

# Analysing and Comparing Encodability Criteria for Process Calculi (Technical Report)

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## Abstract

Encodings or the proof of their absence are the main way to compare process calculi. To analyse the quality of encodings and to rule out trivial or meaningless encodings, they are augmented with quality criteria. There exists a bunch of different criteria and different variants of criteria in order to reason in different settings. This leads to incomparable results. Moreover it is not always clear whether the criteria used to obtain a result in a particular setting do indeed fit to this setting. We show how to formally reason about and compare encodability criteria by mapping them on requirements on a relation between source and target terms that is induced by the encoding function. In particular we analyse the common criteria *full abstraction*, *operational correspondence*, *divergence reflection*, *success sensitiveness*, and *respect of barbs*; e.g. we analyse the exact nature of the simulation relation (coupled simulation versus bisimulation) that is induced by different variants of operational correspondence. This way we reduce the problem of analysing or comparing encodability criteria to the better understood problem of comparing relations on processes.

In the following we present the Isabelle implementation of the underlying theory as well as all proofs of the results presented in the paper *Analysing and Comparing Encodability Criteria* as submitted to EXPRESS/SOS'15.

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```

theory Relations
imports Main HOL-Library.LaTeXsugar HOL-Library.OptionalSugar
begin

```

## 1 Relations

### 1.1 Basic Conditions

We recall the standard definitions for reflexivity, symmetry, transitivity, preorders, equivalence, and inverse relations.

```

abbreviation preorder Rel ≡ preorder-on UNIV Rel
abbreviation equivalence Rel ≡ equiv UNIV Rel

```

A symmetric preorder is an equivalence.

```

lemma symm-preorder-is-equivalence:
  fixes Rel :: ('a × 'a) set
  assumes preorder Rel
    and sym Rel
  shows equivalence Rel
  ⟨proof⟩

```

The symmetric closure of a relation is the union of this relation and its inverse.

```

definition symcl :: ('a × 'a) set ⇒ ('a × 'a) set where
  symcl Rel = Rel ∪ Rel⁻¹

```

For all  $(a, b)$  in  $R$ , the symmetric closure of  $R$  contains  $(a, b)$  as well as  $(b, a)$ .

```

lemma elem-of-symcl:
  fixes Rel :: ('a × 'a) set
  and a b :: 'a
  assumes elem: (a, b) ∈ Rel
  shows (a, b) ∈ symcl Rel
    and (b, a) ∈ symcl Rel
  ⟨proof⟩

```

The symmetric closure of a relation is symmetric.

```

lemma sym-symcl:
  fixes Rel :: ('a × 'a) set
  shows sym (symcl Rel)
  ⟨proof⟩

```

The reflexive and symmetric closure of a relation is equal to its symmetric and reflexive closure.

```

lemma refl-symm-closure-is-symm-refl-closure:
  fixes Rel :: ('a × 'a) set
  shows symcl (Rel=) = (symcl Rel)=
  ⟨proof⟩

```

The symmetric closure of a reflexive relation is reflexive.

```

lemma refl-symcl-of-refl-rel:
  fixes Rel :: ('a × 'a) set
  and A :: 'a set
  assumes refl-on A Rel
  shows refl-on A (symcl Rel)
  ⟨proof⟩

```

Accordingly, the reflexive, symmetric, and transitive closure of a relation is equal to its symmetric, reflexive, and transitive closure.

```

lemma refl-symm-trans-closure-is-symm-refl-trans-closure:

```

```

fixes Rel :: ('a × 'a) set
shows (symcl (Rel=))+ = (symcl Rel)*
    ⟨proof⟩

```

The reflexive closure of a symmetric relation is symmetric.

```

lemma sym-reflcl-of-symm-rel:
  fixes Rel :: ('a × 'a) set
  assumes sym Rel
  shows sym (Rel=)
    ⟨proof⟩

```

The reflexive closure of a reflexive relation is the relation itself.

```

lemma reflcl-of-refl-rel:
  fixes Rel :: ('a × 'a) set
  assumes refl Rel
  shows Rel= = Rel
    ⟨proof⟩

```

The symmetric closure of a symmetric relation is the relation itself.

```

lemma symm-closure-of-symm-rel:
  fixes Rel :: ('a × 'a) set
  assumes sym Rel
  shows symcl Rel = Rel
    ⟨proof⟩

```

The reflexive and transitive closure of a preorder Rel is Rel.

```

lemma rtrancl-of-preorder:
  fixes Rel :: ('a × 'a) set
  assumes preorder Rel
  shows Rel* = Rel
    ⟨proof⟩

```

The reflexive and transitive closure of a relation is a subset of its reflexive, symmetric, and transitive closure.

```

lemma refl-trans-closure-subset-of-refl-symm-trans-closure:
  fixes Rel :: ('a × 'a) set
  shows Rel* ⊆ (symcl (Rel=))+
    ⟨proof⟩

```

If a preorder Rel satisfies the following two conditions, then its symmetric closure is transitive: (1) If (a, b) and (c, b) in Rel but not (a, c) in Rel, then (b, a) in Rel or (b, c) in Rel. (2) If (a, b) and (a, c) in Rel but not (b, c) in Rel, then (b, a) in Rel or (c, a) in Rel.

```

lemma symm-closure-of-preorder-is-trans:
  fixes Rel :: ('a × 'a) set
  assumes condA: ∀ a b c. (a, b) ∈ Rel ∧ (c, b) ∈ Rel ∧ (a, c) ∉ Rel
    → (b, a) ∈ Rel ∨ (b, c) ∈ Rel
  and condB: ∀ a b c. (a, b) ∈ Rel ∧ (a, c) ∈ Rel ∧ (b, c) ∉ Rel
    → (b, a) ∈ Rel ∨ (c, a) ∈ Rel
  and reflR: refl Rel
  and tranR: trans Rel
  shows trans (symcl Rel)
    ⟨proof⟩

```

## 1.2 Preservation, Reflection, and Respect of Predicates

A relation R preserves some predicate P if P(a) implies P(b) for all (a, b) in R.

```

abbreviation rel-preserves-pred :: ('a × 'a) set ⇒ ('a ⇒ bool) ⇒ bool where
  rel-preserves-pred Rel Pred ≡ ∀ a b. (a, b) ∈ Rel ∧ Pred a → Pred b

```

```
abbreviation rel-preserves-binary-pred :: ('a × 'a) set ⇒ ('a ⇒ 'b ⇒ bool) ⇒ bool where
  rel-preserves-binary-pred Rel Pred ≡ ∀ a b x. (a, b) ∈ Rel ∧ Pred a x → Pred b x
```

A relation R reflects some predicate P if P(b) implies P(a) for all (a, b) in R.

```
abbreviation rel-reflects-pred :: ('a × 'a) set ⇒ ('a ⇒ bool) ⇒ bool where
  rel-reflects-pred Rel Pred ≡ ∀ a b. (a, b) ∈ Rel ∧ Pred b → Pred a
```

```
abbreviation rel-reflects-binary-pred :: ('a × 'a) set ⇒ ('a ⇒ 'b ⇒ bool) ⇒ bool where
  rel-reflects-binary-pred Rel Pred ≡ ∀ a b x. (a, b) ∈ Rel ∧ Pred b x → Pred a x
```

A relation respects a predicate if it preserves and reflects it.

```
abbreviation rel-respects-pred :: ('a × 'a) set ⇒ ('a ⇒ bool) ⇒ bool where
  rel-respects-pred Rel Pred ≡ rel-preserves-pred Rel Pred ∧ rel-reflects-pred Rel Pred
```

```
abbreviation rel-respects-binary-pred :: ('a × 'a) set ⇒ ('a ⇒ 'b ⇒ bool) ⇒ bool where
  rel-respects-binary-pred Rel Pred ≡
    rel-preserves-binary-pred Rel Pred ∧ rel-reflects-binary-pred Rel Pred
```

For symmetric relations preservation, reflection, and respect of predicates means the same.

**lemma** symm-relation-impl-preservation-equals-reflection:

```
fixes Rel :: ('a × 'a) set
  and Pred :: 'a ⇒ bool
assumes symm: sym Rel
shows rel-preserves-pred Rel Pred = rel-reflects-pred Rel Pred
  and rel-preserves-pred Rel Pred = rel-respects-pred Rel Pred
  and rel-reflects-pred Rel Pred = rel-respects-pred Rel Pred
  ⟨proof⟩
```

**lemma** symm-relation-impl-preservation-equals-reflection-of-binary-predicates:

```
fixes Rel :: ('a × 'a) set
  and Pred :: 'a ⇒ 'b ⇒ bool
assumes symm: sym Rel
shows rel-preserves-binary-pred Rel Pred = rel-reflects-binary-pred Rel Pred
  and rel-preserves-binary-pred Rel Pred = rel-respects-binary-pred Rel Pred
  and rel-reflects-binary-pred Rel Pred = rel-respects-binary-pred Rel Pred
  ⟨proof⟩
```

If a relation preserves a predicate then so does its reflexive or/and transitive closure.

**lemma** preservation-and-closures:

```
fixes Rel :: ('a × 'a) set
  and Pred :: 'a ⇒ bool
assumes preservation: rel-preserves-pred Rel Pred
shows rel-preserves-pred (Rel=) Pred
  and rel-preserves-pred (Rel+) Pred
  and rel-preserves-pred (Rel*) Pred
  ⟨proof⟩
```

**lemma** preservation-of-binary-predicates-and-closures:

```
fixes Rel :: ('a × 'a) set
  and Pred :: 'a ⇒ 'b ⇒ bool
assumes preservation: rel-preserves-binary-pred Rel Pred
shows rel-preserves-binary-pred (Rel=) Pred
  and rel-preserves-binary-pred (Rel+) Pred
  and rel-preserves-binary-pred (Rel*) Pred
  ⟨proof⟩
```

If a relation reflects a predicate then so does its reflexive or/and transitive closure.

**lemma** reflection-and-closures:

```

fixes Rel :: ('a × 'a) set
  and Pred :: 'a ⇒ bool
assumes reflection: rel-reflects-pred Rel Pred
shows rel-reflects-pred (Rel=) Pred
  and rel-reflects-pred (Rel+) Pred
  and rel-reflects-pred (Rel*) Pred
⟨proof⟩

lemma reflection-of-binary-predicates-and-closures:
fixes Rel :: ('a × 'a) set
  and Pred :: 'a ⇒ 'b ⇒ bool
assumes reflection: rel-reflects-binary-pred Rel Pred
shows rel-reflects-binary-pred (Rel=) Pred
  and rel-reflects-binary-pred (Rel+) Pred
  and rel-reflects-binary-pred (Rel*) Pred
⟨proof⟩

If a relation respects a predicate then so does its reflexive, symmetric, or/and transitive closure.

lemma respection-and-closures:
fixes Rel :: ('a × 'a) set
  and Pred :: 'a ⇒ bool
assumes respection: rel-respects-pred Rel Pred
shows rel-respects-pred (Rel=) Pred
  and rel-respects-pred (symcl Rel) Pred
  and rel-respects-pred (Rel+) Pred
  and rel-respects-pred (symcl (Rel=)) Pred
  and rel-respects-pred (Rel*) Pred
  and rel-respects-pred ((symcl (Rel=))+) Pred
⟨proof⟩

lemma respection-of-binary-predicates-and-closures:
fixes Rel :: ('a × 'a) set
  and Pred :: 'a ⇒ 'b ⇒ bool
assumes respection: rel-respects-binary-pred Rel Pred
shows rel-respects-binary-pred (Rel=) Pred
  and rel-respects-binary-pred (symcl Rel) Pred
  and rel-respects-binary-pred (Rel+) Pred
  and rel-respects-binary-pred (symcl (Rel=)) Pred
  and rel-respects-binary-pred (Rel*) Pred
  and rel-respects-binary-pred ((symcl (Rel=))+) Pred
⟨proof⟩

end
theory ProcessCalculi
  imports Relations
begin

```

## 2 Process Calculi

A process calculus is given by a set of process terms (syntax) and a relation on terms (semantics). We consider reduction as well as labelled variants of the semantics.

### 2.1 Reduction Semantics

A set of process terms and a relation on pairs of terms (called reduction semantics) define a process calculus.

```

record 'proc processCalculus =
  Reductions :: 'proc ⇒ 'proc ⇒ bool

```

A pair of the reduction relation is called a (reduction) step.

```
abbreviation step :: 'proc  $\Rightarrow$  'proc processCalculus  $\Rightarrow$  'proc  $\Rightarrow$  bool
  ( $\langle\langle$  -  $\mapsto$  -  $\rangle\rangle$  [70, 70, 70] 80)
where
   $P \mapsto_{\text{Cal}} Q \equiv \text{Reductions Cal } P \ Q$ 
```

We use \* to indicate the reflexive and transitive closure of the reduction relation.

```
primrec nSteps
  :: 'proc  $\Rightarrow$  'proc processCalculus  $\Rightarrow$  nat  $\Rightarrow$  'proc  $\Rightarrow$  bool
  ( $\langle\langle$  -  $\mapsto$  -  $\rangle\rangle$  [70, 70, 70, 70] 80)
where
   $P \mapsto_{\text{Cal}}^0 Q = (P = Q) \mid$ 
   $P \mapsto_{\text{Cal}}^{\text{Suc } n} Q = (\exists P'. P \mapsto_{\text{Cal}}^n P' \wedge P' \mapsto_{\text{Cal}} Q)$ 
```

```
definition steps
  :: 'proc  $\Rightarrow$  'proc processCalculus  $\Rightarrow$  'proc  $\Rightarrow$  bool
  ( $\langle\langle$  -  $\mapsto$  -  $\rangle\rangle$ * [70, 70, 70] 80)
where
   $P \mapsto_{\text{Cal}}^* Q \equiv \exists n. P \mapsto_{\text{Cal}}^n Q$ 
```

A process is divergent, if it can perform an infinite sequence of steps.

```
definition divergent
  :: 'proc  $\Rightarrow$  'proc processCalculus  $\Rightarrow$  bool
  ( $\langle\langle$  -  $\mapsto$  -  $\omega$  [70, 70] 80)
where
   $P \mapsto_{\text{Cal}}^{\omega} \equiv \forall P'. P \mapsto_{\text{Cal}}^* P' \longrightarrow (\exists P''. P' \mapsto_{\text{Cal}} P'')$ 
```

Each term can perform an (empty) sequence of steps to itself.

```
lemma steps-refl:
  fixes Cal :: 'proc processCalculus
  and P :: 'proc
  shows P  $\mapsto_{\text{Cal}}^* P$ 
⟨proof⟩
```

A single step is a sequence of steps of length one.

```
lemma step-to-steps:
  fixes Cal :: 'proc processCalculus
  and P P' :: 'proc
  assumes step: P  $\mapsto_{\text{Cal}} P'$ 
  shows P  $\mapsto_{\text{Cal}}^* P'$ 
⟨proof⟩
```

If there is a sequence of steps from P to Q and from Q to R, then there is also a sequence of steps from P to R.

```
lemma nSteps-add:
  fixes Cal :: 'proc processCalculus
  and n1 n2 :: nat
  shows  $\forall P Q R. P \mapsto_{\text{Cal}}^{n1} Q \wedge Q \mapsto_{\text{Cal}}^{n2} R \longrightarrow P \mapsto_{\text{Cal}}^{(n1 + n2)} R$ 
⟨proof⟩
```

```
lemma steps-add:
  fixes Cal :: 'proc processCalculus
  and P Q R :: 'proc
  assumes A1: P  $\mapsto_{\text{Cal}}^* Q$ 
    and A2: Q  $\mapsto_{\text{Cal}}^* R$ 
  shows P  $\mapsto_{\text{Cal}}^* R$ 
⟨proof⟩
```

### 2.1.1 Observables or Barbs

We assume a predicate that tests terms for some kind of observables. At this point we do not limit or restrict the kind of observables used for a calculus nor the method to check them.

```
record ('proc, 'barbs) calculusWithBarbs =
  Calculus :: 'proc processCalculus
  HasBarb :: 'proc  $\Rightarrow$  'barbs  $\Rightarrow$  bool ( $\langle\downarrow\rangle$  [70, 70] 80)
```

```
abbreviation hasBarb
  :: 'proc  $\Rightarrow$  ('proc, 'barbs) calculusWithBarbs  $\Rightarrow$  'barbs  $\Rightarrow$  bool
    ( $\langle\downarrow\rangle$  [70, 70, 70] 80)
where
   $P \downarrow^{CWB} a \equiv \text{HasBarb } CWB P a$ 
```

A term reaches a barb if it can evolve to a term that has this barb.

```
abbreviation reachesBarb
  :: 'proc  $\Rightarrow$  ('proc, 'barbs) calculusWithBarbs  $\Rightarrow$  'barbs  $\Rightarrow$  bool
    ( $\langle\downarrow\rangle$  [70, 70, 70] 80)
where
   $P \Downarrow^{CWB} a \equiv \exists P'. P \xrightarrow{\text{Calculus } CWB} P' \wedge P' \downarrow^{CWB} a$ 
```

A relation R preserves barbs if whenever (P, Q) in R and P has a barb then also Q has this barb.

```
abbreviation rel-preserves-barb-set
  :: ('proc  $\times$  'proc) set  $\Rightarrow$  ('proc, 'barbs) calculusWithBarbs  $\Rightarrow$  'barbs set  $\Rightarrow$  bool
where
  rel-preserves-barb-set Rel CWB Barbs  $\equiv$ 
    rel-preserves-binary-pred Rel ( $\lambda P. a. a \in \text{Barbs} \wedge P \downarrow^{CWB} a$ )
```

```
abbreviation rel-preserves-barbs
  :: ('proc  $\times$  'proc) set  $\Rightarrow$  ('proc, 'barbs) calculusWithBarbs  $\Rightarrow$  bool
where
  rel-preserves-barbs Rel CWB  $\equiv$  rel-preserves-binary-pred Rel (HasBarb CWB)
```

```
lemma preservation-of-barbs-and-set-of-barbs:
  fixes Rel :: ('proc  $\times$  'proc) set
  and CWB :: ('proc, 'barbs) calculusWithBarbs
  shows rel-preserves-barbs Rel CWB = ( $\forall \text{Barbs}. \text{rel-preserves-barb-set Rel } CWB \text{ Barbs}$ )
   $\langle\text{proof}\rangle$ 
```

A relation R reflects barbs if whenever (P, Q) in R and Q has a barb then also P has this barb.

```
abbreviation rel-reflects-barb-set
  :: ('proc  $\times$  'proc) set  $\Rightarrow$  ('proc, 'barbs) calculusWithBarbs  $\Rightarrow$  'barbs set  $\Rightarrow$  bool
where
  rel-reflects-barb-set Rel CWB Barbs  $\equiv$ 
    rel-reflects-binary-pred Rel ( $\lambda P. a. a \in \text{Barbs} \wedge P \downarrow^{CWB} a$ )
```

```
abbreviation rel-reflects-barbs
  :: ('proc  $\times$  'proc) set  $\Rightarrow$  ('proc, 'barbs) calculusWithBarbs  $\Rightarrow$  bool
where
  rel-reflects-barbs Rel CWB  $\equiv$  rel-reflects-binary-pred Rel (HasBarb CWB)
```

```
lemma reflection-of-barbs-and-set-of-barbs:
  fixes Rel :: ('proc  $\times$  'proc) set
  and CWB :: ('proc, 'barbs) calculusWithBarbs
  shows rel-reflects-barbs Rel CWB = ( $\forall \text{Barbs}. \text{rel-reflects-barb-set Rel } CWB \text{ Barbs}$ )
   $\langle\text{proof}\rangle$ 
```

A relation respects barbs if it preserves and reflects barbs.

```
abbreviation rel-respects-barb-set
```

```

 $\text{:: } ('proc \times 'proc) \text{ set} \Rightarrow ('proc, 'barbs) \text{ calculusWithBarbs} \Rightarrow 'barbs \text{ set} \Rightarrow \text{bool}$ 
where
 $\text{rel-respects-barb-set Rel } \text{CWB Barbs} \equiv$ 
 $\text{rel-preserves-barb-set Rel } \text{CWB Barbs} \wedge \text{rel-reflects-barb-set Rel } \text{CWB Barbs}$ 

abbreviation rel-respects-barbs
 $\text{:: } ('proc \times 'proc) \text{ set} \Rightarrow ('proc, 'barbs) \text{ calculusWithBarbs} \Rightarrow \text{bool}$ 
where
 $\text{rel-respects-barbs Rel } \text{CWB} \equiv \text{rel-preserves-barbs Rel } \text{CWB} \wedge \text{rel-reflects-barbs Rel } \text{CWB}$ 

lemma respection-of-barbs-and-set-of-barbs:
fixes Rel  $\text{:: } ('proc \times 'proc) \text{ set}$ 
and CWB  $\text{:: } ('proc, 'barbs) \text{ calculusWithBarbs}$ 
shows rel-respects-barbs Rel CWB  $= (\forall \text{Barbs. rel-respects-barb-set Rel } \text{CWB Barbs})$ 
<proof>

```

If a relation preserves barbs then so does its reflexive or/and transitive closure.

```

lemma preservation-of-barbs-and-closures:
fixes Rel  $\text{:: } ('proc \times 'proc) \text{ set}$ 
and CWB  $\text{:: } ('proc, 'barbs) \text{ calculusWithBarbs}$ 
assumes preservation: rel-preserves-barbs Rel CWB
shows rel-preserves-barbs (Rel=) CWB
and rel-preserves-barbs (Rel+) CWB
and rel-preserves-barbs (Rel*) CWB
<proof>

```

If a relation reflects barbs then so does its reflexive or/and transitive closure.

```

lemma reflection-of-barbs-and-closures:
fixes Rel  $\text{:: } ('proc \times 'proc) \text{ set}$ 
and CWB  $\text{:: } ('proc, 'barbs) \text{ calculusWithBarbs}$ 
assumes reflection: rel-reflects-barbs Rel CWB
shows rel-reflects-barbs (Rel=) CWB
and rel-reflects-barbs (Rel+) CWB
and rel-reflects-barbs (Rel*) CWB
<proof>

```

If a relation respects barbs then so does its reflexive, symmetric, or/and transitive closure.

```

lemma respection-of-barbs-and-closures:
fixes Rel  $\text{:: } ('proc \times 'proc) \text{ set}$ 
and CWB  $\text{:: } ('proc, 'barbs) \text{ calculusWithBarbs}$ 
assumes respection: rel-respects-barbs Rel CWB
shows rel-respects-barbs (Rel=) CWB
and rel-respects-barbs (symcl Rel) CWB
and rel-respects-barbs (Rel+) CWB
and rel-respects-barbs (symcl (Rel=)) CWB
and rel-respects-barbs (Rel*) CWB
and rel-respects-barbs ((symcl (Rel=))+) CWB
<proof>

```

A relation R weakly preserves barbs if it preserves reachability of barbs, i.e., if (P, Q) in R and P reaches a barb then also Q has to reach this barb.

```

abbreviation rel-weakly-preserves-barb-set
 $\text{:: } ('proc \times 'proc) \text{ set} \Rightarrow ('proc, 'barbs) \text{ calculusWithBarbs} \Rightarrow 'barbs \text{ set} \Rightarrow \text{bool}$ 
where
 $\text{rel-weakly-preserves-barb-set Rel } \text{CWB Barbs} \equiv$ 
 $\text{rel-preserves-binary-pred Rel } (\lambda P \text{ a. a} \in \text{Barbs} \wedge P \Downarrow <\text{CWB}> a)$ 

```

```

abbreviation rel-weakly-preserves-barbs
 $\text{:: } ('proc \times 'proc) \text{ set} \Rightarrow ('proc, 'barbs) \text{ calculusWithBarbs} \Rightarrow \text{bool}$ 
where

```

*rel-weakly-preserves-barbs* Rel CWB  $\equiv$  *rel-preserves-binary-pred* Rel  $(\lambda P \ a. \ P \Downarrow \langle \text{CWB} \rangle a)$

**lemma** *weak-preservation-of-barbs-and-set-of-barbs*:

**fixes** Rel :: ('proc × 'proc) set  
**and** CWB :: ('proc, 'barbs) calculusWithBarbs  
**shows** *rel-weakly-preserves-barbs* Rel CWB  
 $= (\forall \text{Barbs}. \text{rel-weakly-preserves-barb-set Rel CWB Barbs})$   
*(proof)*

A relation R weakly reflects barbs if it reflects reachability of barbs, i.e., if (P, Q) in R and Q reaches a barb then also P has to reach this barb.

**abbreviation** *rel-weakly-reflects-barb-set*

:: ('proc × 'proc) set  $\Rightarrow$  ('proc, 'barbs) calculusWithBarbs  $\Rightarrow$  'barbs set  $\Rightarrow$  bool  
**where**

*rel-weakly-reflects-barb-set* Rel CWB Barbs  $\equiv$   
*rel-reflects-binary-pred* Rel  $(\lambda P \ a. \ a \in \text{Barbs} \wedge P \Downarrow \langle \text{CWB} \rangle a)$

**abbreviation** *rel-weakly-reflects-barbs*

:: ('proc × 'proc) set  $\Rightarrow$  ('proc, 'barbs) calculusWithBarbs  $\Rightarrow$  bool  
**where**

*rel-weakly-reflects-barbs* Rel CWB  $\equiv$  *rel-reflects-binary-pred* Rel  $(\lambda P \ a. \ P \Downarrow \langle \text{CWB} \rangle a)$

**lemma** *weak-reflection-of-barbs-and-set-of-barbs*:

**fixes** Rel :: ('proc × 'proc) set  
**and** CWB :: ('proc, 'barbs) calculusWithBarbs  
**shows** *rel-weakly-reflects-barbs* Rel CWB  $= (\forall \text{Barbs}. \text{rel-weakly-reflects-barb-set Rel CWB Barbs})$   
*(proof)*

A relation weakly respects barbs if it weakly preserves and weakly reflects barbs.

**abbreviation** *rel-weakly-respects-barb-set*

:: ('proc × 'proc) set  $\Rightarrow$  ('proc, 'barbs) calculusWithBarbs  $\Rightarrow$  'barbs set  $\Rightarrow$  bool  
**where**

*rel-weakly-respects-barb-set* Rel CWB Barbs  $\equiv$   
*rel-weakly-preserves-barb-set* Rel CWB Barbs  $\wedge$  *rel-weakly-reflects-barb-set* Rel CWB Barbs

**abbreviation** *rel-weakly-respects-barbs*

:: ('proc × 'proc) set  $\Rightarrow$  ('proc, 'barbs) calculusWithBarbs  $\Rightarrow$  bool  
**where**

*rel-weakly-respects-barbs* Rel CWB  $\equiv$   
*rel-weakly-preserves-barbs* Rel CWB  $\wedge$  *rel-weakly-reflects-barbs* Rel CWB

**lemma** *weak-respection-of-barbs-and-set-of-barbs*:

**fixes** Rel :: ('proc × 'proc) set  
**and** CWB :: ('proc, 'barbs) calculusWithBarbs  
**shows** *rel-weakly-respects-barbs* Rel CWB  $= (\forall \text{Barbs}. \text{rel-weakly-respects-barb-set Rel CWB Barbs})$   
*(proof)*

If a relation weakly preserves barbs then so does its reflexive or/and transitive closure.

**lemma** *weak-preservation-of-barbs-and-closures*:

**fixes** Rel :: ('proc × 'proc) set  
**and** CWB :: ('proc, 'barbs) calculusWithBarbs  
**assumes** preservation: *rel-weakly-preserves-barbs* Rel CWB  
**shows** *rel-weakly-preserves-barbs* (Rel<sup>=</sup>) CWB  
**and** *rel-weakly-preserves-barbs* (Rel<sup>+</sup>) CWB  
**and** *rel-weakly-preserves-barbs* (Rel<sup>\*</sup>) CWB  
*(proof)*

If a relation weakly reflects barbs then so does its reflexive or/and transitive closure.

**lemma** *weak-reflection-of-barbs-and-closures*:

**fixes** Rel :: ('proc × 'proc) set

```

and CWB :: ('proc, 'barbs) calculusWithBarbs
assumes reflection: rel-weakly-reflects-barbs Rel CWB
shows rel-weakly-reflects-barbs (Rel=) CWB
  and rel-weakly-reflects-barbs (Rel+) CWB
  and rel-weakly-reflects-barbs (Rel*) CWB
  ⟨proof⟩

```

If a relation weakly respects barbs then so does its reflexive, symmetric, or/and transitive closure.

**lemma** weak-respection-of-barbs-and-closures:

```

fixes Rel :: ('proc × 'proc) set
  and CWB :: ('proc, 'barbs) calculusWithBarbs
assumes respection: rel-weakly-respects-barbs Rel CWB
shows rel-weakly-respects-barbs (Rel=) CWB
  and rel-weakly-respects-barbs (symcl Rel) CWB
  and rel-weakly-respects-barbs (Rel+) CWB
  and rel-weakly-respects-barbs (symcl (Rel=)) CWB
  and rel-weakly-respects-barbs (Rel*) CWB
  and rel-weakly-respects-barbs ((symcl (Rel=))+) CWB
⟨proof⟩

```

end

theory *SimulationRelations*

```

imports ProcessCalculi
begin

```

### 3 Simulation Relations

Simulation relations are a special kind of property on relations on processes. They usually require that steps are (strongly or weakly) preserved and/or reflected modulo the relation. We consider different kinds of simulation relations.

#### 3.1 Simulation

A weak reduction simulation is relation R such that if (P, Q) in R and P evolves to some P' then there exists some Q' such that Q evolves to Q' and (P', Q') in R.

```

abbreviation weak-reduction-simulation
  :: ('proc × 'proc) set ⇒ 'proc processCalculus ⇒ bool
where
  weak-reduction-simulation Rel Cal ≡
    ∀ P Q P'. (P, Q) ∈ Rel ∧ P ↦Cal* P' → (∃ Q'. Q ↦Cal* Q' ∧ (P', Q') ∈ Rel)

```

A weak barbed simulation is weak reduction simulation that weakly preserves barbs.

```

abbreviation weak-barbed-simulation
  :: ('proc × 'proc) set ⇒ ('proc, 'barbs) calculusWithBarbs ⇒ bool
where
  weak-barbed-simulation Rel CWB ≡
    weak-reduction-simulation Rel (Calculus CWB) ∧ rel-weakly-preserves-barbs Rel CWB

```

The reflexive and/or transitive closure of a weak simulation is a weak simulation.

```

lemma weak-reduction-simulation-and-closures:
fixes Rel :: ('proc × 'proc) set
  and Cal :: 'proc processCalculus
assumes simulation: weak-reduction-simulation Rel Cal
shows weak-reduction-simulation (Rel=) Cal
  and weak-reduction-simulation (Rel+) Cal
  and weak-reduction-simulation (Rel*) Cal
⟨proof⟩

```

```

lemma weak-barbed-simulation-and-closures:
  fixes Rel :: ('proc × 'proc) set
    and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes simulation: weak-barbed-simulation Rel CWB
  shows weak-barbed-simulation (Rel=) CWB
    and weak-barbed-simulation (Rel+) CWB
    and weak-barbed-simulation (Rel*) CWB
  ⟨proof⟩

```

In the case of a simulation weak preservation of barbs can be replaced by the weaker condition that whenever  $(P, Q)$  in the relation and  $P$  has a barb then  $Q$  have to be able to reach this barb.

```

abbreviation weak-barbed-preservation-cond
  :: ('proc × 'proc) set ⇒ ('proc, 'barbs) calculusWithBarbs ⇒ bool
  where
  weak-barbed-preservation-cond Rel CWB ≡ ∀ P Q a. (P, Q) ∈ Rel ∧ P↓<CWB>a → Q↓<CWB>a

```

```

lemma weak-preservation-of-barbs:
  fixes Rel :: ('proc × 'proc) set
    and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes preservation: rel-weakly-preserves-barbs Rel CWB
  shows weak-barbed-preservation-cond Rel CWB
  ⟨proof⟩

```

```

lemma simulation-impl-equality-of-preservation-of-barbs-conditions:
  fixes Rel :: ('proc × 'proc) set
    and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes simulation: weak-reduction-simulation Rel (Calculus CWB)
  shows rel-weakly-preserves-barbs Rel CWB = weak-barbed-preservation-cond Rel CWB
  ⟨proof⟩

```

A strong reduction simulation is relation R such that for each pair  $(P, Q)$  in R and each step of P to some  $P'$  there exists some  $Q'$  such that there is a step of  $Q$  to  $Q'$  and  $(P', Q')$  in R.

```

abbreviation strong-reduction-simulation :: ('proc × 'proc) set ⇒ 'proc processCalculus ⇒ bool
  where
  strong-reduction-simulation Rel Cal ≡
    ∀ P Q P'. (P, Q) ∈ Rel ∧ P →Cal P' → (∃ Q'. Q →Cal Q' ∧ (P', Q') ∈ Rel)

```

A strong barbed simulation is strong reduction simulation that preserves barbs.

```

abbreviation strong-barbed-simulation
  :: ('proc × 'proc) set ⇒ ('proc, 'barbs) calculusWithBarbs ⇒ bool
  where
  strong-barbed-simulation Rel CWB ≡
    strong-reduction-simulation Rel (Calculus CWB) ∧ rel-preserves-barbs Rel CWB

```

A strong strong simulation is also a weak simulation.

```

lemma strong-impl-weak-reduction-simulation:
  fixes Rel :: ('proc × 'proc) set
    and Cal :: 'proc processCalculus
  assumes simulation: strong-reduction-simulation Rel Cal
  shows weak-reduction-simulation Rel Cal
  ⟨proof⟩

```

```

lemma strong-barbed-simulation-impl-weak-preservation-of-barbs:
  fixes Rel :: ('proc × 'proc) set
    and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes simulation: strong-barbed-simulation Rel CWB
  shows rel-weakly-preserves-barbs Rel CWB
  ⟨proof⟩

```

```

lemma strong-impl-weak-barbed-simulation:
  fixes Rel :: ('proc × 'proc) set
    and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes simulation: strong-barbed-simulation Rel CWB
  shows weak-barbed-simulation Rel CWB
    ⟨proof⟩

```

The reflexive and/or transitive closure of a strong simulation is a strong simulation.

```

lemma strong-reduction-simulation-and-closures:
  fixes Rel :: ('proc × 'proc) set
    and Cal :: 'proc processCalculus
  assumes simulation: strong-reduction-simulation Rel Cal
  shows strong-reduction-simulation (Rel=) Cal
    and strong-reduction-simulation (Rel+) Cal
    and strong-reduction-simulation (Rel*) Cal
  ⟨proof⟩

```

```

lemma strong-barbed-simulation-and-closures:
  fixes Rel :: ('proc × 'proc) set
    and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes simulation: strong-barbed-simulation Rel CWB
  shows strong-barbed-simulation (Rel=) CWB
    and strong-barbed-simulation (Rel+) CWB
    and strong-barbed-simulation (Rel*) CWB
  ⟨proof⟩

```

## 3.2 Contrasimulation

A weak reduction contrasimulation is relation R such that if (P, Q) in R and P evolves to some P' then there exists some Q' such that Q evolves to Q' and (Q', P') in R.

