

# A Formal Model of Extended Finite State Machines

Michael Foster\*      Achim D. Brucker†  
Ramsay G. Taylor\*      John Derrick\*

February 23, 2021

\*Department of Computer Science, The University of Sheffield, Sheffield, UK  
{jmafoster1,r.g.taylor,j.derrick}@sheffield.ac.uk

†Department of Computer Science, University of Exeter, Exeter, UK  
a.brucker@exeter.ac.uk



### **Abstract**

In this AFP entry, we provide a formalisation of extended finite state machines (EFSMs) where models are represented as finite sets of transitions between states. EFSMs execute traces to produce observable outputs. We also define various simulation and equality metrics for EFSMs in terms of traces and prove their strengths in relation to each other. Another key contribution is a framework of function definitions such that LTL properties can be phrased over EFSMs. Finally, we provide a simple example case study in the form of a drinks machine.

**Keywords:** Extended Finite State Machines, Automata, Linear Temporal Logic



# Contents

<b>1</b>	<b>Introduction</b>	<b>7</b>
<b>2</b>	<b>Preliminaries</b>	<b>9</b>
2.1	Three-Valued Logic (Trilean) . . . . .	9
2.2	Values (Value) . . . . .	11
2.3	Variables (VName) . . . . .	13
2.4	Arithmetic Expressions (AExp) . . . . .	14
2.5	FSet Utilities (FSet_Utils) . . . . .	30
<b>3</b>	<b>Models</b>	<b>37</b>
3.1	Transitions (Transition) . . . . .	37
3.2	Extended Finite State Machines (EFSM) . . . . .	40
3.3	LTL for EFSMs (EFSM_LTL) . . . . .	55
<b>4</b>	<b>Examples</b>	<b>61</b>
4.1	Drinks Machine (Drinks_Machine) . . . . .	61
4.2	An Observationally Equivalent Model (Drinks_Machine_2) . . . . .	64
4.3	Temporal Properties (Drinks_Machine_LTL) . . . . .	66



# 1 Introduction

This AFP entry formalises extended finite state machines (EFSMs) as defined in [2]. Here, models maintain both a *control flow state* and a *data state*, which takes the form of a set of *registers* to which values may be assigned. Transitions may take additional input parameters, and may impose guard conditions on the values of both inputs and registers. Additionally, transitions may produce observable outputs and update the data state by evaluating arithmetic functions over inputs and registers.

As defined in [2], an EFSM is a tuple,  $(S, s_0, T)$  where

$S$  is a finite non-empty set of states.

$s_0 \in S$  is the initial state.

$T$  is the transition matrix  $T : (S \times S) \rightarrow \mathcal{P}(L \times \mathbb{N} \times G \times F \times U)$  with rows representing origin states and columns representing destination states.

In  $T$

$L$  is a finite set of transition labels

$\mathbb{N}$  gives the transition *arity* (the number of input parameters), which may be zero.

$G$  is a finite set of Boolean guard functions  $G : (I \times R) \rightarrow \mathbb{B}$ .

$F$  is a finite set of *output functions*  $F : (I \times R) \rightarrow O$ .

$U$  is a finite set of *update functions*  $U : (I \times R) \rightarrow R$ .

In  $G$ ,  $F$ , and  $U$

$I$  is a list  $[i_0, i_1, \dots, i_{m-1}]$  of values representing the inputs of a transition, which is empty if the arity is zero.

$R$  is a mapping from variables  $[r_0, r_1, \dots]$ , representing each register of the machine, to their values.

$O$  is a list  $[o_0, o_1, \dots, o_{n-1}]$  of values, which may be empty, representing the outputs of a transition.

EFSM transitions have five components: label, arity, guards, outputs, and updates. Transition labels are strings, and the arities natural numbers. Guards have a defined type of *guard expression* (**gexp**) and the outputs and updates are defined using *arithmetic expressions* (**aexp**). Outputs are simply a list of expressions to be evaluated. Updates are a list of pairs with the first element being the index of the register to be updated, and the second element being an arithmetic expression to be evaluated.

The rest of this document is automatically generated from the formalization in Isabelle/HOL, i.e., all content is checked by Isabelle. Overall, the structure of this document follows the theory dependencies (see Figure 1.1):

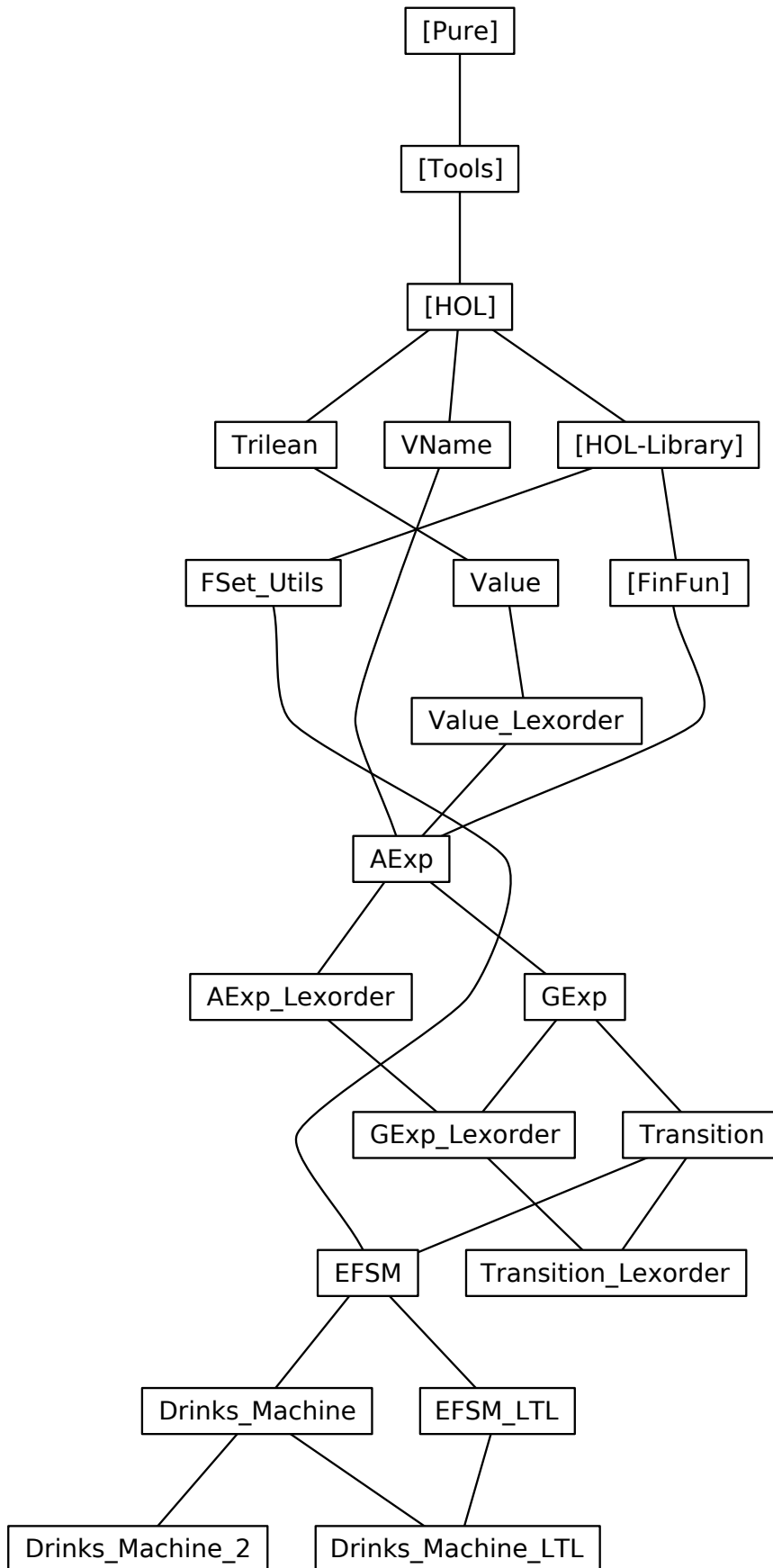


Figure 1.1: The Dependency Graph of the Isabelle Theories.



## 2 Preliminaries

In this chapter, we introduce the preliminaries, including a three-valued logic, variables, arithmetic expressions and guard expressions.

### 2.1 Three-Valued Logic (Trilean)

Because our EFSMs are dynamically typed, we cannot rely on conventional Boolean logic when evaluating expressions. For example, we may end up in the situation where we need to evaluate the guard  $r_1 > 5$ . This is fine if  $r_1$  holds a numeric value, but if  $r_1$  evaluates to a string, this causes problems. We cannot simply evaluate to *false* because then the negation would evaluate to *true*. Instead, we need a three-valued logic such that we can meaningfully evaluate nonsensical guards.

The `trilean` datatype is used to implement three-valued Bochvar logic [1]. Here we prove that the logic is an idempotent semiring, define a partial order, and prove some other useful lemmas.

```
theory Trilean
imports Main
begin

datatype trilean = true | false | invalid

instantiation trilean :: semiring begin
fun times_trilean :: "trilean  $\Rightarrow$  trilean  $\Rightarrow$  trilean" where
  "times_trilean _ invalid = invalid" |
  "times_trilean invalid _ = invalid" |
  "times_trilean true true = true" |
  "times_trilean _ false = false" |
  "times_trilean false _ = false"

fun plus_trilean :: "trilean  $\Rightarrow$  trilean  $\Rightarrow$  trilean" where
  "plus_trilean invalid _ = invalid" |
  "plus_trilean _ invalid = invalid" |
  "plus_trilean true _ = true" |
  "plus_trilean _ true = true" |
  "plus_trilean false false = false"

abbreviation maybe_and :: "trilean  $\Rightarrow$  trilean  $\Rightarrow$  trilean" (infixl "&?" 70) where
  "maybe_and x y  $\equiv$  x * y"

abbreviation maybe_or :: "trilean  $\Rightarrow$  trilean  $\Rightarrow$  trilean" (infixl "\|?" 65) where
  "maybe_or x y  $\equiv$  x + y"

lemma plus_trilean_assoc:
  "a \|? b \|? c = a \|? (b \|? c)"
<proof>

lemma plus_trilean_commutative: "a \|? b = b \|? a"
<proof>

lemma times_trilean_commutative: "a &? b = b &? a"
<proof>

lemma times_trilean_assoc:
  "a &? b &? c = a &? (b &? c)"
<proof>
```

## 2 Preliminaries

```
lemma trilean_distributivity_1:
  "(a  $\vee$ ? b)  $\wedge$ ? c = a  $\wedge$ ? c  $\vee$ ? b  $\wedge$ ? c"
  <proof>

instance
  <proof>
end

lemma maybe_or_idempotent: "a  $\vee$ ? a = a"
  <proof>

lemma maybe_and_idempotent: "a  $\wedge$ ? a = a"
  <proof>

instantiation trilean :: ord begin
definition less_eq_trilean :: "trilean  $\Rightarrow$  trilean  $\Rightarrow$  bool" where
  "less_eq_trilean a b = (a + b = b)"

definition less_trilean :: "trilean  $\Rightarrow$  trilean  $\Rightarrow$  bool" where
  "less_trilean a b = (a  $\leq$  b  $\wedge$  a  $\neq$  b)"

declare less_trilean_def less_eq_trilean_def [simp]

instance
  <proof>
end

instantiation trilean :: uminus begin
  fun maybe_not :: "trilean  $\Rightarrow$  trilean" ("¬? _" [60] 60) where
    "¬? true = false" |
    "¬? false = true" |
    "¬? invalid = invalid"

instance
  <proof>
end

lemma maybe_and_one: "true  $\wedge$ ? x = x"
  <proof>

lemma maybe_or_zero: "false  $\vee$ ? x = x"
  <proof>

lemma maybe_double_negation: "¬? ¬? x = x"
  <proof>

lemma maybe_negate_true: "(¬? x = true) = (x = false)"
  <proof>

lemma maybe_negate_false: "(¬? x = false) = (x = true)"
  <proof>

lemma maybe_and_true: "(x  $\wedge$ ? y = true) = (x = true  $\wedge$  y = true)"
  <proof>

lemma maybe_and_not_true:
  "(x  $\wedge$ ? y  $\neq$  true) = (x  $\neq$  true  $\vee$  y  $\neq$  true)"
  <proof>

lemma negate_valid: "(¬? x  $\neq$  invalid) = (x  $\neq$  invalid)"
  <proof>

lemma maybe_and_valid:
```

```

"x ∧? y ≠ invalid ⇒ x ≠ invalid ∧ y ≠ invalid"
⟨proof⟩

lemma maybe_or_valid:
  "x ∨? y ≠ invalid ⇒ x ≠ invalid ∧ y ≠ invalid"
  ⟨proof⟩

lemma maybe_or_false:
  "(x ∨? y = false) = (x = false ∧ y = false)"
  ⟨proof⟩

lemma maybe_or_true:
  "(x ∨? y = true) = ((x = true ∨ y = true) ∧ x ≠ invalid ∧ y ≠ invalid)"
  ⟨proof⟩

lemma maybe_not_invalid: "(¬? x = invalid) = (x = invalid)"
  ⟨proof⟩

lemma maybe_or_invalid:
  "(x ∨? y = invalid) = (x = invalid ∨ y = invalid)"
  ⟨proof⟩

lemma maybe_and_invalid:
  "(x ∧? y = invalid) = (x = invalid ∨ y = invalid)"
  ⟨proof⟩

lemma maybe_and_false:
  "(x ∧? y = false) = ((x = false ∨ y = false) ∧ x ≠ invalid ∧ y ≠ invalid)"
  ⟨proof⟩

lemma invalid_maybe_and: "invalid ∧? x = invalid"
  ⟨proof⟩

lemma maybe_not_eq: "(¬? x = ¬? y) = (x = y)"
  ⟨proof⟩

lemma de_morgans_1:
  "¬? (a ∨? b) = (¬?a) ∧? (¬?b)"
  ⟨proof⟩

lemma de_morgans_2:
  "¬? (a ∧? b) = (¬?a) ∨? (¬?b)"
  ⟨proof⟩

lemma not_true: "(x ≠ true) = (x = false ∨ x = invalid)"
  ⟨proof⟩

lemma pull_negation: "(x = ¬? y) = (¬? x = y)"
  ⟨proof⟩

lemma comp_fun_commute_maybe_or: "comp_fun_commute maybe_or"
  ⟨proof⟩

lemma comp_fun_commute_maybe_and: "comp_fun_commute maybe_and"
  ⟨proof⟩

end

```

## 2.2 Values (Value)

Our EFSM implementation can currently handle integers and strings. Here we define a sum type which combines these. We also define an arithmetic in terms of values such that EFSMs do not need to be strongly

## 2 Preliminaries

typed.

```
theory Value
imports Trilean
begin
datatype "value" = Num int | Str String.literal

fun is_Num :: "value  $\Rightarrow$  bool" where
  "is_Num (Num _) = True" |
  "is_Num (Str _) = False"
fun maybe_arith_int :: "(int  $\Rightarrow$  int  $\Rightarrow$  int)  $\Rightarrow$  value option  $\Rightarrow$  value option  $\Rightarrow$  value option" where
  "maybe_arith_int f (Some (Num x)) (Some (Num y)) = Some (Num (f x y))" |
  "maybe_arith_int _ _ _ = None"

lemma maybe_arith_int_not_None:
  "maybe_arith_int f a b  $\neq$  None = ( $\exists$  n n'. a = Some (Num n)  $\wedge$  b = Some (Num n'))"
  <proof>

lemma maybe_arith_int_Some:
  "maybe_arith_int f a b = Some (Num x) = ( $\exists$  n n'. a = Some (Num n)  $\wedge$  b = Some (Num n')  $\wedge$  f n n' = x)"
  <proof>

lemma maybe_arith_int_None:
  "(maybe_arith_int f a1 a2 = None) = ( $\nexists$  n n'. a1 = Some (Num n)  $\wedge$  a2 = Some (Num n'))"
  <proof>

lemma maybe_arith_int_Not_Num:
  "( $\forall$  n. maybe_arith_int f a1 a2  $\neq$  Some (Num n)) = (maybe_arith_int f a1 a2 = None)"
  <proof>

lemma maybe_arith_int_never_string: "maybe_arith_int f a b  $\neq$  Some (Str x)"
  <proof>

definition "value_plus = maybe_arith_int (+)"

lemma value_plus_never_string: "value_plus a b  $\neq$  Some (Str x)"
  <proof>

lemma value_plus_symmetry: "value_plus x y = value_plus y x"
  <proof>

definition "value_minus = maybe_arith_int (-)"

lemma value_minus_never_string: "value_minus a b  $\neq$  Some (Str x)"
  <proof>

definition "value_times = maybe_arith_int (*)"

lemma value_times_never_string: "value_times a b  $\neq$  Some (Str x)"
  <proof>

fun MaybeBoolInt :: "(int  $\Rightarrow$  int  $\Rightarrow$  bool)  $\Rightarrow$  value option  $\Rightarrow$  value option  $\Rightarrow$  trilean" where
  "MaybeBoolInt f (Some (Num a)) (Some (Num b)) = (if f a b then true else false)" |
  "MaybeBoolInt _ _ _ = invalid"

lemma MaybeBoolInt_not_num_1:
  " $\forall$  n. r  $\neq$  Some (Num n)  $\implies$  MaybeBoolInt f n r = invalid"
  <proof>

definition value_gt :: "value option  $\Rightarrow$  value option  $\Rightarrow$  trilean" where
  "value_gt a b  $\equiv$  MaybeBoolInt (>) a b"

fun value_eq :: "value option  $\Rightarrow$  value option  $\Rightarrow$  trilean" where
```

```

"value_eq None _ = invalid" |
"value_eq _ None = invalid" |
"value_eq (Some a) (Some b) = (if a = b then true else false)"

lemma value_eq_true: "(value_eq a b = true) = ( $\exists x y. a = \text{Some } x \wedge b = \text{Some } y \wedge x = y$ )"
  <proof>

lemma value_eq_false: "(value_eq a b = false) = ( $\exists x y. a = \text{Some } x \wedge b = \text{Some } y \wedge x \neq y$ )"
  <proof>

lemma value_gt_true_Some: "value_gt a b = true  $\implies$  ( $\exists x. a = \text{Some } x$ )  $\wedge$  ( $\exists y. b = \text{Some } y$ )"
  <proof>

lemma value_gt_true: "(value_gt a b = true) = ( $\exists x y. a = \text{Some } (\text{Num } x) \wedge b = \text{Some } (\text{Num } y) \wedge x > y$ )"
  <proof>

lemma value_gt_false_Some: "value_gt a b = false  $\implies$  ( $\exists x. a = \text{Some } x$ )  $\wedge$  ( $\exists y. b = \text{Some } y$ )"
  <proof>

end

```

## 2.3 Variables (VName)

Variables can either be inputs or registers. Here we define the `vname` datatype which allows us to write expressions in terms of variables and case match during evaluation. We also make the `vname` datatype a member of `linorder` such that we can establish a linear order on arithmetic expressions, guards, and subsequently transitions.

```

theory VName
imports Main
begin
datatype vname = I nat | R nat

instantiation vname :: linorder begin
fun less_vname :: "vname  $\Rightarrow$  vname  $\Rightarrow$  bool" where
  "(I n1) < (R n2) = True" |
  "(R n1) < (I n2) = False" |
  "(I n1) < (I n2) = (n1 < n2)" |
  "(R n1) < (R n2) = (n1 < n2)"

definition less_eq_vname :: "vname  $\Rightarrow$  vname  $\Rightarrow$  bool" where
  "less_eq_vname v1 v2 = (v1 < v2  $\vee$  v1 = v2)"
declare less_eq_vname_def [simp]

instance
  <proof>
end

```

### 2.3.1 Value Lexorder

This theory defines a lexicographical ordering on values such that we can build orderings for arithmetic expressions and guards. Here, numbers are defined as less than strings, else the natural ordering on the respective datatypes is used.

```

theory Value_Lexorder
imports Value
begin

instantiation "value" :: linorder begin
fun less_value :: "value  $\Rightarrow$  value  $\Rightarrow$  bool" where
  "(Num n) < (Str s) = True" |
  "(Str s) < (Num n) = False" |
  "(Str s1) < (Str s2) = (s1 < s2)" |

```

```
"(Num n1) < (Num n2) = (n1 < n2)"
```

```
definition less_eq_value :: "value  $\Rightarrow$  value  $\Rightarrow$  bool" where
```

```
"less_eq_value v1 v2 = (v1 < v2  $\vee$  v1 = v2)"
```

```
declare less_eq_value_def [simp]
```

```
instance
```

```
<proof>
```

```
end
```

```
end
```

## 2.4 Arithmetic Expressions (AExp)

This theory defines a language of arithmetic expressions over variables and literal values. Here, values are limited to integers and strings. Variables may be either inputs or registers. We also limit ourselves to a simple arithmetic of addition, subtraction, and multiplication as a proof of concept.

```
theory AExp
```

```
imports Value_Lexorder VName FinFun.FinFun "HOL-Library.Option_ord"
```

```
begin
```

```
declare One_nat_def [simp del]
```

```
unbundle finfun_syntax
```

```
type_synonym registers = "nat  $\Rightarrow$ f value option"
```

```
type_synonym 'a datastate = "'a  $\Rightarrow$  value option"
```

```
datatype 'a aexp = L "value" | V 'a | Plus "'a aexp" "'a aexp" | Minus "'a aexp" "'a aexp" | Times "'a aexp" "'a aexp"
```

```
fun is_lit :: "'a aexp  $\Rightarrow$  bool" where
```

```
"is_lit (L _) = True" |
```

```
"is_lit _ = False"
```

```
lemma aexp_induct_separate_V_cases [case_names L I R Plus Minus Times]:
```

```
"( $\bigwedge$ x. P (L x))  $\implies$ 
```

```
( $\bigwedge$ x. P (V (I x)))  $\implies$ 
```

```
( $\bigwedge$ x. P (V (R x)))  $\implies$ 
```

```
( $\bigwedge$ x1a x2a. P x1a  $\implies$  P x2a  $\implies$  P (Plus x1a x2a))  $\implies$ 
```

```
( $\bigwedge$ x1a x2a. P x1a  $\implies$  P x2a  $\implies$  P (Minus x1a x2a))  $\implies$ 
```

```
( $\bigwedge$ x1a x2a. P x1a  $\implies$  P x2a  $\implies$  P (Times x1a x2a))  $\implies$ 
```

```
P a"
```

```
<proof>
```

```
fun aval :: "'a aexp  $\Rightarrow$  'a datastate  $\Rightarrow$  value option" where
```

```
"aval (L x) s = Some x" |
```

```
"aval (V x) s = s x" |
```

```
"aval (Plus a1 a2) s = value_plus (aval a1 s)(aval a2 s)" |
```

```
"aval (Minus a1 a2) s = value_minus (aval a1 s) (aval a2 s)" |
```

```
"aval (Times a1 a2) s = value_times (aval a1 s) (aval a2 s)"
```

```
lemma aval_plus_symmetry: "aval (Plus x y) s = aval (Plus y x) s"
```

```
<proof>
```

