

Epistemic Logic: Completeness of Modal Logics

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Abstract

This work is a formalization of epistemic logic with countably many agents. It includes proofs of soundness and completeness for the axiom system K. The completeness proof is based on the textbook "Reasoning About Knowledge" by Fagin, Halpern, Moses and Vardi (MIT Press 1995) [2]. The extensions of system K (T, KB, K4, S4, S5) and their completeness proofs are based on the textbook "Modal Logic" by Blackburn, de Rijke and Venema (Cambridge University Press 2001) [1].

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theory *Maximal-Consistent-Sets* **imports** *HOL-Cardinals.Cardinal-Order-Relation*
begin

context *wo-rel* **begin**

lemma *underS-bound*: $\langle a \in \text{underS } n \implies b \in \text{underS } n \implies a \in \text{under } b \vee b \in \text{under } a \rangle$

by (*meson BNF-Least-Fixpoint.underS-Field REFL Refl-under-in in-mono under-ofilter ofilter-linord*)

lemma *finite-underS-bound*:

assumes $\langle \text{finite } X \rangle \langle X \subseteq \text{underS } n \rangle \langle X \neq \{\} \rangle$

shows $\langle \exists a \in X. \forall b \in X. b \in \text{under } a \rangle$

using *assms*

proof (*induct X rule: finite-induct*)

case (*insert x F*)

then show *?case*

proof (*cases F = {}*)

case *True*

then show *?thesis*

using *insert underS-bound by fast*

next

case *False*

then show *?thesis*

using *insert underS-bound by (metis TRANS insert-absorb insert-iff insert-subset under-trans)*

qed

qed *simp*

lemma *finite-bound-under*:

assumes $\langle \text{finite } p \rangle \langle p \subseteq (\bigcup n \in \text{Field } r. f n) \rangle$

shows $\langle \exists m. p \subseteq (\bigcup n \in \text{under } m. f n) \rangle$

using *assms*

proof (*induct rule: finite-induct*)

case (*insert x p*)

then obtain *m* **where** $\langle p \subseteq (\bigcup n \in \text{under } m. f n) \rangle$

by *fast*

moreover obtain *m'* **where** $\langle x \in f m' \rangle \langle m' \in \text{Field } r \rangle$

using *insert(4) by blast*

then have $\langle x \in (\bigcup n \in \text{under } m'. f n) \rangle$

using *REFL Refl-under-in by fast*

ultimately have $\langle \{x\} \cup p \subseteq (\bigcup n \in \text{under } m. f n) \cup (\bigcup n \in \text{under } m'. f n) \rangle$

by *fast*

then show *?case*

by (*metis SUP-union Un-commute insert-is-Un sup.absorb-iff2 ofilter-linord under-ofilter*)

qed *simp*

end

locale *MCS-Lim-Ord* =

fixes $r :: \langle 'a \text{ rel} \rangle$

assumes *WELL*: $\langle \text{Well-order } r \rangle$

and *isLimOrd-r*: $\langle \text{isLimOrd } r \rangle$

fixes *consistent* :: $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$

assumes *consistent-hereditary*: $\langle \text{consistent } S \Longrightarrow S' \subseteq S \Longrightarrow \text{consistent } S' \rangle$

and *inconsistent-finite*: $\langle \bigwedge S. \neg \text{consistent } S \Longrightarrow \exists S' \subseteq S. \text{finite } S' \wedge \neg \text{consistent } S' \rangle$

begin

definition *extendS* :: $\langle 'a \text{ set} \Rightarrow 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$ **where**

$\langle \text{extendS } S \ n \ \text{prev} \equiv \text{if consistent } (\{n\} \cup \text{prev}) \text{ then } \{n\} \cup \text{prev} \text{ else prev} \rangle$

definition *extendL* :: $\langle ('a \Rightarrow 'a \text{ set}) \Rightarrow 'a \Rightarrow 'a \text{ set} \rangle$ **where**

$\langle \text{extendL } \text{rec } n \equiv \bigcup m \in \text{underS } r \ n. \ \text{rec } m \rangle$

definition *extend* :: $\langle 'a \text{ set} \Rightarrow 'a \Rightarrow 'a \text{ set} \rangle$ **where**

$\langle \text{extend } S \ n \equiv \text{worecZSL } r \ S \ (\text{extendS } S) \ \text{extendL } n \rangle$

lemma *wo-rel-r*: $\langle \text{wo-rel } r \rangle$

by (*simp add: WELL wo-rel.intro*)

lemma *adm-woL-extendL*: $\langle \text{adm-woL } r \ \text{extendL} \rangle$

unfolding *extendL-def wo-rel.adm-woL-def[OF wo-rel-r]* **by** *blast*

definition *Extend* :: $\langle 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$ **where**

$\langle \text{Extend } S \equiv \bigcup n \in \text{Field } r. \ \text{extend } S \ n \rangle$

lemma *extend-subset*: $\langle n \in \text{Field } r \Longrightarrow S \subseteq \text{extend } S \ n \rangle$

proof (*induct n rule: wo-rel.well-order-inductZSL[OF wo-rel-r]*)

case 1

then show *?case*

unfolding *extend-def wo-rel.worecZSL-zero[OF wo-rel-r adm-woL-extendL]*

by *simp*

next

case (2 *i*)

moreover from this have $\langle i \in \text{Field } r \rangle$

by (*meson FieldI1 wo-rel.succ-in wo-rel-r*)

ultimately show *?case*

unfolding *extend-def extendS-def*

wo-rel.worecZSL-succ[OF wo-rel-r adm-woL-extendL 2(1)] **by** *auto*

next

case (3 *i*)

then show *?case*

unfolding *extend-def extendL-def*

$wo-rel.worecZSL-isLim[OF\ wo-rel-r\ adm-woL-extendL\ 3(1-2)]$
using $wo-rel-r$ **by** ($metis\ SUP-upper2\ emptyE\ underS-I\ wo-rel.zero-in-Field$
 $wo-rel.zero-smallest$)
qed

lemma $Extend-subset'$: $\langle Field\ r\ \neq\ \{\} \implies S \subseteq Extend\ S \rangle$
unfolding $Extend-def$ **using** $extend-subset$ **by** $fast$

lemma $extend-underS$: $\langle m \in underS\ r\ n \implies extend\ S\ m \subseteq extend\ S\ n \rangle$

proof ($induct\ n\ rule: wo-rel.well-order-inductZSL[OF\ wo-rel-r]$)

case 1

then show $?case$

unfolding $extend-def$ **using** $wo-rel-r$ **by** ($simp\ add: wo-rel.underS-zero$)

next

case (2 i)

moreover from $this$ **have** $\langle m = i \vee m \in underS\ r\ i \rangle$

by ($metis\ wo-rel.less-succ\ underS-E\ underS-I\ wo-rel-r$)

ultimately show $?case$

unfolding $extend-def\ extendS-def$

$wo-rel.worecZSL-succ[OF\ wo-rel-r\ adm-woL-extendL\ 2(1)]$

by $auto$

next

case (3 i)

then show $?case$

unfolding $extend-def\ extendL-def$

$wo-rel.worecZSL-isLim[OF\ wo-rel-r\ adm-woL-extendL\ 3(1-2)]$

by $blast$

qed

lemma $extend-under$: $\langle m \in under\ r\ n \implies extend\ S\ m \subseteq extend\ S\ n \rangle$

using $extend-underS\ wo-rel-r$

by ($metis\ empty-iff\ in-Above-under\ set-eq-subset\ wo-rel.supr-greater\ wo-rel.supr-under$
 $underS-I$

$under-Field\ under-empty$)

lemma $consistent-extend$:

assumes $\langle consistent\ S \rangle$

shows $\langle consistent\ (extend\ S\ n) \rangle$

using $assms$

proof ($induct\ n\ rule: wo-rel.well-order-inductZSL[OF\ wo-rel-r]$)

case 1

then show $?case$

unfolding $extend-def\ wo-rel.worecZSL-zero[OF\ wo-rel-r\ adm-woL-extendL]$.

next

case (2 i)

then show $?case$

unfolding $extend-def\ extendS-def$

$wo-rel.worecZSL-succ[OF\ wo-rel-r\ adm-woL-extendL\ 2(1)]$

by $auto$

next
case $(\exists i)$
show $?case$
proof (*rule ccontr*)
assume $\langle \neg consistent (extend S i) \rangle$
then obtain S' **where** $S': \langle finite S' \rangle \langle S' \subseteq (\bigcup n \in underS r i. extend S n) \rangle$
 $\langle \neg consistent S' \rangle$
unfolding *extend-def extendL-def*
 $wo-rel.worecZSL-isLim[OF wo-rel-r adm-woL-extendL \exists(1-2)]$
using *inconsistent-finite* **by** *auto*
then obtain ns **where** $ns: \langle S' \subseteq (\bigcup n \in ns. extend S n) \rangle \langle ns \subseteq underS r i \rangle$
 $\langle finite ns \rangle$
by (*metis finite-subset-Union finite-subset-image*)
moreover have $\langle ns \neq \{\} \rangle$
using $S'(\exists)$ *assms calculation(1) consistent-hereditary* **by** *auto*
ultimately obtain j **where** $\langle \forall n \in ns. n \in under r j \rangle \langle j \in underS r i \rangle$
using *wo-rel.finite-underS-bound wo-rel-r ns* **by** (*meson subset-iff*)
then have $\langle \forall n \in ns. extend S n \subseteq extend S j \rangle$
using *extend-under* **by** *fast*
then have $\langle S' \subseteq extend S j \rangle$
using $S' ns(1)$ **by** *blast*
then show *False*
using $\exists(\exists) \langle \neg consistent S' \rangle$ *assms consistent-hereditary* $\langle j \in underS r i \rangle$ **by**
blast
qed
qed

lemma *consistent-Extend*:
assumes $\langle consistent S \rangle$
shows $\langle consistent (Extend S) \rangle$
unfolding *Extend-def*
proof (*rule ccontr*)
assume $\langle \neg consistent (\bigcup n \in Field r. extend S n) \rangle$
then obtain S' **where** $\langle finite S' \rangle \langle S' \subseteq (\bigcup n \in Field r. extend S n) \rangle \langle \neg consistent S' \rangle$
using *inconsistent-finite* **by** *metis*
then obtain m **where** $\langle S' \subseteq (\bigcup n \in under r m. extend S n) \rangle \langle m \in Field r \rangle$
using *wo-rel.finite-bound-under wo-rel-r*
by (*metis SUP-le-iff assms consistent-hereditary emptyE under-empty*)
then have $\langle S' \subseteq extend S m \rangle$
using *extend-under* **by** *fast*
moreover have $\langle consistent (extend S m) \rangle$
using *assms consistent-extend* **by** *blast*
ultimately show *False*
using $\langle \neg consistent S' \rangle$ *consistent-hereditary* **by** *blast*
qed

definition *maximal'* $:: \langle 'a set \Rightarrow bool \rangle$ **where**
 $\langle maximal' S \equiv \forall p \in Field r. consistent (\{p\} \cup S) \longrightarrow p \in S \rangle$

lemma *Extend-bound*: $\langle n \in \text{Field } r \implies \text{extend } S \ n \subseteq \text{Extend } S \rangle$
unfolding *Extend-def* **by** *blast*

lemma *maximal'-Extend*: $\langle \text{maximal}' (\text{Extend } S) \rangle$
unfolding *maximal'-def*

proof *safe*

fix p

assume $*$: $\langle p \in \text{Field } r \rangle \langle \text{consistent } (\{p\} \cup \text{Extend } S) \rangle$

then have $\langle \{p\} \cup \text{extend } S \ p \subseteq \{p\} \cup \text{Extend } S \rangle$

unfolding *Extend-def* **by** *blast*

then have $**$: $\langle \text{consistent } (\{p\} \cup \text{extend } S \ p) \rangle$

using $*$ *consistent-hereditary* **by** *blast*

moreover have *succ*: $\langle \text{above } S \ r \ p \neq \{\} \rangle$

using $*$ *isLimOrd-r* *wo-rel.isLimOrd-aboveS* *wo-rel-r* **by** *fast*

then have $\langle \text{succ } r \ p \in \text{Field } r \rangle$

using *wo-rel-r* **by** (*simp add: wo-rel.succ-in-Field*)

moreover have $\langle p \in \text{extend } S \ (\text{succ } r \ p) \rangle$

using $**$ **unfolding** *extend-def* *extendS-def*

wo-rel.worecZSL-succ[OF wo-rel-r adm-woL-extendL succ]

by *simp*

ultimately show $\langle p \in \text{Extend } S \rangle$

using *Extend-bound* **by** *fast*

qed

end

locale *MCS* =

fixes *consistent* :: $\langle 'a \text{ set} \implies \text{bool} \rangle$

assumes *infinite-UNIV*: $\langle \text{infinite } (\text{UNIV} :: 'a \text{ set}) \rangle$

and $\langle \text{consistent } S \implies S' \subseteq S \implies \text{consistent } S' \rangle$

and $\langle \bigwedge S. \neg \text{consistent } S \implies \exists S' \subseteq S. \text{finite } S' \wedge \neg \text{consistent } S' \rangle$

sublocale *MCS* \subseteq *MCS-Lim-Ord* $\langle | \text{UNIV} | \rangle$

proof

show $\langle \text{Well-order } | \text{UNIV} | \rangle$

by *simp*

next

have $\langle \text{infinite } (\text{Field } | \text{UNIV} :: 'a \text{ set} |) \rangle$

using *infinite-UNIV* **by** *simp*

with *card-order-infinite-isLimOrd* *card-of-Card-order*

show $\langle \text{isLimOrd } | \text{UNIV} :: 'a \text{ set} | \rangle$.

