

A Formalization of the First Order Theory of Rewriting (FORT) *

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Abstract

The first-order theory of rewriting (FORT) is a decidable theory for linear variable-separated rewrite systems. The decision procedure is based on tree automata technique and an inference system presented in [4]. This AFP entry provides a formalization of the underlying decision procedure. Moreover it allows to generate a function that can verify each inference step via the code generation facility of Isabelle/HOL.

Additionally it contains the specification of a certificate language (that allows to state proofs in FORT) and a formalized function that allows to verify the validity of the proof. This gives software tool authors, that implement the decision procedure, the possibility to verify their output.

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1 Introduction

The first-order theory of rewriting (FORT) is a fragment of first-order predicate logic with predefined predicates. The language allows to state many interesting properties of term rewrite systems and is decidable for left-linear right-ground systems. This was proven by Dauchet and Tison [2].

In this AFP entry we provide a formalized proof of an improved decision procedure for the first-order theory of rewriting. We introduce basic definitions to represent the rewrite semantics and connect FORT to first-order logic via the AFP entry "First-Order Logic According to Fitting" by Stefan Berghofer [1]. To prove the decidability and more importantly to allow code generation a relation between formulas in FORT and regular tree language is constructed. The tree language contains all witnesses of free variables satisfying the formula, details can be found in [3].

Moreover we present a certificate language which is rich enough to express the various automata operations in decision procedures for the first-order theory of rewriting as well as numerous predicate symbols that may appear in formulas in this theory, for more details see [4].

```
theory Utils
imports Regular-Tree-Relations.Term-Context
Regular-Tree-Relations.FSet-Utils
begin
```

1.1 Misc

```
definition funas-trs  $\mathcal{R} = \bigcup ((\lambda (s, t). \text{funas-term } s \cup \text{funas-term } t) \cdot \mathcal{R})$ 
```

```

fun linear-term :: ('f, 'v) term  $\Rightarrow$  bool where
  linear-term (Var -) = True |
  linear-term (Fun - ts) = (is-partition (map vars-term ts)  $\wedge$  ( $\forall t \in set ts$ . linear-term t))

fun vars-term-list :: ('f, 'v) term  $\Rightarrow$  'v list where
  vars-term-list (Var x) = [x] |
  vars-term-list (Fun - ts) = concat (map vars-term-list ts)

fun varposss :: ('f, 'v) term  $\Rightarrow$  pos set where
  varposss (Var x) = {} |
  varposss (Fun f ts) = ( $\bigcup_{i < length ts}$ . {i  $\#$  p | p. p  $\in$  varposss (ts ! i)})

abbreviation poss-args f ts  $\equiv$  map2 ( $\lambda i t$ . map ((#) i) (f t)) ([0 ..< length ts])
ts

fun varposss-list :: ('f, 'v) term  $\Rightarrow$  pos list where
  varposss-list (Var x) = [] |
  varposss-list (Fun f ts) = concat (poss-args varposss-list ts)

fun concat-index-split where
  concat-index-split (o-idx, i-idx) (x  $\#$  xs) =
    (if i-idx < length x
     then (o-idx, i-idx)
     else concat-index-split (Suc o-idx, i-idx - length x) xs)

inductive-set trancl-list for  $\mathcal{R}$  where
  base[intro, Pure.intro] : length xs = length ys  $\implies$ 
    ( $\forall i < length ys$ . (xs ! i, ys ! i)  $\in$   $\mathcal{R}$ )  $\implies$  (xs, ys)  $\in$  trancl-list  $\mathcal{R}$ 
  | list-trancl [Pure.intro]: (xs, ys)  $\in$  trancl-list  $\mathcal{R}$   $\implies$  i < length ys  $\implies$  (ys ! i, z)
 $\in$   $\mathcal{R}$   $\implies$ 
  (xs, ys[i := z])  $\in$  trancl-list  $\mathcal{R}$ 

lemma sorted-append-bigger:
  sorted xs  $\implies$   $\forall x \in set xs$ . x  $\leq$  y  $\implies$  sorted (xs @ [y])
proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons x xs)
  then have s: sorted xs by (cases xs) simp-all
  from Cons have a:  $\forall x \in set xs$ . x  $\leq$  y by simp
  from Cons(1)[OF s a] Cons(2-) show ?case by (cases xs) simp-all
qed

lemma find-SomeD:
  List.find P xs = Some x  $\implies$  P x

```

```

List.find P xs = Some x ==> x ∈ set xs
by (auto simp add: find-Some-iff)

lemma sum-list-replicate-length' [simp]:
sum-list (replicate n (Suc 0)) = n
by (induct n) simp-all

lemma arg-subteq [simp]:
assumes t ∈ set ts shows Fun f ts ⊇ t
using assms by auto

lemma finite-funas-term: finite (funas-term s)
by (induct s) auto

lemma finite-funas-trs:
finite R ==> finite (funas-trs R)
by (induct rule: finite.induct) (auto simp: finite-funas-term funas-trs-def)

fun subterms where
subterms (Var x) = {Var x}
subterms (Fun f ts) = {Fun f ts} ∪ (⋃ (subterms ` set ts))

lemma finite-subterms-fun: finite (subterms s)
by (induct s) auto

lemma subterms-supteq-conv: t ∈ subterms s ↔ s ⊇ t
by (induct s) (auto elim: supteq.cases)

lemma set-all-subteq-subterms:
subterms s = {t. s ⊇ t}
using subterms-supteq-conv by auto

lemma finite-subterms: finite {t. s ⊇ t}
unfolding set-all-subteq-subterms[symmetric]
by (simp add: finite-subterms-fun)

lemma finite-strict-subterms: finite {t. s ⊢ t}
by (intro finite-subset[OF - finite-subterms]) auto

lemma finite-UN-I2:
finite A ==> (∀ B ∈ A. finite B) ==> finite (⋃ A)
by blast

lemma root-subterms-funas-term:
the ` (root ` (subterms s) - {None}) = funas-term s (is ?Ls = ?Rs)
proof -
thm subterms.induct
{fix x assume x ∈ ?Ls then have x ∈ ?Rs
proof (induct s arbitrary: x)

```

```

case (Fun f ts)
then show ?case
  by auto (metis DiffI Fun.hyps imageI option.distinct(1) singletonD)
qed auto}
moreover
{fix g assume g ∈ ?Rs then have g ∈ ?Ls
proof (induct s arbitrary: g)
  case (Fun f ts)
  from Fun(2) consider g = (f, length ts) | ∃ t ∈ set ts. g ∈ funas-term t
    by (force simp: in-set-conv-nth)
  then show ?case
proof cases
  case 1 then show ?thesis
    by (auto simp: image-iff intro: bexI[of - Some (f, length ts)])
next
  case 2
  then obtain t where wit: t ∈ set ts g ∈ funas-term t by blast
  have g ∈ the ‘(root ‘subterms t – {None}) using Fun(1)[OF wit] .
  then show ?thesis using wit(1)
    by (auto simp: image-iff)
qed
qed auto}
ultimately show ?thesis by auto
qed

lemma root-subterms-funas-term-set:
  the ‘(root ‘ $\bigcup$  (subterms ‘R) – {None}) =  $\bigcup$  (funas-term ‘R)
  using root-subterms-funas-term
  by fastforce

lemma subst-merge:
  assumes part: is-partition (map vars-term ts)
  shows ∃σ. ∀i < length ts. ∀x ∈ vars-term (ts ! i). σ x = τ i x
proof –
  let ?τ = map τ [0 .. < length ts]
  let ?σ = fun-merge ?τ (map vars-term ts)
  show ?thesis
    by (rule exI[of - ?σ], intro allI impI ballI,
      insert fun-merge-part[OF part, of - - ?τ], auto)
qed

lemma rel-comp-empty-trancl-simp: R O R = {}  $\implies$  R+ = R
  by (metis O-assoc relcomp-empty2 sup-bot-right trancl-unfold trancl-unfold-right)

lemma choice-nat:
  assumes ∀i < n. ∃x. P x i
  shows ∃f. ∀x < n. P (f x) x using assms

```

```

proof -
  from assms have  $\forall i. \exists x. i < n \rightarrow P x i$  by simp
  from choice[OF this] show ?thesis by auto
qed

lemma subsequeq-set-conv-nth:
   $(\forall i < \text{length } ss. ss ! i \in T) \longleftrightarrow \text{set } ss \subseteq T$ 
  by (metis in-set-conv-nth subset-code(1))

lemma singelton-trancl [simp]:  $\{a\}^+ = \{a\}$ 
  using tranclD tranclD2 by fastforce

context
includes fset.lifting
begin
  lemmas frelcomp-empty-ftrancl-simp = rel-comp-empty-trancl-simp [Transfer.transferred]
  lemmas in-fset-idx = in-set-idx [Transfer.transferred]
  lemmas fsubsequeq-fset-conv-nth = subsequeq-set-conv-nth [Transfer.transferred]
  lemmas singelton-ftrancl [simp] = singelton-trancl [Transfer.transferred]
end

lemma set-take-nth:
  assumes  $x \in \text{set}(\text{take } i xs)$ 
  shows  $\exists j < \text{length } xs. j < i \wedge xs ! j = x$  using assms
  by (metis in-set-conv-nth length-take min-less-iff-conj nth-take)

lemma nth-sum-listI:
  assumes  $\text{length } xs = \text{length } ys$ 
  and  $\forall i < \text{length } xs. xs ! i = ys ! i$ 
  shows  $\text{sum-list } xs = \text{sum-list } ys$ 
  using assms nth-equalityI by blast

lemma concat-nth-length:
   $i < \text{length } uss \implies j < \text{length } (uss ! i) \implies$ 
   $\text{sum-list } (\text{map length } (\text{take } i uss)) + j < \text{length } (\text{concat } uss)$ 
  by (induct uss arbitrary: i j) (simp, case-tac i, auto)

lemma sum-list-1-E [elim]:
  assumes  $\text{sum-list } xs = \text{Suc } 0$ 
  obtains  $i$  where  $i < \text{length } xs$   $xs ! i = \text{Suc } 0$   $\forall j < \text{length } xs. j \neq i \rightarrow xs ! j = 0$ 
  proof -
    have  $\exists i < \text{length } xs. xs ! i = \text{Suc } 0 \wedge (\forall j < \text{length } xs. j \neq i \rightarrow xs ! j = 0)$ 
    using assms
    proof (induct xs)
      case (Cons a xs) show ?case
      proof (cases a)
        case [simp]: 0

```

```

obtain i where i < length xs xs ! i = Suc 0 ( $\forall j < \text{length } xs. j \neq i \rightarrow xs ! j = 0$ )
  using Cons by auto
  then show ?thesis using less-Suc-eq-0-disj
    by (intro exI[of - Suc i]) auto
next
  case (Suc nat) then show ?thesis using Cons by auto
qed
qed auto
then show ( $\bigwedge i. i < \text{length } xs \Rightarrow xs ! i = Suc 0 \Rightarrow \forall j < \text{length } xs. j \neq i \rightarrow xs ! j = 0 \Rightarrow \text{thesis} \Rightarrow \text{thesis}$ )
  by blast
qed

```

lemma *nth-equalityE*:

```

xs = ys  $\Rightarrow$  (length xs = length ys  $\Rightarrow$  ( $\bigwedge i. i < \text{length } xs \Rightarrow xs ! i = ys ! i$ )
 $\Rightarrow P) \Rightarrow P$ 
by simp

```

lemma *map-cons-presv-distinct*:

```

distinct t  $\Rightarrow$  distinct (map ((#) i) t)
by (simp add: distinct-conv-nth)

```

lemma *concat-nth-nthI*:

```

assumes length ss = length ts  $\forall i < \text{length } ts. \text{length } (ss ! i) = \text{length } (ts ! i)$ 
and  $\forall i < \text{length } ts. \forall j < \text{length } (ts ! i). P (ss ! i ! j) (ts ! i ! j)$ 
shows  $\forall i < \text{length } (\text{concat } ts). P (\text{concat } ss ! i) (\text{concat } ts ! i)$ 
using assms by (metis nth-concat-two-lists)

```

lemma *last-nthI*:

```

assumes i < length ts  $\neg i < \text{length } ts - \text{Suc } 0$ 
shows ts ! i = last ts using assms
by (induct ts arbitrary: i)
(auto, metis last-conv-nth length-0-conv less-antisym nth-Cons')

```

lemma *trancl-list-appendI* [simp, intro]:

```

(xs, ys)  $\in$  trancl-list R  $\Rightarrow$  (x, y)  $\in$  R  $\Rightarrow$  (x # xs, y # ys)  $\in$  trancl-list R
proof (induct rule: trancl-list.induct)
  case (base xs ys)
  then show ?case using less-Suc-eq-0-disj
    by (intro trancl-list.base) auto
next
  case (list-trancl xs ys i z)
  from list-trancl(3) have *:  $y \# ys[i := z] = (y \# ys)[\text{Suc } i := z]$  by auto
  show ?case using list-trancl unfolding *
    by (intro trancl-list.list-trancl) auto

```

qed

```
lemma trancl-list-append-tranclI [intro]:
   $(x, y) \in \mathcal{R}^+ \implies (xs, ys) \in \text{trancl-list } \mathcal{R} \implies (x \# xs, y \# ys) \in \text{trancl-list } \mathcal{R}$ 
proof (induct rule: trancl.induct)
  case (trancl-into-trancl a b c)
  then have  $(a \# xs, b \# ys) \in \text{trancl-list } \mathcal{R}$  by auto
  from trancl-list.list-trancl[OF this, of 0 c]
  show ?case using trancl-into-trancl(3)
    by auto
qed auto

lemma trancl-list-conv:
   $(xs, ys) \in \text{trancl-list } \mathcal{R} \iff \text{length } xs = \text{length } ys \wedge (\forall i < \text{length } ys. (xs ! i, ys ! i) \in \mathcal{R}^+)$  (is ?Ls  $\iff$  ?Rs)
proof
  assume ?Ls then show ?Rs
  proof (induct)
    case (list-trancl xs ys i z)
    then show ?case
      by auto (metis nth-list-update trancl.trancl-into-trancl)
    qed auto
  next
  assume ?Rs then show ?Ls
  proof (induct ys arbitrary: xs)
    case Nil
    then show ?case by (cases xs) auto
  next
  case (Cons y ys)
  from Cons(2) obtain x xs' where  $*: xs = x \# xs'$  and
    inv:  $(x, y) \in \mathcal{R}^+$ 
    by (cases xs) auto
  show ?case using Cons(1)[of tl xs] Cons(2) unfolding *
    by (intro trancl-list-append-tranclI[OF inv]) force
  qed
qed

lemma trancl-list-induct [consumes 2, case-names base step]:
  assumes length ss = length ts  $\forall i < \text{length } ts. (ss ! i, ts ! i) \in \mathcal{R}^+$ 
  and  $\bigwedge_{xs \ ys} \text{length } xs = \text{length } ys \implies \forall i < \text{length } ys. (xs ! i, ys ! i) \in \mathcal{R} \implies P \ xs \ ys$ 
  and  $\bigwedge_{xs \ ys \ i \ z} \text{length } xs = \text{length } ys \implies \forall i < \text{length } ys. (xs ! i, ys ! i) \in \mathcal{R}^+ \implies P \ xs \ ys$ 
   $\implies i < \text{length } ys \implies (ys ! i, z) \in \mathcal{R} \implies P \ xs \ (ys[i := z])$ 
  shows P ss ts using assms
  by (intro trancl-list.induct[of ss ts R P]) (auto simp: trancl-list-conv)
```

lemma swap-trancl:

```

(prod.swap ` R) + = prod.swap ` (R +)
proof –
  have [simp]: prod.swap ` X = X-1 for X by auto
  show ?thesis by (simp add: trancl-converse)
qed

lemma swap-rtrancl:
  (prod.swap ` R)* = prod.swap ` (R*)
proof –
  have [simp]: prod.swap ` X = X-1 for X by auto
  show ?thesis by (simp add: rtrancl-converse)
qed

lemma Restr-simps:
  R ⊆ X × X  $\implies$  Restr (R+) X = R+
  R ⊆ X × X  $\implies$  Restr Id X O R = R
  R ⊆ X × X  $\implies$  R O Restr Id X = R
  R ⊆ X × X  $\implies$  S ⊆ X × X  $\implies$  Restr (R O S) X = R O S
  R ⊆ X × X  $\implies$  R+ ⊆ X × X
  subgoal using trancl-mono-set[of R X × X] by (auto simp: trancl-full-on)
  subgoal by auto
  subgoal by auto
  subgoal by auto
  subgoal using trancl-subset-Sigma .
  done

lemma Restr-tracl-comp-simps:
  R ⊆ X × X  $\implies$  L ⊆ X × X  $\implies$  L+ O R ⊆ X × X
  R ⊆ X × X  $\implies$  L ⊆ X × X  $\implies$  L O R+ ⊆ X × X
  R ⊆ X × X  $\implies$  L ⊆ X × X  $\implies$  L+ O R O L+ ⊆ X × X
  by (auto dest: subsetD[OF Restr-simps(5)[of L]] subsetD[OF Restr-simps(5)[of R]])

```

Conversions of the Nth function between lists and a splitting of the list into lists of lists

```

lemma concat-index-split-mono-first-arg:
  i < length (concat xs)  $\implies$  o-idx ≤ fst (concat-index-split (o-idx, i) xs)
  by (induct xs arbitrary: o-idx i) (auto, metis Suc-leD add-diff-inverse-nat nat-add-left-cancel-less)

lemma concat-index-split-sound-fst-arg-aux:
  i < length (concat xs)  $\implies$  fst (concat-index-split (o-idx, i) xs) < length xs +
  o-idx
  by (induct xs arbitrary: o-idx i) (auto, metis add-Suc-right add-diff-inverse-nat
  nat-add-left-cancel-less)

lemma concat-index-split-sound-fst-arg:
  i < length (concat xs)  $\implies$  fst (concat-index-split (0, i) xs) < length xs
  using concat-index-split-sound-fst-arg-aux[of i xs 0] by auto

```

```

lemma concat-index-split-sound-snd-arg-aux:
  assumes i < length (concat xs)
  shows snd (concat-index-split (n, i) xs) < length (xs ! (fst (concat-index-split (n,
i) xs) - n)) using assms
proof (induct xs arbitrary: i n)
  case (Cons x xs)
  show ?case proof (cases i < length x)
    case False then have size: i - length x < length (concat xs)
      using Cons(2) False by auto
    obtain k j where [simp]: concat-index-split (Suc n, i - length x) xs = (k, j)
      using old.prod.exhaust by blast
    show ?thesis using False Cons(1)[OF size, of Suc n] concat-index-split-mono-first-arg[OF
size, of Suc n]
      by (auto simp: nth-append)
    qed (auto simp add: nth-append)
  qed auto

lemma concat-index-split-sound-snd-arg:
  assumes i < length (concat xs)
  shows snd (concat-index-split (0, i) xs) < length (xs ! fst (concat-index-split (0,
i) xs))
  using concat-index-split-sound-snd-arg-aux[OF assms, of 0] by auto

lemma restr-1d-concat-index-split:
  assumes i < length (concat xs)
  shows i = ( $\lambda(m, j). \text{sum-list}(\text{map length}(\text{take}(m - n) \text{xs})) + j$ ) (concat-index-split
(n, i) xs) using assms
proof (induct xs arbitrary: i n)
  case (Cons x xs) show ?case
  proof (cases i < length x)
    case False
    obtain m k where res: concat-index-split (Suc n, i - length x) xs = (m, k)
      using prod-decode-aux.cases by blast
    then have unf-ind: concat-index-split (n, i) (x # xs) = concat-index-split (Suc
n, i - length x) xs and
      size: i - length x < length (concat xs) using Cons(2) False by auto
    have Suc n ≤ m using concat-index-split-mono-first-arg[OF size, of Suc n] by
      (auto simp: res)
    then have [simp]: sum-list (map length (take (m - n) (x # xs))) = sum-list
      (map length (take (m - Suc n) xs)) + length x
      by (simp add: take-Cons')
    show ?thesis using Cons(1)[OF size, of Suc n] False unfolding unf-ind res
      by auto
    qed auto
  qed auto

lemma concat-index-split-larger-lists [simp]:
  assumes i < length (concat xs)
  shows concat-index-split (n, i) (xs @ ys) = concat-index-split (n, i) xs using

```

```

assms
by (induct xs arbitrary: ys n i) auto

lemma concat-index-split-split-sound-aux:
assumes i < length (concat xs)
shows concat xs ! i = (λ (k, j). xs ! (k - n) ! j) (concat-index-split (n, i) xs)
using assms
proof (induct xs arbitrary: i n)
case (Cons x xs)
show ?case proof (cases i < length x)
case False then have size: i - length x < length (concat xs)
using Cons(2) False by auto
obtain k j where [simp]: concat-index-split (Suc n, i - length x) xs = (k, j)
using prod-decode-aux.cases by blast
show ?thesis using False Cons(1)[OF size, of Suc n]
using concat-index-split-mono-first-arg[OF size, of Suc n]
by (auto simp: nth-append)
qed (auto simp add: nth-append)
qed auto

lemma concat-index-split-sound:
assumes i < length (concat xs)
shows concat xs ! i = (λ (k, j). xs ! k ! j) (concat-index-split (0, i) xs)
using concat-index-split-split-sound-aux[OF assms, of 0] by auto

lemma concat-index-split-sound-bounds:
assumes i < length (concat xs) and concat-index-split (0, i) xs = (m, n)
shows m < length xs n < length (xs ! m)
using concat-index-split-sound-fst-arg[OF assms(1)] concat-index-split-sound-snd-arg[OF
assms(1)]
by (auto simp: assms(2))

lemma concat-index-split-less-length-concat:
assumes i < length (concat xs) and concat-index-split (0, i) xs = (m, n)
shows i = sum-list (map length (take m xs)) + n m < length xs n < length (xs
! m)
concat xs ! i = xs ! m ! n
using concat-index-split-sound[OF assms(1)] reconstr-1d-concat-index-split[OF
assms(1), of 0]
using concat-index-split-sound-fst-arg[OF assms(1)] concat-index-split-sound-snd-arg[OF
assms(1)] assms(2)
by auto

lemma nth-concat-split':
assumes i < length (concat xs)
obtains j k where j < length xs k < length (xs ! j) concat xs ! i = xs ! j ! k i
= sum-list (map length (take j xs)) + k
using concat-index-split-less-length-concat[OF assms]
by (meson old.prod.exhaust)

```

```

lemma sum-list-split [dest!, consumes 1]:
  assumes sum-list (map length (take i xs)) + j = sum-list (map length (take k
  xs)) + l
    and i < length xs k < length xs
    and j < length (xs ! i) l < length (xs ! k)
  shows i = k ∧ j = l using assms
proof (induct xs rule: rev-induct)
  case (snoc x xs)
  then show ?case
    by (auto simp: nth-append split: if-splits)
      (metis concat-nth-length length-concat not-add-less1) +
qed auto

lemma concat-index-split-unique:
  assumes i < length (concat xs) and length xs = length ys
    and ∀ i < length xs. length (xs ! i) = length (ys ! i)
  shows concat-index-split (n, i) xs = concat-index-split (n, i) ys using assms
proof (induct xs arbitrary: ys n i)
  case (Cons x xs) note IH = this show ?case
  proof (cases ys)
    case Nil then show ?thesis using Cons(3) by auto
  next
    case [simp]: (Cons y ys')
      have [simp]: length y = length x using IH(4) by force
      have [simp]: ¬ i < length x ==> i - length x < length (concat xs) using IH(2)
      by auto
      have [simp]: i < length ys' ==> length (xs ! i) = length (ys' ! i) for i using
      IH(3, 4)
        by (auto simp: All-less-Suc) (metis IH(4) Suc-less-eq length-Cons Cons
        nth-Cons-Suc)
      show ?thesis using IH(2-) IH(1)[of i - length x ys' Suc n] by auto
    qed
  qed auto

lemma set-vars-term-list [simp]:
  set (vars-term-list t) = vars-term t
  by (induct t) simp-all

lemma vars-term-list-empty-ground [simp]:
  vars-term-list t = [] ←→ ground t
  by (induct t) auto

lemma varposs-imp-poss:
  assumes p ∈ varposs t
  shows p ∈ poss t
  using assms by (induct t arbitrary: p) auto

lemma vaposs-list-fun:

```

```

assumes  $p \in set (varposs-list (Fun f ts))$ 
obtains  $i ps$  where  $i < length ts$   $p = i \# ps$ 
using assms set-zip-leftD by fastforce

lemma varposs-list-distinct:
  distinct (varposs-list t)
proof (induct t)
  case (Fun f ts)
  then show ?case proof (induct ts rule: rev-induct)
    case (snoc x xs)
    then have distinct (varposs-list (Fun f xs)) distinct (varposs-list x) by auto
    then show ?case using snoc by (auto simp add: map-cons-presv-distinct dest: set-zip-leftD)
  qed auto
qed auto

lemma varposs-append:
  varposs (Fun f (ts @ [t])) = varposs (Fun f ts)  $\cup$  ((#) (length ts)) ` varposs t
  by (auto simp: nth-append split: if-splits)

lemma varposs-eq-varposs-list:
  set (varposs-list t) = varposs t
proof (induct t)
  case (Fun f ts)
  then show ?case proof (induct ts rule: rev-induct)
    case (snoc x xs)
    then have varposs (Fun f xs) = set (varposs-list (Fun f xs))
      varposs x = set (varposs-list x) by auto
    then show ?case using snoc unfolding varposs-append
      by auto
  qed auto
qed auto

lemma varposs-list-var-terms-length:
  length (varposs-list t) = length (vars-term-list t)
  by (induct t) (auto simp: vars-term-list.simps intro: eq-length-concat-nth)

lemma vars-term-list-nth:
  assumes  $i < length (vars-term-list (Fun f ts))$ 
  and concat-index-split (0, i) (map vars-term-list ts) = (k, j)
  shows  $k < length ts \wedge j < length (vars-term-list (ts ! k)) \wedge$ 
    vars-term-list (Fun f ts) ! i = map vars-term-list ts ! k ! j  $\wedge$ 
    i = sum-list (map length (map vars-term-list (take k ts))) + j
  using assms concat-index-split-less-length-concat[of i map vars-term-list ts k j]
  by (auto simp: vars-term-list.simps comp-def take-map)

lemma varposs-list-nth:
  assumes  $i < length (varposs-list (Fun f ts))$ 
  and concat-index-split (0, i) (poss-args varposs-list ts) = (k, j)

```

```

shows  $k < \text{length } ts \wedge j < \text{length } (\text{varposs-list } (ts ! k)) \wedge$ 
 $\text{varposs-list } (\text{Fun } f ts) ! i = k \# (\text{map varposs-list } ts) ! k ! j \wedge$ 
 $i = \text{sum-list } (\text{map length } (\text{map varposs-list } (\text{take } k ts))) + j$ 
using assms concat-index-split-less-length-concat[of i poss-args varposs-list ts k j]
by (auto simp: comp-def take-map intro: nth-sum-listI)

lemma varposs-list-to-var-term-list:
assumes  $i < \text{length } (\text{varposs-list } t)$ 
shows  $\text{the-Var } (t |- (\text{varposs-list } t ! i)) = (\text{vars-term-list } t) ! i$  using assms
proof (induct t arbitrary: i)
case (Fun f ts)
have concat-index-split (0, i) (poss-args varposs-list ts) = concat-index-split (0,
i) (map vars-term-list ts)
using Fun(2) concat-index-split-unique[of i poss-args varposs-list ts map vars-term-list
ts 0]
using varposs-list-var-terms-length[of ts ! i for i]
by (auto simp: vars-term-list.simps)
then obtain k j where concat-index-split (0, i) (poss-args varposs-list ts) = (k,
j)
concat-index-split (0, i) (map vars-term-list ts) = (k, j) by fastforce
from varposs-list-nth[OF Fun(2) this(1)] vars-term-list-nth[OF - this(2)]
show ?case using Fun(2) Fun(1)[OF nth-mem] varposs-list-var-terms-length[of
Fun f ts] by auto
qed (auto simp: vars-term-list.simps)

end

```

2 Preliminaries

2.1 Multihole Contexts

```

theory Multihole-Context
imports
  Utils
begin

```

```
unbundle lattice-syntax
```

2.1.1 Partitioning lists into chunks of given length

```

lemma concat-nth:
assumes  $m < \text{length } xs$  and  $n < \text{length } (xs ! m)$ 
and  $i = \text{sum-list } (\text{map length } (\text{take } m xs)) + n$ 
shows concat xs ! i = xs ! m ! n
using assms
proof (induct xs arbitrary: m n i)
case (Cons x xs)
show ?case
proof (cases m)

```

```

case 0
then show ?thesis using Cons by (simp add: nth-append)
next
  case (Suc k)
  with Cons(1) [of k n i - length x] and Cons(2-)
    show ?thesis by (simp-all add: nth-append)
  qed
qed simp

lemma sum-list-take-eq:
fixes xs :: nat list
shows k < i  $\implies$  i < length xs  $\implies$  sum-list (take i xs) =
  sum-list (take k xs) + xs ! k + sum-list (take (i - Suc k) (drop (Suc k) xs))
by (subst id-take-nth-drop [of k]) (auto simp: min-def drop-take)

fun partition-by where
  partition-by xs [] = []
  partition-by xs (y#ys) = take y xs # partition-by (drop y xs) ys

lemma partition-by-map0-append [simp]:
  partition-by xs (map (λx. 0) ys @ zs) = replicate (length ys) [] @ partition-by xs
  zs
by (induct ys) simp-all

lemma concat-partition-by [simp]:
  sum-list ys = length xs  $\implies$  concat (partition-by xs ys) = xs
by (induct ys arbitrary: xs) simp-all

definition partition-by-idx where
  partition-by-idx l ys i j = partition-by [0..<l] ys ! i ! j

lemma partition-by-nth-nth-old:
  assumes i < length (partition-by xs ys)
  and j < length (partition-by xs ys ! i)
  and sum-list ys = length xs
  shows partition-by xs ys ! i ! j = xs ! (sum-list (map length (take i (partition-by
  xs ys))) + j)
  using concat-nth [OF assms(1, 2) refl]
  unfolding concat-partition-by [OF assms(3)] by simp

lemma map-map-partition-by:
  map (map f) (partition-by xs ys) = partition-by (map f xs) ys
by (induct ys arbitrary: xs) (auto simp: take-map drop-map)

lemma length-partition-by [simp]:
  length (partition-by xs ys) = length ys
by (induct ys arbitrary: xs) simp-all

lemma partition-by-Nil [simp]:

```

```

partition-by [] ys = replicate (length ys) []
by (induct ys) simp-all

lemma partition-by-concat-id [simp]:
assumes length xss = length ys
and ∀i. i < length ys ⇒ length (xss ! i) = ys ! i
shows partition-by (concat xss) ys = xss
using assms by (induct ys arbitrary: xss) (simp, case-tac xss, simp, fastforce)

lemma partition-by-nth:
i < length ys ⇒ partition-by xs ys ! i = take (ys ! i) (drop (sum-list (take i ys)))
xs)
by (induct ys arbitrary: xs i) (simp, case-tac i, simp-all add: ac-simps)

lemma partition-by-nth-less:
assumes k < i and i < length zs
and length xs = sum-list (take i zs) + j
shows partition-by (xs @ y # ys) zs ! k = take (zs ! k) (drop (sum-list (take k
zs)) xs)
proof -
have partition-by (xs @ y # ys) zs ! k =
take (zs ! k) (drop (sum-list (take k zs))) (xs @ y # ys))
using assms by (auto simp: partition-by-nth)
moreover have zs ! k + sum-list (take k zs) ≤ length xs
using assms by (simp add: sum-list-take-eq)
ultimately show ?thesis by simp
qed

lemma partition-by-nth-greater:
assumes i < k and k < length zs and j < zs ! i
and length xs = sum-list (take i zs) + j
shows partition-by (xs @ y # ys) zs ! k =
take (zs ! k) (drop (sum-list (take k zs) - 1) (xs @ ys))
proof -
have partition-by (xs @ y # ys) zs ! k =
take (zs ! k) (drop (sum-list (take k zs))) (xs @ y # ys))
using assms by (auto simp: partition-by-nth)
moreover have sum-list (take k zs) > length xs
using assms by (auto simp: sum-list-take-eq)
ultimately show ?thesis by (auto) (metis Suc-diff-Suc drop-Suc-Cons)
qed

lemma length-partition-by-nth:
sum-list ys = length xs ⇒ i < length ys ⇒ length (partition-by xs ys ! i) = ys
! i
by (induct ys arbitrary: xs i; case-tac i) auto

lemma partition-by-nth-nth-elem:
assumes sum-list ys = length xs i < length ys j < ys ! i

```

```

shows partition-by xs ys ! i ! j ∈ set xs
proof -
  from assms have j < length (partition-by xs ys ! i) by (simp only: length-partition-by-nth)
  then have partition-by xs ys ! i ! j ∈ set (partition-by xs ys ! i) by auto
  with assms(2) have partition-by xs ys ! i ! j ∈ set (concat (partition-by xs ys))
by auto
  then show ?thesis using assms by simp
qed

lemma partition-by-nth-nth:
  assumes sum-list ys = length xs i < length ys j < ys ! i
  shows partition-by xs ys ! i ! j = xs ! partition-by-idx (length xs) ys i j
    partition-by-idx (length xs) ys i j < length xs
unfolding partition-by-idx-def
proof -
  let ?n = partition-by [0..<length xs] ys ! i ! j
  show ?n < length xs
    using partition-by-nth-nth-elem[OF - assms(2,3), of [0..<length xs]] assms(1)
  by simp
  have li: i < length (partition-by [0..<length xs] ys) using assms(2) by simp
  have lj: j < length (partition-by [0..<length xs] ys ! i)
    using assms by (simp add: length-partition-by-nth)
  have partition-by (map ((!) xs) [0..<length xs]) ys ! i ! j = xs ! ?n
    by (simp only: map-map-partition-by[symmetric] nth-map[OF li] nth-map[OF lj])
  then show partition-by xs ys ! i ! j = xs ! ?n by (simp add: map-nth)
qed

lemma map-length-partition-by [simp]:
  sum-list ys = length xs ==> map length (partition-by xs ys) = ys
  by (intro nth-equalityI, auto simp: length-partition-by-nth)

lemma map-partition-by-nth [simp]:
  i < length ys ==> map f (partition-by xs ys ! i) = partition-by (map f xs) ys ! i
  by (induct ys arbitrary: i xs) (simp, case-tac i, simp-all add: take-map drop-map)

lemma sum-list-partition-by [simp]:
  sum-list ys = length xs ==>
    sum-list (map (λx. sum-list (map f x)) (partition-by xs ys)) = sum-list (map f xs)
  by (induct ys arbitrary: xs) (simp-all, metis append-take-drop-id sum-list-append map-append)

lemma partition-by-map-conv:
  partition-by xs ys = map (λi. take (ys ! i) (drop (sum-list (take i ys)) xs)) [0 ..< length ys]
  by (rule nth-equalityI) (simp-all add: partition-by-nth)

lemma UN-set-partition-by-map:

```

```

sum-list ys = length xs ==> (∪ x ∈ set (partition-by (map f xs) ys). ∪ (set x)) =
∪ (set (map f xs))
by (induct ys arbitrary: xs)
  (simp-all add: drop-map take-map, metis UN-Un append-take-drop-id set-append)

lemma UN-set-partition-by:
sum-list ys = length xs ==> (∪ zs ∈ set (partition-by xs ys). ∪ x ∈ set zs. f x) =
(∪ x ∈ set xs. f x)
by (induct ys arbitrary: xs) (simp-all, metis UN-Un append-take-drop-id set-append)

lemma Ball-atLeast0LessThan-partition-by-conv:
(∀ i ∈ {0..<length ys}. ∀ x ∈ set (partition-by xs ys ! i). P x) =
(∀ x ∈ ∪ (set (map set (partition-by xs ys))). P x)
by auto (metis atLeast0LessThan in-set-conv-nth length-partition-by lessThan-iff)

lemma Ball-set-partition-by:
sum-list ys = length xs ==>
(∀ x ∈ set (partition-by xs ys). ∀ y ∈ set x. P y) = (∀ x ∈ set xs. P x)
proof (induct ys arbitrary: xs)
  case (Cons y ys)
  then show ?case
    apply (subst (2) append-take-drop-id [of y xs, symmetric])
    apply (simp only: set-append)
    apply auto
  done
qed simp

lemma partition-by-append2:
partition-by xs (ys @ zs) = partition-by (take (sum-list ys) xs) ys @ partition-by
(drop (sum-list ys) xs) zs
by (induct ys arbitrary: xs) (auto simp: drop-take ac-simps split: split-min)

lemma partition-by-concat2:
partition-by xs (concat ys) =
concat (map (λi . partition-by (partition-by xs (map sum-list ys) ! i) (ys ! i)))
[0..<length ys])
proof –
  have *: map (λi . partition-by (partition-by xs (map sum-list ys) ! i) (ys ! i))
[0..<length ys] =
    map (λ(x,y). partition-by x y) (zip (partition-by xs (map sum-list ys)) ys)
    using zip-nth-conv[of partition-by xs (map sum-list ys) ys] by auto
  show ?thesis unfolding * by (induct ys arbitrary: xs) (auto simp: partition-by-append2)
qed

lemma partition-by-partition-by:
length xs = sum-list (map sum-list ys) ==>
partition-by (partition-by xs (concat ys)) (map length ys) =
map (λi. partition-by (partition-by xs (map sum-list ys) ! i) (ys ! i)) [0..<length
ys]

```

```
by (auto simp: partition-by-concat2 intro: partition-by-concat-id)
```

2.1.2 Multihole contexts definition and functionalities

```
datatype ('f, vars-mctxt : 'v) mctxt = MVar 'v | MHole | MFun 'f ('f, 'v) mctxt list
```

2.1.3 Conversions from and to multihole contexts

```
primrec mctxt-of-term :: ('f, 'v) term ⇒ ('f, 'v) mctxt where
```

$$\begin{aligned} \text{mctxt-of-term } (\text{Var } x) &= \text{MVar } x \\ \text{mctxt-of-term } (\text{Fun } f \text{ ts}) &= \text{MFun } f \text{ (map mctxt-of-term ts)} \end{aligned}$$

```
primrec term-of-mctxt :: ('f, 'v) mctxt ⇒ ('f, 'v) term where
```

$$\begin{aligned} \text{term-of-mctxt } (\text{MVar } x) &= \text{Var } x \\ \text{term-of-mctxt } (\text{MFun } f \text{ Cs}) &= \text{Fun } f \text{ (map term-of-mctxt Cs)} \end{aligned}$$

```
fun num-holes :: ('f, 'v) mctxt ⇒ nat where
```

$$\begin{aligned} \text{num-holes } (\text{MVar } -) &= 0 \\ \text{num-holes } \text{MHole} &= 1 \\ \text{num-holes } (\text{MFun } - \text{ ctxts}) &= \text{sum-list } (\text{map num-holes ctxts}) \end{aligned}$$

```
fun ground-mctxt :: ('f, 'v) mctxt ⇒ bool where
```

$$\begin{aligned} \text{ground-mctxt } (\text{MVar } -) &= \text{False} \\ \text{ground-mctxt } \text{MHole} &= \text{True} \\ \text{ground-mctxt } (\text{MFun } f \text{ Cs}) &= \text{Ball } (\text{set Cs}) \text{ ground-mctxt} \end{aligned}$$

```
fun map-mctxt :: ('f ⇒ 'g) ⇒ ('f, 'v) mctxt ⇒ ('g, 'v) mctxt
```

where

$$\begin{aligned} \text{map-mctxt } - \text{ (MVar } x) &= (\text{MVar } x) \\ \text{map-mctxt } - \text{ (MHole)} &= \text{MHole} \\ \text{map-mctxt } fg \text{ (MFun } f \text{ Cs}) &= \text{MFun } (fg f) \text{ (map (map-mctxt } fg) \text{ Cs)} \end{aligned}$$

```
abbreviation partition-holes xs Cs ≡ partition-by xs (map num-holes Cs)
```

```
abbreviation partition-holes-idx l Cs ≡ partition-by-idx l (map num-holes Cs)
```

```
fun fill-holes :: ('f, 'v) mctxt ⇒ ('f, 'v) term list ⇒ ('f, 'v) term where
```

$$\begin{aligned} \text{fill-holes } (\text{MVar } x) - &= \text{Var } x \\ \text{fill-holes } \text{MHole } [t] &= t \\ \text{fill-holes } (\text{MFun } f \text{ cs}) \text{ ts} &= \text{Fun } f \text{ (map } (\lambda i. \text{fill-holes } (\text{cs ! } i) \\ &\quad (\text{partition-holes ts cs ! } i)) [0 ..< \text{length cs}]) \end{aligned}$$

```
fun fill-holes-mctxt :: ('f, 'v) mctxt ⇒ ('f, 'v) mctxt list ⇒ ('f, 'v) mctxt where
```

$$\begin{aligned} \text{fill-holes-mctxt } (\text{MVar } x) - &= \text{MVar } x \\ \text{fill-holes-mctxt } \text{MHole } [] &= \text{MHole} \\ \text{fill-holes-mctxt } \text{MHole } [t] &= t \\ \text{fill-holes-mctxt } (\text{MFun } f \text{ cs}) \text{ ts} &= (\text{MFun } f \text{ (map } (\lambda i. \text{fill-holes-mctxt } (\text{cs ! } i) \\ &\quad (\text{partition-holes ts cs ! } i)) [0 ..< \text{length cs}])) \end{aligned}$$

```

fun unfill-holes :: ('f, 'v) mctxt  $\Rightarrow$  ('f, 'v) term  $\Rightarrow$  ('f, 'v) term list where
  unfill-holes MHole t = [t]
  | unfill-holes (MVar w) (Var v) = (if v = w then [] else undefined)
  | unfill-holes (MFun g Cs) (Fun f ts) = (if f = g  $\wedge$  length ts = length Cs then
    concat (map ( $\lambda$ i. unfill-holes (Cs ! i) (ts ! i)) [0..<length ts]) else undefined)

fun funas-mctxt where
  funas-mctxt (MFun f Cs) = {(f, length Cs)}  $\cup$   $\bigcup$ (funas-mctxt ‘ set Cs) |
  funas-mctxt - = {}

fun split-vars :: ('f, 'v) term  $\Rightarrow$  (('f, 'v) mctxt  $\times$  'v list) where
  split-vars (Var x) = (MHole, [x])
  split-vars (Fun f ts) = (MFun f (map (fst  $\circ$  split-vars) ts), concat (map (snd  $\circ$ 
    split-vars) ts))

fun hole-poss-list :: ('f, 'v) mctxt  $\Rightarrow$  pos list where
  hole-poss-list (MVar x) = []
  hole-poss-list MHole = []
  hole-poss-list (MFun f cs) = concat (poss-args hole-poss-list cs)

fun map-vars-mctxt :: ('v  $\Rightarrow$  'w)  $\Rightarrow$  ('f, 'v) mctxt  $\Rightarrow$  ('f, 'w) mctxt
where
  map-vars-mctxt vw MHole = MHole |
  map-vars-mctxt vw (MVar v) = (MVar (vw v))
  map-vars-mctxt vw (MFun f Cs) = MFun f (map (map-vars-mctxt vw) Cs)

inductive eq-fill :: ('f, 'v) term  $\Rightarrow$  ('f, 'v) mctxt  $\times$  ('f, 'v) term list  $\Rightarrow$  bool ( $\langle(\cdot /$ 
 $=_f \cdot)\rangle$  [51, 51] 50)
where
  eqfI [intro]: t = fill-holes D ss  $\Longrightarrow$  num-holes D = length ss  $\Longrightarrow$  t =f (D, ss)

```

2.1.4 Semilattice Structures

instantiation mctxt :: (type, type) inf

begin

```

fun inf-mctxt :: ('a, 'b) mctxt  $\Rightarrow$  ('a, 'b) mctxt  $\Rightarrow$  ('a, 'b) mctxt
where
  MHole  $\sqcap$  D = MHole |
  C  $\sqcap$  MHole = MHole |
  MVar x  $\sqcap$  MVar y = (if x = y then MVar x else MHole) |
  MFun f Cs  $\sqcap$  MFun g Ds =
    (if f = g  $\wedge$  length Cs = length Ds then MFun f (map (case-prod ( $\sqcap$ )) (zip Cs
      Ds)))
    else MHole) |
  C  $\sqcap$  D = MHole

```

```

instance ..

end

lemma inf-mctxt-idem [simp]:
  fixes C :: ('f, 'v) mctxt
  shows C ⊓ C = C
  by (induct C) (auto simp: zip-same-conv-map intro: map-idI)

lemma inf-mctxt-MHole2 [simp]:
  C ⊓ MHole = MHole
  by (induct C) simp-all

lemma inf-mctxt-comm [ac-simps]:
  (C :: ('f, 'v) mctxt) ⊓ D = D ⊓ C
  by (induct C D rule: inf-mctxt.induct) (fastforce simp: in-set-conv-nth intro!: nth-equalityI)+

lemma inf-mctxt-assoc [ac-simps]:
  fixes C :: ('f, 'v) mctxt
  shows C ⊓ D ⊓ E = C ⊓ (D ⊓ E)
  apply (induct C D arbitrary: E rule: inf-mctxt.induct)
  apply auto
  apply (case-tac E, auto)+
  apply (fastforce simp: in-set-conv-nth intro!: nth-equalityI)
  apply (case-tac E, auto)+
done

instantiation mctxt :: (type, type) order
begin

definition (C :: ('a, 'b) mctxt) ≤ D ↔ C ⊓ D = C
definition (C :: ('a, 'b) mctxt) < D ↔ C ≤ D ∧ ¬ D ≤ C

instance
  by (standard, simp-all add: less-eq-mctxt-def less-mctxt-def ac-simps, metis inf-mctxt-assoc)

end

inductive less-eq-mctxt' :: ('f, 'v) mctxt ⇒ ('f, 'v) mctxt ⇒ bool where
  less-eq-mctxt' MHole u
| less-eq-mctxt' (MVar v) (MVar v)
| length cs = length ds ⇒ (¬ i < length cs ⇒ less-eq-mctxt' (cs ! i) (ds ! i))
  ⇒ less-eq-mctxt' (MFun f cs) (MFun f ds)

```

2.1.5 Lemmata

```

lemma partition-holes-fill-holes-conv:
  fill-holes (MFun f cs) ts =

```

```

Fun f [fill-holes (cs ! i) (partition-holes ts cs ! i). i ← [0 ..< length cs]]
by (simp add: partition-by-nth take-map)

```

```

lemma partition-holes-fill-holes-mctxt-conv:
  fill-holes-mctxt (MFun f Cs) ts =
    MFun f [fill-holes-mctxt (Cs ! i) (partition-holes ts Cs ! i). i ← [0 ..< length
Cs]]
  by (simp add: partition-by-nth take-map)

```

The following induction scheme provides the *MFun* case with the list argument split according to the argument contexts. This feature is quite delicate: its benefit can be destroyed by premature simplification using the $\text{sum-list } ?ys = \text{length } ?xs \implies \text{concat} (\text{partition-by } ?xs ?ys) = ?xs$ simplification rule.

```

lemma fill-holes-induct2[consumes 2, case-names MHole MVar MFun]:
  fixes P :: ('f,'v) mctxt ⇒ 'a list ⇒ 'b list ⇒ bool
  assumes len1: num-holes C = length xs and len2: num-holes C = length ys
  and Hole: ∀x y. P MHole [x] [y]
  and Var: ∀v. P (MVar v) []
  and Fun: ∀f Cs xs ys. sum-list (map num-holes Cs) = length xs ⇒
    sum-list (map num-holes Cs) = length ys ⇒
    (∀i. i < length Cs ⇒ P (Cs ! i) (partition-holes xs Cs ! i) (partition-holes ys
Cs ! i)) ⇒
    P (MFun f Cs) (concat (partition-holes xs Cs)) (concat (partition-holes ys Cs))
  shows P C xs ys
  proof (insert len1 len2, induct C arbitrary: xs ys)
    case MHole then show ?case using Hole by (cases xs; cases ys) auto
  next
    case (MVar v) then show ?case using Var by auto
  next
    case (MFun f Cs) then show ?case using Fun[of Cs xs ys f] by (auto simp:
length-partition-by-nth)
  qed

```

```

lemma fill-holes-induct[consumes 1, case-names MHole MVar MFun]:
  fixes P :: ('f,'v) mctxt ⇒ 'a list ⇒ bool
  assumes len: num-holes C = length xs
  and Hole: ∀x. P MHole [x]
  and Var: ∀v. P (MVar v) []
  and Fun: ∀f Cs xs. sum-list (map num-holes Cs) = length xs ⇒
    (∀i. i < length Cs ⇒ P (Cs ! i) (partition-holes xs Cs ! i)) ⇒
    P (MFun f Cs) (concat (partition-holes xs Cs))
  shows P C xs
  using fill-holes-induct2[of C xs xs λ C xs -. P C xs] assms by simp

```

```

lemma length-partition-holes-nth [simp]:
  assumes sum-list (map num-holes cs) = length ts
  and i < length cs
  shows length (partition-holes ts cs ! i) = num-holes (cs ! i)

```

using assms by (*simp add: length-partition-by-nth*)

lemmas

map-partition-holes-nth [*simp*] =
map-partition-by-nth [*of - map num-holes Cs for Cs, unfolded length-map*] **and**
length-partition-holes [*simp*] =
length-partition-by [*of - map num-holes Cs for Cs, unfolded length-map*]

lemma *fill-holes-term-of-mctxt*:

num-holes C = 0 \implies *fill-holes C [] = term-of-mctxt C*
by (*induct C*) (*auto simp add: map-eq-nth-conv*)

lemma *fill-holes-MHole*:

length ts = Suc 0 \implies *ts ! 0 = u* \implies *fill-holes MHole ts = u*
by (*cases ts*) *simp-all*

lemma *fill-holes-arbitrary*:

assumes *lCs: length Cs = length ts*
and *lss: length ss = length ts*
and *rec: $\bigwedge i. i < \text{length } ts \implies \text{num-holes} (Cs ! i) = \text{length} (ss ! i) \wedge f (Cs ! i) (ss ! i) = ts ! i$*
shows *map (λi. f (Cs ! i)) (partition-holes (concat ss) Cs ! i)) [0 .. < \text{length } Cs] = ts*
proof –
have *sum-list (map num-holes Cs) = length (concat ss)* **using assms**
by (*auto simp: length-concat map-nth-eq-conv intro: arg-cong[of - - sum-list]*)
moreover have *partition-holes (concat ss) Cs = ss*
using assms by (*auto intro: partition-by-concat-id*)
ultimately show ?thesis **using assms by** (*auto intro: nth-equalityI*)
qed

lemma *fill-holes-MFun*:

assumes *lCs: length Cs = length ts*
and *lss: length ss = length ts*
and *rec: $\bigwedge i. i < \text{length } ts \implies \text{num-holes} (Cs ! i) = \text{length} (ss ! i) \wedge \text{fill-holes} (Cs ! i) (ss ! i) = ts ! i$*
shows *fill-holes (MFun f Cs) (concat ss) = Fun f ts*
unfolding *fill-holes.simps term.simps*
by (*rule conjI[OF refl], rule fill-holes-arbitrary[OF lCs lss rec]*)

lemma *eqfE*:

assumes *t =_f (D, ss)* **shows** *t = fill-holes D ss num-holes D = length ss*
using assms[unfolded eq-fill.simps] **by** *auto*

lemma *eqf-MFunE*:

assumes *s =_f (MFun f Cs, ss)*
obtains *ts sss* **where** *s = Fun f ts* *length ts = length Cs* *length sss = length Cs*
 $\bigwedge i. i < \text{length } Cs \implies ts ! i =_f (Cs ! i, sss ! i)$

```

ss = concat sss
proof -
  from eqfE[OF assms] have fh:  $s = \text{fill-holes}(\text{MFun } f \text{ } Cs) \text{ } ss$ 
    and nh:  $\text{sum-list}(\text{map num-holes } Cs) = \text{length } ss$  by auto
  from fh obtain ts where s:  $s = \text{Fun } f \text{ } ts$  by (cases s, auto)
  from fh[unfolded s]
  have ts:  $ts = \text{map}(\lambda i. \text{fill-holes}(Cs ! i) (\text{partition-holes } ss \text{ } Cs ! i)) [0..<\text{length } Cs]$ 
    (is - =  $\text{map}(\text{?f } Cs \text{ } ss) \text{ }$  -)
    by auto
  let ?sss =  $\text{partition-holes } ss \text{ } Cs$ 
  from nh
  have *:  $\text{length } ?sss = \text{length } Cs \wedge i < \text{length } Cs \implies ts ! i =_f (Cs ! i, ?sss ! i)$ 
  i) ss = concat ?sss
    by (auto simp: ts)
  have len:  $\text{length } ts = \text{length } Cs$  unfolding ts by auto
  assume ass:  $\bigwedge ts \text{ } sss. s = \text{Fun } f \text{ } ts \implies$ 
     $\text{length } ts = \text{length } Cs \implies$ 
     $\text{length } sss = \text{length } Cs \implies (\bigwedge i. i < \text{length } Cs \implies ts ! i =_f (Cs ! i, sss ! i)) \implies ss = \text{concat } sss \implies \text{thesis}$ 
    show thesis
      by (rule ass[OF s len *])
  qed

lemma eqf-MFunI:
  assumes length sss = length Cs
  and length ts = length Cs
  and  $\bigwedge i. i < \text{length } Cs \implies ts ! i =_f (Cs ! i, sss ! i)$ 
  shows Fun f ts =f (MFun f Cs, concat sss)
  proof
    have num-holes (MFun f Cs) = sum-list (map num-holes Cs) by simp
    also have map num-holes Cs = map length sss
      by (rule nth-equalityI, insert assms eqfE[OF assms(3)], auto)
    also have sum-list (...) = length (concat sss) unfolding length-concat ..
    finally show num-holes (MFun f Cs) = length (concat sss) .
    show Fun f ts = fill-holes (MFun f Cs) (concat sss)
      by (rule fill-holes-MFun[symmetric], insert assms(1,2) eqfE[OF assms(3)],
        auto)
  qed

lemma split-vars-ground-vars:
  assumes ground-mctxt C and num-holes C = length xs
  shows split-vars (fill-holes C (map Var xs)) = (C, xs) using assms
  proof (induct C arbitrary: xs)
    case (MHole xs)
    then show ?case by (cases xs, auto)
  next
    case (MFun f Cs xs)
    have fill-holes (MFun f Cs) (map Var xs) =f (MFun f Cs, map Var xs)

```

```

    by (rule eqfI, insert MFun(3), auto)
from eqf-MFunE[OF this]
obtain ts xss where fh: fill-holes (MFun f Cs) (map Var xs) = Fun f ts
and lent: length ts = length Cs
and lenx: length xss = length Cs
and args:  $\bigwedge i. i < \text{length } Cs \implies ts ! i =_f (Cs ! i, xss ! i)$ 
and id: map Var xs = concat xss by auto
from arg-cong[OF id, of map the-Var] have id2: xs = concat (map (map the-Var)
xss)
    by (metis map-concat length-map map-nth-eq-conv term.sel(1))
{
fix i
assume i: i < length Cs
then have mem: Cs ! i ∈ set Cs by auto
with MFun(2) have ground: ground-mctxt (Cs ! i) by auto
have map Var (map the-Var (xss ! i)) = map id (xss ! i) unfolding map-map
o-def map-eq-conv
proof
fix x
assume x ∈ set (xss ! i)
with lenx i have x ∈ set (concat xss) by auto
from this[unfolded id[symmetric]] show Var (the-Var x) = id x by auto
qed
then have idxss: map Var (map the-Var (xss ! i)) = xss ! i by auto
note rec = eqfE[OF args[OF i]]
note IH = MFun(1)[OF mem ground, of map the-Var (xss ! i), unfolded rec(2)
idxss rec(1)[symmetric]]
from IH have split-vars (ts ! i) = (Cs ! i, map the-Var (xss ! i)) by auto
note this idxss
}
note IH = this
have ?case = (map fst (map split-vars ts) = Cs ∧ concat (map snd (map split-vars
ts)) = concat (map (map the-Var) xss))
unfolding fh unfolding id2 by auto
also have ...
proof (rule conjI[OF nth-equalityI arg-cong[of - - concat, OF nth-equalityI,
rule-format]], unfold length-map lent lenx)
fix i
assume i: i < length Cs
with arg-cong[OF IH(2)[OF this], of map the-Var]
IH[OF this] show map snd (map split-vars ts) ! i = map (map the-Var) xss
! i using lent lenx by auto
qed (insert IH lent, auto)
finally show ?case .
qed auto

```

lemma split-vars-vars-term-list: $\text{snd} (\text{split-vars } t) = \text{vars-term-list } t$
proof (induct t)

```

case (Fun f ts)
then show ?case by (auto simp: vars-term-list.simps o-def, induct ts, auto)
qed (auto simp: vars-term-list.simps)

lemma split-vars-num-holes: num-holes (fst (split-vars t)) = length (snd (split-vars t))
proof (induct t)
  case (Fun f ts)
    then show ?case by (induct ts, auto)
  qed simp

lemma ground-eq-fill: t =f (C,ss)  $\implies$  ground t = (ground-mctxt C  $\wedge$  ( $\forall s \in set ss$ . ground s))
proof (induct C arbitrary: t ss)
  case (MVar x)
    from eqfE[OF this] show ?case by simp
  next
    case (MHole t ss)
      from eqfE[OF this] show ?case by (cases ss, auto)
  next
    case (MFun f Cs s ss)
      from eqf-MFunE[OF MFun(2)] obtain ts sss where s: s = Fun f ts and len: length ts = length Cs length sss = length Cs
        and IH:  $\bigwedge i. i < length Cs \implies ts ! i =_f (Cs ! i, sss ! i)$  and ss: ss = concat sss by metis
        {
          fix i
          assume i: i < length Cs
          then have Cs ! i ∈ set Cs by simp
          from MFun(1)[OF this IH[OF i]]
          have ground (ts ! i) = (ground-mctxt (Cs ! i)  $\wedge$  ( $\forall a \in set (sss ! i)$ . ground a)) .
        } note IH = this
        note conv = set-conv-nth
        have ?case = (( $\forall x \in set ts$ . ground x) = (( $\forall x \in set Cs$ . ground-mctxt x)  $\wedge$  ( $\forall a \in set sss$ .  $\forall x \in set a$ . ground x)))
          unfolding s ss by simp
        also have ... unfolding conv[of ts] conv[of Cs] conv[of sss] len using IH by auto
        finally show ?case by simp
  qed

lemma ground-fill-holes:
assumes nh: num-holes C = length ss
shows ground (fill-holes C ss) = (ground-mctxt C  $\wedge$  ( $\forall s \in set ss$ . ground s))
by (rule ground-eq-fill[OF eqfI[OF refl nh]])

lemma split-vars-ground' [simp]:
  ground-mctxt (fst (split-vars t))

```

```

by (induct t) auto

lemma split-vars-funas-mctxt [simp]:
  funas-mctxt (fst (split-vars t)) = funas-term t
  by (induct t) auto

lemma less-eq-mctxt-prime: C ≤ D ↔ less-eq-mctxt' C D
proof
  assume less-eq-mctxt' C D then show C ≤ D
    by (induct C D rule: less-eq-mctxt'.induct) (auto simp: less-eq-mctxt-def intro: nth-equalityI)
  next
    assume C ≤ D then show less-eq-mctxt' C D unfolding less-eq-mctxt-def
      by (induct C D rule: inf-mctxt.induct)
        (auto split: if-splits simp: set-zip intro!: less-eq-mctxt'.intros nth-equalityI elim!: nth-equalityE, metis)
  qed

lemmas less-eq-mctxt-induct = less-eq-mctxt'.induct[folded less-eq-mctxt-prime, consumes 1]
lemmas less-eq-mctxt-intros = less-eq-mctxt'.intros[folded less-eq-mctxt-prime]

lemma less-eq-mctxt-MHoleE2:
  assumes C ≤ MHole
  obtains (MHole) C = MHole
  using assms unfolding less-eq-mctxt-prime by (cases C, auto)

lemma less-eq-mctxt-MVarE2:
  assumes C ≤ MVar v
  obtains (MHole) C = MHole | (MVar) C = MVar v
  using assms unfolding less-eq-mctxt-prime by (cases C) auto

lemma less-eq-mctxt-MFunE2:
  assumes C ≤ MFun f ds
  obtains (MHole) C = MHole
  | (MFun) cs where C = MFun f cs length cs = length ds ∧ i. i < length cs ==>
  cs ! i ≤ ds ! i
  using assms unfolding less-eq-mctxt-prime by (cases C) auto

lemmas less-eq-mctxtE2 = less-eq-mctxt-MHoleE2 less-eq-mctxt-MVarE2 less-eq-mctxt-MFunE2

lemma less-eq-mctxt-MVarE1:
  assumes MVar v ≤ D
  obtains (MVar) D = MVar v
  using assms by (cases D) (auto elim: less-eq-mctxtE2)

lemma MHole-Bot [simp]: MHole ≤ D

```

```

by (simp add: less-eq-mctxt-intros(1))

lemma less-eq-mctxt-MFunE1:
assumes MFun f cs ≤ D
obtains (MFun) ds where D = MFun f ds length cs = length ds ∧ i < length
cs ⟶ cs ! i ≤ ds ! i
using assms by (cases D) (auto elim: less-eq-mctxtE2)

lemma length-unfill-holes [simp]:
assumes C ≤ mctxt-of-term t
shows length (unfill-holes C t) = num-holes C
using assms
proof (induct C t rule: unfill-holes.induct)
case (3 f Cs g ts) with 3(1)[OF - nth-mem] 3(2) show ?case
by (auto simp: less-eq-mctxt-def length-concat
intro!: cong[of sum-list, OF refl] nth-equalityI elim!: nth-equalityE)
qed (auto simp: less-eq-mctxt-def)

lemma map-vars-mctxt-id [simp]:
map-vars-mctxt (λ x. x) C = C
by (induct C, auto intro: nth-equalityI)

lemma split-vars-eqf-subst-map-vars-term:
t · σ =f (map-vars-mctxt vw (fst (split-vars t)), map σ (snd (split-vars t)))
proof (induct t)
case (Fun f ts)
have ?case = (Fun f (map (λt. t · σ) ts)
= f (MFun f (map (map-vars-mctxt vw ∘ (fst ∘ split-vars)) ts), concat (map
(map σ ∘ (snd ∘ split-vars)) ts)))
by (simp add: map-concat)
also have ...
proof (rule eqf-MFunI, simp, simp, unfold length-map)
fix i
assume i: i < length ts
then have mem: ts ! i ∈ set ts by auto
show map (λt. t · σ) ts ! i =f (map (map-vars-mctxt vw ∘ (fst ∘ split-vars))
ts ! i, map (map σ ∘ (snd ∘ split-vars)) ts ! i)
using Fun[OF mem] i by auto
qed
finally show ?case by simp
qed auto

lemma split-vars-eqf-subst: t · σ =f (fst (split-vars t), (map σ (snd (split-vars
t))))
using split-vars-eqf-subst-map-vars-term[of t σ λ x. x] by simp

lemma split-vars-fill-holes:

```

```

assumes  $C = \text{fst}(\text{split-}vars\ s)$  and  $ss = \text{map Var}(\text{snd}(\text{split-}vars\ s))$ 
shows  $\text{fill-holes } C\ ss = s$  using  $\text{assms}$ 
by (metis eqfE(1) split-vars-eqf-subst subst-apply-term-empty)

```

```

lemma fill-unfill-holes:
assumes  $C \leq \text{mctxt-of-term } t$ 
shows  $\text{fill-holes } C\ (\text{unfill-holes } C\ t) = t$ 
using  $\text{assms}$ 
proof (induct C t rule: unfill-holes.induct)
  case ( $3\ f\ Cs\ g\ ts$ ) with  $3(1)[\text{OF - nth-mem}]$   $3(2)$  show  $?case$ 
    by (auto simp: less-eq-mctxt-def intro!: fill-holes-arbitrary elim!: nth-equalityE)
  qed (auto simp: less-eq-mctxt-def split: if-splits)

```

```

lemma hole-poss-list-length:
length (hole-poss-list D) = num-holes D
by (induct D) (auto simp: length-concat intro!: nth-sum-listI)

```

```

lemma unfill-holles-hole-poss-list-length:
assumes  $C \leq \text{mctxt-of-term } t$ 
shows  $\text{length } (\text{unfill-holes } C\ t) = \text{length } (\text{hole-poss-list } C)$  using  $\text{assms}$ 
proof (induct C arbitrary: t)
  case (MVar x)
    then have [simp]:  $t = \text{Var } x$  by (cases t) (auto dest: less-eq-mctxt-MVarE1)
    show  $?case$  by simp
next
  case (MFun f ts) then show  $?case$ 
    by (cases t) (auto simp: length-concat comp-def
      elim!: less-eq-mctxt-MFunE1 less-eq-mctxt-MVarE1 intro!: nth-sum-listI)
  qed auto

```

```

lemma unfill-holes-to-subst-at-hole-poss:
assumes  $C \leq \text{mctxt-of-term } t$ 
shows  $\text{unfill-holes } C\ t = \text{map } ((|-)\ t) (\text{hole-poss-list } C)$  using  $\text{assms}$ 
proof (induct C arbitrary: t)
  case (MVar x)
    then show  $?case$  by (cases t) (auto elim: less-eq-mctxt-MVarE1)
  next
  case (MFun f ts)
    from MFun(2) obtain ss where [simp]:  $t = \text{Fun } f\ ss$  and  $l: \text{length } ts = \text{length } ss$ 
    by (cases t) (auto elim: less-eq-mctxt-MFunE1)
    let  $?ts = \text{map } (\lambda i. \text{unfill-holes } (ts ! i) (ss ! i)) [0..<\text{length } ts]$ 
    let  $?ss = \text{map } (\lambda x. \text{map } ((|-)\ (\text{Fun } f\ ss)) (\text{case } x \text{ of } (x, y) \Rightarrow \text{map } ((\#)\ x) (\text{hole-poss-list } y))) (\text{zip } [0..<\text{length } ts] ts)$ 
    have  $\text{eq-l } [simp]: \text{length } (\text{concat } ?ts) = \text{length } (\text{concat } ?ss)$  using MFun
    by (auto simp: length-concat comp-def elim!: less-eq-mctxt-MFunE1 split!: prod.splits
      intro!: nth-sum-listI)

```

```

{fix i assume ass: i < length (concat ?ts)
  then have lss: i < length (concat ?ss) by auto
  obtain m n where [simp]: concat-index-split (0, i) ?ts = (m, n) by fastforce
  then have [simp]: concat-index-split (0, i) ?ss = (m, n) using concat-index-split-unique[OF
    ass, of ?ss 0] MFun(2)
    by (auto simp: unfill-holles-hole-poss-list-length[of ts ! i ss ! i for i]
      simp del: length-unfill-holes elim!: less-eq-mctxt-MFunE1)
  from concat-index-split-less-length-concat(2-)[OF ass] concat-index-split-less-length-concat(2-)[OF
    lss]
  have concat ?ts ! i = concat ?ss! i using MFun(1)[OF nth-mem, of m ss ! m]
  MFun(2)
    by (auto elim!: less-eq-mctxt-MFunE1)} note nth = this
  show ?case using MFun
    by (auto simp: comp-def map-concat length-concat
      elim!: less-eq-mctxt-MFunE1 split!: prod.splits
      intro!: nth-equalityI nth-sum-listI nth)
qed auto

lemma hole-poss-split-varposs-list-length [simp]:
  length (hole-poss-list (fst (split-vars t))) = length (varposs-list t)
  by (induct t)(auto simp: length-concat comp-def intro!: nth-sum-listI)

lemma hole-poss-split-vars-varposs-list:
  hole-poss-list (fst (split-vars t)) = varposs-list t
proof (induct t)
  case (Fun f ts)
  let ?ts = poss-args hole-poss-list (map (fst o split-vars) ts)
  let ?ss = poss-args varposs-list ts
  have len: length (concat ?ts) = length (concat ?ss) length ?ts = length ?ss
    ∀ i < length ?ts. length (?ts ! i) = length (?ss ! i) by (auto intro: eq-length-concat-nth)
  {fix i assume ass: i < length (concat ?ts)
    then have lss: i < length (concat ?ss) using len by auto
    obtain m n where int: concat-index-split (0, i) ?ts = (m, n) by fastforce
    then have [simp]: concat-index-split (0, i) ?ss = (m, n) using concat-index-split-unique[OF
      ass len(2-)] by auto
    from concat-index-split-less-length-concat(2-)[OF ass int] concat-index-split-less-length-concat(2-)[OF
      lss]
    have concat ?ts ! i = concat ?ss! i using Fun[OF nth-mem, of m] by auto}
    then show ?case using len by (auto intro: nth-equalityI)
  qed auto

lemma funas-term-fill-holes-iff: num-holes C = length ts ==>
  g ∈ funas-term (fill-holes C ts) ↔ g ∈ funas-mctxt C ∨ (∃ t ∈ set ts. g ∈
  funas-term t)
proof (induct C ts rule: fill-holes-induct)
  case (MFun f Cs ts)
  have (∃ i < length Cs. g ∈ funas-term (fill-holes (Cs ! i)) (partition-holes (concat

```

```

(partition-holes ts Cs)) Cs ! i)))
 $\longleftrightarrow (\exists C \in set Cs. g \in funas-mctxt C) \vee (\exists us \in set (partition-holes ts Cs).$ 
 $\exists t \in set us. g \in funas-term t)$ 
  using MFun by (auto simp: ex-set-conv-ex-nth) blast
  then show ?case by auto
qed auto

```

```

lemma vars-term-fill-holes [simp]:
  num-holes C = length ts  $\implies$  ground-mctxt C  $\implies$ 
    vars-term (fill-holes C ts) =  $\bigcup$ (vars-term ` set ts)
  proof (induct C arbitrary: ts)
    case MHole
    then show ?case by (cases ts) simp-all
  next
    case (MFun f Cs)
    then have *: length (partition-holes ts Cs) = length Cs by simp
    let ?f =  $\lambda x. \bigcup y \in set x. \text{vars-term } y$ 
    show ?case
      using MFun
      unfolding partition-holes-fill-holes-conv
      by (simp add: UN-upl-len-conv [OF *, of ?f] UN-set-partition-by)
  qed simp

```

```

lemma funas-mctxt-fill-holes [simp]:
  assumes num-holes C = length ts
  shows funas-term (fill-holes C ts) = funas-mctxt C  $\cup$   $\bigcup$ (set (map funas-term ts))
  using funas-term-fill-holes-if[OF assms] by auto

```

```

lemma funas-mctxt-fill-holes-mctxt [simp]:
  assumes num-holes C = length Ds
  shows funas-mctxt (fill-holes-mctxt C Ds) = funas-mctxt C  $\cup$   $\bigcup$ (set (map funas-mctxt Ds))
  (is ?f C Ds = ?g C Ds)
  using assms
  proof (induct C arbitrary: Ds)
    case MHole
    then show ?case by (cases Ds) simp-all
  next
    case (MFun f Cs)
    then have num-holes: sum-list (map num-holes Cs) = length Ds by simp
    let ?ys = partition-holes Ds Cs
    have  $\bigwedge i. i < \text{length } Cs \implies ?f (Cs ! i) (?ys ! i) = ?g (Cs ! i) (?ys ! i)$ 
      using MFun by (metis nth-mem num-holes.simps(3) length-partition-holes-nth)
    then have  $(\bigcup i \in \{0 .. < \text{length } Cs\}. ?f (Cs ! i) (?ys ! i)) =$ 
       $(\bigcup i \in \{0 .. < \text{length } Cs\}. ?g (Cs ! i) (?ys ! i))$  by simp
    then show ?case

```

```

using num-holes
unfolding partition-holes-fill-holes-mctxt-conv
by (simp add: UN-Un-distrib UN-upt-len-conv [of - -  $\lambda x. \bigcup (set x)$ ] UN-set-partition-by-map)
qed simp

end
theory Ground-MCtxt
imports
  Multihole-Context
  Regular-Tree-Relations.Ground-Terms
  Regular-Tree-Relations.Ground-Ctxt
begin

```

2.2 Ground multihole context

```
datatype (gfunns-mctxt: 'f) gmctxt = GMHole | GMFun 'f 'f gmctxt list
```

2.2.1 Basic function on ground mutlihole contexts

```

primrec gmctxt-of-gterm :: 'f gterm  $\Rightarrow$  'f gmctxt where
  gmctxt-of-gterm (GFun f ts) = GMFun f (map gmctxt-of-gterm ts)

fun num-gholes :: 'f gmctxt  $\Rightarrow$  nat where
  num-gholes GMHole = Suc 0
  | num-gholes (GMFun - ctxts) = sum-list (map num-gholes ctxts)

primrec gterm-of-gmctxt :: 'f gmctxt  $\Rightarrow$  'f gterm where
  gterm-of-gmctxt (GMFun f Cs) = GFun f (map gterm-of-gmctxt Cs)

primrec term-of-gmctxt :: 'f gmctxt  $\Rightarrow$  ('f, 'v) term where
  term-of-gmctxt (GMFun f Cs) = Fun f (map term-of-gmctxt Cs)

primrec gmctxt-of-gctxt :: 'f gctxt  $\Rightarrow$  'f gmctxt where
  gmctxt-of-gctxt  $\square_G$  = GMHole
  | gmctxt-of-gctxt (GMore f ss C ts) =
    GMFun f (map gmctxt-of-gterm ss @ gmctxt-of-gctxt C # map gmctxt-of-gterm ts)

fun gctxt-of-gmctxt :: 'f gmctxt  $\Rightarrow$  'f gctxt where
  gctxt-of-gmctxt GMHole =  $\square_G$ 
  | gctxt-of-gmctxt (GMFun f Cs) = (let n = length (takeWhile ( $\lambda C.$  num-gholes C = 0) Cs) in
    (if n < length Cs then
      GMore f (map gterm-of-gmctxt (take n Cs)) (gctxt-of-gmctxt (Cs ! n)) (map gterm-of-gmctxt (drop (Suc n) Cs))
    else undefined))

primrec gmctxt-of-mctxt :: ('f, 'v) mctxt  $\Rightarrow$  'f gmctxt where
  gmctxt-of-mctxt MHole = GMHole
  | gmctxt-of-mctxt (MFun f Cs) = GMFun f (map gmctxt-of-mctxt Cs)

```

```

primrec mctxt-of-gmctxt :: 'f gmctxt  $\Rightarrow$  ('f, 'v) mctxt where
  mctxt-of-gmctxt GMHole = MHole
  | mctxt-of-gmctxt (GMFun f Cs) = MFun f (map mctxt-of-gmctxt Cs)

fun funas-gmctxt where
  funas-gmctxt (GMFun f Cs) = {(f, length Cs)}  $\cup$   $\bigcup$  (funas-gmctxt ` set Cs) |
  funas-gmctxt - = {}

abbreviation partition-gholes xs Cs  $\equiv$  partition-by xs (map num-gholes Cs)

fun fill-gholes :: 'f gmctxt  $\Rightarrow$  'f gterm list  $\Rightarrow$  'f gterm where
  fill-gholes GMHole [t] = t
  | fill-gholes (GMFun f cs) ts = GFun f (map ( $\lambda$  i. fill-gholes (cs ! i)
    (partition-gholes ts cs ! i)) [0 ..< length cs])

fun fill-gholes-gmctxt :: 'f gmctxt  $\Rightarrow$  'f gmctxt list  $\Rightarrow$  'f gmctxt where
  fill-gholes-gmctxt GMHole [] = GMHole |
  fill-gholes-gmctxt GMHole [t] = t |
  fill-gholes-gmctxt (GMFun f cs) ts = (GMFun f (map ( $\lambda$  i. fill-gholes-gmctxt (cs
    ! i)
    (partition-gholes ts cs ! i)) [0 ..< length cs]))

```

2.2.2 An inverse of fill-gholes

```

fun unfill-gholes :: 'f gmctxt  $\Rightarrow$  'f gterm  $\Rightarrow$  'f gterm list where
  unfill-gholes GMHole t = [t]
  | unfill-gholes (GMFun g Cs) (GFun f ts) = (if f = g  $\wedge$  length ts = length Cs then
    concat (map ( $\lambda$  i. unfill-gholes (Cs ! i) (ts ! i)) [0..<length ts]) else undefined)

fun sup-gmctxt-args :: 'f gmctxt  $\Rightarrow$  'f gmctxt  $\Rightarrow$  'f gmctxt list where
  sup-gmctxt-args GMHole D = [D] |
  sup-gmctxt-args C GMHole = replicate (num-gholes C) GMHole |
  sup-gmctxt-args (GMFun f Cs) (GMFun g Ds) =
    (if f = g  $\wedge$  length Cs = length Ds then concat (map (case-prod sup-gmctxt-args)
      (zip Cs Ds))
    else undefined)

fun ghole-poss :: 'f gmctxt  $\Rightarrow$  pos set where
  ghole-poss GMHole = {} |
  ghole-poss (GMFun f cs) =  $\bigcup$  (set (map ( $\lambda$  i. ( $\lambda$  p. i  $\#$  p) ` ghole-poss (cs ! i))
    [0 ..< length cs]))

```

```

abbreviation poss-rec f ts  $\equiv$  map2 ( $\lambda$  i t. map (( $\#$ ) i) (f t)) ([0 ..< length ts]) ts
fun ghole-poss-list :: 'f gmctxt  $\Rightarrow$  pos list where
  ghole-poss-list GMHole = []
  | ghole-poss-list (GMFun f cs) = concat (poss-rec ghole-poss-list cs)

```

```

fun poss-gmctxt :: 'f gmctxt  $\Rightarrow$  pos set where
  poss-gmctxt GMHole = {} |
  poss-gmctxt (GMFun f Cs) = {}  $\cup$   $\bigcup$  (set (map ( $\lambda$  i. ( $\lambda$  p. i # p) ` poss-gmctxt (Cs ! i)) [0 ..< length Cs]))
lemma poss-simps [simp]:
  ghole-poss (GMFun f Cs) = {i # p | i p. i < length Cs  $\wedge$  p  $\in$  ghole-poss (Cs ! i)}
  poss-gmctxt (GMFun f Cs) = {}  $\cup$  {i # p | i p. i < length Cs  $\wedge$  p  $\in$  poss-gmctxt (Cs ! i)}
by auto

fun ghole-num-bef-pos where
  ghole-num-bef-pos [] - = 0 |
  ghole-num-bef-pos (i # q) (GMFun f Cs) = sum-list (map num-gholes (take i Cs)) + ghole-num-bef-pos q (Cs ! i)

fun ghole-num-at-pos where
  ghole-num-at-pos [] C = num-gholes C |
  ghole-num-at-pos (i # q) (GMFun f Cs) = ghole-num-at-pos q (Cs ! i)

fun subgm-at :: 'f gmctxt  $\Rightarrow$  pos  $\Rightarrow$  'f gmctxt where
  subgm-at C [] = C
  | subgm-at (GMFun f Cs) (i # p) = subgm-at (Cs ! i) p

definition gmctxt-subgm-at-fill-args where
  gmctxt-subgm-at-fill-args p C ts = take (ghole-num-at-pos p C) (drop (ghole-num-bef-pos p C) ts)

instantiation gmctxt :: (type) inf
begin

fun inf-gmctxt :: 'a gmctxt  $\Rightarrow$  'a gmctxt  $\Rightarrow$  'a gmctxt where
  GMHole  $\sqcap$  D = GMHole |
  C  $\sqcap$  GMHole = GMHole |
  GMFun f Cs  $\sqcap$  GMFun g Ds =
    (if f = g  $\wedge$  length Cs = length Ds then GMFun f (map (case-prod ( $\sqcap$ )) (zip Cs Ds)))
    else GMHole)

instance ..
end

instantiation gmctxt :: (type) sup
begin

fun sup-gmctxt :: 'a gmctxt  $\Rightarrow$  'a gmctxt  $\Rightarrow$  'a gmctxt where

```

```


$$GMHole \sqcup D = D \mid$$


$$C \sqcup GMHole = C \mid$$


$$GMFun f Cs \sqcup GMFun g Ds =$$


$$(\text{if } f = g \wedge \text{length } Cs = \text{length } Ds \text{ then } GMFun f (\text{map } (\text{case-prod } (\sqcup)) (\text{zip } Cs$$


$$Ds)))$$


$$\text{else undefined})$$


instance ..
end

```

2.2.3 Orderings and compatibility of ground multihole contexts

```

inductive less-eq-gmctxt :: ' $f$  gmctxt  $\Rightarrow$  ' $f$  gmctxt  $\Rightarrow$  bool where
   $base$  [simp]: less-eq-gmctxt  $GMHole u$ 
  |  $ind[intro]$ :  $\text{length } cs = \text{length } ds \implies (\bigwedge i. i < \text{length } cs \implies \text{less-eq-gmctxt } (cs !$ 
     $i) (ds ! i)) \implies$ 
      less-eq-gmctxt  $(GMFun f cs) (GMFun f ds)$ 

inductive-set comp-gmctxt :: (' $f$  gmctxt  $\times$  ' $f$  gmctxt) set where
   $GMHole1$  [simp]:  $(GMHole, D) \in comp-gmctxt \mid$ 
   $GMHole2$  [simp]:  $(C, GMHole) \in comp-gmctxt \mid$ 
   $GMFun$  [intro]:  $f = g \implies \text{length } Cs = \text{length } Ds \implies \forall i < \text{length } Ds. (Cs ! i,$ 
   $Ds ! i) \in comp-gmctxt \implies$ 
     $(GMFun f Cs, GMFun g Ds) \in comp-gmctxt$ 

definition gmctxt-closing where
  gmctxt-closing  $C D \longleftrightarrow \text{less-eq-gmctxt } C D \wedge \text{ghole-poss } D \subseteq \text{ghole-poss } C$ 

```

```

inductive eq-gfill ( $\langle\langle - / =_{Gf} - \rangle\rangle$  [51, 51] 50) where
  eqfI [intro]:  $t = \text{fill-gholes } D ss \implies \text{num-gholes } D = \text{length } ss \implies t =_{Gf} (D, ss)$ 

```

2.2.4 Conversions from and to ground multihole contexts

```

lemma num-gholes-o-gmctxt-of-gterm [simp]:
  num-gholes  $\circ$  gmctxt-of-gterm  $= (\lambda x. 0)$ 
  by (rule ext, induct-tac  $x$ ) simp-all

lemma mctxt-of-term-term-of-mctxt-id [simp]:
  num-gholes  $C = 0 \implies \text{gmctxt-of-gterm } (\text{gterm-of-gmctxt } C) = C$ 
  by (induct  $C$ ) (simp-all add: map-idI)

lemma num-holes-mctxt-of-term [simp]:
  num-gholes  $(\text{gmctxt-of-gterm } t) = 0$ 
  by (induct  $t$ ) simp-all

lemma num-gholes-gmctxt-of-mctxt [simp]:
  ground-mctxt  $C \implies \text{num-gholes } (\text{gmctxt-of-mctxt } C) = \text{num-holes } C$ 
  by (induct  $C$ ) (auto intro: nth-sum-listI)

```

lemma *num-holes-mctxt-of-gmctxt* [simp]:
num-holes (*mctxt-of-gmctxt* C) = *num-gholes* C
by (*induct C*) (*auto intro: nth-sum-listI*)

lemma *num-holes-mctxt-of-gmctxt-fun-comp* [simp]:
num-holes \circ *mctxt-of-gmctxt* = *num-gholes*
by (*auto simp: comp-def*)

lemma *gmctxt-of-gctxt-num-gholes* [simp]:
num-gholes (*gmctxt-of-gctxt* C) = *Suc 0*
by (*induct C*) *auto*

lemma *ground-mctxt-list-num-gholes-gmctxt-of-mctxt-conv* [simp]:
 $\forall x \in \text{set } Cs.$ *ground-mctxt* $x \implies \text{map} (\text{num-gholes} \circ \text{gmctxt-of-mctxt}) Cs = \text{map num-holes} Cs$
by *auto*

lemma *num-gholes-map-gmctxt* [simp]:
num-gholes (*map-gmctxt* f C) = *num-gholes* C
by (*induct C*) (*auto simp: comp-def, metis (no-types, lifting) map-eq-conv*)

lemma *map-num-gholes-map-gmctxt* [simp]:
map (*num-gholes* \circ *map-gmctxt* f) $Cs = \text{map num-gholes} Cs$
by (*induct Cs*) *auto*

lemma *gterm-of-gmctxt-gmctxt-of-gterm-id* [simp]:
gterm-of-gmctxt (*gmctxt-of-gterm* t) = t
by (*induct t*) (*simp-all add: map-idI*)

lemma *no-gholes-gmctxt-of-gterm-gterm-of-gmctxt-id* [simp]:
num-gholes $C = 0 \implies \text{gmctxt-of-gterm} (\text{gterm-of-gmctxt} C) = C$
by (*induct C*) (*auto simp: comp-def intro: nth-equalityI*)

lemma *no-gholes-term-of-gterm-gterm-of-gmctxt* [simp]:
num-gholes $C = 0 \implies \text{term-of-gterm} (\text{gterm-of-gmctxt} C) = \text{term-of-gmctxt} C$
by (*induct C*) (*auto simp: comp-def intro: nth-equalityI*)

lemma *no-gholes-term-of-mctxt-mctxt-of-gmctxt* [simp]:
num-gholes $C = 0 \implies \text{term-of-mctxt} (\text{mctxt-of-gmctxt} C) = \text{term-of-gmctxt} C$
by (*induct C*) (*auto simp: comp-def intro: nth-equalityI*)

lemma *nthWhile-gmctxt-of-gctxt* [simp]:
length (*takeWhile* ($\lambda C.$ *num-gholes* $C = 0$) (*map gmctxt-of-gterm ss @ gmctxt-of-gctxt* $C \# ts$)) = *length ss*
by (*induct ss*) *auto*

lemma *sum-list-nthWhile-length* [simp]:
sum-list (*map num-gholes* Cs) = *Suc 0* $\implies \text{length} (\text{takeWhile} (\lambda C.$ *num-gholes*

```

 $C = 0) \ Cs) < length \ Cs$ 
by (induct Cs) auto

lemma gctxt-of-gmctxt-gmctxt-of-gctxt [simp]:
 $\text{gctxt-of-gmctxt} (\text{gmctxt-of-gctxt } C) = C$ 
by (induct C) (auto simp: Let-def comp-def nth-append)

lemma gmctxt-of-gctxt-GMHole-Hole:
 $\text{gmctxt-of-gctxt } C = \text{GMHole} \implies C = \square_G$ 
by (metis gctxt-of-gmctxt.simps(1) gctxt-of-gmctxt-gmctxt-of-gctxt)

lemma gmctxt-of-gctxt-gctxt-of-gmctxt:
 $\text{num-gholes } C = \text{Suc } 0 \implies \text{gmctxt-of-gctxt} (\text{gctxt-of-gmctxt } C) = C$ 
proof (induct C)
case (GMFun f Cs)
then obtain i where nth:  $i < \text{length } Cs$   $i = \text{length} (\text{takeWhile } (\lambda C. \text{num-gholes } C = 0) \ Cs)$ 
using sum-list-nthWhile-length by auto
then have  $0 < \text{num-gholes } (Cs ! i)$  unfolding nth(2) using nth-length-takeWhile
by auto
from nth(1) this have num:  $\text{num-gholes } (Cs ! i) = \text{Suc } 0$  using GMFun(2)
by (auto elim!: sum-list-1-E)
then have [simp]:  $\text{map } (\text{gmctxt-of-gterm} \circ \text{gterm-of-gmctxt}) (\text{drop } (\text{Suc } i) \ Cs)$ 
 $= \text{drop } (\text{Suc } i) \ Cs$  using GMFun(2) nth(1)
by (auto elim!: sum-list-1-E simp: comp-def intro!: nth-equalityI)
 $(\text{metis add.commute add-Suc-right lessI less-diff-conv no-gholes-gmctxt-of-gterm-gterm-of-gmctxt-id}$ 
 $\text{not-add-less1})$ 
have [simp]:  $\text{map } (\text{gmctxt-of-gterm} \circ \text{gterm-of-gmctxt}) (\text{take } i \ Cs) = \text{take } i \ Cs$ 
using nth(1) unfolding nth(2) by (induct Cs) auto
show ?case using id-take-nth-drop[OF nth(1)]
by (auto simp: Let-def GMFun(1)[OF nth-mem[OF nth(1)] num] simp flip:
nth(2))
qed auto

lemma inj-gmctxt-of-gctxt: inj gmctxt-of-gctxt
unfolding inj-def by (metis gctxt-of-gmctxt-gmctxt-of-gctxt)

lemma inj-gctxt-of-gmctxt-on-single-hole:
 $\text{inj-on } \text{gctxt-of-gmctxt} (\text{Collect } (\lambda C. \text{num-gholes } C = \text{Suc } 0))$ 
by (metis (mono-tags, lifting) gmctxt-of-gctxt-gctxt-of-gmctxt inj-onI mem-Collect-eq)

lemma gctxt-of-gmctxt-hole-dest:
 $\text{num-gholes } C = \text{Suc } 0 \implies \text{gctxt-of-gmctxt } C = \square_G \implies C = \text{GMHole}$ 
by (cases C) (auto simp: Let-def split!: if-splits)

lemma mctxt-of-gmctxt-inv [simp]:
 $\text{mctxt-of-mctxt} (\text{mctxt-of-gmctxt } C) = C$ 
by (induct C) (simp-all add: map-idI)

