

# Basic First-Order Model Theory – A Translation from HOL Light

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## Abstract

This AFP entry presents a proof of compactness of first-order logic, the Löwenheim-Skolem theorem and the Uniformity lemma. It is a translation of a HOL Light formalization work by John Harrison [1]. Whenever possible, existing Isabelle/HOL theories have been used instead of direct translation.

## Contents

**theory** *FOL-Syntax*

**imports**

*Main*

*Propositional-Proof-Systems.Compactness*

*First-Order-Terms.Term*

*First-Order-Terms.Subterm-and-Context*

**begin**

**no-notation** *Not* ( $\neg$ )

**no-notation** *And* (**infix**  $\wedge$  68)

**no-notation** *Or* (**infix**  $\vee$  68)

**lemma** *count-terms:*

*OFCLASS('f::countable, 'v::countable) term, countable-class)*

*<proof>*

**instance** *term :: (countable, countable) countable*

*<proof>*

**type-synonym**  $nterm = \langle (nat, nat) term \rangle$

**lemma**  $count\text{-}nterms$ :  $OFCLASS(nterm, countable\text{-}class)$   
 $\langle proof \rangle$

**instance**  $formula :: (countable) countable$   
 $\langle proof \rangle$

**term**  $test (0, [Var 0])$

**abbreviation**  $functions\text{-}term :: \langle nterm \Rightarrow (nat \times nat) set \rangle$  **where**  
 $\langle functions\text{-}term t \equiv funas\text{-}term t \rangle$

**datatype**  $form =$   
 $Bot \langle \perp \rangle$   
 $| Atom (pred: nat) (args: \langle nterm list \rangle)$   
 $| Implies form form \langle \mathbf{infixl} \langle \longrightarrow \rangle 85 \rangle$   
 $| Forall nat form \langle \langle \forall \ . \ \rightarrow \ [0, 70] 70 \rangle \rangle$

**fun**  $functions\text{-}form :: \langle form \Rightarrow (nat \times nat) set \rangle$  **where**  
 $\langle functions\text{-}form \perp = \{ \} \rangle$   
 $| \langle functions\text{-}form (Atom p ts) = (\bigcup t \in set\ ts. functions\text{-}term t) \rangle$   
 $| \langle functions\text{-}form (\varphi \longrightarrow \psi) = functions\text{-}form \varphi \cup functions\text{-}form \psi \rangle$   
 $| \langle functions\text{-}form (\forall x. \varphi) = functions\text{-}form \varphi \rangle$

**fun**  $predicates\text{-}form :: \langle form \Rightarrow (nat \times nat) set \rangle$  **where**  
 $\langle predicates\text{-}form \perp = \{ \} \rangle$   
 $| \langle predicates\text{-}form (Atom p ts) = \{ (p, length\ ts) \} \rangle$   
 $| \langle predicates\text{-}form (\varphi \longrightarrow \psi) = predicates\text{-}form \varphi \cup predicates\text{-}form \psi \rangle$   
 $| \langle predicates\text{-}form (\forall x. \varphi) = predicates\text{-}form \varphi \rangle$

**definition**  $functions\text{-}forms :: \langle form\ set \Rightarrow (nat \times nat) set \rangle$  **where**  
 $\langle functions\text{-}forms fms \equiv \bigcup f \in fms. functions\text{-}form f \rangle$

**definition**  $predicates :: \langle form\ set \Rightarrow (nat \times nat) set \rangle$  **where**  
 $\langle predicates fms \equiv \bigcup f \in fms. predicates\text{-}form f \rangle$

**definition**  $language :: \langle form\ set \Rightarrow ((nat \times nat) set \times (nat \times nat) set) \rangle$  **where**  
 $\langle language fms = (functions\text{-}forms fms, predicates fms) \rangle$

**lemma**  $lang\text{-}singleton$ :  $\langle language \{p\} = (functions\text{-}form p, predicates\text{-}form p) \rangle$   
 $\langle proof \rangle$

**abbreviation**  $Not :: \langle form \Rightarrow form \rangle \langle \langle \neg \rightarrow \ [90] 90 \rangle \rangle$  **where**  
 $\langle \neg \varphi \equiv \varphi \longrightarrow \perp \rangle$

**abbreviation**  $Top :: \langle form \rangle \langle \langle \top \rangle \rangle$  **where**  
 $\langle \top \equiv \neg \perp \rangle$

**abbreviation** *Or* ::  $\langle \text{form} \Rightarrow \text{form} \Rightarrow \text{form} \rangle$

(**infixl**  $\langle \vee \rangle$  84) **where**

$\langle \varphi \vee \psi \equiv (\varphi \longrightarrow \psi) \longrightarrow \psi \rangle$

**abbreviation** *And* ::  $\langle \text{form} \Rightarrow \text{form} \Rightarrow \text{form} \rangle$

(**infixl**  $\langle \wedge \rangle$  84) **where**

$\langle \varphi \wedge \psi \equiv \neg (\neg \varphi \vee \neg \psi) \rangle$

**abbreviation** *Equiv* ::  $\langle \text{form} \Rightarrow \text{form} \Rightarrow \text{form} \rangle$

(**infix**  $\langle \longleftrightarrow \rangle$  70) **where**

$\langle \varphi \longleftrightarrow \psi \equiv (\varphi \longrightarrow \psi \wedge \psi \longrightarrow \varphi) \rangle$

**abbreviation** *Exists* ::  $\langle \text{nat} \Rightarrow \text{form} \Rightarrow \text{form} \rangle$

( $\langle \exists \text{ . . . } \rightarrow [0, 70] \text{ 70} \rangle$ ) **where**

$\langle \exists x. \varphi \equiv \neg (\forall x. \neg \varphi) \rangle$

**lemma** *ex-all-distinct*:  $\langle \forall x. \varphi \neq \exists y. \psi \rangle$

$\langle \text{proof} \rangle$

**abbreviation** *FVT* ::  $\langle \text{nterm} \Rightarrow \text{nat set} \rangle$  **where**

$\langle \text{FVT} \equiv \text{vars-term} \rangle$

**fun** *FV* ::  $\langle \text{form} \Rightarrow \text{nat set} \rangle$  **where**

$\langle \text{FV} \perp = \{\} \rangle$

|  $\langle \text{FV} (\text{Atom} - \text{ts}) = (\bigcup a \in \text{set ts. FVT } a) \rangle$

|  $\langle \text{FV} (\varphi \longrightarrow \psi) = \text{FV } \varphi \cup \text{FV } \psi \rangle$

|  $\langle \text{FV} (\forall x. \varphi) = \text{FV } \varphi - \{x\} \rangle$

**lemma** *FV-all-subs*:  $\langle \text{FV } \varphi \subseteq \text{FV} (\forall x. \varphi) \cup \{x\} \rangle$

$\langle \text{proof} \rangle$

**lemma** *FV-exists*:  $\langle \text{FV} (\exists x. \varphi) = \text{FV } \varphi - \{x\} \rangle$

$\langle \text{proof} \rangle$

**lemma** *finite-FV*:  $\langle \text{finite} (\text{FV } \varphi) \rangle$

$\langle \text{proof} \rangle$

**fun** *BV* ::  $\langle \text{form} \Rightarrow \text{nat set} \rangle$  **where**

$\langle \text{BV} \perp = \{\} \rangle$

|  $\langle \text{BV} (\text{Atom} - \text{args}') = \{\} \rangle$

|  $\langle \text{BV} (\varphi \longrightarrow \psi) = \text{BV } \varphi \cup \text{BV } \psi \rangle$

|  $\langle \text{BV} (\forall x. \varphi) = \text{BV } \varphi \cup \{x\} \rangle$

**lemma** *finite-BV*:  $\langle \text{finite} (\text{BV } \varphi) \rangle$

$\langle \text{proof} \rangle$

**definition** *variant* ::  $\langle \text{nat set} \Rightarrow \text{nat} \rangle$  **where**

$\langle \text{variant } s = \text{Max } s + 1 \rangle$

**lemma** *variant-finite*:  $\langle \text{finite } s \Longrightarrow \neg (\text{variant } s \in s) \rangle$

$\langle \text{proof} \rangle$

**lemma** *variant-form*:  $\langle \neg \text{variant } (FV \ \varphi) \in FV \ \varphi \rangle$

$\langle \text{proof} \rangle$

**fun** *formsubst* ::  $\langle \text{form} \Rightarrow (\text{nat}, \text{nat}) \text{ subst} \Rightarrow \text{form} \rangle$  (**infixl**  $\langle \cdot_{fm} \rangle$  75) **where**

$\langle \perp \cdot_{fm} - = \perp \rangle$

|  $\langle (\text{Atom } p \ ts) \cdot_{fm} \ \sigma = \text{Atom } p \ [t \cdot \sigma. \ t \leftarrow ts] \rangle$

|  $\langle (\varphi \longrightarrow \psi) \cdot_{fm} \ \sigma = (\varphi \cdot_{fm} \ \sigma) \longrightarrow (\psi \cdot_{fm} \ \sigma) \rangle$

|  $\langle (\forall \ x. \ \varphi) \cdot_{fm} \ \sigma =$

$\quad (\text{let } \sigma' = \sigma(x := \text{Var } x);$

$\quad \quad z = \text{if } \exists \ y. \ y \in FV \ (\forall \ x. \ \varphi) \wedge x \in FVT \ (\sigma' \ y)$

$\quad \quad \quad \text{then variant } (FV \ (\varphi \cdot_{fm} \ \sigma')) \text{ else } x \text{ in}$

$\quad \quad \forall \ z. \ (\varphi \cdot_{fm} \ \sigma(x := \text{Var } z))) \rangle$

**fun** *formsubst2* ::  $\langle \text{form} \Rightarrow (\text{nat}, \text{nat}) \text{ subst} \Rightarrow \text{form} \rangle$  (**infixl**  $\langle \cdot_{fm2} \rangle$  75) **where**

$\langle \perp \cdot_{fm2} - = \perp \rangle$

|  $\langle (\text{Atom } p \ ts) \cdot_{fm2} \ \sigma = \text{Atom } p \ [t \cdot \sigma. \ t \leftarrow ts] \rangle$

|  $\langle (\varphi \longrightarrow \psi) \cdot_{fm2} \ \sigma = (\varphi \cdot_{fm2} \ \sigma) \longrightarrow (\psi \cdot_{fm2} \ \sigma) \rangle$

|  $\langle (\forall \ x. \ \varphi) \cdot_{fm2} \ \sigma = (\text{let } \sigma' = \sigma(x := \text{Var } x) \text{ in}$

$\quad (\text{if } \exists \ y. \ y \in FV \ (\forall \ x. \ \varphi) \wedge x \in FVT \ (\sigma' \ y)$

$\quad \quad \text{then } (\text{let } z = \text{variant } (FV \ (\varphi \cdot_{fm2} \ \sigma')) \text{ in}$

$\quad \quad \quad \forall \ z. \ (\varphi \cdot_{fm2} \ \sigma(x := \text{Var } z)))$

$\quad \quad \text{else } \forall \ x. \ (\varphi \cdot_{fm2} \ \sigma')) \rangle$

**lemma** *formsubst-def-switch*:  $\langle \varphi \cdot_{fm} \ \sigma = \varphi \cdot_{fm2} \ \sigma \rangle$

$\langle \text{proof} \rangle$

**lemma** *termsubst-valuation*:  $\langle \forall x \in FVT \ t. \ \sigma \ x = \sigma' \ x \Longrightarrow t \cdot \sigma = t \cdot \sigma' \rangle$

$\langle \text{proof} \rangle$

**lemma** *termsetsubst-valuation*:  $\langle \forall y \in T. \ \forall x \in FVT \ y. \ \sigma \ x = \sigma' \ x \Longrightarrow t \in T \Longrightarrow t \cdot \sigma = t \cdot \sigma' \rangle$

$\langle \text{proof} \rangle$

**lemma** *formsubst-valuation*:  $\langle \forall x \in (FV \ \varphi). \ (\text{Var } x) \cdot \sigma = (\text{Var } x) \cdot \sigma' \Longrightarrow \varphi \cdot_{fm} \ \sigma = \varphi \cdot_{fm} \ \sigma' \rangle$

$\langle \text{proof} \rangle$

**lemma**  $\langle \{x. \ \exists y. \ y \in (s \cup t) \wedge P \ x \ y\} = \{x. \ \exists y. \ y \in s \wedge P \ x \ y\} \cup \{x. \ \exists y. \ y \in t \wedge P \ x \ y\} \rangle$

$\langle \text{proof} \rangle$

**lemma** *formsubst-structure-bot*:  $\langle \varphi \cdot_{fm} \ \sigma = \perp \longleftrightarrow \varphi = \perp \rangle$

$\langle \text{proof} \rangle$

**lemma** *formsubst-structure-pred*:  $\langle (\exists p \text{ ts}. \varphi \cdot_{fm} \sigma = \text{Atom } p \text{ ts}) \longleftrightarrow (\exists p \text{ ts}. \varphi = \text{Atom } p \text{ ts}) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *formsubst-structure-imp*:  $\langle (\exists \varphi 1 \varphi 2. \varphi \cdot_{fm} \sigma = \varphi 1 \longrightarrow \varphi 2) \longleftrightarrow (\exists \psi 1 \psi 2. \varphi = \psi 1 \longrightarrow \psi 2) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *formsubst-structure-all*:  $\langle (\exists x \psi. \varphi \cdot_{fm} \sigma = (\forall x. \psi)) \longleftrightarrow (\exists x \psi. \varphi = (\forall x. \psi)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *formsubst-structure-not*:  $\langle (\exists \psi. \varphi \cdot_{fm} \sigma = \text{Not } \psi) \longleftrightarrow (\exists \psi. \varphi = \text{Not } \psi) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *formsubst-structure-not-all-imp*:  
 $\langle (\exists x \psi. \varphi \cdot_{fm} \sigma = (\forall x. \psi) \longrightarrow \perp) \longleftrightarrow (\exists x \psi. \varphi = (\forall x. \psi) \longrightarrow \perp) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *formsubst-structure-all-not*:  
 $\langle (\exists x \psi. \varphi \cdot_{fm} \sigma = (\forall x. \psi \longrightarrow \perp)) \longleftrightarrow (\exists x \psi. \varphi = (\forall x. \psi \longrightarrow \perp)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *formsubst-structure-ex*:  $\langle (\exists x \psi. \varphi \cdot_{fm} \sigma = (\exists x. \psi)) \longleftrightarrow (\exists x \psi. \varphi = (\exists x. \psi)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *formsubst-structure*:  $\langle (\varphi \cdot_{fm} \sigma = \perp \longleftrightarrow \varphi = \perp) \wedge$   
 $((\exists p \text{ ts}. \varphi \cdot_{fm} \sigma = \text{Atom } p \text{ ts}) \longleftrightarrow (\exists p \text{ ts}. \varphi = \text{Atom } p \text{ ts})) \wedge$   
 $((\exists \varphi 1 \varphi 2. \varphi \cdot_{fm} \sigma = \varphi 1 \longrightarrow \varphi 2) \longleftrightarrow (\exists \psi 1 \psi 2. \varphi = \psi 1 \longrightarrow \psi 2)) \wedge$   
 $((\exists x \psi. \varphi \cdot_{fm} \sigma = (\forall x. \psi)) \longleftrightarrow (\exists x \psi. \varphi = (\forall x. \psi))) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *formsubst-fv*:  $\langle FV (\varphi \cdot_{fm} \sigma) = \{x. \exists y. y \in (FV \varphi) \wedge x \in FVT ((Var y) \cdot \sigma)\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *subst-var [simp]*:  $\langle \varphi \cdot_{fm} \text{Var } = \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *formsubst-rename*:  $\langle FV (\varphi \cdot_{fm} (\text{subst } x (\text{Var } y))) - \{y\} = FV \varphi - \{x\} - \{y\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *termsubst-functions-term*:  
 $\langle \text{functions-term } (t \cdot \sigma) = \text{functions-term } t \cup \{x. \exists y. y \in FVT t \wedge x \in \text{functions-term } ((Var y) \cdot \sigma)\} \rangle$