next

fix $S \ S'$

show $\langle \text{consistent } S \implies S' \subseteq S \implies \text{consistent } S' \rangle$

using *MCS-axioms* **unfolding** *MCS-def* **by** *blast*

next

fix $S \ S'$

show $\langle \neg \text{consistent } S \implies \exists S' \subseteq S. \text{finite } S' \wedge \neg \text{consistent } S' \rangle$

using *MCS-axioms* unfolding *MCS-def* by *blast*
 qed

context *MCS* begin

lemma *Extend-subset*: $\langle S \subseteq \text{Extend } S \rangle$
 by (*simp add: Extend-subset'*)

definition *maximal* :: $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$ where
 $\langle \text{maximal } S \equiv \forall p. \text{consistent } (\{p\} \cup S) \longrightarrow p \in S \rangle$

lemma *maximal-maximal'*: $\langle \text{maximal } S \longleftrightarrow \text{maximal}' S \rangle$
 unfolding *maximal-def* *maximal'-def* by *simp*

lemma *maximal-Extend*: $\langle \text{maximal } (\text{Extend } S) \rangle$
 using *maximal'-Extend* *maximal-maximal'* by *fast*

end

end

theory *Epistemic-Logic* imports *Maximal-Consistent-Sets* begin

1 Syntax

type-synonym *id* = *string*

datatype *'i fm*
 = *FF* ($\langle \perp \rangle$)
 | *Pro id*
 | *Dis* $\langle 'i fm \rangle \langle 'i fm \rangle$ (**infixr** $\langle \vee \rangle$ 60)
 | *Con* $\langle 'i fm \rangle \langle 'i fm \rangle$ (**infixr** $\langle \wedge \rangle$ 65)
 | *Imp* $\langle 'i fm \rangle \langle 'i fm \rangle$ (**infixr** $\langle \longrightarrow \rangle$ 55)
 | *K* $\langle 'i \rangle \langle 'i fm \rangle$

abbreviation *TT* ($\langle \top \rangle$) where
 $\langle TT \equiv \perp \longrightarrow \perp \rangle$

abbreviation *Neg* ($\langle \neg \rightarrow [70] 70 \rangle$) where
 $\langle \text{Neg } p \equiv p \longrightarrow \perp \rangle$

abbreviation $\langle L \ i \ p \equiv \neg K \ i \ (\neg p) \rangle$

2 Semantics

record $\langle 'i, 'w \rangle$ *frame* =
 $\mathcal{W} :: \langle 'w \text{ set} \rangle$

$\mathcal{K} :: \langle 'i \Rightarrow 'w \Rightarrow 'w \text{ set} \rangle$

record $\langle 'i, 'w \rangle \text{ kripke} =$
 $\langle \langle 'i, 'w \rangle \text{ frame} \rangle +$
 $\pi :: \langle 'w \Rightarrow id \Rightarrow bool \rangle$

primrec $\text{semantics} :: \langle \langle 'i, 'w \rangle \text{ kripke} \Rightarrow 'w \Rightarrow 'i \text{ fm} \Rightarrow bool \rangle (\langle -, - \models \rightarrow [50, 50, 50] 50) \text{ where}$

$\langle M, w \models \perp \longleftrightarrow False \rangle$
 $| \langle M, w \models \text{Pro } x \longleftrightarrow \pi M w x \rangle$
 $| \langle M, w \models p \vee q \longleftrightarrow M, w \models p \vee M, w \models q \rangle$
 $| \langle M, w \models p \wedge q \longleftrightarrow M, w \models p \wedge M, w \models q \rangle$
 $| \langle M, w \models p \longrightarrow q \longleftrightarrow M, w \models p \longrightarrow M, w \models q \rangle$
 $| \langle M, w \models K i p \longleftrightarrow (\forall v \in \mathcal{W} M \cap \mathcal{K} M i w. M, v \models p) \rangle$

abbreviation $\text{validStar} :: \langle \langle \langle 'i, 'w \rangle \text{ kripke} \Rightarrow bool \rangle \Rightarrow 'i \text{ fm set} \Rightarrow 'i \text{ fm} \Rightarrow bool \rangle$
 $(\langle -, - \models \star \rightarrow [50, 50, 50] 50) \text{ where}$
 $\langle P; G \models \star p \equiv \forall M. P M \longrightarrow$
 $(\forall w \in \mathcal{W} M. (\forall q \in G. M, w \models q) \longrightarrow M, w \models p) \rangle$

3 S5 Axioms

definition $\text{reflexive} :: \langle \langle 'i, 'w, 'c \rangle \text{ frame-scheme} \Rightarrow bool \rangle \text{ where}$
 $\langle \text{reflexive } M \equiv \forall i. \forall w \in \mathcal{W} M. w \in \mathcal{K} M i w \rangle$

definition $\text{symmetric} :: \langle \langle 'i, 'w, 'c \rangle \text{ frame-scheme} \Rightarrow bool \rangle \text{ where}$
 $\langle \text{symmetric } M \equiv \forall i. \forall v \in \mathcal{W} M. \forall w \in \mathcal{W} M. v \in \mathcal{K} M i w \longleftrightarrow w \in \mathcal{K} M i v \rangle$

definition $\text{transitive} :: \langle \langle 'i, 'w, 'c \rangle \text{ frame-scheme} \Rightarrow bool \rangle \text{ where}$
 $\langle \text{transitive } M \equiv \forall i. \forall u \in \mathcal{W} M. \forall v \in \mathcal{W} M. \forall w \in \mathcal{W} M.$
 $w \in \mathcal{K} M i v \wedge u \in \mathcal{K} M i w \longrightarrow u \in \mathcal{K} M i v \rangle$

abbreviation $\text{refltrans} :: \langle \langle 'i, 'w, 'c \rangle \text{ frame-scheme} \Rightarrow bool \rangle \text{ where}$
 $\langle \text{refltrans } M \equiv \text{reflexive } M \wedge \text{transitive } M \rangle$

abbreviation $\text{equivalence} :: \langle \langle 'i, 'w, 'c \rangle \text{ frame-scheme} \Rightarrow bool \rangle \text{ where}$
 $\langle \text{equivalence } M \equiv \text{reflexive } M \wedge \text{symmetric } M \wedge \text{transitive } M \rangle$

definition $\text{Euclidean} :: \langle \langle 'i, 'w, 'c \rangle \text{ frame-scheme} \Rightarrow bool \rangle \text{ where}$
 $\langle \text{Euclidean } M \equiv \forall i. \forall u \in \mathcal{W} M. \forall v \in \mathcal{W} M. \forall w \in \mathcal{W} M.$
 $v \in \mathcal{K} M i u \longrightarrow w \in \mathcal{K} M i u \longrightarrow w \in \mathcal{K} M i v \rangle$

lemma Imp-intro [intro]: $\langle (M, w \models p \Longrightarrow M, w \models q) \Longrightarrow M, w \models p \longrightarrow q \rangle$
 by *simp*

theorem distribution : $\langle M, w \models K i p \wedge K i (p \longrightarrow q) \longrightarrow K i q \rangle$
proof

assume $\langle M, w \models K i p \wedge K i (p \longrightarrow q) \rangle$

then have $\langle M, w \models K i p \rangle \langle M, w \models K i (p \longrightarrow q) \rangle$

by *simp-all*
 then have $\langle \forall v \in \mathcal{W} M \cap \mathcal{K} M i w. M, v \models p \rangle \langle \forall v \in \mathcal{W} M \cap \mathcal{K} M i w. M, v \models p \longrightarrow q \rangle$
 by *simp-all*
 then have $\langle \forall v \in \mathcal{W} M \cap \mathcal{K} M i w. M, v \models q \rangle$
 by *simp*
 then show $\langle M, w \models K i q \rangle$
 by *simp*
 qed

theorem generalization:

fixes $M :: \langle ('i, 'w) \text{ kripke} \rangle$
 assumes $\langle \forall (M :: \langle ('i, 'w) \text{ kripke} \rangle). \forall w \in \mathcal{W} M. M, w \models p \rangle \langle w \in \mathcal{W} M \rangle$
 shows $\langle M, w \models K i p \rangle$
 proof –
 have $\langle \forall w' \in \mathcal{W} M \cap \mathcal{K} M i w. M, w' \models p \rangle$
 using *assms* by *blast*
 then show $\langle M, w \models K i p \rangle$
 by *simp*
 qed

theorem truth:

assumes $\langle \text{reflexive } M \rangle \langle w \in \mathcal{W} M \rangle$
 shows $\langle M, w \models K i p \longrightarrow p \rangle$
 proof
 assume $\langle M, w \models K i p \rangle$
 then have $\langle \forall v \in \mathcal{W} M \cap \mathcal{K} M i w. M, v \models p \rangle$
 by *simp*
 moreover have $\langle w \in \mathcal{K} M i w \rangle$
 using $\langle \text{reflexive } M \rangle \langle w \in \mathcal{W} M \rangle$ **unfolding** *reflexive-def* by *blast*
 ultimately show $\langle M, w \models p \rangle$
 using $\langle w \in \mathcal{W} M \rangle$ by *simp*
 qed

theorem pos-introspection:

assumes $\langle \text{transitive } M \rangle \langle w \in \mathcal{W} M \rangle$
 shows $\langle M, w \models K i p \longrightarrow K i (K i p) \rangle$
 proof
 assume $\langle M, w \models K i p \rangle$
 then have $\langle \forall v \in \mathcal{W} M \cap \mathcal{K} M i w. M, v \models p \rangle$
 by *simp*
 then have $\langle \forall v \in \mathcal{W} M \cap \mathcal{K} M i w. \forall u \in \mathcal{W} M \cap \mathcal{K} M i v. M, u \models p \rangle$
 using $\langle \text{transitive } M \rangle \langle w \in \mathcal{W} M \rangle$ **unfolding** *transitive-def* by *blast*
 then have $\langle \forall v \in \mathcal{W} M \cap \mathcal{K} M i w. M, v \models K i p \rangle$
 by *simp*
 then show $\langle M, w \models K i (K i p) \rangle$
 by *simp*
 qed

theorem *neg-introspection*:

assumes $\langle \text{symmetric } M \rangle \langle \text{transitive } M \rangle \langle w \in \mathcal{W } M \rangle$

shows $\langle M, w \models \neg K i p \longrightarrow K i (\neg K i p) \rangle$

proof

assume $\langle M, w \models \neg (K i p) \rangle$

then obtain u **where** $\langle u \in \mathcal{K } M i w \rangle \langle \neg (M, u \models p) \rangle \langle u \in \mathcal{W } M \rangle$

by *auto*

moreover have $\langle \forall v \in \mathcal{W } M \cap \mathcal{K } M i w. u \in \mathcal{W } M \cap \mathcal{K } M i v \rangle$

using $\langle u \in \mathcal{K } M i w \rangle \langle \text{symmetric } M \rangle \langle \text{transitive } M \rangle \langle u \in \mathcal{W } M \rangle \langle w \in \mathcal{W } M \rangle$

unfolding *symmetric-def transitive-def* **by** *blast*

ultimately have $\langle \forall v \in \mathcal{W } M \cap \mathcal{K } M i w. M, v \models \neg K i p \rangle$

by *auto*

then show $\langle M, w \models K i (\neg K i p) \rangle$

by *simp*

qed

4 Normal Modal Logic

primrec *eval* :: $\langle (id \Rightarrow bool) \Rightarrow ('i fm \Rightarrow bool) \Rightarrow 'i fm \Rightarrow bool \rangle$ **where**

