

Euler's Partition Theorem

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December 17, 2016

Abstract

Euler's Partition Theorem states that the number of partitions with only distinct parts is equal to the number of partitions with only odd parts. The combinatorial proof follows John Harrison's pre-existing HOL Light formalization [1]. To understand the rough idea of the proof, I read the lecture notes of the MIT course 18.312 on Algebraic Combinatorics [2] by Gregg Musiker. This theorem is the 45th theorem of the Top 100 Theorems list.

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1 (Finite) multisets

```
theory Multiset
imports Main
begin
```

1.1 The type of multisets

```
definition multiset = {f :: 'a ⇒ nat. finite {x. f x > 0}}
```

```
typedef 'a multiset = multiset :: ('a ⇒ nat) set
morphisms count Abs-multiset
unfolding multiset-def
```

```
proof
show (λx. 0::nat) ∈ {f. finite {x. f x > 0}} by simp
qed
```

```
setup-lifting type-definition-multiset
```

```
lemma multiset-eq-iff: M = N ⟷ (∀ a. count M a = count N a)
by (simp only: count-inject [symmetric] fun-eq-iff)
```

```
lemma multiset-eqI: (∧x. count A x = count B x) ⟹ A = B
using multiset-eq-iff by auto
```

Preservation of the representing set *multiset*.

```
lemma const0-in-multiset: (λa. 0) ∈ multiset
by (simp add: multiset-def)
```

```
lemma only1-in-multiset: (λb. if b = a then n else 0) ∈ multiset
by (simp add: multiset-def)
```

```
lemma union-preserves-multiset: M ∈ multiset ⟹ N ∈ multiset ⟹ (λa. M a
+ N a) ∈ multiset
by (simp add: multiset-def)
```

```
lemma diff-preserves-multiset:
assumes M ∈ multiset
shows (λa. M a - N a) ∈ multiset
proof -
have {x. N x < M x} ⊆ {x. 0 < M x}
by auto
with assms show ?thesis
by (auto simp add: multiset-def intro: finite-subset)
qed
```

```
lemma filter-preserves-multiset:
assumes M ∈ multiset
shows (λx. if P x then M x else 0) ∈ multiset
proof -
```

have $\{x. (P\ x \longrightarrow 0 < M\ x) \wedge P\ x\} \subseteq \{x. 0 < M\ x\}$
by *auto*
with *assms show ?thesis*
by (*auto simp add: multiset-def intro: finite-subset*)
qed

lemmas *in-multiset = const0-in-multiset only1-in-multiset*
union-preserves-multiset diff-preserves-multiset filter-preserves-multiset

1.2 Representing multisets

Multiset enumeration

instantiation *multiset :: (type) cancel-comm-monoid-add*
begin

lift-definition *zero-multiset :: 'a multiset is $\lambda a. 0$*
by (*rule const0-in-multiset*)

abbreviation *Mempty :: 'a multiset ($\{\#\}$) where*
Mempty $\equiv 0$

lift-definition *plus-multiset :: 'a multiset \Rightarrow 'a multiset \Rightarrow 'a multiset is $\lambda M\ N.$*
($\lambda a. M\ a + N\ a$)
by (*rule union-preserves-multiset*)

lift-definition *minus-multiset :: 'a multiset \Rightarrow 'a multiset \Rightarrow 'a multiset is $\lambda M\ N.$*
 $\lambda a. M\ a - N\ a$
by (*rule diff-preserves-multiset*)

instance
by (*standard; transfer; simp add: fun-eq-iff*)

end

context
begin

qualified definition *is-empty :: 'a multiset \Rightarrow bool where*
[code-abbrev]: is-empty $A \longleftrightarrow A = \{\#\}$

end

lemma *add-mset-in-multiset:*
assumes *$M: \langle M \in \text{multiset} \rangle$*
shows *$\langle (\lambda b. \text{if } b = a \text{ then } \text{Suc } (M\ b) \text{ else } M\ b) \in \text{multiset} \rangle$*
using *assms by (simp add: multiset-def insert-Collect[symmetric])*

lift-definition *add-mset :: 'a \Rightarrow 'a multiset \Rightarrow 'a multiset is*
 $\lambda a\ M\ b. \text{if } b = a \text{ then } \text{Suc } (M\ b) \text{ else } M\ b$

by (rule *add-mset-in-multiset*)

syntax

-multiset :: *args* \Rightarrow '*a multiset* ($\{\#(-)\#\}$)

translations

$\{\#x, xs\# \} == \text{CONST } \text{add-mset } x \ \{\#xs\# \}$

$\{\#x\# \} == \text{CONST } \text{add-mset } x \ \{\#\}$

lemma *count-empty* [*simp*]: *count* $\{\#\}$ *a* = 0

by (*simp add: zero-multiset.rep-eq*)

lemma *count-add-mset* [*simp*]:

count (*add-mset* *b* *A*) *a* = (if *b* = *a* then *Suc* (*count* *A* *a*) else *count* *A* *a*)

by (*simp add: add-mset.rep-eq*)

lemma *count-single*: *count* $\{\#b\# \}$ *a* = (if *b* = *a* then 1 else 0)

by *simp*

lemma

add-mset-not-empty [*simp*]: $\langle \text{add-mset } a \ A \neq \{\#\} \rangle$ **and**

empty-not-add-mset [*simp*]: $\{\#\} \neq \text{add-mset } a \ A$

by (*auto simp: multiset-eq-iff*)

lemma *add-mset-add-mset-same-iff* [*simp*]:

add-mset *a* *A* = *add-mset* *a* *B* \longleftrightarrow *A* = *B*

by (*auto simp: multiset-eq-iff*)

lemma *add-mset-commute*:

add-mset *x* (*add-mset* *y* *M*) = *add-mset* *y* (*add-mset* *x* *M*)

by (*auto simp: multiset-eq-iff*)

1.3 Basic operations

1.3.1 Conversion to set and membership

definition *set-mset* :: '*a multiset* \Rightarrow '*a set*

where *set-mset* *M* = $\{x. \text{count } M \ x > 0\}$

abbreviation *Melem* :: '*a* \Rightarrow '*a multiset* \Rightarrow *bool*

where *Melem* *a* *M* \equiv *a* \in *set-mset* *M*

notation

Melem (*op* \in $\#$) **and**

Melem ((*-/* \in $\#$ *-*) [51, 51] 50)

notation (*ASCII*)

Melem (*op* $:$ $\#$) **and**

Melem ((*-/* $:$ $\#$ *-*) [51, 51] 50)

abbreviation *not-Melem* :: '*a* \Rightarrow '*a multiset* \Rightarrow *bool*

where *not-Melem* $a M \equiv a \notin \text{set-mset } M$

notation

not-Melem (*op* $\notin\#$) **and**
not-Melem ((*-/* $\notin\#$ *-*) [51, 51] 50)

notation (*ASCII*)

not-Melem (*op* $\sim\#$) **and**
not-Melem ((*-/* $\sim\#$ *-*) [51, 51] 50)

context

begin

qualified abbreviation *Ball* $:: 'a \text{ multiset} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
where *Ball* $M \equiv \text{Set.Ball } (\text{set-mset } M)$

qualified abbreviation *Bex* $:: 'a \text{ multiset} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
where *Bex* $M \equiv \text{Set.Bex } (\text{set-mset } M)$

end

syntax

-MBall $:: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists\forall \text{-}\in\# \text{-} / \text{-}) [0, 0, 10] 10)$
-MBex $:: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists\exists \text{-}\in\# \text{-} / \text{-}) [0, 0, 10] 10)$

syntax (*ASCII*)

-MBall $:: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists\forall \text{-}\#\text{-} / \text{-}) [0, 0, 10] 10)$
-MBex $:: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists\exists \text{-}\#\text{-} / \text{-}) [0, 0, 10] 10)$

translations

$\forall x \in \#A. P \Leftrightarrow \text{CONST Multiset.Ball } A (\lambda x. P)$
 $\exists x \in \#A. P \Leftrightarrow \text{CONST Multiset.Bex } A (\lambda x. P)$

lemma *count-eq-zero-iff*:

count $M x = 0 \longleftrightarrow x \notin \# M$
by (*auto simp add: set-mset-def*)

lemma *not-in-iff*:

$x \notin \# M \longleftrightarrow \text{count } M x = 0$
by (*auto simp add: count-eq-zero-iff*)

lemma *count-greater-zero-iff* [*simp*]:

count $M x > 0 \longleftrightarrow x \in \# M$
by (*auto simp add: set-mset-def*)

lemma *count-inI*:

assumes *count* $M x = 0 \implies \text{False}$
shows $x \in \# M$
proof (*rule ccontr*)

assume $x \notin \# M$
with *assms* **show** *False* **by** (*simp add: not-in-iff*)
qed

lemma *in-countE*:
assumes $x \in \# M$
obtains n **where** $\text{count } M \ x = \text{Suc } n$
proof –
from *assms* **have** $\text{count } M \ x > 0$ **by** *simp*
then obtain n **where** $\text{count } M \ x = \text{Suc } n$
using *gr0-conv-Suc* **by** *blast*
with that show *thesis* .
qed

lemma *count-greater-eq-Suc-zero-iff* [*simp*]:
 $\text{count } M \ x \geq \text{Suc } 0 \longleftrightarrow x \in \# M$
by (*simp add: Suc-le-eq*)

lemma *count-greater-eq-one-iff* [*simp*]:
 $\text{count } M \ x \geq 1 \longleftrightarrow x \in \# M$
by *simp*

lemma *set-mset-empty* [*simp*]:
 $\text{set-mset } \{\#\} = \{\}$
by (*simp add: set-mset-def*)

lemma *set-mset-single*:
 $\text{set-mset } \{\#b\# \} = \{b\}$
by (*simp add: set-mset-def*)

lemma *set-mset-eq-empty-iff* [*simp*]:
 $\text{set-mset } M = \{\} \longleftrightarrow M = \{\#\}$
by (*auto simp add: multiset-eq-iff count-eq-zero-iff*)

lemma *finite-set-mset* [*iff*]:
 $\text{finite } (\text{set-mset } M)$
using $\text{count } [\text{of } M]$ **by** (*simp add: multiset-def*)

lemma *set-mset-add-mset-insert* [*simp*]: $\langle \text{set-mset } (\text{add-mset } a \ A) = \text{insert } a \ (\text{set-mset } A) \rangle$
by (*auto simp del: count-greater-eq-Suc-zero-iff*
simp: count-greater-eq-Suc-zero-iff[symmetric] split: if-splits)

lemma *multiset-nonemptyE* [*elim*]:
assumes $A \neq \{\#\}$
obtains x **where** $x \in \# A$
proof –
have $\exists x. x \in \# A$ **by** (*rule ccontr*) (*insert assms, auto*)
with that show *?thesis* **by** *blast*

qed

1.3.2 Union

lemma *count-union* [simp]:
 $\text{count } (M + N) a = \text{count } M a + \text{count } N a$
 by (simp add: plus-multiset.rep-eq)

lemma *set-mset-union* [simp]:
 $\text{set-mset } (M + N) = \text{set-mset } M \cup \text{set-mset } N$
 by (simp only: set-eq-iff count-greater-zero-iff [symmetric] count-union) simp

lemma *union-mset-add-mset-left* [simp]:
 $\text{add-mset } a A + B = \text{add-mset } a (A + B)$
 by (auto simp: multiset-eq-iff)

lemma *union-mset-add-mset-right* [simp]:
 $A + \text{add-mset } a B = \text{add-mset } a (A + B)$
 by (auto simp: multiset-eq-iff)

lemma *add-mset-add-single*: $\langle \text{add-mset } a A = A + \{\#a\# \} \rangle$
 by (subst union-mset-add-mset-right, subst add.comm-neutral) standard

1.3.3 Difference

instance *multiset* :: (type) *comm-monoid-diff*
 by standard (transfer; simp add: fun-eq-iff)

lemma *count-diff* [simp]:
 $\text{count } (M - N) a = \text{count } M a - \text{count } N a$
 by (simp add: minus-multiset.rep-eq)

lemma *add-mset-diff-bothsides*:
 $\langle \text{add-mset } a M - \text{add-mset } a A = M - A \rangle$
 by (auto simp: multiset-eq-iff)

lemma *in-diff-count*:
 $a \in\# M - N \longleftrightarrow \text{count } N a < \text{count } M a$
 by (simp add: set-mset-def)

lemma *count-in-diffI*:
 assumes $\bigwedge n. \text{count } N x = n + \text{count } M x \implies \text{False}$
 shows $x \in\# M - N$
proof (rule ccontr)
 assume $x \notin\# M - N$
 then have $\text{count } N x = (\text{count } N x - \text{count } M x) + \text{count } M x$
 by (simp add: in-diff-count not-less)
 with assms show False by auto
qed

lemma *in-diff-countE*:
assumes $x \in\# M - N$
obtains n **where** $\text{count } M x = \text{Suc } n + \text{count } N x$
proof –
from *assms* **have** $\text{count } M x - \text{count } N x > 0$ **by** (*simp add: in-diff-count*)
then **have** $\text{count } M x > \text{count } N x$ **by** *simp*
then **obtain** n **where** $\text{count } M x = \text{Suc } n + \text{count } N x$
using *less-iff-Suc-add* **by** *auto*
with that **show** *thesis* .
qed

lemma *in-diffD*:
assumes $a \in\# M - N$
shows $a \in\# M$
proof –
have $0 \leq \text{count } N a$ **by** *simp*
also **from** *assms* **have** $\text{count } N a < \text{count } M a$
by (*simp add: in-diff-count*)
finally **show** *?thesis* **by** *simp*
qed

lemma *set-mset-diff*:
 $\text{set-mset } (M - N) = \{a. \text{count } N a < \text{count } M a\}$
by (*simp add: set-mset-def*)

lemma *diff-empty* [*simp*]: $M - \{\#\} = M \wedge \{\#\} - M = \{\#\}$
by *rule* (*fact Groups.diff-zero, fact Groups.zero-diff*)

lemma *diff-cancel*: $A - A = \{\#\}$
by (*fact Groups.diff-cancel*)

lemma *diff-union-cancelR*: $M + N - N = (M::'a \text{ multiset})$
by (*fact add-diff-cancel-right'*)

lemma *diff-union-cancelL*: $N + M - N = (M::'a \text{ multiset})$
by (*fact add-diff-cancel-left'*)

lemma *diff-right-commute*:
fixes $M N Q :: 'a \text{ multiset}$
shows $M - N - Q = M - Q - N$
by (*fact diff-right-commute*)

lemma *diff-add*:
fixes $M N Q :: 'a \text{ multiset}$
shows $M - (N + Q) = M - N - Q$
by (*rule sym*) (*fact diff-diff-add*)

lemma *insert-DiffM* [*simp*]: $x \in\# M \implies \text{add-mset } x (M - \{\#x\}) = M$
by (*clarsimp simp: multiset-eq-iff*)

lemma *insert-DiffM2*: $x \in\# M \implies (M - \{\#x\}) + \{\#x\} = M$
by *simp*

lemma *diff-union-swap*: $a \neq b \implies \text{add-mset } b (M - \{\#a\}) = \text{add-mset } b M - \{\#a\}$
by (*auto simp add: multiset-eq-iff*)

lemma *diff-add-mset-swap* [*simp*]: $b \notin\# A \implies \text{add-mset } b M - A = \text{add-mset } b (M - A)$
by (*auto simp add: multiset-eq-iff simp: not-in-iff*)

lemma *diff-union-swap2* [*simp*]: $y \in\# M \implies \text{add-mset } x M - \{\#y\} = \text{add-mset } x (M - \{\#y\})$
by (*metis add-mset-diff-bothsides diff-union-swap diff-zero insert-DiffM*)

lemma *diff-diff-add-mset* [*simp*]: $(M::'a \text{ multiset}) - N - P = M - (N + P)$
by (*rule diff-diff-add*)

lemma *diff-union-single-conv*:
 $a \in\# J \implies I + J - \{\#a\} = I + (J - \{\#a\})$
by (*simp add: multiset-eq-iff Suc-le-eq*)

lemma *mset-add* [*elim?*]:
assumes $a \in\# A$
obtains B **where** $A = \text{add-mset } a B$
proof –
from *assms* **have** $A = \text{add-mset } a (A - \{\#a\})$
by *simp*
with *that* **show** *thesis* .
qed

lemma *union-iff*:
 $a \in\# A + B \iff a \in\# A \vee a \in\# B$
by *auto*

1.3.4 Equality of multisets

lemma *single-eq-single* [*simp*]: $\{\#a\} = \{\#b\} \iff a = b$
by (*auto simp add: multiset-eq-iff*)

lemma *union-eq-empty* [*iff*]: $M + N = \{\#\} \iff M = \{\#\} \wedge N = \{\#\}$
by (*auto simp add: multiset-eq-iff*)

lemma *empty-eq-union* [*iff*]: $\{\#\} = M + N \iff M = \{\#\} \wedge N = \{\#\}$
by (*auto simp add: multiset-eq-iff*)

lemma *multi-self-add-other-not-self* [*simp*]: $M = \text{add-mset } x M \iff \text{False}$
by (*auto simp add: multiset-eq-iff*)

lemma *add-mset-remove-trivial* [*simp*]: $\langle \text{add-mset } x \ M - \{ \#x\# \} = M \rangle$
by (*auto simp: multiset-eq-iff*)

lemma *diff-single-trivial*: $\neg x \in\# \ M \implies M - \{ \#x\# \} = M$
by (*auto simp add: multiset-eq-iff not-in-iff*)

lemma *diff-single-eq-union*: $x \in\# \ M \implies M - \{ \#x\# \} = N \longleftrightarrow M = \text{add-mset } x \ N$
by *auto*

lemma *union-single-eq-diff*: $\text{add-mset } x \ M = N \implies M = N - \{ \#x\# \}$
unfolding *add-mset-add-single*[*of - M*] **by** (*fact add-implies-diff*)

lemma *union-single-eq-member*: $\text{add-mset } x \ M = N \implies x \in\# \ N$
by *auto*

lemma *add-mset-remove-trivial-If*:
 $\text{add-mset } a \ (N - \{ \#a\# \}) = (\text{if } a \in\# \ N \text{ then } N \text{ else } \text{add-mset } a \ N)$
by (*simp add: diff-single-trivial*)

lemma *add-mset-remove-trivial-eq*: $\langle N = \text{add-mset } a \ (N - \{ \#a\# \}) \longleftrightarrow a \in\# \ N \rangle$
by (*auto simp: add-mset-remove-trivial-If*)

lemma *union-is-single*:
 $M + N = \{ \#a\# \} \longleftrightarrow M = \{ \#a\# \} \wedge N = \{ \# \} \vee M = \{ \# \} \wedge N = \{ \#a\# \}$
(is ?lhs = ?rhs)

proof

show *?lhs if ?rhs using that by auto*

show *?rhs if ?lhs*

by (*metis Multiset.diff-cancel add.commute add-diff-cancel-left' diff-add-zero diff-single-trivial insert-DiffM that*)

qed

lemma *single-is-union*: $\{ \#a\# \} = M + N \longleftrightarrow \{ \#a\# \} = M \wedge N = \{ \# \} \vee M = \{ \# \} \wedge \{ \#a\# \} = N$
by (*auto simp add: eq-commute [of {#a#} M + N] union-is-single*)

lemma *add-eq-conv-diff*:

$\text{add-mset } a \ M = \text{add-mset } b \ N \longleftrightarrow M = N \wedge a = b \vee M = \text{add-mset } b \ (N - \{ \#a\# \}) \wedge N = \text{add-mset } a \ (M - \{ \#b\# \})$
(is ?lhs \longleftrightarrow ?rhs)

proof

show *?lhs if ?rhs*

using *that*

by (*auto simp add: add-mset-commute*[*of a b*])

show *?rhs if ?lhs*

proof (*cases* $a = b$)
 case *True* **with** $\langle ?lhs \rangle$ **show** *?thesis* **by** *simp*
next
 case *False*
 from $\langle ?lhs \rangle$ **have** $a \in \# \text{ add-mset } b \ N$ **by** (*rule union-single-eq-member*)
 with *False* **have** $a \in \# \ N$ **by** *auto*
 moreover from $\langle ?lhs \rangle$ **have** $M = \text{add-mset } b \ N - \{\#a\#$ **by** (*rule union-single-eq-diff*)
 moreover note *False*
 ultimately show *?thesis* **by** (*auto simp add: diff-right-commute [of - \{\#a\#*])
qed
qed

lemma *add-mset-eq-single [iff]*: $\text{add-mset } b \ M = \{\#a\# \longleftrightarrow b = a \wedge M = \{\#\}$
by (*auto simp: add-eq-conv-diff*)

lemma *single-eq-add-mset [iff]*: $\{\#a\# = \text{add-mset } b \ M \longleftrightarrow b = a \wedge M = \{\#\}$
by (*auto simp: add-eq-conv-diff*)

lemma *insert-noteq-member*:
 assumes *BC*: $\text{add-mset } b \ B = \text{add-mset } c \ C$
 and *bnotc*: $b \neq c$
 shows $c \in \# \ B$

proof –
 have $c \in \# \ \text{add-mset } c \ C$ **by** *simp*
 have *nc*: $\neg c \in \# \ \{\#b\#$ **using** *bnotc* **by** *simp*
 then have $c \in \# \ \text{add-mset } b \ B$ **using** *BC* **by** *simp*
 then show $c \in \# \ B$ **using** *nc* **by** *simp*
qed

lemma *add-eq-conv-ex*:
 $(\text{add-mset } a \ M = \text{add-mset } b \ N) =$
 $(M = N \wedge a = b \vee (\exists K. M = \text{add-mset } b \ K \wedge N = \text{add-mset } a \ K))$
by (*auto simp add: add-eq-conv-diff*)

lemma *multi-member-split*: $x \in \# \ M \implies \exists A. M = \text{add-mset } x \ A$
by (*rule exI [where $x = M - \{\#x\#$]*) *simp*

lemma *multiset-add-sub-el-shuffle*:
 assumes $c \in \# \ B$
 and $b \neq c$
 shows $\text{add-mset } b \ (B - \{\#c\#) = \text{add-mset } b \ B - \{\#c\#$

proof –
 from $\langle c \in \# \ B \rangle$ **obtain** *A* **where** *B*: $B = \text{add-mset } c \ A$
 by (*blast dest: multi-member-split*)
 have $\text{add-mset } b \ A = \text{add-mset } c \ (\text{add-mset } b \ A) - \{\#c\#$ **by** *simp*
 then have $\text{add-mset } b \ A = \text{add-mset } b \ (\text{add-mset } c \ A) - \{\#c\#$
 by (*simp add: $\langle b \neq c \rangle$*)
 then show *?thesis* **using** *B* **by** *simp*
qed

lemma *add-mset-eq-singleton-iff*[*iff*]:
 $add\text{-}mset\ x\ M = \{\#y\#\} \longleftrightarrow M = \{\#\} \wedge x = y$
by *auto*

1.3.5 Pointwise ordering induced by count

definition *subseteq-mset* :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (**infix** $\subseteq\#$ 50)
where $A \subseteq\# B = (\forall a. count\ A\ a \leq count\ B\ a)$

definition *subset-mset* :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (**infix** $\subset\#$ 50)
where $A \subset\# B = (A \subseteq\# B \wedge A \neq B)$

abbreviation (*input*) *supseteq-mset* :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (**infix** $\supseteq\#$ 50)
where $supseteq\text{-}mset\ A\ B \equiv B \subseteq\# A$

abbreviation (*input*) *supset-mset* :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (**infix** $\supset\#$ 50)
where $supset\text{-}mset\ A\ B \equiv B \subset\# A$

notation (*input*)
subseq-mset (**infix** $\leq\#$ 50) **and**
supseq-mset (**infix** $\geq\#$ 50)

notation (*ASCII*)
subseq-mset (**infix** $\leq\#$ 50) **and**
subseq-mset (**infix** $<\#$ 50) **and**
supseq-mset (**infix** $\geq\#$ 50) **and**
supseq-mset (**infix** $>\#$ 50)

interpretation *subset-mset*: *ordered-ab-semigroup-add-imp-le* $op + op - op \subseteq\#$
 $op \subset\#$
by *standard* (*auto simp add: subset-mset-def subseteq-mset-def multiset-eq-iff intro: order-trans antisym*)

interpretation *subset-mset*: *ordered-ab-semigroup-monoid-add-imp-le* $op + 0\ op$
 $- op \leq\# op <\#$
by *standard*

lemma *mset-subset-eqI*:
 $(\bigwedge a. count\ A\ a \leq count\ B\ a) \Longrightarrow A \subseteq\# B$
by (*simp add: subseteq-mset-def*)

lemma *mset-subset-eq-count*:
 $A \subseteq\# B \Longrightarrow count\ A\ a \leq count\ B\ a$
by (*simp add: subseteq-mset-def*)

lemma *mset-subset-eq-exists-conv*: $(A::'a\ multiset) \subseteq\# B \longleftrightarrow (\exists C. B = A + C)$

```

unfolding subseteq-mset-def
apply (rule iffI)
  apply (rule exI [where x = B - A])
  apply (auto intro: multiset-eq-iff [THEN iffD2])
done

interpretation subset-mset: ordered-cancel-comm-monoid-diff op + 0 op ≤# op
<# op -
  by standard (simp, fact mset-subset-eq-exists-conv)

declare subset-mset.add-diff-assoc[simp] subset-mset.add-diff-assoc2[simp]

lemma mset-subset-eq-mono-add-right-cancel: (A::'a multiset) + C ⊆# B + C
↔ A ⊆# B
  by (fact subset-mset.add-le-cancel-right)

lemma mset-subset-eq-mono-add-left-cancel: C + (A::'a multiset) ⊆# C + B ↔
A ⊆# B
  by (fact subset-mset.add-le-cancel-left)

lemma mset-subset-eq-mono-add: (A::'a multiset) ⊆# B ⇒ C ⊆# D ⇒ A +
C ⊆# B + D
  by (fact subset-mset.add-mono)

lemma mset-subset-eq-add-left: (A::'a multiset) ⊆# A + B
  by simp

lemma mset-subset-eq-add-right: B ⊆# (A::'a multiset) + B
  by simp

lemma single-subset-iff [simp]:
{#a#} ⊆# M ↔ a ∈# M
  by (auto simp add: subseteq-mset-def Suc-le-eq)

lemma mset-subset-eq-single: a ∈# B ⇒ {#a#} ⊆# B
  by simp

lemma mset-subset-eq-add-mset-cancel: ⟨add-mset a A ⊆# add-mset a B ↔ A
⊆# B⟩
  unfolding add-mset-add-single[of - A] add-mset-add-single[of - B]
  by (rule mset-subset-eq-mono-add-right-cancel)

lemma multiset-diff-union-assoc:
fixes A B C D :: 'a multiset
shows C ⊆# B ⇒ A + B - C = A + (B - C)
  by (fact subset-mset.diff-add-assoc)

lemma mset-subset-eq-multiset-union-diff-commute:
fixes A B C D :: 'a multiset

```

shows $B \subseteq\# A \implies A - B + C = A + C - B$
by (*fact subset-mset.add-diff-assoc2*)

lemma *diff-subset-eq-self*[*simp*]:
 $(M::'a \text{ multiset}) - N \subseteq\# M$
by (*simp add: subseteq-mset-def*)

lemma *mset-subset-eqD*:
assumes $A \subseteq\# B$ **and** $x \in\# A$
shows $x \in\# B$
proof –
from $\langle x \in\# A \rangle$ **have** $\text{count } A \ x > 0$ **by** *simp*
also from $\langle A \subseteq\# B \rangle$ **have** $\text{count } A \ x \leq \text{count } B \ x$
by (*simp add: subseteq-mset-def*)
finally show *?thesis* **by** *simp*
qed

lemma *mset-subsetD*:
 $A \subset\# B \implies x \in\# A \implies x \in\# B$
by (*auto intro: mset-subset-eqD [of A]*)

lemma *set-mset-mono*:
 $A \subseteq\# B \implies \text{set-mset } A \subseteq \text{set-mset } B$
by (*metis mset-subset-eqD subsetI*)

lemma *mset-subset-eq-insertD*:
 $\text{add-mset } x \ A \subseteq\# B \implies x \in\# B \wedge A \subset\# B$
apply (*rule conjI*)
apply (*simp add: mset-subset-eqD*)
apply (*clarsimp simp: subset-mset-def subseteq-mset-def*)
apply *safe*
apply (*erule-tac x = a in allE*)
apply (*auto split: if-split-asm*)
done

lemma *mset-subset-insertD*:
 $\text{add-mset } x \ A \subset\# B \implies x \in\# B \wedge A \subset\# B$
by (*rule mset-subset-eq-insertD*) *simp*

lemma *mset-subset-of-empty*[*simp*]: $A \subset\# \{\#\} \longleftrightarrow \text{False}$
by (*simp only: subset-mset.not-less-zero*)

lemma *empty-subset-add-mset*[*simp*]: $\{\#\} <\# \text{add-mset } x \ M$
by(*auto intro: subset-mset.gr-zeroI*)

lemma *empty-le*: $\{\#\} \subseteq\# A$
by (*fact subset-mset.zero-le*)

lemma *insert-subset-eq-iff*:

add-mset $a A \subseteq\# B \longleftrightarrow a \in\# B \wedge A \subseteq\# B - \{\#a\}$
using *le-diff-conv2* [of *Suc 0 count B a count A a*]
apply (*auto simp add: subseteq-mset-def not-in-iff Suc-le-eq*)
apply (*rule ccontr*)
apply (*auto simp add: not-in-iff*)
done

lemma *insert-union-subset-iff*:
 $add-mset\ a\ A\ \subset\# B \longleftrightarrow a \in\# B \wedge A \subset\# B - \{\#a\}$
by (*auto simp add: insert-subset-eq-iff subset-mset-def*)

lemma *subset-eq-diff-conv*:
 $A - C \subseteq\# B \longleftrightarrow A \subseteq\# B + C$
by (*simp add: subseteq-mset-def le-diff-conv*)

lemma *multi-psub-of-add-self* [*simp*]: $A \subset\# add-mset\ x\ A$
by (*auto simp: subset-mset-def subseteq-mset-def*)

lemma *multi-psub-self*: $A \subset\# A = False$
by *simp*

lemma *mset-subset-add-mset* [*simp*]: $add-mset\ x\ N \subset\# add-mset\ x\ M \longleftrightarrow N \subset\# M$
unfolding *add-mset-add-single*[of - *N*] *add-mset-add-single*[of - *M*]
by (*fact subset-mset.add-less-cancel-right*)

lemma *mset-subset-diff-self*: $c \in\# B \implies B - \{\#c\} \subset\# B$
by (*auto simp: subset-mset-def elim: mset-add*)

lemma *Diff-eq-empty-iff-mset*: $A - B = \{\#\} \longleftrightarrow A \subseteq\# B$
by (*auto simp: multiset-eq-iff subseteq-mset-def*)

lemma *add-mset-subseteq-single-iff*[*iff*]: $add-mset\ a\ M \subseteq\# \{\#b\} \longleftrightarrow M = \{\#\}$
 $\wedge a = b$

proof
assume *A*: $add-mset\ a\ M \subseteq\# \{\#b\}$
then have $a = b$
by (*auto dest: mset-subset-eq-insertD*)
then show $M = \{\#\} \wedge a = b$
using *A* **by** (*simp add: mset-subset-eq-add-mset-cancel*)
qed *simp*