⟨proof⟩

**lemma** *formsubst-functions-form*:

⟨functions-form  $(\varphi \cdot_{fm} \sigma) = \text{functions-form } \varphi \cup \{x. \exists y. y \in FV \varphi \wedge x \in \text{functions-term } ((\text{Var } y) \cdot \sigma)\}$ ⟩  
⟨proof⟩

**lemma** *formsubst-predicates*: ⟨predicates-form  $(\varphi \cdot_{fm} \sigma) = \text{predicates-form } \varphi$ ⟩  
⟨proof⟩

**lemma** *formsubst-language-rename*: ⟨language  $\{\varphi \cdot_{fm} \text{subst } x (\text{Var } y)\} = \text{language } \{\varphi\}$ ⟩  
⟨proof⟩

**end**

**theory** *FOL-Semantics*

**imports** *FOL-Syntax*

**begin**

**locale** *struct* =

**fixes**

$M :: \langle 'm \text{ set} \rangle$  **and**  
 $FN :: \langle \text{nat} \Rightarrow 'm \text{ list} \Rightarrow 'm \rangle$  **and**  
 $REL :: \langle \text{nat} \Rightarrow 'm \text{ list set} \rangle$

**assumes**

$M\text{-nonempty}: \langle M \neq \{\} \rangle$

**typedef**  $'m \text{ intrp} =$

⟨ $\{ (M :: 'm \text{ set}, FN :: \text{nat} \Rightarrow 'm \text{ list} \Rightarrow 'm, REL :: \text{nat} \Rightarrow 'm \text{ list set}). \text{struct } M \}$ ⟩  
⟨proof⟩

**declare** *Abs-intrp-inverse* [simp] *Rep-intrp-inverse* [simp]

**setup-lifting** *type-definition-intrp*

**lift-definition** *dom* ::  $\langle 'm \text{ intrp} \Rightarrow 'm \text{ set} \rangle$  **is** *fst* ⟨proof⟩

**lift-definition** *intrp-fn* ::  $\langle 'm \text{ intrp} \Rightarrow (\text{nat} \Rightarrow 'm \text{ list} \Rightarrow 'm) \rangle$  **is**  $\langle \text{fst} \circ \text{snd} \rangle$  ⟨proof⟩

**lift-definition** *intrp-rel* ::  $\langle 'm \text{ intrp} \Rightarrow (\text{nat} \Rightarrow 'm \text{ list set}) \rangle$  **is**  $\langle \text{snd} \circ \text{snd} \rangle$  ⟨proof⟩

**lemma** *intrp-is-struct* [iff]: ⟨struct  $(\text{dom } \mathcal{M})$ ⟩  
⟨proof⟩

**lemma** *dom-Abs-is-fst* [simp]: ⟨struct  $M \implies \text{dom } (\text{Abs-intrp } (M, FN, REL)) = M$ ⟩  
⟨proof⟩

**lemma** *intrp-fn-Abs-is-fst-snd* [simp]: ⟨struct  $M \implies \text{intrp-fn } (\text{Abs-intrp } (M, FN,$

$REL)) = FN$   
 $\langle proof \rangle$

**lemma** *intrap-rel-Abs-is-snd-snd* [simp]:  
 $\langle struct\ M \implies intrp-rel\ (Abs-intrap\ (M, FN, REL)) = REL \rangle$   
 $\langle proof \rangle$

**definition** *is-valuation* ::  $\langle 'm\ intrp \Rightarrow (nat \Rightarrow 'm) \Rightarrow bool \rangle$  **where**  
 $\langle is-valuation\ \mathcal{M}\ \beta \longleftrightarrow (\forall\ v.\ \beta\ v \in dom\ \mathcal{M}) \rangle$

**lemma** *valuation-valmod*:  $\langle \llbracket is-valuation\ \mathcal{M}\ \beta; a \in dom\ \mathcal{M} \rrbracket \implies is-valuation\ \mathcal{M}\ (\beta(x := a)) \rangle$   
 $\langle proof \rangle$

**fun** *eval*  
 $:: \langle nterm \Rightarrow 'm\ intrp \Rightarrow (nat \Rightarrow 'm) \Rightarrow 'm \rangle$   
 $\langle \langle \llbracket \cdot \rrbracket \cdot \cdot \rangle [50, 0, 0] 70 \rangle$  **where**  
 $\langle \llbracket Var\ v \rrbracket \cdot \cdot \rangle^{\beta} = \beta\ v \rangle$   
 $\mid \langle \llbracket Fun\ f\ ts \rrbracket \cdot \cdot \rangle^{\mathcal{M}, \beta} = intrp-fn\ \mathcal{M}\ f\ [\llbracket t \rrbracket \cdot \cdot]^{\mathcal{M}, \beta}.\ t \leftarrow ts \rangle$

**definition** *list-all* ::  $\langle 'a \Rightarrow bool \Rightarrow 'a\ list \Rightarrow bool \rangle$  **where**  
 $\langle simp \rangle: \langle list-all\ P\ ls \longleftrightarrow (fold\ (\lambda l\ b.\ b \wedge P\ l)\ ls\ True) \rangle$

**lemma** *term-subst-eval*:  $\langle intrp-fn\ M = Fun \implies t \cdot v = eval\ t\ M\ v \rangle$   
 $\langle proof \rangle$

**lemma** *term-eval-triv*[simp]:  $\langle intrp-fn\ M = Fun \implies eval\ t\ M\ Var = t \rangle$   
 $\langle proof \rangle$

**lemma** *fold-bool-prop*:  $\langle (fold\ (\lambda l\ b.\ b \wedge P\ l)\ ls\ b) = (b \wedge (\forall l \in set\ ls.\ P\ l)) \rangle$   
 $\langle proof \rangle$

**lemma** *list-all-set*:  $\langle list-all\ P\ ls = (\forall l \in set\ ls.\ P\ l) \rangle$   
 $\langle proof \rangle$

**hide-const** *lang*

**definition** *is-interpretation* **where**  
 $\langle is-interpretation\ lang\ \mathcal{M} \longleftrightarrow$   
 $(\forall f\ l.\ (f, length(l)) \in fst\ lang \wedge set\ l \subseteq dom\ \mathcal{M} \longrightarrow intrp-fn\ \mathcal{M}\ f\ l \in dom\ \mathcal{M}) \rangle$

**lemma** *interpretation-sublanguage*:  $\langle funs2 \subseteq funs1 \implies is-interpretation\ (funs1, pred1)\ \mathcal{M} \implies is-interpretation\ (funs2, preds2)\ \mathcal{M} \rangle$   
 $\langle proof \rangle$

**lemma** *interpretation-eval*:

**assumes**  $\mathcal{M}$ :  $\langle \text{is-interpretation (functions-term } t, \text{any) } \mathcal{M} \rangle$  **and**  $\text{val}$ :  $\langle \text{is-valuation } \mathcal{M} \ \beta \rangle$   
**shows**  $\langle \llbracket t \rrbracket^{\mathcal{M}, \beta} \in \text{dom } \mathcal{M} \rangle$   
 $\langle \text{proof} \rangle$

**fun** *holds*

$\langle \text{'m intrp} \Rightarrow (\text{nat} \Rightarrow \text{'m}) \Rightarrow \text{form} \Rightarrow \text{bool} \rangle$   $\langle \langle -, \models \rightarrow [30, 30, 80] 80 \rangle$  **where**  
 $\langle \mathcal{M}, \beta \models \perp \longleftrightarrow \text{False} \rangle$   
 $\langle \mathcal{M}, \beta \models \text{Atom } p \ ts \longleftrightarrow \llbracket t \rrbracket^{\mathcal{M}, \beta}. t \leftarrow ts \in \text{intrp-rel } \mathcal{M} \ p \rangle$   
 $\langle \mathcal{M}, \beta \models \varphi \longrightarrow \psi \longleftrightarrow ((\mathcal{M}, \beta \models \varphi) \longrightarrow (\mathcal{M}, \beta \models \psi)) \rangle$   
 $\langle \mathcal{M}, \beta \models (\forall x. \varphi) \longleftrightarrow (\forall a \in \text{dom } \mathcal{M}. \mathcal{M}, \beta(x := a) \models \varphi) \rangle$

**lemma** *holds-exists*:  $\langle \mathcal{M}, \beta \models (\exists x. \varphi) \longleftrightarrow (\exists a \in \text{dom } \mathcal{M}. \mathcal{M}, \beta(x := a) \models \varphi) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *holds-indep- $\beta$ -if*:

$\langle \forall v \in FV \ \varphi. \ \beta_1 \ v = \beta_2 \ v \implies \mathcal{M}, \beta_1 \models \varphi \longleftrightarrow \mathcal{M}, \beta_2 \models \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *holds-indep-intrp-if*:

**fixes**  
 $\varphi :: \text{form}$  **and**  
 $\mathcal{M} \ \mathcal{M}' :: \langle \text{'m intrp} \rangle$   
**assumes**  
 $\text{dom-eq}$ :  $\langle \text{dom } \mathcal{M} = \text{dom } \mathcal{M}' \rangle$  **and**  
 $\text{rel-eq}$ :  $\langle \forall p. \text{intrp-rel } \mathcal{M} \ p = \text{intrp-rel } \mathcal{M}' \ p \rangle$  **and**  
 $\text{fn-eq}$ :  $\langle \forall f \ ts. (f, \text{length } ts) \in \text{functions-form } \varphi \longrightarrow \text{intrp-fn } \mathcal{M} \ f \ ts = \text{intrp-fn } \mathcal{M}' \ f \ ts \rangle$   
**shows**  
 $\langle \forall \beta. \ \mathcal{M}, \beta \models \varphi \longleftrightarrow \mathcal{M}', \beta \models \varphi \rangle$   
 $\langle \text{proof} \rangle$

the above in a more idiomatic form (it is a congruence rule)

**corollary** *holds-cong*:

**assumes**  
 $\langle \text{dom } \mathcal{M} = \text{dom } \mathcal{M}' \rangle$   
 $\langle \bigwedge p. \text{intrp-rel } \mathcal{M} \ p = \text{intrp-rel } \mathcal{M}' \ p \rangle$   
 $\langle \bigwedge f \ ts. (f, \text{length } ts) \in \text{functions-form } \varphi \implies \text{intrp-fn } \mathcal{M} \ f \ ts = \text{intrp-fn } \mathcal{M}' \ f \ ts \rangle$   
**shows**  $\langle \mathcal{M}, \beta \models \varphi \longleftrightarrow \mathcal{M}', \beta \models \varphi \rangle$   
 $\langle \text{proof} \rangle$

**abbreviation** (*input*)  $\langle \text{termsubst } \mathcal{M} \ \beta \ \sigma \ v \equiv \llbracket \sigma \ v \rrbracket^{\mathcal{M}, \beta} \rangle$



**lemma** *subst-lemma-terms*:  $\langle \llbracket t \cdot \sigma \rrbracket^{\mathcal{M}, \beta} = \llbracket t \rrbracket^{\mathcal{M}, \text{termsubst } \mathcal{M} \ \beta \ \sigma} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *eval-indep- $\beta$ -if*:  
**assumes**  $\langle \forall v \in FVT \ t. \ \beta \ v = \beta' \ v \rangle$   
**shows**  $\langle \llbracket t \rrbracket^{\mathcal{M}, \beta} = \llbracket t \rrbracket^{\mathcal{M}, \beta'} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *concat-map*:  $\langle [f \ t. \ t \leftarrow [g \ t. \ t \leftarrow ts]] = [f \ (g \ t). \ t \leftarrow ts] \rangle \langle \text{proof} \rangle$

**lemma** *swap-subst-eval*:  $\langle \mathcal{M}, \beta \models (\varphi \cdot_{fm} \sigma) \iff \mathcal{M}, (\lambda v. \text{termsubst } \mathcal{M} \ \beta \ \sigma \ v) \models \varphi \rangle$   
 $\langle \text{proof} \rangle$

**definition** *satisfies* ::  $\langle 'm \ \text{intrp} \Rightarrow \text{form set} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{satisfies } \mathcal{M} \ S \equiv (\forall \beta \ \varphi. \ \text{is-valuation } \mathcal{M} \ \beta \longrightarrow \varphi \in S \longrightarrow \mathcal{M}, \beta \models \varphi) \rangle$

**lemma** *satisfies-iff-satisfies-sing*:  $\langle \text{satisfies } \mathcal{M} \ S \iff (\forall \varphi \in S. \ \text{satisfies } \mathcal{M} \ \{\varphi\}) \rangle$   
 $\langle \text{proof} \rangle$

**end**

**theory** *Ground-FOL-Compactness*

**imports**

*FOL-Semantics*

**begin**

**fun** *qfree* ::  $\langle \text{form} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{qfree } \perp = \text{True} \rangle$   
 $\mid \langle \text{qfree } (\text{Atom } p \ ts) = \text{True} \rangle$   
 $\mid \langle \text{qfree } (\varphi \longrightarrow \psi) = (\text{qfree } \varphi \wedge \text{qfree } \psi) \rangle$   
 $\mid \langle \text{qfree } (\forall \ x. \ \varphi) = \text{False} \rangle$

**lemma** *qfree-iff-BV-empty*:  $\text{qfree } \varphi \iff BV \ \varphi = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *qfree-no-quantif*:  $\langle \text{qfree } r \implies \neg(\exists x \ p. \ r = \forall x. \ p) \wedge \neg(\exists x \ p. \ r = \exists x. \ p) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *qfree-formsubst*:  $\langle \text{qfree } \varphi \equiv \text{qfree } (\varphi \cdot_{fm} \sigma) \rangle$   
 $\langle \text{proof} \rangle$

