

First-Order Query Evaluation

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March 17, 2025

Abstract

We formalize first-order query evaluation over an infinite domain with equality. We first define the syntax and semantics of first-order logic with equality. Next we define a locale *eval_fo* abstracting a representation of a potentially infinite set of tuples satisfying a first-order query over finite relations. Inside the locale, we define a function *eval* checking if the set of tuples satisfying a first-order query over a database (an interpretation of the query's predicates) is finite (i.e., deciding *relative safety*) and computing the set of satisfying tuples if it is finite. Altogether the function *eval* solves *capturability* [2] of first-order logic with equality. We also use the function *eval* to prove a code equation for the semantics of first-order logic, i.e., the function checking if a first-order query over a database is satisfied by a variable assignment.

We provide an interpretation of the locale *eval_fo* based on the approach by Ailamazyan et al. [1]. A core notion in the interpretation is the active domain of a query and a database that contains all domain elements that occur in the database or interpret the query's constants. We prove the main theorem of Ailamazyan et al. [1] relating the satisfaction of a first-order query over an infinite domain to the satisfaction of this query over a finite domain consisting of the active domain and a few additional domain elements (outside the active domain) whose number only depends on the query. In our interpretation of the locale *eval_fo*, we use a potentially higher number of the additional domain elements, but their number still only depends on the query and thus has no effect on the data complexity [3] of query evaluation. Our interpretation yields an *executable* function *eval*. The time complexity of *eval* on a query is linear in the total number of tuples in the intermediate relations for the subqueries. Specifically, we build a database index to evaluate a conjunction. We also optimize the case of a negated subquery in a conjunction. Finally, we export code for the infinite domain of natural numbers.

Contents

```
theory FO
  imports Main
begin

abbreviation sorted_distinct xs ≡ sorted xs ∧ distinct xs

datatype 'a fo_term = Const 'a | Var nat

type_synonym 'a val = nat ⇒ 'a

fun list_fo_term :: 'a fo_term ⇒ 'a list where
  list_fo_term (Const c) = [c]
  | list_fo_term _ = []

fun fv_fo_term_list :: 'a fo_term ⇒ nat list where
  fv_fo_term_list (Var n) = [n]
  | fv_fo_term_list _ = []
```

```

fun fv_fo_term_set :: 'a fo_term ⇒ nat set where
  fv_fo_term_set (Var n) = {n}
  | fv_fo_term_set _ = {}

definition fv_fo_terms_set :: ('a fo_term) list ⇒ nat set where
  fv_fo_terms_set ts = ∪(set (map fv_fo_term_set ts))

fun fv_fo_terms_list_rec :: ('a fo_term) list ⇒ nat list where
  fv_fo_terms_list_rec [] = []
  | fv_fo_terms_list_rec (t # ts) = fv_fo_term_list t @ fv_fo_terms_list_rec ts

definition fv_fo_terms_list :: ('a fo_term) list ⇒ nat list where
  fv_fo_terms_list ts = remdups_adj (sort (fv_fo_terms_list_rec ts))

fun eval_term :: 'a val ⇒ 'a fo_term ⇒ 'a (infix  $\leftrightarrow$  60) where
  eval_term  $\sigma$  (Const c) = c
  | eval_term  $\sigma$  (Var n) =  $\sigma$  n

definition eval_terms :: 'a val ⇒ ('a fo_term) list ⇒ 'a list (infix  $\langle\odot\rangle$  60) where
  eval_terms  $\sigma$  ts = map (eval_term  $\sigma$ ) ts

lemma finite_set_fo_term: finite (set_fo_term t)
  ⟨proof⟩

lemma list_fo_term_set: set (list_fo_term t) = set_fo_term t
  ⟨proof⟩

lemma finite_fv_fo_term_set: finite (fv_fo_term_set t)
  ⟨proof⟩

lemma fv_fo_term_setD: n ∈ fv_fo_term_set t  $\implies$  t = Var n
  ⟨proof⟩

lemma fv_fo_term_set_list: set (fv_fo_term_list t) = fv_fo_term_set t
  ⟨proof⟩

lemma sorted_distinct_fv_fo_term_list: sorted_distinct (fv_fo_term_list t)
  ⟨proof⟩

lemma fv_fo_term_set_cong: fv_fo_term_set t = fv_fo_term_set (map_fo_term f t)
  ⟨proof⟩

lemma fv_fo_terms_setI: Var m ∈ set ts  $\implies$  m ∈ fv_fo_terms_set ts
  ⟨proof⟩

lemma fv_fo_terms_setD: m ∈ fv_fo_terms_set ts  $\implies$  Var m ∈ set ts
  ⟨proof⟩

lemma finite_fv_fo_terms_set: finite (fv_fo_terms_set ts)
  ⟨proof⟩

lemma fv_fo_terms_set_list: set (fv_fo_terms_list ts) = fv_fo_terms_set ts
  ⟨proof⟩

lemma distinct_remdups_adj_sort: sorted xs  $\implies$  distinct (remdups_adj xs)
  ⟨proof⟩

lemma sorted_distinct_fv_fo_terms_list: sorted_distinct (fv_fo_terms_list ts)
  
```

$\langle proof \rangle$

lemma `fv_fo_terms_set_cong`: $fv_fo_terms_set\ ts = fv_fo_terms_set\ (\text{map}\ (\text{map_fo_term}\ f)\ ts)$
 $\langle proof \rangle$

lemma `eval_term_cong`: $(\bigwedge n. n \in fv_fo_term_set\ t \implies \sigma\ n = \sigma'\ n) \implies$
 $\text{eval_term}\ \sigma\ t = \text{eval_term}\ \sigma'\ t$
 $\langle proof \rangle$

lemma `eval_terms_fv_fo_terms_set`: $\sigma \odot ts = \sigma' \odot ts \implies n \in fv_fo_terms_set\ ts \implies \sigma\ n = \sigma'\ n$
 $\langle proof \rangle$

lemma `eval_terms_cong`: $(\bigwedge n. n \in fv_fo_terms_set\ ts \implies \sigma\ n = \sigma'\ n) \implies$
 $\text{eval_terms}\ \sigma\ ts = \text{eval_terms}\ \sigma'\ ts$
 $\langle proof \rangle$

datatype `('a, 'b) fo_fmla` =
 $Pred\ 'b\ ('a\ fo_term)\ list$
 $| Bool\ bool$
 $| Eqa\ 'a\ fo_term\ 'a\ fo_term$
 $| Neg\ ('a, 'b)\ fo_fmla$
 $| Conj\ ('a, 'b)\ fo_fmla\ ('a, 'b)\ fo_fmla$
 $| Disj\ ('a, 'b)\ fo_fmla\ ('a, 'b)\ fo_fmla$
 $| Exists\ nat\ ('a, 'b)\ fo_fmla$
 $| Forall\ nat\ ('a, 'b)\ fo_fmla$

fun `fv_fo_fmla_list_rec` :: $('a, 'b) fo_fmla \Rightarrow nat\ list$ **where**
 $fv_fo_fmla_list_rec\ (Pred\ ts) = fv_fo_terms_list\ ts$
 $| fv_fo_fmla_list_rec\ (Bool\ b) = []$
 $| fv_fo_fmla_list_rec\ (Eqa\ t\ t') = fv_fo_term_list\ t @ fv_fo_term_list\ t'$
 $| fv_fo_fmla_list_rec\ (Neg\ \varphi) = fv_fo_fmla_list_rec\ \varphi$
 $| fv_fo_fmla_list_rec\ (Conj\ \varphi\ \psi) = fv_fo_fmla_list_rec\ \varphi @ fv_fo_fmla_list_rec\ \psi$
 $| fv_fo_fmla_list_rec\ (Disj\ \varphi\ \psi) = fv_fo_fmla_list_rec\ \varphi @ fv_fo_fmla_list_rec\ \psi$
 $| fv_fo_fmla_list_rec\ (Exists\ n\ \varphi) = filter\ (\lambda m. n \neq m)\ (fv_fo_fmla_list_rec\ \varphi)$
 $| fv_fo_fmla_list_rec\ (Forall\ n\ \varphi) = filter\ (\lambda m. n \neq m)\ (fv_fo_fmla_list_rec\ \varphi)$

definition `fv_fo_fmla_list` :: $('a, 'b) fo_fmla \Rightarrow nat\ list$ **where**
 $fv_fo_fmla_list\ \varphi = remdups_adj\ (sort\ (fv_fo_fmla_list_rec\ \varphi))$

fun `fv_fo_fmla` :: $('a, 'b) fo_fmla \Rightarrow nat\ set$ **where**
 $fv_fo_fmla\ (Pred\ ts) = fv_fo_terms_set\ ts$
 $| fv_fo_fmla\ (Bool\ b) = \{\}$
 $| fv_fo_fmla\ (Eqa\ t\ t') = fv_fo_term_set\ t \cup fv_fo_term_set\ t'$
 $| fv_fo_fmla\ (Neg\ \varphi) = fv_fo_fmla\ \varphi$
 $| fv_fo_fmla\ (Conj\ \varphi\ \psi) = fv_fo_fmla\ \varphi \cup fv_fo_fmla\ \psi$
 $| fv_fo_fmla\ (Disj\ \varphi\ \psi) = fv_fo_fmla\ \varphi \cup fv_fo_fmla\ \psi$
 $| fv_fo_fmla\ (Exists\ n\ \varphi) = fv_fo_fmla\ \varphi - \{n\}$
 $| fv_fo_fmla\ (Forall\ n\ \varphi) = fv_fo_fmla\ \varphi - \{n\}$

lemma `finite_fv_fo_fmla`: $\text{finite}\ (fv_fo_fmla\ \varphi)$
 $\langle proof \rangle$

lemma `fv_fo_fmla_list_set`: $\text{set}\ (fv_fo_fmla_list\ \varphi) = fv_fo_fmla\ \varphi$
 $\langle proof \rangle$

lemma `sorted_distinct_fv_list`: $\text{sorted_distinct}\ (fv_fo_fmla_list\ \varphi)$
 $\langle proof \rangle$

```

lemma length_fv_fmla_list: length (fv_fo_fmla_list φ) = card (fv_fo_fmla φ)
  ⟨proof⟩

lemma fv_fo_fmla_list_eq: fv_fo_fmla φ = fv_fo_fmla ψ ==> fv_fo_fmla_list φ = fv_fo_fmla_list ψ
  ⟨proof⟩

lemma fv_fo_fmla_list_Conj: fv_fo_fmla_list (Conj φ ψ) = fv_fo_fmla_list (Conj ψ φ)
  ⟨proof⟩

type_synonym 'a table = ('a list) set

type_synonym ('t, 'b) fo_intp = 'b × nat ⇒ 't

fun wf_fo_intp :: ('a, 'b) fo_fmla ⇒ ('a table, 'b) fo_intp ⇒ bool where
  wf_fo_intp (Pred r ts) I ←→ finite (I (r, length ts))
  | wf_fo_intp (Bool b) I ←→ True
  | wf_fo_intp (Eqa t t') I ←→ True
  | wf_fo_intp (Neg φ) I ←→ wf_fo_intp φ I
  | wf_fo_intp (Conj φ ψ) I ←→ wf_fo_intp φ I ∧ wf_fo_intp ψ I
  | wf_fo_intp (Disj φ ψ) I ←→ wf_fo_intp φ I ∨ wf_fo_intp ψ I
  | wf_fo_intp (Exists n φ) I ←→ wf_fo_intp φ I
  | wf_fo_intp (Forall n φ) I ←→ wf_fo_intp φ I

fun sat :: ('a, 'b) fo_fmla ⇒ ('a table, 'b) fo_intp ⇒ 'a val ⇒ bool where
  sat (Pred r ts) I σ ←→ σ ⊕ ts ∈ I (r, length ts)
  | sat (Bool b) I σ ←→ b
  | sat (Eqa t t') I σ ←→ σ · t = σ · t'
  | sat (Neg φ) I σ ←→ ¬sat φ I σ
  | sat (Conj φ ψ) I σ ←→ sat φ I σ ∧ sat ψ I σ
  | sat (Disj φ ψ) I σ ←→ sat φ I σ ∨ sat ψ I σ
  | sat (Exists n φ) I σ ←→ (∃x. sat φ I (σ(n := x)))
  | sat (Forall n φ) I σ ←→ (∀x. sat φ I (σ(n := x)))

lemma sat_fv_cong: (¬¬(n ∈ fv_fo_fmla φ) ==> σ n = σ' n) ==>
  sat φ I σ ←→ sat φ I σ'
  ⟨proof⟩

definition proj_sat :: ('a, 'b) fo_fmla ⇒ ('a table, 'b) fo_intp ⇒ 'a table where
  proj_sat φ I = (λσ. map σ (fv_fo_fmla_list φ)) ` {σ. sat φ I σ}

end
theory Eval_FO
  imports HOL-Library.Infinite_Typeclass FO
begin

datatype 'a eval_res = Fin 'a table | Infin | Wf_error

locale eval_fo =
  fixes wf :: ('a :: infinite, 'b) fo_fmla ⇒ ('b × nat ⇒ 'a list set) ⇒ 't ⇒ bool
    and abs :: ('a fo_term) list ⇒ 'a table ⇒ 't
    and rep :: 't ⇒ 'a table
    and res :: 't ⇒ 'a eval_res
    and eval_bool :: bool ⇒ 't
    and eval_eq :: 'a fo_term ⇒ 'a fo_term ⇒ 't
    and eval_neg :: nat list ⇒ 't ⇒ 't
    and eval_conj :: nat list ⇒ 't ⇒ nat list ⇒ 't ⇒ 't
    and eval_ajoin :: nat list ⇒ 't ⇒ nat list ⇒ 't ⇒ 't

```

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and eval_disj :: nat list => 't => nat list => 't => 't
and eval_exists :: nat => nat list => 't => 't
and eval_forall :: nat => nat list => 't => 't
assumes fo_rep: wf φ I t ==> rep t = proj_sat φ I
and fo_res_fin: wf φ I t ==> finite (rep t) ==> res t = Fin (rep t)
and fo_res_infin: wf φ I t ==> ~finite (rep t) ==> res t = Infin
and fo_abs: finite (I (r, length ts)) ==> wf (Pred r ts) I (abs ts (I (r, length ts)))
and fo_bool: wf (Bool b) I (eval_bool b)
and fo_eq: wf (Eq a trm trm') I (eval_eq trm trm')
and fo_neg: wf φ I t ==> wf (Neg φ) I (eval_neg (fv_fo_fmla_list φ) t)
and fo_conj: wf φ I tφ ==> wf ψ I tψ ==> (case ψ of Neg ψ' => False | _ => True) ==>
    wf (Conj φ ψ) I (eval_conj (fv_fo_fmla_list φ) tφ (fv_fo_fmla_list ψ) tψ)
and fo_ajoin: wf φ I tφ ==> wf ψ' I tψ' ==>
    wf (Conj φ (Neg ψ')) I (eval_ajoin (fv_fo_fmla_list φ) tφ (fv_fo_fmla_list ψ') tψ')
and fo_disj: wf φ I tφ ==> wf ψ I tψ ==>
    wf (Disj φ ψ) I (eval_disj (fv_fo_fmla_list φ) tφ (fv_fo_fmla_list ψ) tψ)
and fo_exists: wf φ I t ==> wf (Exists i φ) I (eval_exists i (fv_fo_fmla_list φ) t)
and fo_forall: wf φ I t ==> wf (Forall i φ) I (eval_forall i (fv_fo_fmla_list φ) t)
begin

fun eval_fmla :: ('a, 'b) fo_fmla => ('a table, 'b) fo_intp => 't where
| eval_fmla (Pred r ts) I = abs ts (I (r, length ts))
| eval_fmla (Bool b) I = eval_bool b
| eval_fmla (Eq a t t') I = eval_eq t t'
| eval_fmla (Neg φ) I = eval_neg (fv_fo_fmla_list φ) (eval_fmla φ I)
| eval_fmla (Conj φ ψ) I = (let nsφ = fv_fo_fmla_list φ; nsψ = fv_fo_fmla_list ψ;
    Xφ = eval_fmla φ I in
    case ψ of Neg ψ' => let Xψ' = eval_fmla ψ' I in
        eval_ajoin nsφ Xφ (fv_fo_fmla_list ψ') Xψ'
    | _ => eval_conj nsφ Xφ nsψ (eval_fmla ψ I))
| eval_fmla (Disj φ ψ) I = eval_disj (fv_fo_fmla_list φ) (eval_fmla φ I)
    (fv_fo_fmla_list ψ) (eval_fmla ψ I)
| eval_fmla (Exists i φ) I = eval_exists i (fv_fo_fmla_list φ) (eval_fmla φ I)
| eval_fmla (Forall i φ) I = eval_forall i (fv_fo_fmla_list φ) (eval_fmla φ I)

lemma eval_fmla_correct:
fixes φ :: ('a :: infinite, 'b) fo_fmla
assumes wf_fo_intp φ I
shows wf φ I (eval_fmla φ I)
⟨proof⟩

definition eval :: ('a, 'b) fo_fmla => ('a table, 'b) fo_intp => 'a eval_res where
eval φ I = (if wf_fo_intp φ I then res (eval_fmla φ I) else Wf_error)

lemma eval_fmla_proj_sat:
fixes φ :: ('a :: infinite, 'b) fo_fmla
assumes wf_fo_intp φ I
shows rep (eval_fmla φ I) = proj_sat φ I
⟨proof⟩