1.3.6 Intersection and bounded union

definition *inf-subset-mset* :: '*a multiset* \Rightarrow '*a multiset* \Rightarrow '*a multiset* (**infixl** $\cap\#$ 70) **where**
multiset-inter-def: $inf-subset-mset\ A\ B = A - (A - B)$

interpretation *subset-mset*: *semilattice-inf inf-subset-mset op* $\subseteq\#$ *op* $\subset\#$

proof –
have [simp]: $m \leq n \implies m \leq q \implies m \leq n - (n - q)$ **for** $m\ n\ q :: \text{nat}$
by *arith*
show *class.semilattice-inf* $op \cap\# op \subseteq\# op \subset\#$
by *standard* (*auto simp add: multiset-inter-def subseteq-mset-def*)
qed
– FIXME: avoid junk stemming from type class interpretation

definition *sup-subset-mset* :: $'a\ \text{multiset} \Rightarrow 'a\ \text{multiset} \Rightarrow 'a\ \text{multiset}$ (**infixl** $\cup\#$ 70)
where *sup-subset-mset* $A\ B = A + (B - A)$ – FIXME irregular fact name

interpretation *subset-mset*: *semilattice-sup* *sup-subset-mset* $op \subseteq\# op \subset\#$
proof –

have [simp]: $m \leq n \implies q \leq n \implies m + (q - m) \leq n$ **for** $m\ n\ q :: \text{nat}$
by *arith*
show *class.semilattice-sup* $op \cup\# op \subseteq\# op \subset\#$
by *standard* (*auto simp add: sup-subset-mset-def subseteq-mset-def*)
qed
– FIXME: avoid junk stemming from type class interpretation

interpretation *subset-mset*: *bounded-lattice-bot* $op \cap\# op \subseteq\# op \subset\#$
 $op \cup\# \{\#\}$
by *standard auto*

1.3.7 Additional intersection facts

lemma *multiset-inter-count* [simp]:
fixes $A\ B :: 'a\ \text{multiset}$
shows $\text{count } (A \cap\# B)\ x = \min (\text{count } A\ x)\ (\text{count } B\ x)$
by (*simp add: multiset-inter-def*)

lemma *set-mset-inter* [simp]:
 $\text{set-mset } (A \cap\# B) = \text{set-mset } A \cap \text{set-mset } B$
by (*simp only: set-eq-iff count-greater-zero-iff [symmetric] multiset-inter-count*)
simp

lemma *diff-intersect-left-idem* [simp]:
 $M - M \cap\# N = M - N$
by (*simp add: multiset-eq-iff min-def*)

lemma *diff-intersect-right-idem* [simp]:
 $M - N \cap\# M = M - N$
by (*simp add: multiset-eq-iff min-def*)

lemma *multiset-inter-single*[simp]: $a \neq b \implies \{\#a\# \} \cap\# \{\#b\# \} = \{\#\}$
by (*rule multiset-eqI*) *auto*

lemma *multiset-union-diff-commute*:

assumes $B \cap\# C = \{\#\}$
shows $A + B - C = A - C + B$
proof (*rule multiset-eqI*)
fix x
from *assms* **have** $\min (\text{count } B \ x) (\text{count } C \ x) = 0$
by (*auto simp add: multiset-eq-iff*)
then have $\text{count } B \ x = 0 \vee \text{count } C \ x = 0$
unfolding *min-def* **by** (*auto split: if-splits*)
then show $\text{count } (A + B - C) \ x = \text{count } (A - C + B) \ x$
by *auto*
qed

lemma *disjunct-not-in*:
 $A \cap\# B = \{\#\} \longleftrightarrow (\forall a. a \notin\# A \vee a \notin\# B)$ (**is** $?P \longleftrightarrow ?Q$)
proof
assume $?P$
show $?Q$
proof
fix a
from $\langle ?P \rangle$ **have** $\min (\text{count } A \ a) (\text{count } B \ a) = 0$
by (*simp add: multiset-eq-iff*)
then have $\text{count } A \ a = 0 \vee \text{count } B \ a = 0$
by (*cases count A a ≤ count B a*) (*simp-all add: min-def*)
then show $a \notin\# A \vee a \notin\# B$
by (*simp add: not-in-iff*)
qed
next
assume $?Q$
show $?P$
proof (*rule multiset-eqI*)
fix a
from $\langle ?Q \rangle$ **have** $\text{count } A \ a = 0 \vee \text{count } B \ a = 0$
by (*auto simp add: not-in-iff*)
then show $\text{count } (A \cap\# B) \ a = \text{count } \{\#\} \ a$
by *auto*
qed
qed

lemma *inter-mset-empty-distrib-right*: $A \cap\# (B + C) = \{\#\} \longleftrightarrow A \cap\# B = \{\#\} \wedge A \cap\# C = \{\#\}$
by (*meson disjunct-not-in union-iff*)

lemma *inter-mset-empty-distrib-left*: $(A + B) \cap\# C = \{\#\} \longleftrightarrow A \cap\# C = \{\#\} \wedge B \cap\# C = \{\#\}$
by (*meson disjunct-not-in union-iff*)

lemma *add-mset-inter-add-mset[simp]*:
 $\text{add-mset } a \ A \cap\# \text{ add-mset } a \ B = \text{add-mset } a \ (A \cap\# B)$
by (*metis add-mset-add-single add-mset-diff-bothsides diff-subset-eq-self multiset-inter-def*)

subset-mset.diff-add-assoc2)

lemma *add-mset-disjoint* [*simp*]:

$add-mset\ a\ A\ \cap\# \ B = \{\#\} \longleftrightarrow a \notin\# \ B \wedge A \cap\# \ B = \{\#\}$
 $\{\#\} = add-mset\ a\ A\ \cap\# \ B \longleftrightarrow a \notin\# \ B \wedge \{\#\} = A \cap\# \ B$
by (*auto simp: disjoint-not-in*)

lemma *disjoint-add-mset* [*simp*]:

$B \cap\# \ add-mset\ a\ A = \{\#\} \longleftrightarrow a \notin\# \ B \wedge B \cap\# \ A = \{\#\}$
 $\{\#\} = A \cap\# \ add-mset\ b\ B \longleftrightarrow b \notin\# \ A \wedge \{\#\} = A \cap\# \ B$
by (*auto simp: disjoint-not-in*)

lemma *inter-add-left1*: $\neg x \in\# \ N \implies (add-mset\ x\ M) \cap\# \ N = M \cap\# \ N$
by (*simp add: multiset-eq-iff not-in-iff*)

lemma *inter-add-left2*: $x \in\# \ N \implies (add-mset\ x\ M) \cap\# \ N = add-mset\ x\ (M \cap\# \ (N - \{\#x\}))$
by (*auto simp add: multiset-eq-iff elim: mset-add*)

lemma *inter-add-right1*: $\neg x \in\# \ N \implies N \cap\# \ (add-mset\ x\ M) = N \cap\# \ M$
by (*simp add: multiset-eq-iff not-in-iff*)

lemma *inter-add-right2*: $x \in\# \ N \implies N \cap\# \ (add-mset\ x\ M) = add-mset\ x\ ((N - \{\#x\}) \cap\# \ M)$
by (*auto simp add: multiset-eq-iff elim: mset-add*)

lemma *disjunct-set-mset-diff*:

assumes $M \cap\# \ N = \{\#\}$
shows $set-mset\ (M - N) = set-mset\ M$
proof (*rule set-eqI*)
fix a
from *assms* **have** $a \notin\# \ M \vee a \notin\# \ N$
by (*simp add: disjoint-not-in*)
then show $a \in\# \ M - N \longleftrightarrow a \in\# \ M$
by (*auto dest: in-diffD*) (*simp add: in-diff-count not-in-iff*)
qed

lemma *at-most-one-mset-mset-diff*:

assumes $a \notin\# \ M - \{\#a\}$
shows $set-mset\ (M - \{\#a\}) = set-mset\ M - \{a\}$
using *assms* **by** (*auto simp add: not-in-iff in-diff-count set-eq-iff*)

lemma *more-than-one-mset-mset-diff*:

assumes $a \in\# \ M - \{\#a\}$
shows $set-mset\ (M - \{\#a\}) = set-mset\ M$
proof (*rule set-eqI*)
fix b
have $Suc\ 0 < count\ M\ b \implies count\ M\ b > 0$ **by** *arith*
then show $b \in\# \ M - \{\#a\} \longleftrightarrow b \in\# \ M$

using *assms* **by** (*auto simp add: in-diff-count*)
qed

lemma *inter-iff*:
 $a \in\# A \cap\# B \longleftrightarrow a \in\# A \wedge a \in\# B$
by *simp*

lemma *inter-union-distrib-left*:
 $A \cap\# B + C = (A + C) \cap\# (B + C)$
by (*simp add: multiset-eq-iff min-add-distrib-left*)

lemma *inter-union-distrib-right*:
 $C + A \cap\# B = (C + A) \cap\# (C + B)$
using *inter-union-distrib-left* [*of A B C*] **by** (*simp add: ac-simps*)

lemma *inter-subset-eq-union*:
 $A \cap\# B \subseteq\# A + B$
by (*auto simp add: subseteq-mset-def*)

1.3.8 Additional bounded union facts

lemma *sup-subset-mset-count* [*simp*]: — FIXME irregular fact name
 $\text{count } (A \cup\# B) x = \max (\text{count } A x) (\text{count } B x)$
by (*simp add: sup-subset-mset-def*)

lemma *set-mset-sup* [*simp*]:
 $\text{set-mset } (A \cup\# B) = \text{set-mset } A \cup \text{set-mset } B$
by (*simp only: set-eq-iff count-greater-zero-iff [symmetric] sup-subset-mset-count*)
(*auto simp add: not-in-iff elim: mset-add*)

lemma *sup-union-left1* [*simp*]: $\neg x \in\# N \implies (\text{add-mset } x M) \cup\# N = \text{add-mset } x (M \cup\# N)$
by (*simp add: multiset-eq-iff not-in-iff*)

lemma *sup-union-left2*: $x \in\# N \implies (\text{add-mset } x M) \cup\# N = \text{add-mset } x (M \cup\# (N - \{x\}))$
by (*simp add: multiset-eq-iff*)

lemma *sup-union-right1* [*simp*]: $\neg x \in\# N \implies N \cup\# (\text{add-mset } x M) = \text{add-mset } x (N \cup\# M)$
by (*simp add: multiset-eq-iff not-in-iff*)

lemma *sup-union-right2*: $x \in\# N \implies N \cup\# (\text{add-mset } x M) = \text{add-mset } x ((N - \{x\}) \cup\# M)$
by (*simp add: multiset-eq-iff*)

lemma *sup-union-distrib-left*:
 $A \cup\# B + C = (A + C) \cup\# (B + C)$
by (*simp add: multiset-eq-iff max-add-distrib-left*)

lemma *union-sup-distrib-right*:
 $C + A \cup\# B = (C + A) \cup\# (C + B)$
using *sup-union-distrib-left* [of $A B C$] **by** (*simp add: ac-simps*)

lemma *union-diff-inter-eq-sup*:
 $A + B - A \cap\# B = A \cup\# B$
by (*auto simp add: multiset-eq-iff*)

lemma *union-diff-sup-eq-inter*:
 $A + B - A \cup\# B = A \cap\# B$
by (*auto simp add: multiset-eq-iff*)

lemma *add-mset-union*:
 $\langle \text{add-mset } a \ A \cup\# \text{add-mset } a \ B = \text{add-mset } a \ (A \cup\# B) \rangle$
by (*auto simp: multiset-eq-iff max-def*)

1.3.9 Subset is an order

interpretation *subset-mset*: *order op $\subseteq\#$ op $\subset\#$ by unfold-locales*

1.4 Replicate and repeat operations

definition *replicate-mset* :: $\text{nat} \Rightarrow 'a \Rightarrow 'a \text{ multiset}$ **where**
 $\text{replicate-mset } n \ x = (\text{add-mset } x \ \hat{\ } n) \ \{\#\}$

lemma *replicate-mset-0*[*simp*]: $\text{replicate-mset } 0 \ x = \{\#\}$
unfolding *replicate-mset-def* **by** *simp*

lemma *replicate-mset-Suc* [*simp*]: $\text{replicate-mset } (\text{Suc } n) \ x = \text{add-mset } x \ (\text{replicate-mset } n \ x)$
unfolding *replicate-mset-def* **by** (*induct n*) (*auto intro: add.commute*)

lemma *count-replicate-mset*[*simp*]: $\text{count } (\text{replicate-mset } n \ x) \ y = (\text{if } y = x \ \text{then } n \ \text{else } 0)$
unfolding *replicate-mset-def* **by** (*induct n*) *auto*

fun *repeat-mset* :: $\text{nat} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$ **where**
 $\text{repeat-mset } 0 \ - = \{\#\} \mid$
 $\text{repeat-mset } (\text{Suc } n) \ A = A + \text{repeat-mset } n \ A$

lemma *count-repeat-mset* [*simp*]: $\text{count } (\text{repeat-mset } i \ A) \ a = i * \text{count } A \ a$
by (*induction i*) *auto*

lemma *repeat-mset-right* [*simp*]: $\text{repeat-mset } a \ (\text{repeat-mset } b \ A) = \text{repeat-mset } (a * b) \ A$
by (*auto simp: multiset-eq-iff left-diff-distrib'*)

lemma *left-diff-repeat-mset-distrib'*: $\langle \text{repeat-mset } (i - j) \ u = \text{repeat-mset } i \ u - \text{repeat-mset } j \ u \rangle$

by (auto simp: multiset-eq-iff left-diff-distrib')

lemma left-add-mult-distrib-mset:

$repeat\text{-mset } i \ u + (repeat\text{-mset } j \ u + k) = repeat\text{-mset } (i+j) \ u + k$
by (auto simp: multiset-eq-iff add-mult-distrib)

lemma repeat-mset-distrib:

$repeat\text{-mset } (m + n) \ A = repeat\text{-mset } m \ A + repeat\text{-mset } n \ A$
by (auto simp: multiset-eq-iff Nat.add-mult-distrib)

lemma repeat-mset-distrib2[simp]:

$repeat\text{-mset } n \ (A + B) = repeat\text{-mset } n \ A + repeat\text{-mset } n \ B$
by (auto simp: multiset-eq-iff add-mult-distrib2)

lemma repeat-mset-replicate-mset[simp]:

$repeat\text{-mset } n \ \{\#a\#\} = replicate\text{-mset } n \ a$
by (auto simp: multiset-eq-iff)

lemma repeat-mset-distrib-add-mset[simp]:

$repeat\text{-mset } n \ (add\text{-mset } a \ A) = replicate\text{-mset } n \ a + repeat\text{-mset } n \ A$
by (auto simp: multiset-eq-iff)

lemma repeat-mset-empty[simp]: $repeat\text{-mset } n \ \{\#\} = \{\#\}$

by (induction n) simp-all

1.4.1 Simprocs

lemma mset-diff-add-eq1:

$j \leq (i::nat) \implies ((repeat\text{-mset } i \ u + m) - (repeat\text{-mset } j \ u + n)) = ((repeat\text{-mset } (i-j) \ u + m) - n)$
by (auto simp: multiset-eq-iff nat-diff-add-eq1)

lemma mset-diff-add-eq2:

$i \leq (j::nat) \implies ((repeat\text{-mset } i \ u + m) - (repeat\text{-mset } j \ u + n)) = (m - (repeat\text{-mset } (j-i) \ u + n))$
by (auto simp: multiset-eq-iff nat-diff-add-eq2)

lemma mset-eq-add-iff1:

$j \leq (i::nat) \implies (repeat\text{-mset } i \ u + m = repeat\text{-mset } j \ u + n) = (repeat\text{-mset } (i-j) \ u + m = n)$
by (auto simp: multiset-eq-iff nat-eq-add-iff1)

lemma mset-eq-add-iff2:

$i \leq (j::nat) \implies (repeat\text{-mset } i \ u + m = repeat\text{-mset } j \ u + n) = (m = repeat\text{-mset } (j-i) \ u + n)$
by (auto simp: multiset-eq-iff nat-eq-add-iff2)

lemma mset-subseteq-add-iff1:

$j \leq (i::nat) \implies (repeat\text{-mset } i \ u + m \subseteq\# repeat\text{-mset } j \ u + n) = (repeat\text{-mset } (i-j) \ u + m \subseteq\# n)$

$(i-j) u + m \subseteq\# n$
by (*auto simp add: subseteq-mset-def nat-le-add-iff1*)

lemma *mset-subseteq-add-iff2*:

$i \leq (j::nat) \implies (\text{repeat-mset } i \ u + m \subseteq\# \text{repeat-mset } j \ u + n) = (m \subseteq\# \text{repeat-mset } (j-i) \ u + n)$
by (*auto simp add: subseteq-mset-def nat-le-add-iff2*)

lemma *mset-subset-add-iff1*:

$j \leq (i::nat) \implies (\text{repeat-mset } i \ u + m \subset\# \text{repeat-mset } j \ u + n) = (\text{repeat-mset } (i-j) \ u + m \subset\# n)$
unfolding *subset-mset-def* **by** (*simp add: mset-eq-add-iff1 mset-subseteq-add-iff1*)

lemma *mset-subset-add-iff2*:

$i \leq (j::nat) \implies (\text{repeat-mset } i \ u + m \subset\# \text{repeat-mset } j \ u + n) = (m \subset\# \text{repeat-mset } (j-i) \ u + n)$
unfolding *subset-mset-def* **by** (*simp add: mset-eq-add-iff2 mset-subseteq-add-iff2*)

ML-file *multiset-simprocs-util.ML*

ML-file *multiset-simprocs.ML*

simproc-setup *mseteq-cancel-numerals*

$((l::'a \text{ multiset}) + m = n \mid (l::'a \text{ multiset}) = m + n \mid$
 $\text{add-mset } a \ m = n \mid m = \text{add-mset } a \ n \mid$
 $\text{replicate-mset } p \ a = n \mid m = \text{replicate-mset } p \ a \mid$
 $\text{repeat-mset } p \ m = n \mid m = \text{repeat-mset } p \ m) =$
 $\langle \text{fn } \phi \Rightarrow \text{Multiset-Simprocs.eq-cancel-msets} \rangle$

simproc-setup *msetless-cancel-numerals*

$((l::'a \text{ multiset}) + m \subset\# n \mid (l::'a \text{ multiset}) \subset\# m + n \mid$
 $\text{add-mset } a \ m \subset\# n \mid m \subset\# \text{add-mset } a \ n \mid$
 $\text{replicate-mset } p \ r \subset\# n \mid m \subset\# \text{replicate-mset } p \ r \mid$
 $\text{repeat-mset } p \ m \subset\# n \mid m \subset\# \text{repeat-mset } p \ m) =$
 $\langle \text{fn } \phi \Rightarrow \text{Multiset-Simprocs.subset-cancel-msets} \rangle$

simproc-setup *msetle-cancel-numerals*

$((l::'a \text{ multiset}) + m \subseteq\# n \mid (l::'a \text{ multiset}) \subseteq\# m + n \mid$
 $\text{add-mset } a \ m \subseteq\# n \mid m \subseteq\# \text{add-mset } a \ n \mid$
 $\text{replicate-mset } p \ r \subseteq\# n \mid m \subseteq\# \text{replicate-mset } p \ r \mid$
 $\text{repeat-mset } p \ m \subseteq\# n \mid m \subseteq\# \text{repeat-mset } p \ m) =$
 $\langle \text{fn } \phi \Rightarrow \text{Multiset-Simprocs.subseteq-cancel-msets} \rangle$

simproc-setup *msetdiff-cancel-numerals*

$((l::'a \text{ multiset}) + m) - n \mid (l::'a \text{ multiset}) - (m + n) \mid$
 $\text{add-mset } a \ m - n \mid m - \text{add-mset } a \ n \mid$
 $\text{replicate-mset } p \ r - n \mid m - \text{replicate-mset } p \ r \mid$
 $\text{repeat-mset } p \ m - n \mid m - \text{repeat-mset } p \ m) =$
 $\langle \text{fn } \phi \Rightarrow \text{Multiset-Simprocs.diff-cancel-msets} \rangle$

1.4.2 Conditionally complete lattice

instantiation *multiset* :: (type) *Inf*
begin

lift-definition *Inf-multiset* :: 'a multiset set \Rightarrow 'a multiset **is**
 $\lambda A i. \text{if } A = \{\} \text{ then } 0 \text{ else } \text{Inf } ((\lambda f. f i) ' A)$

proof –

fix *A* :: ('a \Rightarrow nat) set **assume** *: $\bigwedge x. x \in A \Longrightarrow x \in \text{multiset}$
have *finite* {*i*. (if *A* = {} then 0 else *Inf* (($\lambda f. f i$) ' *A*)) > 0} **unfolding**
multiset-def

proof (*cases* *A* = {})

case *False*

then obtain *f* **where** *f* $\in A$ **by** *blast*

hence {*i*. *Inf* (($\lambda f. f i$) ' *A*) > 0} \subseteq {*i*. *f i* > 0}

by (*auto intro: less-le-trans*[*OF - cInf-lower*])

moreover from (*f* $\in A$) * **have** *finite* ... **by** (*simp add: multiset-def*)

ultimately have *finite* {*i*. *Inf* (($\lambda f. f i$) ' *A*) > 0} **by** (*rule finite-subset*)

with *False* **show** ?thesis **by** *simp*

qed *simp-all*

thus ($\lambda i. \text{if } A = \{\} \text{ then } 0 \text{ else } \text{INF } f:A. f i \in \text{multiset}$) **by** (*simp add: multiset-def*)

qed

instance ..

end

lemma *Inf-multiset-empty*: *Inf* {} = {#}
by *transfer simp-all*

lemma *count-Inf-multiset-nonempty*: $A \neq \{\} \Longrightarrow \text{count } (\text{Inf } A) x = \text{Inf } ((\lambda X. \text{count } X x) ' A)$
by *transfer simp-all*

instantiation *multiset* :: (type) *Sup*
begin

definition *Sup-multiset* :: 'a multiset set \Rightarrow 'a multiset **where**
 $\text{Sup-multiset } A = (\text{if } A \neq \{\} \wedge \text{subset-mset.bdd-above } A \text{ then}$
 $\text{Abs-multiset } (\lambda i. \text{Sup } ((\lambda X. \text{count } X i) ' A)) \text{ else } \{\#\})$

lemma *Sup-multiset-empty*: *Sup* {} = {#}
by (*simp add: Sup-multiset-def*)

lemma *Sup-multiset-unbounded*: $\neg \text{subset-mset.bdd-above } A \Longrightarrow \text{Sup } A = \{\#\}$
by (*simp add: Sup-multiset-def*)

instance ..

end

lemma *bdd-above-multiset-imp-bdd-above-count*:
assumes *subset-mset.bdd-above* ($A :: 'a$ multiset set)
shows *bdd-above* ($(\lambda X. \text{count } X \ x) \ 'A$)
proof –
from *assms* obtain Y where $Y: \forall X \in A. X \subseteq\# Y$
by (*auto simp: subset-mset.bdd-above-def*)
hence $\text{count } X \ x \leq \text{count } Y \ x$ if $X \in A$ for X
using *that* by (*auto intro: mset-subset-eq-count*)
thus *?thesis* by (*intro bdd-aboveI[of - count Y x]*) *auto*
qed

lemma *bdd-above-multiset-imp-finite-support*:
assumes $A \neq \{\}$ *subset-mset.bdd-above* ($A :: 'a$ multiset set)
shows *finite* ($\bigcup X \in A. \{x. \text{count } X \ x > 0\}$)
proof –
from *assms* obtain Y where $Y: \forall X \in A. X \subseteq\# Y$
by (*auto simp: subset-mset.bdd-above-def*)
hence $\text{count } X \ x \leq \text{count } Y \ x$ if $X \in A$ for $X \ x$
using *that* by (*auto intro: mset-subset-eq-count*)
hence $(\bigcup X \in A. \{x. \text{count } X \ x > 0\}) \subseteq \{x. \text{count } Y \ x > 0\}$
by *safe* (*erule less-le-trans*)
moreover have *finite* ... by *simp*
ultimately show *?thesis* by (*rule finite-subset*)
qed

lemma *Sup-multiset-in-multiset*:
assumes $A \neq \{\}$ *subset-mset.bdd-above* A
shows $(\lambda i. \text{SUP } X:A. \text{count } X \ i) \in \text{multiset}$
unfolding *multiset-def*
proof
have $\{i. \text{Sup } ((\lambda X. \text{count } X \ i) \ 'A) > 0\} \subseteq (\bigcup X \in A. \{i. 0 < \text{count } X \ i\})$
proof *safe*
fix i assume *pos*: $(\text{SUP } X:A. \text{count } X \ i) > 0$
show $i \in (\bigcup X \in A. \{i. 0 < \text{count } X \ i\})$
proof (*rule ccontr*)
assume $i \notin (\bigcup X \in A. \{i. 0 < \text{count } X \ i\})$
hence $\forall X \in A. \text{count } X \ i \leq 0$ by (*auto simp: count-eq-zero-iff*)
with *assms* have $(\text{SUP } X:A. \text{count } X \ i) \leq 0$
by (*intro cSup-least bdd-above-multiset-imp-bdd-above-count*) *auto*
with *pos* show *False* by *simp*
qed
qed
moreover from *assms* have *finite* ... by (*rule bdd-above-multiset-imp-finite-support*)
ultimately show *finite* $\{i. \text{Sup } ((\lambda X. \text{count } X \ i) \ 'A) > 0\}$ by (*rule finite-subset*)
qed

lemma *count-Sup-multiset-nonempty*:
assumes $A \neq \{\}$ *subset-mset.bdd-above* A
shows $\text{count } (\text{Sup } A) x = (\text{SUP } X:A. \text{count } X x)$
using *assms* **by** (*simp add: Sup-multiset-def Abs-multiset-inverse Sup-multiset-in-multiset*)

interpretation *subset-mset: conditionally-complete-lattice* $\text{Inf } \text{Sup } \text{op } \cap\# \text{op } \subseteq\#$
 $\text{op } \subseteq\# \text{op } \cup\#$

proof

fix $X :: 'a \text{ multiset}$ **and** A
assume $X \in A$
show $\text{Inf } A \subseteq\# X$
proof (*rule mset-subset-eqI*)
fix x
from $\langle X \in A \rangle$ **have** $A \neq \{\}$ **by** *auto*
hence $\text{count } (\text{Inf } A) x = (\text{INF } X:A. \text{count } X x)$
by (*simp add: count-Inf-multiset-nonempty*)
also from $\langle X \in A \rangle$ **have** $\dots \leq \text{count } X x$
by (*intro cInf-lower*) *simp-all*
finally show $\text{count } (\text{Inf } A) x \leq \text{count } X x$.

qed

next

fix $X :: 'a \text{ multiset}$ **and** A
assume *nonempty*: $A \neq \{\}$ **and** *le*: $\bigwedge Y. Y \in A \implies X \subseteq\# Y$
show $X \subseteq\# \text{Inf } A$
proof (*rule mset-subset-eqI*)
fix x
from *nonempty* **have** $\text{count } X x \leq (\text{INF } X:A. \text{count } X x)$
by (*intro cInf-greatest*) (*auto intro: mset-subset-eq-count le*)
also from *nonempty* **have** $\dots = \text{count } (\text{Inf } A) x$ **by** (*simp add: count-Inf-multiset-nonempty*)
finally show $\text{count } X x \leq \text{count } (\text{Inf } A) x$.

qed

next

fix $X :: 'a \text{ multiset}$ **and** A
assume $X: X \in A$ **and** *bdd*: *subset-mset.bdd-above* A
show $X \subseteq\# \text{Sup } A$
proof (*rule mset-subset-eqI*)
fix x
from X **have** $A \neq \{\}$ **by** *auto*
have $\text{count } X x \leq (\text{SUP } X:A. \text{count } X x)$
by (*intro cSUP-upper X bdd-above-multiset-imp-bdd-above-count bdd*)
also from *count-Sup-multiset-nonempty*[*OF* $\langle A \neq \{\} \rangle$ *bdd*]
have $(\text{SUP } X:A. \text{count } X x) = \text{count } (\text{Sup } A) x$ **by** *simp*
finally show $\text{count } X x \leq \text{count } (\text{Sup } A) x$.

qed

next

fix $X :: 'a \text{ multiset}$ **and** A
assume *nonempty*: $A \neq \{\}$ **and** *ge*: $\bigwedge Y. Y \in A \implies Y \subseteq\# X$
from *ge* **have** *bdd*: *subset-mset.bdd-above* A **by** (*rule subset-mset.bdd-aboveI*[*of*

```

- X])
show  $Sup A \subseteq\# X$ 
proof (rule mset-subset-eqI)
  fix x
  from count-Sup-multiset-nonempty[OF  $\langle A \neq \{\} \rangle$  bdd]
  have count (Sup A) x = (SUP X:A. count X x) .
  also from nonempty have ...  $\leq$  count X x
  by (intro cSup-least) (auto intro: mset-subset-eq-count ge)
  finally show count (Sup A) x  $\leq$  count X x .
qed
qed

lemma set-mset-Inf:
  assumes  $A \neq \{\}$ 
  shows  $set-mset (Inf A) = (\bigcap X \in A. set-mset X)$ 
proof safe
  fix x X assume  $x \in\# Inf A$   $X \in A$ 
  hence nonempty:  $A \neq \{\}$  by (auto simp: Inf-multiset-empty)
  from  $\langle x \in\# Inf A \rangle$  have  $\{\#x\# \} \subseteq\# Inf A$  by auto
  also from  $\langle X \in A \rangle$  have ...  $\subseteq\# X$  by (rule subset-mset.cInf-lower) simp-all
  finally show  $x \in\# X$  by simp
next
  fix x assume  $x \in (\bigcap X \in A. set-mset X)$ 
  hence  $\{\#x\# \} \subseteq\# X$  if  $X \in A$  for X using that by auto
  from assms and this have  $\{\#x\# \} \subseteq\# Inf A$  by (rule subset-mset.cInf-greatest)
  thus  $x \in\# Inf A$  by simp
qed

lemma in-Inf-multiset-iff:
  assumes  $A \neq \{\}$ 
  shows  $x \in\# Inf A \iff (\forall X \in A. x \in\# X)$ 
proof -
  from assms have  $set-mset (Inf A) = (\bigcap X \in A. set-mset X)$  by (rule set-mset-Inf)
  also have  $x \in \dots \iff (\forall X \in A. x \in\# X)$  by simp
  finally show ?thesis .
qed

lemma in-Inf-multisetD:  $x \in\# Inf A \implies X \in A \implies x \in\# X$ 
  by (subst (asm) in-Inf-multiset-iff) auto

lemma set-mset-Sup:
  assumes subset-mset.bdd-above A
  shows  $set-mset (Sup A) = (\bigcup X \in A. set-mset X)$ 
proof safe
  fix x assume  $x \in\# Sup A$ 
  hence nonempty:  $A \neq \{\}$  by (auto simp: Sup-multiset-empty)
  show  $x \in (\bigcup X \in A. set-mset X)$ 
  proof (rule ccontr)
    assume  $x \notin (\bigcup X \in A. set-mset X)$ 

```

have $\text{count } X \ x \leq \text{count } (\text{Sup } A) \ x$ **if** $X \in A$ **for** $X \ x$
using *that by* (intro mset-subset-eq-count subset-mset.cSup-upper *assms*)
with x **have** $X \subseteq\# \text{Sup } A - \{\#x\}$ **if** $X \in A$ **for** X
using *that by* (auto simp: subseteq-mset-def algebra-simps not-in-iff)
hence $\text{Sup } A \subseteq\# \text{Sup } A - \{\#x\}$ **by** (intro subset-mset.cSup-least nonempty)
with $\langle x \in\# \text{Sup } A \rangle$ **show** *False*
by (auto simp: subseteq-mset-def count-greater-zero-iff [symmetric]
simp del: count-greater-zero-iff dest!: spec[of - x])
qed
next
fix $x \ X$ **assume** $x \in \text{set-mset } X \ X \in A$
hence $\{\#x\} \subseteq\# X$ **by** *auto*
also **have** $X \subseteq\# \text{Sup } A$ **by** (intro subset-mset.cSup-upper $\langle X \in A \rangle$ *assms*)
finally **show** $x \in \text{set-mset } (\text{Sup } A)$ **by** *simp*
qed

lemma *in-Sup-multiset-iff*:
assumes *subset-mset.bdd-above A*
shows $x \in\# \text{Sup } A \longleftrightarrow (\exists X \in A. x \in\# X)$
proof –
from *assms* **have** $\text{set-mset } (\text{Sup } A) = (\bigcup X \in A. \text{set-mset } X)$ **by** (rule *set-mset-Sup*)
also **have** $x \in \dots \longleftrightarrow (\exists X \in A. x \in\# X)$ **by** *simp*
finally **show** *?thesis* .
qed

lemma *in-Sup-multisetD*:
assumes $x \in\# \text{Sup } A$
shows $\exists X \in A. x \in\# X$
proof –
have *subset-mset.bdd-above A*
by (rule *ccontr*) (*insert assms, simp-all add: Sup-multiset-unbounded*)
with *assms* **show** *?thesis* **by** (*simp add: in-Sup-multiset-iff*)
qed

interpretation *subset-mset: distrib-lattice op $\cap\#$ op $\subseteq\#$ op $\subset\#$ op $\cup\#$*
proof
fix $A \ B \ C :: 'a \ \text{multiset}$
show $A \cup\# (B \cap\# C) = A \cup\# B \cap\# (A \cup\# C)$
by (*intro multiset-eqI simp-all*)
qed

