat-rel} \rightarrow \langle \langle R \rangle Rs \rangle \text{as-rel} \rightarrow \langle \langle R \rangle Rs \rangle \text{nres-rel}$
- $\langle proof \rangle$**

concrete-definition Un-set-drop-code uses Un-set-drop-code-aux

schematic-goal Un-set-drop-tr-aux:

```

RETURN ?c  $\leq$  Un-set-drop-code es-impl un-impl i A
 $\langle proof \rangle$ 

```

concrete-definition Un-set-drop-tr for es-impl un-impl i A

uses Un-set-drop-tr-aux

```

lemma Un-set-drop-autoref[autoref-rules]:
  assumes GEN-OP es-impl {}  $(\langle R \rangle Rs)$ 
  assumes GEN-OP un-impl  $(\cup) (\langle R \rangle Rs \rightarrow \langle R \rangle Rs \rightarrow \langle R \rangle Rs)$ 

```

```

shows ( $\lambda i A. \text{RETURN} (\text{Un-set-drop-tr es-impl un-impl } i A), \text{Un-set-drop-impl}$ )
 $\in \text{nat-rel} \rightarrow \langle\langle R \rangle\rangle \text{as-rel} \rightarrow \langle\langle R \rangle\rangle \text{nres-rel}$ 
 $\langle proof \rangle$ 

```

Actual Refinement **type-synonym** ' Q gGS = nat set list \times ' Q GS

type-synonym ' Q goGS = ' Q ce \times ' Q oGS

context igb-graph

begin

definition gGS-invar :: ' Q gGS \Rightarrow bool

where gGS-invar $s \equiv$

let $(a, S, B, I, P) = s$ **in**

$G\bar{S}\text{-invar } (S, B, I, P)$

$\wedge \text{length } a = \text{length } B$

$\wedge \bigcup(\text{set } a) \subseteq \{0..<\text{num-acc}\}$

definition gGS- α :: ' Q gGS \Rightarrow ' Q abs-gstate

where gGS- α $s \equiv \text{let } (a, s) = s \text{ in } (a, GS.\alpha s)$

definition gGS-rel \equiv br gGS- α gGS-invar

lemma gGS-rel-sv[relator-props,intro!,simp]: single-valued gGS-rel

$\langle proof \rangle$

definition goGS-invar :: ' Q goGS \Rightarrow bool **where**

goGS-invar $s \equiv \text{let } (\text{brk}, ogs) = s \text{ in } \text{brk} = \text{None} \longrightarrow oGS\text{-invar } ogs$

definition goGS- α $s \equiv \text{let } (\text{brk}, ogs) = s \text{ in } (\text{brk}, oGS\text{-}\alpha ogs)$

definition goGS-rel \equiv br goGS- α goGS-invar

lemma goGS-rel-sv[relator-props,intro!,simp]: single-valued goGS-rel

$\langle proof \rangle$

end

context igb-fr-graph

begin

lemma gGS-relE:

assumes $(s', (a, p, D, pE)) \in gGS\text{-rel}$

obtains $S' B' I' P'$ **where** $s' = (a, S', B', I', P')$

and $((S', B', I', P'), (p, D, pE)) \in GS\text{-rel}$

and $\text{length } a = \text{length } B'$

and $\bigcup(\text{set } a) \subseteq \{0..<\text{num-acc}\}$

$\langle proof \rangle$

```

definition goinitial-impl :: ' $Q$  goGS
  where goinitial-impl  $\equiv$  (None,Map.empty)
lemma goinitial-impl-refine: (goinitial-impl,goinitial)  $\in$  goGS-rel
   $\langle proof \rangle$ 

definition gto-outer-impl :: ' $Q$  ce  $\Rightarrow$  ' $Q$  gGS  $\Rightarrow$  ' $Q$  goGS
  where gto-outer-impl brk s  $\equiv$  let (A,S,B,I,P)=s in (brk,I)

lemma gto-outer-refine:
  assumes A: brk = None  $\longrightarrow$  (p=[]  $\wedge$  pE={})
  assumes B: (s, (A,p, D, pE))  $\in$  gGS-rel
  assumes C: (brk',brk)  $\in$  Id
  shows (gto-outer-impl brk' s, gto-outer brk (A,p,D,pE))  $\in$  goGS-rel
   $\langle proof \rangle$ 

definition gpush-impl v s  $\equiv$  let (a,s)=s in (a@[acc v], push-impl v s)

lemma gpush-impl-refine:
  assumes B: (s',(a,p,D,pE))  $\in$  gGS-rel
  assumes A: (v',v)  $\in$  Id
  assumes PRE:  $v' \notin \bigcup (\text{set } p) \quad v' \notin D$ 
  shows (gpush-impl v' s', gpush v (a,p,D,pE))  $\in$  gGS-rel
   $\langle proof \rangle$ 

definition gpop-impl :: ' $Q$  gGS  $\Rightarrow$  ' $Q$  gGS nres
  where gpop-impl s  $\equiv$  do {
    let (a,s)=s;
    s  $\leftarrow$  pop-impl s;
    ASSERT (a  $\neq$  []);
    let a = butlast a;
    RETURN (a,s)
  }

lemma gpop-impl-refine:
  assumes A: (s',(a,p,D,pE))  $\in$  gGS-rel
  assumes PRE:  $p \neq [] \quad pE \cap \text{last } p \times \text{UNIV} = \{\}$ 
  shows gpop-impl s'  $\leq$  !gGS-rel (RETURN (gpop (a,p,D,pE)))
   $\langle proof \rangle$ 

definition gselect-edge-impl :: ' $Q$  gGS  $\Rightarrow$  (' $Q$  option  $\times$  ' $Q$  gGS) nres
  where gselect-edge-impl s  $\equiv$ 
  do {
    let (a,s)=s;
    (vo,s)  $\leftarrow$  select-edge-impl s;
    RETURN (vo,a,s)
  }

```

}

thm *select-edge-refine*
lemma *gselect-edge-impl-refine*:
assumes $A: (s', a, p, D, pE) \in gGS\text{-rel}$
assumes $PRE: p \neq \emptyset$
shows $gselect\text{-edge-impl } s' \leq \Downarrow(Id \times_r gGS\text{-rel}) (gselect\text{-edge } (a, p, D, pE))$
(proof)

term *GS.idx-of-impl*

thm *GS-invar.idx-of-correct*

definition *gcollapse-impl-aux* :: ' $Q \Rightarrow 'Q gGS \Rightarrow 'Q gGS nres$ **where**
gcollapse-impl-aux $v s \equiv$
do {
let $(A, s) = s$;
ASSERT $\bigvee_{i=0}^{\text{length } A-1} \bigwedge_{j=i+1}^{\text{length } A} (GS.(p)(s[i], s[j]))$
i $\leftarrow GS.\text{idx-of-impl } s v$;
s $\leftarrow collapse\text{-impl } v s$;
ASSERT $(i < \text{length } A)$;
us $\leftarrow Un\text{-set-drop-impl } i A$;
let $A = take\ i\ A @ [us]$;
RETURN (A, s)
}

term *collapse*
lemma *gcollapse-alt*:
gcollapse $v APDPE = ($
let
 $(a, p, D, pE) = APDPE$;
 $i = idx\text{-of } p v$;
 $s = collapse\ v (p, D, pE)$;
 $us = \bigcup (\text{set } (\text{drop } i a))$;
 $a = take\ i\ a @ [us]$
in (a, s)
(proof)

thm *collapse-refine*
lemma *gcollapse-impl-aux-refine*:
assumes $A: (s', a, p, D, pE) \in gGS\text{-rel}$
assumes $B: (v', v) \in Id$
assumes $PRE: v \in \bigcup (\text{set } p)$
shows $gcollapse\text{-impl-aux } v' s'$
 $\leq \Downarrow gGS\text{-rel } (RETURN (gcollapse\ v (a, p, D, pE)))$
(proof)

```

definition gcollapse-impl :: ' $Q \Rightarrow 'Q$  gGS  $\Rightarrow 'Q$  gGS nres
  where gcollapse-impl v s  $\equiv$ 
    do {
      let (A,S,B,I,P)=s;
      i  $\leftarrow$  GS.idx-of-impl (S,B,I,P) v;
      ASSERT ( $i+1 \leq \text{length } B$ );
      let B = take ( $i+1$ ) B;
      ASSERT ( $i < \text{length } A$ );
      us $\leftarrow$  Un-set-drop-impl i A;
      let A = take i A @ [us];
      RETURN (A,S,B,I,P)
    }
  