```

```

lemma ground-mctxt-of-gmctxt [simp]:
  ground-mctxt (mctxt-of-gmctxt C)
  by (induct C) auto

lemma ground-mctxt-of-gmctxt' [simp]:
  mctxt-of-gmctxt C = MFun f D  $\implies$  ground-mctxt (MFun f D)
  by (induct C) auto

lemma gmctxt-of-mctxt-inv [simp]:
  ground-mctxt C  $\implies$  mctxt-of-gmctxt (gmctxt-of-mctxt C) = C
  by (induct C) (auto 0 0 intro!: nth-equalityI)

lemma ground-mctxt-of-gmctxtD:
  ground-mctxt C  $\implies$   $\exists$  D. C = mctxt-of-gmctxt D
  by (metis gmctxt-of-mctxt-inv)

lemma inj-mctxt-of-gmctxt: inj-on mctxt-of-gmctxt X
  by (metis inj-on-def mctxt-of-gmctxt-inv)

lemma inj-gmctxt-of-mctxt-ground:
  inj-on gmctxt-of-mctxt (Collect ground-mctxt)
  using gmctxt-of-mctxt-inv inj-on-def by force

lemma map-gmctxt-comp [simp]:
  map-gmctxt f (map-gmctxt g C) = map-gmctxt (f  $\circ$  g) C
  by (induct C) auto

lemma map-mctxt-of-gmctxt:
  map-mctxt f (mctxt-of-gmctxt C) = mctxt-of-gmctxt (map-gmctxt f C)
  by (induct C) auto

lemma map-gmctxt-of-mctxt:
  ground-mctxt C  $\implies$  map-gmctxt f (gmctxt-of-mctxt C) = gmctxt-of-mctxt (map-mctxt f C)
  by (induct C) auto

lemma map-gmctxt-nempty [simp]:
  C  $\neq$  GMHole  $\implies$  map-gmctxt f C  $\neq$  GMHole
  by (cases C) auto

lemma vars-mctxt-of-gmctxt [simp]:
  vars-mctxt (mctxt-of-gmctxt C) = {}
  by (induct C) auto

lemma vars-mctxt-of-gmctxt-subseteq [simp]:
  vars-mctxt (mctxt-of-gmctxt C)  $\subseteq$  Q  $\longleftrightarrow$  True
  by auto

```

2.2.5 Equivalences and simplification rules

```

lemma eqgfE:
  assumes  $t =_{Gf} (D, ss)$  shows  $t = \text{fill-gholes } D ss \text{ num-gholes } D = \text{length } ss$ 
  using assms[unfolded eq-gfill.simps] by auto

lemma eqgf-GMHoleE:
  assumes  $t =_{Gf} (GMHole, ss)$  shows  $ss = [t]$  using eqgfE[OF assms]
  by (cases ss) auto

lemma eqgf-GMFunE:
  assumes  $s =_{Gf} (GMFun f Cs, ss)$ 
  obtains  $ts \ sss$  where  $s = GFun f ts$   $\text{length } ts = \text{length } Cs$   $\text{length } sss = \text{length } Cs$ 
     $\wedge \ i. i < \text{length } Cs \implies ts ! i =_{Gf} (Cs ! i, sss ! i)$   $ss = \text{concat } sss$ 
proof -
  from eqgfE[OF assms] have fh:  $s = \text{fill-gholes } (GMFun f Cs) ss$ 
  and nh:  $\text{sum-list } (\text{map num-gholes } Cs) = \text{length } ss$  by auto
  from fh obtain ts where  $s = GFun f ts$  by (cases s, auto)
  from fh[unfolded s]
  have ts:  $ts = \text{map } (\lambda i. \text{fill-gholes } (Cs ! i) (\text{partition-gholes } ss Cs ! i)) [0..<\text{length } Cs]$ 
    (is - =  $\text{map } (?f Cs ss) -$ )
    by auto
  let ?sss =  $\text{partition-gholes } ss Cs$ 
  from nh have *:  $\text{length } ?sss = \text{length } Cs$ 
     $\wedge \ i. i < \text{length } Cs \implies ts ! i =_{Gf} (Cs ! i, ?sss ! i)$   $ss = \text{concat } ?sss$ 
    by (auto simp: ts length-partition-by-nth)
  have len:  $\text{length } ts = \text{length } Cs$  unfolding ts by auto
  assume ass:  $\bigwedge ts \ sss. s = GFun f ts \implies$ 
     $\text{length } ts = \text{length } Cs \implies$ 
     $\text{length } sss = \text{length } Cs \implies (\bigwedge i. i < \text{length } Cs \implies ts ! i =_{Gf} (Cs ! i,$ 
     $sss ! i)) \implies ss = \text{concat } sss \implies \text{thesis}$ 
    show thesis by (rule ass[OF s len *])
qed

lemma partition-holes-subseteq [simp]:
  assumes  $\text{sum-list } (\text{map num-holes } Cs) = \text{length } xs$   $i < \text{length } Cs$ 
  and  $x \in \text{set } (\text{partition-holes } xs Cs ! i)$ 
  shows  $x \in \text{set } xs$ 
  using assms partition-by-nth-nth-elem length-partition-by-nth
  by (auto simp: in-set-conv-nth) fastforce

lemma partition-gholes-subseteq [simp]:
  assumes  $\text{sum-list } (\text{map num-gholes } Cs) = \text{length } xs$   $i < \text{length } Cs$ 
  and  $x \in \text{set } (\text{partition-gholes } xs Cs ! i)$ 
  shows  $x \in \text{set } xs$ 
  using assms partition-by-nth-nth-elem length-partition-by-nth
  by (auto simp: in-set-conv-nth) fastforce

```

```

lemma list-elem-to-partition-nth [elim]:
  assumes sum-list (map num-gholes Cs) = length xs x ∈ set xs
  obtains i where i < length Cs x ∈ set (partition-gholes xs Cs ! i) using assms
    by (metis concat-partition-by in-set-idx length-map length-partition-by nth-concat-split
      nth-mem)

lemma partition-holes-fill-gholes-conv':
  fill-gholes (GMFun f Cs) ts =
    GFun f (map (case-prod fill-gholes) (zip Cs (partition-gholes ts Cs)))
  unfolding zip-nth-conv [of Cs partition-gholes ts Cs, simplified]
  and partition-holes-fill-holes-conv by simp

lemma unfill-gholes-conv:
  assumes length Cs = length ts
  shows unfill-gholes (GMFun f Cs) (GFun f ts) =
    concat (map (case-prod unfill-gholes) (zip Cs ts)) using assms
  by (auto simp: zip-nth-conv [of Cs ts, simplified] comp-def)

lemma partition-holes-fill-gholes-gmctxt-conv:
  fill-gholes-gmctxt (GMFun f Cs) ts =
    GFun f [fill-gholes-gmctxt (Cs ! i) (partition-gholes ts Cs ! i). i ← [0 ..<
      length Cs]]
  by (simp add: partition-by-nth take-map)

lemma partition-holes-fill-gholes-gmctxt-conv':
  fill-gholes-gmctxt (GMFun f Cs) ts =
    GFun f (map (case-prod fill-gholes-gmctxt) (zip Cs (partition-gholes ts Cs)))
  unfolding zip-nth-conv [of Cs partition-gholes ts Cs, simplified]
  and partition-holes-fill-gholes-gmctxt-conv by simp

lemma fill-gholes-no-holes [simp]:
  num-gholes C = 0 ⟹ fill-gholes C [] = gterm-of-gmctxt C
  by (induct C) (auto simp: partition-holes-fill-gholes-conv'
    simp del: fill-gholes.simps intro: nth-equalityI)

lemma fill-gholes-gmctxt-no-holes [simp]:
  num-gholes C = 0 ⟹ fill-gholes-gmctxt C [] = C
  by (induct C) (auto intro: nth-equalityI)

lemma eqgf-GMFunI:
  assumes ⋀ i. i < length Cs ⟹ ss ! i =Gf (Cs ! i, ts ! i)
  and length Cs = length ss length ss = length ts
  shows GFun f ss =Gf (GMFun f Cs, concat ts) using assms
  apply (auto simp del: fill-gholes.simps
    simp: partition-holes-fill-gholes-conv' intro!: eq-gfill.intros nth-equalityI)
  apply (metis eqgfE length-map map-nth-eq-conv partition-by-concat-id)
  by (metis eqgfE(2) length-concat nth-map-conv)

lemma length-partition-gholes-nth:

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assumes sum-list (map num-gholes cs) = length ts
  and i < length cs
shows length (partition-gholes ts cs ! i) = num-gholes (cs ! i)
using assms by (simp add: length-partition-by-nth)

lemma fill-gholes-induct2[consumes 2, case-names GMHole GMFun]:
  fixes P :: 'f gmctxt => 'a list => 'b list => bool
  assumes len1: num-gholes C = length xs and len2: num-gholes C = length ys
  and Hole:  $\bigwedge x y. P \text{GMHole } [x] [y]$ 
  and Fun:  $\bigwedge f Cs xs ys. \text{sum-list} (\text{map num-gholes } Cs) = \text{length } xs \implies$ 
     $\text{sum-list} (\text{map num-gholes } Cs) = \text{length } ys \implies$ 
     $(\bigwedge i. i < \text{length } Cs \implies P (Cs ! i) (\text{partition-gholes } xs Cs ! i)) (\text{partition-gholes } ys Cs ! i)) \implies$ 
     $P (\text{GMFun } f Cs) (\text{concat} (\text{partition-gholes } xs Cs)) (\text{concat} (\text{partition-gholes } ys Cs))$ 
  shows P C xs ys
proof (insert len1 len2, induct C arbitrary: xs ys)
  case GMHole
  then show ?case using Hole by (cases xs; cases ys) auto
next
  case (GMFun f Cs)
  then show ?case using Fun[of Cs xs ys f] by (auto simp: length-partition-by-nth)
qed

lemma fill-gholes-induct[consumes 1, case-names GMHole GMFun]:
  fixes P :: 'f gmctxt => 'a list => bool
  assumes len: num-gholes C = length xs
  and Hole:  $\bigwedge x. P \text{GMHole } [x]$ 
  and Fun:  $\bigwedge f Cs xs. \text{sum-list} (\text{map num-gholes } Cs) = \text{length } xs \implies$ 
     $(\bigwedge i. i < \text{length } Cs \implies P (Cs ! i) (\text{partition-gholes } xs Cs ! i)) \implies$ 
     $P (\text{GMFun } f Cs) (\text{concat} (\text{partition-gholes } xs Cs))$ 
  shows P C xs
  using fill-gholes-induct2[of C xs xs  $\lambda C xs -. P C xs$ ] assms by simp

lemma eq-gfill-induct [consumes 1, case-names GMHole GMFun]:
  assumes t =Gf (C, ts)
  and  $\bigwedge t. P t \text{GMHole } [t]$ 
  and  $\bigwedge f ss Cs ts. [\text{length } Cs = \text{length } ss; \text{sum-list} (\text{map num-gholes } Cs) = \text{length } ts;$ 
     $\forall i < \text{length } ss. ss ! i =_{Gf} (Cs ! i, \text{partition-gholes } ts Cs ! i) \wedge$ 
     $P (ss ! i) (Cs ! i) (\text{partition-gholes } ts Cs ! i)] \implies$ 
     $P (GFun f ss) (\text{GMFun } f Cs) ts$ 
  shows P t C ts using assms(1)
proof (induct t arbitrary: C ts)
  case (GFun f ss)
  {assume C = GMHole and ts = [GFun f ss]
   then have ?case using assms(2) by auto}
moreover
{fix Cs

```

```

assume C:  $C = GMFun f Cs$  and  $sum-list (map num-gholes Cs) = length ts$ 
and  $length Cs = length ss$ 
and  $GFun f ss = fill-gholes (GMFun f Cs) ts$ 
moreover then have  $\forall i < length ss. ss ! i =_{Gf} (Cs ! i, partition-gholes ts Cs$ 
 $i)$ 
by (auto simp del: fill-gholes.simps
            simp: partition-holes-fill-gholes-conv' length-partition-gholes-nth intro!:
            eq-gfill.intros)
moreover have  $\forall i < length ss. P (ss ! i) (Cs ! i) (partition-gholes ts Cs ! i)$ 
using GFun calculation(5) nth-mem by blast
ultimately have ?case using assms(3)[of Cs ss ts f] by auto}
ultimately show ?case using GFun
by (elim eq-gfill.cases) (auto simp del: fill-gholes.simps,
            metis GFun.premis eqgf-GMFunE eqgf-GMHoleE gterm.inject num-gholes.elims)
qed

lemma nempty-ground-mctxt-gmctxt [simp]:
C ≠ MHole  $\implies$  ground-mctxt C  $\implies$  gmctxt-of-mctxt C ≠ GMHole
by (induct C) auto

lemma mctxt-of-gmctxt-fill-holes [simp]:
assumes num-gholes C = length ss
shows gterm-of-term (fill-holes (mctxt-of-gmctxt C) (map term-of-gterm ss)) =
fill-gholes C ss using assms
by (induct rule: fill-gholes-induct) auto

lemma mctxt-of-gmctxt-terms-fill-holes:
assumes num-gholes C = length ss
shows gterm-of-term (fill-holes (mctxt-of-gmctxt C) ss) = fill-gholes C (map
gterm-of-term ss) using assms
by (induct rule: fill-gholes-induct) auto

lemma ground-gmctxt-of-mctxt-gterm-fill-holes:
assumes num-holes C = length ss and ground-mctxt C
shows term-of-gterm (fill-gholes (gmctxt-of-mctxt C) ss) = fill-holes C (map
term-of-gterm ss) using assms
by (induct rule: fill-holes-induct)
(auto simp: comp-def, metis (no-types, lifting) map-eq-conv num-gholes-gmctxt-of-mctxt)

lemma ground-gmctxt-of-gterm-of-term:
assumes num-holes C = length ss and ground-mctxt C
shows gterm-of-term (fill-holes C (map term-of-gterm ss)) = fill-gholes (gmctxt-of-mctxt
C) ss using assms
by (induct rule: fill-holes-induct)
(auto simp: comp-def, metis (no-types, lifting) map-eq-conv num-gholes-gmctxt-of-mctxt)

lemma ground-gmctxt-of-mctxt-fill-holes [simp]:
assumes num-holes C = length ss and ground-mctxt C  $\forall s \in set ss. ground s$ 
shows term-of-gterm (fill-gholes (gmctxt-of-mctxt C) (map gterm-of-term ss)) =

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fill-holes C ss using assms
by (induct rule: fill-holes-induct) auto

lemma fill-holes-mctxt-of-gmctxt-to-fill-gholes:
  assumes num-gholes C = length ss
  shows fill-holes (mctxt-of-gmctxt C) (map term-of-gterm ss) = term-of-gterm
  (fill-gholes C ss)
  using assms
  by (metis ground-gmctxt-of-mctxt-gterm-fill-holes ground-mctxt-of-gmctxt mctxt-of-gmctxt-inv
  num-holes-mctxt-of-gmctxt)

lemma fill-gholes-gmctxt-of-gterm [simp]:
  fill-gholes (gmctxt-of-gterm s) [] = s
  by (induct s) (auto simp add: map-nth-eq-conv)

lemma fill-gholes-GMHole [simp]:
  length ss = Suc 0  $\implies$  fill-gholes GMHole ss = ss ! 0
  by (cases ss) auto

lemma apply-gctxt-fill-gholes:
  C⟨s⟩G = fill-gholes (gmctxt-of-gctxt C) [s]
  by (induct C) (auto simp: partition-holes-fill-gholes-conv'
  simp del: fill-gholes.simps intro!: nth-equalityI)

lemma fill-gholes-apply-gctxt:
  num-gholes C = Suc 0  $\implies$  fill-gholes C [s] = (gctxt-of-gmctxt C)⟨s⟩G
  by (simp add: apply-gctxt-fill-gholes gmctxt-of-gctxt-gctxt-of-gmctxt)

lemma ctxt-of-gctxt-gctxt-of-gmctxt-apply:
  num-gholes C = Suc 0  $\implies$  fill-holes (mctxt-of-gmctxt C) [s] = (ctxt-of-gctxt
  (gctxt-of-gmctxt C))⟨s⟩
  proof (induct C)
    case (GMFun f Cs)
    obtain i where split: i < length Cs num-gholes (Cs ! i) = Suc 0
       $\forall$  j < length Cs. j  $\neq$  i  $\longrightarrow$  num-gholes (Cs ! j) = 0 using GMFun(2)
      by auto
    then have [simp]: sum-list (take i (map num-gholes Cs)) = 0
      by (auto simp: sum-list-eq-0-iff dest: set-take-nth)
    from split have [simp]: j < length Cs  $\implies$  j  $\neq$  i  $\implies$ 
      fill-holes (mctxt-of-gmctxt (Cs ! j)) [] = term-of-mctxt (mctxt-of-gmctxt (Cs !
      j)) for j
      by (intro fill-holes-term-of-mctxt) auto
    from split have [simp]: gctxt-of-gmctxt (GMFun f Cs) =
      GMore f (map gterm-of-gmctxt (take i Cs)) (gctxt-of-gmctxt (Cs ! i)) (map
      gterm-of-gmctxt (drop (Suc i) Cs))
      using nth-length-takeWhile GMFun(2) sum-list-nthWhile-length by (auto simp:
      Let-def)
      show ?case using GMFun(1)[OF nth-mem[OF split(1)] split(2)] split

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by (auto simp: min-def nth-append-Cons partition-by-nth simp del: ctxt-of-gmctxt.simps
intro!: nth-equalityI)
qed auto

lemma fill-gholes-replicate [simp]:
n = length ss  $\implies$  fill-gholes (GMFun f (replicate n GMHole)) ss = GFun f ss
unfoldng partition-holes-fill-gholes-conv'
by (induct ss arbitrary: n) auto

lemma fill-gholes-gmctxt-replicate-MHole [simp]:
fill-gholes-gmctxt C (replicate (num-gholes C) GMHole) = C
proof (induct C)
case (GMFun f Cs)
{fix i assume i < length Cs
then have partition-gholes (replicate (sum-list (map num-gholes Cs)) GMHole)
Cs ! i =
replicate (num-gholes (Cs ! i)) GMHole
using partition-by-nth-nth[of map num-gholes Cs replicate (sum-list (map num-gholes Cs)) MHole]
by (auto simp: length-partition-by-nth partition-by-nth-nth intro!: nth-equalityI)}
note * = this
show ?case using GMFun[OF nth-mem] by (auto simp: * intro!: nth-equalityI)
qed auto

lemma fill-gholes-gmctxt-GMFun-replicate-length [simp]:
fill-gholes-gmctxt (GMFun f (replicate (length Cs) GMHole)) Cs = GMFun f Cs
unfoldng partition-holes-fill-gholes-gmctxt-conv'
by (induct Cs) simp-all

lemma fill-gholes-gmctxt-MFun:
assumes lCs: length Cs = length ts
and lss: length ss = length ts
and rec:  $\bigwedge i. i < \text{length } ts \implies \text{num-gholes} (Cs ! i) = \text{length} (ss ! i)$   $\wedge$ 
fill-gholes-gmctxt (Cs ! i) (ss ! i) = ts ! i
shows fill-gholes-gmctxt (GMFun f Cs) (concat ss) = GMFun f ts
using assms unfoldng fill-gholes-gmctxt.simps gmctxt.simps
by (auto intro!: nth-equalityI)
(metis length-map map-nth-eq-conv partition-by-concat-id)

lemma fill-gholes-gmctxt-nHole [simp]:
C  $\neq$  GMHole  $\implies$  num-gholes C = length Ds  $\implies$  fill-gholes-gmctxt C Ds  $\neq$ 
GMHole
using fill-gholes-gmctxt.elims by blast

lemma num-gholes-fill-gholes-gmctxt [simp]:
assumes num-gholes C = length Ds
shows num-gholes (fill-gholes-gmctxt C Ds) = sum-list (map num-gholes Ds)
using assms

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proof (induct C arbitrary: Ds)
  case GMHole then show ?case
    by (cases Ds) simp-all
  next
    case (GMFun f Cs)
      then have *: map (num-gholes o (λi. fill-gholes-gmctxt (Cs ! i)) (partition-gholes Ds Cs ! i))) [0..<length Cs] =
        map (λi. sum-list (map num-gholes (partition-gholes Ds Cs ! i))) [0 ..< length Cs]
      and sum-list (map num-gholes Cs) = length Ds
      by (auto simp add: length-partition-by-nth)
      then show ?case
        using map-upt-len-conv [of λx. sum-list (map num-gholes x) partition-gholes Ds Cs]
        unfold partition-holes-fill-holes-mctxt-conv by (simp add: *)
  qed

lemma num-gholes-greater0-fill-gholes-gmctxt [intro!]:
  assumes num-gholes C = length Ds
  and  $\exists D \in \text{set } Ds. 0 < \text{num-gholes } D$ 
  shows  $0 < \text{sum-list} (\text{map num-gholes } Ds)$ 
  using assms gr-zeroI by force

lemma fill-gholes-gmctxt-fill-gholes:
  assumes len-ds: length Ds = num-gholes C
  and nh: num-gholes (fill-gholes-gmctxt C Ds) = length ss
  shows fill-gholes (fill-gholes-gmctxt C Ds) ss = fill-gholes C [fill-gholes (Ds ! i) (partition-gholes ss Ds ! i). i ← [0 ..< num-gholes C]]
  using assms(1)[symmetric] assms(2)
  proof (induct C Ds arbitrary: ss rule: fill-gholes-induct)
    case (GMFun f Cs ds ss)
      define qs where qs = map (λi. fill-gholes-gmctxt (Cs ! i)) (partition-gholes ds Cs ! i)) [0..<length Cs]
      then have qs: ∀i. i < length Cs ⇒ fill-gholes-gmctxt (Cs ! i) (partition-gholes ds Cs ! i) = qs ! i
      length qs = length Cs by auto
      define zs where zs = map (λi. fill-gholes (ds ! i)) (partition-gholes ss ds ! i)) [0..<length ds]
      {fix i assume i: i < length Cs
        from GMFun(1) have *: map length (partition-gholes ds Cs) = map num-gholes Cs by auto
        have **: length ss = sum-list (map sum-list (partition-gholes (map num-gholes ds) Cs))
        using GMFun(1) GMFun(3)[symmetric] num-gholes-fill-gholes-gmctxt[of GMFun f Cs ds]
        by (auto simp: comp-def map-map-partition-by[symmetric])
        have partition-by (partition-by ss (map (λi. num-gholes (fill-gholes-gmctxt (Cs ! i)) (partition-gholes ds Cs !
```

```

i))) [0..<length Cs]) ! i)
  (partition-gholes (map num-gholes ds) Cs ! i) = partition-gholes (partition-gholes
ss ds) Cs ! i
  using i GMFun(1) GMFun(3) partition-by-partition-by[OF **]
  by (auto simp: comp-def num-gholes-fill-gholes-gmctxt length-partition-by-nth
    intro!: arg-cong[of - - λx. partition-by (partition-by ss x ! -) -] nth-equalityI)
then have map (λj. fill-gholes (partition-gholes ds Cs ! i ! j)
  (partition-gholes (partition-gholes ss qs ! i)
  (partition-gholes ds Cs ! i) ! j)) [0..<num-gholes (Cs ! i)] =
  partition-gholes zs Cs ! i using GMFun(1,3)
  by (auto simp: length-partition-by-nth zs-def qs-def comp-def partition-by-nth-nth
    intro: nth-equalityI)
then show ?case using GMFun
  by (simp add: qs-def [symmetric] qs zs-def [symmetric] length-partition-by-nth)
qed auto

lemma fill-gholes-gmctxt-sound:
assumes len-ds: length Ds = num-gholes C
and lensss: length sss = num-gholes C
and lents: length ts = num-gholes C
and insts: ⋀ i. i < length Ds ⟹ ts ! i =Gf (Ds ! i, sss ! i)
shows fill-gholes C ts =Gf (fill-gholes-gmctxt C Ds, concat sss)
proof (rule eqfI)
note l-nh-i = eqgfE(2)[OF insts]
from len-ds lensss have concatsss: partition-gholes (concat sss) Ds = sss
  by (metis l-nh-i length-map map-nth-eq-conv partition-by-concat-id)
then show nh: num-gholes (fill-gholes-gmctxt C Ds) = length (concat sss)
  unfolding num-gholes-fill-gholes-gmctxt [OF len-ds [symmetric]] length-concat
  by (metis l-nh-i len-ds lensss nth-map-conv)
have ts: ts = [fill-gholes (Ds ! i) (partition-gholes (concat sss) Ds ! i) . i ←
[0..<num-gholes C]] (is - = ?fhs)
proof (rule nth-equalityI)
show l-fhs: length ts = length ?fhs unfolding length-map
  by (metis diff-zero len-ts length-up)
fix i
assume i: i < length ts
then have i': i < length [0..<num-gholes C]
  by (metis diff-zero len-ts length-up)
show ts!i = ?fhs ! i
  unfolding nth-map[OF i']
  using eqgfE(1)[OF insts[unfolded len-ds, OF i[unfolded len-ts]]]
  by (metis concatsss i' len-ds lensss map-nth nth-map)
qed
note ts = this
show fill-gholes C ts = fill-gholes (fill-gholes-gmctxt C Ds) (concat sss)
  unfolding fill-gholes-gmctxt-fill-gholes[OF len-ds nh] ts ..
qed

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2.2.6 Semilattice Structures

```

lemma inf-gmctxt-idem [simp]:
  ( $C :: 'f gmctxt$ )  $\sqcap C = C$ 
  by (induct C) (auto simp: zip-same-conv-map intro: map-idI)

lemma inf-gmctxt-GMHole2 [simp]:
   $C \sqcap GMHole = GMHole$ 
  by (induct C) simp-all

lemma inf-gmctxt-comm [ac-simps]:
  ( $C :: 'f gmctxt$ )  $\sqcap D = D \sqcap C$ 
  by (induct C D rule: inf-gmctxt.induct) (fastforce simp: in-set-conv-nth intro!: nth-equalityI)+

lemma inf-gmctxt-assoc [ac-simps]:
  fixes  $C :: 'f gmctxt$ 
  shows  $C \sqcap D \sqcap E = C \sqcap (D \sqcap E)$ 
  apply (induct C D arbitrary: E rule: inf-gmctxt.induct)
  apply (auto)
  apply (case-tac E, auto)+
  apply (fastforce simp: in-set-conv-nth intro!: nth-equalityI)
  apply (case-tac E, auto)+
done

instantiation gmctxt :: (type) order
begin

definition ( $C :: 'a gmctxt$ )  $\leq D \longleftrightarrow C \sqcap D = C$ 
definition ( $C :: 'a gmctxt$ )  $< D \longleftrightarrow C \leq D \wedge \neg D \leq C$ 

instance
  by (standard, simp-all add: less-eq-gmctxt-def less-gmctxt-def ac-simps, metis
inf-gmctxt-assoc)

end

lemma less-eq-gmctxt-prime:  $C \leq D \longleftrightarrow \text{less-eq-gmctxt } C D$ 
proof
  assume less-eq-gmctxt C D then show  $C \leq D$ 
  by (induct C D rule: less-eq-gmctxt.induct) (auto simp: less-eq-gmctxt-def intro: nth-equalityI)
next
  assume  $C \leq D$  then show less-eq-gmctxt C D unfolding less-eq-gmctxt-def
  by (induct C D rule: inf-gmctxt.induct)
    (auto split: if-splits simp: set-zip intro!: less-eq-gmctxt.intros nth-equalityI elim!: nth-equalityE, metis)
qed

lemmas less-eq-gmctxt-induct = less-eq-gmctxt.induct[folded less-eq-gmctxt-prime,

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```

consumes 1]
lemmas less-eq-gmctxt-intros = less-eq-gmctxt.intros[folded less-eq-gmctxt-prime]

lemma less-eq-gmctxt-Hole:
  less-eq-gmctxt C GMHole ==> C = GMHole
  using less-eq-gmctxt.cases by blast

lemma num-gholes-at-least1:
  0 < num-gholes C ==> 0 < num-gholes (C □ D)
proof (induct C arbitrary: D)
  case (GMFun f Cs)
    from GMFun(2) obtain i where wit: i < length Cs 0 < num-gholes (Cs ! i)
      by (auto, metis (mono-tags, lifting) in-set-conv-nth length-map map-nth-eq-conv
          not-less sum-list-nonpos)
    note IS = GMFun(1)[OF nth-mem, OF wit]
    show ?case
    proof (cases D)
      case [simp]: (GMFun g Ds)
        {assume f = g length Cs = length Ds
         then have 0 < num-gholes (Cs ! i □ Ds ! i) using IS[of Ds ! i]
          by auto}
        then show ?thesis using wit(1)
        by (auto simp: split!: prod.splits)
        (smt (verit, del-insts) length-map length-zip map-nth-eq-conv min-less-iff-conj
         not-gr0 nth-mem nth-zip o-apply prod.simps(2) sum-list-eq-0-iff)
      qed auto
    qed auto

```

(\sqcup) is defined on compatible multihole contexts. Note that compatibility is not transitive.

```

instance gmctxt :: (type) semilattice-inf
  apply (standard)
  apply (auto simp: less-eq-gmctxt-def inf-gmctxt-assoc [symmetric])
  apply (metis inf-gmctxt-comm inf-gmctxt-assoc inf-gmctxt-idem)+
  done

```

```

lemma sup-gmctxt-idem [simp]:
  fixes C :: 'f gmctxt
  shows C □ C = C
  by (induct C) (auto simp: zip-same-conv-map intro: map-idI)

```

```

lemma sup-gmctxt-MHole [simp]: C □ GMHole = C
  by (induct C) simp-all

```

```

lemma sup-gmctxt-comm [ac-simps]:
  fixes C :: 'f gmctxt
  shows C □ D = D □ C
  by (induct C D rule: sup-gmctxt.induct) (fastforce simp: in-set-conv-nth intro!:

```

nth-equalityI) +

lemma *comp-gmctxt-refl*:

(*C*, *C*) ∈ *comp-gmctxt*
by (*induct C*) *auto*

lemma *comp-gmctxt-sym*:

assumes (*C*, *D*) ∈ *comp-gmctxt*
shows (*D*, *C*) ∈ *comp-gmctxt*
using *assms* **by** (*induct*) *auto*

lemma *sup-gmctxt-assoc* [*ac-simps*]:

assumes (*C*, *D*) ∈ *comp-gmctxt* **and** (*D*, *E*) ∈ *comp-gmctxt*
shows *C* ⊔ *D* ⊔ *E* = *C* ⊔ (*D* ⊔ *E*)
using *assms* **by** (*induct C D arbitrary: E*) (*auto elim!: comp-gmctxt.cases intro!: nth-equalityI*)

No instantiation to *semilattice-sup* possible, since (⊔) is only partially defined on terms (e.g., it is not associative in general).

interpretation *gmctxt-order-bot*: *order-bot GMHole* (\leq) ($<$)
by (*standard*) (*simp add: less-eq-gmctxt-def*)

lemma *sup-gmctxt-ge1* [*simp*]:

assumes (*C*, *D*) ∈ *comp-gmctxt*
shows *C* \leq *C* ⊔ *D*
using *assms* **by** (*induct C D*) (*auto simp: less-eq-gmctxt-def intro: nth-equalityI*)

lemma *sup-gmctxt-ge2* [*simp*]:

assumes (*C*, *D*) ∈ *comp-gmctxt*
shows *D* \leq *C* ⊔ *D*
using *assms* **by** (*induct*) (*auto simp: less-eq-gmctxt-def intro: nth-equalityI*)

lemma *sup-gmctxt-least*:

assumes (*D*, *E*) ∈ *comp-gmctxt*
and *D* \leq *C* **and** *E* \leq *C*
shows *D* ⊔ *E* \leq *C*
using *assms*
apply (*induct arbitrary: C*)
apply (*auto simp: less-eq-gmctxt-def elim!: inf-gmctxt.elims intro!: nth-equalityI*)
apply (*metis (erased, lifting) length-map nth-map nth-zip split-conv*)
apply (*case-tac fb = gb ∧ length Csb = length Dsb, simp-all*) +
done

lemma *sup-gmctxt-args-MHole2* [*simp*]:

sup-gmctxt-args C GMHole = *replicate (num-gholes C) GMHole*
by (*cases C*) *simp-all*

lemma *num-gholes-sup-gmctxt-args*:

```

assumes  $(C, D) \in \text{comp-gmctxt}$ 
shows  $\text{num-gholes } C = \text{length } (\text{sup-gmctxt-args } C D)$ 
using  $\text{assms by (induct) (auto simp: length-concat intro!: arg-cong [of - - sum-list]}$ 
 $\text{nth-equalityI})$ 

lemma  $\text{sup-gmctxt-sup-gmctxt-args}:$ 
assumes  $(C, D) \in \text{comp-gmctxt}$ 
shows  $\text{fill-gholes-gmctxt } C (\text{sup-gmctxt-args } C D) = C \sqcup D$  using  $\text{assms}$ 
proof (induct)
note  $\text{fill-gholes-gmctxt.simps [simp del]}$ 
case ( $\text{GMFun } f g Cs Ds$ )
then show ?case
proof (cases  $f = g \wedge \text{length } Cs = \text{length } Ds$ )
case True
with  $\text{GMFun have } \forall i < \text{length } Cs.$ 
 $\text{fill-gholes-gmctxt } (Cs ! i) (\text{sup-gmctxt-args } (Cs ! i) (Ds ! i)) = Cs ! i \sqcup Ds ! i$ 
and  $\forall i < \text{length } Cs. (Cs ! i, Ds ! i) \in \text{comp-gmctxt}$  by (force simp: set-zip)+
moreover have  $\text{partition-gholes } (\text{concat } (\text{map } (\text{case-prod sup-gmctxt-args}) (\text{zip } Cs Ds)))$ 
 $Cs = \text{map } (\text{case-prod sup-gmctxt-args}) (\text{zip } Cs Ds)$ 
using True and * by (intro partition-by-concat-id) (auto simp: num-gholes-sup-gmctxt-args)
ultimately show ?thesis
using * and True by (auto simp: partition-holes-fill-gholes-gmctxt-conv intro!:
nth-equalityI)
qed auto
qed auto

lemma  $\text{eqgf-comp-gmctxt}:$ 
assumes  $s =_{Gf} (C, ss)$  and  $s =_{Gf} (D, ts)$ 
shows  $(C, D) \in \text{comp-gmctxt}$  using  $\text{assms}$ 
proof (induct s arbitrary: C D ss ts)
case ( $\text{GFun } f ss C D us vs$ )
{ fix Cs and Ds
assume  $*: C = \text{GMFun } f Cs D = \text{GMFun } f Ds$  and  $**: \text{length } Cs = \text{length } Ds$ 
have ?case
proof (unfold *, intro comp-gmctxt.GMFun [OF refl **] allI impI)
fix i
assume  $i < \text{length } Ds$  then show  $(Cs ! i, Ds ! i) \in \text{comp-gmctxt}$ 
using GFUn by (auto simp: * ** elim!: eqgf-GMFunE) (metis nth-mem)
qed}
from GFUn.preds this show ?case
by (cases C D rule: gmctxt.exhaust [case-product gmctxt.exhaust])
(auto simp: eq-gfill.simps dest: map-eq-imp-length-eq)
qed

lemma  $\text{eqgf-less-eq} [\text{simp}]:$ 
assumes  $s =_{Gf} (C, ss)$ 
shows  $C \leq \text{gmctxt-of-gterm } s$  using  $\text{assms}$ 
by (induct rule: eq-gfill-induct) (auto simp: less-eq-gmctxt-prime)

```

```

lemma less-eq-comp-gmctxt [simp]:
   $C \leq D \implies (C, D) \in \text{comp-gmctxt}$ 
  by (induct rule: less-eq-gmctxt-induct) auto

lemma gmctxt-less-eq-sup:
   $(C :: 'f \text{gmctxt}) \leq D \implies C \sqcup D = D$ 
  by (induct rule: less-eq-gmctxt-induct) (auto intro: nth-equalityI)

lemma fill-gholes-gmctxt-less-eq:
  assumes num-gholes  $C = \text{length } Ds$ 
  shows  $C \leq \text{fill-gholes-gmctxt } C Ds$  using assms
  proof (induct  $C$  arbitrary:  $Ds$ )
    case ( $\text{GMFun } f Cs$ )
      show ?case using GMFun(1)[OF nth-mem] GMFun(2)
      unfolding partition-holes-fill-gholes-gmctxt-conv'
      by (intro less-eq-gmctxt-intros) (auto simp: length-partition-by-nth)
  qed simp

lemma less-eq-to-sup-mctxt-args [elim]:
  assumes  $C \leq D$ 
  obtains  $Ds$  where num-gholes  $C = \text{length } Ds$   $D = \text{fill-gholes-gmctxt } C Ds$ 
  using assms gmctxt-less-eq-sup[OF assms]
  using sup-gmctxt-sup-gmctxt-args[OF less-eq-comp-gmctxt[OF assms]]
  using less-eq-comp-gmctxt num-gholes-sup-gmctxt-args
  by force

lemma fill-gholes-gmctxt-sup-mctxt-args [simp]:
  assumes num-gholes  $C = \text{length } Ds$ 
  shows sup-gmctxt-args  $C (\text{fill-gholes-gmctxt } C Ds) = Ds$  using assms
  proof (induct  $C$  arbitrary:  $Ds$ )
    case GMHole then show ?case
    by (cases  $Ds$ ) auto
  next
    case ( $\text{GMFun } f Cs$ )
    have map2 sup-gmctxt-args  $Cs (\text{map2 fill-gholes-gmctxt } Cs (\text{partition-gholes } Ds Cs)) = \text{partition-gholes } Ds Cs$ 
    using GMFun(1)[OF nth-mem] GMFun(2)
    by (auto simp: length-partition-by-nth intro!: nth-equalityI)
    then show ?case using GMFun(1)[OF nth-mem] GMFun(2)
    unfolding partition-holes-fill-gholes-gmctxt-conv'
    using concat-partition-by[of map num-gholes  $Cs Ds$ ]
    by auto
  qed

lemma map2-fill-gholes-gmctxt-id [simp]:
  assumes  $\bigwedge i. i < \text{length } Ds \implies \text{num-gholes } (Ds ! i) = 0$ 
  shows map2 fill-gholes-gmctxt  $Ds (\text{replicate } (\text{length } Ds) []) = Ds$ 

```

```

using assms fill-gholes-gmctxt-no-holes[of Ds ! i for i]
by (auto simp: map-nth-eq-conv)

lemma fill-gholes-gmctxt-GMFun-replicate-append [simp]:
  assumes length Cs = n and t ∈ set Ds  $\implies$  num-gholes t = 0
  shows fill-gholes-gmctxt (GMFun f ((replicate n GMHole) @ Ds)) Cs = GMFun
    f (Cs @ Ds) using assms
proof (induct n arbitrary: Cs)
  case 0 note [simp] = 0(1)
  have i < length Ds  $\implies$  num-gholes (Ds ! i) = 0 for i using 0 by fastforce
  then show ?case using 0 unfolding partition-holes-fill-gholes-gmctxt-conv'
    by (cases Cs) auto
next
  case (Suc n) then show ?case unfolding partition-holes-fill-gholes-gmctxt-conv'
    by (simp add: Cons-nth-drop-Suc take-Suc-conv-app-nth)
qed

lemma finite-ghole-poss:
  finite (ghole-poss C)
  by (induct C) auto

lemma ghole-poss-simp [simp]:
  ghole-poss (GMFun f cs) = {i # p | i p. i < length cs  $\wedge$  p ∈ ghole-poss (cs ! i)}
  by auto
  declare ghole-poss.simps(2)[simp del]

lemma num-gholes-zero-ghole-poss:
  num-gholes D = 0  $\implies$  ghole-poss D = {}
  by (induct D) auto

lemma ghole-poss-num-gholes-zero:
  ghole-poss D = {}  $\implies$  num-gholes D = 0
proof (induct D)
  case (GMFun f Ds)
  then show ?case
    by (simp, metis Collect-empty-eq Collect-mem-eq in-set-idx)
qed simp

lemma num-gholes-nzero-ghole-poss-nempty:
  num-gholes D ≠ 0  $\implies$  ghole-poss D ≠ {}
  by (induct D) (auto simp: in-set-conv-nth, fastforce)

lemma ghole-poss-epsE [elim]:
  ghole-poss D = {}  $\implies$  D = GMHole
  by (induct D) auto

lemma ghole-poss-gmctxt-of-gterm [simp]:
  ghole-poss (gmctxt-of-gterm t) = {}
  by (induct t) auto

```

```

lemma ghole-poss-subseteq-args [simp]:
  assumes ghole-poss (GMFun f Ds) ⊆ ghole-poss (GMFun g Cs)
  shows ∀ i < min (length Ds) (length Cs). ghole-poss (Ds ! i) ⊆ ghole-poss (Cs
! i) using assms
  by auto

lemma factor-ghole-pos-by-prefix:
  assumes C ≤ D p ∈ ghole-poss D
  obtains q where q ≤p p q ∈ ghole-poss C
  using assms
  by (induct C D arbitrary: p thesis rule: less-eq-gmctxt-induct)
    (auto, metis position-less-eq-Cons)

lemma prefix-and-fewer-gholes-implies-equal-gmctxt:
  C ≤ D ⇒ ghole-poss C ⊆ ghole-poss D ⇒ C = D
  proof (induct C D rule: less-eq-gmctxt-induct)
    case (1 D) then show ?case by (cases D) auto
  next
    case (? Cs Ds f)
    have i < length Ds ⇒ ghole-poss (Cs ! i) ⊆ ghole-poss (Ds ! i) for i using
    2(1,4) by auto
    then show ?case using 2 by (auto intro!: nth-equalityI)
  qed

lemma set-sup-gmctxt-args-split:
  length Cs = length Ds ⇒ set (sup-gmctxt-args (GMFun f Cs) (GMFun f Ds)) =
  (UN i ∈ {0..< length Ds}. set (sup-gmctxt-args (Cs ! i) (Ds ! i)))
  by (auto simp: atLeast0LessThan in-set-zip)
    (metis length-map map-fst-zip nth-mem nth-zip)

lemma gmctxt-closing-trans:
  gmctxt-closing C D ⇒ gmctxt-closing D E ⇒ gmctxt-closing C E
  unfolding gmctxt-closing-def using less-eq-gmctxt-prime
  by (metis (full-types) order-trans)

lemma gmctxt-closing-sup-args-ghole-or-gterm:
  assumes gmctxt-closing C D
  shows ∀ E ∈ set (sup-gmctxt-args C D). E = GMHole ∨ num-gholes E = 0
  using assms unfolding gmctxt-closing-def
  proof -
    from assms have C ≤ D ghole-poss D ⊆ ghole-poss C unfolding gmctxt-closing-def
      by (auto simp: less-eq-gmctxt-prime)
    then show ?thesis
    proof (induct rule: less-eq-gmctxt-induct)
      case (1 D)
      then show ?case
        by (cases D) (auto simp: in-set-conv-nth intro!: ghole-poss-num-gholes-zero,
        blast)
    qed
  qed

```

```

next
  case ( $\lambda cs\ ds\ f$ ) note  $IS = this$ 
    show ?case using set-sup-gmctxt-args-split[ $OF\ IS(1)$ ]
      by auto
  qed
qed

lemma inv-imples-ghole-poss-subseteq:
   $C \leq D \implies \forall E \in set(sup-gmctxt-args\ C\ D). E = GMHole \vee num-gholes\ E = 0 \implies ghole-poss\ D \subseteq ghole-poss\ C$ 
  proof (induct rule: less-eq-gmctxt-induct)
    case ( $\lambda D$ ) then show ?case
      by (cases D) (auto simp: num-gholes-zero-ghole-poss)
  next
    case ( $\lambda cs\ ds\ f$ )
    then show ?case using set-sup-gmctxt-args-split[ $OF\ \lambda(1)$ ]
      by auto (metis (no-types, lifting) fst-conv in-set-zip snd-conv subsetD)
  qed

lemma fill-gholes-gmctxt-ghole-poss-subseteq:
  assumes num-gholes C = length Ds  $\forall i < length Ds. Ds ! i = GMHole \vee num-gholes(Ds ! i) = 0$ 
  shows ghole-poss (fill-gholes-gmctxt C Ds)  $\subseteq ghole-poss\ C$  using assms
  proof (induct rule: fill-gholes-induct)
    case ( $\lambda GMFun\ f\ Cs\ xs$ )
    then show ?case unfolding partition-holes-fill-gholes-gmctxt-conv'
      by auto (metis (no-types, lifting) length-map length-partition-by-nth partition-by-nth-nth(1, 2) subsetD)
  qed (auto simp: num-gholes-zero-ghole-poss)

lemma ghole-poss-not-in-poss-gmctxt:
  assumes p ∈ ghole-poss C
  shows p ∉ poss-gmctxt C using assms
  by (induct C arbitrary: p) auto

lemma comp-gmctxt-inf-ghole-poss-cases:
  assumes (C, D) ∈ comp-gmctxt p ∈ ghole-poss (C ⊓ D)
  shows p ∈ ghole-poss C  $\wedge$  p ∈ ghole-poss D  $\vee$ 
    p ∈ ghole-poss C  $\wedge$  p ∈ poss-gmctxt D  $\vee$ 
    p ∈ ghole-poss D  $\wedge$  p ∈ poss-gmctxt C using assms
  proof (induct arbitrary: p)
    case ( $\lambda GMHole1\ D$ ) then show ?case
      by (cases D) auto
  next
    case ( $\lambda GMHole2\ C$ ) then show ?case
      by (cases C) auto
  next
    case ( $\lambda GMFun\ f\ g\ Cs\ Ds$ )
    then show ?case

```

```

    by (auto simp: atLeast0LessThan) blast+
qed

lemma length-ghole-poss-list-num-gholes:
  num-gholes C = length (ghole-poss-list C)
  by (induct C) (auto simp: length-concat intro: nth-sum-listI)

lemma ghole-poss-list-distinct:
  distinct (ghole-poss-list C)
proof (induct C)
  case (GMFun f Cs)
  then show ?case proof (induct Cs rule: rev-induct)
    case (snoc x xs)
    then have distinct (ghole-poss-list (GMFun f xs)) distinct (ghole-poss-list x)
  by auto
  then show ?case using snoc by (auto simp add: map-cons-presv-distinct dest:
set-zip-leftD)
  qed auto
qed auto

lemma ghole-poss-ghole-poss-list-conv:
  ghole-poss C = set (ghole-poss-list C)
proof (induct C)
  case (GMFun f Cs) note IS = GMFun[OF nth-mem]
  {fix p assume p ∈ ghole-poss (GMFun f Cs)
    then obtain i ps where w: p = i # ps i < length Cs
      ps ∈ ghole-poss (Cs ! i) by auto
    then have (i, Cs ! i) ∈ set (zip [0..

```

```

lemma num-gholes-subgm-at:
  assumes p ∈ poss-gmctxt C
  shows num-gholes (subgm-at C p) = ghole-num-at-pos p C using assms
  by (induct C arbitrary: p) auto

lemma gmctxt-subtgm-at-fill-args-empty-pos [simp]:
  assumes num-gholes C = length ts
  shows gmctxt-subtgm-at-fill-args [] C ts = ts
  using assms by (auto simp: gmctxt-subtgm-at-fill-args-def)

lemma ghole-num-bef-at-pos-num-gholes-less-eq:
  assumes p ∈ poss-gmctxt C
  shows ghole-num-bef-pos p C + ghole-num-at-pos p C ≤ num-gholes C using
  assms
  proof (induct C arbitrary: p)
    case (GMFun f Cs)
    show ?case
    proof (cases p)
      case (Cons i ps)
      have *: num-gholes (GMFun f Cs) = sum-list (map num-gholes (take i Cs)) +
      num-gholes (Cs ! i) + sum-list (map num-gholes (drop (Suc i) Cs))
      using GMFun(2) unfolding Cons
      by (auto simp flip: take-map take-drop)
      (metis Cons-nth-drop-Suc add.assoc append-take-drop-id drop-map length-map
      nth-map sum-list.Cons sum-list.append)
      from Cons have
        (sum-list (map num-gholes (take i Cs)) + (ghole-num-bef-pos ps (Cs ! i) +
        ghole-num-at-pos ps (Cs ! i)))
        + sum-list (map num-gholes (drop (Suc i) Cs)) ≤
        (sum-list (map num-gholes (take i Cs)) + num-gholes (Cs ! i)) + sum-list
        (map num-gholes (drop (Suc i) Cs))
        using GMFun(1)[OF nth-mem, of i ps] GMFun(2)
        by auto
      from add-le-imp-le-right[OF this] show ?thesis using GMFun(2) *
        unfolding Cons
        by simp
      qed auto
    qed auto

lemma ghole-num-at-pos-fill-args-length:
  assumes p ∈ poss-gmctxt C num-gholes C = length ts
  shows ghole-num-at-pos p C = length (gmctxt-subtgm-at-fill-args p C ts)
  using ghole-num-bef-at-pos-num-gholes-less-eq[OF assms(1)] assms(2)
  by (auto simp: gmctxt-subtgm-at-fill-args-def)

lemma ghole-poss-nth-subt-at:
  assumes t =Gf (C, ts) and p ∈ ghole-poss C
  shows ghole-num-bef-pos p C < length ts ∧ gsubt-at t p = ts ! ghole-num-bef-pos

```

```

 $p \in C$  using  $\text{assms}$ 
proof (induct arbitrary: p rule: eq-gfill-induct)
  case ( $\text{GMFun } f \ ss \ Cs \ ts$ )
    let  $?ts = \text{partition-gholes } ts \ Cs$ 
    from  $\text{GMFun}$  obtain  $i$  and  $q$  where [simp]:  $p = i \ # \ q$ 
      and  $i < \text{length } ss$  and  $q \in \text{ghole-poss} (Cs ! i)$  by auto
    with  $\text{GMFun.hyps}$  have  $ss ! i =_{Gf} (Cs ! i, ?ts ! i)$ 
      and  $j: \text{ghole-num-bef-pos } q (Cs ! i) < \text{length } (?ts ! i)$  (is  $?j < \text{length } -$ )
      and  $*: ?ts ! i ! \text{ghole-num-bef-pos } q (Cs ! i) = \text{gsubt-at} (ss ! i) q$ 
      by auto
    let  $?k = \text{sum-list} (\text{map length} (\text{take } i ?ts)) + ?j$ 
    have  $i < \text{length } ?ts$  using  $\langle i < \text{length } ss \rangle$  and  $\text{GMFun}$  by auto
    with  $\text{partition-by-nth-nth-old} [\text{OF this } j]$  and  $\text{GMFun}$  and  $\text{concat-nth-length} [\text{OF this } j]$ 
      have  $?ts ! i ! ?j = ts ! ?k$  and  $?k < \text{length } ts$  by (auto)
      moreover with  $*$  have  $ts ! ?k = \text{gsubt-at} (GFun f ss) p$  using  $\langle i < \text{length } ss \rangle$ 
      by simp
      ultimately show  $?case$  using  $\text{GMFun.hyps}(2)$  by (auto simp: take-map [symmetric])
    qed auto

lemma  $\text{poss-gmctxt-fill-gholes-split}:$ 
  assumes  $t =_{Gf} (C, ts)$  and  $p \in \text{poss-gmctxt } C$ 
  shows  $\text{gsubt-at } t p =_{Gf} (\text{subgm-at } C p, \text{gmctxt-subtgm-at-fill-args } p \ C \ ts)$ 
  using  $\text{assms}$ 
proof (induct arbitrary: p rule: eq-gfill-induct)
  case ( $\text{GMFun } f \ ss \ Cs \ ts$ )
    let  $?ts = \text{partition-gholes } ts \ Cs$ 
    from  $\text{GMFun}$  have  $\bigwedge i. i < \text{length } Cs \implies ss ! i =_{Gf} (Cs ! i, ?ts ! i)$  by auto
    show  $?case$ 
    proof (cases p)
      case  $\text{Nil}$ 
        then have  $\text{GFun } f \ ss =_{Gf} (\text{GMFun } f \ Cs, \text{concat } ?ts)$  using  $\text{GMFun}$ 
          by (intro eqgf-GMFunI) (auto simp del: fill-gholes.simps)
        then show  $?thesis$  using  $\text{GMFun}$  unfolding  $\text{Nil}$ 
          by simp
      next
        case ( $\text{Cons } i \ q$ )
        then have  $\text{ghole-num-at-pos } q (Cs ! i) \leq \text{num-gholes} (Cs ! i) - \text{ghole-num-bef-pos } q (Cs ! i)$ 
          using  $\text{GMFun}(1, 2, 4)$   $\text{ghole-num-bef-at-pos-num-gholes-less-eq}[\text{of } q \ Cs ! i]$ 
          by auto
        then show  $?thesis$  using  $\text{GMFun}$ 
          by (auto simp: Cons add.commute gmctxt-subtgm-at-fill-args-def partition-by-nth drop-take take-map min-def split!: if-splits)
        qed
    qed auto

lemma  $\text{fill-gholes-ghole-poss}:$ 
  assumes  $t =_{Gf} (C, ts)$  and  $i < \text{length } ts$ 

```

```

shows gsubt-at t (ghole-poss-list C ! i) = ts ! i using assms
proof (induct arbitrary: i rule: eq-gfill-induct)
  case (GMFun f ss Cs ts)
    have *: length (concat (poss-rec ghole-poss-list Cs)) = num-gholes (GMFun f Cs)
      using GMFun(1, 2, 4)
      unfolding length-ghole-poss-list-num-gholes[of GMFun f Cs, symmetric, unfolded ghole-poss-list.simps]
        by auto
    from GMFun have b: i < length (concat (partition-gholes ts Cs)) by simp
    then have ts: ts ! i = ( $\lambda(j, k). \text{partition-gholes } ts \text{ ! } j \text{ ! } k$ ) (concat-index-split (0, i) (partition-gholes ts Cs))
      by (metis GMFun.hyps(2) concat-index-split-sound concat-partition-by)
    obtain o-idx i-idx where csp: concat-index-split (0, i) (partition-gholes ts Cs) = (o-idx, i-idx)
      using old.prod.exhaust by blast
    have idx: o-idx < length Cs i-idx < length (partition-gholes ts Cs ! o-idx)
      using concat-index-split-sound-bounds[OF b csp] by auto
    have concat-index-split (0, i) (poss-rec ghole-poss-list Cs) = (o-idx, i-idx)
      using GMFun(1, 2, 4) * unfolding csp[symmetric]
      by (intro concat-index-split-unique, unfold *)
        (auto, simp add: length-ghole-poss-list-num-gholes length-partition-gholes-nth)
    then have gp: ghole-poss-list (GMFun f Cs) ! i = poss-rec ghole-poss-list Cs !
      o-idx ! i-idx
      by (simp add: * GMFun.hyps(2) GMFun.prems concat-index-split-less-length-concat(4))
    from idx GMFun have r: o-idx < length (zip [0..<length ss] Cs) by auto
    then show ?case using GMFun idx unfolding ts csp gp
      by (auto simp: nth-map[OF r] length-ghole-poss-list-num-gholes length-partition-gholes-nth split: prod.splits)
qed auto

lemma length-unfill-gholes [simp]:
  assumes C ≤ gmctxt-of-gterm t
  shows length (unfill-gholes C t) = num-gholes C
  using assms
proof (induct C t rule: unfill-gholes.induct)
  case (2 f Cs g ts) with 2(1)[OF - nth-mem] 2(2) show ?case
    by (auto simp: less-eq-gmctxt-def length-concat
      intro!: cong[of sum-list, OF refl] nth-equalityI elim!: nth-equalityE)
qed auto

lemma fill-gholes-arbitrary:
  assumes lCs: length Cs = length ts
  and lss: length ss = length ts
  and rec:  $\bigwedge i. i < \text{length } ts \implies \text{num-gholes } (Cs \text{ ! } i) = \text{length } (ss \text{ ! } i) \wedge f(Cs \text{ ! } i) (ss \text{ ! } i) = ts \text{ ! } i$ 
  shows map ( $\lambda i. f(Cs \text{ ! } i)$ ) (partition-gholes (concat ss) Cs ! i)) [0 ..< length Cs] = ts
proof -
  have sum-list (map num-gholes Cs) = length (concat ss) using assms

```

```

by (auto simp: length-concat map-nth-eq-conv intro: arg-cong[of - - sum-list])
moreover have partition-gholes (concat ss) Cs = ss
  using assms by (auto intro: partition-by-concat-id)
ultimately show ?thesis using assms by (auto intro: nth-equalityI)
qed

lemma fill-unfill-gholes:
assumes C ≤ gmctxt-of-gterm t
shows fill-gholes C (unfill-gholes C t) = t
using assms
proof (induct C t rule: unfill-gholes.induct)
case (2 f Cs g ts) with 2(1)[OF - nth-mem] 2(2) show ?case
  by (auto simp: less-eq-gmctxt-def unfill-gholes-conv intro!: fill-gholes-arbitrary
elim!: nth-equalityE)
qed (auto split: if-splits)

lemma funas-gmctxt-of-mctxt [simp]:
ground-mctxt C ⟹ funas-gmctxt (gmctxt-of-mctxt C) = funas-mctxt C
by (induct C) (auto simp: funas-gterm-gterm-of-term)

lemma funas-mctxt-of-gmctxt-conv:
funas-mctxt (mctxt-of-gmctxt C) = funas-gmctxt C
by (induct C) (auto simp flip: funas-gterm-gterm-of-term)

lemma funas-gterm-ctxt-apply [simp]:
assumes num-gholes C = length ss
shows funas-gterm (fill-gholes C ss) = funas-gmctxt C ∪ (set (map funas-gterm ss)) using assms
proof (induct rule: fill-gholes-induct)
case (GMFun f Cs ss)
show ?case using GMFun partition-gholes-subseteq[OF GMFun(1)]
  by (auto simp add: Un-Union-image simp del: map-partition-by-nth)
qed simp

lemma funas-gmctxt-gmctxt-of-gterm [simp]:
funas-gmctxt (gmctxt-of-gterm s) = funas-gterm s
by (induct s) auto

lemma funas-gmctxt-replicate-GMhole [simp]:
funas-gmctxt (GMFun f (replicate n GMhole)) = {(f, n)}
by auto

lemma funas-gmctxt-gmctxt-of-gctxt [simp]:
funas-gmctxt (gmctxt-of-gctxt C) = funas-gctxt C
by (induct C) auto

lemma funas-gmctxt-fill-gholes-gmctxt [simp]:
assumes num-gholes C = length Ds
shows funas-gmctxt (fill-gholes-gmctxt C Ds) = funas-gmctxt C ∪ (set (map

```

```

 $\text{funas-gmctxt } Ds)$ 
 $\text{(is ?f } C \text{ } Ds = ?g \text{ } C \text{ } Ds) \text{ using assms}$ 
proof (induct C arbitrary: Ds)
  case GMHole then show ?case by (cases Ds) simp-all
next
  case (GMFun f Cs)
    then have num-gholes: sum-list (map num-gholes Cs) = length Ds by simp
    let ?ys = partition-gholes Ds Cs
    have  $\bigwedge i. i < \text{length } Cs \implies ?f (Cs ! i) (?ys ! i) = ?g (Cs ! i) (?ys ! i)$ 
      by (simp add: GMFun.hyps length-partition-by-nth num-gholes)
    then have ( $\bigcup i \in \{0 .. < \text{length } Cs\}. ?f (Cs ! i) (?ys ! i) =$ 
       $(\bigcup i \in \{0 .. < \text{length } Cs\}. ?g (Cs ! i) (?ys ! i))$ ) by simp
    then show ?case
      using num-gholes unfolding partition-holes-fill-holes-mctxt-conv
      by (simp add: UN-Un-distrib UN-upl-len-conv [of - -  $\lambda x. \bigcup (\text{set } x)$ ] UN-set-partition-by-map)
qed

lemma funas-supremum:
 $C \leq D \implies \text{funas-gmctxt } D = \text{funas-gmctxt } C \cup \bigcup (\text{set } (\text{map funas-gmctxt } (\text{sup-gmctxt-args } C \text{ } D)))$ 
 $\text{using fill-gholes-gmctxt-sup-mctxt-args[of } C]$ 
 $\text{by (auto simp: fill-gholes-gmctxt-sup-mctxt-args[of } C \text{] elim!: less-eq-to-sup-mctxt-args[of } C \text{ } D])}$ 

lemma funas-gctxt-gctxt-of-gmctxt [simp]:
 $\text{num-gholes } D = \text{Suc } 0 \implies \text{funas-gctxt } (\text{gctxt-of-gmctxt } D) = \text{funas-gmctxt } D$ 
 $\text{by (metis One-nat-def funas-gmctxt-gmctxt-of-gctxt gmctxt-of-gctxt-g ctxt-of-gmctxt)}$ 

lemma funas-gterm-gterm-of-gmctxt [simp]:
 $\text{num-gholes } C = 0 \implies \text{funas-gterm } (\text{gterm-of-gmctxt } C) = \text{funas-gmctxt } C$ 
 $\text{by (metis funas-gmctxt-gmctxt-of-gterm no-gholes-gmctxt-of-gterm-gterm-of-gmctxt-id)}$ 

lemma less-sup-gmctxt-args-funas-gmctxt:
 $C \leq D \implies \text{funas-gmctxt } C \subseteq \mathcal{F} \implies \forall Ds \in \text{set } (\text{sup-gmctxt-args } C \text{ } D). \text{funas-gmctxt } Ds \subseteq \mathcal{F} \implies \text{funas-gmctxt } D \subseteq \mathcal{F}$ 
 $\text{using funas-supremum[of } C \text{ } D] \text{ by auto}$ 

lemma funas-gmctxt-poss-gmctxt-subgm-at-funas:
 $\text{assumes funas-gmctxt } C \subseteq \mathcal{F} \text{ } p \in \text{poss-gmctxt } C$ 
 $\text{shows funas-gmctxt } (\text{subgm-at } C \text{ } p) \subseteq \mathcal{F}$ 
 $\text{using assms}$ 
proof (induct C arbitrary: p)
  case (GMFun f Cs)
    then show ?case
      by (auto, blast) (metis SUP-le-iff nth-mem subsetD)
qed auto

lemma inf-funas-gmctxt-subset1:
 $\text{funas-gmctxt } (C \sqcap D) \subseteq \text{funas-gmctxt } C$ 

```

```

using funas-supremum[of C C ⊓ D]
by (metis funas-supremum inf-le1 le-sup-iff order-refl)

```

```

lemma inf-funas-gmctxt-subset2:
  funas-gmctxt (C ⊓ D) ⊆ funas-gmctxt D
using funas-supremum[of D C ⊓ D]
by (metis funas-supremum inf-le2 le-sup-iff order-refl)

```

```

end
theory Bot-Terms
  imports Utils
begin

```

2.3 Bottom terms

```
datatype 'f bot-term = Bot | BFun 'f (args: 'f bot-term list)
```

```

fun term-to-bot-term :: ('f, 'v) term ⇒ 'f bot-term (↔ [80] 80) where
  (Var -)⊥ = Bot
  | (Fun f ts)⊥ = BFun f (map term-to-bot-term ts)

```

```

fun root-bot where
  root-bot Bot = None |
  root-bot (BFun f ts) = Some (f, length ts)

```

```

fun funas-bot-term where
  funas-bot-term Bot = {}
  | funas-bot-term (BFun f ss) = {(f, length ss)} ∪ (⋃ (funas-bot-term ` set ss))

```

```

lemma finite-funas-bot-term:
  finite (funas-bot-term t)
by (induct t) auto

```

```

lemma funas-bot-term-funas-term:
  funas-bot-term (t⊥) = funas-term t
by (induct t) auto

```

```

lemma term-to-bot-term-root-bot [simp]:
  root-bot (t⊥) = root t
by (induct t) auto

```

```

lemma term-to-bot-term-root-bot-comp [simp]:
  root-bot ∘ term-to-bot-term = root
using term-to-bot-term-root-bot by force

```

```

inductive-set mergeP where
  base-l [simp]: (Bot, t) ∈ mergeP
  | base-r [simp]: (t, Bot) ∈ mergeP

```

```

| step [intro]: length ss = length ts  $\implies$  ( $\forall i < \text{length } ts. (ss ! i, ts ! i) \in \text{merge}P$ )
 $\implies$   $(B\text{Fun } f ss, B\text{Fun } f ts) \in \text{merge}P$ 

lemma merge-refl:
   $(s, s) \in \text{merge}P$ 
  by (induct s) auto

lemma merge-symmetric:
  assumes  $(s, t) \in \text{merge}P$ 
  shows  $(t, s) \in \text{merge}P$ 
  using assms by induct auto

fun merge-terms :: ' $f$  bot-term  $\Rightarrow$  ' $f$  bot-term  $\Rightarrow$  ' $f$  bot-term' (infixr  $\leftrightarrow$  67) where
  Bot  $\uparrow s = s$ 
  |  $s \uparrow \text{Bot} = s$ 
  |  $(B\text{Fun } f ss) \uparrow (B\text{Fun } g ts) = (\text{if } f = g \wedge \text{length } ss = \text{length } ts$ 
     $\text{then } B\text{Fun } f (\text{map} (\text{case-prod } (\uparrow)) (\text{zip } ss ts))$ 
     $\text{else undefined})$ 

lemma merge-terms-bot-rhs[simp]:
   $s \uparrow \text{Bot} = s$  by (cases s) auto

lemma merge-terms-idem:  $s \uparrow s = s$ 
  by (induct s) (auto simp add: map-nth-eq-conv)

lemma merge-terms-assoc [ac-simps]:
  assumes  $(s, t) \in \text{merge}P$  and  $(t, u) \in \text{merge}P$ 
  shows  $(s \uparrow t) \uparrow u = s \uparrow t \uparrow u$ 
  using assms by (induct s t arbitrary: u) (auto elim!: mergeP.cases intro!: nth-equalityI)

lemma merge-terms-commutative [ac-simps]:
  shows  $s \uparrow t = t \uparrow s$ 
  by (induct s t rule: merge-terms.induct)
  (fastforce simp: in-set-conv-nth intro!: nth-equalityI)+

lemma merge-dist:
  assumes  $(s, t \uparrow u) \in \text{merge}P$  and  $(t, u) \in \text{merge}P$ 
  shows  $(s, t) \in \text{merge}P$  using assms
  by (induct t arbitrary: s u) (auto elim!: mergeP.cases, metis mergeP.step nth-mem)

lemma megeP-ass:
   $(s, t \uparrow u) \in \text{merge}P \implies (t, u) \in \text{merge}P \implies (s \uparrow t, u) \in \text{merge}P$ 
  by (induct t arbitrary: s u) (auto simp: mergeP.step elim!: mergeP.cases)

inductive-set bless-eq where
  base-l [simp]:  $(\text{Bot}, t) \in \text{bless-eq}$ 
  | step [intro]:  $\text{length } ss = \text{length } ts \implies (\forall i < \text{length } ts. (ss ! i, ts ! i) \in \text{bless-eq})$ 
 $\implies$ 

```

$(BFun f ss, BFun f ts) \in bless\text{-}eq$

Infix syntax.

abbreviation $bless\text{-}eq\text{-}pred s t \equiv (s, t) \in bless\text{-}eq$

notation

$bless\text{-}eq (\{\leq_b\})$ **and**

$bless\text{-}eq\text{-}pred ((-/ \leq_b -) [56, 56] 55)$

lemma $BFun\text{-}leq\text{-}Bot\text{-}False$ [*simp*]:

$BFun f ts \leq_b Bot \longleftrightarrow False$

using $bless\text{-}eq\text{.cases}$ **by** *auto*

lemma $BFun\text{-}lesseqE$ [*elim*]:

assumes $BFun f ts \leq_b t$

obtains us **where** $\text{length } ts = \text{length } us$ $t = BFun f us$

using $assms$ $bless\text{-}eq\text{.cases}$ **by** *blast*

lemma $bless\text{-}eq\text{-}refl$: $s \leq_b s$

by (*induct s*) *auto*

lemma $bless\text{-}eq\text{-}trans$ [*trans*]:

assumes $s \leq_b t$ **and** $t \leq_b u$

shows $s \leq_b u$ **using** $assms$

proof (*induct arbitrary: u*)

case (*step ss ts f*)

from *step(3)* **obtain** us **where** [*simp*]: $u = BFun f us$ $\text{length } ts = \text{length } us$ **by** *auto*

from *step(3, 1, 2)* **have** $i < \text{length } ss \implies ss ! i \leq_b us ! i$ **for** i

by (*cases rule: bless\text{-}eq\text{.cases}*) *auto*

then show ?*case* **using** *step(1)* **by** *auto*

qed *auto*

lemma $bless\text{-}eq\text{-}anti\text{-}sym$:

$s \leq_b t \implies t \leq_b s \implies s = t$

by (*induct rule: bless\text{-}eq\text{.induct}*) (*auto elim!: bless\text{-}eq\text{.cases intro: nth-equalityI}*)

lemma $bless\text{-}eq\text{-}mergeP$:

$s \leq_b t \implies (s, t) \in mergeP$

by (*induct s arbitrary: t*) (*auto elim!: bless\text{-}eq\text{.cases}*)

lemma $merge\text{-}bot\text{-}args\text{-}bless\text{-}eq\text{-}merge$:

assumes $(s, t) \in mergeP$

shows $s \leq_b s \uparrow t$ **using** $assms$

by (*induct s arbitrary: t*) (*auto simp: bless\text{-}eq\text{-}refl elim!: mergeP\text{.cases intro!: step}*)

lemma $bless\text{-}eq\text{-}closed\text{-}under\text{-}merge$:

assumes $(s, t) \in mergeP$ $(u, v) \in mergeP$ $s \leq_b u$ $t \leq_b v$

shows $s \uparrow t \leq_b u \uparrow v$ **using** $assms(3, 4, 1, 2)$

proof (*induct arbitrary: t v*)

```

case (base-l t)
then show ?case using bless-eq-trans merge-bot-args-bless-eq-merge
  by (metis merge-symmetric merge-terms.simps(1) merge-terms-commutative)
next
  case (step ss ts f)
  then show ?case apply (auto elim!: mergeP.cases intro!: bless-eq.step)
    using bless-eq-trans merge-bot-args-bless-eq-merge apply blast
    by (metis bless-eq.cases bot-term.distinct(1) bot-term.sel(2))
qed

lemma bless-eq-closed-under-supremum:
assumes s ≤b u t ≤b u
shows s ↑ t ≤b u using assms
by (induct arbitrary: t) (auto elim!: bless-eq.cases intro!: bless-eq.step)

lemma linear-term-comb-subst:
assumes linear-term (Fun f ss)
  and length ss = length ts
  and  $\bigwedge i. i < \text{length } ts \implies ss ! i \cdot \sigma = ts ! i$ 
shows  $\exists \sigma. \text{Fun } f ss \cdot \sigma = \text{Fun } f ts$ 
using assms subst-merge[of ss σ]
apply auto apply (rule-tac x = σ' in exI)
apply (intro nth-equalityI) apply auto
by (metis term-subst-eq)

lemma bless-eq-to-instance:
assumes s⊥ ≤b t⊥ and linear-term s
shows  $\exists \sigma. s \cdot \sigma = t$  using assms
proof (induct s arbitrary: t)
  case (Fun f ts)
  from Fun(2) obtain us where [simp]: t = Fun f us length ts = length us by
  (cases t) auto
  have i < length ts  $\implies \exists \sigma. ts ! i \cdot \sigma = us ! i$  for i
  using Fun(2, 3) Fun(1)[OF nth-mem, of i us ! i for i]
  by (auto elim: bless-eq.cases)
  then show ?case using Ex-list-of-length-P[of length ts λ σ i. ts ! i · σ = us ! i]
    using linear-term-comb-subst[OF Fun(3)] by auto
qed auto

lemma instance-to-bless-eq:
assumes s · σ = t
shows s⊥ ≤b t⊥ using assms
proof (induct s arbitrary: t)
  case (Fun f ts) then show ?case
    by (cases t) auto
qed auto

end
theory Saturation

```

```

imports Main
begin

```

2.4 Set operation closure for idempotent, associative, and commutative functions

```

lemma inv-to-set:
  ( $\forall i < \text{length } ss. ss ! i \in S \longleftrightarrow \text{set } ss \subseteq S$ )
  by (induct ss) (auto simp: nth-Cons split: nat.splits)

lemma ac-comp-fun-commute:
  assumes  $\bigwedge x y. f x y = f y x$  and  $\bigwedge x y z. f x (f y z) = f (f x y) z$ 
  shows comp-fun-commute f using assms unfolding comp-fun-commute-def
  by (auto simp: comp-def) fastforce

lemma (in comp-fun-commute) fold-list-swap:
  fold f xs (fold f ys y) = fold f ys (fold f xs y)
  by (metis comp-fun-commute fold-commute fold-commute-apply)

lemma (in comp-fun-commute) foldr-list-swap:
  foldr f xs (foldr f ys y) = foldr f ys (foldr f xs y)
  by (simp add: fold-list-swap foldr-conv-fold)

lemma (in comp-fun-commute) foldr-to-fold:
  foldr f xs = fold f xs
  using comp-fun-commute foldr-fold[of - f]
  by (auto simp: comp-def)

lemma (in comp-fun-commute) fold-commute-f:
  f x (foldr f xs y) = foldr f xs (f x y)
  using comp-fun-commute unfolding foldr-to-fold
  by (auto simp: comp-def intro: fold-commute-apply)

lemma closure-sound:
  assumes cl:  $\bigwedge s. s \in S \implies t \in S \implies f s t \in S$ 
  and com:  $\bigwedge x y. f x y = f y x$  and ass:  $\bigwedge x y z. f x (f y z) = f (f x y) z$ 
  and fin: set ss  $\subseteq S$  ss  $\neq []$ 
  shows fold f (tl ss) (hd ss)  $\in S$  using assms(4-)
  proof (induct ss)
    case (Cons s ss) note IS = this show ?case
    proof (cases ss)
      case Nil
      then show ?thesis using IS by auto
    next
      case (Cons t ts) show ?thesis
      using IS assms(1) ac-comp-fun-commute[of f, OF com ass] unfolding Cons
      by (auto simp flip: comp-fun-commute.foldr-to-fold) (metis com comp-fun-commute.fold-commute-f)
    qed
  qed auto

```

```

locale set-closure-operator =
  fixes f
  assumes com [ac-simps]:  $\bigwedge x y. f x y = f y x$ 
    and ass [ac-simps]:  $\bigwedge x y z. f x (f y z) = f (f x y) z$ 
    and idem:  $\bigwedge x. f x x = x$ 

sublocale set-closure-operator ⊆ comp-fun-idem
  using set-closure-operator-axioms ac-comp-fun-commute
  by (auto simp: comp-fun-idem-def comp-fun-idem-axioms-def comp-def set-closure-operator-def)

context set-closure-operator
begin

  inductive-set closure for S where
    base [simp]:  $s \in S \implies s \in \text{closure } S$ 
    | step [intro]:  $s \in \text{closure } S \implies t \in \text{closure } S \implies f s t \in \text{closure } S$ 

  lemma closure-idem [simp]:
    closure (closure S) = closure S (is ?LS = ?RS)
  proof -
    {fix s assume s ∈ ?LS then have s ∈ ?RS by induct auto}
    moreover
    {fix s assume s ∈ ?RS then have s ∈ ?LS by induct auto}
    ultimately show ?thesis by blast
  qed

  lemma fold-dist:
    assumes xs ≠ []
    shows f (fold f (tl xs) (hd xs)) t = fold f xs t using assms
  proof (induct xs)
    case (Cons a xs)
    show ?case using Cons com ass fold-commute-f
      by (auto simp: comp-def foldr-to-fold)
  qed auto

  lemma closure-to-cons-list:
    assumes s ∈ closure S
    shows ∃ ss ≠ []. fold f (tl ss) (hd ss) = s ∧ (∀ i < length ss. ss ! i ∈ S) using
    assms
  proof (induct)
    case (base s) then show ?case by (auto intro: exI[of - [s]])
  next
    case (step s t)
    then obtain ss ts where
      s: fold f (tl ss) (hd ss) = s and inv-s: ss ≠ [] ∀ i < length ss. ss ! i ∈ S and
      t: fold f (tl ts) (hd ts) = t and inv-t: ts ≠ [] ∀ i < length ts. ts ! i ∈ S
    by auto

```

```

then show ?case
  by (auto simp: fold-dist nth-append intro!: exI[of - ss @ ts]) (metis com fold-dist)
qed

lemma sound-fold:
  assumes set ss ⊆ closure S and ss ≠ []
  shows fold f (tl ss) (hd ss) ∈ closure S using assms
  using closure-sound[of closure S f] assms step
  by (auto simp add: com fun-left-comm)

lemma closure-empty [simp]: closure {} = {}
  using closure-to-cons-list by auto

lemma closure-mono:
  S ⊆ T  $\implies$  closure S ⊆ closure T
proof
  fix s assume ass: s ∈ closure S
  then show S ⊆ T  $\implies$  s ∈ closure T
  by (induct) (auto simp: closure-to-cons-list)
qed

lemma closure-insert:
  closure (insert x S) = {x} ∪ closure S ∪ {f x s | s. s ∈ closure S}
proof –
  {fix t assume ass: t ∈ closure (insert x S) t ≠ x t ∉ closure S
   from closure-to-cons-list[OF ass(1)] obtain ss where
    t: fold f (tl ss) (hd ss) = t and inv-t: ss ≠ []  $\forall$  i < length ss. ss ! i ∈ insert
    x S
   by auto
   then have mem: x ∈ set ss using ass(3) sound-fold[of ss] in-set-conv-nth
   by (force simp add: inv-to-set)
   have  $\exists$  s. t = f x s  $\wedge$  s ∈ closure S
   proof (cases set ss = {x})
     case True then show ?thesis using ass(2) t
     by (metis com finite.emptyI fold-dist fold-empty fold-insert-idem fold-set-fold
      idem inv-t(1))
   next
     case False
     from False inv-t(1) mem obtain ts where split: insert x (set ts) = set ss x
      $\notin$  set ts ts ≠ []
     by auto (metis False List.finite-set Set.set-insert empty-set finite-insert
      finite-list)
     then have  $\forall$  i < length ts. ts ! i ∈ S using inv-t(2) split unfolding inv-to-set
     by auto
     moreover have t = f x (Finite-Set.fold f (hd ts) (set (tl ts)))
     using split t inv-t(1)
     by (metis List.finite-set com fold-dist fold-insert-idem2 fold-set-fold fun-left-idem
      idem)
     ultimately show ?thesis using sound-fold[OF - split(3)]
  }

```

```

    by (auto simp: foldr-to-fold fold-set-fold inv-to-set) force
qed}
then show ?thesis
  by (auto simp: fold-set-fold in-mono[OF closure-mono[OF subset-insertI[of S
x]]])
qed

lemma finite-S-finite-closure [intro]:
finite S ==> finite (closure S)
  by (induct rule: finite.induct) (auto simp: closure-insert)

end

locale semilattice-closure-operator =
  cl: set-closure-operator f for f :: 'a => 'a => 'a +
fixes less-eq e
assumes neut-fun [simp]: $\bigwedge x. f e x = x$ 
  and neut-less [simp]:  $\bigwedge x. \text{less-eq } e x$ 
  and sup-l:  $\bigwedge x y. \text{less-eq } x (f x y)$ 
  and sup-r:  $\bigwedge x y. \text{less-eq } y (f x y)$ 
  and upper-bound:  $\bigwedge x y z. \text{less-eq } x z \implies \text{less-eq } y z \implies \text{less-eq } (f x y) z$ 
  and trans:  $\bigwedge x y z. \text{less-eq } x y \implies \text{less-eq } y z \implies \text{less-eq } x z$ 
  and anti-sym:  $\bigwedge x y. \text{less-eq } x y \implies \text{less-eq } y x \implies x = y$ 
begin

lemma unique-neut-elem [simp]:
f x y = e  $\longleftrightarrow$  x = e  $\wedge$  y = e
  using neut-fun cl.fun-left-idem
  by (metis cl.com)

abbreviation closure S ≡ cl.closure S

lemma closure-to-cons-listE:
assumes s ∈ closure S
obtains ss where ss ≠ [] fold f ss e = s set ss ⊆ S
  using cl.closure-to-cons-list[OF assms] cl.fold-dist
  by (auto simp: inv-to-set) (metis cl.com neut-fun)

lemma sound-fold:
assumes set ss ⊆ closure S ss ≠ []
shows fold f ss e ∈ closure S
  using cl.sound-fold[OF assms] cl.fold-dist[OF assms(2)]
  by (metis cl.com neut-fun)

abbreviation supremum S ≡ Finite-Set.fold f e S
definition smaller-subset x S ≡ {y. less-eq y x  $\wedge$  y ∈ S}

lemma smaller-subset-empty [simp]:

```

```

smaller-subset x {} = {}
by (auto simp: smaller-subset-def)

lemma finite-smaller-subset [simp, intro]:
  finite S  $\implies$  finite (smaller-subset x S)
by (auto simp: smaller-subset-def)

lemma smaller-subset-mono:
  smaller-subset x S  $\subseteq$  S
by (auto simp: smaller-subset-def)

lemma sound-set-fold:
  assumes set ss  $\subseteq$  closure S and ss  $\neq \emptyset$ 
  shows supremum (set ss)  $\in$  closure S
  using sound-fold[OF assms]
by (auto simp: cl.fold-set-fold)

lemma supremum-neutral [simp]:
  assumes finite S and supremum S = e
  shows S  $\subseteq$  {e} using assms
by (induct) auto

lemma supremum-in-closure:
  assumes finite S and R  $\subseteq$  closure S and R  $\neq \emptyset$ 
  shows supremum R  $\in$  closure S
proof –
  obtain L where [simp]: R = set L
  using cl.finite-S-finite-closure[OF assms(1)] assms(2) finite-list
  by (metis infinite-super)
  then show ?thesis using sound-set-fold[of L S] assms
  by (cases L) auto
qed

lemma supremum-sound:
  assumes finite S
  shows  $\bigwedge t. t \in S \implies \text{less-eq } t (\text{supremum } S)$ 
  using assms sup-l sup-r trans
  by induct (auto, blast)

lemma supremum-sound-list:
   $\forall i < \text{length } ss. \text{less-eq } (ss ! i) (\text{fold } f ss e)$ 
  unfolding cl.fold-set-fold[symmetric]
  using supremum-sound[of set ss]
  by auto

lemma smaller-subset-insert [simp]:
  less-eq y x  $\implies$  smaller-subset x (insert y S) = insert y (smaller-subset x S)
   $\neg$  less-eq y x  $\implies$  smaller-subset x (insert y S) = smaller-subset x S
by (auto simp: smaller-subset-def)

```

```

lemma supremum-smaller-subset:
  assumes finite S
  shows less-eq (supremum (smaller-subset x S)) x using assms
proof (induct)
  case (insert y F) then show ?case
    by (cases less-eq y x) (auto simp: upper-bound)
qed simp

lemma pre-subset-eq-pos-subset [simp]:
  shows smaller-subset x (closure S) = closure (smaller-subset x S) (is ?LS = ?RS)
proof -
  {fix s assume s ∈ ?RS then have s ∈ ?LS
    using upper-bound by induct (auto simp: smaller-subset-def)}
  moreover
  {fix s assume ass: s ∈ ?LS
    then have s ∈ closure S using smaller-subset-mono by auto
    then obtain ss where wit: ss ≠ [] ∧ fold f ss e = s ∧ (set ss ⊆ S)
      using closure-to-cons-listE by blast
    then have ∀ i < length ss. less-eq (ss ! i) x
      using supremum-sound[of set ss] supremum-smaller-subset[of set ss x]
      unfolding cl.fold-set-fold[symmetric]
      by auto (metis ass local.trans mem-Collect-eq nth-mem smaller-subset-def)
    then have s ∈ ?RS using wit sound-fold[of ss]
      by (auto simp: smaller-subset-def)
      (metis (mono-tags, lifting) cl.closure.base inv-to-set mem-Collect-eq)}
  ultimately show ?thesis by blast
qed

```

```

lemma supremum-in-smaller-closure:
  assumes finite S
  shows supremum (smaller-subset x S) ∈ {e} ∪ (closure S)
  using supremum-in-closure[OF assms, of smaller-subset x S]
  by (metis Uni1 Uni2 cl.closure.base fold-empty singletonI smaller-subset-mono
subset-iff)

```

```

lemma supremum-subset-less-eq:
  assumes finite S and R ⊆ S
  shows less-eq (supremum R) (supremum S) using assms
proof (induct arbitrary: R)
  case (insert x F)
  from insert(1, 2, 4) insert(3)[of R - {x}]
  have less-eq (supremum (R - {x})) (f x (supremum F))
    by (metis Diff-subset-conv insert-is-Un local.trans sup-r)
  then show ?case using insert(1, 2, 4)
    by auto (metis Diff-empty Diff-insert0 cl.fold-rec finite.insertI finite-subset sup-l)

```

```

upper-bound)
qed (auto)

lemma supremum-smaller-closure [simp]:
assumes finite S
shows supremum (smaller-subset x (closure S)) = supremum (smaller-subset x S)
proof (cases smaller-subset x S = {})
case [simp]: True show ?thesis by auto
next
case False
have smaller-subset x S ⊆ smaller-subset x (closure S)
unfolding pre-subset-eq-pos-subset by auto
then have l: less-eq (supremum (smaller-subset x S)) (supremum (smaller-subset x (closure S)))
using assms unfolding pre-subset-eq-pos-subset
by (intro supremum-subset-less-eq) auto
from False have supremum (closure (smaller-subset x S)) ∈ closure S
using assms cl.closure-mono[OF smaller-subset-mono]
using ‹smaller-subset x S ⊆ smaller-subset x (closure S)›
by (auto simp add: assms intro!: supremum-in-closure)
from closure-to-cons-listE[OF this] obtain ss where
dec : supremum (smaller-subset x (closure S)) = Finite-Set.fold f e (set ss)
and inv: ss ≠ [] set ss ⊆ S
by (auto simp: cl.fold-set-fold) force
then have set ss ⊆ smaller-subset x S
using supremum-sound[OF assms]
using supremum-smaller-subset[OF assms]
by (auto simp: smaller-subset-def)
(metis List.finite-set assms cl.finite-S-finite-closure dec trans supremum-smaller-subset
supremum-sound)
then have less-eq (supremum (smaller-subset x (closure S))) (supremum (smaller-subset x S))
using inv assms unfolding dec
by (intro supremum-subset-less-eq) auto
then show ?thesis using l anti-sym
by auto
qed

end

fun lift-f-total where
lift-f-total P f None - = None
| lift-f-total P f - None = None
| lift-f-total P f (Some s) (Some t) = (if P s t then Some (f s t) else None)

fun lift-less-eq-total where
lift-less-eq-total f - None = True

```

```

| lift-less-eq-total f None - = False
| lift-less-eq-total f (Some s) (Some t) = (f s t)

locale set-closure-partial-operator =
  fixes P f
  assumes refl:  $\bigwedge x. P x x$ 
  and sym:  $\bigwedge x y. P x y \implies P y x$ 
  and dist:  $\bigwedge x y z. P y z \implies P x (f y z) \implies P x y$ 
  and assP:  $\bigwedge x y z. P x (f y z) \implies P y z \implies P (f x y) z$ 
  and com [ac-simps]:  $\bigwedge x y. P x y \implies f x y = f y x$ 
  and ass [ac-simps]:  $\bigwedge x y z. P x y \implies P y z \implies f x (f y z) = f (f x y) z$ 
  and idem:  $\bigwedge x. f x x = x$ 
begin

lemma lift-f-total-com:
  lift-f-total P f x y = lift-f-total P f y x
  using com by (cases x; cases y) (auto simp: sym)

lemma lift-f-total-ass:
  lift-f-total P f x (lift-f-total P f y z) = lift-f-total P f (lift-f-total P f x y) z
proof (cases x)
  case [simp]: (Some s) show ?thesis
  proof (cases y)
    case [simp]: (Some t) show ?thesis
    proof (cases z)
      case [simp]: (Some u) show ?thesis
      by (auto simp add: ass dist[of t u s])
      (metis com dist assP sym)+
    qed auto
  qed auto
qed auto

lemma lift-f-total-idem:
  lift-f-total P f x x = x
  by (cases x) (auto simp: idem refl)

lemma lift-f-totalE[elim]:
  assumes lift-f-total P f s u = Some t
  obtains v w where s = Some v u = Some w
  using assms by (cases s; cases u) auto

lemma lift-set-closure-operator:
  set-closure-operator (lift-f-total P f)
  using lift-f-total-com lift-f-total-ass lift-f-total-idem by unfold-locales blast+
end

sublocale set-closure-partial-operator  $\subseteq$  lift-fun: set-closure-operator lift-f-total P f

```

```

by (simp add: lift-set-closure-ooperator)

context set-closure-partial-ooperator begin

abbreviation lift-closure S ≡ lift-fun.closure (Some ` S)

inductive-set pred-closure for S where
| base [simp]: s ∈ S ⇒ s ∈ pred-closure S
| step [intro]: s ∈ pred-closure S ⇒ t ∈ pred-closure S ⇒ P s t ⇒ f s t ∈
pred-closure S

lemma pred-closure-to-some-lift-closure:
assumes s ∈ pred-closure S
shows Some s ∈ lift-closure S using assms
proof (induct)
case (step s t)
then have lift-f-total P f (Some s) (Some t) ∈ lift-closure S
by (intro lift-fun.closure.step) auto
then show ?case using step(5)
by (auto split: if-splits)
qed auto

lemma some-lift-closure-pred-closure:
fixes t defines s ≡ Some t
assumes Some t ∈ lift-closure S
shows t ∈ pred-closure S using assms(2)
unfolding assms(1)[symmetric] using assms(1)
proof (induct arbitrary: t)
case (step s u)
from step(5) obtain v w where [simp]: s = Some v u = Some w by auto
show ?case using step by (auto split: if-splits)
qed auto

lemma pred-closure-lift-closure:
pred-closure S = the ` (lift-closure S - {None}) (is ?LS = ?RS)
proof
{fix s assume s ∈ ?LS
from pred-closure-to-some-lift-closure[OF this] have s ∈ ?RS
by (metis DiffI empty_iff image_iff insertE option.distinct(1) option.sel)}
then show ?LS ⊆ ?RS by blast
next
{fix s assume ass: s ∈ ?RS
then have Some s ∈ lift-closure S
using option.collapse by fastforce
from some-lift-closure-pred-closure[OF this] have s ∈ ?LS
using option.collapse by auto}
then show ?RS ⊆ ?LS by blast
qed

```

```

lemma finite-S-finite-closure [simp, intro]:
  finite S  $\implies$  finite (pred-closure S)
  using finite-subset[of Some ` pred-closure S lift-closure S]
  using pred-closure-to-some-lift-closure lift-fun.finite-S-finite-closure[of Some ` S]
  by (auto simp add: pred-closure-lift-closure set-closure-partial-operator-axioms)

lemma closure-mono:
  assumes S  $\subseteq$  T
  shows pred-closure S  $\subseteq$  pred-closure T
  proof -
    have Some ` S  $\subseteq$  Some ` T using assms by auto
    from lift-fun.closure-mono[OF this] show ?thesis
    using pred-closure-to-some-lift-closure some-lift-closure-pred-closure set-closure-partial-operator-axioms
    by fastforce
  qed

lemma pred-closure-empty [simp]:
  pred-closure {} = {}
  using pred-closure-lift-closure by fastforce
end

locale semilattice-closure-partial-operator =
  cl: set-closure-partial-operator P f for P and f :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a +
  fixes less-eq e
  assumes neut-elm:  $\bigwedge x. f e x = x$ 
  and neut-pred:  $\bigwedge x. P e x$ 
  and neut-less:  $\bigwedge x. \text{less-eq } e x$ 
  and pred-less:  $\bigwedge x y z. \text{less-eq } x y \implies \text{less-eq } z y \implies P x z$ 
  and sup-l:  $\bigwedge x y. P x y \implies \text{less-eq } x (f x y)$ 
  and sup-r:  $\bigwedge x y. P x y \implies \text{less-eq } y (f x y)$ 
  and upper-bound:  $\bigwedge x y z. \text{less-eq } x z \implies \text{less-eq } y z \implies \text{less-eq } (f x y) z$ 
  and trans:  $\bigwedge x y z. \text{less-eq } x y \implies \text{less-eq } y z \implies \text{less-eq } x z$ 
  and anti-sym:  $\bigwedge x y. \text{less-eq } x y \implies \text{less-eq } y x \implies x = y$ 
begin

abbreviation lifted-less-eq  $\equiv$  lift-less-eq-total less-eq
abbreviation lifted-fun  $\equiv$  lift-f-total P f

lemma lift-less-eq-None [simp]:
  lifted-less-eq None y  $\longleftrightarrow$  y = None
  by (cases y) auto

lemma lift-less-eq-neut-elm [simp]:
  lifted-fun (Some e) s = s
  using neut-elm neut-pred by (cases s) auto

lemma lift-less-eq-neut-less [simp]:
  lifted-less-eq (Some e) s  $\longleftrightarrow$  True

```

```

using neut-less by (cases s) auto

lemma lift-less-eq-sup-l [simp]:
lifted-less-eq x (lifted-fun x y)  $\longleftrightarrow$  True
using sup-l by (cases x; cases y) auto

lemma lift-less-eq-sup-r [simp]:
lifted-less-eq y (lifted-fun x y)  $\longleftrightarrow$  True
using sup-r by (cases x; cases y) auto

lemma lifted-less-eq-trans [trans]:
lifted-less-eq x y  $\Longrightarrow$  lifted-less-eq y z  $\Longrightarrow$  lifted-less-eq x z
using trans by (auto elim!: lift-less-eq-total.elims)

lemma lifted-less-eq-anti-sym [trans]:
lifted-less-eq x y  $\Longrightarrow$  lifted-less-eq y x  $\Longrightarrow$  x = y
using anti-sym by (auto elim!: lift-less-eq-total.elims)

lemma lifted-less-eq-upper:
lifted-less-eq x z  $\Longrightarrow$  lifted-less-eq y z  $\Longrightarrow$  lifted-less-eq (lifted-fun x y) z
using upper-bound pred-less by (auto elim!: lift-less-eq-total.elims)

lemma semilattice-closure-operator-axioms:
semilattice-closure-operator-axioms (lift-f-total P f) (lift-less-eq-total less-eq) (Some e)
using lifted-less-eq-upper lifted-less-eq-trans lifted-less-eq-anti-sym
by unfold-locales (auto elim!: lift-f-total.cases)

end

sublocale semilattice-closure-partial-operator  $\subseteq$  lift-ord: semilattice-closure-operator
lift-f-total P f lift-less-eq-total less-eq Some e
by (simp add: cl.lift-set-closure-operator semilattice-closure-operator.intro semi-
lattice-closure-operator-axioms)

context semilattice-closure-partial-operator
begin

abbreviation supremum  $\equiv$  lift-ord.supremum
abbreviation smaller-subset  $\equiv$  lift-ord.smaller-subset

lemma supremum-impl:
assumes supremum (set (map Some ss)) = Some t
shows foldr f ss e = t using assms
proof (induct ss arbitrary: t)
case (Cons a ss)
then show ?case

```

```

by auto (metis cl.lift-f-totalE lift-f-total.simps(3) option.distinct(1) option.sel)

qed auto

lemma supremum-smaller-exists-unique:
assumes finite S
shows  $\exists! p. \text{supremum} (\text{smaller-subset} (\text{Some } t) (\text{Some} ` S)) = \text{Some } p$  using
assms
proof (induct)
case (insert x F) show ?case
proof (cases lifted-less-eq (Some x) (Some t))
case True
obtain p where wit:  $\text{supremum} (\text{smaller-subset} (\text{Some } t) (\text{Some} ` F)) = \text{Some } p$ 
using insert by auto
then have pred: less-eq p t less-eq x t using True insert(1)
using lift-ord.supremum-smaller-subset
by auto (metis finite-imageI lift-less-eq-total.simps(3))
show ?thesis using insert pred wit pred-less
by auto
next
case False then show ?thesis
using insert by auto
qed
qed auto

lemma supremum-neut-or-in-closure:
assumes finite S
shows  $\text{the} (\text{supremum} (\text{smaller-subset} (\text{Some } t) (\text{Some} ` S))) \in \{e\} \cup \text{cl.pred-closure } S$ 
using supremum-smaller-exists-unique[OF assms]
using lift-ord.supremum-in-smaller-closure[of Some ` S Some t] assms
by auto (metis cl.some-lift-closure-pred-closure option.sel)

end

fun closure-impl where
closure-impl f [] = []
| closure-impl f (x # S) = (let cS = closure-impl f S in remdups (x # cS @ map
(f x) cS))

lemma (in set-closure-operator) closure-impl [simp]:
set (closure-impl f S) = closure (set S)
by (induct S, auto simp: closure-insert Let-def)

lemma (in set-closure-partial-operator) closure-impl [simp]:
set (map the (removeAll None (closure-impl (lift-f-total P f) (map Some S)))) =
pred-closure (set S)

```

```

using lift-set-closure-oprator set-closure-oprator.closure-impl pred-closure-lift-closure
by auto

end

```

3 Rewriting

```

theory Rewriting
imports Regular-Tree-Relations.Term-Context
Regular-Tree-Relations.Ground-Terms
Utils
begin

```

3.1 Type definitions and rewrite relation definitions

```

type-synonym 'f sig = ('f × nat) set
type-synonym ('f, 'v) rule = ('f, 'v) term × ('f, 'v) term
type-synonym ('f, 'v) trs = ('f, 'v) rule set

```

```

definition sig-step F R = {(s, t). funas-term s ⊆ F ∧ funas-term t ⊆ F ∧ (s, t)
                           ∈ R}

```

```

inductive-set rstep :: - ⇒ ('f, 'v) term rel for R :: ('f, 'v) trs
where
  rstep: ∀ C σ l r. (l, r) ∈ R ⇒ s = C⟨l · σ⟩ ⇒ t = C⟨r · σ⟩ ⇒ (s, t) ∈
  rstep R

```

```

definition rstep-r-p-s :: ('f, 'v) trs ⇒ ('f, 'v) rule ⇒ pos ⇒ ('f, 'v) subst ⇒ ('f,
'v) trs where
  rstep-r-p-s R r p σ = {(s, t). p ∈ poss s ∧ p ∈ poss t ∧ r ∈ R ∧ ctxt-at-pos s p
  = ctxt-at-pos t p ∧
    s[p ← (fst r · σ)] = s ∧ t[p ← (snd r · σ)] = t}

```

Rewriting steps below the root position.

