

# Free Boolean Algebra

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March 17, 2025

## Abstract

This theory defines a type constructor representing the free Boolean algebra over a set of generators. Values of type  $(\alpha)\text{formula}$  represent propositional formulas with uninterpreted variables from type  $\alpha$ , ordered by implication. In addition to all the standard Boolean algebra operations, the library also provides a function for building homomorphisms to any other Boolean algebra type.

## 1 Free Boolean algebras

```
theory Free-Boolean-Algebra
imports Main
begin
⟨proof⟩
```

### 1.1 Free boolean algebra as a set

We start by defining the free boolean algebra over type ' $a$ ' as an inductive set. Here  $i :: 'a$  represents a variable;  $A :: 'a \text{ set}$  represents a valuation, assigning a truth value to each variable; and  $S :: 'a \text{ set set}$  represents a formula, as the set of valuations that make the formula true. The set  $fba$  contains representatives of formulas built from finite combinations of variables with negation and conjunction.

```
inductive-set
fba :: 'a set set set
where
var: {A. i ∈ A} ∈ fba
| Compl: S ∈ fba ⇒ − S ∈ fba
| inter: S ∈ fba ⇒ T ∈ fba ⇒ S ∩ T ∈ fba
```

```
lemma fba-Diff: S ∈ fba ⇒ T ∈ fba ⇒ S − T ∈ fba
⟨proof⟩
```

```
lemma fba-union: S ∈ fba ⇒ T ∈ fba ⇒ S ∪ T ∈ fba
⟨proof⟩
```

```
lemma fba-empty: ( $\{\}$  :: ' $a$  set set)  $\in$  fba  
 $\langle proof \rangle$ 
```

```
lemma fba-UNIV: (UNIV :: ' $a$  set set)  $\in$  fba  
 $\langle proof \rangle$ 
```

## 1.2 Free boolean algebra as a type

The next step is to use *typedef* to define a type isomorphic to the set *fba*. We also define a constructor *var* that corresponds with the similarly-named introduction rule for *fba*.

```
typedef ' $a$  formula = fba :: ' $a$  set set set  
 $\langle proof \rangle$ 
```

```
definition var :: ' $a$   $\Rightarrow$  ' $a$  formula  
where var  $i$  = Abs-formula { $A$ .  $i \in A$ }
```

```
lemma Rep-formula-var: Rep-formula (var  $i$ ) = { $A$ .  $i \in A$ }  
 $\langle proof \rangle$ 
```

Now we make type ' $a$  formula' into a Boolean algebra. This involves defining the various operations (ordering relations, binary infimum and supremum, complement, difference, top and bottom elements) and proving that they satisfy the appropriate laws.

```
instantiation formula :: (type) boolean-algebra  
begin
```

```
definition
```

```
 $x \sqcap y$  = Abs-formula (Rep-formula  $x \cap$  Rep-formula  $y$ )
```

```
definition
```

```
 $x \sqcup y$  = Abs-formula (Rep-formula  $x \cup$  Rep-formula  $y$ )
```

```
definition
```

```
 $\top$  = Abs-formula UNIV
```

```
definition
```

```
 $\perp$  = Abs-formula {}
```

```
definition
```

```
 $x \leq y \longleftrightarrow$  Rep-formula  $x \subseteq$  Rep-formula  $y$ 
```

```
definition
```

```
 $x < y \longleftrightarrow$  Rep-formula  $x \subset$  Rep-formula  $y$ 
```

```
definition
```

```
 $\neg x$  = Abs-formula ( $\neg$  Rep-formula  $x$ )
```

**definition**

$x - y = \text{Abs-formula} (\text{Rep-formula } x - \text{Rep-formula } y)$

**lemma**  $\text{Rep-formula-inf}$ :

$\text{Rep-formula } (x \sqcap y) = \text{Rep-formula } x \cap \text{Rep-formula } y$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Rep-formula-sup}$ :

$\text{Rep-formula } (x \sqcup y) = \text{Rep-formula } x \cup \text{Rep-formula } y$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Rep-formula-top}$ :  $\text{Rep-formula } \top = \text{UNIV}$

$\langle \text{proof} \rangle$

**lemma**  $\text{Rep-formula-bot}$ :  $\text{Rep-formula } \perp = \{\}$

$\langle \text{proof} \rangle$

**lemma**  $\text{Rep-formula-compl}$ :  $\text{Rep-formula } (- x) = - \text{Rep-formula } x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Rep-formula-diff}$ :