1.4.3 Filter (with comprehension syntax)

Multiset comprehension

lift-definition *filter-mset* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \ \text{multiset} \Rightarrow 'a \ \text{multiset}$
is $\lambda P \ M. \lambda x. \text{if } P \ x \ \text{then } M \ x \ \text{else } 0$
by (rule *filter-preserves-multiset*)

syntax (*ASCII*)

$-MCollect :: pptrn \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ multiset} \quad ((1\{\#- : \# \cdot / \cdot \#\}))$
syntax
 $-MCollect :: pptrn \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ multiset} \quad ((1\{\#- \in \# \cdot / \cdot \#\}))$
translations
 $\{\#x \in \# M. P\# \} == \text{CONST filter-mset } (\lambda x. P) M$

lemma *count-filter-mset* [simp]:
 $\text{count } (\text{filter-mset } P M) a = (\text{if } P a \text{ then count } M a \text{ else } 0)$
by (*simp add: filter-mset.rep-eq*)

lemma *set-mset-filter* [simp]:
 $\text{set-mset } (\text{filter-mset } P M) = \{a \in \text{set-mset } M. P a\}$
by (*simp only: set-eq-iff count-greater-zero-iff [symmetric] count-filter-mset simp*)

lemma *filter-empty-mset* [simp]: $\text{filter-mset } P \{\#\} = \{\#\}$
by (*rule multiset-eqI simp*)

lemma *filter-single-mset*: $\text{filter-mset } P \{\#x\# \} = (\text{if } P x \text{ then } \{\#x\# \} \text{ else } \{\#\})$
by (*rule multiset-eqI simp*)

lemma *filter-union-mset* [simp]: $\text{filter-mset } P (M + N) = \text{filter-mset } P M + \text{filter-mset } P N$
by (*rule multiset-eqI simp*)

lemma *filter-diff-mset* [simp]: $\text{filter-mset } P (M - N) = \text{filter-mset } P M - \text{filter-mset } P N$
by (*rule multiset-eqI simp*)

lemma *filter-inter-mset* [simp]: $\text{filter-mset } P (M \cap \# N) = \text{filter-mset } P M \cap \# \text{filter-mset } P N$
by (*rule multiset-eqI simp*)

lemma *filter-sup-mset*[simp]: $\text{filter-mset } P (A \cup \# B) = \text{filter-mset } P A \cup \# \text{filter-mset } P B$
by (*rule multiset-eqI simp*)

lemma *filter-mset-add-mset* [simp]:
 $\text{filter-mset } P (\text{add-mset } x A) =$
 $(\text{if } P x \text{ then } \text{add-mset } x (\text{filter-mset } P A) \text{ else } \text{filter-mset } P A)$
by (*auto simp: multiset-eq-iff*)

lemma *multiset-filter-subset*[simp]: $\text{filter-mset } f M \subseteq \# M$
by (*simp add: mset-subset-eqI*)

lemma *multiset-filter-mono*:
assumes $A \subseteq \# B$
shows $\text{filter-mset } f A \subseteq \# \text{filter-mset } f B$
proof –
from *assms[unfolded mset-subset-eq-exists-conv]*

obtain C **where** $B: B = A + C$ **by** *auto*
show *?thesis* **unfolding** B **by** *auto*
qed

lemma *filter-mset-eq-conv*:
 $filter\text{-}mset\ P\ M = N \longleftrightarrow N \subseteq\# M \wedge (\forall b \in\# N. P\ b) \wedge (\forall a \in\# M - N. \neg P\ a)$
(is $?P \longleftrightarrow ?Q$ **)**

proof
assume $?P$ **then show** $?Q$ **by** *auto* (*simp add: multiset-eq-iff in-diff-count*)
next
assume $?Q$
then obtain Q **where** $M: M = N + Q$
by (*auto simp add: mset-subset-eq-exists-conv*)
then have $MN: M - N = Q$ **by** *simp*
show $?P$
proof (*rule multiset-eqI*)
fix a
from $\langle ?Q \rangle MN$ **have** $*$: $\neg P\ a \implies a \notin\# N$ $P\ a \implies a \notin\# Q$
by *auto*
show $count\ (filter\text{-}mset\ P\ M)\ a = count\ N\ a$
proof (*cases a \in\# M*)
case *True*
with $*$ **show** *?thesis*
by (*simp add: not-in-iff M*)
next
case *False* **then have** $count\ M\ a = 0$
by (*simp add: not-in-iff*)
with M **show** *?thesis* **by** *simp*
qed
qed
qed

lemma *filter-filter-mset*: $filter\text{-}mset\ P\ (filter\text{-}mset\ Q\ M) = \{\#x \in\# M. Q\ x \wedge P\ x\}$
by (*auto simp: multiset-eq-iff*)

lemma
 $filter\text{-}mset\ True[simp]: \{\#y \in\# M. True\#\} = M$ **and**
 $filter\text{-}mset\ False[simp]: \{\#y \in\# M. False\#\} = \{\#\}$
by (*auto simp: multiset-eq-iff*)

1.4.4 Size

definition *wcount* **where** $wcount\ f\ M = (\lambda x. count\ M\ x * Suc\ (f\ x))$

lemma *wcount-union*: $wcount\ f\ (M + N)\ a = wcount\ f\ M\ a + wcount\ f\ N\ a$
by (*auto simp: wcount-def add-mult-distrib*)

lemma *wcount-add-mset*:

$wcount\ f\ (add\ mset\ x\ M)\ a = (if\ x = a\ then\ Suc\ (f\ a)\ else\ 0) + wcount\ f\ M\ a$
unfolding $add\ mset\ add\ single[of\ -\ M]\ wcount\ union$ **by** $(auto\ simp:\ wcount\ def)$

definition $size\ multiset :: ('a \Rightarrow nat) \Rightarrow 'a\ multiset \Rightarrow nat$ **where**
 $size\ multiset\ f\ M = sum\ (wcount\ f\ M)\ (set\ mset\ M)$

lemmas $size\ multiset\ eq = size\ multiset\ def[unfolded\ wcount\ def]$

instantiation $multiset :: (type)\ size$
begin

definition $size\ multiset$ **where**
 $size\ multiset\ overloaded\ def:\ size\ multiset = Multiset.size\ multiset\ (\lambda\ -. 0)$
instance ..

end

lemmas $size\ multiset\ overloaded\ eq =$
 $size\ multiset\ overloaded\ def[THEN\ fun\ cong,\ unfolded\ size\ multiset\ eq,\ simplified]$

lemma $size\ multiset\ empty [simp]: size\ multiset\ f\ \{\#\} = 0$
by $(simp\ add:\ size\ multiset\ def)$

lemma $size\ empty [simp]: size\ \{\#\} = 0$
by $(simp\ add:\ size\ multiset\ overloaded\ def)$

lemma $size\ multiset\ single : size\ multiset\ f\ \{\#b\#\} = Suc\ (f\ b)$
by $(simp\ add:\ size\ multiset\ eq)$

lemma $size\ single: size\ \{\#b\#\} = 1$
by $(simp\ add:\ size\ multiset\ overloaded\ def\ size\ multiset\ single)$

lemma $sum\ wcount\ Int:$
 $finite\ A \Longrightarrow sum\ (wcount\ f\ N)\ (A \cap\ set\ mset\ N) = sum\ (wcount\ f\ N)\ A$
by $(induct\ rule:\ finite\ induct)$
 $(simp\ all\ add:\ Int\ insert\ left\ wcount\ def\ count\ eq\ zero\ iff)$

lemma $size\ multiset\ union [simp]:$
 $size\ multiset\ f\ (M + N :: 'a\ multiset) = size\ multiset\ f\ M + size\ multiset\ f\ N$
apply $(simp\ add:\ size\ multiset\ def\ sum\ Un\ nat\ sum.\ distrib\ sum\ wcount\ Int\ wcount\ union)$
apply $(subst\ Int\ commute)$
apply $(simp\ add:\ sum\ wcount\ Int)$
done

lemma $size\ multiset\ add\ mset [simp]:$
 $size\ multiset\ f\ (add\ mset\ a\ M) = Suc\ (f\ a) + size\ multiset\ f\ M$
unfolding $add\ mset\ add\ single[of\ -\ M]\ size\ multiset\ union$ **by** $(auto\ simp:\ size\ multiset\ single)$

lemma $size\ add\ mset [simp]: size\ (add\ mset\ a\ A) = Suc\ (size\ A)$

by (*simp add: size-multiset-overloaded-def wcount-add-mset*)

lemma *size-union* [*simp*]: $\text{size } (M + N :: 'a \text{ multiset}) = \text{size } M + \text{size } N$
by (*auto simp add: size-multiset-overloaded-def*)

lemma *size-multiset-eq-0-iff-empty* [*iff*]:
 $\text{size-multiset } f \ M = 0 \iff M = \{\#\}$
by (*auto simp add: size-multiset-eq count-eq-zero-iff*)

lemma *size-eq-0-iff-empty* [*iff*]: $(\text{size } M = 0) = (M = \{\#\})$
by (*auto simp add: size-multiset-overloaded-def*)

lemma *nonempty-has-size*: $(S \neq \{\#\}) = (0 < \text{size } S)$
by (*metis gr0I gr-implies-not0 size-empty size-eq-0-iff-empty*)

lemma *size-eq-Suc-imp-elem*: $\text{size } M = \text{Suc } n \implies \exists a. a \in\# M$
apply (*unfold size-multiset-overloaded-eq*)
apply (*drule sum-SucD*)
apply *auto*
done

lemma *size-eq-Suc-imp-eq-union*:
assumes $\text{size } M = \text{Suc } n$
shows $\exists a \ N. M = \text{add-mset } a \ N$
proof –
from *assms* **obtain** a **where** $a \in\# M$
by (*erule size-eq-Suc-imp-elem [THEN exE]*)
then **have** $M = \text{add-mset } a \ (M - \{a\})$ **by** *simp*
then **show** *?thesis* **by** *blast*
qed

lemma *size-mset-mono*:
fixes $A \ B :: 'a \text{ multiset}$
assumes $A \subseteq\# B$
shows $\text{size } A \leq \text{size } B$
proof –
from *assms* [*unfolded mset-subset-eq-exists-conv*]
obtain C **where** $B = A + C$ **by** *auto*
show *?thesis* **unfolding** B **by** (*induct C*) *auto*
qed

lemma *size-filter-mset-lesseq* [*simp*]: $\text{size } (\text{filter-mset } f \ M) \leq \text{size } M$
by (*rule size-mset-mono[OF multiset-filter-subset]*)

lemma *size-Diff-submset*:
 $M \subseteq\# M' \implies \text{size } (M' - M) = \text{size } M' - \text{size } (M :: 'a \text{ multiset})$
by (*metis add-diff-cancel-left' size-union mset-subset-eq-exists-conv*)

1.5 Induction and case splits

theorem *multiset-induct* [*case-names empty add, induct type: multiset*]:

assumes *empty*: $P \{\#\}$

assumes *add*: $\bigwedge x M. P M \implies P (\text{add-mset } x M)$

shows $P M$

proof (*induct n \equiv size M arbitrary: M*)

case 0 **thus** $P M$ **by** (*simp add: empty*)

next

case (*Suc k*)

obtain $N x$ **where** $M = \text{add-mset } x N$

using $\langle \text{Suc } k = \text{size } M \rangle$ [*symmetric*]

using *size-eq-Suc-imp-eq-union* **by** *fast*

with *Suc add* **show** $P M$ **by** *simp*

qed

lemma *multi-nonempty-split*: $M \neq \{\#\} \implies \exists A a. M = \text{add-mset } a A$

by (*induct M*) *auto*

lemma *multiset-cases* [*cases type*]:

obtains (*empty*) $M = \{\#\}$

| (*add*) $x N$ **where** $M = \text{add-mset } x N$

by (*induct M*) *simp-all*

lemma *multi-drop-mem-not-eq*: $c \in\# B \implies B - \{\#c\} \neq B$

by (*cases B = \{\#\}*) (*auto dest: multi-member-split*)

lemma *multiset-partition*: $M = \{\# x \in\# M. P x \#\} + \{\# x \in\# M. \neg P x \#\}$

apply (*subst multiset-eq-iff*)

apply *auto*

done

lemma *mset-subset-size*: $(A::'a \text{ multiset}) \subset\# B \implies \text{size } A < \text{size } B$

proof (*induct A arbitrary: B*)

case (*empty M*)

then have $M \neq \{\#\}$ **by** (*simp add: subset-mset.zero-less-iff-neq-zero*)

then obtain $M' x$ **where** $M = \text{add-mset } x M'$

by (*blast dest: multi-nonempty-split*)

then show *?case* **by** *simp*

next

case (*add x S T*)

have *IH*: $\bigwedge B. S \subset\# B \implies \text{size } S < \text{size } B$ **by** *fact*

have *SxsubT*: $\text{add-mset } x S \subset\# T$ **by** *fact*

then have $x \in\# T$ **and** $S \subset\# T$

by (*auto dest: mset-subset-insertD*)

then obtain T' **where** $T: T = \text{add-mset } x T'$

by (*blast dest: multi-member-split*)

then have $S \subset\# T'$ **using** *SxsubT*

by *simp*

then have $\text{size } S < \text{size } T'$ **using** *IH* **by** *simp*

then show ?case using T by simp
qed

lemma size-1-singleton-mset: size M = 1 \implies $\exists a. M = \{\#a\}$
by (cases M) auto

1.5.1 Strong induction and subset induction for multisets

Well-foundedness of strict subset relation

lemma wf-subset-mset-rel: wf $\{(M, N :: 'a \text{ multiset}). M \subset\# N\}$
apply (rule wf-measure [THEN wf-subset, where f1=size])
apply (clarsimp simp: measure-def inv-image-def mset-subset-size)
done

lemma full-multiset-induct [case-names less]:
assumes ih: $\bigwedge B. \forall (A::'a \text{ multiset}). A \subset\# B \longrightarrow P A \implies P B$
shows P B
apply (rule wf-subset-mset-rel [THEN wf-induct])
apply (rule ih, auto)
done

lemma multi-subset-induct [consumes 2, case-names empty add]:
assumes F $\subseteq\#$ A
and empty: P $\{\#\}$
and insert: $\bigwedge a F. a \in\# A \implies P F \implies P (\text{add-mset } a F)$
shows P F
proof –
from $\langle F \subseteq\# A \rangle$
show ?thesis
proof (induct F)
show P $\{\#\}$ by fact
next
fix x F
assume P: F $\subseteq\#$ A \implies P F and i: add-mset x F $\subseteq\#$ A
show P (add-mset x F)
proof (rule insert)
from i show x $\in\#$ A by (auto dest: mset-subset-eq-insertD)
from i have F $\subseteq\#$ A by (auto dest: mset-subset-eq-insertD)
with P show P F .
qed
qed
qed

1.6 The fold combinator

definition fold-mset :: $('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a \text{ multiset} \Rightarrow 'b$
where
fold-mset f s M = Finite-Set.fold $(\lambda x. f x \hat{\wedge} \text{count } M x)$ s (set-mset M)

lemma *fold-mset-empty* [simp]: $\text{fold-mset } f \ s \ \{\#\} = s$
by (*simp add: fold-mset-def*)

context *comp-fun-commute*
begin

lemma *fold-mset-add-mset* [simp]: $\text{fold-mset } f \ s \ (\text{add-mset } x \ M) = f \ x \ (\text{fold-mset } f \ s \ M)$

proof –

interpret *mset: comp-fun-commute* $\lambda y. f \ y \ \wedge \wedge \text{count } M \ y$
by (*fact comp-fun-commute-funpow*)

interpret *mset-union: comp-fun-commute* $\lambda y. f \ y \ \wedge \wedge \text{count } (\text{add-mset } x \ M) \ y$
by (*fact comp-fun-commute-funpow*)

show *?thesis*

proof (*cases* $x \in \text{set-mset } M$)

case *False*

then have *: $\text{count } (\text{add-mset } x \ M) \ x = 1$

by (*simp add: not-in-iff*)

from *False* **have** *Finite-Set.fold* $(\lambda y. f \ y \ \wedge \wedge \text{count } (\text{add-mset } x \ M) \ y) \ s \ (\text{set-mset } M) =$

Finite-Set.fold $(\lambda y. f \ y \ \wedge \wedge \text{count } M \ y) \ s \ (\text{set-mset } M)$

by (*auto intro!: Finite-Set.fold-cong comp-fun-commute-funpow*)

with *False* * **show** *?thesis*

by (*simp add: fold-mset-def del: count-add-mset*)

next

case *True*

define *N* **where** $N = \text{set-mset } M - \{x\}$

from *N-def* *True* **have** *: $\text{set-mset } M = \text{insert } x \ N \ x \notin N \ \text{finite } N$ **by** *auto*

then have *Finite-Set.fold* $(\lambda y. f \ y \ \wedge \wedge \text{count } (\text{add-mset } x \ M) \ y) \ s \ N =$

Finite-Set.fold $(\lambda y. f \ y \ \wedge \wedge \text{count } M \ y) \ s \ N$

by (*auto intro!: Finite-Set.fold-cong comp-fun-commute-funpow*)

with * **show** *?thesis* **by** (*simp add: fold-mset-def del: count-add-mset*) *simp*

qed

qed

corollary *fold-mset-single*: $\text{fold-mset } f \ s \ \{\#x\# \} = f \ x \ s$

by *simp*

lemma *fold-mset-fun-left-comm*: $f \ x \ (\text{fold-mset } f \ s \ M) = \text{fold-mset } f \ (f \ x \ s) \ M$

by (*induct* *M*) (*simp-all add: fun-left-comm*)

lemma *fold-mset-union* [simp]: $\text{fold-mset } f \ s \ (M + N) = \text{fold-mset } f \ (\text{fold-mset } f \ s \ M) \ N$

by (*induct* *M*) (*simp-all add: fold-mset-fun-left-comm*)

lemma *fold-mset-fusion*:

assumes *comp-fun-commute* *g*

and *: $\bigwedge x \ y. h \ (g \ x \ y) = f \ x \ (h \ y)$

shows $h \ (\text{fold-mset } g \ w \ A) = \text{fold-mset } f \ (h \ w) \ A$

```

proof –
  interpret comp-fun-commute g by (fact assms)
  from * show ?thesis by (induct A) auto
qed

end

```

```

lemma union-fold-mset-add-mset:  $A + B = \text{fold-mset add-mset } A B$ 
proof –
  interpret comp-fun-commute add-mset
  by standard auto
  show ?thesis
  by (induction B) auto
qed

```

A note on code generation: When defining some function containing a sub-term *fold-mset F*, code generation is not automatic. When interpreting locale *left-commutative* with *F*, the would be code thms for *fold-mset* become thms like *fold-mset F z {#} = z* where *F* is not a pattern but contains defined symbols, i.e. is not a code thm. Hence a separate constant with its own code thms needs to be introduced for *F*. See the image operator below.

1.7 Image

```

definition image-mset :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a multiset  $\Rightarrow$  'b multiset where
  image-mset f = fold-mset (add-mset  $\circ$  f) {#}

```

```

lemma comp-fun-commute-mset-image: comp-fun-commute (add-mset  $\circ$  f)
proof
qed (simp add: fun-eq-iff)

```

```

lemma image-mset-empty [simp]: image-mset f {#} = {#}
  by (simp add: image-mset-def)

```

```

lemma image-mset-single: image-mset f {#x#} = {#f x#}
proof –
  interpret comp-fun-commute add-mset  $\circ$  f
  by (fact comp-fun-commute-mset-image)
  show ?thesis by (simp add: image-mset-def)
qed

```

```

lemma image-mset-union [simp]: image-mset f (M + N) = image-mset f M + image-mset f N
proof –
  interpret comp-fun-commute add-mset  $\circ$  f
  by (fact comp-fun-commute-mset-image)
  show ?thesis by (induct N) (simp-all add: image-mset-def)
qed

```

corollary *image-mset-add-mset* [simp]:
 $image\text{-}mset\ f\ (add\text{-}mset\ a\ M) = add\text{-}mset\ (f\ a)\ (image\text{-}mset\ f\ M)$
unfolding *image-mset-union add-mset-add-single*[of a M] **by** (*simp add: image-mset-single*)

lemma *set-image-mset* [simp]: $set\text{-}mset\ (image\text{-}mset\ f\ M) = image\ f\ (set\text{-}mset\ M)$
by (*induct M*) *simp-all*

lemma *size-image-mset* [simp]: $size\ (image\text{-}mset\ f\ M) = size\ M$
by (*induct M*) *simp-all*

lemma *image-mset-is-empty-iff* [simp]: $image\text{-}mset\ f\ M = \{\#\} \longleftrightarrow M = \{\#\}$
by (*cases M*) *auto*

lemma *image-mset-If*:
 $image\text{-}mset\ (\lambda x. if\ P\ x\ then\ f\ x\ else\ g\ x)\ A =$
 $image\text{-}mset\ f\ (filter\text{-}mset\ P\ A) + image\text{-}mset\ g\ (filter\text{-}mset\ (\lambda x. \neg P\ x)\ A)$
by (*induction A*) *auto*

lemma *image-mset-Diff*:
assumes $B \subseteq\# A$
shows $image\text{-}mset\ f\ (A - B) = image\text{-}mset\ f\ A - image\text{-}mset\ f\ B$
proof –
have $image\text{-}mset\ f\ (A - B + B) = image\text{-}mset\ f\ (A - B) + image\text{-}mset\ f\ B$
by *simp*
also from *assms* **have** $A - B + B = A$
by (*simp add: subset-mset.diff-add*)
finally show *?thesis* **by** *simp*
qed

lemma *count-image-mset*:
 $count\ (image\text{-}mset\ f\ A)\ x = (\sum y \in f^{-1}\{x\} \cap set\text{-}mset\ A. count\ A\ y)$
proof (*induction A*)
case *empty*
then show *?case* **by** *simp*
next
case (*add x A*)
moreover have $*$: $(if\ x = y\ then\ Suc\ n\ else\ n) = n + (if\ x = y\ then\ 1\ else\ 0)$
for $n\ y$
by *simp*
ultimately show *?case*
by (*auto simp: sum.distrib sum.delta' intro!: sum.mono-neutral-left*)
qed

lemma *image-mset-subseteq-mono*: $A \subseteq\# B \implies image\text{-}mset\ f\ A \subseteq\# image\text{-}mset\ f\ B$
by (*metis image-mset-union subset-mset.le-iff-add*)

syntax (*ASCII*)

-comprehension-mset :: 'a ⇒ 'b ⇒ 'b multiset ⇒ 'a multiset (({#-/. - :# -#}))
syntax
-comprehension-mset' :: 'a ⇒ 'b ⇒ 'b multiset ⇒ 'a multiset (({#-/. - ∈# -#}))
translations
{#e. x ∈# M#} ⇒ CONST image-mset (λx. e) M

syntax (ASCII)
-comprehension-mset' :: 'a ⇒ 'b ⇒ 'b multiset ⇒ bool ⇒ 'a multiset (({#-/ | - :# -/ -#}))
syntax
-comprehension-mset' :: 'a ⇒ 'b ⇒ 'b multiset ⇒ bool ⇒ 'a multiset (({#-/ | - ∈# -/ -#}))
translations
{#e | x ∈# M. P#} → {#e. x ∈# {# x ∈# M. P#}#}

This allows to write not just filters like {#x ∈# M. x < c#} but also images like {#x + x. x ∈# M#} and {#x+x|x ∈# M. x < c#}, where the latter is currently displayed as {#x + x. x ∈# {#x ∈# M. x < c#}#}.

lemma in-image-mset: y ∈# {#f x. x ∈# M#} ↔ y ∈ f ' set-mset M
by (metis set-image-mset)

functor image-mset: image-mset

proof -

fix f g **show** image-mset f ∘ image-mset g = image-mset (f ∘ g)

proof

fix A

show (image-mset f ∘ image-mset g) A = image-mset (f ∘ g) A

by (induct A) simp-all

qed

show image-mset id = id

proof

fix A

show image-mset id A = id A

by (induct A) simp-all

qed

qed

declare

image-mset.id [simp]

image-mset.identity [simp]

lemma image-mset-id[simp]: image-mset id x = x

unfolding id-def **by** auto

lemma image-mset-cong: (∧x. x ∈# M ⇒ f x = g x) ⇒ {#f x. x ∈# M#}
= {#g x. x ∈# M#}

by (induct M) auto

lemma image-mset-cong-pair:

$(\forall x y. (x, y) \in \# M \longrightarrow f x y = g x y) \implies \{\#f x y. (x, y) \in \# M \#\} = \{\#g x y. (x, y) \in \# M \#\}$
by (*metis image-mset-cong split-cong*)

1.8 Further conversions

primrec *mset* :: 'a list \Rightarrow 'a multiset **where**

mset [] = {#}
mset (a # x) = *add-mset* a (*mset* x)

lemma *in-multiset-in-set*:

$x \in \# \text{mset } xs \longleftrightarrow x \in \text{set } xs$
by (*induct xs simp-all*)

lemma *count-mset*:

$\text{count } (\text{mset } xs) x = \text{length } (\text{filter } (\lambda y. x = y) xs)$
by (*induct xs simp-all*)

lemma *mset-zero-iff[simp]*: $(\text{mset } x = \{\#\}) = (x = [])$

by (*induct x auto*)

lemma *mset-zero-iff-right[simp]*: $(\{\#\} = \text{mset } x) = (x = [])$

by (*induct x auto*)

lemma *mset-single-iff[iff]*: $\text{mset } xs = \{\#x\#\} \longleftrightarrow xs = [x]$

by (*cases xs auto*)

lemma *mset-single-iff-right[iff]*: $\{\#x\#\} = \text{mset } xs \longleftrightarrow xs = [x]$

by (*cases xs auto*)

lemma *set-mset-mset[simp]*: $\text{set-mset } (\text{mset } xs) = \text{set } xs$

by (*induct xs auto*)

lemma *set-mset-comp-mset [simp]*: $\text{set-mset} \circ \text{mset} = \text{set}$

by (*simp add: fun-eq-iff*)

lemma *size-mset [simp]*: $\text{size } (\text{mset } xs) = \text{length } xs$

by (*induct xs simp-all*)

lemma *mset-append [simp]*: $\text{mset } (xs @ ys) = \text{mset } xs + \text{mset } ys$

by (*induct xs arbitrary: ys auto*)

lemma *mset-filter*: $\text{mset } (\text{filter } P xs) = \{\#x \in \# \text{mset } xs. P x \#\}$

by (*induct xs simp-all*)

lemma *mset-rev [simp]*:

$\text{mset } (\text{rev } xs) = \text{mset } xs$

by (*induct xs simp-all*)

```

lemma surj-mset: surj mset
apply (unfold surj-def)
apply (rule allI)
apply (rule-tac M = y in multiset-induct)
  apply auto
apply (rule-tac x = x # xa in exI)
apply auto
done

lemma distinct-count-atmost-1:
  distinct x = (∀ a. count (mset x) a = (if a ∈ set x then 1 else 0))
proof (induct x)
  case Nil then show ?case by simp
next
  case (Cons x xs) show ?case (is ?lhs  $\longleftrightarrow$  ?rhs)
  proof
    assume ?rhs then show ?rhs using Cons by simp
  next
    assume ?rhs then have  $x \notin \text{set } xs$ 
    by (simp split: if-splits)
    moreover from  $\langle ?rhs \rangle$  have  $(\forall a. \text{count } (mset \ xs) \ a =$ 
       $(\text{if } a \in \text{set } xs \ \text{then } 1 \ \text{else } 0))$ 
    by (auto split: if-splits simp add: count-eq-zero-iff)
    ultimately show ?lhs using Cons by simp
  qed
qed

lemma mset-eq-setD:
  assumes  $mset \ xs = mset \ ys$ 
  shows  $set \ xs = set \ ys$ 
proof –
  from assms have  $set\text{-}mset \ (mset \ xs) = set\text{-}mset \ (mset \ ys)$ 
  by simp
  then show ?thesis by simp
qed

lemma set-eq-iff-mset-eq-distinct:
  distinct x  $\implies$  distinct y  $\implies$ 
   $(set \ x = set \ y) = (mset \ x = mset \ y)$ 
by (auto simp: multiset-eq-iff distinct-count-atmost-1)

lemma set-eq-iff-mset-remdups-eq:
   $(set \ x = set \ y) = (mset \ (remdups \ x) = mset \ (remdups \ y))$ 
apply (rule iffI)
apply (simp add: set-eq-iff-mset-eq-distinct [THEN iffD1])
apply (drule distinct-remdups [THEN distinct-remdups
   $[THEN \ set\text{-}eq\text{-}iff\text{-}mset\text{-}eq\text{-}distinct \ [THEN \ iffD2]]]$ )
apply simp
done

```

lemma *mset-compl-union* [simp]: $mset [x \leftarrow xs. P x] + mset [x \leftarrow xs. \neg P x] = mset xs$

by (induct xs) auto

lemma *nth-mem-mset*: $i < length\ ls \implies (ls ! i) \in\# mset\ ls$

proof (induct ls arbitrary: i)

case Nil

then show ?case by simp

next

case Cons

then show ?case by (cases i) auto

qed

lemma *mset-remove1*[simp]: $mset (remove1\ a\ xs) = mset\ xs - \{\#a\#\}$

by (induct xs) (auto simp add: multiset-eq-iff)

lemma *mset-eq-length*:

assumes $mset\ xs = mset\ ys$

shows $length\ xs = length\ ys$

using assms by (metis size-mset)

lemma *mset-eq-length-filter*:

assumes $mset\ xs = mset\ ys$

shows $length (filter (\lambda x. z = x)\ xs) = length (filter (\lambda y. z = y)\ ys)$

using assms by (metis count-mset)

lemma *fold-multiset-equiv*:

assumes $f: \bigwedge x y. x \in set\ xs \implies y \in set\ xs \implies f\ x \circ f\ y = f\ y \circ f\ x$

and *equiv*: $mset\ xs = mset\ ys$

shows $List.fold\ f\ xs = List.fold\ f\ ys$

using *f equiv* [symmetric]

proof (induct xs arbitrary: ys)

case Nil

then show ?case by simp

next

case (Cons x xs)

then have *: $set\ ys = set\ (x \# xs)$

by (blast dest: mset-eq-setD)

have $\bigwedge x y. x \in set\ ys \implies y \in set\ ys \implies f\ x \circ f\ y = f\ y \circ f\ x$

by (rule Cons.prem1) (simp-all add: *)

moreover from * have $x \in set\ ys$

by simp

ultimately have $List.fold\ f\ ys = List.fold\ f\ (remove1\ x\ ys) \circ f\ x$

by (fact fold-remove1-split)

moreover from Cons.prem1 have $List.fold\ f\ xs = List.fold\ f\ (remove1\ x\ ys)$

by (auto intro: Cons.hyps)

ultimately show ?case by simp

qed

lemma *mset-insort* [*simp*]: $mset (insort\ x\ xs) = add\text{-}mset\ x\ (mset\ xs)$
by (*induct xs simp-all*)

lemma *mset-map* [*simp*]: $mset (map\ f\ xs) = image\text{-}mset\ f\ (mset\ xs)$
by (*induct xs simp-all*)

global-interpretation *mset-set*: *folding* *add-mset* {#}
defines *mset-set* = *folding.F* *add-mset* {#}
by *standard (simp add: fun-eq-iff)*

lemma *count-mset-set* [*simp*]:
 $finite\ A \implies x \in A \implies count\ (mset\text{-}set\ A)\ x = 1$ (**is** *PROP* ?*P*)
 $\neg\ finite\ A \implies count\ (mset\text{-}set\ A)\ x = 0$ (**is** *PROP* ?*Q*)
 $x \notin A \implies count\ (mset\text{-}set\ A)\ x = 0$ (**is** *PROP* ?*R*)

proof –

have *: $count\ (mset\text{-}set\ A)\ x = 0$ **if** $x \notin A$ **for** *A*

proof (*cases finite A*)

case *False* **then show** ?*thesis* **by** *simp*

next

case *True* **from** *True* ($x \notin A$) **show** ?*thesis* **by** (*induct A auto*)

qed

then show *PROP* ?*P* *PROP* ?*Q* *PROP* ?*R*

by (*auto elim!: Set.set-insert*)

qed — TODO: maybe define *mset-set* also in terms of *Abs-multiset*

lemma *elem-mset-set* [*simp, intro*]: $finite\ A \implies x \in\# mset\text{-}set\ A \longleftrightarrow x \in A$
by (*induct A rule: finite-induct simp-all*)

lemma *mset-set-Union*:

$finite\ A \implies finite\ B \implies A \cap B = \{\} \implies mset\text{-}set\ (A \cup B) = mset\text{-}set\ A + mset\text{-}set\ B$

by (*induction A rule: finite-induct auto*)

lemma *filter-mset-mset-set* [*simp*]:

$finite\ A \implies filter\text{-}mset\ P\ (mset\text{-}set\ A) = mset\text{-}set\ \{x \in A. P\ x\}$

proof (*induction A rule: finite-induct*)

case (*insert x A*)

from *insert.hyps* **have** $filter\text{-}mset\ P\ (mset\text{-}set\ (insert\ x\ A)) =$

$filter\text{-}mset\ P\ (mset\text{-}set\ A) + mset\text{-}set\ (if\ P\ x\ then\ \{x\}\ else\ \{\})$

by *simp*

also have $filter\text{-}mset\ P\ (mset\text{-}set\ A) = mset\text{-}set\ \{x \in A. P\ x\}$

by (*rule insert.IH*)

also from *insert.hyps*

have $\dots + mset\text{-}set\ (if\ P\ x\ then\ \{x\}\ else\ \{\}) =$

$mset\text{-}set\ (\{x \in A. P\ x\} \cup (if\ P\ x\ then\ \{x\}\ else\ \{\}))$ (**is** $= mset\text{-}set\ ?A$)

by (*intro mset-set-Union [symmetric] simp-all*)

also from *insert.hyps* **have** $?A = \{y \in insert\ x\ A. P\ y\}$ **by** *auto*

finally show ?*case* .

qed *simp-all*

lemma *mset-set-Diff*:

assumes *finite A B* $B \subseteq A$

shows $mset-set (A - B) = mset-set A - mset-set B$

proof –

from *assms* **have** $mset-set ((A - B) \cup B) = mset-set (A - B) + mset-set B$

by (*intro mset-set-Union*) (*auto dest: finite-subset*)

also from *assms* **have** $A - B \cup B = A$ **by** *blast*

finally show *?thesis* **by** *simp*

qed

lemma *mset-set-set: distinct xs* $\implies mset-set (set xs) = mset xs$

by (*induction xs*) *simp-all*

context *linorder*

begin

definition *sorted-list-of-multiset* :: '*a multiset* \Rightarrow '*a list*

where

sorted-list-of-multiset M = *fold-mset insert [] M*

lemma *sorted-list-of-multiset-empty* [*simp*]:

sorted-list-of-multiset {#} = []

by (*simp add: sorted-list-of-multiset-def*)

lemma *sorted-list-of-multiset-singleton* [*simp*]:

sorted-list-of-multiset {#x#} = [x]

proof –

interpret *comp-fun-commute insert* **by** (*fact comp-fun-commute-insert*)

show *?thesis* **by** (*simp add: sorted-list-of-multiset-def*)

qed

lemma *sorted-list-of-multiset-insert* [*simp*]:

sorted-list-of-multiset (add-mset x M) = *List.insert x (sorted-list-of-multiset M)*

proof –

interpret *comp-fun-commute insert* **by** (*fact comp-fun-commute-insert*)

show *?thesis* **by** (*simp add: sorted-list-of-multiset-def*)

qed

end

lemma *mset-sorted-list-of-multiset* [*simp*]:

mset (sorted-list-of-multiset M) = *M*

by (*induct M*) *simp-all*

lemma *sorted-list-of-multiset-mset* [*simp*]:

sorted-list-of-multiset (mset xs) = *sort xs*

by (*induct xs*) *simp-all*

lemma *finite-set-mset-mset-set*[*simp*]:
 $finite\ A \implies set\text{-}mset\ (mset\text{-}set\ A) = A$
by (*induct A rule: finite-induct*) *simp-all*

lemma *mset-set-empty-iff*: $mset\text{-}set\ A = \{\#\} \iff A = \{\} \vee infinite\ A$
using *finite-set-mset-mset-set* **by** *fastforce*

lemma *infinite-set-mset-mset-set*:
 $\neg\ finite\ A \implies set\text{-}mset\ (mset\text{-}set\ A) = \{\}$
by *simp*

lemma *set-sorted-list-of-multiset* [*simp*]:
 $set\ (sorted\text{-}list\text{-}of\text{-}multiset\ M) = set\text{-}mset\ M$
by (*induct M*) (*simp-all add: set-insort*)

lemma *sorted-list-of-mset-set* [*simp*]:
 $sorted\text{-}list\text{-}of\text{-}multiset\ (mset\text{-}set\ A) = sorted\text{-}list\text{-}of\text{-}set\ A$
by (*cases finite A*) (*induct A rule: finite-induct, simp-all*)

lemma *mset-upt* [*simp*]: $mset\ [m..\lt n] = mset\text{-}set\ \{m..\lt n\}$
by (*induction n*) (*simp-all add: atLeastLessThanSuc*)

lemma *image-mset-map-of*:
 $distinct\ (map\ fst\ xs) \implies \{\#\text{the}\ (map\text{-}of\ xs\ i).\ i \in\#\ mset\ (map\ fst\ xs)\#\} = mset\ (map\ snd\ xs)$
proof (*induction xs*)
case (*Cons x xs*)
have $\{\#\text{the}\ (map\text{-}of\ (x\ \#\ xs)\ i).\ i \in\#\ mset\ (map\ fst\ (x\ \#\ xs))\#\} =$
 $add\text{-}mset\ (snd\ x)\ \{\#\text{the}\ (if\ i = fst\ x\ then\ Some\ (snd\ x)\ else\ map\text{-}of\ xs\ i).\$
 $i \in\#\ mset\ (map\ fst\ xs)\#\}$ (**is** $=$ *add-mset - ?A*) **by** *simp*
also from *Cons.prem*s **have** $?A = \{\#\text{the}\ (map\text{-}of\ xs\ i).\ i :#\ mset\ (map\ fst\ xs)\#\}$
by (*cases x, intro image-mset-cong*) (*auto simp: in-multiset-in-set*)
also from *Cons.prem*s **have** $\dots = mset\ (map\ snd\ xs)$ **by** (*intro Cons.IH*)
simp-all
finally show *?case* **by** *simp*
qed *simp-all*

lemma *image-mset-mset-set*:
assumes *inj-on f A*
shows $image\text{-}mset\ f\ (mset\text{-}set\ A) = mset\text{-}set\ (f\ ` A)$
proof *cases*
assume *finite A*
from *this (inj-on f A)* **show** *?thesis*
by (*induct A*) *auto*
next
assume *infinite A*

from *this* $\langle \text{inj-on } f \ A \rangle$ **have** $\text{infinite } (f \ ' \ A)$
using *finite-imageD* **by** *blast*
from $\langle \text{infinite } A \rangle \langle \text{infinite } (f \ ' \ A) \rangle$ **show** *?thesis* **by** *simp*
qed

1.9 More properties of the replicate and repeat operations

lemma *in-replicate-mset[simp]*: $x \in \# \text{ replicate-mset } n \ y \longleftrightarrow n > 0 \wedge x = y$
unfolding *replicate-mset-def* **by** $(\text{induct } n) \text{ auto}$

lemma *set-mset-replicate-mset-subset[simp]*: $\text{set-mset } (\text{replicate-mset } n \ x) = (\text{if } n = 0 \text{ then } \{\} \text{ else } \{x\})$
by $(\text{auto split: if-splits})$

lemma *size-replicate-mset[simp]*: $\text{size } (\text{replicate-mset } n \ M) = n$
by $(\text{induct } n, \text{ simp-all})$

lemma *count-le-replicate-mset-subset-eq*: $n \leq \text{count } M \ x \longleftrightarrow \text{replicate-mset } n \ x \subseteq \# \ M$
by $(\text{auto simp add: mset-subset-eqI})$ $(\text{metis count-replicate-mset subseteq-mset-def})$

lemma *filter-eq-replicate-mset*: $\{\#y \in \# \ D. \ y = x\# \} = \text{replicate-mset } (\text{count } D \ x) \ x$
by $(\text{induct } D) \text{ simp-all}$

lemma *replicate-count-mset-eq-filter-eq*:
 $\text{replicate } (\text{count } (\text{mset } xs) \ k) \ k = \text{filter } (\text{HOL.eq } k) \ xs$
by $(\text{induct } xs) \text{ auto}$

lemma *replicate-mset-eq-empty-iff [simp]*:
 $\text{replicate-mset } n \ a = \{\#\} \longleftrightarrow n = 0$
by $(\text{induct } n) \text{ simp-all}$

lemma *replicate-mset-eq-iff*:
 $\text{replicate-mset } m \ a = \text{replicate-mset } n \ b \longleftrightarrow$
 $m = 0 \wedge n = 0 \vee m = n \wedge a = b$
by $(\text{auto simp add: multiset-eq-iff})$

lemma *repeat-mset-cancel1*: $\text{repeat-mset } a \ A = \text{repeat-mset } a \ B \longleftrightarrow A = B \vee a = 0$
by $(\text{auto simp: multiset-eq-iff})$

lemma *repeat-mset-cancel2*: $\text{repeat-mset } a \ A = \text{repeat-mset } b \ A \longleftrightarrow a = b \vee A = \{\#\}$
by $(\text{auto simp: multiset-eq-iff})$

lemma *repeat-mset-eq-empty-iff*: $\text{repeat-mset } n \ A = \{\#\} \longleftrightarrow n = 0 \vee A = \{\#\}$
by $(\text{cases } n) \text{ auto}$

lemma *image-replicate-mset* [*simp*]:
image-mset *f* (*replicate-mset* *n* *a*) = *replicate-mset* *n* (*f* *a*)
by (*induct* *n*) *simp-all*

1.10 Big operators

locale *comm-monoid-mset* = *comm-monoid*
begin

interpretation *comp-fun-commute* *f*
by *standard* (*simp* *add*: *fun-eq-iff* *left-commute*)

interpretation *comp?*: *comp-fun-commute* *f* \circ *g*
by (*fact* *comp-comp-fun-commute*)

context
begin

definition *F* :: '*a* *multiset* \Rightarrow '*a*
where *eq-fold*: *F* *M* = *fold-mset* *f* **1** *M*

lemma *empty* [*simp*]: *F* {#} = **1**
by (*simp* *add*: *eq-fold*)

lemma *singleton* [*simp*]: *F* {#*x*#} = *x*
proof –
interpret *comp-fun-commute*
by *standard* (*simp* *add*: *fun-eq-iff* *left-commute*)
show *?thesis* **by** (*simp* *add*: *eq-fold*)
qed

lemma *union* [*simp*]: *F* (*M* + *N*) = *F* *M* * *F* *N*
proof –
interpret *comp-fun-commute* *f*
by *standard* (*simp* *add*: *fun-eq-iff* *left-commute*)
show *?thesis*
by (*induct* *N*) (*simp-all* *add*: *left-commute* *eq-fold*)
qed

lemma *add-mset* [*simp*]: *F* (*add-mset* *x* *N*) = *x* * *F* *N*
unfolding *add-mset-add-single*[*of* *x* *N*] *union* **by** (*simp* *add*: *ac-simps*)

lemma *insert* [*simp*]:
shows *F* (*image-mset* *g* (*add-mset* *x* *A*)) = *g* *x* * *F* (*image-mset* *g* *A*)
by (*simp* *add*: *eq-fold*)

lemma *remove*:
assumes *x* \in # *A*
shows *F* *A* = *x* * *F* (*A* - {#*x*#})

```

using multi-member-split[OF assms] by auto

lemma neutral:
   $\forall x \in \#A. x = \mathbf{1} \implies F A = \mathbf{1}$ 
  by (induct A) simp-all

lemma neutral-const [simp]:
   $F (\text{image-mset } (\lambda-. \mathbf{1}) A) = \mathbf{1}$ 
  by (simp add: neutral)

private lemma F-image-mset-product:
   $F \{\#g x j * F \{\#g i j. i \in \# A\}. j \in \# B\} =$ 
   $F (\text{image-mset } (g x) B) * F \{\#F \{\#g i j. i \in \# A\}. j \in \# B\}$ 
  by (induction B) (simp-all add: left-commute semigroup.assoc semigroup-axioms)

lemma commute:
   $F (\text{image-mset } (\lambda i. F (\text{image-mset } (g i) B)) A) =$ 
   $F (\text{image-mset } (\lambda j. F (\text{image-mset } (\lambda i. g i j) A)) B)$ 
  apply (induction A, simp)
  apply (induction B, auto simp add: F-image-mset-product ac-simps)
  done

lemma distrib:  $F (\text{image-mset } (\lambda x. g x * h x) A) = F (\text{image-mset } g A) * F$ 
   $(\text{image-mset } h A)$ 
  by (induction A) (auto simp: ac-simps)

lemma union-disjoint:
   $A \cap \# B = \{\#\} \implies F (\text{image-mset } g (A \cup \# B)) = F (\text{image-mset } g A) * F$ 
   $(\text{image-mset } g B)$ 
  by (induction A) (auto simp: ac-simps)

end
end

lemma comp-fun-commute-plus-mset[simp]: comp-fun-commute (op + :: 'a multi-
  set  $\Rightarrow$  -  $\Rightarrow$  -)
  by standard (simp add: add-ac comp-def)

declare comp-fun-commute.fold-mset-add-mset[OF comp-fun-commute-plus-mset,
  simp]

lemma in-mset-fold-plus-iff[iff]:  $x \in \# \text{fold-mset } (op +) M NN \longleftrightarrow x \in \# M \vee$ 
   $(\exists N. N \in \# NN \wedge x \in \# N)$ 
  by (induct NN) auto

context comm-monoid-add
begin

sublocale sum-mset: comm-monoid-mset plus 0

```

defines $sum\text{-mset} = sum\text{-mset}.F \ ..$

lemma (in *semiring-1*) *sum-mset-replicate-mset* [simp]:
 $sum\text{-mset} (replicate\text{-mset } n \ a) = of\text{-nat } n * a$
by (induct n) (simp-all add: algebra-simps)

lemma *sum-unfold-sum-mset*:
 $sum \ f \ A = sum\text{-mset} (image\text{-mset } f \ (mset\text{-set } A))$
by (cases finite A) (induct A rule: finite-induct, simp-all)

lemma *sum-mset-delta*: $sum\text{-mset} (image\text{-mset} (\lambda x. \text{if } x = y \text{ then } c \text{ else } 0) \ A) = c * count \ A \ y$
by (induction A) simp-all

lemma *sum-mset-delta'*: $sum\text{-mset} (image\text{-mset} (\lambda x. \text{if } y = x \text{ then } c \text{ else } 0) \ A) = c * count \ A \ y$
by (induction A) simp-all

end

lemma *of-nat-sum-mset* [simp]:
 $of\text{-nat} (sum\text{-mset } M) = sum\text{-mset} (image\text{-mset } of\text{-nat } M)$
by (induction M) auto

lemma *sum-mset-0-iff* [simp]:
 $sum\text{-mset } M = (0 :: 'a :: canonically\text{-ordered-monoid-add})$
 $\iff (\forall x \in set\text{-mset } M. x = 0)$
by(induction M) auto

lemma *sum-mset-diff*:
fixes $M \ N :: ('a :: ordered\text{-cancel-comm-monoid-diff}) \ multiset$
shows $N \subseteq\# M \implies sum\text{-mset} (M - N) = sum\text{-mset } M - sum\text{-mset } N$
by (metis add-diff-cancel-right' sum-mset.union subset-mset.diff-add)

lemma *size-eq-sum-mset*: $size \ M = sum\text{-mset} (image\text{-mset} (\lambda_. 1) \ M)$
proof (induct M)
case empty **then show** ?case **by** simp
next
case (add $x \ M$) **then show** ?case
by (cases $x \in set\text{-mset } M$)
(simp-all add: size-multiset-overloaded-eq not-in-iff sum.If-cases Diff-eq[symmetric]
sum.remove)
qed

lemma *size-mset-set* [simp]: $size (mset\text{-set } A) = card \ A$
by (simp only: size-eq-sum-mset card-eq-sum sum-unfold-sum-mset)

lemma *sum-mset-sum-list*: $sum\text{-mset} (mset \ xs) = sum\text{-list } xs$
by (induction xs) auto

syntax (*ASCII*)

-sum-mset-image :: *pttrn* \Rightarrow '*b* set \Rightarrow '*a* \Rightarrow '*a*::*comm-monoid-add* ((*3SUM* -:#-.
-) [0, 51, 10] 10)

syntax

-sum-mset-image :: *pttrn* \Rightarrow '*b* set \Rightarrow '*a* \Rightarrow '*a*::*comm-monoid-add* ((*3* Σ - \in #:.
-) [0, 51, 10] 10)

translations

$\sum i \in\# A. b \Rightarrow \text{CONST } \text{sum-mset} (\text{CONST } \text{image-mset} (\lambda i. b) A)$

lemma *sum-mset-distrib-left*:

fixes *f* :: '*a* \Rightarrow '*b*::*semiring-0*

shows $c * (\sum x \in\# M. f x) = (\sum x \in\# M. c * f(x))$

by (*induction M*) (*simp-all add: distrib-left*)

lemma *sum-mset-distrib-right*:

fixes *f* :: '*a* \Rightarrow '*b*::*semiring-0*

shows $(\sum b \in\# B. f b) * a = (\sum b \in\# B. f b * a)$

by (*induction B*) (*auto simp: distrib-right*)

lemma *sum-mset-constant* [*simp*]:

fixes *y* :: '*b*::*semiring-1*

shows $(\sum x \in\# A. y) = \text{of-nat} (\text{size } A) * y$

by (*induction A*) (*auto simp: algebra-simps*)

lemma (**in** *ordered-comm-monoid-add*) *sum-mset-mono*:

assumes $\bigwedge i. i \in\# K \Longrightarrow f i \leq g i$

shows $\text{sum-mset} (\text{image-mset } f K) \leq \text{sum-mset} (\text{image-mset } g K)$

using *assms* **by** (*induction K*) (*simp-all add: local.add-mono*)

lemma *sum-mset-product*:

fixes *f* :: '*a*::{*comm-monoid-add,times*} \Rightarrow '*b*::*semiring-0*

shows $(\sum i \in\# A. f i) * (\sum i \in\# B. g i) = (\sum i \in\# A. \sum j \in\# B. f i * g j)$

by (*subst sum-mset.commute*) (*simp add: sum-mset-distrib-left sum-mset-distrib-right*)

abbreviation *Union-mset* :: '*a* multiset multiset \Rightarrow '*a* multiset ($\bigcup\#$ - [900] 900)

where $\bigcup\# MM \equiv \text{sum-mset } MM$ — FIXME ambiguous notation – could likewise refer to $\bigsqcup\#$

lemma *set-mset-Union-mset*[*simp*]: $\text{set-mset} (\bigcup\# MM) = (\bigcup M \in \text{set-mset } MM. \text{set-mset } M)$

by (*induct MM*) *auto*

lemma *in-Union-mset-iff*[*iff*]: $x \in\# \bigcup\# MM \longleftrightarrow (\exists M. M \in\# MM \wedge x \in\# M)$

by (*induct MM*) *auto*

lemma *count-sum*:

$\text{count} (\text{sum } f A) x = \text{sum} (\lambda a. \text{count} (f a) x) A$

by (induct A rule: infinite-finite-induct) simp-all

lemma *sum-eq-empty-iff*:

assumes *finite A*

shows $\text{sum } f \ A = \{\#\} \longleftrightarrow (\forall a \in A. f \ a = \{\#\})$

using *assms* by induct simp-all

lemma *Union-mset-empty-conv[simp]*: $\bigcup \# \ M = \{\#\} \longleftrightarrow (\forall i \in \#M. i = \{\#\})$

by (induction M) auto

context *comm-monoid-mult*

begin

sublocale *prod-mset: comm-monoid-mset times 1*

defines *prod-mset = prod-mset.F ..*

lemma *prod-mset-empty*:

$\text{prod-mset } \{\#\} = 1$

by (fact *prod-mset.empty*)

lemma *prod-mset-singleton*:

$\text{prod-mset } \{\#x\# \} = x$

by (fact *prod-mset.singleton*)

lemma *prod-mset-Un*:

$\text{prod-mset } (A + B) = \text{prod-mset } A * \text{prod-mset } B$

by (fact *prod-mset.union*)

lemma *prod-mset-replicate-mset [simp]*:

$\text{prod-mset } (\text{replicate-mset } n \ a) = a \ ^n$

by (induct n) simp-all

lemma *prod-unfold-prod-mset*:

$\text{prod } f \ A = \text{prod-mset } (\text{image-mset } f \ (\text{mset-set } A))$

by (cases *finite A*) (induct A rule: *finite-induct*, *simp-all*)

lemma *prod-mset-multiplicity*:

$\text{prod-mset } M = \text{prod } (\lambda x. x \ ^{\text{count } M \ x}) \ (\text{set-mset } M)$

by (*simp add: fold-mset-def prod.eq-fold prod-mset.eq-fold funpow-times-power comp-def*)

lemma *prod-mset-delta*: $\text{prod-mset } (\text{image-mset } (\lambda x. \text{if } x = y \ \text{then } c \ \text{else } 1) \ A) = c \ ^{\text{count } A \ y}$

by (induction A) *simp-all*

lemma *prod-mset-delta'*: $\text{prod-mset } (\text{image-mset } (\lambda x. \text{if } y = x \ \text{then } c \ \text{else } 1) \ A) = c \ ^{\text{count } A \ y}$

by (induction A) *simp-all*

end

syntax (*ASCII*)

-*prod-mset-image* :: *pttrn* \Rightarrow 'b *set* \Rightarrow 'a \Rightarrow 'a::*comm-monoid-mult* ((\exists *PROD*
-:#-. -) [0, 51, 10] 10)

syntax

-*prod-mset-image* :: *pttrn* \Rightarrow 'b *set* \Rightarrow 'a \Rightarrow 'a::*comm-monoid-mult* ((\exists \prod -:#-.
-) [0, 51, 10] 10)

translations

$\prod i \in\# A. b \Rightarrow \text{CONST } \text{prod-mset } (\text{CONST } \text{image-mset } (\lambda i. b) A)$

lemma (*in comm-monoid-mult*) *prod-mset-subset-imp-dvd*:

assumes $A \subseteq\# B$

shows *prod-mset* A *dvd* *prod-mset* B

proof –

from *assms* **have** $B = (B - A) + A$ **by** (*simp add: subset-mset.diff-add*)

also have *prod-mset* $\dots = \text{prod-mset } (B - A) * \text{prod-mset } A$ **by** *simp*

also have *prod-mset* A *dvd* \dots **by** *simp*

finally show *?thesis* .

qed

lemma (*in comm-monoid-mult*) *dvd-prod-mset*:

assumes $x \in\# A$

shows x *dvd* *prod-mset* A

using *assms prod-mset-subset-imp-dvd* [of $\{\#x\}$ A] **by** *simp*

lemma (*in semidom*) *prod-mset-zero-iff* [*iff*]:

prod-mset $A = 0 \iff 0 \in\# A$

by (*induct A*) *auto*

lemma (*in semidom-divide*) *prod-mset-diff*:

assumes $B \subseteq\# A$ **and** $0 \notin\# B$

shows *prod-mset* $(A - B) = \text{prod-mset } A \text{ div } \text{prod-mset } B$

proof –

from *assms* **obtain** C **where** $A = B + C$

by (*metis subset-mset.add-diff-inverse*)

with *assms* **show** *?thesis* **by** *simp*

qed

lemma (*in semidom-divide*) *prod-mset-minus*:

assumes $a \in\# A$ **and** $a \neq 0$

shows *prod-mset* $(A - \{\#a\}) = \text{prod-mset } A \text{ div } a$

using *assms prod-mset-diff* [of $\{\#a\}$ A] **by** *auto*

lemma (*in algebraic-semidom*) *is-unit-prod-mset-iff*:

is-unit (*prod-mset* A) $\iff (\forall x \in\# A. \text{is-unit } x)$

by (*induct A*) (*auto simp: is-unit-mult-iff*)

lemma (*in normalization-semidom*) *normalize-prod-mset*:

normalize (prod-mset A) = prod-mset (image-mset normalize A)
by (*induct A*) (*simp-all add: normalize-mult*)

lemma (*in normalization-semidom*) *normalized-prod-msetI*:

assumes $\bigwedge a. a \in \# A \implies \text{normalize } a = a$

shows *normalize (prod-mset A) = prod-mset A*

proof –

from *assms* **have** *image-mset normalize A = A*

by (*induct A*) *simp-all*

then show *?thesis* **by** (*simp add: normalize-prod-mset*)

qed

lemma *prod-mset-prod-list*: *prod-mset (mset xs) = prod-list xs*

by (*induct xs*) *auto*

1.11 Alternative representations

1.11.1 Lists

context *linorder*

begin

lemma *mset-insort [simp]*:

mset (insort-key k x xs) = add-mset x (mset xs)

by (*induct xs*) *simp-all*

lemma *mset-sort [simp]*:

mset (sort-key k xs) = mset xs

by (*induct xs*) *simp-all*

This lemma shows which properties suffice to show that a function f with $xs = ys$ behaves like `sort`.

lemma *properties-for-sort-key*:

assumes *mset ys = mset xs*

and $\bigwedge k. k \in \text{set } ys \implies \text{filter } (\lambda x. f k = f x) \text{ } ys = \text{filter } (\lambda x. f k = f x) \text{ } xs$

and *sorted (map f ys)*

shows *sort-key f xs = ys*

using *assms*

proof (*induct xs arbitrary: ys*)

case Nil **then show** *?case* **by** *simp*

next

case (*Cons x xs*)

from *Cons.prem1(2)* **have**

$\forall k \in \text{set } ys. \text{filter } (\lambda x. f k = f x) (\text{remove1 } x \text{ } ys) = \text{filter } (\lambda x. f k = f x) \text{ } xs$

by (*simp add: filter-remove1*)

with *Cons.prem1* **have** *sort-key f xs = remove1 x ys*

by (*auto intro!: Cons.hyps simp add: sorted-map-remove1*)

moreover from *Cons.prem1* **have** $x \in \# \text{mset } ys$

by *auto*

then have $x \in \text{set } ys$

by *simp*
ultimately show *?case* using *Cons.prem*s by (*simp add: insert-key-remove1*)
qed

lemma *properties-for-sort*:
assumes *multiset*: $mset\ ys = mset\ xs$
and *sorted* *ys*
shows $sort\ xs = ys$
proof (*rule properties-for-sort-key*)
from *multiset* show $mset\ ys = mset\ xs$.
from $\langle sorted\ ys \rangle$ show $sorted\ (map\ (\lambda x. x)\ ys)$ by *simp*
from *multiset* have $length\ (filter\ (\lambda y. k = y)\ ys) = length\ (filter\ (\lambda x. k = x)\ xs)$ for *k*
by (*rule mset-eq-length-filter*)
then have $replicate\ (length\ (filter\ (\lambda y. k = y)\ ys))\ k = replicate\ (length\ (filter\ (\lambda x. k = x)\ xs))\ k$ for *k*
by *simp*
then show $k \in set\ ys \implies filter\ (\lambda y. k = y)\ ys = filter\ (\lambda x. k = x)\ xs$ for *k*
by (*simp add: replicate-length-filter*)
qed

lemma *sort-key-inj-key-eq*:
assumes *mset-equal*: $mset\ xs = mset\ ys$
and *inj-on* *f* (*set xs*)
and *sorted* ($map\ f\ ys$)
shows $sort\ key\ f\ xs = ys$
proof (*rule properties-for-sort-key*)
from *mset-equal*
show $mset\ ys = mset\ xs$ by *simp*
from $\langle sorted\ (map\ f\ ys) \rangle$
show $sorted\ (map\ f\ ys)$.
show $[x \leftarrow ys . f\ k = f\ x] = [x \leftarrow xs . f\ k = f\ x]$ if $k \in set\ ys$ for *k*
proof –
from *mset-equal*
have *set-equal*: $set\ xs = set\ ys$ by (*rule mset-eq-setD*)
with *that* have $insert\ k\ (set\ ys) = set\ ys$ by *auto*
with $\langle inj\text{-on}\ f\ (set\ xs) \rangle$ have *inj*: $inj\text{-on}\ f\ (insert\ k\ (set\ ys))$
by (*simp add: set-equal*)
from *inj* have $[x \leftarrow ys . f\ k = f\ x] = filter\ (HOL.eq\ k)\ ys$
by (*auto intro!: inj-on-filter-key-eq*)
also have $\dots = replicate\ (count\ (mset\ ys)\ k)\ k$
by (*simp add: replicate-count-mset-eq-filter-eq*)
also have $\dots = replicate\ (count\ (mset\ xs)\ k)\ k$
using *mset-equal* by *simp*
also have $\dots = filter\ (HOL.eq\ k)\ xs$
by (*simp add: replicate-count-mset-eq-filter-eq*)
also have $\dots = [x \leftarrow xs . f\ k = f\ x]$
using *inj* by (*auto intro!: inj-on-filter-key-eq [symmetric] simp add: set-equal*)
finally show *?thesis* .

qed
qed

lemma *sort-key-eq-sort-key*:
assumes $mset\ xs = mset\ ys$
and *inj-on* f (*set* xs)
shows $sort\text{-}key\ f\ xs = sort\text{-}key\ f\ ys$
by (*rule* *sort-key-inj-key-eq*) (*simp-all* *add*: *assms*)

lemma *sort-key-by-quicksort*:
 $sort\text{-}key\ f\ xs = sort\text{-}key\ f\ [x \leftarrow xs.\ f\ x < f\ (xs\ !\ (length\ xs\ div\ 2))]$
 $@\ [x \leftarrow xs.\ f\ x = f\ (xs\ !\ (length\ xs\ div\ 2))]$
 $@\ sort\text{-}key\ f\ [x \leftarrow xs.\ f\ x > f\ (xs\ !\ (length\ xs\ div\ 2))]$ (**is** $sort\text{-}key\ f\ ?lhs = ?rhs$)

proof (*rule* *properties-for-sort-key*)
show $mset\ ?rhs = mset\ ?lhs$
by (*rule* *multiset-eqI*) (*auto* *simp* *add*: *mset-filter*)
show *sorted* (*map* $f\ ?rhs$)
by (*auto* *simp* *add*: *sorted-append* *intro*: *sorted-map-same*)

next
fix l
assume $l \in set\ ?rhs$
let $?pivot = f\ (xs\ !\ (length\ xs\ div\ 2))$
have $*$: $\bigwedge x.\ f\ l = f\ x \longleftrightarrow f\ x = f\ l$ **by** *auto*
have $[x \leftarrow sort\text{-}key\ f\ xs.\ f\ x = f\ l] = [x \leftarrow xs.\ f\ x = f\ l]$
unfolding *filter-sort* **by** (*rule* *properties-for-sort-key*) (*auto* *intro*: *sorted-map-same*)
with $*$ **have** $**$: $[x \leftarrow sort\text{-}key\ f\ xs.\ f\ l = f\ x] = [x \leftarrow xs.\ f\ l = f\ x]$ **by** *simp*
have $\bigwedge x\ P.\ P\ (f\ x)\ ?pivot \wedge f\ l = f\ x \longleftrightarrow P\ (f\ l)\ ?pivot \wedge f\ l = f\ x$ **by** *auto*
then **have** $\bigwedge P.\ [x \leftarrow sort\text{-}key\ f\ xs.\ P\ (f\ x)\ ?pivot \wedge f\ l = f\ x] =$
 $[x \leftarrow sort\text{-}key\ f\ xs.\ P\ (f\ l)\ ?pivot \wedge f\ l = f\ x]$ **by** *simp*
note $*** = this\ [of\ op\ <]\ this\ [of\ op\ >]\ this\ [of\ op\ =]$
show $[x \leftarrow ?rhs.\ f\ l = f\ x] = [x \leftarrow ?lhs.\ f\ l = f\ x]$
proof (*cases* $f\ l\ ?pivot$ *rule*: *linorder-cases*)
case *less*
then **have** $f\ l \neq ?pivot$ **and** $\neg f\ l > ?pivot$ **by** *auto*
with *less* **show** *thesis*
by (*simp* *add*: *filter-sort* [*symmetric*] $**\ ***$)

next
case *equal* **then** **show** *thesis*
by (*simp* *add*: $*$ *less-le*)

next
case *greater*
then **have** $f\ l \neq ?pivot$ **and** $\neg f\ l < ?pivot$ **by** *auto*
with *greater* **show** *thesis*
by (*simp* *add*: *filter-sort* [*symmetric*] $**\ ***$)

qed
qed

lemma *sort-by-quicksort*:
 $sort\ xs = sort\ [x \leftarrow xs.\ x < xs\ !\ (length\ xs\ div\ 2)]$

```

@ [x←xs. x = xs ! (length xs div 2)]
@ sort [x←xs. x > xs ! (length xs div 2)] (is sort ?lhs = ?rhs)
using sort-key-by-quicksort [of λx. x, symmetric] by simp