```

abbreviation weak-reduction-contrasimulation
  :: ('proc × 'proc) set ⇒ 'proc processCalculus ⇒ bool
where
  weak-reduction-contrasimulation Rel Cal ≡
    ∀ P Q P'. (P, Q) ∈ Rel ∧ P ↦Cal* P' → (exists Q'. Q ↦Cal* Q' ∧ (Q', P') ∈ Rel)

```

A weak barbed contrasimulation is weak reduction contrasimulation that weakly preserves barbs.

```

abbreviation weak-barbed-contrasimulation
  :: ('proc × 'proc) set ⇒ ('proc, 'barbs) calculusWithBarbs ⇒ bool
where
  weak-barbed-contrasimulation Rel CWB ≡
    weak-reduction-contrasimulation Rel (Calculus CWB) ∧ rel-weakly-preserves-barbs Rel CWB

```

The reflexive and/or transitive closure of a weak contrasimulation is a weak contrasimulation.

```

lemma weak-reduction-contrasimulation-and-closures:
  fixes Rel :: ('proc × 'proc) set
    and Cal :: 'proc processCalculus
  assumes contrasimulation: weak-reduction-contrasimulation Rel Cal
  shows weak-reduction-contrasimulation (Rel=) Cal
    and weak-reduction-contrasimulation (Rel+) Cal
    and weak-reduction-contrasimulation (Rel*) Cal
  ⟨proof⟩

```

```

lemma weak-barbed-contrasimulation-and-closures:
  fixes Rel :: ('proc × 'proc) set
    and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes contrasimulation: weak-barbed-contrasimulation Rel CWB
  shows weak-barbed-contrasimulation (Rel=) CWB

```

**and** weak-barbed-contrasimulation ( $\text{Rel}^+$ )  $\text{CWB}$   
**and** weak-barbed-contrasimulation ( $\text{Rel}^*$ )  $\text{CWB}$   
 $\langle \text{proof} \rangle$

### 3.3 Coupled Simulation

A weak reduction coupled simulation is relation  $R$  such that if  $(P, Q)$  in  $R$  and  $P$  evolves to some  $P'$  then there exists some  $Q'$  such that  $Q$  evolves to  $Q'$  and  $(P', Q')$  in  $R$  and there exists some  $Q'$  such that  $Q$  evolves to  $Q'$  and  $(Q', P')$  in  $R$ .

**abbreviation** weak-reduction-coupled-simulation  
 $:: (\text{'proc} \times \text{'proc}) \text{ set} \Rightarrow \text{'proc processCalculus} \Rightarrow \text{bool}$   
**where**  
*weak-reduction-coupled-simulation Rel Cal*  $\equiv$   
 $\forall P Q P'. (P, Q) \in \text{Rel} \wedge P \xrightarrow{\text{Cal}*} P' \rightarrow (\exists Q'. Q \xrightarrow{\text{Cal}*} Q' \wedge (P', Q') \in \text{Rel}) \wedge (\exists Q'. Q \xrightarrow{\text{Cal}*} Q' \wedge (Q', P') \in \text{Rel})$

A weak barbed coupled simulation is weak reduction coupled simulation that weakly preserves barbs.

**abbreviation** weak-barbed-coupled-simulation  
 $:: (\text{'proc} \times \text{'proc}) \text{ set} \Rightarrow (\text{'proc}, \text{'barbs}) \text{ calculusWithBarbs} \Rightarrow \text{bool}$   
**where**  
*weak-barbed-coupled-simulation Rel CWB*  $\equiv$   
*weak-reduction-coupled-simulation Rel (Calculus CWB)  $\wedge$  rel-weakly-preserves-barbs Rel CWB*

A weak coupled simulation combines the conditions on a weak simulation and a weak contrasimulation.

**lemma** weak-reduction-coupled-simulation-versus-simulation-and-contrasimulation:  
**fixes**  $\text{Rel} :: (\text{'proc} \times \text{'proc}) \text{ set}$   
**and**  $\text{Cal} :: \text{'proc processCalculus}$   
**shows** weak-reduction-coupled-simulation  $\text{Rel Cal}$   
 $= (\text{weak-reduction-simulation Rel Cal} \wedge \text{weak-reduction-contrasimulation Rel Cal})$   
 $\langle \text{proof} \rangle$

**lemma** weak-barbed-coupled-simulation-versus-simulation-and-contrasimulation:  
**fixes**  $\text{Rel} :: (\text{'proc} \times \text{'proc}) \text{ set}$   
**and**  $\text{CWB} :: (\text{'proc}, \text{'barbs}) \text{ calculusWithBarbs}$   
**shows** weak-barbed-coupled-simulation  $\text{Rel CWB}$   
 $= (\text{weak-barbed-simulation Rel CWB} \wedge \text{weak-barbed-contrasimulation Rel CWB})$   
 $\langle \text{proof} \rangle$

The reflexive and/or transitive closure of a weak coupled simulation is a weak coupled simulation.

**lemma** weak-reduction-coupled-simulation-and-closures:  
**fixes**  $\text{Rel} :: (\text{'proc} \times \text{'proc}) \text{ set}$   
**and**  $\text{Cal} :: \text{'proc processCalculus}$   
**assumes**  $\text{coupledSimulation}: \text{weak-reduction-coupled-simulation Rel Cal}$   
**shows** weak-reduction-coupled-simulation ( $\text{Rel}^=$ )  $\text{Cal}$   
**and** weak-reduction-coupled-simulation ( $\text{Rel}^+$ )  $\text{Cal}$   
**and** weak-reduction-coupled-simulation ( $\text{Rel}^*$ )  $\text{Cal}$   
 $\langle \text{proof} \rangle$

**lemma** weak-barbed-coupled-simulation-and-closures:  
**fixes**  $\text{Rel} :: (\text{'proc} \times \text{'proc}) \text{ set}$   
**and**  $\text{CWB} :: (\text{'proc}, \text{'barbs}) \text{ calculusWithBarbs}$   
**assumes**  $\text{coupledSimulation}: \text{weak-barbed-coupled-simulation Rel CWB}$   
**shows** weak-barbed-coupled-simulation ( $\text{Rel}^=$ )  $\text{CWB}$   
**and** weak-barbed-coupled-simulation ( $\text{Rel}^+$ )  $\text{CWB}$   
**and** weak-barbed-coupled-simulation ( $\text{Rel}^*$ )  $\text{CWB}$   
 $\langle \text{proof} \rangle$

### 3.4 Correspondence Simulation

A weak reduction correspondence simulation is relation R such that (1) if (P, Q) in R and P evolves to some P' then there exists some Q' such that Q evolves to Q' and (P', Q') in R, and (2) if (P, Q) in R and P evolves to some P' then there exists some P'' and Q'' such that P evolves to P'' and Q' evolves to Q'' and (P'', Q'') in Rel.

**abbreviation** *weak-reduction-correspondence-simulation*

$:: ('proc \times 'proc) set \Rightarrow 'proc processCalculus \Rightarrow bool$

**where**

*weak-reduction-correspondence-simulation Rel Cal*  $\equiv$

$(\forall P Q P'. (P, Q) \in Rel \wedge P \xrightarrow{Cal*} P' \longrightarrow (\exists Q'. Q \xrightarrow{Cal*} Q' \wedge (P', Q') \in Rel))$

$\wedge (\forall P Q Q'. (P, Q) \in Rel \wedge Q \xrightarrow{Cal*} Q'$

$\longrightarrow (\exists P'' Q''. P \xrightarrow{Cal*} P'' \wedge Q' \xrightarrow{Cal*} Q'' \wedge (P'', Q'') \in Rel))$

A weak barbed correspondence simulation is weak reduction correspondence simulation that weakly respects barbs.

**abbreviation** *weak-barbed-correspondence-simulation*

$:: ('proc \times 'proc) set \Rightarrow ('proc, 'barbs) calculusWithBarbs \Rightarrow bool$

**where**

*weak-barbed-correspondence-simulation Rel CWB*  $\equiv$

*weak-reduction-correspondence-simulation Rel (Calculus CWB)*

$\wedge rel-weakly-respects-barbs Rel CWB$

For each weak correspondence simulation R there exists a weak coupled simulation that contains all pairs of R in both directions.

**inductive-set** *cSim-cs*  $:: ('proc \times 'proc) set \Rightarrow 'proc processCalculus \Rightarrow ('proc \times 'proc) set$

**for** *Rel*  $:: ('proc \times 'proc) set$

**and** *Cal*  $:: 'proc processCalculus$

**where**

*left*:  $\llbracket Q \xrightarrow{Cal*} Q'; (P', Q') \in Rel \rrbracket \implies (P', Q) \in cSim-cs Rel Cal$  |

*right*:  $\llbracket P \xrightarrow{Cal*} P'; (Q, P) \in Rel \rrbracket \implies (P', Q) \in cSim-cs Rel Cal$  |

*trans*:  $\llbracket (P, Q) \in cSim-cs Rel Cal; (Q, R) \in cSim-cs Rel Cal \rrbracket \implies (P, R) \in cSim-cs Rel Cal$

**lemma** *weak-reduction-correspondence-simulation-impl-coupled-simulation*:

**fixes** *Rel*  $:: ('proc \times 'proc) set$

**and** *Cal*  $:: 'proc processCalculus$

**assumes** *corrSim*: *weak-reduction-correspondence-simulation Rel Cal*

**shows** *weak-reduction-coupled-simulation (cSim-cs Rel Cal) Cal*

**and**  $\forall P Q. (P, Q) \in Rel \longrightarrow (P, Q) \in cSim-cs Rel Cal \wedge (Q, P) \in cSim-cs Rel Cal$

*(proof)*

**lemma** *weak-barbed-correspondence-simulation-impl-coupled-simulation*:

**fixes** *Rel*  $:: ('proc \times 'proc) set$

**and** *CWB*  $:: ('proc, 'barbs) calculusWithBarbs$

**assumes** *corrSim*: *weak-barbed-correspondence-simulation Rel CWB*

**shows** *weak-barbed-coupled-simulation (cSim-cs Rel (Calculus CWB)) CWB*

**and**  $\forall P Q. (P, Q) \in Rel \longrightarrow (P, Q) \in cSim-cs Rel (Calculus CWB)$

$\wedge (Q, P) \in cSim-cs Rel (Calculus CWB)$

*(proof)*

**lemma** *reduction-correspondence-simulation-condition-trans*:

**fixes** *Cal*  $:: 'proc processCalculus$

**and** *P Q R*  $:: 'proc$

**and** *Rel*  $:: ('proc \times 'proc) set$

**assumes** *A1*:  $\forall Q'. Q \xrightarrow{Cal*} Q' \longrightarrow (\exists P'' Q''. P \xrightarrow{Cal*} P'' \wedge Q' \xrightarrow{Cal*} Q'' \wedge (P'', Q'') \in Rel)$

**and** *A2*:  $\forall R'. R \xrightarrow{Cal*} R' \longrightarrow (\exists Q'' R''. Q \xrightarrow{Cal*} Q'' \wedge R' \xrightarrow{Cal*} R'' \wedge (Q'', R'') \in Rel)$

**and** *A3*: *weak-reduction-simulation Rel Cal*

**and** *A4*: *trans Rel*

**shows**  $\forall R'. R \xrightarrow{Cal*} R' \longrightarrow (\exists P'' R''. P \xrightarrow{Cal*} P'' \wedge R' \xrightarrow{Cal*} R'' \wedge (P'', R'') \in Rel)$

*(proof)*

The reflexive and/or transitive closure of a weak correspondence simulation is a weak correspondence simulation.

**lemma** *weak-reduction-correspondence-simulation-and-closures*:

```
fixes Rel :: ('proc × 'proc) set
and Cal :: 'proc processCalculus
assumes corrSim: weak-reduction-correspondence-simulation Rel Cal
shows weak-reduction-correspondence-simulation (Rel=) Cal
and weak-reduction-correspondence-simulation (Rel+) Cal
and weak-reduction-correspondence-simulation (Rel*) Cal
⟨proof⟩
```

**lemma** *weak-barbed-correspondence-simulation-and-closures*:

```
fixes Rel :: ('proc × 'proc) set
and CWB :: ('proc, 'barbs) calculusWithBarbs
assumes corrSim: weak-barbed-correspondence-simulation Rel CWB
shows weak-barbed-correspondence-simulation (Rel=) CWB
and weak-barbed-correspondence-simulation (Rel+) CWB
and weak-barbed-correspondence-simulation (Rel*) CWB
⟨proof⟩
```

### 3.5 Bisimulation

A weak reduction bisimulation is relation R such that (1) if  $(P, Q)$  in R and P evolves to some  $P'$  then there exists some  $Q'$  such that  $Q$  evolves to  $Q'$  and  $(P', Q')$  in R, and (2) if  $(P, Q)$  in R and  $Q$  evolves to some  $Q'$  then there exists some  $P'$  such that  $P$  evolves to  $P'$  and  $(P', Q')$  in R.

**abbreviation** *weak-reduction-bisimulation*

```
:: ('proc × 'proc) set ⇒ 'proc processCalculus ⇒ bool
```

**where**

```
weak-reduction-bisimulation Rel Cal ≡
(∀ P Q P'. (P, Q) ∈ Rel ∧ P ↣ Cal* P' → (∃ Q'. Q ↣ Cal* Q' ∧ (P', Q') ∈ Rel))
∧ (∀ P Q Q'. (P, Q) ∈ Rel ∧ Q ↣ Cal* Q' → (∃ P'. P ↣ Cal* P' ∧ (P', Q') ∈ Rel))
```

A weak barbed bisimulation is weak reduction bisimulation that weakly respects barbs.

**abbreviation** *weak-barbed-bisimulation*

```
:: ('proc × 'proc) set ⇒ ('proc, 'barbs) calculusWithBarbs ⇒ bool
```

**where**

```
weak-barbed-bisimulation Rel CWB ≡
weak-reduction-bisimulation Rel (Calculus CWB) ∧ rel-weakly-respects-barbs Rel CWB
```

A symmetric weak simulation is a weak bisimulation.

**lemma** *symm-weak-reduction-simulation-is-bisimulation*:

```
fixes Rel :: ('proc × 'proc) set
and Cal :: 'proc processCalculus
assumes sym Rel
and weak-reduction-simulation Rel Cal
shows weak-reduction-bisimulation Rel Cal
⟨proof⟩
```

**lemma** *symm-weak-barbed-simulation-is-bisimulation*:

```
fixes Rel :: ('proc × 'proc) set
and CWB :: ('proc, 'barbs) calculusWithBarbs
assumes sym Rel
and weak-barbed-simulation Rel CWB
shows weak-barbed-bisimulation Rel CWB
⟨proof⟩
```

If a relation as well as its inverse are weak simulations, then this relation is a weak bisimulation.

```

lemma weak-reduction-simulations-impl-bisimulation:
  fixes Rel :: ('proc × 'proc) set
    and Cal :: 'proc processCalculus
  assumes sim: weak-reduction-simulation Rel Cal
    and simInv: weak-reduction-simulation (Rel-1) Cal
  shows weak-reduction-bisimulation Rel Cal
  ⟨proof⟩

lemma weak-reduction-bisimulations-impl-inverse-is-simulation:
  fixes Rel :: ('proc × 'proc) set
    and Cal :: 'proc processCalculus
  assumes bisim: weak-reduction-bisimulation Rel Cal
  shows weak-reduction-simulation (Rel-1) Cal
  ⟨proof⟩

lemma weak-reduction-simulations-iff-bisimulation:
  fixes Rel :: ('proc × 'proc) set
    and Cal :: 'proc processCalculus
  shows (weak-reduction-simulation Rel Cal ∧ weak-reduction-simulation (Rel-1) Cal)
    = weak-reduction-bisimulation Rel Cal
  ⟨proof⟩

lemma weak-barbed-simulations-iff-bisimulation:
  fixes Rel :: ('proc × 'proc) set
    and CWB :: ('proc, 'barbs) calculusWithBarbs
  shows (weak-barbed-simulation Rel CWB ∧ weak-barbed-simulation (Rel-1) CWB)
    = weak-barbed-bisimulation Rel CWB
  ⟨proof⟩

```

A weak bisimulation is a weak correspondence simulation.

```

lemma weak-reduction-bisimulation-is-correspondence-simulation:
  fixes Rel :: ('proc × 'proc) set
    and Cal :: 'proc processCalculus
  assumes bisim: weak-reduction-bisimulation Rel Cal
  shows weak-reduction-correspondence-simulation Rel Cal
  ⟨proof⟩

```

```

lemma weak-barbed-bisimulation-is-correspondence-simulation:
  fixes Rel :: ('proc × 'proc) set
    and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes bisim: weak-barbed-bisimulation Rel CWB
  shows weak-barbed-correspondence-simulation Rel CWB
  ⟨proof⟩

```

The reflexive, symmetric, and/or transitive closure of a weak bisimulation is a weak bisimulation.

```

lemma weak-reduction-bisimulation-and-closures:
  fixes Rel :: ('proc × 'proc) set
    and Cal :: 'proc processCalculus
  assumes bisim: weak-reduction-bisimulation Rel Cal
  shows weak-reduction-bisimulation (Rel=) Cal
    and weak-reduction-bisimulation (symcl Rel) Cal
    and weak-reduction-bisimulation (Rel+) Cal
    and weak-reduction-bisimulation (symcl (Rel=)) Cal
    and weak-reduction-bisimulation (Rel*) Cal
    and weak-reduction-bisimulation ((symcl (Rel=))+) Cal
  ⟨proof⟩

```

```

lemma weak-barbed-bisimulation-and-closures:
  fixes Rel :: ('proc × 'proc) set
    and CWB :: ('proc, 'barbs) calculusWithBarbs

```

```

assumes bisim: weak-barbed-bisimulation Rel CWB
shows weak-barbed-bisimulation (Rel=) CWB
  and weak-barbed-bisimulation (symcl Rel) CWB
  and weak-barbed-bisimulation (Rel+) CWB
  and weak-barbed-bisimulation (symcl (Rel=)) CWB
  and weak-barbed-bisimulation (Rel*) CWB
  and weak-barbed-bisimulation ((symcl (Rel=))+) CWB
⟨proof⟩

```

A strong reduction bisimulation is relation R such that (1) if (P, Q) in R and P' is a derivative of P then there exists some Q' such that Q' is a derivative of Q and (P', Q') in R, and (2) if (P, Q) in R and Q' is a derivative of Q then there exists some P' such that P' is a derivative of P and (P', Q') in R.

```

abbreviation strong-reduction-bisimulation
  :: ('proc × 'proc) set ⇒ 'proc processCalculus ⇒ bool
where
  strong-reduction-bisimulation Rel Cal ≡
    (forall P Q P'. (P, Q) ∈ Rel ∧ P ↦ Cal P' → (exists Q'. Q ↦ Cal Q' ∧ (P', Q') ∈ Rel))
    ∧ (forall P Q Q'. (P, Q) ∈ Rel ∧ Q ↦ Cal Q' → (exists P'. P ↦ Cal P' ∧ (P', Q') ∈ Rel))

```

A strong barbed bisimulation is strong reduction bisimulation that respects barbs.

```

abbreviation strong-barbed-bisimulation
  :: ('proc × 'proc) set ⇒ ('proc, 'barbs) calculusWithBarbs ⇒ bool
where
  strong-barbed-bisimulation Rel CWB ≡
    strong-reduction-bisimulation Rel (Calculus CWB) ∧ rel-respects-barbs Rel CWB

```

A symmetric strong simulation is a strong bisimulation.

```

lemma symm-strong-reduction-simulation-is-bisimulation:
  fixes Rel :: ('proc × 'proc) set
  and Cal :: 'proc processCalculus
  assumes sym Rel
    and strong-reduction-simulation Rel Cal
  shows strong-reduction-bisimulation Rel Cal
  ⟨proof⟩

```

```

lemma symm-strong-barbed-simulation-is-bisimulation:
  fixes Rel :: ('proc × 'proc) set
  and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes sym Rel
    and strong-barbed-simulation Rel CWB
  shows strong-barbed-bisimulation Rel CWB
  ⟨proof⟩

```

If a relation as well as its inverse are strong simulations, then this relation is a strong bisimulation.

```

lemma strong-reduction-simulations-impl-bisimulation:
  fixes Rel :: ('proc × 'proc) set
  and Cal :: 'proc processCalculus
  assumes sim: strong-reduction-simulation Rel Cal
    and simInv: strong-reduction-simulation (Rel-1) Cal
  shows strong-reduction-bisimulation Rel Cal
  ⟨proof⟩

```

```

lemma strong-reduction-bisimulations-impl-inverse-is-simulation:
  fixes Rel :: ('proc × 'proc) set
  and Cal :: 'proc processCalculus
  assumes bisim: strong-reduction-bisimulation Rel Cal
  shows strong-reduction-simulation (Rel-1) Cal
  ⟨proof⟩

```

```

lemma strong-reduction-simulations-iff-bisimulation:
  fixes Rel :: ('proc × 'proc) set
  and Cal :: 'proc processCalculus
  shows (strong-reduction-simulation Rel Cal ∧ strong-reduction-simulation (Rel-1) Cal)
    = strong-reduction-bisimulation Rel Cal
  ⟨proof⟩

```

```

lemma strong-barbed-simulations-iff-bisimulation:
  fixes Rel :: ('proc × 'proc) set
  and CWB :: ('proc, 'barbs) calculusWithBarbs
  shows (strong-barbed-simulation Rel CWB ∧ strong-barbed-simulation (Rel-1) CWB)
    = strong-barbed-bisimulation Rel CWB
  ⟨proof⟩

```

A strong bisimulation is a weak bisimulation.

```

lemma strong-impl-weak-reduction-bisimulation:
  fixes Rel :: ('proc × 'proc) set
  and Cal :: 'proc processCalculus
  assumes bisim: strong-reduction-bisimulation Rel Cal
  shows weak-reduction-bisimulation Rel Cal
  ⟨proof⟩

```

```

lemma strong-barbed-bisimulation-impl-weak-respection-of-barbs:
  fixes Rel :: ('proc × 'proc) set
  and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes bisim: strong-barbed-bisimulation Rel CWB
  shows rel-weakly-respects-barbs Rel CWB
  ⟨proof⟩

```

```

lemma strong-impl-weak-barbed-bisimulation:
  fixes Rel :: ('proc × 'proc) set
  and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes bisim: strong-barbed-bisimulation Rel CWB
  shows weak-barbed-bisimulation Rel CWB
  ⟨proof⟩

```

The reflexive, symmetric, and/or transitive closure of a strong bisimulation is a strong bisimulation.

```

lemma strong-reduction-bisimulation-and-closures:
  fixes Rel :: ('proc × 'proc) set
  and Cal :: 'proc processCalculus
  assumes bisim: strong-reduction-bisimulation Rel Cal
  shows strong-reduction-bisimulation (Rel=) Cal
    and strong-reduction-bisimulation (symcl Rel) Cal
    and strong-reduction-bisimulation (Rel+) Cal
    and strong-reduction-bisimulation (symcl (Rel=)) Cal
    and strong-reduction-bisimulation (Rel*) Cal
    and strong-reduction-bisimulation ((symcl (Rel=))+) Cal
  ⟨proof⟩

```

```

lemma strong-barbed-bisimulation-and-closures:
  fixes Rel :: ('proc × 'proc) set
  and CWB :: ('proc, 'barbs) calculusWithBarbs
  assumes bisim: strong-barbed-bisimulation Rel CWB
  shows strong-barbed-bisimulation (Rel=) CWB
    and strong-barbed-bisimulation (symcl Rel) CWB
    and strong-barbed-bisimulation (Rel+) CWB
    and strong-barbed-bisimulation (symcl (Rel=)) CWB
    and strong-barbed-bisimulation (Rel*) CWB
    and strong-barbed-bisimulation ((symcl (Rel=))+) CWB
  ⟨proof⟩

```

### 3.6 Step Closure of Relations

The step closure of a relation on process terms is the transitive closure of the union of the relation and the inverse of the reduction relation of the respective calculus.

```
inductive-set stepsClosure :: ('a × 'a) set ⇒ 'a processCalculus ⇒ ('a × 'a) set
  for Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
```

**where**

```
rel: (P, Q) ∈ Rel ⇒ (P, Q) ∈ stepsClosure Rel Cal |
steps: P ↦ Cal* P' ⇒ (P', P) ∈ stepsClosure Rel Cal |
trans: [(P, Q) ∈ stepsClosure Rel Cal; (Q, R) ∈ stepsClosure Rel Cal]
      ⇒ (P, R) ∈ stepsClosure Rel Cal
```

**abbreviation** stepsClosureInfix ::

```
'a ⇒ ('a × 'a) set ⇒ 'a processCalculus ⇒ 'a ⇒ bool (⊣-R⇒<-,->- [75, 75, 75, 75] 80)
```

**where**

```
P R⇒<Rel,Cal> Q ≡ (P, Q) ∈ stepsClosure Rel Cal
```

Applying the steps closure twice does not change the relation.

**lemma** steps-closure-of-steps-closure:

```
fixes Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
shows stepsClosure (stepsClosure Rel Cal) Cal = stepsClosure Rel Cal
⟨proof⟩
```

The steps closure is a preorder.

**lemma** stepsClosure-refl:

```
fixes Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
shows refl (stepsClosure Rel Cal)
⟨proof⟩
```

**lemma** refl-trans-closure-of-rel-impl-steps-closure:

```
fixes Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
  and P Q :: 'a
assumes (P, Q) ∈ Rel*
shows P R⇒<Rel,Cal> Q
⟨proof⟩
```

The steps closure of a relation is always a weak reduction simulation.

**lemma** steps-closure-is-weak-reduction-simulation:

```
fixes Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
shows weak-reduction-simulation (stepsClosure Rel Cal) Cal
⟨proof⟩
```

If Rel is a weak simulation and its inverse is a weak contrasimulation, then the steps closure of Rel is a contrasimulation.

**lemma** inverse-contrasimulation-impl-reverse-pair-in-steps-closure:

```
fixes Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
  and P Q :: 'a
assumes con: weak-reduction-contrasimulation (Rel-1) Cal
  and pair: (P, Q) ∈ Rel
shows Q R⇒<Rel,Cal> P
⟨proof⟩
```

**lemma** simulation-and-inverse-contrasimulation-impl-steps-closure-is-contrasimulation:

```

fixes Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
assumes sim: weak-reduction-simulation Rel Cal
  and con: weak-reduction-contrasimulation (Rel-1) Cal
shows weak-reduction-contrasimulation (stepsClosure Rel Cal) Cal
⟨proof⟩

```

Accordingly, if Rel is a weak simulation and its inverse is a weak contrasimulation, then the steps closure of Rel is a coupled simulation.

```

lemma simulation-and-inverse-contrasimulation-impl-steps-closure-is-coupled-simulation:
fixes Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
assumes sim: weak-reduction-simulation Rel Cal
  and con: weak-reduction-contrasimulation (Rel-1) Cal
shows weak-reduction-coupled-simulation (stepsClosure Rel Cal) Cal
⟨proof⟩

```

If the relation that is closed under steps is a (contra)simulation, then we can conclude from a pair in the closure on a pair in the original relation.

```

lemma stepsClosure-simulation-impl-refl-trans-closure-of-Rel:
fixes Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
  and P Q :: 'a
assumes A1: P R $\rightarrow$ <Rel,Cal> Q
  and A2: weak-reduction-simulation Rel Cal
shows ∃ Q'. Q  $\longrightarrow$ Cal* Q'  $\wedge$  (P, Q') ∈ Rel*
⟨proof⟩

```

```

lemma stepsClosure-contrasimulation-impl-refl-trans-closure-of-Rel:
fixes Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
  and P Q :: 'a
assumes A1: P R $\rightarrow$ <Rel,Cal> Q
  and A2: weak-reduction-contrasimulation Rel Cal
shows ∃ Q'. Q  $\longrightarrow$ Cal* Q'  $\wedge$  (Q', P) ∈ Rel*
⟨proof⟩

```

```

lemma stepsClosure-contrasimulation-of-inverse-impl-refl-trans-closure-of-Rel:
fixes Rel :: ('a × 'a) set
  and Cal :: 'a processCalculus
  and P Q :: 'a
assumes A1: P R $\rightarrow$ <Rel-1,Cal> Q
  and A2: weak-reduction-contrasimulation (Rel-1) Cal
shows ∃ Q'. Q  $\longrightarrow$ Cal* Q'  $\wedge$  (P, Q') ∈ Rel*
⟨proof⟩

```

```

end
theory Encodings
  imports ProcessCalculi
begin

```

## 4 Encodings

In the simplest case an encoding from a source into a target language is a mapping from source into target terms. Encodability criteria describe properties on such mappings. To analyse encodability criteria we map them on conditions on relations between source and target terms. More precisely, we consider relations on pairs of the disjoint union of source and target terms. We denote this disjoint union of source and target terms by Proc.

```

datatype ('procS, 'procT) Proc =
  SourceTerm 'procS |
  TargetTerm 'procT

definition STCal
  :: 'procS processCalculus ⇒ 'procT processCalculus
    ⇒ (('procS, 'procT) Proc) processCalculus
  where
    STCal Source Target ≡
    (Reductions = λP P'.
      (exists SP SP'. P = SourceTerm SP ∧ P' = SourceTerm SP' ∧ Reductions Source SP SP') ∨
      (exists TP TP'. P = TargetTerm TP ∧ P' = TargetTerm TP' ∧ Reductions Target TP TP'))
```

```

definition STCalWB
  :: ('procS, 'barbs) calculusWithBarbs ⇒ ('procT, 'barbs) calculusWithBarbs
    ⇒ (('procS, 'procT) Proc, 'barbs) calculusWithBarbs
  where
    STCalWB Source Target ≡
    (Calculus = STCal (calculusWithBarbs.Calculus Source) (calculusWithBarbs.Calculus Target),
     HasBarb = λP a. (exists SP. P = SourceTerm SP ∧ (calculusWithBarbs.HasBarb Source) SP a) ∨
     (exists TP. P = TargetTerm TP ∧ (calculusWithBarbs.HasBarb Target) TP a))
```

An encoding consists of a source language, a target language, and a mapping from source into target terms.

```

locale encoding =
  fixes Source :: 'procS processCalculus
  and Target :: 'procT processCalculus
  and Enc :: 'procS ⇒ 'procT
begin
```

```

abbreviation enc :: 'procS ⇒ 'procT (⟨[]⟩ [65] 70) where
  [S] ≡ Enc S
```

```

abbreviation isSource :: ('procS, 'procT) Proc ⇒ bool (⟨- ∈ ProcS⟩ [70] 80) where
  P ∈ ProcS ≡ (exists S. P = SourceTerm S)
```

```

abbreviation isTarget :: ('procS, 'procT) Proc ⇒ bool (⟨- ∈ ProcT⟩ [70] 80) where
  P ∈ ProcT ≡ (exists T. P = TargetTerm T)
```

```

abbreviation getSource
  :: 'procS ⇒ ('procS, 'procT) Proc ⇒ bool (⟨- ∈ S → [70, 70] 80)
  where
  S ∈ S P ≡ (P = SourceTerm S)
```

```

abbreviation getTarget
  :: 'procT ⇒ ('procS, 'procT) Proc ⇒ bool (⟨- ∈ T → [70, 70] 80)
  where
  T ∈ T P ≡ (P = TargetTerm T)
```

A step of a term in Proc is either a source term step or a target term step.

```

abbreviation stepST
  :: ('procS, 'procT) Proc ⇒ ('procS, 'procT) Proc ⇒ bool (⟨- ↦ ST → [70, 70] 80)
  where
  P ↦ ST P' ≡
  (exists S S'. S ∈ S P ∧ S' ∈ S P' ∧ S ↦ Source S') ∨ (exists T T'. T ∈ T P ∧ T' ∈ T P' ∧ T ↦ Target T')
```

```

lemma stepST-STCal-step:
  fixes P P' :: ('procS, 'procT) Proc
  shows P ↦ (STCal Source Target) P' = P ↦ ST P'
  ⟨proof⟩
```

```

lemma STStep-step:
  fixes S :: 'procS
  and T :: 'procT
  and P' :: ('procS, 'procT) Proc
  shows SourceTerm S  $\mapsto_{ST}$  P' = ( $\exists S'. S' \in S$  P'  $\wedge$  S  $\mapsto_{Source}$  S')
    and TargetTerm T  $\mapsto_{ST}$  P' = ( $\exists T'. T' \in T$  P'  $\wedge$  T  $\mapsto_{Target}$  T')
  (proof)

lemma STCal-step:
  fixes S :: 'procS
  and T :: 'procT
  and P' :: ('procS, 'procT) Proc
  shows SourceTerm S  $\mapsto_{(STCal\ Source\ Target)}$  P' = ( $\exists S'. S' \in S$  P'  $\wedge$  S  $\mapsto_{Source}$  S')
    and TargetTerm T  $\mapsto_{(STCal\ Source\ Target)}$  P' = ( $\exists T'. T' \in T$  P'  $\wedge$  T  $\mapsto_{Target}$  T')
  (proof)

```

A sequence of steps of a term in Proc is either a sequence of source term steps or a sequence of target term steps.

```

abbreviation stepsST
  :: ('procS, 'procT) Proc  $\Rightarrow$  ('procS, 'procT) Proc  $\Rightarrow$  bool ( $\langle \cdot \mapsto ST^* \rangle$  [70, 70] 80)
where
P  $\mapsto_{ST^*}$  P'  $\equiv$ 
  ( $\exists S S'. S \in S$  P  $\wedge$  S'  $\in S$  P'  $\wedge$  S  $\mapsto_{Source^*}$  S')  $\vee$  ( $\exists T T'. T \in T$  P  $\wedge$  T'  $\in T$  P'  $\wedge$  T  $\mapsto_{Target^*}$  T')

```

```

lemma STSteps-steps:
  fixes S :: 'procS
  and T :: 'procT
  and P' :: ('procS, 'procT) Proc
  shows SourceTerm S  $\mapsto_{ST^*}$  P' = ( $\exists S'. S' \in S$  P'  $\wedge$  S  $\mapsto_{Source^*}$  S')
    and TargetTerm T  $\mapsto_{ST^*}$  P' = ( $\exists T'. T' \in T$  P'  $\wedge$  T  $\mapsto_{Target^*}$  T')
  (proof)

```

```

lemma STCal-steps:
  fixes S :: 'procS
  and T :: 'procT
  and P' :: ('procS, 'procT) Proc
  shows SourceTerm S  $\mapsto_{(STCal\ Source\ Target)^*}$  P' = ( $\exists S'. S' \in S$  P'  $\wedge$  S  $\mapsto_{Source^*}$  S')
    and TargetTerm T  $\mapsto_{(STCal\ Source\ Target)^*}$  P' = ( $\exists T'. T' \in T$  P'  $\wedge$  T  $\mapsto_{Target^*}$  T')
  (proof)

```

```

lemma stepsST-STCal-steps:
  fixes P P' :: ('procS, 'procT) Proc
  shows P  $\mapsto_{(STCal\ Source\ Target)^*}$  P' = P  $\mapsto_{ST^*}$  P'
  (proof)

```

```

lemma stepsST-refl:
  fixes P :: ('procS, 'procT) Proc
  shows P  $\mapsto_{ST^*}$  P
  (proof)

```

```

lemma stepsST-add:
  fixes P Q R :: ('procS, 'procT) Proc
  assumes A1: P  $\mapsto_{ST^*}$  Q
    and A2: Q  $\mapsto_{ST^*}$  R
  shows P  $\mapsto_{ST^*}$  R
  (proof)

```

A divergent term of Proc is either a divergent source term or a divergent target term.

