

# Fresh identifiers

Andrei Popescu      Thomas Bauereiss

March 17, 2025

## Abstract

This entry defines a type class with an operator returning a fresh identifier, given a set of already used identifiers and a preferred identifier. The entry provides a default instantiation for any infinite type, as well as executable instantiations for natural numbers and strings.

## Contents

<b>1</b>	<b>The type class <i>fresh</i></b>	<b>1</b>
<b>2</b>	<b>Fresh identifier generation for natural numbers</b>	<b>2</b>
<b>3</b>	<b>Fresh identifier generation for strings</b>	<b>3</b>
3.1	A partial order on strings . . . . .	3
3.2	Incrementing a string . . . . .	4
3.3	The fresh-identifier operator . . . . .	4
3.4	Lifting to string literals . . . . .	5
<b>4</b>	<b>Fresh identifier generation for infinite types</b>	<b>6</b>

## 1 The type class *fresh*

```
theory Fresh
  imports Main
begin
```

A type in this class comes with a mechanism to generate fresh items. The fresh operator takes a list of items to be avoided,  $xs$ , and a preferred element to be generated,  $x$ .

It is required that implementations of fresh for specific types produce  $x$  if possible (i.e., if not in  $xs$ ).

While not required, it is also expected that, if  $x$  is not possible, then implementation produces an element that is as close to  $x$  as possible, given a notion of distance.

```

class fresh =
  fixes fresh :: 'a set  $\Rightarrow$  'a  $\Rightarrow$  'a
  assumes fresh-notIn:  $\bigwedge$  xs x. finite xs  $\Longrightarrow$  fresh xs x  $\notin$  xs
  and fresh-eq:  $\bigwedge$  xs x. x  $\notin$  xs  $\Longrightarrow$  fresh xs x = x

```

The type class *fresh* is essentially the same as the type class *infinite* but with an emphasis on fresh item generation.

```

class infinite =
  assumes infinite-UNIV:  $\neg$  finite (UNIV :: 'a set)

```

We can subclass *fresh* to *infinite* since the latter has no associated operators (in particular, no additional operators w.r.t. the former).

```

subclass (in fresh) infinite
   $\langle$ proof $\rangle$ 

```

**end**

## 2 Fresh identifier generation for natural numbers

```

theory Fresh-Nat
  imports Fresh
begin

```

Assuming  $x \leq y$ , *fresh2 xs x y* returns an element outside the interval  $(x, y)$  that is fresh for *xs* and closest to this interval, favoring smaller elements:

```

function fresh2 :: nat set  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat where
fresh2 xs x y =
  (if  $x \notin xs \vee$  infinite xs then x else
   if  $y \notin xs$  then y else
   fresh2 xs (x-1) (y+1))
 $\langle$ proof $\rangle$ 
termination
 $\langle$ proof $\rangle$ 

```

```

lemma fresh2-notIn: finite xs  $\Longrightarrow$  fresh2 xs x y  $\notin$  xs
 $\langle$ proof $\rangle$ 

```

```

lemma fresh2-eq:  $x \notin xs$   $\Longrightarrow$  fresh2 xs x y = x
 $\langle$ proof $\rangle$ 

```

```

declare fresh2.simps[simp del]

```

```

instantiation nat :: fresh
begin

```

*fresh xs x y* returns an element that is fresh for *xs* and closest to *x*, favoring smaller elements:

```

definition fresh-nat :: nat set  $\Rightarrow$  nat  $\Rightarrow$  nat where

```

*fresh-nat xs x*  $\equiv$  *fresh2 xs x x*

**instance**  $\langle$ *proof* $\rangle$

**end**

Code generation

**lemma** *fresh2-list*[*code*]:  
*fresh2 (set xs) x y* =  
  (*if x*  $\notin$  *set xs* *then x* *else*  
  *if y*  $\notin$  *set xs* *then y* *else*  
  *fresh2 (set xs) (x-1) (y+1)*)  
 $\langle$ *proof* $\rangle$

Some tests:

**value** [*fresh* {}] (1::nat),  
  *fresh* {3,5,2,4} 3]

**end**

### 3 Fresh identifier generation for strings

**theory** *Fresh-String*  
  **imports** *Fresh*  
**begin**

#### 3.1 A partial order on strings

The first criterion is the length, and the second the encoding of last character.