```

definition nrrstep :: ('f, 'v) trs ⇒ ('f, 'v) trs where
  nrrstep R = {(s, t). ∃ r i ps σ. (s, t) ∈ rstep-r-p-s R r (i#ps) σ}

```

Rewriting step at the root position.

```

definition rrstep :: ('f, 'v) trs ⇒ ('f, 'v) trs where
  rrstep R = {(s, t). ∃ r σ. (s, t) ∈ rstep-r-p-s R r [] σ}

```

the parallel rewrite relation

```

inductive-set par-rstep :: ('f, 'v) trs ⇒ ('f, 'v) trs for R :: ('f, 'v) trs
where
  root-step[intro]: (s, t) ∈ R ⇒ (s · σ, t · σ) ∈ par-rstep R
  | par-step-fun[intro]: [i. i < length ts ⇒ (ss ! i, ts ! i) ∈ par-rstep R] ⇒
    length ss = length ts
    ⇒ (Fun f ss, Fun f ts) ∈ par-rstep R
  | par-step-var[intro]: (Var x, Var x) ∈ par-rstep R

```

3.2 Ground variants connecting to FORT

```
definition grrstep :: ('f, 'v) trs  $\Rightarrow$  'f gterm rel where
  grrstep R = inv-image (rrstep R) term-of-gterm
```

```
definition gnrrstep :: ('f, 'v) trs  $\Rightarrow$  'f gterm rel where
  gnrrstep R = inv-image (nrrstep R) term-of-gterm
```

```
definition grstep :: ('f, 'v) trs  $\Rightarrow$  'f gterm rel where
  grstep R = inv-image (rstep R) term-of-gterm
```

```
definition gpar-rstep :: ('f, 'v) trs  $\Rightarrow$  'f gterm rel where
  gpar-rstep R = inv-image (par-rstep R) term-of-gterm
```

An alternative induction scheme that treats the rule-case, the substitution-case, and the context-case separately.

```
lemma rstep-induct [consumes 1, case-names rule subst ctxt]:
  assumes (s, t)  $\in$  rstep R
  and rule:  $\bigwedge l\ r.\ (l, r) \in R \implies P\ l\ r$ 
  and subst:  $\bigwedge s\ t\ \sigma.\ P\ s\ t \implies P\ (s \cdot \sigma)\ (t \cdot \sigma)$ 
  and ctxt:  $\bigwedge s\ t\ C.\ P\ s\ t \implies P\ (C\langle s \rangle)\ (C\langle t \rangle)$ 
  shows P s t
  using assms by (induct) auto
```

```
lemmas rstepI = rstep.intros [intro]
```

```
lemmas rstepE = rstep.cases [elim]
```

```
lemma rstep ctxt [intro]: (s, t)  $\in$  rstep R  $\implies$  (C⟨s⟩, C⟨t⟩)  $\in$  rstep R
  by (force simp flip: ctxt ctxt-compose)
```

```
lemma rstep-rule [intro]: (l, r)  $\in$  R  $\implies$  (l, r)  $\in$  rstep R
  using rstep.rstep [where C =  $\square$  and  $\sigma$  = Var and R = R] by simp
```

```
lemma rstep-subst [intro]: (s, t)  $\in$  rstep R  $\implies$  (s ·  $\sigma$ , t ·  $\sigma$ )  $\in$  rstep R
  by (force simp flip: subst-subst-compose)
```

```
lemma nrrstep-def':
  nrrstep R = {(s, t).  $\exists l\ r\ C\ \sigma.\ (l, r) \in R \wedge C \neq \square \wedge s = C\langle l \cdot \sigma \rangle \wedge t = C\langle r \cdot \sigma \rangle}$ 
  (is ?Ls = ?Rs)
proof
  show ?Ls  $\subseteq$  ?Rs
  proof (rule subrelI)
    fix s t assume (s, t)  $\in$  ?Ls
    then obtain l r i ps σ where step: (s, t)  $\in$  rstep-r-p-s R (l, r) (i # ps) σ
      unfolding nrrstep-def by best
    let ?C = ctxt-at-pos s (i # ps)
    from step have i # ps  $\in$  poss s and (l, r)  $\in$  R and s = ?C⟨l · σ⟩ and t = ?C⟨r · σ⟩
```

```

unfolding rstep-r-p-s-def Let-def by (auto simp flip: replace-term-at-replace-at-conv)
moreover from <i # ps ∈ poss s> have ?C ≠ □ by (induct s) auto
ultimately show (s, t) ∈ ?Rs by auto
qed
next
show ?Rs ⊆ ?Ls
proof (rule subrelI)
fix s t assume (s, t) ∈ ?Rs
then obtain l r C σ where in-R: (l, r) ∈ R and C ≠ □
and s: s = C⟨l·σ⟩ and t: t = C⟨r·σ⟩ by auto
from <C ≠ □> obtain i p where ip: hole-pos C = i # p by (induct C) auto
have i # p ∈ poss s unfolding s ip[symmetric] by simp
then have C: C = ctxt-at-pos s (i # p)
unfolding s ip[symmetric] by simp
from <i # p ∈ poss s> in-R s t have (s, t) ∈ rstep-r-p-s R (l, r) (i # p) σ
unfolding rstep-r-p-s-def C[symmetric] ip[symmetric] by simp
then show (s, t) ∈ nrstep R unfolding nrstep-def by best
qed
qed

```

lemma rrstep-def': $rrstep R = \{(s, t). \exists l r \sigma. (l, r) \in R \wedge s = l \cdot \sigma \wedge t = r \cdot \sigma\}$
by (auto simp: rrstep-def rstep-r-p-s-def)

lemma rstep-imp-C-s-r:
assumes (s,t) ∈ rstep R
shows $\exists C \sigma l r. (l, r) \in R \wedge s = C \langle l \cdot \sigma \rangle \wedge t = C \langle r \cdot \sigma \rangle$ **using** assms
by (induct) auto

lemma rhs-wf:
assumes R: (l, r) ∈ R and funas-trs R ⊆ F
shows funas-term r ⊆ F
using assms **by** (force simp: funas-trs-def)

abbreviation linear-sys $\mathcal{R} \equiv (\forall (l, r) \in \mathcal{R}. \text{linear-term } l \wedge \text{linear-term } r)$
abbreviation const-subt c $\equiv \lambda x. \text{Fun } c []$

end

4 Primitive constructions

```

theory LV-to-GTT
imports Regular-Tree-Relations.Pair-Automaton
Bot-Terms
Rewriting
begin

```

4.1 Recognizing subterms of linear terms

```

abbreviation ffunas-terms where
  ffunas-terms R ≡ | $\bigcup$ | (ffunas-term |` R)

definition states R ≡ {t⊥ | s t. s ∈ R ∧ s ⊇ t}

lemma states-conv:
  states R = term-to-bot-term ` ( $\bigcup$  s ∈ R. subterms s)
  unfolding states-def set-all-subteq-subterms
  by auto

lemma finite-states:
  assumes finite R shows finite (states R)
  proof -
    have conv: states R = term-to-bot-term ` ( $\bigcup$  s ∈ R. {t | t. s ⊇ t})
    unfolding states-def by auto
    from assms have finite ( $\bigcup$  s ∈ R. {t | t. s ⊇ t})
      by (intro finite-UN-I2 finite-imageI) (simp add: finite-subterms)+
    then show ?thesis using conv by auto
  qed

lemma root-bot-diff:
  root-bot ` (R - {Bot}) = (root-bot ` R) - {None}
  using root-bot.elims by auto

lemma root-bot-states-root-subterms:
  the ` (root-bot ` (states R - {Bot})) = the ` (root ` ( $\bigcup$  s ∈ R. subterms s) - {None})
  unfolding states-conv root-bot-diff
  unfolding image-comp
  by simp

context
includes fset.lifting
begin

lift-definition fstates :: ('f, 'v) term fset ⇒ 'f bot-term fset is states
  by (simp add: finite-states)

lift-definition fsubterms :: ('f, 'v) term ⇒ ('f, 'v) term fset is subterms
  by (simp add: finite-subterms-fun)

lemmas fsubterms [code] = subterms.simps[Transfer.transferred]

lift-definition ffunas-trs :: (('f, 'v) term × ('f, 'v) term) fset ⇒ ('f × nat) fset
  is funas-trs
  by (simp add: finite-funas-trs)

lemma fstates-def':

```

```

 $t \in fstates R \longleftrightarrow (\exists s u. s \in R \wedge s \sqsupseteq u \wedge u^\perp = t)$ 
by transfer (auto simp: states-def)

lemma fstates-fmemberE [elim!]:
assumes  $t \in fstates R$ 
obtains  $s u$  where  $s \in R \wedge s \sqsupseteq u \wedge u^\perp = t$ 
using assms unfolding fstates-def'
by blast

lemma fstates-fmemberI [intro]:
 $s \in R \implies s \sqsupseteq u \implies u^\perp \in fstates R$ 
unfolding fstates-def' by blast

lemmas froot-bot-states-root-subterms = root-bot-states-root-subterms[Transfer.transferred]
lemmas root-fsubterms-funas-term-fset = root-subterms-funas-term-set[Transfer.transferred]

lemma fstates[code]:
 $fstates R = term\text{-}to\text{-}bot\text{-}term \upharpoonright (\bigcup (fsubterms \upharpoonright R))$ 
by transfer (auto simp: states-conv)

end

definition ta-rule-sig where
 $ta\text{-rule}\text{-sig} = (\lambda r. (r\text{-root } r, length (r\text{-lhs\text{-}states } r)))$ 

primrec term-to-ta-rule where
 $term\text{-to\text{-}ta\text{-}rule} (BFun f ts) = TA\text{-rule } f ts (BFun f ts)$ 

lemma ta-rule-sig-term-to-ta-rule-root:
 $t \neq Bot \implies ta\text{-rule}\text{-sig} (term\text{-to\text{-}ta\text{-}rule} t) = the (root\text{-}bot t)$ 
by (cases t) (auto simp: ta-rule-sig-def)

lemma ta-rule-sig-term-to-ta-rule-root-set:
assumes  $Bot \notin R$ 
shows  $ta\text{-rule}\text{-sig} \upharpoonright (term\text{-to\text{-}ta\text{-}rule} \upharpoonright R) = the \upharpoonright (root\text{-}bot \upharpoonright R)$ 
proof –
  {fix x assume  $x \in R$  then have  $ta\text{-rule}\text{-sig} (term\text{-to\text{-}ta\text{-}rule} x) = the (root\text{-}bot x)$ 
    using ta-rule-sig-term-to-ta-rule-root[of x] assms
    by auto}
  then show ?thesis
  by (force simp: fimage-iff)
qed

definition pattern-automaton-rules where
 $pattern\text{-}automaton\text{-}rules \mathcal{F} R =$ 
 $(let states = (fstates R) - \{Bot\} in$ 
 $term\text{-to\text{-}ta\text{-}rule} \upharpoonright states \uplus (\lambda (f, n). TA\text{-rule } f (replicate n Bot) \upharpoonright \mathcal{F})$ 

```

```

lemma pattern-automaton-rules-BotD:
  assumes TA-rule f ss Bot |∈| pattern-automaton-rules  $\mathcal{F}$  R
  shows TA-rule f ss Bot |∈|  $(\lambda (f, n). \text{TA-rule } f (\text{replicate } n \text{ Bot}) \text{ Bot}) \upharpoonright \mathcal{F}$ 
  using assms
  by (auto simp: pattern-automaton-rules-def)
    (metis ta-rule.inject term-to-bot-term.elims term-to-ta-rule.simps)

lemma pattern-automaton-rules-FunD:
  assumes TA-rule f ss (BFun g ts) |∈| pattern-automaton-rules  $\mathcal{F}$  R
  shows g = f  $\wedge$  ts = ss  $\wedge$ 
    TA-rule f ss (BFun g ts) |∈| term-to-ta-rule |`| ((fstates R) - {Bot}) using
  assms
  apply (auto simp: pattern-automaton-rules-def)
  apply (metis bot-term.exhaust ta-rule.inject term-to-ta-rule.simps)
  by (metis (no-types, lifting) ta-rule.inject term-to-bot-term.elims term-to-ta-rule.simps)

definition pattern-automaton where
  pattern-automaton  $\mathcal{F}$  R = TA (pattern-automaton-rules  $\mathcal{F}$  R) {||}

lemma ta-sig-pattern-automaton [simp]:
  ta-sig (pattern-automaton  $\mathcal{F}$  R) =  $\mathcal{F} \cup \text{ffunas-terms } R$ 
proof -
  let ?r = ta-rule-sig
  have *:Bot |notin| (fstates R) - {Bot} by simp
  have f:  $\mathcal{F} = ?r \upharpoonright ((\lambda (f, n). \text{TA-rule } f (\text{replicate } n \text{ Bot}) \text{ Bot}) \upharpoonright \mathcal{F})$ 
    by (auto simp: image-iff Bex-def ta-rule-sig-def split!: prod.splits)
  moreover have ffunas-terms R = ?r |`| (term-to-ta-rule |`| ((fstates R) - {Bot}))
    unfolding ta-rule-sig-term-to-ta-rule-root-set[OF *]
    unfolding froot-bot-states-root-subterms root-fsubterms-ffunas-term-fset
    by simp
  ultimately show ?thesis unfolding pattern-automaton-def ta-sig-def
    unfolding ta-rule-sig-def pattern-automaton-rules-def
    by (simp add: fimage-funion comp-def) blast
  qed

lemma terms-reach-Bot:
  assumes ffunas-gterm t |subseteq|  $\mathcal{F}$ 
  shows Bot |∈| ta-der (pattern-automaton  $\mathcal{F}$  R) (term-of-gterm t) using assms
proof (induct t)
  case (GFun f ts)
  have [simp]:  $s \in \text{set } ts \implies \text{ffunas-gterm } s |subseteq| \mathcal{F}$  for s using GFun(2)
    using in-set-idx by fastforce
  from GFun show ?case
  by (auto simp: pattern-automaton-def pattern-automaton-rules-def rev-fimage-eqI
    intro!: exI[of - replicate (length ts) Bot])
  qed

```

```

lemma pattern-automaton-reach-smaller-term:
  assumes  $l \in R$   $l \sqsupseteq s$   $s^\perp \leq_b (\text{term-of-gterm } t)^\perp$   $\text{ffunas-gterm } t \subseteq \mathcal{F}$ 
  shows  $s^\perp \in \text{ta-der}(\text{pattern-automaton } \mathcal{F} R)$   $(\text{term-of-gterm } t)$  using assms(2-)
  proof (induct t arbitrary: s)
    case (GFun f ts) show ?case
    proof (cases s)
      case (Var x)
      then show ?thesis using terms-reach-Bot[OF GFun(4)]
      by (auto simp del: ta-der-Fun)
    next
      case [simp]: (Fun g ss)
      let ?ss = map term-to-bot-term ss
      have [simp]:  $s \in \text{set } ts \implies \text{ffunas-gterm } s \subseteq \mathcal{F}$  for s using GFun(4)
        using in-set-idx by fastforce
      from GFun(3) have  $s: g = f$   $\text{length } ss = \text{length } ts$  by auto
      from GFun(2) s(2) assms(1) have rule: TA-rule f ?ss (BFun f ?ss)  $\in$  pattern-automaton-rules  $\mathcal{F} R$ 
        by (auto simp: s(1) pattern-automaton-rules-def image-iff Bex-def)
      {fix i assume bound:  $i < \text{length } ts$ 
       then have sub:  $l \sqsupseteq ss ! i$  using GFun(2) arg-subteq[OF nth-mem, of i ss f]
         unfolding Fun s(1) using s(2) by (metis subterm.order.trans)
       have ss !  $i^\perp \leq_b (\text{term-of-gterm } (ts ! i)::('a, 'c) \text{ term})^\perp$  using GFun(3) bound
       s(2)
         by (auto simp: s elim!: bless-eq.cases)
       from GFun(1)[OF nth-mem sub this] bound
       have ss !  $i^\perp \in \text{ta-der}(\text{pattern-automaton } \mathcal{F} R)$   $(\text{term-of-gterm } (ts ! i))$ 
         by auto}
       then show ?thesis using GFun(2-) s(2) rule
         by (auto simp: s(1) pattern-automaton-def intro!: exI[of - ?ss] exI[of - BFun f ?ss])
      qed
    qed

lemma bot-term-of-gterm-conv:
   $\text{term-of-gterm } s^\perp = \text{term-of-gterm } s^\perp$ 
  by (induct s) auto

lemma pattern-automaton-ground-instance-reach:
  assumes  $l \in R$   $l \cdot \sigma = (\text{term-of-gterm } t) \text{ffunas-gterm } t \subseteq \mathcal{F}$ 
  shows  $l^\perp \in \text{ta-der}(\text{pattern-automaton } \mathcal{F} R)$   $(\text{term-of-gterm } t)$ 
  proof -
    let ?t = ( $\text{term-of-gterm } t :: ('a, 'a \text{ bot-term}) \text{ term}$ )
    from instance-to-bless-eq[OF assms(2)] have sm:  $l^\perp \leq_b ?t^\perp$ 
      using bot-term-of-gterm-conv by metis
    show ?thesis using pattern-automaton-reach-smaller-term[OF assms(1) - sm]
      assms(3-)
      by auto
  qed

```

```

lemma pattern-automaton-reach-smallest-term:
  assumes  $l^\perp \in ta\text{-der}(\text{pattern-automaton } \mathcal{F} R)$   $t$  ground  $t$ 
  shows  $l^\perp \leq_b t^\perp$  using assms
proof (induct  $t$  arbitrary:  $l$ )
  case (Fun  $f ts$ ) note IH = this show ?case
  proof (cases  $l$ )
    case (Fun  $g ss$ )
    let ?ss = map term-to-bot-term ss
    from IH(2) have rule:  $g = f \text{ length } ss = \text{length } ts$ 
      TA-rule  $f ?ss (B\text{Fun } f ?ss) \in \text{rules}(\text{pattern-automaton } \mathcal{F} R)$ 
      by (auto simp: Fun pattern-automaton-def dest: pattern-automaton-rules-FunD)
    fix  $i$  assume  $i < \text{length } ts$ 
    then have  $ss ! i^\perp \leq_b ts ! i^\perp$  using IH(2, 3) rule(2)
    by (intro IH(1)) (auto simp: Fun pattern-automaton-def dest: pattern-automaton-rules-FunD)
    then show ?thesis using rule(2)
    by (auto simp: Fun rule(1))
  qed auto
qed auto

```

4.2 Recognizing root step relation of LV-TRSs

```

definition lv-trs :: ('f, 'v) trs ⇒ bool where
  lv-trs  $R \equiv \forall (l, r) \in R. \text{linear-term } l \wedge \text{linear-term } r \wedge (\text{vars-term } l \cap \text{vars-term } r = \{\})$ 

```

```

lemma subst-unification:
  assumes vars-term  $s \cap \text{vars-term } t = \{\}$ 
  obtains  $\mu$  where  $s \cdot \sigma = s \cdot \mu$   $t \cdot \tau = t \cdot \mu$ 
  using assms
  by (auto intro!: that[of  $\lambda x. \text{if } x \in \text{vars-term } s \text{ then } \sigma x \text{ else } \tau x$ ] simp: term-subst-eq-conv)

```

```

lemma lv-trs-subst-unification:
  assumes lv-trs  $R (l, r) \in R$   $s = l \cdot \sigma$   $t = r \cdot \tau$ 
  obtains  $\mu$  where  $s = l \cdot \mu \wedge t = r \cdot \mu$ 
  using assms subst-unification[of  $l r \sigma \tau$ ]
  unfolding lv-trs-def
  by (force split!: prod.splits)

```

```

definition Relf where
  Relf  $R = \text{map-both term-to-bot-term} \upharpoonright R$ 

```

```

definition root-pair-automaton where
  root-pair-automaton  $\mathcal{F} R = (\text{pattern-automaton } \mathcal{F} (\text{fst} \upharpoonright R),$ 
   $\text{pattern-automaton } \mathcal{F} (\text{snd} \upharpoonright R))$ 

```

```

definition agtt-grrstep where
  agtt-grrstep  $\mathcal{R} \mathcal{F} = \text{pair-at-to-agtt}'(\text{root-pair-automaton } \mathcal{F} \mathcal{R}) (\text{Rel}_f \mathcal{R})$ 

```

```

lemma agtt-grrstep-eps-trancl [simp]:
  (eps (fst (agtt-grrstep R F)))+ = eps (fst (agtt-grrstep R F))
  (eps (snd (agtt-grrstep R F))) = {||}
  by (auto simp add: agtt-grrstep-def pair-at-to-agtt'-def
    pair-at-to-agtt-def Let-def root-pair-automaton-def pattern-automaton-def
    fmap-states-ta-def intro!: frelcomp-empty-ftrancl-simp)

lemma root-pair-automaton-grrstep:
  fixes R :: ('f, 'v) rule fset
  assumes lv-trs (fset R) ffunas-trs R ⊆ F
  shows pair-at-lang (root-pair-automaton F R) (Rel_f R) = Restr (grrstep (fset R)) (T_G (fset F)) (is ?Ls = ?Rs)
  proof
    let ?t-o-g = term-of-gterm :: 'f gterm ⇒ ('f, 'v) Term.term
    have [simp]: F ∪ ((ffunas-term ∘ fst) ` R) = F
      F ∪ ((ffunas-term ∘ snd) ` R) = F using assms(2)
    by (force simp: less-eq-fset.rep-eq ffunas-trs.rep-eq funas-trs-def ffunas-term.rep-eq
      ffUnion.rep-eq)+
    {fix s t assume (s, t) ∈ ?Ls
      from pair-at-lang[OF this] obtain p q where st: (q, p) ∈ Rel_f R
        q ∈ gta-der (fst (root-pair-automaton F R)) s p ∈ gta-der (snd (root-pair-automaton F R)) t
        by blast
      from st(1) obtain l r where tm: q = l⊥ p = r⊥ (l, r) ∈ R unfolding
        Rel_f-def
        using assms(1) by auto
      have sm: l⊥ ≤b (?t-o-g s)⊥ r⊥ ≤b (?t-o-g t)⊥
        using pattern-automaton-reach-smallest-term[of l F fst ` R term-of-gterm s]
        using pattern-automaton-reach-smallest-term[of r F snd ` R term-of-gterm
          t]
        using st(2, 3) tm(3) unfolding tm
      by (auto simp: gta-der-def root-pair-automaton-def) (smt (verit) bot-term-of-gterm-conv)+
      have linear-term l linear-term r using tm(3) assms(1)
      by (auto simp: lv-trs-def)
      then obtain σ τ where l · σ = ?t-o-g s r · τ = ?t-o-g t using sm
        by (auto dest!: bless-eq-to-instance)
      then obtain μ where subst: l · μ = ?t-o-g s r · μ = ?t-o-g t
        using lv-trs-subst-unification[OF assms(1) tm(3), of ?t-o-g s σ ?t-o-g t τ]
        by metis
      moreover have s ∈ T_G (fset F) t ∈ T_G (fset F) using st(2-) assms
        using ta-der-gterm-sig[of q pattern-automaton F (fst ` R) s]
        using ta-der-gterm-sig[of p pattern-automaton F (snd ` R) t]
      by (auto simp: gta-der-def root-pair-automaton-def T_G-equivalent-def less-eq-fset.rep-eq
        ffunas-gterm.rep-eq)
      ultimately have (s, t) ∈ ?Rs using tm(3)
        by (auto simp: grrstep-def rrstep-def') metis}
      then show ?Ls ⊆ ?Rs by auto
    next
    let ?t-o-g = term-of-gterm :: 'f gterm ⇒ ('f, 'v) Term.term

```

```

{fix s t assume (s, t) ∈ ?Rs
  then obtain σ l r where st: (l, r) |∈ R l · σ = ?t-o-g s r · σ = ?t-o-g t s ∈
    ℬ(G (fset ℬ)) t ∈ ℬ(G (fset ℬ))
    by (auto simp: grrstep-def rrstep-def')
  have funas: ffunas-gterm s |⊆| ℬ ffunas-gterm t |⊆| ℬ using st(4, 5)
    by (auto simp: ℬG-equivalent-def)
    (metis ffunas-gterm.rep-eq subsetD)+
  from st(1) have (l⊥, r⊥) |∈| RelF R unfolding RelF-def using assms(1)
    by (auto simp: fimage-iff fBex-def)
  then have (s, t) ∈ ?Ls using st
    using pattern-automaton-ground-instance-reach[of l fst |` R σ, OF -- funas(1)]
    using pattern-automaton-ground-instance-reach[of r snd |` R σ, OF -- fu-
nas(2)]
    by (auto simp: ℬG-equivalent-def image-iff Bex-def root-pair-automaton-def
      gta-der-def pair-at-lang-def)}
  then show ?Rs ⊆ ?Ls by auto
qed

lemma agtt-grrstep:
  fixes R :: ('f, 'v) rule fset
  assumes lv-trs (fset R) ffunas-trs R |⊆| ℬ
  shows agtt-lang (agtt-grrstep R ℬ) = Restr (grrstep (fset R)) (ℬG (fset ℬ))
  using root-pair-automaton-grrstep[OF assms] unfolding pair-at-agtt-cost agtt-grrstep-def
  by simp

lemma root-pair-automaton-grrstep-set:
  fixes R :: ('f, 'v) rule set
  assumes finite R finite ℬ lv-trs R ffunas-trs R ⊆ ℬ
  shows pair-at-lang (root-pair-automaton (Abs-fset ℬ) (Abs-fset R)) (RelF (Abs-fset
    R)) = Restr (grrstep R) (ℬG ℬ)
  proof -
    from assms(1, 2, 4) have ffunas-trs (Abs-fset R) |⊆| Abs-fset ℬ
      by (auto simp add: Abs-fset-inverse ffunas-trs.rep-eq subset-eq)
    from root-pair-automaton-grrstep[OF - this] assms
    show ?thesis
      by (auto simp: Abs-fset-inverse)
  qed

lemma agtt-grrstep-set:
  fixes R :: ('f, 'v) rule set
  assumes finite R finite ℬ lv-trs R ffunas-trs R ⊆ ℬ
  shows agtt-lang (agtt-grrstep (Abs-fset R) (Abs-fset ℬ)) = Restr (grrstep R) (ℬG
    ℬ)
  using root-pair-automaton-grrstep-set[OF assms] unfolding pair-at-agtt-cost agtt-grrstep-def
  by simp

end

```

```

theory NF
imports
  Saturation
  Bot-Terms
  Regular-Tree-Relations. Tree-Automata
begin

```

4.3 Recognizing normal forms of left linear TRSs

```

interpretation lift-total: semilattice-closure-partial-operator λ x y. (x, y) ∈ mergeP
(↑) λ x y. x ≤b y Bot
  apply unfold-locales apply (auto simp: merge-refl merge-symmetric merge-terms-assoc
merge-terms-idem merge-bot-args-bless-eq-merge)
  using merge-dist apply blast
  using mergeP-ass apply blast
  using merge-terms-commutative apply blast
  apply (metis bless-eq-mergeP bless-eq-trans merge-bot-args-bless-eq-merge merge-dist
merge-symmetric merge-terms-commutative)
  apply (metis merge-bot-args-bless-eq-merge merge-symmetric merge-terms-commutative)
  using bless-eq-closed-under-supremum bless-eq-trans bless-eq-anti-sym
  by blast+
abbreviation psubt-lhs-bot R ≡ {t⊥ | s t. s ∈ R ∧ s ▷ t}
abbreviation closure S ≡ lift-total.cl.pred-closure S

definition states where
  states R = insert Bot (closure (psubt-lhs-bot R))

lemma psubt-mono:
  R ⊆ S ==> psubt-lhs-bot R ⊆ psubt-lhs-bot S by auto

lemma states-mono:
  R ⊆ S ==> states R ⊆ states S
  unfolding states-def using lift-total.cl.closure-mono[OF psubt-mono[of R S]]
  by auto

lemma finite-lhs-subt [simp, intro]:
  assumes finite R
  shows finite (psubt-lhs-bot R)
proof -
  have conv: psubt-lhs-bot R = term-to-bot-term ` {t | s t . s ∈ R ∧ s ▷ t} by auto
  from assms have finite {t | s t . s ∈ R ∧ s ▷ t}
    by (simp add: finite-strict-subterms)
  then show ?thesis using conv by auto
qed

lemma states-ref-closure:
  states R ⊆ insert Bot (closure (psubt-lhs-bot R))
  unfolding states-def by auto

```

```

lemma finite-R-finite-states [simp, intro]:
  finite R  $\implies$  finite (states R)
  using finite-lhs-subt states-ref-closure
  using lift-total.cl.finite-S-finite-closure finite-subset
  by fastforce

abbreviation lift-sup-small s S  $\equiv$  lift-total.supremum (lift-total.smaller-subset (Some s) (Some ' S))
abbreviation bound-max s S  $\equiv$  the (lift-sup-small s S)

lemma bound-max-state-set:
  assumes finite R
  shows bound-max t (psubt-lhs-bot R)  $\in$  states R
  using lift-total.supremum-neut-or-in-closure[OF finite-lhs-subt[OF assms], of t]
  unfolding states-def by auto

context
includes fset.lifting
begin
lift-definition fstates :: ('a, 'b) term fset  $\Rightarrow$  'a bot-term fset is states
  by simp

lemma bound-max-state-fset:
  bound-max t (psubt-lhs-bot (fset R))  $| \in |$  fstates R
  using bound-max-state-set[of fset R t]
  using fstates.rep-eq by fastforce

end

definition nf-rules where
  nf-rules R F = { | TA-rule f qs q | f qs q. (f, length qs)  $| \in |$  F  $\wedge$  fset-of-list qs  $| \subseteq |$  fstates R  $\wedge$ 
     $\neg(\exists l | \in | R. l^\perp \leq_b BFun f qs) \wedge q = bound\text{-}max (BFun f qs) (psubt\text{-}lhs\text{-}bot (fset R))|}$ 

lemma nf-rules-fmember:
  TA-rule f qs q  $| \in |$  nf-rules R F  $\longleftrightarrow$  (f, length qs)  $| \in |$  F  $\wedge$  fset-of-list qs  $| \subseteq |$  fstates R  $\wedge$ 
   $\neg(\exists l | \in | R. l^\perp \leq_b BFun f qs) \wedge q = bound\text{-}max (BFun f qs) (psubt\text{-}lhs\text{-}bot (fset R))$ 
proof -
  let ?subP =  $\lambda n. fset\text{-}of\text{-}list qs | \subseteq | fstates R \wedge length qs = n$ 
  let ?sub =  $\lambda n. Collect (?subP n)$ 
  have *: finite (?sub n) for n
    using finite-lists-length-eq[of fset (fstates R) n]
    by (simp add: less-eq-fset.rep-eq fset-of-list.rep-eq)
  {fix f n assume mem: (f, n)  $\in$  fset F
    have **: {f}  $\times$  (?sub n) = {(f, qs) | qs. ?subP n qs} by auto

```

```

from mem have finite {(f, qs) | qs. ?subP n qs} using *
  using finite-cartesian-product[OF - *[of n], of {f}] unfolding ** by simp}
then have *: finite ( $\bigcup (f, n) \in fset \mathcal{F} . \{(f, qs) | qs. ?subP n qs\}$ ) by auto
have **:  $(\bigcup (f, n) \in fset \mathcal{F} . \{(f, qs) | qs. ?subP n qs\}) = \{(f, qs) | f qs. (f, length qs) \in \mathcal{F} \wedge ?subP (length qs) qs\}$ 
  by auto
have *: finite  $(\{(f, qs) | f qs. (f, length qs) \in \mathcal{F} \wedge ?subP (length qs) qs\} \times fset (fstates R))$ 
  using * unfolding ** by (intro finite-cartesian-product) auto
have **:  $\{TA\text{-rule } f qs q | f qs q. (f, length qs) \in \mathcal{F} \wedge fset\text{-of-list } qs \subseteq fstates R \wedge q \in fstates R\} =$ 
 $(\lambda ((f, qs), q). TA\text{-rule } f qs q) ` (\{(f, qs) | f qs. (f, length qs) \in \mathcal{F} \wedge ?subP (length qs) qs\} \times fset (fstates R))$ 
  by (auto simp: image-def split!: prod.splits)
have f: finite  $\{TA\text{-rule } f qs q | f qs q. (f, length qs) \in \mathcal{F} \wedge fset\text{-of-list } qs \subseteq fstates R \wedge q \in fstates R\}$ 
  unfolding ** using * by auto
show ?thesis
  by (auto simp: nf-rules-def bound-max-state-fset intro!: finite-subset[OF - f])
qed

definition nf-ta where
nf-ta R F = TA (nf-rules R F) {||}

definition nf-reg where
nf-reg R F = Reg (fstates R) (nf-ta R F)

lemma bound-max-sound:
assumes finite R
shows bound-max t (psubt-lhs-bot R)  $\leq_b t$ 
using assms lift-total.lift-ord.supremum-smaller-subset[of Some ` psubt-lhs-bot R
Some t]
by auto (metis (no-types, lifting) lift-less-eq-total.elims(2) option.sel option.simps(3))

lemma Bot-in-filter:
Bot ∈ Set.filter (λs. s  $\leq_b t$ ) (states R)
by (auto simp: Set.filter-def states-def)

lemma bound-max-exists:
 $\exists p. p = bound\text{-max } t (psubt-lhs-bot R)$ 
by blast

lemma bound-max-unique:
assumes p = bound-max t (psubt-lhs-bot R) and q = bound-max t (psubt-lhs-bot R)
shows p = q using assms by force

lemma nf-rule-to-bound-max:
f qs → q  $\in$  nf-rules R F  $\implies$  q = bound-max (BFun f qs) (psubt-lhs-bot (fset

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```

R))
  by (auto simp: nf-rules-fmember)

lemma nf-rules-unique:
  assumes f qs → q |∈| nf-rules R ℬ and f qs → q' |∈| nf-rules R ℬ
  shows q = q' using assms unfolding nf-rules-def
    using nf-rule-to-bound-max[OF assms(1)] nf-rule-to-bound-max[OF assms(2)]
    using bound-max-unique by blast

lemma nf-ta-det:
  shows ta-det (nf-ta R ℬ)
  by (auto simp add: ta-det-def nf-ta-def nf-rules-unique)

lemma term-instance-of-reach-state:
  assumes q |∈| ta-der (nf-ta R ℬ) (adapt-vars t) and ground t
  shows q ≤b t⊥ using assms(1, 2)
proof(induct t arbitrary: q)
  case (Fun f ts)
    from Fun(2) obtain qs where wit: f qs → q |∈| nf-rules R ℬ length qs = length ts
      ∀ i < length ts. qs ! i |∈| ta-der (nf-ta R ℬ) (adapt-vars (ts ! i))
      by (auto simp add: nf-ta-def)
    then have BFun f qs ≤b Fun f ts⊥ using Fun(1)[OF nth-mem, of i qs !i for i]
      using Fun(3)
      by auto
    then show ?case using bless-eq-trans wit(1) bound-max-sound[of fset R]
      by (auto simp: nf-rules-fmember)
qed auto

lemma [simp]: i < length ss ⟹ l ▷ Fun f ss ⟹ l ▷ ss ! i
  by (meson nth-mem subterm.dual-order.strict-trans supt.arg)

lemma subt-less-eq-res-less-eq:
  assumes ground: ground t and l |∈| R and l ▷ s and s⊥ ≤b t⊥
    and q |∈| ta-der (nf-ta R ℬ) (adapt-vars t)
  shows s⊥ ≤b q using assms(2-)
proof (induction t arbitrary: q s)
  case (Var x)
    then show ?case using lift-total.anti-sym by fastforce
next
  case (Fun f ts) note IN = this
    from IN obtain qs where rule: f qs → q |∈| nf-rules R ℬ and
      reach: length qs = length ts ∀ i < length ts. qs ! i |∈| ta-der (nf-ta R ℬ)
      (adapt-vars (ts ! i))
      by (auto simp add: nf-ta-def)
    have q: lift-sup-small (BFun f qs) (psubt-lhs-bot (fset R)) = Some q
      using nf-rule-to-bound-max[OF rule]
      using lift-total.supremum-smaller-exists-unique[OF finite-lhs-subt, of fset R]

```

```

BFun f qs]
  by simp (metis option.collapse option.distinct(1))
  have subst:  $s^\perp \leq_b BFun f qs$  using IN(1)[OF nth-mem, of i term.args s ! i qs ! i for i] IN(2-) reach
    by (cases s) (auto elim!: bless-eq.cases)
  have  $s^\perp \in psubt-lhs-bot (fset R)$  using Fun(2 - 4)
    by auto
  then have lift-total.lifted-less-eq (Some ( $s^\perp$ )) (lift-sup-small (BFun f qs) (psubt-lhs-bot (fset R)))
    using subst
    by (intro lift-total.lift-ord.supremum-sound)
      (auto simp: lift-total.lift-ord.smaller-subset-def)
  then show ?case using subst q finite-lhs-subt
    by auto
qed

lemma ta-nf-sound1:
  assumes ground: ground t and lhs:  $l \in| R$  and inst:  $l^\perp \leq_b t^\perp$ 
  shows ta-der (nf-ta R F) (adapt-vars t) = {||}
proof (rule ccontr)
  assume ass: ta-der (nf-ta R F) (adapt-vars t) ≠ {||}
  show False proof (cases t)
    case [simp]: (Fun f ts) from ass
    obtain q qs where fin:  $q \in| ta-der (nf-ta R F)$  (adapt-vars (Fun f ts)) and
      rule:  $(f qs \rightarrow q) \in| rules (nf-ta R F)$  length qs = length ts and
      reach:  $\forall i < length ts. qs ! i \in| ta-der (nf-ta R F)$  (adapt-vars (ts ! i))
      by (auto simp add: nf-ta-def) blast
    have  $l^\perp \leq_b BFun f qs$  using reach assms(1) inst rule(2)
      using subt-less-eq-res-less-eq[OF - lhs, of ts ! i term.args l ! i qs ! i F for i]
        by (cases l) (auto elim!: bless-eq.cases intro!: bless-eq.step)
    then show ?thesis using lhs rule by (auto simp: nf-ta-def nf-rules-def)
  qed (metis ground ground.simps(1))
qed

lemma ta-nf-tr-to-state:
  assumes ground t and q  $\in| ta-der (nf-ta R F)$  (adapt-vars t)
  shows q  $\in| fstates R$  using assms bound-max-state-fset
  by (cases t) (auto simp: states-def nf-ta-def nf-rules-def)

lemma ta-nf-sound2:
  assumes linear:  $\forall l \in| R. linear-term l$ 
  and ground (t :: ('f, 'v) term) and funas-term t ⊆ fset F
  and NF:  $\bigwedge l s. l \in| R \implies t \trianglerighteq s \implies \neg l^\perp \leq_b s^\perp$ 
  shows  $\exists q. q \in| ta-der (nf-ta R F)$  (adapt-vars t) using assms(2 - 4)
proof (induct t)
  case (Fun f ts)
  have sub:  $\bigwedge i. i < length ts \implies (\bigwedge l s. l \in| R \implies ts ! i \trianglerighteq s \implies \neg l^\perp \leq_b s^\perp)$ 
  using Fun(4) nth-mem by blast
  from Fun(1)[OF nth-mem] this Fun(2, 3) obtain qs where

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reach: ( $\forall i < \text{length } ts. \text{qs} ! i | \in \text{ta-der} (\text{nf-ta } R \mathcal{F}) (\text{adapt-vars} (ts ! i)))$ ) and
len:  $\text{length } \text{qs} = \text{length } ts$ 
using  $\text{Ex-list-of-length-P}[\text{of length } ts \lambda x i. x | \in (\text{ta-der} (\text{nf-ta } R \mathcal{F}) (\text{adapt-vars} (ts ! i)))]$ 
by auto (meson UN-subset-iff nth-mem)
have  $\text{nt-inst}: \neg (\exists s | \in R. s^\perp \leq_b \text{BFun } f \text{ qs})$ 
proof (rule ccontr, simp)
  assume  $\text{ass}: \exists s | \in R. s^\perp \leq_b \text{BFun } f \text{ qs}$ 
  from  $\text{term-instance-of-reach-state}[\text{of qs} ! i R \mathcal{F} ts ! i \text{ for } i]$  reach Fun(2) len
  have  $\text{BFun } f \text{ qs} \leq_b \text{Fun } f \text{ ts}^\perp$  by auto
  then show  $\text{False}$  using  $\text{ass Fun}(4)$  bless-eq-trans by blast
qed
obtain  $q$  where  $q = \text{bound-max} (\text{BFun } f \text{ qs}) (\text{psubt-lhs-bot} (\text{fset } R))$  by blast
then have  $f \text{ qs} \rightarrow q | \in \text{rules} (\text{nf-ta } R \mathcal{F})$  using  $\text{Fun}(2 - 4)$ 
using  $\text{ta-nf-tr-to-state}[\text{of ts} ! i \text{ qs} ! i R \mathcal{F} \text{ for } i]$  len nt-inst reach
by (auto simp: nf-ta-def nf-rules-fmember)
  (metis (no-types, lifting) in-fset-idx nth-mem)
then show  $?case$  using reach len by auto
qed auto

lemma ta-nf-lang-sound:
assumes  $l | \in R$ 
shows  $C\langle l \cdot \sigma \rangle \notin \text{ta-lang} (\text{fstates } R) (\text{nf-ta } R \mathcal{F})$ 
proof (rule ccontr, simp del: ta-lang-to-gta-lang)
  assume  $*: C\langle l \cdot \sigma \rangle \in \text{ta-lang} (\text{fstates } R) (\text{nf-ta } R \mathcal{F})$ 
  then have  $\text{cgr:ground } (C\langle l \cdot \sigma \rangle)$  unfolding ta-lang-def by force
  then have  $\text{gr: ground } (l \cdot \sigma)$  by simp
  then have  $l^\perp \leq_b (l \cdot \sigma)^\perp$  using instance-to-bless-eq by blast
  from  $\text{ta-nf-sound1}[\text{OF gr assms}(1) \text{ this}]$  have  $\text{res}: \text{ta-der} (\text{nf-ta } R \mathcal{F}) (\text{adapt-vars} (l \cdot \sigma)) = \{\|\}$ .
  from  $\text{ta-langE} * \text{obtain } q \text{ where } q | \in \text{ta-der} (\text{nf-ta } R \mathcal{F}) (\text{adapt-vars} (C\langle l \cdot \sigma \rangle))$ 
    by (metis adapt-vars-adapt-vars)
  with  $\text{ta-der-ctxt-decompose}[\text{OF this}[unfolded adapt-vars-ctxt]]$  res
  show  $\text{False}$  by blast
qed

lemma ta-nf-lang-complete:
assumes  $\text{linear}: \forall l | \in R. \text{linear-term } l$ 
and  $\text{ground}: \text{ground } (t :: ('f, 'v) \text{ term})$  and  $\text{sig}: \text{funas-term } t \subseteq \text{fset } \mathcal{F}$ 
and  $\text{nf}: \bigwedge C \sigma. l. l | \in R \implies C\langle l \cdot \sigma \rangle \neq t$ 
shows  $t \in \text{ta-lang} (\text{fstates } R) (\text{nf-ta } R \mathcal{F})$ 
proof –
  from  $\text{nf}$  have  $\bigwedge l s. l | \in R \implies t \trianglerighteq s \implies \neg l^\perp \leq_b s^\perp$ 
  using bless-eq-to-instance linear by blast
  from  $\text{ta-nf-sound2}[\text{OF linear ground sig} \text{ this}]$ 
  obtain  $q$  where  $q | \in \text{ta-der} (\text{nf-ta } R \mathcal{F}) (\text{adapt-vars } t)$  by blast
  from  $\text{this}$   $\text{ta-nf-tr-to-state}[\text{OF ground this}]$  ground show  $?thesis$ 
    by (intro ta-langI) (auto simp add: nf-ta-def)
qed

```

```

lemma ta-nf-L-complete:
assumes linear:  $\forall l \in R. \text{linear-term } l$ 
and sig: funas-gterm  $t \subseteq fset \mathcal{F}$ 
and nf:  $\bigwedge C \sigma. l. l \in R \implies C(l \cdot \sigma) \neq (\text{term-of-gterm } t)$ 
shows  $t \in \mathcal{L}(\text{nf-reg } R \mathcal{F})$ 
using ta-nf-lang-complete[of R term-of-gterm t  $\mathcal{F}$ ] assms
by (force simp: L-def nf-reg-def funas-term-of-gterm-conv)

lemma nf-ta-funas:
assumes ground t q  $\in$  ta-der (nf-ta R  $\mathcal{F}$ ) t
shows funas-term  $t \subseteq fset \mathcal{F}$  using assms
proof (induct t arbitrary: q)
case (Fun f ts)
from Fun(2-) have (f, length ts)  $\in$   $\mathcal{F}$ 
by (auto simp: nf-ta-def nf-rules-def)
then show ?case using Fun
apply simp
by (smt (verit) Union-least image-iff in-set-idx)
qed auto

lemma gta-lang-nf-ta-funas:
assumes  $t \in \mathcal{L}(\text{nf-reg } R \mathcal{F})$ 
shows funas-gterm  $t \subseteq fset \mathcal{F}$  using assms nf-ta-funas[of term-of-gterm t - R  $\mathcal{F}$ ]
unfolding nf-reg-def L-def
by (auto simp: funas-term-of-gterm-conv)

end
theory Tree-Automata-Derivation-Split
imports Regular-Tree-Relations.Tree-Automata
Ground-MCtxt
begin

lemma ta-der'-inf-mctxt:
assumes  $t \in ta-der' \mathcal{A} s$ 
shows fst (split-vars t)  $\leq$  (mctxt-of-term s) using assms
proof (induct s arbitrary: t)
case (Fun f ts) then show ?case
by (cases t) (auto simp: comp-def less-eq-mctxt-prime intro: less-eq-mctxt'.intros)
qed (auto simp: ta-der'.simp)

lemma ta-der'-poss-subt-at-ta-der':
assumes  $t \in ta-der' \mathcal{A} s$  and  $p \in poss t$ 
shows  $t - p \in ta-der' \mathcal{A} (s - p)$  using assms
by (induct s arbitrary: t p) (auto simp: ta-der'.simp, blast+)

lemma ta-der'-varposs-to-ta-der:
assumes  $t \in ta-der' \mathcal{A} s$  and  $p \in varposs t$ 

```

shows *the-Var* ($t \mid\! p$) $\mid\! \in$ *ta-der* \mathcal{A} ($s \mid\! p$) **using** *assms*
by (*induct s arbitrary: t p*) (*auto simp: ta-der'.simp, blast+*)

```

definition ta-der'-target-mctxt t ≡ fst (split-vars t)
definition ta-der'-target-args t ≡ snd (split-vars t)
definition ta-der'-source-args t s ≡ unfill-holes (fst (split-vars t)) s

lemmas ta-der'-mctxt-simps = ta-der'-target-mctxt-def ta-der'-target-args-def ta-der'-source-args-def

lemma ta-der'-target-mctxt-funas [simp]:
  funas-mctxt (ta-der'-target-mctxt u) = funas-term u
  by (auto simp: ta-der'-target-mctxt-def)

lemma ta-der'-target-mctxt-ground [simp]:
  ground-mctxt (ta-der'-target-mctxt t)
  by (auto simp: ta-der'-target-mctxt-def)

lemma ta-der'-source-args-ground:
   $t \mid\! \in$  ta-der'  $\mathcal{A}$  s  $\implies$  ground s  $\implies$   $\forall u \in \text{set}(\text{ta-der}'\text{-source-args } t s)$ . ground u
  by (metis fill-unfill-holes ground-fill-holes length-unfill-holes ta-der'-inf-mctxt ta-der'-mctxt-simps)

lemma ta-der'-source-args-term-of-gterm:
   $t \mid\! \in$  ta-der'  $\mathcal{A}$  (term-of-gterm s)  $\implies$   $\forall u \in \text{set}(\text{ta-der}'\text{-source-args } t (\text{term-of-gterm } s))$ . ground u
  by (intro ta-der'-source-args-ground) auto

lemma ta-der'-source-args-length:
   $t \mid\! \in$  ta-der'  $\mathcal{A}$  s  $\implies$  num-holes (ta-der'-target-mctxt t) = length (ta-der'-source-args t s)
  by (auto simp: ta-der'-mctxt-simps ta-der'-inf-mctxt)

lemma ta-der'-target-args-length:
  num-holes (ta-der'-target-mctxt t) = length (ta-der'-target-args t)
  by (auto simp: ta-der'-mctxt-simps split-vars-num-holes)

lemma ta-der'-target-args-vars-term-conv:
  vars-term t = set (ta-der'-target-args t)
  by (auto simp: ta-der'-target-args-def split-vars-vars-term-list)

lemma ta-der'-target-args-vars-term-list-conv:
  ta-der'-target-args t = vars-term-list t
  by (auto simp: ta-der'-target-args-def split-vars-vars-term-list)

lemma mctxt-args-ta-der':
  assumes num-holes C = length qs num-holes C = length ss  

  and  $\forall i < \text{length } ss$ . qs ! i  $\mid\! \in$  ta-der  $\mathcal{A}$  (ss ! i)  

  shows (fill-holes C (map Var qs))  $\mid\! \in$  ta-der'  $\mathcal{A}$  (fill-holes C ss) using assms  

proof (induct rule: fill-holes-induct2)
  
```

```

case MHole then show ?case
  by (cases ss; cases qs) (auto simp: ta-der-to-ta-der')
next
  case (MFun f ts) then show ?case
    by (simp add: partition-by-nth-nth(1, 2))
qed auto

— Splitting derivation into multihole context containing the remaining function
symbols and the states, where each state is reached via the automata
lemma ta-der'-mctxt-structure:
  assumes t |∈| ta-der' A s
  shows t = fill-holes (ta-der'-target-mctxt t) (map Var (ta-der'-target-args t)) (is
?G1)
  s = fill-holes (ta-der'-target-mctxt t) (ta-der'-source-args t s) (is ?G2)
  num-holes (ta-der'-target-mctxt t) = length (ta-der'-source-args t s) ∧
  length (ta-der'-source-args t s) = length (ta-der'-target-args t) (is ?G3)
  i < length (ta-der'-source-args t s)  $\implies$  ta-der'-target-args t ! i |∈| ta-der A
  (ta-der'-source-args t s ! i)

proof –
  let ?C = ta-der'-target-mctxt t let ?ss = ta-der'-source-args t s
  let ?qs = ta-der'-target-args t
  have t-split: ?G1 by (auto simp: ta-der'-mctxt-simps split-vars-fill-holes)
  have s-split: ?G2 by (auto simp: ta-der'-mctxt-simps ta-der'-inf-mctxt[OF assms]
    intro!: fill-unfill-holes[symmetric])
  have len: num-holes ?C = length ?ss length ?ss = length ?qs using assms
    by (auto simp: ta-der'-mctxt-simps split-vars-num-holes ta-der'-inf-mctxt)
  have i < length (ta-der'-target-args t)  $\implies$ 
    ta-der'-target-args t ! i |∈| ta-der A (ta-der'-source-args t s ! i) for i
  using ta-der'-poss-subt-at-ta-der'[OF assms, of varposs-list t ! i]
  unfolding ta-der'-mctxt-simps split-vars-vars-term-list length-map
  by (auto simp: unfill-holes-to-subst-at-hole-poss[OF ta-der'-inf-mctxt[OF assms]]
    simp flip: varposs-list-to-var-term-list[of i t, unfolded varposs-list-var-terms-length]
    (metis assms hole-poss-split-vars-varposs-list nth-map nth-mem
     ta-der'-varposs-to-ta-der ta-der-to-ta-der' varposs-eq-varposs-list varposs-list-var-terms-length))
  then show ?G1 ?G2 ?G3 i < length (ta-der'-source-args t s)  $\implies$ 
    ta-der'-target-args t ! i |∈| ta-der A (ta-der'-source-args t s ! i) using len
  t-split s-split
  by (simp-all add: ta-der'-mctxt-simps)
qed

lemma ta-der'-ground-mctxt-structure:
  assumes t |∈| ta-der' A (term-of-gterm s)
  shows t = fill-holes (ta-der'-target-mctxt t) (map Var (ta-der'-target-args t))
  term-of-gterm s = fill-holes (ta-der'-target-mctxt t) (ta-der'-source-args t (term-of-gterm
  s))
  num-holes (ta-der'-target-mctxt t) = length (ta-der'-source-args t (term-of-gterm
  s)) ∧
  length (ta-der'-source-args t (term-of-gterm s)) = length (ta-der'-target-args t)
  i < length (ta-der'-target-args t)  $\implies$  ta-der'-target-args t ! i |∈| ta-der A

```

```
(ta-der'-source-args t (term-of-gterm s) ! i)
  using ta-der'-mctxt-structure[OF assms]
  by force+
```

— Splitting derivation into context containing the remaining function symbols and state

```
definition ta-der'-gctxt t ≡ gctxt-of-gmctxt (gmctxt-of-mctxt (fst (split-vars t)))
abbreviation ta-der'-ctxt t ≡ ctxt-of-gctxt (ta-der'-gctxt t)
definition ta-der'-source-ctxt-arg t s ≡ hd (unfill-holes (fst (split-vars t)) s)
```

```
abbreviation ta-der'-source-gctxt-arg t s ≡ gterm-of-term (ta-der'-source-ctxt-arg t (term-of-gterm s))
```

lemma ta-der'-ctxt-structure:

```
assumes t |∈| ta-der' A s vars-term-list t = [q]
shows t = (ta-der'-ctxt t)⟨Var q⟩ (is ?G1)
      s = (ta-der'-ctxt t)⟨ta-der'-source-ctxt-arg t s⟩ (is ?G2)
      ground-ctxt (ta-der'-ctxt t) (is ?G3)
      q |∈| ta-der A (ta-der'-source-ctxt-arg t s) (is ?G4)
```

proof —

```
have *: length xs = Suc 0 ⟹ xs = [hd xs] for xs
  by (metis length-0-conv length-Suc-conv list.sel(1))
have [simp]: length (snd (split-vars t)) = Suc 0 using assms(2) ta-der'-inf-mctxt[OF
assms(1)]
  by (auto simp: split-vars-vars-term-list)
have [simp]: num-gholes (gmctxt-of-mctxt (fst (split-vars t))) = Suc 0 using
assms(2)
  by (simp add: split-vars-num-holes split-vars-vars-term-list)
have [simp]: ta-der'-source-args t s = [ta-der'-source-ctxt-arg t s]
  using assms(2) ta-der'-inf-mctxt[OF assms(1)]
  by (auto simp: ta-der'-source-args-def ta-der'-source-ctxt-arg-def split-vars-num-holes
intro!: *)
have t-split: ?G1 using assms(2)
  by (auto simp: ta-der'-gctxt-def split-vars-fill-holes
    split-vars-vars-term-list simp flip: ctxt-of-gctxt-gctxt-of-gmctxt-apply)
have s-split: ?G2 using ta-der'-mctxt-structure[OF assms(1)] assms(2)
  by (auto simp: ta-der'-gctxt-def ta-der'-target-mctxt-def
    simp flip: ctxt-of-gctxt-gctxt-of-gmctxt-apply)
from ta-der'-mctxt-structure[OF assms(1)] have ?G4
  by (auto simp: ta-der'-target-args-def assms(2) split-vars-vars-term-list)
moreover have ?G3 unfolding ta-der'-gctxt-def by auto
ultimately show ?G1 ?G2 ?G3 ?G4 using t-split s-split
  by force+
qed
```

lemma ta-der'-ground-ctxt-structure:

```

assumes  $t \in| ta\text{-}der' \mathcal{A}$  (term-of-gterm s) vars-term-list t = [q]
shows  $t = (ta\text{-}der'\text{-}ctxt t) \langle Var q \rangle$ 
 $s = (ta\text{-}der'\text{-}gctxt t) \langle ta\text{-}der'\text{-}source\text{-}gctxt\text{-}arg t s \rangle_G$ 
ground ( $ta\text{-}der'\text{-}source\text{-}ctxt\text{-}arg t$  (term-of-gterm s))
 $q \in| ta\text{-}der \mathcal{A}$  ( $ta\text{-}der'\text{-}source\text{-}ctxt\text{-}arg t$  (term-of-gterm s)))
using ta-der'-ctxt-structure[OF assms] term-of-gterm-ctxt-apply
by force+

```

4.4 Sufficient condition for splitting the reachability relation induced by a tree automaton

```

locale derivation-split =
  fixes  $A :: ('q, 'f)$  ta and A and B
  assumes rule-split: rules A = rules A  $\sqcup|$  rules B
  and eps-split: eps A = eps A  $\sqcup|$  eps B
  and B-target-states: rule-target-states (rules B)  $\sqcup|$  (snd  $\sqcap|$  (eps B))  $\sqcap|$ 
    (rule-arg-states (rules A)  $\sqcup|$  (fst  $\sqcap|$  (eps A))) =  $\{\}\}$ 
begin

  abbreviation  $\Delta_A \equiv \text{rules } \mathcal{A}$ 
  abbreviation  $\Delta_{\mathcal{E}A} \equiv \text{eps } \mathcal{A}$ 
  abbreviation  $\Delta_B \equiv \text{rules } \mathcal{B}$ 
  abbreviation  $\Delta_{\mathcal{E}B} \equiv \text{eps } \mathcal{B}$ 

  abbreviation  $\mathcal{Q}_A \equiv \mathcal{Q} \mathcal{A}$ 
  definition  $\mathcal{Q}_B \equiv \text{rule-target-states } \Delta_B$   $\sqcup|$  (snd  $\sqcap|$   $\Delta_{\mathcal{E}B}$ )
  lemmas B-target-states' = B-target-states[folded  $\mathcal{Q}_B$ -def]

  lemma states-split [simp]:  $\mathcal{Q} A = \mathcal{Q} \mathcal{A}$   $\sqcup|$   $\mathcal{Q} \mathcal{B}$ 
    by (auto simp add: Q-def rule-split eps-split)

  lemma A-args-states-not-B:
    TA-rule  $f qs q \in| \Delta_A \implies p \in| fset\text{-}of\text{-}list qs \implies p \notin| \mathcal{Q}_B$ 
    using B-target-states
    by (force simp add: Q_B-def)

  lemma rule-statesD:
     $r \in| \Delta_A \implies r\text{-}rhs r \in| \mathcal{Q}_A$ 
     $r \in| \Delta_B \implies r\text{-}rhs r \in| \mathcal{Q}_B$ 
     $r \in| \Delta_A \implies p \in| fset\text{-}of\text{-}list (r\text{-}lhs\text{-}states r) \implies p \in| \mathcal{Q}_A$ 
    TA-rule  $f qs q \in| \Delta_A \implies q \in| \mathcal{Q}_A$ 
    TA-rule  $f qs q \in| \Delta_B \implies q \in| \mathcal{Q}_B$ 
    TA-rule  $f qs q \in| \Delta_A \implies p \in| fset\text{-}of\text{-}list qs \implies p \in| \mathcal{Q}_A$ 
    by (auto simp: rule-statesD Q_B-def rev-image-eqI)

  lemma eps-states-dest:
     $(p, q) \in| \Delta_{\mathcal{E}A} \implies p \in| \mathcal{Q}_A$ 
     $(p, q) \in| \Delta_{\mathcal{E}A} \implies q \in| \mathcal{Q}_A$ 
     $(p, q) \in| \Delta_{\mathcal{E}A}|^+ \implies p \in| \mathcal{Q}_A$ 

```

$(p, q) \in |\Delta_{\mathcal{E}A}|^+ \implies q \in \mathcal{Q}_A$
 $(p, q) \in |\Delta_{\mathcal{E}B}|^+ \implies q \in \mathcal{Q}_B$
 $(p, q) \in |\Delta_{\mathcal{E}B}|^+ \implies q \in \mathcal{Q}_B$
by (auto simp: eps-dest-all \mathcal{Q}_B -def rev-image-eqI elim: ftrancI)

lemma transcl-eps-simp:

$(\text{eps } A)|^+ = \Delta_{\mathcal{E}A}|^+ \cup \Delta_{\mathcal{E}B}|^+ \cup (\Delta_{\mathcal{E}A}|^+ \cap \Delta_{\mathcal{E}B}|^+)$

proof –

have $\Delta_{\mathcal{E}B} \cap \Delta_{\mathcal{E}A} = \{\}$ **using** B-target-states'

by (metis eps-states-dest(5) ex-fin-conv fimageI finterI frelcompE fst-conv inf-sup-distrib1 sup-eq-bot-iff)

from ftrancI-Un2-separatorE[OF this] **show** ?thesis

unfolding eps-split **by** auto

qed

lemma B-rule-eps-A-False:

$f qs \rightarrow q \in |\Delta_B| \implies (q, p) \in |\Delta_{\mathcal{E}A}|^+ \implies \text{False}$

using B-target-states **unfolding** \mathcal{Q}_B -def

by (metis B-target-states' equalsffemptyD fimage-eqI finter-iff fst-conv ftrancID funion-iff local.rule-statesD(5))

lemma to-A-rule-set:

assumes TA-rule $f qs q \in |\text{rules } A|$ **and** $q = p \vee (q, p) \in |(\text{eps } A)|^+$ **and** $p \notin \mathcal{Q}_B$

shows TA-rule $f qs q \in |\Delta_A| \quad q = p \vee (q, p) \in |\Delta_{\mathcal{E}A}|^+$ **using** assms

unfolding transcl-eps-simp rule-split

by (auto dest: rule-statesD eps-states-dest dest: B-rule-eps-A-False)

lemma to-B-rule-set:

assumes TA-rule $f qs q \in |\text{rules } A|$ **and** $q \notin \mathcal{Q}_A$

shows TA-rule $f qs q \in |\Delta_B|$ **using** assms

unfolding transcl-eps-simp rule-split

by (auto dest: rule-statesD eps-states-dest)

declare fsubsetI[rule del]

lemma ta-der-monos:

$ta\text{-der } \mathcal{A} t \subseteq ta\text{-der } A t \text{ } ta\text{-der } \mathcal{B} t \subseteq ta\text{-der } A t$

by (auto simp: sup.coboundedI1 rule-split eps-split intro!: ta-der-mono)

declare fsubsetI[intro!]

lemma ta-der-from- Δ_A :

assumes $q \in |ta\text{-der } A (\text{term-of-gterm } t)|$ **and** $q \notin \mathcal{Q}_B$

shows $q \in |ta\text{-der } \mathcal{A} (\text{term-of-gterm } t)|$ **using** assms

proof (induct rule: ta-der-gterm-induct)

case (GFun f ts ps p q)

have $i < \text{length } ts \implies ps ! i \notin \mathcal{Q}_B$ **for** i **using** GFun A-args-states-not-B

by (metis fnth-mem to-A-rule-set(1))

```

then show ?case using GFun(2, 5) to-A-rule-set[OF GFun(1, 3, 6)]
  by (auto simp: transcl-eps-simp)
qed

lemma ta-state:
assumes q |∈| ta-der A (term-of-gterm s)
shows q |∈| QA ∨ q |∈| QB using assms
by (cases s) (auto simp: rule-split transcl-eps-simp dest: rule-statesD eps-states-dest)

lemma ta-der-split:
assumes q |∈| ta-der A (term-of-gterm s) and q |∈| QB
shows ∃ t. t |∈| ta-der' A (term-of-gterm s) ∧ q |∈| ta-der B t
(is ∃ t . ?P s q t) using assms
proof (induct rule: ta-der-gterm-induct)
case (GFun f ts ps p q)
{fix i assume ass: i < length ts
then have ∃ t. t |∈| ta-der' A (term-of-gterm (ts ! i)) ∧ ps ! i |∈| ta-der B t
proof (cases ps ! i |notin| QB)
case True then show ?thesis
using ta-state GFun(2, 4) ta-der-from-ΔA[of ps ! i ts ! i] ass
by (intro exI[of - Var (ps ! i)]) (auto simp: ta-der-to-ta-der' QB-def)
next
case False
then have ps ! i |∈| QB using ta-state[OF GFun(4)[OF ass]]
by auto
from GFun(5)[OF ass this] show ?thesis .
qed}
then obtain h where IH:
  ∀ i < length ts. h i |∈| ta-der' A (term-of-gterm (ts ! i))
  ∀ i < length ts. ps ! i |∈| ta-der B (h i)
  using GFun(1 – 4) choice-nat[of length ts λ t i. ?P (ts ! i) (ps ! i) t]
  by blast
from GFun(1) consider (A) f ps → p |∈| ΔA | (B) f ps → p |∈| ΔB by (auto
simp: rule-split)
then show ?case
proof cases
case A then obtain q' where eps-sp: p = q' ∨ (p, q') |∈| ΔεA |+
q' = q ∨ (q', q) |∈| ΔεB |+| using GFun(3, 6)
by (auto simp: transcl-eps-simp dest: eps-states-dest)
from GFun(4)[THEN ta-der-from-ΔA] A GFun(2, 4)
have reach-fst: p |∈| ta-der A (term-of-gterm (GFun f ts))
  using A-args-states-not-B by auto
then have q' |∈| ta-der A (term-of-gterm (GFun f ts)) using eps-sp
  by (meson ta-der-trancl-eps)
then show ?thesis using eps-sp(2)
  by (intro exI[of - Var q']) (auto simp flip: ta-der-to-ta-der' simp del: ta-der'-simps)
next

```

```

case B
then have  $p = q \vee (p, q) \in |\Delta_{\mathcal{E}B}|^+$  using GFun(3)
  by (auto simp: transcl-eps-simp dest: B-rule-eps-A-False)
then show ?thesis using GFun(2, 4, 6) IH B
  by (auto intro!: exI[of - Fun f (map h [0 ..< length ts])] exI[of - ps])
qed
qed

```

```

lemma ta-der'-split:
assumes  $t \in |ta\text{-}der' A (\text{term-of-gterm } s)|$ 
shows  $\exists u. u \in |ta\text{-}der' A (\text{term-of-gterm } s) \wedge t \in |ta\text{-}der' B u|$ 
  (is  $\exists u. ?P s t u$ ) using assms
proof (induct s arbitrary: t)
  case (GFun f ts) show ?case
    proof (cases t)
      case [simp]: ( $\text{Var } q$ )
        have  $q \in |ta\text{-der } A (\text{term-of-gterm } (\text{GFun } f ts))|$  using GFun(2)
          by (auto simp flip: ta-der-to-ta-der')
        from ta-der-split[OF this] ta-der-from- $\Delta_A$ [OF this] ta-state[OF this]
        show ?thesis unfolding Var
          by (metis ta-der'-refl ta-der-to-ta-der')
      next
        case [simp]: ( $\text{Fun } g ss$ )
        obtain h where IH:
           $\forall i < \text{length } ts. h i \in |ta\text{-der}' A (\text{term-of-gterm } (ts ! i))|$ 
           $\forall i < \text{length } ts. ss ! i \in |ta\text{-der}' B (h i)|$ 
          using GFun choice-nat[of length ts  $\lambda t i. ?P (ts ! i) (ss ! i) t$ ]
          by auto
        then show ?thesis using GFun(2)
          by (auto intro!: exI[of - Fun f (map h [0..< length ts])])
      qed
    qed

```

```

lemma ta-der-to-mctx:
assumes  $q \in |ta\text{-der } A (\text{term-of-gterm } s) \text{ and } q \in |\mathcal{Q}_B|$ 
shows  $\exists C ss qs. \text{length } qs = \text{length } ss \wedge \text{num-holes } C = \text{length } ss \wedge$ 
   $(\forall i < \text{length } ss. qs ! i \in |ta\text{-der } A (\text{term-of-gterm } (ss ! i))|) \wedge$ 
   $q \in |ta\text{-der } B (\text{fill-holes } C (\text{map Var } qs))| \wedge$ 
   $\text{ground-mctx } C \wedge \text{fill-holes } C (\text{map term-of-gterm } ss) = \text{term-of-gterm } s$ 
  (is  $\exists C ss qs. ?P s q C ss qs$ )
proof -
  from ta-der-split[OF assms] obtain t where
    wit:  $t \in |ta\text{-der}' A (\text{term-of-gterm } s) \wedge q \in |ta\text{-der } B t|$  by auto
  let ?C = fst (split-vars t) let ?ss = map (gsubst-at s) (varposs-list t)
  let ?qs = snd (split-vars t)
  have poss [simp]:  $i < \text{length } (\text{varposs-list } t) \implies \text{varposs-list } t ! i \in gposs s$  for i
    by (metis nth-mem ta-der'-poss[OF wit(1)] poss-gposs-conv subset-eq var-

```

```

poss-eq-varposs-list
  varposs-imp-poss varposs-list-var-terms-length)
have len: num-holes ?C = length ?ss length ?ss = length ?qs
  by (simp-all add: split-vars-num-holes split-vars-vars-term-list varposs-list-var-terms-length)
from unfill-holes-to-subst-at-hole-poss[OF ta-der'-inf-mctxt[OF wit(1)]]
have unfill-holes (fst (split-vars t)) (term-of-gterm s) = map (term-of-gterm o
gsubst-at s) (varposs-list t)
  by (auto simp: comp-def hole-poss-split-vars-varposs-list
dest: in-set-idx intro!: nth-equalityI term-of-gterm-gsubst)
from fill-unfill-holes[OF ta-der'-inf-mctxt[OF wit(1)]] this
have rep: fill-holes ?C (map term-of-gterm ?ss) = term-of-gterm s
  by simp
have reach-int: i < length ?ss ==> ?qs ! i |∈| ta-der A (term-of-gterm (?ss ! i))
for i
  using wit(1) ta-der'-varposs-to-ta-der
  unfolding split-vars-vars-term-list length-map
  unfolding varposs-list-to-var-term-list[symmetric]
  by (metis nth-map nth-mem poss term-of-gterm-gsubst varposs-eq-varposs-list)
have reach-end: q |∈| ta-der B (fill-holes ?C (map Var ?qs)) using wit
  using split-vars-fill-holes[of ?C t map Var ?qs]
  by auto
show ?thesis using len rep reach-end reach-int
  by (metis split-vars-ground')
qed

lemma ta-der-to-gmctxt:
  assumes q |∈| ta-der A (term-of-gterm s) and q |∈| Q_B
  shows ∃ C ss qs qs'. length qs' = length qs ∧ length qs = length ss ∧ num-gholes
C = length ss ∧
  (∀ i < length ss. qs ! i |∈| ta-der A (term-of-gterm (ss ! i))) ∧
  q |∈| ta-der B (fill-holes (mctxt-of-gmctxt C) (map Var qs')) ∧
  fill-gholes C ss = s
  using ta-der-to-mctxt[OF assms]
  by (metis gmctxt-of-mctxt-inv ground-gmctxt-of-gterm-of-term num-gholes-gmctxt-of-mctxt
term-of-gterm-inv)

lemma mctxt-const-to-ta-der:
  assumes num-holes C = length ss length ss = length qs
  and ∀ i < length qs. qs ! i |∈| ta-der A (ss ! i)
  and q |∈| ta-der B (fill-holes C (map Var qs))
  shows q |∈| ta-der A (fill-holes C ss)
proof –
  have mid: fill-holes C (map Var qs) |∈| ta-der' A (fill-holes C ss)
  using assms(1 – 3) ta-der-monos(1)
  by (intro mctxt-args-ta-der') auto
  then show ?thesis using assms(1, 2) ta-der-monos(2)[THEN fsubsetD, OF
assms(4)]

```

```

using ta-der'-trans
by (simp add: ta-der'-ta-der)
qed

lemma ctxt-const-to-ta-der:
assumes q |∈| ta-der A s
and p |∈| ta-der B C⟨Var q⟩
shows p |∈| ta-der A C⟨s⟩ using assms
by (meson fin-mono ta-der ctxt ta-der-monos(1) ta-der-monos(2))

lemma gctxt-const-to-ta-der:
assumes q |∈| ta-der A (term-of-gterm s)
and p |∈| ta-der B (ctxt-of-gctxt C)⟨Var q⟩
shows p |∈| ta-der A (term-of-gterm C⟨s⟩G) using assms
by (metis ctxt-const-to-ta-der ctxt-of-gctxt-inv ground-ctxt-of-gctxt ground-gctxt-of-ctxt-apply-gterm)

end
end

```

5 (Multihole)Context closure of recognized tree languages

```

theory TA-Closure-Const
imports Tree-Automata-Derivation-Split
begin

```

5.1 Tree Automata closure constructions

```
declare ta-union-def [simp]
```

5.1.1 Reflexive closure over a given signature

```

definition reflcl-rules F q ≡ (λ (f, n). TA-rule f (replicate n q) q) |`| F
definition refl-ta F q = TA (reflcl-rules F q) {||}

```

```

definition gen-reflcl-automaton :: ('f × nat) fset ⇒ ('q, 'f) ta ⇒ 'q ⇒ ('q, 'f) ta
where

```

```
gen-reflcl-automaton F A q = ta-union A (refl-ta F q)
```

```

definition reflcl-automaton F A = (let B = fmap-states-ta Some A in
gen-reflcl-automaton F B None)

```

```

definition reflcl-reg F A = Reg (finsert None (Some |`| fin A)) (reflcl-automaton
F (ta A))

```

5.1.2 Multihole context closure over a given signature

```

definition refl-over-states-ta Q F A q = TA (reflcl-rules F q) ((λ p. (p, q)) |`|
(Q |∩| Q A))

```

```

definition gen-parallel-closure-automaton :: 'q fset  $\Rightarrow$  ('f  $\times$  nat) fset  $\Rightarrow$  ('q, 'f) ta
 $\Rightarrow$  'q  $\Rightarrow$  ('q, 'f) ta where
  gen-parallel-closure-automaton Q  $\mathcal{F}$   $\mathcal{A}$  q = ta-union  $\mathcal{A}$  (refl-over-states-ta Q  $\mathcal{F}$ 
 $\mathcal{A}$  q)

```

```

definition parallel-closure-reg where
  parallel-closure-reg  $\mathcal{F}$   $\mathcal{A}$  = (let  $\mathcal{B}$  = fmap-states-reg Some  $\mathcal{A}$  in
    Reg {None} (gen-parallel-closure-automaton (fin  $\mathcal{B}$ )  $\mathcal{F}$  (ta  $\mathcal{B}$ ) None))

```

5.1.3 Context closure of regular tree language

```

definition semantic-path-rules  $\mathcal{F}$  qc qi qf  $\equiv$ 
   $\bigcup$  (( $\lambda$  (f, n). fset-of-list (map ( $\lambda$  i. TA-rule f ((replicate n qc)[i := qi]) qf)
  [0..< n]))  $\mid\!\! \mid$   $\mathcal{F}$ )

```

```

definition reflcl-over-single-ta Q  $\mathcal{F}$  qc qf  $\equiv$ 
  TA (reflcl-rules  $\mathcal{F}$  qc  $\mid\!\! \mid$  semantic-path-rules  $\mathcal{F}$  qc qf qf) (( $\lambda$  p. (p, qf))  $\mid\!\! \mid$  Q)

```

```

definition gen-ctxt-closure-automaton Q  $\mathcal{F}$   $\mathcal{A}$  qc qf = ta-union  $\mathcal{A}$  (reflcl-over-single-ta
Q  $\mathcal{F}$  qc qf)

```

```

definition gen-ctxt-closure-reg  $\mathcal{F}$   $\mathcal{A}$  qc qf =
  Reg {qf} (gen-ctxt-closure-automaton (fin  $\mathcal{A}$ )  $\mathcal{F}$  (ta  $\mathcal{A}$ ) qc qf)

```

```

definition ctxt-closure-reg  $\mathcal{F}$   $\mathcal{A}$  =
  (let  $\mathcal{B}$  = fmap-states-reg Inl (reg-Restr-Qf  $\mathcal{A}$ ) in
    gen-ctxt-closure-reg  $\mathcal{F}$   $\mathcal{B}$  (Inr False) (Inr True))

```

5.1.4 Not empty context closure of regular tree language

```

datatype cl-states = cl-state | tr-state | fin-state | fin-clstate

```

```

definition reflcl-over-nhole-ctxt-ta Q  $\mathcal{F}$  qc qi qf  $\equiv$ 
  TA (reflcl-rules  $\mathcal{F}$  qc  $\mid\!\! \mid$  semantic-path-rules  $\mathcal{F}$  qc qi qf  $\mid\!\! \mid$  semantic-path-rules
 $\mathcal{F}$  qc qf) (( $\lambda$  p. (p, qi))  $\mid\!\! \mid$  Q)

```

```

definition gen-nhole-ctxt-closure-automaton Q  $\mathcal{F}$   $\mathcal{A}$  qc qi qf =
  ta-union  $\mathcal{A}$  (reflcl-over-nhole-ctxt-ta Q  $\mathcal{F}$  qc qi qf)

```

```

definition gen-nhole-ctxt-closure-reg  $\mathcal{F}$   $\mathcal{A}$  qc qi qf =
  Reg {qf} (gen-nhole-ctxt-closure-automaton (fin  $\mathcal{A}$ )  $\mathcal{F}$  (ta  $\mathcal{A}$ ) qc qi qf)

```

