

# First Order Clause

Balazs Toth

March 17, 2025

## Abstract

This entry provides reusable theories that lift properties of first-order (ground and nonground) terms to atoms, literals, and clauses. These properties include substitutions, orders, entailment, and typing. The sessions `AFP/First_Order_Terms` and `AFP/Abstract_Substitution` are the basis of this entry.

## Contents

<b>1</b>	<b>Nonground Terms and Substitutions</b>	<b>17</b>
1.1	Unified naming . . . . .	17
1.2	Term . . . . .	17
1.3	Setup for lifting from terms . . . . .	19
<b>2</b>	<b>Nonground Contexts and Substitutions</b>	<b>19</b>
<b>3</b>	<b>Nonground Clauses and Substitutions</b>	<b>22</b>
3.1	Nonground Atoms . . . . .	22
3.2	Nonground Literals . . . . .	23
3.3	Nonground Literals - Alternative . . . . .	25
3.4	Nonground Clauses . . . . .	25
<b>4</b>	<b>Entailment</b>	<b>57</b>
<b>5</b>	<b>Restricted Orders</b>	<b>60</b>
5.1	Strict Orders . . . . .	60
5.2	Wellfounded Strict Orders . . . . .	61
5.3	Total Strict Orders . . . . .	61
<b>6</b>	<b>Orders with ground restrictions</b>	<b>66</b>
6.1	Ground substitution stability . . . . .	67
6.2	Substitution update stability . . . . .	68

<b>7</b>	<b>Multiset Extensions</b>	<b>69</b>
7.1	Wellfounded Multiset Extensions . . . . .	69
7.2	Total Multiset Extensions . . . . .	70
<b>8</b>	<b>Grounded Multiset Extensions</b>	<b>70</b>
8.1	Ground substitution stability . . . . .	72
8.2	Substitution update stability . . . . .	72

**9 Nonground Order** **77**

```

theory Ground-Term-Extra
  imports Regular-Tree-Relations.Ground-Terms
begin

lemma gterm-is-fun: is-Fun (term-of-gterm t)
  <proof>

no-notation subst-compose (infixl  $\circ_s$  75)
no-notation subst-apply-term (infixl  $\cdot$  67)

end
theory Ground-Context
  imports Ground-Term-Extra
begin

type-synonym 'f ground-context = ('f, 'f gterm) actxt

abbreviation (input) GHole ( $\langle \square_G \rangle$ ) where
   $\square_G \equiv \square$ 

abbreviation ctxt-apply-gterm ( $\langle \cdot \rangle_G$  [1000, 0] 1000) where
   $C \langle s \rangle_G \equiv GFun \langle C; s \rangle$ 

lemma le-size-gctxt: size t  $\leq$  size (c<t>_G)
  <proof>

lemma lt-size-gctxt: c  $\neq$   $\square \implies$  size t < size c<t>_G
  <proof>

lemma gctxt-ident-iff-eq-GHole[simp]: c<t>_G = t  $\longleftrightarrow$  c =  $\square$ 
  <proof>

end
theory Multiset-Extra
  imports
    HOL-Library.Multiset
    HOL-Library.Multiset-Order
    Nested-Multisets-Ordinals.Multiset-More
    Abstract-Substitution.Natural-Magma-Functor
begin

```

**lemma** *exists-multiset* [intro]:  $\exists M. x \in \text{set-mset } M$   
(proof)

**global-interpretation** *multiset-magma: natural-magma-with-empty* **where**  
*to-set* = *set-mset* **and** *plus* = (+) **and** *wrap* =  $\lambda l. \{\#l\#$  **and** *add* = *add-mset*  
**and** *empty* = {#}  
(proof)

**global-interpretation** *multiset-functor: finite-natural-functor* **where**  
*map* = *image-mset* **and** *to-set* = *set-mset*  
(proof)

**global-interpretation** *multiset-functor: natural-functor-conversion* **where**  
*map* = *image-mset* **and** *to-set* = *set-mset* **and** *map-to* = *image-mset* **and**  
*map-from* = *image-mset* **and**  
*map'* = *image-mset* **and** *to-set'* = *set-mset*  
(proof)

**global-interpretation** *multiset-functor: natural-magma-functor* **where**  
*map* = *image-mset* **and** *to-set* = *set-mset* **and** *plus* = (+) **and** *wrap* =  $\lambda l. \{\#l\#$   
**and** *add* = *add-mset*  
(proof)

**lemma** *one-le-countE*:  
**assumes**  $1 \leq \text{count } M \ x$   
**obtains**  $M'$  **where**  $M = \text{add-mset } x \ M'$   
(proof)

**lemma** *two-le-countE*:  
**assumes**  $2 \leq \text{count } M \ x$   
**obtains**  $M'$  **where**  $M = \text{add-mset } x \ (\text{add-mset } x \ M')$   
(proof)

**lemma** *three-le-countE*:  
**assumes**  $3 \leq \text{count } M \ x$   
**obtains**  $M'$  **where**  $M = \text{add-mset } x \ (\text{add-mset } x \ (\text{add-mset } x \ M'))$   
(proof)

**lemma** *one-step-implies-multp<sub>HO</sub>-strong*:  
**fixes**  $A \ B \ J \ K :: \text{- multiset}$   
**defines**  $J \equiv B - A$  **and**  $K \equiv A - B$   
**assumes**  $J \neq \{\#\}$  **and**  $\forall k \in \# \ K. \exists x \in \# \ J. R \ k \ x$   
**shows**  $\text{multp}_{HO} \ R \ A \ B$   
(proof)

**lemma** *Uniq-antimono*:  $Q \leq P \implies \text{Uniq } Q \geq \text{Uniq } P$   
(proof)

**lemma** *Uniq-antimono'*:  $(\bigwedge x. Q x \implies P x) \implies \text{Uniq } P \implies \text{Uniq } Q$   
 ⟨proof⟩

**lemma** *multp-singleton-right[simp]*:  
 assumes *transp R*  
 shows  $\text{multp } R \ M \ \{\#x\# \} \longleftrightarrow (\forall y \in\# \ M. \ R \ y \ x)$   
 ⟨proof⟩

**lemma** *multp-singleton-left[simp]*:  
 assumes *transp R*  
 shows  $\text{multp } R \ \{\#x\# \} \ M \longleftrightarrow (\{\#x\# \} \subset\# \ M \vee (\exists y \in\# \ M. \ R \ x \ y))$   
 ⟨proof⟩

**lemma** *multp-singleton-singleton[simp]*:  $\text{transp } R \implies \text{multp } R \ \{\#x\# \} \ \{\#y\# \} \longleftrightarrow$   
 $R \ x \ y$   
 ⟨proof⟩

**lemma** *multp-subset-supersetI*:  $\text{transp } R \implies \text{multp } R \ A \ B \implies C \subseteq\# \ A \implies B$   
 $\subseteq\# \ D \implies \text{multp } R \ C \ D$   
 ⟨proof⟩

**lemma** *multp-double-doubleI*:  
 assumes *transp R multp R A B*  
 shows  $\text{multp } R \ (A + A) \ (B + B)$   
 ⟨proof⟩

**lemma** *multp-implies-one-step-strong*:  
 fixes  $A \ B \ I \ J \ K :: \text{- multiset}$   
 assumes *transp R and asymp R and multp R A B*  
 defines  $J \equiv B - A$  and  $K \equiv A - B$   
 shows  $J \neq \{\#\}$  and  $\forall k \in\# \ K. \exists x \in\# \ J. \ R \ k \ x$   
 ⟨proof⟩

**lemma** *multp-double-doubleD*:  
 assumes *transp R and asymp R and multp R (A + A) (B + B)*  
 shows  $\text{multp } R \ A \ B$   
 ⟨proof⟩

**lemma** *multp-double-double*:  
 $\text{transp } R \implies \text{asymp } R \implies \text{multp } R \ (A + A) \ (B + B) \longleftrightarrow \text{multp } R \ A \ B$   
 ⟨proof⟩

**lemma** *multp-doubleton-doubleton[simp]*:  
 $\text{transp } R \implies \text{asymp } R \implies \text{multp } R \ \{\#x, x\# \} \ \{\#y, y\# \} \longleftrightarrow R \ x \ y$   
 ⟨proof⟩

**lemma** *multp-single-doubleI*:  $M \neq \{\#\} \implies \text{multp } R \ M \ (M + M)$   
 ⟨proof⟩

**lemma** *mult1-implies-one-step-strong*:

**assumes** *trans r and asym r and*  $(A, B) \in \text{mult1 } r$

**shows**  $B - A \neq \{\#\}$  **and**  $\forall k \in\# A - B. \exists j \in\# B - A. (k, j) \in r$   
*<proof>*

**lemma** *asympt-multp*:

**assumes** *asympt R and transp R*

**shows** *asympt (multp R)*

*<proof>*

**lemma** *multp-doubleton-singleton*:  $\text{transp } R \implies \text{multp } R \{\# x, x \# \} \{\# y \# \}$   
 $\longleftrightarrow R x y$

*<proof>*

**lemma** *image-mset-remove1-mset*:

**assumes** *inj f*

**shows**  $\text{remove1-mset } (f a) (\text{image-mset } f X) = \text{image-mset } f (\text{remove1-mset } a X)$

*<proof>*

**lemma** *multp<sub>DM</sub>-map-strong*:

**assumes**

*f-mono: monotone-on (set-mset (M1 + M2)) R S f and*

*M1-lt-M2: multp<sub>DM</sub> R M1 M2*

**shows**  $\text{multp}_{DM} S (\text{image-mset } f M1) (\text{image-mset } f M2)$   
*<proof>*

**lemma** *multp-map-strong*:

**assumes**

*transp: transp R and*

*f-mono: monotone-on (set-mset (M1 + M2)) R S f and*

*M1-lt-M2: multp R M1 M2*

**shows**  $\text{multp } S (\text{image-mset } f M1) (\text{image-mset } f M2)$   
*<proof>*

**lemma** *multp<sub>HO</sub>-add-mset*:

**assumes** *asympt R transp R R x y multp<sub>HO</sub> R X Y*

**shows**  $\text{multp}_{HO} R (\text{add-mset } x X) (\text{add-mset } y Y)$

*<proof>*

**lemma** *multp-add-mset*:

**assumes** *asympt R transp R R x y multp R X Y*

**shows**  $\text{multp } R (\text{add-mset } x X) (\text{add-mset } y Y)$

*<proof>*

**lemma** *multp-add-mset'*:

**assumes** *R x y*

**shows**  $\text{multp } R (\text{add-mset } x X) (\text{add-mset } y X)$

*<proof>*

**lemma** *multp-add-mset-reflclp*:

**assumes** *asympt R transp R R x y (multp R)<sup>==</sup> X Y*

**shows** *multp R (add-mset x X) (add-mset y Y)*

*<proof>*

**lemma** *multp-add-same [simp]*:

**assumes** *asympt R transp R*

**shows** *multp R (add-mset x X) (add-mset x Y)  $\longleftrightarrow$  multp R X Y*

*<proof>*

**lemma** *inj-mset-plus-same: inj ( $\lambda X :: 'a \text{ multiset} . X + X$ )*

*<proof>*

**lemma** *multp-image-lesseq-if-all-lesseq*:

**assumes**

*asympt: asympt R and*

*transp: transp R and*

*all-lesseq:  $\forall x \in \#X. R^{==} (f x) (g x)$*

**shows** *(multp R)<sup>==</sup> (image-mset f X) (image-mset g X)*

*<proof>*

**lemma** *multp-image-less-if-all-lesseq-ex-less*:

**assumes**

*asympt: asympt R and*

*transp: transp R and*

*all-less-eq:  $\forall x \in \#X. R^{==} (f x) (g x)$  and*

*ex-less:  $\exists x \in \#X. R (f x) (g x)$*

**shows** *multp R  $\{\# f x. x \in \# X \#\}$   $\{\# g x. x \in \# X \#\}$*

*<proof>*

**lemma** *not-reflp-multp<sub>DM</sub>:  $\neg$  reflp (multp<sub>DM</sub> R)*

*<proof>*

**lemma** *not-less-empty-multp<sub>DM</sub>:  $\neg$  multp<sub>DM</sub> R X  $\{\#\}$*

*<proof>*

**lemma** *not-reflp-multp<sub>HO</sub>:  $\neg$  reflp (multp<sub>HO</sub> R)*

*<proof>*

**lemma** *not-less-empty-multp<sub>HO</sub>:  $\neg$  multp<sub>HO</sub> R X  $\{\#\}$*

*<proof>*

**lemma** *not-refl-mult:  $\neg$  refl (mult R)*

*<proof>*

**lemma** *not-less-empty-mult*:  $(X, \{\#\}) \notin \text{mult } R$   
 ⟨*proof*⟩

**lemma** *empty-less-mult*:  $X \neq \{\#\} \implies (\{\#\}, X) \in \text{mult } R$   
 ⟨*proof*⟩

**lemma** *not-reflp-mult*:  $\neg \text{reflp } (\text{multp } R)$   
 ⟨*proof*⟩

**lemma** *empty-less-multp*:  $X \neq \{\#\} \implies \text{multp } R \ \{\#\} \ X$   
 ⟨*proof*⟩

**lemma** *not-less-empty-multp*:  $\neg \text{multp } R \ X \ \{\#\}$   
 ⟨*proof*⟩

**end**

**theory** *Uprod-Extra*

**imports**  
   *HOL-Library.Uprod*  
   *Multiset-Extra*  
   *Abstract-Substitution.Natural-Functor*

**begin**

**abbreviation** *upair* **where**  
    $\text{upair} \equiv \lambda(x, y). \text{Upair } x \ y$

**lemma** *Upair-sym*:  $\text{Upair } x \ y = \text{Upair } y \ x$   
 ⟨*proof*⟩

**lemma** *upair-in-sym* [*simp*]:  
**assumes** *sym* *I*  
**shows**  $\text{Upair } a \ b \in \text{upair } 'I \longleftrightarrow (a, b) \in I \wedge (b, a) \in I$   
 ⟨*proof*⟩

**lemma** *ex-ordered-Upair*:  
**assumes** *tot*: *totalp-on* (*set-uprod* *p*) *R*  
**shows**  $\exists x \ y. p = \text{Upair } x \ y \wedge R^{\text{==}} x \ y$   
 ⟨*proof*⟩

**definition** *mset-uprod* :: '*a* *uprod*  $\Rightarrow$  '*a* *multiset* **where**  
    $\text{mset-uprod} = \text{case-uprod } (\text{Abs-commute } (\lambda x \ y. \{\#x, y\}))$

**lemma** *Abs-commute-inverse-mset*[*simp*]:  
*apply-commute* (*Abs-commute* ( $\lambda x \ y. \{\#x, y\}$ )) = ( $\lambda x \ y. \{\#x, y\}$ )  
 ⟨*proof*⟩

**lemma** *set-mset-mset-uprod*[*simp*]:  $\text{set-mset } (\text{mset-uprod } \text{up}) = \text{set-uprod } \text{up}$   
 ⟨*proof*⟩

**lemma** *mset-uprod-Upair[simp]*:  $mset-uprod (Upair\ x\ y) = \{\#x, y\#\}$   
 ⟨proof⟩

**lemma** *map-uprod-inverse*:  $(\bigwedge x. f (g\ x) = x) \implies (\bigwedge y. map-uprod\ f (map-uprod\ g\ y) = y)$   
 ⟨proof⟩

**lemma** *mset-uprod-image-mset*:  $mset-uprod (map-uprod\ f\ p) = image-mset\ f (mset-uprod\ p)$   
 ⟨proof⟩

**lemma** *ball-set-uprod [simp]*:  $(\forall t \in set-uprod (Upair\ t_1\ t_2). P\ t) \longleftrightarrow P\ t_1 \wedge P\ t_2$   
 ⟨proof⟩

**lemma** *inj-mset-uprod*: *inj mset-uprod*  
 ⟨proof⟩

**lemma** *mset-uprod-plus-neg*:  $mset-uprod\ a \neq mset-uprod\ b + mset-uprod\ b$   
 ⟨proof⟩

**lemma** *set-uprod-not-empty*:  $set-uprod\ a \neq \{\}$   
 ⟨proof⟩

**lemma** *exists-uprod [intro]*:  $\exists a. x \in set-uprod\ a$   
 ⟨proof⟩

**global-interpretation** *uprod-functor*: *finite-natural-functor* **where**  $map = map-uprod$   
**and**  $to-set = set-uprod$   
 ⟨proof⟩

**global-interpretation** *uprod-functor*: *natural-functor-conversion* **where**  
 $map = map-uprod$  **and**  $to-set = set-uprod$  **and**  $map-to = map-uprod$  **and**  $map-from$   
 $= map-uprod$  **and**  
 $map' = map-uprod$  **and**  $to-set' = set-uprod$   
 ⟨proof⟩

**end**

**theory** *Ground-Clause*

**imports**

*Saturation-Framework-Extensions.Clausal-Calculus*

*Ground-Term-Extra*

*Ground-Context*

*Uprod-Extra*

**begin**

**type-synonym**  $'f\ gatom = 'f\ gterm\ uprod$

**end**



```

theory Typing
  imports Main
begin

locale predicate-typed =
  fixes typed :: 'expr  $\Rightarrow$  'ty  $\Rightarrow$  bool
  assumes right-unique: right-unique typed
begin

abbreviation is-typed where
  is-typed expr  $\equiv \exists \tau. \text{typed } expr \ \tau$ 

lemmas right-uniqueD [dest] = right-uniqueD[OF right-unique]

end

definition uniform-typed-lifting where
  uniform-typed-lifting to-set sub-typed expr  $\equiv \exists \tau. \forall sub \in \text{to-set } expr. \text{sub-typed } sub \ \tau$ 

definition is-typed-lifting where
  is-typed-lifting to-set sub-is-typed expr  $\equiv \forall sub \in \text{to-set } expr. \text{sub-is-typed } sub$ 

locale typing =
  fixes is-typed is-welltyped
  assumes is-typed-if-is-welltyped:
     $\bigwedge expr. \text{is-welltyped } expr \Longrightarrow \text{is-typed } expr$ 

locale explicit-typing =
  typed: predicate-typed where typed = typed +
  welltyped: predicate-typed where typed = welltyped
for typed welltyped :: 'expr  $\Rightarrow$  'ty  $\Rightarrow$  bool +
assumes typed-if-welltyped:  $\bigwedge expr \ \tau. \text{welltyped } expr \ \tau \Longrightarrow \text{typed } expr \ \tau$ 
begin

abbreviation is-typed where
  is-typed  $\equiv \text{typed.is-typed}$ 

abbreviation is-welltyped where
  is-welltyped  $\equiv \text{welltyped.is-typed}$ 

sublocale typing where is-typed = is-typed and is-welltyped = is-welltyped
   $\langle \text{proof} \rangle$ 

lemma typed-welltyped-same-type:
  assumes typed expr  $\tau$  welltyped expr  $\tau'$ 
  shows  $\tau = \tau'$ 
   $\langle \text{proof} \rangle$ 

```

```

end

locale uniform-typing-lifting =
  sub: explicit-typing where typed = sub-typed and welltyped = sub-welltyped
for sub-typed sub-welltyped :: 'sub ⇒ 'ty ⇒ bool +
fixes to-set :: 'expr ⇒ 'sub set
begin

abbreviation is-typed where
  is-typed ≡ uniform-typed-lifting to-set sub-typed

lemmas is-typed-def = uniform-typed-lifting-def[of to-set sub-typed]

abbreviation is-welltyped where
  is-welltyped ≡ uniform-typed-lifting to-set sub-welltyped

lemmas is-welltyped-def = uniform-typed-lifting-def[of to-set sub-welltyped]

sublocale typing where is-typed = is-typed and is-welltyped = is-welltyped
  ⟨proof⟩

end

locale typing-lifting =
  sub: typing where is-typed = sub-is-typed and is-welltyped = sub-is-welltyped
for sub-is-typed sub-is-welltyped :: 'sub ⇒ bool +
fixes
  to-set :: 'expr ⇒ 'sub set
begin

abbreviation is-typed where
  is-typed ≡ is-typed-lifting to-set sub-is-typed

lemmas is-typed-def = is-typed-lifting-def[of to-set sub-is-typed]

abbreviation is-welltyped where
  is-welltyped ≡ is-typed-lifting to-set sub-is-welltyped

lemmas is-welltyped-def = is-typed-lifting-def[of to-set sub-is-welltyped]

sublocale typing where is-typed = is-typed and is-welltyped = is-welltyped
  ⟨proof⟩

end

end
theory Natural-Magma-Typing-Lifting
imports
  Abstract-Substitution.Natural-Magma

```

```

    Typing
  begin

  locale natural-magma-is-typed-lifting = natural-magma where to-set = to-set
    for to-set :: 'expr  $\Rightarrow$  'sub set +
    fixes sub-is-typed :: 'sub  $\Rightarrow$  bool
  begin

  abbreviation (input) is-typed where
    is-typed  $\equiv$  is-typed-lifting to-set sub-is-typed

  lemma add [simp]:
    is-typed (add sub M)  $\longleftrightarrow$  sub-is-typed sub  $\wedge$  is-typed M
    <proof>

  lemma plus [simp]:
    is-typed (plus M M')  $\longleftrightarrow$  is-typed M  $\wedge$  is-typed M'
    <proof>

  end

  locale natural-magma-with-empty-is-typed-lifting =
    natural-magma-is-typed-lifting + natural-magma-with-empty
  begin

  lemma empty [intro]: is-typed empty
    <proof>

  end

  locale natural-magma-typing-lifting = typing-lifting + natural-magma
  begin

  sublocale is-typed: natural-magma-is-typed-lifting where sub-is-typed = sub-is-typed
    <proof>

  sublocale is-welltyped: natural-magma-is-typed-lifting where sub-is-typed = sub-is-welltyped
    <proof>

  end

  locale natural-magma-with-empty-typing-lifting =
    natural-magma-typing-lifting + natural-magma-with-empty
  begin

  sublocale is-typed: natural-magma-with-empty-is-typed-lifting where sub-is-typed
    = sub-is-typed
    <proof>

```

```

sublocale is-welltyped: natural-magma-with-empty-is-typed-lifting where
  sub-is-typed = sub-is-welltyped
  ⟨proof⟩

end

end
theory Multiset-Typing-Lifting
  imports
    Natural-Magma-Typing-Lifting
    Multiset-Extra
    Abstract-Substitution.Functional-Substitution-Lifting
begin

locale multiset-typing-lifting = typing-lifting where to-set = set-mset
begin

sublocale natural-magma-with-empty-typing-lifting where
  to-set = set-mset and plus = (+) and wrap = λl. {#l#} and add = add-mset
and empty = {#}
  ⟨proof⟩

end

end
theory Clausal-Calculus-Extra
  imports
    Saturation-Framework-Extensions.Clausal-Calculus
    Uprod-Extra
begin

lemma literal-cases:  $\llbracket \mathcal{P} \in \{Pos, Neg\}; \mathcal{P} = Pos \implies P; \mathcal{P} = Neg \implies P \rrbracket \implies P$ 
  ⟨proof⟩

lemma map-literal-inverse:
   $(\bigwedge x. f (g x) = x) \implies (\bigwedge l. \text{map-literal } f (\text{map-literal } g l) = l)$ 
  ⟨proof⟩

lemma map-literal-comp:
   $\text{map-literal } f (\text{map-literal } g l) = \text{map-literal } (\lambda a. f (g a)) l$ 
  ⟨proof⟩

lemma literals-distinct [simp]:  $Pos \neq Neg \quad Neg \neq Pos$ 
  ⟨proof⟩

primrec mset-lit :: 'a uprod literal  $\Rightarrow$  'a multiset where
  mset-lit (Pos a) = mset-uprod a |
  mset-lit (Neg a) = mset-uprod a + mset-uprod a