$\langle \text{eval } - \cdot \perp = \text{False} \rangle$

| $\langle \text{eval } g \cdot (\text{Pro } x) = g x \rangle$

| $\langle \text{eval } g h (p \vee q) = (\text{eval } g h p \vee \text{eval } g h q) \rangle$

| $\langle \text{eval } g h (p \wedge q) = (\text{eval } g h p \wedge \text{eval } g h q) \rangle$

| $\langle \text{eval } g h (p \longrightarrow q) = (\text{eval } g h p \longrightarrow \text{eval } g h q) \rangle$

| $\langle \text{eval } - h (K i p) = h (K i p) \rangle$

abbreviation $\langle \text{tautology } p \equiv \forall g h. \text{eval } g h p \rangle$

inductive *AK* :: $\langle ('i fm \Rightarrow bool) \Rightarrow 'i fm \Rightarrow bool \rangle$ ($\langle - \vdash - \rangle$ [50, 50] 50)

for A :: $\langle 'i fm \Rightarrow bool \rangle$ **where**

$A1$: $\langle \text{tautology } p \Longrightarrow A \vdash p \rangle$

| $A2$: $\langle A \vdash K i p \wedge K i (p \longrightarrow q) \longrightarrow K i q \rangle$

| Ax : $\langle A p \Longrightarrow A \vdash p \rangle$

| $R1$: $\langle A \vdash p \Longrightarrow A \vdash p \longrightarrow q \Longrightarrow A \vdash q \rangle$

| $R2$: $\langle A \vdash p \Longrightarrow A \vdash K i p \rangle$

primrec *imply* :: $\langle 'i fm \text{ list} \Rightarrow 'i fm \Rightarrow 'i fm \rangle$ (**infixr** $\langle \rightsquigarrow \rangle$ 56) **where**

$\langle (\square \rightsquigarrow q) = q \rangle$

| $\langle (p \# ps \rightsquigarrow q) = (p \longrightarrow ps \rightsquigarrow q) \rangle$

abbreviation *AK-assms* ($\langle -; - \vdash - \rangle$ [50, 50, 50] 50) **where**

$\langle A; G \vdash p \equiv \exists qs. \text{set } qs \subseteq G \wedge (A \vdash qs \rightsquigarrow p) \rangle$

5 Soundness

lemma *eval-semantic*:

$\langle \text{eval } (pi w) (\lambda q. (\mathbb{W} = W, \mathcal{K} = r, \pi = pi)), w \models q \rangle p = ((\mathbb{W} = W, \mathcal{K} = r, \pi = pi), w \models p) \rangle$

by (*induct p*) *simp-all*

lemma *tautology*:

assumes $\langle \text{tautology } p \rangle$

shows $\langle M, w \models p \rangle$

proof –

from *assms* **have** $\langle \text{eval } (g \ w) \ (\lambda q. \ (\mathcal{W} = W, \mathcal{K} = r, \pi = g)), w \models q \rangle$ **for** W
 $g \ r$

by *simp*

then have $\langle (\mathcal{W} = W, \mathcal{K} = r, \pi = g), w \models p \rangle$ **for** $W \ g \ r$

using *eval-semantic* **by** *fast*

then show $\langle M, w \models p \rangle$

by (*metis kripke.cases*)

qed

theorem *soundness*:

assumes $\langle \bigwedge M \ w \ p. \ A \ p \implies P \ M \implies w \in \mathcal{W} \ M \implies M, w \models p \rangle$

shows $\langle A \vdash p \implies P \ M \implies w \in \mathcal{W} \ M \implies M, w \models p \rangle$

by (*induct p arbitrary: w rule: AK.induct*) (*auto simp: assms tautology*)

6 Derived rules

lemma *K-A2'*: $\langle A \vdash K \ i \ (p \longrightarrow q) \longrightarrow K \ i \ p \longrightarrow K \ i \ q \rangle$

proof –

have $\langle A \vdash K \ i \ p \wedge K \ i \ (p \longrightarrow q) \longrightarrow K \ i \ q \rangle$

using *A2* **by** *fast*

moreover have $\langle A \vdash (P \wedge Q \longrightarrow R) \longrightarrow (Q \longrightarrow P \longrightarrow R) \rangle$ **for** $P \ Q \ R$

by (*simp add: A1*)

ultimately show *?thesis*

using *R1* **by** *fast*

qed

lemma *K-map*:

assumes $\langle A \vdash p \longrightarrow q \rangle$

shows $\langle A \vdash K \ i \ p \longrightarrow K \ i \ q \rangle$

proof –

note $\langle A \vdash p \longrightarrow q \rangle$

then have $\langle A \vdash K \ i \ (p \longrightarrow q) \rangle$

using *R2* **by** *fast*

moreover have $\langle A \vdash K \ i \ (p \longrightarrow q) \longrightarrow K \ i \ p \longrightarrow K \ i \ q \rangle$

using *K-A2'* **by** *fast*

ultimately show *?thesis*

using *R1* **by** *fast*

qed

lemma *K-LK*: $\langle A \vdash (L \ i \ (\neg p) \longrightarrow \neg K \ i \ p) \rangle$

proof –

have $\langle A \vdash (p \longrightarrow \neg \neg p) \rangle$

by (*simp add: A1*)

moreover have $\langle A \vdash ((P \longrightarrow Q) \longrightarrow (\neg Q \longrightarrow \neg P)) \rangle$ **for** $P Q$
using $A1$ **by** *force*
ultimately show *?thesis*
using $K\text{-map } R1$ **by** *fast*
qed

lemma $K\text{-imply-head}$: $\langle A \vdash (p \# ps \rightsquigarrow p) \rangle$
proof –
have $\langle \text{tautology } (p \# ps \rightsquigarrow p) \rangle$
by $(\text{induct } ps)$ *simp-all*
then show *?thesis*
using $A1$ **by** *blast*
qed

lemma $K\text{-imply-Cons}$:
assumes $\langle A \vdash ps \rightsquigarrow q \rangle$
shows $\langle A \vdash p \# ps \rightsquigarrow q \rangle$
proof –
have $\langle A \vdash (ps \rightsquigarrow q \longrightarrow p \# ps \rightsquigarrow q) \rangle$
by $(\text{simp add: } A1)$
with $R1$ *assms* **show** *?thesis* .
qed

lemma $K\text{-right-mp}$:
assumes $\langle A \vdash ps \rightsquigarrow p \rangle$ $\langle A \vdash ps \rightsquigarrow (p \longrightarrow q) \rangle$
shows $\langle A \vdash ps \rightsquigarrow q \rangle$
proof –
have $\langle \text{tautology } (ps \rightsquigarrow p \longrightarrow ps \rightsquigarrow (p \longrightarrow q) \longrightarrow ps \rightsquigarrow q) \rangle$
by $(\text{induct } ps)$ *simp-all*
with $A1$ **have** $\langle A \vdash ps \rightsquigarrow p \longrightarrow ps \rightsquigarrow (p \longrightarrow q) \longrightarrow ps \rightsquigarrow q \rangle$.
then show *?thesis*
using *assms* $R1$ **by** *blast*
qed

lemma $\text{tautology-imply-superset}$:
assumes $\langle \text{set } ps \subseteq \text{set } qs \rangle$
shows $\langle \text{tautology } (ps \rightsquigarrow r \longrightarrow qs \rightsquigarrow r) \rangle$
proof $(\text{rule } \text{ccontr})$
assume $\langle \neg \text{tautology } (ps \rightsquigarrow r \longrightarrow qs \rightsquigarrow r) \rangle$
then obtain $g h$ **where** $\langle \neg \text{eval } g h (ps \rightsquigarrow r \longrightarrow qs \rightsquigarrow r) \rangle$
by *blast*
then have $\langle \text{eval } g h (ps \rightsquigarrow r) \rangle$ $\langle \neg \text{eval } g h (qs \rightsquigarrow r) \rangle$
by *simp-all*
then consider (np) $\langle \exists p \in \text{set } ps. \neg \text{eval } g h p \rangle$ | (r) $\langle \forall p \in \text{set } ps. \text{eval } g h p \rangle$
 $\langle \text{eval } g h r \rangle$
by $(\text{induct } ps)$ *auto*
then show *False*
proof *cases*
case np

```

then have ⟨ $\exists p \in \text{set } qs. \neg \text{eval } g \ h \ p$ ⟩
  using ⟨ $\text{set } ps \subseteq \text{set } qs$ ⟩ by blast
then have ⟨ $\text{eval } g \ h \ (qs \rightsquigarrow r)$ ⟩
  by (induct qs) simp-all
then show ?thesis
  using ⟨ $\neg \text{eval } g \ h \ (qs \rightsquigarrow r)$ ⟩ by blast
next
case r
then have ⟨ $\text{eval } g \ h \ (qs \rightsquigarrow r)$ ⟩
  by (induct qs) simp-all
then show ?thesis
  using ⟨ $\neg \text{eval } g \ h \ (qs \rightsquigarrow r)$ ⟩ by blast
qed
qed

lemma K-impIy-weaken:
  assumes ⟨ $A \vdash ps \rightsquigarrow q$ ⟩ ⟨ $\text{set } ps \subseteq \text{set } ps'$ ⟩
  shows ⟨ $A \vdash ps' \rightsquigarrow q$ ⟩
proof -
  have ⟨ $\text{tautology } (ps \rightsquigarrow q \longrightarrow ps' \rightsquigarrow q)$ ⟩
    using ⟨ $\text{set } ps \subseteq \text{set } ps'$ ⟩ tautology-impIy-superset by blast
  then have ⟨ $A \vdash ps \rightsquigarrow q \longrightarrow ps' \rightsquigarrow q$ ⟩
    using A1 by blast
  then show ?thesis
    using ⟨ $A \vdash ps \rightsquigarrow q$ ⟩ R1 by blast
qed

lemma impIy-append: ⟨ $(ps @ ps' \rightsquigarrow q) = (ps \rightsquigarrow ps' \rightsquigarrow q)$ ⟩
  by (induct ps) simp-all

lemma K-ImpI:
  assumes ⟨ $A \vdash p \# G \rightsquigarrow q$ ⟩
  shows ⟨ $A \vdash G \rightsquigarrow (p \longrightarrow q)$ ⟩
proof -
  have ⟨ $\text{set } (p \# G) \subseteq \text{set } (G @ [p])$ ⟩
    by simp
  then have ⟨ $A \vdash G @ [p] \rightsquigarrow q$ ⟩
    using assms K-impIy-weaken by blast
  then have ⟨ $A \vdash G \rightsquigarrow [p] \rightsquigarrow q$ ⟩
    using impIy-append by metis
  then show ?thesis
    by simp
qed

lemma K-Boole:
  assumes ⟨ $A \vdash (\neg p) \# G \rightsquigarrow \perp$ ⟩
  shows ⟨ $A \vdash G \rightsquigarrow p$ ⟩
proof -
  have ⟨ $A \vdash G \rightsquigarrow \neg \neg p$ ⟩