**fun** *form-to-formula* ::  $\text{form} \Rightarrow (\text{nat} \times \text{nterm list}) \ \text{formula}$  **where**

$\langle \text{form-to-formula } \perp = \perp \rangle$   
 $\mid \langle \text{form-to-formula } (\text{Atom } p \ ts) = \text{formula.Atom } (p, ts) \rangle$   
 $\mid \langle \text{form-to-formula } (\varphi \longrightarrow \psi) = \text{Imp } (\text{form-to-formula } \varphi) (\text{form-to-formula } \psi) \rangle$   
 $\mid \langle \text{form-to-formula } (\forall x. \varphi) = \perp \rangle$

**fun** *pholds* ::  $\langle (\text{form} \Rightarrow \text{bool}) \Rightarrow \text{form} \Rightarrow \text{bool} \rangle \langle \cdot \models_p \cdot \rightarrow [30, 80] 80 \rangle$  **where**  
 $\langle I \models_p \perp \longleftrightarrow \text{False} \rangle$   
 $\mid \langle I \models_p \text{Atom } p \ ts \longleftrightarrow I (\text{Atom } p \ ts) \rangle$   
 $\mid \langle I \models_p \varphi \longrightarrow \psi \longleftrightarrow ((I \models_p \varphi) \longrightarrow (I \models_p \psi)) \rangle$   
 $\mid \langle I \models_p (\forall x. \varphi) \longleftrightarrow I (\forall x. \varphi) \rangle$

**definition** *psatisfiable* ::  $\text{form set} \Rightarrow \text{bool}$  **where**  
 $\langle \text{psatisfiable } S \equiv \exists I. \forall \varphi \in S. I \models_p \varphi \rangle$

**abbreviation** *psatisfies where*  $\langle \text{psatisfies } I \ \Phi \equiv \forall \varphi \in \Phi. \text{pholds } I \ \varphi \rangle$

**definition** *val-to-prop-val* ::  $(\text{form} \Rightarrow \text{bool}) \Rightarrow ((\text{nat} \times \text{nterm list}) \Rightarrow \text{bool})$  **where**  
 $\langle \text{val-to-prop-val } I = (\lambda x. I (\text{Atom } (\text{fst } x) (\text{snd } x))) \rangle$

**lemma** *pholds-Not*:  $\langle I \models_p \text{Not } \varphi \longleftrightarrow \neg (I \models_p \varphi) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pentails-equiv*:  $\langle \text{qfree } \varphi \Longrightarrow (I \models_p \varphi \equiv (\text{val-to-prop-val } I) \models (\text{form-to-formula } \varphi)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pentails-equiv-set*:  
**assumes** *all-qfree*:  $\langle \forall \varphi \in S. \text{qfree } \varphi \rangle$   
**shows**  $\langle \text{psatisfiable } S \equiv \text{sat } (\text{form-to-formula } 'S) \rangle$   
 $\langle \text{proof} \rangle$

**definition** *finsat* ::  $\text{form set} \Rightarrow \text{bool}$  **where**  
 $\langle \text{finsat } S \equiv \forall T \subseteq S. \text{finite } T \longrightarrow \text{psatisfiable } T \rangle$

**lemma** *finsat-fin-sat-eq*:  
**assumes** *all-qfree*:  $\langle \forall \varphi \in S. \text{qfree } \varphi \rangle$   
**shows**  $\langle \text{finsat } S \longleftrightarrow \text{fin-sat } (\text{form-to-formula } 'S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *psatisfiable-mono*:  $\langle \text{psatisfiable } S \Longrightarrow T \subseteq S \Longrightarrow \text{psatisfiable } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *finsat-mono*:  $\langle \text{finsat } S \Longrightarrow T \subseteq S \Longrightarrow \text{finsat } T \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *finsat-satisfiable*:  $\langle \text{psatisfiable } S \Longrightarrow \text{finsat } S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prop-compactness*:  $\langle (\forall \varphi \in S. \text{qfree } \varphi) \Longrightarrow \text{finsat } S = \text{psatisfiable } S \rangle$

$\langle \text{proof} \rangle$

as above, more in the style of HOL Light

**lemma** *compact-prop*:

**assumes**  $\langle \bigwedge B. \llbracket \text{finite } B; B \subseteq A \rrbracket \implies \exists I. \text{psatisfies } I B \rangle$  **and**  $\langle \bigwedge \varphi. \varphi \in A \longrightarrow \text{qfree } \varphi \rangle$

**shows**  $\langle \exists I. \text{psatisfies } I A \rangle$

$\langle \text{proof} \rangle$

Three results required for the FOL uniformity theorem

**lemma** *compact-prop-alt*:

**assumes**  $\langle \bigwedge I. \exists \varphi \in A. I \models_p \varphi \rangle$   $\langle \bigwedge \varphi. \varphi \in A \longrightarrow \text{qfree } \varphi \rangle$

**obtains**  $B$  **where**  $\langle \text{finite } B \rangle$   $\langle B \subseteq A \rangle$   $\langle \bigwedge I. \exists \varphi \in B. I \models_p \varphi \rangle$

$\langle \text{proof} \rangle$

**lemma** *finite-disj-lemma*:

**assumes**  $\langle \text{finite } A \rangle$

**shows**  $\langle \exists \Phi. \text{set } \Phi \subseteq A \wedge (\forall I. I \models_p \text{foldr } (\vee) \Phi \perp \longleftrightarrow (\exists \varphi \in A. I \models_p \varphi)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *compact-disj*:

**assumes**  $\langle \bigwedge I. \exists \varphi \in A. I \models_p \varphi \rangle$   $\langle \bigwedge \varphi. \varphi \in A \longrightarrow \text{qfree } \varphi \rangle$

**obtains**  $\Phi$  **where**  $\langle \text{set } \Phi \subseteq A \rangle$   $\langle \bigwedge I. I \models_p \text{foldr } (\vee) \Phi \perp \rangle$

$\langle \text{proof} \rangle$

**end**

**theory** *Prenex-Normal-Form*

**imports**

*Ground-FOL-Compactness*

**begin**

**inductive** *is-prenex* :: *form*  $\Rightarrow$  *bool* **where**

$\langle \text{qfree } \varphi \implies \text{is-prenex } \varphi \rangle$

|  $\langle \text{is-prenex } \varphi \implies \text{is-prenex } (\forall x. \varphi) \rangle$

|  $\langle \text{is-prenex } \varphi \implies \text{is-prenex } (\exists x. \varphi) \rangle$

**inductive-simps** *is-prenex-simps* [*simp*]:

*is-prenex* *Bot*

*is-prenex* (*Atom* *p* *ts*)

*is-prenex* ( $\varphi \longrightarrow \psi$ )

*is-prenex* ( $\forall x. \varphi$ )

**lemma** *prenex-formsubst1*:  $\langle \text{is-prenex } \varphi \implies \text{is-prenex } (\varphi \cdot_{fm} \sigma) \rangle$

$\langle \text{proof} \rangle$

**lemma** *prenex-formsubst2*:  $\langle \text{is-prenex } (\varphi \cdot_{fm} \sigma) \implies \text{is-prenex } \varphi \rangle$

⟨proof⟩

**lemma** *prenex-formsubst*: ⟨*is-prenex* ( $\varphi \cdot_{fm} \sigma$ )  $\equiv$  *is-prenex*  $\varphi$ ⟩  
⟨proof⟩

**lemma** *prenex-imp*: ⟨*is-prenex* ( $\varphi \longrightarrow \psi$ )  $\implies$   
*qfree* ( $\varphi \longrightarrow \psi$ )  $\vee$  ( $\psi = \perp \wedge (\exists x \varphi'. \textit{is-prenex } \varphi' \wedge \varphi = (\forall x. \varphi' \longrightarrow \perp))$ )⟩  
⟨proof⟩

**inductive** *universal* :: *form*  $\Rightarrow$  *bool* **where**  
⟨*qfree*  $\varphi \implies$  *universal*  $\varphi$ ⟩  
| ⟨*universal*  $\varphi \implies$  *universal* ( $\forall x. \varphi$ )⟩

**inductive-simps** *universal-simps* [*simp*]:  
*universal* *Bot*  
*universal* (*Atom*  $p$   $ts$ )  
*universal* ( $\varphi \longrightarrow \psi$ )  
*universal* ( $\forall x. \varphi$ )

**fun** *size* :: *form*  $\Rightarrow$  *nat* **where**  
⟨*size*  $\perp = 1$ ⟩  
| ⟨*size* (*Atom*  $p$   $ts$ ) = 1⟩  
| ⟨*size* ( $\varphi \longrightarrow \psi$ ) = *size*  $\varphi$  + *size*  $\psi$ ⟩  
| ⟨*size* ( $\forall x. \varphi$ ) = 1 + *size*  $\varphi$ ⟩

**lemma** *wf-size*: ⟨*wfP* ( $\lambda\varphi \psi. \textit{size } \varphi < \textit{size } \psi$ )⟩  
⟨proof⟩

**lemma** *size-indep-subst*: ⟨*size* ( $\varphi \cdot_{fm} \sigma$ ) = *size*  $\varphi$ ⟩  
⟨proof⟩

**lemma** *prenex-distinct*: ⟨ $(\forall x. \varphi) \neq (\exists y. \psi)$ ⟩  
⟨proof⟩

**lemma** *uniq-all-x*: *Uniq* ( $\lambda x. \exists p. r = \forall x. p$ )  
⟨proof⟩

**lemma** *uniq-all-p*: ⟨*Uniq* ( $(\lambda p. r = \forall (THE\ x. \exists p. r = \forall x. p). p)$ )⟩  
⟨proof⟩

**lemma** *uniq-ex-x*: *Uniq* ( $\lambda x. \exists p. r = \exists x. p$ )  
⟨proof⟩

**lemma** *uniq-ex-p*: ⟨*Uniq* ( $(\lambda p. r = \exists (THE\ x. \exists p. r = \exists x. p). p)$ )⟩  
⟨proof⟩

**definition** *ppat* :: (*nat*  $\Rightarrow$  *form*  $\Rightarrow$  *form*)  $\Rightarrow$  (*nat*  $\Rightarrow$  *form*  $\Rightarrow$  *form*)  $\Rightarrow$  (*form*  $\Rightarrow$   
*form*)  $\Rightarrow$  *form*  $\Rightarrow$  *form* **where**

$\langle \text{ppat } A \ B \ C \ r = (\text{if } (\exists x \ p. \ r = \forall x. \ p) \ \text{then}$   
 $\quad A \ (\text{THE } x. \ \exists p. \ r = \forall x. \ p) \ (\text{THE } p. \ r = \forall (\text{THE } x. \ \exists p. \ r = \forall x. \ p). \ p)$   
 $\text{else } (\text{if } \exists x \ p. \ r = \exists x. \ p \ \text{then}$   
 $\quad B \ (\text{THE } x. \ \exists p. \ r = \exists x. \ p) \ (\text{THE } p. \ r = \exists (\text{THE } x. \ \exists p. \ r = \exists x. \ p). \ p)$   
 $\text{else } C \ r) \rangle$

**lemma** *ppat-simpA*:  $\langle \forall x \ p. \ \text{ppat } A \ B \ C \ (\forall x. \ p) = A \ x \ p \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ppat-simpB*:  $\langle \forall x \ p. \ \text{ppat } A \ B \ C \ (\exists x. \ p) = B \ x \ p \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ppat-last*:  $\langle (\forall r. \ \neg(\exists x \ p. \ r = \forall x. \ p) \wedge \neg(\exists x \ p. \ r = \exists x. \ p)) \implies \text{ppat } A \ B \ C \ r = C \ r \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ppat-last-qfree*:  $\langle \text{qfree } r \implies \text{ppat } A \ B \ C \ r = C \ r \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ppat-to-ex-qfree*:  
 $\langle (\exists f. \ (\forall x \ p \ q. \ f \ p \ (\forall x. \ q) = ((A :: \text{form} \Rightarrow \text{nat} \Rightarrow \text{form} \Rightarrow \text{form}) \ p) \ x \ q) \wedge$   
 $\quad (\forall x \ p \ q. \ f \ p \ (\exists x. \ q) = (B \ p) \ x \ q) \wedge$   
 $\quad (\forall p \ q. \ \text{qfree } q \longrightarrow f \ p \ q = (C \ p) \ q)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *size-rec*:  
 $\langle \forall f \ g \ x. \ (\forall (z :: \text{form}). \ \text{size } z < \text{size } x \longrightarrow (f \ z = g \ z)) \longrightarrow (H \ f \ x = H \ g \ x) \implies$   
 $\quad (\exists f. \ \forall x. \ f \ x = H \ f \ x) \rangle$   
 $\langle \text{proof} \rangle$

**abbreviation** *prenex-right-forall* ::  $(\text{form} \Rightarrow \text{form} \Rightarrow \text{form}) \Rightarrow \text{form} \Rightarrow \text{nat} \Rightarrow \text{form} \Rightarrow \text{form}$  **where**  
 $\langle \text{prenex-right-forall} \equiv$   
 $\quad (\lambda p \ \varphi \ x \ \psi. \ (\text{let } y = \text{variant}(FV \ \varphi \cup FV \ (\forall x. \ \psi)) \ \text{in } (\forall y. \ p \ \varphi \ (\psi \cdot_{fm} (\text{subst } x \ (\text{Var } y)))))) \rangle$

**abbreviation** *prenex-right-exists* ::  $(\text{form} \Rightarrow \text{form} \Rightarrow \text{form}) \Rightarrow \text{form} \Rightarrow \text{nat} \Rightarrow \text{form} \Rightarrow \text{form}$  **where**  
 $\langle \text{prenex-right-exists} \equiv$   
 $\quad (\lambda p \ \varphi \ x \ \psi. \ (\text{let } y = \text{variant}(FV \ \varphi \cup FV \ (\exists x. \ \psi)) \ \text{in } (\exists y. \ p \ \varphi \ (\psi \cdot_{fm} (\text{subst } x \ (\text{Var } y)))))) \rangle$

**lemma** *prenex-right-ex*:  
 $\langle \exists \text{prenex-right}. \ (\forall \varphi \ x \ \psi. \ \text{prenex-right } \varphi \ (\forall x. \ \psi) = \text{prenex-right-forall } \text{prenex-right } \varphi \ x \ \psi)$   
 $\quad \wedge \ (\forall \varphi \ x \ \psi. \ \text{prenex-right } \varphi \ (\exists x. \ \psi) = \text{prenex-right-exists } \text{prenex-right } \varphi \ x \ \psi)$

$\wedge (\forall \varphi \psi. \text{qfree } \psi \longrightarrow \text{prenex-right } \varphi \psi = (\varphi \longrightarrow \psi))\rangle$   
 $\langle \text{proof} \rangle$