```

A stable parametrized quicksort

definition *part* :: ('b ⇒ 'a) ⇒ 'a ⇒ 'b list ⇒ 'b list × 'b list × 'b list **where**
part f pivot xs = ([x ← xs. f x < pivot], [x ← xs. f x = pivot], [x ← xs. pivot < f x])

lemma *part-code* [code]:
part f pivot [] = ([], [], [])
part f pivot (x # xs) = (let (lts, eqs, gts) = *part f pivot xs*; x' = f x in
 if x' < pivot then (x # lts, eqs, gts)
 else if x' > pivot then (lts, eqs, x # gts)
 else (lts, x # eqs, gts))
by (auto simp add: *part-def Let-def split-def*)

lemma *sort-key-by-quicksort-code* [code]:
sort-key f xs =
 (case xs of
 [] ⇒ []
 | [x] ⇒ xs
 | [x, y] ⇒ (if f x ≤ f y then xs else [y, x])
 | - ⇒
 let (lts, eqs, gts) = *part f* (f (xs ! (length xs div 2))) xs
 in *sort-key f lts @ eqs @ sort-key f gts*)

proof (cases xs)
 case Nil then show ?thesis by simp
next
 case (Cons - ys) note hyps = Cons show ?thesis
proof (cases ys)
 case Nil with hyps show ?thesis by simp
next
 case (Cons - zs) note hyps = hyps Cons show ?thesis
proof (cases zs)
 case Nil with hyps show ?thesis by auto
next
 case Cons
 from *sort-key-by-quicksort* [of f xs]
 have *sort-key f xs* = (let (lts, eqs, gts) = *part f* (f (xs ! (length xs div 2))) xs
 in *sort-key f lts @ eqs @ sort-key f gts*)
 by (simp only: *split-def Let-def part-def fst-conv snd-conv*)
 with hyps Cons show ?thesis by (simp only: list.cases)
qed
qed
qed
end

hide-const (open) part

lemma *mset-remdups-subset-eq*: $mset (remdups\ xs) \subseteq\# mset\ xs$
by (induct xs) (auto intro: subset-mset.order-trans)

lemma *mset-update*:

$i < length\ ls \implies mset (ls[i := v]) = add-mset\ v (mset\ ls - \{\#ls\ i\#})$

proof (induct ls arbitrary: i)

case Nil then show ?case by simp

next

case (Cons x xs)

show ?case

proof (cases i)

case 0 then show ?thesis by simp

next

case (Suc i')

with Cons show ?thesis

by (cases (x = xs ! i')) auto

qed

qed

lemma *mset-swap*:

$i < length\ ls \implies j < length\ ls \implies$

$mset (ls[j := ls ! i, i := ls ! j]) = mset\ ls$

by (cases i = j) (simp-all add: mset-update nth-mem-mset)

1.12 The multiset order

1.12.1 Well-foundedness

definition *mult1* :: ('a × 'a) set ⇒ ('a multiset × 'a multiset) set **where**

$mult1\ r = \{(N, M). \exists a\ M0\ K. M = add-mset\ a\ M0 \wedge N = M0 + K \wedge$
 $(\forall b. b \in\# K \implies (b, a) \in r)\}$

definition *mult* :: ('a × 'a) set ⇒ ('a multiset × 'a multiset) set **where**

$mult\ r = (mult1\ r)^+$

lemma *mult1I*:

assumes $M = add-mset\ a\ M0$ **and** $N = M0 + K$ **and** $\bigwedge b. b \in\# K \implies (b, a) \in r$

shows $(N, M) \in mult1\ r$

using *assms* **unfolding** *mult1-def* **by** *blast*

lemma *mult1E*:

assumes $(N, M) \in mult1\ r$

obtains $a\ M0\ K$ **where** $M = add-mset\ a\ M0$ $N = M0 + K$ $\bigwedge b. b \in\# K \implies (b, a) \in r$

using *assms* **unfolding** *mult1-def* **by** *blast*

lemma *mono-mult1*:

assumes $r \subseteq r'$ **shows** $\text{mult1 } r \subseteq \text{mult1 } r'$
unfolding *mult1-def* **using** *assms* **by** *blast*

lemma *mono-mult*:

assumes $r \subseteq r'$ **shows** $\text{mult } r \subseteq \text{mult } r'$
unfolding *mult-def* **using** *mono-mult1[OF assms]* *trancl-mono* **by** *blast*

lemma *not-less-empty [iff]*: $(M, \{\#\}) \notin \text{mult1 } r$
by (*simp add: mult1-def*)

lemma *less-add*:

assumes $\text{mult1}: (N, \text{add-mset } a \ M0) \in \text{mult1 } r$
shows

$(\exists M. (M, M0) \in \text{mult1 } r \wedge N = \text{add-mset } a \ M) \vee$
 $(\exists K. (\forall b. b \in \# \ K \longrightarrow (b, a) \in r) \wedge N = M0 + K)$

proof –

let $?r = \lambda K \ a. \forall b. b \in \# \ K \longrightarrow (b, a) \in r$

let $?R = \lambda N \ M. \exists a \ M0 \ K. M = \text{add-mset } a \ M0 \wedge N = M0 + K \wedge ?r \ K \ a$

obtain $a' \ M0' \ K$ **where** $M0: \text{add-mset } a \ M0 = \text{add-mset } a' \ M0'$

and $N: N = M0' + K$

and $r: ?r \ K \ a'$

using *mult1 unfolding mult1-def* **by** *auto*

show $?thesis$ (**is** $?case1 \vee ?case2$)

proof –

from $M0$ **consider** $M0 = M0' \ a = a'$

| K' **where** $M0 = \text{add-mset } a' \ K' \ M0' = \text{add-mset } a \ K'$

by *atomize-elim (simp only: add-eq-conv-ex)*

then show $?thesis$

proof *cases*

case 1

with $N \ r$ **have** $?r \ K \ a \wedge N = M0 + K$ **by** *simp*

then have $?case2$..

then show $?thesis$..

next

case 2

from $N \ 2(2)$ **have** $n: N = \text{add-mset } a \ (K' + K)$ **by** *simp*

with $r \ 2(1)$ **have** $?R \ (K' + K) \ M0$ **by** *blast*

with n **have** $?case1$ **by** (*simp add: mult1-def*)

then show $?thesis$..

qed

qed

qed

lemma *all-accessible*:

assumes *wf r*

shows $\forall M. M \in \text{Wellfounded.acc } (\text{mult1 } r)$

proof

let $?R = \text{mult1 } r$

let $?W = \text{Wellfounded.acc } ?R$

```

{
  fix M M0 a
  assume M0: M0 ∈ ?W
  and wf-hyp:  $\bigwedge b. (b, a) \in r \implies (\forall M \in ?W. \text{add-mset } b \ M \in ?W)$ 
  and acc-hyp:  $\forall M. (M, M0) \in ?R \longrightarrow \text{add-mset } a \ M \in ?W$ 
  have add-mset a M0 ∈ ?W
  proof (rule accI [of add-mset a M0])
    fix N
    assume (N, add-mset a M0) ∈ ?R
    then consider M where (M, M0) ∈ ?R N = add-mset a M
      | K where  $\forall b. b \in \# \ K \longrightarrow (b, a) \in r \ N = M0 + K$ 
      by atomize-elim (rule less-add)
    then show N ∈ ?W
    proof cases
      case 1
      from acc-hyp have (M, M0) ∈ ?R  $\longrightarrow \text{add-mset } a \ M \in ?W$  ..
      from this and  $\langle (M, M0) \in ?R \rangle$  have add-mset a M ∈ ?W ..
      then show N ∈ ?W by (simp only:  $\langle N = \text{add-mset } a \ M \rangle$ )
    next
      case 2
      from this(1) have M0 + K ∈ ?W
      proof (induct K)
        case empty
        from M0 show M0 + {#} ∈ ?W by simp
      next
        case (add x K)
        from add.prem have (x, a) ∈ r by simp
        with wf-hyp have  $\forall M \in ?W. \text{add-mset } x \ M \in ?W$  by blast
        moreover from add have M0 + K ∈ ?W by simp
        ultimately have add-mset x (M0 + K) ∈ ?W ..
        then show M0 + (add-mset x K) ∈ ?W by simp
      qed
    then show N ∈ ?W by (simp only: 2(2))
  qed
  qed
} note tedious-reasoning = this

```

```

show M ∈ ?W for M
proof (induct M)
  show {#} ∈ ?W
  proof (rule accI)
    fix b assume (b, {#}) ∈ ?R
    with not-less-empty show b ∈ ?W by contradiction
  qed

```

```

fix M a assume M ∈ ?W
from  $\langle wf \ r \rangle$  have  $\forall M \in ?W. \text{add-mset } a \ M \in ?W$ 
proof induct
  fix a

```

```

assume  $r: \bigwedge b. (b, a) \in r \implies (\forall M \in ?W. \text{add-mset } b \ M \in ?W)$ 
show  $\forall M \in ?W. \text{add-mset } a \ M \in ?W$ 
proof
  fix  $M$  assume  $M \in ?W$ 
  then show  $\text{add-mset } a \ M \in ?W$ 
    by (rule acc-induct) (rule tedious-reasoning [OF - r])
  qed
qed
from this and  $\langle M \in ?W \rangle$  show  $\text{add-mset } a \ M \in ?W$  ..
qed
qed

```

```

theorem wf-mult1:  $wf \ r \implies wf \ (\text{mult1 } r)$ 
by (rule acc-wfI) (rule all-accessible)

```

```

theorem wf-mult:  $wf \ r \implies wf \ (\text{mult } r)$ 
unfolding mult-def by (rule wf-trancl) (rule wf-mult1)

```

1.12.2 Closure-free presentation

One direction.

lemma *mult-implies-one-step*:

```

assumes
  trans: trans  $r$  and
  MN:  $(M, N) \in \text{mult } r$ 
shows  $\exists I \ J \ K. N = I + J \wedge M = I + K \wedge J \neq \{\#\} \wedge (\forall k \in \text{set-mset } K. \exists j \in \text{set-mset } J. (k, j) \in r)$ 
using MN unfolding mult-def mult1-def
proof (induction rule: converse-trancl-induct)
  case (base  $y$ )
  then show ?case by force
next
  case (step  $y \ z$ ) note  $yz = \text{this}(1)$  and  $zN = \text{this}(2)$  and  $N\text{-decomp} = \text{this}(3)$ 
  obtain  $I \ J \ K$  where
     $N: N = I + J \ z = I + K \ J \neq \{\#\} \ \forall k \in \#K. \exists j \in \#J. (k, j) \in r$ 
    using  $N\text{-decomp}$  by blast
  obtain  $a \ M0 \ K'$  where
     $z: z = \text{add-mset } a \ M0$  and  $y: y = M0 + K'$  and  $K: \forall b. b \in \#K' \implies (b, a) \in r$ 
    using  $yz$  by blast
  show ?case
  proof (cases  $a \in \#K$ )
    case True
    moreover have  $\exists j \in \#J. (k, j) \in r$  if  $k \in \#K'$  for  $k$ 
      using  $K \ N \ \text{trans} \ \text{True}$  by (meson that transE)
    ultimately show ?thesis
      by (rule-tac  $x = I$  in  $exI$ , rule-tac  $x = J$  in  $exI$ , rule-tac  $x = (K - \{\#a\})$ 
      +  $K'$  in  $exI$ )
      (use  $z \ y \ N$  in  $\langle \text{auto simp del: subset-mset.add-diff-assoc2 dest: in-diffD} \rangle$ )

```

```

next
  case False
  then have  $a \in \# I$  by (metis  $N(2)$  union-iff union-single-eq-member  $z$ )
  moreover have  $M0 = I + K - \{\#a\}$ 
  using  $N(2)$   $z$  by force
  ultimately show ?thesis
    by (rule-tac  $x = I - \{\#a\}$  in  $exI$ , rule-tac  $x = add-mset\ a\ J$  in  $exI$ ,
      rule-tac  $x = K + K'$  in  $exI$ )
      (use  $z\ y\ N\ False\ K$  in  $\langle auto\ simp: add.assoc \rangle$ )
qed
qed

```

lemma *one-step-implies-mult*:

```

assumes
   $J \neq \{\#\}$  and
   $\forall k \in set-mset\ K. \exists j \in set-mset\ J. (k, j) \in r$ 
shows  $(I + K, I + J) \in mult\ r$ 
using assms
proof (induction size  $J$  arbitrary:  $I\ J\ K$ )
  case 0
  then show ?case by auto
next
  case (Suc  $n$ ) note  $IH = this(1)$  and  $size-J = this(2)[THEN\ sym]$ 
  obtain  $J' a$  where  $J: J = add-mset\ a\ J'$ 
  using  $size-J$  by (blast dest: size-eq-Suc-imp-eq-union)
  show ?case
  proof (cases  $J' = \{\#\}$ )
    case True
    then show ?thesis
      using  $J\ Suc$  by (fastforce simp add: mult-def mult1-def)
  next
    case [simp]: False
    have  $K: K = \{\#x \in \# K. (x, a) \in r\} + \{\#x \in \# K. (x, a) \notin r\}$ 
    by (rule multiset-partition)
    have  $(I + K, (I + \{\#x \in \# K. (x, a) \in r\}) + J') \in mult\ r$ 
    using  $IH$ [of  $J'$   $\{\#x \in \# K. (x, a) \notin r\}$   $I + \{\#x \in \# K. (x, a) \in r\}$ ]
       $J\ Suc.prems\ K\ size-J$  by (auto simp: ac-simps)
    moreover have  $(I + \{\#x \in \# K. (x, a) \in r\} + J', I + J) \in mult\ r$ 
    by (fastforce simp:  $J\ mult1-def\ mult-def$ )
    ultimately show ?thesis
      unfolding mult-def by simp
  qed
qed

```

1.13 The multiset extension is cancellative for multiset union

lemma *mult-cancel*:

```

assumes trans  $s$  and irrefl  $s$ 
shows  $(X + Z, Y + Z) \in mult\ s \iff (X, Y) \in mult\ s$  (is  $?L \iff ?R$ )

```

proof
assume ?L **thus** ?R
proof (induct Z)
case (add z Z)
obtain X' Y' Z' **where** *: add-mset z X + Z = Z' + X' add-mset z Y + Z
= Z' + Y' Y' ≠ {#}
 $\forall x \in \text{set-mset } X'. \exists y \in \text{set-mset } Y'. (x, y) \in s$
using mult-implies-one-step[OF ‹trans s› add(2)] **by** auto
consider Z2 **where** Z' = add-mset z Z2 | X2 Y2 **where** X' = add-mset z X2
Y' = add-mset z Y2
using *(1,2) **by** (metis add-mset-remove-trivial-If insert-iff set-mset-add-mset-insert
union-iff)
thus ?case
proof (cases)
case 1 **thus** ?thesis **using** * one-step-implies-mult[of Y' X' s Z2]
by (auto simp: add commute[of - {#-#}]) add.assoc intro: add(1))
next
case 2 **then obtain** y **where** y ∈ set-mset Y2 (z, y) ∈ s **using** *(4) (irrefl
s)
by (auto simp: irrefl-def)
moreover from this **transD**[OF ‹trans s› - this(2)]
have x' ∈ set-mset X2 $\implies \exists y \in \text{set-mset } Y2. (x', y) \in s$ **for** x'
using 2 *(4)[rule-format, of x'] **by** auto
ultimately show ?thesis **using** * one-step-implies-mult[of Y2 X2 s Z] 2
by (force simp: add commute[of {#-#}]) add.assoc[symmetric] intro: add(1))
qed
qed auto
next
assume ?R **then obtain** I J K
where Y = I + J X = I + K J ≠ {#} $\forall k \in \text{set-mset } K. \exists j \in \text{set-mset } J.$
(k, j) ∈ s
using mult-implies-one-step[OF ‹trans s›] **by** blast
thus ?L **using** one-step-implies-mult[of J K s I + Z] **by** (auto simp: ac-simps)
qed

lemma mult-cancel-max:
assumes trans s **and** irrefl s
shows (X, Y) ∈ mult s $\longleftrightarrow (X - X \cap\# Y, Y - X \cap\# Y) \in \text{mult } s$ (**is** ?L
 \longleftrightarrow ?R)
proof -
have X - X $\cap\#$ Y + X $\cap\#$ Y = X Y - X $\cap\#$ Y + X $\cap\#$ Y = Y **by** (auto
simp: count-inject[symmetric])
thus ?thesis **using** mult-cancel[OF assms, of X - X $\cap\#$ Y X $\cap\#$ Y Y - X
 $\cap\#$ Y] **by** auto
qed

1.14 Quasi-executable version of the multiset extension

Predicate variants of *mult* and the reflexive closure of *mult*, which are executable whenever the given predicate P is. Together with the standard code equations for $op \cap\#$ and $op -$ this should yield quadratic (with respect to calls to P) implementations of *multp* and *multeqp*.

definition *multp* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a multiset ⇒ 'a multiset ⇒ bool **where**
multp P N M =
 (let $Z = M \cap\# N$; $X = M - Z$ in
 $X \neq \{\#\} \wedge$ (let $Y = N - Z$ in ($\forall y \in \text{set-mset } Y. \exists x \in \text{set-mset } X. P \ y \ x$)))

definition *multeqp* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a multiset ⇒ 'a multiset ⇒ bool **where**
multeqp P N M =
 (let $Z = M \cap\# N$; $X = M - Z$; $Y = N - Z$ in
 $(\forall y \in \text{set-mset } Y. \exists x \in \text{set-mset } X. P \ y \ x)$)

lemma *multp-iff*:

assumes *irrefl* R **and** *trans* R **and** [*simp*]: $\bigwedge x \ y. P \ x \ y \longleftrightarrow (x, y) \in R$
shows *multp* P N $M \longleftrightarrow (N, M) \in \text{mult } R$ (**is** ? $L \longleftrightarrow ?R$)

proof –

have *: $M \cap\# N + (N - M \cap\# N) = N M \cap\# N + (M - M \cap\# N) = M$
 $(M - M \cap\# N) \cap\# (N - M \cap\# N) = \{\#\}$ **by** (*auto simp: count-inject[symmetric]*)
show ?thesis

proof

assume ? L **thus** ? R

using *one-step-implies-mult*[of $M - M \cap\# N$ $N - M \cap\# N$ R $M \cap\# N$] *
by (*auto simp: multp-def Let-def*)

next

{ **fix** $I \ J \ K$:: 'a multiset **assume** $(I + J) \cap\# (I + K) = \{\#\}$
then have $I = \{\#\}$ **by** (*metis inter-union-distrib-right union-eq-empty*)
} **note** [*dest!*] = *this*

assume ? R **thus** ? L

using *mult-implies-one-step*[*OF* *assms*(2), of $N - M \cap\# N$ $M - M \cap\# N$]
mult-cancel-max[*OF* *assms*(2,1), of N M] * **by** (*auto simp: multp-def*)

qed

qed

lemma *multeqp-iff*:

assumes *irrefl* R **and** *trans* R **and** $\bigwedge x \ y. P \ x \ y \longleftrightarrow (x, y) \in R$
shows *multeqp* P N $M \longleftrightarrow (N, M) \in (\text{mult } R)^=$

proof –

{ **assume** $N \neq M$ $M - M \cap\# N = \{\#\}$
then obtain y **where** $\text{count } N \ y \neq \text{count } M \ y$ **by** (*auto simp: count-inject[symmetric]*)
then have $\exists y. \text{count } M \ y < \text{count } N \ y$ **using** $\langle M - M \cap\# N = \{\#\} \rangle$
by (*auto simp: count-inject[symmetric] dest!: le-neq-implies-less fun-cong[of -*
 $y]$)
}

then have *multeqp* P N $M \longleftrightarrow \text{multp } P \ N \ M \vee N = M$

by (*auto simp: multeqp-def multp-def Let-def in-diff-count*)

thus *?thesis* using *multp-iff[OF assms]* by *simp*
qed

1.14.1 Partial-order properties

lemma (in *preorder*) *mult1-lessE*:

assumes $(N, M) \in \text{mult1 } \{(a, b). a < b\}$

obtains $a \text{ } M0 \text{ } K$ **where** $M = \text{add-mset } a \text{ } M0 \text{ } N = M0 + K$

$a \notin \# K \wedge b. b \in \# K \implies b < a$

proof –

from *assms* **obtain** $a \text{ } M0 \text{ } K$ **where** $M = \text{add-mset } a \text{ } M0 \text{ } N = M0 + K$ **and**

$*: b \in \# K \implies b < a$ **for** b **by** (*blast elim: mult1E*)

moreover from $*$ [*of a*] **have** $a \notin \# K$ **by** *auto*

ultimately show *thesis* **by** (*auto intro: that*)

qed

instantiation *multiset* :: (*preorder*) *order*

begin

definition *less-multiset* :: ' a *multiset* \Rightarrow ' a *multiset* \Rightarrow *bool*

where $M' < M \iff (M', M) \in \text{mult } \{(x', x). x' < x\}$

definition *less-eq-multiset* :: ' a *multiset* \Rightarrow ' a *multiset* \Rightarrow *bool*

where *less-eq-multiset* $M' \text{ } M \iff M' < M \vee M' = M$

instance

proof –

have *irrefl*: $\neg M < M$ **for** $M :: 'a \text{ } \text{multiset}$

proof

assume $M < M$

then have *MM*: $(M, M) \in \text{mult } \{(x, y). x < y\}$ **by** (*simp add: less-multiset-def*)

have *trans* $\{(x'::'a, x). x' < x\}$

by (*metis (mono-tags, lifting) case-prodD case-prodI less-trans mem-Collect-eq transI*)

moreover note *MM*

ultimately have $\exists I \text{ } J \text{ } K. M = I + J \wedge M = I + K$

$\wedge J \neq \{\#\} \wedge (\forall k \in \text{set-mset } K. \exists j \in \text{set-mset } J. (k, j) \in \{(x, y). x < y\})$

by (*rule mult-implies-one-step*)

then obtain $I \text{ } J \text{ } K$ **where** $M = I + J$ **and** $M = I + K$

and $J \neq \{\#\}$ **and** $(\forall k \in \text{set-mset } K. \exists j \in \text{set-mset } J. (k, j) \in \{(x, y). x < y\})$ **by** *blast*

then have $*$: $K \neq \{\#\}$ **and** $**$: $\forall k \in \text{set-mset } K. \exists j \in \text{set-mset } K. k < j$ **by** *auto*

have *finite* (*set-mset* K) **by** *simp*

moreover note $**$

ultimately have *set-mset* $K = \{\}$

by (*induct rule: finite-induct*) (*auto intro: order-less-trans*)

with $*$ **show** *False* **by** *simp*

qed

```

have trans:  $K < M \implies M < N \implies K < N$  for  $K M N :: 'a \text{ multiset}$ 
  unfolding less-multiset-def mult-def by (blast intro: trancl-trans)
show OFCLASS('a multiset, order-class)
  by standard (auto simp add: less-eq-multiset-def irrefl dest: trans)
qed
end — FIXME avoid junk stemming from type class interpretation

```

```

lemma mset-le-irrefl [elim!]:
  fixes  $M :: 'a::\text{preorder multiset}$ 
  shows  $M < M \implies R$ 
  by simp

```

1.14.2 Monotonicity of multiset union

```

lemma mult1-union:  $(B, D) \in \text{mult1 } r \implies (C + B, C + D) \in \text{mult1 } r$ 
  by (force simp: mult1-def)

```

```

lemma union-le-mono2:  $B < D \implies C + B < C + (D::'a::\text{preorder multiset})$ 
apply (unfold less-multiset-def mult-def)
apply (erule trancl-induct)
  apply (blast intro: mult1-union)
apply (blast intro: mult1-union trancl-trans)
done

```

```

lemma union-le-mono1:  $B < D \implies B + C < D + (C::'a::\text{preorder multiset})$ 
apply (subst add.commute [of B C])
apply (subst add.commute [of D C])
apply (erule union-le-mono2)
done

```

```

lemma union-less-mono:
  fixes  $A B C D :: 'a::\text{preorder multiset}$ 
  shows  $A < C \implies B < D \implies A + B < C + D$ 
  by (blast intro!: union-le-mono1 union-le-mono2 less-trans)

```

```

instantiation multiset :: (preorder) ordered-ab-semigroup-add
begin
instance
  by standard (auto simp add: less-eq-multiset-def intro: union-le-mono2)
end

```

1.14.3 Termination proofs with multiset orders

```

lemma multi-member-skip:  $x \in\# XS \implies x \in\# \{\# y \#\} + XS$ 
  and multi-member-this:  $x \in\# \{\# x \#\} + XS$ 
  and multi-member-last:  $x \in\# \{\# x \#\}$ 
  by auto

```

```

definition ms-strict = mult pair-less

```

```

definition ms-weak = ms-strict  $\cup$  Id

```

lemma *ms-reduction-pair*: *reduction-pair (ms-strict, ms-weak)*
unfolding *reduction-pair-def ms-strict-def ms-weak-def pair-less-def*
by (*auto intro: wf-mult1 wf-trancl simp: mult-def*)

lemma *smsI*:
 $(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \implies (Z + A, Z + B) \in \text{ms-strict}$
unfolding *ms-strict-def*
by (*rule one-step-implies-mult*) (*auto simp add: max-strict-def pair-less-def elim!: max-ext.cases*)

lemma *wmsI*:
 $(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \vee A = \{\#\} \wedge B = \{\#\}$
 $\implies (Z + A, Z + B) \in \text{ms-weak}$
unfolding *ms-weak-def ms-strict-def*
by (*auto simp add: pair-less-def max-strict-def elim!: max-ext.cases intro: one-step-implies-mult*)

inductive *pw-leq*
where
pw-leq-empty: *pw-leq* $\{\#\}$ $\{\#\}$
| *pw-leq-step*: $\llbracket (x, y) \in \text{pair-leq}; \text{pw-leq } X \ Y \rrbracket \implies \text{pw-leq } (\{\#x\# \} + X) (\{\#y\# \} + Y)$

lemma *pw-leq-lstep*:
 $(x, y) \in \text{pair-leq} \implies \text{pw-leq } \{\#x\# \} \{\#y\# \}$
by (*drule pw-leq-step*) (*rule pw-leq-empty, simp*)

lemma *pw-leq-split*:
assumes *pw-leq* $X \ Y$
shows $\exists A \ B \ Z. X = A + Z \wedge Y = B + Z \wedge ((\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \vee (B = \{\#\} \wedge A = \{\#\}))$
using *assms*
proof *induct*
case *pw-leq-empty* **thus** *?case* **by** *auto*
next
case (*pw-leq-step* $x \ y \ X \ Y$)
then obtain $A \ B \ Z$ **where**
 $[\text{simp}]: X = A + Z \ Y = B + Z$
and $1[\text{simp}]: (\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \vee (B = \{\#\} \wedge A = \{\#\})$
by *auto*
from *pw-leq-step* **consider** $x = y \mid (x, y) \in \text{pair-less}$
unfolding *pair-leq-def* **by** *auto*
thus *?case*
proof *cases*
case $[\text{simp}]: 1$
have $\{\#x\# \} + X = A + (\{\#y\# \} + Z) \wedge \{\#y\# \} + Y = B + (\{\#y\# \} + Z) \wedge ((\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \vee (B = \{\#\} \wedge A = \{\#\}))$
by *auto*
thus *?thesis* **by** *blast*
next

```

case 2
let ?A' = {#x#} + A and ?B' = {#y#} + B
have {#x#} + X = ?A' + Z
      {#y#} + Y = ?B' + Z
by auto
moreover have
  (set-mset ?A', set-mset ?B') ∈ max-strict
using 1 2 unfolding max-strict-def
by (auto elim!: max-ext.cases)
ultimately show ?thesis by blast
qed
qed

lemma
assumes pwleq: pw-leq Z Z'
shows ms-strictI: (set-mset A, set-mset B) ∈ max-strict ⇒ (Z + A, Z' + B)
  ∈ ms-strict
and ms-weakI1: (set-mset A, set-mset B) ∈ max-strict ⇒ (Z + A, Z' + B)
  ∈ ms-weak
and ms-weakI2: (Z + {#}, Z' + {#}) ∈ ms-weak
proof –
from pw-leq-split[OF pwleq]
obtain A' B' Z''
where [simp]: Z = A' + Z'' Z' = B' + Z''
and mx-or-empty: (set-mset A', set-mset B') ∈ max-strict ∨ (A' = {#} ∧ B'
  = {#})
by blast
{
assume max: (set-mset A, set-mset B) ∈ max-strict
from mx-or-empty
have (Z'' + (A + A'), Z'' + (B + B')) ∈ ms-strict
proof
assume max': (set-mset A', set-mset B') ∈ max-strict
with max have (set-mset (A + A'), set-mset (B + B')) ∈ max-strict
by (auto simp: max-strict-def intro: max-ext-additive)
thus ?thesis by (rule smsI)
next
assume [simp]: A' = {#} ∧ B' = {#}
show ?thesis by (rule smsI) (auto intro: max)
qed
thus (Z + A, Z' + B) ∈ ms-strict by (simp add: ac-simps)
thus (Z + A, Z' + B) ∈ ms-weak by (simp add: ms-weak-def)
}
from mx-or-empty
have (Z'' + A', Z'' + B') ∈ ms-weak by (rule wmsI)
thus (Z + {#}, Z' + {#}) ∈ ms-weak by (simp add: ac-simps)
qed

lemma empty-neutral: {#} + x = x x + {#} = x

```

```

and nonempty-plus: {# x #} + rs ≠ {#}
and nonempty-single: {# x #} ≠ {#}
by auto

setup ⟨
  let
    fun msetT T = Type (@{type-name multiset}, [T]);

    fun mk-mset T [] = Const (@{const-abbrev Mempty}, msetT T)
    | mk-mset T [x] =
      Const (@{const-name add-mset}, T --> msetT T --> msetT T) $ x $
      Const (@{const-abbrev Mempty}, msetT T)
    | mk-mset T (x :: xs) =
      Const (@{const-name plus}, msetT T --> msetT T --> msetT T) $
      mk-mset T [x] $ mk-mset T xs

    fun mset-member-tac ctxt m i =
      if m ≤ 0 then
        resolve-tac ctxt @{thms multi-member-this} i ORELSE
        resolve-tac ctxt @{thms multi-member-last} i
      else
        resolve-tac ctxt @{thms multi-member-skip} i THEN mset-member-tac ctxt
        (m - 1) i

    fun mset-nonempty-tac ctxt =
      resolve-tac ctxt @{thms nonempty-plus} ORELSE'
      resolve-tac ctxt @{thms nonempty-single}

    fun regroup-munion-conv ctxt =
      Function-Lib.regroup-conv ctxt @{const-abbrev Mempty} @{const-name plus}
      (map (fn t => t RS eq-reflection) (@{thms ac-simps} @ @{thms empty-neutral}))

    fun unfold-pwleq-tac ctxt i =
      (resolve-tac ctxt @{thms pw-leq-step} i THEN (fn st => unfold-pwleq-tac ctxt
      (i + 1) st))
      ORELSE (resolve-tac ctxt @{thms pw-leq-lstep} i)
      ORELSE (resolve-tac ctxt @{thms pw-leq-empty} i)

    val set-mset-simps = [@{thm set-mset-empty}, @{thm set-mset-single}, @{thm
    set-mset-union},
      @{thm Un-insert-left}, @{thm Un-empty-left}]

  in
    ScnpReconstruct.multiset-setup (ScnpReconstruct.Multiset
    {
      msetT=msetT, mk-mset=mk-mset, mset-regroup-conv=regroup-munion-conv,
      mset-member-tac=mset-member-tac, mset-nonempty-tac=mset-nonempty-tac,
      mset-pwleq-tac=unfold-pwleq-tac, set-of-simps=set-mset-simps,
      smsI'= @{thm ms-strictI}, wmsI2''= @{thm ms-weakI2}, wmsI1= @{thm
      ms-weakI1},