A little syntax magic to write larger states compactly:

```
definition null_state ("<>") where
```

```
"null_state  $\equiv$  (K$ bot)"
```

```
no_notation finfun_update ("'_($ := '_)" [1000, 0, 0] 1000)
```

```
nonterminal fupdbinds and fupdbind
```

**syntax**

```

_fupdbind" :: "'a ⇒ 'a ⇒ fupdbind"          ("(2_ $:=/ _)")
""         :: "fupdbind ⇒ fupdbinds"        ("_")
_fupdbinds":: "fupdbind ⇒ fupdbinds ⇒ fupdbinds" ("_,/ _")
_fUpdate"  :: "'a ⇒ fupdbinds ⇒ 'a"         ("_/'((_)'" [1000, 0] 900)
"_State"   :: "fupdbinds ⇒ 'a" ("<_>")

```

**translations**

```

_fUpdate f (_fupdbinds b bs)" ⇒ "_fUpdate (_fUpdate f b) bs"
"f(x$:=y)" ⇒ "CONST finfun_update f x y"
"_State ms" == "_fUpdate <> ms"
"_State (_updbinds b bs)" <= "_fUpdate (_State b) bs"

```

**lemma** empty\_None: "<> = (K\$ None)"

*<proof>*

**lemma** apply\_empty\_None [simp]: "<> \$ x2 = None"

*<proof>*

**definition** input2state :: "value list ⇒ registers" where

"input2state n = fold (λ(k, v) f. f(k \$:= Some v)) (enumerate 0 n) (K\$ None)"

**primrec** input2state\_prim :: "value list ⇒ nat ⇒ registers" where

```

"input2state_prim [] _ = (K$ None)" |
"input2state_prim (v#t) k = (input2state_prim t (k+1))(k $:= Some v)"

```

**lemma** input2state\_append:

"input2state (i @ [a]) = (input2state i)(length i \$:= Some a)"

*<proof>*

**lemma** input2state\_out\_of\_bounds:

"i ≥ length ia ⇒ input2state ia \$ i = None"

*<proof>*

**lemma** input2state\_within\_bounds:

"input2state i \$ x = Some a ⇒ x < length i"

*<proof>*

**lemma** input2state\_empty: "input2state [] \$ x1 = None"

*<proof>*

**lemma** input2state\_nth:

"i < length ia ⇒ input2state ia \$ i = Some (ia ! i)"

*<proof>*

**lemma** input2state\_some:

```

"i < length ia ⇒
  ia ! i = x ⇒
  input2state ia $ i = Some x"

```

*<proof>*

**lemma** input2state\_take: "x1 < A ⇒

```

  A ≤ length i ⇒
  x = vname.I x1 ⇒
  input2state i $ x1 = input2state (take A i) $ x1"

```

*<proof>*

**lemma** input2state\_not\_None:

"(input2state i \$ x ≠ None) ⇒ (x < length i)"

*<proof>*

**lemma** input2state\_Some:

"(∃ v. input2state i \$ x = Some v) = (x < length i)"

## 2 Preliminaries

*<proof>*

**lemma** `input2state_cons`: "x1 > 0  $\implies$   
 x1 < length ia  $\implies$   
 input2state (a # ia) \$ x1 = input2state ia \$ (x1-1)"  
*<proof>*

**lemma** `input2state_cons_shift`:  
 "input2state i \$ x1 = Some a  $\implies$  input2state (b # i) \$ (Suc x1) = Some a"  
*<proof>*

**lemma** `input2state_exists`: " $\exists$  i. input2state i \$ x1 = Some a"  
*<proof>*

**primrec** `repeat` :: "nat  $\Rightarrow$  'a  $\Rightarrow$  'a list" where  
 "repeat 0 \_ = []" |  
 "repeat (Suc m) a = a#(repeat m a)"

**lemma** `length_repeat`: "length (repeat n a) = n"  
*<proof>*

**lemma** `length_append_repeat`: "length (i@(repeat a y))  $\geq$  length i"  
*<proof>*

**lemma** `length_input2state_repeat`:  
 "input2state i \$ x = Some a  $\implies$  y < length (i @ repeat y a)"  
*<proof>*

**lemma** `input2state_double_exists`:  
 " $\exists$  i. input2state i \$ x = Some a  $\wedge$  input2state i \$ y = Some a"  
*<proof>*

**lemma** `input2state_double_exists_2`:  
 "x  $\neq$  y  $\implies$   $\exists$  i. input2state i \$ x = Some a  $\wedge$  input2state i \$ y = Some a"  
*<proof>*

**definition** `join_ir` :: "value list  $\Rightarrow$  registers  $\Rightarrow$  vname datastate" where  
 "join\_ir i r  $\equiv$  ( $\lambda$ x. case x of  
 R n  $\Rightarrow$  r \$ n |  
 I n  $\Rightarrow$  (input2state i) \$ n  
 )"

**lemmas** `datastate = join_ir_def input2state_def`

**lemma** `join_ir_empty [simp]`: "join\_ir [] <> = ( $\lambda$ x. None)"  
*<proof>*

**lemma** `join_ir_R [simp]`: "(join\_ir i r) (R n) = r \$ n"  
*<proof>*

**lemma** `join_ir_double_exists`:  
 " $\exists$  i r. join\_ir i r v = Some a  $\wedge$  join\_ir i r v' = Some a"  
*<proof>*

**lemma** `join_ir_double_exists_2`:  
 "v  $\neq$  v'  $\implies$   $\exists$  i r. join\_ir i r v = Some a  $\wedge$  join\_ir i r v' = Some a"  
*<proof>*

**lemma** `exists_join_ir_ext`: " $\exists$  i r. join\_ir i r v = s v"  
*<proof>*

**lemma** `join_ir_nth [simp]`:  
 "i < length is  $\implies$  join\_ir is r (I i) = Some (is ! i)"



*<proof>*

```

fun aexp_constrains :: "'a aexp  $\Rightarrow$  'a aexp  $\Rightarrow$  bool" where
  "aexp_constrains (L l) a = (L l = a)" |
  "aexp_constrains (V v) v' = (V v = v')" |
  "aexp_constrains (Plus a1 a2) v = ((Plus a1 a2) = v  $\vee$  (Plus a1 a2) = v  $\vee$  (aexp_constrains a1 v  $\vee$  aexp_constrains
a2 v))" |
  "aexp_constrains (Minus a1 a2) v = ((Minus a1 a2) = v  $\vee$  (aexp_constrains a1 v  $\vee$  aexp_constrains a2 v))"
|
  "aexp_constrains (Times a1 a2) v = ((Times a1 a2) = v  $\vee$  (aexp_constrains a1 v  $\vee$  aexp_constrains a2 v))"

```

```

fun aexp_same_structure :: "'a aexp  $\Rightarrow$  'a aexp  $\Rightarrow$  bool" where
  "aexp_same_structure (L v) (L v') = True" |
  "aexp_same_structure (V v) (V v') = True" |
  "aexp_same_structure (Plus a1 a2) (Plus a1' a2') = (aexp_same_structure a1 a1'  $\wedge$  aexp_same_structure a2
a2' )" |
  "aexp_same_structure (Minus a1 a2) (Minus a1' a2') = (aexp_same_structure a1 a1'  $\wedge$  aexp_same_structure
a2 a2' )" |
  "aexp_same_structure _ _ = False"

```

```

fun enumerate_aexp_inputs :: "vname aexp  $\Rightarrow$  nat set" where
  "enumerate_aexp_inputs (L _) = {}" |
  "enumerate_aexp_inputs (V (I n)) = {n}" |
  "enumerate_aexp_inputs (V (R n)) = {}" |
  "enumerate_aexp_inputs (Plus v va) = enumerate_aexp_inputs v  $\cup$  enumerate_aexp_inputs va" |
  "enumerate_aexp_inputs (Minus v va) = enumerate_aexp_inputs v  $\cup$  enumerate_aexp_inputs va" |
  "enumerate_aexp_inputs (Times v va) = enumerate_aexp_inputs v  $\cup$  enumerate_aexp_inputs va"

```

```

lemma enumerate_aexp_inputs_list: " $\exists$  l. enumerate_aexp_inputs a = set l"
<proof>

```

```

fun enumerate_regs :: "vname aexp  $\Rightarrow$  nat set" where
  "enumerate_regs (L _) = {}" |
  "enumerate_regs (V (R n)) = {n}" |
  "enumerate_regs (V (I _)) = {}" |
  "enumerate_regs (Plus v va) = enumerate_regs v  $\cup$  enumerate_regs va" |
  "enumerate_regs (Minus v va) = enumerate_regs v  $\cup$  enumerate_regs va" |
  "enumerate_regs (Times v va) = enumerate_regs v  $\cup$  enumerate_regs va"

```

```

lemma finite_enumerate_regs: "finite (enumerate_regs a)"
<proof>

```

```

lemma no_variables_aval: "enumerate_aexp_inputs a = {}  $\implies$ 
  enumerate_regs a = {}  $\implies$ 
  aval a s = aval a s'"
<proof>

```

```

lemma enumerate_aexp_inputs_not_empty:
  "(enumerate_aexp_inputs a  $\neq$  {}) = ( $\exists$  b c. enumerate_aexp_inputs a = set (b#c))"
<proof>

```

```

lemma aval_ir_take: "A  $\leq$  length i  $\implies$ 
  enumerate_regs a = {}  $\implies$ 
  enumerate_aexp_inputs a  $\neq$  {}  $\implies$ 
  Max (enumerate_aexp_inputs a) < A  $\implies$ 
  aval a (join_ir (take A i) r) = aval a (join_ir i ra)"
<proof>

```

```

definition max_input :: "vname aexp  $\Rightarrow$  nat option" where
  "max_input g = (let inputs = (enumerate_aexp_inputs g) in if inputs = {} then None else Some (Max inputs))"

```

```

definition max_reg :: "vname aexp  $\Rightarrow$  nat option" where
  "max_reg g = (let regs = (enumerate_regs g) in if regs = {} then None else Some (Max regs))"

```

## 2 Preliminaries

**lemma** `max_reg_V_I`: "max\_reg (V (I n)) = None"  
(proof)

**lemma** `max_reg_V_R`: "max\_reg (V (R n)) = Some n"  
(proof)

**lemmas** `max_reg_V = max_reg_V_I max_reg_V_R`

**lemma** `max_reg_Plus`: "max\_reg (Plus a1 a2) = max (max\_reg a1) (max\_reg a2)"  
(proof)

**lemma** `max_reg_Minus`: "max\_reg (Minus a1 a2) = max (max\_reg a1) (max\_reg a2)"  
(proof)

**lemma** `max_reg_Times`: "max\_reg (Times a1 a2) = max (max\_reg a1) (max\_reg a2)"  
(proof)

**lemma** `no_reg_aval_swap_regs`:  
"max\_reg a = None  $\implies$  aval a (join\_ir i r) = aval a (join\_ir i r')"  
(proof)

**lemma** `aval_reg_some_superset`:  
" $\forall a. (r \$ a \neq \text{None}) \implies r \$ a = r' \$ a \implies$   
aval a (join\_ir i r) = Some v  $\implies$   
aval a (join\_ir i r') = Some v"  
(proof)

**lemma** `aval_reg_none_superset`:  
" $\forall a. (r \$ a \neq \text{None}) \implies r \$ a = r' \$ a \implies$   
aval a (join\_ir i r') = None  $\implies$   
aval a (join\_ir i r) = None"  
(proof)

**lemma** `enumerate_regs_empty_reg_unconstrained`:  
"enumerate\_regs a = {}  $\implies \forall r. \neg \text{aexp\_constrains a (V (R r))}$ "  
(proof)

**lemma** `enumerate_aexp_inputs_empty_input_unconstrained`:  
"enumerate\_aexp\_inputs a = {}  $\implies \forall r. \neg \text{aexp\_constrains a (V (I r))}$ "  
(proof)

**lemma** `input_unconstrained_aval_input_swap`:  
" $\forall i. \neg \text{aexp\_constrains a (V (I i))} \implies$   
aval a (join\_ir i r) = aval a (join\_ir i' r)"  
(proof)

**lemma** `input_unconstrained_aval_register_swap`:  
" $\forall i. \neg \text{aexp\_constrains a (V (R i))} \implies$   
aval a (join\_ir i r) = aval a (join\_ir i r)"  
(proof)

**lemma** `unconstrained_variable_swap_aval`:  
" $\forall i. \neg \text{aexp\_constrains a (V (I i))} \implies$   
 $\forall r. \neg \text{aexp\_constrains a (V (R r))} \implies$   
aval a s = aval a s'"  
(proof)

**lemma** `max_input_I`: "max\_input (V (vname.I i)) = Some i"  
(proof)

**lemma** `max_input_Plus`:  
"max\_input (Plus a1 a2) = max (max\_input a1) (max\_input a2)"

*<proof>*

**lemma** *max\_input\_Minus*:

"max\_input (Minus a1 a2) = max (max\_input a1) (max\_input a2)"  
*<proof>*

**lemma** *max\_input\_Times*:

"max\_input (Times a1 a2) = max (max\_input a1) (max\_input a2)"  
*<proof>*

**lemma** *aval\_take*:

"max\_input x < Some a  $\implies$   
 aval x (join\_ir i r) = aval x (join\_ir (take a i) r)"  
*<proof>*

**lemma** *aval\_no\_reg\_swap\_regs*: "max\_input x < Some a  $\implies$

max\_reg x = None  $\implies$   
 aval x (join\_ir i ra) = aval x (join\_ir (take a i) r)"  
*<proof>*

**fun** *enumerate\_aexp\_strings* :: "'a aexp  $\Rightarrow$  String.literal set" **where**

"enumerate\_aexp\_strings (L (Str s)) = {s}" |  
 "enumerate\_aexp\_strings (L (Num s)) = {}" |  
 "enumerate\_aexp\_strings (V \_) = {}" |  
 "enumerate\_aexp\_strings (Plus a1 a2) = enumerate\_aexp\_strings a1  $\cup$  enumerate\_aexp\_strings a2" |  
 "enumerate\_aexp\_strings (Minus a1 a2) = enumerate\_aexp\_strings a1  $\cup$  enumerate\_aexp\_strings a2" |  
 "enumerate\_aexp\_strings (Times a1 a2) = enumerate\_aexp\_strings a1  $\cup$  enumerate\_aexp\_strings a2"

**fun** *enumerate\_aexp\_ints* :: "'a aexp  $\Rightarrow$  int set" **where**

"enumerate\_aexp\_ints (L (Str s)) = {}" |  
 "enumerate\_aexp\_ints (L (Num s)) = {s}" |  
 "enumerate\_aexp\_ints (V \_) = {}" |  
 "enumerate\_aexp\_ints (Plus a1 a2) = enumerate\_aexp\_ints a1  $\cup$  enumerate\_aexp\_ints a2" |  
 "enumerate\_aexp\_ints (Minus a1 a2) = enumerate\_aexp\_ints a1  $\cup$  enumerate\_aexp\_ints a2" |  
 "enumerate\_aexp\_ints (Times a1 a2) = enumerate\_aexp\_ints a1  $\cup$  enumerate\_aexp\_ints a2"

**definition** *enumerate\_vars* :: "vname aexp  $\Rightarrow$  vname set" **where**

"enumerate\_vars a = (image I (enumerate\_aexp\_inputs a))  $\cup$  (image R (enumerate\_regs a))"

**fun** *rename\_regs* :: "(nat  $\Rightarrow$  nat)  $\Rightarrow$  vname aexp  $\Rightarrow$  vname aexp" **where**

"rename\_regs \_ (L l) = (L l)" |  
 "rename\_regs f (V (R r)) = (V (R (f r)))" |  
 "rename\_regs \_ (V v) = (V v)" |  
 "rename\_regs f (Plus a b) = Plus (rename\_regs f a) (rename\_regs f b)" |  
 "rename\_regs f (Minus a b) = Minus (rename\_regs f a) (rename\_regs f b)" |  
 "rename\_regs f (Times a b) = Times (rename\_regs f a) (rename\_regs f b)"

**definition** *eq\_upto\_rename* :: "vname aexp  $\Rightarrow$  vname aexp  $\Rightarrow$  bool" **where**

"eq\_upto\_rename a1 a2 = ( $\exists$  f. bij f  $\wedge$  rename\_regs f a1 = a2)"

**end**

### 2.4.1 AExp Lexorder

This theory defines a lexicographical ordering on arithmetic expressions such that we can build orderings for guards and, subsequently, transitions. We make use of the previously established orderings on variable names and values.

**theory** *AExp\_Lexorder*

**imports** *AExp Value\_Lexorder*

**begin**

**fun** *height* :: "'a aexp  $\Rightarrow$  nat" **where**

"height (L l2) = 1" |  
 "height (V v2) = 1" |

## 2 Preliminaries

```
"height (Plus e1 e2) = 1 + max (height e1) (height e2)" |
"height (Minus e1 e2) = 1 + max (height e1) (height e2)" |
"height (Times e1 e2) = 1 + max (height e1) (height e2)"
```

**instantiation** aexp :: (linorder) linorder begin

**fun** less\_aexp\_aux :: "'a aexp ⇒ 'a aexp ⇒ bool" where

```
"less_aexp_aux (L l1) (L l2) = (l1 < l2)" |
"less_aexp_aux (L l1) _ = True" |
```

```
"less_aexp_aux (V v1) (L l1) = False" |
"less_aexp_aux (V v1) (V v2) = (v1 < v2)" |
"less_aexp_aux (V v1) _ = True" |
```

```
"less_aexp_aux (Plus e1 e2) (L l2) = False" |
"less_aexp_aux (Plus e1 e2) (V v2) = False" |
"less_aexp_aux (Plus e1 e2) (Plus e1' e2') = ((less_aexp_aux e1 e1') ∨ ((e1 = e1') ∧ (less_aexp_aux e2 e2')))" |
"less_aexp_aux (Plus e1 e2) _ = True" |
```

```
"less_aexp_aux (Minus e1 e2) (Minus e1' e2') = ((less_aexp_aux e1 e1') ∨ ((e1 = e1') ∧ (less_aexp_aux e2 e2')))" |
"less_aexp_aux (Minus e1 e2) (Times e1' e2') = True" |
"less_aexp_aux (Minus e1 e2) _ = False" |
```

```
"less_aexp_aux (Times e1 e2) (Times e1' e2') = ((less_aexp_aux e1 e1') ∨ ((e1 = e1') ∧ (less_aexp_aux e2 e2')))" |
"less_aexp_aux (Times e1 e2) _ = False"
```

**definition** less\_aexp :: "'a aexp ⇒ 'a aexp ⇒ bool" where

```
"less_aexp a1 a2 = (
  let
    h1 = height a1;
    h2 = height a2
  in
  if h1 = h2 then
    less_aexp_aux a1 a2
  else
    h1 < h2
)"
```

**definition** less\_eq\_aexp :: "'a aexp ⇒ 'a aexp ⇒ bool"

where "less\_eq\_aexp e1 e2 ≡ (e1 < e2) ∨ (e1 = e2)"

**declare** less\_aexp\_def [simp]

**lemma** less\_aexp\_aux\_antisym: "less\_aexp\_aux x y = (¬(less\_aexp\_aux y x) ∧ (x ≠ y))"  
<proof>

**lemma** less\_aexp\_antisym: "(x::'a aexp) < y = (¬(y < x) ∧ (x ≠ y))"  
<proof>

**lemma** less\_aexp\_aux\_trans: "less\_aexp\_aux x y ⇒ less\_aexp\_aux y z ⇒ less\_aexp\_aux x z"  
<proof>

**lemma** less\_aexp\_trans: "(x::'a aexp) < y ⇒ y < z ⇒ x < z"  
<proof>

**instance** <proof>

**end**

**lemma** smaller\_height: "height a1 < height a2 ⇒ a1 < a2"  
<proof>

end

## 2.4.2 Guards Expressions

This theory defines the guard language of EFSMs which can be translated directly to and from contexts. Boolean values true and false respectively represent the guards which are always and never satisfied. Guards may test for (in)equivalence of two arithmetic expressions or be connected using NOR logic into compound expressions. The use of NOR logic reduces the number of subgoals when inducting over guard expressions.