**consts** *prenex-right* :: *form*  $\Rightarrow$  *form*  $\Rightarrow$  *form*

**specification** (*prenex-right*)  $\langle$

$(\forall \varphi x \psi. \text{prenex-right } \varphi (\forall x. \psi) = \text{prenex-right-forall } \text{prenex-right } \varphi x \psi) \wedge$   
 $(\forall \varphi x \psi. \text{prenex-right } \varphi (\exists x. \psi) = \text{prenex-right-exists } \text{prenex-right } \varphi x \psi) \wedge$   
 $(\forall \varphi \psi. \text{qfree } \psi \longrightarrow \text{prenex-right } \varphi \psi = (\varphi \longrightarrow \psi))\rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-right-qfree-case*:  $\langle \text{qfree } \psi \Longrightarrow \text{prenex-right } \varphi \psi = (\varphi \longrightarrow \psi) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-right-all-case*:  $\langle \text{prenex-right } \varphi (\forall x. \psi) = \text{prenex-right-forall } \text{prenex-right } \varphi x \psi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-right-exist-case*:  $\langle \text{prenex-right } \varphi (\exists x. \psi) = \text{prenex-right-exists } \text{prenex-right } \varphi x \psi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-right-exists-shape-case*:

$\langle \exists x2 \sigma. \text{prenex-right } \varphi (\exists x. \psi) = \exists x2. \text{prenex-right } \varphi (\psi \cdot_{fm} \sigma) \rangle$   
 $\langle \text{proof} \rangle$

**abbreviation** *prenex-left-forall* :: (*form*  $\Rightarrow$  *form*  $\Rightarrow$  *form*)  $\Rightarrow$  *form*  $\Rightarrow$  *nat*  $\Rightarrow$  *form*  
 $\Rightarrow$  *form* **where**

$\langle \text{prenex-left-forall} \equiv$   
 $(\lambda p \varphi x \psi. (\text{let } y = \text{variant}(FV (\forall x. \varphi) \cup FV \psi) \text{ in } (\exists y. p (\varphi \cdot_{fm} (\text{subst } x$   
 $(\text{Var } y))) \psi))) \rangle$

**abbreviation** *prenex-left-exists* :: (*form*  $\Rightarrow$  *form*  $\Rightarrow$  *form*)  $\Rightarrow$  *form*  $\Rightarrow$  *nat*  $\Rightarrow$  *form*  
 $\Rightarrow$  *form* **where**

$\langle \text{prenex-left-exists} \equiv$   
 $(\lambda p \varphi x \psi. (\text{let } y = \text{variant}(FV (\exists x. \varphi) \cup FV \psi) \text{ in } (\forall y. p (\varphi \cdot_{fm} (\text{subst } x$   
 $(\text{Var } y))) \psi))) \rangle$

**lemma** *prenex-left-ex*:

$\langle \exists \text{prenex-left}. (\forall \varphi x \psi. \text{prenex-left } (\forall x. \varphi) \psi = \text{prenex-left-forall } \text{prenex-left } \varphi x \psi)$   
 $\wedge (\forall \varphi x \psi. \text{prenex-left } (\exists x. \varphi) \psi = \text{prenex-left-exists } \text{prenex-left } \varphi x \psi)$   
 $\wedge (\forall \varphi \psi. \text{qfree } \varphi \longrightarrow \text{prenex-left } \varphi \psi = \text{prenex-right } \varphi \psi) \rangle$   
 $\langle \text{proof} \rangle$

**definition** *prenex-left where*  $\langle \text{prenex-left} = (\text{SOME } \text{prenex-left}.$

$(\forall \varphi x \psi. \text{prenex-left } (\forall x. \varphi) \psi = \text{prenex-left-forall } \text{prenex-left } \varphi x \psi) \wedge$   
 $(\forall \varphi x \psi. \text{prenex-left } (\exists x. \varphi) \psi = \text{prenex-left-exists } \text{prenex-left } \varphi x \psi) \wedge$

$\langle (\forall \varphi \psi. \text{qfree } \varphi \longrightarrow \text{prenex-left } \varphi \psi = \text{prenex-right } \varphi \psi) \rangle$

**lemma** *prenex-left-forall-case*:  $\langle \text{prenex-left } (\forall x. \varphi) \psi = \text{prenex-left-forall } \text{prenex-left } \varphi \ x \ \psi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-left-qfree-case*:  $\langle \text{qfree } \varphi \implies \text{prenex-left } \varphi \psi = \text{prenex-right } \varphi \psi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-left-exists-case*:  $\langle \text{prenex-left } (\exists x. \varphi) \psi = \text{prenex-left-exists } \text{prenex-left } \varphi \ x \ \psi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-left-exists-shape-case*:  
 $\langle \exists x2 \ \sigma. \text{prenex-left } (\exists x. \varphi) \psi = \forall x2. \text{prenex-left } (\varphi \cdot_{fm} \sigma) \psi \rangle$   
 $\langle \text{proof} \rangle$

**fun** *prenex where*  
 $\langle \text{prenex } \perp = \perp \rangle$   
 $\mid \langle \text{prenex } (\text{Atom } p \ ts) = \text{Atom } p \ ts \rangle$   
 $\mid \langle \text{prenex } (\varphi \longrightarrow \psi) = \text{prenex-left } (\text{prenex } \varphi) (\text{prenex } \psi) \rangle$   
 $\mid \langle \text{prenex } (\forall x. \varphi) = \forall x. (\text{prenex } \varphi) \rangle$

**lemma** *holds-indep-forall*:  
**assumes** *y-notin*:  $\langle y \notin FV (\forall x. \varphi) \rangle$   
**shows**  $\langle (I, \beta \models (\forall x. \varphi) \longleftrightarrow I, \beta \models (\forall y. \varphi \cdot_{fm} (\text{subst } x (\text{Var } y)))) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *forall-imp-commute*:  
**assumes** *y-notin*:  $\langle y \notin FV \varphi \rangle$   
**shows**  $\langle ((I :: 'a \text{ intrp}), \beta \models (\varphi \longrightarrow (\forall y. \psi)) \longleftrightarrow I, \beta \models (\forall y. \varphi \longrightarrow \psi)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *forall-imp-exists*:  
**assumes** *y-notin*:  $\langle y \notin FV \psi \rangle$   
**shows**  $\langle ((I :: 'a \text{ intrp}), \beta \models ((\forall y. \varphi) \longrightarrow \psi) \longleftrightarrow I, \beta \models (\exists y. (\varphi \longrightarrow \psi))) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *exists-imp-forall*:  
**assumes** *y-notin*:  $\langle y \notin FV \psi \rangle$   
**shows**  $\langle (I, \beta \models ((\exists y. \varphi) \longrightarrow \psi) \longleftrightarrow I, \beta \models (\forall y. (\varphi \longrightarrow \psi))) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *exists-imp-commute*:  
**assumes** *y-notin*:  $\langle y \notin FV \varphi \rangle$   
**shows**  $\langle ((I :: 'a \text{ intrp}), \beta \models (\varphi \longrightarrow (\exists y. \psi)) \longleftrightarrow I, \beta \models (\exists y. \varphi \longrightarrow \psi)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma holds-indep-exists:**

$\langle y \notin FV (\exists x. \varphi) \implies (I, \beta \models (\exists x. \varphi)) \iff I, \beta \models (\exists y. \varphi \cdot_{fm} (subst\ x\ (Var\ y))) \rangle$   
 $\langle proof \rangle$

**lemma prenex-right-forall-is:**

**assumes**  $\langle dom\ I \neq \{\} \rangle$   
**shows**  $\langle ((I, \beta \models \varphi \longrightarrow (\forall x. \psi)) \iff (I, \beta \models (\forall (variant\ (FV\ \varphi \cup FV\ (\forall x. \psi)))))) \rangle$   
 $\langle (\varphi \longrightarrow (\psi \cdot_{fm} (subst\ x\ (Var\ (variant\ (FV\ \varphi \cup FV\ (\forall x. \psi)))))))) \rangle$   
**(is ?lhs = ?rhs)**  
 $\langle proof \rangle$

**lemma prenex-right-exists-is:**

**assumes**  $\langle dom\ I \neq \{\} \rangle$   
**shows**  $\langle ((I, \beta \models \varphi \longrightarrow (\exists x. \psi)) \iff (I, \beta \models (\exists (variant\ (FV\ \varphi \cup FV\ (\exists x. \psi)))))) \rangle$   
 $\langle (\varphi \longrightarrow (\psi \cdot_{fm} (subst\ x\ (Var\ (variant\ (FV\ \varphi \cup FV\ (\exists x. \psi)))))))) \rangle$   
**(is ?lhs = ?rhs)**  
 $\langle proof \rangle$

**lemma prenex-left-forall-is:**

**assumes**  $\langle dom\ I \neq \{\} \rangle$   
**shows**  $\langle (I, \beta \models ((\forall x. \varphi) \longrightarrow \psi)) \equiv (I, \beta \models (\exists (variant\ (FV\ (\forall x. \varphi) \cup FV\ \psi))) \longrightarrow \psi) \rangle$   
 $\langle ((\varphi \cdot_{fm} (subst\ x\ (Var\ (variant\ (FV\ (\forall x. \varphi) \cup FV\ \psi)))) \longrightarrow \psi)) \rangle$   
 $\langle proof \rangle$

**lemma prenex-left-exists-is:**

**assumes**  $\langle dom\ I \neq \{\} \rangle$   
**shows**  $\langle (I, \beta \models ((\exists x. \varphi) \longrightarrow \psi)) \equiv (I, \beta \models (\forall (variant\ (FV\ (\exists x. \varphi) \cup FV\ \psi))) \longrightarrow \psi) \rangle$   
 $\langle ((\varphi \cdot_{fm} (subst\ x\ (Var\ (variant\ (FV\ (\exists x. \varphi) \cup FV\ \psi)))) \longrightarrow \psi)) \rangle$   
 $\langle proof \rangle$

**lemma prenex-right-forall-FV:**  $\langle FV (\varphi \longrightarrow (\forall x. \psi)) =$

$FV (\forall (variant\ (FV\ \varphi \cup FV\ (\forall x. \psi)))) \cdot (\varphi \longrightarrow (\psi \cdot_{fm} (subst\ x\ (Var\ (variant\ (FV\ \varphi \cup FV\ (\forall x. \psi))))))) \rangle$   
 $\langle proof \rangle$

**lemma prenex-right-exists-FV:**  $\langle FV (\varphi \longrightarrow (\exists x. \psi)) =$

$FV (\forall (variant\ (FV\ \varphi \cup FV\ (\exists x. \psi)))) \cdot (\varphi \longrightarrow (\psi \cdot_{fm} (subst\ x\ (Var\ (variant\ (FV\ \varphi \cup FV\ (\exists x. \psi))))))) \rangle$   
 $\langle proof \rangle$

**lemma prenex-left-forall-FV:**  $\langle FV ((\forall x. \varphi) \longrightarrow \psi) =$



$FV (\exists (\text{variant } (FV (\forall x. \varphi) \cup FV \psi)). ((\varphi \cdot_{fm} (\text{subst } x (\text{Var } (\text{variant } (FV (\forall x. \varphi) \cup FV \psi)))) \longrightarrow \psi)))$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-left-exists-FV*:  $\langle FV ((\exists x. \varphi) \longrightarrow \psi) =$   
 $FV (\forall (\text{variant } (FV (\exists x. \varphi) \cup FV \psi)). ((\varphi \cdot_{fm} (\text{subst } x (\text{Var } (\text{variant } (FV (\exists x. \varphi) \cup FV \psi)))) \longrightarrow \psi)))$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-right-forall-language*:  $\langle \text{language } \{\varphi \longrightarrow (\forall x. \psi)\} =$   
 $\text{language } \{\forall (\text{variant } (FV \varphi \cup FV (\forall x. \psi))). (\varphi \longrightarrow (\psi \cdot_{fm} (\text{subst } x (\text{Var } (\text{variant } (FV \varphi \cup FV (\forall x. \psi))))))\}$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-right-exists-language*:  $\langle \text{language } \{\varphi \longrightarrow (\exists x. \psi)\} =$   
 $\text{language } \{\exists (\text{variant } (FV \varphi \cup FV (\exists x. \psi))). (\varphi \longrightarrow (\psi \cdot_{fm} (\text{subst } x (\text{Var } (\text{variant } (FV \varphi \cup FV (\exists x. \psi))))))\}$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-left-forall-language*:  $\langle \text{language } \{(\forall x. \varphi) \longrightarrow \psi\} =$   
 $\text{language } \{\exists (\text{variant } (FV (\forall x. \varphi) \cup FV \psi)). ((\varphi \cdot_{fm} (\text{subst } x (\text{Var } (\text{variant } (FV (\forall x. \varphi) \cup FV \psi)))) \longrightarrow \psi)\}$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-left-exists-language*:  $\langle \text{language } \{(\exists x. \varphi) \longrightarrow \psi\} =$   
 $\text{language } \{\forall (\text{variant } (FV (\exists x. \varphi) \cup FV \psi)). ((\varphi \cdot_{fm} (\text{subst } x (\text{Var } (\text{variant } (FV (\exists x. \varphi) \cup FV \psi)))) \longrightarrow \psi)\}$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-props-forall*:  $\langle P \wedge FV \varphi = FV \psi \wedge \text{language } \{\varphi\} = \text{language } \{\psi\}$   
 $\wedge$   
 $(\forall (I :: 'a \text{ intrp}) \beta. \text{dom } I \neq \{\} \longrightarrow (I, \beta \models \varphi \longleftrightarrow I, \beta \models \psi)) \implies$   
 $P \wedge FV (\forall x. \varphi) = FV (\forall x. \psi) \wedge \text{language } \{(\forall x. \varphi)\} = \text{language } \{(\forall x. \psi)\} \wedge$   
 $(\forall (I :: 'a \text{ intrp}) \beta. \text{dom } I \neq \{\} \longrightarrow (I, \beta \models (\forall x. \varphi) \longleftrightarrow I, \beta \models (\forall x. \psi)))$   
 $\rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-props-exists*:  $\langle P \wedge FV \varphi = FV \psi \wedge \text{language } \{\varphi\} = \text{language } \{\psi\}$   
 $\wedge$   
 $(\forall (I :: 'a \text{ intrp}) \beta. \text{dom } I \neq \{\} \longrightarrow (I, \beta \models \varphi \longleftrightarrow I, \beta \models \psi)) \implies$   
 $P \wedge FV (\exists x. \varphi) = FV (\exists x. \psi) \wedge \text{language } \{(\exists x. \varphi)\} = \text{language } \{(\exists x. \psi)\} \wedge$   
 $(\forall (I :: 'a \text{ intrp}) \beta. \text{dom } I \neq \{\} \longrightarrow (I, \beta \models (\exists x. \varphi) \longleftrightarrow I, \beta \models (\exists x. \psi)))$   
 $\rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-right-props-imp0*:  
**assumes**  $\langle \text{qfree } \varphi \rangle$

**shows**  $\langle is\text{-prenex } \psi \implies is\text{-prenex } (prenex\text{-right } \varphi \psi) \rangle$   
 $\langle proof \rangle$