```

definition nhole-ctxt-closure-reg  $\mathcal{F}$   $\mathcal{A}$  =
  (let  $\mathcal{B}$  = fmap-states-reg Inl (reg-Restr-Qf  $\mathcal{A}$ ) in
    (gen-nhole-ctxt-closure-reg  $\mathcal{F}$   $\mathcal{B}$  (Inr cl-state) (Inr tr-state) (Inr fin-state)))

```

5.1.5 Non empty multihole context closure of regular tree language

abbreviation $\text{add-eps } \mathcal{A} e \equiv \text{TA}(\text{rules } \mathcal{A})(\text{eps } \mathcal{A} \sqcup |e|)$

definition $\text{reflcl-over-nhole-mctxt-ta } Q \mathcal{F} q_c q_i q_f \equiv \text{add-eps}(\text{reflcl-over-nhole-ctxt-ta } Q \mathcal{F} q_c q_i q_f) \{|(q_i, q_c)|\}$

definition $\text{gen-nhole-mctxt-closure-automaton } Q \mathcal{F} \mathcal{A} q_c q_i q_f = \text{ta-union } \mathcal{A} (\text{reflcl-over-nhole-mctxt-ta } Q \mathcal{F} q_c q_i q_f)$

definition $\text{gen-nhole-mctxt-closure-reg } \mathcal{F} \mathcal{A} q_c q_i q_f = \text{Reg } \{|q_f|\} (\text{gen-nhole-mctxt-closure-automaton } (\text{fin } \mathcal{A}) \mathcal{F} (\text{ta } \mathcal{A}) q_c q_i q_f)$

definition $\text{nhole-mctxt-closure-reg } \mathcal{F} \mathcal{A} = (\text{let } \mathcal{B} = \text{fmap-states-reg } \text{Inl}(\text{reg-Restr-}Q_f \mathcal{A}) \text{ in } (\text{gen-nhole-mctxt-closure-reg } \mathcal{F} \mathcal{B} (\text{Inr cl-state}) (\text{Inr tr-state}) (\text{Inr fin-state})))$

5.1.6 Not empty multihole context closure of regular tree language

definition $\text{gen-mctxt-closure-reg } \mathcal{F} \mathcal{A} q_c q_i q_f = \text{Reg } \{|q_f, q_i|\} (\text{gen-nhole-mctxt-closure-automaton } (\text{fin } \mathcal{A}) \mathcal{F} (\text{ta } \mathcal{A}) q_c q_i q_f)$

definition $\text{mctxt-closure-reg } \mathcal{F} \mathcal{A} = (\text{let } \mathcal{B} = \text{fmap-states-reg } \text{Inl}(\text{reg-Restr-}Q_f \mathcal{A}) \text{ in } (\text{gen-mctxt-closure-reg } \mathcal{F} \mathcal{B} (\text{Inr cl-state}) (\text{Inr tr-state}) (\text{Inr fin-state})))$

5.1.7 Multihole context closure of regular tree language

definition $\text{nhole-mctxt-reflcl-reg } \mathcal{F} \mathcal{A} = \text{reg-union}(\text{nhole-mctxt-closure-reg } \mathcal{F} \mathcal{A}) (\text{Reg } \{|\text{fin-clstate}|\} (\text{refl-ta } \mathcal{F} (\text{fin-clstate})))$

5.1.8 Lemmas about ta-der'

lemma $\text{ta-det'-ground-id}:$

$t \in| \text{ta-der}' \mathcal{A} s \implies \text{ground } t \implies t = s$

by (*induct s arbitrary: t*) (*auto simp add: ta-der'.simps nth-equalityI*)

lemma $\text{ta-det'-vars-term-id}:$

$t \in| \text{ta-der}' \mathcal{A} s \implies \text{vars-term } t \cap \text{fset } (\mathcal{Q} \mathcal{A}) = \{\} \implies t = s$

proof (*induct s arbitrary: t*)

case (*Fun f ss*)

from *Fun(2-)* **obtain ts where** [*simp*]: $t = \text{Fun } f \text{ ts}$ **and** *len: length ts = length ss*

by (*cases t*) (*auto dest: rule-statesD eps-dest-all*)

from *Fun(1)[OF nth-mem, of i ts ! i for i]* **show** ?*case using Fun(2-)* *len*

by (*auto simp add: ta-der'.simps Union-disjoint*

dest: rule-statesD eps-dest-all intro!: nth-equalityI)

qed (*auto simp add: ta-der'.simps dest: rule-statesD eps-dest-all*)

```

lemma fresh-states-ta-der'-pres:
  assumes st:  $q \in \text{vars-term } s$   $q \notin \mathcal{Q} \mathcal{A}$ 
    and reach:  $t \in \text{ta-der}' \mathcal{A} s$ 
    shows  $q \in \text{vars-term } t$  using reach st(1)
  proof (induct s arbitrary: t)
    case (Var x)
      then show ?case using assms(2)
        by (cases t) (auto simp: ta-der'.simps dest: eps-trancl-statesD)
  next
    case (Fun f ss)
      from Fun(3) obtain i where  $w: i < \text{length } ss$   $q \in \text{vars-term } (ss ! i)$  by (auto
        simp: in-set-conv-nth)
      have  $i < \text{length } (\text{args } t) \wedge q \in \text{vars-term } (\text{args } t ! i)$  using Fun(2) w assms(2)
      Fun(1)[OF nth-mem[OF w(1)] - w(2)]
        using rule-statesD(3) ta-der-to-ta-der'
        by (auto simp: ta-der'.simps dest: rule-statesD(3)) fastforce+
      then show ?case by (cases t) auto
  qed

lemma ta-der'-states:
   $t \in \text{ta-der}' \mathcal{A} s \implies \text{vars-term } t \subseteq \text{vars-term } s \cup \text{fset } (\mathcal{Q} \mathcal{A})$ 
  proof (induct s arbitrary: t)
    case (Var x) then show ?case
      by (auto simp: ta-der'.simps dest: eps-dest-all)
  next
    case (Fun f ts) then show ?case
      by (auto simp: ta-der'.simps rule-statesD dest: eps-dest-all)
        (metis (no-types, opaque-lifting) Un-Iff in-set-conv-nth subsetD)
  qed

lemma ta-der'-gterm-states:
   $t \in \text{ta-der}' \mathcal{A} (\text{term-of-gterm } s) \implies \text{vars-term } t \subseteq \text{fset } (\mathcal{Q} \mathcal{A})$ 
  using ta-der'-states[of t A term-of-gterm s]
  by auto

lemma ta-der'-Var-funas:
   $\text{Var } q \in \text{ta-der}' \mathcal{A} s \implies \text{funas-term } s \subseteq \text{fset } (\text{ta-sig } \mathcal{A})$ 
  by (auto simp: less-eq-fset.rep-eq ffunas-term.rep-eq dest!: ta-der-term-sig ta-der'-to-ta-der)

```

```

lemma ta-sig-fsubsetI:
  assumes  $\bigwedge r. r \in \text{rules } \mathcal{A} \implies (\text{r-root } r, \text{length } (\text{r-lhs-states } r)) \in \mathcal{F}$ 
  shows  $\text{ta-sig } \mathcal{A} \subseteq \mathcal{F}$  using assms
  by (auto simp: ta-sig-def)

```

5.1.9 Signature induced by refl-ta and refl-over-states-ta

```

lemma refl-ta-sig [simp]:
   $\text{ta-sig } (\text{refl-ta } \mathcal{F} q) = \mathcal{F}$ 

```

```

ta-sig (refl-over-states-ta Q F A q) = F
by (auto simp: ta-sig-def refl-ta-def reflcl-rules-def refl-over-states-ta-def image-iff
Bex-def)

```

5.1.10 Correctness of refl-ta, gen-reflcl-automaton, and reflcl-automaton

```

lemma refl-ta-eps [simp]: eps (refl-ta F q) = {||}
by (auto simp: refl-ta-def)

```

lemma refl-ta-sound:

```

s ∈ T_G (fset F) ⟹ q |∈| ta-der (refl-ta F q) (term-of-gterm s)
by (induct rule: T_G.induct) (auto simp: refl-ta-def reflcl-rules-def
image-iff Bex-def)

```

lemma reflcl-rules-args:

```

length ps = n ⟹ f ps → p |∈| reflcl-rules F q ⟹ ps = replicate n q
by (auto simp: reflcl-rules-def)

```

lemma Q-refl-ta:

```

Q (refl-ta F q) |⊆| {q}
by (auto simp: Q-def refl-ta-def rule-states-def reflcl-rules-def fset-of-list-elem)

```

lemma refl-ta-complete1:

```

Var p |∈| ta-der' (refl-ta F q) s ⟹ p ≠ q ⟹ s = Var p
by (cases s) (auto simp: ta-der'.simples refl-ta-def reflcl-rules-def)

```

lemma refl-ta-complete2:

```

Var q |∈| ta-der' (refl-ta F q) s ⟹ funas-term s ⊆ fset F ∧ vars-term s ⊆ {q}
unfolding ta-der-to-ta-der[symmetric]
using ta-der-term-sig[of q refl-ta F q s] ta-der-states'[of q refl-ta F q s]
using fsubsetD[OF Q-refl-ta[of F q]]
by (auto simp: funas-term.rep_eq)
(metis Term.term.simps(17) fresh-states-ta-der'-pres singletonD ta-der-to-ta-der')

```

lemma gen-reflcl-lang:

```

assumes q |notin| Q A
shows gta-lang (finsert q Q) (gen-reflcl-automaton F A q) = gta-lang Q A ∪
T_G (fset F)
(is ?Ls = ?Rs)

```

proof –

```

let ?A = gen-reflcl-automaton F A q
interpret sq: derivation-split ?A A refl-ta F q
using assms unfolding derivation-split-def
by (auto simp: gen-reflcl-automaton-def refl-ta-def reflcl-rules-def Q-def)

```

show ?thesis

proof

```

{fix s assume s ∈ ?Ls then obtain p u where
seq: u |∈| ta-der' A (term-of-gterm s) Var p |∈| ta-der' (refl-ta F q) u and
fin: p |∈| finsert q Q

```

```

by (auto simp: ta-der-to-ta-der' elim!: gta-langE dest!: sq.ta-der'-split)
have vars-term u ⊆ {q} ==> u = term-of-gterm s using assms
  by (intro ta-det'-vars-term-id[OF seq(1)]) auto
then have s ∈ ?Rs using assms fin seq funas-term-of-gterm-conv
  using refl-ta-complete1[OF seq(2)]
  by (cases p = q) (auto simp: ta-der-to-ta-der' ℐ_G-funas-gterm-conv dest!:
refl-ta-complete2)
then show ?Ls ⊆ gta-lang Q ℐ_A ∪ ℐ_G (fset ℐ) by blast
next
  show gta-lang Q ℐ_A ∪ ℐ_G (fset ℐ) ⊆ ?Ls
    using sq.ta-der-monos unfolding gta-lang-def gta-der-def
    by (auto dest: refl-ta-sound)
qed
qed

lemma reflcl-lang:
  gta-lang (finsert None (Some |` Q)) (reflcl-automaton ℐ ℐ_A) = gta-lang Q ℐ_A ∪
  ℐ_G (fset ℐ)
proof -
  have st: None |#| ℐ (fmap-states-ta Some ℐ_A) by auto
  have gta-lang Q ℐ_A = gta-lang (Some |` Q) (fmap-states-ta Some ℐ_A)
    by (simp add: finj-Some fmap-states-ta-lang2)
  then show ?thesis
    unfolding reflcl-automaton-def Let-def gen-reflcl-lang[OF st, of Some |` Q ℐ]
    by simp
qed

lemma ℐ-reflcl-reg:
  ℐ (reflcl-reg ℐ ℐ_A) = ℐ ℐ_A ∪ ℐ_G (fset ℐ)
  by (simp add: ℐ-def reflcl-lang reflcl-reg-def )

```

5.1.11 Correctness of gen-parallel-closure-automaton and parallel-closure-reg

```

lemma set-list-subset-nth-conv:
  set xs ⊆ A ==> i < length xs ==> xs ! i ∈ A
  by (metis in-set-conv-nth subset-code(1))

lemma ground-gmctxt-of-mctxt-fill-holes':
  num-holes C = length ss ==> ground-mctxt C ==> ∀ s∈set ss. ground s ==>
  fill-gholes (gmctxt-of-mctxt C) (map gterm-of-term ss) = gterm-of-term (fill-holes
  C ss)
  using ground-gmctxt-of-mctxt-fill-holes
  by (metis term-of-gterm-inv)

lemma refl-over-states-ta-eps-trancl [simp]:
  (eps (refl-over-states-ta Q ℐ ℐ_A q))|+| = eps (refl-over-states-ta Q ℐ ℐ_A q)
proof (intro fequalityI fsubsetI)
  fix x assume x |∈| (eps (refl-over-states-ta Q ℐ ℐ_A q))|+|

```

```

hence (fst x, snd x) |∈| (eps (refl-over-states-ta Q F A q))|+|
  by (metis prod.exhaustsel)
thus x |∈| eps (refl-over-states-ta Q F A q)
  by (rule ftranclE) (auto simp add: refl-over-states-ta-def image-iff Bex-def dest:
ftranclD)
next
fix x assume x |∈| eps (refl-over-states-ta Q F A q)
thus x |∈| (eps (refl-over-states-ta Q F A q))|+|
  by (metis fr-into-trancl prod.exhaustsel)
qed

lemma refl-over-states-ta-epsD:
(p, q) |∈| (eps (refl-over-states-ta Q F A q)) ==> p |∈| Q
  by (auto simp: refl-over-states-ta-def)

lemma refl-over-states-ta-vars-term:
q |∈| ta-der (refl-over-states-ta Q F A q) u ==> vars-term u ⊆ insert q (fset Q)
proof (induct u)
  case (Fun f ts)
  from Fun(2) reflcl-rules-args[of - length ts f - F q]
  have i < length ts ==> q |∈| ta-der (refl-over-states-ta Q F A q) (ts ! i) for i
    by (fastforce simp: refl-over-states-ta-def)
  then have i < length ts ==> x ∈ vars-term (ts ! i) ==> x = q ∨ x |∈| Q for i x
    using Fun(1)[OF nth-mem, of i]
    by (meson insert-iff subsetD)
  then show ?case by (fastforce simp: in-set-conv-nth)
qed (auto dest: refl-over-states-ta-epsD)

lemmas refl-over-states-ta-vars-term' =
refl-over-states-ta-vars-term[unfolded ta-der-to-ta-der' ta-der'-target-args-vars-term-conv,
THEN set-list-subset-nth-conv, unfolded finsert.rep-eq[symmetric]]

lemma refl-over-states-ta-sound:
funas-term u ⊆ fset F ==> vars-term u ⊆ insert q (fset (Q |∩| Q A)) ==> q |∈|
ta-der (refl-over-states-ta Q F A q) u
proof (induct u)
  case (Fun f ts)
  have reach: i < length ts ==> q |∈| ta-der (refl-over-states-ta Q F A q) (ts ! i)
for i
  using Fun(2-) by (intro Fun(1)[OF nth-mem]) (auto simp: SUP-le-iff)
  from Fun(2) have TA-rule f (replicate (length ts) q) q |∈| rules (refl-over-states-ta
Q F A q)
    by (auto simp: refl-over-states-ta-def reflcl-rules-def image-iff fBex-def)
  then show ?case using reach
    by force
qed (auto simp: refl-over-states-ta-def)

lemma gen-parallelcl-lang:
fixes A :: ('q, 'f) ta

```

```

assumes q |notin| Q A
shows gta-lang {|q|} (gen-parallel-closure-automaton Q F A q) =
  {fill-gholes C ss | C ss. num-gholes C = length ss ∧ funas-gmctxt C ⊆ (fset F)
  ∧ (∀ i < length ss. ss ! i ∈ gta-lang Q A)}
  (is ?Ls = ?Rs)
proof -
  let ?A = gen-parallel-closure-automaton Q F A q let ?B = refl-over-states-ta Q
  F A q
  interpret sq: derivation-split ?A A ?B
    using assms unfolding derivation-split-def
    by (auto simp: gen-parallel-closure-automaton-def refl-over-states-ta-def Q-def
        reflcl-rules-def)
  {fix s assume s ∈ ?Ls then obtain u where
    seq: u |in| ta-der' A (term-of-gterm s) Var q |in| ta-der'?B u and
    fin: q |in| finsert q Q
    by (auto simp: ta-der-to-ta-der' elim!: gta-langE dest!: sq.ta-der'-split)
    let ?w = λ i. ta-der'-source-args u (term-of-gterm s) ! i
    have s ∈ ?Rs using seq(1) ta-der'-Var-funas[OF seq(2)] fin
      using ground-ta-der-statesD[of ?w i ta-der'-target-args u ! i A for i] assms
      using refl-over-states-ta-vars-term'[OF seq(2)]
      using ta-der'-ground-mctxt-structure[OF seq(1)]
    by (force simp: ground-gmctxt-of-mctxt-fill-holes' ta-der'-source-args-term-of-gterm
        intro!: exI[of - gmctxt-of-mctxt (ta-der'-target-mctxt u)]
        exI[of - map gterm-of-term (ta-der'-source-args u (term-of-gterm s))]
        gta-langI[of ta-der'-target-args u ! i Q A
                  gterm-of-term (?w i) for i])
    then have ls: ?Ls ⊆ ?Rs by blast
  {fix t assume t ∈ ?Rs
    then obtain C ss where len: num-gholes C = length ss and
      gr-fun: funas-gmctxt C ⊆ fset F and
      reachA: ∀ i < length ss. ss ! i ∈ gta-lang Q A and
      const: t = fill-gholes C ss by auto
    from reachA obtain qs where length ss = length qs ∀ i < length qs. qs ! i |in|
      Q |cap| Q A
      ∀ i < length qs. qs ! i |in| ta-der A ((map term-of-gterm ss) ! i)
      using Ex-list-of-length-P[of length ss λ i. q |in| ta-der A (term-of-gterm (ss
      ! i)) ∧ q |in| Q]
      by (metis (full-types) fintertI gta-langE gterm-ta-der-states length-map map-nth-eq-conv)
      then have q |in| ta-der ?A (fill-holes (mctxt-of-gmctxt C) (map term-of-gterm
      ss))
        using reachA len gr-fun
        by (intro sq.mctxt-const-to-ta-der[of mctxt-of-gmctxt C map term-of-gterm ss
        qs q])
          (auto simp: funas-mctxt-of-gmctxt-conv
          dest!: in-set-idx intro!: refl-over-states-ta-sound)
      then have t ∈ ?Ls unfolding const
        by (simp add: fill-holes-mctxt-of-gmctxt-to-fill-gholes gta-langI len)}
    then show ?thesis using ls by blast
qed

```

```

lemma parallelcl-gmctxt-lang:
  fixes  $\mathcal{A} :: ('q, 'f) reg$ 
  shows  $\mathcal{L} (\text{parallel-closure-reg } \mathcal{F} \mathcal{A}) =$ 
    {fill-holes  $C ss |$ 
      $C ss. \text{num-holes } C = \text{length } ss \wedge \text{funas-gmctxt } C \subseteq fset \mathcal{F} \wedge (\forall i < \text{length } ss. ss ! i \in \mathcal{L} \mathcal{A})\}$ 
  proof -
    have  $*: gta-lang (fin (fmap-states-reg Some \mathcal{A})) (fmap-states-ta Some (ta \mathcal{A})) =$ 
     $gta-lang (fin \mathcal{A}) (ta \mathcal{A})$ 
    by (simp add: finj-Some fmap-states-reg-def fmap-states-ta-lang2)
    have None  $\notin \mathcal{Q} (\text{fmap-states-ta Some (ta } \mathcal{A}))$  by auto
    from gen-parallelcl-lang[OF this, of fin (fmap-states-reg Some \mathcal{A}) \mathcal{F}] show ?thesis
    unfolding  $\mathcal{L}\text{-def parallel-closure-reg-def Let-def } *$  fmap-states-reg-def
    by (simp add: finj-Some fmap-states-ta-lang2)
  qed

lemma parallelcl-mctxt-lang:
  shows  $\mathcal{L} (\text{parallel-closure-reg } \mathcal{F} \mathcal{A}) =$ 
    {((gterm-of-term :: ('f, 'q option) term  $\Rightarrow$  'f gterm) (fill-holes  $C (\text{map term-of-gterm } ss)) |$ 
      $C ss. \text{num-holes } C = \text{length } ss \wedge \text{ground-mctxt } C \wedge \text{funas-mctxt } C \subseteq fset \mathcal{F}$ 
      $\wedge (\forall i < \text{length } ss. ss ! i \in \mathcal{L} \mathcal{A})\}$ 
  by (auto simp: parallelcl-gmctxt-lang) (metis funas-gmctxt-of-mctxt num-holes-gmctxt-of-mctxt
  ground-gmctxt-of-gterm-of-term funas-mctxt-of-gmctxt-conv
  ground-mctxt-of-gmctxt mctxt-of-gmctxt-fill-holes num-holes-mctxt-of-gmctxt)+
```

5.1.12 Correctness of gen-ctxt-closure-reg and ctxt-closure-reg

```

lemma semantic-path-rules-rhs:
   $r \in| \text{semantic-path-rules } Q q_c q_i q_f \implies r\text{-rhs } r = q_f$ 
  by (auto simp: semantic-path-rules-def)

lemma reflcl-over-single-ta-transl [simp]:
   $(\text{eps} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f))|^{+}| = \text{eps} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f)$ 
  proof (intro fequalityI fsubsetI)
    fix  $x$  assume  $x \in| (\text{eps} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f))|^{+}|$ 
    hence  $(\text{fst } x, \text{snd } x) \in| (\text{eps} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f))|^{+}|$ 
      by simp
    thus  $x \in| \text{eps} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f)$ 
      by (smt (verit, ccfv-threshold) fimageE ftrancID ftrancIE prod.collapse
      reflcl-over-single-ta-def snd-conv ta.sel(2))
  next
    show  $\bigwedge x. x \in| \text{eps} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f) \implies$ 
       $x \in| (\text{eps} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f))|^{+}|$ 
      by auto
  qed

lemma reflcl-over-single-ta-epsD:
```

$(p, q_f) \in \text{eps} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f) \implies p \in Q$
 $(p, q) \in \text{eps} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f) \implies q = q_f$
by (auto simp: reflcl-over-single-ta-def)

lemma reflcl-over-single-ta-rules-split:

$r \in \text{rules} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f) \implies$
 $r \in \text{reflcl-rules } \mathcal{F} q_c \vee r \in \text{semantic-path-rules } \mathcal{F} q_c q_f q_f$
by (auto simp: reflcl-over-single-ta-def)

lemma reflcl-over-single-ta-rules-semantic-path-rulesI:

$r \in \text{semantic-path-rules } \mathcal{F} q_c q_f q_f \implies r \in \text{rules} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f)$
by (auto simp: reflcl-over-single-ta-def)

lemma semantic-path-rules-fmember [intro]:

$TA\text{-rule } f \ qs \ q \in \text{semantic-path-rules } \mathcal{F} q_c q_i q_f \longleftrightarrow (\exists n. i. (f, n) \in \mathcal{F} \wedge i < n \wedge q = q_f \wedge$
 $(qs = (\text{replicate } n q_c)[i := q_i]))$ (**is** ?Ls \longleftrightarrow ?Rs)
by (force simp: semantic-path-rules-def fBex-def fimage-iff fset-of-list-elem)

lemma semantic-path-rules-fmemberD:

$r \in \text{semantic-path-rules } \mathcal{F} q_c q_i q_f \implies (\exists n. i. (r\text{-root } r, n) \in \mathcal{F} \wedge i < n \wedge$
 $r\text{-rhs } r = q_f \wedge$
 $(r\text{-lhs-states } r = (\text{replicate } n q_c)[i := q_i]))$
by (cases r) (simp add: semantic-path-rules-fmember)

lemma reflcl-over-single-ta-vars-term-qc:

$q_c \neq q_f \implies q_c \in \text{ta-der} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f) u \implies$
 $\text{vars-term-list } u = \text{replicate} (\text{length} (\text{vars-term-list } u)) q_c$
proof (induct u)
case (Fun f ts)
have $i < \text{length } ts \implies q_c \in \text{ta-der} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f) (ts ! i)$ **for**
i **using** Fun(2, 3)
by (auto dest!: reflcl-over-single-ta-rules-split reflcl-over-single-ta-epsD
 reflcl-rules-args semantic-path-rules-rhs)
then have $i < \text{length} (\text{concat} (\text{map} \text{ vars-term-list } ts)) \implies \text{concat} (\text{map} \text{ vars-term-list } ts) ! i = q_c$ **for** *i*
using Fun(1)[OF nth-mem Fun(2)]
by (metis (no-types, lifting) length-map nth-concat-split nth-map nth-replicate)
then show ?case **using** Fun(1)[OF nth-mem Fun(2)]
by (auto intro: nth-equalityI)
qed (auto dest: reflcl-over-single-ta-epsD)

lemma reflcl-over-single-ta-vars-term:

$q_c \notin Q \implies q_c \neq q_f \implies q_f \in \text{ta-der} (\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f) u \implies$
 $\text{length} (\text{vars-term-list } u) = n \implies (\exists i. q. i < n \wedge q \in \text{finsert } q_f Q \wedge$
 $\text{vars-term-list } u = (\text{replicate } n q_c)[i := q])$
proof (induct u arbitrary: n)

```

case (Var x) then show ?case
  by (intro exI[of - 0] exI[of - x]) (auto dest: reflcl-over-single-ta-epsD(1))
next
  case (Fun f ts)
    from Fun(2, 3, 4) obtain qs where rule: TA-rule f qs qf |∈| semantic-path-rules
     $\mathcal{F}$  qc qf qf
      length qs = length ts  $\forall$  i < length ts. qs ! i |∈| ta-der (reflcl-over-single-ta Q  $\mathcal{F}$ 
      qc qf) (ts ! i)
        using semantic-path-rules-rhs reflcl-over-single-ta-epsD
        by (fastforce simp: reflcl-rules-def dest!: reflcl-over-single-ta-rules-split)
        from rule(1, 2) obtain i where states: i < length ts qs = (replicate (length ts)
        qc)[i := qf]
          by (auto simp: semantic-path-rules-fmember)
        then have qc: j < length ts  $\implies$  j ≠ i  $\implies$  vars-term-list (ts ! j) = replicate
          (length (vars-term-list (ts ! j))) qc for j
            using reflcl-over-single-ta-vars-term-qc[OF Fun(3)] rule
            by force
        from Fun(1)[OF nth-mem, of i] Fun(2, 3) rule states obtain k q where
          qf: k < length (vars-term-list (ts ! i)) q |∈| finsert qf Q
          vars-term-list (ts ! i) = (replicate (length (vars-term-list (ts ! i))) qc)[k := q]
          by (auto simp: nth-list-update split: if-splits)
        let ?l = sum-list (map length (take i (map vars-term-list ts))) + k
        show ?case using qc qf rule(2) Fun(5) states(1)
          apply (intro exI[of - ?l] exI[of - q])
          apply (auto simp: concat-nth-length nth-list-update elim!: nth-concat-split' intro!: nth-equalityI)
            apply (metis length-replicate nth-list-update-eq nth-list-update-neq nth-replicate)+
          done
qed

lemma refl-ta-reflcl-over-single-ta-mono:
  q |∈| ta-der (refl-ta  $\mathcal{F}$  q) t  $\implies$  q |∈| ta-der (reflcl-over-single-ta Q  $\mathcal{F}$  q qf) t
  by (intro ta-der-el-mono[where ?B = reflcl-over-single-ta Q  $\mathcal{F}$  q qf])
    (auto simp: refl-ta-def reflcl-over-single-ta-def)

lemma reflcl-over-single-ta-sound:
  assumes funas-gctxt C ⊆ fset  $\mathcal{F}$  q |∈| Q
  shows qf |∈| ta-der (reflcl-over-single-ta Q  $\mathcal{F}$  qc qf) (ctxt-of-gctxt C)⟨Var q
  using assms(1)
  proof (induct C)
    case GHole then show ?case using assms(2)
      by (auto simp add: reflcl-over-single-ta-def)
next
  case (GMore f ss C ts)
    let ?i = length ss let ?n = Suc (length ss + length ts)
    from GMore have (f, ?n) |∈|  $\mathcal{F}$  by auto
    then have f ((replicate ?n qc)[?i := qf]  $\rightarrow$  qf |∈| rules (reflcl-over-single-ta Q
    F qc qf)
      using semantic-path-rules-fmember[off (replicate ?n qc)[?i := qf] qf F qc qf

```

```

 $q_f]$ 
  using less-add-Suc1
  by (intro reflcl-over-single-ta-rules-semantic-path-rulesI) blast
  moreover from GMore(2) have  $i < \text{length } ss \implies q_c \in \text{ta-der}(\text{reflcl-over-single-ta}$ 
 $Q \mathcal{F} q_c q_f)$  ( $\text{term-of-gterm}(ss ! i)$ ) for  $i$ 
    by (intro refl-ta-reflcl-over-single-ta-mono refl-ta-sound) (auto simp: SUP-le-iff
 $T_G\text{-funas-gterm-conv}$ )
  moreover from GMore(2) have  $i < \text{length } ts \implies q_c \in \text{ta-der}(\text{reflcl-over-single-ta}$ 
 $Q \mathcal{F} q_c q_f)$  ( $\text{term-of-gterm}(ts ! i)$ ) for  $i$ 
    by (intro refl-ta-reflcl-over-single-ta-mono refl-ta-sound) (auto simp: SUP-le-iff
 $T_G\text{-funas-gterm-conv}$ )
  moreover from GMore have  $q_f \in \text{ta-der}(\text{reflcl-over-single-ta } Q \mathcal{F} q_c q_f)$ 
( $\text{ctxt-of-gctx } C \langle \text{Var } q \rangle$  by auto
ultimately show ?case
  by (auto simp: nth-append-Cons simp del: replicate.simps intro!: exI[of - (replicate
?n  $q_c$ )["?i :=  $q_f$ "]] exI[of -  $q_f$ ])
qed

lemma reflcl-over-single-ta-sig: ta-sig (reflcl-over-single-ta  $Q \mathcal{F} q_c q_f$ )  $\subseteq \mathcal{F}$ 
  by (intro ta-sig-fsubsetI)
  ( $\text{auto simp: reflcl-rules-def dest!: semantic-path-rules-fmemberD reflcl-over-single-ta-rules-split}$ )

lemma gen-gctxcl-lang:
  assumes  $q_c \notin Q \mathcal{A}$  and  $q_f \notin Q \mathcal{A}$  and  $q_c \notin Q$  and  $q_c \neq q_f$ 
  shows gta-lang { $|q_f|$ } (gen-ctxt-closure-automaton  $Q \mathcal{F} \mathcal{A} q_c q_f$ ) =
 $\{C(s)_G \mid C \text{ s. funas-gctx } C \subseteq fset \mathcal{F} \wedge s \in gta-lang Q \mathcal{A}\}$ 
  (is ?Ls = ?Rs)
proof -
  let ?A = gen-ctxt-closure-automaton  $Q \mathcal{F} \mathcal{A} q_c q_f$  let ?B = reflcl-over-single-ta
 $Q \mathcal{F} q_c q_f$ 
  interpret sq: derivation-split ?A  $\mathcal{A}$  ?B
  using assms unfolding derivation-split-def
  by (auto simp: gen-ctxt-closure-automaton-def reflcl-over-single-ta-def Q-def
reflcl-rules-def
  dest!: semantic-path-rules-rhs)
{fix s assume  $s \in ?Ls$  then obtain u where
  seq:  $u \in \text{ta-der}' \mathcal{A}$  ( $\text{term-of-gterm } s$ )  $\text{Var } q_f \in \text{ta-der}' ?B u$  using sq.ta-der'-split
  by (force simp: ta-der-to-ta-der' elim!: gta-langE)
  have  $q_c \notin \text{vars-term } u$   $q_f \notin \text{vars-term } u$ 
  using subsetD[ $\text{OF ta-der}'\text{-gterm-states}[\text{OF seq}(1)]$ ] assms(1, 2)
  by (auto simp flip: set-vars-term-list)
  then obtain q where vars:  $\text{vars-term-list } u = [q]$  and fin:  $q \in Q$  unfolding
set-vars-term-list[symmetric]
  using reflcl-over-single-ta-vars-term[unfolded ta-der-to-ta-der', OF assms(3,
4) seq(2), of length (vars-term-list u)]
  by (metis (no-types, lifting) finsertE in-set-conv-nth length-0-conv length-Suc-conv
length-replicate lessI less-Suc-eq-0-disj nth-Cons-0 nth-list-update nth-replicate
zero-less-Suc)
  have  $s \in ?Rs$  using fin ta-der'-ground-ctxt-structure[ $\text{OF seq}(1)$  vars]

```

```

using ta-der'-Var-funas[OF seq(2), THEN subset-trans, OF reflcl-over-single-ta-sig[unfolded
less-eq-fset.rep-eq]]
by (auto intro!: exI[of - ta-der'-gctxt u] exI[of - ta-der'-source-gctxt-arg u s])
  (metis Un-iff funas-ctxt-apply funas-ctxt-of-gctxt-conv subset-eq)
}
then have ls: ?Ls ⊆ ?Rs by blast
{fix t assume t ∈ ?Rs
  then obtain C s where gr-fun: funas-gctxt C ⊆ fset F and reachA: s ∈
gta-lang Q A and
  const: t = C⟨s⟩G by auto
  from reachA obtain q where der-A: q |∈| Q ∩ Q A q |∈| ta-der A (term-of-gterm
s)
  by auto
  have qf |∈| ta-der ?B (ctxt-of-gctxt C)⟨Var q⟩ using gr-fun der-A(1)
  using reflcl-over-single-ta-sound[OF gr-fun]
  by force
  then have t ∈ ?Ls unfolding const
  by (meson der-A(2) finsertI1 gta-langI sq.gctxt-const-to-ta-der)}
  then show ?thesis using ls by blast
qed

lemma gen-gctxt-closure-sound:
fixes A :: ('q, 'f) reg
assumes qc |∉| Qr A and qf |∉| Qr A and qc |∉| fin A and qc ≠ qf
shows L (gen-ctxt-closure-reg F A qc qf) = {C⟨s⟩G | C s. funas-gctxt C ⊆ fset
F ∧ s ∈ L A}
using gen-gctxtcl-lang[OF assms] unfolding L-def
by (simp add: gen-ctxt-closure-reg-def)

lemma gen-ctxt-closure-sound:
fixes A :: ('q, 'f) reg
assumes qc |∉| Qr A and qf |∉| Qr A and qc |∉| fin A and qc ≠ qf
shows L (gen-ctxt-closure-reg F A qc qf) =
{(gterm-of-term :: ('f, 'q) term ⇒ 'f gterm) C⟨term-of-gterm s⟩ | C s. ground-ctxt
C ∧ funas-ctxt C ⊆ fset F ∧ s ∈ L A}
unfolding gen-gctxt-closure-sound[OF assms]
by (metis (no-types, opaque-lifting) ctxt-of-gctxt-apply funas-ctxt-of-gctxt-conv
gctxt-of-ctxt-inv ground-ctxt-of-gctxt)

lemma gctxt-closure-lang:
shows L (ctxt-closure-reg F A) =
{ C⟨s⟩G | C s. funas-gctxt C ⊆ fset F ∧ s ∈ L A}
proof –
  let ?B = fmap-states-reg Inl (reg-Restr-Qf A)
  have ts: Inr False |∉| Qr ?B Inr True |∉| Qr ?B Inr False |∉| fin (fmap-states-reg
Inl (reg-Restr-Qf A))
  by (auto simp: fmap-states-reg-def fmap-states-ta-def' Q-def rule-states-def)
  from gen-gctxt-closure-sound[OF ts] show ?thesis
  by (simp add: ctxt-closure-reg-def)

```

qed

```

lemma ctxt-closure-lang:
  shows L (ctxt-closure-reg F A) =
    {(gterm-of-term :: ('f, 'q + bool) term  $\Rightarrow$  'f gterm) C(term-of-gterm s) |
     C s. ground-ctxt C  $\wedge$  funas-ctxt C  $\subseteq$  fset F  $\wedge$  s  $\in$  L A}
  unfolding gctxt-closure-lang
  by (metis (mono-tags, opaque-lifting) ctxt-of-gctxt-inv funas-gctxt-of-ctxt
    ground-ctxt-of-gctxt ground-gctxt-of-ctxt-apply-gterm term-of-gterm-inv)

```

5.1.13 Correctness of gen-nhole-ctxt-closure-automaton and nhole-ctxt-closure-reg

```

lemma reflcl-over-nhole-ctxt-ta-vars-term-qc:
  qc  $\neq$  qf  $\Rightarrow$  qc  $\neq$  qi  $\Rightarrow$  qc  $\in|$  ta-der (reflcl-over-nhole-ctxt-ta Q F qc qi qf) u
   $\Rightarrow$ 
    vars-term-list u = replicate (length (vars-term-list u)) qc
  proof (induct u)
    case (Fun f ts)
      have i < length ts  $\Rightarrow$  qc  $\in|$  ta-der (reflcl-over-nhole-ctxt-ta Q F qc qi qf) (ts
      ! i) for i using Fun(2, 3, 4)
        by (auto simp: reflcl-over-nhole-ctxt-ta-def dest!: ftrancld2 reflcl-rules-args se-
          mantic-path-rules-rhs)
        then have i < length (concat (map vars-term-list ts))  $\Rightarrow$  concat (map vars-term-list
          ts) ! i = qc for i
          using Fun(1)[OF nth-mem Fun(2, 3)]
        by (metis (no-types, lifting) length-map map-nth-eq-conv nth-concat-split' nth-replicate)
        then show ?case using Fun(1)[OF nth-mem Fun(2)]
          by (auto intro: nth-equalityI)
  qed (auto simp: reflcl-over-nhole-ctxt-ta-def dest: ftrancld2)

```

```

lemma reflcl-over-nhole-ctxt-ta-vars-term-Var:
  assumes disj: qc  $\notin|$  Q qf  $\notin|$  Q qc  $\neq$  qf qi  $\neq$  qf qc  $\neq$  qi
  and reach: qi  $\in|$  ta-der (reflcl-over-nhole-ctxt-ta Q F qc qi qf) u
  shows ( $\exists$  q. q  $\in|$  finsert qi Q  $\wedge$  u = Var q) using assms
  by (cases u) (fastforce simp: reflcl-over-nhole-ctxt-ta-def reflcl-rules-def dest:
    ftrancld semantic-path-rules-rhs)+
```

```

lemma reflcl-over-nhole-ctxt-ta-vars-term:
  assumes disj: qc  $\notin|$  Q qf  $\notin|$  Q qc  $\neq$  qf qi  $\neq$  qf qc  $\neq$  qi
  and reach: qf  $\in|$  ta-der (reflcl-over-nhole-ctxt-ta Q F qc qi qf) u
  shows ( $\exists$  i q. i < length (vars-term-list u)  $\wedge$  q  $\in|$  {qi, qf}  $\cup|$  Q  $\wedge$  vars-term-list
  u = (replicate (length (vars-term-list u)) qc)[i := q])
  using assms
  proof (induct u)
    case (Var q) then show ?case
      by (intro exI[of - 0] exI[of - q]) (auto simp: reflcl-over-nhole-ctxt-ta-def dest:
        ftrancld2)
    next
      case (Fun f ts)
```

```

from Fun(2 – 7) obtain q qs where rule: TA-rule f qs qf |∈| semantic-path-rules
F qc q qf q = qi ∨ q = qf
length qs = length ts ∀ i < length ts. qs ! i |∈| ta-der (reflcl-over-nhole-ctxt-ta
Q F qc qi qf) (ts ! i)
by (auto simp: reflcl-over-nhole-ctxt-ta-def reflcl-rules-def dest: ftrancld2)
from rule(1 – 3) obtain i where states: i < length ts qs = (replicate (length
ts) qc)[i := q]
by (auto simp: semantic-path-rules-fmember)
then have qc: j < length ts ⇒ j ≠ i ⇒ vars-term-list (ts ! j) = replicate
(length (vars-term-list (ts ! j))) qc for j
using reflcl-over-nhole-ctxt-ta-vars-term-qc[OF Fun(4, 6)] rule
by force
from rule states have q |∈| ta-der (reflcl-over-nhole-ctxt-ta Q F qc qi qf) (ts !
i)
by auto
from this Fun(1)[OF nth-mem, of i, OF - Fun(2 – 6)] rule(2) states(1) obtain
k q' where
qf: k < length (vars-term-list (ts ! i)) q' |∈| {qi, qf} |∪| Q
vars-term-list (ts ! i) = (replicate (length (vars-term-list (ts ! i))) qc)[k := q']
using reflcl-over-nhole-ctxt-ta-vars-term-Var[OF Fun(2 – 6), of F ts ! i]
by (auto simp: nth-list-update split: if-splits) blast
let ?l = sum-list (map length (take i (map vars-term-list ts))) + k
show ?case using qc qf rule(3) states(1)
apply (intro exI[of - ?l] exI[of - q'])
apply (auto 0 0 simp: concat-nth-length nth-list-update elim!: nth-concat-split'
intro!: nth-equalityI)
apply (metis length-replicate nth-list-update-eq nth-list-update-neq nth-replicate) +
done
qed

lemma reflcl-over-nhole-ctxt-ta-mono:
q |∈| ta-der (refl-ta F q) t ⇒ q |∈| ta-der (reflcl-over-nhole-ctxt-ta Q F q qi
qf) t
by (intro ta-der-el-mono[where ?B = reflcl-over-nhole-ctxt-ta Q F q qi qf])
(auto simp: refl-ta-def reflcl-over-nhole-ctxt-ta-def)

lemma reflcl-over-nhole-ctxt-ta-sound:
assumes funas-gctxt C ⊆ fset F C ≠ GHole q |∈| Q
shows qf |∈| ta-der (reflcl-over-nhole-ctxt-ta Q F qc qi qf) (ctxt-of-gctxt C)(Var
q) using assms(1, 2)
proof (induct C)
case GHole then show ?case using assms(2)
by (auto simp add: reflcl-over-single-ta-def)
next
case (GMore f ss C ts) note IH = this
let ?i = length ss let ?n = Suc (length ss + length ts)
from GMore have funas: (f, ?n) |∈| F by auto
from GMore(2) have args-ss: i < length ss ⇒ qc |∈| ta-der (reflcl-over-nhole-ctxt-ta

```

```

 $Q \mathcal{F} q_c q_i q_f$  (term-of-gterm (ss ! i)) for i
  by (intro reflcl-over-nhole-ctxt-ta-mono refl-ta-sound) (auto simp: SUP-le-iff
 $\mathcal{T}_G\text{-funas-gterm-conv}$ )
  from GMore(2) have args-ts:  $i < \text{length } ts \implies q_c \in \text{ta-der}(\text{reflcl-over-nhole-ctxt-ta})$ 
 $Q \mathcal{F} q_c q_i q_f$  (term-of-gterm (ts ! i)) for i
  by (intro reflcl-over-nhole-ctxt-ta-mono refl-ta-sound) (auto simp: SUP-le-iff
 $\mathcal{T}_G\text{-funas-gterm-conv}$ )
  note args = this
  show ?case
  proof (cases C)
    case [simp]: GHole
      from funas have f ((replicate ?n qc) $[?i := q_i]$ )  $\rightarrow q_f \in \text{rules}(\text{reflcl-over-nhole-ctxt-ta})$ 
 $Q \mathcal{F} q_c q_i q_f$ 
  using semantic-path-rules-fmember[off (replicate ?n qc) $[?i := q_i]$  qf F qc qi
 $q_f]$ 
  unfolding reflcl-over-nhole-ctxt-ta-def
  by (metis funionCI less-add-Suc1 ta.sel(1))
  moreover have  $q_i \in \text{ta-der}(\text{reflcl-over-nhole-ctxt-ta} Q \mathcal{F} q_c q_i q_f)$  (ctxt-of-gctxt
C)⟨Var qusing assms(3) by (auto simp: reflcl-over-nhole-ctxt-ta-def)
  ultimately show ?thesis using args-ss args-ts
  by (auto simp: nth-append-Cons simp del: replicate.simps intro!: exI[of -
(replicate ?n qc) $[?i := q_i]$ ] exI[of - qf])
next
  case (GMore x21 x22 x23 x24)
  then have  $q_f \in \text{ta-der}(\text{reflcl-over-nhole-ctxt-ta} Q \mathcal{F} q_c q_i q_f)$  (ctxt-of-gctxt
C)⟨Var qusing IH(1, 2) by auto
  moreover from funas have f ((replicate ?n qc) $[?i := q_f]$ )  $\rightarrow q_f \in \text{rules}$ 
(reflcl-over-nhole-ctxt-ta  $Q \mathcal{F} q_c q_i q_f)$ 
  using semantic-path-rules-fmember[of f (replicate ?n qc) $[?i := q_f]$  qf F qc
 $q_f q_f]$ 
  unfolding reflcl-over-nhole-ctxt-ta-def
  by (metis funionI2 less-add-Suc1 ta.sel(1))
  ultimately show ?thesis using args-ss args-ts
  by (auto simp: nth-append-Cons simp del: replicate.simps intro!: exI[of -
(replicate ?n qc) $[?i := q_f]$ ] exI[of - qf])
qed
qed

lemma reflcl-over-nhole-ctxt-ta-sig: ta-sig (reflcl-over-nhole-ctxt-ta  $Q \mathcal{F} q_c q_i q_f$ )
 $\subseteq \mathcal{F}$ 
by (intro ta-sig-fsubsetI)
  (auto simp: reflcl-over-nhole-ctxt-ta-def reflcl-rules-def dest!: semantic-path-rules-fmemberD)

lemma gen-nhole-gctxt-closure-lang:
  assumes  $q_c \notin \mathcal{Q} \mathcal{A} q_i \notin \mathcal{Q} \mathcal{A} q_f \notin \mathcal{Q} \mathcal{A}$ 
  and  $q_c \notin Q q_f \notin Q$ 
  and  $q_c \neq q_i q_c \neq q_f q_i \neq q_f$ 

```

```

shows gta-lang { $|q_f|$ } (gen-nhole-ctxt-closure-automaton  $Q \mathcal{F} \mathcal{A} q_c q_i q_f$ ) =
{ $C(s)_G \mid C s. C \neq GHole \wedge \text{funas-gctxt } C \subseteq fset \mathcal{F} \wedge s \in gta-lang Q \mathcal{A}$ }
(is ?Ls = ?Rs)
proof -
let ?A = gen-nhole-ctxt-closure-automaton  $Q \mathcal{F} \mathcal{A} q_c q_i q_f$  let ?B = reflcl-over-nhole-ctxt-ta
 $Q \mathcal{F} q_c q_i q_f$ 
interpret sq: derivation-split ?A ?B
using assms unfolding derivation-split-def
by (auto simp: gen-nhole-ctxt-closure-automaton-def reflcl-over-nhole-ctxt-ta-def
Q-def reflcl-rules-def
dest!: semantic-path-rules-rhs)
fix s assume s ∈ ?Ls then obtain u where
seq:  $u \in |ta\text{-der}' \mathcal{A} (\text{term-of-gterm } s) \text{ Var } q_f| \in |ta\text{-der}' ?B u$  using sq.ta-der'-split
by (force simp: ta-der-to-ta-der' elim!: gta-langE)
have  $q_c \notin \text{vars-term } u$   $q_i \notin \text{vars-term } u$   $q_f \notin \text{vars-term } u$ 
using subsetD[OF ta-der'-gterm-states[OF seq(1)]] assms(1 – 3)
by (auto simp flip: set-vars-term-list)
then obtain q where vars: vars-term-list  $u = [q]$  and fin:  $q \in |Q|$ 
unfolding set-vars-term-list[symmetric]
using reflcl-over-nhole-ctxt-ta-vars-term[unfolded ta-der-to-ta-der', OF assms(4,
5, 7 – 8, 6) seq(2)]
by (metis (no-types, opaque-lifting) finsert-iff funion-commute funion-finsert-right
length-greater-0-conv lessI list.size(3) list-update-code(2) not0-implies-Suc
nth-list-update-neq nth-mem nth-replicate replicate-Suc replicate-empty
sup-bot.right-neutral)
from seq(2) have ta-der'-gctxt  $u \neq GHole$  using ta-der'-ground-ctxt-structure(1)[OF
seq(1) vars]
using fin assms(4, 5, 8) by (auto simp: reflcl-over-nhole-ctxt-ta-def dest!:
francID2)
then have s ∈ ?Rs using fin ta-der'-ground-ctxt-structure[OF seq(1) vars]
seq(2)
using ta-der'-Var-funas[OF seq(2), THEN subset-trans, OF reflcl-over-nhole-ctxt-ta-sig[unfolded
less-eq-fset.rep-eq]]
by (auto intro!: exI[of - ta-der'-gctxt u] exI[of - ta-der'-source-gctxt-arg u s])
(metis Un-iff funas-ctxt-apply funas-ctxt-of-gctxt-conv in-mono)}
then have ls: ?Ls ⊆ ?Rs by blast
fix t assume t ∈ ?Rs
then obtain C s where gr-fun: funas-gctxt  $C \subseteq fset \mathcal{F}$   $C \neq GHole$  and
reachA:  $s \in gta-lang Q \mathcal{A}$  and
const:  $t = C(s)_G$  by auto
from reachA obtain q where der-A:  $q \in |Q| \cap |\mathcal{Q} \mathcal{A}|$   $q \in |ta\text{-der } \mathcal{A} (\text{term-of-gterm } s)|$ 
by auto
have  $q_f \in |ta\text{-der } ?B (\text{ctxt-of-gctxt } C) \langle \text{Var } q \rangle|$  using gr-fun der-A(1)
using reflcl-over-nhole-ctxt-ta-sound[OF gr-fun]
by force
then have t ∈ ?Ls unfolding const
by (meson der-A(2) finsertI1 gta-langI sq.gctxt-const-to-ta-der)}
then show ?thesis using ls by blast

```

qed

lemma *gen-nhole-gctxt-closure-sound*:
assumes $q_c \notin \mathcal{Q}_r \mathcal{A} q_i \notin \mathcal{Q}_r \mathcal{A} q_f \notin \mathcal{Q}_r \mathcal{A}$
and $q_c \notin (\text{fin } \mathcal{A}) q_f \notin (\text{fin } \mathcal{A})$
and $q_c \neq q_i q_c \neq q_f q_i \neq q_f$
shows $\mathcal{L}(\text{gen-nhole-ctxt-closure-reg } \mathcal{F} \mathcal{A} q_c q_i q_f) =$
 $\{ C\langle s \rangle_G \mid C s. C \neq \text{G Hole} \wedge \text{funas-gctxt } C \subseteq \text{fset } \mathcal{F} \wedge s \in \mathcal{L} \mathcal{A} \}$
using *gen-nhole-gctxt-closure-lang*[*OF assms*] **unfolding** $\mathcal{L}\text{-def}$
by (*auto simp: gen-nhole-ctxt-closure-reg-def*)

lemma *nhole-ctxtcl-lang*:

$\mathcal{L}(\text{n hole-ctxt-closure-reg } \mathcal{F} \mathcal{A}) =$
 $\{ C\langle s \rangle_G \mid C s. C \neq \text{G Hole} \wedge \text{funas-gctxt } C \subseteq \text{fset } \mathcal{F} \wedge s \in \mathcal{L} \mathcal{A} \}$
proof –
let $?B = \text{fmap-states-reg } (\text{Inl} :: 'b \Rightarrow 'b + \text{cl-states}) (\text{reg-Restr-}Q_f \mathcal{A})$
have $ts: \text{Inr cl-state} \notin \mathcal{Q}_r ?B \text{Inr tr-state} \notin \mathcal{Q}_r ?B \text{Inr fin-state} \notin \mathcal{Q}_r ?B$
by (*auto simp: fmap-states-reg-def*)
then have $\text{Inr cl-state} \notin \text{fin } (\text{fmap-states-reg Inl } (\text{reg-Restr-}Q_f \mathcal{A}))$
 $\text{Inr fin-state} \notin \text{fin } (\text{fmap-states-reg Inl } (\text{reg-Restr-}Q_f \mathcal{A}))$
using *finj-Inl-Inr(1)* *fmap-states-reg-Restr-Q_f-fin* **by** *blast+*
from *gen-nhole-gctxt-closure-sound*[*OF ts this*] **show** $?thesis$
by (*simp add: n hole-ctxt-closure-reg-def Let-def*)
qed

5.1.14 Correctness of *gen-nhole-mctxt-closure-automaton*

lemmas *reflcl-over-nhole-mctxt-ta-simp* = *reflcl-over-nhole-mctxt-ta-def* *reflcl-over-nhole-ctxt-ta-def*

lemma *reflcl-rules-rhsD*:
assumes $f ps \rightarrow q \in \text{reflcl-rules } \mathcal{F} q_c \implies q = q_c$
by (*auto simp: reflcl-rules-def*)

lemma *reflcl-over-nhole-mctxt-ta-vars-term*:
assumes $q \in \text{ta-der } (\text{reflcl-over-nhole-mctxt-ta } Q \mathcal{F} q_c q_i q_f) t$
and $q_c \notin Q q \neq q_c q_f \neq q_c q_i \neq q_c$
shows *vars-term* $t \neq \{\}$ **using** *assms*
proof (*induction t arbitrary: q*)
case (*Fun f ts*)
let $?A = \text{reflcl-over-nhole-mctxt-ta } Q \mathcal{F} q_c q_i q_f$
from *Fun(2)* **obtain** $p ps$ **where** *rule: TA-rule* $f ps p \in \text{rules } ?A$
 $\text{length } ps = \text{length } ts \forall i < \text{length } ts. ps ! i \in \text{ta-der } ?A (ts ! i)$
 $p = q \vee (p, q) \in (\text{eps } ?A)^+$
by *force*
from *rule(1, 4)* *Fun(3-)* **have** $p \neq q_c$
by (*auto simp: reflcl-over-nhole-mctxt-ta-simp dest: ftranscld*)
then have $\exists i < \text{length } ts. ps ! i \neq q_c$ **using** *rule(1, 2)* *Fun(4-)*
using *semantic-path-rules-fmemberD*

```

by (force simp: reflcl-over-nhole-mctxt-ta-simp dest: reflcl-rules-rhsD)
then show ?case using Fun(1)[OF nth-mem - Fun(3) - Fun(5, 6)] rule(2, 3)
  by fastforce
qed auto

lemma reflcl-over-nhole-mctxt-ta-Fun:
  assumes q_f |∈| ta-der (reflcl-over-nhole-mctxt-ta Q F q_c q_i q_f) t t ≠ Var q_f
  and q_f ≠ q_c q_f ≠ q_i
  shows is-Fun t using assms
  by (cases t) (auto simp: reflcl-over-nhole-mctxt-ta-simp dest: ftrancld2)

lemma rule-states-reflcl-rulesD:
  p |∈| rule-states (reflcl-rules F q) ⟹ p = q
  by (auto simp: reflcl-rules-def rule-states-def fset-of-list-elem)

lemma rule-states-semantic-path-rulesD:
  p |∈| rule-states (semantic-path-rules F q_c q_i q_f) ⟹ p = q_c ∨ p = q_i ∨ p = q_f
  by (auto simp: rule-states-def dest!: semantic-path-rules-fmemberD)
    (metis in-fset-conv-nth length-list-update length-replicate nth-list-update nth-replicate)

lemma Q-reflcl-over-nhole-mctxt-ta:
  Q (reflcl-over-nhole-mctxt-ta Q F q_c q_i q_f) |⊆| Q |∪| {|q_c, q_i, q_f|}
  by (auto 0 0 simp: eps-states-def reflcl-over-nhole-mctxt-ta-simp Q-def
    dest!: rule-states-reflcl-rulesD rule-states-semantic-path-rulesD)

lemma reflcl-over-nhole-mctxt-ta-vars-term-subset-eq:
  assumes q |∈| ta-der (reflcl-over-nhole-mctxt-ta Q F q_c q_i q_f) t q = q_f ∨ q = q_i
  shows vars-term t ⊆ {q_c, q_i, q_f} ∪ fset Q
  using fresh-states-ta-der'-pres[OF -- assms(1)[unfolded ta-der-to-ta-der']] assms(2)
  using fsubsetD[OF Q-reflcl-over-nhole-mctxt-ta[of Q F q_c q_i q_f]]
  by auto

lemma sig-reflcl-over-nhole-mctxt-ta [simp]:
  ta-sig (reflcl-over-nhole-mctxt-ta Q F q_c q_i q_f) = F
  by (force simp: reflcl-over-nhole-mctxt-ta-simp reflcl-rules-def
    dest!: semantic-path-rules-fmemberD intro!: ta-sig-fsubsetI)

lemma reflcl-over-nhole-mctxt-ta-aux-sound:
  assumes funas-term t ⊆ fset F vars-term t ⊆ fset Q
  shows q_c |∈| ta-der (reflcl-over-nhole-mctxt-ta Q F q_c q_i q_f) t using assms
  proof (induct t)
    case (Var x)
    then show ?case
      by (auto simp: reflcl-over-nhole-mctxt-ta-simp fimage-iff)
        (meson finsertI1 finsertI2 fr-into-trancf ftrancf-into-trancf rev-fimage-eqI)
  next
    case (Fun f ts)
    from Fun(2) have TA-rule f (replicate (length ts) q_c) q_c |∈| rules (reflcl-over-nhole-mctxt-ta

```

```

 $Q \mathcal{F} q_c q_i q_f)$ 
  by (auto simp: reflcl-over-nhole-mctxt-ta-simp reflcl-rules-def fimage-iff fBall-def
        split: prod.splits)
  then show ?case using Fun(1)[OF nth-mem] Fun(2-)
    by (auto simp: SUP-le-iff) (metis length-replicate nth-replicate)
qed

lemma reflcl-over-nhole-mctxt-ta-sound:
  assumes funas-term  $t \subseteq fset \mathcal{F}$  vars-term  $t \subseteq fset Q$  vars-term  $t \neq \{\}$ 
  shows (is-Var  $t \rightarrow q_i | \in| ta\text{-der} (\text{reflcl-over-nhole-mctxt-ta } Q \mathcal{F} q_c q_i q_f) t) \wedge$ 
    (is-Fun  $t \rightarrow q_f | \in| ta\text{-der} (\text{reflcl-over-nhole-mctxt-ta } Q \mathcal{F} q_c q_i q_f) t)$  using
  assms
  proof (induct t)
    case (Fun f ts)
    let ?A = reflcl-over-nhole-mctxt-ta Q F q_c q_i q_f
    from Fun(4) obtain i where vars:  $i < \text{length } ts$  vars-term  $(ts ! i) \neq \{\}$ 
      by (metis SUP-le-iff in-set-conv-nth subset-empty term.set(4))
    consider (v) is-Var  $(ts ! i)$  | (f) is-Fun  $(ts ! i)$  by blast
    then show ?case
    proof cases
      case v
      from v Fun(1)[OF nth-mem[OF vars(1)]] have  $q_i | \in| ta\text{-der} ?A (ts ! i)$ 
        using vars Fun(2-) by (auto simp: SUP-le-iff)
      moreover have f (replicate (length ts) q_c)[i := q_i]  $\rightarrow q_f | \in| rules ?A$ 
        using Fun(2) vars(1)
        by (auto simp: reflcl-over-nhole-mctxt-ta-simp semantic-path-rules-fmember)
      moreover have j < length ts  $\implies q_c | \in| ta\text{-der} ?A (ts ! j)$  for j using Fun(2-)
        by (intro reflcl-over-nhole-mctxt-ta-aux-sound) (auto simp: SUP-le-iff)
      ultimately show ?thesis using vars
        by auto (metis length-list-update length-replicate nth-list-update nth-replicate)
    next
      case f
      from f Fun(1)[OF nth-mem[OF vars(1)]] have  $q_f | \in| ta\text{-der} ?A (ts ! i)$ 
        using vars Fun(2-) by (auto simp: SUP-le-iff)
      moreover have f (replicate (length ts) q_c)[i := q_f]  $\rightarrow q_f | \in| rules ?A$ 
        using Fun(2) vars(1)
        by (auto simp: reflcl-over-nhole-mctxt-ta-simp semantic-path-rules-fmember)
      moreover have j < length ts  $\implies q_c | \in| ta\text{-der} ?A (ts ! j)$  for j using Fun(2-)
        by (intro reflcl-over-nhole-mctxt-ta-aux-sound) (auto simp: SUP-le-iff)
      ultimately show ?thesis using vars
        by auto (metis length-list-update length-replicate nth-list-update nth-replicate)

qed
qed (auto simp: reflcl-over-nhole-mctxt-ta-simp dest!: ftrancld2)

```

```

lemma gen-nhole-gmctxt-closure-lang:
  assumes  $q_c | \notin| \mathcal{Q} \mathcal{A}$  and  $q_i | \notin| \mathcal{Q} \mathcal{A}$   $q_f | \notin| \mathcal{Q} \mathcal{A}$ 
  and  $q_c | \notin| Q q_f \neq q_c q_f \neq q_i q_i \neq q_c$ 

```

```

shows gta-lang {|q_f|} (gen-nhole-mctxt-closure-automaton Q F A q_c q_i q_f) =
{ fill-gholes C ss |
  C ss. 0 < num-gholes C ∧ num-gholes C = length ss ∧ C ≠ GMHole ∧
  funas-gmctxt C ⊆ fset F ∧ (∀ i < length ss. ss ! i ∈ gta-lang Q A)}
  (is ?Ls = ?Rs)

proof -
  let ?A = gen-nhole-mctxt-closure-automaton Q F A q_c q_i q_f let ?B = re-
  flcl-over-nhole-mctxt-ta Q F q_c q_i q_f
  interpret sq: derivation-split ?A A ?B
    using assms unfolding derivation-split-def
    by (auto simp: gen-nhole-mctxt-closure-automaton-def reflcl-over-nhole-mctxt-ta-def
      reflcl-over-nhole-ctxt-ta-def Q-def reflcl-rules-def dest!: semantic-path-rules-rhs)
  {fix s assume s ∈ ?Ls then obtain u where
    seq: u |∈| ta-der' A (term-of-gterm s) Var q_f |∈| ta-der' ?B u
    by (auto simp: ta-der-to-ta-der' elim!: gta-langE dest!: sq.ta-der'-split)
    note der = seq(2)[unfolded ta-der-to-ta-der'[symmetric]]
    have vars-term u ⊆ fset Q vars-term u ≠ {}
      using ta-der'-gterm-states[OF seq(1)] assms(1 – 3)
      using reflcl-over-nhole-mctxt-ta-vars-term[OF der assms(4) assms(5) assms(5)
      assms(7)]
        using reflcl-over-nhole-mctxt-ta-vars-term-subset-eq[OF der]
        by (metis Un-insert-left insert-is-Un subset-iff subset-insert)+
    then have vars: ¬ ground u i < length (ta-der'-target-args u) ⟹ ta-der'-target-args
    u ! i |∈| Q for i
      by (auto simp: ta-der'-target-args-def split-vars-vars-term-list
        set-list-subset-nth-conv simp flip: set-vars-term-list)
    have hole: ta-der'-target-mctxt u ≠ MHole using vars assms(3–)
      using reflcl-over-nhole-mctxt-ta-Fun[OF der]
      using ta-der'-mctxt-structure(1, 3)[OF seq(1)]
      by auto (metis fill-holes-MHole gterm-ta-der-states length-map lessI map-nth-eq-conv
      seq(1) ta-der-to-ta-der' term.disc(1))
    let ?w = λ i. ta-der'-source-args u (term-of-gterm s) ! i
    have s ∈ ?Rs using seq(1) ta-der'-Var-funas[OF seq(2)]
      using ground-ta-der-statesD[of ?w i ta-der'-target-args u ! i A for i] assms
  vars
    using ta-der'-ground-mctxt-structure[OF seq(1)] hole
    by (force simp: ground-gmctxt-of-mctxt-fill-holes' ta-der'-source-args-term-of-gterm
      intro!: exI[of - gmctxt-of-mctxt (ta-der'-target-mctxt u)]
      exI[of - map gterm-of-term (ta-der'-source-args u (term-of-gterm s))]
      gta-langI[of ta-der'-target-args u ! i Q A
      gterm-of-term (?w i) for i])
  then have ls: ?Ls ⊆ ?Rs by blast
  {fix t assume t ∈ ?Rs
    then obtain C ss where len: 0 < num-gholes C num-gholes C = length ss C
    ≠ GMHole and
      gr-fun: funas-gmctxt C ⊆ fset F and
      reachA: ∀ i < length ss. ss ! i ∈ gta-lang Q A and
      const: t = fill-gholes C ss by auto
    from reachA obtain qs where states: length ss = length qs ∀ i < length qs.
  }

```

```

qs ! i |∈| Q |∩| ℬ ℬ
  ∀ i < length qs. qs ! i |∈| ta-der ℬ ((map term-of-gterm ss) ! i)
  using Ex-list-of-length-P[of length ss λ q i. q |∈| ta-der ℬ (term-of-gterm (ss
! i)) ∧ q |∈| Q]
    by (metis (full-types) fintterI gta-langE gterm-ta-der-states length-map map-nth-eq-conv)
    have [simp]: is-Fun (fill-holes (mctxt-of-gmctxt C) (map Var qs)) ↔ True
      is-Var (fill-holes (mctxt-of-gmctxt C) (map Var qs)) ↔ False
    using len(3) by (cases C, auto)+
    have qf |∈| ta-der ?A (fill-holes (mctxt-of-gmctxt C) (map term-of-gterm ss))
      using reachA len gr-fun states
      using reflcl-over-nhole-mctxt-ta-sound[of fill-holes (mctxt-of-gmctxt C) (map
Var qs)]
        by (intro sq.mctxt-const-to-ta-der[of mctxt-of-gmctxt C map term-of-gterm ss
qs qf])
          (auto simp: funas-mctxt-of-gmctxt-conv set-list-subset-eq-nth-conv
destl: in-set-idx)
    then have t ∈ ?Ls unfolding const
      by (simp add: fill-holes-mctxt-of-gmctxt-to-fill-gholes gta-langI len)}
    then show ?thesis using ls by blast
qed

lemma nhole-gmctxt-closure-lang:
  ℒ (nhole-mctxt-closure-reg ℬ ℬ) =
  { fill-gholes C ss | C ss. num-gholes C = length ss ∧ 0 < num-gholes C ∧ C ≠
GMHole ∧
    funas-gmctxt C ⊆ fset ℒ ∧ (∀ i < length ss. ss ! i ∈ ℒ ℬ)}
  (is ?Ls = ?Rs)
proof –
  let ?B = fmap-states-reg (Inl :: 'b ⇒ 'b + cl-states) (reg-Restr-Qf ℬ)
  have ts: Inr cl-state |∉| ℬ_r ?B Inr tr-state |∉| ℬ_r ?B Inr fin-state |∉| ℬ_r ?B
    Inr cl-state |∉| fin ?B
    by (auto simp: fmap-states-reg-def)
  have [simp]: gta-lang (fin (fmap-states-reg Inl (reg-Restr-Qf ℬ))) (ta (fmap-states-reg
Inl (reg-Restr-Qf ℬ)))
    = gta-lang (fin ℬ) (ta ℬ)
    by (metis ℒ-def ℒ-fmap-states-reg-Inl-Inr(1) reg-Restr-fin-states)
  from gen-nhole-gmctxt-closure-lang[OF ts] show ?thesis
    by (auto simp add: nhole-mctxt-closure-reg-def gen-nhole-mctxt-closure-reg-def
Let-def ℒ-def)
qed

```

5.1.15 Correctness of gen-mctxt-closure-reg and mctxt-closure-reg

```

lemma gen-gmctxt-closure-lang:
  assumes qc |∉| ℬ ℬ and q_i |∉| ℬ ℬ q_f |∉| ℬ ℬ
  and disj: qc |∉| ℬ q_f ≠ qc q_f ≠ q_i q_i ≠ qc
  shows gta-lang {|q_f, q_i|} (gen-nhole-mctxt-closure-automaton ℬ ℬ qc q_i q_f)
=
  { fill-gholes C ss |

```

$C \text{ ss. } 0 < \text{num-gholes } C \wedge \text{num-gholes } C = \text{length ss} \wedge$
 $\text{funas-gmctxt } C \subseteq \text{fset } \mathcal{F} \wedge (\forall i < \text{length ss. } \text{ss} ! i \in \text{gta-lang } Q \mathcal{A})\}$
 $(\text{is } ?Ls = ?Rs)$

proof –

let $?A = \text{gen-nhole-mctxt-closure-automaton } Q \mathcal{F} \mathcal{A} q_c q_i q_f$ **let** $?B = \text{reflcl-over-nhole-mctxt-ta } Q \mathcal{F} q_c q_i q_f$
interpret $sq: \text{derivation-split } ?A \mathcal{A} ?B$
using $\text{assms unfolding derivation-split-def}$
by (auto simp: $\text{gen-nhole-mctxt-closure-automaton-def reflcl-over-nhole-mctxt-ta-def}$
 $\text{reflcl-over-nhole-ctxt-ta-def } Q\text{-def reflcl-rules-def dest!: semantic-path-rules-rhs}$)
{fix s **assume** $s \in ?Ls$ **then obtain** $u q$ **where**
 $\text{seq: } u \mid\in \text{ta-der}' \mathcal{A} (\text{term-of-gterm } s) \text{ Var } q \mid\in \text{ta-der}' ?B u q = q_f \vee q = q_i$
by (auto simp: $\text{ta-der-to-ta-der}' \text{ elim!: gta-langE dest!: sq.ta-der'-split}$)
have $\text{vars-term } u \subseteq \text{fset } Q \text{ vars-term } u \neq \{\}$
using $\text{ta-der'-gterm-states[OF seq(1)] assms seq(3)}$
using $\text{reflcl-over-nhole-mctxt-ta-vars-term[OF seq(2)[unfolded ta-der-to-ta-der'[symmetric]]]$
 $\text{disj}(1) - \text{disj}(2, 4)$
using $\text{reflcl-over-nhole-mctxt-ta-vars-term-subset-eq[OF seq(2)[unfolded ta-der-to-ta-der'[symmetric]]}$
 seq(3)]
by (metis $\text{Un-insert-left subsetD subset-insert sup-bot-left} +$
then have $\text{vars: } \neg \text{ground } u i < \text{length } (\text{ta-der}' \text{-target-args } u) \implies \text{ta-der}' \text{-target-args }$
 $u ! i \mid\in Q \text{ for } i$
by (auto simp: $\text{ta-der}' \text{-target-args-def split-vars-vars-term-list}$
 $\text{set-list-subset-nth-conv simp flip: set-vars-term-list}$)
let $?w = \lambda i. \text{ta-der}' \text{-source-args } u (\text{term-of-gterm } s) ! i$
have $s \in ?Rs$ **using** $\text{seq(1) ta-der}' \text{-Var-funas[OF seq(2)]}$
using $\text{ground-ta-der-statesD[of } ?w i \text{ ta-der}' \text{-target-args } u ! i \mathcal{A} \text{ for } i] \text{ assms}$
vars
using $\text{ta-der}' \text{-ground-mctxt-structure[OF seq(1)]}$
by (force simp: $\text{ground-gmctxt-of-mctxt-fill-holes}' \text{ ta-der}' \text{-source-args-term-of-gterm}$
 $\text{intro!: exI[of - gmctxt-of-mctxt (ta-der}' \text{-target-mctxt } u)]}$
 $\text{exI[of - map gterm-of-term (ta-der}' \text{-source-args } u (\text{term-of-gterm } s))]$
 $\text{gta-langI[of ta-der}' \text{-target-args } u ! i Q \mathcal{A}$
 $\text{gterm-of-term } (?w i) \text{ for } i]\}$
then have $?Ls \subseteq ?Rs$ **by** blast
moreover
{fix t **assume** $t \in ?Rs$
then obtain $C \text{ ss where len: } 0 < \text{num-gholes } C \text{ num-gholes } C = \text{length ss}$
and
 $\text{gr-fun: funas-gmctxt } C \subseteq \text{fset } \mathcal{F} \text{ and}$
 $\text{reachA: } \forall i < \text{length ss. } \text{ss} ! i \in \text{gta-lang } Q \mathcal{A} \text{ and}$
 $\text{const: } t = \text{fill-gholes } C \text{ ss by auto}$
from $\text{const have const': term-of-gterm } t = \text{fill-holes (mctxt-of-gmctxt } C) (\text{map term-of-gterm ss})$
by (simp add: $\text{fill-holes-mctxt-of-gmctxt-to-fill-gholes len(2)}$)
from $\text{reachA obtain qs where states: } \text{length ss} = \text{length qs } \forall i < \text{length qs. } \text{qs} ! i \mid\in Q \mid\cap Q \mathcal{A}$
 $\forall i < \text{length qs. } \text{qs} ! i \mid\in \text{ta-der } \mathcal{A} ((\text{map term-of-gterm ss}) ! i)$
using $\text{Ex-list-of-length-P[of length ss } \lambda i. \text{q } i \mid\in \text{ta-der } \mathcal{A} (\text{term-of-gterm } (ss$

```

! i)) \wedge q | \in| Q]
  by (metis (full-types) fintterI gta-langE gterm-ta-der-states length-map map-nth-eq-conv)
  have C = GMHole ==> is-Var (fill-holes (mctxt-of-gmctxt C) (map Var qs)) =
= True using len states(1)
  by (metis fill-holes-MHole length-map mctxt-of-gmctxt.simps(1) nth-map
num-gholes.simps(1) term.disc(1))
  then have hole: C = GMHole ==> qi | \in| ta-der ?A (fill-holes (mctxt-of-gmctxt
C) (map term-of-gterm ss))
    using reachA len gr-fun states len
    using reflcl-over-nhole-mctxt-ta-sound[of fill-holes (mctxt-of-gmctxt C) (map
Var qs)]
    by (intro sq.mctxt-const-to-ta-der[of mctxt-of-gmctxt C map term-of-gterm ss
qs qi])
      (auto simp: funas-mctxt-of-gmctxt-conv set-list-subset-eq-nth-conv
dest!: in-set-idx)
  have C ≠ GMHole ==> is-Fun (fill-holes (mctxt-of-gmctxt C) (map Var qs))
= True
  by (cases C) auto
  then have C ≠ GMHole ==> qf | \in| ta-der ?A (fill-holes (mctxt-of-gmctxt C)
(map term-of-gterm ss))
    using reachA len gr-fun states
    using reflcl-over-nhole-mctxt-ta-sound[of fill-holes (mctxt-of-gmctxt C) (map
Var qs)]
    by (intro sq.mctxt-const-to-ta-der[of mctxt-of-gmctxt C map term-of-gterm ss
qs qf])
      (auto simp: funas-mctxt-of-gmctxt-conv set-list-subset-eq-nth-conv
dest!: in-set-idx)
  then have t ∈ ?Ls using hole const' unfolding gta-lang-def gta-der-def
    by (metis (mono-tags, lifting) fempty-iff finsert-iff fintterI mem-Collect-eq)
ultimately show ?thesis
  by (meson subsetI subset-antisym)
qed

```

lemma gmctxt-closure-lang:

```

 $\mathcal{L}$  (mctxt-closure-reg  $\mathcal{F}$   $\mathcal{A}$ ) =
{ fill-gholes C ss | C ss. num-gholes C = length ss \wedge 0 < num-gholes C \wedge
  funas-gmctxt C ⊆ fset  $\mathcal{F}$  \wedge (\forall i < length ss. ss ! i ∈  $\mathcal{L}$   $\mathcal{A}$ )}
(is ?Ls = ?Rs)
proof –
let ?B = fmap-states-reg (Inl :: 'b ⇒ 'b + cl-states) (reg-Restr-Qf  $\mathcal{A}$ )
have ts: Inr cl-state | \notin |  $\mathcal{Q}_r$  ?B Inr tr-state | \notin |  $\mathcal{Q}_r$  ?B Inr fin-state | \notin |  $\mathcal{Q}_r$  ?B
  Inr cl-state | \notin | fin ?B
  by (auto simp: fmap-states-reg-def)
have [simp]: gta-lang (fin (fmap-states-reg Inl (reg-Restr-Qf  $\mathcal{A}$ ))) (ta (fmap-states-reg
Inl (reg-Restr-Qf  $\mathcal{A}$ )))
  = gta-lang (fin  $\mathcal{A}$ ) (ta  $\mathcal{A}$ )
  by (metis L-def L-fmap-states-reg-Inl-Inr(1) reg-Rest-fin-states)
from gen-gmctxt-closure-lang[OF ts] show ?thesis

```

```

by (auto simp add: mctxt-closure-reg-def gen-mctxt-closure-reg-def Let-def L-def)
qed

```

5.1.16 Correctness of nhole-mctxt-reflcl-reg

```

lemma nhole-mctxt-reflcl-lang:
   $\mathcal{L}(\text{nhole-mctxt-reflcl-reg } \mathcal{F} \mathcal{A}) = \mathcal{L}(\text{nhole-mctxt-closure-reg } \mathcal{F} \mathcal{A}) \cup \mathcal{T}_G(\text{fset } \mathcal{F})$ 
proof -
  let ?refl = Reg {|fin-clstate|} (refl-ta  $\mathcal{F}$  (fin-clstate))
  {fix t assume t ∈  $\mathcal{L}$  ?refl then have t ∈  $\mathcal{T}_G(\text{fset } \mathcal{F})$ 
    using reg-funas by fastforce}
  moreover
  {fix t assume t ∈  $\mathcal{T}_G(\text{fset } \mathcal{F})$  then have t ∈  $\mathcal{L}$  ?refl
    by (simp add: L-def gta-langI refl-ta-sound)}
  ultimately have *:  $\mathcal{L}(\text{?refl}) = \mathcal{T}_G(\text{fset } \mathcal{F})$ 
    by blast
  show ?thesis unfolding nhole-mctxt-reflcl-reg-def L-union * by simp
qed
declare ta-union-def [simp del]
end
theory Type-Instances-Impl
imports Bot-Terms
TA-Closure-Const
Regular-Tree-Relations.Tree-Automata-Class-Instances-Impl
begin

```

6 Type class instantiations for the implementation

```

derive linorder sum
derive linorder bot-term
derive linorder cl-states

derive compare bot-term
derive compare cl-states

derive (eq) ceq bot-term mctxt cl-states

derive (compare) ccompare bot-term cl-states

derive (rbt) set-impl bot-term cl-states

derive (no) cenum bot-term

instantiation cl-states :: cenum
begin
abbreviation cl-all-list ≡ [cl-state, tr-state, fin-state, fin-clstate]
definition cEnum-cl-states :: ((cl-states list × ((cl-states ⇒ bool) ⇒ bool)) × ((cl-states ⇒ bool) ⇒ bool)) option
  where cEnum-cl-states = Some (cl-all-list, (λ P. list-all P cl-all-list), (λ P.

```

```

list-ex P cl-all-list))
instance
  apply intro-classes apply (auto simp: cEnum-cl-states-def elim!: cl-states.induct)
  using cl-states.exhaust apply blast
  by (metis cl-states.exhaust)
end

lemma infinite-bot-term-UNIV[simp, intro]: infinite (UNIV :: 'f bot-term set)
proof -
  fix f :: 'f
  let ?inj =  $\lambda n. \text{BFun } f (\text{replicate } n \text{ Bot})$ 
  have inj ?inj unfolding inj-on-def by auto
  from infinite-super[OF - range-inj-infinite[OF this]]
  show ?thesis by blast
qed

lemma finite-cl-states: (UNIV :: cl-states set) = {cl-state, tr-state, fin-state, fin-clstate}
  using cl-states.exhaust
  by auto

instantiation cl-states :: card-UNIV begin
definition finite-UNIV = Phantom(cl-states) True
definition card-UNIV = Phantom(cl-states) 4
instance
  by intro-classes (simp-all add: card-UNIV-cl-states-def finite-UNIV-cl-states-def
finite-cl-states)
end

instantiation bot-term :: (type) finite-UNIV
begin
definition finite-UNIV = Phantom('a bot-term) False
instance
  by (intro-classes, unfold finite-UNIV-bot-term-def, simp)
end

instantiation bot-term :: (compare) cproper-interval
begin
definition cproper-interval =  $(\lambda ( - :: 'a bot-term option) - . \text{False})$ 
instance by (intro-classes, auto)
end

instantiation cl-states :: cproper-interval
begin

definition cproper-interval-cl-states :: cl-states option  $\Rightarrow$  cl-states option  $\Rightarrow$  bool
where cproper-interval-cl-states x y =
(case ID CCOMPARE(cl-states) of Some f  $\Rightarrow$ 

```

```

(case x of None =>
  (case y of None => True | Some c => list-ex (λ x. (lt-of-comp f) x c) cl-all-list)
  | Some c =>
    (case y of None => list-ex (λ x. (lt-of-comp f) c x) cl-all-list
     | Some d => (filter (λ x. (lt-of-comp f) x d ∧ (lt-of-comp f) c x) cl-all-list) ≠ []
      )))

instance
proof (intro-classes)
  assume ass: (ID ccompare :: (cl-states ⇒ cl-states ⇒ order) option) ≠ None
  from ass obtain f where comp: (ID ccompare :: (cl-states ⇒ cl-states ⇒ order)
  option) = Some f by auto
  let ?g = cproper-interval :: cl-states option ⇒ cl-states option ⇒ bool
  have [simp]: x < y ↔ lt-of-comp f x y for x y
  by (metis ID-Some ccompare-cl-states-def comp compare-cl-states-def less-cl-states-def
  option.sel)
  {fix c d x assume lt-of-comp f x d lt-of-comp f c x
   then have c < x x < d by simp-all}
  moreover
  {fix c d assume ∃ z. (c ::cl-states) < z ∧ z < d
   then obtain z where w: c < z ∧ z < d by blast
   then have z ∈ set cl-all-list by (cases z) auto
   moreover have lt-of-comp f z d ∧ lt-of-comp f c z using w comp
   by auto
   ultimately have filter (λx. lt-of-comp f x d ∧ lt-of-comp f c x) cl-all-list ≠ []
   using w
   by auto}
  ultimately have filter (λx. lt-of-comp f x d ∧ lt-of-comp f c x) cl-all-list ≠ []
  ↔ (∃ z. c < z ∧ z < d) for d c using comp
  unfolding filter-empty-conv by simp blast
  then have ?g (Some x) (Some y) = (∃ z. x < z ∧ z < y) for x y
  by (simp add: comp cproper-interval-cl-states-def)
  moreover have ?g None None = True by (simp add: comp cproper-interval-cl-states-def)
  moreover have ?g None (Some y) = (∃ z. z < y) for y using comp
  by (auto simp add: cproper-interval-cl-states-def ccompare-cl-states-def) (metis
  cl-states.exhaust)+
  moreover have ?g (Some y) None = (∃ z. y < z) for y using comp
  by (auto simp add: cproper-interval-cl-states-def) (metis cl-states.exhaust)+
  ultimately show class.proper-interval cless ?g
  unfolding class.proper-interval-def comp
  by simp
qed
end

derive (rbt) mapping-impl cl-states
derive (rbt) mapping-impl bot-term

end
theory NF-Impl

```

```

imports NF
  Type-Instances-Impl
begin

  6.0.1 Implementation of normal form construction

  fun supteq-list :: ('f, 'v) Term.term  $\Rightarrow$  ('f, 'v) Term.term list
  where
    supteq-list (Var x) = [Var x] |
    supteq-list (Fun f ts) = Fun f ts # concat (map supteq-list ts)

  fun supt-list :: ('f, 'v) Term.term  $\Rightarrow$  ('f, 'v) Term.term list
  where
    supt-list (Var x) = [] |
    supt-list (Fun f ts) = concat (map supt-list ts)

  lemma supteq-list [simp]:
    set (supteq-list t) = {s. t  $\sqsupseteq$  s}
  proof (rule set-eqI, simp)
    fix s
    show s  $\in$  set(supteq-list t) = (t  $\sqsupseteq$  s)
  proof (induct t, simp add: supteq-var-imp-eq)
    case (Fun f ss)
    show ?case
    proof (cases Fun f ss = s, (auto)[1])
      case False
      show ?thesis
      proof
        assume Fun f ss  $\sqsupseteq$  s
        with False have sup: Fun f ss  $\triangleright$  s using supteq-supt-conv by auto
        obtain C where C  $\neq$   $\square$  and Fun f ss = C⟨s⟩ using sup by auto
        then obtain b D a where Fun f ss = Fun f (b @ D⟨s⟩ # a) by (cases C,
        auto)
        then have D: D⟨s⟩  $\in$  set ss by auto
        with Fun[OF D] ctxt-imp-supteq[of D s] obtain t where t  $\in$  set ss and s
         $\in$  set (supteq-list t) by auto
        then show s  $\in$  set (supteq-list (Fun f ss)) by auto
      next
        assume s  $\in$  set (supteq-list (Fun f ss))
        with False obtain t where t  $\in$  set ss and s  $\in$  set (supteq-list t) by auto
        with Fun[OF t] have t  $\sqsupseteq$  s by auto
        with t show Fun f ss  $\sqsupseteq$  s by auto
      qed
    qed
    qed
  qed

  lemma supt-list-sound [simp]:
    set (supt-list t) = {s. t  $\triangleright$  s}

```

```

by (cases t) auto

fun mergeP-impl where
  mergeP-impl Bot t = True
| mergeP-impl t Bot = True
| mergeP-impl (BFun f ss) (BFun g ts) =
  (if f = g ∧ length ss = length ts then list-all (λ (x, y). mergeP-impl x y) (zip ss ts) else False)

lemma [simp]: mergeP-impl s Bot = True by (cases s) auto

lemma [simp]: mergeP-impl s t ←→ (s, t) ∈ mergeP (is ?LS = ?RS)
proof
  show ?LS →? RS
    by (induct rule: mergeP-impl.induct, auto split: if-splits intro!: step)
      (smt (verit) length-zip list-all-length mergeP.step min-less-iff-conj nth-mem
      nth-zip old.prod.case)
  next
    show ?RS →? LS by (induct rule: mergeP.induct, auto simp add: list-all-length)
  qed

fun bless-eq-impl where
  bless-eq-impl Bot t = True
| bless-eq-impl (BFun f ss) (BFun g ts) =
  (if f = g ∧ length ss = length ts then list-all (λ (x, y). bless-eq-impl x y) (zip ss ts) else False)
| bless-eq-impl - - = False

lemma [simp]: bless-eq-impl s t ←→ (s, t) ∈ bless-eq (is ?RS = ?LS)
proof
  show ?LS →? RS by (induct rule: bless-eq.induct, auto simp add: list-all-length)
  next
    show ?RS →? LS
      by (induct rule: bless-eq-impl.induct, auto split: if-splits intro!: bless-eq.step)
        (metis (full-types) length-zip list-all-length min-less-iff-conj nth-mem nth-zip
        old.prod.case)
  qed

definition psubt-bot-impl R ≡ remdups (map term-to-bot-term (concat (map supt-list
R)))
lemma psubt-bot-impl[simp]: set (psubt-bot-impl R) = psubt-lhs-bot (set R)
  by (induct R, auto simp: psubt-bot-impl-def)

definition states-impl R = List.insert Bot (map the (removeAll None
(closure-impl (lift-f-total mergeP-impl (↑)) (map Some (psubt-bot-impl R)))))

lemma states-impl [simp]: set (states-impl R) = states (set R)
proof –

```

```

have [simp]: lift-f-total mergeP-impl ( $\uparrow$ ) = lift-f-total ( $\lambda x y. \text{mergeP-impl } x y$ )
( $\uparrow$ ) by blast
show ?thesis unfolding states-impl-def states-def
  using lift-total.cl.closure-impl
  by (simp add: lift-total.cl.pred-closure-lift-closure)
qed

```

```

abbreviation check-instance-lhs where
  check-instance-lhs qs f R  $\equiv$  list-all ( $\lambda u. \neg \text{bless-eq-impl } u (\text{BFun } f \text{ qs})$ ) R

```

```

definition min-elem where
  min-elem s ss = (let ts = filter ( $\lambda x. \text{bless-eq-impl } x s$ ) ss in
    foldr ( $\uparrow$ ) ts Bot)

```

```

lemma bound-impl [simp, code]:
  bound-max s (set ss) = min-elem s ss
proof -
  have [simp]: { $y. \text{lift-total.lifted-less-eq } y (\text{Some } s) \wedge y \in \text{Some} ` \text{set ss}$ } = Some
  ` { $x \in \text{set ss}. x \leq_b s$ }
  by auto
  then show ?thesis
  using lift-total.supremum-impl[of filter ( $\lambda x. \text{bless-eq-impl } x s$ ) ss]
  using lift-total.supremum-smaller-exists-unique[of set ss s]
  by (auto simp: min-elem-def Let-def lift-total.lift-ord.smaller-subset-def)
qed

```

```

definition nf-rule-impl where
  nf-rule-impl S R SR h = (let (f, n) = h in
    let states = List.n-lists n S in
    let nlhs-inst = filter ( $\lambda qs. \text{check-instance-lhs } qs f R$ ) states in
    map ( $\lambda qs. \text{TA-rule } f qs (\text{min-elem } (\text{BFun } f \text{ qs}) \text{ SR})$ ) nlhs-inst)

```

```

abbreviation nf-rules-impl where
  nf-rules-impl R F  $\equiv$  concat (map (nf-rule-impl (states-impl R)) (map term-to-bot-term
R) (psubt-bot-impl R)) F)

```

```

lemma nf-rules-in-impl:
  assumes TA-rule f qs q | $\in$ | nf-rules (fset-of-list R) (fset-of-list F)
  shows TA-rule f qs q | $\in$ | fset-of-list (nf-rules-impl R F)
proof -
  have funas: ( $f, \text{length } qs$ )  $\in$  set F and st: fset-of-list qs | $\subseteq$ | fstates (fset-of-list
R)
  and nlhs:  $\neg(\exists s \in (\text{set } R). s^\perp \leq_b \text{BFun } f \text{ qs})$ 
  and min: q = bound-max (BFun f qs) (psubt-lhs-bot (set R))
  using assms by (auto simp add: nf-rules-fmember simp flip: fset-of-list-elem)
  then have st-impl: qs | $\in$ | fset-of-list (List.n-lists (length qs) (states-impl R))

```

