

# Verified Construction of Static Single Assignment Form

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## Abstract

We define a functional variant of the static single assignment (SSA) form construction algorithm described by Braun et al. [2], which combines simplicity and efficiency. The definition is based on a general, abstract control flow graph representation using Isabelle locales. We prove that the algorithm’s output is semantically equivalent to the input according to a small-step semantics, and that it is in minimal SSA form for the common special case of reducible inputs. We then show the satisfiability of the locale assumptions by giving instantiations for a simple While language. Furthermore, we use a generic instantiation based on typedefs in order to extract ML code and replace the unverified SSA construction algorithm of the CompCertSSA project [1] with it.

## Contents

<b>1</b>	<b>Prelude</b>	<b>2</b>
1.1	Miscellaneous Lemmata . . . . .	2
1.2	Serial Relations . . . . .	6
1.3	Mapping Extensions . . . . .	7
<b>2</b>	<b>SSA Representation</b>	<b>9</b>
2.1	Inductive Graph Paths . . . . .	9
2.2	Domination . . . . .	18
2.3	CFG . . . . .	22
2.4	SSA CFG . . . . .	24
2.5	Bundling of CFG and Equivalent SSA CFG . . . . .	31
<b>3</b>	<b>Minimality</b>	<b>33</b>
<b>4</b>	<b>SSA Construction</b>	<b>36</b>
4.1	CFG to SSA CFG . . . . .	36
4.2	Inductive Removal of Trivial Phi Functions . . . . .	44

<b>5</b>	<b>Proof of Semantic Equivalence</b>	<b>51</b>
<b>6</b>	<b>Code Generation</b>	<b>52</b>
6.1	While Combinator Extensions . . . . .	52
6.2	Code Equations for SSA Construction . . . . .	59
6.3	Locales Transfer Rules . . . . .	63
6.4	Code Equations for SSA Minimization . . . . .	73
6.5	Generic Code Extraction Based on typedefs . . . . .	82
6.5.1	Instantiation for a Simple While Language . . . . .	92

## 1 Prelude

### 1.1 Miscellaneous Lemmata

```
theory FormalSSA-Misc
imports Main HOL-Library.Sublist
begin
```

```
lemma length-1-last-hd: length ns = 1  $\implies$  last ns = hd ns
  <proof>
```

```
lemma not-in-butlast[simp]:  $\llbracket x \in \text{set } ys; x \notin \text{set } (\text{butlast } ys) \rrbracket \implies x = \text{last } ys$ 
  <proof>
```

```
lemma in-set-butlastI:  $x \in \text{set } xs \implies x \neq \text{last } xs \implies x \in \text{set } (\text{butlast } xs)$ 
  <proof>
```

```
lemma butlast-strict-prefix:  $xs \neq [] \implies \text{strict-prefix } (\text{butlast } xs) \ xs$ 
  <proof>
```

```
lemma set-tl:  $\text{set } (\text{tl } xs) \subseteq \text{set } xs$ 
  <proof>
```

```
lemma in-set-tlD[elim]:  $x \in \text{set } (\text{tl } xs) \implies x \in \text{set } xs$ 
  <proof>
```

```
lemma suffix-unsnoc:
  assumes suffix xs ys xs  $\neq []$ 
  obtains x where  $xs = \text{butlast } xs@[x]$   $ys = \text{butlast } ys@[x]$ 
  <proof>
```

```
lemma prefix-split-first:
  assumes  $x \in \text{set } xs$ 
  obtains as where  $\text{prefix } (as@[x]) \ xs$  and  $x \notin \text{set } as$ 
  <proof>
```

```
lemma in-prefix[elim]:
  assumes  $\text{prefix } xs \ ys$  and  $x \in \text{set } xs$ 
```

**shows**  $x \in \text{set } ys$   
*<proof>*

**lemma** *strict-prefix-butlast*:  
**assumes**  $\text{prefix } xs \ (\text{butlast } ys) \ ys \neq []$   
**shows**  $\text{strict-prefix } xs \ ys$   
*<proof>*

**lemma** *prefix-tl-subset*:  $\text{prefix } xs \ ys \implies \text{set } (\text{tl } xs) \subseteq \text{set } (\text{tl } ys)$   
*<proof>*

**lemma** *suffix-tl-subset*:  $\text{suffix } xs \ ys \implies \text{set } (\text{tl } xs) \subseteq \text{set } (\text{tl } ys)$   
*<proof>*

**lemma** *set-tl-append'*:  $\text{set } (\text{tl } (xs @ ys)) \subseteq \text{set } (\text{tl } xs) \cup \text{set } ys$   
*<proof>*

**lemma** *last-in-tl*:  $\text{length } xs > 1 \implies \text{last } xs \in \text{set } (\text{tl } xs)$   
*<proof>*

**lemma** *concat-join*:  $xs \neq [] \implies ys \neq [] \implies \text{last } xs = \text{hd } ys \implies \text{butlast } xs @ ys = xs @ \text{tl } ys$   
*<proof>*

**lemma** *fold-induct[case-names Nil Cons]*:  $P \ s \implies (\bigwedge x \ s. x \in \text{set } xs \implies P \ s \implies P \ (f \ x \ s)) \implies P \ (\text{fold } f \ xs \ s)$   
*<proof>*

**lemma** *fold-union-elem*:  
**assumes**  $x \in \text{fold } (\cup) \ xss \ xs$   
**obtains**  $ys$  **where**  $x \in ys \ \forall ys \in \text{set } xss \cup \{xs\}$   
*<proof>*

**lemma** *fold-union-elemI*:  
**assumes**  $x \in ys \ \forall ys \in \text{set } xss \cup \{xs\}$   
**shows**  $x \in \text{fold } (\cup) \ xss \ xs$   
*<proof>*

**lemma** *fold-union-elemI'*:  
**assumes**  $x \in xs \vee (\exists xs \in \text{set } xss. x \in xs)$   
**shows**  $x \in \text{fold } (\cup) \ xss \ xs$   
*<proof>*

**lemma** *fold-union-finite[intro!]*:  
**assumes**  $\text{finite } xs \ \forall xs \in \text{set } xss. \text{finite } xs$   
**shows**  $\text{finite } (\text{fold } (\cup) \ xss \ xs)$   
*<proof>*

**lemma** *in-set-zip-map*:

**assumes**  $(x,y) \in \text{set } (\text{zip } xs \ (\text{map } f \ ys))$   
**obtains**  $y'$  **where**  $(x,y') \in \text{set } (\text{zip } xs \ ys)$   $f \ y' = y$   
 $\langle \text{proof} \rangle$

**lemma** *dom-comp-subset*:  $g \text{ ' } \text{dom } (f \circ g) \subseteq \text{dom } f$   
 $\langle \text{proof} \rangle$

**lemma** *finite-dom-comp*:  
**assumes** *finite*  $(\text{dom } f)$  *inj-on*  $g \ (\text{dom } (f \circ g))$   
**shows** *finite*  $(\text{dom } (f \circ g))$   
 $\langle \text{proof} \rangle$

**lemma** *the1-list*:  $\exists ! x \in \text{set } xs. P \ x \implies (\text{THE } x. x \in \text{set } xs \wedge P \ x) = \text{hd } (\text{filter } P \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *set-zip-leftI*:  
**assumes**  $\text{length } xs = \text{length } ys$   
**assumes**  $y \in \text{set } ys$   
**obtains**  $x$  **where**  $(x,y) \in \text{set } (\text{zip } xs \ ys)$   
 $\langle \text{proof} \rangle$

**lemma** *butlast-idx*:  
**assumes**  $y \in \text{set } (\text{butlast } xs)$   
**obtains**  $i$  **where**  $xs \ ! \ i = y$   $i < \text{length } xs - 1$   
 $\langle \text{proof} \rangle$

**lemma** *butlast-idx'*:  
**assumes**  $xs \ ! \ i = y$   $i < \text{length } xs - 1$   $\text{length } xs > 1$   
**shows**  $y \in \text{set } (\text{butlast } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *card-eq-1-singleton*:  
**assumes**  $\text{card } A = 1$   
**obtains**  $x$  **where**  $A = \{x\}$   
 $\langle \text{proof} \rangle$

**lemma** *set-take-two*:  
**assumes**  $\text{card } A \geq 2$   
**obtains**  $x \ y$  **where**  $x \in A$   $y \in A$   $x \neq y$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-list-hd-last*:  $\text{length } xs = 1 \implies \text{hd } xs = \text{last } xs$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-hd-tl*:  $\text{distinct } xs \implies \text{hd } xs \notin \text{set } (\text{tl } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *set-mono-strict-prefix*:  $\text{strict-prefix } xs \ ys \implies \text{set } xs \subseteq \text{set } (\text{butlast } ys)$

*<proof>*

**lemma** *set-butlast-distinct*:  $distinct\ xs \implies set\ (butlast\ xs) \cap \{last\ xs\} = \{\}$   
*<proof>*

**lemma** *disjoint-elim*[*elim*]:  $A \cap B = \{\} \implies x \in A \implies x \notin B$  *<proof>*

**lemma** *prefix-butlastD*[*elim*]:  $prefix\ xs\ (butlast\ ys) \implies prefix\ xs\ ys$   
*<proof>*

**lemma** *butlast-prefix*:  $prefix\ xs\ ys \implies prefix\ (butlast\ xs)\ (butlast\ ys)$   
*<proof>*

**lemma** *hd-in-butlast*:  $length\ xs > 1 \implies hd\ xs \in set\ (butlast\ xs)$   
*<proof>*

**lemma** *nonsimple-length-gt-1*:  $xs \neq [] \implies hd\ xs \neq last\ xs \implies length\ xs > 1$   
*<proof>*

**lemma** *set-hd-tl*:  $xs \neq [] \implies set\ [hd\ xs] \cup set\ (tl\ xs) = set\ xs$   
*<proof>*

**lemma** *fold-update-conv*:  
 $fold\ (\lambda n\ m.\ m(n \mapsto g\ n))\ xs\ m\ x =$   
*(if*  $(x \in set\ xs)$  *then*  $Some\ (g\ x)$  *else*  $m\ x$ *)*  
*<proof>*

**lemmas** *removeAll-le = length-removeAll-less-eq*

**lemmas** *removeAll-less [intro] = length-removeAll-less*

**lemma** *removeAll-induct*:  
**assumes**  $\bigwedge xs.\ (\bigwedge x.\ x \in set\ xs \implies P\ (removeAll\ x\ xs)) \implies P\ xs$   
**shows**  $P\ xs$   
*<proof>*

**lemma** *The-Min*:  $Ex1\ P \implies The\ P = Min\ \{x.\ P\ x\}$   
*<proof>*

**lemma** *The-Max*:  $Ex1\ P \implies The\ P = Max\ \{x.\ P\ x\}$   
*<proof>*

**lemma** *set-sorted-list-of-set-remove [simp]*:  
 $set\ (sorted-list-of-set\ (Set.remove\ x\ A)) = Set.remove\ x\ (set\ (sorted-list-of-set\ A))$   
*<proof>*

**lemma** *set-minus-one*:  $\llbracket v \neq v'; v' \in set\ vs \rrbracket \implies set\ vs - \{v'\} \subseteq \{v\} \iff set\ vs = \{v'\} \vee set\ vs = \{v, v'\}$   
*<proof>*

**lemma** *set-single-hd*:  $set\ vs = \{v\} \implies hd\ vs = v$   
 ⟨proof⟩

**lemma** *set-double-filter-hd*:  $[[\ set\ vs = \{v,v'\};\ v \neq v' ]] \implies hd\ [v' \leftarrow vs . v' \neq v] = v'$   
 ⟨proof⟩

**lemma** *map-option-the*:  $x = map\ option\ f\ y \implies x \neq None \implies the\ x = f\ (the\ y)$   
 ⟨proof⟩

**end**

## 1.2 Serial Relations

A serial relation on a finite carrier induces a cycle.

**theory** *Serial-Rel*

**imports** *Main*

**begin**

**definition** *serial-on*  $A\ r \longleftrightarrow (\forall x \in A. \exists y \in A. (x,y) \in r)$

**lemmas** *serial-onI* = *serial-on-def*[*THEN iffD2, rule-format*]

**lemmas** *serial-onE* = *serial-on-def*[*THEN iffD1, rule-format, THEN bexE*]

**fun** *iterated-serial-on* ::  $'a\ set \Rightarrow 'a\ rel \Rightarrow 'a \Rightarrow nat \Rightarrow 'a$  **where**

*iterated-serial-on*  $A\ r\ x\ 0 = x$

| *iterated-serial-on*  $A\ r\ x\ (Suc\ n) = (SOME\ y. y \in A \wedge (iterated-serial-on\ A\ r\ x\ n, y) \in r)$

**lemma** *iterated-serial-on-linear*:  $iterated-serial-on\ A\ r\ x\ (n+m) = iterated-serial-on\ A\ r\ (iterated-serial-on\ A\ r\ x\ n)\ m$   
 ⟨proof⟩

**lemma** *iterated-serial-on-in-A*:

**assumes** *serial-on*  $A\ r\ a \in A$

**shows** *iterated-serial-on*  $A\ r\ a\ n \in A$

⟨proof⟩

**lemma** *iterated-serial-on-in-power*:

**assumes** *serial-on*  $A\ r\ a \in A$

**shows**  $(a, iterated-serial-on\ A\ r\ a\ n) \in r^{\sim n}$

⟨proof⟩

**lemma** *trancl-powerI*:  $a \in R^{\sim n} \implies n > 0 \implies a \in R^+$

⟨proof⟩

**theorem** *serial-on-finite-cycle*:

**assumes** *serial-on*  $A\ r\ A \neq \{\}$  *finite*  $A$

**obtains**  $a$  **where**  $a \in A\ (a,a) \in r^+$

*<proof>*

**end**

### 1.3 Mapping Extensions

Some lifted definition on mapping and efficient implementations.

**theory** *Mapping-Exts*

**imports** *HOL-Library.Mapping FormalSSA-Misc*

**begin**

**lift-definition** *mapping-delete-all* ::  $('a \Rightarrow \text{bool}) \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$

**is**  $\lambda P m x. \text{if } (P x) \text{ then None else } m x$  *<proof>*

**lift-definition** *map-keys* ::  $('a \Rightarrow 'b) \Rightarrow ('a, 'c) \text{ mapping} \Rightarrow ('b, 'c) \text{ mapping}$

**is**  $\lambda f m x. \text{if } f - \{x\} \neq \{\}$  then  $m (\text{THE } k. f - \{x\} = \{k\})$  else None *<proof>*

**lift-definition** *map-values* ::  $('a \Rightarrow 'b \Rightarrow 'c \text{ option}) \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'c) \text{ mapping}$

**is**  $\lambda f m x. \text{Option.bind } (m x) (f x)$  *<proof>*

**lift-definition** *restrict-mapping* ::  $('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow ('a, 'b) \text{ mapping}$

**is**  $\lambda f. \text{restrict-map } (\text{Some} \circ f)$  *<proof>*

**lift-definition** *mapping-add* ::  $('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$

**is**  $(++)$  *<proof>*

**definition** *mmap* = *Mapping.map id*

**lemma** *lookup-map-keys*: *Mapping.lookup* (*map-keys* *f m*) *x* = (if  $f - \{x\} \neq \{\}$  then *Mapping.lookup* *m* (*THE*  $k. f - \{x\} = \{k\}$ ) else None) *<proof>*

**lemma** *Mapping-Mapping-lookup* [*simp*, *code-unfold*]: *Mapping.Mapping* (*Mapping.lookup* *m*) = *m* *<proof>*

**declare** *Mapping.lookup.abs-eq*[*simp*]

**lemma** *Mapping-eq-lookup*:  $m = m' \iff \text{Mapping.lookup } m = \text{Mapping.lookup } m'$  *<proof>*

**lemma** *map-of-map-if-conv*:

*map-of* (*map*  $(\lambda k. (k, f k))$  *xs*) *x* = (if  $x \in \text{set } xs$  then *Some*  $(f x)$  else None) *<proof>*

**lemma** *Mapping-lookup-map*: *Mapping.lookup* (*Mapping.map* *f g m*) *a* = *map-option* *g* (*Mapping.lookup* *m* (*f a*))

*<proof>*

**lemma** *Mapping-lookup-map-default*: *Mapping.lookup* (*Mapping.map-default* *k d f m*) *k'* = (if  $k = k'$

then  $(\text{Some} \circ f)$  (case *Mapping.lookup* *m k* of None  $\Rightarrow d$  | *Some*  $x \Rightarrow x$ )

*else Mapping.lookup m k')*  
*<proof>*

**lemma** *Mapping-lookup-mapping-add*: *Mapping.lookup (mapping-add m1 m2) k = case-option (Mapping.lookup m1 k) Some (Mapping.lookup m2 k)*  
*<proof>*

**lemma** *Mapping-lookup-map-values*: *Mapping.lookup (map-values f m) k = Option.bind (Mapping.lookup m k) (f k)*  
*<proof>*

**lemma** *lookup-fold-update [simp]*: *Mapping.lookup (fold ( $\lambda n.$  Mapping.update n (g n)) xs m) x = (if (x  $\in$  set xs) then Some (g x) else Mapping.lookup m x)*  
*<proof>*

**lemma** *mapping-eq-iff*: *m1 = m2  $\longleftrightarrow$  ( $\forall k.$  Mapping.lookup m1 k = Mapping.lookup m2 k)*  
*<proof>*

**lemma** *lookup-delete*: *Mapping.lookup (Mapping.delete k m) k' = (if k = k' then None else Mapping.lookup m k')*  
*<proof>*

**lemma** *keys-map-values*: *Mapping.keys (map-values f m) = Mapping.keys m - {k  $\in$  Mapping.keys m. f k (the (Mapping.lookup m k)) = None}*  
*<proof>*

**lemma** *map-default-eq*: *Mapping.map-default k v f m = m  $\longleftrightarrow$  ( $\exists v.$  Mapping.lookup m k = Some v  $\wedge$  f v = v)*  
*<proof>*

**lemma** *lookup-update-cases*: *Mapping.lookup (Mapping.update k v m) k' = (if k=k' then Some v else Mapping.lookup m k')*  
*<proof>*

**end**

**theory** *RBT-Mapping-Exts*

**imports**

*Mapping-Exts*

*HOL-Library.RBT-Mapping*

*HOL-Library.RBT-Set*

**begin**

**lemma** *restrict-mapping-code [code]*:  
*restrict-mapping f (RBT-Set.Set r) = RBT-Mapping.Mapping (RBT.map ( $\lambda a.$  f a) r)*



*<proof>*

**lemma** *map-keys-code*:

**assumes** *inj f*

**shows** *map-keys f (RBT-Mapping.Mapping t) = RBT.fold (λx v m. Mapping.update (f x) v m) t Mapping.empty*

*<proof>*

**lemma** *map-values-code* [*code*]:

*map-values f (RBT-Mapping.Mapping t) = RBT.fold (λx v m. case (f x v) of*  
*None ⇒ m | Some v' ⇒ Mapping.update x v' m) t Mapping.empty*

*<proof>*

**lemma** [*code-unfold*]: *set (RBT.keys t) = RBT-Set.Set (RBT.map (λ- -. ()) t)*

*<proof>*

**lemma** *mmap-rbt-code* [*code*]: *mmap f (RBT-Mapping.Mapping t) = RBT-Mapping.Mapping (RBT.map (λ-. f) t)*

*<proof>*

**lemma** *mapping-add-code* [*code*]: *mapping-add (RBT-Mapping.Mapping t1) (RBT-Mapping.Mapping t2) = RBT-Mapping.Mapping (RBT.union t1 t2)*

*<proof>*

**end**

## 2 SSA Representation

### 2.1 Inductive Graph Paths

We extend the Graph framework with inductively defined paths. We adopt the convention of separating locale definitions into assumption-less base locales.

**theory** *Graph-path imports*

*FormalSSA-Misc*

*Dijkstra-Shortest-Path.GraphSpec*

*CAVA-Automata.Digraph-Basic*

**begin**

**hide-const** *Omega-Words-Fun.prefix Omega-Words-Fun.suffix*

**type-synonym** (*'n, 'ed*) *edge = ('n × 'ed × 'n)*

**definition** *getFrom* :: (*'n, 'ed*) *edge ⇒ 'n* **where**

*getFrom ≡ fst*

**definition** *getData* :: (*'n, 'ed*) *edge ⇒ 'ed* **where**

*getData ≡ fst ∘ snd*

**definition** *getTo* :: (*'n, 'ed*) *edge ⇒ 'n* **where**

$getTo \equiv snd \circ snd$

**lemma** *get-edge-simps* [*simp*]:

$getFrom (f,d,t) = f$

$getData (f,d,t) = d$

$getTo (f,d,t) = t$

$\langle proof \rangle$

Predecessors of a node.

**definition** *pred* :: ('v,'w) graph  $\Rightarrow$  'v  $\Rightarrow$  ('v $\times$ 'w) set

**where** *pred* G v  $\equiv$  {(v',w). (v',w,v) $\in$ edges G}

**lemma** *pred-finite*[*simp*, *intro*]: finite (edges G)  $\implies$  finite (pred G v)

$\langle proof \rangle$

**lemma** *pred-empty*[*simp*]: pred empty v = {}  $\langle proof \rangle$

**lemma** (in *valid-graph*) *pred-subset*: pred G v  $\subseteq$  V $\times$ UNIV

$\langle proof \rangle$

**type-synonym** ('V,'W,' $\sigma$ ,'G) graph-pred-it =

'G  $\Rightarrow$  'V  $\Rightarrow$  ('V $\times$ 'W,' $\sigma$ ) set-iterator

**locale** *graph-pred-it-defs* =

**fixes** *pred-list-it* :: 'G  $\Rightarrow$  'V  $\Rightarrow$  ('V $\times$ 'W,('V $\times$ 'W) list) set-iterator

**begin**

**definition** *pred-it* g v  $\equiv$  it-to-it (pred-list-it g v)

**end**

**locale** *graph-pred-it* = graph  $\alpha$  invar + graph-pred-it-defs pred-list-it

**for**  $\alpha$  :: 'G  $\Rightarrow$  ('V,'W) graph **and** invar **and**

*pred-list-it* :: 'G  $\Rightarrow$  'V  $\Rightarrow$  ('V $\times$ 'W,('V $\times$ 'W) list) set-iterator +

**assumes** *pred-list-it-correct*:

$invar\ g \implies set-iterator (pred-list-it\ g\ v) (pred (\alpha\ g)\ v)$

**begin**

**lemma** *pred-it-correct*:

$invar\ g \implies set-iterator (pred-it\ g\ v) (pred (\alpha\ g)\ v)$

$\langle proof \rangle$

**lemma** *pi-pred-it*[*icf-proper-iteratorI*]:

*proper-it* (pred-it S v) (pred-it S v)

$\langle proof \rangle$

**lemma** *pred-it-proper*[*proper-it*]:

*proper-it'* ( $\lambda S. pred-it\ S\ v$ ) ( $\lambda S. pred-it\ S\ v$ )

$\langle proof \rangle$

**end**

**record** ('V,'W,'G) graph-ops = ('V,'W,'G) GraphSpec.graph-ops +

*gop-pred-list-it* :: 'G ⇒ 'V ⇒ ('V × 'W, ('V × 'W) list) set-iterator

**lemma** (in *graph-pred-it*) *pred-it-is-iterator*[*refine-transfer*]:  
*invar g* ⇒ *set-iterator* (*pred-it g v*) (*pred* ( $\alpha$  *g*) *v*)  
 ⟨*proof*⟩

**locale** *StdGraphDefs* = *GraphSpec.StdGraphDefs ops*  
 + *graph-pred-it-defs gop-pred-list-it ops*  
**for** *ops* :: ('V, 'W, 'G, 'm) *graph-ops-scheme*  
**begin**  
**abbreviation** *pred-list-it* **where** *pred-list-it* ≡ *gop-pred-list-it ops*  
**end**

**locale** *StdGraph* = *StdGraphDefs* + *org:StdGraph* +  
*graph-pred-it*  $\alpha$  *invar pred-list-it*

**locale** *graph-path-base* =  
*graph-nodes-it-defs*  $\lambda g. \text{foldri } (\alpha n \ g) +$   
*graph-pred-it-defs*  $\lambda g \ n. \text{foldri } (\text{inEdges}' \ g \ n)$   
**for**  
 $\alpha e$  :: 'g ⇒ ('node × 'edgeD × 'node) set **and**  
 $\alpha n$  :: 'g ⇒ 'node list **and**  
*invar* :: 'g ⇒ bool **and**  
*inEdges'* :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list  
**begin**

**definition** *inEdges* :: 'g ⇒ 'node ⇒ ('node × 'edgeD × 'node) list  
**where** *inEdges g n* ≡ *map* ( $\lambda(f,d). (f,d,n)$ ) (*inEdges'* *g n*)

**definition** *predecessors* :: 'g ⇒ 'node ⇒ 'node list **where**  
*predecessors g n* ≡ *map getFrom* (*inEdges g n*)

**definition** *successors* :: 'g ⇒ 'node ⇒ 'node list **where**  
*successors g m* ≡ [*n* . *n* ←  $\alpha n \ g$ , *m* ∈ *set* (*predecessors g n*)]

**declare** *predecessors-def* [*code*]

**declare** [[*inductive-internals*]]

**inductive** *path* :: 'g ⇒ 'node list ⇒ bool  
**for** *g* :: 'g

**where**

*empty-path*[*intro*]: [*n* ∈ *set* ( $\alpha n \ g$ ); *invar g*] ⇒ *path g* [*n*]  
 | *Cons-path*[*intro*]: [*path g ns*; *n'* ∈ *set* (*predecessors g* (*hd ns*))] ⇒ *path g*  
 (*n'#ns*)

**definition** *path2* :: 'g ⇒ 'node ⇒ 'node list ⇒ 'node ⇒ bool (- ⊢ ---->-)

[51,0,0,51] 80) **where**

$path2\ g\ n\ ns\ m \equiv path\ g\ ns \wedge n = hd\ ns \wedge m = last\ ns$

**abbreviation**  $\alpha\ g \equiv (\text{nodes} = set\ (\alpha n\ g),\ edges = \alpha e\ g)$   
**end**

**locale** *graph-path* =

*graph-path-base*  $\alpha e\ \alpha n\ invar\ inEdges'$  +

*graph*  $\alpha\ invar$  +

*ni*: *graph-nodes-it*  $\alpha\ invar\ \lambda g.\ foldri\ (\alpha n\ g) +$

*pi*: *graph-pred-it*  $\alpha\ invar\ \lambda g\ n.\ foldri\ (inEdges'\ g\ n)$

**for**

$\alpha e :: 'g \Rightarrow ('node \times 'edgeD \times 'node)\ set$  **and**

$\alpha n :: 'g \Rightarrow 'node\ list$  **and**

*invar* ::  $'g \Rightarrow bool$  **and**

*inEdges'* ::  $'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)\ list$

**begin**

**lemma** *αn-correct*:  $invar\ g \Longrightarrow set\ (\alpha n\ g) \supseteq getFrom\ ' \alpha e\ g \cup getTo\ ' \alpha e\ g$   
*<proof>*

**lemma** *αn-distinct*:  $invar\ g \Longrightarrow distinct\ (\alpha n\ g)$   
*<proof>*

**lemma** *inEdges-correct'*:

**assumes** *invar g*

**shows**  $set\ (inEdges\ g\ n) = (\lambda(f,d). (f,d,n))\ ' (pred\ (\alpha\ g)\ n)$

*<proof>*

**lemma** *inEdges-correct* [*intro!*, *simp*]:

$invar\ g \Longrightarrow set\ (inEdges\ g\ n) = \{(-, -, t). t = n\} \cap \alpha e\ g$

*<proof>*

**lemma** *in-set-αnI1* [*intro*]:  $\llbracket invar\ g; x \in getFrom\ ' \alpha e\ g \rrbracket \Longrightarrow x \in set\ (\alpha n\ g)$   
*<proof>*

**lemma** *in-set-αnI2* [*intro*]:  $\llbracket invar\ g; x \in getTo\ ' \alpha e\ g \rrbracket \Longrightarrow x \in set\ (\alpha n\ g)$   
*<proof>*

**lemma** *edge-to-node*:

**assumes** *invar g* **and**  $e \in \alpha e\ g$

**obtains**  $getFrom\ e \in set\ (\alpha n\ g)$  **and**  $getTo\ e \in set\ (\alpha n\ g)$

*<proof>*

**lemma** *inEdge-to-edge*:

**assumes**  $e \in set\ (inEdges\ g\ n)$  **and** *invar g*

**obtains**  $eD\ n'$  **where**  $(n',eD,n) \in \alpha e\ g$

$\langle \text{proof} \rangle$

**lemma** *edge-to-inEdge*:

**assumes**  $(n, eD, m) \in \alpha e g \text{ invar } g$

**obtains**  $(n, eD, m) \in \text{set } (\text{inEdges } g m)$

$\langle \text{proof} \rangle$

**lemma** *edge-to-predecessors*:

**assumes**  $(n, eD, m) \in \alpha e g \text{ invar } g$

**obtains**  $n \in \text{set } (\text{predecessors } g m)$

$\langle \text{proof} \rangle$

**lemma** *predecessor-is-node[elim]*:  $\llbracket n \in \text{set } (\text{predecessors } g n') ; \text{invar } g \rrbracket \implies n \in \text{set } (\alpha n g)$

$\langle \text{proof} \rangle$

**lemma** *successor-is-node[elim]*:  $\llbracket n \in \text{set } (\text{predecessors } g n') ; n \in \text{set } (\alpha n g) ; \text{invar } g \rrbracket \implies n' \in \text{set } (\alpha n g)$

$\langle \text{proof} \rangle$

**lemma** *successors-predecessors[simp]*:  $n \in \text{set } (\alpha n g) \implies n \in \text{set } (\text{successors } g m) \longleftrightarrow m \in \text{set } (\text{predecessors } g n)$

$\langle \text{proof} \rangle$

**lemma** *path-not-Nil[simp, dest]*:  $\text{path } g ns \implies ns \neq []$

$\langle \text{proof} \rangle$

**lemma** *path2-not-Nil[simp]*:  $g \vdash n - ns \rightarrow m \implies ns \neq []$

$\langle \text{proof} \rangle$

**lemma** *path2-not-Nil2[simp]*:  $\neg g \vdash n - [] \rightarrow m$

$\langle \text{proof} \rangle$

**lemma** *path2-not-Nil3[simp]*:  $g \vdash n - ns \rightarrow m \implies \text{length } ns \geq 1$

$\langle \text{proof} \rangle$

**lemma** *empty-path2[intro]*:  $\llbracket n \in \text{set } (\alpha n g) ; \text{invar } g \rrbracket \implies g \vdash n - [n] \rightarrow n$

$\langle \text{proof} \rangle$

**lemma** *Cons-path2[intro]*:  $\llbracket g \vdash n - ns \rightarrow m ; n' \in \text{set } (\text{predecessors } g n) \rrbracket \implies g \vdash n' - n' \# ns \rightarrow m$

$\langle \text{proof} \rangle$

**lemma** *path2-cases*:

**assumes**  $g \vdash n - ns \rightarrow m$

**obtains**  $(\text{empty-path}) ns = [n] m = n$

$\mid (\text{Cons-path}) g \vdash \text{hd } (tl ns) - tl ns \rightarrow m \ n \in \text{set } (\text{predecessors } g (\text{hd } (tl ns)))$

$\langle \text{proof} \rangle$

**lemma** *path2-induct*[*consumes 1, case-names empty-path Cons-path*]:  
**assumes**  $g \vdash n - ns \rightarrow m$   
**assumes** *empty*:  $invar\ g \implies P\ m\ [m]\ m$   
**assumes** *Cons*:  $\bigwedge ns\ n'\ n.\ g \vdash n - ns \rightarrow m \implies P\ n\ ns\ m \implies n' \in set\ (predecessors\ g\ n) \implies P\ n'\ (n' \# ns)\ m$   
**shows**  $P\ n\ ns\ m$   
*<proof>*

**lemma** *path-invar*[*intro*]:  $path\ g\ ns \implies invar\ g$   
*<proof>*

**lemma** *path-in- $\alpha n$* [*intro*]:  $\llbracket path\ g\ ns; n \in set\ ns \rrbracket \implies n \in set\ (\alpha n\ g)$   
*<proof>*

**lemma** *path2-in- $\alpha n$* [*elim*]:  $\llbracket g \vdash n - ns \rightarrow m; l \in set\ ns \rrbracket \implies l \in set\ (\alpha n\ g)$   
*<proof>*

**lemma** *path2-hd-in- $\alpha n$* [*elim*]:  $g \vdash n - ns \rightarrow m \implies n \in set\ (\alpha n\ g)$   
*<proof>*

**lemma** *path2-tl-in- $\alpha n$* [*elim*]:  $g \vdash n - ns \rightarrow m \implies m \in set\ (\alpha n\ g)$   
*<proof>*

**lemma** *path2-forget-hd*[*simp*]:  $g \vdash n - ns \rightarrow m \implies g \vdash hd\ ns - ns \rightarrow m$   
*<proof>*

**lemma** *path2-forget-last*[*simp*]:  $g \vdash n - ns \rightarrow m \implies g \vdash n - ns \rightarrow last\ ns$   
*<proof>*

**lemma** *path-hd*[*dest*]:  $path\ g\ (n \# ns) \implies path\ g\ [n]$   
*<proof>*

**lemma** *path-by-tail*[*intro*]:  $\llbracket path\ g\ (n \# n' \# ns); path\ g\ (n' \# ns) \rrbracket \implies path\ g\ (n' \# ms)$   
 $\implies path\ g\ (n \# n' \# ms)$   
*<proof>*

**lemma**  *$\alpha n$ -in- $\alpha nE$*  [*elim*]:  
**assumes**  $(n, e, m) \in \alpha e\ g$  **and**  $invar\ g$   
**obtains**  $n \in set\ (\alpha n\ g)$  **and**  $m \in set\ (\alpha n\ g)$   
*<proof>*