```

lemma gcollapse-impl-aux-opt-refine:
 $\text{gcollapse-impl } v \text{ s} \leq \text{gcollapse-impl-aux } v \text{ s}$
 $\langle \text{proof} \rangle$

lemma gcollapse-impl-refine:
assumes A: $(s', a, p, D, pE) \in \text{gGS-rel}$
assumes B: $(v', v) \in \text{Id}$
assumes PRE: $v \in \bigcup (\text{set } p)$
shows gcollapse-impl $v' \text{ s}'$
 $\leq \Downarrow \text{gGS-rel} (\text{RETURN} (\text{gcollapse } v (a, p, D, pE)))$
 $\langle \text{proof} \rangle$

definition ginitial-impl :: ' $Q \Rightarrow 'Q$ goGS $\Rightarrow 'Q$ gGS
 where ginitial-impl v0 s0 \equiv ([acc v0], initial-impl v0 (snd s0))

lemma ginitial-impl-refine:
assumes A: $v0 \notin \text{goD } s0$ go-is-no-brk s0
assumes REL: $(s0i, s0) \in \text{goGS-rel} \quad (v0i, v0) \in \text{Id}$
shows (ginitial-impl v0i s0i, ginitial v0 s0) $\in \text{gGS-rel}$
 $\langle \text{proof} \rangle$

definition gpath-is-empty-impl :: ' Q gGS \Rightarrow bool
 where gpath-is-empty-impl s = path-is-empty-impl (snd s)

lemma gpath-is-empty-refine:
 $(s, (a, p, D, pE)) \in \text{gGS-rel} \implies \text{gpath-is-empty-impl } s \longleftrightarrow p = []$
 $\langle \text{proof} \rangle$

definition gis-on-stack-impl :: ' Q gGS \Rightarrow bool
 where gis-on-stack-impl v s = is-on-stack-impl v (snd s)

lemma gis-on-stack-refine:
 $\llbracket (s, (a, p, D, pE)) \in \text{gGS-rel} \rrbracket \implies \text{gis-on-stack-impl } v \text{ s} \longleftrightarrow v \in \bigcup (\text{set } p)$
 $\langle \text{proof} \rangle$

definition gis-done-impl :: ' Q gGS \Rightarrow bool
 where gis-done-impl v s \equiv is-done-impl v (snd s)

```

thm is-done-refine
lemma gis-done-refine:  $(s, (a, p, D, pE)) \in gGS\text{-rel}$ 
 $\implies \text{gis-done-impl } v \ s \longleftrightarrow (v \in D)$ 
<proof>

definition (in -) on-stack-less  $I \ u \ v \equiv$ 
case  $I \ v$  of
   $\text{Some } (\text{STACK } j) \Rightarrow j < u$ 
   $| \ - \Rightarrow \text{False}$ 

definition (in -) on-stack-ge  $I \ l \ v \equiv$ 
case  $I \ v$  of
   $\text{Some } (\text{STACK } j) \Rightarrow l \leq j$ 
   $| \ - \Rightarrow \text{False}$ 

lemma (in GS-invar) set-butlast-p-refine:
assumes PRE:  $p\text{-}\alpha \neq []$ 
shows Collect (on-stack-less  $I$  (last  $B$ )) =  $\bigcup (\text{set } (\text{butlast } p\text{-}\alpha))$  (is  $?L=?R$ )
<proof>

lemma (in GS-invar) set-last-p-refine:
assumes PRE:  $p\text{-}\alpha \neq []$ 
shows Collect (on-stack-ge  $I$  (last  $B$ )) = last  $p\text{-}\alpha$  (is  $?L=?R$ )
<proof>

definition ce-impl :: ' $Q$  gGS  $\Rightarrow (('Q \text{ set} \times 'Q \text{ set}) \text{ option} \times 'Q \text{ gGS}) \text{ nres}$ 
where ce-impl  $s \equiv$ 
do {
  let  $(a, S, B, I, P) = s$ ;
  ASSERT ( $B \neq []$ );
  let  $bls = \text{Collect } (\text{on-stack-less } I \ (\text{last } B))$ ;
  let  $ls = \text{Collect } (\text{on-stack-ge } I \ (\text{last } B))$ ;
  RETURN ( $\text{Some } (bls, ls), a, S, B, I, P$ )
}

lemma ce-impl-refine:
assumes A:  $(s, (a, p, D, pE)) \in gGS\text{-rel}$ 
assumes PRE:  $p \neq []$ 
shows ce-impl  $s \leq \Downarrow (\text{Id} \times_r gGS\text{-rel})$ 
 $(\text{RETURN } (\text{Some } (\bigcup (\text{set } (\text{butlast } p)), \text{last } p), a, p, D, pE))$ 
<proof>

definition last-is-acc-impl  $s \equiv$ 
do {
  let  $(a, \_)=s$ ;
  ASSERT ( $a \neq []$ );
  RETURN ( $\forall i < \text{num-acc. } i \in \text{last } a$ )
}

```

}

```

lemma last-is-acc-impl-refine:
  assumes A:  $(s, (a, p, D, pE)) \in gGS\text{-rel}$ 
  assumes PRE:  $a \neq []$ 
  shows last-is-acc-impl  $s \leq \text{RETURN}$  ( $\text{last } a = \{0..<\text{num-acc}\}$ )
   $\langle \text{proof} \rangle$ 

definition go-is-no-brk-impl :: ' $Q$  goGS  $\Rightarrow$  bool'
  where go-is-no-brk-impl  $s \equiv \text{fst } s = \text{None}$ 
lemma go-is-no-brk-refine:
   $(s, s') \in goGS\text{-rel} \implies \text{go-is-no-brk-impl } s \longleftrightarrow \text{go-is-no-brk } s'$ 
   $\langle \text{proof} \rangle$ 

definition goD-impl :: ' $Q$  goGS  $\Rightarrow$  ' $Q$  oGS' where goD-impl  $s \equiv \text{snd } s$ 
lemma goD-refine:
   $\text{go-is-no-brk } s' \implies (s, s') \in goGS\text{-rel} \implies (\text{goD-impl } s, \text{goD } s') \in oGS\text{-rel}$ 
   $\langle \text{proof} \rangle$ 

definition go-is-done-impl :: ' $Q \Rightarrow Q$  goGS  $\Rightarrow$  bool'
  where go-is-done-impl  $v s \equiv \text{is-done-oimpl } v (\text{snd } s)$ 
thm is-done-orefine
lemma go-is-done-impl-refine:  $\llbracket \text{go-is-no-brk } s'; (s, s') \in goGS\text{-rel}; (v, v') \in Id \rrbracket$ 
   $\implies \text{go-is-done-impl } v s \longleftrightarrow (v' \in goD s')$ 
   $\langle \text{proof} \rangle$ 

definition goBrk-impl :: ' $Q$  goGS  $\Rightarrow$  ' $Q$  ce' where goBrk-impl  $\equiv \text{fst}$ 
lemma goBrk-refine:  $(s, s') \in goGS\text{-rel} \implies (\text{goBrk-impl } s, \text{goBrk } s') \in Id$ 
   $\langle \text{proof} \rangle$ 

definition find-ce-impl :: (' $Q$  set  $\times$  ' $Q$  set) option nres where
  find-ce-impl  $\equiv \text{do } \{$ 
    stat-start-nres;
    let os=goinitial-impl;
    os $\leftarrow$  FOREACHci  $(\lambda it \text{ os. } fgl\text{-outer-invar it } (goGS\text{-}\alpha \text{ os})) \text{ V0}$ 
     $(\text{go-is-no-brk-impl}) (\lambda v0 s0.$ 
    do {
      if  $\neg \text{go-is-done-impl } v0 s0$  then do {
        let  $s = (\text{None}, ginitial-impl v0 s0);$ 
         $(brk, s) \leftarrow \text{WHILEIT}$ 
         $(\lambda(brk, s). fgl\text{-invar } v0 (oGS\text{-}\alpha (goD-impl s0)) (brk, snd (gGS\text{-}\alpha s)))$ 
         $(\lambda(brk, s). brk = \text{None} \wedge \neg \text{gpath-is-empty-impl } s) (\lambda(l, s).$ 
        do {
          — Select edge from end of path
           $(vo, s) \leftarrow \text{gselect-edge-impl } s;$ 