lemma eval_sound:
fixes φ :: ('a :: infinite, 'b) fo_fmla
assumes eval φ I = Fin Z
shows Z = proj_sat φ I
⟨proof⟩

lemma eval_complete:
fixes φ :: ('a :: infinite, 'b) fo_fmla

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assumes eval φ I = Infin
shows infinite (proj_sat φ I)
⟨proof⟩

end

end

theory Mapping_Code
imports Containers.Mapping_Impl
begin

lift_definition set_of_idx :: "('a, 'b set) mapping ⇒ 'b set" is
λm. ⋃(ran m) ⟨proof⟩

lemma set_of_idx_code[code]:
fixes t :: "('a :: ccompare, 'b set) mapping_rbt"
shows set_of_idx (RBT_Mapping t) =
(case ID CCOMPARE('a) of None ⇒ Code.abort (STR "set_of_idx RBT_Mapping: ccompare = None")
| Some _ ⇒ ⋃(snd ` set (RBT_Mapping2.entries t)))
⟨proof⟩

lemma mapping_combine[code]:
fixes t :: "('a :: ccompare, 'b) mapping_rbt"
shows Mapping.combine f (RBT_Mapping t) (RBT_Mapping u) =
(case ID CCOMPARE('a) of None ⇒ Code.abort (STR "combine RBT_Mapping: ccompare = None")
| Some _ ⇒ RBT_Mapping (RBT_Mapping2.join (λ_. f) t u))
⟨proof⟩

lift_definition mapping_join :: ('b ⇒ 'b ⇒ 'b) ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping ⇒ ('a, 'b)
mapping is
λf m m' x. case m x of None ⇒ None | Some y ⇒ (case m' x of None ⇒ None | Some y' ⇒ Some (f y')
y')) ⟨proof⟩

lemma mapping_join_code[code]:
fixes t :: "('a :: ccompare, 'b) mapping_rbt"
shows mapping_join f (RBT_Mapping t) (RBT_Mapping u) =
(case ID CCOMPARE('a) of None ⇒ Code.abort (STR "mapping_join RBT_Mapping: ccompare = None")
| Some _ ⇒ RBT_Mapping (RBT_Mapping2.meet (λ_. f) t u))
⟨proof⟩

context fixes dummy :: 'a :: ccompare begin

lift_definition diff :: ('a, 'b) mapping_rbt ⇒ ('a, 'b) mapping_rbt ⇒ ('a, 'b) mapping_rbt is rbt_comp_minus ccomp
⟨proof⟩

end

context assumes ID_ccompare_neq_None: ID CCOMPARE('a :: ccompare) ≠ None
begin

lemma lookup_diff:
RBT_Mapping2.lookup (diff (t1 :: ('a, 'b) mapping_rbt) t2) =
(λk. case RBT_Mapping2.lookup t1 k of None ⇒ None | Some v1 ⇒ (case RBT_Mapping2.lookup t2
k of None ⇒ Some v1 | Some v2 ⇒ None))

```

```

⟨proof⟩

end

lift_definition mapping_antijoin :: ('a, 'b) mapping ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping is
  λm m' x. case m x of None ⇒ None | Some y ⇒ (case m' x of None ⇒ Some y | Some y' ⇒ None)
⟨proof⟩

lemma mapping_antijoin_code[code]:
  fixes t :: ('a :: ccompare, 'b) mapping_rbt
  shows mapping_antijoin (RBT_Mapping t) (RBT_Mapping u) =
    (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "mapping_antijoin RBT_Mapping: ccompare
= None") (λ_. mapping_antijoin (RBT_Mapping t) (RBT_Mapping u))
     | Some _ ⇒ RBT_Mapping (diff t u))
  ⟨proof⟩

end

theory Cluster
  imports Mapping_Code
begin

lemma these_Un[simp]: Option.these (A ∪ B) = Option.these A ∪ Option.these B
⟨proof⟩

lemma these_insert[simp]: Option.these (insert x A) = (case x of Some a ⇒ insert a | None ⇒ id)
(Option.these A)
⟨proof⟩

lemma these_image_Un[simp]: Option.these (f ` (A ∪ B)) = Option.these (f ` A) ∪ Option.these (f ` B)
⟨proof⟩

lemma these_imageI: f x = Some y ⇒ x ∈ X ⇒ y ∈ Option.these (f ` X)
⟨proof⟩

lift_definition cluster :: ('b ⇒ 'a option) ⇒ 'b set ⇒ ('a, 'b set) mapping is
  λf Y x. if Some x ∈ f ` Y then Some {y ∈ Y. f y = Some x} else None
⟨proof⟩

lemma set_of_idx_cluster: set_of_idx (cluster (Some ∘ f) X) = X
⟨proof⟩

lemma lookup_cluster': Mapping.lookup (cluster (Some ∘ h) X) y = (if y ∉ h ` X then None else Some
{x ∈ X. h x = y})
⟨proof⟩

context ord
begin

definition add_to_rbt :: 'a × 'b ⇒ ('a, 'b set) rbt ⇒ ('a, 'b set) rbt where
  add_to_rbt = (λ(a, b) t. case rbt_lookup t a of Some X ⇒ rbt_insert a (insert b X) t | None ⇒
  rbt_insert a {b} t)

abbreviation add_option_to_rbt f ≡ (λb _ t. case f b of Some a ⇒ add_to_rbt (a, b) t | None ⇒ t)

definition cluster_rbt :: ('b ⇒ 'a option) ⇒ ('b, unit) rbt ⇒ ('a, 'b set) rbt where
  cluster_rbt f t = RBT_Impl.fold (add_option_to_rbt f) t RBT_Impl.Empty

end

```

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context linorder
begin

lemma is_rbt_add_to_rbt: is_rbt t ==> is_rbt (add_to_rbt ab t)
  ⟨proof⟩

lemma is_rbt_fold_add_to_rbt: is_rbt t' ==>
  is_rbt (RBTImpl.fold (add_option_to_rbt f) t t')
  ⟨proof⟩

lemma is_rbt_cluster_rbt: is_rbt (cluster_rbt f t)
  ⟨proof⟩

lemma rbt_insert_entries_None: is_rbt t ==> rbt_lookup t k = None ==>
  set (RBTImpl.entries (rbt_insert k v t)) = insert (k, v) (set (RBTImpl.entries t))
  ⟨proof⟩

lemma rbt_insert_entries_Some: is_rbt t ==> rbt_lookup t k = Some v' ==>
  set (RBTImpl.entries (rbt_insert k v t)) = insert (k, v) (set (RBTImpl.entries t) - {(k, v')})
  ⟨proof⟩

lemma keys_add_to_rbt: is_rbt t ==> set (RBTImpl.keys (add_to_rbt (a, b) t)) = insert a (set (RBTImpl.keys t))
  ⟨proof⟩

lemma keys_fold_add_to_rbt: is_rbt t' ==> set (RBTImpl.keys (RBTImpl.fold (add_option_to_rbt f) t t')) =
  Option.these (f ` set (RBTImpl.keys t)) ∪ set (RBTImpl.keys t')
  ⟨proof⟩

lemma rbt_lookup_add_to_rbt: is_rbt t ==> rbt_lookup (add_to_rbt (a, b) t) x = (if a = x then Some
  (case rbt_lookup t x of None => {b} | Some Y => insert b Y) else rbt_lookup t x)
  ⟨proof⟩

lemma rbt_lookup_fold_add_to_rbt: is_rbt t' ==> rbt_lookup (RBTImpl.fold (add_option_to_rbt f) t t') x =
  (if x ∈ Option.these (f ` set (RBTImpl.keys t)) ∪ set (RBTImpl.keys t') then Some ({y ∈ set (RBTImpl.keys t). f y = Some x}
    ∪ (case rbt_lookup t' x of None => {} | Some Y => Y)) else None)
  ⟨proof⟩

end

context
  fixes c :: 'a comparator
begin

definition add_to_rbt_comp :: 'a × 'b ⇒ ('a, 'b set) rbt ⇒ ('a, 'b set) rbt where
  add_to_rbt_comp = (λ(a, b) t. case rbt_comp_lookup c t a of None => rbt_comp_insert c a {b} t
  | Some X => rbt_comp_insert c a (insert b X) t)

abbreviation add_option_to_rbt_comp f ≡ (λb _ t. case f b of Some a => add_to_rbt_comp (a, b) t
  | None => t)

definition cluster_rbt_comp :: ('b ⇒ 'a option) ⇒ ('b, unit) rbt ⇒ ('a, 'b set) rbt where
  cluster_rbt_comp f t = RBTImpl.fold (add_option_to_rbt_comp f) t RBTImpl.Empty

context

```

```

assumes c: comparator c
begin

lemma add_to_rbt_comp: add_to_rbt_comp = ord.add_to_rbt (lt_of_comp c)
  (proof)

lemma cluster_rbt_comp: cluster_rbt_comp = ord.cluster_rbt (lt_of_comp c)
  (proof)

end

end

lift_definition mapping_of_cluster :: ('b ⇒ 'a :: ccompare option) ⇒ ('b, unit) rbt ⇒ ('a, 'b set)
mapping_rbt is
  cluster_rbt_comp ccomp
  (proof)

lemma cluster_code[code]:
  fixes f :: 'b :: ccompare ⇒ 'a :: ccompare option and t :: ('b, unit) mapping_rbt
  shows cluster f (RBT_set t) = (case ID CCOMPARE('a) of None ⇒
    Code.abort (STR "cluster: ccompare = None") (λ_. cluster f (RBT_set t))
  | Some c ⇒ (case ID CCOMPARE('b) of None ⇒
    Code.abort (STR "cluster: ccompare = None") (λ_. cluster f (RBT_set t))
  | Some c' ⇒ (RBT_Mapping (mapping_of_cluster f (RBT_Mapping2.impl_of t)))))

end
(proof)

end
theory Ailamazyan
  imports Eval_FO Cluster Mapping_Code
begin

fun SP :: ('a, 'b) fo_fmla ⇒ nat set where
  SP (Eqa (Var n) (Var n')) = (if n ≠ n' then {n, n'} else {})
  | SP (Neg φ) = SP φ
  | SP (Conj φ ψ) = SP φ ∪ SP ψ
  | SP (Disj φ ψ) = SP φ ∪ SP ψ
  | SP (Exists n φ) = SP φ - {n}
  | SP (Forall n φ) = SP φ - {n}
  | SP _ = {}

lemma SP_fv: SP φ ⊆ fv_fo_fmla φ
  (proof)

lemma finite_SP: finite (SP φ)
  (proof)

fun SP_list_rec :: ('a, 'b) fo_fmla ⇒ nat list where
  SP_list_rec (Eqa (Var n) (Var n')) = (if n ≠ n' then [n, n'] else [])
  | SP_list_rec (Neg φ) = SP_list_rec φ
  | SP_list_rec (Conj φ ψ) = SP_list_rec φ @ SP_list_rec ψ
  | SP_list_rec (Disj φ ψ) = SP_list_rec φ @ SP_list_rec ψ
  | SP_list_rec (Exists n φ) = filter (λm. n ≠ m) (SP_list_rec φ)
  | SP_list_rec (Forall n φ) = filter (λm. n ≠ m) (SP_list_rec φ)
  | SP_list_rec _ = []

definition SP_list :: ('a, 'b) fo_fmla ⇒ nat list where
  SP_list φ = remdups_adj (sort (SP_list_rec φ))

```

```

lemma SP_list_set: set (SP_list  $\varphi$ ) = SP  $\varphi$ 
   $\langle proof \rangle$ 

lemma sorted_distinct_SP_list: sorted_distinct (SP_list  $\varphi$ )
   $\langle proof \rangle$ 

fun d :: ('a, 'b) fo_fmla  $\Rightarrow$  nat where
  | d (Eq a (Var n) (Var n')) = (if n  $\neq$  n' then 2 else 1)
  | d (Neg  $\varphi$ ) = d  $\varphi$ 
  | d (Conj  $\varphi$   $\psi$ ) = max (d  $\varphi$ ) (max (d  $\psi$ ) (card (SP (Conj  $\varphi$   $\psi$ ))))
  | d (Disj  $\varphi$   $\psi$ ) = max (d  $\varphi$ ) (max (d  $\psi$ ) (card (SP (Disj  $\varphi$   $\psi$ ))))
  | d (Exists n  $\varphi$ ) = d  $\varphi$ 
  | d (Forall n  $\varphi$ ) = d  $\varphi$ 
  | d _ = 1

lemma d_pos: 1  $\leq$  d  $\varphi$ 
   $\langle proof \rangle$ 

lemma card_SP_d: card (SP  $\varphi$ )  $\leq$  d  $\varphi$ 
   $\langle proof \rangle$ 

fun eval_eterm :: ('a + 'c) val  $\Rightarrow$  'a fo_term  $\Rightarrow$  'a + 'c (infix  $\cdot e \cdot$  60) where
  | eval_eterm  $\sigma$  (Const c) = Inl c
  | eval_eterm  $\sigma$  (Var n) =  $\sigma$  n

definition eval_eterms :: ('a + 'c) val  $\Rightarrow$  ('a fo_term) list  $\Rightarrow$ 
  ('a + 'c) list (infix  $\odot e \cdot$  60) where
  eval_eterms  $\sigma$  ts = map (eval_eterm  $\sigma$ ) ts

lemma eval_eterm_cong: ( $\bigwedge n. n \in fv\_fo\_term\_set t \implies \sigma n = \sigma' n$ )  $\implies$ 
  eval_eterm  $\sigma$  t = eval_eterm  $\sigma'$  t
   $\langle proof \rangle$ 

lemma eval_eterms_fv_fo_terms_set:  $\sigma \odot e$  ts =  $\sigma' \odot e$  ts  $\implies$  n  $\in$  fv_fo_terms_set ts  $\implies$   $\sigma n = \sigma' n$ 
   $\langle proof \rangle$ 

lemma eval_eterms_fv_fo_terms_set: ( $\bigwedge n. n \in fv\_fo\_terms\_set ts \implies \sigma n = \sigma' n$ )  $\implies$ 
  eval_eterms  $\sigma$  ts = eval_eterms  $\sigma'$  ts
   $\langle proof \rangle$ 

lemma eval_terms_eterms: map Inl ( $\sigma \odot$  ts) = (Inl  $\circ$   $\sigma$ )  $\odot e$  ts
   $\langle proof \rangle$ 

fun ad_equiv_pair :: 'a set  $\Rightarrow$  ('a + 'c)  $\times$  ('a + 'c)  $\Rightarrow$  bool where
  ad_equiv_pair X (a, a')  $\longleftrightarrow$  (a  $\in$  Inl 'X  $\longrightarrow$  a = a')  $\wedge$  (a'  $\in$  Inl 'X  $\longrightarrow$  a = a')

fun sp_equiv_pair :: 'a  $\times$  'b  $\Rightarrow$  'a  $\times$  'b  $\Rightarrow$  bool where
  sp_equiv_pair (a, b) (a', b')  $\longleftrightarrow$  (a = a'  $\longleftrightarrow$  b = b')

definition ad_equiv_list :: 'a set  $\Rightarrow$  ('a + 'c) list  $\Rightarrow$  ('a + 'c) list  $\Rightarrow$  bool where
  ad_equiv_list X xs ys  $\longleftrightarrow$  length xs = length ys  $\wedge$  ( $\forall x \in$  set (zip xs ys). ad_equiv_pair X x)

definition sp_equiv_list :: ('a + 'c) list  $\Rightarrow$  ('a + 'c) list  $\Rightarrow$  bool where
  sp_equiv_list xs ys  $\longleftrightarrow$  length xs = length ys  $\wedge$  pairwise sp_equiv_pair (set (zip xs ys))

definition ad_agr_list :: 'a set  $\Rightarrow$  ('a + 'c) list  $\Rightarrow$  ('a + 'c) list  $\Rightarrow$  bool where
  ad_agr_list X xs ys  $\longleftrightarrow$  length xs = length ys  $\wedge$  ad_equiv_list X xs ys  $\wedge$  sp_equiv_list xs ys