```

```

    reduction-pair = @{thm ms-reduction-pair}
  })
end
)

```

1.15 Legacy theorem bindings

lemmas *multi-count-eq = multiset-eq-iff [symmetric]*

lemma *union-commute*: $M + N = N + (M::'a \text{ multiset})$
by (*fact add.commute*)

lemma *union-assoc*: $(M + N) + K = M + (N + (K::'a \text{ multiset}))$
by (*fact add.assoc*)

lemma *union-lcomm*: $M + (N + K) = N + (M + (K::'a \text{ multiset}))$
by (*fact add.left-commute*)

lemmas *union-ac = union-assoc union-commute union-lcomm add-mset-commute*

lemma *union-right-cancel*: $M + K = N + K \longleftrightarrow M = (N::'a \text{ multiset})$
by (*fact add-right-cancel*)

lemma *union-left-cancel*: $K + M = K + N \longleftrightarrow M = (N::'a \text{ multiset})$
by (*fact add-left-cancel*)

lemma *multi-union-self-other-eq*: $(A::'a \text{ multiset}) + X = A + Y \implies X = Y$
by (*fact add-left-imp-eq*)

lemma *mset-subset-trans*: $(M::'a \text{ multiset}) \subset\# K \implies K \subset\# N \implies M \subset\# N$
by (*fact subset-mset.less-trans*)

lemma *multiset-inter-commute*: $A \cap\# B = B \cap\# A$
by (*fact subset-mset.inf.commute*)

lemma *multiset-inter-assoc*: $A \cap\# (B \cap\# C) = A \cap\# B \cap\# C$
by (*fact subset-mset.inf.assoc [symmetric]*)

lemma *multiset-inter-left-commute*: $A \cap\# (B \cap\# C) = B \cap\# (A \cap\# C)$
by (*fact subset-mset.inf.left-commute*)

lemmas *multiset-inter-ac =*
multiset-inter-commute
multiset-inter-assoc
multiset-inter-left-commute

lemma *mset-le-not-refl*: $\neg M < (M::'a::\text{preorder multiset})$
by (*fact less-irrefl*)

```

lemma mset-le-trans:  $K < M \implies M < N \implies K < (N::'a::preorder\ multiset)$ 
  by (fact less-trans)

lemma mset-le-not-sym:  $M < N \implies \neg N < (M::'a::preorder\ multiset)$ 
  by (fact less-not-sym)

lemma mset-le-asy:  $M < N \implies (\neg P \implies N < (M::'a::preorder\ multiset)) \implies P$ 
  by (fact less-asy)

declaration (
  let
    fun mset-postproc - maybe-name all-values ( $T$  as  $Type$  ( $-$ , [ $elem-T$ ])) ( $Const$ 
-  $\$ t'$ ) =
      let
        val (maybe-opt, ps) =
          Nitpick-Model.dest-plain-fun  $t'$ 
          ||> op ~~
          ||> map (apsnd (snd o HOLogic.dest-number))
        fun elems-for  $t$  =
          (case AList.lookup (op =) ps  $t$  of
            SOME  $n \implies replicate\ n\ t$ 
          | NONE  $\implies [Const\ (maybe-name,\ elem-T \dashrightarrow elem-T)\ \$\ t]$ )
      in
        (case maps elems-for (all-values elem-T) @
          (if maybe-opt then [Const (Nitpick-Model.unrep-mixfix ( $\_$ ),  $elem-T$ )])
        else []) of
          []  $\implies Const\ (@\{const-name\ zero-class.zero\}, T)$ 
          | ts  $\implies$ 
            foldl1 (fn ( $s, t$ )  $\implies Const\ (@\{const-name\ add-mset\}, elem-T \dashrightarrow$ 
 $T \dashrightarrow T)\ \$\ s\ \$\ t)$ 
              ts)
      end
      | mset-postproc - - - - t = t
    in Nitpick-Model.register-term-postprocessor @{typ 'a multiset} mset-postproc
  end
)

```

1.16 Naive implementation using lists

code-datatype *mset*

```

lemma [code]:  $\{\#\} = mset\ []$ 
  by simp

```

```

lemma [code]:  $add-mset\ x\ (mset\ xs) = mset\ (x\ \#\ xs)$ 
  by simp

```

```

lemma [code]:  $Multiset.is-empty\ (mset\ xs) \longleftrightarrow List.null\ xs$ 

```

by (simp add: Multiset.is-empty-def List.null-def)

lemma union-code [code]: $mset\ xs + mset\ ys = mset\ (xs\ @\ ys)$
by simp

lemma [code]: $image\ mset\ f\ (mset\ xs) = mset\ (map\ f\ xs)$
by simp

lemma [code]: $filter\ mset\ f\ (mset\ xs) = mset\ (filter\ f\ xs)$
by (simp add: mset-filter)

lemma [code]: $mset\ xs - mset\ ys = mset\ (fold\ remove1\ ys\ xs)$
by (rule sym, induct ys arbitrary: xs) (simp-all add: diff-add diff-right-commute diff-diff-add)

lemma [code]:
 $mset\ xs \cap\# mset\ ys =$
 $mset\ (snd\ (fold\ (\lambda x\ (ys,\ zs)).$
 $if\ x \in set\ ys\ then\ (remove1\ x\ ys,\ x\ \# zs)\ else\ (ys,\ zs))\ xs\ (ys,\ []))$
proof –
have $\bigwedge zs. mset\ (snd\ (fold\ (\lambda x\ (ys,\ zs).$
 $if\ x \in set\ ys\ then\ (remove1\ x\ ys,\ x\ \# zs)\ else\ (ys,\ zs))\ xs\ (ys,\ zs))) =$
 $(mset\ xs \cap\# mset\ ys) + mset\ zs$
by (induct xs arbitrary: ys)
(auto simp add: inter-add-right1 inter-add-right2 ac-simps)
then show ?thesis **by** simp
qed

lemma [code]:
 $mset\ xs \cup\# mset\ ys =$
 $mset\ (case\ prod\ append\ (fold\ (\lambda x\ (ys,\ zs).\ (remove1\ x\ ys,\ x\ \# zs))\ xs\ (ys,\ [])))$
proof –
have $\bigwedge zs. mset\ (case\ prod\ append\ (fold\ (\lambda x\ (ys,\ zs).\ (remove1\ x\ ys,\ x\ \# zs))\ xs\ (ys,\ zs))) =$
 $(mset\ xs \cup\# mset\ ys) + mset\ zs$
by (induct xs arbitrary: ys) (simp-all add: multiset-eq-iff)
then show ?thesis **by** simp
qed

declare in-multiset-in-set [code-unfold]

lemma [code]: $count\ (mset\ xs)\ x = fold\ (\lambda y.\ if\ x = y\ then\ Suc\ else\ id)\ xs\ 0$
proof –
have $\bigwedge n. fold\ (\lambda y.\ if\ x = y\ then\ Suc\ else\ id)\ xs\ n = count\ (mset\ xs)\ x + n$
by (induct xs) simp-all
then show ?thesis **by** simp
qed

declare set-mset-mset [code]

declare *sorted-list-of-multiset-mset* [code]

lemma [code]: — not very efficient, but representation-ignorant!

mset-set A = mset (sorted-list-of-set A)

apply (*cases finite A*)

apply *simp-all*

apply (*induct A rule: finite-induct*)

apply *simp-all*

done

declare *size-mset* [code]

fun *subset-eq-mset-impl* :: 'a list \Rightarrow 'a list \Rightarrow bool option **where**

subset-eq-mset-impl [] *ys* = *Some (ys \neq [])*

| *subset-eq-mset-impl* (*Cons x xs*) *ys* = (*case List.extract (op = x) ys of*

None \Rightarrow None

| *Some (ys1, -, ys2) \Rightarrow subset-eq-mset-impl xs (ys1 @ ys2)*)

lemma *subset-eq-mset-impl*: (*subset-eq-mset-impl xs ys = None \longleftrightarrow \neg mset xs $\subseteq\#$ mset ys*) \wedge

(*subset-eq-mset-impl xs ys = Some True \longleftrightarrow mset xs $\subset\#$ mset ys*) \wedge

(*subset-eq-mset-impl xs ys = Some False \longrightarrow mset xs = mset ys*)

proof (*induct xs arbitrary: ys*)

case (*Nil ys*)

show ?*case* **by** (*auto simp: subset-mset.zero-less-iff-neq-zero*)

next

case (*Cons x xs ys*)

show ?*case*

proof (*cases List.extract (op = x) ys*)

case *None*

hence *x: x \notin set ys* **by** (*simp add: extract-None-iff*)

{

assume *mset (x # xs) $\subseteq\#$ mset ys*

from *set-mset-mono[OF this] x* **have** *False* **by** *simp*

} **note** *nle = this*

moreover

{

assume *mset (x # xs) $\subset\#$ mset ys*

hence *mset (x # xs) $\subseteq\#$ mset ys* **by** *auto*

from *nle[OF this]* **have** *False* .

}

ultimately show ?*thesis* **using** *None* **by** *auto*

next

case (*Some res*)

obtain *ys1 y ys2* **where** *res: res = (ys1, y, ys2)* **by** (*cases res, auto*)

note *Some = Some[unfolded res]*

from *extract-SomeE[OF Some]* **have** *ys = ys1 @ x # ys2* **by** *simp*

hence *id: mset ys = add-mset x (mset (ys1 @ ys2))*

```

    by auto
  show ?thesis unfolding subset-eq-mset-impl.simps
    unfolding Some option.simps split
    unfolding id
    using Cons[of ys1 @ ys2]
    unfolding subset-mset-def subseteq-mset-def by auto
qed
qed

```

```

lemma [code]: mset xs  $\subseteq\#$  mset ys  $\longleftrightarrow$  subset-eq-mset-impl xs ys  $\neq$  None
  using subset-eq-mset-impl[of xs ys] by (cases subset-eq-mset-impl xs ys, auto)

```

```

lemma [code]: mset xs  $\subset\#$  mset ys  $\longleftrightarrow$  subset-eq-mset-impl xs ys = Some True
  using subset-eq-mset-impl[of xs ys] by (cases subset-eq-mset-impl xs ys, auto)

```

```

instantiation multiset :: (equal) equal
begin

```

```

definition

```

```

  [code del]: HOL.equal A (B :: 'a multiset)  $\longleftrightarrow$  A = B

```

```

lemma [code]: HOL.equal (mset xs) (mset ys)  $\longleftrightarrow$  subset-eq-mset-impl xs ys =
  Some False

```

```

  unfolding equal-multiset-def

```

```

  using subset-eq-mset-impl[of xs ys] by (cases subset-eq-mset-impl xs ys, auto)

```

```

instance

```

```

  by standard (simp add: equal-multiset-def)

```

```

end

```

```

lemma [code]: sum-mset (mset xs) = sum-list xs
  by (induct xs) simp-all

```

```

lemma [code]: prod-mset (mset xs) = fold times xs 1

```

```

proof -

```

```

  have  $\bigwedge x. fold\ times\ xs\ x = prod\ mset\ (mset\ xs) * x$ 

```

```

    by (induct xs) (simp-all add: ac-simps)

```

```

  then show ?thesis by simp

```

```

qed

```

Exercise for the casual reader: add implementations for $op \leq$ and $op <$ (multiset order).

Quickcheck generators

```

definition (in term-syntax)

```

```

  msetify :: 'a::typerep list  $\times$  (unit  $\Rightarrow$  Code-Evaluation.term)

```

```

   $\Rightarrow$  'a multiset  $\times$  (unit  $\Rightarrow$  Code-Evaluation.term) where

```

```

  [code-unfold]: msetify xs = Code-Evaluation.valtermify mset {·} xs

```

notation *fcomp* (**infixl** $\circ > 60$)
notation *scomp* (**infixl** $\circ \rightarrow 60$)

instantiation *multiset* :: (*random*) *random*
begin

definition

Quickcheck-Random.random i = *Quickcheck-Random.random i* $\circ \rightarrow$ ($\lambda xs. \text{Pair}$
(*msetify xs*))

instance ..

end

no-notation *fcomp* (**infixl** $\circ > 60$)
no-notation *scomp* (**infixl** $\circ \rightarrow 60$)

instantiation *multiset* :: (*full-exhaustive*) *full-exhaustive*
begin

definition *full-exhaustive-multiset* :: (*a multiset* \times (*unit* \Rightarrow *term*) \Rightarrow (*bool* \times *term*
list) *option*) \Rightarrow *natural* \Rightarrow (*bool* \times *term list*) *option*

where

full-exhaustive-multiset f i = *Quickcheck-Exhaustive.full-exhaustive* ($\lambda xs. f$ (*msetify*
xs)) *i*

instance ..

end

hide-const (**open**) *msetify*

1.17 BNF setup

definition *rel-mset* **where**

rel-mset R X Y \longleftrightarrow ($\exists xs ys. \text{mset } xs = X \wedge \text{mset } ys = Y \wedge \text{list-all2 } R \text{ } xs \text{ } ys$)

lemma *mset-zip-take-Cons-drop-twice*:

assumes *length xs = length ys j* \leq *length xs*

shows *mset* (*zip* (*take j xs* @ *x* # *drop j xs*) (*take j ys* @ *y* # *drop j ys*)) =
add-mset (*x,y*) (*mset* (*zip xs ys*))

using *assms*

proof (*induct xs ys arbitrary: x y j rule: list-induct2*)

case *Nil*

thus ?*case*

by *simp*

next

case (*Cons x xs y ys*)

thus ?*case*

```

proof (cases j = 0)
  case True
  thus ?thesis
  by simp
next
  case False
  then obtain k where k: j = Suc k
  by (cases j) simp
  hence k ≤ length xs
  using Cons.prem1 by auto
  hence mset (zip (take k xs @ x # drop k xs) (take k ys @ y # drop k ys)) =
    add-mset (x,y) (mset (zip xs ys))
  by (rule Cons.hyps(2))
  thus ?thesis
  unfolding k by auto
qed
qed

lemma ex-mset-zip-left:
  assumes length xs = length ys mset xs' = mset xs
  shows ∃ ys'. length ys' = length xs' ∧ mset (zip xs' ys') = mset (zip xs ys)
using assms
proof (induct xs ys arbitrary: xs' rule: list-induct2)
  case Nil
  thus ?case
  by auto
next
  case (Cons x xs y ys xs')
  obtain j where j-len: j < length xs' and nth-j: xs' ! j = x
  by (metis Cons.prem1 in-set-conv-nth list.set-intros(1) mset-eq-setD)

  define xsa where xsa = take j xs' @ drop (Suc j) xs'
  have mset xs' = {#x#} + mset xsa
  unfolding xsa-def using j-len nth-j
  by (metis Cons-nth-drop-Suc union-mset-add-mset-right add-mset-remove-trivial
    add-diff-cancel-left'
    append-take-drop-id mset.simps(2) mset-append)
  hence ms-x: mset xsa = mset xs
  by (simp add: Cons.prem1)
  then obtain ysa where
    len-a: length ysa = length xsa and ms-a: mset (zip xsa ysa) = mset (zip xs ys)
  using Cons.hyps(2) by blast

  define ys' where ys' = take j ysa @ y # drop j ysa
  have xs': xs' = take j xsa @ x # drop j xsa
  using ms-x j-len nth-j Cons.prem1 xsa-def
  by (metis append-eq-append-conv append-take-drop-id diff-Suc-Suc Cons-nth-drop-Suc
    length-Cons
    length-drop size-mset)

```

have $j\text{-len}' : j \leq \text{length } xs_a$
using $j\text{-len } xs' \text{ } xs_a\text{-def}$
by (*metis add-Suc-right append-take-drop-id length-Cons length-append less-eq-Suc-le not-less*)
have $\text{length } ys' = \text{length } xs'$
unfolding $ys'\text{-def}$ **using** $Cons.\text{prems } len\text{-a } ms\text{-x}$
by (*metis add-Suc-right append-take-drop-id length-Cons length-append mset-eq-length*)
moreover have $mset (\text{zip } xs' \text{ } ys') = mset (\text{zip } (x \# xs) (y \# ys))$
unfolding $xs' \text{ } ys'\text{-def}$
by (*rule trans[OF mset-zip-take-Cons-drop-twice]*)
(auto simp: len-a ms-a j-len')
ultimately show $?case$
by *blast*
qed

lemma *list-all2-reorder-left-invariance*:
assumes $rel : \text{list-all2 } R \text{ } xs \text{ } ys$ **and** $ms\text{-x} : mset \text{ } xs' = mset \text{ } xs$
shows $\exists ys' . \text{list-all2 } R \text{ } xs' \text{ } ys' \wedge mset \text{ } ys' = mset \text{ } ys$
proof –
have $len : \text{length } xs = \text{length } ys$
using $rel \text{ } list\text{-all2-conv-all-nth}$ **by** *auto*
obtain ys' **where**
 $len' : \text{length } xs' = \text{length } ys'$ **and** $ms\text{-xy} : mset (\text{zip } xs' \text{ } ys') = mset (\text{zip } xs \text{ } ys)$
using $len \text{ } ms\text{-x}$ **by** (*metis ex-mset-zip-left*)
have $\text{list-all2 } R \text{ } xs' \text{ } ys'$
using $assms(1) \text{ } len' \text{ } ms\text{-xy}$ **unfolding** $list\text{-all2-iff}$ **by** (*blast dest: mset-eq-setD*)
moreover have $mset \text{ } ys' = mset \text{ } ys$
using $len \text{ } len' \text{ } ms\text{-xy} \text{ } map\text{-snd-zip } mset\text{-map}$ **by** *metis*
ultimately show $?thesis$
by *blast*
qed

lemma $ex\text{-mset} : \exists xs . mset \text{ } xs = X$
by (*induct X*) (*simp, metis mset.simps(2)*)

inductive $pred\text{-mset} :: ('a \Rightarrow bool) \Rightarrow 'a \text{ multiset} \Rightarrow bool$
where
 $pred\text{-mset } P \text{ } \{\#\}$
 $| \llbracket P \text{ } a ; pred\text{-mset } P \text{ } M \rrbracket \Longrightarrow pred\text{-mset } P \text{ } (add\text{-mset } a \text{ } M)$

bnf $'a \text{ multiset}$
 $map : image\text{-mset}$
 $sets : set\text{-mset}$
 $bd : natLeq$
 $wits : \{\#\}$
 $rel : rel\text{-mset}$
 $pred : pred\text{-mset}$
proof –
show $image\text{-mset } id = id$

```

    by (rule image-mset.id)
  show image-mset (g ∘ f) = image-mset g ∘ image-mset f for f g
    unfolding comp-def by (rule ext) (simp add: comp-def image-mset.compositionality)
  show (∧z. z ∈ set-mset X ⇒ f z = g z) ⇒ image-mset f X = image-mset g
X for f g X
    by (induct X) simp-all
  show set-mset ∘ image-mset f = op ' f ∘ set-mset for f
    by auto
  show card-order natLeq
    by (rule natLeq-card-order)
  show BNF-Cardinal-Arithmetic.cinfinite natLeq
    by (rule natLeq-cinfinite)
  show ordLeq3 (card-of (set-mset X)) natLeq for X
    by transfer
      (auto intro!: ordLess-imp-ordLeq simp: finite-iff-ordLess-natLeq[symmetric]
multiset-def)
  show rel-mset R OO rel-mset S ≤ rel-mset (R OO S) for R S
    unfolding rel-mset-def[abs-def] OO-def
    apply clarify
    subgoal for X Z Y xs ys' ys zs
      apply (drule list-all2-reorder-left-invariance [where xs = ys' and ys = zs
and xs' = ys])
      apply (auto intro: list-all2-trans)
    done
  done
  show rel-mset R =
    (λx y. ∃z. set-mset z ⊆ {(x, y). R x y} ∧
image-mset fst z = x ∧ image-mset snd z = y) for R
    unfolding rel-mset-def[abs-def]
    apply (rule ext)+
    apply safe
    apply (rule-tac x = mset (zip xs ys) in exI;
      auto simp: in-set-zip list-all2-iff mset-map[symmetric])
    apply (rename-tac XY)
    apply (cut-tac X = XY in ex-mset)
    apply (erule exE)
    apply (rename-tac xys)
    apply (rule-tac x = map fst xys in exI)
    apply (auto simp: mset-map)
    apply (rule-tac x = map snd xys in exI)
    apply (auto simp: mset-map list-all2I subset-eq zip-map-fst-snd)
  done
  show z ∈ set-mset {#} ⇒ False for z
    by auto
  show pred-mset P = (λx. Ball (set-mset x) P) for P
  proof (intro ext iffI)
    fix x
    assume pred-mset P x
    then show Ball (set-mset x) P by (induct pred: pred-mset; simp)

```

```

next
  fix x
  assume Ball (set-mset x) P
  then show pred-mset P x by (induct x; auto intro: pred-mset.intros)
qed
qed

inductive rel-mset'
where
  Zero[intro]: rel-mset' R {#} {#}
| Plus[intro]: [[R a b; rel-mset' R M N]] ==> rel-mset' R (add-mset a M) (add-mset
b N)

lemma rel-mset-Zero: rel-mset R {#} {#}
unfolding rel-mset-def Grp-def by auto

declare multiset.count[simp]
declare Abs-multiset-inverse[simp]
declare multiset.count-inverse[simp]
declare union-preserves-multiset[simp]

lemma rel-mset-Plus:
  assumes ab: R a b
  and MN: rel-mset R M N
  shows rel-mset R (add-mset a M) (add-mset b N)
proof -
  have  $\exists ya. \text{add-mset } a \text{ (image-mset fst } y) = \text{image-mset fst } ya \wedge$ 
     $\text{add-mset } b \text{ (image-mset snd } y) = \text{image-mset snd } ya \wedge$ 
     $\text{set-mset } ya \subseteq \{(x, y). R x y\}$ 
  if R a b and  $\text{set-mset } y \subseteq \{(x, y). R x y\}$  for y
  using that by (intro exI[of - add-mset (a,b) y]) auto
  thus ?thesis
  using assms
  unfolding multiset.rel-compp-Grp Grp-def by blast
qed

lemma rel-mset'-imp-rel-mset: rel-mset' R M N ==> rel-mset R M N
  by (induct rule: rel-mset'.induct) (auto simp: rel-mset-Zero rel-mset-Plus)

lemma rel-mset-size: rel-mset R M N ==> size M = size N
  unfolding multiset.rel-compp-Grp Grp-def by auto

lemma multiset-induct2[case-names empty addL addR]:
  assumes empty: P {#} {#}
  and addL:  $\bigwedge a M N. P M N \implies P \text{ (add-mset } a M) N$ 
  and addR:  $\bigwedge a M N. P M N \implies P M \text{ (add-mset } a N)$ 
  shows P M N
apply(induct N rule: multiset-induct)
apply(induct M rule: multiset-induct, rule empty, erule addL)

```

```

apply(induct M rule: multiset-induct, erule addR, erule addR)
done

lemma multiset-induct2-size[consumes 1, case-names empty add]:
  assumes c: size M = size N
    and empty: P {#} {#}
    and add:  $\bigwedge a b M N a b. P M N \implies P (add-mset a M) (add-mset b N)$ 
  shows P M N
  using c
proof (induct M arbitrary: N rule: measure-induct-rule[of size])
  case (less M)
  show ?case
  proof(cases M = {#})
    case True hence N = {#} using less.prems by auto
    thus ?thesis using True empty by auto
  next
    case False then obtain M1 a where M: M = add-mset a M1 by (metis
multi-nonempty-split)
    have N  $\neq$  {#} using False less.prems by auto
    then obtain N1 b where N: N = add-mset b N1 by (metis multi-nonempty-split)
    have size M1 = size N1 using less.prems unfolding M N by auto
    thus ?thesis using M N less.hyps add by auto
  qed
qed

lemma msed-map-invL:
  assumes image-mset f (add-mset a M) = N
  shows  $\exists N1. N = add-mset (f a) N1 \wedge image-mset f M = N1$ 
proof –
  have f a  $\in$  # N
    using assms multiset.set-map[of f add-mset a M] by auto
  then obtain N1 where N: N = add-mset (f a) N1 using multi-member-split
by metis
  have image-mset f M = N1 using assms unfolding N by simp
  thus ?thesis using N by blast
qed

lemma msed-map-invR:
  assumes image-mset f M = add-mset b N
  shows  $\exists M1 a. M = add-mset a M1 \wedge f a = b \wedge image-mset f M1 = N$ 
proof –
  obtain a where a: a  $\in$  # M and fa: f a = b
    using multiset.set-map[of f M] unfolding assms
    by (metis image-iff union-single-eq-member)
  then obtain M1 where M: M = add-mset a M1 using multi-member-split by
metis
  have image-mset f M1 = N using assms unfolding M fa[symmetric] by simp
  thus ?thesis using M fa by blast
qed

```

lemma *msed-rel-invL*:
assumes *rel-mset R (add-mset a M) N*
shows $\exists N1\ b. N = \text{add-mset } b\ N1 \wedge R\ a\ b \wedge \text{rel-mset } R\ M\ N1$
proof –
obtain *K* **where** *KM: image-mset fst K = add-mset a M*
and *KN: image-mset snd K = N* **and** *sK: set-mset K \subseteq {(a, b). R a b}*
using *assms*
unfolding *multiset.rel-compp-Grp Grp-def* **by** *auto*
obtain *K1 ab* **where** *K: K = add-mset ab K1* **and** *a: fst ab = a*
and *K1M: image-mset fst K1 = M* **using** *msed-map-invR[OF KM]* **by** *auto*
obtain *N1* **where** *N: N = add-mset (snd ab) N1* **and** *K1N1: image-mset snd K1 = N1*
using *msed-map-invL[OF KN[unfolded K]]* **by** *auto*
have *Rab: R a (snd ab)* **using** *sK a* **unfolding** *K* **by** *auto*
have *rel-mset R M N1* **using** *sK K1M K1N1*
unfolding *K multiset.rel-compp-Grp Grp-def* **by** *auto*
thus *?thesis* **using** *N Rab* **by** *auto*
qed

lemma *msed-rel-invR*:
assumes *rel-mset R M (add-mset b N)*
shows $\exists M1\ a. M = \text{add-mset } a\ M1 \wedge R\ a\ b \wedge \text{rel-mset } R\ M1\ N$
proof –
obtain *K* **where** *KN: image-mset snd K = add-mset b N*
and *KM: image-mset fst K = M* **and** *sK: set-mset K \subseteq {(a, b). R a b}*
using *assms*
unfolding *multiset.rel-compp-Grp Grp-def* **by** *auto*
obtain *K1 ab* **where** *K: K = add-mset ab K1* **and** *b: snd ab = b*
and *K1N: image-mset snd K1 = N* **using** *msed-map-invR[OF KN]* **by** *auto*
obtain *M1* **where** *M: M = add-mset (fst ab) M1* **and** *K1M1: image-mset fst K1 = M1*
using *msed-map-invL[OF KM[unfolded K]]* **by** *auto*
have *Rab: R (fst ab) b* **using** *sK b* **unfolding** *K* **by** *auto*
have *rel-mset R M1 N* **using** *sK K1N K1M1*
unfolding *K multiset.rel-compp-Grp Grp-def* **by** *auto*
thus *?thesis* **using** *M Rab* **by** *auto*
qed

lemma *rel-mset-imp-rel-mset'*:
assumes *rel-mset R M N*
shows *rel-mset' R M N*
using *assms* **proof**(*induct M arbitrary: N rule: measure-induct-rule[of size]*)
case (*less M*)
have *c: size M = size N* **using** *rel-mset-size[OF less.premis]* .
show *?case*
proof(*cases M = {#}*)
case *True* **hence** *N = {#}* **using** *c* **by** *simp*
thus *?thesis* **using** *True rel-mset'.Zero* **by** *auto*

```

next
  case False then obtain M1 a where M: M = add-mset a M1 by (metis
multi-nonempty-split)
  obtain N1 b where N: N = add-mset b N1 and R: R a b and ms: rel-mset
R M1 N1
  using mset-rel-invL[OF less.premis[unfolded M]] by auto
  have rel-mset' R M1 N1 using less.hyps[of M1 N1] ms unfolding M by simp
  thus ?thesis using rel-mset'.Plus[of R a b, OF R] unfolding M N by simp
qed
qed

```

```

lemma rel-mset-rel-mset': rel-mset R M N = rel-mset' R M N
  using rel-mset-imp-rel-mset' rel-mset'-imp-rel-mset by auto

```

The main end product for *rel-mset*: inductive characterization:

```

lemmas rel-mset-induct[case-names empty add, induct pred: rel-mset] =
  rel-mset'.induct[unfolded rel-mset-rel-mset'[symmetric]]

```

1.18 Size setup

```

lemma multiset-size-o-map:
  size-multiset g o image-mset f = size-multiset (g o f)
apply (rule ext)
subgoal for x by (induct x) auto
done

```

```

setup <
  BNF-LFP-Size.register-size-global @{type-name multiset} @{const-name size-multiset}
  @{thm size-multiset-overloaded-def}
  @{thms size-multiset-empty size-multiset-single size-multiset-union size-empty
size-single
size-union}
  @{thms multiset-size-o-map}
>

```

```

hide-const (open) wcount

```

```

end

```

2 Additions to Isabelle's Main Theories

```

theory Additions-to-Main
imports ~~/src/HOL/Library/Multiset
begin

```

2.1 Addition to Finite-Set Theory

```

lemma bound-domain-and-range-impl-finitely-many-functions:
  finite {f::nat=>nat. (∀ i. f i ≤ n) ∧ (∀ i ≥ m. f i = 0)}

```

```

proof (induct m)
  case 0
  have eq: {f. (∀ i. f i ≤ n) ∧ (∀ i. f i = 0)} = {(λ-. 0)} by auto
  from this show ?case by auto (subst eq; auto)
next
  case (Suc m)
  let ?S = (λ(y, f). f(m := y)) ‘ ({0..n} × {f. (∀ i. f i ≤ n) ∧ (∀ i ≥ m. f i = 0)})
  {
    fix g
    assume ∀ i. g i ≤ n ∧ i ≥ Suc m. g i = 0
    from this have g ∈ ?S
    by (auto intro: image-eqI[where x=(g m, g(m:=0))])
  }
  from this have {f. (∀ i. f i ≤ n) ∧ (∀ i ≥ Suc m. f i = 0)} = ?S by auto
  from this Suc show ?case by simp
qed

```

2.2 Additions to Groups-Big Theory

lemma *sum-card-image*:

```

assumes finite A
assumes ∀ s ∈ A. ∀ t ∈ A. s ≠ t ⟶ (f s) ∩ (f t) = {}
shows sum card (f ‘ A) = sum (λ a. card (f a)) A
using assms
proof (induct A)
  case empty
  from this show ?case by simp
next
  case (insert a A)
  show ?case
  proof cases
    assume f a = {}
    from this insert show ?case
    by (subst sum.mono-neutral-right[where S=f ‘ A]) auto
  next
    assume f a ≠ {}
    from this have sum card (insert (f a) (f ‘ A)) = card (f a) + sum card (f ‘ A)
    using insert by (subst sum.insert) auto
    from this insert show ?case by simp
  qed
qed