```

**lemma** *mset-lit-image-mset*:  $mset\text{-lit } (map\text{-literal } (map\text{-uprod } f) l) = image\text{-mset } f (mset\text{-lit } l)$   
 ⟨proof⟩

**lemma** *uprod-mem-image-iff-prod-mem*[simp]:  
 assumes  $sym\ I$   
 shows  $(Upair\ t\ t') \in (\lambda(t_1, t_2).\ Upair\ t_1\ t_2) \text{ ' } I \longleftrightarrow (t, t') \in I$   
 ⟨proof⟩

**lemma** *true-lit-uprod-iff-true-lit-prod*[simp]:  
 assumes  $sym\ I$   
 shows  
 $upair \text{ ' } I \models Pos\ (Upair\ t\ t') \longleftrightarrow I \models Pos\ (t, t')$   
 $upair \text{ ' } I \models Neg\ (Upair\ t\ t') \longleftrightarrow I \models Neg\ (t, t')$   
 ⟨proof⟩

**abbreviation** *Pos-Upair* (infix  $\approx 66$ ) where  
 $Pos\text{-Upair } t\ t' \equiv Pos\ (Upair\ t\ t')$

**abbreviation** *Neg-Upair* (infix  $!\approx 66$ ) where  
 $Neg\text{-Upair } t\ t' \equiv Neg\ (Upair\ t\ t')$

**lemma** *exists-literal-for-atom* [intro]:  $\exists l.\ a \in set\text{-literal } l$   
 ⟨proof⟩

**lemma** *exists-literal-for-term* [intro]:  $\exists l.\ t \in\# mset\text{-lit } l$   
 ⟨proof⟩

**lemma** *finite-set-literal* [intro]:  $finite\ (set\text{-literal } l)$   
 ⟨proof⟩

**lemma** *map-literal-map-uprod-cong*:  
 assumes  $\bigwedge t.\ t \in\# mset\text{-lit } l \implies f\ t = g\ t$   
 shows  $map\text{-literal } (map\text{-uprod } f) l = map\text{-literal } (map\text{-uprod } g) l$   
 ⟨proof⟩

**lemma** *set-mset-set-uprod*:  $set\text{-mset } (mset\text{-lit } l) = set\text{-uprod } (atm\text{-of } l)$   
 ⟨proof⟩

**lemma** *mset-lit-set-literal*:  $t \in\# mset\text{-lit } l \longleftrightarrow t \in \bigcup (set\text{-uprod } \text{ ' } set\text{-literal } l)$   
 ⟨proof⟩

**lemma** *inj-mset-lit*:  $inj\ mset\text{-lit}$   
 ⟨proof⟩

**global-interpretation** *literal-functor*: *finite-natural-functor* where  
 $map = map\text{-literal}$  and  $to\text{-set} = set\text{-literal}$   
 ⟨proof⟩

**global-interpretation** *literal-functor: natural-functor-conversion* **where**  
 $map = map\text{-}literal$  **and**  $to\text{-}set = set\text{-}literal$  **and**  $map\text{-}to = map\text{-}literal$  **and**  
 $map\text{-}from = map\text{-}literal$  **and**  
 $map' = map\text{-}literal$  **and**  $to\text{-}set' = set\text{-}literal$   
 $\langle proof \rangle$

**abbreviation** *uprod-literal-to-set* **where**  $uprod\text{-}literal\text{-}to\text{-}set\ l \equiv set\text{-}mset\ (mset\text{-}lit\ l)$

**abbreviation** *map-uprod-literal* **where**  $map\text{-}uprod\text{-}literal\ f \equiv map\text{-}literal\ (map\text{-}uprod\ f)$

**global-interpretation** *uprod-literal-functor: finite-natural-functor* **where**  
 $map = map\text{-}uprod\text{-}literal$  **and**  $to\text{-}set = uprod\text{-}literal\text{-}to\text{-}set$   
 $\langle proof \rangle$

**global-interpretation** *uprod-literal-functor: natural-functor-conversion* **where**  
 $map = map\text{-}uprod\text{-}literal$  **and**  $to\text{-}set = uprod\text{-}literal\text{-}to\text{-}set$  **and**  $map\text{-}to = map\text{-}uprod\text{-}literal$   
**and**  
 $map\text{-}from = map\text{-}uprod\text{-}literal$  **and**  $map' = map\text{-}uprod\text{-}literal$  **and**  $to\text{-}set' = uprod\text{-}literal\text{-}to\text{-}set$   
 $\langle proof \rangle$

**lemma** *exists-inference* [intro]:  $\exists \iota. f \in set\text{-}inference\ \iota$   
 $\langle proof \rangle$

**lemma** *finite-set-inference* [intro]:  $finite\ (set\text{-}inference\ \iota)$   
 $\langle proof \rangle$

**global-interpretation** *inference-functor: finite-natural-functor* **where**  
 $map = map\text{-}inference$  **and**  $to\text{-}set = set\text{-}inference$   
 $\langle proof \rangle$

**global-interpretation** *inference-functor: natural-functor-conversion* **where**  
 $map = map\text{-}inference$  **and**  $to\text{-}set = set\text{-}inference$  **and**  $map\text{-}to = map\text{-}inference$   
**and**  
 $map\text{-}from = map\text{-}inference$  **and**  $map' = map\text{-}inference$  **and**  $to\text{-}set' = set\text{-}inference$   
 $\langle proof \rangle$

**end**

**theory** *Clause-Typing*

**imports**

*Multiset-Typing-Lifting*

*Clausal-Calculus-Extra*

*Multiset-Extra*

*Uprod-Extra*

**begin**

**locale** *clause-typing* =  
*term*: *explicit-typing term-typed term-welltyped*  
**for** *term-typed term-welltyped*  
**begin**

**sublocale** *atom: uniform-typing-lifting* **where**  
*sub-typed* = *term-typed* **and**  
*sub-welltyped* = *term-welltyped* **and**  
*to-set* = *set-uprod*  
*<proof>*

**lemma** *atom-is-typed-iff* [*simp*]:  
*atom.is-typed* (*Upair t t'*)  $\longleftrightarrow$  ( $\exists \tau. \textit{term-typed } t \ \tau \wedge \textit{term-typed } t' \ \tau$ )  
*<proof>*

**lemma** *atom-is-welltyped-iff* [*simp*]:  
*atom.is-welltyped* (*Upair t t'*)  $\longleftrightarrow$  ( $\exists \tau. \textit{term-welltyped } t \ \tau \wedge \textit{term-welltyped } t' \ \tau$ )  
*<proof>*

**sublocale** *literal: typing-lifting* **where**  
*sub-is-typed* = *atom.is-typed* **and**  
*sub-is-welltyped* = *atom.is-welltyped* **and**  
*to-set* = *set-literal*  
*<proof>*

**lemma** *literal-is-typed-iff* [*simp*]:  
*literal.is-typed* ( $t \approx t'$ )  $\longleftrightarrow$  *atom.is-typed* (*Upair t t'*)  
*literal.is-typed* ( $t \not\approx t'$ )  $\longleftrightarrow$  *atom.is-typed* (*Upair t t'*)  
*<proof>*

**lemma** *literal-is-welltyped-iff* [*simp*]:  
*literal.is-welltyped* ( $t \approx t'$ )  $\longleftrightarrow$  *atom.is-welltyped* (*Upair t t'*)  
*literal.is-welltyped* ( $t \not\approx t'$ )  $\longleftrightarrow$  *atom.is-welltyped* (*Upair t t'*)  
*<proof>*

**lemma** *literal-is-typed-iff-atm-of*: *literal.is-typed* *l*  $\longleftrightarrow$  *atom.is-typed* (*atm-of l*)  
*<proof>*

**lemma** *literal-is-welltyped-iff-atm-of*:  
*literal.is-welltyped* *l*  $\longleftrightarrow$  *atom.is-welltyped* (*atm-of l*)  
*<proof>*

**sublocale** *clause: multiset-typing-lifting* **where**  
*sub-is-typed* = *literal.is-typed* **and**  
*sub-is-welltyped* = *literal.is-welltyped*  
*<proof>*

**end**

```

end
theory Context-Extra
  imports First-Order-Terms.Subterm-and-Context
begin

no-notation subst-compose (infixl  $\circ_s$  75)
no-notation subst-apply-term (infixl  $\cdot$  67)

end
theory Term-Typing
  imports Typing Context-Extra
begin

type-synonym ('f, 'ty) fun-types = 'f  $\Rightarrow$  'ty list  $\times$  'ty

locale context-compatible-typing =
  fixes Fun typed
  assumes
    context-compatible [intro]:
       $\bigwedge t t' c \tau \tau'. \text{typed } t \tau' \Longrightarrow \text{typed } t' \tau' \Longrightarrow \text{typed } (\text{Fun}\langle c; t \rangle) \tau \Longrightarrow \text{typed } (\text{Fun}\langle c; t' \rangle) \tau$ 

  locale subterm-typing =
    fixes Fun typed
    assumes
      subterm':  $\bigwedge f ts \tau . \text{typed } (\text{Fun } f \text{ } ts) \tau \Longrightarrow \forall t \in \text{set } ts. \exists \tau'. \text{typed } t \tau'$ 
  begin

lemma subterm:  $\text{typed } (\text{Fun}\langle c; t \rangle) \tau \Longrightarrow \exists \tau. \text{typed } t \tau$ 
  <proof>

end

locale term-typing =
  explicit-typing +
  typed: context-compatible-typing where typed = typed +
  welltyped: context-compatible-typing where typed = welltyped +
  welltyped: subterm-typing where typed = welltyped +
  assumes all-terms-are-typed:  $\bigwedge t. \text{is-typed } t$ 
begin

sublocale typed: subterm-typing
  <proof>

end

```



```

end
theory Ground-Typing
  imports
    Ground-Clause
    Clause-Typing
    Term-Typing
  begin

  inductive typed for  $\mathcal{F}$  where
     $GFun: \mathcal{F} f = (\tau s, \tau) \implies typed \mathcal{F} (GFun f ts) \tau$ 

  inductive welltyped for  $\mathcal{F}$  where
     $GFun: \mathcal{F} f = (\tau s, \tau) \implies list-all2 (welltyped \mathcal{F}) ts \tau s \implies welltyped \mathcal{F} (GFun f ts) \tau$ 

  locale ground-term-typing =
    fixes  $\mathcal{F} :: ('f, 'ty) fun-types$ 
  begin

  abbreviation typed where  $typed \equiv Ground-Typing.typed \mathcal{F}$ 
  abbreviation welltyped where  $welltyped \equiv Ground-Typing.welltyped \mathcal{F}$ 

  sublocale explicit-typing where  $typed = typed$  and  $welltyped = welltyped$ 
   $\langle proof \rangle$ 

  sublocale term-typing where  $typed = typed$  and  $welltyped = welltyped$  and  $Fun = GFun$ 
   $\langle proof \rangle$ 

  end

  locale ground-typing = term: ground-term-typing
  begin

  sublocale clause-typing where  $term-typed = term.typed$  and  $term-welltyped = term.welltyped$ 
   $\langle proof \rangle$ 

  end

  end
theory Nonground-Term
  imports
    Abstract-Substitution.Substitution-First-Order-Term
    Abstract-Substitution.Functional-Substitution-Lifting
    Ground-Term-Extra
  begin

  no-notation subst-compose (infixl  $\circ_s$  75)

```

**notation** *subst-compose* (**infixl**  $\odot$  75)

**no-notation** *subst-apply-term* (**infixl**  $\cdot$  67)

**notation** *subst-apply-term* (**infixl**  $\cdot$  t 67)

Prefer *term-subst.subst-id-subst* to *subst-apply-term-empty*.

**declare** *subst-apply-term-empty*[no-atp]

## 1 Nonground Terms and Substitutions

**type-synonym** *'f ground-term* = *'f gterm*

### 1.1 Unified naming

**locale** *vars-def* =

**fixes** *vars-def* :: *'expr*  $\Rightarrow$  *'var*

**begin**

**abbreviation** *vars*  $\equiv$  *vars-def*

**end**

**locale** *grounding-def* =

**fixes**

*to-ground-def* :: *'expr*  $\Rightarrow$  *'expr<sub>G</sub>* **and**

*from-ground-def* :: *'expr<sub>G</sub>*  $\Rightarrow$  *'expr*

**begin**

**abbreviation** *to-ground*  $\equiv$  *to-ground-def*

**abbreviation** *from-ground*  $\equiv$  *from-ground-def*

**end**

### 1.2 Term

**locale** *nonground-term-properties* =

*base-functional-substitution* +

*finite-variables* +

*all-subst-ident-iff-ground*

**locale** *term-grounding* =

*variables-in-base-imgu* **where** *base-vars* = *vars* **and** *base-subst* = *subst* +

*grounding*

**locale** *nonground-term*

**begin**

**sublocale** *vars-def* **where** *vars-def* = *vars-term*  $\langle$ *proof* $\rangle$

**sublocale** *grounding-def* **where**

*to-ground-def* = *gterm-of-term* **and** *from-ground-def* = *term-of-gterm*  $\langle$ *proof* $\rangle$

**lemma** *infinite-terms* [*intro*]: *infinite* (*UNIV* :: (*'f*, *'v*) *term set*)  
 $\langle$ *proof* $\rangle$

**sublocale** *nonground-term-properties* **where**

*subst* =  $(\cdot t)$  **and** *id-subst* = *Var* **and** *comp-subst* =  $(\odot)$  **and**

*vars* = *vars* :: (*'f*, *'v*) *term*  $\Rightarrow$  *'v set*

$\langle$ *proof* $\rangle$

**sublocale** *renaming-variables* **where**

*vars* = *vars* :: (*'f*, *'v*) *term*  $\Rightarrow$  *'v set* **and** *subst* =  $(\cdot t)$  **and** *id-subst* = *Var* **and**

*comp-subst* =  $(\odot)$

$\langle$ *proof* $\rangle$

**sublocale** *term-grounding* **where**

*subst* =  $(\cdot t)$  **and** *id-subst* = *Var* **and** *comp-subst* =  $(\odot)$  **and**

*vars* = *vars* :: (*'f*, *'v*) *term*  $\Rightarrow$  *'v set* **and** *from-ground* = *from-ground* **and**

*to-ground* = *to-ground*

$\langle$ *proof* $\rangle$

**lemma** *term-context-ground-iff-term-is-ground* [*simp*]: *Term-Context*.*ground* *t* =  
*is-ground* *t*

$\langle$ *proof* $\rangle$

**declare** *Term-Context*.*ground-vars-term-empty* [*simp del*]

**lemma** *obtain-ground-fun*:

**assumes** *is-ground* *t*

**obtains** *f ts* **where** *t* = *Fun* *f ts*

$\langle$ *proof* $\rangle$

**end**

### 1.3 Setup for lifting from terms

**locale** *lifting* =

*based-functional-substitution-lifting* +

*all-subst-ident-iff-ground-lifting* +

*grounding-lifting* +

*renaming-variables-lifting* +

*variables-in-base-ingu-lifting*

**locale** *term-based-lifting* =

*term*: *nonground-term* +

*lifting* **where**

$comp\text{-}subst = (\odot)$  **and**  $id\text{-}subst = Var$  **and**  $base\text{-}subst = (\cdot t)$  **and**  $base\text{-}vars = term.vars$

**end**  
**theory** *Nonground-Context*  
**imports**  
*Nonground-Term*  
*Ground-Context*  
**begin**

## 2 Nonground Contexts and Substitutions

**type-synonym**  $(f, 'v) context = (f, 'v) ctxt$

**abbreviation**  $subst\text{-}apply\text{-}ctxt ::$   
 $(f, 'v) context \Rightarrow (f, 'v) subst \Rightarrow (f, 'v) context$  (**infixl**  $\cdot t_c$  67) **where**  
 $subst\text{-}apply\text{-}ctxt \equiv subst\text{-}apply\text{-}actxt$

**global-interpretation**  $context: finite\text{-}natural\text{-}functor$  **where**  
 $map = map\text{-}args\text{-}actxt$  **and**  $to\text{-}set = set2\text{-}actxt$   
 $\langle proof \rangle$

**global-interpretation**  $context: natural\text{-}functor\text{-}conversion$  **where**  
 $map = map\text{-}args\text{-}actxt$  **and**  $to\text{-}set = set2\text{-}actxt$  **and**  $map\text{-}to = map\text{-}args\text{-}actxt$   
**and**  
 $map\text{-}from = map\text{-}args\text{-}actxt$  **and**  $map' = map\text{-}args\text{-}actxt$  **and**  $to\text{-}set' = set2\text{-}actxt$   
 $\langle proof \rangle$

**locale**  $nonground\text{-}context =$   
 $term: nonground\text{-}term$   
**begin**

**sublocale**  $term\text{-}based\text{-}lifting$  **where**  
 $sub\text{-}subst = (\cdot t)$  **and**  $sub\text{-}vars = term.vars$  **and**  
 $to\text{-}set = set2\text{-}actxt :: (f, 'v) context \Rightarrow (f, 'v) term set$  **and**  $map = map\text{-}args\text{-}actxt$   
**and**  
 $sub\text{-}to\text{-}ground = term.to\text{-}ground$  **and**  $sub\text{-}from\text{-}ground = term.from\text{-}ground$  **and**  
 $to\text{-}ground\text{-}map = map\text{-}args\text{-}actxt$  **and**  $from\text{-}ground\text{-}map = map\text{-}args\text{-}actxt$  **and**  
 $ground\text{-}map = map\text{-}args\text{-}actxt$  **and**  $to\text{-}set\text{-}ground = set2\text{-}actxt$   
**rewrites**  
 $\bigwedge c \sigma. subst\ c \sigma = c \cdot t_c \sigma$  **and**  
 $\bigwedge c. vars\ c = vars\text{-}ctxt\ c$   
 $\langle proof \rangle$

**lemma**  $ground\text{-}ctxt\text{-}iff\text{-}context\text{-}is\text{-}ground$  [*simp*]:  $ground\text{-}ctxt\ c \longleftrightarrow is\text{-}ground\ c$   
 $\langle proof \rangle$

**lemma**  $term\text{-}to\text{-}ground\text{-}context\text{-}to\text{-}ground$  [*simp*]:

**shows**  $term.to-ground\ c\langle t \rangle = (to-ground\ c)\langle term.to-ground\ t \rangle_G$   
*<proof>*

**lemma** *term-from-ground-context-from-ground* [simp]:  
 $term.from-ground\ c_G\langle t_G \rangle_G = (from-ground\ c_G)\langle term.from-ground\ t_G \rangle$   
*<proof>*

**lemma** *term-from-ground-context-to-ground*:  
**assumes** *is-ground*  $c$   
**shows**  $term.from-ground\ (to-ground\ c)\langle t_G \rangle_G = c\langle term.from-ground\ t_G \rangle$   
*<proof>*

**lemmas** *safe-unfolds* =  
*eval-ctxt*  
*term-to-ground-context-to-ground*  
*term-from-ground-context-from-ground*

**lemma** *composed-context-is-ground* [simp]:  
 $is-ground\ (c\ \circ_c\ c') \longleftrightarrow is-ground\ c \wedge is-ground\ c'$   
*<proof>*

**lemma** *ground-context-subst*:  
**assumes**  
*is-ground*  $c_G$   
 $c_G = (c \cdot t_c\ \sigma) \circ_c\ c'$   
**shows**  
 $c_G = c \circ_c\ c' \cdot t_c\ \sigma$   
*<proof>*

**lemma** *from-ground-hole* [simp]:  $from-ground\ c_G = \square \longleftrightarrow c_G = \square$   
*<proof>*

**lemma** *hole-simps* [simp]:  $from-ground\ \square = \square\ to-ground\ \square = \square$   
*<proof>*

**lemma** *term-with-context-is-ground* [simp]:  
 $term.is-ground\ c\langle t \rangle \longleftrightarrow is-ground\ c \wedge term.is-ground\ t$   
*<proof>*

**lemma** *map-args-actxt-compose* [simp]:  
 $map-args-actxt\ f\ (c \circ_c\ c') = map-args-actxt\ f\ c \circ_c\ map-args-actxt\ f\ c'$   
*<proof>*

**lemma** *from-ground-compose* [simp]:  $from-ground\ (c \circ_c\ c') = from-ground\ c \circ_c\ from-ground\ c'$   
*<proof>*

**lemma** *to-ground-compose* [simp]:  $to-ground\ (c \circ_c\ c') = to-ground\ c \circ_c\ to-ground$

```

c'
  ⟨proof⟩

end

locale nonground-term-with-context =
  term: nonground-term +
  context: nonground-context

end

theory Multiset-Grounding-Lifting
  imports
    HOL-Library.Multiset
    Abstract-Substitution.Functional-Substitution-Lifting
  begin

locale multiset-grounding-lifting =
  functional-substitution-lifting where to-set = set-mset and map = image-mset
+
  grounding-lifting where
  to-set = set-mset and map = image-mset and to-ground-map = image-mset and
  from-ground-map = image-mset and ground-map = image-mset and to-set-ground
= set-mset
begin

sublocale natural-magma-with-empty-grounding-lifting where
  plus = (+) and wrap = λl. {#l#} and plus-ground = (+) and wrap-ground =
λl. {#l#} and
  empty = {#} and empty-ground = {#} and to-set = set-mset and map =
image-mset and
  to-ground-map = image-mset and from-ground-map = image-mset and ground-map
= image-mset and
  to-set-ground = set-mset and add = add-mset and add-ground = add-mset
  ⟨proof⟩

sublocale natural-magma-functor-functional-substitution-lifting where
  plus = (+) and wrap = λl. {#l#} and to-set = set-mset and map = image-mset
and add = add-mset
  ⟨proof⟩

end

end

theory Nonground-Clause
  imports
    Ground-Clause
    Nonground-Term
    Nonground-Context
    Clausal-Calculus-Extra

```

*Multiset-Extra*  
*Multiset-Grounding-Lifting*  
**begin**

### 3 Nonground Clauses and Substitutions

**type-synonym** *'f ground-atom* = *'f gatom*  
**type-synonym** (*'f, 'v*) *atom* = (*'f, 'v*) *term uprod*

**locale** *term-based-multiset-lifting* =  
*term-based-lifting* **where**  
*map* = *image-mset* **and** *to-set* = *set-mset* **and** *to-ground-map* = *image-mset* **and**  
*from-ground-map* = *image-mset* **and** *ground-map* = *image-mset* **and** *to-set-ground*  
= *set-mset*  
**begin**