We also define syntax hacks for the relations less than, less than or equal to, greater than or equal to, and not equal to as well as the expression of logical conjunction, disjunction, and negation in terms of nor logic.

```

theory GExp
imports AExp Trilean
begin
datatype 'a gexp = Bc bool | Eq "'a aexp" "'a aexp" | Gt "'a aexp" "'a aexp" | In 'a "value list" | Nor
"'a gexp" "'a gexp"

fun gval :: "'a gexp  $\Rightarrow$  'a datastate  $\Rightarrow$  trilean" where
  "gval (Bc True) _ = true" |
  "gval (Bc False) _ = false" |
  "gval (Gt a1 a2) s = value_gt (aval a1 s) (aval a2 s)" |
  "gval (Eq a1 a2) s = value_eq (aval a1 s) (aval a2 s)" |
  "gval (In v l) s = (case s v of None  $\Rightarrow$  invalid | Some vv  $\Rightarrow$  if vv  $\in$  set l then true else false)" |
  "gval (Nor a1 a2) s =  $\neg$ ? ((gval a1 s)  $\vee$ ? (gval a2 s))"
definition gNot :: "'a gexp  $\Rightarrow$  'a gexp" where
  "gNot g  $\equiv$  Nor g g"

definition gOr :: "'a gexp  $\Rightarrow$  'a gexp  $\Rightarrow$  'a gexp" where
  "gOr v va  $\equiv$  Nor (Nor v va) (Nor v va)"

definition gAnd :: "'a gexp  $\Rightarrow$  'a gexp  $\Rightarrow$  'a gexp" where
  "gAnd v va  $\equiv$  Nor (Nor v v) (Nor va va)"

definition gImplies :: "'a gexp  $\Rightarrow$  'a gexp  $\Rightarrow$  'a gexp" where
  "gImplies p q  $\equiv$  gOr (gNot p) q"

definition Lt :: "'a aexp  $\Rightarrow$  'a aexp  $\Rightarrow$  'a gexp" where
  "Lt a b  $\equiv$  Gt b a"

definition Le :: "'a aexp  $\Rightarrow$  'a aexp  $\Rightarrow$  'a gexp" where
  "Le v va  $\equiv$  gNot (Gt v va)"

definition Ge :: "'a aexp  $\Rightarrow$  'a aexp  $\Rightarrow$  'a gexp" where
  "Ge v va  $\equiv$  gNot (Lt v va)"

definition Ne :: "'a aexp  $\Rightarrow$  'a aexp  $\Rightarrow$  'a gexp" where
  "Ne v va  $\equiv$  gNot (Eq v va)"

lemma gval_Lt [simp]:
  "gval (Lt a1 a2) s = value_gt (aval a2 s) (aval a1 s)"
  <proof>

lemma gval_Le [simp]:
  "gval (Le a1 a2) s =  $\neg$ ? (value_gt (aval a1 s) (aval a2 s))"
  <proof>

lemma gval_Ge [simp]:
  "gval (Ge a1 a2) s =  $\neg$ ? (value_gt (aval a2 s) (aval a1 s))"
  <proof>

lemma gval_Ne [simp]:
  "gval (Ne a1 a2) s =  $\neg$ ? (value_eq (aval a1 s) (aval a2 s))"
  <proof>

```

## 2 Preliminaries

lemmas connectives = gAnd\_def gOr\_def gNot\_def Lt\_def Le\_def Ge\_def Ne\_def

lemma gval\_gOr [simp]: "gval (gOr x y) r = (gval x r)  $\vee$ ? (gval y r)"  
 <proof>

lemma gval\_gNot [simp]: "gval (gNot x) s =  $\neg$ ? (gval x s)"  
 <proof>

lemma gval\_gAnd [simp]:  
 "gval (gAnd g1 g2) s = (gval g1 s)  $\wedge$ ? (gval g2 s)"  
 <proof>

lemma gAnd\_commute: "gval (gAnd a b) s = gval (gAnd b a) s"  
 <proof>

lemma gOr\_commute: "gval (gOr a b) s = gval (gOr b a) s"  
 <proof>

lemma gval\_gAnd\_True:  
 "(gval (gAnd g1 g2) s = true) = ((gval g1 s = true)  $\wedge$  gval g2 s = true)"  
 <proof>

lemma nor\_equiv: "gval (gNot (gOr a b)) s = gval (Nor a b) s"  
 <proof>

definition satisfiable :: "vname gexp  $\Rightarrow$  bool" where  
 "satisfiable g  $\equiv$  ( $\exists$  i r. gval g (join\_ir i r) = true)"

definition "satisfiable\_list l = satisfiable (fold gAnd l (Bc True))"

lemma unsatisfiable\_false: " $\neg$  satisfiable (Bc False)"  
 <proof>

lemma satisfiable\_true: "satisfiable (Bc True)"  
 <proof>

definition valid :: "vname gexp  $\Rightarrow$  bool" where  
 "valid g  $\equiv$  ( $\forall$  s. gval g s = true)"

lemma valid\_true: "valid (Bc True)"  
 <proof>

fun gexp\_constrains :: "'a gexp  $\Rightarrow$  'a aexp  $\Rightarrow$  bool" where  
 "gexp\_constrains (Bc \_) \_ = False" |  
 "gexp\_constrains (Eq a1 a2) a = (aexp\_constrains a1 a  $\vee$  aexp\_constrains a2 a)" |  
 "gexp\_constrains (Gt a1 a2) a = (aexp\_constrains a1 a  $\vee$  aexp\_constrains a2 a)" |  
 "gexp\_constrains (Nor g1 g2) a = (gexp\_constrains g1 a  $\vee$  gexp\_constrains g2 a)" |  
 "gexp\_constrains (In v l) a = aexp\_constrains (V v) a"

fun contains\_bool :: "'a gexp  $\Rightarrow$  bool" where  
 "contains\_bool (Bc \_) = True" |  
 "contains\_bool (Nor g1 g2) = (contains\_bool g1  $\vee$  contains\_bool g2)" |  
 "contains\_bool \_ = False"

fun gexp\_same\_structure :: "'a gexp  $\Rightarrow$  'a gexp  $\Rightarrow$  bool" where  
 "gexp\_same\_structure (Bc b) (Bc b') = (b = b')" |  
 "gexp\_same\_structure (Eq a1 a2) (Eq a1' a2') = (aexp\_same\_structure a1 a1'  $\wedge$  aexp\_same\_structure a2 a2')"  
 |  
 "gexp\_same\_structure (Gt a1 a2) (Gt a1' a2') = (aexp\_same\_structure a1 a1'  $\wedge$  aexp\_same\_structure a2 a2')"  
 |  
 "gexp\_same\_structure (Nor g1 g2) (Nor g1' g2') = (gexp\_same\_structure g1 g1'  $\wedge$  gexp\_same\_structure g2 g2')" |

```
"gexp_same_structure (In v l) (In v' l') = (v = v' ∧ l = l')" |
"gexp_same_structure _ _ = False"
```

**lemma** `gval_foldr_true`:

```
"(gval (foldr gAnd G (Bc True)) s = true) = (∀ g ∈ set G. gval g s = true)"
⟨proof⟩
```

**fun** `enumerate_gexp_inputs` :: "vname gexp ⇒ nat set" **where**

```
"enumerate_gexp_inputs (Bc _) = {}" |
"enumerate_gexp_inputs (Eq v va) = enumerate_aexp_inputs v ∪ enumerate_aexp_inputs va" |
"enumerate_gexp_inputs (Gt v va) = enumerate_aexp_inputs v ∪ enumerate_aexp_inputs va" |
"enumerate_gexp_inputs (In v va) = enumerate_aexp_inputs (V v)" |
"enumerate_gexp_inputs (Nor v va) = enumerate_gexp_inputs v ∪ enumerate_gexp_inputs va"
```

**lemma** `enumerate_gexp_inputs_list`: "∃ l. enumerate\_gexp\_inputs g = set l"

⟨proof⟩

**definition** `max_input` :: "vname gexp ⇒ nat option" **where**

```
"max_input g = (let inputs = (enumerate_gexp_inputs g) in if inputs = {} then None else Some (Max inputs))"
```

**definition** `max_input_list` :: "vname gexp list ⇒ nat option" **where**

```
"max_input_list g = fold max (map (λg. max_input g) g) None"
```

**lemma** `max_input_list_cons`:

```
"max_input_list (a # G) = max (max_input a) (max_input_list G)"
⟨proof⟩
```

**fun** `enumerate_regs` :: "vname gexp ⇒ nat set" **where**

```
"enumerate_regs (Bc _) = {}" |
"enumerate_regs (Eq v va) = AExp.enumerate_regs v ∪ AExp.enumerate_regs va" |
"enumerate_regs (Gt v va) = AExp.enumerate_regs v ∪ AExp.enumerate_regs va" |
"enumerate_regs (In v va) = AExp.enumerate_regs (V v)" |
"enumerate_regs (Nor v va) = enumerate_regs v ∪ enumerate_regs va"
```

**lemma** `finite_enumerate_regs`: "finite (enumerate\_regs g)"

⟨proof⟩

**definition** `max_reg` :: "vname gexp ⇒ nat option" **where**

```
"max_reg g = (let regs = (enumerate_regs g) in if regs = {} then None else Some (Max regs))"
```

**lemma** `max_reg_gNot`: "max\_reg (gNot x) = max\_reg x"

⟨proof⟩

**lemma** `max_reg_Eq`: "max\_reg (Eq a b) = max (AExp.max\_reg a) (AExp.max\_reg b)"

⟨proof⟩

**lemma** `max_reg_Gt`: "max\_reg (Gt a b) = max (AExp.max\_reg a) (AExp.max\_reg b)"

⟨proof⟩

**lemma** `max_reg_Nor`: "max\_reg (Nor a b) = max (max\_reg a) (max\_reg b)"

⟨proof⟩

**lemma** `gval_In_cons`:

```
"gval (In v (a # as)) s = (gval (Eq (V v) (L a)) s ∨? gval (In v as) s)"
⟨proof⟩
```

**lemma** `possible_to_be_in`: "s ≠ [] ⇒ satisfiable (In v s)"

⟨proof⟩

**definition** `max_reg_list` :: "vname gexp list ⇒ nat option" **where**

```
"max_reg_list g = (fold max (map (λg. max_reg g) g) None)"
```

**lemma** `max_reg_list_cons`:

## 2 Preliminaries

"max\_reg\_list (a # G) = max (max\_reg a) (max\_reg\_list G)"  
(proof)

**lemma** max\_reg\_list\_append\_singleton:

"max\_reg\_list (as@[bs]) = max (max\_reg\_list as) (max\_reg\_list [bs])"  
(proof)

**lemma** max\_reg\_list\_append:

"max\_reg\_list (as@bs) = max (max\_reg\_list as) (max\_reg\_list bs)"  
(proof)

**definition** apply\_guards :: "vname gexp list  $\Rightarrow$  vname datastate  $\Rightarrow$  bool" where

"apply\_guards G s = ( $\forall g \in \text{set } (\text{map } (\lambda g. \text{gval } g \text{ s}) G). g = \text{true}$ )"

**lemma** apply\_guards\_singleton[simp]: "(apply\_guards [g] s) = (gval g s = true)"

(proof)

**lemma** apply\_guards\_empty [simp]: "apply\_guards [] s"

(proof)

**lemma** apply\_guards\_cons:

"apply\_guards (a # G) c = (gval a c = true  $\wedge$  apply\_guards G c)"  
(proof)

**lemma** apply\_guards\_double\_cons:

"apply\_guards (y # x # G) s = (gval (gAnd y x) s = true  $\wedge$  apply\_guards G s)"  
(proof)

**lemma** apply\_guards\_append:

"apply\_guards (a@a') s = (apply\_guards a s  $\wedge$  apply\_guards a' s)"  
(proof)

**lemma** apply\_guards\_foldr:

"apply\_guards G s = (gval (foldr gAnd G (Bc True)) s = true)"  
(proof)

**lemma** rev\_apply\_guards: "apply\_guards (rev G) s = apply\_guards G s"

(proof)

**lemma** apply\_guards\_fold:

"apply\_guards G s = (gval (fold gAnd G (Bc True)) s = true)"  
(proof)

**lemma** fold\_apply\_guards:

"(gval (fold gAnd G (Bc True)) s = true) = apply\_guards G s"  
(proof)

**lemma** foldr\_apply\_guards:

"(gval (foldr gAnd G (Bc True)) s = true) = apply\_guards G s"  
(proof)

**lemma** apply\_guards\_subset:

"set g'  $\subseteq$  set g  $\implies$  apply\_guards g c  $\longrightarrow$  apply\_guards g' c"  
(proof)

**lemma** apply\_guards\_subset\_append:

"set G  $\subseteq$  set G'  $\implies$  apply\_guards (G @ G') s = apply\_guards (G') s"  
(proof)

**lemma** apply\_guards\_rearrange:

"x  $\in$  set G  $\implies$  apply\_guards G s = apply\_guards (x#G) s"  
(proof)



```

lemma apply_guards_condense: "∃g. apply_guards G s = (gval g s = true)"
  ⟨proof⟩

lemma apply_guards_false_condense: "∃g. (¬apply_guards G s) = (gval g s = false)"
  ⟨proof⟩

lemma max_input_Bc: "max_input (Bc x) = None"
  ⟨proof⟩

lemma max_input_Eq:
  "max_input (Eq a1 a2) = max (AExp.max_input a1) (AExp.max_input a2)"
  ⟨proof⟩

lemma max_input_Gt:
  "max_input (Gt a1 a2) = max (AExp.max_input a1) (AExp.max_input a2)"
  ⟨proof⟩

lemma gexp_max_input_Nor:
  "max_input (Nor g1 g2) = max (max_input g1) (max_input g2)"
  ⟨proof⟩

lemma gexp_max_input_In: "max_input (In v l) = AExp.max_input (V v)"
  ⟨proof⟩

lemma gval_foldr_g0r_invalid:
  "(gval (fold g0r l g) s = invalid) = (∃g' ∈ (set (g#l)). gval g' s = invalid)"
  ⟨proof⟩

lemma gval_foldr_g0r_true:
  "(gval (fold g0r l g) s = true) = ((∃g' ∈ (set (g#l)). gval g' s = true) ∧ (∀g' ∈ (set (g#l)). gval g'
s ≠ invalid))"
  ⟨proof⟩

lemma gval_foldr_g0r_false:
  "(gval (fold g0r l g) s = false) = (∀g' ∈ (set (g#l)). gval g' s = false)"
  ⟨proof⟩

lemma gval_fold_g0r_rev: "gval (fold g0r (rev l) g) s = gval (fold g0r l g) s"
  ⟨proof⟩

lemma gval_fold_g0r_foldr: "gval (fold g0r l g) s = gval (foldr g0r l g) s"
  ⟨proof⟩

lemma gval_fold_g0r:
  "gval (fold g0r (a # l) g) s = (gval a s ∨? gval (fold g0r l g) s)"
  ⟨proof⟩

lemma gval_In_fold:
  "gval (In v l) s = (if s v = None then invalid else gval (fold g0r (map (λx. Eq (V v) (L x)) l) (Bc False)
s))"
  ⟨proof⟩

fun fold_In :: "'a ⇒ value list ⇒ 'a gexp" where
  "fold_In _ [] = Bc False" |
  "fold_In v (l#t) = g0r (Eq (V v) (L l)) (fold_In v t)"

lemma gval_fold_In: "l ≠ [] ⇒ gval (In v l) s = gval (fold_In v l) s"
  ⟨proof⟩

lemma fold_maybe_or_invalid_base: "fold (∨?) l invalid = invalid"
  ⟨proof⟩

lemma fold_maybe_or_true_base_never_false:

```

## 2 Preliminaries

"fold (∨?) l true ≠ false"  
 ⟨proof⟩

**lemma** fold\_true\_fold\_false\_not\_invalid:  
 "fold (∨?) l true = true ⇒  
 fold (∨?) (rev l) false ≠ invalid"  
 ⟨proof⟩

**lemma** fold\_true\_invalid\_fold\_rev\_false\_invalid:  
 "fold (∨?) l true = invalid ⇒  
 fold (∨?) (rev l) false = invalid"  
 ⟨proof⟩

**lemma** fold\_maybe\_or\_rev:  
 "fold (∨?) l b = fold (∨?) (rev l) b"  
 ⟨proof⟩

**lemma** fold\_maybe\_or\_cons:  
 "fold (∨?) (a#l) b = a ∨? (fold (∨?) l b)"  
 ⟨proof⟩

**lemma** gval\_fold\_gOr\_map:  
 "gval (fold gOr l (Bc False)) s = fold (∨?) (map (λg. gval g s) l) (false)"  
 ⟨proof⟩

**lemma** gval\_unfold\_first:  
 "gval (fold gOr (map (λx. Eq (V v) (L x)) ls) (Eq (V v) (L l))) s =  
 gval (fold gOr (map (λx. Eq (V v) (L x)) (l#ls)) (Bc False)) s"  
 ⟨proof⟩

**lemma** fold\_Eq\_true:  
 "∀v. fold (∨?) (map (λx. if v = x then true else false) vs) true = true"  
 ⟨proof⟩

**lemma** x\_in\_set\_fold\_eq:  
 "x ∈ set ll ⇒  
 fold (∨?) (map (λxa. if x = xa then true else false) ll) false = true"  
 ⟨proof⟩

**lemma** x\_not\_in\_set\_fold\_eq:  
 "s v ∉ Some ` set ll ⇒  
 false = fold (∨?) (map (λx. if s v = Some x then true else false) ll) false"  
 ⟨proof⟩

**lemma** gval\_take: "max\_input g < Some a ⇒  
 gval g (join\_ir i r) = gval g (join\_ir (take a i) r)"  
 ⟨proof⟩

**lemma** gval\_fold\_gAnd\_append\_singleton:  
 "gval (fold gAnd (a @ [G]) (Bc True)) s = gval (fold gAnd a (Bc True)) s ∧? gval G s"  
 ⟨proof⟩

**lemma** gval\_fold\_rev\_true:  
 "gval (fold gAnd (rev G) (Bc True)) s = true ⇒  
 gval (fold gAnd G (Bc True)) s = true"  
 ⟨proof⟩

**lemma** gval\_fold\_not\_invalid\_all\_valid\_contra:  
 "∃g ∈ set G. gval g s = invalid ⇒  
 gval (fold gAnd G (Bc True)) s = invalid"  
 ⟨proof⟩

**lemma** gval\_fold\_not\_invalid\_all\_valid:

"gval (fold gAnd G (Bc True)) s  $\neq$  invalid  $\implies$   
 $\forall g \in \text{set } G. \text{gval } g \text{ s} \neq \text{invalid}$ "  
 <proof>

**lemma** all\_gval\_not\_false:

"( $\forall g \in \text{set } G. \text{gval } g \text{ s} \neq \text{false}$ ) = ( $\forall g \in \text{set } G. \text{gval } g \text{ s} = \text{true}$ )  $\vee$  ( $\exists g \in \text{set } G. \text{gval } g \text{ s} = \text{invalid}$ )"  
 <proof>

**lemma** must\_have\_one\_false\_contra:

" $\forall g \in \text{set } G. \text{gval } g \text{ s} \neq \text{false} \implies$   
 gval (fold gAnd G (Bc True)) s  $\neq$  false"  
 <proof>

**lemma** must\_have\_one\_false:

"gval (fold gAnd G (Bc True)) s = false  $\implies$   
 $\exists g \in \text{set } G. \text{gval } g \text{ s} = \text{false}$ "  
 <proof>

**lemma** all\_valid\_fold:

" $\forall g \in \text{set } G. \text{gval } g \text{ s} \neq \text{invalid} \implies$   
 gval (fold gAnd G (Bc True)) s  $\neq$  invalid"  
 <proof>

**lemma** one\_false\_all\_valid\_false:

" $\exists g \in \text{set } G. \text{gval } g \text{ s} = \text{false} \implies$   
 $\forall g \in \text{set } G. \text{gval } g \text{ s} \neq \text{invalid} \implies$   
 gval (fold gAnd G (Bc True)) s = false"  
 <proof>

**lemma** gval\_fold\_rev\_false:

"gval (fold gAnd (rev G) (Bc True)) s = false  $\implies$   
 gval (fold gAnd G (Bc True)) s = false"  
 <proof>

**lemma** fold\_invalid\_means\_one\_invalid:

"gval (fold gAnd G (Bc True)) s = invalid  $\implies$   
 $\exists g \in \text{set } G. \text{gval } g \text{ s} = \text{invalid}$ "  
 <proof>

**lemma** gval\_fold\_rev\_invalid:

"gval (fold gAnd (rev G) (Bc True)) s = invalid  $\implies$   
 gval (fold gAnd G (Bc True)) s = invalid"  
 <proof>

**lemma** gval\_fold\_rev\_equiv\_fold:

"gval (fold gAnd (rev G) (Bc True)) s = gval (fold gAnd G (Bc True)) s"  
 <proof>

**lemma** gval\_fold\_equiv\_fold\_rev:

"gval (fold gAnd G (Bc True)) s = gval (fold gAnd (rev G) (Bc True)) s"  
 <proof>

**lemma** gval\_fold\_equiv\_gval\_foldr:

"gval (fold gAnd G (Bc True)) s = gval (foldr gAnd G (Bc True)) s"  
 <proof>

**lemma** gval\_foldr\_equiv\_gval\_fold:

"gval (foldr gAnd G (Bc True)) s = gval (fold gAnd G (Bc True)) s"  
 <proof>

**lemma** gval\_fold\_cons:

"gval (fold gAnd (g # gs) (Bc True)) s = gval g s  $\wedge$ ? gval (fold gAnd gs (Bc True)) s"  
 <proof>

## 2 Preliminaries

```

lemma gval_fold_take: "max_input_list G < Some a  $\implies$ 
  a  $\leq$  length i  $\implies$ 
  max_input_list G  $\leq$  Some (length i)  $\implies$ 
  gval (fold gAnd G (Bc True)) (join_ir i r) = gval (fold gAnd G (Bc True)) (join_ir (take a i) r)"
<proof>

primrec padding :: "nat  $\Rightarrow$  'a list" where
  "padding 0 = []" |
  "padding (Suc m) = (Eps ( $\lambda$ x. True))#(padding m)"

definition take_or_pad :: "'a list  $\Rightarrow$  nat  $\Rightarrow$  'a list" where
  "take_or_pad a n = (if length a  $\geq$  n then take n a else a@(padding (n-length a)))"

lemma length_padding: "length (padding n) = n"
<proof>

lemma length_take_or_pad: "length (take_or_pad a n) = n"
<proof>

fun enumerate_gexp_strings :: "'a gexp  $\Rightarrow$  String.literal set" where
  "enumerate_gexp_strings (Bc _) = {}" |
  "enumerate_gexp_strings (Eq a1 a2) = enumerate_aexp_strings a1  $\cup$  enumerate_aexp_strings a2" |
  "enumerate_gexp_strings (Gt a1 a2) = enumerate_aexp_strings a1  $\cup$  enumerate_aexp_strings a2" |
  "enumerate_gexp_strings (In v l) = fold ( $\lambda$ x acc. case x of Num n  $\Rightarrow$  acc | Str s  $\Rightarrow$  insert s acc) l {}"
|
  "enumerate_gexp_strings (Nor g1 g2) = enumerate_gexp_strings g1  $\cup$  enumerate_gexp_strings g2"

fun enumerate_gexp_ints :: "'a gexp  $\Rightarrow$  int set" where
  "enumerate_gexp_ints (Bc _) = {}" |
  "enumerate_gexp_ints (Eq a1 a2) = enumerate_aexp_ints a1  $\cup$  enumerate_aexp_ints a2" |
  "enumerate_gexp_ints (Gt a1 a2) = enumerate_aexp_ints a1  $\cup$  enumerate_aexp_ints a2" |
  "enumerate_gexp_ints (In v l) = fold ( $\lambda$ x acc. case x of Str s  $\Rightarrow$  acc | Num n  $\Rightarrow$  insert n acc) l {}" |
  "enumerate_gexp_ints (Nor g1 g2) = enumerate_gexp_ints g1  $\cup$  enumerate_gexp_ints g2"

definition restricted_once :: "'a  $\Rightarrow$  'a gexp list  $\Rightarrow$  bool" where
  "restricted_once v G = (length (filter ( $\lambda$ g. gexp_constrains g (V v)) G) = 1)"

definition not_restricted :: "'a  $\Rightarrow$  'a gexp list  $\Rightarrow$  bool" where
  "not_restricted v G = (length (filter ( $\lambda$ g. gexp_constrains g (V v)) G) = 0)"

lemma restricted_once_cons:
  "restricted_once v (g#gs) = ((gexp_constrains g (V v)  $\wedge$  not_restricted v gs)  $\vee$  (( $\neg$  gexp_constrains g
(V v))  $\wedge$  restricted_once v gs))"
<proof>

lemma not_restricted_cons:
  "not_restricted v (g#gs) = (( $\neg$  gexp_constrains g (V v))  $\wedge$  not_restricted v gs)"
<proof>

definition enumerate_vars :: "vname gexp  $\Rightarrow$  vname list" where
  "enumerate_vars g = sorted_list_of_set ((image R (enumerate_regs g))  $\cup$  (image I (enumerate_gexp_inputs
g)))"

fun rename_regs :: "(nat  $\Rightarrow$  nat)  $\Rightarrow$  vname gexp  $\Rightarrow$  vname gexp" where
  "rename_regs _ (Bc b) = Bc b" |
  "rename_regs f (Eq a1 a2) = Eq (AExp.rename_regs f a1) (AExp.rename_regs f a2)" |
  "rename_regs f (Gt a1 a2) = Gt (AExp.rename_regs f a1) (AExp.rename_regs f a2)" |
  "rename_regs f (In (R r) vs) = In (R (f r)) vs" |
  "rename_regs f (In v vs) = In v vs" |
  "rename_regs f (Nor g1 g2) = Nor (rename_regs f g1) (rename_regs f g2)"

definition eq_upto_rename :: "vname gexp  $\Rightarrow$  vname gexp  $\Rightarrow$  bool" where