```

lemma *card-Union-image*:

```

assumes finite S
assumes ∀ s ∈ f ‘ S. finite s
assumes ∀ s ∈ S. ∀ t ∈ S. s ≠ t ⟶ (f s) ∩ (f t) = {}
shows card (∪ (f ‘ S)) = sum (λ x. card (f x)) S
proof –

```

have $\forall A \in f' S. \forall B \in f' S. A \neq B \longrightarrow A \cap B = \{\}$
using *assms*(3) **by** (*metis image-iff*)
from *this* **have** $\text{card } (\bigcup (f' S)) = \text{sum card } (f' S)$
using *assms*(1, 2) **by** (*subst card-Union-disjoint*) *auto*
also have $\dots = \text{sum } (\lambda x. \text{card } (f x)) S$
using *assms*(1, 3) **by** (*auto simp add: sum-card-image*)
finally show *?thesis* .
qed

2.3 Addition to Set-Interval Theory

lemma *sum-atMost-remove-nat*:
assumes $k \leq (n :: \text{nat})$
shows $(\sum_{i \leq n} f i) = f k + (\sum_{i \in \{..n\} - \{k\}} f i)$
using *assms* **by** (*auto simp add: sum.remove[where x=k]*)

2.4 Additions to Multiset Theory

lemma *set-mset-Abs-multiset*:
assumes $f \in \text{multiset}$
shows $\text{set-mset } (\text{Abs-multiset } f) = \{x. f x > 0\}$
using *assms* **unfolding** *set-mset-def* **by** *simp*

lemma *sum-mset-sum-count*:
 $\text{sum-mset } M = (\sum_{i \in \text{set-mset } M} \text{count } M i * i)$
proof (*induct M*)
show $\text{sum-mset } \{\#\} = (\sum_{i \in \text{set-mset } \{\#\}} \text{count } \{\#\} i * i)$ **by** *simp*
next
fix $M x$
assume *hyp*: $\text{sum-mset } M = (\sum_{i \in \text{set-mset } M} \text{count } M i * i)$
show $\text{sum-mset } (\text{add-mset } x M) = (\sum_{i \in \text{set-mset } (\text{add-mset } x M)} \text{count } (\text{add-mset } x M) i * i)$
proof (*cases x ∈# M*)
assume $a: \neg x \in\# M$
from *this* **have** $\text{count } M x = 0$ **by** (*meson count-inI*)
from $\langle \neg x \in\# M \rangle$ *this hyp* **show** *?thesis*
by (*auto intro!: sum.cong*)
next
assume $x \in\# M$
have $\text{sum-mset } (\text{add-mset } x M) = (\sum_{i \in \text{set-mset } M} \text{count } M i * i) + x$
using *hyp* **by** *simp*
also have $\dots = (\sum_{i \in \text{set-mset } M - \{x\}} \text{count } M i * i) + \text{count } M x * x + x$
using $\langle x \in\# M \rangle$ **by** (*simp add: sum.remove[of - x]*)
also have $\dots = \text{count } (\text{add-mset } x M) x * x + (\sum_{i \in \text{set-mset } (\text{add-mset } x M) - \{x\}} \text{count } (\text{add-mset } x M) i * i)$
by *simp*
also have $\dots = (\sum_{i \in \text{set-mset } (\text{add-mset } x M)} \text{count } (\text{add-mset } x M) i * i)$
using $\langle x \in\# M \rangle$ **by** (*simp add: sum.remove[of - x]*)
finally show *?thesis* .
qed

qed

lemma *sum-mset-eq-sum-on-supersets*:

assumes *finite A set-mset M ⊆ A*

shows $(\sum i \in \text{set-mset } M. \text{count } M \ i * i) = (\sum i \in A. \text{count } M \ i * i)$

proof –

note $\langle \text{finite } A \rangle \langle \text{set-mset } M \subseteq A \rangle$

moreover have $\forall i \in A - \text{set-mset } M. \text{count } M \ i * i = 0$

using *count-inI* **by** *fastforce*

ultimately show *?thesis*

by (*auto intro: sum.mono-neutral-cong-left*)

qed

end

3 Number Partitions

theory *Number-Partition*

imports *Additions-to-Main*

begin

3.1 Number Partitions as $\text{nat} \Rightarrow \text{nat}$ Functions

definition *partitions* :: $(\text{nat} \Rightarrow \text{nat}) \Rightarrow \text{nat} \Rightarrow \text{bool}$ (**infix** *partitions* 50)

where

$p \text{ partitions } n = ((\forall i. p \ i \neq 0 \longrightarrow 1 \leq i \wedge i \leq n) \wedge (\sum i \leq n. p \ i * i) = n)$

lemma *partitionsI*:

assumes $\bigwedge i. p \ i \neq 0 \implies 1 \leq i \wedge i \leq n$

assumes $(\sum i \leq n. p \ i * i) = n$

shows $p \text{ partitions } n$

using *assms* **unfolding** *partitions-def* **by** *auto*

lemma *partitionsE*:

assumes $p \text{ partitions } n$

obtains $\bigwedge i. p \ i \neq 0 \implies 1 \leq i \wedge i \leq n \ (\sum i \leq n. p \ i * i) = n$

using *assms* **unfolding** *partitions-def* **by** *auto*

lemma *partitions-zero*:

$p \text{ partitions } 0 \iff p = (\lambda i. 0)$

unfolding *partitions-def* **by** *auto*

lemma *partitions-one*:

$p \text{ partitions } (\text{Suc } 0) \iff p = (\lambda i. 0)(1 := 1)$

unfolding *partitions-def*

by (*auto split: if-split-asm*) (*auto simp add: fun-eq-iff*)

3.2 Bounds and Finiteness of Number Partitions

lemma *partitions-imp-finite-elements*:

assumes p partitions n

shows finite $\{i. 0 < p\ i\}$

proof –

from *assms* **have** $\{i. 0 < p\ i\} \subseteq \{..n\}$ **by** (*auto elim: partitionsE*)

from *this* **show** *?thesis*

using *rev-finite-subset* **by** *blast*

qed

lemma *partitions-imp-multiset*:

assumes p partitions n

shows $p \in$ multiset

using *assms partitions-imp-finite-elements multiset-def* **by** *auto*

lemma *partitions-bounds*:

assumes p partitions n

shows $p\ i \leq n$

proof –

from *assms* **have** *index-bounds*: $(\forall i. p\ i \neq 0 \longrightarrow 1 \leq i \wedge i \leq n)$

and *sum*: $(\sum_{i \leq n}. p\ i * i) = n$

unfolding *partitions-def* **by** *auto*

show *?thesis*

proof (*cases* $1 \leq i \wedge i \leq n$)

case *True*

from *True* **have** $\{..n\} =$ insert i $\{i'. i' \leq n \wedge i' \neq i\}$ **by** *blast*

from *sum[unfolded this]* **have** $p\ i * i + (\sum_{i \in \{i'. i' \leq n \wedge i' \neq i\}}. p\ i * i) =$
 n **by** *auto*

from *this* **have** $p\ i * i \leq n$ **by** *linarith*

from *this True* **show** *?thesis* **using** *dual-order.trans* **by** *fastforce*

next

case *False*

from *this index-bounds* **show** *?thesis* **by** *fastforce*

qed

qed

lemma *partitions-parts-bounded*:

assumes p partitions n

shows $\text{sum } p\ \{..n\} \leq n$

proof –

{

fix i

assume $i \leq n$

from *assms* **have** $p\ i \leq p\ i * i$

by (*auto elim!: partitionsE*)

}

from *this* **have** $\text{sum } p\ \{..n\} \leq (\sum_{i \leq n}. p\ i * i)$

by (*auto intro: sum-mono*)

also from *assms* **have** $n: (\sum_{i \leq n}. p\ i * i) = n$

by (auto elim!: partitionsE)
 finally show ?thesis .
 qed

lemma finite-partitions:
 finite {p. p partitions n}

proof –
 have {p. p partitions n} \subseteq {f. ($\forall i. f i \leq n$) \wedge ($\forall i. n + 1 \leq i \longrightarrow f i = 0$)}
 by (auto elim: partitions-bounds) (auto simp add: partitions-def)
 from this bound-domain-and-range-impl-finitely-many-functions[of n n + 1] show
 ?thesis
 by (simp add: finite-subset)
 qed

lemma finite-partitions-k-parts:
 finite {p. p partitions n \wedge sum p {..n} = k}
 by (simp add: finite-partitions)

lemma partitions-remaining-Max-part:

assumes p partitions n
 assumes 0 < p k
 shows $\forall i. n - k < i \wedge i \neq k \longrightarrow p i = 0$
 proof (clarify)
 fix i
 assume n - k < i i \neq k
 show p i = 0
 proof (cases i \leq n)
 assume i \leq n
 from assms have n: ($\sum i \leq n. p i * i$) = n and k \leq n
 by (auto elim: partitionsE)
 have ($\sum i \leq n. p i * i$) = p k * k + ($\sum i \in \{..n\} - \{k\}. p i * i$)
 using <k \leq n> sum-atMost-remove-nat by blast
 also have ... = p i * i + p k * k + ($\sum i \in \{..n\} - \{i, k\}. p i * i$)
 using <i \leq n> <i \neq k>
 by (auto simp add: sum.remove[where x=i]) (metis Diff-insert)
 finally have eq: ($\sum i \leq n. p i * i$) = p i * i + p k * k + ($\sum i \in \{..n\} - \{i, k\}. p i * i$).
 show p i = 0
 proof (rule ccontr)
 assume p i \neq 0
 have upper-bound: p i * i + p k * k \leq n
 using eq n by auto
 have lower-bound: p i * i + p k * k > n
 using <n - k < i> <0 < p k> <k \leq n> <p i \neq 0> mult-eq-if-not-less by auto
 from upper-bound lower-bound show False by simp
 qed
 next
 assume \neg (i \leq n)
 from this show p i = 0

```

    using assms(1) by (auto elim: partitionsE)
  qed
qed

```

3.3 Operations of Number Partitions

```

lemma partitions-remove1-bounds:
  assumes partitions: p partitions n
  assumes gr0:  $0 < p \ k$ 
  assumes neq:  $(p(k := p \ k - 1)) \ i \neq 0$ 
  shows  $1 \leq i \wedge i \leq n - k$ 
proof
  from partitions neq show  $1 \leq i$ 
    by (auto elim!: partitionsE split: if-split-asm)
next
  from partitions gr0 have  $n: (\sum_{i \leq n}. p \ i * i) = n$  and  $k \leq n$ 
    by (auto elim: partitionsE)
  show  $i \leq n - k$ 
  proof cases
    assume  $k \leq n - k$ 
    from  $\langle k \leq n - k \rangle$  neq show ?thesis
      using partitions-remaining-Max-part[OF partitions gr0] not-le by force
  next
    assume  $\neg k \leq n - k$ 
    from this have  $2 * k > n$  by auto
    have  $p \ k = 1$ 
    proof (rule ccontr)
      assume  $p \ k \neq 1$ 
      with gr0 have  $p \ k \geq 2$  by auto
      from this have  $p \ k * k \geq 2 * k$  by simp
      with  $\langle 2 * k > n \rangle$  have  $p \ k * k > n$  by linarith
      from  $\langle k \leq n \rangle$  this have  $(\sum_{i \leq n}. p \ i * i) > n$ 
        by (simp add: sum-atMost-remove-nat[of k])
      from this n show False by auto
    qed
    from neq this show ?thesis
      using partitions-remaining-Max-part[OF partitions gr0] leI
        by (auto split: if-split-asm) force
  qed
qed

```

```

lemma partitions-remove1:
  assumes partitions: p partitions n
  assumes gr0:  $0 < p \ k$ 
  shows  $p(k := p \ k - 1) \text{ partitions } (n - k)$ 
proof (rule partitionsI)
  fix i
  assume  $(p(k := p \ k - 1)) \ i \neq 0$ 
  from this show  $1 \leq i \wedge i \leq n - k$  using partitions-remove1-bounds partitions

```

$gr0$ by *blast*
next
from *partitions* $gr0$ **have** $k \leq n$ **by** (*auto elim: partitionsE*)
have $(\sum_{i \leq n - k}. (p(k := p\ k - 1))\ i * i) = (\sum_{i \leq n}. (p(k := p\ k - 1))\ i * i)$
using *partitions-remove1-bounds* *partitions* $gr0$ **by** (*auto intro!: sum.mono-neutral-left*)
also have $\dots = (p\ k - 1) * k + (\sum_{i \in \{..n\} - \{k\}}. (p(k := p\ k - 1))\ i * i)$
using $\langle k \leq n \rangle$ **by** (*simp add: sum-atMost-remove-nat[where k=k]*)
also have $\dots = p\ k * k + (\sum_{i \in \{..n\} - \{k\}}. p\ i * i) - k$
using $gr0$ **by** (*simp add: diff-mult-distrib*)
also have $\dots = (\sum_{i \leq n}. p\ i * i) - k$
using $\langle k \leq n \rangle$ **by** (*simp add: sum-atMost-remove-nat[of k]*)
also from *partitions* **have** $\dots = n - k$
by (*auto elim: partitionsE*)
finally show $(\sum_{i \leq n - k}. (p(k := p\ k - 1))\ i * i) = n - k$.
qed

lemma *partitions-insert1*:
assumes p : p *partitions* n
assumes $k > 0$
shows $(p(k := p\ k + 1))$ *partitions* $(n + k)$
proof (*rule partitionsI*)
fix i
assume $(p(k := p\ k + 1))\ i \neq 0$
from p *this* $\langle k > 0 \rangle$ **show** $1 \leq i \wedge i \leq n + k$
by (*auto elim!: partitionsE*)
next
have $(\sum_{i \leq n + k}. (p(k := p\ k + 1))\ i * i) = p\ k * k + (\sum_{i \in \{..n + k\} - \{k\}}. p\ i * i) + k$
by (*simp add: sum-atMost-remove-nat[of k]*)
also have $\dots = p\ k * k + (\sum_{i \in \{..n\} - \{k\}}. p\ i * i) + k$
using p **by** (*auto intro!: sum.mono-neutral-right elim!: partitionsE*)
also have $\dots = (\sum_{i \leq n}. p\ i * i) + k$
using p **by** (*cases k ≤ n*) (*auto simp add: sum-atMost-remove-nat[of k] elim: partitionsE*)
also have $\dots = n + k$
using p **by** (*auto elim: partitionsE*)
finally show $(\sum_{i \leq n + k}. (p(k := p\ k + 1))\ i * i) = n + k$.
qed

lemma *count-remove1*:
assumes p *partitions* n
assumes $0 < p\ k$
shows $(\sum_{i \leq n - k}. (p(k := p\ k - 1))\ i) = (\sum_{i \leq n}. p\ i) - 1$
proof –
have $k \leq n$ **using** *assms* **by** (*auto elim: partitionsE*)
have $(\sum_{i \leq n - k}. (p(k := p\ k - 1))\ i) = (\sum_{i \leq n}. (p(k := p\ k - 1))\ i)$
using *partitions-remove1-bounds* *assms* **by** (*auto intro!: sum.mono-neutral-left*)
also have $(\sum_{i \leq n}. (p(k := p\ k - 1))\ i) = p\ k + (\sum_{i \in \{..n\} - \{k\}}. p\ i) - 1$
using $\langle 0 < p\ k \rangle$ $\langle k \leq n \rangle$ **by** (*simp add: sum-atMost-remove-nat[of k]*)

also have $\dots = (\sum_{i \in \{..n\}} p\ i) - 1$
using $\langle k \leq n \rangle$ **by** (*simp add: sum-atMost-remove-nat[of k]*)
finally show ?thesis .
qed

lemma *count-insert1*:

assumes p partitions n
shows $sum\ (p(k := p\ k + 1))\ \{..n + k\} = (\sum_{i \leq n} p\ i) + 1$
proof –
have $(\sum_{i \leq n + k} (p(k := p\ k + 1))\ i) = p\ k + (\sum_{i \in \{..n + k\} - \{k\}} p\ i)$
 $+ 1$
by (*simp add: sum-atMost-remove-nat[of k]*)
also have $\dots = p\ k + (\sum_{i \in \{..n\} - \{k\}} p\ i) + 1$
using *assms* **by** (*auto intro!: sum.mono-neutral-right elim!: partitionsE*)
also have $\dots = (\sum_{i \leq n} p\ i) + 1$
using *assms* **by** (*cases k ≤ n*) (*auto simp add: sum-atMost-remove-nat[of k]*
elim: partitionsE)
finally show ?thesis .
qed

lemma *partitions-decrease1*:

assumes p : p partitions m
assumes *sum*: $sum\ p\ \{..m\} = k$
assumes $p\ 1 = 0$
shows $(\lambda i. p\ (i + 1))$ partitions $m - k$
proof –
from p **have** $p\ 0 = 0$ **by** (*auto elim!: partitionsE*)
{
fix i
assume *neg*: $p\ (i + 1) \neq 0$
from p **this** $\langle p\ 1 = 0 \rangle$ **have** $1 \leq i$
by (*fastforce elim!: partitionsE simp add: le-Suc-eq*)
moreover have $i \leq m - k$
proof (*rule ccontr*)
assume *i-greater*: $\neg i \leq m - k$
from p **have** s : $(\sum_{i \leq m} p\ i * i) = m$
by (*auto elim!: partitionsE*)
from p **sum have** $k \leq m$
using *partitions-parts-bounded* **by** *fastforce*
from *neg p* **have** $i + 1 \leq m$ **by** (*auto elim!: partitionsE*)
from *i-greater* **have** $i > m - k$ **by** *simp*
have *ineq1*: $i + 1 > (m - k) + 1$
using *i-greater* **by** *simp*
have *ineq21*: $(\sum_{j \leq m} (p(i + 1 := p\ (i + 1) - 1))\ j * j) \geq (\sum_{j \leq m} (p(i$
 $+ 1 := p\ (i + 1) - 1))\ j)$
using $\langle p\ 0 = 0 \rangle$ *not-less* **by** (*fastforce intro!: sum-mono*)
have *ineq22a*: $(\sum_{j \leq m} (p(i + 1 := p\ (i + 1) - 1))\ j) = (\sum_{j \leq m} p\ j) - 1$
using $\langle i + 1 \leq m \rangle$ *neg* **by** (*simp add: sum.remove[where x=i + 1]*)
have *ineq22*: $(\sum_{j \leq m} (p(i + 1 := p\ (i + 1) - 1))\ j) \geq k - 1$

```

    using sum neq ineq22a by auto
    have ineq2:  $(\sum_{j \leq m}. (p(i + 1 := p(i + 1) - 1)) j * j) \geq k - 1$ 
    using ineq21 ineq22 by auto
    have  $(\sum_{i \leq m}. p i * i) = p(i + 1) * (i + 1) + (\sum_{i \in \{..m\} - \{i + 1\}}. p i$ 
* i)
    using  $\langle i + 1 \leq m \rangle$  neq
    by (subst sum.remove[where x=i + 1]) auto
    also have ... =  $(i + 1) + (\sum_{j \leq m}. (p(i + 1 := p(i + 1) - 1)) j * j)$ 
    using  $\langle i + 1 \leq m \rangle$  neq
    by (subst sum.remove[where x=i + 1 and g= $\lambda j. (p(i + 1 := p(i + 1) - 1)) j * j$ ])
    (auto simp add: mult-eq-iff)
    finally have  $(\sum_{i \leq m}. p i * i) = i + 1 + (\sum_{j \leq m}. (p(i + 1 := p(i + 1) - 1)) j * j)$  .
    moreover have ... > m using ineq1 ineq2  $\langle k \leq m \rangle \langle p(i + 1) \neq 0 \rangle$  by
linarith
    ultimately have  $(\sum_{i \leq m}. p i * i) > m$  by simp
    from s this show False by simp
  qed
  ultimately have  $1 \leq i \wedge i \leq m - k$  ..
} note bounds = this
show  $(\lambda i. p(i + 1))$  partitions m - k
proof (rule partitionsI)
  fix i
  assume  $p(i + 1) \neq 0$ 
  from bounds this show  $1 \leq i \wedge i \leq m - k$  .
next
have geq:  $\forall i. p i * i \geq p i$ 
  using  $\langle p 0 = 0 \rangle$  not-less by fastforce
have  $(\sum_{i \leq m - k}. p(i + 1) * i) = (\sum_{i \leq m}. p(i + 1) * i)$ 
  using bounds by (auto intro: sum.mono-neutral-left)
also have ... =  $(\sum_{i \in \text{Suc } \{..m\}}. p i * (i - 1))$ 
  by (auto simp add: sum.reindex)
also have ... =  $(\sum_{i \leq \text{Suc } m}. p i * (i - 1))$ 
  using  $\langle p 0 = 0 \rangle$  by (simp add: Iic-Suc-eq-insert-0 zero-notin-Suc-image)
also have ... =  $(\sum_{i \leq m}. p i * (i - 1))$ 
  using p by (auto elim!: partitionsE)
also have ... =  $(\sum_{i \leq m}. p i * i - p i)$ 
  by (simp add: diff-mult-distrib2)
also have ... =  $(\sum_{i \leq m}. p i * i) - (\sum_{i \leq m}. p i)$ 
  using geq by (simp only: sum-subtractf-nat)
also have ... = m - k using sum p by (auto elim!: partitionsE)
finally show  $(\sum_{i \leq m - k}. p(i + 1) * i) = m - k$  .
qed
qed

lemma partitions-increase1:
  assumes partitions: p partitions m - k
  assumes k: sum p  $\{..m - k\} = k$ 

```

shows $(\lambda i. p (i - 1))$ *partitions* m
proof (*rule partitionsI*)
fix i
assume $p (i - 1) \neq 0$
from *partitions this k* **show** $1 \leq i \wedge i \leq m$
by (*cases k*) (*auto elim!:* *partitionsE*)
next
from k *partitions* **have** $k \leq m$
using *linear partitions-zero* **by** *force*
have $eq-0: \forall i > m - k. p i = 0$ **using** *partitions* **by** (*auto elim!:* *partitionsE*)
from *partitions* **have** $s: (\sum i \leq m - k. p i * i) = m - k$ **by** (*auto elim!:* *partitionsE*)
have $(\sum i \leq m. p (i - 1) * i) = (\sum i \leq \text{Suc } m. p (i - 1) * i)$
using *partitions k* **by** (*cases k*) (*auto elim!:* *partitionsE*)
also have $(\sum i \leq \text{Suc } m. p (i - 1) * i) = (\sum i \leq m. p i * (i + 1))$
by (*subst sum-atMost-Suc-shift*) *simp*
also have $\dots = (\sum i \leq m - k. p i * (i + 1))$
using $eq-0$ **by** (*auto intro:* *sum.mono-neutral-right*)
also have $\dots = (\sum i \leq m - k. p i * i) + (\sum i \leq m - k. p i)$ **by** (*simp add:* *sum.distrib*)
also have $\dots = m - k + k$ **using** s *k* **by** *simp*
also have $\dots = m$ **using** $k \leq m$ **by** *simp*
finally show $(\sum i \leq m. p (i - 1) * i) = m$.
qed

lemma *count-decrease1:*
assumes $p: p$ *partitions* m
assumes $sum: sum\ p\ \{..m\} = k$
assumes $p\ 1 = 0$
shows $sum\ (\lambda i. p\ (i + 1))\ \{..m - k\} = k$
proof -
from p **have** $p\ 0 = 0$ **by** (*auto elim!:* *partitionsE*)
have $sum\ (\lambda i. p\ (i + 1))\ \{..m - k\} = sum\ (\lambda i. p\ (i + 1))\ \{..m\}$
using *partitions-decrease1[OF assms]*
by (*auto intro:* *sum.mono-neutral-left elim!:* *partitionsE*)
also have $\dots = sum\ (\lambda i. p\ (i + 1))\ \{0..m\}$ **by** (*simp add:* *atLeast0AtMost*)
also have $\dots = sum\ (\lambda i. p\ i)\ \{\text{Suc } 0.. \text{Suc } m\}$
by (*simp only:* *One-nat-def add-Suc-right add-0-right sum-shift-bounds-cl-Suc-ivl*)
also have $\dots = sum\ (\lambda i. p\ i)\ \{.. \text{Suc } m\}$
using $\langle p\ 0 = 0 \rangle$ **by** (*simp add:* *atLeast0AtMost sum-shift-lb-Suc0-0*)
also have $\dots = sum\ (\lambda i. p\ i)\ \{.. m\}$
using p **by** (*auto elim!:* *partitionsE*)
also have $\dots = k$
using sum **by** *simp*
finally show *?thesis* .
qed

lemma *count-increase1:*
assumes *partitions:* p *partitions* $m - k$

```

assumes  $k: \text{sum } p \{..m - k\} = k$ 
shows  $(\sum_{i \leq m}. p (i - 1)) = k$ 
proof -
  have  $p \ 0 = 0$  using partitions by (auto elim!: partitionsE)
  have  $(\sum_{i \leq m}. p (i - 1)) = (\sum_{i \in \{1..m\}}. p (i - 1))$ 
    using  $\langle p \ 0 = 0 \rangle$  by (auto intro: sum.mono-neutral-cong-right)
  also have  $(\sum_{i \in \{1..m\}}. p (i - 1)) = (\sum_{i \leq m - 1}. p \ i)$ 
  proof (cases m)
    case 0
      from this show ?thesis using  $\langle p \ 0 = 0 \rangle$  by simp
    next
      case (Suc m')
        {
          fix  $x$  assume  $\text{Suc } 0 \leq x \ x \leq m$ 
          from this Suc have  $x \in \text{Suc } \{..m'\}$ 
            by (auto intro!: image-eqI[where x=x - 1])
        }
      from this Suc show ?thesis
        by (intro sum.reindex-cong[of Suc] auto)
    qed
  also have  $(\sum_{i \leq m - 1}. p \ i) = (\sum_{i \leq m}. p \ i)$ 
  proof -
    {
      fix  $i$ 
      assume  $0 < p \ i \ i \leq m$ 
      from assms this have  $i \leq m - 1$ 
        using  $\langle p \ 0 = 0 \rangle$  partitions-increase1 by (cases k) (auto elim!: partitionsE)
    }
    from this show ?thesis
      by (auto intro: sum.mono-neutral-cong-left)
    qed
  also have  $\dots = (\sum_{i \leq m - k}. p \ i)$ 
    using partitions by (auto intro: sum.mono-neutral-right elim!: partitionsE)
  also have  $\dots = k$  using  $k$  by auto
  finally show ?thesis .
qed

```

3.4 Number Partitions as Multisets on Natural Numbers

definition *number-partition* :: $\text{nat} \Rightarrow \text{nat multiset} \Rightarrow \text{bool}$

where

number-partition $n \ N = (\text{sum-mset } N = n \wedge 0 \notin \# N)$

3.4.1 Relationship to Definition on Functions

lemma *count-partitions-iff*:

count N *partitions* $n \iff$ *number-partition* $n \ N$

proof

assume *count* N *partitions* n

```

from this have  $(\forall i. \text{count } N \ i \neq 0 \longrightarrow 1 \leq i \wedge i \leq n) (\sum_{i \leq n}. \text{count } N \ i * i)$ 
= n
  unfolding Number-Partition.partitions-def by auto
moreover from this have set-mset  $N \subseteq \{..n\}$  by auto
moreover have finite  $\{..n\}$  by auto
ultimately have sum-mset  $N = n$ 
  using sum-mset-sum-count sum-mset-eq-sum-on-supersets by presburger
moreover have  $0 \notin\# N$ 
  using  $\langle \forall i. \text{count } N \ i \neq 0 \longrightarrow 1 \leq i \wedge i \leq n \rangle$  by auto
ultimately show number-partition  $n \ N$ 
  unfolding number-partition-def by auto
next
assume number-partition  $n \ N$ 
from this have sum-mset  $N = n$  and  $0 \notin\# N$ 
  unfolding number-partition-def by auto
  {
    fix  $i$ 
    assume count  $N \ i \neq 0$ 
    have  $1 \leq i \wedge i \leq n$ 
    proof
      from  $\langle 0 \notin\# N \rangle \langle \text{count } N \ i \neq 0 \rangle$  show  $1 \leq i$ 
        using Suc-le-eq by auto
      from  $\langle \text{sum-mset } N = n \rangle \langle \text{count } N \ i \neq 0 \rangle$  show  $i \leq n$ 
        using multi-member-split by fastforce
    qed
  }
moreover from  $\langle \text{sum-mset } N = n \rangle$  have  $(\sum_{i \leq n}. \text{count } N \ i * i) = n$ 
by (metis atMost-iff calculation finite-atMost not-in-iff subsetI sum-mset-eq-sum-on-supersets
sum-mset-sum-count)
ultimately show count  $N$  partitions  $n$ 
by (rule partitionsI) auto
qed

```

lemma *partitions-iff-Abs-multiset*:

p *partitions* $n \iff \text{finite } \{x. 0 < p \ x\} \wedge \text{number-partition } n \ (\text{Abs-multiset } p)$

proof

assume p *partitions* n

from this have *bounds*: $(\forall i. p \ i \neq 0 \longrightarrow 1 \leq i \wedge i \leq n)$

and *sum*: $(\sum_{i \leq n}. p \ i * i) = n$

unfolding *partitions-def* **by auto**

from $\langle p$ *partitions* $n \rangle$ **have** $p \in \text{multiset}$ **by** (*rule partitions-imp-multiset*)

from $\langle p$ *partitions* $n \rangle$ **have** *finite* $\{x. 0 < p \ x\}$

by (*rule partitions-imp-finite-elements*)

moreover from $\langle p \in \text{multiset} \rangle$ *bounds* **have** $\neg 0 \in\# \text{Abs-multiset } p$

using *count-eq-zero-iff* **by force**

moreover from $\langle p \in \text{multiset} \rangle$ *this sum* **have** *sum-mset* $(\text{Abs-multiset } p) = n$

proof –

have $(\sum_{i \in \{x. 0 < p \ x\}}. p \ i * i) = (\sum_{i \leq n}. p \ i * i)$

using *bounds* **by** (*auto intro: sum.mono-neutral-cong-left*)

```

from ⟨ $p \in \text{multiset}$ ⟩ this sum show  $\text{sum-mset } (\text{Abs-multiset } p) = n$ 
  by (simp add: sum-mset-sum-count set-mset-Abs-multiset)
qed
ultimately show  $\text{finite } \{x. 0 < p x\} \wedge \text{number-partition } n \ (\text{Abs-multiset } p)$ 
  unfolding number-partition-def by auto
next
  assume  $\text{finite } \{x. 0 < p x\} \wedge \text{number-partition } n \ (\text{Abs-multiset } p)$ 
  from this have  $\text{finite } \{x. 0 < p x\} \ 0 \notin \# \text{Abs-multiset } p \ \text{sum-mset } (\text{Abs-multiset } p) = n$ 
    unfolding number-partition-def by auto
  from ⟨ $\text{finite } \{x. 0 < p x\}$ ⟩ have  $p \in \text{multiset}$  by (simp add: multiset-def)
  from ⟨ $p \in \text{multiset}$ ⟩ have  $(\sum_{i \in \{x. 0 < p x\}} p \ i * i) = n$ 
    using ⟨ $\text{sum-mset } (\text{Abs-multiset } p) = n$ ⟩
    by (simp add: sum-mset-sum-count set-mset-Abs-multiset)
  have bounds:  $\bigwedge i. p \ i \neq 0 \implies 1 \leq i \wedge i \leq n$ 
  proof
    fix  $i$ 
    assume  $p \ i \neq 0$ 
    from  $(\neg 0 \in \# \text{Abs-multiset } p) \ \langle p \in \text{multiset} \rangle$  have  $p \ 0 = 0$ 
      using count-inI by force
    from this  $\langle p \ i \neq 0 \rangle$  show  $1 \leq i$ 
      by (metis One-nat-def leI less-Suc0)
    show  $i \leq n$ 
    proof (rule ccontr)
      assume  $\neg i \leq n$ 
      from this have  $i > n$ 
        using le-less-linear by blast
      from this  $\langle p \ i \neq 0 \rangle$  have  $p \ i * i > n$ 
        by (auto simp add: less-le-trans)
      from  $\langle p \ i \neq 0 \rangle$  have  $(\sum_{i \in \{x. 0 < p x\}} p \ i * i) = p \ i * i + (\sum_{i \in \{x. 0 < p x\} - \{i\}} p \ i * i)$ 
        using ⟨ $\text{finite } \{x. 0 < p x\}$ ⟩
        by (subst sum.insert-remove[symmetric]) (auto simp add: insert-absorb)
      also from  $\langle p \ i * i > n \rangle$  have  $\dots > n$  by auto
      finally show False using  $\langle (\sum_{i \in \{x. 0 < p x\}} p \ i * i) = n \rangle$  by blast
    qed
  qed
  moreover have  $(\sum_{i \leq n} p \ i * i) = n$ 
  proof –
    have  $(\sum_{i \leq n} p \ i * i) = (\sum_{i \in \{x. 0 < p x\}} p \ i * i)$ 
      using bounds by (auto intro: sum.mono-neutral-cong-right)
    from this show ?thesis
      using  $\langle (\sum_{i \in \{x. 0 < p x\}} p \ i * i) = n \rangle$  by simp
  qed
  ultimately show  $p$  partitions  $n$  by (auto intro: partitionsI)
qed