```

using *assms K-ImpI* by *blast*
 moreover have $\langle \text{tautology } (G \rightsquigarrow \neg \neg p \longrightarrow G \rightsquigarrow p) \rangle$
 by *(induct G) simp-all*
 then have $\langle A \vdash (G \rightsquigarrow \neg \neg p \longrightarrow G \rightsquigarrow p) \rangle$
 using *A1* by *blast*
 ultimately show *?thesis*
 using *R1* by *blast*
 qed

lemma *K-DisE*:
 assumes $\langle A \vdash p \# G \rightsquigarrow r \rangle \langle A \vdash q \# G \rightsquigarrow r \rangle \langle A \vdash G \rightsquigarrow p \vee q \rangle$
 shows $\langle A \vdash G \rightsquigarrow r \rangle$
proof –
 have $\langle \text{tautology } (p \# G \rightsquigarrow r \longrightarrow q \# G \rightsquigarrow r \longrightarrow G \rightsquigarrow p \vee q \longrightarrow G \rightsquigarrow r) \rangle$
 by *(induct G) auto*
 then have $\langle A \vdash p \# G \rightsquigarrow r \longrightarrow q \# G \rightsquigarrow r \longrightarrow G \rightsquigarrow p \vee q \longrightarrow G \rightsquigarrow r \rangle$
 using *A1* by *blast*
 then show *?thesis*
 using *assms R1* by *blast*
 qed

lemma *K-mp*: $\langle A \vdash p \# (p \longrightarrow q) \# G \rightsquigarrow q \rangle$
 by *(meson K-imp-lead K-imp-weaken K-right-mp set-subset-Cons)*

lemma *K-swap*:
 assumes $\langle A \vdash p \# q \# G \rightsquigarrow r \rangle$
 shows $\langle A \vdash q \# p \# G \rightsquigarrow r \rangle$
 using *assms K-ImpI* by *(metis imply.simps(1–2))*

lemma *K-DisL*:
 assumes $\langle A \vdash p \# ps \rightsquigarrow q \rangle \langle A \vdash p' \# ps \rightsquigarrow q \rangle$
 shows $\langle A \vdash (p \vee p') \# ps \rightsquigarrow q \rangle$
proof –
 have $\langle A \vdash p \# (p \vee p') \# ps \rightsquigarrow q \rangle \langle A \vdash p' \# (p \vee p') \# ps \rightsquigarrow q \rangle$
 using *assms K-swap K-imp-Cons* by *blast+*
 moreover have $\langle A \vdash (p \vee p') \# ps \rightsquigarrow p \vee p' \rangle$
 using *K-imp-lead* by *blast*
 ultimately show *?thesis*
 using *K-DisE* by *blast*
 qed

lemma *K-distrib-K-imp*:
 assumes $\langle A \vdash K i (G \rightsquigarrow q) \rangle$
 shows $\langle A \vdash \text{map } (K i) G \rightsquigarrow K i q \rangle$
proof –
 have $\langle A \vdash (K i (G \rightsquigarrow q)) \longrightarrow \text{map } (K i) G \rightsquigarrow K i q \rangle$
proof *(induct G)*
 case *Nil*
 then show *?case*

by (*simp add: A1*)
 next
 case (*Cons a G*)
 have $\langle A \vdash K i a \wedge K i (a \# G \rightsquigarrow q) \longrightarrow K i (G \rightsquigarrow q) \rangle$
 by (*simp add: A2*)
 moreover have
 $\langle A \vdash ((K i a \wedge K i (a \# G \rightsquigarrow q) \longrightarrow K i (G \rightsquigarrow q)) \longrightarrow$
 $(K i (G \rightsquigarrow q) \longrightarrow \text{map } (K i) G \rightsquigarrow K i q) \longrightarrow$
 $(K i a \wedge K i (a \# G \rightsquigarrow q) \longrightarrow \text{map } (K i) G \rightsquigarrow K i q)) \rangle$
 by (*simp add: A1*)
 ultimately have $\langle A \vdash K i a \wedge K i (a \# G \rightsquigarrow q) \longrightarrow \text{map } (K i) G \rightsquigarrow K i q \rangle$
 using *Cons R1* by *blast*
 moreover have
 $\langle A \vdash ((K i a \wedge K i (a \# G \rightsquigarrow q) \longrightarrow \text{map } (K i) G \rightsquigarrow K i q) \longrightarrow$
 $(K i (a \# G \rightsquigarrow q) \longrightarrow K i a \longrightarrow \text{map } (K i) G \rightsquigarrow K i q)) \rangle$
 by (*simp add: A1*)
 ultimately have $\langle A \vdash (K i (a \# G \rightsquigarrow q) \longrightarrow K i a \longrightarrow \text{map } (K i) G \rightsquigarrow K i$
 $q) \rangle$
 using *R1* by *blast*
 then show *?case*
 by *simp*
 qed
 then show *?thesis*
 using *assms R1* by *blast*
 qed

lemma *K-trans*: $\langle A \vdash (p \longrightarrow q) \longrightarrow (q \longrightarrow r) \longrightarrow p \longrightarrow r \rangle$
 by (*auto intro: A1*)

lemma *K-L-dual*: $\langle A \vdash \neg L i (\neg p) \longrightarrow K i p \rangle$

proof –

have $\langle A \vdash K i p \longrightarrow K i p \rangle \langle A \vdash \neg \neg p \longrightarrow p \rangle$

by (*auto intro: A1*)

then have $\langle A \vdash K i (\neg \neg p) \longrightarrow K i p \rangle$

by (*auto intro: K-map*)

moreover have $\langle A \vdash (P \longrightarrow Q) \longrightarrow (\neg \neg P \longrightarrow Q) \rangle$ for $P Q$

by (*auto intro: A1*)

ultimately show $\langle A \vdash \neg \neg K i (\neg \neg p) \longrightarrow K i p \rangle$

by (*auto intro: R1*)

qed

7 Strong Soundness

corollary *soundness-imply*:

assumes $\langle \bigwedge M w p. A p \implies P M \implies w \in \mathcal{W} M \implies M, w \models p \rangle$

shows $\langle A \vdash ps \rightsquigarrow p \implies P; \text{ set } ps \models^* p \rangle$

proof (*induct ps arbitrary: p*)

case *Nil*

then show *?case*


```

    using soundness[of A P p] assms by simp
next
  case (Cons a ps)
  then show ?case
    using K-ImpI by fastforce
qed

theorem strong-soundness:
  assumes  $\langle \bigwedge M w p. A p \implies P M \implies w \in \mathcal{W} M \implies M, w \models p \rangle$ 
  shows  $\langle A; G \vdash p \implies P; G \Vdash^* p \rangle$ 
proof safe
  fix qs w and M ::  $\langle ('a, 'b) \text{ kripke} \rangle$ 
  assume  $\langle A \vdash qs \rightsquigarrow p \rangle$ 
  moreover assume  $\langle \text{set } qs \subseteq G \rangle \langle \forall q \in G. M, w \models q \rangle$ 
  then have  $\langle \forall q \in \text{set } qs. M, w \models q \rangle$ 
    using  $\langle \text{set } qs \subseteq G \rangle$  by blast
  moreover assume  $\langle P M \rangle \langle w \in \mathcal{W} M \rangle$ 
  ultimately show  $\langle M, w \models p \rangle$ 
    using soundness-imply[of A P qs p] assms by blast
qed

```

8 Completeness

8.1 Consistent sets

definition *consistent* :: $\langle ('i \text{ fm} \Rightarrow \text{bool}) \Rightarrow 'i \text{ fm set} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{consistent } A S \equiv \neg (A; S \vdash \perp) \rangle$

lemma *inconsistent-subset*:

assumes $\langle \text{consistent } A V \rangle \langle \neg \text{consistent } A (\{p\} \cup V) \rangle$
obtains V' **where** $\langle \text{set } V' \subseteq V \rangle \langle A \vdash p \# V' \rightsquigarrow \perp \rangle$

proof –

obtain V' **where** V' : $\langle \text{set } V' \subseteq (\{p\} \cup V) \rangle \langle p \in \text{set } V' \rangle \langle A \vdash V' \rightsquigarrow \perp \rangle$
 using *assms unfolding consistent-def* by blast
then have *: $\langle A \vdash p \# V' \rightsquigarrow \perp \rangle$
 using *K-imply-Cons* by blast

let $?S = \langle \text{removeAll } p V' \rangle$
have $\langle \text{set } (p \# V') \subseteq \text{set } (p \# ?S) \rangle$
 by *auto*
then have $\langle A \vdash p \# ?S \rightsquigarrow \perp \rangle$
 using * *K-imply-weaken* by blast
moreover have $\langle \text{set } ?S \subseteq V \rangle$
 using $V'(1)$ by (*metis Diff-subset-conv set-removeAll*)
ultimately show *?thesis*
 using *that* by blast

qed

lemma *consistent-consequent*:

assumes $\langle \text{consistent } A \ V \rangle \langle p \in V \rangle \langle A \vdash p \longrightarrow q \rangle$
shows $\langle \text{consistent } A \ (\{q\} \cup V) \rangle$
proof –
have $\langle \forall V'. \text{ set } V' \subseteq V \longrightarrow \neg (A \vdash p \# V' \rightsquigarrow \perp) \rangle$
using $\langle \text{consistent } A \ V \rangle \langle p \in V \rangle$ **unfolding** *consistent-def*
by (*metis insert-subset list.simps(15)*)
then have $\langle \forall V'. \text{ set } V' \subseteq V \longrightarrow \neg (A \vdash q \# V' \rightsquigarrow \perp) \rangle$
using $\langle A \vdash (p \longrightarrow q) \rangle$ *K-imp-lead K-right-mp* **by** (*metis imply.simps(1-2)*)
then show *?thesis*
using $\langle \text{consistent } A \ V \rangle$ *inconsistent-subset* **by** *metis*
qed

lemma *consistent-consequent'*:
assumes $\langle \text{consistent } A \ V \rangle \langle p \in V \rangle \langle \text{tautology } (p \longrightarrow q) \rangle$
shows $\langle \text{consistent } A \ (\{q\} \cup V) \rangle$
using *assms consistent-consequent A1* **by** *blast*

lemma *consistent-disjuncts*:
assumes $\langle \text{consistent } A \ V \rangle \langle (p \vee q) \in V \rangle$
shows $\langle \text{consistent } A \ (\{p\} \cup V) \vee \text{consistent } A \ (\{q\} \cup V) \rangle$
proof (*rule ccontr*)
assume $\langle \neg \text{?thesis} \rangle$
then have $\langle \neg \text{consistent } A \ (\{p\} \cup V) \rangle \langle \neg \text{consistent } A \ (\{q\} \cup V) \rangle$
by *blast+*

then obtain $S' \ T'$ **where**
 S' : $\langle \text{set } S' \subseteq V \rangle \langle A \vdash p \# S' \rightsquigarrow \perp \rangle$ **and**
 T' : $\langle \text{set } T' \subseteq V \rangle \langle A \vdash q \# T' \rightsquigarrow \perp \rangle$
using $\langle \text{consistent } A \ V \rangle$ *inconsistent-subset* **by** *metis*

from S' **have** p : $\langle A \vdash p \# S' @ T' \rightsquigarrow \perp \rangle$
by (*metis K-imp-weak- Un-upper1 append-Cons set-append*)
moreover from T' **have** q : $\langle A \vdash q \# S' @ T' \rightsquigarrow \perp \rangle$
by (*metis K-imp-lead K-right-mp R1 imply.simps(2) imply-append*)
ultimately have $\langle A \vdash (p \vee q) \# S' @ T' \rightsquigarrow \perp \rangle$
using *K-DisL* **by** *blast*
then have $\langle A \vdash S' @ T' \rightsquigarrow \perp \rangle$
using $S'(1) \ T'(1) \ p \ q \ \langle \text{consistent } A \ V \rangle \langle (p \vee q) \in V \rangle$ **unfolding** *consistent-def*
by (*metis Un-subset-iff insert-subset list.simps(15) set-append*)
moreover have $\langle \text{set } (S' @ T') \subseteq V \rangle$
by (*simp add: S'(1) T'(1)*)
ultimately show *False*
using $\langle \text{consistent } A \ V \rangle$ **unfolding** *consistent-def* **by** *blast*
qed

lemma *exists-finite-inconsistent*:
assumes $\langle \neg \text{consistent } A \ (\{\neg p\} \cup V) \rangle$
obtains W **where** $\langle \{\neg p\} \cup W \subseteq \{\neg p\} \cup V \rangle \langle (\neg p) \notin W \rangle \langle \text{finite } W \rangle \langle \neg \text{consistent } A \ (\{\neg p\} \cup W) \rangle$

proof –
obtain W' **where** W' : $\langle \text{set } W' \subseteq \{\neg p\} \cup V \rangle \langle A \vdash W' \rightsquigarrow \perp \rangle$
using *assms unfolding consistent-def* **by** *blast*
let $?S = \langle \text{removeAll } (\neg p) W' \rangle$
have $\langle \neg \text{consistent } A (\{\neg p\} \cup \text{set } ?S) \rangle$
unfolding *consistent-def* **using** $W'(2)$ **by** *auto*
moreover have $\langle \text{finite } (\text{set } ?S) \rangle$
by *blast*
moreover have $\langle \{\neg p\} \cup \text{set } ?S \subseteq \{\neg p\} \cup V \rangle$
using $W'(1)$ **by** *auto*
moreover have $\langle (\neg p) \notin \text{set } ?S \rangle$
by *simp*
ultimately show *?thesis*
by (*meson that*)
qed

lemma *inconsistent-imply*:
assumes $\langle \neg \text{consistent } A (\{\neg p\} \cup \text{set } G) \rangle$
shows $\langle A \vdash G \rightsquigarrow p \rangle$
using *assms K-Boole K-imply-weaken unfolding consistent-def*
by (*metis insert-is-Un list.simps(15)*)