**sublocale** *multiset-grounding-lifting* **where**  
*id-subst* = *Var* **and** *comp-subst* = ( $\odot$ )  
*<proof>*

**end**

**locale** *nonground-clause* = *nonground-term-with-context*  
**begin**

#### 3.1 Nonground Atoms

**sublocale** *atom: term-based-lifting* **where**  
*sub-subst* = ( $\cdot t$ ) **and** *sub-vars* = *term.vars* **and** *map* = *map-uprod* **and** *to-set* =  
*set-uprod* **and**  
*sub-to-ground* = *term.to-ground* **and** *sub-from-ground* = *term.from-ground* **and**  
*to-ground-map* = *map-uprod* **and** *from-ground-map* = *map-uprod* **and** *ground-map*  
= *map-uprod* **and**  
*to-set-ground* = *set-uprod*  
*<proof>*

**notation** *atom.subst* (**infixl**  $\cdot a$  67)

**lemma** *vars-atom* [*simp*]: *atom.vars* (*Upair*  $t_1$   $t_2$ ) = *term.vars*  $t_1 \cup$  *term.vars*  $t_2$   
*<proof>*

**lemma** *subst-atom* [*simp*]:  
*Upair*  $t_1$   $t_2 \cdot a$   $\sigma$  = *Upair* ( $t_1 \cdot t$   $\sigma$ ) ( $t_2 \cdot t$   $\sigma$ )  
*<proof>*

**lemma** *atom-from-ground-term-from-ground* [*simp*]:  
*atom.from-ground* (*Upair*  $t_{G1}$   $t_{G2}$ ) = *Upair* (*term.from-ground*  $t_{G1}$ ) (*term.from-ground*  
 $t_{G2}$ )  
*<proof>*

**lemma** *atom-to-ground-term-to-ground* [simp]:

*atom.to-ground* (*Upair*  $t_1$   $t_2$ ) = *Upair* (*term.to-ground*  $t_1$ ) (*term.to-ground*  $t_2$ )  
 ⟨*proof*⟩

**lemma** *atom-is-ground-term-is-ground* [simp]:

*atom.is-ground* (*Upair*  $t_1$   $t_2$ )  $\longleftrightarrow$  *term.is-ground*  $t_1$   $\wedge$  *term.is-ground*  $t_2$   
 ⟨*proof*⟩

**lemma** *obtain-from-atom-subst*:

**assumes** *Upair*  $t_1'$   $t_2'$  =  $a \cdot a$   $\sigma$   
**obtains**  $t_1$   $t_2$   
**where**  $a$  = *Upair*  $t_1$   $t_2$   $t_1'$  =  $t_1 \cdot t$   $\sigma$   $t_2'$  =  $t_2 \cdot t$   $\sigma$   
 ⟨*proof*⟩

### 3.2 Nonground Literals

**sublocale** *literal*: *term-based-lifting* **where**

*sub-subst* = *atom.subst* **and** *sub-vars* = *atom.vars* **and** *map* = *map-literal* **and**  
*to-set* = *set-literal* **and** *sub-to-ground* = *atom.to-ground* **and**  
*sub-from-ground* = *atom.from-ground* **and** *to-ground-map* = *map-literal* **and**  
*from-ground-map* = *map-literal* **and** *ground-map* = *map-literal* **and** *to-set-ground*  
 = *set-literal*  
 ⟨*proof*⟩

**notation** *literal.subst* (infixl  $\cdot l$  66)

**lemma** *vars-literal* [simp]:

*literal.vars* (*Pos*  $a$ ) = *atom.vars*  $a$   
*literal.vars* (*Neg*  $a$ ) = *atom.vars*  $a$   
*literal.vars* (*if*  $b$  *then* *Pos* *else* *Neg*)  $a$ ) = *atom.vars*  $a$   
 ⟨*proof*⟩

**lemma** *subst-literal* [simp]:

*Pos*  $a \cdot l$   $\sigma$  = *Pos* ( $a \cdot a$   $\sigma$ )  
*Neg*  $a \cdot l$   $\sigma$  = *Neg* ( $a \cdot a$   $\sigma$ )  
*atm-of* ( $l \cdot l$   $\sigma$ ) = *atm-of*  $l \cdot a$   $\sigma$   
 ⟨*proof*⟩

**lemma** *subst-literal-if* [simp]:

(*if*  $b$  *then* *Pos* *else* *Neg*)  $a \cdot l$   $\varrho$  = (*if*  $b$  *then* *Pos* *else* *Neg*) ( $a \cdot a$   $\varrho$ )  
 ⟨*proof*⟩

**lemma** *subst-polarity-stable*:

**shows**

*subst-neg-stable* [simp]: *is-neg* ( $l \cdot l$   $\sigma$ )  $\longleftrightarrow$  *is-neg*  $l$  **and**  
*subst-pos-stable* [simp]: *is-pos* ( $l \cdot l$   $\sigma$ )  $\longleftrightarrow$  *is-pos*  $l$   
 ⟨*proof*⟩



**declare** *literal.discI* [*intro*]

**lemma** *literal-from-ground-atom-from-ground* [*simp*]:

*literal.from-ground* (*Neg a<sub>G</sub>*) = *Neg* (*atom.from-ground a<sub>G</sub>*)

*literal.from-ground* (*Pos a<sub>G</sub>*) = *Pos* (*atom.from-ground a<sub>G</sub>*)

*<proof>*

**lemma** *literal-from-ground-polarity-stable* [*simp*]:

**shows**

*neg-literal-from-ground-stable*: *is-neg* (*literal.from-ground l<sub>G</sub>*)  $\longleftrightarrow$  *is-neg l<sub>G</sub>* **and**

*pos-literal-from-ground-stable*: *is-pos* (*literal.from-ground l<sub>G</sub>*)  $\longleftrightarrow$  *is-pos l<sub>G</sub>*

*<proof>*

**lemma** *literal-to-ground-atom-to-ground* [*simp*]:

*literal.to-ground* (*Pos a*) = *Pos* (*atom.to-ground a*)

*literal.to-ground* (*Neg a*) = *Neg* (*atom.to-ground a*)

*<proof>*

**lemma** *literal-is-ground-atom-is-ground* [*intro*]:

*literal.is-ground l*  $\longleftrightarrow$  *atom.is-ground* (*atm-of l*)

*<proof>*

**lemma** *obtain-from-pos-literal-subst*:

**assumes**  $l \cdot l \sigma = t_1' \approx t_2'$

**obtains**  $t_1 t_2$

**where**  $l = t_1 \approx t_2$   $t_1' = t_1 \cdot t \sigma$   $t_2' = t_2 \cdot t \sigma$

*<proof>*

**lemma** *obtain-from-neg-literal-subst*:

**assumes**  $l \cdot l \sigma = t_1' !\approx t_2'$

**obtains**  $t_1 t_2$

**where**  $l = t_1 !\approx t_2$   $t_1 \cdot t \sigma = t_1' t_2 \cdot t \sigma = t_2'$

*<proof>*

**lemmas** *obtain-from-literal-subst* = *obtain-from-pos-literal-subst* *obtain-from-neg-literal-subst*

### 3.3 Nonground Literals - Alternative

**lemma** *uprod-literal* [*simp*]:

**fixes**  $l$

**shows**

*functional-substitution-lifting.subst* ( $\cdot t$ ) *map-uprod-literal l*  $\sigma = l \cdot l \sigma$

*functional-substitution-lifting.vars term.vars uprod-literal-to-set l* = *literal.vars l*

*grounding-lifting.from-ground term.from-ground map-uprod-literal l<sub>G</sub>* = *literal.from-ground l<sub>G</sub>*

*grounding-lifting.to-ground term.to-ground map-uprod-literal l* = *literal.to-ground l*

*<proof>*

**lemma** *uprod-literal-subst-eq-literal-subst*:  $\text{map-uprod-literal } (\lambda t. t \cdot t \sigma) l = l \cdot l \sigma$   
 ⟨proof⟩

**lemma** *uprod-literal-vars-eq-literal-vars*:  $\bigcup (\text{term.vars } \text{‘ uprod-literal-to-set } l) = \text{literal.vars } l$   
 ⟨proof⟩

**lemma** *uprod-literal-from-ground-eq-literal-from-ground*:  
 $\text{map-uprod-literal term.from-ground } l_G = \text{literal.from-ground } l_G$   
 ⟨proof⟩

**lemma** *uprod-literal-to-ground-eq-literal-to-ground*:  
 $\text{map-uprod-literal term.to-ground } l = \text{literal.to-ground } l$   
 ⟨proof⟩

**sublocale** *uprod-literal: term-based-lifting where*  
*sub-subst* =  $(\cdot t)$  **and** *sub-vars* = *term.vars* **and** *map* = *map-uprod-literal* **and**  
*to-set* = *uprod-literal-to-set* **and** *sub-to-ground* = *term.to-ground* **and**  
*sub-from-ground* = *term.from-ground* **and** *to-ground-map* = *map-uprod-literal*  
**and**  
*from-ground-map* = *map-uprod-literal* **and** *ground-map* = *map-uprod-literal* **and**  
*to-set-ground* = *uprod-literal-to-set*

**rewrites**  
 $\bigwedge l \sigma. \text{uprod-literal.subst } l \sigma = \text{literal.subst } l \sigma$  **and**  
 $\bigwedge l. \text{uprod-literal.vars } l = \text{literal.vars } l$  **and**  
 $\bigwedge l_G. \text{uprod-literal.from-ground } l_G = \text{literal.from-ground } l_G$  **and**  
 $\bigwedge l. \text{uprod-literal.to-ground } l = \text{literal.to-ground } l$   
 ⟨proof⟩

**lemma** *mset-literal-from-ground*:  
 $\text{mset-lit } (\text{literal.from-ground } l) = \text{image-mset term.from-ground } (\text{mset-lit } l)$   
 ⟨proof⟩

### 3.4 Nonground Clauses

**sublocale** *clause: term-based-multiset-lifting where*  
*sub-subst* = *literal.subst* **and** *sub-vars* = *literal.vars* **and** *sub-to-ground* = *literal.to-ground* **and**  
*sub-from-ground* = *literal.from-ground*  
 ⟨proof⟩

**notation** *clause.subst* (**infixl** · 67)

**lemmas** *clause-submset-vars-clause-subset* [*intro*] =  
*clause.to-set-subset-vars-subset*[*OF set-mset-mono*]

**lemmas** *sub-ground-clause* = *clause.to-set-subset-is-ground*[*OF set-mset-mono*]

**lemma** *subst-clause-remove1-mset* [*simp*]:

```

assumes  $l \in \# C$ 
shows  $\text{remove1-mset } l C \cdot \sigma = \text{remove1-mset } (l \cdot l \sigma) (C \cdot \sigma)$ 
<proof>

lemma clause-from-ground-remove1-mset [simp]:
   $\text{clause.from-ground } (\text{remove1-mset } l_G C_G) =$ 
   $\text{remove1-mset } (\text{literal.from-ground } l_G) (\text{clause.from-ground } C_G)$ 
<proof>

lemmas clause-safe-unfolds =
  atom-to-ground-term-to-ground
  literal-to-ground-atom-to-ground
  atom-from-ground-term-from-ground
  literal-from-ground-atom-from-ground
  literal-from-ground-polarity-stable
  subst-atom
  subst-literal
  vars-atom
  vars-literal

end

end
theory Selection-Function
  imports Ordered-Resolution-Prover.Clausal-Logic
begin

locale selection-function =
  fixes  $\text{select} :: 'a \text{ clause} \Rightarrow 'a \text{ clause}$ 
  assumes
     $\text{select-subset: } \bigwedge C. \text{select } C \subseteq \# C$  and
     $\text{select-negative-literals: } \bigwedge C l. l \in \# \text{select } C \Longrightarrow \text{is-neg } l$ 

end
theory Nonground-Selection-Function
  imports
    Nonground-Clause
    Selection-Function
begin

type-synonym  $'f \text{ ground-select} = 'f \text{ ground-atom clause} \Rightarrow 'f \text{ ground-atom clause}$ 
type-synonym  $('f, 'v) \text{ select} = ('f, 'v) \text{ atom clause} \Rightarrow ('f, 'v) \text{ atom clause}$ 

context nonground-clause
begin

definition is-select-grounding ::  $('f, 'v) \text{ select} \Rightarrow 'f \text{ ground-select} \Rightarrow \text{bool}$  where
   $\text{is-select-grounding select select}_G \equiv \forall C_G. \exists C \gamma.$ 
   $\text{clause.is-ground } (C \cdot \gamma) \wedge$ 

```

$$C_G = \text{clause.to-ground } (C \cdot \gamma) \wedge$$

$$\text{select}_G C_G = \text{clause.to-ground } ((\text{select } C) \cdot \gamma)$$

**end**

**locale** *nonground-selection-function* =  
*nonground-clause* +  
*selection-function* *select*  
**for** *select* :: ('f, 'v) *atom clause*  $\Rightarrow$  ('f, 'v) *atom clause*  
**begin**

**abbreviation** *is-grounding* :: 'f *ground-select*  $\Rightarrow$  *bool* **where**  
*is-grounding* *select*<sub>G</sub>  $\equiv$  *is-select-grounding* *select* *select*<sub>G</sub>

**definition** *select*<sub>Gs</sub> **where**  
*select*<sub>Gs</sub> = { *select*<sub>G</sub>. *is-grounding* *select*<sub>G</sub> }

**definition** *select*<sub>G</sub>-*simple* **where**  
*select*<sub>G</sub>-*simple* *C* = *clause.to-ground* (*select* (*clause.from-ground* *C*))

**lemma** *select*<sub>G</sub>-*simple*: *is-grounding* *select*<sub>G</sub>-*simple*  
*<proof>*

**lemma** *select-is-ground*:  
**assumes** *clause.is-ground* *C*  
**shows** *clause.is-ground* (*select* *C*)  
*<proof>*

**lemma** *is-ground-in-selection*:  
**assumes**  $l \in \#$  *select* (*clause.from-ground* *C*)  
**shows** *literal.is-ground* *l*  
*<proof>*

**lemma** *ground-literal-in-selection*:  
**assumes** *clause.is-ground* *C*  $l_G \in \#$  *clause.to-ground* *C*  
**shows** *literal.from-ground*  $l_G \in \#$  *C*  
*<proof>*

**lemma** *select-ground-subst*:  
**assumes** *clause.is-ground* (*C* ·  $\gamma$ )  
**shows** *clause.is-ground* (*select* *C* ·  $\gamma$ )  
*<proof>*

**lemma** *select-neg-subst*:  
**assumes**  $l \in \#$  *select* *C* ·  $\gamma$   
**shows** *is-neg* *l*  
*<proof>*

**lemma** *select-vars-subset*:  $\bigwedge C.$  *clause.vars* (*select* *C*)  $\subseteq$  *clause.vars* *C*

```

    <proof>

end

end

theory Infinite-Variables-Per-Type
  imports
    HOL-Library.Countable-Set
    HOL-Cardinals.Cardinals
    Fresh-Identifiers.Fresh
begin

lemma infinite-prods:
  fixes  $x :: 'a :: infinite$ 
  shows  $infinite \{p :: 'a \times 'a. fst\ p = x\}$ 
  <proof>

lemma surj-infinite-set:  $surj\ g \implies infinite \{x. f\ x = ty\} \implies infinite \{x. f\ (g\ x) = ty\}$ 
  <proof>

definition infinite-variables-per-type ::  $('v \Rightarrow 'ty) \Rightarrow bool$  where
   $infinite\_variables\_per\_type\ \mathcal{V} \equiv \forall ty. infinite \{x. \mathcal{V}\ x = ty\}$ 

lemma obtain-type-preserving-inj:
  fixes  $\mathcal{V} :: 'v \Rightarrow 'ty$ 
  assumes
    finite-X:  $finite\ X$  and
     $\mathcal{V}$ : infinite-variables-per-type  $\mathcal{V}$ 
  obtains  $f :: 'v \Rightarrow 'v$  where
    inj  $f$ 
     $X \cap f\ 'Y = \{\}$ 
     $\forall x \in Y. \mathcal{V}\ (f\ x) = \mathcal{V}\ x$ 
  <proof>

lemma obtain-type-preserving-injs:
  fixes  $\mathcal{V}_1\ \mathcal{V}_2 :: 'v \Rightarrow 'ty$ 
  assumes
    finite-X:  $finite\ X$  and
     $\mathcal{V}_2$ : infinite-variables-per-type  $\mathcal{V}_2$ 
  obtains  $f\ f' :: 'v \Rightarrow 'v$  where
    inj  $f$  inj  $f'$ 
     $f\ 'X \cap f'\ 'Y = \{\}$ 
     $\forall x \in X. \mathcal{V}_1\ (f\ x) = \mathcal{V}_1\ x$ 
     $\forall x \in Y. \mathcal{V}_2\ (f'\ x) = \mathcal{V}_2\ x$ 
  <proof>

lemma obtain-type-preserving-injs':
  fixes  $\mathcal{V}_1\ \mathcal{V}_2 :: 'v \Rightarrow 'ty$ 

```

```

assumes
  finite-Y: finite Y and
   $\mathcal{V}_1$ : infinite-variables-per-type  $\mathcal{V}_1$ 
obtains  $f f' :: 'v \Rightarrow 'v$  where
  inj f inj f'
   $f ' X \cap f' ' Y = \{\}$ 
   $\forall x \in X. \mathcal{V}_1 (f x) = \mathcal{V}_1 x$ 
   $\forall x \in Y. \mathcal{V}_2 (f' x) = \mathcal{V}_2 x$ 
  <proof>

lemma exists-infinite-variables-per-type:
assumes  $|UNIV :: 'ty \text{ set}| \leq o$   $|UNIV :: ('v :: infinite) \text{ set}|$ 
shows  $\exists \mathcal{V} :: 'v \Rightarrow 'ty.$  infinite-variables-per-type  $\mathcal{V}$ 
<proof>

lemma obtain-infinite-variables-per-type:
assumes  $|UNIV :: 'ty \text{ set}| \leq o$   $|UNIV :: 'v \text{ set}|$ 
obtains  $\mathcal{V} :: 'v :: infinite \Rightarrow 'ty$  where infinite-variables-per-type  $\mathcal{V}$ 
<proof>

end
theory Collect-Extra
  imports Main
begin

lemma Collect-if-eq:  $\{x. \text{if } b \ x \ \text{then } P \ x \ \text{else } Q \ x\} = \{x. b \ x \wedge P \ x\} \cup \{x. \neg b \ x \wedge Q \ x\}$ 
<proof>

lemma Collect-not-mem-conj-eq:  $\{x. x \notin X \wedge P \ x\} = \{x. P \ x\} - X$ 
<proof>

end
theory Typed-Functional-Substitution
  imports
    Typing
    Abstract-Substitution.Functional-Substitution
    Infinite-Variables-Per-Type
    Collect-Extra
begin

type-synonym  $('var, 'ty) \text{ var-types} = 'var \Rightarrow 'ty$ 

locale explicitly-typed-functional-substitution =
  base-functional-substitution where  $\text{vars} = \text{vars}$  and  $\text{id-subst} = \text{id-subst}$ 
for
   $\text{id-subst} :: 'var \Rightarrow 'base$  and
   $\text{vars} :: 'base \Rightarrow 'var \text{ set}$  and
   $\text{typed} :: ('var, 'ty) \text{ var-types} \Rightarrow 'base \Rightarrow 'ty \Rightarrow \text{bool} +$ 

```

**assumes**

*predicate-typed*:  $\bigwedge \mathcal{V}. \text{predicate-typed } (\text{typed } \mathcal{V})$  **and**  
*typed-id-subst* [intro]:  $\bigwedge \mathcal{V} x. \text{typed } \mathcal{V} (\text{id-subst } x) (\mathcal{V} x)$

**begin**

**sublocale** *predicate-typed typed*  $\mathcal{V}$

*<proof>*

**abbreviation** *is-typed-on* ::  $'\text{var set} \Rightarrow ('var, 'ty) \text{var-types} \Rightarrow ('var \Rightarrow 'base) \Rightarrow$

*bool* **where**

$\bigwedge \mathcal{V}. \text{is-typed-on } X \mathcal{V} \sigma \equiv \forall x \in X. \text{typed } \mathcal{V} (\sigma x) (\mathcal{V} x)$

**lemma** *subst-update*:

**assumes** *typed*  $\mathcal{V}$  (*id-subst* *var*)  $\tau$  *typed*  $\mathcal{V}$  *update*  $\tau$  *is-typed-on*  $X \mathcal{V} \gamma$

**shows** *is-typed-on*  $X \mathcal{V} (\gamma(\text{var} := \text{update}))$

*<proof>*

**lemma** *is-typed-on-subset*:

**assumes** *is-typed-on*  $Y \mathcal{V} \sigma$   $X \subseteq Y$

**shows** *is-typed-on*  $X \mathcal{V} \sigma$

*<proof>*

**lemma** *is-typed-id-subst* [intro]: *is-typed-on*  $X \mathcal{V}$  *id-subst*

*<proof>*

**end**

**locale** *inhabited-explicitly-typed-functional-substitution* =

*explicitly-typed-functional-substitution* +

**assumes** *types-inhabited*:  $\bigwedge \tau. \exists b. \text{is-ground } b \wedge \text{typed } \mathcal{V} b \tau$

**locale** *typed-functional-substitution* =

*base*: *explicitly-typed-functional-substitution* **where**

*vars* = *base-vars* **and** *subst* = *base-subst* **and** *typed* = *base-typed* +

*based-functional-substitution* **where** *vars* = *vars*

**for**

*vars* ::  $'\text{expr} \Rightarrow '\text{var set}$  **and**

*is-typed* ::  $('var, 'ty) \text{var-types} \Rightarrow '\text{expr} \Rightarrow \text{bool}$  **and**

*base-typed* ::  $('var, 'ty) \text{var-types} \Rightarrow 'base \Rightarrow 'ty \Rightarrow \text{bool}$

**begin**

**abbreviation** *is-typed-ground-instance* **where**

*is-typed-ground-instance* *expr*  $\mathcal{V} \gamma \equiv$

*is-ground* (*expr*  $\cdot \gamma$ )  $\wedge$

*is-typed*  $\mathcal{V}$  *expr*  $\wedge$

*base.is-typed-on* (*vars* *expr*)  $\mathcal{V} \gamma \wedge$

*infinite-variables-per-type*  $\mathcal{V}$

**end**

**sublocale** *explicitly-typed-functional-substitution*  $\subseteq$  *typed-functional-substitution* **where**  
  *base-subst* = *subst* **and** *base-vars* = *vars* **and** *is-typed* = *is-typed* **and**  
  *base-typed* = *typed*  
  ⟨*proof*⟩

**locale** *typed-grounding-functional-substitution* =  
  *typed-functional-substitution* + *grounding*  
**begin**

**definition** *typed-ground-instances* **where**  
  *typed-ground-instances* *typed-expr* =  
    { *to-ground* (*fst typed-expr* ·  $\gamma$ ) |  $\gamma$ .  
      *is-typed-ground-instance* (*fst typed-expr*) (*snd typed-expr*)  $\gamma$  }