**lemma** *prenex-right-props-imp*:

**assumes**  $\langle qfree \varphi \rangle$   
**shows**  $\langle is\text{-prenex } \psi \implies$   
 $is\text{-prenex } (prenex\text{-right } \varphi \psi) \wedge$   
 $FV (prenex\text{-right } \varphi \psi) = FV (\varphi \longrightarrow \psi) \wedge$   
 $language \{prenex\text{-right } \varphi \psi\} = language \{(\varphi \longrightarrow \psi)\} \wedge$   
 $(\forall (I :: 'a intrp) \beta. dom I \neq \{\} \longrightarrow ((I, \beta \models (prenex\text{-right } \varphi \psi)) \longleftrightarrow (I, \beta$   
 $\models (\varphi \longrightarrow \psi)))) \rangle$   
**(is**  $\langle is\text{-prenex } \psi \implies ?P \psi \rangle$   
 $\langle proof \rangle$

**lemma** *prenex-right-props*:

$\langle qfree \varphi \wedge is\text{-prenex } \psi \implies$   
 $is\text{-prenex } (prenex\text{-right } \varphi \psi) \wedge$   
 $FV (prenex\text{-right } \varphi \psi) = FV (\varphi \longrightarrow \psi) \wedge$   
 $language \{prenex\text{-right } \varphi \psi\} = language \{(\varphi \longrightarrow \psi)\} \wedge$   
 $(\forall (I :: 'a intrp) \beta. dom I \neq \{\} \longrightarrow ((I, \beta \models (prenex\text{-right } \varphi \psi)) \longleftrightarrow (I, \beta \models (\varphi$   
 $\longrightarrow \psi)))) \rangle$   
 $\langle proof \rangle$

**lemma** *prenex-left-props-imp0*:

**assumes**  $\langle is\text{-prenex } \psi \rangle$   
**shows**  $\langle is\text{-prenex } \varphi \implies is\text{-prenex } (prenex\text{-left } \varphi \psi) \rangle$   
 $\langle proof \rangle$

**lemma** *prenex-left-props-imp*:

**assumes**  $\langle is\text{-prenex } \psi \rangle$   
**shows**  $\langle is\text{-prenex } \varphi \implies$   
 $is\text{-prenex } (prenex\text{-left } \varphi \psi) \wedge$   
 $FV (prenex\text{-left } \varphi \psi) = FV (\varphi \longrightarrow \psi) \wedge$   
 $(language \{(prenex\text{-left } \varphi \psi)\} = language \{(\varphi \longrightarrow \psi)\}) \wedge$   
 $(\forall (I :: 'a intrp) \beta. dom I \neq \{\} \longrightarrow (I, \beta \models prenex\text{-left } \varphi \psi \longleftrightarrow I, \beta \models \varphi$   
 $\longrightarrow \psi)) \rangle$   
**(is**  $\langle is\text{-prenex } \varphi \implies ?P \varphi \rangle$   
 $\langle proof \rangle$

**lemma** *prenex-left-props*:

$\langle is\text{-prenex } \varphi \wedge is\text{-prenex } \psi \implies$   
 $is\text{-prenex } (prenex\text{-left } \varphi \psi) \wedge$   
 $FV (prenex\text{-left } \varphi \psi) = FV (\varphi \longrightarrow \psi) \wedge$   
 $(language \{(prenex\text{-left } \varphi \psi)\} = language \{(\varphi \longrightarrow \psi)\}) \wedge$   
 $(\forall (I :: 'a intrp) \beta. dom I \neq \{\} \longrightarrow (I, \beta \models prenex\text{-left } \varphi \psi \longleftrightarrow I, \beta \models \varphi$   
 $\longrightarrow \psi)) \rangle$   
 $\langle proof \rangle$

**theorem** *prenex-props*:  $\langle \text{is-prenex } (\text{prenex } \varphi) \wedge (\text{FV } (\text{prenex } \varphi) = \text{FV } \varphi) \wedge$   
 $(\text{language } \{\text{prenex } \varphi\} = \text{language } \{\varphi\}) \wedge$   
 $(\forall (I :: 'a \text{ intrp}) \beta. \text{dom } I \neq \{\} \implies (I, \beta \models (\text{prenex } \varphi)) \longleftrightarrow (I, \beta \models \varphi)) \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *is-prenex-prenex* [*simp*]:  $\langle \text{is-prenex } (\text{prenex } \varphi) \rangle$   
**and** *FV-prenex* [*simp*]:  $\langle \text{FV } (\text{prenex } \varphi) = \text{FV } \varphi \rangle$   
**and** *language-prenex* [*simp*]:  $\langle \text{language } \{\text{prenex } \varphi\} = \text{language } \{\varphi\} \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *prenex-holds* [*simp*]:  $\langle \text{dom } I \neq \{\} \implies (I, \beta \models (\text{prenex } \varphi)) \longleftrightarrow (I, \beta \models \varphi) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prenex-satisfies* [*simp*]:  
**assumes**  $\text{dom } M \neq \{\}$   
**shows**  $\text{satisfies } M \{\text{prenex } \varphi\} \longleftrightarrow \text{satisfies } M \{\varphi\}$   
 $\langle \text{proof} \rangle$

**end**

**theory** *Bumping*  
**imports**  
*FOL-Semantics*  
*HOL-Library.Nat-Bijection*  
**begin**

**abbreviation** *numpair where*  
 $\langle \text{numpair } m \ n \equiv \text{prod-encode } (m, n) \rangle$

**abbreviation** *numfst where*  
 $\langle \text{numfst } k \equiv \text{fst } (\text{prod-decode } k) \rangle$

**abbreviation** *numsnd where*  
 $\langle \text{numsnd } k \equiv \text{snd } (\text{prod-decode } k) \rangle$

**definition** *bump-intrp* ::  $'m \text{ intrp} \Rightarrow 'm \text{ intrp}$  **where**  
 $\langle \text{bump-intrp } \mathcal{M} = \text{Abs-intrp } ((\text{dom } \mathcal{M}), (\lambda k \text{ zs. } (\text{intrp-fn } \mathcal{M}) (\text{numsnd } k) \text{ zs}),$   
 $(\text{intrp-rel } \mathcal{M})) \rangle$

**lemma** *bump-dom* [*simp*]:  $\langle \text{dom } (\text{bump-intrp } \mathcal{M}) = \text{dom } \mathcal{M} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *bump-intrp-fn* [*simp*]:  $\langle \text{intrp-fn } (\text{bump-intrp } \mathcal{M}) (\text{numpair } 0 \ f) \ ts = \text{intrp-fn } \mathcal{M} \ f \ ts \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *bump-intrp-rel [simp]*:  $\langle \text{intrp-rel } (\text{bump-intrp } \mathcal{M}) \ n = \text{intrp-rel } \mathcal{M} \ n \rangle$   
 $\langle \text{proof} \rangle$

**fun** *bump-nterm* :: *nterm*  $\Rightarrow$  *nterm* **where**  
 $\langle \text{bump-nterm } (\text{Var } x) = \text{Var } x \rangle$   
 $| \langle \text{bump-nterm } (\text{Fun } f \ ts) = \text{Fun } (\text{numpair } 0 \ f) \ (\text{map } \text{bump-nterm } \ ts) \rangle$

**fun** *bump-form* :: *form*  $\Rightarrow$  *form* **where**  
 $\langle \text{bump-form } \perp = \perp \rangle$   
 $| \langle \text{bump-form } (\text{Atom } p \ ts) = \text{Atom } p \ (\text{map } \text{bump-nterm } \ ts) \rangle$   
 $| \langle \text{bump-form } (\varphi \longrightarrow \psi) = (\text{bump-form } \varphi) \longrightarrow (\text{bump-form } \psi) \rangle$   
 $| \langle \text{bump-form } (\forall \ x. \ \varphi) = \forall \ x. \ (\text{bump-form } \varphi) \rangle$

**lemma** *bumpnterm*:  $\langle \llbracket t \rrbracket^{\mathcal{M}, \beta} = \llbracket \text{bump-nterm } t \rrbracket^{\text{bump-intrp } \mathcal{M}, \beta} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *bump-intrp-rel-holds*:  $\langle (\text{map } (\lambda t. \llbracket t \rrbracket^{\mathcal{M}, \beta}) \ ts \in \text{intrp-rel } \mathcal{M} \ n) =$   
 $(\text{map } ((\lambda t. \llbracket t \rrbracket^{\text{bump-intrp } \mathcal{M}, \beta}) \circ \text{bump-nterm}) \ ts \in \text{intrp-rel } (\text{bump-intrp } \mathcal{M}) \ n) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *bumpform*:  $\langle \mathcal{M}, \beta \models \varphi = (\text{bump-intrp } \mathcal{M}), \beta \models (\text{bump-form } \varphi) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *functions-form-bumpform*:  $\langle (f, m) \in \text{functions-form } (\text{bump-form } \varphi) \implies$   
 $\exists k. (f = \text{numpair } 0 \ k) \wedge (k, m) \in \text{functions-form } \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *bumpform-interpretation*:  $\langle \text{is-interpretation } (\text{language } \{\varphi\}) \ \mathcal{M} \implies$   
 $\text{is-interpretation } (\text{language } \{(\text{bump-form } \varphi)\}) \ (\text{bump-intrp } \mathcal{M}) \rangle$   
 $\langle \text{proof} \rangle$

**fun** *unbump-nterm* :: *nterm*  $\Rightarrow$  *nterm* **where**  
 $\langle \text{unbump-nterm } (\text{Var } x) = \text{Var } x \rangle$   
 $| \langle \text{unbump-nterm } (\text{Fun } f \ ts) = \text{Fun } (\text{numsnd } f) \ (\text{map } \text{unbump-nterm } \ ts) \rangle$

**fun** *unbump-form* :: *form*  $\Rightarrow$  *form* **where**  
 $\langle \text{unbump-form } \perp = \perp \rangle$   
 $| \langle \text{unbump-form } (\text{Atom } p \ ts) = \text{Atom } p \ (\text{map } \text{unbump-nterm } \ ts) \rangle$   
 $| \langle \text{unbump-form } (\varphi \longrightarrow \psi) = (\text{unbump-form } \varphi) \longrightarrow (\text{unbump-form } \psi) \rangle$   
 $| \langle \text{unbump-form } (\forall \ x. \ \varphi) = \forall \ x. \ (\text{unbump-form } \varphi) \rangle$

**lemma** *unbumpnterm [simp]*:  $\langle \text{unbump-nterm } (\text{bump-nterm } t) = t \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *unbumpform* [*simp*]:  $\langle \text{unbump-form } (\text{bump-form } \varphi) = \varphi \rangle$   
 $\langle \text{proof} \rangle$

**definition** *unbump-intrp* ::  $'m \text{ intrp} \Rightarrow 'm \text{ intrp}$  **where**  
 $\langle \text{unbump-intrp } \mathcal{M} = \text{Abs-intrp } (\text{dom } \mathcal{M}, (\lambda k \text{ zs. } (\text{intrp-fn } \mathcal{M}) (\text{numpair } 0 \ k) \ \text{zs}),$   
 $(\text{intrp-rel } \mathcal{M})) \rangle$

**lemma** *unbump-term-intrp*:  $\langle \llbracket \text{bump-nterm } t \rrbracket^{\mathcal{M}, \beta} = \llbracket t \rrbracket^{\text{unbump-intrp } \mathcal{M}, \beta} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *unbump-holds*:  $\langle (\mathcal{M}, \beta \models \text{bump-form } \varphi) = (\text{unbump-intrp } \mathcal{M}, \beta \models \varphi) \rangle$   
 $\langle \text{proof} \rangle$

**abbreviation** *numlist* **where**  
 $\langle \text{numlist } ns \equiv \text{list-encode } ns \rangle$

**fun** *num-of-term* ::  $\text{nterm} \Rightarrow \text{nat}$  **where**  
 $\langle \text{num-of-term } (\text{Var } x) = \text{numpair } 0 \ x \rangle$   
 $| \langle \text{num-of-term } (\text{Fun } f \ ts) = \text{numpair } 1 \ (\text{numpair } f \ (\text{numlist } (\text{map } \text{num-of-term } \ ts))) \rangle$

**lemma** *term-induct2*:  
 $(\bigwedge x \ y. P \ (\text{Var } x) \ (\text{Var } y))$   
 $\implies (\bigwedge x \ g \ us. P \ (\text{Var } x) \ (\text{Fun } g \ us))$   
 $\implies (\bigwedge f \ ts \ y. P \ (\text{Fun } f \ ts) \ (\text{Var } y))$   
 $\implies (\bigwedge f \ ts \ g \ us. (\bigwedge p \ q. p \in \text{set } ts \implies q \in \text{set } us \implies P \ p \ q) \implies P \ (\text{Fun } f \ ts)$   
 $(\text{Fun } g \ us))$   
 $\implies P \ t1 \ t2$   
 $\langle \text{proof} \rangle$

**lemma** *num-of-term-inj*:  $\langle \text{num-of-term } s = \text{num-of-term } t \iff s = t \rangle$   
 $\langle \text{proof} \rangle$

**fun** *num-of-form* ::  $\text{form} \Rightarrow \text{nat}$  **where**  
 $\langle \text{num-of-form } \perp = \text{numpair } 0 \ 0 \rangle$   
 $| \langle \text{num-of-form } (\text{Atom } p \ ts) = \text{numpair } 1 \ (\text{numpair } p \ (\text{numlist } (\text{map } \text{num-of-term } \ ts))) \rangle$   
 $| \langle \text{num-of-form } (\varphi \longrightarrow \psi) = \text{numpair } 2 \ (\text{numpair } (\text{num-of-form } \varphi) \ (\text{num-of-form } \psi)) \rangle$   
 $| \langle \text{num-of-form } (\forall x. \varphi) = \text{numpair } 3 \ (\text{numpair } x \ (\text{num-of-form } \varphi)) \rangle$

**lemma** *numlist-num-of-term*:  $\langle \text{numlist } (\text{map } \text{num-of-term } \ ts) = (\text{numlist } (\text{map } \text{num-of-term } \ us)) \equiv \text{ts} = \text{us} \rangle$

$\langle proof \rangle$

**lemma** *num-of-form-inj*:  $\langle num\text{-of}\text{-form } \varphi = num\text{-of}\text{-form } \psi \longleftrightarrow \varphi = \psi \rangle$   
 $\langle proof \rangle$

**consts** *term-of-num* ::  $nat \Rightarrow nterm$

**specification** (*term-of-num*)  $\langle \forall n. term\text{-of}\text{-num } n = (THE\ t. num\text{-of}\text{-term } t = n) \rangle$   
 $\langle proof \rangle$

**lemma** *term-of-num-of-term [simp]*:  $\langle term\text{-of}\text{-num}(num\text{-of}\text{-term } t) = t \rangle$   
 $\langle proof \rangle$