8.2 Maximal consistent sets

lemma *fm-any-size*: $\langle \exists p :: 'i \text{ fm. size } p = n \rangle$
proof (*induct n*)
case 0
then show *?case*
using *fm.size(7)* **by** *blast*
next
case (*Suc n*)
then show *?case*
by (*metis add commute add-0 add-Suc-right fm.size(12)*)
qed

lemma *infinite-UNIV-fm*: $\langle \text{infinite } (\text{UNIV} :: 'i \text{ fm set}) \rangle$
using *fm-any-size* **by** (*metis (full-types) finite-imageI infinite-UNIV-nat surj-def*)

interpretation *MCS* $\langle \text{consistent } A \rangle$ **for** $A :: 'i \text{ fm} \Rightarrow \text{bool}$
proof
show $\langle \text{infinite } (\text{UNIV} :: 'i \text{ fm set}) \rangle$
using *infinite-UNIV-fm* .
next
fix $S S'$
assume $\langle \text{consistent } A S \rangle \langle S' \subseteq S \rangle$
then show $\langle \text{consistent } A S' \rangle$
unfolding *consistent-def* **by** *simp*
next
fix S

```

assume  $\langle \neg \text{consistent } A \ S \rangle$ 
then show  $\langle \exists S' \subseteq S. \text{finite } S' \wedge \neg \text{consistent } A \ S' \rangle$ 
  unfolding consistent-def by blast
qed

theorem deriv-in-maximal:
  assumes  $\langle \text{consistent } A \ V \rangle \langle \text{maximal } A \ V \rangle \langle A \vdash p \rangle$ 
  shows  $\langle p \in V \rangle$ 
  using assms R1 inconsistent-subset unfolding consistent-def maximal-def
  by (metis imply.simps(2))

theorem exactly-one-in-maximal:
  assumes  $\langle \text{consistent } A \ V \rangle \langle \text{maximal } A \ V \rangle$ 
  shows  $\langle p \in V \longleftrightarrow (\neg p) \notin V \rangle$ 
proof
  assume  $\langle p \in V \rangle$ 
  then show  $\langle (\neg p) \notin V \rangle$ 
    using assms K-mp unfolding consistent-def maximal-def
    by (metis empty-subsetI insert-subset list.set(1) list.simps(15))
next
  assume  $\langle (\neg p) \notin V \rangle$ 
  have  $\langle A \vdash (p \vee \neg p) \rangle$ 
    by (simp add: A1)
  then have  $\langle (p \vee \neg p) \in V \rangle$ 
    using assms deriv-in-maximal by blast
  then have  $\langle \text{consistent } A \ (\{p\} \cup V) \vee \text{consistent } A \ (\{\neg p\} \cup V) \rangle$ 
    using assms consistent-disjuncts by blast
  then show  $\langle p \in V \rangle$ 
    using  $\langle \text{maximal } A \ V \rangle \langle (\neg p) \notin V \rangle$  unfolding maximal-def by blast
qed

theorem consequent-in-maximal:
  assumes  $\langle \text{consistent } A \ V \rangle \langle \text{maximal } A \ V \rangle \langle p \in V \rangle \langle (p \longrightarrow q) \in V \rangle$ 
  shows  $\langle q \in V \rangle$ 
proof –
  have  $\langle \forall V'. \text{set } V' \subseteq V \longrightarrow \neg (A \vdash p \# (p \longrightarrow q) \# V' \rightsquigarrow \perp) \rangle$ 
    using  $\langle \text{consistent } A \ V \rangle \langle p \in V \rangle \langle (p \longrightarrow q) \in V \rangle$  unfolding consistent-def
    by (metis insert-subset list.simps(15))
  then have  $\langle \forall V'. \text{set } V' \subseteq V \longrightarrow \neg (A \vdash q \# V' \rightsquigarrow \perp) \rangle$ 
    by (meson K-mp K-ImpI K-imply-weaken K-right-mp set-subset-Cons)
  then have  $\langle \text{consistent } A \ (\{q\} \cup V) \rangle$ 
    using  $\langle \text{consistent } A \ V \rangle$  inconsistent-subset by metis
  then show ?thesis
    using  $\langle \text{maximal } A \ V \rangle$  unfolding maximal-def by fast
qed

theorem ax-in-maximal:
  assumes  $\langle \text{consistent } A \ V \rangle \langle \text{maximal } A \ V \rangle \langle A \ p \rangle$ 
  shows  $\langle p \in V \rangle$ 

```

using *assms deriv-in-maximal Ax* by *blast*

theorem *mcs-properties*:

assumes $\langle \text{consistent } A \ V \rangle$ and $\langle \text{maximal } A \ V \rangle$

shows $\langle A \vdash p \implies p \in V \rangle$

and $\langle p \in V \iff (\neg p) \notin V \rangle$

and $\langle p \in V \implies (p \longrightarrow q) \in V \implies q \in V \rangle$

using *assms deriv-in-maximal exactly-one-in-maximal consequent-in-maximal* by *blast+*

lemma *maximal-extension*:

fixes $V :: \langle 'i \text{ fm set} \rangle$

assumes $\langle \text{consistent } A \ V \rangle$

obtains W where $\langle V \subseteq W \rangle$ $\langle \text{consistent } A \ W \rangle$ $\langle \text{maximal } A \ W \rangle$

proof –

let $?W = \langle \text{Extend } A \ V \rangle$

have $\langle V \subseteq ?W \rangle$

using *Extend-subset* by *blast*

moreover have $\langle \text{consistent } A \ ?W \rangle$

using *assms consistent-Extend* by *blast*

moreover have $\langle \text{maximal } A \ ?W \rangle$

using *assms maximal-Extend* by *blast*

ultimately show *?thesis*

using *that* by *blast*

qed

8.3 Canonical model

abbreviation *pi* :: $\langle 'i \text{ fm set} \Rightarrow id \Rightarrow bool \rangle$ where

$\langle pi \ V \ x \equiv Pro \ x \in V \rangle$

abbreviation *known* :: $\langle 'i \text{ fm set} \Rightarrow 'i \Rightarrow 'i \text{ fm set} \rangle$ where

$\langle known \ V \ i \equiv \{p. K \ i \ p \in V\} \rangle$

abbreviation *reach* :: $\langle ('i \text{ fm} \Rightarrow bool) \Rightarrow 'i \Rightarrow 'i \text{ fm set} \Rightarrow 'i \text{ fm set set} \rangle$ where

$\langle reach \ A \ i \ V \equiv \{W. known \ V \ i \subseteq W\} \rangle$

abbreviation *mcss* :: $\langle ('i \text{ fm} \Rightarrow bool) \Rightarrow 'i \text{ fm set set} \rangle$ where

$\langle mcss \ A \equiv \{W. consistent \ A \ W \wedge maximal \ A \ W\} \rangle$

abbreviation *canonical* :: $\langle ('i \text{ fm} \Rightarrow bool) \Rightarrow ('i, 'i \text{ fm set}) \text{ kripke} \rangle$ where

$\langle canonical \ A \equiv (\mathcal{W} = mcss \ A, \mathcal{K} = reach \ A, \pi = pi) \rangle$

lemma *truth-lemma*:

fixes $p :: \langle 'i \text{ fm} \rangle$

assumes $\langle \text{consistent } A \ V \rangle$ and $\langle \text{maximal } A \ V \rangle$

shows $\langle p \in V \iff canonical \ A, V \models p \rangle$

using *assms*

proof (*induct p arbitrary: V*)

```

case FF
then show ?case
proof safe
  assume  $\langle \perp \in V \rangle$ 
  then have False
    using  $\langle \text{consistent } A \ V \rangle$  K-imply-head unfolding consistent-def
    by (metis bot.extremum insert-subset list.set(1) list.simps(15))
  then show  $\langle \text{canonical } A, V \models \perp \rangle$  ..
next
  assume  $\langle \text{canonical } A, V \models \perp \rangle$ 
  then show  $\langle \perp \in V \rangle$ 
    by simp
qed
next
case (Pro x)
then show ?case
  by simp
next
case (Dis p q)
then show ?case
proof safe
  assume  $\langle (p \vee q) \in V \rangle$ 
  then have  $\langle \text{consistent } A (\{p\} \cup V) \vee \text{consistent } A (\{q\} \cup V) \rangle$ 
    using  $\langle \text{consistent } A \ V \rangle$  consistent-disjuncts by blast
  then have  $\langle p \in V \vee q \in V \rangle$ 
    using  $\langle \text{maximal } A \ V \rangle$  unfolding maximal-def by fast
  then show  $\langle \text{canonical } A, V \models (p \vee q) \rangle$ 
    using Dis by simp
next
  assume  $\langle \text{canonical } A, V \models (p \vee q) \rangle$ 
  then consider  $\langle \text{canonical } A, V \models p \rangle \mid \langle \text{canonical } A, V \models q \rangle$ 
    by auto
  then have  $\langle p \in V \vee q \in V \rangle$ 
    using Dis by auto
  moreover have  $\langle A \vdash p \longrightarrow p \vee q \rangle \langle A \vdash q \longrightarrow p \vee q \rangle$ 
    by (auto simp: A1)
  ultimately show  $\langle (p \vee q) \in V \rangle$ 
    using Dis.premis deriv-in-maximal consequent-in-maximal by blast
qed
next
case (Con p q)
then show ?case
proof safe
  assume  $\langle (p \wedge q) \in V \rangle$ 
  then have  $\langle \text{consistent } A (\{p\} \cup V) \rangle \langle \text{consistent } A (\{q\} \cup V) \rangle$ 
    using  $\langle \text{consistent } A \ V \rangle$  consistent-consequent' by fastforce+
  then have  $\langle p \in V \rangle \langle q \in V \rangle$ 
    using  $\langle \text{maximal } A \ V \rangle$  unfolding maximal-def by fast+
  then show  $\langle \text{canonical } A, V \models (p \wedge q) \rangle$ 

```

```

    using Con by simp
next
  assume  $\langle \text{canonical } A, V \models (p \wedge q) \rangle$ 
  then have  $\langle \text{canonical } A, V \models p \rangle \langle \text{canonical } A, V \models q \rangle$ 
    by auto
  then have  $\langle p \in V \rangle \langle q \in V \rangle$ 
    using Con by auto
  moreover have  $\langle A \vdash p \longrightarrow q \longrightarrow p \wedge q \rangle$ 
    by (auto simp: A1)
  ultimately show  $\langle (p \wedge q) \in V \rangle$ 
    using Con.premis deriv-in-maximal consequent-in-maximal by blast
qed
next
  case (Imp  $p$   $q$ )
  then show ?case
  proof safe
    assume  $\langle (p \longrightarrow q) \in V \rangle$ 
    then have  $\langle \text{consistent } A (\{\neg p \vee q\} \cup V) \rangle$ 
      using  $\langle \text{consistent } A V \rangle$  consistent-consequent' by fastforce
    then have  $\langle \text{consistent } A (\{\neg p\} \cup V) \vee \text{consistent } A (\{q\} \cup V) \rangle$ 
      using  $\langle \text{consistent } A V \rangle \langle \text{maximal } A V \rangle$  consistent-disjuncts unfolding maximal-def by blast
    then have  $\langle (\neg p) \in V \vee q \in V \rangle$ 
      using  $\langle \text{maximal } A V \rangle$  unfolding maximal-def by fast
    then have  $\langle p \notin V \vee q \in V \rangle$ 
      using Imp.premis exactly-one-in-maximal by blast
    then show  $\langle \text{canonical } A, V \models (p \longrightarrow q) \rangle$ 
      using Imp by simp
  next
    assume  $\langle \text{canonical } A, V \models (p \longrightarrow q) \rangle$ 
    then consider  $\langle \neg \text{canonical } A, V \models p \rangle \mid \langle \text{canonical } A, V \models q \rangle$ 
      by auto
    then have  $\langle p \notin V \vee q \in V \rangle$ 
      using Imp by auto
    then have  $\langle (\neg p) \in V \vee q \in V \rangle$ 
      using Imp.premis exactly-one-in-maximal by blast
    moreover have  $\langle A \vdash \neg p \longrightarrow p \longrightarrow q \rangle \langle A \vdash q \longrightarrow p \longrightarrow q \rangle$ 
      by (auto simp: A1)
    ultimately show  $\langle (p \longrightarrow q) \in V \rangle$ 
      using Imp.premis deriv-in-maximal consequent-in-maximal by blast
  qed
next
  case (K  $i$   $p$ )
  then show ?case
  proof safe
    assume  $\langle K\ i\ p \in V \rangle$ 
    then show  $\langle \text{canonical } A, V \models K\ i\ p \rangle$ 
      using K.hyps by auto
  next