```

```

case vo of
  Some v => do {
    if gis-on-stack-impl v s then do {
      s ← gcollapse-impl v s;
      b ← last-is-acc-impl s;
      if b then
        ce-impl s
      else
        RETURN (None,s)
    } else if ¬gis-done-impl v s then do {
      — Edge to new node. Append to path
      RETURN (None,gpush-impl v s)
    } else do {
      — Edge to done node. Skip
      RETURN (None,s)
    }
  }
  | None => do {
    — No more outgoing edges from current node on path
    s ← gpop-impl s;
    RETURN (None,s)
  }
}) (s);
RETURN (gto-outer-impl brk s)
} else RETURN s0
}) os;
stat-stop-nres;
RETURN (goBrk-impl os)
}

```

lemma *find-ce-impl-refine*: *find-ce-impl* $\leq \Downarrow \text{Id}$ *gfind-ce*
 $\langle \text{proof} \rangle$

end

1.22 Constructing a Lasso from Counterexample

1.22.1 Lassos in GBAs

context *igb-fr-graph* **begin**

```

definition reconstruct-reach :: 'Q set  $\Rightarrow$  'Q set  $\Rightarrow$  ('Q list  $\times$  'Q) nres
  — Reconstruct the reaching path of a lasso
  where reconstruct-reach Vr Vl  $\equiv$  do {
    res  $\leftarrow$  find-path (E  $\cap$  Vr  $\times$  UNIV) V0 ( $\lambda v. v \in Vl$ );
    ASSERT (res  $\neq$  None);
    RETURN (the res)
  }

```

lemma reconstruct-reach-correct:
assumes CEC: ce-correct $V_r V_l$
shows reconstruct-reach $V_r V_l$
 $\leq \text{SPEC } (\lambda(pr, va). \exists v_0 \in V_0. \text{path } E v_0 pr va \wedge va \in V_l)$
 $\langle \text{proof} \rangle$

definition rec-loop-invar $V_l va s \equiv \text{let } (v, p, cS) = s \text{ in}$
 $va \in E^* ``V_0 \wedge$
 $\text{path } E va p v \wedge$
 $cS = acc v \cup (\bigcup (acc`set p)) \wedge$
 $va \in V_l \wedge v \in V_l \wedge set p \subseteq V_l$

definition reconstruct-lasso :: ' Q set \Rightarrow ' Q set \Rightarrow (' Q list \times ' Q list) nres
— Reconstruct lasso
where reconstruct-lasso $V_r V_l \equiv \text{do } \{$
 $(pr, va) \leftarrow \text{reconstruct-reach } V_r V_l;$

let $cS\text{-full} = \{0..<\text{num-acc}\};$
let $E = E \cap \text{UNIV} \times V_l;$

$(vd, p, -) \leftarrow \text{WHILEIT } (\text{rec-loop-invar } V_l va)$
 $(\lambda(-, -, cS). cS \neq cS\text{-full})$
 $(\lambda(v, p, cS). \text{do } \{$
 $\text{ASSERT } (\exists v'. (v, v') \in E^* \wedge \neg (acc v' \subseteq cS));$
 $sr \leftarrow \text{find-path } E \{v\} (\lambda v. \neg (acc v \subseteq cS));$
 $\text{ASSERT } (sr \neq \text{None});$
 $\text{let } (p\text{-seg}, v) = \text{the } sr;$
 $\text{RETURN } (v, p @ p\text{-seg}, cS \cup acc v)$
 $\}) (va, [], acc va);$

$p\text{-close-}r \leftarrow (\text{if } p = [] \text{ then}$
 $\quad \text{find-path1 } E vd ((=) va)$
 else
 $\quad \text{find-path } E \{vd\} ((=) va));$

$\text{ASSERT } (p\text{-close-}r \neq \text{None});$
let $(p\text{-close}, -) = \text{the } p\text{-close-}r;$

$\text{RETURN } (pr, p @ p\text{-close})$
 $\}$

lemma (in igb-fr-graph) reconstruct-lasso-correct:
assumes CEC: ce-correct $V_r V_l$
shows reconstruct-lasso $V_r V_l \leq \text{SPEC } (\text{is-lasso-prpl})$
 $\langle \text{proof} \rangle$

definition find-lasso **where** find-lasso $\equiv \text{do } \{$
 $ce \leftarrow \text{find-ce-spec};$

```

case ce of
  None => RETURN None
  | Some (Vr,Vl) => do {
    l ← reconstruct-lasso Vr Vl;
    RETURN (Some l)
  }
}

lemma (in igb-fr-graph) find-lasso-correct: find-lasso ≤ find-lasso-spec
  ⟨proof⟩

end
end

```

1.23 Code Generation for the Skeleton Algorithm

```

theory Gabow-Skeleton-Code
imports
  Gabow-Skeleton
  CAVA-Automata.Digraph-Impl
  CAVA-Base.CAVA-Code-Target
begin

```

1.24 Statistics

In this section, we do the ML setup that gathers statistics about the algorithm's execution.

```

code-printing
code-module Gabow-Skeleton-Statistics → (SML) ‹
structure Gabow-Skeleton-Statistics = struct
  val active = Unsynchronized.ref false
  val num-vis = Unsynchronized.ref 0

  val time = Unsynchronized.ref Time.zeroTime

  fun is-active () = !active
  fun newnode () =
  (
    num-vis := !num-vis + 1;
    if !num-vis mod 10000 = 0 then tracing (IntInf.toString (!num-vis) ^ "\n")
  else ()
  )

  fun start () = (active := true; time := Time.now ())
  fun stop () = (time := Time.- (Time.now (), !time))

  fun to-string () = let
    val t = Time.toMilliseconds (!time)
  in
    ...
  end

```

```

val states-per-ms = real (!num-vis) / real t
val realStr = Real fmt (StringCvt.FIX (SOME 2))
in
  Required time: ^ IntInf.toInt (t) ^ ms\n
  ^ States per ms: ^ realStr states-per-ms ^ \n
  ^ # states: ^ IntInf.toInt (!num-vis) ^ \n
end

val _ = Statistics.register-stat (Gabow-Skeleton,is-active,to-string)

end
>
code-reserved (SML) Gabow-Skeleton-Statistics

code-printing
constant stat-newnode → (SML) Gabow'-Skeleton'-Statistics.newnode
| constant stat-start → (SML) Gabow'-Skeleton'-Statistics.start
| constant stat-stop → (SML) Gabow'-Skeleton'-Statistics.stop