```

abbreviation divergentST

```

```

:: ('procS, 'procT) Proc ⇒ bool (⊣-→STω) [70] 80)
where
 $P \mapsto ST\omega \equiv (\exists S. S \in S P \wedge S \mapsto (Source)\omega) \vee (\exists T. T \in T P \wedge T \mapsto (Target)\omega)$ 

```

```

lemma STCal-divergent:
fixes S :: 'procS
and T :: 'procT
shows SourceTerm S → (STCal Source Target)ω = S → (Source)ω
and TargetTerm T → (STCal Source Target)ω = T → (Target)ω
⟨proof⟩

```

```

lemma divergentST-STCal-divergent:
fixes P :: ('procS, 'procT) Proc
shows P → (STCal Source Target)ω = P → STω
⟨proof⟩

```

Similar to relations we define what it means for an encoding to preserve, reflect, or respect a predicate. An encoding preserves some predicate P if P(S) implies P(enc S) for all source terms S.

```

abbreviation enc-preserves-pred :: (('procS, 'procT) Proc ⇒ bool) ⇒ bool where
enc-preserves-pred Pred ≡ ∀ S. Pred (SourceTerm S) → Pred (TargetTerm ([S]))

```

```

abbreviation enc-preserves-binary-pred
:: (('procS, 'procT) Proc ⇒ 'b ⇒ bool) ⇒ bool
where
enc-preserves-binary-pred Pred ≡ ∀ S x. Pred (SourceTerm S) x → Pred (TargetTerm ([S])) x

```

An encoding reflects some predicate P if P(S) implies P(enc S) for all source terms S.

```

abbreviation enc-reflects-pred :: (('procS, 'procT) Proc ⇒ bool) ⇒ bool where
enc-reflects-pred Pred ≡ ∀ S. Pred (TargetTerm ([S])) → Pred (SourceTerm S)

```

```

abbreviation enc-reflects-binary-pred
:: (('procS, 'procT) Proc ⇒ 'b ⇒ bool) ⇒ bool
where
enc-reflects-binary-pred Pred ≡ ∀ S x. Pred (TargetTerm ([S])) x → Pred (SourceTerm S) x

```

An encoding respects a predicate if it preserves and reflects it.

```

abbreviation enc-respects-pred :: (('procS, 'procT) Proc ⇒ bool) ⇒ bool where
enc-respects-pred Pred ≡ enc-preserves-pred Pred ∧ enc-reflects-pred Pred

```

```

abbreviation enc-respects-binary-pred
:: (('procS, 'procT) Proc ⇒ 'b ⇒ bool) ⇒ bool
where
enc-respects-binary-pred Pred ≡
enc-preserves-binary-pred Pred ∧ enc-reflects-binary-pred Pred

```

**end**

To compare source terms and target terms w.r.t. their barbs or observables we assume that each languages defines its own predicate for the existence of barbs.

```

locale encoding-wrt-barbs =
encoding Source Target Enc
for Source :: 'procS processCalculus
and Target :: 'procT processCalculus
and Enc :: 'procS ⇒ 'procT +
fixes SWB :: ('procS, 'barbs) calculusWithBarbs
and TWB :: ('procT, 'barbs) calculusWithBarbs
assumes calS: calculusWithBarbs.Calculus SWB = Source
and calT: calculusWithBarbs.Calculus TWB = Target
begin

```

**lemma** *STCalWB-STCal*:  
**shows** *Calculus (STCalWB SWB TWB) = STCal Source Target*  
*(proof)*

We say a term P of Proc has some barbs a if either P is a source term that has barb a or P is a target term that has the barb b. For simplicity we assume that the sets of barbs is large enough to contain all barbs of the source terms, the target terms, and all barbs they might have in common.

**abbreviation** *hasBarbST*  
 $:: ('procS, 'procT) Proc \Rightarrow 'barbs \Rightarrow bool (\leftarrow\downarrow\rightarrow [70, 70] 80)$   
**where**  
 $P\downarrow.a \equiv (\exists S. S \in S P \wedge S\downarrow<SWB>a) \vee (\exists T. T \in T P \wedge T\downarrow<TWB>a)$

**lemma** *STCalWB-hasBarbST*:  
**fixes**  $P :: ('procS, 'procT) Proc$   
**and**  $a :: 'barbs$   
**shows**  $P\downarrow<STCalWB SWB TWB>a = P\downarrow.a$   
*(proof)*

**lemma** *preservation-of-barbs-in-barbed-encoding*:  
**fixes**  $Rel :: (('procS, 'procT) Proc \times ('procS, 'procT) Proc) set$   
**and**  $P Q :: ('procS, 'procT) Proc$   
**and**  $a :: 'barbs$   
**assumes** *preservation: rel-preserves-barbs Rel (STCalWB SWB TWB)*  
**and**  $rel: (P, Q) \in Rel$   
**and**  $barb: P\downarrow.a$   
**shows**  $Q\downarrow.a$   
*(proof)*

**lemma** *reflection-of-barbs-in-barbed-encoding*:  
**fixes**  $Rel :: (('procS, 'procT) Proc \times ('procS, 'procT) Proc) set$   
**and**  $P Q :: ('procS, 'procT) Proc$   
**and**  $a :: 'barbs$   
**assumes** *reflection: rel-reflects-barbs Rel (STCalWB SWB TWB)*  
**and**  $rel: (P, Q) \in Rel$   
**and**  $barb: Q\downarrow.a$   
**shows**  $P\downarrow.a$   
*(proof)*

**lemma** *respectation-of-barbs-in-barbed-encoding*:  
**fixes**  $Rel :: (('procS, 'procT) Proc \times ('procS, 'procT) Proc) set$   
**and**  $P Q :: ('procS, 'procT) Proc$   
**and**  $a :: 'barbs$   
**assumes** *respectation: rel-respects-barbs Rel (STCalWB SWB TWB)*  
**and**  $rel: (P, Q) \in Rel$   
**shows**  $P\downarrow.a = Q\downarrow.a$   
*(proof)*

A term P of Proc reaches a barb a if either P is a source term that reaches a or P is a target term that reaches a.

**abbreviation** *reachesBarbST*  
 $:: ('procS, 'procT) Proc \Rightarrow 'barbs \Rightarrow bool (\leftarrow\downarrow\rightarrow [70, 70] 80)$   
**where**  
 $P\Downarrow.a \equiv (\exists S. S \in S P \wedge S\Downarrow<SWB>a) \vee (\exists T. T \in T P \wedge T\Downarrow<TWB>a)$

**lemma** *STCalWB-reachesBarbST*:  
**fixes**  $P :: ('procS, 'procT) Proc$   
**and**  $a :: 'barbs$   
**shows**  $P\Downarrow<STCalWB SWB TWB>a = P\Downarrow.a$   
*(proof)*

```

lemma weak-preservation-of-barbs-in-barbed-encoding:
  fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
    and P Q :: ('procS, 'procT) Proc
    and a :: 'barbs
  assumes preservation: rel-weakly-preserves-barbs Rel (STCalWB SWB TWB)
    and rel: (P, Q) ∈ Rel
    and barb: P↓.a
  shows Q↓.a
  ⟨proof⟩

lemma weak-reflection-of-barbs-in-barbed-encoding:
  fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
    and P Q :: ('procS, 'procT) Proc
    and a :: 'barbs
  assumes reflection: rel-weakly-reflects-barbs Rel (STCalWB SWB TWB)
    and rel: (P, Q) ∈ Rel
    and barb: Q↓.a
  shows P↓.a
  ⟨proof⟩

lemma weak-respection-of-barbs-in-barbed-encoding:
  fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
    and P Q :: ('procS, 'procT) Proc
    and a :: 'barbs
  assumes respection: rel-weakly-respects-barbs Rel (STCalWB SWB TWB)
    and rel: (P, Q) ∈ Rel
  shows P↓.a = Q↓.a
  ⟨proof⟩

end

end
theory SourceTargetRelation
  imports Encodings SimulationRelations
begin

```

## 5 Relation between Source and Target Terms

### 5.1 Relations Induced by the Encoding Function

We map encodability criteria on conditions of relations between source and target terms. The encoding function itself induces such relations. To analyse the preservation of source term behaviours we use relations that contain the pairs ( $S$ ,  $\text{enc } S$ ) for all source terms  $S$ .

```

inductive-set (in encoding) indRelR
  :: (((('procS, 'procT) Proc) × ((('procS, 'procT) Proc)) set
  where
  encR: (SourceTerm S, TargetTerm ([S])) ∈ indRelR

```

```

abbreviation (in encoding) indRelR infix :: 
  ('procS, 'procT) Proc ⇒ ('procS, 'procT) Proc ⇒ bool (‐ R[·]R → [75, 75] 80)
  where
  P R[·]R Q ≡ (P, Q) ∈ indRelR

```

```

inductive-set (in encoding) indRelRPO
  :: (((('procS, 'procT) Proc) × ((('procS, 'procT) Proc)) set
  where
  encR: (SourceTerm S, TargetTerm ([S])) ∈ indRelRPO |
  source: (SourceTerm S, SourceTerm S) ∈ indRelRPO |

```

```

target: (TargetTerm T, TargetTerm T) ∈ indRelRPO |
trans:  $\llbracket (P, Q) \in \text{indRelRPO}; (Q, R) \in \text{indRelRPO} \rrbracket \implies (P, R) \in \text{indRelRPO}$ 

abbreviation (in encoding) indRelRPOinfix ::  

  ('procS, 'procT) Proc  $\Rightarrow$  ('procS, 'procT) Proc  $\Rightarrow$  bool ( $\cdot \lesssim \llbracket \cdot \rrbracket R \rightarrow [75, 75] 80$ )  

where  

 $P \lesssim \llbracket \cdot \rrbracket R Q \equiv (P, Q) \in \text{indRelRPO}$ 

lemma (in encoding) indRelRPO-refl:  

shows refl indRelRPO  

  ⟨proof⟩

lemma (in encoding) indRelRPO-is-preorder:  

shows preorder indRelRPO  

  ⟨proof⟩

lemma (in encoding) refl-trans-closure-of-indRelR:  

shows indRelRPO = indRelR*  

  ⟨proof⟩

The relation indRelR is the smallest relation that relates all source terms and their literal translations. Thus there exists a relation that relates source terms and their literal translations and satisfies some predicate on its pairs iff the predicate holds for the pairs of indRelR.

lemma (in encoding) indRelR-impl-exists-source-target-relation:  

fixes PredA :: (('procS, 'procT) Proc  $\times$  ('procS, 'procT) Proc) set  $\Rightarrow$  bool  

  and PredB :: (('procS, 'procT) Proc  $\times$  ('procS, 'procT) Proc)  $\Rightarrow$  bool  

shows PredA indRelR  $\implies \exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } (\llbracket S \rrbracket)) \in \text{Rel}) \wedge \text{PredA Rel}$   

  and  $\forall (P, Q) \in \text{indRelR}. \text{PredB } (P, Q)$   

 $\implies \exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } (\llbracket S \rrbracket)) \in \text{Rel}) \wedge (\forall (P, Q) \in \text{Rel}. \text{PredB } (P, Q))$   

  ⟨proof⟩

lemma (in encoding) source-target-relation-impl-indRelR:  

fixes Rel :: (('procS, 'procT) Proc  $\times$  ('procS, 'procT) Proc) set  

  and Pred :: (('procS, 'procT) Proc  $\times$  ('procS, 'procT) Proc)  $\Rightarrow$  bool  

assumes encRRel:  $\forall S. (\text{SourceTerm } S, \text{TargetTerm } (\llbracket S \rrbracket)) \in \text{Rel}$   

  and condRel:  $\forall (P, Q) \in \text{Rel}. \text{Pred } (P, Q)$   

shows  $\forall (P, Q) \in \text{indRelR}. \text{Pred } (P, Q)$   

  ⟨proof⟩

lemma (in encoding) indRelR-iff-exists-source-target-relation:  

fixes Pred :: (('procS, 'procT) Proc  $\times$  ('procS, 'procT) Proc)  $\Rightarrow$  bool  

shows  $(\forall (P, Q) \in \text{indRelR}. \text{Pred } (P, Q)) = (\exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } (\llbracket S \rrbracket)) \in \text{Rel}) \wedge (\forall (P, Q) \in \text{Rel}. \text{Pred } (P, Q)))$   

  ⟨proof⟩

lemma (in encoding) indRelR-modulo-pred-impl-indRelRPO-modulo-pred:  

fixes Pred :: (('procS, 'procT) Proc  $\times$  ('procS, 'procT) Proc)  $\Rightarrow$  bool  

assumes reflCond:  $\forall P. \text{Pred } (P, P)$   

  and transCond:  $\forall P Q R. \text{Pred } (P, Q) \wedge \text{Pred } (Q, R) \longrightarrow \text{Pred } (P, R)$   

shows  $(\forall (P, Q) \in \text{indRelR}. \text{Pred } (P, Q)) = (\forall (P, Q) \in \text{indRelRPO}. \text{Pred } (P, Q))$   

  ⟨proof⟩

lemma (in encoding) indRelRPO-iff-exists-source-target-relation:  

fixes Pred :: (('procS, 'procT) Proc  $\times$  ('procS, 'procT) Proc)  $\Rightarrow$  bool  

shows  $(\forall (P, Q) \in \text{indRelRPO}. \text{Pred } (P, Q)) = (\exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } (\llbracket S \rrbracket)) \in \text{Rel})$   

 $\wedge (\forall (P, Q) \in \text{Rel}. \text{Pred } (P, Q)) \wedge \text{preorder Rel})$   

  ⟨proof⟩

```

An encoding preserves, reflects, or respects a predicate iff *indRelR* preserves, reflects, or respects this predicate.

```

lemma (in encoding) enc-satisfies-pred-impl-indRelR-satisfies-pred:
  fixes Pred :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
  assumes encCond: ∀ S. Pred (SourceTerm S, TargetTerm ([S]))
  shows ∀ (P, Q) ∈ indRelR. Pred (P, Q)
    ⟨proof⟩

lemma (in encoding) indRelR-satisfies-pred-impl-enc-satisfies-pred:
  fixes Pred :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
  assumes relCond: ∀ (P, Q) ∈ indRelR. Pred (P, Q)
  shows ∀ S. Pred (SourceTerm S, TargetTerm ([S]))
    ⟨proof⟩

lemma (in encoding) enc-satisfies-pred-iff-indRelR-satisfies-pred:
  fixes Pred :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
  shows (∀ S. Pred (SourceTerm S, TargetTerm ([S]))) = (∀ (P, Q) ∈ indRelR. Pred (P, Q))
    ⟨proof⟩

lemma (in encoding) enc-satisfies-binary-pred-iff-indRelR-satisfies-binary-pred:
  fixes Pred :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ 'b ⇒ bool
  shows (∀ S a. Pred (SourceTerm S, TargetTerm ([S])) a) = (∀ (P, Q) ∈ indRelR. ∀ a. Pred (P, Q) a)
    ⟨proof⟩

lemma (in encoding) enc-preserves-pred-iff-indRelR-preserves-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows enc-preserves-pred Pred = rel-preserves-pred indRelR Pred
    ⟨proof⟩

lemma (in encoding) enc-preserves-binary-pred-iff-indRelR-preserves-binary-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
  shows enc-preserves-binary-pred Pred = rel-preserves-binary-pred indRelR Pred
    ⟨proof⟩

lemma (in encoding) enc-preserves-pred-iff-indRelRPO-preserves-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows enc-preserves-pred Pred = rel-preserves-pred indRelRPO Pred
    ⟨proof⟩

lemma (in encoding) enc-reflects-pred-iff-indRelR-reflects-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows enc-reflects-pred Pred = rel-reflects-pred indRelR Pred
    ⟨proof⟩

lemma (in encoding) enc-reflects-binary-pred-iff-indRelR-reflects-binary-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
  shows enc-reflects-binary-pred Pred = rel-reflects-binary-pred indRelR Pred
    ⟨proof⟩

lemma (in encoding) enc-reflects-pred-iff-indRelRPO-reflects-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows enc-reflects-pred Pred = rel-reflects-pred indRelRPO Pred
    ⟨proof⟩

lemma (in encoding) enc-respects-pred-iff-indRelR-respects-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows enc-respects-pred Pred = rel-respects-pred indRelR Pred
    ⟨proof⟩

lemma (in encoding) enc-respects-binary-pred-iff-indRelR-respects-binary-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
  shows enc-respects-binary-pred Pred = rel-respects-binary-pred indRelR Pred
    ⟨proof⟩

```

```

lemma (in encoding) enc-respects-pred-iff-indRelRPO-respects-pred:
  fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  bool
  shows enc-respects-pred Pred = rel-respects-pred indRelRPO Pred
  ⟨proof⟩

```

Accordingly an encoding preserves, reflects, or respects a predicate iff there exists a relation that relates source terms with their literal translations and preserves, reflects, or respects this predicate.

```

lemma (in encoding) enc-satisfies-pred-iff-source-target-satisfies-pred:
  fixes Pred :: (('procS, 'procT) Proc  $\times$  ('procS, 'procT) Proc)  $\Rightarrow$  bool
  shows  $(\forall S. \text{Pred}(\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!]))$ 
     $= (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel}) \wedge (\forall (P, Q) \in \text{Rel}. \text{Pred}(P, Q)))$ 
  and  $[\![\forall P Q R. \text{Pred}(P, Q) \wedge \text{Pred}(Q, R) \rightarrow \text{Pred}(P, R); \forall P. \text{Pred}(P, P)]\!] \implies$ 
     $(\forall S. \text{Pred}(\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!]))) = (\exists \text{Rel}. (\forall S.$ 
     $(\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel}) \wedge (\forall (P, Q) \in \text{Rel}. \text{Pred}(P, Q)) \wedge \text{preorder Rel})$ 
  ⟨proof⟩

```

```

lemma (in encoding) enc-preserves-pred-iff-source-target-rel-preserves-pred:
  fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  bool
  shows enc-preserves-pred Pred
     $= (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel}) \wedge \text{rel-preserves-pred Rel Pred})$ 
  and enc-preserves-pred Pred =  $(\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel})$ 
     $\wedge \text{rel-preserves-pred Rel Pred} \wedge \text{preorder Rel})$ 
  ⟨proof⟩

```

```

lemma (in encoding) enc-preserves-binary-pred-iff-source-target-rel-preserves-binary-pred:
  fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  'b  $\Rightarrow$  bool
  shows enc-preserves-binary-pred Pred =  $(\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel})$ 
     $\wedge \text{rel-preserves-binary-pred Rel Pred})$ 
  ⟨proof⟩

```

```

lemma (in encoding) enc-reflects-pred-iff-source-target-rel-reflects-pred:
  fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  bool
  shows enc-reflects-pred Pred
     $= (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel}) \wedge \text{rel-reflects-pred Rel Pred})$ 
  and enc-reflects-pred Pred =  $(\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel})$ 
     $\wedge \text{rel-reflects-pred Rel Pred} \wedge \text{preorder Rel})$ 
  ⟨proof⟩

```

```

lemma (in encoding) enc-reflects-binary-pred-iff-source-target-rel-reflects-binary-pred:
  fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  'b  $\Rightarrow$  bool
  shows enc-reflects-binary-pred Pred =  $(\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel})$ 
     $\wedge \text{rel-reflects-binary-pred Rel Pred})$ 
  ⟨proof⟩

```

```

lemma (in encoding) enc-respects-pred-iff-source-target-rel-respects-pred-encR:
  fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  bool
  shows enc-respects-pred Pred
     $= (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel}) \wedge \text{rel-respects-pred Rel Pred})$ 
  and enc-respects-pred Pred =  $(\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel})$ 
     $\wedge \text{rel-respects-pred Rel Pred} \wedge \text{preorder Rel})$ 
  ⟨proof⟩

```

```

lemma (in encoding) enc-respects-binary-pred-iff-source-target-rel-respects-binary-pred-encR:
  fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  'b  $\Rightarrow$  bool
  shows enc-respects-binary-pred Pred =  $(\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel})$ 
     $\wedge \text{rel-respects-binary-pred Rel Pred})$ 
  ⟨proof⟩

```

To analyse the reflection of source term behaviours we use relations that contain the pairs (enc S, S) for all source terms S.

```

inductive-set (in encoding) indRelL
  :: ((('procS, 'procT) Proc) × (('procS, 'procT) Proc)) set
  where
  encL: (TargetTerm ([S]), SourceTerm S) ∈ indRelL

abbreviation (in encoding) indRelLprefix :: 
  ('procS, 'procT) Proc ⇒ ('procS, 'procT) Proc ⇒ bool (⊣-  $\mathcal{R}[\cdot]L \rightarrow [75, 75]$  80)
  where
  P R[·]L Q ≡ (P, Q) ∈ indRelL

inductive-set (in encoding) indRelLPO
  :: ((('procS, 'procT) Proc) × (('procS, 'procT) Proc)) set
  where
  encL: (TargetTerm ([S]), SourceTerm S) ∈ indRelLPO |
  source: (SourceTerm S, SourceTerm S) ∈ indRelLPO |
  target: (TargetTerm T, TargetTerm T) ∈ indRelLPO |
  trans: [(P, Q) ∈ indRelLPO; (Q, R) ∈ indRelLPO] ⇒⇒ (P, R) ∈ indRelLPO

```

```

abbreviation (in encoding) indRelLPOinfix :: 
  ('procS, 'procT) Proc ⇒ ('procS, 'procT) Proc ⇒ bool (⊣-  $\lesssim[\cdot]L \rightarrow [75, 75]$  80)
  where
  P  $\lesssim[\cdot]L$  Q ≡ (P, Q) ∈ indRelLPO

```

```

lemma (in encoding) indRelLPO-refl:
  shows refl indRelLPO
  ⟨proof⟩

```

```

lemma (in encoding) indRelLPO-is-preorder:
  shows preorder indRelLPO
  ⟨proof⟩

```

```

lemma (in encoding) refl-trans-closure-of-indRelL:
  shows indRelLPO = indRelL*
  ⟨proof⟩

```

The relations *indRelR* and *indRelL* are dual. *indRelR* preserves some predicate iff *indRelL* reflects it. *indRelR* reflects some predicate iff *indRelL* reflects it. *indRelR* respects some predicate iff *indRelL* does.

```

lemma (in encoding) indRelR-preserves-pred-iff-indRelL-reflects-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows rel-preserves-pred indRelR Pred = rel-reflects-pred indRelL Pred
  ⟨proof⟩

```

```

lemma (in encoding) indRelR-preserves-binary-pred-iff-indRelL-reflects-binary-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
  shows rel-preserves-binary-pred indRelR Pred = rel-reflects-binary-pred indRelL Pred
  ⟨proof⟩

```

```

lemma (in encoding) indRelR-reflects-pred-iff-indRelL-preserves-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows rel-reflects-pred indRelR Pred = rel-preserves-pred indRelL Pred
  ⟨proof⟩

```

```

lemma (in encoding) indRelR-reflects-binary-pred-iff-indRelL-preserves-binary-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
  shows rel-reflects-binary-pred indRelR Pred = rel-preserves-binary-pred indRelL Pred
  ⟨proof⟩

```

```

lemma (in encoding) indRelR-respects-pred-iff-indRelL-respects-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool

```

```

shows rel-respects-pred indRelR Pred = rel-respects-pred indRelL Pred
    ⟨proof⟩

lemma (in encoding) indRelR-respects-binary-pred-iff-indRelL-respects-binary-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
  shows rel-respects-binary-pred indRelR Pred = rel-respects-binary-pred indRelL Pred
    ⟨proof⟩

lemma (in encoding) indRelR-cond-preservation-iff-indRelL-cond-reflection:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel) ∧ rel-preserves-pred Rel Pred)
    = (exists Rel. (forall S. (TargetTerm ([S]), SourceTerm S) ∈ Rel) ∧ rel-reflects-pred Rel Pred)
    ⟨proof⟩

lemma (in encoding) indRelR-cond-binary-preservation-iff-indRelL-cond-binary-reflection:
  fixes Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
  shows (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel) ∧ rel-preserves-binary-pred Rel Pred)
    = (exists Rel. (forall S. (TargetTerm ([S]), SourceTerm S) ∈ Rel) ∧ rel-reflects-binary-pred Rel Pred)
    ⟨proof⟩

lemma (in encoding) indRelR-cond-reflection-iff-indRelL-cond-preservation:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel) ∧ rel-reflects-pred Rel Pred)
    = (exists Rel. (forall S. (TargetTerm ([S]), SourceTerm S) ∈ Rel) ∧ rel-preserves-pred Rel Pred)
    ⟨proof⟩

lemma (in encoding) indRelR-cond-binary-reflection-iff-indRelL-cond-binary-preservation:
  fixes Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
  shows (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel) ∧ rel-reflects-binary-pred Rel Pred)
    = (exists Rel. (forall S. (TargetTerm ([S]), SourceTerm S) ∈ Rel) ∧ rel-preserves-binary-pred Rel Pred)
    ⟨proof⟩

lemma (in encoding) indRelR-cond-respectation-iff-indRelL-cond-respectation:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel) ∧ rel-respects-pred Rel Pred)
    = (exists Rel. (forall S. (TargetTerm ([S]), SourceTerm S) ∈ Rel) ∧ rel-respects-pred Rel Pred)
    ⟨proof⟩

lemma (in encoding) indRelR-cond-binary-respectation-iff-indRelL-cond-binary-respectation:
  fixes Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
  shows (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel) ∧ rel-respects-binary-pred Rel Pred)
    = (exists Rel. (forall S. (TargetTerm ([S]), SourceTerm S) ∈ Rel) ∧ rel-respects-binary-pred Rel Pred)
    ⟨proof⟩

```

An encoding preserves, reflects, or respects a predicate iff indRelL reflects, preserves, or respects this predicate.

```

lemma (in encoding) enc-preserves-pred-iff-indRelL-reflects-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows enc-preserves-pred Pred = rel-reflects-pred indRelL Pred
    ⟨proof⟩

```

```

lemma (in encoding) enc-reflects-pred-iff-indRelL-preserves-pred:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows enc-reflects-pred Pred = rel-preserves-pred indRelL Pred
    ⟨proof⟩

```

```

lemma (in encoding) enc-respects-pred-iff-indRelL-respects-pred:

```

```

fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  bool
shows enc-respects-pred Pred = rel-respects-pred indRelL Pred
    ⟨proof⟩

```

An encoding preserves, reflects, or respects a predicate iff there exists a relation, namely indRelL, that relates literal translations with their source terms and reflects, preserves, or respects this predicate.

```

lemma (in encoding) enc-preserves-pred-iff-source-target-rel-reflects-pred:
fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  bool
shows enc-preserves-pred Pred
    = ( $\exists$  Rel. ( $\forall$  S. (TargetTerm ([S]), SourceTerm S)  $\in$  Rel)  $\wedge$  rel-reflects-pred Rel Pred)
    ⟨proof⟩

lemma (in encoding) enc-reflects-pred-iff-source-target-rel-preserves-pred:
fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  bool
shows enc-reflects-pred Pred
    = ( $\exists$  Rel. ( $\forall$  S. (TargetTerm ([S]), SourceTerm S)  $\in$  Rel)  $\wedge$  rel-preserves-pred Rel Pred)
    ⟨proof⟩

```

```

lemma (in encoding) enc-respects-pred-iff-source-target-rel-respects-pred-encL:
fixes Pred :: ('procS, 'procT) Proc  $\Rightarrow$  bool
shows enc-respects-pred Pred
    = ( $\exists$  Rel. ( $\forall$  S. (TargetTerm ([S]), SourceTerm S)  $\in$  Rel)  $\wedge$  rel-respects-pred Rel Pred)
    ⟨proof⟩

```

To analyse the respectation of source term behaviours we use relations that contain both kind of pairs: (S, enc S) as well as (enc S, S) for all source terms S.

```

inductive-set (in encoding) indRel
    :: (((('procS, 'procT) Proc)  $\times$  ((('procS, 'procT) Proc))) set
where
    encR: (SourceTerm S, TargetTerm ([S]))  $\in$  indRel |
    encL: (TargetTerm ([S]), SourceTerm S)  $\in$  indRel

```

```

abbreviation (in encoding) indRelInfix ::
    ('procS, 'procT) Proc  $\Rightarrow$  ('procS, 'procT) Proc  $\Rightarrow$  bool ( $\hookrightarrow$  R[.]  $\rightarrow$  [75, 75] 80)
where
    P R[.] Q  $\equiv$  (P, Q)  $\in$  indRel

```

```

lemma (in encoding) indRel-symm:
shows sym indRel
    ⟨proof⟩

```

```

inductive-set (in encoding) indRelEQ
    :: (((('procS, 'procT) Proc)  $\times$  ((('procS, 'procT) Proc))) set
where
    encR: (SourceTerm S, TargetTerm ([S]))  $\in$  indRelEQ |
    encL: (TargetTerm ([S]), SourceTerm S)  $\in$  indRelEQ |
    target: (TargetTerm T, TargetTerm T)  $\in$  indRelEQ |
    trans: [(P, Q)  $\in$  indRelEQ; (Q, R)  $\in$  indRelEQ]  $\implies$  (P, R)  $\in$  indRelEQ

```

```

abbreviation (in encoding) indRelEQinfix ::
    ('procS, 'procT) Proc  $\Rightarrow$  ('procS, 'procT) Proc  $\Rightarrow$  bool ( $\hookrightarrow$  ~[.]  $\rightarrow$  [75, 75] 80)
where
    P ~[.] Q  $\equiv$  (P, Q)  $\in$  indRelEQ

```

```

lemma (in encoding) indRelEQ-refl:
shows refl indRelEQ
    ⟨proof⟩

```

```

lemma (in encoding) indRelEQ-is-preorder:
shows preorder indRelEQ

```

$\langle proof \rangle$

**lemma (in encoding) indRelEQ-symm:**

**shows** *sym* *indRelEQ*  
   $\langle proof \rangle$

**lemma (in encoding) indRelEQ-is-equivalence:**

**shows** *equivalence* *indRelEQ*  
   $\langle proof \rangle$

**lemma (in encoding) refl-trans-closure-of-indRel:**

**shows** *indRelEQ* = *indRel*<sup>\*</sup>  
 $\langle proof \rangle$

**lemma (in encoding) refl-symm-trans-closure-of-indRel:**

**shows** *indRelEQ* = (*symcl* (*indRel*<sup>=</sup>))<sup>+</sup>  
 $\langle proof \rangle$

**lemma (in encoding) symm-closure-of-indRelR:**

**shows** *indRel* = *symcl* *indRelR*  
  **and** *indRelEQ* = (*symcl* (*indRelR*<sup>=</sup>))<sup>+</sup>  
 $\langle proof \rangle$

**lemma (in encoding) symm-closure-of-indRelL:**

**shows** *indRel* = *symcl* *indRelL*  
  **and** *indRelEQ* = (*symcl* (*indRelL*<sup>=</sup>))<sup>+</sup>  
 $\langle proof \rangle$

The relation *indRel* is a combination of *indRelL* and *indRelR*. *indRel* respects a predicate iff *indRelR* (or *indRelL*) respects it.

**lemma (in encoding) indRel-respects-pred-iff-indRelR-respects-pred:**

**fixes** *Pred* :: ('*procS*, '*procT*') *Proc*  $\Rightarrow$  *bool*  
  **shows** *rel-respects-pred* *indRel* *Pred* = *rel-respects-pred* *indRelR* *Pred*  
 $\langle proof \rangle$

**lemma (in encoding) indRel-respects-binary-pred-iff-indRelR-respects-binary-pred:**

**fixes** *Pred* :: ('*procS*, '*procT*') *Proc*  $\Rightarrow$  'b  $\Rightarrow$  *bool*  
  **shows** *rel-respects-binary-pred* *indRel* *Pred* = *rel-respects-binary-pred* *indRelR* *Pred*  
 $\langle proof \rangle$

**lemma (in encoding) indRel-cond-respection-iff-indRelR-cond-respection:**

**fixes** *Pred* :: ('*procS*, '*procT*') *Proc*  $\Rightarrow$  *bool*  
  **shows** ( $\exists$  *Rel*.  
     $(\forall S. (SourceTerm S, TargetTerm ([S])) \in Rel \wedge (TargetTerm ([S]), SourceTerm S) \in Rel)$   
     $\wedge rel\text{-respects-pred} Rel Pred)$   
  = ( $\exists Rel. (\forall S. (SourceTerm S, TargetTerm ([S])) \in Rel) \wedge rel\text{-respects-pred} Rel Pred$ )  
 $\langle proof \rangle$

**lemma (in encoding) indRel-cond-binary-respection-iff-indRelR-cond-binary-respection:**

**fixes** *Pred* :: ('*procS*, '*procT*') *Proc*  $\Rightarrow$  'b  $\Rightarrow$  *bool*  
  **shows** ( $\exists Rel.$   
     $(\forall S. (SourceTerm S, TargetTerm ([S])) \in Rel \wedge (TargetTerm ([S]), SourceTerm S) \in Rel)$   
     $\wedge rel\text{-respects-binary-pred} Rel Pred)$   
  = ( $\exists Rel. (\forall S. (SourceTerm S, TargetTerm ([S])) \in Rel)$   
     $\wedge rel\text{-respects-binary-pred} Rel Pred)$   
 $\langle proof \rangle$

An encoding respects a predicate iff *indRel* respects this predicate.

**lemma (in encoding) enc-respects-pred-iff-indRel-respects-pred:**

**fixes** *Pred* :: ('*procS*, '*procT*') *Proc*  $\Rightarrow$  *bool*

```

shows enc-respects-pred Pred = rel-respects-pred indRel Pred
    ⟨proof⟩

```

An encoding respects a predicate iff there exists a relation, namely `indRel`, that relates source terms and their literal translations in both directions and respects this predicate.