```

lemma *size-nat-multiset-eq*:

```

fixes  $N :: \text{nat multiset}$ 
assumes  $\text{number-partition } n \ N$ 
shows  $\text{size } N = \text{sum } (\text{count } N) \ \{..n\}$ 
proof –
  have  $\text{set-mset } N \subseteq \{.. \text{sum-mset } N\}$ 
    by ( $\text{auto dest: multi-member-split}$ )
  have  $\text{size } N = \text{sum } (\text{count } N) (\text{set-mset } N)$ 
    by ( $\text{rule size-multiset-overloaded-eq}$ )
  also have  $.. = \text{sum } (\text{count } N) \ \{.. \text{sum-mset } N\}$ 
    using  $\langle \text{set-mset } N \subseteq \{.. \text{sum-mset } N\} \rangle$ 
    by ( $\text{auto intro: sum.mono-neutral-cong-left count-inI}$ )
  finally show  $?thesis$ 
    using  $\langle \text{number-partition } n \ N \rangle$ 
    unfolding  $\text{number-partition-def}$  by  $\text{auto}$ 
qed

end

```

4 Euler’s Partition Theorem

```

theory  $\text{Euler-Partition}$ 
imports
   $\text{Main}$ 
   $../\text{Card-Number-Partitions}/\text{Number-Partition}$ 
begin

```

4.1 Preliminaries

4.1.1 Additions to Divides Theory

```

lemma  $\text{power-div-nat}$ :
  assumes  $c \leq b$ 
  assumes  $a > 0$ 
  shows  $(a :: \text{nat}) \wedge b \ \text{div } a \wedge c = a \wedge (b - c)$ 
by ( $\text{metis assms nonzero-mult-div-cancel-right le-add-diff-inverse2 less-not-refl2 power-add}$ 
 $\text{power-not-zero}$ )

```

4.1.2 Additions to Groups-Big Theory

```

lemma  $\text{sum-div}$ :
  assumes  $\text{finite } A$ 
  assumes  $\bigwedge a. a \in A \implies (b :: 'b :: \text{semiring-div}) \ \text{dvd } f \ a$ 
  shows  $(\sum a \in A. f \ a) \ \text{div } b = (\sum a \in A. (f \ a) \ \text{div } b)$ 
using  $\text{assms}$ 
proof ( $\text{induct}$ )
  case insert from this show  $?case$  by  $\text{auto } (\text{subst div-add}; \text{auto intro!: dvd-sum})$ 
qed ( $\text{auto}$ )

```

```

lemma  $\text{sum-mod}$ :

```

assumes *finite A*
assumes $\bigwedge a. a \in A \implies f a \text{ mod } b = (0::'b::\{\text{semiring-div}\})$
shows $(\sum_{a \in A}. f a) \text{ mod } b = 0$
using *assms* **by** *induct (auto simp add: mod-add-eq)*

4.1.3 Additions to Set-Interval Theory

lemma *geometric-sum-2nat*:
 $(\sum_{i < n}. (2::nat) ^ i) = (2 ^ n - 1)$
by *(induct n) auto*

4.1.4 Additions to Nat Theory or Power Theory

lemma *n-leq-2-pow-n*:
 $n \leq 2 ^ n$
proof *(induct n)*
case *(Suc n)*
from this have approx: $2 * n \leq 2 ^ \text{Suc } n$ **by** *auto*
show *?case*
proof *(cases n)*
case *0*
from this show *?thesis* **by** *simp*
next
case *Suc*
from this show *?thesis* **by** *(intro le-trans[OF - approx]) simp*
qed
qed *(simp)*

4.1.5 Additions to Finite-Set Theory

lemma *finite-exponents*:
 $\text{finite } \{i. 2 ^ i \leq (n::nat)\}$
proof *-*
have $\{i::nat. 2 ^ i \leq n\} \subseteq \{..n\}$
using *dual-order.trans n-leq-2-pow-n* **by** *auto*
from this show *?thesis* **by** *(simp add: finite-subset)*
qed

4.2 Binary Encoding of Natural Numbers

definition *bitset :: nat \Rightarrow nat set*
where
 $\text{bitset } n = \{i. \text{odd } (n \text{ div } (2 ^ i))\}$

lemma *in-bitset-bound*:
 $b \in \text{bitset } n \implies 2 ^ b \leq n$
unfolding *bitset-def* **using** *not-less* **by** *fastforce*

lemma *in-bitset-bound-weak*:
 $b \in \text{bitset } n \implies b \leq n$

by (auto dest: in-bitset-bound intro: le-trans n-leq-2-pow-n)

lemma *finite-bitset*:

finite (bitset n)

proof –

have $\text{bitset } n \subseteq \{..n\}$ **by** (auto dest: in-bitset-bound-weak)

from *this* **show** ?thesis **using** finite-subset **by** auto

qed

lemma *bitset-0*:

$\text{bitset } 0 = \{\}$

unfolding *bitset-def* **by** auto

lemma *binary-induct* [case-names zero even odd]:

assumes $P (0 :: \text{nat})$

assumes $\bigwedge n. P n \implies P (2 * n)$

assumes $\bigwedge n. P n \implies P (2 * n + 1)$

shows $\bigwedge n. P n$

using *assms parity-induct* **by** auto

lemma *bitset-2n*: $\text{bitset } (2 * n) = \text{Suc } ' (\text{bitset } n)$

proof (*rule set-eqI*)

fix x

show $(x \in \text{bitset } (2 * n)) = (x \in \text{Suc } ' \text{bitset } n)$

unfolding *bitset-def* **by** (*cases x*) auto

qed

lemma *bitset-Suc*:

assumes *even n*

shows $\text{bitset } (n + 1) = \text{insert } 0 (\text{bitset } n)$

proof (*rule set-eqI*)

fix x

from *assms* **show** $(x \in \text{bitset } (n + 1)) = (x \in \text{insert } 0 (\text{bitset } n))$

unfolding *bitset-def* **by** (*cases x*) (*auto simp add: Divides.div-mult2-eq*)

qed

lemma *bitset-2n1*:

$\text{bitset } (2 * n + 1) = \text{insert } 0 (\text{Suc } ' (\text{bitset } n))$

by (*subst* *bitset-Suc*) (*auto simp add: bitset-2n*)

lemma *sum-bitset*:

$(\sum_{i \in \text{bitset } n} 2 ^ i) = n$

proof (*induct rule: binary-induct*)

case *zero*

show ?case **by** (*auto simp add: bitset-0*)

next

case (*even n*)

from *this* **show** ?case

by (*simp add: bitset-2n sum.reindex sum-distrib-left[symmetric]*)

next
case (*odd n*)
have $(\sum_{i \in \text{bitset } (2 * n + 1)}. 2^i) = (\sum_{i \in \text{insert } 0 (\text{Suc } \text{ 'bitset } n)}. 2^i)$
by (*simp only: bitset-2n1*)
also have $\dots = 2^0 + (\sum_{i \in \text{Suc } \text{ 'bitset } n}. 2^i)$
by (*subst sum.insert*) (*auto simp add: finite-bitset*)
also have $\dots = 2 * n + 1$
using *odd* **by** (*simp add: sum.reindex sum-distrib-left[symmetric]*)
finally show *?case* .
qed

lemma *binarysum-div*:
assumes *finite B*
shows $(\sum_{i \in B}. (2::\text{nat})^i) \text{ div } 2^j = (\sum_{i \in B}. \text{if } i < j \text{ then } 0 \text{ else } 2^{(i - j)})$
(is $_ = (\sum_{i \in _}. ?f i)$
proof -
have *split-B*: $B = \{i \in B. i < j\} \cup \{i \in B. j \leq i\}$ **by** *auto*
have *bound*: $(\sum_{i \mid i \in B \wedge i < j}. (2::\text{nat})^i) < 2^j$
proof (*rule order.strict-trans1*)
show $(\sum_{i \mid i \in B \wedge i < j}. (2::\text{nat})^i) \leq (\sum_{i < j}. 2^i)$ **by** (*auto intro: sum-mono2*)
show $\dots < 2^j$ **by** (*simp add: geometric-sum-2nat*)
qed
from this have *zero*: $(\sum_{i \mid i \in B \wedge i < j}. (2::\text{nat})^i) \text{ div } (2^j) = 0$ **by** (*elim div-less*)
from *assms* **have** *mod0*: $(\sum_{i \mid i \in B \wedge j \leq i}. (2::\text{nat})^i) \text{ mod } 2^j = 0$
by (*auto intro!: sum-mod simp add: le-imp-power-dvd*)
from *assms* **have** $(\sum_{i \in B}. (2::\text{nat})^i) \text{ div } (2^j) = ((\sum_{i \mid i \in B \wedge i < j}. 2^i) + (\sum_{i \mid i \in B \wedge j \leq i}. 2^i)) \text{ div } 2^j$
by (*subst sum.union-disjoint[symmetric]*) (*auto simp add: split-B[symmetric]*)
also have $\dots = (\sum_{i \mid i \in B \wedge j \leq i}. 2^i) \text{ div } 2^j$
by (*simp add: div-add1-eq zero mod0*)
also have $\dots = (\sum_{i \mid i \in B \wedge j \leq i}. 2^{i - j})$
using *assms* **by** (*subst sum-div*) (*auto simp add: sum-div le-imp-power-dvd*)
also have $\dots = (\sum_{i \mid i \in B \wedge j \leq i}. 2^{(i - j)})$
by (*rule sum.cong[OF refl]*) (*auto simp add: power-div-nat*)
also have $\dots = (\sum_{i \in B}. ?f i)$
using *assms* **by** (*subst split-B; subst sum.union-disjoint*) *auto*
finally show *?thesis* .
qed

lemma *odd-iff*:
assumes *finite B*
shows *odd* $(\sum_{i \in B}. \text{if } i < x \text{ then } (0::\text{nat}) \text{ else } 2^{(i - x)}) = (x \in B)$ **(is** *odd* $(\sum_{i \in _}. ?s i) = _)$
proof -
from *assms* **have** *even*: $\text{even } (\sum_{i \in B - \{x\}. ?s i)$
by (*subst dvd-sum*) *auto*

```

show ?thesis
proof
  assume odd ( $\sum i \in B. ?s i$ )
  from this even show  $x \in B$  by (cases  $x \in B$ ) auto
next
  assume  $x \in B$ 
  from assms this have ( $\sum i \in B. ?s i$ ) = 1 + ( $\sum i \in B - \{x\}. ?s i$ )
    by (auto simp add: sum.remove)
  from assms this even show odd ( $\sum i \in B. ?s i$ ) by auto
qed
qed

```

```

lemma bitset-sum:
  assumes finite B
  shows bitset ( $\sum i \in B. 2 ^ i$ ) = B
using assms unfolding bitset-def by (simp add: binarysum-div odd-iff)

```

4.3 Decomposition of a Number into a Power of Two and an Odd Number

```

function (sequential) index :: nat  $\Rightarrow$  nat
where
  index 0 = 0
  | index n = (if odd n then 0 else Suc (index (n div 2)))
by (pat-completeness) auto

```

```

termination
proof
  show wf {(x::nat, y). x < y} by (simp add: wf)
next
  fix n show (Suc n div 2, Suc n)  $\in$  {(x, y). x < y} by simp
qed

```

```

function (sequential) oddpart :: nat  $\Rightarrow$  nat
where
  oddpart 0 = 0
  | oddpart n = (if odd n then n else oddpart (n div 2))
by pat-completeness auto

```

```

termination
proof
  show wf {(x::nat, y). x < y} by (simp add: wf)
next
  fix n show (Suc n div 2, Suc n)  $\in$  {(x, y). x < y} by simp
qed

```

```

lemma odd-oddpart:
  odd (oddpart n)  $\longleftrightarrow$  n  $\neq$  0
by (induct n rule: index.induct) auto

```

lemma *index-oddpart-decomposition*:
 $n = 2^{\text{index } n} * \text{oddpart } n$
proof (*induct n rule: index.induct*)
 case ($2\ n$)
 from *this* **show** $\text{Suc } n = 2^{\text{index } (\text{Suc } n)} * \text{oddpart } (\text{Suc } n)$
 by (*simp add: mult.assoc*)
qed (*simp*)

lemma *oddpart-leq*:
 $\text{oddpart } n \leq n$
by (*induct n rule: index.induct*) (*simp, metis div-le-dividend le-Suc-eq le-trans oddpart.simps(2)*)

lemma *index-oddpart-unique*:
assumes $\text{odd } (m :: \text{nat})$ $\text{odd } m'$
shows $(2^i * m = 2^{i'} * m') \longleftrightarrow (i = i' \wedge m = m')$
proof (*induct i arbitrary: i'*)
 case 0
 from *assms* **show** ?*case* **by** *auto*
next
 case ($\text{Suc } i'$)
 from *assms this* **show** ?*case* **by** (*cases i'*) *auto*
qed

lemma *index-oddpart*:
assumes $\text{odd } m$
shows $\text{index } (2^i * m) = i$ $\text{oddpart } (2^i * m) = m$
using *index-oddpart-unique* [**where** $i=i$ **and** $m=m$ **and** $m'=\text{oddpart } (2^i * m)$
and $i'=\text{index } (2^i * m)$]
 assms odd-oddpart index-oddpart-decomposition **by** *force+*

4.4 Partitions With Only Distinct and Only Odd Parts

definition *odd-of-distinct* :: $(\text{nat} \Rightarrow \text{nat}) \Rightarrow \text{nat} \Rightarrow \text{nat}$
where
 $\text{odd-of-distinct } p = (\lambda i. \text{if odd } i \text{ then } (\sum j \mid p (2^j * i) = 1. 2^j) \text{ else } 0)$

definition *distinct-of-odd* :: $(\text{nat} \Rightarrow \text{nat}) \Rightarrow \text{nat} \Rightarrow \text{nat}$
where
 $\text{distinct-of-odd } p = (\lambda i. \text{if index } i \in \text{bitset } (p (\text{oddpart } i)) \text{ then } 1 \text{ else } 0)$

lemma *odd*:
 $\text{odd-of-distinct } p\ i \neq 0 \implies \text{odd } i$
unfolding *odd-of-distinct-def* **by** *auto*

lemma *distinct-distinct-of-odd*:
 $\text{distinct-of-odd } p\ i \leq 1$
unfolding *distinct-of-odd-def* **by** *auto*

lemma *odd-of-distinct*:
assumes *odd-of-distinct* $p\ i \neq 0$
assumes $\bigwedge i. p\ i \neq 0 \implies i \leq n$
shows $1 \leq i \wedge i \leq n$
proof
from *assms*(1) **show** $1 \leq i$ **by** (*metis One-nat-def Suc-leI even-zero gr0I odd*)
next
from *assms*(1) **obtain** j **where** $p\ (2^j * i) \neq 0$
unfolding *odd-of-distinct-def* **by** (*auto split: if-split-asm*) *fastforce*
from *assms*(2)[*OF this*] **show** $i \leq n$
by (*metis div-le-dividend nonzero-mult-div-cancel-left le-trans power-not-zero zero-not-eq-two*)
qed

lemma *distinct-of-odd*:
assumes $\bigwedge i. p\ i * i \leq n \wedge i. p\ i \neq 0 \implies \text{odd } i$
assumes *distinct-of-odd* $p\ i \neq 0$
shows $1 \leq i \wedge i \leq n$
proof
from *assms*(3) **have** *index*: $i \in \text{bitset } (p\ (\text{oddpart } i))$
unfolding *distinct-of-odd-def* **by** (*auto split: if-split-asm*)
have $i \neq 0$
proof
assume *zero*: $i = 0$
from *assms*(2) **have** $p\ 0 = 0$ **by** *auto*
from *index zero this* **show** *False* **by** (*auto simp add: bitset-0*)
qed
from *this* **show** $1 \leq i$ **by** *auto*
from *assms*(1) **have** *leq-n*: $p\ (\text{oddpart } i) * \text{oddpart } i \leq n$ **by** *auto*
from *index* **have** $2^{\text{index } i} \leq p\ (\text{oddpart } i)$ **by** (*rule in-bitset-bound*)
from *this leq-n* **show** $i \leq n$
by (*subst index-oddpart-decomposition[of i]*) (*meson dual-order.trans eq-imp-le mult-le-mono*)
qed

lemma *odd-distinct*:
assumes $\bigwedge i. p\ i \neq 0 \implies \text{odd } i$
shows *odd-of-distinct* (*distinct-of-odd* p) = p
using *assms* **unfolding** *odd-of-distinct-def distinct-of-odd-def*
by (*auto simp add: fun-eq-iff index-oddpart sum-bitset*)

lemma *distinct-odd*:
assumes $\bigwedge i. p\ i \neq 0 \implies 1 \leq i \wedge i \leq n \wedge i. p\ i \leq 1$
shows *distinct-of-odd* (*odd-of-distinct* p) = p
proof –
from *assms* **have** $\{i. p\ i = 1\} \subseteq \{..n\}$ **by** *auto*
from *this* **have** *finite*: *finite* $\{i. p\ i = 1\}$ **by** (*simp add: finite-subset*)
have $\bigwedge x\ j. x > 0 \implies p\ (2^j * \text{oddpart } x) = 1 \implies$

```

    index (2 ^ j * oddpart x) ∈ index ‘ {i. p i = 1 ∧ oddpart x = oddpart i}
  by (rule imageI) (auto intro: imageI simp add: index-oddpart odd-oddpart)
  from this have eq:  $\bigwedge x. x > 0 \implies \{j. p (2 ^ j * oddpart x) = 1\} = \text{index ‘ } \{i. p i = 1 \wedge \text{oddpart } x = \text{oddpart } i\}$ 
  by (auto simp add: index-oddpart odd-oddpart index-oddpart-decomposition[symmetric])
  from finite have all-finite:  $\bigwedge x. x > 0 \implies \text{finite } \{j. p (2 ^ j * oddpart x) = 1\}$ 
  unfolding eq by auto
  show ?thesis
  proof
    fix x
    from assms(1) have p0:  $p 0 = 0$  by auto
    show distinct-of-odd (odd-of-distinct p) x = p x
    proof (cases x > 0)
      case False
      from this p0 show ?thesis
      unfolding odd-of-distinct-def distinct-of-odd-def
      by (auto simp add: odd-oddpart bitset-0)
    next
      case True
      from p0 assms(2)[of x] all-finite[OF True] show ?thesis
      unfolding odd-of-distinct-def distinct-of-odd-def
      by (auto simp add: odd-oddpart bitset-0 bitset-sum index-oddpart-decomposition[symmetric])
    qed
  qed
  qed

```

lemma sum-distinct-of-odd:

```

  assumes  $\bigwedge i. p i \neq 0 \implies 1 \leq i \wedge i \leq n$ 
  assumes  $\bigwedge i. p i * i \leq n$ 
  assumes  $\bigwedge i. p i \neq 0 \implies \text{odd } i$ 
  shows  $(\sum_{i \leq n}. \text{distinct-of-odd } p i * i) = (\sum_{i \leq n}. p i * i)$ 
  proof -
    {
      fix m
      assume odd: odd (m :: nat)
      have finite: finite {k. 2 ^ k * m ≤ n ∧ k ∈ bitset (p m)} by (simp add:
finite-bitset)
      have  $(\sum i \mid \exists k. i = 2 ^ k * m \wedge i \leq n. \text{distinct-of-odd } p i * i) =$ 
 $(\sum i \mid \exists k. i = 2 ^ k * m \wedge i \leq n. \text{if } \text{index } i \in \text{bitset } (p (\text{oddpart } i)) \text{ then } i$ 
else 0)
      unfolding distinct-of-odd-def by (auto intro: sum.cong)
      also have ... =  $(\sum i \mid \exists k. i = 2 ^ k * m \wedge k \in \text{bitset } (p m) \wedge i \leq n. i)$ 
      using odd by (intro sum.mono-neutral-cong-right) (auto simp add: index-oddpart)
      also have ... =  $(\sum k \mid 2 ^ k * m \leq n \wedge k \in \text{bitset } (p m). 2 ^ k * m)$ 
      using odd by (auto intro!: sum.reindex-cong[OF - - refl] inj-onI)
      also have ... =  $(\sum_{k \in \text{bitset } (p m)}. 2 ^ k * m)$ 
      using assms(2)[of m] finite dual-order.trans in-bitset-bound
      by (fastforce intro!: sum.mono-neutral-cong-right)
      also have ... =  $(\sum_{k \in \text{bitset } (p m)}. 2 ^ k) * m$ 
    }
  qed

```

by (*subst sum-distrib-right*) *auto*
 also have ... = $p \ m \ * \ m$
 by (*auto simp add: sum-bitset*)
 finally have $(\sum i \mid \exists k. i = 2^k * m \wedge i \leq n. \text{distinct-of-odd } p \ i \ * \ i) = p \ m$
 $* \ m$.
 } **note** *inner-eq = this*

have *set-eq*: $\{i. 1 \leq i \wedge i \leq n\} = \bigcup ((\lambda m. \{i. \exists k. i = (2^k) * m \wedge i \leq n\}) \text{ ' } \{m. m \leq n \wedge \text{odd } m\})$

proof -
 {
 fix *x*
 assume $1 \leq x \wedge x \leq n$
 from *this oddpart-leq*[*of x*] **have** $\text{oddpart } x \leq n \wedge \text{odd } (\text{oddpart } x) \wedge (\exists k. 2^k \wedge \text{index } x * \text{oddpart } x = 2^k * \text{oddpart } x)$
 by (*auto simp add: odd-oddpart*)
 from *this* **have** $\exists m \leq n. \text{odd } m \wedge (\exists k. x = 2^k * m)$
 by (*auto simp add: index-oddpart-decomposition*[*symmetric*])
 }
from *this* **show** *?thesis* **by** (*auto simp add: Suc-leI odd-pos*)

qed

let *?S* = $(\lambda m. \{i. \exists k. i = 2^k * m \wedge i \leq n\}) \text{ ' } \{m. m \leq n \wedge \text{odd } m\}$
have *no-overlap*: $\forall A \in ?S. \forall B \in ?S. A \neq B \longrightarrow A \cap B = \{\}$
 by (*auto simp add: index-oddpart-unique*)
have *inj*: *inj-on* $(\lambda m. \{i. (\exists k. i = 2^k * m) \wedge i \leq n\}) \text{ ' } \{m. m \leq n \wedge \text{odd } m\}$
unfolding *inj-on-def* **by** *auto* (*force simp add: index-oddpart-unique*)
have *reindex*: $\bigwedge F. (\sum i \mid 1 \leq i \wedge i \leq n. F \ i) = (\sum m \mid m \leq n \wedge \text{odd } m. (\sum i \mid \exists k. i = 2^k * m \wedge i \leq n. F \ i))$
unfolding *set-eq* **by** (*subst sum.Union-disjoint*) (*auto simp add: no-overlap intro: sum.reindex-cong*[*OF inj*])
have $(\sum i \leq n. \text{distinct-of-odd } p \ i \ * \ i) = (\sum i \mid 1 \leq i \wedge i \leq n. \text{distinct-of-odd } p \ i \ * \ i)$
 by (*auto intro: sum.mono-neutral-right*)
also **have** ... = $(\sum m \mid m \leq n \wedge \text{odd } m. \sum i \mid \exists k. i = 2^k * m \wedge i \leq n. \text{distinct-of-odd } p \ i \ * \ i)$
 by (*simp only: reindex*)
also **have** ... = $(\sum i \mid i \leq n \wedge \text{odd } i. p \ i \ * \ i)$
 by (*rule sum.cong*[*OF refl*]; *subst inner-eq*) *auto*
also **have** ... = $(\sum i \leq n. p \ i \ * \ i)$
using *assms*(*?S*) **by** (*auto intro: sum.mono-neutral-left*)
finally **show** *?thesis* .

qed

lemma *leq-n*:

assumes $\forall i. 0 < p \ i \longrightarrow 1 \leq i \wedge i \leq (n::\text{nat})$
assumes $(\sum i \leq n. p \ i \ * \ i) = n$
shows $p \ i \ * \ i \leq n$
proof (*rule ccontr*)
assume $\neg p \ i \ * \ i \leq n$

```

from this have gr-n:  $p\ i * i > n$  by auto
from this assms(1) have  $1 \leq i \wedge i \leq n$  by force
from this have  $(\sum_{j \leq n}. p\ j * j) = p\ i * i + (\sum_{j \mid j \leq n \wedge j \neq i}. p\ j * j)$ 
  by (subst sum.insert[symmetric]) (auto intro: sum.cong simp del: sum.insert)
from this gr-n assms(2) show False by simp
qed

```

```

lemma distinct-of-odd-in-distinct-partitions:
  assumes  $p \in \{p. p\ \text{partitions } n \wedge (\forall i. p\ i \neq 0 \longrightarrow \text{odd } i)\}$ 
  shows distinct-of-odd  $p \in \{p. p\ \text{partitions } n \wedge (\forall i. p\ i \leq 1)\}$ 
proof
  have distinct-of-odd  $p\ \text{partitions } n$ 
  proof (rule partitionsI)
    fix i assume distinct-of-odd  $p\ i \neq 0$ 
    from this assms show  $1 \leq i \wedge i \leq n$ 
    unfolding partitions-def
    by (rule-tac distinct-of-odd) (auto simp add: leq-n)
  next
    from assms show  $(\sum_{i \leq n}. \text{distinct-of-odd } p\ i * i) = n$ 
    by (subst sum-distinct-of-odd) (auto simp add: distinct-distinct-of-odd leq-n)
  elim: partitionsE)
  qed
  moreover have  $\forall i. \text{distinct-of-odd } p\ i \leq 1$ 
    by (intro allI distinct-distinct-of-odd)
  ultimately show distinct-of-odd  $p\ \text{partitions } n \wedge (\forall i. \text{distinct-of-odd } p\ i \leq 1)$ 
by simp
qed

```

```

lemma odd-of-distinct-in-odd-partitions:
  assumes  $p \in \{p. p\ \text{partitions } n \wedge (\forall i. p\ i \leq 1)\}$ 
  shows odd-of-distinct  $p \in \{p. p\ \text{partitions } n \wedge (\forall i. p\ i \neq 0 \longrightarrow \text{odd } i)\}$ 
proof
  from assms have distinct:  $\bigwedge i. p\ i = 0 \vee p\ i = 1$ 
    using le-imp-less-Suc less-Suc-eq-0-disj by fastforce
  from assms have set-eq:  $\{x. p\ x = 1\} = \{x \in \{..n\}. p\ x = 1\}$ 
    unfolding partitions-def by auto
  from assms have sum:  $(\sum_{i \leq n}. p\ i * i) = n$ 
    unfolding partitions-def by auto
  {
    fix i
    assume i: odd (i :: nat)
    have  $\exists: \text{inj-on index } \{x. p\ x = 1 \wedge \text{oddpart } x = i\}$ 
      unfolding inj-on-def by auto (metis index-oddpart-decomposition)
    {
      fix j assume  $p\ (2 \wedge j * i) = 1$ 
      from this i have  $j \in \text{index } \{x. p\ x = 1 \wedge \text{oddpart } x = i\}$ 
      by (auto simp add: index-oddpart(1, 2) intro!: image-eqI [where  $x=2 \wedge j * i$ ])
    }
  }

```

from i **this have** $\{j. p (2 \wedge j * i) = 1\} = \text{index } \{x. p x = 1 \wedge \text{oddpart } x = i\}$
by (*auto simp add: index-oddpart-decomposition[symmetric]*)
from 3 **this have** $(\sum j \mid p (2 \wedge j * i) = 1. 2 \wedge j) * i = (\sum x \mid p x = 1 \wedge \text{oddpart } x = i. 2 \wedge \text{index } x) * i$
by (*auto intro: sum.reindex-cong[where l = index]*)
also have $\dots = (\sum x \mid p x = 1 \wedge \text{oddpart } x = i. 2 \wedge \text{index } x * \text{oddpart } x)$
by (*auto simp add: sum-distrib-right*)
also have $\dots = (\sum x \mid p x = 1 \wedge \text{oddpart } x = i. x)$
by (*simp only: index-oddpart-decomposition[symmetric]*)
also have $\dots \leq (\sum x \mid p x = 1. x)$
using *set-eq* **by** (*intro sum-mono2*) *auto*
also have $\dots = (\sum x \leq n. p x * x)$
using *distinct* **by** (*subst set-eq*) (*force intro!: sum.mono-neutral-cong-left*)
also have $\dots = n$ **using** *sum* .
finally have $(\sum j \mid p (2 \wedge j * i) = 1. 2 \wedge j) * i \leq n$.
}
from *this* **have** *less-n*: $\bigwedge i. \text{odd-of-distinct } p i * i \leq n$
unfolding *odd-of-distinct-def* **by** *auto*
have *odd-of-distinct* p *partitions* n
proof (*rule partitionsI*)
fix i **assume** *odd-of-distinct* $p i \neq 0$
from *this* *assms* **show** $1 \leq i \wedge i \leq n$
by (*elim CollectE conjE partitionsE odd-of-distinct*) *auto*
next
have $(\sum i \leq n. \text{odd-of-distinct } p i * i) = (\sum i \leq n. \text{distinct-of-odd } (\text{odd-of-distinct } p) i * i)$
using *assms less-n* **by** (*subst sum-distinct-of-odd*) (*auto elim!: partitionsE odd-of-distinct simp only: odd*)
also have $\dots = (\sum i \leq n. p i * i)$ **using** *assms*
by (*auto elim!: partitionsE simp only:*) (*subst distinct-odd, auto*)
also with *assms* **have** $\dots = n$ **by** (*auto elim: partitionsE*)
finally show $(\sum i \leq n. \text{odd-of-distinct } p i * i) = n$.
qed
moreover have $\forall i. \text{odd-of-distinct } p i \neq 0 \longrightarrow \text{odd } i$
by (*intro allI impI odd*)
ultimately show *odd-of-distinct* p *partitions* $n \wedge (\forall i. \text{odd-of-distinct } p i \neq 0 \longrightarrow \text{odd } i)$ **by** *simp*
qed

4.5 Euler's Partition Theorem

theorem *Euler-partition-theorem*:

$\text{card } \{p. p \text{ partitions } n \wedge (\forall i. p i \leq 1)\} = \text{card } \{p. p \text{ partitions } n \wedge (\forall i. p i \neq 0 \longrightarrow \text{odd } i)\}$

(*is card ?distinct-partitions = card ?odd-partitions*)

proof (*rule card-bij-eq*)

from *odd-of-distinct-in-odd-partitions* **show**

odd-of-distinct $\{ ?\text{distinct-partitions} \subseteq ?\text{odd-partitions}$ **by** *auto*

moreover from *distinct-of-odd-in-distinct-partitions* **show**
distinct-of-odd \subseteq *?odd-partitions* **by** *auto*
moreover have $\forall p \in ?distinct-partitions. distinct-of-odd (odd-of-distinct p) = p$
by *auto* (*subst distinct-odd; auto simp add: partitions-def*)
moreover have $\forall p \in ?odd-partitions. odd-of-distinct (distinct-of-odd p) = p$
by *auto* (*subst odd-distinct; auto simp add: partitions-def*)
ultimately show *inj-on odd-of-distinct ?distinct-partitions*
inj-on distinct-of-odd ?odd-partitions
by (*intro bij-betw-imp-inj-on bij-betw-byWitness; auto*)+
show *finite ?distinct-partitions finite ?odd-partitions*
by (*simp add: finite-partitions*)+
qed
end

References

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