```

1.25 Automatic Refinement Setup

```

consts i-node-state :: interface

definition node-state-rel ≡ {(-1:int,DONE)} ∪ {(int k,STACK k) | k. True }

lemma node-state-rel-simps[simp]:
  (i,DONE) ∈ node-state-rel ↔ i = -1
  (i,STACK n) ∈ node-state-rel ↔ i = int n
  ⟨proof⟩

lemma node-state-rel-sv[simp,intro!,relator-props]:
  single-valued node-state-rel
  ⟨proof⟩

lemmas [autoref-rel-intf] = REL-INTFI[of node-state-rel i-node-state]

primrec is-DONE where
  is-DONE DONE = True
  | is-DONE (STACK -) = False

lemma node-state-rel-refine[autoref-rules]:
  (-1,DONE) ∈ node-state-rel
  (int,STACK) ∈ nat-rel → node-state-rel
  (λi. i < 0, is-DONE) ∈ node-state-rel → bool-rel
  ((λf g i. if i ≥ 0 then f (nat i) else g), case-node-state)
  ∈ (nat-rel → R) → R → node-state-rel → R
  ⟨proof⟩

lemma [autoref-op-pat]:
  (x=DONE) ≡ is-DONE x

```

$(DONE=x) \equiv is\text{-}DONE\ x$
 $\langle proof \rangle$

consts $i\text{-node} :: interface$

```

locale fr-graph-impl-loc = fr-graph G
  for mrel and node-rel :: ('vi × 'v) set
    and node-eq-impl :: 'vi ⇒ 'vi ⇒ bool
    and node-hash-impl :: nat ⇒ 'vi ⇒ nat
    and node-def-hash-size :: nat
    and G-impl and G :: ('v,'more) graph-rec-scheme

  +
  assumes G-refine:  $(G\text{-impl}, G) \in \langle mrel, node\text{-rel} \rangle g\text{-impl-rel-ext}$ 
    and node-eq-refine:  $(node\text{-eq-impl}, (=)) \in node\text{-rel} \rightarrow node\text{-rel} \rightarrow \text{bool-rel}$ 
    and node-hash: is-bounded-hashcode node-rel node-eq-impl node-hash-impl
    and node-hash-def-size: (is-valid-def-hm-size TYPE('vi) node-def-hash-size)
begin

```

lemmas [autoref-rel-intf] = REL-INTFI[of node-rel i-node]

lemmas [autoref-rules] = G-refine node-eq-refine

lemmas [autoref-ga-rules] = node-hash node-hash-def-size

```

lemma locale-this: fr-graph-impl-loc mrel node-rel node-eq-impl node-hash-impl
  node-def-hash-size G-impl G
  ⟨proof⟩

```

abbreviation oGSi-rel ≡ ⟨node-rel, node-state-rel⟩(ahm-rel node-hash-impl)

```

abbreviation GSi-rel ≡
  ⟨node-rel⟩as-rel
  ×r ⟨nat-rel⟩as-rel
  ×r oGSi-rel
  ×r ⟨nat-rel ×r ⟨node-rel⟩list-set-rel⟩as-rel

```

lemmas [autoref-op-pat] = GS.S-def GS.B-def GS.I-def GS.P-def

end

1.26 Generating the Code

thm autoref-ga-rules

```

context fr-graph-impl-loc
begin

  schematic-goal push-code-aux: (?c, push-impl) ∈ node-rel → GSi-rel → GSi-rel
    ⟨proof⟩
  concrete-definition (in –) push-code uses fr-graph-impl-loc.push-code-aux
  lemmas [autoref-rules] = push-code.refine[OF locale-this]

  schematic-goal pop-code-aux: (?c, pop-impl) ∈ GSi-rel → ⟨GSi-rel⟩ nres-rel
    ⟨proof⟩
  concrete-definition (in –) pop-code uses fr-graph-impl-loc.pop-code-aux
  lemmas [autoref-rules] = pop-code.refine[OF locale-this]

  schematic-goal S-idx-of-code-aux:
    notes [autoref-rules] = IdI[of undefined::nat]
    shows (?c, GS.S-idx-of) ∈ GSi-rel → node-rel → nat-rel
      ⟨proof⟩
    concrete-definition (in –) S-idx-of-code
      uses fr-graph-impl-loc.S-idx-of-code-aux
    lemmas [autoref-rules] = S-idx-of-code.refine[OF locale-this]

  schematic-goal idx-of-code-aux:
    notes [autoref-rules] = IdI[of undefined::nat]
    shows (?c, GS.idx-of-impl) ∈ GSi-rel → node-rel → ⟨nat-rel⟩ nres-rel
      ⟨proof⟩
    concrete-definition (in –) idx-of-code uses fr-graph-impl-loc.idx-of-code-aux
    lemmas [autoref-rules] = idx-of-code.refine[OF locale-this]

  schematic-goal collapse-code-aux:
    (?c, collapse-impl) ∈ node-rel → GSi-rel → ⟨GSi-rel⟩ nres-rel
    ⟨proof⟩
  concrete-definition (in –) collapse-code
    uses fr-graph-impl-loc.collapse-code-aux
  lemmas [autoref-rules] = collapse-code.refine[OF locale-this]

  term select-edge-impl
  schematic-goal select-edge-code-aux:
    (?c, select-edge-impl)
      ∈ GSi-rel → ⟨⟨node-rel⟩ option-rel ×r GSi-rel⟩ nres-rel
    ⟨proof⟩
  concrete-definition (in –) select-edge-code
    uses fr-graph-impl-loc.select-edge-code-aux
  lemmas [autoref-rules] = select-edge-code.refine[OF locale-this]

context begin interpretation autoref-syn ⟨proof⟩

  term fr-graph.pop-impl
  lemma [autoref-op-pat]:
    push-impl ≡ OP push-impl
    collapse-impl ≡ OP collapse-impl

```

```

 $\text{select-edge-impl} \equiv \text{OP select-edge-impl}$ 
 $\text{pop-impl} \equiv \text{OP pop-impl}$ 
 $\langle \text{proof} \rangle$ 

end

schematic-goal skeleton-code-aux:
 $(?c, \text{skeleton-impl}) \in \langle \text{oGSI-rel} \rangle \text{nres-rel}$ 
 $\langle \text{proof} \rangle$ 

concrete-definition (in -) skeleton-code
for node-eq-impl G-impl
uses fr-graph-impl-loc.skeleton-code-aux

thm skeleton-code.refine

lemmas [autoref-rules] = skeleton-code.refine[OF locale-this]

schematic-goal pop-tr-aux: RETURN  $?c \leq \text{pop-code node-eq-impl node-hash-impl}$ 
 $s$ 
 $\langle \text{proof} \rangle$ 
concrete-definition (in -) pop-tr uses fr-graph-impl-loc.pop-tr-aux
lemmas [refine-transfer] = pop-tr.refine[OF locale-this]

schematic-goal select-edge-tr-aux: RETURN  $?c \leq \text{select-edge-code node-eq-impl}$ 
 $s$ 
 $\langle \text{proof} \rangle$ 
concrete-definition (in -) select-edge-tr
uses fr-graph-impl-loc.select-edge-tr-aux
lemmas [refine-transfer] = select-edge-tr.refine[OF locale-this]

schematic-goal idx-of-tr-aux: RETURN  $?c \leq \text{idx-of-code node-eq-impl node-hash-impl}$ 
 $v s$ 
 $\langle \text{proof} \rangle$ 
concrete-definition (in -) idx-of-tr uses fr-graph-impl-loc.idx-of-tr-aux
lemmas [refine-transfer] = idx-of-tr.refine[OF locale-this]

schematic-goal collapse-tr-aux: RETURN  $?c \leq \text{collapse-code node-eq-impl node-hash-impl}$ 
 $v s$ 
 $\langle \text{proof} \rangle$ 
concrete-definition (in -) collapse-tr uses fr-graph-impl-loc.collapse-tr-aux
lemmas [refine-transfer] = collapse-tr.refine[OF locale-this]

schematic-goal skeleton-tr-aux: RETURN  $?c \leq \text{skeleton-code node-hash-impl}$ 
node-def-hash-size node-eq-impl g
 $\langle \text{proof} \rangle$ 