```

by (auto simp add: fset-of-list-elem subset-code(1) set-n-lists
      fset-of-list.rep-eq less-eq-fset.rep-eq fstates.rep-eq)
from nlhs have nlhs-impl: check-intance-lhs qs f (map term-to-bot-term R)
by (auto simp: list.pred-set)
have min-impl: q = min-elem (BFun f qs) (psubt-bot-impl R)
using bound-impl min
by (auto simp flip: psubt-bot-impl)
then show ?thesis using funas nlhs-impl funas st-impl unfolding nf-rule-impl-def
by (auto simp: fset-of-list-elem)
qed

```

```

lemma nf-rules-impl-in-rules:
assumes TA-rule f qs q |∈| fset-of-list (nf-rules-impl R F)
shows TA-rule f qs q |∈| nf-rules (fset-of-list R) (fset-of-list F)
proof –
have funas: (f, length qs) ∈ set F
and st-impl: qs |∈| fset-of-list (List.n-lists (length qs) (states-impl R))
and nlhs-impl: check-intance-lhs qs f (map term-to-bot-term R)
and min: q = min-elem (BFun f qs) (psubt-bot-impl R) using assms
by (auto simp add: set-n-lists nf-rule-impl-def fset-of-list-elem)
from st-impl have st: fset-of-list qs |⊆| fstates (fset-of-list R)
by (force simp: set-n-lists fset-of-list-elem fstates.rep-eq fset-of-list.rep-eq)
from nlhs-impl have nlhs: ¬(∃ l ∈ (set R). l⊥ ≤b BFun f qs)
by auto (metis (no-types, lifting) Ball-set-list-all in-set-idx length-map nth-map
nth-mem)
have q = bound-max (BFun f qs) (psubt-lhs-bot (set R))
using bound-impl min
by (auto simp flip: psubt-bot-impl)
then show ?thesis using funas st nlhs
by (auto simp add: nf-rules-fmember fset-of-list-elem fset-of-list.rep-eq)
qed

```

```

lemma rule-set-eq:
shows nf-rules (fset-of-list R) (fset-of-list F) = fset-of-list (nf-rules-impl R F)
(is ?Ls = ?Rs)
proof –
{fix r assume r |∈| ?Ls then have r |∈| ?Rs
 using nf-rules-in-impl[where ?R = R and ?F = F]
 by (cases r) auto}
moreover
{fix r assume r |∈| ?Rs then have r |∈| ?Ls
 using nf-rules-impl-in-rules[where ?R = R and ?F = F]
 by (cases r) auto}
ultimately show ?thesis by blast
qed

```

```

lemma fstates-code[code]:
  fstates R = fset-of-list (states-impl (sorted-list-of-fset R))
  by (auto simp: fstates.rep_eq fset-of-list.rep_eq)

lemma nf-ta-code [code]:
  nf-ta R F = TA (fset-of-list (nf-rules-impl (sorted-list-of-fset R) (sorted-list-of-fset
F))) {||}
  unfolding nf-ta-def using rule-set-eq[of sorted-list-of-fset R sorted-list-of-fset F]
  by (intro TA-equalityI) auto

end
theory Context-Extensions
imports Regular-Tree-Relations.Ground-Ctxt
  Regular-Tree-Relations.Ground-Closure
  Ground-MCtxt
begin

```

7 Multihole context and context closures over predicates

```

definition gctxtex-onp where
  gctxtex-onp P R = {(C⟨s⟩G, C⟨t⟩G) | C s t. P C ∧ (s, t) ∈ R}

definition gmctxtex-onp where
  gmctxtex-onp P R = {(fill-gholes C ss, fill-gholes C ts) | C ss ts.
    num-gholes C = length ss ∧ length ss = length ts ∧ P C ∧ (∀ i < length ts.
    (ss ! i , ts ! i) ∈ R)}

definition compatible-p where
  compatible-p P Q ≡ (∀ C. P C → Q (gmctxt-of-gctxt C))

```

7.1 Elimination and introduction rules for the extensions

```

lemma gctxtex-onpE [elim]:
  assumes (s, t) ∈ gctxtex-onp P R
  obtains C u v where s = C⟨u⟩G t = C⟨v⟩G P C (u, v) ∈ R
  using assms unfolding gctxtex-onp-def by auto

lemma gctxtex-onp-neq-rootE [elim]:
  assumes (GFun f ss, GFun g ts) ∈ gctxtex-onp P R and f ≠ g
  shows (GFun f ss, GFun g ts) ∈ R
  proof –
    obtain C u v where GFun f ss = C⟨u⟩G GFun g ts = C⟨v⟩G (u, v) ∈ R
    using assms(1) by auto
    then show ?thesis using assms(2)
    by (cases C) auto

```

qed

lemma *gctxtex-onp-neq-lengthE* [elim]:

assumes $(GFun f ss, GFun g ts) \in gctxtex-onp P \mathcal{R}$ and $\text{length } ss \neq \text{length } ts$
shows $(GFun f ss, GFun g ts) \in \mathcal{R}$

proof –

obtain $C u v$ where $GFun f ss = C\langle u \rangle_G$ $GFun g ts = C\langle v \rangle_G$ $(u, v) \in \mathcal{R}$

using *assms*(1) by auto

then show ?thesis using *assms*(2)

by (cases C) auto

qed

lemma *gmctxtex-onpE* [elim]:

assumes $(s, t) \in gmctxtex-onp P \mathcal{R}$

obtains $C us vs$ where $s =_{Gf} C us$ $t =_{Gf} C vs$ $\text{num-gholes } C = \text{length } us$

$\text{length } us = \text{length } vs$ $P C \forall i < \text{length } vs. (us ! i, vs ! i) \in \mathcal{R}$

using *assms* unfolding *gmctxtex-onp-def* by auto

lemma *gmctxtex-onpE2* [elim]:

assumes $(s, t) \in gmctxtex-onp P \mathcal{R}$

obtains $C us vs$ where $s =_{Gf} (C, us)$ $t =_{Gf} (C, vs)$

$P C \forall i < \text{length } vs. (us ! i, vs ! i) \in \mathcal{R}$

using *gmctxtex-onpE*[OF *assms*] by (metis eq-gfill.intros)

lemma *gmctxtex-onp-neq-rootE* [elim]:

assumes $(GFun f ss, GFun g ts) \in gmctxtex-onp P \mathcal{R}$ and $f \neq g$

shows $(GFun f ss, GFun g ts) \in \mathcal{R}$

proof –

obtain $C us vs$ where $GFun f ss = \text{fill-gholes } C us$ $GFun g ts = \text{fill-gholes } C vs$
 $\text{num-gholes } C = \text{length } us$ $\text{length } us = \text{length } vs \forall i < \text{length } vs. (us ! i, vs ! i)$

$\in \mathcal{R}$

using *assms*(1) by auto

then show ?thesis using *assms*(2)

by (cases C ; cases us ; cases vs) auto

qed

lemma *gmctxtex-onp-neq-lengthE* [elim]:

assumes $(GFun f ss, GFun g ts) \in gmctxtex-onp P \mathcal{R}$ and $\text{length } ss \neq \text{length } ts$

shows $(GFun f ss, GFun g ts) \in \mathcal{R}$

proof –

obtain $C us vs$ where $GFun f ss = \text{fill-gholes } C us$ $GFun g ts = \text{fill-gholes } C vs$
 $\text{num-gholes } C = \text{length } us$ $\text{length } us = \text{length } vs \forall i < \text{length } vs. (us ! i, vs ! i)$

$\in \mathcal{R}$

using *assms*(1) by auto

then show ?thesis using *assms*(2)

by (cases C ; cases us ; cases vs) auto

qed

lemma *gmctxtex-onp-listE*:

assumes $\forall i < \text{length } ts. (ss ! i, ts ! i) \in \text{gmctxtex-onp } Q \mathcal{R} \text{ length } ss = \text{length } ts$

obtains $Ds \ sss \ tss$ where $\text{length } ts = \text{length } Ds$ $\text{length } Ds = \text{length } sss$ $\text{length } sss = \text{length } tss$

$$\begin{aligned} & \forall i < \text{length } tss. \text{length } (sss ! i) = \text{length } (tss ! i) \quad \forall D \in \text{set } Ds. Q D \\ & \forall i < \text{length } tss. ss ! i =_{Gf} (Ds ! i, sss ! i) \quad \forall i < \text{length } tss. ts ! i =_{Gf} (Ds ! i, tss ! i) \\ & \forall i < \text{length } (\text{concat } tss). (\text{concat } sss ! i, \text{concat } tss ! i) \in \mathcal{R} \end{aligned}$$

proof –

let $?P = \lambda W i. ss ! i =_{Gf} (\text{fst } W, \text{fst } (\text{snd } W)) \wedge ts ! i =_{Gf} (\text{fst } W, \text{snd } (\text{snd } W)) \wedge Q (\text{fst } W) \wedge (\forall i < \text{length } (\text{snd } (\text{snd } W)). (\text{fst } (\text{snd } W) ! i, \text{snd } (\text{snd } W) ! i) \in \mathcal{R})$

have $\forall i < \text{length } ts. \exists x. ?P x i$ using *assms gmctxtex-onpE2*[of $ss ! i \ ts ! i \ Q \mathcal{R}$ for i]

by auto metis

from *Ex-list-of-length-P[OF this]* obtain W where

$P: \text{length } W = \text{length } ts \ \forall i < \text{length } ts. ?P (W ! i) \ i$ by blast

define $Ds \ sss \ tss$ where $Ds \equiv \text{map } \text{fst } W$ and $sss \equiv \text{map } (\text{fst } \circ \text{snd}) W$ and $tss \equiv \text{map } (\text{snd } \circ \text{snd}) W$

from P have $\text{len}: \text{length } ts = \text{length } Ds$ $\text{length } Ds = \text{length } sss$ $\text{length } sss = \text{length } tss$ and

$\text{pred}: \forall D \in \text{set } Ds. Q D$ and

$\text{split}: \forall i < \text{length } Ds. ss ! i =_{Gf} (Ds ! i, sss ! i) \wedge ts ! i =_{Gf} (Ds ! i, tss ! i)$ and

$\text{rec}: \forall i < \text{length } Ds. \forall j < \text{length } (tss ! i). (sss ! i ! j, tss ! i ! j) \in \mathcal{R}$

using *assms(2)* by (auto simp: $Ds\text{-def } sss\text{-def } tss\text{-def } dest!: \text{in-set-idx}$)

from len split have $\text{inn}: \forall i < \text{length } tss. \text{length } (sss ! i) = \text{length } (tss ! i)$ by auto (metis *eqgfE(2)*)

from inn len rec have $\forall i < \text{length } (\text{concat } tss). (\text{concat } sss ! i, \text{concat } tss ! i) \in \mathcal{R}$

by (intro *concat-nth-nthI*) auto

then show $(\bigwedge Ds \ sss \ tss. \text{length } ts = \text{length } Ds \implies \text{length } Ds = \text{length } sss \implies \text{length } sss = \text{length } tss \implies$

$$\begin{aligned} & \forall i < \text{length } tss. \text{length } (sss ! i) = \text{length } (tss ! i) \implies \forall D \in \text{set } Ds. Q D \implies \\ & \forall i < \text{length } tss. ss ! i =_{Gf} (Ds ! i, sss ! i) \implies \forall i < \text{length } tss. ts ! i =_{Gf} (Ds ! i, tss ! i) \implies \\ & \forall i < \text{length } (\text{concat } tss). (\text{concat } sss ! i, \text{concat } tss ! i) \in \mathcal{R} \implies \text{thesis} \end{aligned}$$

thesis

using *pred split inn len* by auto

qed

lemma *gmctxtex-onp-doubleE* [elim]:

assumes $(s, t) \in \text{gmctxtex-onp } P \ (\text{gmctxtex-onp } Q \mathcal{R})$

obtains $C \ Ds \ ss \ ts \ us \ vs$ where $s =_{Gf} (C, ss) \ t =_{Gf} (C, ts) \ P \ C \ \forall D \in \text{set } Ds. Q D$

num-gholes $C = \text{length } Ds$ $\text{length } Ds = \text{length } ss$ $\text{length } ss = \text{length } ts$ $\text{length } ts = \text{length } us$ $\text{length } us = \text{length } vs$

$\forall i < \text{length } Ds. ss ! i =_{Gf} (Ds ! i, us ! i) \wedge ts ! i =_{Gf} (Ds ! i, vs ! i)$
 $\forall i < \text{length } Ds. \forall j < \text{length } (vs ! i). (us ! i ! j, vs ! i ! j) \in \mathcal{R}$

proof –

from *gmctxtex-onpE2[OF assms]* **obtain** $C ss ts$ **where**
split: $s =_{Gf} (C, ss) t =_{Gf} (C, ts)$ **and**
len: $\text{num-gholes } C = \text{length } ss$ $\text{length } ss = \text{length } ts$ **and**
pred: $P C$ **and** **rec:** $\forall i < \text{length } ts. (ss ! i, ts ! i) \in \text{gmctxtex-onp } Q \mathcal{R}$
by (*metis eggfE(2)*)
let $?P = \lambda W i. ss ! i =_{Gf} (\text{fst } W, \text{fst } (\text{snd } W)) \wedge ts ! i =_{Gf} (\text{fst } W, \text{snd } (\text{snd } W)) \wedge$
 $Q (\text{fst } W) \wedge (\forall i < \text{length } (\text{snd } (\text{snd } W)). (\text{fst } (\text{snd } W) ! i, \text{snd } (\text{snd } W) ! i) \in \mathcal{R})$
have $\forall i < \text{length } ts. \exists x. ?P x i$ **using** **rec** *gmctxtex-onpE2[of ss ! i ts ! i Q R for i]
by auto metis
from *Ex-list-of-length-P[OF this]* **obtain** W **where**
P: $\text{length } W = \text{length } ts \forall i < \text{length } ts. ?P (W ! i) i$ **by blast**
define $Ds us vs$ **where** $Ds \equiv \text{map fst } W$ **and** $us \equiv \text{map } (\text{fst } \circ \text{snd}) W$ **and** $vs \equiv \text{map } (\text{snd } \circ \text{snd}) W$
from P have $\text{len}' : \text{length } Ds = \text{length } ss$ $\text{length } ss = \text{length } ts$ $\text{length } ts = \text{length } us$ $\text{length } us = \text{length } vs$ **and**
pred': $\forall D \in \text{set } Ds. Q D$ **and**
split': $\forall i < \text{length } Ds. ss ! i =_{Gf} (Ds ! i, us ! i) \wedge ts ! i =_{Gf} (Ds ! i, vs ! i)$ **and**
rec': $\forall i < \text{length } Ds. \forall j < \text{length } (vs ! i). (us ! i ! j, vs ! i ! j) \in \mathcal{R}$
using **len by** (*auto simp: Ds-def us-def vs-def dest!: in-set-idx*)
from **len' len have** $\text{num-gholes } C = \text{length } Ds$ **by simp**
from **this split pred pred' len' split' rec' len**
show $(\bigwedge C ss ts Ds us vs. s =_{Gf} (C, ss) \implies t =_{Gf} (C, ts) \implies P C \implies$
 $\forall D \in \text{set } Ds. Q D \implies \text{num-gholes } C = \text{length } Ds \implies \text{length } Ds = \text{length } ss \implies$
 $\text{length } ss = \text{length } ts \implies$
 $\text{length } ts = \text{length } us \implies \text{length } us = \text{length } vs \implies$
 $\forall i < \text{length } Ds. ss ! i =_{Gf} (Ds ! i, us ! i) \wedge ts ! i =_{Gf} (Ds ! i, vs ! i) \implies$
 $\forall i < \text{length } Ds. \forall j < \text{length } (vs ! i). (us ! i ! j, vs ! i ! j) \in \mathcal{R} \implies \text{thesis} \implies$
thesis
by blast
qed*

lemma *gctxtex-onpI* [intro]:
assumes $P C$ **and** $(s, t) \in \mathcal{R}$
shows $(C \langle s \rangle_G, C \langle t \rangle_G) \in \text{gctxtex-onp } P \mathcal{R}$
using **assms by** (*auto simp: gctxtex-onp-def*)

lemma *gmctxtex-onpI* [intro]:
assumes $P C$ **and** $\text{num-gholes } C = \text{length } us$ **and** $\text{length } us = \text{length } vs$
and $\forall i < \text{length } vs. (us ! i, vs ! i) \in \mathcal{R}$
shows $(\text{fill-gholes } C us, \text{fill-gholes } C vs) \in \text{gmctxtex-onp } P \mathcal{R}$
using **assms unfolding** *gmctxtex-onp-def*
by force

```

lemma gmctxtex-onp-arg-monoI:
  assumes P GMHole
  shows  $\mathcal{R} \subseteq \text{gmctxtex-onp } P \mathcal{R}$  using assms
  proof (intro subsetI)
    fix s assume mem:  $s \in \mathcal{R}$ 
    have *: (fill-gholes GMHole [fst s], fill-gholes GMHole [snd s]) = s by auto
    have (fill-gholes GMHole [fst s], fill-gholes GMHole [snd s])  $\in \text{gmctxtex-onp } P \mathcal{R}$ 
      by (intro gmctxtex-onpI) (auto simp: assms mem)
    then show  $s \in \text{gmctxtex-onp } P \mathcal{R}$  unfolding * .
  qed

lemma gmctxtex-onpI2 [intro]:
  assumes P C and  $s =_{Gf} (C, ss)$   $t =_{Gf} (C, ts)$ 
  and  $\forall i < \text{length } ts$ .  $(ss ! i, ts ! i) \in \mathcal{R}$ 
  shows  $(s, t) \in \text{gmctxtex-onp } P \mathcal{R}$ 
  using eqgfE[OF assms(2)] eqgfE[OF assms(3)]
  using gmctxtex-onpI[of P, OF assms(1) - - assms(4)]
  by (simp add: <num-gholes C = length ss>)

lemma gctxtex-onp-hold-cond [simp]:
   $(s, t) \in \text{gctxtex-onp } P \mathcal{R} \implies \text{groot } s \neq \text{groot } t \implies P \square_G$ 
   $(s, t) \in \text{gctxtex-onp } P \mathcal{R} \implies \text{length } (\text{gargs } s) \neq \text{length } (\text{gargs } t) \implies P \square_G$ 
  by (auto elim!: gctxtex-onpE, case-tac C; auto)+

```

7.2 Monotonicity rules for the extensions

```

lemma gctxtex-onp-rel-mono:
   $\mathcal{L} \subseteq \mathcal{R} \implies \text{gctxtex-onp } P \mathcal{L} \subseteq \text{gctxtex-onp } P \mathcal{R}$ 
  unfolding gctxtex-onp-def by auto

lemma gmctxtex-onp-rel-mono:
   $\mathcal{L} \subseteq \mathcal{R} \implies \text{gmctxtex-onp } P \mathcal{L} \subseteq \text{gmctxtex-onp } P \mathcal{R}$ 
  unfolding gmctxtex-onp-def
  by auto (metis subsetD)

lemma compatible-p-gctxtex-gmctxtex-subseteq [dest]:
  compatible-p P Q  $\implies \text{gctxtex-onp } P \mathcal{R} \subseteq \text{gmctxtex-onp } Q \mathcal{R}$ 
  unfolding compatible-p-def
  by (auto simp: apply-gctxt-fill-gholes gmctxtex-onpI)

lemma compatible-p-mono1:
   $P \leq R \implies \text{compatible-p } R Q \implies \text{compatible-p } P Q$ 
  unfolding compatible-p-def by auto

lemma compatible-p-mono2:
   $Q \leq R \implies \text{compatible-p } P Q \implies \text{compatible-p } P R$ 
  unfolding compatible-p-def by auto

```

lemma *gctxtex-onp-mono* [*intro*]:
 $P \leq Q \implies \text{gctxtex-onp } P \mathcal{R} \subseteq \text{gctxtex-onp } Q \mathcal{R}$
by *auto*

lemma *gctxtex-onp-mem*:
 $P \leq Q \implies (s, t) \in \text{gctxtex-onp } P \mathcal{R} \implies (s, t) \in \text{gctxtex-onp } Q \mathcal{R}$
by *auto*

lemma *gmctxtex-onp-mono* [*intro*]:
 $P \leq Q \implies \text{gmctxtex-onp } P \mathcal{R} \subseteq \text{gmctxtex-onp } Q \mathcal{R}$
by (*auto elim!*: *gmctxtex-onpE*)

lemma *gmctxtex-onp-mem*:
 $P \leq Q \implies (s, t) \in \text{gmctxtex-onp } P \mathcal{R} \implies (s, t) \in \text{gmctxtex-onp } Q \mathcal{R}$
by (*auto dest!*: *gmctxtex-onp-mono*)

lemma *gctxtex-eqI* [*intro*]:
 $P = Q \implies \mathcal{R} = \mathcal{L} \implies \text{gctxtex-onp } P \mathcal{R} = \text{gctxtex-onp } Q \mathcal{L}$
by *auto*

lemma *gmctxtex-eqI* [*intro*]:
 $P = Q \implies \mathcal{R} = \mathcal{L} \implies \text{gmctxtex-onp } P \mathcal{R} = \text{gmctxtex-onp } Q \mathcal{L}$
by *auto*

7.3 Relation swap and converse

lemma *swap-gctxtex-onp*:
 $\text{gctxtex-onp } P (\text{prod.swap} ' \mathcal{R}) = \text{prod.swap} ' \text{gctxtex-onp } P \mathcal{R}$
by (*auto simp*: *gctxtex-onp-def image-def*) *force+*

lemma *swap-gmctxtex-onp*:
 $\text{gmctxtex-onp } P (\text{prod.swap} ' \mathcal{R}) = \text{prod.swap} ' \text{gmctxtex-onp } P \mathcal{R}$
by (*auto simp*: *gmctxtex-onp-def image-def*) *force+*

lemma *converse-gctxtex-onp*:
 $(\text{gctxtex-onp } P \mathcal{R})^{-1} = \text{gctxtex-onp } P (\mathcal{R}^{-1})$
by (*auto simp*: *gctxtex-onp-def*)

lemma *converse-gmctxtex-onp*:
 $(\text{gmctxtex-onp } P \mathcal{R})^{-1} = \text{gmctxtex-onp } P (\mathcal{R}^{-1})$
by (*auto simp*: *gmctxtex-onp-def*) *force+*

7.4 Subset equivalence for context extensions over predicates

lemma *gctxtex-onp-closure-predI*:
assumes $\bigwedge C s t. P C \implies (s, t) \in \mathcal{R} \implies (C\langle s \rangle_G, C\langle t \rangle_G) \in \mathcal{R}$
shows $\text{gctxtex-onp } P \mathcal{R} \subseteq \mathcal{R}$
using *assms* **by** *auto*

```

lemma gmctxtex-onp-closure-predI:
  assumes  $\bigwedge C ss ts. P C \implies \text{num-gholes } C = \text{length } ss \implies \text{length } ss = \text{length } ts \implies$ 
     $(\forall i < \text{length } ts. (ss ! i, ts ! i) \in \mathcal{R}) \implies (\text{fill-gholes } C ss, \text{fill-gholes } C ts) \in \mathcal{R}$ 
  shows gmctxtex-onp P  $\mathcal{R} \subseteq \mathcal{R}$ 
  using assms by auto

lemma gctxtex-onp-closure-predE:
  assumes gctxtex-onp P  $\mathcal{R} \subseteq \mathcal{R}$ 
  shows  $\bigwedge C s t. P C \implies (s, t) \in \mathcal{R} \implies (C\langle s \rangle_G, C\langle t \rangle_G) \in \mathcal{R}$ 
  using assms by auto

lemma gctxtex-closure [intro]:
   $P \square_G \implies \mathcal{R} \subseteq \text{gctxtex-onp } P \mathcal{R}$ 
  by (auto simp: gctxtex-onp-def) force

lemma gmctxtex-closure [intro]:
  assumes P GMHole
  shows  $\mathcal{R} \subseteq (\text{gmctxtex-onp } P \mathcal{R})$ 
proof -
  {fix s t assume  $(s, t) \in \mathcal{R}$  then have  $(s, t) \in \text{gmctxtex-onp } P \mathcal{R}$ 
    using gmctxtex-onpI[of P GMHole [s] [t]] assms by auto}
  then show ?thesis by auto
qed

lemma gctxtex-pred-cmp-subseteq:
  assumes  $\bigwedge C D. P C \implies Q D \implies Q (C \circ_{Gc} D)$ 
  shows gctxtex-onp P (gctxtex-onp Q  $\mathcal{R}$ )  $\subseteq$  gctxtex-onp Q  $\mathcal{R}$ 
  using assms by (auto simp: gctxtex-onp-def) (metis ctxt ctxt-compose)

lemma gctxtex-pred-cmp-subseteq2:
  assumes  $\bigwedge C D. P C \implies Q D \implies P (C \circ_{Gc} D)$ 
  shows gctxtex-onp P (gctxtex-onp Q  $\mathcal{R}$ )  $\subseteq$  gctxtex-onp P  $\mathcal{R}$ 
  using assms by (auto simp: gctxtex-onp-def) (metis ctxt ctxt-compose)

lemma gmctxtex-pred-cmp-subseteq:
  assumes  $\bigwedge C D. C \leq D \implies P C \implies (\forall Ds \in \text{set } (\text{sup-gmctxt-args } C D). Q Ds) \implies Q D$ 
  shows gmctxtex-onp P (gmctxtex-onp Q  $\mathcal{R}$ )  $\subseteq$  gmctxtex-onp Q  $\mathcal{R}$  (is ?Ls  $\subseteq$  ?Rs)
proof -
  {fix s t assume  $(s, t) \in ?Ls$ 
    then obtain C Ds ss ts us vs where
      split:  $s =_{Gf} (C, ss)$   $t =_{Gf} (C, ts)$  and
      len:  $\text{num-gholes } C = \text{length } Ds$   $\text{length } Ds = \text{length } ss$   $\text{length } ss = \text{length } ts$ 
      length:  $\text{length } ts = \text{length } us$   $\text{length } us = \text{length } vs$  and
      pred:  $P C \forall D \in \text{set } Ds. Q D$  and
      split':  $\forall i < \text{length } Ds. ss ! i =_{Gf} (Ds ! i, us ! i) \wedge ts ! i =_{Gf} (Ds ! i, vs ! i)$ 
    and
  }

```

```

rec:  $\forall i < \text{length } Ds. \forall j < \text{length } (vs ! i). (us ! i ! j, vs ! i ! j) \in \mathcal{R}$ 
by auto
from pred(2) assms[ $OF - pred(1)$ , of fill-gholes-gmctxt  $C Ds$ ] len
have  $P: Q$  (fill-gholes-gmctxt  $C Ds$ )
by (simp add: fill-gholes-gmctxt-less-eq)
have mem:  $\forall i < \text{length } (\text{concat } vs). (\text{concat } us ! i, \text{concat } vs ! i) \in \mathcal{R}$ 
using rec split' len
by (intro concat-nth-nthI) (auto, metis eqgfE(2))
have  $(s, t) \in ?Rs$  using split' split len
by (intro gmctxtex-onpI2[of  $Q$ ,  $OF P - - mem$ ])
(metis eqgfE(1) fill-gholes-gmctxt-sound)+}
then show ?thesis by auto
qed

lemma gmctxtex-pred-cmp-subseteq2:
assumes  $\bigwedge C D. C \leq D \implies P C \implies (\forall Ds \in \text{set } (\text{sup-gmctxt-args } C D). Q Ds) \implies P D$ 
shows gmctxtex-onp  $P$  (gmctxtex-onp  $Q \mathcal{R}$ )  $\subseteq$  gmctxtex-onp  $P \mathcal{R}$  (is  $?Ls \subseteq ?Rs$ )
proof -
{fix s t assume  $(s, t) \in ?Ls$ 
then obtain  $C Ds ss ts us vs$  where
split:  $s =_{Gf} (C, ss)$   $t =_{Gf} (C, ts)$  and
len: num-gholes  $C = \text{length } Ds$   $\text{length } Ds = \text{length } ss$   $\text{length } ss = \text{length } ts$ 
 $\text{length } ts = \text{length } us$   $\text{length } us = \text{length } vs$  and
pred:  $P C \forall D \in \text{set } Ds. Q D$  and
split':  $\forall i < \text{length } Ds. ss ! i =_{Gf} (Ds ! i, us ! i) \wedge ts ! i =_{Gf} (Ds ! i, vs ! i)$ 
and
rec:  $\forall i < \text{length } Ds. \forall j < \text{length } (vs ! i). (us ! i ! j, vs ! i ! j) \in \mathcal{R}$ 
by auto
from pred(2) assms[ $OF - pred(1)$ , of fill-gholes-gmctxt  $C Ds$ ] len
have  $P: P$  (fill-gholes-gmctxt  $C Ds$ )
by (simp add: fill-gholes-gmctxt-less-eq)
have mem:  $\forall i < \text{length } (\text{concat } vs). (\text{concat } us ! i, \text{concat } vs ! i) \in \mathcal{R}$  using
rec split' len
by (intro concat-nth-nthI) (auto, metis eqgfE(2))
have  $(s, t) \in ?Rs$  using split' split len
by (intro gmctxtex-onpI2[of  $P$ ,  $OF P - - mem$ ])
(metis eqgfE(1) fill-gholes-gmctxt-sound)+}
then show ?thesis by auto
qed

lemma gctxtex-onp-idem [simp]:
assumes  $P \square_G$  and  $\bigwedge C D. P C \implies Q D \implies Q (C \circ_{Gc} D)$ 
shows gctxtex-onp  $P$  (gctxtex-onp  $Q \mathcal{R}$ ) = gctxtex-onp  $Q \mathcal{R}$  (is  $?Ls = ?Rs$ )
by (simp add: assms gctxtex-pred-cmp-subseteq gctxtex-closure subset-antisym)

lemma gctxtex-onp-idem2 [simp]:
assumes  $Q \square_G$  and  $\bigwedge C D. P C \implies Q D \implies P (C \circ_{Gc} D)$ 
shows gctxtex-onp  $P$  (gctxtex-onp  $Q \mathcal{R}$ ) = gctxtex-onp  $P \mathcal{R}$  (is  $?Ls = ?Rs$ )

```

```

using gctxtex-pred-cmp-subseteq2[of P Q, OF assms(2)]
using gctxtex-closure[of Q, OF assms(1)] in-mono
by auto fastforce

lemma gmctxtex-onp-idem [simp]:
assumes P GMHole
  and  $\bigwedge C D. C \leq D \implies P C \implies (\forall Ds \in set (sup-gmctxt-args C D). Q Ds)$ 
 $\implies Q D$ 
shows gmctxtex-onp P (gmctxtex-onp Q R) = gmctxtex-onp Q R
using gmctxtex-pred-cmp-subseteq[of P Q R] gmctxtex-closure[of P] assms
by auto

```

7.5 gmctxtex-onp subset equivalence gctxtex-onp transitive closure

The following definition demands that if we arbitrarily fill a multihole context C with terms induced by signature F such that one hole remains then the predicate Q holds

```

definition gmctxt-p-inv C F Q  $\equiv$  ( $\forall D. gmctxt-closing C D \longrightarrow num-gholes D = 1 \longrightarrow funas-gmctxt D \subseteq F \longrightarrow Q (gctxt-of-gmctxt D)$ )

```

```

lemma gmctxt-p-invE:
gmctxt-p-inv C F Q  $\implies C \leq D \implies ghole-poss D \subseteq ghole-poss C \implies num-gholes D = 1 \implies$ 
 $funas-gmctxt D \subseteq F \implies Q (gctxt-of-gmctxt D)$ 
unfolding gmctxt-closing-def gmctxt-p-inv-def
using less-eq-gmctxt-prime by blast

```

```

lemma gmctxt-closing-gmctxt-p-inv-comp:
gmctxt-closing C D  $\implies$  gmctxt-p-inv C F Q  $\implies$  gmctxt-p-inv D F Q
unfolding gmctxt-closing-def gmctxt-p-inv-def
by auto (meson less-eq-gmctxt-prime order-trans)

```

```

lemma GMHole-gmctxt-p-inv-GHole [simp]:
gmctxt-p-inv GMHole F Q  $\implies Q \square_G$ 
by (auto dest: gmctxt-p-invE)

```

```

lemma gmctxtex-onp-gctxtex-onp-trancl:
assumes sig:  $\bigwedge C. P C \implies 0 < num-gholes C \wedge funas-gmctxt C \subseteq F R \subseteq \mathcal{T}_G F \times \mathcal{T}_G F$ 
  and  $\bigwedge C. P C \implies gmctxt-p-inv C F Q$ 
shows gmctxtex-onp P R  $\subseteq (gctxtex-onp Q R)^+$ 
proof
fix s t assume (s, t)  $\in gmctxtex-onp P R$ 
then obtain C ss ts where
  split: s = fill-gholes C ss t = fill-gholes C ts and
  inv: num-gholes C = length ss num-gholes C = length ts and

```

```

pred:  $P C$  and  $rec: \forall i < \text{length } ts. (ss ! i, ts ! i) \in \mathcal{R}$ 
by auto
from pred have  $0 < \text{num-gholes } C$  funas-gmctxt  $C \subseteq \mathcal{F}$  using sig by auto
from this inv assms(3)[OF pred] rec show  $(s, t) \in (\text{gctxtex-onp } Q \mathcal{R})^+$  unfolding
split
proof (induct num-gholes C arbitrary: C ss ts)
case (Suc x) note IS = this then show ?case
proof (cases C)
case GMHole then show ?thesis
using IS(2-) gctxtex-closure unfolding gmctxt-p-inv-def gmctxt-closing-def
by (metis One-nat-def fill-gholes-GMHole gctxt-of-gmctxt.simps(1)
gmctxt-order-bot.bot.extremum-unique less-eq-gmctxt-prime num-gholes.simps(1)
r-into-trancl' subsetD subsetI)
next
case [simp]: (GMFun f Cs) note IS = IS[unfolded GMFun]
let ?rep =  $\lambda x. \text{replicate}(\text{num-gholes}(GMFun f Cs) - 1) x$ 
let ?Ds1 = ?rep GMHole @ [gmctxt-of-gterm (last ss)]
let ?Ds2 = map gmctxt-of-gterm (butlast ts) @ [GMHole]
let ?D1 = fill-gholes-gmctxt (GMFun f Cs) ?Ds1
let ?D2 = fill-gholes-gmctxt (GMFun f Cs) ?Ds2
have holes: num-gholes (GMFun f Cs) = length ?Ds1 num-gholes (GMFun f
Cs) = length ?Ds2
using IS(2, 5, 6) by auto
from holes(2) have [simp]: num-gholes ?D2 = Suc 0
by (auto simp: num-gholes-fill-gholes-gmctxt simp del: fill-gholes-gmctxt.simps)
from holes(1) have h:  $x = \text{num-gholes } ?D1$  using IS(2)
by (auto simp: num-gholes-fill-gholes-gmctxt simp del: fill-gholes-gmctxt.simps)
from holes have less:  $\text{GMFun } f \text{ Cs} \leq ?D1$   $\text{GMFun } f \text{ Cs} \leq ?D2$ 
by (auto simp del: fill-gholes-gmctxt.simps intro: fill-gholes-gmctxt-less-eq)
have ghole-poss ?D1  $\subseteq$  ghole-poss (GMFun f Cs) using less(1) IS(2, 3)
by (intro fill-gholes-gmctxt-ghole-poss-subseteq) (auto simp: nth-append)
then have ext: gmctxt-p-inv ?D1  $\mathcal{F} Q$  using less(1) IS(7)
using gmctxt-closing-def gmctxt-closing-gmctxt-p-inv-comp less-eq-gmctxt-prime
by blast
have split-last-D1-ss: fill-gholes C (butlast ts @ [last ss]) =Gf (?D1, concat
(map ( $\lambda x. [x]$ ) (butlast ts) @ [[])))
using holes(1) IS(2, 5, 6) unfolding GMFun
by (intro fill-gholes-gmctxt-sound)
(auto simp: nth-append eq-gfill.simps nth-butlast)
have split-last-D2-ss: fill-gholes C (butlast ts @ [last ss]) =Gf (?D2, concat
(?rep [] @ [[last ss]]))
using holes(2) IS(2, 5, 6) unfolding GMFun
by (intro fill-gholes-gmctxt-sound) (auto simp: nth-append
eq-gfill.simps nth-butlast last-conv-nth intro: last-nthI)
have split-last-ts: fill-gholes C ts =Gf (?D2, concat (?rep [] @ [[last ts]]))
using holes(2) IS(2, 5, 6) unfolding GMFun
by (intro fill-gholes-gmctxt-sound) (auto simp: nth-append
eq-gfill.simps nth-butlast last-conv-nth intro: last-nthI)
from eqgfE[OF split-last-ts] have last-eq: fill-gholes C ts = fill-gholes ?D2

```

```

[last ts]
  by (auto simp del: fill-gholes.simps fill-gholes-gmctxt.simps)
  have trans: fill-gholes ?D1 (butlast ts) = fill-gholes ?D2 [last ss]
    using eqgfE[OF split-last-D1-ss] eqgfE[OF split-last-D2-ss]
    by (auto simp del: fill-gholes.simps fill-gholes-gmctxt.simps)
  have ghole-poss ?D2 ⊆ ghole-poss (GMFun f Cs) using less(2) IS(2, 3, 6)
    by (intro fill-gholes-gmctxt-ghole-poss-subseteq) (auto simp: nth-append)
  then have Q (gctxt-of-gmctxt ?D2) using less(2)
    using subsetD[OF assms(2)] IS(2 - 6, 8) holes(2)
    by (intro gmctxt-p-invE[OF IS(7)])
      (auto simp del: fill-gholes-gmctxt.simps simp: num-gholes-fill-gholes-gmctxt
        in-set-conv-nth  $\mathcal{T}_G$ -equivalent-def nth-butlast, metis less-SucI subsetD)
  from gctutex-onpI[of Q - last ss last ts R, OF this] IS(2, 3, 5, 6, 8)
  have mem: (fill-gholes ?D2 [last ss], fill-gholes ?D2 [last ts]) ∈ gctutex-onp Q
  R
    using fill-gholes-apply-gctxt[of ?D2 last ss]
    using fill-gholes-apply-gctxt[of ?D2 last ts]
  by (auto simp del: gctxt-of-gmctxt.simps fill-gholes-gmctxt.simps fill-gholes.simps)
    (metis IS(2) IS(3) append-butlast-last-id diff-Suc-1 length-butlast
      length-greater-0-conv lessI nth-append-length)
  show ?thesis
  proof (cases x)
    case 0 then show ?thesis using mem IS(2 - 6) eqgfE[OF split-last-D2-ss]
  last-eq
    by (cases ss; cases ts)
    (auto simp del: gctxt-of-gmctxt.simps fill-gholes-gmctxt.simps fill-gholes.simps,
      metis IS(3, 5) length-0-conv less-not-refl)
  next
    case [simp]: (Suc nat)
    have fill-gholes C ss =Gf (?D1, concat (map (λ x. [x]) (butlast ss) @ []))
      using holes(1) IS(2, 5, 6) unfolding GMFun
      by (intro fill-gholes-gmctxt-sound)
        (auto simp del: fill-gholes-gmctxt.simps fill-gholes.simps
          simp: nth-append nth-butlast eq-gfill.intros last-nthI)
    from eqgfE[OF this] have l: fill-gholes C ss = fill-gholes ?D1 (butlast ss)
      by (auto simp del: fill-gholes-gmctxt.simps fill-gholes.simps)
      from IS(1)[OF h - - - ext, of butlast ss butlast ts] IS(2-) holes(2) h
      assms(2)
        have (fill-gholes ?D1 (butlast ss), fill-gholes ?D1 (butlast ts)) ∈ (gctutex-onp
          Q R)+
          by (auto simp del: gctxt-of-gmctxt.simps fill-gholes-gmctxt.simps fill-gholes.simps
            simp:  $\mathcal{T}_G$ -equivalent-def)
            (smt (verit) Suc.prems(1) Suc.prems(4) diff-Suc-1 last-conv-nth length-butlast
              length-greater-0-conv lessI less-SucI mem-Sigma-iff nth-butlast sig(2)
              subset-iff  $\mathcal{T}_G$ -funas-gterm-conv)
          then have (fill-gholes ?D1 (butlast ss), fill-gholes ?D2 [last ts]) ∈ (gctutex-onp
            Q R)+
              using mem unfolding trans
              by (auto simp del: gctxt-of-gmctxt.simps fill-gholes-gmctxt.simps fill-gholes.simps)

```

```

then show ?thesis unfolding last-eq l
  by (auto simp del: fill-gholes-gmctxt.simps fill-gholes.simps)
qed
qed
qed auto
qed

lemma gmctxtex-onp-gctxtex-onp-rtranc:
assumes sig:  $\bigwedge C. P C \implies \text{funas-gmctxt } C \subseteq \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
and  $\bigwedge C D. P C \implies \text{gmctxt-p-inv } C \mathcal{F} Q$ 
shows gmctxtex-onp P R ⊆ (gctxtex-onp Q R)*
proof
fix s t assume (s, t) ∈ gmctxtex-onp P R
then obtain C ss ts where
split: s = fill-gholes C ss t = fill-gholes C ts and
inv: num-gholes C = length ss num-gholes C = length ts and
pred: P C and rec:  $\forall i < \text{length } ts. (ss ! i, ts ! i) \in \mathcal{R}$ 
by auto
then show (s, t) ∈ (gctxtex-onp Q R)*
proof (cases num-gholes C)
case 0 then show ?thesis using inv unfolding split
by auto
next
case (Suc nat)
from split inv pred rec assms
have (s, t) ∈ gmctxtex-onp ( $\lambda C. P C \wedge 0 < \text{num-gholes } C$ ) R unfolding split
  by auto (metis (no-types, lifting) Suc gmctxtex-onpI zero-less-Suc)
moreover have gmctxtex-onp ( $\lambda C. P C \wedge 0 < \text{num-gholes } C$ ) R ⊆ (gctxtex-onp Q R)+ using assms
  by (intro gmctxtex-onp-gctxtex-onp-tranc) auto
ultimately show ?thesis by auto
qed
qed

lemma rtranc-gmctxtex-onp-rtranc-gctxtex-onp-eq:
assumes sig:  $\bigwedge C. P C \implies \text{funas-gmctxt } C \subseteq \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
and  $\bigwedge C D. P C \implies \text{gmctxt-p-inv } C \mathcal{F} Q$ 
and compatible-p Q P
shows (gmctxtex-onp P R)* = (gctxtex-onp Q R)* (is ?Ls* = ?Rs*)
proof -
from assms(4) have ?Rs ⊆ ?Ls by auto
then have ?Rs* ⊆ ?Ls*
  by (simp add: rtranc-mono)
moreover from gmctxtex-onp-gctxtex-onp-rtranc[OF assms(1 – 3), of P]
have ?Ls* ⊆ ?Rs*
  by (simp add: rtranc-subset-rtranc)
ultimately show ?thesis by blast
qed

```

7.6 Extensions to reflexive transitive closures

```

lemma gctxtex-onp-substep-trancl:
  assumes gctxtex-onp P R ⊆ R
  shows gctxtex-onp P (R+) ⊆ R+
proof -
  {fix s t assume (s, t) ∈ gctxtex-onp P (R+)
   then obtain C u v where rec: (u, v) ∈ R+ P C and t: s = C⟨u⟩G t = C⟨v⟩G
    by auto
   from rec have (s, t) ∈ R+ unfolding t
   proof (induct)
    case (base y)
    then show ?case using assms by auto
   next
    case (step y z)
    from assms step(2, 4) have (C⟨y⟩G, C⟨z⟩G) ∈ R by auto
    then show ?case using step by auto
   qed}
  then show ?thesis by auto
qed

lemma gctxtex-onp-substep-rtrancl:
  assumes gctxtex-onp P R ⊆ R
  shows gctxtex-onp P (R*) ⊆ R*
  using gctxtex-onp-substep-trancl[OF assms]
  by (smt (verit) gctxtex-onpE gctxtex-onpI rtrancl-eq-or-trancl subrelI subset-eq)

lemma gctxtex-onp-substep-trancl-diff-pred [intro]:
  assumes ⋀ C D. P C ⇒ Q D ⇒ Q (D ◦Gc C)
  shows gctxtex-onp Q ((gctxtex-onp P R)+) ⊆ (gctxtex-onp Q R)+
proof
  fix s t assume (s, t) ∈ gctxtex-onp Q ((gctxtex-onp P R)+)
  from gctxtex-onpE[OF this] obtain C u v where
   #: s = C⟨u⟩G t = C⟨v⟩G and inv: Q C and mem: (u, v) ∈ (gctxtex-onp P R)+
   by blast
  show (s, t) ∈ (gctxtex-onp Q R)+ using mem * inv
  proof (induct arbitrary: s t)
   case (base y)
   then show ?case using assms
    by (auto elim!: gctxtex-onpE intro!: r-into-trancl) (metis ctxt ctxt-compose gctxtex-onpI)
   next
    case (step y z)
    from step(2) have (C⟨y⟩G, C⟨z⟩G) ∈ gctxtex-onp Q R
     using assms[OF - step(6)]
     by (auto elim!: gctxtex-onpE) (metis ctxt ctxt-compose gctxtex-onpI)
    then show ?case using step(3)[of s C⟨y⟩G] step(1, 2, 4 -)
     by auto
  qed
qed

```

```

lemma gctxtcl-pres-trancl:
  assumes (s, t) ∈ R+ and gctxtex-onp P R ⊆ R and P C
  shows (C⟨s⟩G, C⟨t⟩G) ∈ R+
  using gctxtex-onp-substep-trancl [OF assms(2)] assms(1, 3)
  by auto

lemma gctxtcl-pres-rtrancl:
  assumes (s, t) ∈ R* and gctxtex-onp P R ⊆ R and P C
  shows (C⟨s⟩G, C⟨t⟩G) ∈ R*
  using assms(1) gctxtcl-pres-trancl[OF - assms(2, 3)]
  unfolding rtrancl-eq-or-trancl
  by (cases s = t) auto

lemma gmctxtex-onp-substep-trancl:
  assumes gmctxtex-onp P R ⊆ R
  and Id-on (snd ` R) ⊆ R
  shows gmctxtex-onp P (R+) ⊆ R+
proof -
  {fix s t assume (s, t) ∈ gmctxtex-onp P (R+)
   from gmctxtex-onpE[OF this] obtain C us vs where
     *: s = fill-gholes C us t = fill-gholes C vs and
     len: num-gholes C = length us length us = length vs and
     inv: P C ∀ i < length vs. (us ! i, vs ! i) ∈ R+ by auto
   have (s, t) ∈ R+ using len(2) inv(2) len(1) inv(1) unfolding *
   proof (induction rule: trancl-list-induct)
     case (base xs ys)
     then have (fill-gholes C xs, fill-gholes C ys) ∈ R using assms(1)
     by blast
     then show ?case by auto
   next
     case (step xs ys i z)
     have sub: set ys ⊆ snd ` R using step(1, 2)
     by (auto simp: image-def) (metis in-set-idx snd-conv tranclD2)
     from step have lft: (fill-gholes C xs, fill-gholes C ys) ∈ R+ by auto
     have (fill-gholes C ys, fill-gholes C (ys[i := z])) ∈ gmctxtex-onp P R
     using step(3, 4) sub assms step(1, 6)
     by (intro gmctxtex-onpI[of P, OF step(7), of ys ys[i := z] R])
     (simp add: Id-on-eqI nth-list-update subset-iff) +
     then have (fill-gholes C ys, fill-gholes C (ys[i := z])) ∈ R using assms(1)
   by blast
   then show ?case using lft by auto
  qed}
  then show ?thesis by auto
qed

lemma gmctxtex-onp-substep-tranclE:
  assumes trans R and gmctxtex-onp Q R O R ⊆ R and R O gmctxtex-onp Q

```

```

 $\mathcal{R} \subseteq \mathcal{R}$ 
  and  $\bigwedge p. C. P C \implies p \in \text{poss-gmctxt} C \implies Q (\text{subgm-at } C p)$ 
  and  $\bigwedge C D. P C \implies P D \implies (C, D) \in \text{comp-gmctxt} \implies P (C \sqcap D)$ 
  shows  $(\text{gmctxtex-onp } P \mathcal{R})^+ = \text{gmctxtex-onp } P \mathcal{R}$  (is  $?Ls = ?Rs$ )
proof
  show  $?Rs \subseteq ?Ls$  using tranci-mono-set by fastforce
next
  {fix s t assume  $(s, t) \in ?Ls$  then have  $(s, t) \in ?Rs$ 
    proof induction
      case (step t u)
      from step(3) obtain C us vs where
        #:  $s = \text{fill-gholes } C \text{ us } t = \text{fill-gholes } C \text{ vs}$  and
        l:  $\text{num-gholes } C = \text{length } us \text{ length } us = \text{length } vs$  and
        inv:  $P C \forall i < \text{length } vs. (us ! i, vs ! i) \in \mathcal{R}$ 
        by auto
      from step(2) obtain D xs ys where
        **:  $t = \text{fill-gholes } D \text{ xs } u = \text{fill-gholes } D \text{ ys}$  and
        l':  $\text{num-gholes } D = \text{length } xs \text{ length } xs = \text{length } ys$  and
        inv':  $P D \forall i < \text{length } ys. (xs ! i, ys ! i) \in \mathcal{R}$ 
        by auto
      let ?C' = C  $\sqcap$  D
      let ?sss =  $\text{unfill-gholes } ?C' \text{ s}$  let ?uss =  $\text{unfill-gholes } ?C' \text{ u}$ 
      have less:  $?C' \leq \text{gmctxt-of-gterm } s \quad ?C' \leq \text{gmctxt-of-gterm } u$ 
        using eq-gfill.intros eqgf-less-eq inf.coboundedI1 inf.coboundedI2 l(1) l'(1)
        unfolding ** unfolding l'(2)
        by metis+
      from *(2) **(1) have comp:  $(C, D) \in \text{comp-gmctxt}$  using l l'
        using eqgf-comp-gmctxt by fastforce
      then have P:  $P ?C'$  using inv(1) inv'(1) assms(5) by blast
      moreover have l'':  $\text{num-gholes } ?C' = \text{length } ?sss \text{ length } ?sss = \text{length } ?uss$ 
        using less by auto
      moreover have fill:  $\text{fill-gholes } ?C' ?sss = s \text{ fill-gholes } ?C' ?uss = u$ 
        using less by (simp add: fill-unfill-gholes)+
      moreover have  $\forall i < \text{length } ?uss. (?sss ! i, ?uss ! i) \in \mathcal{R}$ 
    proof (rule, rule)
      fix i assume i:  $i < \text{length } (\text{unfill-gholes } ?C' u)$ 
      then obtain p where pos:  $p \in \text{ghole-poss } ?C'$ 
        unfill-gholes ?C' s ! i = gsubt-at (fill-gholes ?C' ?sss) p
        unfill-gholes ?C' u ! i = gsubt-at (fill-gholes ?C' ?uss) p
        using fill l'' fill-gholes-ghole-poss
      by (metis eq-gfill.intros ghole-poss-ghole-poss-list-conv length-ghole-poss-list-num-gholes
          nth-mem)
      from comp-gmctxt-inf-ghole-poss-cases[OF comp pos(1)]
      consider (a)  $p \in \text{ghole-poss } C \wedge p \in \text{ghole-poss } D$  |
        (b)  $p \in \text{ghole-poss } C \wedge p \in \text{poss-gmctxt } D$  |
        (c)  $p \in \text{ghole-poss } D \wedge p \in \text{poss-gmctxt } C$  by blast
      then show  $(\text{unfill-gholes } ?C' s ! i, \text{unfill-gholes } ?C' u ! i) \in \mathcal{R}$  unfolding
      pos fill
      proof cases
    
```

```

case a
then show (gsubst-at s p, gsubst-at u p) ∈ R
  using assms(1)*(2) l l' inv(2) inv'(2) unfolding * **
  using ghole-poss-nth-subt-at
  by (metis *(2)**(1) eq-gfill.intros trancld-id trancld-into-trancld2)
next
  case b
  then have sp: gsubst-at t p =Gf (subgm-at D p, gmctxt-subtgm-at-fill-args
  p D xs)
    gsubst-at u p =Gf (subgm-at D p, gmctxt-subtgm-at-fill-args p D ys)
    using poss-gmctxt-full-gholes-split[of - D - p] ** l'
    by force+
    have (gsubst-at t p, gsubst-at u p) ∈ gmctxtex-onp Q R using inv'(2)
    using assms(4)[OF inv'(1) conjunct2[OF b]] eqgfE[OF sp(1)] eqgfE[OF
    sp(2)]
    by (auto simp: gmctxt-subtgm-at-fill-args-def intro!: gmctxtex-onpI)
    moreover have (gsubst-at s p, gsubst-at t p) ∈ R
    using * l inv(2)
    using ghole-poss-nth-subt-at[OF - conjunct1[OF b]]
    by auto (metis eq-gfill.intros)
    ultimately show (gsubst-at s p, gsubst-at u p) ∈ R
    using assms(3) by auto
next
  case c
  then have sp: gsubst-at s p =Gf (subgm-at C p, gmctxt-subtgm-at-fill-args
  p C us)
    gsubst-at t p =Gf (subgm-at C p, gmctxt-subtgm-at-fill-args p C vs)
    using poss-gmctxt-full-gholes-split[of - C - p] * l
    by force+
    have (gsubst-at s p, gsubst-at t p) ∈ gmctxtex-onp Q R using inv(2)
    using assms(4)[OF inv(1) conjunct2[OF c]] eqgfE[OF sp(1)] eqgfE[OF
    sp(2)]
    by (auto simp: gmctxt-subtgm-at-fill-args-def intro!: gmctxtex-onpI)
    moreover have (gsubst-at t p, gsubst-at u p) ∈ R
    using ** l' inv'(2)
    using ghole-poss-nth-subt-at[OF - conjunct1[OF c]]
    by auto (metis eq-gfill.intros)
    ultimately show (gsubst-at s p, gsubst-at u p) ∈ R
    using assms(2) by auto
qed
qed
ultimately show ?case by (metis gmctxtex-onpI)
qed simp}
then show ?Ls ⊆ ?Rs by auto
qed

```

7.7 Restr to set, union and predicate distribution

lemma Restr-gctxtex-onp-dist [simp]:

```

Restr (gctxtex-onp P R) (T_G F) =
  gctxtex-onp (λ C. funas-gctxt C ⊆ F ∧ P C) (Restr R (T_G F))
by (auto simp: gctxtex-onp-def T_G-equivalent-def) blast

```

```

lemma Restr-gmctxtex-onp-dist [simp]:
Restr (gmctxtex-onp P R) (T_G F) =
  gmctxtex-onp (λ C. funas-gmctxt C ⊆ F ∧ P C) (Restr R (T_G F))
by (auto elim!: gmctxtex-onpE simp: T_G-equivalent-def SUP-le-iff gmctxtex-onpI)
  (metis in-set-idx subsetD)+

```

```

lemma Restr-id-subset-gmctxtex-onp [intro]:
assumes ⋀ C. num-gholes C = 0 ∧ funas-gmctxt C ⊆ F ⇒ P C
shows Restr Id (T_G F) ⊆ gmctxtex-onp P R
proof
  fix s t assume (s, t) ∈ Restr Id (T_G F)
  then show (s, t) ∈ gmctxtex-onp P R using assms[of gmctxt-of-gterm t]
    using gmctxtex-onpI[of P gmctxt-of-gterm t [] [] R]
    by (auto simp: T_G-equivalent-def)
qed

```

```

lemma Restr-id-subset-gmctxtex-onp2 [intro]:
assumes ⋀ f n. (f, n) ∈ F ⇒ P (GMFun f (replicate n GMHole))
and ⋀ C Ds. num-gholes C = length Ds ⇒ P C ⇒ ∀ D ∈ set Ds. P D ⇒
P (fill-gholes-gmctxt C Ds)
shows Restr Id (T_G F) ⊆ gmctxtex-onp P R
proof
  fix s t assume (s, t) ∈ Restr Id (T_G F)
  then have *: s = t t ∈ T_G F by auto
  have P (gmctxt-of-gterm t) using *(2)
  proof (induct)
    case (const a)
    show ?case using assms(1)[OF const] by auto
  next
    case (ind f n ss)
    let ?C = GMFun f (replicate (length ss) GMHole)
    have P (fill-gholes-gmctxt ?C (map gmctxt-of-gterm ss))
      using assms(1)[OF ind(1)] ind
      by (intro assms(2)) (auto simp: in-set-conv-nth)
    then show ?case
      by (metis fill-gholes-gmctxt-GMFun-replicate-length gmctxt-of-gterm.simps
length-map)
    qed
    from gmctxtex-onpI[of P, OF this] show (s, t) ∈ gmctxtex-onp P R unfolding
  *
    by auto
qed

```

```

lemma gctxtex-onp-union [simp]:
  gctxtex-onp P (R ∪ L) = gctxtex-onp P R ∪ gctxtex-onp P L
  by auto

lemma gctxtex-onp-pred-dist:
  assumes ⋀ C. P C ←→ Q C ∨ R C
  shows gctxtex-onp P R = gctxtex-onp Q R ∪ gctxtex-onp R R
  using assms by auto fastforce

lemma gmctxtex-onp-pred-dist:
  assumes ⋀ C. P C ←→ Q C ∨ R C
  shows gmctxtex-onp P R = gmctxtex-onp Q R ∪ gmctxtex-onp R R
  using assms by (auto elim!: gmctxtex-onpE)

```

```

lemma trivial-gctxtex-onp [simp]: gctxtex-onp (λ C. C = □G) R = R
  using gctxtex-closure by force

```

```

lemma trivial-gmctxtex-onp [simp]: gmctxtex-onp (λ C. C = GMHole) R = R
proof
  show gmctxtex-onp (λ C. C = GMHole) R ⊆ R
  by (auto elim!: gmctxtex-onpE) force
next
  show R ⊆ gmctxtex-onp (λ C. C = GMHole) R
  by (intro gmctxtex-closure) auto
qed

```

7.8 Distribution of context closures over relation composition

```

lemma gctxtex-onp-relcomp-inner:
  gctxtex-onp P (R O L) ⊆ gctxtex-onp P R O gctxtex-onp P L
  by auto

lemma gmctxtex-onp-relcomp-inner:
  gmctxtex-onp P (R O L) ⊆ gmctxtex-onp P R O gmctxtex-onp P L
proof
  fix s t
  assume (s, t) ∈ gmctxtex-onp P (R O L)
  from gmctxtex-onpE[OF this] obtain C us vs where
    *: s = fill-gholes C us t = fill-gholes C vs and
    len: num-gholes C = length us length vs = length vs and
    inv: P C ∀ i < length vs. (us ! i, vs ! i) ∈ R O L by blast
  obtain zs where l: length vs = length zs and
    rel: ∀ i < length zs. (us ! i, zs ! i) ∈ R ∀ i < length zs. (zs ! i, vs ! i) ∈ L
    using len(2) inv(2) Ex-list-of-length-P[of - λ y i. (us ! i, y) ∈ R ∧ (y, vs ! i)
    ∈ L]
    by (auto simp: relcomp-unfold) metis
  from len l rel inv have (s, fill-gholes C zs) ∈ gmctxtex-onp P R unfolding *
    by auto

```

```

moreover from len l rel inv have (fill-gholes C zs, t) ∈ gmctxtex-onp P L
unfolding *
  by auto
ultimately show (s, t) ∈ gmctxtex-onp P R O gmctxtex-onp P L
  by auto
qed

```

7.9 Signature preserving and signature closed

definition *function-closed* **where**

```

function-closed F R ←→ ( ∀ f ss ts. (f, length ts) ∈ F → 0 ≠ length ts →
length ss = length ts → ( ∀ i. i < length ts → (ss ! i, ts ! i) ∈ R) →
(GFun f ss, GFun f ts) ∈ R)

```

```

lemma function-closedD: function-closed F R ⇒
(f, length ts) ∈ F ⇒ 0 ≠ length ts ⇒ length ss = length ts ⇒
[ ∏ i. i < length ts ⇒ (ss ! i, ts ! i) ∈ R] ⇒
(GFun f ss, GFun f ts) ∈ R
unfolding function-closed-def by blast

```

```

lemma all-ctxt-closed-imp-function-closed:
all-ctxt-closed F R ⇒ function-closed F R
unfolding all-ctxt-closed-def function-closed-def
by auto

```

```

lemma all-ctxt-closed-imp-reflx-on-sig:
assumes all-ctxt-closed F R
shows Restr Id (T_G F) ⊆ R
proof –
{fix s assume (s, s) ∈ Restr Id (T_G F) then have (s, s) ∈ R
proof (induction s)
  case (GFun f ts)
  then show ?case using all-ctxt-closedD[OF assms]
    by (auto simp: T_G-equivalent-def UN-subset-iff)
  qed}
  then show ?thesis by auto
qed

```

```

lemma function-closed-un-id-all-ctxt-closed:
function-closed F R ⇒ Restr Id (T_G F) ⊆ R ⇒ all-ctxt-closed F R
unfolding all-ctxt-closed-def
by (auto dest: function-closedD simp: subsetD)

```

```

lemma gctxtex-onp-in-signature [intro]:
assumes ⋀ C. P C ⇒ funas-gctxt C ⊆ F ⋀ C. P C ⇒ funas-gctxt C ⊆ G
and R ⊆ T_G F × T_G G
shows gctxtex-onp P R ⊆ T_G F × T_G G using assms
by (auto simp: gctxtex-onp-def T_G-equivalent-def) blast+

```

```

lemma gmctxtex-onp-in-signature [intro]:
  assumes  $\bigwedge C. P C \implies \text{funas-gmctxt } C \subseteq \mathcal{F} \wedge \bigwedge C. P C \implies \text{funas-gmctxt } C \subseteq \mathcal{G}$ 
  and  $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{G}$ 
  shows gmctxtex-onp  $P \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{G}$  using assms
  by (auto simp: gmctxtex-onp-def  $\mathcal{T}_G$ -equivalent-def in-set-conv-nth) force+

lemma gctxtex-onp-in-signature-tranc [intro]:
  gctxtex-onp  $P \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \implies (\text{gctxtex-onp } P \mathcal{R})^+ \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
  by (auto simp: Restr-simps)

lemma gmctxtex-onp-in-signature-tranc [intro]:
  gmctxtex-onp  $P \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \implies (\text{gmctxtex-onp } P \mathcal{R})^+ \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
  by (auto simp: Restr-simps)

lemma gmctxtex-onp-fun-closed [intro!]:
  assumes  $\bigwedge f n. (f, n) \in \mathcal{F} \implies n \neq 0 \implies P (\text{GMFun } f (\text{replicate } n \text{ GMHole}))$ 
  and  $\bigwedge C Ds. P C \implies \text{num-gholes } C = \text{length } Ds \implies 0 < \text{num-gholes } C \implies \forall D \in \text{set } Ds. P D \implies P (\text{fill-gholes-gmctxt } C Ds)$ 
  shows function-closed  $\mathcal{F}$  (gmctxtex-onp  $P \mathcal{R}$ ) unfolding function-closed-def
  proof (rule allI, intro allI, intro impI)
  fix  $f ss ts$  assume sig:  $(f, \text{length } ts) \in \mathcal{F}$ 
  and len:  $0 \neq \text{length } ts$   $\text{length } ss = \text{length } ts$ 
  and mem:  $\forall i < \text{length } ts. (ss ! i, ts ! i) \in \text{gmctxtex-onp } P \mathcal{R}$ 
  let ?C = GMFun  $f (\text{replicate} (\text{length } ts) \text{ GMHole})$ 
  from mem len obtain Ds sss tss where
    l':  $\text{length } ts = \text{length } Ds$   $\text{length } Ds = \text{length } sss$   $\text{length } sss = \text{length } tss$  and
    inn:  $\forall i < \text{length } tss. \text{length } (sss ! i) = \text{length } (tss ! i)$  and
    eq:  $\forall i < \text{length } tss. ss ! i =_{Gf} (Ds ! i, sss ! i) \forall i < \text{length } tss. ts ! i =_{Gf} (Ds ! i, tss ! i)$  and
    inv:  $\forall i < \text{length } (concat tss). (concat sss ! i, concat tss ! i) \in \mathcal{R} \forall D \in \text{set } Ds. P D$ 
    by (auto elim!: gmctxtex-onp-listE)
  have *: fill-gholes ?C ss = GFun  $f ss$  fill-gholes ?C ts = GFun  $f ts$ 
    using len assms(1) by (auto simp del: fill-gholes.simps)
  have s: GFun  $f ss =_{Gf} (\text{fill-gholes-gmctxt } ?C Ds, concat sss)$ 
    using assms(1) l' eq(1) inn len inv(1) unfolding *[symmetric]
    by (intro fill-gholes-gmctxt-sound) auto
  have t: GFun  $f ts =_{Gf} (\text{fill-gholes-gmctxt } ?C Ds, concat tss)$ 
    using assms(1) eq l' inn len inv(1) unfolding *[symmetric]
    by (intro fill-gholes-gmctxt-sound) auto
  then show (GFun  $f ss$ , GFun  $f ts) \in \text{gmctxtex-onp } P \mathcal{R}$ 
    unfolding eqgfE[OF s] eqgfE[OF t]
    using eqgfE(2)[OF s] eqgfE(2)[OF t] sig len l' inv
    using assms(1)[OF sig] assms(2)[of GMFun  $f (\text{replicate} (\text{length } ts) \text{ GMHole}) Ds]$ 
    using gmctxtex-onpI[of P fill-gholes-gmctxt (GMFun  $f (\text{replicate} (\text{length } ts) \text{ GMHole})) Ds concat sss concat tss \mathcal{R}]$ 
```

```

    by (auto simp del: fill-gholes-gmctxt.simps fill-gholes.simps)
qed

declare subsetI[rule del]
lemma gmctxtex-onp-sig-closed [intro]:
  assumes  $\bigwedge f n. (f, n) \in \mathcal{F} \implies P (\text{GMFun } f (\text{replicate } n \text{ GMHole}))$ 
  and  $\bigwedge C Ds. \text{num-gholes } C = \text{length } Ds \implies P C \implies \forall D \in \text{set } Ds. P D \implies P (\text{fill-gholes-gmctxt } C Ds)$ 
  shows all-ctxt-closed  $\mathcal{F} (\text{gmctxtex-onp } P \mathcal{R})$  using assms
  by (intro function-closed-un-id-all-ctxt-closed) auto
declare subsetI[intro!]

lemma gmctxt-cl-gmctxtex-onp-conv:
  gmctxt-cl  $\mathcal{F} \mathcal{R} = \text{gmctxtex-onp} (\lambda C. \text{funas-gmctxt } C \subseteq \mathcal{F}) \mathcal{R}$  (is ?Ls = ?Rs)
proof -
  have sig-cl: all-ctxt-closed  $\mathcal{F} (?Rs)$  by (intro gmctxtex-onp-sig-closed) auto
  {fix s t assume (s, t) ∈ ?Ls then have (s, t) ∈ ?Rs
    proof induct
      case (step ss ts f)
      then show ?case using all-ctxt-closedD[OF sig-cl]
      by force
    qed (intro subsetD[OF gmctxtex-onp-arg-monoI], auto)}
  moreover
  {fix s t assume (s, t) ∈ ?Rs
    from gmctxtex-onpE[OF this] obtain C us vs where
      terms:  $s = \text{fill-gholes } C \text{ us } t = \text{fill-gholes } C \text{ vs}$  and
      fill-inv:  $\text{num-gholes } C = \text{length } us \text{ length } us = \text{length } vs$  and
      rel:  $\text{funas-gmctxt } C \subseteq \mathcal{F} \forall i < \text{length } vs. (us ! i, vs ! i) \in \mathcal{R}$  by blast
    have (s, t) ∈ ?Ls unfolding terms using fill-inv rel
    proof (induct C arbitrary: us vs)
      case GMHole
      then show ?case using rel(2) by (cases vs; cases us) auto
    next
      case (GMFun f Ds)
      show ?case using GMFun(2-) unfolding partition-holes-fill-gholes-conv'
      by (intro all-ctxt-closedD[OF gmctxt-cl-is-all-ctxt-closed[of F R]])
      (auto simp: partition-by-nth-nth SUP-le-iff length-partition-gholes-nth
        intro!: GMFun(1))
      qed}
    ultimately show ?thesis by auto
  qed

end
theory FOR-Certificate
imports Rewriting
begin

```

8 Certificate syntax and type declarations

```

type-alias fvar = nat           — variable id
datatype ftrs = Fwd nat | Bwd nat — TRS id and direction

definition map-ftrs where
  map-ftrs f = case-ftrs (Fwd o f) (Bwd o f)

```

8.1 GTT relations

```

datatype 'trs gtt-rel          — GTT relations
  = ARoot 'trs list            — root steps
  | GInv 'trs gtt-rel         — inverse of anchored or ordinary GTT relation
  | AUnion 'trs gtt-rel 'trs gtt-rel — union of anchored GTT relation
  | ATrancl 'trs gtt-rel      — transitive closure of anchored GTT relation
  | GTrancl 'trs gtt-rel      — transitive closure of ordinary GTT relation
  | AComp 'trs gtt-rel 'trs gtt-rel — composition of anchored GTT relations
  | GComp 'trs gtt-rel 'trs gtt-rel — composition of ordinary GTT relations

```

```
definition GSteps where GSteps trss = GTrancl (ARoot trss)
```

8.2 RR1 and RR2 relations

```

datatype pos-step — position specification for lifting anchored GTT relation
  = PRoot      — allow only root steps
  | PNonRoot   — allow only non-root steps
  | PAny       — allow any position

```

```

datatype ext-step — kind of rewrite steps for lifting anchored GTT relation
  = ESsingle    — single steps
  | EParallel   — parallel steps, allowing the empty step
  | EStrictParallel — parallel steps, no allowing the empty step

```

```

datatype 'trs rr1-rel          — RR1 relations, aka regular tree languages
  = R1Terms          — all terms as RR1 relation (regular tree
languages)
  | R1NF 'trs list        — direct normal form construction wrt. single
steps
  | R1Inf 'trs rr2-rel     — infiniteness predicate
  | R1Proj nat 'trs rr2-rel — projection of RR2 relation
  | R1Union 'trs rr1-rel 'trs rr1-rel — union of RR1 relations
  | R1Inter 'trs rr1-rel 'trs rr1-rel — intersection of RR1 relations
  | R1Diff 'trs rr1-rel 'trs rr1-rel — difference of RR1 relations
and 'trs rr2-rel          — RR2 relations
  = R2GTT-Rel 'trs gtt-rel pos-step ext-step — lifted GTT relations
  | R2Diag 'trs rr1-rel      — diagonal relation
  | R2Prod 'trs rr1-rel 'trs rr1-rel — Cartesian product
  | R2Inv 'trs rr2-rel       — inverse of RR2 relation
  | R2Union 'trs rr2-rel 'trs rr2-rel — union of RR2 relations

```

| $R2Inter$ 'trs rr2-rel 'trs rr2-rel — intersection of RR2 relations
 | $R2Diff$ 'trs rr2-rel 'trs rr2-rel — difference of RR2 relations
 | $R2Comp$ 'trs rr2-rel 'trs rr2-rel — composition of RR2 relations

```

definition R1Fin where — finiteness predicate
  R1Fin r = R1Diff R1Terms (R1Inf r)
definition R2Eq where — equality
  R2Eq = R2Diag R1Terms
definition R2Refc where — reflexive closure
  R2Refc r = R2Union r R2Eq
definition R2Step where — single step →
  R2Step trss = R2GTT-Rel (ARoot trss) PAny ESingle
definition R2StepEq where — at most one step →=
  R2StepEq trss = R2Refc (R2Step trss)
definition R2Steps where — at least one step →+
  R2Steps trss = R2GTT-Rel (GSteps trss) PAny EStrictParallel
definition R2StepsEq where — many steps →*
  R2StepsEq trss = R2GTT-Rel (GSteps trss) PAny EParallel
definition R2StepsNF where — rewrite to normal form →!
  R2StepsNF trss = R2Inter (R2StepsEq trss) (R2Prod R1Terms (R1NF trss))
definition R2ParStep where — parallel step
  R2ParStep trss = R2GTT-Rel (ARoot trss) PAny EParallel
definition R2RootStep where — root step →ε
  R2RootStep trss = R2GTT-Rel (ARoot trss) PRoot ESingle
definition R2RootStepEq where — at most one root step →ε=
  R2RootStepEq trss = R2Refc (R2RootStep trss)

definition R2RootSteps where — at least one root step →ε+
  R2RootSteps trss = R2GTT-Rel (ATranc1 (ARoot trss)) PRoot ESingle
definition R2RootStepsEq where — many root steps →ε*
  R2RootStepsEq trss = R2Refc (R2RootSteps trss)
definition R2NonRootStep where — non-root step →>ε
  R2NonRootStep trss = R2GTT-Rel (ARoot trss) PNonRoot ESingle
definition R2NonRootStepEq where — at most one non-root step →>ε=
  R2NonRootStepEq trss = R2Refc (R2NonRootStep trss)
definition R2NonRootSteps where — at least one non-root step →>ε+
  R2NonRootSteps trss = R2GTT-Rel (GSteps trss) PNonRoot EStrictParallel
definition R2NonRootStepsEq where — many non-root steps →>ε*
  R2NonRootStepsEq trss = R2GTT-Rel (GSteps trss) PNonRoot EParallel
definition R2Meet where — meet ↑
  R2Meet trss = R2GTT-Rel (GComp (GInv (GSteps trss)) (GSteps trss)) PAny
  EParallel
definition R2Join where — join ↓
  R2Join trss = R2GTT-Rel (GComp (GSteps trss) (GInv (GSteps trss))) PAny
  EParallel
  
```

8.3 Formulas

```

datatype 'trs formula      — formulas
  = FRR1 'trs rr1-rel fvar   — application of RR1 relation
  | FRR2 'trs rr2-rel fvar fvar — application of RR2 relation
  | FAnd ('trs formula) list   — conjunction
  | FOr ('trs formula) list    — disjunction
  | FNot 'trs formula        — negation
  | FExists 'trs formula     — existential quantification
  | FForall 'trs formula      — universal quantification

definition FTrue where      — true
  FTrue ≡ FAnd []
definition FFalse where      — false
  FFalse ≡ FOr []

definition FRestrict where      — reorder/rename/restrict TRSs for subformula
  FRestrict f trss ≡ map-formula (map-ftrs ( $\lambda n.$  if  $n \geq \text{length } \textit{trss}$  then 0 else  $\textit{trss} ! n$ )) f

```

8.4 Signatures and Problems

```

datatype ('f, 'v, 't) many-sorted-sig
  = Many-Sorted-Sig (ms-functions: ('f × 't list × 't) list) (ms-variables: ('v × 't) list)

datatype ('f, 'v, 't) problem
  = Problem (p-signature: ('f, 'v, 't) many-sorted-sig)
    (p-trss: ('f, 'v) trs list)
    (p-formula: ftrs formula)

```

8.5 Proofs

```

datatype equivalence — formula equivalences
  = EDistribAndOr   — distributivity: conjunction over disjunction
  | EDistribOrAnd   — distributivity: disjunction over conjunction

datatype 'trs inference      — inference rules for formula creation
  = IRR1 'trs rr1-rel fvar   — formula from RR1 relation
  | IRR2 'trs rr2-rel fvar fvar — formula from RR2 relation
  | IAnd nat list          — conjunction
  | IOr nat list           — disjunction
  | INot nat                — negation
  | IExists nat            — existential quantification
  | IRename nat fvar list   — permute variables
  | INNFPlus nat           — equivalence modulo negation normal form plus
    ACIU0 for  $\wedge$  and  $\vee$ 
  | IRepl equivalence nat list nat — replacement according to given equivalence

```

```

datatype claim = Empty | Nonempty

datatype info = Size nat nat nat

datatype 'trs certificate
= Certificate (nat × 'trs inference × 'trs formula × info list) list claim nat

```

8.6 Example

```

definition no-normal-forms-cert :: ftrs certificate where
no-normal-forms-cert = Certificate
[ (0, (IRR2 (R2Step [Fwd 0]) 1 0),
      (FRR2 (R2Step [Fwd 0]) 1 0), []),
  , (1, (IExists 0),
      (FExists (FRR2 (R2Step [Fwd 0]) 1 0)), [])
  , (2, (INot 1),
      (FNot (FExists (FRR2 (R2Step [Fwd 0]) 1 0))), [])
  , (3, (IExists 2),
      (FExists (FNot (FExists (FRR2 (R2Step [Fwd 0]) 1 0)))), [])
  , (4, (INot 3),
      (FNot (FExists (FNot (FExists (FRR2 (R2Step [Fwd 0]) 1 0))))), [])
  , (5, (INNFPlus 4),
      (FForall (FExists (FRR2 (R2Step [Fwd 0]) 1 0))), [])
] Nonempty 5

definition no-normal-forms-problem :: (string, string, unit) problem where
no-normal-forms-problem = Problem
(Many-Sorted-Sig [("f",[(),()], ("a",[],())] [("x",(),())]
[{(Fun "f" [Var "x"],Fun "a" [])}]
(FForall (FExists (FRR2 (R2Step [Fwd 0]) 1 0)))

```

end

9 Lifting root steps to single/parallel root/non-root steps

theory Lift-Root-Step

imports

Rewriting

FOR-Certificate

Context-Extensions

Multihole-Context

begin

Closure under all contexts

abbreviation gctxtcl \mathcal{R} \equiv gctxtex-onp ($\lambda C. \text{True}$) \mathcal{R}

abbreviation gmctxtcl \mathcal{R} \equiv gctxtex-onp ($\lambda C. \text{True}$) \mathcal{R}

Extension under all non empty contexts

abbreviation *gctxtex-nempty* $\mathcal{R} \equiv \text{gctxtex-onp } (\lambda C. C \neq \square_G) \mathcal{R}$
abbreviation *gmctxtex-nempty* $\mathcal{R} \equiv \text{gmctxtex-onp } (\lambda C. C \neq \text{GMHole}) \mathcal{R}$

Closure under all contexts respecting the signature

abbreviation *gctxcl-funas* $\mathcal{F} \mathcal{R} \equiv \text{gctxtex-onp } (\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F}) \mathcal{R}$
abbreviation *gmctxcl-funas* $\mathcal{F} \mathcal{R} \equiv \text{gmctxtex-onp } (\lambda C. \text{funas-gmctxt } C \subseteq \mathcal{F}) \mathcal{R}$

Closure under all multihole contexts with at least one hole respecting the signature

abbreviation *gmctxcl-funas-strict* $\mathcal{F} \mathcal{R} \equiv \text{gmctxtex-onp } (\lambda C. 0 < \text{num-gholes } C \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}) \mathcal{R}$

Extension under all non empty contexts respecting the signature

abbreviation *gctxtex-funas-nroot* $\mathcal{F} \mathcal{R} \equiv \text{gctxtex-onp } (\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F} \wedge C \neq \square_G) \mathcal{R}$

abbreviation *gmctxtex-funas-nroot* $\mathcal{F} \mathcal{R} \equiv \text{gmctxtex-onp } (\lambda C. \text{funas-gmctxt } C \subseteq \mathcal{F} \wedge C \neq \text{GMHole}) \mathcal{R}$

Extension under all non empty contexts respecting the signature

abbreviation *gmctxtex-funas-nroot-strict* $\mathcal{F} \mathcal{R} \equiv \text{gmctxtex-onp } (\lambda C. 0 < \text{num-gholes } C \wedge \text{funas-gmctxt } C \subseteq \mathcal{F} \wedge C \neq \text{GMHole}) \mathcal{R}$

9.1 Rewrite steps equivalent definitions

definition *gsubst-cl* :: $'f, 'v \ trs \Rightarrow 'f \ gterm \ rel \ \mathbf{where}$
 $gsubst-cl \mathcal{R} = \{(gterm-of-term (l \cdot \sigma), gterm-of-term (r \cdot \sigma)) \mid l \ r \ (\sigma :: 'v \Rightarrow ('f, 'v) \ \text{Term.term}). (l, r) \in \mathcal{R} \wedge \text{ground } (l \cdot \sigma) \wedge \text{ground } (r \cdot \sigma)\}$

definition *gnrrstepD* :: $'f \ sig \Rightarrow 'f \ gterm \ rel \Rightarrow 'f \ gterm \ rel \ \mathbf{where}$
 $gnrrstepD \mathcal{F} \mathcal{R} = \text{gctxtex-funas-nroot } \mathcal{F} \mathcal{R}$

definition *grstepD* :: $'f \ sig \Rightarrow 'f \ gterm \ rel \Rightarrow 'f \ gterm \ rel \ \mathbf{where}$
 $grstepD \mathcal{F} \mathcal{R} = \text{gctxcl-funas } \mathcal{F} \mathcal{R}$

definition *gpar-rstepD* :: $'f \ sig \Rightarrow 'f \ gterm \ rel \Rightarrow 'f \ gterm \ rel \ \mathbf{where}$
 $gpar-rstepD \mathcal{F} \mathcal{R} = \text{gmctxcl-funas } \mathcal{F} \mathcal{R}$

inductive-set *gpar-rstepD'* :: $'f \ sig \Rightarrow 'f \ gterm \ rel \Rightarrow 'f \ gterm \ rel \ \mathbf{for} \ \mathcal{F} :: 'f \ sig \ \mathbf{and} \ \mathcal{R} :: 'f \ gterm \ rel$
where *groot-step* [intro]: $(s, t) \in \mathcal{R} \Rightarrow (s, t) \in \text{gpar-rstepD}' \mathcal{F} \mathcal{R}$
 $\mid \text{gpar-step-fun}$ [intro]: $\llbracket \bigwedge i. i < \text{length } ts \Rightarrow (ss ! i, ts ! i) \in \text{gpar-rstepD}' \mathcal{F} \mathcal{R} \rrbracket \Rightarrow \text{length } ss = \text{length } ts$
 $\Rightarrow (f, \text{length } ts) \in \mathcal{F} \Rightarrow (GFun f ss, GFun f ts) \in \text{gpar-rstepD}' \mathcal{F} \mathcal{R}$

9.2 Interface between rewrite step definitions and sets

fun *lift-root-step* :: $('f \times \text{nat}) \ set \Rightarrow \text{pos-step} \Rightarrow \text{ext-step} \Rightarrow 'f \ gterm \ rel \Rightarrow 'f \ gterm \ rel \ \mathbf{where}$

```

lift-root-step  $\mathcal{F}$  PAny ESingle  $\mathcal{R} = \text{gctxtcl-funas } \mathcal{F} \mathcal{R}$ 
| lift-root-step  $\mathcal{F}$  PAny EStrictParallel  $\mathcal{R} = \text{gmctxtcl-funas-strict } \mathcal{F} \mathcal{R}$ 
| lift-root-step  $\mathcal{F}$  PAny EParallel  $\mathcal{R} = \text{gmctxtcl-funas } \mathcal{F} \mathcal{R}$ 
| lift-root-step  $\mathcal{F}$  PNonRoot ESingle  $\mathcal{R} = \text{gctxtex-funas-nroot } \mathcal{F} \mathcal{R}$ 
| lift-root-step  $\mathcal{F}$  PNonRoot EStrictParallel  $\mathcal{R} = \text{gmctxtex-funas-nroot-strict } \mathcal{F} \mathcal{R}$ 
| lift-root-step  $\mathcal{F}$  PNonRoot EParallel  $\mathcal{R} = \text{gmctxtex-funas-nroot } \mathcal{F} \mathcal{R}$ 
| lift-root-step  $\mathcal{F}$  PRoot ESingle  $\mathcal{R} = \mathcal{R}$ 
| lift-root-step  $\mathcal{F}$  PRoot EStrictParallel  $\mathcal{R} = \mathcal{R}$ 
| lift-root-step  $\mathcal{F}$  PRoot EParallel  $\mathcal{R} = \mathcal{R} \cup \text{Restr Id } (\mathcal{T}_G \mathcal{F})$ 

```

9.3 Compatibility of used predicate extensions and signature closure