**consts** *form-of-num* ::  $nat \Rightarrow form$

**specification** (*form-of-num*)  $\langle \forall n. form\text{-of}\text{-num } n = (THE\ \varphi. num\text{-of}\text{-form } \varphi = n) \rangle$   
 $\langle proof \rangle$

**lemma** *form-of-num-of-form [simp]*:  $\langle form\text{-of}\text{-num } (num\text{-of}\text{-form } \varphi) = \varphi \rangle$   
 $\langle proof \rangle$

**end**

**theory** *Skolem-Normal-Form*

**imports**

*Prenex-Normal-Form*

*Bumping*

**begin**

**lemma** *witness-imp-holds-exists*:

**assumes**  $\langle is\text{-interpretation } (functions\text{-term } t, preds) (I :: (nat, nat) term\ intrp) \rangle$

**and**

$\langle is\text{-valuation } I\ \beta \rangle$  **and**

$\langle I, \beta \models (\varphi \cdot_{fm} (subst\ x\ t)) \rangle$

**shows**  $\langle I, \beta \models (\exists x. \varphi) \rangle$

$\langle proof \rangle$

**definition** *skolem1* ::  $nat \Rightarrow nat \Rightarrow form \Rightarrow form$  **where**

$\langle skolem1\ f\ x\ \varphi = \varphi \cdot_{fm} (subst\ x\ (Fun\ f\ (map\ Var\ (sorted\text{-list}\text{-of}\text{-set}\ (FV\ (\exists x. \varphi)))))) \rangle$

**lemma** *fvt-var-x-simp*:

$\langle FVT\ (Var\ x \cdot subst\ x\ (Fun\ f\ (map\ Var\ (sorted\text{-list}\text{-of}\text{-set}\ (FV\ \varphi - \{x\})))) =$

$FV\ \varphi - \{x\} \rangle$

$\langle proof \rangle$

**lemma** *holds-indep-intrp-if2*:

**fixes**  $I I' :: 'a \text{ intrp}$

**shows**

$\langle \llbracket I, \beta \models \varphi; \text{dom } I = \text{dom } I'; \bigwedge p. \text{intrp-rel } I p = \text{intrp-rel } I' p; \bigwedge f \text{ zs}. (f, \text{length } \text{zs}) \in \text{functions-form } \varphi \implies \text{intrp-fn } I f \text{ zs} = \text{intrp-fn } I' f \text{ zs} \rrbracket \implies I', \beta \models \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *fun-upds-prop*:  $\langle \text{length } \text{xs} = \text{length } \text{zs} \implies \forall z \in \text{set } \text{zs}. P z \implies \forall v. P (g v) \implies \forall v. P ((\text{foldr } (\lambda kv f. \text{fun-upd } f (fst kv) (snd kv)) (\text{zip } \text{xs } \text{zs}) g) v) \rangle$

$\langle \text{proof} \rangle$

**lemma**  $\langle \{z. \exists y. y \in FV \varphi \wedge z \in \text{functions-term } (\text{Var } y \cdot \text{subst } x t)\} = \text{functions-term } t \vee \{z. \exists y. y \in FV \varphi \wedge z \in \text{functions-term } (\text{Var } y \cdot \text{subst } x t)\} = \{\}\rangle$

$\langle \text{proof} \rangle$

**lemma** *func-form-subst*:  $\langle x \in FV \varphi \implies (f, \text{length } \text{ts}) \in \text{functions-form } (\varphi \cdot_{fm} \text{subst } x (Fun f \text{ts})) \rangle$

$\langle \text{proof} \rangle$

**lemma** *holds-formsubst*:

$M, \beta \models (p \cdot_{fm} i) \longleftrightarrow M, (\lambda t. \llbracket t \rrbracket^{M, \beta}) \circ i \models p$

$\langle \text{proof} \rangle$

**lemma** *holds-formsubst1*:

$M, \beta \models (p \cdot_{fm} \text{Var}(x:=t)) \longleftrightarrow M, \beta(x := \llbracket t \rrbracket^{M, \beta}) \models p$

$\langle \text{proof} \rangle$

**lemma** *holds-formsubst2*:

$M, \beta \models (p \cdot_{fm} \text{subst } x t) \longleftrightarrow M, \beta(x := \llbracket t \rrbracket^{M, \beta}) \models p$

$\langle \text{proof} \rangle$

**lemma** *size-nonzero [simp]*:  $\text{size } fm > 0$

$\langle \text{proof} \rangle$

**lemma**

**assumes** *prenex-ex-phi*:  $\langle \text{is-prenex } (\exists x. \varphi) \rangle$

**and** *notin-ff*:  $\langle \neg (f, \text{card } (FV (\exists x. \varphi))) \in \text{functions-form } (\exists x. \varphi) \rangle$

**shows** *holds-skolem1a*:  $\text{is-prenex } (\text{skolem1 } f x \varphi)$  (**is** ?A)

**and** *holds-skolem1b*:  $FV (\text{skolem1 } f x \varphi) = FV (\exists x. \varphi)$  (**is** ?B)

**and** *holds-skolem1c*:

$\text{Prenex-Normal-Form.size } (\text{skolem1 } f x \varphi) < \text{Prenex-Normal-Form.size } (\exists x. \varphi)$

$\varphi$ ) (is ?C)  
**and** holds-skolem1d: predicates-form (skolem1 f x  $\varphi$ ) = predicates-form ( $\exists x.$   
 $\varphi$ ) (is ?D)  
**and** holds-skolem1e: functions-form ( $\exists x.$   $\varphi$ )  $\subseteq$  functions-form (skolem1 f x  
 $\varphi$ ) (is ?E)  
**and** holds-skolem1f: functions-form (skolem1 f x  $\varphi$ )  
 $\subseteq$  (f, card (FV ( $\exists x.$   $\varphi$ )))  $\triangleright$  functions-form ( $\exists x.$   $\varphi$ ) (is ?F)  
 <proof>

**definition** define-fn  $\equiv \lambda FN f n h. \lambda g zs. \text{if } g=f \wedge \text{length } zs = n \text{ then } h \text{ } zs \text{ else } FN$   
 $g \text{ } zs$

**lemma** holds-skolem1g:

**assumes** prenex-ex-phi:  $\langle \text{is-prenex } (\exists x. \varphi) \rangle$   
**and** notin-ff:  $\langle \neg (f, \text{card } (FV (\exists x. \varphi))) \in \text{functions-form } (\exists x. \varphi) \rangle$   
**fixes** I :: 'a intrp  
**assumes** interp-I: is-interpretation (language { $\varphi$ }) I  
**and** nempty-I: dom I  $\neq \{\}$   
**and** valid:  $\bigwedge \beta. \text{is-valuation } I \beta \implies I, \beta \models (\exists x. \varphi)$   
**obtains** M **where** dom M = dom I  
 intrp-rel M = intrp-rel I  
 $\bigwedge g zs. g \neq f \vee \text{length } zs \neq \text{card } (FV (\exists x. \varphi)) \implies \text{intrp-fn } M g zs$   
 = intrp-fn I g zs  
 is-interpretation (language {skolem1 f x  $\varphi$ }) M  
 $\bigwedge \beta. \text{is-valuation } M \beta \implies M, \beta \models \text{skolem1 f x } \varphi$   
 <proof>

**lemma** holds-skolem1h:

**assumes** prenex-ex-phi:  $\langle \text{is-prenex } (\exists x. \varphi) \rangle$  **and**  $\langle \neg (f, \text{card } (FV (\exists x. \varphi))) \in$   
 functions-form ( $\exists x. \varphi$ )  $\rangle$   
**assumes** is-intrp: is-interpretation (language {skolem1 f x  $\varphi$ }) N  
**and** nempty-N: dom N  $\neq \{\}$   
**and** is-val: is-valuation N  $\beta$   
**and** skol-holds: N,  $\beta \models \text{skolem1 f x } \varphi$   
**shows** N,  $\beta \models (\exists x. \varphi)$   
 <proof>

**lemma** holds-skolem1i:

**assumes**  $\langle \text{is-prenex } (\exists x. \varphi) \rangle$  **and**  $\langle \neg (f, \text{card } (FV (\exists x. \varphi))) \in \text{functions-form}$   
 ( $\exists x. \varphi$ )  $\rangle$   
**shows**  $\langle \text{is-prenex } (\text{skolem1 f x } \varphi) \wedge$   
 $FV (\text{skolem1 f x } \varphi) = FV (\exists x. \varphi) \wedge$   
 $\text{size } (\text{skolem1 f x } \varphi) < \text{size } (\exists x. \varphi) \wedge$   
 $\text{predicates-form } (\text{skolem1 f x } \varphi) = \text{predicates-form } (\exists x. \varphi) \wedge$   
 $\text{functions-form } (\exists x. \varphi) \subseteq \text{functions-form } (\text{skolem1 f x } \varphi) \wedge$   
 $\text{functions-form } (\text{skolem1 f x } \varphi) \subseteq \text{insert } (f, \text{card } (FV (\exists x. \varphi))) (\text{functions-form}$   
 ( $\exists x. \varphi$ ))  $\wedge$   
 $(\forall (I :: 'a \text{ intrp}). \text{is-interpretation } (\text{language } \{\varphi\}) I \wedge$   
 $\neg (\text{dom } I = \{\})) \wedge$



$(\forall \beta. \text{is-valuation } I \beta \longrightarrow (I, \beta \models (\exists x. \varphi))) \longrightarrow$   
 $(\exists (M :: 'a \text{ intrp}). \text{dom } M = \text{dom } I \wedge$   
 $\text{intrp-rel } M = \text{intrp-rel } I \wedge$   
 $(\forall g \text{ zs}. \neg g=f \vee \neg(\text{length } \text{zs} = \text{card } (FV (\exists x. \varphi)))) \longrightarrow \text{intrp-fn } M g \text{ zs} =$   
 $\text{intrp-fn } I g \text{ zs}) \wedge$   
 $\text{is-interpretation } (\text{language } \{\text{skolem1 } f x \varphi\}) M \wedge$   
 $(\forall \beta. \text{is-valuation } M \beta \longrightarrow (M, \beta \models (\text{skolem1 } f x \varphi)))) \wedge$   
 $(\forall (N :: 'a \text{ intrp}). \text{is-interpretation } (\text{language } \{\text{skolem1 } f x \varphi\}) N \wedge$   
 $\neg (\text{dom } N = \{\}) \longrightarrow$   
 $(\forall \beta. \text{is-valuation } N \beta \wedge (N, \beta \models (\text{skolem1 } f x \varphi)) \longrightarrow (N, \beta \models (\exists x. \varphi))))$   
 $\rangle$   
 $\langle \text{proof} \rangle$

**lemma** *skolems-ex*:  $\langle \exists \text{skolems}. \forall \varphi. \text{skolems } \varphi = (\lambda k. \text{ppat } (\lambda x \psi. \forall x. (\text{skolems } \psi k)))$   
 $(\lambda x \psi. \text{skolems } (\text{skolem1 } (\text{numpair } J k) x \psi) (\text{Suc } k)) (\lambda \psi. \psi) \varphi \rangle$   
 $\langle \text{proof} \rangle$

**consts** *skolems* ::  $\text{nat} \Rightarrow \text{form} \Rightarrow \text{nat} \Rightarrow \text{form}$

**specification** (*skolems*)

*skolems-eq*:  $\langle \bigwedge J \psi k. \text{skolems } J \psi k$   
 $= \text{ppat } (\lambda x \varphi'. \forall x. (\text{skolems } J \varphi' k)) (\lambda x \varphi'. \text{skolems } J (\text{skolem1}$   
 $(\text{numpair } J k) x \varphi') (\text{Suc } k)) (\lambda \varphi. \varphi) \psi \rangle$   
 $\langle \text{proof} \rangle$

bounding the possible Skolem functions in a given formula

**definition** *skolems-bounded*  $\equiv \lambda p J k. \forall l m. (\text{numpair } J l, m) \in \text{functions-form } p$   
 $\longrightarrow l < k$

**lemma** *skolems-bounded-mono*:  $\llbracket \text{skolems-bounded } p J k'; k' \leq k \rrbracket \Longrightarrow \text{skolems-bounded } p J k$   
 $\langle \text{proof} \rangle$

**lemma** *skolems-bounded-prenex*:  $\text{skolems-bounded } \varphi K k \Longrightarrow \text{skolems-bounded } (\text{prenex } \varphi) K k$   
 $\langle \text{proof} \rangle$

Basic properties proved by induction on the number of Skolemisation steps. Harrison's gigantic conjunction broken up for more manageable proofs, at the cost of some repetition

first, the simplest properties

**lemma** *holds-skolems-induction-A*:

**assumes**  $\text{size } p = n$  **and** *is-prenex*  $p$  **and** *skolems-bounded*  $p J k$

**shows**  $\text{universal}(\text{skolems } J p k) \wedge$

$FV(\text{skolems } J p k) = FV p \wedge$

$\text{predicates-form } (\text{skolems } J p k) = \text{predicates-form } p \wedge$

$\text{functions-form } p \subseteq \text{functions-form } (\text{skolems } J p k) \wedge$

$$\text{functions-form (skolems } J p k) \subseteq \{(\text{numpair } J l, m) \mid l m. k \leq l\} \cup$$

$$\text{functions-form } p$$
 <proof>

the final conjunct of the HOL Light version

**lemma holds-skolems-induction-B:**

**fixes**  $N :: 'a \text{ intrp}$   
**assumes**  $\text{size } p = n$  **and**  $\text{is-prenex } p$  **and**  $\text{skolems-bounded } p J k$   
**and**  $\text{is-interpretation (language \{skolems } J p k\}) N \text{ dom } N \neq \{\}}$   
**and**  $\text{is-valuation } N \beta N, \beta \models \text{skolems } J p k$   
**shows**  $N, \beta \models p$   
 <proof>

the penultimate conjunct of the HOL Light version

**lemma holds-skolems-induction-C:**

**fixes**  $M :: 'a \text{ intrp}$   
**assumes**  $\text{size } p = n$  **and**  $\text{is-prenex } p$  **and**  $\text{skolems-bounded } p J k$   
**and**  $\text{is-interpretation (language \{p\}) } M \text{ dom } M \neq \{\}$   $\text{satisfies } M \{p\}$   
**shows**  $\exists M'. \text{dom } M' = \text{dom } M \wedge \text{intrp-rel } M' = \text{intrp-rel } M \wedge$   
 $(\forall g \text{ zs. intrp-fn } M' g \text{ zs} \neq \text{intrp-fn } M g \text{ zs}$   
 $\longrightarrow (\exists l. k \leq l \wedge g = \text{numpair } J l)) \wedge$   
 $\text{is-interpretation (language \{skolems } J p k\}) } M' \wedge$   
 $\text{satisfies } M' \{\text{skolems } J p k\}$   
 <proof>