$\text{Rep-formula } (x - y) = \text{Rep-formula } x - \text{Rep-formula } y$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{eq-formula-iff} = \text{Rep-formula-inject}$  [symmetric]

**lemmas**  $\text{Rep-formula-simps} =$

$\text{less-eq-formula-def}$   $\text{less-formula-def}$   $\text{eq-formula-iff}$   
 $\text{Rep-formula-sup}$   $\text{Rep-formula-inf}$   $\text{Rep-formula-top}$   $\text{Rep-formula-bot}$   
 $\text{Rep-formula-compl}$   $\text{Rep-formula-diff}$   $\text{Rep-formula-var}$

**instance**  $\langle \text{proof} \rangle$

**end**

The laws of a Boolean algebra do not require the top and bottom elements to be distinct, so the following rules must be proved separately:

**lemma**  $\text{bot-neq-top-formula}$  [simp]:  $(\perp :: \text{'a formula}) \neq \top$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{top-neq-bot-formula}$  [simp]:  $(\top :: \text{'a formula}) \neq \perp$   
 $\langle \text{proof} \rangle$

Here we prove an essential property of a free Boolean algebra: all generators are independent.

**lemma**  $\text{var-le-var-simps}$  [simp]:  
 $\text{var } i \leq \text{var } j \longleftrightarrow i = j$   
 $\neg \text{var } i \leq - \text{var } j$

$\neg - \text{var } i \leq \text{var } j$   
 $\langle \text{proof} \rangle$

**lemma** *var-eq-var-simps* [*simp*]:  
 $\text{var } i = \text{var } j \longleftrightarrow i = j$   
 $\text{var } i \neq - \text{var } j$   
 $- \text{var } i \neq \text{var } j$   
 $\langle \text{proof} \rangle$

We conclude this section by proving an induction principle for formulas. It mirrors the definition of the inductive set *fba*, with cases for variables, complements, and conjunction.

**lemma** *formula-induct* [*case-names var compl inf, induct type: formula*]:  
**fixes**  $P :: 'a \text{ formula} \Rightarrow \text{bool}$   
**assumes**  $1: \bigwedge i. P(\text{var } i)$   
**assumes**  $2: \bigwedge x. P x \implies P(-x)$   
**assumes**  $3: \bigwedge x y. P x \implies P y \implies P(x \sqcap y)$   
**shows**  $P x$   
 $\langle \text{proof} \rangle$

### 1.3 If-then-else for Boolean algebras

This is a generic if-then-else operator for arbitrary Boolean algebras.

**definition**  
 $\text{ifte} :: 'a::\text{boolean-algebra} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a$   
**where**  
 $\text{ifte } a x y = (a \sqcap x) \sqcup (-a \sqcap y)$

**lemma** *ifte-top* [*simp*]:  $\text{ifte } \top x y = x$   
 $\langle \text{proof} \rangle$

**lemma** *ifte-bot* [*simp*]:  $\text{ifte } \perp x y = y$   
 $\langle \text{proof} \rangle$

**lemma** *ifte-same*:  $\text{ifte } a x x = x$   
 $\langle \text{proof} \rangle$

**lemma** *compl-ifte*:  $- \text{ifte } a x y = \text{ifte } a (-x) (-y)$   
 $\langle \text{proof} \rangle$

**lemma** *inf-ifte-distrib*:  
 $\text{ifte } x a b \sqcap \text{ifte } x c d = \text{ifte } x (a \sqcap c) (b \sqcap d)$   
 $\langle \text{proof} \rangle$

**lemma** *ifte-ifte-distrib*:  
 $\text{ifte } x (\text{ifte } y a b) (\text{ifte } y c d) = \text{ifte } y (\text{ifte } x a c) (\text{ifte } x b d)$   
 $\langle \text{proof} \rangle$

## 1.4 Formulas over a set of generators

The set *formulas*  $S$  consists of those formulas that only depend on variables in the set  $S$ . It is analogous to the *lists* operator for the list datatype.