```

```

assume  $\langle \text{canonical } A, V \models K \ i \ p \rangle$ 

have  $\langle \neg \text{consistent } A \ (\{\neg p\} \cup \text{known } V \ i) \rangle$ 
proof
  assume  $\langle \text{consistent } A \ (\{\neg p\} \cup \text{known } V \ i) \rangle$ 
  then obtain  $W$  where  $W: \langle \{\neg p\} \cup \text{known } V \ i \subseteq W \rangle \langle \text{consistent } A \ W \rangle$ 
 $\langle \text{maximal } A \ W \rangle$ 
  using  $\langle \text{consistent } A \ V \rangle$  maximal-extension by blast
  then have  $\langle \text{canonical } A, W \models \neg p \rangle$ 
  using  $K$   $\langle \text{consistent } A \ V \rangle$  exactly-one-in-maximal by auto
  moreover have  $\langle W \in \text{reach } A \ i \ V \rangle \langle W \in \text{mcss } A \rangle$ 
  using  $W$  by simp-all
  ultimately have  $\langle \text{canonical } A, V \models \neg K \ i \ p \rangle$ 
  by auto
  then show False
  using  $\langle \text{canonical } A, V \models K \ i \ p \rangle$  by auto
qed

then obtain  $W$  where  $W:$ 
 $\langle \{\neg p\} \cup W \subseteq \{\neg p\} \cup \text{known } V \ i \rangle \langle (\neg p) \notin W \rangle \langle \text{finite } W \rangle \langle \neg \text{consistent } A$ 
 $(\{\neg p\} \cup W) \rangle$ 
  using exists-finite-inconsistent by metis

obtain  $L$  where  $L: \langle \text{set } L = W \rangle$ 
  using  $\langle \text{finite } W \rangle$  finite-list by blast

then have  $\langle A \vdash L \rightsquigarrow p \rangle$ 
  using  $W(4)$  inconsistent-imply by blast
then have  $\langle A \vdash K \ i \ (L \rightsquigarrow p) \rangle$ 
  using  $R2$  by fast
then have  $\langle A \vdash \text{map } (K \ i) \ L \rightsquigarrow K \ i \ p \rangle$ 
  using K-distrib-K-imp by fast
then have  $\langle \text{map } (K \ i) \ L \rightsquigarrow K \ i \ p \in V \rangle$ 
  using deriv-in-maximal  $K.\text{prems}(1, 2)$  by blast
then show  $\langle K \ i \ p \in V \rangle$ 
  using  $L \ W(1-2)$ 
proof (induct  $L$  arbitrary: W)
  case ( $\text{Cons } a \ L$ )
  then have  $\langle K \ i \ a \in V \rangle$ 
  by auto
  then have  $\langle \text{map } (K \ i) \ L \rightsquigarrow K \ i \ p \in V \rangle$ 
  using  $\text{Cons}(2)$   $\langle \text{consistent } A \ V \rangle \langle \text{maximal } A \ V \rangle$  consequent-in-maximal by
auto
  then show ?case
  using  $\text{Cons}$  by auto
qed simp
qed
qed

```


lemma *canonical-model*:
assumes $\langle \text{consistent } A \ S \rangle$ **and** $\langle p \in S \rangle$
defines $\langle V \equiv \text{Extend } A \ S \rangle$ **and** $\langle M \equiv \text{canonical } A \rangle$
shows $\langle M, V \models p \rangle$ **and** $\langle \text{consistent } A \ V \rangle$ **and** $\langle \text{maximal } A \ V \rangle$
proof –
have $\langle \text{consistent } A \ V \rangle$
using $\langle \text{consistent } A \ S \rangle$ **unfolding** *V-def* **using** *consistent-Extend* **by** *blast*
have $\langle \text{maximal } A \ V \rangle$
unfolding *V-def* **using** *maximal-Extend* **by** *blast*
{ **fix** x
assume $\langle x \in S \rangle$
then have $\langle x \in V \rangle$
unfolding *V-def* **using** *Extend-subset* **by** *blast*
then have $\langle M, V \models x \rangle$
unfolding *M-def* **using** *truth-lemma* $\langle \text{consistent } A \ V \rangle$ $\langle \text{maximal } A \ V \rangle$ **by**
blast **}**
then show $\langle M, V \models p \rangle$
using $\langle p \in S \rangle$ **by** *blast+*
show $\langle \text{consistent } A \ V \rangle$ $\langle \text{maximal } A \ V \rangle$
by *fact+*
qed

8.4 Completeness

abbreviation *valid* :: $\langle \langle ('i, 'i \text{ fm set}) \text{ kripke} \Rightarrow \text{bool} \rangle \Rightarrow 'i \text{ fm set} \Rightarrow 'i \text{ fm} \Rightarrow \text{bool} \rangle$
 $\langle \langle -, - \models - \rangle [50, 50, 50] 50 \rangle$
where $\langle P; G \models p \equiv P; G \models^* p \rangle$

theorem *strong-completeness*:
assumes $\langle P; G \models p \rangle$ **and** $\langle P \text{ (canonical } A) \rangle$
shows $\langle A; G \vdash p \rangle$
proof (*rule ccontr*)
assume $\langle \nexists \text{ qs. set } qs \subseteq G \wedge (A \vdash \text{qs} \rightsquigarrow p) \rangle$
then have $*$: $\langle \forall \text{ qs. set } qs \subseteq G \longrightarrow \neg (A \vdash (\neg p) \# \text{qs} \rightsquigarrow \perp) \rangle$
using *K-Boole* **by** *blast*

let $?S = \langle \{ \neg p \} \cup G \rangle$
let $?V = \langle \text{Extend } A \ ?S \rangle$
let $?M = \langle \text{canonical } A \rangle$

have $\langle \text{consistent } A \ ?S \rangle$
using $*$ **by** (*metis K-imply-Cons consistent-def inconsistent-subset*)
then have $\langle ?M, ?V \models (\neg p) \rangle$ $\langle \forall q \in G. ?M, ?V \models q \rangle$
using *canonical-model* **by** *fastforce+*
moreover have $\langle ?V \in \text{mcss } A \rangle$
using $\langle \text{consistent } A \ ?S \rangle$ *consistent-Extend* *maximal-Extend* **by** *blast*
ultimately have $\langle ?M, ?V \models p \rangle$
using *assms* **by** *simp*
then show *False*

using $\langle ?M, ?V \models (\neg p) \rangle$ by *simp*
qed

corollary *completeness*:

assumes $\langle P; \{\} \models p \rangle$ and $\langle P \text{ (canonical } A) \rangle$

shows $\langle A \vdash p \rangle$

using *assms strong-completeness*[where $G = \{\}$] by *simp*

corollary *completeness_A*:

assumes $\langle (\lambda-. \text{ True}); \{\} \models p \rangle$

shows $\langle A \vdash p \rangle$

using *assms completeness* by *blast*

9 System K

abbreviation *SystemK* $\langle \vdash_K \rightarrow [50] 50 \rangle$ where

$\langle G \vdash_K p \equiv (\lambda-. \text{ False}); G \vdash p \rangle$

lemma *strong-soundness_K*: $\langle G \vdash_K p \implies P; G \models_\star p \rangle$

using *strong-soundness*[of $\langle \lambda-. \text{ False} \rangle \langle \lambda-. \text{ True} \rangle$] by *fast*

abbreviation *validK* $\langle \models_K \rightarrow [50, 50] 50 \rangle$ where

$\langle G \models_K p \equiv (\lambda-. \text{ True}); G \models p \rangle$

lemma *strong-completeness_K*: $\langle G \models_K p \implies G \vdash_K p \rangle$

using *strong-completeness*[of $\langle \lambda-. \text{ True} \rangle$] by *blast*

theorem *main_K*: $\langle G \models_K p \longleftrightarrow G \vdash_K p \rangle$

using *strong-soundness_K*[of $G p$] *strong-completeness_K*[of $G p$] by *fast*

corollary $\langle G \models_K p \implies (\lambda-. \text{ True}); G \models_\star p \rangle$

using *strong-soundness_K*[of $G p$] *strong-completeness_K*[of $G p$] by *fast*

10 System T

Also known as System M

inductive *AxT* :: $\langle 'i \text{ fm} \Rightarrow \text{bool} \rangle$ where

$\langle \text{AxT} (K i p \longrightarrow p) \rangle$

abbreviation *SystemT* $\langle \vdash_T \rightarrow [50, 50] 50 \rangle$ where

$\langle G \vdash_T p \equiv \text{AxT}; G \vdash p \rangle$

lemma *soundness-AxT*: $\langle \text{AxT} p \implies \text{reflexive } M \implies w \in \mathcal{W} M \implies M, w \models p \rangle$

by (*induct p rule: AxT.induct*) (*meson truth*)

lemma *strong-soundness_T*: $\langle G \vdash_T p \implies \text{reflexive}; G \models_\star p \rangle$

using *strong-soundness* *soundness-AxT* .

lemma *AxT-reflexive*:
assumes $\langle AxT \leq A \rangle$ **and** $\langle consistent\ A\ V \rangle$ **and** $\langle maximal\ A\ V \rangle$
shows $\langle V \in reach\ A\ i\ V \rangle$
proof –
have $\langle (K\ i\ p \longrightarrow p) \in V \rangle$ **for** p
using *assms ax-in-maximal AxT.intros* **by** *fast*
then have $\langle p \in V \rangle$ **if** $\langle K\ i\ p \in V \rangle$ **for** p
using *that assms consequent-in-maximal* **by** *blast*
then show *?thesis*
using *assms* **by** *blast*
qed

lemma *reflexive_T*:
assumes $\langle AxT \leq A \rangle$
shows $\langle reflexive\ (canonical\ A) \rangle$
unfolding *reflexive-def*
proof *safe*
fix $i\ V$
assume $\langle V \in \mathcal{W}\ (canonical\ A) \rangle$
then have $\langle consistent\ A\ V \rangle$ $\langle maximal\ A\ V \rangle$
by *simp-all*
with *AxT-reflexive assms* **have** $\langle V \in reach\ A\ i\ V \rangle$.
then show $\langle V \in \mathcal{K}\ (canonical\ A)\ i\ V \rangle$
by *simp*
qed

abbreviation *valid_T* $(\langle - \Vdash_T - \rangle [50, 50] 50)$ **where**
 $\langle G \Vdash_T p \equiv reflexive; G \Vdash p \rangle$

lemma *strong-completeness_T*: $\langle G \Vdash_T p \implies G \vdash_T p \rangle$
using *strong-completeness reflexive_T* **by** *blast*

theorem *main_T*: $\langle G \Vdash_T p \longleftrightarrow G \vdash_T p \rangle$
using *strong-soundness_T[of G p]* *strong-completeness_T[of G p]* **by** *fast*

corollary $\langle G \Vdash_T p \longrightarrow reflexive; G \Vdash^* p \rangle$
using *strong-soundness_T[of G p]* *strong-completeness_T[of G p]* **by** *fast*