```

lemma compatible-p [simp]:
  compatible-p ( $\lambda C. C \neq \square_G$ ) ( $\lambda C. C \neq \text{GMHole}$ )
  compatible-p ( $\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F}$ ) ( $\lambda C. \text{funas-gmctxt } C \subseteq \mathcal{F}$ )
  compatible-p ( $\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F} \wedge C \neq \square_G$ ) ( $\lambda C. \text{funas-gmctxt } C \subseteq \mathcal{F} \wedge C \neq \text{GMHole}$ )
  unfolding compatible-p-def
  by rule (case-tac  $C$ , auto)+

lemma gmctxtcl-funas-sigcl:
  all-ctxt-closed  $\mathcal{F}$  (gmctxtcl-funas  $\mathcal{F} \mathcal{R}$ )
  by (intro gmctxtex-onp-sig-closed) auto

lemma gctxtex-funas-nroot-sigcl:
  all-ctxt-closed  $\mathcal{F}$  (gmctxtex-funas-nroot  $\mathcal{F} \mathcal{R}$ )
  by (intro gmctxtex-onp-sig-closed) auto

lemma gmctxtcl-funas-strict-funcl:
  function-closed  $\mathcal{F}$  (gmctxtcl-funas-strict  $\mathcal{F} \mathcal{R}$ )
  by (intro gmctxtex-onp-fun-closed) (auto dest: list.setsel)

lemma gmctxtex-funas-nroot-strict-funcl:
  function-closed  $\mathcal{F}$  (gmctxtex-funas-nroot-strict  $\mathcal{F} \mathcal{R}$ )
  by (intro gmctxtex-onp-fun-closed) (auto dest: list.setsel)

lemma gctxtcl-funas-dist:
  gctxtcl-funas  $\mathcal{F} \mathcal{R} = \text{gctxtex-onp } (\lambda C. C = \square_G) \mathcal{R} \cup \text{gctxtex-funas-nroot } \mathcal{F} \mathcal{R}$ 
  by (intro gctxtex-onp-pred-dist) auto

lemma gmctxtex-funas-nroot-dist:
  gmctxtex-funas-nroot  $\mathcal{F} \mathcal{R} = \text{gmctxtex-funas-nroot-strict } \mathcal{F} \mathcal{R} \cup$ 
    gmctxtex-onp ( $\lambda C. \text{num-gholes } C = 0 \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}$ )  $\mathcal{R}$ 
  by (intro gmctxtex-onp-pred-dist) auto

lemma gmctxtcl-funas-dist:
  gmctxtcl-funas  $\mathcal{F} \mathcal{R} = \text{gmctxtex-onp } (\lambda C. \text{num-gholes } C = 0 \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}) \mathcal{R} \cup$ 

```

gmctxtex-onp ($\lambda C. 0 < \text{num-gholes } C \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}$) \mathcal{R}
by (*intro gmctxtex-onp-pred-dist*) *auto*

lemma *gmctxtcl-funas-strict-dist*:

gmctxtcl-funas-strict $\mathcal{F} \mathcal{R} = \text{gmctxtex-funas-nroot-strict } \mathcal{F} \mathcal{R} \cup \text{gmctxtex-onp}$ ($\lambda C. C = \text{GMHole}$) \mathcal{R}
by (*intro gmctxtex-onp-pred-dist*) *auto*

lemma *gmctxtex-onpzero-num-gholes-id* [*simp*]:

gmctxtex-onp ($\lambda C. \text{num-gholes } C = 0 \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}$) $\mathcal{R} = \text{Restr Id}$
 $(\mathcal{T}_G \mathcal{F})$ (**is** $?Ls = ?Rs$)

proof –

{fix $s t$ **assume** $(s, t) \in ?Ls$ **from** *gmctxtex-onpE*[*OF this*] **obtain** $C us vs$
where

$*: s = \text{fill-gholes } C us t = \text{fill-gholes } C vs$ **and**
 $\text{len}: \text{num-gholes } C = \text{length } us \text{ length } us = \text{length } vs$ **and**
 $\text{inv}: \text{num-gholes } C = 0 \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}$ **by** *auto*
then have $(s, t) \in ?Rs$ **using** $\text{len } \text{inv }$ **unfolding** *
by (*cases us; cases vs*) (*auto simp:* $\mathcal{T}_G\text{-funas-gterm-conv}$)}

moreover have $?Rs \subseteq ?Ls$

by (*intro Restr-id-subset-gmctxtex-onp*) *auto*

ultimately show *?thesis* **by** *auto*

qed

lemma *gctxtex-onp-sign-trans-fst*:

assumes $(s, t) \in \text{gctxtex-onp } P R$ **and** $s \in \mathcal{T}_G \mathcal{F}$
shows $(s, t) \in \text{gctxtex-onp}$ ($\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F} \wedge P C$) R
using *assms*
by (*auto simp:* $\mathcal{T}_G\text{-equivalent-def elim!: gctxtex-onpE}$)

lemma *gctxtex-onp-sign-trans-snd*:

assumes $(s, t) \in \text{gctxtex-onp } P R$ **and** $t \in \mathcal{T}_G \mathcal{F}$
shows $(s, t) \in \text{gctxtex-onp}$ ($\lambda C. \text{funas-gctxt } C \subseteq \mathcal{F} \wedge P C$) R
using *assms*
by (*auto simp:* $\mathcal{T}_G\text{-equivalent-def elim!: gctxtex-onpE}$)

lemma *gmctxtex-onp-sign-trans-fst*:

assumes $(s, t) \in \text{gmctxtex-onp } P R$ **and** $s \in \mathcal{T}_G \mathcal{F}$
shows $(s, t) \in \text{gmctxtex-onp}$ ($\lambda C. P C \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}$) R
using *assms*
by (*auto simp:* $\mathcal{T}_G\text{-equivalent-def simp add: gmctxtex-onpI}$)

lemma *gmctxtex-onp-sign-trans-snd*:

assumes $(s, t) \in \text{gmctxtex-onp } P R$ **and** $t \in \mathcal{T}_G \mathcal{F}$
shows $(s, t) \in \text{gmctxtex-onp}$ ($\lambda C. P C \wedge \text{funas-gmctxt } C \subseteq \mathcal{F}$) R
using *assms*
by (*auto simp:* $\mathcal{T}_G\text{-equivalent-def simp add: gmctxtex-onpI}$)

9.4 Basic lemmas

lemma *gsubst-cl*:
fixes $\mathcal{R} :: ('f, 'v) \text{ trs}$ **and** $\sigma :: 'v \Rightarrow ('f, 'v) \text{ term}$
assumes $(l, r) \in \mathcal{R}$ **and** $\text{ground } (l \cdot \sigma)$ $\text{ground } (r \cdot \sigma)$
shows $(\text{gterm-of-term } (l \cdot \sigma), \text{gterm-of-term } (r \cdot \sigma)) \in \text{gsubst-cl } \mathcal{R}$
using *assms unfolding gsubst-cl-def by auto*

lemma *grstepD [simp]*:
 $(s, t) \in \mathcal{R} \implies (s, t) \in \text{grstepD } \mathcal{F} \mathcal{R}$
by *(auto simp: grstepD-def gctxtex-onp-def intro!: exI[of - □G])*

lemma *grstepD-ctxtI [intro]*:
 $(l, r) \in \mathcal{R} \implies \text{funas-gctxt } C \subseteq \mathcal{F} \implies (C\langle l \rangle_G, C\langle r \rangle_G) \in \text{grstepD } \mathcal{F} \mathcal{R}$
by *(auto simp: grstepD-def gctxtex-onp-def intro!: exI[of - C])*

lemma *gctxtex-funas-nroot-gctxtcl-funas-subseteq*:
gctxtex-funas-nroot \mathcal{F} (*grstepD* $\mathcal{F} \mathcal{R}$) $\subseteq \text{grstepD } \mathcal{F} \mathcal{R}$
unfolding *grstepD-def*
by *(intro gctxtex-pred-cmp-subseteq) auto*

lemma *Restr-gnrrstepD-dist [simp]*:
Restr (*gnrrstepD* $\mathcal{F} \mathcal{R}$) ($\mathcal{T}_G \mathcal{G}$) = *gnrrstepD* ($\mathcal{F} \cap \mathcal{G}$) (*Restr* \mathcal{R} ($\mathcal{T}_G \mathcal{G}$))
by *(auto simp add: gnrrstepD-def)*

lemma *Restr-grstepD-dist [simp]*:
Restr (*grstepD* $\mathcal{F} \mathcal{R}$) ($\mathcal{T}_G \mathcal{G}$) = *grstepD* ($\mathcal{F} \cap \mathcal{G}$) (*Restr* \mathcal{R} ($\mathcal{T}_G \mathcal{G}$))
by *(auto simp add: grstepD-def)*

lemma *Restr-gpar-rstepD-dist [simp]*:
Restr (*gpar-rstepD* $\mathcal{F} \mathcal{R}$) ($\mathcal{T}_G \mathcal{G}$) = *gpar-rstepD* ($\mathcal{F} \cap \mathcal{G}$) (*Restr* \mathcal{R} ($\mathcal{T}_G \mathcal{G}$)) (**is** $?Ls = ?Rs$)
by *(auto simp: gpar-rstepD-def)*

9.5 Equivalence lemmas

lemma *grrstep-subst-cl-conv*:
grrstep $\mathcal{R} = \text{gsubst-cl } \mathcal{R}$
unfolding *gsubst-cl-def grrstep-def rrstep-def rstep-r-p-s-def*
by *(auto, metis ground-substI ground-term-of-gterm term-of-gterm-inv) blast*

lemma *gnrrstepD-gnrrstep-conv*:
gnrrstep $\mathcal{R} = \text{gnrrstepD UNIV}$ (*gsubst-cl* \mathcal{R}) (**is** $?Ls = ?Rs$)
proof -
{fix $s t$ **assume** $(s, t) \in ?Ls$ **then obtain** $l r C \sigma$ **where**
mem: $(l, r) \in \mathcal{R}$ $C \neq \square$ *term-of-gterm* $s = C\langle l \cdot (\sigma :: 'b \Rightarrow ('a, 'b) \text{ term}) \rangle$
term-of-gterm $t = C\langle r \cdot \sigma \rangle$
unfolding *gnrrstep-def inv-image-def nrrstep-def'* **by** *auto*
then have $(s, t) \in ?Rs$ **using** *gsubst-cl[OF mem(1)]*
using *gctxtex-onpI[of λ C. funas-gctxt C ⊆ UNIV ∧ C ≠ □G gctxt-of-ctxt]*

```

 $C$  gterm-of-term  $(l \cdot \sigma)$   

  gterm-of-term  $(r \cdot \sigma)$  gsubst-cl  $\mathcal{R}$ ]  

by (auto simp: gnrrstepD-def) }  

moreover  

{fix s t assume  $(s, t) \in ?Rs$  then have  $(s, t) \in ?Ls$   

  unfolding gnrrstepD-def gctxtex-onp-def gnrrstep-def inv-image-def nrrstep-def'  

  gsubst-cl-def  

  by auto (metis ctxt-of-gctxt.simps(1) ctxt-of-gctxt-inv ground ctxt-of-gctxt  

  ground-gctxt-of ctxt-apply ground-substI)}  

ultimately show ?thesis by auto  

qed

lemma grstepD-grstep-conv:  

  grstep  $\mathcal{R} =$  grstepD UNIV (gsubst-cl  $\mathcal{R}$ ) (is  $?Ls = ?Rs$ )  

proof –  

{fix s t assume  $(s, t) \in ?Ls$  then obtain C l r σ where  

  mem:  $(l, r) \in \mathcal{R}$  term-of-gterm  $s = C(l \cdot (\sigma :: 'b \Rightarrow ('a, 'b) term))$  term-of-gterm  

 $t = C(r \cdot \sigma)$   

  unfolding grstep-def inv-image-def by auto  

  then have  $(s, t) \in ?Rs$  using grstepD-ctxtI[OF gsubst-cl[OF mem(1)], of σ  

  gctxt-of ctxt C UNIV]  

  by (auto simp: grstepD-def gctxtex-onp-def) }  

moreover  

{fix s t assume  $(s, t) \in ?Rs$  then have  $(s, t) \in ?Ls$   

  by (auto simp: gctxtex-onp-def grstepD-def grstep-def gsubst-cl-def)  

  (metis ctxt-of-gctxt-apply-gterm ground ctxt-apply  

  ground ctxt-of-gctxt ground-substI gterm-of-term-inv rstep.intros) }  

ultimately show ?thesis by auto  

qed

lemma gpar-rstep-gpar-rstepD-conv:  

  gpar-rstep  $\mathcal{R} =$  gpar-rstepD' UNIV (gsubst-cl  $\mathcal{R}$ ) (is  $?Ls = ?Rs$ )  

proof –  

{fix s t assume  $(s, t) \in ?Rs$   

  then have  $(s, t) \in$  gpar-rstep  $\mathcal{R}$   

  by induct (auto simp: gpar-rstep-def gsubst-cl-def) }  

moreover  

{fix s t assume ass:  $(s, t) \in ?Ls$  then obtain u v where  

   $(u, v) \in$  par-rstep  $\mathcal{R}$   $u =$  term-of-gterm  $s$   $v =$  term-of-gterm  $t$   

  by (simp add: gpar-rstep-def inv-image-def)  

then have  $(s, t) \in ?Rs$   

proof (induct arbitrary: s t)  

  case (root-step u v σ)  

  then have  $(s, t) \in$  gsubst-cl  $\mathcal{R}$  unfolding gsubst-cl-def  

  by auto (metis ground-substI ground-term-of-gterm term-of-gterm-inv)  

  then show ?case by auto  

next  

  case (par-step-fun ts ss f)  

  then show ?case by (cases s; cases t) auto

```

```

next
  case (par-step-var  $x$ )
    then show ?case by (cases  $s$ ) auto
  qed}
  ultimately show ?thesis by auto
qed

lemma gmctxtcl-funas-idem:
  gmctxtcl-funas  $\mathcal{F}$  (gmctxtcl-funas  $\mathcal{F}$   $\mathcal{R}$ )  $\subseteq$  gmctxtcl-funas  $\mathcal{F}$   $\mathcal{R}$ 
  by (intro gmctxtex-pred-cmp-subseteq)
    (auto elim!: less-eq-to-sup-mctxt-args, blast+)

lemma gpar-rstepD-gpar-rstepD'-conv:
  gpar-rstepD  $\mathcal{F}$   $\mathcal{R}$  = gpar-rstepD'  $\mathcal{F}$   $\mathcal{R}$  (is ? $Ls$  = ? $Rs$ )
proof –
  {fix  $s t$  assume  $(s, t) \in ?Rs$  then have  $(s, t) \in ?Ls$ 
    proof induct
      case (groot-step  $s t$ ) then show ?case unfolding gpar-rstepD-def
        using gmctxtex-onpI[of - GMHole [s] [t]]
        by auto
    next
      case (gpar-step-fun  $ts ss f$ )
        show ?case using gpar-step-fun(2-) unfolding gpar-rstepD-def
          using subsetD[OF gmctxtcl-funas-idem, of (GFun  $f ss$ , GFun  $f ts$ )  $\mathcal{F}$   $\mathcal{R}$ ]
            using gmctxtex-onpI[of - GMFun  $f$  (replicate (length  $ss$ ) GMHole)  $ss ts$ 
              gmctxtcl-funas  $\mathcal{F}$   $\mathcal{R}$ ]
          by (auto simp del: fill-gholes.simps)
    qed}
  moreover
  {fix  $s t$  assume  $(s, t) \in ?Ls$  then obtain  $C ss ts$  where
     $t: s = fill\text{-}gholes C ss t = fill\text{-}gholes C ts$  and
     $inv: num\text{-}gholes C = length ss num\text{-}gholes C = length ts$  and
     $pred: funas\text{-}gmctxt C \subseteq \mathcal{F}$  and  $rel: \forall i < length ts. (ss ! i, ts ! i) \in \mathcal{R}$ 
    unfolding gpar-rstepD-def by auto
    have  $(s, t) \in ?Rs$  using inv pred rel unfolding  $t$ 
    proof (induct rule: fill-gholes-induct2)
      case (GMHole  $x$ ) then show ?case
        by (cases  $ts$ ) auto
    next
      case (GMFun  $f Cs xs ys$ )
        from GMFun(1, 2, 5) have  $i < length Cs \implies \forall j < length (partition\text{-}gholes ys Cs ! i)$ .
         $(partition\text{-}gholes xs Cs ! i ! j, partition\text{-}gholes ys Cs ! i ! j) \in \mathcal{R}$  for  $i$ 
        by (auto simp: length-partition-by-nth partition-by-nth-nth(1, 2))
        from GMFun this show ?case unfolding partition-holes-fill-gholes-conv'
          by (intro gpar-step-fun) (auto, meson UN-I nth-mem subset-iff)
    qed}
    ultimately show ?thesis by auto
qed

```

9.6 Signature preserving lemmas

lemma \mathcal{T}_G -trans-closure-id [simp]:
 $(\mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F})^+ = \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
by (auto simp: trancl-full-on)

lemma signature-pres-funas-cl [simp]:
 $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \implies gctxcl-funas \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
 $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \implies gmctxcl-funas \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
apply (intro gctxtex-onp-in-signature) **apply** blast+
apply (intro gmctxtex-onp-in-signature) **apply** blast+
done

lemma refl-on-gmctxcl-funas:
assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
shows refl-on ($\mathcal{T}_G \mathcal{F}$) (gmctxcl-funas $\mathcal{F} \mathcal{R}$)
proof –
have $t \in \mathcal{T}_G \mathcal{F} \implies (t, t) \in gmctxcl-funas \mathcal{F} \mathcal{R}$ **for** t
using gmctxtex-onpI[of - gmctxt-of-gterm t]
by (auto simp: \mathcal{T}_G -funas-gterm-conv)
then show ?thesis **using** assms
by (auto simp: refl-on-def)
qed

lemma gtrancl-rel-sound:
 $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \implies gtrancl-rel \mathcal{F} \mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$
unfolding gtrancl-rel-def
by (intro Restr-tracl-comp-simps(3)) (auto simp: gmctxt-cl-gmctxtex-onp-conv)

9.7 gcomp-rel and gtrancl-rel lemmas

lemma gcomp-rel:
 $lift-root-step \mathcal{F} PAny EParallel (gcomp-rel \mathcal{F} \mathcal{R} \mathcal{S}) = lift-root-step \mathcal{F} PAny EParallel \mathcal{R} O lift-root-step \mathcal{F} PAny EParallel \mathcal{S}$ (**is** ?Ls = ?Rs)
proof
{ **fix** s u **assume** $(s, u) \in gpar-rstepD' \mathcal{F} (\mathcal{R} O gpar-rstepD' \mathcal{F} \mathcal{S} \cup gpar-rstepD' \mathcal{F} \mathcal{R} O \mathcal{S})$
then have $\exists t. (s, t) \in gpar-rstepD' \mathcal{F} \mathcal{R} \wedge (t, u) \in gpar-rstepD' \mathcal{F} \mathcal{S}$
proof (induct)
case (gpar-step-fun ts ss f)
from Ex-list-of-length-P[of - $\lambda u i. (ss ! i, u) \in gpar-rstepD' \mathcal{F} \mathcal{R} \wedge (u, ts ! i) \in gpar-rstepD' \mathcal{F} \mathcal{S}$]
obtain us **where** l: length us = length ts **and**
inv: $\forall i < length ts. (ss ! i, us ! i) \in gpar-rstepD' \mathcal{F} \mathcal{R} \wedge (us ! i, ts ! i) \in gpar-rstepD' \mathcal{F} \mathcal{S}$
using gpar-step-fun(2, 3) **by** blast
then show ?case **using** gpar-step-fun(3, 4)
by (auto intro!: exI[of - GFun f us])
qed auto}

then show ?Ls \subseteq ?Rs **unfolding** gcomp-rel-def

```

by (auto simp: gmctxt-cl-gmctxtex-onp-conv simp flip: gpar-rstepD-gpar-rstepD'-conv[unfolded
gpar-rstepD-def])
next
{fix s t u assume (s, t) ∈ gpar-rstepD' F R (t, u) ∈ gpar-rstepD' F S
then have (s, u) ∈ gpar-rstepD' F (R O gpar-rstepD' F S ∪ gpar-rstepD' F
R O S)
proof (induct arbitrary: u rule: gpar-rstepD'.induct)
  case (gpar-step-fun ts ss f) note IS = this
  show ?case
  proof (cases (GFun f ts, u) ∈ S)
    case True
    then have (GFun f ss, u) ∈ gpar-rstepD' F R O S using IS(1, 3, 4)
      by auto
    then show ?thesis by auto
  next
    case False
    then obtain us where u[simp]: u = GFun f us and l: length ts = length us
      using IS(5) by (cases u) (auto elim!: gpar-rstepD'.cases)
    have i < length us  $\implies$ 
      (ss ! i, us ! i) ∈ gpar-rstepD' F (R O gpar-rstepD' F S ∪ gpar-rstepD' F
R O S) for i
      using IS(2, 5) False
      by (auto elim!: gpar-rstepD'.cases)
    then show ?thesis using l IS(3, 4) unfolding u
      by auto
  qed
  qed auto}
then show ?Rs ⊆ ?Ls
by (auto simp: gmctxt-cl-gmctxtex-onp-conv gcomp-rel-def gpar-rstepD-gpar-rstepD'-conv[unfolded
gpar-rstepD-def])
qed

lemma gmctxtcl-funas-in-rtrancl-gctxtcl-funas:
assumes R ⊆ T_G F × T_G F
shows gmctxtcl-funas F R ⊆ (gctxtcl-funas F R)* using assms
by (intro gmctxtex-onp-gctxtex-onp-rtrancl) (auto simp: gmctxt-p-inv-def)

lemma R-in-gtrancl-rel:
assumes R ⊆ T_G F × T_G F
shows R ⊆ gtrancl-rel F R
proof
  fix s t assume ass: (s, t) ∈ R
  then have (s, s) ∈ gmctxtcl-funas F R (t, t) ∈ gmctxtcl-funas F R using assms
    using all ctxt-closed-imp-reflx-on-sig[OF gmctxtcl-funas-sigcl, of F R]
    by auto
  then show (s, t) ∈ gtrancl-rel F R using ass
    by (auto simp: gmctxt-cl-gmctxtex-onp-conv relcomp-unfold gtrancl-rel-def)
qed

```

```

lemma trans-gtrancl-rel [simp]:
  trans (gtrancl-rel  $\mathcal{F}$   $\mathcal{R}$ )
proof -
  have  $(s, t) \in \mathcal{R} \implies (s, t) \in \text{gmctxtcl-funas } \mathcal{F} \mathcal{R}$  for  $s t$ 
    by (metis bot.extremum funas-gmctxt.simps(2) gmctxtex-closure subsetD)
  then show ?thesis unfolding trans-def gtrancl-rel-def
    by (auto simp: gmctxt-cl-gmctxtex-onp-conv, meson relcomp3-I trancl-into-trancl2
      trancl-trans)
  qed

lemma gtrancl-rel-cl:
  assumes  $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
  shows gmctxtcl-funas  $\mathcal{F}$  (gtrancl-rel  $\mathcal{F}$   $\mathcal{R}$ )  $\subseteq$  (gmctxtcl-funas  $\mathcal{F}$   $\mathcal{R}$ )+
proof -
  have  $*:(s, t) \in \mathcal{R} \implies (s, t) \in \text{gmctxtcl-funas } \mathcal{F} \mathcal{R}$  for  $s t$ 
    by (metis bot.extremum funas-gmctxt.simps(2) gmctxtex-closure subsetD)
  have gmctxtcl-funas  $\mathcal{F}$  ((gmctxtcl-funas  $\mathcal{F}$   $\mathcal{R}$ )+)  $\subseteq$  (gmctxtcl-funas  $\mathcal{F}$   $\mathcal{R}$ )+
    unfolding gtrancl-rel-def using refl-on-gmctxtcl-funas[OF assms]
    by (intro gmctxtex-onp-substep-trancl, intro gmctxtex-pred-cmp-subseteq2)
      (auto simp: less-sup-gmctxt-args-funas-gmctxt refl-on-def)
  moreover have gtrancl-rel  $\mathcal{F}$   $\mathcal{R}$   $\subseteq$  (gmctxtcl-funas  $\mathcal{F}$   $\mathcal{R}$ )+
    unfolding gtrancl-rel-def using *
    by (auto simp: gmctxt-cl-gmctxtex-onp-conv, meson trancl.trancl-into-trancl
      trancl-trans)
  ultimately show ?thesis using gmctxtex-onp-rel-mono by blast
  qed

lemma gtrancl-rel-aux:
   $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \implies \text{gmctxtcl-funas } \mathcal{F} (\text{gtrancl-rel } \mathcal{F} \mathcal{R}) \ O \ \text{gtrancl-rel } \mathcal{F} \mathcal{R}$ 
   $\subseteq \text{gtrancl-rel } \mathcal{F} \mathcal{R}$ 
   $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \implies \text{gtrancl-rel } \mathcal{F} \mathcal{R} \ O \ \text{gmctxtcl-funas } \mathcal{F} (\text{gtrancl-rel } \mathcal{F} \mathcal{R})$ 
   $\subseteq \text{gtrancl-rel } \mathcal{F} \mathcal{R}$ 
  using subsetD[OF gtrancl-rel-cl[of  $\mathcal{R} \mathcal{F}$ ]] unfolding gtrancl-rel-def
  by (auto simp: gmctxt-cl-gmctxtex-onp-conv) (meson relcomp3-I trancl-trans)+

declare subsetI [rule del]
lemma gtrancl-rel:
  assumes  $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$  compatible-p  $Q P$ 
    and  $\bigwedge C. P C \implies \text{funas-gmctxt } C \subseteq \mathcal{F}$ 
    and  $\bigwedge C D. P C \implies P D \implies (C, D) \in \text{comp-gmctxt} \implies P (C \sqcap D)$ 
  shows (gctxtex-onp  $Q \mathcal{R}$ )+  $\subseteq$  gmctxtex-onp  $P$  (gtrancl-rel  $\mathcal{F}$   $\mathcal{R}$ )
proof -
  have fst: gctxtex-onp  $Q \mathcal{R} \subseteq$  gctxtex-onp  $Q$  (gtrancl-rel  $\mathcal{F}$   $\mathcal{R}$ )
    using R-in-gtrancl-rel[OF assms(1)]
    by (simp add: gctxtex-onp-rel-mono)
  have snd: gctxtex-onp  $Q$  (gtrancl-rel  $\mathcal{F}$   $\mathcal{R}$ )  $\subseteq$  gmctxtex-onp  $P$  (gtrancl-rel  $\mathcal{F}$   $\mathcal{R}$ )
    using assms(2)
    by auto

```

```

have (gmctxtex-onp P (gtrancl-rel F R))+ = gmctxtex-onp P (gtrancl-rel F R)
  by (intro gmctxtex-onp-substep-tranclE[of - λ C. funas-gmctxt C ⊆ F])
    (auto simp: gtrancl-rel-aux[OF assms(1)] assms(3, 4) intro: funas-gmctxt-poss-gmctxt-subgm-at-funas)
  then show ?thesis using subset-trans[OF fst snd]
    using trancl-mono-set by fastforce
qed

lemma gtrancl-rel-subseteq-trancl-gctxtcl-funas:
  assumes R ⊆ T_G F × T_G F
  shows gtrancl-rel F R ⊆ (gctxtcl-funas F R)+
proof -
  have [dest!]: (s, t) ∈ R ⇒ (s, t) ∈ (gctxtcl-funas F R)+ for s t
    using grstepD grstepD-def by blast
  have [dest!]: (s, t) ∈ (gmctxtcl-funas F R)+ ⇒ (s, t) ∈ (gctxtcl-funas F R)+
  ∪ Restr Id (T_G F)
    for s t
    using gmctxtcl-funas-in-rtrancl-gctxtcl-funas[OF assms]
    using signature-pres-funas-cl[OF assms]
    apply (auto simp: gtrancl-rel-def rtrancl-eq-or-trancl intro!: subsetI)
    apply (metis rtranclD rtrancl-trancl-absorb trancl-mono)
    apply (metis mem-Sigma-iff trancl-full-on trancl-mono)+
    done
  then show ?thesis using gtrancl-rel-sound[OF assms]
    by (auto simp: gtrancl-rel-def rtrancl-eq-or-trancl gmctxt-cl-gmctxtex-onp-conv
      intro!: subsetI)
qed

lemma gmctxtex-onp-gtrancl-rel:
  assumes R ⊆ T_G F × T_G F and ∧ C D. Q C ⇒ funas-gctxt D ⊆ F ⇒ Q
  (C ∘_Gc D)
  and ∧ C. P C ⇒ 0 < num-gholes C ∧ funas-gmctxt C ⊆ F
  and ∧ C. P C ⇒ gmetxt-p-inv C F Q
  shows gmctxtex-onp P (gtrancl-rel F R) ⊆ (gctxtex-onp Q R)+
proof -
  {fix s t assume ass: (s, t) ∈ gctxtex-onp Q ((gctxtcl-funas F R)+)
    from gctxtex-onpE[OF ass] obtain C u v where
      *: s = C⟨u⟩_G t = C⟨v⟩_G and
      inv: Q C (u, v) ∈ (gctxtcl-funas F R)+ by blast
    from inv(2) have (s, t) ∈ (gctxtex-onp Q R)+ unfolding *
  proof induct
    case (base y)
    then show ?case using assms(2)[OF inv(1)]
      by (auto elim!: gctxtex-onpE) (metis ctxt-ctxt-compose gctxtex-onpI trancl.r-into-trancl)
    next
      case (step y z)
      from step(2) have (C⟨y⟩_G, C⟨z⟩_G) ∈ gctxtex-onp Q R using assms(2)[OF
        inv(1)]
        by (auto elim!: gctxtex-onpE) (metis ctxt-ctxt-compose gctxtex-onpI)
      then show ?case using step(3)
  }

```

```

    by auto
qed}
then have con:  $\text{gctxtex-onp } Q ((\text{gctxtcl-funas } \mathcal{F} \mathcal{R})^+) \subseteq (\text{gctxtex-onp } Q \mathcal{R})^+$ 
  using subrelI by blast
have snd:  $\text{gmctxtex-onp } P ((\text{gctxtcl-funas } \mathcal{F} \mathcal{R})^+) \subseteq (\text{gctxtex-onp } Q ((\text{gctxtcl-funas } \mathcal{F} \mathcal{R})^+))^+$ 
  using assms(1)
  by (intro gmctxtex-onp-gctxtex-onp-trancl[OF assms(3) - assms(4)]) auto
have fst:  $\text{gmctxtex-onp } P (\text{gtrancl-rel } \mathcal{F} \mathcal{R}) \subseteq \text{gmctxtex-onp } P ((\text{gctxtcl-funas } \mathcal{F} \mathcal{R})^+)$ 
  using gtrancl-rel-subseteq-trancl-gctxtcl-funas[OF assms(1)]
  by (simp add: gmctxtex-onp-rel-mono)
show ?thesis using subset-trans[OF fst snd] con
  by (auto intro!: subsetI)
  (metis (no-types, lifting) in-mono rtrancl-trancl-trancl tranclD2 trancl-mono
  trancl-rtrancl-absorb)
qed

lemma gmctxtcl-funas-strict-gtrancl-rel:
assumes  $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows  $\text{gmctxtcl-funas-strict } \mathcal{F} (\text{gtrancl-rel } \mathcal{F} \mathcal{R}) = (\text{gctxtcl-funas } \mathcal{F} \mathcal{R})^+ \text{ (is } ?Ls = ?Rs)$ 
proof
show ?Ls  $\subseteq$  ?Rs
  by (intro gmctxtex-onp-gtrancl-rel[OF assms]) (auto simp: gmctxt-p-inv-def)
next
show ?Rs  $\subseteq$  ?Ls
  by (intro gtrancl-rel[OF assms])
  (auto simp: compatible-p-def num-gholes-at-least1
  intro: subset-trans[OF inf-funas-gmctxt-subset2])
qed

lemma gmctxtex-funas-nroot-strict-gtrancl-rel:
assumes  $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows  $\text{gmctxtex-funas-nroot-strict } \mathcal{F} (\text{gtrancl-rel } \mathcal{F} \mathcal{R}) = (\text{gctxtex-funas-nroot } \mathcal{F} \mathcal{R})^+$ 
(is ?Ls = ?Rs)
proof
show ?Ls  $\subseteq$  ?Rs
  by (intro gmctxtex-onp-gtrancl-rel[OF assms])
  (auto simp: gmctxt-p-inv-def gmctxt-closing-def
  dest!: less-eq-gmctxt-Hole gctxt-of-gmctxt-hole-dest gctxt-compose-HoleE(1))
next
show ?Rs  $\subseteq$  ?Ls
  by (intro gtrancl-rel[OF assms])
  (auto simp: compatible-p-def num-gholes-at-least1
  elim!: comp-gmctxt.cases
  dest: gmctxt-of-gctxt-GMHole-Hole
  intro: subset-trans[OF inf-funas-gmctxt-subset2])

```

qed

lemma *lift-root-step-sig'*:

assumes $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{G} \times \mathcal{T}_G \mathcal{H}$ $\mathcal{F} \subseteq \mathcal{G}$ $\mathcal{F} \subseteq \mathcal{H}$
shows *lift-root-step* $\mathcal{F} W X \mathcal{R} \subseteq \mathcal{T}_G \mathcal{G} \times \mathcal{T}_G \mathcal{H}$
using *assms* $\mathcal{T}_G\text{-mono}$
by (*cases* W ; *cases* X) (*auto simp add: Sigma-mono* $\mathcal{T}_G\text{-mono}$ *inf.coboundedI2*)

lemmas *lift-root-step-sig* = *lift-root-step-sig'* [*OF - subset-refl subset-refl*]

lemma *lift-root-step-incr*:

$\mathcal{R} \subseteq \mathcal{S} \implies \text{lift-root-step } \mathcal{F} W X \mathcal{R} \subseteq \text{lift-root-step } \mathcal{F} W X \mathcal{S}$
by (*cases* W ; *cases* X) (*auto simp add: le-supI1 gctxtex-onp-rel-mono gmctxtex-onp-rel-mono*)

lemma *Restr-id-mono*:

$\mathcal{F} \subseteq \mathcal{G} \implies \text{Restr Id } (\mathcal{T}_G \mathcal{F}) \subseteq \text{Restr Id } (\mathcal{T}_G \mathcal{G})$
by (*meson Sigma-mono* $\mathcal{T}_G\text{-mono}$ *inf-mono* *subset-refl*)

lemma *lift-root-step-mono*:

$\mathcal{F} \subseteq \mathcal{G} \implies \text{lift-root-step } \mathcal{F} W X \mathcal{R} \subseteq \text{lift-root-step } \mathcal{G} W X \mathcal{R}$
by (*cases* W ; *cases* X) (*auto simp: Restr-id-mono intro: gmctxtex-onp-mono gctxtex-onp-mono,*
metis Restr-id-mono sup.coboundedI1 sup-commute)

lemma *grstep-lift-root-step*:

lift-root-step \mathcal{F} *PAny* *ESingle* (*Restr* (*grrstep* \mathcal{R}) ($\mathcal{T}_G \mathcal{F}$)) = *Restr* (*grstep* \mathcal{R})
($\mathcal{T}_G \mathcal{F}$)
unfolding *grstepD-grstep-conv* *grstepD-def* *grrstep-subst-cl-conv*
by *auto*

lemma *prod-swap-id-on-refl* [*simp*]:

Restr Id ($\mathcal{T}_G \mathcal{F}$) \subseteq *prod.swap* ‘($\mathcal{R} \cup \text{Restr Id } (\mathcal{T}_G \mathcal{F})$)
by (*auto intro: subsetI*)

lemma *swap-lift-root-step*:

lift-root-step $\mathcal{F} W X$ (*prod.swap* ‘ \mathcal{R}) = *prod.swap* ‘*lift-root-step* $\mathcal{F} W X \mathcal{R}$
by (*cases* W ; *cases* X) (*auto simp add: image-mono swap-gmctxtex-onp swap-gctxtex-onp intro: subsetI*)

lemma *converse-lift-root-step*:

$(\text{lift-root-step } \mathcal{F} W X R)^{-1} = \text{lift-root-step } \mathcal{F} W X (R^{-1})$
by (*cases* W ; *cases* X) (*auto simp add: converse-gctxtex-onp converse-gmctxtex-onp intro: subsetI*)

lemma *lift-root-step-sig-transfer*:

assumes $p \in \text{lift-root-step } \mathcal{F} W X R$ $\text{snd} ' R \subseteq \mathcal{T}_G \mathcal{F}$ *funas-gterm* (*fst* p) $\subseteq \mathcal{G}$
shows $p \in \text{lift-root-step } \mathcal{G} W X R$ **using** *assms*

proof –

from assms have $p \in \text{lift-root-step } (\mathcal{F} \cap \mathcal{G}) W X R$
by (cases p ; cases W ; cases X)
 $(\text{auto simp: gctxtex-onp-sign-trans-fst}[of \dots R \mathcal{G}] \text{ gctxtex-onp-sign-trans-snd}[of \dots R \mathcal{G}]$
 $\text{gmctxtex-onp-sign-trans-fst gmctxtex-onp-sign-trans-snd simp flip: } \mathcal{T}_G\text{-equivalent-def}$
 $\mathcal{T}_G\text{-funas-gterm-conv}$
 $\text{intro: basic-trans-rules(30)}[\text{OF gctxtex-onp-sign-trans-fst}[of \dots R \mathcal{G}],$
where ? $B = \text{gctxtex-onp } P R \text{ for } P]$
 $\text{basic-trans-rules(30)}[\text{OF gmctxtex-onp-sign-trans-fst}[of \dots R \mathcal{G}],$
where ? $B = \text{gmctxtex-onp } P R \text{ for } P]$)
then show ?thesis
by (meson inf.cobounded2 lift-root-step-mono subsetD)
qed

lemma lift-root-step-sig-transfer2:
assumes $p \in \text{lift-root-step } \mathcal{F} W X R \text{ snd } 'R \subseteq \mathcal{T}_G \mathcal{G} \text{ funas-gterm } (\text{fst } p) \subseteq \mathcal{G}$
shows $p \in \text{lift-root-step } \mathcal{G} W X R$
proof –
from assms have $p \in \text{lift-root-step } (\mathcal{F} \cap \mathcal{G}) W X R$
by (cases p ; cases W ; cases X)
 $(\text{auto simp: gctxtex-onp-sign-trans-fst}[of \dots R \mathcal{G}] \text{ gctxtex-onp-sign-trans-snd}[of \dots R \mathcal{G}]$
 $\text{gmctxtex-onp-sign-trans-fst gmctxtex-onp-sign-trans-snd simp flip: } \mathcal{T}_G\text{-equivalent-def}$
 $\mathcal{T}_G\text{-funas-gterm-conv}$
 $\text{intro: basic-trans-rules(30)}[\text{OF gctxtex-onp-sign-trans-fst}[of \dots R \mathcal{G}],$
where ? $B = \text{gctxtex-onp } P R \text{ for } P]$
 $\text{basic-trans-rules(30)}[\text{OF gmctxtex-onp-sign-trans-fst}[of \dots R \mathcal{G}],$
where ? $B = \text{gmctxtex-onp } P R \text{ for } P]$)
then show ?thesis
by (meson inf.cobounded2 lift-root-step-mono subsetD)
qed

lemma lift-root-steps-sig-transfer:
assumes $(s, t) \in (\text{lift-root-step } \mathcal{F} W X R)^+ \text{ snd } 'R \subseteq \mathcal{T}_G \mathcal{G} \text{ funas-gterm } s \subseteq \mathcal{G}$
shows $(s, t) \in (\text{lift-root-step } \mathcal{G} W X R)^+$
using assms(1,3)
proof (induct rule: converse-trancl-induct)
case (base s)
show ?case **using** lift-root-step-sig-transfer2[$\text{OF base}(1) \text{ assms}(2)$] base(2) **by**
(simp add: r-into-trancl)
next
case (step $s s'$)
show ?case **using** lift-root-step-sig-transfer2[$\text{OF step}(1) \text{ assms}(2)$] step(3,4)
 $\text{lift-root-step-sig}'[\text{of } R \text{ UNIV } \mathcal{G} \mathcal{G} W X, \text{ THEN } \text{subsetD}, \text{ of } (s, s')]$ assms(2)
by (auto simp: $\mathcal{T}_G\text{-funas-gterm-conv } \mathcal{T}_G\text{-equivalent-def}$)
(smt (verit) SigmaI UNIV-I image-subset-iff snd-conv subrelI trancl-into-trancl2)
qed

```

lemma lift-root-stepseq-sig-transfer:
  assumes  $(s, t) \in (\text{lift-root-step } \mathcal{F} W X R)^*$   $\text{snd } ' R \subseteq \mathcal{T}_G \mathcal{G}$   $\text{funas-gterm } s \subseteq \mathcal{G}$ 
  shows  $(s, t) \in (\text{lift-root-step } \mathcal{G} W X R)^*$ 
  using assms by (auto simp flip: reflcl-trancl simp: lift-root-steps-sig-transfer)

lemmas lift-root-step-sig-transfer' = lift-root-step-sig-transfer[of prod.swap p F W X prod.swap ' R G for p F W X G R,
unfolded swap-lift-root-step, OF imageI, THEN imageI [of - - prod.swap],
unfolded image-comp comp-def fst-swap snd-swap swap-swap swap-simp image-ident]

lemmas lift-root-steps-sig-transfer' = lift-root-steps-sig-transfer[of t s F W X prod.swap ' R G for t s F W X G R,
THEN imageI [of - - prod.swap], unfolded swap-lift-root-step swap-trancl pair-in-swap-image
image-comp comp-def snd-swap swap-swap swap-simp image-ident]

lemmas lift-root-stepseq-sig-transfer' = lift-root-stepseq-sig-transfer[of t s F W X prod.swap ' R G for t s F W X G R,
THEN imageI [of - - prod.swap], unfolded swap-lift-root-step swap-rtrancl
pair-in-swap-image
image-comp comp-def snd-swap swap-swap swap-simp image-ident]

lemma lift-root-step-PRoot-ESingle [simp]:
  lift-root-step  $\mathcal{F}$  PRoot ESingle  $\mathcal{R} = \mathcal{R}$ 
  by auto

lemma lift-root-step-PRoot-EStrictParallel [simp]:
  lift-root-step  $\mathcal{F}$  PRoot EStrictParallel  $\mathcal{R} = \mathcal{R}$ 
  by auto

lemma lift-root-step-Parallel-conv:
  shows lift-root-step  $\mathcal{F} W E\text{Parallel } \mathcal{R} = \text{lift-root-step } \mathcal{F} W E\text{StrictParallel } \mathcal{R} \cup$ 
  Restr Id ( $\mathcal{T}_G \mathcal{F}$ )
  by (cases  $W$ ) (auto simp: gmctxtcl-funas-dist gmctxtex-funas-nroot-dist)

lemma relax-pos-lift-root-step:
  lift-root-step  $\mathcal{F} W X R \subseteq \text{lift-root-step } \mathcal{F} P\text{Any } X R$ 
  by (cases  $W$ ; cases  $X$ ) (auto simp: gctxtex-closure gmctxtex-closure)

lemma relax-pos-lift-root-steps:
   $(\text{lift-root-step } \mathcal{F} W X R)^+ \subseteq (\text{lift-root-step } \mathcal{F} P\text{Any } X R)^+$ 
  by (simp add: relax-pos-lift-root-step trancl-mono-set)

lemma relax-ext-lift-root-step:
  lift-root-step  $\mathcal{F} W X R \subseteq \text{lift-root-step } \mathcal{F} W E\text{Parallel } R$ 
  by (cases  $W$ ; cases  $X$ ) (auto simp: compatible-p-gctxtex-gmctxtex-subseteq)

lemma lift-root-step-StrictParallel-seq:
  assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 

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shows lift-root-step  $\mathcal{F}$  PAny EStrictParallel  $R \subseteq (\text{lift-root-step } \mathcal{F} \text{ PAny } E\text{Single } R)^+$ 
using assms
by (auto simp: gmctxt-p-inv-def intro!: gmctxtex-onp-gctxtex-onp-tranc)

lemma lift-root-step-Parallel-seq:
assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows lift-root-step  $\mathcal{F}$  PAny EParallel  $R \subseteq (\text{lift-root-step } \mathcal{F} \text{ PAny } E\text{Single } R)^+ \cup \text{Restr Id } (\mathcal{T}_G \mathcal{F})$ 
unfolding lift-root-step-Parallel-conv using lift-root-step-StrictParallel-seq[OF assms]
using Un-mono by blast

lemma lift-root-step-Single-to-Parallel:
shows lift-root-step  $\mathcal{F}$  PAny ESingle  $R \subseteq \text{lift-root-step } \mathcal{F} \text{ PAny } E\text{Parallel } R$ 
by (simp add: compatible-p-gctxtex-gmctxtex-subseteq)

lemma trancl-partial-reflcl:
 $(X \cup \text{Restr Id } Y)^+ = X^+ \cup \text{Restr Id } Y$ 
proof (intro equalityI subrelI, goal-cases LR RL)
case (LR a b) then show ?case by (induct) (auto dest: trancl-into-trancl)
qed (auto intro: trancl-mono)

lemma lift-root-step-Parallels-single:
assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows  $(\text{lift-root-step } \mathcal{F} \text{ PAny } E\text{Parallel } R)^+ = (\text{lift-root-step } \mathcal{F} \text{ PAny } E\text{Single } R)^+ \cup \text{Restr Id } (\mathcal{T}_G \mathcal{F})$ 
using trancl-mono-set[OF lift-root-step-Parallel-seq[OF assms]]
using trancl-mono-set[OF lift-root-step-Single-to-Parallel, of  $\mathcal{F} R$ ]
by (auto simp: lift-root-step-Parallel-conv trancl-partial-reflcl)

lemma lift-root-Any-Single-eq:
shows lift-root-step  $\mathcal{F}$  PAny ESingle  $R = R \cup \text{lift-root-step } \mathcal{F} \text{ PNonRoot } E\text{Single } R$ 
by (auto simp: gctxtcl-funas-dist intro!: gctxtex-closure)

lemma lift-root-Any-EStrict-eq [simp]:
shows lift-root-step  $\mathcal{F}$  PAny EStrictParallel  $R = R \cup \text{lift-root-step } \mathcal{F} \text{ PNonRoot } E\text{StrictParallel } R$ 
by (auto simp: gmctxtcl-funas-strict-dist)

lemma gar-rstep-lift-root-step:
lift-root-step  $\mathcal{F}$  PAny EParallel (Restr (grrstep  $\mathcal{R}$ ) ( $\mathcal{T}_G \mathcal{F}$ )) = Restr (gpar-rstep  $\mathcal{R}$ ) ( $\mathcal{T}_G \mathcal{F}$ )
unfolding grrstep-subst-cl-conv gpar-rstep-gpar-rstepD-conv
unfolding gpar-rstepD-gpar-rstepD'-conv[symmetric]
by (auto simp: gpar-rstepD-def)

```

```

lemma grrstep-lift-root-gnrrstep:
  lift-root-step  $\mathcal{F}$  PNonRoot ESingle (Restr (grrstep  $\mathcal{R}$ ) ( $\mathcal{T}_G \mathcal{F}$ )) = Restr (gnrrstep
 $\mathcal{R}$ ) ( $\mathcal{T}_G \mathcal{F}$ )
  unfolding gnrrstepD-gnrrstep-conv grrstep-subst-cl-conv
  by (simp add: gnrrstepD-def)

declare subsetI [intro!]
declare lift-root-step.simps[simp del]

lemma gpar-rstepD-grstepD-rtrancl-subseteq:
  assumes  $\mathcal{R} \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
  shows gpar-rstepD  $\mathcal{F}$   $\mathcal{R} \subseteq (\text{grstepD } \mathcal{F} \mathcal{R})^*$ 
  using assms unfolding gpar-rstepD-def grstepD-def
  by (intro gmctxtex-onp-gctxtex-onp-rtrancl) (auto simp:  $\mathcal{T}_G$ -equivalent-def gmctxt-p-inv-def)
  end
theory Context-RR2
  imports Context-Extensions
    Ground-MCtxt
    Regular-Tree-Relations.RRn-Automata
  begin

```

9.8 Auxiliary lemmas

```

lemma gpair-gctxt:
  assumes gpair s t = u
  shows (map-gctxt (λ f .(Some f, Some f)) C)⟨u⟩G = gpair C⟨s⟩G C⟨t⟩G using
  assms
  by (induct C arbitrary: s t u) (auto simp add: gpair-context1 comp-def map-funs-term-some-gpair
  intro!: nth-equalityI)

lemma gpair-gctxt':
  assumes gpair C⟨v⟩G C⟨w⟩G = u
  shows u = (map-gctxt (λ f .(Some f, Some f)) C)⟨gpair v w⟩G
  using assms by (simp add: gpair-gctxt)

lemma gpair-gmctxt:
  assumes ∀ i < length us. gpair (ss ! i) (ts ! i) = us ! i
  and num-gholes C = length ss length ss = length ts length ts = length us
  shows fill-gholes (map-gmctxt (λ f .(Some f, Some f)) C) us = gpair (fill-gholes
  C ss) (fill-gholes C ts)
  using assms
  proof (induct C arbitrary: ss ts us)
    case GMHole
    then show ?case by (cases ss; cases ts; cases us) auto
  next
    case (GMFun f Cs)
    show ?case using GMFun(2–)

```

```

using GMFun(1)[OF nth-mem, of i partition-gholes us Cs ! i partition-gholes
ss Cs ! i partition-gholes ts Cs ! i for i]
using length-partition-gholes-nth[of Cs] partition-by-nth-nth[of map num-gholes
Cs us]
using partition-by-nth-nth[of map num-gholes Cs ss] partition-by-nth-nth[of map
num-gholes Cs ts]
by (auto simp: partition-holes-fill-gholes-conv' gpair-context1 simp del: fill-gholes.simps
intro!: nth-equalityI)
      (simp add: length-partition-gholes-nth)
qed

```

```

lemma gcttex-onp-gpair-set-conv:
{gpair t u |t u. (t, u) ∈ gcttex-onp P R} =
{(map-gctxt (λ f .(Some f, Some f)) C)⟨s⟩G | C s. P C ∧ s ∈ {gpair t u |t u.
(t, u) ∈ R}} (is ?Ls = ?Rs)
proof
show ?Ls ⊆ ?Rs using gpair-gctxt'
  by (auto elim!: gcttex-onpE) blast
next
show ?Rs ⊆ ?Ls
  by (auto simp add: gcttex-onpI gpair-gctxt)
qed

```

```

lemma gmcttex-onp-gpair-set-conv:
{gpair t u |t u. (t, u) ∈ gmcttex-onp P R} =
{fill-gholes (map-gmctxt (λ f .(Some f, Some f)) C) ss | C ss. num-gholes C =
length ss ∧ P C ∧
  (∀ i < length ss. ss ! i ∈ {gpair t u |t u. (t, u) ∈ R})} (is ?Ls = ?Rs)
proof
{fix u assume u ∈ ?Ls then obtain s t
  where *: u = gpair s t (s, t) ∈ gmcttex-onp P R
  by auto
  from gmcttex-onpE[OF *(2)] obtain C us vs where
    **: s = fill-gholes C us t = fill-gholes C vs and
    inv: num-gholes C = length us length us = length vs P C
    ∀ i < length vs. (us ! i, vs ! i) ∈ R
    by blast
  define ws where ws ≡ map2 gpair us vs
  from inv(1, 2) have ∀ i < length ws. gpair (us ! i) (vs ! i) = ws ! i
    by(auto simp: ws-def)
  from gpair-gmctxt[OF this inv(1, 2)] inv
  have u ∈ ?Rs unfolding * **
    by (auto simp: ws-def intro!: exI[of - ws] exI[of - C])
  then show ?Ls ⊆ ?Rs by blast
next
{fix u assume u ∈ ?Rs then obtain C ss where
  *: u = fill-gholes (map-gmctxt (λ f .(Some f, Some f)) C) ss and

```

```

inv:  $P C \text{ num-gholes } C = \text{length } ss \forall i < \text{length } ss. \exists t u. ss ! i = \text{gpair } t u \wedge$ 
 $(t, u) \in \mathcal{R}$ 
by auto
define us where  $us \equiv \text{map } \text{fst } ss$  define vs where  $vs \equiv \text{map } \text{snd } ss$ 
then have  $\text{len}: \text{length } ss = \text{length } us \text{ length } us = \text{length } vs \text{ and}$ 
rec:  $\forall i < \text{length } ss. \text{gpair } (us ! i) (vs ! i) = ss ! i$ 
 $\forall i < \text{length } vs. (us ! i, vs ! i) \in \mathcal{R}$ 
by (auto simp: us-def vs-def) (metis fst-gpair snd-gpair inv(3))+
from len have l:  $\text{length } vs = \text{length } ss$  by auto
have  $u \in ?Ls$  unfolding * using inv(2) len
using gmctxt-ex-onpI[of P C us vs R, OF inv(1) - len(2) rec(2)]
using gpair-gmctxt[OF rec(1) - len(2) l, of C]
by simp}
then show ?Rs ⊆ ?Ls by blast
qed

```

abbreviation lift-sig-RR2 ≡ $\lambda (f, n). ((\text{Some } f, \text{Some } f), n)$

abbreviation lift-fun ≡ $(\lambda f. (\text{Some } f, \text{Some } f))$

abbreviation unlift-fst ≡ $(\lambda f. \text{the } (\text{fst } f))$

abbreviation unlift-snd ≡ $(\lambda f. \text{the } (\text{snd } f))$

lemma RR2-gterm-unlift-lift-id [simp]:

funas-gterm $t \subseteq \text{lift-sig-RR2} \cdot \mathcal{F} \implies \text{map-gterm } (\text{lift-fun} \circ \text{unlift-fst}) t = t$

by (induct t) (auto simp add: SUP-le-iff map-idI)

lemma RR2-gterm-unlift-funas [simp]:

funas-gterm $t \subseteq \text{lift-sig-RR2} \cdot \mathcal{F} \implies \text{funas-gterm } (\text{map-gterm unlift-fst } t) \subseteq \mathcal{F}$

by (induct t) (auto simp add: SUP-le-iff map-idI)

lemma gterm-funas-lift-RR2-funas [simp]:

funas-gterm $t \subseteq \mathcal{F} \implies \text{funas-gterm } (\text{map-gterm lift-fun } t) \subseteq \text{lift-sig-RR2} \cdot \mathcal{F}$

by (induct t) (auto simp add: SUP-le-iff map-idI)

lemma RR2-gctxt-unlift-lift-id [simp, intro]:

funas-gctxt $C \subseteq \text{lift-sig-RR2} \cdot \mathcal{F} \implies (\text{map-gctxt } (\text{lift-fun} \circ \text{unlift-fst}) C) = C$

by (induct C) (auto simp add: all-set-conv-all-nth SUP-le-iff map-idI intro!: nth-equalityI)

lemma RR2-gctxt-unlift-funas [simp, intro]:

funas-gctxt $C \subseteq \text{lift-sig-RR2} \cdot \mathcal{F} \implies \text{funas-gctxt } (\text{map-gctxt unlift-fst } C) \subseteq \mathcal{F}$

by (induct C) (auto simp add: all-set-conv-all-nth SUP-le-iff map-idI intro!: nth-equalityI)

lemma gctxt-funas-lift-RR2-funas [simp, intro]:

funas-gctxt $C \subseteq \mathcal{F} \implies \text{funas-gctxt } (\text{map-gctxt lift-fun } C) \subseteq \text{lift-sig-RR2} \cdot \mathcal{F}$

by (induct C) (auto simp add: all-set-conv-all-nth SUP-le-iff map-idI intro!: nth-equalityI)

nth-equalityI)

lemma *RR2-gmctxt-unlift-lift-id* [simp, intro]:
 $\text{funas-gmctxt } C \subseteq \text{lift-sig-RR2} \cdot \mathcal{F} \implies (\text{map-gmctxt} (\text{lift-fun} \circ \text{unlift-fst}) C) = C$
by (induct C) (auto simp add: all-set-conv-all-nth SUP-le-iff map-idI intro!: nth-equalityI)

lemma *RR2-gmctxt-unlift-funas* [simp, intro]:
 $\text{funas-gmctxt } C \subseteq \text{lift-sig-RR2} \cdot \mathcal{F} \implies \text{funas-gmctxt} (\text{map-gmctxt unlift-fst } C) \subseteq \mathcal{F}$
by (induct C) (auto simp add: all-set-conv-all-nth SUP-le-iff map-idI intro!: nth-equalityI)

lemma *gmctxt-funas-lift-RR2-funas* [simp, intro]:
 $\text{funas-gmctxt } C \subseteq \mathcal{F} \implies \text{funas-gmctxt} (\text{map-gmctxt lift-fun } C) \subseteq \text{lift-sig-RR2} \cdot \mathcal{F}$
by (induct C) (auto simp add: all-set-conv-all-nth SUP-le-iff map-idI intro!: nth-equalityI)

lemma *RR2-gctxt-cl-to-gctxt*:
assumes $\bigwedge C. P C \implies \text{funas-gctxt } C \subseteq \text{lift-sig-RR2} \cdot \mathcal{F}$
and $\bigwedge C. P C \implies R (\text{map-gctxt unlift-fst } C)$
and $\bigwedge C. R C \implies P (\text{map-gctxt lift-fun } C)$
shows $\{C(s)_G \mid C s. P C \wedge Q s\} = \{(\text{map-gctxt lift-fun } C)(s)_G \mid C s. R C \wedge Q s\}$ (is ?Ls = ?Rs)

proof

{fix u assume u ∈ ?Ls then obtain C s where
*:u = C(s)_G and inv: P C Q s by blast
then have funas-gctxt C ⊆ lift-sig-RR2 · F using assms by auto
from RR2-gctxt-unlift-lift-id[OF this] have u ∈ ?Rs using inv assms unfolding
*
by (auto intro!: exI[of - map-gctxt unlift-fst C] exI[of - s])}
then show ?Ls ⊆ ?Rs by blast

next

{fix u assume u ∈ ?Rs then obtain C s where
*:u = (map-gctxt lift-fun C)(s)_G and inv: R C Q s
by blast
have u ∈ ?Ls unfolding * using inv assms
by (auto intro!: exI[of - map-gctxt lift-fun C])}
then show ?Rs ⊆ ?Ls by blast

qed

lemma *RR2-gmctxt-cl-to-gmctxt*:
assumes $\bigwedge C. P C \implies \text{funas-gmctxt } C \subseteq \text{lift-sig-RR2} \cdot \mathcal{F}$
and $\bigwedge C. P C \implies R (\text{map-gmctxt} (\lambda f. \text{the} (\text{fst } f)) C)$
and $\bigwedge C. R C \implies P (\text{map-gmctxt} (\lambda f. (\text{Some } f, \text{Some } f)) C)$
shows $\{\text{fill-gholes } C ss \mid C ss. \text{num-gholes } C = \text{length } ss \wedge P C \wedge (\forall i < \text{length } ss. Q (ss ! i))\} =$

```

{fill-gholes (map-gmctxt (λf. (Some f, Some f)) C) ss | C ss. num-gholes C =
length ss ∧
R C ∧ (∀ i < length ss. Q (ss ! i))} (is ?Ls = ?Rs)
proof
{fix u assume u ∈ ?Ls then obtain C ss where
*:u = fill-gholes C ss and inv: num-gholes C = length ss P C ∀ i < length ss.
Q (ss ! i)
by blast
then have funas-gmctxt C ⊆ lift-sig-RR2 ‘F using assms by auto
from RR2-gmctxt-unlift-lift-id[OF this] have u ∈ ?Rs using inv assms unfolding *
by (auto intro!: exI[of - map-gmctxt unlift-fst C] exI[of - ss])}
then show ?Ls ⊆ ?Rs by blast
next
{fix u assume u ∈ ?Rs then obtain C ss where
*:u = fill-gholes (map-gmctxt lift-fun C) ss and inv: num-gholes C = length ss
R C
∀ i < length ss. Q (ss ! i)
by blast
have u ∈ ?Ls unfolding * using inv assms
by (auto intro!: exI[of - map-gmctxt lift-fun C])
then show ?Rs ⊆ ?Ls by blast
qed

```

lemma RR2-id-terms-gpair-set [simp]:
 $\mathcal{T}_G(\text{lift-sig-RR2 } \cdot F) = \{\text{gpair } t u \mid t u. (t, u) \in \text{Restr Id } (\mathcal{T}_G F)\}$
apply (auto simp: map-funs-term-some-gpair \mathcal{T}_G -equivalent-def)
apply (smt (verit) RR2-gterm-unlift-funas RR2-gterm-unlift-lift-id gterm.map-comp)
using funas-gterm-map-gterm by blast

end
theory GTT-RRn
imports Regular-Tree-Relations.GTT
 TA-Closure-Const
 Context-RR2
 Lift-Root-Step
begin

10 Connecting regular tree languages to set/relation specifications

abbreviation gglt-lang **where**

$gglt-lang F G \equiv \text{map-both gterm-of-term } \cdot (\text{Restr } (\text{glt-lang-terms } G) \{t. \text{funas-term } t \subseteq fset F\})$

lemma ground-mctxt-map-vars-mctxt [simp]:

$\text{ground-mctxt } (\text{map-vars-mctxt } f C) = \text{ground-mctxt } C$
by (induct C) auto

```

lemma root-single-automaton:
  assumes RR2-spec  $\mathcal{A}$  R
  shows RR2-spec  $\mathcal{A}$  (lift-root-step  $\mathcal{F}$  PRoot ESingle R)
  using assms unfolding RR2-spec-def
  by (auto simp: lift-root-step.simps)

lemma root-strictparallel-automaton:
  assumes RR2-spec  $\mathcal{A}$  R
  shows RR2-spec  $\mathcal{A}$  (lift-root-step  $\mathcal{F}$  PRoot EStrictParallel R)
  using assms unfolding RR2-spec-def
  by (auto simp: lift-root-step.simps)

lemma reflcl-automaton:
  assumes RR2-spec  $\mathcal{A}$  R
  shows RR2-spec (reflcl-reg (lift-sig-RR2  $\mid\!\! \mid$   $\mathcal{F}$ )  $\mathcal{A}$ ) (lift-root-step (fset  $\mathcal{F}$ ) PRoot EParallel R)
  unfolding RR2-spec-def L-reflcl-reg
  unfolding lift-root-step.simps  $\mathcal{T}_G$ -equivalent-def assms[unfolded RR2-spec-def]
  by (auto simp flip:  $\mathcal{T}_G$ -equivalent-def)

lemma parallel-closure-automaton:
  assumes RR2-spec  $\mathcal{A}$  R
  shows RR2-spec (parallel-closure-reg (lift-sig-RR2  $\mid\!\! \mid$   $\mathcal{F}$ )  $\mathcal{A}$ ) (lift-root-step (fset  $\mathcal{F}$ ) PAny EParallel R)
  unfolding RR2-spec-def parallelcl-gmctxt-lang lift-root-step.simps
  unfolding gmctxtex-onp-gpair-set-conv assms[unfolded RR2-spec-def]
  by (intro RR2-gmctxt-cl-to-gmctxt) auto

lemma ctxt-closure-automaton:
  assumes RR2-spec  $\mathcal{A}$  R
  shows RR2-spec (ctxt-closure-reg (lift-sig-RR2  $\mid\!\! \mid$   $\mathcal{F}$ )  $\mathcal{A}$ ) (lift-root-step (fset  $\mathcal{F}$ ) PAny ESingle R)
  unfolding RR2-spec-def gctxt-closure-lang lift-root-step.simps
  unfolding gctxtex-onp-gpair-set-conv assms[unfolded RR2-spec-def]
  by (intro RR2-gctxt-cl-to-gctxt) auto

lemma mctxt-closure-automaton:
  assumes RR2-spec  $\mathcal{A}$  R
  shows RR2-spec (mctxt-closure-reg (lift-sig-RR2  $\mid\!\! \mid$   $\mathcal{F}$ )  $\mathcal{A}$ ) (lift-root-step (fset  $\mathcal{F}$ ) PAny EStrictParallel R)
  unfolding RR2-spec-def gmctxt-closure-lang lift-root-step.simps
  unfolding gmctxtex-onp-gpair-set-conv assms[unfolded RR2-spec-def] conj-assoc
  by (intro RR2-gmctxt-cl-to-gmctxt[where ?P =  $\lambda C. 0 < num\text{-}gholes C \wedge funas\text{-}gmctxt C \subseteq fset (lift-sig-RR2  $\mid\!\! \mid$   $\mathcal{F}$ )$  and ?R =  $\lambda C. 0 < num\text{-}gholes C \wedge funas\text{-}gmctxt C \subseteq fset \mathcal{F}$ , unfolded conj-assoc]) auto

lemma nhole-ctxt-closure-automaton:

```

```

assumes RR2-spec A R
shows RR2-spec (nhole-ctxt-closure-reg (lift-sig-RR2 |` F) A) (lift-root-step (fset
F) PNonRoot ESingle R)
  unfolding RR2-spec-def nhole-ctxtcl-lang lift-root-step.simps
  unfolding gctxtex-onp-gpair-set-conv assms[unfolded RR2-spec-def]
  by (intro RR2-gctxt-cl-to-gctxt[where
    ?P = λ C. C ≠ □G ∧ funas-gctxt C ⊆ fset (lift-sig-RR2 |` F), unfolded
    conj-assoc]) auto

lemma nhole-mctxt-closure-automaton:
assumes RR2-spec A R
shows RR2-spec (nhole-mctxt-closure-reg (lift-sig-RR2 |` F) A) (lift-root-step
(fset F) PNonRoot EStrictParallel R)
  unfolding RR2-spec-def nhole-gmctxt-closure-lang lift-root-step.simps
  unfolding gmctxtex-onp-gpair-set-conv assms[unfolded RR2-spec-def]
  by (intro RR2-gmctxt-cl-to-gmctxt[where
    ?P = λ C. 0 < num-gholes C ∧ C ≠ GMHole ∧ funas-gmctxt C ⊆ fset
    (lift-sig-RR2 |` F), unfolded conj-assoc])
  auto

lemma nhole-mctxt-refcl-automaton:
assumes RR2-spec A R
shows RR2-spec (nhole-mctxt-refcl-reg (lift-sig-RR2 |` F) A) (lift-root-step (fset
F) PNonRoot EParallel R)
  using nhole-mctxt-closure-automaton[OF assms, of F]
  unfolding RR2-spec-def lift-root-step-Parallel-conv nhole-mctxt-refcl-lang
  by (auto simp flip: T_G-equivalent-def)

definition GTT-to-RR2-root :: ('q, 'f) gtt ⇒ (-, 'f option × 'f option) ta where
  GTT-to-RR2-root G = pair-automaton (fst G) (snd G)

definition GTT-to-RR2-root-reg where
  GTT-to-RR2-root-reg G = Reg (map-both Some |` fId-on (gtt-states G)) (GTT-to-RR2-root
  G)

lemma GTT-to-RR2-root:
  RR2-spec (GTT-to-RR2-root-reg G) (agtt-lang G)
proof -
  { fix s assume s ∈ L (GTT-to-RR2-root-reg G)
    then obtain q where q : q |∈ fin (GTT-to-RR2-root-reg G) q |∈ ta-der
      (GTT-to-RR2-root G) (term-of-gterm s)
    by (auto simp: L-def gta-lang-def GTT-to-RR2-root-reg-def gta-der-def)
    then obtain q' where [simp]: q = (Some q', Some q') using q(1) by (auto
      simp: GTT-to-RR2-root-reg-def)
    have ∃ t u q. s = gpair t u ∧ q |∈ ta-der (fst G) (term-of-gterm t) ∧ q |∈
      ta-der (snd G) (term-of-gterm u)
      using fsubsetD[OF ta-der-mono' q(2), of pair-automaton (fst G) (snd G)]
      by (auto simp: GTT-to-RR2-root-def dest!: from-ta-der-pair-automaton(4))
  } moreover

```

```

{ fix t u q assume q: q |∈| ta-der (fst G) (term-of-gterm t) q |∈| ta-der (snd G)
  (term-of-gterm u)
  have lift-fun q |∈| map-both Some |`| fId-on (Q (fst G) |`| Q (snd G))
  using q[THEN fsubsetD[OF ground-ta-der-states[OF ground-term-of-gterm]]]
  by (auto simp: fimage-iff fBex-def)
  then have gpair t u ∈ L (GTT-to-RR2-root-reg G) using q
  using fsubsetD[OF ta-der-mono to-ta-der-pair-automaton(3)[OF q], of GTT-to-RR2-root
  G]
  by (auto simp: L-def GTT-to-RR2-root-def gta-lang-def image-def gtt-states-def
  gta-der-def GTT-to-RR2-root-reg-def)
  } ultimately show ?thesis by (auto simp: RR2-spec-def agtt-lang-def L-def
  gta-der-def)
qed

lemma swap-GTT-to-RR2-root:
gpair s t ∈ L (GTT-to-RR2-root-reg (prod.swap G)) ←→
gpair t s ∈ L (GTT-to-RR2-root-reg G)
by (auto simp: GTT-to-RR2-root[unfolded RR2-spec-def] agtt-lang-def)

lemma funas-mctxt-map-vars-mctxt [simp]:
funas-mctxt (map-vars-mctxt f C) = funas-mctxt C
by (induct C) auto

definition GTT-to-RR2-reg :: ('f × nat) fset ⇒ ('q, 'f) gtt ⇒ (-, 'f option × 'f
option) reg where
GTT-to-RR2-reg F G = parallel-closure-reg (lift-sig-RR2 |`| F) (GTT-to-RR2-root-reg
G)

lemma agtt-lang-syms:
gtt-syms G |⊆| F ⇒ agtt-lang G ⊆ {t. funas-gterm t ⊆ fset F} × {t. funas-gterm
t ⊆ fset F}
by (auto simp: agtt-lang-def gta-der-def funas-term-of-gterm-conv)
  (metis funas-gterm.rep_eq fin-mono ta-der-gterm-sig)+

lemma gtt-lang-from-agtt-lang:
gtt-lang G = lift-root-step UNIV PAny EParallel (agtt-lang G)
unfolding lift-root-step.simps agtt-lang-def
by (auto simp: lift-root-step.simps agtt-lang-def gmctxt-cl-gmctxtex-onp-conv)

lemma GTT-to-RR2:
assumes gtt-syms G |⊆| F
shows RR2-spec (GTT-to-RR2-reg F G) (ggtt-lang F G)
proof -
have *: snd ` (X × X) = X for X by auto
show ?thesis unfolding gtt-lang-from-agtt-lang GTT-to-RR2-reg-def RR2-spec-def
parallel-closure-automaton[OF GTT-to-RR2-root, of F G, unfolded RR2-spec-def]
proof (intro arg-cong[where f = λX. {gpair t u | t u. (t,u) ∈ X}] equalityI
subrelI, goal-cases)

```

```

case (1 s t) then show ?case
  using subsetD[OF equalityD2[OF gtt-lang-from-agtt-lang, of (s, t)  $\mathcal{G}$ ]]
  by (intro rev-image-eqI[of (term-of-gterm s, term-of-gterm t)])
    (auto simp: funas-term-of-gterm-conv subsetD[OF lift-root-step-mono]
     dest: subsetD[OF lift-root-step-sig[unfolded  $\mathcal{T}_G$ -equivalent-def, OF agtt-lang-syms[OF assms]]])
  next
    case (2 s t)
      from image-mono[OF agtt-lang-syms[OF assms], of snd, unfolded *]
      have *: snd ‘agtt-lang  $\mathcal{G}$   $\subseteq$  gterms UNIV by auto
      show ?case using 2
        by (auto intro!: lift-root-step-sig-transfer[unfolded  $\mathcal{T}_G$ -equivalent-def, OF - *, of - - - fset  $\mathcal{F}$ ]
          simp: funas-gterm-gterm-of-term funas-term-of-gterm-conv)
      qed
    qed

end
theory FOL-Extra
  imports
    Type-Instances-Impl
    FOL-Fitting.FOL-Fitting
    HOL-Library.FSet
begin

```

11 Additional support for FOL-Fitting

11.1 Iff

```

definition Iff where
  Iff p q = And (Impl p q) (Impl q p)

```

```

lemma eval-Iff:
  eval e f g (Iff p q)  $\longleftrightarrow$  (eval e f g p  $\longleftrightarrow$  eval e f g q)
  by (auto simp: Iff-def)

```

11.2 Replacement of subformulas

```

datatype ('a, 'b) ctxt
  = Hole
  | And1 ('a, 'b) ctxt ('a, 'b) form
  | And2 ('a, 'b) form ('a, 'b) ctxt
  | Or1 ('a, 'b) ctxt ('a, 'b) form
  | Or2 ('a, 'b) form ('a, 'b) ctxt
  | Impl1 ('a, 'b) ctxt ('a, 'b) form
  | Impl2 ('a, 'b) form ('a, 'b) ctxt
  | Neg1 ('a, 'b) ctxt
  | Forall1 ('a, 'b) ctxt

```

```

| Exists1 ('a, 'b) ctxt

primrec apply-ctxt :: ('a, 'b) ctxt  $\Rightarrow$  ('a, 'b) form  $\Rightarrow$  ('a, 'b) form where
  apply-ctxt Hole p = p
| apply-ctxt (And1 c v) p = And (apply-ctxt c p) v
| apply-ctxt (And2 u c) p = And u (apply-ctxt c p)
| apply-ctxt (Or1 c v) p = Or (apply-ctxt c p) v
| apply-ctxt (Or2 u c) p = Or u (apply-ctxt c p)
| apply-ctxt (Impl1 c v) p = Impl (apply-ctxt c p) v
| apply-ctxt (Impl2 u c) p = Impl u (apply-ctxt c p)
| apply-ctxt (Neg1 c) p = Neg (apply-ctxt c p)
| apply-ctxt (Forall1 c) p = Forall (apply-ctxt c p)
| apply-ctxt (Exists1 c) p = Exists (apply-ctxt c p)

lemma replace-subformula:
assumes  $\bigwedge e. \text{eval } e f g (\text{Iff } p q)$ 
shows eval e f g (Iff (apply-ctxt c p) (apply-ctxt c q))
by (induct c arbitrary: e) (auto simp: assms[unfolded eval-Iff] Iff-def)

```

11.3 Propositional identities

```

lemma prop-ids:
  eval e f g (Iff (And p q) (And q p))
  eval e f g (Iff (Or p q) (Or q p))
  eval e f g (Iff (Or p (Or q r)) (Or (Or p q) r))
  eval e f g (Iff (And p (And q r)) (And (And p q) r))
  eval e f g (Iff (Neg (Or p q)) (And (Neg p) (Neg q)))
  eval e f g (Iff (Neg (And p q)) (Or (Neg p) (Neg q)))

by (auto simp: Iff-def)

```

11.4 de Bruijn index manipulation for formulas; cf. liftt

```

primrec liftti :: nat  $\Rightarrow$  'a term  $\Rightarrow$  'a term where
  liftti i (Var j) = (if i > j then Var j else Var (Suc j))
| liftti i (App f ts) = App f (map (liftti i) ts)

```

```

lemma liftts-def':
  liftts ts = map liftt ts
by (induct ts) auto

```

liftt is a special case of liftti

```

lemma liftti-0:
  liftti 0 t = liftt t
by (induct t) (auto simp: liftts-def')

```

```

primrec lifti :: nat  $\Rightarrow$  ('a, 'b) form  $\Rightarrow$  ('a, 'b) form where
  lifti i FF = FF
| lifti i TT = TT
| lifti i (Pred b ts) = Pred b (map (liftti i) ts)

```

```

|  $\text{lifti } i (\text{And } p \ q) = \text{And} (\text{lifti } i \ p) (\text{lifti } i \ q)$ 
|  $\text{lifti } i (\text{Or } p \ q) = \text{Or} (\text{lifti } i \ p) (\text{lifti } i \ q)$ 
|  $\text{lifti } i (\text{Impl } p \ q) = \text{Impl} (\text{lifti } i \ p) (\text{lifti } i \ q)$ 
|  $\text{lifti } i (\text{Neg } p) = \text{Neg} (\text{lifti } i \ p)$ 
|  $\text{lifti } i (\text{Forall } p) = \text{Forall} (\text{lifti } (\text{Suc } i) \ p)$ 
|  $\text{lifti } i (\text{Exists } p) = \text{Exists} (\text{lifti } (\text{Suc } i) \ p)$ 

```

abbreviation lift **where**

$\text{lift} \equiv \text{lifti } 0$

interaction of lifti and eval

lemma $\text{evalts-def}'$:

$\text{evalts } e \ f \ ts = \text{map} (\text{evalt } e \ f) \ ts$
by (*induct ts*) *auto*

lemma evalt-liftti :

$\text{evalt } (e\langle i:z\rangle) \ f \ (\text{lifti } i \ t) = \text{evalt } e \ f \ t$
by (*induct t*) (*auto simp: evalts-def' cong: map-cong*)

lemma eval-lifti [*simp*]:

$\text{eval } (e\langle i:z\rangle) \ f \ g \ (\text{lifti } i \ p) = \text{eval } e \ f \ g \ p$
by (*induct p arbitrary: e i*) (*auto simp: evalt-liftti evalts-def' comp-def*)

11.5 Quantifier Identities

lemma quant-ids :

$\text{eval } e \ f \ g \ (\text{Iff } (\text{Neg } (\text{Exists } p)) (\text{Forall } (\text{Neg } p)))$
 $\text{eval } e \ f \ g \ (\text{Iff } (\text{Neg } (\text{Forall } p)) (\text{Exists } (\text{Neg } p)))$
 $\text{eval } e \ f \ g \ (\text{Iff } (\text{And } p (\text{Forall } q)) (\text{Forall } (\text{And } (\text{lift } p) \ q)))$
 $\text{eval } e \ f \ g \ (\text{Iff } (\text{And } p (\text{Exists } q)) (\text{Exists } (\text{And } (\text{lift } p) \ q)))$
 $\text{eval } e \ f \ g \ (\text{Iff } (\text{Or } p (\text{Forall } q)) (\text{Forall } (\text{Or } (\text{lift } p) \ q)))$
 $\text{eval } e \ f \ g \ (\text{Iff } (\text{Or } p (\text{Exists } q)) (\text{Exists } (\text{Or } (\text{lift } p) \ q)))$

by (*auto simp: Iff-def*)

11.6 Function symbols and predicates, with arities.

primrec $\text{predas-form} :: ('a, 'b) \text{ form} \Rightarrow ('b \times \text{nat}) \text{ set where}$

```

|  $\text{predas-form } \text{FF} = \{\}$ 
|  $\text{predas-form } \text{TT} = \{\}$ 
|  $\text{predas-form } (\text{Pred } b \ ts) = \{(b, \text{length } ts)\}$ 
|  $\text{predas-form } (\text{And } p \ q) = \text{predas-form } p \cup \text{predas-form } q$ 
|  $\text{predas-form } (\text{Or } p \ q) = \text{predas-form } p \cup \text{predas-form } q$ 
|  $\text{predas-form } (\text{Impl } p \ q) = \text{predas-form } p \cup \text{predas-form } q$ 
|  $\text{predas-form } (\text{Neg } p) = \text{predas-form } p$ 
|  $\text{predas-form } (\text{Forall } p) = \text{predas-form } p$ 
|  $\text{predas-form } (\text{Exists } p) = \text{predas-form } p$ 

```

primrec $\text{funas-term} :: 'a \text{ term} \Rightarrow ('a \times \text{nat}) \text{ set where}$

$\text{funas-term } (\text{Var } x) = \{\}$

```
| funas-term (App f ts) = {(f, length ts)} ∪ ∪(set (map funas-term ts))
```

```
primrec terms-form :: ('a, 'b) form ⇒ 'a term set where
  terms-form FF = {}
| terms-form TT = {}
| terms-form (Pred b ts) = set ts
| terms-form (And p q) = terms-form p ∪ terms-form q
| terms-form (Or p q) = terms-form p ∪ terms-form q
| terms-form (Impl p q) = terms-form p ∪ terms-form q
| terms-form (Neg p) = terms-form p
| terms-form (Forall p) = terms-form p
| terms-form (Exists p) = terms-form p
```

```
definition funas-form :: ('a, 'b) form ⇒ ('a × nat) set where
  funas-form f ≡ ∪(funas-term ` terms-form f)
```

11.7 Negation Normal Form

```
inductive is-nnf :: ('a, 'b) form ⇒ bool where
  is-nnf TT
| is-nnf FF
| is-nnf (Pred p ts)
| is-nnf (Neg (Pred p ts))
| is-nnf p ⇒ is-nnf q ⇒ is-nnf (And p q)
| is-nnf p ⇒ is-nnf q ⇒ is-nnf (Or p q)
| is-nnf p ⇒ is-nnf (Forall p)
| is-nnf p ⇒ is-nnf (Exists p)
```

```
primrec nnf' :: bool ⇒ ('a, 'b) form ⇒ ('a, 'b) form where
  nnf' b TT = (if b then TT else FF)
| nnf' b FF = (if b then FF else TT)
| nnf' b (Pred p ts) = (if b then id else Neg) (Pred p ts)
| nnf' b (And p q) = (if b then And else Or) (nnf' b p) (nnf' b q)
| nnf' b (Or p q) = (if b then Or else And) (nnf' b p) (nnf' b q)
| nnf' b (Impl p q) = (if b then Or else And) (nnf' (¬ b) p) (nnf' b q)
| nnf' b (Neg p) = nnf' (¬ b) p
| nnf' b (Forall p) = (if b then Forall else Exists) (nnf' b p)
| nnf' b (Exists p) = (if b then Exists else Forall) (nnf' b p)
```

```
lemma eval-nnf':
  eval e f g (nnf' b p) ←→ (eval e f g p ←→ b)
  by (induct p arbitrary: e b) auto
```

```
lemma is-nnf-nnf':
  is-nnf (nnf' b p)
  by (induct p arbitrary: b) (auto intro: is-nnf.intros)
```

```
abbreviation nnf where
  nnf ≡ nnf' True
```

lemmas *nnf-simpls* [*simp*] = *eval-nnf*'[**where** *b* = *True*, *unfolded eq-True*] *is-nnf-nnf*'[**where** *b* = *True*]

11.8 Reasoning modulo ACI01

```
datatype ('a, 'b) form-aci
  = TT-aci
  | FF-aci
  | Pred-aci bool 'b 'a term list
  | And-aci ('a, 'b) form-aci fset
  | Or-aci ('a, 'b) form-aci fset
  | Forall-aci ('a, 'b) form-aci
  | Exists-aci ('a, 'b) form-aci
```

evaluation, see *eval*

```
primrec eval-aci :: «(nat ⇒ 'c) ⇒ ('a ⇒ 'c list ⇒ 'c) ⇒
  ('b ⇒ 'c list ⇒ bool) ⇒ ('a, 'b) form-aci ⇒ bool» where
  eval-aci e f g FF-aci           ⟷ False
  eval-aci e f g TT-aci           ⟷ True
  eval-aci e f g (Pred-aci b a ts) ⟷ (g a (evalts e f ts) ⟷ b)
  eval-aci e f g (And-aci ps)     ⟷ fBall (fimage (eval-aci e f g) ps) id
  eval-aci e f g (Or-aci ps)      ⟷ fBex (fimage (eval-aci e f g) ps) id
  eval-aci e f g (Forall-aci p)   ⟷ ( ∀ z. eval-aci (e⟨0:z⟩) f g p )
  eval-aci e f g (Exists-aci p)   ⟷ ( ∃ z. eval-aci (e⟨0:z⟩) f g p )
```

smart constructor: conjunction

```
fun and-aci where
  and-aci FF-aci - = FF-aci
  | and-aci - FF-aci = FF-aci
  | and-aci TT-aci q = q
  | and-aci p TT-aci = p
  | and-aci (And-aci ps) (And-aci qs) = And-aci (ps ∪ qs)
  | and-aci (And-aci ps) q = And-aci (ps ∪ {q})
  | and-aci p (And-aci qs) = And-aci ({p} ∪ qs)
  | and-aci p q = (if p = q then p else And-aci {p,q})
```

lemma *eval-and-aci* [*simp*]:

eval-aci e f g (and-aci p q) ⟷ *eval-aci e f g p* ∧ *eval-aci e f g q*
by (*cases* (*p*, *q*) *rule*: *and-aci.cases*) (*simp-all add*: *ball-Un*, *meson+*)

declare *and-aci.simps* [*simp del*]

smart constructor: disjunction

```
fun or-aci where
  or-aci TT-aci - = TT-aci
  | or-aci - TT-aci = TT-aci
  | or-aci FF-aci q = q
  | or-aci p FF-aci = p
```

```

| or-aci (Or-aci ps) (Or-aci qs) = Or-aci (ps |U| qs)
| or-aci (Or-aci ps) q = Or-aci (ps |U| {|q|})
| or-aci p (Or-aci qs) = Or-aci ({|p|} |U| qs)
| or-aci p q = (if p = q then p else Or-aci {|p,q|})

```

lemma eval-or-aci [simp]:

eval-aci e f g (or-aci p q) \longleftrightarrow eval-aci e f g p \vee eval-aci e f g q
by (cases (p, q) rule: or-aci.cases) (simp-all add: bex-Un, meson+)

declare or-aci.simps [simp del]

convert negation normal form to ACIU01 normal form

```

fun nnf-to-aci :: ('a, 'b) form  $\Rightarrow$  ('a, 'b) form-aci where
  nnf-to-aci FF = FF-aci
  | nnf-to-aci TT = TT-aci
  | nnf-to-aci (Pred b ts) = Pred-aci True b ts
  | nnf-to-aci (Neg (Pred b ts)) = Pred-aci False b ts
  | nnf-to-aci (And p q) = and-aci (nnf-to-aci p) (nnf-to-aci q)
  | nnf-to-aci (Or p q) = or-aci (nnf-to-aci p) (nnf-to-aci q)
  | nnf-to-aci (Forall p) = Forall-aci (nnf-to-aci p)
  | nnf-to-aci (Exists p) = Exists-aci (nnf-to-aci p)
  | nnf-to-aci - = undefined

```

lemma eval-nnf-to-aci:

is-nnf p \Longrightarrow eval-aci e f g (nnf-to-aci p) \longleftrightarrow eval e f g p
by (induct p arbitrary: e rule: is-nnf.induct) simp-all

11.9 A (mostly) Propositional Equivalence Check

We reason modulo $\forall = \neg\exists\neg$, de Morgan, double negation, and ACUI01 of \vee and \wedge , by converting to negation normal form, and then collapsing conjunctions and disjunctions taking units, absorption, commutativity, associativity, and idempotence into account. We only need soundness for a certifier.

lemma check-equivalence-by-nnf-aci:

nnf-to-aci (nnf p) = nnf-to-aci (nnf q) \Longrightarrow eval e f g p \longleftrightarrow eval e f g q
by (metis eval-nnf-to-aci is-nnf-nnf' eval-nnf')

11.10 Reasoning modulo ACI01

```

datatype ('a, 'b) form-list-aci
  = TT-aci
  | FF-aci
  | Pred-aci bool 'b 'a term list
  | And-aci ('a, 'b) form-list-aci list
  | Or-aci ('a, 'b) form-list-aci list
  | Forall-aci ('a, 'b) form-list-aci
  | Exists-aci ('a, 'b) form-list-aci

```

evaluation, see eval

```

fun eval-list-aci ::  $\langle (\text{nat} \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'c \text{ list} \Rightarrow 'c) \Rightarrow$ 
 $\langle 'b \Rightarrow 'c \text{ list} \Rightarrow \text{bool} \rangle \Rightarrow ('a, 'b) \text{ form-list-aci} \Rightarrow \text{bool} \rangle$  where
  eval-list-aci  $e f g \text{ FF-aci}$   $\longleftrightarrow \text{False}$ 
  eval-list-aci  $e f g \text{ TT-aci}$   $\longleftrightarrow \text{True}$ 
  eval-list-aci  $e f g (\text{Pred-aci } b \text{ a ts})$   $\longleftrightarrow (g \text{ a } (\text{evalts } e f \text{ ts}) \longleftrightarrow b)$ 
  eval-list-aci  $e f g (\text{And-aci } ps)$   $\longleftrightarrow \text{list-all } (\lambda \text{ fm. eval-list-aci } e f g \text{ fm}) \text{ ps}$ 
  eval-list-aci  $e f g (\text{Or-aci } ps)$   $\longleftrightarrow \text{list-ex } (\lambda \text{ fm. eval-list-aci } e f g \text{ fm}) \text{ ps}$ 
  eval-list-aci  $e f g (\text{Forall-aci } p)$   $\longleftrightarrow (\forall z. \text{ eval-list-aci } (e\langle 0:z \rangle) f g p)$ 
  eval-list-aci  $e f g (\text{Exists-aci } p)$   $\longleftrightarrow (\exists z. \text{ eval-list-aci } (e\langle 0:z \rangle) f g p)$ 

```

smart constructor: conjunction

```

fun and-list-aci where
  and-list-aci FF-aci - = FF-aci
  | and-list-aci - FF-aci = FF-aci
  | and-list-aci TT-aci q = q
  | and-list-aci p TT-aci = p
  | and-list-aci (And-aci ps) (And-aci qs) = And-aci (remdups (ps @ qs))
  | and-list-aci (And-aci ps) q = And-aci (List.insert q ps)
  | and-list-aci p (And-aci qs) = And-aci (List.insert p qs)
  | and-list-aci p q = (if p = q then p else And-aci [p,q])

```

lemma eval-and-list-aci [*simp*]:

```

eval-list-aci  $e f g (\text{and-list-aci } p q) \longleftrightarrow \text{eval-list-aci } e f g p \wedge \text{eval-list-aci } e f g q$ 
apply (cases (p, q) rule: and-list-aci.cases)
apply (simp-all add: list.pred-set list-ex-iff)
apply blast+
done

```

declare and-list-aci.simps [*simp del*]

smart constructor: disjunction

```

fun or-list-aci where
  or-list-aci TT-aci - = TT-aci
  | or-list-aci - TT-aci = TT-aci
  | or-list-aci FF-aci q = q
  | or-list-aci p FF-aci = p
  | or-list-aci (Or-aci ps) (Or-aci qs) = Or-aci (remdups (ps @ qs))
  | or-list-aci (Or-aci ps) q = Or-aci (List.insert q ps)
  | or-list-aci p (Or-aci qs) = Or-aci (List.insert p qs)
  | or-list-aci p q = (if p = q then p else Or-aci [p,q])

```

lemma eval-or-list-aci [*simp*]:

```

eval-list-aci  $e f g (\text{or-list-aci } p q) \longleftrightarrow \text{eval-list-aci } e f g p \vee \text{eval-list-aci } e f g q$ 
by (cases (p, q) rule: or-list-aci.cases) (simp-all add: list.pred-set list-ex-iff,
blast+)

```

declare or-list-aci.simps [*simp del*]

convert negation normal form to ACIU01 normal form

fun nnf-to-list-aci :: $('a, 'b) \text{ form} \Rightarrow ('a, 'b) \text{ form-list-aci}$ **where**

$$\begin{array}{ll}
\text{nnf-to-list-aci } FF & = \text{FF-aci} \\
| \text{nnf-to-list-aci } TT & = \text{TT-aci} \\
| \text{nnf-to-list-aci } (\text{Pred } b \text{ ts}) & = \text{Pred-aci True } b \text{ ts} \\
| \text{nnf-to-list-aci } (\text{Neg } (\text{Pred } b \text{ ts})) & = \text{Pred-aci False } b \text{ ts} \\
| \text{nnf-to-list-aci } (\text{And } p \text{ q}) & = \text{and-list-aci } (\text{nnf-to-list-aci } p) \text{ (nnf-to-list-aci } q) \\
| \text{nnf-to-list-aci } (\text{Or } p \text{ q}) & = \text{or-list-aci } (\text{nnf-to-list-aci } p) \text{ (nnf-to-list-aci } q) \\
| \text{nnf-to-list-aci } (\text{Forall } p) & = \text{Forall-aci } (\text{nnf-to-list-aci } p) \\
| \text{nnf-to-list-aci } (\text{Exists } p) & = \text{Exists-aci } (\text{nnf-to-list-aci } p) \\
| \text{nnf-to-list-aci } - & = \text{undefined}
\end{array}$$

lemma eval-nnf-to-list-aci:
 $\text{is-nnf } p \implies \text{eval-list-aci } e \ f \ g \ (\text{nnf-to-list-aci } p) \longleftrightarrow \text{eval } e \ f \ g \ p$
by (induct p arbitrary: e rule: is-nnf.induct) simp-all

11.11 A (mostly) Propositional Equivalence Check

We reason modulo $\forall = \neg\exists\neg$, de Morgan, double negation, and ACUI01 of \vee and \wedge , by converting to negation normal form, and then collapsing conjunctions and disjunctions taking units, absorption, commutativity, associativity, and idempotence into account. We only need soundness for a certifier.