```

lemma (in encoding) enc-respects-pred-iff-source-target-rel-respects-pred-encRL:
  fixes Pred :: ('procS, 'procT) Proc ⇒ bool
  shows enc-respects-pred Pred
    = (exists Rel.
        (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel ∧ (TargetTerm ([S]), SourceTerm S) ∈ Rel)
        ∧ rel-respects-pred Rel Pred)
    ⟨proof⟩

```

## 5.2 Relations Induced by the Encoding and a Relation on Target Terms

Some encodability like e.g. operational correspondence are defined w.r.t. a relation on target terms. To analyse such criteria we include the respective target term relation in the considered relation on the disjoint union of source and target terms.

```

inductive-set (in encoding) indRelRT
  :: ('procT × 'procT) set ⇒ (((('procS, 'procT) Proc) × (('procS, 'procT) Proc)) set
  for TRel :: ('procT × 'procT) set
  where
    encR: (SourceTerm S, TargetTerm ([S])) ∈ indRelRT TRel |
    target: (T1, T2) ∈ TRel ⇒ (TargetTerm T1, TargetTerm T2) ∈ indRelRT TRel

```

```

abbreviation (in encoding) indRelRTinfix
  :: ('procS, 'procT) Proc ⇒ ('procT × 'procT) set ⇒ ('procS, 'procT) Proc ⇒ bool
  (‐ R[.]RT<-> -> [75, 75, 75] 80)
  where
  P R[.]RT<TRel> Q ≡ (P, Q) ∈ indRelRT TRel

```

```

inductive-set (in encoding) indRelRTPO
  :: ('procT × 'procT) set ⇒ (((('procS, 'procT) Proc) × (('procS, 'procT) Proc)) set
  for TRel :: ('procT × 'procT) set
  where
    encR: (SourceTerm S, TargetTerm ([S])) ∈ indRelRTPO TRel |
    source: (SourceTerm S, SourceTerm S) ∈ indRelRTPO TRel |
    target: (T1, T2) ∈ TRel ⇒ (TargetTerm T1, TargetTerm T2) ∈ indRelRTPO TRel |
    trans: [(P, Q) ∈ indRelRTPO TRel; (Q, R) ∈ indRelRTPO TRel] ⇒ (P, R) ∈ indRelRTPO TRel

```

```

abbreviation (in encoding) indRelRTPOinfix
  :: ('procS, 'procT) Proc ⇒ ('procT × 'procT) set ⇒ ('procS, 'procT) Proc ⇒ bool
  (‐ ⪯[.]RT<-> -> [75, 75, 75] 80)
  where
  P ⪯[.]RT<TRel> Q ≡ (P, Q) ∈ indRelRTPO TRel

```

```

lemma (in encoding) indRelRTPO-refl:
  fixes TRel :: ('procT × 'procT) set
  assumes refl: refl TRel
  shows refl (indRelRTPO TRel)
  ⟨proof⟩

```

```

lemma (in encoding) refl-trans-closure-of-indRelRT:
  fixes TRel :: ('procT × 'procT) set
  assumes refl: refl TRel
  shows indRelRTPO TRel = (indRelRT TRel)*
  ⟨proof⟩

```

```

lemma (in encoding) indRelRTPO-is-preorder:

```

```

fixes TRel :: ('procT × 'procT) set
assumes reflT: refl TRel
shows preorder (indRelRTPO TRel)
⟨proof⟩

lemma (in encoding) transitive-closure-of-TRel-to-indRelRTPO:
fixes TRel :: ('procT × 'procT) set
and TP TQ :: 'procT
shows (TP, TQ) ∈ TRel+ ⇒ TargetTerm TP ≤[·]RT<TRel> TargetTerm TQ
⟨proof⟩

```

The relation indRelRT is the smallest relation that relates all source terms and their literal translations and contains TRel. Thus there exists a relation that relates source terms and their literal translations and satisfies some predicate on its pairs iff the predicate holds for the pairs of indRelR.

```

lemma (in encoding) indRelR-modulo-pred-impl-indRelRT-modulo-pred:
fixes Pred :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
shows (∀(P, Q) ∈ indRelR. Pred (P, Q)) = (∀ TRel. (∀(TP, TQ) ∈ TRel.
Pred (TargetTerm TP, TargetTerm TQ)) ←→ (∀(P, Q) ∈ indRelRT TRel. Pred (P, Q)))
⟨proof⟩

```

```

lemma (in encoding) indRelRT-iff-exists-source-target-relation:
fixes Pred :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
shows (∀ TRel. (∀(TP, TQ) ∈ TRel. Pred (TargetTerm TP, TargetTerm TQ))
←→ (∀(P, Q) ∈ indRelRT TRel. Pred (P, Q)))
= (∃ Rel. (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel) ∧ (∀(P, Q) ∈ Rel. Pred (P, Q)))
⟨proof⟩

```

```

lemma (in encoding) indRelRT-modulo-pred-impl-indRelRTPO-modulo-pred:
fixes TRel :: ('procT × 'procT) set
and Pred :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
assumes reflCond: ∀ P. Pred (P, P)
and transCond: ∀ P Q R. Pred (P, Q) ∧ Pred (Q, R) → Pred (P, R)
shows (∀(P, Q) ∈ indRelRT TRel. Pred (P, Q)) = (∀(P, Q) ∈ indRelRTPO TRel. Pred (P, Q))
⟨proof⟩

```

```

lemma (in encoding) indRelR-modulo-pred-impl-indRelRTPO-modulo-pred:
fixes Pred :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
assumes ∀ P. Pred (P, P)
and ∀ P Q R. Pred (P, Q) ∧ Pred (Q, R) → Pred (P, R)
shows (∀(P, Q) ∈ indRelR. Pred (P, Q))
= (∀ TRel. (∀(TP, TQ) ∈ TRel. Pred (TargetTerm TP, TargetTerm TQ))
←→ (∀(P, Q) ∈ indRelRTPO TRel. Pred (P, Q)))
⟨proof⟩

```

The relation indRelLT includes TRel and relates literal translations and their source terms.

```

inductive-set (in encoding) indRelLT
:: ('procT × 'procT) set ⇒ (((('procS, 'procT) Proc) × (('procS, 'procT) Proc)) set
for TRel :: ('procT × 'procT) set
where
encL: (TargetTerm ([S]), SourceTerm S) ∈ indRelLT TRel |
target: (T1, T2) ∈ TRel ⇒ (TargetTerm T1, TargetTerm T2) ∈ indRelLT TRel

```

```

abbreviation (in encoding) indRelLTinfix
:: ('procS, 'procT) Proc ⇒ ('procT × 'procT) set ⇒ ('procS, 'procT) Proc ⇒ bool
(· -> [75, 75, 75] 80)
where
P · LT<TRel> Q ≡ (P, Q) ∈ indRelLT TRel

```

```

inductive-set (in encoding) indRelLTPO
:: ('procT × 'procT) set ⇒ (((('procS, 'procT) Proc) × (('procS, 'procT) Proc)) set

```

**for**  $T_{Rel} :: ('procT \times 'procT) set$   
**where**  
 $encL: (TargetTerm ([\![S]\!]), SourceTerm S) \in indRelLTPO T_{Rel} |$   
 $source: (SourceTerm S, SourceTerm S) \in indRelLTPO T_{Rel} |$   
 $target: (T_1, T_2) \in T_{Rel} \implies (TargetTerm T_1, TargetTerm T_2) \in indRelLTPO T_{Rel} |$   
 $trans: \llbracket (P, Q) \in indRelLTPO T_{Rel}; (Q, R) \in indRelLTPO T_{Rel} \rrbracket \implies (P, R) \in indRelLTPO T_{Rel}$

**abbreviation (in encoding)**  $indRelLTPOinfix$   
 $:: ('procS, 'procT) Proc \Rightarrow ('procT \times 'procT) set \Rightarrow ('procS, 'procT) Proc \Rightarrow bool$   
 $\quad (\langle - \lesssim [\cdot] LT \rangle \rightarrow [75, 75, 75] 80)$   
**where**  
 $P \lesssim [\cdot] LT \langle T_{Rel} \rangle Q \equiv (P, Q) \in indRelLTPO T_{Rel}$

**lemma (in encoding)**  $indRelLTPO-refl:$   
**fixes**  $T_{Rel} :: ('procT \times 'procT) set$   
**assumes**  $refl: refl T_{Rel}$   
**shows**  $refl (indRelLTPO T_{Rel})$   
 $\langle proof \rangle$

**lemma (in encoding)**  $refl-trans-closure-of-indRelLT:$   
**fixes**  $T_{Rel} :: ('procT \times 'procT) set$   
**assumes**  $refl: refl T_{Rel}$   
**shows**  $indRelLTPO T_{Rel} = (indRelLT T_{Rel})^*$   
 $\langle proof \rangle$

**inductive-set (in encoding)**  $indRelT$   
 $:: ('procT \times 'procT) set \Rightarrow (((('procS, 'procT) Proc) \times ((('procS, 'procT) Proc))) set$   
**for**  $T_{Rel} :: ('procT \times 'procT) set$   
**where**  
 $encR: (SourceTerm S, TargetTerm ([\![S]\!])) \in indRelT T_{Rel} |$   
 $encL: (TargetTerm ([\![S]\!]), SourceTerm S) \in indRelT T_{Rel} |$   
 $target: (T_1, T_2) \in T_{Rel} \implies (TargetTerm T_1, TargetTerm T_2) \in indRelT T_{Rel}$

**abbreviation (in encoding)**  $indRelTinfix$   
 $:: ('procS, 'procT) Proc \Rightarrow ('procT \times 'procT) set \Rightarrow ('procS, 'procT) Proc \Rightarrow bool$   
 $\quad (\langle - \mathcal{R} [\cdot] T \rangle \rightarrow [75, 75, 75] 80)$   
**where**  
 $P \mathcal{R} [\cdot] T \langle T_{Rel} \rangle Q \equiv (P, Q) \in indRelT T_{Rel}$

**lemma (in encoding)**  $indRelT-symm:$   
**fixes**  $T_{Rel} :: ('procT \times 'procT) set$   
**assumes**  $symm: sym T_{Rel}$   
**shows**  $symm (indRelT T_{Rel})$   
 $\langle proof \rangle$

**inductive-set (in encoding)**  $indRelTEQ$   
 $:: ('procT \times 'procT) set \Rightarrow (((('procS, 'procT) Proc) \times ((('procS, 'procT) Proc))) set$   
**for**  $T_{Rel} :: ('procT \times 'procT) set$   
**where**  
 $encR: (SourceTerm S, TargetTerm ([\![S]\!])) \in indRelTEQ T_{Rel} |$   
 $encL: (TargetTerm ([\![S]\!]), SourceTerm S) \in indRelTEQ T_{Rel} |$   
 $target: (T_1, T_2) \in T_{Rel} \implies (TargetTerm T_1, TargetTerm T_2) \in indRelTEQ T_{Rel} |$   
 $trans: \llbracket (P, Q) \in indRelTEQ T_{Rel}; (Q, R) \in indRelTEQ T_{Rel} \rrbracket \implies (P, R) \in indRelTEQ T_{Rel}$

**abbreviation (in encoding)**  $indRelTEQinfix$   
 $:: ('procS, 'procT) Proc \Rightarrow ('procT \times 'procT) set \Rightarrow ('procS, 'procT) Proc \Rightarrow bool$   
 $\quad (\langle - \sim [\cdot] T \rangle \rightarrow [75, 75, 75] 80)$   
**where**  
 $P \sim [\cdot] T \langle T_{Rel} \rangle Q \equiv (P, Q) \in indRelTEQ T_{Rel}$

**lemma (in encoding)**  $indRelTEQ-refl:$

```

fixes TRel :: ('procT × 'procT) set
assumes refl: refl TRel
shows refl (indRelTEQ TRel)
⟨proof⟩

lemma (in encoding) indRelTEQ-symm:
fixes TRel :: ('procT × 'procT) set
assumes symm: sym TRel
shows sym (indRelTEQ TRel)
⟨proof⟩

lemma (in encoding) refl-trans-closure-of-indRelT:
fixes TRel :: ('procT × 'procT) set
assumes refl: refl TRel
shows indRelTEQ TRel = (indRelT TRel)*
⟨proof⟩

lemma (in encoding) refl-symm-trans-closure-of-indRelT:
fixes TRel :: ('procT × 'procT) set
assumes refl: refl TRel
and symm: sym TRel
shows indRelTEQ TRel = (symcl ((indRelT TRel)=))+
⟨proof⟩

lemma (in encoding) symm-closure-of-indRelRT:
fixes TRel :: ('procT × 'procT) set
assumes refl: refl TRel
and symm: sym TRel
shows indRelT TRel = symcl (indRelRT TRel)
and indRelTEQ TRel = (symcl ((indRelRT TRel)=))+
⟨proof⟩

lemma (in encoding) symm-closure-of-indRelLT:
fixes TRel :: ('procT × 'procT) set
assumes refl: refl TRel
and symm: sym TRel
shows indRelT TRel = symcl (indRelLT TRel)
and indRelTEQ TRel = (symcl ((indRelLT TRel)=))+
⟨proof⟩

```

If the relations indRelRT, indRelLT, or indRelT contain a pair of target terms, then this pair is also related by the considered target term relation.

```

lemma (in encoding) indRelRT-to-TRel:
fixes TRel :: ('procT × 'procT) set
and TP TQ :: 'procT
assumes rel: TargetTerm TP R[·]RT<TRel> TargetTerm TQ
shows (TP, TQ) ∈ TRel
⟨proof⟩

lemma (in encoding) indRelLT-to-TRel:
fixes TRel :: ('procT × 'procT) set
and TP TQ :: 'procT
assumes rel: TargetTerm TP R[·]LT<TRel> TargetTerm TQ
shows (TP, TQ) ∈ TRel
⟨proof⟩

lemma (in encoding) indRelT-to-TRel:
fixes TRel :: ('procT × 'procT) set
and TP TQ :: 'procT
assumes rel: TargetTerm TP R[·]T<TRel> TargetTerm TQ

```

**shows**  $(TP, TQ) \in TRel$   
 $\langle proof \rangle$

If the preorders indRelRTPO, indRelLTPO, or the equivalence indRelTEQ contain a pair of terms, then the pair of target terms that is related to these two terms is also related by the reflexive and transitive closure of the considered target term relation.

**lemma (in encoding) indRelRTPO-to-TRel:**

```

fixes TRel :: ('procT × 'procT) set
and P Q :: ('procS, 'procT) Proc
assumes rel:  $P \lesssim_{\cdot} RT < TRel > Q$ 
shows  $\forall SP SQ. SP \in S P \wedge SQ \in S Q \longrightarrow SP = SQ$ 
and  $\forall SP TQ. SP \in S P \wedge TQ \in T Q$ 
     $\longrightarrow ([SP], TQ) \in (TRel \cup \{(T1, T2). \exists S. T1 = [S] \wedge T2 = [S]\})^+$ 
and  $\forall TP SQ. TP \in T P \wedge SQ \in S Q \longrightarrow False$ 
and  $\forall TP TQ. TP \in T P \wedge TQ \in T Q \longrightarrow (TP, TQ) \in TRel^+$ 
 $\langle proof \rangle$ 
```

**lemma (in encoding) indRelLTPO-to-TRel:**

```

fixes TRel :: ('procT × 'procT) set
and P Q :: ('procS, 'procT) Proc
assumes rel:  $P \lesssim_{\cdot} LT < TRel > Q$ 
shows  $\forall SP SQ. SP \in S P \wedge SQ \in S Q \longrightarrow SP = SQ$ 
and  $\forall SP TQ. SP \in S P \wedge TQ \in T Q \longrightarrow False$ 
and  $\forall TP SQ. TP \in T P \wedge SQ \in S Q$ 
     $\longrightarrow (TP, [SQ]) \in (TRel \cup \{(T1, T2). \exists S. T1 = [S] \wedge T2 = [S]\})^+$ 
and  $\forall TP TQ. TP \in T P \wedge TQ \in T Q \longrightarrow (TP, TQ) \in TRel^+$ 
 $\langle proof \rangle$ 
```

**lemma (in encoding) indRelTEQ-to-TRel:**

```

fixes TRel :: ('procT × 'procT) set
and P Q :: ('procS, 'procT) Proc
assumes rel:  $P \sim_{\cdot} T < TRel > Q$ 
shows  $\forall SP SQ. SP \in S P \wedge SQ \in S Q$ 
     $\longrightarrow ([SP], [SQ]) \in (TRel \cup \{(T1, T2). \exists S. T1 = [S] \wedge T2 = [S]\})^+$ 
and  $\forall SP TQ. SP \in S P \wedge TQ \in T Q$ 
     $\longrightarrow ([SP], TQ) \in (TRel \cup \{(T1, T2). \exists S. T1 = [S] \wedge T2 = [S]\})^+$ 
and  $\forall TP SQ. TP \in T P \wedge SQ \in S Q$ 
     $\longrightarrow (TP, [SQ]) \in (TRel \cup \{(T1, T2). \exists S. T1 = [S] \wedge T2 = [S]\})^+$ 
and  $\forall TP TQ. TP \in T P \wedge TQ \in T Q$ 
     $\longrightarrow (TP, TQ) \in (TRel \cup \{(T1, T2). \exists S. T1 = [S] \wedge T2 = [S]\})^+$ 
 $\langle proof \rangle$ 
```

**lemma (in encoding) trans-closure-of-TRel-refl-cond:**

```

fixes TRel :: ('procT × 'procT) set
and TP TQ :: 'procT
assumes  $(TP, TQ) \in (TRel \cup \{(T1, T2). \exists S. T1 = [S] \wedge T2 = [S]\})^+$ 
shows  $(TP, TQ) \in TRel^*$ 
 $\langle proof \rangle$ 
```

Note that if indRelRTPO relates a source term S to a target term T, then the translation of S is equal to T or indRelRTPO also relates the translation of S to T.

**lemma (in encoding) indRelRTPO-relates-source-target:**

```

fixes TRel :: ('procT × 'procT) set
and S :: 'procS
and T :: 'procT
assumes pair: SourceTerm S  $\lesssim_{\cdot} RT < TRel > TargetTerm T$ 
shows  $(TargetTerm ([S]), TargetTerm T) \in (indRelRTPO TRel)^=$ 
 $\langle proof \rangle$ 
```

If indRelRTPO, indRelLTPO, or indRelTPO preserves barbs then so does the corresponding target

term relation.

```

lemma (in encoding-wrt-barbs) rel-with-target-impl-TRel-preserves-barbs:
  fixes TRel :: ('procT × 'procT) set
    and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes preservation: rel-preserves-barbs Rel (STCalWB SWB TWB)
    and targetInRel: ∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel
  shows rel-preserves-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRTPO-impl-TRel-preserves-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes preservation: rel-preserves-barbs (indRelRTPO TRel) (STCalWB SWB TWB)
  shows rel-preserves-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-preserves-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes preservation: rel-preserves-barbs (indRelLTPO TRel) (STCalWB SWB TWB)
  shows rel-preserves-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-preserves-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes preservation: rel-preserves-barbs (indRelTEQ TRel) (STCalWB SWB TWB)
  shows rel-preserves-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) rel-with-target-impl-TRel-weakly-preserves-barbs:
  fixes TRel :: ('procT × 'procT) set
    and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes preservation: rel-weakly-preserves-barbs Rel (STCalWB SWB TWB)
    and targetInRel: ∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel
  shows rel-weakly-preserves-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRTPO-impl-TRel-weakly-preserves-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes preservation: rel-weakly-preserves-barbs (indRelRTPO TRel) (STCalWB SWB TWB)
  shows rel-weakly-preserves-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-weakly-preserves-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes preservation: rel-weakly-preserves-barbs (indRelLTPO TRel) (STCalWB SWB TWB)
  shows rel-weakly-preserves-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-weakly-preserves-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes preservation: rel-weakly-preserves-barbs (indRelTEQ TRel) (STCalWB SWB TWB)
  shows rel-weakly-preserves-barbs TRel TWB
  ⟨proof⟩

```

If  $\text{indRelRTPO}$ ,  $\text{indRelLTPO}$ , or  $\text{indRelTPO}$  reflects barbs then so does the corresponding target term relation.

```

lemma (in encoding-wrt-barbs) rel-with-target-impl-TRel-reflects-barbs:
  fixes TRel :: ('procT × 'procT) set
    and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes reflection: rel-reflects-barbs Rel (STCalWB SWB TWB)
    and targetInRel: ∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel

```

```

shows rel-reflects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRTPO-impl-TRel-reflects-barbs:
fixes TRel :: ('procT × 'procT) set
assumes reflection: rel-reflects-barbs (indRelRTPO TRel) (STCalWB SWB TWB)
shows rel-reflects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-reflects-barbs:
fixes TRel :: ('procT × 'procT) set
assumes reflection: rel-reflects-barbs (indRelLTPO TRel) (STCalWB SWB TWB)
shows rel-reflects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-reflects-barbs:
fixes TRel :: ('procT × 'procT) set
assumes reflection: rel-reflects-barbs (indRelTEQ TRel) (STCalWB SWB TWB)
shows rel-reflects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) rel-with-target-impl-TRel-weakly-reflects-barbs:
fixes TRel :: ('procT × 'procT) set
and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
assumes reflection: rel-weakly-reflects-barbs Rel (STCalWB SWB TWB)
and targetInRel: ∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel
shows rel-weakly-reflects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRTPO-impl-TRel-weakly-reflects-barbs:
fixes TRel :: ('procT × 'procT) set
assumes reflection: rel-weakly-reflects-barbs (indRelRTPO TRel) (STCalWB SWB TWB)
shows rel-weakly-reflects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-weakly-reflects-barbs:
fixes TRel :: ('procT × 'procT) set
assumes reflection: rel-weakly-reflects-barbs (indRelLTPO TRel) (STCalWB SWB TWB)
shows rel-weakly-reflects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-weakly-reflects-barbs:
fixes TRel :: ('procT × 'procT) set
assumes reflection: rel-weakly-reflects-barbs (indRelTEQ TRel) (STCalWB SWB TWB)
shows rel-weakly-reflects-barbs TRel TWB
⟨proof⟩

If indRelRTPO, indRelLTPO, or indRelTEQ respects barbs then so does the corresponding target term relation.

lemma (in encoding-wrt-barbs) indRelRTPO-impl-TRel-respects-barbs:
fixes TRel :: ('procT × 'procT) set
assumes respect: rel-respects-barbs (indRelRTPO TRel) (STCalWB SWB TWB)
shows rel-respects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-respects-barbs:
fixes TRel :: ('procT × 'procT) set
assumes respect: rel-respects-barbs (indRelLTPO TRel) (STCalWB SWB TWB)
shows rel-respects-barbs TRel TWB
⟨proof⟩

```

```

lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-respects-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes respect: rel-respects-barbs (indRelTEQ TRel) (STCalWB SWB TWB)
  shows rel-respects-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRTPO-impl-TRel-weakly-respects-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes respect: rel-weakly-respects-barbs (indRelRTPO TRel) (STCalWB SWB TWB)
  shows rel-weakly-respects-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-weakly-respects-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes respect: rel-weakly-respects-barbs (indRelLTPO TRel) (STCalWB SWB TWB)
  shows rel-weakly-respects-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-weakly-respects-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes respect: rel-weakly-respects-barbs (indRelTEQ TRel) (STCalWB SWB TWB)
  shows rel-weakly-respects-barbs TRel TWB
  ⟨proof⟩

If indRelRTPO, indRelLTPO, or indRelTEQ is a simulation then so is the corresponding target term relation.

lemma (in encoding) rel-with-target-impl-transC-TRel-is-weak-reduction-simulation:
  fixes TRel :: ('procT × 'procT) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes sim: weak-reduction-simulation Rel (STCal Source Target)
  and target: ∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel
  and trel: ∀ T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel → (T1, T2) ∈ TRel+
  shows weak-reduction-simulation (TRel+) Target
  ⟨proof⟩

lemma (in encoding) indRelRTPO-impl-TRel-is-weak-reduction-simulation:
  fixes TRel :: ('procT × 'procT) set
  assumes sim: weak-reduction-simulation (indRelRTPO TRel) (STCal Source Target)
  shows weak-reduction-simulation (TRel+) Target
  ⟨proof⟩

lemma (in encoding) indRelLTPO-impl-TRel-is-weak-reduction-simulation:
  fixes TRel :: ('procT × 'procT) set
  assumes sim: weak-reduction-simulation (indRelLTPO TRel) (STCal Source Target)
  shows weak-reduction-simulation (TRel+) Target
  ⟨proof⟩

lemma (in encoding) rel-with-target-impl-transC-TRel-is-weak-reduction-simulation-rev:
  fixes TRel :: ('procT × 'procT) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes sim: weak-reduction-simulation (Rel-1) (STCal Source Target)
  and target: ∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel
  and trel: ∀ T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel → (T1, T2) ∈ TRel+
  shows weak-reduction-simulation ((TRel+)-1) Target
  ⟨proof⟩

lemma (in encoding) indRelRTPO-impl-TRel-is-weak-reduction-simulation-rev:
  fixes TRel :: ('procT × 'procT) set
  assumes sim: weak-reduction-simulation ((indRelRTPO TRel)-1) (STCal Source Target)

```

```

shows weak-reduction-simulation (( $T\text{Rel}^+$ ) $^{-1}$ ) Target
    ⟨proof⟩

lemma (in encoding) indRelLTPO-impl-TRel-is-weak-reduction-simulation-rev:
  fixes  $T\text{Rel}$  :: ('procT × 'procT) set
  assumes sim: weak-reduction-simulation ((indRelLTPO  $T\text{Rel}$ ) $^{-1}$ ) (STCal Source Target)
  shows weak-reduction-simulation (( $T\text{Rel}^+$ ) $^{-1}$ ) Target
    ⟨proof⟩

lemma (in encoding) rel-with-target-impl-reflC-transC-TRel-is-weak-reduction-simulation:
  fixes  $T\text{Rel}$  :: ('procT × 'procT) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes sim: weak-reduction-simulation Rel (STCal Source Target)
  and target:  $\forall T_1 T_2. (T_1, T_2) \in T\text{Rel} \longrightarrow (\text{TargetTerm } T_1, \text{TargetTerm } T_2) \in \text{Rel}$ 
  and trel:  $\forall T_1 T_2. (\text{TargetTerm } T_1, \text{TargetTerm } T_2) \in \text{Rel} \longrightarrow (T_1, T_2) \in T\text{Rel}^*$ 
  shows weak-reduction-simulation ( $T\text{Rel}^*$ ) Target
    ⟨proof⟩

lemma (in encoding) indRelTEQ-impl-TRel-is-weak-reduction-simulation:
  fixes  $T\text{Rel}$  :: ('procT × 'procT) set
  assumes sim: weak-reduction-simulation (indRelTEQ  $T\text{Rel}$ ) (STCal Source Target)
  shows weak-reduction-simulation ( $T\text{Rel}^*$ ) Target
    ⟨proof⟩

lemma (in encoding) rel-with-target-impl-transC-TRel-is-strong-reduction-simulation:
  fixes  $T\text{Rel}$  :: ('procT × 'procT) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes sim: strong-reduction-simulation Rel (STCal Source Target)
  and target:  $\forall T_1 T_2. (T_1, T_2) \in T\text{Rel} \longrightarrow (\text{TargetTerm } T_1, \text{TargetTerm } T_2) \in \text{Rel}$ 
  and trel:  $\forall T_1 T_2. (\text{TargetTerm } T_1, \text{TargetTerm } T_2) \in \text{Rel} \longrightarrow (T_1, T_2) \in T\text{Rel}^+$ 
  shows strong-reduction-simulation ( $T\text{Rel}^+$ ) Target
    ⟨proof⟩

lemma (in encoding) indRelRTPO-impl-TRel-is-strong-reduction-simulation:
  fixes  $T\text{Rel}$  :: ('procT × 'procT) set
  assumes sim: strong-reduction-simulation (indRelRTPO  $T\text{Rel}$ ) (STCal Source Target)
  shows strong-reduction-simulation ( $T\text{Rel}^+$ ) Target
    ⟨proof⟩

lemma (in encoding) indRelLTPO-impl-TRel-is-strong-reduction-simulation:
  fixes  $T\text{Rel}$  :: ('procT × 'procT) set
  assumes sim: strong-reduction-simulation (indRelLTPO  $T\text{Rel}$ ) (STCal Source Target)
  shows strong-reduction-simulation ( $T\text{Rel}^+$ ) Target
    ⟨proof⟩

lemma (in encoding) rel-with-target-impl-transC-TRel-is-strong-reduction-simulation-rev:
  fixes  $T\text{Rel}$  :: ('procT × 'procT) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes sim: strong-reduction-simulation (Rel $^{-1}$ ) (STCal Source Target)
  and target:  $\forall T_1 T_2. (T_1, T_2) \in T\text{Rel} \longrightarrow (\text{TargetTerm } T_1, \text{TargetTerm } T_2) \in \text{Rel}$ 
  and trel:  $\forall T_1 T_2. (\text{TargetTerm } T_1, \text{TargetTerm } T_2) \in \text{Rel} \longrightarrow (T_1, T_2) \in T\text{Rel}^+$ 
  shows strong-reduction-simulation (( $T\text{Rel}^+$ ) $^{-1}$ ) Target
    ⟨proof⟩

lemma (in encoding) indRelRTPO-impl-TRel-is-strong-reduction-simulation-rev:
  fixes  $T\text{Rel}$  :: ('procT × 'procT) set
  assumes sim: strong-reduction-simulation ((indRelRTPO  $T\text{Rel}$ ) $^{-1}$ ) (STCal Source Target)
  shows strong-reduction-simulation (( $T\text{Rel}^+$ ) $^{-1}$ ) Target
    ⟨proof⟩

lemma (in encoding) indRelLTPO-impl-TRel-is-strong-reduction-simulation-rev:

```

```

fixes TRel :: ('procT × 'procT) set
assumes sim: strong-reduction-simulation ((indRelLTPO TRel)-1) (STCal Source Target)
shows strong-reduction-simulation ((TRel+)-1) Target
  ⟨proof⟩

lemma (in encoding) rel-with-target-impl-reflC-transC-TRel-is-strong-reduction-simulation:
fixes TRel :: ('procT × 'procT) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
assumes sim: strong-reduction-simulation Rel (STCal Source Target)
  and target: ∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel
  and trel: ∀ T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel
    → (T1, T2) ∈ TRel*
shows strong-reduction-simulation (TRel*) Target
  ⟨proof⟩

lemma (in encoding) indRelTEQ-impl-TRel-is-strong-reduction-simulation:
fixes TRel :: ('procT × 'procT) set
assumes sim: strong-reduction-simulation (indRelTEQ TRel) (STCal Source Target)
shows strong-reduction-simulation (TRel*) Target
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRTPO-impl-TRel-is-weak-barbed-simulation:
fixes TRel :: ('procT × 'procT) set
assumes sim: weak-barbed-simulation (indRelRTPO TRel) (STCalWB SWB TWB)
shows weak-barbed-simulation (TRel+) TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-is-weak-barbed-simulation:
fixes TRel :: ('procT × 'procT) set
assumes sim: weak-barbed-simulation (indRelLTPO TRel) (STCalWB SWB TWB)
shows weak-barbed-simulation (TRel+) TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-is-weak-barbed-simulation:
fixes TRel :: ('procT × 'procT) set
assumes sim: weak-barbed-simulation (indRelTEQ TRel) (STCalWB SWB TWB)
shows weak-barbed-simulation (TRel*) TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRTPO-impl-TRel-is-strong-barbed-simulation:
fixes TRel :: ('procT × 'procT) set
assumes sim: strong-barbed-simulation (indRelRTPO TRel) (STCalWB SWB TWB)
shows strong-barbed-simulation (TRel+) TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-is-strong-barbed-simulation:
fixes TRel :: ('procT × 'procT) set
assumes sim: strong-barbed-simulation (indRelLTPO TRel) (STCalWB SWB TWB)
shows strong-barbed-simulation (TRel+) TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-is-strong-barbed-simulation:
fixes TRel :: ('procT × 'procT) set
assumes sim: strong-barbed-simulation (indRelTEQ TRel) (STCalWB SWB TWB)
shows strong-barbed-simulation (TRel*) TWB
  ⟨proof⟩

```

If indRelRTPO, indRelLTPO, or indRelTEQ is a contrasimulation then so is the corresponding target term relation.

**lemma (in encoding) rel-with-target-impl-transC-TRel-is-weak-reduction-contrasimulation:**

```

fixes TRel :: ('procT × 'procT) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
assumes conSim: weak-reduction-contrasimulation Rel (STCal Source Target)
  and target: ∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel
    and trel: ∀ T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel → (T1, T2) ∈ TRel+
shows weak-reduction-contrasimulation (TRel+) Target
⟨proof⟩

lemma (in encoding) indRelRTPO-impl-TRel-is-weak-reduction-contrasimulation:
fixes TRel :: ('procT × 'procT) set
assumes conSim: weak-reduction-contrasimulation (indRelRTPO TRel) (STCal Source Target)
shows weak-reduction-contrasimulation (TRel+) Target
⟨proof⟩

lemma (in encoding) indRelLTPO-impl-TRel-is-weak-reduction-contrasimulation:
fixes TRel :: ('procT × 'procT) set
assumes conSim: weak-reduction-contrasimulation (indRelLTPO TRel) (STCal Source Target)
shows weak-reduction-contrasimulation (TRel+) Target
⟨proof⟩

lemma (in encoding) rel-with-target-impl-reflC-transC-TRel-is-weak-reduction-contrasimulation:
fixes TRel :: ('procT × 'procT) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
assumes conSim: weak-reduction-contrasimulation Rel (STCal Source Target)
  and target: ∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel
    and trel: ∀ T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel → (T1, T2) ∈ TRel*
shows weak-reduction-contrasimulation (TRel*) Target
⟨proof⟩

lemma (in encoding) indRelTEQ-impl-TRel-is-weak-reduction-contrasimulation:
fixes TRel :: ('procT × 'procT) set
assumes conSim: weak-reduction-contrasimulation (indRelTEQ TRel) (STCal Source Target)
shows weak-reduction-contrasimulation (TRel*) Target
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRTPO-impl-TRel-is-weak-barbed-contrasimulation:
fixes TRel :: ('procT × 'procT) set
assumes conSim: weak-barbed-contrasimulation (indRelRTPO TRel) (STCalWB SWB TWB)
shows weak-barbed-contrasimulation (TRel+) TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-is-weak-barbed-contrasimulation:
fixes TRel :: ('procT × 'procT) set
assumes conSim: weak-barbed-contrasimulation (indRelLTPO TRel) (STCalWB SWB TWB)
shows weak-barbed-contrasimulation (TRel+) TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-is-weak-barbed-contrasimulation:
fixes TRel :: ('procT × 'procT) set
assumes conSim: weak-barbed-contrasimulation (indRelTEQ TRel) (STCalWB SWB TWB)
shows weak-barbed-contrasimulation (TRel*) TWB
⟨proof⟩

```

If  $\text{indRelRTPO}$ ,  $\text{indRelLTPO}$ , or  $\text{indRelTEQ}$  is a coupled simulation then so is the corresponding target term relation.