```

```
"eq_upto_rename g1 g2 = ( $\exists f. \text{bij } f \wedge \text{rename\_regs } f \text{ g1} = \text{g2}$ )"
```

```
lemma gval_reg_some_superset:
```

```
" $\forall a. (r \ \$ \ a \neq \text{None}) \longrightarrow r \ \$ \ a = r' \ \$ \ a \Longrightarrow$   

 $x \neq \text{invalid} \Longrightarrow$   

 $\text{gval } a \ (\text{join\_ir } i \ r) = x \Longrightarrow$   

 $\text{gval } a \ (\text{join\_ir } i \ r') = x$ "  

<proof>
```

```
lemma apply_guards_reg_some_superset:
```

```
" $\forall a. (r \ \$ \ a \neq \text{None}) \longrightarrow r \ \$ \ a = r' \ \$ \ a \Longrightarrow$   

 $\text{apply\_guards } G \ (\text{join\_ir } i \ r) \Longrightarrow$   

 $\text{apply\_guards } G \ (\text{join\_ir } i \ r')$ "  

<proof>
```

```
end
```

### 2.4.3 GExp Lexorder

This theory defines a lexicographical ordering on guard expressions such that we can build orderings for transitions. We make use of the previously established orderings on arithmetic expressions.

```
theory
```

```
GExp_Lexorder
```

```
imports
```

```
"GExp"
```

```
"AExp_Lexorder"
```

```
"HOL-Library.List_Lexorder"
```

```
begin
```

```
fun height :: "'a gexp  $\Rightarrow$  nat" where
```

```
"height (Bc _) = 1" |  

"height (Eq a1 a2) = 1 + max (AExp_Lexorder.height a1) (AExp_Lexorder.height a2)" |  

"height (Gt a1 a2) = 1 + max (AExp_Lexorder.height a1) (AExp_Lexorder.height a2)" |  

"height (In v l) = 2 + size l" |  

"height (Nor g1 g2) = 1 + max (height g1) (height g2)"
```

```
instantiation gexp :: (linorder) linorder begin
```

```
fun less_gexp_aux :: "'a gexp  $\Rightarrow$  'a gexp  $\Rightarrow$  bool" where
```

```
"less_gexp_aux (Bc b1) (Bc b2) = (b1 < b2)" |
```

```
"less_gexp_aux (Bc b1) _ = True" |
```

```
"less_gexp_aux (Eq e1 e2) (Bc b2) = False" |
```

```
"less_gexp_aux (Eq e1 e2) (Eq e1' e2') = ((e1 < e1')  $\vee$  ((e1 = e1')  $\wedge$  (e2 < e2')))" |
```

```
"less_gexp_aux (Eq e1 e2) _ = True" |
```

```
"less_gexp_aux (Gt e1 e2) (Bc b2) = False" |
```

```
"less_gexp_aux (Gt e1 e2) (Eq e1' e2') = False" |
```

```
"less_gexp_aux (Gt e1 e2) (Gt e1' e2') = ((e1 < e1')  $\vee$  ((e1 = e1')  $\wedge$  (e2 < e2')))" |
```

```
"less_gexp_aux (Gt e1 e2) _ = True" |
```

```
"less_gexp_aux (In vb vc) (Nor v va) = True" |
```

```
"less_gexp_aux (In vb vc) (In v va) = (vb < v  $\vee$  (vb = v  $\wedge$  vc < va))" |
```

```
"less_gexp_aux (In vb vc) _ = False" |
```

```
"less_gexp_aux (Nor g1 g2) (Nor g1' g2') = ((less_gexp_aux g1 g1')  $\vee$  ((g1 = g1')  $\wedge$  (less_gexp_aux g2 g2')))" |
```

```
|
```

```
"less_gexp_aux (Nor g1 g2) _ = False"
```

```
definition less_gexp :: "'a gexp  $\Rightarrow$  'a gexp  $\Rightarrow$  bool" where
```

```
"less_gexp a1 a2 = (  

  let
```

```
    h1 = height a1;
```

```
    h2 = height a2;
```

```
    h1 < h2  $\vee$  (h1 = h2  $\wedge$  less_gexp_aux a1 a2))"
```

```

    h2 = height a2
  in
  if h1 = h2 then
    less_gexp_aux a1 a2
  else
    h1 < h2
)"

```

```
declare less_gexp_def [simp]
```

```

definition less_eq_gexp :: "'a gexp ⇒ 'a gexp ⇒ bool" where
  "less_eq_gexp e1 e2 ≡ (e1 < e2) ∨ (e1 = e2)"

```

```

lemma less_gexp_aux_antisym: "less_gexp_aux x y = (¬(less_gexp_aux y x) ∧ (x ≠ y))"
⟨proof⟩

```

```

lemma less_gexp_antisym: "(x::'a gexp) < y = (¬(y < x) ∧ (x ≠ y))"
⟨proof⟩

```

```

lemma less_gexp_aux_trans: "less_gexp_aux x y ⇒ less_gexp_aux y z ⇒ less_gexp_aux x z"
⟨proof⟩

```

```

lemma less_gexp_trans: "(x::'a gexp) < y ⇒ y < z ⇒ x < z"
⟨proof⟩

```

```

instance ⟨proof⟩
end

```

```
end
```

## 2.5 FSet Utilities (FSet\_Utills)

This theory provides various additional lemmas, definitions, and syntax over the fset data type.

```
theory FSet_Utills
```

```
  imports "HOL-Library.FSet"
```

```
begin
```

```
notation (latex output)
```

```
"FSet.fempty" ("∅") and
```

```
"FSet.fmember" ("∈")
```

```
syntax (ASCII)
```

```

"_fBall"      :: "pttrn ⇒ 'a fset ⇒ bool ⇒ bool"      ("(3ALL (_/:_)./ _)" [0, 0, 10] 10)
"_fBex"       :: "pttrn ⇒ 'a fset ⇒ bool ⇒ bool"       ("(3EX (_/:_)./ _)" [0, 0, 10] 10)
"_fBex1"      :: "pttrn ⇒ 'a fset ⇒ bool ⇒ bool"       ("(3EX! (_/:_)./ _)" [0, 0, 10] 10)

```

```
syntax (input)
```

```

"_fBall"      :: "pttrn ⇒ 'a fset ⇒ bool ⇒ bool"      ("(3! (_/:_)./ _)" [0, 0, 10] 10)
"_fBex"       :: "pttrn ⇒ 'a fset ⇒ bool ⇒ bool"       ("(3? (_/:_)./ _)" [0, 0, 10] 10)
"_fBex1"      :: "pttrn ⇒ 'a fset ⇒ bool ⇒ bool"       ("(3?! (_/:_)./ _)" [0, 0, 10] 10)

```

```
syntax
```

```

"_fBall"      :: "pttrn ⇒ 'a fset ⇒ bool ⇒ bool"      ("(3∀ (_/|∈|_)./ _)" [0, 0, 10] 10)
"_fBex"       :: "pttrn ⇒ 'a fset ⇒ bool ⇒ bool"       ("(3∃ (_/|∈|_)./ _)" [0, 0, 10] 10)
"_fBnex"      :: "pttrn ⇒ 'a fset ⇒ bool ⇒ bool"       ("(3∄ (_/|∈|_)./ _)" [0, 0, 10] 10)
"_fBex1"      :: "pttrn ⇒ 'a fset ⇒ bool ⇒ bool"       ("(3∃! (_/|∈|_)./ _)" [0, 0, 10] 10)

```

```
translations
```

```
"∀ x|∈|A. P" ⇒ "CONST fBall A (λx. P)"
```

```
"∃ x|∈|A. P" ⇒ "CONST fBex A (λx. P)"
```

```
"∄ x|∈|A. P" ⇒ "CONST fBall A (λx. ¬P)"
```

```
"∃ !x|∈|A. P" ⇒ "∃ !x. x |∈| A ∧ P"
```

```

lemma fset_of_list_remdups [simp]: "fset_of_list (remdups l) = fset_of_list l"
  <proof>

definition fSum  $\equiv$  fsum ( $\lambda x. x$ )

lemma fset_both_sides: "(Abs_fset s = f) = (fset (Abs_fset s) = fset f)"
  <proof>

lemma Abs_ffilter: "(ffilter f s = s') = ({e  $\in$  (fset s). f e} = (fset s'))"
  <proof>

lemma size_ffilter_card: "size (ffilter f s) = card ({e  $\in$  (fset s). f e})"
  <proof>

lemma ffilter_empty [simp]: "ffilter f {} = {}"
  <proof>

lemma ffilter_finsert:
  "ffilter f (finsert a s) = (if f a then finsert a (ffilter f s) else (ffilter f s))"
  <proof>

lemma fset_equiv: "(f1 = f2) = (fset f1 = fset f2)"
  <proof>

lemma finsert_equiv: "(finsert e f = f') = (insert e (fset f) = (fset f'))"
  <proof>

lemma filter_elements:
  "x  $\in$  | Abs_fset (Set.filter f (fset s)) = (x  $\in$  (Set.filter f (fset s)))"
  <proof>

lemma sorted_list_of_fempty [simp]: "sorted_list_of_fset {} = []"
  <proof>

lemma fmember_implies_member: "e  $\in$  | f  $\implies$  e  $\in$  fset f"
  <proof>

lemma fold_union_ffUnion: "fold (| $\cup$ |) l {} = ffUnion (fset_of_list l)"
  <proof>

lemma filter_filter:
  "ffilter P (ffilter Q xs) = ffilter ( $\lambda x. Q x \wedge P x$ ) xs"
  <proof>

lemma fsubset_strict:
  "x2 | $\subset$ | x1  $\implies$   $\exists e. e \in$  | x1  $\wedge e \notin$  | x2"
  <proof>

lemma fsubset:
  "x2 | $\subset$ | x1  $\implies$   $\nexists e. e \in$  | x2  $\wedge e \notin$  | x1"
  <proof>

lemma size_fsubset_elem:
  assumes " $\exists e. e \in$  | x1  $\wedge e \notin$  | x2"
  and " $\nexists e. e \in$  | x2  $\wedge e \notin$  | x1"
  shows "size x2 < size x1"
  <proof>

lemma size_fsubset: "x2 | $\subset$ | x1  $\implies$  size x2 < size x1"
  <proof>

definition fremove :: "'a  $\Rightarrow$  'a fset  $\Rightarrow$  'a fset"

```

## 2 Preliminaries

where [code\_abbrev]: "fremove x A = A - {|x|}"

**lemma** arg\_cong\_ffilter:

" $\forall e \in l. p e = p' e \implies \text{ffilter } p \ f = \text{ffilter } p' \ f$ "  
 <proof>

**lemma** ffilter\_singleton: "f e  $\implies \text{ffilter } f \ \{e\} = \{e\}$ "

<proof>

**lemma** fset\_eq\_alt: "(x = y) = (x  $\sqsubseteq$  y  $\wedge$  size x = size y)"

<proof>

**lemma** ffold\_empty [simp]: "ffold f b {} = b"

<proof>

**lemma** sorted\_list\_of\_fset\_sort:

"sorted\_list\_of\_fset (fset\_of\_list l) = sort (remdups l)"

<proof>

**lemma** fMin\_Min: "fMin (fset\_of\_list l) = Min (set l)"

<proof>

**lemma** sorted\_hd\_Min:

"sorted l  $\implies$   
 l  $\neq$  []  $\implies$   
 hd l = Min (set l)"

<proof>

**lemma** hd\_sort\_Min: "l  $\neq$  []  $\implies \text{hd } (\text{sort } l) = \text{Min } (\text{set } l)$ "

<proof>

**lemma** hd\_sort\_remdups: "hd (sort (remdups l)) = hd (sort l)"

<proof>

**lemma** exists\_fset\_of\_list: " $\exists l. f = \text{fset_of_list } l$ "

<proof>

**lemma** hd\_sorted\_list\_of\_fset:

"s  $\neq$  {}  $\implies \text{hd } (\text{sorted\_list\_of\_fset } s) = (\text{fMin } s)$ "

<proof>

**lemma** fminus\_filter\_singleton:

"fset\_of\_list l  $\setminus$  {|x|} = fset\_of\_list (filter ( $\lambda e. e \neq x$ ) l)"

<proof>

**lemma** card\_minus\_fMin:

"s  $\neq$  {}  $\implies \text{card } (\text{fset } s - \{\text{fMin } s\}) < \text{card } (\text{fset } s)$ "

<proof>

**function** ffold\_ord :: "((a::linorder)  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'a fset  $\Rightarrow$  'b  $\Rightarrow$  'b" where

"ffold\_ord f s b = (  
 if s = {} then  
 b  
 else  
 let  
 h = fMin s;  
 t = s - {|h|}  
 in  
 ffold\_ord f t (f h b)  
 )" <proof>

**termination**



*<proof>*

**lemma** *sorted\_list\_of\_fset\_Cons*:

" $\exists h t. (\text{sorted\_list\_of\_fset } (\text{finsert } s \text{ ss})) = h\#t$ "

*<proof>*

**lemma** *list\_eq\_hd\_tl*:

" $l \neq [] \implies$

$\text{hd } l = h \implies$

$\text{tl } l = t \implies$

$l = (h\#t)$ "

*<proof>*

**lemma** *fset\_of\_list\_sort*: " $\text{fset\_of\_list } l = \text{fset\_of\_list } (\text{sort } l)$ "

*<proof>*

**lemma** *exists\_sorted\_distinct\_fset\_of\_list*:

" $\exists l. \text{sorted } l \wedge \text{distinct } l \wedge f = \text{fset\_of\_list } l$ "

*<proof>*

**lemma** *fset\_of\_list\_empty [simp]*: " $(\text{fset\_of\_list } l = \{\}) = (l = [])$ "

*<proof>*

**lemma** *ffold\_ord\_cons*: **assumes** *sorted*: " $\text{sorted } (h\#t)$ "

**and** *distinct*: " $\text{distinct } (h\#t)$ "

**shows** " $\text{ffold\_ord } f (\text{fset\_of\_list } (h\#t)) b = \text{ffold\_ord } f (\text{fset\_of\_list } t) (f h b)$ "

*<proof>*

**lemma** *sorted\_distinct\_ffold\_ord*: **assumes** " $\text{sorted } l$ "

**and** " $\text{distinct } l$ "

**shows** " $\text{ffold\_ord } f (\text{fset\_of\_list } l) b = \text{fold } f l b$ "

*<proof>*

**lemma** *ffold\_ord\_fold\_sorted*: " $\text{ffold\_ord } f s b = \text{fold } f (\text{sorted\_list\_of\_fset } s) b$ "

*<proof>*

**context** *includes fset.lifting begin*

**lift\_definition** *fprod* :: "'a fset  $\Rightarrow$  'b fset  $\Rightarrow$  ('a  $\times$  'b) fset " (**infixr** " $|\times|$ " 80) **is** " $\lambda a b. \text{fset } a \times \text{fset } b$ "

*<proof>*

**lift\_definition** *fis\_singleton* :: "'a fset  $\Rightarrow$  bool" **is** " $\lambda A. \text{is\_singleton } (\text{fset } A)$ "*<proof>*

**end**

**lemma** *fprod\_empty\_l*: " $\{\} |\times| a = \{\}$ "

*<proof>*

**lemma** *fprod\_empty\_r*: " $a |\times| \{\} = \{\}$ "

*<proof>*

**lemmas** *fprod\_empty* = *fprod\_empty\_l fprod\_empty\_r*

**lemma** *fprod\_finsert*: " $(\text{finsert } a \text{ as}) |\times| (\text{finsert } b \text{ bs}) =$

$\text{finsert } (a, b) (\text{fimage } (\lambda b. (a, b)) \text{ bs} \cup \text{fimage } (\lambda a. (a, b)) \text{ as}) |\times| (\text{as } |\times| \text{bs})$ "

*<proof>*

**lemma** *fprod\_member*:

" $x \in l \text{ xs} \implies$

$y \in l \text{ ys} \implies$

$(x, y) \in l \text{ xs } |\times| \text{ys}$ "

*<proof>*

**lemma** *fprod\_subseteq*:

## 2 Preliminaries

" $x \subseteq x' \wedge y \subseteq y' \implies x \times y \subseteq x' \times y'$ "  
 ⟨proof⟩

**lemma fimage\_fprod:**

" $(a, b) \in A \times B \implies f a b \in (\lambda(x, y). f x y) \setminus (A \times B)$ "  
 ⟨proof⟩

**lemma fprod\_singletons:** " $\{|a|\} \times \{|b|\} = \{|(a, b)|\}$ "  
 ⟨proof⟩

**lemma fprod\_equiv:**

" $(fset (f \times f') = s) = ((fset f) \times (fset f')) = s$ "  
 ⟨proof⟩

**lemma fis\_singleton\_alt:** " $fis\_singleton f = (\exists e. f = \{|e|\})$ "  
 ⟨proof⟩

**lemma singleton\_singleton [simp]:** " $fis\_singleton \{|a|\}$ "  
 ⟨proof⟩

**lemma not\_singleton\_empty [simp]:** " $\neg fis\_singleton \{| \}$ "  
 ⟨proof⟩

**lemma fis\_singleton\_fthe\_elem:**

" $fis\_singleton A \longleftrightarrow A = \{|fthe\_elem A|\}$ "  
 ⟨proof⟩

**lemma fBall\_ffilter:**

" $\forall x \in X. f x \implies ffilter f X = X$ "  
 ⟨proof⟩

**lemma fBall\_ffilter2:**

" $X = Y \implies$   
 $\forall x \in X. f x \implies$   
 $ffilter f X = Y$ "  
 ⟨proof⟩

**lemma size\_fset\_of\_list:** " $size (fset\_of\_list l) = length (remdups l)$ "  
 ⟨proof⟩

**lemma size\_fsingleton:** " $(size f = 1) = (\exists e. f = \{|e|\})$ "  
 ⟨proof⟩

**lemma ffilter\_mono:** " $(ffilter X xs = f) \implies \forall x \in xs. X x = Y x \implies (ffilter Y xs = f)$ "  
 ⟨proof⟩

**lemma size\_fimage:** " $size (fimage f s) \leq size s$ "  
 ⟨proof⟩

**lemma size\_ffilter:** " $size (ffilter P f) \leq size f$ "  
 ⟨proof⟩

**lemma fimage\_size\_le:** " $\bigwedge f s. size s \leq n \implies size (fimage f s) \leq n$ "  
 ⟨proof⟩

**lemma ffilter\_size\_le:** " $\bigwedge f s. size s \leq n \implies size (ffilter f s) \leq n$ "  
 ⟨proof⟩

**lemma set\_membership\_eq:** " $A = B \longleftrightarrow (\lambda x. Set.member x A) = (\lambda x. Set.member x B)$ "  
 ⟨proof⟩

**lemmas ffilter\_eq\_iff = Abs\_ffilter set\_membership\_eq fun\_eq\_iff**

```
lemma size_le_1: "size f ≤ 1 = (f = {} ∨ (∃ e. f = {e}))"  
  ⟨proof⟩
```

```
lemma size_gt_1: "1 < size f ⇒ ∃ e1 e2 f'. e1 ≠ e2 ∧ f = finsert e1 (finsert e2 f')"  
  ⟨proof⟩
```

```
end
```



# 3 Models

In this chapter, we present our formalisation of EFSMs from [2]. We first define transitions, before defining EFSMs as finite sets of transitions between states. Finally, we provide a framework of function definitions and key lemmas such that LTL properties over EFSMs can be more easily specified and proven.

## 3.1 Transitions (Transition)

Here we define the transitions which make up EFSMs. As per [2], each transition has a label and an arity and, optionally, guards, outputs, and updates. To implement this, we use the record type such that each component of the transition can be accessed.

```
theory Transition
imports GExp
begin

type_synonym label = String.literal
type_synonym arity = nat
type_synonym guard = "vname gexp"
type_synonym inputs = "value list"
type_synonym outputs = "value option list"
type_synonym output_function = "vname aexp"
type_synonym update_function = "nat × vname aexp"
record transition =
  Label :: String.literal
  Arity :: nat
  Guards :: "guard list"
  Outputs :: "output_function list"
  Updates :: "update_function list"

definition same_structure :: "transition ⇒ transition ⇒ bool" where
  "same_structure t1 t2 = (
    Label t1 = Label t2 ∧
    Arity t1 = Arity t2 ∧
    length (Outputs t1) = length (Outputs t2)
  )"

definition enumerate_inputs :: "transition ⇒ nat set" where
  "enumerate_inputs t = (⋃ (set (map enumerate_gexp_inputs (Guards t)))) ∪
    (⋃ (set (map enumerate_aexp_inputs (Outputs t)))) ∪
    (⋃ (set (map (λ(_, u). enumerate_aexp_inputs u) (Updates t))))"

definition max_input :: "transition ⇒ nat option" where
  "max_input t = (if enumerate_inputs t = {} then None else Some (Max (enumerate_inputs t)))"

definition total_max_input :: "transition ⇒ nat" where
  "total_max_input t = (case max_input t of None ⇒ 0 | Some a ⇒ a)"

definition enumerate_regs :: "transition ⇒ nat set" where
  "enumerate_regs t = (⋃ (set (map GExp.enumerate_regs (Guards t)))) ∪
    (⋃ (set (map AExp.enumerate_regs (Outputs t)))) ∪
    (⋃ (set (map (λ(_, u). AExp.enumerate_regs u) (Updates t)))) ∪
    (⋃ (set (map (λ(r, _). AExp.enumerate_regs (V (R r))) (Updates t))))"

definition max_reg :: "transition ⇒ nat option" where
  "max_reg t = (if enumerate_regs t = {} then None else Some (Max (enumerate_regs t)))"
```

```

definition total_max_reg :: "transition  $\Rightarrow$  nat" where
  "total_max_reg t = (case max_reg t of None  $\Rightarrow$  0 | Some a  $\Rightarrow$  a)"

definition enumerate_ints :: "transition  $\Rightarrow$  int set" where
  "enumerate_ints t = ( $\bigcup$  (set (map enumerate_gexp_ints (Guards t))))  $\cup$ 
    ( $\bigcup$  (set (map enumerate_aexp_ints (Outputs t))))  $\cup$ 
    ( $\bigcup$  (set (map ( $\lambda$ (_, u). enumerate_aexp_ints u) (Updates t))))  $\cup$ 
    ( $\bigcup$  (set (map ( $\lambda$ (r, _). enumerate_aexp_ints (V (R r))) (Updates t))))"

definition valid_transition :: "transition  $\Rightarrow$  bool" where
  "valid_transition t = ( $\forall$ i  $\in$  enumerate_inputs t. i < Arity t)"

definition can_take :: "nat  $\Rightarrow$  vname gexp list  $\Rightarrow$  inputs  $\Rightarrow$  registers  $\Rightarrow$  bool" where
  "can_take a g i r = (length i = a  $\wedge$  apply_guards g (join_ir i r))"

lemma can_take_empty [simp]: "length i = a  $\implies$  can_take a [] i c"
  <proof>

lemma can_take_subset_append:
  assumes "set (Guards t)  $\subseteq$  set (Guards t')"
  shows "can_take a (Guards t @ Guards t') i c = can_take a (Guards t') i c"
  <proof>

definition "can_take_transition t i r = can_take (Arity t) (Guards t) i r"

lemmas can_take = can_take_def can_take_transition_def

lemma can_take_transition_empty_guard:
  "Guards t = []  $\implies$   $\exists$ i. can_take_transition t i c"
  <proof>

lemma can_take_subset: "length i = Arity t  $\implies$ 
  Arity t = Arity t'  $\implies$ 
  set (Guards t')  $\subseteq$  set (Guards t)  $\implies$ 
  can_take_transition t i r  $\implies$ 
  can_take_transition t' i r"
  <proof>

lemma valid_list_can_take:
  " $\forall$ g  $\in$  set (Guards t). valid g  $\implies$   $\exists$ i. can_take_transition t i c"
  <proof>

lemma cant_take_if:
  " $\exists$ g  $\in$  set (Guards t). gval g (join_ir i r)  $\neq$  true  $\implies$ 
   $\neg$  can_take_transition t i r"
  <proof>

definition apply_outputs :: "'a aexp list  $\Rightarrow$  'a datastate  $\Rightarrow$  value option list" where
  "apply_outputs p s = map ( $\lambda$ p. aval p s) p"

abbreviation "evaluate_outputs t i r  $\equiv$  apply_outputs (Outputs t) (join_ir i r)"

lemma apply_outputs_nth:
  "i < length p  $\implies$  apply_outputs p s ! i = aval (p ! i) s"
  <proof>

lemmas apply_outputs = datastate apply_outputs_def value_plus_def value_minus_def value_times_def

lemma apply_outputs_empty [simp]: "apply_outputs [] s = []"
  <proof>

lemma apply_outputs_preserves_length: "length (apply_outputs p s) = length p"