```

derive linorder term
derive compare term
derive linorder form-list-aci
derive compare form-list-aci

fun ord-form-list-aci where
  ord-form-list-aci TT-aci = TT-aci
  | ord-form-list-aci FF-aci = FF-aci
  | ord-form-list-aci (Pred-aci bool b ts) = Pred-aci bool b ts
  | ord-form-list-aci (And-aci fm) = (And-aci (sort (map ord-form-list-aci fm)))
  | ord-form-list-aci (Or-aci fm) = (Or-aci (sort (map ord-form-list-aci fm)))
  | ord-form-list-aci (Forall-aci fm) = (Forall-aci (ord-form-list-aci fm))
  | ord-form-list-aci (Exists-aci fm) = Exists-aci (ord-form-list-aci fm)

lemma and-list-aci-simps:
  and-list-aci TT-aci q = q
  and-list-aci q FF-aci = FF-aci
  by (cases q, auto simp add: and-list-aci.simps)+

lemma ord-form-list-idemp:
  ord-form-list-aci (ord-form-list-aci q) = ord-form-list-aci q
  apply (induct q) apply (auto simp: list.set-map)
  apply (smt (verit) imageE list.set-map map-idI set-sort sorted-sort-id sorted-sort-key)+
  done

lemma eval-lsit-aci-ord-form-list-aci:
  eval-list-aci e f g (ord-form-list-aci p)  $\longleftrightarrow$  eval-list-aci e f g p
  by (induct p arbitrary: e) (auto simp: list.pred-set list-ex-iff)

```

```

lemma check-equivalence-by-nnf-sortedlist-aci:
  ord-form-list-aci (nnf-to-list-aci (nnf p)) = ord-form-list-aci (nnf-to-list-aci (nnf q))  $\Rightarrow$  eval e f g p  $\leftrightarrow$  eval e f g q
  by (metis eval-nnf-to-list-aci eval-lsit-aci-ord-form-list-aci is-nnf-nnf' eval-nnf')

hide-type (open) term
hide-const (open) Var
hide-type (open) ctxt

end
theory FOR-Semantics
  imports FOR-Certificate
    Lift-Root-Step
    FOL-Fitting.FOL-Fitting
begin

```

12 Semantics of Relations

```

definition is-to-trs :: ('f, 'v) trs list  $\Rightarrow$  ftrs list  $\Rightarrow$  ('f, 'v) trs where
  is-to-trs Rs is =  $\bigcup$ (set (map (case-ftrs ((!) Rs) ((') prod.swap o (!) Rs)) is))

primrec eval-gtt-rel :: ('f  $\times$  nat) set  $\Rightarrow$  ('f, 'v) trs list  $\Rightarrow$  ftrs gtt-rel  $\Rightarrow$  'f gterm
  rel where
    eval-gtt-rel  $\mathcal{F}$  Rs (ARoot is) = Restr (grstep (is-to-trs Rs is)) ( $\mathcal{T}_G$   $\mathcal{F}$ )
    | eval-gtt-rel  $\mathcal{F}$  Rs (GInv g) = prod.swap ' (eval-gtt-rel  $\mathcal{F}$  Rs g)
    | eval-gtt-rel  $\mathcal{F}$  Rs (AUnion g1 g2) = (eval-gtt-rel  $\mathcal{F}$  Rs g1)  $\cup$  (eval-gtt-rel  $\mathcal{F}$  Rs g2)
    | eval-gtt-rel  $\mathcal{F}$  Rs (ATrancg g) = (eval-gtt-rel  $\mathcal{F}$  Rs g)+
    | eval-gtt-rel  $\mathcal{F}$  Rs (AComp g1 g2) = (eval-gtt-rel  $\mathcal{F}$  Rs g1) O (eval-gtt-rel  $\mathcal{F}$  Rs g2)
    | eval-gtt-rel  $\mathcal{F}$  Rs (GTrancg g) = gtrancg-rel  $\mathcal{F}$  (eval-gtt-rel  $\mathcal{F}$  Rs g)
    | eval-gtt-rel  $\mathcal{F}$  Rs (GComp g1 g2) = gcomp-rel  $\mathcal{F}$  (eval-gtt-rel  $\mathcal{F}$  Rs g1) (eval-gtt-rel  $\mathcal{F}$  Rs g2)

primrec eval-rr1-rel :: ('f  $\times$  nat) set  $\Rightarrow$  ('f, 'v) trs list  $\Rightarrow$  ftrs rr1-rel  $\Rightarrow$  'f gterm
  set
  and eval-rr2-rel :: ('f  $\times$  nat) set  $\Rightarrow$  ('f, 'v) trs list  $\Rightarrow$  ftrs rr2-rel  $\Rightarrow$  'f gterm rel
  where
    eval-rr1-rel  $\mathcal{F}$  Rs R1Terms = ( $\mathcal{T}_G$   $\mathcal{F}$ )
    | eval-rr1-rel  $\mathcal{F}$  Rs (R1Union R S) = (eval-rr1-rel  $\mathcal{F}$  Rs R)  $\cup$  (eval-rr1-rel  $\mathcal{F}$  Rs S)
    | eval-rr1-rel  $\mathcal{F}$  Rs (R1Inter R S) = (eval-rr1-rel  $\mathcal{F}$  Rs R)  $\cap$  (eval-rr1-rel  $\mathcal{F}$  Rs S)
    | eval-rr1-rel  $\mathcal{F}$  Rs (R1Diff R S) = (eval-rr1-rel  $\mathcal{F}$  Rs R) - (eval-rr1-rel  $\mathcal{F}$  Rs S)
    | eval-rr1-rel  $\mathcal{F}$  Rs (R1Proj n R) = (case n of 0  $\Rightarrow$  fst ' (eval-rr2-rel  $\mathcal{F}$  Rs R)
      | -  $\Rightarrow$  snd ' (eval-rr2-rel  $\mathcal{F}$  Rs R))
    | eval-rr1-rel  $\mathcal{F}$  Rs (R1NF is) = NF (Restr (grstep (is-to-trs Rs is)) ( $\mathcal{T}_G$   $\mathcal{F}$ ))  $\cap$ 
      ( $\mathcal{T}_G$   $\mathcal{F}$ )
    | eval-rr1-rel  $\mathcal{F}$  Rs (R1Inf R) = {s. infinite (eval-rr2-rel  $\mathcal{F}$  Rs R `` {s})}
    | eval-rr2-rel  $\mathcal{F}$  Rs (R2GTT-Rel A W X) = lift-root-step  $\mathcal{F}$  W X (eval-gtt-rel  $\mathcal{F}$ )

```

```

 $Rs A)$ 
| eval-rr2-rel  $\mathcal{F}$  Rs ( $R2Inv R$ ) = prod.swap ` (eval-rr2-rel  $\mathcal{F}$  Rs  $R$ )
| eval-rr2-rel  $\mathcal{F}$  Rs ( $R2Union R S$ ) = (eval-rr2-rel  $\mathcal{F}$  Rs  $R$ )  $\cup$  (eval-rr2-rel  $\mathcal{F}$  Rs  $S$ )
| eval-rr2-rel  $\mathcal{F}$  Rs ( $R2Inter R S$ ) = (eval-rr2-rel  $\mathcal{F}$  Rs  $R$ )  $\cap$  (eval-rr2-rel  $\mathcal{F}$  Rs  $S$ )
| eval-rr2-rel  $\mathcal{F}$  Rs ( $R2Diff R S$ ) = (eval-rr2-rel  $\mathcal{F}$  Rs  $R$ )  $-$  (eval-rr2-rel  $\mathcal{F}$  Rs  $S$ )
| eval-rr2-rel  $\mathcal{F}$  Rs ( $R2Comp R S$ ) = (eval-rr2-rel  $\mathcal{F}$  Rs  $R$ ) O (eval-rr2-rel  $\mathcal{F}$  Rs  $S$ )
| eval-rr2-rel  $\mathcal{F}$  Rs ( $R2Diag R$ ) = Id-on (eval-rr1-rel  $\mathcal{F}$  Rs  $R$ )
| eval-rr2-rel  $\mathcal{F}$  Rs ( $R2Prod R S$ ) = (eval-rr1-rel  $\mathcal{F}$  Rs  $R$ )  $\times$  (eval-rr1-rel  $\mathcal{F}$  Rs  $S$ )

```

12.1 Semantics of Formulas

```

fun eval-formula :: ('f × nat) set ⇒ ('f, 'v) trs list ⇒ (nat ⇒ 'f gterm) ⇒
  ftrs formula ⇒ bool where
  eval-formula  $\mathcal{F}$  Rs  $\alpha$  ( $FRR1 r1 x$ ) ←→  $\alpha x \in eval-rr1-rel \mathcal{F}$  Rs  $r1$ 
  | eval-formula  $\mathcal{F}$  Rs  $\alpha$  ( $FRR2 r2 x y$ ) ←→  $(\alpha x, \alpha y) \in eval-rr2-rel \mathcal{F}$  Rs  $r2$ 
  | eval-formula  $\mathcal{F}$  Rs  $\alpha$  ( $FAnd fs$ ) ←→  $(\forall f \in set fs. eval-formula \mathcal{F} Rs \alpha f)$ 
  | eval-formula  $\mathcal{F}$  Rs  $\alpha$  ( $FOr fs$ ) ←→  $(\exists f \in set fs. eval-formula \mathcal{F} Rs \alpha f)$ 
  | eval-formula  $\mathcal{F}$  Rs  $\alpha$  ( $FNot f$ ) ←→  $\neg eval-formula \mathcal{F} Rs \alpha f$ 
  | eval-formula  $\mathcal{F}$  Rs  $\alpha$  ( $FExists f$ ) ←→  $(\exists z \in \mathcal{T}_G \mathcal{F}. eval-formula \mathcal{F} Rs (\alpha \langle 0 : z \rangle f))$ 
  | eval-formula  $\mathcal{F}$  Rs  $\alpha$  ( $FForall f$ ) ←→  $(\forall z \in \mathcal{T}_G \mathcal{F}. eval-formula \mathcal{F} Rs (\alpha \langle 0 : z \rangle f))$ 

fun formula-arity :: 'trs formula ⇒ nat where
  formula-arity ( $FRR1 r1 x$ ) = Suc  $x$ 
  | formula-arity ( $FRR2 r2 x y$ ) = max (Suc  $x$ ) (Suc  $y$ )
  | formula-arity ( $FAnd fs$ ) = max-list (map formula-arity fs)
  | formula-arity ( $FOr fs$ ) = max-list (map formula-arity fs)
  | formula-arity ( $FNot f$ ) = formula-arity  $f$ 
  | formula-arity ( $FExists f$ ) = formula-arity  $f - 1$ 
  | formula-arity ( $FForall f$ ) = formula-arity  $f - 1$ 

lemma R1NF-reps:
  assumes funas-trs  $R \subseteq \mathcal{F}$   $\forall t. (term-of-gterm s, term-of-gterm t) \in rstep R \longrightarrow$ 
   $\neg$ funas-gterm  $t \subseteq \mathcal{F}$ 
  and funas-gterm  $s \subseteq \mathcal{F}$   $(l, r) \in R$   $term-of-gterm s = C\langle l \cdot (\sigma :: 'b \Rightarrow ('a, 'b)$ 
   $Term.term) \rangle$ 
  shows False
  proof –
    obtain  $c$  where  $w: funas-term (c :: ('a, 'b) Term.term) \subseteq \mathcal{F}$  ground  $c$ 
    using assms(3) funas-term-of-gterm-conv ground-term-of-gterm by blast
    define  $\tau$  where  $\tau x = (if x \in vars-term l then \sigma x else c)$  for  $x$ 
    from assms(4–) have terms:  $term-of-gterm s = C\langle l \cdot \tau \rangle (C\langle l \cdot \tau \rangle, C\langle r \cdot \tau \rangle) \in$ 
     $rstep R$ 
    using  $\tau$ -def by auto (metis term-subst-eq)

```

```

from this(1) have [simp]: funas-gterm  $s = \text{funas-term } C\langle l \cdot \tau \rangle$  by (metis funas-term-of-gterm-conv)
from w assms(1, 3, 4) have [simp]: funas-term  $C\langle r \cdot \tau \rangle \subseteq \mathcal{F}$  using  $\tau\text{-def}$ 
  by (auto simp: funas-trs-def funas-term-subst)
moreover have ground  $C\langle r \cdot \tau \rangle$  using terms(1) w  $\tau\text{-def}$ 
  by (auto intro!: ground-substI) (metis term-of-gterm-ctxt-subst-apply-ground)
ultimately show ?thesis using assms(2) terms(2)
  by (metis funas-term-of-gterm-conv ground-term-to-gtermD terms(1))
qed

```

The central property we are interested in is satisfiability

```

definition formula-satisfiable where
  formula-satisfiable  $\mathcal{F} \text{ Rs } f \longleftrightarrow (\exists \alpha. \text{range } \alpha \subseteq \mathcal{T}_G \mathcal{F} \wedge \text{eval-formula } \mathcal{F} \text{ Rs } \alpha f)$ 

```

12.2 Validation

12.3 Defining properties of *gcomp-rel* and *gtrancl-rel*

lemma *gcomp-rel-sig*:

```

assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$  and  $S \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows gcomp-rel  $\mathcal{F} R S \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
using assms subsetD[OF signature-pres-funas-cl(2)[OF assms(1)]]
by (auto simp: gcomp-rel-def lift-root-step.simps gmctxt-cl-gmctxtex-onp-conv)
  (metis refl-onD2 refl-on-gmctxtcl-funas)

```

lemma *gtrancl-rel-sig*:

```

assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows gtrancl-rel  $\mathcal{F} R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
using gtrancl-rel-sound[OF assms] by simp

```

lemma *gtrancl-rel*:

```

assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows lift-root-step  $\mathcal{F}$  PAny EStrictParallel (gtrancl-rel  $\mathcal{F} R$ ) = (lift-root-step  $\mathcal{F}$  PAny ESsingle  $R$ )^+
unfolding lift-root-step.simps using gmctxtcl-funas-strict-gtrancl-rel[OF assms]
.
```

lemma *gtrancl-rel'*:

```

assumes  $R \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 
shows lift-root-step  $\mathcal{F}$  PAny EParallel (gtrancl-rel  $\mathcal{F} R$ ) = Restr ((lift-root-step  $\mathcal{F}$  PAny ESsingle  $R$ )^*) ( $\mathcal{T}_G \mathcal{F}$ )
using assms gtrancl-rel[OF assms]
by (auto simp: lift-root-step-Parallel-conv
  simp flip: reflcl-trancl dest: Restr-simps(5)[OF lift-root-step-sig, THEN subsetD])

```

GTT relation semantics respects the signature

lemma *eval-gtt-rel-sig*:

```

  eval-gtt-rel  $\mathcal{F}$  Rs  $g \subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F}$ 

```

proof –

```

show ?thesis by (induct g) (auto 0 3 simp: gtrancl-rel-sig gcomp-rel-sig dest:
  tranclD tranclD2)
qed

```

RR1 and RR2 relation semantics respect the signature

```
lemma eval-rr12-rel-sig:
```

$$\begin{aligned} \text{eval-rr1-rel } \mathcal{F} \text{ } \text{Rs } r1 &\subseteq \mathcal{T}_G \mathcal{F} \\ \text{eval-rr2-rel } \mathcal{F} \text{ } \text{Rs } r2 &\subseteq \mathcal{T}_G \mathcal{F} \times \mathcal{T}_G \mathcal{F} \end{aligned}$$

```
proof (induct r1 and r2)
```

```
  case (R1Inf r2) then show ?case by (auto dest!: infinite-imp-nonempty)
```

```
next
```

```
  case (R1Proj i r2) then show ?case by (fastforce split: nat.splits)
```

```
next
```

```
  case (R2GTT-Rel g W X) then show ?case by (simp add: lift-root-step-sig eval-gtt-rel-sig)
```

```
qed auto
```

12.4 Correctness of derived constructions

```
lemma R1Fin:
```

```
  eval-rr1-rel  $\mathcal{F}$   $\text{Rs } (R1Fin r) = \{t \in \mathcal{T}_G \mathcal{F}. \text{finite } \{s. (t, s) \in \text{eval-rr2-rel } \mathcal{F} \text{ } \text{Rs } r\}\}$ 
```

```
  by (auto simp: R1Fin-def Image-def)
```

```
lemma R2Eq:
```

```
  eval-rr2-rel  $\mathcal{F}$   $\text{Rs } R2Eq = \text{Id-on } (\mathcal{T}_G \mathcal{F})$ 
```

```
  by (auto simp:  $\mathcal{T}_G$ -funas-gterm-conv R2Eq-def)
```

```
lemma R2Reflc:
```

```
  eval-rr2-rel  $\mathcal{F}$   $\text{Rs } (R2Reflc r) = \text{eval-rr2-rel } \mathcal{F} \text{ } \text{Rs } r \cup \text{Id-on } (\mathcal{T}_G \mathcal{F})$ 
```

```
  eval-rr2-rel  $\mathcal{F}$   $\text{Rs } (R2Reflc r) = \text{Restr } ((\text{eval-rr2-rel } \mathcal{F} \text{ } \text{Rs } r)^=) (\mathcal{T}_G \mathcal{F})$ 
```

```
  using eval-rr12-rel-sig(2)[of  $\mathcal{F}$   $\text{Rs } R2Reflc r$ ]
```

```
  by (auto simp: R2Reflc-def R2Eq)
```

```
lemma R2Step:
```

```
  eval-rr2-rel  $\mathcal{F}$   $\text{Rs } (R2Step ts) = \text{Restr } (\text{grstep } (\text{is-to-trs } \text{Rs } ts)) (\mathcal{T}_G \mathcal{F})$ 
```

```
  by (auto simp: lift-root-step.simps R2Step-def grstep-lift-root-step grrstep-subst-cl-conv grstepD-grstep-conv grstepD-def)
```

```
lemma R2StepEq:
```

```
  eval-rr2-rel  $\mathcal{F}$   $\text{Rs } (R2StepEq ts) = \text{Restr } ((\text{grstep } (\text{is-to-trs } \text{Rs } ts))^=) (\mathcal{T}_G \mathcal{F})$ 
```

```
  by (auto simp: R2StepEq-def R2Step R2Reflc(2))
```

```
lemma R2Steps:
```

```
  fixes  $\mathcal{F}$   $\text{Rs } ts$  defines  $R \equiv \text{Restr } (\text{grstep } (\text{is-to-trs } \text{Rs } ts)) (\mathcal{T}_G \mathcal{F})$ 
```

```
  shows eval-rr2-rel  $\mathcal{F}$   $\text{Rs } (R2Steps ts) = R^+$ 
```

```
  by (simp add: R2Steps-def GSteps-def R-def gtrancl-rel grstep-lift-root-step)
```

```
  (metis FOR-Semantics.gtrancl-rel Sigma-cong grstep-lift-root-step inf.cobounded2
```

```
lift-root-Any-EStrict-eq)
```

```

lemma R2StepsEq:
  fixes  $\mathcal{F}$   $Rs$   $ts$  defines  $R \equiv \text{Restr}(\text{grstep}(\text{is-to-trs } Rs \text{ } ts)) (\mathcal{T}_G \mathcal{F})$ 
  shows eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2StepsEq$   $ts$ ) =  $\text{Restr}(R^*) (\mathcal{T}_G \mathcal{F})$ 
  using  $R2Steps[\text{of } \mathcal{F} \text{ } Rs \text{ } ts]$ 
  by (simp add:  $R2StepsEq$ -def  $R2Steps$ -def lift-root-step-Parallel-conv Int-Un-distrib2
 $R$ -def  $\text{Restr}$ -simps flip: reflcl-trancl)

lemma R2StepsNF:
  fixes  $\mathcal{F}$   $Rs$   $ts$  defines  $R \equiv \text{Restr}(\text{grstep}(\text{is-to-trs } Rs \text{ } ts)) (\mathcal{T}_G \mathcal{F})$ 
  shows eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2StepsNF$   $ts$ ) =  $\text{Restr}(R^* \cap \text{UNIV} \times \text{NF } R) (\mathcal{T}_G \mathcal{F})$ 
  using  $R2StepsEq[\text{of } \mathcal{F} \text{ } Rs \text{ } ts]$ 
  by (auto simp:  $R2StepsNF$ -def  $R2StepsEq$ -def  $R$ -def)

lemma R2ParStep:
  eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2ParStep$   $ts$ ) =  $\text{Restr}(\text{gpar-rstep}(\text{is-to-trs } Rs \text{ } ts)) (\mathcal{T}_G \mathcal{F})$ 
  by (simp add:  $R2ParStep$ -def gar-rstep-lift-root-step)

lemma R2RootStep:
  eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2RootStep$   $ts$ ) =  $\text{Restr}(\text{grrstep}(\text{is-to-trs } Rs \text{ } ts)) (\mathcal{T}_G \mathcal{F})$ 
  by (simp add:  $R2RootStep$ -def lift-root-step.simps)

lemma R2RootStepEq:
  eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2RootStepEq$   $ts$ ) =  $\text{Restr}((\text{grrstep}(\text{is-to-trs } Rs \text{ } ts))^=) (\mathcal{T}_G \mathcal{F})$ 
  by (auto simp:  $R2RootStepEq$ -def  $R2RootStep$  R2Reflc(2))

lemma R2RootSteps:
  fixes  $\mathcal{F}$   $Rs$   $ts$  defines  $R \equiv \text{Restr}(\text{grrstep}(\text{is-to-trs } Rs \text{ } ts)) (\mathcal{T}_G \mathcal{F})$ 
  shows eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2RootSteps$   $ts$ ) =  $R^+$ 
  by (simp add:  $R2RootSteps$ -def  $R$ -def lift-root-step.simps)

lemma R2RootStepsEq:
  fixes  $\mathcal{F}$   $Rs$   $ts$  defines  $R \equiv \text{Restr}(\text{grrstep}(\text{is-to-trs } Rs \text{ } ts)) (\mathcal{T}_G \mathcal{F})$ 
  shows eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2RootStepsEq$   $ts$ ) =  $\text{Restr}(R^*) (\mathcal{T}_G \mathcal{F})$ 
  by (auto simp:  $R2RootStepsEq$ -def  $R2Reflc$ -def  $R2RootSteps$   $R$ -def  $R2Eq$ -def
Int-Un-distrib2  $\text{Restr}$ -simps simp flip: reflcl-trancl)

lemma R2NonRootStep:
  eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2NonRootStep$   $ts$ ) =  $\text{Restr}(\text{gnrrstep}(\text{is-to-trs } Rs \text{ } ts)) (\mathcal{T}_G \mathcal{F})$ 
  by (simp add:  $R2NonRootStep$ -def grrstep-lift-root-gnrrstep)

lemma R2NonRootStepEq:
  eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2NonRootStepEq$   $ts$ ) =  $\text{Restr}((\text{gnrrstep}(\text{is-to-trs } Rs \text{ } ts))^=) (\mathcal{T}_G \mathcal{F})$ 
  by (auto simp:  $R2NonRootStepEq$ -def  $R2Reflc$ -def  $R2Eq$ -def  $R2NonRootStep$  Int-Un-distrib2)

lemma R2NonRootSteps:

```

```

fixes  $\mathcal{F}$   $Rs$   $ts$  defines  $R \equiv \text{Restr}(\text{gnrrstep}(\text{is-to-trs } Rs\ ts)) (\mathcal{T}_G\ \mathcal{F})$ 
shows eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2NonRootSteps$   $ts$ ) =  $R^+$ 
apply (simp add: lift-root-step.simps gnrrstepD-gnrrstep-conv gnrrstepD-def
grrstep-subst-cl-conv R2NonRootSteps-def R-def GSteps-def lift-root-step-Parallel-conv)
apply (intro gmctxtex-funas-nroot-strict-gtranc1-rel)
by simp

lemma  $R2NonRootStepsEq$ :
fixes  $\mathcal{F}$   $Rs$   $ts$  defines  $R \equiv \text{Restr}(\text{gnrrstep}(\text{is-to-trs } Rs\ ts)) (\mathcal{T}_G\ \mathcal{F})$ 
shows eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2NonRootStepsEq$   $ts$ ) =  $\text{Restr}(R^*) (\mathcal{T}_G\ \mathcal{F})$ 
using  $R2NonRootSteps[\text{of } \mathcal{F}\ Rs\ ts]$ 
by (simp add: R2NonRootSteps-def R2NonRootStepsEq-def lift-root-step-Parallel-conv
R-def Int-Un-distrib2 Restr-simps flip: reflcl-tranc1)

lemma converse-to-prod-swap:
 $R^{-1} = \text{prod.swap}^* R$ 
by auto

lemma  $R2Meet$ :
fixes  $\mathcal{F}$   $Rs$   $ts$  defines  $R \equiv \text{Restr}(\text{grstep}(\text{is-to-trs } Rs\ ts)) (\mathcal{T}_G\ \mathcal{F})$ 
shows eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2Meet$   $ts$ ) =  $\text{Restr}((R^{-1})^* O R^*) (\mathcal{T}_G\ \mathcal{F})$ 
apply (simp add: R2Meet-def R-def GSteps-def converse-to-prod-swap gcomp-rel[folded
lift-root-step.simps] gtranc1-rel' swap-lift-root-step grstep-lift-root-step)
apply (simp add: Restr-simps converse-Int converse-Un converse-Times Int-Un-distrib2
flip: reflcl-tranc1 tranc1-converse converse-to-prod-swap)
done

lemma  $R2Join$ :
fixes  $\mathcal{F}$   $Rs$   $ts$  defines  $R \equiv \text{Restr}(\text{grstep}(\text{is-to-trs } Rs\ ts)) (\mathcal{T}_G\ \mathcal{F})$ 
shows eval-rr2-rel  $\mathcal{F}$   $Rs$  ( $R2Join$   $ts$ ) =  $\text{Restr}(R^* O (R^{-1})^*) (\mathcal{T}_G\ \mathcal{F})$ 
apply (simp add: R2Join-def R-def GSteps-def converse-to-prod-swap gcomp-rel[folded
lift-root-step.simps] gtranc1-rel' swap-lift-root-step grstep-lift-root-step)
apply (simp add: Restr-simps converse-to-prod-swap[symmetric] converse-Int
converse-Un converse-Times Int-Un-distrib2 flip: reflcl-tranc1 tranc1-converse)
done

end
theory FOR-Check
imports
FOR-Semantics
FOL-Extra
GTT-RRn
First-Order-Terms.Option-Monad
LV-to-GTT
NF
Regular-Tree-Relations.GTT-Transitive-Closure
Regular-Tree-Relations.AGTT
Regular-Tree-Relations.RR2-Infinite-Q-infinity
Regular-Tree-Relations.RRn-Automata

```

begin

13 Check inference steps

type-synonym ('f, 'v) fin-trs = ('f, 'v) rule fset

lemma tl-drop-conv:

tl xs = drop 1 xs

by (induct xs) auto

definition rrn-drop-fst **where**

rrn-drop-fst A = relabel-reg (trim-reg (collapse-automaton-reg (fmap-funs-reg (drop-none-rule 1) (trim-reg A))))

lemma rrn-drop-fst-lang:

assumes RRn-spec n A T 1 < n

shows RRn-spec (n - 1) (rrn-drop-fst A) (drop 1 ` T)

using drop-automaton-reg[OF - assms(2), of trim-reg A T] assms(1)

unfolding rrn-drop-fst-def

by (auto simp: trim-ta-reach)

definition liftO1 :: ('a ⇒ 'b) ⇒ 'a option ⇒ 'b option **where**

liftO1 = map-option

definition liftO2 :: ('a ⇒ 'b ⇒ 'c) ⇒ 'a option ⇒ 'b option ⇒ 'c option **where**

liftO2 f a b = case-option None (λa'. liftO1 (f a') b) a

lemma liftO1-Some [simp]:

liftO1 f x = Some y ↔ (exists x'. x = Some x') ∧ y = f (the x)

by (cases x) (auto simp: liftO1-def)

lemma liftO2-Some [simp]:

liftO2 f x y = Some z ↔ (exists x' y'. x = Some x' ∧ y = Some y') ∧ z = f (the x) (the y)

by (cases x; cases y) (auto simp: liftO2-def)

13.1 Computing TRSs

lemma is-to-trs-props:

assumes ∀ R ∈ set Rs. finite R ∧ lv-trs R ∧ funas-trs R ⊆ F ∀ i ∈ set is. case-ftrs id id i < length Rs

shows funas-trs (is-to-trs Rs is) ⊆ F lv-trs (is-to-trs Rs is) finite (is-to-trs Rs is)

proof (goal-cases F lv fin)

case F **show** ?case **using** assms nth-mem

apply (auto simp: is-to-trs-def funas-trs-def case-prod-beta split: ftrs.splits)

apply fastforce

apply (metis (no-types, lifting) assms(1) in-mono rhs-wf)

```

apply (metis (no-types, lifting) assms(1) in-mono rhs-wf)
by (smt (verit) UN-subset-iff fst-conv in-mono le-sup-iff)
qed (insert assms, (fastforce simp: is-to-trs-def funas-trs-def lv-trs-def split: ftrs.splits)+)

definition is-to-fin-trs :: ('f, 'v) fin-trs list  $\Rightarrow$  ftrs list  $\Rightarrow$  ('f, 'v) fin-trs where
  is-to-fin-trs Rs is =  $\bigcup$  (fset-of-list (map (case-ftrs ((!) Rs) ((| |) prod.swap  $\circ$  (!) Rs)) is))

lemma is-to-fin-trs-conv:
  assumes  $\forall i \in \text{set } is.$  case-ftrs id id  $i < \text{length } Rs$ 
  shows is-to-trs (map fset Rs) is = fset (is-to-fin-trs Rs is)
  using assms unfolding is-to-trs-def is-to-fin-trs-def
  by (auto simp: ffUnion.rep-eq fset-of-list.rep-eq split: ftrs.splits)

definition is-to-trs' :: ('f, 'v) fin-trs list  $\Rightarrow$  ftrs list  $\Rightarrow$  ('f, 'v) fin-trs option where
  is-to-trs' Rs is = do {
    guard ( $\forall i \in \text{set } is.$  case-ftrs id id  $i < \text{length } Rs$ );
    Some (is-to-fin-trs Rs is)
  }

lemma is-to-trs-conv:
  is-to-trs' Rs is = Some S  $\implies$  is-to-trs (map fset Rs) is = fset S
  using is-to-fin-trs-conv unfolding is-to-trs'-def
  by (auto simp add: guard-simps split: bind-splits)

lemma is-to-trs'-props:
  assumes  $\forall R \in \text{set } Rs.$  lv-trs (fset R)  $\wedge$  funas-trs R  $\subseteq \mathcal{F}$  and is-to-trs' Rs is = Some S
  shows funas-trs S  $\subseteq \mathcal{F}$  lv-trs (fset S)
  proof -
    from assms(2) have well:  $\forall i \in \text{set } is.$  case-ftrs id id  $i < \text{length } Rs$  is-to-fin-trs Rs is = S
    unfolding is-to-trs'-def
    by (auto simp add: guard-simps split: bind-splits)
    have  $\forall R \in \text{set } Rs.$  finite (fset R)  $\wedge$  lv-trs (fset R)  $\wedge$  funas-trs (fset R)  $\subseteq$  (fset  $\mathcal{F}$ )
      using assms(1) by (auto simp: funas-trs.rep-eq less-eq-fset.rep-eq)
      from is-to-trs-props[of map fset Rs fset  $\mathcal{F}$  is] this well(1)
      have lv-trs (is-to-trs (map fset Rs) is) funas-trs (is-to-trs (map fset Rs) is)  $\subseteq$  fset  $\mathcal{F}$ 
        by auto
      then show lv-trs (fset S) funas-trs S  $\subseteq \mathcal{F}$ 
        using is-to-fin-trs-conv[OF well(1)] unfolding well(2)
        by (auto simp: funas-trs.rep-eq less-eq-fset.rep-eq)
  qed

```

13.2 Computing GTTs

```

fun gtt-of-gtt-rel :: ('f × nat) fset ⇒ ('f :: linorder, 'v) fin-trs list ⇒ ftrs gtt-rel
⇒ (nat, 'f) gtt option where
  gtt-of-gtt-rel  $\mathcal{F}$  Rs (ARoot is) = liftO1 (λR. relabel-gtt (agtt-grrstep R  $\mathcal{F}$ ))
  (is-to-trs' Rs is)
  | gtt-of-gtt-rel  $\mathcal{F}$  Rs (GInv g) = liftO1 prod.swap (gtt-of-gtt-rel  $\mathcal{F}$  Rs g)
  | gtt-of-gtt-rel  $\mathcal{F}$  Rs (AUnion g1 g2) = liftO2 (λg1 g2. relabel-gtt (AGTT-union' g1 g2)) (gtt-of-gtt-rel  $\mathcal{F}$  Rs g1) (gtt-of-gtt-rel  $\mathcal{F}$  Rs g2)
  | gtt-of-gtt-rel  $\mathcal{F}$  Rs (ATranc1 g) = liftO1 (relabel-gtt ∘ AGTT-tranc1) (gtt-of-gtt-rel  $\mathcal{F}$  Rs g)
  | gtt-of-gtt-rel  $\mathcal{F}$  Rs (GTranc1 g) = liftO1 GTT-tranc1 (gtt-of-gtt-rel  $\mathcal{F}$  Rs g)
  | gtt-of-gtt-rel  $\mathcal{F}$  Rs (AComp g1 g2) = liftO2 (λg1 g2. relabel-gtt (AGTT-comp' g1 g2)) (gtt-of-gtt-rel  $\mathcal{F}$  Rs g1) (gtt-of-gtt-rel  $\mathcal{F}$  Rs g2)
  | gtt-of-gtt-rel  $\mathcal{F}$  Rs (GComp g1 g2) = liftO2 (λg1 g2. relabel-gtt (GTT-comp' g1 g2)) (gtt-of-gtt-rel  $\mathcal{F}$  Rs g1) (gtt-of-gtt-rel  $\mathcal{F}$  Rs g2)

lemma gtt-of-gtt-rel-correct:
  assumes ∀R ∈ set Rs. lv-trs (fset R) ∧ ffunas-trs R ⊆  $\mathcal{F}$ 
  shows gtt-of-gtt-rel  $\mathcal{F}$  Rs g = Some g' ⇒ agtt-lang g' = eval-gtt-rel (fset  $\mathcal{F}$ )
  (map fset Rs) g
  proof (induct g arbitrary: g')
    note [simp] = bind-eq-Some-conv guard-simps
    have proj-sq: fst ‘(X × X) = X snd ‘(X × X) = X for X by auto
  {
    case (ARoot is)
    then obtain w where w:is-to-trs' Rs is = Some w by auto
    then show ?case using ARoot is-to-trs'-props[OF assms w] is-to-trs-conv[OF w]
      using agtt-grrstep
      by auto
    next
      case (GInv g) then show ?case by (simp add: agtt-lang-swap gtt-states-def)
    next
      case (AUnion g1 g2)
        from AUnion(3)[simplified, THEN conjunct1] AUnion(3)[simplified, THEN conjunct2, THEN conjunct1]
        obtain w1 w2 where
          [simp]: gtt-of-gtt-rel  $\mathcal{F}$  Rs g1 = Some w1 gtt-of-gtt-rel  $\mathcal{F}$  Rs g2 = Some w2
          by blast
        then show ?case using AUnion(3)
          by (simp add: AGTT-union'-sound AUnion)
    next
      case (ATranc1 g)
        from ATranc1[simplified] obtain w1 where
          [simp]: gtt-of-gtt-rel  $\mathcal{F}$  Rs g = Some w1 g' = relabel-gtt (AGTT-tranc1 w1) by
          auto
        then have fin-lang: eval-gtt-rel (fset  $\mathcal{F}$ ) (map fset Rs) g = agtt-lang w1
          using ATranc1 by auto
        from fin-lang show ?case using AGTT-tranc1-sound[of w1]
  
```

```

    by auto
next
  case (GTrancl g) note *= GTrancl(2)[simplified, THEN conjunct2]
    show ?case unfolding gtt-of-gtt-rel.simps GTT-trancl-alang * gtrancl-rel-def
    eval-gtt-rel.simps gmctxt-cl-gmctxtex-onp-conv
    proof ((intro conjI equalityI subrelI; (elim relcompE)?), goal-cases LR RL)
      case (LR - - s - z s' t' t)
      show ?case using lift-root-steps-sig-transfer'[OF LR(2)[folded lift-root-step.simps],
      of fset  $\mathcal{F}$ ]
        lift-root-steps-sig-transfer[OF LR(5)[folded lift-root-step.simps], of fset  $\mathcal{F}$ ]
        image-mono[OF eval-gtt-rel-sig[of fset  $\mathcal{F}$  map fset Rs g], of fst, unfolded proj-sq]
        image-mono[OF eval-gtt-rel-sig[of fset  $\mathcal{F}$  map fset Rs g], of snd, unfolded
        proj-sq]
        subsetD[OF eval-gtt-rel-sig[of fset  $\mathcal{F}$  map fset Rs g]] LR(1, 3, 4) GTrancl
        by (intro relcompI[OF - relcompI, of - s' - t' -])
          (auto simp:  $\mathcal{T}_G$ -funas-gterm-conv lift-root-step.simps)
next
  case (RL - - s - z s' t' t)
  then show ?case using GTrancl
    lift-root-step-mono[of fset  $\mathcal{F}$  UNIV PAny ESingle eval-gtt-rel (fset  $\mathcal{F}$ ) (map
    fset Rs) g, THEN rtrancl-mono]
    unfolding lift-root-step.simps[symmetric]
    by (intro relcompI[OF - relcompI, of - s' - t' -])
      (auto simp:  $\mathcal{T}_G$ -funas-gterm-conv lift-root-step-mono trancl-mono)
qed
next
  case (AComp g1 g2)
  from AComp[simplified] obtain w1 w2 where
    [simp]: gtt-of-gtt-rel  $\mathcal{F}$  Rs g1 = Some w1 gtt-of-gtt-rel  $\mathcal{F}$  Rs g2 = Some w2
    g' = relabel-gtt (AGTT-comp' w1 w2) by auto
  then have fin-lang: eval-gtt-rel (fset  $\mathcal{F}$ ) (map fset Rs) g1 = agtt-lang w1
    eval-gtt-rel (fset  $\mathcal{F}$ ) (map fset Rs) g2 = agtt-lang w2
    using AComp by auto
  from fin-lang AGTT-comp'-sound[of w1 w2]
  show ?case by simp
next
  case (GComp g1 g2)
  let ?r =  $\lambda g. \text{eval-gtt-rel} (\text{fset } \mathcal{F}) (\text{map fset } \text{Rs}) g$ 
  have *: gmctxtex-onp ( $\lambda C. \text{True}$ ) (?r g1) = lift-root-step UNIV PAny EParallel
  (?r g1)
    gmctxtex-onp ( $\lambda C. \text{True}$ ) (?r g2) = lift-root-step UNIV PAny EParallel (?r g2)
    by (auto simp: lift-root-step.simps)
  show ?case using GComp(3)
    apply (intro conjI equalityI subrelI; simp add: gmctxt-cl-gmctxtex-onp-conv
    GComp(1,2) gtt-comp'-alang gcomp-rel-def * flip: lift-root-step.simps; elim conjE
    disjE exE relcompE)
    subgoal for s t - - - - u
      using image-mono[OF eval-gtt-rel-sig, of snd fset  $\mathcal{F}$  map fset Rs, unfolded
      proj-sq]

```

```

apply (subst relcompI[of - u eval-gtt-rel -- g1 , OF - lift-root-step-sig-transfer[of
- UNIV PAny EParallel - g2 fset F]])
  apply (force simp add: subsetI TG-equivalent-def) +
  done
  subgoal for s t ----- u
    using image-mono[OF eval-gtt-rel-sig, of fst fset F map fset Rs, unfolded
proj-sq]
      apply (subst relcompI[of - u -- eval-gtt-rel -- g2 , OF lift-root-step-sig-transfer'[of
- UNIV PAny EParallel - g1 fset F]])
        apply (force simp add: subsetI TG-equivalent-def) +
        done
      by (auto intro: subsetD[OF lift-root-step-mono[of fset F UNIV]])
}
qed

```

13.3 Computing RR1 and RR2 relations

definition simplify-reg $\mathcal{A} = (\text{relabel-reg} (\text{trim-reg} \mathcal{A}))$

lemma L-simplify-reg [simp]: L (simplify-reg $\mathcal{A}) = L \mathcal{A}$
by (simp add: simplify-reg-def L-trim)

lemma RR1-spec-simplify-reg[simp]:
RR1-spec (simplify-reg $\mathcal{A}) R = RR1\text{-spec } \mathcal{A} R$
by (auto simp: RR1-spec-def)
lemma RR2-spec-simplify-reg[simp]:
RR2-spec (simplify-reg $\mathcal{A}) R = RR2\text{-spec } \mathcal{A} R$
by (auto simp: RR2-spec-def)
lemma RRn-spec-simplify-reg[simp]:
RRn-spec n (simplify-reg $\mathcal{A}) R = RRn\text{-spec } n \mathcal{A} R$
by (auto simp: RRn-spec-def)

lemma RR1-spec-eps-free-reg[simp]:
RR1-spec (eps-free-reg $\mathcal{A}) R = RR1\text{-spec } \mathcal{A} R$
by (auto simp: RR1-spec-def L-eps-free)
lemma RR2-spec-eps-free-reg[simp]:
RR2-spec (eps-free-reg $\mathcal{A}) R = RR2\text{-spec } \mathcal{A} R$
by (auto simp: RR2-spec-def L-eps-free)
lemma RRn-spec-eps-free-reg[simp]:
RRn-spec n (eps-free-reg $\mathcal{A}) R = RRn\text{-spec } n \mathcal{A} R$
by (auto simp: RRn-spec-def L-eps-free)

fun rr1-of-rr1-rel :: ('f × nat) fset ⇒ ('f :: linorder, 'v) fin-trs list ⇒ ftrs rr1-rel
⇒ (nat, 'f) reg option
and rr2-of-rr2-rel :: ('f × nat) fset ⇒ ('f, 'v) fin-trs list ⇒ ftrs rr2-rel ⇒ (nat, 'f
option × 'f option) reg option **where**
rr1-of-rr1-rel \mathcal{F} Rs R1Terms = Some (relabel-reg (term-reg \mathcal{F}))
| rr1-of-rr1-rel \mathcal{F} Rs (R1NF is) = liftO1 (λR. (simplify-reg (nf-reg (fst | R) \mathcal{F})))
(is-to-trs' Rs is)

```

| rr1-of-rr1-rel  $\mathcal{F}$  Rs ( $R1Inf r$ ) =  $liftO1 (\lambda R.$ 
 $let \mathcal{A} = trim-reg R in$ 
 $simplify-reg (proj-1-reg (Inf-reg-impl \mathcal{A}))$ 
 $) (rr2-of-rr2-rel \mathcal{F}$  Rs  $r)$ 
| rr1-of-rr1-rel  $\mathcal{F}$  Rs ( $R1Proj i r$ ) = ( $case i of 0 \Rightarrow$ 
 $liftO1 (trim-reg \circ proj-1-reg) (rr2-of-rr2-rel \mathcal{F}$  Rs  $r)$ 
 $| - \Rightarrow liftO1 (trim-reg \circ proj-2-reg) (rr2-of-rr2-rel \mathcal{F}$  Rs  $r))$ 
| rr1-of-rr1-rel  $\mathcal{F}$  Rs ( $R1Union s1 s2$ ) =
 $liftO2 (\lambda x y. relabel-reg (reg-union x y)) (rr1-of-rr1-rel \mathcal{F}$  Rs  $s1) (rr1-of-rr1-rel$ 
 $\mathcal{F}$  Rs  $s2)$ 
| rr1-of-rr1-rel  $\mathcal{F}$  Rs ( $R1Inter s1 s2$ ) =
 $liftO2 (\lambda x y. simplify-reg (reg-intersect x y)) (rr1-of-rr1-rel \mathcal{F}$  Rs  $s1) (rr1-of-rr1-rel$ 
 $\mathcal{F}$  Rs  $s2)$ 
| rr1-of-rr1-rel  $\mathcal{F}$  Rs ( $R1Diff s1 s2$ ) =  $liftO2 (\lambda x y. relabel-reg (trim-reg (difference-reg$ 
 $x y))) (rr1-of-rr1-rel \mathcal{F}$  Rs  $s1) (rr1-of-rr1-rel \mathcal{F}$  Rs  $s2)$ 

| rr2-of-rr2-rel  $\mathcal{F}$  Rs ( $R2GTT-Rel g w x$ ) =
 $(case w of PRoot \Rightarrow$ 
 $(case x of ESingle \Rightarrow liftO1 (simplify-reg \circ eps-free-reg \circ GTT-to-RR2-root-reg)$ 
 $(gtt-of-gtt-rel \mathcal{F}$  Rs  $g)$ 
 $| EParallel \Rightarrow liftO1 (simplify-reg \circ eps-free-reg \circ reflcl-reg (lift-sig-RR2 |`$ 
 $\mathcal{F}) \circ GTT-to-RR2-root-reg) (gtt-of-gtt-rel \mathcal{F}$  Rs  $g)$ 
 $| EStrictParallel \Rightarrow liftO1 (simplify-reg \circ eps-free-reg \circ GTT-to-RR2-root-reg)$ 
 $(gtt-of-gtt-rel \mathcal{F}$  Rs  $g))$ 
 $| PNonRoot \Rightarrow$ 
 $(case x of ESingle \Rightarrow liftO1 (simplify-reg \circ eps-free-reg \circ nhole-ctxt-closure-reg$ 
 $(lift-sig-RR2 |` \mathcal{F}) \circ GTT-to-RR2-root-reg) (gtt-of-gtt-rel \mathcal{F}$  Rs  $g)$ 
 $| EParallel \Rightarrow liftO1 (simplify-reg \circ eps-free-reg \circ nhole-mctxt-reflcl-reg$ 
 $(lift-sig-RR2 |` \mathcal{F}) \circ GTT-to-RR2-root-reg) (gtt-of-gtt-rel \mathcal{F}$  Rs  $g)$ 
 $| EStrictParallel \Rightarrow liftO1 (simplify-reg \circ eps-free-reg \circ nhole-mctxt-closure-reg$ 
 $(lift-sig-RR2 |` \mathcal{F}) \circ GTT-to-RR2-root-reg) (gtt-of-gtt-rel \mathcal{F}$  Rs  $g))$ 
 $| PAny \Rightarrow$ 
 $(case x of ESingle \Rightarrow liftO1 (simplify-reg \circ eps-free-reg \circ ctxt-closure-reg$ 
 $(lift-sig-RR2 |` \mathcal{F}) \circ GTT-to-RR2-root-reg) (gtt-of-gtt-rel \mathcal{F}$  Rs  $g)$ 
 $| EParallel \Rightarrow liftO1 (simplify-reg \circ eps-free-reg \circ parallel-closure-reg$ 
 $(lift-sig-RR2 |` \mathcal{F}) \circ GTT-to-RR2-root-reg) (gtt-of-gtt-rel \mathcal{F}$  Rs  $g)$ 
 $| EStrictParallel \Rightarrow liftO1 (simplify-reg \circ eps-free-reg \circ mctxt-closure-reg$ 
 $(lift-sig-RR2 |` \mathcal{F}) \circ GTT-to-RR2-root-reg) (gtt-of-gtt-rel \mathcal{F}$  Rs  $g)))$ 
| rr2-of-rr2-rel  $\mathcal{F}$  Rs ( $R2Diag s$ ) =
 $liftO1 (\lambda x. fmap-funs-reg (\lambda f. (Some f, Some f)) x) (rr1-of-rr1-rel \mathcal{F}$  Rs  $s)$ 
| rr2-of-rr2-rel  $\mathcal{F}$  Rs ( $R2Prod s1 s2$ ) =
 $liftO2 (\lambda x y. simplify-reg (pair-automaton-reg x y)) (rr1-of-rr1-rel \mathcal{F}$  Rs  $s1)$ 
 $(rr1-of-rr1-rel \mathcal{F}$  Rs  $s2)$ 
| rr2-of-rr2-rel  $\mathcal{F}$  Rs ( $R2Inv r$ ) =  $liftO1 (fmap-funs-reg prod.swap) (rr2-of-rr2-rel$ 
 $\mathcal{F}$  Rs  $r)$ 
| rr2-of-rr2-rel  $\mathcal{F}$  Rs ( $R2Union r1 r2$ ) =
 $liftO2 (\lambda x y. relabel-reg (reg-union x y)) (rr2-of-rr2-rel \mathcal{F}$  Rs  $r1) (rr2-of-rr2-rel$ 
 $\mathcal{F}$  Rs  $r2)$ 
| rr2-of-rr2-rel  $\mathcal{F}$  Rs ( $R2Inter r1 r2$ ) =

```

```

liftO2 ( $\lambda x y. \text{simplify-reg} (\text{reg-intersect } x y))$ ) ( $\text{rr2-of-rr2-rel } \mathcal{F} \text{ } R s r1$ ) ( $\text{rr2-of-rr2-rel } \mathcal{F} \text{ } R s r2$ )
|  $\text{rr2-of-rr2-rel } \mathcal{F} \text{ } R s (R2Diff \text{ } r1 \text{ } r2) = \text{liftO2 } (\lambda x y. \text{simplify-reg} (\text{difference-reg } x y))$  ( $\text{rr2-of-rr2-rel } \mathcal{F} \text{ } R s r1$ ) ( $\text{rr2-of-rr2-rel } \mathcal{F} \text{ } R s r2$ )
|  $\text{rr2-of-rr2-rel } \mathcal{F} \text{ } R s (R2Comp \text{ } r1 \text{ } r2) = \text{liftO2 } (\lambda x y. \text{simplify-reg} (\text{rr2-compositon } \mathcal{F} \text{ } x \text{ } y))$ 
    ( $\text{rr2-of-rr2-rel } \mathcal{F} \text{ } R s r1$ ) ( $\text{rr2-of-rr2-rel } \mathcal{F} \text{ } R s r2$ )

```

abbreviation *lhss* **where**
lhss $R \equiv \text{fst} \mid^{\mathcal{F}} R$

lemma *rr12-of-rr12-rel-correct*:

```

fixes  $R s :: (('f :: \text{linorder}, 'v) \text{Term.term} \times ('f, 'v) \text{Term.term}) \text{fset list}$ 
assumes  $\forall R \in \text{set } R s. \text{lv-trs}(\text{fset } R) \wedge \text{ffunas-trs}(\text{fset } R) \mid\subseteq \mathcal{F}$ 
shows  $\forall ta1. \text{rr1-of-rr1-rel } \mathcal{F} \text{ } R s r1 = \text{Some } ta1 \longrightarrow \text{RR1-spec } ta1 (\text{eval-rr1-rel } (\text{fset } \mathcal{F}) (\text{map fset } R s) r1)$ 
     $\forall ta2. \text{rr2-of-rr2-rel } \mathcal{F} \text{ } R s r2 = \text{Some } ta2 \longrightarrow \text{RR2-spec } ta2 (\text{eval-rr2-rel } (\text{fset } \mathcal{F}) (\text{map fset } R s) r2)$ 
proof (induct r1 and r2)
  note [simp] = bind-eq-Some-conv guard-simps
  let ? $F = \text{fset } \mathcal{F}$  let ? $R s = \text{map fset } R s$ 
  {
    case R1Terms
    then show ?case using term-automaton[of  $\mathcal{F}$ ]
      by (simp add: TG-equivalent-def)
    next
      case (R1NF r)
      consider (a)  $\exists R. \text{is-to-trs}' \text{ } R s r = \text{Some } R$  | (b)  $\text{is-to-trs}' \text{ } R s r = \text{None}$  by auto
      then show ?case
      proof (cases)
        case a
        from a obtain R where [simp]:  $\text{is-to-trs}' \text{ } R s r = \text{Some } R$   $\text{is-to-fin-trs } R s r = R$ 
          by (auto simp: is-to-trs'-def)
        from is-to-trs'-props[OF assms this(1)] have inv: ffunas-trs R mid F lv-trs (fset R).
          from inv have fl:  $\forall l \in \text{lhss } R. \text{linear-term } l$ 
            by (auto simp: lv-trs-def split!: prod.splits)
            {fix s t assume ass:  $(s, t) \in \text{grstep } (\text{fset } R)$ 
              then obtain C l r σ where step:  $(l, r) \in R$  term-of-gterm  $s = (C :: ('f, 'v) \text{ ctxt}) \langle l \cdot σ \rangle$  term-of-gterm  $t = C \langle r \cdot σ \rangle$ 
                unfolding grstep-def by (auto simp: dest!: rstep-imp-C-s-r)
                from step ta-nf-lang-sound[of l lhss R C σ F]
                have snotin L (nf-reg (lhss R) F) unfolding L-def
                by (metis fimage-eqI fst-conv nf-reg-def reg.sel(1, 2) term-of-gterm-in-ta-lang-conv)}
            note mem = this
            have funas: funas-trs (fset R) ⊆ ?F using inv(1)
              by (simp add: ffunas-trs.rep-eq less-eq-fset.rep-eq subsetD)

```

```

{fix s assume s ∈ L (nf-reg (lhss R) F)
  then have s ∈ NF (Restr (grstep (fset R)) (T_G (fset F))) ∩ T_G (fset F)
    by (meson IntI NF-I T_G-funas-gterm-conv gta-lang-nf-ta-funas inf.cobounded1
mem subset-iff)}
  moreover
  {fix s assume ass: s ∈ NF (Restr (grstep (fset R)) (T_G (fset F))) ∩ T_G (fset F)
    then have *: (term-of-gterm s, term-of-gterm t) ∈ rstep (fset R) for t using
      funas
      by (auto simp: funas-trs-def grstep-def NF-iff-no-step T_G-funas-gterm-conv)
        (meson R1NF-reps funas rstep.cases)
    then have s ∈ L (nf-reg (lhss R) F) using fl ass
      using ta-nf-L-complete[OF fl, of - F] gta-lang-nf-ta-funas[of - lhss R F]
      by (smt (verit, ccfv-SIG) IntE R1NF-reps T_G-sound fimageE funas surjec-
tive-pairing)}
    ultimately have L (nf-reg (lhss R) F) = NF (Restr (grstep (fset R)) (T_G
(fset F))) ∩ T_G (fset F)
    by blast
    then show ?thesis using fl(1)
    by (simp add: RR1-spec-def is-to-trs-conv)
qed auto
next
  case (R1Inf r)
  consider (a) ∃ A. rr2-of-rr2-rel F Rs r = Some A | (b) rr2-of-rr2-rel F Rs r
= None by auto
  then show ?case
  proof cases
    case a
    have [simp]: {u. (t, u) ∈ eval-rr2-rel ?F ?Rs r ∧ funas-gterm u ⊆ ?F} =
      {u. (t, u) ∈ eval-rr2-rel ?F ?Rs r} for t
      using eval-rr12-rel-sig(2)[of ?F ?Rs r] by (auto simp: T_G-equivalent-def)
    have [simp]: infinite {u. (t, u) ∈ eval-rr2-rel ?F ?Rs r} ⟹ funas-gterm t ⊆
      ?F for t
      using eval-rr12-rel-sig(2)[of ?F ?Rs r] not-finite-existsD by (fastforce simp:
      T_G-equivalent-def)
    from a obtain A where [simp]: rr2-of-rr2-rel F Rs r = Some A by blast
    from R1Inf this have spec: RR2-spec A (eval-rr2-rel ?F ?Rs r) by auto
    then have spec-trim: RR2-spec (trim-reg A) (eval-rr2-rel ?F ?Rs r) by auto
    let ?B = (Inf-reg (trim-reg A) (Q-infty (ta (trim-reg A)) F))
    have B: RR2-spec ?B {(s, t) | s t. gpair s t ∈ L ?B}
      using subset-trans[OF Inf-automata-subseteq[of trim-reg A F], of L A] spec
      by (auto simp: RR2-spec-def L-trim)
    have *: L (Inf-reg-impl (trim-reg A)) = L ?B using spec
      using eval-rr12-rel-sig(2)[of ?F ?Rs r]
      by (intro Inf-reg-impl-sound) (auto simp: L-trim RR2-spec-def T_G-equivalent-def)
    then have **: RR2-spec (Inf-reg-impl (trim-reg A)) {(s, t) | s t. gpair s t ∈ L
      ?B} using B
      by (auto simp: RR2-spec-def)
    show ?thesis
  qed

```

```

using spec eval-rr12-rel-sig(2)[of ?F ?Rs r]
using L-Inf-reg[OF spec-trim, of F]
by (auto simp: T_G-equivalent-def * RR1-spec-def L-trim L-proj(1)[OF **]
      Inf-branching-terms-def fImage-singleton)
(metis (no-types, lifting) SigmaD1 in-mono mem-Collect-eq not-finite-existsD)
qed auto
next
case (R1Proj i r)
then show ?case
proof (cases i)
  case [simp]:0 show ?thesis using R1Proj
    using proj-automaton-gta-lang(1)[of the (rr2-of-rr2-rel F Rs r) eval-rr2-rel
?F ?Rs r]
    by simp
next
  case (Suc nat) then show ?thesis using R1Proj
    using proj-automaton-gta-lang(2)[of the (rr2-of-rr2-rel F Rs r) eval-rr2-rel
?F ?Rs r]
    by simp
qed
next
case (R1Union s1 s2)
then show ?case
by (auto simp: RR1-spec-def L-union)
next
case (R1Inter s1 s2)
from R1Inter show ?case
by (auto simp: L-intersect RR1-spec-def)
next
case (R1Diff s1 s2)
then show ?case
by (auto intro: RR1-difference)
next
case (R2GTT-Rel g w x)
note ass = R2GTT-Rel
consider (a) ∃ A. gtt-of-gtt-rel F Rs g = Some A | (b) gtt-of-gtt-rel F Rs g =
None by blast
then show ?case
proof cases
  case a then obtain A where [simp]: gtt-of-gtt-rel F Rs g = Some A by blast
  from gtt-of-gtt-rel-correct[OF assms this]
  have spec [simp]: eval-gtt-rel ?F ?Rs g = agtt-lang A by auto
  let ?B = GTT-to-RR2-root-reg A note [simp] = GTT-to-RR2-root[of A]
  show ?thesis
  proof (cases w)
    case [simp]: PRoot show ?thesis
    proof (cases x)
      case EParallel
      then show ?thesis using reflcl-automaton[of ?B agtt-lang A F]
    qed
  qed
qed

```

```

    by auto
qed (auto simp: GTT-to-RR2-root)
next
case PNonRoot
then show ?thesis
  using nhole-ctxt-closure-automaton[of ?B agtt-lang A F]
  using nhole-mctxt-reflcl-automaton[of ?B agtt-lang A F]
  using nhole-mctxt-closure-automaton[of ?B agtt-lang A F]
  by (cases x) auto
next
case PAny
then show ?thesis
  using ctxt-closure-automaton[of ?B agtt-lang A F]
  using parallel-closure-automaton[of ?B agtt-lang A F]
  using mctxt-closure-automaton[of ?B agtt-lang A F]
  by (cases x) auto
qed
qed (cases w; cases x, auto)
next
case (R2Diag s)
then show ?case
  by (auto simp: RR2-spec-def RR1-spec-def fmap-funs-L Id-on-iff
      fmap-funs-gta-lang map-funs-term-some-gpair)
next
case (R2Prod s1 s2)
then show ?case using pair-automaton[of the (rr1-of-rr1-rel F Rs s1) - the
(rr1-of-rr1-rel F Rs s2)]
by auto
next
case (R2Inv r)
show ?case using R2Inv by (auto simp: swap-RR2-spec)
next
case (R2Union r1 r2)
then show ?case using union-automaton
  by (auto simp: RR2-spec-def L-union)
next
case (R2Inter r1 r2)
then show ?case
  by (auto simp: L-intersect RR2-spec-def)
next
case (R2Diff r1 r2)
then show ?case by (auto intro: RR2-difference)
next
case (R2Comp r1 r2)
then show ?case using eval-rr12-rel-sig
  by (auto intro!: rr2-compositon) blast+
}
qed

```

13.4 Misc

```

lemma eval-formula-arity-cong:
  assumes  $\bigwedge i. i < \text{formula-arity } f \implies \alpha' i = \alpha i$ 
  shows eval-formula  $\mathcal{F} R s \alpha' f = \text{eval-formula } \mathcal{F} R s \alpha f$ 
proof -
  have [simp]:  $j < \text{length } fs \implies i < \text{formula-arity } (fs ! j) \implies i < \text{max-list } (\text{map formula-arity } fs)$  for  $i j fs$ 
    by (simp add: less-le-trans max-list)
  show ?thesis using assms
  proof (induct f arbitrary:  $\alpha \alpha'$ )
    case (FAnd fs)
      show ?case using FAnd(1)[OF nth-mem, of -  $\alpha' \alpha$ ] FAnd(2) by (auto simp: all-set-conv-all-nth)
    next
      case (FOr fs)
        show ?case using FOr(1)[OF nth-mem, of -  $\alpha' \alpha$ ] FOr(2) by (auto simp: ex-set-conv-ex-nth)
    next
      case (FNot f)
        show ?case using FNot(1)[of  $\alpha' \alpha$ ] FNot(2) by simp
    next
      case (FExists f)
        show ?case using FExists(1)[of  $\alpha' \langle 0 : z \rangle \alpha \langle 0 : z \rangle$  for z] FExists(2) by (auto simp: shift-def)
    next
      case (FForall f)
        show ?case using FForall(1)[of  $\alpha' \langle 0 : z \rangle \alpha \langle 0 : z \rangle$  for z] FForall(2) by (auto simp: shift-def)
    qed simp-all
qed

```

13.5 Connect semantics to FOL-Fitting

```

primrec form-of-formula :: 'trs formula ⇒ (unit, 'trs rr1-rel + 'trs rr2-rel) form
where
  form-of-formula (FRR1 r1 x) = Pred (Inl r1) [Var x]
  | form-of-formula (FRR2 r2 x y) = Pred (Inr r2) [Var x, Var y]
  | form-of-formula (FAnd fs) = foldr And (map form-of-formula fs) TT
  | form-of-formula (FOr fs) = foldr Or (map form-of-formula fs) FF
  | form-of-formula (FNot f) = Neg (form-of-formula f)
  | form-of-formula (FExists f) = Exists (And (Pred (Inl R1Terms) [Var 0]) (form-of-formula f))
  | form-of-formula (FForall f) = Forall (Impl (Pred (Inl R1Terms) [Var 0]) (form-of-formula f))

```

```

fun for-eval-rel :: ('f × nat) set ⇒ ('f, 'v) trs list ⇒ ftrs rr1-rel + ftrs rr2-rel ⇒
  'f gterm list ⇒ bool where
  for-eval-rel  $\mathcal{F} R s$  (Inl r1) [t] ↔ t ∈ eval-rr1-rel  $\mathcal{F} R s r1$ 

```

| for-eval-rel \mathcal{F} Rs ($Inr\ r2$) $[t, u] \longleftrightarrow (t, u) \in eval\text{-}rr2\text{-rel } \mathcal{F}$ Rs $r2$

lemma eval-formula-conv:
 $eval\text{-formula } \mathcal{F}$ Rs $\alpha\ f = eval\ \alpha\ undefined$ (for-eval-rel \mathcal{F} Rs) (form-of-formula f)
proof (induct f arbitrary: α)
 case ($FAnd\ fs$) **then show** ?case
 unfolding eval-formula.simps by (induct fs) auto
 next
 case ($For\ fs$) **then show** ?case
 unfolding eval-formula.simps by (induct fs) auto
qed auto

13.6 RRn relations and formulas

lemma shift-rangeI [intro!]:
 $range\ \alpha \subseteq T \implies x \in T \implies range\ (shift\ \alpha\ i\ x) \subseteq T$
 by (auto simp: shift-def)

definition formula-relevant **where**
 $formula\text{-relevant } \mathcal{F}$ Rs $vs\ fm \longleftrightarrow$
 $(\forall \alpha\ \alpha'. range\ \alpha \subseteq \mathcal{T}_G\ \mathcal{F} \longrightarrow range\ \alpha' \subseteq \mathcal{T}_G\ \mathcal{F} \longrightarrow map\ \alpha\ vs = map\ \alpha'\ vs \longrightarrow eval\text{-formula } \mathcal{F}$ Rs $\alpha\ fm \longrightarrow eval\text{-formula } \mathcal{F}$ Rs $\alpha'\ fm)$

lemma formula-relevant-mono:
 $set\ vs \subseteq set\ ws \implies formula\text{-relevant } \mathcal{F}$ Rs $vs\ fm \implies formula\text{-relevant } \mathcal{F}$ Rs $ws\ fm$
 unfolding formula-relevant-def
 by (meson map-eq-conv subset-code(1))

lemma formula-relevantD:
 $formula\text{-relevant } \mathcal{F}$ Rs $vs\ fm \implies$
 $range\ \alpha \subseteq \mathcal{T}_G\ \mathcal{F} \implies range\ \alpha' \subseteq \mathcal{T}_G\ \mathcal{F} \implies map\ \alpha\ vs = map\ \alpha'\ vs \implies$
 $eval\text{-formula } \mathcal{F}$ Rs $\alpha\ fm \implies eval\text{-formula } \mathcal{F}$ Rs $\alpha'\ fm$
 unfolding formula-relevant-def
 by blast

lemma trivial-formula-relevant:
assumes $\bigwedge \alpha. range\ \alpha \subseteq \mathcal{T}_G\ \mathcal{F} \implies \neg eval\text{-formula } \mathcal{F}$ Rs $\alpha\ fm$
shows formula-relevant \mathcal{F} Rs $vs\ fm$
using assms **unfolding** formula-relevant-def
by auto

lemma formula-relevant-0-FExists:
assumes formula-relevant \mathcal{F} Rs [0] fm
shows formula-relevant \mathcal{F} Rs [] ($FExists\ fm$)
unfolding formula-relevant-def
proof (intro allI, intro impI)
 fix $\alpha\ \alpha'$ **assume** ass: $range\ \alpha \subseteq \mathcal{T}_G\ \mathcal{F}$ $range\ (\alpha' :: fvar \Rightarrow 'a\ gterm) \subseteq \mathcal{T}_G\ \mathcal{F}$

```

eval-formula  $\mathcal{F}$  Rs  $\alpha$  ( $F\text{Exists } fm$ )
from ass(3) obtain  $z$  where  $z \in \mathcal{T}_G \mathcal{F}$  eval-formula  $\mathcal{F}$  Rs  $(\alpha\langle 0 : z \rangle)$  fm
  by auto
then show eval-formula  $\mathcal{F}$  Rs  $\alpha'$  ( $F\text{Exists } fm$ )
  using ass(1, 2) formula-relevantD[ $OF$  assms, of  $\alpha\langle 0:z \rangle \alpha'\langle 0:z \rangle$ ]
  by (auto simp: shift-rangeI intro!: exI[of - z])
qed

definition formula-spec where
  formula-spec  $\mathcal{F}$  Rs  $vs A$  fm  $\longleftrightarrow$  sorted  $vs \wedge distinct vs \wedge$ 
  formula-relevant  $\mathcal{F}$  Rs  $vs fm \wedge$ 
  RRn-spec (length  $vs$ )  $A$  {map  $\alpha$   $vs$  | $\alpha$ . range  $\alpha \subseteq \mathcal{T}_G \mathcal{F} \wedge eval-formula \mathcal{F}$  Rs  $\alpha$  fm}

lemma formula-spec-RRn-spec:
  formula-spec  $\mathcal{F}$  Rs  $vs A$  fm  $\implies$  RRn-spec (length  $vs$ )  $A$  {map  $\alpha$   $vs$  | $\alpha$ . range  $\alpha \subseteq \mathcal{T}_G \mathcal{F} \wedge eval-formula \mathcal{F}$  Rs  $\alpha$  fm}
  by (simp add: formula-spec-def)

lemma formula-spec-nt-empty-form-sat:
   $\neg reg-empty A \implies formula-spec \mathcal{F}$  Rs  $vs A$  fm  $\implies \exists \alpha. range \alpha \subseteq \mathcal{T}_G \mathcal{F} \wedge eval-formula \mathcal{F}$  Rs  $\alpha$  fm
  unfolding formula-spec-def
  by (auto simp: RRn-spec-def L-def)

lemma formula-spec-empty:
  reg-empty  $A \implies formula-spec \mathcal{F}$  Rs  $vs A$  fm  $\implies range \alpha \subseteq \mathcal{T}_G \mathcal{F} \implies eval-formula \mathcal{F}$  Rs  $\alpha$  fm  $\longleftrightarrow False$ 
  unfolding formula-spec-def
  by (auto simp: RRn-spec-def L-def)

In each inference step, we obtain a triple consisting of a formula  $fm$ , a list of relevant variables  $vs$  (typically a sublist of  $[0..<formula-arity fm]$ ), and an RRn automaton  $A$ , such that the property  $formula-spec \mathcal{F}$  Rs  $vs A$   $fm$  holds.

lemma false-formula-spec:
  sorted  $vs \implies distinct vs \implies formula-spec \mathcal{F}$  Rs  $vs empty-reg FFalse$ 
  by (auto simp: formula-spec-def false-RRn-spec FFalse-def formula-relevant-def)

lemma true-formula-spec:
  assumes  $vs \neq [] \vee \mathcal{T}_G (fset \mathcal{F}) \neq []$  sorted  $vs \wedge distinct vs$ 
  shows formula-spec (fset  $\mathcal{F}$ ) Rs  $vs$  (true-RRn  $\mathcal{F}$  (length  $vs$ )) FTrue
proof -
  have { $ts. length ts = length vs \wedge set ts \subseteq \mathcal{T}_G (fset \mathcal{F})\} = \{map \alpha vs | \alpha. range \alpha \subseteq \mathcal{T}_G (fset \mathcal{F})\}$ 
  proof (intro equalityI subsetI CollectI, goal-cases LR RL)
    case (LR ts)
    moreover obtain t0 where funas-gterm t0  $\subseteq fset \mathcal{F}$  using LR assms(1)
    unfolding  $\mathcal{T}_G$ -equivalent-def

```

```

by (cases vs) fastforce+
ultimately show ?case using <distinct vs>
  apply (intro exI[of - λt. if t ∈ set vs then ts ! inv-into {0..<length vs} ((!) vs) t else t0])
    apply (auto intro!: nth-equalityI dest!: inj-on-nth[of vs {0..<length vs}] simp:
    in-set-conv-nth  $\mathcal{T}_G$ -equivalent-def)
      by (metis inv-to-set mem-Collect-eq subsetD)
    qed fastforce
  then show ?thesis using assms true-RRn-spec[of length vs  $\mathcal{F}$ ]
    by (auto simp: formula-spec-def FTrue-def formula-relevant-def  $\mathcal{T}_G$ -equivalent-def)
qed

lemma relabel-formula-spec:
  formula-spec  $\mathcal{F}$  Rs vs A fm  $\implies$  formula-spec  $\mathcal{F}$  Rs vs (relabel-reg A) fm
  by (simp add: formula-spec-def)

lemma trim-formula-spec:
  formula-spec  $\mathcal{F}$  Rs vs A fm  $\implies$  formula-spec  $\mathcal{F}$  Rs vs (trim-reg A) fm
  by (simp add: formula-spec-def)

definition fit-permute :: nat list  $\Rightarrow$  nat list  $\Rightarrow$  nat list  $\Rightarrow$  nat list where
  fit-permute vs vs' vs'' = map (λv. if v ∈ set vs then the (mem-idx v vs) else length vs + the (mem-idx v vs'')) vs'

definition fit-rrn :: ('f × nat) fset  $\Rightarrow$  nat list  $\Rightarrow$  nat list  $\Rightarrow$  (nat, 'f option list)
reg  $\Rightarrow$  (-, 'f option list) reg where
  fit-rrn  $\mathcal{F}$  vs vs' A = (let vs'' = subtract-list-sorted vs' vs in
    fmap-funs-reg (λfs. map ((!) fs) (fit-permute vs vs' vs''))
    (fmap-funs-reg (pad-with-Nones (length vs) (length vs'')) (pair-automaton-reg
      A (true-RRn  $\mathcal{F}$  (length vs'')))))
A (true-RRn  $\mathcal{F}$  (length vs''))))

lemma the-mem-idx-simp [simp]:
  distinct xs  $\implies$  i < length xs  $\implies$  the (mem-idx (xs ! i) xs) = i
  using mem-idx-sound[THEN iffD1, OF nth-mem, of i xs] mem-idx-sound-output[of
  xs ! i xs] distinct-conv-nth
  by fastforce

lemma fit-rrn:
  assumes spec: formula-spec (fset  $\mathcal{F}$ ) Rs vs A fm and vs: sorted vs' distinct vs'
  set vs ⊆ set vs'
  shows formula-spec (fset  $\mathcal{F}$ ) Rs vs' (fit-rrn  $\mathcal{F}$  vs vs' A) fm
  using spec unfolding formula-spec-def formula-relevant-def
  apply (elim conjE)
proof (intro conjI vs(1,2) allI, goal-cases rel spec)
  case (rel α α') show ?case using vs(3)
    by (fastforce intro!: rel(3)[rule-format, of α α'])
next
  case spec
  define vs'' where vs'' = subtract-list-sorted vs' vs

```

```

have evalI: range  $\alpha \subseteq \mathcal{T}_G$  ( $fset \mathcal{F}$ )  $\implies$  range  $\alpha' \subseteq \mathcal{T}_G$  ( $fset \mathcal{F}$ )  $\implies$  map  $\alpha$  vs
= map  $\alpha'$  vs
 $\implies$  eval-formula ( $fset \mathcal{F}$ )  $Rs \alpha fm \implies eval-formula$  ( $fset \mathcal{F}$ )  $Rs \alpha' fm$  for  $\alpha \alpha'$ 
using spec(3) by blast
have [simp]: set vs' = set vs  $\cup$  set vs'' set vs''  $\cap$  set vs = {} set vs  $\cap$  set vs'' =
{} and d: distinct vs''
using vs spec(1,2) by (auto simp: vs''-def)
then have [dest]:  $v \in$  set vs''  $\implies v \in$  set vs  $\implies False$  for v by blast
note * = permute-automaton[OF append-automaton[OF spec(4) true-RRn-spec,
of length vs'']]
have [simp]: distinct vs  $\implies i \in$  set vs  $\implies$  vs ! the (mem-idx i vs) = (i :: nat)
for vs i
by (simp add: mem-idx-sound mem-idx-sound-output)
have [dest]: distinct vs  $\implies i \in$  set vs  $\implies \neg$  the (mem-idx i vs) < length vs  $\implies$ 
False for i
by (meson mem-idx-sound2 mem-idx-sound-output option.exhaustsel)
show ?case unfolding fit-rrn-def Let-def vs''-def[symmetric]  $\mathcal{T}_G$ -equivalent-def
apply (rule subst[where P =  $\lambda l. RRn\text{-spec } l \dashv$ , OF - subst[where P =  $\lambda ta.$ 
RRn-spec - ta, OF - *]])
subgoal by (simp add: fit-permute-def)
subgoal
apply (intro equalityI subsetI CollectI imageI; elim imageE CollectE exE
conjE; unfold  $\mathcal{T}_G$ -equivalent-def)
subgoal for x fs ts us  $\alpha$ 
using spec(1, 2) d
apply (intro exI[of -  $\lambda v. if v \in$  set vs''  $then us ! the$  (mem-idx v vs'')  $else$ 
 $\alpha v]$ )
apply (auto simp: fit-permute-def nth-append  $\mathcal{T}_G$ -equivalent-def
intro!: nth-equalityI evalI[of  $\alpha \lambda v. if v \in$  set vs''  $then us ! the$ 
 $(mem-idx v vs'')$   $else \alpha v]$ )
apply (metis distinct-Ex1 in-mono mem-Collect-eq nth-mem the-mem-idx-simp)
apply (metis distinct-Ex1 in-mono mem-Collect-eq nth-mem the-mem-idx-simp)
apply blast
by (meson  $\langle \wedge va. [va \in$  set vs''; va  $\in$  set vs]  $\implies False \rangle$  nth-mem)
subgoal premises p for xs  $\alpha$ 
apply (intro rev-image-eqI[of map  $\alpha$  (vs @ vs'')])
subgoal using p by (force intro!: exI[of - map  $\alpha$  vs, OF exI[of - map  $\alpha$ 
vs'']])
subgoal using p(1)
by (force intro!: nth-equalityI simp: fit-permute-def comp-def nth-append
dest: iffD1[OF mem-idx-sound] mem-idx-sound-output)
done
done
subgoal using vs spec(1,2) unfolding fit-permute-def
apply (intro equalityI subsetI)
subgoal by (auto 0 3 dest: iffD1[OF mem-idx-sound] mem-idx-sound-output)
subgoal for x
apply (simp add: Compl-eq[symmetric] Diff-eq[symmetric] Un-Diff Diff-triv
Int-absorb1)

```

```

apply (simp add: nth-image[symmetric, of length xs xs for xs, simplified]
image-iff comp-def)
  using image-cong[OF refl arg-cong[OF the-mem-idx-simp]] <distinct vs''>
  by (smt (verit) add-diff-inverse-nat add-less-cancel-left atLeast0LessThan
lessThan-iff the-mem-idx-simp)
done
done
qed

definition fit-rrns :: ('f × nat) fset ⇒ (ftrs formula × nat list × (nat, 'f option
list) reg) list ⇒
nat list × ((nat, 'f option list) reg) list where
fit-rrns F rrns = (let vs' = fold union-list-sorted (map (fst ∘ snd) rrns) [] in
(vs', map (λ(fm, vs, ta). relabel-reg (trim-reg (fit-rrn F vs vs' ta))) rrns))

lemma sorted-union-list-sortedI [simp]:
sorted xs ⇒ sorted ys ⇒ sorted (union-list-sorted xs ys)
by (induct xs ys rule: union-list-sorted.induct) auto

lemma distinct-union-list-sortedI [simp]:
sorted xs ⇒ sorted ys ⇒ distinct xs ⇒ distinct ys ⇒ distinct (union-list-sorted
xs ys)
by (induct xs ys rule: union-list-sorted.induct) auto

lemma fit-rrns:
assumes infs: ⋀fvA. fvA ∈ set rrns ⇒ formula-spec (fset F) Rs (fst (snd fvA))
(snd (snd fvA)) (fst fvA)
assumes (vs', tas') = fit-rrns F rrns
shows length tas' = length rrns ∧ i. i < length rrns ⇒ formula-spec (fset F)
Rs vs' (tas' ! i) (fst (rrns ! i))
distinct vs' sorted vs'
proof (goal-cases)
have vs': vs' = fold union-list-sorted (map (fst ∘ snd) rrns) [] using assms(2)
by (simp add: fit-rrns-def Let-def)
have *: sorted vs' distinct vs' ⋀ fvA. fvA ∈ set rrns ⇒ set (fst (snd fvA)) ⊆ set
vs'
using infs[unfolded formula-spec-def, THEN conjunct2, THEN conjunct1]
infs[unfolded formula-spec-def, THEN conjunct1]
unfolding vs' by (induct rrns rule: rev-induct) auto
{
  case 1 then show ?case using assms(2) by (simp add: fit-rrns-def Let-def)
next
  case (2 i)
  have tas': tas' ! i = relabel-reg (trim-reg (fit-rrn F (fst (snd (rrns ! i)))) vs' (snd
(snd (rrns ! i))))))
  using 2 assms(2) by (simp add: fit-rrns-def Let-def split: prod.splits)
from *(1,2) *(3)[OF nth-mem] show ?case using 2 unfolding tas'
  by (auto intro!: relabel-formula-spec trim-formula-spec fit-rrn 2 assms(1,2))
next

```

```

case 3 show ?case by (rule *)
next
  case 4 show ?case by (rule *)
}
qed

```

13.7 Building blocks

definition *for-rrn* **where**

$$\text{for-rrn } \text{tas} = \text{fold } (\lambda A\ B. \text{relabel-reg } (\text{reg-union } A\ B))\ \text{tas}\ (\text{Reg } \{\|\})\ (\text{TA } \{\|\})\ (\{\|\})$$

lemma *for-rrn*:

assumes $\text{length } \text{tas} = \text{length } \text{fs} \wedge i < \text{length } \text{fs} \implies \text{formula-spec } \mathcal{F} \text{ Rs vs } (\text{tas} ! i) (fs ! i)$

and *vs*: sorted *vs* distinct *vs*

shows $\text{formula-spec } \mathcal{F} \text{ Rs vs } (\text{for-rrn } \text{tas}) (\text{For } \text{fs})$

using *assms(1,2)* **unfolding** *for-rrn-def*

proof (*induct* *fs* *arbitrary*: *tas* *rule*: *rev-induct*)

case *Nil* **then** **show** ?case **using** *vs* *false-formula-spec*[*of* *vs* \mathcal{F} *Rs*] **by** (*auto* *simp*: *FFalse-def*)

next

case (*snoc fm fs*)

have *: $Bex (\text{set } [x]) P = P x$ **for** *P x* **by** *auto*

have [intro!]: $\text{formula-spec } \mathcal{F} \text{ Rs vs } (\text{reg-union } A\ B) (\text{For } (fs @ [fm]))$ **if** $\text{formula-spec } \mathcal{F} \text{ Rs vs } A \text{ fm formula-spec } \mathcal{F} \text{ Rs vs } B (\text{For } fs)$ **for** *A B* **using** *that*

unfolding *formula-spec-def*

apply (*intro conjI, blast, blast*)

subgoal unfolding *formula-relevant-def eval-formula.simps set-append bex-Un*

* **by** *blast*

apply (*elim conjE*)

subgoal premises *p* **by** (*rule subst*[*of* - - *RRn-spec* - -, *OF* - *union-automaton*[*OF p(6,8)*]]) *auto*

done

show ?case **using** *snoc(1)[of take (length fs) tas] snoc(2) snoc(3)[simplified, OF less-SucI] snoc(3)[of length fs] vs*

by (*cases tas rule: rev-exhaust*) (*auto simp: min-def nth-append intro!: relabel-formula-spec*)

qed

fun *fand-rrn* **where**

fand-rrn $\mathcal{F} n [] = \text{true-RRn } \mathcal{F} n$

| *fand-rrn* $\mathcal{F} n (A \# \text{tas}) = \text{fold } (\lambda A\ B. \text{simplify-reg } (\text{reg-intersect } A\ B))\ \text{tas}\ A$

lemma *fand-rrn*:

assumes $\mathcal{T}_G (\text{fset } \mathcal{F}) \neq \{\} \text{ length } \text{tas} = \text{length } \text{fs} \wedge i < \text{length } \text{fs} \implies \text{formula-spec } (\text{fset } \mathcal{F}) \text{ Rs vs } (\text{tas} ! i) (fs ! i)$

and *vs*: sorted *vs* distinct *vs*

```

shows formula-spec (fset F) Rs vs (fand-rrn F (length vs) tas) (FAnd fs)
proof (cases fs)
  case Nil
    have tas = [] using assms(2) by (auto simp: Nil)
    then show ?thesis using true-formula-spec[OF - vs, of F Rs] assms(1) Nil
      by (simp add: FTrue-def)
next
  case (Cons fm fs)
    obtain ta tas' where tas: tas = ta # tas' using assms(2) Cons by (cases tas)
    auto
    show ?thesis using assms(2) assms(3)[of Suc -] unfolding tas Cons
      unfolding list.size add-Suc-right add-0-right nat.inject Suc-less-eq nth-Cons-Suc
      fand-rrn.simps
    proof (induct fs arbitrary: tas' rule: rev-induct)
      case Nil
        have formula-relevant (fset F) Rs vs (FAnd [fm]) using assms(3)[of 0]
          apply (simp add: tas Cons formula-spec-def)
          unfolding formula-relevant-def eval-formula.simps in-set-simps by blast
          then show ?case using assms(3)[of 0, unfolded tas Cons, simplified] Nil by
            (simp add: formula-spec-def)
      next
        case (snoc fm' fs)
          have *: Ball (insert x X) P  $\longleftrightarrow$  P x  $\wedge$  Ball X P for P x X by auto
          have [intro!]: formula-spec (fset F) Rs vs (reg-intersect A B) (FAnd (fm # fs
            @ [fm'])) if
            formula-spec (fset F) Rs vs A fm' formula-spec (fset F) Rs vs B (FAnd (fm
            # fs)) for A B using that
            unfolding formula-spec-def
            apply (intro conjI, blast, blast)
            subgoal unfolding formula-relevant-def eval-formula.simps set-append set-simps
              ball-simps ball-Un in-set-simps *
                by blast
            apply (elim conjE)
            subgoal premises p
              by (rule subst[of -- RRn-spec --, OF - intersect-automaton[OF p(6,8)]])
                (auto dest: p(5)[unfolded formula-relevant-def, rule-format])
            done
            show ?case using snoc(1)[of take (length fs) tas'] snoc(2) snoc(3)[simplified,
              OF less-SucI] snoc(3)[of length fs] vs
              by (cases tas' rule: rev-exhaust) (auto simp: min-def nth-append simplify-reg-def
                intro!: relabel-formula-spec trim-formula-spec)
            qed
    qed

```

13.7.1 IExists inference rule

lemma lift-fun-gpairD:
 $\text{map-gterm lift-fun } s = \text{gpair } t u \implies t = s$
 $\text{map-gterm lift-fun } s = \text{gpair } t u \implies u = s$

```

by (metis gfst-gpair gsnd-gpair map-funs-term-some-gpair)+

definition upd-bruijn :: nat list ⇒ nat list where
  upd-bruijn vs = tl (map (λ x. x - 1) vs)

lemma upd-bruijn-length[simp]:
  length (upd-bruijn vs) = length vs - 1
  by (induct vs) (auto simp: upd-bruijn-def)

lemma pres-sorted-dec:
  sorted xs ⟹ sorted (map (λx. x - Suc 0) xs)
  by (induct xs) auto

lemma upd-bruijn-pres-sorted:
  sorted xs ⟹ sorted (upd-bruijn xs)
  unfolding upd-bruijn-def
  by (intro sorted-tl) (auto simp: pres-sorted-dec)

lemma pres-distinct-not-0-list-dec:
  distinct xs ⟹ 0 ∉ set xs ⟹ distinct (map (λx. x - Suc 0) xs)
  by (induct xs) (auto, metis Suc-pred neq0-conv)

lemma upd-bruijn-pres-distinct:
  assumes sorted xs distinct xs
  shows distinct (upd-bruijn xs)
proof -
  have sorted (ys :: nat list) ⟹ distinct ys ⟹ 0 ∉ set (tl ys) for ys
  by (induct ys) auto
  from this[OF assms] show ?thesis using assms(2)
  using pres-distinct-not-0-list-dec[OF distinct-tl, OF assms(2)]
  unfolding upd-bruijn-def
  by (simp add: map-tl)
qed

lemma upd-bruijn-relevant-inv:
  assumes sorted vs distinct vs 0 ∈ set vs
  and ⋀ x. x ∈ set (upd-bruijn vs) ⟹ α x = α' x
  shows ⋀ x. x ∈ set vs ⟹ (shift α 0 z) x = (shift α' 0 z) x
  using assms unfolding upd-bruijn-def
  by (induct vs) (auto simp add: FOL-Fitting.shift-def)

lemma ExistsI-upd-bruijn-0:
  assumes sorted vs distinct vs 0 ∈ set vs formula-relevant ℬ Rs vs fm
  shows formula-relevant ℬ Rs (upd-bruijn vs) (FExists fm)
  unfolding formula-relevant-def
  proof (intro allI, intro impI)
    fix α α' assume ass: range α ⊆ ℬ_G ℬ range (α' :: fvar ⇒ 'a gterm) ⊆ ℬ_G ℬ
    map α (upd-bruijn vs) = map α' (upd-bruijn vs) eval-formula ℬ Rs α (FExists fm)
  
```