**definition**

*formulas* :: 'a set  $\Rightarrow$  'a formula set

**where**

*formulas*  $S = \{x. \forall A B. (\forall i \in S. i \in A \leftrightarrow i \in B) \rightarrow A \in \text{Rep-formula } x \leftrightarrow B \in \text{Rep-formula } x\}$

**lemma** *formulasI*:

**assumes**  $\bigwedge A B. \forall i \in S. i \in A \leftrightarrow i \in B \rightarrow A \in \text{Rep-formula } x \leftrightarrow B \in \text{Rep-formula } x$   
**shows**  $x \in \text{formulas } S$

$\langle \text{proof} \rangle$

**lemma** *formulasD*:

**assumes**  $x \in \text{formulas } S$   
**assumes**  $\forall i \in S. i \in A \leftrightarrow i \in B$   
**shows**  $A \in \text{Rep-formula } x \leftrightarrow B \in \text{Rep-formula } x$   
 $\langle \text{proof} \rangle$

**lemma** *formulas-mono*:  $S \subseteq T \Rightarrow \text{formulas } S \subseteq \text{formulas } T$

$\langle \text{proof} \rangle$

**lemma** *formulas-insert*:  $x \in \text{formulas } S \Rightarrow x \in \text{formulas } (\text{insert } a S)$

$\langle \text{proof} \rangle$

**lemma** *formulas-var*:  $i \in S \Rightarrow \text{var } i \in \text{formulas } S$

$\langle \text{proof} \rangle$

**lemma** *formulas-var-iff*:  $\text{var } i \in \text{formulas } S \leftrightarrow i \in S$

$\langle \text{proof} \rangle$

**lemma** *formulas-bot*:  $\perp \in \text{formulas } S$

$\langle \text{proof} \rangle$

**lemma** *formulas-top*:  $\top \in \text{formulas } S$

$\langle \text{proof} \rangle$

**lemma** *formulas-compl*:  $x \in \text{formulas } S \Rightarrow -x \in \text{formulas } S$

$\langle \text{proof} \rangle$

**lemma** *formulas-inf*:

$x \in \text{formulas } S \Rightarrow y \in \text{formulas } S \Rightarrow x \sqcap y \in \text{formulas } S$

$\langle \text{proof} \rangle$

**lemma** *formulas-sup*:

$x \in \text{formulas } S \implies y \in \text{formulas } S \implies x \sqcup y \in \text{formulas } S$   
 $\langle \text{proof} \rangle$

**lemma** *formulas-diff*:

$x \in \text{formulas } S \implies y \in \text{formulas } S \implies x - y \in \text{formulas } S$   
 $\langle \text{proof} \rangle$

**lemma** *formulas-ifte*:

$a \in \text{formulas } S \implies x \in \text{formulas } S \implies y \in \text{formulas } S \implies$   
 $\text{ifte } a \ x \ y \in \text{formulas } S$   
 $\langle \text{proof} \rangle$

**lemmas** *formulas-intros* =

*formulas-var* *formulas-bot* *formulas-top* *formulas-compl*  
*formulas-inf* *formulas-sup* *formulas-diff* *formulas-ifte*

## 1.5 Injectivity of if-then-else

The if-then-else operator is injective in some limited circumstances: when the scrutinee is a variable that is not mentioned in either branch.

**lemma** *ifte-inject*:

**assumes** *ifte* (*var i*) *x y* = *ifte* (*var i*) *x' y'*  
**assumes** *i*  $\notin S$   
**assumes** *x*  $\in \text{formulas } S$  **and** *x'*  $\in \text{formulas } S$   
**assumes** *y*  $\in \text{formulas } S$  **and** *y'*  $\in \text{formulas } S$   
**shows** *x* = *x'*  $\wedge$  *y* = *y'*  
 $\langle \text{proof} \rangle$

## 1.6 Specification of homomorphism operator

Our goal is to define a homomorphism operator *hom* such that for any function *f*, *hom f* is the unique Boolean algebra homomorphism satisfying *hom f* (*var i*) = *f i* for all *i*.

Instead of defining *hom* directly, we will follow the approach used to define Isabelle's *fold* operator for finite sets. First we define the graph of the *hom* function as a relation; later we will define the *hom* function itself using definite choice.

The *hom-graph* relation is defined inductively, with introduction rules based on the if-then-else normal form of Boolean formulas. The relation is also indexed by an extra set parameter *S*, to ensure that branches of each if-then-else do not use the same variable again.