```

*<proof>*

**lemma** `apply_outputs_literal`: `assumes "P ! r = L v"`  
`and "r < length P"`  
`shows "apply_outputs P s ! r = Some v"`  
*<proof>*

**lemma** `apply_outputs_register`: `assumes "r < length P"`  
`shows "apply_outputs (list_update P r (V (R p))) (join_ir i c) ! r = c $ p"`  
*<proof>*

**lemma** `apply_outputs_unupdated`: `assumes "ia ≠ r"`  
`and "ia < length P"`  
`shows "apply_outputs P j ! ia = apply_outputs (list_update P r v)j ! ia"`  
*<proof>*

**definition** `apply_updates` :: `"update_function list ⇒ vname datastate ⇒ registers ⇒ registers"` **where**  
`"apply_updates u old = fold (λh r. r(fst h $:= aval (snd h) old)) u"`

**abbreviation** `"evaluate_updates t i r ≡ apply_updates (Updates t) (join_ir i r) r"`

**lemma** `apply_updates_cons`: `"ra ≠ r ⇒"`  
`apply_updates u (join_ir ia c) c $ ra = apply_updates ((r, a) # u) (join_ir ia c) c $ ra"`  
*<proof>*

**lemma** `update_twice`:  
`"apply_updates [(r, a), (r, b)] s regs = regs (r $:= aval b s)"`  
*<proof>*

**lemma** `r_not_updated_stays_the_same`:  
`"r ∉ fst ` set U ⇒ apply_updates U c d $ r = d $ r"`  
*<proof>*

**definition** `rename_regs` :: `"(nat ⇒ nat) ⇒ transition ⇒ transition"` **where**  
`"rename_regs f t = t(|`  
`Guards := map (GExp.rename_regs f) (Guards t),`  
`Outputs := map (AExp.rename_regs f) (Outputs t),`  
`Updates := map (λ(r, u). (f r, AExp.rename_regs f u)) (Updates t)`  
`)"`

**definition** `eq_upto_rename_strong` :: `"transition ⇒ transition ⇒ bool"` **where**  
`"eq_upto_rename_strong t1 t2 = (∃f. bij f ∧ rename_regs f t1 = t2)"`

**inductive** `eq_upto_rename` :: `"transition ⇒ transition ⇒ bool"` **where**  
`"Label t1 = Label t2 ⇒"`  
`Arity t2 = Arity t2 ⇒`  
`apply_guards (map (GExp.rename_regs f) (Guards t1)) = apply_guards (Guards t2) ⇒`  
`apply_outputs (map (AExp.rename_regs f) (Outputs t1)) = apply_outputs (Outputs t2) ⇒`  
`apply_updates (map (λ(r, u). (f r, AExp.rename_regs f u)) (Updates t1)) = apply_updates (Updates t2)`  
`⇒`  
`eq_upto_rename t1 t2"`

**end**

### 3.1.1 Transition Lexorder

This theory defines a lexicographical ordering on transitions such that we can convert from the set representation of EFSMs to a sorted list that we can recurse over.

**theory** `Transition_Lexorder`

```

imports "Transition"
  GExp_Lexorder
  "HOL-Library.Product_Lexorder"
begin

instantiation "transition_ext" :: (linorder) linorder begin

definition less_transition_ext :: "'a::linorder transition_scheme  $\Rightarrow$  'a transition_scheme  $\Rightarrow$  bool" where
  "less_transition_ext t1 t2 = ((Label t1, Arity t1, Guards t1, Outputs t1, Updates t1, more t1) < (Label
  t2, Arity t2, Guards t2, Outputs t2, Updates t2, more t2))"

definition less_eq_transition_ext :: "'a::linorder transition_scheme  $\Rightarrow$  'a transition_scheme  $\Rightarrow$  bool" where
  "less_eq_transition_ext t1 t2 = (t1 < t2  $\vee$  t1 = t2)"

instance
  <proof>
end

end

```

## 3.2 Extended Finite State Machines (EFSM)

This theory defines extended finite state machines as presented in [2]. States are indexed by natural numbers, however, since transition matrices are implemented by finite sets, the number of reachable states in  $S$  is necessarily finite. For ease of implementation, we implicitly make the initial state zero for all EFSMs. This allows EFSMs to be represented purely by their transition matrix which, in this implementation, is a finite set of tuples of the form  $((s_1, s_2), t)$  in which  $s_1$  is the origin state,  $s_2$  is the destination state, and  $t$  is a transition.

```

theory EFSM
  imports "HOL-Library.FSet" Transition FSet_Utils
begin

declare One_nat_def [simp del]

type_synonym cfstate = nat
type_synonym inputs = "value list"
type_synonym outputs = "value option list"

type_synonym action = "(label  $\times$  inputs)"
type_synonym execution = "action list"
type_synonym observation = "outputs list"
type_synonym transition_matrix = "((cfstate  $\times$  cfstate)  $\times$  transition) fset"

no_notation relcomp (infixr "0" 75) and comp (infixl "o" 55)

type_synonym event = "(label  $\times$  inputs  $\times$  value list)"
type_synonym trace = "event list"
type_synonym log = "trace list"

definition Str :: "string  $\Rightarrow$  value" where
  "Str s  $\equiv$  value.Str (String.implode s)"

lemma str_not_num: "Str s  $\neq$  Num x1"
  <proof>

definition S :: "transition_matrix  $\Rightarrow$  nat fset" where
  "S m = (fimage ( $\lambda((s, s'), t). s$ ) m)  $\cup$  fimage ( $\lambda((s, s'), t). s'$ ) m"

lemma S_ffUnion: "S e = ffUnion (fimage ( $\lambda((s, s'), _). \{s, s'\}$ ) e)"

```



*<proof>*

### 3.2.1 Possible Steps

From a given state, the possible steps for a given action are those transitions with labels which correspond to the action label, arities which correspond to the number of inputs, and guards which are satisfied by those inputs.

**definition** *possible\_steps* :: "transition\_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$  label  $\Rightarrow$  inputs  $\Rightarrow$  (cfstate  $\times$  transition) fset" **where**

"possible\_steps e s r l i = fimage ( $\lambda((\text{origin}, \text{dest}), t). (\text{dest}, t)$ ) (ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{origin} = s \wedge (\text{Label } t) = l \wedge (\text{length } i) = (\text{Arity } t) \wedge \text{apply\_guards } (\text{Guards } t) (\text{join\_ir } i r)$ ) e)"

**lemma** *possible\_steps\_finsert*:

"possible\_steps (finsert ((s, s'), t) e) ss r l i = (  
 if s = ss  $\wedge$  (Label t) = l  $\wedge$  (length i) = (Arity t)  $\wedge$  apply\_guards (Guards t) (join\_ir i r) then  
 finsert (s', t) (possible\_steps e s r l i)  
 else  
 possible\_steps e ss r l i  
 )"
   
*<proof>*

**lemma** *split\_origin*:

"ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{origin} = s \wedge \text{Label } t = l \wedge \text{can\_take\_transition } t \ i \ r$ ) e =  
 ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{Label } t = l \wedge \text{can\_take\_transition } t \ i \ r$ ) (ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{origin} = s$ ) e)"
   
*<proof>*

**lemma** *split\_label*:

"ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{origin} = s \wedge \text{Label } t = l \wedge \text{can\_take\_transition } t \ i \ r$ ) e =  
 ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{origin} = s \wedge \text{can\_take\_transition } t \ i \ r$ ) (ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{Label } t = l$ ) e)"
   
*<proof>*

**lemma** *possible\_steps\_empty\_guards\_false*:

" $\forall ((s1, s2), t) \in | \text{ffilter } (\lambda((\text{origin}, \text{dest}), t). \text{Label } t = l) \ e. \neg \text{can\_take\_transition } t \ i \ r \implies$   
 possible\_steps e s r l i = {}"
   
*<proof>*

**lemma** *fmember\_possible\_steps*: "(s', t)  $\in |$  possible\_steps e s r l i = ((s, s'), t)  $\in \{((\text{origin}, \text{dest}), t) \in \text{fset } e. \text{origin} = s \wedge \text{Label } t = l \wedge \text{length } i = \text{Arity } t \wedge \text{apply\_guards } (\text{Guards } t) (\text{join\_ir } i r)\}$ "
   
*<proof>*

**lemma** *possible\_steps\_alt\_aux*:

"possible\_steps e s r l i = {|(d, t)|}  $\implies$   
 ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{origin} = s \wedge \text{Label } t = l \wedge \text{length } i = \text{Arity } t \wedge \text{apply\_guards } (\text{Guards } t) (\text{join\_ir } i r)$ ) e = {|((s, d), t)|}"
   
*<proof>*

**lemma** *possible\_steps\_alt*: "(possible\_steps e s r l i = {|(d, t)|}) = (ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{origin} = s \wedge \text{Label } t = l \wedge \text{length } i = \text{Arity } t \wedge \text{apply\_guards } (\text{Guards } t) (\text{join\_ir } i r)$ ) e = {|((s, d), t)|})"
   
*<proof>*

**lemma** *possible\_steps\_alt3*: "(possible\_steps e s r l i = {|(d, t)|}) = (ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{origin} = s \wedge \text{Label } t = l \wedge \text{can\_take\_transition } t \ i \ r$ ) e = {|((s, d), t)|})"
   
*<proof>*

**lemma** *possible\_steps\_alt\_atom*: "(possible\_steps e s r l i = {|dt|}) = (ffilter ( $\lambda((\text{origin}, \text{dest}), t). \text{origin} = s \wedge \text{Label } t = l \wedge \text{can\_take\_transition } t \ i \ r$ ) e = {|((s, fst dt), snd dt)|})"
   
*<proof>*

```

lemma possible_steps_alt2: "(possible_steps e s r l i = {|(d, t)|}) = (
  (ffilter (λ((origin, dest), t). Label t = l ∧ length i = Arity t ∧ apply_guards (Guards t) (join_ir
i r)) (ffilter (λ((origin, dest), t). origin = s) e) = {|(s, d), t|}))"
  ⟨proof⟩

```

```

lemma possible_steps_single_out:
"ffilter (λ((origin, dest), t). origin = s) e = {|(s, d), t|} ⇒
Label t = l ∧ length i = Arity t ∧ apply_guards (Guards t) (join_ir i r) ⇒
possible_steps e s r l i = {|(d, t)|}"
  ⟨proof⟩

```

```

lemma possible_steps_singleton: "(possible_steps e s r l i = {|(d, t)|}) =
  (λ((origin, dest), t) ∈ fset e. origin = s ∧ Label t = l ∧ length i = Arity t ∧ apply_guards (Guards
t) (join_ir i r)) = {|(s, d), t|}"
  ⟨proof⟩

```

```

lemma possible_steps_apply_guards:
"possible_steps e s r l i = {|(s', t)|} ⇒
  apply_guards (Guards t) (join_ir i r)"
  ⟨proof⟩

```

```

lemma possible_steps_empty:
"(possible_steps e s r l i = {|}) = (∀((origin, dest), t) ∈ fset e. origin ≠ s ∨ Label t ≠ l ∨ ¬ can_take_tra
n i r)"
  ⟨proof⟩

```

```

lemma singleton_dest:
  assumes "fis_singleton (possible_steps e s r aa b)"
    and "fthe_elem (possible_steps e s r aa b) = (baa, aba)"
  shows "(s, baa), aba |∈| e"
  ⟨proof⟩

```

```

lemma no_outgoing_transitions:
"ffilter (λ((s', _), _) . s = s') e = {|} ⇒
possible_steps e s r l i = {|}"
  ⟨proof⟩

```

```

lemma ffilter_split: "ffilter (λ((origin, dest), t). origin = s ∧ Label t = l ∧ length i = Arity t ∧ apply_guard
(Guards t) (join_ir i r)) e =
  ffilter (λ((origin, dest), t). Label t = l ∧ length i = Arity t ∧ apply_guards (Guards
t) (join_ir i r)) (ffilter (λ((origin, dest), t). origin = s) e)"
  ⟨proof⟩

```

```

lemma one_outgoing_transition:
  defines "outgoing s ≡ (λ((origin, dest), t). origin = s)"
  assumes prem: "size (ffilter (outgoing s) e) = 1"
  shows "size (possible_steps e s r l i) ≤ 1"
  ⟨proof⟩

```

### 3.2.2 Choice

Here we define the choice operator which determines whether or not two transitions are nondeterministic.

```

definition choice :: "transition ⇒ transition ⇒ bool" where
  "choice t t' = (∃ i r. apply_guards (Guards t) (join_ir i r) ∧ apply_guards (Guards t') (join_ir i r))"

```

```

definition choice_alt :: "transition ⇒ transition ⇒ bool" where
  "choice_alt t t' = (∃ i r. apply_guards (Guards t@Guards t') (join_ir i r))"

```

```

lemma choice_alt: "choice t t' = choice_alt t t'"
  ⟨proof⟩

```

**lemma** choice\_symmetry: "choice x y = choice y x"  
 ⟨proof⟩

**definition** deterministic :: "transition\_matrix  $\Rightarrow$  bool" where  
 "deterministic e = ( $\forall$  s r l i. size (possible\_steps e s r l i)  $\leq$  1)"

**lemma** deterministic\_alt\_aux: "size (possible\_steps e s r l i)  $\leq$  1 = (  
 possible\_steps e s r l i = {}|}|  $\vee$   
 ( $\exists$  s' t.  
 ffilter  
 ( $\lambda$ ((origin, dest), t). origin = s  $\wedge$  Label t = l  $\wedge$  length i = Arity t  $\wedge$  apply\_guards (Guards  
 t) (join\_ir i r)) e =  
 {}|((s, s'), t)|})")  
 ⟨proof⟩

**lemma** deterministic\_alt: "deterministic e = (  
 $\forall$  s r l i.  
 possible\_steps e s r l i = {}|}|  $\vee$   
 ( $\exists$  s' t. ffilter ( $\lambda$ ((origin, dest), t). origin = s  $\wedge$  (Label t) = l  $\wedge$  (length i) = (Arity t)  $\wedge$  apply\_guards  
 (Guards t) (join\_ir i r)) e = {}|((s, s'), t)|})  
 )"   
 ⟨proof⟩

**lemma** size\_le\_1: "size f  $\leq$  1 = (f = {}|}|  $\vee$  ( $\exists$  e. f = {e|}))"  
 ⟨proof⟩

**lemma** ffilter\_empty\_if: " $\forall$  x | $\in$ | xs.  $\neg$  P x  $\implies$  ffilter P xs = {}|}|"  
 ⟨proof⟩

**lemma** empty\_ffilter: "ffilter P xs = {}|}| = ( $\forall$  x | $\in$ | xs.  $\neg$  P x)"  
 ⟨proof⟩

**lemma** all\_states\_deterministic:  
 " $\forall$  s l i r.  
 ffilter ( $\lambda$ ((origin, dest), t). origin = s  $\wedge$  (Label t) = l  $\wedge$  can\_take\_transition t i r) e = {}|}|  $\vee$   
 ( $\exists$  x. ffilter ( $\lambda$ ((origin, dest), t). origin = s  $\wedge$  (Label t) = l  $\wedge$  can\_take\_transition t i r) e = {x|})  
 )  $\implies$  deterministic e"  
 ⟨proof⟩

**lemma** deterministic\_finsert:  
 " $\forall$  i r l.  
 $\forall$  ((a, b), t) | $\in$ | ffilter ( $\lambda$ ((origin, dest), t). origin = s) (finsert ((s, s'), t') e).  
 Label t = l  $\wedge$  can\_take\_transition t i r  $\longrightarrow$   $\neg$  can\_take\_transition t' i r  $\implies$   
 deterministic e  $\implies$   
 deterministic (finsert ((s, s'), t') e)"  
 ⟨proof⟩

**lemma** ffilter\_fBall: " $\forall$  x | $\in$ | xs. P x) = (ffilter P xs = xs)"  
 ⟨proof⟩

**lemma** fsubset\_if: " $\forall$  x. x | $\in$ | f1  $\longrightarrow$  x | $\in$ | f2  $\implies$  f1 | $\subseteq$ | f2"  
 ⟨proof⟩

**lemma** in\_possible\_steps: " $\{((s, s'), t) | \in | e \wedge \text{Label } t = l \wedge \text{can\_take\_transition } t \text{ i r}\} = \{(s', t) | \in | \text{possible\_steps } e \text{ s r l i}\}"$   
 ⟨proof⟩

**lemma** possible\_steps\_can\_take\_transition:  
 "(s2, t1) | $\in$ | possible\_steps e1 s1 r l i  $\implies$  can\_take\_transition t1 i r"  
 ⟨proof⟩

**lemma** not\_deterministic:  
 " $\exists$  s l i r.

### 3 Models

```

 $\exists d1\ d2\ t1\ t2.$ 
   $d1 \neq d2 \wedge t1 \neq t2 \wedge$ 
   $((s, d1), t1) \in e \wedge$ 
   $((s, d2), t2) \in e \wedge$ 
   $Label\ t1 = Label\ t2 \wedge$ 
   $can\_take\_transition\ t1\ i\ r \wedge$ 
   $can\_take\_transition\ t2\ i\ r \implies$ 
 $\neg deterministic\ e$ 
<proof>

```

**lemma not\_deterministic\_conv:**

```

 $\neg deterministic\ e \implies$ 
 $\exists s\ i\ r.$ 
   $\exists d1\ d2\ t1\ t2.$ 
   $(d1 \neq d2 \vee t1 \neq t2) \wedge$ 
   $((s, d1), t1) \in e \wedge$ 
   $((s, d2), t2) \in e \wedge$ 
   $Label\ t1 = Label\ t2 \wedge$ 
   $can\_take\_transition\ t1\ i\ r \wedge$ 
   $can\_take\_transition\ t2\ i\ r$ 
<proof>

```

**lemma deterministic\_if:**

```

 $\#s\ i\ r.$ 
 $\exists d1\ d2\ t1\ t2.$ 
   $(d1 \neq d2 \vee t1 \neq t2) \wedge$ 
   $((s, d1), t1) \in e \wedge$ 
   $((s, d2), t2) \in e \wedge$ 
   $Label\ t1 = Label\ t2 \wedge$ 
   $can\_take\_transition\ t1\ i\ r \wedge$ 
   $can\_take\_transition\ t2\ i\ r \implies$ 
 $deterministic\ e$ 
<proof>

```

**lemma  $\forall i\ r.$**

```

 $(\forall ((s, s'), t) \in e. Label\ t = l \wedge can\_take\_transition\ t\ i\ r \wedge$ 
 $(\#t'\ s''. ((s, s''), t') \in e \wedge (s' \neq s'' \vee t' \neq t) \wedge Label\ t' = l \wedge can\_take\_transition\ t'\ i\ r))$ 
 $\implies deterministic\ e$ 
<proof>

```

**definition**  $outgoing\_transitions\ e\ s = ffilter\ (\lambda((o, \_), \_). o = s)\ e$

**lemma in\_outgoing:**  $((s1, s2), t) \in outgoing\_transitions\ e\ s \iff ((s1, s2), t) \in e \wedge s1 = s$   
 <proof>

**lemma outgoing\_transitions\_deterministic:**

```

 $\forall s.$ 
   $\forall ((s1, s2), t) \in outgoing\_transitions\ e\ s.$ 
   $\forall ((s1', s2'), t') \in outgoing\_transitions\ e\ s.$ 
   $s2 \neq s2' \vee t \neq t' \implies Label\ t = Label\ t' \implies \neg choice\ t\ t' \implies deterministic\ e$ 
<proof>

```

**lemma outgoing\_transitions\_deterministic2:**  $(\bigwedge s\ a\ b\ ba\ aa\ bb\ bc.$

```

   $((a, b), ba) \in outgoing\_transitions\ e\ s \implies$ 
   $((aa, bb), bc) \in (outgoing\_transitions\ e\ s) - \{((a, b), ba)\} \implies b \neq bb \vee ba \neq bc \implies \neg choice$ 
 $ba\ bc)$ 
 $\implies deterministic\ e$ 
<proof>

```

**lemma outgoing\_transitions\_fprod\_deterministic:**

```

 $(\bigwedge s\ b\ ba\ bb\ bc.$ 
 $((s, b), ba), ((s, bb), bc)) \in fset\ (outgoing\_transitions\ e\ s) \times fset\ (outgoing\_transitions\ e\ s)$ 
 $\implies b \neq bb \vee ba \neq bc \implies Label\ ba = Label\ bc \implies \neg choice\ ba\ bc)$ 

```

$\implies$  deterministic e"  
 (proof)

The `random_member` function returns a random member from a finite set, or `None`, if the set is empty.