**lemma** *typed-ground-instances-ground-instances'*:  
  *typed-ground-instances* (*expr*,  $\mathcal{V}$ )  $\subseteq$  *ground-instances'* *expr*  
  ⟨*proof*⟩

**end**

**locale** *explicitly-typed-grounding-functional-substitution* =  
  *explicitly-typed-functional-substitution* + *grounding*  
**begin**

**sublocale** *typed-grounding-functional-substitution* **where**  
  *base-subst* = *subst* **and** *base-vars* = *vars* **and** *is-typed* = *is-typed* **and**  
  *base-typed* = *typed*  
  ⟨*proof*⟩

**end**

**locale** *inhabited-typed-functional-substitution* =  
  *typed-functional-substitution* +  
  *base*: *inhabited-explicitly-typed-functional-substitution* **where**  
  *subst* = *base-subst* **and** *vars* = *base-vars* **and** *typed* = *base-typed*  
**begin**

**lemma** *ground-subst-extension*:  
  **assumes**  
    *grounding*: *is-ground* (*expr* ·  $\gamma$ ) **and**  
     *$\gamma$ -is-typed-on*: *base.is-typed-on* (*vars expr*)  $\mathcal{V}$   $\gamma$   
  **obtains**  $\gamma'$   
  **where**  
    *base.is-ground-subst*  $\gamma'$   
    *base.is-typed-on* *UNIV*  $\mathcal{V}$   $\gamma'$   
     $\forall x \in \text{vars } \text{expr}. \gamma \ x = \gamma' \ x$   
  ⟨*proof*⟩



**lemma** *grounding-extension*:

**assumes**

*grounding*: *is-ground* (*expr* ·  $\gamma$ ) **and**

$\gamma$ -*is-typed-on*: *base.is-typed-on* (*vars expr*)  $\mathcal{V}$   $\gamma$

**obtains**  $\gamma'$

**where**

*is-ground* (*expr'* ·  $\gamma'$ )

*base.is-typed-on* (*vars expr'*)  $\mathcal{V}$   $\gamma'$

$\forall x \in \text{vars } \text{expr}. \gamma x = \gamma' x$

*<proof>*

**end**

**sublocale** *explicitly-typed-functional-substitution*  $\subseteq$  *typed-functional-substitution* **where**

*base-subst* = *subst* **and** *base-vars* = *vars* **and** *is-typed* = *is-typed* **and**

*base-typed* = *typed*

*<proof>*

**locale** *typed-subst-stability* = *typed-functional-substitution* +

**assumes**

*subst-stability* [*simp*]:

$\bigwedge \mathcal{V} \text{ expr } \sigma. \text{base.is-typed-on } (\text{vars expr}) \mathcal{V} \sigma \implies \text{is-typed } \mathcal{V} (\text{expr} \cdot \sigma) \longleftrightarrow$

*is-typed*  $\mathcal{V} \text{ expr}$

**begin**

**lemma** *subst-stability-UNIV* [*simp*]:

$\bigwedge \mathcal{V} \text{ expr } \sigma. \text{base.is-typed-on UNIV } \mathcal{V} \sigma \implies \text{is-typed } \mathcal{V} (\text{expr} \cdot \sigma) \longleftrightarrow \text{is-typed } \mathcal{V} \text{ expr}$

*<proof>*

**end**

**locale** *explicitly-typed-subst-stability* = *explicitly-typed-functional-substitution* +

**assumes**

*explicit-subst-stability* [*simp*]:

$\bigwedge \mathcal{V} \text{ expr } \sigma \tau. \text{is-typed-on } (\text{vars expr}) \mathcal{V} \sigma \implies \text{typed } \mathcal{V} (\text{expr} \cdot \sigma) \tau \longleftrightarrow \text{typed}$

$\mathcal{V} \text{ expr } \tau$

**begin**

**lemma** *explicit-subst-stability-UNIV* [*simp*]:

$\bigwedge \mathcal{V} \text{ expr } \sigma. \text{is-typed-on UNIV } \mathcal{V} \sigma \implies \text{typed } \mathcal{V} (\text{expr} \cdot \sigma) \tau \longleftrightarrow \text{typed } \mathcal{V} \text{ expr } \tau$

*<proof>*

**sublocale** *typed-subst-stability* **where**

*base-vars* = *vars* **and** *base-subst* = *subst* **and** *base-typed* = *typed* **and** *is-typed* =

*is-typed*

*<proof>*

**lemma** *typed-subst-compose* [intro]:

**assumes**

*is-typed-on*  $X \mathcal{V} \sigma$

*is-typed-on*  $(\bigcup(\text{vars } \sigma \text{ } X)) \mathcal{V} \sigma'$

**shows** *is-typed-on*  $X \mathcal{V} (\sigma \odot \sigma')$

*<proof>*

**lemma** *typed-subst-compose-UNIV* [intro]:

**assumes**

*is-typed-on*  $UNIV \mathcal{V} \sigma$

*is-typed-on*  $UNIV \mathcal{V} \sigma'$

**shows** *is-typed-on*  $UNIV \mathcal{V} (\sigma \odot \sigma')$

*<proof>*

**end**

**locale** *replaceable- $\mathcal{V}$*  = *typed-functional-substitution* +

**assumes** *replace- $\mathcal{V}$* :

$\bigwedge \text{expr } \mathcal{V} \mathcal{V}'. \forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' x \implies \text{is-typed } \mathcal{V} \text{ expr} \implies \text{is-typed } \mathcal{V}' \text{ expr}$

**begin**

**lemma** *replace- $\mathcal{V}$ -iff*:

**assumes**  $\forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' x$

**shows** *is-typed*  $\mathcal{V} \text{ expr} \longleftrightarrow \text{is-typed } \mathcal{V}' \text{ expr}$

*<proof>*

**lemma** *is-ground-typed*:

**assumes** *is-ground expr*

**shows** *is-typed*  $\mathcal{V} \text{ expr} \longleftrightarrow \text{is-typed } \mathcal{V}' \text{ expr}$

*<proof>*

**end**

**locale** *explicitly-replaceable- $\mathcal{V}$*  = *explicitly-typed-functional-substitution* +

**assumes** *explicit-replace- $\mathcal{V}$* :

$\bigwedge \text{expr } \mathcal{V} \mathcal{V}' \tau. \forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' x \implies \text{typed } \mathcal{V} \text{ expr } \tau \implies \text{typed } \mathcal{V}' \text{ expr } \tau$

**begin**

**lemma** *explicit-replace- $\mathcal{V}$ -iff*:

**assumes**  $\forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' x$

**shows** *typed*  $\mathcal{V} \text{ expr } \tau \longleftrightarrow \text{typed } \mathcal{V}' \text{ expr } \tau$

*<proof>*

**lemma** *explicit-is-ground-typed*:

**assumes** *is-ground expr*

**shows** *typed*  $\mathcal{V} \text{ expr } \tau \longleftrightarrow \text{typed } \mathcal{V}' \text{ expr } \tau$

*<proof>*

**sublocale** *replaceable- $\mathcal{V}$*  **where**

*base-vars* = *vars* **and** *base-subst* = *subst* **and** *base-typed* = *typed* **and** *is-typed* =  
*is-typed*  
{*proof*}

**end**

**locale** *typed-renaming* = *typed-functional-substitution* + *renaming-variables* +  
**assumes**

*typed-renaming* [*simp*]:  
 $\bigwedge \mathcal{V} \mathcal{V}' \text{ expr } \varrho. \text{base.is-renaming } \varrho \implies$   
 $\forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x) \implies$   
 $\text{is-typed } \mathcal{V}' (\text{expr} \cdot \varrho) \iff \text{is-typed } \mathcal{V} \text{ expr}$

**locale** *explicitly-typed-renaming* =

*explicitly-typed-functional-substitution* **where** *typed* = *typed* +  
*renaming-variables* +  
*explicitly-replaceable- $\mathcal{V}$*  **where** *typed* = *typed*

**for** *typed* :: ('*var*  $\Rightarrow$  '*ty*)  $\Rightarrow$  '*expr*  $\Rightarrow$  '*ty*  $\Rightarrow$  *bool* +

**assumes**

*explicit-typed-renaming* [*simp*]:  
 $\bigwedge \mathcal{V} \mathcal{V}' \text{ expr } \varrho \tau. \text{is-renaming } \varrho \implies$   
 $\forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x) \implies$   
 $\text{typed } \mathcal{V}' (\text{expr} \cdot \varrho) \tau \iff \text{typed } \mathcal{V} \text{ expr } \tau$

**begin**

**sublocale** *typed-renaming*

**where** *base-vars* = *vars* **and** *base-subst* = *subst* **and** *base-typed* = *typed* **and**  
*is-typed* = *is-typed*  
{*proof*}

**lemma** *renaming-ground-subst*:

**assumes**

*is-renaming*  $\varrho$   
*is-typed-on* ( $\bigcup (\text{vars } \varrho \text{ } X)$ )  $\mathcal{V}' \gamma$   
*is-typed-on*  $X \mathcal{V} \varrho$   
*is-ground-subst*  $\gamma$   
 $\forall x \in X. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x)$

**shows** *is-typed-on*  $X \mathcal{V} (\varrho \odot \gamma)$

{*proof*}

**lemma** *inj-id-subst*: *inj id-subst*

{*proof*}

**lemma** *obtain-typed-renaming*:

**fixes**  $\mathcal{V} :: \text{'var} \Rightarrow \text{'ty}$

**assumes**

*finite*  $X$

*infinite-variables-per-type*  $\mathcal{V}$   
**obtains**  $\varrho :: 'var \Rightarrow 'expr$  **where**  
*is-renaming*  $\varrho$   
*id-subst*  $'X \cap \varrho 'Y = \{\}$   
*is-typed-on*  $Y \mathcal{V} \varrho$   
 <proof>

**lemma** *obtain-typed-renamings*:  
**fixes**  $\mathcal{V}_1 \mathcal{V}_2 :: 'var \Rightarrow 'ty$   
**assumes**  
*finite*  $X$   
*infinite-variables-per-type*  $\mathcal{V}_2$   
**obtains**  $\varrho_1 \varrho_2 :: 'var \Rightarrow 'expr$  **where**  
*is-renaming*  $\varrho_1$   
*is-renaming*  $\varrho_2$   
 $\varrho_1 'X \cap \varrho_2 'Y = \{\}$   
*is-typed-on*  $X \mathcal{V}_1 \varrho_1$   
*is-typed-on*  $Y \mathcal{V}_2 \varrho_2$   
 <proof>

**lemma** *obtain-typed-renamings'*:  
**fixes**  $\mathcal{V}_1 \mathcal{V}_2 :: 'var \Rightarrow 'ty$   
**assumes**  
*finite*  $Y$   
*infinite-variables-per-type*  $\mathcal{V}_1$   
**obtains**  $\varrho_1 \varrho_2 :: 'var \Rightarrow 'expr$  **where**  
*is-renaming*  $\varrho_1$   
*is-renaming*  $\varrho_2$   
 $\varrho_1 'X \cap \varrho_2 'Y = \{\}$   
*is-typed-on*  $X \mathcal{V}_1 \varrho_1$   
*is-typed-on*  $Y \mathcal{V}_2 \varrho_2$   
 <proof>

**lemma** *renaming-subst-compose*:  
**assumes**  
*is-renaming*  $\varrho$   
*is-typed-on*  $X \mathcal{V} (\varrho \odot \sigma)$   
*is-typed-on*  $X \mathcal{V} \varrho$   
**shows** *is-typed-on*  $(\bigcup (\text{vars } ' \varrho ' X)) \mathcal{V} \sigma$   
 <proof>

**end**

**lemma** (in *renaming-variables*) *obtain-merged- $\mathcal{V}$* :  
**assumes**  
 $\varrho_1$ : *is-renaming*  $\varrho_1$  **and**  
 $\varrho_2$ : *is-renaming*  $\varrho_2$  **and**  
*rename-apart*:  $\text{vars } (expr \cdot \varrho_1) \cap \text{vars } (expr' \cdot \varrho_2) = \{\}$  **and**  
 $\mathcal{V}_2$ : *infinite-variables-per-type*  $\mathcal{V}_2$  **and**

*finite-vars: finite (vars expr)*  
**obtains**  $\mathcal{V}_3$  **where**  
 $\forall x \in \text{vars } \text{expr}. \mathcal{V}_1 x = \mathcal{V}_3 (\text{rename } \varrho_1 x)$   
 $\forall x \in \text{vars } \text{expr}'. \mathcal{V}_2 x = \mathcal{V}_3 (\text{rename } \varrho_2 x)$   
*infinite-variables-per-type*  $\mathcal{V}_3$   
 <proof>

**lemma** (in *renaming-variables*) *obtain-merged- $\mathcal{V}'$* :

**assumes**  
 $\varrho_1$ : *is-renaming*  $\varrho_1$  **and**  
 $\varrho_2$ : *is-renaming*  $\varrho_2$  **and**  
*rename-apart*:  $\text{vars } (\text{expr} \cdot \varrho_1) \cap \text{vars } (\text{expr}' \cdot \varrho_2) = \{\}$  **and**  
 $\mathcal{V}_1$ : *infinite-variables-per-type*  $\mathcal{V}_1$  **and**  
*finite-vars: finite (vars expr')*  
**obtains**  $\mathcal{V}_3$  **where**  
 $\forall x \in \text{vars } \text{expr}. \mathcal{V}_1 x = \mathcal{V}_3 (\text{rename } \varrho_1 x)$   
 $\forall x \in \text{vars } \text{expr}'. \mathcal{V}_2 x = \mathcal{V}_3 (\text{rename } \varrho_2 x)$   
*infinite-variables-per-type*  $\mathcal{V}_3$   
 <proof>

**locale** *based-typed-renaming* =

*base: explicitly-typed-renaming* **where**  
*subst* = *base-subst* **and** *vars* = *base-vars* :: *'base*  $\Rightarrow$  *'v set* **and**  
*typed* = *typed* :: *'v*  $\Rightarrow$  *'ty*  $\Rightarrow$  *'base*  $\Rightarrow$  *'ty*  $\Rightarrow$  *bool* +  
*base: explicitly-typed-functional-substitution* **where**  
*vars* = *base-vars* **and** *subst* = *base-subst* +  
*based-functional-substitution* +  
*renaming-variables*

**begin**

**lemma** *renaming-grounding*:

**assumes**  
*renaming: base.is-renaming*  $\varrho$  **and**  
 $\varrho$ - $\gamma$ -*is-welltyped*: *base.is-typed-on (vars expr)*  $\mathcal{V} (\varrho \odot \gamma)$  **and**  
*grounding: is-ground (expr ·  $\varrho \odot \gamma$ )* **and**  
 $\mathcal{V}$ - $\mathcal{V}'$ :  $\forall x \in \text{vars } \text{expr}. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x)$   
**shows** *base.is-typed-on (vars (expr ·  $\varrho$ ))*  $\mathcal{V}' \gamma$   
 <proof>

**lemma** *obtain-merged-grounding*:

**fixes**  $\mathcal{V}_1 \mathcal{V}_2$  :: *'v*  $\Rightarrow$  *'ty*  
**assumes**  
*base.is-typed-on (vars expr)*  $\mathcal{V}_1 \gamma_1$   
*base.is-typed-on (vars expr')*  $\mathcal{V}_2 \gamma_2$   
*is-ground (expr ·  $\gamma_1$ )*  
*is-ground (expr' ·  $\gamma_2$ )* **and**  
 $\mathcal{V}_2$ : *infinite-variables-per-type*  $\mathcal{V}_2$  **and**  
*finite-vars: finite (vars expr)*  
**obtains**  $\varrho_1 \varrho_2 \gamma$  **where**

*base.is-renaming*  $\varrho_1$   
*base.is-renaming*  $\varrho_2$   
 $\text{vars } (expr \cdot \varrho_1) \cap \text{vars } (expr' \cdot \varrho_2) = \{\}$   
*base.is-typed-on*  $(\text{vars } expr) \mathcal{V}_1 \varrho_1$   
*base.is-typed-on*  $(\text{vars } expr') \mathcal{V}_2 \varrho_2$   
 $\forall X \subseteq \text{vars } expr. \forall x \in X. \gamma_1 x = (\varrho_1 \odot \gamma) x$   
 $\forall X \subseteq \text{vars } expr'. \forall x \in X. \gamma_2 x = (\varrho_2 \odot \gamma) x$   
 <proof>

**lemma** *obtain-merged-grounding'*:

**fixes**  $\mathcal{V}_1 \mathcal{V}_2 :: 'v \Rightarrow 'ty$

**assumes**

*typed- $\gamma_1$* : *base.is-typed-on*  $(\text{vars } expr) \mathcal{V}_1 \gamma_1$  **and**  
*typed- $\gamma_2$* : *base.is-typed-on*  $(\text{vars } expr') \mathcal{V}_2 \gamma_2$  **and**  
*expr-grounding*: *is-ground*  $(expr \cdot \gamma_1)$  **and**  
*expr'-grounding*: *is-ground*  $(expr' \cdot \gamma_2)$  **and**  
 $\mathcal{V}_1$ : *infinite-variables-per-type*  $\mathcal{V}_1$  **and**  
*finite-vars*: *finite*  $(\text{vars } expr')$

**obtains**  $\varrho_1 \varrho_2 \gamma$  **where**

*base.is-renaming*  $\varrho_1$   
*base.is-renaming*  $\varrho_2$   
 $\text{vars } (expr \cdot \varrho_1) \cap \text{vars } (expr' \cdot \varrho_2) = \{\}$   
*base.is-typed-on*  $(\text{vars } expr) \mathcal{V}_1 \varrho_1$   
*base.is-typed-on*  $(\text{vars } expr') \mathcal{V}_2 \varrho_2$   
 $\forall X \subseteq \text{vars } expr. \forall x \in X. \gamma_1 x = (\varrho_1 \odot \gamma) x$   
 $\forall X \subseteq \text{vars } expr'. \forall x \in X. \gamma_2 x = (\varrho_2 \odot \gamma) x$   
 <proof>

**end**

**sublocale** *explicitly-typed-renaming*  $\subseteq$

*based-typed-renaming* **where** *base-vars* = *vars* **and** *base-subst* = *subst*  
 <proof>

**end**

**theory** *Functional-Substitution-Typing*

**imports** *Typed-Functional-Substitution*

**begin**

**locale** *subst-is-typed-abbreviations* =

*is-typed*: *typed-functional-substitution* **where**  
*base-typed* = *base-typed* **and** *is-typed* = *expr-is-typed* +  
*is-welltyped*: *typed-functional-substitution* **where**  
*base-typed* = *base-welltyped* **and** *is-typed* = *expr-is-welltyped*

**for**

*base-typed* *base-welltyped* ::  $('var, 'ty) \text{ var-types} \Rightarrow 'base \Rightarrow 'ty \Rightarrow \text{bool}$  **and**  
*expr-is-typed* *expr-is-welltyped* ::  $('var, 'ty) \text{ var-types} \Rightarrow 'expr \Rightarrow \text{bool}$

**begin**

**abbreviation** *is-typed-on* **where**

*is-typed-on*  $\equiv$  *is-typed.base.is-typed-on*

**abbreviation** *is-welltyped-on* **where**

*is-welltyped-on*  $\equiv$  *is-welltyped.base.is-typed-on*

**abbreviation** *is-typed* **where**

*is-typed*  $\equiv$  *is-typed.base.is-typed-on UNIV*

**abbreviation** *is-welltyped* **where**

*is-welltyped*  $\equiv$  *is-welltyped.base.is-typed-on UNIV*

**end**

**locale** *functional-substitution-typing* =

*is-typed*: *typed-functional-substitution* **where**

*base-typed* = *base-typed* **and** *is-typed* = *is-typed* +

*is-welltyped*: *typed-functional-substitution* **where**

*base-typed* = *base-welltyped* **and** *is-typed* = *is-welltyped*

**for**

*base-typed base-welltyped* :: ('var, 'ty) var-types  $\Rightarrow$  'base  $\Rightarrow$  'ty  $\Rightarrow$  bool **and**

*is-typed is-welltyped* :: ('var, 'ty) var-types  $\Rightarrow$  'expr  $\Rightarrow$  bool +

**assumes** *typing*:  $\bigwedge \mathcal{V}. \text{typing } (is\text{-typed } \mathcal{V}) (is\text{-welltyped } \mathcal{V})$

**begin**

**sublocale** *base*: *typing is-typed*  $\mathcal{V}$  *is-welltyped*  $\mathcal{V}$

*<proof>*

**sublocale** *subst*: *subst-is-typed-abbreviations*

**where** *expr-is-typed* = *is-typed* **and** *expr-is-welltyped* = *is-welltyped*

*<proof>*

**end**

**locale** *base-functional-substitution-typing* =

*typed*: *explicitly-typed-functional-substitution* **where** *typed* = *typed* +

*welltyped*: *explicitly-typed-functional-substitution* **where** *typed* = *welltyped*

**for**

*welltyped typed* :: ('var, 'ty) var-types  $\Rightarrow$  'expr  $\Rightarrow$  'ty  $\Rightarrow$  bool +

**assumes**

*explicit-typing*:  $\bigwedge \mathcal{V}. \text{explicit-typing } (typed \ \mathcal{V}) (welltyped \ \mathcal{V})$

**begin**

**sublocale** *base*: *explicit-typing typed*  $\mathcal{V}$  *welltyped*  $\mathcal{V}$

*<proof>*

**lemmas** *typed-id-subst* = *typed.typed-id-subst*

```

lemmas welltyped-id-subst = welltyped.typed-id-subst

lemmas is-typed-id-subst = typed.is-typed-id-subst

lemmas is-welltyped-id-subst = welltyped.is-typed-id-subst

lemmas is-typed-on-subset = typed.is-typed-on-subset

lemmas is-welltyped-on-subset = welltyped.is-typed-on-subset

sublocale functional-substitution-typing where
  is-typed = base.is-typed and is-welltyped = base.is-welltyped and base-typed =
  typed and
  base-welltyped = welltyped and base-vars = vars and base-subst = subst
  <proof>

sublocale subst: typing subst.is-typed-on X V subst.is-welltyped-on X V
  <proof>

end

end

theory Typed-Functional-Substitution-Lifting
  imports
    Typed-Functional-Substitution
    Abstract-Substitution.Functional-Substitution-Lifting
begin

lemma ext-equiv:  $(\bigwedge x. f\ x \equiv g\ x) \implies f \equiv g$ 
  <proof>

locale typed-functional-substitution-lifting =
  sub: typed-functional-substitution where
    vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed and
    base-vars = base-vars +
    based-functional-substitution-lifting where to-set = to-set and base-vars = base-vars
for
  sub-is-typed :: ('var, 'ty) var-types  $\Rightarrow$  'sub  $\Rightarrow$  bool and
  to-set :: 'expr  $\Rightarrow$  'sub set and
  base-vars :: 'base  $\Rightarrow$  'var set
begin

abbreviation (input) lifted-is-typed where
  lifted-is-typed V  $\equiv$  is-typed-lifting to-set (sub-is-typed V)

lemmas lifted-is-typed-def = is-typed-lifting-def[of to-set, THEN ext-equiv, of sub-is-typed]

sublocale typed-functional-substitution where