**lemma** *path-split*:  
**assumes**  $path\ g\ (ns @ m \# ns')$   
**shows**  $path\ g\ (ns @ [m])\ path\ g\ (m \# ns')$   
*<proof>*

**lemma** *path2-split*:  
**assumes**  $g \vdash n - ns @ n' \# ns' \rightarrow m$

**shows**  $g \vdash n - ns@[n'] \rightarrow n'$   $g \vdash n' - n' \# ns' \rightarrow m$   
 ⟨proof⟩

**lemma** *elem-set-implies-elim-tl-app-cons*[simp]:  $x \in \text{set } xs \implies x \in \text{set } (\text{tl } (ys@y\#xs))$   
 ⟨proof⟩

**lemma** *path2-split-ex*:

**assumes**  $g \vdash n - ns \rightarrow m$   $x \in \text{set } ns$

**obtains**  $ns_1$   $ns_2$  **where**  $g \vdash n - ns_1 \rightarrow x$   $g \vdash x - ns_2 \rightarrow m$   $ns = ns_1 @ \text{tl } ns_2$   $ns = \text{butlast } ns_1 @ ns_2$   
 ⟨proof⟩

**lemma** *path2-split-ex'*:

**assumes**  $g \vdash n - ns \rightarrow m$   $x \in \text{set } ns$

**obtains**  $ns_1$   $ns_2$  **where**  $g \vdash n - ns_1 \rightarrow x$   $g \vdash x - ns_2 \rightarrow m$   $ns = \text{butlast } ns_1 @ ns_2$   
 ⟨proof⟩

**lemma** *path-snoc*:

**assumes**  $\text{path } g \ (ns@[n])$   $n \in \text{set } (\text{predecessors } g \ m)$

**shows**  $\text{path } g \ (ns@[n,m])$

⟨proof⟩

**lemma** *path2-snoc*[elim]:

**assumes**  $g \vdash n - ns \rightarrow m$   $m \in \text{set } (\text{predecessors } g \ m')$

**shows**  $g \vdash n - ns@[m'] \rightarrow m'$

⟨proof⟩

**lemma** *path-unsnoc*:

**assumes**  $\text{path } g \ ns$   $\text{length } ns \geq 2$

**obtains**  $\text{path } g \ (\text{butlast } ns) \wedge \text{last } (\text{butlast } ns) \in \text{set } (\text{predecessors } g \ (\text{last } ns))$

⟨proof⟩

**lemma** *path2-unsnoc*:

**assumes**  $g \vdash n - ns \rightarrow m$   $\text{length } ns \geq 2$

**obtains**  $g \vdash n - \text{butlast } ns \rightarrow \text{last } (\text{butlast } ns)$   $\text{last } (\text{butlast } ns) \in \text{set } (\text{predecessors } g \ m)$

⟨proof⟩

**lemma** *path2-rev-induct*[consumes 1, case-names empty snoc]:

**assumes**  $g \vdash n - ns \rightarrow m$

**assumes** *empty*:  $n \in \text{set } (\alpha n \ g) \implies P \ n \ [n] \ n$

**assumes** *snoc*:  $\bigwedge ns \ m' \ m. g \vdash n - ns \rightarrow m' \implies P \ n \ ns \ m' \implies m' \in \text{set } (\text{predecessors } g \ m) \implies P \ n \ (ns@[m]) \ m$

**shows**  $P \ n \ ns \ m$

⟨proof⟩

**lemma** *path2-hd*[elim, dest?]:  $g \vdash n - ns \rightarrow m \implies n = \text{hd } ns$

⟨proof⟩

**lemma** *path2-hd-in-ns*[*elim*]:  $g \vdash n - ns \rightarrow m \implies n \in \text{set } ns$   
(*proof*)

**lemma** *path2-last*[*elim*, *dest?*]:  $g \vdash n - ns \rightarrow m \implies m = \text{last } ns$   
(*proof*)

**lemma** *path2-last-in-ns*[*elim*]:  $g \vdash n - ns \rightarrow m \implies m \in \text{set } ns$   
(*proof*)

**lemma** *path-app*[*elim*]:  
  **assumes** *path*  $g \ ns \ path \ g \ ms \ last \ ns = hd \ ms$   
  **shows** *path*  $g \ (ns@tl \ ms)$   
(*proof*)

**lemma** *path2-app*[*elim*]:  
  **assumes**  $g \vdash n - ns \rightarrow m \ g \vdash m - ms \rightarrow l$   
  **shows**  $g \vdash n - ns@tl \ ms \rightarrow l$   
(*proof*)

**lemma** *butlast-tl*:  
  **assumes**  $last \ xs = hd \ ys \ xs \neq [] \ ys \neq []$   
  **shows**  $butlast \ xs@ys = xs@tl \ ys$   
(*proof*)

**lemma** *path2-app'*[*elim*]:  
  **assumes**  $g \vdash n - ns \rightarrow m \ g \vdash m - ms \rightarrow l$   
  **shows**  $g \vdash n - butlast \ ns@ms \rightarrow l$   
(*proof*)

**lemma** *path2-nontrivial*[*elim*]:  
  **assumes**  $g \vdash n - ns \rightarrow m \ n \neq m$   
  **shows**  $length \ ns \geq 2$   
(*proof*)

**lemma** *simple-path2-aux*:  
  **assumes**  $g \vdash n - ns \rightarrow m$   
  **obtains**  $ns'$  **where**  $g \vdash n - ns' \rightarrow m \ distinct \ ns' \ set \ ns' \subseteq \text{set } ns \ length \ ns' \leq$   
*length ns*  
(*proof*)

**lemma** *simple-path2*:  
  **assumes**  $g \vdash n - ns \rightarrow m$   
  **obtains**  $ns'$  **where**  $g \vdash n - ns' \rightarrow m \ distinct \ ns' \ set \ ns' \subseteq \text{set } ns \ length \ ns' \leq$   
*length ns*  $n \notin \text{set } (tl \ ns') \ m \notin \text{set } (butlast \ ns')$   
(*proof*)

**lemma** *simple-path2-unsnoc*:  
  **assumes**  $g \vdash n - ns \rightarrow m \ n \neq m$   
  **obtains**  $ns'$  **where**  $g \vdash n - ns' \rightarrow last \ ns' \ last \ ns' \in \text{set } (predecessors \ g \ m) \ distinct$



$ns' \text{ set } ns' \subseteq \text{set } ns \ m \notin \text{set } ns'$   
 ⟨proof⟩

**lemma** *path2-split-first-last*:

**assumes**  $g \vdash n - ns \rightarrow m \ x \in \text{set } ns$

**obtains**  $ns_1 \ ns_3 \ ns_2$  **where**  $ns = ns_1 @ ns_3 @ ns_2$  *prefix*  $(ns_1 @ [x])$  *ns suffix*  
 $(x \# ns_2)$  *ns*

**and**  $g \vdash n - ns_1 @ [x] \rightarrow x \ x \notin \text{set } ns_1$

**and**  $g \vdash x - ns_3 \rightarrow x$

**and**  $g \vdash x - x \# ns_2 \rightarrow m \ x \notin \text{set } ns_2$

⟨proof⟩

**lemma** *path2-simple-loop*:

**assumes**  $g \vdash n - ns \rightarrow n \ n' \in \text{set } ns$

**obtains**  $ns'$  **where**  $g \vdash n - ns' \rightarrow n \ n' \in \text{set } ns' \ n \notin \text{set } (tl \ (butlast \ ns'))$  *set*  $ns'$   
 $\subseteq \text{set } ns$

⟨proof⟩

**lemma** *path2-split-first-prop*:

**assumes**  $g \vdash n - ns \rightarrow m \ \exists x \in \text{set } ns. \ P \ x$

**obtains**  $m' \ ns'$  **where**  $g \vdash n - ns' \rightarrow m' \ P \ m' \ \forall x \in \text{set } (butlast \ ns'). \ \neg P \ x$  *prefix*  
 $ns' \ ns$

⟨proof⟩

**lemma** *path2-split-last-prop*:

**assumes**  $g \vdash n - ns \rightarrow m \ \exists x \in \text{set } ns. \ P \ x$

**obtains**  $n' \ ns'$  **where**  $g \vdash n' - ns' \rightarrow m \ P \ n' \ \forall x \in \text{set } (tl \ ns'). \ \neg P \ x$  *suffix*  $ns' \ ns$

⟨proof⟩

**lemma** *path2-prefix[elim]*:

**assumes**  $1: g \vdash n - ns \rightarrow m$

**assumes**  $2: \text{prefix } (ns' @ [m']) \ ns$

**shows**  $g \vdash n - ns' @ [m'] \rightarrow m'$

⟨proof⟩

**lemma** *path2-prefix-ex*:

**assumes**  $g \vdash n - ns \rightarrow m \ m' \in \text{set } ns$

**obtains**  $ns'$  **where**  $g \vdash n - ns' \rightarrow m' \ \text{prefix } ns' \ ns \ m' \notin \text{set } (butlast \ ns')$

⟨proof⟩

**lemma** *path2-strict-prefix-ex*:

**assumes**  $g \vdash n - ns \rightarrow m \ m' \in \text{set } (butlast \ ns)$

**obtains**  $ns'$  **where**  $g \vdash n - ns' \rightarrow m' \ \text{strict-prefix } ns' \ ns \ m' \notin \text{set } (butlast \ ns')$

⟨proof⟩

**lemma** *path2-nontriv[elim]*:  $\llbracket g \vdash n - ns \rightarrow m; \ n \neq m \rrbracket \implies \text{length } ns > 1$

⟨proof⟩

**declare** *path-not-Nil* [*simp del*]

```

declare path2-not-Nil [simp del]
declare path2-not-Nil3 [simp del]
end

```

## 2.2 Domination

We fix an entry node per graph and use it to define node domination.

```

locale graph-Entry-base = graph-path-base  $\alpha e$   $\alpha n$  invar inEdges'
for
   $\alpha e :: 'g \Rightarrow ('node \times 'edgeD \times 'node)$  set and
   $\alpha n :: 'g \Rightarrow 'node$  list and
  invar ::  $'g \Rightarrow bool$  and
  inEdges' ::  $'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)$  list
+
fixes Entry ::  $'g \Rightarrow 'node$ 
begin
  definition dominates ::  $'g \Rightarrow 'node \Rightarrow 'node \Rightarrow bool$  where
    dominates  $g\ n\ m \equiv m \in set\ (\alpha n\ g) \wedge (\forall ns. g \vdash Entry\ g\ ns \rightarrow m \longrightarrow n \in set\ ns)$ 

  abbreviation strict-dom  $g\ n\ m \equiv n \neq m \wedge dominates\ g\ n\ m$ 
end

```

```

locale graph-Entry = graph-Entry-base  $\alpha e$   $\alpha n$  invar inEdges' Entry
  + graph-path  $\alpha e$   $\alpha n$  invar inEdges'
for
   $\alpha e :: 'g \Rightarrow ('node \times 'edgeD \times 'node)$  set and
   $\alpha n :: 'g \Rightarrow 'node$  list and
  invar ::  $'g \Rightarrow bool$  and
  inEdges' ::  $'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)$  list and
  Entry ::  $'g \Rightarrow 'node$ 
+
assumes Entry-in-graph[simp]:  $Entry\ g \in set\ (\alpha n\ g)$ 
assumes Entry-unreachable:  $invar\ g \implies inEdges\ g\ (Entry\ g) = []$ 
assumes Entry-reaches[intro]:
   $[[n \in set\ (\alpha n\ g); invar\ g]] \implies \exists ns. g \vdash Entry\ g\ ns \rightarrow n$ 
begin
  lemma Entry-dominates[simp,intro]:  $[[invar\ g; n \in set\ (\alpha n\ g)]] \implies dominates\ g\ (Entry\ g)\ n$ 
  <proof>

  lemma Entry-iff-unreachable[simp]:
    assumes  $invar\ g\ n \in set\ (\alpha n\ g)$ 
    shows  $predecessors\ g\ n = [] \iff n = Entry\ g$ 
  <proof>

  lemma Entry-loop:
    assumes  $invar\ g\ g \vdash Entry\ g\ ns \rightarrow Entry\ g$ 
    shows  $ns = [Entry\ g]$ 

```

*<proof>*

**lemma** *simple-Entry-path*:

**assumes** *invar g n ∈ set (αn g)*

**obtains** *ns where g ⊢ Entry g-ns→n and n ∉ set (butlast ns)*

*<proof>*

**lemma** *dominatesI [intro]*:

$\llbracket m \in \text{set } (\alpha n g); \bigwedge ns. \llbracket g \vdash \text{Entry } g-ns \rightarrow m \rrbracket \implies n \in \text{set } ns \rrbracket \implies \text{dominates } g$   
*n m*

*<proof>*

**lemma** *dominatesE*:

**assumes** *dominates g n m*

**obtains** *m ∈ set (αn g) and  $\bigwedge ns. g \vdash \text{Entry } g-ns \rightarrow m \implies n \in \text{set } ns$*

*<proof>*

**lemma**[*simp*]: *dominates g n m  $\implies m \in \text{set } (\alpha n g)$  <proof>*

**lemma**[*simp*]:

**assumes** *dominates g n m and [simp]: invar g*

**shows** *n ∈ set (αn g)*

*<proof>*

**lemma** *strict-domE[elim]*:

**assumes** *strict-dom g n m*

**obtains** *m ∈ set (αn g) and  $\bigwedge ns. g \vdash \text{Entry } g-ns \rightarrow m \implies n \in \text{set } (\text{butlast } ns)$*

*<proof>*

**lemma** *dominates-refl[intro!]*:  $\llbracket \text{invar } g; n \in \text{set } (\alpha n g) \rrbracket \implies \text{dominates } g n n$

*<proof>*

**lemma** *dominates-trans*:

**assumes** *invar g*

**assumes** *part1: dominates g n n'*

**assumes** *part2: dominates g n' n''*

**shows** *dominates g n n''*

*<proof>*

**lemma** *dominates-antisymm*:

**assumes** *invar g*

**assumes** *dom1: dominates g n n'*

**assumes** *dom2: dominates g n' n*

**shows** *n = n'*

*<proof>*

**lemma** *dominates-unsnoc*:

**assumes** [*simp*]: *invar g and dominates g n m m' ∈ set (predecessors g m) n*

$\neq m$

**shows** *dominates g n m'*  
*<proof>*

**lemma** *dominates-unsnoc'*:

**assumes** [*simp*]: *invar g* **and** *dominates g n m g*  $\vdash$   $m' - ms \rightarrow m \ \forall x \in \text{set } (tl$   
 $ms). x \neq n$

**shows** *dominates g n m'*  
*<proof>*

**lemma** *dominates-path*:

**assumes** *dominates g n m* **and** [*simp*]: *invar g*  
**obtains** *ns* **where**  $g \vdash n - ns \rightarrow m$

*<proof>*

**lemma** *dominates-antitrans*:

**assumes** [*simp*]: *invar g* **and** *dominates g n<sub>1</sub> m* *dominates g n<sub>2</sub> m*  
**obtains** (1) *dominates g n<sub>1</sub> n<sub>2</sub>*  
          | (2) *dominates g n<sub>2</sub> n<sub>1</sub>*

*<proof>*

**lemma** *dominates-extend*:

**assumes** *dominates g n m*  
**assumes**  $g \vdash m' - ms \rightarrow m \ n \notin \text{set } (tl \ ms)$   
**shows** *dominates g n m'*

*<proof>*

**definition** *dominators* ::  $'g \Rightarrow 'node \Rightarrow 'node \text{ set}$  **where**

$\text{dominators } g \ n \equiv \{m \in \text{set } (\alpha n \ g). \text{ dominates } g \ m \ n\}$

**definition** *isIdom g n m*  $\longleftrightarrow$  *strict-dom g m n*  $\wedge$  ( $\forall m' \in \text{set } (\alpha n \ g). \text{ strict-dom}$   
 $g \ m' \ n \longrightarrow \text{dominates } g \ m' \ m$ )

**definition** *idom* ::  $'g \Rightarrow 'node \Rightarrow 'node$  **where**

$\text{idom } g \ n \equiv \text{THE } m. \text{ isIdom } g \ n \ m$

**lemma** *idom-ex*:

**assumes** [*simp*]:  $invar \ g \ n \in \text{set } (\alpha n \ g) \ n \neq \text{Entry } g$   
**shows**  $\exists! m. \text{ isIdom } g \ n \ m$

*<proof>*

**lemma** *idom*:  $[[invar \ g; \ n \in \text{set } (\alpha n \ g) - \{\text{Entry } g\}]] \implies \text{isIdom } g \ n \ (\text{idom } g \ n)$

*<proof>*

**lemma** *dominates-mid*:

**assumes** *dominates g n x* *dominates g x m*  $g \vdash n - ns \rightarrow m$  **and** [*simp*]: *invar g*  
**shows**  $x \in \text{set } ns$

*<proof>*

**definition** *shortestPath* ::  $'g \Rightarrow 'node \Rightarrow \text{nat}$  **where**

$shortestPath\ g\ n \equiv (LEAST\ l.\ \exists\ ns.\ length\ ns = l \wedge g \vdash Entry\ g - ns \rightarrow n)$

**lemma** *shortestPath-ex*:

**assumes**  $n \in set\ (\alpha n\ g)$  *invar*  $g$

**obtains**  $ns$  **where**  $g \vdash Entry\ g - ns \rightarrow n$  *distinct*  $ns$   $length\ ns = shortestPath\ g\ n$   
(*proof*)

**lemma**<sub>[simp]</sub>:  $\llbracket n \in set\ (\alpha n\ g);\ invar\ g \rrbracket \implies shortestPath\ g\ n \neq 0$   
(*proof*)

**lemma** *shortestPath-upper-bound*:

**assumes**  $n \in set\ (\alpha n\ g)$  *invar*  $g$

**shows**  $shortestPath\ g\ n \leq length\ (\alpha n\ g)$   
(*proof*)

**lemma** *shortestPath-predecessor*:

**assumes**  $n \in set\ (\alpha n\ g) - \{Entry\ g\}$  **and**<sub>[simp]</sub>: *invar*  $g$

**obtains**  $n'$  **where**  $Suc\ (shortestPath\ g\ n') = shortestPath\ g\ n$   $n' \in set\ (predecessors\ g\ n)$   
(*proof*)

**lemma** *successor-in- $\alpha n$* <sub>[simp]</sub>:

**assumes**  $predecessors\ g\ n \neq []$  **and**<sub>[simp]</sub>: *invar*  $g$

**shows**  $n \in set\ (\alpha n\ g)$   
(*proof*)

**lemma** *shortestPath-single-predecessor*:

**assumes**  $predecessors\ g\ n = [m]$  **and**<sub>[simp]</sub>: *invar*  $g$

**shows**  $shortestPath\ g\ m < shortestPath\ g\ n$   
(*proof*)

**lemma** *strict-dom-shortestPath-order*:

**assumes** *strict-dom*  $g\ n\ m$   $m \in set\ (\alpha n\ g)$  *invar*  $g$

**shows**  $shortestPath\ g\ n < shortestPath\ g\ m$   
(*proof*)

**lemma** *dominates-shortestPath-order*:

**assumes** *dominates*  $g\ n\ m$   $m \in set\ (\alpha n\ g)$  *invar*  $g$

**shows**  $shortestPath\ g\ n \leq shortestPath\ g\ m$   
(*proof*)

**lemma** *strict-dom-trans*:

**assumes**<sub>[simp]</sub>: *invar*  $g$

**assumes** *strict-dom*  $g\ n\ m$  *strict-dom*  $g\ m\ m'$

**shows** *strict-dom*  $g\ n\ m'$   
(*proof*)

**inductive** *EntryPath* :: ' $g \Rightarrow 'node\ list \Rightarrow bool$  **where**

*EntryPath-triv*<sub>[simp]</sub>: *EntryPath*  $g\ [n]$

| *EntryPath-snoc*[intro]:  $\text{EntryPath } g \text{ ns} \implies \text{shortestPath } g \text{ m} = \text{Suc } (\text{shortestPath } g \text{ (last ns)}) \implies \text{EntryPath } g \text{ (ns@[m])}$

**lemma**[simp]:  
**assumes** *EntryPath* *g ns prefix ns' ns ns' ≠ []*  
**shows** *EntryPath* *g ns'*  
 ⟨*proof*⟩

**lemma** *EntryPath-suffix*:  
**assumes** *EntryPath* *g ns suffix ns' ns ns' ≠ []*  
**shows** *EntryPath* *g ns'*  
 ⟨*proof*⟩

**lemma** *EntryPath-butlast-less-last*:  
**assumes** *EntryPath* *g ns z ∈ set (butlast ns)*  
**shows** *shortestPath* *g z < shortestPath* *g (last ns)*  
 ⟨*proof*⟩

**lemma** *EntryPath-distinct*:  
**assumes** *EntryPath* *g ns*  
**shows** *distinct ns*  
 ⟨*proof*⟩

**lemma** *Entry-reachesE*:  
**assumes**  $n \in \text{set } (\alpha n \text{ } g)$  **and**[simp]: *invar* *g*  
**obtains** *ns* **where**  $g \vdash \text{Entry } g \text{--ns} \rightarrow n$  *EntryPath* *g ns*  
 ⟨*proof*⟩

**end**

**end**

**theory** *SSA-CFG*  
**imports** *Graph-path HOL-Library.Sublist*  
**begin**

## 2.3 CFG

**locale** *CFG-base* = *graph-Entry-base*  $\alpha e \alpha n$  *invar inEdges' Entry*  
**for**

$\alpha e :: 'g \Rightarrow ('node::\text{linorder} \times 'edgeD \times 'node)$  **set** **and**

$\alpha n :: 'g \Rightarrow 'node$  **list** **and**

*invar* ::  $'g \Rightarrow \text{bool}$  **and**

*inEdges'* ::  $'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)$  **list** **and**

*Entry* ::  $'g \Rightarrow 'node$  +

**fixes** *defs* ::  $'g \Rightarrow 'node \Rightarrow 'var::\text{linorder}$  **set**

**fixes** *uses* ::  $'g \Rightarrow 'node \Rightarrow 'var$  **set**

**begin**

**definition** *vars*  $g \equiv \text{fold } (\cup) (\text{map } (\text{uses } g) (\alpha n \text{ } g)) \{\}$

**definition**  $defAss' :: 'g \Rightarrow 'node \Rightarrow 'var \Rightarrow bool$  **where**  
 $defAss' g m v \leftrightarrow (\forall ns. g \vdash Entry\ g - ns \rightarrow m \longrightarrow (\exists n \in set\ ns. v \in defs\ g\ n))$

**definition**  $defAss'Uses :: 'g \Rightarrow bool$  **where**  
 $defAss'Uses\ g \equiv \forall m \in set\ (\alpha n\ g). \forall v \in uses\ g\ m. defAss'\ g\ m\ v$

**end**

**locale**  $CFG = CFG\text{-}base\ \alpha e\ \alpha n\ invar\ inEdges'\ Entry\ defs\ uses$   
 $+ graph\text{-}Entry\ \alpha e\ \alpha n\ invar\ inEdges'\ Entry$

**for**  
 $\alpha e :: 'g \Rightarrow ('node::linorder \times 'edgeD \times 'node)\ set$  **and**  
 $\alpha n :: 'g \Rightarrow 'node\ list$  **and**  
 $invar :: 'g \Rightarrow bool$  **and**  
 $inEdges' :: 'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)\ list$  **and**  
 $Entry :: 'g \Rightarrow 'node$  **and**  
 $defs :: 'g \Rightarrow 'node \Rightarrow 'var::linorder\ set$  **and**  
 $uses :: 'g \Rightarrow 'node \Rightarrow 'var\ set +$

**assumes**  $defs\text{-}uses\text{-}disjoint: n \in set\ (\alpha n\ g) \Longrightarrow defs\ g\ n \cap uses\ g\ n = \{\}$   
**assumes**  $defs\text{-}finite[simp]: finite\ (defs\ g\ n)$   
**assumes**  $uses\text{-}in\text{-}\alpha n: v \in uses\ g\ n \Longrightarrow n \in set\ (\alpha n\ g)$   
**assumes**  $uses\text{-}finite[simp, intro!]: finite\ (uses\ g\ n)$   
**assumes**  $invar[intro!]: invar\ g$

**begin**  
**lemma**  $vars\text{-}finite[simp]: finite\ (vars\ g)$   
 $\langle proof \rangle$

**lemma**  $Entry\text{-}no\text{-}predecessor[simp]: predecessors\ g\ (Entry\ g) = []$   
 $\langle proof \rangle$

**lemma**  $uses\text{-}in\text{-}vars[elim, simp]: v \in uses\ g\ n \Longrightarrow v \in vars\ g$   
 $\langle proof \rangle$

**lemma**  $varsE:$   
**assumes**  $v \in vars\ g$   
**obtains**  $n$  **where**  $n \in set\ (\alpha n\ g) \ v \in uses\ g\ n$   
 $\langle proof \rangle$

**lemma**  $defs\text{-}uses\text{-}disjoint'[simp]: n \in set\ (\alpha n\ g) \Longrightarrow v \in defs\ g\ n \Longrightarrow v \in uses\ g\ n \Longrightarrow False$   
 $\langle proof \rangle$

**end**

**context**  $CFG$

**begin**  
**lemma**  $defAss'E:$   
**assumes**  $defAss'\ g\ m\ v\ g \vdash Entry\ g - ns \rightarrow m$   
**obtains**  $n$  **where**  $n \in set\ ns\ v \in defs\ g\ n$   
 $\langle proof \rangle$

**lemmas** *defAss'I = defAss'-def*[*THEN iffD2, rule-format*]

**lemma** *defAss'-extend*:

**assumes** *defAss' g m v*

**assumes**  $g \vdash n - ns \rightarrow m \ \forall n \in \text{set } (tl \ ns). \ v \notin \text{defs } g \ n$

**shows** *defAss' g n v*

*<proof>*

**end**

A CFG is well-formed if it satisfies definite assignment.

**locale** *CFG-wf = CFG*  $\alpha e \ \alpha n \ \text{invar} \ \text{inEdges}' \ \text{Entry} \ \text{defs} \ \text{uses}$

**for**

$\alpha e :: 'g \Rightarrow ('node::\text{linorder} \times 'edgeD \times 'node) \ \text{set} \ \mathbf{and}$

$\alpha n :: 'g \Rightarrow 'node \ \text{list} \ \mathbf{and}$

*invar* ::  $'g \Rightarrow \text{bool} \ \mathbf{and}$

*inEdges'* ::  $'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD) \ \text{list} \ \mathbf{and}$

*Entry*::  $'g \Rightarrow 'node \ \mathbf{and}$

*defs* ::  $'g \Rightarrow 'node \Rightarrow 'var::\text{linorder} \ \text{set} \ \mathbf{and}$

*uses* ::  $'g \Rightarrow 'node \Rightarrow 'var \ \text{set} \ +$

**assumes** *def-ass-uses*:  $\forall m \in \text{set } (\alpha n \ g). \ \forall v \in \text{uses } g \ m. \ \text{defAss}' \ g \ m \ v$

## 2.4 SSA CFG

**type-synonym** (*'node, 'val*) *phis* =  $'node \times 'val \rightarrow 'val \ \text{list}$

**declare** *in-set-zipE*[*elim*]

**declare** *zip-same*[*simp*]

**locale** *CFG-SSA-base = CFG-base*  $\alpha e \ \alpha n \ \text{invar} \ \text{inEdges}' \ \text{Entry} \ \text{defs} \ \text{uses}$

**for**

$\alpha e :: 'g \Rightarrow ('node::\text{linorder} \times 'edgeD \times 'node) \ \text{set} \ \mathbf{and}$

$\alpha n :: 'g \Rightarrow 'node \ \text{list} \ \mathbf{and}$

*invar* ::  $'g \Rightarrow \text{bool} \ \mathbf{and}$

*inEdges'* ::  $'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD) \ \text{list} \ \mathbf{and}$

*Entry*::  $'g \Rightarrow 'node \ \mathbf{and}$

*defs* ::  $'g \Rightarrow 'node \Rightarrow 'val::\text{linorder} \ \text{set} \ \mathbf{and}$

*uses* ::  $'g \Rightarrow 'node \Rightarrow 'val \ \text{set} \ +$

**fixes** *phis* ::  $'g \Rightarrow ('node, 'val) \ \text{phis}$

**begin**

**definition** *phiDefs*  $g \ n \equiv \{v. (n, v) \in \text{dom } (\text{phis } g)\}$

**definition**[*code*]: *allDefs*  $g \ n \equiv \text{defs } g \ n \cup \text{phiDefs } g \ n$

**definition**[*code*]: *phiUses*  $g \ n \equiv$

$\bigcup n' \in \text{set } (\text{successors } g \ n). \ \bigcup v' \in \text{phiDefs } g \ n'. \ \text{snd } \text{Set.filter } (\lambda(n'', v). \ n'' = n) \ (\text{set } (\text{zip } (\text{predecessors } g \ n') \ (\text{the } (\text{phis } g \ (n', v')))))$

**definition**[*code*]: *allUses*  $g \ n \equiv \text{uses } g \ n \cup \text{phiUses } g \ n$

**definition**[*code*]: *allVars*  $g \equiv \bigcup n \in \text{set } (\alpha n \ g). \ \text{allDefs } g \ n \cup \text{allUses } g \ n$

**definition** *defAss* ::  $'g \Rightarrow 'node \Rightarrow 'val \Rightarrow \text{bool} \ \mathbf{where}$



$defAss\ g\ m\ v \iff (\forall ns. g \vdash Entry\ g - ns \rightarrow m \longrightarrow (\exists n \in set\ ns. v \in allDefs\ g\ n))$

**lemmas** *CFG-SSA-defs* = *phiDefs-def allDefs-def phiUses-def allUses-def all-Vars-def defAss-def*  
**end**

**locale** *CFG-SSA* = *CFG*  $\alpha e\ \alpha n\ invar\ inEdges'$  *Entry defs uses* + *CFG-SSA-base*  
 $\alpha e\ \alpha n\ invar\ inEdges'$  *Entry defs uses phis*

**for**

$\alpha e :: 'g \Rightarrow ('node::linorder \times 'edgeD \times 'node)\ set\ \mathbf{and}$

$\alpha n :: 'g \Rightarrow 'node\ list\ \mathbf{and}$

*invar* ::  $'g \Rightarrow bool\ \mathbf{and}$

*inEdges'* ::  $'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)\ list\ \mathbf{and}$

*Entry*::  $'g \Rightarrow 'node\ \mathbf{and}$

*defs* ::  $'g \Rightarrow 'node \Rightarrow 'val::linorder\ set\ \mathbf{and}$

*uses* ::  $'g \Rightarrow 'node \Rightarrow 'val\ set\ \mathbf{and}$

*phis* ::  $'g \Rightarrow ('node, 'val)\ phis\ +$

**assumes** *phis-finite*: *finite* (*dom* (*phis g*))

**assumes** *phis-in- $\alpha n$* : *phis g* (*n,v*) = *Some vs*  $\implies n \in set\ (\alpha n\ g)$

**assumes** *phis-wf*:

$phis\ g\ (n,v) = Some\ args \implies length\ (predecessors\ g\ n) = length\ args$

**assumes** *simpleDefs-phiDefs-disjoint*:

$n \in set\ (\alpha n\ g) \implies defs\ g\ n \cap phiDefs\ g\ n = \{\}$

**assumes** *allDefs-disjoint*:

$\llbracket n \in set\ (\alpha n\ g); m \in set\ (\alpha n\ g); n \neq m \rrbracket \implies allDefs\ g\ n \cap allDefs\ g\ m = \{\}$

**begin**

**lemma** *phis-disj*:

**assumes** *phis g* (*n,v*) = *Some vs*

**and** *phis g* (*n',v*) = *Some vs'*

**shows**  $n = n'\ \mathbf{and}\ vs = vs'$

*<proof>*

**lemma** *allDefs-disjoint'*:  $\llbracket n \in set\ (\alpha n\ g); m \in set\ (\alpha n\ g); v \in allDefs\ g\ n; v \in allDefs\ g\ m \rrbracket \implies n = m$

*<proof>*

**lemma** *phiUsesI*:

**assumes**  $n' \in set\ (\alpha n\ g)\ phis\ g\ (n',v') = Some\ vs\ (n,v) \in set\ (zip\ (predecessors\ g\ n')\ vs)$

**shows**  $v \in phiUses\ g\ n$

*<proof>*

**lemma** *phiUsesE*:

**assumes**  $v \in phiUses\ g\ n$

**obtains**  $n'\ v'\ vs\ \mathbf{where}\ n' \in set\ (successors\ g\ n)\ (n,v) \in set\ (zip\ (predecessors\ g\ n')\ vs)\ phis\ g\ (n',v') = Some\ vs$

*<proof>*

**lemma** *defs-in-allDefs[simp]*:  $v \in \text{defs } g \ n \implies v \in \text{allDefs } g \ n$  *<proof>*  
**lemma** *phiDefs-in-allDefs[simp, elim]*:  $v \in \text{phiDefs } g \ n \implies v \in \text{allDefs } g \ n$   
*<proof>*  
**lemma** *uses-in-allUses[simp]*:  $v \in \text{uses } g \ n \implies v \in \text{allUses } g \ n$  *<proof>*  
**lemma** *phiUses-in-allUses[simp]*:  $v \in \text{phiUses } g \ n \implies v \in \text{allUses } g \ n$  *<proof>*  
**lemma** *allDefs-in-allVars[simp, intro]*:  $\llbracket v \in \text{allDefs } g \ n; n \in \text{set } (\alpha n \ g) \rrbracket \implies v \in \text{allVars } g$  *<proof>*  
**lemma** *allUses-in-allVars[simp, intro]*:  $\llbracket v \in \text{allUses } g \ n; n \in \text{set } (\alpha n \ g) \rrbracket \implies v \in \text{allVars } g$  *<proof>*

**lemma** *phiDefs-finite[simp]*: *finite* (*phiDefs*  $g \ n$ )  
*<proof>*

**lemma** *phiUses-finite[simp]*:  
**assumes**  $n \in \text{set } (\alpha n \ g)$   
**shows** *finite* (*phiUses*  $g \ n$ )  
*<proof>*

**lemma** *allDefs-finite[simp]*:  $n \in \text{set } (\alpha n \ g) \implies \text{finite } (\text{allDefs } g \ n)$  *<proof>*  
**lemma** *allUses-finite[simp]*:  $n \in \text{set } (\alpha n \ g) \implies \text{finite } (\text{allUses } g \ n)$  *<proof>*  
**lemma** *allVars-finite[simp]*: *finite* (*allVars*  $g$ ) *<proof>*

**lemmas** *defAssI* = *defAss-def*[*THEN iffD2, rule-format*]  
**lemmas** *defAssD* = *defAss-def*[*THEN iffD1, rule-format*]

**lemma** *defAss-extend*:  
**assumes** *defAss*  $g \ m \ v$   
**assumes**  $g \vdash n - ns \rightarrow m \ \forall n \in \text{set } (tl \ ns). v \notin \text{allDefs } g \ n$   
**shows** *defAss*  $g \ n \ v$   
*<proof>*

**lemma** *defAss-dominating*:  
**assumes**[*simp*]:  $n \in \text{set } (\alpha n \ g)$   
**shows** *defAss*  $g \ n \ v \longleftrightarrow (\exists m \in \text{set } (\alpha n \ g). \text{dominates } g \ m \ n \wedge v \in \text{allDefs } g \ m)$   
*<proof>*

**end**

**locale** *CFG-SSA-wf-base* = *CFG-SSA-base* *ae*  $\alpha n$  *invar* *inEdges'* *Entry* *defs* *uses* *phis*  
**for**  
 $\alpha e :: 'g \Rightarrow ('node::\text{linorder} \times 'edgeD \times 'node) \text{ set}$  **and**  
 $\alpha n :: 'g \Rightarrow 'node \text{ list}$  **and**  
 $\text{invar} :: 'g \Rightarrow \text{bool}$  **and**  
 $\text{inEdges}' :: 'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD) \text{ list}$  **and**  
 $\text{Entry} :: 'g \Rightarrow 'node$  **and**  
 $\text{defs} :: 'g \Rightarrow 'node \Rightarrow 'val::\text{linorder} \text{ set}$  **and**  
 $\text{uses} :: 'g \Rightarrow 'node \Rightarrow 'val \text{ set}$  **and**  
 $\text{phis} :: 'g \Rightarrow ('node, 'val) \text{ phis}$

**begin**

Using the SSA properties, we can map every value to its unique defining node and remove the *'node* parameter of the *phis* map.