```

```

lemma ad_equiv_pair_refl[simp]: ad_equiv_pair X (a, a)
  <proof>

declare ad_equiv_pair.simps[simp del]

lemma ad_equiv_pair_comm: ad_equiv_pair X (a, a')  $\longleftrightarrow$  ad_equiv_pair X (a', a)
  <proof>

lemma ad_equiv_pair_mono: X  $\subseteq$  Y  $\implies$  ad_equiv_pair Y (a, a')  $\implies$  ad_equiv_pair X (a, a')
  <proof>

lemma sp_equiv_pair_comm: sp_equiv_pair x y  $\longleftrightarrow$  sp_equiv_pair y x
  <proof>

definition sp_equiv :: ('a + 'c) val  $\Rightarrow$  ('a + 'c) val  $\Rightarrow$  nat set  $\Rightarrow$  bool where
  sp_equiv σ τ I  $\longleftrightarrow$  pairwise sp_equiv_pair ((λn. (σ n, τ n)) ` I)

lemma sp_equiv_mono: I  $\subseteq$  J  $\implies$  sp_equiv σ τ J  $\implies$  sp_equiv σ τ I
  <proof>

definition ad_agr_sets :: nat set  $\Rightarrow$  nat set  $\Rightarrow$  'a set  $\Rightarrow$  ('a + 'c) val  $\Rightarrow$ 
  ('a + 'c) val  $\Rightarrow$  bool where
  ad_agr_sets FV S X σ τ  $\longleftrightarrow$  ( $\forall i \in FV$ . ad_equiv_pair X (σ i, τ i))  $\wedge$  sp_equiv σ τ S

lemma ad_agr_sets_comm: ad_agr_sets FV S X σ τ  $\implies$  ad_agr_sets FV S X τ σ
  <proof>

lemma ad_agr_sets_mono: X  $\subseteq$  Y  $\implies$  ad_agr_sets FV S Y σ τ  $\implies$  ad_agr_sets FV S X σ τ
  <proof>

lemma ad_agr_sets_mono': S  $\subseteq$  S'  $\implies$  ad_agr_sets FV S' X σ τ  $\implies$  ad_agr_sets FV S X σ τ
  <proof>

lemma ad_equiv_list_comm: ad_equiv_list X xs ys  $\implies$  ad_equiv_list X ys xs
  <proof>

lemma ad_equiv_list_mono: X  $\subseteq$  Y  $\implies$  ad_equiv_list Y xs ys  $\implies$  ad_equiv_list X xs ys
  <proof>

lemma ad_equiv_list_trans:
  assumes ad_equiv_list X xs ys ad_equiv_list X ys zs
  shows ad_equiv_list X xs zs
  <proof>

lemma ad_equiv_list_link: ( $\forall i \in \text{set } ns$ . ad_equiv_pair X (σ i, τ i))  $\longleftrightarrow$ 
  ad_equiv_list X (map σ ns) (map τ ns)
  <proof>

lemma set_zip_comm: (x, y)  $\in$  set (zip xs ys)  $\implies$  (y, x)  $\in$  set (zip ys xs)
  <proof>

lemma set_zip_map: set (zip (map σ ns) (map τ ns)) = ( $\lambda n$ . (σ n, τ n)) ` set ns
  <proof>

lemma sp_equiv_list_comm: sp_equiv_list xs ys  $\implies$  sp_equiv_list ys xs
  <proof>

```

```

lemma sp_equiv_list_trans:
  assumes sp_equiv_list xs ys sp_equiv_list ys zs
  shows sp_equiv_list xs zs
  (proof)

lemma sp_equiv_list_link: sp_equiv_list (map σ ns) (map τ ns)  $\longleftrightarrow$  sp_equiv σ τ (set ns)
  (proof)

lemma ad_agr_list_comm: ad_agr_list X xs ys  $\Longrightarrow$  ad_agr_list X ys xs
  (proof)

lemma ad_agr_list_mono: X ⊆ Y  $\Longrightarrow$  ad_agr_list Y ys xs  $\Longrightarrow$  ad_agr_list X ys xs
  (proof)

lemma ad_agr_list_rev_mono:
  assumes Y ⊆ X ad_agr_list Y ys xs Inl -` set xs ⊆ Y Inl -` set ys ⊆ Y
  shows ad_agr_list X ys xs
  (proof)

lemma ad_agr_list_trans: ad_agr_list X xs ys  $\Longrightarrow$  ad_agr_list X ys zs  $\Longrightarrow$  ad_agr_list X xs zs
  (proof)

lemma ad_agr_list_refl: ad_agr_list X xs xs
  (proof)

lemma ad_agr_list_set: ad_agr_list X xs ys  $\Longrightarrow$  y ∈ X  $\Longrightarrow$  Inl y ∈ set ys  $\Longrightarrow$  Inl y ∈ set xs
  (proof)

lemma ad_agr_list_length: ad_agr_list X xs ys  $\Longrightarrow$  length xs = length ys
  (proof)

lemma ad_agr_list_eq: set ys ⊆ AD  $\Longrightarrow$  ad_agr_list AD (map Inl xs) (map Inl ys)  $\Longrightarrow$  xs = ys
  (proof)

lemma sp_equiv_list_subset:
  assumes set ms ⊆ set ns sp_equiv_list (map σ ns) (map σ' ns)
  shows sp_equiv_list (map σ ms) (map σ' ms)
  (proof)

lemma ad_agr_list_subset: set ms ⊆ set ns  $\Longrightarrow$  ad_agr_list X (map σ ns) (map σ' ns)  $\Longrightarrow$ 
  ad_agr_list X (map σ ms) (map σ' ms)
  (proof)

lemma ad_agr_list_link: ad_agr_sets (set ns) (set ns) AD σ τ  $\longleftrightarrow$ 
  ad_agr_list AD (map σ ns) (map τ ns)
  (proof)

definition ad_agr :: ('a, 'b) fo_fmla  $\Rightarrow$  'a set  $\Rightarrow$  ('a + 'c) val  $\Rightarrow$  ('a + 'c) val  $\Rightarrow$  bool where
  ad_agr φ X σ τ  $\longleftrightarrow$  ad_agr_sets (fv_fo_fmla φ) (SP φ) X σ τ

lemma ad_agr_sets_restrict:
  ad_agr_sets (set (fv_fo_fmla_list φ)) (set (fv_fo_fmla_list φ)) AD σ τ  $\Longrightarrow$  ad_agr φ AD σ τ
  (proof)

lemma finite_Inl: finite X  $\Longrightarrow$  finite (Inl -` X)
  (proof)

lemma ex_out:

```

```

assumes finite X
shows  $\exists k. k \notin X \wedge k < Suc(card X)$ 
⟨proof⟩

lemma extend_τ:
assumes ad_agr_sets (FV - {n}) (S - {n}) X σ τ S ⊆ FV finite S τ ‘(FV - {n}) ⊆ Z
 $Inl`X \cup Inr`\{.. < max 1 (card (Inr -`τ` (S - {n})) + (if n ∈ S then 1 else 0))\} ⊆ Z$ 
shows  $\exists k \in Z. ad\_agr\_sets FV S X (\sigma(n := x)) (\tau(n := k))$ 
⟨proof⟩

lemma esat_Pred:
assumes ad_agr_sets FV S ( $\bigcup (set`X)$ ) σ τ fv_fo_terms_set ts ⊆ FV σ ⊕ e ts ∈ map Inl ` X
 $t \in set ts$ 
shows  $\sigma \cdot e t = \tau \cdot e t$ 
⟨proof⟩

lemma sp_equiv_list_fv:
assumes ( $\bigwedge i. i \in fv\_fo\_terms\_set ts \implies ad\_equiv\_pair X (\sigma i, \tau i)$ )
 $\bigcup (set\_fo\_term`set ts) \subseteq X sp\_equiv \sigma \tau (fv\_fo\_terms\_set ts)$ 
shows sp_equiv_list (map ((·e) σ) ts) (map ((·e) τ) ts)
⟨proof⟩

lemma esat_Pred_inf:
assumes fv_fo_terms_set ts ⊆ FV fv_fo_terms_set ts ⊆ S
ad_agr_sets FV S AD σ τ ad_agr_list AD (σ ⊕ e ts) vs
 $\bigcup (set\_fo\_term`set ts) \subseteq AD$ 
shows ad_agr_list AD (τ ⊕ e ts) vs
⟨proof⟩

type_synonym ('a, 'c) fo_t = 'a set × nat × ('a + 'c) table

fun esat :: ('a, 'b) fo_fmla ⇒ ('a table, 'b) fo_intp ⇒ ('a + nat) val ⇒ ('a + nat) set ⇒ bool where
esat (Pred r ts) I σ X ↔ σ ⊕ e ts ∈ map Inl ` I (r, length ts)
| esat (Bool b) I σ X ↔ b
| esat (Eqa t t') I σ X ↔ σ · e t = σ · e t'
| esat (Neg φ) I σ X ↔ ¬esat φ I σ X
| esat (Conj φ ψ) I σ X ↔ esat φ I σ X ∧ esat ψ I σ X
| esat (Disj φ ψ) I σ X ↔ esat φ I σ X ∨ esat ψ I σ X
| esat (Exists n φ) I σ X ↔ (∃ x ∈ X. esat φ I (σ(n := x)) X)
| esat (Forall n φ) I σ X ↔ (∀ x ∈ X. esat φ I (σ(n := x)) X)

fun sz_fmla :: ('a, 'b) fo_fmla ⇒ nat where
sz_fmla (Neg φ) = Suc (sz_fmla φ)
| sz_fmla (Conj φ ψ) = Suc (sz_fmla φ + sz_fmla ψ)
| sz_fmla (Disj φ ψ) = Suc (sz_fmla φ + sz_fmla ψ)
| sz_fmla (Exists n φ) = Suc (sz_fmla φ)
| sz_fmla (Forall n φ) = Suc (Suc (Suc (Suc (sz_fmla φ))))
| sz_fmla _ = 0

lemma sz_fmla_induct[case_names Pred Bool Eqa Neg Conj Disj Exists Forall]:
 $(\bigwedge r ts. P(Pred r ts)) \implies (\bigwedge b. P(Bool b)) \implies$ 
 $(\bigwedge t t'. P(Eqa t t')) \implies (\bigwedge \varphi. P \varphi \implies P(Neg \varphi)) \implies$ 
 $(\bigwedge \varphi \psi. P \varphi \implies P \psi \implies P(Conj \varphi \psi)) \implies (\bigwedge \varphi \psi. P \varphi \implies P \psi \implies P(Disj \varphi \psi)) \implies$ 
 $(\bigwedge n \varphi. P \varphi \implies P(Exists n \varphi)) \implies (\bigwedge n \varphi. P(Exists n (Neg \varphi)) \implies P(Forall n \varphi)) \implies P \varphi$ 
⟨proof⟩

lemma esat_fv_cong:  $(\bigwedge n. n \in fv\_fo\_fmla \varphi \implies \sigma n = \sigma' n) \implies esat \varphi I \sigma X \leftrightarrow esat \varphi I \sigma' X$ 
⟨proof⟩

```

```

fun ad_terms :: ('a fo_term) list  $\Rightarrow$  'a set where
  ad_terms ts =  $\bigcup$  (set (map set_fo_term ts))

fun act_edom :: ('a, 'b) fo_fmla  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  'a set where
  act_edom (Pred r ts) I = ad_terms ts  $\cup$   $\bigcup$  (set 'I (r, length ts))
  | act_edom (Bool b) I = {}
  | act_edom (Eqa t t') I = set_fo_term t  $\cup$  set_fo_term t'
  | act_edom (Neg  $\varphi$ ) I = act_edom  $\varphi$  I
  | act_edom (Conj  $\varphi$   $\psi$ ) I = act_edom  $\varphi$  I  $\cup$  act_edom  $\psi$  I
  | act_edom (Disj  $\varphi$   $\psi$ ) I = act_edom  $\varphi$  I  $\cup$  act_edom  $\psi$  I
  | act_edom (Exists n  $\varphi$ ) I = act_edom  $\varphi$  I
  | act_edom (Forall n  $\varphi$ ) I = act_edom  $\varphi$  I

lemma finite_act_edom: wf_fo_intp  $\varphi$  I  $\implies$  finite (act_edom  $\varphi$  I)
   $\langle$ proof $\rangle$ 

fun fo_adom :: ('a, 'c) fo_t  $\Rightarrow$  'a set where
  fo_adom (AD, n, X) = AD

theorem main: ad_agr  $\varphi$  AD  $\sigma$   $\tau$   $\implies$  act_edom  $\varphi$  I  $\subseteq$  AD  $\implies$ 
  Inl 'AD  $\cup$  Inr '{..<d  $\varphi$ }  $\subseteq$  X  $\implies$   $\tau$  'fv_fo_fmla  $\varphi$   $\subseteq$  X  $\implies$ 
  esat  $\varphi$  I  $\sigma$  UNIV  $\longleftrightarrow$  esat  $\varphi$  I  $\tau$  X
   $\langle$ proof $\rangle$ 

lemma main_cor_inf:
  assumes ad_agr  $\varphi$  AD  $\sigma$   $\tau$  act_edom  $\varphi$  I  $\subseteq$  AD d  $\varphi \leq n$ 
   $\tau$  'fv_fo_fmla  $\varphi$   $\subseteq$  Inl 'AD  $\cup$  Inr '{..<n}
  shows esat  $\varphi$  I  $\sigma$  UNIV  $\longleftrightarrow$  esat  $\varphi$  I  $\tau$  (Inl 'AD  $\cup$  Inr '{..<n})
   $\langle$ proof $\rangle$ 

lemma esat_UNIV_cong:
  fixes  $\sigma$  :: nat  $\Rightarrow$  'a + nat
  assumes ad_agr  $\varphi$  AD  $\sigma$   $\tau$  act_edom  $\varphi$  I  $\subseteq$  AD
  shows esat  $\varphi$  I  $\sigma$  UNIV  $\longleftrightarrow$  esat  $\varphi$  I  $\tau$  UNIV
   $\langle$ proof $\rangle$ 

lemma esat_UNIV_ad_agr_list:
  fixes  $\sigma$  :: nat  $\Rightarrow$  'a + nat
  assumes ad_agr_list AD (map  $\sigma$  (fv_fo_fmla_list  $\varphi$ )) (map  $\tau$  (fv_fo_fmla_list  $\varphi$ ))
    act_edom  $\varphi$  I  $\subseteq$  AD
  shows esat  $\varphi$  I  $\sigma$  UNIV  $\longleftrightarrow$  esat  $\varphi$  I  $\tau$  UNIV
   $\langle$ proof $\rangle$ 

fun fo_rep :: ('a, 'c) fo_t  $\Rightarrow$  'a table where
  fo_rep (AD, n, X) = {ts.  $\exists$  ts'  $\in$  X. ad_agr_list AD (map Inl ts) ts'}lemma sat_esat_conv:
  fixes  $\varphi$  :: ('a :: infinite, 'b) fo_fmla
  assumes fin: wf_fo_intp  $\varphi$  I
  shows sat  $\varphi$  I  $\sigma$   $\longleftrightarrow$  esat  $\varphi$  I (Inl  $\circ$   $\sigma$  :: nat  $\Rightarrow$  'a + nat) UNIV
   $\langle$ proof $\rangle$ 

lemma sat_ad_agr_list:
  fixes  $\varphi$  :: ('a :: infinite, 'b) fo_fmla
  and J :: (('a, nat) fo_t, 'b) fo_intp
  assumes wf_fo_intp  $\varphi$  I
    ad_agr_list AD (map (Inl  $\circ$   $\sigma$  :: nat  $\Rightarrow$  'a + nat) (fv_fo_fmla_list  $\varphi$ ))

```

```


$$(map (Inl \circ \tau) (fv\_fo\_fmla\_list \varphi)) \text{act\_edom } \varphi I \subseteq AD$$

shows sat \varphi I \sigma \longleftrightarrow sat \varphi I \tau
⟨proof⟩

definition nfv :: ('a, 'b) fo_fmla ⇒ nat where
nfv \varphi = length (fv\_fo\_fmla\_list \varphi)

lemma nfv_card: nfv \varphi = card (fv\_fo\_fmla \varphi)
⟨proof⟩

fun rremdups :: 'a list ⇒ 'a list where
rremdups [] = []
| rremdups (x # xs) = x # rremdups (filter ((\neq) x) xs)

lemma filter_rremdups_filter: filter P (rremdups (filter Q xs)) =
rremdups (filter (\lambda x. P x \wedge Q x) xs)
⟨proof⟩

lemma filter_rremdups: filter P (rremdups xs) = rremdups (filter P xs)
⟨proof⟩

lemma filter_take: \exists j. filter P (take i xs) = take j (filter P xs)
⟨proof⟩

lemma rremdups_take: \exists j. rremdups (take i xs) = take j (rremdups xs)
⟨proof⟩

lemma rremdups_app: rremdups (xs @ [x]) = rremdups xs @ (if x \in set xs then [] else [x])
⟨proof⟩

lemma rremdups_set: set (rremdups xs) = set xs
⟨proof⟩

lemma distinct_rremdups: distinct (rremdups xs)
⟨proof⟩

lemma length_rremdups: length (rremdups xs) = card (set xs)
⟨proof⟩

lemma set_map_filter_sum: set (List.map_filter (case_sum Map.empty Some) xs) = Inr -` set xs
⟨proof⟩

definition nats :: nat list ⇒ bool where
nats ns = (ns = [0..<length ns])

definition fo_nmlzd :: 'a set ⇒ ('a + nat) list ⇒ bool where
fo_nmlzd AD xs \longleftrightarrow Inl -` set xs \subseteq AD \wedge
(let ns = List.map_filter (case_sum Map.empty Some) xs in nats (rremdups ns))

lemma fo_nmlzd_all_AD:
assumes set xs \subseteq Inl ` AD
shows fo_nmlzd AD xs
⟨proof⟩

lemma card_Inr_vimage_le_length: card (Inr -` set xs) \leq length xs
⟨proof⟩

lemma fo_nmlzd_set:

```

```

assumes fo_nmlzd AD xs
shows set xs = set xs ∩ Inl ‘ AD ∪ Inr ‘ {.. $<\min(\text{length } xs)$  (card (Inr – ‘ set xs))}

⟨proof⟩

lemma map_filter_take:  $\exists j. \text{List.map\_filter } f (\text{take } i \text{ xs}) = \text{take } j (\text{List.map\_filter } f \text{ xs})$ 
⟨proof⟩

lemma fo_nmlzd_take: assumes fo_nmlzd AD xs
shows fo_nmlzd AD (take i xs)
⟨proof⟩

lemma map_filter_app: List.map_filter f (xs @ [x]) = List.map_filter f xs @
(case f x of Some y ⇒ [y] | _ ⇒ [])
⟨proof⟩

lemma fo_nmlzd_app_Inr: Inr n ∈ set xs ⇒ Inr n' ∈ set xs ⇒ fo_nmlzd AD (xs @ [Inr n]) ⇒
fo_nmlzd AD (xs @ [Inr n']) ⇒ n = n'
⟨proof⟩

fun all_tuples :: 'c set ⇒ nat ⇒ 'c table where
all_tuples xs 0 = {[]}
| all_tuples xs (Suc n) = ∪((λas. (λx. x # as) ‘ xs) ‘ (all_tuples xs n))

definition nall_tuples :: 'a set ⇒ nat ⇒ ('a + nat) table where
nall_tuples AD n = {zs ∈ all_tuples (Inl ‘ AD ∪ Inr ‘ {.. $<n$ }) n. fo_nmlzd AD zs}

lemma all_tuples_finite: finite xs ⇒ finite (all_tuples xs n)
⟨proof⟩

lemma nall_tuples_finite: finite AD ⇒ finite (nall_tuples AD n)
⟨proof⟩

lemma all_tuplesI: length vs = n ⇒ set vs ⊆ xs ⇒ vs ∈ all_tuples xs n
⟨proof⟩

lemma nall_tuplesI: length vs = n ⇒ fo_nmlzd AD vs ⇒ vs ∈ nall_tuples AD n
⟨proof⟩

lemma all_tuplesD: vs ∈ all_tuples xs n ⇒ length vs = n ∧ set vs ⊆ xs
⟨proof⟩

lemma all_tuples_setD: vs ∈ all_tuples xs n ⇒ set vs ⊆ xs
⟨proof⟩

lemma nall_tuplesD: vs ∈ nall_tuples AD n ⇒
length vs = n ∧ set vs ⊆ Inl ‘ AD ∪ Inr ‘ {.. $<n$ } ∧ fo_nmlzd AD vs
⟨proof⟩

lemma all_tuples_set: all_tuples xs n = {ys. length ys = n ∧ set ys ⊆ xs}
⟨proof⟩

lemma nall_tuples_set: nall_tuples AD n = {ys. length ys = n ∧ fo_nmlzd AD ys}
⟨proof⟩

fun pos :: 'a ⇒ 'a list ⇒ nat option where
pos a [] = None
| pos a (x # xs) =
(if a = x then Some 0 else (case pos a xs of Some n ⇒ Some (Suc n) | _ ⇒ None))

```

```

lemma pos_set: pos a xs = Some i  $\Rightarrow$  a  $\in$  set xs
⟨proof⟩

lemma pos_length: pos a xs = Some i  $\Rightarrow$  i < length xs
⟨proof⟩

lemma pos_sound: pos a xs = Some i  $\Rightarrow$  i < length xs  $\wedge$  xs ! i = a
⟨proof⟩

lemma pos_complete: pos a xs = None  $\Rightarrow$  a  $\notin$  set xs
⟨proof⟩

fun rem_nth :: nat  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  rem_nth [] = []
  | rem_nth 0 (x # xs) = xs
  | rem_nth (Suc n) (x # xs) = x # rem_nth n xs

lemma rem_nth_length: i < length xs  $\Rightarrow$  length (rem_nth i xs) = length xs - 1
⟨proof⟩

lemma rem_nth_take_drop: i < length xs  $\Rightarrow$  rem_nth i xs = take i xs @ drop (Suc i) xs
⟨proof⟩

lemma rem_nth_sound: distinct xs  $\Rightarrow$  pos n xs = Some i  $\Rightarrow$ 
  rem_nth i (map σ xs) = map σ (filter (( $\neq$ ) n) xs)
⟨proof⟩

fun add_nth :: nat  $\Rightarrow$  'a  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  add_nth 0 a xs = a # xs
  | add_nth (Suc n) a zs = (case zs of x # xs  $\Rightarrow$  x # add_nth n a xs)

lemma add_nth_length: i  $\leq$  length zs  $\Rightarrow$  length (add_nth i z zs) = Suc (length zs)
⟨proof⟩

lemma add_nth_take_drop: i  $\leq$  length zs  $\Rightarrow$  add_nth i v zs = take i zs @ v # drop i zs
⟨proof⟩

lemma add_nth_rem_nth_map: distinct xs  $\Rightarrow$  pos n xs = Some i  $\Rightarrow$ 
  add_nth i a (rem_nth i (map σ xs)) = map (σ(n := a)) xs
⟨proof⟩

lemma add_nth_rem_nth_self: i < length xs  $\Rightarrow$  add_nth i (xs ! i) (rem_nth i xs) = xs
⟨proof⟩

lemma rem_nth_add_nth: i  $\leq$  length zs  $\Rightarrow$  rem_nth i (add_nth i z zs) = zs
⟨proof⟩

fun merge :: (nat  $\times$  'a) list  $\Rightarrow$  (nat  $\times$  'a) list  $\Rightarrow$  (nat  $\times$  'a) list where
  merge [] mys = mys
  | merge nxs [] = nxs
  | merge ((n, x) # nxs) ((m, y) # mys) =
    (if n  $\leq$  m then (n, x) # merge nxs ((m, y) # mys)
     else (m, y) # merge ((n, x) # nxs) mys)

lemma merge_Nil2[simp]: merge nxs [] = nxs
⟨proof⟩

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lemma merge_length: length (merge nxs mys) = length (map fst nxs @ map fst mys)
  ⟨proof⟩

lemma insert_aux_le: ∀ x∈set nxs. n ≤ fst x ⇒ ∀ x∈set mys. m ≤ fst x ⇒ n ≤ m ⇒
  insert n (sort (map fst nxs @ m # map fst mys)) = n # sort (map fst nxs @ m # map fst mys)
  ⟨proof⟩

lemma insert_aux_gt: ∀ x∈set nxs. n ≤ fst x ⇒ ∀ x∈set mys. m ≤ fst x ⇒ n ≤ m ⇒
  insert n (sort (map fst nxs @ m # map fst mys)) =
  m # insert n (sort (map fst nxs @ map fst mys))
  ⟨proof⟩

lemma map_fst_merge: sorted_distinct (map fst nxs) ⇒ sorted_distinct (map fst mys) ⇒
  map fst (merge nxs mys) = sort (map fst nxs @ map fst mys)
  ⟨proof⟩

lemma merge_map': sorted_distinct (map fst nxs) ⇒ sorted_distinct (map fst mys) ⇒
  fst ` set nxs ∩ fst ` set mys = {} ⇒
  map snd nxs = map σ (map fst nxs) ⇒ map snd mys = map σ (map fst mys) ⇒
  map snd (merge nxs mys) = map σ (sort (map fst nxs @ map fst mys))
  ⟨proof⟩

lemma merge_map: sorted_distinct ns ⇒ sorted_distinct ms ⇒ set ns ∩ set ms = {} ⇒
  map snd (merge (zip ns (map σ ns)) (zip ms (map σ ms))) = map σ (sort (ns @ ms))
  ⟨proof⟩

fun fo_nmlz_rec :: nat ⇒ ('a + nat → nat) ⇒ 'a set ⇒
  ('a + nat) list ⇒ ('a + nat) list where
  fo_nmlz_rec i m AD [] = []
  | fo_nmlz_rec i m AD (Inl x # xs) = (if x ∈ AD then Inl x # fo_nmlz_rec i m AD xs else
    (case m (Inl x) of None ⇒ Inr i # fo_nmlz_rec (Suc i) (m(Inl x ↦ i)) AD xs
    | Some j ⇒ Inr j # fo_nmlz_rec i m AD xs))
  | fo_nmlz_rec i m AD (Inr n # xs) = (case m (Inr n) of None ⇒
    Inr i # fo_nmlz_rec (Suc i) (m(Inr n ↦ i)) AD xs
    | Some j ⇒ Inr j # fo_nmlz_rec i m AD xs)

lemma fo_nmlz_rec_sound: ran m ⊆ {..<i} ⇒ filter ((≤) i) (rremdups
  (List.map_filter (case_sum Map.empty Some) (fo_nmlz_rec i m AD xs))) = ns ⇒
  ns = [i..<i + length ns]
  ⟨proof⟩

definition id_map :: nat ⇒ ('a + nat → nat) where
  id_map n = (λx. case x of Inl x ⇒ None | Inr x ⇒ if x < n then Some x else None)

lemma fo_nmlz_rec_idem: Inl ` set ys ⊆ AD ⇒
  rremdups (List.map_filter (case_sum Map.empty Some) ys) = ns ⇒
  set (filter (λn. n < i) ns) ⊆ {..<i} ⇒ filter ((≤) i) ns = [i..<i + k] ⇒
  fo_nmlz_rec i (id_map i) AD ys = ys
  ⟨proof⟩

lemma fo_nmlz_rec_length: length (fo_nmlz_rec i m AD xs) = length xs
  ⟨proof⟩

lemma insert_Inr: ∀X. insert (Inr i) (X ∪ Inr ` {..<i}) = X ∪ Inr ` {..<Suc i}
  ⟨proof⟩

lemma fo_nmlz_rec_set: ran m ⊆ {..<i} ⇒ set (fo_nmlz_rec i m AD xs) ∪ Inr ` {..<i} =
  set xs ∩ Inl ` AD ∪ Inr ` {..<i + card (set xs - Inl ` AD - dom m)}

```

$\langle proof \rangle$

```

lemma fo_nmlz_rec_set_rev: set (fo_nmlz_rec i m AD xs) ⊆ Inl ` AD ⇒ set xs ⊆ Inl ` AD
 $\langle proof \rangle$ 

lemma fo_nmlz_rec_map: inj_on m (dom m) ⇒ ran m ⊆ {..<i} ⇒ ∃ m'. inj_on m' (dom m') ∧
(∀ n. m n ≠ None → m' n = m n) ∧ (∀ (x, y) ∈ set (zip xs (fo_nmlz_rec i m AD xs)).
(case x of Inl x' ⇒ if x' ∈ AD then x = y else ∃ j. m' (Inl x') = Some j ∧ y = Inr j
| Inr n ⇒ ∃ j. m' (Inr n) = Some j ∧ y = Inr j))
 $\langle proof \rangle$ 

lemma ad_agr_map:
assumes length xs = length ys inj_on m (dom m)
 $\wedge \forall x y. (x, y) \in set (zip xs ys) \Rightarrow (case x of Inl x' \Rightarrow$ 
 $\quad if x' \in AD then x = y else m x = Some y \wedge (case y of Inl z \Rightarrow z \notin AD \mid Inr _ \Rightarrow True)$ 
 $\quad | Inr n \Rightarrow m x = Some y \wedge (case y of Inl z \Rightarrow z \notin AD \mid Inr _ \Rightarrow True))$ 
shows ad_agr_list AD xs ys
 $\langle proof \rangle$ 

lemma fo_nmlz_rec_take: take n (fo_nmlz_rec i m AD xs) = fo_nmlz_rec i m AD (take n xs)
 $\langle proof \rangle$ 

definition fo_nmlz :: 'a set ⇒ ('a + nat) list ⇒ ('a + nat) list where
fo_nmlz = fo_nmlz_rec 0 Map.empty

lemma fo_nmlz_Nil[simp]: fo_nmlz AD [] = []
 $\langle proof \rangle$ 

lemma fo_nmlz_Cons: fo_nmlz AD [x] =
(case x of Inl x ⇒ if x ∈ AD then [Inl x] else [Inr 0] | _ ⇒ [Inr 0])
 $\langle proof \rangle$ 

lemma fo_nmlz_Cons_Cons: fo_nmlz AD [x, x] =
(case x of Inl x ⇒ if x ∈ AD then [Inl x, Inl x] else [Inr 0, Inr 0] | _ ⇒ [Inr 0, Inr 0])
 $\langle proof \rangle$ 

lemma fo_nmlz_sound: fo_nmlzd AD (fo_nmlz AD xs)
 $\langle proof \rangle$ 

lemma fo_nmlz_length: length (fo_nmlz AD xs) = length xs
 $\langle proof \rangle$ 

lemma fo_nmlz_map: ∃ τ. fo_nmlz AD (map σ ns) = map τ ns
 $\langle proof \rangle$ 

lemma card_set_minus: card (set xs - X) ≤ length xs
 $\langle proof \rangle$ 

lemma fo_nmlz_set: set (fo_nmlz AD xs) =
set xs ∩ Inl ` AD ∪ Inr ` {..<min (length xs) (card (set xs - Inl ` AD))}
 $\langle proof \rangle$ 

lemma fo_nmlz_set_rev: set (fo_nmlz AD xs) ⊆ Inl ` AD ⇒ set xs ⊆ Inl ` AD
 $\langle proof \rangle$ 

lemma inj_on_empty: inj_on Map.empty (dom Map.empty) and ran_empty upto: ran Map.empty ⊆
{..<0}
 $\langle proof \rangle$ 

```

```

lemma fo_nmlz_ad_agr: ad_agr_list AD xs (fo_nmlz AD xs)
  <proof>

lemma fo_nmlzd_mono: Inl -` set xs ⊆ AD ⇒ fo_nmlzd AD' xs ⇒ fo_nmlzd AD xs
  <proof>

lemma fo_nmlz_idem: fo_nmlzd AD ys ⇒ fo_nmlz AD ys = ys
  <proof>

lemma fo_nmlz_take: take n (fo_nmlz AD xs) = fo_nmlz AD (take n xs)
  <proof>

fun nall_tuples_rec :: 'a set ⇒ nat ⇒ nat ⇒ ('a + nat) table where
  nall_tuples_rec AD i 0 = []
  | nall_tuples_rec AD i (Suc n) = ∪((λas. (λx. x # as) ` (Inl ` AD ∪ Inr ` {..i})) ` nall_tuples_rec AD i n) ∪ (λas. Inr i # as) ` nall_tuples_rec AD (Suc i) n

lemma nall_tuples_rec_Inl: vs ∈ nall_tuples_rec AD i n ⇒ Inl -` set vs ⊆ AD
  <proof>

lemma nall_tuples_rec_length: xs ∈ nall_tuples_rec AD i n ⇒ length xs = n
  <proof>

lemma fun_upd_id_map: (id_map i)(Inr i ↦ i) = id_map (Suc i)
  <proof>

lemma id_mapD: id_map j (Inr i) = None ⇒ j ≤ i id_map j (Inr i) = Some x ⇒ i < j ∧ i = x
  <proof>

lemma nall_tuples_rec_fo_nmlz_rec_sound: i ≤ j ⇒ xs ∈ nall_tuples_rec AD i n ⇒
  fo_nmlz_rec j (id_map j) AD xs = xs
  <proof>

lemma nall_tuples_rec_fo_nmlz_rec_complete:
  assumes fo_nmlz_rec j (id_map j) AD xs = xs
  shows xs ∈ nall_tuples_rec AD j (length xs)
  <proof>

lemma nall_tuples_rec_fo_nmlz: xs ∈ nall_tuples_rec AD 0 (length xs) ↔ fo_nmlz AD xs = xs
  <proof>

lemma fo_nmlzd_code[code]: fo_nmlzd AD xs ↔ fo_nmlz AD xs = xs
  <proof>

lemma nall_tuples_code[code]: nall_tuples AD n = nall_tuples_rec AD 0 n
  <proof>

lemma exists_map: length xs = length ys ⇒ distinct xs ⇒ ∃f. ys = map f xs
  <proof>

lemma exists_fo_nmlzd:
  assumes length xs = length ys distinct xs fo_nmlzd AD ys
  shows ∃f. ys = fo_nmlz AD (map f xs)
  <proof>

lemma list_induct2_rev[consumes 1]: length xs = length ys ⇒ (P [] []) ⇒
  (λx y xs ys. P xs ys ⇒ P (xs @ [x]) (ys @ [y])) ⇒ P xs ys