```

```

concrete-definition (in  $\lambda$ ) skeleton-tr uses fr-graph-impl-loc.skeleton-tr-aux
lemmas [refine-transfer] = skeleton-tr.refine[OF locale-this]

end

term skeleton-tr

export-code skeleton-tr checking SML

end

```

1.27 Code Generation for SCC-Computation

```

theory Gabow-SCC-Code
imports
  Gabow-SCC
  Gabow-Skeleton-Code
  CAVA-Base.CAVA-Code-Target
begin

```

1.28 Automatic Refinement to Efficient Data Structures

```

context fr-graph-impl-loc
begin
  schematic-goal last-seg-code-aux:
     $(?c, \text{last-seg-impl}) \in GSi\text{-rel} \rightarrow \langle \langle \text{node-rel} \rangle \text{list-set-rel} \rangle nres\text{-rel}$ 
     $\langle proof \rangle$ 
  concrete-definition (in  $\lambda$ ) last-seg-code
    uses fr-graph-impl-loc.last-seg-code-aux
    lemmas [autoref-rules] = last-seg-code.refine[OF locale-this]

  context begin interpretation autoref-syn  $\langle proof \rangle$ 

    lemma [autoref-op-pat]:
      last-seg-impl  $\equiv OP \text{ last-seg-impl}$ 
       $\langle proof \rangle$ 
  end

  schematic-goal compute-SCC-code-aux:
     $(?c, \text{compute-SCC-impl}) \in \langle \langle \langle \text{node-rel} \rangle \text{list-set-rel} \rangle \text{list-rel} \rangle nres\text{-rel}$ 
     $\langle proof \rangle$ 

  concrete-definition (in  $\lambda$ ) compute-SCC-code
    uses fr-graph-impl-loc.compute-SCC-code-aux
    lemmas [autoref-rules] = compute-SCC-code.refine[OF locale-this]

  schematic-goal last-seg-tr-aux: RETURN  $?c \leq \text{last-seg-code } s$ 
   $\langle proof \rangle$ 
  concrete-definition (in  $\lambda$ ) last-seg-tr uses fr-graph-impl-loc.last-seg-tr-aux
  lemmas [refine-transfer] = last-seg-tr.refine[OF locale-this]

```

```

schematic-goal compute-SCC-tr-aux: RETURN ?c ≤ compute-SCC-code node-eq-impl
node-hash-impl node-def-hash-size g
⟨proof⟩
concrete-definition (in –) compute-SCC-tr
  uses fr-graph-impl-loc.compute-SCC-tr-aux
  lemmas [refine-transfer] = compute-SCC-tr.refine[OF locale-this]
end

export-code compute-SCC-tr checking SML

```

1.29 Correctness Theorem

theorem compute-SCC-tr-correct:

— Correctness theorem for the constant we extracted to SML

fixes Re and node-rel :: ('vi × 'v) set

fixes G :: ('v,'more) graph-rec-scheme

assumes A:

(G-impl,G) ∈ ⟨Re, node-rel⟩ g-impl-rel-ext

(node-eq-impl, (=)) ∈ node-rel → node-rel → bool-rel

is-bounded-hashcode node-rel node-eq-impl node-hash-impl

(is-valid-def-hm-size TYPE('vi) node-def-hash-size)

assumes C: fr-graph G

shows RETURN (compute-SCC-tr node-eq-impl node-hash-impl node-def-hash-size G-impl)

≤ ↓(⟨⟨node-rel⟩ list-set-rel⟩ list-rel) (fr-graph.compute-SCC-spec G)

⟨proof⟩

1.30 Extraction of Benchmark Code

schematic-goal list-set-of-list-aux:

(?c, set) ∈ ⟨nat-rel⟩ list-rel → ⟨nat-rel⟩ list-set-rel

⟨proof⟩

concrete-definition list-set-of-list **uses** list-set-of-list-aux

term compute-SCC-tr

definition compute-SCC-tr-nat :: - ⇒ - ⇒ - ⇒ - ⇒ nat list list

where compute-SCC-tr-nat ≡ compute-SCC-tr

end

1.31 Implementation of Safety Property Model Checker

theory Find-Path-Impl

imports

Find-Path

CAVA-Automata.Digraph-Impl
begin

1.32 Workset Algorithm

A simple implementation is by a workset algorithm.

definition *ws-update E u p V ws* \equiv *RETURN* (
 $V \cup E^{\prime\prime}\{u\}$,
ws $\text{++} (\lambda v. \text{if } (u,v) \in E \wedge v \notin V \text{ then Some } (u\#p) \text{ else None})$)

definition *s-init U0* \equiv *RETURN* (*None*, *U0*, $\lambda u. \text{if } u \in U0 \text{ then Some } [] \text{ else None}$)

definition *wset-find-path E U0 P* \equiv *do* {

ASSERT (*finite U0*);

s0 \leftarrow *s-init U0*;

 $(res, -, -) \leftarrow WHILE$
 $(\lambda(res, V, ws). res = \text{None} \wedge ws \neq \text{Map.empty})$
 $(\lambda(res, V, ws). \text{do} \{$

ASSERT (*ws* \neq *Map.empty*);

 $(u, p) \leftarrow SPEC (\lambda(u, p). ws u = \text{Some } p);$
let ws = *ws* $|' (-\{u\})$;

if P u then
RETURN (*Some* (*rev p, u*), *V, ws*)

else do {

ASSERT (*finite (E''{u})*);

ASSERT (*dom ws* \subseteq *V*);

 $(V, ws) \leftarrow ws\text{-update E u p V ws};$
RETURN (*None*, *V, ws*)

}
}
 $) s0;$
RETURN *res*
}

lemma *wset-find-path-correct*:

fixes *E* :: $('v \times 'v)$ set

shows *wset-find-path E U0 P* \leq *find-path E U0 P*

{proof}

We refine the algorithm to use a foreach-loop

definition *ws-update-foreach E u p V ws* \equiv
FOREACH (*LIST-SET-REV-TAG (E''{u})*) ($\lambda v (V, ws)$.

if v \in *V* *then*
RETURN (*V, ws*)

else do {

ASSERT (*v* \notin *dom ws*);

RETURN (*insert v V, ws (v \mapsto u#p)*)

}
)
(*V, ws*)

```

lemma ws-update-foreach-refine[refine]:
  assumes FIN: finite ( $E^{\{u\}}$ )
  assumes WSS: dom ws  $\subseteq V$ 
  assumes ID: ( $E', E \in Id$ )  $(u', u) \in Id$   $(p', p) \in Id$   $(V', V) \in Id$   $(ws', ws) \in Id$ 
  shows ws-update-foreach  $E' u' p' V' ws' \leq \Downarrow Id$  (ws-update  $E u p V ws)$ 
  ⟨proof⟩

definition s-init-foreach  $U0 \equiv do \{$ 
   $(U0, ws) \leftarrow FOREACH U0 (\lambda x (U0, ws).$ 
  RETURN (insert  $x U0, ws(x \mapsto [])) (\{\}, Map.empty);$ 
  RETURN (None,  $U0, ws)$ 
}

lemma s-init-foreach-refine[refine]:
  assumes FIN: finite  $U0$ 
  assumes ID: ( $U0', U0 \in Id$ )
  shows s-init-foreach  $U0' \leq \Downarrow Id$  (s-init  $U0)$ 
  ⟨proof⟩

definition wset-find-path'  $E U0 P \equiv do \{$ 
  ASSERT (finite  $U0$ );
   $s0 \leftarrow s\text{-init-foreach } U0;$ 
   $(res, -, -) \leftarrow WHILET$ 
   $(\lambda(res, V, ws). res = None \wedge ws \neq Map.empty)$ 
   $(\lambda(res, V, ws). do \{$ 
    ASSERT ( $ws \neq Map.empty$ );
     $((u, p), ws) \leftarrow op\text{-map-pick-remove } ws;$ 

    if  $P u$  then
      RETURN (Some (rev  $p, u), V, ws)$ 
    else do {
       $(V, ws) \leftarrow ws\text{-update-foreach } E u p V ws;$ 
      RETURN (None,  $V, ws)$ 
    }
  }
   $s0;$ 
  RETURN res
}

lemma wset-find-path'-refine:
  wset-find-path'  $E U0 P \leq \Downarrow Id$  (wset-find-path  $E U0 P)$ 
  ⟨proof⟩