**definition** *defNode* :: 'g ⇒ 'val ⇒ 'node **where**  
*defNode-code* [*code*]: *defNode* g v ≡ hd [n ← αn g. v ∈ allDefs g n]

**abbreviation** *def-dominates* g v' v ≡ *dominates* g (defNode g v') (defNode g v)

**abbreviation** *strict-def-dom* g v' v ≡ *defNode* g v' ≠ *defNode* g v ∧ *def-dominates* g v' v

**definition** *phi* g v = *phis* g (defNode g v,v)

**definition**[*simp*]: *phiArg* g v v' ≡ ∃ vs. *phi* g v = Some vs ∧ v' ∈ set vs

**definition**[*code*]: *isTrivialPhi* g v v' ↔ v' ≠ v ∧

(*case phi* g v of  
Some vs ⇒ set vs = {v,v'} ∨ set vs = {v'}  
| None ⇒ False)

**definition**[*code*]: *trivial* g v ≡ ∃ v' ∈ allVars g. *isTrivialPhi* g v v'

**definition**[*code*]: *redundant* g ≡ ∃ v ∈ allVars g. *trivial* g v

**definition** *defAssUses* g ≡ ∀ n ∈ set (αn g). ∀ v ∈ allUses g n. *defAss* g n v

'liveness' of an SSA value is defined inductively starting from simple uses so that a circle of  $\phi$  functions is not considered live.

**declare** [[*inductive-internals*]]

**inductive** *liveVal* :: 'g ⇒ 'val ⇒ bool

for g :: 'g

**where**

*liveSimple*: [n ∈ set (αn g); val ∈ uses g n] ⇒ *liveVal* g val

| *livePhi*: [*liveVal* g v; *phiArg* g v v'] ⇒ *liveVal* g v'

**definition** *pruned* g = (∀ n ∈ set (αn g). ∀ val. val ∈ *phiDefs* g n → *liveVal* g val)

**lemmas** *CFG-SSA-wf-defs* = *CFG-SSA-defs* *defNode-code* *phi-def* *isTrivialPhi-def* *trivial-def* *redundant-def* *liveVal-def* *pruned-def*

**end**

**locale** *CFG-SSA-wf* = *CFG-SSA* αe αn *invar* *inEdges'* *Entry* *defs* *uses* *phis* + *CFG-SSA-wf-base* αe αn *invar* *inEdges'* *Entry* *defs* *uses* *phis*

**for**

αe :: 'g ⇒ ('node::linorder × 'edgeD × 'node) set **and**

αn :: 'g ⇒ 'node list **and**

*invar* :: 'g ⇒ bool **and**

*inEdges'* :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list **and**

*Entry*::'g ⇒ 'node **and**

*defs* :: 'g ⇒ 'node ⇒ 'val::linorder set **and**

*uses* :: 'g ⇒ 'node ⇒ 'val set **and**

$phis :: 'g \Rightarrow ('node, 'val) phis +$   
**assumes**  $allUses-def-ass: \llbracket v \in allUses\ g\ n; n \in set\ (\alpha n\ g) \rrbracket \Longrightarrow defAss\ g\ n\ v$   
**assumes**  $Entry-no-phis[simp]: phis\ g\ (Entry\ g, v) = None$   
**begin**  
**lemma**  $allVars-in-allDefs: v \in allVars\ g \Longrightarrow \exists n \in set\ (\alpha n\ g). v \in allDefs\ g\ n$   
 $\langle proof \rangle$   
  
**lemma**  $phiDefs-Entry-empty[simp]: phiDefs\ g\ (Entry\ g) = \{\}$   
 $\langle proof \rangle$   
  
**lemma**  $phi-Entry-empty[simp]: defNode\ g\ v = Entry\ g \Longrightarrow phi\ g\ v = None$   
 $\langle proof \rangle$   
  
**lemma**  $defNode-ex1:$   
**assumes**  $v \in allVars\ g$   
**shows**  $\exists! n. n \in set\ (\alpha n\ g) \wedge v \in allDefs\ g\ n$   
 $\langle proof \rangle$   
  
**lemma**  $defNode-def: v \in allVars\ g \Longrightarrow defNode\ g\ v = (THE\ n. n \in set\ (\alpha n\ g) \wedge v \in allDefs\ g\ n)$   
 $\langle proof \rangle$   
  
**lemma**  $defNode[simp]:$   
**assumes**  $v \in allVars\ g$   
**shows**  $(defNode\ g\ v) \in set\ (\alpha n\ g) \wedge v \in allDefs\ g\ (defNode\ g\ v)$   
 $\langle proof \rangle$   
  
**lemma**  $defNode-eq[intro]:$   
**assumes**  $n \in set\ (\alpha n\ g) \wedge v \in allDefs\ g\ n$   
**shows**  $defNode\ g\ v = n$   
 $\langle proof \rangle$   
  
**lemma**  $defNode-cases[consumes 1]:$   
**assumes**  $v \in allVars\ g$   
**obtains**  $(simpleDef)\ v \in defs\ g\ (defNode\ g\ v)$   
 $\quad | (phi)\ \quad phi\ g\ v \neq None$   
 $\langle proof \rangle$   
  
**lemma**  $phi-phiDefs[simp]: phi\ g\ v = Some\ vs \Longrightarrow v \in phiDefs\ g\ (defNode\ g\ v)$   
 $\langle proof \rangle$   
  
**lemma**  $simpleDef-not-phi:$   
**assumes**  $n \in set\ (\alpha n\ g) \wedge v \in defs\ g\ n$   
**shows**  $phi\ g\ v = None$   
 $\langle proof \rangle$   
  
**lemma**  $phi-wf: phi\ g\ v = Some\ vs \Longrightarrow length\ (predecessors\ g\ (defNode\ g\ v)) = length\ vs$   
 $\langle proof \rangle$

**lemma** *phi-finite*: *finite (dom (phi g))*

*<proof>*

**lemma** *phiUses-exI*:

**assumes**  $m \in \text{set } (\text{predecessors } g \ n)$   $\text{phis } g \ (n,v) = \text{Some } vs$   $n \in \text{set } (\alpha n \ g)$

**obtains**  $v'$  **where**  $v' \in \text{phiUses } g \ m$   $v' \in \text{set } vs$

*<proof>*

**lemma** *phiArg-exI*:

**assumes**  $m \in \text{set } (\text{predecessors } g \ (\text{defNode } g \ v))$   $\text{phi } g \ v \neq \text{None}$  **and**[*simp*]:  $v \in \text{allVars } g$

**obtains**  $v'$  **where**  $v' \in \text{phiUses } g \ m$   $\text{phiArg } g \ v \ v'$

*<proof>*

**lemma** *phiUses-exI'*:

**assumes**  $\text{phiArg } g \ p \ q$  **and**[*simp*]:  $p \in \text{allVars } g$

**obtains**  $m$  **where**  $q \in \text{phiUses } g \ m$   $m \in \text{set } (\text{predecessors } g \ (\text{defNode } g \ p))$

*<proof>*

**lemma** *phiArg-in-allVars*[*simp*]:

**assumes**  $\text{phiArg } g \ v \ v'$

**shows**  $v' \in \text{allVars } g$

*<proof>*

**lemma** *defAss-defNode*:

**assumes**  $\text{defAss } g \ m \ v \ v \in \text{allVars } g \ g \vdash \text{Entry } g \text{-ns} \rightarrow m$

**shows**  $\text{defNode } g \ v \in \text{set } ns$

*<proof>*

**lemma** *defUse-path-ex*:

**assumes**  $v \in \text{allUses } g \ m$   $m \in \text{set } (\alpha n \ g)$

**obtains**  $ns$  **where**  $g \vdash \text{defNode } g \ v \text{-ns} \rightarrow m$   $\text{EntryPath } g \ ns$

*<proof>*

**lemma** *defUse-path-dominated*:

**assumes**  $g \vdash \text{defNode } g \ v \text{-ns} \rightarrow n$   $\text{defNode } g \ v \notin \text{set } (\text{tl } ns)$   $v \in \text{allUses } g \ n \ n' \in \text{set } ns$

**shows**  $\text{dominates } g \ (\text{defNode } g \ v) \ n'$

*<proof>*

**lemma** *allUses-dominated*:

**assumes**  $v \in \text{allUses } g \ n$   $n \in \text{set } (\alpha n \ g)$

**shows**  $\text{dominates } g \ (\text{defNode } g \ v) \ n$

*<proof>*

**lemma** *phiArg-path-ex'*:

**assumes**  $\text{phiArg } g \ p \ q$  **and**[*simp*]:  $p \in \text{allVars } g$

**obtains**  $ns \ m$  **where**  $g \vdash \text{defNode } g \ q \text{-ns} \rightarrow m$   $\text{EntryPath } g \ ns \ q \in \text{phiUses } g \ m$

$m \in \text{set } (\text{predecessors } g \text{ (defNode } g \text{ } p))$   
 ⟨proof⟩

**lemma** *phiArg-path-ex*:

**assumes** *phiArg*  $g \ p \ q$  **and**[*simp*]:  $p \in \text{allVars } g$   
**obtains**  $ns$  **where**  $g \vdash \text{defNode } g \ q \text{--} ns \rightarrow \text{defNode } g \ p$   $\text{length } ns > 1$   
 ⟨proof⟩

**lemma** *phiArg-tranclp-path-ex*:

**assumes**  $r^{++} \ p \ q \ p \in \text{allVars } g$  **and**[*simp*]:  $\bigwedge p \ q. \ r \ p \ q \implies \text{phiArg } g \ p \ q$   
**obtains**  $ns$  **where**  $g \vdash \text{defNode } g \ q \text{--} ns \rightarrow \text{defNode } g \ p$   $\text{length } ns > 1$   
 $\forall n \in \text{set } (\text{butlast } ns). \exists p \ q \ m \ ns'. \ r \ p \ q \wedge g \vdash \text{defNode } g \ q \text{--} ns' \rightarrow m \wedge (\text{defNode } g \ q) \notin \text{set } (\text{tl } ns') \wedge q \in \text{phiUses } g \ m \wedge m \in \text{set } (\text{predecessors } g \text{ (defNode } g \ p)) \wedge$   
 $n \in \text{set } ns' \wedge \text{set } ns' \subseteq \text{set } ns \wedge \text{defNode } g \ p \in \text{set } ns$   
 ⟨proof⟩

**lemma** *non-dominated-predecessor*:

**assumes**  $n \in \text{set } (\alpha n \ g) \ n \neq \text{Entry } g$   
**obtains**  $m$  **where**  $m \in \text{set } (\text{predecessors } g \ n) \ \neg \text{dominates } g \ n \ m$   
 ⟨proof⟩

**lemmas** *dominates-trans'*[*trans, elim*] = *dominates-trans*[*OF invar*]

**lemmas** *strict-dom-trans'*[*trans, elim*] = *strict-dom-trans*[*OF invar*]

**lemmas** *dominates-refl'*[*simp*] = *dominates-refl*[*OF invar*]

**lemmas** *dominates-antisymm'*[*dest*] = *dominates-antisymm*[*OF invar*]

**lemma** *liveVal-in-allVars*[*simp*]:  $\text{liveVal } g \ v \implies v \in \text{allVars } g$

⟨proof⟩

**lemma** *phi-no-closed-loop*:

**assumes**[*simp*]:  $p \in \text{allVars } g$  **and**  $\text{phi } g \ p = \text{Some } vs$   
**shows**  $\text{set } vs \neq \{p\}$   
 ⟨proof⟩

**lemma** *phis-phi*:  $\text{phis } g \ (n, v) = \text{Some } vs \implies \text{phi } g \ v = \text{Some } vs$

⟨proof⟩

**lemma** *trivial-phi*:  $\text{trivial } g \ v \implies \text{phi } g \ v \neq \text{None}$

⟨proof⟩

**lemma** *trivial-finite*:  $\text{finite } \{v. \text{trivial } g \ v\}$

⟨proof⟩

**lemma** *trivial-in-allVars*:  $\text{trivial } g \ v \implies v \in \text{allVars } g$

⟨proof⟩

**declare** *phiArg-def* [*simp del*]

**end**

## 2.5 Bundling of CFG and Equivalent SSA CFG

**locale** *CFG-SSA-Transformed-base* = *old: CFG-base*  $\alpha e \alpha n$  *invar inEdges' Entry*  
*oldDefs oldUses* + *CFG-SSA-wf-base*  $\alpha e \alpha n$  *invar inEdges' Entry defs uses phis*  
**for**

$\alpha e :: 'g \Rightarrow ('node::linorder \times 'edgeD \times 'node)$  **set and**  
 $\alpha n :: 'g \Rightarrow 'node$  **list and**  
*invar* ::  $'g \Rightarrow bool$  **and**  
*inEdges'* ::  $'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)$  **list and**  
*Entry*:: $'g \Rightarrow 'node$  **and**  
*oldDefs* ::  $'g \Rightarrow 'node \Rightarrow 'var::linorder$  **set and**  
*oldUses* ::  $'g \Rightarrow 'node \Rightarrow 'var$  **set and**  
*defs* ::  $'g \Rightarrow 'node \Rightarrow 'val::linorder$  **set and**  
*uses* ::  $'g \Rightarrow 'node \Rightarrow 'val$  **set and**  
*phis* ::  $'g \Rightarrow ('node, 'val)$  **phis** +  
**fixes** *var* ::  $'g \Rightarrow 'val \Rightarrow 'var$

**locale** *CFG-SSA-Transformed* = *CFG-SSA-Transformed-base*  $\alpha e \alpha n$  *invar inEdges'*  
*Entry oldDefs oldUses defs uses phis var*  
+ *old: CFG-wf*  $\alpha e \alpha n$  *invar inEdges' Entry oldDefs oldUses* + *CFG-SSA-wf*  $\alpha e$   
 $\alpha n$  *invar inEdges' Entry defs uses phis*  
**for**

$\alpha e :: 'g \Rightarrow ('node::linorder \times 'edgeD \times 'node)$  **set and**  
 $\alpha n :: 'g \Rightarrow 'node$  **list and**  
*invar* ::  $'g \Rightarrow bool$  **and**  
*inEdges'* ::  $'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)$  **list and**  
*Entry*:: $'g \Rightarrow 'node$  **and**  
*oldDefs* ::  $'g \Rightarrow 'node \Rightarrow 'var::linorder$  **set and**  
*oldUses* ::  $'g \Rightarrow 'node \Rightarrow 'var$  **set and**  
*defs* ::  $'g \Rightarrow 'node \Rightarrow 'val::linorder$  **set and**  
*uses* ::  $'g \Rightarrow 'node \Rightarrow 'val$  **set and**  
*phis* ::  $'g \Rightarrow ('node, 'val)$  **phis and**  
*var* ::  $'g \Rightarrow 'val \Rightarrow 'var$  +

**assumes** *oldDefs-def*:  $oldDefs\ g\ n = var\ g\ 'defs\ g\ n$   
**assumes** *oldUses-def*:  $n \in set\ (\alpha n\ g) \Longrightarrow oldUses\ g\ n = var\ g\ 'uses\ g\ n$   
**assumes** *conventional*:

$\llbracket g \vdash n - ns \rightarrow m; n \notin set\ (tl\ ns); v \in allDefs\ g\ n; v \in allUses\ g\ m; x \in set\ (tl\ ns); v' \in allDefs\ g\ x \rrbracket \Longrightarrow var\ g\ v' \neq var\ g\ v$

**assumes** *phis-same-var[elim]*:  $phis\ g\ (n, v) = Some\ vs \Longrightarrow v' \in set\ vs \Longrightarrow var\ g\ v' = var\ g\ v$

**assumes** *allDefs-var-disjoint*:  $\llbracket n \in set\ (\alpha n\ g); v \in allDefs\ g\ n; v' \in allDefs\ g\ n; v \neq v' \rrbracket \Longrightarrow var\ g\ v' \neq var\ g\ v$

**begin**

**lemma** *conventional'*:  $\llbracket g \vdash n - ns \rightarrow m; n \notin set\ (tl\ ns); v \in allDefs\ g\ n; v \in allUses\ g\ m; v' \in allDefs\ g\ x; var\ g\ v' = var\ g\ v \rrbracket \Longrightarrow x \notin set\ (tl\ ns)$

*<proof>*

**lemma** *conventional''*:  $\llbracket g \vdash defNode\ g\ v - ns \rightarrow m; defNode\ g\ v \notin set\ (tl\ ns); v \in allUses\ g\ m; var\ g\ v' = var\ g\ v; v \in allVars\ g; v' \in allVars\ g \rrbracket \Longrightarrow defNode\ g\ v' \notin set\ (tl\ ns)$

*<proof>*

**lemma** *phiArg-same-var*:  $\text{phiArg } g \ p \ q \implies \text{var } g \ q = \text{var } g \ p$   
*<proof>*

**lemma** *oldDef-defAss*:

**assumes**  $v \in \text{allUses } g \ n \ g \vdash \text{Entry } g \text{-ns} \rightarrow n$

**obtains**  $m$  **where**  $m \in \text{set } ns \ \text{var } g \ v \in \text{oldDefs } g \ m$

*<proof>*

**lemma** *allDef-path-from-simpleDef*:

**assumes**[*simp*]:  $v \in \text{allVars } g$

**obtains**  $n \ ns$  **where**  $g \vdash n \text{-ns} \rightarrow \text{defNode } g \ v \ \text{old.EntryPath } g \ ns \ \text{var } g \ v \in \text{oldDefs } g \ n$

*<proof>*

**lemma** *defNode-var-disjoint*:

**assumes**  $p \in \text{allVars } g \ q \in \text{allVars } g \ p \neq q \ \text{defNode } g \ p = \text{defNode } g \ q$

**shows**  $\text{var } g \ p \neq \text{var } g \ q$

*<proof>*

**lemma** *phiArg-distinct-nodes*:

**assumes**  $\text{phiArg } g \ p \ q \ p \neq q$  **and**[*simp*]:  $p \in \text{allVars } g$

**shows**  $\text{defNode } g \ p \neq \text{defNode } g \ q$

*<proof>*

**lemma** *phiArgs-def-distinct*:

**assumes**  $\text{phiArg } g \ p \ q \ \text{phiArg } g \ p \ r \ q \neq r \ p \in \text{allVars } g$

**shows**  $\text{defNode } g \ q \neq \text{defNode } g \ r$

*<proof>*

**lemma** *defNode-not-on-defUse-path*:

**assumes**  $p: g \vdash \text{defNode } g \ p \text{-ns} \rightarrow n \ \text{defNode } g \ p \notin \text{set } (\text{tl } ns) \ p \in \text{allUses } g \ n$

**assumes**[*simp*]:  $q \in \text{allVars } g \ p \neq q \ \text{var } g \ p = \text{var } g \ q$

**shows**  $\text{defNode } g \ q \notin \text{set } ns$

*<proof>*

**lemma** *defUse-paths-disjoint*:

**assumes**  $p: g \vdash \text{defNode } g \ p \text{-ns} \rightarrow n \ \text{defNode } g \ p \notin \text{set } (\text{tl } ns) \ p \in \text{allUses } g \ n$

**assumes**  $q: g \vdash \text{defNode } g \ q \text{-ms} \rightarrow m \ \text{defNode } g \ q \notin \text{set } (\text{tl } ms) \ q \in \text{allUses } g \ m$

**assumes**[*simp*]:  $p \neq q \ \text{var } g \ p = \text{var } g \ q$

**shows**  $\text{set } ns \cap \text{set } ms = \{\}$

*<proof>*

**lemma** *oldDefsI*:  $v \in \text{defs } g \ n \implies \text{var } g \ v \in \text{oldDefs } g \ n$  *<proof>*

**lemma** *simpleDefs-phiDefs-var-disjoint*:

**assumes**  $v \in \text{phiDefs } g \ n \ n \in \text{set } (\alpha n \ g)$

**shows**  $\text{var } g \ v \notin \text{oldDefs } g \ n$



*<proof>*

**lemma** *liveVal-use-path*:

**assumes** *liveVal g v*

**obtains** *ns m* **where**  $g \vdash \text{defNode } g \ v - ns \rightarrow m \ \text{var } g \ v \in \text{oldUses } g \ m$

$\wedge x. x \in \text{set } (tl \ ns) \implies \text{var } g \ v \notin \text{oldDefs } g \ x$

*<proof>*

**end**

**end**

### 3 Minimality

We show that every reducible CFG without trivial  $\phi$  functions is minimal, recreating the proof in [2]. The original proof is inlined as prose text.

**theory** *Minimality*

**imports** *SSA-CFG Serial-Rel*

**begin**

**context** *graph-path*

**begin**

Cytron's definition of path convergence

**definition** *pathsConverge g x xs y ys z*  $\equiv g \vdash x - xs \rightarrow z \wedge g \vdash y - ys \rightarrow z \wedge \text{length } xs > 1 \wedge \text{length } ys > 1 \wedge x \neq y \wedge$

$(\forall j \in \{0..< \text{length } xs\}. \forall k \in \{0..< \text{length } ys\}. xs ! j = ys ! k \longrightarrow j = \text{length } xs - 1 \vee k = \text{length } ys - 1)$

Simplified definition

**definition** *pathsConverge' g x xs y ys z*  $\equiv g \vdash x - xs \rightarrow z \wedge g \vdash y - ys \rightarrow z \wedge \text{length } xs > 1 \wedge \text{length } ys > 1 \wedge x \neq y \wedge$

$\text{set } (\text{butlast } xs) \cap \text{set } (\text{butlast } ys) = \{\}$

**lemma** *pathsConverge'[simp]*:  $\text{pathsConverge } g \ x \ xs \ y \ ys \ z \longleftrightarrow \text{pathsConverge}' \ g \ x \ xs \ y \ ys \ z$

*<proof>*

**lemma** *pathsConvergeI*:

**assumes**  $g \vdash x - xs \rightarrow z \ g \vdash y - ys \rightarrow z \ \text{length } xs > 1 \ \text{length } ys > 1 \ \text{set } (\text{butlast } xs) \cap \text{set } (\text{butlast } ys) = \{\}$

**shows**  $\text{pathsConverge } g \ x \ xs \ y \ ys \ z$

*<proof>*

**end**

A (control) flow graph G is reducible iff for each cycle C of G there is a node of C that dominates all other nodes in C.

**definition** (**in** *graph-Entry*) *reducible g*  $\equiv \forall n \ ns. g \vdash n - ns \rightarrow n \longrightarrow (\exists m \in \text{set } ns. \forall n \in \text{set } ns. \text{dominates } g \ m \ n)$

**context** *CFG-SSA-Transformed*

**begin**

A  $\phi$  function for variable  $v$  is necessary in block  $Z$  iff two non-null paths  $X \rightarrow^+ Z$  and  $Y \rightarrow^+ Z$  converge at a block  $Z$ , such that the blocks  $X$  and  $Y$  contain assignments to  $v$ .

**definition**  $necessaryPhi\ g\ v\ z \equiv \exists n\ ns\ m\ ms.\ old.pathsConverge\ g\ n\ ns\ m\ ms\ z \wedge v \in oldDefs\ g\ n \wedge v \in oldDefs\ g\ m$

**abbreviation**  $necessaryPhi'\ g\ val \equiv necessaryPhi\ g\ (var\ g\ val)\ (defNode\ g\ val)$

**definition**  $unnecessaryPhi\ g\ val \equiv phi\ g\ val \neq None \wedge \neg necessaryPhi'\ g\ val$

**lemma**  $necessaryPhiI$ :  $old.pathsConverge\ g\ n\ ns\ m\ ms\ z \implies v \in oldDefs\ g\ n \implies v \in oldDefs\ g\ m \implies necessaryPhi\ g\ v\ z$

*<proof>*

A program with only necessary  $\phi$  functions is in minimal SSA form.

**definition**  $cytronMinimal\ g \equiv \forall v \in allVars\ g.\ phi\ g\ v \neq None \longrightarrow necessaryPhi'\ g\ v$

Let  $p$  be a  $\phi$  function in a block  $P$ . Furthermore, let  $q$  in a block  $Q$  and  $r$  in a block  $R$  be two operands of  $p$ , such that  $p$ ,  $q$  and  $r$  are pairwise distinct. Then at least one of  $Q$  and  $R$  does not dominate  $P$ .

**lemma** 2:

**assumes**  $phiArg\ g\ p\ q\ phiArg\ g\ p\ r\ distinct\ [p,\ q,\ r]$  **and**[simp]:  $p \in allVars\ g$

**shows**  $\neg(def\ dominates\ g\ q\ p \wedge def\ dominates\ g\ r\ p)$

*<proof>*

**lemma** *convergence-prop*:

**assumes**  $necessaryPhi\ g\ (var\ g\ v)\ n\ g \vdash n - ns \rightarrow m\ v \in allUses\ g\ m \wedge x.\ x \in set\ (tl\ ns) \implies v \notin allDefs\ g\ x \wedge v \notin defs\ g\ n$

**shows**  $phis\ g\ (n,v) \neq None$

*<proof>*

**lemma** *convergence-prop'*:

**assumes**  $necessaryPhi\ g\ v\ n\ g \vdash n - ns \rightarrow m\ v \in var\ g\ 'allUses\ g\ m \wedge x.\ x \in set\ ns \implies v \notin oldDefs\ g\ x$

**obtains**  $val$  **where**  $var\ g\ val = v\ phis\ g\ (n,val) \neq None$

*<proof>*

**lemma** *nontrivialE*:

**assumes**  $\neg trivial\ g\ p\ phi\ g\ p \neq None$  **and**[simp]:  $p \in allVars\ g$

**obtains**  $r\ s$  **where**  $phiArg\ g\ p\ r\ phiArg\ g\ p\ s\ distinct\ [p,\ r,\ s]$

*<proof>*

**lemma** *paths-converge-prefix*:

**assumes**  $g \vdash x - xs \rightarrow z\ g \vdash y - ys \rightarrow z\ x \neq y\ length\ xs > 1\ length\ ys > 1\ x \notin set\ (butlast\ ys)\ y \notin set\ (butlast\ xs)$

**obtains**  $xs' ys' z'$  **where**  $old.pathsConverge\ g\ x\ xs'\ y\ ys'\ z'\ prefix\ xs'\ xs\ prefix\ ys'\ ys$   
 ⟨proof⟩

**lemma** *unnecessaryPhi-disjoint-paths-aux*:  
**assumes**  $\neg unnecessaryPhi\ g\ r$  **and**[simp]:  $r \in allVars\ g$   
**obtains**  $n_1\ ns_1\ n_2\ ns_2$  **where**  
 $var\ g\ r \in oldDefs\ g\ n_1\ g \vdash n_1 - ns_1 \rightarrow defNode\ g\ r$  **and**  
 $var\ g\ r \in oldDefs\ g\ n_2\ g \vdash n_2 - ns_2 \rightarrow defNode\ g\ r$  **and**  
 $set\ (butlast\ ns_1) \cap set\ (butlast\ ns_2) = \{\}$   
 ⟨proof⟩

**lemma** *unnecessaryPhi-disjoint-paths*:  
**assumes**  $\neg unnecessaryPhi\ g\ r$   $\neg unnecessaryPhi\ g\ s$   
**and**  $rs: defNode\ g\ r \neq defNode\ g\ s$   
**and**[simp]:  $r \in allVars\ g\ s \in allVars\ g\ var\ g\ r = V\ var\ g\ s = V$   
**obtains**  $n\ ns\ m\ ms$  **where**  $V \in oldDefs\ g\ n\ g \vdash n - ns \rightarrow defNode\ g\ r$  **and**  $V \in oldDefs\ g\ m\ g \vdash m - ms \rightarrow defNode\ g\ s$   
**and**  $set\ ns \cap set\ ms = \{\}$   
 ⟨proof⟩

Lemma 3. If a  $\phi$  function  $p$  in a block  $P$  for a variable  $v$  is unnecessary, but non-trivial, then it has an operand  $q$  in a block  $Q$ , such that  $q$  is an unnecessary  $\phi$  function and  $Q$  does not dominate  $P$ .

**lemma** 3:  
**assumes**  $unnecessaryPhi\ g\ p$   $\neg trivial\ g\ p$  **and**[simp]:  $p \in allVars\ g$   
**obtains**  $q$  **where**  $phiArg\ g\ p\ q\ unnecessaryPhi\ g\ q\ \neg def\ dominates\ g\ q\ p$   
 ⟨proof⟩

Theorem 1. A program in SSA form with a reducible CFG  $G$  without any trivial  $\phi$  functions is in minimal SSA form.