```

lemma (in encoding) indRelRTPO-impl-TRel-is-weak-reduction-coupled-simulation:
fixes TRel :: ('procT × 'procT) set
assumes couSim: weak-reduction-coupled-simulation (indRelRTPO TRel) (STCal Source Target)
shows weak-reduction-coupled-simulation (TRel+) Target
⟨proof⟩

```

**lemma (in encoding) *indRelLTPO-impl-TRel-is-weak-reduction-coupled-simulation*:**  
**fixes *TRel* :: ('procT × 'procT) set**  
**assumes *couSim*: weak-reduction-coupled-simulation (*indRelLTPO TRel*) (STCal Source Target)**  
**shows weak-reduction-coupled-simulation (*TRel*<sup>+</sup>) Target**  
*(proof)*

**lemma (in encoding) *indRelTEQ-impl-TRel-is-weak-reduction-coupled-simulation*:**  
**fixes *TRel* :: ('procT × 'procT) set**  
**assumes *couSim*: weak-reduction-coupled-simulation (*indRelTEQ TRel*) (STCal Source Target)**  
**shows weak-reduction-coupled-simulation (*TRel*<sup>\*</sup>) Target**  
*(proof)*

**lemma (in encoding-wrt-barbs) *indRelRTPO-impl-TRel-is-weak-barbed-coupled-simulation*:**  
**fixes *TRel* :: ('procT × 'procT) set**  
**assumes *couSim*: weak-barbed-coupled-simulation (*indRelRTPO TRel*) (STCalWB SWB TWB)**  
**shows weak-barbed-coupled-simulation (*TRel*<sup>+</sup>) TWB**  
*(proof)*

**lemma (in encoding-wrt-barbs) *indRelLTPO-impl-TRel-is-weak-barbed-coupled-simulation*:**  
**fixes *TRel* :: ('procT × 'procT) set**  
**assumes *couSim*: weak-barbed-coupled-simulation (*indRelLTPO TRel*) (STCalWB SWB TWB)**  
**shows weak-barbed-coupled-simulation (*TRel*<sup>+</sup>) TWB**  
*(proof)*

**lemma (in encoding-wrt-barbs) *indRelTEQ-impl-TRel-is-weak-barbed-coupled-simulation*:**  
**fixes *TRel* :: ('procT × 'procT) set**  
**assumes *couSim*: weak-barbed-coupled-simulation (*indRelTEQ TRel*) (STCalWB SWB TWB)**  
**shows weak-barbed-coupled-simulation (*TRel*<sup>\*</sup>) TWB**  
*(proof)*

If *indRelRTPO*, *indRelLTPO*, or *indRelTEQ* is a correspondence simulation then so is the corresponding target term relation.

**lemma (in encoding) *rel-with-target-impl-transC-TRel-is-weak-reduction-correspondence-simulation*:**  
**fixes *TRel* :: ('procT × 'procT) set**  
**and *Rel* :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set**  
**assumes *corSim*: weak-reduction-correspondence-simulation *Rel* (STCal Source Target)**  
**and *target*:  $\forall T1 T2. (T1, T2) \in TRel \longrightarrow (\text{TargetTerm } T1, \text{TargetTerm } T2) \in Rel$**   
**and *trel*:  $\forall T1 T2. (\text{TargetTerm } T1, \text{TargetTerm } T2) \in Rel \longrightarrow (T1, T2) \in TRel^+$**   
**shows weak-reduction-correspondence-simulation (*TRel*<sup>+</sup>) Target**  
*(proof)*

**lemma (in encoding) *indRelRTPO-impl-TRel-is-weak-reduction-correspondence-simulation*:**  
**fixes *TRel* :: ('procT × 'procT) set**  
**assumes *cSim*: weak-reduction-correspondence-simulation (*indRelRTPO TRel*) (STCal Source Target)**  
**shows weak-reduction-correspondence-simulation (*TRel*<sup>+</sup>) Target**  
*(proof)*

**lemma (in encoding) *indRelLTPO-impl-TRel-is-weak-reduction-correspondence-simulation*:**  
**fixes *TRel* :: ('procT × 'procT) set**  
**assumes *cSim*: weak-reduction-correspondence-simulation (*indRelLTPO TRel*) (STCal Source Target)**  
**shows weak-reduction-correspondence-simulation (*TRel*<sup>+</sup>) Target**  
*(proof)*

**lemma (in encoding) *rel-with-target-impl-reflC-transC-TRel-is-weak-reduction-correspondence-simulation*:**  
**fixes *TRel* :: ('procT × 'procT) set**  
**and *Rel* :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set**  
**assumes *corSim*: weak-reduction-correspondence-simulation *Rel* (STCal Source Target)**  
**and *target*:  $\forall T1 T2. (T1, T2) \in TRel \longrightarrow (\text{TargetTerm } T1, \text{TargetTerm } T2) \in Rel$**

**and** *trel*:  $\forall T1 T2. (TargetTerm T1, TargetTerm T2) \in Rel \longrightarrow (T1, T2) \in TRel^*$

**shows** weak-reduction-correspondence-simulation ( $TRel^*$ ) Target

*(proof)*

**lemma (in encoding) indRelTEQ-impl-TRel-is-weak-reduction-correspondence-simulation:**

**fixes**  $TRel :: ('procT \times 'procT) set$

**assumes** *corSim*: weak-reduction-correspondence-simulation ( $indRelTEQ TRel$ ) ( $STCal Source Target$ )

**shows** weak-reduction-correspondence-simulation ( $TRel^*$ ) Target

*(proof)*

**lemma (in encoding-wrt-barbs) indRelRTPO-impl-TRel-is-weak-barbed-correspondence-simulation:**

**fixes**  $TRel :: ('procT \times 'procT) set$

**assumes** *corSim*: weak-barbed-correspondence-simulation ( $indRelRTPO TRel$ ) ( $STCalWB SWB TWB$ )

**shows** weak-barbed-correspondence-simulation ( $TRel^+$ )  $TWB$

*(proof)*

**lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-is-weak-barbed-correspondence-simulation:**

**fixes**  $TRel :: ('procT \times 'procT) set$

**assumes** *corSim*: weak-barbed-correspondence-simulation ( $indRelLTPO TRel$ ) ( $STCalWB SWB TWB$ )

**shows** weak-barbed-correspondence-simulation ( $TRel^+$ )  $TWB$

*(proof)*

**lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-is-weak-barbed-correspondence-simulation:**

**fixes**  $TRel :: ('procT \times 'procT) set$

**assumes** *corSim*: weak-barbed-correspondence-simulation ( $indRelTEQ TRel$ ) ( $STCalWB SWB TWB$ )

**shows** weak-barbed-correspondence-simulation ( $TRel^*$ )  $TWB$

*(proof)*

If  $indRelRTPO$ ,  $indRelLTPO$ , or  $indRelTEQ$  is a bisimulation then so is the corresponding target term relation.

**lemma (in encoding) rel-with-target-impl-transC-TRel-is-weak-reduction-bisimulation:**

**fixes**  $TRel :: ('procT \times 'procT) set$

**and**  $Rel :: (('procS, 'procT) Proc \times ('procS, 'procT) Proc) set$

**assumes** *bisim*: weak-reduction-bisimulation  $Rel$  ( $STCal Source Target$ )

**and** *target*:  $\forall T1 T2. (T1, T2) \in TRel \longrightarrow (TargetTerm T1, TargetTerm T2) \in Rel$

**and** *trel*:  $\forall T1 T2. (TargetTerm T1, TargetTerm T2) \in Rel \longrightarrow (T1, T2) \in TRel^+$

**shows** weak-reduction-bisimulation ( $TRel^+$ ) Target

*(proof)*

**lemma (in encoding) indRelRTPO-impl-TRel-is-weak-reduction-bisimulation:**

**fixes**  $TRel :: ('procT \times 'procT) set$

**assumes** *bisim*: weak-reduction-bisimulation ( $indRelRTPO TRel$ ) ( $STCal Source Target$ )

**shows** weak-reduction-bisimulation ( $TRel^+$ ) Target

*(proof)*

**lemma (in encoding) indRelLTPO-impl-TRel-is-weak-reduction-bisimulation:**

**fixes**  $TRel :: ('procT \times 'procT) set$

**assumes** *bisim*: weak-reduction-bisimulation ( $indRelLTPO TRel$ ) ( $STCal Source Target$ )

**shows** weak-reduction-bisimulation ( $TRel^+$ ) Target

*(proof)*

**lemma (in encoding) rel-with-target-impl-reflC-transC-TRel-is-weak-reduction-bisimulation:**

**fixes**  $TRel :: ('procT \times 'procT) set$

**and**  $Rel :: (('procS, 'procT) Proc \times ('procS, 'procT) Proc) set$

**assumes** *bisim*: weak-reduction-bisimulation  $Rel$  ( $STCal Source Target$ )

**and** *target*:  $\forall T1 T2. (T1, T2) \in TRel \longrightarrow (TargetTerm T1, TargetTerm T2) \in Rel$

**and** *trel*:  $\forall T1 T2. (TargetTerm T1, TargetTerm T2) \in Rel \longrightarrow (T1, T2) \in TRel^*$

**shows** weak-reduction-bisimulation ( $TRel^*$ ) Target

*(proof)*

**lemma (in encoding) *indRelTEQ-impl-TRel-is-weak-reduction-bisimulation*:**

**fixes**  $T\text{Rel} :: (\text{'procT} \times \text{'procT}) \text{ set}$

**assumes**  $\text{bisim}: \text{weak-reduction-bisimulation } (\text{indRelTEQ } T\text{Rel}) (\text{STCal Source Target})$

**shows**  $\text{weak-reduction-bisimulation } (T\text{Rel}^*) \text{ Target}$

$\langle \text{proof} \rangle$

**lemma (in encoding) *rel-with-target-impl-transC-TRel-is-strong-reduction-bisimulation*:**

**fixes**  $T\text{Rel} :: (\text{'procT} \times \text{'procT}) \text{ set}$

**and**  $\text{Rel} :: ((\text{'procS}, \text{'procT}) \text{ Proc} \times (\text{'procS}, \text{'procT}) \text{ Proc}) \text{ set}$

**assumes**  $\text{bisim}: \text{strong-reduction-bisimulation } \text{Rel } (\text{STCal Source Target})$

**and**  $\text{target}: \forall T1 T2. (T1, T2) \in T\text{Rel} \longrightarrow (\text{TargetTerm } T1, \text{TargetTerm } T2) \in \text{Rel}$

**and**  $\text{trel}: \forall T1 T2. (\text{TargetTerm } T1, \text{TargetTerm } T2) \in \text{Rel} \longrightarrow (T1, T2) \in T\text{Rel}^+$

**shows**  $\text{strong-reduction-bisimulation } (T\text{Rel}^+) \text{ Target}$

$\langle \text{proof} \rangle$

**lemma (in encoding) *indRelRTPO-impl-TRel-is-strong-reduction-bisimulation*:**

**fixes**  $T\text{Rel} :: (\text{'procT} \times \text{'procT}) \text{ set}$

**assumes**  $\text{bisim}: \text{strong-reduction-bisimulation } (\text{indRelRTPO } T\text{Rel}) (\text{STCal Source Target})$

**shows**  $\text{strong-reduction-bisimulation } (T\text{Rel}^+) \text{ Target}$

$\langle \text{proof} \rangle$

**lemma (in encoding) *indRelLTPO-impl-TRel-is-strong-reduction-bisimulation*:**

**fixes**  $T\text{Rel} :: (\text{'procT} \times \text{'procT}) \text{ set}$

**assumes**  $\text{bisim}: \text{strong-reduction-bisimulation } (\text{indRelLTPO } T\text{Rel}) (\text{STCal Source Target})$

**shows**  $\text{strong-reduction-bisimulation } (T\text{Rel}^+) \text{ Target}$

$\langle \text{proof} \rangle$

**lemma (in encoding) *rel-with-target-impl-reflC-transC-TRel-is-strong-reduction-bisimulation*:**

**fixes**  $T\text{Rel} :: (\text{'procT} \times \text{'procT}) \text{ set}$

**and**  $\text{Rel} :: ((\text{'procS}, \text{'procT}) \text{ Proc} \times (\text{'procS}, \text{'procT}) \text{ Proc}) \text{ set}$

**assumes**  $\text{bisim}: \text{strong-reduction-bisimulation } \text{Rel } (\text{STCal Source Target})$

**and**  $\text{target}: \forall T1 T2. (T1, T2) \in T\text{Rel} \longrightarrow (\text{TargetTerm } T1, \text{TargetTerm } T2) \in \text{Rel}$

**and**  $\text{trel}: \forall T1 T2. (\text{TargetTerm } T1, \text{TargetTerm } T2) \in \text{Rel} \longrightarrow (T1, T2) \in T\text{Rel}^*$

**shows**  $\text{strong-reduction-bisimulation } (T\text{Rel}^*) \text{ Target}$

$\langle \text{proof} \rangle$

**lemma (in encoding) *indRelTEQ-impl-TRel-is-strong-reduction-bisimulation*:**

**fixes**  $T\text{Rel} :: (\text{'procT} \times \text{'procT}) \text{ set}$

**assumes**  $\text{bisim}: \text{strong-reduction-bisimulation } (\text{indRelTEQ } T\text{Rel}) (\text{STCal Source Target})$

**shows**  $\text{strong-reduction-bisimulation } (T\text{Rel}^*) \text{ Target}$

$\langle \text{proof} \rangle$

**lemma (in encoding-wrt-barbs) *indRelRTPO-impl-TRel-is-weak-barbed-bisimulation*:**

**fixes**  $T\text{Rel} :: (\text{'procT} \times \text{'procT}) \text{ set}$

**assumes**  $\text{bisim}: \text{weak-barbed-bisimulation } (\text{indRelRTPO } T\text{Rel}) (\text{STCalWB SWB TWB})$

**shows**  $\text{weak-barbed-bisimulation } (T\text{Rel}^+) \text{ TWB}$

$\langle \text{proof} \rangle$

**lemma (in encoding-wrt-barbs) *indRelLTPO-impl-TRel-is-weak-barbed-bisimulation*:**

**fixes**  $T\text{Rel} :: (\text{'procT} \times \text{'procT}) \text{ set}$

**assumes**  $\text{bisim}: \text{weak-barbed-bisimulation } (\text{indRelLTPO } T\text{Rel}) (\text{STCalWB SWB TWB})$

**shows**  $\text{weak-barbed-bisimulation } (T\text{Rel}^+) \text{ TWB}$

$\langle \text{proof} \rangle$

**lemma (in encoding-wrt-barbs) *indRelTEQ-impl-TRel-is-weak-barbed-bisimulation*:**

**fixes**  $T\text{Rel} :: (\text{'procT} \times \text{'procT}) \text{ set}$

**assumes**  $\text{bisim}: \text{weak-barbed-bisimulation } (\text{indRelTEQ } T\text{Rel}) (\text{STCalWB SWB TWB})$

**shows**  $\text{weak-barbed-bisimulation } (T\text{Rel}^*) \text{ TWB}$

$\langle \text{proof} \rangle$

**lemma (in encoding-wrt-barbs) *indRelRTPO-impl-TRel-is-strong-barbed-bisimulation*:**

```

fixes TRel :: ('procT × 'procT) set
assumes bisim: strong-barbed-bisimulation (indRelRTPO TRel) (STCalWB SWB TWB)
shows strong-barbed-bisimulation (TRel+) TWB
⟨proof⟩

```

```

lemma (in encoding-wrt-barbs) indRelLTPO-impl-TRel-is-strong-barbed-bisimulation:
fixes TRel :: ('procT × 'procT) set
assumes bisim: strong-barbed-bisimulation (indRelLTPO TRel) (STCalWB SWB TWB)
shows strong-barbed-bisimulation (TRel+) TWB
⟨proof⟩

```

```

lemma (in encoding-wrt-barbs) indRelTEQ-impl-TRel-is-strong-barbed-bisimulation:
fixes TRel :: ('procT × 'procT) set
assumes bisim: strong-barbed-bisimulation (indRelTEQ TRel) (STCalWB SWB TWB)
shows strong-barbed-bisimulation (TRel*) TWB
⟨proof⟩

```

### 5.3 Relations Induced by the Encoding and Relations on Source Terms and Target Terms

Some encodability like e.g. full abstraction are defined w.r.t. a relation on source terms and a relation on target terms. To analyse such criteria we include these two relations in the considered relation on the disjoint union of source and target terms.

```

inductive-set (in encoding) indRelRST
:: ('procS × 'procS) set ⇒ ('procT × 'procT) set
⇒ (((procS, procT) Proc) × ((procS, procT) Proc)) set
for SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
where
encR: (SourceTerm S, TargetTerm ([S])) ∈ indRelRST SRel TRel |
source: (S1, S2) ∈ SRel ⇒ (SourceTerm S1, SourceTerm S2) ∈ indRelRST SRel TRel |
target: (T1, T2) ∈ TRel ⇒ (TargetTerm T1, TargetTerm T2) ∈ indRelRST SRel TRel

```

```

abbreviation (in encoding) indRelRSTinfix
:: ('procS, 'procT) Proc ⇒ ('procS × 'procS) set ⇒ ('procT × 'procT) set
⇒ ('procS, 'procT) Proc ⇒ bool (<- R[·]R<-, -> [75, 75, 75, 75] 80)
where
P R[·]R<SRel, TRel> Q ≡ (P, Q) ∈ indRelRST SRel TRel

```

```

inductive-set (in encoding) indRelRSTPO
:: ('procS × 'procS) set ⇒ ('procT × 'procT) set
⇒ (((procS, procT) Proc) × ((procS, procT) Proc)) set
for SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
where
encR: (SourceTerm S, TargetTerm ([S])) ∈ indRelRSTPO SRel TRel |
source: (S1, S2) ∈ SRel ⇒ (SourceTerm S1, SourceTerm S2) ∈ indRelRSTPO SRel TRel |
target: (T1, T2) ∈ TRel ⇒ (TargetTerm T1, TargetTerm T2) ∈ indRelRSTPO SRel TRel |
trans: [(P, Q) ∈ indRelRSTPO SRel TRel; (Q, R) ∈ indRelRSTPO SRel TRel]
⇒ (P, R) ∈ indRelRSTPO SRel TRel

```

```

abbreviation (in encoding) indRelRSTPOinfix :: 
('procS, 'procT) Proc ⇒ ('procS × 'procS) set ⇒ ('procT × 'procT) set
⇒ ('procS, 'procT) Proc ⇒ bool (<- ⪻[·]R<-, -> [75, 75, 75, 75] 80)
where
P ⪻[·]R<SRel, TRel> Q ≡ (P, Q) ∈ indRelRSTPO SRel TRel

```

```

lemma (in encoding) indRelRSTPO-refl:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set

```

```

assumes reflS: refl SRel
    and reflT: refl TRel
shows refl (indRelRSTPO SRel TRel)
    ⟨proof⟩

```

```

lemma (in encoding) indRelRSTPO-trans:
fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
shows trans (indRelRSTPO SRel TRel)
    ⟨proof⟩

```

```

lemma (in encoding) refl-trans-closure-of-indRelRST:
fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
assumes reflS: refl SRel
    and reflT: refl TRel
shows indRelRSTPO SRel TRel = (indRelRST SRel TRel)*
    ⟨proof⟩

```

```

inductive-set (in encoding) indRelLST
    :: ('procS × 'procS) set ⇒ ('procT × 'procT) set
        ⇒ (((procS, procT) Proc) × ((procS, procT) Proc)) set
    for SRel :: ('procS × 'procS) set
        and TRel :: ('procT × 'procT) set
where
encL: (TargetTerm ([S]), SourceTerm S) ∈ indRelLST SRel TRel |
source: (S1, S2) ∈ SRel ⇒ (SourceTerm S1, SourceTerm S2) ∈ indRelLST SRel TRel |
target: (T1, T2) ∈ TRel ⇒ (TargetTerm T1, TargetTerm T2) ∈ indRelLST SRel TRel

```

```

abbreviation (in encoding) indRelLSTinfix
    :: ('procS, 'procT) Proc ⇒ ('procS × 'procS) set ⇒ ('procT × 'procT) set
        ⇒ ('procS, 'procT) Proc ⇒ bool (← R[·]L<-, -> → [75, 75, 75, 75] 80)
where
P R[·]L<SRel, TRel> Q ≡ (P, Q) ∈ indRelLST SRel TRel

```

```

inductive-set (in encoding) indRelLSTPO
    :: ('procS × 'procS) set ⇒ ('procT × 'procT) set
        ⇒ (((procS, procT) Proc) × ((procS, procT) Proc)) set
    for SRel :: ('procS × 'procS) set
        and TRel :: ('procT × 'procT) set
where
encL: (TargetTerm ([S]), SourceTerm S) ∈ indRelLSTPO SRel TRel |
source: (S1, S2) ∈ SRel ⇒ (SourceTerm S1, SourceTerm S2) ∈ indRelLSTPO SRel TRel |
target: (T1, T2) ∈ TRel ⇒ (TargetTerm T1, TargetTerm T2) ∈ indRelLSTPO SRel TRel |
trans: [(P, Q) ∈ indRelLSTPO SRel TRel; (Q, R) ∈ indRelLSTPO SRel TRel]
    ⇒ (P, R) ∈ indRelLSTPO SRel TRel

```

```

abbreviation (in encoding) indRelLSTPOinfix
    :: ('procS, 'procT) Proc ⇒ ('procS × 'procS) set ⇒ ('procT × 'procT) set
        ⇒ ('procS, 'procT) Proc ⇒ bool (← L[·]L<-, -> → [75, 75, 75, 75] 80)
where
P L[·]L<SRel, TRel> Q ≡ (P, Q) ∈ indRelLSTPO SRel TRel

```

```

lemma (in encoding) indRelLSTPO-refl:
fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
assumes reflS: refl SRel
    and reflT: refl TRel
shows refl (indRelLSTPO SRel TRel)
    ⟨proof⟩

```

```

lemma (in encoding) indRelLSTPO-trans:
  fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  shows trans (indRelLSTPO SRel TRel)
  ⟨proof⟩

lemma (in encoding) refl-trans-closure-of-indRelLST:
  fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes reflS: refl SRel
  and reflT: refl TRel
  shows indRelLSTPO SRel TRel = (indRelLST SRel TRel)*
  ⟨proof⟩

inductive-set (in encoding) indRelST
  :: ('procS × 'procS) set ⇒ ('procT × 'procT) set
  ⇒ (((procS, procT) Proc) × ((procS, procT) Proc)) set
  for SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  where
    encR: (SourceTerm S, TargetTerm ([S])) ∈ indRelST SRel TRel |
    encL: (TargetTerm ([S]), SourceTerm S) ∈ indRelST SRel TRel |
    source: (S1, S2) ∈ SRel ⇒ (SourceTerm S1, SourceTerm S2) ∈ indRelST SRel TRel |
    target: (T1, T2) ∈ TRel ⇒ (TargetTerm T1, TargetTerm T2) ∈ indRelST SRel TRel

```

```

abbreviation (in encoding) indRelSTInfix
  :: ('procS, 'procT) Proc ⇒ ('procS × 'procS) set ⇒ ('procT × 'procT) set
  ⇒ ('procS, 'procT) Proc ⇒ bool (⟨- R[·]<-, -> -> [75, 75, 75, 75] 80)
  where
  P R[·]<SRel, TRel> Q ≡ (P, Q) ∈ indRelST SRel TRel

```

```

lemma (in encoding) indRelST-symm:
  fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes symmS: sym SRel
  and symmT: sym TRel
  shows sym (indRelST SRel TRel)
  ⟨proof⟩

```

```

inductive-set (in encoding) indRelSTEQ
  :: ('procS × 'procS) set ⇒ ('procT × 'procT) set
  ⇒ (((procS, procT) Proc) × ((procS, procT) Proc)) set
  for SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  where
    encR: (SourceTerm S, TargetTerm ([S])) ∈ indRelSTEQ SRel TRel |
    encL: (TargetTerm ([S]), SourceTerm S) ∈ indRelSTEQ SRel TRel |
    source: (S1, S2) ∈ SRel ⇒ (SourceTerm S1, SourceTerm S2) ∈ indRelSTEQ SRel TRel |
    target: (T1, T2) ∈ TRel ⇒ (TargetTerm T1, TargetTerm T2) ∈ indRelSTEQ SRel TRel |
    trans: [(P, Q) ∈ indRelSTEQ SRel TRel; (Q, R) ∈ indRelSTEQ SRel TRel]
      ⇒ (P, R) ∈ indRelSTEQ SRel TRel

```

```

abbreviation (in encoding) indRelSTEQInfix
  :: ('procS, 'procT) Proc ⇒ ('procS × 'procS) set ⇒ ('procT × 'procT) set
  ⇒ ('procS, 'procT) Proc ⇒ bool (⟨- ~[·]<-, -> -> [75, 75, 75, 75] 80)
  where
  P ~[·]<SRel, TRel> Q ≡ (P, Q) ∈ indRelSTEQ SRel TRel

```

```

lemma (in encoding) indRelSTEQ-refl:
  fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set

```

```

assumes reflT: refl TRel
shows refl (indRelSTEQ SRel TRel)
⟨proof⟩

lemma (in encoding) indRelSTEQ-symm:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes symmS: sym SRel
and symmT: sym TRel
shows sym (indRelSTEQ SRel TRel)
⟨proof⟩

lemma (in encoding) indRelSTEQ-trans:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
shows trans (indRelSTEQ SRel TRel)
⟨proof⟩

lemma (in encoding) refl-trans-closure-of-indRelST:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes reflT: refl TRel
shows indRelSTEQ SRel TRel = (indRelST SRel TRel)*
⟨proof⟩

lemma (in encoding) refl-symm-trans-closure-of-indRelST:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes reflT: refl TRel
and symmS: sym SRel
and symmT: sym TRel
shows indRelSTEQ SRel TRel = (symcl ((indRelST SRel TRel)=))+
⟨proof⟩

lemma (in encoding) symm-closure-of-indRelRST:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes reflT: refl TRel
and symmS: sym SRel
and symmT: sym TRel
shows indRelST SRel TRel = symcl (indRelRST SRel TRel)
and indRelSTEQ SRel TRel = (symcl ((indRelRST SRel TRel)=))+
⟨proof⟩

lemma (in encoding) symm-closure-of-indRelLST:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes reflT: refl TRel
and symmS: sym SRel
and symmT: sym TRel
shows indRelST SRel TRel = symcl (indRelLST SRel TRel)
and indRelSTEQ SRel TRel = (symcl ((indRelLST SRel TRel)=))+
⟨proof⟩

lemma (in encoding) symm-trans-closure-of-indRelRSTPO:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes symmS: sym SRel
and symmT: sym TRel
shows indRelSTEQ SRel TRel = (symcl (indRelRSTPO SRel TRel))+
⟨proof⟩

```

```

lemma (in encoding) symm-trans-closure-of-indRelSTPO:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
  assumes symmS: sym SRel
    and symmT: sym TRel
  shows indRelSTEQ SRel TRel = (symcl (indRelSTPO SRel TRel))+
  ⟨proof⟩

```

If the relations  $\text{indRelRST}$ ,  $\text{indRelLST}$ , or  $\text{indRelST}$  contain a pair of target terms, then this pair is also related by the considered target term relation. Similarly a pair of source terms is related by the considered source term relation.

```

lemma (in encoding) indRelRST-to-SRel:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
    and SP SQ :: 'procS
  assumes rel: SourceTerm SP R[.]R<SRel, TRel> SourceTerm SQ
  shows (SP, SQ) ∈ SRel
  ⟨proof⟩

```

```

lemma (in encoding) indRelRST-to-TRel:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
    and TP TQ :: 'procT
  assumes rel: TargetTerm TP R[.]R<SRel, TRel> TargetTerm TQ
  shows (TP, TQ) ∈ TRel
  ⟨proof⟩

```

```

lemma (in encoding) indRelLST-to-SRel:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
    and SP SQ :: 'procS
  assumes rel: SourceTerm SP R[.]L<SRel, TRel> SourceTerm SQ
  shows (SP, SQ) ∈ SRel
  ⟨proof⟩

```

```

lemma (in encoding) indRelLST-to-TRel:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
    and TP TQ :: 'procT
  assumes rel: TargetTerm TP R[.]L<SRel, TRel> TargetTerm TQ
  shows (TP, TQ) ∈ TRel
  ⟨proof⟩

```

```

lemma (in encoding) indRelST-to-SRel:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
    and SP SQ :: 'procS
  assumes rel: SourceTerm SP R[.]<SRel, TRel> SourceTerm SQ
  shows (SP, SQ) ∈ SRel
  ⟨proof⟩

```

```

lemma (in encoding) indRelST-to-TRel:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
    and TP TQ :: 'procT
  assumes rel: TargetTerm TP R[.]<SRel, TRel> TargetTerm TQ
  shows (TP, TQ) ∈ TRel
  ⟨proof⟩

```

If the relations  $\text{indRelRSTPO}$  or  $\text{indRelLSTPO}$  contain a pair of target terms, then this pair is also

related by the transitive closure of the considered target term relation. Similarly a pair of source terms is related by the transitive closure of the source term relation. A pair of a source and a target term results from the combination of pairs in the source relation, the target relation, and the encoding function. Note that, because of the symmetry, no similar condition holds for `indRelSTEQ`.

**lemma (in encoding) `indRelRSTPO-to-SRel-and-TRel`:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  and P Q :: ('procS, 'procT) Proc
assumes P ⪻[[.]]R<SRel,TRel> Q
shows ∀ SP SQ. SP ∈S P ∧ SQ ∈S Q → (SP, SQ) ∈ SRel+
  and ∀ SP TQ. SP ∈S P ∧ TQ ∈T Q → (∃ S. (SP, S) ∈ SRel* ∧ ([S], TQ) ∈ TRel*)
  and ∀ TP SQ. TP ∈T P ∧ SQ ∈S Q → False
  and ∀ TP TQ. TP ∈T P ∧ TQ ∈T Q → (TP, TQ) ∈ TRel+
⟨proof⟩
```

**lemma (in encoding) `indRelLSTPO-to-SRel-and-TRel`:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  and P Q :: ('procS, 'procT) Proc
assumes P ⪻[[.]]L<SRel,TRel> Q
shows ∀ SP SQ. SP ∈S P ∧ SQ ∈S Q → (SP, SQ) ∈ SRel+
  and ∀ SP TQ. SP ∈S P ∧ TQ ∈T Q → False
  and ∀ TP SQ. TP ∈T P ∧ SQ ∈S Q → (∃ S. (TP, [S]) ∈ TRel* ∧ (S, SQ) ∈ SRel*)
  and ∀ TP TQ. TP ∈T P ∧ TQ ∈T Q → (TP, TQ) ∈ TRel+
⟨proof⟩
```

If `indRelRSTPO`, `indRelLSTPO`, or `indRelSTPO` preserves barbs then so do the corresponding source term and target term relations.

**lemma (in encoding-wrt-barbs) `rel-with-source-impl-SRel-preserves-barbs`:**

```
fixes SRel :: ('procS × 'procS) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
assumes preservation: rel-preserves-barbs Rel (STCalWB SWB TWB)
  and sourceInRel: ∀ S1 S2. (S1, S2) ∈ SRel → (SourceTerm S1, SourceTerm S2) ∈ Rel
shows rel-preserves-barbs SRel SWB
⟨proof⟩
```

**lemma (in encoding-wrt-barbs) `indRelRSTPO-impl-SRel-and-TRel-preserve-barbs`:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes preservation: rel-preserves-barbs (indRelRSTPO SRel TRel) (STCalWB SWB TWB)
shows rel-preserves-barbs SRel SWB
  and rel-preserves-barbs TRel TWB
⟨proof⟩
```

**lemma (in encoding-wrt-barbs) `indRelLSTPO-impl-SRel-and-TRel-preserve-barbs`:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes preservation: rel-preserves-barbs (indRelLSTPO SRel TRel) (STCalWB SWB TWB)
shows rel-preserves-barbs SRel SWB
  and rel-preserves-barbs TRel TWB
⟨proof⟩
```

**lemma (in encoding-wrt-barbs) `indRelSTEQ-impl-SRel-and-TRel-preserve-barbs`:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes preservation: rel-preserves-barbs (indRelSTEQ SRel TRel) (STCalWB SWB TWB)
shows rel-preserves-barbs SRel SWB
  and rel-preserves-barbs TRel TWB
⟨proof⟩
```

```

lemma (in encoding-wrt-barbs) rel-with-source-impl-SRel-weakly-preserves-barbs:
  fixes SRel :: ('procS × 'procS) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes preservation: rel-weakly-preserves-barbs Rel (STCalWB SWB TWB)
  and sourceInRel: ∀ S1 S2. (S1, S2) ∈ SRel → (SourceTerm S1, SourceTerm S2) ∈ Rel
  shows rel-weakly-preserves-barbs SRel SWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRSTPO-impl-SRel-and-TRel-weakly-preserve-barbs:
  fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes preservation: rel-weakly-preserves-barbs (indRelRSTPO SRel TRel) (STCalWB SWB TWB)
  shows rel-weakly-preserves-barbs SRel SWB
  and rel-weakly-preserves-barbs TRel TWB
  ⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLSTPO-impl-SRel-and-TRel-weakly-preserve-barbs:
  fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes preservation: rel-weakly-preserves-barbs (indRelLSTPO SRel TRel) (STCalWB SWB TWB)
  shows rel-weakly-preserves-barbs SRel SWB
  and rel-weakly-preserves-barbs TRel TWB
  ⟨proof⟩

```

```

lemma (in encoding-wrt-barbs) indRelSTEQ-impl-SRel-and-TRel-weakly-preserve-barbs:
  fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes preservation: rel-weakly-preserves-barbs (indRelSTEQ SRel TRel) (STCalWB SWB TWB)
  shows rel-weakly-preserves-barbs SRel SWB
  and rel-weakly-preserves-barbs TRel TWB
  ⟨proof⟩

```

If indRelRSTPO, indRelLSTPO, or indRelSTPO reflects barbs then so do the corresponding source term and target term relations.

```

lemma (in encoding-wrt-barbs) rel-with-source-impl-SRel-reflects-barbs:
  fixes SRel :: ('procS × 'procS) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes reflection: rel-reflects-barbs Rel (STCalWB SWB TWB)
  and sourceInRel: ∀ S1 S2. (S1, S2) ∈ SRel → (SourceTerm S1, SourceTerm S2) ∈ Rel
  shows rel-reflects-barbs SRel SWB
  ⟨proof⟩

```

```

lemma (in encoding-wrt-barbs) indRelRSTPO-impl-SRel-and-TRel-reflect-barbs:
  fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes reflection: rel-reflects-barbs (indRelRSTPO SRel TRel) (STCalWB SWB TWB)
  shows rel-reflects-barbs SRel SWB
  and rel-reflects-barbs TRel TWB
  ⟨proof⟩