**definition** *ordst* :: *string*  $\Rightarrow$  *string*  $\Rightarrow$  *bool* **where**  
*ordst X Y*  $\equiv$   
  (*length X*  $\leq$  *length Y*  $\wedge$  *X*  $\neq$  []  $\wedge$  *Y*  $\neq$  []  $\wedge$  *of-char (last X)*  $<$  (*of-char (last Y)*  
  :: *nat*))  
   $\vee$  (*length X*  $<$  *length Y*)

**definition** *ordstNS* :: *string*  $\Rightarrow$  *string*  $\Rightarrow$  *bool* **where**  
*ordstNS X Y*  $\equiv$  *X = Y*  $\vee$  *ordst X Y*

**lemma** *ordst-antirefl*:  $\neg$  *ordst X X*  
 $\langle$ *proof* $\rangle$

**lemma** *ordst-trans*:  
**assumes** *As1*: *ordst X Y* **and** *As2*: *ordst Y Z*  
**shows** *ordst X Z*  
 $\langle$ *proof* $\rangle$

**lemma** *ordstNS-refl*: *ordstNS X X*

*<proof>*

**lemma** *ordstNS-trans:*

*ordstNS X Y  $\implies$  ordstNS Y Z  $\implies$  ordstNS X Z*

*<proof>*

**lemma** *ordst-ordstNS-trans:*

*ordst X Y  $\implies$  ordstNS Y Z  $\implies$  ordst X Z*

*<proof>*

**lemma** *ordstNS-ordst-trans:*

*ordstNS X Y  $\implies$  ordst Y Z  $\implies$  ordst X Z*

*<proof>*

### 3.2 Incrementing a string

If the last character is  $\geq$  'a' and  $<$  'z', then *upChar* increments this last character; otherwise *upChar* appends an 'a'.

**fun** *upChar* :: *string*  $\Rightarrow$  *string* **where**

*upChar Y =*

*(if (Y  $\neq$  []  $\wedge$  of-char(last Y)  $\geq$  (97 :: nat)  $\wedge$   
of-char(last Y)  $<$  (122 :: nat))  
then (butlast Y) @  
[char-of(of-char(last Y) + (1 :: nat))]  
else Y @ "a")*

**lemma** *upChar-ordst: ordst Y (upChar Y)*

*<proof>*

### 3.3 The fresh-identifier operator

*fresh Xs Y* changes *Y* as little as possible so that it becomes disjoint from all strings in *Xs*.

**function** *fresh-string* :: *string set*  $\Rightarrow$  *string*  $\Rightarrow$  *string*

**where**

*Up: Y  $\in$  Xs  $\implies$  finite Xs  $\implies$  fresh-string Xs Y = fresh-string (Xs - {Y}) (upChar Y)*

|

*Fresh: Y  $\notin$  Xs  $\vee$  infinite Xs  $\implies$  fresh-string Xs Y = Y*

*<proof>*

**termination**

*<proof>*

**lemma** *fresh-string-ordstNS: ordstNS Y (fresh-string Xs Y)*

*<proof>*

**lemma** *fresh-string-set: finite Xs  $\implies$  fresh-string Xs Y  $\notin$  Xs*

*<proof>*

Code generation:

**lemma** *fresh-string-if*:

*fresh-string*  $Xs\ Y =$  (  
  if  $Y \in Xs \wedge \text{finite } Xs$  then *fresh-string* ( $Xs - \{Y\}$ ) (*upChar*  $Y$ )  
  else  $Y$ )  
*<proof>*

**lemmas** *fresh-string-list*[*code*] = *fresh-string-if*[**where**  $Xs = \text{set } Xs$  **for**  $Xs$ , *simplified*]

Some tests:

**value** [*fresh-string* {} "Abc",  
  *fresh-string* {"X", "Abc"} "Abd",  
  *fresh-string* {"X", "Y"} "Y",  
  *fresh-string* {"X", "Yaa", "Ya", "Yaa"} "Ya",  
  *fresh-string* {"X", "Yaa", "Yz", "Yza"} "Yz",  
  *fresh-string* {"X", "Y", "Yab", "Y"} "Y"]

Here we do locale interpretation rather than class instantiation, since *string* is a type synonym for *char list*.