```



```

    vars = vars and subst = subst and is-typed = lifted-is-typed
    ⟨proof⟩

end

locale uniform-typed-functional-substitution-lifting =
  base: explicitly-typed-functional-substitution where
  vars = base-vars and subst = base-subst and typed = base-typed +
  based-functional-substitution-lifting where
  to-set = to-set and sub-subst = base-subst and sub-vars = base-vars
for
  base-typed :: ('var, 'ty) var-types ⇒ 'base ⇒ 'ty ⇒ bool and
  to-set :: 'expr ⇒ 'base set
begin

abbreviation (input) lifted-is-typed where
  lifted-is-typed  $\mathcal{V} \equiv$  uniform-typed-lifting to-set (base-typed  $\mathcal{V}$ )

lemmas lifted-is-typed-def = uniform-typed-lifting-def[of to-set, THEN ext-equiv,
of base-typed]

sublocale typed-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed
  ⟨proof⟩

end

locale uniform-typed-grounding-functional-substitution-lifting =
  uniform-typed-functional-substitution-lifting +
  grounding-lifting where sub-subst = base-subst and sub-vars = base-vars +
  base: explicitly-typed-grounding-functional-substitution where
  vars = base-vars and subst = base-subst and typed = base-typed and
  to-ground = sub-to-ground and from-ground = sub-from-ground
begin

sublocale typed-grounding-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed and to-ground =
  to-ground and
  from-ground = from-ground
  ⟨proof⟩

end

locale typed-grounding-functional-substitution-lifting =
  typed-functional-substitution-lifting +
  grounding-lifting +
  sub: typed-grounding-functional-substitution where
  vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed and
  to-ground = sub-to-ground and from-ground = sub-from-ground

```

```

begin

sublocale typed-grounding-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed and to-ground =
to-ground and
  from-ground = from-ground
  ⟨proof⟩

end

locale uniform-inhabited-typed-functional-substitution-lifting =
  uniform-typed-functional-substitution-lifting +
  base: inhabited-explicitly-typed-functional-substitution where
  vars = base-vars and subst = base-subst and typed = base-typed
begin

sublocale inhabited-typed-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed
  ⟨proof⟩

end

locale inhabited-typed-functional-substitution-lifting =
  typed-functional-substitution-lifting +
  sub: inhabited-typed-functional-substitution where
  vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed
begin

sublocale inhabited-typed-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed
  ⟨proof⟩

end

locale typed-subst-stability-lifting =
  typed-functional-substitution-lifting +
  sub: typed-subst-stability where is-typed = sub-is-typed and vars = sub-vars and
subst = sub-subst
begin

sublocale typed-subst-stability where
  is-typed = lifted-is-typed and subst = subst and vars = vars
  ⟨proof⟩

end

locale uniform-typed-subst-stability-lifting =
  uniform-typed-functional-substitution-lifting +
  base: explicitly-typed-subst-stability where

```

```

    typed = base-typed and vars = base-vars and subst = base-subst
begin

sublocale typed-subst-stability where
    is-typed = lifted-is-typed and subst = subst and vars = vars
    ⟨proof⟩

end

locale replaceable- $\mathcal{V}$ -lifting =
    typed-functional-substitution-lifting +
    sub: replaceable- $\mathcal{V}$  where
    subst = sub-subst and vars = sub-vars and is-typed = sub-is-typed
begin

sublocale replaceable- $\mathcal{V}$  where
    subst = subst and vars = vars and is-typed = lifted-is-typed
    ⟨proof⟩

end

locale uniform-replaceable- $\mathcal{V}$ -lifting =
    uniform-typed-functional-substitution-lifting +
    sub: explicitly-replaceable- $\mathcal{V}$  where
    typed = base-typed and vars = base-vars and subst = base-subst
begin

sublocale replaceable- $\mathcal{V}$  where
    is-typed = lifted-is-typed and subst = subst and vars = vars
    ⟨proof⟩

end

locale based-typed-renaming-lifting =
    based-functional-substitution-lifting +
    renaming-variables-lifting +
    based-typed-renaming where subst = sub-subst and vars = sub-vars
begin

sublocale based-typed-renaming where subst = subst and vars = vars
    ⟨proof⟩

end

locale typed-renaming-lifting =
    typed-functional-substitution-lifting where
    base-typed = base-typed :: (v ⇒ ty) ⇒ 'base ⇒ 'ty ⇒ bool +
    based-typed-renaming-lifting where typed = base-typed +
    sub: typed-renaming where

```

```

    subst = sub-subst and vars = sub-vars and is-typed = sub-is-typed
begin

sublocale typed-renaming where
  subst = subst and vars = vars and is-typed = lifted-is-typed
⟨proof⟩

end

locale uniform-typed-renaming-lifting =
  uniform-typed-functional-substitution-lifting where base-typed = base-typed +
  based-typed-renaming-lifting where
  typed = base-typed and sub-vars = base-vars and sub-subst = base-subst
for base-typed :: ('v ⇒ 'ty) ⇒ 'base ⇒ 'ty ⇒ bool
begin

sublocale typed-renaming where
  is-typed = lifted-is-typed and subst = subst and vars = vars
⟨proof⟩

end

end
theory Functional-Substitution-Typing-Lifting
  imports
    Functional-Substitution-Typing
    Typed-Functional-Substitution-Lifting
begin

locale functional-substitution-typing-lifting =
  sub: functional-substitution-typing where
  vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed and
  is-welltyped = sub-is-welltyped +
  based-functional-substitution-lifting where to-set = to-set
for
  to-set :: 'expr ⇒ 'sub set and
  sub-is-typed sub-is-welltyped :: ('var, 'ty) var-types ⇒ 'sub ⇒ bool
begin

sublocale typing-lifting where
  sub-is-typed = sub-is-typed  $\mathcal{V}$  and sub-is-welltyped = sub-is-welltyped  $\mathcal{V}$ 
⟨proof⟩

sublocale functional-substitution-typing where
  is-typed = is-typed and is-welltyped = is-welltyped and vars = vars and subst
  = subst
⟨proof⟩

end

```

```

locale functional-substitution-uniform-typing-lifting =
  base: base-functional-substitution-typing where
    vars = base-vars and subst = base-subst and typed = base-typed and welltyped
= base-welltyped +
  based-functional-substitution-lifting where
    to-set = to-set and sub-vars = base-vars and sub-subst = base-subst
for
  to-set :: 'expr ⇒ 'base set and
  base-typed base-welltyped :: ('var, 'ty) var-types ⇒ 'base ⇒ 'ty ⇒ bool
begin

sublocale uniform-typing-lifting where
  sub-typed = base-typed  $\mathcal{V}$  and sub-welltyped = base-welltyped  $\mathcal{V}$ 
  ⟨proof⟩

sublocale functional-substitution-typing where
  is-typed = is-typed and is-welltyped = is-welltyped and vars = vars and subst
= subst
  ⟨proof⟩

end

end
theory Nonground-Term-Typing
  imports
    Term-Typing
    Typed-Functional-Substitution
    Functional-Substitution-Typing
    Nonground-Term
begin

locale base-typed-properties =
  explicitly-typed-subst-stability +
  explicitly-replaceable- $\mathcal{V}$  +
  explicitly-typed-renaming +
  explicitly-typed-grounding-functional-substitution

locale base-typing-properties =
  base-functional-substitution-typing +
  typed: base-typed-properties +
  welltyped: base-typed-properties where typed = welltyped

locale base-inhabited-typing-properties =
  base-typing-properties +
  typed: inhabited-explicitly-typed-functional-substitution +
  welltyped: inhabited-explicitly-typed-functional-substitution where typed = well-
typed

```

```

locale nonground-term-typing =
  term: nonground-term +
  fixes  $\mathcal{F} :: ('f, 'ty)$  fun-types
begin

inductive typed :: ('v, 'ty) var-types  $\Rightarrow$  ('f, 'v) term  $\Rightarrow$  'ty  $\Rightarrow$  bool
  for  $\mathcal{V}$  where
    Var:  $\mathcal{V} x = \tau \Longrightarrow \text{typed } \mathcal{V} (\text{Var } x) \tau$ 
    | Fun:  $\mathcal{F} f = (\tau s, \tau) \Longrightarrow \text{typed } \mathcal{V} (\text{Fun } f \text{ ts}) \tau$ 

Note: Implicitly implies that every function symbol has a fixed arity

inductive welltyped :: ('v, 'ty) var-types  $\Rightarrow$  ('f, 'v) term  $\Rightarrow$  'ty  $\Rightarrow$  bool
  for  $\mathcal{V}$  where
    Var:  $\mathcal{V} x = \tau \Longrightarrow \text{welltyped } \mathcal{V} (\text{Var } x) \tau$ 
    | Fun:  $\mathcal{F} f = (\tau s, \tau) \Longrightarrow \text{list-all2 } (\text{welltyped } \mathcal{V}) \text{ ts } \tau s \Longrightarrow \text{welltyped } \mathcal{V} (\text{Fun } f \text{ ts})$ 
     $\tau$ 

sublocale term: explicit-typing typed ( $\mathcal{V} :: ('v, 'ty)$  var-types) welltyped  $\mathcal{V}$ 
  <proof>

sublocale term: term-typing where
  typed = typed ( $\mathcal{V} :: 'v \Rightarrow 'ty$ ) and welltyped = welltyped  $\mathcal{V}$  and Fun = Fun
  <proof>

sublocale term: base-typing-properties where
  id-subst = Var :: 'v  $\Rightarrow$  ('f, 'v) term and comp-subst = ( $\odot$ ) and subst = ( $\cdot$ ) and
  vars = term.vars and welltyped = welltyped and typed = typed and to-ground
  = term.to-ground and
  from-ground = term.from-ground
  <proof>

end

locale nonground-term-inhabited-typing =
  nonground-term-typing where  $\mathcal{F} = \mathcal{F}$  for  $\mathcal{F} :: ('f, 'ty)$  fun-types +
  assumes types-inhabited:  $\bigwedge \tau. \exists f. \mathcal{F} f = ([], \tau)$ 
begin

sublocale base-inhabited-typing-properties where
  id-subst = Var :: 'v  $\Rightarrow$  ('f, 'v) term and comp-subst = ( $\odot$ ) and subst = ( $\cdot$ ) and
  vars = term.vars and welltyped = welltyped and typed = typed and to-ground
  = term.to-ground and
  from-ground = term.from-ground
  <proof>

end

end

theory Nonground-Typing

```

```

imports
  Clause-Typing
  Functional-Substitution-Typing-Lifting
  Nonground-Term-Typing
  Nonground-Clause
begin

type-synonym ('f, 'v, 'ty) typed-clause = ('f, 'v) atom clause × ('v, 'ty) var-types

locale nonground-uniform-typed-lifting =
  uniform-typed-subst-stability-lifting +
  uniform-replaceable- $\mathcal{V}$ -lifting +
  uniform-typed-renaming-lifting +
  uniform-typed-grounding-functional-substitution-lifting

locale nonground-typed-lifting =
  typed-subst-stability-lifting +
  replaceable- $\mathcal{V}$ -lifting +
  typed-renaming-lifting +
  typed-grounding-functional-substitution-lifting

locale nonground-uniform-typing-lifting =
  functional-substitution-uniform-typing-lifting +
  is-typed: nonground-uniform-typed-lifting where base-typed = base-typed +
  is-welltyped: nonground-uniform-typed-lifting where base-typed = base-welltyped
begin

abbreviation is-typed-ground-instance  $\equiv$  is-typed.is-typed-ground-instance

abbreviation is-welltyped-ground-instance  $\equiv$  is-welltyped.is-typed-ground-instance

abbreviation typed-ground-instances  $\equiv$  is-typed.typed-ground-instances

abbreviation welltyped-ground-instances  $\equiv$  is-welltyped.typed-ground-instances

lemmas typed-ground-instances-def = is-typed.typed-ground-instances-def

lemmas welltyped-ground-instances-def = is-welltyped.typed-ground-instances-def

end

locale nonground-typing-lifting =
  functional-substitution-typing-lifting +
  is-typed: nonground-typed-lifting +
  is-welltyped: nonground-typed-lifting where
  sub-is-typed = sub-is-welltyped and base-typed = base-welltyped
begin

```

**abbreviation** *is-typed-ground-instance*  $\equiv$  *is-typed.is-typed-ground-instance*

**abbreviation** *is-welltyped-ground-instance*  $\equiv$  *is-welltyped.is-typed-ground-instance*

**abbreviation** *typed-ground-instances*  $\equiv$  *is-typed.typed-ground-instances*

**abbreviation** *welltyped-ground-instances*  $\equiv$  *is-welltyped.typed-ground-instances*

**lemmas** *typed-ground-instances-def* = *is-typed.typed-ground-instances-def*

**lemmas** *welltyped-ground-instances-def* = *is-welltyped.typed-ground-instances-def*

**end**

**locale** *nonground-uniform-inhabited-typing-lifting* =  
*nonground-uniform-typing-lifting* +  
*is-typed: uniform-inhabited-typed-functional-substitution-lifting* **where** *base-typed*  
= *base-typed* +  
*is-welltyped: uniform-inhabited-typed-functional-substitution-lifting* **where**  
*base-typed* = *base-welltyped*

**locale** *nonground-inhabited-typing-lifting* =  
*nonground-typing-lifting* +  
*is-typed: inhabited-typed-functional-substitution-lifting* **where** *base-typed* = *base-typed*  
+  
*is-welltyped: inhabited-typed-functional-substitution-lifting* **where**  
*sub-is-typed* = *sub-is-welltyped* **and** *base-typed* = *base-welltyped*

**locale** *term-based-nonground-typing-lifting* =  
*term: nonground-term* +  
*nonground-typing-lifting* **where**  
*id-subst* = *Var* **and** *comp-subst* =  $(\odot)$  **and** *base-subst* =  $(\cdot t)$  **and** *base-vars* =  
*term.vars*

**locale** *term-based-nonground-inhabited-typing-lifting* =  
*term: nonground-term* +  
*nonground-inhabited-typing-lifting* **where**  
*id-subst* = *Var* **and** *comp-subst* =  $(\odot)$  **and** *base-subst* =  $(\cdot t)$  **and** *base-vars* =  
*term.vars*

**locale** *term-based-nonground-uniform-typing-lifting* =  
*term: nonground-term* +  
*nonground-uniform-typing-lifting* **where**  
*id-subst* = *Var* **and** *comp-subst* =  $(\odot)$  **and** *map* = *map-uprod* **and** *to-set* =  
*set-uprod* **and**  
*base-vars* = *term.vars* **and** *base-subst* =  $(\cdot t)$  **and** *sub-to-ground* = *term.to-ground*  
**and**  
*sub-from-ground* = *term.from-ground* **and** *to-ground-map* = *map-uprod* **and**



*from-ground-map* = *map-uprod* **and** *ground-map* = *map-uprod* **and** *to-set-ground*  
= *set-uprod*

**locale** *term-based-nonground-uniform-inhabited-typing-lifting* =  
*term*: *nonground-term* +  
*nonground-uniform-inhabited-typing-lifting* **where**  
*id-subst* = *Var* **and** *comp-subst* = ( $\odot$ ) **and** *map* = *map-uprod* **and** *to-set* =  
*set-uprod* **and**  
*base-vars* = *term.vars* **and** *base-subst* = ( $\cdot$ ) **and** *sub-to-ground* = *term.to-ground*  
**and**  
*sub-from-ground* = *term.from-ground* **and** *to-ground-map* = *map-uprod* **and**  
*from-ground-map* = *map-uprod* **and** *ground-map* = *map-uprod* **and** *to-set-ground*  
= *set-uprod*

**locale** *nonground-typing* =  
*nonground-clause* +  
*nonground-term-typing*  $\mathcal{F}$   
**for**  $\mathcal{F} :: ('f, 'ty)$  *fun-types*  
**begin**

**sublocale** *clause-typing* *typed* ( $\mathcal{V} :: ('v, 'ty)$  *var-types*) *welltyped*  $\mathcal{V}$   
*<proof>*

**sublocale** *atom*: *term-based-nonground-uniform-typing-lifting* **where**  
*base-typed* = *typed* :: ( $'v \Rightarrow 'ty$ )  $\Rightarrow$  ( $'f, 'v$ ) *Term.term*  $\Rightarrow$   $'ty \Rightarrow bool$  **and**  
*base-welltyped* = *welltyped*  
*<proof>*

**sublocale** *literal*: *term-based-nonground-typing-lifting* **where**  
*base-typed* = *typed* :: ( $'v \Rightarrow 'ty$ )  $\Rightarrow$  ( $'f, 'v$ ) *Term.term*  $\Rightarrow$   $'ty \Rightarrow bool$  **and**  
*base-welltyped* = *welltyped* **and** *sub-vars* = *atom.vars* **and** *sub-subst* = ( $\cdot a$ ) **and**  
*map* = *map-literal* **and** *to-set* = *set-literal* **and** *sub-is-typed* = *atom.is-typed* **and**  
*sub-is-welltyped* = *atom.is-welltyped* **and** *sub-to-ground* = *atom.to-ground* **and**  
*sub-from-ground* = *atom.from-ground* **and** *to-ground-map* = *map-literal* **and**  
*from-ground-map* = *map-literal* **and** *ground-map* = *map-literal* **and** *to-set-ground*  
= *set-literal*  
*<proof>*

**sublocale** *clause*: *term-based-nonground-typing-lifting* **where**  
*base-typed* = *typed* **and** *base-welltyped* = *welltyped* **and**  
*sub-vars* = *literal.vars* **and** *sub-subst* = ( $\cdot l$ ) **and** *map* = *image-mset* **and** *to-set*  
= *set-mset* **and**  
*sub-is-typed* = *literal.is-typed* **and** *sub-is-welltyped* = *literal.is-welltyped* **and**  
*sub-to-ground* = *literal.to-ground* **and** *sub-from-ground* = *literal.from-ground* **and**  
*to-ground-map* = *image-mset* **and** *from-ground-map* = *image-mset* **and** *ground-map*  
= *image-mset* **and**  
*to-set-ground* = *set-mset*  
*<proof>*

**end**

**locale** *nonground-inhabited-typing* =  
  *nonground-typing*  $\mathcal{F}$  +  
  *nonground-term-inhabited-typing*  $\mathcal{F}$   
  **for**  $\mathcal{F} :: ('f, 'ty)$  *fun-types*  
**begin**

**sublocale** *atom: term-based-nonground-uniform-inhabited-typing-lifting* **where**  
  *base-typed* = *typed* :: ('v  $\Rightarrow$  'ty)  $\Rightarrow$  ('f, 'v) *Term.term*  $\Rightarrow$  'ty  $\Rightarrow$  *bool* **and**  
  *base-welltyped* = *welltyped*  
  ⟨*proof*⟩

**sublocale** *literal: term-based-nonground-inhabited-typing-lifting* **where**  
  *base-typed* = *typed* :: ('v  $\Rightarrow$  'ty)  $\Rightarrow$  ('f, 'v) *Term.term*  $\Rightarrow$  'ty  $\Rightarrow$  *bool* **and**  
  *base-welltyped* = *welltyped* **and** *sub-vars* = *atom.vars* **and** *sub-subst* = ( $\cdot$ .*a*) **and**  
  *map* = *map-literal* **and** *to-set* = *set-literal* **and** *sub-is-typed* = *atom.is-typed* **and**  
  *sub-is-welltyped* = *atom.is-welltyped* **and** *sub-to-ground* = *atom.to-ground* **and**  
  *sub-from-ground* = *atom.from-ground* **and** *to-ground-map* = *map-literal* **and**  
  *from-ground-map* = *map-literal* **and** *ground-map* = *map-literal* **and** *to-set-ground*  
  = *set-literal*  
  ⟨*proof*⟩

**sublocale** *clause: term-based-nonground-inhabited-typing-lifting* **where**  
  *base-typed* = *typed* **and** *base-welltyped* = *welltyped* **and**  
  *sub-vars* = *literal.vars* **and** *sub-subst* = ( $\cdot$ .*l*) **and** *map* = *image-mset* **and** *to-set*  
  = *set-mset* **and**  
  *sub-is-typed* = *literal.is-typed* **and** *sub-is-welltyped* = *literal.is-welltyped* **and**  
  *sub-to-ground* = *literal.to-ground* **and** *sub-from-ground* = *literal.from-ground* **and**  
  *to-ground-map* = *image-mset* **and** *from-ground-map* = *image-mset* **and** *ground-map*  
  = *image-mset* **and**  
  *to-set-ground* = *set-mset*  
  ⟨*proof*⟩

**end**

**end**

**theory** *HOL-Extra*  
  **imports** *Main*  
**begin**

**lemmas** *UniqI* = *Uniq-I*

**lemma** *Uniq-prodI*:  
  **assumes**  $\bigwedge x1\ y1\ x2\ y2. P\ x1\ y1 \Longrightarrow P\ x2\ y2 \Longrightarrow (x1, y1) = (x2, y2)$   
  **shows**  $\exists_{\leq 1}(x, y). P\ x\ y$   
  ⟨*proof*⟩

**lemma** *Uniq-implies-ex1*:  $\exists_{\leq 1}x. P\ x \Longrightarrow P\ y \Longrightarrow \exists!x. P\ x$

*<proof>*

**lemma** *Uniq-antimono*:  $Q \leq P \implies \text{Uniq } Q \geq \text{Uniq } P$   
*<proof>*

**lemma** *Uniq-antimono'*:  $(\bigwedge x. Q x \implies P x) \implies \text{Uniq } P \implies \text{Uniq } Q$   
*<proof>*

**lemma** *Collect-eq-if-Uniq*:  $(\exists_{\leq 1} x. P x) \implies \{x. P x\} = \{\} \vee (\exists x. \{x. P x\} = \{x\})$   
*<proof>*

**lemma** *Collect-eq-if-Uniq-prod*:  
 $(\exists_{\leq 1} (x, y). P x y) \implies \{(x, y). P x y\} = \{\} \vee (\exists x y. \{(x, y). P x y\} = \{(x, y)\})$   
*<proof>*

**lemma** *Ball-Ex-comm*:  
 $(\forall x \in X. \exists f. P (f x) x) \implies (\exists f. \forall x \in X. P (f x) x)$   
 $(\exists f. \forall x \in X. P (f x) x) \implies (\forall x \in X. \exists f. P (f x) x)$   
*<proof>*

**lemma** *set-map-id*:  
**assumes**  $x \in \text{set } X$   $f x \notin \text{set } X$   $\text{map } f X = X$   
**shows** *False*  
*<proof>*