**inductive**

*hom-graph* ::  
 $('a \Rightarrow 'b::\text{boolean-algebra}) \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ formula} \Rightarrow 'b \Rightarrow \text{bool}$

**for** *f* ::  $'a \Rightarrow 'b::\text{boolean-algebra}$

**where**

*bot*: *hom-graph f {} bot bot*

```

| top: hom-graph f {} top top
| ifte:  $i \notin S \implies \text{hom-graph } f S x a \implies \text{hom-graph } f S y b \implies$ 
  hom-graph f (insert i S) (ifte (var i) x y) (ifte (f i) a b)

```

The next two lemmas establish a stronger elimination rule for assumptions of the form  $\text{hom-graph } f (\text{insert } i S) x a$ . Essentially, they say that we can arrange the top-level if-then-else to use the variable of our choice. The proof makes use of the distributive properties of if-then-else.

**lemma** *hom-graph-dest*:

```

hom-graph f S x a  $\implies k \in S \implies \exists y z b c.$ 
 $x = \text{ifte} (\text{var } k) y z \wedge a = \text{ifte} (f k) b c \wedge$ 
hom-graph f (S - {k}) y b  $\wedge \text{hom-graph } f (S - \{k\}) z c$ 
⟨proof⟩

```

**lemma** *hom-graph-insert-elim*:

```

assumes hom-graph f (insert i S) x a and  $i \notin S$ 
obtains y z b c
where  $x = \text{ifte} (\text{var } i) y z$ 
and  $a = \text{ifte} (f i) b c$ 
and hom-graph f S y b
and hom-graph f S z c
⟨proof⟩

```

Now we prove the first uniqueness property of the *hom-graph* relation. This version of uniqueness says that for any particular value of  $S$ , the relation  $\text{hom-graph } f S$  maps each  $x$  to at most one  $a$ . The proof uses the injectiveness of if-then-else, which we proved earlier.

**lemma** *hom-graph-imp-formulas*:

```

hom-graph f S x a  $\implies x \in \text{formulas } S$ 
⟨proof⟩

```

**lemma** *hom-graph-unique*:

```

hom-graph f S x a  $\implies \text{hom-graph } f S x a' \implies a = a'$ 
⟨proof⟩

```

The next few lemmas will help to establish a stronger version of the uniqueness property of *hom-graph*. They show that the *hom-graph* relation is preserved if we replace  $S$  with a larger finite set.

**lemma** *hom-graph-insert*:

```

assumes hom-graph f S x a
shows hom-graph f (insert i S) x a
⟨proof⟩

```

**lemma** *hom-graph-finite-superset*:

```

assumes hom-graph f S x a and finite T and  $S \subseteq T$ 
shows hom-graph f T x a
⟨proof⟩

```

**lemma** *hom-graph-imp-finite*:  
*hom-graph f S x a*  $\implies$  *finite S*  
*(proof)*

This stronger uniqueness property says that *hom-graph f* maps each *x* to at most one *a*, even for *different* values of the set parameter.

**lemma** *hom-graph-unique'*:  
**assumes** *hom-graph f S x a* **and** *hom-graph f T x a'*  
**shows** *a = a'*  
*(proof)*

Finally, these last few lemmas establish that the *hom-graph f* relation is total: every *x* is mapped to some *a*.

**lemma** *hom-graph-var*: *hom-graph f {i}* (*var i*) (*f i*)  
*(proof)*

**lemma** *hom-graph-compl*:  
*hom-graph f S x a*  $\implies$  *hom-graph f S (- x) (- a)*  
*(proof)*

**lemma** *hom-graph-inf*:  
*hom-graph f S x a*  $\implies$  *hom-graph f S y b*  $\implies$   
*hom-graph f S (x ∩ y) (a ∩ b)*  
*(proof)*

**lemma** *hom-graph-union-inf*:  
**assumes** *hom-graph f S x a* **and** *hom-graph f T y b*  
**shows** *hom-graph f (S ∪ T) (x ∩ y) (a ∩ b)*  
*(proof)*

**lemma** *hom-graph-exists*:  $\exists a. S. \text{hom-graph } f S x a$   
*(proof)*

## 1.7 Homomorphisms into other boolean algebras

Now that we have proved the necessary existence and uniqueness properties of *hom-graph*, we can define the function *hom* using definite choice.