```

```

⟨proof⟩

lemma ad_agr_list_fo_nmlzd:
  assumes ad_agr_list AD vs vs' fo_nmlzd AD vs fo_nmlzd AD vs'
  shows vs = vs'
  ⟨proof⟩

lemma fo_nmlz_eqI:
  assumes ad_agr_list AD vs vs'
  shows fo_nmlz AD vs = fo_nmlz AD vs'
  ⟨proof⟩

lemma fo_nmlz_eqD:
  assumes fo_nmlz AD vs = fo_nmlz AD vs'
  shows ad_agr_list AD vs vs'
  ⟨proof⟩

lemma fo_nmlz_eq: fo_nmlz AD vs = fo_nmlz AD vs'  $\longleftrightarrow$  ad_agr_list AD vs vs'
  ⟨proof⟩

lemma fo_nmlz_mono:
  assumes AD  $\subseteq$  AD' Inl  $-`$  set xs  $\subseteq$  AD
  shows fo_nmlz AD' xs = fo_nmlz AD xs
  ⟨proof⟩

definition proj_vals :: 'c val set  $\Rightarrow$  nat list  $\Rightarrow$  'c table where
  proj_vals R ns = ( $\lambda\tau.$  map  $\tau$  ns)  $`$  R

definition proj_fmla :: ('a, 'b) fo_fmla  $\Rightarrow$  'c val set  $\Rightarrow$  'c table where
  proj_fmla  $\varphi$  R = proj_vals R (fv_fo_fmla_list  $\varphi$ )

lemmas proj_fmla_map = proj_fmla_def[unfolded proj_vals_def]

definition extends_subst  $\sigma$   $\tau$  = ( $\forall x.$   $\sigma$  x  $\neq$  None  $\longrightarrow$   $\sigma$  x =  $\tau$  x)

definition ext_tuple :: 'a set  $\Rightarrow$  nat list  $\Rightarrow$  nat list  $\Rightarrow$ 
  ('a + nat) list  $\Rightarrow$  ('a + nat) list set where
  ext_tuple AD fv_sub fv_sub_comp as = (if fv_sub_comp = [] then {as}
    else ( $\lambda fs.$  map snd (merge (zip fv_sub as) (zip fv_sub_comp fs)))  $`$ 
      (nall_tuples_rec AD (card (Inr  $-`$  set as)) (length fv_sub_comp)))

lemma ext_tuple_eq: length fv_sub = length as  $\Longrightarrow$ 
  ext_tuple AD fv_sub fv_sub_comp as =
  ( $\lambda fs.$  map snd (merge (zip fv_sub as) (zip fv_sub_comp fs)))  $`$ 
  (nall_tuples_rec AD (card (Inr  $-`$  set as)) (length fv_sub_comp))
  ⟨proof⟩

lemma map_map_of: length xs = length ys  $\Longrightarrow$  distinct xs  $\Longrightarrow$ 
  ys = map (the  $\circ$  (map_of (zip xs ys))) xs
  ⟨proof⟩

lemma id_map_empty: id_map 0 = Map.empty
  ⟨proof⟩

lemma fo_nmlz_rec_shift:
  fixes xs :: ('a + nat) list
  shows fo_nmlz_rec i (id_map i) AD xs = xs  $\Longrightarrow$ 
  i' = card (Inr  $-`$  (Inr  $'$  {.. $<$ i}  $\cup$  set (take n xs)))  $\Longrightarrow$  n  $\leq$  length xs  $\Longrightarrow$ 

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fo_nmlz_rec i' (id_map i') AD (drop n xs) = drop n xs
⟨proof⟩

fun proj_tuple :: nat list ⇒ (nat × ('a + nat)) list ⇒ ('a + nat) list where
  proj_tuple [] mys = []
  | proj_tuple ns [] = []
  | proj_tuple (n # ns) ((m, y) # mys) =
    (if m < n then proj_tuple (n # ns) mys else
     if m = n then y # proj_tuple ns mys
     else proj_tuple ns ((m, y) # mys))

lemma proj_tuple_idle: proj_tuple (map fst nxs) nxs = map snd nxs
⟨proof⟩

lemma proj_tuple_merge: sorted_distinct (map fst nxs) ⇒ sorted_distinct (map fst mys) ⇒
set (map fst nxs) ∩ set (map fst mys) = {} ⇒
proj_tuple (map fst nxs) (merge nxs mys) = map snd nxs
⟨proof⟩

lemma proj_tuple_map:
assumes sorted_distinct ns sorted_distinct ms set ns ⊆ set ms
shows proj_tuple ns (zip ms (map σ ms)) = map σ ns
⟨proof⟩

lemma proj_tuple_length:
assumes sorted_distinct ns sorted_distinct ms set ns ⊆ set ms length ms = length xs
shows length (proj_tuple ns (zip ms xs)) = length ns
⟨proof⟩

lemma ext_tuple_sound:
assumes sorted_distinct fv_sub sorted_distinct fv_sub_comp sorted_distinct fv_all
  set fv_sub ∩ set fv_sub_comp = {} set fv_sub ∪ set fv_sub_comp = set fv_all
  ass = fo_nmlz AD ` proj_vals R fv_sub
  ∧σ τ. ad_agr_sets (set fv_sub) (set fv_sub) AD σ τ ⇒ σ ∈ R ↔ τ ∈ R
  xs ∈ fo_nmlz AD ‘ ∪(ext_tuple AD fv_sub fv_sub_comp ‘ ass)
shows fo_nmlz AD (proj_tuple fv_sub (zip fv_all xs)) ∈ ass
  xs ∈ fo_nmlz AD ‘ proj_vals R fv_all
⟨proof⟩

lemma ext_tuple_complete:
assumes sorted_distinct fv_sub sorted_distinct fv_sub_comp sorted_distinct fv_all
  set fv_sub ∩ set fv_sub_comp = {} set fv_sub ∪ set fv_sub_comp = set fv_all
  ass = fo_nmlz AD ` proj_vals R fv_sub
  ∧σ τ. ad_agr_sets (set fv_sub) (set fv_sub) AD σ τ ⇒ σ ∈ R ↔ τ ∈ R
  xs = fo_nmlz AD (map σ fv_all) σ ∈ R
shows xs ∈ fo_nmlz AD ‘ ∪(ext_tuple AD fv_sub fv_sub_comp ‘ ass)
⟨proof⟩

definition ext_tuple_set AD ns ns' X = (if ns' = [] then X else fo_nmlz AD ‘ ∪(ext_tuple AD ns ns' ‘ X))

lemma ext_tuple_set_eq: Ball X (fo_nmlzd AD) ⇒ ext_tuple_set AD ns ns' X = fo_nmlz AD ‘
  ∪(ext_tuple AD ns ns' ‘ X)
⟨proof⟩

lemma ext_tuple_set_mono: A ⊆ B ⇒ ext_tuple_set AD ns ns' A ⊆ ext_tuple_set AD ns ns' B
⟨proof⟩

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lemma ext_tuple_correct:
assumes sorted_distinct fv_sub sorted_distinct fv_sub_comp sorted_distinct fv_all
set fv_sub ∩ set fv_sub_comp = {} set fv_sub ∪ set fv_sub_comp = set fv_all
ass = fo_nmlz AD ` proj_vals R fv_sub
 $\bigwedge \sigma \tau. ad\_agr\_sets (set fv\_sub) (set fv\_sub) AD \sigma \tau \implies \sigma \in R \longleftrightarrow \tau \in R$ 
shows ext_tuple_set AD fv_sub fv_sub_comp ass = fo_nmlz AD ` proj_vals R fv_all
⟨proof⟩

lemma proj_tuple_sound:
assumes sorted_distinct fv_sub sorted_distinct fv_sub_comp sorted_distinct fv_all
set fv_sub ∩ set fv_sub_comp = {} set fv_sub ∪ set fv_sub_comp = set fv_all
ass = fo_nmlz AD ` proj_vals R fv_sub
 $\bigwedge \sigma \tau. ad\_agr\_sets (set fv\_sub) (set fv\_sub) AD \sigma \tau \implies \sigma \in R \longleftrightarrow \tau \in R$ 
fo_nmlz AD xs = xs length xs = length fv_all
fo_nmlz AD (proj_tuple fv_sub (zip fv_all xs)) ∈ ass
shows xs ∈ fo_nmlz AD ‘  $\bigcup (ext\_tuple AD fv\_sub fv\_sub\_comp ‘ ass)$ 
⟨proof⟩

lemma proj_tuple_correct:
assumes sorted_distinct fv_sub sorted_distinct fv_sub_comp sorted_distinct fv_all
set fv_sub ∩ set fv_sub_comp = {} set fv_sub ∪ set fv_sub_comp = set fv_all
ass = fo_nmlz AD ` proj_vals R fv_sub
 $\bigwedge \sigma \tau. ad\_agr\_sets (set fv\_sub) (set fv\_sub) AD \sigma \tau \implies \sigma \in R \longleftrightarrow \tau \in R$ 
fo_nmlz AD xs = xs length xs = length fv_all
shows xs ∈ fo_nmlz AD ‘  $\bigcup (ext\_tuple AD fv\_sub fv\_sub\_comp ‘ ass) \longleftrightarrow$ 
fo_nmlz AD (proj_tuple fv_sub (zip fv_all xs)) ∈ ass
⟨proof⟩

fun unify_vals_terms :: ('a + 'c) list  $\Rightarrow$  ('a fo_term) list  $\Rightarrow$  (nat  $\rightarrow$  ('a + 'c))  $\Rightarrow$ 
(nat  $\rightarrow$  ('a + 'c)) option where
unify_vals_terms [] [] σ = Some σ
| unify_vals_terms (v # vs) ((Const c') # ts) σ =
  (if v = Inl c' then unify_vals_terms vs ts σ else None)
| unify_vals_terms (v # vs) ((Var n) # ts) σ =
  (case σ n of Some x  $\Rightarrow$  (if v = x then unify_vals_terms vs ts σ else None)
  | None  $\Rightarrow$  unify_vals_terms vs ts (σ(n := Some v)))
| unify_vals_terms _ _ _ = None

lemma unify_vals_terms_extends: unify_vals_terms vs ts σ = Some σ'  $\implies$  extends_subst σ σ'
⟨proof⟩

lemma unify_vals_terms_sound: unify_vals_terms vs ts σ = Some σ'  $\implies$  (the o σ') ⊕ e ts = vs
⟨proof⟩

lemma unify_vals_terms_complete: σ'' ⊕ e ts = vs  $\implies$  ( $\bigwedge n. \sigma n \neq \text{None} \implies \sigma n = \text{Some } (\sigma'' n)$ )  $\implies$ 
 $\exists \sigma'. \text{unify\_vals\_terms vs ts } \sigma = \text{Some } \sigma'$ 
⟨proof⟩

definition eval_table :: 'a fo_term list  $\Rightarrow$  ('a + 'c) table  $\Rightarrow$  ('a + 'c) table where
eval_table ts X = (let fvs = fv_fo_terms_list ts in
 $\bigcup ((\lambda vs. \text{case unify\_vals\_terms vs ts Map.empty of Some } \sigma \Rightarrow$ 
 $\{\text{map } (\text{the o } \sigma) fvs\} \mid \_ \Rightarrow \{\}) \cdot X))$ 

lemma eval_table:
fixes X :: ('a + 'c) table
shows eval_table ts X = proj_vals {σ. σ ⊕ e ts ∈ X} (fv_fo_terms_list ts)
⟨proof⟩

```

```

fun ad_agr_close_rec :: nat  $\Rightarrow$  (nat  $\rightarrow$  'a + nat)  $\Rightarrow$  'a set  $\Rightarrow$ 
  ('a + nat) list  $\Rightarrow$  ('a + nat) list set where
    ad_agr_close_rec i m AD [] = []
  | ad_agr_close_rec i m AD (Inl x # xs) = ( $\lambda$ xs. Inl x # xs) ` ad_agr_close_rec i m AD xs
  | ad_agr_close_rec i m AD (Inr n # xs) = (case m n of None  $\Rightarrow$   $\bigcup$ (( $\lambda$ x. (Inl x # xs) ` ad_agr_close_rec i (m(n := Some (Inl x))) (AD - {x}) xs) ` AD)  $\cup$ 
    ( $\lambda$ xs. Inr i # xs) ` ad_agr_close_rec (Suc i) (m(n := Some (Inr i))) AD xs
  | Some v  $\Rightarrow$  ( $\lambda$ xs. v # xs) ` ad_agr_close_rec i m AD xs

lemma ad_agr_close_rec_length: ys  $\in$  ad_agr_close_rec i m AD xs  $\Longrightarrow$  length xs = length ys
   $\langle$ proof $\rangle$ 

lemma ad_agr_close_rec_sound: ys  $\in$  ad_agr_close_rec i m AD xs  $\Longrightarrow$ 
  fo_nmlz_rec j (id_map j) X xs = xs  $\Longrightarrow$  X  $\cap$  AD = {}  $\Longrightarrow$  X  $\cap$  Y = {}  $\Longrightarrow$  Y  $\cap$  AD = {}  $\Longrightarrow$ 
  inj_on m (dom m)  $\Longrightarrow$  dom m = {..<j}  $\Longrightarrow$  ran m  $\subseteq$  Inl ` Y  $\cup$  Inr ` {..<i}  $\Longrightarrow$  i  $\leq$  j  $\Longrightarrow$ 
  fo_nmlz_rec i (id_map i) (X  $\cup$  Y  $\cup$  AD) ys = ys  $\wedge$ 
  ( $\exists$ m'. inj_on m' (dom m')  $\wedge$  ( $\forall$ n v. m n = Some v  $\longrightarrow$  m' (Inr n) = Some v)  $\wedge$ 
  ( $\forall$ (x, y)  $\in$  set (zip xs ys). case x of Inl x'  $\Rightarrow$ 
    if x'  $\in$  X then x = y else m' x = Some y  $\wedge$  (case y of Inl z  $\Rightarrow$  z  $\notin$  X | Inr x  $\Rightarrow$  True)
    | Inr n  $\Rightarrow$  m' x = Some y  $\wedge$  (case y of Inl z  $\Rightarrow$  z  $\notin$  X | Inr x  $\Rightarrow$  True)))
   $\langle$ proof $\rangle$ 

lemma ad_agr_close_rec_complete:
  fixes xs :: ('a + nat) list
  shows fo_nmlz_rec j (id_map j) X xs = xs  $\Longrightarrow$ 
  X  $\cap$  AD = {}  $\Longrightarrow$  X  $\cap$  Y = {}  $\Longrightarrow$  Y  $\cap$  AD = {}  $\Longrightarrow$ 
  inj_on m (dom m)  $\Longrightarrow$  dom m = {..<j}  $\Longrightarrow$  ran m = Inl ` Y  $\cup$  Inr ` {..<i}  $\Longrightarrow$  i  $\leq$  j  $\Longrightarrow$ 
  ( $\bigwedge$ n b. (Inr n, b)  $\in$  set (zip xs ys)  $\Longrightarrow$  case m n of Some v  $\Rightarrow$  v = b | None  $\Rightarrow$  b  $\notin$  ran m)  $\Longrightarrow$ 
  fo_nmlz_rec i (id_map i) (X  $\cup$  Y  $\cup$  AD) ys = ys  $\Longrightarrow$  ad_agr_list X xs ys  $\Longrightarrow$ 
  ys  $\in$  ad_agr_close_rec i m AD xs
   $\langle$ proof $\rangle$ 

definition ad_agr_close :: 'a set  $\Rightarrow$  ('a + nat) list  $\Rightarrow$  ('a + nat) list set where
  ad_agr_close AD xs = ad_agr_close_rec 0 Map.empty AD xs

lemma ad_agr_close_sound:
  assumes ys  $\in$  ad_agr_close Y xs fo_nmlzd X xs X  $\cap$  Y = {}
  shows fo_nmlzd (X  $\cup$  Y) ys  $\wedge$  ad_agr_list X xs ys
   $\langle$ proof $\rangle$ 

lemma ad_agr_close_complete:
  assumes X  $\cap$  Y = {} fo_nmlzd X xs fo_nmlzd (X  $\cup$  Y) ys ad_agr_list X xs ys
  shows ys  $\in$  ad_agr_close Y xs
   $\langle$ proof $\rangle$ 

lemma ad_agr_close_empty: fo_nmlzd X xs  $\Longrightarrow$  ad_agr_close {} xs = {xs}
   $\langle$ proof $\rangle$ 

lemma ad_agr_close_set_correct:
  assumes AD'  $\subseteq$  AD sorted_distinct ns
   $\wedge$  $\sigma$   $\tau$ . ad_agr_sets (set ns) (set ns) AD'  $\sigma$   $\tau$   $\Longrightarrow$   $\sigma \in R \longleftrightarrow \tau \in R$ 
  shows  $\bigcup$ (ad_agr_close (AD - AD') ` fo_nmlz AD' ` proj_vals R ns) = fo_nmlz AD ` proj_vals R ns
   $\langle$ proof $\rangle$ 

lemma ad_agr_close_correct:
  assumes AD'  $\subseteq$  AD
   $\wedge$  $\sigma$   $\tau$ . ad_agr_sets (set (fv_fo_fmla_list  $\varphi$ )) (set (fv_fo_fmla_list  $\varphi$ )) AD'  $\sigma$   $\tau$   $\Longrightarrow$ 
   $\sigma \in R \longleftrightarrow \tau \in R$ 