**lemma** *Ball-singleton*:  $(\forall x \in \{x\}. P x) \longleftrightarrow P x$   
*<proof>*

**end**

**theory** *Grounded-Selection-Function*

**imports**

*Nonground-Selection-Function*

*Nonground-Typing*

*HOL-Extra*

**begin**

**context** *nonground-typing*

**begin**

**abbreviation** *select-subst-stability-on-clause* **where**

*select-subst-stability-on-clause*  $\text{select } \text{select}_G C_G C \mathcal{V} \gamma \equiv$

$C \cdot \gamma = \text{clause.from-ground } C_G \wedge$

$\text{select}_G C_G = \text{clause.to-ground } ((\text{select } C) \cdot \gamma) \wedge$

$\text{clause.is-welltyped-ground-instance } C \mathcal{V} \gamma$

**abbreviation** *select-subst-stability-on* **where**

*select-subst-stability-on*  $\text{select } \text{select}_G N \equiv$

$\forall C_G \in \bigcup (\text{clause.welltyped-ground-instances } 'N). \exists (C, \mathcal{V}) \in N. \exists \gamma.$

*select-subst-stability-on-clause*  $\text{select } \text{select}_G C_G C \mathcal{V} \gamma$

```

lemma obtain-subst-stable-on-select-grounding:
  fixes select :: ('f, 'v) select
  obtains selectG where
    select-subst-stability-on select selectG N
    is-select-grounding select selectG
  ⟨proof⟩

end

locale grounded-selection-function =
  nonground-selection-function select +
  nonground-typing F
  for
    select :: ('f, 'v :: infinite) atom clause ⇒ ('f, 'v) atom clause and
    F :: ('f, 'ty) fun-types +
  fixes selectG
  assumes selectG: is-select-grounding select selectG
  begin

abbreviation subst-stability-on where
  subst-stability-on N ≡ select-subst-stability-on select selectG N

lemma selectG-subset: selectG C ⊆# C
  ⟨proof⟩

lemma selectG-negative-literals:
  assumes lG ∈# selectG CG
  shows is-neg lG
  ⟨proof⟩

sublocale ground: selection-function selectG
  ⟨proof⟩

end

end

theory Term-Rewrite-System
  imports Ground-Context
  begin

definition compatible-with-gctxt :: 'f gterm rel ⇒ bool where
  compatible-with-gctxt I ⇔ (∀ t t' ctxt. (t, t') ∈ I ⇒ (ctxt⟨t⟩G, ctxt⟨t'⟩G) ∈ I)

lemma compatible-with-gctxtD:
  compatible-with-gctxt I ⇒ (t, t') ∈ I ⇒ (ctxt⟨t⟩G, ctxt⟨t'⟩G) ∈ I
  ⟨proof⟩

lemma compatible-with-gctxt-converse:

```

**assumes** *compatible-with-gctxt I*  
**shows** *compatible-with-gctxt (I<sup>-1</sup>)*  
 ⟨*proof*⟩

**lemma** *compatible-with-gctxt-symcl:*  
**assumes** *compatible-with-gctxt I*  
**shows** *compatible-with-gctxt (I<sup>↔</sup>)*  
 ⟨*proof*⟩

**lemma** *compatible-with-gctxt-rtrancel:*  
**assumes** *compatible-with-gctxt I*  
**shows** *compatible-with-gctxt (I<sup>\*</sup>)*  
 ⟨*proof*⟩

**lemma** *compatible-with-gctxt-relcomp:*  
**assumes** *compatible-with-gctxt I1* **and** *compatible-with-gctxt I2*  
**shows** *compatible-with-gctxt (I1 O I2)*  
 ⟨*proof*⟩

**lemma** *compatible-with-gctxt-join:*  
**assumes** *compatible-with-gctxt I*  
**shows** *compatible-with-gctxt (I<sup>↓</sup>)*  
 ⟨*proof*⟩

**lemma** *compatible-with-gctxt-conversion:*  
**assumes** *compatible-with-gctxt I*  
**shows** *compatible-with-gctxt (I<sup>↔\*</sup>)*  
 ⟨*proof*⟩

**definition** *rewrite-inside-gctxt* :: 'f gterm rel ⇒ 'f gterm rel **where**  
*rewrite-inside-gctxt R = {(cctxt⟨t1⟩<sub>G</sub>, cctxt⟨t2⟩<sub>G</sub>) | cctxt t1 t2. (t1, t2) ∈ R}*

**lemma** *mem-rewrite-inside-gctxt-if-mem-rewrite-rules[intro]:*  
*(l, r) ∈ R ⇒ (l, r) ∈ rewrite-inside-gctxt R*  
 ⟨*proof*⟩

**lemma** *cctxt-mem-rewrite-inside-gctxt-if-mem-rewrite-rules[intro]:*  
*(l, r) ∈ R ⇒ (cctxt⟨l⟩<sub>G</sub>, cctxt⟨r⟩<sub>G</sub>) ∈ rewrite-inside-gctxt R*  
 ⟨*proof*⟩

**lemma** *rewrite-inside-gctxt-mono:* *R ⊆ S ⇒ rewrite-inside-gctxt R ⊆ rewrite-inside-gctxt S*  
 ⟨*proof*⟩

**lemma** *rewrite-inside-gctxt-union:*  
*rewrite-inside-gctxt (R ∪ S) = rewrite-inside-gctxt R ∪ rewrite-inside-gctxt S*  
 ⟨*proof*⟩

**lemma** *rewrite-inside-gctxt-insert:*

*rewrite-inside-gctxt* (insert  $r$   $R$ ) = *rewrite-inside-gctxt*  $\{r\} \cup$  *rewrite-inside-gctxt*  $R$   
 <proof>

**lemma** *converse-rewrite-steps*: (*rewrite-inside-gctxt*  $R$ )<sup>-1</sup> = *rewrite-inside-gctxt* ( $R^{-1}$ )  
 <proof>

**lemma** *rhs-lt-lhs-if-rule-in-rewrite-inside-gctxt*:  
**fixes** *less-trm* :: 'f gterm  $\Rightarrow$  'f gterm  $\Rightarrow$  bool (**infix**  $\prec_t$  50)  
**assumes**  
*rule-in*:  $(t1, t2) \in$  *rewrite-inside-gctxt*  $R$  **and**  
*ball-R-rhs-lt-lhs*:  $\bigwedge t1 t2. (t1, t2) \in R \implies t2 \prec_t t1$  **and**  
*compatible-with-gctxt*:  $\bigwedge t1 t2 \text{ ctxt}. t2 \prec_t t1 \implies \text{ctxt}\langle t2 \rangle_G \prec_t \text{ctxt}\langle t1 \rangle_G$   
**shows**  $t2 \prec_t t1$   
 <proof>

**lemma** *mem-rewrite-step-union-NF*:  
**assumes**  $(t, t') \in$  *rewrite-inside-gctxt* ( $R1 \cup R2$ )  
 $t \in$  *NF* (*rewrite-inside-gctxt*  $R2$ )  
**shows**  $(t, t') \in$  *rewrite-inside-gctxt*  $R1$   
 <proof>

**lemma** *predicate-holds-of-mem-rewrite-inside-gctxt*:  
**assumes** *rule-in*:  $(t1, t2) \in$  *rewrite-inside-gctxt*  $R$  **and**  
*ball-P*:  $\bigwedge t1 t2. (t1, t2) \in R \implies P t1 t2$  **and**  
*preservation*:  $\bigwedge t1 t2 \text{ ctxt } \sigma. (t1, t2) \in R \implies P t1 t2 \implies P \text{ctxt}\langle t1 \rangle_G \text{ctxt}\langle t2 \rangle_G$   
**shows**  $P t1 t2$   
 <proof>

**lemma** *compatible-with-gctxt-rewrite-inside-gctxt[simp]*: *compatible-with-gctxt* (*rewrite-inside-gctxt*  $E$ )  
 <proof>

**lemma** *subset-rewrite-inside-gctxt[simp]*:  $E \subseteq$  *rewrite-inside-gctxt*  $E$   
 <proof>

**lemma** *wf-converse-rewrite-inside-gctxt*:  
**fixes**  $E$  :: 'f gterm rel  
**assumes**  
*wfP-R*: *wfP*  $R$  **and**  
*R-compatible-with-gctxt*:  $\bigwedge \text{ctxt } t t'. R t t' \implies R \text{ctxt}\langle t \rangle_G \text{ctxt}\langle t' \rangle_G$  **and**  
*equations-subset-R*:  $\bigwedge x y. (x, y) \in E \implies R y x$   
**shows** *wf* ( $(\text{rewrite-inside-gctxt } E)^{-1}$ )  
 <proof>

**end**

**theory** *Entailment-Lifting*

**imports** *Abstract-Substitution.Functional-Substitution-Lifting*

**begin**

**locale entailment** =  
*based*: *based-functional-substitution* **where** *base-subst* = *base-subst* **and** *vars* =  
*vars* +  
*base*: *grounding* **where** *subst* = *base-subst* **and** *vars* = *base-vars* **and** *to-ground*  
= *base-to-ground* **and**  
*from-ground* = *base-from-ground* **for**  
*vars* :: 'expr  $\Rightarrow$  'var set **and**  
*base-subst* :: 'base  $\Rightarrow$  ('var  $\Rightarrow$  'base)  $\Rightarrow$  'base **and**  
*base-to-ground* :: 'base  $\Rightarrow$  'base<sub>G</sub> **and**  
*base-from-ground* +  
**fixes** *entails-def* :: 'expr  $\Rightarrow$  bool **and** *I* :: ('base<sub>G</sub>  $\times$  'base<sub>G</sub>) set  
**assumes**  
*congruence*:  $\bigwedge$  expr  $\gamma$  var update.  
*based.base.is-ground* update  $\implies$   
*based.base.is-ground* ( $\gamma$  var)  $\implies$   
(*base-to-ground* ( $\gamma$  var), *base-to-ground* update)  $\in$  *I*  $\implies$   
*based.is-ground* (*subst* expr  $\gamma$ )  $\implies$   
*entails-def* (*subst* expr ( $\gamma$ (var := update)))  $\implies$   
*entails-def* (*subst* expr  $\gamma$ )  
**begin**  
**abbreviation** *entails*  $\equiv$  *entails-def*  
**end**  
**locale symmetric-entailment** = *entailment* +  
**assumes** *sym*: *sym* *I*  
**begin**  
**lemma symmetric-congruence**:  
**assumes**  
*update-is-ground*: *based.base.is-ground* update **and**  
*var-grounding*: *based.base.is-ground* ( $\gamma$  var) **and**  
*var-update*: (*base-to-ground* ( $\gamma$  var), *base-to-ground* update)  $\in$  *I* **and**  
*expr-grounding*: *based.is-ground* (*subst* expr  $\gamma$ )  
**shows**  
*entails* (*subst* expr ( $\gamma$ (var := update)))  $\longleftrightarrow$  *entails* (*subst* expr  $\gamma$ )  
⟨*proof*⟩  
**end**  
**locale symmetric-base-entailment** =  
*base-functional-substitution* **where** *subst* = *subst* +  
*grounding* **where** *subst* = *subst* **and** *to-ground* = *to-ground* **for**  
*subst* :: 'base  $\Rightarrow$  ('var  $\Rightarrow$  'base)  $\Rightarrow$  'base (**infixl** · 70) **and**  
*to-ground* :: 'base  $\Rightarrow$  'base<sub>G</sub> +  
**fixes** *I* :: ('base<sub>G</sub>  $\times$  'base<sub>G</sub>) set  
**assumes**

*sym*: *sym I and*  
*congruence*:  $\bigwedge expr\ expr'\ update\ \gamma\ var.$   
*is-ground update*  $\implies$   
*is-ground*  $(\gamma\ var) \implies$   
 $(to\_ground\ (\gamma\ var),\ to\_ground\ update) \in I \implies$   
*is-ground*  $(expr \cdot \gamma) \implies$   
 $(to\_ground\ (expr \cdot (\gamma(var := update))),\ expr') \in I \implies$   
 $(to\_ground\ (expr \cdot \gamma),\ expr') \in I$

**begin**

**lemma** *symmetric-congruence*:

**assumes**  
*update-is-ground*: *is-ground update and*  
*var-grounding*: *is-ground*  $(\gamma\ var)$  **and**  
*expr-grounding*: *is-ground*  $(expr \cdot \gamma)$  **and**  
*var-update*:  $(to\_ground\ (\gamma\ var),\ to\_ground\ update) \in I$   
**shows**  $(to\_ground\ (expr \cdot (\gamma(var := update))),\ expr') \in I \longleftrightarrow (to\_ground\ (expr \cdot \gamma),\ expr') \in I$   
*<proof>*

**lemma** *simultaneous-congruence*:

**assumes**  
*update-is-ground*: *is-ground update and*  
*var-grounding*: *is-ground*  $(\gamma\ var)$  **and**  
*var-update*:  $(to\_ground\ (\gamma\ var),\ to\_ground\ update) \in I$  **and**  
*expr-grounding*: *is-ground*  $(expr \cdot \gamma)$  *is-ground*  $(expr' \cdot \gamma)$   
**shows**  
 $(to\_ground\ (expr \cdot (\gamma(var := update))),\ to\_ground\ (expr' \cdot (\gamma(var := update)))) \in I \longleftrightarrow$   
 $(to\_ground\ (expr \cdot \gamma),\ to\_ground\ (expr' \cdot \gamma)) \in I$   
*<proof>*

**end**

**locale** *entailment-lifting* =  
*based-functional-substitution-lifting* +  
*finite-variables-lifting* +  
*sub*: *symmetric-entailment*  
**where** *subst* = *sub-subst and vars* = *sub-vars and entails-def* = *sub-entails*  
**for** *sub-entails* +  
**fixes**  
*is-negated* ::  $'d \Rightarrow bool$  **and**  
*empty* ::  $bool$  **and**  
*connective* ::  $bool \Rightarrow bool \Rightarrow bool$  **and**  
*entails-def*  
**assumes**  
*is-negated-subst*:  $\bigwedge expr\ \sigma.\ is\_negated\ (subst\ expr\ \sigma) \longleftrightarrow is\_negated\ expr$  **and**  
*entails-def*:  $\bigwedge expr.\ entails\_def\ expr \longleftrightarrow$   
*(if is-negated expr then Not else*  $(\lambda x.\ x)$ *)*



```

      (Finite-Set.fold connective empty (sub-entails ' to-set expr))
begin

notation sub-entails (( $\models_s$  -) [50] 50)
notation entails-def (( $\models$  -) [50] 50)

sublocale symmetric-entailment where subst = subst and vars = vars and en-
tails-def = entails-def
⟨proof⟩

end

locale entailment-lifting-conj = entailment-lifting
  where connective = ( $\wedge$ ) and empty = True

locale entailment-lifting-disj = entailment-lifting
  where connective = ( $\vee$ ) and empty = False

end
theory Fold-Extra
  imports Main
begin

lemma comp-fun-idem-conj: comp-fun-idem-on X ( $\wedge$ )
  ⟨proof⟩

lemma comp-fun-idem-disj: comp-fun-idem-on X ( $\vee$ )
  ⟨proof⟩

lemma fold-conj-insert [simp]:
  Finite-Set.fold ( $\wedge$ ) True (insert b B)  $\longleftrightarrow$  b  $\wedge$  Finite-Set.fold ( $\wedge$ ) True B
  ⟨proof⟩

lemma fold-disj-insert [simp]:
  Finite-Set.fold ( $\vee$ ) False (insert b B)  $\longleftrightarrow$  b  $\vee$  Finite-Set.fold ( $\vee$ ) False B
  ⟨proof⟩

end
theory Nonground-Entailment
  imports
    Nonground-Context
    Nonground-Clause
    Term-Rewrite-System
    Entailment-Lifting
    Fold-Extra
begin

```

## 4 Entailment

**context** *nonground-term*

**begin**

**lemma** *var-in-term*:

**assumes**  $x \in \text{vars } t$

**obtains**  $c$  **where**  $t = c\langle \text{Var } x \rangle$

$\langle \text{proof} \rangle$

**lemma** *vars-term-ms-count*:

**assumes** *is-ground*  $t$

**shows**

$\text{size } \{\#x' \in \# \text{ vars-term-ms } c\langle \text{Var } x \rangle. x' = x\# \} = \text{Suc } (\text{size } \{\#x' \in \# \text{ vars-term-ms } c\langle t \rangle. x' = x\# \})$

$\langle \text{proof} \rangle$

**end**

**context** *nonground-clause*

**begin**

**lemma** *not-literal-entails* [*simp*]:

$\neg \text{upair } 'I \models \text{Neg } a \longleftrightarrow \text{upair } 'I \models \text{Pos } a$

$\neg \text{upair } 'I \models \text{Pos } a \longleftrightarrow \text{upair } 'I \models \text{Neg } a$

$\langle \text{proof} \rangle$

**lemmas** *literal-entails-unfolds* =

*not-literal-entails true-lit-simps*

**end**

**locale** *clause-entailment* = *nonground-clause* +

**fixes**  $I :: ('f \text{ gterm} \times 'f \text{ gterm}) \text{ set}$

**assumes**

*trans*: *trans*  $I$  **and**

*sym*: *sym*  $I$  **and**

*compatible-with-gtxt*: *compatible-with-gtxt*  $I$

**begin**

**lemma** *symmetric-context-congruence*:

**assumes**  $(t, t') \in I$

**shows**  $(c\langle t \rangle_G, t'') \in I \longleftrightarrow (c\langle t' \rangle_G, t'') \in I$

$\langle \text{proof} \rangle$

**lemma** *symmetric-upair-context-congruence*:

**assumes**  $\text{Upair } t \ t' \in \text{upair } 'I$

**shows**  $\text{Upair } c\langle t \rangle_G \ t'' \in \text{upair } 'I \longleftrightarrow \text{Upair } c\langle t' \rangle_G \ t'' \in \text{upair } 'I$

$\langle \text{proof} \rangle$

**lemma** *upair-compatible-with-gctxtI* [intro]:  
 $Upair\ t\ t' \in upair\ 'I \implies Upair\ c\langle t \rangle_G\ c\langle t' \rangle_G \in upair\ 'I$   
 ⟨proof⟩

**sublocale** *term: symmetric-base-entailment* **where**  $vars = term.vars :: ('f, 'v)$   
 $term \Rightarrow 'v\ set$  **and**  
 $id\text{-}subst = Var$  **and**  $comp\text{-}subst = (\odot)$  **and**  $subst = (\cdot t)$  **and**  $to\text{-}ground = term.to\text{-}ground$  **and**  
 $from\text{-}ground = term.from\text{-}ground$   
 ⟨proof⟩

**sublocale** *atom: symmetric-entailment*  
**where**  $comp\text{-}subst = (\odot)$  **and**  $id\text{-}subst = Var$   
**and**  $base\text{-}subst = (\cdot t)$  **and**  $base\text{-}vars = term.vars$  **and**  $subst = (\cdot a)$  **and**  $vars = atom.vars$   
**and**  $base\text{-}to\text{-}ground = term.to\text{-}ground$  **and**  $base\text{-}from\text{-}ground = term.from\text{-}ground$   
**and**  $I = I$   
**and**  $entails\text{-}def = \lambda a. atom.to\text{-}ground\ a \in upair\ 'I$   
 ⟨proof⟩

**sublocale** *literal: entailment-lifting-conj*  
**where**  $comp\text{-}subst = (\odot)$  **and**  $id\text{-}subst = Var$   
**and**  $base\text{-}subst = (\cdot t)$  **and**  $base\text{-}vars = term.vars$  **and**  $sub\text{-}subst = (\cdot a)$  **and**  
 $sub\text{-}vars = atom.vars$   
**and**  $base\text{-}to\text{-}ground = term.to\text{-}ground$  **and**  $base\text{-}from\text{-}ground = term.from\text{-}ground$   
**and**  $I = I$   
**and**  $sub\text{-}entails = atom.entails$  **and**  $map = map\text{-}literal$  **and**  $to\text{-}set = set\text{-}literal$   
**and**  $is\text{-}negated = is\text{-}neg$  **and**  $entails\text{-}def = \lambda l. upair\ 'I \models l\ literal.to\text{-}ground\ l$   
 ⟨proof⟩

**sublocale** *clause: entailment-lifting-disj*  
**where**  $comp\text{-}subst = (\odot)$  **and**  $id\text{-}subst = Var$   
**and**  $base\text{-}subst = (\cdot t)$  **and**  $base\text{-}vars = term.vars$   
**and**  $base\text{-}to\text{-}ground = term.to\text{-}ground$  **and**  $base\text{-}from\text{-}ground = term.from\text{-}ground$   
**and**  $I = I$   
**and**  $sub\text{-}subst = (\cdot l)$  **and**  $sub\text{-}vars = literal.vars$  **and**  $sub\text{-}entails = literal.entails$   
**and**  $map = image\text{-}mset$  **and**  $to\text{-}set = set\text{-}mset$  **and**  $is\text{-}negated = \lambda -. False$   
**and**  $entails\text{-}def = \lambda C. upair\ 'I \models clause.to\text{-}ground\ C$   
 ⟨proof⟩

**lemma** *literal-compatible-with-gctxtI* [intro]:  
 $literal.entails\ (t \approx t') \implies literal.entails\ (c\langle t \rangle \approx c\langle t' \rangle)$   
 ⟨proof⟩

**lemma** *symmetric-literal-context-congruence*:  
**assumes**  $Upair\ t\ t' \in upair\ 'I$   
**shows**  
 $upair\ 'I \models c\langle t \rangle_G \approx t'' \iff upair\ 'I \models c\langle t' \rangle_G \approx t''$

$upair \text{ ' } I \Vdash l \ c(t)_G \ !\approx t'' \longleftrightarrow upair \text{ ' } I \Vdash l \ c(t')_G \ !\approx t''$   
 ⟨proof⟩

**end**

**end**

**theory** *Nonground-Inference*

**imports** *Nonground-Clause Nonground-Typing*

**begin**

**locale** *nonground-inference* = *nonground-clause*

**begin**

**sublocale** *inference: term-based-lifting* **where**

*sub-subst* = *clause.subst* **and** *sub-vars* = *clause.vars* **and** *map* = *map-inference*

**and**

*to-set* = *set-inference* **and** *sub-to-ground* = *clause.to-ground* **and**

*sub-from-ground* = *clause.from-ground* **and** *to-ground-map* = *map-inference* **and**

*from-ground-map* = *map-inference* **and** *ground-map* = *map-inference* **and** *to-set-ground*  
 = *set-inference*

⟨proof⟩

**notation** *inference.subst* (**infixl**  $\cdot \iota$  67)

**lemma** *vars-inference* [*simp*]:

*inference.vars* (*Infer* *Ps* *C*) =  $\bigcup$  (*clause.vars*  $\text{ ' } set \ Ps$ )  $\cup$  *clause.vars* *C*

⟨proof⟩

**lemma** *subst-inference* [*simp*]:

*Infer* *Ps* *C*  $\cdot \iota$   $\sigma$  = *Infer* (*map* ( $\lambda P. P \cdot \sigma$ ) *Ps*) (*C*  $\cdot \sigma$ )

⟨proof⟩

**lemma** *inference-from-ground-clause-from-ground* [*simp*]:

*inference.from-ground* (*Infer* *Ps* *C*) = *Infer* (*map* *clause.from-ground* *Ps*) (*clause.from-ground*  
*C*)