**theorem** *reducible-nonredundant-imp-minimal*:  
**assumes**  $old.reducible\ g\ \neg redundant\ g$   
**shows**  $cytronMinimal\ g$   
 ⟨proof⟩  
**end**

**context** *CFG-SSA-Transformed*  
**begin**

**definition**  $phiCount\ g = card\ ((\lambda(n,v). (n, var\ g\ v))\ ' dom\ (phis\ g))$

**lemma** *phiCount*:  $phiCount\ g = card\ (dom\ (phis\ g))$   
 ⟨proof⟩

**theorem** *phi-count-minimal*:  
**assumes**  $cytronMinimal\ g\ pruned\ g$   
**assumes** *CFG-SSA-Transformed*  $\alpha e\ \alpha n\ invar\ inEdges'\ Entry\ oldDefs\ oldUses\ defs'\ uses'\ phis'\ var'$

```

    shows card (dom (phis g)) ≤ card (dom (phis' g))
  <proof>
end

```

```
end
```

## 4 SSA Construction

### 4.1 CFG to SSA CFG

```
theory Construct-SSA imports SSA-CFG
```

```
  HOL-Library.While-Combinator
```

```
  HOL-Library.Product-Lexorder
```

```
begin
```

```
datatype Def = SimpleDef | PhiDef
```

```
type-synonym ('node, 'var) ssaVal = 'var × 'node × Def
```

```
instantiation Def :: linorder
```

```
begin
```

```
  definition x < y ↔ x = SimpleDef ∧ y = PhiDef
```

```
  definition less-eq-Def (x :: Def) y ↔ x = y ∨ x < y
```

```
  instance <proof>
```

```
end
```

```
locale CFG-Construct = CFG αe αn invar inEdges' Entry defs uses
```

```
for
```

```
  αe :: 'g ⇒ ('node::linorder × 'edgeD × 'node) set and
```

```
  αn :: 'g ⇒ 'node list and
```

```
  invar :: 'g ⇒ bool and
```

```
  inEdges' :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list and
```

```
  Entry::'g ⇒ 'node and
```

```
  defs :: 'g ⇒ 'node ⇒ 'var::linorder set and
```

```
  uses :: 'g ⇒ 'node ⇒ 'var set
```

```
begin
```

```
  fun phiDefNodes-aux :: 'g ⇒ 'var ⇒ 'node list ⇒ 'node ⇒ 'node set where
```

```
    phiDefNodes-aux g v unvisited n =
```

```
      if n ∉ set unvisited ∨ v ∈ defs g n then {}
```

```
      else fold (∪)
```

```
        [phiDefNodes-aux g v (removeAll n unvisited) m . m ← predecessors g n]
```

```
        (if length (predecessors g n) ≠ 1 then {n} else {})
```

```
    )
```

```
  definition phiDefNodes :: 'g ⇒ 'var ⇒ 'node set where
```

```
    phiDefNodes g v ≡ fold (∪)
```

```
      [phiDefNodes-aux g v (αn g) n . n ← αn g, v ∈ uses g n]
```

```
      {}
```

```
  definition var :: 'g ⇒ ('node, 'var) ssaVal ⇒ 'var where var g ≡ fst
```

**abbreviation**  $defNode :: ('node, 'var) ssaVal \Rightarrow 'node$  **where**  $defNode v \equiv fst (snd v)$

**abbreviation**  $defKind :: ('node, 'var) ssaVal \Rightarrow Def$  **where**  $defKind v \equiv snd (snd v)$

**declare**  $var-def[simp]$

**function**  $lookupDef :: 'g \Rightarrow 'node \Rightarrow 'var \Rightarrow ('node, 'var) ssaVal$  **where**

$lookupDef g n v =$   
   if  $n \notin set (\alpha n g)$  then  $undefined$   
   else if  $v \in defs g n$  then  $(v, n, SimpleDef)$   
   else case  $predecessors g n$  of  
      $[m] \Rightarrow lookupDef g m v$   
      $| - \Rightarrow (v, n, PhiDef)$   
 )

$\langle proof \rangle$

**termination**  $\langle proof \rangle$

**declare**  $lookupDef.simps [code]$

**definition**  $defs' :: 'g \Rightarrow 'node \Rightarrow ('node, 'var) ssaVal set$  **where**

$defs' g n \equiv (\lambda v. (v, n, SimpleDef)) \text{ ` } defs g n$

**definition**  $uses' :: 'g \Rightarrow 'node \Rightarrow ('node, 'var) ssaVal set$  **where**

$uses' g n \equiv lookupDef g n \text{ ` } uses g n$

**definition**  $phis' :: 'g \Rightarrow ('node, ('node, 'var) ssaVal) phis$  **where**

$phis' \equiv \lambda g (n, (v, m, def)).$

  if  $m = n \wedge n \in phiDefNodes g v \wedge v \in vars g \wedge def = PhiDef$  then

$Some [lookupDef g m v . m \leftarrow predecessors g n]$

  else  $None$

**declare**  $uses'-def [code]$   $defs'-def [code]$   $phis'-def [code]$

**abbreviation**  $lookupDefNode g n v \equiv defNode (lookupDef g n v)$

**declare**  $lookupDef.simps [simp del]$

**declare**  $phiDefNodes-aux.simps [simp del]$

**lemma**  $phiDefNodes-aux-cases:$

**obtains**  $(nonrec) phiDefNodes-aux g v unvisited n = \{\}$   $(n \notin set unvisited \vee v \in defs g n)$

  |  $(rec) phiDefNodes-aux g v unvisited n = fold union (map (phiDefNodes-aux g v (removeAll n unvisited)) (predecessors g n))$

$(if length (predecessors g n) = 1 then \{\} else \{n\})$

$n \in set unvisited \vee n \notin defs g n$

$\langle proof \rangle$

**lemma**  $phiDefNode-aux-is-join-node:$

**assumes**  $n \in phiDefNodes-aux g v un m$

**shows**  $length (predecessors g n) \neq 1$

$\langle proof \rangle$

**lemma** *phiDefNode-is-join-node*:  
**assumes**  $n \in \text{phiDefNodes } g \ v$   
**shows**  $\text{length } (\text{predecessors } g \ n) \neq 1$   
 $\langle \text{proof} \rangle$

**abbreviation** *unvisitedPath* ::  $'\text{node list} \Rightarrow '\text{node list} \Rightarrow \text{bool}$  **where**  
 $\text{unvisitedPath } un \ ns \equiv \text{distinct } ns \wedge \text{set } ns \subseteq \text{set } un$

**lemma** *unvisitedPath-removeLast*:  
**assumes**  $\text{unvisitedPath } un \ ns \ \text{length } ns \geq 2$   
**shows**  $\text{unvisitedPath } (\text{removeAll } (\text{last } ns) \ un) \ (\text{butlast } ns)$   
 $\langle \text{proof} \rangle$

**lemma** *phiDefNodes-auxI*:  
**assumes**  $g \vdash n - ns \rightarrow m \ \text{unvisitedPath } un \ ns \ \forall n \in \text{set } ns. \ v \notin \text{defs } g \ n \ \text{length}$   
 $(\text{predecessors } g \ n) \neq 1$   
**shows**  $n \in \text{phiDefNodes-aux } g \ v \ un \ m$   
 $\langle \text{proof} \rangle$

**lemma** *phiDefNodes-auxE*:  
**assumes**  $n \in \text{phiDefNodes-aux } g \ v \ un \ m \ m \in \text{set } (\alpha n \ g)$   
**obtains**  $ns$  **where**  $g \vdash n - ns \rightarrow m \ \forall n \in \text{set } ns. \ v \notin \text{defs } g \ n \ \text{length } (\text{predecessors}$   
 $g \ n) \neq 1 \ \text{unvisitedPath } un \ ns$   
 $\langle \text{proof} \rangle$

**lemma** *phiDefNodesE*:  
**assumes**  $n \in \text{phiDefNodes } g \ v$   
**obtains**  $ns \ m$  **where**  $g \vdash n - ns \rightarrow m \ \forall n \in \text{set } ns. \ v \notin \text{defs } g \ n \ v \in \text{uses } g \ m$   
 $\langle \text{proof} \rangle$

**lemma** *phiDefNodes- $\alpha n$ [simp]*:  $n \in \text{phiDefNodes } g \ v \implies n \in \text{set } (\alpha n \ g)$   
 $\langle \text{proof} \rangle$

**lemma** *phiDefNodesI*:  
**assumes**  $g \vdash n - ns \rightarrow m \ v \in \text{uses } g \ m \ \forall n \in \text{set } ns. \ v \notin \text{defs } g \ n \ \text{length}$   
 $(\text{predecessors } g \ n) \neq 1$   
**shows**  $n \in \text{phiDefNodes } g \ v$   
 $\langle \text{proof} \rangle$

**lemma** *lookupDef-cases[consumes 1]*:  
**assumes**  $n \in \text{set } (\alpha n \ g)$   
**obtains**  $(\text{SimpleDef}) \ v \in \text{defs } g \ n \ \text{lookupDef } g \ n \ v = (v, n, \text{SimpleDef})$   
 $\mid (\text{PhiDef}) \ v \notin \text{defs } g \ n \ \text{length } (\text{predecessors } g \ n) \neq 1 \ \text{lookupDef } g \ n \ v =$   
 $(v, n, \text{PhiDef})$   
 $\mid (\text{rec}) \ m$  **where**  $v \notin \text{defs } g \ n \ \text{predecessors } g \ n = [m] \ m \in \text{set } (\alpha n \ g)$   
 $\text{lookupDef } g \ n \ v = \text{lookupDef } g \ m \ v$   
 $\langle \text{proof} \rangle$

**lemma** *lookupDef-cases'[consumes 1]*:

**assumes**  $n \in \text{set } (\alpha n \ g)$   
**obtains**  $(\text{SimpleDef}) \ v \in \text{defs } g \ n \ \text{defNode } (\text{lookupDef } g \ n \ v) = n \ \text{defKind}$   
 $(\text{lookupDef } g \ n \ v) = \text{SimpleDef}$   
 $\mid (\text{PhiDef}) \ v \notin \text{defs } g \ n \ \text{length } (\text{predecessors } g \ n) \neq 1 \ \text{lookupDefNode } g$   
 $n \ v = n \ \text{defKind } (\text{lookupDef } g \ n \ v) = \text{PhiDef}$   
 $\mid (\text{rec}) \ m \ \mathbf{where} \ v \notin \text{defs } g \ n \ \text{predecessors } g \ n = [m] \ m \in \text{set } (\alpha n \ g)$   
 $\text{lookupDef } g \ n \ v = \text{lookupDef } g \ m \ v$   
 $\langle \text{proof} \rangle$

**lemma** *lookupDefE*:  
**assumes**  $\text{lookupDef } g \ n \ v = v' \ n \in \text{set } (\alpha n \ g)$   
**obtains**  $(\text{SimpleDef}) \ v \in \text{defs } g \ n \ v' = (v, n, \text{SimpleDef})$   
 $\mid (\text{PhiDef}) \ v \notin \text{defs } g \ n \ \text{length } (\text{predecessors } g \ n) \neq 1 \ v' = (v, n, \text{PhiDef})$   
 $\mid (\text{rec}) \ m \ \mathbf{where} \ v \notin \text{defs } g \ n \ \text{predecessors } g \ n = [m] \ m \in \text{set } (\alpha n \ g) \ v' =$   
 $\text{lookupDef } g \ m \ v$   
 $\langle \text{proof} \rangle$

**lemma** *lookupDef-induct*[*consumes 1, case-names SimpleDef PhiDef rec*]:  
**assumes**  $n \in \text{set } (\alpha n \ g)$   
 $\bigwedge n. \llbracket n \in \text{set } (\alpha n \ g); v \in \text{defs } g \ n; \text{lookupDef } g \ n \ v = (v, n, \text{SimpleDef}) \rrbracket$   
 $\implies P \ n$   
 $\bigwedge n. \llbracket n \in \text{set } (\alpha n \ g); v \notin \text{defs } g \ n; \text{length } (\text{predecessors } g \ n) \neq 1; \text{lookupDef}$   
 $g \ n \ v = (v, n, \text{PhiDef}) \rrbracket \implies P \ n$   
 $\bigwedge n \ m. \llbracket v \notin \text{defs } g \ n; \text{predecessors } g \ n = [m]; m \in \text{set } (\alpha n \ g); \text{lookupDef}$   
 $g \ n \ v = \text{lookupDef } g \ m \ v; P \ m \rrbracket \implies P \ n$   
**shows**  $P \ n$   
 $\langle \text{proof} \rangle$

**lemma** *lookupDef-induct'*[*consumes 2, case-names SimpleDef PhiDef rec*]:  
**assumes**  $n \in \text{set } (\alpha n \ g) \ \text{lookupDef } g \ n \ v = (v, n', \text{def})$   
 $\llbracket v \in \text{defs } g \ n'; \text{def} = \text{SimpleDef} \rrbracket \implies P \ n'$   
 $\llbracket v \notin \text{defs } g \ n'; \text{length } (\text{predecessors } g \ n') \neq 1; \text{def} = \text{PhiDef} \rrbracket \implies P \ n'$   
 $\bigwedge n \ m. \llbracket v \notin \text{defs } g \ n; \text{predecessors } g \ n = [m]; m \in \text{set } (\alpha n \ g); \text{lookupDef}$   
 $g \ n \ v = \text{lookupDef } g \ m \ v; P \ m \rrbracket \implies P \ n$   
**shows**  $P \ n$   
 $\langle \text{proof} \rangle$

**lemma** *lookupDef-looksup*[*simp*]:  
**assumes**  $\text{lookupDef } g \ n \ v = (v', n', \text{def}) \ n \in \text{set } (\alpha n \ g)$   
**shows**  $v' = v$   
 $\langle \text{proof} \rangle$

**lemma** *lookupDef-looksup'*:  
**assumes**  $(v', n', \text{def}) = \text{lookupDef } g \ n \ v \ n \in \text{set } (\alpha n \ g)$   
**shows**  $v' = v$   
 $\langle \text{proof} \rangle$

**lemma** *lookupDef-looksup''*:  
**assumes**  $n \in \text{set } (\alpha n \ g)$

**obtains**  $n'$  **def where**  $lookupDef\ g\ n\ v = (v, n', def)$   
*<proof>*

**lemma**  $lookupDef\ fst[simp]$ :  $n \in set\ (\alpha n\ g) \implies fst\ (lookupDef\ g\ n\ v) = v$   
*<proof>*

**lemma**  $lookupDef\ to\ \alpha n$ :  
**assumes**  $lookupDef\ g\ n\ v = (v', n', def)\ n \in set\ (\alpha n\ g)$   
**shows**  $n' \in set\ (\alpha n\ g)$   
*<proof>*

**lemma**  $lookupDef\ to\ \alpha n'[simp]$ :  
**assumes**  $lookupDef\ g\ n\ v = val\ n \in set\ (\alpha n\ g)$   
**shows**  $defNode\ val \in set\ (\alpha n\ g)$   
*<proof>*

**lemma**  $lookupDef\ induct''[consumes\ 2,\ case\ names\ SimpleDef\ PhiDef\ rec]$ :  
**assumes**  $lookupDef\ g\ n\ v = val\ n \in set\ (\alpha n\ g)$   
 $\llbracket v \in defs\ g\ (defNode\ val); defKind\ val = SimpleDef \rrbracket \implies P\ (defNode\ val)$   
 $\llbracket v \notin defs\ g\ (defNode\ val); length\ (predecessors\ g\ (defNode\ val)) \neq 1;$   
 $defKind\ val = PhiDef \rrbracket \implies P\ (defNode\ val)$   
 $\bigwedge n\ m. \llbracket v \notin defs\ g\ n; predecessors\ g\ n = [m]; m \in set\ (\alpha n\ g); lookupDef$   
 $g\ n\ v = lookupDef\ g\ m\ v; P\ m \rrbracket \implies P\ n$   
**shows**  $P\ n$   
*<proof>*

**lemma**  $defs'\-finite$ :  $finite\ (defs'\ g\ n)$   
*<proof>*

**lemma**  $uses'\-finite$ :  $finite\ (uses'\ g\ n)$   
*<proof>*

**lemma**  $defs'\-uses'\-disjoint$ :  $n \in set\ (\alpha n\ g) \implies defs'\ g\ n \cap uses'\ g\ n = \{\}$   
*<proof>*

**lemma**  $allDefs'\-disjoint$ :  $n \in set\ (\alpha n\ g) \implies m \in set\ (\alpha n\ g) \implies n \neq m$   
 $\implies (defs'\ g\ n \cup \{v.\ (n, v) \in dom\ (phis'\ g)\}) \cap (defs'\ g\ m \cup \{v.\ (m, v) \in dom$   
 $(phis'\ g)\}) = \{\}$   
*<proof>*

**lemma**  $phiDefNodes\ aux\ finite$ :  $finite\ (phiDefNodes\ aux\ g\ v\ un\ m)$   
*<proof>*

**lemma**  $phis'\-finite$ :  $finite\ (dom\ (phis'\ g))$   
*<proof>*

**lemma**  $phis'\-wf$ :  $phis'\ g\ (n, v) = Some\ args \implies length\ (predecessors\ g\ n) =$   
 $length\ args$   
*<proof>*



**lemma** *simpleDefs-phiDefs-disjoint*:  $n \in \text{set } (\alpha n \ g) \implies \text{defs}' \ g \ n \cap \{v. (n, v) \in \text{dom } (\text{phis}' \ g)\} = \{\}$   
 <proof>

**lemma** *oldDefs-correct*:  $\text{defs} \ g \ n = \text{var} \ g \ ' \ \text{defs}' \ g \ n$   
 <proof>

**lemma** *oldUses-correct*:  $n \in \text{set } (\alpha n \ g) \implies \text{uses} \ g \ n = \text{var} \ g \ ' \ \text{uses}' \ g \ n$   
 <proof>

**lemmas** *base-SSA-defs = CFG-SSA-base.CFG-SSA-defs*

**sublocale** *braun-ssa*: *CFG-SSA*  $\alpha e \ \alpha n \ \text{invar} \ \text{inEdges}' \ \text{Entry} \ \text{defs}' \ \text{uses}' \ \text{phis}'$   
 <proof>

**end**

**declare** (in *CFG*) *invar*[*rule del*]

**declare** (in *CFG*) *Entry-no-predecessor*[*simp del*]

**context** *CFG-Construct*

**begin**

**declare** *invar*[*intro!*]

**declare** *Entry-no-predecessor*[*simp*]

**lemma** *no-disjoint-cycle*[*simp*]:  
**assumes**  $g \vdash n - ns \rightarrow n \ \text{distinct} \ ns$   
**shows**  $ns = [n]$   
 <proof>

**lemma** *lookupDef-path*:  
**assumes**  $m \in \text{set } (\alpha n \ g)$   
**obtains**  $ns \ \text{where} \ g \vdash \text{lookupDefNode} \ g \ m \ v - ns \rightarrow m \ (\forall x \in \text{set } (\text{tl} \ ns). v \notin \text{defs} \ g \ x)$   
 <proof>

**lemma** *lookupDef-path-conventional*:  
**assumes**  $g \vdash n - ns \rightarrow m \ n = \text{lookupDefNode} \ g \ m \ v \ n \notin \text{set } (\text{tl} \ ns) \ x \in \text{set } (\text{tl} \ ns) \ v' \in \text{braun-ssa.allDefs} \ g \ x$   
**shows**  $\text{var} \ g \ v' \neq v$   
 <proof>

**lemma** *allUse-lookupDef*:  
**assumes**  $v \in \text{braun-ssa.allUses} \ g \ m \ m \in \text{set } (\alpha n \ g)$   
**shows**  $\text{lookupDef} \ g \ m \ (\text{var} \ g \ v) = v$   
 <proof>

**lemma** *phis'-fst*:  
**assumes**  $\text{phis}' \ g \ (n, v) = \text{Some} \ vs \ v' \in \text{set} \ vs$   
**shows**  $\text{var} \ g \ v' = \text{var} \ g \ v$

*<proof>*

**lemma** *allUse-simpleUse*:

**assumes**  $v \in \text{braun-ssa.allUses } g \ m \ m \in \text{set } (\alpha n \ g)$

**obtains**  $ms \ m'$  **where**  $g \vdash m \text{-} ms \rightarrow m' \ \text{var } g \ v \in \text{uses } g \ m' \ \forall x \in \text{set } (tl \ ms).$   
 $\text{var } g \ v \notin \text{defs } g \ x$

*<proof>*

**lemma** *defs'*:  $v \in \text{defs}' \ g \ n \longleftrightarrow \text{var } g \ v \in \text{defs } g \ n \wedge \text{defKind } v = \text{SimpleDef} \wedge \text{defNode } v = n$

*<proof>*

**lemma** *use-implies-allDef*:

**assumes**  $\text{lookupDef } g \ m \ (\text{var } g \ v) = v \ m \in \text{set } (\alpha n \ g) \ \text{var } g \ v \in \text{uses } g \ m' \ g \vdash m \text{-} ms \rightarrow m' \ \forall x \in \text{set } (tl \ ms). \ \text{var } g \ v \notin \text{defs } g \ x$

**shows**  $v \in \text{braun-ssa.allDefs } g \ (\text{defNode } v)$

*<proof>*

**lemma** *allUse-defNode-in- $\alpha n$ [simp]*:

**assumes**  $v \in \text{braun-ssa.allUses } g \ m \ m \in \text{set } (\alpha n \ g)$

**shows**  $\text{defNode } v \in \text{set } (\alpha n \ g)$

*<proof>*

**lemma** *allUse-implies-allDef*:

**assumes**  $v \in \text{braun-ssa.allUses } g \ m \ m \in \text{set } (\alpha n \ g)$

**shows**  $v \in \text{braun-ssa.allDefs } g \ (\text{defNode } v)$

*<proof>*

**lemma** *conventional*:

**assumes**  $g \vdash n \text{-} ns \rightarrow m \ n \notin \text{set } (tl \ ns) \ v \in \text{braun-ssa.allDefs } g \ n \ v \in \text{braun-ssa.allUses } g \ m$

$x \in \text{set } (tl \ ns) \ v' \in \text{braun-ssa.allDefs } g \ x$

**shows**  $\text{var } g \ v' \neq \text{var } g \ v$

*<proof>*

**lemma** *allDefs-var-disjoint-aux*:  $n \in \text{set } (\alpha n \ g) \implies v \in \text{defs } g \ n \implies n \notin \text{phiDefNodes } g \ v$

*<proof>*

**lemma** *allDefs-var-disjoint*:  $\llbracket n \in \text{set } (\alpha n \ g); v \in \text{braun-ssa.allDefs } g \ n; v' \in \text{braun-ssa.allDefs } g \ n; v \neq v' \rrbracket \implies \text{var } g \ v' \neq \text{var } g \ v$

*<proof>*

**lemma**[*simp*]:  $n \in \text{set } (\alpha n \ g) \implies v \in \text{defs } g \ n \implies \text{lookupDefNode } g \ n \ v = n$

*<proof>*

**lemma**[*simp*]:  $n \in \text{set } (\alpha n \ g) \implies \text{length } (\text{predecessors } g \ n) \neq 1 \implies \text{lookupDefNode } g \ n \ v = n$

*<proof>*

```

lemma lookupDef-idem[simp]:
  assumes  $n \in \text{set } (\alpha n \ g)$ 
  shows  $\text{lookupDef } g \ (\text{lookupDefNode } g \ n \ v) \ v = \text{lookupDef } g \ n \ v$ 
   $\langle \text{proof} \rangle$ 
end

locale CFG-Construct-wf = CFG-Construct  $\alpha e \ \alpha n \ \text{invar} \ \text{inEdges}' \ \text{Entry} \ \text{defs} \ \text{uses}$ 
+ CFG-wf  $\alpha e \ \alpha n \ \text{invar} \ \text{inEdges}' \ \text{Entry} \ \text{defs} \ \text{uses}$ 
for
   $\alpha e :: 'g \Rightarrow ('node::\text{linorder} \times 'edgeD \times 'node) \ \text{set} \ \mathbf{and}$ 
   $\alpha n :: 'g \Rightarrow 'node \ \text{list} \ \mathbf{and}$ 
   $\text{invar} :: 'g \Rightarrow \text{bool} \ \mathbf{and}$ 
   $\text{inEdges}' :: 'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD) \ \text{list} \ \mathbf{and}$ 
   $\text{Entry} :: 'g \Rightarrow 'node \ \mathbf{and}$ 
   $\text{defs} :: 'g \Rightarrow 'node \Rightarrow 'var::\text{linorder} \ \text{set} \ \mathbf{and}$ 
   $\text{uses} :: 'g \Rightarrow 'node \Rightarrow 'var \ \text{set}$ 
begin
  lemma def-ass-allUses-aux:
    assumes  $g \vdash \text{Entry } g \text{--ns} \rightarrow n$ 
    shows  $\text{lookupDefNode } g \ n \ (\text{var } g \ v) \in \text{set } ns$ 
     $\langle \text{proof} \rangle$ 

  lemma def-ass-allUses:
    assumes  $v \in \text{braun-ssa.allUses } g \ n \ n \in \text{set } (\alpha n \ g)$ 
    shows  $\text{braun-ssa.defAss } g \ n \ v$ 
     $\langle \text{proof} \rangle$ 

  lemma Empty-no-phis:
    shows  $\text{phis}' \ g \ (\text{Entry } g, \ v) = \text{None}$ 
     $\langle \text{proof} \rangle$ 

  lemma braun-ssa-CFG-SSA-wf:
     $\text{CFG-SSA-wf } \alpha e \ \alpha n \ \text{invar} \ \text{inEdges}' \ \text{Entry} \ \text{defs}' \ \text{uses}' \ \text{phis}'$ 
     $\langle \text{proof} \rangle$ 

  sublocale braun-ssa: CFG-SSA-wf  $\alpha e \ \alpha n \ \text{invar} \ \text{inEdges}' \ \text{Entry} \ \text{defs}' \ \text{uses}' \ \text{phis}'$ 
   $\langle \text{proof} \rangle$ 

  lemma braun-ssa-CFG-SSA-Transformed:
     $\text{CFG-SSA-Transformed } \alpha e \ \alpha n \ \text{invar} \ \text{inEdges}' \ \text{Entry} \ \text{defs} \ \text{uses} \ \text{defs}' \ \text{uses}' \ \text{phis}'$ 
  var
   $\langle \text{proof} \rangle$ 

  sublocale braun-ssa: CFG-SSA-Transformed  $\alpha e \ \alpha n \ \text{invar} \ \text{inEdges}' \ \text{Entry} \ \text{defs}$ 
  uses  $\text{defs}' \ \text{uses}' \ \text{phis}' \ \text{var}$ 
   $\langle \text{proof} \rangle$ 

  lemma PhiDef-defNode-eq:

```

```

assumes  $n \in \text{set } (\alpha n \ g) \ n \in \text{phiDefNodes } g \ v \ v \in \text{vars } g$ 
shows  $\text{braun-ssa.defNode } g \ (v, n, \text{PhiDef}) = n$ 
<proof>

lemma phiDefNodes-aux-pruned-aux:
assumes  $n \in \text{phiDefNodes-aux } g \ v \ (\alpha n \ g) \ nUse \ v \in \text{uses } g \ nUse \ g \vdash n - ns \rightarrow m$ 
 $g \vdash m - ms \rightarrow nUse \ \text{braun-ssa.liveVal } g \ (\text{lookupDef } g \ m \ v) \ \forall n \in \text{set } (ns @ ms). \ v \notin$ 
 $\text{defs } g \ n$ 
shows  $\text{braun-ssa.liveVal } g \ (v, n, \text{PhiDef})$ 
<proof>

lemma phiDefNodes-aux-pruned:
assumes  $m \in \text{phiDefNodes-aux } g \ v \ (\alpha n \ g) \ n \ n \in \text{set } (\alpha n \ g) \ v \in \text{uses } g \ n$ 
shows  $\text{braun-ssa.liveVal } g \ (v, m, \text{PhiDef})$ 
<proof>

theorem phis'-pruned:  $\text{braun-ssa.pruned } g$ 
<proof>

declare var-def [simp del]

declare no-disjoint-cycle [simp del]
declare lookupDef-looksUp [simp del]

declare lookupDef.simps [code]
declare phiDefNodes-aux.simps [code]
declare phiDefNodes-def [code]
declare defs'-def [code]
declare uses'-def [code]
declare phis'-def [code]
declare predecessors-def [code]
end

end

```

## 4.2 Inductive Removal of Trivial Phi Functions

```

theory Construct-SSA-notriv
imports SSA-CFG Minimality HOL-Library.While-Combinator
begin

locale CFG-SSA-Transformed-notriv-base = CFG-SSA-Transformed-base  $\alpha e \ \alpha n$ 
 $\text{invar } \text{inEdges}' \ \text{Entry} \ \text{oldDefs} \ \text{oldUses} \ \text{defs} \ \text{uses} \ \text{phis} \ \text{var}$ 
for
 $\alpha e :: 'g \Rightarrow ('node :: \text{linorder} \times 'edgeD \times 'node) \ \text{set}$  and
 $\alpha n :: 'g \Rightarrow 'node \ \text{list}$  and
 $\text{invar} :: 'g \Rightarrow \text{bool}$  and
 $\text{inEdges}' :: 'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD) \ \text{list}$  and
 $\text{Entry} :: 'g \Rightarrow 'node$  and

```

```

oldDefs :: 'g ⇒ 'node ⇒ 'var::linorder set and
oldUses :: 'g ⇒ 'node ⇒ 'var set and
defs :: 'g ⇒ 'node ⇒ 'val::linorder set and
uses :: 'g ⇒ 'node ⇒ 'val set and
phis :: 'g ⇒ ('node, 'val) phis and
var :: 'g ⇒ 'val ⇒ 'var +
fixes chooseNext-all :: ('node ⇒ 'val set) ⇒ ('node, 'val) phis ⇒ 'g ⇒ ('node ×
'val)
begin
  abbreviation chooseNext g ≡ snd (chooseNext-all (uses g) (phis g) g)
  abbreviation chooseNext' g ≡ chooseNext-all (uses' g) (phis g) g

  definition substitution g ≡ THE v'. isTrivialPhi g (chooseNext g) v'
  definition substNext g ≡ λv. if v = chooseNext g then substitution g else v
  definition[simp]: uses' g n ≡ substNext g ' uses g n
  definition[simp]: phis' g x ≡ case x of (n,v) ⇒ if v = chooseNext g
    then None
    else map-option (map (substNext g)) (phis g (n,v))
end

locale CFG-SSA-Transformed-notriv = CFG-SSA-Transformed αe αn invar in-
Edges' Entry oldDefs oldUses defs uses phis var
+ CFG-SSA-Transformed-notriv-base αe αn invar inEdges' Entry oldDefs oldUses
defs uses phis var chooseNext-all
for
  αe :: 'g ⇒ ('node::linorder × 'edgeD × 'node) set and
  αn :: 'g ⇒ 'node list and
  invar :: 'g ⇒ bool and
  inEdges' :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list and
  Entry :: 'g ⇒ 'node and
  oldDefs :: 'g ⇒ 'node ⇒ 'var::linorder set and
  oldUses :: 'g ⇒ 'node ⇒ 'var set and
  defs :: 'g ⇒ 'node ⇒ 'val::linorder set and
  uses :: 'g ⇒ 'node ⇒ 'val set and
  phis :: 'g ⇒ ('node, 'val) phis and
  var :: 'g ⇒ 'val ⇒ 'var and
  chooseNext-all :: ('node ⇒ 'val set) ⇒ ('node, 'val) phis ⇒ 'g ⇒ ('node × 'val)
+
assumes chooseNext-all: CFG-SSA-Transformed αe αn invar inEdges' Entry old-
Defs oldUses defs u p var ⇒
  CFG-SSA-wf-base.redundant αn inEdges' defs u p g ⇒
  chooseNext-all (u g) (p g) g ∈ dom (p g) ∧
  CFG-SSA-wf-base.trivial αn inEdges' defs u p g (snd (chooseNext-all (u g) (p g)
g))
begin
  lemma chooseNext':redundant g ⇒ chooseNext' g ∈ dom (phis g) ∧ trivial g
(chooseNext g)
  ⟨proof⟩

```

**lemma** *chooseNext*: *redundant g*  $\implies$  *chooseNext g*  $\in$  *allVars g*  $\wedge$  *trivial g* (*chooseNext g*)

*<proof>*

**lemmas** *chooseNext-in-allVars[simp]* = *chooseNext[THEN conjunct1]*

**lemma** *isTrivialPhi-det*: *trivial g v*  $\implies$   $\exists !v'$ . *isTrivialPhi g v v'*

*<proof>*

**lemma** *trivialPhi-strict-dom*:

**assumes** *[simp]*:  $v \in \text{allVars } g$  **and** *triv*: *isTrivialPhi g v v'*

**shows** *strict-def-dom g v' v*

*<proof>*

**lemma** *isTrivialPhi-asymmetric*:

**assumes** *isTrivialPhi g a b*

**and** *isTrivialPhi g b a*

**shows** *False*

*<proof>*

**lemma** *substitution[intro]*: *redundant g*  $\implies$  *isTrivialPhi g* (*chooseNext g*) (*substitution g*)

*<proof>*

**lemma** *trivialPhi-in-allVars[simp]*:

**assumes** *isTrivialPhi g v v'* **and** *[simp]*:  $v \in \text{allVars } g$

**shows**  $v' \in \text{allVars } g$

*<proof>*

**lemma** *substitution-in-allVars[simp]*:

**assumes** *redundant g*

**shows** *substitution g*  $\in$  *allVars g*

*<proof>*

**lemma** *defs-uses-disjoint-inv*:

**assumes** *[simp]*:  $n \in \text{set } (\alpha n \ g)$  *redundant g*

**shows**  $\text{defs } g \ n \cap \text{uses}' \ g \ n = \{\}$

*<proof>*

**end**

**context** *CFG-SSA-wf*

**begin**

**inductive** *liveVal'* ::  $'g \Rightarrow 'val \ \text{list} \Rightarrow \text{bool}$

**for**  $g :: 'g$

**where**

*liveSimple'*:  $\llbracket n \in \text{set } (\alpha n \ g); \text{val} \in \text{uses } g \ n \rrbracket \implies \text{liveVal}' \ g \ [\text{val}]$

| *livePhi'*:  $\llbracket \text{liveVal}' \ g \ (v \# \text{vs}); \text{phiArg } g \ v \ v' \rrbracket \implies \text{liveVal}' \ g \ (v' \# v \# \text{vs})$

**lemma** *liveVal'-suffix*:

```

assumes liveVal' g vs suffix vs' vs vs' ≠ []
shows liveVal' g vs'
⟨proof⟩

lemma liveVal'I:
assumes liveVal g v
obtains vs where liveVal' g (v#vs)
⟨proof⟩

lemma liveVal'D:
assumes liveVal' g vs vs = v#vs'
shows liveVal g v
⟨proof⟩
end

locale CFG-SSA-step = CFG-SSA-Transformed-notriv αe αn invar inEdges' Entry
oldDefs oldUses defs uses phis var chooseNext-all
for
αe :: 'g ⇒ ('node::linorder × 'edgeD × 'node) set and
αn :: 'g ⇒ 'node list and
invar :: 'g ⇒ bool and
inEdges' :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list and
Entry :: 'g ⇒ 'node and
oldDefs :: 'g ⇒ 'node ⇒ 'var::linorder set and
oldUses :: 'g ⇒ 'node ⇒ 'var set and
defs :: 'g ⇒ 'node ⇒ 'val::linorder set and
uses :: 'g ⇒ 'node ⇒ 'val set and
phis :: 'g ⇒ ('node, 'val) phis and
var :: 'g ⇒ 'val ⇒ 'var and
chooseNext-all :: ('node ⇒ 'val set) ⇒ ('node, 'val) phis ⇒ 'g ⇒ ('node × 'val)
and
g :: 'g +
assumes redundant[simp]: redundant g
begin
abbreviation u-g ≡ uses(g:=uses' g)
abbreviation p-g ≡ phis(g:=phis' g)

sublocale step: CFG-SSA-Transformed-notriv-base αe αn invar inEdges' Entry
oldDefs oldUses defs u-g p-g var chooseNext-all ⟨proof⟩

lemma simpleDefs-phiDefs-disjoint-inv:
assumes n ∈ set (αn g)
shows defs g n ∩ step.phiDefs g n = {}
⟨proof⟩

lemma allDefs-disjoint-inv:
assumes n ∈ set (αn g) m ∈ set (αn g) n ≠ m
shows step.allDefs g n ∩ step.allDefs g m = {}
⟨proof⟩

```

**lemma** *phis-finite-inv*:  
**shows** *finite (dom (phis' g))*  
 ⟨*proof*⟩

**lemma** *phis-wf-inv*:  
**assumes** *phis' g (n, v) = Some args*  
**shows** *length (old.predecessors g n) = length args*  
 ⟨*proof*⟩

**sublocale** *step: CFG-SSA*  $\alpha e \alpha n$  *invar inEdges' Entry defs u-g p-g*  
 ⟨*proof*⟩

**lemma** *allUses-narrows*:  
**assumes**  $n \in \text{set } (\alpha n g)$   
**shows** *step.allUses g n  $\subseteq$  substNext g ' allUses g n*  
 ⟨*proof*⟩