```

from ass(4) obtain z where z ∈ T_G F eval-formula F Rs (α⟨0 : z⟩) fm
  by auto
then show eval-formula F Rs α' (FExists fm)
  using ass(1 – 3) formula-relevantD[OF assms(4), of α⟨0:z⟩ α'⟨0:z⟩]
  using upd-bruijn-relevant-inv[OF assms(1 – 3), of α α']
  by (auto simp: shift-rangeI intro!: exI[of - z])
qed

declare subsetI[rule del]
lemma ExistsI-upd-bruijn-no-0:
  assumes 0 ∉ set vs and formula-relevant F Rs vs fm
  shows formula-relevant F Rs (map (λx. x – Suc 0) vs) (FExists fm)
  unfolding formula-relevant-def
proof ((intro allI)+ , (intro impI)+, unfold eval-formula.simps)
  fix α α' assume st: range α ⊆ T_G F range α' ⊆ T_G F
  map α (map (λx. x – Suc 0) vs) = map α' (map (λx. x – Suc 0) vs)
  ∃ z ∈ T_G F. eval-formula F Rs (shift α 0 z) fm
  then obtain z where w: z ∈ T_G F eval-formula F Rs (shift α 0 z) fm by auto
  from this(1) have eval-formula F Rs (shift α' 0 z) fm
    using st(1 – 3) assms(1) FOL-Fitting.shift-def
    apply (intro formula-relevantD[OF assms(2) -- w(2), of shift α' 0 z])
    by auto (simp add: FOL-Fitting.shift-def)
  then show ∃ z ∈ T_G F. eval-formula F Rs (shift α' 0 z) fm using w(1)
    by blast
qed

definition shift-right where
  shift-right α ≡ λ i. α (i + 1)

lemma shift-right-nt-0:
  i ≠ 0 ⟹ α i = shift-right α (i – Suc 0)
  unfolding shift-right-def
  by auto

lemma shift-shift-right-id [simp]:
  shift (shift-right α) 0 (α 0) = α
  by (auto simp: shift-def shift-right-def)

lemma shift-right-rangeI [intro]:
  range α ⊆ T ⟹ range (shift-right α) ⊆ T
  by (auto simp: shift-right-def intro: subsetI)

lemma eval-formula-shift-right-eval:
  eval-formula F Rs α fm ⟹ eval-formula F Rs (shift (shift-right α) 0 (α 0)) fm
  eval-formula F Rs (shift (shift-right α) 0 (α 0)) fm ⟹ eval-formula F Rs α fm
  by (auto)
declare subsetI[intro!]

lemma nt-rel-0-trivial-shift:

```

```

assumes 0 ∉ set vs
shows {map α vs |α. range α ⊆ T_G F ∧ eval-formula F Rs α fm} =
  {map (λx. α (x - Suc 0)) vs |α. range α ⊆ T_G F ∧ (∃z ∈ T_G F.
eval-formula F Rs (α⟨0:z⟩) fm)}
  (is ?Ls = ?Rs)
proof
{fix α assume ass: range α ⊆ T_G F eval-formula F Rs α fm
  then have map α vs = map (λx. (shift-right α) (x - Suc 0)) vs
    range (shift-right α) ⊆ T_G F α 0 ∈ T_G F eval-formula F Rs (shift (shift-right
α) 0 (α 0)) fm
    using shift-right-rangeI[OF ass(1)] assms
    by (auto intro: eval-formula-shift-right-eval(1), metis shift-right-nt-0)}
then show ?Ls ⊆ ?Rs
  by blast
next
show ?Rs ⊆ ?Ls
  by auto (metis FOL-Fitting.shift-def One-nat-def assms not-less0 shift-rangeI)
qed

lemma relevant-vars-upd-bruijn-tl:
assumes sorted vs distinct vs
shows map (shift-right α) (upd-bruijn vs) = tl (map α vs) using assms
proof (induct vs)
case (Cons a vs) then show ?case
  using le-antisym
  by (auto simp: upd-bruijn-def shift-right-def)
    (metis One-nat-def Suc-eq-plus1 le-0-eq shift-right-def shift-right-nt-0)
qed (auto simp: upd-bruijn-def)

lemma drop-upd-bruijn-set:
assumes sorted vs distinct vs
shows drop 1 ` {map α vs |α. range α ⊆ T_G F ∧ eval-formula F Rs α fm} =
  {map α (upd-bruijn vs) |α. range α ⊆ T_G F ∧ (∃z ∈ T_G F. eval-formula F
Rs (α⟨0:z⟩) fm)}
  (is ?Ls = ?Rs)
proof
{fix α assume ass: range α ⊆ T_G F eval-formula F Rs α fm
  then have drop 1 (map α vs) = map (shift-right α) (upd-bruijn vs)
    range (shift-right α) ⊆ T_G F α 0 ∈ T_G F eval-formula F Rs (shift (shift-right
α) 0 (α 0)) fm
    using shift-right-rangeI[OF ass(1)]
    by (auto simp: tl-drop-conv relevant-vars-upd-bruijn-tl[OF assms(1, 2)])}
then show ?Ls ⊆ ?Rs
  by blast
next
have [dest]: 0 ∈ set (tl vs) ==> False using assms(1, 2)
  by (cases vs) auto
{fix α z assume ass: range α ⊆ T_G F z ∈ T_G F eval-formula F Rs (α⟨0:z⟩)
fm

```

```

then have map  $\alpha$  (upd-bruijn vs) = tl (map ( $\alpha\langle 0:z \rangle$ ) vs)
  range ( $\alpha\langle 0:z \rangle$ )  $\subseteq \mathcal{T}_G \mathcal{F}$  eval-formula  $\mathcal{F}$  Rs ( $\alpha\langle 0:z \rangle$ ) fm
  using shift-rangeI[OF ass(1)]
  by (auto simp: upd-bruijn-def shift-def simp flip: map-tl)}
then show ?Rs  $\subseteq$  ?Ls
  by (auto simp: tl-drop-conv image-def) blast
qed

```

```

lemma closed-sat-form-env-dom:
  assumes formula-relevant  $\mathcal{F}$  Rs [] ( $F\text{Exists } fm$ ) range  $\alpha \subseteq \mathcal{T}_G \mathcal{F}$  eval-formula
 $\mathcal{F}$  Rs  $\alpha$  fm
  shows  $\{[\alpha\ 0] \mid \alpha. \text{range } \alpha \subseteq \mathcal{T}_G \mathcal{F} \wedge (\exists z \in \mathcal{T}_G \mathcal{F}. \text{eval-formula } \mathcal{F} \text{ Rs } (\alpha\langle 0:z \rangle))$ 
 $= \{[t] \mid t. t \in \mathcal{T}_G \mathcal{F}\}$ 
  using formula-relevantD[OF assms(1)] assms(2-)
  apply auto
  apply blast
  by (smt (verit) rangeI shift-eq shift-rangeI shift-right-rangeI shift-shift-right-id
subsetD)

```

```

lemma find-append:
  find P (xs @ ys) = (if find P xs  $\neq$  None then find P xs else find P ys)
  by (induct xs arbitrary: ys) (auto split!: if-splits)

```

13.8 Checking inferences

```

derive linorder ext-step pos-step gtt-rel rr1-rel rr2-rel ftrs
derive compare ext-step pos-step gtt-rel rr1-rel rr2-rel ftrs

```

```

fun check-inference :: (('f  $\times$  nat) fset  $\Rightarrow$  ('f, 'v) fin-trs list  $\Rightarrow$  ftrs rr1-rel  $\Rightarrow$  (nat, 'f) reg option)
   $\Rightarrow$  (('f  $\times$  nat) fset  $\Rightarrow$  ('f, 'v) fin-trs list  $\Rightarrow$  ftrs rr2-rel  $\Rightarrow$  (nat, 'f option  $\times$  'f option) reg option)
   $\Rightarrow$  ('f  $\times$  nat) fset  $\Rightarrow$  ('f :: compare, 'v) fin-trs list
   $\Rightarrow$  (ftrs formula  $\times$  nat list  $\times$  (nat, 'f option list) reg) list
   $\Rightarrow$  (nat  $\times$  ftrs inference  $\times$  ftrs formula  $\times$  info list)
   $\Rightarrow$  (ftrs formula  $\times$  nat list  $\times$  (nat, 'f option list) reg) option where
    check-inference rr1c rr2c  $\mathcal{F}$  Rs infs (l, step, fm, is) = do {
      guard (l = length infs);
      case step of
        IRR1 s x  $\Rightarrow$  do {
          guard (fm = FRR1 s x);
          liftO1 (λta. (FRR1 s x, [x], fmap-funs-reg (λf. [Some f]) ta)) (rr1c  $\mathcal{F}$  Rs s)
        }
      | IRR2 r x y  $\Rightarrow$  do {
          guard (fm = FRR2 r x y);
          case compare x y of
            Lt  $\Rightarrow$  liftO1 (λta. (FRR2 r x y, [x, y], fmap-funs-reg (λ(f, g). [f, g]) ta))
        }
    }

```

```

(rr2c  $\mathcal{F}$  Rs r)
| Eq  $\Rightarrow$  liftO1 ( $\lambda ta.$  (FRR2  $r x y$ , [x], fmap-funs-reg ( $\lambda f.$  [Some  $f$ ]) ta))
  (liftO1 (simplify-reg  $\circ$  proj-1-reg)
    (liftO2 ( $\lambda t1 t2.$  simplify-reg (reg-intersect  $t1 t2$ )) (rr2c  $\mathcal{F}$  Rs r) (rr2c  $\mathcal{F}$ 
Rs (R2Diag R1Terms))))
| Gt  $\Rightarrow$  liftO1 ( $\lambda ta.$  (FRR2  $r x y$ , [y, x], fmap-funs-reg ( $\lambda (f, g).$  [g, f]) ta))
(rr2c  $\mathcal{F}$  Rs r)
}
| IAnd ls  $\Rightarrow$  do {
  guard ( $\forall l' \in set ls.$   $l' < l$ );
  guard ( $fm = FAnd (map (\lambda l'. fst (infs ! l')) ls)$ );
  let ( $vs', tas'$ ) = fit-rrns  $\mathcal{F}$  (map ((!) infs) ls) in
  Some ( $fm, vs', fand-rrn \mathcal{F} (length vs') tas'$ )
}
| IOOr ls  $\Rightarrow$  do {
  guard ( $\forall l' \in set ls.$   $l' < l$ );
  guard ( $fm = FOr (map (\lambda l'. fst (infs ! l')) ls)$ );
  let ( $vs', tas'$ ) = fit-rrns  $\mathcal{F}$  (map ((!) infs) ls) in
  Some ( $fm, vs', for-rrn tas'$ )
}
| INot l'  $\Rightarrow$  do {
  guard ( $l' < l$ );
  guard ( $fm = FNot (fst (infs ! l'))$ );
  let ( $vs', tas'$ ) = snd (infs ! l');
  Some ( $fm, vs', simplify-reg (difference-reg (true-RRn \mathcal{F} (length vs')) tas')$ )
}
| IExists l'  $\Rightarrow$  do {
  guard ( $l' < l$ );
  guard ( $fm = FExists (fst (infs ! l'))$ );
  let ( $vs', tas'$ ) = snd (infs ! l');
  if length  $vs' = 0$  then Some ( $fm, [], tas'$ ) else
    if reg-empty  $tas'$  then Some ( $fm, [], empty-reg$ )
    else if  $0 \notin set vs'$  then Some ( $fm, map (\lambda x. x - 1) vs', tas'$ )
    else if  $1 = length vs'$  then Some ( $fm, [], true-RRn \mathcal{F} 0$ )
    else Some ( $fm, upd-bruijn vs', rrn-drop-fst tas'$ )
}
| IRename l' vs  $\Rightarrow$  guard ( $l' < l$ )  $\gg$  None
| INNFPlus l'  $\Rightarrow$  do {
  guard ( $l' < l$ );
  let  $fm' = fst (infs ! l')$ ;
  guard ( $ord-form-list-aci (nnf-to-list-aci (nnf (form-of-formula fm'))) =$ 
 $ord-form-list-aci (nnf-to-list-aci (nnf (form-of-formula fm'))))$ );
  Some ( $fm, snd (infs ! l')$ )
}
| IRepl eq pos l'  $\Rightarrow$  guard ( $l' < l$ )  $\gg$  None
}

```

lemma RRn-spec-true-RRn:

RRn-spec (Suc 0) (true-RRn \mathcal{F} (Suc 0)) {[t] | t. t $\in \mathcal{T}_G$ (fset \mathcal{F})}

```

apply (auto simp: RRn-spec-def  $\mathcal{T}_G$ -equivalent-def fmap-funs- $\mathcal{L}$ 
      image-def term-automaton[of  $\mathcal{F}$ , unfolded RR1-spec-def])
apply (metis gencode-singleton)+
done

lemma check-inference-correct:
assumes sig:  $\mathcal{T}_G$  (fset  $\mathcal{F}$ )  $\neq \{\}$  and Rs:  $\forall R \in \text{set } Rs. \text{lv-trs}(\text{fset } R) \wedge \text{ffunas-trs}$ 
 $R \subseteq \mathcal{F}$ 
assumes infs:  $\bigwedge fva. fva \in \text{set } infs \implies \text{formula-spec}(\text{fset } \mathcal{F}) (\text{map fset } Rs) (\text{fst}(\text{snd } fva)) (\text{snd } (\text{snd } fva)) (\text{fst } fva)$ 
assumes inf: check-inference rr1c rr2c  $\mathcal{F}$  Rs infs (l, step, fm, is) = Some (fm', vs, A')
assumes rr1:  $\bigwedge r1. \forall ta1. rr1c \mathcal{F} Rs r1 = \text{Some } ta1 \longrightarrow \text{RR1-spec } ta1 (\text{eval-rr1-rel}$ 
(fset  $\mathcal{F}$ ) (map fset Rs) r1)
assumes rr2:  $\bigwedge r2. \forall ta2. rr2c \mathcal{F} Rs r2 = \text{Some } ta2 \longrightarrow \text{RR2-spec } ta2 (\text{eval-rr2-rel}$ 
(fset  $\mathcal{F}$ ) (map fset Rs) r2)
shows l = length infs  $\wedge fm = fm' \wedge \text{formula-spec}(\text{fset } \mathcal{F}) (\text{map fset } Rs) \text{ vs } A'$ 
fm'
using inf
proof (induct step)
note [simp] = bind-eq-Some-conv guard-simps
let ?F = fset  $\mathcal{F}$  let ?Rs = map fset Rs
{
  case (IRR1 s x)
  then show ?case
    using rr1[rule-format, of s]
    subsetD[OF eval-rr12-rel-sig(1), of - ?F ?Rs s]
  by (force simp: formula-spec-def formula-relevant-def RR1-spec-def  $\mathcal{T}_G$ -equivalent-def
        intro!: RR1-to-RRn-spec[of - ( $\lambda\alpha. \alpha$  x) ' Collect P for P, unfolded image-comp,
        unfolded image-Collect comp-def One-nat-def])
next
  case (IRR2 r x y)
  then show ?case using rr2[rule-format, of r]
    subsetD[OF eval-rr12-rel-sig(2), of - ?F ?Rs r]
    two-comparisons-into-compare(1)[of x y x = y x < y x > y]
  proof (induct compare x y)
    note [intro!] = RR1-to-RRn-spec[of - ( $\lambda\alpha. \alpha$  y) ' Collect P for P, unfolded
image-comp,
unfolded image-Collect comp-def One-nat-def prod.simps]
    case Eq
    then obtain A where w[simp]: rr2c  $\mathcal{F}$  Rs r = Some A by auto
    from Eq obtain B where [simp]:rr2c  $\mathcal{F}$  Rs (R2Diag R1Terms) = Some B by
auto
    let ?B = reg-intersect A B
    from Eq(3)[OF w] have RR2-spec ?B (eval-rr2-rel ?F ?Rs r  $\cap$  Restr Id ( $\mathcal{T}_G$ 
?F))
      using rr2[rule-format, of R2Diag R1Terms B]
      by (auto simp add:  $\mathcal{L}$ -intersect RR2-spec-def dest: lift-fun-gpairD)
    then have RR2-spec (relabel-reg (trim-reg ?B)) (eval-rr2-rel ?F ?Rs r  $\cap$  Restr
)
  
```

```

Id ( $\mathcal{T}_G$  ?F)) by simp
  from proj-1(1)[OF this]
    have RR1-spec (proj-1-reg (relabel-reg (trim-reg ?B))) { $\alpha$  y |  $\alpha$ . range  $\alpha \subseteq$ 
gterms ?F  $\wedge$  ( $\alpha$  y,  $\alpha$  y)  $\in$  eval-rr2-rel ?F ?Rs r}
      apply (auto simp: RR1-spec-def  $\mathcal{T}_G$ -equivalent-def image-iff)
      by (metis Eq.prem(3) IdI IntI  $\mathcal{T}_G$ -equivalent-def fst-conv)
    then show ?thesis using Eq
      by (auto simp: formula-spec-def formula-relevant-def liftO1-def  $\mathcal{T}_G$ -equivalent-def
simplify-reg-def RR2-spec-def
        split: if-splits intro!: exI[of -  $\lambda z$ . if z = x then - else -])
  next
    note [intro!] = RR2-to-RRn-spec[of - ( $\lambda\alpha$ . ( $\alpha$  x,  $\alpha$  y)) ‘ Collect P for P,
unfolded image-comp,
unfolded image-Collect comp-def numeral-2-eq-2 prod.simps]
    case Lt then show ?thesis by (fastforce simp: formula-spec-def formula-relevant-def
RR2-spec-def  $\mathcal{T}_G$ -equivalent-def
        split: if-splits intro!: exI[of -  $\lambda z$ . if z = x then - else -])
  next
    note [intro!] = RR2-to-RRn-spec[of - prod.swap ‘ ( $\lambda\alpha$ . ( $\alpha$  x,  $\alpha$  y)) ‘ Collect P
for P, OF swap-RR2-spec,
unfolded image-comp, unfolded image-Collect comp-def numeral-2-eq-2 prod.simps
fmap-funs-reg-comp case-swap]
    case Gt then show ?thesis
      by (fastforce simp: formula-spec-def formula-relevant-def RR2-spec-def  $\mathcal{T}_G$ -equivalent-def
        split: if-splits intro!: exI[of -  $\lambda z$ . if z = x then - else -])
  qed
next
case (IAnd ls)
have [simp]: ( $fm$ ,  $vs$ ,  $ta$ )  $\in$  (!) inf $s$  ‘ set ls  $\implies$  formula-spec ?F ?Rs vs ta fm for
 $fm$  vs  $ta$ 
  using inf $s$  IAnd by auto
  show ?case using IAnd fit-rrns[OF assms(3), of map ((!) inf $s$ ) ls, OF - prod.collapse]
    by (force split: prod.splits intro!: fand-rrn[OF assms(1)])
next
case (IOr ls)
have [simp]: ( $fm$ ,  $vs$ ,  $ta$ )  $\in$  (!) inf $s$  ‘ set ls  $\implies$  formula-spec ?F ?Rs vs ta fm for
 $fm$  vs  $ta$ 
  using inf $s$  IOr by auto
  show ?case using IOr fit-rrns[OF assms(3), of map ((!) inf $s$ ) ls, OF - prod.collapse]
    by (force split: prod.splits intro!: for-rrn)
next
case (INot l')
obtain fm vs' ta where [simp]: inf $s$  ! l' = ( $fm$ ,  $vs'$ ,  $ta$ ) by (cases inf $s$  ! l') auto
then have spec: formula-spec ?F ?Rs vs ta fm using inf $s$ [OF nth-mem, of l']
INot
  by auto
have rel: formula-relevant (fset  $\mathcal{F}$ ) (map fset Rs) vs (FNot fm) using spec
  unfolding formula-spec-def formula-relevant-def
  by (metis (no-types, lifting) eval-formula.simps(5))

```

```

have vs: sorted vs distinct vs using spec by (auto simp: formula-spec-def)
{fix xs assume ass:  $\forall \alpha. \text{range } \alpha \subseteq \mathcal{T}_G (\text{fset } \mathcal{F}) \rightarrow xs = \text{map } \alpha \text{ vs} \rightarrow \neg$ 
eval-formula (fset  $\mathcal{F}$ ) (map fset Rs)  $\alpha$  fm
length xs = length vs set xs  $\subseteq \mathcal{T}_G (\text{fset } \mathcal{F})$ 
from sig obtain s where mem:  $s \in \mathcal{T}_G (\text{fset } \mathcal{F})$  by blast
let  $?g = \lambda i. \text{find } (\lambda p. \text{fst } p = i) (\text{zip } vs [0 ..< \text{length } vs])$ 
let  $?f = \lambda i. \text{if } ?g i = \text{None} \text{ then } s \text{ else } xs ! \text{snd } (\text{the } (?g i))$ 
from vs(1) have *: sorted (zip vs [0 ..< length vs])
by (induct vs rule: rev-induct) (auto simp: sorted-append elim!: in-set-zipE
intro!: sorted-append-bigger)
have  $i < \text{length } vs \implies ?g (vs ! i) = \text{Some } (vs ! i, i)$  for i using vs(2)
by (induct vs rule: rev-induct) (auto simp: nth-append find-append find-Some-iff
nth-eq-iff-index-eq split!: if-splits)
then have map ?f vs = xs using vs(2) ass(2)
by (intro nth-equalityI) (auto simp: find-None-iff set-zip)
moreover have range ?f  $\subseteq \mathcal{T}_G (\text{fset } \mathcal{F})$  using ass(2, 3) mem
using find-SomeD(2) set-zip-rightD by auto fastforce
ultimately have  $\exists \alpha. xs = \text{map } \alpha \text{ vs} \wedge \text{range } \alpha \subseteq \mathcal{T}_G (\text{fset } \mathcal{F}) \wedge \neg \text{eval-formula}$ 
(fset  $\mathcal{F}$ ) (map fset Rs)  $\alpha$  fm using ass(1)
by (intro exI[of - ?f]) auto}
then have *: {ts. length ts = length vs  $\wedge$  set ts  $\subseteq \mathcal{T}_G (\text{fset } \mathcal{F})\} =$ 
{map  $\alpha$  vs | $\alpha. \text{range } \alpha \subseteq \mathcal{T}_G (\text{fset } \mathcal{F}) \wedge \neg \text{eval-formula } (\text{fset } \mathcal{F}) (\text{map fset } Rs) \alpha$ 
fm} =
{map  $\alpha$  vs | $\alpha. \text{range } \alpha \subseteq \mathcal{T}_G (\text{fset } \mathcal{F}) \wedge \neg \text{eval-formula } (\text{fset } \mathcal{F}) (\text{map fset } Rs)$ 
 $\alpha$  fm}
apply auto
apply force
using formula-relevantD[OF rel] unfolding eval-formula.simps
by (meson map-ext)
have RRn-spec (length vs) (difference-reg (true-RRn  $\mathcal{F}$  (length vs)) ta)
{map  $\alpha$  vs | $\alpha. \text{range } \alpha \subseteq \mathcal{T}_G (\text{fset } \mathcal{F}) \wedge \neg \text{eval-formula } (\text{fset } \mathcal{F}) (\text{map fset } Rs)$ 
 $\alpha$  fm}
using RRn-difference[OF true-RRn-spec[of length vs  $\mathcal{F}$ ] formula-spec-RRn-spec[OF
spec]]
unfolding * by simp
then show ?case using INot spec rel
by (auto split: prod.splits simp: formula-spec-def)
next
case (IExists l')
obtain fm vs ta where [simp]:  $\text{infs} ! l' = (fm, vs, ta)$  by (cases infs ! l') auto
then have spec: formula-spec ?F ?Rs vs ta fm using infs[OF nth-mem, of l']
IExists
by auto
show ?case
proof (cases length vs = 0)
case True
then show ?thesis using IExists spec
apply (auto simp: formula-spec-def)
subgoal apply (auto simp: formula-relevant-def)

```

```

apply (meson shift-rangeI)
done
subgoal apply (auto simp: RRn-spec-def image-iff)
  apply (meson eval-formula-shift-right-eval(1) rangeI shift-right-rangeI sub-
setD)
    apply (meson shift-rangeI)
    done
    done
next
  case False note len = this
  then have *[simp]:  $vs \neq []$  by (cases vs) auto
  show ?thesis
  proof (cases reg-empty ta)
    case True
    then show ?thesis using IExists spec formula-spec-empty[ $OF - spec$ ]
      by (auto simp:  $\mathcal{T}_G$ -equivalent-def comp-def formula-spec-def
           shift-rangeI RRn-spec-def image-iff  $\mathcal{L}$ -empty
           intro!: trivial-formula-relevant)
  next
    case False
    then have nt-empty [simp]:  $\mathcal{L} ta \neq \{\}$  by auto
    show ?thesis
    proof (cases  $0 \notin set vs$ )
      case True
      then have ta:  $ta = A'$  using spec IExists
        by (auto simp: formula-spec-def)
        from True have relv: formula-relevant ?F ?Rs (map ( $\lambda x. x - Suc 0$ ) vs)
          (FExists fm)
          using spec IExists
          by (intro ExistsI-upd-brujin-no-0) (auto simp: formula-spec-def)
          then show ?thesis using True spec IExists nt-rel-0-trivial-shift[ $OF$  True,
          of ?F ?Rs ]
            by (auto simp: formula-spec-def  $\mathcal{T}_G$ -equivalent-def comp-def
                 elim!: formula-relevantD
                 intro!: pres-distinct-not-0-list-dec pres-sorted-dec)
    next
      case False
      then have rel-0:  $0 \in set vs$  by simp
      show ?thesis
      proof (cases  $1 = length vs$ )
        case True
        then have [simp]:  $vs = [0]$  using rel-0 by (induct vs) auto
        {fix t assume  $0 \in| ta$ -der ( $TA \{|[] \rightarrow 0|\} \{||\}$ ) (term-of-gterm t)
         then have t = GFun [] [] by (cases t) auto}
        then have [simp]:  $\mathcal{L} (Reg \{|0|\} (TA \{|TA-rule [] [] 0|\} \{||\})) = \{GFun []$ 
        []
          by (auto simp: L-def gta-der-def gta-lang-def)
        have [simp]:  $GFun [] [] = gencode []$ 
          by (auto simp: gencode-def gunions-def)

```

```

show ?thesis using IExists spec nt-empty
  by (auto simp: formula-spec-def RRn-spec-true-RRn RRn-spec-def for-
mula-relevant-0-FExists image-iff)
    (meson eval-formula-shift-right-eval(1) in-mono rangeI shift-right-rangeI)
next
  case False
  from False show ?thesis using spec IExists rel-0 nt-empty
    using rrn-drop-fst-lang[OF formula-spec-RRn-spec[OF spec]]
    by (auto simp: formula-spec-def Suc-lessI simp flip: drop-upd-bruijn-set
        split: prod.splits
        intro: upd-bruijn-pres-sorted upd-bruijn-pres-distinct Ex-
istsI-upd-bruijn-0)
      qed
    qed
  qed
next
  case (IRename l' vs)
  then show ?case by simp
next
  case (INNFPlus l')
  show ?case using infs[OF nth-mem, of l'] INNFPlus
    apply (auto simp: formula-spec-def formula-relevant-def eval-formula-conv)
    apply (simp-all only: check-equivalence-by-nnf-sortedlist-act[of form-of-formula
(fst (infs ! l')) form-of-formula fm])
    done
next
  case (IRepl eq pos l')
  then show ?case by simp
}
qed

end
theory FOR-Check-Impl
imports FOR-Check
  Regular-Tree-Relations.Regular-Relation-Impl
  NF-Impl
begin

```

14 Inference checking implementation

definition ftrancl-*eps-free-closures* $\mathcal{A} = \text{eps-free-automata } (\text{eps } \mathcal{A}) \mathcal{A}$
abbreviation ftrancl-*eps-free-reg* $\mathcal{A} \equiv \text{Reg } (\text{fin } \mathcal{A})$ (ftrancl-*eps-free-closures* (*ta* \mathcal{A}))

lemma ftrancl-*eps-free-ta-derI*:
 $(\text{eps } \mathcal{A})^+ = \text{eps } \mathcal{A} \implies \text{ta-der } (\text{ftrancl-eps-free-closures } \mathcal{A}) (\text{term-of-gterm } t) =$
 $\text{ta-der } \mathcal{A} (\text{term-of-gterm } t)$

```

using eps-free[of  $\mathcal{A}$ ] ta-res-eps-free[of  $\mathcal{A}$ ]
by (auto simp add: ftrancI-eps-free-closures-def)

lemma  $\mathcal{L}$ -ftrancI-eps-free-closuresI:
   $(\text{eps } (\text{ta } \mathcal{A}))|^+ = \text{eps } (\text{ta } \mathcal{A}) \implies \mathcal{L} (\text{ftrancI-eps-free-reg } \mathcal{A}) = \mathcal{L} \mathcal{A}$ 
  using ftrancI-eps-free-ta-derI[of ta  $\mathcal{A}$ ]
  unfolding  $\mathcal{L}$ -def by (auto simp: gta-lang-def gta-der-def)

definition root-step  $R \mathcal{F} \equiv (\text{let } (\text{TA1}, \text{TA2}) = \text{agtt-grrstep } R \mathcal{F} \text{ in}$ 
   $(\text{ftrancI-eps-free-closures } \text{TA1}, \text{TA2}))$ 

definition AGTT-trancI-eps-free ::  $('q, 'f) \text{ gtt} \Rightarrow ('q + 'q, 'f) \text{ gtt}$  where
  AGTT-trancI-eps-free  $\mathcal{G} = (\text{let } (\mathcal{A}, \mathcal{B}) = \text{AGTT-trancI } \mathcal{G} \text{ in}$ 
   $(\text{ftrancI-eps-free-closures } \mathcal{A}, \mathcal{B}))$ 

definition GTT-trancI-eps-free where
  GTT-trancI-eps-free  $\mathcal{G} = (\text{let } (\mathcal{A}, \mathcal{B}) = \text{GTT-trancI } \mathcal{G} \text{ in}$ 
   $(\text{ftrancI-eps-free-closures } \mathcal{A}, \text{ftrancI-eps-free-closures } \mathcal{B}))$ 

definition AGTT-comp-eps-free where
  AGTT-comp-eps-free  $\mathcal{G}_1 \mathcal{G}_2 = (\text{let } (\mathcal{A}, \mathcal{B}) = \text{AGTT-comp' } \mathcal{G}_1 \mathcal{G}_2 \text{ in}$ 
   $(\text{ftrancI-eps-free-closures } \mathcal{A}, \text{ftrancI-eps-free-closures } \mathcal{B}))$ 

definition GTT-comp-eps-free where
  GTT-comp-eps-free  $\mathcal{G}_1 \mathcal{G}_2 = (\text{let } (\mathcal{A}, \mathcal{B}) = \text{GTT-comp' } \mathcal{G}_1 \mathcal{G}_2 \text{ in}$ 
   $(\text{ftrancI-eps-free-closures } \mathcal{A}, \text{ftrancI-eps-free-closures } \mathcal{B}))$ 

lemma eps-free-relable [simp]:
  is-gtt-eps-free (relabel-gtt  $\mathcal{G}$ ) = is-gtt-eps-free  $\mathcal{G}$ 
  by (auto simp: is-gtt-eps-free-def relabel-gtt-def fmap-states-gtt-def fmap-states-ta-def)

lemma eps-free-prod-swap:
  is-gtt-eps-free  $(\mathcal{A}, \mathcal{B}) \implies \text{is-gtt-eps-free } (\mathcal{B}, \mathcal{A})$ 
  by (auto simp: is-gtt-eps-free-def)

lemma eps-free-root-step:
  is-gtt-eps-free (root-step  $R \mathcal{F}$ )
  by (auto simp add: case Prod-beta is-gtt-eps-free-def root-step-def pair-at-to-agtt'-def
    ftrancI-eps-free-closures-def)

lemma eps-free-AGTT-trancI-eps-free:
  is-gtt-eps-free  $\mathcal{G} \implies \text{is-gtt-eps-free } (\text{AGTT-trancI-eps-free } \mathcal{G})$ 
  by (auto simp: case Prod-beta is-gtt-eps-free-def AGTT-trancI-def Let-def
    AGTT-trancI-eps-free-def ftrancI-eps-free-closures-def)

lemma eps-free-GTT-trancI-eps-free:
  is-gtt-eps-free  $\mathcal{G} \implies \text{is-gtt-eps-free } (\text{GTT-trancI-eps-free } \mathcal{G})$ 

```

```

by (auto simp: case-prod-beta is-gtt-eps-free-def GTT-trancl-eps-free-def ftrancl-eps-free-closures-def)

lemma eps-free-AGTT-comp-eps-free:
  is-gtt-eps-free  $\mathcal{G}_2 \implies$  is-gtt-eps-free (AGTT-comp-eps-free  $\mathcal{G}_1 \mathcal{G}_2$ )
by (auto simp: case-prod-beta is-gtt-eps-free-def AGTT-comp-eps-free-def
  ftrancl-eps-free-closures-def AGTT-comp-def fmap-states-gtt-def fmap-states-ta-def)

lemma eps-free-GTT-comp-eps-free:
  is-gtt-eps-free (GTT-comp-eps-free  $\mathcal{G}_1 \mathcal{G}_2$ )
by (auto simp: case-prod-beta is-gtt-eps-free-def GTT-comp-eps-free-def ftrancl-eps-free-closures-def)

lemmas eps-free-const =
  eps-free-prod-swap
  eps-free-root-step
  eps-free-AGTT-trancl-eps-free
  eps-free-GTT-trancl-eps-free
  eps-free-AGTT-comp-eps-free
  eps-free-GTT-comp-eps-free

lemma agtt-lang-derI:
  assumes  $\bigwedge t. ta\text{-der} (\text{fst } \mathcal{A}) (\text{term-of-gterm } t) = ta\text{-der} (\text{fst } \mathcal{B}) (\text{term-of-gterm } t)$ 
  and  $\bigwedge t. ta\text{-der} (\text{snd } \mathcal{A}) (\text{term-of-gterm } t) = ta\text{-der} (\text{snd } \mathcal{B}) (\text{term-of-gterm } t)$ 
  shows agtt-lang  $\mathcal{A} = agtt\text{-lang } \mathcal{B}$  using assms
  by (auto simp: agtt-lang-def gta-der-def)

lemma agtt-lang-root-step-conv:
  agtt-lang (root-step  $R \mathcal{F}$ ) = agtt-lang (agtt-grrstep  $R \mathcal{F}$ )
  using ftrancl-eps-free-ta-derI[ $OF$  agtt-grrstep-eps-trancl(1), of  $R \mathcal{F}$ ]
  by (auto simp: case-prod-beta root-step-def intro!: agtt-lang-derI)

lemma agtt-lang-AGTT-trancl-eps-free-conv:
  assumes is-gtt-eps-free  $\mathcal{G}$ 
  shows agtt-lang (AGTT-trancl-eps-free  $\mathcal{G}$ ) = agtt-lang (AGTT-trancl  $\mathcal{G}$ )
proof -
  let ?eps = eps (fst (AGTT-trancl  $\mathcal{G}$ ))
  have ?eps  $|O|$  ?eps = {||} using assms
    by (auto simp: AGTT-trancl-def is-gtt-eps-free-def Let-def fmap-states-ta-def)
  from ftrancl-eps-free-ta-derI[ $OF$  frelcomp-empty-ftrancl-simp[ $OF$  this]]
  show ?thesis
    by (auto simp: case-prod-beta AGTT-trancl-eps-free-def intro!: agtt-lang-derI)
qed

lemma agtt-lang-GTT-trancl-eps-free-conv:
  assumes is-gtt-eps-free  $\mathcal{G}$ 
  shows agtt-lang (GTT-trancl-eps-free  $\mathcal{G}$ ) = agtt-lang (GTT-trancl  $\mathcal{G}$ )
proof -
  have (eps (fst (GTT-trancl  $\mathcal{G}$ ))) $^+| =$  eps (fst (GTT-trancl  $\mathcal{G}$ ))

```

```

(eps (snd (GTT-trancl G)))|+| = eps (snd (GTT-trancl G)) using assms
by (auto simp: GTT-trancl-def Let-def is-gtt-eps-free-def Δ-trancl-inv)
from ftrancl-eps-free-ta-derI[OF this(1)] ftrancl-eps-free-ta-derI[OF this(2)]
show ?thesis
by (auto simp: case-prod-beta GTT-trancl-eps-free-def intro!: agtt-lang-derI)
qed

lemma agtt-lang-AGTT-comp-eps-free-conv:
assumes is-gtt-eps-free G1 is-gtt-eps-free G2
shows agtt-lang (AGTT-comp-eps-free G1 G2) = agtt-lang (AGTT-comp' G1 G2)
proof -
have (eps (fst (AGTT-comp' G1 G2)))|+| = eps (fst (AGTT-comp' G1 G2)) using
assms
by (auto simp: is-gtt-eps-free-def fmap-states-gtt-def fmap-states-ta-def
case-prod-beta AGTT-comp-def gtt-interface-def Q-def intro!: frelcomp-empty-ftrancl-simp)
from ftrancl-eps-free-ta-derI[OF this] show ?thesis
by (auto simp: case-prod-beta AGTT-comp-eps-free-def intro!: agtt-lang-derI)
qed

lemma agtt-lang-GTT-comp-eps-free-conv:
assumes is-gtt-eps-free G1 is-gtt-eps-free G2
shows agtt-lang (GTT-comp-eps-free G1 G2) = agtt-lang (GTT-comp' G1 G2)
proof -
have (eps (fst (GTT-comp' G1 G2)))|+| = eps (fst (GTT-comp' G1 G2))
(eps (snd (GTT-comp' G1 G2)))|+| = eps (snd (GTT-comp' G1 G2)) using
assms
by (auto simp: is-gtt-eps-free-def fmap-states-gtt-def fmap-states-ta-def Δε-fmember
case-prod-beta GTT-comp-def gtt-interface-def Q-def dest!: ground-ta-der-statesD
intro!: frelcomp-empty-ftrancl-simp)
from ftrancl-eps-free-ta-derI[OF this(1)] ftrancl-eps-free-ta-derI[OF this(2)]
show ?thesis
by (auto simp: case-prod-beta GTT-comp-eps-free-def intro!: agtt-lang-derI)
qed

fun gtt-of-gtt-rel-impl :: ('f × nat) fset ⇒ ('f :: linorder, 'v) fin-trs list ⇒ ftrs
gtt-rel ⇒ (nat, 'f) gtt option where
  gtt-of-gtt-rel-impl F Rs (ARoot is) = liftO1 (λR. relabel-gtt (root-step R F))
(is-to-trs' Rs is)
  | gtt-of-gtt-rel-impl F Rs (GInv g) = liftO1 prod.swap (gtt-of-gtt-rel-impl F Rs g)
  | gtt-of-gtt-rel-impl F Rs (AUnion g1 g2) = liftO2 (λg1 g2. relabel-gtt (AGTT-union' g1 g2)) (gtt-of-gtt-rel-impl F Rs g1) (gtt-of-gtt-rel-impl F Rs g2)
  | gtt-of-gtt-rel-impl F Rs (ATrancl g) = liftO1 (relabel-gtt ∘ AGTT-trancl-eps-free) (gtt-of-gtt-rel-impl F Rs g)
  | gtt-of-gtt-rel-impl F Rs (GTrancl g) = liftO1 GTT-trancl-eps-free (gtt-of-gtt-rel-impl F Rs g)
  | gtt-of-gtt-rel-impl F Rs (AComp g1 g2) = liftO2 (λg1 g2. relabel-gtt (AGTT-comp-eps-free g1 g2)) (gtt-of-gtt-rel-impl F Rs g1) (gtt-of-gtt-rel-impl F Rs g2)
  | gtt-of-gtt-rel-impl F Rs (GComp g1 g2) = liftO2 (λg1 g2. relabel-gtt (GTT-comp-eps-free g1 g2)) (gtt-of-gtt-rel-impl F Rs g1) (gtt-of-gtt-rel-impl F Rs g2)

```

```

lemma gtt-of-gtt-rel-impl-is-gtt-eps-free:
  gtt-of-gtt-rel-impl  $\mathcal{F}$  Rs  $g = \text{Some } g' \implies \text{is-gtt-eps-free } g'$ 
proof (induct  $g$  arbitrary:  $g'$ )
  case ( $A\text{Union } g_1 g_2$ )
  then show ?case
    by (auto simp: is-gtt-eps-free-def AGTT-union-def fmap-states-gtt-def fmap-states-ta-def
      ta-union-def relabel-gtt-def)
  qed (auto simp: eps-free-const)

lemma gtt-of-gtt-rel-impl-gtt-of-gtt-rel:
  gtt-of-gtt-rel-impl  $\mathcal{F}$  Rs  $g \neq \text{None} \longleftrightarrow \text{gtt-of-gtt-rel } \mathcal{F}$  Rs  $g \neq \text{None}$  (is ?Ls  $\longleftrightarrow$ 
  ?Rs)
proof -
  have ?Ls  $\implies$  ?Rs by (induct  $g$ ) auto
  moreover have ?Rs  $\implies$  ?Ls by (induct  $g$ ) auto
  ultimately show ?thesis by blast
qed

lemma gtt-of-gtt-rel-impl-sound:
  gtt-of-gtt-rel-impl  $\mathcal{F}$  Rs  $g = \text{Some } g' \implies \text{gtt-of-gtt-rel } \mathcal{F}$  Rs  $g = \text{Some } g'' \implies$ 
  agtt-lang  $g' = \text{agtt-lang } g''$ 
proof (induct  $g$  arbitrary:  $g' g''$ )
  case ( $A\text{Root } x$ )
  then show ?case by (simp add: agtt-lang-root-step-conv)
next
  case ( $G\text{Inv } g$ )
  then have agtt-lang (prod.swap  $g') = \text{agtt-lang } (\text{prod.swap } g'')$  by auto
  then show ?case
    by (metis converse-agtt-lang converse-converse)
next
  case ( $A\text{Union } g_1 g_2$ )
  then show ?case
    by simp (metis AGTT-union'-sound option.sel)
next
  case ( $A\text{Trancl } g$ )
  then show ?case
    using agtt-lang-AGTT-trancl-eps-free-conv[ $\text{OF gtt-of-gtt-rel-impl-is-gtt-eps-free,}$ 
     $\text{of } \mathcal{F}$  Rs  $g]$ 
    by simp (metis AGTT-trancl-sound option.sel)
next
  case ( $G\text{Trancl } g$ )
  then show ?case
    using agtt-lang-GTT-trancl-eps-free-conv[ $\text{OF gtt-of-gtt-rel-impl-is-gtt-eps-free,}$ 
     $\text{of } \mathcal{F}$  Rs  $g]$ 
    by simp (metis GTT-trancl-alang option.sel)
next
  case ( $A\text{Comp } g_1 g_2$ )
  then show ?case

```

```

using agtt-lang-AGTT-comp-eps-free-conv[OF gtt-of-gtt-rel-impl-is-gtt-eps-free,
of  $\mathcal{F}$   $R_s g$ 
the (gtt-of-gtt-rel-impl  $\mathcal{F}$   $R_s g_1$ ) the (gtt-of-gtt-rel-impl  $\mathcal{F}$   $R_s g_2$ )]
by simp (metis AGTT-comp'-sound agtt-lang-AGTT-comp-eps-free-conv gtt-of-gtt-rel-impl-is-gtt-eps-free
option.sel)
next
case (GComp g1 g2)
then show ?case
using agtt-lang-GTT-comp-eps-free-conv[OF gtt-of-gtt-rel-impl-is-gtt-eps-free,
of  $\mathcal{F}$   $R_s g$ 
the (gtt-of-gtt-rel-impl  $\mathcal{F}$   $R_s g_1$ ) the (gtt-of-gtt-rel-impl  $\mathcal{F}$   $R_s g_2$ )]
by simp (metis agtt-lang-GTT-comp-eps-free-conv gtt-comp'-alang gtt-of-gtt-rel-impl-is-gtt-eps-free
option.sel)
qed

```

```

lemma  $\mathcal{L}$ -eps-free-nhole-ctxt-closure-reg:
assumes is-ta-eps-free ( $ta \mathcal{A}$ )
shows  $\mathcal{L}(\text{ftrancl-eps-free-reg}(\text{nhole-ctxt-closure-reg } \mathcal{F} \mathcal{A})) = \mathcal{L}(\text{nhole-ctxt-closure-reg } \mathcal{F} \mathcal{A})$ 
proof –
have  $\text{eps}(ta(\text{nhole-ctxt-closure-reg } \mathcal{F} \mathcal{A})) | O | \text{eps}(ta(\text{nhole-ctxt-closure-reg } \mathcal{F} \mathcal{A})) = \{\mid\}$  using assms
by (auto simp: nhole-ctxt-closure-reg-def gen-nhole-ctxt-closure-reg-def
gen-nhole-ctxt-closure-automaton-def ta-union-def reflcl-over-nhole-ctxt-ta-def
fmap-states-reg-def is-ta-eps-free-def fmap-states-ta-def reg-Restr-Q_f-def)
from frelcomp-empty-ftrancl-simp[OF this] show ?thesis
by (intro  $\mathcal{L}$ -ftrancl-eps-free-closuresI) simp
qed

```

```

lemma  $\mathcal{L}$ -eps-free-ctxt-closure-reg:
assumes is-ta-eps-free ( $ta \mathcal{A}$ )
shows  $\mathcal{L}(\text{ftrancl-eps-free-reg}(\text{ctxt-closure-reg } \mathcal{F} \mathcal{A})) = \mathcal{L}(\text{ctxt-closure-reg } \mathcal{F} \mathcal{A})$ 
proof –
have  $\text{eps}(ta(\text{ctxt-closure-reg } \mathcal{F} \mathcal{A})) | O | \text{eps}(ta(\text{ctxt-closure-reg } \mathcal{F} \mathcal{A})) = \{\mid\}$ 
using assms
by (auto simp: ctxt-closure-reg-def gen-ctxt-closure-reg-def Let-def
gen-ctxt-closure-automaton-def ta-union-def reflcl-over-single-ta-def
fmap-states-reg-def is-ta-eps-free-def fmap-states-ta-def reg-Restr-Q_f-def)
from frelcomp-empty-ftrancl-simp[OF this] show ?thesis
by (intro  $\mathcal{L}$ -ftrancl-eps-free-closuresI) simp
qed

```

```

lemma  $\mathcal{L}$ -eps-free-parallel-closure-reg:
assumes is-ta-eps-free ( $ta \mathcal{A}$ )
shows  $\mathcal{L}(\text{ftrancl-eps-free-reg}(\text{parallel-closure-reg } \mathcal{F} \mathcal{A})) = \mathcal{L}(\text{parallel-closure-reg } \mathcal{F} \mathcal{A})$ 
proof –
have  $\text{eps}(ta(\text{parallel-closure-reg } \mathcal{F} \mathcal{A})) | O | \text{eps}(ta(\text{parallel-closure-reg } \mathcal{F} \mathcal{A}))$ 

```

```

= {||} using assms
  by (auto simp: parallel-closure-reg-def gen-parallel-closure-automaton-def Let-def
ta-union-def
    refl-over-states-ta-def fmap-states-reg-def is-ta-eps-free-def fmap-states-ta-def
reg-Restr-Q_f-def)
  from frelcomp-empty-ftrancl-simp[OF this] show ?thesis
  by (intro L-ftrancl-eps-free-closuresI) simp
qed

abbreviation eps-free-reg' S R ≡ Reg (fin R) (eps-free-automata S (ta R))

definition eps-free-mctxt-closure-reg F A =
(let B = mctxt-closure-reg F A in
eps-free-reg' ((λ p. (fst p, Inr cl-state)) |` (eps (ta B)) |U| eps (ta B)) B)

definition eps-free-nhole-mctxt-refcl-reg F A =
(let B = nhole-mctxt-refcl-reg F A in
eps-free-reg' ((λ p. (fst p, Inl (Inr cl-state))) |` (eps (ta B)) |U| eps (ta B)) B)

definition eps-free-nhole-mctxt-closure-reg F A =
(let B = nhole-mctxt-closure-reg F A in
eps-free-reg' ((λ p. (fst p, (Inr cl-state))) |` (eps (ta B)) |U| eps (ta B)) B)

lemma L-eps-free-reg'I:
(eps (ta A))|^+| = S ==> L (eps-free-reg' S A) = L A
  by (auto simp: L-def gta-lang-def gta-der-def ta-res-eps-free simp flip: eps-free)

lemma L-eps-free-mctxt-closure-reg:
assumes is-ta-eps-free (ta A)
shows L (eps-free-mctxt-closure-reg F A) = L (mctxt-closure-reg F A) using
assms
  unfolding eps-free-mctxt-closure-reg-def Let-def
  apply (intro L-eps-free-reg'I)
  apply (auto simp: comp-def mctxt-closure-reg-def is-ta-eps-free-def Let-def
gen-nhole-mctxt-closure-automaton-def reflcl-over-nhole-mctxt-ta-def ta-union-def
refcl-over-nhole-ctxt-ta-def gen-mctxt-closure-reg-def reg-Restr-Q_f-def
fmap-states-reg-def fmap-states-ta-def dest: ftranclD ftranclD2)
  by (meson fimageI finsert-iff fintertI fr-into-trancl ftrancl-into-trancl)

lemma L-eps-free-nhole-mctxt-refcl-reg:
assumes is-ta-eps-free (ta A)
shows L (eps-free-nhole-mctxt-refcl-reg F A) = L (nhole-mctxt-refcl-reg F A)
using assms
  unfolding eps-free-nhole-mctxt-refcl-reg-def Let-def
  apply (intro L-eps-free-reg'I)
  apply (auto simp: comp-def nhole-mctxt-refcl-reg-def is-ta-eps-free-def Let-def
nhole-mctxt-closure-reg-def gen-nhole-mctxt-closure-reg-def reg-union-def ta-union-def
gen-nhole-mctxt-closure-automaton-def reflcl-over-nhole-mctxt-ta-def
refcl-over-nhole-ctxt-ta-def reg-Restr-Q_f-def fmap-states-reg-def fmap-states-ta-def

```

```

dest: ftranclD ftranclD2)
by (meson fimageI finsert-iff finterI fr-into-trancl ftrancl-into-trancl)

lemma L-eps-free-nhole-mctxt-closure-reg:
assumes is-ta-eps-free (ta A)
shows L (eps-free-nhole-mctxt-closure-reg F A) = L (nhole-mctxt-closure-reg F
A) using assms
unfolding eps-free-nhole-mctxt-closure-reg-def Let-def
apply (intro L-eps-free-reg'I)
apply (auto simp: comp-def nhole-mctxt-closure-reg-def is-ta-eps-free-def Let-def
gen-nhole-mctxt-closure-reg-def reg-Restr-Qf-def fmap-states-reg-def fmap-states-ta-def
gen-nhole-mctxt-closure-automaton-def reflcl-over-nhole-mctxt-ta-def ta-union-def
reflcl-over-nhole-ctxt-ta-def dest: ftranclD ftranclD2)
by (meson fimageI finsert-iff finterI fr-into-trancl ftrancl-into-trancl)

fun rr1-of-rr1-rel-impl :: ('f × nat) fset ⇒ ('f :: linorder, 'v) fin-trs list ⇒ ftrs
rr1-rel ⇒ (nat, 'f) reg option
and rr2-of-rr2-rel-impl :: ('f × nat) fset ⇒ ('f, 'v) fin-trs list ⇒ ftrs rr2-rel ⇒
(nat, 'f option × 'f option) reg option where
rr1-of-rr1-rel-impl F Rs R1Terms = Some (relabel-reg (term-reg F))
| rr1-of-rr1-rel-impl F Rs (R1NF is) = liftO1 (λR. (simplify-reg (nf-reg (fst |` R)
F))) (is-to-trs' Rs is)
| rr1-of-rr1-rel-impl F Rs (R1Inf r) = liftO1 (λR.
let A = trim-reg R in
simplify-reg (proj-1-reg (Inf-reg-impl A))
) (rr2-of-rr2-rel-impl F Rs r)
| rr1-of-rr1-rel-impl F Rs (R1Proj i r) = (case i of 0 ⇒
liftO1 (trim-reg ∘ proj-1-reg) (rr2-of-rr2-rel-impl F Rs r)
| - ⇒ liftO1 (trim-reg ∘ proj-2-reg) (rr2-of-rr2-rel-impl F Rs r))
| rr1-of-rr1-rel-impl F Rs (R1Union s1 s2) =
liftO2 (λ x y. relabel-reg (reg-union x y)) (rr1-of-rr1-rel-impl F Rs s1) (rr1-of-rr1-rel-impl
F Rs s2)
| rr1-of-rr1-rel-impl F Rs (R1Inter s1 s2) =
liftO2 (λ x y. simplify-reg (reg-intersect x y)) (rr1-of-rr1-rel-impl F Rs s1)
(rr1-of-rr1-rel-impl F Rs s2)
| rr1-of-rr1-rel-impl F Rs (R1Diff s1 s2) = liftO2 (λ x y. relabel-reg (trim-reg
(difference-reg x y))) (rr1-of-rr1-rel-impl F Rs s1) (rr1-of-rr1-rel-impl F Rs s2)

| rr2-of-rr2-rel-impl F Rs (R2GTT-Rel g w x) =
(case w of PRoot ⇒
(case x of ESingle ⇒ liftO1 (simplify-reg ∘ GTT-to-RR2-root-reg) (gtt-of-gtt-rel-impl
F Rs g)
| EParallel ⇒ liftO1 (simplify-reg ∘ reflcl-reg (lift-sig-RR2 |` F) ∘ GTT-to-RR2-root-reg)
(gtt-of-gtt-rel-impl F Rs g)
| EStrictParallel ⇒ liftO1 (simplify-reg ∘ GTT-to-RR2-root-reg) (gtt-of-gtt-rel-impl
F Rs g))
| PNonRoot ⇒
(case x of ESingle ⇒ liftO1 (simplify-reg ∘ ftrancl-eps-free-reg ∘ nhole-ctxt-closure-reg
(lift-sig-RR2 |` F) ∘ GTT-to-RR2-root-reg) (gtt-of-gtt-rel-impl F Rs g)

```

```

| EParallel  $\Rightarrow$  liftO1 (simplify-reg  $\circ$  eps-free-nhole-mctxt-refcl-reg (lift-sig-RR2
|  $\sqcap$   $\mathcal{F}$ )  $\circ$  GTT-to-RR2-root-reg) (gtt-of-gtt-rel-impl  $\mathcal{F}$  Rs g)
| EStrictParallel  $\Rightarrow$  liftO1 (simplify-reg  $\circ$  eps-free-nhole-mctxt-closure-reg
(lift-sig-RR2  $\sqcap$   $\mathcal{F}$ )  $\circ$  GTT-to-RR2-root-reg) (gtt-of-gtt-rel-impl  $\mathcal{F}$  Rs g))
| PAny  $\Rightarrow$ 
(case x of ESsingle  $\Rightarrow$  liftO1 (simplify-reg  $\circ$  ftrancl-eps-free-reg  $\circ$  ctxt-closure-reg
(lift-sig-RR2  $\sqcap$   $\mathcal{F}$ )  $\circ$  GTT-to-RR2-root-reg) (gtt-of-gtt-rel-impl  $\mathcal{F}$  Rs g)
| EParallel  $\Rightarrow$  liftO1 (simplify-reg  $\circ$  ftrancl-eps-free-reg  $\circ$  parallel-closure-reg
(lift-sig-RR2  $\sqcap$   $\mathcal{F}$ )  $\circ$  GTT-to-RR2-root-reg) (gtt-of-gtt-rel-impl  $\mathcal{F}$  Rs g)
| EStrictParallel  $\Rightarrow$  liftO1 (simplify-reg  $\circ$  eps-free-mctxt-closure-reg (lift-sig-RR2
|  $\sqcap$   $\mathcal{F}$ )  $\circ$  GTT-to-RR2-root-reg) (gtt-of-gtt-rel-impl  $\mathcal{F}$  Rs g)))
| rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs (R2Diag s) =
liftO1 ( $\lambda$  x. fmap-funs-reg ( $\lambda$ f. (Some f, Some f)) x) (rr1-of-rr1-rel-impl  $\mathcal{F}$  Rs
s)
| rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs (R2Prod s1 s2) =
liftO2 ( $\lambda$  x y. simplify-reg (pair-automaton-reg x y)) (rr1-of-rr1-rel-impl  $\mathcal{F}$  Rs
s1) (rr1-of-rr1-rel-impl  $\mathcal{F}$  Rs s2)
| rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs (R2Inv r) = liftO1 (fmap-funs-reg prod.swap) (rr2-of-rr2-rel-impl
 $\mathcal{F}$  Rs r)
| rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs (R2Union r1 r2) =
liftO2 ( $\lambda$  x y. relabel-reg (reg-union x y)) (rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs r1) (rr2-of-rr2-rel-impl
 $\mathcal{F}$  Rs r2)
| rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs (R2Inter r1 r2) =
liftO2 ( $\lambda$  x y. simplify-reg (reg-intersect x y)) (rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs r1)
(rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs r2)
| rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs (R2Diff r1 r2) = liftO2 ( $\lambda$  x y. simplify-reg (difference-reg
x y)) (rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs r1) (rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs r2)
| rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs (R2Comp r1 r2) = liftO2 ( $\lambda$  x y. simplify-reg (rr2-compositon
 $\mathcal{F}$  x y))
(rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs r1) (rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs r2)

```

lemmas ta-simp-unfold = simplify-reg-def relabel-reg-def trim-reg-def relabel-ta-def
term-reg-def

lemma is-ta-eps-free-trim-reg [intro!]:

is-ta-eps-free (ta R) \Longrightarrow is-ta-eps-free (ta (trim-reg R))

by (simp add: is-ta-eps-free-def trim-reg-def trim-ta-def ta-restrict-def)

lemma is-ta-eps-free-relabel-reg [intro!]:

is-ta-eps-free (ta R) \Longrightarrow is-ta-eps-free (ta (relabel-reg R))

by (simp add: is-ta-eps-free-def relabel-reg-def relabel-ta-def fmap-states-ta-def)

lemma is-ta-eps-free-simplify-reg [intro!]:

is-ta-eps-free (ta R) \Longrightarrow is-ta-eps-free (ta (simplify-reg R))

by (simp add: is-ta-eps-free-def ta-simp-unfold fmap-states-ta-def trim-ta-def ta-restrict-def)

lemma is-ta-emptyI [simp]:

is-ta-eps-free (TA R {||}) \longleftrightarrow True

by (simp add: is-ta-eps-free-def)

```

lemma is-ta-empty-trim-reg:
  is-ta-eps-free (ta A)  $\implies$  eps (ta (trim-reg A)) = {||}
  by (auto simp: is-ta-eps-free-def trim-reg-def trim-ta-def ta-restrict-def)

lemma is-proj-ta-eps-empty:
  is-ta-eps-free (ta R)  $\implies$  is-ta-eps-free (ta (proj-1-reg R))
  is-ta-eps-free (ta R)  $\implies$  is-ta-eps-free (ta (proj-2-reg R))
  by (auto simp: is-ta-eps-free-def proj-1-reg-def proj-2-reg-def collapse-automaton-reg-def
    collapse-automaton-def
    fmap-funs-reg-def fmap-funs-ta-def trim-reg-def trim-ta-def ta-restrict-def)

lemma is-pod-ta-eps-empty:
  is-ta-eps-free (ta R)  $\implies$  is-ta-eps-free (ta L)  $\implies$  is-ta-eps-free (ta (reg-intersect
    R L))
  by (auto simp: reg-intersect-def prod-ta-def prod-epsRp-def prod-epsLp-def is-ta-eps-free-def)

lemma is-fmap-funs-reg-eps-empty:
  is-ta-eps-free (ta R)  $\implies$  is-ta-eps-free (ta (fmap-funs-reg f R))
  by (auto simp: fmap-funs-reg-def fmap-funs-ta-def is-ta-eps-free-def)

lemma is-collapse-automaton-reg-eps-empty:
  is-ta-eps-free (ta R)  $\implies$  is-ta-eps-free (ta (collapse-automaton-reg R))
  by (auto simp: collapse-automaton-reg-def collapse-automaton-def is-ta-eps-free-def)

lemma is-pair-automaton-reg-eps-empty:
  is-ta-eps-free (ta R)  $\implies$  is-ta-eps-free (ta L)  $\implies$  is-ta-eps-free (ta (pair-automaton-reg
    R L))
  by (auto simp: pair-automaton-reg-def pair-automaton-def is-ta-eps-free-def)

lemma is-reflcl-automaton-eps-free:
  is-ta-eps-free A  $\implies$  is-ta-eps-free (reflcl-automaton (lift-sig-RR2 |` F) A)
  by (auto simp: is-ta-eps-free-def reflcl-automaton-def ta-union-def gen-reflcl-automaton-def
    Let-def fmap-states-ta-def)

lemma is-GTT-to-RR2-root-eps-empty:
  is-gtt-eps-free G  $\implies$  is-ta-eps-free (GTT-to-RR2-root G)
  by (auto simp: is-gtt-eps-free-def GTT-to-RR2-root-def pair-automaton-def is-ta-eps-free-def)

lemma is-term-automata-eps-empty:
  is-ta-eps-free (ta (term-reg F))  $\longleftrightarrow$  True
  by (auto simp: is-ta-eps-free-def term-reg-def term-automaton-def)

lemma is-ta-eps-free-eps-free-automata [simp]:
  is-ta-eps-free (eps-free-automata S R)  $\longleftrightarrow$  True
  by (auto simp: eps-free-automata-def is-ta-eps-free-def)

lemma rr2-of-rr2-rel-impl-eps-free:
  shows  $\forall A. rr1\text{-of-}rr1\text{-rel-impl } \mathcal{F} \text{ } Rs \text{ } r1 = Some A \longrightarrow is-ta-eps-free (ta A)$ 
   $\forall A. rr2\text{-of-}rr2\text{-rel-impl } \mathcal{F} \text{ } Rs \text{ } r2 = Some A \longrightarrow is-ta-eps-free (ta A)$ 

```

```

proof (induct r1 and r2)
case R1Terms
  then show ?case
  by (auto simp: is-ta-eps-free-def term-automaton-def fmap-states-ta-def ta-simp-unfold)
next
  case (R1NF x)
  then show ?case
  by (auto simp: nf-reg-def nf-ta-def)
next
  case (R1Inf x)
  then show ?case
  by (auto simp: Inf-reg-impl-def Let-def Inf-reg-def Inf-automata-def is-ta-empty-trim-reg intro!: is-proj-ta-eps-empty)
next
  case (R1Proj n x2)
  then show ?case
  by (cases n) (auto intro!: is-proj-ta-eps-empty)
next
  case (R1Union x1 x2)
  then show ?case
  by (simp add: reg-union-def ta-union-def fmap-states-ta-def is-ta-eps-free-def relabel-reg-def relabel-ta-def)
next
  case (R1Inter x1 x2)
  then show ?case
  by (auto intro: is-pod-ta-eps-empty)
next
  case (R1Diff x1 x2)
  then show ?case
  by (auto simp: difference-reg-def Let-def complement-reg-def ps-reg-def ps-ta-def intro!: is-pod-ta-eps-empty)
next
  case (R2GTT-Rel x1 x2 x3)
  then show ?case
  by (cases x2; cases x3) (auto simp: GTT-to-RR2-root-reg-def ftranci-eps-free-closures-def eps-free-nhole-mctxt-closure-reg-def eps-free-nhole-mctxt-reflcl-reg-def Let-def eps-free-mctxt-closure-reg-def reflcl-reg-def dest: gtt-of-gtt-rel-impl-is-gtt-eps-free intro!: is-GTT-to-RR2-root-eps-empty is-reflcl-automaton-eps-free)
next
  case (R2Diag x)
  then show ?case by (auto simp: fmap-funs-reg-def fmap-funs-ta-def is-ta-eps-free-def)
next
  case (R2Prod x1 x2)
  then show ?case by (auto intro: is-pair-automaton-reg-eps-empty)
next
  case (R2Inv x)
  then show ?case by (auto simp: fmap-funs-reg-def fmap-funs-ta-def is-ta-eps-free-def)
next

```

```

case ( $R2Union\ x1\ x2$ )
then show ?case by (simp add: reg-union-def ta-union-def fmap-states-ta-def
is-ta-eps-free-def relabel-reg-def relabel-ta-def)
next
case ( $R2Inter\ x1\ x2$ )
then show ?case by (auto intro: is-pod-ta-eps-empty)
next
case ( $R2Diff\ x1\ x2$ )
then show ?case by (auto simp: difference-reg-def Let-def complement-reg-def
ps-reg-def ps-ta-def intro!: is-pod-ta-eps-empty)
next
case ( $R2Comp\ x1\ x2$ )
then show ?case by (auto simp: is-term-automata-eps-empty rr2-compositon-def
Let-def
intro!: is-pod-ta-eps-empty is-fmap-funs-reg-eps-empty is-collapse-automaton-reg-eps-empty
is-pair-automaton-reg-eps-empty)
qed

lemma rr-of-rr-rel-impl-complete:
rr1-of-rr1-rel-impl  $\mathcal{F}$  Rs r1 ≠ None  $\longleftrightarrow$  rr1-of-rr1-rel  $\mathcal{F}$  Rs r1 ≠ None
rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs r2 ≠ None  $\longleftrightarrow$  rr2-of-rr2-rel  $\mathcal{F}$  Rs r2 ≠ None
proof (induct r1 and r2)
case ( $R1Proj\ n\ x2$ )
then show ?case by (cases n) auto
next
case ( $R2GTT-Rel\ x1\ n\ p$ )
then show ?case using gtt-of-gtt-rel-impl-gtt-of-gtt-rel[of  $\mathcal{F}$  Rs]
by (cases p; cases n) auto
qed auto

lemma  $\mathcal{Q}$ -fmap-funs-reg [simp]:
 $\mathcal{Q}_r(fmap\text{-}fun\text{-}reg\ f\ \mathcal{A}) = \mathcal{Q}_r\ \mathcal{A}$ 
by (auto simp: fmap-funs-reg-def)

lemma ta-reachable-fmap-funs-reg [simp]:
ta-reachable (ta (fmap-funs-reg f  $\mathcal{A}$ )) = ta-reachable (ta  $\mathcal{A}$ )
by (auto simp: fmap-funs-reg-def)

lemma collapse-reg-cong:
 $\mathcal{Q}_r\ \mathcal{A} \sqsubseteq ta\text{-reachable}(ta\ \mathcal{A}) \implies \mathcal{Q}_r\ \mathcal{B} \sqsubseteq ta\text{-reachable}(ta\ \mathcal{B}) \implies \mathcal{L}\ \mathcal{A} = \mathcal{L}\ \mathcal{B}$ 
 $\implies \mathcal{L}\ (\text{collapse-automaton-reg}\ \mathcal{A}) = \mathcal{L}\ (\text{collapse-automaton-reg}\ \mathcal{B})$ 
by (auto simp: collapse-automaton-reg-def L-def collapse-automaton')

lemma L-fmap-funs-reg-cong:
 $\mathcal{L}\ \mathcal{A} = \mathcal{L}\ \mathcal{B} \implies \mathcal{L}\ (fmap\text{-}fun\text{-}reg\ h\ \mathcal{A}) = \mathcal{L}\ (fmap\text{-}fun\text{-}reg\ h\ \mathcal{B})$ 
by (auto simp: fmap-funs-L)

lemma L-pair-automaton-reg-cong:
 $\mathcal{L}\ \mathcal{A} = \mathcal{L}\ \mathcal{B} \implies \mathcal{L}\ \mathcal{C} = \mathcal{L}\ \mathcal{D} \implies \mathcal{L}\ (\text{pair-automaton-reg}\ \mathcal{A}\ \mathcal{C}) = \mathcal{L}\ (\text{pair-automaton-reg}\ \mathcal{B}\ \mathcal{D})$ 

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 $\mathcal{B} \mathcal{D})$ 
by (auto simp: pair-automaton')

lemma  $\mathcal{L}$ -nhole-ctxt-closure-reg-cong:
 $\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{nhole-ctxt-closure-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{nhole-ctxt-closure-reg } \mathcal{G} \mathcal{B})$ 
by (auto simp: nhole-ctxtcl-lang)

lemma  $\mathcal{L}$ -nhole-mctxt-closure-reg-cong:
 $\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{nhole-mctxt-closure-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{nhole-mctxt-closure-reg } \mathcal{G} \mathcal{B})$ 
by (auto simp: nhole-gmctxt-closure-lang)

lemma  $\mathcal{L}$ -ctxt-closure-reg-cong:
 $\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{ctxt-closure-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{ctxt-closure-reg } \mathcal{G} \mathcal{B})$ 
by (auto simp: ctxtc-closure-lang)

lemma  $\mathcal{L}$ -parallel-closure-reg-cong:
 $\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{parallel-closure-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{parallel-closure-reg } \mathcal{G} \mathcal{B})$ 
by (auto simp: parallelcl-gmctxt-lang)

lemma  $\mathcal{L}$ -mctxt-closure-reg-cong:
 $\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{mctxt-closure-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{mctxt-closure-reg } \mathcal{G} \mathcal{B})$ 
by (auto simp: gmctxt-closure-lang)

lemma  $\mathcal{L}$ -nhole-mctxt-refcl-reg-cong:
 $\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{F} = \mathcal{G} \implies \mathcal{L} (\text{nhole-mctxt-refcl-reg } \mathcal{F} \mathcal{A}) = \mathcal{L} (\text{nhole-mctxt-refcl-reg } \mathcal{G} \mathcal{B})$ 
unfold nhole-mctxt-refcl-lang
by (intro arg-cong2[where ?f = ( $\cup$ )]  $\mathcal{L}$ -nhole-mctxt-closure-reg-cong) auto

declare equalityI[rule del]
declare fsubsetI[rule del]
lemma  $\mathcal{L}$ -proj-1-reg-cong:
 $\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{L} (\text{proj-1-reg } \mathcal{A}) = \mathcal{L} (\text{proj-1-reg } \mathcal{B})$ 
by (auto simp: proj-1-reg-def  $\mathcal{L}$ -trim intro!: collapse-reg-cong  $\mathcal{L}$ -fmap-funs-reg-cong)

lemma  $\mathcal{L}$ -proj-2-reg-cong:
 $\mathcal{L} \mathcal{A} = \mathcal{L} \mathcal{B} \implies \mathcal{L} (\text{proj-2-reg } \mathcal{A}) = \mathcal{L} (\text{proj-2-reg } \mathcal{B})$ 
by (auto simp: proj-2-reg-def  $\mathcal{L}$ -trim intro!: collapse-reg-cong  $\mathcal{L}$ -fmap-funs-reg-cong)

lemma rr2-of-rr2-rel-impl-sound:
assumes  $\forall R \in \text{set } Rs. \text{lv-trs } (\text{fset } R) \wedge \text{ffunas-trs } R \subseteq \mathcal{F}$ 
shows  $\bigwedge A B. \text{rr1-of-rr1-rel-impl } \mathcal{F} Rs r1 = \text{Some } A \implies \text{rr1-of-rr1-rel } \mathcal{F} Rs r1 = \text{Some } B \implies \mathcal{L} A = \mathcal{L} B$ 
 $\bigwedge A B. \text{rr2-of-rr2-rel-impl } \mathcal{F} Rs r2 = \text{Some } A \implies \text{rr2-of-rr2-rel } \mathcal{F} Rs r2 = \text{Some } B \implies \mathcal{L} A = \mathcal{L} B$ 

```

```

proof (induct r1 and r2)
  case (R1Inf r)
    then obtain C D where inf: rr2-of-rr2-rel-impl F Rs r = Some C rr2-of-rr2-rel
F Rs r = Some D
       $\mathcal{L} C = \mathcal{L} D$  by auto
      have spec: RR2-spec C (eval-rr2-rel (fset F) (map fset Rs) r) RR2-spec D
(eval-rr2-rel (fset F) (map fset Rs) r)
        using rr12-of-rr12-rel-correct(2)[OF assms, rule-format, OF inf(2)] inf(3)
        by (auto simp: RR2-spec-def)
      then have trim-spec: RR2-spec (trim-reg C) (eval-rr2-rel (fset F) (map fset Rs)
r)
        RR2-spec (trim-reg D) (eval-rr2-rel (fset F) (map fset Rs) r)
        by (auto simp: RR2-spec-def L-trim)
      let ?C = Inf-reg (trim-reg C) (Q-infty (ta (trim-reg C)) F) let ?D = Inf-reg
(trim-reg D) (Q-infty (ta (trim-reg D)) F)
      from spec have *: L (Inf-reg-impl (trim-reg C)) = L ?C
        using eval-rr12-rel-sig(2)[of fset F map fset Rs r]
        by (intro Inf-reg-impl-sound) (auto simp: RR2-spec-def L-trim T_G-equivalent-def)
      from spec have **: L (Inf-reg-impl (trim-reg D)) = L ?D
        using eval-rr12-rel-sig(2)[of fset F map fset Rs r]
        by (intro Inf-reg-impl-sound) (auto simp: RR2-spec-def L-trim T_G-equivalent-def)
      then have C: RR2-spec ?C {(s, t) | s t. gpair s t ∈ L ?C} and
        D: RR2-spec ?D {(s, t) | s t. gpair s t ∈ L ?D}
        using subset-trans[OF Inf-automata-subseteq[of trim-reg C F], of L C] spec
        using subset-trans[OF Inf-automata-subseteq[of trim-reg D F], of L D]
        using eval-rr12-rel-sig(2)[of fset F map fset Rs r]
        by (auto simp: RR2-spec-def L-trim T_G-equivalent-def intro!: equalityI fsubsetI)
      from *** have r: L (proj-1-reg (Inf-reg-impl (trim-reg C))) = L (proj-1-reg ?C)
        L (proj-1-reg (Inf-reg-impl (trim-reg D))) = L (proj-1-reg ?D)
        by (auto intro: L-proj-1-reg-cong)
      from L-Inf-reg[OF trim-spec(1), of F] L-Inf-reg[OF trim-spec(2), of F]
      show ?case using R1Inf eval-rr12-rel-sig(2)[of fset F map fset Rs r]
        by (auto simp: liftO1-def r inf T_G-equivalent-def L-proj(1)[OF C] L-proj(1)[OF
D])
    next
      case (R1Proj n x2)
        then show ?case by (cases n)
        (auto simp: liftO1-def L-trim proj-1-reg-def proj-2-reg-def intro!: fsubsetI L-fmap-funs-reg-cong
collapse-reg-cong, (meson fin-mono trim-reg-reach)+)
    next
      case (R2GTT-Rel g p n) note IH = this
      note ass = R2GTT-Rel
      consider (a) ∃ A. gtt-of-gtt-rel-impl F Rs g = Some A | (b) gtt-of-gtt-rel-impl
F Rs g = None by blast
      then show ?case
      proof cases
        case a then obtain C D where gtt [simp]: gtt-of-gtt-rel-impl F Rs g = Some
C
          gtt-of-gtt-rel F Rs g = Some D using gtt-of-gtt-rel-impl-gtt-of-gtt-rel by blast

```

```

from gtt-of-gtt-rel-impl-sound[OF this]
have spec [simp]: agtt-lang C = agtt-lang D by auto
have eps [simp]: is-ta-eps-free (ta (GTT-to-RR2-root-reg C))
  using gtt-of-gtt-rel-impl-is-gtt-eps-free[OF gtt(1)]
by (auto simp: GTT-to-RR2-root-reg-def GTT-to-RR2-root-def pair-automaton-def
is-ta-eps-free-def is-gtt-eps-free-def)
have lang:  $\mathcal{L}$  (GTT-to-RR2-root-reg C) =  $\mathcal{L}$  (GTT-to-RR2-root-reg D)
  by (metis (no-types, lifting) GTT-to-RR2-root RR2-spec-def spec)
show ?thesis
proof (cases p)
  case PRoot
  then show ?thesis using IH spec lang
    by (cases n) (auto simp:  $\mathcal{L}$ -eps-free  $\mathcal{L}$ -reflcl-reg)
next
  case PNonRoot
  then show ?thesis using IH
    by (cases n) (auto simp:  $\mathcal{L}$ -eps-free  $\mathcal{L}$ -eps-free-nhole-ctxt-closure-reg[OF eps]
 $\mathcal{L}$ -eps-free-nhole-mctxt-reflcl-reg[OF eps]  $\mathcal{L}$ -eps-free-nhole-mctxt-closure-reg[OF eps])
      lang intro:  $\mathcal{L}$ -nhole-ctxt-closure-reg-cong  $\mathcal{L}$ -nhole-mctxt-reflcl-reg-cong  $\mathcal{L}$ -nhole-mctxt-closure-reg-cong
next
  case PAny
  then show ?thesis using IH
    by (cases n) (auto simp:  $\mathcal{L}$ -eps-free  $\mathcal{L}$ -eps-free-ctxt-closure-reg[OF eps]
 $\mathcal{L}$ -eps-free-parallel-closure-reg[OF eps]  $\mathcal{L}$ -eps-free-mctxt-closure-reg[OF eps])
lang
  intro!:  $\mathcal{L}$ -ctxt-closure-reg-cong  $\mathcal{L}$ -parallel-closure-reg-cong  $\mathcal{L}$ -mctxt-closure-reg-cong
qed
next
  case b then show ?thesis using IH
    by (cases p; cases n) auto
qed
next
  case (R2Comp x1 x2)
  then show ?case
    by (auto simp: liftO1-def rr2-compositon-def  $\mathcal{L}$ -trim  $\mathcal{L}$ -intersect Let-def
      intro!:  $\mathcal{L}$ -pair-automaton-reg-cong  $\mathcal{L}$ -fmap-funs-reg-cong collapse-reg-cong
      arg-cong2[where ?f = ( $\cap$ )])
qed (auto simp: liftO1-def  $\mathcal{L}$ -intersect  $\mathcal{L}$ -union  $\mathcal{L}$ -trim  $\mathcal{L}$ -difference-reg intro!:  $\mathcal{L}$ -fmap-funs-reg-cong
 $\mathcal{L}$ -pair-automaton-reg-cong)
declare equalityI[intro!]
declare fsubsetI[intro!]

lemma rr12-of-rr12-rel-impl-correct:
  assumes  $\forall R \in \text{set } Rs. \text{lv-trs } (\text{fset } R) \wedge \text{ffunas-trs } R \subseteq \mathcal{F}$ 
  shows  $\forall ta1. \text{rr1-of-rr1-rel-impl } \mathcal{F} \text{ } Rs \text{ } r1 = \text{Some } ta1 \longrightarrow \text{RR1-spec } ta1$  (eval-rr1-rel
  (fset  $\mathcal{F}$ ) (map fset Rs) r1)
     $\forall ta2. \text{rr2-of-rr2-rel-impl } \mathcal{F} \text{ } Rs \text{ } r2 = \text{Some } ta2 \longrightarrow \text{RR2-spec } ta2$  (eval-rr2-rel
  (fset  $\mathcal{F}$ ) (map fset Rs) r2)

```

```

using rr12-of-rr12-rel-correct(1)[OF assms, of r1]
using rr12-of-rr12-rel-correct(2)[OF assms, of r2]
using rr2-of-rr2-rel-impl-sound(1)[OF assms, of r1]
using rr2-of-rr2-rel-impl-sound(2)[OF assms, of r2]
using rr-of-rr-rel-impl-complete(1)[of  $\mathcal{F}$  Rs r1]
using rr-of-rr-rel-impl-complete(2)[of  $\mathcal{F}$  Rs r2]
by (force simp: RR1-spec-def RR2-spec-def)+

lemma check-inference-rrn-impl-correct:
  assumes sig:  $\mathcal{T}_G$  (fset  $\mathcal{F}$ )  $\neq \{\}$  and Rs:  $\forall R \in \text{set } Rs. \text{lv-trs}(\text{fset } R) \wedge \text{ffunas-trs}$   

 $R \sqsubseteq \mathcal{F}$ 
  assumes infs:  $\bigwedge fva. fva \in \text{set } infs \implies \text{formula-spec}(\text{fset } \mathcal{F}) (\text{map fset } Rs) (\text{fst}$   

 $(\text{snd } fva)) (\text{snd } (\text{snd } fva)) (\text{fst } fva)$ 
  assumes inf: check-inference rr1-of-rr1-rel-impl rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs infs (l,  

step, fm, is) = Some (fm', vs, A')
  shows l = length infs  $\wedge$  fm = fm'  $\wedge$  formula-spec (fset  $\mathcal{F}$ ) (map fset Rs) vs A'  

fm'
  using check-inference-correct[where ?rr1c = rr1-of-rr1-rel-impl and ?rr2c =  

rr2-of-rr2-rel-impl, OF assms]
  using rr12-of-rr12-rel-impl-correct[OF Rs]
  by auto

definition check-sig-nempty where
  check-sig-nempty  $\mathcal{F}$  = (0 | $\in$  snd |`  $\mathcal{F}$ )

definition check-trss where
  check-trss  $\mathcal{R}$   $\mathcal{F}$  = list-all ( $\lambda R. \text{lv-trs}(\text{fset } R) \wedge \text{funas-trs}(\text{fset } R) \subseteq \text{fset } \mathcal{F}$ )  $\mathcal{R}$ 

lemma check-sig-nempty:
  check-sig-nempty  $\mathcal{F}$   $\longleftrightarrow$   $\mathcal{T}_G$  (fset  $\mathcal{F}$ )  $\neq \{\}$  (is ?Ls  $\longleftrightarrow$  ?Rs)
proof -
  {assume ?Ls then obtain a where (a, 0) | $\in$   $\mathcal{F}$  by (auto simp: check-sig-nempty-def)
  then have GFun a []  $\in$   $\mathcal{T}_G$  (fset  $\mathcal{F}$ )
  by (intro const) simp
  then have ?Rs by blast}
  moreover
  {assume ?Rs then obtain s where s  $\in$   $\mathcal{T}_G$  (fset  $\mathcal{F}$ ) by blast
  then obtain a where (a, 0) | $\in$   $\mathcal{F}$ 
  by (induct s) (auto, force)
  then have ?Ls unfolding check-sig-nempty-def
  by (auto simp: image-iff Bex-def)}
  ultimately show ?thesis by blast
qed

lemma check-trss:
  check-trss  $\mathcal{R}$   $\mathcal{F}$   $\longleftrightarrow$  ( $\forall R \in \text{set } \mathcal{R}. \text{lv-trs}(\text{fset } R) \wedge \text{ffunas-trs} R \sqsubseteq \mathcal{F}$ )
  unfolding check-trss-def list-all-iff
  by (auto simp: ffunas-trs.rep-eq less-eq-fset.rep-eq)

```

```

fun check-inference-list :: ('f × nat) fset ⇒ ('f :: {compare,linorder}, 'v) fin-trs
list
  ⇒ (nat × ftrs inference × ftrs formula × info list) list
  ⇒ (ftrs formula × nat list × (nat, 'f option list) reg) list option where
check-inference-list  $\mathcal{F}$  Rs infs = do {
  guard (check-sig-nempty  $\mathcal{F}$ );
  guard (check-trss Rs  $\mathcal{F}$ );
  foldl (λ tas inf. do {
    tas' ← tas;
    r ← check-inference rr1-of-rr1-rel-impl rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs tas' inf;
    Some (tas' @ [r])
  })
  (Some []) infs
}

lemma check-inference-list-correct:
assumes check-inference-list  $\mathcal{F}$  Rs infs = Some fvAs
shows length infs = length fvAs ∧ (∀ i < length fvAs. fst (snd (snd (infs ! i))) =
fst (fvAs ! i)) ∧
(∀ i < length fvAs. formula-spec (fset  $\mathcal{F}$ ) (map fset Rs) (fst (snd (fvAs ! i))) =
(snd (snd (fvAs ! i))) (fst (fvAs ! i)))
using assms
proof (induct infs arbitrary: fvAs rule: rev-induct)
note [simp] = bind-eq-Some-conv guard-simps
{case Nil
  then show ?case by auto
next
  case (snoc a infs)
  have inv:  $\mathcal{T}_G$  (fset  $\mathcal{F}$ ) ≠ {} ∀ R ∈ set Rs. lv-trs (fset R) ∧ ffunas-trs R ⊆  $\mathcal{F}$ 
  using snoc(2) by (auto simp: check-sig-nempty check-trss)
  from snoc(2) obtain fvAs' l steps fm fm' is' vs A' where
    ch: check-inference-list  $\mathcal{F}$  Rs infs = Some fvAs' a = (l, steps, fm, is')
    check-inference rr1-of-rr1-rel-impl rr2-of-rr2-rel-impl  $\mathcal{F}$  Rs fvAs' (l, steps, fm,
    is') = Some (fm', vs, A') fvAs = fvAs' @ [(fm', vs, A')]
    by (auto simp del: check-inference.simps) (metis prod-cases4)
    from snoc(1)[OF ch(1)] have fvA ∈ set fvAs' ⇒ formula-spec (fset  $\mathcal{F}$ ) (map
    fset Rs) (fst (snd fvA)) (snd (snd fvA)) (fst fvA) for fvA
    by (auto dest: in-set-idx)
    from check-inference-rrn-impl-correct[OF inv this, of fvAs'] this
    show ?case using snoc(1)[OF ch(1)] ch
    by (auto simp del: check-inference.simps simp: nth-append)
  }
qed

fun check-certificate where
check-certificate  $\mathcal{F}$  Rs A fm (Certificate infs claim n) = do {
  guard (n < length infs);
  guard (A ←→ claim = Nonempty);
  guard (fm = fst (snd (snd (infs ! n))));}

```

```

fvA ← check-inference-list  $\mathcal{F}$  Rs (take (Suc n) infs);
(let E = reg-empty (snd (snd (last fvA))) in
  case claim of Empty ⇒ Some E
  | - ⇒ Some ( $\neg$  E))
}

definition formula-unsatisfiable where
  formula-unsatisfiable  $\mathcal{F}$  Rs fm  $\longleftrightarrow$  (formula-satisfiable  $\mathcal{F}$  Rs fm = False)

definition correct-certificate where
  correct-certificate  $\mathcal{F}$  Rs claim infs n ≡
    (claim = Empty  $\longleftrightarrow$  (formula-unsatisfiable (fset  $\mathcal{F}$ ) (map fset Rs) (fst (snd (snd (infs ! n)))))  $\wedge$ 
     claim = Nonempty  $\longleftrightarrow$  formula-satisfiable (fset  $\mathcal{F}$ ) (map fset Rs) (fst (snd (snd (infs ! n)))))

lemma check-certificate-sound:
  assumes check-certificate  $\mathcal{F}$  Rs A fm (Certificate infs claim n) = Some B
  shows fm = fst (snd (snd (infs ! n))) A  $\longleftrightarrow$  claim = Nonempty
  using assms by (auto simp: bind-eq-Some-conv guard-simps)

lemma check-certificate-correct:
  assumes check-certificate  $\mathcal{F}$  Rs A fm (Certificate infs claim n) = Some B
  shows (B = True  $\longrightarrow$  correct-certificate  $\mathcal{F}$  Rs claim infs n)  $\wedge$ 
    (B = False  $\longrightarrow$  correct-certificate  $\mathcal{F}$  Rs (case-claim Nonempty Empty claim)
     infs n)
  proof -
    note [simp] = bind-eq-Some-conv guard-simps
    from assms obtain fvAs where inf: check-inference-list  $\mathcal{F}$  Rs (take (Suc n) infs) = Some fvAs
      by auto
    from assms have len: n < length infs by auto
    from check-inference-list-correct[OF inf] have
      inv: length fvAs = n + 1
      fst (snd (snd (infs ! n))) = fst (fvAs ! n)
      formula-spec (fset  $\mathcal{F}$ ) (map fset Rs) (fst (snd (last fvAs))) (snd (snd (last fvAs)))
      (fst (last fvAs))
      using len last-conv-nth[of fvAs] by force+
      have nth: fst (last fvAs) = fst (fvAs ! n) using inv(1)
        using len last-conv-nth[of fvAs] by force
      note spec = formula-spec-empty[OF - inv(3)] formula-spec-nt-empty-form-sat[OF
      - inv(3)]
      consider (a) claim = Empty | (b) claim = Nonempty using claim.exhaust by
      blast
      then show ?thesis
      proof cases
        case a
        then have *: B = reg-empty (snd (snd (last fvAs))) using inv
          using assms len last-conv-nth[of fvAs]

```

```

    by (auto simp: inf simp del: check-inference-list.simps)
  show ?thesis using a inv spec unfolding *
    by (auto simp: formula-satisfiable-def nth correct-certificate-def formula-unsatisfiable-def
simp del: reg-empty)
  next
  case b
  then have *:  $B \longleftrightarrow \neg (\text{reg-empty} (\text{snd} (\text{snd} (\text{last} \text{ fvAs}))))$  using inv
    using assms len last-conv-nth[of fvAs]
    by (auto simp: inf simp del: check-inference-list.simps)
  show ?thesis using b inv spec unfolding *
    by (auto simp: formula-satisfiable-def nth formula-unsatisfiable-def correct-certificate-def
simp del: reg-empty)
  qed
qed

definition check-certificate-string :: 
  (integer list × fvar) fset ⇒ 
  ((integer list, integer list) Term.term × (integer list, integer list) Term.term)
fset list ⇒ 
  bool ⇒ ftrs formula ⇒ ftrs certificate ⇒ bool option
where check-certificate-string = check-certificate

export-code check-certificate-string Var Fun fset-of-list nat-of-integer Certificate
R2GTT-Rel R2Eq R2Reflc R2Step R2StepEq R2Steps R2StepsEq R2StepsNF
R2ParStep R2RootStep
R2RootStepEq R2RootSteps R2RootStepsEq R2NonRootStep R2NonRootStepEq
R2NonRootSteps
R2NonRootStepsEq R2Meet R2Join
ARoot GSteps PRoot ESsingle Empty Size EDistribAndOr
R1Terms R1Fin
FRR1 FRestrict FTrue FFalse
IRR1 Fwd in Haskell module-name FOR

end

```

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