⟨proof⟩

**lemma** *inference-to-ground-clause-to-ground* [*simp*]:

*inference.to-ground* (*Infer* *Ps* *C*) = *Infer* (*map* *clause.to-ground* *Ps*) (*clause.to-ground*  
*C*)

⟨proof⟩

**lemma** *inference-is-ground-clause-is-ground* [*simp*]:

*inference.is-ground* (*Infer* *Ps* *C*)  $\longleftrightarrow$  *list-all* *clause.is-ground* *Ps*  $\wedge$  *clause.is-ground*  
*C*

⟨proof⟩

**end**

```

end
theory Restricted-Order
  imports Main
begin

```

## 5 Restricted Orders

```

locale relation-restriction =
  fixes  $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  and  $\text{lift} :: 'b \Rightarrow 'a$ 
  assumes inj-lift [intro]: inj lift
begin

```

```

definition  $R_r :: 'b \Rightarrow 'b \Rightarrow \text{bool}$  where
   $R_r\ b\ b' \equiv R\ (\text{lift}\ b)\ (\text{lift}\ b')$ 

```

```

end

```

### 5.1 Strict Orders

```

locale strict-order =
  fixes
     $\text{less} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (infix  $\prec$  50)
  assumes
    transp [intro]: transp  $\prec$  and
    asympt [intro]: asympt  $\prec$ 
begin

```

```

abbreviation less-eq where  $\text{less-eq} \equiv \prec^{\text{==}}$ 

```

```

notation less-eq (infix  $\preceq$  50)

```

```

sublocale order ( $\preceq$ )  $\prec$ 
  <proof>

```

```

end

```

```

locale strict-order-restriction =
  strict-order +
  relation-restriction where  $R = \prec$ 
begin

```

```

abbreviation  $\text{less}_r \equiv R_r$ 

```

```

lemmas less_r-def = R_r-def

```

```

notation  $\text{less}_r$  (infix  $\prec_r$  50)

```

```

sublocale restriction: strict-order  $\prec_r$ 
  <proof>

```

**abbreviation**  $less\text{-}eq_r \equiv restriction.\text{less}\text{-}eq$

**notation**  $less\text{-}eq_r$  (**infix**  $\preceq_r$  50)

**end**

## 5.2 Wellfounded Strict Orders

**locale**  $restricted\text{-}wellfounded\text{-}strict\text{-}order = strict\text{-}order +$

**fixes**  $restriction$

**assumes**  $wfp$  [*intro*]:  $wfp\text{-}on\ restriction (\prec)$

**locale**  $wellfounded\text{-}strict\text{-}order =$

$restricted\text{-}wellfounded\text{-}strict\text{-}order$  **where**  $restriction = UNIV$

**locale**  $wellfounded\text{-}strict\text{-}order\text{-}restriction =$

$strict\text{-}order\text{-}restriction +$

$restricted\text{-}wellfounded\text{-}strict\text{-}order$  **where**  $restriction = range\ lift$  **and**  $less = (\prec)$

**begin**

**sublocale**  $wellfounded\text{-}strict\text{-}order (\prec_r)$

$\langle proof \rangle$

**end**

## 5.3 Total Strict Orders

**locale**  $restricted\text{-}total\text{-}strict\text{-}order = strict\text{-}order +$

**fixes**  $restriction$

**assumes**  $totalp$  [*intro*]:  $totalp\text{-}on\ restriction (\prec)$

**begin**

**lemma**  $restricted\text{-}not\text{-}le:$

**assumes**  $a \in restriction\ b \in restriction \neg b \prec a$

**shows**  $a \preceq b$

$\langle proof \rangle$

**end**

**locale**  $total\text{-}strict\text{-}order =$

$restricted\text{-}total\text{-}strict\text{-}order$  **where**  $restriction = UNIV$

**begin**

**sublocale**  $linorder (\preceq) (\prec)$

$\langle proof \rangle$

**end**

**locale**  $total\text{-}strict\text{-}order\text{-}restriction =$

$strict\text{-}order\text{-}restriction +$

```

    restricted-total-strict-order where restriction = range lift and less = ( $\prec$ )
begin

sublocale total-strict-order ( $\prec_r$ )
  <proof>

end

locale restricted-wellfounded-total-strict-order =
  restricted-wellfounded-strict-order + restricted-total-strict-order

end
theory Context-Compatible-Order
  imports
    Ground-Context
    Restricted-Order
begin

locale restriction-restricted =
  fixes restriction context-restriction restricted restricted-context
assumes
  restricted:
     $\bigwedge t. t \in \text{restriction} \longleftrightarrow \text{restricted } t$ 
     $\bigwedge c. c \in \text{context-restriction} \longleftrightarrow \text{restricted-context } c$ 

locale restricted-context-compatibility =
  restriction-restricted +
fixes R Fun
assumes
  context-compatible [simp]:
     $\bigwedge c t_1 t_2.$ 
       $\text{restricted } t_1 \implies$ 
       $\text{restricted } t_2 \implies$ 
       $\text{restricted-context } c \implies$ 
       $R (\text{Fun}\langle c; t_1 \rangle) (\text{Fun}\langle c; t_2 \rangle) \longleftrightarrow R t_1 t_2$ 

locale context-compatibility = restricted-context-compatibility where
  restriction = UNIV and context-restriction = UNIV and restricted =  $\lambda\cdot. \text{True}$ 
and
  restricted-context =  $\lambda\cdot. \text{True}$ 
begin

lemma context-compatibility [simp]:  $R (\text{Fun}\langle c; t_1 \rangle) (\text{Fun}\langle c; t_2 \rangle) \longleftrightarrow R t_1 t_2$ 
  <proof>

end

locale context-compatible-restricted-order =
  restricted-total-strict-order +

```

*restriction-restricted* +  
**fixes** *Fun*  
**assumes** *less-context-compatible*:  
 $\bigwedge c\ t_1\ t_2.$   
 $\text{restricted } t_1 \implies$   
 $\text{restricted } t_2 \implies$   
 $\text{restricted-context } c \implies$   
 $t_1 \prec t_2 \implies$   
 $\text{Fun}\langle c; t_1 \rangle \prec \text{Fun}\langle c; t_2 \rangle$   
**begin**  
  
**sublocale** *restricted-context-compatibility* **where**  $R = (\prec)$   
 $\langle \text{proof} \rangle$   
  
**sublocale** *less-eq: restricted-context-compatibility* **where**  $R = (\preceq)$   
 $\langle \text{proof} \rangle$   
  
**lemma** *context-less-term-lesseq*:  
**assumes**  
 $\text{restricted } t$   
 $\text{restricted } t'$   
 $\text{restricted-context } c$   
 $\text{restricted-context } c'$   
 $\bigwedge t. \text{restricted } t \implies \text{Fun}\langle c; t \rangle \prec \text{Fun}\langle c'; t \rangle$   
 $t \preceq t'$   
**shows**  $\text{Fun}\langle c; t \rangle \prec \text{Fun}\langle c'; t' \rangle$   
 $\langle \text{proof} \rangle$   
  
**lemma** *context-lesseq-term-less*:  
**assumes**  
 $\text{restricted } t$   
 $\text{restricted } t'$   
 $\text{restricted-context } c$   
 $\text{restricted-context } c'$   
 $\bigwedge t. \text{restricted } t \implies \text{Fun}\langle c; t \rangle \preceq \text{Fun}\langle c'; t \rangle$   
 $t \prec t'$   
**shows**  $\text{Fun}\langle c; t \rangle \prec \text{Fun}\langle c'; t' \rangle$   
 $\langle \text{proof} \rangle$   
  
**end**  
  
**locale** *context-compatible-order* =  
 $\text{total-strict-order} +$   
**fixes** *Fun*  
**assumes** *less-context-compatible*:  $t_1 \prec t_2 \implies \text{Fun}\langle c; t_1 \rangle \prec \text{Fun}\langle c; t_2 \rangle$   
**begin**  
  
**sublocale** *restricted: context-compatible-restricted-order* **where**  
 $\text{restriction} = \text{UNIV}$  **and**  $\text{context-restriction} = \text{UNIV}$  **and**  $\text{restricted} = \lambda-. \text{True}$



```

and
  restricted-context = λ-. True
  ⟨proof⟩

sublocale context-compatibility (≺)
  ⟨proof⟩

sublocale less-eq: context-compatibility (≼)
  ⟨proof⟩

lemma context-less-term-lesseq:
  assumes
    ∧t. Fun⟨c;t⟩ ≺ Fun⟨c';t⟩
    t ≼ t'
  shows Fun⟨c;t⟩ ≺ Fun⟨c';t'⟩
  ⟨proof⟩

lemma context-lesseq-term-less:
  assumes
    ∧t. Fun⟨c;t⟩ ≼ Fun⟨c';t⟩
    t ≺ t'
  shows Fun⟨c;t⟩ ≺ Fun⟨c';t'⟩
  ⟨proof⟩

end

end
theory Term-Order-Notation
  imports Main
begin

  locale term-order-notation =
    fixes lesst :: 't ⇒ 't ⇒ bool
  begin

    notation lesst (infix <t 50)

    abbreviation less-eqt ≡ (<t)==

    notation less-eqt (infix ≼t 50)

  end

end
theory Transitive-Closure-Extra
  imports Main
begin

  lemma reflclp-iff: ∧R x y. R== x y ↔ R x y ∨ x = y

```

*<proof>*

**lemma** *reflclp-refl*:  $R^{==} x x$   
*<proof>*

**lemma** *transpD-strict-non-strict*:  
**assumes** *transp R*  
**shows**  $\bigwedge x y z. R x y \implies R^{==} y z \implies R x z$   
*<proof>*

**lemma** *transpD-non-strict-strict*:  
**assumes** *transp R*  
**shows**  $\bigwedge x y z. R^{==} x y \implies R y z \implies R x z$   
*<proof>*

**lemma** *mem-rtrancl-union-iff-mem-rtrancl-lhs*:  
**assumes**  $\bigwedge z. (x, z) \in A^* \implies z \notin \text{Domain } B$   
**shows**  $(x, y) \in (A \cup B)^* \longleftrightarrow (x, y) \in A^*$   
*<proof>*

**lemma** *mem-rtrancl-union-iff-mem-rtrancl-rhs*:  
**assumes**  
 $\bigwedge z. (x, z) \in B^* \implies z \notin \text{Domain } A$   
**shows**  $(x, y) \in (A \cup B)^* \longleftrightarrow (x, y) \in B^*$   
*<proof>*

**end**

**theory** *Ground-Term-Order*  
**imports**  
*Ground-Context*  
*Context-Compatible-Order*  
*Term-Order-Notation*  
*Transitive-Closure-Extra*

**begin**

**locale** *context-compatible-ground-order* = *context-compatible-order* **where**  $Fun = GFun$

**locale** *subterm-property* =  
*strict-order* **where**  $less = less_t$   
**for**  $less_t :: 'f\ gterm \Rightarrow 'f\ gterm \Rightarrow bool +$   
**assumes**  
*subterm-property [simp]*:  $\bigwedge t c. c \neq \square \implies less_t t c\langle t \rangle_G$

**begin**

**interpretation** *term-order-notation**<proof>*

**lemma** *less-eq-subterm-property*:  $t \preceq_t c\langle t \rangle_G$   
*<proof>*

```

end

locale ground-term-order =
  wellfounded-strict-order lesst +
  total-strict-order lesst +
  context-compatible-ground-order lesst +
  subterm-property lesst
  for lesst :: 'f gterm ⇒ 'f gterm ⇒ bool
begin

interpretation term-order-notation⟨proof⟩

```

```

end

```

```

end
theory Grounded-Order
  imports
    Restricted-Order
    Abstract-Substitution.Functional-Substitution-Lifting
begin

```

## 6 Orders with ground restrictions

```

locale grounded-order =
  strict-order where less = less +
  grounding where vars = vars
for
  less :: 'expr ⇒ 'expr ⇒ bool (infix <> 50) and
  vars :: 'expr ⇒ 'var set
begin

sublocale strict-order-restriction where lift = from-ground
  ⟨proof⟩

abbreviation lessG ≡ lessr
lemmas lessG-def = lessr-def
notation lessG (infix <G 50)

abbreviation less-eqG ≡ less-eqr
notation less-eqG (infix ≤G 50)

lemma to-ground-lessr [simp]:
  assumes is-ground e and is-ground e'
  shows to-ground e <G to-ground e' ⟷ e < e'
  ⟨proof⟩

lemma to-ground-less-eqr [simp]:

```

**assumes** *is-ground e and is-ground e'*  
**shows** *to-ground e  $\preceq_G$  to-ground e'  $\longleftrightarrow$  e  $\preceq$  e'*  
*<proof>*

**lemma** *less-eq<sub>r</sub>-from-ground [simp]:*  
*e<sub>G</sub>  $\preceq_G$  e'<sub>G</sub>  $\longleftrightarrow$  from-ground e<sub>G</sub>  $\preceq$  from-ground e'<sub>G</sub>*  
*<proof>*

**end**

**locale** *grounded-restricted-total-strict-order =*  
*order: restricted-total-strict-order where restriction = range from-ground +*  
*grounded-order*

**begin**

**sublocale** *total-strict-order-restriction where lift = from-ground*  
*<proof>*

**lemma** *not-less-eq [simp]:*  
**assumes** *is-ground expr and is-ground expr'*  
**shows**  $\neg$  *order.less-eq expr' expr  $\longleftrightarrow$  expr  $\prec$  expr'*  
*<proof>*

**end**

**locale** *grounded-restricted-wellfounded-strict-order =*  
*restricted-wellfounded-strict-order where restriction = range from-ground +*  
*grounded-order*

**begin**

**sublocale** *wellfounded-strict-order-restriction where lift = from-ground*  
*<proof>*

**end**

## 6.1 Ground substitution stability

**locale** *ground-subst-stability = grounding +*  
**fixes** *R*

**assumes**

*ground-subst-stability:*  
 $\bigwedge$  *expr<sub>1</sub> expr<sub>2</sub>  $\gamma$ .*  
*is-ground (expr<sub>1</sub>  $\cdot$   $\gamma$ )  $\implies$*   
*is-ground (expr<sub>2</sub>  $\cdot$   $\gamma$ )  $\implies$*   
*R expr<sub>1</sub> expr<sub>2</sub>  $\implies$*   
*R (expr<sub>1</sub>  $\cdot$   $\gamma$ ) (expr<sub>2</sub>  $\cdot$   $\gamma$ )*

**locale** *ground-subst-stable-grounded-order =*  
*grounded-order +*

```

  ground-subst-stability where  $R = (<)$ 
begin

sublocale less-eq: ground-subst-stability where  $R = (\preceq)$ 
   $\langle$ proof $\rangle$ 

lemma ground-less-not-less-eq:
  assumes
    grounding: is-ground ( $expr_1 \cdot \gamma$ ) is-ground ( $expr_2 \cdot \gamma$ ) and
    less:  $expr_1 \cdot \gamma < expr_2 \cdot \gamma$ 
  shows
     $\neg expr_2 \preceq expr_1$ 
   $\langle$ proof $\rangle$ 

end

```

## 6.2 Substitution update stability

```

locale subst-update-stability =
  based-functional-substitution +
  fixes base-R  $R$ 
  assumes
    subst-update-stability:
       $\bigwedge$ update  $x \ \gamma \ expr.$ 
        base.is-ground update  $\implies$ 
        base-R update ( $\gamma \ x$ )  $\implies$ 
        is-ground ( $expr \cdot \gamma$ )  $\implies$ 
         $x \in vars \ expr \implies$ 
         $R (expr \cdot \gamma(x := update)) (expr \cdot \gamma)$ 

locale base-subst-update-stability =
  base-functional-substitution +
  subst-update-stability where  $base-R = R$  and base-subst = subst and base-vars
  = vars

locale subst-update-stable-grounded-order =
  grounded-order + subst-update-stability where  $R = less$  and  $base-R = base-less$ 
for base-less
begin

sublocale less-eq: subst-update-stability
  where  $base-R = base-less^{==}$  and  $R = less^{==}$ 
   $\langle$ proof $\rangle$ 

end

locale base-subst-update-stable-grounded-order =
  base-subst-update-stability where  $R = less$  +
  subst-update-stable-grounded-order where

```

```

    base-less = less and base-subst = subst and base-vars = vars

end
theory Multiset-Extension
  imports
    Restricted-Order
    Multiset-Extra
begin

```

## 7 Multiset Extensions

```

locale multiset-extension = order: strict-order +
  fixes to-mset :: 'b  $\Rightarrow$  'a multiset
begin

```

```

definition multiset-extension :: 'b  $\Rightarrow$  'b  $\Rightarrow$  bool where
  multiset-extension b1 b2  $\equiv$  multp ( $\prec$ ) (to-mset b1) (to-mset b2)

```

```

notation multiset-extension (infix  $\prec_m$  50)

```

```

sublocale strict-order ( $\prec_m$ )
  <proof>

```

```

notation less-eq (infix  $\preceq_m$  50)

```

```

end

```

### 7.1 Wellfounded Multiset Extensions

```

locale wellfounded-multiset-extension =
  order: wellfounded-strict-order +
  multiset-extension
begin

```

```

sublocale wellfounded-strict-order ( $\prec_m$ )
  <proof>

```

```

end

```

### 7.2 Total Multiset Extensions

```

locale restricted-total-multiset-extension =
  base: restricted-total-strict-order +
  multiset-extension +
  assumes inj-on-to-mset: inj-on to-mset {b. set-mset (to-mset b)  $\subseteq$  restriction}
begin

```

```

sublocale restricted-total-strict-order ( $\prec_m$ ) {b. set-mset (to-mset b)  $\subseteq$  restriction}

```

```

⟨proof⟩

end

locale total-multiset-extension =
  order: total-strict-order +
  multiset-extension +
  assumes inj-to-mset: inj to-mset
begin

sublocale restricted-total-multiset-extension where restriction = UNIV
  ⟨proof⟩

sublocale total-strict-order ( $\prec_m$ )
  ⟨proof⟩

end

locale total-wellfounded-multiset-extension =
  wellfounded-multiset-extension + total-multiset-extension

end
theory Grounded-Multiset-Extension
  imports Grounded-Order Multiset-Extension
begin

```

## 8 Grounded Multiset Extensions

```

locale functional-substitution-multiset-extension =
  sub: strict-order where less = ( $\prec$ ) :: 'sub  $\Rightarrow$  'sub  $\Rightarrow$  bool +
  multiset-extension where to-mset = to-mset +
  functional-substitution-lifting where id-subst = id-subst and to-set = to-set
for
  to-mset :: 'expr  $\Rightarrow$  'sub multiset and
  id-subst :: 'var  $\Rightarrow$  'base and
  to-set :: 'expr  $\Rightarrow$  'sub set +
assumes

  to-mset-to-set:  $\bigwedge$  expr. set-mset (to-mset expr) = to-set expr and
  to-mset-map:  $\bigwedge$  f b. to-mset (map f b) = image-mset f (to-mset b) and
  inj-to-mset: inj to-mset
begin

no-notation less-eq (infix  $\preceq$  50)
notation sub.less-eq (infix  $\preceq$  50)

lemma lesseq-if-all-lesseq:
  assumes  $\forall$  sub  $\in$   $\#$  to-mset expr. sub  $\cdot_s$   $\sigma' \preceq$  sub  $\cdot_s$   $\sigma$ 

```

**shows**  $expr \cdot \sigma' \preceq_m expr \cdot \sigma$   
 ⟨proof⟩

**lemma** *less-if-all-lesseq-ex-less*:

**assumes**

$\forall sub \in \#to\text{-mset } expr. sub \cdot_s \sigma' \preceq sub \cdot_s \sigma$   
 $\exists sub \in \#to\text{-mset } expr. sub \cdot_s \sigma' \prec sub \cdot_s \sigma$

**shows**

$expr \cdot \sigma' \prec_m expr \cdot \sigma$   
 ⟨proof⟩

**end**

**locale** *grounded-multiset-extension* =

*grounding-lifting* **where**

*id-subst* = *id-subst* :: 'var  $\Rightarrow$  'base **and** *to-set* = *to-set* :: 'expr  $\Rightarrow$  'sub set **and**

*to-set-ground* = *to-set-ground* +

*functional-substitution-multiset-extension* **where** *to-mset* = *to-mset*

**for**

*to-mset* :: 'expr  $\Rightarrow$  'sub multiset **and**

*to-set-ground* :: 'expr<sub>G</sub>  $\Rightarrow$  'sub<sub>G</sub> set

**begin**

**sublocale** *strict-order-restriction* ( $\prec_m$ ) *from-ground*  
 ⟨proof⟩

**end**

**locale** *total-grounded-multiset-extension* =

*grounded-multiset-extension* +

*sub*: *total-strict-order-restriction* **where** *lift* = *sub-from-ground*

**begin**

**sublocale** *total-strict-order-restriction* ( $\prec_m$ ) *from-ground*  
 ⟨proof⟩

**end**

**locale** *based-grounded-multiset-extension* =

*based-functional-substitution-lifting* **where** *base-vars* = *base-vars* +

*grounded-multiset-extension* +

*base*: *strict-order* **where** *less* = *base-less*

**for**

*base-vars* :: 'base  $\Rightarrow$  'var set **and**

*base-less* :: 'base  $\Rightarrow$  'base  $\Rightarrow$  bool



## 8.1 Ground substitution stability

```
locale ground-subst-stable-total-multiset-extension =  
  grounded-multiset-extension +  
  sub: ground-subst-stable-grounded-order where  
    less = less and subst = sub-subst and vars = sub-vars and from-ground =  
  sub-from-ground and  
    to-ground = sub-to-ground  
begin
```

```
sublocale ground-subst-stable-grounded-order where  
  less = ( $\prec_m$ ) and subst = subst and vars = vars and from-ground = from-ground  
and  
  to-ground = to-ground  
{proof}
```

**end**

## 8.2 Substitution update stability

```
locale subst-update-stable-multiset-extension =  
  based-grounded-multiset-extension +  
  sub: subst-update-stable-grounded-order where  
    vars = sub-vars and subst = sub-subst and to-ground = sub-to-ground and  
    from-ground = sub-from-ground  
begin
```

```
no-notation less-eq (infix  $\preceq$  50)
```

```
sublocale subst-update-stable-grounded-order where  
  less = ( $\prec_m$ ) and vars = vars and subst = subst and from-ground = from-ground  
and  
  to-ground = to-ground  
{proof}
```

**end**

**end**

```
theory Maximal-Literal
```

```
  imports
```

```
    Clausal-Calculus-Extra
```

```
    Min-Max-Least-Greatest.Min-Max-Least-Greatest-Multiset
```

```
    Restricted-Order
```

```
  begin
```

```
    locale maximal-literal = order: strict-order where less = less
```

```
    for less :: 'a literal  $\Rightarrow$  'a literal  $\Rightarrow$  bool
```

```
    begin
```