```

```

lemma (in encoding-wrt-barbs) indRelLSTPO-impl-SRel-and-TRel-reflect-barbs:
  fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes reflection: rel-reflects-barbs (indRelLSTPO SRel TRel) (STCalWB SWB TWB)
  shows rel-reflects-barbs SRel SWB
  and rel-reflects-barbs TRel TWB
  ⟨proof⟩

```

```

lemma (in encoding-wrt-barbs) indRelSTEQ-impl-SRel-and-TRel-reflect-barbs:
  fixes SRel :: ('procS × 'procS) set

```

```

and TRel :: ('procT × 'procT) set
assumes reflection: rel-reflects-barbs (indRelSTEQ SRel TRel) (STCalWB SWB TWB)
shows rel-reflects-barbs SRel SWB
and rel-reflects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) rel-with-source-impl-SRel-weakly-reflects-barbs:
fixes SRel :: ('procS × 'procS) set
and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
assumes reflection: rel-weakly-reflects-barbs Rel (STCalWB SWB TWB)
and sourceInRel: ∀ S1 S2. (S1, S2) ∈ SRel → (SourceTerm S1, SourceTerm S2) ∈ Rel
shows rel-weakly-reflects-barbs SRel SWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRSTPO-impl-SRel-and-TRel-weakly-reflect-barbs:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes reflection: rel-weakly-reflects-barbs (indRelRSTPO SRel TRel) (STCalWB SWB TWB)
shows rel-weakly-reflects-barbs SRel SWB
and rel-weakly-reflects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLSTPO-impl-SRel-and-TRel-weakly-reflect-barbs:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes reflection: rel-weakly-reflects-barbs (indRelLSTPO SRel TRel) (STCalWB SWB TWB)
shows rel-weakly-reflects-barbs SRel SWB
and rel-weakly-reflects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelSTEQ-impl-SRel-and-TRel-weakly-reflect-barbs:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes reflection: rel-weakly-reflects-barbs (indRelSTEQ SRel TRel) (STCalWB SWB TWB)
shows rel-weakly-reflects-barbs SRel SWB
and rel-weakly-reflects-barbs TRel TWB
⟨proof⟩

If indRelRSTPO, indRelLSTPO, or indRelSTPO respects barbs then so do the corresponding source term and target term relations.

lemma (in encoding-wrt-barbs) indRelRSTPO-impl-SRel-and-TRel-respect-barbs:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes respect: rel-respects-barbs (indRelRSTPO SRel TRel) (STCalWB SWB TWB)
shows rel-respects-barbs SRel SWB
and rel-respects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLSTPO-impl-SRel-and-TRel-respect-barbs:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes respect: rel-respects-barbs (indRelLSTPO SRel TRel) (STCalWB SWB TWB)
shows rel-respects-barbs SRel SWB
and rel-respects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelSTEQ-impl-SRel-and-TRel-respect-barbs:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes respect: rel-respects-barbs (indRelSTEQ SRel TRel) (STCalWB SWB TWB)

```

```

shows rel-respects-barbs SRel SWB
and rel-respects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelRSTPO-impl-SRel-and-TRel-weakly-respect-barbs:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes respect: rel-weakly-respects-barbs (indRelRSTPO SRel TRel) (STCalWB SWB TWB)
shows rel-weakly-respects-barbs SRel SWB
and rel-weakly-respects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelLSTPO-impl-SRel-and-TRel-weakly-respect-barbs:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes respect: rel-weakly-respects-barbs (indRelLSTPO SRel TRel) (STCalWB SWB TWB)
shows rel-weakly-respects-barbs SRel SWB
and rel-weakly-respects-barbs TRel TWB
⟨proof⟩

lemma (in encoding-wrt-barbs) indRelSTEQ-impl-SRel-and-TRel-weakly-respect-barbs:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes respect: rel-weakly-respects-barbs (indRelSTEQ SRel TRel) (STCalWB SWB TWB)
shows rel-weakly-respects-barbs SRel SWB
and rel-weakly-respects-barbs TRel TWB
⟨proof⟩

```

If TRel is reflexive then indRelRTPO is a subrelation of indRelTEQ. If SRel is reflexive then indRelRTPO is a subrelation of indRelRTPO. Moreover, indRelRSTPO is a subrelation of indRelSTEQ.

```

lemma (in encoding) indRelRTPO-to-indRelTEQ:
fixes TRel :: ('procT × 'procT) set
and P Q :: ('procS, 'procT) Proc
assumes rel: P ⪻[.]RT<TRel> Q
and reflT: refl TRel
shows P ~[.]T<TRel> Q
⟨proof⟩

lemma (in encoding) indRelRTPO-to-indRelRSTPO:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
and P Q :: ('procS, 'procT) Proc
assumes rel: P ⪻[.]RT<TRel> Q
and reflS: refl SRel
shows P ⪻[.]R<SRel,TRel> Q
⟨proof⟩

lemma (in encoding) indRelRSTPO-to-indRelSTEQ:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
and P Q :: ('procS, 'procT) Proc
assumes rel: P ⪻[.]R<SRel,TRel> Q
shows P ~[.]<SRel,TRel> Q
⟨proof⟩

```

If indRelRTPO is a bisimulation and SRel is a reflexive bisimulation then also indRelRSTPO is a bisimulation.

```

lemma (in encoding) indRelRTPO-weak-reduction-bisimulation-impl-indRelRSTPO-bisimulation:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set

```

```

assumes bisimT: weak-reduction-bisimulation (indRelRTPO TRel) (STCal Source Target)
and bisimS: weak-reduction-bisimulation SRel Source
and reflS: refl SRel
shows weak-reduction-bisimulation (indRelRSTPO SRel TRel) (STCal Source Target)
⟨proof⟩

end
theory SuccessSensitivity
imports SourceTargetRelation
begin

```

## 6 Success Sensitiveness and Barbs

To compare the abstract behavior of two terms, often some notion of success or successful termination is used. Daniele Gorla assumes a constant process (similar to the empty process) that represents successful termination in order to compare the behavior of source terms with their literal translations. Then an encoding is success sensitive if, for all source terms  $S$ ,  $S$  reaches success iff the translation of  $S$  reaches success. Successful termination can be considered as some special kind of barb. Accordingly we generalize successful termination to the respectation of an arbitrary subset of barbs. An encoding respects a set of barbs if, for every source term  $S$  and all considered barbs  $a$ ,  $S$  reaches  $a$  iff the translation of  $S$  reaches  $a$ .

```

abbreviation (in encoding-wrt-barbs) enc-weakly-preserves-barb-set :: 'barbs set ⇒ bool where
enc-weakly-preserves-barb-set Barbs ≡ enc-preserves-binary-pred ( $\lambda P a. a \in \text{Barbs} \wedge P \Downarrow a$ )

```

```

abbreviation (in encoding-wrt-barbs) enc-weakly-preserves-barbs :: bool where
enc-weakly-preserves-barbs ≡ enc-preserves-binary-pred ( $\lambda P a. P \Downarrow a$ )

```

```

lemma (in encoding-wrt-barbs) enc-weakly-preserves-barbs-and-barb-set:
shows enc-weakly-preserves-barbs = ( $\forall \text{Barbs}. \text{enc-weakly-preserves-barb-set Barbs}$ )
⟨proof⟩

```

```

abbreviation (in encoding-wrt-barbs) enc-weakly-reflects-barb-set :: 'barbs set ⇒ bool where
enc-weakly-reflects-barb-set Barbs ≡ enc-reflects-binary-pred ( $\lambda P a. a \in \text{Barbs} \wedge P \Downarrow a$ )

```

```

abbreviation (in encoding-wrt-barbs) enc-weakly-reflects-barbs :: bool where
enc-weakly-reflects-barbs ≡ enc-reflects-binary-pred ( $\lambda P a. P \Downarrow a$ )

```

```

lemma (in encoding-wrt-barbs) enc-weakly-reflects-barbs-and-barb-set:
shows enc-weakly-reflects-barbs = ( $\forall \text{Barbs}. \text{enc-weakly-reflects-barb-set Barbs}$ )
⟨proof⟩

```

```

abbreviation (in encoding-wrt-barbs) enc-weakly-respects-barb-set :: 'barbs set ⇒ bool where
enc-weakly-respects-barb-set Barbs ≡
enc-weakly-preserves-barb-set Barbs  $\wedge$  enc-weakly-reflects-barb-set Barbs

```

```

abbreviation (in encoding-wrt-barbs) enc-weakly-respects-barbs :: bool where
enc-weakly-respects-barbs ≡ enc-weakly-preserves-barbs  $\wedge$  enc-weakly-reflects-barbs

```

```

lemma (in encoding-wrt-barbs) enc-weakly-respects-barbs-and-barb-set:
shows enc-weakly-respects-barbs = ( $\forall \text{Barbs}. \text{enc-weakly-respects-barb-set Barbs}$ )
⟨proof⟩

```

An encoding strongly respects some set of barbs if, for every source term  $S$  and all considered barbs  $a$ ,  $S$  has  $a$  iff the translation of  $S$  has  $a$ .

```

abbreviation (in encoding-wrt-barbs) enc-preserves-barb-set :: 'barbs set ⇒ bool where
enc-preserves-barb-set Barbs ≡ enc-preserves-binary-pred ( $\lambda P a. a \in \text{Barbs} \wedge P \Downarrow a$ )

```

```

abbreviation (in encoding-wrt-barbs) enc-preserves-barbs :: bool where

```

*enc-preserves-barbs*  $\equiv$  *enc-preserves-binary-pred* ( $\lambda P\ a.\ P \downarrow .a$ )

**lemma (in encoding-wrt-barbs)** *enc-preserves-barbs-and-barb-set*:  
**shows** *enc-preserves-barbs* = ( $\forall \text{Barbs}.\ \text{enc-preserves-barb-set Barbs}$ )  
*(proof)*

**abbreviation (in encoding-wrt-barbs)** *enc-reflects-barb-set* :: 'barbs set  $\Rightarrow$  bool **where**  
*enc-reflects-barb-set Barbs*  $\equiv$  *enc-reflects-binary-pred* ( $\lambda P\ a.\ a \in \text{Barbs} \wedge P \downarrow .a$ )

**abbreviation (in encoding-wrt-barbs)** *enc-reflects-barbs* :: bool **where**  
*enc-reflects-barbs*  $\equiv$  *enc-reflects-binary-pred* ( $\lambda P\ a.\ P \downarrow .a$ )

**lemma (in encoding-wrt-barbs)** *enc-reflects-barbs-and-barb-set*:  
**shows** *enc-reflects-barbs* = ( $\forall \text{Barbs}.\ \text{enc-reflects-barb-set Barbs}$ )  
*(proof)*

**abbreviation (in encoding-wrt-barbs)** *enc-respects-barb-set* :: 'barbs set  $\Rightarrow$  bool **where**  
*enc-respects-barb-set Barbs*  $\equiv$  *enc-preserves-barb-set Barbs*  $\wedge$  *enc-reflects-barb-set Barbs*

**abbreviation (in encoding-wrt-barbs)** *enc-respects-barbs* :: bool **where**  
*enc-respects-barbs*  $\equiv$  *enc-preserves-barbs*  $\wedge$  *enc-reflects-barbs*

**lemma (in encoding-wrt-barbs)** *enc-respects-barbs-and-barb-set*:  
**shows** *enc-respects-barbs* = ( $\forall \text{Barbs}.\ \text{enc-respects-barb-set Barbs}$ )  
*(proof)*

An encoding (weakly) preserves barbs iff (1) there exists a relation, like *indRelR*, that relates source terms and their literal translations and preserves (reachability/)existence of barbs, or (2) there exists a relation, like *indRelL*, that relates literal translations and their source terms and reflects (reachability/)existence of barbs.

**lemma (in encoding-wrt-barbs)** *enc-weakly-preserves-barb-set-iff-source-target-rel*:  
**fixes** *Barbs* :: 'barbs set  
 and *TRel* :: ('procT  $\times$  'procT) set  
**shows** *enc-weakly-preserves-barb-set Barbs*  
 $= (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-weakly-preserves-barb-set Rel } (STCalWB SWB TWB) \text{ Barbs})$   
*(proof)*

**lemma (in encoding-wrt-barbs)** *enc-weakly-preserves-barbs-iff-source-target-rel*:  
**shows** *enc-weakly-preserves-barbs*  
 $= (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-weakly-preserves-barbs Rel } (STCalWB SWB TWB))$   
*(proof)*

**lemma (in encoding-wrt-barbs)** *enc-preserves-barb-set-iff-source-target-rel*:  
**fixes** *Barbs* :: 'barbs set  
**shows** *enc-preserves-barb-set Barbs*  
 $= (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-preserves-barb-set Rel } (STCalWB SWB TWB) \text{ Barbs})$   
*(proof)*

**lemma (in encoding-wrt-barbs)** *enc-preserves-barbs-iff-source-target-rel*:  
**shows** *enc-preserves-barbs*  
 $= (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-preserves-barbs Rel } (STCalWB SWB TWB))$   
*(proof)*

An encoding (weakly) reflects barbs iff (1) there exists a relation, like *indRelR*, that relates source terms and their literal translations and reflects (reachability/)existence of barbs, or (2) there exists a relation, like *indRelL*, that relates literal translations and their source terms and preserves (reachabil-

ity/)existence of barbs.

**lemma (in encoding-wrt-barbs) enc-weakly-reflects-barb-set-iff-source-target-rel:**  
**fixes Barbs :: 'barbs set**  
**shows enc-weakly-reflects-barb-set Barbs**  
 $= (\exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-weakly-reflects-barb-set Rel } (STCalWB SWB TWB) \text{ Barbs})$   
 $\langle \text{proof} \rangle$

**lemma (in encoding-wrt-barbs) enc-weakly-reflects-barbs-iff-source-target-rel:**  
**shows enc-weakly-reflects-barbs**  
 $= (\exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-weakly-reflects-barbs Rel } (STCalWB SWB TWB))$   
 $\langle \text{proof} \rangle$

**lemma (in encoding-wrt-barbs) enc-reflects-barb-set-iff-source-target-rel:**  
**fixes Barbs :: 'barbs set**  
**shows enc-reflects-barb-set Barbs**  
 $= (\exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-reflects-barb-set Rel } (STCalWB SWB TWB) \text{ Barbs})$   
 $\langle \text{proof} \rangle$

**lemma (in encoding-wrt-barbs) enc-reflects-barbs-iff-source-target-rel:**  
**shows enc-reflects-barbs**  
 $= (\exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-reflects-barbs Rel } (STCalWB SWB TWB))$   
 $\langle \text{proof} \rangle$

An encoding (weakly) respects barbs iff (1) there exists a relation, like  $\text{indRelR}$ , that relates source terms and their literal translations and respects (reachability/)existence of barbs, or (2) there exists a relation, like  $\text{indRelL}$ , that relates literal translations and their source terms and respects (reachability/)existence of barbs, or (3) there exists a relation, like  $\text{indRel}$ , that relates source terms and their literal translations in both directions and respects (reachability/)existence of barbs.

**lemma (in encoding-wrt-barbs) enc-weakly-respects-barb-set-iff-source-target-rel:**  
**fixes Barbs :: 'barbs set**  
**shows enc-weakly-respects-barb-set Barbs**  
 $= (\exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-weakly-respects-barb-set Rel } (STCalWB SWB TWB) \text{ Barbs})$   
 $\langle \text{proof} \rangle$

**lemma (in encoding-wrt-barbs) enc-weakly-respects-barbs-iff-source-target-rel:**  
**shows enc-weakly-respects-barbs**  
 $= (\exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-weakly-respects-barbs Rel } (STCalWB SWB TWB))$   
 $\langle \text{proof} \rangle$

**lemma (in encoding-wrt-barbs) enc-respects-barb-set-iff-source-target-rel:**  
**fixes Barbs :: 'barbs set**  
**shows enc-respects-barb-set Barbs**  
 $= (\exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-respects-barb-set Rel } (STCalWB SWB TWB) \text{ Barbs})$   
 $\langle \text{proof} \rangle$

**lemma (in encoding-wrt-barbs) enc-respects-barbs-iff-source-target-rel:**  
**shows enc-respects-barbs**  
 $= (\exists \text{Rel. } (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$   
 $\wedge \text{rel-respects-barbs Rel } (STCalWB SWB TWB))$   
 $\langle \text{proof} \rangle$

Accordingly an encoding is success sensitive iff there exists such a relation between source and target terms that weakly respects the barb success.

```

lemma (in encoding-wrt-barbs) success-sensitive-cond:
  fixes success :: 'barbs
  shows enc-weakly-respects-barb-set {success} = ( $\forall S. S \Downarrow \langle SWB \rangle success \longleftrightarrow \llbracket S \rrbracket \Downarrow \langle TWB \rangle success$ )
     $\langle proof \rangle$ 

lemma (in encoding-wrt-barbs) success-sensitive-iff-source-target-rel-weakly-respects-success:
  fixes success :: 'barbs
  shows enc-weakly-respects-barb-set {success}
    = ( $\exists Rel. (\forall S. (SourceTerm S, TargetTerm (\llbracket S \rrbracket)) \in Rel)$ 
       $\wedge rel\text{-weakly\text{-}respects\text{-}barb\text{-}set } Rel (STCalWB SWB TWB) \{success\})$ )
     $\langle proof \rangle$ 

lemma (in encoding-wrt-barbs) success-sensitive-iff-source-target-rel-respects-success:
  fixes success :: 'barbs
  shows enc-respects-barb-set {success}
    = ( $\exists Rel. (\forall S. (SourceTerm S, TargetTerm (\llbracket S \rrbracket)) \in Rel)$ 
       $\wedge rel\text{-respects\text{-}barb\text{-}set } Rel (STCalWB SWB TWB) \{success\})$ )
     $\langle proof \rangle$ 

end
theory DivergenceReflection
  imports SourceTargetRelation
begin

```

## 7 Divergence Reflection

Divergence reflection forbids for encodings that introduce loops of internal actions. Thus they determine the practicability of encodings in particular with respect to implementations. An encoding reflects divergence if each loop in a target term result from the translation of a divergent source term.

```

abbreviation (in encoding) enc-preserves-divergence :: bool where
  enc-preserves-divergence  $\equiv$  enc-preserves-pred ( $\lambda P. P \mapsto ST\omega$ )

lemma (in encoding) divergence-preservation-cond:
  shows enc-preserves-divergence = ( $\forall S. S \mapsto (Source)\omega \longrightarrow \llbracket S \rrbracket \mapsto (Target)\omega$ )
     $\langle proof \rangle$ 

abbreviation (in encoding) enc-reflects-divergence :: bool where
  enc-reflects-divergence  $\equiv$  enc-reflects-pred ( $\lambda P. P \mapsto ST\omega$ )

lemma (in encoding) divergence-reflection-cond:
  shows enc-reflects-divergence = ( $\forall S. \llbracket S \rrbracket \mapsto (Target)\omega \longrightarrow S \mapsto (Source)\omega$ )
     $\langle proof \rangle$ 

abbreviation rel-preserves-divergence
  :: ('proc  $\times$  'proc) set  $\Rightarrow$  'proc processCalculus  $\Rightarrow$  bool
  where
  rel-preserves-divergence Rel Cal  $\equiv$  rel-preserves-pred Rel ( $\lambda P. P \mapsto (Cal)\omega$ )

abbreviation rel-reflects-divergence
  :: ('proc  $\times$  'proc) set  $\Rightarrow$  'proc processCalculus  $\Rightarrow$  bool
  where
  rel-reflects-divergence Rel Cal  $\equiv$  rel-reflects-pred Rel ( $\lambda P. P \mapsto (Cal)\omega$ )

```

Apart from divergence reflection we consider divergence respectation. An encoding respects divergence if each divergent source term is translated into a divergent target term and each divergent target term result from the translation of a divergent source term.

```

abbreviation (in encoding) enc-respects-divergence :: bool where
  enc-respects-divergence  $\equiv$  enc-respects-pred ( $\lambda P. P \mapsto ST\omega$ )

```

**lemma** (in *encoding*) *divergence-respect-condition*:  
**shows** *enc-respects-divergence* =  $(\forall S. \llbracket S \rrbracket \mapsto (Target) \omega \longleftrightarrow S \mapsto (Source) \omega)$   
*(proof)*

**abbreviation** *rel-respects-divergence*  
 $:: ('proc \times 'proc) set \Rightarrow 'proc processCalculus \Rightarrow bool$   
**where**  
*rel-respects-divergence Rel Cal*  $\equiv$  *rel-respects-pred Rel*  $(\lambda P. P \mapsto (Cal) \omega)$

An encoding preserves divergence iff (1) there exists a relation that relates source terms and their literal translations and preserves divergence, or (2) there exists a relation that relates literal translations and their source terms and reflects divergence.

**lemma** (in *encoding*) *divergence-preservation-iff-source-target-rel-preserves-divergence*:  
**shows** *enc-preserves-divergence*  
 $= (\exists Rel. (\forall S. (SourceTerm S, TargetTerm (\llbracket S \rrbracket)) \in Rel)$   
 $\wedge rel-preserves-divergence Rel (STCal Source Target))$   
*(proof)*

**lemma** (in *encoding*) *divergence-preservation-iff-source-target-rel-reflects-divergence*:  
**shows** *enc-preserves-divergence*  
 $= (\exists Rel. (\forall S. (TargetTerm (\llbracket S \rrbracket), SourceTerm S) \in Rel)$   
 $\wedge rel-reflects-divergence Rel (STCal Source Target))$   
*(proof)*

An encoding reflects divergence iff (1) there exists a relation that relates source terms and their literal translations and reflects divergence, or (2) there exists a relation that relates literal translations and their source terms and preserves divergence.

**lemma** (in *encoding*) *divergence-reflection-iff-source-target-rel-reflects-divergence*:  
**shows** *enc-reflects-divergence*  
 $= (\exists Rel. (\forall S. (SourceTerm S, TargetTerm (\llbracket S \rrbracket)) \in Rel)$   
 $\wedge rel-reflects-divergence Rel (STCal Source Target))$   
*(proof)*

**lemma** (in *encoding*) *divergence-reflection-iff-source-target-rel-preserves-divergence*:  
**shows** *enc-reflects-divergence*  
 $= (\exists Rel. (\forall S. (TargetTerm (\llbracket S \rrbracket), SourceTerm S) \in Rel)$   
 $\wedge rel-preserves-divergence Rel (STCal Source Target))$   
*(proof)*

An encoding respects divergence iff there exists a relation that relates source terms and their literal translations in both directions and respects divergence.

**lemma** (in *encoding*) *divergence-respect-condition-iff-source-target-rel-respects-divergence*:  
**shows** *enc-respects-divergence* =  $(\exists Rel. (\forall S. (SourceTerm S, TargetTerm (\llbracket S \rrbracket)) \in Rel)$   
 $\wedge rel-respects-divergence Rel (STCal Source Target))$   
**and** *enc-respects-divergence* =  $(\exists Rel.$   
 $(\forall S. (SourceTerm S, TargetTerm (\llbracket S \rrbracket)) \in Rel \wedge (TargetTerm (\llbracket S \rrbracket), SourceTerm S) \in Rel)$   
 $\wedge rel-respects-divergence Rel (STCal Source Target))$   
*(proof)*

**end**  
**theory** *OperationalCorrespondence*  
**imports** *SourceTargetRelation*  
**begin**

## 8 Operational Correspondence

We consider different variants of operational correspondence. This criterion consists of a completeness and a soundness condition and is often defined with respect to a relation TRel on target terms.

Operational completeness modulo TRel ensures that an encoding preserves source term behaviour modulo TRel by requiring that each sequence of source term steps can be mimicked by its translation such that the respective derivatives are related by TRel.

**abbreviation (in encoding) operational-complete** ::  $(\text{procT} \times \text{procT}) \text{ set} \Rightarrow \text{bool}$  **where**  
 $\text{operational-complete TRel} \equiv$   
 $\forall S S'. S \xrightarrow{\text{Source}*} S' \xrightarrow{\text{Target}*} (\exists T. \llbracket S \rrbracket \xrightarrow{\text{Target}*} T \wedge (\llbracket S' \rrbracket, T) \in \text{TRel})$

We call an encoding strongly operational complete modulo TRel if each source term step has to be mimicked by single target term step of its translation.

**abbreviation (in encoding) strongly-operational-complete** ::  $(\text{procT} \times \text{procT}) \text{ set} \Rightarrow \text{bool}$  **where**  
 $\text{strongly-operational-complete TRel} \equiv$   
 $\forall S S'. S \xrightarrow{\text{Source}} S' \xrightarrow{\text{Target}} (\exists T. \llbracket S \rrbracket \xrightarrow{\text{Target}} T \wedge (\llbracket S' \rrbracket, T) \in \text{TRel})$

Operational soundness ensures that the encoding does not introduce new behaviour. An encoding is weakly operational sound modulo TRel if each sequence of target term steps is part of the translation of a sequence of source term steps such that the derivatives are related by TRel. It allows for intermediate states on the translation of source term step that are not the result of translating a source term.

**abbreviation (in encoding) weakly-operational-sound** ::  $(\text{procT} \times \text{procT}) \text{ set} \Rightarrow \text{bool}$  **where**  
 $\text{weakly-operational-sound TRel} \equiv$   
 $\forall S T. \llbracket S \rrbracket \xrightarrow{\text{Target}*} T \xrightarrow{\text{Target}*} (\exists S' T'. S \xrightarrow{\text{Source}*} S' \wedge T \xrightarrow{\text{Target}*} T' \wedge (\llbracket S' \rrbracket, T') \in \text{TRel})$

And encoding is operational sound modulo TRel if each sequence of target term steps is the translation of a sequence of source term steps such that the derivatives are related by TRel. This criterion does not allow for intermediate states, i.e., does not allow to reach target term from an encoded source term that is not related by TRel to the translation of a source term.

**abbreviation (in encoding) operational-sound** ::  $(\text{procT} \times \text{procT}) \text{ set} \Rightarrow \text{bool}$  **where**  
 $\text{operational-sound TRel} \equiv \forall S T. \llbracket S \rrbracket \xrightarrow{\text{Target}*} T \xrightarrow{\text{Target}*} (\exists S'. S \xrightarrow{\text{Source}*} S' \wedge (\llbracket S' \rrbracket, T) \in \text{TRel})$

Strong operational soundness modulo TRel is a stricter variant of operational soundness, where a single target term step has to be mapped on a single source term step.

**abbreviation (in encoding) strongly-operational-sound** ::  $(\text{procT} \times \text{procT}) \text{ set} \Rightarrow \text{bool}$  **where**  
 $\text{strongly-operational-sound TRel} \equiv$   
 $\forall S T. \llbracket S \rrbracket \xrightarrow{\text{Target}} T \xrightarrow{\text{Target}} (\exists S'. S \xrightarrow{\text{Source}} S' \wedge (\llbracket S' \rrbracket, T) \in \text{TRel})$

An encoding is weakly operational corresponding modulo TRel if it is operational complete and weakly operational sound modulo TRel.

**abbreviation (in encoding) weakly-operational-corresponding**  
 $:: (\text{procT} \times \text{procT}) \text{ set} \Rightarrow \text{bool}$   
**where**  
 $\text{weakly-operational-corresponding TRel} \equiv$   
 $\text{operational-complete TRel} \wedge \text{weakly-operational-sound TRel}$

Operational correspondence modulo is the combination of operational completeness and operational soundness modulo TRel.

**abbreviation (in encoding) operational-corresponding** ::  $(\text{procT} \times \text{procT}) \text{ set} \Rightarrow \text{bool}$  **where**  
 $\text{operational-corresponding TRel} \equiv \text{operational-complete TRel} \wedge \text{operational-sound TRel}$

An encoding is strongly operational corresponding modulo TRel if it is strongly operational complete and strongly operational sound modulo TRel.

**abbreviation (in encoding) strongly-operational-corresponding**  
 $:: (\text{procT} \times \text{procT}) \text{ set} \Rightarrow \text{bool}$   
**where**  
 $\text{strongly-operational-corresponding TRel} \equiv$   
 $\text{strongly-operational-complete TRel} \wedge \text{strongly-operational-sound TRel}$

## 8.1 Trivial Operational Correspondence Results

Every encoding is (weakly) operational corresponding modulo the all relation on target terms.

```

lemma (in encoding) operational-correspondence-modulo-all-relation:
  shows operational-complete {(T1, T2). True}
  and weakly-operational-sound {(T1, T2). True}
  and operational-sound {(T1, T2). True}
  ⟨proof⟩

lemma all-relation-is-weak-reduction-bisimulation:
  fixes Cal :: 'a processCalculus
  shows weak-reduction-bisimulation {(a, b). True} Cal
  ⟨proof⟩

lemma (in encoding) operational-correspondence-modulo-some-target-relation:
  shows ∃ TRel. weakly-operational-corresponding TRel
  and ∃ TRel. operational-corresponding TRel
  and ∃ TRel. weakly-operational-corresponding TRel ∧ weak-reduction-bisimulation TRel Target
  and ∃ TRel. operational-corresponding TRel ∧ weak-reduction-bisimulation TRel Target
  ⟨proof⟩

```

Strong operational correspondence requires that source can perform a step iff their translations can perform a step.

```

lemma (in encoding) strong-operational-correspondence-modulo-some-target-relation:
  shows (∃ TRel. strongly-operational-corresponding TRel)
    = (∀ S. (∃ S'. S ↦ Source S') ↔ (∃ T. [S] ↦ Target T))
  and (∃ TRel. strongly-operational-corresponding TRel
    ∧ weak-reduction-bisimulation TRel Target)
    = (∀ S. (∃ S'. S ↦ Source S') ↔ (∃ T. [S] ↦ Target T))
⟨proof⟩

```

## 8.2 (Strong) Operational Completeness vs (Strong) Simulation

An encoding is operational complete modulo a weak simulation on target terms TRel iff there is a relation, like  $\text{indRelRTPo}$ , that relates at least all source terms to their literal translations, includes TRel, and is a weak simulation.

```

lemma (in encoding) weak-reduction-simulation-impl-OCom:
  fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  and TRel :: ('procT × 'procT) set
  assumes A1: ∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
  and A2: ∀ S T. (SourceTerm S, TargetTerm T) ∈ Rel → ([S], T) ∈ TRel*
  and A3: weak-reduction-simulation Rel (STCal Source Target)
  shows operational-complete (TRel*)
⟨proof⟩

```

```

lemma (in encoding) OCom-iff-indRelRTPo-is-weak-reduction-simulation:
  fixes TRel :: ('procT × 'procT) set
  shows (operational-complete (TRel*)
    ∧ weak-reduction-simulation (TRel+) Target)
    = weak-reduction-simulation (indRelRTPo TRel) (STCal Source Target)
⟨proof⟩

```

```

lemma (in encoding) OCom-iff-weak-reduction-simulation:
  fixes TRel :: ('procT × 'procT) set
  shows (operational-complete (TRel*)
    ∧ weak-reduction-simulation (TRel+) Target)
    = (∃ Rel. (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
      ∧ (∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel)
      ∧ (∀ T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel → (T1, T2) ∈ TRel+)
    )

```

$\wedge (\forall S T. (SourceTerm S, TargetTerm T) \in Rel \longrightarrow ([\![S]\!], T) \in TRel^*)$   
 $\wedge \text{weak-reduction-simulation Rel (STCal Source Target)})$   
*(proof)*

An encoding is strong operational complete modulo a strong simulation on target terms TRel iff there is a relation, like indRelRTPO, that relates at least all source terms to their literal translations, includes TRel, and is a strong simulation.

**lemma (in encoding) strong-reduction-simulation-impl-SOCom:**  
**fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set**  
**and TRel :: ('procT × 'procT) set**  
**assumes A1:  $\forall S. (SourceTerm S, TargetTerm ([\![S]\!])) \in Rel$**   
**and A2:  $\forall S T. (SourceTerm S, TargetTerm T) \in Rel \longrightarrow ([\![S]\!], T) \in TRel^*$**   
**and A3: strong-reduction-simulation Rel (STCal Source Target)**  
**shows strongly-operational-complete (TRel\*)**  
*(proof)*

**lemma (in encoding) SOCom-iff-indRelRTPO-is-strong-reduction-simulation:**  
**fixes TRel :: ('procT × 'procT) set**  
**shows (strongly-operational-complete (TRel\*))**  
 $\wedge \text{strong-reduction-simulation (TRel*) Target}$   
 $= \text{strong-reduction-simulation (indRelRTPO TRel) (STCal Source Target)}$   
*(proof)*

**lemma (in encoding) SOCom-iff-strong-reduction-simulation:**  
**fixes TRel :: ('procT × 'procT) set**  
**shows (strongly-operational-complete (TRel\*))**  
 $\wedge \text{strong-reduction-simulation (TRel*) Target}$   
 $= (\exists Rel. (\forall S. (SourceTerm S, TargetTerm ([\![S]\!])) \in Rel)$   
 $\wedge (\forall T1 T2. (T1, T2) \in TRel \longrightarrow (TargetTerm T1, TargetTerm T2) \in Rel)$   
 $\wedge (\forall T1 T2. (TargetTerm T1, TargetTerm T2) \in Rel \longrightarrow (T1, T2) \in TRel^+)$   
 $\wedge (\forall S T. (SourceTerm S, TargetTerm T) \in Rel \longrightarrow ([\![S]\!], T) \in TRel^*)$   
 $\wedge \text{strong-reduction-simulation Rel (STCal Source Target)})$   
*(proof)*

**lemma (in encoding) target-relation-from-source-target-relation:**  
**fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set**  
**assumes stre:  $\forall S T. (SourceTerm S, TargetTerm T) \in Rel$**   
 $\longrightarrow (TargetTerm ([\![S]\!]), TargetTerm T) \in Rel^=$   
**shows  $\exists TRel. (\forall T1 T2. (T1, T2) \in TRel \longrightarrow (TargetTerm T1, TargetTerm T2) \in Rel)$**   
 $\wedge (\forall T1 T2. (TargetTerm T1, TargetTerm T2) \in Rel \longrightarrow (T1, T2) \in TRel^+)$   
 $\wedge (\forall S T. (SourceTerm S, TargetTerm T) \in Rel \longrightarrow ([\![S]\!], T) \in TRel^*)$   
*(proof)*

**lemma (in encoding) SOCom-modulo-TRel-iff-strong-reduction-simulation:**  
**shows  $\exists TRel. \text{strongly-operational-complete (TRel*)}$**   
 $\wedge \text{strong-reduction-simulation (TRel*) Target}$   
 $= (\exists Rel. (\forall S. (SourceTerm S, TargetTerm ([\![S]\!])) \in Rel)$   
 $\wedge (\forall S T. (SourceTerm S, TargetTerm T) \in Rel \longrightarrow (TargetTerm ([\![S]\!]), TargetTerm T) \in Rel^=)$   
 $\wedge \text{strong-reduction-simulation Rel (STCal Source Target)})$   
*(proof)*

### 8.3 Weak Operational Soundness vs Contrasimulation

If the inverse of a relation that includes TRel and relates source terms and their literal translations is a contrasimulation, then the encoding is weakly operational sound.