```

1.33 Refinement to efficient data structures

```

schematic-goal wset-find-path'-refine-aux:
  fixes  $U0 :: 'a$  set and  $P :: 'a \Rightarrow bool$  and  $E :: ('a \times 'a)$  set
  and  $Pimpl :: 'ai \Rightarrow bool$ 

```

```

and node-rel :: ('ai × 'a) set
and node-eq-impl :: 'ai ⇒ 'ai ⇒ bool
and node-hash-impl
and node-def-hash-size

assumes [autoref-rules]:
  (succi,E) ∈ ⟨node-rel⟩ slg-rel
  (Pimpl,P) ∈ node-rel → bool-rel
  (node-eq-impl, (=)) ∈ node-rel → node-rel → bool-rel
  (U0', U0) ∈ ⟨node-rel⟩ list-set-rel

assumes [autoref-ga-rules]:
  is-bounded-hashcode node-rel node-eq-impl node-hash-impl
  is-valid-def-hm-size TYPE('ai) node-def-hash-size
notes [autoref-tyrel] =
  TYRELI[where
    R=⟨node-rel,⟨node-rel⟩ list-rel⟩ list-map-rel]
  TYRELI[where R=⟨node-rel⟩ map2set-rel (ahm-rel node-hash-impl)]

```

shows (?c::?'c,wset-find-path' E U0 P) ∈ ?R
 $\langle proof \rangle$

concrete-definition wset-find-path-impl **for** node-eq-impl succi U0' Pimpl
uses wset-find-path'-refine-aux

1.34 Autoref Setup

```

context begin interpretation autoref-syn ⟨proof⟩
lemma [autoref-itype]:
  find-path ::i ⟨I⟩i i-slg →i ⟨I⟩i i-set →i (I →i i-bool)
  →i ⟨⟨⟨⟨I⟩i i-list, I⟩i i-prod⟩i i-option⟩i i-nres ⟨proof⟩

lemma wset-find-path-autoref[autoref-rules]:
  fixes node-rel :: ('ai × 'a) set
  assumes eq: GEN-OP node-eq-impl (=) (node-rel → node-rel → bool-rel)
  assumes hash: SIDE-GEN-ALGO (is-bounded-hashcode node-rel node-eq-impl
  node-hash-impl)
  assumes hash-dsz: SIDE-GEN-ALGO (is-valid-def-hm-size TYPE('ai) node-def-hash-size)
  shows (
    wset-find-path-impl node-hash-impl node-def-hash-size node-eq-impl,
    find-path)
    ∈ ⟨node-rel⟩ slg-rel → ⟨node-rel⟩ list-set-rel → (node-rel → bool-rel)
    → ⟨⟨⟨⟨node-rel⟩ list-rel ×r node-rel⟩ option-rel⟩ nres-rel
  ⟨proof⟩
end

schematic-goal wset-find-path-transfer-aux:
  RETURN ?c ≤ wset-find-path-impl hashi dszi eqi E U0 P

```

```

⟨proof⟩
concrete-definition wset-find-path-code
  for E ?U0.0 P uses wset-find-path-transfer-aux
  lemmas [refine-transfer] = wset-find-path-code.refine

export-code wset-find-path-code checking SML

```

1.35 Nontrivial paths

```

definition find-path1-gen E u0 P ≡ do {
  res ← find-path E (E“{u0}) P;
  case res of None ⇒ RETURN None
  | Some (p,v) ⇒ RETURN (Some (u0#p,v))
}

lemma find-path1-gen-correct: find-path1-gen E u0 P ≤ find-path1 E u0 P
⟨proof⟩

schematic-goal find-path1-impl-aux:
  fixes node-rel :: ('ai × 'a) set
  assumes [autoref-rules]: (node-eq-impl, (=)) ∈ node-rel → node-rel → bool-rel
  assumes [autoref-ga-rules]:
    is-bounded-hashcode node-rel node-eq-impl node-hash-impl
    is-valid-def-hm-size TYPE('ai) node-def-hash-size

  shows (?c,find-path1-gen:(-×-) set ⇒ -) ∈ ⟨node-rel⟩ slg-rel → node-rel → (node-rel
  → bool-rel) → ⟨⟨⟨node-rel⟩ list-rel ×r node-rel⟩ option-rel⟩ nres-rel
  ⟨proof⟩

lemma [autoref-itype]:
  find-path1 ::i ⟨I⟩i i-slg →i I →i (I →i i-bool)
  →i ⟨⟨⟨⟨I⟩i i-list, I⟩i i-prod⟩i i-option⟩i nres ⟨proof⟩

concrete-definition find-path1-impl uses find-path1-impl-aux

lemma find-path1-autoref[autoref-rules]:
  fixes node-rel :: ('ai × 'a) set
  assumes eq: GEN-OP node-eq-impl (=) (node-rel → node-rel → bool-rel)
  assumes hash: SIDE-GEN-ALGO (is-bounded-hashcode node-rel node-eq-impl
  node-hash-impl)
  assumes hash-dsz: SIDE-GEN-ALGO (is-valid-def-hm-size TYPE('ai) node-def-hash-size)

  shows (find-path1-impl node-eq-impl node-hash-impl node-def-hash-size, find-path1)
  ∈ ⟨node-rel⟩ slg-rel → node-rel → (node-rel → bool-rel) →
  ⟨⟨⟨node-rel⟩ list-rel ×r node-rel⟩ Relators.option-rel⟩ nres-rel
  ⟨proof⟩

schematic-goal find-path1-transfer-aux:

```

```

RETURN ?c ≤ find-path1-impl eqi hashi dszi E u P
⟨proof⟩
concrete-definition find-path1-code for E u P uses find-path1-transfer-aux
lemmas [refine-transfer] = find-path1-code.refine

end

```

1.36 Code Generation for GBG Lasso Finding Algorithm

```

theory Gabow-GBG-Code
imports
  Gabow-GBG
  Gabow-Skeleton-Code
  CAVA-Automata.Automata-Impl
  Find-Path-Impl
  CAVA-Base.CAVA-Code-Target
begin

```

1.37 Autoref Setup

```

locale impl-lasso-loc = igb-fr-graph G
  + fr-graph-impl-loc ⟨mrel,node-rel⟩igbg-impl-rel-eext node-rel node-eq-impl node-hash-impl
  node-def-hash-size G-impl G
  for mrel and node-rel and node-eq-impl node-hash-impl node-def-hash-size and
  G-impl and G :: ('q,'more) igb-graph-rec-scheme
begin

  lemma locale-this: impl-lasso-loc mrel node-rel node-eq-impl node-hash-impl node-def-hash-size
  G-impl G
  ⟨proof⟩

  context begin interpretation autoref-syn ⟨proof⟩