**abbreviation** *is-maximal* :: 'a literal  $\Rightarrow$  'a clause  $\Rightarrow$  bool **where**  
*is-maximal* l C  $\equiv$  order.is-maximal-in-mset C l

**abbreviation** *is-strictly-maximal* :: 'a literal  $\Rightarrow$  'a clause  $\Rightarrow$  bool **where**  
*is-strictly-maximal* l C  $\equiv$  order.is-strictly-maximal-in-mset C l

**lemmas** *is-maximal-def* = order.is-maximal-in-mset-iff

**lemmas** *is-strictly-maximal-def* = order.is-strictly-maximal-in-mset-iff

**lemmas** *is-maximal-if-is-strictly-maximal* = order.is-maximal-in-mset-if-is-strictly-maximal-in-mset

**lemma** *maximal-in-clause*:  
**assumes** *is-maximal* l C  
**shows** l  $\in$  # C  
 <proof>

**lemma** *strictly-maximal-in-clause*:  
**assumes** *is-strictly-maximal* l C  
**shows** l  $\in$  # C  
 <proof>

**lemma** *is-maximal-not-empty* [intro]: *is-maximal* l C  $\Longrightarrow$  C  $\neq$  {#}  
 <proof>

**lemma** *is-strictly-maximal-not-empty* [intro]: *is-strictly-maximal* l C  $\Longrightarrow$  C  $\neq$  {#}  
 <proof>

**end**

**end**

**theory** *Term-Order-Lifting*  
**imports**  
*Grounded-Multiset-Extension*  
*Maximal-Literal*  
*Term-Order-Notation*

**begin**

**locale** *restricted-term-order-lifting* =  
 term.order: *restricted-wellfounded-total-strict-order* **where** less = less<sub>t</sub>  
**for** less<sub>t</sub> :: 't  $\Rightarrow$  't  $\Rightarrow$  bool +  
**fixes** *literal-to-mset* :: 'a literal  $\Rightarrow$  't multiset  
**assumes** *inj-literal-to-mset*: inj *literal-to-mset*  
**begin**

**sublocale** *term-order-notation*<proof>

**abbreviation** *literal-order-restriction* **where**

$literal\text{-}order\text{-}restriction \equiv \{b. set\text{-}mset (literal\text{-}to\text{-}mset b) \subseteq restriction\}$

**sublocale** *literal.order: restricted-total-multiset-extension* **where**  
 $less = (\prec_t)$  **and**  $to\text{-}mset = literal\text{-}to\text{-}mset$   
 $\langle proof \rangle$

**notation** *literal.order.multiset-extension* (**infix**  $\prec_l$  50)  
**notation** *literal.order.less-eq* (**infix**  $\preceq_l$  50)

**lemmas**  $less_l\text{-}def = literal.order.multiset-extension\text{-}def$

**sublocale** *maximal-literal* ( $\prec_l$ )  
 $\langle proof \rangle$

**sublocale** *clause.order: restricted-total-multiset-extension* **where**  
 $less = (\prec_l)$  **and**  $to\text{-}mset = \lambda x. x$  **and**  $restriction = literal\text{-}order\text{-}restriction$   
 $\langle proof \rangle$

**notation** *clause.order.multiset-extension* (**infix**  $\prec_c$  50)  
**notation** *clause.order.less-eq* (**infix**  $\preceq_c$  50)

**lemmas**  $less_c\text{-}def = clause.order.multiset-extension\text{-}def$

**end**

**locale** *term-order-lifting* =  
*restricted-term-order-lifting* **where**  $restriction = UNIV +$   
 $term.order: wellfounded\text{-}strict\text{-}order less_t +$   
 $term.order: total\text{-}strict\text{-}order less_t$   
**begin**

**sublocale** *literal.order: total-wellfounded-multiset-extension* **where**  
 $less = (\prec_t)$  **and**  $to\text{-}mset = literal\text{-}to\text{-}mset$   
 $\langle proof \rangle$

**sublocale** *clause.order: total-wellfounded-multiset-extension* **where**  
 $less = (\prec_l)$  **and**  $to\text{-}mset = \lambda x. x$   
 $\langle proof \rangle$

**end**

**end**

**theory** *Ground-Order*  
**imports** *Ground-Term-Order Term-Order-Lifting*  
**begin**

**locale** *ground-order* =  
 $term.order: ground\text{-}term\text{-}order +$   
 $term.order\text{-}lifting$

```

locale ground-order-with-equality =
  term.order: ground-term-order
begin

  sublocale ground-order
    where literal-to-mset = mset-lit
    <proof>

end

end
theory Nonground-Term-Order
  imports
    Nonground-Term
    Nonground-Context
    Ground-Order
begin

  locale ground-context-compatible-order =
    nonground-term-with-context +
    restricted-total-strict-order where restriction = range term.from-ground +
  assumes ground-context-compatibility:
     $\bigwedge c t_1 t_2.$ 
      term.is-ground  $t_1 \implies$ 
      term.is-ground  $t_2 \implies$ 
      context.is-ground  $c \implies$ 
       $t_1 < t_2 \implies$ 
       $c\langle t_1 \rangle < c\langle t_2 \rangle$ 
begin

  sublocale context-compatible-restricted-order where
    restriction = range term.from-ground and context-restriction = range context.from-ground
  and
    Fun = Fun and restricted = term.is-ground and restricted-context = context.is-ground
    <proof>

end

  locale ground-subterm-property =
    nonground-term-with-context +
  fixes  $R$ 
  assumes ground-subterm-property:
     $\bigwedge t_G c_G.$ 
      term.is-ground  $t_G \implies$ 
      context.is-ground  $c_G \implies$ 
       $c_G \neq \square \implies$ 
       $R t_G c_G\langle t_G \rangle$ 

```

```

locale base-grounded-order =
  order: base-subst-update-stable-grounded-order +
  order: grounded-restricted-total-strict-order +
  order: grounded-restricted-wellfounded-strict-order +
  order: ground-subst-stable-grounded-order +
  grounding

locale nonground-term-order =
  nonground-term-with-context +
  order: restricted-wellfounded-total-strict-order where
  less = lesst and restriction = range term.from-ground +
  order: ground-subst-stability where R = lesst and comp-subst = (⊙) and subst
= (·t) and
  vars = term.vars and id-subst = Var and to-ground = term.to-ground and
  from-ground = term.from-ground +
  order: ground-context-compatible-order where less = lesst +
  order: ground-subterm-property where R = lesst
for lesst :: ('f, 'v) Term.term ⇒ ('f, 'v) Term.term ⇒ bool
begin

interpretation term-order-notation⟨proof⟩

sublocale base-grounded-order where
  comp-subst = (⊙) and subst = (·t) and vars = term.vars and id-subst = Var
and
  to-ground = term.to-ground and from-ground = term.from-ground and less =
  (≺t)
  ⟨proof⟩

notation order.lessG (infix ≺tG 50)
notation order.less-eqG (infix ≼tG 50)

sublocale restriction: ground-term-order (≺tG)
  ⟨proof⟩

end

end
theory Nonground-Order
  imports
    Nonground-Clause
    Nonground-Term-Order
    Term-Order-Lifting
begin

```

## 9 Nonground Order

```

locale nonground-order-lifting =
  grounding-lifting +
  order: total-grounded-multiset-extension +
  order: ground-subst-stable-total-multiset-extension +
  order: subst-update-stable-multiset-extension
begin

sublocale order: grounded-restricted-total-strict-order where
  less = order.multiset-extension and subst = subst and vars = vars and to-ground
= to-ground and
  from-ground = from-ground
  ⟨proof⟩

end

locale nonground-term-based-order-lifting =
  term: nonground-term +
  nonground-order-lifting where
  id-subst = Var and comp-subst = (⊙) and base-vars = term.vars and base-less
= lesst and
  base-subst = (·t)
for lesst

locale nonground-equality-order =
  nonground-clause +
  term: nonground-term-order
begin

sublocale restricted-term-order-lifting where
  restriction = range term.from-ground and literal-to-mset = mset-lit
  ⟨proof⟩

notation term.order.lessG (infix <tG 50)
notation term.order.less-eqG (infix ≲tG 50)

sublocale literal: nonground-term-based-order-lifting where
  less = lesst and sub-subst = (·t) and sub-vars = term.vars and sub-to-ground
= term.to-ground and
  sub-from-ground = term.from-ground and map = map-uprod-literal and to-set
= uprod-literal-to-set and
  to-ground-map = map-uprod-literal and from-ground-map = map-uprod-literal
and
  ground-map = map-uprod-literal and to-set-ground = uprod-literal-to-set and
to-mset = mset-lit
rewrites

```

$\bigwedge l \sigma. \text{functional-substitution-lifting.subst } (\cdot t) \text{ map-uprod-literal } l \sigma = \text{literal.subst } l \sigma$  **and**  
 $\bigwedge l. \text{functional-substitution-lifting.vars term.vars uprod-literal-to-set } l = \text{literal.vars } l$  **and**  
 $\bigwedge l_G. \text{grounding-lifting.from-ground term.from-ground map-uprod-literal } l_G = \text{literal.from-ground } l_G$  **and**  
 $\bigwedge l. \text{grounding-lifting.to-ground term.to-ground map-uprod-literal } l = \text{literal.to-ground } l$   
 <proof>

**notation** *literal.order.less<sub>G</sub>* (**infix**  $\prec_{lG}$  50)

**notation** *literal.order.less-eq<sub>G</sub>* (**infix**  $\preceq_{lG}$  50)

**sublocale clause: nonground-term-based-order-lifting where**

$\text{less} = (\prec_l)$  **and**  $\text{sub-subst} = \text{literal.subst}$  **and**  $\text{sub-vars} = \text{literal.vars}$  **and**  
 $\text{sub-to-ground} = \text{literal.to-ground}$  **and**  $\text{sub-from-ground} = \text{literal.from-ground}$  **and**  
 $\text{map} = \text{image-mset}$  **and**  $\text{to-set} = \text{set-mset}$  **and**  $\text{to-ground-map} = \text{image-mset}$  **and**  
 $\text{from-ground-map} = \text{image-mset}$  **and**  $\text{ground-map} = \text{image-mset}$  **and**  $\text{to-set-ground} = \text{set-mset}$  **and**  
 $\text{to-mset} = \lambda x. x$   
 <proof>

**notation** *clause.order.less<sub>G</sub>* (**infix**  $\prec_{cG}$  50)

**notation** *clause.order.less-eq<sub>G</sub>* (**infix**  $\preceq_{cG}$  50)

**lemma** *obtain-maximal-literal:*

**assumes**

*not-empty*:  $C \neq \{\#\}$  **and**

*grounding*:  $\text{clause.is-ground } (C \cdot \gamma)$

**obtains**  $l$

**where** *is-maximal*  $l$   $C$  *is-maximal*  $(l \cdot l \gamma)$   $(C \cdot \gamma)$

<proof>

**lemma** *obtain-strictly-maximal-literal:*

**assumes**

*grounding*:  $\text{clause.is-ground } (C \cdot \gamma)$  **and**

*ground-strictly-maximal*:  $\text{is-strictly-maximal } l_G (C \cdot \gamma)$

**obtains**  $l$  **where**

*is-strictly-maximal*  $l$   $C$   $l_G = l \cdot l \gamma$

<proof>

**lemma** *is-maximal-if-grounding-is-maximal:*

**assumes**

*l-in-C*:  $l \in \# C$  **and**

*C-grounding*:  $\text{clause.is-ground } (C \cdot \gamma)$  **and**

*l-grounding-is-maximal*:  $\text{is-maximal } (l \cdot l \gamma) (C \cdot \gamma)$

**shows**

*is-maximal*  $l$   $C$

<proof>

**lemma** *is-strictly-maximal-if-grounding-is-strictly-maximal*:

**assumes**

*l-in-C*:  $l \in \# C$  **and**

*grounding*: *clause.is-ground*  $(C \cdot \gamma)$  **and**

*grounding-strictly-maximal*: *is-strictly-maximal*  $(l \cdot l \ \gamma) (C \cdot \gamma)$

**shows**

*is-strictly-maximal*  $l \ C$

$\langle$ *proof* $\rangle$

**lemma** *unique-maximal-in-ground-clause*:

**assumes**

*clause.is-ground*  $C$

*is-maximal*  $l \ C$

*is-maximal*  $l' \ C$

**shows**

$l = l'$

$\langle$ *proof* $\rangle$

**lemma** *unique-strictly-maximal-in-ground-clause*:

**assumes**

*clause.is-ground*  $C$

*is-strictly-maximal*  $l \ C$

*is-strictly-maximal*  $l' \ C$

**shows**

$l = l'$

$\langle$ *proof* $\rangle$

**lemma** *less<sub>lG</sub>-rewrite [simp]*: *multiset-extension.multiset-extension*  $(\prec_{lG}) \ mset-lit$

$= (\prec_{lG})$

$\langle$ *proof* $\rangle$

**lemma** *less<sub>cG</sub>-rewrite [simp]*:

*multiset-extension.multiset-extension*  $(\prec_{lG}) (\lambda x. x) = (\prec_{cG})$

$\langle$ *proof* $\rangle$

**lemma** *is-maximal-rewrite [simp]*:

*is-maximal-in-mset-wrt*  $(\prec_{lG}) \ C \ l =$  *is-maximal*  $(literal.from-ground \ l) (clause.from-ground \ C)$

$\langle$ *proof* $\rangle$

**thm** *literal.order.order.strict-iff-order*

**lemma** *is-strictly-maximal-rewrite [simp]*:

*is-strictly-maximal-in-mset-wrt*  $(\prec_{lG}) \ C \ l =$

*is-strictly-maximal*  $(literal.from-ground \ l) (clause.from-ground \ C)$

$\langle$ *proof* $\rangle$

**sublocale** *ground*: *ground-order-with-equality* **where**



$less_t = (\prec_{tG})$   
**rewrites**  
*multiset-extension.multiset-extension*  $(\prec_{tG})$  *mset-lit* =  $(\prec_{lG})$  **and**  
*multiset-extension.multiset-extension*  $(\prec_{lG})$   $(\lambda x. x) = (\prec_{cG})$  **and**  
 $\bigwedge l C. \text{ground.is-maximal } l C \longleftrightarrow \text{is-maximal (literal.from-ground } l) \text{ (clause.from-ground } C)$  **and**  
 $\bigwedge l C. \text{ground.is-strictly-maximal } l C \longleftrightarrow \text{is-strictly-maximal (literal.from-ground } l) \text{ (clause.from-ground } C)$   
 ⟨proof⟩

**abbreviation** *ground-is-maximal* **where**  
*ground-is-maximal*  $l_G C_G \equiv \text{is-maximal (literal.from-ground } l_G) \text{ (clause.from-ground } C_G)$

**abbreviation** *ground-is-strictly-maximal* **where**  
*ground-is-strictly-maximal*  $l_G C_G \equiv$   
*is-strictly-maximal (literal.from-ground } l\_G) \text{ (clause.from-ground } C\_G)*

**lemma** *less\_t-less\_l*:  
**assumes**  $t_1 \prec_t t_2$   
**shows**  
*less\_t-less\_l-pos*:  $t_1 \approx t_3 \prec_l t_2 \approx t_3$  **and**  
*less\_t-less\_l-neg*:  $t_1 \not\approx t_3 \prec_l t_2 \not\approx t_3$   
 ⟨proof⟩

**lemma** *literal-order-less-if-all-lesseq-ex-less-set*:  
**assumes**  
 $\forall t \in \text{set-uprod (atm-of } l). t \cdot t \sigma' \preceq_t t \cdot t \sigma$   
 $\exists t \in \text{set-uprod (atm-of } l). t \cdot t \sigma' \prec_t t \cdot t \sigma$   
**shows**  $l \cdot l \sigma' \prec_l l \cdot l \sigma$   
 ⟨proof⟩

**lemma** *less\_c-add-mset*:  
**assumes**  $l \prec_l l' C \preceq_c C'$   
**shows**  $\text{add-mset } l C \prec_c \text{add-mset } l' C'$   
 ⟨proof⟩

**lemmas** *less\_c-add-same [simp]* =  
*multp-add-same[OF literal.order.asymp literal.order.transp, folded less\_c-def]*

**end**

**end**

**theory** *Typed-Functional-Substitution-Example*  
**imports**  
*Functional-Substitution-Typing*  
*Typed-Functional-Substitution*  
*Abstract-Substitution.Functional-Substitution-Example*

**begin**

**type-synonym** (*f*, *ty*) *fun-types* = *f* ⇒ *ty list* × *ty*

Inductive predicates defining well-typed terms.

**inductive** *typed* :: (*f*, *ty*) *fun-types* ⇒ (*v*, *ty*) *var-types* ⇒ (*f*, *v*) *term* ⇒ *ty* ⇒ *bool*

**for** *F* *V* **where**

*Var*:  $\mathcal{V} x = \tau \implies \text{typed } \mathcal{F} \mathcal{V} (\text{Var } x) \tau$   
| *Fun*:  $\mathcal{F} f = (\tau s, \tau) \implies \text{typed } \mathcal{F} \mathcal{V} (\text{Fun } f \text{ } \tau s) \tau$

**inductive** *welltyped* :: (*f*, *ty*) *fun-types* ⇒ (*v*, *ty*) *var-types* ⇒ (*f*, *v*) *term* ⇒ *ty* ⇒ *bool*

**for** *F* *V* **where**

*Var*:  $\mathcal{V} x = \tau \implies \text{welltyped } \mathcal{F} \mathcal{V} (\text{Var } x) \tau$   
| *Fun*:  $\mathcal{F} f = (\tau s, \tau) \implies \text{list-all2 } (\text{welltyped } \mathcal{F} \mathcal{V}) \tau s \tau \implies \text{welltyped } \mathcal{F} \mathcal{V} (\text{Fun } f \text{ } \tau s) \tau$

**global-interpretation** *term*: *explicit-typing typed F V welltyped F V*  
{*proof*}

**global-interpretation** *term*: *base-functional-substitution-typing where typed = typed (F :: (f, ty) fun-types) and welltyped = welltyped F and subst = subst-apply-term and id-subst = Var and comp-subst = subst-compose and vars = vars-term :: (f, v) term ⇒ v set*  
{*proof*}

A selection of substitution properties for typed terms.

**locale** *typed-term-subst-properties* =

*typed*: *explicitly-typed-subst-stability where typed = typed F + welltyped*: *explicitly-typed-subst-stability where typed = welltyped F*

**for** *F* :: (*f*, *ty*) *fun-types*

**global-interpretation** *term*: *typed-term-subst-properties where*

*subst = subst-apply-term and id-subst = Var and comp-subst = subst-compose and*

*vars = vars-term :: (f, v) term ⇒ v set and F = F*

**for** *F* :: *f* ⇒ *ty list* × *ty*

{*proof*}

Examples of generated lemmas and definitions

**thm**

*term.welltyped.right-unique*

*term.welltyped.explicit-subst-stability*

*term.welltyped.subst-stability*

*term.welltyped.subst-update*

*term.typed.right-unique*

```

term.typed.explicit-subst-stability
term.typed.subst-stability
term.typed.subst-update

term.is-welltyped-on-subset
term.is-typed-on-subset
term.is-welltyped-id-subst
term.is-typed-id-subst

term term.is-welltyped
term term.subst.is-welltyped-on
term term.subst.is-welltyped
term term.is-typed
term term.subst.is-typed-on
term term.subst.is-typed

end
theory Typed-Functional-Substitution-Lifting-Example
imports
  Functional-Substitution-Typing-Lifting
  Typed-Functional-Substitution-Lifting
  Typed-Functional-Substitution-Example
  Abstract-Substitution.Functional-Substitution-Lifting-Example
begin

All property locales have corresponding lifting locales

locale nonground-uniform-typing-lifting =
  functional-substitution-uniform-typing-lifting where
  base-typed = typed  $\mathcal{F}$  and base-welltyped = welltyped  $\mathcal{F}$  +

  is-typed: uniform-typed-subst-stability-lifting where
  base-typed = typed  $\mathcal{F}$  +

  is-welltyped: uniform-typed-subst-stability-lifting where
  base-typed = welltyped  $\mathcal{F}$ 
for  $\mathcal{F} :: ('f, 'ty)$  fun-types

locale nonground-typing-lifting =
  functional-substitution-typing-lifting where
  base-typed = typed  $\mathcal{F}$  and base-welltyped = welltyped  $\mathcal{F}$  +

  is-typed: typed-subst-stability-lifting where base-typed = typed  $\mathcal{F}$  +

  is-welltyped: typed-subst-stability-lifting where
  sub-is-typed = sub-is-welltyped and base-typed = welltyped  $\mathcal{F}$ 
for  $\mathcal{F} :: ('f, 'ty)$  fun-types

locale example-typing-lifting =

```

**fixes**  $\mathcal{F} :: ('f, 'ty) \text{ fun-types}$   
**begin**

**sublocale** *equation:*

*uniform-typing-lifting* **where**  
*sub-typed* = *typed*  $\mathcal{F}$   $\mathcal{V}$  **and** *sub-welltyped* = *welltyped*  $\mathcal{F}$   $\mathcal{V}$  **and**  
*to-set* = *set-prod*  
*<proof>*

**sublocale** *equation:*

*nonground-uniform-typing-lifting* **where**  
*base-vars* = *vars-term* **and** *base-subst* = *subst-apply-term* **and** *map* =  $\lambda f. \text{map-prod}$   
*ff* **and**  
*to-set* = *set-prod* **and** *comp-subst* = *subst-compose* **and** *id-subst* = *Var*  
*<proof>*

Lifted lemmas and definitions

**thm**

*equation.is-welltyped-def*  
*equation.is-typed-def*

*equation.is-welltyped.subst-stability*  
*equation.is-typed.subst-stability*  
*equation.is-typed-if-is-welltyped*

We can lift multiple levels

**sublocale** *equation-set:*

*typing-lifting* **where**  
*sub-is-typed* = *equation.is-typed*  $\mathcal{V}$  **and** *sub-is-welltyped* = *equation.is-welltyped*  
 $\mathcal{V}$  **and**  
*to-set* = *fset*  
*<proof>*

**sublocale** *equation-set:*

*nonground-typing-lifting* **where**  
*base-vars* = *vars-term* **and** *base-subst* = *subst-apply-term* **and** *map* = *fimage*  
**and**  
*to-set* = *fset* **and** *comp-subst* = *subst-compose* **and** *id-subst* = *Var* **and**  
*sub-vars* = *equation-subst.vars* **and** *sub-subst* = *equation-subst.subst* **and**  
*sub-is-welltyped* = *equation.is-welltyped* **and** *sub-is-typed* = *equation.is-typed*  
*<proof>*

Lifted lemmas and definitions

**thm**

*equation-set.is-welltyped-def*  
*equation-set.is-typed-def*

*equation-set.is-welltyped.subst-stability*  
*equation-set.is-typed.subst-stability*

*equation-set.is-typed-if-is-welltyped*

**end**

Interpretation with Unit-Typing

**global-interpretation** *example-typing-lifting*  $\lambda\cdot$  ( $\square$ ,  $()$ ) $\langle$ *proof* $\rangle$

**end**