**interpretation** *fresh-string*: *fresh* **where** *fresh* = *fresh-string*  
*<proof>*

### 3.4 Lifting to string literals

**abbreviation** *is-ascii str*  $\equiv (\forall c \in \text{set } str. \neg \text{digit7 } c)$

**lemma** *map-ascii-of-idem*:

*is-ascii str*  $\implies \text{map } \text{String.ascii-of } str = str$   
*<proof>*

**lemma** *is-ascii-butlast*:

*is-ascii str*  $\implies \text{is-ascii } (\text{butlast } str)$   
*<proof>*

**lemma** *ascii-char-of*:

**fixes**  $c :: \text{nat}$   
**assumes**  $c < 128$   
**shows**  $\neg \text{digit7 } (\text{char-of } c)$   
*<proof>*

**lemmas** *ascii-of-char-of-idem* = *ascii-char-of*[*THEN* *String.ascii-of-idem*]

**lemma** *is-ascii-upChar*:

*is-ascii str*  $\implies \text{is-ascii } (\text{upChar } str)$   
*<proof>*

```

lemma is-ascii-fresh-string:
  is-ascii Y  $\implies$  is-ascii (fresh-string Xs Y)
  <proof>

```

For string literals we can properly instantiate the class.

```

instantiation String.literal :: fresh
begin

```

```

context
  includes literal.lifting
begin

```

```

lift-definition fresh-literal :: String.literal set  $\Rightarrow$  String.literal  $\Rightarrow$  String.literal
  is fresh-string
  <proof>

```

```

instance <proof>
end

```

```

end

```

Code generation:

```

context
  includes literal.lifting
begin

```

```

lift-definition upChar-literal :: String.literal  $\Rightarrow$  String.literal is upChar
  <proof>

```

```

lemma upChar-literal-upChar[code]:
  upChar-literal s = String.implode (upChar (String.explode s))
  <proof>

```

```

lemma fresh-literal-if:
  fresh xs y = (if y  $\in$  xs  $\wedge$  finite xs then fresh (xs - {y}) (upChar-literal y) else y)
  <proof>

```

```

lemmas fresh-literal-list[code] = fresh-literal-if[where xs = set xs for xs, simplified]

```

```

end

```

Some tests:

```

value [fresh {} (STR "Abc"),
  fresh {STR "X", STR "Abc"} (STR "Abd"),
  fresh {STR "X", STR "Y"} (STR "Y"),
  fresh {STR "X", STR "Yaa", STR "Ya", STR "Yaa"} (STR "Ya"),
  fresh {STR "X", STR "Yaa", STR "Yz", STR "Yza"} (STR "Yz"),

```

*fresh* {*STR "X"*, *STR "Y"*, *STR "Yab"*, *STR "Y'"*} (*STR "Y'"*)

**end**

## 4 Fresh identifier generation for infinite types

**theory** *Fresh-Infinite*

**imports** *Fresh*

**begin**

This is a default fresh operator for infinite types for which more specific (smarter) alternatives are not (yet) available.

**definition** (**in** *infinite*) *fresh* :: 'a set  $\Rightarrow$  'a  $\Rightarrow$  'a **where**  
*fresh* *xs* *x*  $\equiv$  if  $x \notin xs \vee infinite\ xs$  then *x* else (*SOME* *y*.  $y \notin xs$ )

**sublocale** *infinite* < *fresh* **where** *fresh* = *fresh*  
{*proof*}

**end**