**lemma** *allDefs-narrows[simp]*:  $v \in \text{step.allDefs } g n \implies v \in \text{allDefs } g n$   
 ⟨*proof*⟩

**lemma** *allUses-def-ass-inv*:  
**assumes**  $v' \in \text{step.allUses } g n \ n \in \text{set } (\alpha n g)$   
**shows** *step.defAss g n v'*  
 ⟨*proof*⟩

**lemma** *Entry-no-phis-inv*: *phis' g (Entry g, v) = None*  
 ⟨*proof*⟩

**sublocale** *step: CFG-SSA-wf*  $\alpha e \alpha n$  *invar inEdges' Entry defs u-g p-g*  
 ⟨*proof*⟩

**lemma** *chooseNext-eliminated*: *chooseNext g  $\notin$  step.allDefs g (defNode g (chooseNext g))*  
 ⟨*proof*⟩

**lemma** *oldUses-inv*:  
**assumes**  $n \in \text{set } (\alpha n g)$   
**shows** *oldUses g n = var g ' u-g g n*  
 ⟨*proof*⟩

**lemma** *conventional-inv*:  
**assumes**  $g \vdash n \text{--} ns \text{--} m \ n \notin \text{set } (tl \ ns) \ v \in \text{step.allDefs } g n \ v \in \text{step.allUses } g$   
 $m \ x \in \text{set } (tl \ ns) \ v' \in \text{step.allDefs } g x$   
**shows** *var g v'  $\neq$  var g v*  
 ⟨*proof*⟩

**lemma**[*simp*]: *var g (substNext g v) = var g v*



*<proof>*

**lemma** *phis-same-var-inv*:

**assumes** *phis'* *g* (*n,v*) = *Some vs v' ∈ set vs*

**shows** *var g v' = var g v*

*<proof>*

**lemma** *allDefs-var-disjoint-inv*:  $\llbracket n \in \text{set } (\alpha n \ g); v \in \text{step.allDefs } g \ n; v' \in \text{step.allDefs } g \ n; v \neq v' \rrbracket \implies \text{var } g \ v' \neq \text{var } g \ v$

*<proof>*

**lemma** *step-CFG-SSA-Transformed-notriv*: *CFG-SSA-Transformed-notriv*  $\alpha e \ \alpha n$  *invar inEdges' Entry oldDefs oldUses defs u-g p-g var chooseNext-all*

*<proof>*

**sublocale** *step*: *CFG-SSA-Transformed-notriv*  $\alpha e \ \alpha n$  *invar inEdges' Entry oldDefs oldUses defs u-g p-g var chooseNext-all*

*<proof>*

**lemma** *step-defNode*:  $v \in \text{allVars } g \implies v \neq \text{chooseNext } g \implies \text{step.defNode } g \ v = \text{defNode } g \ v$

*<proof>*

**lemma** *step-phi*:  $v \in \text{allVars } g \implies v \neq \text{chooseNext } g \implies \text{step.phi } g \ v = \text{map-option } (\text{map } (\text{substNext } g)) \ (\text{phi } g \ v)$

*<proof>*

**lemma** *liveVal'-inv*:

**assumes** *liveVal'* *g* (*v#vs*)  $v \neq \text{chooseNext } g$

**obtains** *vs'* **where** *step.liveVal'* *g* (*v#vs'*)

*<proof>*

**lemma** *liveVal-inv*:

**assumes** *liveVal* *g* *v*  $v \neq \text{chooseNext } g$

**shows** *step.liveVal* *g* *v*

*<proof>*

**lemma** *pruned-inv*:

**assumes** *pruned* *g*

**shows** *step.pruned* *g*

*<proof>*

**end**

**context** *CFG-SSA-Transformed-notriv-base*

**begin**

**abbreviation** *inst* *g* *u* *p*  $\equiv \text{CFG-SSA-Transformed-notriv } \alpha e \ \alpha n$  *invar inEdges' Entry oldDefs oldUses defs (uses(g:=u)) (phis(g:=p)) var chooseNext-all*

**abbreviation** *inst'* *g*  $\equiv \lambda(u,p). \text{inst } g \ u \ p$

**interpretation** *uninst*: CFG-SSA-Transformed-notriv-base  $\alpha e \alpha n$  invar inEdges'  
 Entry oldDefs oldUses defs u p var chooseNext-all  
**for** u and p  
 ⟨proof⟩

**definition** *cond*  $g \equiv \lambda(u,p). \text{uninst.redundant } (\text{uses}(g:=u)) (\text{phis}(g:=p)) g$

**definition** *step*  $g \equiv \lambda(u,p). (\text{uninst.uses}' (\text{uses}(g:=u)) (\text{phis}(g:=p)) g,$   
 $\text{uninst.phis}' (\text{uses}(g:=u)) (\text{phis}(g:=p)) g)$

**definition**[code]: *substAll*  $g \equiv \text{while } (\text{cond } g) (\text{step } g) (\text{uses } g, \text{phis } g)$

**definition**[code]: *uses'-all*  $g \equiv \text{fst } (\text{substAll } g)$

**definition**[code]: *phis'-all*  $g \equiv \text{snd } (\text{substAll } g)$

**lemma** *uninst-allVars-simps* [simp]:

$\text{uninst.allVars } u (\lambda-. p g) g = \text{uninst.allVars } u p g$   
 $\text{uninst.allVars } (\lambda-. u g) p g = \text{uninst.allVars } u p g$   
 $\text{uninst.allVars } (\text{uses}(g:=u g)) p g = \text{uninst.allVars } u p g$   
 $\text{uninst.allVars } u (\text{phis}(g:=p g)) g = \text{uninst.allVars } u p g$   
 ⟨proof⟩

**lemma** *uninst-trivial-simps* [simp]:

$\text{uninst.trivial } u (\lambda-. p g) g = \text{uninst.trivial } u p g$   
 $\text{uninst.trivial } (\lambda-. u g) p g = \text{uninst.trivial } u p g$   
 $\text{uninst.trivial } (\text{uses}(g:=u g)) p g = \text{uninst.trivial } u p g$   
 $\text{uninst.trivial } u (\text{phis}(g:=p g)) g = \text{uninst.trivial } u p g$   
 ⟨proof⟩

**end**

**context** CFG-SSA-Transformed-notriv

**begin**

**declare** *fun-upd-apply*[simp del] *fun-upd-same*[simp]

**lemma** *substAll-wf*:

**assumes**[simp]: *redundant*  $g$   
**shows**  $\text{card } (\text{dom } (\text{phis}' g)) < \text{card } (\text{dom } (\text{phis } g))$   
 ⟨proof⟩

**lemma** *step-preserves-inst*:

**assumes** *inst'*  $g (u,p)$   
**and** CFG-SSA-wf-base.*redundant*  $\alpha n$  inEdges' defs (*uses*( $g:=u$ )) (*phis*( $g:=p$ ))

$g$

**shows** *inst'*  $g (\text{step } g (u,p))$

⟨proof⟩

**lemma** *substAll*:

**assumes**  $P (\text{uses } g, \text{phis } g)$   
**assumes**  $\bigwedge x. P x \implies \text{inst}' g x \implies \text{cond } g x \implies P (\text{step } g x)$

**assumes**  $\bigwedge x. P x \implies inst' g x \implies \neg cond g x \implies Q (fst x) (snd x)$   
**shows**  $inst g (uses'-all g) (phis'-all g) Q (uses'-all g) (phis'-all g)$   
 $\langle proof \rangle$

**sublocale** *notriv*: *CFG-SSA-Transformed*  $\alpha e \alpha n$  *invar inEdges' Entry oldDefs*  
*oldUses defs uses'-all phis'-all*  
 $\langle proof \rangle$

**theorem** *not-redundant*:  $\neg notriv.redundant g$   
 $\langle proof \rangle$

**corollary** *minimal*:  $old.reducible g \implies notriv.cytronMinimal g$   
 $\langle proof \rangle$

**theorem** *pruned-invariant*:  
**assumes** *pruned g*  
**shows** *notriv.pruned g*  
 $\langle proof \rangle$

**end**

**end**

## 5 Proof of Semantic Equivalence

**theory** *SSA-Semantics* **imports** *Construct-SSA* **begin**

**type-synonym**  $('node, 'var) state = 'var \rightarrow 'node$

**context** *CFG-SSA-Transformed*

**begin**

**declare** *invar*[*intro!*]

**definition** *step* ::

$'g \Rightarrow 'node \Rightarrow ('node, 'var) state \Rightarrow ('node, 'var) state$

**where**

$step g m s v \equiv if v \in oldDefs g m then Some m else s v$

**inductive** *bs* ::  $'g \Rightarrow 'node list \Rightarrow ('node, 'var) state \Rightarrow bool$  ( $- \vdash - \Downarrow - [50, 50, 50] 50$ )

**where**

$g \vdash Entry g - ns \rightarrow last ns \implies g \vdash ns \Downarrow (fold (step g) ns Map.empty)$

**definition** *ssaStep* ::

$'g \Rightarrow 'node \Rightarrow nat \Rightarrow ('node, 'val) state \Rightarrow ('node, 'val) state$

**where**

$ssaStep g m i s v \equiv$

$if v \in defs g m then$

```

    Some m
  else
    case phis g (m,v) of
      Some phiParams => s (phiParams ! i)
    | None => s v

```

**inductive** *ssaBS* :: 'g => 'node list => ('node, 'val) state => bool (- ⊢ -↓<sub>s</sub> - [50, 50, 50] 50)

```

for
  g :: 'g
where
  empty: g ⊢ [Entry g]↓s(ssaStep g (Entry g) 0 Map.empty)
  | snoc: [[g ⊢ ns↓ss; last ns = old.predecessors g m ! i; m ∈ set (αn g); i < length
  (old.predecessors g m)]] =>
    g ⊢ (ns@[m])↓s(ssaStep g m i s)

```

**lemma** *ssaBS-I*:  
**assumes**  $g \vdash \text{Entry } g - ns \rightarrow n$   
**obtains**  $s$  **where**  $g \vdash ns \downarrow_s s$   
*<proof>*

**lemma** *ssaBS-nonempty[simp]*:  $\neg (g \vdash [] \downarrow_s s)$   
*<proof>*

**lemma** *ssaBS-hd[simp]*:  $g \vdash ns \downarrow_s s \implies \text{hd } ns = \text{Entry } g$   
*<proof>*

**lemma** *equiv-aux*:  
**assumes**  $g \vdash ns \downarrow_s g \vdash ns \downarrow_s s' \vdash \text{last } ns - ms \rightarrow m \vdash v \in \text{allUses } g \ m \ \forall n \in \text{set}$   
 $(\text{tl } ms). \text{ var } g \ v \notin \text{var } g \ ' \text{allDefs } g \ n$   
**shows**  $s (\text{var } g \ v) = s' \ v$   
*<proof>*

**theorem** *equiv*:  
**assumes**  $g \vdash ns \downarrow_s g \vdash ns \downarrow_s s' \vdash v \in \text{uses } g \ (\text{last } ns)$   
**shows**  $s (\text{var } g \ v) = s' \ v$   
*<proof>*

**end**

**end**

## 6 Code Generation

### 6.1 While Combinator Extensions

```

theory While-Combinator-Exts imports
  HOL-Library.While-Combinator
begin

```

**lemma** *while-option-None-invD*:  
**assumes** *while-option b c s = None* **and** *wf r*  
**and** *I s* **and**  $\bigwedge s. \llbracket I s; b s \rrbracket \Longrightarrow I (c s)$   
**and**  $\bigwedge s. \llbracket I s; b s \rrbracket \Longrightarrow (c s, s) \in r$   
**shows** *False*  
 $\langle proof \rangle$

**lemma** *while-option-NoneD*:  
**assumes** *while-option b c s = None*  
**and** *wf r* **and**  $\bigwedge s. b s \Longrightarrow (c s, s) \in r$   
**shows** *False*  
 $\langle proof \rangle$

**lemma** *while-option-sim*:  
**assumes** *start: R (Some s1) (Some s2)*  
**and** *cond:  $\bigwedge s1 s2. \llbracket R (Some s1) (Some s2); I s1 \rrbracket \Longrightarrow b1 s1 = b2 s2$*   
**and** *step :  $\bigwedge s1 s2. \llbracket R (Some s1) (Some s2); I s1; b1 s1 \rrbracket \Longrightarrow R (Some (c1 s1)) (Some (c2 s2))$*   
**and** *diverge: R None None*  
**and** *inv-start: I s1*  
**and** *inv-step:  $\bigwedge s1. \llbracket I s1; b1 s1 \rrbracket \Longrightarrow I (c1 s1)$*   
**shows** *R (while-option b1 c1 s1) (while-option b2 c2 s2)*  
 $\langle proof \rangle$

**end**

**theory** *SSA-CFG-code* **imports**  
*SSA-CFG*  
*Mapping-Exts*  
*HOL-Library.Product-Lexorder*  
**begin**

**definition** *Union-of* ::  $('a \Rightarrow 'b \text{ set}) \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set}$   
**where** *Union-of f A*  $\equiv \bigcup (f ` A)$

**lemma** *Union-of-alt-def*: *Union-of f A =  $(\bigcup x \in A. f x)$*   
 $\langle proof \rangle$

**type-synonym**  $('node, 'val) \text{phis-code} = ('node \times 'val, 'val \text{ list}) \text{ mapping}$

**context** *CFG-base* **begin**

**definition** *addN* ::  $'g \Rightarrow 'node \Rightarrow ('var, 'node \text{ set}) \text{ mapping} \Rightarrow ('var, 'node \text{ set}) \text{ mapping}$   
**where** *addN g n*  $\equiv \text{fold } (\lambda v. \text{Mapping.map-default } v \ \{\} \ (insert \ n)) \ (\text{sorted-list-of-set } (uses \ g \ n))$

**definition** *addN'*  $g \ n = \text{fold } (\lambda v \ m. \ m(v \mapsto \text{case-option } \{n\} \ (insert \ n) \ (m \ v))) \ (\text{sorted-list-of-set } (uses \ g \ n))$

**lemma** *addN-transfer* [*transfer-rule*]:  
 $rel\text{-}fun (=) (rel\text{-}fun (=) (rel\text{-}fun (pcr\text{-}mapping (=) (=)) (pcr\text{-}mapping (=) (=))))$   
*addN' addN*  
 ⟨*proof*⟩

**definition** *useNodes-of*  $g = fold (addN\ g) (\alpha n\ g) Mapping.empty$   
**lemmas** *useNodes-of-code* = *useNodes-of-def* [*unfolded addN-def* [*abs-def*]]  
**declare** *useNodes-of-code* [*code*]

**lemma** *lookup-useNodes-of'*:  
**assumes** [*simp*]:  $\bigwedge n. finite (uses\ g\ n)$   
**shows**  $Mapping.lookup (useNodes\text{-}of\ g)\ v =$   
 (if  $(\exists n \in set (\alpha n\ g). v \in uses\ g\ n)$  then  $Some \{n \in set (\alpha n\ g). v \in uses\ g\ n\}$   
 else *None*)  
 ⟨*proof*⟩  
**end**

**context** *CFG* **begin**

**lift-definition** *useNodes-of'* ::  $'g \Rightarrow ('var, 'node\ set)\ mapping$   
**is**  $\lambda g\ v. if (\exists n \in set (\alpha n\ g). v \in uses\ g\ n)$  then  $Some \{n \in set (\alpha n\ g). v \in uses\ g\ n\}$   
 else *None* ⟨*proof*⟩

**lemma** *useNodes-of'*:  $useNodes\text{-}of' = useNodes\text{-}of$   
 ⟨*proof*⟩

**declare** *useNodes-of'.transfer* [*unfolded useNodes-of', transfer-rule*]

**lemma** *lookup-useNodes-of'*:  $Mapping.lookup (useNodes\text{-}of\ g)\ v =$   
 (if  $(\exists n \in set (\alpha n\ g). v \in uses\ g\ n)$  then  $Some \{n \in set (\alpha n\ g). v \in uses\ g\ n\}$   
 else *None*)  
 ⟨*proof*⟩

**end**

**context** *CFG-SSA-base* **begin**

**definition** *phis-addN*

**where**  $phis\text{-}addN\ g\ n = fold (\lambda v. Mapping.map\text{-}default\ v\ \{\}) (insert\ n)) (case\text{-}option$   
 $\ []\ id\ (phis\ g\ n))$

**definition** *phidefNodes* **where** [*code*]:

$phidefNodes\ g = fold (\lambda(n,v). Mapping.update\ v\ n) (sorted\text{-}list\text{-}of\text{-}set (dom (phis$   
 $g))) Mapping.empty$

**lemma** *keys-phidefNodes*:

**assumes**  $finite (dom (phis\ g))$

**shows**  $Mapping.keys (phidefNodes\ g) = snd\ \text{'}\ dom (phis\ g)$

⟨*proof*⟩

**definition** *phiNodes-of* ::  $'g \Rightarrow ('val, ('node \times 'val)\ set)\ mapping$

**where**  $\text{phiNodes-of } g = \text{fold } (\text{phis-addN } g) (\text{sorted-list-of-set } (\text{dom } (\text{phis } g)))$   
 $\text{Mapping.empty}$

**lemma**  $\text{lookup-phiNodes-of}$ :  
**assumes**  $[\text{simp}]$ :  $\text{finite } (\text{dom } (\text{phis } g))$   
**shows**  $\text{Mapping.lookup } (\text{phiNodes-of } g) v =$   
 $(\text{if } (\exists n \in \text{dom } (\text{phis } g). v \in \text{set } (\text{the } (\text{phis } g n)))) \text{ then } \text{Some } \{n \in \text{dom } (\text{phis } g). v \in \text{set } (\text{the } (\text{phis } g n))\} \text{ else } \text{None})$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{phiNodes-of-code} = \text{phiNodes-of-def } [\text{unfolded } \text{phis-addN-def } [\text{abs-def}]]$   
**declare**  $\text{phiNodes-of-code } [\text{code}]$

**lemma**  $\text{phis-transfer } [\text{transfer-rule}]$ :  
**includes**  $\text{lifting-syntax}$   
**shows**  $((=) \implies \text{pcr-mapping } (=) (=)) \text{ phis } (\lambda g. \text{Mapping.Mapping } (\text{phis } g))$   
 $\langle \text{proof} \rangle$

**end**

**context**  $\text{CFG-SSA begin}$   
**declare**  $\text{lookup-phiNodes-of } [\text{OF } \text{phis-finite}, \text{simp}]$   
**declare**  $\text{keys-phidefNodes } [\text{OF } \text{phis-finite}, \text{simp}]$   
**end**

**locale**  $\text{CFG-SSA-ext-base} = \text{CFG-SSA-base } \alpha e \alpha n \text{ invar } \text{inEdges' Entry defs uses phis}$

**for**  $\alpha e :: 'g \Rightarrow ('node::\text{linorder} \times 'edgeD \times 'node) \text{ set}$   
**and**  $\alpha n :: 'g \Rightarrow 'node \text{ list}$   
**and**  $\text{invar} :: 'g \Rightarrow \text{bool}$   
**and**  $\text{inEdges' } :: 'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD) \text{ list}$   
**and**  $\text{Entry} :: 'g \Rightarrow 'node$   
**and**  $\text{defs} :: 'g \Rightarrow 'node \Rightarrow 'val::\text{linorder} \text{ set}$   
**and**  $\text{uses} :: 'g \Rightarrow 'node \Rightarrow 'val \text{ set}$   
**and**  $\text{phis} :: 'g \Rightarrow ('node, 'val) \text{ phis}$

**begin**

**abbreviation**  $\text{cache } g f \equiv \text{Mapping.tabulate } (\alpha n g) f$

**lemma**  $\text{lookup-cache}[\text{simp}]$ :  $n \in \text{set } (\alpha n g) \implies \text{Mapping.lookup } (\text{cache } g f) n = \text{Some } (f n)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lookup-cacheD } [\text{dest}]$ :  $\text{Mapping.lookup } (\text{cache } g f) x = \text{Some } y \implies y = f x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lookup-cache-usesD}$ :  $\text{Mapping.lookup } (\text{cache } g (\text{uses } g)) n = \text{Some } vs \implies vs = \text{uses } g n$   
 $\langle \text{proof} \rangle$

**end**

**definition**[simp]:  $usesOf\ m\ n \equiv case-option\ \{\}\ id\ (Mapping.lookup\ m\ n)$

**locale** *CFG-SSA-ext* = *CFG-SSA-ext-base*  $\alpha e\ \alpha n\ invar\ inEdges'\ Entry\ defs\ uses\ phis$

+ *CFG-SSA*  $\alpha e\ \alpha n\ invar\ inEdges'\ Entry\ defs\ uses\ phis$   
**for**  $\alpha e :: 'g \Rightarrow ('node::linorder \times 'edgeD \times 'node)\ set$   
**and**  $\alpha n :: 'g \Rightarrow 'node\ list$   
**and**  $invar :: 'g \Rightarrow bool$   
**and**  $inEdges' :: 'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)\ list$   
**and**  $Entry :: 'g \Rightarrow 'node$   
**and**  $defs :: 'g \Rightarrow 'node \Rightarrow 'val::linorder\ set$   
**and**  $uses :: 'g \Rightarrow 'node \Rightarrow 'val\ set$   
**and**  $phis :: 'g \Rightarrow ('node, 'val)\ phis$

**begin**

**lemma** *usesOf-cache*[*abs-def*, *simp*]:  $usesOf\ (cache\ g\ (uses\ g))\ n = uses\ g\ n$   
*<proof>*

**end**

**locale** *CFG-SSA-base-code* = *CFG-SSA-ext-base*  $\alpha e\ \alpha n\ invar\ inEdges'\ Entry\ defs\ usesOf\ \circ\ uses\ \lambda g.\ Mapping.lookup\ (phis\ g)$

**for**  $\alpha e :: 'g \Rightarrow ('node::linorder \times 'edgeD \times 'node)\ set$   
**and**  $\alpha n :: 'g \Rightarrow 'node\ list$   
**and**  $invar :: 'g \Rightarrow bool$   
**and**  $inEdges' :: 'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)\ list$   
**and**  $Entry :: 'g \Rightarrow 'node$   
**and**  $defs :: 'g \Rightarrow 'node \Rightarrow 'val::linorder\ set$   
**and**  $uses :: 'g \Rightarrow ('node, 'val\ set)\ mapping$   
**and**  $phis :: 'g \Rightarrow ('node, 'val)\ phis-code$

**begin**

**declare** *phis-transfer* [*simplified*, *transfer-rule*]

**lemma** *phiDefs-code* [*code*]:

$phiDefs\ g\ n = snd\ 'Set.filter\ (\lambda(n',v).\ n' = n)\ (Mapping.keys\ (phis\ g))$   
*<proof>*

**lemmas** *phiUses-code* [*code*] = *phiUses-def* [*folded Union-of-alt-def*]

**declare** *allUses-def* [*code*]

**lemmas** *allVars-code* [*code*] = *allVars-def* [*folded Union-of-alt-def*]

**end**

**locale** *CFG-SSA-code* = *CFG-SSA-base-code*  $\alpha e\ \alpha n\ invar\ inEdges'\ Entry\ defs\ uses\ phis$

+ *CFG-SSA-ext*  $\alpha e\ \alpha n\ invar\ inEdges'\ Entry\ defs\ usesOf\ \circ\ uses\ \lambda g.\ Mapping.lookup\ (phis\ g)$

**for**  $\alpha e :: 'g \Rightarrow ('node::linorder \times 'edgeD \times 'node)\ set$   
**and**  $\alpha n :: 'g \Rightarrow 'node\ list$   
**and**  $invar :: 'g \Rightarrow bool$



**and** *inEdges'* :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list  
**and** *Entry* :: 'g ⇒ 'node  
**and** *defs* :: 'g ⇒ 'node ⇒ 'val::linorder set  
**and** *uses* :: 'g ⇒ ('node, 'val set) mapping  
**and** *phis* :: 'g ⇒ ('node, 'val) phis-code

**definition** *the-trivial* v vs = (case (foldl (λ(good,v') w. if w = v then (good,v')  
 else case v' of Some v' ⇒ (good ∧ w = v', Some v')  
 | None ⇒ (good, Some w))  
 (True, None) vs)  
 of (False, -) ⇒ None | (True,v) ⇒ v)

**lemma** *the-trivial-Nil* [simp]: *the-trivial* x [] = None  
 ⟨proof⟩

**lemma** *the-trivialI*:  
**assumes** set vs ⊆ {v, v'}  
**and** v' ≠ v  
**shows** *the-trivial* v vs = (if set vs ⊆ {v} then None else Some v')  
 ⟨proof⟩

**lemma** *the-trivial-conv*:  
**shows** *the-trivial* v vs = (if ∃ v' ∈ set vs. v' ≠ v ∧ set vs - {v'} ⊆ {v} then  
 Some (THE v'. v' ∈ set vs ∧ v' ≠ v ∧ set vs - {v'} ⊆ {v}) else None)  
 ⟨proof⟩

**lemma** *the-trivial-SomeE*:  
**assumes** *the-trivial* v vs = Some v'  
**obtains** v ≠ v' **and** set vs = {v'} | v ≠ v' **and** set vs = {v,v'}  
 ⟨proof⟩

**locale** *CFG-SSA-wf-base-code* = *CFG-SSA-base-code* αe αn invar *inEdges'* *Entry*  
*defs* *uses* *phis*

+ *CFG-SSA-wf-base* αe αn invar *inEdges'* *Entry* *defs* *usesOf* ∘ *uses* λg. *Mapping.lookup* (*phis* g)

**for** αe :: 'g ⇒ ('node::linorder × 'edgeD × 'node) set  
**and** αn :: 'g ⇒ 'node list  
**and** invar :: 'g ⇒ bool  
**and** *inEdges'* :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list  
**and** *Entry* :: 'g ⇒ 'node  
**and** *defs* :: 'g ⇒ 'node ⇒ 'val::linorder set  
**and** *uses* :: 'g ⇒ ('node, 'val set) mapping  
**and** *phis* :: 'g ⇒ ('node, 'val) phis-code

**begin**

**definition** [code]:

*trivial-code* (v::'val) vs = (*the-trivial* v vs ≠ None)

**definition**[code]: *trivial-phis* g = *Set.filter* (λ(n,v). *trivial-code* v (*the* (*Mapping.lookup* (*phis* g) (n,v)))) (*Mapping.keys* (*phis* g))

**definition** [code]: *redundant-code*  $g = (\text{trivial-phis } g \neq \{\})$   
**end**

**locale** *CFG-SSA-wf-code* = *CFG-SSA-code*  $\alpha e \alpha n$  *invar inEdges' Entry defs uses phis*

+ *CFG-SSA-wf-base-code*  $\alpha e \alpha n$  *invar inEdges' Entry defs uses phis*  
+ *CFG-SSA-wf*  $\alpha e \alpha n$  *invar inEdges' Entry defs usesOf*  $\circ$  *uses*  $\lambda g. \text{Mapping.lookup}$   
(*phis*  $g$ )

**for**  $\alpha e :: 'g \Rightarrow ('node::\text{linorder} \times 'edgeD \times 'node)$  *set*  
**and**  $\alpha n :: 'g \Rightarrow 'node$  *list*  
**and** *invar*  $:: 'g \Rightarrow \text{bool}$   
**and** *inEdges'*  $:: 'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD)$  *list*  
**and** *Entry*  $:: 'g \Rightarrow 'node$   
**and** *defs*  $:: 'g \Rightarrow 'node \Rightarrow 'val::\text{linorder}$  *set*  
**and** *uses*  $:: 'g \Rightarrow ('node, 'val)$  *set* *mapping*  
**and** *phis*  $:: 'g \Rightarrow ('node, 'val)$  *phis-code*

**begin**

**lemma** *trivial-code*:

$\text{phi } g \ v = \text{Some } vs \implies \text{trivial } g \ v = \text{trivial-code } v \ vs$   
 $\langle \text{proof} \rangle$

**lemma** *trivial-phis*:

$\text{trivial-phis } g = \{(n,v). \text{Mapping.lookup } (\text{phis } g) \ (n,v) \neq \text{None} \wedge \text{trivial } g \ v\}$   
 $\langle \text{proof} \rangle$

**lemma** *redundant-code*:

$\text{redundant } g = \text{redundant-code } g$   
 $\langle \text{proof} \rangle$

**lemma** *trivial-code-mapI*:

$\llbracket \text{trivial-code } v \ vs; f \ ' \ (\text{set } vs - \{v\}) \neq \{v\}; f \ v = v \rrbracket \implies \text{trivial-code } v \ (\text{map } f \ vs)$   
 $\langle \text{proof} \rangle$

**lemma** *trivial-code-map-conv*:

$f \ v = v \implies \text{trivial-code } v \ (\text{map } f \ vs) \longleftrightarrow (\exists v' \in \text{set } vs. f \ v' \neq v \wedge (f \ ' \ \text{set } vs) - \{f \ v'\} \subseteq \{v\})$   
 $\langle \text{proof} \rangle$

**end**

**locale** *CFG-SSA-Transformed-code* = *ssa*: *CFG-SSA-wf-code*  $\alpha e \alpha n$  *invar inEdges' Entry defs uses phis*

+

*CFG-SSA-Transformed*  $\alpha e \alpha n$  *invar inEdges' Entry oldDefs oldUses defs usesOf*  
 $\circ$  *uses*  $\lambda g. \text{Mapping.lookup}$  (*phis*  $g$ ) *var*

**for**

$\alpha e :: 'g \Rightarrow ('node::\text{linorder} \times 'edgeD \times 'node)$  *set* **and**  
 $\alpha n :: 'g \Rightarrow 'node$  *list* **and**

```

invar :: 'g ⇒ bool and
inEdges' :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list and
Entry::'g ⇒ 'node and
oldDefs :: 'g ⇒ 'node ⇒ 'var::linorder set and
oldUses :: 'g ⇒ 'node ⇒ 'var set and
defs :: 'g ⇒ 'node ⇒ 'val::linorder set and
uses :: 'g ⇒ ('node, 'val set) mapping and
phis :: 'g ⇒ ('node, 'val) phis-code and
var :: 'g ⇒ 'val ⇒ 'var
+
assumes dom-uses-in-graph: Mapping.keys (uses g) ⊆ set (αn g)

end

```

## 6.2 Code Equations for SSA Construction

```

theory Construct-SSA-code imports

```

```

  SSA-CFG-code

```

```

  Construct-SSA

```

```

  Mapping-Exts

```

```

  HOL-Library.Product-Lexorder

```

```

begin

```

```

definition[code]: lookup-multimap m k ≡ (case-option {} id (Mapping.lookup m k))

```

```

locale CFG-Construct-linorder = CFG-Construct-wf αe αn invar inEdges' Entry
  defs uses

```

```

for

```

```

  αe :: 'g ⇒ ('node::linorder × 'edgeD × 'node) set and

```

```

  αn :: 'g ⇒ 'node list and

```

```

  invar :: 'g ⇒ bool and

```

```

  inEdges' :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list and

```

```

  Entry::'g ⇒ 'node and

```

```

  defs :: 'g ⇒ 'node ⇒ ('var::linorder) set and

```

```

  uses :: 'g ⇒ 'node ⇒ 'var set

```

```

begin

```

```

  type-synonym ('n, 'v) sparse-phis = ('n × 'v, ('n, 'v) ssaVal list) mapping

```

```

  function readVariableRecursive :: 'g ⇒ 'var ⇒ 'node ⇒ ('node, 'var) sparse-phis
  ⇒ (('node, 'var) ssaVal × ('node, 'var) sparse-phis)

```

```

    and readArgs :: 'g ⇒ 'var ⇒ 'node ⇒ ('node, 'var) sparse-phis ⇒ 'node list
  ⇒ ('node, 'var) sparse-phis × ('node, 'var) ssaVal list

```

```

  where[code]: readVariableRecursive g v n phis = (if v ∈ defs g n then ((v,n,SimpleDef),
  phis)

```

```

    else case predecessors g n of

```

```

      [] ⇒ ((v,n,PhiDef), Mapping.update (n,v) [] phis)

```

```

      | [m] ⇒ readVariableRecursive g v m phis

```

```

      | ms ⇒ (case Mapping.lookup phis (n,v) of

```

```

    Some - => ((v,n,PhiDef),phis)
  | None =>
    let phis = Mapping.update (n,v) [] phis in
    let (phis,args) = readArgs g v n phis ms in
    ((v,n,PhiDef), Mapping.update (n,v) args phis)
))
| readArgs g v n phis [] = (phis,[])
| readArgs g v n phis (m#ms) = (
  let (phis,args) = readArgs g v n phis ms in
  let (v,phis) = readVariableRecursive g v m phis in
  (phis,v#args))
⟨proof⟩

```

**lemma** *length-filter-less2*:  
**assumes**  $x \in \text{set } xs \neg P x Q x \wedge x. P x \implies Q x$   
**shows**  $\text{length } (\text{filter } P xs) < \text{length } (\text{filter } Q xs)$   
⟨proof⟩

**lemma** *length-filter-le2*:  
**assumes**  $\wedge x. P x \implies Q x$   
**shows**  $\text{length } (\text{filter } P xs) \leq \text{length } (\text{filter } Q xs)$   
⟨proof⟩

**abbreviation** *phis-measure*  $g v phis \equiv \text{length } [n \leftarrow \alpha n g. \text{Mapping.lookup } phis (n,v) = \text{None}]$

**lemma** *phis-measure-update-le*:  $\text{phis-measure } g v (\text{Mapping.update } k a p) \leq \text{phis-measure } g v p$   
⟨proof⟩

**lemma** *phis-measure-update-le'*:  $\text{phis-measure } g v p \leq \text{phis-measure } g v (\text{Mapping.update } k [] phis) \implies \text{phis-measure } g v (\text{Mapping.update } k a p) \leq \text{phis-measure } g v phis$   
⟨proof⟩