**definition** `random_member` :: "'a fset  $\Rightarrow$  'a option" where  
 "random\_member f = (if f = {} then None else Some (Eps ( $\lambda x. x \in f$ )))"

**lemma** `random_member_nonempty`: "s  $\neq$  {} = (random\_member s  $\neq$  None)"  
 (proof)

**lemma** `random_member_singleton [simp]`: "random\_member {a} = Some a"  
 (proof)

**lemma** `random_member_is_member`:  
 "random\_member ss = Some s  $\implies$  s  $\in$  ss"  
 (proof)

**lemma** `random_member_None [simp]`: "random\_member ss = None = (ss = {})"  
 (proof)

**lemma** `random_member_empty [simp]`: "random\_member {} = None"  
 (proof)

**definition** `step` :: "transition\_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$  label  $\Rightarrow$  inputs  $\Rightarrow$  (transition  $\times$  cfstate  $\times$  outputs  $\times$  registers) option" where  
 "step e s r l i = (case random\_member (possible\_steps e s r l i) of  
   None  $\Rightarrow$  None |  
   Some (s', t)  $\Rightarrow$  Some (t, s', evaluate\_outputs t i r, evaluate\_updates t i r)  
 )"

**lemma** `possible_steps_not_empty_iff`:  
 "step e s r a b  $\neq$  None  $\implies$   
 $\exists$  aa ba. (aa, ba)  $\in$  possible\_steps e s r a b"  
 (proof)

**lemma** `step_member`: "step e s r l i = Some (t, s', p, r')  $\implies$  (s', t)  $\in$  possible\_steps e s r l i"  
 (proof)

**lemma** `step_outputs`: "step e s r l i = Some (t, s', p, r')  $\implies$  evaluate\_outputs t i r = p"  
 (proof)

**lemma** `step`:  
 "possibilities = (possible\_steps e s r l i)  $\implies$   
 random\_member possibilities = Some (s', t)  $\implies$   
 evaluate\_outputs t i r = p  $\implies$   
 evaluate\_updates t i r = r'  $\implies$   
 step e s r l i = Some (t, s', p, r')"  
 (proof)

**lemma** `step_None`: "step e s r l i = None = (possible\_steps e s r l i = {})"  
 (proof)

**lemma** `step_Some`: "step e s r l i = Some (t, s', p, r') =  
 (  
   random\_member (possible\_steps e s r l i) = Some (s', t)  $\wedge$   
   evaluate\_outputs t i r = p  $\wedge$   
   evaluate\_updates t i r = r'  
 )"
 (proof)

**lemma** `no_possible_steps_1`:  
 "possible\_steps e s r l i = {}  $\implies$  step e s r l i = None"  
 (proof)

### 3.2.3 Execution Observation

One of the key features of this formalisation of EFSMs is their ability to produce *outputs*, which represent function return values. When action sequences are executed in an EFSM, they produce a corresponding *observation*.

```

fun observe_execution :: "transition_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$  execution  $\Rightarrow$  outputs list" where
  "observe_execution _ _ _ [] = []" |
  "observe_execution e s r ((l, i)#as) = (
    let viable = possible_steps e s r l i in
    if viable = {} then
      []
    else
      let (s', t) = Eps ( $\lambda$ x. x | $\in$ | viable) in
      (evaluate_outputs t i r)#(observe_execution e s' (evaluate_updates t i r) as)
  )"

```

```

lemma observe_execution_step_def: "observe_execution e s r ((l, i)#as) = (
  case step e s r l i of
    None  $\Rightarrow$  [] |
    Some (t, s', p, r')  $\Rightarrow$  p#(observe_execution e s' r' as)
)"
<proof>

```

```

lemma observe_execution_first_outputs_equiv:
  "observe_execution e1 s1 r1 ((l, i) # ts) = observe_execution e2 s2 r2 ((l, i) # ts)  $\implies$ 
  step e1 s1 r1 l i = Some (t, s', p, r')  $\implies$ 
   $\exists$  (s2', t2) | $\in$ |possible_steps e2 s2 r2 l i. evaluate_outputs t2 i r2 = p"
<proof>

```

```

lemma observe_execution_step:
  "step e s r (fst h) (snd h) = Some (t, s', p, r')  $\implies$ 
  observe_execution e s' r' es = obs  $\implies$ 
  observe_execution e s r (h#es) = p#obs"
<proof>

```

```

lemma observe_execution_possible_step:
  "possible_steps e s r (fst h) (snd h) = {(s', t)}  $\implies$ 
  apply_outputs (Outputs t) (join_ir (snd h) r) = p  $\implies$ 
  apply_updates (Updates t) (join_ir (snd h) r) r = r'  $\implies$ 
  observe_execution e s' r' es = obs  $\implies$ 
  observe_execution e s r (h#es) = p#obs"
<proof>

```

```

lemma observe_execution_no_possible_step:
  "possible_steps e s r (fst h) (snd h) = {}  $\implies$ 
  observe_execution e s r (h#es) = []"
<proof>

```

```

lemma observe_execution_no_possible_steps:
  "possible_steps e1 s1 r1 (fst h) (snd h) = {}  $\implies$ 
  possible_steps e2 s2 r2 (fst h) (snd h) = {}  $\implies$ 
  (observe_execution e1 s1 r1 (h#t)) = (observe_execution e2 s2 r2 (h#t))"
<proof>

```

```

lemma observe_execution_one_possible_step:
  "possible_steps e1 s1 r (fst h) (snd h) = {(s1', t1)}  $\implies$ 
  possible_steps e2 s2 r (fst h) (snd h) = {(s2', t2)}  $\implies$ 
  apply_outputs (Outputs t1) (join_ir (snd h) r) = apply_outputs (Outputs t2) (join_ir (snd h) r)  $\implies$ 
  apply_updates (Updates t1) (join_ir (snd h) r) r = r'  $\implies$ 
  apply_updates (Updates t2) (join_ir (snd h) r) r = r'  $\implies$ 
  (observe_execution e1 s1' r' t) = (observe_execution e2 s2' r' t)  $\implies$ 
  (observe_execution e1 s1 r (h#t)) = (observe_execution e2 s2 r (h#t))"

```

*(proof)*

### Utilities

Here we define some utility functions to access the various key properties of a given EFSM.

```
definition max_reg :: "transition_matrix  $\Rightarrow$  nat option" where
  "max_reg e = (let maxes = (fimage ( $\lambda$ _, t). Transition.max_reg t) e) in if maxes = {} then None else
  fMax maxes)"
```

```
definition enumerate_ints :: "transition_matrix  $\Rightarrow$  int set" where
  "enumerate_ints e =  $\bigcup$  (image ( $\lambda$ _, t). Transition.enumerate_ints t) (fset e))"
```

```
definition max_int :: "transition_matrix  $\Rightarrow$  int" where
  "max_int e = Max (insert 0 (enumerate_ints e))"
```

```
definition max_output :: "transition_matrix  $\Rightarrow$  nat" where
  "max_output e = fMax (fimage ( $\lambda$ _, t). length (Outputs t)) e)"
```

```
definition all_regs :: "transition_matrix  $\Rightarrow$  nat set" where
  "all_regs e =  $\bigcup$  (image ( $\lambda$ _, t). enumerate_regs t) (fset e))"
```

```
lemma finite_all_regs: "finite (all_regs e)"
```

*(proof)*

```
definition max_input :: "transition_matrix  $\Rightarrow$  nat option" where
  "max_input e = fMax (fimage ( $\lambda$ _, t). Transition.max_input t) e)"
```

```
fun maxS :: "transition_matrix  $\Rightarrow$  nat" where
  "maxS t = (if t = {} then 0 else fMax ((fimage ( $\lambda$ ((origin, dest), t). origin) t)  $\cup$  (fimage ( $\lambda$ ((origin,
  dest), t). dest) t)))"
```

### 3.2.4 Execution Recognition

The `recognises` function returns true if the given EFSM recognises a given execution. That is, the EFSM is able to respond to each event in sequence. There is no restriction on the outputs produced. When a recognised execution is observed, it produces an accepted trace of the EFSM.

```
inductive recognises_execution :: "transition_matrix  $\Rightarrow$  nat  $\Rightarrow$  registers  $\Rightarrow$  execution  $\Rightarrow$  bool" where
  base [simp]: "recognises_execution e s r []" |
  step: " $\exists$  (s', T)  $\in$  possible_steps e s r l i.
  recognises_execution e s' (evaluate_updates T i r) t  $\implies$ 
  recognises_execution e s r ((l, i)#t)"
```

```
abbreviation "recognises e t  $\equiv$  recognises_execution e 0  $\langle$  t"
```

```
definition "E e = {x. recognises e x}"
```

```
lemma no_possible_steps_rejects:
  "possible_steps e s r l i = {}  $\implies$   $\neg$  recognises_execution e s r ((l, i)#t)"
(proof)
```

```
lemma recognises_step_equiv: "recognises_execution e s r ((l, i)#t) =
  ( $\exists$  (s', T)  $\in$  possible_steps e s r l i. recognises_execution e s' (evaluate_updates T i r) t)"
(proof)
```

```
fun recognises_prim :: "transition_matrix  $\Rightarrow$  nat  $\Rightarrow$  registers  $\Rightarrow$  execution  $\Rightarrow$  bool" where
  "recognises_prim e s r [] = True" |
  "recognises_prim e s r ((l, i)#t) = (
  let poss_steps = possible_steps e s r l i in
  ( $\exists$  (s', T)  $\in$  poss_steps. recognises_prim e s' (evaluate_updates T i r) t)
  )"

```

### 3 Models

**lemma recognises\_prim** [code]: "recognises\_execution e s r t = recognises\_prim e s r t"  
 ⟨proof⟩

**lemma recognises\_single\_possible\_step**:  
 assumes "possible\_steps e s r l i = {(s', t)}"  
 and "recognises\_execution e s' (evaluate\_updates t i r) trace"  
 shows "recognises\_execution e s r ((l, i)#trace)"  
 ⟨proof⟩

**lemma recognises\_single\_possible\_step\_atomic**:  
 assumes "possible\_steps e s r (fst h) (snd h) = {(s', t)}"  
 and "recognises\_execution e s' (apply\_updates (Updates t) (join\_ir (snd h) r) r) trace"  
 shows "recognises\_execution e s r (h#trace)"  
 ⟨proof⟩

**lemma recognises\_must\_be\_possible\_step**:  
 "recognises\_execution e s r (h # t)  $\implies$   
 $\exists$  aa ba. (aa, ba)  $\in$  possible\_steps e s r (fst h) (snd h)"  
 ⟨proof⟩

**lemma recognises\_possible\_steps\_not\_empty**:  
 "recognises\_execution e s r (h#t)  $\implies$  possible\_steps e s r (fst h) (snd h)  $\neq$  {}"  
 ⟨proof⟩

**lemma recognises\_must\_be\_step**:  
 "recognises\_execution e s r (h#ts)  $\implies$   
 $\exists$  t s' p d'. step e s r (fst h) (snd h) = Some (t, s', p, d)"  
 ⟨proof⟩

**lemma recognises\_cons\_step**:  
 "recognises\_execution e s r (h # t)  $\implies$  step e s r (fst h) (snd h)  $\neq$  None"  
 ⟨proof⟩

**lemma no\_step\_none**:  
 "step e s r aa ba = None  $\implies$   $\neg$  recognises\_execution e s r ((aa, ba) # p)"  
 ⟨proof⟩

**lemma step\_none\_rejects**:  
 "step e s r (fst h) (snd h) = None  $\implies$   $\neg$  recognises\_execution e s r (h#t)"  
 ⟨proof⟩

**lemma trace\_reject**:  
 " $(\neg$  recognises\_execution e s r ((l, i)#t)) = (possible\_steps e s r l i = {}  $\vee$   $(\forall$  (s', T)  $\in$  possible\_steps e s r l i.  $\neg$  recognises\_execution e s' (evaluate\_updates T i r) t))"  
 ⟨proof⟩

**lemma trace\_reject\_no\_possible\_steps\_atomic**:  
 "possible\_steps e s r (fst a) (snd a) = {}  $\implies$   $\neg$  recognises\_execution e s r (a#t)"  
 ⟨proof⟩

**lemma trace\_reject\_later**:  
 " $\forall$  (s', T)  $\in$  possible\_steps e s r l i.  $\neg$  recognises\_execution e s' (evaluate\_updates T i r) t  $\implies$   
 $\neg$  recognises\_execution e s r ((l, i)#t)"  
 ⟨proof⟩

**lemma recognition\_prefix\_closure**: "recognises\_execution e s r (t@t')  $\implies$  recognises\_execution e s r t"  
 ⟨proof⟩

**lemma rejects\_prefix**: " $\neg$  recognises\_execution e s r t  $\implies$   $\neg$  recognises\_execution e s r (t @ t)"  
 ⟨proof⟩

**lemma recognises\_head**: "recognises\_execution e s r (h#t)  $\implies$  recognises\_execution e s r [h]"  
 ⟨proof⟩



## Trace Acceptance

The `accepts` function returns true if the given EFSM accepts a given trace. That is, the EFSM is able to respond to each event in sequence *and* is able to produce the expected output. Accepted traces represent valid runs of an EFSM.

```
inductive accepts_trace :: "transition_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$  trace  $\Rightarrow$  bool" where
  base [simp]: "accepts_trace e s r []" |
  step: " $\exists (s', T) \mid \in \mid$  possible_steps e s r l i.
    evaluate_outputs T i r = map Some p  $\wedge$  accepts_trace e s' (evaluate_updates T i r) t  $\implies$ 
    accepts_trace e s r ((l, i, p)#t)"
```

```
definition T :: "transition_matrix  $\Rightarrow$  trace set" where
  "T e = {t. accepts_trace e 0 <> t}"
```

```
abbreviation "rejects_trace e s r t  $\equiv$   $\neg$  accepts_trace e s r t"
```

```
lemma accepts_trace_step:
  "accepts_trace e s r ((l, i, p)#t) = ( $\exists (s', T) \mid \in \mid$  possible_steps e s r l i.
    evaluate_outputs T i r = map Some p  $\wedge$ 
    accepts_trace e s' (evaluate_updates T i r) t)"
  <proof>
```

```
lemma accepts_trace_exists_possible_step:
  "accepts_trace e1 s1 r1 ((aa, b, c) # t)  $\implies$ 
   $\exists (s1', t1) \mid \in \mid$  possible_steps e1 s1 r1 aa b.
    evaluate_outputs t1 b r1 = map Some c"
  <proof>
```

```
lemma rejects_trace_step:
  "rejects_trace e s r ((l, i, p)#t) = (
  ( $\forall (s', T) \mid \in \mid$  possible_steps e s r l i. evaluate_outputs T i r  $\neq$  map Some p  $\vee$  rejects_trace e s' (evaluate_updates T i r) t)
  )"
  <proof>
```

```
definition accepts_log :: "trace set  $\Rightarrow$  transition_matrix  $\Rightarrow$  bool" where
  "accepts_log l e = ( $\forall t \in l$ . accepts_trace e 0 <> t)"
```

```
lemma prefix_closure: "accepts_trace e s r (t@t')  $\implies$  accepts_trace e s r t"
```

```
<proof>
```

For code generation, it is much more efficient to re-implement the `accepts_trace` function primitively than to use the code generator's default setup for inductive definitions.

```
fun accepts_trace_prim :: "transition_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$  trace  $\Rightarrow$  bool" where
  "accepts_trace_prim _ _ _ [] = True" |
  "accepts_trace_prim e s r ((l, i, p)#t) = (
  let poss_steps = possible_steps e s r l i in
  if fis_singleton poss_steps then
    let (s', T) = fthe_elem poss_steps in
    if evaluate_outputs T i r = map Some p then
      accepts_trace_prim e s' (evaluate_updates T i r) t
    else False
  else
    ( $\exists (s', T) \mid \in \mid$  poss_steps.
      evaluate_outputs T i r = (map Some p)  $\wedge$ 
      accepts_trace_prim e s' (evaluate_updates T i r) t))"
```

```
lemma accepts_trace_prim [code]: "accepts_trace e s r l = accepts_trace_prim e s r l"
  <proof>
```

### 3.2.5 EFSM Comparison

Here, we define some different metrics of EFSM equality.

#### State Isomorphism

Two EFSMs are isomorphic with respect to states if there exists a bijective function between the state names of the two EFSMs, i.e. the only difference between the two models is the way the states are indexed.

```
definition isomorphic :: "transition_matrix  $\Rightarrow$  transition_matrix  $\Rightarrow$  bool" where
  "isomorphic e1 e2 = ( $\exists$ f. bij f  $\wedge$  ( $\forall$ ((s1, s2), t) | $\in$ | e1. ((f s1, f s2), t) | $\in$ | e2))"
```

#### Register Isomorphism

Two EFSMs are isomorphic with respect to registers if there exists a bijective function between the indices of the registers in the two EFSMs, i.e. the only difference between the two models is the way the registers are indexed.

```
definition rename_regs :: "(nat  $\Rightarrow$  nat)  $\Rightarrow$  transition_matrix  $\Rightarrow$  transition_matrix" where
  "rename_regs f e = fimage ( $\lambda$ (tf, t). (tf, Transition.rename_regs f t)) e"
```

```
definition eq_upto_rename_strong :: "transition_matrix  $\Rightarrow$  transition_matrix  $\Rightarrow$  bool" where
  "eq_upto_rename_strong e1 e2 = ( $\exists$ f. bij f  $\wedge$  rename_regs f e1 = e2)"
```

#### Trace Simulation

An EFSM,  $e_1$  simulates another EFSM  $e_2$  if there is a function between the states of the states of  $e_1$  and  $e_1$  such that in each state, if  $e_1$  can respond to the event and produce the correct output, so can  $e_2$ .

```
inductive trace_simulation :: "(cfstate  $\Rightarrow$  cfstate)  $\Rightarrow$  transition_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$ 
transition_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$  trace  $\Rightarrow$  bool" where
  base: "s2 = f s1  $\implies$  trace_simulation f e1 s1 r1 e2 s2 r2 []" |
  step: "s2 = f s1  $\implies$ 
     $\forall$ (s1', t1) | $\in$ | ffilter ( $\lambda$ (s1', t1). evaluate_outputs t1 i r1 = map Some o) (possible_steps e1
s1 r1 l i).
     $\exists$ (s2', t2) | $\in$ | possible_steps e2 s2 r2 l i. evaluate_outputs t2 i r2 = map Some o  $\wedge$ 
    trace_simulation f e1 s1' (evaluate_updates t1 i r1) e2 s2' (evaluate_updates t2 i r2) es  $\implies$ 
    trace_simulation f e1 s1 r1 e2 s2 r2 ((l, i, o)#es)"
```

**lemma trace\_simulation\_step:**

```
"trace_simulation f e1 s1 r1 e2 s2 r2 ((l, i, o)#es) = (
  (s2 = f s1)  $\wedge$  ( $\forall$ (s1', t1) | $\in$ | ffilter ( $\lambda$ (s1', t1). evaluate_outputs t1 i r1 = map Some o) (possible_steps
e1 s1 r1 l i).
  ( $\exists$ (s2', t2) | $\in$ | possible_steps e2 s2 r2 l i. evaluate_outputs t2 i r2 = map Some o  $\wedge$ 
  trace_simulation f e1 s1' (evaluate_updates t1 i r1) e2 s2' (evaluate_updates t2 i r2) es))
)"
<proof>
```

**lemma trace\_simulation\_step\_none:**

```
"s2 = f s1  $\implies$ 
   $\nexists$ (s1', t1) | $\in$ | possible_steps e1 s1 r1 l i. evaluate_outputs t1 i r1 = map Some o  $\implies$ 
  trace_simulation f e1 s1 r1 e2 s2 r2 ((l, i, o)#es)"
<proof>
```

**definition "trace\_simulates e1 e2 = ( $\exists$ f.  $\forall$ t. trace\_simulation f e1 0 <> e2 0 <> t)"**

**lemma rejects\_trace\_simulation:**

```
"rejects_trace e2 s2 r2 t  $\implies$ 
  accepts_trace e1 s1 r1 t  $\implies$ 
   $\neg$ trace_simulation f e1 s1 r1 e2 s2 r2 t"
<proof>
```

**lemma accepts\_trace\_simulation:**

```

"accepts_trace e1 s1 r1 t  $\implies$ 
  trace_simulation f e1 s1 r1 e2 s2 r2 t  $\implies$ 
  accepts_trace e2 s2 r2 t"
<proof>

```

```

lemma simulates_trace_subset: "trace_simulates e1 e2  $\implies$  T e1  $\subseteq$  T e2"
<proof>

```

### Trace Equivalence

Two EFSMs are trace equivalent if they accept the same traces. This is the intuitive definition of “observable equivalence” between the behaviours of the two models. If two EFSMs are trace equivalent, there is no trace which can distinguish the two.

```

definition "trace_equivalent e1 e2 = (T e1 = T e2)"

```

```

lemma simulation_implies_trace_equivalent:
  "trace_simulates e1 e2  $\implies$  trace_simulates e2 e1  $\implies$  trace_equivalent e1 e2"
<proof>

```

```

lemma trace_equivalent_reflexive: "trace_equivalent e1 e1"
<proof>

```

```

lemma trace_equivalent_symmetric:
  "trace_equivalent e1 e2 = trace_equivalent e2 e1"
<proof>

```

```

lemma trace_equivalent_transitive:
  "trace_equivalent e1 e2  $\implies$ 
  trace_equivalent e2 e3  $\implies$ 
  trace_equivalent e1 e3"
<proof>

```

Two EFSMs are trace equivalent if they accept the same traces.

```

lemma trace_equivalent:
  " $\forall t.$  accepts_trace e1 0 <> t = accepts_trace e2 0 <> t  $\implies$  trace_equivalent e1 e2"
<proof>

```

```

lemma accepts_trace_step_2: "(s2', t2) | $\in$ | possible_steps e2 s2 r2 l i  $\implies$ 
  accepts_trace e2 s2' (evaluate_updates t2 i r2) t  $\implies$ 
  evaluate_outputs t2 i r2 = map Some p  $\implies$ 
  accepts_trace e2 s2 r2 ((l, i, p)#t)"
<proof>

```

### Execution Simulation

Execution simulation is similar to trace simulation but for executions rather than traces. Execution simulation has no notion of “expected” output. It simply requires that the simulating EFSM must be able to produce equivalent output for each action.

```

inductive execution_simulation :: "(cfstate  $\Rightarrow$  cfstate)  $\Rightarrow$  transition_matrix  $\Rightarrow$  cfstate  $\Rightarrow$ 
  registers  $\Rightarrow$  transition_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$  execution  $\Rightarrow$  bool" where
  base: "s2 = f s1  $\implies$  execution_simulation f e1 s1 r1 e2 s2 r2 []" |
  step: "s2 = f s1  $\implies$ 
     $\forall$  (s1', t1) | $\in$ | (possible_steps e1 s1 r1 l i).
       $\exists$  (s2', t2) | $\in$ | possible_steps e2 s2 r2 l i.
        evaluate_outputs t1 i r1 = evaluate_outputs t2 i r2  $\wedge$ 
        execution_simulation f e1 s1' (evaluate_updates t1 i r1) e2 s2' (evaluate_updates t2 i r2) es
 $\implies$ 
    execution_simulation f e1 s1 r1 e2 s2 r2 ((l, i)#es)"

```

**definition** "execution\_simulates e1 e2 = ( $\exists f. \forall t. \text{execution\_simulation } f \text{ e1 } 0 \langle \rangle e2 \text{ } 0 \langle \rangle t$ )"

**lemma** execution\_simulation\_step:

"execution\_simulation f e1 s1 r1 e2 s2 r2 ((l, i)#es) =  
 (s2 = f s1  $\wedge$   
 $\forall (s1', t1) \in | \text{possible\_steps } e1 \text{ s1 r1 l i} |.$   
 $\exists (s2', t2) \in | \text{possible\_steps } e2 \text{ s2 r2 l i} |. \text{evaluate\_outputs } t1 \text{ i r1} = \text{evaluate\_outputs } t2 \text{ i r2}$   
 $\wedge$   
 execution\_simulation f e1 s1' (evaluate\_updates t1 i r1) e2 s2' (evaluate\_updates t2 i r2) es))  
 )" *<proof>*

**lemma** execution\_simulation\_trace\_simulation:

"execution\_simulation f e1 s1 r1 e2 s2 r2 (map ( $\lambda(l, i, o). (l, i)$ ) t)  $\implies$   
 trace\_simulation f e1 s1 r1 e2 s2 r2 t"

*<proof>*

**lemma** execution\_simulates\_trace\_simulates:

"execution\_simulates e1 e2  $\implies$  trace\_simulates e1 e2"

*<proof>*

### Executorial Equivalence

Two EFSMs are executionally equivalent if there is no execution which can distinguish between the two. That is, for every execution, they must produce equivalent outputs.