11 System KB

inductive *AxB* :: $\langle 'i\ fm \Rightarrow bool \rangle$ **where**
 $\langle AxB\ (p \longrightarrow K\ i\ (L\ i\ p)) \rangle$

abbreviation *SystemKB* $(\langle - \vdash_{KB} - \rangle [50, 50] 50)$ **where**
 $\langle G \vdash_{KB} p \equiv AxB; G \vdash p \rangle$

lemma *soundness-AxB*: $\langle AxB\ p \implies symmetric\ M \implies w \in \mathcal{W}\ M \implies M, w \models p \rangle$
unfolding *symmetric-def* **by** (*induct p rule: AxB.induct*) *auto*

lemma *strong-soundness_{KB}*: $\langle G \vdash_{KB} p \implies \text{symmetric}; G \Vdash_{\star} p \rangle$
using *strong-soundness soundness-AxB* .

lemma *AxB-symmetric'*:

assumes $\langle AxB \leq A \rangle \langle \text{consistent } A \ V \rangle \langle \text{maximal } A \ V \rangle \langle \text{consistent } A \ W \rangle \langle \text{maximal } A \ W \rangle$

and $\langle W \in \text{reach } A \ i \ V \rangle$

shows $\langle V \in \text{reach } A \ i \ W \rangle$

proof –

have $\langle \forall p. K \ i \ p \in W \longrightarrow p \in V \rangle$

proof (*safe, rule ccontr*)

fix p

assume $\langle K \ i \ p \in W \rangle \langle p \notin V \rangle$

then have $\langle (\neg p) \in V \rangle$

using *assms(2-3) exactly-one-in-maximal by fast*

then have $\langle K \ i \ (L \ i \ (\neg p)) \in V \rangle$

using *assms(1-3) ax-in-maximal AxB.intros consequent-in-maximal by fast*

then have $\langle L \ i \ (\neg p) \in W \rangle$

using $\langle W \in \text{reach } A \ i \ V \rangle$ **by fast**

then have $\langle (\neg K \ i \ p) \in W \rangle$

using *assms(4-5) by (meson K-LK consistent-consequent maximal-def)*

then show *False*

using $\langle K \ i \ p \in W \rangle$ *assms(4-5) exactly-one-in-maximal by fast*

qed

then have $\langle \text{known } W \ i \subseteq V \rangle$

by *blast*

then show *?thesis*

using *assms(2-3) by simp*

qed

lemma *symmetric_{KB}*:

assumes $\langle AxB \leq A \rangle$

shows $\langle \text{symmetric (canonical } A) \rangle$

unfolding *symmetric-def*

proof (*intro allI ballI*)

fix $i \ V \ W$

assume $\langle V \in \mathcal{W} \text{ (canonical } A) \rangle \langle W \in \mathcal{W} \text{ (canonical } A) \rangle$

then have $\langle \text{consistent } A \ V \rangle \langle \text{maximal } A \ V \rangle \langle \text{consistent } A \ W \rangle \langle \text{maximal } A \ W \rangle$

by *simp-all*

with *AxB-symmetric' assms* **have** $\langle W \in \text{reach } A \ i \ V \iff V \in \text{reach } A \ i \ W \rangle$

by *metis*

then show $\langle (W \in \mathcal{K} \text{ (canonical } A) \ i \ V) = (V \in \mathcal{K} \text{ (canonical } A) \ i \ W) \rangle$

by *simp*

qed

abbreviation *validKB* ($\langle \cdot \Vdash_{KB} \cdot \rightarrow [50, 50] \ 50 \rangle$ **where**

$\langle G \Vdash_{KB} p \equiv \text{symmetric}; G \Vdash p \rangle$

lemma *strong-completeness_{KB}*: $\langle G \models_{KB} p \implies G \vdash_{KB} p \rangle$
using *strong-completeness symmetric_{KB}* **by** *blast*

theorem *main_{KB}*: $\langle G \models_{KB} p \longleftrightarrow G \vdash_{KB} p \rangle$
using *strong-soundness_{KB}[of G p]* *strong-completeness_{KB}[of G p]* **by** *fast*

corollary $\langle G \models_{KB} p \longrightarrow \text{symmetric}; G \models_{\star} p \rangle$
using *strong-soundness_{KB}[of G p]* *strong-completeness_{KB}[of G p]* **by** *fast*

12 System K4

inductive *Ax4* :: $\langle 'i \text{ fm} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{Ax4} (K \ i \ p) \longrightarrow K \ i \ (K \ i \ p) \rangle$

abbreviation *SystemK4* $(\langle - \vdash_{K4} - \rangle [50, 50] \ 50)$ **where**
 $\langle G \vdash_{K4} p \equiv \text{Ax4}; G \vdash p \rangle$

lemma *soundness-Ax4*: $\langle \text{Ax4} \ p \implies \text{transitive } M \implies w \in \mathcal{W} \ M \implies M, w \models p \rangle$
by (*induct p rule: Ax4.induct*) (*meson pos-introspection*)

lemma *strong-soundness_{K4}*: $\langle G \vdash_{K4} p \implies \text{transitive}; G \models_{\star} p \rangle$
using *strong-soundness soundness-Ax4* .

lemma *Ax4-transitive*:

assumes $\langle \text{Ax4} \leq A \rangle$ $\langle \text{consistent } A \ V \rangle$ $\langle \text{maximal } A \ V \rangle$
and $\langle W \in \text{reach } A \ i \ V \rangle$ $\langle U \in \text{reach } A \ i \ W \rangle$
shows $\langle U \in \text{reach } A \ i \ V \rangle$

proof –

have $\langle (K \ i \ p \longrightarrow K \ i \ (K \ i \ p)) \in V \rangle$ **for** p
using *assms(1–3) ax-in-maximal Ax4.intros* **by** *fast*
then have $\langle K \ i \ (K \ i \ p) \in V \rangle$ **if** $\langle K \ i \ p \in V \rangle$ **for** p
using *that assms(2–3) consequent-in-maximal* **by** *blast*
then show *?thesis*
using *assms(4–5)* **by** *blast*

qed

lemma *transitive_{K4}*:

assumes $\langle \text{Ax4} \leq A \rangle$
shows $\langle \text{transitive} \ (\text{canonical } A) \rangle$
unfolding *transitive-def*

proof *safe*

fix $i \ U \ V \ W$
assume $\langle V \in \mathcal{W} \ (\text{canonical } A) \rangle$
then have $\langle \text{consistent } A \ V \rangle$ $\langle \text{maximal } A \ V \rangle$
by *simp-all*
moreover assume
 $\langle W \in \mathcal{K} \ (\text{canonical } A) \ i \ V \rangle$
 $\langle U \in \mathcal{K} \ (\text{canonical } A) \ i \ W \rangle$
ultimately have $\langle U \in \text{reach } A \ i \ V \rangle$

using *Ax4-transitive assms* **by** *simp*
then show $\langle U \in \mathcal{K} \text{ (canonical } A) \text{ } i \text{ } V \rangle$
by *simp*
qed

abbreviation *validK4* $(\langle - \Vdash_{K4} - \rangle [50, 50] 50)$ **where**
 $\langle G \Vdash_{K4} p \equiv \text{transitive}; G \Vdash p \rangle$

lemma *strong-completeness_{K4}*: $\langle G \Vdash_{K4} p \implies G \vdash_{K4} p \rangle$
using *strong-completeness transitive_{K4}* **by** *blast*

theorem *main_{K4}*: $\langle G \Vdash_{K4} p \longleftrightarrow G \vdash_{K4} p \rangle$
using *strong-soundness_{K4}[of G p]* *strong-completeness_{K4}[of G p]* **by** *fast*

corollary $\langle G \Vdash_{K4} p \longrightarrow \text{transitive}; G \Vdash^* p \rangle$
using *strong-soundness_{K4}[of G p]* *strong-completeness_{K4}[of G p]* **by** *fast*

13 System K5

inductive *Ax5* :: $\langle 'i \text{ } fm \implies \text{bool} \rangle$ **where**
 $\langle Ax5 \text{ (} L \text{ } i \text{ } p \longrightarrow K \text{ } i \text{ (} L \text{ } i \text{ } p)) \rangle$

abbreviation *SystemK5* $(\langle - \vdash_{K5} - \rangle [50, 50] 50)$ **where**
 $\langle G \vdash_{K5} p \equiv Ax5; G \vdash p \rangle$

lemma *soundness-Ax5*: $\langle Ax5 \text{ } p \implies \text{Euclidean } M \implies w \in \mathcal{W} \text{ } M \implies M, w \models p \rangle$
by (*induct p rule: Ax5.induct*) (*unfold Euclidean-def semantics.simps, blast*)

lemma *strong-soundness_{K5}*: $\langle G \vdash_{K5} p \implies \text{Euclidean}; G \Vdash^* p \rangle$
using *strong-soundness soundness-Ax5* .

lemma *Ax5-Euclidean*:

assumes $\langle Ax5 \leq A \rangle$
 $\langle \text{consistent } A \text{ } U \rangle \langle \text{maximal } A \text{ } U \rangle$
 $\langle \text{consistent } A \text{ } V \rangle \langle \text{maximal } A \text{ } V \rangle$
 $\langle \text{consistent } A \text{ } W \rangle \langle \text{maximal } A \text{ } W \rangle$
and $\langle V \in \text{reach } A \text{ } i \text{ } U \rangle \langle W \in \text{reach } A \text{ } i \text{ } U \rangle$

shows $\langle W \in \text{reach } A \text{ } i \text{ } V \rangle$

using *assms*

proof –

{ **fix** *p*

assume $\langle K \text{ } i \text{ } p \in V \rangle \langle p \notin W \rangle$

then have $\langle (\neg p) \in W \rangle$

using *assms(6–7) exactly-one-in-maximal* **by** *fast*

then have $\langle L \text{ } i \text{ (} \neg p) \in U \rangle$

using *assms(2–3, 6–7, 9) exactly-one-in-maximal* **by** *blast*

then have $\langle K \text{ } i \text{ (} L \text{ } i \text{ (} \neg p)) \in U \rangle$

using *assms(1–3) ax-in-maximal Ax5.intros consequent-in-maximal* **by** *fast*

then have $\langle L \text{ } i \text{ (} \neg p) \in V \rangle$

using *assms(8)* **by** *blast*
then have $\langle \neg K \ i \ p \in V \rangle$
using *assms(4-5)* *K-LK consequent-in-maximal deriv-in-maximal* **by** *fast*
then have *False*
using *assms(4-5)* $\langle K \ i \ p \in V \rangle$ *exactly-one-in-maximal* **by** *fast*
}
then show *?thesis*
by *blast*
qed

lemma *Euclidean_{K5}*:
assumes $\langle Ax5 \leq A \rangle$
shows $\langle Euclidean \ (canonical \ A) \rangle$
unfolding *Euclidean-def*
proof *safe*
fix *i U V W*
assume $\langle U \in \mathcal{W} \ (canonical \ A) \rangle \langle V \in \mathcal{W} \ (canonical \ A) \rangle \langle W \in \mathcal{W} \ (canonical \ A) \rangle$
then have
 $\langle consistent \ A \ U \rangle \langle maximal \ A \ U \rangle$
 $\langle consistent \ A \ V \rangle \langle maximal \ A \ V \rangle$
 $\langle consistent \ A \ W \rangle \langle maximal \ A \ W \rangle$
by *simp-all*
moreover assume
 $\langle V \in \mathcal{K} \ (canonical \ A) \ i \ U \rangle$
 $\langle W \in \mathcal{K} \ (canonical \ A) \ i \ U \rangle$
ultimately have $\langle W \in reach \ A \ i \ V \rangle$
using *Ax5-Euclidean assms* **by** *simp*
then show $\langle W \in \mathcal{K} \ (canonical \ A) \ i \ V \rangle$
by *simp*
qed

abbreviation *validK5* ($\langle - \models_{K5} - \rangle [50, 50] \ 50$) **where**
 $\langle G \models_{K5} p \equiv Euclidean; G \models p \rangle$

lemma *strong-completeness_{K5}*: $\langle G \models_{K5} p \implies G \vdash_{K5} p \rangle$
using *strong-completeness Euclidean_{K5}* **by** *blast*

theorem *main_{K5}*: $\langle G \models_{K5} p \longleftrightarrow G \vdash_{K5} p \rangle$
using *strong-soundness_{K5}[of G p]* *strong-completeness_{K5}[of G p]* **by** *fast*

corollary $\langle G \models_{K5} p \longrightarrow Euclidean; G \models^* p \rangle$
using *strong-soundness_{K5}[of G p]* *strong-completeness_{K5}[of G p]* **by** *fast*