**lemma** *readArgs-phis-le*:  
 $\text{readVariableRecursive-readArgs-dom } (\text{Inl } (g, v, n, phis)) \implies (val,p) = \text{readVariableRecursive } g v n phis \implies \text{phis-measure } g v p \leq \text{phis-measure } g v phis$   
 $\text{readVariableRecursive-readArgs-dom } (\text{Inr } (g, v, n, phis, ms)) \implies (p,u) = \text{readArgs } g v n phis ms \implies \text{phis-measure } g v p \leq \text{phis-measure } g v phis$   
⟨proof⟩

**termination**  
⟨proof⟩

**declare** *readVariableRecursive.simps*[simp del] *readArgs.simps*[simp del]

**lemma** *fst-readVariableRecursive*:  
**assumes**  $n \in \text{set } (\alpha n g)$

**shows**  $\text{fst } (\text{readVariableRecursive } g \ v \ n \ \text{phis}) = \text{lookupDef } g \ n \ v$   
 ⟨proof⟩

**definition**  $\text{phis}'\text{-aux } g \ v \ ns \ (\text{phis}:: ('node, 'var) \text{ sparse-phis}) \equiv \text{Mapping.Mapping}$   
 $(\lambda(m, v_2).$   
 (if  $v_2=v \wedge m \in \bigcup (\text{phiDefNodes-aux } g \ v \ [n \leftarrow \alpha n \ g. (n, v) \notin \text{Mapping.keys } \text{phis}]$   
 '  $ns) \wedge v \in \text{vars } g$  then  $\text{Some } (\text{map } (\lambda m. \text{lookupDef } g \ m \ v) (\text{predecessors } g \ m))$  else  
 $(\text{Mapping.lookup } \text{phis } (m, v_2))))$

**lemma**  $\text{phis}'\text{-aux-keys-super}$ :  $\text{Mapping.keys } (\text{phis}'\text{-aux } g \ v \ ns \ \text{phis}) \supseteq \text{Mapping.keys } \text{phis}$   
 ⟨proof⟩

**lemma**  $\text{phiDefNodes-aux-in-unvisited}$ :  
**shows**  $\text{phiDefNodes-aux } g \ v \ un \ n \subseteq \text{set } un$   
 ⟨proof⟩

**lemma**  $\text{phiDefNodes-aux-unvisited-monotonic}$ :  
**assumes**  $\text{set } un \subseteq \text{set } un'$   
**shows**  $\text{phiDefNodes-aux } g \ v \ un \ n \subseteq \text{phiDefNodes-aux } g \ v \ un' \ n$   
 ⟨proof⟩

**lemma**  $\text{phiDefNodes-aux-single-pred}$ :  
**assumes**  $\text{predecessors } g \ n = [m]$   
**shows**  $\text{phiDefNodes-aux } g \ v \ (\text{removeAll } n \ un) \ m = \text{phiDefNodes-aux } g \ v \ un \ m$   
 ⟨proof⟩

**lemma**  $\text{phis}'\text{-aux-finite}$ :  
**assumes**  $\text{finite } (\text{Mapping.keys } \text{phis})$   
**shows**  $\text{finite } (\text{Mapping.keys } (\text{phis}'\text{-aux } g \ v \ ns \ \text{phis}))$   
 ⟨proof⟩

**lemma**  $\text{phiDefNodes-aux-redirect}$ :  
**assumes**  $\text{asm}: g \vdash n - ns \rightarrow m \ \forall n \in \text{set } ns. v \notin \text{defs } g \ n \ \text{length } (\text{predecessors } g \ n) \neq 1 \ \text{unvisitedPath } un \ ns$   
**assumes**  $n': n' \in \text{set } ns \ n' \in \text{phiDefNodes-aux } g \ v \ un \ m' \ m' \in \text{set } (\alpha n \ g)$   
**shows**  $n \in \text{phiDefNodes-aux } g \ v \ un \ m'$   
 ⟨proof⟩

**lemma**  $\text{snd-readVariableRecursive}$ :  
**assumes**  $v \in \text{vars } g \ n \in \text{set } (\alpha n \ g) \ \text{finite } (\text{Mapping.keys } \text{phis})$   
 $\bigwedge n. (n, v) \in \text{Mapping.keys } \text{phis} \implies \text{length } (\text{predecessors } g \ n) \neq 1 \ \text{Mapping.lookup } \text{phis } (\text{Entry } g, v) \in \{\text{None}, \text{Some } []\}$   
**shows**  
 $\text{phis}'\text{-aux } g \ v \ \{n\} \ \text{phis} = \text{snd } (\text{readVariableRecursive } g \ v \ n \ \text{phis})$   
 $\text{set } ms \subseteq \text{set } (\alpha n \ g) \implies (\text{phis}'\text{-aux } g \ v \ (\text{set } ms) \ \text{phis}, \text{map } (\lambda m. \text{lookupDef } g \ m \ v) \ ms) = \text{readArgs } g \ v \ n \ \text{phis } ms$   
 ⟨proof⟩

**definition** *aux-1*  $g\ n = (\lambda v\ (uses,phis)).$   
*let* (*use,phis'*) = *readVariableRecursive*  $g\ v\ n\ phis$  *in*  
(*Mapping.update*  $n\ (insert\ use\ (lookup-multimap\ uses\ n))\ uses,\ phis'$ )  
)

**definition** *aux-2*  $g\ n = foldr\ (aux-1\ g\ n)\ (sorted-list-of-set\ (uses\ g\ n))$

**abbreviation** *init-state*  $\equiv (Mapping.empty,\ Mapping.empty)$

**abbreviation** *from-sparse*  $\equiv \lambda(n,v). (n,(v,n,PhiDef))$

**definition** *uses'-phis'*  $g = ($   
*let* (*u,p*) = *foldr* (*aux-2*  $g$ ) ( $\alpha n\ g$ ) *init-state* *in*  
(*u,\ map-keys\ from-sparse\ p*)  
)

**lemma** *from-sparse-inj*: *inj from-sparse*  
*<proof>*

**declare** *uses'-phis'-def*[*unfolded aux-2-def*[*abs-def*] *aux-1-def*, *code*]

**lift-definition** *phis'-code* ::  $'g \Rightarrow ('node, ('node, 'var)\ ssaVal)\ phis-code$  **is** *phis'*  
*<proof>*

**lemma** *foldr-prod*: *foldr* ( $\lambda x\ y. (f1\ x\ (fst\ y),\ f2\ x\ (snd\ y))$ ) *xs*  $y = (foldr\ f1\ xs$   
 $(fst\ y),\ foldr\ f2\ xs\ (snd\ y))$   
*<proof>*

**lemma** *foldr-aux-1*:

**assumes**  $set\ us \subseteq uses\ g\ n$  *Mapping.lookup*  $u\ n = None$  *foldr* (*aux-1*  $g\ n$ ) *us*  
 $(u,p) = (u',p')$  (**is** *foldr*  $?f\ -\ =\ -$ )  
**assumes** *finite* (*Mapping.keys*  $p$ )  $\wedge n\ v. (n,v) \in Mapping.keys\ p \implies length$   
(*predecessors*  $g\ n$ )  $\neq 1 \wedge v. Mapping.lookup\ p\ (Entry\ g,v) \in \{None,\ Some\ []\}$   
**shows** *lookupDef*  $g\ n\ 'set\ us = lookup-multimap\ u'\ n \wedge m. m \neq n \implies Map-$   
*ping.lookup*  $u'\ m = Mapping.lookup\ u\ m$   
 $\wedge m\ v. (if\ m \in phiDefNodes-aux\ g\ v\ [n \leftarrow \alpha n\ g. (n,v) \notin Mapping.keys\ p]\ n \wedge$   
 $v \in set\ us\ then$   
*Some* (*map* ( $\lambda m. lookupDef\ g\ m\ v$ ) (*predecessors*  $g\ m$ )) *else*  
(*Mapping.lookup*  $p\ (m,v)$ ) = *Mapping.lookup*  $p'\ (m,v)$   
*<proof>*

**lemma** *foldr-aux-2*:

**assumes**  $set\ ns \subseteq set\ (\alpha n\ g)$  *distinct* *ns* *foldr* (*aux-2*  $g$ ) *ns* *init-state* =  $(u',p')$   
**shows**  $\wedge n. n \in set\ ns \implies uses'\ g\ n = lookup-multimap\ u'\ n \wedge n. n \notin set\ ns$   
 $\implies Mapping.lookup\ u'\ n = None$   
 $\wedge m\ v. (if\ \exists n \in set\ ns. m \in phiDefNodes-aux\ g\ v\ (\alpha n\ g)\ n \wedge v \in uses\ g\ n$   
*then*  
*Some* (*map* ( $\lambda m. lookupDef\ g\ m\ v$ ) (*predecessors*  $g\ m$ )) *else*  
*None*) = *Mapping.lookup*  $p'\ (m,v)$   
*<proof>*

**lemma** *fst-uses'-phis'*:  $uses' g = lookup-multimap (fst (uses'-phis' g))$   
 ⟨proof⟩

**lemma** *fst-uses'-phis'-in- $\alpha n$* :  $Mapping.keys (fst (uses'-phis' g)) \subseteq set (\alpha n g)$   
 ⟨proof⟩

**lemma** *snd-uses'-phis'*:  $phis'-code g = snd (uses'-phis' g)$   
 ⟨proof⟩

**end**

**end**

### 6.3 Locales Transfer Rules

**theory** *SSA-Transfer-Rules* **imports**

*SSA-CFG*

*Construct-SSA-code*

**begin**

**context** **includes** *lifting-syntax*

**begin**

**lemmas** *weak-All-transfer1* [*transfer-rule*] = *iffD1* [*OF right-total-alt-def2*]

**lemma** *weak-All-transfer2* [*transfer-rule*]:  $right-total R \implies ((R \implies (=)) \implies (\implies)) All All$   
 ⟨proof⟩

**lemma** *weak-imp-transfer* [*transfer-rule*]:  
 $((=) \implies (=) \implies (\implies)) (\implies) (\implies)$   
 ⟨proof⟩

**lemma** *weak-conj-transfer* [*transfer-rule*]:  
 $((\implies) \implies (\implies) \implies (\implies)) (\wedge) (\wedge)$   
 ⟨proof⟩

**lemma** *graph-path-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *right-total G*

**and** [*transfer-rule*]:  $(G \implies (=)) \alpha e \alpha e2$

**and** [*transfer-rule*]:  $(G \implies (=)) \alpha n \alpha n2$

**and** [*transfer-rule*]:  $(G \implies (=)) invar invar2$

**and** [*transfer-rule*]:  $(G \implies (=)) inEdges inEdges2$

**shows**  $(\implies) (graph-path \alpha e \alpha n invar inEdges) (graph-path \alpha e2 \alpha n2 invar2 inEdges2)$

⟨proof⟩

**end**

**context** *graph-path-base* **begin**

**context includes** *lifting-syntax*

**begin**

**lemma** *inEdges-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *right-total A*

**and** [*transfer-rule*]:  $(A \implies (=)) \alpha e \alpha e2$

**and** [*transfer-rule*]:  $(A \implies (=)) \alpha n \alpha n2$

**and** [*transfer-rule*]:  $(A \implies (=)) \text{invar invar2}$

**and** [*transfer-rule*]:  $(A \implies (=)) \text{inEdges}' \text{inEdges2}$

**shows**  $(A \implies (=)) \text{inEdges} (\text{graph-path-base.inEdges inEdges2})$

*<proof>*

**lemma** *predecessors-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *right-total A*

**and** [*transfer-rule*]:  $(A \implies (=)) \alpha e \alpha e2$

**and** [*transfer-rule*]:  $(A \implies (=)) \alpha n \alpha n2$

**and** [*transfer-rule*]:  $(A \implies (=)) \text{invar invar2}$

**and** [*transfer-rule*]:  $(A \implies (=)) \text{inEdges}' \text{inEdges2}$

**shows**  $(A \implies (=)) \text{predecessors} (\text{graph-path-base.predecessors inEdges2})$

*<proof>*

**lemma** *successors-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *right-total A*

**and** [*transfer-rule*]:  $(A \implies (=)) \alpha e \alpha e2$

**and** [*transfer-rule*]:  $(A \implies (=)) \alpha n \alpha n2$

**and** [*transfer-rule*]:  $(A \implies (=)) \text{invar invar2}$

**and** [*transfer-rule*]:  $(A \implies (=)) \text{inEdges}' \text{inEdges2}$

**shows**  $(A \implies (=)) \text{successors} (\text{graph-path-base.successors } \alpha n2 \text{ inEdges2})$

*<proof>*

**lemma** *path-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *right-total A*

**and** [*transfer-rule*]:  $(A \implies (=)) \alpha e \alpha e2$

**and** [*transfer-rule*]:  $(A \implies (=)) \alpha n \alpha n2$

**and** [*transfer-rule*]:  $(A \implies (=)) \text{invar invar2}$

**and** [*transfer-rule*]:  $(A \implies (=)) \text{inEdges}' \text{inEdges2}$

**shows**  $(A \implies (=)) \text{path} (\text{graph-path-base.path } \alpha n2 \text{ invar2 inEdges2})$

*<proof>*

**lemma** *path2-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *right-total A*

**and** [*transfer-rule*]:  $(A \implies (=)) \alpha e \alpha e2$

**and** [*transfer-rule*]:  $(A \implies (=)) \alpha n \alpha n2$

**and** [*transfer-rule*]:  $(A \implies (=)) \text{invar invar2}$

**and** [*transfer-rule*]:  $(A \implies (=)) \text{inEdges}' \text{inEdges2}$

**shows**  $(A \implies (=)) \text{path2} (\text{graph-path-base.path2 } \alpha n2 \text{ invar2 inEdges2})$

*<proof>*



**lemma** *weak-Ex-transfer* [*transfer-rule*]:  $((=) \implies (\longrightarrow)) \implies (\longrightarrow)$  *Ex Ex*  
 ⟨*proof*⟩

**lemmas** *transfer-rules = inEdges-transfer predecessors-transfer successors-transfer path-transfer path2-transfer*

**end**

**end**

**lemma** *graph-Entry-transfer* [*transfer-rule*]:

**includes** *lifting-syntax*

**assumes** [*transfer-rule*]: *right-total G*

**and** [*transfer-rule*]:  $(G \implies (=)) \alpha e1 \alpha e2$

**and** [*transfer-rule*]:  $(G \implies (=)) \alpha n1 \alpha n2$

**and** [*transfer-rule*]:  $(G \implies (=)) \textit{invar1} \textit{invar2}$

**and** [*transfer-rule*]:  $(G \implies (=)) \textit{inEdges1} \textit{inEdges2}$

**and** [*transfer-rule*]:  $(G \implies (=)) \textit{Entry1} \textit{Entry2}$

**shows**  $(\longrightarrow)$  (*graph-Entry*  $\alpha e1 \alpha n1 \textit{invar1} \textit{inEdges1} \textit{Entry1}$ ) (*graph-Entry*  $\alpha e2 \alpha n2 \textit{invar2} \textit{inEdges2} \textit{Entry2}$ )

⟨*proof*⟩

**context** *graph-Entry-base* **begin**

**lemma** *dominates-transfer* [*transfer-rule*]:

**includes** *lifting-syntax*

**assumes** [*transfer-rule*]: *right-total G*

**and** [*transfer-rule*]:  $(G \implies (=)) \alpha e \alpha e2$

**and** [*transfer-rule*]:  $(G \implies (=)) \alpha n \alpha n2$

**and** [*transfer-rule*]:  $(G \implies (=)) \textit{invar} \textit{invar2}$

**and** [*transfer-rule*]:  $(G \implies (=)) \textit{inEdges}' \textit{inEdges2}$

**and** [*transfer-rule*]:  $(G \implies (=)) \textit{Entry} \textit{Entry2}$

**shows**  $(G \implies (=))$  *dominates* (*graph-Entry-base.dominates*  $\alpha n2 \textit{invar2} \textit{inEdges2} \textit{Entry2}$ )

⟨*proof*⟩

**end**

**context** *graph-Entry* **begin**

**context** **includes** *lifting-syntax*

**begin**

**lemma** *shortestPath-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *right-total G*

**and** [*transfer-rule*]:  $(G \implies (=)) \alpha e \alpha e2$

**and** [*transfer-rule*]:  $(G \implies (=)) \alpha n \alpha n2$

**and** [*transfer-rule*]:  $(G \implies (=)) \textit{invar} \textit{invar2}$

**and** [*transfer-rule*]:  $(G \implies (=)) \textit{inEdges}' \textit{inEdges2}$

**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *Entry Entry2*  
**shows** ( $G \text{ ===> } (=)$ ) *shortestPath (graph-Entry.shortestPath  $\alpha n2$  invar2 inEdges2 Entry2)*  
 <*proof*>

**lemma** *dominators-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *right-total G*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ )  $\alpha e \alpha e2$   
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ )  $\alpha n \alpha n2$   
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *invar invar2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *inEdges' inEdges2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *Entry Entry2*  
**shows** ( $G \text{ ===> } (=)$ ) *dominators (graph-Entry.dominators  $\alpha n2$  invar2 inEdges2 Entry2)*  
 <*proof*>

**lemma** *isIdom-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *right-total G*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ )  $\alpha e \alpha e2$   
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ )  $\alpha n \alpha n2$   
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *invar invar2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *inEdges' inEdges2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *Entry Entry2*  
**shows** ( $G \text{ ===> } (=)$ ) *isIdom (graph-Entry.isIdom  $\alpha n2$  invar2 inEdges2 Entry2)*  
 <*proof*>

**lemma** *idom-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *right-total G*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ )  $\alpha e \alpha e2$   
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ )  $\alpha n \alpha n2$   
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *invar invar2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *inEdges' inEdges2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *Entry Entry2*  
**shows** ( $G \text{ ===> } (=)$ ) *idom (graph-Entry.idom  $\alpha n2$  invar2 inEdges2 Entry2)*  
 <*proof*>

**lemmas** *graph-Entry-transfer =*  
*dominates-transfer*  
*shortestPath-transfer*  
*dominators-transfer*  
*isIdom-transfer*  
*idom-transfer*  
**end**

**end**

**lemma** *CFG-transfer* [*transfer-rule*]:  
**includes** *lifting-syntax*  
**assumes** [*transfer-rule*]: *right-total G*

```

and [transfer-rule]: ( $G \implies (=)$ )  $\alpha e1 \alpha e2$ 
and [transfer-rule]: ( $G \implies (=)$ )  $\alpha n1 \alpha n2$ 
and [transfer-rule]: ( $G \implies (=)$ )  $invar1 invar2$ 
and [transfer-rule]: ( $G \implies (=)$ )  $inEdges1 inEdges2$ 
and [transfer-rule]: ( $G \implies (=)$ )  $Entry1 Entry2$ 
and [transfer-rule]: ( $G \implies (=)$ )  $defs1 defs2$ 
and [transfer-rule]: ( $G \implies (=)$ )  $uses1 uses2$ 
shows  $SSA-CFG.CFG \alpha e1 \alpha n1 invar1 inEdges1 Entry1 defs1 uses1$ 
   $\rightarrow SSA-CFG.CFG \alpha e2 \alpha n2 invar2 inEdges2 Entry2 defs2 uses2$ 
<proof>

```

**context** *CFG-base* **begin**

**context includes** *lifting-syntax*  
**begin**

```

lemma vars-transfer [transfer-rule]:
assumes [transfer-rule]: right-total  $G$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $\alpha e \alpha e2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $\alpha n \alpha n2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $invar invar2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $inEdges' inEdges2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $Entry Entry2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $defs defs2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $uses uses2$ 
shows ( $G \implies (=)$ )  $vars (CFG-base.vars \alpha n2 uses2)$ 
<proof>

```

```

lemma defAss'-transfer [transfer-rule]:
assumes [transfer-rule]: right-total  $G$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $\alpha e \alpha e2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $\alpha n \alpha n2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $invar invar2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $inEdges' inEdges2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $Entry Entry2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $defs defs2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $uses uses2$ 
shows ( $G \implies (=)$ )  $defAss' (CFG-base.defAss' \alpha n2 invar2 inEdges2 Entry2$ 
 $defs2)$ 
<proof>

```

```

lemma defAss'Uses-transfer [transfer-rule]:
assumes [transfer-rule]: right-total  $G$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $\alpha e \alpha e2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $\alpha n \alpha n2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $invar invar2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $inEdges' inEdges2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $Entry Entry2$ 
  and [transfer-rule]: ( $G \implies (=)$ )  $defs defs2$ 

```

**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *uses uses2*  
**shows** ( $G \text{ ===> } (=)$ ) *defAss'Uses (CFG-base.defAss'Uses  $\alpha n2$  invar2 inEdges2*  
*Entry2 defs2 uses2)*  
 <*proof*>

**lemmas** *CFG-transfers =*  
*vars-transfer*  
*defAss'-transfer*  
*defAss'Uses-transfer*

**end**

**end**

**context includes** *lifting-syntax*  
**begin**

**lemma** *CFG-Construct-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *right-total G*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ )  $\alpha e1 \alpha e2$   
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ )  $\alpha n1 \alpha n2$   
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *invar1 invar2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *inEdges1 inEdges2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *Entry1 Entry2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *defs1 defs2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *uses1 uses2*  
**shows** *CFG-Construct  $\alpha e1 \alpha n1$  invar1 inEdges1 Entry1 defs1 uses1*  
 $\rightarrow$  *CFG-Construct  $\alpha e2 \alpha n2$  invar2 inEdges2 Entry2 defs2 uses2*  
 <*proof*>

**lemma** *CFG-Construct-linorder-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *right-total G*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ )  $\alpha e1 \alpha e2$   
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ )  $\alpha n1 \alpha n2$   
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *invar1 invar2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *inEdges1 inEdges2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *Entry1 Entry2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *defs1 defs2*  
**and** [*transfer-rule*]: ( $G \text{ ===> } (=)$ ) *uses1 uses2*  
**shows** *CFG-Construct-linorder  $\alpha e1 \alpha n1$  invar1 inEdges1 Entry1 defs1 uses1*  
 $\rightarrow$  *CFG-Construct-linorder  $\alpha e2 \alpha n2$  invar2 inEdges2 Entry2 defs2 uses2*  
 <*proof*>

**end**

**context** *CFG-Construct* **begin**

**context includes** *lifting-syntax*  
**begin**

**lemma** *phiDefNodes-aux-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *right-total G*  
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \alpha e \alpha e2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \alpha n \alpha n2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{invar} \textit{invar}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{inEdges}' \textit{inEdges}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{Entry} \textit{Entry}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{defs} \textit{defs}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{uses} \textit{uses}2$   
**shows**  $(G \text{ ==== } > (=)) \textit{phiDefNodes-aux} (\textit{CFG-Construct.phiDefNodes-aux} \textit{inEdges}2 \textit{defs}2)$   
*<proof>*

**lemma** *phiDefNodes-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *right-total G*  
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \alpha e \alpha e2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \alpha n \alpha n2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{invar} \textit{invar}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{inEdges}' \textit{inEdges}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{Entry} \textit{Entry}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{defs} \textit{defs}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{uses} \textit{uses}2$   
**shows**  $(G \text{ ==== } > (=)) \textit{phiDefNodes} (\textit{CFG-Construct.phiDefNodes} \alpha n2 \textit{inEdges}2 \textit{defs}2 \textit{uses}2)$   
*<proof>*

**lemma** *lookupDef-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *right-total G*  
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \alpha e \alpha e2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \alpha n \alpha n2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{invar} \textit{invar}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{inEdges}' \textit{inEdges}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{Entry} \textit{Entry}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{defs} \textit{defs}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{uses} \textit{uses}2$   
**shows**  $(G \text{ ==== } > (=)) \textit{lookupDef} (\textit{CFG-Construct.lookupDef} \alpha n2 \textit{inEdges}2 \textit{defs}2)$   
*<proof>*

**lemma** *defs'-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *right-total G*  
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \alpha e \alpha e2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \alpha n \alpha n2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{invar} \textit{invar}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{inEdges}' \textit{inEdges}2$   
**and** [*transfer-rule*]:  $(G \text{ ==== } > (=)) \textit{Entry} \textit{Entry}2$

```

    and [transfer-rule]: (G ==> (=)) defs defs2
    and [transfer-rule]: (G ==> (=)) uses uses2
  shows (G ==> (=)) defs' (CFG-Construct.defs' defs2)
<proof>

```

```

lemma uses'-transfer [transfer-rule]:
  assumes [transfer-rule]: right-total G
    and [transfer-rule]: (G ==> (=))  $\alpha e \alpha e2$ 
    and [transfer-rule]: (G ==> (=))  $\alpha n \alpha n2$ 
    and [transfer-rule]: (G ==> (=)) invar invar2
    and [transfer-rule]: (G ==> (=)) inEdges' inEdges2
    and [transfer-rule]: (G ==> (=)) Entry Entry2
    and [transfer-rule]: (G ==> (=)) defs defs2
    and [transfer-rule]: (G ==> (=)) uses uses2
  shows (G ==> (=)) uses' (CFG-Construct.uses'  $\alpha n2$  inEdges2 defs2 uses2)
<proof>

```

```

lemma phis'-transfer [transfer-rule]:
  assumes [transfer-rule]: right-total G
    and [transfer-rule]: (G ==> (=))  $\alpha e \alpha e2$ 
    and [transfer-rule]: (G ==> (=))  $\alpha n \alpha n2$ 
    and [transfer-rule]: (G ==> (=)) invar invar2
    and [transfer-rule]: (G ==> (=)) inEdges' inEdges2
    and [transfer-rule]: (G ==> (=)) Entry Entry2
    and [transfer-rule]: (G ==> (=)) defs defs2
    and [transfer-rule]: (G ==> (=)) uses uses2
  shows (G ==> (=)) phis' (CFG-Construct.phis'  $\alpha n2$  inEdges2 defs2 uses2)
<proof>

```

```

lemmas CFG-Construct-transfer-rules =
  phiDefNodes-aux-transfer
  phiDefNodes-transfer
  lookupDef-transfer
  defs'-transfer
  uses'-transfer
  phis'-transfer
end

```

**end**

**context** CFG-SSA-base **begin**

**context** includes lifting-syntax  
**begin**

```

lemma phiDefs-transfer [transfer-rule]:
  assumes [transfer-rule]: right-total G
    and [transfer-rule]: (G ==> (=))  $\alpha e \alpha e2$ 
    and [transfer-rule]: (G ==> (=))  $\alpha n \alpha n2$ 

```

**and** [transfer-rule]: ( $G \implies (=)$ ) *invar invar2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *inEdges' inEdges2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *Entry Entry2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *defs defs2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *uses uses2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *phis phis2*  
**shows** ( $G \implies (=)$ ) *phiDefs (CFG-SSA-base.phiDefs phis2)*  
 <proof>

**lemma** *allDefs-transfer* [transfer-rule]:  
**assumes** [transfer-rule]: *right-total G*  
**and** [transfer-rule]: ( $G \implies (=)$ )  $\alpha e \alpha e2$   
**and** [transfer-rule]: ( $G \implies (=)$ )  $\alpha n \alpha n2$   
**and** [transfer-rule]: ( $G \implies (=)$ ) *invar invar2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *inEdges' inEdges2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *Entry Entry2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *defs (defs2::'a  $\Rightarrow$  'node  $\Rightarrow$  'val set)*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *uses (uses2::'a  $\Rightarrow$  'node  $\Rightarrow$  'val set)*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *phis phis2*  
**shows** ( $G \implies (=)$ ) *allDefs (CFG-SSA-base.allDefs defs2 phis2)*  
 <proof>

**lemma** *phiUses-transfer* [transfer-rule]:  
**assumes** [transfer-rule]: *right-total G*  
**and** [transfer-rule]: ( $G \implies (=)$ )  $\alpha e \alpha e2$   
**and** [transfer-rule]: ( $G \implies (=)$ )  $\alpha n \alpha n2$   
**and** [transfer-rule]: ( $G \implies (=)$ ) *invar invar2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *inEdges' inEdges2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *Entry Entry2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *defs defs2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *uses uses2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *phis phis2*  
**shows** ( $G \implies (=)$ ) *phiUses (CFG-SSA-base.phiUses  $\alpha n2$  inEdges2 phis2)*  
 <proof>

**lemma** *allUses-transfer* [transfer-rule]:  
**assumes** [transfer-rule]: *right-total G*  
**and** [transfer-rule]: ( $G \implies (=)$ )  $\alpha e \alpha e2$   
**and** [transfer-rule]: ( $G \implies (=)$ )  $\alpha n \alpha n2$   
**and** [transfer-rule]: ( $G \implies (=)$ ) *invar invar2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *inEdges' inEdges2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *Entry Entry2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *defs defs2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *uses uses2*  
**and** [transfer-rule]: ( $G \implies (=)$ ) *phis phis2*  
**shows** ( $G \implies (=)$ ) *allUses (CFG-SSA-base.allUses  $\alpha n2$  inEdges2 uses2*  
*phis2)*  
 <proof>

```

lemma allVars-transfer [transfer-rule]:
  assumes [transfer-rule]: right-total G
    and [transfer-rule]: (G ==> (=)) αe αe2
    and [transfer-rule]: (G ==> (=)) αn αn2
    and [transfer-rule]: (G ==> (=)) invar invar2
    and [transfer-rule]: (G ==> (=)) inEdges' inEdges2
    and [transfer-rule]: (G ==> (=)) Entry Entry2
    and [transfer-rule]: (G ==> (=)) defs defs2
    and [transfer-rule]: (G ==> (=)) uses uses2
    and [transfer-rule]: (G ==> (=)) phis phis2
  shows (G ==> (=)) allVars (CFG-SSA-base.allVars αn2 inEdges2 defs2 uses2
phis2)
  <proof>

```

```

lemma defAss-transfer [transfer-rule]:
  assumes [transfer-rule]: right-total G
    and [transfer-rule]: (G ==> (=)) αe αe2
    and [transfer-rule]: (G ==> (=)) αn αn2
    and [transfer-rule]: (G ==> (=)) invar invar2
    and [transfer-rule]: (G ==> (=)) inEdges' inEdges2
    and [transfer-rule]: (G ==> (=)) Entry Entry2
    and [transfer-rule]: (G ==> (=)) defs defs2
    and [transfer-rule]: (G ==> (=)) uses uses2
    and [transfer-rule]: (G ==> (=)) phis phis2
  shows (G ==> (=)) defAss (CFG-SSA-base.defAss αn2 invar2 inEdges2 En-
try2 defs2 phis2)
  <proof>

```

```

lemmas CFG-SSA-base-transfer-rules =
  phiDefs-transfer
  allDefs-transfer
  phiUses-transfer
  allUses-transfer
  allVars-transfer
  defAss-transfer
end

```

**end**

**context** *CFG-SSA-base-code* **begin**

```

lemma CFG-SSA-base-code-transfer-rules [transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]: right-total G
    and [transfer-rule]: (G ==> (=)) αe αe2
    and [transfer-rule]: (G ==> (=)) αn αn2
    and [transfer-rule]: (G ==> (=)) invar invar2
    and [transfer-rule]: (G ==> (=)) inEdges' inEdges2
    and [transfer-rule]: (G ==> (=)) Entry Entry2

```



```

and [transfer-rule]: (G ==> (=)) defs defs2
and [transfer-rule]: (G ==> (=)) uses uses2
and [transfer-rule]: (G ==> (=)) phis phis2
shows (G ==> (=)) phiDefs (CFG-SSA-base.phiDefs (λg. Mapping.lookup
(phis2 g)))
(G ==> (=)) allDefs (CFG-SSA-base.allDefs defs2 (λg. Mapping.lookup
(phis2 g)))
(G ==> (=)) phiUses (CFG-SSA-base.phiUses αn2 inEdges2 (λg. Map-
ping.lookup (phis2 g)))
(G ==> (=)) allUses (CFG-SSA-base.allUses αn2 inEdges2 (usesOf ◦
uses2) (λg. Mapping.lookup (phis2 g)))
(G ==> (=)) defAss (CFG-SSA-base.defAss αn2 invar2 inEdges2 Entry2
defs2 (λg. Mapping.lookup (phis2 g)))
⟨proof⟩

```

**end**

**lemma** *CFG-SSA-transfer* [transfer-rule]:

```

includes lifting-syntax
assumes [transfer-rule]: right-total G
and [transfer-rule]: (G ==> (=)) αe1 αe2
and [transfer-rule]: (G ==> (=)) αn1 αn2
and [transfer-rule]: (G ==> (=)) invar1 invar2
and [transfer-rule]: (G ==> (=)) inEdges1 inEdges2
and [transfer-rule]: (G ==> (=)) Entry1 Entry2
and [transfer-rule]: (G ==> (=)) defs1 defs2
and [transfer-rule]: (G ==> (=)) uses1 uses2
and [transfer-rule]: (G ==> (=)) phis1 phis2
shows CFG-SSA αe1 αn1 invar1 inEdges1 Entry1 defs1 uses1 phis1
→ CFG-SSA αe2 αn2 invar2 inEdges2 Entry2 defs2 uses2 phis2
⟨proof⟩

```

**end**

## 6.4 Code Equations for SSA Minimization

**theory** *Construct-SSA-notriv-code* **imports**

*SSA-CFG-code*

*Construct-SSA-notriv*

*While-Combinator-Exts*

**begin**

**abbreviation** (*input*) *const*  $x \equiv (\lambda-. x)$

**context** *CFG-SSA-Transformed-notriv-base* **begin**

**definition** [*code*]: *substitution-code*  $g \ next = the (the-trivial (snd \ next) (the (phis \ g \ next)))$

**definition** [*code*]: *substNext-code*  $g \ next \equiv \lambda v. \text{if } v = snd \ next \text{ then substitution-code } g \ next \text{ else } v$

**definition** [code]: *uses'-code* *g next n*  $\equiv$  *substNext-code* *g next* ' *uses g n*

**lemma** *substNext-code-alt-def*:  
*substNext-code g next* = *id*(*snd next* := *substitution-code g next*)  
 ⟨*proof*⟩

**end**

**type-synonym** ('*g*, '*node*, '*val*) *chooseNext-code* = ('*node*  $\Rightarrow$  '*val set*)  $\Rightarrow$  ('*node*, '*val*) *phis-code*  $\Rightarrow$  '*g*  $\Rightarrow$  ('*node*  $\times$  '*val*)