**corollary holds-skolems-prenex-A:**

**assumes**  $\text{is-prenex } \varphi$   $\text{skolems-bounded } \varphi K 0$   
**shows**  $\text{universal}(\text{skolems } K \varphi 0) \wedge (FV (\text{skolems } K \varphi 0) = FV \varphi) \wedge$   
 $\text{predicates-form (skolems } K \varphi 0) = \text{predicates-form } \varphi \wedge$   
 $\text{functions-form } \varphi \subseteq \text{functions-form (skolems } K \varphi 0) \wedge$   
 $\text{functions-form (skolems } K \varphi 0) \subseteq \{(\text{numpair } K l, m) \mid l m. \text{True}\} \cup$   
 $(\text{functions-form } \varphi)$   
 <proof>

**corollary holds-skolems-prenex-B:**

**assumes**  $\text{is-prenex } \varphi$   $\text{skolems-bounded } \varphi K 0$   
**and**  $\text{is-interpretation (language \{skolems } K \varphi 0\}) } M \text{ dom } M \neq \{\}$   
**and**  $\text{is-valuation } M \beta M, \beta \models \text{skolems } K \varphi 0$   
**shows**  $M, \beta \models \varphi$   
 <proof>

**corollary holds-skolems-prenex-C:**

**assumes**  $\text{is-prenex } \varphi$   $\text{skolems-bounded } \varphi K 0$   
**and**  $\text{is-interpretation (language \{\varphi\}) } M \text{ dom } M \neq \{\}$   $\text{satisfies } M \{\varphi\}$   
**shows**  $\exists M'. \text{dom } M' = \text{dom } M \wedge \text{intrp-rel } M' = \text{intrp-rel } M \wedge$   
 $(\forall g \text{ zs. intrp-fn } M' g \text{ zs} \neq \text{intrp-fn } M g \text{ zs} \longrightarrow (\exists l. g = \text{numpair } K l))$   
 $\wedge$   
 $\text{is-interpretation (language \{skolems } K \varphi 0\}) } M' \wedge$

satisfies  $M' \{ \text{skolems } K \ \varphi \ 0 \}$   
 ⟨proof⟩

**definition skopre where**

⟨skopre  $k \ \varphi = \text{skolems } k \ (\text{prenex } \varphi) \ 0 \rangle$

**corollary skopre-model-A:**

assumes skolems-bounded  $\varphi \ K \ 0$

shows universal(skopre  $K \ \varphi \)  $\wedge \ (FV \ (\text{skopre } K \ \varphi) = FV \ \varphi) \ \wedge$$

predicates-form (skopre  $K \ \varphi) = \text{predicates-form } \varphi \ \wedge$

functions-form  $\varphi \subseteq \text{functions-form } (\text{skopre } K \ \varphi) \ \wedge$

functions-form (skopre  $K \ \varphi) \subseteq \{ (\text{numpair } K \ l, m) \mid l \ m. \ \text{True} \} \cup (\text{functions-form}$

$\varphi)$

⟨proof⟩

**corollary skopre-model-B:**

assumes skolems-bounded  $\varphi \ K \ 0$

and is-interpretation (language  $\{ \text{skopre } K \ \varphi \}) \ M \ \text{dom } M \neq \{ \}$

and is-valuation  $M \ \beta \ M, \beta \models \text{skopre } K \ \varphi$

shows  $M, \beta \models \varphi$

⟨proof⟩

**corollary skopre-model-C:**

assumes skolems-bounded  $\varphi \ K \ 0$

and is-interpretation (language  $\{ \varphi \}) \ M \ \text{dom } M \neq \{ \}$  satisfies  $M \ \{ \varphi \}$

shows  $\exists M'. \ \text{dom } M' = \text{dom } M \ \wedge \ \text{intrp-rel } M' = \text{intrp-rel } M \ \wedge$

$(\forall g \ \text{zs}. \ \text{intrp-fn } M' \ g \ \text{zs} \neq \text{intrp-fn } M \ g \ \text{zs} \longrightarrow (\exists l. \ g = \text{numpair } K$

$l)) \ \wedge$

is-interpretation (language  $\{ \text{skopre } K \ \varphi \}) \ M' \ \wedge$

satisfies  $M' \ \{ \text{skopre } K \ \varphi \}$

⟨proof⟩

**definition skolemize where**

⟨skolemize  $\varphi = \text{skopre } (\text{num-of-form } (\text{bump-form } \varphi) + 1) \ (\text{bump-form } \varphi) \rangle$

**lemma no-skolems-bump-nterm:**

shows  $i > 0 \implies (\text{numpair } i \ l, \ m) \notin \text{functions-term } (\text{bump-nterm } t)$

⟨proof⟩

**lemma no-skolems-bump-form:  $i > 0 \implies \text{skolems-bounded } (\text{bump-form } \varphi) \ i \ 0$**

⟨proof⟩

**lemma universal-skolemize [iff]: universal (skolemize  $\varphi$ )**

and  $FV$ -skolemize [simp]:  $FV \ (\text{skolemize } \varphi) = FV \ (\text{bump-form } \varphi)$

and predicates-form-skolemize [simp]: predicates-form (skolemize  $\varphi$ ) = predi-

*ates-form* (*bump-form*  $\varphi$ )  
 ⟨*proof*⟩

**lemma** *functions-bump-form*: *functions-form* (*bump-form*  $\varphi$ )  $\subseteq$  *functions-form* (*skolemize*  $\varphi$ )  
 ⟨*proof*⟩

**lemma** *functions-skolemize*:

*functions-form* (*skolemize*  $\varphi$ )  $\subseteq$   $\{(numpair\ (num-of-form\ (bump-form\ \varphi)+1)\ l,\ m) \mid k\ l\ m.\ True\} \cup$  *functions-form* (*bump-form*  $\varphi$ )  
 ⟨*proof*⟩

**lemma** *skolemize-imp-holds-bump-form*:

**assumes** *is-interpretation* (*language*  $\{skolemize\ \varphi\}$ )  $N\ dom\ N \neq \{\}$   
**and** *is-valuation*  $N\ \beta\ N,\beta \models skolemize\ \varphi$   
**shows**  $N,\beta \models bump-form\ \varphi$   
 ⟨*proof*⟩

**lemma** *is-interpretation-skolemize*:

**assumes** *is-interpretation* (*language*  $\{bump-form\ \varphi\}$ )  $M\ dom\ M \neq \{\}$  *satisfies*  $M\ \{bump-form\ \varphi\}$   
**obtains**  $M'$  **where**  $dom\ M' = dom\ M\ intrp-rel\ M' = intrp-rel\ M$   
 $\bigwedge g\ zs.\ intrp-fn\ M'\ g\ zs \neq intrp-fn\ M\ g\ zs \implies \exists l.\ g = numpair\ (num-of-form\ (bump-form\ \varphi) + 1)\ l$   
*is-interpretation* (*language*  $\{skolemize\ \varphi\}$ )  $M'$  *satisfies*  $M'\ \{skolemize\ \varphi\}$   
 ⟨*proof*⟩

**lemma** *functions-form-skolemize*:

**assumes**  $\langle f,m \rangle \in functions-form\ (skolemize\ \varphi)$   
**obtains**  $k$  **where**  $\langle f = numpair\ 0\ k \rangle \langle k,m \rangle \in functions-form\ \varphi \mid l$  **where**  $\langle f = numpair\ (num-of-form\ (bump-form\ \varphi) + 1)\ l \rangle$   
 ⟨*proof*⟩

**definition** *skomod1* **where**

$\langle skomod1\ \varphi\ M \equiv$   
   *if* *satisfies*  $M\ \{\varphi\}$   
   *then* (*SOME*  $M'.$   $dom\ M' = dom\ (bump-intrp\ M) \wedge$   
      $intrp-rel\ M' = intrp-rel\ (bump-intrp\ M) \wedge$   
      $(\forall g\ zs.\ intrp-fn\ M'\ g\ zs \neq intrp-fn\ (bump-intrp\ M)\ g\ zs \implies$   
        $(\exists l.\ g = numpair\ (num-of-form\ (bump-form\ \varphi) + 1)\ l)) \wedge$   
     *is-interpretation* (*language*  $\{skolemize\ \varphi\}$ )  $M' \wedge$  *satisfies*  $M'$   
    $\{skolemize\ \varphi\}$ )  
   *else* (*Abs-intrp* ( $dom\ M,$   $(\lambda g\ zs.\ (SOME\ a.\ a \in dom\ M)), intrp-rel\ M)$ ) $\rangle$

**lemma** *skomod1-works*:

**assumes**  $M$ :  $\langle is\text{-interpretation } (language \ \{\varphi\}) \ M \rangle \langle dom \ M \neq \{\} \rangle$   
**shows**  $\langle dom \ (skomod1 \ \varphi \ M) = dom \ (bump\text{-intrp} \ M) \wedge$   
 $intrp\text{-rel} \ (skomod1 \ \varphi \ M) = intrp\text{-rel} \ (bump\text{-intrp} \ M) \wedge$   
 $is\text{-interpretation} \ (language \ \{skolemize \ \varphi\}) \ (skomod1 \ \varphi \ M) \wedge$   
 $(satisfies \ M \ \{\varphi\} \longrightarrow$   
 $(\forall g \ zs. \ intrp\text{-fn} \ (skomod1 \ \varphi \ M) \ g \ zs \neq intrp\text{-fn} \ (bump\text{-intrp} \ M) \ g \ zs \longrightarrow$   
 $(\exists l. \ g = numpair \ (num\text{-of-form} \ (bump\text{-form} \ \varphi) + 1) \ l)) \wedge$   
 $satisfies \ (skomod1 \ \varphi \ M) \ \{skolemize \ \varphi\}\rangle$   
 $\langle proof \rangle$

**definition**  $skomod\text{-FN} \equiv \lambda M \ g \ zs. \ if \ numfst \ g = 0 \ then \ intrp\text{-fn} \ M \ (numsnd \ g) \ zs$   
 $else \ intrp\text{-fn} \ (skomod1 \ (unbump\text{-form} \ (form\text{-of-num}$   
 $(numfst \ g - 1))) \ M) \ g \ zs$

**definition**  $skomod \ where$   
 $\langle skomod \ M \equiv Abs\text{-intrp} \ (dom \ M, \ skomod\text{-FN} \ M, \ intrp\text{-rel} \ M) \rangle$

**lemma**  $skomod\text{-interpretation}$ :  
**assumes**  $\langle is\text{-interpretation} \ (language \ \{\varphi\}) \ M \rangle \langle dom \ M \neq \{\} \rangle$   
**shows**  $\langle is\text{-interpretation} \ (language \ \{skolemize \ \varphi\}) \ (skomod \ M) \rangle$   
 $\langle proof \rangle$

**proposition**  $skomod\text{-works}$ :  
**assumes**  $\langle is\text{-interpretation} \ (language \ \{\varphi\}) \ M \rangle \langle dom \ M \neq \{\} \rangle$   
**shows**  $\langle satisfies \ M \ \{\varphi\} \longleftrightarrow satisfies \ (skomod \ M) \ \{skolemize \ \varphi\} \rangle$   
 $\langle proof \rangle$

**proposition**  $skolemize\text{-satisfiable}$ :  
 $\langle (\exists M :: 'a \ intrp. \ dom \ M \neq \{\} \wedge is\text{-interpretation} \ (language \ S) \ M \wedge$   
 $satisfies \ M \ S) \longleftrightarrow$   
 $(\exists M :: 'a \ intrp. \ dom \ M \neq \{\} \wedge is\text{-interpretation} \ (language \ (skolemize \ ' S)) \ M$   
 $\wedge satisfies \ M \ (skolemize \ ' S)) \rangle \quad (\mathbf{is} \ ?lhs = ?rhs)$   
 $\langle proof \rangle$

**fun**  $specialize :: form \Rightarrow form \ where$   
 $\langle specialize \ \perp = \perp \rangle$   
 $| \langle specialize \ (Atom \ p \ ts) = Atom \ p \ ts \rangle$   
 $| \langle specialize \ (\varphi \longrightarrow \psi) = \varphi \longrightarrow \psi \rangle$   
 $| \langle specialize \ (\forall x. \ \varphi) = specialize \ \varphi \rangle$

**lemma**  $specialize\text{-satisfies}$ :  
**fixes**  $M :: 'a \ intrp$

**assumes**  $\langle \text{dom } M \neq \{\} \rangle$   
**shows**  $\langle \text{satisfies } M \text{ (specialize ' } S) \longleftrightarrow \text{satisfies } M S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *specialize-qfree*:  $\langle \text{universal } \varphi \implies \text{qfree (specialize } \varphi) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *functions-form-specialize* [simp]:  $\text{functions-form}(\text{specialize } \varphi) = \text{functions-form } \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *predicates-form-form-specialize* [simp]:  $\text{predicates-form}(\text{specialize } \varphi) = \text{predicates-form } \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *specialize-language*:  $\langle \text{language (specialize ' } S) = \text{language } S \rangle$   
 $\langle \text{proof} \rangle$

**definition** *skolem* ::  $\text{form} \Rightarrow \text{form}$  **where**  
 $\langle \text{skolem } \varphi = \text{specialize}(\text{skolemize } \varphi) \rangle$

**lemma** *skolem-qfree*:  $\langle \text{qfree (skolem } \varphi) \rangle$   
 $\langle \text{proof} \rangle$

**theorem** *skolem-satisfiable*:  
 $\langle (\exists M :: 'a \text{ intrp. } \text{dom } M \neq \{\} \wedge \text{is-interpretation (language } S) M \wedge \text{satisfies } M S) \longleftrightarrow (\exists M :: 'a \text{ intrp. } \text{dom } M \neq \{\} \wedge \text{is-interpretation (language (skolem ' } S)) M \wedge \text{satisfies } M \text{ (skolem ' } S)) \rangle$   
 $\langle \text{proof} \rangle$

**end**

**theory** *Canonical-Models*  
**imports** *Skolem-Normal-Form*  
**begin**

**inductive-set** *terms-set* ::  $\langle (\text{nat} \times \text{nat}) \text{ set} \Rightarrow \text{nterm set} \rangle$  **for** *fns* ::  $\langle (\text{nat} \times \text{nat}) \text{ set} \rangle$  **where**  
 $\text{vars}: \langle (\text{Var } v) \in \text{terms-set fns} \rangle$   
 $| \text{fn}: \langle (\text{Fun } f \text{ ts}) \in \text{terms-set fns} \rangle$   
**if**  $\langle (f, \text{length ts}) \in \text{fns} \rangle \langle \bigwedge t. t \in \text{set ts} \implies t \in \text{terms-set fns} \rangle$

**lemma** *struct-terms-set* [iff]:  $\langle \text{struct (terms-set } A) \rangle$

⟨proof⟩

**lemma** *stupid-canondom*:  $\langle t \in \text{terms-set } (\text{fst } \mathcal{L}) \implies \text{functions-term } t \subseteq (\text{fst } \mathcal{L}) \rangle$   
⟨proof⟩