14 System S4

abbreviation *Or* :: $\langle ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow bool) \Rightarrow 'a \Rightarrow bool \rangle$ (**infixl** $\langle \oplus \rangle \ 65$)
where
 $\langle (A \oplus A') \ p \equiv A \ p \vee A' \ p \rangle$

abbreviation *SystemS4* ($\langle \cdot \vdash_{S4} \cdot \rangle$ [50, 50] 50) **where**
 $\langle G \vdash_{S4} p \equiv AxT \oplus Ax4; G \vdash p \rangle$

lemma *soundness-AxT4*: $\langle (AxT \oplus Ax4) p \implies \text{reflexive } M \wedge \text{transitive } M \implies w \in \mathcal{W} \ M \implies M, w \models p \rangle$
using *soundness-AxT* *soundness-Ax4* **by fast**

lemma *strong-soundnessS4*: $\langle G \vdash_{S4} p \implies \text{refltrans}; G \models_{\star} p \rangle$
using *strong-soundness* *soundness-AxT4* .

abbreviation *validS4* ($\langle \cdot \models_{S4} \cdot \rangle$ [50, 50] 50) **where**
 $\langle G \models_{S4} p \equiv \text{refltrans}; G \models p \rangle$

lemma *strong-completenessS4*: $\langle G \models_{S4} p \implies G \vdash_{S4} p \rangle$
using *strong-completeness*[of *refltrans*] *reflexive_T*[of $\langle AxT \oplus Ax4 \rangle$] *transitive_{K4}*[of $\langle AxT \oplus Ax4 \rangle$]
by blast

theorem *mainS4*: $\langle G \models_{S4} p \longleftrightarrow G \vdash_{S4} p \rangle$
using *strong-soundnessS4*[of G p] *strong-completenessS4*[of G p] **by fast**

corollary $\langle G \models_{S4} p \longrightarrow \text{refltrans}; G \models_{\star} p \rangle$
using *strong-soundnessS4*[of G p] *strong-completenessS4*[of G p] **by fast**

15 System S5

15.1 T + B + 4

abbreviation *SystemS5* ($\langle \cdot \vdash_{S5} \cdot \rangle$ [50, 50] 50) **where**
 $\langle G \vdash_{S5} p \equiv AxT \oplus AxB \oplus Ax4; G \vdash p \rangle$

abbreviation *AxTB4* :: $\langle 'i \text{ fm} \implies \text{bool} \rangle$ **where**
 $\langle AxTB4 \equiv AxT \oplus AxB \oplus Ax4 \rangle$

lemma *soundness-AxTB4*: $\langle AxTB4 p \implies \text{equivalence } M \implies w \in \mathcal{W} \ M \implies M, w \models p \rangle$
using *soundness-AxT* *soundness-AxB* *soundness-Ax4* **by fast**

lemma *strong-soundnessS5*: $\langle G \vdash_{S5} p \implies \text{equivalence}; G \models_{\star} p \rangle$
using *strong-soundness* *soundness-AxTB4* .

abbreviation *validS5* ($\langle \cdot \models_{S5} \cdot \rangle$ [50, 50] 50) **where**
 $\langle G \models_{S5} p \equiv \text{equivalence}; G \models p \rangle$

lemma *strong-completenessS5*: $\langle G \models_{S5} p \implies G \vdash_{S5} p \rangle$
using *strong-completeness*[of *equivalence*]
reflexive_T[of *AxTB4*] *symmetric_{KB}*[of *AxTB4*] *transitive_{K4}*[of *AxTB4*]
by blast

theorem $main_{S5}$: $\langle G \Vdash_{S5} p \longleftrightarrow G \vdash_{S5} p \rangle$
using $strong-soundness_{S5}$ [of $G p$] $strong-completeness_{S5}$ [of $G p$] **by** *fast*

corollary $\langle G \Vdash_{S5} p \longrightarrow equivalence; G \Vdash_{\star} p \rangle$
using $strong-soundness_{S5}$ [of $G p$] $strong-completeness_{S5}$ [of $G p$] **by** *fast*

15.2 T + 5

abbreviation $SystemS5'$ ($\langle - \vdash_{S5}'' \rightarrow [50, 50] 50 \rangle$) **where**
 $\langle G \vdash_{S5'} p \equiv AxT \oplus Ax5; G \vdash p \rangle$

abbreviation $AxT5$:: $\langle 'i fm \Rightarrow bool \rangle$ **where**
 $\langle AxT5 \equiv AxT \oplus Ax5 \rangle$

lemma $symm-trans-Euclid$: $\langle symmetric M \Longrightarrow transitive M \Longrightarrow Euclidean M \rangle$
unfolding $symmetric-def transitive-def Euclidean-def$ **by** *blast*

lemma $soundness-AxT5$: $\langle AxT5 p \Longrightarrow equivalence M \Longrightarrow w \in \mathcal{W} M \Longrightarrow M, w \models p \rangle$
using $soundness-AxT$ [of $p M w$] $soundness-Ax5$ [of $p M w$] $symm-trans-Euclid$
by *blast*

lemma $strong-soundness_{S5}'$: $\langle G \vdash_{S5'} p \Longrightarrow equivalence; G \Vdash_{\star} p \rangle$
using $strong-soundness soundness-AxT5$.

lemma $refl-Euclid-equiv$: $\langle reflexive M \Longrightarrow Euclidean M \Longrightarrow equivalence M \rangle$
unfolding $reflexive-def symmetric-def transitive-def Euclidean-def$ **by** *metis*

lemma $strong-completeness_{S5}'$: $\langle G \Vdash_{S5} p \Longrightarrow G \vdash_{S5'} p \rangle$
using $strong-completeness$ [of *equivalence*]
 $reflexive_T$ [of $AxT5$] $Euclidean_{K5}$ [of $AxT5$] $refl-Euclid-equiv$ **by** *blast*

theorem $main_{S5}'$: $\langle G \Vdash_{S5} p \longleftrightarrow G \vdash_{S5'} p \rangle$
using $strong-soundness_{S5}'$ [of $G p$] $strong-completeness_{S5}'$ [of $G p$] **by** *fast*

15.3 Equivalence between systems

15.3.1 Axiom 5 from B and 4

lemma $K4-L$:
assumes $\langle Ax4 \leq A \rangle$
shows $\langle A \vdash L i (L i p) \longrightarrow L i p \rangle$
proof –
have $\langle A \vdash K i (\neg p) \longrightarrow K i (K i (\neg p)) \rangle$
using *assms* **by** (*auto intro: Ax Ax4.intros*)
then show *?thesis*
by (*meson K-LK K-trans R1*)
qed

lemma $KB4-5$:

assumes $\langle AxB \leq A \rangle \langle Ax4 \leq A \rangle$
shows $\langle A \vdash L i p \longrightarrow K i (L i p) \rangle$
proof –
have $\langle A \vdash L i p \longrightarrow K i (L i (L i p)) \rangle$
using *assms* **by** (*auto intro: Ax AxB.intros*)
moreover have $\langle A \vdash L i (L i p) \longrightarrow L i p \rangle$
using *assms* **by** (*auto intro: K4-L*)
then have $\langle A \vdash K i (L i (L i p)) \longrightarrow K i (L i p) \rangle$
using *K-map* **by** *fast*
ultimately show *?thesis*
using *K-trans R1* **by** *metis*
qed

15.3.2 Axioms B and 4 from T and 5

lemma T-L:
assumes $\langle AxT \leq A \rangle$
shows $\langle A \vdash p \longrightarrow L i p \rangle$
proof –
have $\langle A \vdash K i (\neg p) \longrightarrow \neg p \rangle$
using *assms* **by** (*auto intro: Ax AxT.intros*)
moreover have $\langle A \vdash (P \longrightarrow \neg Q) \longrightarrow Q \longrightarrow \neg P \rangle$ **for** $P Q$
by (*auto intro: A1*)
ultimately show *?thesis*
by (*auto intro: R1*)
qed

lemma S5'-B:
assumes $\langle AxT \leq A \rangle \langle Ax5 \leq A \rangle$
shows $\langle A \vdash p \longrightarrow K i (L i p) \rangle$
proof –
have $\langle A \vdash L i p \longrightarrow K i (L i p) \rangle$
using *assms(2)* **by** (*auto intro: Ax Ax5.intros*)
moreover have $\langle A \vdash p \longrightarrow L i p \rangle$
using *assms(1)* **by** (*auto intro: T-L*)
ultimately show *?thesis*
using *K-trans R1* **by** *metis*
qed

lemma K5-L:
assumes $\langle Ax5 \leq A \rangle$
shows $\langle A \vdash L i (K i p) \longrightarrow K i p \rangle$
proof –
have $\langle A \vdash L i (\neg p) \longrightarrow K i (L i (\neg p)) \rangle$
using *assms* **by** (*auto intro: Ax Ax5.intros*)
then have $\langle A \vdash L i (\neg p) \longrightarrow K i (\neg K i p) \rangle$
using *K-LK* **by** (*metis K-map K-trans R1*)
moreover have $\langle A \vdash (P \longrightarrow Q) \longrightarrow \neg Q \longrightarrow \neg P \rangle$ **for** $P Q$
by (*auto intro: A1*)

ultimately have $\langle A \vdash \neg K i (\neg K i p) \longrightarrow \neg L i (\neg p) \rangle$
 using *R1* by *blast*
 then have $\langle A \vdash \neg K i (\neg K i p) \longrightarrow K i p \rangle$
 using *K-L-dual R1 K-trans* by *metis*
 then show *?thesis*
 by *blast*
 qed

lemma *S5'-4*:

assumes $\langle AxT \leq A \rangle \langle Ax5 \leq A \rangle$
 shows $\langle A \vdash K i p \longrightarrow K i (K i p) \rangle$
 proof –
 have $\langle A \vdash L i (K i p) \longrightarrow K i (L i (K i p)) \rangle$
 using *assms(2)* by (*auto intro: Ax Ax5.intros*)
 moreover have $\langle A \vdash K i p \longrightarrow L i (K i p) \rangle$
 using *assms(1)* by (*auto intro: T-L*)
 ultimately have $\langle A \vdash K i p \longrightarrow K i (L i (K i p)) \rangle$
 using *K-trans R1* by *metis*
 moreover have $\langle A \vdash L i (K i p) \longrightarrow K i p \rangle$
 using *assms(2) K5-L* by *metis*
 then have $\langle A \vdash K i (L i (K i p)) \longrightarrow K i (K i p) \rangle$
 using *K-map* by *fast*
 ultimately show *?thesis*
 using *R1 K-trans* by *metis*
 qed

lemma *S5-S5'*: $\langle AxTB4 \vdash p \Longrightarrow AxT5 \vdash p \rangle$

proof (*induct p rule: AK.induct*)
 case (*Ax p*)
 moreover have $\langle AxT5 \vdash p \rangle$ if $\langle AxT p \rangle$
 using *that AK.Ax* by *metis*
 moreover have $\langle AxT5 \vdash p \rangle$ if $\langle AxB p \rangle$
 using *that S5'-B* by (*metis (no-types, lifting) AxB.cases predicate1I*)
 moreover have $\langle AxT5 \vdash p \rangle$ if $\langle Ax4 p \rangle$
 using *that S5'-4* by (*metis (no-types, lifting) Ax4.cases predicate1I*)
 ultimately show *?case*
 by *blast*
 qed (*auto intro: AK.intros*)

lemma *S5'-S5*: $\langle AxT5 \vdash p \Longrightarrow AxTB4 \vdash p \rangle$

proof (*induct p rule: AK.induct*)
 case (*Ax p*)
 moreover have $\langle AxTB4 \vdash p \rangle$ if $\langle AxT p \rangle$
 using *that AK.Ax* by *metis*
 moreover have $\langle AxTB4 \vdash p \rangle$ if $\langle Ax5 p \rangle$
 using *that KB4-5* by (*metis (no-types, lifting) Ax5.cases predicate1I*)
 ultimately show *?case*
 by *blast*
 qed (*auto intro: AK.intros*)

corollary *S5-S5'-assms*: $\langle G \vdash_{S5} p \longleftrightarrow G \vdash_{S5'} p \rangle$
using *S5-S5' S5'-S5* by *blast*

16 Acknowledgements

The formalization is inspired by Berghofer's formalization of Henkin-style completeness.

- Stefan Berghofer: First-Order Logic According to Fitting. <https://www.isa-afp.org/entries/FOL-Fitting.shtml>

end

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