```

```

    lemma [autoref-op-pat]:
      goinitial-impl ≡ OP goinitial-impl
      ginitial-impl ≡ OP ginitial-impl
      gpath-is-empty-impl ≡ OP gpath-is-empty-impl
      gselect-edge-impl ≡ OP gselect-edge-impl
      gis-on-stack-impl ≡ OP gis-on-stack-impl
      gcollapse-impl ≡ OP gcollapse-impl
      last-is-acc-impl ≡ OP last-is-acc-impl
      ce-impl ≡ OP ce-impl
      gis-done-impl ≡ OP gis-done-impl
      gpush-impl ≡ OP gpush-impl
      gpop-impl ≡ OP gpop-impl
      goBrk-impl ≡ OP goBrk-impl
      gto-outer-impl ≡ OP gto-outer-impl
      go-is-done-impl ≡ OP go-is-done-impl
      is-done-oimpl ≡ OP is-done-oimpl
      go-is-no-brk-impl ≡ OP go-is-no-brk-impl

```

```

    ⟨proof⟩
end

abbreviation gGSi-rel ≡ ⟨⟨nat-rel⟩bs-set-rel⟩as-rel ×r GSi-rel
abbreviation (in −) ce-rel node-rel ≡ ⟨⟨node-rel⟩fun-set-rel⟩×r ⟨⟨node-rel⟩fun-set-rel⟩option-rel
abbreviation goGSi-rel ≡ ce-rel node-rel ×r oGSi-rel
end

```

1.38 Automatic Refinement

```
context impl-lasso-loc
begin
```

```

schematic-goal goinitial-code-aux: (?c,goinitial-impl) ∈ goGSi-rel
    ⟨proof⟩
concrete-definition (in −) goinitial-code
    uses impl-lasso-loc.goinitial-code-aux
    lemmas [autoref-rules] = goinitial-code.refine[OF locale-this]

```

```

term ginitial-impl
schematic-goal ginitial-code-aux:
    (?c,ginitial-impl) ∈ node-rel → goGSi-rel → gGSi-rel
    ⟨proof⟩
concrete-definition (in −) ginitial-code uses impl-lasso-loc.ginitial-code-aux
    lemmas [autoref-rules] = ginitial-code.refine[OF locale-this]

```

```

schematic-goal gpath-is-empty-code-aux:
    (?c,gpath-is-empty-impl) ∈ gGSi-rel → bool-rel
    ⟨proof⟩
concrete-definition (in −) gpath-is-empty-code
    uses impl-lasso-loc.gpath-is-empty-code-aux
    lemmas [autoref-rules] = gpath-is-empty-code.refine[OF locale-this]

```

```

term goBrk
schematic-goal goBrk-code-aux: (?c,goBrk-impl) ∈ goGSi-rel → ce-rel node-rel
    ⟨proof⟩
concrete-definition (in −) goBrk-code uses impl-lasso-loc.goBrk-code-aux
    lemmas [autoref-rules] = goBrk-code.refine[OF locale-this]
    thm autoref-itype(1)

```

```

term gto-outer-impl
schematic-goal gto-outer-code-aux:
    (?c,gto-outer-impl) ∈ ce-rel node-rel → gGSi-rel → goGSi-rel
    ⟨proof⟩
concrete-definition (in −) gto-outer-code
    uses impl-lasso-loc.gto-outer-code-aux
    lemmas [autoref-rules] = gto-outer-code.refine[OF locale-this]

```

```

term go-is-done-impl
schematic-goal go-is-done-code-aux:
  (?c,go-is-done-impl) ∈ node-rel → goGSi-rel → bool-rel
  ⟨proof⟩
concrete-definition (in –) go-is-done-code
  uses impl-lasso-loc.go-is-done-code-aux
lemmas [autoref-rules] = go-is-done-code.refine[OF locale-this]

schematic-goal go-is-no-brk-code-aux:
  (?c,go-is-no-brk-impl) ∈ goGSi-rel → bool-rel
  ⟨proof⟩
concrete-definition (in –) go-is-no-brk-code
  uses impl-lasso-loc.go-is-no-brk-code-aux
lemmas [autoref-rules] = go-is-no-brk-code.refine[OF locale-this]

schematic-goal gselect-edge-code-aux: (?c,gselect-edge-impl)
  ∈ gGSi-rel → ⟨⟨node-rel⟩option-rel ×r gGSi-rel⟩nres-rel
  ⟨proof⟩
concrete-definition (in –) gselect-edge-code
  uses impl-lasso-loc.gselect-edge-code-aux
lemmas [autoref-rules] = gselect-edge-code.refine[OF locale-this]

term gis-on-stack-impl
schematic-goal gis-on-stack-code-aux:
  (?c,gis-on-stack-impl) ∈ node-rel → gGSi-rel → bool-rel
  ⟨proof⟩
concrete-definition (in –) gis-on-stack-code
  uses impl-lasso-loc.gis-on-stack-code-aux
lemmas [autoref-rules] = gis-on-stack-code.refine[OF locale-this]

term gcollapse-impl
schematic-goal gcollapse-code-aux: (?c,gcollapse-impl) ∈ node-rel → gGSi-rel
  → ⟨gGSi-rel⟩nres-rel
  ⟨proof⟩
concrete-definition (in –) gcollapse-code
  uses impl-lasso-loc.gcollapse-code-aux
lemmas [autoref-rules] = gcollapse-code.refine[OF locale-this]

schematic-goal last-is-acc-code-aux:
  (?c,last-is-acc-impl) ∈ gGSi-rel → ⟨bool-rel⟩nres-rel
  ⟨proof⟩
concrete-definition (in –) last-is-acc-code
  uses impl-lasso-loc.last-is-acc-code-aux
lemmas [autoref-rules] = last-is-acc-code.refine[OF locale-this]

schematic-goal ce-code-aux: (?c,ce-impl)
  ∈ gGSi-rel → ⟨ce-rel node-rel ×r gGSi-rel⟩nres-rel

```

```

⟨proof⟩
concrete-definition (in –) ce-code uses impl-lasso-loc.ce-code-aux
lemmas [autoref-rules] = ce-code.refine[OF locale-this]

schematic-goal gis-done-code-aux:
 $(?c, gis\text{-done}\text{-impl}) \in node\text{-rel} \rightarrow gGSi\text{-rel} \rightarrow \text{bool-rel}$ 
⟨proof⟩
concrete-definition (in –) gis-done-code uses impl-lasso-loc.gis-done-code-aux
lemmas [autoref-rules] = gis-done-code.refine[OF locale-this]

schematic-goal gpush-code-aux:
 $(?c, gpush\text{-impl}) \in node\text{-rel} \rightarrow gGSi\text{-rel} \rightarrow gGSi\text{-rel}$ 
⟨proof⟩
concrete-definition (in –) gpush-code uses impl-lasso-loc.gpush-code-aux
lemmas [autoref-rules] = gpush-code.refine[OF locale-this]

schematic-goal gpop-code-aux:  $(?c, gpop\text{-impl}) \in gGSi\text{-rel} \rightarrow \langle gGSi\text{-rel} \rangle nres\text{-rel}$ 
⟨proof⟩
concrete-definition (in –) gpop-code uses impl-lasso-loc.gpop-code-aux
lemmas [autoref-rules] = gpop-code.refine[OF locale-this]

```

```

schematic-goal find-ce-code-aux:  $(?c, find\text{-ce}\text{-impl}) \in \langle ce\text{-rel} node\text{-rel} \rangle nres\text{-rel}$ 
⟨proof⟩
concrete-definition (in –) find-ce-code
uses impl-lasso-loc.find-ce-code-aux
lemmas [autoref-rules] = find-ce-code.refine[OF locale-this]

schematic-goal find-ce-tr-aux: RETURN  $?c \leq find\text{-ce}\text{-code} node\text{-eq}\text{-impl} node\text{-hash}\text{-impl}$ 
node-def-hash-size G-impl
⟨proof⟩
concrete-definition (in –) find-ce-tr for G-impl
uses impl-lasso-loc.find-ce-tr-aux
lemmas [refine-transfer] = find-ce-tr.refine[OF locale-this]