```

shows $\bigcup(ad_agr_close(AD - AD') \cdot fo_nmlz AD' \cdot proj_fmla \varphi R) = fo_nmlz AD \cdot proj_fmla \varphi R$
 $\langle proof \rangle$

definition $ad_agr_close_set AD X = (\text{if } Set.is_empty AD \text{ then } X \text{ else } \bigcup(ad_agr_close AD \cdot X))$

lemma $ad_agr_close_set_eq: Ball X (fo_nmlzd AD') \implies ad_agr_close_set AD X = \bigcup(ad_agr_close AD \cdot X)$
 $\langle proof \rangle$

lemma $Ball_fo_nmlzd: Ball (fo_nmlz AD \cdot X) (fo_nmlzd AD)$
 $\langle proof \rangle$

lemmas $ad_agr_close_set_nmlz_eq = ad_agr_close_set_eq[OF Ball_fo_nmlzd]$

definition $eval_pred :: ('a fo_term) list \Rightarrow ('a, 'c) fo_t \text{ where}$
 $eval_pred ts X = (\text{let } AD = \bigcup(\text{set}(\text{map} \text{ set_fo_term ts})) \cup \bigcup(\text{set} \cdot X) \text{ in}$
 $(AD, \text{length}(\text{fv_fo_terms_list ts}), eval_table ts (\text{map} Inl \cdot X)))$

definition $eval_bool :: bool \Rightarrow ('a, 'c) fo_t \text{ where}$
 $eval_bool b = (\text{if } b \text{ then } (\{\}, 0, \{\}) \text{ else } (\{\}, 0, \{\}))$

definition $eval_eq :: 'a fo_term \Rightarrow 'a fo_term \Rightarrow ('a, nat) fo_t \text{ where}$
 $eval_eq t t' = (\text{case } t \text{ of } Var n \Rightarrow$
 $(\text{case } t' \text{ of } Var n' \Rightarrow$
 $\quad \text{if } n = n' \text{ then } (\{\}, 1, \{[Inr 0]\})$
 $\quad \text{else } (\{\}, 2, \{[Inr 0, Inr 0]\})$
 $\quad | Const c' \Rightarrow (\{c'\}, 1, \{[Inl c']\})$
 $\quad | Const c \Rightarrow$
 $\quad (\text{case } t' \text{ of } Var n' \Rightarrow (\{c\}, 1, \{[Inl c]\})$
 $\quad | Const c' \Rightarrow \text{if } c = c' \text{ then } (\{c\}, 0, \{\}) \text{ else } (\{c, c'\}, 0, \{\}))$

fun $eval_neg :: nat list \Rightarrow ('a, nat) fo_t \Rightarrow ('a, nat) fo_t \text{ where}$
 $eval_neg ns (AD, _, X) = (AD, \text{length} ns, \text{nall_tuples} AD (\text{length} ns) - X)$

definition $eval_conj_tuple AD ns\varphi ns\psi xs ys =$
 $(\text{let } cxs = \text{filter}(\lambda(n, x). n \notin \text{set} ns\psi \wedge \text{isl } x) (\text{zip} ns\varphi xs);$
 $nxs = \text{map} \text{ fst} (\text{filter}(\lambda(n, x). n \notin \text{set} ns\psi \wedge \neg \text{isl } x) (\text{zip} ns\varphi xs));$
 $cys = \text{filter}(\lambda(n, y). n \notin \text{set} ns\varphi \wedge \text{isl } y) (\text{zip} ns\psi ys);$
 $nys = \text{map} \text{ fst} (\text{filter}(\lambda(n, y). n \notin \text{set} ns\varphi \wedge \neg \text{isl } y) (\text{zip} ns\psi ys)) \text{ in}$
 $fo_nmlz AD \cdot ext_tuple \{ \} (\text{sort}(ns\varphi @ \text{map} \text{ fst} cys)) nys (\text{map} \text{ snd} (\text{merge}(\text{zip} ns\varphi xs) cys)) \cap$
 $fo_nmlz AD \cdot ext_tuple \{ \} (\text{sort}(ns\psi @ \text{map} \text{ fst} cxs)) nxs (\text{map} \text{ snd} (\text{merge}(\text{zip} ns\psi ys) cxs)))$

definition $eval_conj_set AD ns\varphi X\varphi ns\psi X\psi = \bigcup((\lambda xs. \bigcup(eval_conj_tuple AD ns\varphi ns\psi xs \cdot X\psi)) \cdot X\varphi)$

definition $idx_join AD ns ns\varphi X\varphi ns\psi X\psi =$
 $(\text{let } idx\varphi' = \text{cluster}(\text{Some} \circ (\lambda xs. fo_nmlz AD (\text{proj_tuple} ns (\text{zip} ns\varphi xs)))) X\varphi;$
 $idx\psi' = \text{cluster}(\text{Some} \circ (\lambda ys. fo_nmlz AD (\text{proj_tuple} ns (\text{zip} ns\psi ys)))) X\psi \text{ in}$
 $\text{set_of_idx}(\text{mapping_join}(\lambda X\varphi'' X\psi''. eval_conj_set AD ns\varphi X\varphi'' ns\psi X\psi'') idx\varphi' idx\psi'))$

fun $eval_conj :: nat list \Rightarrow ('a, nat) fo_t \Rightarrow nat list \Rightarrow ('a, nat) fo_t \Rightarrow$
 $('a, nat) fo_t \text{ where}$
 $eval_conj ns\varphi (AD\varphi, _, X\varphi) ns\psi (AD\psi, _, X\psi) = (\text{let } AD = AD\varphi \cup AD\psi; AD\Delta\varphi = AD - AD\varphi;$
 $AD\Delta\psi = AD - AD\psi; ns = \text{filter}(\lambda n. n \in \text{set} ns\psi) ns\varphi \text{ in}$
 $(AD, \text{card}(\text{set} ns\varphi \cup \text{set} ns\psi), idx_join AD ns ns\varphi (ad_agr_close_set AD\Delta\varphi X\varphi) ns\psi (ad_agr_close_set AD\Delta\psi X\psi)))$

fun $eval_ajoin :: nat list \Rightarrow ('a, nat) fo_t \Rightarrow nat list \Rightarrow ('a, nat) fo_t \Rightarrow$

```

('a, nat) fo_t where
eval_ajoin nsφ (ADφ, _, Xφ) nsψ (ADψ, _, Xψ) = (let AD = ADφ ∪ ADψ; ADΔφ = AD - ADφ;
ADΔψ = AD - ADψ;
ns = filter (λn. n ∈ set nsψ) nsφ; nsφ' = filter (λn. n ∉ set nsφ) nsψ;
idxφ = cluster (Some o (λxs. fo_nmlz ADψ (proj_tuple ns (zip nsφ xs)))) (ad_agr_close_set ADΔφ
Xφ);
idxψ = cluster (Some o (λys. fo_nmlz ADψ (proj_tuple ns (zip nsψ ys)))) Xψ in
(AD, card (set nsφ ∪ set nsψ), set_of_idx (Mapping.map_values (λxs X. case Mapping.lookup idxψ
xs of Some Y ⇒
idx_join AD ns nsφ X nsψ (ad_agr_close_set ADΔψ (ext_tuple_set ADψ ns nsφ' {xs} - Y)) | _
⇒ ext_tuple_set AD nsφ nsψ' X) idxφ)))
fun eval_disj :: nat list ⇒ ('a, nat) fo_t ⇒ nat list ⇒ ('a, nat) fo_t ⇒
('a, nat) fo_t where
eval_disj nsφ (ADφ, _, Xφ) nsψ (ADψ, _, Xψ) = (let AD = ADφ ∪ ADψ;
nsφ' = filter (λn. n ∉ set nsφ) nsψ;
nsψ' = filter (λn. n ∉ set nsψ) nsφ;
ADΔφ = AD - ADφ; ADΔψ = AD - ADψ in
(AD, card (set nsφ ∪ set nsψ),
ext_tuple_set AD nsφ nsψ' (ad_agr_close_set ADΔφ Xφ) ∪
ext_tuple_set AD nsψ nsψ' (ad_agr_close_set ADΔψ Xψ)))
fun eval_exists :: nat ⇒ nat list ⇒ ('a, nat) fo_t ⇒ ('a, nat) fo_t where
eval_exists i ns (AD, _, X) = (case pos i ns of Some j ⇒
(AD, length ns - 1, fo_nmlz AD `rem_nth j ` X)
| None ⇒ (AD, length ns, X))
fun eval_forall :: nat ⇒ nat list ⇒ ('a, nat) fo_t ⇒ ('a, nat) fo_t where
eval_forall i ns (AD, _, X) = (case pos i ns of Some j ⇒
let n = card AD in
(AD, length ns - 1, Mapping.keys (Mapping.filter (λt Z. n + card (Inr -` set t) + 1 ≤ card Z)
(cluster (Some o (λts. fo_nmlz AD (rem_nth j ts))) X)))
| None ⇒ (AD, length ns, X))
lemma combine_map2: assumes length ys = length xs length ys' = length xs'
distinct xs distinct xs' set xs ∩ set xs' = {}
shows ∃f. ys = map f xs ∧ ys' = map f xs'
⟨proof⟩
lemma combine_map3: assumes length ys = length xs length ys' = length xs' length ys'' = length xs''
distinct xs distinct xs' distinct xs'' set xs ∩ set xs' = {} set xs ∩ set xs'' = {} set xs' ∩ set xs'' = {}
shows ∃f. ys = map f xs ∧ ys' = map f xs' ∧ ys'' = map f xs''
⟨proof⟩
lemma distinct_set_zip: length nsx = length xs ⇒ distinct nsx ⇒
(a, b) ∈ set (zip nsx xs) ⇒ (a, ba) ∈ set (zip nsx xs) ⇒ b = ba
⟨proof⟩
lemma fo_nmlz_idem_isl:
assumes ∀x. x ∈ set xs ⇒ (case x of Inl z ⇒ z ∈ X | _ ⇒ False)
shows fo_nmlz X xs = xs
⟨proof⟩
lemma set_zip_mapI: x ∈ set xs ⇒ (f x, g x) ∈ set (zip (map f xs) (map g xs))
⟨proof⟩
lemma ad_agr_list_fo_nmlzd_isl:
assumes ad_agr_list X (map f xs) (map g xs) fo_nmlzd X (map f xs) x ∈ set xs isl (f x)

```

```

shows f x = g x
⟨proof⟩

lemma eval_conj_tuple_close_empty2:
assumes fo_nmlzd X xs fo_nmlzd Y ys
length nsx = length xs length nsy = length ys
sorted_distinct nsx sorted_distinct nsy
sorted_distinct ns set ns ⊆ set nsx ∩ set nsy
fo_nmlz (X ∩ Y) (proj_tuple ns (zip nsx xs)) ≠ fo_nmlz (X ∩ Y) (proj_tuple ns (zip nsy ys)) ∨
(proj_tuple ns (zip nsx xs) ≠ proj_tuple ns (zip nsy ys)) ∧
(∀x ∈ set (proj_tuple ns (zip nsx xs)). isl x) ∧ (forall y ∈ set (proj_tuple ns (zip nsy ys)). isl y))
xs' ∈ ad_agr_close ((X ∪ Y) − X) xs ys' ∈ ad_agr_close ((X ∪ Y) − Y) ys
shows eval_conj_tuple (X ∪ Y) nsx nsy xs' ys' = {}
⟨proof⟩

lemma eval_conj_tuple_close_empty:
assumes fo_nmlzd X xs fo_nmlzd Y ys
length nsx = length xs length nsy = length ys
sorted_distinct nsx sorted_distinct nsy
ns = filter (λn. n ∈ set nsy) nsx
fo_nmlz (X ∩ Y) (proj_tuple ns (zip nsx xs)) ≠ fo_nmlz (X ∩ Y) (proj_tuple ns (zip nsy ys))
xs' ∈ ad_agr_close ((X ∪ Y) − X) xs ys' ∈ ad_agr_close ((X ∪ Y) − Y) ys
shows eval_conj_tuple (X ∪ Y) nsx nsy xs' ys' = {}
⟨proof⟩

lemma eval_conj_tuple_empty2:
assumes fo_nmlzd Z xs fo_nmlzd Z ys
length nsx = length xs length nsy = length ys
sorted_distinct nsx sorted_distinct nsy
sorted_distinct ns set ns ⊆ set nsx ∩ set nsy
fo_nmlz Z (proj_tuple ns (zip nsx xs)) ≠ fo_nmlz Z (proj_tuple ns (zip nsy ys)) ∨
(proj_tuple ns (zip nsx xs) ≠ proj_tuple ns (zip nsy ys)) ∧
(∀x ∈ set (proj_tuple ns (zip nsx xs)). isl x) ∧ (forall y ∈ set (proj_tuple ns (zip nsy ys)). isl y))
shows eval_conj_tuple Z nsx nsy xs ys = {}
⟨proof⟩

lemma eval_conj_tuple_empty:
assumes fo_nmlzd Z xs fo_nmlzd Z ys
length nsx = length xs length nsy = length ys
sorted_distinct nsx sorted_distinct nsy
ns = filter (λn. n ∈ set nsy) nsx
fo_nmlz Z (proj_tuple ns (zip nsx xs)) ≠ fo_nmlz Z (proj_tuple ns (zip nsy ys))
shows eval_conj_tuple Z nsx nsy xs ys = {}
⟨proof⟩

lemma nall_tuples_rec_filter:
assumes xs ∈ nall_tuples_rec AD n (length xs) ys = filter (λx. ¬isl x) xs
shows ys ∈ nall_tuples_rec {} n (length ys)
⟨proof⟩

lemma nall_tuples_rec_filter_rev:
assumes ys ∈ nall_tuples_rec {} n (length ys) ys = filter (λx. ¬isl x) xs
Inl - ` set xs ⊆ AD
shows xs ∈ nall_tuples_rec AD n (length xs)
⟨proof⟩

lemma eval_conj_set_aux:
fixes AD :: 'a set

```

```

assumes nsφ'_def: nsφ' = filter (λn. n ∉ set nsφ) nsψ
and nsψ'_def: nsψ' = filter (λn. n ∉ set nsψ) nsφ
and Xφ_def: Xφ = fo_nmlz AD ` proj_vals Rφ nsφ
and Xψ_def: Xψ = fo_nmlz AD ` proj_vals Rψ nsψ
and distinct: sorted_distinct nsφ sorted_distinct nsψ
and cxs_def: cxs = filter (λ(n, x). n ∉ set nsψ ∧ isl x) (zip nsφ xs)
and nxs_def: nxs = map fst (filter (λ(n, x). n ∉ set nsψ ∧ ¬isl x) (zip nsφ xs))
and cys_def: cys = filter (λ(n, y). n ∉ set nsφ ∧ isl y) (zip nsψ ys)
and nys_def: nys = map fst (filter (λ(n, y). n ∉ set nsφ ∧ ¬isl y) (zip nsψ ys))
and xs_ys_def: xs ∈ Xφ ys ∈ Xψ
and σxs_def: xs = map σxs nsφ fsφ = map σxs nsφ'
and σys_def: ys = map σys nsψ fsψ = map σys nsψ'
and fsφ_def: fsφ ∈ nall_tuples_rec AD (card (Inr -` set xs)) (length nsφ')
and fsψ_def: fsψ ∈ nall_tuples_rec AD (card (Inr -` set ys)) (length nsψ')
and ad_agr: ad_agr_list AD (map σys (sort (nsψ @ nsψ'))) (map σxs (sort (nsφ @ nsφ'))))
shows

```

```

map snd (merge (zip nsφ xs) (zip nsφ' fsφ)) =
map snd (merge (zip (sort (nsφ @ map fst cys)) (map σxs (sort (nsφ @ map fst cys)))) (zip nys (map σxs nys))) and
map snd (merge (zip nsφ xs) cys) = map σxs (sort (nsφ @ map fst cys)) and
map σxs nys ∈
nall_tuples_rec {} (card (Inr -` set (map σxs (sort (nsφ @ map fst cys))))) (length nys)
⟨proof⟩

```

lemma eval_conj_set_aux':

```

fixes AD :: 'a set
assumes nsφ'_def: nsφ' = filter (λn. n ∉ set nsφ) nsψ
and nsψ'_def: nsψ' = filter (λn. n ∉ set nsψ) nsφ
and Xφ_def: Xφ = fo_nmlz AD ` proj_vals Rφ nsφ
and Xψ_def: Xψ = fo_nmlz AD ` proj_vals Rψ nsψ
and distinct: sorted_distinct nsφ sorted_distinct nsψ
and cxs_def: cxs = filter (λ(n, x). n ∉ set nsψ ∧ isl x) (zip nsφ xs)
and nxs_def: nxs = map fst (filter (λ(n, x). n ∉ set nsψ ∧ ¬isl x) (zip nsφ xs))
and cys_def: cys = filter (λ(n, y). n ∉ set nsφ ∧ isl y) (zip nsψ ys)
and nys_def: nys = map fst (filter (λ(n, y). n ∉ set nsφ ∧ ¬isl y) (zip nsψ ys))
and xs_ys_def: xs ∈ Xφ ys ∈ Xψ
and σxs_def: xs = map σxs nsφ map snd cys = map σxs (map fst cys)
ysψ = map σxs nys
and σys_def: ys = map σys nsψ map snd cxs = map σys (map fst cxs)
xsφ = map σys nxs
and fsφ_def: fsφ = map σxs nsφ'
and fsψ_def: fsψ = map σys nsψ'
and ysψ_def: map σxs nys ∈ nall_tuples_rec {}
(card (Inr -` set (map σxs (sort (nsφ @ map fst cys))))) (length nys)
and Inl_set_AD: Inl -` (set (map snd cxs) ∪ set xsφ) ⊆ AD
Inl -` (set (map snd cys) ∪ set ysψ) ⊆ AD
and ad_agr: ad_agr_list AD (map σys (sort (nsψ @ nsψ'))) (map σxs (sort (nsφ @ nsφ'))))
shows

```

```

map snd (merge (zip nsφ xs) (zip nsφ' fsφ)) =
map snd (merge (zip (sort (nsφ @ map fst cys)) (map σxs (sort (nsφ @ map fst cys)))) (zip nys (map σxs nys))) and
map snd (merge (zip nsφ xs) cys) = map σxs (sort (nsφ @ map fst cys))
fsφ ∈ nall_tuples_rec AD (card (Inr -` set xs)) (length nsφ')
⟨proof⟩

```

lemma eval_conj_set_correct:

```

assumes nsφ'_def: nsφ' = filter (λn. n ∉ set nsφ) nsψ
and nsψ'_def: nsψ' = filter (λn. n ∉ set nsψ) nsφ