**inductive** executionally\_equivalent :: "transition\_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$

transition\_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$  execution  $\Rightarrow$  bool" **where**

base [simp]: "executionally\_equivalent e1 s1 r1 e2 s2 r2 []" |

step: " $\forall (s1', t1) \in | \text{possible\_steps } e1 \text{ s1 r1 l i} |.$

$\exists (s2', t2) \in | \text{possible\_steps } e2 \text{ s2 r2 l i} |.$

evaluate\_outputs t1 i r1 = evaluate\_outputs t2 i r2  $\wedge$

executionally\_equivalent e1 s1' (evaluate\_updates t1 i r1) e2 s2' (evaluate\_updates t2 i r2)

es  $\implies$

$\forall (s2', t2) \in | \text{possible\_steps } e2 \text{ s2 r2 l i} |.$

$\exists (s1', t1) \in | \text{possible\_steps } e1 \text{ s1 r1 l i} |.$

evaluate\_outputs t1 i r1 = evaluate\_outputs t2 i r2  $\wedge$

executionally\_equivalent e1 s1' (evaluate\_updates t1 i r1) e2 s2' (evaluate\_updates t2 i r2)

es  $\implies$

executionally\_equivalent e1 s1 r1 e2 s2 r2 ((l, i)#es)"

**lemma** executionally\_equivalent\_step:

"executionally\_equivalent e1 s1 r1 e2 s2 r2 ((l, i)#es) = ( $\forall (s1', t1) \in | \text{possible\_steps } e1 \text{ s1 r1 l i} |.$  ( $\exists (s2', t2) \in | \text{possible\_steps } e2 \text{ s2 r2 l i} |. \text{evaluate\_outputs } t1 \text{ i r1} = \text{evaluate\_outputs } t2 \text{ i r2} \wedge$

executionally\_equivalent e1 s1' (evaluate\_updates t1 i r1) e2 s2' (evaluate\_updates t2 i r2) es))  $\wedge$

( $\forall (s2', t2) \in | \text{possible\_steps } e2 \text{ s2 r2 l i} |.$  ( $\exists (s1', t1) \in | \text{possible\_steps } e1 \text{ s1 r1 l i} |. \text{evaluate\_outputs } t1 \text{ i r1} = \text{evaluate\_outputs } t2 \text{ i r2} \wedge$

executionally\_equivalent e1 s1' (evaluate\_updates t1 i r1) e2 s2' (evaluate\_updates t2 i r2) es)))"

*<proof>*

**lemma** execution\_end:

"possible\_steps e1 s1 r1 l i = {}  $\implies$

possible\_steps e2 s2 r2 l i = {}  $\implies$

executionally\_equivalent e1 s1 r1 e2 s2 r2 ((l, i)#es)"

*<proof>*

**lemma** possible\_steps\_disparity:

"possible\_steps e1 s1 r1 l i  $\neq$  {}  $\implies$

possible\_steps e2 s2 r2 l i = {}  $\implies$

$\neg$ executionally\_equivalent e1 s1 r1 e2 s2 r2 ((l, i)#es)"

*<proof>*

**lemma** *executionally\_equivalent\_acceptance\_map:*

"executionally\_equivalent e1 s1 r1 e2 s2 r2 (map ( $\lambda(l, i, o). (l, i)$ ) t)  $\implies$   
 accepts\_trace e2 s2 r2 t = accepts\_trace e1 s1 r1 t"

*<proof>*

**lemma** *executionally\_equivalent\_acceptance:*

" $\forall x. \text{executionally\_equivalent } e1 \ s1 \ r1 \ e2 \ s2 \ r2 \ x \implies \text{accepts\_trace } e1 \ s1 \ r1 \ t \implies \text{accepts\_trace } e2 \ s2 \ r2 \ t$ "

*<proof>*

**lemma** *executionally\_equivalent\_trace\_equivalent:*

" $\forall x. \text{executionally\_equivalent } e1 \ 0 \ \langle \rangle \ e2 \ 0 \ \langle \rangle \ x \implies \text{trace\_equivalent } e1 \ e2$ "

*<proof>*

**lemma** *executionally\_equivalent\_symmetry:*

"executionally\_equivalent e1 s1 r1 e2 s2 r2 x  $\implies$   
 executionally\_equivalent e2 s2 r2 e1 s1 r1 x"

*<proof>*

**lemma** *executionally\_equivalent\_transitivity:*

"executionally\_equivalent e1 s1 r1 e2 s2 r2 x  $\implies$   
 executionally\_equivalent e2 s2 r2 e3 s3 r3 x  $\implies$   
 executionally\_equivalent e1 s1 r1 e3 s3 r3 x"

*<proof>*

### 3.2.6 Reachability

Here, we define the function *visits* which returns true if the given execution leaves the given EFSM in the given state.

**inductive** *visits* :: "cfstate  $\Rightarrow$  transition\_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$  execution  $\Rightarrow$  bool" **where**

base [*simp*]: "visits s e s r []" |

step: " $\exists (s', T) \mid \in \text{possible\_steps } e \ s \ r \ l \ i. \text{visits target } e \ s' \ (\text{evaluate\_updates } T \ i \ r) \ t \implies$   
 visits target e s r ((l, i)#t)"

**definition** "reachable s e = ( $\exists t. \text{visits } s \ e \ 0 \ \langle \rangle \ t$ )"

**lemma** *no\_further\_steps:*

"s  $\neq$  s'  $\implies \neg \text{visits } s \ e \ s' \ r \ []$ "

*<proof>*

**lemma** *visits\_base:* "visits target e s r [] = (s = target)"

*<proof>*

**lemma** *visits\_step:*

"visits target e s r (h#t) = ( $\exists (s', T) \mid \in \text{possible\_steps } e \ s \ r \ (\text{fst } h) \ (\text{snd } h). \text{visits target } e \ s' \ (\text{evaluate\_updates } T \ (\text{snd } h) \ r) \ t$ )"

*<proof>*

**lemma** *reachable\_initial:* "reachable 0 e"

*<proof>*

**lemma** *visits\_finsert:*

"visits s e s' r t  $\implies \text{visits } s \ (\text{finsert } ((aa, ba), b) \ e) \ s' \ r \ t$ "

*<proof>*

**lemma** *reachable\_finsert:*

"reachable s e  $\implies \text{reachable } s \ (\text{finsert } ((aa, ba), b) \ e)$ "

*<proof>*

### 3 Models

**lemma** `reachable_finsert_contra`:

" $\neg$  reachable  $s$  (finsert ((aa, ba), b) e)  $\implies$   $\neg$ reachable  $s$  e"  
 <proof>

**lemma** `visits_empty`: "visits  $s$  e  $s'$  r [] = (s = s')"

<proof>

**definition** "remove\_state  $s$  e = ffilter ( $\lambda((\text{from}, \text{to}), t). \text{from} \neq s \wedge \text{to} \neq s$ ) e"

**inductive** "obtains" :: "cfstate  $\Rightarrow$  registers  $\Rightarrow$  transition\_matrix  $\Rightarrow$  cfstate  $\Rightarrow$  registers  $\Rightarrow$  execution  $\Rightarrow$  bool" **where**

base [simp]: "obtains  $s$  r e  $s'$  r []" |

step: " $\exists (s'', T) \in$  possible\_steps e  $s'$  r' l i. obtains  $s$  r e  $s''$  (evaluate\_updates T i r') t  $\implies$  obtains  $s$  r e  $s'$  r' ((l, i)#t)"

**definition** "obtainable  $s$  r e = ( $\exists t$ . obtains  $s$  r e 0 <> t)"

**lemma** `obtains_obtainable`:

"obtains  $s$  r e 0 <> t  $\implies$  obtainable  $s$  r e"  
 <proof>

**lemma** `obtains_base`: "obtains  $s$  r e  $s'$  r' [] = (s = s'  $\wedge$  r = r')"

<proof>

**lemma** `obtains_step`: "obtains  $s$  r e  $s'$  r' ((l, i)#t) = ( $\exists (s'', T) \in$  possible\_steps e  $s'$  r' l i. obtains  $s$  r e  $s''$  (evaluate\_updates T i r') t)"

<proof>

**lemma** `obtains_recognises`:

"obtains  $s$  c e  $s'$  r t  $\implies$  recognises\_execution e  $s'$  r t"  
 <proof>

**lemma** `ex_comm4`:

"( $\exists c1$  s a b. (a, b)  $\in$  fset (possible\_steps e  $s'$  r l i)  $\wedge$  obtains s c1 e a (evaluate\_updates b i r) t) = ( $\exists a$  b s c1. (a, b)  $\in$  fset (possible\_steps e  $s'$  r l i)  $\wedge$  obtains s c1 e a (evaluate\_updates b i r) t)"  
 <proof>

**lemma** `recognises_execution_obtains`:

"recognises\_execution e  $s'$  r t  $\implies$   $\exists c1$  s. obtains s c1 e  $s'$  r t"  
 <proof>

**lemma** `obtainable_empty_efsm`:

"obtainable s c {} = (s=0  $\wedge$  c = <>)"  
 <proof>

**lemma** `obtains_visits`: "obtains  $s$  r e  $s'$  r' t  $\implies$  visits  $s$  e  $s'$  r' t"

<proof>

**lemma** `unobtainable_if`: " $\neg$  visits  $s$  e  $s'$  r' t  $\implies$   $\neg$  obtains  $s$  r e  $s'$  r' t"

<proof>

**lemma** `obtainable_if_unreachable`: " $\neg$ reachable  $s$  e  $\implies$   $\neg$ obtainable  $s$  r e"

<proof>

**lemma** `obtains_step_append`:

"obtains  $s$  r e  $s'$  r' t  $\implies$  ( $s'', ta$ )  $\in$  possible\_steps e  $s$  r l i  $\implies$  obtains  $s''$  (evaluate\_updates ta i r) e  $s'$  r' (t @ [(l, i)])"

<proof>

**lemma** `reachable_if_obtainable_step`:

"obtainable  $s$  r e  $\implies$   $\exists l$  i t. ( $s', t$ )  $\in$  possible\_steps e  $s$  r l i  $\implies$  reachable  $s'$  e"  
 <proof>

```

lemma possible_steps_remove_unreachable:
  "obtainable s r e  $\implies$ 
   $\neg$  reachable s' e  $\implies$ 
  possible_steps (remove_state s' e) s r l i = possible_steps e s r l i"
  <proof>
lemma executionally_equivalent_remove_unreachable_state_arbitrary:
  "obtainable s r e  $\implies$   $\neg$  reachable s' e  $\implies$  executionally_equivalent e s r (remove_state s' e) s r x"
  <proof>
lemma executionally_equivalent_remove_unreachable_state:
  " $\neg$  reachable s' e  $\implies$  executionally_equivalent e 0 <> (remove_state s' e) 0 <> x"
  <proof>

```

### 3.2.7 Transition Replacement

Here, we define the function `replace` to replace one transition with another, and prove some of its properties.

```

definition "replace e1 old new = fimage ( $\lambda$ x. if x = old then new else x) e1"

```

```

lemma replace_finsert:
  "replace (finsert ((aaa, baa), b) e1) old new = (if ((aaa, baa), b) = old then (finsert new (replace e1 old new)) else (finsert ((aaa, baa), b) (replace e1 old new)))"
  <proof>

```

```

lemma possible_steps_replace_unchanged:
  " $((s, aa), ba) \neq ((s1, s2), t1) \implies$ 
   $(aa, ba) \in I \mid$  possible_steps e1 s r l i  $\implies$ 
   $(aa, ba) \in I \mid$  possible_steps (replace e1 ((s1, s2), t1) ((s1, s2), t2)) s r l i"
  <proof>

```

end

## 3.3 LTL for EFSMs (EFSM\_LTL)

This theory builds off the `Linear_Temporal_Logic_on_Streams` theory from the HOL library and defines functions to ease the expression of LTL properties over EFSMs. Since the LTL operators effectively act over traces of models we must find a way to express models as streams.

```

theory EFSM_LTL
imports "Extended_Finite_State_Machines.EFSM" "HOL-Library.Linear_Temporal_Logic_on_Streams"
begin
record state =
  statename :: "nat option"
  datastate :: registers
  action :: action
  "output" :: outputs

type_synonym whitebox_trace = "state stream"

type_synonym property = "whitebox_trace  $\Rightarrow$  bool"

abbreviation label :: "state  $\Rightarrow$  String.literal" where
  "label s  $\equiv$  fst (action s)"

abbreviation inputs :: "state  $\Rightarrow$  value list" where
  "inputs s  $\equiv$  snd (action s)"

fun ltl_step :: "transition_matrix  $\Rightarrow$  cfstate option  $\Rightarrow$  registers  $\Rightarrow$  action  $\Rightarrow$  (nat option  $\times$  outputs  $\times$  registers)" where
  "ltl_step _ None r _ = (None, [], r)" |
  "ltl_step e (Some s) r (l, i) = (let possibilities = possible_steps e s r l i in

```

```

    if possibilities = {} then (None, [], r)
    else
      let (s', t) = Eps ( $\lambda x. x \in$  possibilities) in
        (Some s', (evaluate_outputs t i r), (evaluate_updates t i r))
  )"

```

**lemma ltl\_step\_singleton:**

```

" $\exists t. \text{possible\_steps } e \ n \ r \ (fst \ v) \ (snd \ v) = \{(aa, t)\} \wedge \text{evaluate\_outputs } t \ (snd \ v) \ r = b \wedge \text{evaluate\_updates } t \ (snd \ v) \ r = c \implies$ 
ltl\_step e (Some n) r v = (Some aa, b, c)"
<proof>

```

**lemma ltl\_step\_none:** "possible\_steps e s r a b = {}  $\implies$  ltl\_step e (Some s) r (a, b) = (None, [], r)"  
<proof>

**lemma ltl\_step\_none\_2:** "possible\_steps e s r (fst ie) (snd ie) = {}  $\implies$  ltl\_step e (Some s) r ie = (None, [], r)"  
<proof>

**lemma ltl\_step\_alt:** "ltl\_step e (Some s) r t = (  
 let possibilities = possible\_steps e s r (fst t) (snd t) in  
 if possibilities = {} then  
 (None, [], r)  
 else  
 let (s', t') = Eps ( $\lambda x. x \in$  possibilities) in  
 (Some s', (apply\_outputs (Outputs t') (join\_ir (snd t) r)), (apply\_updates (Updates t') (join\_ir (snd t) r) r))  
 )"
 <proof>

**lemma ltl\_step\_some:**

```

assumes "possible_steps e s r l i = \{(s', t)\}"
  and "evaluate_outputs t i r = p"
  and "evaluate_updates t i r = r'"
shows "ltl_step e (Some s) r (l, i) = (Some s', p, r')"
<proof>

```

**lemma ltl\_step\_cases:**

```

assumes invalid: "P (None, [], r)"
  and valid: " $\forall (s', t) \in$  (possible_steps e s r l i). P (Some s', (evaluate_outputs t i r), (evaluate_updates t i r))"
shows "P (ltl_step e (Some s) r (l, i))"
<proof>

```

The `make_full_observation` function behaves similarly to `observe_execution` from the EFSM theory. The main difference in behaviour is what is recorded. While the `observe_execution` function simply observes an execution of the EFSM to produce the corresponding output for each action, the intention here is to record every detail of execution, including the values of internal variables.

Thinking of each action as a step forward in time, there are five components which characterise a given point in the execution of an EFSM. At each point, the model has a current control state and data state. Each action has a label and some input parameters, and its execution may produce some observable output. It is therefore sufficient to provide a stream of 5-tuples containing the current control state, data state, the label and inputs of the action, and computed output. The `make_full_observation` function can then be defined as in Figure 9.1, with an additional function `watch` defined on top of this which starts the `make_full_observation` off in the initial control state with the empty data state.

Careful inspection of the definition reveals another way that `make_full_observation` differs from `observe_execution`. Rather than taking a `cfstate`, it takes a `cfstate option`. The reason for this is that we need to make our EFSM models complete. That is, we need them to be able to respond to every action from every state like a DFA. If a model does not recognise a given action in a given state, we cannot simply stop processing because we are working with necessarily infinite traces. Since these traces are generated by observing action sequences, the `make_full_observation` function must keep processing whether there is a viable transition or not.

To support this, the `make_full_observation` adds an implicit “sink state” to every EFSM it processes by lifting



control flow state indices from `nat` to `nat option` such that state  $n$  is seen as state `Some n`. The control flow state `None` represents a sink state. If a model is unable to recognise a particular action from its current state, it moves into the `None` state. From here, the behaviour is constant for the rest of the time — the control flow state remains `None`; the data state does not change, and no output is produced.

```
primcorec make_full_observation :: "transition_matrix  $\Rightarrow$  cfstate option  $\Rightarrow$  registers  $\Rightarrow$  outputs  $\Rightarrow$  action
stream  $\Rightarrow$  whitebox_trace" where
  "make_full_observation e s d p i = (
    let (s', o', d') = ltl_step e s d (shd i) in
    (|statename = s, datastate = d, action=(shd i), output = p|##(make_full_observation e s' d' o' (stl i))
  )"

```

```
abbreviation watch :: "transition_matrix  $\Rightarrow$  action stream  $\Rightarrow$  whitebox_trace" where
  "watch e i  $\equiv$  (make_full_observation e (Some 0) <> [] i)"

```

### 3.3.1 Expressing Properties

In order to simplify the expression and understanding of properties, this theory defines a number of named functions which can be used to express certain properties of EFSMs.

#### State Equality

The `STATE_EQ` takes a `cfstate option` representing a control flow state index and returns true if this is the control flow state at the head of the full observation.

```
abbreviation state_eq :: "cfstate option  $\Rightarrow$  whitebox_trace  $\Rightarrow$  bool" where
  "state_eq v s  $\equiv$  statename (shd s) = v"

```

```
lemma state_eq_holds: "state_eq s = holds ( $\lambda$ x. statename x = s)"
  <proof>

```

```
lemma state_eq_None_not_Some: "state_eq None s  $\implies$   $\neg$  state_eq (Some n) s"
  <proof>

```

#### Label Equality

The `LABEL_EQ` function takes a string and returns true if this is equal to the label at the head of the full observation.

```
abbreviation "label_eq v s  $\equiv$  fst (action (shd s)) = (String.implode v)"

```

```
lemma watch_label: "label_eq l (watch e t) = (fst (shd t) = String.implode l)"
  <proof>

```

#### Input Equality

The `INPUT_EQ` function takes a value list and returns true if this is equal to the input at the head of the full observation.

```
abbreviation "input_eq v s  $\equiv$  inputs (shd s) = v"

```

#### Action Equality

The `ACTION_EQ` function takes a (label, value list) pair and returns true if this is equal to the action at the head of the full observation. This effectively combines `label_eq` and `input_eq` into one function.

```
abbreviation "action_eq e  $\equiv$  label_eq (fst e) aand input_eq (snd e)"

```

#### Output Equality

The `OUTPUT_EQ` function takes a value option list and returns true if this is equal to the output at the head of the full observation.

abbreviation "output\_eq v s  $\equiv$  output (shd s) = v"  
 datatype ltl\_vname = Ip nat | Op nat | Rg nat

### Checking Arbitrary Expressions

The CHECK\_EXP function takes a guard expression and returns true if the guard expression evaluates to true in the given state.

type\_synonym ltl\_gexp = "ltl\_vname gexp"

definition join\_iro :: "value list  $\Rightarrow$  registers  $\Rightarrow$  outputs  $\Rightarrow$  ltl\_vname datastate" where  
 "join\_iro i r p = ( $\lambda$ x. case x of  
   Rg n  $\Rightarrow$  r \$ n |  
   Ip n  $\Rightarrow$  Some (i ! n) |  
   Op n  $\Rightarrow$  p ! n  
 )"

lemma join\_iro\_R [simp]: "join\_iro i r p (Rg n) = r \$ n"  
 <proof>

abbreviation "check\_exp g s  $\equiv$  (gval g (join\_iro (snd (action (shd s))) (datastate (shd s)) (output (shd s)))) = trilean.true)"

lemma alw\_ev: "alw f = not (ev ( $\lambda$ s.  $\neg$ f s))"  
 <proof>

lemma alw\_state\_eq\_smap:  
 "alw (state\_eq s) ss = alw ( $\lambda$ ss. shd ss = s) (smap statename ss)"  
 <proof>

### 3.3.2 Sink State

Once the sink state is entered, it cannot be left and there are no outputs or updates henceforth.

lemma shd\_state\_is\_none: "(state\_eq None) (make\_full\_observation e None r p t)"  
 <proof>

lemma unfold\_observe\_none: "make\_full\_observation e None d p t = ((statename = None, datastate = d, action=(shd t), output = p)##(make\_full\_observation e None d [] (stl t)))"  
 <proof>

lemma once\_none\_always\_none\_aux:  
 assumes " $\exists$  p r i. j = (make\_full\_observation e None r p) i"  
 shows "alw (state\_eq None) j"  
 <proof>

lemma once\_none\_always\_none: "alw (state\_eq None) (make\_full\_observation e None r p t)"  
 <proof>

lemma once\_none\_nxt\_always\_none: "alw (nxt (state\_eq None)) (make\_full\_observation e None r p t)"  
 <proof>

lemma snth\_sconst: "( $\forall$  i. s !! i = h) = (s = sconst h)"  
 <proof>

lemma alw\_sconst: "(alw ( $\lambda$ xs. shd xs = h) t) = (t = sconst h)"  
 <proof>

lemma smap\_statename\_None: "smap statename (make\_full\_observation e None r p i) = sconst None"  
 <proof>

lemma alw\_not\_some: "alw ( $\lambda$ xs. statename (shd xs)  $\neq$  Some s) (make\_full\_observation e None r p t)"

*<proof>*

**lemma** *state\_none*: " $((state\_eq\ None) \impl next\ (state\_eq\ None))\ (make\_full\_observation\ e\ s\ r\ p\ t)$ "  
*<proof>*

**lemma** *state\_none\_2*:  
 $(state\_eq\ None)\ (make\_full\_observation\ e\ s\ r\ p\ t) \impl$   
 $(state\_eq\ None)\ (make\_full\_observation\ e\ s\ r\ p\ (stl\ t))$ "  
*<proof>*

**lemma** *no\_output\_none\_aux*:  
**assumes** " $\exists\ p\ r\ i.\ j = (make\_full\_observation\ e\ None\ r\ [])\ i$ "  
**shows** " $alw\ (output\_eq\ [])\ j$ "  
*<proof>*

**lemma** *no\_output\_none*: " $next\ (alw\ (output\_eq\ []))\ (make\_full\_observation\ e\ None\ r\ p\ t)$ "  
*<proof>*

**lemma** *nxt\_alw*: " $next\ (alw\ P)\ s \impl alw\ (next\ P)\ s$ "  
*<proof>*

**lemma** *no\_output\_none\_nxt*: " $alw\ (next\ (output\_eq\ []))\ (make\_full\_observation\ e\ None\ r\ p\ t)$ "  
*<proof>*

**lemma** *no\_output\_none\_if\_empty*: " $alw\ (output\_eq\ [])\ (make\_full\_observation\ e\ None\ r\ []\ t)$ "  
*<proof>*

**lemma** *no\_updates\_none\_aux*:  
**assumes** " $\exists\ p\ i.\ j = (make\_full\_observation\ e\ None\ r\ p)\ i$ "  
**shows** " $alw\ (\lambda x.\ datastate\ (shd\ x) = r)\ j$ "  
*<proof>*

**lemma** *no\_updates\_none*: " $alw\ (\lambda x.\ datastate\ (shd\ x) = r)\ (make\_full\_observation\ e\ None\ r\ p\ t)$ "  
*<proof>*

**lemma** *action\_components*: " $(label\_eq\ l\ aand\ input\_eq\ i)\ s = (action\ (shd\ s) = (String.implode\ l,\ i))$ "  
*<proof>*

**end**



## 4 Examples

In this chapter, we provide some examples of EFSMs and proofs over them. We first present a formalisation of a simple drinks machine. Next, we prove observational equivalence of an alternative model. Finally, we prove some temporal properties of the first example.