**lemma (in encoding) weak-reduction-contrasimulation-impl-WOSou:**  
**fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set**  
**and TRel :: ('procT × 'procT) set**  
**assumes A1:  $\forall S. (SourceTerm S, TargetTerm ([\![S]\!])) \in Rel$**

**and** A2:  $\forall S T. (\text{SourceTerm } S, \text{TargetTerm } T) \in \text{Rel} \longrightarrow ([\![S]\!], T) \in \text{TRel}^*$   
**and** A3: weak-reduction-contrasimulation ( $\text{Rel}^{-1}$ ) ( $\text{STCal Source Target}$ )  
**shows** weakly-operational-sound ( $\text{TRel}^*$ )  
*(proof)*

## 8.4 (Strong) Operational Soundness vs (Strong) Simulation

An encoding is operational sound modulo a relation TRel whose inverse is a weak reduction simulation on target terms iff there is a relation, like indRelRTPO, that relates at least all source terms to their literal translations, includes TRel, and whose inverse is a weak simulation.

**lemma (in encoding) weak-reduction-simulation-impl-OSou:**  
**fixes** Rel :: (( $'procS, 'procT$ ) Proc  $\times$  ( $'procS, 'procT$ ) Proc) set  
**and** TRel :: ( $'procT \times 'procT$ ) set  
**assumes** A1:  $\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel}$   
**and** A2:  $\forall S T. (\text{SourceTerm } S, \text{TargetTerm } T) \in \text{Rel} \longrightarrow ([\![S]\!], T) \in \text{TRel}^*$   
**and** A3: weak-reduction-simulation ( $\text{Rel}^{-1}$ ) ( $\text{STCal Source Target}$ )  
**shows** operational-sound ( $\text{TRel}^*$ )  
*(proof)*

**lemma (in encoding) OSou-iff-inverse-of-indRelRTPO-is-weak-reduction-simulation:**  
**fixes** TRel :: ( $'procT \times 'procT$ ) set  
**shows** (operational-sound ( $\text{TRel}^*$ ))  
 $\wedge$  weak-reduction-simulation ( $((\text{TRel}^+)^{-1}) \text{ Target}$ )  
 $=$  weak-reduction-simulation ( $((\text{indRelRTPO TRel})^{-1}) (\text{STCal Source Target})$ )  
*(proof)*

**lemma (in encoding) OSou-iff-weak-reduction-simulation:**  
**fixes** TRel :: ( $'procT \times 'procT$ ) set  
**shows** (operational-sound ( $\text{TRel}^*$ ))  
 $\wedge$  weak-reduction-simulation ( $((\text{TRel}^+)^{-1}) \text{ Target}$ )  
 $= (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel})$   
 $\wedge (\forall T1 T2. (T1, T2) \in \text{Rel} \longrightarrow (\text{TargetTerm } T1, \text{TargetTerm } T2) \in \text{Rel})$   
 $\wedge (\forall T1 T2. (\text{TargetTerm } T1, \text{TargetTerm } T2) \in \text{Rel} \longrightarrow (T1, T2) \in \text{TRel}^+)$   
 $\wedge (\forall S T. (\text{SourceTerm } S, \text{TargetTerm } T) \in \text{Rel} \longrightarrow ([\![S]\!], T) \in \text{TRel}^*)$   
 $\wedge$  weak-reduction-simulation ( $\text{Rel}^{-1}$ ) ( $\text{STCal Source Target}$ ))  
*(proof)*

An encoding is strongly operational sound modulo a relation TRel whose inverse is a strong reduction simulation on target terms iff there is a relation, like indRelRTPO, that relates at least all source terms to their literal translations, includes TRel, and whose inverse is a strong simulation.

**lemma (in encoding) strong-reduction-simulation-impl-SOSou:**  
**fixes** Rel :: (( $'procS, 'procT$ ) Proc  $\times$  ( $'procS, 'procT$ ) Proc) set  
**and** TRel :: ( $'procT \times 'procT$ ) set  
**assumes** A1:  $\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([\![S]\!])) \in \text{Rel}$   
**and** A2:  $\forall S T. (\text{SourceTerm } S, \text{TargetTerm } T) \in \text{Rel} \longrightarrow ([\![S]\!], T) \in \text{TRel}^*$   
**and** A3: strong-reduction-simulation ( $\text{Rel}^{-1}$ ) ( $\text{STCal Source Target}$ )  
**shows** strongly-operational-sound ( $\text{TRel}^*$ )  
*(proof)*

**lemma (in encoding) SOSou-iff-inverse-of-indRelRTPO-is-strong-reduction-simulation:**  
**fixes** TRel :: ( $'procT \times 'procT$ ) set  
**shows** (strongly-operational-sound ( $\text{TRel}^*$ ))  
 $\wedge$  strong-reduction-simulation ( $((\text{TRel}^+)^{-1}) \text{ Target}$ )  
 $=$  strong-reduction-simulation ( $((\text{indRelRTPO TRel})^{-1}) (\text{STCal Source Target})$ )  
*(proof)*

**lemma (in encoding) SOSou-iff-strong-reduction-simulation:**  
**fixes** TRel :: ( $'procT \times 'procT$ ) set  
**shows** (strongly-operational-sound ( $\text{TRel}^*$ )  $\wedge$  strong-reduction-simulation ( $((\text{TRel}^+)^{-1}) \text{ Target}$ ))

```

= (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) in Rel)
  /\ (forall T1 T2. (T1, T2) in TRel -> (TargetTerm T1, TargetTerm T2) in Rel)
  /\ (forall T1 T2. (TargetTerm T1, TargetTerm T2) in Rel -> (T1, T2) in TRel+)
  /\ (forall S T. (SourceTerm S, TargetTerm T) in Rel -> ([S], T) in TRel*)
  /\ strong-reduction-simulation (Rel^-1) (STCal Source Target))

```

*(proof)*

```

lemma (in encoding) SOSou-modulo-TRel-iff-strong-reduction-simulation:
  shows (exists TRel. strongly-operational-sound (TRel*)
        /\ strong-reduction-simulation ((TRel+)^-1) Target)
  = (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) in Rel)
    /\ (forall S T. (SourceTerm S, TargetTerm T) in Rel -> (TargetTerm ([S]), TargetTerm T) in Rel=)
    /\ strong-reduction-simulation (Rel^-1) (STCal Source Target))

```

*(proof)*

## 8.5 Weak Operational Correspondence vs Correspondence Similarity

If there exists a relation that relates at least all source terms and their literal translations, includes TRel, and is a correspondence simulation then the encoding is weakly operational corresponding w.r.t. TRel.

```

lemma (in encoding) weak-reduction-correspondence-simulation-impl-WOC:
  fixes Rel :: ('procS, 'procT) Proc × ('procS, 'procT) Proc set
  and TRel :: ('procT × 'procT) set
  assumes enc: ∀ S. (SourceTerm S, TargetTerm ([S])) in Rel
  and tRel: (forall S T. (SourceTerm S, TargetTerm T) in Rel -> ([S], T) in TRel*)
  and cs: weak-reduction-correspondence-simulation Rel (STCal Source Target)
  shows weakly-operational-corresponding (TRel*)

```

*(proof)*

An encoding is weakly operational corresponding w.r.t. a correspondence simulation on target terms TRel iff there exists a relation, like indRelRTPO, that relates at least all source terms and their literal translations, includes TRel, and is a correspondence simulation.

```

lemma (in encoding) WOC-iff-indRelRTPO-is-reduction-correspondence-simulation:
  fixes TRel :: ('procT × 'procT) set
  shows (weakly-operational-corresponding (TRel*)
        /\ weak-reduction-correspondence-simulation (TRel+) Target)
  = weak-reduction-correspondence-simulation (indRelRTPO TRel) (STCal Source Target)

```

*(proof)*

```

lemma (in encoding) WOC-iff-reduction-correspondence-simulation:
  fixes TRel :: ('procT × 'procT) set
  shows (weakly-operational-corresponding (TRel*)
        /\ weak-reduction-correspondence-simulation (TRel+) Target)
  = (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) in Rel)
    /\ (forall T1 T2. (T1, T2) in TRel -> (TargetTerm T1, TargetTerm T2) in Rel)
    /\ (forall T1 T2. (TargetTerm T1, TargetTerm T2) in Rel -> (T1, T2) in TRel+)
    /\ (forall S T. (SourceTerm S, TargetTerm T) in Rel -> ([S], T) in TRel*)
    /\ weak-reduction-correspondence-simulation Rel (STCal Source Target))

```

*(proof)*

```

lemma rel-includes-TRel-modulo-preorder:
  fixes Rel :: ('procS, 'procT) Proc × ('procS, 'procT) Proc set
  and TRel :: ('procT × 'procT) set
  assumes transT: trans TRel
  shows ((forall T1 T2. (T1, T2) in TRel -> (TargetTerm T1, TargetTerm T2) in Rel)
        /\ (forall T1 T2. (TargetTerm T1, TargetTerm T2) in Rel -> (T1, T2) in TRel+))
  = (TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) in Rel})

```

*(proof)*

```

lemma (in encoding) WOC-wrt-preorder-iff-reduction-correspondence-simulation:
  fixes TRel :: ('procT × 'procT) set
  shows (weakly-operational-corresponding TRel ∧ preorder TRel
    ∧ weak-reduction-correspondence-simulation TRel Target)
    = (exists Rel. (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
      ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel})
      ∧ (∀ S T. (SourceTerm S, TargetTerm T) ∈ Rel → ([S], T) ∈ TRel)
      ∧ preorder Rel
      ∧ weak-reduction-correspondence-simulation Rel (STCal Source Target))
⟨proof⟩

```

## 8.6 (Strong) Operational Correspondence vs (Strong) Bisimilarity

An encoding is operational corresponding w.r.t a weak bisimulation on target terms TRel iff there exists a relation, like indRelRTPO, that relates at least all source terms and their literal translations, includes TRel, and is a weak bisimulation. Thus this variant of operational correspondence ensures that source terms and their translations are weak bisimilar.

```

lemma (in encoding) OC-iff-indRelRTPO-is-weak-reduction-bisimulation:
  fixes TRel :: ('procT × 'procT) set
  shows (operational-corresponding (TRel*)
    ∧ weak-reduction-bisimulation (TRel+) Target)
    = weak-reduction-bisimulation (indRelRTPO TRel) (STCal Source Target)
⟨proof⟩

```

```

lemma (in encoding) OC-iff-weak-reduction-bisimulation:
  fixes TRel :: ('procT × 'procT) set
  shows (operational-corresponding (TRel*) ∧ weak-reduction-bisimulation (TRel+) Target)
    = (exists Rel. (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
      ∧ (∀ T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel)
      ∧ (∀ T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel → (T1, T2) ∈ TRel+)
      ∧ (∀ S T. (SourceTerm S, TargetTerm T) ∈ Rel → ([S], T) ∈ TRel*)
      ∧ weak-reduction-bisimulation Rel (STCal Source Target))
⟨proof⟩

```

```

lemma (in encoding) OC-wrt-preorder-iff-weak-reduction-bisimulation:
  fixes TRel :: ('procT × 'procT) set
  shows (operational-corresponding TRel ∧ preorder TRel
    ∧ weak-reduction-bisimulation TRel Target)
    = (exists Rel. (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
      ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel})
      ∧ (∀ S T. (SourceTerm S, TargetTerm T) ∈ Rel → ([S], T) ∈ TRel)
      ∧ preorder Rel
      ∧ weak-reduction-bisimulation Rel (STCal Source Target))
⟨proof⟩

```

```

lemma (in encoding) OC-wrt-equivalence-iff-indRelTEQ-weak-reduction-bisimulation:
  fixes TRel :: ('procT × 'procT) set
  assumes eqT: equivalence TRel
  shows (operational-corresponding TRel ∧ weak-reduction-bisimulation TRel Target) ↔
    weak-reduction-bisimulation (indRelTEQ TRel) (STCal Source Target)
⟨proof⟩

```

```

lemma (in encoding) OC-wrt-equivalence-iff-weak-reduction-bisimulation:
  fixes TRel :: ('procT × 'procT) set
  assumes eqT: equivalence TRel
  shows (operational-corresponding TRel ∧ weak-reduction-bisimulation TRel Target) ↔ (exists Rel.
    (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel ∧ (TargetTerm ([S]), SourceTerm S) ∈ Rel)
    ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
    ∧ trans Rel ∧ weak-reduction-bisimulation Rel (STCal Source Target))
⟨proof⟩

```

An encoding is strong operational corresponding w.r.t a strong bisimulation on target terms TRel iff there exists a relation, like indRelRTPO, that relates at least all source terms and their literal translations, includes TRel, and is a strong bisimulation. Thus this variant of operational correspondence ensures that source terms and their translations are strong bisimilar.

**lemma (in encoding) SOC-iff-indRelRTPO-is-strong-reduction-bisimulation:**

**fixes** TRel :: ('procT × 'procT) set  
   **shows** (strongly-operational-corresponding (TRel\*))  
      $\wedge$  strong-reduction-bisimulation (TRel<sup>+</sup>) Target  
     = strong-reduction-bisimulation (indRelRTPO TRel) (STCal Source Target)  
 ⟨proof⟩

**lemma (in encoding) SOC-iff-strong-reduction-bisimulation:**

**fixes** TRel :: ('procT × 'procT) set  
   **shows** (strongly-operational-corresponding (TRel\*))  
      $\wedge$  strong-reduction-bisimulation (TRel<sup>+</sup>) Target  
     = ( $\exists$  Rel. ( $\forall$  S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)  
        $\wedge$  ( $\forall$  T1 T2. (T1, T2) ∈ TRel  $\longrightarrow$  (TargetTerm T1, TargetTerm T2) ∈ Rel)  
        $\wedge$  ( $\forall$  T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel  $\longrightarrow$  (T1, T2) ∈ TRel<sup>+</sup>)  
        $\wedge$  ( $\forall$  S T. (SourceTerm S, TargetTerm T) ∈ Rel  $\longrightarrow$  ([S], T) ∈ TRel\*)  
        $\wedge$  strong-reduction-bisimulation Rel (STCal Source Target))  
 ⟨proof⟩

**lemma (in encoding) SOC-wrt-preorder-iff-strong-reduction-bisimulation:**

**fixes** TRel :: ('procT × 'procT) set  
   **shows** (strongly-operational-corresponding TRel  $\wedge$  preorder TRel  
      $\wedge$  strong-reduction-bisimulation TRel Target)  
     = ( $\exists$  Rel. ( $\forall$  S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)  
        $\wedge$  TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}  
        $\wedge$  ( $\forall$  S T. (SourceTerm S, TargetTerm T) ∈ Rel  $\longrightarrow$  ([S], T) ∈ TRel)  
        $\wedge$  preorder Rel  
        $\wedge$  strong-reduction-bisimulation Rel (STCal Source Target))  
 ⟨proof⟩

**lemma (in encoding) SOC-wrt-TRel-iff-strong-reduction-bisimulation:**

**shows** ( $\exists$  TRel. strongly-operational-corresponding (TRel\*))  
      $\wedge$  strong-reduction-bisimulation (TRel<sup>+</sup>) Target  
     = ( $\exists$  Rel. ( $\forall$  S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)  
        $\wedge$  ( $\forall$  S T. (SourceTerm S, TargetTerm T) ∈ Rel  
          $\longrightarrow$  (TargetTerm ([S]), TargetTerm T) ∈ Rel<sup>=</sup>)  
        $\wedge$  strong-reduction-bisimulation Rel (STCal Source Target))  
 ⟨proof⟩

**lemma (in encoding) SOC-wrt-equivalence-iff-indRelTEQ-strong-reduction-bisimulation:**

**fixes** TRel :: ('procT × 'procT) set  
   **assumes** eqT: equivalence TRel  
   **shows** (strongly-operational-corresponding TRel  $\wedge$  strong-reduction-bisimulation TRel Target)  
      $\longleftrightarrow$  strong-reduction-bisimulation (indRelTEQ TRel) (STCal Source Target)  
 ⟨proof⟩

**lemma (in encoding) SOC-wrt-equivalence-iff-strong-reduction-bisimulation:**

**fixes** TRel :: ('procT × 'procT) set  
   **assumes** eqT: equivalence TRel  
   **shows** (strongly-operational-corresponding TRel  $\wedge$  strong-reduction-bisimulation TRel Target)  
      $\longleftrightarrow$  ( $\exists$  Rel.  
       ( $\forall$  S. (SourceTerm S, TargetTerm ([S])) ∈ Rel  $\wedge$  (TargetTerm ([S]), SourceTerm S) ∈ Rel)  
        $\wedge$  TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}  
        $\wedge$  trans Rel  $\wedge$  strong-reduction-bisimulation Rel (STCal Source Target))  
 ⟨proof⟩

**end**

```

theory FullAbstraction
  imports SourceTargetRelation
begin

```

## 9 Full Abstraction

An encoding is fully abstract w.r.t. some source term relation SRel and some target term relation TRel if two source terms S1 and S2 form a pair (S1, S2) in SRel iff their literal translations form a pair (enc S1, enc S2) in TRel.

```

abbreviation (in encoding) fully-abstract
  :: ('procS × 'procS) set ⇒ ('procT × 'procT) set ⇒ bool
  where
    fully-abstract SRel TRel ≡ ∀ S1 S2. (S1, S2) ∈ SRel ↔ ([S1], [S2]) ∈ TRel

```

### 9.1 Trivial Full Abstraction Results

We start with some trivial full abstraction results. Each injective encoding is fully abstract w.r.t. to the identity relation on the source and the identity relation on the target.

```

lemma (in encoding) inj-enc-is-fully-abstract-wrt-identities:
  assumes injectivity: ∀ S1 S2. [S1] = [S2] → S1 = S2
  shows fully-abstract {(S1, S2). S1 = S2} {(T1, T2). T1 = T2}
  ⟨proof⟩

```

Each encoding is fully abstract w.r.t. the empty relation on the source and the target.

```

lemma (in encoding) fully-abstract-wrt-empty-relation:
  shows fully-abstract {} {}
  ⟨proof⟩

```

Similarly, each encoding is fully abstract w.r.t. the all-relation on the source and the target.

```

lemma (in encoding) fully-abstract-wrt-all-relation:
  shows fully-abstract {(S1, S2). True} {(T1, T2). True}
  ⟨proof⟩

```

If the encoding is injective then for each source term relation RelS there exists a target term relation RelT such that the encoding is fully abstract w.r.t. RelS and RelT.

```

lemma (in encoding) fully-abstract-wrt-source-relation:
  fixes RelS :: ('procS × 'procS) set
  assumes injectivity: ∀ S1 S2. [S1] = [S2] → S1 = S2
  shows ∃ RelT. fully-abstract RelS RelT
  ⟨proof⟩

```

If all source terms that are translated to the same target term are related by a trans source term relation RelS, then there exists a target term relation RelT such that the encoding is fully abstract w.r.t. RelS and RelT.

```

lemma (in encoding) fully-abstract-wrt-trans-source-relation:
  fixes RelS :: ('procS × 'procS) set
  assumes encRelS: ∀ S1 S2. [S1] = [S2] → (S1, S2) ∈ RelS
    and transS: trans RelS
  shows ∃ RelT. fully-abstract RelS RelT
  ⟨proof⟩

```

```

lemma (in encoding) fully-abstract-wrt-trans-closure-of-source-relation:
  fixes RelS :: ('procS × 'procS) set
  assumes encRelS: ∀ S1 S2. [S1] = [S2] → (S1, S2) ∈ RelS+
  shows ∃ RelT. fully-abstract (RelS+) RelT
  ⟨proof⟩

```

For every encoding and every target term relation  $\text{RelT}$  there exists a source term relation  $\text{RelS}$  such that the encoding is fully abstract w.r.t.  $\text{RelS}$  and  $\text{RelT}$ .

```
lemma (in encoding) fully-abstract-wrt-target-relation:
  fixes RelT :: ('procT × 'procT) set
  shows ∃ RelS. fully-abstract RelS RelT
  ⟨proof⟩
```

## 9.2 Fully Abstract Encodings

Thus, as long as we can choose one of the two relations, full abstraction is trivial. For fixed source and target term relations encodings are not trivially fully abstract. For all encodings and relations  $S\text{Rel}$  and  $T\text{Rel}$  we can construct a relation on the disjunctive union of source and target terms, whose reduction to source terms is  $S\text{Rel}$  and whose reduction to target terms is  $T\text{Rel}$ . But full abstraction ensures that each trans relation that relates source terms and their literal translations in both directions includes  $S\text{Rel}$  iff it includes  $T\text{Rel}$  restricted to translated source terms.

```
lemma (in encoding) full-abstraction-and-trans-relation-contains-SRel-impl-TRel:
  fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  and SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes fullAbs: fully-abstract SRel TRel
    and encR: ∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
    and srel: SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel}
    and trans: trans (Rel ∪ {(P, Q). ∃ S. [S] ∈ T P ∧ S ∈ S Q})
  shows ∀ S1 S2. ([S1], [S2]) ∈ TRel ↔ (TargetTerm ([S1]), TargetTerm ([S2])) ∈ Rel
  ⟨proof⟩
```

```
lemma (in encoding) full-abstraction-and-trans-relation-contains-TRel-impl-SRel:
  fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  and SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes fullAbs: fully-abstract SRel TRel
    and encR: ∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
    and trel: ∀ S1 S2. ([S1], [S2]) ∈ TRel ↔ (TargetTerm ([S1]), TargetTerm ([S2])) ∈ Rel
    and trans: trans (Rel ∪ {(P, Q). ∃ S. [S] ∈ T P ∧ S ∈ S Q})
  shows SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel}
  ⟨proof⟩
```

```
lemma (in encoding) full-abstraction-impl-trans-relation-contains-SRel-iff-TRel:
  fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  and SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes fullAbs: fully-abstract SRel TRel
    and encR: ∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
    and trans: trans (Rel ∪ {(P, Q). ∃ S. [S] ∈ T P ∧ S ∈ S Q})
  shows (∀ S1 S2. ([S1], [S2]) ∈ TRel ↔ (TargetTerm ([S1]), TargetTerm ([S2])) ∈ Rel)
    ↔ (SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel})
  ⟨proof⟩
```

```
lemma (in encoding) full-abstraction-impl-trans-relation-contains-SRel-iff-TRel-encRL:
  fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  and SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  assumes fullAbs: fully-abstract SRel TRel
    and encR: ∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
    and encL: ∀ S. (TargetTerm ([S]), SourceTerm S) ∈ Rel
    and trans: trans Rel
  shows (∀ S1 S2. ([S1], [S2]) ∈ TRel ↔ (TargetTerm ([S1]), TargetTerm ([S2])) ∈ Rel)
    ↔ (SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel})
  ⟨proof⟩
```

Full abstraction ensures that SRel and TRel satisfy the same basic properties that can be defined on their pairs. In particular: (1) SRel is refl iff TRel reduced to translated source terms is refl (2) if the encoding is surjective then SRel is refl iff TRel is refl (3) SRel is sym iff TRel reduced to translated source terms is sym (4) SRel is trans iff TRel reduced to translated source terms is trans

**lemma (in encoding) full-abstraction-impl-SRel-iff-TRel-is-refl:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes fullAbs: fully-abstract SRel TRel
shows refl SRel ↔ (forall S. ([S], [S]) ∈ TRel)
  ⟨proof⟩
```

**lemma (in encoding) full-abstraction-and-surjectivity-impl-SRel-iff-TRel-is-refl:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes fullAbs: fully-abstract SRel TRel
  and surj: ∀ T. ∃ S. T = [S]
shows refl SRel ↔ refl TRel
  ⟨proof⟩
```

**lemma (in encoding) full-abstraction-impl-SRel-iff-TRel-is-sym:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes fullAbs: fully-abstract SRel TRel
shows sym SRel ↔ sym {(T1, T2). ∃ S1 S2. T1 = [S1] ∧ T2 = [S2] ∧ (T1, T2) ∈ TRel}
  ⟨proof⟩
```

**lemma (in encoding) full-abstraction-and-surjectivity-impl-SRel-iff-TRel-is-sym:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes fullAbs: fully-abstract SRel TRel
  and surj: ∀ T. ∃ S. T = [S]
shows sym SRel ↔ sym TRel
  ⟨proof⟩
```

**lemma (in encoding) full-abstraction-impl-SRel-iff-TRel-is-trans:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes fullAbs: fully-abstract SRel TRel
shows trans SRel ↔ trans {(T1, T2). ∃ S1 S2. T1 = [S1] ∧ T2 = [S2] ∧ (T1, T2) ∈ TRel}
  ⟨proof⟩
```

**lemma (in encoding) full-abstraction-and-surjectivity-impl-SRel-iff-TRel-is-trans:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes fullAbs: fully-abstract SRel TRel
  and surj: ∀ T. ∃ S. T = [S]
shows trans SRel ↔ trans TRel
  ⟨proof⟩
```

Similarly, a fully abstract encoding that respects a predicate ensures the this predicate is preserved, reflected, or respected by SRel iff it is preserved, reflected, or respected by TRel.

**lemma (in encoding) full-abstraction-and-enc-respects-pred-impl-SRel-iff-TRel-preserve:**

```
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  and Pred :: ('procS, 'procT) Proc ⇒ bool
assumes fullAbs: fully-abstract SRel TRel
  and encP: enc-respects-pred Pred
shows rel-preserves-pred {(P, Q). ∃ SP SQ. SP ∈ S P ∧ SQ ∈ S Q ∧ (SP, SQ) ∈ SRel} Pred
  ↔ rel-preserves-pred {(P, Q). ∃ SP SQ. [SP] ∈ T P ∧ [SQ] ∈ T Q ∧ ([SP], [SQ]) ∈ TRel} Pred
  ⟨proof⟩
```

**lemma (in encoding) full-abstraction-and-enc-respects-binary-pred-impl-SRel-iff-TRel-preserve:**

```

fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  and Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
assumes fullAbs: fully-abstract SRel TRel
  and encP: enc-respects-binary-pred Pred
shows rel-preserves-binary-pred {(P, Q). ∃ SP SQ. SP ∈ S P ∧ SQ ∈ S Q ∧ (SP, SQ) ∈ SRel} Pred
  ←→ rel-preserves-binary-pred
    {(P, Q). ∃ SP SQ. [SP] ∈ T P ∧ [SQ] ∈ T Q ∧ ([SP], [SQ]) ∈ TRel} Pred
⟨proof⟩

```

**lemma (in encoding) full-abstraction-and-enc-respects-pred-impl-SRel-iff-TRel-reflects:**

```

fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  and Pred :: ('procS, 'procT) Proc ⇒ bool
assumes fullAbs: fully-abstract SRel TRel
  and encP: enc-respects-pred Pred
shows rel-reflects-pred {(P, Q). ∃ SP SQ. SP ∈ S P ∧ SQ ∈ S Q ∧ (SP, SQ) ∈ SRel} Pred
  ←→ rel-reflects-pred {(P, Q). ∃ SP SQ. [SP] ∈ T P ∧ [SQ] ∈ T Q ∧ ([SP], [SQ]) ∈ TRel} Pred
⟨proof⟩

```

**lemma (in encoding) full-abstraction-and-enc-respects-binary-pred-impl-SRel-iff-TRel-reflects:**

```

fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  and Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
assumes fullAbs: fully-abstract SRel TRel
  and encP: enc-respects-binary-pred Pred
shows rel-reflects-binary-pred {(P, Q). ∃ SP SQ. SP ∈ S P ∧ SQ ∈ S Q ∧ (SP, SQ) ∈ SRel} Pred
  ←→ rel-reflects-binary-pred
    {(P, Q). ∃ SP SQ. [SP] ∈ T P ∧ [SQ] ∈ T Q ∧ ([SP], [SQ]) ∈ TRel} Pred
⟨proof⟩

```

**lemma (in encoding) full-abstraction-and-enc-respects-pred-impl-SRel-iff-TRel-respects:**

```

fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  and Pred :: ('procS, 'procT) Proc ⇒ bool
assumes fullAbs: fully-abstract SRel TRel
  and encP: enc-respects-pred Pred
shows rel-respects-pred {(P, Q). ∃ SP SQ. SP ∈ S P ∧ SQ ∈ S Q ∧ (SP, SQ) ∈ SRel} Pred
  ←→ rel-respects-pred {(P, Q). ∃ SP SQ. [SP] ∈ T P ∧ [SQ] ∈ T Q ∧ ([SP], [SQ]) ∈ TRel} Pred
⟨proof⟩

```

**lemma (in encoding) full-abstraction-and-enc-respects-binary-pred-impl-SRel-iff-TRel-respects:**

```

fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  and Pred :: ('procS, 'procT) Proc ⇒ 'b ⇒ bool
assumes fullAbs: fully-abstract SRel TRel
  and encP: enc-respects-binary-pred Pred
shows rel-respects-binary-pred {(P, Q). ∃ SP SQ. SP ∈ S P ∧ SQ ∈ S Q ∧ (SP, SQ) ∈ SRel} Pred
  ←→ rel-respects-binary-pred
    {(P, Q). ∃ SP SQ. [SP] ∈ T P ∧ [SQ] ∈ T Q ∧ ([SP], [SQ]) ∈ TRel} Pred
⟨proof⟩

```

### 9.3 Full Abstraction w.r.t. Preorders

If there however exists a trans relation Rel that relates source terms and their literal translations in both directions, then the encoding is fully abstract with respect to the reduction of Rel to source terms and the reduction of Rel to target terms.

**lemma (in encoding) trans-source-target-relation-impl-full-abstraction:**

```

fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
assumes enc:  $\forall S. (\text{SourceTerm } S, \text{TargetTerm } (\llbracket S \rrbracket)) \in \text{Rel}$ 
 $\wedge (\text{TargetTerm } (\llbracket S \rrbracket), \text{SourceTerm } S) \in \text{Rel}$ 
and trans: trans Rel
shows fully-abstract {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel}
{(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
⟨proof⟩

```

```

lemma (in encoding) source-target-relation-impl-full-abstraction-wrt-trans-closures:
fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
assumes enc:  $\forall S. (\text{SourceTerm } S, \text{TargetTerm } (\llbracket S \rrbracket)) \in \text{Rel}$ 
 $\wedge (\text{TargetTerm } (\llbracket S \rrbracket), \text{SourceTerm } S) \in \text{Rel}$ 
shows fully-abstract {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel+}
{(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel+}
⟨proof⟩

```

```

lemma (in encoding) quasi-trans-source-target-relation-impl-full-abstraction:
fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
and SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes enc:  $\forall S. (\text{SourceTerm } S, \text{TargetTerm } (\llbracket S \rrbracket)) \in \text{Rel}$ 
 $\wedge (\text{TargetTerm } (\llbracket S \rrbracket), \text{SourceTerm } S) \in \text{Rel}$ 
and srel: SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel}
and trel: TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
and trans:  $\forall P Q R. (P, Q) \in \text{Rel} \wedge (Q, R) \in \text{Rel} \wedge ((P \in \text{ProcS} \wedge Q \in \text{ProcT})$ 
 $\vee (P \in \text{ProcT} \wedge Q \in \text{ProcS})) \longrightarrow (P, R) \in \text{Rel}$ 
shows fully-abstract SRel TRel
⟨proof⟩

```

If an encoding is fully abstract w.r.t. SRel and TRel, then we can conclude from a pair in indRelRTPO or indRelSTEQ on a pair in TRel and SRel.

```

lemma (in encoding) full-abstraction-impl-indRelRSTPO-to-SRel-and-TRel:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
and P Q :: ('procS, 'procT) Proc
assumes fullAbs: fully-abstract SRel TRel
and rel:  $P \lesssim_{[\cdot]} R < SRel, TRel > Q$ 
shows  $\forall SP SQ. SP \in S P \wedge SQ \in S Q \longrightarrow (\llbracket SP \rrbracket, \llbracket SQ \rrbracket) \in TRel^+$ 
and  $\forall SP TQ. SP \in S P \wedge TQ \in T Q \longrightarrow (\llbracket SP \rrbracket, TQ) \in TRel^*$ 
⟨proof⟩

```

```

lemma (in encoding) full-abstraction-wrt-preorders-impl-indRelSTEQ-to-SRel-and-TRel:
fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
and P Q :: ('procS, 'procT) Proc
assumes fa: fully-abstract SRel TRel
and transT: trans TRel
and reflS: refl SRel
and rel:  $P \sim_{[\cdot]} SRel, TRel > Q$ 
shows  $\forall SP SQ. SP \in S P \wedge SQ \in S Q \longrightarrow (SP, SQ) \in SRel$ 
and  $\forall SP SQ. SP \in S P \wedge SQ \in S Q \longrightarrow (\llbracket SP \rrbracket, \llbracket SQ \rrbracket) \in TRel$ 
and  $\forall SP TQ. SP \in S P \wedge TQ \in T Q \longrightarrow (\llbracket SP \rrbracket, TQ) \in TRel$ 
and  $\forall TP SQ. TP \in T P \wedge SQ \in S Q \longrightarrow (TP, \llbracket SQ \rrbracket) \in TRel$ 
and  $\forall TP TQ. TP \in T P \wedge TQ \in T Q \longrightarrow (TP, TQ) \in TRel$ 
⟨proof⟩

```

If an encoding is fully abstract w.r.t. a preorder SRel on the source and a trans relation TRel on the target, then there exists a trans relation, namely indRelSTEQ, that relates source terms and their literal translations in both direction such that its reductions to source terms is SRel and its reduction to target terms is TRel.

```

lemma (in encoding) full-abstraction-wrt-preorders-impl-trans-source-target-relation:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
  assumes fullAbs: fully-abstract SRel TRel
    and reflS: refl SRel
    and transT: trans TRel
  shows ∃ Rel. (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
    ∧ (TargetTerm ([S]), SourceTerm S) ∈ Rel)
    ∧ SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel}
    ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
    ∧ trans Rel

```

*(proof)*

Thus an encoding is fully abstract w.r.t. a preorder SRel on the source and a trans relation TRel on the target iff there exists a trans relation that relates source terms and their literal translations in both directions and whose reduction to source/target terms is SRel/TRel.

```

theorem (in encoding) fully-abstract-wrt-preorders-iff-source-target-relation-is-trans:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
  shows (fully-abstract SRel TRel ∧ refl SRel ∧ trans TRel) =
    (∃ Rel. (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
      ∧ (TargetTerm ([S]), SourceTerm S) ∈ Rel)
      ∧ SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel}
      ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
      ∧ trans Rel)

```

*(proof)*

## 9.4 Full Abstraction w.r.t. Equivalences

If there exists a relation Rel that relates source terms and their literal translations and whose sym closure is trans, then the encoding is fully abstract with respect to the reduction of the sym closure of Rel to source/target terms.

```

lemma (in encoding) source-target-relation-with-trans-symcl-impl-full-abstraction:
  fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  assumes enc: ∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
    and trans: trans (symcl Rel)
  shows fully-abstract {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ symcl Rel}
    {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ symcl Rel}

```

*(proof)*

If an encoding is fully abstract w.r.t. the equivalences SRel and TRel, then there exists a preorder, namely indRelRSTPO, that relates source terms and their literal translations such that its reductions to source terms is SRel and its reduction to target terms is TRel.

```

lemma (in encoding) fully-abstract-wrt-equivalences-impl-symcl-source-target-relation-is-preorder:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
  assumes fullAbs: fully-abstract SRel TRel
    and reflT: refl TRel
    and symmT: sym TRel
    and transT: trans TRel
  shows ∃ Rel. (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
    ∧ SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ symcl Rel}
    ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ symcl Rel}
    ∧ preorder (symcl Rel))

```

*(proof)*

```

lemma (in encoding) fully-abstract-impl-symcl-source-target-relation-is-preorder:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set

```

```

assumes fullAbs: fully-abstract ((symcl (SRel=))+) ((symcl (TRel=))+)
shows  $\exists$  Rel. ( $\forall$  S. (SourceTerm S, TargetTerm ([S]))  $\in$  Rel)
     $\wedge$  ((symcl (SRel=))+) = {(S1, S2). (SourceTerm S1, SourceTerm S2)  $\in$  symcl Rel}
     $\wedge$  ((symcl (TRel=))+) = {(T1, T2). (TargetTerm T1, TargetTerm T2)  $\in$  symcl Rel}
     $\wedge$  preorder (symcl Rel)

```

*(proof)*

**lemma (in encoding) fully-abstract-wrt-preorders-impl-source-target-relation-is-trans:**

```

fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes fullAbs: fully-abstract SRel TRel
shows  $\exists$  Rel. ( $\forall$  S. (SourceTerm S, TargetTerm ([S]))  $\in$  Rel)
     $\wedge$  SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2)  $\in$  Rel}
     $\wedge$  TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2)  $\in$  Rel}
     $\wedge$  ((refl SRel  $\wedge$  trans TRel)
         $\longleftrightarrow$  trans (Rel  $\cup$  {(P, Q).  $\exists$  S. [S]  $\in$  T P  $\wedge$  S  $\in$  S Q}))

```

*(proof)*

**lemma (in encoding) fully-abstract-wrt-preorders-impl-source-target-relation-is-trans-B:**

```

fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
assumes fullAbs: fully-abstract SRel TRel
and reflT: refl TRel
and transT: trans TRel
shows  $\exists$  Rel. ( $\forall$  S. (SourceTerm S, TargetTerm ([S]))  $\in$  Rel)
     $\wedge$  SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2)  $\in$  Rel}
     $\wedge$  TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2)  $\in$  Rel}
     $\wedge$  trans (Rel  $\cup$  {(P, Q).  $\exists$  S. [S]  $\in$  T P  $\wedge$  S  $\in$  S Q})

```

*(proof)*

Thus an encoding is fully abstract w.r.t. an equivalence SRel on the source and an equivalence TRel on the target iff there exists a relation that relates source terms and their literal translations, whose sym closure is a preorder such that the reduction of this sym closure to source/target terms is SRel/TRel.