```

```

context begin interpretation autoref-syn ⟨proof⟩
lemma [autoref-op-pat]:
 $find\text{-ce}\text{-spec} \equiv OP find\text{-ce}\text{-spec}$ 
⟨proof⟩
end

```

```

theorem find-ce-autoref[autoref-rules]:
— Main Correctness theorem (inside locale)
shows (find-ce-code node-eq-impl node-hash-impl node-def-hash-size G-impl,
find-ce-spec)  $\in \langle ce\text{-rel} node\text{-rel} \rangle nres\text{-rel}$ 
⟨proof⟩

```

```

end

context impl-lasso-loc
begin

  context begin interpretation autoref-syn  $\langle proof \rangle$ 

    lemma [autoref-op-pat]:
      reconstruct-reach  $\equiv OP$  reconstruct-reach
      reconstruct-lasso  $\equiv OP$  reconstruct-lasso
       $\langle proof \rangle$ 
    end

    schematic-goal reconstruct-reach-code-aux:
    shows  $(?c, \text{reconstruct-reach}) \in \langle \text{node-rel} \rangle \text{fun-set-rel} \rightarrow$ 
     $\langle \text{node-rel} \rangle \text{fun-set-rel} \rightarrow$ 
     $\langle \langle \text{node-rel} \rangle \text{list-rel} \times_r \text{node-rel} \rangle \text{nres-rel}$ 
     $\langle proof \rangle$ 

    concrete-definition (in -) reconstruct-reach-code
    uses impl-lasso-loc.reconstruct-reach-code-aux
    lemmas [autoref-rules] = reconstruct-reach-code.refine[OF locale-this]

    schematic-goal reconstruct-lasso-code-aux:
    shows  $(?c, \text{reconstruct-lasso}) \in \langle \text{node-rel} \rangle \text{fun-set-rel} \rightarrow$ 
     $\langle \text{node-rel} \rangle \text{fun-set-rel} \rightarrow$ 
     $\langle \langle \text{node-rel} \rangle \text{list-rel} \times_r \langle \text{node-rel} \rangle \text{list-rel} \rangle \text{nres-rel}$ 
     $\langle proof \rangle$ 
    concrete-definition (in -) reconstruct-lasso-code
    uses impl-lasso-loc.reconstruct-lasso-code-aux
    lemmas [autoref-rules] = reconstruct-lasso-code.refine[OF locale-this]

    schematic-goal reconstruct-lasso-tr-aux:
    RETURN  $?c \leq \text{reconstruct-lasso-code eqi hi dszi G-impl Vr Vl}$ 
     $\langle proof \rangle$ 
    concrete-definition (in -) reconstruct-lasso-tr for G-impl
    uses impl-lasso-loc.reconstruct-lasso-tr-aux
    lemmas [refine-transfer] = reconstruct-lasso-tr.refine[OF locale-this]

    schematic-goal find-lasso-code-aux:
    shows  $(?c :: ?'c, \text{find-lasso}) \in ?R$ 
     $\langle proof \rangle$ 
    concrete-definition (in -) find-lasso-code
    uses impl-lasso-loc.find-lasso-code-aux
    lemmas [autoref-rules] = find-lasso-code.refine[OF locale-this]

    schematic-goal find-lasso-tr-aux:
    RETURN  $?c \leq \text{find-lasso-code node-eq-impl node-hash-impl node-def-hash-size}$ 

```

```

G-impl
  ⟨proof⟩
concrete-definition (in –) find-lasso-tr for G-impl
  uses impl-lasso-loc.find-lasso-tr-aux
  lemmas [refine-transfer] = find-lasso-tr.refine[OF locale-this]

end

export-code find-lasso-tr checking SML

```

1.39 Main Correctness Theorem

```

abbreviation fl-rel :: - ⇒ (- × ('a list × 'a list) option) set where
  fl-rel node-rel ≡ ⟨⟨node-rel list-rel ×r ⟨⟨node-rel⟩ list-rel⟩ Relators.option-rel

```

theorem *find-lasso-tr-correct*:

- Correctness theorem for the constant we extracted to SML
- fixes** *Re* **and** *node-rel* :: ('vi × 'v) set
- assumes** *A*: (*G-impl*, *G*) ∈ *igbg-impl-rel-ext* *Re* *node-rel*
 - and** *node-eq-refine*: (*node-eq-impl*, (=)) ∈ *node-rel* → *node-rel* → bool-rel
 - and** *node-hash*: *is-bounded-hashcode* *node-rel* *node-eq-impl* *node-hash-impl*
 - and** *node-hash-def-size*: (*is-valid-def-hm-size* TYPE('vi) *node-def-hash-size*)

- assumes** *B*: *igb-fr-graph* *G*
- shows** RETURN (*find-lasso-tr* *node-eq-impl* *node-hash-impl* *node-def-hash-size* *G-impl*)
 $\leq \Downarrow(\text{fl-rel } \text{node-rel}) (\text{igb-graph}.find-lasso-spec \text{ } G)$

1.40 Autoref Setup for *igb-graph.find-lasso-spec*

Setup for Autoref, such that *igb-graph.find-lasso-spec* can be used

definition [*simp*]: *op-find-lasso-spec* ≡ *igb-graph.find-lasso-spec*

context begin **interpretation** autoref-syn ⟨proof⟩

lemma [autoref-op-pat]: *igb-graph.find-lasso-spec* ≡ *op-find-lasso-spec*
 ⟨proof⟩

term *op-find-lasso-spec*

lemma [autoref-itype]:
op-find-lasso-spec
 $::_i i\text{-igbg } Ie \text{ } I \rightarrow_i \langle\langle\langle \langle I \rangle_i i\text{-list}, \langle I \rangle_i i\text{-list} \rangle_i i\text{-prod} \rangle_i i\text{-option} \rangle_i i\text{-nres}$
 ⟨proof⟩

lemma *find-lasso-spec-autoref*[autoref-rules-raw]:
fixes *Re* **and** *node-rel* :: ('vi × 'v) set
assumes *GR*: SIDE-PRECOND (*igb-fr-graph* *G*)

```

assumes eq: GEN-OP node-eq-impl (=) (node-rel→node-rel→bool-rel)
assumes hash: SIDE-GEN-ALGO (is-bounded-hashcode node-rel node-eq-impl
node-hash-impl)
assumes hash-dsz: SIDE-GEN-ALGO (is-valid-def-hm-size TYPE('vi) node-def-hash-size)
assumes Gi: (G-impl,G)∈igbg-impl-rel-ext Re node-rel
shows (RETURN (find-lasso-tr node-eq-impl node-hash-impl node-def-hash-size
G-impl),
(OP op-find-lasso-spec
::: igbg-impl-rel-ext Re node-rel → ⟨fl-rel node-rel⟩nres-rel)$G) ∈ ⟨fl-rel
node-rel⟩nres-rel
⟨proof⟩

end

end

```

2 Conclusion

We have presented a verification of two variants of Gabow’s algorithm: Computation of the strongly connected components of a graph, and emptiness check of a generalized Büchi automaton. We have extracted efficient code with a performance comparable to a reference implementation in Java.

We have modularized the formalization in two directions: First, we share most of the proofs between the two variants of the algorithm. Second, we use a stepwise refinement approach to separate the algorithmic ideas and the correctness proof from implementation details. Sharing of the proofs reduced the overall effort of developing both algorithms. Using a stepwise refinement approach allowed us to formalize an efficient implementation, without making the correctness proof complex and unmanageable by cluttering it with implementation details.

Our development approach is independent of Gabow’s algorithm, and can be re-used for the verification of other algorithms.

Current and Future Work An important direction of future work is to fine-tune the implementation of the emptiness check algorithm for speed, as speed of the checking algorithm directly influences the performance of the modelchecker.

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