```

and $X\varphi_{-def}$: $X\varphi = \text{fo_nmlz } AD \text{ ' proj_vals } R\varphi \text{ ns}\varphi$
and $X\psi_{-def}$: $X\psi = \text{fo_nmlz } AD \text{ ' proj_vals } R\psi \text{ ns}\psi$
and distinct : $\text{sorted_distinct } ns\varphi \text{ sorted_distinct } ns\psi$
shows $\text{eval_conj_set } AD \text{ ns}\varphi \text{ } X\varphi \text{ ns}\psi \text{ } X\psi = \text{ext_tuple_set } AD \text{ ns}\varphi \text{ ns}\varphi' \text{ } X\varphi \cap \text{ext_tuple_set } AD \text{ ns}\psi \text{ ns}\psi' \text{ } X\psi$
 $\langle \text{proof} \rangle$

lemma $\text{esat_exists_not_fv}: n \notin \text{fv_fo_fmla } \varphi \implies X \neq \{\} \implies$
 $\text{esat } (\text{Exists } n \varphi) I \sigma X \longleftrightarrow \text{esat } \varphi I \sigma X$
 $\langle \text{proof} \rangle$

lemma $\text{esat_forall_not_fv}: n \notin \text{fv_fo_fmla } \varphi \implies X \neq \{\} \implies$
 $\text{esat } (\text{Forall } n \varphi) I \sigma X \longleftrightarrow \text{esat } \varphi I \sigma X$
 $\langle \text{proof} \rangle$

lemma $\text{proj_sat_vals}: \text{proj_sat } \varphi I =$
 $\text{proj_vals } \{\sigma. \text{ sat } \varphi I \sigma\} (\text{fv_fo_fmla_list } \varphi)$
 $\langle \text{proof} \rangle$

lemma $\text{fv_fo_fmla_list_Pred}: \text{remdups_adj } (\text{sort } (\text{fv_fo_terms_list } ts)) = \text{fv_fo_terms_list } ts$
 $\langle \text{proof} \rangle$

lemma $\text{ad_agr_list_fv_list}': \bigcup (\text{set } (\text{map set_fo_term } ts)) \subseteq X \implies$
 $\text{ad_agr_list } X (\text{map } \sigma (\text{fv_fo_terms_list } ts)) (\text{map } \tau (\text{fv_fo_terms_list } ts)) \implies$
 $\text{ad_agr_list } X (\sigma \odot e ts) (\tau \odot e ts)$
 $\langle \text{proof} \rangle$

lemma $\text{ext_tuple_ad_agr_close}:$
assumes $S\varphi_{-def}$: $S\varphi \equiv \{\sigma. \text{ esat } \varphi I \sigma \text{ UNIV}\}$
and AD_{-sub} : $\text{act_edom } \varphi I \subseteq AD\varphi \text{ } AD\varphi \subseteq AD$
and $X\varphi_{-def}$: $X\varphi = \text{fo_nmlz } AD\varphi \text{ ' proj_vals } S\varphi (\text{fv_fo_fmla_list } \varphi)$
and $ns\varphi'_{-def}$: $ns\varphi' = \text{filter } (\lambda n. n \notin \text{fv_fo_fmla } \varphi) \text{ ns}\psi$
and $sd_ns\psi$: $\text{sorted_distinct } ns\psi$
and fv_Un : $\text{fv_fo_fmla } \psi = \text{fv_fo_fmla } \varphi \cup \text{set } ns\psi$
shows $\text{ext_tuple_set } AD (\text{fv_fo_fmla_list } \varphi) \text{ ns}\varphi' (\text{ad_agr_close_set } (AD - AD\varphi) X\varphi) =$
 $\text{fo_nmlz } AD \text{ ' proj_vals } S\varphi (\text{fv_fo_fmla_list } \psi)$
 $\text{ad_agr_close_set } (AD - AD\varphi) X\varphi = \text{fo_nmlz } AD \text{ ' proj_vals } S\varphi (\text{fv_fo_fmla_list } \varphi)$
 $\langle \text{proof} \rangle$

lemma $\text{proj_ext_tuple}:$
assumes $S\varphi_{-def}$: $S\varphi \equiv \{\sigma. \text{ esat } \varphi I \sigma \text{ UNIV}\}$
and AD_{-sub} : $\text{act_edom } \varphi I \subseteq AD$
and $X\varphi_{-def}$: $X\varphi = \text{fo_nmlz } AD \text{ ' proj_vals } S\varphi (\text{fv_fo_fmla_list } \varphi)$
and $ns\varphi'_{-def}$: $ns\varphi' = \text{filter } (\lambda n. n \notin \text{fv_fo_fmla } \varphi) \text{ ns}\psi$
and $sd_ns\psi$: $\text{sorted_distinct } ns\psi$
and fv_Un : $\text{fv_fo_fmla } \psi = \text{fv_fo_fmla } \varphi \cup \text{set } ns\psi$
and Z_{-props} : $\bigwedge xs. xs \in Z \implies \text{fo_nmlz } AD \text{ } xs = xs \wedge \text{length } xs = \text{length } (\text{fv_fo_fmla_list } \psi)$
shows $Z \cap \text{ext_tuple_set } AD (\text{fv_fo_fmla_list } \varphi) \text{ ns}\varphi' X\varphi =$
 $\{xs \in Z. \text{fo_nmlz } AD (\text{proj_tuple } (\text{fv_fo_fmla_list } \varphi) (\text{zip } (\text{fv_fo_fmla_list } \psi) xs)) \in X\varphi\}$
 $Z - \text{ext_tuple_set } AD (\text{fv_fo_fmla_list } \varphi) \text{ ns}\varphi' X\varphi =$
 $\{xs \in Z. \text{fo_nmlz } AD (\text{proj_tuple } (\text{fv_fo_fmla_list } \varphi) (\text{zip } (\text{fv_fo_fmla_list } \psi) xs)) \notin X\varphi\}$
 $\langle \text{proof} \rangle$

lemma $\text{fo_nmlz_proj_sub}: \text{fo_nmlz } AD \text{ ' proj_fmla } \varphi \text{ } R \subseteq \text{nall_tuples } AD \text{ (nfv } \varphi)$
 $\langle \text{proof} \rangle$

lemma $\text{fin_ad_agr_list_iff}:$
fixes $AD :: ('a :: infinite) \text{ set}$

```

assumes finite AD  $\wedge$  vs. vs  $\in$  Z  $\implies$  length vs = n
Z = {ts.  $\exists$  ts'  $\in$  X. ad_agr_list AD (map Inl ts) ts'}
shows finite Z  $\longleftrightarrow$   $\bigcup$ (set ' Z)  $\subseteq$  AD
⟨proof⟩

lemma proj_out_list:
fixes AD :: ('a :: infinite) set
and σ :: nat  $\Rightarrow$  'a + nat
and ns :: nat list
assumes finite AD
shows  $\exists$  τ. ad_agr_list AD (map σ ns) (map (Inl  $\circ$  τ) ns)  $\wedge$ 
( $\forall$  j x. j  $\in$  set ns  $\longrightarrow$  σ j = Inl x  $\longrightarrow$  τ j = x)
⟨proof⟩

lemma proj_out:
fixes φ :: ('a :: infinite, 'b) fo_fmla
and J :: (('a, nat) fo_t, 'b) fo_intp
assumes wf_fo_intp φ I esat φ I σ UNIV
shows  $\exists$  τ. esat φ I (Inl  $\circ$  τ) UNIV  $\wedge$  ( $\forall$  i x. i  $\in$  fv_fo_fmla φ  $\wedge$  σ i = Inl x  $\longrightarrow$  τ i = x)  $\wedge$ 
ad_agr_list (act_edom φ I) (map σ (fv_fo_fmla_list φ)) (map (Inl  $\circ$  τ) (fv_fo_fmla_list φ))
⟨proof⟩

lemma proj_fmla_esat_sat:
fixes φ :: ('a :: infinite, 'b) fo_fmla
and J :: (('a, nat) fo_t, 'b) fo_intp
assumes wf_fo_intp φ I
shows proj_fmla φ {σ. esat φ I σ UNIV}  $\cap$  map Inl ' UNIV =
map Inl ' proj_fmla φ {σ. sat φ I σ}
⟨proof⟩

lemma norm_proj_fmla_esat_sat:
fixes φ :: ('a :: infinite, 'b) fo_fmla
assumes wf_fo_intp φ I
shows fo_nmlz (act_edom φ I) ' proj_fmla φ {σ. esat φ I σ UNIV} =
fo_nmlz (act_edom φ I) ' map Inl ' proj_fmla φ {σ. sat φ I σ}
⟨proof⟩

lemma proj_sat_fmla: proj_sat φ I = proj_fmla φ {σ. sat φ I σ}
⟨proof⟩

fun fo_wf :: ('a, 'b) fo_fmla  $\Rightarrow$  ('b  $\times$  nat  $\Rightarrow$  'a list set)  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$  bool where
fo_wf φ I (AD, n, X)  $\longleftrightarrow$  finite AD  $\wedge$  finite X  $\wedge$  n = nfv φ  $\wedge$ 
wf_fo_intp φ I  $\wedge$  AD = act_edom φ I  $\wedge$  fo_rep (AD, n, X) = proj_sat φ I  $\wedge$ 
Inl - '  $\bigcup$ (set ' X)  $\subseteq$  AD  $\wedge$  ( $\forall$  vs  $\in$  X. fo_nmlzd AD vs  $\wedge$  length vs = n)

fun fo_fin :: ('a, nat) fo_t  $\Rightarrow$  bool where
fo_fin (AD, n, X)  $\longleftrightarrow$  ( $\forall$  x  $\in$   $\bigcup$ (set ' X). isl x)

lemma fo_rep_fin:
assumes fo_wf φ I (AD, n, X) fo_fin (AD, n, X)
shows fo_rep (AD, n, X) = map projl ' X
⟨proof⟩

definition eval_abs :: ('a, 'b) fo_fmla  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  ('a, nat) fo_t where
eval_abs φ I = (act_edom φ I, nfv φ, fo_nmlz (act_edom φ I) ' proj_fmla φ {σ. esat φ I σ UNIV})

lemma map_projl_Inl: map projl (map Inl xs) = xs
⟨proof⟩

```

```

lemma fo_rep_eval_abs:
  fixes  $\varphi :: ('a :: infinite, 'b) fo_fmla$ 
  assumes wf_fo_intp  $\varphi I$ 
  shows fo_rep (eval_abs  $\varphi I$ ) = proj_sat  $\varphi I$ 
  ⟨proof⟩

lemma fo_wf_eval_abs:
  fixes  $\varphi :: ('a :: infinite, 'b) fo_fmla$ 
  assumes wf_fo_intp  $\varphi I$ 
  shows fo_wf  $\varphi I$  (eval_abs  $\varphi I$ )
  ⟨proof⟩

lemma fo_fin:
  fixes  $t :: ('a :: infinite, nat) fo_t$ 
  assumes fo_wf  $\varphi I t$ 
  shows fo_fin  $t = finite (fo_rep t)$ 
  ⟨proof⟩

lemma eval_pred:
  fixes  $I :: 'b \times nat \Rightarrow 'a :: infinite list set$ 
  assumes finite ( $I (r, length ts)$ )
  shows fo_wf (Pred  $r ts$ )  $I$  (eval_pred  $ts (I (r, length ts))$ )
  ⟨proof⟩

lemma ad_agr_list_eval:  $\bigcup (set (map set_fo_term ts)) \subseteq AD \Rightarrow ad_agr_list AD (\sigma \odot e ts) zs \Rightarrow$ 
   $\exists \tau. zs = \tau \odot e ts$ 
  ⟨proof⟩

lemma sp_equiv_list_fv_list:
  assumes sp_equiv_list ( $\sigma \odot e ts$ ) ( $\tau \odot e ts$ )
  shows sp_equiv_list (map  $\sigma$  (fv_fo_terms_list ts)) (map  $\tau$  (fv_fo_terms_list ts))
  ⟨proof⟩

lemma ad_agr_list_fv_list: ad_agr_list  $X (\sigma \odot e ts) (\tau \odot e ts) \Rightarrow$ 
  ad_agr_list  $X$  (map  $\sigma$  (fv_fo_terms_list ts)) (map  $\tau$  (fv_fo_terms_list ts))
  ⟨proof⟩

lemma eval_bool: fo_wf (Bool b)  $I$  (eval_bool b)
  ⟨proof⟩

lemma eval_eq: fixes  $I :: 'b \times nat \Rightarrow 'a :: infinite list set$ 
  shows fo_wf (Eq a t t')  $I$  (eval_eq t t')
  ⟨proof⟩

lemma fv_fo_terms_list_Var: fv_fo_terms_list_rec (map Var ns) = ns
  ⟨proof⟩

lemma eval_eterms_map_Var:  $\sigma \odot e map Var ns = map \sigma ns$ 
  ⟨proof⟩

lemma fo_wf_eval_table:
  fixes AD :: ' $a$  set
  assumes fo_wf  $\varphi I (AD, n, X)$ 
  shows  $X = fo_nmlz AD` eval_table (map Var [0..<n]) X$ 
  ⟨proof⟩

lemma fo_rep_norm:

```

```

fixes AD :: ('a :: infinite) set
assumes fo_wf φ I (AD, n, X)
shows X = fo_nmlz AD ` map Inl ` fo_rep (AD, n, X)
⟨proof⟩

lemma fo_wf_X:
  fixes φ :: ('a :: infinite, 'b) fo_fmla
  assumes wf: fo_wf φ I (AD, n, X)
  shows X = fo_nmlz AD ` proj_fmla φ {σ. esat φ I σ UNIV}
⟨proof⟩

lemma eval_neg:
  fixes φ :: ('a :: infinite, 'b) fo_fmla
  assumes wf: fo_wf φ I t
  shows fo_wf (Neg φ) I (eval_neg (fv_fo_fmla_list φ) t)
⟨proof⟩

definition cross_with f t t' = ∪((λxs. ∪(f xs ` t')) ` t)

lemma mapping_join_cross_with:
  assumes ∀x x'. x ∈ t ⇒ x' ∈ t' ⇒ h x ≠ h' x' ⇒ f x x' = {}
  shows set_of_idx (mapping_join (cross_with f)) (cluster (Some ∘ h) t) (cluster (Some ∘ h') t') = cross_with f t t'
⟨proof⟩

lemma fo_nmlzd_mono_sub: X ⊆ X' ⇒ fo_nmlzd X xs ⇒ fo_nmlzd X' xs
⟨proof⟩

lemma idx_join:
  assumes Xφ_props: ∀vs. vs ∈ Xφ ⇒ fo_nmlzd AD vs ∧ length vs = length nsφ
  assumes Xψ_props: ∀vs. vs ∈ Xψ ⇒ fo_nmlzd AD vs ∧ length vs = length nsψ
  assumes sd_ns: sorted_distinct nsφ sorted_distinct nsψ
  assumes ns_def: ns = filter (λn. n ∈ set nsψ) nsφ
  shows idx_join AD ns nsφ Xφ nsψ Xψ = eval_conj_set AD nsφ Xφ nsψ Xψ
⟨proof⟩

lemma proj_fmla_conj_sub:
  assumes AD_sub: act_edom ψ I ⊆ AD
  shows fo_nmlz AD ` proj_fmla (Conj φ ψ) {σ. esat φ I σ UNIV} ∩
    fo_nmlz AD ` proj_fmla (Conj φ ψ) {σ. esat ψ I σ UNIV} ⊆
    fo_nmlz AD ` proj_fmla (Conj φ ψ) {σ. esat (Conj φ ψ) I σ UNIV}
⟨proof⟩

lemma eval_conj:
  fixes φ :: ('a :: infinite, 'b) fo_fmla
  assumes wf: fo_wf φ I tφ fo_wf ψ I tψ
  shows fo_wf (Conj φ ψ) I (eval_conj (fv_fo_fmla_list φ) tφ (fv_fo_fmla_list ψ) tψ)
⟨proof⟩

lemma map_values_cluster: (∀w z Z. Z ⊆ X ⇒ z ∈ Z ⇒ w ∈ f (h z) {z}) ⇒ w ∈ f (h z) Z ⇒
  (∀w z Z. Z ⊆ X ⇒ z ∈ Z ⇒ w ∈ f (h z) Z ⇒ (∃z' ∈ Z. w ∈ f (h z) {z'})) ⇒
  set_of_idx (Mapping.map_values f (cluster (Some ∘ h) X)) = ∪((λx. f (h x) {x}) ` X)
⟨proof⟩

lemma fo_nmlz_twice:
  assumes sorted_distinct ns sorted_distinct ns' set ns ⊆ set ns'
  shows fo_nmlz AD (proj_tuple ns (zip ns' (fo_nmlz AD (map σ ns')))) = fo_nmlz AD (map σ ns)
⟨proof⟩