**lemma (in encoding) fully-abstract-wrt-equivalences-iff-symcl-source-target-relation-is-preorder:**

```

fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
shows (fully-abstract SRel TRel  $\wedge$  equivalence TRel) =
    ( $\exists$  Rel. ( $\forall$  S. (SourceTerm S, TargetTerm ([S]))  $\in$  Rel)
         $\wedge$  SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2)  $\in$  symcl Rel}
         $\wedge$  TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2)  $\in$  symcl Rel}
         $\wedge$  preorder (symcl Rel))

```

*(proof)*

**lemma (in encoding) fully-abstract-iff-symcl-source-target-relation-is-preorder:**

```

fixes SRel :: ('procS × 'procS) set
and TRel :: ('procT × 'procT) set
shows fully-abstract ((symcl (SRel=))+) ((symcl (TRel=))+) =
    ( $\exists$  Rel. ( $\forall$  S. (SourceTerm S, TargetTerm ([S]))  $\in$  Rel)
         $\wedge$  (symcl (SRel=))+ = {(S1, S2). (SourceTerm S1, SourceTerm S2)  $\in$  symcl Rel}
         $\wedge$  (symcl (TRel=))+ = {(T1, T2). (TargetTerm T1, TargetTerm T2)  $\in$  symcl Rel}
         $\wedge$  preorder (symcl Rel))

```

*(proof)*

## 9.5 Full Abstraction without Relating Translations to their Source Terms

Let Rel be the result of removing from indRelSTEQ all pairs of two source or two target terms that are not contained in SRel or TRel. Then a fully abstract encoding ensures that Rel is trans iff SRel is refl and TRel is trans.

**lemma (in encoding) full-abstraction-impl-indRelSTEQ-is-trans:**

```

fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
  and Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
assumes fullAbs: fully-abstract SRel TRel
  and rel: Rel = ((indRelSTEQ SRel TRel)
    – {(P, Q). (P ∈ ProcS ∧ Q ∈ ProcS) ∨ (P ∈ ProcT ∧ Q ∈ ProcT)})
    ∪ {(P, Q). (∃ SP SQ. SP ∈ S P ∧ SQ ∈ S Q ∧ (SP, SQ) ∈ SRel)
      ∨ (∃ TP TQ. TP ∈ T P ∧ TQ ∈ T Q ∧ (TP, TQ) ∈ TRel)})
shows (refl SRel ∧ trans TRel) = trans Rel
⟨proof⟩

```

Whenever an encoding induces a trans relation that includes SRel and TRel and relates source terms to their literal translations in both directions, the encoding is fully abstract w.r.t. SRel and TRel.

```

lemma (in encoding) trans-source-target-relation-impl-fully-abstract:
fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  and SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes enc: ∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
  ∧ (TargetTerm ([S]), SourceTerm S) ∈ Rel
  and srel: SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel}
  and trel: TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
  and trans: trans Rel
shows fully-abstract SRel TRel
⟨proof⟩

```

Assume TRel is a preorder. Then an encoding is fully abstract w.r.t. SRel and TRel iff there exists a relation that relates at least all source terms to their literal translations, includes SRel and TRel, and whose union with the relation that relates exactly all literal translations to their source terms is trans.

```

lemma (in encoding) source-target-relation-with-trans-impl-full-abstraction:
fixes Rel :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
assumes enc: ∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel
  and trans: trans (Rel ∪ {(P, Q). ∃ S. [S] ∈ T P ∧ S ∈ S Q})
shows fully-abstract {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel}
  {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
⟨proof⟩

```

```

lemma (in encoding) fully-abstract-wrt-preorders-iff-source-target-relation-is-transB:
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
assumes preord: preorder TRel
shows fully-abstract SRel TRel =
  (Ǝ Rel. (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
    ∧ SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel}
    ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
    ∧ trans (Rel ∪ {(P, Q). ∃ S. [S] ∈ T P ∧ S ∈ S Q}))
⟨proof⟩

```

The same holds if to obtain transitivity the union may contain additional pairs that do neither relate two source nor two target terms.

```

lemma (in encoding) fully-abstract-wrt-preorders-iff-source-target-relation-union-is-trans:
fixes SRel :: ('procS × 'procS) set
  and TRel :: ('procT × 'procT) set
shows (fully-abstract SRel TRel ∧ refl SRel ∧ trans TRel) =
  (Ǝ Rel. (∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
    ∧ SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2) ∈ Rel}
    ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
    ∧ (Ǝ Rel'. (∀ (P, Q) ∈ Rel'. P ∈ ProcS ↔ Q ∈ ProcT)
      ∧ trans (Rel ∪ {(P, Q). ∃ S. [S] ∈ T P ∧ S ∈ S Q} ∪ Rel'))))

```

```

⟨proof⟩

end
theory CombinedCriteria
imports DivergenceReflection SuccessSensitiveness FullAbstraction OperationalCorrespondence
begin

```

## 10 Combining Criteria

So far we considered the effect of single criteria on encodings. Often the quality of an encoding is prescribed by a set of different criteria. In the following we analyse the combined effect of criteria. This way we can compare criteria as well as identify side effects that result from combinations of criteria. We start with some technical lemmata. To combine the effect of different criteria we combine the conditions they induce. If their effect can be described by a predicate on the pairs of the relation, as in the case of success sensitiveness or divergence reflection, combining the effects is simple.

```

lemma (in encoding) criterion-if-source-target-relation-impl-indRelR:
fixes Cond :: ('procS ⇒ 'procT) ⇒ bool
  and Pred :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set ⇒ bool
assumes Cond enc = (Ǝ Rel. ( ∀ S. (SourceTerm S, TargetTerm ([S])) ∈ Rel) ∧ Pred Rel)
shows Cond enc = (Ǝ Rel'. Pred (indRelR ∪ Rel'))
⟨proof⟩

```

```

lemma (in encoding) combine-conditions-on-pairs-of-relations:
fixes RelA RelB :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  and CondA CondB :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
assumes ∀ (P, Q) ∈ RelA. CondA (P, Q)
  and ∀ (P, Q) ∈ RelB. CondB (P, Q)
shows ( ∀ (P, Q) ∈ RelA ∩ RelB. CondA (P, Q)) ∧ ( ∀ (P, Q) ∈ RelA ∩ RelB. CondB (P, Q))
⟨proof⟩

```

```

lemma (in encoding) combine-conditions-on-sets-of-relations:
fixes Rel RelA :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  and Cond :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set ⇒ bool
  and CondA CondB :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
assumes ∀ (P, Q) ∈ RelA. CondA (P, Q)
  and Cond Rel ∧ Rel ⊆ RelA
shows Cond Rel ∧ ( ∀ (P, Q) ∈ Rel. CondA (P, Q))
⟨proof⟩

```

```

lemma (in encoding) combine-conditions-on-sets-and-pairs-of-relations:
fixes Rel RelA RelB :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  and Cond :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set ⇒ bool
  and CondA CondB :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
assumes ∀ (P, Q) ∈ RelA. CondA (P, Q)
  and ∀ (P, Q) ∈ RelB. CondB (P, Q)
  and Cond Rel ∧ Rel ⊆ RelA ∧ Rel ⊆ RelB
shows Cond Rel ∧ ( ∀ (P, Q) ∈ Rel. CondA (P, Q)) ∧ ( ∀ (P, Q) ∈ Rel. CondB (P, Q))
⟨proof⟩

```

We mapped several criteria on conditions on relations that relate at least all source terms and their literal translations. The following lemmata help us to combine such conditions by switching to the witness `indRelR`.

```

lemma (in encoding) combine-conditions-on-relations-indRelR:
fixes RelA RelB :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set
  and Cond :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) set ⇒ bool
  and CondA CondB :: (('procS, 'procT) Proc × ('procS, 'procT) Proc) ⇒ bool
assumes A1: ∀ S. (SourceTerm S, TargetTerm ([S])) ∈ RelA
  and A2: ∀ (P, Q) ∈ RelA. CondA (P, Q)

```

```

and A3:  $\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{RelB}$ 
and A4:  $\forall (P, Q) \in \text{RelB}. \text{CondB} (P, Q)$ 
shows  $\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel}) \wedge (\forall (P, Q) \in \text{Rel}. \text{CondA} (P, Q))$ 
 $\wedge (\forall (P, Q) \in \text{Rel}. \text{CondB} (P, Q))$ 
and Cond indRelR  $\implies (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$ 
 $\wedge (\forall (P, Q) \in \text{Rel}. \text{CondA} (P, Q)) \wedge (\forall (P, Q) \in \text{Rel}. \text{CondB} (P, Q)) \wedge \text{Cond Rel})$ 
⟨proof⟩

```

```

lemma (in encoding) indRelR-cond-respects-predA-and-reflects-predB:
fixes PredA PredB :: ('procS, 'procT) Proc  $\Rightarrow$  bool
shows (( $\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel}) \wedge \text{rel-respects-pred Rel PredA}$ )
 $\wedge (\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel}) \wedge \text{rel-reflects-pred Rel PredB}))$ 
= ( $\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel}) \wedge \text{rel-respects-pred Rel PredA}$ 
 $\wedge \text{rel-reflects-pred Rel PredB})$ 
⟨proof⟩

```

## 10.1 Divergence Reflection and Success Sensitiveness

We combine results on divergence reflection and success sensitiveness to analyse their combined effect on an encoding function. An encoding is success sensitive and reflects divergence iff there exists a relation that relates source terms and their literal translations that reflects divergence and respects success.

```

lemma (in encoding-wrt-barbs) WSS-DR-iff-source-target-rel:
fixes success :: 'barbs
shows (enc-weakly-respects-barb-set {success}  $\wedge$  enc-reflects-divergence)
= ( $\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$ 
 $\wedge \text{rel-weakly-respects-barb-set Rel (STCalWB SWB TWB)} \{success\}$ 
 $\wedge \text{rel-reflects-divergence Rel (STCal Source Target)})$ 
⟨proof⟩

```

```

lemma (in encoding-wrt-barbs) SS-DR-iff-source-target-rel:
fixes success :: 'barbs
shows (enc-respects-barb-set {success}  $\wedge$  enc-reflects-divergence)
= ( $\exists \text{Rel}. (\forall S. (\text{SourceTerm } S, \text{TargetTerm } ([S])) \in \text{Rel})$ 
 $\wedge \text{rel-respects-barb-set Rel (STCalWB SWB TWB)} \{success\}$ 
 $\wedge \text{rel-reflects-divergence Rel (STCal Source Target)})$ 
⟨proof⟩

```

## 10.2 Adding Operational Correspondence

The effect of operational correspondence includes conditions (TRel is included, transitivity) that require a witness like indRelRTPO. In order to combine operational correspondence with success sensitiveness, we show that if the encoding and TRel (weakly) respects barbs than indRelRTPO (weakly) respects barbs. Since success is only a specific kind of barbs, the same holds for success sensitiveness.

```

lemma (in encoding-wrt-barbs) enc-and-TRel-impl-indRelRTPO-weakly-respects-success:
fixes success :: 'barbs
and TRel :: ('procT  $\times$  'procT) set
assumes encRS: enc-weakly-respects-barb-set {success}
and trelPS: rel-weakly-preserves-barb-set TRel TWB {success}
and trelRS: rel-weakly-reflects-barb-set TRel TWB {success}
shows rel-weakly-respects-barb-set (indRelRTPO TRel) (STCalWB SWB TWB) {success}
⟨proof⟩

```

```

lemma (in encoding-wrt-barbs) enc-and-TRel-impl-indRelRTPO-weakly-respects-barbs:
fixes TRel :: ('procT  $\times$  'procT) set
assumes encRS: enc-weakly-respects-barbs
and trelPS: rel-weakly-preserves-barbs TRel TWB
and trelRS: rel-weakly-reflects-barbs TRel TWB
shows rel-weakly-respects-barbs (indRelRTPO TRel) (STCalWB SWB TWB)

```

*(proof)*

```
lemma (in encoding-wrt-barbs) enc-and-TRel-impl-indRelRTPo-respects-success:
  fixes success :: 'barbs
  and TRel :: ('procT × 'procT) set
  assumes encRS: enc-respects-barb-set {success}
    and trelPS: rel-preserves-barb-set TRel TWB {success}
    and trelRS: rel-reflects-barb-set TRel TWB {success}
  shows rel-respects-barb-set (indRelRTPo TRel) (STCalWB SWB TWB) {success}
(proof)
```

```
lemma (in encoding-wrt-barbs) enc-and-TRel-impl-indRelRTPo-respects-barbs:
  fixes TRel :: ('procT × 'procT) set
  assumes encRS: enc-respects-barbs
    and trelPS: rel-preserves-barbs TRel TWB
    and trelRS: rel-reflects-barbs TRel TWB
  shows rel-respects-barbs (indRelRTPo TRel) (STCalWB SWB TWB)
(proof)
```

An encoding is success sensitive and operational corresponding w.r.t. a bisimulation TRel that respects success iff there exists a bisimulation that includes TRel and respects success. The same holds if we consider not only success sensitiveness but barb sensitiveness in general.

```
lemma (in encoding-wrt-barbs) OC-SS-iff-source-target-rel:
  fixes success :: 'barbs
  and TRel :: ('procT × 'procT) set
  shows (operational-corresponding (TRel*))
    ∧ weak-reduction-bisimulation (TRel+) Target
    ∧ enc-weakly-respects-barb-set {success}
    ∧ rel-weakly-respects-barb-set TRel TWB {success}
  = (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
    ∧ (forall T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel)
    ∧ (forall T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel → (T1, T2) ∈ TRel+)
    ∧ (forall S T. (SourceTerm S, TargetTerm T) ∈ Rel → ([S], T) ∈ TRel*)
    ∧ weak-reduction-bisimulation Rel (STCal Source Target)
    ∧ rel-weakly-respects-barb-set Rel (STCalWB SWB TWB) {success})
(proof)
```

```
lemma (in encoding-wrt-barbs) OC-SS-RB-iff-source-target-rel:
  fixes success :: 'barbs
  and TRel :: ('procT × 'procT) set
  shows (operational-corresponding (TRel*))
    ∧ weak-reduction-bisimulation (TRel+) Target
    ∧ enc-weakly-respects-barbs ∧ enc-weakly-respects-barb-set {success}
    ∧ rel-weakly-respects-barbs TRel TWB ∧ rel-weakly-respects-barb-set TRel TWB {success}
  = (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
    ∧ (forall T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel)
    ∧ (forall T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel → (T1, T2) ∈ TRel+)
    ∧ (forall S T. (SourceTerm S, TargetTerm T) ∈ Rel → ([S], T) ∈ TRel*)
    ∧ weak-reduction-bisimulation Rel (STCal Source Target)
    ∧ rel-weakly-respects-barbs Rel (STCalWB SWB TWB)
    ∧ rel-weakly-respects-barb-set Rel (STCalWB SWB TWB) {success})
(proof)
```

```
lemma (in encoding-wrt-barbs) OC-SS-wrt-preorder-iff-source-target-rel:
  fixes success :: 'barbs
  and TRel :: ('procT × 'procT) set
  shows (operational-corresponding TRel ∧ preorder TRel ∧ weak-reduction-bisimulation TRel Target
    ∧ enc-weakly-respects-barb-set {success}
    ∧ rel-weakly-respects-barb-set TRel TWB {success})
  = (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel))
```

```

 $\wedge TRel = \{(T1, T2). (TargetTerm T1, TargetTerm T2) \in Rel\}$ 
 $\wedge (\forall S T. (SourceTerm S, TargetTerm T) \in Rel \longrightarrow ([\![S]\!], T) \in TRel)$ 
 $\wedge \text{weak-reduction-bisimulation Rel (STCal Source Target)} \wedge \text{preorder Rel}$ 
 $\wedge \text{rel-weakly-respects-barb-set Rel (STCalWB SWB TWB) } \{\text{success}\}$ 

```

*(proof)*

**lemma (in encoding-wrt-barbs) OC-SS-RB-wrt-preorder-iff-source-target-rel:**

```

fixes success :: 'barbs
and TRel :: ('procT × 'procT) set
shows (operational-corresponding TRel ∧ preorder TRel ∧ weak-reduction-bisimulation TRel Target
 $\wedge \text{enc-weakly-respects-barbs} \wedge \text{rel-weakly-respects-barbs TRel TWB}$ 
 $\wedge \text{enc-weakly-respects-barb-set } \{\text{success}\}$ 
 $\wedge \text{rel-weakly-respects-barb-set TRel TWB } \{\text{success}\}$ )
= (exists Rel. (forall S. (SourceTerm S, TargetTerm ([\![S]\!])) ∈ Rel)
 $\wedge TRel = \{(T1, T2). (TargetTerm T1, TargetTerm T2) \in Rel\}$ 
 $\wedge (\forall S T. (SourceTerm S, TargetTerm T) \in Rel \longrightarrow ([\![S]\!], T) \in TRel)$ 
 $\wedge \text{weak-reduction-bisimulation Rel (STCal Source Target)} \wedge \text{preorder Rel}$ 
 $\wedge \text{rel-weakly-respects-barbs Rel (STCalWB SWB TWB)}$ 
 $\wedge \text{rel-weakly-respects-barb-set Rel (STCalWB SWB TWB) } \{\text{success}\}$ )

```

*(proof)*

An encoding is success sensitive and weakly operational corresponding w.r.t. a correspondence simulation TRel that respects success iff there exists a correspondence simulation that includes TRel and respects success. The same holds if we consider not only success sensitiveness but barb sensitiveness in general.

**lemma (in encoding-wrt-barbs) WOC-SS-wrt-preorder-iff-source-target-rel:**

```

fixes success :: 'barbs
and TRel :: ('procT × 'procT) set
shows (weakly-operational-corresponding TRel ∧ preorder TRel
 $\wedge \text{weak-reduction-correspondence-simulation TRel Target}$ 
 $\wedge \text{enc-weakly-respects-barb-set } \{\text{success}\}$ 
 $\wedge \text{rel-weakly-respects-barb-set TRel TWB } \{\text{success}\}$ )
= (exists Rel. (forall S. (SourceTerm S, TargetTerm ([\![S]\!])) ∈ Rel)
 $\wedge TRel = \{(T1, T2). (TargetTerm T1, TargetTerm T2) \in Rel\}$ 
 $\wedge (\forall S T. (SourceTerm S, TargetTerm T) \in Rel \longrightarrow ([\![S]\!], T) \in TRel)$ 
 $\wedge \text{weak-reduction-correspondence-simulation Rel (STCal Source Target)} \wedge \text{preorder Rel}$ 
 $\wedge \text{rel-weakly-respects-barb-set Rel (STCalWB SWB TWB) } \{\text{success}\}$ )

```

*(proof)*

**lemma (in encoding-wrt-barbs) WOC-SS-RB-wrt-preorder-iff-source-target-rel:**

```

fixes success :: 'barbs
and TRel :: ('procT × 'procT) set
shows (weakly-operational-corresponding TRel ∧ preorder TRel
 $\wedge \text{weak-reduction-correspondence-simulation TRel Target}$ 
 $\wedge \text{enc-weakly-respects-barbs} \wedge \text{enc-weakly-respects-barb-set } \{\text{success}\}$ 
 $\wedge \text{rel-weakly-respects-barbs TRel TWB} \wedge \text{rel-weakly-respects-barb-set TRel TWB } \{\text{success}\}$ )
= (exists Rel. (forall S. (SourceTerm S, TargetTerm ([\![S]\!])) ∈ Rel)
 $\wedge TRel = \{(T1, T2). (TargetTerm T1, TargetTerm T2) \in Rel\}$ 
 $\wedge (\forall S T. (SourceTerm S, TargetTerm T) \in Rel \longrightarrow ([\![S]\!], T) \in TRel)$ 
 $\wedge \text{weak-reduction-correspondence-simulation Rel (STCal Source Target)} \wedge \text{preorder Rel}$ 
 $\wedge \text{rel-weakly-respects-barbs Rel (STCalWB SWB TWB)}$ 
 $\wedge \text{rel-weakly-respects-barb-set Rel (STCalWB SWB TWB) } \{\text{success}\}$ )

```

*(proof)*

An encoding is strongly success sensitive and strongly operational corresponding w.r.t. a strong bisimulation TRel that strongly respects success iff there exists a strong bisimulation that includes TRel and strongly respects success. The same holds if we consider not only strong success sensitiveness but strong barb sensitiveness in general.

**lemma (in encoding-wrt-barbs) SOC-SS-wrt-preorder-iff-source-target-rel:**

```

fixes success :: 'barbs

```

```

and TRel :: ('procT × 'procT) set
shows (strongly-operational-corresponding TRel ∧ preorder TRel
    ∧ strong-reduction-bisimulation TRel Target
    ∧ enc-respects-barb-set {success} ∧ rel-respects-barb-set TRel TWB {success})
= (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
    ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
    ∧ (forall S T. (SourceTerm S, TargetTerm T) ∈ Rel → ([S], T) ∈ TRel)
    ∧ strong-reduction-bisimulation Rel (STCal Source Target) ∧ preorder Rel
    ∧ rel-respects-barb-set Rel (STCalWB SWB TWB) {success})

```

*(proof)*

```

lemma (in encoding-wrt-barbs) SOC-SS-RB-wrt-preorder-iff-source-target-rel:
fixes success :: 'barbs
and TRel :: ('procT × 'procT) set
shows (strongly-operational-corresponding TRel ∧ preorder TRel
    ∧ strong-reduction-bisimulation TRel Target
    ∧ enc-respects-barbs ∧ rel-respects-barbs TRel TWB
    ∧ enc-respects-barb-set {success} ∧ rel-respects-barb-set TRel TWB {success})
= (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
    ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel}
    ∧ (forall S T. (SourceTerm S, TargetTerm T) ∈ Rel → ([S], T) ∈ TRel)
    ∧ strong-reduction-bisimulation Rel (STCal Source Target) ∧ preorder Rel
    ∧ rel-respects-barbs Rel (STCalWB SWB TWB)
    ∧ rel-respects-barb-set Rel (STCalWB SWB TWB) {success})

```

*(proof)*

Next we also add divergence reflection to operational correspondence and success sensitiveness.

```

lemma (in encoding) enc-and-TRelimpl-indRelRTPO-reflect-divergence:
fixes TRel :: ('procT × 'procT) set
assumes encRD: enc-reflects-divergence
and trelRD: rel-reflects-divergence TRel Target
shows rel-reflects-divergence (indRelRTPO TRel) (STCal Source Target)
(proof)

```

```

lemma (in encoding-wrt-barbs) OC-SS-DR-iff-source-target-rel:
fixes success :: 'barbs
and TRel :: ('procT × 'procT) set
shows (operational-corresponding (TRel*)
    ∧ weak-reduction-bisimulation (TRel+) Target
    ∧ enc-weakly-respects-barb-set {success}
    ∧ rel-weakly-respects-barb-set TRel TWB {success}
    ∧ enc-reflects-divergence ∧ rel-reflects-divergence TRel Target)
= (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
    ∧ (forall T1 T2. (T1, T2) ∈ TRel → (TargetTerm T1, TargetTerm T2) ∈ Rel)
    ∧ (forall T1 T2. (TargetTerm T1, TargetTerm T2) ∈ Rel → (T1, T2) ∈ TRel+)
    ∧ (forall S T. (SourceTerm S, TargetTerm T) ∈ Rel → ([S], T) ∈ TRel*)
    ∧ weak-reduction-bisimulation Rel (STCal Source Target)
    ∧ rel-weakly-respects-barb-set Rel (STCalWB SWB TWB) {success}
    ∧ rel-reflects-divergence Rel (STCal Source Target))

```

*(proof)*

```

lemma (in encoding-wrt-barbs) WOC-SS-DR-wrt-preorder-iff-source-target-rel:
fixes success :: 'barbs
and TRel :: ('procT × 'procT) set
shows (weakly-operational-corresponding TRel ∧ preorder TRel
    ∧ weak-reduction-correspondence-simulation TRel Target
    ∧ enc-weakly-respects-barb-set {success}
    ∧ rel-weakly-respects-barb-set TRel TWB {success}
    ∧ enc-reflects-divergence ∧ rel-reflects-divergence TRel Target)
= (exists Rel. (forall S. (SourceTerm S, TargetTerm ([S])) ∈ Rel)
    ∧ TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2) ∈ Rel})

```

```

 $\wedge (\forall S T. (SourceTerm S, TargetTerm T) \in Rel \longrightarrow ([\![S]\!], T) \in TRel)$ 
 $\wedge \text{weak-reduction-correspondence-simulation Rel (STCal Source Target)} \wedge \text{preorder Rel}$ 
 $\wedge \text{rel-weakly-respects-barb-set Rel (STCalWB SWB TWB) } \{ \text{success} \}$ 
 $\wedge \text{rel-reflects-divergence Rel (STCal Source Target))}$ 

```

$\langle proof \rangle$

```

lemma (in encoding-wrt-barbs) OC-SS-DR-wrt-preorder-iff-source-target-rel:
  fixes success :: 'barbs
    and TRel :: ('procT × 'procT) set
  shows (operational-corresponding TRel  $\wedge$  preorder TRel  $\wedge$  weak-reduction-bisimulation TRel Target
     $\wedge$  enc-weakly-respects-barb-set {success}
     $\wedge$  rel-weakly-respects-barb-set TRel TWB {success}
     $\wedge$  enc-reflects-divergence  $\wedge$  rel-reflects-divergence TRel Target)
  = ( $\exists$  Rel. ( $\forall$  S. (SourceTerm S, TargetTerm ([\![S]\!]))  $\in$  Rel)
     $\wedge$  TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2)  $\in$  Rel}
     $\wedge$  ( $\forall$  S T. (SourceTerm S, TargetTerm T)  $\in$  Rel  $\longrightarrow$  ([\![S]\!], T)  $\in$  TRel)
     $\wedge$  weak-reduction-bisimulation Rel (STCal Source Target)  $\wedge$  preorder Rel
     $\wedge$  rel-weakly-respects-barb-set Rel (STCalWB SWB TWB) {success}
     $\wedge$  rel-reflects-divergence Rel (STCal Source Target))

```

$\langle proof \rangle$

```

lemma (in encoding-wrt-barbs) SOC-SS-DR-wrt-preorder-iff-source-target-rel:
  fixes success :: 'barbs
    and TRel :: ('procT × 'procT) set
  shows (strongly-operational-corresponding TRel  $\wedge$  preorder TRel
     $\wedge$  strong-reduction-bisimulation TRel Target
     $\wedge$  enc-respects-barb-set {success}  $\wedge$  rel-respects-barb-set TRel TWB {success}
     $\wedge$  enc-reflects-divergence  $\wedge$  rel-reflects-divergence TRel Target)
  = ( $\exists$  Rel. ( $\forall$  S. (SourceTerm S, TargetTerm ([\![S]\!]))  $\in$  Rel)
     $\wedge$  TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2)  $\in$  Rel}
     $\wedge$  ( $\forall$  S T. (SourceTerm S, TargetTerm T)  $\in$  Rel  $\longrightarrow$  ([\![S]\!], T)  $\in$  TRel)
     $\wedge$  strong-reduction-bisimulation Rel (STCal Source Target)  $\wedge$  preorder Rel
     $\wedge$  rel-respects-barb-set Rel (STCalWB SWB TWB) {success}
     $\wedge$  rel-reflects-divergence Rel (STCal Source Target))

```

$\langle proof \rangle$

### 10.3 Full Abstraction and Operational Correspondence

To combine full abstraction and operational correspondence we consider a symmetric version of the induced relation and assume that the relations SRel and TRel are equivalences. Then an encoding is fully abstract w.r.t. SRel and TRel and operationally corresponding w.r.t. TRel such that TRel is a bisimulation iff the induced relation contains both SRel and TRel and is a transitive bisimulation.

```

lemma (in encoding) FS-OC-modulo-equivalences-iff-source-target-relation:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
  assumes eqS: equivalence SRel
    and eqT: equivalence TRel
  shows fully-abstract SRel TRel
     $\wedge$  operational-corresponding TRel  $\wedge$  weak-reduction-bisimulation TRel Target
     $\longleftrightarrow$  ( $\exists$  Rel.
      ( $\forall$  S. (SourceTerm S, TargetTerm ([\![S]\!]))  $\in$  Rel  $\wedge$  (TargetTerm ([\![S]\!]), SourceTerm S)  $\in$  Rel)
       $\wedge$  SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2)  $\in$  Rel}
       $\wedge$  TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2)  $\in$  Rel}
       $\wedge$  trans Rel  $\wedge$  weak-reduction-bisimulation Rel (STCal Source Target))

```

$\langle proof \rangle$

```

lemma (in encoding) FA-SOC-modulo-equivalences-iff-source-target-relation:
  fixes SRel :: ('procS × 'procS) set
    and TRel :: ('procT × 'procT) set
  assumes eqS: equivalence SRel

```

```

and eqT: equivalence TRel
shows fully-abstract SRel TRel  $\wedge$  strongly-operational-corresponding TRel
   $\wedge$  strong-reduction-bisimulation TRel Target  $\longleftrightarrow$  ( $\exists$  Rel.
  ( $\forall$  S. (SourceTerm S, TargetTerm ( $\llbracket S \rrbracket$ ))  $\in$  Rel  $\wedge$  (TargetTerm ( $\llbracket S \rrbracket$ ), SourceTerm S)  $\in$  Rel)
   $\wedge$  SRel = {(S1, S2). (SourceTerm S1, SourceTerm S2)  $\in$  Rel}
   $\wedge$  TRel = {(T1, T2). (TargetTerm T1, TargetTerm T2)  $\in$  Rel}  $\wedge$  trans Rel
   $\wedge$  strong-reduction-bisimulation Rel (STCal Source Target))
<proof>

```

An encoding that is fully abstract w.r.t. the equivalences SRel and TRel and operationally corresponding w.r.t. TRel ensures that SRel is a bisimulation iff TRel is a bisimulation.

**lemma (in encoding) FA-and-OC-and-TRel-impl-SRel-bisimulation:**

```

fixes SRel :: ('procS  $\times$  'procS) set
  and TRel :: ('procT  $\times$  'procT) set
assumes fullAbs: fully-abstract SRel TRel
  and opCom: operational-complete TRel
  and opSou: operational-sound TRel
  and symmT: sym TRel
  and transT: trans TRel
  and bisimT: weak-reduction-bisimulation TRel Target
shows weak-reduction-bisimulation SRel Source
<proof>

```

**lemma (in encoding) FA-and-SOC-and-TRel-impl-SRel-strong-bisimulation:**

```

fixes SRel :: ('procS  $\times$  'procS) set
  and TRel :: ('procT  $\times$  'procT) set
assumes fullAbs: fully-abstract SRel TRel
  and opCom: strongly-operational-complete TRel
  and opSou: strongly-operational-sound TRel
  and symmT: sym TRel
  and transT: trans TRel
  and bisimT: strong-reduction-bisimulation TRel Target
shows strong-reduction-bisimulation SRel Source
<proof>

```

**lemma (in encoding) FA-and-OC-impl-SRel-iff-TRel-bisimulation:**

```

fixes SRel :: ('procS  $\times$  'procS) set
  and TRel :: ('procT  $\times$  'procT) set
assumes fullAbs: fully-abstract SRel TRel
  and opCor: operational-corresponding TRel
  and symmT: sym TRel
  and transT: trans TRel
  and surj:  $\forall$  T.  $\exists$  S. T =  $\llbracket S \rrbracket$ 
shows weak-reduction-bisimulation SRel Source  $\longleftrightarrow$  weak-reduction-bisimulation TRel Target
<proof>

```

end