**locale** *CFG-SSA-Transformed-notriv-base-code* =  
*ssa:CFG-SSA-wf-base-code*  $\alpha e \alpha n$  *invar inEdges' Entry defs uses phis* +  
*CFG-SSA-Transformed-notriv-base*  $\alpha e \alpha n$  *invar inEdges' Entry oldDefs oldUses*  
*defs usesOf*  $\circ$  *uses*  $\lambda g$ . *Mapping.lookup* (*phis g*) *var*  $\lambda$ *uses phis*. *chooseNext-all uses*  
 (*Mapping.Mapping phis*)

**for**  
 *$\alpha e$*  :: '*g*  $\Rightarrow$  ('*node::linorder*  $\times$  '*edgeD*  $\times$  '*node*) *set* **and**  
 *$\alpha n$*  :: '*g*  $\Rightarrow$  '*node list* **and**  
*invar* :: '*g*  $\Rightarrow$  *bool* **and**  
*inEdges'* :: '*g*  $\Rightarrow$  '*node*  $\Rightarrow$  ('*node*  $\times$  '*edgeD*) *list* **and**  
*Entry::'**g*  $\Rightarrow$  '*node* **and**  
*oldDefs* :: '*g*  $\Rightarrow$  '*node*  $\Rightarrow$  '*var::linorder set* **and**  
*oldUses* :: '*g*  $\Rightarrow$  '*node*  $\Rightarrow$  '*var set* **and**  
*defs* :: '*g*  $\Rightarrow$  '*node*  $\Rightarrow$  '*val::linorder set* **and**  
*uses* :: '*g*  $\Rightarrow$  ('*node*, '*val set*) *mapping* **and**  
*phis* :: '*g*  $\Rightarrow$  ('*node*, '*val*) *phis-code* **and**  
*var* :: '*g*  $\Rightarrow$  '*val*  $\Rightarrow$  '*var* **and**  
*chooseNext-all* :: ('*g*, '*node*, '*val*) *chooseNext-code*

**begin**  
**definition** [code]: *cond-code g* = *ssa.redundant-code g*

**definition** *uses'-codem* :: '*g*  $\Rightarrow$  '*node*  $\times$  '*val*  $\Rightarrow$  '*val*  $\Rightarrow$  ('*val*, '*node set*) *mapping*  
 $\Rightarrow$  ('*node*, '*val set*) *mapping*  
**where** [code]: *uses'-codem g next next' nodes-of-uses* =  
*fold* ( $\lambda n$ . *Mapping.update n* (*Set.insert next'* (*Set.remove* (*snd next*) (*the*  
 (*Mapping.lookup* (*uses g*) *n*))))  
 (*sorted-list-of-set* (*case-option* {*id* (*Mapping.lookup nodes-of-uses* (*snd*  
*next*))))  
 (*uses g*)

**definition** *nodes-of-uses'* :: '*g*  $\Rightarrow$  '*node*  $\times$  '*val*  $\Rightarrow$  '*val*  $\Rightarrow$  '*val set*  $\Rightarrow$  ('*val*, '*node*  
*set*) *mapping*  $\Rightarrow$  ('*val*, '*node set*) *mapping*  
**where** [code]: *nodes-of-uses' g next next' phiVals nodes-of-uses* =  
 (*let users* = *case-option* {*id* (*Mapping.lookup nodes-of-uses* (*snd next*))  
*in*  
*if* (*next'*  $\in$  *phiVals*) *then Mapping.map-default next' {}* ( $\lambda ns$ . *ns*  $\cup$  *users*)  
 (*Mapping.delete* (*snd next*) *nodes-of-uses*)  
*else Mapping.delete* (*snd next*) *nodes-of-uses*)

**definition** [code]: *phis'-code g next*  $\equiv$  *map-values* ( $\lambda(n,v)$  vs. if  $v = \text{snd next}$  then None else Some (*map* (*substNext-code g next* vs)) (*phis g*))

**definition** [code]: *phis'-codem g next next' nodes-of-phis* =  
*fold* ( $\lambda n.$  *Mapping.update*  $n$  (*List.map* (*id*(*snd next* := *next'*)) (*the* (*Mapping.lookup* (*phis g*  $n$ ))))  
(*sorted-list-of-set* (*case-option* { } (*Set.remove next*) (*Mapping.lookup nodes-of-phis* (*snd next*))))  
(*Mapping.delete next* (*phis g*))

**definition** *nodes-of-phis'* ::  $'g \Rightarrow 'node \times 'val \Rightarrow 'val \Rightarrow ('val, ('node \times 'val) \text{ set})$   
*mapping*  $\Rightarrow ('val, ('node \times 'val) \text{ set})$  *mapping*  
**where** [code]: *nodes-of-phis' g next next' nodes-of-phis* =  
(*let old-phis* = *Set.remove next* (*case-option* { } *id* (*Mapping.lookup nodes-of-phis* (*snd next*))));  
*nop* = *Mapping.delete* (*snd next*) *nodes-of-phis*  
*in*  
*Mapping.map-default next' { }* ( $\lambda ns.$  (*Set.remove next ns*)  $\cup$  *old-phis*) *nop*)

**definition** [code]: *triv-phis' g next triv-phis nodes-of-phis*  
= (*Set.remove next triv-phis*)  $\cup$  (*Set.filter* ( $\lambda n.$  *ssa.trivial-code* (*snd n*)) (*the* (*Mapping.lookup* (*phis g*  $n$ )))) (*case-option* { } (*Set.remove next*) (*Mapping.lookup nodes-of-phis* (*snd next*))))

**definition** [code]: *step-code g* = (*let next* = *chooseNext' g* *in* (*uses'-code g next*, *phis'-code g next*))

**definition** [code]: *step-codem g next next' nodes-of-uses nodes-of-phis* = (*uses'-codem g next next' nodes-of-uses*, *phis'-codem g next next' nodes-of-phis*)

**definition** *phi-equiv-mapping* ::  $'g \Rightarrow ('val, 'a \text{ set})$  *mapping*  $\Rightarrow ('val, 'a \text{ set})$   
*mapping*  $\Rightarrow \text{bool}$  ( $- \vdash - \approx_{\varphi} - 50$ )

**where**  $g \vdash \text{nou}_1 \approx_{\varphi} \text{nou}_2 \equiv \forall v \in \text{Mapping.keys} (\text{ssa.phidefNodes } g).$  *case-option* { } *id* (*Mapping.lookup nou<sub>1</sub> v*) = *case-option* { } *id* (*Mapping.lookup nou<sub>2</sub> v*)  
**end**

**locale** *CFG-SSA-Transformed-notriv-linorder* = *CFG-SSA-Transformed-notriv-base*  
 $\alpha e$   $\alpha n$  *invar inEdges' Entry oldDefs oldUses defs uses phis var chooseNext-all*

+ *CFG-SSA-Transformed-notriv*  $\alpha e$   $\alpha n$  *invar inEdges' Entry oldDefs oldUses*  
*defs uses phis var chooseNext-all*

**for**

$\alpha e$  ::  $'g \Rightarrow ('node::\text{linorder} \times 'edgeD \times 'node) \text{ set}$  **and**

$\alpha n$  ::  $'g \Rightarrow 'node \text{ list}$  **and**

*invar* ::  $'g \Rightarrow \text{bool}$  **and**

*inEdges'* ::  $'g \Rightarrow 'node \Rightarrow ('node \times 'edgeD) \text{ list}$  **and**

*Entry*:: $'g \Rightarrow 'node$  **and**

*oldDefs* ::  $'g \Rightarrow 'node \Rightarrow 'var::\text{linorder} \text{ set}$  **and**

*oldUses* ::  $'g \Rightarrow 'node \Rightarrow 'var \text{ set}$  **and**

```

    defs :: 'g ⇒ 'node ⇒ 'val::linorder set and
    uses :: 'g ⇒ 'node ⇒ 'val set and
    phis :: 'g ⇒ ('node, 'val) phis and
    var :: 'g ⇒ 'val ⇒ 'var and
    chooseNext-all :: ('node ⇒ 'val set) ⇒ ('node, 'val) phis ⇒ 'g ⇒ ('node × 'val)
begin
  lemma isTrivial-the-trivial: [ phi g v = Some vs; isTrivialPhi g v v' ] ⇒
the-trivial v vs = Some v'
  ⟨proof⟩

  lemma the-trivial-THE-isTrivial: [ phi g v = Some vs; trivial g v ] ⇒ the-trivial
v v = Some (The (isTrivialPhi g v))
  ⟨proof⟩

  lemma substitution-code-correct:
    assumes redundant g
    shows substitution g = substitution-code g (chooseNext' g)
  ⟨proof⟩

  lemma substNext-code-correct:
    assumes redundant g
    shows substNext g = substNext-code g (chooseNext' g)
  ⟨proof⟩

  lemma uses'-code-correct:
    assumes redundant g
    shows uses' g = uses'-code g (chooseNext' g)
  ⟨proof⟩

end

context CFG-SSA-Transformed-notriv-linorder
begin
  lemma substAll-terminates: while-option (cond g) (step g) (uses g, phis g) ≠
None
  ⟨proof⟩
end

locale CFG-SSA-Transformed-notriv-linorder-code =
  CFG-SSA-Transformed-code αe αn invar inEdges' Entry oldDefs oldUses defs
uses phis var
+ CFG-SSA-Transformed-notriv-base-code αe αn invar inEdges' Entry oldDefs
oldUses defs uses phis var chooseNext-all
+ CFG-SSA-Transformed-notriv-linorder αe αn invar inEdges' Entry oldDefs oldUses
defs usesOf ∘ uses λg. Mapping.lookup (phis g) var
  λuses phis. chooseNext-all uses (Mapping.Mapping phis)
for
  αe :: 'g ⇒ ('node::linorder × 'edgeD × 'node) set and
  αn :: 'g ⇒ 'node list and

```

```

invar :: 'g ⇒ bool and
inEdges' :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list and
Entry::'g ⇒ 'node and
oldDefs :: 'g ⇒ 'node ⇒ 'var::linorder set and
oldUses :: 'g ⇒ 'node ⇒ 'var set and
defs :: 'g ⇒ 'node ⇒ 'val::linorder set and
uses :: 'g ⇒ ('node, 'val set) mapping and
phis :: 'g ⇒ ('node, 'val) phis-code and
var :: 'g ⇒ 'val ⇒ 'var and
chooseNext-all :: ('g, 'node, 'val) chooseNext-code
+
assumes chooseNext-all-code:
  CFG-SSA-Transformed-code αe αn invar inEdges' Entry oldDefs oldUses defs u
  p var ⇒
  CFG-SSA-wf-base-code.redundant-code p g ⇒
  chooseNext-all (usesOf (u g)) (p g) g = Max (CFG-SSA-wf-base-code.trivial-phis
  p g)

locale CFG-SSA-step-code =
  step-code: CFG-SSA-Transformed-notriv-linorder-code αe αn invar inEdges' En-
  try oldDefs oldUses defs uses phis var chooseNext-all
+
  CFG-SSA-step αe αn invar inEdges' Entry oldDefs oldUses defs usesOf ∘ uses
  λg. Mapping.lookup (phis g) var λuses phis. chooseNext-all uses (Mapping.Mapping
  phis) g
for
  αe :: 'g ⇒ ('node::linorder × 'edgeD × 'node) set and
  αn :: 'g ⇒ 'node list and
  invar :: 'g ⇒ bool and
  inEdges' :: 'g ⇒ 'node ⇒ ('node × 'edgeD) list and
  Entry::'g ⇒ 'node and
  oldDefs :: 'g ⇒ 'node ⇒ 'var::linorder set and
  oldUses :: 'g ⇒ 'node ⇒ 'var set and
  defs :: 'g ⇒ 'node ⇒ 'val::linorder set and
  uses :: 'g ⇒ ('node, 'val set) mapping and
  phis :: 'g ⇒ ('node, 'val) phis-code and
  var :: 'g ⇒ 'val ⇒ 'var and
  chooseNext-all :: ('g, 'node, 'val) chooseNext-code and
  g :: 'g

context CFG-SSA-Transformed-notriv-linorder-code
begin
  abbreviation u-g g u ≡ uses(g:=u)
  abbreviation p-g g p ≡ phis(g:=p)
  abbreviation cN ≡ (λuses phis. chooseNext-all uses (Mapping.Mapping phis))

  interpretation uninst-code: CFG-SSA-Transformed-notriv-base-code αe αn in-
  var inEdges' Entry oldDefs oldUses defs u p var chooseNext-all
  for u p

```

$\langle \text{proof} \rangle$

**interpretation** *uninst*: CFG-SSA-Transformed-notriv-base  $\alpha e \alpha n$  invar inEdges'  
Entry oldDefs oldUses defs u p var cN

**for** u p  
 $\langle \text{proof} \rangle$

**lemma** *phis'-code-correct*:

**assumes** *ssa.redundant* g

**shows** *phis' g = Mapping.lookup (phis'-code g (chooseNext' g))*

$\langle \text{proof} \rangle$

**lemma** *redundant-ign[simp]*: *uninst-code.ssa.redundant-code (const p) g = uninst-code.ssa.redundant-code (phis(g:=p)) g*

$\langle \text{proof} \rangle$

**lemma** *uses'-ign[simp]*: *uninst-code.uses'-codem (const u) g = uninst-code.uses'-codem (u-g g u) g*

$\langle \text{proof} \rangle$

**lemma** *phis'-ign[simp]*: *uninst-code.phis'-code (const p) g = uninst-code.phis'-code (phis(g:=p)) g*

$\langle \text{proof} \rangle$

**lemma** *phis'm-ign[simp]*: *uninst-code.phis'-codem (const p) g = uninst-code.phis'-codem (phis(g:=p)) g*

$\langle \text{proof} \rangle$

**lemma** *set-sorted-list-of-set-phis-dom [simp]*:

*set (sorted-list-of-set  $\{x \in \text{dom} (\text{Mapping.lookup} (\text{phis } g)). P x\} = \{x \in \text{dom} (\text{Mapping.lookup} (\text{phis } g)). P x\}$ )*

$\langle \text{proof} \rangle$

**lemma** *phis'-codem-correct*:

**assumes**  $g \vdash \text{nodes-of-phis} \approx_{\varphi} (\text{ssa.phiNodes-of } g)$  **and**  $\text{next} \in \text{Mapping.keys} (\text{phis } g)$

**shows** *phis'-codem g next (substitution-code g next) nodes-of-phis = phis'-code g next*

$\langle \text{proof} \rangle$

**lemma** *uses-transfer [transfer-rule]*: *(rel-fun (=) (pcr-mapping (=) (=))) ( $\lambda g n. \text{Mapping.lookup} (\text{uses } g) n$ ) uses*

$\langle \text{proof} \rangle$

**lemma** *uses'-codem-correct*:

**assumes**  $g \vdash \text{nodes-of-uses} \approx_{\varphi} \text{ssa.useNodes-of } g$  **and**  $\text{next} \in \text{Mapping.keys} (\text{phis } g)$

**shows** *usesOf (uses'-codem g next (substitution-code g next) nodes-of-uses) = uses'-code g next*

*<proof>*

**lemma** *step-ign*[*simp*]: *uninst-code.step-codem* (*const u*) (*const p*) *g* = *uninst-code.step-codem* (*u-g g u*) (*phis(g:=p)*) *g*  
*<proof>*

**lemma** *cN-transfer* [*transfer-rule*]: (*rel-fun* (=) (*rel-fun* (*pcr-mapping* (=) (=)) (=))) *cN chooseNext-all*  
*<proof>*

**lemma** *usesOf-transfer* [*transfer-rule*]: (*rel-fun* (*pcr-mapping* (=) (=)) (=)) ( $\lambda m$  *x. case-option* {} *id* (*m x*)) *usesOf*  
*<proof>*

**lemma** *dom-phis'-codem*:

**assumes**  $\bigwedge ns. Mapping.lookup\ nodes-of-phis\ (snd\ next) = Some\ ns \implies finite\ ns$   
**shows** *dom* (*Mapping.lookup* (*phis'-codem g next next' nodes-of-phis*)) = *dom* (*Mapping.lookup* (*phis g*))  $\cup$  (*case-option* {} *id* (*Mapping.lookup nodes-of-phis (snd next)*)) - {*next*}  
*<proof>*

**lemma** *dom-phis'-code* [*simp*]:

**shows** *dom* (*Mapping.lookup* (*phis'-code g next*)) = *dom* (*Mapping.lookup* (*phis g*)) - {*v. snd v = snd next*}  
*<proof>*

**lemma** *nodes-of-phis-finite* [*simplified*]:

**assumes**  $g \vdash nodes-of-phis \approx_{\varphi} ssa.phiNodes-of\ g$  **and** *Mapping.lookup nodes-of-phis v = Some ns* **and**  $v \in Mapping.keys\ (ssa.phidefNodes\ g)$   
**shows** *finite ns*  
*<proof>*

**lemma** *lookup-phis'-codem-next*:

**assumes**  $\bigwedge ns. Mapping.lookup\ nodes-of-phis\ (snd\ next) = Some\ ns \implies finite\ ns$   
**shows** *Mapping.lookup* (*phis'-codem g next next' nodes-of-phis*) *next* = *None*  
*<proof>*

**lemma** *lookup-phis'-codem-other*:

**assumes**  $g \vdash nodes-of-phis \approx_{\varphi} (ssa.phiNodes-of\ g)$   
**and**  $next \in Mapping.keys\ (phis\ g)$  **and**  $next \neq \varphi$   
**shows** *Mapping.lookup* (*phis'-codem g next (substitution-code g next) nodes-of-phis*)  
 $\varphi =$   
*map-option* (*map* (*substNext-code g next*)) (*Mapping.lookup* (*phis g*)  $\varphi$ )  
*<proof>*

**lemma** *lookup-nodes-of-phis'-subst* [*simp*]:

*Mapping.lookup* (*nodes-of-phis' g next (substitution-code g next) nodes-of-phis*)  
(*substitution-code g next*) =  
*Some* ((*case-option* {}) (*Set.remove next*) (*Mapping.lookup nodes-of-phis (substitution-code*

$g \text{ next})) \cup (\text{case-option } \{\} (\text{Set.remove next}) (\text{Mapping.lookup nodes-of-phis (snd next)}))$   
 ⟨proof⟩

**lemma** *lookup-nodes-of-phis'-not-subst:*

$v \neq \text{substitution-code } g \text{ next} \implies$

$\text{Mapping.lookup (nodes-of-phis' } g \text{ next (substitution-code } g \text{ next) nodes-of-phis) } v$   
 $= (\text{if } v = \text{snd next} \text{ then None else } \text{Mapping.lookup nodes-of-phis } v)$   
 ⟨proof⟩

**lemma** *lookup-phis'-code:*

$\text{Mapping.lookup (phis'-code } g \text{ next) } v = (\text{if } \text{snd } v = \text{snd next} \text{ then None else}$   
 $\text{map-option (map (substNext-code } g \text{ next)) (Mapping.lookup (phis } g) v))$   
 ⟨proof⟩

**lemma** *phi-equiv-mappingE':*

**assumes**  $g \vdash m_1 \approx_\varphi \text{ssa.phiNodes-of } g$

**and**  $\text{Mapping.lookup (phis } g) x = \text{Some } vs$  **and**  $b \in \text{set } vs$  **and**  $b \in \text{snd '}$   
 $\text{Mapping.keys (phis } g)$

**obtains**  $\text{Mapping.lookup } m_1 b = \text{Some } \{n \in \text{Mapping.keys (phis } g). b \in \text{set}$   
 $(\text{the (Mapping.lookup (phis } g) n))\}$

⟨proof⟩

**lemma** *phi-equiv-mappingE:*

**assumes**  $g \vdash m_1 \approx_\varphi \text{ssa.phiNodes-of } g$  **and**  $b \in \text{Mapping.keys (phis } g)$

**and**  $\text{Mapping.lookup (phis } g) x = \text{Some } vs$  **and**  $\text{snd } b \in \text{set } vs$

**obtains**  $ns$  **where**  $\text{Mapping.lookup } m_1 (\text{snd } b) = \text{Some } \{n \in \text{Mapping.keys}$   
 $(\text{phis } g). \text{snd } b \in \text{set (the (Mapping.lookup (phis } g) n))\}$

⟨proof⟩

**lemma** *phi-equiv-mappingE2':*

**assumes**  $g \vdash m_1 \approx_\varphi \text{ssa.phiNodes-of } g$

**and**  $b \in \text{snd ' Mapping.keys (phis } g)$

**and**  $\forall \varphi \in \text{Mapping.keys (phis } g). b \notin \text{set (the (Mapping.lookup (phis } g) \varphi))$

**shows**  $\text{Mapping.lookup } m_1 b = \text{None} \vee \text{Mapping.lookup } m_1 b = \text{Some } \{\}$

⟨proof⟩

**lemma** *keys-phis'-codem [simp]:*  $\text{Mapping.keys (phis'-codem } g \text{ next next' (ssa.phiNodes-of}$   
 $g)) = \text{Mapping.keys (phis } g) - \{\text{next}\}$

⟨proof⟩

**lemma** *keys-phis'-codem':*

**assumes**  $g \vdash \text{nodes-of-phis} \approx_\varphi \text{ssa.phiNodes-of } g$  **and**  $\text{next} \in \text{Mapping.keys}$   
 $(\text{phis } g)$

**shows**  $\text{Mapping.keys (phis'-codem } g \text{ next next' nodes-of-phis) = Mapping.keys}$   
 $(\text{phis } g) - \{\text{next}\}$

⟨proof⟩

**lemma** *triv-phis'-correct:*



**assumes**  $g \vdash \text{nodes-of-phis} \approx_{\varphi} \text{ssa.phiNodes-of } g$  **and**  $\text{next} \in \text{Mapping.keys}$   
 $(\text{phis } g)$  **and**  $\text{ssa.trivial } g (\text{snd next})$

**shows**  $\text{uninst-code.triv-phis}' (\text{const } (\text{phis}'\text{-codem } g \text{ next } (\text{substitution-code } g \text{ next})$   
 $\text{nodes-of-phis})) g \text{ next } (\text{ssa.trivial-phis } g) \text{ nodes-of-phis} = \text{uninst-code.ssa.trivial-phis}$   
 $(\text{const } (\text{phis}'\text{-codem } g \text{ next } (\text{substitution-code } g \text{ next}) \text{ nodes-of-phis})) g$   
 $\langle \text{proof} \rangle$

**lemma** *nodes-of-phis'-correct*:

**assumes**  $g \vdash \text{nodes-of-phis} \approx_{\varphi} \text{ssa.phiNodes-of } g$   
**and**  $\text{next} \in \text{Mapping.keys } (\text{phis } g)$  **and**  $\text{ssa.trivial } g (\text{snd next})$   
**shows**  $g \vdash (\text{nodes-of-phis}' g \text{ next } (\text{substitution-code } g \text{ next}) \text{ nodes-of-phis}) \approx_{\varphi}$   
 $(\text{uninst-code.ssa.phiNodes-of } (\text{const } (\text{phis}'\text{-codem } g \text{ next } (\text{substitution-code } g \text{ next})$   
 $\text{nodes-of-phis})) g)$   
 $\langle \text{proof} \rangle$

**lemma** *nodes-of-uses'-correct*:

**assumes**  $g \vdash \text{nodes-of-uses} \approx_{\varphi} \text{ssa.useNodes-of } g$   
**and**  $\text{next} \in \text{Mapping.keys } (\text{phis } g)$  **and**  $\text{ssa.trivial } g (\text{snd next})$   
**shows**  $g \vdash (\text{nodes-of-uses}' g \text{ next } (\text{substitution-code } g \text{ next}) (\text{Mapping.keys } (\text{ssa.phidefNodes}$   
 $g)) \text{ nodes-of-uses}) \approx_{\varphi} (\text{uninst-code.ssa.useNodes-of } (\text{const } (\text{uses}'\text{-codem } g \text{ next } (\text{substitution-code}$   
 $g \text{ next}) \text{ nodes-of-uses})) g)$   
 $\langle \text{proof} \rangle$

**definition**[code]: *substAll-efficient*  $g \equiv$

$\text{let } \text{phiVals} = \text{Mapping.keys } (\text{ssa.phidefNodes } g);$   
 $u = \text{uses } g;$   
 $p = \text{phis } g;$   
 $tp = \text{ssa.trivial-phis } g;$   
 $\text{nou} = \text{ssa.useNodes-of } g;$   
 $\text{nop} = \text{ssa.phiNodes-of } g$   
*in*  
*while*  
 $(\lambda((u,p), \text{triv-phis}, \text{nodes-of-uses}, \text{nodes-of-phis}). \neg \text{Set.is-empty } \text{triv-phis})$   
 $(\lambda((u,p), \text{triv-phis}, \text{nodes-of-uses}, \text{nodes-of-phis}). \text{let}$   
 $\text{next} = \text{Max } \text{triv-phis};$   
 $\text{next}' = \text{uninst-code.substitution-code } (\text{const } p) g \text{ next};$   
 $(u', p') = \text{uninst-code.step-codem } (\text{const } u) (\text{const } p) g \text{ next } \text{next}' \text{ nodes-of-uses}$   
 $\text{nodes-of-phis};$   
 $tp' = \text{uninst-code.triv-phis}' (\text{const } p') g \text{ next } \text{triv-phis } \text{nodes-of-phis};$   
 $\text{nou}' = \text{uninst-code.nodes-of-uses}' g \text{ next } \text{next}' \text{ phiVals } \text{nodes-of-uses};$   
 $\text{nop}' = \text{uninst-code.nodes-of-phis}' g \text{ next } \text{next}' \text{ nodes-of-phis}$   
*in*  $((u', p'), tp', \text{nou}', \text{nop}'))$   
 $((u, p), tp, \text{nou}, \text{nop})$

**abbreviation**  $u\text{-c } x \equiv \text{const } (\text{usesOf } (\text{fst } x))$

**abbreviation**  $p\text{-c } x \equiv \text{const } (\text{Mapping.lookup } (\text{snd } x))$

**abbreviation**  $u g x \equiv u\text{-g } g (\text{fst } x)$

**abbreviation**  $p g x \equiv p\text{-g } g (\text{snd } x)$

**lemma** *usesOf-upd* [simp]:  $(usesOf \circ u \ g \ s1)(g := usesOf \ us) = usesOf \circ u \ g \ g$   
*us*

*<proof>*

**lemma** *keys-uses'-codem* [simp]:  $Mapping.keys \ (uses'-codem \ g \ next \ (substitution-code \ g \ next) \ (ssa.useNodes-of \ g)) = Mapping.keys \ (uses \ g)$

*<proof>*

**lemma** *keys-uses'-codem'*:  $\llbracket g \vdash nodes-of-uses \approx_{\varphi} ssa.useNodes-of \ g; next \in Mapping.keys \ (phis \ g) \rrbracket$

$\implies Mapping.keys \ (uses'-codem \ g \ next \ (substitution-code \ g \ next) \ nodes-of-uses)$   
 $= Mapping.keys \ (uses \ g)$

*<proof>*

**lemma** *triv-phis-base* [simp]:  $uninst-code.ssa.trivial-phis \ (const \ (phis \ g)) \ g = ssa.trivial-phis \ g$

*<proof>*

**lemma** *useNodes-of-base* [simp]:  $uninst-code.ssa.useNodes-of \ (const \ (uses \ g)) \ g = ssa.useNodes-of \ g$

*<proof>*

**lemma** *phiNodes-of-base* [simp]:  $uninst-code.ssa.phiNodes-of \ (const \ (phis \ g)) \ g = ssa.phiNodes-of \ g$

*<proof>*

**lemma** *phi-equiv-mapping-refl* [simp]:  $uninst-code.phi-equiv-mapping \ ph \ g \ m \ m$

*<proof>*

**lemma** *substAll-efficient-code* [code]:

$substAll \ g = map-prod \ usesOf \ Mapping.lookup \ (fst \ (substAll-efficient \ g))$

*<proof>*

**end**

**end**

## 6.5 Generic Code Extraction Based on typedefs

**theory** *Generic-Interpretation*

**imports**

*Construct-SSA-code*

*Construct-SSA-notriv-code*

*RBT-Mapping-Exts*

*SSA-Transfer-Rules*

*HOL-Library.RBT-Set*

*HOL-Library.Code-Target-Numeral*

**begin**

**record**  $( 'node, 'var, 'edge) \ gen-cfg =$

$gen-\alpha e :: ('node, 'edge) \text{ edge set}$   
 $gen-\alpha n :: 'node \text{ list}$   
 $gen-inEdges :: 'node \Rightarrow ('node, 'edge) \text{ edge list}$   
 $gen-Entry :: 'node$   
 $gen-defs :: 'node \Rightarrow 'var \text{ set}$   
 $gen-uses :: 'node \Rightarrow 'var \text{ set}$

**abbreviation**  $trivial-gen-cfg \text{ ext} \equiv gen-cfg-ext \{ \} [undefined] (const []) undefined (const \{ \}) (const \{ \}) \text{ ext}$

**abbreviation**  $(input) \text{ ign } f \ g \ (-::unit) \equiv f \ g$

**lemma**  $set-iterator-foldri-Nil$  [*simp*, *intro!*]:  $set-iterator (foldri [] \{ \})$   
 $\langle proof \rangle$

**lemma**  $set-iterator-foldri-one$  [*simp*, *intro!*]:  $set-iterator (foldri [a] \{ a \})$   
 $\langle proof \rangle$

**abbreviation**  $gen-inEdges' \ g \ n \equiv map (\lambda(f,d,t). (f,d)) (gen-inEdges \ g \ n)$

**lemma**  $gen-cfg-inhabited$ :  $let \ g = trivial-gen-cfg \ ext \ in \ CFG-wf (ign \ gen-\alpha e \ g) (ign \ gen-\alpha n \ g) (const \ True) (ign \ gen-inEdges' \ g) (ign \ gen-Entry \ g) (ign \ gen-defs \ g) (ign \ gen-uses \ g)$   
 $\langle proof \rangle$

**typedef**  $('node, 'var, 'edge) \ gen-cfg-wf = \{ g :: ('node::linorder, 'var::linorder, 'edge) \ gen-cfg. \ CFG-wf (ign \ gen-\alpha e \ g) (ign \ gen-\alpha n \ g) (const \ True) (ign \ gen-inEdges' \ g) (ign \ gen-Entry \ g) (ign \ gen-defs \ g) (ign \ gen-uses \ g) \}$   
 $\langle proof \rangle$

**setup-lifting**  $type-definition-gen-cfg-wf$

**lift-definition**  $gen-wf-\alpha n :: ('node::linorder, 'var::linorder, 'edge) \ gen-cfg-wf \Rightarrow 'node \text{ list} \text{ is } gen-\alpha n \langle proof \rangle$

**lift-definition**  $gen-wf-\alpha e :: ('node::linorder, 'var::linorder, 'edge) \ gen-cfg-wf \Rightarrow ('node, 'edge) \text{ edge set} \text{ is } gen-\alpha e \langle proof \rangle$

**lift-definition**  $gen-wf-inEdges :: ('node::linorder, 'var::linorder, 'edge) \ gen-cfg-wf \Rightarrow 'node \Rightarrow ('node, 'edge) \text{ edge list} \text{ is } gen-inEdges \langle proof \rangle$

**lift-definition**  $gen-wf-Entry :: ('node::linorder, 'var::linorder, 'edge) \ gen-cfg-wf \Rightarrow 'node \text{ is } gen-Entry \langle proof \rangle$

**lift-definition**  $gen-wf-defs :: ('node::linorder, 'var::linorder, 'edge) \ gen-cfg-wf \Rightarrow 'node \Rightarrow 'var \text{ set} \text{ is } gen-defs \langle proof \rangle$

**lift-definition**  $gen-wf-uses :: ('node::linorder, 'var::linorder, 'edge) \ gen-cfg-wf \Rightarrow 'node \Rightarrow 'var \text{ set} \text{ is } gen-uses \langle proof \rangle$

**abbreviation**  $gen-wf-invar \equiv const \ True$

**abbreviation**  $gen-wf-inEdges' \ g \ n \equiv map (\lambda(f,d,t). (f,d)) (gen-wf-inEdges \ g \ n)$

**lemma**  $gen-wf-inEdges'-transfer$  [*transfer-rule*]:  $rel-fun \ cr-gen-cfg-wf (=) \ gen-inEdges'$

*gen-wf-inEdges'*  
<proof>

**lemma** *gen-wf-invar-trans: rel-fun cr-gen-cfg-wf (=) gen-wf-invar gen-wf-invar*  
<proof>

**declare** *graph-path-base.transfer-rules*[*OF gen-cfg-wf.right-total gen-wf- $\alpha$ e.transfer*  
*gen-wf- $\alpha$ n.transfer gen-wf-invar-trans gen-wf-inEdges'-transfer, transfer-rule*]  
**declare** *CFG-base.defAss'-transfer*[*OF gen-cfg-wf.right-total gen-wf- $\alpha$ e.transfer gen-wf- $\alpha$ n.transfer*  
*gen-wf-invar-trans gen-wf-inEdges'-transfer, transfer-rule*]

**global-interpretation** *gen-wf: CFG-Construct-linorder gen-wf- $\alpha$ e gen-wf- $\alpha$ n gen-wf-invar*  
*gen-wf-inEdges' gen-wf-Entry gen-wf-defs gen-wf-uses*

**defines**

*gen-wf-predecessors = gen-wf.predecessors and*  
*gen-wf-successors = gen-wf.successors and*  
*gen-wf-defs' = gen-wf.defs' and*  
*gen-wf-vars = gen-wf.vars and*  
*gen-wf-var = gen-wf.var and*  
*gen-wf-readVariableRecursive = gen-wf.readVariableRecursive and*  
*gen-wf-readArgs = gen-wf.readArgs and*  
*gen-wf-uses'-phis' = gen-wf.uses'-phis'*  
<proof>