```

```

lemma map_values_cong:
  assumes  $\bigwedge x y. \text{Mapping.lookup } t x = \text{Some } y \implies f x y = f' x y$ 
  shows  $\text{Mapping.map\_values } f t = \text{Mapping.map\_values } f' t$ 
   $\langle \text{proof} \rangle$ 

lemma ad_agr_close_set_length:  $z \in \text{ad\_agr\_close\_set } AD X \implies (\bigwedge x. x \in X \implies \text{length } x = n) \implies \text{length } z = n$ 
   $\langle \text{proof} \rangle$ 

lemma ad_agr_close_set_sound:  $z \in \text{ad\_agr\_close\_set } (AD - AD') X \implies (\bigwedge x. x \in X \implies \text{fo\_nmlzd } AD' x) \implies AD' \subseteq AD \implies \text{fo\_nmlzd } AD z$ 
   $\langle \text{proof} \rangle$ 

lemma ext_tuple_set_length:  $z \in \text{ext\_tuple\_set } AD ns ns' X \implies (\bigwedge x. x \in X \implies \text{length } x = \text{length } ns) \implies \text{length } z = \text{length } ns + \text{length } ns'$ 
   $\langle \text{proof} \rangle$ 

lemma eval_ajoin:
  fixes  $\varphi :: ('a :: \text{infinite}, 'b) \text{fo\_fmla}$ 
  assumes  $\text{wf}: \text{fo\_wf } \varphi I t\varphi \text{fo\_wf } \psi I t\psi$ 
  shows  $\text{fo\_wf } (\text{Conj } \varphi (\text{Neg } \psi)) I$ 
     $(\text{eval\_ajoin } (\text{fv\_fo\_fmla\_list } \varphi) t\varphi (\text{fv\_fo\_fmla\_list } \psi) t\psi)$ 
   $\langle \text{proof} \rangle$ 

lemma eval_disj:
  fixes  $\varphi :: ('a :: \text{infinite}, 'b) \text{fo\_fmla}$ 
  assumes  $\text{wf}: \text{fo\_wf } \varphi I t\varphi \text{fo\_wf } \psi I t\psi$ 
  shows  $\text{fo\_wf } (\text{Disj } \varphi \psi) I$ 
     $(\text{eval\_disj } (\text{fv\_fo\_fmla\_list } \varphi) t\varphi (\text{fv\_fo\_fmla\_list } \psi) t\psi)$ 
   $\langle \text{proof} \rangle$ 

lemma fv_ex_all:
  assumes  $\text{pos } i (\text{fv\_fo\_fmla\_list } \varphi) = \text{None}$ 
  shows  $\text{fv\_fo\_fmla\_list } (\text{Exists } i \varphi) = \text{fv\_fo\_fmla\_list } \varphi$ 
     $\text{fv\_fo\_fmla\_list } (\text{Forall } i \varphi) = \text{fv\_fo\_fmla\_list } \varphi$ 
   $\langle \text{proof} \rangle$ 

lemma nfv_ex_all:
  assumes  $\text{Some: pos } i (\text{fv\_fo\_fmla\_list } \varphi) = \text{Some } j$ 
  shows  $\text{nfv } \varphi = \text{Suc } (\text{nfv } (\text{Exists } i \varphi)) \text{ nfv } \varphi = \text{Suc } (\text{nfv } (\text{Forall } i \varphi))$ 
   $\langle \text{proof} \rangle$ 

lemma fv_fo_fmla_list_exists:  $\text{fv\_fo\_fmla\_list } (\text{Exists } n \varphi) = \text{filter } ((\neq) n) (\text{fv\_fo\_fmla\_list } \varphi)$ 
   $\langle \text{proof} \rangle$ 

lemma eval_exists:
  fixes  $\varphi :: ('a :: \text{infinite}, 'b) \text{fo\_fmla}$ 
  assumes  $\text{wf}: \text{fo\_wf } \varphi I t$ 
  shows  $\text{fo\_wf } (\text{Exists } i \varphi) I (\text{eval\_exists } i (\text{fv\_fo\_fmla\_list } \varphi) t)$ 
   $\langle \text{proof} \rangle$ 

lemma fv_fo_fmla_list_forall:  $\text{fv\_fo\_fmla\_list } (\text{Forall } n \varphi) = \text{filter } ((\neq) n) (\text{fv\_fo\_fmla\_list } \varphi)$ 
   $\langle \text{proof} \rangle$ 

lemma pairwise_take_drop:
  assumes  $\text{pairwise } P (\text{set } (\text{zip } xs ys)) \text{ length } xs = \text{length } ys$ 
  shows  $\text{pairwise } P (\text{set } (\text{zip } (\text{take } i xs @ \text{drop } (\text{Suc } i) xs) (\text{take } i ys @ \text{drop } (\text{Suc } i) ys)))$ 

```

$\langle proof \rangle$

```

lemma fo_nmlz_set_card:
  fo_nmlz AD xs = xs  $\implies$  set xs = set xs  $\cap$  Inl ‘ AD  $\cup$  Inr ‘ {.. $<$ card (Inr –‘ set xs)}
```

$\langle proof \rangle$

```

lemma ad_agr_list_take_drop: ad_agr_list AD xs ys  $\implies$ 
  ad_agr_list AD (take i xs @ drop (Suc i) xs) (take i ys @ drop (Suc i) ys)
 $\langle proof \rangle$ 
```

```

lemma fo_nmlz_rem_nth_add_nth:
  assumes fo_nmlz AD zs = zs  $i \leq$  length zs
  shows fo_nmlz AD (rem_nth i (fo_nmlz AD (add_nth i z zs))) = zs
 $\langle proof \rangle$ 
```

```

lemma ad_agr_list_add:
  assumes ad_agr_list AD xs ys  $i \leq$  length xs
  shows  $\exists z' \in$  Inl ‘ AD  $\cup$  Inr ‘ {.. $<$ Suc (card (Inr –‘ set ys))}  $\cup$  set ys.
    ad_agr_list AD (take i xs @ z # drop i xs) (take i ys @ z' # drop i ys)
 $\langle proof \rangle$ 
```

```

lemma add_nth_restrict:
  assumes fo_nmlz AD zs = zs  $i \leq$  length zs
  shows  $\exists z' \in$  Inl ‘ AD  $\cup$  Inr ‘ {.. $<$ Suc (card (Inr –‘ set zs))}.
    fo_nmlz AD (add_nth i z zs) = fo_nmlz AD (add_nth i z' zs)
 $\langle proof \rangle$ 
```

```

lemma fo_nmlz_add_rem:
  assumes  $i \leq$  length zs
  shows  $\exists z'. fo_nmlz AD (add_nth i z zs) = fo_nmlz AD (add_nth i z' (fo_nmlz AD zs))$ 
 $\langle proof \rangle$ 
```

```

lemma fo_nmlz_add_rem':
  assumes  $i \leq$  length zs
  shows  $\exists z'. fo_nmlz AD (add_nth i z (fo_nmlz AD zs)) = fo_nmlz AD (add_nth i z' zs)$ 
 $\langle proof \rangle$ 
```

```

lemma fo_nmlz_add_nth_rem_nth:
  assumes fo_nmlz AD xs = xs  $i <$  length xs
  shows  $\exists z. fo_nmlz AD (add_nth i z (fo_nmlz AD (rem_nth i xs))) = xs$ 
 $\langle proof \rangle$ 
```

```

lemma sp_equiv_list_almost_same: sp_equiv_list (xs @ v # ys) (xs @ w # ys)  $\implies$ 
  v  $\in$  set xs  $\cup$  set ys  $\vee$  w  $\in$  set xs  $\cup$  set ys  $\implies$  v = w
 $\langle proof \rangle$ 
```

```

lemma ad_agr_list_add_nth:
  assumes  $i \leq$  length zs ad_agr_list AD (add_nth i v zs) (add_nth i w zs)  $v \neq w$ 
  shows {v, w}  $\cap$  (Inl ‘ AD  $\cup$  set zs) = {}
 $\langle proof \rangle$ 
```

```

lemma Inr_in_tuple:
  assumes fo_nmlz AD zs = zs  $n <$  card (Inr –‘ set zs)
  shows Inr n  $\in$  set zs
 $\langle proof \rangle$ 
```

```

lemma card_wit_sub:
  assumes finite Z card Z  $\leq$  card {ts  $\in$  X.  $\exists z \in Z. ts = f z$ }
```

```

shows  $f`Z \subseteq X$ 
⟨proof⟩

lemma add_nth_iff_card:
assumes ( $\bigwedge xs. xs \in X \Rightarrow fo\_nmlz AD xs = xs$ ) ( $\bigwedge xs. xs \in X \Rightarrow i < length xs$ )
 $fo\_nmlz AD zs = zs \wedge i \leq length zs \wedge \text{finite } AD \wedge \text{finite } X$ 
shows ( $\forall z. fo\_nmlz AD (add\_nth i z zs) \in X \leftrightarrow$ 
 $Suc (card AD + card (Inr -` set zs)) \leq card \{ts \in X. \exists z. ts = fo\_nmlz AD (add\_nth i z zs)\}$ )
⟨proof⟩

lemma set_fo_nmlz_add_nth_rem_nth:
assumes  $j < length xs \wedge x \in X \Rightarrow fo\_nmlz AD x = x$ 
 $\bigwedge x. x \in X \Rightarrow j < length x$ 
shows  $\{ts \in X. \exists z. ts = fo\_nmlz AD (add\_nth j z (fo\_nmlz AD (rem\_nth j xs)))\} =$ 
 $\{y \in X. fo\_nmlz AD (rem\_nth j y) = fo\_nmlz AD (rem\_nth j xs)\}$ 
⟨proof⟩

lemma eval_forall:
fixes  $\varphi :: ('a :: infinite, 'b) fo\_fmla$ 
assumes  $wf: fo\_wf \varphi I t$ 
shows  $fo\_wf (\text{Forall } i \varphi) I (eval\_forall i (fv\_fo\_fmla\_list \varphi) t)$ 
⟨proof⟩

fun fo_res :: ('a, nat) fo_t  $\Rightarrow$  'a eval_res where
 $fo\_res (AD, n, X) = (\text{if } fo\_fin (AD, n, X) \text{ then } Fin (\text{map projl } `X) \text{ else } Infin)$ 

lemma fo_res_fin:
fixes  $t :: ('a :: infinite, nat) fo\_t$ 
assumes  $fo\_wf \varphi I t \text{ finite } (fo\_rep t)$ 
shows  $fo\_res t = Fin (fo\_rep t)$ 
⟨proof⟩

lemma fo_res_infin:
fixes  $t :: ('a :: infinite, nat) fo\_t$ 
assumes  $fo\_wf \varphi I t \neg \text{finite } (fo\_rep t)$ 
shows  $fo\_res t = Infin$ 
⟨proof⟩

lemma fo_rep:  $fo\_wf \varphi I t \Rightarrow fo\_rep t = proj\_sat \varphi I$ 
⟨proof⟩

global_interpretation Ailamazyan: eval_fo fo_wf eval_pred fo_rep fo_res
eval_bool eval_eq eval_neg eval_conj eval_ajoin eval_disj
eval_exists eval_forall
defines eval_fmla = Ailamazyan.eval_fmla
and eval = Ailamazyan.eval
⟨proof⟩

definition esat_UNIV :: ('a :: infinite, 'b) fo_fmla  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  ('a + nat) val  $\Rightarrow$  bool
where
 $esat\_UNIV \varphi I \sigma = esat \varphi I \sigma UNIV$ 

lemma esat_UNIV_code[code]:  $esat\_UNIV \varphi I \sigma \leftrightarrow (\text{if } wf\_fo\_intp \varphi I \text{ then}$ 
 $(\text{case eval\_fmla } \varphi I \text{ of } (AD, n, X) \Rightarrow$ 
 $fo\_nmlz (\text{act\_edom } \varphi I) (\text{map } \sigma (fv\_fo\_fmla\_list } \varphi)) \in X)$ 
 $\text{else } esat\_UNIV \varphi I \sigma)$ 
⟨proof⟩

```

```

lemma sat_code[code]:
  fixes  $\varphi :: ('a :: infinite, 'b) fo_fmla$ 
  shows  $\text{sat } \varphi I \sigma \longleftrightarrow (\text{if wf}_\text{fo}_\text{intp } \varphi I \text{ then}$ 
     $(\text{case eval\_fmla } \varphi I \text{ of } (AD, n, X) \Rightarrow$ 
       $\text{fo\_nmlz} (\text{act\_edom } \varphi I) (\text{map} (\text{Inl } \circ \sigma) (\text{fv}_\text{fo}_\text{fmla\_list } \varphi)) \in X)$ 
     $\text{else sat } \varphi I \sigma)$ 
  ⟨proof⟩

end
theory Ailamazyan_Code
  imports HOL-Library.Code_Target_Nat Containers.Containers Ailamazyan
begin

definition insert_db :: 'a ⇒ 'b ⇒ ('a, 'b set) mapping ⇒ ('a, 'b set) mapping where
  insert_db k v m = (case Mapping.lookup m k of None ⇒
    Mapping.update k ({v}) m
  | Some vs ⇒ Mapping.update k (({v} ∪ vs)) m)

fun convert_db_rec :: ('a × 'c list) list ⇒ (('a × nat), 'c list set) mapping ⇒
  (('a × nat), 'c list set) mapping where
  convert_db_rec [] m = m
  | convert_db_rec ((r, ts) # ktss) m = convert_db_rec ktss (insert_db (r, length ts) ts m)

lemma convert_db_rec_mono: Mapping.lookup m (r, n) = Some tss ⇒
  ∃ tss'. Mapping.lookup (convert_db_rec ktss m) (r, n) = Some tss' ∧ tss ⊆ tss'
  ⟨proof⟩

lemma convert_db_rec_sound: (r, ts) ∈ set ktss ⇒
  ∃ tss. Mapping.lookup (convert_db_rec ktss m) (r, length ts) = Some tss ∧ ts ∈ tss
  ⟨proof⟩

lemma convert_db_rec_complete: Mapping.lookup (convert_db_rec ktss m) (r, n) = Some tss' ⇒
  ts ∈ tss' ⇒
  (length ts = n ∧ (r, ts) ∈ set ktss) ∨ (∃ tss. Mapping.lookup m (r, n) = Some tss ∧ ts ∈ tss)
  ⟨proof⟩

definition convert_db :: ('a × 'c list) list ⇒ ('c table, 'a) fo_intp where
  convert_db ktss = (let m = convert_db_rec ktss Mapping.empty in
    (λx. case Mapping.lookup m x of None ⇒ {} | Some v ⇒ v))

lemma convert_db_correct: (ts ∈ convert_db ktss (r, n) → n = length ts) ∧
  ((r, ts) ∈ set ktss ↔ ts ∈ convert_db ktss (r, length ts))
  ⟨proof⟩

lemma Inl_vimage_set_code[code_unfold]: Inl - ` set as = set (List.map_filter (case_sum Some Map.empty)
  as)
  ⟨proof⟩

lemma Inr_vimage_set_code[code_unfold]: Inr - ` set as = set (List.map_filter (case_sum Some Map.empty)
  Some) as
  ⟨proof⟩

lemma Inl_vimage_code: Inl - ` as = projl ` {x ∈ as. isl x}
  ⟨proof⟩

```

```

lemmas ad_pred_code[code] = ad_terms.simps[unfolded Inl_vimage_code]
lemmas fo_wf_code[code] = fo_wf.simps[unfolded Inl_vimage_code]

definition empty_J :: ((nat, nat) fo_t, String.literal) fo_intp where
  empty_J = (λ(_), n). eval_pred (map Var [0..]) {}

definition eval_fin_nat :: (nat, String.literal) fo_fmla ⇒ (nat table, String.literal) fo_intp ⇒ nat eval_res where
  eval_fin_nat φ I = eval φ I

definition sat_fin_nat :: (nat, String.literal) fo_fmla ⇒ (nat table, String.literal) fo_intp ⇒ nat val ⇒ bool where
  sat_fin_nat φ I = sat φ I

definition convert_nat_db :: (String.literal × nat list) list ⇒ (nat table, String.literal) fo_intp where
  convert_nat_db = convert_db

definition rbt_nat_fold :: _ ⇒ nat set_rbt ⇒ _ ⇒ _ where
  rbt_nat_fold = RBT_Set2.fold

definition rbt_nat_list_fold :: _ ⇒ (nat list) set_rbt ⇒ _ ⇒ _ where
  rbt_nat_list_fold = RBT_Set2.fold

definition rbt_sum_list_fold :: _ ⇒ ((nat + nat) list) set_rbt ⇒ _ ⇒ _ where
  rbt_sum_list_fold = RBT_Set2.fold

export_code eval_fin_nat sat_fin_nat fv_fo_fmla_list convert_nat_db rbt_nat_fold rbt_nat_list_fold
rbt_sum_list_fold Const Conj Inl Fin nat_of_integer integer_of_nat RBT_set
in OCaml module_name Eval_FO file_prefix verified

```

```

definition φ :: (nat, String.literal) fo_fmla where
  φ ≡ Exists 0 (Conj (FO.Eqa (Var 0) (Const 2)) (FO.Eqa (Var 0) (Var 1)))

value eval_fin_nat φ (convert_nat_db [])

value sat_fin_nat φ (convert_nat_db []) (λ_. 0)
value sat_fin_nat φ (convert_nat_db []) (λ_. 2)

definition ψ :: (nat, String.literal) fo_fmla where
  ψ ≡ Forall 2 (Disj (FO.Eqa (Var 2) (Const 42))
    (Exists 1 (Conj (FO.Pred (String.implode "P") [Var 0, Var 1])
      (Neg (FO.Pred (String.implode "Q") [Var 1, Var 2])))))

value eval_fin_nat ψ (convert_nat_db
  [(String.implode "P", [1, 20]),
   (String.implode "P", [9, 20]),
   (String.implode "P", [2, 30]),
   (String.implode "P", [3, 31]),
   (String.implode "P", [4, 32]),
   (String.implode "P", [5, 30]),
   (String.implode "P", [6, 30]),
   (String.implode "P", [7, 30]),
```

```
(String.implode "Q", [20, 42]),  
(String.implode "Q", [30, 43]))  
end
```

References

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