### 4.1 Drinks Machine (Drinks\_Machine)

This theory formalises a simple drinks machine. The *select* operation takes one argument - the desired beverage. The *coin* operation also takes one parameter representing the value of the coin. The *vend* operation has two flavours - one which dispenses the drink if the customer has inserted enough money, and one which dispenses nothing if the user has not inserted sufficient funds.

We first define a datatype *statename* which corresponds to  $S$  in the formal definition. Note that, while *statename* has four elements, the drinks machine presented here only requires three states. The fourth element is included here so that the *statename* datatype may be used in the next example.

```
theory Drinks_Machine
  imports "Extended_Finite_State_Machines.EFSM"
begin
definition select :: "transition" where
"select ≡ (
  Label = STR ''select'',
  Arity = 1,
  Guards = [],
  Outputs = [],
  Updates = [
    (1, V (I 0)),
    (2, L (Num 0))
  ]
)"

definition coin :: "transition" where
"coin ≡ (
  Label = STR ''coin'',
  Arity = 1,
  Guards = [],
  Outputs = [Plus (V (R 2)) (V (I 0))],
  Updates = [
    (1, V (R 1)),
    (2, Plus (V (R 2)) (V (I 0)))
  ]
)"

definition vend :: "transition" where
"vend ≡ (
  Label = STR ''vend'',
  Arity = 0,
  Guards = [(Ge (V (R 2)) (L (Num 100)))],
  Outputs = [(V (R 1))],
  Updates = [(1, V (R 1)), (2, V (R 2))]
)"

definition vend_fail :: "transition" where
```

## 4 Examples

```
"vend_fail ≡ (|
  Label = STR 'vend',
  Arity = 0,
  Guards = [(Lt (V (R 2)) (L (Num 100)))],
  Outputs = [],
  Updates = [(1, V (R 1)), (2, V (R 2))]
|)"
```

**definition** drinks :: "transition\_matrix" where

```
"drinks ≡ {|
  ((0,1), select),
  ((1,1), coin),
  ((1,1), vend_fail),
  ((1,2), vend)
|}"
```

lemmas transitions = select\_def coin\_def vend\_def vend\_fail\_def

**lemma** apply\_updates\_vend: "apply\_updates (Updates vend) (join\_ir [] r) r = r"  
 <proof>

**lemma** drinks\_states: "S drinks = {0, 1, 2|}"  
 <proof>

**lemma** possible\_steps\_0:  
 "length i = 1 ⇒  
 possible\_steps drinks 0 r (STR 'select') i = {|(1, select)|}"  
 <proof>

**lemma** first\_step\_select:  
 "(s', t) ∈ possible\_steps drinks 0 r aa b ⇒ s' = 1 ∧ t = select"  
 <proof>

**lemma** drinks\_vend\_insufficient:  
 "r \$ 2 = Some (Num x1) ⇒  
 x1 < 100 ⇒  
 possible\_steps drinks 1 r (STR 'vend') [] = {|(1, vend\_fail)|}"  
 <proof>

**lemma** drinks\_vend\_invalid:  
 "∄ n. r \$ 2 = Some (Num n) ⇒  
 possible\_steps drinks 1 r (STR 'vend') [] = {||}"  
 <proof>

**lemma** possible\_steps\_1\_coin:  
 "length i = 1 ⇒ possible\_steps drinks 1 r (STR 'coin') i = {|(1, coin)|}"  
 <proof>

**lemma** possible\_steps\_2\_vend:  
 "∃ n. r \$ 2 = Some (Num n) ∧ n ≥ 100 ⇒  
 possible\_steps drinks 1 r (STR 'vend') [] = {|(2, vend)|}"  
 <proof>

**lemma** recognises\_from\_2:  
 "recognises\_execution drinks 1 <1 \$:= d, 2 \$:= Some (Num 100)> [(STR 'vend', [])]"  
 <proof>

**lemma** recognises\_from\_1a:  
 "recognises\_execution drinks 1 <1 \$:= d, 2 \$:= Some (Num 50)> [(STR 'coin', [Num 50]), (STR 'vend', [])]"  
 <proof>

```
lemma recognises_from_1: "recognises_execution drinks 1 <2 $:= Some (Num 0), 1 $:= Some d>
  [(STR 'coin', [Num 50]), (STR 'coin', [Num 50]), (STR 'vend', [])]"
  <proof>
```

```
lemma purchase_coke:
  "observe_execution drinks 0 <> [(STR 'select', [Str 'coke']), (STR 'coin', [Num 50]), (STR 'coin',
  [Num 50]), (STR 'vend', [])] =
  [[], [Some (Num 50)], [Some (Num 100)], [Some (Str 'coke')]]"
  <proof>
```

```
lemma rejects_input:
  "1 ≠ STR 'coin' ⇒
  1 ≠ STR 'vend' ⇒
  ¬ recognises_execution drinks 1 d' [(1, i)]"
  <proof>
```

```
lemma rejects_recognises_prefix: "1 ≠ STR 'coin' ⇒
  1 ≠ STR 'vend' ⇒
  ¬ (recognises drinks [(STR 'select', [Str 'coke']), (1, i)])"
  <proof>
```

```
lemma rejects_termination:
  "observe_execution drinks 0 <> [(STR 'select', [Str 'coke']), (STR 'rejects', [Num 50]), (STR 'coin',
  [Num 50])] = [[]]"
  <proof>
```

```
lemma r2_0_vend:
  "can_take_transition vend i r ⇒
  ∃n. r $ 2 = Some (Num n) ∧ n ≥ 100"
  <proof>
```

```
lemma drinks_vend_sufficient: "r $ 2 = Some (Num x1) ⇒
  x1 ≥ 100 ⇒
  possible_steps drinks 1 r (STR 'vend') [] = {(2, vend)}"
  <proof>
```

```
lemma drinks_end: "possible_steps drinks 2 r a b = {}"
  <proof>
```

```
lemma drinks_vend_r2_String:
  "r $ 2 = Some (value.Str x2) ⇒
  possible_steps drinks 1 r (STR 'vend') [] = {}"
  <proof>
```

```
lemma drinks_vend_r2_rejects:
  "¬∃n. r $ 2 = Some (Num n) ⇒ step drinks 1 r (STR 'vend') [] = None"
  <proof>
```

```
lemma drinks_0_rejects:
  "¬ (fst a = STR 'select' ∧ length (snd a) = 1) ⇒
  (possible_steps drinks 0 r (fst a) (snd a)) = {}"
  <proof>
```

```
lemma drinks_vend_empty: "(possible_steps drinks 0 <> (STR 'vend') []) = {}"
  <proof>
```

```
lemma drinks_1_rejects:
  "fst a = STR 'coin' → length (snd a) ≠ 1 ⇒
  a ≠ (STR 'vend', []) ⇒
  possible_steps drinks 1 r (fst a) (snd a) = {}"
  <proof>
```

## 4 Examples

```
lemma drinks_rejects_future: "¬ recognises_execution drinks 2 d ((1, i)#t)"
  <proof>
```

```
lemma drinks_1_rejects_trace:
  assumes not_vend: "e ≠ (STR ''vend'', [])"
    and not_coin: "∄ i. e = (STR ''coin'', [i])"
  shows "¬ recognises_execution drinks 1 r (e # es)"
  <proof>
```

```
lemma rejects_state_step: "s > 1 ⇒ step drinks s r l i = None"
  <proof>
```

```
lemma invalid_other_states:
  "s > 1 ⇒ ¬ recognises_execution drinks s r ((aa, b) # t)"
  <proof>
```

```
lemma vend_ge_100:
  "possible_steps drinks 1 r l i = {/(2, vend)/} ⇒
  ¬? value_gt (Some (Num 100)) (r $ 2) = trilean.true"
  <proof>
```

```
lemma drinks_no_possible_steps_1:
  assumes not_coin: "¬ (a = STR ''coin'' ∧ length b = 1)"
    and not_vend: "¬ (a = STR ''vend'' ∧ b = [])"
  shows "possible_steps drinks 1 r a b = {/|}"
  <proof>
```

```
lemma possible_steps_0_not_select: "a ≠ STR ''select'' ⇒
  possible_steps drinks 0 <> a b = {/|}"
  <proof>
```

```
lemma possible_steps_select_wrong_arity: "a = STR ''select'' ⇒
  length b ≠ 1 ⇒
  possible_steps drinks 0 <> a b = {/|}"
  <proof>
```

```
lemma possible_steps_0_invalid:
  "¬ (l = STR ''select'' ∧ length i = 1) ⇒
  possible_steps drinks 0 <> l i = {/|}"
  <proof>
```

end

## 4.2 An Observationally Equivalent Model (Drinks\_Machine\_2)

This theory defines a second formalisation of the drinks machine example which produces identical output to the first model. This property is called *observational equivalence* and is discussed in more detail in [2].

```
theory Drinks_Machine_2
  imports Drinks_Machine
begin
```

```
definition vend_nothing :: "transition" where
  "vend_nothing ≡ (|
    Label = (STR ''vend''),
    Arity = 0,
    Guards = [],
    Outputs = [],
    Updates = [(1, V (R 1)), (2, V (R 2))]
  |)"
```



```
lemmas transitions = Drinks_Machine.transitions vend_nothing_def
```

```
definition drinks2 :: transition_matrix where
```

```
"drinks2 = {|
      ((0,1), select),
      ((1,1), vend_nothing),
      ((1,2), coin),
      ((2,2), coin),
      ((2,2), vend_fail),
      ((2,3), vend)
    |}"
```

```
lemma possible_steps_0:
```

```
"length i = 1  $\implies$ 
  possible_steps drinks2 0 r ((STR 'select')) i = {(1, select)}|"
<proof>
```

```
lemma possible_steps_1:
```

```
"length i = 1  $\implies$ 
  possible_steps drinks2 1 r ((STR 'coin')) i = {(2, coin)}|"
<proof>
```

```
lemma possible_steps_2_coin:
```

```
"length i = 1  $\implies$ 
  possible_steps drinks2 2 r ((STR 'coin')) i = {(2, coin)}|"
<proof>
```

```
lemma possible_steps_2_vend:
```

```
"r $ 2 = Some (Num n)  $\implies$ 
  n  $\geq$  100  $\implies$ 
  possible_steps drinks2 2 r ((STR 'vend')) [] = {(3, vend)}|"
<proof>
```

```
lemma recognises_first_select:
```

```
"recognises_execution drinks 0 r ((aa, b) # as)  $\implies$  aa = STR 'select'  $\wedge$  length b = 1"
<proof>
```

```
lemma drinks2_vend_insufficient:
```

```
"possible_steps drinks2 1 r ((STR 'vend')) [] = {(1, vend_nothing)}|"
<proof>
```

```
lemma drinks2_vend_insufficient2:
```

```
"r $ 2 = Some (Num x1)  $\implies$ 
  x1 < 100  $\implies$ 
  possible_steps drinks2 2 r ((STR 'vend')) [] = {(2, vend_fail)}|"
<proof>
```

```
lemma drinks2_vend_sufficient: "r $ 2 = Some (Num x1)  $\implies$ 
```

```
   $\neg$  x1 < 100  $\implies$ 
  possible_steps drinks2 2 r ((STR 'vend')) [] = {(3, vend)}|"
```

```
<proof>
```

```
lemma recognises_1_2: "recognises_execution drinks 1 r t  $\longrightarrow$  recognises_execution drinks2 2 r t"
```

```
<proof>
```

```
lemma drinks_reject_0_2:
```

```
" $\nexists$  i. a = (STR 'select', [i])  $\implies$ 
  possible_steps drinks 0 r (fst a) (snd a) = {}|"
<proof>
```

```
lemma purchase_coke:
```

```
"observe_execution drinks2 0 <> [((STR 'select'), [Str 'coke']), ((STR 'coin'), [Num 50]), ((STR 'coin'), [Num 50]), ((STR 'vend'), [])] =
```

## 4 Examples

```
[[[], [Some (Num 50)], [Some (Num 100)], [Some (Str 'coke')]]]"
⟨proof⟩

lemma drinks2_0_invalid:
  "¬ (aa = (STR 'select') ∧ length (b) = 1) ⇒
    (possible_steps drinks2 0 <> aa b) = {}"
⟨proof⟩

lemma drinks2_vend_r2_none:
  "r $ 2 = None ⇒ possible_steps drinks2 2 r ((STR 'vend')) [] = {}"
⟨proof⟩

lemma drinks2_end: "possible_steps drinks2 3 r a b = {}"
⟨proof⟩

lemma drinks2_vend_r2_String: "r $ 2 = Some (value.Str x2) ⇒
  possible_steps drinks2 2 r ((STR 'vend')) [] = {}"
⟨proof⟩

lemma drinks2_2_invalid:
  "fst a = (STR 'coin') → length (snd a) ≠ 1 ⇒
    a ≠ ((STR 'vend'), []) ⇒
    possible_steps drinks2 2 r (fst a) (snd a) = {}"
⟨proof⟩

lemma drinks2_1_invalid:
  "¬ (a = (STR 'coin') ∧ length b = 1) ⇒
    ¬ (a = (STR 'vend') ∧ b = []) ⇒
    possible_steps drinks2 1 r a b = {}"
⟨proof⟩

lemma drinks2_vend_invalid:
  "∄ n. r $ 2 = Some (Num n) ⇒
    possible_steps drinks2 2 r (STR 'vend') [] = {}"
⟨proof⟩

lemma equiv_1_2: "executionally_equivalent drinks 1 r drinks2 2 r x"
⟨proof⟩

lemma equiv_1_1: "r$2 = Some (Num 0) ⇒ executionally_equivalent drinks 1 r drinks2 1 r x"
⟨proof⟩

lemma executional_equivalence: "executionally_equivalent drinks 0 <> drinks2 0 <> t"
⟨proof⟩

lemma observational_equivalence: "trace_equivalent drinks drinks2"
⟨proof⟩

end
```

## 4.3 Temporal Properties (Drinks\_Machine\_LTL)

This theory presents some examples of temporal properties over the simple drinks machine.

```
theory Drinks_Machine_LTL
imports "Drinks_Machine" "Extended_Finite_State_Machines.EFSM_LTL"
begin

declare One_nat_def [simp del]

lemma P_ltl_step_0:
  assumes invalid: "P (None, [], <>)"
  assumes select: "l = STR 'select' → P (Some 1, [], <1 $:= Some (hd i), 2 $:= Some (Num 0)>)"
```

```
shows "P (ltl_step drinks (Some 0) <> (1, i))"
<proof>
```

```
lemma P_ltl_step_1:
  assumes invalid: "P (None, [], r)"
  assumes coin: "1 = STR 'coin'  $\longrightarrow$  P (Some 1, [value_plus (r $ 2) (Some (hd i))], r(2 $:= value_plus (r $ 2) (Some (i ! 0))))"
  assumes vend_fail: "value_gt (Some (Num 100)) (r $ 2) = trilean.true  $\longrightarrow$  P (Some 1, [], r)"
  assumes vend: " $\neg$ ? value_gt (Some (Num 100)) (r $ 2) = trilean.true  $\longrightarrow$  P (Some 2, [r$1], r)"
  shows "P (ltl_step drinks (Some 1) r (1, i))"
<proof>
```

```
lemma LTL_r2_not_always_gt_100: "not (alw (check_exp (Gt (V (Rg 2)) (L (Num 100)))) (watch drinks i))"
<proof>
```

```
lemma drinks_step_2_none: "ltl_step drinks (Some 2) r e = (None, [], r)"
<proof>
```

```
lemma one_before_two_2:
  "alw ( $\lambda$ x. statename (shd (stl x)) = Some 2  $\longrightarrow$  statename (shd x) = Some 1) (make_full_observation drinks (Some 2) r [r $ 1] x2a)"
<proof>
```

```
lemma one_before_two_aux:
  assumes " $\exists$  p r i. j = nxt (make_full_observation drinks (Some 1) r p) i"
  shows "alw ( $\lambda$ x. nxt (state_eq (Some 2)) x  $\longrightarrow$  state_eq (Some 1) x) j"
<proof>
```

```
lemma LTL_nxt_2_means_vend:
  "alw (nxt (state_eq (Some 2)) impl (state_eq (Some 1))) (watch drinks i)"
<proof>
```

```
lemma costsMoney_aux:
  assumes " $\exists$  p r i. j = (nxt (make_full_observation drinks (Some 1) r p) i)"
  shows "alw ( $\lambda$ xs. nxt (state_eq (Some 2)) xs  $\longrightarrow$  check_exp (Ge (V (Rg 2)) (L (Num 100))) xs) j"
<proof>
```

```
lemma LTL_costsMoney:
  "(alw (nxt (state_eq (Some 2)) impl (check_exp (Ge (V (Rg 2)) (L (Num 100)))))) (watch drinks i)"
<proof>
```

```
lemma LTL_costsMoney_aux:
  "(alw (not (check_exp (Ge (V (Rg 2)) (L (Num 100)))) impl (not (nxt (state_eq (Some 2)))))) (watch drinks i)"
<proof>
```

```
lemma implode_select: "String.implode 'select' = STR 'select'"
<proof>
```

```
lemma implode_coin: "String.implode 'coin' = STR 'coin'"
<proof>
```

```
lemma implode_vend: "String.implode 'vend' = STR 'vend'"
<proof>
```

```
lemmas implode_labels = implode_select implode_coin implode_vend
```

```
lemma LTL_neverReachS2:"((((action_eq ('select', [Str 'coke'])))
  aand
  (nxt ((action_eq ('coin', [Num 100])))))
  aand
  (nxt (nxt((label_eq 'vend' aand (input_eq []))))))
```

## 4 Examples

```

impl
  (nxt (nxt (nxt (state_eq (Some 2)))))
  (watch drinks i)"
⟨proof⟩

lemma ltl_step_not_select:
  "⊘i. e = (STR ''select'', [i]) ⇒
  ltl_step drinks (Some 0) r e = (None, [], r)"
⟨proof⟩

lemma ltl_step_select:
  "ltl_step drinks (Some 0) <> (STR ''select'', [i]) = (Some 1, [], <1 $:= Some i, 2 $:= Some (Num 0)>)"
⟨proof⟩

lemma ltl_step_not_coin_or_vend:
  "⊘i. e = (STR ''coin'', [i]) ⇒
  e ≠ (STR ''vend'', []) ⇒
  ltl_step drinks (Some 1) r e = (None, [], r)"
⟨proof⟩

lemma ltl_step_coin:
  "∃p r'. ltl_step drinks (Some 1) r (STR ''coin'', [i]) = (Some 1, p, r)"
⟨proof⟩

lemma alw_tl:
  "alw φ (make_full_observation e (Some 0) <> [] xs) ⇒
  alw φ
  (make_full_observation e (fst (ltl_step e (Some 0) <> (shd xs))) (snd (snd (ltl_step e (Some 0) <>
  (shd xs))))
  (fst (snd (ltl_step e (Some 0) <> (shd xs)))) (stl xs))"
⟨proof⟩

lemma stop_at_none:
  "alw (λxs. output (shd (stl xs)) = [Some (EFSM.Str drink)] → check_exp (Ge (V (Rg 2)) (L (Num 100)))
  xs)
  (make_full_observation drinks None r p t)"
⟨proof⟩

lemma drink_costs_money_aux:
  assumes "∃p r t. j = make_full_observation drinks (Some 1) r p t"
  shows "alw (λxs. output (shd (stl xs)) = [Some (EFSM.Str drink)] → check_exp (Ge (V (Rg 2)) (L (Num
  100))) xs) j"
⟨proof⟩

lemma LTL_drinks_cost_money:
  "alw (nxt (output_eq [Some (Str drink)]) impl (check_exp (Ge (V (Rg 2)) (L (Num 100)))) (watch drinks
  t)"
⟨proof⟩

lemma steps_1_invalid:
  "⊘i. (a, b) = (STR ''coin'', [i]) ⇒
  ⊘i. (a, b) = (STR ''vend'', []) ⇒
  possible_steps drinks 1 r a b = {}"
⟨proof⟩

lemma output_vend_aux:
  assumes "∃p r t. j = make_full_observation drinks (Some 1) r p t"
  shows "alw (λxs. label_eq ''vend'' xs ∧ output (shd (stl xs)) = [Some d] → check_exp (Ge (V (Rg 2))
  (L (Num 100))) xs) j"
⟨proof⟩

lemma LTL_output_vend:
  "alw (((label_eq ''vend'') aand (nxt (output_eq [Some d]))) impl
  (check_exp (Ge (V (Rg 2)) (L (Num 100)))) (watch drinks t)"

```

*<proof>*

**lemma** LTL\_output\_vend\_unfolded:

```
"alw (λxs. (label (shd xs) = STR ''vend'' ∧  
  next (λs. output (shd s) = [Some d]) xs) →  
  ¬? value_gt (Some (Num 100)) (datastate (shd xs) $ 2) = trilean.true)  
  (watch drinks t)"
```

*<proof>*

**end**



# Bibliography

- [1] D. A. Bochvar. On a three-valued logical calculus and its application to the analysis of the paradoxes of the classical extended functional calculus. *History and Philosophy of Logic*, 2(1-2):87–112, jan 1981. ISSN 14645149. doi: 10.1080/01445348108837023. URL <http://www.tandfonline.com/doi/abs/10.1080/01445348108837023>.
- [2] M. Foster, R. G. Taylor, A. D. Brucker, and J. Derrick. Formalising extended finite state machine transition merging. In J. S. Dong and J. Sun, editors, *ICFEM*, number 11232 in Lecture Notes in Computer Science, pages 373–387. Springer-Verlag, Heidelberg, 2018. ISBN 978-3-030-02449-9. doi: 10.1007/978-3-030-02450-5. URL <https://www.brucker.ch/bibliography/abstract/foster.ea-efsm-2018>.