**lemma** *finite-subset-instance*:  $\langle \text{finite } t' \implies t' \subseteq \{\varphi \cdot_{fm} \sigma \mid \sigma \varphi. P \sigma \wedge \varphi \in s\} \implies$   
 $(\exists t. \text{finite } t \wedge t \subseteq s \wedge t' \subseteq \{\varphi \cdot_{fm} \sigma \mid \sigma \varphi. P \sigma \wedge \varphi \in t\}) \rangle$   
⟨proof⟩

**lemma** *finite-subset-skolem*:  $\langle \text{finite } u \implies u \subseteq \{\text{skolem } \varphi \mid \varphi. \varphi \in s\} \implies$   
 $(\exists t. \text{finite } t \wedge t \subseteq s \wedge u = \{\text{skolem } \varphi \mid \varphi. \varphi \in t\}) \rangle$   
⟨proof⟩

**lemma** *valuation-exists*:  $\langle \neg (\text{dom } M = \{\}) \implies \exists \beta. \text{is-valuation } M \beta \rangle$   
⟨proof⟩

**lemma** *holds-itlist-exists*:  
 $\langle (M, \beta \models (\text{foldr } (\lambda x p. \exists x. p) xs \varphi)) \iff$   
 $(\exists as. \text{length } as = \text{length } xs \wedge \text{set } as \subseteq \text{dom } M \wedge$   
 $(M, (\text{foldr } (\lambda u \beta. \beta(\text{fst } u := \text{snd } u)) (\text{rev } (\text{zip } xs as)) \beta) \models \varphi) \rangle$   
⟨proof⟩

**definition** *canonical* ::  $\langle (\text{nat} \times \text{nat}) \text{ set} \times (\text{nat} \times \text{nat}) \text{ set} \Rightarrow \text{nterm intrp} \Rightarrow \text{bool} \rangle$   
**where**  
 $\langle \text{canonical } \mathcal{L} \mathcal{M} \equiv (\text{dom } \mathcal{M} = \text{terms-set } (\text{fst } \mathcal{L}) \wedge (\forall f. \text{intrp-fn } \mathcal{M} f = \text{Fun } f)) \rangle$

**definition** *pintrp-of-intrp* ::  $\langle 'm \text{ intrp} \Rightarrow (\text{nat} \Rightarrow 'm) \Rightarrow (\text{form} \Rightarrow \text{bool}) \rangle$  **where**  
 $\langle \text{pintrp-of-intrp } \mathcal{M} \beta = (\lambda \varphi. \mathcal{M}, \beta \models \varphi) \rangle$

**definition**  
*canon-of-prop* ::  $\langle ((\text{nat} \times \text{nat}) \text{ set} \times (\text{nat} \times \text{nat}) \text{ set}) \Rightarrow (\text{form} \Rightarrow \text{bool}) \Rightarrow \text{nterm intrp} \rangle$  **where**  
 $\langle \text{canon-of-prop } \mathcal{L} I \equiv \text{Abs-intrp } (\text{terms-set } (\text{fst } \mathcal{L}), \text{Fun}, (\lambda p. \{ts. I (\text{Atom } p ts)\})) \rangle$

**lemma** *dom-canon-of-prop* [simp]:  $\langle \text{dom } (\text{canon-of-prop } \mathcal{L} I) = \text{terms-set } (\text{fst } \mathcal{L}) \rangle$   
⟨proof⟩

**lemma** *intrp-fn-canon-of-prop* [simp]:  $\langle \text{intrp-fn } (\text{canon-of-prop } \mathcal{L} I) = \text{Fun} \rangle$   
⟨proof⟩

**lemma** *intrp-rel-canon-of-prop* [*simp*]:  $\langle \text{intrp-rel } (\text{canon-of-prop } \mathcal{L} I) = (\lambda p. \{ts. I \text{ (Atom } p \text{ ts)}\}) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pholds-pintrp-of-intrp*:  
 $\langle \text{qfree } \varphi \implies (\text{pintrp-of-intrp } \mathcal{M} \beta) \models_p \varphi \longleftrightarrow \mathcal{M}, \beta \models \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *intrp-of-canon-of-prop* [*simp*]:  
 $\langle \text{pintrp-of-intrp } (\text{canon-of-prop } \mathcal{L} I) \text{ Var } (\text{Atom } p \text{ ts}) = I \text{ (Atom } p \text{ ts)} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *holds-canon-of-prop*:  
**assumes**  $\langle \text{qfree } \varphi \rangle$  **shows**  $\langle (\text{canon-of-prop } \mathcal{L} I), \text{Var} \models \varphi \longleftrightarrow I \models_p \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *holds-canon-of-prop-general*:  
**assumes**  $\langle \text{qfree } \varphi \rangle$  **shows**  $\langle (\text{canon-of-prop } \mathcal{L} I), \beta \models \varphi \longleftrightarrow I \models_p (\varphi \cdot \text{fm } \beta) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *canonical-canon-of-prop*:  $\langle \text{canonical } \mathcal{L} (\text{canon-of-prop } \mathcal{L} I) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *interpretation-canon-of-prop*:  $\langle \text{is-interpretation } \mathcal{L} (\text{canon-of-prop } \mathcal{L} I) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *prop-valid-imp-fol-valid*:  $\langle \text{qfree } \varphi \wedge (\forall I. I \models_p \varphi) \implies (\forall \mathcal{M} \beta. \mathcal{M}, \beta \models \varphi) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *fol-valid-imp-prop-valid*:  $\langle \text{qfree } \varphi \wedge (\forall \mathcal{M} \beta. \text{canonical } (\text{language } \{\varphi\}) \mathcal{M} \longrightarrow \mathcal{M}, \beta \models \varphi) \implies \forall I. I \models_p \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *satisfies-psatisfies*:  $\langle \llbracket \varphi \in \Phi; \Phi \subseteq \{\varphi. \text{qfree } \varphi\}; \text{satisfies } \mathcal{M} \Phi; \text{is-valuation } \mathcal{M} \beta \rrbracket \implies \text{psatisfies } (\text{pintrp-of-intrp } \mathcal{M} \beta) \Phi \rangle$   
 $\langle \text{proof} \rangle$



**lemma** *psatisfies-instances*:

**assumes**  $qf$ :  $\langle \Phi \subseteq \{\varphi. qfree \varphi\} \rangle$

**and**  $ps$ :  $\langle psatisfies I \{\varphi \cdot_{fm} \beta \mid \varphi \beta. (\forall x. \beta x \in terms-set (fst \mathcal{L})) \wedge \varphi \in \Phi\} \rangle$

**shows**  $\langle satisfies (canon-of-prop \mathcal{L} I) \Phi \rangle$

$\langle proof \rangle$

**lemma** *satisfies-instances*:

**assumes**  $\langle is-interpretation (language \Xi) \mathcal{M} \rangle$

**shows**  $\langle satisfies \mathcal{M} \{\varphi \cdot_{fm} \sigma \mid \varphi \sigma. \varphi \in \Phi \wedge (\forall x. \sigma x \in terms-set (fst (language \Xi)))\} \longleftrightarrow$

$satisfies \mathcal{M} \Phi \rangle$

$\langle proof \rangle$

**lemma** *compact-canon-qfree*:

**assumes**  $qf$ :  $\langle \Phi \subseteq \{\varphi. qfree \varphi\} \rangle$

**and**  $int$ :  $\langle \bigwedge \Psi. \llbracket finite \Psi; \Psi \subseteq \Phi \rrbracket$

$\implies \exists \mathcal{M}::'a \ intrp. is-interpretation (language \Xi) \mathcal{M} \wedge dom \mathcal{M} \neq \{\} \wedge$

$satisfies \mathcal{M} \Psi \rangle$

**obtains**  $\mathcal{C}$  **where**  $\langle is-interpretation (language \Xi) \mathcal{C} \rangle \langle canonical (language \Xi) \mathcal{C} \rangle$

$\langle satisfies \mathcal{C} \Phi \rangle$

$\langle proof \rangle$

**lemma** *interpretation-restrictlanguage*:

$\langle \Psi \subseteq \Phi \implies is-interpretation (language \Phi) \mathcal{M} \implies is-interpretation (language \Psi) \mathcal{M} \rangle$

$\langle proof \rangle$

**lemma** *interpretation-extendlanguage*:

**fixes**  $\mathcal{M}::'a \ intrp \rangle$

**assumes**  $int$ :  $\langle is-interpretation (language \Psi) \mathcal{M} \rangle$  **and**  $\langle dom \mathcal{M} \neq \{\} \rangle$

**and**  $\langle satisfies \mathcal{M} \Psi \rangle$

**obtains**  $\mathcal{N}$  **where**  $\langle dom \mathcal{N} = dom \mathcal{M} \rangle \langle intrp-rel \mathcal{N} = intrp-rel \mathcal{M} \rangle$

$\langle is-interpretation (language \Phi) \mathcal{N} \rangle \langle satisfies \mathcal{N} \Psi \rangle$

$\langle proof \rangle$

**theorem** *compact-ls*:

**assumes**  $\langle \bigwedge \Psi. \llbracket finite \Psi; \Psi \subseteq \Phi \rrbracket$

$\implies \exists \mathcal{M}::'a \ intrp. is-interpretation (language \Phi) \mathcal{M} \wedge dom \mathcal{M} \neq \{\} \wedge$

$satisfies \mathcal{M} \Psi \rangle$

**obtains**  $\mathcal{C}::\langle nterm \ intrp \rangle$  **where**  $\langle is-interpretation (language \Phi) \mathcal{C} \rangle \langle dom \mathcal{C} \neq \{\} \rangle \langle satisfies \mathcal{C} \Phi \rangle$

$\langle proof \rangle$

**lemma canon:**

**assumes**  $\langle \text{is-interpretation (language } \Phi) \mathcal{M} \rangle \langle \text{dom } \mathcal{M} \neq \{\} \rangle \langle \text{satisfies } \mathcal{M} \Phi \rangle$   
**obtains**  $\mathcal{C} :: \langle \text{nterm intrp} \rangle$  **where**  $\langle \text{is-interpretation (language } \Phi) \mathcal{C} \rangle \langle \text{dom } \mathcal{C} \neq \{\} \rangle \langle \text{satisfies } \mathcal{C} \Phi \rangle$   
 $\langle \text{proof} \rangle$

**definition lowmod**  $:: \langle \text{nterm intrp} \Rightarrow \text{nat intrp} \rangle$  **where**

$\langle \text{lowmod } \mathcal{M} \equiv \text{Abs-intrp (num-of-term } \langle \text{dom } \mathcal{M} \rangle,$   
 $(\lambda g \text{ ns. num-of-term (intrp-fn } \mathcal{M} \ g \ (map \text{ term-of-num ns}))),$   
 $(\lambda p. \{ns. (map \text{ term-of-num ns} \in \text{intrp-rel } \mathcal{M} \ p\})) \rangle$

**lemma dom-lowmod [simp]:**  $\langle \text{dom (lowmod } \mathcal{M}) = \text{num-of-term } \langle \text{dom } \mathcal{M} \rangle \rangle$   
 $\langle \text{proof} \rangle$

**lemma intrp-fn-lowmod [simp]:**  $\langle \text{intrp-fn (lowmod } \mathcal{M}) \ f \ ns = \text{num-of-term (intrp-fn } \mathcal{M} \ f \ (map \text{ term-of-num ns})) \rangle$   
 $\langle \text{proof} \rangle$

**lemma intrp-rel-lowmod [simp]:**  $\langle \text{intrp-rel (lowmod } \mathcal{M}) \ p = \{ns. (map \text{ term-of-num ns} \in \text{intrp-rel } \mathcal{M} \ p)\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma is-valuation-lowmod:**  $\langle \text{is-valuation (lowmod } \mathcal{C}) \ (\text{num-of-term} \circ \beta) \longleftrightarrow \text{is-valuation } \mathcal{C} \ \beta \rangle$   
 $\langle \text{proof} \rangle$

**lemma lowmod-dom-empty:**  $\langle \text{dom (lowmod } \mathcal{M}) = \{\} \longleftrightarrow \text{dom } \mathcal{M} = \{\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma lowmod-termval:**

**assumes**  $\langle \text{is-valuation (lowmod } \mathcal{M}) \ \beta \rangle$   
**shows**  $\langle \text{eval } t \ (\text{lowmod } \mathcal{M}) \ \beta = \text{num-of-term (eval } t \ \mathcal{M} \ (\text{term-of-num} \circ \beta)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma lowmod-holds:**

**assumes**  $\langle \text{is-valuation (lowmod } \mathcal{M}) \ \beta \rangle$   
**shows**  $\langle (\text{lowmod } \mathcal{M}), \beta \models \varphi \longleftrightarrow \mathcal{M}, (\text{term-of-num} \circ \beta) \models \varphi \rangle$   
 $\langle \text{proof} \rangle$

**lemma lowmod-intrp:**  $\langle \text{is-interpretation } \mathcal{L} \ (\text{lowmod } \mathcal{M}) = \text{is-interpretation } \mathcal{L} \ \mathcal{M} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *loewenheim-skolem*:

**assumes**  $\langle \text{is-interpretation (language } \Phi) \mathcal{M} \rangle \langle \text{dom } \mathcal{M} \neq \{\} \rangle$   
**assumes**  $\langle \bigwedge \varphi. \varphi \in \Phi \implies \text{qfree } \varphi \rangle \langle \text{satisfies } \mathcal{M} \Phi \rangle$   
**obtains**  $\mathcal{N} :: \langle \text{nat intrp} \rangle$  **where**  $\langle \text{is-interpretation (language } \Phi) \mathcal{N} \rangle \langle \text{dom } \mathcal{N} \neq \{\} \rangle \langle \text{satisfies } \mathcal{N} \Phi \rangle$   
 $\langle \text{proof} \rangle$

**theorem** *uniformity*:

**assumes**  $\langle \text{qfree } \varphi \rangle$   
 $\langle \bigwedge \mathcal{C} :: \text{nterm intrp. } \bigwedge \beta. [\text{dom } \mathcal{C} \neq \{\}; \text{is-valuation } \mathcal{C} \beta] \implies \mathcal{C}, \beta \models \text{foldr Exists } xs \varphi \rangle$   
**obtains**  $\sigma s$  **where**  $\langle \bigwedge \sigma x. \sigma \in \text{set } \sigma s \implies \sigma x \in \text{terms-set (fst (language } \{\varphi\}) \rangle$   
 $\langle \bigwedge I. I \models_p (\text{foldr } (\lambda \varphi \psi. \varphi \vee \psi) (\text{map } (\lambda \sigma. \varphi \cdot_{fm} \sigma) \sigma s) \perp) \rangle$   
 $\langle \text{proof} \rangle$

**end**

## References

- [1] J. Harrison. Formalizing basic first order model theory. In *TPHOLs*, volume 1479 of *Lecture Notes in Computer Science*, pages 153–170. Springer, 1998.