**record** (*'node, 'var, 'edge, 'val*) *gen-ssa-cfg = ('node, 'var, 'edge) gen-cfg +*  
*gen-ssa-defs :: 'node  $\Rightarrow$  'val set*  
*gen-ssa-uses :: ('node, 'val set) mapping*  
*gen-phis :: ('node, 'val) phis-code*  
*gen-var :: 'val  $\Rightarrow$  'var*

**typedef** (*'node, 'var, 'edge, 'val*) *gen-ssa-cfg-wf = {g :: ('node::linorder, 'var::linorder,*  
*'edge, 'val::linorder) gen-ssa-cfg.*

*CFG-SSA-Transformed-code (ign gen- $\alpha$ e g) (ign gen- $\alpha$ n g) (const True) (ign*  
*gen-inEdges' g) (ign gen-Entry g) (ign gen-defs g) (ign gen-uses g) (ign gen-ssa-defs*  
*g) (ign gen-ssa-uses g) (ign gen-phis g) (ign gen-var g)}*  
<proof>

**setup-lifting** *type-definition-gen-ssa-cfg-wf*

**lift-definition** *gen-ssa-wf- $\alpha$ n :: ('node::linorder, 'var::linorder, 'edge, 'val::linorder)*  
*gen-ssa-cfg-wf  $\Rightarrow$  'node list is gen- $\alpha$ n <proof>*

**lift-definition** *gen-ssa-wf- $\alpha$ e :: ('node::linorder, 'var::linorder, 'edge, 'val::linorder)*  
*gen-ssa-cfg-wf  $\Rightarrow$  ('node, 'edge) edge set is gen- $\alpha$ e <proof>*

**lift-definition** *gen-ssa-wf-inEdges :: ('node::linorder, 'var::linorder, 'edge, 'val::linorder)*  
*gen-ssa-cfg-wf  $\Rightarrow$  'node  $\Rightarrow$  ('node, 'edge) edge list is gen-inEdges <proof>*

**lift-definition** *gen-ssa-wf-Entry :: ('node::linorder, 'var::linorder, 'edge, 'val::linorder)*  
*gen-ssa-cfg-wf  $\Rightarrow$  'node is gen-Entry <proof>*

**lift-definition** *gen-ssa-wf-defs :: ('node::linorder, 'var::linorder, 'edge, 'val::linorder)*

*gen-ssa-cfg-wf*  $\Rightarrow$  'node  $\Rightarrow$  'var set **is** *gen-defs*  $\langle$ proof $\rangle$   
**lift-definition** *gen-ssa-wf-uses* :: ('node::linorder, 'var::linorder, 'edge, 'val::linorder)  
*gen-ssa-cfg-wf*  $\Rightarrow$  'node  $\Rightarrow$  'var set **is** *gen-uses*  $\langle$ proof $\rangle$   
**lift-definition** *gen-ssa-wf-ssa-defs* :: ('node::linorder, 'var::linorder, 'edge, 'val::linorder)  
*gen-ssa-cfg-wf*  $\Rightarrow$  'node  $\Rightarrow$  'val set **is** *gen-ssa-defs*  $\langle$ proof $\rangle$   
**lift-definition** *gen-ssa-wf-ssa-uses* :: ('node::linorder, 'var::linorder, 'edge, 'val::linorder)  
*gen-ssa-cfg-wf*  $\Rightarrow$  ('node, 'val set) mapping **is** *gen-ssa-uses*  $\langle$ proof $\rangle$   
**lift-definition** *gen-ssa-wf-phis* :: ('node::linorder, 'var::linorder, 'edge, 'val::linorder)  
*gen-ssa-cfg-wf*  $\Rightarrow$  ('node, 'val) *phis-code* **is** *gen-phis*  $\langle$ proof $\rangle$   
**lift-definition** *gen-ssa-wf-var* :: ('node::linorder, 'var::linorder, 'edge, 'val::linorder)  
*gen-ssa-cfg-wf*  $\Rightarrow$  'val  $\Rightarrow$  'var **is** *gen-var*  $\langle$ proof $\rangle$

**abbreviation** *gen-ssa-wf-inEdges'* *g n*  $\equiv$  *map* ( $\lambda(f,d,t). (f,d)$ ) (*gen-ssa-wf-inEdges* *g n*)

**lemma** *gen-ssa-wf-inEdges'-transfer* [*transfer-rule*]: *rel-fun cr-gen-ssa-cfg-wf* (=) *gen-inEdges'* *gen-ssa-wf-inEdges'*  $\langle$ proof $\rangle$

**global-interpretation** *uninst*: *CFG-SSA-wf-base-code gen-ssa-wf- $\alpha$ e gen-ssa-wf- $\alpha$ n gen-wf-invar gen-ssa-wf-inEdges' gen-ssa-wf-Entry gen-ssa-wf-ssa-defs u p*

**for** *u* and *p*

**defines**

*uninst-predecessors* = *uninst.predecessors*  
**and** *uninst-successors* = *uninst.successors*  
**and** *uninst-phiDefs* = *uninst.phiDefs*  
**and** *uninst-phiUses* = *uninst.phiUses*  
**and** *uninst-allDefs* = *uninst.allDefs*  
**and** *uninst-allUses* = *uninst.allUses*  
**and** *uninst-allVars* = *uninst.allVars*  
**and** *uninst-isTrivialPhi* = *uninst.isTrivialPhi*  
**and** *uninst-trivial* = *uninst.trivial-code*  
**and** *uninst-redundant* = *uninst.redundant-code*  
**and** *uninst-phi* = *uninst.phi*  
**and** *uninst-defNode* = *uninst.defNode*  
**and** *uninst-trivial-phis* = *uninst.trivial-phis*  
**and** *uninst-phidefNodes* = *uninst.phidefNodes*  
**and** *uninst-useNodes-of* = *uninst.useNodes-of*  
**and** *uninst-phiNodes-of* = *uninst.phiNodes-of*  $\langle$ proof $\rangle$

**definition** *uninst-chooseNext* *u p g*  $\equiv$  *Max* (*uninst-trivial-phis* (*const p*) *g*)

**lemma** *gen-ssa-wf-invar-trans*: *rel-fun cr-gen-ssa-cfg-wf* (=) *gen-wf-invar gen-wf-invar*  $\langle$ proof $\rangle$

**declare** *graph-path-base.transfer-rules*[*OF gen-ssa-cfg-wf.right-total gen-ssa-wf- $\alpha$ e.transfer gen-ssa-wf- $\alpha$ n.transfer gen-ssa-wf-invar-trans gen-ssa-wf-inEdges'-transfer, transfer-rule*]

**declare** *CFG-base.defAss'-transfer*[*OF gen-ssa-cfg-wf.right-total gen-ssa-wf- $\alpha$ e.transfer gen-ssa-wf- $\alpha$ n.transfer gen-ssa-wf-invar-trans gen-ssa-wf-inEdges'-transfer, transfer-rule*]

**declare** *CFG-SSA-base-code.CFG-SSA-base-code-transfer-rules*[*OF gen-ssa-cfg-wf.right-total gen-ssa-wf- $\alpha$ e.transfer gen-ssa-wf- $\alpha$ n.transfer gen-ssa-wf-invar-trans gen-ssa-wf-inEdges'-transfer gen-ssa-wf-Entry.transfer gen-ssa-wf-ssa-defs.transfer gen-ssa-wf-ssa-uses.transfer gen-ssa-wf-phis.transfer, transfer-rule*]

**lemma** *path2-ign[simp]*: *graph-path-base.path2 (ign gen- $\alpha$ n g) gen-wf-invar (ign gen-inEdges' g) g' n ns m  $\longleftrightarrow$  graph-path-base.path2 gen- $\alpha$ n gen-wf-invar gen-inEdges' g n ns m*  
 <proof>

**lemma** *allDefs-ign[simp]*: *CFG-SSA-base.allDefs (ign gen-ssa-defs g) (ign Mapping.lookup (gen-phs g)) ga n = CFG-SSA-base.allDefs gen-ssa-defs ( $\lambda$ g. Mapping.lookup (gen-phs g)) g n*  
 <proof>

**lemma** *successors-ign[simp]*: *graph-path-base.successors (ign gen- $\alpha$ n g) (ign gen-inEdges' g) ga n = graph-path-base.successors gen- $\alpha$ n gen-inEdges' g n*  
 <proof>

**lemma** *predecessors-ign[simp]*: *graph-path-base.predecessors (ign gen-inEdges' g) ga n = graph-path-base.predecessors gen-inEdges' g n*  
 <proof>

**lemma** *phiDefs-ign[simp]*: *CFG-SSA-base.phiDefs (ign Mapping.lookup (gen-phs g)) ga = CFG-SSA-base.phiDefs ( $\lambda$ g. Mapping.lookup (gen-phs g)) g*  
 <proof>

**lemma** *defAss-ign[simp]*: *CFG-SSA-base.defAss (ign gen- $\alpha$ n g) gen-wf-invar (ign gen-inEdges' g) (ign gen-Entry g) (ign gen-ssa-defs g) (ign Mapping.lookup (gen-phs g)) ga*  
 = *CFG-SSA-base.defAss gen- $\alpha$ n gen-wf-invar gen-inEdges' gen-Entry gen-ssa-defs ( $\lambda$ g. Mapping.lookup (gen-phs g)) g*  
 <proof>

**lemma** *allUses-ign[simp]*: *CFG-SSA-base.allUses (ign gen- $\alpha$ n g) (ign gen-inEdges' g) (usesOf  $\circ$  ign gen-ssa-uses g) (ign Mapping.lookup (gen-phs g)) ga m*  
 = *CFG-SSA-base.allUses gen- $\alpha$ n gen-inEdges' (usesOf  $\circ$  gen-ssa-uses) ( $\lambda$ g. Mapping.lookup (gen-phs g)) g m*  
 <proof>

**lemma** *defAss'-ign[simp]*: *CFG-base.defAss' (ign gen- $\alpha$ n g) gen-wf-invar (ign gen-inEdges' g) (ign gen-Entry g) (ign gen-defs g) ga*  
 = *CFG-base.defAss' gen- $\alpha$ n gen-wf-invar gen-inEdges' gen-Entry gen-defs g*  
 <proof>

**global-interpretation** *gen-ssa-wf-notriv*: *CFG-SSA-Transformed-notriv-linorder-code gen-ssa-wf- $\alpha$ e gen-ssa-wf- $\alpha$ n gen-wf-invar gen-ssa-wf-inEdges' gen-ssa-wf-Entry gen-ssa-wf-defs gen-ssa-wf-uses gen-ssa-wf-ssa-defs gen-ssa-wf-ssa-uses gen-ssa-wf-phs gen-ssa-wf-var uninstant-chooseNext*

**defines**

*gen-ssa-wf-notriv-substAll = gen-ssa-wf-notriv.substAll and*  
*gen-ssa-wf-notriv-substAll-efficient = gen-ssa-wf-notriv.substAll-efficient*

*<proof>*

**global-interpretation** *uninst-code: CFG-SSA-Transformed-notriv-base-code gen-ssa-wf- $\alpha e$  gen-ssa-wf- $\alpha n$  gen-wf-invar gen-ssa-wf-inEdges' gen-ssa-wf-Entry gen-ssa-wf-defs gen-ssa-wf-uses gen-ssa-wf-ssa-defs u p gen-ssa-wf-var uninst-chooseNext*  
**for** *u* **and** *p*  
**defines**

*uninst-code-step-code = uninst-code.step-codem and*  
*uninst-code-phis' = uninst-code.phis'-codem and*  
*uninst-code-uses' = uninst-code.uses'-codem and*  
*uninst-code-substNext = uninst-code.substNext-code and*  
*uninst-code-substitution = uninst-code.substitution-code and*  
*uninst-code-triv-phis' = uninst-code.triv-phis' and*  
*uninst-code-nodes-of-uses' = uninst-code.nodes-of-uses' and*  
*uninst-code-nodes-of-phis' = uninst-code.nodes-of-phis'*

*<proof>*

**lift-definition** *gen-cfg-wf-extend :: ('a::linorder, 'b::linorder, 'c) gen-cfg-wf  $\Rightarrow$  'd  $\Rightarrow$  ('a, 'b, 'c, 'd) gen-cfg-scheme*  
**is** *gen-cfg.extend* *<proof>*

**lemma** *gen- $\alpha e$ -wf-extend [simp]:*

*gen- $\alpha e$  (gen-cfg-wf-extend gen-cfg-wf ( $\!|$ gen-ssa-defs = d, gen-ssa-uses = u, gen-phis = p, gen-var = v))*  
*= gen-wf- $\alpha e$  gen-cfg-wf*  
*<proof>*

**lemma** *gen- $\alpha n$ -wf-extend [simp]:*

*gen- $\alpha n$  (gen-cfg-wf-extend gen-cfg-wf ( $\!|$ gen-ssa-defs = d, gen-ssa-uses = u, gen-phis = p, gen-var = v))*  
*= gen-wf- $\alpha n$  gen-cfg-wf*  
*<proof>*

**lemma** *gen-inEdges-wf-extend [simp]:*

*gen-inEdges (gen-cfg-wf-extend gen-cfg-wf ( $\!|$ gen-ssa-defs = d, gen-ssa-uses = u, gen-phis = p, gen-var = v))*  
*= gen-wf-inEdges gen-cfg-wf*  
*<proof>*

**lemma** *gen-Entry-wf-extend [simp]:*

*gen-Entry (gen-cfg-wf-extend gen-cfg-wf ( $\!|$ gen-ssa-defs = d, gen-ssa-uses = u, gen-phis = p, gen-var = v))*  
*= gen-wf-Entry gen-cfg-wf*  
*<proof>*

**lemma** *gen-defs-wf-extend [simp]:*

*gen-defs (gen-cfg-wf-extend gen-cfg-wf ( $\!|$ gen-ssa-defs = d, gen-ssa-uses = u, gen-phis = p, gen-var = v))*  
*= gen-wf-defs gen-cfg-wf*

*<proof>*

**lemma** *gen-uses-wf-extend* [*simp*]:

*gen-uses* (*gen-cfg-wf-extend* *gen-cfg-wf* ( $\langle \text{gen-ssa-defs} = d, \text{gen-ssa-uses} = u, \text{gen-phs} = p, \text{gen-var} = v \rangle$ ))  
= *gen-wf-uses* *gen-cfg-wf*  
*<proof>*

**lemma** *gen-ssa-defs-wf-extend* [*simp*]:

*gen-ssa-defs* (*gen-cfg-wf-extend* *gen-cfg-wf* ( $\langle \text{gen-ssa-defs} = d, \text{gen-ssa-uses} = u, \text{gen-phs} = p, \text{gen-var} = v \rangle$ ))  
= *d*  
*<proof>*

**lemma** *gen-ssa-uses-wf-extend* [*simp*]:

*gen-ssa-uses* (*gen-cfg-wf-extend* *gen-cfg-wf* ( $\langle \text{gen-ssa-defs} = d, \text{gen-ssa-uses} = u, \text{gen-phs} = p, \text{gen-var} = v \rangle$ ))  
= *u*  
*<proof>*

**lemma** *gen-phs-wf-extend* [*simp*]:

*gen-phs* (*gen-cfg-wf-extend* *gen-cfg-wf* ( $\langle \text{gen-ssa-defs} = d, \text{gen-ssa-uses} = u, \text{gen-phs} = p, \text{gen-var} = v \rangle$ ))  
= *p*  
*<proof>*

**lemma** *gen-var-wf-extend* [*simp*]:

*gen-var* (*gen-cfg-wf-extend* *gen-cfg-wf* ( $\langle \text{gen-ssa-defs} = d, \text{gen-ssa-uses} = u, \text{gen-phs} = p, \text{gen-var} = v \rangle$ ))  
= *v*  
*<proof>*

**lemma** *CFG-SSA-Transformed-codeI*:

**assumes** *CFG-SSA-Transformed*  $\alpha e \alpha n$  *invar inEdges Entry oldDefs oldUses defs*  
( $\lambda g. \text{lookup-multimap } (\text{uses } g) (\lambda g. \text{Mapping.lookup } (\text{phs } g)) \text{ var}$ )  
**and**  $\bigwedge g. \text{Mapping.keys } (\text{uses } g) \subseteq \text{set } (\alpha n \ g)$   
**shows** *CFG-SSA-Transformed-code*  $\alpha e \alpha n$  *invar inEdges Entry oldDefs oldUses*  
*defs uses phs var*  
*<proof>*

**lemma** *CFG-SSA-Transformed-ign*:

*CFG-SSA-Transformed* (*ign* *gen-wf- $\alpha e$*  *gen-cfg-wf*) (*ign* *gen-wf- $\alpha n$*  *gen-cfg-wf*)  
*gen-wf-invar*  
(*const* (*gen-wf-inEdges'* *gen-cfg-wf*)) (*ign* *gen-wf-Entry* *gen-cfg-wf*) (*ign*  
*gen-wf-defs* *gen-cfg-wf*)  
(*ign* *gen-wf-uses* *gen-cfg-wf*) (*ign* *gen-wf-defs'* *gen-cfg-wf*) (*ign* *gen-wf.uses'*  
*gen-cfg-wf*)  
(*ign* *gen-wf.phs'* *gen-cfg-wf*)  
(*ign* *gen-wf-var* *gen-cfg-wf*)



*<proof>*

**lift-definition** *gen-ssa-cfg-wf* :: ('node::linorder, 'var::linorder, 'edge) *gen-cfg-wf*  
⇒ ('node, 'var, 'edge, ('node,'var) *ssaVal*) *gen-ssa-cfg-wf*  
**is** λ*g*. *let* (*uses*,*phis*) = *gen-wf-uses'-phis' g* *in* (*gen-cfg-wf-extend g*)(  
  *gen-ssa-defs* = *gen-wf-defs' g*,  
  *gen-ssa-uses* = *uses*,  
  *gen-phis* = *phis*,  
  *gen-var* = *gen-wf-var g*

)  
*<proof>*

**declare** *uninst.defNode-code*[*abs-def, code*] *uninst.allVars-code*[*abs-def, code*] *uninst.allUses-def*[*abs-def, code*] *uninst.allDefs-def*[*abs-def, code*]  
  *uninst.phiUses-code*[*abs-def, code*] *uninst.phi-def*[*abs-def, code*] *uninst.redundant-code-def*[*abs-def, code*]  
**declare** *uninst-code.uses'-code-def*[*abs-def, code*] *uninst-code.substNext-code-def*[*abs-def, code*] *uninst-code.substitution-code-def*[*abs-def, folded uninst-phi-def, code*]  
**declare** *uninst-code.phis'-code-def*[*folded uninst-code-substNext-def, code*] *uninst-code.step-code-def*[*folded uninst-code.uses'-code-def uninst-code.phis'-code-def, code*]  
  *uninst-code.cond-code-def*[*folded uninst-redundant-def, code*]  
**declare** *gen-ssa-wf-notriv.substAll-efficient-def*  
  [*folded uninst-code-nodes-of-phis'-def uninst-code-nodes-of-uses'-def uninst-code-triv-phis'-def uninst-code-substitution-def uninst-code-step-code-def uninst-code-phis'-def uninst-code-uses'-def uninst-trivial-phis-def uninst-phidefNodes-def uninst-useNodes-of-def uninst-phiNodes-of-def, code*]  
**declare** *keys-dom-lookup* [*symmetric, code-unfold*]

**definition** *map-keys-from-sparse* ≡ *map-keys gen-wf.from-sparse*

**declare** *map-keys-code*[*OF gen-wf.from-sparse-inj, folded map-keys-from-sparse-def, code*]  
**declare** *map-keys-from-sparse-def*[*symmetric, code-unfold*]

**lemma** *fold-Cons-commute*: ( $\bigwedge a b. \llbracket a \in \text{set } (x \# xs); b \in \text{set } (x \# xs) \rrbracket \implies f a \circ f b = f b \circ f a$ )  
   $\implies \text{fold } f (x \# xs) = f x \circ (\text{fold } f xs)$   
*<proof>*

**lemma** *Union-of-code* [*code*]: *Union-of f (RBT-Set.Set r) = RBT.fold (λa -. (∪ (f a)) r {})*  
*<proof>*

**definition**[*code*]: *disjoint xs ys* = (*xs* ∩ *ys* = {})

**definition** *gen-ssa-wf-notriv-substAll'* = *fst* ∘ *gen-ssa-wf-notriv-substAll-efficient*

**definition** *fold-set f A* ≡ *fold f (sorted-list-of-set A)*  
**declare** *fold-set-def* [*symmetric, code-unfold*]

```

declare fold-set-def
  [where  $A=RBT\text{-}Set.Set$   $r$  for  $r$ ,
    unfolded sorted-list-set fold-keys-def-alt [symmetric,abs-def] fold-keys-def [abs-def],
    code]

```

```

declare graph-path-base.inEdges-def [code]

```

```

end

```

```

theory Generic-Extract imports

```

```

  Generic-Interpretation

```

```

begin

```

```

export-code open

```

```

  set sorted-list-of-set disjoint RBT.fold

```

```

  gen-ssa-cfg-wf gen-wf-var gen-ssa-wf-notriv-substAll'

```

```

  in OCaml module-name BraunSSA

```

```

end

```

```

theory Disjoin-Transform imports

```

```

  Slicing.AdditionalLemmas

```

```

begin

```

```

inductive subcmd :: cmd  $\Rightarrow$  cmd  $\Rightarrow$  bool where

```

```

  sub-Skip: subcmd  $c$  Skip

```

```

| sub-Base: subcmd  $c$   $c$ 

```

```

| sub-Seq1: subcmd  $c_1$   $c \Longrightarrow$  subcmd ( $c_1;;c_2$ )  $c$ 

```

```

| sub-Seq2: subcmd  $c_2$   $c \Longrightarrow$  subcmd ( $c_1;;c_2$ )  $c$ 

```

```

| sub-If1: subcmd  $c_1$   $c \Longrightarrow$  subcmd (if ( $b$ )  $c_1$  else  $c_2$ )  $c$ 

```

```

| sub-If2: subcmd  $c_2$   $c \Longrightarrow$  subcmd (if ( $b$ )  $c_1$  else  $c_2$ )  $c$ 

```

```

| sub-While: subcmd  $c'$   $c \Longrightarrow$  subcmd (while ( $b$ )  $c'$ )  $c$ 

```

```

fun maxVnameLen-aux :: expr  $\Rightarrow$  nat where

```

```

  maxVnameLen-aux (Val  $-$ ) = 0

```

```

| maxVnameLen-aux (Var  $V$ ) = length  $V$ 

```

```

| maxVnameLen-aux ( $e_1$  «  $-$  »  $e_2$ ) = max (maxVnameLen-aux  $e_1$ ) (maxVnameLen-aux  $e_2$ )

```

```

fun maxVnameLen :: cmd  $\Rightarrow$  nat where

```

```

  maxVnameLen Skip = 0

```

```

| maxVnameLen ( $V:=e$ ) = max (length  $V$ ) (maxVnameLen-aux  $e$ )

```

```

| maxVnameLen ( $c_1;;c_2$ ) = max (maxVnameLen  $c_1$ ) (maxVnameLen  $c_2$ )

```

```

| maxVnameLen (if ( $b$ )  $c_1$  else  $c_2$ ) = max (maxVnameLen  $c_1$ ) (max (maxVnameLen-aux  $b$ ) (maxVnameLen  $c_2$ ))

```

```

| maxVnameLen (while ( $b$ )  $c$ ) = max (maxVnameLen  $c$ ) (maxVnameLen-aux  $b$ )

```

**definition**  $tempName :: cmd \Rightarrow vname$  **where**  $tempName\ c \equiv replicate\ (Suc\ (maxVnameLen\ c))\ (CHR\ 'a')$

**inductive**  $newname :: cmd \Rightarrow vname \Rightarrow bool$  **where**

$newname\ Skip\ V$   
 $| V \notin \{V'\} \cup rhs\text{-}aux\ e \Longrightarrow newname\ (V':=e)\ V$   
 $| \llbracket newname\ c1\ V; newname\ c2\ V \rrbracket \Longrightarrow newname\ (c1;;c2)\ V$   
 $| \llbracket newname\ c1\ V; newname\ c2\ V; V \notin rhs\text{-}aux\ b \rrbracket \Longrightarrow newname\ (if\ (b)\ c1\ else\ c2)\ V$   
 $| \llbracket newname\ c\ V; V \notin rhs\text{-}aux\ b \rrbracket \Longrightarrow newname\ (while\ (b)\ c)\ V$

**lemma**  $maxVnameLen\text{-}aux\text{-}newname$ :  $length\ V > maxVnameLen\text{-}aux\ e \Longrightarrow V \notin rhs\text{-}aux\ e$   
 $\langle proof \rangle$

**lemma**  $maxVnameLen\text{-}newname$ :  $length\ V > maxVnameLen\ c \Longrightarrow newname\ c\ V$   
 $\langle proof \rangle$

**lemma**  $tempname\text{-}newname[intro]$ :  $newname\ c\ (tempName\ c)$   
 $\langle proof \rangle$

**fun**  $transform\text{-}aux :: vname \Rightarrow cmd \Rightarrow cmd$  **where**

$transform\text{-}aux\ \text{-}\ Skip = Skip$   
 $| transform\text{-}aux\ V'\ (V:=e) =$   
 $\quad (if\ V \in rhs\ (V:=e)\ then\ V':=e;;\ V:=Var\ V'$   
 $\quad \quad else\ V:=e)$   
 $| transform\text{-}aux\ V'\ (c1;;c2) = transform\text{-}aux\ V'\ c1;;\ transform\text{-}aux\ V'\ c2$   
 $| transform\text{-}aux\ V'\ (if\ (b)\ c1\ else\ c2) =$   
 $\quad (if\ (b)\ transform\text{-}aux\ V'\ c1\ else\ transform\text{-}aux\ V'\ c2)$   
 $| transform\text{-}aux\ V'\ (while\ (b)\ c) = (while\ (b)\ transform\text{-}aux\ V'\ c)$

**abbreviation**  $transform :: cmd \Rightarrow cmd$  **where**

$transform\ c \equiv transform\text{-}aux\ (tempName\ c)\ c$

**fun**  $leftmostCmd :: cmd \Rightarrow cmd$  **where**

$leftmostCmd\ (c1;;c2) = leftmostCmd\ c1$   
 $| leftmostCmd\ c = c$

**lemma**  $leftmost\text{-}lhs[simp]$ :  $lhs\ (leftmostCmd\ c) = lhs\ c$   
 $\langle proof \rangle$

**lemma**  $leftmost\text{-}rhs[simp]$ :  $rhs\ (leftmostCmd\ c) = rhs\ c$   
 $\langle proof \rangle$

**lemma**  $leftmost\text{-}subcmd[intro]$ :  $subcmd\ c\ (leftmostCmd\ c)$   
 $\langle proof \rangle$

**lemma**  $leftmost\text{-}labels$ :  $labels\ c\ n\ c' \Longrightarrow subcmd\ c\ (leftmostCmd\ c')$   
 $\langle proof \rangle$

**theorem** *transform-disjoint*:

**assumes** *subcmd* (*transform-aux temp c*) (*V:=e*) *newname c temp*

**shows**  $V \notin \text{rhs-aux } e$

*<proof>*

**lemma** *transform-disjoint'*: *subcmd* (*transform c*) (*leftmostCmd c'*)  $\implies \text{lhs } c' \cap$

*rhs c' = {}*

*<proof>*

**corollary** *Defs-Uses-transform-disjoint [simp]*: *Defs* (*transform c*)  $n \cap \text{Uses}$  (*transform*

*c*)  $n = {}$

*<proof>*

**end**

### 6.5.1 Instantiation for a Simple While Language

**theory** *WhileGraphSSA* **imports**

*Generic-Interpretation*

*Disjoin-Transform*

*HOL-Library.List-Lexorder*

*HOL-Library.Char-ord*

**begin**

**instantiation** *w-node* :: *ord*

**begin**

**fun** *less-eq-w-node* **where**

*(-Entry-) ≤ x = True*

| *(- n -) ≤ x = (case x of*

*(-Entry-) ⇒ False*

| *(- m -) ⇒ n ≤ m*

| *(-Exit-) ⇒ True*)

| *(-Exit-) ≤ x = (x = (-Exit-))*

**fun** *less-w-node* **where**

*(-Entry-) < x = (x ≠ (-Entry-))*

| *(- n -) < x = (case x of*

*(-Entry-) ⇒ False*

| *(- m -) ⇒ n < m*

| *(-Exit-) ⇒ True*)

| *(-Exit-) < x = False*

**instance** *<proof>*

**end**

**instance** *w-node* :: *linorder* *<proof>*

**declare** *Defs.simps* [*simp del*]  
**declare** *Uses.simps* [*simp del*]  
**declare** *Let-def* [*simp*]

**declare** *finite-valid-nodes* [*simp, intro!*]

**lemma** *finite-valid-edge* [*simp, intro!*]: *finite* (*Collect* (*valid-edge* *c*))  
 ⟨*proof*⟩

**lemma** *uses-expr-finite*: *finite* (*rhs-aux* *e*)  
 ⟨*proof*⟩

**lemma** *uses-cmd-finite*: *finite* (*rhs* *c*)  
 ⟨*proof*⟩

**lemma** *defs-cmd-finite*: *finite* (*lhs* *c*)  
 ⟨*proof*⟩

**lemma** *finite-labels'*: *finite*  $\{(l,c). \text{labels prog } l \ c\}$   
 ⟨*proof*⟩

**lemma** *finite-Defs* [*simp, intro!*]: *finite* (*Defs* *c* *n*)  
 ⟨*proof*⟩

**lemma** *finite-Uses* [*simp, intro!*]: *finite* (*Uses* *c* *n*)  
 ⟨*proof*⟩

**definition** *while-cfg- $\alpha e$*  *c* = *Collect* (*valid-edge* (*transform* *c*))

**definition** *while-cfg- $\alpha n$*  *c* = *sorted-list-of-set* (*Collect* (*valid-node* (*transform* *c*)))

**definition** *while-cfg-invar* *c* = *True*

**definition** *while-cfg-inEdges'* *c* *t* = (*SOME* *ls. distinct ls*  $\wedge$  *set ls* =  $\{(sourcenode$  *e, kind* *e)| e. valid-edge* (*transform* *c*) *e*  $\wedge$  *targetnode* *e* = *t* $\}$ )

**definition** *while-cfg-Entry* *c* = (*-Entry-*)

**definition** *while-cfg-defs* *c* = (*Defs* (*transform* *c*))(*-Entry-*) :=  $\{v. \exists n. v \in \text{Uses}$  (*transform* *c*) *n* $\}$

**definition** *while-cfg-uses* *c* = *Uses* (*transform* *c*)

**abbreviation** *while-cfg-inEdges* *c* *t*  $\equiv$  *map* ( $\lambda(f,d). (f,d,t)$ ) (*while-cfg-inEdges'* *c* *t*)

**lemmas** *while-cfg-defs* = *while-cfg- $\alpha e$ -def* *while-cfg- $\alpha n$ -def*

*while-cfg-invar-def* *while-cfg-inEdges'-def*

*while-cfg-Entry-def* *while-cfg-defs-def*

*while-cfg-uses-def*

**interpretation** *while*: *graph-path* *while-cfg- $\alpha e$*  *while-cfg- $\alpha n$*  *while-cfg-invar* *while-cfg-inEdges'*  
 ⟨*proof*⟩

**lemma** *right-total-const*: *right-total* ( $\lambda x y. x = c$ )

*<proof>*

**lemma** *const-transfer: rel-fun* ( $\lambda x y. x = c$ ) (=)  $f$  ( $\lambda-. f c$ )  
*<proof>*

**interpretation** *while-ign: graph-path*  $\lambda-. \text{while-cfg-}\alpha e \text{ cmd}$   $\lambda-. \text{while-cfg-}\alpha n \text{ cmd}$   
 $\lambda-. \text{while-cfg-invar cmd}$   $\lambda-. \text{while-cfg-inEdges}' \text{ cmd}$   
*<proof>*

**definition** *gen-while-cfg*  $g \equiv$  ( $\mid$   
   $gen-\alpha e = \text{while-cfg-}\alpha e g,$   
   $gen-\alpha n = \text{while-cfg-}\alpha n g,$   
   $gen-inEdges = \text{while-cfg-inEdges } g,$   
   $gen-Entry = \text{while-cfg-Entry } g,$   
   $gen-defs = \text{while-cfg-defs } g,$   
   $gen-uses = \text{while-cfg-uses } g$   
 $\mid$ )

**lemma** *while-path-graph-pathD: While-CFG.path* ( $\text{transform } c$ )  $n \text{ es } m \implies \text{while.path2}$   
 $c n (n\#\text{map targetnode es}) m$   
*<proof>*

**lemma** *Uses-Entry [simp]: Uses*  $c (-Entry-) = \{\}$   
*<proof>*

**lemma** *in-Uses-valid-node: V*  $\in \text{Uses } c n \implies \text{valid-node } c n$   
*<proof>*

**lemma** *while-cfg-CFG-wf-impl:*  
   $SSA-CFG.CFG-wf (\lambda-. \text{gen-}\alpha e (\text{gen-while-cfg } \text{cmd})) (\lambda-. \text{gen-}\alpha n (\text{gen-while-cfg}$   
   $\text{cmd}))$   
     $(\lambda-. \text{while-cfg-invar cmd}) (\lambda-. \text{gen-inEdges}' (\text{gen-while-cfg } \text{cmd}))$   
     $(\lambda-. \text{gen-Entry } (\text{gen-while-cfg } \text{cmd})) (\lambda-. \text{gen-defs } (\text{gen-while-cfg } \text{cmd}))$   
     $(\lambda-. \text{gen-uses } (\text{gen-while-cfg } \text{cmd}))$   
*<proof>*

**lift-definition** *gen-while-cfg-wf*  $:: \text{cmd} \Rightarrow (w\text{-node}, v\text{name}, \text{state edge-kind}) \text{gen-cfg-wf}$   
  **is** *gen-while-cfg*  
*<proof>*

**definition** *build-ssa*  $\text{cmd} = \text{gen-ssa-wf-notriv-substAll } (\text{gen-ssa-cfg-wf } (\text{gen-while-cfg-wf}$   
 $\text{cmd}))$

**end**

## References

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