**definition**  
*hom* ::  $('a \Rightarrow 'b::boolean-algebra) \Rightarrow 'a formula \Rightarrow 'b$   
**where**  
*hom f x = (THE a.  $\exists S. \text{hom-graph } f S x a$ )*

**lemma** *hom-graph-hom*:  $\exists S. \text{hom-graph } f S x (\text{hom } f x)$   
*(proof)*

**lemma** *hom-equality*:  
*hom-graph f S x a*  $\implies$  *hom f x = a*

$\langle proof \rangle$

The  $hom$  function correctly implements its specification:

**lemma**  $hom\text{-}var$  [simp]:  $hom f (var i) = f i$   
 $\langle proof \rangle$

**lemma**  $hom\text{-}bot$  [simp]:  $hom f \perp = \perp$   
 $\langle proof \rangle$

**lemma**  $hom\text{-}top$  [simp]:  $hom f \top = \top$   
 $\langle proof \rangle$

**lemma**  $hom\text{-}compl$  [simp]:  $hom f (- x) = - hom f x$   
 $\langle proof \rangle$

**lemma**  $hom\text{-}inf$  [simp]:  $hom f (x \sqcap y) = hom f x \sqcap hom f y$   
 $\langle proof \rangle$

**lemma**  $hom\text{-}sup$  [simp]:  $hom f (x \sqcup y) = hom f x \sqcup hom f y$   
 $\langle proof \rangle$

**lemma**  $hom\text{-}diff$  [simp]:  $hom f (x - y) = hom f x - hom f y$   
 $\langle proof \rangle$

**lemma**  $hom\text{-}ifte$  [simp]:  
 $hom f (\text{ifte } x y z) = \text{ifte} (hom f x) (hom f y) (hom f z)$   
 $\langle proof \rangle$

**lemmas**  $hom\text{-}simps} =$   
 $hom\text{-}var\ hom\text{-}bot\ hom\text{-}top\ hom\text{-}compl$   
 $hom\text{-}inf\ hom\text{-}sup\ hom\text{-}diff\ hom\text{-}ifte$

The type ' $a$  formula' can be viewed as a monad, with  $var$  as the unit, and  $hom$  as the bind operator. We can prove the standard monad laws with simple proofs by induction.

**lemma**  $hom\text{-}var\text{-}eq\text{-}id$ :  $hom var x = x$   
 $\langle proof \rangle$

**lemma**  $hom\text{-}hom$ :  $hom f (hom g x) = hom (\lambda i. hom f (g i)) x$   
 $\langle proof \rangle$

## 1.8 Map operation on Boolean formulas

We can define a map functional in terms of  $hom$  and  $var$ . The properties of  $fmap$  follow directly from the lemmas we have already proved about  $hom$ .

**definition**  
 $fmap :: ('a \Rightarrow 'b) \Rightarrow 'a \text{ formula} \Rightarrow 'b \text{ formula}$   
**where**

```

 $fmap f = hom (\lambda i. var (f i))$ 

lemma fmap-var [simp]:  $fmap f (var i) = var (f i)$ 
⟨proof⟩

lemma fmap-bot [simp]:  $fmap f \perp = \perp$ 
⟨proof⟩

lemma fmap-top [simp]:  $fmap f \top = \top$ 
⟨proof⟩

lemma fmap-compl [simp]:  $fmap f (- x) = - fmap f x$ 
⟨proof⟩

lemma fmap-inf [simp]:  $fmap f (x \sqcap y) = fmap f x \sqcap fmap f y$ 
⟨proof⟩

lemma fmap-sup [simp]:  $fmap f (x \sqcup y) = fmap f x \sqcup fmap f y$ 
⟨proof⟩

lemma fmap-diff [simp]:  $fmap f (x - y) = fmap f x - fmap f y$ 
⟨proof⟩

lemma fmap-ifte [simp]:
 $fmap f (ifte x y z) = ifte (fmap f x) (fmap f y) (fmap f z)$ 
⟨proof⟩

lemmas fmap-simps =
fmap-var fmap-bot fmap-top fmap-compl
fmap-inf fmap-sup fmap-diff fmap-ifte

```

The map functional satisfies the functor laws: it preserves identity and function composition.

```

lemma fmap-ident:  $fmap (\lambda i. i) x = x$ 
⟨proof⟩

lemma fmap-fmap:  $fmap f (fmap g x) = fmap (f \circ g) x$ 
⟨proof⟩

```

## 1.9 Hiding lattice syntax

The following command hides the lattice syntax, to avoid potential conflicts with other theories that import this one. To re-enable the syntax, users should unbundle *lattice-syntax*.

```

unbundle no lattice-syntax
end

```